

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 120281167	Statement Date: 02/11/2022 Document ID: 9MSM 821 XYF6	2021
	Jay Sheth 2100 3rd Ave Seattle, WA 98121 RECIPIENT'S TIN: XXX-XX-0669		

[] FATCA filing requirement (see instructions)		Summary Information	
DIVIDENDS AND DISTRIBUTIONS	2021 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INFORMATION
			2021 1099-MISC*
			OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5, 2e)	273.45		2- Royalties
1b- Qualified dividends	265.42		3- Other income
2a- Total capital gain distributions (includes lines 2b, 2c, 2d, 2f)	0.00		4- Federal income tax withheld
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest
2c- Section 1202 gain	0.00		
2d- Collectibles (28%) gain	0.00		
2e- Section 897 ordinary dividends	0.00		SECTION 1256 CONTRACTS
2f- Section 897 capital gain	0.00		2021 1099-B*
3- Nondividend distributions	0.00		OMB No. 1545-0715
4- Federal income tax withheld	0.00		8- Profit or (loss) realized in 2021 on closed contracts
5- Section 199A dividends	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2020
6- Investment expenses	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2021
8- Foreign country or US possession:	0.00		11- Aggregate profit or (loss) on contracts
7- Foreign tax paid:	0.00		
9- Cash liquidation distributions	0.00		
10- Noncash liquidation distributions	0.00		
11- Exempt-interest dividends (includes line 12)	0.00		
12- Specified private activity bond interest dividends (AMT)	0.00		

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	230,003.98	246,331.09	0.00	4,683.30	-11,643.81
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	230,003.98	246,331.09	0.00	4,683.30	-11,643.81
Long	D (basis reported to the IRS)	6,686.62	866.18	0.00	0.00	5,820.44
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	6,686.62	866.18	0.00	0.00	5,820.44
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	236,690.60	247,197.27	0.00	4,683.30	-5,823.37
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2021 may require an amended tax form.

Robinhood Securities LLC

Account 120281167

Summary Information

2021

(continued)

02/11/2022

INTEREST INCOME

2021 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 120281167

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

02/11/2022

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 06/11/2021 CALL \$130.00 / CUSIP: / Symbol:							
06/11/21	1.000	0.00	06/08/21	19.00	...	-19.00	Option expiration- long call
AAPL 07/16/2021 CALL \$150.00 / CUSIP: / Symbol:							
07/14/21	1.000	69.98	07/14/21	72.00	...	-2.02	Option sale to close-call ²⁵
07/16/21	1.000	0.00	07/14/21	72.00	...	-72.00	Option expiration- long call
	Security total:	69.98		144.00	...	-74.00	
AAPL 07/23/2021 CALL \$150.00 / CUSIP: / Symbol:							
07/19/21	1.000	19.98	07/14/21	171.00	...	-151.02	Option sale to close-call ²⁵

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Account 120281167

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 11/26/2021 CALL \$160.00 / CUSIP: / Symbol:							
2 transactions for 11/22/21. Total proceeds and cost reported to the IRS.							
	2.000	969.98	11/18/21	294.00	...	675.98	1 of 2 - Option sale to close-call ²⁵
	6.000	2,849.97	11/18/21	882.00	...	1,967.97	2 of 2 - Option sale to close-call ²⁵
11/22/21	8.000	3,819.95	Various	1,176.00	...	2,643.95	Total of 2 transactions
2 transactions for 11/26/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	11/18/21	147.00	...	-147.00	1 of 2 - Option expiration- long call
	1.000	0.00	11/18/21	147.00	...	-147.00	2 of 2 - Option expiration- long call
11/26/21	2.000	0.00	Various	294.00	...	-294.00	Total of 2 transactions
	Security total:	3,819.95		1,470.00	...	2,349.95	
ABNB 07/16/2021 CALL \$170.00 / CUSIP: / Symbol:							
07/13/21	1.000	2.98	12/18/20	4,070.00	...	-4,067.02	Option sale to close-call ²⁵
ABNB 10/01/2021 CALL \$195.00 / CUSIP: / Symbol:							
10/01/21	2.000	0.00	09/09/21	80.00	...	-80.00	Option expiration- long call
ABNB 11/05/2021 CALL \$182.50 / CUSIP: / Symbol:							
11/04/21	2.000	829.98	11/04/21	950.00	...	-120.02	Option sale to close-call ²⁵
ABNB 11/19/2021 CALL \$200.00 / CUSIP: / Symbol:							
2 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	2.000	571.98	11/05/21	1,610.00	...	-1,038.02	1 of 2 - Option sale to close-call ²⁵
	1.000	289.98	11/05/21	805.00	...	-515.02	2 of 2 - Option sale to close-call ²⁵
11/10/21	3.000	861.96	Various	2,415.00	...	-1,553.04	Total of 2 transactions
ACB 01/21/2022 CALL \$25.00 / CUSIP: / Symbol:							
11/02/21	1.000	3.98	02/16/21	340.00	...	-336.02	Option sale to close-call ²⁵
AMC 01/21/2022 CALL \$27.00 / CUSIP: / Symbol:							
2 transactions for 04/26/21. Total proceeds and cost reported to the IRS.							
	1.000	174.99	02/23/21	140.00	...	34.99	1 of 2 - Option sale to close-call ²⁵
	1.000	174.99	02/23/21	140.00	...	34.99	2 of 2 - Option sale to close-call ²⁵
04/26/21	2.000	349.98	Various	280.00	...	69.98	Total of 2 transactions

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Account 120281167

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 05/28/2021 PUT \$18.00 / CUSIP: / Symbol:							
2 transactions for 05/27/21. Total proceeds and cost reported to the IRS.							
	1.000	-5.02	05/26/21	0.00	...	-5.02	1 of 2 - Short sale closed- put ²⁵ Option written: 05/26/21
	1.000	-5.02	05/26/21	0.00	...	-5.02	2 of 2 - Short sale closed- put ²⁵ Option written: 05/26/21
05/27/21	2.000	-10.04	Various	0.00	...	-10.04	Total of 2 transactions
AMC 05/28/2021 PUT \$30.00 / CUSIP: / Symbol:							
06/01/21	1.000	-51.02	05/28/21	0.00	...	-51.02	Short sale closed- put ²⁵ Option written: 05/28/21
AMC 11/19/2021 CALL \$41.00 / CUSIP: / Symbol:							
2 transactions for 11/05/21. Total proceeds and cost reported to the IRS.							
	1.000	439.98	11/05/21	385.00	...	54.98	1 of 2 - Option sale to close-call ²⁵
	1.000	459.98	11/05/21	385.00	...	74.98	2 of 2 - Option sale to close-call ²⁵
11/05/21	2.000	899.96	Various	770.00	...	129.96	Total of 2 transactions
AMC 11/19/2021 CALL \$44.00 / CUSIP: / Symbol:							
11/16/21	1.000	107.99	11/16/21	140.00	...	-32.01	Option sale to close-call ²⁵
11/16/21	1.000	107.99	11/16/21	140.00	32.01 W	0.00	Option sale to close-call ²⁵
11/17/21	1.000	119.98	11/16/21	172.01	...	-52.03	Option sale to close-call ²⁵
	Security total:	335.96		452.01	32.01 W	-84.04	
AMC 11/26/2021 CALL \$41.00 / CUSIP: / Symbol:							
2 transactions for 11/11/21. Total proceeds and cost reported to the IRS.							
	2.000	479.98	11/11/21	586.00	...	-106.02	1 of 2 - Option sale to close-call ²⁵
	1.000	239.98	11/11/21	293.00	...	-53.02	2 of 2 - Option sale to close-call ²⁵
11/11/21	3.000	719.96	Various	879.00	...	-159.04	Total of 2 transactions
AMC 12/31/2021 PUT \$21.00 / CUSIP: / Symbol:							
12/21/21	1.000	46.98	12/20/21	0.00	...	46.98	Short sale closed- put ²⁵ Option written: 12/17/21

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Account 120281167

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AMD 11/19/2021 CALL \$150.00 / CUSIP: / Symbol:							
11/04/21	3.000	551.98	11/04/21	399.00	...	152.98	Option sale to close-call ²⁵
11/05/21	1.000	145.98	11/04/21	133.00	...	12.98	Option sale to close-call ²⁵
11/09/21	1.000	459.98	11/04/21	133.00	...	326.98	Option sale to close-call ²⁵
	Security total:	1,157.94		665.00	...	492.94	
AMZN 10/29/2021 CALL \$3,800.00 / CUSIP: / Symbol:							
10/29/21	3.000	0.00	10/27/21	1,035.00	...	-1,035.00	Option expiration- long call
AMZN 11/05/2021 CALL \$3,330.00 / CUSIP: / Symbol:							
11/03/21	1.000	2,689.97	11/03/21	2,600.00	...	89.97	Option sale to close-call ²⁵
ANY 12/17/2021 CALL \$10.00 / CUSIP: / Symbol:							
10/12/21	1.000	96.98	09/15/21	180.00	...	-83.02	Option sale to close-call ²⁵
APPS 03/19/2021 CALL \$65.00 / CUSIP: / Symbol:							
02/26/21	1.000	2,009.97	12/18/20	830.00	...	1,179.97	Option sale to close-call ²⁵
03/16/21	1.000	1,479.98	12/18/20	830.00	...	649.98	Option sale to close-call ²⁵
03/17/21	1.000	1,399.98	12/18/20	830.00	...	569.98	Option sale to close-call ²⁵
	Security total:	4,889.93		2,490.00	...	2,399.93	
AQB 09/17/2021 CALL \$12.50 / CUSIP: / Symbol:							
05/07/21	2.000	49.98	02/12/21	616.00	...	-566.02	Option sale to close-call ²⁵
ASTS 07/16/2021 CALL \$25.00 / CUSIP: / Symbol:							
	2 transactions for 07/01/21. Total proceeds and cost reported to the IRS.						
	1.000	19.99	06/30/21	55.00	...	-35.01	1 of 2 - Option sale to close-call ²⁵
	1.000	19.99	06/30/21	55.00	...	-35.01	2 of 2 - Option sale to close-call ²⁵
07/01/21	2.000	39.98	Various	110.00	...	-70.02	Total of 2 transactions
ATOS 10/22/2021 CALL \$3.50 / CUSIP: / Symbol:							
10/22/21	1.000	0.00	10/05/21	10.00	...	-10.00	Option expiration- long call

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAC 06/18/2021 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 06/18/21. Total proceeds and cost reported to the IRS.							
	4.000	0.00	05/10/21	20.00	...	-20.00	1 of 2 - Option expiration- long call
	1.000	0.00	05/10/21	5.00	...	-5.00	2 of 2 - Option expiration- long call
06/18/21	5.000	0.00	Various	25.00	...	-25.00	Total of 2 transactions
BB 07/30/2021 CALL \$11.00 / CUSIP: / Symbol:							
07/29/21	1.000	8.98	07/28/21	34.00	...	-25.02	Option sale to close-call ²⁵
2 transactions for 07/30/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/28/21	34.00	...	-34.00	1 of 2 - Option expiration- long call
	1.000	1.98	07/28/21	34.00	...	-32.02	2 of 2 - Option sale to close-call ²⁵
07/30/21	2.000	1.98	Various	68.00	...	-66.02	Total of 2 transactions
	Security total:	10.96		102.00	...	-91.04	
BBBY 07/02/2021 CALL \$38.50 / CUSIP: / Symbol:							
06/30/21	1.000	27.98	06/30/21	250.00	...	-222.02	Option sale to close-call ²⁵
BEEM 08/20/2021 CALL \$85.00 / CUSIP: / Symbol:							
2 transactions for 05/10/21. Total proceeds and cost reported to the IRS.							
	1.000	4.98	02/12/21	1,240.00	...	-1,235.02	1 of 2 - Option sale to close-call ²⁵
	1.000	4.98	02/12/21	1,240.00	...	-1,235.02	2 of 2 - Option sale to close-call ²⁵
05/10/21	2.000	9.96	Various	2,480.00	...	-2,470.04	Total of 2 transactions
BGFV 11/19/2021 CALL \$35.00 / CUSIP: / Symbol:							
11/04/21	1.000	419.98	11/04/21	770.00	350.02 W	0.00	Option sale to close-call ²⁵
11/10/21	1.000	899.98	11/04/21	1,120.02	...	-220.04	Option sale to close-call ²⁵
	Security total:	1,319.96		1,890.02	350.02 W	-220.04	
BNGO 11/19/2021 CALL \$6.00 / CUSIP: / Symbol:							
11/02/21	1.000	59.98	11/02/21	78.00	...	-18.02	Option sale to close-call ²⁵
11/04/21	2.000	135.98	11/02/21	156.00	...	-20.02	Option sale to close-call ²⁵
	Security total:	195.96		234.00	...	-38.04	
CAI 10/15/2021 CALL \$60.00 / CUSIP: / Symbol:							
05/10/21	1.000	149.98	03/02/21	500.00	...	-350.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 120281167

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CAN 05/21/2021 CALL \$30.00 / CUSIP: / Symbol:							
05/11/21	2.000	1.98	03/31/21	600.00	...	-598.02	Option sale to close-call ²⁵
CAN 07/16/2021 CALL \$40.00 / CUSIP: / Symbol:							
07/16/21	2.000	0.00	03/22/21	940.00	...	-940.00	Option expiration- long call
CAN 07/16/2021 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 07/16/21. Total proceeds and cost reported to the IRS.							
	2.000	0.00	03/16/21	1,700.00	...	-1,700.00	1 of 2 - Option expiration- long call
	5.000	0.00	03/22/21	2,500.00	...	-2,500.00	2 of 2 - Option expiration- long call
07/16/21	7.000	0.00	Various	4,200.00	...	-4,200.00	Total of 2 transactions
CAN 11/19/2021 PUT \$7.50 / CUSIP: / Symbol:							
10/26/21	1.000	21.98	10/25/21	0.00	...	21.98	Short sale closed- put ²⁵ Option written: 10/20/21
CAN 12/17/2021 CALL \$12.50 / CUSIP: / Symbol:							
12/17/21	3.000	0.00	11/19/21	234.00	...	-234.00	Option expiration- long call
CCIV 05/21/2021 CALL \$55.00 / CUSIP: / Symbol:							
02/18/21	1.000	2,499.93	02/16/21	2,120.00	...	379.93	Option sale to close-call ²⁵
COST 01/21/2022 CALL \$480.00 / CUSIP: / Symbol:							
10/21/21	1.000	1,439.98	12/24/20	600.00	...	839.98	Option sale to close-call ²⁵
10/26/21	1.000	2,214.97	12/24/20	600.00	...	1,614.97	Option sale to close-call ²⁵
	Security total:	3,654.95		1,200.00	...	2,454.95	
COST 10/01/2021 CALL \$457.50 / CUSIP: / Symbol:							
09/27/21	1.000	434.98	09/23/21	430.00	...	4.98	Option sale to close-call ²⁵
COST 10/01/2021 CALL \$460.00 / CUSIP: / Symbol:							
09/29/21	1.000	91.98	09/23/21	420.00	...	-328.02	Option sale to close-call ²⁵
COST 11/12/2021 CALL \$512.50 / CUSIP: / Symbol:							
11/12/21	2.000	1,169.98	11/11/21	420.00	...	749.98	Option sale to close-call ²⁵
COST 11/26/2021 CALL \$515.00 / CUSIP: / Symbol:							
11/05/21	2.000	1,449.98	11/04/21	2,200.00	...	-750.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 120281167

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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COTY 11/19/2021 CALL \$10.50 / CUSIP: / Symbol:							
2 transactions for 11/10/21. Total proceeds and cost reported to the IRS.							
	1.000	37.98	11/08/21	74.02	...	-36.04	1 of 2 - Option sale to close-call ²⁵
	1.000	38.98	11/08/21	57.00	...	-18.02	2 of 2 - Option sale to close-call ²⁵
11/10/21	2.000	76.96	Various	131.02	...	-54.06	Total of 2 transactions
11/10/21	1.000	39.98	11/08/21	57.00	17.02 W	0.00	Option sale to close-call ²⁵
	Security total:	116.94		188.02	17.02 W	-54.06	
CRM 10/01/2021 CALL \$262.50 / CUSIP: / Symbol:							
09/23/21	1.000	1,149.98	09/22/21	320.00	...	829.98	Option sale to close-call ²⁵
DELL 01/21/2022 CALL \$120.00 / CUSIP: / Symbol:							
10/25/21	1.000	293.98	10/18/21	210.00	...	83.98	Option sale to close-call ²⁵
EA 10/08/2021 CALL \$152.50 / CUSIP: / Symbol:							
10/04/21	1.000	39.98	09/29/21	17.00	...	22.98	Option sale to close-call ²⁵
10/05/21	1.000	9.98	09/29/21	17.00	...	-7.02	Option sale to close-call ²⁵
10/08/21	1.000	0.00	09/29/21	17.00	...	-17.00	Option expiration- long call
	Security total:	49.96		51.00	...	-1.04	
EVLV 11/19/2021 CALL \$12.50 / CUSIP: / Symbol:							
11/19/21	2.000	0.00	11/11/21	20.00	...	-20.00	Option expiration- long call
EXPR 07/02/2021 CALL \$8.50 / CUSIP: / Symbol:							
2 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	1.000	39.98	06/25/21	40.00	...	-0.02	1 of 2 - Option sale to close-call ²⁵
	1.000	49.98	06/25/21	40.00	...	9.98	2 of 2 - Option sale to close-call ²⁵
06/28/21	2.000	89.96	Various	80.00	...	9.96	Total of 2 transactions
FDX 05/28/2021 CALL \$330.00 / CUSIP: / Symbol:							
05/10/21	1.000	248.98	05/07/21	220.00	...	28.98	Option sale to close-call ²⁵
GCI 04/16/2021 CALL \$7.50 / CUSIP: / Symbol:							
04/16/21	3.000	0.00	02/19/21	90.00	...	-90.00	Option expiration- long call

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GE 06/18/2021 CALL \$20.00 / CUSIP: / Symbol:							
3 transactions for 05/07/21. Total proceeds and cost reported to the IRS.							
	2.000	1.98	03/10/21	28.00	...	-26.02	1 of 3 - Option sale to close-call ²⁵
	2.000	1.98	03/10/21	28.00	...	-26.02	2 of 3 - Option sale to close-call ²⁵
	1.000	0.98	03/10/21	14.00	...	-13.02	3 of 3 - Option sale to close-call ²⁵
05/07/21	5.000	4.94	Various	70.00	...	-65.06	Total of 3 transactions
2 transactions for 05/11/21. Total proceeds and cost reported to the IRS.							
	3.000	2.98	03/10/21	42.00	...	-39.02	1 of 2 - Option sale to close-call ²⁵
	2.000	1.98	03/10/21	28.00	...	-26.02	2 of 2 - Option sale to close-call ²⁵
05/11/21	5.000	4.96	Various	70.00	...	-65.04	Total of 2 transactions
06/18/21	10.000	0.00	03/10/21	140.00	...	-140.00	Option expiration- long call
	Security total:	9.90		280.00	...	-270.10	
GGPI 11/19/2021 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 11/15/21. Total proceeds and cost reported to the IRS.							
	1.000	179.99	11/12/21	65.00	...	114.99	1 of 2 - Option sale to close-call ²⁵
	2.000	359.99	11/12/21	130.00	...	229.99	2 of 2 - Option sale to close-call ²⁵
11/15/21	3.000	539.98	Various	195.00	...	344.98	Total of 2 transactions
GME 03/05/2021 PUT \$39.50 / CUSIP: / Symbol:							
03/05/21	1.000	0.00	02/04/21	1,000.00	...	-1,000.00	Option expiration- long put
GME 04/16/2021 PUT \$30.00 / CUSIP: / Symbol:							
04/12/21	1.000	1.98	02/25/21	350.00	...	-348.02	Option sale to close-put ²⁵
04/16/21	2.000	0.00	02/25/21	700.00	...	-700.00	Option expiration- long put
	Security total:	1.98		1,050.00	...	-1,048.02	
GOOGL 11/05/2021 CALL \$2,915.0 / CUSIP: / Symbol:							
2 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	1.000	1,959.98	11/03/21	2,280.00	...	-320.02	1 of 2 - Option sale to close-call ²⁵
	1.000	1,959.98	11/03/21	2,280.00	...	-320.02	2 of 2 - Option sale to close-call ²⁵
11/03/21	2.000	3,919.96	Various	4,560.00	...	-640.04	Total of 2 transactions
GRTX 01/21/2022 CALL \$5.00 / CUSIP: / Symbol:							
12/23/21	3.000	227.98	12/21/21	210.00	...	17.98	Option sale to close-call ²⁵

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Account 120281167

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GRWG 07/16/2021 PUT \$47.00 / CUSIP: / Symbol:							
2 transactions for 07/06/21. Total proceeds and cost reported to the IRS.							
	1.000	59.98	07/02/21	198.00	...	-138.02	1 of 2 - Option sale to close-put ²⁵
	1.000	64.98	07/02/21	198.00	...	-133.02	2 of 2 - Option sale to close-put ²⁵
07/06/21	2.000	124.96	Various	396.00	...	-271.04	Total of 2 transactions
HPQ 10/29/2021 CALL \$32.00 / CUSIP: / Symbol:							
2 transactions for 10/26/21. Total proceeds and cost reported to the IRS.							
	1.000	3.98	10/21/21	11.00	...	-7.02	1 of 2 - Option sale to close-call ²⁵
	1.000	3.98	10/21/21	11.00	...	-7.02	2 of 2 - Option sale to close-call ²⁵
10/26/21	2.000	7.96	Various	22.00	...	-14.04	Total of 2 transactions
IMMR 08/20/2021 CALL \$15.00 / CUSIP: / Symbol:							
07/06/21	1.000	4.98	12/28/20	155.00	...	-150.02	Option sale to close-call ²⁵
IMMR 08/20/2021 CALL \$17.50 / CUSIP: / Symbol:							
08/20/21	4.000	0.00	12/29/20	920.00	...	-920.00	Option expiration- long call
JOBY 01/20/2023 CALL \$7.50 / CUSIP: / Symbol:							
12/23/21	1.000	214.98	12/21/21	210.00	...	4.98	Option sale to close-call ²⁵
LCID 12/03/2021 CALL \$56.00 / CUSIP: / Symbol:							
11/18/21	2.000	451.98	11/17/21	1,410.00	...	-958.02	Option sale to close-call ²⁵
LULU 12/23/2021 CALL \$440.00 / CUSIP: / Symbol:							
12/16/21	1.000	39.98	12/07/21	2,050.00	2,010.02 W	0.00	Option sale to close-call ²⁵
2 transactions for 12/23/21. Total proceeds and cost reported to the IRS.							
	1.000	0.00	12/07/21	4,060.02	...	-4,060.02	1 of 2 - Option expiration- long call
	1.000	0.00	12/07/21	2,050.00	...	-2,050.00	2 of 2 - Option expiration- long call
12/23/21	2.000	0.00	Various	6,110.02	...	-6,110.02	Total of 2 transactions
	Security total:	39.98		8,160.02	2,010.02 W	-6,110.02	

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
M 10/22/2021 CALL \$27.50 / CUSIP: / Symbol:							
2 transactions for 10/19/21. Total proceeds and cost reported to the IRS.							
	1.000	38.98	10/18/21	68.00	...	-29.02	1 of 2 - Option sale to close-call ²⁵
	1.000	41.98	10/18/21	68.00	...	-26.02	2 of 2 - Option sale to close-call ²⁵
10/19/21	2.000	80.96	Various	136.00	...	-55.04	Total of 2 transactions
MARA 11/05/2021 CALL \$61.00 / CUSIP: / Symbol:							
11/02/21	1.000	229.98	11/02/21	310.00	...	-80.02	Option sale to close-call ²⁵
11/03/21	2.000	439.98	11/02/21	620.00	...	-180.02	Option sale to close-call ²⁵
	Security total:	669.96		930.00	...	-260.04	
MGI 01/21/2022 PUT \$7.00 / CUSIP: / Symbol:							
02/22/21	2.000	509.97	02/17/21	390.00	...	119.97	Option sale to close-put ²⁵
03/26/21	1.000	299.98	02/17/21	195.00	...	104.98	Option sale to close-put ²⁵
	Security total:	809.95		585.00	...	224.95	
MSFT 10/22/2021 CALL \$310.00 / CUSIP: / Symbol:							
10/22/21	3.000	29.98	10/21/21	273.00	...	-243.02	Option sale to close-call ²⁵
MSFT 10/29/2021 CALL \$345.00 / CUSIP: / Symbol:							
10/29/21	10.000	0.00	10/27/21	240.00	...	-240.00	Option expiration- long call
MSFT 11/12/2021 CALL \$335.00 / CUSIP: / Symbol:							
2 transactions for 11/11/21. Total proceeds and cost reported to the IRS.							
	1.000	64.98	11/11/21	62.00	...	2.98	1 of 2 - Option sale to close-call ²⁵
	1.000	64.98	11/11/21	62.00	...	2.98	2 of 2 - Option sale to close-call ²⁵
11/11/21	2.000	129.96	Various	124.00	...	5.96	Total of 2 transactions
NKLA 01/21/2022 CALL \$45.00 / CUSIP: / Symbol:							
05/10/21	2.000	119.98	03/09/21	376.00	...	-256.02	Option sale to close-call ²⁵
NNDM 12/17/2021 CALL \$30.00 / CUSIP: / Symbol:							
05/10/21	1.000	17.98	03/11/21	192.00	...	-174.02	Option sale to close-call ²⁵
05/26/21	1.000	14.98	03/11/21	192.00	...	-177.02	Option sale to close-call ²⁵
	Security total:	32.96		384.00	...	-351.04	

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NNOX 11/05/2021 CALL \$25.00 / CUSIP: / Symbol:							
4 transactions for 11/03/21. Total proceeds and cost reported to the IRS.							
	1.000	300.00	11/03/21	275.00	...	25.00	1 of 4 - Option sale to close-call ²⁵
	4.000	1,199.98	11/03/21	1,128.00	...	71.98	2 of 4 - Option sale to close-call ²⁵
	5.000	1,399.98	11/03/21	575.00	...	824.98	3 of 4 - Option sale to close-call ²⁵
	5.000	1,499.98	11/03/21	575.00	...	924.98	4 of 4 - Option sale to close-call ²⁵
11/03/21	15.000	4,399.94	Various	2,553.00	...	1,846.94	Total of 4 transactions
NNOX 11/19/2021 CALL \$26.00 / CUSIP: / Symbol:							
11/08/21	2.000	699.98	11/08/21	620.00	...	79.98	Option sale to close-call ²⁵
NRZ 03/19/2021 CALL \$11.00 / CUSIP: / Symbol:							
03/17/21	2.000	39.98	01/28/21	80.00	...	-40.02	Option sale to close-call ²⁵
03/19/21	2.000	39.98	01/28/21	80.00	...	-40.02	Option sale to close-call ²⁵
	Security total:	79.96		160.00	...	-80.04	
NVDA 11/19/2021 CALL \$285.00 / CUSIP: / Symbol:							
11/04/21	1.000	2,185.97	11/02/21	445.00	...	1,740.97	Option sale to close-call ²⁵
11/09/21	1.000	2,439.97	11/02/21	445.00	...	1,994.97	Option sale to close-call ²⁵
	Security total:	4,625.94		890.00	...	3,735.94	
NVDA 11/26/2021 CALL \$320.00 / CUSIP: / Symbol:							
11/22/21	1.000	2,499.97	11/18/21	1,020.00	...	1,479.97	Option sale to close-call ²⁵
NXTD 07/16/2021 CALL \$7.50 / CUSIP: / Symbol:							
5 transactions for 02/17/21. Total proceeds and cost reported to the IRS.							
	1.000	79.98	02/09/21	60.00	...	19.98	1 of 5 - Option sale to close-call ²⁵
	1.000	79.99	02/09/21	60.00	...	19.99	2 of 5 - Option sale to close-call ²⁵
	1.000	79.99	02/09/21	60.00	...	19.99	3 of 5 - Option sale to close-call ²⁵
	2.000	159.98	02/09/21	120.00	...	39.98	4 of 5 - Option sale to close-call ²⁵
	2.000	279.98	02/09/21	120.00	...	159.98	5 of 5 - Option sale to close-call ²⁵
02/17/21	7.000	679.92	Various	420.00	...	259.92	Total of 5 transactions
07/16/21	3.000	0.00	02/09/21	180.00	...	-180.00	Option expiration- long call
	Security total:	679.92		600.00	...	79.92	

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2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCGN 11/19/2021 CALL \$13.00 / CUSIP: / Symbol:							
11/03/21	3.000	1,874.98	11/01/21	915.00	...	959.98	Option sale to close-call ²⁵
PFE 01/14/2022 CALL \$56.00 / CUSIP: / Symbol:							
12/16/21	2.000	999.98	12/13/21	400.00	...	599.98	Option sale to close-call ²⁵
PFE 11/05/2021 CALL \$44.00 / CUSIP: / Symbol:							
11/02/21	5.000	649.98	10/29/21	350.00	...	299.98	Option sale to close-call ²⁵
PFE 11/19/2021 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 11/11/21. Total proceeds and cost reported to the IRS.							
	2.000	209.98	11/11/21	206.00	...	3.98	1 of 2 - Option sale to close-call ²⁵
	3.000	314.98	11/11/21	309.00	...	5.98	2 of 2 - Option sale to close-call ²⁵
11/11/21	5.000	524.96	Various	515.00	...	9.96	Total of 2 transactions
PFE 12/03/2021 CALL \$50.50 / CUSIP: / Symbol:							
11/22/21	5.000	799.98	11/17/21	610.00	...	189.98	Option sale to close-call ²⁵
11/30/21	5.000	1,874.98	11/17/21	610.00	...	1,264.98	Option sale to close-call ²⁵
	Security total:	2,674.96		1,220.00	...	1,454.96	
PFE 12/23/2021 CALL \$61.50 / CUSIP: / Symbol:							
2 transactions for 12/20/21. Total proceeds and cost reported to the IRS.							
	1.000	139.98	12/20/21	130.00	...	9.98	1 of 2 - Option sale to close-call ²⁵
	1.000	139.98	12/20/21	130.00	...	9.98	2 of 2 - Option sale to close-call ²⁵
12/20/21	2.000	279.96	Various	260.00	...	19.96	Total of 2 transactions
PLTR 05/21/2021 CALL \$30.00 / CUSIP: / Symbol:							
05/21/21	1.000	0.00	01/13/21	485.00	...	-485.00	Option expiration- long call
PLTR 11/19/2021 CALL \$26.00 / CUSIP: / Symbol:							
06/17/21	2.000	659.98	05/11/21	360.00	...	299.98	Option sale to close-call ²⁵
07/01/21	1.000	293.98	05/11/21	180.00	...	113.98	Option sale to close-call ²⁵
11/04/21	1.000	167.98	05/11/21	180.00	...	-12.02	Option sale to close-call ²⁵
	Security total:	1,121.94		720.00	...	401.94	

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Robinhood Securities LLC

Account 120281167

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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PLTR 11/19/2021 CALL \$26.50 / CUSIP: / Symbol:							
11/08/21	1.000	165.98	11/08/21	164.00	...	1.98	Option sale to close-call ²⁵
11/19/21	1.000	0.00	11/08/21	164.00	...	-164.00	Option expiration- long call
	Security total:	165.98		328.00	...	-162.02	
PROG 10/15/2021 CALL \$2.50 / CUSIP: / Symbol:							
09/30/21	1.000	14.98	09/30/21	10.00	...	4.98	Option sale to close-call ²⁵
10/01/21	1.000	39.98	09/30/21	10.00	...	29.98	Option sale to close-call ²⁵
	Security total:	54.96		20.00	...	34.96	
PRTY 01/21/2022 CALL \$15.00 / CUSIP: / Symbol:							
	2 transactions for 06/28/21. Total proceeds and cost reported to the IRS.						
	4.000	399.98	05/10/21	500.00	...	-100.02	1 of 2 - Option sale to close-call ²⁵
	1.000	99.98	05/10/21	125.00	...	-25.02	2 of 2 - Option sale to close-call ²⁵
06/28/21	5.000	499.96	Various	625.00	...	-125.04	Total of 2 transactions
PYPL 11/26/2021 CALL \$210.00 / CUSIP: / Symbol:							
11/16/21	3.000	1,769.98	11/12/21	1,425.00	...	344.98	Option sale to close-call ²⁵
QS 11/19/2021 CALL \$38.00 / CUSIP: / Symbol:							
11/11/21	1.000	287.98	11/08/21	390.00	...	-102.02	Option sale to close-call ²⁵
QTT 07/16/2021 CALL \$7.50 / CUSIP: / Symbol:							
01/26/21	3.000	269.98	01/21/21	450.00	...	-180.02	Option sale to close-call ²⁵
RIOT 01/21/2022 CALL \$2.00 / CUSIP: / Symbol:							
	2 transactions for 02/12/21. Total proceeds and cost reported to the IRS.						
	1.000	4,599.88	02/08/21	2,600.00	...	1,999.88	1 of 2 - Option sale to close-call ²⁵
	1.000	4,599.88	02/08/21	2,600.00	...	1,999.88	2 of 2 - Option sale to close-call ²⁵
02/12/21	2.000	9,199.76	Various	5,200.00	...	3,999.76	Total of 2 transactions
02/17/21	1.000	6,849.83	02/08/21	2,600.00	...	4,249.83	Option sale to close-call ²⁵
	Security total:	16,049.59		7,800.00	...	8,249.59	

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Robinhood Securities LLC

Account 120281167

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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RIOT 07/02/2021 CALL \$38.00 / CUSIP: / Symbol:							
06/28/21	1.000	26.98	06/24/21	60.00	...	-33.02	Option sale to close-call ²⁵
06/29/21	1.000	279.98	06/24/21	60.00	...	219.98	Option sale to close-call ²⁵
	Security total:	306.96		120.00	...	186.96	
RIOT 11/05/2021 CALL \$28.00 / CUSIP: / Symbol:							
11/01/21	2.000	203.98	11/01/21	296.00	...	-92.02	Option sale to close-call ²⁵
11/02/21	1.000	192.98	11/01/21	148.00	...	44.98	Option sale to close-call ²⁵
	Security total:	396.96		444.00	...	-47.04	
RKT 11/05/2021 CALL \$17.50 / CUSIP: / Symbol:							
10/29/21	5.000	224.98	10/29/21	225.00	...	-0.02	Option sale to close-call ²⁵
RTP 10/15/2021 CALL \$15.00 / CUSIP: / Symbol:							
07/06/21	1.000	29.98	02/24/21	410.00	...	-380.02	Option sale to close-call ²⁵
07/09/21	1.000	29.98	02/24/21	410.00	...	-380.02	Option sale to close-call ²⁵
	Security total:	59.96		820.00	...	-760.04	
SENS 06/18/2021 CALL \$4.00 / CUSIP: / Symbol:							
06/11/21	1.000	49.98	06/10/21	40.00	...	9.98	Option sale to close-call ²⁵
SKIN 11/19/2021 CALL \$35.00 / CUSIP: / Symbol:							
	2 transactions for 11/08/21. Total proceeds and cost reported to the IRS.						
	9.000	386.97	11/02/21	495.00	...	-108.03	1 of 2 - Option sale to close-call ²⁵
	1.000	41.98	11/02/21	55.00	...	-13.02	2 of 2 - Option sale to close-call ²⁵
11/08/21	10.000	428.95	Various	550.00	...	-121.05	Total of 2 transactions
SKLZ 11/05/2021 CALL \$10.50 / CUSIP: / Symbol:							
10/26/21	2.000	289.98	10/22/21	160.00	...	129.98	Option sale to close-call ²⁵
10/28/21	1.000	134.98	10/22/21	80.00	...	54.98	Option sale to close-call ²⁵
	Security total:	424.96		240.00	...	184.96	
SNAP 02/18/2022 CALL \$75.00 / CUSIP: / Symbol:							
10/21/21	1.000	889.98	10/18/21	880.00	...	9.98	Option sale to close-call ²⁵
10/22/21	1.000	291.98	10/18/21	880.00	...	-588.02	Option sale to close-call ²⁵
	Security total:	1,181.96		1,760.00	...	-578.04	

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Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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SPCE 04/01/2021 CALL \$50.50 / CUSIP: / Symbol:							
03/30/21	1.000	0.98	02/19/21	930.00	...	-929.02	Option sale to close-call ²⁵
SPCE 05/28/2021 PUT \$21.00 / CUSIP: / Symbol:							
05/28/21	1.000	23.98	05/28/21	0.00	...	23.98	Short sale closed- put expired Option written: 05/24/21
SPCE 07/02/2021 CALL \$55.00 / CUSIP: / Symbol:							
06/25/21	1.000	519.98	06/25/21	370.00	...	149.98	Option sale to close-call ²⁵
SPOT 11/12/2021 PUT \$275.00 / CUSIP: / Symbol:							
11/11/21	3.000	569.98	11/11/21	525.00	...	44.98	Option sale to close-put ²⁵
SPOT 12/03/2021 CALL \$305.00 / CUSIP: / Symbol:							
11/02/21	1.000	1,199.98	11/02/21	1,190.00	...	9.98	Option sale to close-call ²⁵
11/05/21	1.000	609.98	11/02/21	1,190.00	...	-580.02	Option sale to close-call ²⁵
	Security total:	1,809.96		2,380.00	...	-570.04	
SPXL 01/21/2022 CALL \$140.00 / CUSIP: / Symbol:							
12/23/21	1.000	629.98	12/23/21	625.00	...	4.98	Option sale to close-call ²⁵
SPXL 12/17/2021 CALL \$135.00 / CUSIP: / Symbol:							
	<i>2 transactions for 11/11/21. Total proceeds and cost reported to the IRS.</i>						
	2.000	1,319.98	11/08/21	1,980.00	...	-660.02	1 of 2 - Option sale to close-call ²⁵
	1.000	659.98	11/08/21	990.00	...	-330.02	2 of 2 - Option sale to close-call ²⁵
11/11/21	3.000	1,979.96	Various	2,970.00	...	-990.04	Total of 2 transactions
SRNE 07/09/2021 CALL \$11.00 / CUSIP: / Symbol:							
07/01/21	2.000	119.98	07/01/21	110.00	...	9.98	Option sale to close-call ²⁵
STZ 12/17/2021 CALL \$232.50 / CUSIP: / Symbol:							
12/07/21	2.000	959.98	12/07/21	760.00	...	199.98	Option sale to close-call ²⁵
SUP 07/16/2021 CALL \$7.50 / CUSIP: / Symbol:							
05/10/21	7.000	314.98	02/12/21	1,428.00	...	-1,113.02	Option sale to close-call ²⁵
05/11/21	3.000	134.98	02/12/21	612.00	...	-477.02	Option sale to close-call ²⁵
	Security total:	449.96		2,040.00	...	-1,590.04	

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SUPN 06/18/2021 CALL \$35.00 / CUSIP: / Symbol:							
05/10/21	2.000	49.98	12/23/20	500.00	...	-450.02	Option sale to close-call ²⁵
TEAM 11/26/2021 CALL \$447.50 / CUSIP: / Symbol:							
2 transactions for 11/19/21. Total proceeds and cost reported to the IRS.							
	1.000	389.98	11/11/21	930.00	...	-540.02	1 of 2 - Option sale to close-call ²⁵
	1.000	389.98	11/11/21	930.00	...	-540.02	2 of 2 - Option sale to close-call ²⁵
11/19/21	2.000	779.96	Various	1,860.00	...	-1,080.04	Total of 2 transactions
TGT 01/21/2022 CALL \$210.00 / CUSIP: / Symbol:							
05/05/21	1.000	1,999.97	03/01/21	1,355.00	...	644.97	Option sale to close-call ²⁵
TME 11/12/2021 CALL \$9.00 / CUSIP: / Symbol:							
2 transactions for 11/12/21. Total proceeds and cost reported to the IRS.							
	4.000	3.98	11/11/21	20.00	...	-16.02	1 of 2 - Option sale to close-call ²⁵
	1.000	1.00	11/11/21	5.00	...	-4.00	2 of 2 - Option sale to close-call ²⁵
11/12/21	5.000	4.98	Various	25.00	...	-20.02	Total of 2 transactions
TQQQ 10/29/2021 CALL \$152.00 / CUSIP: / Symbol:							
10/28/21	1.000	229.98	10/27/21	130.00	...	99.98	Option sale to close-call ²⁵
2 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	1.000	44.98	10/27/21	130.00	...	-85.02	1 of 2 - Option sale to close-call ²⁵
	1.000	178.98	10/27/21	130.00	...	48.98	2 of 2 - Option sale to close-call ²⁵
10/29/21	2.000	223.96	Various	260.00	...	-36.04	Total of 2 transactions
	Security total:	453.94		390.00	...	63.94	
TQQQ 11/19/2021 CALL \$166.00 / CUSIP: / Symbol:							
11/05/21	2.000	1,899.98	11/04/21	1,500.00	...	399.98	Option sale to close-call ²⁵
TQQQ 11/19/2021 CALL \$172.00 / CUSIP: / Symbol:							
11/16/21	1.000	155.98	11/08/21	1,006.01	...	-850.03	Option sale to close-call ²⁵
11/16/21	2.000	287.98	11/08/21	1,150.00	431.01 W	-431.01	Option sale to close-call ²⁵
	Security total:	443.96		2,156.01	431.01 W	-1,281.04	

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TQQQ 11/26/2021 CALL \$162.00 / CUSIP: / Symbol:							
2 transactions for 11/11/21. Total proceeds and cost reported to the IRS.							
	1.000	589.99	11/11/21	680.00	...	-90.01	1 of 2 - Option sale to close-call ²⁵
	1.000	589.99	11/11/21	680.00	...	-90.01	2 of 2 - Option sale to close-call ²⁵
11/11/21	2.000	1,179.98	Various	1,360.00	...	-180.02	Total of 2 transactions
TSLA 06/25/2021 CALL \$690.00 / CUSIP: / Symbol:							
06/24/21	1.000	924.98	06/24/21	805.00	...	119.98	Option sale to close-call ²⁵
TSLA 07/02/2021 CALL \$720.00 / CUSIP: / Symbol:							
2 transactions for 06/30/21. Total proceeds and cost reported to the IRS.							
	2.000	519.98	06/30/21	770.00	...	-250.02	1 of 2 - Option sale to close-call ²⁵
	1.000	439.98	06/30/21	385.00	...	54.98	2 of 2 - Option sale to close-call ²⁵
06/30/21	3.000	959.96	Various	1,155.00	...	-195.04	Total of 2 transactions
TSLA 07/16/2021 CALL \$740.00 / CUSIP: / Symbol:							
07/14/21	1.000	16.98	07/08/21	134.00	...	-117.02	Option sale to close-call ²⁵
TSLA 10/29/2021 CALL \$1,100.00 / CUSIP: / Symbol:							
2 transactions for 10/25/21. Total proceeds and cost reported to the IRS.							
	1.000	692.98	10/25/21	655.00	...	37.98	1 of 2 - Option sale to close-call ²⁵
	1.000	809.98	10/25/21	655.00	...	154.98	2 of 2 - Option sale to close-call ²⁵
10/25/21	2.000	1,502.96	Various	1,310.00	...	192.96	Total of 2 transactions
TSLA 11/05/2021 CALL \$1,100.00 / CUSIP: / Symbol:							
2 transactions for 10/29/21. Total proceeds and cost reported to the IRS.							
	1.000	3,909.97	10/29/21	3,905.00	...	4.97	1 of 2 - Option sale to close-call ²⁵
	1.000	4,049.96	10/29/21	3,745.00	...	304.96	2 of 2 - Option sale to close-call ²⁵
10/29/21	2.000	7,959.93	Various	7,650.00	...	309.93	Total of 2 transactions
TSLA 11/05/2021 CALL \$1,150.00 / CUSIP: / Symbol:							
11/01/21	1.000	3,899.97	11/01/21	3,750.00	...	149.97	Option sale to close-call ²⁵
TSLA 11/12/2021 CALL \$1,045.00 / CUSIP: / Symbol:							
11/10/21	1.000	3,639.97	11/10/21	3,500.00	...	139.97	Option sale to close-call ²⁵

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(continued)

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TSLA 11/19/2021 CALL \$1,105.00 / CUSIP: / Symbol:							
11/17/21	2.000	4,449.96	11/17/21	4,430.00	...	19.96	Option sale to close-call ²⁵
TSLA 11/19/2021 CALL \$1,250.00 / CUSIP: / Symbol:							
2 transactions for 11/01/21. Total proceeds and cost reported to the IRS.							
	1.000	4,609.96	11/01/21	4,580.00	...	29.96	1 of 2 - Option sale to close-call ²⁵
	1.000	5,669.96	11/01/21	4,860.00	...	809.96	2 of 2 - Option sale to close-call ²⁵
11/01/21	2.000	10,279.92	Various	9,440.00	...	839.92	Total of 2 transactions
TSLA 12/03/2021 CALL \$1,120.00 / CUSIP: / Symbol:							
12/01/21	1.000	5,079.96	11/24/21	4,600.00	...	479.96	Option sale to close-call ²⁵
TSLA 12/03/2021 CALL \$1,130.00 / CUSIP: / Symbol:							
11/17/21	1.000	3,369.97	11/17/21	5,400.00	...	-2,030.03	Option sale to close-call ²⁵
TWTR 12/03/2021 CALL \$52.00 / CUSIP: / Symbol:							
2 transactions for 11/17/21. Total proceeds and cost reported to the IRS.							
	1.000	129.98	11/10/21	215.00	...	-85.02	1 of 2 - Option sale to close-call ²⁵
	1.000	129.98	11/10/21	215.00	...	-85.02	2 of 2 - Option sale to close-call ²⁵
11/17/21	2.000	259.96	Various	430.00	...	-170.04	Total of 2 transactions
UNFI 01/21/2022 CALL \$35.00 / CUSIP: / Symbol:							
03/03/21	1.000	609.98	02/12/21	580.00	...	29.98	Option sale to close-call ²⁵
03/09/21	1.000	829.98	02/12/21	580.00	...	249.98	Option sale to close-call ²⁵
Security total:		1,439.96		1,160.00	...	279.96	
UPST 10/29/2021 CALL \$380.00 / CUSIP: / Symbol:							
10/15/21	1.000	3,119.97	10/14/21	1,470.00	...	1,649.97	Option sale to close-call ²⁵
VIRT 12/17/2021 CALL \$39.00 / CUSIP: / Symbol:							
06/01/21	1.000	64.98	05/11/21	50.00	...	14.98	Option sale to close-call ²⁵
06/04/21	1.000	74.98	05/11/21	50.00	...	24.98	Option sale to close-call ²⁵
Security total:		139.96		100.00	...	39.96	
VXRT 07/30/2021 CALL \$8.00 / CUSIP: / Symbol:							
07/27/21	1.000	22.98	07/20/21	49.00	...	-26.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 120281167

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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WFC 06/25/2021 CALL \$44.50 / CUSIP: / Symbol:							
06/22/21	5.000	149.98	06/21/21	110.00	...	39.98	Option sale to close-call ²⁵
3 transactions for 06/24/21. Total proceeds and cost reported to the IRS.							
	1.000	82.98	06/21/21	22.00	...	60.98	1 of 3 - Option sale to close-call ²⁵
	2.000	165.98	06/21/21	44.00	...	121.98	2 of 3 - Option sale to close-call ²⁵
	2.000	219.98	06/21/21	44.00	...	175.98	3 of 3 - Option sale to close-call ²⁵
06/24/21	5.000	468.94	Various	110.00	...	358.94	Total of 3 transactions
06/25/21	1.000	176.98	06/21/21	22.00	...	154.98	Option sale to close-call ²⁵
	Security total:	795.90		242.00	...	553.90	
WISH 07/16/2021 CALL \$13.00 / CUSIP: / Symbol:							
07/06/21	1.000	59.98	07/06/21	75.00	...	-15.02	Option sale to close-call ²⁵
07/07/21	1.000	34.98	07/06/21	75.00	...	-40.02	Option sale to close-call ²⁵
	Security total:	94.96		150.00	...	-55.04	
WKHS 11/12/2021 CALL \$7.50 / CUSIP: / Symbol:							
10/29/21	2.000	91.98	10/28/21	124.00	...	-32.02	Option sale to close-call ²⁵
11/02/21	1.000	43.98	10/28/21	62.00	...	-18.02	Option sale to close-call ²⁵
	Security total:	135.96		186.00	...	-50.04	
WMT 01/21/2022 CALL \$145.00 / CUSIP: / Symbol:							
12/01/21	5.000	1,054.98	11/22/21	1,800.00	149.00 W	-596.02	Option sale to close-call ²⁵
12/02/21	3.000	407.98	11/22/21	1,080.00	...	-672.02	Option sale to close-call ²⁵
12/14/21	1.000	264.98	11/22/21	360.00	...	-95.02	Option sale to close-call ²⁵
	Security total:	1,727.94		3,240.00	149.00 W	-1,363.06	
WMT 11/12/2021 CALL \$150.00 / CUSIP: / Symbol:							
2 transactions for 11/04/21. Total proceeds and cost reported to the IRS.							
	3.000	509.98	11/04/21	570.00	...	-60.02	1 of 2 - Option sale to close-call ²⁵
	1.000	224.98	11/04/21	190.00	...	34.98	2 of 2 - Option sale to close-call ²⁵
11/04/21	4.000	734.96	Various	760.00	...	-25.04	Total of 2 transactions
11/05/21	1.000	121.98	11/04/21	190.00	...	-68.02	Option sale to close-call ²⁵
	Security total:	856.94		950.00	...	-93.06	
XLV 11/19/2021 CALL \$135.00 / CUSIP: / Symbol:							
11/04/21	2.000	215.98	11/02/21	260.00	...	-44.02	Option sale to close-call ²⁵

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2021 1099-B* OMB No. 1545-0715

(continued)

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ALFI, INC. COMMON STOCK / CUSIP: 00161P109 / Symbol:							
08/11/21	50.000	407.50	06/25/21	923.04	...	-515.54	Sale ²⁵
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
03/08/21	100.000	916.99	02/24/21	837.80	...	79.19	Sale ²⁵
05/28/21	30.000	783.97	05/28/21	935.40	...	-151.43	Sale ²⁵
06/01/21	20.000	644.99	05/28/21	623.60	...	21.39	Sale ²⁵
06/17/21	20.000	1,255.99	05/28/21	623.60	...	632.39	Sale ²⁵
	Security total:	3,601.94		3,020.40	...	581.54	
ALLIANCE RESOURCE PARTNERS, L. P. COMMON UNITS REPRESENTING L IMITED PARTNERS INTERESTS / CUSIP: 01877R108 / Symbol:							
02/17/21	200.000	1,259.99	02/17/21	1,258.00	...	1.99	Sale ²⁵
ALTERITY THERAPEUTICS LIMITED AMERICAN DEPOSITARY SHARES / CUSIP: 02155X106 / Symbol:							
07/28/21	100.000	128.99	07/01/21	265.50	...	-136.51	Sale ²⁵
APPLIED UV, INC. COMMON STOCK / CUSIP: 03828V105 / Symbol:							
02/09/21	5.000	130.70	02/09/21	129.95	...	0.75	Sale ²⁵
05/11/21	2.000	16.66	02/09/21	51.98	...	-35.32	Sale ²⁵
	Security total:	147.36		181.93	...	-34.57	
APTEVO THERAPEUTICS INC. COMMO N STOCK / CUSIP: 03835L207 / Symbol:							
11/29/21	10.000	113.00	11/23/21	191.58	...	-78.58	Sale ²⁵
AQUA METALS, INC. COMMON STOCK / CUSIP: 03837J101 / Symbol:							
	2 transactions for 02/17/21. Total proceeds and cost reported to the IRS.						
	13.000	100.75	02/17/21	98.91	...	1.84	1 of 2 - Sale ²⁵
	37.000	286.75	02/17/21	281.52	...	5.23	2 of 2 - Sale ²⁵
02/17/21	50.000	387.50	Various	380.43	...	7.07	Total of 2 transactions
AQUABOUTY TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 03842K200 / Symbol:							
05/10/21	100.000	515.98	02/12/21	915.50	...	-399.52	Sale ²⁵
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
02/09/21	2.000	65.60	01/29/21	59.30	...	6.30	Sale ²⁵

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(continued)

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BOEING COMPANY / CUSIP: 097023105 / Symbol:							
07/01/21	3.000	716.57	06/29/21	727.37	...	-10.80	Sale ²⁵
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol:							
<i>5 transactions for 07/12/21. Total proceeds and cost reported to the IRS.</i>							
	500.000	303.34	07/01/21	380.05	...	-76.71	1 of 5 - Sale ²⁵
	200.000	121.38	07/01/21	152.02	...	-30.64	2 of 5 - Sale ²⁵
	150.000	91.03	07/01/21	114.02	...	-22.99	3 of 5 - Sale ²⁵
	100.000	60.69	07/01/21	76.01	...	-15.32	4 of 5 - Sale ²⁵
	50.000	30.30	07/01/21	38.00	...	-7.70	5 of 5 - Sale ²⁵
07/12/21	1,000.000	606.74	Various	760.10	...	-153.36	Total of 5 transactions
CANAAN INC. AMERICAN DEPOSITARY SHARES / CUSIP: 134748102 / Symbol:							
03/19/21	20.000	578.89	02/19/21	498.18	...	80.71	Sale ²⁵
<i>4 transactions for 11/16/21. Total proceeds and cost reported to the IRS.</i>							
	24.000	241.66	02/19/21	602.40	...	-360.74	1 of 4 - Sale ²⁵
	15.000	151.04	02/19/21	373.63	...	-222.59	2 of 4 - Sale ²⁵
	1.000	10.07	02/19/21	25.10	...	-15.03	3 of 4 - Sale ²⁵
	30.000	302.08	03/01/21	620.85	...	-318.77	4 of 4 - Sale ²⁵
11/16/21	70.000	704.85	Various	1,621.98	...	-917.13	Total of 4 transactions
	Security total:	1,283.74		2,120.16	...	-836.42	
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
05/07/21	20.000	531.79	03/03/21	575.00	...	-43.21	Sale ²⁵
05/10/21	10.000	268.10	03/03/21	287.50	...	-19.40	Sale ²⁵
	Security total:	799.89		862.50	...	-62.61	
CATALYST PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 14888U101 / Symbol:							
<i>2 transactions for 03/31/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	4.57	03/25/21	4.27	...	0.30	1 of 2 - Sale ²⁵
	1.000	4.57	03/25/21	4.27	...	0.30	2 of 2 - Sale ²⁵
03/31/21	2.000	9.14	Various	8.54	...	0.60	Total of 2 transactions
CHINA ONLINE EDUCATION GROUP A MERICAN DEPOSITARY SHARES, EAC H REPRESENTING 15 CLASS A ORD I / CUSIP: 16954L105 / Symbol:							
10/13/21	50.000	136.18	09/27/21	142.33	...	-6.15	Sale ²⁵

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2021 1099-B* OMB No. 1545-0715

(continued)

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CITIGROUP INC. / CUSIP: 172967424 / Symbol:							
05/17/21	20.000	1,547.00	05/10/21	1,529.18	...	17.82	Sale ²⁵
CLEAR SECURE, INC. / CUSIP: 18467V109 / Symbol:							
07/01/21	4.000	192.12	07/01/21	191.44	...	0.68	Sale ²⁵
COMSTOCK MINING INC. / CUSIP: 205750300 / Symbol:							
05/10/21	4.000	13.08	02/17/21	31.72	...	-18.64	Sale ²⁵
CONSTELLATION BRANDS, INC. / CUSIP: 21036P108 / Symbol:							
07/06/21	1.000	228.58	07/02/21	234.16	...	-5.58	Sale ²⁵
CUENTAS, INC. COMMON STOCK / CUSIP: 229794201 / Symbol:							
07/01/21	30.000	180.93	06/30/21	201.90	3.49 W	-17.48	Sale ²⁵
07/06/21	20.000	145.43	06/30/21	134.60	...	10.83	Sale ²⁵
07/08/21	5.000	24.81	07/01/21	36.78	...	-11.97	Sale ²⁵
	Security total:	351.17		373.28	3.49 W	-18.62	
DIDI GLOBAL INC. AMERICAN DEPO SITARY SHARES, EACH FOUR REPRE SENTING ONE CLASS A ORDINARY S / CUSIP: 23292E108 / Symbol:							
06/30/21	10.000	145.91	06/30/21	148.50	...	-2.59	Sale ²⁵
DARE BIOSCIENCE, INC. COMMON S TOCK / CUSIP: 23666P101 / Symbol:							
07/02/21	200.000	316.44	06/30/21	417.52	...	-101.08	Sale ²⁵
DIGITAL TURBINE, INC. COMMON S TOCK / CUSIP: 25400W102 / Symbol:							
02/16/21	25.000	2,130.20	08/20/20	694.75	...	1,435.45	Sale ²⁵
05/11/21	5.000	310.95	08/20/20	138.95	...	172.00	Sale ²⁵
	2 transactions for 10/01/21. Total proceeds and cost reported to the IRS.						
	5.000	338.28	11/03/20	173.63	...	164.65	1 of 2 - Sale ²⁵
	10.000	676.56	02/24/21	790.75	...	-114.19	2 of 2 - Sale ²⁵
10/01/21	15.000	1,014.84	Various	964.38	...	50.46	Total of 2 transactions
	Security total:	3,455.99		1,798.08	...	1,657.91	
DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol:							
01/12/21	2.000	501.02	07/13/20	420.80	...	80.22	Sale ²⁵

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DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol:							
03/11/21	5.000	355.35	03/01/21	342.83	...	12.52	Sale ²⁵
	<i>3 transactions for 03/19/21. Total proceeds and cost reported to the IRS.</i>						
	1.000	72.14	03/01/21	68.56	...	3.58	1 of 3 - Sale ²⁵
	2.000	144.26	03/01/21	137.13	...	7.13	2 of 3 - Sale ²⁵
	2.000	144.34	03/01/21	137.13	...	7.21	3 of 3 - Sale ²⁵
03/19/21	5.000	360.74	Various	342.82	...	17.92	Total of 3 transactions
	Security total:	716.09		685.65	...	30.44	
1847 GOEDEKER INC. / CUSIP: 28252C109 / Symbol:							
	<i>2 transactions for 06/21/21. Total proceeds and cost reported to the IRS.</i>						
	100.000	375.99	06/21/21	405.46	...	-29.47	1 of 2 - Sale ²⁵
	100.000	375.98	06/21/21	390.50	...	-14.52	2 of 2 - Sale ²⁵
06/21/21	200.000	751.97	Various	795.96	...	-43.99	Total of 2 transactions
06/21/21	100.000	375.99	06/21/21	390.50	14.51 W	0.00	Sale ²⁵
	Security total:	1,127.96		1,186.46	14.51 W	-43.99	
ENERGOUS CORPORATION COMMON STOCK / CUSIP: 29272C103 / Symbol:							
03/22/21	50.000	252.53	03/19/21	280.00	...	-27.47	Sale ²⁵
03/31/21	50.000	201.75	03/19/21	280.00	...	-78.25	Sale ²⁵
	Security total:	454.28		560.00	...	-105.72	
EXPRESS, INC. / CUSIP: 30219E103 / Symbol:							
03/10/21	60.000	260.09	03/01/21	177.60	...	82.49	Sale ²⁵
03/12/21	20.000	82.50	03/01/21	59.20	...	23.30	Sale ²⁵
03/19/21	10.000	55.50	03/01/21	29.60	...	25.90	Sale ²⁵
04/22/21	10.000	31.53	03/01/21	29.60	...	1.93	Sale ²⁵
	Security total:	429.62		296.00	...	133.62	
FAT BRANDS INC. CLASS B COMMON STOCK / CUSIP: 30258N600 / Symbol:							
	<i>7 transactions for 11/03/21. Total proceeds and cost reported to the IRS.</i>						
	32.000	736.31	11/03/21	924.04	...	-187.73	1 of 7 - Sale ²⁵
	38.000	629.88	11/03/21	769.04	...	-139.16	2 of 7 - Sale ²⁵
	10.000	165.76	11/03/21	261.05	...	-95.29	3 of 7 - Sale ²⁵
	5.000	115.05	11/03/21	144.39	...	-29.34	4 of 7 - Sale ²⁵

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FAT BRANDS INC. CLASS B COMMON STOCK / CUSIP: 30258N600 / Symbol: (cont'd)							
	1.000	16.51	11/03/21	20.24	...	-3.73	5 of 7 - Sale ²⁵
	1.000	16.51	11/03/21	20.24	...	-3.73	6 of 7 - Sale ²⁵
	50.000	1,150.49	11/03/21	1,011.90	...	138.59	7 of 7 - Sale ²⁵
11/03/21	137.000	2,830.51	Various	3,150.90	...	-320.39	Total of 7 transactions
	5 transactions for 11/03/21. Total proceeds and cost reported to the IRS.						
	63.000	1,449.61	11/03/21	1,819.21	58.67 W	-310.93	1 of 5 - Sale ²⁵
	100.000	1,768.08	11/03/21	2,200.00	431.92 W	0.00	2 of 5 - Sale ²⁵
	63.000	1,113.89	11/03/21	1,658.11	544.22 W	0.00	3 of 5 - Sale ²⁵
	5.000	88.40	11/03/21	131.60	43.20 W	0.00	4 of 5 - Sale ²⁵
	32.000	565.79	11/03/21	842.21	276.42 W	0.00	5 of 5 - Sale ²⁵
11/03/21	263.000	4,985.77	Various	6,651.13	1,354.43 W	-310.93	Total of 5 transactions
	Security total:	7,816.28		9,802.03	1,354.43 W	-631.32	
FLUENT, INC. COMMON STOCK / CUSIP: 34380C102 / Symbol:							
05/10/21	100.000	319.12	02/18/21	809.50	...	-490.38	Sale ²⁵
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
03/03/21	50.000	614.13	02/24/21	603.39	...	10.74	Sale ²⁵
FULCRUM THERAPEUTICS, INC. COMMON STOCK / CUSIP: 359616109 / Symbol:							
	2 transactions for 08/10/21. Total proceeds and cost reported to the IRS.						
	1.000	16.50	08/10/21	15.96	...	0.54	1 of 2 - Sale ²⁵
	4.000	66.00	08/10/21	63.86	...	2.14	2 of 2 - Sale ²⁵
08/10/21	5.000	82.50	Various	79.82	...	2.68	Total of 2 transactions
GALERA THERAPEUTICS, INC. COMMON STOCK / CUSIP: 36338D108 / Symbol:							
12/23/21	150.000	679.47	12/20/21	525.00	...	154.47	Sale ²⁵
12/31/21	40.000	185.28	12/20/21	140.00	...	45.28	Sale ²⁵
	Security total:	864.75		665.00	...	199.75	

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Robinhood Securities LLC

Account 120281167

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GANNETT CO., INC. / CUSIP: 36472T109 / Symbol:							
2 transactions for 05/10/21. Total proceeds and cost reported to the IRS.							
	100.000	435.00	04/12/21	780.00	...	-345.00	1 of 2 - Sale ²⁵
	100.000	434.99	04/13/21	780.00	...	-345.01	2 of 2 - Sale ²⁵
05/10/21	200.000	869.99	Various	1,560.00	...	-690.01	Total of 2 transactions
HALL OF FAME RESORT & ENTERTAINMENT COMPANY COMMON STOCK / CUSIP: 40619L102 / Symbol:							
03/19/21	100.000	382.99	03/19/21	371.00	...	11.99	Sale ²⁵
HASBRO, INC. COMMON STOCK / CUSIP: 418056107 / Symbol:							
03/15/21	4.000	389.44	03/11/21	385.98	...	3.46	Sale ²⁵
HIGHPOINT RESOURCES CORPORATION / CUSIP: 43114K207 / Symbol:							
02/16/21	10.000	119.10	02/16/21	114.67	...	4.43	Sale ²⁵
IKONICS CORPORATION / CUSIP: 45172K102 / Symbol:							
2 transactions for 06/28/21. Total proceeds and cost reported to the IRS.							
	5.000	102.00	06/25/21	103.55	...	-1.55	1 of 2 - Sale ²⁵
	10.000	204.00	06/28/21	203.80	...	0.20	2 of 2 - Sale ²⁵
06/28/21	15.000	306.00	Various	307.35	...	-1.35	Total of 2 transactions
INVESCO WILDERHILL CLEAN ENERGY ETF / CUSIP: 46137V134 / Symbol:							
3 transactions for 05/11/21. Total proceeds and cost reported to the IRS.							
	3.000	228.35	02/11/21	390.60	...	-162.25	1 of 3 - Sale ²⁵
	1.000	76.11	02/11/21	130.20	...	-54.09	2 of 3 - Sale ²⁵
	1.000	76.12	02/11/21	130.20	...	-54.08	3 of 3 - Sale ²⁵
05/11/21	5.000	380.58	Various	651.00	...	-270.42	Total of 3 transactions
12/07/21	8.000	641.48	02/11/21	1,041.60	...	-400.12	Sale ²⁵
	Security total:	1,022.06		1,692.60	...	-670.54	
ISHARES CORE DIVIDEND GROWTH ETF / CUSIP: 46434V621 / Symbol:							
12/31/21	5.000	278.73	12/31/21	278.33	...	0.40	Sale ²⁵

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Robinhood Securities LLC

Account 120281167

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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JASPER THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 471871103 / Symbol:							
2 transactions for 10/13/21. Total proceeds and cost reported to the IRS.							
	10.000	149.00	10/13/21	134.60	...	14.40	1 of 2 - Sale ²⁵
	40.000	579.99	10/13/21	538.40	...	41.59	2 of 2 - Sale ²⁵
10/13/21	50.000	728.99	Various	673.00	...	55.99	Total of 2 transactions
LIMELIGHT NETWORKS, INC. COMMO N STOCK / CUSIP: 53261M104 / Symbol:							
03/31/21	1.000	3.60	03/25/21	3.55	...	0.05	Sale ²⁵
ROUNDHILL STREAMING SERVICES & TECHNOLOGY ETF / CUSIP: 53656F441 / Symbol:							
2 transactions for 05/11/21. Total proceeds and cost reported to the IRS.							
	6.000	68.61	02/10/21	94.80	...	-26.19	1 of 2 - Sale ²⁵
	4.000	45.74	02/12/21	64.32	...	-18.58	2 of 2 - Sale ²⁵
05/11/21	10.000	114.35	Various	159.12	...	-44.77	Total of 2 transactions
2 transactions for 06/04/21. Total proceeds and cost reported to the IRS.							
	4.000	48.00	02/12/21	64.32	...	-16.32	1 of 2 - Sale ²⁵
	2.000	24.00	02/12/21	32.16	...	-8.16	2 of 2 - Sale ²⁵
06/04/21	6.000	72.00	Various	96.48	...	-24.48	Total of 2 transactions
	Security total:	186.35		255.60	...	-69.25	
LOANDEPOT, INC. / CUSIP: 53946R106 / Symbol:							
02/12/21	10.000	312.12	02/11/21	209.40	...	102.72	Sale ²⁵
02/16/21	5.000	134.50	02/11/21	104.70	...	29.80	Sale ²⁵
	Security total:	446.62		314.10	...	132.52	
MP MATERIALS CORP. / CUSIP: 553368101 / Symbol:							
02/19/21	20.000	911.57	02/17/21	826.50	...	85.07	Sale ²⁵
MARATHON DIGITAL HOLDINGS, INC. COMMON STOCK / CUSIP: 565788106 / Symbol:							
10/22/21	25.000	1,233.82	02/24/21	898.96	...	334.86	Sale ²⁵
MARIN SOFTWARE INCORPORATED COMMON STOCK / CUSIP: 56804T205 / Symbol:							
08/11/21	15.000	83.70	07/02/21	357.98	...	-274.28	Sale ²⁵

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Account 120281167

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
02/19/21	1.000	55.18	02/18/21	54.72	...	0.46	Sale ²⁵
12/31/21	50.000	1,611.12	12/30/21	1,593.37	...	17.75	Sale ²⁵
	Security total:	1,666.30		1,648.09	...	18.21	
NEW RESIDENTIAL INVESTMENT CORP / CUSIP: 64828T201 / Symbol:							
03/31/21	100.000	1,133.32	03/20/21	1,140.00	...	-6.68	Sale ²⁵
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
05/04/21	30.000	382.20	02/11/21	366.30	...	15.90	Sale ²⁵
05/11/21	10.000	99.40	02/11/21	122.10	...	-22.70	Sale ²⁵
06/21/21	20.000	147.43	06/21/21	145.50	...	1.93	Sale ²⁵
06/21/21	10.000	73.71	02/11/21	122.10	48.39 W	0.00	Sale ²⁵
	<i>2 transactions for 06/24/21. Total proceeds and cost reported to the IRS.</i>						
	10.000	82.93	06/21/21	121.14	...	-38.21	1 of 2 - Sale ²⁵
	10.000	82.92	06/21/21	72.75	...	10.17	2 of 2 - Sale ²⁵
06/24/21	20.000	165.85	Various	193.89	...	-28.04	Total of 2 transactions
06/29/21	10.000	84.70	06/21/21	72.75	...	11.95	Sale ²⁵
	Security total:	953.29		1,022.64	48.39 W	-20.96	
OPKO HEALTH, INC. COMMON STOCK / CUSIP: 68375N103 / Symbol:							
01/19/21	1.000	4.58	01/11/21	4.42	...	0.16	Sale ²⁵
NEXTPLAT CORP COMMON STOCK / CUSIP: 68557F209 / Symbol:							
07/09/21	20.000	136.40	07/06/21	195.33	...	-58.93	Sale ²⁵
07/13/21	20.000	204.00	07/06/21	195.33	...	8.67	Sale ²⁵
	Security total:	340.40		390.66	...	-50.26	
PALATIN TECHNOLOGIES, INC. / CUSIP: 696077403 / Symbol:							
05/11/21	80.000	43.48	02/10/21	95.11	...	-51.63	Sale ²⁵
06/21/21	20.000	10.98	02/10/21	23.78	...	-12.80	Sale ²⁵
10/14/21	500.000	216.44	09/27/21	242.90	...	-26.46	Sale ²⁵
	Security total:	270.90		361.79	...	-90.89	
PALANTIR TECHNOLOGIES INC. CLASS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
02/17/21	80.000	2,255.14	02/17/21	2,328.71	18.39 W	-55.18	Sale ²⁵

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Robinhood Securities LLC

Account 120281167

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PALTALK, INC. COMMON STOCK / CUSIP: 69764K106 / Symbol:							
10/18/21	15.000	111.90	09/30/21	183.00	...	-71.10	Sale ²⁵
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol:							
06/21/21	2.000	214.43	02/12/21	308.62	...	-94.19	Sale ²⁵
PRECIPIO, INC. COMMON STOCK / CUSIP: 74019L503 / Symbol:							
<i>4 transactions for 05/10/21. Total proceeds and cost reported to the IRS.</i>							
	200.000	945.97	05/10/21	985.92	...	-39.95	1 of 4 - Sale ²⁵
	100.000	472.98	05/10/21	492.90	...	-19.92	2 of 4 - Sale ²⁵
	96.000	477.11	05/10/21	473.24	...	3.87	3 of 4 - Sale ²⁵
	104.000	516.86	05/10/21	512.68	...	4.18	4 of 4 - Sale ²⁵
05/10/21	500.000	2,412.92	Various	2,464.74	...	-51.82	Total of 4 transactions
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol:							
<i>2 transactions for 02/17/21. Total proceeds and cost reported to the IRS.</i>							
	80.000	5,434.62	02/17/21	5,588.00	153.38 W	0.00	1 of 2 - Sale ²⁵
	20.000	1,295.37	02/17/21	1,397.00	101.63 W	0.00	2 of 2 - Sale ²⁵
02/17/21	100.000	6,729.99	Various	6,985.00	255.01 W	0.00	Total of 2 transactions
<i>2 transactions for 03/19/21. Total proceeds and cost reported to the IRS.</i>							
	20.000	1,264.19	02/17/21	1,330.95	...	-66.76	1 of 2 - Sale ²⁵
	20.000	1,264.19	02/18/21	1,286.21	...	-22.02	2 of 2 - Sale ²⁵
03/19/21	40.000	2,528.38	Various	2,617.16	...	-88.78	Total of 2 transactions
	Security total:	9,258.37		9,602.16	255.01 W	-88.78	
RIVIAN AUTOMOTIVE, INC. CLASS A COMMON STOCK / CUSIP: 76954A103 / Symbol:							
11/17/21	10.000	1,460.19	11/16/21	1,577.44	...	-117.25	Sale ²⁵
SIRIUS XM HOLDINGS INC. COMMON STOCK / CUSIP: 82968B103 / Symbol:							
01/19/21	1.000	5.81	01/11/21	5.96	...	-0.15	Sale ²⁵

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SIYATA MOBILE, INC. COMMON SHA RES / CUSIP: 83013Q509 / Symbol:							
3 transactions for 12/07/21. Total proceeds and cost reported to the IRS.							
	100.000	569.98	12/07/21	550.00	...	19.98	1 of 3 - Sale ²⁵
	100.000	569.98	12/07/21	550.00	...	19.98	2 of 3 - Sale ²⁵
	300.000	1,709.95	12/07/21	1,650.00	...	59.95	3 of 3 - Sale ²⁵
12/07/21	500.000	2,849.91	Various	2,750.00	...	99.91	Total of 3 transactions
SKILLZ INC. / CUSIP: 83067L109 / Symbol:							
02/19/21	6.000	217.62	02/19/21	219.78	...	-2.16	Sale ²⁵
SOCKET MOBILE, INC. COMMON STO CK / CUSIP: 83368E200 / Symbol:							
5 transactions for 02/16/21. Total proceeds and cost reported to the IRS.							
	60.000	1,630.25	02/16/21	1,792.20	...	-161.95	1 of 5 - Sale ²⁵
	80.000	1,799.95	02/16/21	1,784.71	...	15.24	2 of 5 - Sale ²⁵
	17.000	535.48	02/16/21	504.78	...	30.70	3 of 5 - Sale ²⁵
	43.000	1,354.47	02/16/21	1,276.78	...	77.69	4 of 5 - Sale ²⁵
	50.000	1,224.97	02/16/21	1,133.59	...	91.38	5 of 5 - Sale ²⁵
02/16/21	250.000	6,545.12	Various	6,492.06	...	53.06	Total of 5 transactions
SOCIETY PASS INCORPORATED COMM ON STOCK / CUSIP: 83370P102 / Symbol:							
12/22/21	100.000	1,749.98	12/22/21	1,720.95	...	29.03	Sale ²⁵
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol:							
06/09/21	80.000	740.91	06/09/21	719.20	...	21.71	Sale ²⁵
2 transactions for 06/24/21. Total proceeds and cost reported to the IRS.							
	20.000	202.00	06/09/21	179.80	...	22.20	1 of 2 - Sale ²⁵
	60.000	605.98	06/24/21	601.80	...	4.18	2 of 2 - Sale ²⁵
06/24/21	80.000	807.98	Various	781.60	...	26.38	Total of 2 transactions
07/02/21	40.000	416.40	06/24/21	401.20	...	15.20	Sale ²⁵
	Security total:	1,965.29		1,902.00	...	63.29	
SOS LIMITED / CUSIP: 83587W106 / Symbol:							
02/11/21	170.000	1,036.95	02/11/21	967.30	...	69.65	Sale ²⁵
2 transactions for 02/19/21. Total proceeds and cost reported to the IRS.							
	150.000	1,786.61	02/17/21	1,933.49	...	-146.88	1 of 2 - Sale ²⁵

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(continued)

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SOS LIMITED / CUSIP: 83587W106 / Symbol: (cont'd)							
	50.000	588.23	02/17/21	644.49	...	-56.26	2 of 2 - Sale ²⁵
02/19/21	200.000	2,374.84	Various	2,577.98	...	-203.14	Total of 2 transactions
03/19/21	80.000	634.38	02/17/21	1,031.19	...	-396.81	Sale ²⁵
05/11/21	10.000	35.22	02/17/21	128.90	...	-93.68	Sale ²⁵
05/25/21	10.000	29.90	02/17/21	128.90	...	-99.00	Sale ²⁵
	Security total:	4,111.29		4,834.27	...	-722.98	
SPECTRUM PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 84763A108 / Symbol:							
01/19/21	1.000	3.80	01/11/21	3.68	...	0.12	Sale ²⁵
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
02/09/21	60.000	97.84	01/21/21	60.00	...	37.84	Sale ²⁵
TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
04/13/21	4.000	288.45	12/10/20	207.03	...	81.42	Sale ²⁵
UXIN LIMITED ADS / CUSIP: 91818X108 / Symbol:							
	2 transactions for 12/31/21. Total proceeds and cost reported to the IRS.						
	100.000	162.33	12/31/21	161.00	...	1.33	1 of 2 - Sale ²⁵
	400.000	647.94	12/31/21	644.00	...	3.94	2 of 2 - Sale ²⁵
12/31/21	500.000	810.27	Various	805.00	...	5.27	Total of 2 transactions
VACCINEX, INC. COMMON STOCK / CUSIP: 918640103 / Symbol:							
	2 transactions for 02/19/21. Total proceeds and cost reported to the IRS.						
	200.000	1,458.94	02/19/21	1,700.00	...	-241.06	1 of 2 - Sale ²⁵
	200.000	1,799.94	02/19/21	1,500.00	...	299.94	2 of 2 - Sale ²⁵
02/19/21	400.000	3,258.88	Various	3,200.00	...	58.88	Total of 2 transactions
WASHINGTON PRIME GROUP INC NEW COMMON SHARES / CUSIP: 93964W405 / Symbol:							
	2 transactions for 06/01/21. Total proceeds and cost reported to the IRS.						
	5.000	27.65	06/01/21	27.00	...	0.65	1 of 2 - Sale ²⁵
	45.000	248.90	06/01/21	243.00	...	5.90	2 of 2 - Sale ²⁵
06/01/21	50.000	276.55	Various	270.00	...	6.55	Total of 2 transactions

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Account 120281167

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(continued)

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WELLS FARGO & CO. / CUSIP: 949746101 / Symbol:							
05/12/21	50.000	2,329.98	05/10/21	2,348.85	...	-18.87	Sale ²⁵
10/20/21	5.000	250.80	10/15/21	241.00	...	9.80	Sale ²⁵
	Security total:	2,580.78		2,589.85	...	-9.07	
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
<i>2 transactions for 03/10/21. Total proceeds and cost reported to the IRS.</i>							
	19.000	316.35	03/09/21	315.78	...	0.57	1 of 2 - Sale ²⁵
	81.000	1,348.63	03/09/21	1,346.22	...	2.41	2 of 2 - Sale ²⁵
03/10/21	100.000	1,664.98	Various	1,662.00	...	2.98	Total of 2 transactions
ITERUM THERAPEUTICS PLC ORDINA RY SHARE / CUSIP: G6333L101 / Symbol:							
02/11/21	200.000	517.98	02/09/21	469.44	...	48.54	Sale ²⁵
UTIME LIMITED ORDINARY SHARES / CUSIP: G9411M108 / Symbol:							
07/09/21	5.000	54.58	07/08/21	62.50	...	-7.92	Sale ²⁵
SPOTIFY TECHNOLOGY S.A. / CUSIP: L8681T102 / Symbol:							
02/18/21	3.000	1,069.52	02/12/21	995.94	...	73.58	Sale ²⁵
05/11/21	1.000	230.55	02/12/21	331.98	...	-101.43	Sale ²⁵
	Security total:	1,300.07		1,327.92	...	-27.85	
REWALK ROBOTICS LTD. ORDINARY SHARES / CUSIP: M8216Q200 / Symbol:							
05/11/21	7.000	11.63	02/12/21	29.47	...	-17.84	Sale ²⁵
Totals :		230,003.98		246,331.09	4,683.30 W	-11,643.81	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 120281167

Proceeds from Broker and Barter Exchange Transactions

2021 1099-B* OMB No. 1545-0715

(continued)

02/11/2022

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
DIGITAL TURBINE, INC. COMMON S TOCK / CUSIP: 25400W102 / Symbol:							
10/01/21	10.000	676.56	08/20/20	277.90	...	398.66	Sale ²⁵
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
01/21/21	2.000	450.10	03/27/19	232.42	...	217.68	Sale ²⁵
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
<i>2 transactions for 11/17/21. Total proceeds and cost reported to the IRS.</i>							
	1.000	1,111.99	07/12/17	71.17	...	1,040.82	1 of 2 - Sale ²⁵
	4.000	4,447.97	07/12/17	284.69	...	4,163.28	2 of 2 - Sale ²⁵
11/17/21	5.000	5,559.96	Various	355.86	...	5,204.10	Total of 2 transactions
Totals :		6,686.62		866.18		5,820.44	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 120281167

Detail for Dividends and Distributions

2021

02/11/2022

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. There is also a holder level criteria for a dividend to be treated as Qualified. If the payment is from a common stock you are required to have held it for more than 60 days during the 121-day period that begins 60 days before the ex-dividend date of the dividend. If the dividend is from preferred shares and covers a period greater than 366 days, you must have held the preferred stock for more than 90 days during the 181-day period that begins 90 days before the ex-dividend date of the dividend.

Amounts presented in Box 2e as Section 897 Ordinary Dividends or Box 2f as Section 897 Capital Gains apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. If you are a US citizen, you should disregard this.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		02/11/21	16.40	Qualified dividend	
			05/13/21	17.60	Qualified dividend	
			08/12/21	17.60	Qualified dividend	
			11/11/21	17.60	Qualified dividend	
				69.20	Total Dividends & distributions	
DIREXION DAILY S&P 500 BULL 3 X SHARES	25459W862		06/29/21	1.92	Qualified dividend	03
			06/29/21	0.55	Nonqualified dividend	03
			12/29/21	5.98	Qualified dividend	03
			12/29/21	1.71	Nonqualified dividend	03
	10.16	Total Dividends & distributions				
INVESCO WILDERHILL CLEAN ENER Y ETF	46137V134		03/31/21	1.76	Nonqualified dividend	03
			03/31/21	0.10	Qualified dividend	03
			06/30/21	1.94	Nonqualified dividend	03
			06/30/21	0.11	Qualified dividend	03
			09/30/21	2.07	Nonqualified dividend	03
			09/30/21	0.11	Qualified dividend	03
	6.09	Total Dividends & distributions				
MICROSOFT CORPORATION COMMON S TOCK	594918104		03/11/21	32.48	Qualified dividend	
			06/10/21	32.48	Qualified dividend	
			09/09/21	32.48	Qualified dividend	
			12/09/21	35.96	Qualified dividend	
	133.40	Total Dividends & distributions				

Robinhood Securities LLC

Account 120281167

Detail for Dividends and Distributions

2021

(continued)

02/11/2022

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
PFIZER INC.	717081103		03/05/21	11.70	Qualified dividend	
			06/04/21	11.70	Qualified dividend	
			09/07/21	15.60	Qualified dividend	
			12/06/21	15.60	Qualified dividend	
				54.60	Total Dividends & distributions	
				273.45	Total Dividends & distributions	

Robinhood Securities LLC

Account 120281167

Non-Reportable Actions

2021

02/11/2022

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
MARATHON DIGITAL HOLDINGS, INC . COMMON STOCK	565788106	03/01/21	25.00	0.00	Exchange	
MARATHON PATENT GROUP, INC. CO MMON STOCK	56585W401	03/01/21	-25.00	0.00	Exchange	

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

Instructions for Recipient

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or ORD) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-DIV Instructions for Recipient

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also report it on Schedule B (Form 1040), if required.

Line 1b. Shows the portion of the amount in Line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report.

The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040). But, if no amount is shown in Lines 2b, 2c, 2d, and 2f and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in Line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in Line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2c. Shows the portion of the amount in Line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040) instructions.

Line 2d. Shows the portion of the amount in Line 2a that is 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040).

Line 2e. Shows the portion of the amount in Line 1a that is section 897 gain attributable to disposition of U.S. real property interests (USRPI).

Line 2f. Shows the portion of the amount in Line 2a that is section 897 gain attributable to disposition of USRPI.

Note: Lines 2e and 2f apply only to foreign persons and entities whose income maintains its character when passed through or distributed to its direct or indirect foreign owners or beneficiaries. It is generally treated as effectively connected to a trade or business within the United States. See the instructions for your tax return.

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in Line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in Line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040SR.

Line 8. This Line should be left blank if a RIC reported the foreign tax shown in Line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040SR for where to report. This amount may be subject to backup withholding. See Line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in Line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting Lines.

Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Line 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to

special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Free File. Go to www.irs.gov/FreeFile to see if you qualify for no-cost online federal tax preparation, e-filing, and direct deposit or payment options.

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