

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 821978145	Statement Date: 02/14/2021 Document ID: PQU3 6F5 W57D	2020
	Rahul Shrivastava 1000 Clinton St Apt 3M Hoboken, NJ 07030-3155 RECIPIENT'S TIN: XXX-XX-9961		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 0.16</p> <p>1b- Qualified dividends 0.13</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.02</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	917,975.01	926,000.63	0.00	31,996.92	23,971.30
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	917,975.01	926,000.63	0.00	31,996.92	23,971.30
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	917,975.01	926,000.63	0.00	31,996.92	23,971.30
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 821978145

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME**2020 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.80
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	20.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 06/12/2020 PUT \$335.00 / CUSIP: / Symbol:							
3 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	23.000	2,437.90	06/09/20	3,174.00	...	-736.10	1 of 3 - Option sale to close-put ²⁵
	22.000	2,331.90	06/09/20	3,036.00	...	-704.10	2 of 3 - Option sale to close-put ²⁵
	5.000	529.98	06/09/20	655.00	...	-125.02	3 of 3 - Option sale to close-put ²⁵
06/09/20	50.000	5,299.78	Various	6,865.00	...	-1,565.22	Total of 3 transactions
AAPL 06/19/2020 PUT \$340.00 / CUSIP: / Symbol:							
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	1.000	464.97	06/09/20	562.02	...	-97.05	1 of 2 - Option sale to close-put ²⁵
	1.000	464.97	06/09/20	562.01	...	-97.04	2 of 2 - Option sale to close-put ²⁵
06/09/20	2.000	929.94	Various	1,124.03	...	-194.09	Total of 2 transactions
06/09/20	5.000	2,324.93	06/09/20	2,560.00	94.03 W	-141.04	Option sale to close-put ²⁵
	Security total:	3,254.87		3,684.03	94.03 W	-335.13	

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Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 10/16/2020 CALL \$115.00 / CUSIP: / Symbol:							
4 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	1.000	309.98	10/07/20	305.00	...	4.98	1 of 4 - Option sale to close-call ²⁵
	1.000	309.98	10/07/20	305.00	...	4.98	2 of 4 - Option sale to close-call ²⁵
	1.000	309.98	10/07/20	305.00	...	4.98	3 of 4 - Option sale to close-call ²⁵
	1.000	309.98	10/07/20	305.00	...	4.98	4 of 4 - Option sale to close-call ²⁵
10/07/20	4.000	1,239.92	Various	1,220.00	...	19.92	Total of 4 transactions
AAPL 10/16/2020 CALL \$125.00 / CUSIP: / Symbol:							
8 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	3.000	644.97	10/13/20	642.00	...	2.97	1 of 8 - Option sale to close-call ²⁵
	7.000	1,504.95	10/13/20	1,498.00	...	6.95	2 of 8 - Option sale to close-call ²⁵
	5.000	1,074.97	10/13/20	1,055.00	...	19.97	3 of 8 - Option sale to close-call ²⁵
	2.000	527.97	10/13/20	388.00	...	139.97	4 of 8 - Option sale to close-call ²⁵
	2.000	527.98	10/13/20	388.00	...	139.98	5 of 8 - Option sale to close-call ²⁵
	2.000	527.98	10/13/20	388.00	...	139.98	6 of 8 - Option sale to close-call ²⁵
	4.000	1,055.96	10/13/20	776.00	...	279.96	7 of 8 - Option sale to close-call ²⁵
	7.000	1,847.94	10/13/20	1,358.00	...	489.94	8 of 8 - Option sale to close-call ²⁵
10/13/20	32.000	7,712.72	Various	6,493.00	...	1,219.72	Total of 8 transactions
AAPL 10/30/2020 CALL \$120.00 / CUSIP: / Symbol:							
10/05/20	1.000	344.98	10/02/20	350.00	...	-5.02	Option sale to close-call ²⁵
AAPL 10/30/2020 CALL \$125.00 / CUSIP: / Symbol:							
10/20/20	6.000	701.97	10/16/20	1,428.00	...	-726.03	Option sale to close-call ²⁵
AAPL 10/30/2020 CALL \$130.00 / CUSIP: / Symbol:							
9 transactions for 10/20/20. Total proceeds and cost reported to the IRS.							
	19.000	1,158.93	10/19/20	2,204.00	...	-1,045.07	1 of 9 - Option sale to close-call ²⁵
	2.000	121.99	10/19/20	338.02	...	-216.03	2 of 9 - Option sale to close-call ²⁵
	2.000	121.99	10/19/20	338.01	...	-216.02	3 of 9 - Option sale to close-call ²⁵
	1.000	61.00	10/19/20	271.06	...	-210.06	4 of 9 - Option sale to close-call ²⁵
	1.000	61.00	10/19/20	169.02	...	-108.02	5 of 9 - Option sale to close-call ²⁵
	1.000	61.00	10/19/20	169.01	...	-108.01	6 of 9 - Option sale to close-call ²⁵
	1.000	61.00	10/20/20	57.00	...	4.00	7 of 9 - Option sale to close-call ²⁵

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Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 10/30/2020 CALL \$130.00 / CUSIP: / Symbol: (cont'd)							
	2.000	121.99	10/20/20	114.00	...	7.99	8 of 9 - Option sale to close-call ²⁵
	9.000	548.96	10/20/20	513.00	...	35.96	9 of 9 - Option sale to close-call ²⁵
10/20/20	38.000	2,317.86	Various	4,173.12	...	-1,855.26	Total of 9 transactions
	6 transactions for 10/20/20. Total proceeds and cost reported to the IRS.						
	1.000	63.98	10/19/20	115.00	51.02 W	0.00	1 of 6 - Option sale to close-call ²⁵
	1.000	63.98	10/19/20	166.02	102.04 W	0.00	2 of 6 - Option sale to close-call ²⁵
	1.000	60.98	10/19/20	216.04	155.06 W	0.00	3 of 6 - Option sale to close-call ²⁵
	2.000	121.98	10/19/20	228.00	106.02 W	0.00	4 of 6 - Option sale to close-call ²⁵
	1.000	60.98	10/19/20	114.00	53.02 W	0.00	5 of 6 - Option sale to close-call ²⁵
	3.000	182.98	10/19/20	342.00	159.02 W	0.00	6 of 6 - Option sale to close-call ²⁵
10/20/20	9.000	554.88	Various	1,181.06	626.18 W	0.00	Total of 6 transactions
	Security total:	2,872.74		5,354.18	626.18 W	-1,855.26	
AAPL 11/20/2020 CALL \$120.00 / CUSIP: / Symbol:							
10/05/20	2.000	1,119.96	10/02/20	1,160.00	40.04 W	0.00	Option sale to close-call ²⁵
10/07/20	2.000	1,099.96	10/06/20	1,100.04	...	-0.08	Option sale to close-call ²⁵
	Security total:	2,219.92		2,260.04	40.04 W	-0.08	
AAPL 11/20/2020 CALL \$130.00 / CUSIP: / Symbol:							
10/07/20	1.000	260.98	10/06/20	255.00	...	5.98	Option sale to close-call ²⁵
AMC 05/15/2020 PUT \$5.50 / CUSIP: / Symbol:							
	2 transactions for 05/11/20. Total proceeds and cost reported to the IRS.						
	10.000	499.96	05/11/20	1,000.00	500.04 W	0.00	1 of 2 - Option sale to close-put ²⁵
	10.000	499.96	05/11/20	1,000.00	500.04 W	0.00	2 of 2 - Option sale to close-put ²⁵
05/11/20	20.000	999.92	Various	2,000.00	1,000.08 W	0.00	Total of 2 transactions
	8 transactions for 05/12/20. Total proceeds and cost reported to the IRS.						
	13.000	779.96	05/11/20	1,300.00	...	-520.04	1 of 8 - Option sale to close-put ²⁵
	9.000	539.97	05/11/20	972.04	...	-432.07	2 of 8 - Option sale to close-put ²⁵
	7.000	419.97	05/11/20	756.03	...	-336.06	3 of 8 - Option sale to close-put ²⁵
	3.000	179.99	05/11/20	330.01	...	-150.02	4 of 8 - Option sale to close-put ²⁵
	1.000	60.00	05/11/20	108.00	...	-48.00	5 of 8 - Option sale to close-put ²⁵
	1.000	60.00	05/11/20	100.02	...	-40.02	6 of 8 - Option sale to close-put ²⁵
	12.000	719.95	05/11/20	720.00	...	-0.05	7 of 8 - Option sale to close-put ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC 05/15/2020 PUT \$5.50 / CUSIP: / Symbol: (cont'd)							
	1.000	60.00	05/11/20	60.00	...	0.00	8 of 8 - Option sale to close-put ²⁵
05/12/20	47.000	2,819.84	Various	4,346.10	...	-1,526.26	Total of 8 transactions
05/12/20	1.000	59.98	05/11/20	100.00	40.02 W	0.00	Option sale to close-put ²⁵
	Security total:	3,879.74		6,446.10	1,040.10 W	-1,526.26	
AMD 01/15/2021 CALL \$100.00 / CUSIP: / Symbol:							
3 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	2.000	869.98	12/07/20	820.00	...	49.98	1 of 3 - Option sale to close-call ²⁵
	3.000	1,304.96	12/07/20	1,245.00	...	59.96	2 of 3 - Option sale to close-call ²⁵
	7.000	3,044.92	12/07/20	2,905.00	...	139.92	3 of 3 - Option sale to close-call ²⁵
12/07/20	12.000	5,219.86	Various	4,970.00	...	249.86	Total of 3 transactions
2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	21.000	8,084.78	12/08/20	7,518.00	...	566.78	1 of 2 - Option sale to close-call ²⁵
	21.000	8,084.78	12/08/20	7,518.00	...	566.78	2 of 2 - Option sale to close-call ²⁵
12/09/20	42.000	16,169.56	Various	15,036.00	...	1,133.56	Total of 2 transactions
	Security total:	21,389.42		20,006.00	...	1,383.42	
AMD 05/01/2020 CALL \$60.00 / CUSIP: / Symbol:							
04/28/20	3.000	434.98	04/27/20	384.00	...	50.98	Option sale to close-call ²⁵
AOBC 05/01/2020 CALL \$8.50 / CUSIP: / Symbol:							
04/20/20	2.000	109.98	04/17/20	130.00	...	-20.02	Option sale to close-call ²⁵
APT 04/24/2020 CALL \$14.00 / CUSIP: / Symbol:							
04/22/20	1.000	69.98	04/21/20	90.00	...	-20.02	Option sale to close-call ²⁵
AVGO 04/17/2020 CALL \$267.50 / CUSIP: / Symbol:							
4 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	2.000	99.99	04/16/20	100.00	...	-0.01	1 of 4 - Option sale to close-call ²⁵
	2.000	99.99	04/16/20	100.00	...	-0.01	2 of 4 - Option sale to close-call ²⁵
	2.000	99.99	04/16/20	90.00	...	9.99	3 of 4 - Option sale to close-call ²⁵
	2.000	100.00	04/16/20	90.00	...	10.00	4 of 4 - Option sale to close-call ²⁵
04/17/20	8.000	399.97	Various	380.00	...	19.97	Total of 4 transactions

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Robinhood Securities LLC

Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 11/20/2020 CALL \$200.00 / CUSIP: / Symbol:							
5 transactions for 10/15/20. Total proceeds and cost reported to the IRS.							
	6.000	1,619.95	10/13/20	1,656.00	...	-36.05	1 of 5 - Option sale to close-call ²⁵
	4.000	1,079.97	10/13/20	1,104.00	...	-24.03	2 of 5 - Option sale to close-call ²⁵
	1.000	269.99	10/13/20	278.02	...	-8.03	3 of 5 - Option sale to close-call ²⁵
	1.000	269.99	10/13/20	274.00	...	-4.01	4 of 5 - Option sale to close-call ²⁵
	1.000	269.99	10/13/20	274.00	...	-4.01	5 of 5 - Option sale to close-call ²⁵
10/15/20	13.000	3,509.89	Various	3,586.02	...	-76.13	Total of 5 transactions
10/15/20	1.000	269.98	10/13/20	274.00	4.02 W	0.00	Option sale to close-call ²⁵
	Security total:	3,779.87		3,860.02	4.02 W	-76.13	
BABA 06/19/2020 CALL \$230.00 / CUSIP: / Symbol:							
05/26/20	1.000	89.98	05/22/20	86.00	...	3.98	Option sale to close-call ²⁵
BABA 09/18/2020 CALL \$210.00 / CUSIP: / Symbol:							
05/26/20	1.000	1,449.95	05/22/20	1,295.00	...	154.95	Option sale to close-call ²⁵
BABA 11/20/2020 CALL \$300.00 / CUSIP: / Symbol:							
10/05/20	1.000	1,449.95	10/05/20	1,390.00	...	59.95	Option sale to close-call ²⁵
BAC 05/01/2020 PUT \$20.50 / CUSIP: / Symbol:							
04/22/20	1.000	39.98	04/21/20	62.00	...	-22.02	Option sale to close-put ²⁵
BCRX 05/15/2020 CALL \$4.00 / CUSIP: / Symbol:							
04/20/20	3.000	257.98	04/17/20	210.00	...	47.98	Option sale to close-call ²⁵
BIG 06/19/2020 PUT \$30.00 / CUSIP: / Symbol:							
4 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	4.000	119.99	05/28/20	200.00	...	-80.01	1 of 4 - Option sale to close-put ²⁵
	2.000	59.99	05/28/20	100.00	...	-40.01	2 of 4 - Option sale to close-put ²⁵
	2.000	59.99	05/28/20	90.00	...	-30.01	3 of 4 - Option sale to close-put ²⁵
	1.000	30.00	05/28/20	50.00	...	-20.00	4 of 4 - Option sale to close-put ²⁵
05/29/20	9.000	269.97	Various	440.00	...	-170.03	Total of 4 transactions
BIG 06/19/2020 PUT \$35.00 / CUSIP: / Symbol:							
05/29/20	2.000	199.98	05/28/20	310.00	...	-110.02	Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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BJ 06/19/2020 CALL \$30.00 / CUSIP: / Symbol:							
05/21/20	2.000	321.98	05/20/20	310.00	...	11.98	Option sale to close-call ²⁵
BYND 05/01/2020 CALL \$120.00 / CUSIP: / Symbol:							
2 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	1.000	99.98	04/27/20	92.00	...	7.98	1 of 2 - Option sale to close-call ²⁵
	1.000	99.98	04/27/20	92.00	...	7.98	2 of 2 - Option sale to close-call ²⁵
04/28/20	2.000	199.96	Various	184.00	...	15.96	Total of 2 transactions
BYND 05/22/2020 PUT \$120.00 / CUSIP: / Symbol:							
7 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	1.000	169.98	05/18/20	159.00	...	10.98	1 of 7 - Option sale to close-put ²⁵
	1.000	169.98	05/18/20	159.00	...	10.98	2 of 7 - Option sale to close-put ²⁵
	1.000	169.98	05/18/20	159.00	...	10.98	3 of 7 - Option sale to close-put ²⁵
	1.000	169.98	05/18/20	159.00	...	10.98	4 of 7 - Option sale to close-put ²⁵
	2.000	339.98	05/18/20	318.00	...	21.98	5 of 7 - Option sale to close-put ²⁵
	2.000	339.98	05/18/20	318.00	...	21.98	6 of 7 - Option sale to close-put ²⁵
	4.000	679.97	05/18/20	636.00	...	43.97	7 of 7 - Option sale to close-put ²⁵
05/18/20	12.000	2,039.85	Various	1,908.00	...	131.85	Total of 7 transactions
CCL 10/02/2020 CALL \$15.00 / CUSIP: / Symbol:							
3 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	1.000	93.98	09/29/20	49.00	...	44.98	1 of 3 - Option sale to close-call ²⁵
	2.000	187.98	09/29/20	98.00	...	89.98	2 of 3 - Option sale to close-call ²⁵
	2.000	187.98	09/29/20	98.00	...	89.98	3 of 3 - Option sale to close-call ²⁵
09/30/20	5.000	469.94	Various	245.00	...	224.94	Total of 3 transactions
CCL 10/02/2020 CALL \$16.00 / CUSIP: / Symbol:							
09/30/20	1.000	26.98	09/29/20	20.00	...	6.98	Option sale to close-call ²⁵
CGC 04/24/2020 CALL \$16.00 / CUSIP: / Symbol:							
2 transactions for 04/21/20. Total proceeds and cost reported to the IRS.							
	1.000	33.99	04/20/20	68.00	...	-34.01	1 of 2 - Option sale to close-call ²⁵
	1.000	33.99	04/20/20	68.00	...	-34.01	2 of 2 - Option sale to close-call ²⁵
04/21/20	2.000	67.98	Various	136.00	...	-68.02	Total of 2 transactions

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Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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CGC 06/05/2020 CALL \$17.00 / CUSIP: / Symbol:							
3 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	1.000	43.98	06/01/20	32.00	...	11.98	1 of 3 - Option sale to close-call ²⁵
	9.000	395.97	06/01/20	288.00	...	107.97	2 of 3 - Option sale to close-call ²⁵
	10.000	439.97	06/01/20	320.00	...	119.97	3 of 3 - Option sale to close-call ²⁵
06/02/20	20.000	879.92	Various	640.00	...	239.92	Total of 3 transactions
CGC 06/19/2020 CALL \$20.00 / CUSIP: / Symbol:							
5 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	1.000	34.98	06/01/20	26.00	...	8.98	1 of 5 - Option sale to close-call ²⁵
	1.000	34.98	06/01/20	26.00	...	8.98	2 of 5 - Option sale to close-call ²⁵
	2.000	69.98	06/01/20	52.00	...	17.98	3 of 5 - Option sale to close-call ²⁵
	2.000	69.98	06/01/20	52.00	...	17.98	4 of 5 - Option sale to close-call ²⁵
	19.000	664.94	06/01/20	494.00	...	170.94	5 of 5 - Option sale to close-call ²⁵
06/02/20	25.000	874.86	Various	650.00	...	224.86	Total of 5 transactions
CGC 06/19/2020 CALL \$25.00 / CUSIP: / Symbol:							
15 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	14.000	69.97	06/01/20	84.04	...	-14.07	1 of 15 - Option sale to close-call ²⁵
	5.000	24.99	06/01/20	35.02	...	-10.03	2 of 15 - Option sale to close-call ²⁵
	3.000	14.99	06/01/20	24.03	...	-9.04	3 of 15 - Option sale to close-call ²⁵
	7.000	34.98	06/01/20	42.00	...	-7.02	4 of 15 - Option sale to close-call ²⁵
	6.000	29.98	06/01/20	36.00	...	-6.02	5 of 15 - Option sale to close-call ²⁵
	2.000	9.99	06/01/20	16.01	...	-6.02	6 of 15 - Option sale to close-call ²⁵
	3.000	14.99	06/01/20	21.01	...	-6.02	7 of 15 - Option sale to close-call ²⁵
	2.000	10.00	06/01/20	14.03	...	-4.03	8 of 15 - Option sale to close-call ²⁵

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(continued)

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CGC 06/19/2020 CALL \$25.00 / CUSIP: / Symbol: (cont'd)							
	1.000	5.00	06/01/20	8.02	...	-3.02	9 of 15 - Option sale to close-call ₂₅
	1.000	5.00	06/01/20	8.02	...	-3.02	10 of 15 - Option sale to close-call ₂₅
	1.000	5.00	06/01/20	8.01	...	-3.01	11 of 15 - Option sale to close-call ₂₅
	1.000	5.00	06/01/20	7.01	...	-2.01	12 of 15 - Option sale to close-call ₂₅
	1.000	5.00	06/01/20	7.00	...	-2.00	13 of 15 - Option sale to close-call ₂₅
	1.000	4.98	06/01/20	6.00	...	-1.02	14 of 15 - Option sale to close-call ₂₅
	1.000	4.98	06/01/20	6.00	...	-1.02	15 of 15 - Option sale to close-call ₂₅
06/02/20	49.000	244.85	Various	322.20	...	-77.35	Total of 15 transactions
	12 transactions for 06/02/20. Total proceeds and cost reported to the IRS.						
	15.000	74.96	06/01/20	90.00	14.04 W	-1.00	1 of 12 - Option sale to close-call ₂₅
	2.000	11.99	06/01/20	12.00	0.01 W	0.00	2 of 12 - Option sale to close-call ₂₅
	1.000	5.99	06/01/20	6.00	0.01 W	0.00	3 of 12 - Option sale to close-call ₂₅
	2.000	11.98	06/01/20	12.01	0.03 W	0.00	4 of 12 - Option sale to close-call ₂₅
	11.000	54.97	06/01/20	66.00	11.03 W	0.00	5 of 12 - Option sale to close-call ₂₅
	2.000	10.00	06/01/20	12.03	2.03 W	0.00	6 of 12 - Option sale to close-call ₂₅
	1.000	5.00	06/01/20	6.01	1.01 W	0.00	7 of 12 - Option sale to close-call ₂₅
	6.000	29.98	06/01/20	36.00	6.02 W	0.00	8 of 12 - Option sale to close-call ₂₅
	1.000	4.98	06/01/20	7.00	2.02 W	0.00	9 of 12 - Option sale to close-call ₂₅

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CGC 06/19/2020 CALL \$25.00 / CUSIP: / Symbol: (cont'd)							
	2.000	9.98	06/01/20	14.01	4.03 W	0.00	10 of 12 - Option sale to close-call ²⁵
	3.000	14.98	06/01/20	21.01	6.03 W	0.00	11 of 12 - Option sale to close-call ²⁵
	5.000	24.99	06/01/20	35.01	10.02 W	0.00	12 of 12 - Option sale to close-call ²⁵
06/02/20	51.000	259.80	Various	317.08	56.28 W	-1.00	Total of 12 transactions
	Security total:	504.65		639.28	56.28 W	-78.35	
CHGG 05/15/2020 PUT \$40.00 / CUSIP: / Symbol:							
9 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	10.000	0.00	05/05/20	300.00	...	-300.00	1 of 9 - Option expiration- long put
	10.000	0.00	05/05/20	250.00	...	-250.00	2 of 9 - Option expiration- long put
	10.000	0.00	05/05/20	240.00	...	-240.00	3 of 9 - Option expiration- long put
	5.000	0.00	05/05/20	150.00	...	-150.00	4 of 9 - Option expiration- long put
	5.000	0.00	05/05/20	125.00	...	-125.00	5 of 9 - Option expiration- long put
	3.000	0.00	05/05/20	90.00	...	-90.00	6 of 9 - Option expiration- long put
	3.000	0.00	05/05/20	90.00	...	-90.00	7 of 9 - Option expiration- long put
	3.000	0.00	05/05/20	90.00	...	-90.00	8 of 9 - Option expiration- long put
	1.000	0.00	05/05/20	30.00	...	-30.00	9 of 9 - Option expiration- long put
05/15/20	50.000	0.00	Various	1,365.00	...	-1,365.00	Total of 9 transactions
CHGG 06/19/2020 PUT \$50.00 / CUSIP: / Symbol:							
2 transactions for 05/07/20. Total proceeds and cost reported to the IRS.							
	1.000	159.99	05/06/20	154.00	...	5.99	1 of 2 - Option sale to close-put ²⁵
	1.000	159.99	05/06/20	154.00	...	5.99	2 of 2 - Option sale to close-put ²⁵
05/07/20	2.000	319.98	Various	308.00	...	11.98	Total of 2 transactions
CODX 05/15/2020 CALL \$15.00 / CUSIP: / Symbol:							
5 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	1.000	349.98	04/17/20	310.00	...	39.98	1 of 5 - Option sale to close-call ²⁵
	1.000	349.98	04/17/20	310.00	...	39.98	2 of 5 - Option sale to close-call ²⁵
	2.000	699.97	04/17/20	620.00	...	79.97	3 of 5 - Option sale to close-call ²⁵
	3.000	1,049.96	04/17/20	930.00	...	119.96	4 of 5 - Option sale to close-call ²⁵

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(continued)

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CODX 05/15/2020 CALL \$15.00 / CUSIP: / Symbol: (cont'd)							
	3.000	1,049.96	04/17/20	930.00	...	119.96	5 of 5 - Option sale to close-call ²⁵
04/17/20	10.000	3,499.85	Various	3,100.00	...	399.85	Total of 5 transactions
COST 04/17/2020 CALL \$315.00 / CUSIP: / Symbol:							
8 transactions for 04/16/20. Total proceeds and cost reported to the IRS.							
	1.000	248.98	04/15/20	191.00	...	57.98	1 of 8 - Option sale to close-call ²⁵
	1.000	248.98	04/15/20	176.00	...	72.98	2 of 8 - Option sale to close-call ²⁵
	1.000	248.99	04/15/20	176.00	...	72.99	3 of 8 - Option sale to close-call ²⁵
	2.000	497.98	04/15/20	382.00	...	115.98	4 of 8 - Option sale to close-call ²⁵
	2.000	497.98	04/15/20	382.00	...	115.98	5 of 8 - Option sale to close-call ²⁵
	1.000	241.98	04/16/20	202.00	...	39.98	6 of 8 - Option sale to close-call ²⁵
	1.000	241.99	04/16/20	202.00	...	39.99	7 of 8 - Option sale to close-call ²⁵
	2.000	483.98	04/16/20	380.00	...	103.98	8 of 8 - Option sale to close-call ²⁵
04/16/20	11.000	2,710.86	Various	2,091.00	...	619.86	Total of 8 transactions
CPB 06/05/2020 CALL \$50.00 / CUSIP: / Symbol:							
05/22/20	2.000	179.98	05/20/20	180.00	...	-0.02	Option sale to close-call ²⁵
CPB 06/05/2020 CALL \$51.00 / CUSIP: / Symbol:							
05/22/20	10.000	499.96	05/21/20	450.00	...	49.96	Option sale to close-call ²⁵
CPB 06/05/2020 CALL \$55.00 / CUSIP: / Symbol:							
3 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	6.000	29.98	06/01/20	270.00	...	-240.02	1 of 3 - Option sale to close-call ²⁵
	1.000	4.99	06/02/20	35.00	...	-30.01	2 of 3 - Option sale to close-call ²⁵
	1.000	5.00	06/02/20	35.00	...	-30.00	3 of 3 - Option sale to close-call ²⁵
06/03/20	8.000	39.97	Various	340.00	...	-300.03	Total of 3 transactions
CPB 06/12/2020 CALL \$52.50 / CUSIP: / Symbol:							
06/03/20	2.000	113.98	06/02/20	240.00	...	-126.02	Option sale to close-call ²⁵
CPB 06/19/2020 CALL \$50.00 / CUSIP: / Symbol:							
05/22/20	2.000	231.99	05/20/20	240.00	...	-8.01	Option sale to close-call ²⁵
2 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	3.000	347.98	05/20/20	360.00	4.01 W	-8.01	1 of 2 - Option sale to close-call ²⁵

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(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CPB 06/19/2020 CALL \$50.00 / CUSIP: / Symbol: (cont'd)							
	3.000	347.98	05/20/20	375.00	27.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
05/22/20	6.000	695.96	Various	735.00	31.03 W	-8.01	Total of 2 transactions
3 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	2.000	139.99	05/26/20	218.01	...	-78.02	1 of 3 - Option sale to close-call ²⁵
	1.000	70.00	05/26/20	104.01	...	-34.01	2 of 3 - Option sale to close-call ²⁵
	1.000	69.99	05/26/20	99.01	...	-29.02	3 of 3 - Option sale to close-call ²⁵
05/27/20	4.000	279.98	Various	421.03	...	-141.05	Total of 3 transactions
	Security total:	1,207.93		1,396.03	31.03 W	-157.07	
CSCO 05/15/2020 CALL \$45.00 / CUSIP: / Symbol:							
2 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	2.000	35.98	05/13/20	60.00	...	-24.02	1 of 2 - Option sale to close-call ²⁵
	1.000	17.98	05/13/20	30.00	...	-12.02	2 of 2 - Option sale to close-call ²⁵
05/14/20	3.000	53.96	Various	90.00	...	-36.04	Total of 2 transactions
CSCO 05/22/2020 CALL \$45.00 / CUSIP: / Symbol:							
05/14/20	1.000	55.98	05/13/20	58.00	...	-2.02	Option sale to close-call ²⁵
CWH 09/18/2020 PUT \$25.00 / CUSIP: / Symbol:							
05/29/20	1.000	739.97	05/28/20	618.00	...	121.97	Option sale to close-put ²⁵
DAL 06/19/2020 PUT \$30.00 / CUSIP: / Symbol:							
2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	1.000	45.00	06/18/20	43.00	...	2.00	1 of 2 - Option sale to close-put ²⁵
	4.000	179.98	06/18/20	176.00	...	3.98	2 of 2 - Option sale to close-put ²⁵
06/18/20	5.000	224.98	Various	219.00	...	5.98	Total of 2 transactions
DELL 06/19/2020 CALL \$45.00 / CUSIP: / Symbol:							
05/26/20	1.000	264.98	05/22/20	160.00	...	104.98	Option sale to close-call ²⁵
DELL 06/19/2020 CALL \$50.00 / CUSIP: / Symbol:							
05/26/20	5.000	424.98	05/22/20	240.00	...	184.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DGLY 06/19/2020 CALL \$7.50 / CUSIP: / Symbol:							
3 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	46.000	2,299.86	06/16/20	1,978.00	...	321.86	1 of 3 - Option sale to close-call ²⁵
	54.000	2,699.83	06/16/20	2,322.00	...	377.83	2 of 3 - Option sale to close-call ²⁵
	100.000	4,999.68	06/16/20	4,300.00	...	699.68	3 of 3 - Option sale to close-call ²⁵
06/16/20	200.000	9,999.37	Various	8,600.00	...	1,399.37	Total of 3 transactions
DGLY 12/18/2020 CALL \$3.00 / CUSIP: / Symbol:							
10 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	15.000	374.96	12/08/20	375.00	...	-0.04	1 of 10 - Option sale to close-call ²⁵
	15.000	374.96	12/08/20	375.00	...	-0.04	2 of 10 - Option sale to close-call ²⁵
	15.000	374.96	12/08/20	375.00	...	-0.04	3 of 10 - Option sale to close-call ²⁵
	10.000	249.97	12/08/20	250.00	...	-0.03	4 of 10 - Option sale to close-call ²⁵
	10.000	249.97	12/08/20	250.00	...	-0.03	5 of 10 - Option sale to close-call ²⁵
	10.000	249.98	12/08/20	250.00	...	-0.02	6 of 10 - Option sale to close-call ²⁵
	3.000	74.99	12/08/20	75.00	...	-0.01	7 of 10 - Option sale to close-call ²⁵
	2.000	50.00	12/08/20	50.00	...	0.00	8 of 10 - Option sale to close-call ²⁵
	1.000	25.00	12/08/20	25.00	...	0.00	9 of 10 - Option sale to close-call ²⁵
	1.000	25.00	12/08/20	25.00	...	0.00	10 of 10 - Option sale to close-call ²⁵
12/08/20	82.000	2,049.79	Various	2,050.00	...	-0.21	Total of 10 transactions
DIS 05/08/2020 CALL \$115.00 / CUSIP: / Symbol:							
05/05/20	1.000	43.98	05/04/20	38.00	...	5.98	Option sale to close-call ²⁵

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Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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DIS 06/19/2020 CALL \$130.00 / CUSIP: / Symbol:							
5 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	2.000	131.99	05/27/20	384.01	...	-252.02	1 of 5 - Option sale to close-call ²⁵
	2.000	131.99	05/27/20	360.01	...	-228.02	2 of 5 - Option sale to close-call ²⁵
	1.000	66.00	05/27/20	180.00	...	-114.00	3 of 5 - Option sale to close-call ²⁵
	1.000	66.00	05/27/20	179.01	...	-113.01	4 of 5 - Option sale to close-call ²⁵
	1.000	65.99	05/27/20	171.01	...	-105.02	5 of 5 - Option sale to close-call ²⁵
05/28/20	7.000	461.97	Various	1,274.04	...	-812.07	Total of 5 transactions
2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	3.000	200.98	05/27/20	387.00	124.02 W	-62.00	1 of 2 - Option sale to close-call ²⁵
	5.000	334.98	05/27/20	650.00	315.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
05/28/20	8.000	535.96	Various	1,037.00	439.04 W	-62.00	Total of 2 transactions
	Security total:	997.93		2,311.04	439.04 W	-874.07	
DKNG 05/22/2020 PUT \$27.00 / CUSIP: / Symbol:							
3 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	12.000	719.96	05/18/20	1,188.00	...	-468.04	1 of 3 - Option sale to close-put ²⁵
	10.000	599.97	05/18/20	990.00	...	-390.03	2 of 3 - Option sale to close-put ²⁵
	8.000	479.97	05/18/20	792.00	...	-312.03	3 of 3 - Option sale to close-put ²⁵
05/18/20	30.000	1,799.90	Various	2,970.00	...	-1,170.10	Total of 3 transactions
DKNG 06/19/2020 CALL \$50.00 / CUSIP: / Symbol:							
06/04/20	3.000	359.98	06/03/20	315.00	...	44.98	Option sale to close-call ²⁵
DKNG 06/19/2020 PUT \$35.00 / CUSIP: / Symbol:							
05/29/20	1.000	284.98	05/28/20	237.00	...	47.98	Option sale to close-put ²⁵
DKNG 07/17/2020 CALL \$45.00 / CUSIP: / Symbol:							
06/04/20	2.000	779.97	06/03/20	720.00	...	59.97	Option sale to close-call ²⁵
DXC 06/19/2020 CALL \$18.00 / CUSIP: / Symbol:							
05/29/20	1.000	9.98	05/28/20	110.00	...	-100.02	Option sale to close-call ²⁵
EGHT 06/19/2020 CALL \$15.00 / CUSIP: / Symbol:							
05/15/20	4.000	499.97	05/14/20	400.00	...	99.97	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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EGHT 06/19/2020 CALL \$20.00 / CUSIP: / Symbol:							
4 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	2.000	39.99	05/13/20	70.00	...	-30.01	1 of 4 - Option sale to close-call ²⁵
	1.000	20.00	05/13/20	35.00	...	-15.00	2 of 4 - Option sale to close-call ²⁵
	1.000	19.99	05/14/20	24.00	...	-4.01	3 of 4 - Option sale to close-call ²⁵
	1.000	20.00	05/14/20	24.00	...	-4.00	4 of 4 - Option sale to close-call ²⁵
05/15/20	5.000	99.98	Various	153.00	...	-53.02	Total of 4 transactions
EQT 07/17/2020 CALL \$13.00 / CUSIP: / Symbol:							
06/18/20	3.000	509.97	06/18/20	495.00	...	14.97	Option sale to close-call ²⁵
GILD 05/01/2020 CALL \$81.00 / CUSIP: / Symbol:							
4 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	2.000	429.98	04/27/20	468.00	...	-38.02	1 of 4 - Option sale to close-call ²⁵
	1.000	214.99	04/27/20	206.00	...	8.99	2 of 4 - Option sale to close-call ²⁵
	1.000	214.99	04/27/20	203.00	...	11.99	3 of 4 - Option sale to close-call ²⁵
	1.000	215.00	04/27/20	203.00	...	12.00	4 of 4 - Option sale to close-call ²⁵
04/28/20	5.000	1,074.96	Various	1,080.00	...	-5.04	Total of 4 transactions
GILD 05/01/2020 CALL \$85.00 / CUSIP: / Symbol:							
3 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	1.000	113.98	04/27/20	112.00	...	1.98	1 of 3 - Option sale to close-call ²⁵
	1.000	113.99	04/27/20	104.00	...	9.99	2 of 3 - Option sale to close-call ²⁵
	1.000	113.99	04/27/20	104.00	...	9.99	3 of 3 - Option sale to close-call ²⁵
04/28/20	3.000	341.96	Various	320.00	...	21.96	Total of 3 transactions
GILD 06/05/2020 CALL \$75.00 / CUSIP: / Symbol:							
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	1.000	129.99	05/20/20	203.00	...	-73.01	1 of 2 - Option sale to close-call ²⁵
	1.000	129.99	05/20/20	203.00	...	-73.01	2 of 2 - Option sale to close-call ²⁵
05/26/20	2.000	259.98	Various	406.00	...	-146.02	Total of 2 transactions
GILD 06/05/2020 CALL \$90.00 / CUSIP: / Symbol:							
05/26/20	30.000	179.93	05/20/20	300.00	...	-120.07	Option sale to close-call ²⁵

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Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GME 10/23/2020 PUT \$14.00 / CUSIP: / Symbol:							
4 transactions for 10/15/20. Total proceeds and cost reported to the IRS.							
	1.000	141.98	10/15/20	99.00	...	42.98	1 of 4 - Option sale to close-put ²⁵
	4.000	567.97	10/15/20	396.00	...	171.97	2 of 4 - Option sale to close-put ²⁵
	5.000	709.97	10/15/20	495.00	...	214.97	3 of 4 - Option sale to close-put ²⁵
	20.000	2,839.90	10/15/20	2,100.00	...	739.90	4 of 4 - Option sale to close-put ²⁵
10/15/20	30.000	4,259.82	Various	3,090.00	...	1,169.82	Total of 4 transactions
HTZ 06/12/2020 CALL \$4.50 / CUSIP: / Symbol:							
5 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	23.000	2,414.89	06/09/20	2,415.00	...	-0.11	1 of 5 - Option sale to close-call ²⁵
	9.000	944.95	06/09/20	945.00	...	-0.05	2 of 5 - Option sale to close-call ²⁵
	1.000	105.00	06/09/20	100.00	...	5.00	3 of 5 - Option sale to close-call ²⁵
	2.000	219.98	06/09/20	200.00	...	19.98	4 of 5 - Option sale to close-call ²⁵
	5.000	549.97	06/09/20	525.00	...	24.97	5 of 5 - Option sale to close-call ²⁵
06/09/20	40.000	4,234.79	Various	4,185.00	...	49.79	Total of 5 transactions
HTZ 06/12/2020 PUT \$4.50 / CUSIP: / Symbol:							
2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	114.98	06/08/20	115.00	...	-0.02	1 of 2 - Option sale to close-put ²⁵
	1.000	119.98	06/08/20	115.00	...	4.98	2 of 2 - Option sale to close-put ²⁵
06/08/20	2.000	234.96	Various	230.00	...	4.96	Total of 2 transactions
HTZ 06/19/2020 CALL \$1.00 / CUSIP: / Symbol:							
6 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	1.000	40.00	05/26/20	17.00	...	23.00	1 of 6 - Option sale to close-call ²⁵
	1.000	40.00	05/26/20	17.00	...	23.00	2 of 6 - Option sale to close-call ²⁵
	1.000	40.00	05/26/20	17.00	...	23.00	3 of 6 - Option sale to close-call ²⁵
	1.000	39.98	05/26/20	10.00	...	29.98	4 of 6 - Option sale to close-call ²⁵
	7.000	279.97	05/26/20	98.00	...	181.97	5 of 6 - Option sale to close-call ²⁵
	11.000	439.97	05/26/20	154.00	...	285.97	6 of 6 - Option sale to close-call ²⁵
05/27/20	22.000	879.92	Various	313.00	...	566.92	Total of 6 transactions

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Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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HTZ 07/17/2020 CALL \$2.50 / CUSIP: / Symbol:							
5 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	1.000	29.99	06/15/20	66.03	...	-36.04	1 of 5 - Option sale to close-call ²⁵
	1.000	29.99	06/15/20	54.02	...	-24.03	2 of 5 - Option sale to close-call ²⁵
	1.000	30.00	06/15/20	54.02	...	-24.02	3 of 5 - Option sale to close-call ²⁵
	1.000	30.00	06/15/20	54.01	...	-24.01	4 of 5 - Option sale to close-call ²⁵
	1.000	30.00	06/15/20	42.00	...	-12.00	5 of 5 - Option sale to close-call ²⁵
06/15/20	5.000	149.98	Various	270.08	...	-120.10	Total of 5 transactions
5 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	1.000	29.98	06/15/20	42.00	12.02 W	0.00	1 of 5 - Option sale to close-call ²⁵
	1.000	29.98	06/15/20	42.00	12.02 W	0.00	2 of 5 - Option sale to close-call ²⁵
	1.000	29.98	06/15/20	42.00	12.02 W	0.00	3 of 5 - Option sale to close-call ²⁵
	1.000	29.99	06/15/20	42.00	12.01 W	0.00	4 of 5 - Option sale to close-call ²⁵
	1.000	29.99	06/15/20	54.02	24.03 W	0.00	5 of 5 - Option sale to close-call ²⁵
06/15/20	5.000	149.92	Various	222.02	72.10 W	0.00	Total of 5 transactions
	Security total:	299.90		492.10	72.10 W	-120.10	
HYG 06/19/2020 CALL \$81.00 / CUSIP: / Symbol:							
7 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	6.000	467.97	05/18/20	474.00	...	-6.03	1 of 7 - Option sale to close-call ²⁵
	6.000	467.98	05/18/20	474.00	...	-6.02	2 of 7 - Option sale to close-call ²⁵
	2.000	155.99	05/18/20	158.02	...	-2.03	3 of 7 - Option sale to close-call ²⁵
	1.000	78.00	05/18/20	79.02	...	-1.02	4 of 7 - Option sale to close-call ²⁵
	1.000	78.00	05/18/20	79.02	...	-1.02	5 of 7 - Option sale to close-call ²⁵
	3.000	239.98	05/18/20	237.00	...	2.98	6 of 7 - Option sale to close-call ²⁵
	2.000	161.98	05/18/20	158.00	...	3.98	7 of 7 - Option sale to close-call ²⁵
05/18/20	21.000	1,649.90	Various	1,659.06	...	-9.16	Total of 7 transactions
3 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	1.000	78.98	05/18/20	79.00	0.02 W	0.00	1 of 3 - Option sale to close-call ²⁵
	2.000	157.98	05/18/20	158.00	0.02 W	0.00	2 of 3 - Option sale to close-call ²⁵
	1.000	78.98	05/18/20	79.00	0.02 W	0.00	3 of 3 - Option sale to close-call ²⁵
05/18/20	4.000	315.94	Various	316.00	0.06 W	0.00	Total of 3 transactions
	Security total:	1,965.84		1,975.06	0.06 W	-9.16	

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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I 05/15/2020 CALL \$2.00 / CUSIP: / Symbol:							
2 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	1.000	24.98	04/17/20	25.00	...	-0.02	1 of 2 - Option sale to close-call ²⁵
	1.000	24.98	04/17/20	25.00	...	-0.02	2 of 2 - Option sale to close-call ²⁵
04/20/20	2.000	49.96	Various	50.00	...	-0.04	Total of 2 transactions
INO 05/08/2020 CALL \$9.00 / CUSIP: / Symbol:							
04/20/20	2.000	219.98	04/17/20	190.00	...	29.98	Option sale to close-call ²⁵
INTC 10/23/2020 CALL \$55.00 / CUSIP: / Symbol:							
3 transactions for 10/20/20. Total proceeds and cost reported to the IRS.							
	28.000	2,631.88	10/20/20	2,688.00	...	-56.12	1 of 3 - Option sale to close-call ²⁵
	1.000	93.98	10/20/20	96.00	...	-2.02	2 of 3 - Option sale to close-call ²⁵
	1.000	93.98	10/20/20	96.00	...	-2.02	3 of 3 - Option sale to close-call ²⁵
10/20/20	30.000	2,819.84	Various	2,880.00	...	-60.16	Total of 3 transactions
KHC 04/17/2020 CALL \$29.00 / CUSIP: / Symbol:							
04/15/20	10.000	79.97	04/14/20	290.00	...	-210.03	Option sale to close-call ²⁵
KO 08/21/2020 CALL \$52.50 / CUSIP: / Symbol:							
05/28/20	10.000	429.97	05/27/20	320.00	...	109.97	Option sale to close-call ²⁵
KO 10/23/2020 CALL \$51.00 / CUSIP: / Symbol:							
9 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	7.000	342.97	10/15/20	343.00	...	-0.03	1 of 9 - Option sale to close-call ²⁵
	1.000	49.00	10/15/20	49.00	...	0.00	2 of 9 - Option sale to close-call ²⁵
	1.000	49.00	10/15/20	49.00	...	0.00	3 of 9 - Option sale to close-call ²⁵
	1.000	49.00	10/15/20	49.00	...	0.00	4 of 9 - Option sale to close-call ²⁵
	1.000	49.00	10/15/20	49.00	...	0.00	5 of 9 - Option sale to close-call ²⁵
	3.000	146.99	10/15/20	144.00	...	2.99	6 of 9 - Option sale to close-call ²⁵
	4.000	195.99	10/15/20	192.00	...	3.99	7 of 9 - Option sale to close-call ²⁵
	13.000	636.96	10/15/20	624.00	...	12.96	8 of 9 - Option sale to close-call ²⁵
	15.000	734.95	10/15/20	660.00	...	74.95	9 of 9 - Option sale to close-call ²⁵
10/16/20	46.000	2,253.86	Various	2,159.00	...	94.86	Total of 9 transactions

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Robinhood Securities LLC

Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KO 10/23/2020 CALL \$51.00 / CUSIP: / Symbol: (cont'd)							
3 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	10.000	489.97	10/13/20	690.00	200.03 W	0.00	1 of 3 - Option sale to close-call ²⁵
	4.000	195.98	10/15/20	196.00	0.02 W	0.00	2 of 3 - Option sale to close-call ²⁵
	5.000	244.98	10/15/20	245.00	0.02 W	0.00	3 of 3 - Option sale to close-call ²⁵
10/16/20	19.000	930.93	Various	1,131.00	200.07 W	0.00	Total of 3 transactions
7 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	4.000	215.99	10/16/20	272.01	...	-56.02	1 of 7 - Option sale to close-call ²⁵
	3.000	161.99	10/16/20	204.01	...	-42.02	2 of 7 - Option sale to close-call ²⁵
	2.000	107.99	10/16/20	136.01	...	-28.02	3 of 7 - Option sale to close-call ²⁵
	1.000	54.00	10/16/20	68.00	...	-14.00	4 of 7 - Option sale to close-call ²⁵
	1.000	54.00	10/16/20	48.00	...	6.00	5 of 7 - Option sale to close-call ²⁵
	4.000	215.98	10/16/20	192.02	...	23.96	6 of 7 - Option sale to close-call ²⁵
	5.000	269.98	10/16/20	240.02	...	29.96	7 of 7 - Option sale to close-call ²⁵
10/19/20	20.000	1,079.93	Various	1,160.07	...	-80.14	Total of 7 transactions
	Security total:	4,264.72		4,450.07	200.07 W	14.72	
KR 06/19/2020 CALL \$35.00 / CUSIP: / Symbol:							
15 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	4.000	135.99	06/15/20	152.02	...	-16.03	1 of 15 - Option sale to close-call ²⁵
	4.000	135.99	06/15/20	144.00	...	-8.01	2 of 15 - Option sale to close-call ²⁵
	5.000	169.98	06/15/20	175.00	...	-5.02	3 of 15 - Option sale to close-call ²⁵
	5.000	169.99	06/15/20	175.00	...	-5.01	4 of 15 - Option sale to close-call ²⁵
	2.000	67.99	06/15/20	72.00	...	-4.01	5 of 15 - Option sale to close-call ²⁵
	1.000	34.00	06/15/20	38.00	...	-4.00	6 of 15 - Option sale to close-call ²⁵
	1.000	34.00	06/15/20	38.00	...	-4.00	7 of 15 - Option sale to close-call ²⁵
	1.000	34.00	06/15/20	38.00	...	-4.00	8 of 15 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KR 06/19/2020 CALL \$35.00 / CUSIP: / Symbol: (cont'd)							
	6.000	203.98	06/15/20	204.00	...	-0.02	9 of 15 - Option sale to close-call ²⁵
	5.000	169.99	06/15/20	170.00	...	-0.01	10 of 15 - Option sale to close-call ²⁵
	2.000	67.99	06/15/20	64.00	...	3.99	11 of 15 - Option sale to close-call ²⁵
	3.000	101.99	06/15/20	96.00	...	5.99	12 of 15 - Option sale to close-call ²⁵
	3.000	101.99	06/15/20	96.00	...	5.99	13 of 15 - Option sale to close-call ²⁵
	5.000	169.99	06/15/20	160.00	...	9.99	14 of 15 - Option sale to close-call ²⁵
	10.000	339.97	06/15/20	320.00	...	19.97	15 of 15 - Option sale to close-call ²⁵
06/15/20	57.000	1,937.84	Various	1,942.02	...	-4.18	Total of 15 transactions
	2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.						
	1.000	34.00	06/15/20	36.00	2.00 W	0.00	1 of 2 - Option sale to close-call ²⁵
	6.000	203.98	06/15/20	216.00	12.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
06/15/20	7.000	237.98	Various	252.00	14.02 W	0.00	Total of 2 transactions
	Security total:	2,175.82		2,194.02	14.02 W	-4.18	
LYFT 05/08/2020 CALL \$30.00 / CUSIP: / Symbol:							
05/05/20	1.000	93.98	05/04/20	73.00	...	20.98	Option sale to close-call ²⁵
M 06/12/2020 CALL \$10.00 / CUSIP: / Symbol:							
	6 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	2.000	109.98	06/08/20	90.00	...	19.98	1 of 6 - Option sale to close-call ²⁵
	10.000	549.97	06/08/20	450.00	...	99.97	2 of 6 - Option sale to close-call ²⁵
	29.000	1,594.91	06/08/20	1,305.00	...	289.91	3 of 6 - Option sale to close-call ²⁵
	31.000	1,704.90	06/08/20	1,395.00	...	309.90	4 of 6 - Option sale to close-call ²⁵
	44.000	2,419.85	06/08/20	1,980.00	...	439.85	5 of 6 - Option sale to close-call ²⁵
	84.000	4,619.72	06/08/20	3,780.00	...	839.72	6 of 6 - Option sale to close-call ²⁵
06/08/20	200.000	10,999.33	Various	9,000.00	...	1,999.33	Total of 6 transactions

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Robinhood Securities LLC

Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MA 05/08/2020 CALL \$290.00 / CUSIP: / Symbol:							
5 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	1.000	107.98	05/05/20	91.00	...	16.98	1 of 5 - Option sale to close-call ²⁵
	2.000	215.98	05/05/20	182.00	...	33.98	2 of 5 - Option sale to close-call ²⁵
	4.000	431.98	05/05/20	364.00	...	67.98	3 of 5 - Option sale to close-call ²⁵
	13.000	1,377.93	05/05/20	1,183.00	...	194.93	4 of 5 - Option sale to close-call ²⁵
	30.000	3,179.86	05/05/20	2,730.00	...	449.86	5 of 5 - Option sale to close-call ²⁵
05/05/20	50.000	5,313.73	Various	4,550.00	...	763.73	Total of 5 transactions
MGM 06/12/2020 CALL \$25.00 / CUSIP: / Symbol:							
22 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	72.000	2,159.81	06/09/20	2,376.00	...	-216.19	1 of 22 - Option sale to close-call ²⁵
	44.000	1,451.87	06/09/20	1,452.00	...	-0.13	2 of 22 - Option sale to close-call ²⁵
	8.000	263.97	06/09/20	264.00	...	-0.03	3 of 22 - Option sale to close-call ²⁵
	6.000	197.98	06/09/20	198.00	...	-0.02	4 of 22 - Option sale to close-call ²⁵
	5.000	164.98	06/09/20	165.00	...	-0.02	5 of 22 - Option sale to close-call ²⁵
	3.000	98.98	06/09/20	99.00	...	-0.02	6 of 22 - Option sale to close-call ²⁵
	1.000	32.98	06/09/20	33.00	...	-0.02	7 of 22 - Option sale to close-call ²⁵
	1.000	32.98	06/09/20	33.00	...	-0.02	8 of 22 - Option sale to close-call ²⁵
	1.000	33.98	06/09/20	33.00	...	0.98	9 of 22 - Option sale to close-call ²⁵
	1.000	33.98	06/09/20	33.00	...	0.98	10 of 22 - Option sale to close-call ²⁵
	1.000	33.98	06/09/20	33.00	...	0.98	11 of 22 - Option sale to close-call ²⁵
	1.000	34.00	06/09/20	33.00	...	1.00	12 of 22 - Option sale to close-call ²⁵

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Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MGM 06/12/2020 CALL \$25.00 / CUSIP: / Symbol: (cont'd)							
	2.000	67.98	06/09/20	66.00	...	1.98	13 of 22 - Option sale to close-call ²⁵
	2.000	67.99	06/09/20	66.00	...	1.99	14 of 22 - Option sale to close-call ²⁵
	2.000	67.99	06/09/20	66.00	...	1.99	15 of 22 - Option sale to close-call ²⁵
	1.000	34.00	06/09/20	32.00	...	2.00	16 of 22 - Option sale to close-call ²⁵
	5.000	169.98	06/09/20	165.00	...	4.98	17 of 22 - Option sale to close-call ²⁵
	5.000	169.98	06/09/20	165.00	...	4.98	18 of 22 - Option sale to close-call ²⁵
	6.000	203.98	06/09/20	198.00	...	5.98	19 of 22 - Option sale to close-call ²⁵
	10.000	339.97	06/09/20	330.00	...	9.97	20 of 22 - Option sale to close-call ²⁵
	10.000	339.97	06/09/20	330.00	...	9.97	21 of 22 - Option sale to close-call ²⁵
	14.000	475.95	06/09/20	462.00	...	13.95	22 of 22 - Option sale to close-call ²⁵
06/09/20	201.000	6,477.28	Various	6,632.00	...	-154.72	Total of 22 transactions
MRNA 05/22/2020 PUT \$76.00 / CUSIP: / Symbol:							
2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	1.000	809.98	05/19/20	294.00	...	515.98	1 of 2 - Option sale to close-put ²⁵
	3.000	2,429.93	05/19/20	882.00	...	1,547.93	2 of 2 - Option sale to close-put ²⁵
05/20/20	4.000	3,239.91	Various	1,176.00	...	2,063.91	Total of 2 transactions
MSFT 04/17/2020 CALL \$180.00 / CUSIP: / Symbol:							
2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	2.000	139.99	04/16/20	78.00	...	61.99	1 of 2 - Option sale to close-call ²⁵
	3.000	209.99	04/16/20	117.00	...	92.99	2 of 2 - Option sale to close-call ²⁵
04/17/20	5.000	349.98	Various	195.00	...	154.98	Total of 2 transactions

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(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 06/12/2020 PUT \$185.00 / CUSIP: / Symbol:							
7 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	2.000	149.99	06/09/20	140.00	...	9.99	1 of 7 - Option sale to close-put ²⁵
	3.000	224.99	06/09/20	210.00	...	14.99	2 of 7 - Option sale to close-put ²⁵
	3.000	224.99	06/09/20	210.00	...	14.99	3 of 7 - Option sale to close-put ²⁵
	4.000	307.98	06/09/20	280.00	...	27.98	4 of 7 - Option sale to close-put ²⁵
	29.000	2,174.89	06/09/20	2,030.00	...	144.89	5 of 7 - Option sale to close-put ²⁵
	29.000	2,174.89	06/09/20	2,030.00	...	144.89	6 of 7 - Option sale to close-put ²⁵
	30.000	2,249.88	06/09/20	2,100.00	...	149.88	7 of 7 - Option sale to close-put ²⁵
06/09/20	100.000	7,507.61	Various	7,000.00	...	507.61	Total of 7 transactions
MSFT 06/19/2020 CALL \$192.50 / CUSIP: / Symbol:							
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	2.000	341.98	06/09/20	352.00	...	-10.02	1 of 2 - Option sale to close-call ²⁵
	1.000	170.98	06/09/20	176.00	...	-5.02	2 of 2 - Option sale to close-call ²⁵
06/09/20	3.000	512.96	Various	528.00	...	-15.04	Total of 2 transactions
MSFT 06/19/2020 PUT \$195.00 / CUSIP: / Symbol:							
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	2.000	307.98	06/17/20	312.00	...	-4.02	1 of 2 - Option sale to close-put ²⁵
	1.000	153.99	06/17/20	147.00	...	6.99	2 of 2 - Option sale to close-put ²⁵
06/17/20	3.000	461.97	Various	459.00	...	2.97	Total of 2 transactions
MSFT 12/18/2020 CALL \$215.00 / CUSIP: / Symbol:							
18 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	1.000	344.98	12/07/20	340.00	...	4.98	1 of 18 - Option sale to close-call ²⁵
	1.000	344.98	12/07/20	340.00	...	4.98	2 of 18 - Option sale to close-call ²⁵
	13.000	4,484.87	12/07/20	4,420.00	...	64.87	3 of 18 - Option sale to close-call ²⁵
	1.000	299.99	12/08/20	295.03	...	4.96	4 of 18 - Option sale to close-call ²⁵
	1.000	299.99	12/08/20	295.03	...	4.96	5 of 18 - Option sale to close-call ²⁵

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(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 12/18/2020 CALL \$215.00 / CUSIP: / Symbol: (cont'd)							
	1.000	299.98	12/08/20	295.02	...	4.96	6 of 18 - Option sale to close-call ²⁵
	1.000	299.98	12/08/20	295.02	...	4.96	7 of 18 - Option sale to close-call ²⁵
	1.000	299.99	12/08/20	295.02	...	4.97	8 of 18 - Option sale to close-call ²⁵
	1.000	299.99	12/08/20	295.00	...	4.99	9 of 18 - Option sale to close-call ²⁵
	2.000	599.98	12/08/20	590.03	...	9.95	10 of 18 - Option sale to close-call ²⁵
	2.000	599.98	12/08/20	590.03	...	9.95	11 of 18 - Option sale to close-call ²⁵
	2.000	599.99	12/08/20	590.04	...	9.95	12 of 18 - Option sale to close-call ²⁵
	2.000	599.98	12/08/20	590.00	...	9.98	13 of 18 - Option sale to close-call ²⁵
	3.000	899.97	12/08/20	885.00	...	14.97	14 of 18 - Option sale to close-call ²⁵
	4.000	1,199.96	12/08/20	1,180.06	...	19.90	15 of 18 - Option sale to close-call ²⁵
	4.000	1,199.96	12/08/20	1,180.00	...	19.96	16 of 18 - Option sale to close-call ²⁵
	5.000	1,499.95	12/08/20	1,475.00	...	24.95	17 of 18 - Option sale to close-call ²⁵
	25.000	7,499.78	12/08/20	7,275.00	...	224.78	18 of 18 - Option sale to close-call ²⁵
12/08/20	70.000	21,674.30	Various	21,225.28	...	449.02	Total of 18 transactions
	7 transactions for 12/08/20. Total proceeds and cost reported to the IRS.						
	1.000	299.98	12/08/20	300.00	0.02 W	0.00	1 of 7 - Option sale to close-call ²⁵
	7.000	2,099.94	12/08/20	2,100.00	0.06 W	0.00	2 of 7 - Option sale to close-call ²⁵
	7.000	2,099.94	12/08/20	2,100.00	0.06 W	0.00	3 of 7 - Option sale to close-call ²⁵
	1.000	299.99	12/08/20	300.02	0.03 W	0.00	4 of 7 - Option sale to close-call ²⁵
	7.000	2,099.94	12/08/20	2,100.06	0.12 W	0.00	5 of 7 - Option sale to close-call ²⁵
	1.000	299.98	12/08/20	300.01	0.03 W	0.00	6 of 7 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MSFT 12/18/2020 CALL \$215.00 / CUSIP: / Symbol: (cont'd)							
	6.000	1,799.95	12/08/20	1,800.05	0.10 W	0.00	7 of 7 - Option sale to close-call ²⁵
12/08/20	30.000	8,999.72	Various	9,000.14	0.42 W	0.00	Total of 7 transactions
	Security total:	30,674.02		30,225.42	0.42 W	449.02	
MU 04/24/2020 CALL \$45.00 / CUSIP: / Symbol:							
3 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	7.000	454.97	04/23/20	378.00	...	76.97	1 of 3 - Option sale to close-call ²⁵
	10.000	649.96	04/23/20	540.00	...	109.96	2 of 3 - Option sale to close-call ²⁵
	53.000	3,444.81	04/23/20	2,862.00	...	582.81	3 of 3 - Option sale to close-call ²⁵
04/23/20	70.000	4,549.74	Various	3,780.00	...	769.74	Total of 3 transactions
NEWR 06/19/2020 CALL \$65.00 / CUSIP: / Symbol:							
05/15/20	1.000	489.97	05/14/20	160.00	...	329.97	Option sale to close-call ²⁵
NFLX 09/25/2020 CALL \$510.00 / CUSIP: / Symbol:							
2 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	3.000	659.97	09/21/20	702.00	...	-42.03	1 of 2 - Option sale to close-call ²⁵
	1.000	219.98	09/21/20	234.00	...	-14.02	2 of 2 - Option sale to close-call ²⁵
09/21/20	4.000	879.95	Various	936.00	...	-56.05	Total of 2 transactions
NFLX 11/20/2020 CALL \$550.00 / CUSIP: / Symbol:							
10/19/20	1.000	2,999.92	10/16/20	3,235.00	...	-235.08	Option sale to close-call ²⁵
NFLX 12/11/2020 CALL \$515.00 / CUSIP: / Symbol:							
12 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	10.000	5,349.86	12/08/20	5,920.14	...	-570.28	1 of 12 - Option sale to close-call ²⁵
	7.000	3,744.90	12/08/20	4,144.10	...	-399.20	2 of 12 - Option sale to close-call ²⁵
	6.000	3,239.91	12/08/20	3,600.00	...	-360.09	3 of 12 - Option sale to close-call ²⁵
	3.000	1,604.95	12/08/20	1,776.04	...	-171.09	4 of 12 - Option sale to close-call ²⁵
	2.000	1,069.97	12/08/20	1,146.03	...	-76.06	5 of 12 - Option sale to close-call ²⁵

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NFLX 12/11/2020 CALL \$515.00 / CUSIP: / Symbol: (cont'd)							
	1.000	534.98	12/08/20	573.01	...	-38.03	6 of 12 - Option sale to close-call ²⁵
	1.000	534.99	12/08/20	573.01	...	-38.02	7 of 12 - Option sale to close-call ²⁵
	1.000	534.99	12/08/20	573.01	...	-38.02	8 of 12 - Option sale to close-call ²⁵
	1.000	534.99	12/08/20	573.01	...	-38.02	9 of 12 - Option sale to close-call ²⁵
	1.000	534.99	12/08/20	573.01	...	-38.02	10 of 12 - Option sale to close-call ²⁵
	1.000	534.98	12/08/20	569.02	...	-34.04	11 of 12 - Option sale to close-call ²⁵
	2.000	1,079.97	12/08/20	1,090.00	...	-10.03	12 of 12 - Option sale to close-call ²⁵
12/08/20	36.000	19,299.48	Various	21,110.38	...	-1,810.90	Total of 12 transactions
	9 transactions for 12/08/20. Total proceeds and cost reported to the IRS.						
	9.000	4,859.86	12/08/20	5,400.00	180.05 W	-360.09	1 of 9 - Option sale to close-call ²⁵
	3.000	1,619.96	12/08/20	1,857.00	237.04 W	0.00	2 of 9 - Option sale to close-call ²⁵
	10.000	5,399.86	12/08/20	6,190.00	790.14 W	0.00	3 of 9 - Option sale to close-call ²⁵
	7.000	3,779.90	12/08/20	4,333.00	553.10 W	0.00	4 of 9 - Option sale to close-call ²⁵
	1.000	539.99	12/08/20	600.00	60.01 W	0.00	5 of 9 - Option sale to close-call ²⁵
	1.000	539.99	12/08/20	600.00	60.01 W	0.00	6 of 9 - Option sale to close-call ²⁵
	1.000	539.99	12/08/20	600.00	60.01 W	0.00	7 of 9 - Option sale to close-call ²⁵
	1.000	539.99	12/08/20	600.00	60.01 W	0.00	8 of 9 - Option sale to close-call ²⁵
	1.000	539.99	12/08/20	600.00	60.01 W	0.00	9 of 9 - Option sale to close-call ²⁵
12/08/20	34.000	18,359.53	Various	20,780.00	2,060.38 W	-360.09	Total of 9 transactions
	Security total:	37,659.01		41,890.38	2,060.38 W	-2,170.99	
NIO 01/08/2021 CALL \$55.00 / CUSIP: / Symbol:							
	4 transactions for 12/15/20. Total proceeds and cost reported to the IRS.						
	6.000	1,199.96	12/09/20	1,860.00	660.04 W	0.00	1 of 4 - Option sale to close-call ²⁵
	8.000	1,599.94	12/09/20	2,480.00	880.06 W	0.00	2 of 4 - Option sale to close-call ²⁵
	2.000	399.98	12/09/20	620.00	220.02 W	0.00	3 of 4 - Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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NIO 01/08/2021 CALL \$55.00 / CUSIP: / Symbol: (cont'd)							
12/15/20	36.000	7,199.77	12/09/20	11,160.00	3,960.23 W	0.00	4 of 4 - Option sale to close-call ²⁵
	52.000	10,399.65	Various	16,120.00	5,720.35 W	0.00	Total of 4 transactions
	12 transactions for 12/16/20. Total proceeds and cost reported to the IRS.						
	3.000	656.97	12/15/20	861.02	204.05 W	0.00	1 of 12 - Option sale to close-call ²⁵
	3.000	644.98	12/15/20	861.02	216.04 W	0.00	2 of 12 - Option sale to close-call ²⁵
	3.000	644.98	12/15/20	861.02	216.04 W	0.00	3 of 12 - Option sale to close-call ²⁵
	2.000	429.99	12/15/20	574.02	144.03 W	0.00	4 of 12 - Option sale to close-call ²⁵
	5.000	1,074.96	12/15/20	1,435.04	360.08 W	0.00	5 of 12 - Option sale to close-call ²⁵
	2.000	429.98	12/15/20	574.01	144.03 W	0.00	6 of 12 - Option sale to close-call ²⁵
	12.000	2,579.92	12/15/20	3,444.08	864.16 W	0.00	7 of 12 - Option sale to close-call ²⁵
	3.000	644.98	12/15/20	861.02	216.04 W	0.00	8 of 12 - Option sale to close-call ²⁵
	1.000	214.99	12/15/20	249.01	34.02 W	0.00	9 of 12 - Option sale to close-call ²⁵
	3.000	644.98	12/15/20	735.05	90.07 W	0.00	10 of 12 - Option sale to close-call ²⁵
	3.000	644.98	12/15/20	747.04	102.06 W	0.00	11 of 12 - Option sale to close-call ²⁵
	19.000	4,084.87	12/15/20	5,453.12	1,368.25 W	0.00	12 of 12 - Option sale to close-call ²⁵
12/16/20	59.000	12,696.58	Various	16,655.45	3,958.87 W	0.00	Total of 12 transactions
	5 transactions for 12/18/20. Total proceeds and cost reported to the IRS.						
	1.000	230.99	12/16/20	230.02	...	0.97	1 of 5 - Option sale to close-call ²⁵
	3.000	692.98	12/16/20	690.06	...	2.92	2 of 5 - Option sale to close-call ²⁵
	1.000	230.99	12/16/20	226.02	...	4.97	3 of 5 - Option sale to close-call ²⁵
	2.000	461.99	12/16/20	452.05	...	9.94	4 of 5 - Option sale to close-call ²⁵

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NIO 01/08/2021 CALL \$55.00 / CUSIP: / Symbol: (cont'd)							
	13.000	3,002.90	12/16/20	2,548.00	...	454.90	5 of 5 - Option sale to close-call ²⁵
12/18/20	20.000	4,619.85	Various	4,146.15	...	473.70	Total of 5 transactions
8 transactions for 12/18/20. Total proceeds and cost reported to the IRS.							
	2.000	461.99	12/16/20	536.03	74.04 W	0.00	1 of 8 - Option sale to close-call ²⁵
	5.000	1,154.96	12/16/20	1,340.08	185.12 W	0.00	2 of 8 - Option sale to close-call ²⁵
	2.000	461.99	12/16/20	536.03	74.04 W	0.00	3 of 8 - Option sale to close-call ²⁵
	2.000	461.99	12/16/20	536.03	74.04 W	0.00	4 of 8 - Option sale to close-call ²⁵
	3.000	692.98	12/16/20	804.04	111.06 W	0.00	5 of 8 - Option sale to close-call ²⁵
	12.000	2,771.91	12/16/20	3,216.16	444.25 W	0.00	6 of 8 - Option sale to close-call ²⁵
	14.000	3,233.90	12/16/20	3,752.18	518.28 W	0.00	7 of 8 - Option sale to close-call ²⁵
	5.000	1,154.96	12/16/20	1,340.07	185.11 W	0.00	8 of 8 - Option sale to close-call ²⁵
12/18/20	45.000	10,394.68	Various	12,060.62	1,665.94 W	0.00	Total of 8 transactions
	Security total:	38,110.76		48,982.22	11,345.16 W	473.70	
NKLA 06/19/2020 CALL \$65.00 / CUSIP: / Symbol:							
2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	1.000	449.98	06/12/20	380.00	...	69.98	1 of 2 - Option sale to close-call ²⁵
	1.000	449.98	06/12/20	370.00	...	79.98	2 of 2 - Option sale to close-call ²⁵
06/15/20	2.000	899.96	Various	750.00	...	149.96	Total of 2 transactions
NKLA 10/16/2020 CALL \$25.00 / CUSIP: / Symbol:							
09/28/20	1.000	109.98	09/28/20	110.02	...	-0.04	Option sale to close-call ²⁵
09/28/20	1.000	109.98	09/28/20	110.00	0.02 W	0.00	Option sale to close-call ²⁵
	Security total:	219.96		220.02	0.02 W	-0.04	
NVDA 05/29/2020 CALL \$400.00 / CUSIP: / Symbol:							
05/22/20	3.000	446.98	05/21/20	1,005.00	...	-558.02	Option sale to close-call ²⁵
OKTA 06/05/2020 CALL \$200.00 / CUSIP: / Symbol:							
3 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	1.000	529.97	05/26/20	489.00	...	40.97	1 of 3 - Option sale to close-call ²⁵
	1.000	529.98	05/26/20	480.00	...	49.98	2 of 3 - Option sale to close-call ²⁵
	2.000	1,059.97	05/26/20	980.00	...	79.97	3 of 3 - Option sale to close-call ²⁵
05/26/20	4.000	2,119.92	Various	1,949.00	...	170.92	Total of 3 transactions

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(continued)

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ORCL 07/17/2020 CALL \$55.00 / CUSIP: / Symbol:							
2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	1.000	130.98	06/03/20	140.00	...	-9.02	1 of 2 - Option sale to close-call ²⁵
	1.000	134.98	06/03/20	140.00	...	-5.02	2 of 2 - Option sale to close-call ²⁵
06/04/20	2.000	265.96	Various	280.00	...	-14.04	Total of 2 transactions
OXY 05/15/2020 PUT \$14.00 / CUSIP: / Symbol:							
5 transactions for 05/04/20. Total proceeds and cost reported to the IRS.							
	1.000	83.98	05/04/20	78.00	...	5.98	1 of 5 - Option sale to close-put ²⁵
	1.000	83.98	05/04/20	78.00	...	5.98	2 of 5 - Option sale to close-put ²⁵
	5.000	419.98	05/04/20	390.00	...	29.98	3 of 5 - Option sale to close-put ²⁵
	23.000	1,931.91	05/04/20	1,794.00	...	137.91	4 of 5 - Option sale to close-put ²⁵
	30.000	2,519.88	05/04/20	2,340.00	...	179.88	5 of 5 - Option sale to close-put ²⁵
05/04/20	60.000	5,039.73	Various	4,680.00	...	359.73	Total of 5 transactions
OXY 07/17/2020 CALL \$21.00 / CUSIP: / Symbol:							
7 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	1.000	32.98	05/27/20	29.00	...	3.98	1 of 7 - Option sale to close-call ²⁵
	4.000	131.99	05/27/20	116.00	...	15.99	2 of 7 - Option sale to close-call ²⁵
	10.000	329.97	05/27/20	300.00	...	29.97	3 of 7 - Option sale to close-call ²⁵
	9.000	296.97	05/27/20	261.00	...	35.97	4 of 7 - Option sale to close-call ²⁵
	16.000	527.96	05/27/20	464.00	...	63.96	5 of 7 - Option sale to close-call ²⁵
	10.000	329.97	05/27/20	260.00	...	69.97	6 of 7 - Option sale to close-call ²⁵
	10.000	329.97	05/27/20	260.00	...	69.97	7 of 7 - Option sale to close-call ²⁵
05/28/20	60.000	1,979.81	Various	1,690.00	...	289.81	Total of 7 transactions
PCG 05/29/2020 CALL \$13.00 / CUSIP: / Symbol:							
18 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	10.000	29.97	05/26/20	60.00	...	-30.03	1 of 18 - Option sale to close-call ²⁵
	10.000	29.97	05/26/20	60.00	...	-30.03	2 of 18 - Option sale to close-call ²⁵
	10.000	29.97	05/26/20	60.00	...	-30.03	3 of 18 - Option sale to close-call ²⁵

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PCG 05/29/2020 CALL \$13.00 / CUSIP: / Symbol: (cont'd)							
	10.000	29.97	05/26/20	60.00	...	-30.03	4 of 18 - Option sale to close-call ²⁵
	10.000	29.97	05/26/20	60.00	...	-30.03	5 of 18 - Option sale to close-call ²⁵
	10.000	29.97	05/26/20	60.00	...	-30.03	6 of 18 - Option sale to close-call ²⁵
	6.000	17.98	05/26/20	36.00	...	-18.02	7 of 18 - Option sale to close-call ²⁵
	5.000	14.98	05/26/20	30.00	...	-15.02	8 of 18 - Option sale to close-call ²⁵
	4.000	11.98	05/26/20	24.00	...	-12.02	9 of 18 - Option sale to close-call ²⁵
	4.000	11.98	05/26/20	24.00	...	-12.02	10 of 18 - Option sale to close-call ²⁵
	2.000	5.98	05/26/20	12.00	...	-6.02	11 of 18 - Option sale to close-call ²⁵
	2.000	5.98	05/26/20	12.00	...	-6.02	12 of 18 - Option sale to close-call ²⁵
	2.000	5.98	05/26/20	12.00	...	-6.02	13 of 18 - Option sale to close-call ²⁵
	2.000	5.98	05/26/20	12.00	...	-6.02	14 of 18 - Option sale to close-call ²⁵
	2.000	5.99	05/26/20	12.00	...	-6.01	15 of 18 - Option sale to close-call ²⁵
	1.000	2.99	05/26/20	7.00	...	-4.01	16 of 18 - Option sale to close-call ²⁵
	1.000	2.98	05/26/20	6.00	...	-3.02	17 of 18 - Option sale to close-call ²⁵
	1.000	3.98	05/26/20	6.00	...	-2.02	18 of 18 - Option sale to close-call ²⁵
05/27/20	92.000	276.60	Various	553.00	...	-276.40	Total of 18 transactions
05/27/20	8.000	39.97	05/26/20	48.00	1.00 W	-7.03	Option sale to close-call ²⁵
	Security total:	316.57		601.00	1.00 W	-283.43	

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PCG 09/18/2020 CALL \$20.00 / CUSIP: / Symbol:							
5 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	1.000	55.00	05/26/20	52.00	...	3.00	1 of 5 - Option sale to close-call ²⁵
	5.000	264.98	05/26/20	260.00	...	4.98	2 of 5 - Option sale to close-call ²⁵
	3.000	170.98	05/26/20	156.00	...	14.98	3 of 5 - Option sale to close-call ²⁵
	7.000	384.97	05/26/20	364.00	...	20.97	4 of 5 - Option sale to close-call ²⁵
	15.000	824.95	05/26/20	780.00	...	44.95	5 of 5 - Option sale to close-call ²⁵
05/26/20	31.000	1,700.88	Various	1,612.00	...	88.88	Total of 5 transactions
6 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	1.000	54.98	05/26/20	52.00	...	2.98	1 of 6 - Option sale to close-call ²⁵
	1.000	54.98	05/26/20	52.00	...	2.98	2 of 6 - Option sale to close-call ²⁵
	1.000	55.00	05/26/20	52.00	...	3.00	3 of 6 - Option sale to close-call ²⁵
	2.000	109.98	05/26/20	104.00	...	5.98	4 of 6 - Option sale to close-call ²⁵
	2.000	109.98	05/26/20	104.00	...	5.98	5 of 6 - Option sale to close-call ²⁵
	12.000	659.95	05/26/20	624.00	...	35.95	6 of 6 - Option sale to close-call ²⁵
05/27/20	19.000	1,044.87	Various	988.00	...	56.87	Total of 6 transactions
	Security total:	2,745.75		2,600.00	...	145.75	
PENN 06/19/2020 PUT \$34.00 / CUSIP: / Symbol:							
11 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	5.000	1,174.97	06/08/20	1,200.04	...	-25.07	1 of 11 - Option sale to close-put ²⁵
	3.000	704.97	06/08/20	720.05	...	-15.08	2 of 11 - Option sale to close-put ²⁵
	1.000	234.99	06/08/20	240.02	...	-5.03	3 of 11 - Option sale to close-put ²⁵
	1.000	234.99	06/08/20	240.01	...	-5.02	4 of 11 - Option sale to close-put ²⁵
	1.000	254.98	06/08/20	250.00	...	4.98	5 of 11 - Option sale to close-put ²⁵
	1.000	254.98	06/08/20	250.00	...	4.98	6 of 11 - Option sale to close-put ²⁵
	2.000	509.97	06/08/20	500.00	...	9.97	7 of 11 - Option sale to close-put ²⁵
	2.000	509.97	06/08/20	500.00	...	9.97	8 of 11 - Option sale to close-put ²⁵
	4.000	1,019.96	06/08/20	1,000.00	...	19.96	9 of 11 - Option sale to close-put ²⁵
	5.000	1,224.96	06/08/20	1,200.00	...	24.96	10 of 11 - Option sale to close-put ²⁵
	10.000	2,549.92	06/08/20	2,500.00	...	49.92	11 of 11 - Option sale to close-put ²⁵
06/08/20	35.000	8,674.66	Various	8,600.12	...	74.54	Total of 11 transactions

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Robinhood Securities LLC

Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PENN 06/19/2020 PUT \$34.00 / CUSIP: / Symbol: (cont'd)							
5 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	4.000	979.97	06/08/20	980.00	0.03 W	0.00	1 of 5 - Option sale to close-put ²⁵
	1.000	244.99	06/08/20	245.00	0.01 W	0.00	2 of 5 - Option sale to close-put ²⁵
	1.000	234.99	06/08/20	240.01	5.02 W	0.00	3 of 5 - Option sale to close-put ²⁵
	4.000	939.97	06/08/20	960.03	20.06 W	0.00	4 of 5 - Option sale to close-put ²⁵
	5.000	1,174.96	06/08/20	1,200.00	25.04 W	0.00	5 of 5 - Option sale to close-put ²⁵
06/08/20	15.000	3,574.88	Various	3,625.04	50.16 W	0.00	Total of 5 transactions
	Security total:	12,249.54		12,225.16	50.16 W	74.54	
PENN 07/17/2020 CALL \$19.00 / CUSIP: / Symbol:							
05/15/20	1.000	289.98	05/14/20	240.00	...	49.98	Option sale to close-call ²⁵
PINS 10/16/2020 CALL \$43.00 / CUSIP: / Symbol:							
3 transactions for 09/22/20. Total proceeds and cost reported to the IRS.							
	2.000	279.98	09/22/20	252.00	...	27.98	1 of 3 - Option sale to close-call ²⁵
	3.000	419.98	09/22/20	378.00	...	41.98	2 of 3 - Option sale to close-call ²⁵
	3.000	419.98	09/22/20	378.00	...	41.98	3 of 3 - Option sale to close-call ²⁵
09/22/20	8.000	1,119.94	Various	1,008.00	...	111.94	Total of 3 transactions
QQQ 04/24/2020 CALL \$209.00 / CUSIP: / Symbol:							
04/23/20	10.000	5,279.86	04/23/20	5,250.00	...	29.86	Option sale to close-call ²⁵
ROKU 05/01/2020 PUT \$110.00 / CUSIP: / Symbol:							
3 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	2.000	111.98	04/28/20	90.00	...	21.98	1 of 3 - Option sale to close-put ²⁵
	8.000	447.97	04/28/20	360.00	...	87.97	2 of 3 - Option sale to close-put ²⁵
	10.000	559.96	04/28/20	450.00	...	109.96	3 of 3 - Option sale to close-put ²⁵
04/28/20	20.000	1,119.91	Various	900.00	...	219.91	Total of 3 transactions
ROKU 12/24/2020 CALL \$360.00 / CUSIP: / Symbol:							
4 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	1.000	549.99	12/18/20	294.00	...	255.99	1 of 4 - Option sale to close-call ²⁵
	1.000	549.97	12/18/20	290.00	...	259.97	2 of 4 - Option sale to close-call ²⁵

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Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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ROKU 12/24/2020 CALL \$360.00 / CUSIP: / Symbol: (cont'd)							
	1.000	549.97	12/18/20	290.00	...	259.97	3 of 4 - Option sale to close-call ²⁵
	34.000	18,699.51	12/18/20	9,860.00	...	8,839.51	4 of 4 - Option sale to close-call ²⁵
12/21/20	37.000	20,349.44	Various	10,734.00	...	9,615.44	Total of 4 transactions
RTX 08/21/2020 CALL \$75.00 / CUSIP: / Symbol:							
2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	1.000	39.99	05/14/20	40.00	...	-0.01	1 of 2 - Option sale to close-call ²⁵
	2.000	79.99	05/15/20	80.00	...	-0.01	2 of 2 - Option sale to close-call ²⁵
05/18/20	3.000	119.98	Various	120.00	...	-0.02	Total of 2 transactions
SHOP 06/05/2020 CALL \$800.00 / CUSIP: / Symbol:							
3 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	1.000	689.97	06/02/20	610.00	...	79.97	1 of 3 - Option sale to close-call ²⁵
	2.000	1,379.95	06/02/20	1,220.00	...	159.95	2 of 3 - Option sale to close-call ²⁵
	6.000	4,139.89	06/02/20	3,660.00	...	479.89	3 of 3 - Option sale to close-call ²⁵
06/02/20	9.000	6,209.81	Various	5,490.00	...	719.81	Total of 3 transactions
SLV 10/16/2020 CALL \$23.00 / CUSIP: / Symbol:							
09/30/20	10.000	509.96	09/30/20	490.00	...	19.96	Option sale to close-call ²⁵
SLV 10/16/2020 CALL \$25.00 / CUSIP: / Symbol:							
09/22/20	2.000	79.98	09/21/20	90.00	...	-10.02	Option sale to close-call ²⁵
SLV 11/20/2020 CALL \$24.00 / CUSIP: / Symbol:							
09/28/20	2.000	163.98	09/25/20	142.00	...	21.98	Option sale to close-call ²⁵
SLV 11/20/2020 CALL \$24.50 / CUSIP: / Symbol:							
09/28/20	1.000	68.98	09/25/20	62.00	...	6.98	Option sale to close-call ²⁵
SLV 11/20/2020 CALL \$25.00 / CUSIP: / Symbol:							
6 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	1.000	63.00	09/30/20	61.00	...	2.00	1 of 6 - Option sale to close-call ²⁵
	2.000	125.98	09/30/20	122.00	...	3.98	2 of 6 - Option sale to close-call ²⁵
	1.000	63.00	09/30/20	58.00	...	5.00	3 of 6 - Option sale to close-call ²⁵
	5.000	314.98	09/30/20	305.00	...	9.98	4 of 6 - Option sale to close-call ²⁵

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Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SLV 11/20/2020 CALL \$25.00 / CUSIP: / Symbol: (cont'd)							
	6.000	377.98	09/30/20	366.00	...	11.98	5 of 6 - Option sale to close-call ²⁵
	10.000	629.96	09/30/20	610.00	...	19.96	6 of 6 - Option sale to close-call ²⁵
10/01/20	25.000	1,574.90	Various	1,522.00	...	52.90	Total of 6 transactions
SPCE 05/08/2020 CALL \$19.50 / CUSIP: / Symbol:							
05/05/20	1.000	61.98	05/04/20	60.00	...	1.98	Option sale to close-call ²⁵
SPCE 10/23/2020 CALL \$22.00 / CUSIP: / Symbol:							
4 transactions for 10/15/20. Total proceeds and cost reported to the IRS.							
	1.000	93.98	10/15/20	85.00	...	8.98	1 of 4 - Option sale to close-call ²⁵
	1.000	93.99	10/15/20	85.00	...	8.99	2 of 4 - Option sale to close-call ²⁵
	1.000	94.00	10/15/20	85.00	...	9.00	3 of 4 - Option sale to close-call ²⁵
	7.000	657.97	10/15/20	595.00	...	62.97	4 of 4 - Option sale to close-call ²⁵
10/15/20	10.000	939.94	Various	850.00	...	89.94	Total of 4 transactions
SPLV 05/15/2020 CALL \$49.00 / CUSIP: / Symbol:							
04/22/20	1.000	209.98	04/21/20	202.00	...	7.98	Option sale to close-call ²⁵
SPR 07/17/2020 CALL \$30.00 / CUSIP: / Symbol:							
3 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	1.000	219.99	06/17/20	265.00	...	-45.01	1 of 3 - Option sale to close-call ²⁵
	1.000	219.99	06/17/20	254.00	...	-34.01	2 of 3 - Option sale to close-call ²⁵
	1.000	219.99	06/17/20	248.00	...	-28.01	3 of 3 - Option sale to close-call ²⁵
06/18/20	3.000	659.97	Various	767.00	...	-107.03	Total of 3 transactions
SPY 04/17/2020 CALL \$288.00 / CUSIP: / Symbol:							
2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	1.000	66.00	04/16/20	19.00	...	47.00	1 of 2 - Option sale to close-call ²⁵
	4.000	263.98	04/16/20	76.00	...	187.98	2 of 2 - Option sale to close-call ²⁵
04/17/20	5.000	329.98	Various	95.00	...	234.98	Total of 2 transactions

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(continued)

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SPY 04/17/2020 PUT \$270.00 / CUSIP: / Symbol:							
2 transactions for 04/15/20. Total proceeds and cost reported to the IRS.							
	2.000	331.99	04/14/20	178.00	...	153.99	1 of 2 - Option sale to close-put ²⁵
	5.000	829.97	04/14/20	525.00	...	304.97	2 of 2 - Option sale to close-put ²⁵
04/15/20	7.000	1,161.96	Various	703.00	...	458.96	Total of 2 transactions
SPY 04/29/2020 CALL \$290.00 / CUSIP: / Symbol:							
2 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	1.000	204.98	04/28/20	139.00	...	65.98	1 of 2 - Option sale to close-call ²⁵
	1.000	204.98	04/28/20	107.00	...	97.98	2 of 2 - Option sale to close-call ²⁵
04/29/20	2.000	409.96	Various	246.00	...	163.96	Total of 2 transactions
SPY 05/08/2020 PUT \$285.00 / CUSIP: / Symbol:							
4 transactions for 05/08/20. Total proceeds and cost reported to the IRS.							
	2.000	11.99	05/07/20	262.01	...	-250.02	1 of 4 - Option sale to close-put ²⁵
	1.000	6.00	05/07/20	132.01	...	-126.01	2 of 4 - Option sale to close-put ²⁵
	1.000	6.00	05/07/20	70.00	...	-64.00	3 of 4 - Option sale to close-put ²⁵
	1.000	5.99	05/07/20	68.00	...	-62.01	4 of 4 - Option sale to close-put ²⁵
05/08/20	5.000	29.98	Various	532.02	...	-502.04	Total of 4 transactions
05/08/20	3.000	17.98	05/07/20	210.00	192.02 W	0.00	Option sale to close-put ²⁵
	Security total:	47.96		742.02	192.02 W	-502.04	
SPY 05/08/2020 PUT \$288.00 / CUSIP: / Symbol:							
2 transactions for 05/08/20. Total proceeds and cost reported to the IRS.							
	1.000	20.99	05/07/20	149.00	...	-128.01	1 of 2 - Option sale to close-put ²⁵
	1.000	20.99	05/07/20	149.00	...	-128.01	2 of 2 - Option sale to close-put ²⁵
05/08/20	2.000	41.98	Various	298.00	...	-256.02	Total of 2 transactions
SPY 05/29/2020 PUT \$300.00 / CUSIP: / Symbol:							
05/28/20	1.000	85.98	05/27/20	110.00	...	-24.02	Option sale to close-put ²⁵
SPY 06/01/2020 CALL \$305.00 / CUSIP: / Symbol:							
5 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	1.000	97.98	05/29/20	113.04	...	-15.06	1 of 5 - Option sale to close-call ²⁵
	1.000	97.98	05/29/20	113.04	...	-15.06	2 of 5 - Option sale to close-call ²⁵

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SPY 06/01/2020 CALL \$305.00 / CUSIP: / Symbol: (cont'd)							
	1.000	97.98	05/29/20	106.02	...	-8.04	3 of 5 - Option sale to close-call ²⁵
	1.000	97.98	05/29/20	99.00	...	-1.02	4 of 5 - Option sale to close-call ²⁵
	1.000	97.98	05/29/20	99.00	...	-1.02	5 of 5 - Option sale to close-call ²⁵
06/01/20	5.000	489.90	Various	530.10	...	-40.20	Total of 5 transactions
5 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	1.000	97.98	05/29/20	105.00	7.02 W	0.00	1 of 5 - Option sale to close-call ²⁵
	1.000	97.98	05/29/20	105.00	7.02 W	0.00	2 of 5 - Option sale to close-call ²⁵
	1.000	97.98	05/29/20	105.00	7.02 W	0.00	3 of 5 - Option sale to close-call ²⁵
	1.000	97.98	05/29/20	112.02	14.04 W	0.00	4 of 5 - Option sale to close-call ²⁵
	1.000	97.98	05/29/20	112.02	14.04 W	0.00	5 of 5 - Option sale to close-call ²⁵
06/01/20	5.000	489.90	Various	539.04	49.14 W	0.00	Total of 5 transactions
Security total:		979.80		1,069.14	49.14 W	-40.20	
SPY 06/03/2020 CALL \$307.00 / CUSIP: / Symbol:							
06/02/20	1.000	144.98	06/01/20	140.00	...	4.98	Option sale to close-call ²⁵
06/03/20	2.000	697.97	06/02/20	240.00	...	457.97	Option sale to close-call ²⁵
Security total:		842.95		380.00	...	462.95	
SPY 06/05/2020 CALL \$310.00 / CUSIP: / Symbol:							
06/02/20	50.000	5,599.77	06/02/20	5,000.00	...	599.77	Option sale to close-call ²⁵
SPY 06/05/2020 CALL \$313.00 / CUSIP: / Symbol:							
06/05/20	5.000	2,234.94	06/04/20	355.00	...	1,879.94	Option sale to close-call ²⁵
SPY 06/05/2020 CALL \$315.00 / CUSIP: / Symbol:							
4 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	1.000	56.00	06/02/20	19.00	...	37.00	1 of 4 - Option sale to close-call ²⁵
	5.000	279.98	06/02/20	95.00	...	184.98	2 of 4 - Option sale to close-call ²⁵
	6.000	335.98	06/02/20	114.00	...	221.98	3 of 4 - Option sale to close-call ²⁵
	8.000	447.97	06/02/20	152.00	...	295.97	4 of 4 - Option sale to close-call ²⁵
06/03/20	20.000	1,119.93	Various	380.00	...	739.93	Total of 4 transactions
4 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	271.99	06/04/20	19.00	...	252.99	1 of 4 - Option sale to close-call ²⁵
	4.000	1,087.97	06/04/20	76.00	...	1,011.97	2 of 4 - Option sale to close-call ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 06/05/2020 CALL \$315.00 / CUSIP: / Symbol: (cont'd)							
	10.000	2,719.92	06/04/20	270.00	...	2,449.92	3 of 4 - Option sale to close-call ²⁵
	15.000	4,079.87	06/04/20	270.00	...	3,809.87	4 of 4 - Option sale to close-call ²⁵
06/05/20	30.000	8,159.75	Various	635.00	...	7,524.75	Total of 4 transactions
	Security total:	9,279.68		1,015.00	...	8,264.68	
SPY 06/05/2020 PUT \$300.00 / CUSIP: / Symbol:							
05/29/20	1.000	354.98	05/28/20	203.00	...	151.98	Option sale to close-put ²⁵
SPY 06/05/2020 PUT \$304.00 / CUSIP: / Symbol:							
4 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	1.000	511.97	05/28/20	390.00	...	121.97	1 of 4 - Option sale to close-put ²⁵
	1.000	511.98	05/28/20	370.00	...	141.98	2 of 4 - Option sale to close-put ²⁵
	1.000	511.98	05/28/20	306.00	...	205.98	3 of 4 - Option sale to close-put ²⁵
	1.000	511.97	05/28/20	300.00	...	211.97	4 of 4 - Option sale to close-put ²⁵
05/29/20	4.000	2,047.90	Various	1,366.00	...	681.90	Total of 4 transactions
SPY 06/08/2020 CALL \$310.00 / CUSIP: / Symbol:							
06/01/20	2.000	289.98	05/29/20	220.00	...	69.98	Option sale to close-call ²⁵
SPY 06/08/2020 CALL \$315.00 / CUSIP: / Symbol:							
4 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	2.000	681.98	06/04/20	132.00	...	549.98	1 of 4 - Option sale to close-call ²⁵
	2.000	681.98	06/04/20	130.00	...	551.98	2 of 4 - Option sale to close-call ²⁵
	2.000	681.98	06/04/20	126.00	...	555.98	3 of 4 - Option sale to close-call ²⁵
	4.000	1,363.95	06/04/20	260.00	...	1,103.95	4 of 4 - Option sale to close-call ²⁵
06/05/20	10.000	3,409.89	Various	648.00	...	2,761.89	Total of 4 transactions
SPY 06/10/2020 CALL \$320.00 / CUSIP: / Symbol:							
5 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	153.99	06/04/20	27.00	...	126.99	1 of 5 - Option sale to close-call ²⁵
	4.000	615.98	06/04/20	112.00	...	503.98	2 of 5 - Option sale to close-call ²⁵
	4.000	615.98	06/04/20	112.00	...	503.98	3 of 5 - Option sale to close-call ²⁵
	16.000	2,463.91	06/04/20	448.00	...	2,015.91	4 of 5 - Option sale to close-call ²⁵
	26.000	4,003.86	06/04/20	728.00	...	3,275.86	5 of 5 - Option sale to close-call ²⁵
06/05/20	51.000	7,853.72	Various	1,427.00	...	6,426.72	Total of 5 transactions

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Robinhood Securities LLC

Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SPY 06/10/2020 CALL \$321.00 / CUSIP: / Symbol:							
6 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	4.000	839.97	06/08/20	804.00	...	35.97	1 of 6 - Option sale to close-call ²⁵
	6.000	1,259.96	06/08/20	1,206.00	...	53.96	2 of 6 - Option sale to close-call ²⁵
	7.000	1,469.95	06/08/20	1,407.00	...	62.95	3 of 6 - Option sale to close-call ²⁵
	10.000	2,099.93	06/08/20	2,020.00	...	79.93	4 of 6 - Option sale to close-call ²⁵
	13.000	2,729.92	06/08/20	2,613.00	...	116.92	5 of 6 - Option sale to close-call ²⁵
	20.000	4,199.87	06/08/20	3,780.00	...	419.87	6 of 6 - Option sale to close-call ²⁵
06/08/20	60.000	12,599.60	Various	11,830.00	...	769.60	Total of 6 transactions
SPY 06/10/2020 CALL \$325.00 / CUSIP: / Symbol:							
10 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	7.000	328.97	06/09/20	357.05	...	-28.08	1 of 10 - Option sale to close-call ²⁵
	2.000	93.99	06/09/20	102.01	...	-8.02	2 of 10 - Option sale to close-call ²⁵
	1.000	47.00	06/09/20	51.01	...	-4.01	3 of 10 - Option sale to close-call ²⁵
	10.000	669.97	06/09/20	670.03	...	-0.06	4 of 10 - Option sale to close-call ²⁵
	1.000	48.00	06/09/20	42.00	...	6.00	5 of 10 - Option sale to close-call ²⁵
	1.000	67.00	06/09/20	59.00	...	8.00	6 of 10 - Option sale to close-call ²⁵
	80.000	5,359.72	06/09/20	5,200.00	...	159.72	7 of 10 - Option sale to close-call ²⁵
	29.000	1,391.91	06/09/20	1,218.00	...	173.91	8 of 10 - Option sale to close-call ²⁵
	30.000	2,009.89	06/09/20	1,770.10	...	239.79	9 of 10 - Option sale to close-call ²⁵
	49.000	3,282.83	06/09/20	2,891.15	...	391.68	10 of 10 - Option sale to close-call ²⁵
06/09/20	210.000	13,299.28	Various	12,360.35	...	938.93	Total of 10 transactions

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(continued)

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SPY 06/10/2020 CALL \$325.00 / CUSIP: / Symbol: (cont'd)							
3 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	50.000	2,399.85	06/09/20	2,500.00	100.15 W	0.00	1 of 3 - Option sale to close-call ²⁵
	50.000	2,399.84	06/09/20	2,500.00	100.16 W	0.00	2 of 3 - Option sale to close-call ²⁵
	10.000	669.96	06/09/20	670.03	0.07 W	0.00	3 of 3 - Option sale to close-call ²⁵
06/09/20	110.000	5,469.65	Various	5,670.03	200.38 W	0.00	Total of 3 transactions
	Security total:	18,768.93		18,030.38	200.38 W	938.93	
SPY 06/10/2020 PUT \$317.00 / CUSIP: / Symbol:							
4 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	5.000	534.97	06/08/20	495.00	...	39.97	1 of 4 - Option sale to close-put ²⁵
	5.000	534.98	06/08/20	495.00	...	39.98	2 of 4 - Option sale to close-put ²⁵
	5.000	534.98	06/08/20	495.00	...	39.98	3 of 4 - Option sale to close-put ²⁵
	5.000	534.98	06/08/20	485.00	...	49.98	4 of 4 - Option sale to close-put ²⁵
06/08/20	20.000	2,139.91	Various	1,970.00	...	169.91	Total of 4 transactions
SPY 06/10/2020 PUT \$321.00 / CUSIP: / Symbol:							
15 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	15.000	2,504.92	06/08/20	2,595.08	...	-90.16	1 of 15 - Option sale to close-put ²⁵
	3.000	500.98	06/08/20	519.06	...	-18.08	2 of 15 - Option sale to close-put ²⁵
	1.000	166.99	06/08/20	176.04	...	-9.05	3 of 15 - Option sale to close-put ²⁵
	1.000	166.99	06/08/20	176.04	...	-9.05	4 of 15 - Option sale to close-put ²⁵
	1.000	182.99	06/08/20	181.00	...	1.99	5 of 15 - Option sale to close-put ²⁵
	2.000	365.99	06/08/20	362.01	...	3.98	6 of 15 - Option sale to close-put ²⁵
	2.000	385.99	06/08/20	382.00	...	3.99	7 of 15 - Option sale to close-put ²⁵
	2.000	385.99	06/08/20	382.00	...	3.99	8 of 15 - Option sale to close-put ²⁵
	2.000	385.99	06/08/20	382.00	...	3.99	9 of 15 - Option sale to close-put ²⁵
	4.000	771.97	06/08/20	764.00	...	7.97	10 of 15 - Option sale to close-put ²⁵
	10.000	1,829.94	06/08/20	1,820.07	...	9.87	11 of 15 - Option sale to close-put ²⁵
	5.000	884.97	06/08/20	875.06	...	9.91	12 of 15 - Option sale to close-put ²⁵
	5.000	884.97	06/08/20	875.06	...	9.91	13 of 15 - Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SPY 06/10/2020 PUT \$321.00 / CUSIP: / Symbol: (cont'd)							
	2.000	365.99	06/08/20	356.00	...	9.99	14 of 15 - Option sale to close-put ²⁵
	20.000	3,859.87	06/08/20	3,820.00	...	39.87	15 of 15 - Option sale to close-put ²⁵
06/08/20	75.000	13,644.54	Various	13,665.42	...	-20.88	Total of 15 transactions
	15 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	2.000	369.98	06/08/20	380.00	10.02 W	0.00	1 of 15 - Option sale to close-put ²⁵
	23.000	4,254.85	06/08/20	4,370.00	115.15 W	0.00	2 of 15 - Option sale to close-put ²⁵
	1.000	184.99	06/08/20	193.01	8.02 W	0.00	3 of 15 - Option sale to close-put ²⁵
	1.000	184.99	06/08/20	193.01	8.02 W	0.00	4 of 15 - Option sale to close-put ²⁵
	2.000	369.99	06/08/20	376.00	6.01 W	0.00	5 of 15 - Option sale to close-put ²⁵
	1.000	185.00	06/08/20	188.00	3.00 W	0.00	6 of 15 - Option sale to close-put ²⁵
	5.000	914.97	06/08/20	915.03	0.06 W	0.00	7 of 15 - Option sale to close-put ²⁵
	5.000	914.97	06/08/20	915.03	0.06 W	0.00	8 of 15 - Option sale to close-put ²⁵
	1.000	182.99	06/08/20	186.02	3.03 W	0.00	9 of 15 - Option sale to close-put ²⁵
	1.000	182.99	06/08/20	186.02	3.03 W	0.00	10 of 15 - Option sale to close-put ²⁵
	3.000	548.98	06/08/20	549.02	0.04 W	0.00	11 of 15 - Option sale to close-put ²⁵
	1.000	162.99	06/08/20	174.03	11.04 W	0.00	12 of 15 - Option sale to close-put ²⁵
	1.000	162.99	06/08/20	174.03	11.04 W	0.00	13 of 15 - Option sale to close-put ²⁵
	3.000	488.98	06/08/20	513.04	24.06 W	0.00	14 of 15 - Option sale to close-put ²⁵
	15.000	2,444.92	06/08/20	2,565.00	120.08 W	0.00	15 of 15 - Option sale to close-put ²⁵
06/08/20	65.000	11,554.58	Various	11,877.24	322.66 W	0.00	Total of 15 transactions
	Security total:	25,199.12		25,542.66	322.66 W	-20.88	
SPY 06/12/2020 CALL \$320.00 / CUSIP: / Symbol:							
	9 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	59.000	471.87	06/11/20	2,183.14	...	-1,711.27	1 of 9 - Option sale to close-call ²⁵
	100.000	799.77	06/11/20	2,000.00	...	-1,200.23	2 of 9 - Option sale to close-call ²⁵

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Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SPY 06/12/2020 CALL \$320.00 / CUSIP: / Symbol: (cont'd)							
	40.000	319.91	06/11/20	1,480.09	...	-1,160.18	3 of 9 - Option sale to close-call ²⁵
	55.000	439.88	06/11/20	1,155.00	...	-715.12	4 of 9 - Option sale to close-call ²⁵
	34.000	271.93	06/11/20	714.00	...	-442.07	5 of 9 - Option sale to close-call ²⁵
	6.000	47.99	06/11/20	126.00	...	-78.01	6 of 9 - Option sale to close-call ²⁵
	5.000	39.99	06/11/20	105.00	...	-65.01	7 of 9 - Option sale to close-call ²⁵
	1.000	8.00	06/11/20	26.00	...	-18.00	8 of 9 - Option sale to close-call ²⁵
	1.000	8.00	06/11/20	23.00	...	-15.00	9 of 9 - Option sale to close-call ²⁵
06/11/20	301.000	2,407.34	Various	7,812.23	...	-5,404.89	Total of 9 transactions
06/11/20	99.000	1,187.77	06/11/20	2,574.00	1,386.23 W	0.00	Option sale to close-call ²⁵
	Security total:	3,595.11		10,386.23	1,386.23 W	-5,404.89	

SPY 06/12/2020 CALL \$325.00 / CUSIP: / Symbol:

21 transactions for 06/10/20. Total proceeds and cost reported to the IRS.

	7.000	566.97	06/10/20	637.12	...	-70.15	1 of 21 - Option sale to close-call ²⁵
	7.000	566.97	06/10/20	616.06	...	-49.09	2 of 21 - Option sale to close-call ²⁵
	5.000	404.98	06/10/20	440.04	...	-35.06	3 of 21 - Option sale to close-call ²⁵
	3.000	242.99	06/10/20	276.05	...	-33.06	4 of 21 - Option sale to close-call ²⁵
	10.000	809.96	06/10/20	830.04	...	-20.08	5 of 21 - Option sale to close-call ²⁵
	9.000	728.97	06/10/20	747.04	...	-18.07	6 of 21 - Option sale to close-call ²⁵
	3.000	242.99	06/10/20	261.03	...	-18.04	7 of 21 - Option sale to close-call ²⁵
	2.000	161.99	06/10/20	176.04	...	-14.05	8 of 21 - Option sale to close-call ²⁵
	1.000	81.00	06/10/20	93.00	...	-12.00	9 of 21 - Option sale to close-call ²⁵
	5.000	404.98	06/10/20	415.02	...	-10.04	10 of 21 - Option sale to close-call ²⁵
	4.000	323.98	06/10/20	332.02	...	-8.04	11 of 21 - Option sale to close-call ²⁵

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SPY 06/12/2020 CALL \$325.00 / CUSIP: / Symbol: (cont'd)							
	1.000	81.00	06/10/20	88.00	...	-7.00	12 of 21 - Option sale to close-call ₂₅
	2.000	161.99	06/10/20	164.02	...	-2.03	13 of 21 - Option sale to close-call ₂₅
	1.000	81.00	06/10/20	83.02	...	-2.02	14 of 21 - Option sale to close-call ₂₅
	1.000	78.98	06/10/20	78.00	...	0.98	15 of 21 - Option sale to close-call ₂₅
	1.000	78.98	06/10/20	78.00	...	0.98	16 of 21 - Option sale to close-call ₂₅
	1.000	79.00	06/10/20	78.00	...	1.00	17 of 21 - Option sale to close-call ₂₅
	23.000	1,816.90	06/10/20	1,794.00	...	22.90	18 of 21 - Option sale to close-call ₂₅
	24.000	1,895.90	06/10/20	1,872.00	...	23.90	19 of 21 - Option sale to close-call ₂₅
	23.000	1,862.91	06/10/20	1,817.00	...	45.91	20 of 21 - Option sale to close-call ₂₅
	50.000	4,049.81	06/10/20	3,900.00	...	149.81	21 of 21 - Option sale to close-call ₂₅
06/10/20	183.000	14,722.25	Various	14,775.50	...	-53.25	Total of 21 transactions
	20 transactions for 06/10/20. Total proceeds and cost reported to the IRS.						
	10.000	789.96	06/10/20	840.00	50.04 W	0.00	1 of 20 - Option sale to close-call ₂₅
	1.000	79.00	06/10/20	84.00	5.00 W	0.00	2 of 20 - Option sale to close-call ₂₅
	5.000	394.98	06/10/20	420.00	25.02 W	0.00	3 of 20 - Option sale to close-call ₂₅
	1.000	79.00	06/10/20	89.00	10.00 W	0.00	4 of 20 - Option sale to close-call ₂₅
	10.000	789.96	06/10/20	890.04	100.08 W	0.00	5 of 20 - Option sale to close-call ₂₅
	13.000	1,026.95	06/10/20	1,092.00	65.05 W	0.00	6 of 20 - Option sale to close-call ₂₅

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SPY 06/12/2020 CALL \$325.00 / CUSIP: / Symbol: (cont'd)							
	5.000	394.98	06/10/20	445.02	50.04 W	0.00	7 of 20 - Option sale to close-call ₂₅
	2.000	157.99	06/10/20	168.00	10.01 W	0.00	8 of 20 - Option sale to close-call ₂₅
	3.000	236.98	06/10/20	282.02	45.04 W	0.00	9 of 20 - Option sale to close-call ₂₅
	1.000	80.00	06/10/20	94.00	14.00 W	0.00	10 of 20 - Option sale to close-call ₂₅
	7.000	559.97	06/10/20	658.06	98.09 W	0.00	11 of 20 - Option sale to close-call ₂₅
	12.000	959.95	06/10/20	1,068.05	108.10 W	0.00	12 of 20 - Option sale to close-call ₂₅
	1.000	80.00	06/10/20	89.00	9.00 W	0.00	13 of 20 - Option sale to close-call ₂₅
	28.000	2,239.88	06/10/20	2,352.00	112.12 W	0.00	14 of 20 - Option sale to close-call ₂₅
	1.000	79.98	06/10/20	84.00	4.02 W	0.00	15 of 20 - Option sale to close-call ₂₅
	2.000	159.98	06/10/20	178.02	18.04 W	0.00	16 of 20 - Option sale to close-call ₂₅
	2.000	161.99	06/10/20	168.01	6.02 W	0.00	17 of 20 - Option sale to close-call ₂₅
	3.000	242.99	06/10/20	282.04	39.05 W	0.00	18 of 20 - Option sale to close-call ₂₅
	3.000	242.99	06/10/20	267.02	24.03 W	0.00	19 of 20 - Option sale to close-call ₂₅
	7.000	566.97	06/10/20	651.09	84.12 W	0.00	20 of 20 - Option sale to close-call ₂₅
06/10/20	117.000	9,324.50	Various	10,201.37	876.87 W	0.00	Total of 20 transactions
	Security total:	24,046.75		24,976.87	876.87 W	-53.25	

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Robinhood Securities LLC

Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 06/12/2020 PUT \$317.00 / CUSIP: / Symbol:							
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	2.000	229.98	06/10/20	214.00	...	15.98	1 of 2 - Option sale to close-put ²⁵
	8.000	919.95	06/10/20	856.00	...	63.95	2 of 2 - Option sale to close-put ²⁵
06/10/20	10.000	1,149.93	Various	1,070.00	...	79.93	Total of 2 transactions
SPY 06/15/2020 CALL \$305.00 / CUSIP: / Symbol:							
2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	1.000	269.99	06/12/20	270.00	...	-0.01	1 of 2 - Option sale to close-call ²⁵
	1.000	269.98	06/12/20	258.00	...	11.98	2 of 2 - Option sale to close-call ²⁵
06/12/20	2.000	539.97	Various	528.00	...	11.97	Total of 2 transactions
SPY 06/17/2020 CALL \$300.00 / CUSIP: / Symbol:							
3 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	2.000	761.98	06/15/20	730.00	...	31.98	1 of 3 - Option sale to close-call ²⁵
	5.000	2,224.94	06/15/20	2,100.00	...	124.94	2 of 3 - Option sale to close-call ²⁵
	5.000	1,904.95	06/15/20	1,570.00	...	334.95	3 of 3 - Option sale to close-call ²⁵
06/15/20	12.000	4,891.87	Various	4,400.00	...	491.87	Total of 3 transactions
SPY 06/17/2020 CALL \$310.00 / CUSIP: / Symbol:							
4 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	8.000	1,783.94	06/15/20	1,760.00	...	23.94	1 of 4 - Option sale to close-call ²⁵
	12.000	2,675.92	06/15/20	2,640.00	...	35.92	2 of 4 - Option sale to close-call ²⁵
	10.000	1,549.94	06/15/20	1,430.00	...	119.94	3 of 4 - Option sale to close-call ²⁵
	40.000	6,159.78	06/15/20	5,720.00	...	439.78	4 of 4 - Option sale to close-call ²⁵
06/15/20	70.000	12,169.58	Various	11,550.00	...	619.58	Total of 4 transactions
SPY 06/17/2020 CALL \$315.00 / CUSIP: / Symbol:							
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	20.000	2,919.89	06/16/20	3,400.00	...	-480.11	1 of 2 - Option sale to close-call ²⁵
	5.000	869.97	06/16/20	830.00	...	39.97	2 of 2 - Option sale to close-call ²⁵
06/16/20	25.000	3,789.86	Various	4,230.00	...	-440.14	Total of 2 transactions

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Robinhood Securities LLC

Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 06/17/2020 PUT \$299.00 / CUSIP: / Symbol:							
<i>18 transactions for 06/15/20. Total proceeds and cost reported to the IRS.</i>							
	7.000	699.96	06/15/20	1,169.12	...	-469.16	1 of 18 - Option sale to close-put ²⁵
	6.000	599.97	06/15/20	1,002.09	...	-402.12	2 of 18 - Option sale to close-put ²⁵
	5.000	499.98	06/15/20	795.05	...	-295.07	3 of 18 - Option sale to close-put ²⁵
	4.000	399.98	06/15/20	668.06	...	-268.08	4 of 18 - Option sale to close-put ²⁵
	1.000	100.00	06/15/20	349.02	...	-249.02	5 of 18 - Option sale to close-put ²⁵
	1.000	99.99	06/15/20	325.04	...	-225.05	6 of 18 - Option sale to close-put ²⁵
	2.000	199.99	06/15/20	420.03	...	-220.04	7 of 18 - Option sale to close-put ²⁵
	1.000	100.00	06/15/20	248.01	...	-148.01	8 of 18 - Option sale to close-put ²⁵
	1.000	100.00	06/15/20	217.02	...	-117.02	9 of 18 - Option sale to close-put ²⁵
	1.000	100.00	06/15/20	217.01	...	-117.01	10 of 18 - Option sale to close-put ²⁵
	1.000	100.00	06/15/20	216.01	...	-116.01	11 of 18 - Option sale to close-put ²⁵
	1.000	100.00	06/15/20	196.03	...	-96.03	12 of 18 - Option sale to close-put ²⁵
	1.000	100.00	06/15/20	196.01	...	-96.01	13 of 18 - Option sale to close-put ²⁵
	18.000	1,799.91	06/15/20	1,800.00	...	-0.09	14 of 18 - Option sale to close-put ²⁵
	1.000	414.98	06/15/20	413.00	...	1.98	15 of 18 - Option sale to close-put ²⁵
	2.000	729.97	06/15/20	718.00	...	11.97	16 of 18 - Option sale to close-put ²⁵
	1.000	364.99	06/15/20	344.00	...	20.99	17 of 18 - Option sale to close-put ²⁵
	4.000	1,183.96	06/15/20	1,148.00	...	35.96	18 of 18 - Option sale to close-put ²⁵
06/15/20	58.000	7,693.68	Various	10,441.50	...	-2,747.82	Total of 18 transactions
<i>36 transactions for 06/15/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	373.98	06/15/20	538.00	164.02 W	0.00	1 of 36 - Option sale to close-put ²⁵
	1.000	186.99	06/15/20	345.01	158.02 W	0.00	2 of 36 - Option sale to close-put ²⁵
	1.000	186.99	06/15/20	345.01	158.02 W	0.00	3 of 36 - Option sale to close-put ²⁵
	2.000	373.99	06/15/20	488.00	114.01 W	0.00	4 of 36 - Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 06/17/2020 PUT \$299.00 / CUSIP: / Symbol: (cont'd)							
	1.000	186.99	06/15/20	245.00	58.01 W	0.00	5 of 36 - Option sale to close-put ²⁵
	1.000	186.99	06/15/20	245.00	58.01 W	0.00	6 of 36 - Option sale to close-put ²⁵
	2.000	373.99	06/15/20	476.00	102.01 W	0.00	7 of 36 - Option sale to close-put ²⁵
	1.000	186.99	06/15/20	224.00	37.01 W	0.00	8 of 36 - Option sale to close-put ²⁵
	1.000	186.99	06/15/20	224.00	37.01 W	0.00	9 of 36 - Option sale to close-put ²⁵
	17.000	3,178.91	06/15/20	3,315.00	136.09 W	0.00	10 of 36 - Option sale to close-put ²⁵
	1.000	186.98	06/15/20	353.02	166.04 W	0.00	11 of 36 - Option sale to close-put ²⁵
	1.000	123.00	06/15/20	353.02	230.02 W	0.00	12 of 36 - Option sale to close-put ²⁵
	1.000	123.00	06/15/20	252.01	129.01 W	0.00	13 of 36 - Option sale to close-put ²⁵
	1.000	122.99	06/15/20	220.00	97.01 W	0.00	14 of 36 - Option sale to close-put ²⁵
	1.000	123.00	06/15/20	221.01	98.01 W	0.00	15 of 36 - Option sale to close-put ²⁵
	1.000	123.00	06/15/20	221.01	98.01 W	0.00	16 of 36 - Option sale to close-put ²⁵
	1.000	122.99	06/15/20	200.01	77.02 W	0.00	17 of 36 - Option sale to close-put ²⁵
	1.000	123.00	06/15/20	200.01	77.01 W	0.00	18 of 36 - Option sale to close-put ²⁵
	2.000	245.99	06/15/20	428.01	182.02 W	0.00	19 of 36 - Option sale to close-put ²⁵
	13.000	1,598.93	06/15/20	2,223.07	624.14 W	0.00	20 of 36 - Option sale to close-put ²⁵
	1.000	123.00	06/15/20	329.04	206.04 W	0.00	21 of 36 - Option sale to close-put ²⁵
	4.000	491.98	06/15/20	684.02	192.04 W	0.00	22 of 36 - Option sale to close-put ²⁵
	5.000	614.97	06/15/20	815.00	200.03 W	0.00	23 of 36 - Option sale to close-put ²⁵
	1.000	99.00	06/15/20	348.02	249.02 W	0.00	24 of 36 - Option sale to close-put ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 06/17/2020 PUT \$299.00 / CUSIP: / Symbol: (cont'd)							
	1.000	99.00	06/15/20	247.01	148.01 W	0.00	25 of 36 - Option sale to close-put ²⁵
	1.000	99.00	06/15/20	215.01	116.01 W	0.00	26 of 36 - Option sale to close-put ²⁵
	1.000	98.99	06/15/20	216.01	117.02 W	0.00	27 of 36 - Option sale to close-put ²⁵
	1.000	99.00	06/15/20	216.01	117.01 W	0.00	28 of 36 - Option sale to close-put ²⁵
	1.000	98.99	06/15/20	195.02	96.03 W	0.00	29 of 36 - Option sale to close-put ²⁵
	1.000	99.00	06/15/20	195.01	96.01 W	0.00	30 of 36 - Option sale to close-put ²⁵
	2.000	197.99	06/15/20	418.02	220.03 W	0.00	31 of 36 - Option sale to close-put ²⁵
	7.000	692.96	06/15/20	1,162.08	469.12 W	0.00	32 of 36 - Option sale to close-put ²⁵
	1.000	99.00	06/15/20	324.04	225.04 W	0.00	33 of 36 - Option sale to close-put ²⁵
	4.000	395.98	06/15/20	664.04	268.06 W	0.00	34 of 36 - Option sale to close-put ²⁵
	5.000	494.98	06/15/20	790.03	295.05 W	0.00	35 of 36 - Option sale to close-put ²⁵
	6.000	593.97	06/15/20	996.06	402.09 W	0.00	36 of 36 - Option sale to close-put ²⁵
06/15/20	94.000	12,713.50	Various	18,930.61	6,217.11 W	0.00	Total of 36 transactions
	Security total:	20,407.18		29,372.11	6,217.11 W	-2,747.82	
SPY 06/17/2020 PUT \$305.00 / CUSIP: / Symbol:							
06/15/20	20.000	4,579.85	06/15/20	4,940.00	...	-360.15	Option sale to close-put ²⁵
SPY 06/19/2020 CALL \$305.00 / CUSIP: / Symbol:							
06/01/20	2.000	1,215.96	05/29/20	1,010.00	...	205.96	Option sale to close-call ²⁵
06/12/20	1.000	504.97	06/12/20	429.00	...	75.97	Option sale to close-call ²⁵
	Security total:	1,720.93		1,439.00	...	281.93	

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(continued)

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SPY 06/19/2020 CALL \$310.00 / CUSIP: / Symbol:							
9 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	379.98	06/11/20	372.00	...	7.98	1 of 9 - Option sale to close-call ²⁵
	2.000	759.98	06/11/20	746.04	...	13.94	2 of 9 - Option sale to close-call ²⁵
	5.000	1,899.95	06/11/20	1,885.05	...	14.90	3 of 9 - Option sale to close-call ²⁵
	1.000	379.99	06/11/20	359.00	...	20.99	4 of 9 - Option sale to close-call ²⁵
	4.000	1,519.96	06/11/20	1,436.00	...	83.96	5 of 9 - Option sale to close-call ²⁵
	17.000	6,459.81	06/11/20	6,324.00	...	135.81	6 of 9 - Option sale to close-call ²⁵
	20.000	7,579.79	06/11/20	7,440.00	...	139.79	7 of 9 - Option sale to close-call ²⁵
	18.000	6,839.81	06/11/20	6,696.00	...	143.81	8 of 9 - Option sale to close-call ²⁵
	10.000	3,799.89	06/11/20	3,550.00	...	249.89	9 of 9 - Option sale to close-call ²⁵
06/11/20	78.000	29,619.16	Various	28,808.09	...	811.07	Total of 9 transactions
3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	2.000	759.98	06/11/20	778.00	18.02 W	0.00	1 of 3 - Option sale to close-call ²⁵
	5.000	1,899.95	06/11/20	1,925.00	25.05 W	0.00	2 of 3 - Option sale to close-call ²⁵
	2.000	759.98	06/11/20	762.02	2.04 W	0.00	3 of 3 - Option sale to close-call ²⁵
06/11/20	9.000	3,419.91	Various	3,465.02	45.11 W	0.00	Total of 3 transactions
5 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	1.000	446.99	06/11/20	380.00	...	66.99	1 of 5 - Option sale to close-call ²⁵
	5.000	2,234.94	06/11/20	1,790.00	...	444.94	2 of 5 - Option sale to close-call ²⁵
	5.000	2,234.94	06/11/20	1,775.00	...	459.94	3 of 5 - Option sale to close-call ²⁵
	14.000	6,257.83	06/11/20	4,956.00	...	1,301.83	4 of 5 - Option sale to close-call ²⁵
	34.000	15,197.59	06/11/20	12,920.00	...	2,277.59	5 of 5 - Option sale to close-call ²⁵
06/12/20	59.000	26,372.29	Various	21,821.00	...	4,551.29	Total of 5 transactions
	Security total:	59,411.36		54,094.11	45.11 W	5,362.36	
SPY 06/19/2020 CALL \$312.00 / CUSIP: / Symbol:							
3 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	2.000	655.97	06/17/20	636.00	...	19.97	1 of 3 - Option sale to close-call ²⁵
	2.000	671.98	06/17/20	616.00	...	55.98	2 of 3 - Option sale to close-call ²⁵
	3.000	1,007.97	06/17/20	933.00	...	74.97	3 of 3 - Option sale to close-call ²⁵
06/17/20	7.000	2,335.92	Various	2,185.00	...	150.92	Total of 3 transactions

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Account 821978145

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 06/19/2020 CALL \$312.00 / CUSIP: / Symbol: (cont'd)							
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	1.000	271.99	06/17/20	312.00	40.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
06/17/20	1.000	271.98	06/17/20	290.00	18.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
	2.000	543.97	Various	602.00	58.03 W	0.00	Total of 2 transactions
6 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	1.000	139.99	06/18/20	165.01	...	-25.02	1 of 6 - Option sale to close-call ²⁵
	1.000	139.99	06/18/20	143.02	...	-3.03	2 of 6 - Option sale to close-call ²⁵
	5.000	699.97	06/18/20	625.00	...	74.97	3 of 6 - Option sale to close-call ²⁵
	10.000	1,399.94	06/18/20	1,250.00	...	149.94	4 of 6 - Option sale to close-call ²⁵
	26.000	3,639.87	06/18/20	3,250.00	...	389.87	5 of 6 - Option sale to close-call ²⁵
06/18/20	37.000	5,179.81	06/18/20	4,625.00	...	554.81	6 of 6 - Option sale to close-call ²⁵
	80.000	11,199.57	Various	10,058.03	...	1,141.54	Total of 6 transactions
	Security total:	14,079.46		12,845.03	58.03 W	1,292.46	
SPY 06/19/2020 CALL \$315.00 / CUSIP: / Symbol:							
3 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	10.000	2,429.92	06/16/20	2,940.00	255.04 W	-255.04	1 of 3 - Option sale to close-call ²⁵
	4.000	971.97	06/16/20	1,160.00	188.03 W	0.00	2 of 3 - Option sale to close-call ²⁵
06/16/20	1.000	242.99	06/16/20	290.00	47.01 W	0.00	3 of 3 - Option sale to close-call ²⁵
	15.000	3,644.88	Various	4,390.00	490.08 W	-255.04	Total of 3 transactions
4 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	4.000	167.98	06/18/20	364.03	...	-196.05	1 of 4 - Option sale to close-call ²⁵
	4.000	167.99	06/18/20	348.03	...	-180.04	2 of 4 - Option sale to close-call ²⁵
	1.000	42.00	06/18/20	91.01	...	-49.01	3 of 4 - Option sale to close-call ²⁵
	1.000	42.00	06/18/20	87.01	...	-45.01	4 of 4 - Option sale to close-call ²⁵
06/18/20	10.000	419.97	Various	890.08	...	-470.11	Total of 4 transactions
	Security total:	4,064.85		5,280.08	490.08 W	-725.15	
SPY 06/19/2020 CALL \$330.00 / CUSIP: / Symbol:							
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	1.000	145.98	06/09/20	133.00	...	12.98	1 of 2 - Option sale to close-call ²⁵
06/09/20	1.000	146.98	06/09/20	133.00	...	13.98	2 of 2 - Option sale to close-call ²⁵
	2.000	292.96	Various	266.00	...	26.96	Total of 2 transactions

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Robinhood Securities LLC

Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 06/19/2020 PUT \$303.00 / CUSIP: / Symbol:							
2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	1.000	814.98	05/28/20	680.00	...	134.98	1 of 2 - Option sale to close-put ²⁵
	1.000	814.97	05/28/20	665.00	...	149.97	2 of 2 - Option sale to close-put ²⁵
05/29/20	2.000	1,629.95	Various	1,345.00	...	284.95	Total of 2 transactions
SPY 07/17/2020 CALL \$315.00 / CUSIP: / Symbol:							
4 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	2.000	1,689.96	06/16/20	1,594.00	...	95.96	1 of 4 - Option sale to close-call ²⁵
	5.000	4,224.89	06/16/20	3,900.00	...	324.89	2 of 4 - Option sale to close-call ²⁵
	7.000	5,620.86	06/17/20	5,607.00	...	13.86	3 of 4 - Option sale to close-call ²⁵
	7.000	5,774.86	06/17/20	5,670.00	...	104.86	4 of 4 - Option sale to close-call ²⁵
06/17/20	21.000	17,310.57	Various	16,771.00	...	539.57	Total of 4 transactions
SPY 07/17/2020 PUT \$300.00 / CUSIP: / Symbol:							
4 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	1.000	969.97	06/15/20	964.00	...	5.97	1 of 4 - Option sale to close-put ²⁵
	2.000	1,939.95	06/15/20	1,930.00	...	9.95	2 of 4 - Option sale to close-put ²⁵
	1.000	994.96	06/15/20	958.00	...	36.96	3 of 4 - Option sale to close-put ²⁵
	1.000	994.96	06/15/20	958.00	...	36.96	4 of 4 - Option sale to close-put ²⁵
06/15/20	5.000	4,899.84	Various	4,810.00	...	89.84	Total of 4 transactions
SPY 09/23/2020 CALL \$325.00 / CUSIP: / Symbol:							
09/21/20	4.000	1,047.96	09/21/20	884.00	...	163.96	Option sale to close-call ²⁵
SPY 09/25/2020 PUT \$320.00 / CUSIP: / Symbol:							
09/25/20	3.000	206.98	09/24/20	363.00	...	-156.02	Option sale to close-put ²⁵
SPY 10/02/2020 PUT \$330.00 / CUSIP: / Symbol:							
09/28/20	1.000	257.98	09/28/20	221.00	...	36.98	Option sale to close-put ²⁵
SPY 10/05/2020 PUT \$330.00 / CUSIP: / Symbol:							
09/29/20	1.000	256.98	09/28/20	261.00	...	-4.02	Option sale to close-put ²⁵
SPY 10/07/2020 PUT \$335.00 / CUSIP: / Symbol:							
10/05/20	10.000	1,509.94	10/05/20	1,430.00	...	79.94	Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SPY 10/16/2020 PUT \$330.00 / CUSIP: / Symbol:							
2 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	5.000	224.98	10/07/20	780.00	...	-555.02	1 of 2 - Option sale to close-put ²⁵
	4.000	175.98	10/07/20	624.00	...	-448.02	2 of 2 - Option sale to close-put ²⁵
10/09/20	9.000	400.96	Various	1,404.00	...	-1,003.04	Total of 2 transactions
SPY 10/21/2020 CALL \$348.00 / CUSIP: / Symbol:							
10/21/20	4.000	87.98	10/20/20	192.00	...	-104.02	Option sale to close-call ²⁵
SPY 10/21/2020 CALL \$350.00 / CUSIP: / Symbol:							
12 transactions for 10/20/20. Total proceeds and cost reported to the IRS.							
	1.000	28.98	10/20/20	27.00	...	1.98	1 of 12 - Option sale to close-call ²⁵
	1.000	28.00	10/20/20	26.00	...	2.00	2 of 12 - Option sale to close-call ²⁵
	5.000	144.99	10/20/20	135.00	...	9.99	3 of 12 - Option sale to close-call ²⁵
	5.000	164.98	10/20/20	145.00	...	19.98	4 of 12 - Option sale to close-call ²⁵
	36.000	1,043.90	10/20/20	972.10	...	71.80	5 of 12 - Option sale to close-call ²⁵
	4.000	207.99	10/20/20	136.00	...	71.99	6 of 12 - Option sale to close-call ²⁵
	6.000	311.98	10/20/20	204.00	...	107.98	7 of 12 - Option sale to close-call ²⁵
	68.000	1,971.81	10/20/20	1,836.18	...	135.63	8 of 12 - Option sale to close-call ²⁵
	19.000	987.94	10/20/20	646.00	...	341.94	9 of 12 - Option sale to close-call ²⁵
	22.000	1,143.93	10/20/20	748.00	...	395.93	10 of 12 - Option sale to close-call ²⁵
	33.000	1,715.89	10/20/20	1,122.00	...	593.89	11 of 12 - Option sale to close-call ²⁵
	82.000	5,001.72	10/20/20	4,346.00	...	655.72	12 of 12 - Option sale to close-call ²⁵
10/20/20	282.000	12,752.11	Various	10,343.28	...	2,408.83	Total of 12 transactions

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Account 821978145

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2020 1099-B* OMB No. 1545-0715

(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 10/21/2020 CALL \$350.00 / CUSIP: / Symbol: (cont'd)							
10/20/20	105.000	2,939.72	10/20/20	2,940.00	0.28 W	0.00	Option sale to close-call ²⁵
	3 transactions for 10/21/20. Total proceeds and cost reported to the IRS.						
	46.000	229.90	10/20/20	2,208.00	...	-1,978.10	1 of 3 - Option sale to close-call ²⁵
	43.000	214.90	10/20/20	2,064.00	...	-1,849.10	2 of 3 - Option sale to close-call ²⁵
	11.000	54.97	10/20/20	528.00	...	-473.03	3 of 3 - Option sale to close-call ²⁵
10/21/20	100.000	499.77	Various	4,800.00	...	-4,300.23	Total of 3 transactions
	Security total:	16,191.60		18,083.28	0.28 W	-1,891.40	
SPY 10/21/2020 PUT \$340.00 / CUSIP: / Symbol:							
	2 transactions for 10/20/20. Total proceeds and cost reported to the IRS.						
	36.000	2,627.87	10/20/20	2,880.00	...	-252.13	1 of 2 - Option sale to close-put ²⁵
	4.000	287.98	10/20/20	320.00	...	-32.02	2 of 2 - Option sale to close-put ²⁵
10/20/20	40.000	2,915.85	Various	3,200.00	...	-284.15	Total of 2 transactions
SPY 12/09/2020 CALL \$370.00 / CUSIP: / Symbol:							
	14 transactions for 12/08/20. Total proceeds and cost reported to the IRS.						
	1.000	120.98	12/08/20	118.00	...	2.98	1 of 14 - Option sale to close-call ²⁵
	1.000	120.98	12/08/20	118.00	...	2.98	2 of 14 - Option sale to close-call ²⁵
	1.000	121.00	12/08/20	118.00	...	3.00	3 of 14 - Option sale to close-call ²⁵
	3.000	362.98	12/08/20	354.00	...	8.98	4 of 14 - Option sale to close-call ²⁵
	4.000	483.98	12/08/20	472.00	...	11.98	5 of 14 - Option sale to close-call ²⁵
	3.000	362.98	12/08/20	348.00	...	14.98	6 of 14 - Option sale to close-call ²⁵
	6.000	725.97	12/08/20	708.00	...	17.97	7 of 14 - Option sale to close-call ²⁵
	7.000	846.97	12/08/20	826.00	...	20.97	8 of 14 - Option sale to close-call ²⁵
	9.000	1,088.95	12/08/20	1,062.00	...	26.95	9 of 14 - Option sale to close-call ²⁵

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Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 12/09/2020 CALL \$370.00 / CUSIP: / Symbol: (cont'd)							
	10.000	1,209.95	12/08/20	1,180.00	...	29.95	10 of 14 - Option sale to close-call ²⁵
	12.000	1,451.94	12/08/20	1,416.00	...	35.94	11 of 14 - Option sale to close-call ²⁵
	17.000	2,056.92	12/08/20	2,006.00	...	50.92	12 of 14 - Option sale to close-call ²⁵
	16.000	1,935.92	12/08/20	1,856.00	...	79.92	13 of 14 - Option sale to close-call ²⁵
	29.000	3,508.86	12/08/20	3,422.00	...	86.86	14 of 14 - Option sale to close-call ²⁵
12/08/20	119.000	14,398.38	Various	14,004.00	...	394.38	Total of 14 transactions
SPY 12/09/2020 PUT \$368.00 / CUSIP: / Symbol:							
5 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	40.000	2,359.86	12/08/20	3,800.00	...	-1,440.14	1 of 5 - Option sale to close-put ²⁵
	20.000	1,199.93	12/08/20	2,640.07	...	-1,440.14	2 of 5 - Option sale to close-put ²⁵
	16.000	943.94	12/08/20	2,112.05	...	-1,168.11	3 of 5 - Option sale to close-put ²⁵
	30.000	1,769.90	12/08/20	2,850.00	...	-1,080.10	4 of 5 - Option sale to close-put ²⁵
	30.000	1,769.90	12/08/20	2,850.00	...	-1,080.10	5 of 5 - Option sale to close-put ²⁵
12/08/20	136.000	8,043.53	Various	14,252.12	...	-6,208.59	Total of 5 transactions
12/08/20	100.000	5,899.67	12/08/20	10,100.00	1,512.12 W	-2,688.21	Option sale to close-put ²⁵
	Security total:	13,943.20		24,352.12	1,512.12 W	-8,896.80	
TDOC 05/01/2020 CALL \$200.00 / CUSIP: / Symbol:							
3 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	1.000	115.00	04/28/20	310.02	...	-195.02	1 of 3 - Option sale to close-call ²⁵
	1.000	114.99	04/28/20	210.00	...	-95.01	2 of 3 - Option sale to close-call ²⁵
	1.000	114.99	04/28/20	210.00	...	-95.01	3 of 3 - Option sale to close-call ²⁵
04/29/20	3.000	344.98	Various	730.02	...	-385.04	Total of 3 transactions
04/29/20	1.000	114.98	04/28/20	210.00	95.02 W	0.00	Option sale to close-call ²⁵
	Security total:	459.96		940.02	95.02 W	-385.04	
TLRY 04/24/2020 CALL \$7.00 / CUSIP: / Symbol:							
04/20/20	5.000	209.98	04/17/20	185.00	...	24.98	Option sale to close-call ²⁵

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Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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TLRY 07/17/2020 CALL \$7.00 / CUSIP: / Symbol:							
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	2.000	289.98	06/17/20	280.00	...	9.98	1 of 2 - Option sale to close-call ²⁵
	3.000	434.98	06/17/20	420.00	...	14.98	2 of 2 - Option sale to close-call ²⁵
06/17/20	5.000	724.96	Various	700.00	...	24.96	Total of 2 transactions
TSLA 04/17/2020 CALL \$800.00 / CUSIP: / Symbol:							
2 transactions for 04/16/20. Total proceeds and cost reported to the IRS.							
	1.000	484.97	04/16/20	420.00	...	64.97	1 of 2 - Option sale to close-call ²⁵
	1.000	484.97	04/16/20	420.00	...	64.97	2 of 2 - Option sale to close-call ²⁵
04/16/20	2.000	969.94	Various	840.00	...	129.94	Total of 2 transactions
TSLA 05/29/2020 CALL \$1,100.00 / CUSIP: / Symbol:							
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	1.000	14.98	05/22/20	24.00	...	-9.02	1 of 2 - Option sale to close-call ²⁵
	1.000	14.98	05/22/20	24.00	...	-9.02	2 of 2 - Option sale to close-call ²⁵
05/26/20	2.000	29.96	Various	48.00	...	-18.04	Total of 2 transactions
TSLA 06/05/2020 CALL \$1,000.00 / CUSIP: / Symbol:							
18 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	1.000	42.98	06/03/20	61.00	18.02 W	0.00	1 of 18 - Option sale to close-call ²⁵
	1.000	44.98	06/03/20	61.00	16.02 W	0.00	2 of 18 - Option sale to close-call ²⁵
	1.000	42.98	06/03/20	76.02	33.04 W	0.00	3 of 18 - Option sale to close-call ²⁵
	1.000	43.98	06/03/20	78.02	34.04 W	0.00	4 of 18 - Option sale to close-call ²⁵
	1.000	42.99	06/03/20	93.04	50.05 W	0.00	5 of 18 - Option sale to close-call ²⁵
	2.000	85.99	06/03/20	120.00	34.01 W	0.00	6 of 18 - Option sale to close-call ²⁵
	1.000	44.00	06/03/20	60.00	16.00 W	0.00	7 of 18 - Option sale to close-call ²⁵

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TSLA 06/05/2020 CALL \$1,000.00 / CUSIP: / Symbol: (cont'd)							
	1.000	44.00	06/03/20	94.04	50.04 W	0.00	8 of 18 - Option sale to close-call ₂₅
	1.000	44.00	06/03/20	110.05	66.05 W	0.00	9 of 18 - Option sale to close-call ₂₅
	1.000	44.00	06/03/20	77.01	33.01 W	0.00	10 of 18 - Option sale to close-call ₂₅
	1.000	43.99	06/03/20	77.00	33.01 W	0.00	11 of 18 - Option sale to close-call ₂₅
	1.000	44.00	06/03/20	60.00	16.00 W	0.00	12 of 18 - Option sale to close-call ₂₅
	1.000	43.99	06/03/20	60.00	16.01 W	0.00	13 of 18 - Option sale to close-call ₂₅
	1.000	42.98	06/03/20	76.00	33.02 W	0.00	14 of 18 - Option sale to close-call ₂₅
	1.000	42.98	06/03/20	110.04	67.06 W	0.00	15 of 18 - Option sale to close-call ₂₅
	1.000	42.98	06/03/20	126.05	83.07 W	0.00	16 of 18 - Option sale to close-call ₂₅
	1.000	42.98	06/03/20	93.01	50.03 W	0.00	17 of 18 - Option sale to close-call ₂₅
	1.000	42.98	06/03/20	93.01	50.03 W	0.00	18 of 18 - Option sale to close-call ₂₅
06/03/20	19.000	826.78	Various	1,525.29	698.51 W	0.00	Total of 18 transactions
	12 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	1.000	51.00	06/03/20	137.07	...	-86.07	1 of 12 - Option sale to close-call ₂₅
	1.000	51.00	06/03/20	121.06	...	-70.06	2 of 12 - Option sale to close-call ₂₅
	1.000	51.00	06/03/20	104.03	...	-53.03	3 of 12 - Option sale to close-call ₂₅
	1.000	51.00	06/03/20	104.03	...	-53.03	4 of 12 - Option sale to close-call ₂₅
	16.000	815.94	06/03/20	864.00	...	-48.06	5 of 12 - Option sale to close-call ₂₅

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TSLA 06/05/2020 CALL \$1,000.00 / CUSIP: / Symbol: (cont'd)							
	12.000	611.96	06/03/20	648.00	...	-36.04	6 of 12 - Option sale to close-call ²⁵
	1.000	51.00	06/03/20	87.02	...	-36.02	7 of 12 - Option sale to close-call ²⁵
	1.000	51.00	06/03/20	76.00	...	-25.00	8 of 12 - Option sale to close-call ²⁵
	1.000	51.00	06/03/20	70.01	...	-19.01	9 of 12 - Option sale to close-call ²⁵
	5.000	254.98	06/03/20	270.00	...	-15.02	10 of 12 - Option sale to close-call ²⁵
	1.000	51.00	06/03/20	54.00	...	-3.00	11 of 12 - Option sale to close-call ²⁵
	10.000	509.96	06/03/20	500.00	...	9.96	12 of 12 - Option sale to close-call ²⁵
06/04/20	51.000	2,600.84	Various	3,035.22	...	-434.38	Total of 12 transactions
	Security total:	3,427.62		4,560.51	698.51 W	-434.38	
TSLA 06/19/2020 CALL \$1,100.00 / CUSIP: / Symbol:							
05/26/20	1.000	434.98	05/22/20	460.00	...	-25.02	Option sale to close-call ²⁵
TSLA 10/16/2020 CALL \$600.00 / CUSIP: / Symbol:							
09/23/20	1.000	419.98	09/22/20	1,100.00	...	-680.02	Option sale to close-call ²⁵
TSLA 10/23/2020 CALL \$500.00 / CUSIP: / Symbol:							
10 transactions for 10/20/20. Total proceeds and cost reported to the IRS.							
	9.000	1,610.94	10/20/20	1,629.00	...	-18.06	1 of 10 - Option sale to close-call ²⁵
	2.000	357.99	10/20/20	364.03	...	-6.04	2 of 10 - Option sale to close-call ²⁵
	3.000	536.98	10/20/20	543.02	...	-6.04	3 of 10 - Option sale to close-call ²⁵
	1.000	263.98	10/20/20	263.01	...	0.97	4 of 10 - Option sale to close-call ²⁵
	1.000	182.99	10/20/20	182.02	...	0.97	5 of 10 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TSLA 10/23/2020 CALL \$500.00 / CUSIP: / Symbol: (cont'd)							
	1.000	182.99	10/20/20	182.01	...	0.98	6 of 10 - Option sale to close-call ²⁵
	1.000	243.98	10/20/20	241.01	...	2.97	7 of 10 - Option sale to close-call ²⁵
	2.000	493.97	10/20/20	482.02	...	11.95	8 of 10 - Option sale to close-call ²⁵
	2.000	493.97	10/20/20	482.01	...	11.96	9 of 10 - Option sale to close-call ²⁵
	1.000	279.98	10/20/20	268.00	...	11.98	10 of 10 - Option sale to close-call ²⁵
10/20/20	23.000	4,647.77	Various	4,636.13	...	11.64	Total of 10 transactions
	3 transactions for 10/20/20. Total proceeds and cost reported to the IRS.						
	10.000	2,669.92	10/20/20	2,680.00	10.08 W	0.00	1 of 3 - Option sale to close-call ²⁵
	4.000	959.97	10/20/20	964.03	4.06 W	0.00	2 of 3 - Option sale to close-call ²⁵
	3.000	719.98	10/20/20	720.00	0.02 W	0.00	3 of 3 - Option sale to close-call ²⁵
10/20/20	17.000	4,349.87	Various	4,364.03	14.16 W	0.00	Total of 3 transactions
	Security total:	8,997.64		9,000.16	14.16 W	11.64	
TSLA 12/11/2020 CALL \$740.00 / CUSIP: / Symbol:							
12/08/20	4.000	1,219.96	12/08/20	1,148.00	...	71.96	Option sale to close-call ²⁵
TWO 06/19/2020 CALL \$10.00 / CUSIP: / Symbol:							
	2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	10.000	249.97	06/09/20	250.00	...	-0.03	1 of 2 - Option sale to close-call ²⁵
	1.000	25.00	06/09/20	25.00	...	0.00	2 of 2 - Option sale to close-call ²⁵
06/09/20	11.000	274.97	Various	275.00	...	-0.03	Total of 2 transactions
TWO 06/19/2020 CALL \$6.00 / CUSIP: / Symbol:							
	2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	1.000	24.98	06/11/20	30.00	5.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	19.000	474.94	06/11/20	570.00	95.06 W	0.00	2 of 2 - Option sale to close-call ²⁵
06/11/20	20.000	499.92	Various	600.00	100.08 W	0.00	Total of 2 transactions
	5 transactions for 06/12/20. Total proceeds and cost reported to the IRS.						
	5.000	149.98	06/11/20	145.01	...	4.97	1 of 5 - Option sale to close-call ²⁵

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Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWO 06/19/2020 CALL \$6.00 / CUSIP: / Symbol: (cont'd)							
	1.000	35.00	06/11/20	29.02	...	5.98	2 of 5 - Option sale to close-call ²⁵
	4.000	139.99	06/11/20	116.01	...	23.98	3 of 5 - Option sale to close-call ²⁵
	5.000	174.98	06/11/20	145.02	...	29.96	4 of 5 - Option sale to close-call ²⁵
	5.000	174.98	06/11/20	145.02	...	29.96	5 of 5 - Option sale to close-call ²⁵
06/12/20	20.000	674.93	Various	580.08	...	94.85	Total of 5 transactions
	Security total:	1,174.85		1,180.08	100.08 W	94.85	
UBER 04/17/2020 CALL \$31.00 / CUSIP: / Symbol:							
04/15/20	1.000	4.98	04/14/20	27.00	...	-22.02	Option sale to close-call ²⁵
USO 04/24/2020 CALL \$4.50 / CUSIP: / Symbol:							
7 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	2.000	5.99	04/17/20	48.02	...	-42.03	1 of 7 - Option sale to close-call ²⁵
	1.000	2.99	04/17/20	38.03	...	-35.04	2 of 7 - Option sale to close-call ²⁵
	1.000	3.00	04/17/20	38.04	...	-35.04	3 of 7 - Option sale to close-call ²⁵
	1.000	2.99	04/17/20	31.02	...	-28.03	4 of 7 - Option sale to close-call ²⁵
	1.000	2.99	04/17/20	31.01	...	-28.02	5 of 7 - Option sale to close-call ²⁵
	1.000	2.99	04/17/20	24.01	...	-21.02	6 of 7 - Option sale to close-call ²⁵
	1.000	3.00	04/17/20	24.01	...	-21.01	7 of 7 - Option sale to close-call ²⁵
04/20/20	8.000	23.95	Various	234.14	...	-210.19	Total of 7 transactions
14 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	1.000	2.98	04/17/20	10.00	7.02 W	0.00	1 of 14 - Option sale to close-call ²⁵
	1.000	2.98	04/17/20	10.00	7.02 W	0.00	2 of 14 - Option sale to close-call ²⁵
	5.000	14.98	04/17/20	50.00	35.02 W	0.00	3 of 14 - Option sale to close-call ²⁵
	1.000	3.00	04/17/20	17.02	14.02 W	0.00	4 of 14 - Option sale to close-call ²⁵
	1.000	2.99	04/17/20	17.02	14.03 W	0.00	5 of 14 - Option sale to close-call ²⁵
	1.000	3.00	04/17/20	10.00	7.00 W	0.00	6 of 14 - Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
USO 04/24/2020 CALL \$4.50 / CUSIP: / Symbol: (cont'd)							
	1.000	3.00	04/17/20	24.02	21.02 W	0.00	7 of 14 - Option sale to close-call ²⁵
	1.000	3.00	04/17/20	24.03	21.03 W	0.00	8 of 14 - Option sale to close-call ²⁵
	4.000	11.98	04/17/20	68.02	56.04 W	0.00	9 of 14 - Option sale to close-call ²⁵
	1.000	2.99	04/17/20	17.00	14.01 W	0.00	10 of 14 - Option sale to close-call ²⁵
	1.000	2.99	04/17/20	31.02	28.03 W	0.00	11 of 14 - Option sale to close-call ²⁵
	1.000	2.99	04/17/20	17.00	14.01 W	0.00	12 of 14 - Option sale to close-call ²⁵
	1.000	2.99	04/17/20	31.03	28.04 W	0.00	13 of 14 - Option sale to close-call ²⁵
	2.000	5.99	04/17/20	48.02	42.03 W	0.00	14 of 14 - Option sale to close-call ²⁵
04/20/20	22.000	65.86	Various	374.18	308.32 W	0.00	Total of 14 transactions
	Security total:	89.81		608.32	308.32 W	-210.19	
USO 05/01/2020 CALL \$4.00 / CUSIP: / Symbol:							
04/21/20	10.000	289.97	04/20/20	370.00	...	-80.03	Option sale to close-call ²⁵
USO 06/19/2020 CALL \$5.00 / CUSIP: / Symbol:							
2 transactions for 04/15/20. Total proceeds and cost reported to the IRS.							
	1.000	38.99	04/09/20	85.00	...	-46.01	1 of 2 - Option sale to close-call ²⁵
	1.000	38.99	04/09/20	85.00	...	-46.01	2 of 2 - Option sale to close-call ²⁵
04/15/20	2.000	77.98	Various	170.00	...	-92.02	Total of 2 transactions
VSTM 01/15/2021 CALL \$1.00 / CUSIP: / Symbol:							
05/28/20	1.000	109.98	05/27/20	105.00	...	4.98	Option sale to close-call ²⁵
VSTM 05/15/2020 CALL \$3.00 / CUSIP: / Symbol:							
6 transactions for 05/04/20. Total proceeds and cost reported to the IRS.							
	11.000	164.97	04/28/20	143.00	...	21.97	1 of 6 - Option sale to close-call ²⁵
	11.000	164.98	04/28/20	143.00	...	21.98	2 of 6 - Option sale to close-call ²⁵

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(continued)

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VSTM 05/15/2020 CALL \$3.00 / CUSIP: / Symbol: (cont'd)							
	30.000	449.92	04/28/20	390.00	...	59.92	3 of 6 - Option sale to close-call ²⁵
	30.000	449.93	04/28/20	390.00	...	59.93	4 of 6 - Option sale to close-call ²⁵
	14.000	209.96	04/29/20	140.00	...	69.96	5 of 6 - Option sale to close-call ²⁵
	16.000	239.96	04/29/20	160.00	...	79.96	6 of 6 - Option sale to close-call ²⁵
05/04/20	112.000	1,679.72	Various	1,366.00	...	313.72	Total of 6 transactions
05/08/20	6.000	89.98	05/05/20	60.00	...	29.98	Option sale to close-call ²⁵
	15 transactions for 05/08/20. Total proceeds and cost reported to the IRS.						
	11.000	54.98	05/05/20	110.00	55.02 W	0.00	1 of 15 - Option sale to close-call ²⁵
	3.000	14.99	05/05/20	30.00	15.01 W	0.00	2 of 15 - Option sale to close-call ²⁵
	15.000	74.97	05/05/20	150.00	75.03 W	0.00	3 of 15 - Option sale to close-call ²⁵
	3.000	14.99	05/05/20	30.00	15.01 W	0.00	4 of 15 - Option sale to close-call ²⁵
	3.000	14.99	05/05/20	30.00	15.01 W	0.00	5 of 15 - Option sale to close-call ²⁵
	2.000	10.00	05/05/20	20.00	10.00 W	0.00	6 of 15 - Option sale to close-call ²⁵
	5.000	24.99	05/05/20	50.00	25.01 W	0.00	7 of 15 - Option sale to close-call ²⁵
	2.000	10.00	05/05/20	20.00	10.00 W	0.00	8 of 15 - Option sale to close-call ²⁵
	5.000	24.98	05/05/20	50.00	25.02 W	0.00	9 of 15 - Option sale to close-call ²⁵
	1.000	5.00	05/05/20	10.00	5.00 W	0.00	10 of 15 - Option sale to close-call ²⁵
	1.000	4.98	05/05/20	15.00	10.02 W	0.00	11 of 15 - Option sale to close-call ²⁵
	1.000	4.98	05/05/20	15.01	10.03 W	0.00	12 of 15 - Option sale to close-call ²⁵
	1.000	4.98	05/05/20	15.00	10.02 W	0.00	13 of 15 - Option sale to close-call ²⁵
	5.000	24.98	05/05/20	75.01	50.03 W	0.00	14 of 15 - Option sale to close-call ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VSTM 05/15/2020 CALL \$3.00 / CUSIP: / Symbol: (cont'd)							
	1.000	4.98	05/05/20	15.00	10.02 W	0.00	15 of 15 - Option sale to close-call ²⁵
05/08/20	59.000	294.79	Various	635.02	340.23 W	0.00	Total of 15 transactions
	21 transactions for 05/15/20. Total proceeds and cost reported to the IRS.						
	10.000	0.00	05/05/20	150.02	...	-150.02	1 of 21 - Option expiration- long call
	5.000	0.00	05/05/20	75.01	...	-75.01	2 of 21 - Option expiration- long call
	5.000	0.00	05/05/20	75.01	...	-75.01	3 of 21 - Option expiration- long call
	3.000	0.00	05/05/20	45.01	...	-45.01	4 of 21 - Option expiration- long call
	3.000	0.00	05/05/20	45.01	...	-45.01	5 of 21 - Option expiration- long call
	3.000	0.00	05/05/20	45.01	...	-45.01	6 of 21 - Option expiration- long call
	2.000	0.00	05/05/20	30.01	...	-30.01	7 of 21 - Option expiration- long call
	2.000	0.00	05/05/20	30.00	...	-30.00	8 of 21 - Option expiration- long call
	2.000	0.00	05/05/20	30.00	...	-30.00	9 of 21 - Option expiration- long call
	2.000	0.00	05/05/20	30.00	...	-30.00	10 of 21 - Option expiration- long call
	1.000	0.00	05/05/20	15.00	...	-15.00	11 of 21 - Option expiration- long call
	182.000	0.00	05/07/20	910.00	...	-910.00	12 of 21 - Option expiration- long call
	5.000	0.00	05/07/20	75.03	...	-75.03	13 of 21 - Option expiration- long call
	5.000	0.00	05/07/20	25.00	...	-25.00	14 of 21 - Option expiration- long call
	2.000	0.00	05/07/20	20.01	...	-20.01	15 of 21 - Option expiration- long call
	1.000	0.00	05/07/20	15.03	...	-15.03	16 of 21 - Option expiration- long call

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(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VSTM 05/15/2020 CALL \$3.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	05/07/20	15.02	...	-15.02	17 of 21 - Option expiration- long call
	1.000	0.00	05/07/20	15.02	...	-15.02	18 of 21 - Option expiration- long call
	1.000	0.00	05/07/20	15.02	...	-15.02	19 of 21 - Option expiration- long call
	1.000	0.00	05/07/20	10.00	...	-10.00	20 of 21 - Option expiration- long call
	1.000	0.00	05/07/20	5.00	...	-5.00	21 of 21 - Option expiration- long call
05/15/20	238.000	0.00	Various	1,675.21	...	-1,675.21	Total of 21 transactions
	Security total:	2,064.49		3,736.23	340.23 W	-1,331.51	
WORK 06/19/2020 CALL \$32.00 / CUSIP: / Symbol:							
4 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	5.000	624.97	06/09/20	625.00	...	-0.03	1 of 4 - Option sale to close-call ²⁵
	4.000	499.98	06/09/20	500.00	...	-0.02	2 of 4 - Option sale to close-call ²⁵
	1.000	125.00	06/09/20	125.00	...	0.00	3 of 4 - Option sale to close-call ²⁵
	10.000	1,249.95	06/09/20	1,200.00	...	49.95	4 of 4 - Option sale to close-call ²⁵
06/09/20	20.000	2,499.90	Various	2,450.00	...	49.90	Total of 4 transactions
XLF 11/20/2020 PUT \$23.00 / CUSIP: / Symbol:							
5 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	12.000	551.96	10/05/20	660.00	...	-108.04	1 of 5 - Option sale to close-put ²⁵
	6.000	275.98	10/05/20	330.00	...	-54.02	2 of 5 - Option sale to close-put ²⁵
	5.000	229.98	10/05/20	275.00	...	-45.02	3 of 5 - Option sale to close-put ²⁵
	5.000	229.98	10/05/20	275.00	...	-45.02	4 of 5 - Option sale to close-put ²⁵
	1.000	45.98	10/05/20	55.00	...	-9.02	5 of 5 - Option sale to close-put ²⁵
10/06/20	29.000	1,333.88	Various	1,595.00	...	-261.12	Total of 5 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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XLF 11/20/2020 PUT \$25.00 / CUSIP: / Symbol:							
3 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	2.000	145.99	10/09/20	174.00	...	-28.01	1 of 3 - Option sale to close-put ²⁵
	1.000	72.99	10/09/20	87.00	...	-14.01	2 of 3 - Option sale to close-put ²⁵
	1.000	73.00	10/09/20	87.00	...	-14.00	3 of 3 - Option sale to close-put ²⁵
10/13/20	4.000	291.98	Various	348.00	...	-56.02	Total of 3 transactions
XOP 09/18/2020 CALL \$44.00 / CUSIP: / Symbol:							
3 transactions for 04/21/20. Total proceeds and cost reported to the IRS.							
	1.000	754.98	04/20/20	710.00	...	44.98	1 of 3 - Option sale to close-call ²⁵
	1.000	754.98	04/20/20	690.00	...	64.98	2 of 3 - Option sale to close-call ²⁵
	3.000	2,264.94	04/20/20	2,160.00	...	104.94	3 of 3 - Option sale to close-call ²⁵
04/21/20	5.000	3,774.90	Various	3,560.00	...	214.90	Total of 3 transactions
ZM 05/22/2020 PUT \$150.00 / CUSIP: / Symbol:							
3 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	6.000	419.98	05/18/20	654.00	...	-234.02	1 of 3 - Option sale to close-put ²⁵
	6.000	419.98	05/18/20	654.00	...	-234.02	2 of 3 - Option sale to close-put ²⁵
	6.000	419.98	05/18/20	654.00	...	-234.02	3 of 3 - Option sale to close-put ²⁵
05/19/20	18.000	1,259.94	Various	1,962.00	...	-702.06	Total of 3 transactions
ZM 06/19/2020 PUT \$190.00 / CUSIP: / Symbol:							
06/02/20	1.000	999.96	06/01/20	1,300.00	300.04 W	0.00	Option sale to close-put ²⁵
06/04/20	1.000	349.98	06/03/20	680.04	...	-330.06	Option sale to close-put ²⁵
	Security total:	1,349.94		1,980.04	300.04 W	-330.06	
AG MORTGAGE INVESTMENT TRUST, INC. / CUSIP: 001228105 / Symbol:							
2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	93.000	210.23	04/01/20	211.10	...	-0.87	1 of 2 - Sale ²⁵
	7.000	16.80	04/01/20	15.89	...	0.91	2 of 2 - Sale ²⁵
04/07/20	100.000	227.03	Various	226.99	...	0.04	Total of 2 transactions
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
04/07/20	10.000	108.00	04/01/20	106.49	...	1.51	Sale ²⁵

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Robinhood Securities LLC

Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
03/24/20	1.000	243.03	03/20/20	248.98	...	-5.95	Sale ²⁵
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
2 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	1.000	101.95	04/27/20	100.83	...	1.12	1 of 2 - Sale ²⁵
	5.000	509.73	04/27/20	502.30	...	7.43	2 of 2 - Sale ²⁵
04/28/20	6.000	611.68	Various	603.13	...	8.55	Total of 2 transactions
05/04/20	10.000	896.38	05/01/20	917.18	...	-20.80	Sale ²⁵
	Security total:	1,508.06		1,520.31	...	-12.25	
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
04/29/20	1,200.000	513.44	04/28/20	474.00	...	39.44	Sale ²⁵
BLUE APRON HOLDINGS, INC. CLAS S A COMMON STOCK / CUSIP: 09523Q200 / Symbol:							
05/27/20	25.000	214.25	05/26/20	204.25	...	10.00	Sale ²⁵
CVS HEALTH CORPORATION / CUSIP: 126650100 / Symbol:							
03/27/20	3.000	175.62	03/26/20	158.67	...	16.95	Sale ²⁵
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
6 transactions for 04/06/20. Total proceeds and cost reported to the IRS.							
	25.000	257.49	04/01/20	252.88	...	4.61	1 of 6 - Sale ²⁵
	100.000	1,029.98	04/01/20	1,011.50	...	18.48	2 of 6 - Sale ²⁵
	10.000	103.00	04/02/20	80.30	...	22.70	3 of 6 - Sale ²⁵
	12.000	123.59	04/02/20	96.36	...	27.23	4 of 6 - Sale ²⁵
	14.000	144.20	04/02/20	112.98	...	31.22	5 of 6 - Sale ²⁵
	100.000	1,029.98	04/02/20	803.00	...	226.98	6 of 6 - Sale ²⁵
04/06/20	261.000	2,688.24	Various	2,357.02	...	331.22	Total of 6 transactions
CATALYST PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 14888U101 / Symbol:							
02/11/20	1.000	4.52	02/07/20	4.59	...	-0.07	Sale ²⁵

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CHINA RECYCLING ENERGY CORPORATION COMMON STOCK / CUSIP: 168913309 / Symbol:							
6 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	1.000	2.96	04/28/20	2.86	...	0.10	1 of 6 - Sale ²⁵
	1.000	2.96	04/28/20	2.86	...	0.10	2 of 6 - Sale ²⁵
	18.000	53.28	04/28/20	51.48	...	1.80	3 of 6 - Sale ²⁵
	20.000	59.20	04/28/20	57.20	...	2.00	4 of 6 - Sale ²⁵
	25.000	74.00	04/28/20	70.75	...	3.25	5 of 6 - Sale ²⁵
	60.000	177.60	04/28/20	171.00	...	6.60	6 of 6 - Sale ²⁵
04/29/20	125.000	370.00	Various	356.15	...	13.85	Total of 6 transactions
3 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	98.000	276.36	05/04/20	298.90	22.54 W	0.00	1 of 3 - Sale ²⁵
	2.000	5.64	05/04/20	6.10	0.46 W	0.00	2 of 3 - Sale ²⁵
	200.000	564.00	05/04/20	581.92	17.92 W	0.00	3 of 3 - Sale ²⁵
05/05/20	300.000	846.00	Various	886.92	40.92 W	0.00	Total of 3 transactions
7 transactions for 05/07/20. Total proceeds and cost reported to the IRS.							
	98.000	265.57	05/06/20	290.08	...	-24.51	1 of 7 - Sale ²⁵
	100.000	270.99	05/06/20	281.96	...	-10.97	2 of 7 - Sale ²⁵
	100.000	270.99	05/06/20	281.96	...	-10.97	3 of 7 - Sale ²⁵
	200.000	541.99	05/06/20	546.00	...	-4.01	4 of 7 - Sale ²⁵
	105.000	284.54	05/06/20	286.65	...	-2.11	5 of 7 - Sale ²⁵
	100.000	270.99	05/06/20	273.00	...	-2.01	6 of 7 - Sale ²⁵
	2.000	5.42	05/06/20	5.92	...	-0.50	7 of 7 - Sale ²⁵
05/07/20	705.000	1,910.49	Various	1,965.57	...	-55.08	Total of 7 transactions
	Security total:	3,126.49		3,208.64	40.92 W	-41.23	

CHIMERA INVESTMENT CORP. / CUSIP: 16934Q208 / Symbol:

7 transactions for 04/09/20. Total proceeds and cost reported to the IRS.

	5.000	39.97	04/09/20	38.00	...	1.97	1 of 7 - Sale ²⁵
	6.000	47.97	04/09/20	45.60	...	2.37	2 of 7 - Sale ²⁵
	45.000	359.77	04/09/20	342.00	...	17.77	3 of 7 - Sale ²⁵
	60.000	479.68	04/09/20	453.00	...	26.68	4 of 7 - Sale ²⁵
	60.000	479.68	04/09/20	453.00	...	26.68	5 of 7 - Sale ²⁵
	89.000	711.53	04/09/20	676.40	...	35.13	6 of 7 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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CHIMERA INVESTMENT CORP. / CUSIP: 16934Q208 / Symbol: (cont'd)							
	158.000	1,263.16	04/09/20	1,203.96	...	59.20	7 of 7 - Sale ²⁵
04/09/20	423.000	3,381.76	Various	3,211.96	...	169.80	Total of 7 transactions
04/14/20	125.000	963.71	04/13/20	939.99	...	23.72	Sale ²⁵
	Security total:	4,345.47		4,151.95	...	193.52	
CO-DIAGNOSTICS, INC. COMMON STOCK / CUSIP: 189763105 / Symbol:							
04/28/20	2.000	27.18	04/27/20	27.90	...	-0.72	Sale ²⁵
COMPANHIA ENERGETICA DE MINAS GERAIS-CEMIG / CUSIP: 204409601 / Symbol:							
04/29/20	150.000	256.48	04/28/20	244.50	...	11.98	Sale ²⁵
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
04/07/20	5.000	124.50	04/01/20	120.15	...	4.35	Sale ²⁵
DIGITAL ALLY, INC. COMMON STOCK / CUSIP: 25382P208 / Symbol:							
	2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.						
	100.000	148.99	06/02/20	164.00	...	-15.01	1 of 2 - Sale ²⁵
	100.000	148.99	06/02/20	164.00	...	-15.01	2 of 2 - Sale ²⁵
06/03/20	200.000	297.98	Various	328.00	...	-30.02	Total of 2 transactions
THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol:							
04/01/20	3.000	285.03	03/30/20	281.55	...	3.48	Sale ²⁵
04/02/20	10.000	946.97	03/30/20	938.50	...	8.47	Sale ²⁵
	2 transactions for 04/14/20. Total proceeds and cost reported to the IRS.						
	4.000	425.24	04/13/20	400.36	...	24.88	1 of 2 - Sale ²⁵
	9.000	956.76	04/13/20	900.81	...	55.95	2 of 2 - Sale ²⁵
04/14/20	13.000	1,382.00	Various	1,301.17	...	80.83	Total of 2 transactions
	Security total:	2,614.00		2,521.22	...	92.78	
DIREXION DAILY MSCI BRAZIL BULL 2X SHARES / CUSIP: 25490K315 / Symbol:							
03/30/20	24.000	36.96	03/30/20	38.16	...	-1.20	Sale ²⁵
DPW HOLDINGS, INC. / CUSIP: 26140E600 / Symbol:							
06/10/20	100.000	431.05	06/10/20	446.88	...	-15.83	Sale ²⁵

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ELECTRONIC ARTS INC. COMMON STOCK / CUSIP: 285512109 / Symbol:							
<i>2 transactions for 05/06/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	231.70	05/05/20	232.50	...	-0.80	1 of 2 - Sale ²⁵
	2.000	231.70	05/05/20	231.40	...	0.30	2 of 2 - Sale ²⁵
05/06/20	4.000	463.40	Various	463.90	...	-0.50	Total of 2 transactions
ENERGY TRANSFER LP COMMON UNIT S REPRESENTING LIMITED PARTNER INTERESTS / CUSIP: 29273V100 / Symbol:							
04/07/20	50.000	290.63	04/07/20	278.25	...	12.38	Sale ²⁵
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
03/23/20	1.000	4.31	03/17/20	5.08	0.77 W	0.00	Sale ²⁵
<i>3 transactions for 03/24/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	4.46	03/23/20	4.80	...	-0.34	1 of 3 - Sale ²⁵
	49.000	218.53	03/23/20	197.33	...	21.20	2 of 3 - Sale ²⁵
	150.000	668.97	03/23/20	607.50	...	61.47	3 of 3 - Sale ²⁵
03/24/20	200.000	891.96	Various	809.63	...	82.33	Total of 3 transactions
	Security total:	896.27		814.71	0.77 W	82.33	
FORWARD PHARMA A/S AMERICAN DE POSITARY SHARES / CUSIP: 34986J204 / Symbol:							
05/01/20	35.000	248.68	04/30/20	252.02	...	-3.34	Sale ²⁵
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
<i>27 transactions for 06/15/20. Total proceeds and cost reported to the IRS.</i>							
	7.000	33.11	06/15/20	32.90	...	0.21	1 of 27 - Sale ²⁵
	17.000	78.37	06/15/20	78.03	...	0.34	2 of 27 - Sale ²⁵
	29.000	137.16	06/15/20	136.59	...	0.57	3 of 27 - Sale ²⁵
	33.000	152.13	06/15/20	151.47	...	0.66	4 of 27 - Sale ²⁵
	23.000	108.78	06/15/20	107.53	...	1.25	5 of 27 - Sale ²⁵
	43.000	203.39	06/15/20	202.10	...	1.29	6 of 27 - Sale ²⁵
	50.000	236.49	06/15/20	235.00	...	1.49	7 of 27 - Sale ²⁵
	50.000	236.49	06/15/20	235.00	...	1.49	8 of 27 - Sale ²⁵
	50.000	236.49	06/15/20	235.00	...	1.49	9 of 27 - Sale ²⁵
	50.000	236.50	06/15/20	235.00	...	1.50	10 of 27 - Sale ²⁵
	28.000	132.44	06/15/20	130.90	...	1.54	11 of 27 - Sale ²⁵
	30.000	141.90	06/15/20	140.25	...	1.65	12 of 27 - Sale ²⁵

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	71.000	335.81	06/15/20	334.06	...	1.75	13 of 27 - Sale ²⁵
	35.000	165.54	06/15/20	163.63	...	1.91	14 of 27 - Sale ²⁵
	100.000	460.98	06/15/20	459.00	...	1.98	15 of 27 - Sale ²⁵
	50.000	236.49	06/15/20	234.50	...	1.99	16 of 27 - Sale ²⁵
	100.000	460.99	06/15/20	459.00	...	1.99	17 of 27 - Sale ²⁵
	100.000	472.98	06/15/20	470.50	...	2.48	18 of 27 - Sale ²⁵
	50.000	236.50	06/15/20	233.75	...	2.75	19 of 27 - Sale ²⁵
	150.000	691.46	06/15/20	688.50	...	2.96	20 of 27 - Sale ²⁵
	57.000	269.60	06/15/20	266.47	...	3.13	21 of 27 - Sale ²⁵
	77.000	364.19	06/15/20	359.98	...	4.21	22 of 27 - Sale ²⁵
	100.000	472.98	06/15/20	467.50	...	5.48	23 of 27 - Sale ²⁵
	100.000	472.98	06/15/20	467.50	...	5.48	24 of 27 - Sale ²⁵
	300.000	1,382.92	06/15/20	1,377.00	...	5.92	25 of 27 - Sale ²⁵
	300.000	1,382.93	06/15/20	1,377.00	...	5.93	26 of 27 - Sale ²⁵
	300.000	1,418.93	06/15/20	1,404.00	...	14.93	27 of 27 - Sale ²⁵
06/15/20	2,300.000	10,758.53	Various	10,682.16	...	76.37	Total of 27 transactions
	2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.						
	700.000	1,984.37	06/18/20	1,963.50	...	20.87	1 of 2 - Sale ²⁵
	1,300.000	3,685.26	06/18/20	3,647.54	...	37.72	2 of 2 - Sale ²⁵
06/18/20	2,000.000	5,669.63	Various	5,611.04	...	58.59	Total of 2 transactions
	Security total:	16,428.16		16,293.20	...	134.96	
GILEAD SCIENCES, INC. COMMON S TOCK / CUSIP: 375558103 / Symbol:							
	5 transactions for 04/28/20. Total proceeds and cost reported to the IRS.						
	2.000	158.80	04/27/20	157.37	...	1.43	1 of 5 - Sale ²⁵
	3.000	238.20	04/27/20	236.46	...	1.74	2 of 5 - Sale ²⁵
	2.000	159.30	04/27/20	157.38	...	1.92	3 of 5 - Sale ²⁵
	8.000	637.18	04/27/20	629.04	...	8.14	4 of 5 - Sale ²⁵
	10.000	796.48	04/27/20	786.29	...	10.19	5 of 5 - Sale ²⁵
04/28/20	25.000	1,989.96	Various	1,966.54	...	23.42	Total of 5 transactions
05/04/20	20.000	1,635.96	05/01/20	1,606.35	...	29.61	Sale ²⁵
	Security total:	3,625.92		3,572.89	...	53.03	

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GROUPON, INC. COMMON STOCK / CUSIP: 399473107 / Symbol:							
04/14/20	70.000	60.89	04/13/20	59.14	...	1.75	Sale ²⁵
HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol:							
05/07/20	50.000	150.25	05/06/20	146.38	...	3.87	Sale ²⁵
	9 transactions for 05/27/20. Total proceeds and cost reported to the IRS.						
	10.000	8.44	05/26/20	6.10	...	2.34	1 of 9 - Sale ²⁵
	36.000	30.23	05/26/20	23.04	...	7.19	2 of 9 - Sale ²⁵
	32.000	26.88	05/26/20	19.10	...	7.78	3 of 9 - Sale ²⁵
	85.000	71.39	05/26/20	50.75	...	20.64	4 of 9 - Sale ²⁵
	200.000	167.97	05/26/20	119.40	...	48.57	5 of 9 - Sale ²⁵
	200.000	167.98	05/26/20	119.40	...	48.58	6 of 9 - Sale ²⁵
	439.000	370.47	05/26/20	280.96	...	89.51	7 of 9 - Sale ²⁵
	468.000	393.05	05/26/20	279.40	...	113.65	8 of 9 - Sale ²⁵
	815.000	684.49	05/26/20	486.56	...	197.93	9 of 9 - Sale ²⁵
05/27/20	2,285.000	1,920.90	Various	1,384.71	...	536.19	Total of 9 transactions
	6 transactions for 06/15/20. Total proceeds and cost reported to the IRS.						
	6.000	11.40	06/15/20	11.49	0.09 W	0.00	1 of 6 - Sale ²⁵
	1.000	1.90	06/15/20	1.92	0.02 W	0.00	2 of 6 - Sale ²⁵
	93.000	176.69	06/15/20	178.10	1.41 W	0.00	3 of 6 - Sale ²⁵
	1.000	1.90	06/15/20	1.93	0.03 W	0.00	4 of 6 - Sale ²⁵
	6.000	11.40	06/15/20	11.58	0.18 W	0.00	5 of 6 - Sale ²⁵
	93.000	176.69	06/15/20	178.10	1.41 W	0.00	6 of 6 - Sale ²⁵
06/15/20	200.000	379.98	Various	383.12	3.14 W	0.00	Total of 6 transactions
	11 transactions for 06/17/20. Total proceeds and cost reported to the IRS.						
	1.000	2.11	06/17/20	2.11	...	0.00	1 of 11 - Sale ²⁵
	6.000	12.66	06/17/20	12.66	...	0.00	2 of 11 - Sale ²⁵
	7.000	14.77	06/17/20	14.56	...	0.21	3 of 11 - Sale ²⁵
	93.000	196.23	06/17/20	194.85	...	1.38	4 of 11 - Sale ²⁵
	93.000	196.23	06/17/20	194.85	...	1.38	5 of 11 - Sale ²⁵
	100.000	219.99	06/17/20	214.90	...	5.09	6 of 11 - Sale ²⁵
	226.000	497.16	06/17/20	485.90	...	11.26	7 of 11 - Sale ²⁵
	234.000	514.76	06/17/20	502.87	...	11.89	8 of 11 - Sale ²⁵
	326.000	717.14	06/17/20	700.57	...	16.57	9 of 11 - Sale ²⁵
	464.000	1,020.72	06/17/20	997.14	...	23.58	10 of 11 - Sale ²⁵

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Robinhood Securities LLC

Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
	650.000	1,429.88	06/17/20	1,397.50	...	32.38	11 of 11 - Sale ²⁵
06/17/20	2,200.000	4,821.65	Various	4,717.91	...	103.74	Total of 11 transactions
	18 transactions for 06/18/20. Total proceeds and cost reported to the IRS.						
	535.000	1,037.81	06/17/20	1,166.43	...	-128.62	1 of 18 - Sale ²⁵
	382.000	741.01	06/17/20	794.56	...	-53.55	2 of 18 - Sale ²⁵
	100.000	193.98	06/17/20	224.53	...	-30.55	3 of 18 - Sale ²⁵
	100.000	193.98	06/17/20	224.53	...	-30.55	4 of 18 - Sale ²⁵
	100.000	193.99	06/17/20	224.53	...	-30.54	5 of 18 - Sale ²⁵
	200.000	387.97	06/17/20	411.03	...	-23.06	6 of 18 - Sale ²⁵
	83.000	161.01	06/17/20	182.60	...	-21.59	7 of 18 - Sale ²⁵
	250.000	484.96	06/17/20	506.49	...	-21.53	8 of 18 - Sale ²⁵
	382.000	741.02	06/17/20	760.24	...	-19.22	9 of 18 - Sale ²⁵
	82.000	159.07	06/17/20	177.94	...	-18.87	10 of 18 - Sale ²⁵
	168.000	325.89	06/17/20	342.75	...	-16.86	11 of 18 - Sale ²⁵
	118.000	228.90	06/17/20	245.45	...	-16.55	12 of 18 - Sale ²⁵
	100.000	193.98	06/17/20	210.51	...	-16.53	13 of 18 - Sale ²⁵
	100.000	193.98	06/17/20	210.51	...	-16.53	14 of 18 - Sale ²⁵
	100.000	193.98	06/17/20	210.50	...	-16.52	15 of 18 - Sale ²⁵
	100.000	193.99	06/17/20	210.51	...	-16.52	16 of 18 - Sale ²⁵
	93.000	180.41	06/17/20	195.77	...	-15.36	17 of 18 - Sale ²⁵
	83.000	161.01	06/17/20	164.35	...	-3.34	18 of 18 - Sale ²⁵
06/18/20	3,076.000	5,966.94	Various	6,463.23	...	-496.29	Total of 18 transactions
	14 transactions for 06/18/20. Total proceeds and cost reported to the IRS.						
	100.000	193.98	06/17/20	210.51	15.37 W	-1.16	1 of 14 - Sale ²⁵
	300.000	581.94	06/17/20	638.97	57.03 W	0.00	2 of 14 - Sale ²⁵
	1,700.000	3,297.72	06/17/20	3,620.83	323.11 W	0.00	3 of 14 - Sale ²⁵
	100.000	193.98	06/17/20	220.51	26.53 W	0.00	4 of 14 - Sale ²⁵
	100.000	193.98	06/17/20	220.51	26.53 W	0.00	5 of 14 - Sale ²⁵
	100.000	193.98	06/17/20	220.51	26.53 W	0.00	6 of 14 - Sale ²⁵
	200.000	387.97	06/17/20	403.00	15.03 W	0.00	7 of 14 - Sale ²⁵
	250.000	484.96	06/17/20	496.45	11.49 W	0.00	8 of 14 - Sale ²⁵
	251.000	486.90	06/17/20	502.00	15.10 W	0.00	9 of 14 - Sale ²⁵
	382.000	741.02	06/17/20	767.82	26.80 W	0.00	10 of 14 - Sale ²⁵
	618.000	1,198.81	06/17/20	1,359.64	160.83 W	0.00	11 of 14 - Sale ²⁵

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Robinhood Securities LLC

Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
	382.000	741.02	06/17/20	794.58	53.56 W	0.00	12 of 14 - Sale ²⁵
	118.000	228.90	06/17/20	245.45	16.55 W	0.00	13 of 14 - Sale ²⁵
	100.000	193.98	06/17/20	210.51	16.53 W	0.00	14 of 14 - Sale ²⁵
06/18/20	4,701.000	9,119.14	Various	9,911.29	790.99 W	-1.16	Total of 14 transactions
	Security total:	22,358.86		23,006.64	794.13 W	146.35	
HEXO CORP. / CUSIP: 428304109 / Symbol:							
06/08/20	2,000.000	2,019.91	06/08/20	2,016.00	...	3.91	Sale ²⁵
IBIO, INC. / CUSIP: 451033203 / Symbol:							
04/28/20	117.000	152.09	04/27/20	105.30	...	46.79	Sale ²⁵
INSPIREMD, INC. / CUSIP: 45779A853 / Symbol:							
36 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	16.000	12.32	06/10/20	11.52	...	0.80	1 of 36 - Sale ²⁵
	25.000	19.25	06/10/20	18.00	...	1.25	2 of 36 - Sale ²⁵
	100.000	76.98	06/10/20	72.00	...	4.98	3 of 36 - Sale ²⁵
	100.000	76.99	06/10/20	72.00	...	4.99	4 of 36 - Sale ²⁵
	100.000	76.99	06/10/20	72.00	...	4.99	5 of 36 - Sale ²⁵
	100.000	76.99	06/10/20	72.00	...	4.99	6 of 36 - Sale ²⁵
	134.000	103.16	06/10/20	96.48	...	6.68	7 of 36 - Sale ²⁵
	241.000	185.54	06/10/20	173.52	...	12.02	8 of 36 - Sale ²⁵
	400.000	307.95	06/10/20	294.00	...	13.95	9 of 36 - Sale ²⁵
	300.000	230.96	06/10/20	216.00	...	14.96	10 of 36 - Sale ²⁵
	300.000	230.96	06/10/20	216.00	...	14.96	11 of 36 - Sale ²⁵
	300.000	230.96	06/10/20	216.00	...	14.96	12 of 36 - Sale ²⁵
	511.000	393.40	06/10/20	377.73	...	15.67	13 of 36 - Sale ²⁵
	384.000	295.63	06/10/20	276.48	...	19.15	14 of 36 - Sale ²⁵
	400.000	307.94	06/10/20	288.00	...	19.94	15 of 36 - Sale ²⁵
	400.000	307.94	06/10/20	288.00	...	19.94	16 of 36 - Sale ²⁵
	400.000	307.94	06/10/20	288.00	...	19.94	17 of 36 - Sale ²⁵
	400.000	307.94	06/10/20	288.00	...	19.94	18 of 36 - Sale ²⁵
	400.000	307.94	06/10/20	288.00	...	19.94	19 of 36 - Sale ²⁵
	400.000	307.94	06/10/20	288.00	...	19.94	20 of 36 - Sale ²⁵
	400.000	307.94	06/10/20	288.00	...	19.94	21 of 36 - Sale ²⁵

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Robinhood Securities LLC

Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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INSPIREMD, INC. / CUSIP: 45779A853 / Symbol: (cont'd)							
	400.000	307.94	06/10/20	288.00	...	19.94	22 of 36 - Sale ²⁵
	400.000	307.94	06/10/20	288.00	...	19.94	23 of 36 - Sale ²⁵
	400.000	307.95	06/10/20	288.00	...	19.95	24 of 36 - Sale ²⁵
	400.000	307.95	06/10/20	288.00	...	19.95	25 of 36 - Sale ²⁵
	400.000	307.95	06/10/20	288.00	...	19.95	26 of 36 - Sale ²⁵
	400.000	307.95	06/10/20	288.00	...	19.95	27 of 36 - Sale ²⁵
	400.000	307.95	06/10/20	288.00	...	19.95	28 of 36 - Sale ²⁵
	400.000	307.95	06/10/20	288.00	...	19.95	29 of 36 - Sale ²⁵
	400.000	307.95	06/10/20	288.00	...	19.95	30 of 36 - Sale ²⁵
	400.000	307.95	06/10/20	288.00	...	19.95	31 of 36 - Sale ²⁵
	400.000	307.95	06/10/20	288.00	...	19.95	32 of 36 - Sale ²⁵
	700.000	538.90	06/10/20	504.00	...	34.90	33 of 36 - Sale ²⁵
	1,372.000	1,056.25	06/10/20	1,014.18	...	42.07	34 of 36 - Sale ²⁵
	1,899.000	1,461.97	06/10/20	1,395.77	...	66.20	35 of 36 - Sale ²⁵
	5,818.000	4,479.06	06/10/20	4,300.67	...	178.39	36 of 36 - Sale ²⁵
06/10/20	20,000.000	15,397.27	Various	14,582.35	...	814.92	Total of 36 transactions
INTERNATIONAL BUSINESS MACHINE S CORPORATION / CUSIP: 459200101 / Symbol:							
04/02/20	1.000	105.00	04/01/20	105.95	...	-0.95	Sale ²⁵
INVESCO S&P 500 HIGH DIVIDEND LOW VOLATILITY ETF / CUSIP: 46138E362 / Symbol:							
03/25/20	1.000	29.52	03/17/20	29.73	...	-0.21	Sale ²⁵
JAKKS PACIFIC, INC. COMMON STO CK / CUSIP: 47012E106 / Symbol:							
7 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	5.000	4.75	06/15/20	4.70	...	0.05	1 of 7 - Sale ²⁵
	693.000	658.25	06/15/20	651.42	...	6.83	2 of 7 - Sale ²⁵
	1,000.000	949.86	06/15/20	940.00	...	9.86	3 of 7 - Sale ²⁵
	1,200.000	1,139.83	06/15/20	1,128.00	...	11.83	4 of 7 - Sale ²⁵
	1,200.000	1,139.83	06/15/20	1,128.00	...	11.83	5 of 7 - Sale ²⁵
	1,200.000	1,139.83	06/15/20	1,128.00	...	11.83	6 of 7 - Sale ²⁵
	4,702.000	4,466.24	06/15/20	4,419.88	...	46.36	7 of 7 - Sale ²⁵
06/15/20	10,000.000	9,498.59	Various	9,400.00	...	98.59	Total of 7 transactions

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Account 821978145

Proceeds from Broker and Barter Exchange Transactions

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(continued)

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LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
5 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	75.000	219.73	04/06/20	329.25	...	-109.52	1 of 5 - Sale ²⁵
	57.000	167.00	04/06/20	256.43	...	-89.43	2 of 5 - Sale ²⁵
	25.000	73.24	04/06/20	112.50	...	-39.26	3 of 5 - Sale ²⁵
	25.000	73.25	04/06/20	112.50	...	-39.25	4 of 5 - Sale ²⁵
	18.000	52.74	04/06/20	80.98	...	-28.24	5 of 5 - Sale ²⁵
05/20/20	200.000	585.96	Various	891.66	...	-305.70	Total of 5 transactions
LYFT, INC. CLASS A COMMON STOC K / CUSIP: 55087P104 / Symbol:							
03/23/20	10.000	215.50	03/16/20	196.95	...	18.55	Sale ²⁵
MILESTONE PHARMACEUTICALS INC. COMMON SHARES / CUSIP: 59935V107 / Symbol:							
6 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	32.000	63.68	03/26/20	64.64	0.96 W	0.00	1 of 6 - Sale ²⁵
	18.000	35.82	03/26/20	36.36	0.54 W	0.00	2 of 6 - Sale ²⁵
	32.000	63.68	03/26/20	65.60	1.92 W	0.00	3 of 6 - Sale ²⁵
	18.000	35.82	03/26/20	36.36	0.54 W	0.00	4 of 6 - Sale ²⁵
	32.000	63.67	03/26/20	64.64	0.97 W	0.00	5 of 6 - Sale ²⁵
	18.000	35.82	03/26/20	36.90	1.08 W	0.00	6 of 6 - Sale ²⁵
03/26/20	150.000	298.49	Various	304.50	6.01 W	0.00	Total of 6 transactions
5 transactions for 04/06/20. Total proceeds and cost reported to the IRS.							
	18.000	34.92	03/27/20	36.18	1.26 W	0.00	1 of 5 - Sale ²⁵
	18.000	34.92	03/27/20	36.72	1.80 W	0.00	2 of 5 - Sale ²⁵
	32.000	62.08	03/27/20	65.28	3.20 W	0.00	3 of 5 - Sale ²⁵
	32.000	62.07	03/27/20	64.33	2.26 W	0.00	4 of 5 - Sale ²⁵
	100.000	193.99	03/27/20	198.00	4.01 W	0.00	5 of 5 - Sale ²⁵
04/06/20	200.000	387.98	Various	400.51	12.53 W	0.00	Total of 5 transactions
19 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	6.000	11.83	04/07/20	11.75	...	0.08	1 of 19 - Sale ²⁵
	12.000	23.67	04/07/20	23.49	...	0.18	2 of 19 - Sale ²⁵
	6.000	11.83	04/07/20	11.57	...	0.26	3 of 19 - Sale ²⁵
	8.000	15.78	04/07/20	15.42	...	0.36	4 of 19 - Sale ²⁵
	32.000	63.12	04/07/20	62.67	...	0.45	5 of 19 - Sale ²⁵
	12.000	23.67	04/07/20	23.13	...	0.54	6 of 19 - Sale ²⁵

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(continued)

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MILESTONE PHARMACEUTICALS INC. COMMON SHARES / CUSIP: 59935V107 / Symbol: (cont'd)							
	58.000	114.54	04/07/20	113.68	...	0.86	7 of 19 - Sale ²⁵
	24.000	47.34	04/07/20	46.29	...	1.05	8 of 19 - Sale ²⁵
	50.000	98.74	04/07/20	97.50	...	1.24	9 of 19 - Sale ²⁵
	50.000	98.74	04/07/20	97.25	...	1.49	10 of 19 - Sale ²⁵
	100.000	197.48	04/07/20	195.32	...	2.16	11 of 19 - Sale ²⁵
	100.000	197.48	04/07/20	195.00	...	2.48	12 of 19 - Sale ²⁵
	100.000	197.48	04/07/20	195.00	...	2.48	13 of 19 - Sale ²⁵
	100.000	197.48	04/07/20	195.00	...	2.48	14 of 19 - Sale ²⁵
	100.000	197.49	04/07/20	195.00	...	2.49	15 of 19 - Sale ²⁵
	50.000	98.63	04/07/20	96.00	...	2.63	16 of 19 - Sale ²⁵
	60.000	118.34	04/07/20	115.68	...	2.66	17 of 19 - Sale ²⁵
	242.000	477.91	04/07/20	474.32	...	3.59	18 of 19 - Sale ²⁵
	200.000	394.96	04/07/20	385.58	...	9.38	19 of 19 - Sale ²⁵
04/08/20	1,310.000	2,586.51	Various	2,549.65	...	36.86	Total of 19 transactions
	13 transactions for 04/08/20. Total proceeds and cost reported to the IRS.						
	6.000	11.85	04/07/20	12.18	0.33 W	0.00	1 of 13 - Sale ²⁵
	32.000	63.19	04/07/20	65.92	2.73 W	0.00	2 of 13 - Sale ²⁵
	8.000	15.80	04/07/20	16.24	0.44 W	0.00	3 of 13 - Sale ²⁵
	12.000	23.70	04/07/20	24.36	0.66 W	0.00	4 of 13 - Sale ²⁵
	18.000	35.55	04/07/20	37.08	1.53 W	0.00	5 of 13 - Sale ²⁵
	24.000	47.40	04/07/20	48.74	1.34 W	0.00	6 of 13 - Sale ²⁵
	100.000	197.48	04/07/20	200.01	2.53 W	0.00	7 of 13 - Sale ²⁵
	6.000	11.85	04/07/20	11.90	0.05 W	0.00	8 of 13 - Sale ²⁵
	8.000	15.80	04/07/20	15.86	0.06 W	0.00	9 of 13 - Sale ²⁵
	12.000	23.70	04/07/20	23.79	0.09 W	0.00	10 of 13 - Sale ²⁵
	18.000	35.55	04/07/20	36.23	0.68 W	0.00	11 of 13 - Sale ²⁵
	24.000	47.40	04/07/20	47.61	0.21 W	0.00	12 of 13 - Sale ²⁵
	32.000	63.19	04/07/20	64.42	1.23 W	0.00	13 of 13 - Sale ²⁵
04/08/20	300.000	592.46	Various	604.34	11.88 W	0.00	Total of 13 transactions
	3 transactions for 04/09/20. Total proceeds and cost reported to the IRS.						
	21.000	42.00	04/08/20	40.11	...	1.89	1 of 3 - Sale ²⁵
	179.000	357.98	04/08/20	341.89	...	16.09	2 of 3 - Sale ²⁵
	300.000	599.94	04/08/20	573.00	...	26.94	3 of 3 - Sale ²⁵
04/09/20	500.000	999.92	Various	955.00	...	44.92	Total of 3 transactions

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Robinhood Securities LLC

Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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MILESTONE PHARMACEUTICALS INC. COMMON SHARES / CUSIP: 59935V107 / Symbol: (cont'd)							
Security total:		4,865.36		4,814.00	30.42 W	81.78	
MUSTANG BIO, INC. COMMON STOCK / CUSIP: 62818Q104 / Symbol:							
06/11/20	1,000.000	3,299.80	06/11/20	3,259.90	...	39.90	Sale ²⁵
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
<i>2 transactions for 04/08/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	369.03	04/08/20	369.57	...	-0.54	1 of 2 - Sale ²⁵
	2.000	738.70	04/08/20	739.14	...	-0.44	2 of 2 - Sale ²⁵
04/08/20	3.000	1,107.73	Various	1,108.71	...	-0.98	Total of 2 transactions
OASIS PETROLEUM INC. COMMON STOCK / CUSIP: 674215108 / Symbol:							
<i>3 transactions for 04/23/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	50.74	04/22/20	29.00	...	21.74	1 of 3 - Sale ²⁵
	900.000	456.64	04/22/20	261.00	...	195.64	2 of 3 - Sale ²⁵
	2,000.000	1,014.73	04/22/20	590.00	...	424.73	3 of 3 - Sale ²⁵
04/23/20	3,000.000	1,522.11	Various	880.00	...	642.11	Total of 3 transactions
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
<i>6 transactions for 05/06/20. Total proceeds and cost reported to the IRS.</i>							
	49.000	16.80	05/05/20	16.24	...	0.56	1 of 6 - Sale ²⁵
	51.000	17.48	05/05/20	16.91	...	0.57	2 of 6 - Sale ²⁵
	100.000	34.28	05/05/20	33.15	...	1.13	3 of 6 - Sale ²⁵
	200.000	68.55	05/05/20	66.30	...	2.25	4 of 6 - Sale ²⁵
	300.000	102.83	05/05/20	99.45	...	3.38	5 of 6 - Sale ²⁵
	300.000	102.84	05/05/20	99.45	...	3.39	6 of 6 - Sale ²⁵
05/06/20	1,000.000	342.78	Various	331.50	...	11.28	Total of 6 transactions
ONCOSEC MEDICAL INCORPORATED COMMON STOCK / CUSIP: 68234L306 / Symbol:							
04/09/20	5.000	7.60	04/08/20	7.30	...	0.30	Sale ²⁵

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PENNEY J C CORP INC COMMON STO CK / CUSIP: 708160106 / Symbol:							
2 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	1,400.000	323.09	05/04/20	332.78	6.23 W	-3.46	1 of 2 - Sale ²⁵
	100.000	23.08	05/04/20	23.77	0.69 W	0.00	2 of 2 - Sale ²⁵
05/05/20	1,500.000	346.17	Various	356.55	6.92 W	-3.46	Total of 2 transactions
6 transactions for 05/08/20. Total proceeds and cost reported to the IRS.							
	100.000	19.79	05/06/20	19.58	...	0.21	1 of 6 - Sale ²⁵
	100.000	19.79	05/06/20	19.58	...	0.21	2 of 6 - Sale ²⁵
	100.000	19.79	05/06/20	19.58	...	0.21	3 of 6 - Sale ²⁵
	100.000	19.79	05/06/20	19.58	...	0.21	4 of 6 - Sale ²⁵
	100.000	19.79	05/06/20	19.58	...	0.21	5 of 6 - Sale ²⁵
	500.000	98.94	05/06/20	97.92	...	1.02	6 of 6 - Sale ²⁵
05/08/20	1,000.000	197.89	Various	195.82	...	2.07	Total of 6 transactions
	Security total:	544.06		552.37	6.92 W	-1.39	
PENNSYLVANIA REAL ESTATE INVES TMENT TRUST / CUSIP: 709102107 / Symbol:							
05/06/20	200.000	227.98	05/05/20	227.14	...	0.84	Sale ²⁵
PREDICTIVE ONCOLOGY INC. COMM O N STOCK / CUSIP: 74039M200 / Symbol:							
6 transactions for 05/11/20. Total proceeds and cost reported to the IRS.							
	1.000	1.34	05/07/20	1.31	...	0.03	1 of 6 - Sale ²⁵
	100.000	134.04	05/07/20	132.50	...	1.54	2 of 6 - Sale ²⁵
	100.000	134.03	05/07/20	132.00	...	2.03	3 of 6 - Sale ²⁵
	200.000	268.07	05/07/20	265.00	...	3.07	4 of 6 - Sale ²⁵
	300.000	402.10	05/07/20	399.00	...	3.10	5 of 6 - Sale ²⁵
	800.000	1,072.28	05/07/20	1,056.00	...	16.28	6 of 6 - Sale ²⁵
05/11/20	1,501.000	2,011.86	Various	1,985.81	...	26.05	Total of 6 transactions
PROSHARES ULTRA BLOOMBERG CRUD E OIL / CUSIP: 74347W247 / Symbol:							
8 transactions for 04/15/20. Total proceeds and cost reported to the IRS.							
	200.000	339.97	04/09/20	399.00	...	-59.03	1 of 8 - Sale ²⁵
	100.000	169.98	04/09/20	199.50	...	-29.52	2 of 8 - Sale ²⁵
	335.000	569.45	04/14/20	643.20	...	-73.75	3 of 8 - Sale ²⁵
	200.000	339.96	04/14/20	384.00	...	-44.04	4 of 8 - Sale ²⁵
	80.000	135.99	04/14/20	153.60	...	-17.61	5 of 8 - Sale ²⁵

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Account 821978145

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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PROSHARES ULTRA BLOOMBERG CRUD E OIL / CUSIP: 74347W247 / Symbol: (cont'd)							
	33.000	56.09	04/14/20	63.36	...	-7.27	6 of 8 - Sale ²⁵
	20.000	34.00	04/14/20	38.40	...	-4.40	7 of 8 - Sale ²⁵
	10.000	17.00	04/14/20	19.20	...	-2.20	8 of 8 - Sale ²⁵
04/15/20	978.000	1,662.44	Various	1,900.26	...	-237.82	Total of 8 transactions
RECRO PHARMA, INC. COMMON STOCK / CUSIP: 75629F109 / Symbol:							
2 transactions for 05/11/20. Total proceeds and cost reported to the IRS.							
	100.000	507.40	05/11/20	513.00	...	-5.60	1 of 2 - Sale ²⁵
	100.000	507.41	05/11/20	513.00	...	-5.59	2 of 2 - Sale ²⁵
05/11/20	200.000	1,014.81	Various	1,026.00	...	-11.19	Total of 2 transactions
ROSEHILL RESOURCES INC. CLASS A COMMON STOCK / CUSIP: 777385105 / Symbol:							
7 transactions for 05/08/20. Total proceeds and cost reported to the IRS.							
	200.000	73.18	05/05/20	98.09	...	-24.91	1 of 7 - Sale ²⁵
	79.000	28.91	05/05/20	38.75	...	-9.84	2 of 7 - Sale ²⁵
	79.000	28.91	05/05/20	38.74	...	-9.83	3 of 7 - Sale ²⁵
	74.000	27.08	05/05/20	36.29	...	-9.21	4 of 7 - Sale ²⁵
	26.000	9.52	05/05/20	12.75	...	-3.23	5 of 7 - Sale ²⁵
	21.000	7.68	05/05/20	10.30	...	-2.62	6 of 7 - Sale ²⁵
	21.000	7.68	05/05/20	10.30	...	-2.62	7 of 7 - Sale ²⁵
05/08/20	500.000	182.96	Various	245.22	...	-62.26	Total of 7 transactions
4 transactions for 05/08/20. Total proceeds and cost reported to the IRS.							
	295.000	107.93	05/05/20	128.71	20.78 W	0.00	1 of 4 - Sale ²⁵
	100.000	36.59	05/05/20	43.63	7.04 W	0.00	2 of 4 - Sale ²⁵
	79.000	28.90	05/05/20	34.47	5.57 W	0.00	3 of 4 - Sale ²⁵
	26.000	9.51	05/05/20	11.34	1.83 W	0.00	4 of 4 - Sale ²⁵
05/08/20	500.000	182.93	Various	218.15	35.22 W	0.00	Total of 4 transactions
	Security total:	365.89		463.37	35.22 W	-62.26	
SPDR S&P 500 ETF TRUST / CUSIP: 78462F103 / Symbol:							
06/02/20	2.000	612.80	06/01/20	610.90	...	1.90	Sale ²⁵
06/10/20	10.000	3,188.92	06/10/20	3,188.50	...	0.42	Sale ²⁵
	Security total:	3,801.72		3,799.40	...	2.32	

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Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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SENESTECH, INC. COMMON STOCK / CUSIP: 81720R208 / Symbol:							
04/29/20	125.000	313.02	04/28/20	306.25	...	6.77	Sale ²⁵
SERITAGE GROWTH PROPERTIES CLA SS A COMMON SHARES OF BENEFICI AL INTEREST, PAR VALUE \$0.01 / CUSIP: 81752R100 / Symbol:							
04/02/20	5.000	36.20	03/30/20	34.20	...	2.00	Sale ²⁵
SOLIGENIX, INC. COMMON STOCK / CUSIP: 834223307 / Symbol:							
04/29/20	200.000	285.98	04/28/20	302.00	...	-16.02	Sale ²⁵
SONNET BIOTHERAPEUTICS HOLDING S, INC. COMMON STOCK / CUSIP: 83548R105 / Symbol:							
04/29/20	70.000	342.30	04/28/20	353.43	11.13 W	0.00	Sale ²⁵
	13 transactions for 05/07/20. Total proceeds and cost reported to the IRS.						
	33.000	156.58	05/06/20	159.52	...	-2.94	1 of 13 - Sale ²⁵
	12.000	56.94	05/06/20	57.89	...	-0.95	2 of 13 - Sale ²⁵
	9.000	42.70	05/06/20	43.33	...	-0.63	3 of 13 - Sale ²⁵
	8.000	37.96	05/06/20	38.59	...	-0.63	4 of 13 - Sale ²⁵
	5.000	23.72	05/06/20	24.12	...	-0.40	5 of 13 - Sale ²⁵
	3.000	14.23	05/06/20	14.44	...	-0.21	6 of 13 - Sale ²⁵
	18.000	85.41	05/06/20	81.63	...	3.78	7 of 13 - Sale ²⁵
	30.000	142.34	05/06/20	136.66	...	5.68	8 of 13 - Sale ²⁵
	32.000	151.83	05/06/20	145.77	...	6.06	9 of 13 - Sale ²⁵
	27.000	128.11	05/06/20	121.23	...	6.88	10 of 13 - Sale ²⁵
	33.000	156.58	05/06/20	149.49	...	7.09	11 of 13 - Sale ²⁵
	40.000	189.78	05/06/20	181.19	...	8.59	12 of 13 - Sale ²⁵
	100.000	474.48	05/06/20	463.00	...	11.48	13 of 13 - Sale ²⁵
05/07/20	350.000	1,660.66	Various	1,616.86	...	43.80	Total of 13 transactions
	5 transactions for 05/07/20. Total proceeds and cost reported to the IRS.						
	9.000	42.70	05/04/20	45.26	2.56 W	0.00	1 of 5 - Sale ²⁵
	41.000	194.54	05/04/20	207.01	12.47 W	0.00	2 of 5 - Sale ²⁵
	20.000	94.90	05/04/20	100.98	6.08 W	0.00	3 of 5 - Sale ²⁵
	50.000	237.24	05/05/20	239.50	2.26 W	0.00	4 of 5 - Sale ²⁵
	30.000	142.34	05/05/20	144.30	1.96 W	0.00	5 of 5 - Sale ²⁵
05/07/20	150.000	711.72	Various	737.05	25.33 W	0.00	Total of 5 transactions
	Security total:	2,714.68		2,707.34	36.46 W	43.80	

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(continued)

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STEIN MART INC COMMON STOCK / CUSIP: 858375108 / Symbol:							
<i>11 transactions for 05/27/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	23.49	05/27/20	21.00	...	2.49	1 of 11 - Sale ²⁵
	100.000	46.99	05/27/20	42.00	...	4.99	2 of 11 - Sale ²⁵
	100.000	46.99	05/27/20	42.00	...	4.99	3 of 11 - Sale ²⁵
	190.000	89.27	05/27/20	79.80	...	9.47	4 of 11 - Sale ²⁵
	371.000	174.32	05/27/20	155.82	...	18.50	5 of 11 - Sale ²⁵
	690.000	324.21	05/27/20	289.80	...	34.41	6 of 11 - Sale ²⁵
	1,000.000	469.87	05/27/20	420.00	...	49.87	7 of 11 - Sale ²⁵
	1,100.000	516.86	05/27/20	462.00	...	54.86	8 of 11 - Sale ²⁵
	1,529.000	718.43	05/27/20	642.18	...	76.25	9 of 11 - Sale ²⁵
	1,900.000	892.75	05/27/20	798.00	...	94.75	10 of 11 - Sale ²⁵
	2,770.000	1,301.54	05/27/20	1,163.40	...	138.14	11 of 11 - Sale ²⁵
05/27/20	9,800.000	4,604.72	Various	4,116.00	...	488.72	Total of 11 transactions
STITCH FIX, INC. CLASS A COMMO N STOCK / CUSIP: 860897107 / Symbol:							
06/08/20	20.000	503.78	06/08/20	498.20	...	5.58	Sale ²⁵
SUMMIT WIRELESS TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 86633R203 / Symbol:							
<i>5 transactions for 04/27/20. Total proceeds and cost reported to the IRS.</i>							
	6.000	15.84	04/24/20	14.88	...	0.96	1 of 5 - Sale ²⁵
	94.000	248.15	04/24/20	233.12	...	15.03	2 of 5 - Sale ²⁵
	100.000	263.99	04/24/20	248.00	...	15.99	3 of 5 - Sale ²⁵
	100.000	263.99	04/24/20	248.00	...	15.99	4 of 5 - Sale ²⁵
	100.000	263.99	04/24/20	248.00	...	15.99	5 of 5 - Sale ²⁵
04/27/20	400.000	1,055.96	Various	992.00	...	63.96	Total of 5 transactions
<i>5 transactions for 05/14/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	47.00	05/13/20	46.80	...	0.20	1 of 5 - Sale ²⁵
	20.000	47.20	05/13/20	46.80	...	0.40	2 of 5 - Sale ²⁵
	68.000	159.79	05/13/20	159.12	...	0.67	3 of 5 - Sale ²⁵
	62.000	146.31	05/13/20	145.08	...	1.23	4 of 5 - Sale ²⁵
	100.000	236.01	05/13/20	234.00	...	2.01	5 of 5 - Sale ²⁵
05/14/20	270.000	636.31	Various	631.80	...	4.51	Total of 5 transactions

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SUMMIT WIRELESS TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 86633R203 / Symbol: (cont'd)							
3 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	23.000	57.50	05/22/20	51.87	...	5.63	1 of 3 - Sale ²⁵
	67.000	167.49	05/22/20	151.09	...	16.40	2 of 3 - Sale ²⁵
	110.000	274.99	05/22/20	248.05	...	26.94	3 of 3 - Sale ²⁵
05/26/20	200.000	499.98	Various	451.01	...	48.97	Total of 3 transactions
	Security total:	2,192.25		2,074.81	...	117.44	
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
04/29/20	500.000	245.04	04/27/20	260.00	...	-14.96	Sale ²⁵
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
03/17/20	2.000	865.32	03/16/20	911.56	...	-46.24	Sale ²⁵
12/07/20	0.002	1.00	12/07/20	1.00	...	0.00	Sale ²⁵
	Security total:	866.32		912.56	...	-46.24	
THERMOGENESIS HOLDINGS, INC. C OMMON STOCK / CUSIP: 88362L100 / Symbol:							
10/16/20	300.000	794.97	10/15/20	795.00	...	-0.03	Sale ²⁵
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
05/05/20	200.000	145.98	05/04/20	149.60	...	-3.62	Sale ²⁵
TOUGHBUILT INDUSTRIES, INC. CO MMON STOCK / CUSIP: 89157G504 / Symbol:							
13 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	4.000	5.54	05/01/20	5.06	...	0.48	1 of 13 - Sale ²⁵
	5.000	6.92	05/01/20	6.33	...	0.59	2 of 13 - Sale ²⁵
	10.000	13.84	05/01/20	12.65	...	1.19	3 of 13 - Sale ²⁵
	51.000	70.63	05/01/20	64.52	...	6.11	4 of 13 - Sale ²⁵
	10.000	13.84	05/04/20	11.89	...	1.95	5 of 13 - Sale ²⁵
	28.000	38.76	05/04/20	33.88	...	4.88	6 of 13 - Sale ²⁵
	100.000	138.41	05/04/20	121.00	...	17.41	7 of 13 - Sale ²⁵
	90.000	124.57	05/04/20	107.06	...	17.51	8 of 13 - Sale ²⁵
	100.000	138.41	05/04/20	120.00	...	18.41	9 of 13 - Sale ²⁵
	100.000	138.42	05/04/20	120.00	...	18.42	10 of 13 - Sale ²⁵
	200.000	276.83	05/04/20	239.76	...	37.07	11 of 13 - Sale ²⁵
	52.000	71.97	05/05/20	63.43	...	8.54	12 of 13 - Sale ²⁵

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Robinhood Securities LLC

Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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TOUGHBUILT INDUSTRIES, INC. CO MMON STOCK / CUSIP: 89157G504 / Symbol: (cont'd)							
	50.000	69.21	05/05/20	60.44	...	8.77	13 of 13 - Sale ²⁵
05/06/20	800.000	1,107.35	Various	966.02	...	141.33	Total of 13 transactions
UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol:							
03/23/20	10.000	216.50	03/16/20	192.98	...	23.52	Sale ²⁵
UNDER ARMOUR, INC. / CUSIP: 904311107 / Symbol:							
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	100.000	1,124.97	06/04/20	1,039.50	...	85.47	1 of 2 - Sale ²⁵
	100.000	1,124.96	06/04/20	1,036.50	...	88.46	2 of 2 - Sale ²⁵
06/05/20	200.000	2,249.93	Various	2,076.00	...	173.93	Total of 2 transactions
UNITED STATES OIL FUND, LP / CUSIP: 91232N108 / Symbol:							
03/26/20	150.000	730.67	03/26/20	727.49	...	3.18	Sale ²⁵
4 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	50.000	208.50	03/26/20	242.49	33.99 W	0.00	1 of 4 - Sale ²⁵
	100.000	416.98	03/26/20	484.99	68.01 W	0.00	2 of 4 - Sale ²⁵
	50.000	208.49	03/26/20	268.49	60.00 W	0.00	3 of 4 - Sale ²⁵
	100.000	416.97	03/26/20	468.99	52.02 W	0.00	4 of 4 - Sale ²⁵
03/30/20	300.000	1,250.94	Various	1,464.96	214.02 W	0.00	Total of 4 transactions
7 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	100.000	476.01	04/09/20	568.87	...	-92.86	1 of 7 - Sale ²⁵
	50.000	238.00	04/09/20	310.43	...	-72.43	2 of 7 - Sale ²⁵
	50.000	238.00	04/09/20	276.44	...	-38.44	3 of 7 - Sale ²⁵
	50.000	238.00	04/13/20	272.26	...	-34.26	4 of 7 - Sale ²⁵
	150.000	714.01	04/13/20	738.75	...	-24.74	5 of 7 - Sale ²⁵
	100.000	476.01	04/13/20	493.80	...	-17.79	6 of 7 - Sale ²⁵
	18.000	85.69	04/13/20	88.83	...	-3.14	7 of 7 - Sale ²⁵
04/14/20	518.000	2,465.72	Various	2,749.38	...	-283.66	Total of 7 transactions
	Security total:	4,447.33		4,941.83	214.02 W	-280.48	

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(continued)

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URBAN ONE, INC. CLASS D COMMON STOCK / CUSIP: 91705J204 / Symbol:							
5 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	100.000	362.99	06/18/20	349.00	...	13.99	1 of 5 - Sale ²⁵
	100.000	362.99	06/18/20	348.50	...	14.49	2 of 5 - Sale ²⁵
	200.000	725.97	06/18/20	697.00	...	28.97	3 of 5 - Sale ²⁵
	200.000	725.98	06/18/20	697.00	...	28.98	4 of 5 - Sale ²⁵
	400.000	1,451.96	06/18/20	1,394.00	...	57.96	5 of 5 - Sale ²⁵
06/18/20	1,000.000	3,629.89	Various	3,485.50	...	144.39	Total of 5 transactions
VERASTEM, INC. COMMON STOCK / CUSIP: 92337C104 / Symbol:							
9 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	4.000	7.68	04/29/20	7.45	...	0.23	1 of 9 - Sale ²⁵
	56.000	107.52	04/29/20	104.40	...	3.12	2 of 9 - Sale ²⁵
	35.000	67.20	04/29/20	63.85	...	3.35	3 of 9 - Sale ²⁵
	144.000	276.47	04/29/20	270.14	...	6.33	4 of 9 - Sale ²⁵
	145.000	278.39	04/29/20	272.01	...	6.38	5 of 9 - Sale ²⁵
	161.000	309.11	04/29/20	300.26	...	8.85	6 of 9 - Sale ²⁵
	675.000	1,258.16	04/29/20	1,241.93	...	16.23	7 of 9 - Sale ²⁵
	155.000	297.59	04/29/20	281.18	...	16.41	8 of 9 - Sale ²⁵
	300.000	575.97	04/29/20	540.00	...	35.97	9 of 9 - Sale ²⁵
04/30/20	1,675.000	3,178.09	Various	3,081.22	...	96.87	Total of 9 transactions
2 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	450.000	838.77	04/28/20	854.96	16.19 W	0.00	1 of 2 - Sale ²⁵
	250.000	465.99	04/28/20	472.05	6.06 W	0.00	2 of 2 - Sale ²⁵
04/30/20	700.000	1,304.76	Various	1,327.01	22.25 W	0.00	Total of 2 transactions
9 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	15.000	27.30	04/30/20	28.65	1.35 W	0.00	1 of 9 - Sale ²⁵
	285.000	518.68	04/30/20	535.80	17.12 W	0.00	2 of 9 - Sale ²⁵
	183.000	333.05	04/30/20	344.04	10.99 W	0.00	3 of 9 - Sale ²⁵
	407.000	740.71	04/30/20	765.16	24.45 W	0.00	4 of 9 - Sale ²⁵
	15.000	26.10	05/01/20	28.05	1.95 W	0.00	5 of 9 - Sale ²⁵
	183.000	318.45	05/01/20	336.71	18.26 W	0.00	6 of 9 - Sale ²⁵
	285.000	495.94	05/01/20	524.39	28.45 W	0.00	7 of 9 - Sale ²⁵
	407.000	708.24	05/01/20	748.87	40.63 W	0.00	8 of 9 - Sale ²⁵

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(continued)

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VERASTEM, INC. COMMON STOCK / CUSIP: 92337C104 / Symbol: (cont'd)							
05/01/20	610.000	1,061.48	05/01/20	1,085.74	24.26 W	0.00	9 of 9 - Sale ²⁵
	2,390.000	4,229.95	Various	4,397.41	167.46 W	0.00	Total of 9 transactions
	4 transactions for 05/04/20. Total proceeds and cost reported to the IRS.						
	8.000	16.08	05/01/20	15.24	...	0.84	1 of 4 - Sale ²⁵
	7.000	14.07	05/01/20	12.80	...	1.27	2 of 4 - Sale ²⁵
	109.000	219.07	05/01/20	195.98	...	23.09	3 of 4 - Sale ²⁵
	1,080.000	2,170.62	05/01/20	1,922.29	...	248.33	4 of 4 - Sale ²⁵
05/04/20	1,204.000	2,419.84	Various	2,146.31	...	273.53	Total of 4 transactions
05/07/20	80.000	148.79	05/06/20	156.79	8.00 W	0.00	Sale ²⁵
	6 transactions for 05/08/20. Total proceeds and cost reported to the IRS.						
	4.000	7.78	05/07/20	7.75	...	0.03	1 of 6 - Sale ²⁵
	21.000	40.84	05/07/20	40.71	...	0.13	2 of 6 - Sale ²⁵
	325.000	630.51	05/07/20	630.02	...	0.49	3 of 6 - Sale ²⁵
	79.000	153.65	05/07/20	153.14	...	0.51	4 of 6 - Sale ²⁵
	96.000	186.71	05/07/20	186.10	...	0.61	5 of 6 - Sale ²⁵
	100.000	194.49	05/07/20	193.85	...	0.64	6 of 6 - Sale ²⁵
05/08/20	625.000	1,213.98	Various	1,211.57	...	2.41	Total of 6 transactions
	4 transactions for 05/13/20. Total proceeds and cost reported to the IRS.						
	359.000	621.02	05/12/20	681.55	...	-60.53	1 of 4 - Sale ²⁵
	61.000	105.52	05/12/20	119.47	...	-13.95	2 of 4 - Sale ²⁵
	40.000	69.19	05/12/20	78.35	...	-9.16	3 of 4 - Sale ²⁵
	40.000	69.20	05/12/20	78.35	...	-9.15	4 of 4 - Sale ²⁵
05/13/20	500.000	864.93	Various	957.72	...	-92.79	Total of 4 transactions
05/14/20	150.000	255.18	05/12/20	284.77	...	-29.59	Sale ²⁵
	2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.						
	794.000	1,382.46	05/12/20	1,475.80	...	-93.34	1 of 2 - Sale ²⁵
	101.000	175.85	05/12/20	191.75	...	-15.90	2 of 2 - Sale ²⁵
05/15/20	895.000	1,558.31	Various	1,667.55	...	-109.24	Total of 2 transactions
	5 transactions for 06/15/20. Total proceeds and cost reported to the IRS.						
	100.000	176.11	06/15/20	172.00	...	4.11	1 of 5 - Sale ²⁵
	100.000	176.11	06/15/20	172.00	...	4.11	2 of 5 - Sale ²⁵
	100.000	176.12	06/15/20	172.00	...	4.12	3 of 5 - Sale ²⁵
	300.000	528.34	06/15/20	516.00	...	12.34	4 of 5 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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VERASTEM, INC. COMMON STOCK / CUSIP: 92337C104 / Symbol: (cont'd)							
	400.000	704.46	06/15/20	688.00	...	16.46	5 of 5 - Sale ²⁵
06/15/20	1,000.000	1,761.14	Various	1,720.00	...	41.14	Total of 5 transactions
	5 transactions for 06/17/20. Total proceeds and cost reported to the IRS.						
	1.000	1.72	06/17/20	1.72	...	0.00	1 of 5 - Sale ²⁵
	100.000	172.11	06/17/20	171.00	...	1.11	2 of 5 - Sale ²⁵
	300.000	516.34	06/17/20	513.00	...	3.34	3 of 5 - Sale ²⁵
	300.000	516.34	06/17/20	513.00	...	3.34	4 of 5 - Sale ²⁵
	300.000	516.35	06/17/20	513.00	...	3.35	5 of 5 - Sale ²⁵
06/17/20	1,001.000	1,722.86	Various	1,711.72	...	11.14	Total of 5 transactions
	Security total:	18,657.83		18,662.07	197.71 W	193.47	
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
03/23/20	20.000	250.20	03/16/20	249.59	...	0.61	Sale ²⁵
04/07/20	30.000	478.50	03/30/20	441.00	...	37.50	Sale ²⁵
	Security total:	728.70		690.59	...	38.11	
XPRESSPA GROUP, INC. COMMON STOCK / CUSIP: 98420U604 / Symbol:							
	3 transactions for 04/30/20. Total proceeds and cost reported to the IRS.						
	172.000	83.30	04/28/20	92.88	...	-9.58	1 of 3 - Sale ²⁵
	76.000	36.80	04/28/20	40.28	...	-3.48	2 of 3 - Sale ²⁵
	252.000	122.04	04/29/20	126.00	...	-3.96	3 of 3 - Sale ²⁵
04/30/20	500.000	242.14	Various	259.16	...	-17.02	Total of 3 transactions
CHINA SXT PHARMACEUTICALS, INC. ORDINARY SHARES / CUSIP: G2161P108 / Symbol:							
	16 transactions for 06/18/20. Total proceeds and cost reported to the IRS.						
	100.000	54.99	06/18/20	54.14	...	0.85	1 of 16 - Sale ²⁵
	100.000	54.99	06/18/20	54.14	...	0.85	2 of 16 - Sale ²⁵
	100.000	54.99	06/18/20	54.14	...	0.85	3 of 16 - Sale ²⁵
	100.000	54.99	06/18/20	54.14	...	0.85	4 of 16 - Sale ²⁵
	300.000	164.96	06/18/20	162.42	...	2.54	5 of 16 - Sale ²⁵
	400.000	219.95	06/18/20	216.56	...	3.39	6 of 16 - Sale ²⁵
	400.000	219.95	06/18/20	216.56	...	3.39	7 of 16 - Sale ²⁵
	400.000	219.95	06/18/20	216.56	...	3.39	8 of 16 - Sale ²⁵
	400.000	219.95	06/18/20	216.56	...	3.39	9 of 16 - Sale ²⁵

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(continued)

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CHINA SXT PHARMACEUTICALS, INC . ORDINARY SHARES / CUSIP: G2161P108 / Symbol: (cont'd)							
	400.000	219.95	06/18/20	216.56	...	3.39	10 of 16 - Sale ²⁵
	400.000	219.95	06/18/20	216.56	...	3.39	11 of 16 - Sale ²⁵
	700.000	384.92	06/18/20	378.98	...	5.94	12 of 16 - Sale ²⁵
	700.000	384.92	06/18/20	378.98	...	5.94	13 of 16 - Sale ²⁵
	1,300.000	714.83	06/18/20	703.82	...	11.01	14 of 16 - Sale ²⁵
	1,600.000	879.79	06/18/20	866.24	...	13.55	15 of 16 - Sale ²⁵
	2,600.000	1,429.65	06/18/20	1,407.64	...	22.01	16 of 16 - Sale ²⁵
06/18/20	10,000.000	5,498.73	Various	5,414.00	...	84.73	Total of 16 transactions
GOLDEN BULL LIMITED ORDINARY S HARES / CUSIP: G4027R104 / Symbol:							
05/07/20	75.000	109.12	05/04/20	110.99	...	-1.87	Sale ²⁵
HAPPINESS BIOTECH GROUP LIMITE D ORDINARY SHARES / CUSIP: G4289N114 / Symbol:							
04/28/20	20.000	58.00	04/27/20	57.60	...	0.40	Sale ²⁵
04/28/20	120.000	347.99	04/27/20	350.40	2.41 W	0.00	Sale ²⁵
04/29/20	100.000	288.99	04/27/20	290.01	1.02 W	0.00	Sale ²⁵
3 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	20.000	58.00	04/30/20	58.00	...	0.00	1 of 3 - Sale ²⁵
	100.000	289.99	04/30/20	289.01	...	0.98	2 of 3 - Sale ²⁵
	200.000	579.95	04/30/20	575.98	...	3.97	3 of 3 - Sale ²⁵
05/01/20	320.000	927.94	Various	922.99	...	4.95	Total of 3 transactions
	Security total:	1,622.92		1,621.00	3.43 W	5.35	
MMTEC, INC. COMMON SHARES / CUSIP: G6181K106 / Symbol:							
75 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1.000	2.99	06/10/20	2.99	...	0.00	1 of 75 - Sale ²⁵
	200.000	572.00	06/10/20	572.00	...	0.00	2 of 75 - Sale ²⁵
	98.000	280.28	06/10/20	280.28	...	0.00	3 of 75 - Sale ²⁵
	1.000	2.99	06/10/20	2.97	...	0.02	4 of 75 - Sale ²⁵
	1,203.000	3,440.60	06/10/20	3,440.58	...	0.02	5 of 75 - Sale ²⁵
	1.000	2.99	06/10/20	2.96	...	0.03	6 of 75 - Sale ²⁵
	1.000	2.93	06/10/20	2.90	...	0.03	7 of 75 - Sale ²⁵
	2.000	5.98	06/10/20	5.92	...	0.06	8 of 75 - Sale ²⁵
	1.000	2.93	06/10/20	2.85	...	0.08	9 of 75 - Sale ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MMTEC, INC. COMMON SHARES / CUSIP: G6181K106 / Symbol: (cont'd)							
	3.000	8.97	06/10/20	8.88	...	0.09	10 of 75 - Sale ²⁵
	1.000	2.99	06/10/20	2.90	...	0.09	11 of 75 - Sale ²⁵
	5.000	14.95	06/10/20	14.80	...	0.15	12 of 75 - Sale ²⁵
	8.000	23.92	06/10/20	23.76	...	0.16	13 of 75 - Sale ²⁵
	14.000	41.86	06/10/20	41.59	...	0.27	14 of 75 - Sale ²⁵
	10.000	29.30	06/10/20	29.00	...	0.30	15 of 75 - Sale ²⁵
	11.000	32.56	06/10/20	32.23	...	0.33	16 of 75 - Sale ²⁵
	19.000	56.81	06/10/20	56.45	...	0.36	17 of 75 - Sale ²⁵
	19.000	56.81	06/10/20	56.45	...	0.36	18 of 75 - Sale ²⁵
	42.000	124.31	06/10/20	123.92	...	0.39	19 of 75 - Sale ²⁵
	20.000	59.80	06/10/20	59.41	...	0.39	20 of 75 - Sale ²⁵
	45.000	133.19	06/10/20	132.78	...	0.41	21 of 75 - Sale ²⁵
	49.000	145.03	06/10/20	144.60	...	0.43	22 of 75 - Sale ²⁵
	25.000	74.74	06/10/20	74.27	...	0.47	23 of 75 - Sale ²⁵
	54.000	159.83	06/10/20	159.32	...	0.51	24 of 75 - Sale ²⁵
	58.000	171.67	06/10/20	171.12	...	0.55	25 of 75 - Sale ²⁵
	13.000	36.79	06/10/20	36.14	...	0.65	26 of 75 - Sale ²⁵
	25.000	73.24	06/10/20	72.50	...	0.74	27 of 75 - Sale ²⁵
	25.000	73.25	06/10/20	72.50	...	0.75	28 of 75 - Sale ²⁵
	43.000	127.27	06/10/20	126.43	...	0.84	29 of 75 - Sale ²⁵
	45.000	134.54	06/10/20	133.70	...	0.84	30 of 75 - Sale ²⁵
	45.000	134.54	06/10/20	133.69	...	0.85	31 of 75 - Sale ²⁵
	92.000	272.31	06/10/20	271.43	...	0.88	32 of 75 - Sale ²⁵
	55.000	164.44	06/10/20	163.41	...	1.03	33 of 75 - Sale ²⁵
	55.000	164.44	06/10/20	163.41	...	1.03	34 of 75 - Sale ²⁵
	36.000	105.48	06/10/20	104.40	...	1.08	35 of 75 - Sale ²⁵
	67.000	200.32	06/10/20	199.07	...	1.25	36 of 75 - Sale ²⁵
	50.000	146.50	06/10/20	145.01	...	1.49	37 of 75 - Sale ²⁵
	50.000	146.50	06/10/20	145.00	...	1.50	38 of 75 - Sale ²⁵
	81.000	242.17	06/10/20	240.63	...	1.54	39 of 75 - Sale ²⁵
	91.000	272.08	06/10/20	270.35	...	1.73	40 of 75 - Sale ²⁵
	99.000	295.99	06/10/20	294.13	...	1.86	41 of 75 - Sale ²⁵
	64.000	187.51	06/10/20	185.61	...	1.90	42 of 75 - Sale ²⁵
	100.000	282.98	06/10/20	281.04	...	1.94	43 of 75 - Sale ²⁵

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Robinhood Securities LLC

Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MMTEC, INC. COMMON SHARES / CUSIP: G6181K106 / Symbol: (cont'd)							
	100.000	282.98	06/10/20	281.04	...	1.94	44 of 75 - Sale ²⁵
	100.000	295.98	06/10/20	294.02	...	1.96	45 of 75 - Sale ²⁵
	109.000	325.90	06/10/20	323.83	...	2.07	46 of 75 - Sale ²⁵
	25.000	74.75	06/10/20	72.50	...	2.25	47 of 75 - Sale ²⁵
	34.000	99.61	06/10/20	96.90	...	2.71	48 of 75 - Sale ²⁵
	31.000	92.69	06/10/20	89.90	...	2.79	49 of 75 - Sale ²⁵
	99.000	290.06	06/10/20	287.10	...	2.96	50 of 75 - Sale ²⁵
	100.000	292.98	06/10/20	290.01	...	2.97	51 of 75 - Sale ²⁵
	100.000	292.99	06/10/20	290.01	...	2.98	52 of 75 - Sale ²⁵
	100.000	292.99	06/10/20	290.01	...	2.98	53 of 75 - Sale ²⁵
	50.000	146.49	06/10/20	142.50	...	3.99	54 of 75 - Sale ²⁵
	141.000	421.56	06/10/20	417.48	...	4.08	55 of 75 - Sale ²⁵
	165.000	483.42	06/10/20	478.52	...	4.90	56 of 75 - Sale ²⁵
	100.000	282.99	06/10/20	278.03	...	4.96	57 of 75 - Sale ²⁵
	73.000	216.07	06/10/20	210.97	...	5.10	58 of 75 - Sale ²⁵
	175.000	512.72	06/10/20	507.52	...	5.20	59 of 75 - Sale ²⁵
	64.000	191.34	06/10/20	185.60	...	5.74	60 of 75 - Sale ²⁵
	70.000	209.29	06/10/20	203.00	...	6.29	61 of 75 - Sale ²⁵
	100.000	295.99	06/10/20	289.00	...	6.99	62 of 75 - Sale ²⁵
	106.000	313.74	06/10/20	306.34	...	7.40	63 of 75 - Sale ²⁵
	112.000	331.51	06/10/20	323.68	...	7.83	64 of 75 - Sale ²⁵
	200.000	591.97	06/10/20	582.00	...	9.97	65 of 75 - Sale ²⁵
	130.000	388.67	06/10/20	377.00	...	11.67	66 of 75 - Sale ²⁵
	200.000	591.96	06/10/20	578.00	...	13.96	67 of 75 - Sale ²⁵
	88.000	249.02	06/10/20	234.96	...	14.06	68 of 75 - Sale ²⁵
	200.000	585.96	06/10/20	570.00	...	15.96	69 of 75 - Sale ²⁵
	200.000	597.96	06/10/20	580.00	...	17.96	70 of 75 - Sale ²⁵
	200.000	597.96	06/10/20	580.00	...	17.96	71 of 75 - Sale ²⁵
	715.000	2,094.82	06/10/20	2,037.75	...	57.07	72 of 75 - Sale ²⁵
	599.000	1,695.07	06/10/20	1,629.38	...	65.69	73 of 75 - Sale ²⁵
	1,000.000	2,989.81	06/10/20	2,900.00	...	89.81	74 of 75 - Sale ²⁵
	1,374.000	4,108.00	06/10/20	3,984.60	...	123.40	75 of 75 - Sale ²⁵
06/10/20	9,787.000	28,748.03	Various	28,195.03	...	553.00	Total of 75 transactions

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Robinhood Securities LLC

Account 821978145

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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MMTEC, INC. COMMON SHARES / CUSIP: G6181K106 / Symbol: (cont'd)							
<i>106 transactions for 06/10/20. Total proceeds and cost reported to the IRS.</i>							
300.000		899.94	06/10/20	938.97	39.03 W	0.00	1 of 106 - Sale ²⁵
300.000		899.94	06/10/20	938.97	39.03 W	0.00	2 of 106 - Sale ²⁵
300.000		899.94	06/10/20	938.97	39.03 W	0.00	3 of 106 - Sale ²⁵
100.000		299.99	06/10/20	312.99	13.00 W	0.00	4 of 106 - Sale ²⁵
100.000		295.99	06/10/20	311.01	15.02 W	0.00	5 of 106 - Sale ²⁵
55.000		162.79	06/10/20	171.06	8.27 W	0.00	6 of 106 - Sale ²⁵
45.000		133.19	06/10/20	139.95	6.76 W	0.00	7 of 106 - Sale ²⁵
100.000		295.98	06/10/20	311.01	15.03 W	0.00	8 of 106 - Sale ²⁵
55.000		162.79	06/10/20	171.06	8.27 W	0.00	9 of 106 - Sale ²⁵
100.000		295.98	06/10/20	311.01	15.03 W	0.00	10 of 106 - Sale ²⁵
245.000		725.15	06/10/20	761.97	36.82 W	0.00	11 of 106 - Sale ²⁵
100.000		295.98	06/10/20	311.00	15.02 W	0.00	12 of 106 - Sale ²⁵
200.000		591.96	06/10/20	622.02	30.06 W	0.00	13 of 106 - Sale ²⁵
45.000		133.19	06/10/20	140.86	7.67 W	0.00	14 of 106 - Sale ²⁵
55.000		162.79	06/10/20	172.17	9.38 W	0.00	15 of 106 - Sale ²⁵
55.000		162.79	06/10/20	172.17	9.38 W	0.00	16 of 106 - Sale ²⁵
100.000		295.98	06/10/20	313.02	17.04 W	0.00	17 of 106 - Sale ²⁵
100.000		295.98	06/10/20	313.03	17.05 W	0.00	18 of 106 - Sale ²⁵
257.000		760.68	06/10/20	765.86	5.18 W	0.00	19 of 106 - Sale ²⁵
100.000		295.98	06/10/20	299.00	3.02 W	0.00	20 of 106 - Sale ²⁵
43.000		127.27	06/10/20	128.57	1.30 W	0.00	21 of 106 - Sale ²⁵
45.000		133.20	06/10/20	141.31	8.11 W	0.00	22 of 106 - Sale ²⁵
54.000		159.83	06/10/20	169.58	9.75 W	0.00	23 of 106 - Sale ²⁵
141.000		417.33	06/10/20	442.78	25.45 W	0.00	24 of 106 - Sale ²⁵
1.000		2.96	06/10/20	3.14	0.18 W	0.00	25 of 106 - Sale ²⁵
5.000		14.80	06/10/20	15.70	0.90 W	0.00	26 of 106 - Sale ²⁵
8.000		23.68	06/10/20	25.20	1.52 W	0.00	27 of 106 - Sale ²⁵
45.000		133.19	06/10/20	141.76	8.57 W	0.00	28 of 106 - Sale ²⁵
45.000		133.19	06/10/20	142.67	9.48 W	0.00	29 of 106 - Sale ²⁵
54.000		159.83	06/10/20	163.09	3.26 W	0.00	30 of 106 - Sale ²⁵
55.000		162.79	06/10/20	174.38	11.59 W	0.00	31 of 106 - Sale ²⁵
55.000		162.79	06/10/20	174.38	11.59 W	0.00	32 of 106 - Sale ²⁵
46.000		136.15	06/10/20	144.92	8.77 W	0.00	33 of 106 - Sale ²⁵

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Robinhood Securities LLC

Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MMTEC, INC. COMMON SHARES / CUSIP: G6181K106 / Symbol: (cont'd)							
	100.000	295.98	06/10/20	315.02	19.04 W	0.00	34 of 106 - Sale ²⁵
	100.000	295.98	06/10/20	317.04	21.06 W	0.00	35 of 106 - Sale ²⁵
	100.000	295.98	06/10/20	317.05	21.07 W	0.00	36 of 106 - Sale ²⁵
	200.000	591.96	06/10/20	630.06	38.10 W	0.00	37 of 106 - Sale ²⁵
	92.000	272.30	06/10/20	277.85	5.55 W	0.00	38 of 106 - Sale ²⁵
	100.000	295.98	06/10/20	302.02	6.04 W	0.00	39 of 106 - Sale ²⁵
	1.000	2.96	06/10/20	3.09	0.13 W	0.00	40 of 106 - Sale ²⁵
	5.000	14.80	06/10/20	15.45	0.65 W	0.00	41 of 106 - Sale ²⁵
	8.000	23.68	06/10/20	24.80	1.12 W	0.00	42 of 106 - Sale ²⁵
	45.000	133.19	06/10/20	139.06	5.87 W	0.00	43 of 106 - Sale ²⁵
	45.000	133.19	06/10/20	139.52	6.33 W	0.00	44 of 106 - Sale ²⁵
	54.000	159.83	06/10/20	166.89	7.06 W	0.00	45 of 106 - Sale ²⁵
	141.000	417.34	06/10/20	435.76	18.42 W	0.00	46 of 106 - Sale ²⁵
	20.000	59.20	06/10/20	62.01	2.81 W	0.00	47 of 106 - Sale ²⁵
	1.000	2.96	06/10/20	3.12	0.16 W	0.00	48 of 106 - Sale ²⁵
	26.000	76.96	06/10/20	80.62	3.66 W	0.00	49 of 106 - Sale ²⁵
	100.000	295.98	06/10/20	310.04	14.06 W	0.00	50 of 106 - Sale ²⁵
	200.000	591.96	06/10/20	620.10	28.14 W	0.00	51 of 106 - Sale ²⁵
	45.000	133.19	06/10/20	139.53	6.34 W	0.00	52 of 106 - Sale ²⁵
	55.000	162.79	06/10/20	170.54	7.75 W	0.00	53 of 106 - Sale ²⁵
	86.000	254.54	06/10/20	266.66	12.12 W	0.00	54 of 106 - Sale ²⁵
	99.000	293.02	06/10/20	306.96	13.94 W	0.00	55 of 106 - Sale ²⁵
	14.000	41.44	06/10/20	43.41	1.97 W	0.00	56 of 106 - Sale ²⁵
	55.000	162.79	06/10/20	170.54	7.75 W	0.00	57 of 106 - Sale ²⁵
	45.000	133.19	06/10/20	135.92	2.73 W	0.00	58 of 106 - Sale ²⁵
	49.000	145.03	06/10/20	148.02	2.99 W	0.00	59 of 106 - Sale ²⁵
	1.000	2.96	06/10/20	3.02	0.06 W	0.00	60 of 106 - Sale ²⁵
	1.000	2.96	06/10/20	3.05	0.09 W	0.00	61 of 106 - Sale ²⁵
	5.000	14.80	06/10/20	15.10	0.30 W	0.00	62 of 106 - Sale ²⁵
	5.000	14.80	06/10/20	15.10	0.30 W	0.00	63 of 106 - Sale ²⁵
	8.000	23.68	06/10/20	24.24	0.56 W	0.00	64 of 106 - Sale ²⁵
	14.000	41.44	06/10/20	42.43	0.99 W	0.00	65 of 106 - Sale ²⁵
	20.000	59.20	06/10/20	60.61	1.41 W	0.00	66 of 106 - Sale ²⁵
	26.000	76.96	06/10/20	78.80	1.84 W	0.00	67 of 106 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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MMTEC, INC. COMMON SHARES / CUSIP: G6181K106 / Symbol: (cont'd)							
	45.000	133.19	06/10/20	136.38	3.19 W	0.00	68 of 106 - Sale ²⁵
	45.000	133.19	06/10/20	136.39	3.20 W	0.00	69 of 106 - Sale ²⁵
	55.000	162.79	06/10/20	166.70	3.91 W	0.00	70 of 106 - Sale ²⁵
	55.000	162.79	06/10/20	166.70	3.91 W	0.00	71 of 106 - Sale ²⁵
	86.000	254.54	06/10/20	260.66	6.12 W	0.00	72 of 106 - Sale ²⁵
	99.000	293.02	06/10/20	300.05	7.03 W	0.00	73 of 106 - Sale ²⁵
	100.000	295.98	06/10/20	303.06	7.08 W	0.00	74 of 106 - Sale ²⁵
	141.000	417.33	06/10/20	425.91	8.58 W	0.00	75 of 106 - Sale ²⁵
	200.000	591.96	06/10/20	606.14	14.18 W	0.00	76 of 106 - Sale ²⁵
	500.000	1,449.96	06/10/20	1,450.00	0.04 W	0.00	77 of 106 - Sale ²⁵
	101.000	292.89	06/10/20	292.90	0.01 W	0.00	78 of 106 - Sale ²⁵
	400.000	1,159.96	06/10/20	1,160.00	0.04 W	0.00	79 of 106 - Sale ²⁵
	100.000	279.98	06/10/20	290.00	10.02 W	0.00	80 of 106 - Sale ²⁵
	100.000	279.98	06/10/20	290.00	10.02 W	0.00	81 of 106 - Sale ²⁵
	100.000	279.98	06/10/20	289.00	9.02 W	0.00	82 of 106 - Sale ²⁵
	100.000	279.98	06/10/20	289.00	9.02 W	0.00	83 of 106 - Sale ²⁵
	50.000	139.99	06/10/20	144.50	4.51 W	0.00	84 of 106 - Sale ²⁵
	50.000	139.99	06/10/20	144.50	4.51 W	0.00	85 of 106 - Sale ²⁵
	199.000	557.17	06/10/20	564.68	7.51 W	0.00	86 of 106 - Sale ²⁵
	99.000	277.18	06/10/20	280.17	2.99 W	0.00	87 of 106 - Sale ²⁵
	201.000	562.76	06/10/20	570.38	7.62 W	0.00	88 of 106 - Sale ²⁵
	1.000	2.80	06/10/20	2.83	0.03 W	0.00	89 of 106 - Sale ²⁵
	39.000	109.19	06/10/20	111.15	1.96 W	0.00	90 of 106 - Sale ²⁵
	761.000	2,130.67	06/10/20	2,168.85	38.18 W	0.00	91 of 106 - Sale ²⁵
	100.000	280.98	06/10/20	295.02	14.04 W	0.00	92 of 106 - Sale ²⁵
	100.000	280.98	06/10/20	295.02	14.04 W	0.00	93 of 106 - Sale ²⁵
	100.000	282.99	06/10/20	294.02	11.03 W	0.00	94 of 106 - Sale ²⁵
	13.000	36.79	06/10/20	38.22	1.43 W	0.00	95 of 106 - Sale ²⁵
	50.000	141.49	06/10/20	147.01	5.52 W	0.00	96 of 106 - Sale ²⁵
	50.000	141.49	06/10/20	147.01	5.52 W	0.00	97 of 106 - Sale ²⁵
	69.000	195.26	06/10/20	199.27	4.01 W	0.00	98 of 106 - Sale ²⁵
	99.000	280.15	06/10/20	285.14	4.99 W	0.00	99 of 106 - Sale ²⁵
	87.000	246.19	06/10/20	255.80	9.61 W	0.00	100 of 106 - Sale ²⁵
	75.000	212.24	06/10/20	216.59	4.35 W	0.00	101 of 106 - Sale ²⁵

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(continued)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MMTEC, INC. COMMON SHARES / CUSIP: G6181K106 / Symbol: (cont'd)							
	1.000	2.83	06/10/20	2.88	0.05 W	0.00	102 of 106 - Sale ²⁵
	39.000	110.36	06/10/20	113.11	2.75 W	0.00	103 of 106 - Sale ²⁵
	57.000	161.30	06/10/20	164.61	3.31 W	0.00	104 of 106 - Sale ²⁵
	162.000	458.43	06/10/20	469.83	11.40 W	0.00	105 of 106 - Sale ²⁵
	199.000	563.13	06/10/20	574.66	11.53 W	0.00	106 of 106 - Sale ²⁵
06/10/20	10,003.000	29,138.92	Various	30,115.15	976.23 W	0.00	Total of 106 transactions
	19 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	13.000	31.61	06/11/20	31.60	...	0.01	1 of 19 - Sale ²⁵
	37.000	89.97	06/11/20	89.92	...	0.05	2 of 19 - Sale ²⁵
	1.000	2.43	06/11/20	2.38	...	0.05	3 of 19 - Sale ²⁵
	50.000	121.58	06/11/20	121.52	...	0.06	4 of 19 - Sale ²⁵
	87.000	211.55	06/11/20	211.45	...	0.10	5 of 19 - Sale ²⁵
	13.000	31.61	06/11/20	30.82	...	0.79	6 of 19 - Sale ²⁵
	17.000	41.34	06/11/20	40.43	...	0.91	7 of 19 - Sale ²⁵
	23.000	55.93	06/11/20	54.92	...	1.01	8 of 19 - Sale ²⁵
	11.000	26.75	06/11/20	25.63	...	1.12	9 of 19 - Sale ²⁵
	39.000	94.83	06/11/20	93.62	...	1.21	10 of 19 - Sale ²⁵
	26.000	63.22	06/11/20	61.83	...	1.39	11 of 19 - Sale ²⁵
	57.000	138.60	06/11/20	135.55	...	3.05	12 of 19 - Sale ²⁵
	58.000	141.03	06/11/20	137.92	...	3.11	13 of 19 - Sale ²⁵
	69.000	167.78	06/11/20	164.09	...	3.69	14 of 19 - Sale ²⁵
	162.000	393.93	06/11/20	388.86	...	5.07	15 of 19 - Sale ²⁵
	86.000	209.12	06/11/20	203.85	...	5.27	16 of 19 - Sale ²⁵
	150.000	364.75	06/11/20	358.19	...	6.56	17 of 19 - Sale ²⁵
	130.000	316.11	06/11/20	302.90	...	13.21	18 of 19 - Sale ²⁵
	148.000	359.88	06/11/20	344.84	...	15.04	19 of 19 - Sale ²⁵
06/11/20	1,177.000	2,862.02	Various	2,800.32	...	61.70	Total of 19 transactions
06/18/20	1,000.000	1,709.84	06/18/20	1,719.90	...	-10.06	Sale ²⁵
	Security total:	62,458.81		62,830.40	976.23 W	604.64	
TRANSOCEAN LTD. / CUSIP: H8817H100 / Symbol:							
	23 transactions for 04/27/20. Total proceeds and cost reported to the IRS.						
	143.000	124.39	04/24/20	187.88	...	-63.49	1 of 23 - Sale ²⁵
	500.000	434.92	04/24/20	476.65	...	-41.73	2 of 23 - Sale ²⁵

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Robinhood Securities LLC

Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TRANSOCEAN LTD. / CUSIP: H8817H100 / Symbol: (cont'd)							
	83.000	72.20	04/24/20	109.05	...	-36.85	3 of 23 - Sale ²⁵
	300.000	260.95	04/24/20	290.04	...	-29.09	4 of 23 - Sale ²⁵
	150.000	130.48	04/24/20	159.54	...	-29.06	5 of 23 - Sale ²⁵
	300.000	260.95	04/24/20	286.89	...	-25.94	6 of 23 - Sale ²⁵
	221.000	192.24	04/24/20	213.65	...	-21.41	7 of 23 - Sale ²⁵
	35.000	30.45	04/24/20	50.33	...	-19.88	8 of 23 - Sale ²⁵
	43.000	37.40	04/24/20	56.04	...	-18.64	9 of 23 - Sale ²⁵
	200.000	173.97	04/24/20	191.26	...	-17.29	10 of 23 - Sale ²⁵
	34.000	29.58	04/24/20	44.31	...	-14.73	11 of 23 - Sale ²⁵
	40.000	34.79	04/24/20	47.36	...	-12.57	12 of 23 - Sale ²⁵
	121.000	105.25	04/24/20	117.04	...	-11.79	13 of 23 - Sale ²⁵
	26.000	22.62	04/24/20	33.76	...	-11.14	14 of 23 - Sale ²⁵
	18.000	15.66	04/24/20	23.44	...	-7.78	15 of 23 - Sale ²⁵
	17.000	14.79	04/24/20	22.32	...	-7.53	16 of 23 - Sale ²⁵
	17.000	14.79	04/24/20	22.31	...	-7.52	17 of 23 - Sale ²⁵
	17.000	14.79	04/24/20	22.15	...	-7.36	18 of 23 - Sale ²⁵
	14.000	12.18	04/24/20	18.24	...	-6.06	19 of 23 - Sale ²⁵
	29.000	25.23	04/24/20	30.86	...	-5.63	20 of 23 - Sale ²⁵
	5.000	4.35	04/24/20	7.19	...	-2.84	21 of 23 - Sale ²⁵
	5.000	4.35	04/24/20	6.51	...	-2.16	22 of 23 - Sale ²⁵
	3.000	2.61	04/24/20	3.91	...	-1.30	23 of 23 - Sale ²⁵
04/27/20	2,321.000	2,018.94	Various	2,420.73	...	-401.79	Total of 23 transactions
	34 transactions for 04/27/20. Total proceeds and cost reported to the IRS.						
	100.000	87.99	04/23/20	100.00	12.01 W	0.00	1 of 34 - Sale ²⁵
	60.000	52.79	04/23/20	60.00	7.21 W	0.00	2 of 34 - Sale ²⁵
	40.000	35.20	04/23/20	40.00	4.80 W	0.00	3 of 34 - Sale ²⁵
	100.000	87.99	04/23/20	101.00	13.01 W	0.00	4 of 34 - Sale ²⁵
	160.000	140.78	04/23/20	161.60	20.82 W	0.00	5 of 34 - Sale ²⁵
	40.000	34.99	04/23/20	45.20	10.21 W	0.00	6 of 34 - Sale ²⁵
	143.000	124.39	04/24/20	160.18	35.79 W	0.00	7 of 34 - Sale ²⁵
	40.000	34.79	04/24/20	39.60	4.81 W	0.00	8 of 34 - Sale ²⁵
	5.000	4.35	04/24/20	6.71	2.36 W	0.00	9 of 34 - Sale ²⁵
	35.000	30.45	04/24/20	46.96	16.51 W	0.00	10 of 34 - Sale ²⁵
	26.000	22.62	04/24/20	31.26	8.64 W	0.00	11 of 34 - Sale ²⁵

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Robinhood Securities LLC	Proceeds from Broker and Barter Exchange Transactions	Account 821978145
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TRANSOCEAN LTD. / CUSIP: H8817H100 / Symbol: (cont'd)							
	34.000	29.57	04/24/20	41.03	11.46 W	0.00	12 of 34 - Sale ²⁵
	17.000	14.79	04/24/20	20.51	5.72 W	0.00	13 of 34 - Sale ²⁵
	14.000	12.18	04/24/20	16.89	4.71 W	0.00	14 of 34 - Sale ²⁵
	43.000	37.40	04/24/20	51.89	14.49 W	0.00	15 of 34 - Sale ²⁵
	5.000	4.35	04/24/20	6.03	1.68 W	0.00	16 of 34 - Sale ²⁵
	5.000	4.36	04/24/20	6.23	1.87 W	0.00	17 of 34 - Sale ²⁵
	26.000	22.75	04/24/20	28.86	6.11 W	0.00	18 of 34 - Sale ²⁵
	18.000	15.68	04/24/20	19.98	4.30 W	0.00	19 of 34 - Sale ²⁵
	17.000	14.81	04/24/20	19.04	4.23 W	0.00	20 of 34 - Sale ²⁵
	35.000	30.48	04/24/20	43.58	13.10 W	0.00	21 of 34 - Sale ²⁵
	22.000	19.16	04/24/20	24.42	5.26 W	0.00	22 of 34 - Sale ²⁵
	34.000	29.61	04/24/20	37.75	8.14 W	0.00	23 of 34 - Sale ²⁵
	60.000	52.25	04/24/20	66.61	14.36 W	0.00	24 of 34 - Sale ²⁵
	83.000	72.20	04/24/20	92.97	20.77 W	0.00	25 of 34 - Sale ²⁵
	17.000	14.79	04/24/20	19.04	4.25 W	0.00	26 of 34 - Sale ²⁵
	17.000	14.79	04/24/20	20.67	5.88 W	0.00	27 of 34 - Sale ²⁵
	17.000	14.79	04/24/20	20.69	5.90 W	0.00	28 of 34 - Sale ²⁵
	18.000	15.66	04/24/20	21.71	6.05 W	0.00	29 of 34 - Sale ²⁵
	3.000	2.61	04/24/20	3.62	1.01 W	0.00	30 of 34 - Sale ²⁵
	83.000	72.20	04/24/20	101.06	28.86 W	0.00	31 of 34 - Sale ²⁵
	143.000	124.39	04/24/20	174.11	49.72 W	0.00	32 of 34 - Sale ²⁵
	40.000	34.79	04/24/20	43.50	8.71 W	0.00	33 of 34 - Sale ²⁵
	179.000	155.71	04/24/20	173.15	17.44 W	0.00	34 of 34 - Sale ²⁵
04/27/20	1,679.000	1,465.66	Various	1,845.85	380.19 W	0.00	Total of 34 transactions
13 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	1,100.000	824.85	10/01/20	857.09	...	-32.24	1 of 13 - Sale ²⁵
	604.000	452.93	10/01/20	470.63	...	-17.70	2 of 13 - Sale ²⁵
	100.000	74.99	10/01/20	77.92	...	-2.93	3 of 13 - Sale ²⁵
	99.000	74.24	10/01/20	77.14	...	-2.90	4 of 13 - Sale ²⁵
	55.000	41.24	10/01/20	42.86	...	-1.62	5 of 13 - Sale ²⁵
	30.000	22.50	10/01/20	23.38	...	-0.88	6 of 13 - Sale ²⁵
	10.000	7.50	10/01/20	7.79	...	-0.29	7 of 13 - Sale ²⁵
	9.000	6.75	10/01/20	7.01	...	-0.26	8 of 13 - Sale ²⁵
	5.000	3.75	10/01/20	3.90	...	-0.15	9 of 13 - Sale ²⁵

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Robinhood Securities LLC

Account 821978145

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TRANSOCEAN LTD. / CUSIP: H8817H100 / Symbol: (cont'd)							
	3.000	2.25	10/01/20	2.34	...	-0.09	10 of 13 - Sale ²⁵
	3.000	2.25	10/01/20	2.34	...	-0.09	11 of 13 - Sale ²⁵
	1.000	0.75	10/01/20	0.78	...	-0.03	12 of 13 - Sale ²⁵
	1.000	0.75	10/01/20	0.78	...	-0.03	13 of 13 - Sale ²⁵
10/02/20	2,020.000	1,514.75	Various	1,573.96	...	-59.21	Total of 13 transactions
	Security total:	4,999.35		5,840.54	380.19 W	-461.00	
AKAZOO S.A. ORDINARY SHARES / CUSIP: L0164E108 / Symbol:							
2 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	50.000	61.74	04/27/20	58.50	...	3.24	1 of 2 - Sale ²⁵
	100.000	123.49	04/27/20	117.00	...	6.49	2 of 2 - Sale ²⁵
04/28/20	150.000	185.23	Various	175.50	...	9.73	Total of 2 transactions
INTELSAT S A COMMON STOCK / CUSIP: L5140P101 / Symbol:							
05/15/20	200.000	95.98	05/14/20	99.98	...	-4.00	Sale ²⁵
GRINDROD SHIPPING HOLDINGS LTD . ORDINARY SHARES / CUSIP: Y28895103 / Symbol:							
6 transactions for 05/11/20. Total proceeds and cost reported to the IRS.							
	26.000	63.18	05/08/20	59.67	...	3.51	1 of 6 - Sale ²⁵
	160.000	374.37	05/08/20	366.40	...	7.97	2 of 6 - Sale ²⁵
	189.000	442.23	05/08/20	433.76	...	8.47	3 of 6 - Sale ²⁵
	74.000	179.81	05/08/20	167.98	...	11.83	4 of 6 - Sale ²⁵
	100.000	243.99	05/08/20	227.00	...	16.99	5 of 6 - Sale ²⁵
	326.000	824.72	05/08/20	740.02	...	84.70	6 of 6 - Sale ²⁵
05/11/20	875.000	2,128.30	Various	1,994.83	...	133.47	Total of 6 transactions
SEANERGY MARITIME HOLDINGS COR P COMMON STOCK / CUSIP: Y73760301 / Symbol:							
4 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	7,000.000	1,000.14	04/30/20	1,038.80	...	-38.66	1 of 4 - Sale ²⁵
	2,000.000	285.76	04/30/20	297.00	...	-11.24	2 of 4 - Sale ²⁵
	2,000.000	285.75	04/30/20	294.40	...	-8.65	3 of 4 - Sale ²⁵
	1,650.000	235.75	04/30/20	244.37	...	-8.62	4 of 4 - Sale ²⁵
05/01/20	12,650.000	1,807.40	Various	1,874.57	...	-67.17	Total of 4 transactions
Totals :		917,975.01		926,000.63	31,996.92 W	23,971.30	

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Robinhood Securities LLC

Account 821978145

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
INVESCO S&P 500 HIGH DIVIDEND LOW VOLATILITY ETF	46138E362		03/31/20	0.13	Qualified dividend	03
			03/31/20	0.02	Section 199A dividend	03
			03/31/20	0.01	Nonqualified dividend	03
				0.16	Total Dividends & distributions	
				0.16	Total Dividends & distributions	

Robinhood Securities LLC

Account 821978145

Detail for Interest Income

2020

02/14/2021

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		04/30/20	0.23	Interest	
		05/22/20	0.08	Interest	
		05/29/20	0.05	Interest	
		06/30/20	0.41	Interest	
		10/30/20	0.03	Interest	
			0.80	Total Interest	
			0.80	Total Interest	

Robinhood Securities LLC

Account 821978145

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		05/11/20	-5.00	Margin interest paid	
		06/08/20	-5.00	Margin interest paid	
		10/02/20	-5.00	Margin interest paid	
		12/07/20	-5.00	Margin interest paid	
			-20.00	Total Margin interest paid	
			-20.00	Total Margin interest paid	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.

 - 25 Tax lot closed on a first in first out basis.
-

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This

amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Instructions for Recipient

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

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