

 Member FINRA/SIPC PO BOX 2209 OMAHA, NE 68103-2209 Client Services: 800-669-3900	<b>Tax Information</b> <b>Account 270324970</b>	Statement Date: 01/14/2021 Document ID: W3J2 07N FM30	2020
	PHANINDRA PRASAD THOTA 1950 ELDRIDGE PKWY APT 3214 HOUSTON, TX 77077-3451		
PAYER'S TIN: 47-0533629	RECIPIENT'S TIN: XXX-XX-9951		

[ ] FATCA filing requirement (see instructions) <b>Summary Information</b>					
DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	0.00		2- Royalties	0.00	
1b- Qualified dividends	0.00		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		<b>4- Federal income tax withheld</b>	<b>0.00</b>	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2c- Collectibles (28%) gain	0.00		<b>SECTION 1256 CONTRACTS</b>	<b>2020 1099-B*</b>	OMB No. 1545-0715
3- Nondividend distributions	0.00		8- Profit or (loss) realized in 2020 on closed contracts	-285.19	
<b>4- Federal income tax withheld</b>	<b>0.00</b>		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	-285.19	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

*If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.*

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### SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	215,065.32	224,020.15	0.00	3,307.26	-5,647.57
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>215,065.32</b>	<b>224,020.15</b>	<b>0.00</b>	<b>3,307.26</b>	<b>-5,647.57</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>215,065.32</b>	<b>224,020.15</b>	<b>0.00</b>	<b>3,307.26</b>	<b>-5,647.57</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

TD Ameritrade Clearing, Inc.

Account 270324970

**Summary Information**

2020

(continued)

01/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.15
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

TD Ameritrade Clearing, Inc.

Account 270324970

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

01/14/2021

Sales transactions are grouped by their term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier. For example, proceeds and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

Long positions are reported based on the trade date and short positions are reported based on the settlement date of the trade.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
PALANTIR TECH INC COM CL A / CUSIP: 69608A108 / Symbol: PLTR							
09/30/20	100.000	1,079.47	09/30/20	1,019.00	...	60.47	Sale
APPLE INC AAPL Jun 26 2020 367.5 Call / CUSIP: / Symbol: AAPL 06/26/20 C 367.500							
06/23/20	2.000	1,232.64	06/23/20	1,231.33	...	1.31	Option sale
APPLE INC AAPL Jul 24 2020 375.0 Call / CUSIP: / Symbol: AAPL 07/24/20 C 375.000							
07/23/20	1.000	238.32	07/23/20	242.67	...	-4.35	Option sale
APPLE INC AAPL Aug 07 2020 455.0 Call / CUSIP: / Symbol: AAPL 08/07/20 C 455.000							
08/07/20	1.000	134.33	08/07/20	114.67	...	19.66	Option sale
APPLE INC AAPL Sep 11 2020 120.0 Call / CUSIP: / Symbol: AAPL 09/11/20 C 120.000							
09/08/20	5.000	536.65	09/08/20	608.33	...	-71.68	Option sale
APPLE INC AAPL Sep 18 2020 118.75 Call / CUSIP: / Symbol: AAPL 09/18/20 C 118.750							
09/15/20	2.000	390.66	09/15/20	413.33	...	-22.67	Option sale
APPLE INC AAPL Oct 09 2020 117.5 Call / CUSIP: / Symbol: AAPL 10/09/20 C 117.500							
10/01/20	2.000	440.66	09/30/20	511.33	70.67 W	0.00	Option sale
10/02/20	2.000	254.66	10/02/20	328.00	...	-73.34	Option sale
	<b>Security total:</b>	<b>695.32</b>		<b>839.33</b>	<b>70.67 W</b>	<b>-73.34</b>	

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Account 270324970

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC AAPL Oct 16 2020 117.5 Call / CUSIP: / Symbol: AAPL 10/16/20 C 117.500							
10/06/20	2.000	486.66	10/06/20	421.33	...	65.33	Option sale
APPLE INC AAPL Oct 16 2020 121.0 Call / CUSIP: / Symbol: AAPL 10/16/20 C 121.000							
10/12/20	2.000	520.66	10/12/20	483.33	...	37.33	Option sale
APPLE INC AAPL Oct 30 2020 117.0 Call / CUSIP: / Symbol: AAPL 10/30/20 C 117.000							
10/27/20	2.000	562.66	10/27/20	585.33	...	-22.67	Option sale
APPLE INC AAPL Nov 06 2020 108.0 Call / CUSIP: / Symbol: AAPL 11/06/20 C 108.000							
11/02/20	2.000	558.66	11/02/20	579.33	...	-20.67	Option sale
APPLE INC AAPL Dec 04 2020 120.0 Call / CUSIP: / Symbol: AAPL 12/04/20 C 120.000							
11/30/20	2.000	272.66	11/30/20	261.33	...	11.33	Option sale
APPLE INC AAPL Dec 04 2020 123.0 Call / CUSIP: / Symbol: AAPL 12/04/20 C 123.000							
12/01/20	3.000	396.99	12/01/20	376.99	...	20.00	Option sale
APPLE INC AAPL Dec 11 2020 125.0 Call / CUSIP: / Symbol: AAPL 12/11/20 C 125.000							
12/09/20	2.000	248.66	12/09/20	239.33	...	9.33	Option sale
ADVANCED MICRO DEVICES INC AMD Jul 10 2020 55.0 Call / CUSIP: / Symbol: AMD 07/10/20 C 55.000							
07/07/20	1.000	59.33	07/07/20	77.67	...	-18.34	Option sale
ADVANCED MICRO DEVICES INC AMD Jul 24 2020 66.0 Call / CUSIP: / Symbol: AMD 07/24/20 C 66.000							
07/24/20	2.000	318.66	07/24/20	265.33	...	53.33	Option sale
ADVANCED MICRO DEVICES INC AMD Jul 24 2020 69.0 Call / CUSIP: / Symbol: AMD 07/24/20 C 69.000							
07/24/20	2.000	186.67	07/24/20	153.33	...	33.34	Option sale
ADVANCED MICRO DEVICES INC AMD Aug 07 2020 77.0 Call / CUSIP: / Symbol: AMD 08/07/20 C 77.000							
08/04/20	1.000	349.32	08/04/20	247.67	...	101.65	Option sale
ADVANCED MICRO DEVICES INC AMD Aug 28 2020 85.0 Call / CUSIP: / Symbol: AMD 08/28/20 C 85.000							
08/20/20	2.000	250.66	08/20/20	201.33	...	49.33	Option sale

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADVANCED MICRO DEVICES INC AMD Sep 25 2020 80.0 Call / CUSIP: / Symbol: AMD 09/25/20 C 80.000							
09/14/20	2.000	488.64	Various	443.33	...	45.31	Total of 2 transactions
09/15/20	2.000	284.66	09/15/20	391.33	...	-106.67	Option sale
	<b>Security total:</b>	<b>773.30</b>		<b>834.66</b>	...	<b>-61.36</b>	
ADVANCED MICRO DEVICES INC AMD Nov 06 2020 84.0 Call / CUSIP: / Symbol: AMD 11/06/20 C 84.000							
11/06/20	10.000	803.32	11/06/20	776.64	...	26.68	Option sale
ADVANCED MICRO DEVICES INC AMD Dec 04 2020 85.0 Call / CUSIP: / Symbol: AMD 12/04/20 C 85.000							
11/24/20	2.000	388.66	11/24/20	345.33	...	43.33	Option sale
ADVANCED MICRO DEVICES INC AMD Dec 04 2020 90.0 Call / CUSIP: / Symbol: AMD 12/04/20 C 90.000							
11/30/20	2.000	330.66	11/30/20	307.33	...	23.33	Option sale
ADVANCED MICRO DEVICES INC AMD Dec 18 2020 97.0 Call / CUSIP: / Symbol: AMD 12/18/20 C 97.000							
12/02/20	2.000	758.65	12/02/20	699.33	...	59.32	Option sale
ADVANCED MICRO DEVICES INC AMD Dec 24 2020 100.0 Call / CUSIP: / Symbol: AMD 12/24/20 C 100.000							
12/15/20	2.000	439.67	Various	397.33	...	42.34	Total of 2 transactions
AMAZON COM INC AMZN Jul 31 2020 3180.0 Call / CUSIP: / Symbol: AMZN 07/31/20 C 3180.000							
07/31/20	1.000	4.33	07/31/20	218.67	...	-214.34	Option sale
AMAZON COM INC AMZN Oct 16 2020 3350.0 Call / CUSIP: / Symbol: AMZN 10/16/20 C 3350.000							
10/16/20	2.000	863.65	Various	825.33	...	38.32	Total of 2 transactions
AMAZON COM INC AMZN Nov 06 2020 3400.0 Call / CUSIP: / Symbol: AMZN 11/06/20 C 3400.000							
11/05/20	2.000	978.65	11/05/20	969.33	...	9.32	Option sale
AMAZON COM INC AMZN Dec 04 2020 3300.0 Call / CUSIP: / Symbol: AMZN 12/04/20 C 3300.000							
12/03/20	2.000	552.66	12/03/20	501.33	...	51.33	Option sale
AMAZON COM INC AMZN Dec 04 2020 3350.0 Call / CUSIP: / Symbol: AMZN 12/04/20 C 3350.000							
12/01/20	1.000	699.32	12/01/20	580.66	...	118.66	Option sale
12/03/20	1.000	175.34	12/03/20	400.66	...	-225.32	Option sale
	<b>Security total:</b>	<b>874.66</b>		<b>981.32</b>	...	<b>-106.66</b>	

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON COM INC AMZN Dec 11 2020 3130.0 Call / CUSIP: / Symbol: AMZN 12/11/20 C 3130.000							
12/11/20	3.000	1,239.00	Various	1,098.98	...	140.02	Total of 3 transactions
AMAZON COM INC AMZN Dec 11 2020 3200.0 Call / CUSIP: / Symbol: AMZN 12/11/20 C 3200.000							
12/10/20	1.000	215.34	12/10/20	200.66	...	14.68	Option sale
AMAZON COM INC AMZN Dec 11 2020 3400.0 Call / CUSIP: / Symbol: AMZN 12/11/20 C 3400.000							
12/07/20	1.000	369.33	12/07/20	387.66	...	-18.33	Option sale
AMAZON COM INC AMZN Dec 18 2020 3200.0 Call / CUSIP: / Symbol: AMZN 12/18/20 C 3200.000							
12/18/20	2.000	1,018.65	12/18/20	961.33	...	57.32	Option sale
AMAZON COM INC AMZN Dec 18 2020 3250.0 Call / CUSIP: / Symbol: AMZN 12/18/20 C 3250.000							
12/14/20	2.000	3,099.61	Various	2,906.32	...	193.29	Total of 2 transactions
12/14/20	1.000	1,329.31	12/14/20	1,430.66	101.35 W	0.00	Option sale
12/15/20	1.000	1,559.31	12/15/20	1,467.01	...	92.30	Option sale
12/16/20	2.000	1,786.63	12/16/20	1,591.33	...	195.30	Option sale
<b>Security total:</b>		<b>7,774.86</b>		<b>7,395.32</b>	<b>101.35 W</b>	<b>480.89</b>	
AMAZON COM INC AMZN Dec 18 2020 3300.0 Call / CUSIP: / Symbol: AMZN 12/18/20 C 3300.000							
12/16/20	2.000	1,654.63	12/16/20	1,501.33	...	153.30	Option sale
12/17/20	3.000	3,672.93	Various	3,104.99	...	567.94	Total of 2 transactions
<b>Security total:</b>		<b>5,327.56</b>		<b>4,606.32</b>	...	<b>721.24</b>	
AMAZON COM INC AMZN Dec 24 2020 3210.0 Call / CUSIP: / Symbol: AMZN 12/24/20 C 3210.000							
12/23/20	1.000	1,029.32	12/23/20	1,100.66	...	-71.34	Option sale
AMAZON COM INC AMZN Dec 24 2020 3250.0 Call / CUSIP: / Symbol: AMZN 12/24/20 C 3250.000							
12/21/20	2.000	4,977.57	Various	4,771.32	...	206.25	Total of 2 transactions
AMAZON COM INC AMZN Dec 24 2020 3300.0 Call / CUSIP: / Symbol: AMZN 12/24/20 C 3300.000							
12/18/20	1.000	1,279.31	12/18/20	2,275.66	...	-996.35	Option sale
AMAZON COM INC AMZN Dec 31 2020 3250.0 Call / CUSIP: / Symbol: AMZN 12/31/20 C 3250.000							
12/22/20	2.000	6,578.53	Various	6,351.32	...	227.21	Total of 2 transactions
12/28/20	2.000	2,538.61	12/28/20	2,451.33	...	87.28	Option sale
<b>Security total:</b>		<b>9,117.14</b>		<b>8,802.65</b>	...	<b>314.49</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AMAZON COM INC AMZN Dec 31 2020 3300.0 Call / CUSIP: / Symbol: AMZN 12/31/20 C 3300.000							
12/22/20	1.000	1,869.30	12/22/20	2,500.66	631.36 W	0.00	Option sale
12/28/20	1.000	2,403.29	12/28/20	2,917.02	513.73 W	0.00	Option sale
12/31/20	10.000	3,193.27	12/31/20	3,620.37	...	-427.10	Option sale
	<b>Security total:</b>	<b>7,465.86</b>		<b>9,038.05</b>	<b>1,145.09 W</b>	<b>-427.10</b>	
AMAZON COM INC AMZN Dec 31 2020 3310.0 Call / CUSIP: / Symbol: AMZN 12/31/20 C 3310.000							
12/28/20	2.000	2,478.62	12/28/20	2,340.33	...	138.29	Option sale
AMAZON COM INC AMZN Dec 31 2020 3350.0 Call / CUSIP: / Symbol: AMZN 12/31/20 C 3350.000							
12/29/20	2.000	3,480.59	12/29/20	3,217.33	...	263.26	Option sale
12/30/20	4.000	2,581.27	12/30/20	2,322.66	...	258.61	Option sale
	<b>Security total:</b>	<b>6,061.86</b>		<b>5,539.99</b>	<b>...</b>	<b>521.87</b>	
AMAZON COM INC AMZN Dec 31 2020 3400.0 Call / CUSIP: / Symbol: AMZN 12/31/20 C 3400.000							
12/29/20	5.000	4,644.57	Various	4,326.32	...	318.25	Total of 4 transactions
AMAZON COM INC AMZN Jan 08 2021 3300.0 Call / CUSIP: / Symbol: AMZN 01/08/21 C 3300.000							
12/24/20	1.000	3,053.27	12/24/20	3,125.66	72.39 W	0.00	Option sale
AMAZON COM INC AMZN Jan 08 2021 3400.0 Call / CUSIP: / Symbol: AMZN 01/08/21 C 3400.000							
12/30/20	2.000	5,473.56	Various	6,284.32	...	-810.76	Total of 2 transactions
ANTHEM INC ANTM Jul 24 2020 270.0 Call / CUSIP: / Symbol: ANTM 07/24/20 C 270.000							
07/23/20	2.000	308.66	07/23/20	325.33	...	-16.67	Option sale
BOEING CO BA Jul 10 2020 195.0 Call / CUSIP: / Symbol: BA 07/10/20 C 195.000							
07/06/20	1.000	403.32	07/06/20	490.67	...	-87.35	Option sale
BOEING CO BA Jul 17 2020 210.0 Call / CUSIP: / Symbol: BA 07/17/20 C 210.000							
07/13/20	1.000	119.33	07/13/20	112.67	...	6.66	Option sale
BOEING CO BA Oct 23 2020 172.5 Call / CUSIP: / Symbol: BA 10/23/20 C 172.500							
10/19/20	2.000	542.66	10/19/20	541.33	...	1.33	Option sale

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TD Ameritrade Clearing, Inc.

Account 270324970

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

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BOEING CO BA Nov 13 2020 195.0 Call / CUSIP: / Symbol: BA 11/13/20 C 195.000							
11/10/20	3.000	1,329.98	Various	1,398.32	...	-68.34	Total of 2 transactions
11/10/20	1.000	299.33	11/10/20	505.66	206.33 W	0.00	Option sale
	<b>Security total:</b>	<b>1,629.31</b>		<b>1,903.98</b>	<b>206.33 W</b>	<b>-68.34</b>	
BOEING CO BA Nov 20 2020 210.0 Call / CUSIP: / Symbol: BA 11/20/20 C 210.000							
11/16/20	4.000	1,025.32	Various	1,028.66	...	-3.34	Total of 2 transactions
BOEING CO BA Nov 20 2020 225.0 Call / CUSIP: / Symbol: BA 11/20/20 C 225.000							
11/18/20	2.000	528.66	11/18/20	511.33	...	17.33	Option sale
BOEING CO BA Dec 04 2020 245.0 Call / CUSIP: / Symbol: BA 12/04/20 C 245.000							
12/03/20	2.000	558.66	12/03/20	523.33	...	35.33	Option sale
BOEING CO BA Dec 11 2020 250.0 Call / CUSIP: / Symbol: BA 12/11/20 C 250.000							
12/07/20	1.000	312.33	12/07/20	475.66	...	-163.33	Option sale
ALIBABA GROUP HOLDING LTD BABA Jul 10 2020 245.0 Call / CUSIP: / Symbol: BABA 07/10/20 C 245.000							
07/06/20	1.000	144.33	07/06/20	132.67	...	11.66	Option sale
ALIBABA GROUP HOLDING LTD BABA Sep 18 2020 290.0 Call / CUSIP: / Symbol: BABA 09/18/20 C 290.000							
09/09/20	2.000	438.66	09/09/20	537.33	...	-98.67	Option sale
ALIBABA GROUP HOLDING LTD BABA Oct 09 2020 300.0 Call / CUSIP: / Symbol: BABA 10/09/20 C 300.000							
10/08/20	2.000	475.66	Various	517.33	...	-41.67	Total of 2 transactions
ALIBABA GROUP HOLDING LTD BABA Oct 23 2020 312.5 Call / CUSIP: / Symbol: BABA 10/23/20 C 312.500							
10/19/20	1.000	696.32	10/19/20	670.66	...	25.66	Option sale
BAIDU INC BIDU Dec 18 2020 190.0 Call / CUSIP: / Symbol: BIDU 12/18/20 C 190.000							
12/16/20	2.000	1,022.65	12/16/20	931.33	...	91.32	Option sale
BAIDU INC BIDU Dec 24 2020 205.0 Call / CUSIP: / Symbol: BIDU 12/24/20 C 205.000							
12/16/20	2.000	704.65	12/16/20	881.33	...	-176.68	Option sale
BEYOND MEAT INC BYND Jun 26 2020 142.0 Call / CUSIP: / Symbol: BYND 06/26/20 C 142.000							
06/25/20	2.000	808.65	06/25/20	871.33	...	-62.68	Option sale

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01/14/2021

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BEYOND MEAT INC BYND Jul 17 2020 134.0 Call / CUSIP: / Symbol: BYND 07/17/20 C 134.000							
07/15/20	2.000	545.66	Various	521.33	...	24.33	Total of 2 transactions
BEYOND MEAT INC BYND Sep 18 2020 160.0 Call / CUSIP: / Symbol: BYND 09/18/20 C 160.000							
09/16/20	2.000	326.66	09/16/20	441.33	...	-114.67	Option sale
BEYOND MEAT INC BYND Oct 09 2020 182.5 Call / CUSIP: / Symbol: BYND 10/09/20 C 182.500							
10/06/20	1.000	562.33	10/06/20	492.66	...	69.67	Option sale
BEYOND MEAT INC BYND Oct 09 2020 187.5 Call / CUSIP: / Symbol: BYND 10/09/20 C 187.500							
10/07/20	1.000	569.33	10/07/20	501.66	...	67.67	Option sale
BEYOND MEAT INC BYND Oct 09 2020 195.0 Call / CUSIP: / Symbol: BYND 10/09/20 C 195.000							
10/08/20	2.000	620.66	10/08/20	840.32	...	-219.66	Option sale
BEYOND MEAT INC BYND Oct 23 2020 190.0 Call / CUSIP: / Symbol: BYND 10/23/20 C 190.000							
10/19/20	1.000	371.33	10/19/20	357.66	...	13.67	Option sale
GLOBAL X FDS CLOU Jan 15 2021 26.0 Call / CUSIP: / Symbol: CLOU 01/15/21 C 26.000							
11/25/20	1.000	94.34	11/25/20	89.66	...	4.68	Option sale
COUPA SOFTWARE INCORPORATED COUP Jul 31 2020 305.0 Call / CUSIP: / Symbol: COUP 07/31/20 C 305.000							
07/28/20	1.000	272.32	07/28/20	414.67	...	-142.35	Option sale
CROWDSTRIKE HOLDINGS INC CRWD Dec 11 2020 170.0 Call / CUSIP: / Symbol: CRWD 12/11/20 C 170.000							
12/03/20	1.000	454.33	12/03/20	430.66	...	23.67	Option sale
CARVANA CO CVNA Oct 30 2020 215.0 Call / CUSIP: / Symbol: CVNA 10/30/20 C 215.000							
10/30/20	2.000	678.65	10/30/20	726.33	...	-47.68	Option sale
DATADOG INC DDOG Jul 31 2020 92.0 Call / CUSIP: / Symbol: DDOG 07/31/20 C 92.000							
07/30/20	2.000	410.66	07/30/20	371.33	...	39.33	Option sale
DATADOG INC DDOG Oct 16 2020 116.0 Call / CUSIP: / Symbol: DDOG 10/16/20 C 116.000							
10/13/20	2.000	730.65	10/13/20	661.33	...	69.32	Option sale

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WALT DISNEY COMPANY (THE) DIS Jul 17 2020	120.0	Call / CUSIP: / Symbol: DIS 07/17/20	C	120.000			
07/10/20	1.000	226.32	07/10/20	182.67	...	43.65	Option sale
07/17/20	2.000	2.67	07/17/20	91.33	...	-88.66	Option sale
	<b>Security total:</b>	<b>228.99</b>		<b>274.00</b>	...	<b>-45.01</b>	
WALT DISNEY COMPANY (THE) DIS Oct 23 2020	127.0	Call / CUSIP: / Symbol: DIS 10/23/20	C	127.000			
10/14/20	2.000	438.66	10/14/20	411.33	...	27.33	Option sale
WALT DISNEY COMPANY (THE) DIS Dec 11 2020	180.0	Call / CUSIP: / Symbol: DIS 12/11/20	C	180.000			
12/11/20	2.000	114.67	12/11/20	103.33	...	11.34	Option sale
WALT DISNEY COMPANY (THE) DIS Dec 18 2020	175.0	Call / CUSIP: / Symbol: DIS 12/18/20	C	175.000			
12/11/20	2.000	298.66	12/11/20	473.33	...	-174.67	Option sale
DRAFTKINGS INC DKNNG Oct 23 2020	43.5	Call / CUSIP: / Symbol: DKNNG 10/23/20	C	43.500			
10/23/20	2.000	130.67	10/23/20	165.33	...	-34.66	Option sale
DRAFTKINGS INC DKNNG Nov 06 2020	42.5	Call / CUSIP: / Symbol: DKNNG 11/06/20	C	42.500			
11/05/20	2.000	128.67	11/05/20	163.33	...	-34.66	Option sale
DRAFTKINGS INC DKNNG Nov 27 2020	50.0	Call / CUSIP: / Symbol: DKNNG 11/27/20	C	50.000			
11/18/20	2.000	352.66	11/18/20	321.33	...	31.33	Option sale
FACEBOOK INC FB Jul 02 2020	232.5	Call / CUSIP: / Symbol: FB 07/02/20	C	232.500			
07/01/20	1.000	142.33	07/01/20	170.67	...	-28.34	Option sale
FACEBOOK INC FB Jul 10 2020	250.0	Call / CUSIP: / Symbol: FB 07/10/20	C	250.000			
07/07/20	1.000	162.33	07/07/20	124.67	...	37.66	Option sale
FACEBOOK INC FB Jul 17 2020	255.0	Call / CUSIP: / Symbol: FB 07/17/20	C	255.000			
07/13/20	1.000	365.32	07/13/20	294.67	...	70.65	Option sale
FACEBOOK INC FB Jul 31 2020	240.0	Call / CUSIP: / Symbol: FB 07/31/20	C	240.000			
07/27/20	1.000	519.32	07/27/20	488.67	...	30.65	Option sale
FACEBOOK INC FB Jul 31 2020	255.0	Call / CUSIP: / Symbol: FB 07/31/20	C	255.000			
07/31/20	2.000	184.67	07/31/20	329.33	...	-144.66	Option sale

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2020 1099-B\* OMB No. 1545-0715

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FACEBOOK INC FB Aug 21 2020	270.0	Call / CUSIP: / Symbol: FB 08/21/20 C 270.000					
08/19/20	2.000	296.66	08/19/20	277.33	...	19.33	Option sale
FACEBOOK INC FB Oct 16 2020	272.5	Call / CUSIP: / Symbol: FB 10/16/20 C 272.500					
10/12/20	2.000	790.65	10/12/20	721.33	...	69.32	Option sale
FACEBOOK INC FB Oct 16 2020	280.0	Call / CUSIP: / Symbol: FB 10/16/20 C 280.000					
10/12/20	1.000	319.33	10/12/20	335.66	...	-16.33	Option sale
FACEBOOK INC FB Oct 23 2020	277.5	Call / CUSIP: / Symbol: FB 10/23/20 C 277.500					
10/21/20	2.000	1,058.65	10/21/20	881.33	...	177.32	Option sale
FACEBOOK INC FB Oct 23 2020	280.0	Call / CUSIP: / Symbol: FB 10/23/20 C 280.000					
10/23/20	10.000	633.33	10/23/20	576.64	...	56.69	Option sale
FACEBOOK INC FB Oct 23 2020	282.5	Call / CUSIP: / Symbol: FB 10/23/20 C 282.500					
10/21/20	4.000	1,363.31	Various	1,551.33	188.02 W	0.00	Total of 2 transactions
10/22/20	2.000	268.66	10/22/20	650.68	382.02 W	0.00	Option sale
10/23/20	10.000	929.32	Various	1,486.64	...	-557.32	Total of 2 transactions
10/23/20	20.000	1,236.65	Various	2,181.99	966.69 W	21.35	Total of 2 transactions
	<b>Security total:</b>	<b>3,797.94</b>		<b>5,870.64</b>	<b>1,536.73 W</b>	<b>-535.97</b>	
FACEBOOK INC FB Oct 30 2020	282.5	Call / CUSIP: / Symbol: FB 10/30/20 C 282.500					
10/29/20	1.000	764.32	10/29/20	790.66	...	-26.34	Option sale
FACEBOOK INC FB Oct 30 2020	285.0	Call / CUSIP: / Symbol: FB 10/30/20 C 285.000					
10/27/20	1.000	890.32	10/27/20	885.66	...	4.66	Option sale
FACEBOOK INC FB Nov 06 2020	287.5	Call / CUSIP: / Symbol: FB 11/06/20 C 287.500					
11/04/20	2.000	730.65	11/04/20	701.33	...	29.32	Option sale
FACEBOOK INC FB Nov 06 2020	290.0	Call / CUSIP: / Symbol: FB 11/06/20 C 290.000					
11/04/20	2.000	508.66	11/04/20	595.33	...	-86.67	Option sale
FACEBOOK INC FB Dec 04 2020	292.5	Call / CUSIP: / Symbol: FB 12/04/20 C 292.500					
12/02/20	2.000	504.66	12/02/20	493.33	...	11.33	Option sale

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FACEBOOK INC FB Jun 26 2020 195.0 Put / CUSIP: / Symbol: FB 06/26/20 P 195.000							
06/26/20	10.000	43.31	06/26/20	76.67	...	-33.36	Option sale
FACEBOOK INC FB Jun 26 2020 215.0 Put / CUSIP: / Symbol: FB 06/26/20 P 215.000							
06/26/20	1.000	179.33	06/26/20	227.34	...	-48.01	Option sale
06/26/20	20.000	776.60	Various	836.71	27.04 W	-33.07	Total of 2 transactions
	<b>Security total:</b>	<b>955.93</b>		<b>1,064.05</b>	<b>27.04 W</b>	<b>-81.08</b>	
FASTLY INC FSLY Oct 09 2020 130.0 Call / CUSIP: / Symbol: FSLY 10/09/20 C 130.000							
10/09/20	2.000	118.67	10/09/20	251.33	...	-132.66	Option sale
FASTLY INC FSLY Oct 16 2020 133.0 Call / CUSIP: / Symbol: FSLY 10/16/20 C 133.000							
10/13/20	1.000	558.33	10/13/20	500.66	...	57.67	Option sale
FASTLY INC FSLY Dec 31 2020 109.0 Call / CUSIP: / Symbol: FSLY 12/31/20 C 109.000							
12/18/20	3.000	1,452.98	Various	1,717.99	...	-265.01	Total of 2 transactions
GAMESTOP CORPORATION GME Oct 09 2020 13.0 Call / CUSIP: / Symbol: GME 10/09/20 C 13.000							
10/08/20	10.000	743.32	10/08/20	696.64	...	46.68	Option sale
ALPHABET INC GOOGL Aug 07 2020 1520.0 Call / CUSIP: / Symbol: GOOGL 08/07/20 C 1520.000							
08/07/20	1.000	39.33	08/07/20	235.67	...	-196.34	Option sale
ALPHABET INC GOOGL Nov 13 2020 1765.0 Call / CUSIP: / Symbol: GOOGL 11/13/20 C 1765.000							
11/13/20	1.000	234.33	11/13/20	200.66	...	33.67	Option sale
INOVIO PHARMACEUTICALS INC INO Jun 26 2020 32.0 Call / CUSIP: / Symbol: INO 06/26/20 C 32.000							
06/26/20	2.000	0.00	06/26/20	461.33	...	-461.33	Option expiration
INTEL CORP INTC Oct 23 2020 55.0 Call / CUSIP: / Symbol: INTC 10/23/20 C 55.000							
10/19/20	2.000	348.66	10/19/20	333.33	...	15.33	Option sale
ELI LILLY AND COMPANY LLY Oct 23 2020 149.0 Put / CUSIP: / Symbol: LLY 10/23/20 P 149.000							
10/13/20	1.000	135.34	10/13/20	500.66	...	-365.32	Option sale
STRIDE INC LRN Jul 17 2020 41.0 Call / CUSIP: / Symbol: LRN 07/17/20 C 41.000							
07/14/20	1.000	194.33	07/14/20	225.67	...	-31.34	Option sale

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LULULEMON ATHLETICA INC LULU Aug 07 2020 335.0 Call / CUSIP: / Symbol: LULU 08/07/20 C 335.000							
08/05/20	1.000	336.32	08/05/20	290.67	...	45.65	Option sale
MODERNA INC MRNA Jul 10 2020 65.0 Call / CUSIP: / Symbol: MRNA 07/10/20 C 65.000							
07/09/20	2.000	299.66	Various	283.34	...	16.32	Total of 2 transactions
MODERNA INC MRNA Jul 17 2020 80.0 Call / CUSIP: / Symbol: MRNA 07/17/20 C 80.000							
07/13/20	1.000	131.33	07/13/20	113.67	...	17.66	Option sale
MICROSOFT CORP MSFT Jul 31 2020 207.5 Call / CUSIP: / Symbol: MSFT 07/31/20 C 207.500							
07/30/20	2.000	78.67	07/30/20	93.33	...	-14.66	Option sale
MICROSOFT CORP MSFT Aug 07 2020 215.0 Call / CUSIP: / Symbol: MSFT 08/07/20 C 215.000							
08/06/20	2.000	158.67	08/06/20	235.33	...	-76.66	Option sale
MICROSOFT CORP MSFT Nov 06 2020 225.0 Call / CUSIP: / Symbol: MSFT 11/06/20 C 225.000							
11/05/20	2.000	230.66	11/05/20	183.33	...	47.33	Option sale
MATCH GROUP INC MTCH Oct 30 2020 127.0 Call / CUSIP: / Symbol: MTCH 10/30/20 C 127.000							
10/27/20	1.000	196.34	10/27/20	233.66	...	-37.32	Option sale
NETFLIX INC NFLX Jul 02 2020 460.0 Call / CUSIP: / Symbol: NFLX 07/02/20 C 460.000							
07/01/20	1.000	294.32	07/01/20	360.67	...	-66.35	Option sale
NETFLIX INC NFLX Oct 30 2020 492.5 Call / CUSIP: / Symbol: NFLX 10/30/20 C 492.500							
10/28/20	2.000	1,338.64	10/28/20	1,303.33	...	35.31	Option sale
NETFLIX INC NFLX Dec 11 2020 525.0 Call / CUSIP: / Symbol: NFLX 12/11/20 C 525.000							
12/08/20	2.000	1,398.64	12/08/20	1,341.33	...	57.31	Option sale
NETFLIX INC NFLX Dec 24 2020 540.0 Call / CUSIP: / Symbol: NFLX 12/24/20 C 540.000							
12/17/20	1.000	864.32	12/17/20	809.66	...	54.66	Option sale
NIO INC NIO Oct 16 2020 24.5 Call / CUSIP: / Symbol: NIO 10/16/20 C 24.500							
10/14/20	10.000	703.32	10/14/20	849.95	...	-146.63	Option sale
10/14/20	10.000	593.33	10/14/20	626.64	33.31 W	0.00	Option sale
<b>Security total:</b>		<b>1,296.65</b>		<b>1,476.59</b>	<b>33.31 W</b>	<b>-146.63</b>	

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TD Ameritrade Clearing, Inc.

Account 270324970

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO INC NIO Oct 16 2020 25.5 Call / CUSIP: / Symbol: NIO 10/16/20 C 25.500							
10/14/20	10.000	1,003.32	10/14/20	946.64	...	56.68	Option sale
NIO INC NIO Oct 30 2020 31.0 Call / CUSIP: / Symbol: NIO 10/30/20 C 31.000							
10/30/20	20.000	686.67	Various	743.28	...	-56.61	Total of 2 transactions
NIO INC NIO Oct 30 2020 32.0 Call / CUSIP: / Symbol: NIO 10/30/20 C 32.000							
10/29/20	10.000	563.33	10/29/20	536.64	...	26.69	Option sale
NIO INC NIO Nov 06 2020 33.0 Call / CUSIP: / Symbol: NIO 11/06/20 C 33.000							
11/02/20	2.000	318.66	11/02/20	315.33	...	3.33	Option sale
NIO INC NIO Nov 06 2020 34.0 Call / CUSIP: / Symbol: NIO 11/06/20 C 34.000							
11/03/20	2.000	280.66	11/03/20	336.00	...	-55.34	Option sale
11/03/20	2.000	250.66	11/03/20	307.33	56.67 W	0.00	Option sale
<b>Security total:</b>		<b>531.32</b>		<b>643.33</b>	<b>56.67 W</b>	<b>-55.34</b>	
NIO INC NIO Nov 06 2020 35.0 Call / CUSIP: / Symbol: NIO 11/06/20 C 35.000							
11/02/20	2.000	460.66	11/02/20	437.33	...	23.33	Option sale
NIO INC NIO Nov 06 2020 39.0 Call / CUSIP: / Symbol: NIO 11/06/20 C 39.000							
11/04/20	5.000	336.66	11/04/20	318.32	...	18.34	Option sale
NIO INC NIO Nov 06 2020 43.0 Call / CUSIP: / Symbol: NIO 11/06/20 C 43.000							
11/06/20	10.000	663.33	11/06/20	626.64	...	36.69	Option sale
NIO INC NIO Nov 13 2020 44.0 Call / CUSIP: / Symbol: NIO 11/13/20 C 44.000							
11/09/20	2.000	402.66	11/09/20	397.33	...	5.33	Option sale
11/13/20	5.000	156.67	11/13/20	143.32	...	13.35	Option sale
<b>Security total:</b>		<b>559.33</b>		<b>540.65</b>	...	<b>18.68</b>	
NIO INC NIO Nov 13 2020 46.0 Call / CUSIP: / Symbol: NIO 11/13/20 C 46.000							
11/12/20	2.000	202.67	11/12/20	274.33	...	-71.66	Option sale
NIO INC NIO Nov 13 2020 49.0 Call / CUSIP: / Symbol: NIO 11/13/20 C 49.000							
11/12/20	3.000	363.99	11/12/20	448.99	...	-85.00	Option sale

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(continued)

01/14/2021

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NIO INC NIO Nov 20 2020 45.0 Call / CUSIP: / Symbol: NIO 11/20/20 C 45.000							
11/16/20	1.000	395.33	11/16/20	350.66	...	44.67	Option sale
NIO INC NIO Nov 20 2020 49.0 Call / CUSIP: / Symbol: NIO 11/20/20 C 49.000							
11/19/20	2.000	250.66	11/19/20	268.33	...	-17.67	Option sale
NIO INC NIO Nov 20 2020 50.0 Call / CUSIP: / Symbol: NIO 11/20/20 C 50.000							
11/17/20	2.000	698.65	11/17/20	671.33	...	27.32	Option sale
NIO INC NIO Nov 20 2020 55.0 Call / CUSIP: / Symbol: NIO 11/20/20 C 55.000							
11/13/20	2.000	518.66	11/13/20	886.33	...	-367.67	Option sale
NIO INC NIO Dec 11 2020 45.0 Call / CUSIP: / Symbol: NIO 12/11/20 C 45.000							
12/10/20	2.000	191.67	Various	217.33	...	-25.66	Total of 2 transactions
NIKE INC NKE Jun 26 2020 99.0 Call / CUSIP: / Symbol: NKE 06/26/20 C 99.000							
06/25/20	2.000	698.65	06/25/20	681.33	...	17.32	Option sale
NIKOLA CORPORATION NKLA Oct 02 2020 25.5 Call / CUSIP: / Symbol: NKLA 10/02/20 C 25.500							
10/01/20	10.000	1,163.31	10/01/20	1,206.64	...	-43.33	Option sale
NIKOLA CORPORATION NKLA Sep 11 2020 31.0 Put / CUSIP: / Symbol: NKLA 09/11/20 P 31.000							
09/11/20	2.000	58.67	09/11/20	87.33	...	-28.66	Option sale
NAUTILUS INC NLS Dec 18 2020 20.0 Call / CUSIP: / Symbol: NLS 12/18/20 C 20.000							
11/18/20	2.000	348.66	11/18/20	317.33	...	31.33	Option sale
NUTANIX INC NTNX Jul 17 2020 22.0 Call / CUSIP: / Symbol: NTNX 07/17/20 C 22.000							
07/17/20	2.000	76.67	07/17/20	75.33	...	1.34	Option sale
NUTANIX INC NTNX Jul 17 2020 21.5 Put / CUSIP: / Symbol: NTNX 07/17/20 P 21.500							
07/17/20	2.000	12.67	07/17/20	89.33	...	-76.66	Option sale
NVIDIA CORP NVDA Jul 02 2020 385.0 Call / CUSIP: / Symbol: NVDA 07/02/20 C 385.000							
07/01/20	1.000	191.33	07/01/20	244.67	...	-53.34	Option sale
NVIDIA CORP NVDA Jul 24 2020 410.0 Call / CUSIP: / Symbol: NVDA 07/24/20 C 410.000							
07/23/20	1.000	141.33	07/23/20	223.67	...	-82.34	Option sale

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**Proceeds from Broker and Barter Exchange Transactions**

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01/14/2021

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NVIDIA CORP NVDA Jul 24 2020 420.0 Call / CUSIP: / Symbol: NVDA 07/24/20 C 420.000 07/22/20	1.000	684.31	07/22/20	491.67	...	192.64	Option sale
NVIDIA CORP NVDA Sep 25 2020 515.0 Call / CUSIP: / Symbol: NVDA 09/25/20 C 515.000 09/25/20	10.000	413.33	09/25/20	706.64	...	-293.31	Option sale
NVIDIA CORP NVDA Nov 06 2020 575.0 Call / CUSIP: / Symbol: NVDA 11/06/20 C 575.000 11/05/20	2.000	873.65	Various	819.33	...	54.32	Total of 2 transactions
NVIDIA CORP NVDA Nov 13 2020 605.0 Call / CUSIP: / Symbol: NVDA 11/13/20 C 605.000 11/09/20	1.000	639.33	11/09/20	599.66	...	39.67	Option sale
PINTEREST INC PINS Oct 30 2020 64.0 Call / CUSIP: / Symbol: PINS 10/30/20 C 64.000 10/29/20	2.000	200.67	10/29/20	251.33	...	-50.66	Option sale
PINTEREST INC PINS Oct 30 2020 67.0 Call / CUSIP: / Symbol: PINS 10/30/20 C 67.000 10/29/20	2.000	618.66	10/29/20	607.33	...	11.33	Option sale
PELOTON INTERACTIVE INC PTON Sep 18 2020 86.0 Call / CUSIP: / Symbol: PTON 09/18/20 C 86.000 09/15/20	2.000	638.66	09/15/20	567.33	...	71.33	Option sale
PAYPAL HOLDINGS INC PYPL Dec 04 2020 222.5 Call / CUSIP: / Symbol: PYPL 12/04/20 C 222.500 12/01/20	2.000	424.66	12/01/20	407.33	...	17.33	Option sale
PAYPAL HOLDINGS INC PYPL Dec 04 2020 225.0 Call / CUSIP: / Symbol: PYPL 12/04/20 C 225.000 12/01/20	2.000	342.66	12/01/20	463.33	...	-120.67	Option sale
ROKU INC ROKU Jul 10 2020 143.0 Call / CUSIP: / Symbol: ROKU 07/10/20 C 143.000 07/09/20	1.000	264.32	07/09/20	236.67	...	27.65	Option sale
ROKU INC ROKU Jul 10 2020 146.0 Call / CUSIP: / Symbol: ROKU 07/10/20 C 146.000 07/09/20	1.000	379.32	07/09/20	250.67	...	128.65	Option sale
ROKU INC ROKU Jul 10 2020 149.0 Call / CUSIP: / Symbol: ROKU 07/10/20 C 149.000 07/09/20	1.000	234.32	07/09/20	216.67	...	17.65	Option sale
ROKU INC ROKU Jul 10 2020 165.0 Call / CUSIP: / Symbol: ROKU 07/10/20 C 165.000 07/10/20	10.000	83.31	07/10/20	106.67	...	-23.36	Option sale

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ROKU INC ROKU Jul 17 2020 170.0 Call / CUSIP: / Symbol: ROKU 07/17/20 C 170.000							
07/13/20	1.000	594.32	07/13/20	474.67	...	119.65	Option sale
ROKU INC ROKU Jul 24 2020 155.0 Call / CUSIP: / Symbol: ROKU 07/24/20 C 155.000							
07/21/20	1.000	464.32	07/21/20	418.67	...	45.65	Option sale
ROKU INC ROKU Jul 24 2020 160.0 Call / CUSIP: / Symbol: ROKU 07/24/20 C 160.000							
07/21/20	1.000	276.32	07/21/20	435.67	...	-159.35	Option sale
ROKU INC ROKU Aug 07 2020 160.0 Call / CUSIP: / Symbol: ROKU 08/07/20 C 160.000							
08/06/20	1.000	135.33	08/06/20	209.67	...	-74.34	Option sale
ROKU INC ROKU Sep 25 2020 190.0 Call / CUSIP: / Symbol: ROKU 09/25/20 C 190.000							
09/21/20	1.000	609.32	09/21/20	605.67	...	3.65	Option sale
ROKU INC ROKU Nov 13 2020 225.0 Call / CUSIP: / Symbol: ROKU 11/13/20 C 225.000							
11/10/20	2.000	1,198.64	11/10/20	1,181.33	...	17.31	Option sale
ROKU INC ROKU Dec 04 2020 300.0 Call / CUSIP: / Symbol: ROKU 12/04/20 C 300.000							
12/04/20	10.000	123.34	12/04/20	366.64	...	-243.30	Option sale
ROKU INC ROKU Dec 11 2020 320.0 Call / CUSIP: / Symbol: ROKU 12/11/20 C 320.000							
12/09/20	1.000	824.32	12/09/20	713.66	...	110.66	Option sale
ROKU INC ROKU Oct 16 2020 215.0 Put / CUSIP: / Symbol: ROKU 10/16/20 P 215.000							
10/13/20	1.000	304.33	10/13/20	325.66	...	-21.33	Option sale
SHOPIFY INC SHOP Dec 18 2020 1200.0 Call / CUSIP: / Symbol: SHOP 12/18/20 C 1200.000							
12/17/20	1.000	1,059.32	12/17/20	1,040.66	...	18.66	Option sale
SHOPIFY INC SHOP Dec 24 2020 1230.0 Call / CUSIP: / Symbol: SHOP 12/24/20 C 1230.000							
12/23/20	3.000	702.98	12/23/20	646.99	...	55.99	Option sale
SHOPIFY INC SHOP Dec 31 2020 1300.0 Call / CUSIP: / Symbol: SHOP 12/31/20 C 1300.000							
12/23/20	3.000	3,327.94	Various	3,401.98	...	-74.04	Total of 3 transactions
SNAP INC SNAP Dec 04 2020 45.0 Call / CUSIP: / Symbol: SNAP 12/04/20 C 45.000							
11/25/20	2.000	278.66	11/25/20	273.33	...	5.33	Option sale

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SNOWFLAKE INC SNOW Dec 18 2020 330.0 Call / CUSIP: / Symbol: SNOW 12/18/20 C 330.000							
12/18/20	1.000	499.33	12/18/20	440.66	...	58.67	Option sale
VIRGIN GALACTIC HLDGS INC SPCE Dec 18 2020 36.0 Call / CUSIP: / Symbol: SPCE 12/18/20 C 36.000							
12/09/20	2.000	478.66	12/09/20	501.33	...	-22.67	Option sale
VIRGIN GALACTIC HLDGS INC SPCE Jan 15 2021 26.0 Call / CUSIP: / Symbol: SPCE 01/15/21 C 26.000							
12/22/20	3.000	684.98	12/22/20	667.99	...	16.99	Option sale
SPOTIFY TECHNOLOGY S.A. SPOT Oct 30 2020 265.0 Call / CUSIP: / Symbol: SPOT 10/30/20 C 265.000							
10/29/20	1.000	379.33	10/29/20	500.66	...	-121.33	Option sale
SPOTIFY TECHNOLOGY S.A. SPOT Jul 31 2020 257.5 Put / CUSIP: / Symbol: SPOT 07/31/20 P 257.500							
07/29/20	1.000	325.32	07/29/20	390.67	...	-65.35	Option sale
SPDR S&P500 ETF TRUST SPY Jun 30 2020 309.0 Call / CUSIP: / Symbol: SPY 06/30/20 C 309.000							
06/30/20	1.000	27.33	06/30/20	30.67	...	-3.34	Option sale
SPDR S&P500 ETF TRUST SPY Jul 15 2020 323.0 Call / CUSIP: / Symbol: SPY 07/15/20 C 323.000							
07/15/20	3.000	3.99	07/15/20	104.00	...	-100.01	Option sale
SPDR S&P500 ETF TRUST SPY Jul 15 2020 324.0 Call / CUSIP: / Symbol: SPY 07/15/20 C 324.000							
07/15/20	10.000	133.31	07/15/20	106.67	...	26.64	Option sale
SPDR S&P500 ETF TRUST SPY Jul 29 2020 325.0 Call / CUSIP: / Symbol: SPY 07/29/20 C 325.000							
07/29/20	3.000	183.99	07/29/20	236.00	...	-52.01	Option sale
SPDR S&P500 ETF TRUST SPY Jul 29 2020 326.0 Call / CUSIP: / Symbol: SPY 07/29/20 C 326.000							
07/29/20	10.000	193.31	07/29/20	176.67	...	16.64	Option sale
SPDR S&P500 ETF TRUST SPY Sep 14 2020 340.0 Call / CUSIP: / Symbol: SPY 09/14/20 C 340.000							
09/14/20	10.000	133.31	09/14/20	126.67	...	6.64	Option sale
SPDR S&P500 ETF TRUST SPY Sep 16 2020 342.0 Call / CUSIP: / Symbol: SPY 09/16/20 C 342.000							
09/16/20	10.000	863.29	09/16/20	706.67	...	156.62	Option sale
SPDR S&P500 ETF TRUST SPY Sep 18 2020 331.0 Call / CUSIP: / Symbol: SPY 09/18/20 C 331.000							
09/18/20	10.000	383.30	09/18/20	656.67	...	-273.37	Option sale

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SPDR S&P500 ETF TRUST SPY Sep 21 2020 326.0 Call / CUSIP: / Symbol: SPY 09/21/20 C 326.000							
09/21/20	20.000	536.60	Various	423.34	...	113.26	Total of 2 transactions
SPDR S&P500 ETF TRUST SPY Sep 21 2020 327.0 Call / CUSIP: / Symbol: SPY 09/21/20 C 327.000							
09/21/20	10.000	123.32	Various	106.67	...	16.65	Total of 2 transactions
SPDR S&P500 ETF TRUST SPY Sep 25 2020 330.0 Call / CUSIP: / Symbol: SPY 09/25/20 C 330.000							
09/25/20	10.000	353.33	09/25/20	286.64	...	66.69	Option sale
SPDR S&P500 ETF TRUST SPY Sep 28 2020 335.0 Call / CUSIP: / Symbol: SPY 09/28/20 C 335.000							
09/28/20	10.000	513.33	09/28/20	516.64	...	-3.31	Option sale
SPDR S&P500 ETF TRUST SPY Sep 30 2020 336.0 Call / CUSIP: / Symbol: SPY 09/30/20 C 336.000							
09/30/20	10.000	733.32	09/30/20	616.64	...	116.68	Option sale
SPDR S&P500 ETF TRUST SPY Sep 30 2020 338.0 Call / CUSIP: / Symbol: SPY 09/30/20 C 338.000							
09/30/20	10.000	533.33	09/30/20	496.64	...	36.69	Option sale
SPDR S&P500 ETF TRUST SPY Oct 02 2020 335.0 Call / CUSIP: / Symbol: SPY 10/02/20 C 335.000							
10/02/20	10.000	1,363.31	10/02/20	1,656.64	...	-293.33	Option sale
SPDR S&P500 ETF TRUST SPY Oct 09 2020 348.0 Call / CUSIP: / Symbol: SPY 10/09/20 C 348.000							
10/09/20	10.000	463.33	10/09/20	411.64	...	51.69	Option sale
SPDR S&P500 ETF TRUST SPY Oct 16 2020 349.0 Call / CUSIP: / Symbol: SPY 10/16/20 C 349.000							
10/16/20	20.000	1,696.64	Various	1,613.28	...	83.36	Total of 2 transactions
SPDR S&P500 ETF TRUST SPY Oct 19 2020 342.0 Call / CUSIP: / Symbol: SPY 10/19/20 C 342.000							
10/19/20	10.000	583.33	10/19/20	526.64	...	56.69	Option sale
SPDR S&P500 ETF TRUST SPY Oct 19 2020 348.0 Call / CUSIP: / Symbol: SPY 10/19/20 C 348.000							
10/19/20	10.000	693.32	10/19/20	666.64	...	26.68	Option sale
SPDR S&P500 ETF TRUST SPY Oct 21 2020 344.0 Call / CUSIP: / Symbol: SPY 10/21/20 C 344.000							
10/21/20	10.000	883.32	10/21/20	766.64	...	116.68	Option sale
SPDR S&P500 ETF TRUST SPY Oct 21 2020 345.0 Call / CUSIP: / Symbol: SPY 10/21/20 C 345.000							
10/21/20	10.000	523.33	10/21/20	966.64	...	-443.31	Option sale

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TD Ameritrade Clearing, Inc.

Account 270324970

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPDR S&P500 ETF TRUST SPY Nov 02 2020 330.0 Call / CUSIP: / Symbol: SPY 11/02/20 C 330.000							
11/02/20	3.000	163.00	11/02/20	163.99	...	-0.99	Option sale
SPDR S&P500 ETF TRUST SPY Jun 24 2020 307.0 Put / CUSIP: / Symbol: SPY 06/24/20 P 307.000							
06/24/20	2.000	318.66	06/24/20	347.33	...	-28.67	Option sale
SPDR S&P500 ETF TRUST SPY Jun 26 2020 301.0 Put / CUSIP: / Symbol: SPY 06/26/20 P 301.000							
06/25/20	1.000	164.33	06/25/20	268.67	...	-104.34	Option sale
SPDR S&P500 ETF TRUST SPY Jul 15 2020 321.0 Put / CUSIP: / Symbol: SPY 07/15/20 P 321.000							
07/15/20	2.000	96.67	07/15/20	105.33	...	-8.66	Option sale
SPDR S&P500 ETF TRUST SPY Aug 21 2020 336.0 Put / CUSIP: / Symbol: SPY 08/21/20 P 336.000							
08/21/20	10.000	173.31	08/21/20	206.67	...	-33.36	Option sale
SPDR S&P500 ETF TRUST SPY Sep 16 2020 339.0 Put / CUSIP: / Symbol: SPY 09/16/20 P 339.000							
09/16/20	10.000	758.29	Various	666.67	...	91.62	Total of 2 transactions
SPDR S&P500 ETF TRUST SPY Sep 18 2020 329.0 Put / CUSIP: / Symbol: SPY 09/18/20 P 329.000							
09/18/20	10.000	1,013.29	09/18/20	906.67	...	106.62	Option sale
SPDR S&P500 ETF TRUST SPY Sep 23 2020 323.0 Put / CUSIP: / Symbol: SPY 09/23/20 P 323.000							
09/23/20	10.000	443.33	09/23/20	396.64	...	46.69	Option sale
SPDR S&P500 ETF TRUST SPY Oct 12 2020 352.0 Put / CUSIP: / Symbol: SPY 10/12/20 P 352.000							
10/12/20	10.000	123.34	10/12/20	186.64	...	-63.30	Option sale
SQUARE INC SQ Jul 10 2020 135.0 Call / CUSIP: / Symbol: SQ 07/10/20 C 135.000							
07/08/20	1.000	222.33	07/08/20	213.67	...	8.66	Option sale
SQUARE INC SQ Oct 16 2020 190.0 Call / CUSIP: / Symbol: SQ 10/16/20 C 190.000							
10/08/20	2.000	778.65	10/08/20	831.33	...	-52.68	Option sale
SQUARE INC SQ Nov 27 2020 200.0 Call / CUSIP: / Symbol: SQ 11/27/20 C 200.000							
11/20/20	2.000	840.65	11/20/20	821.33	...	19.32	Option sale
SQUARE INC SQ Dec 11 2020 215.0 Call / CUSIP: / Symbol: SQ 12/11/20 C 215.000							
12/07/20	2.000	746.65	12/07/20	725.33	...	21.32	Option sale

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Account 270324970

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

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01/14/2021

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SQUARE INC SQ Dec 18 2020 240.0 Call / CUSIP: / Symbol: SQ 12/18/20 C 240.000							
12/17/20	2.000	402.68	Various	317.33	...	85.35	Total of 2 transactions
TARGET CORP TGT Sep 25 2020 150.0 Call / CUSIP: / Symbol: TGT 09/25/20 C 150.000							
09/14/20	4.000	1,078.30	Various	938.66	...	139.64	Total of 3 transactions
TARGET CORP TGT Dec 18 2020 175.0 Call / CUSIP: / Symbol: TGT 12/18/20 C 175.000							
11/18/20	1.000	334.33	11/18/20	325.66	...	8.67	Option sale
TESLA INC TSLA Sep 11 2020 365.0 Call / CUSIP: / Symbol: TSLA 09/11/20 C 365.000							
09/09/20	1.000	709.31	09/09/20	900.67	...	-191.36	Option sale
TESLA INC TSLA Sep 18 2020 412.0 Call / CUSIP: / Symbol: TSLA 09/18/20 C 412.000							
09/14/20	1.000	1,797.29	09/14/20	1,755.67	...	41.62	Option sale
TESLA INC TSLA Sep 18 2020 445.0 Call / CUSIP: / Symbol: TSLA 09/18/20 C 445.000							
09/18/20	2.000	312.66	09/18/20	433.33	...	-120.67	Option sale
TESLA INC TSLA Sep 18 2020 450.0 Call / CUSIP: / Symbol: TSLA 09/18/20 C 450.000							
09/15/20	1.000	1,584.29	09/15/20	1,530.67	...	53.62	Option sale
TESLA INC TSLA Sep 18 2020 460.0 Call / CUSIP: / Symbol: TSLA 09/18/20 C 460.000							
09/15/20	1.000	2,049.28	09/15/20	1,935.67	...	113.61	Option sale
TESLA INC TSLA Sep 25 2020 420.0 Call / CUSIP: / Symbol: TSLA 09/25/20 C 420.000							
09/24/20	3.000	181.00	09/24/20	226.99	...	-45.99	Option sale
TESLA INC TSLA Oct 02 2020 375.0 Call / CUSIP: / Symbol: TSLA 10/02/20 C 375.000							
09/11/20	1.000	3,799.25	09/11/20	3,726.67	...	72.58	Option sale
TESLA INC TSLA Oct 16 2020 455.0 Call / CUSIP: / Symbol: TSLA 10/16/20 C 455.000							
10/15/20	1.000	719.32	10/15/20	745.66	...	-26.34	Option sale
TESLA INC TSLA Oct 23 2020 445.0 Call / CUSIP: / Symbol: TSLA 10/23/20 C 445.000							
10/22/20	1.000	833.32	10/22/20	890.66	...	-57.34	Option sale
TESLA INC TSLA Nov 06 2020 405.0 Call / CUSIP: / Symbol: TSLA 11/06/20 C 405.000							
11/02/20	1.000	1,254.31	11/02/20	1,237.66	...	16.65	Option sale

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(continued)

01/14/2021

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TESLA INC TSLA Nov 06 2020 415.0 Call / CUSIP: / Symbol: TSLA 11/06/20 C 415.000							
11/03/20	1.000	1,204.31	11/03/20	1,202.00	...	2.31	Option sale
11/03/20	1.000	1,059.32	11/03/20	1,105.66	46.34 W	0.00	Option sale
	<b>Security total:</b>	<b>2,263.63</b>		<b>2,307.66</b>	<b>46.34 W</b>	<b>2.31</b>	
TESLA INC TSLA Nov 13 2020 407.5 Call / CUSIP: / Symbol: TSLA 11/13/20 C 407.500							
11/13/20	3.000	588.99	11/13/20	571.99	...	17.00	Option sale
TESLA INC TSLA Nov 13 2020 452.5 Call / CUSIP: / Symbol: TSLA 11/13/20 C 452.500							
11/09/20	1.000	899.32	11/09/20	1,039.66	...	-140.34	Option sale
TESLA INC TSLA Nov 13 2020 455.0 Call / CUSIP: / Symbol: TSLA 11/13/20 C 455.000							
11/09/20	1.000	889.32	11/09/20	992.66	...	-103.34	Option sale
TESLA INC TSLA Nov 20 2020 470.0 Call / CUSIP: / Symbol: TSLA 11/20/20 C 470.000							
11/17/20	1.000	457.33	11/17/20	920.66	...	-463.33	Option sale
TESLA INC TSLA Nov 20 2020 415.0 Call / CUSIP: / Symbol: TSLA 11/20/20 C 415.000							
11/16/20	1.000	609.33	11/16/20	605.66	...	3.67	Option sale
TESLA INC TSLA Nov 27 2020 530.0 Call / CUSIP: / Symbol: TSLA 11/27/20 C 530.000							
11/23/20	1.000	1,265.31	11/23/20	1,185.66	...	79.65	Option sale
TESLA INC TSLA Nov 27 2020 555.0 Call / CUSIP: / Symbol: TSLA 11/27/20 C 555.000							
11/23/20	1.000	469.33	11/23/20	462.66	...	6.67	Option sale
TESLA INC TSLA Nov 27 2020 560.0 Call / CUSIP: / Symbol: TSLA 11/27/20 C 560.000							
11/25/20	2.000	1,228.64	11/25/20	1,201.33	...	27.31	Option sale
TESLA INC TSLA Nov 27 2020 565.0 Call / CUSIP: / Symbol: TSLA 11/27/20 C 565.000							
11/24/20	2.000	1,368.64	11/24/20	1,361.33	...	7.31	Option sale
TESLA INC TSLA Dec 04 2020 600.0 Call / CUSIP: / Symbol: TSLA 12/04/20 C 600.000							
12/02/20	3.000	741.98	12/02/20	727.99	...	13.99	Option sale
TESLA INC TSLA Dec 04 2020 650.0 Call / CUSIP: / Symbol: TSLA 12/04/20 C 650.000							
11/30/20	1.000	1,129.32	11/30/20	1,050.66	...	78.66	Option sale

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

01/14/2021

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TESLA INC TSLA Dec 11 2020 630.0 Call / CUSIP: / Symbol: TSLA 12/11/20 C 630.000							
12/10/20	2.000	1,280.64	12/10/20	1,239.33	...	41.31	Option sale
TESLA INC TSLA Dec 18 2020 680.0 Call / CUSIP: / Symbol: TSLA 12/18/20 C 680.000							
12/18/20	1.000	1,074.32	12/18/20	1,590.66	...	-516.34	Option sale
TESLA INC TSLA Dec 31 2020 670.0 Call / CUSIP: / Symbol: TSLA 12/31/20 C 670.000							
12/23/20	1.000	898.32	12/23/20	1,195.66	...	-297.34	Option sale
TESLA INC TSLA Dec 31 2020 720.0 Call / CUSIP: / Symbol: TSLA 12/31/20 C 720.000							
12/31/20	10.000	1,653.30	12/31/20	1,616.64	...	36.66	Option sale
TESLA INC TSLA Jul 31 2020 1600.0 Call / CUSIP: / Symbol: TSLA 07/31/20 C 1600.000							
07/30/20	1.000	342.32	07/30/20	320.67	...	21.65	Option sale
THE TRADE DESK INC TTD Jul 02 2020 465.0 Call / CUSIP: / Symbol: TTD 07/02/20 C 465.000							
07/02/20	1.000	0.00	07/02/20	50.67	...	-50.67	Option expiration
TWILIO INC TWLO Oct 30 2020 302.5 Call / CUSIP: / Symbol: TWLO 10/30/20 C 302.500							
10/29/20	1.000	559.33	10/29/20	556.66	...	2.67	Option sale
TWITTER INC TWTR Jul 10 2020 35.0 Call / CUSIP: / Symbol: TWTR 07/10/20 C 35.000							
07/08/20	2.000	166.67	07/08/20	109.33	...	57.34	Option sale
TWITTER INC TWTR Sep 04 2020 42.0 Call / CUSIP: / Symbol: TWTR 09/04/20 C 42.000							
08/24/20	3.000	183.99	08/24/20	236.00	...	-52.01	Option sale
TWITTER INC TWTR Jul 10 2020 35.5 Put / CUSIP: / Symbol: TWTR 07/10/20 P 35.500							
07/08/20	1.000	111.33	07/08/20	109.01	...	2.32	Option sale
07/08/20	1.000	72.33	07/08/20	83.67	11.34 W	0.00	Option sale
<b>Security total:</b>		<b>183.66</b>		<b>192.68</b>	<b>11.34 W</b>	<b>2.32</b>	
UNITEDHEALTH GROUP INC UNH Jul 17 2020 310.0 Call / CUSIP: / Symbol: UNH 07/17/20 C 310.000							
07/14/20	1.000	500.32	07/14/20	400.67	...	99.65	Option sale
UNITEDHEALTH GROUP INC UNH Jul 17 2020 315.0 Call / CUSIP: / Symbol: UNH 07/17/20 C 315.000							
07/14/20	2.000	378.66	Various	365.33	...	13.33	Total of 2 transactions

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UNITED PARCEL SERVICE INC UPS Jul 17 2020		120.0 Call / CUSIP: / Symbol: UPS 07/17/20 C 120.000					
07/15/20	2.000	174.67	07/15/20	171.33	...	3.34	Option sale
VERIZON COMMUNICATIONS VZ Oct 23 2020		60.0 Call / CUSIP: / Symbol: VZ 10/23/20 C 60.000					
10/13/20	2.000	162.67	10/13/20	169.33	...	-6.66	Option sale
WALMART INC WMT Jul 10 2020		122.0 Call / CUSIP: / Symbol: WMT 07/10/20 C 122.000					
07/07/20	1.000	196.33	07/07/20	150.67	...	45.66	Option sale
WALMART INC WMT Jul 10 2020		125.0 Call / CUSIP: / Symbol: WMT 07/10/20 C 125.000					
07/07/20	1.000	179.33	07/07/20	157.67	...	21.66	Option sale
WALMART INC WMT Jul 17 2020		134.0 Call / CUSIP: / Symbol: WMT 07/17/20 C 134.000					
07/17/20	2.000	0.67	07/15/20	359.33	...	-358.66	Option sale
WALMART INC WMT Jul 17 2020		135.0 Call / CUSIP: / Symbol: WMT 07/17/20 C 135.000					
07/10/20	2.000	172.67	07/10/20	157.33	...	15.34	Option sale
WALMART INC WMT Sep 18 2020		140.0 Call / CUSIP: / Symbol: WMT 09/18/20 C 140.000					
09/14/20	2.000	414.66	09/14/20	545.33	...	-130.67	Option sale
SLACK TECHNOLOGIES INC WORK Jul 17 2020		35.0 Call / CUSIP: / Symbol: WORK 07/17/20 C 35.000					
07/13/20	2.000	234.66	07/13/20	235.33	...	-0.67	Option sale
SLACK TECHNOLOGIES INC WORK Nov 27 2020		40.0 Call / CUSIP: / Symbol: WORK 11/27/20 C 40.000					
11/25/20	1.000	149.34	11/25/20	253.66	...	-104.32	Option sale
ZOOM VIDEO COMMUNICATIONS INC ZM Jun 26 2020		265.0 Call / CUSIP: / Symbol: ZM 06/26/20 C 265.000					
06/24/20	1.000	149.33	06/24/20	250.67	...	-101.34	Option sale
ZOOM VIDEO COMMUNICATIONS INC ZM Sep 18 2020		425.0 Call / CUSIP: / Symbol: ZM 09/18/20 C 425.000					
09/18/20	10.000	1,533.28	09/18/20	1,466.67	...	66.61	Option sale
ZOOM VIDEO COMMUNICATIONS INC ZM Sep 25 2020		425.0 Call / CUSIP: / Symbol: ZM 09/25/20 C 425.000					
09/18/20	1.000	1,139.30	09/18/20	1,301.67	...	-162.37	Option sale
ZOOM VIDEO COMMUNICATIONS INC ZM Oct 02 2020		495.0 Call / CUSIP: / Symbol: ZM 10/02/20 C 495.000					
10/02/20	1.000	809.32	10/02/20	700.66	...	108.66	Option sale

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ZOOM VIDEO COMMUNICATIONS INC ZM Oct 02 2020 502.5 Call / CUSIP: / Symbol: ZM 10/02/20 C 502.500							
10/02/20	1.000	759.32	10/02/20	690.66	...	68.66	Option sale
ZOOM VIDEO COMMUNICATIONS INC ZM Oct 09 2020 492.5 Call / CUSIP: / Symbol: ZM 10/09/20 C 492.500							
10/09/20	1.000	569.33	10/09/20	550.66	...	18.67	Option sale
ZOOM VIDEO COMMUNICATIONS INC ZM Oct 09 2020 495.0 Call / CUSIP: / Symbol: ZM 10/09/20 C 495.000							
10/09/20	1.000	578.33	10/09/20	470.66	...	107.67	Option sale
ZOOM VIDEO COMMUNICATIONS INC ZM Oct 16 2020 520.0 Call / CUSIP: / Symbol: ZM 10/16/20 C 520.000							
10/15/20	1.000	769.32	10/15/20	1,050.66	...	-281.34	Option sale
ZOOM VIDEO COMMUNICATIONS INC ZM Oct 16 2020 530.0 Call / CUSIP: / Symbol: ZM 10/16/20 C 530.000							
10/15/20	1.000	791.32	10/15/20	774.66	...	16.66	Option sale
ZOOM VIDEO COMMUNICATIONS INC ZM Oct 23 2020 530.0 Call / CUSIP: / Symbol: ZM 10/23/20 C 530.000							
10/22/20	1.000	738.32	10/22/20	700.66	...	37.66	Option sale
ZOOM VIDEO COMMUNICATIONS INC ZM Oct 30 2020 520.0 Call / CUSIP: / Symbol: ZM 10/30/20 C 520.000							
10/26/20	1.000	1,989.30	10/26/20	1,900.66	...	88.64	Option sale
ZOOM VIDEO COMMUNICATIONS INC ZM Oct 30 2020 550.0 Call / CUSIP: / Symbol: ZM 10/30/20 C 550.000							
10/28/20	1.000	829.32	10/28/20	979.66	...	-150.34	Option sale
ZOOM VIDEO COMMUNICATIONS INC ZM Nov 06 2020 480.0 Call / CUSIP: / Symbol: ZM 11/06/20 C 480.000							
11/04/20	1.000	1,109.32	11/04/20	1,200.66	...	-91.34	Option sale
ZOOM VIDEO COMMUNICATIONS INC ZM Nov 13 2020 440.0 Call / CUSIP: / Symbol: ZM 11/13/20 C 440.000							
11/12/20	1.000	606.33	11/12/20	650.66	...	-44.33	Option sale
ZOOM VIDEO COMMUNICATIONS INC ZM Nov 13 2020 450.0 Call / CUSIP: / Symbol: ZM 11/13/20 C 450.000							
11/12/20	2.000	1,050.65	11/12/20	911.33	...	139.32	Option sale
ZOOM VIDEO COMMUNICATIONS INC ZM Nov 20 2020 450.0 Call / CUSIP: / Symbol: ZM 11/20/20 C 450.000							
11/13/20	1.000	499.33	11/13/20	920.66	...	-421.33	Option sale
ZOOM VIDEO COMMUNICATIONS INC ZM Nov 27 2020 430.0 Call / CUSIP: / Symbol: ZM 11/27/20 C 430.000							
11/19/20	1.000	1,389.31	11/19/20	1,453.66	...	-64.35	Option sale

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Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ZOOM VIDEO COMMUNICATIONS INC ZM Nov 27 2020		460.0	Call / CUSIP: / Symbol: ZM 11/27/20	460.000			
11/27/20	2.000	508.66	11/27/20	469.33	...	39.33	Option sale
ZOOM VIDEO COMMUNICATIONS INC ZM Dec 31 2020		400.0	Call / CUSIP: / Symbol: ZM 12/31/20	400.000			
12/23/20	1.000	869.32	12/23/20	1,020.66	...	-151.34	Option sale
ZOOM VIDEO COMMUNICATIONS INC ZM Sep 25 2020		485.0	Put / CUSIP: / Symbol: ZM 09/25/20	485.000			
09/25/20	1.000	259.33	09/25/20	361.66	...	-102.33	Option sale
<b>Totals :</b>		<b>215,065.32</b>		<b>224,020.15</b>	<b>3,307.26 W</b>	<b>-5,647.57</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 270324970

**Detail for Interest Income****2020**

01/14/2021

*This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled in the Adjustments to Interest and Original Issue Discount and are not reported to the IRS.*

*To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC	9ZZZFD104 MMDA1	07/31/20	0.01	Interest- money mkt	
		09/30/20	0.03	Interest- money mkt	
		10/31/20	0.04	Interest- money mkt	
		11/30/20	0.02	Interest- money mkt	
		12/31/20	0.05	Interest- money mkt	
			0.15	Total Interest	
			<b>0.15</b>	<b>Total Interest</b>	

TD Ameritrade Clearing, Inc.

Account 270324970

**Section 1256 Contracts - Regulated Futures and Nonequity Options**

2020

01/14/2021

*This section of your tax information statement provides contract-by-contract detail of regulated futures and section 1256 contracts. The total for each contract is the sum of the current year's realized and unrealized profit or loss minus the prior year unrealized profit or loss. The annual total for your account is found in Regulated Futures Contracts 1099-B in the Summary Information at the beginning of this statement.*

Security description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
SPDR GOLD TRUST GLD Aug 21 2020 187.0 Call	GLD 08/21/20 C 187.000	08/18/20	2.00	175.33	Realized profit/loss	
SPDR GOLD TRUST GLD Aug 21 2020 190.0 Call	GLD 08/21/20 C 190.000	08/19/20	2.00	-88.66	Realized profit/loss	
SPDR GOLD TRUST GLD Sep 18 2020 186.0 Call	GLD 09/18/20 C 186.000	09/15/20	2.00	-14.66	Realized profit/loss	
S&P 500 SPXW Sep 30 2020 3380.0 Call	SPXW 09/30/20 C 3380.000	10/01/20	1.00	17.52	Realized profit/loss	
S&P 500 SPXW Oct 02 2020 3375.0 Call	SPXW 10/02/20 C 3375.000	10/02/20	1.00	67.52	Realized profit/loss	
S&P 500 SPXW Oct 26 2020 3390.0 Call	SPXW 10/26/20 C 3390.000	10/27/20	1.00	-62.48	Realized profit/loss	
S&P 500 SPXW Oct 26 2020 3395.0 Call	SPXW 10/26/20 C 3395.000	10/27/20	1.00	-352.48	Realized profit/loss	
S&P 500 SPXW Oct 14 2020 3485.0 Put	SPXW 10/14/20 P 3485.000	10/15/20	1.00	-92.48	Realized profit/loss	
S&P 500 SPXW Dec 14 2020 3660.0 Put	SPXW 12/14/20 P 3660.000	12/15/20	2.00	65.20	Realized profit/loss	
				<b>-285.19</b>	<b>Total Realized profit/loss</b>	
				<b>-285.19</b>	<b>Total Aggregate profit or (loss) on contracts</b>	

**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2019 General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-INT Instructions for Recipient**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did not notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Line 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction. **Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

**Line 5.** Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

**Line 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

**Instructions for Recipient**

**Line 7.** Shows the country or U.S. possession to which the foreign tax was paid.

**Line 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

**Line 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

**Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S.

Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

**Line 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

**Line 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient.

Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099INT](http://www.irs.gov/Form1099INT).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual

taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Instructions for Recipient**

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Lines 8 Through 11)**

**Line 8.** Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2020.

**Line 9.** Shows any year-end adjustment to the profit or (loss) shown in line 8 due to open contracts on December 31, 2019.

**Line 10.** Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2020. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts--12/31/2020 in 2021.

**Line 11.** Lines 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2020 Form 6781.