

 Member FINRA/SIPC PO BOX 2209 OMAHA, NE 68103-2209 Client Services: 800-669-3900	Tax Information Account 421011233	Statement Date: 02/19/2021 CORRECTED Document ID: 8K38 143 T831	2020
	SREEKAR REDDY AARAKATLA 3304 PRENTISS LN LEANDER, TX 78641-3373	PAYER'S TIN: 47-0533629	

[] FATCA filing requirement (see instructions)		Summary Information			
DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	114.64	C	2- Royalties	0.00	
1b- Qualified dividends	108.43		3- Other income	0.09	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	100.04	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00				
3- Nondividend distributions	323.75	C	SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0715
4- Federal income tax withheld	0.00		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
5- Section 199A dividends	6.21		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
6- Investment expenses	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
8- Foreign country or US possession:	0.00		11- Aggregate profit or (loss) on contracts	0.00	
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00		<i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>		
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	5,859,028.95	6,002,581.19	0.00	208,059.92	64,507.68 C
Short	B (basis not reported to the IRS)	227,122.85	236,247.03	0.00	9,169.75	45.57
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	6,086,151.80	6,238,828.22	0.00	217,229.67	64,553.25
Long	D (basis reported to the IRS)	51,628.51	38,981.11	0.00	0.00	12,647.40
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	51,628.51	38,981.11	0.00	0.00	12,647.40
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	6,137,780.31	6,277,809.33	0.00	217,229.67	77,200.65
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

TD Ameritrade Clearing, Inc.

Account 421011233

Summary Information

2020

(continued)

02/19/2021 CORRECTED

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.35
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	2,011.63
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	2.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

TD Ameritrade Clearing, Inc.

Account 421011233

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/19/2021 CORRECTED

Sales transactions are grouped by their term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier. For example, proceeds and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

Long positions are reported based on the trade date and short positions are reported based on the settlement date of the trade.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS INC COM CL A / CUSIP: 00165C104 / Symbol: AMC							
06/08/20	800.000	5,262.42	06/05/20	4,736.00	...	526.42	Sale
ADOBE INC COM / CUSIP: 00724F101 / Symbol: ADBE							
11/10/20	25.000	11,289.75	11/10/20	11,228.50	...	61.25	Sale
11/11/20	25.000	11,474.75	11/10/20	11,228.50	...	246.25	Sale
11/18/20	50.000	23,199.48	11/18/20	23,075.00	...	124.48	Sale
	Security total:	45,963.98		45,532.00	...	431.98	
AGEAGLE AERIAL SYSTEMS INC COM / CUSIP: 00848K101 / Symbol: UAVS							
05/14/20	1,000.000	1,360.65	05/13/20	1,290.30	...	70.35	Sale
ALIBABA GROUP HOLDING LTD ADR SPONSORED / CUSIP: 01609W102 / Symbol: BABA							
05/22/20	30.000	6,024.95	05/19/20	6,597.27	...	-572.32	Sale
06/08/20	10.000	2,193.75	05/19/20	2,199.09	...	-5.34	Sale
	Security total:	8,218.70		8,796.36	...	-577.66	
ALPHA PRO TECH COM / CUSIP: 020772109 / Symbol: APT							
04/29/20	511.000	6,796.09	Various	6,847.40	51.31 W	0.00	Total of 2 transactions
04/30/20	3,489.000	45,435.83	Various	45,213.91	720.67 W	942.59	Sale
05/06/20	3,500.000	50,021.71	Various	46,925.32	...	3,096.39	Total of 4 transactions
05/12/20	500.000	6,580.09	05/05/20	6,443.00	...	137.09	Sale
	Security total:	108,833.72		105,429.63	771.98 W	4,176.07	

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/19/2021 CORRECTED

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ALPINE IMMUNE SCIENCES INC COM / CUSIP: 02083G100 / Symbol: ALPN							
06/18/20	300.000	3,333.39	Various	4,066.80	...	-733.41	Total of 2 transactions
06/22/20	200.000	2,585.92	06/18/20	2,711.20	...	-125.28	Sale
	Security total:	5,919.31		6,778.00	...	-858.69	
AMARIN CORP ADR SPONSORED / CUSIP: 023111206 / Symbol: AMRN							
04/07/20	1,100.000	5,917.74	03/31/20	4,499.31	...	1,418.43	Sale
04/13/20	1,100.000	7,061.71	04/07/20	5,555.00	...	1,506.71	Sale
04/16/20	1,000.000	6,279.75	04/14/20	6,780.00	500.25 W	0.00	Sale
04/17/20	5,000.000	31,299.21	Various	34,051.08	...	-2,751.87	Sale
04/17/20	5,000.000	31,298.71	04/14/20	33,240.00	1,941.29 W	0.00	Sale
05/08/20	1,000.000	7,541.21	05/06/20	7,589.76	...	-48.55	Sale
	Security total:	89,398.33		91,715.15	2,441.54 W	124.72	
AMAZON COM INC COM / CUSIP: 023135106 / Symbol: AMZN							
03/19/20	15.000	28,515.97	03/18/20	26,536.95	...	1,979.02	Sale
03/24/20	3.000	5,750.20	03/20/20	5,521.75	...	228.45	Sale
	Security total:	34,266.17		32,058.70	...	2,207.47	
AMERICAN AIRLINES GROUP INC COM / CUSIP: 02376R102 / Symbol: AAL							
04/07/20	300.000	3,407.91	03/30/20	3,726.00	318.09 W	0.00	Sale
04/23/20	4,000.000	42,638.59	Various	42,517.09	...	121.50	Sale
06/04/20	1,000.000	16,784.31	06/04/20	16,920.00	135.69 W	0.00	Sale
06/05/20	300.000	5,843.83	06/05/20	5,869.67	...	-25.84	Sale
06/05/20	800.000	15,375.56	06/05/20	15,638.95	194.16 W	-69.23	Sale
06/08/20	300.000	6,122.82	06/05/20	5,618.66	...	504.16	Sale
06/09/20	300.000	5,772.03	Various	5,605.06	...	166.97	Total of 2 transactions
	Security total:	95,945.05		95,895.43	647.94 W	697.56	
APPIAN CORPORATION COM CL A / CUSIP: 03782L101 / Symbol: APPN							
07/09/20	200.000	10,298.75	Various	10,369.00	...	-70.25	Sale
APPLE INC COM / CUSIP: 037833100 / Symbol: AAPL							
06/15/20	100.000	33,967.24	06/15/20	34,018.00	...	-50.76	Sale
APPLIED DNA SCIENCES INC COM / CUSIP: 03815U300 / Symbol: APDN							
06/17/20	1,000.000	7,161.22	Various	7,170.00	...	-8.78	Total of 2 transactions

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Account 421011233

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/19/2021 CORRECTED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARCTURUS THERAPEUTICS HLDG INC COM / CUSIP: 03969T109 / Symbol: ARCT							
06/08/20	120.000	3,899.91	06/01/20	4,859.60	...	-959.69	Sale
ASTRAZENECA ADR SPONSORED / CUSIP: 046353108 / Symbol: AZN							
07/06/20	200.000	10,812.72	07/02/20	10,733.84	...	78.88	Sale
ATHERSYS INC COM / CUSIP: 04744L106 / Symbol: ATHX							
07/31/20	1,000.000	2,590.92	07/29/20	2,585.40	...	5.52	Sale
AVADEL PHARMACEUTICALS PLC ADR / CUSIP: 05337M104 / Symbol: AVDL							
05/13/20	1,000.000	8,925.58	Various	9,784.95	...	-859.37	Sale
AZUL SA ADR UNSPONSORED / CUSIP: 05501U106 / Symbol: AZUL							
06/16/20	1,000.000	14,231.47	06/15/20	12,799.90	...	1,431.57	Sale
06/23/20	3,000.000	37,869.57	Various	39,814.25	2,553.35 W	608.67	Total of 3 transactions
06/25/20	1,000.000	12,089.61	06/24/20	12,907.96	818.35 W	0.00	Sale
07/01/20	500.000	5,739.81	06/29/20	5,590.79	...	149.02	Sale
07/01/20	4,500.000	51,568.32	Various	52,403.48	145.84 W	-689.32	Sale
	Security total:	121,498.78		123,516.38	3,517.54 W	1,499.94	
BGC PARTNERS INC COM CL A / CUSIP: 05541T101 / Symbol: BGCP							
05/05/20	1,500.000	4,634.72	Various	4,575.00	...	59.72	Total of 2 transactions
BANK OF AMERICA CORPORATION COM / CUSIP: 060505104 / Symbol: BAC							
07/14/20	174.000	4,184.00	Various	4,231.80	...	-47.80	Sale
BELLUS HEALTH INC COM / CUSIP: 07987C204 / Symbol: BLU							
07/06/20	3,000.000	11,855.18	Various	9,450.00	...	2,405.18	Total of 2 transactions
07/06/20	3,000.000	11,939.68	07/06/20	11,999.70	60.02 W	0.00	Sale
07/27/20	4,827.000	12,066.66	07/06/20	19,215.50	7,148.84 W	0.00	Sale
09/15/20	15,000.000	35,559.56	Various	58,999.38	...	-23,439.82	Total of 3 transactions
12/01/20	273.000	855.29	07/13/20	748.84	...	106.45	Sale
	Security total:	72,276.37		100,413.42	7,208.86 W	-20,928.19	
BEYOND MEAT INC COM / CUSIP: 08862E109 / Symbol: BYND							
04/24/20	22.000	2,428.97	02/21/20	2,617.54	...	-188.57	Sale

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/19/2021 CORRECTED

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BIGCOMMERCE HLDGS INC COM / CUSIP: 08975P108 / Symbol: BIGC							
08/20/20	50.000	3,305.23	08/19/20	3,453.00	...	-147.77	Sale
11/05/20	100.000	8,499.80	10/30/20	7,312.00	...	1,187.80	Sale
	Security total:	11,805.03		10,765.00	...	1,040.03	
BIOCEPT INC 1:10 R/S 9/8/20 09072V501 / CUSIP: 09072V402 / Symbol:							
06/15/20	20,000.000	14,168.41	Various	11,381.40	...	2,787.01	Total of 5 transactions
BIOLASE INC COM / CUSIP: 090911207 / Symbol: BIOL							
06/05/20	10,000.000	6,298.67	06/05/20	7,589.99	...	-1,291.32	Sale
06/05/20	20,000.000	9,617.41	06/04/20	10,699.00	691.29 W	-390.30	Sale
	Security total:	15,916.08		18,288.99	691.29 W	-1,681.62	
BLINK CHARGING CO COM / CUSIP: 09354A100 / Symbol: BLNK							
06/03/20	1,310.000	2,357.79	06/01/20	2,380.93	...	-23.14	Sale
06/10/20	690.000	1,597.28	Various	1,254.07	...	343.21	Total of 2 transactions
	Security total:	3,955.07		3,635.00	...	320.07	
BRIGGS & STRATTON CORP BANKRUPTCY 1/6/21 / CUSIP: 109043109 / Symbol: BGGSQ							
07/22/20	600.000	120.47	07/02/20	1,581.61	...	-1,461.14	Sale
07/22/20	2,400.000	475.15	Various	3,339.60	823.21 W	-2,041.24	Sale
	Security total:	595.62		4,921.21	823.21 W	-3,502.38	
CIT GROUP INC COM / CUSIP: 125581801 / Symbol: CIT							
07/06/20	400.000	8,127.13	07/01/20	7,847.97	...	279.16	Sale
CALLON PETROLEUM CO 1:10 R/S 8/10/20 13123X508 / CUSIP: 13123X102 / Symbol:							
05/07/20	1,000.000	778.56	05/07/20	775.00	...	3.56	Sale
05/18/20	1,000.000	804.06	05/07/20	775.00	...	29.06	Sale
06/08/20	2,000.000	4,959.65	06/08/20	4,159.60	...	800.05	Sale
	Security total:	6,542.27		5,709.60	...	832.67	
CARMAX INC COM / CUSIP: 143130102 / Symbol: KMX							
09/28/20	100.000	9,573.58	09/25/20	9,323.00	...	250.58	Sale

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CARNIVAL CORP UNITS / CUSIP: 143658300 / Symbol: CCL							
05/07/20	500.000	6,752.83	Various	6,688.20	...	64.63	Total of 2 transactions
05/08/20	500.000	7,019.83	05/05/20	6,688.20	...	331.63	Sale
06/12/20	400.000	7,891.78	06/11/20	7,500.00	...	391.78	Sale
09/16/20	3,000.000	49,468.55	09/15/20	48,846.60	...	621.95	Sale
10/06/20	1,500.000	23,399.30	09/17/20	23,775.00	375.70 W	0.00	Sale
10/23/20	2,000.000	30,919.28	Various	31,127.77	808.31 W	599.82	Sale
11/09/20	2,000.000	37,503.33	Various	29,005.33	...	8,498.00	Sale
11/17/20	3,400.000	61,888.09	Various	57,907.71	...	3,980.38	Sale
11/18/20	2,500.000	45,336.20	Various	44,318.16	...	1,018.04	Sale
11/23/20	2,266.000	41,455.28	11/18/20	40,314.24	...	1,141.04	Sale
12/28/20	150.000	3,214.41	Various	2,849.25	...	365.16	Sale
Security total:		314,848.88		299,020.46	1,184.01 W	17,012.43	
CARVANA CO COM CL A / CUSIP: 146869102 / Symbol: CVNA							
10/27/20	200.000	41,709.06	Various	42,809.27	1,100.21 W	0.00	Total of 2 transactions
10/30/20	100.000	21,299.52	10/20/20	20,850.81	...	448.71	Sale
11/04/20	100.000	19,799.55	10/30/20	18,766.00	...	1,033.55	Sale
11/05/20	100.000	20,699.53	10/30/20	18,766.00	...	1,933.53	Sale
11/10/20	100.000	19,399.56	11/10/20	18,580.00	...	819.56	Sale
Security total:		122,907.22		119,772.08	1,100.21 W	4,235.35	
CARVER BANCORP INC COM / CUSIP: 146875604 / Symbol: CARV							
06/17/20	2,100.000	34,200.19	Various	35,603.90	...	-1,403.71	Total of 10 transactions
06/17/20	1,700.000	27,317.86	06/17/20	28,811.25	1,147.96 W	-345.43	Sale
Security total:		61,518.05		64,415.15	1,147.96 W	-1,749.14	
CELLDEX THERAPEUTICS INC COM / CUSIP: 15117B202 / Symbol: CLDX							
06/12/20	1,000.000	8,869.68	06/12/20	9,050.10	54.13 W	-126.29	Sale
06/25/20	300.000	3,863.90	Various	3,804.13	...	59.77	Total of 2 transactions
Security total:		12,733.58		12,854.23	54.13 W	-66.52	
CHESAPEAKE ENERGY CORP BANKRUPTCY 2/9/21 / CUSIP: 165167743 / Symbol: CHKAQ							
06/08/20	300.000	12,119.69	Various	14,145.79	...	-2,026.10	Total of 2 transactions
06/08/20	200.000	8,101.80	06/08/20	8,900.00	798.20 W	0.00	Sale
Security total:		20,221.49		23,045.79	798.20 W	-2,026.10	

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Account 421011233

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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CINEMARK HOLDINGS INC COM / CUSIP: 17243V102 / Symbol: CNK							
12/11/20	500.000	8,074.76	12/09/20	7,605.00	...	469.76	Sale
12/17/20	400.000	6,653.80	12/09/20	6,084.00	...	569.80	Sale
	Security total:	14,728.56		13,689.00	...	1,039.56	
CLEANSPARK INC COM / CUSIP: 18452B209 / Symbol: CLSK							
05/05/20	5,229.000	12,580.37	Various	10,054.90	...	2,525.47	Total of 2 transactions
05/05/20	1,000.000	3,319.81	05/05/20	3,690.40	370.59 W	0.00	Sale
05/07/20	2,000.000	4,472.66	Various	5,162.65	598.61 W	-91.38	Total of 2 transactions
05/11/20	2,000.000	4,314.48	Various	4,827.55	...	-513.07	Total of 2 transactions
	Security total:	24,687.32		23,735.50	969.20 W	1,921.02	
CLEARSIGN TECHNOLOGIES CORP COM / CUSIP: 185064102 / Symbol: CLIR							
06/15/20	1,000.000	1,979.84	06/15/20	2,300.00	...	-320.16	Sale
06/16/20	1,000.000	1,589.84	06/15/20	2,300.00	...	-710.16	Sale
	Security total:	3,569.68		4,600.00	...	-1,030.32	
CO DIAGNOSTICS INC COM / CUSIP: 189763105 / Symbol: CODX							
06/09/20	400.000	6,444.25	Various	7,048.09	...	-603.84	Sale
06/09/20	1,000.000	16,049.53	Various	17,046.50	996.97 W	0.00	Sale
06/10/20	500.000	8,113.76	Various	8,485.98	...	-372.22	Total of 2 transactions
06/22/20	100.000	1,674.44	06/04/20	1,697.20	...	-22.76	Sale
	Security total:	32,281.98		34,277.77	996.97 W	-998.82	
COCRYSTAL PHARMA INC COM / CUSIP: 19188J300 / Symbol: COCP							
06/09/20	4,000.000	4,159.83	06/09/20	4,480.00	...	-320.17	Sale
06/10/20	4,000.000	4,839.41	06/09/20	4,480.00	...	359.41	Sale
	Security total:	8,999.24		8,960.00	...	39.24	
CORSAIR GAMING INC COM / CUSIP: 22041X102 / Symbol: CRSR							
12/09/20	100.000	3,739.91	12/08/20	3,751.00	...	-11.09	Sale

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DATADOG INC COM CL A / CUSIP: 23804L103 / Symbol: DDOG							
11/10/20	100.000	8,839.79	11/10/20	8,420.00	...	419.79	Sale
11/11/20	99.000	8,216.81	11/11/20	8,024.49	...	192.32	Sale
11/23/20	1.000	89.59	11/11/20	81.06	...	8.53	Sale
	Security total:	17,146.19		16,525.55	...	620.64	
DAVE & BUSTER'S ENTERTAINMENT COM / CUSIP: 238337109 / Symbol: PLAY							
05/08/20	700.000	8,133.74	05/08/20	7,927.00	...	206.74	Sale
05/18/20	500.000	5,689.86	05/08/20	5,741.15	51.29 W	0.00	Sale
05/22/20	750.000	8,912.49	Various	8,104.29	...	808.20	Sale
	Security total:	22,736.09		21,772.44	51.29 W	1,014.94	
DIREXION SHARES ETF TRUST 1:10 R/S 4/23/20 25460G831 / CUSIP: 25460E166 / Symbol:							
03/23/20	2,000.000	8,899.57	03/20/20	8,361.97	...	537.60	Sale
03/23/20	1,000.000	4,409.78	03/20/20	4,409.32	89.47 W	89.93	Sale
03/24/20	2,500.000	13,949.39	Various	9,470.13	...	4,479.26	Total of 8 transactions
03/25/20	3,000.000	21,149.18	Various	13,385.30	...	7,763.88	Sale
04/02/20	7,000.000	32,758.45	Various	40,929.62	8,510.89 W	339.72	Sale
04/03/20	7,000.000	32,129.16	04/02/20	40,290.89	8,236.55 W	74.82	Sale
04/06/20	10,500.000	52,707.57	Various	61,593.20	9,809.72 W	924.09	Total of 2 transactions
04/08/20	7,000.000	36,468.37	04/06/20	38,668.07	3,073.91 W	874.21	Sale
04/09/20	7,000.000	40,038.99	04/08/20	38,383.61	732.55 W	2,387.93	Sale
04/13/20	2,000.000	12,179.49	04/09/20	11,842.17	...	337.32	Sale
04/14/20	7,000.000	52,078.72	04/14/20	51,389.68	108.49 W	797.53	Sale
04/15/20	500.000	3,374.87	04/14/20	3,655.00	280.13 W	0.00	Sale
04/16/20	9,500.000	66,834.88	Various	67,371.93	1,190.35 W	653.30	Total of 3 transactions
04/20/20	8,000.000	53,117.83	Various	52,834.03	756.20 W	1,040.00	Total of 2 transactions
04/22/20	3,000.000	21,719.46	04/21/20	19,364.86	...	2,354.60	Sale
	Security total:	451,815.71		461,949.78	32,788.26 W	22,654.19	
DIREXION SHARES ETF TRUST DLY AEROSPC 3X ETF / CUSIP: 25460E661 / Symbol: DFEN							
06/12/20	200.000	2,979.91	06/11/20	2,646.02	...	333.89	Sale

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DIREXION SHARES ETF TRUST 1:25 R/S 4/23/20 25460G807 / CUSIP: 25460E877 / Symbol:							
04/14/20	4,000.000	5,319.40	04/13/20	5,800.59	...	-481.19	Sale
04/14/20	4,000.000	5,079.41	04/13/20	5,640.00	560.59 W	0.00	Sale
	Security total:	10,398.81		11,440.59	560.59 W	-481.19	
DIREXION SHARES ETF TRUST DIRXN DLY JR GLD MIN IDX 2X / CUSIP: 25460G831 / Symbol: JNUG							
05/28/20	200.000	18,960.56	05/26/20	18,578.10	...	382.46	Sale
06/04/20	300.000	28,693.37	06/03/20	27,104.97	...	1,588.40	Sale
06/05/20	300.000	26,015.39	06/05/20	25,631.99	...	383.40	Sale
06/12/20	200.000	18,177.58	06/11/20	17,670.56	...	507.02	Sale
	Security total:	91,846.90		88,985.62	...	2,861.28	
DISCOVER FINANCIAL SERVICES COM / CUSIP: 254709108 / Symbol: DFS							
06/16/20	190.000	10,870.15	06/12/20	9,940.40	...	929.75	Sale
07/08/20	10.000	485.72	06/12/20	523.10	...	-37.38	Sale
	Security total:	11,355.87		10,463.50	...	892.37	
DIREXION SHARES ETF TRUST 1:25 R/S 4/23/20 25460G880 / CUSIP: 25490K133 / Symbol:							
04/14/20	2,540.000	3,936.61	04/13/20	4,140.20	...	-203.59	Sale
DOORDASH INC COM CL A / CUSIP: 25809K105 / Symbol: DASH							
12/15/20	100.000	15,899.64	12/15/20	15,530.00	...	369.64	Sale
EDESA BIOTECH INC COM / CUSIP: 27966L108 / Symbol: EDSA							
06/15/20	1,000.000	8,992.08	Various	7,800.30	...	1,191.78	Total of 2 transactions
ELECTRAMECCANICA VEHS CORP COM / CUSIP: 284849205 / Symbol: SOLO							
07/06/20	400.000	1,753.91	07/02/20	1,300.00	...	453.91	Sale
ESPERION THERAPEUTICS INC COM / CUSIP: 29664W105 / Symbol: ESPR							
06/22/20	300.000	14,180.65	06/22/20	14,187.00	4.23 W	-2.12	Sale
07/06/20	200.000	10,260.75	07/06/20	10,154.23	...	106.52	Sale
	Security total:	24,441.40		24,341.23	4.23 W	104.40	
EVOFEM BIOSCIENCES INC COM / CUSIP: 30048L104 / Symbol: EVFM							
08/31/20	1,000.000	3,344.81	08/19/20	3,425.00	...	-80.19	Sale

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FACEBOOK INC COM CL A / CUSIP: 30303M102 / Symbol: FB							
06/29/20	50.000	10,466.26	06/26/20	10,970.12	...	-503.86	Sale
FASTLY INC COM CL A / CUSIP: 31188V100 / Symbol: FSLY							
08/19/20	50.000	3,936.90	08/06/20	4,518.00	581.10 W	0.00	Sale
08/20/20	100.000	8,829.79	08/18/20	8,687.57	...	142.22	Sale
08/20/20	100.000	8,422.80	08/06/20	9,416.37	993.57 W	0.00	Sale
	Security total:	21,189.49		22,621.94	1,574.67 W	142.22	
FIVE9 INC COM / CUSIP: 338307101 / Symbol: FIVN							
06/18/20	50.000	5,154.38	06/18/20	5,275.00	...	-120.62	Sale
06/23/20	50.000	5,388.88	06/18/20	5,275.00	...	113.88	Sale
	Security total:	10,543.26		10,550.00	...	-6.74	
FORD MOTOR CO COM / CUSIP: 345370860 / Symbol: F							
04/08/20	1,000.000	4,849.77	03/31/20	4,805.60	...	44.17	Sale
05/05/20	1,000.000	4,949.77	05/01/20	4,945.00	...	4.77	Sale
	Security total:	9,799.54		9,750.60	...	48.94	
FORUM MERGER II CORPORATION 1:1 EXC 10/16/20 87663X102 / CUSIP: 34986F103 / Symbol:							
06/09/20	1,000.000	14,935.05	06/08/20	13,471.23	...	1,463.82	Sale
06/10/20	1,000.000	15,839.53	06/09/20	14,749.90	...	1,089.63	Sale
06/12/20	600.000	8,217.75	Various	7,965.00	...	252.75	Total of 2 transactions
06/25/20	1,000.000	16,429.52	06/23/20	16,670.00	...	-240.48	Sale
	Security total:	55,421.85		52,856.13	...	2,565.72	
GENMARK DIAGNOSTICS INC COM / CUSIP: 372309104 / Symbol: GNMK							
06/09/20	700.000	6,327.78	06/09/20	6,238.47	...	89.31	Sale
GEVO INC COM / CUSIP: 374396406 / Symbol: GEVO							
07/06/20	12,232.000	6,444.66	07/02/20	5,910.67	...	533.99	Sale
GOHEALTH INC COM CL A / CUSIP: 38046W105 / Symbol: GOCO							
07/21/20	100.000	2,116.44	07/15/20	2,560.00	443.56 W	0.00	Sale
08/17/20	800.000	14,923.57	Various	14,783.04	...	140.53	Sale
	Security total:	17,040.01		17,343.04	443.56 W	140.53	

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GOODRX HLDGS INC COM CL A / CUSIP: 38246G108 / Symbol: GDRX							
11/19/20	600.000	21,431.46	11/17/20	22,640.00	1,208.54 W	0.00	Sale
11/30/20	300.000	11,348.71	Various	11,494.27	...	-145.56	Sale
12/01/20	50.000	1,847.95	11/18/20	1,799.05	...	48.90	Sale
12/03/20	250.000	9,379.76	11/18/20	8,835.22	...	544.54	Sale
	Security total:	44,007.88		44,768.54	1,208.54 W	447.88	
HERTZ GLOBAL HOLDINGS INC COM / CUSIP: 42806J106 / Symbol: HTZGQ							
06/09/20	1,000.000	4,259.79	06/09/20	4,190.00	...	69.79	Sale
ICICI BANK LIMITED ADR SPONSORED / CUSIP: 45104G104 / Symbol: IBN							
06/10/20	1,000.000	9,470.12	Various	8,538.10	...	932.02	Total of 2 transactions
06/16/20	1,000.000	8,959.68	06/15/20	8,786.10	...	173.58	Sale
	Security total:	18,429.80		17,324.20	...	1,105.60	
IDEANOMICS INC COM / CUSIP: 45166V106 / Symbol: IDEX							
06/09/20	6,000.000	5,819.16	06/09/20	6,180.00	...	-360.84	Sale
IMMURON LIMITED ADR SPONSORED / CUSIP: 45254U101 / Symbol: IMRN							
06/09/20	1,000.000	17,554.49	06/09/20	17,290.35	...	264.14	Sale
IMMUNIC INC COM / CUSIP: 4525EP101 / Symbol: IMUX							
05/12/20	500.000	5,406.66	Various	4,904.35	...	502.31	Total of 2 transactions
05/13/20	500.000	6,849.77	Various	5,172.88	...	1,676.89	Sale
06/03/20	200.000	2,647.92	05/14/20	2,680.00	32.08 W	0.00	Sale
06/04/20	2,000.000	24,599.22	Various	23,356.08	...	1,243.14	Sale
	Security total:	39,503.57		36,113.31	32.08 W	3,422.34	
INOVIO PHARMACEUTICALS INC COM / CUSIP: 45773H201 / Symbol: INO							
04/28/20	4,000.000	57,398.25	Various	52,927.80	...	4,470.45	Total of 2 transactions
04/29/20	1,500.000	21,081.86	04/28/20	20,875.00	282.71 W	489.57	Sale
05/01/20	2,000.000	20,381.11	Various	32,718.28	...	-12,337.17	Total of 2 transactions
05/01/20	3,000.000	29,938.99	Various	40,287.71	7,568.38 W	-2,780.34	Sale
	Security total:	128,800.21		146,808.79	7,851.09 W	-10,157.49	
INTUIT INC COM / CUSIP: 461202103 / Symbol: INTU							
12/30/20	50.000	18,869.57	12/30/20	18,865.85	...	3.72	Sale

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INVESCO MORTGAGE CAPITAL INC COM USD0.01 / CUSIP: 46131B100 / Symbol: IVR							
05/05/20	2,500.000	7,499.53	Various	8,067.50	482.78 W	-85.19	Sale
05/12/20	1,000.000	2,913.72	Various	3,407.64	493.92 W	0.00	Sale
07/17/20	283.000	964.98	05/01/20	682.57	...	282.41	Sale C
07/17/20	500.000	1,679.95	Various	1,966.72	492.86 W	206.09	Sale C
08/07/20	265.000	853.25	06/05/20	1,340.60	...	-487.35	Sale C
	Security total:	13,911.43		15,465.03	1,469.56 W	-84.04	
JIAYIN GROUP INC ADR / CUSIP: 47737C104 / Symbol: JFIN							
06/11/20	100.000	440.98	06/10/20	640.00	199.02 W	0.00	Sale
06/15/20	500.000	2,789.88	06/15/20	3,650.00	314.80 W	-545.32	Sale
06/16/20	500.000	2,704.93	06/15/20	4,153.83	...	-1,448.90	Sale
	Security total:	5,935.79		8,443.83	513.82 W	-1,994.22	
JUMIA TECHNOLOGIES AG ADR SPONSORED / CUSIP: 48138M105 / Symbol: JMIA							
11/13/20	499.000	7,145.46	11/10/20	6,447.08	...	698.38	Sale
11/23/20	1.000	27.58	11/10/20	12.92	...	14.66	Sale
	Security total:	7,173.04		6,460.00	...	713.04	
KOHLS CORPORATION COM / CUSIP: 500255104 / Symbol: KSS							
04/07/20	200.000	3,659.90	03/30/20	3,431.06	...	228.84	Sale
05/08/20	500.000	9,364.72	05/01/20	8,885.00	...	479.72	Sale
05/15/20	500.000	8,669.75	05/01/20	8,885.00	129.15 W	-86.10	Sale
06/02/20	300.000	6,173.85	05/29/20	6,051.15	...	122.70	Sale
	Security total:	27,868.22		27,252.21	129.15 W	745.16	
LI AUTO INC ADR / CUSIP: 50202M102 / Symbol: LI							
08/05/20	800.000	13,273.60	Various	13,011.99	...	261.61	Total of 2 transactions
LEMONADE INC COM / CUSIP: 52567D107 / Symbol: LMND							
07/02/20	300.000	16,065.57	Various	15,545.70	...	519.87	Total of 2 transactions
LIVERAMP HOLDINGS INC COM / CUSIP: 53815P108 / Symbol: RAMP							
11/30/20	500.000	29,846.78	Various	30,605.00	778.93 W	20.71	Sale
12/02/20	400.000	23,539.44	Various	23,753.93	...	-214.49	Total of 2 transactions
	Security total:	53,386.22		54,358.93	778.93 W	-193.78	

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Account 421011233

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2020 1099-B* OMB No. 1545-0715

(continued)

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LIVONGO HEALTH INC MRG \$4.24/SH & .592:1 11/2/20 / CUSIP: 539183103 / Symbol:							
08/05/20	150.000	20,255.53	Various	19,614.60	...	640.93	Total of 2 transactions
LUCKIN COFFEE INC ADR SPONSORED / CUSIP: 54951L109 / Symbol: LKNCY							
06/26/20	2,100.000	4,094.66	Various	10,984.00	...	-6,889.34	Sale
06/26/20	300.000	584.98	Various	5,414.98	4,830.00 W	0.00	Sale
	Security total:	4,679.64		16,398.98	4,830.00 W	-6,889.34	
LULULEMON ATHLETICA INC COM / CUSIP: 550021109 / Symbol: LULU							
12/11/20	25.000	8,799.81	12/11/20	8,681.00	...	118.81	Sale
12/14/20	25.000	8,787.43	12/11/20	8,681.00	...	106.43	Sale
	Security total:	17,587.24		17,362.00	...	225.24	
MGM RESORTS INTERNATIONAL COM / CUSIP: 552953101 / Symbol: MGM							
06/04/20	500.000	10,704.50	06/04/20	10,572.80	...	131.70	Sale
06/04/20	2,000.000	42,798.81	06/04/20	42,767.50	165.30 W	196.61	Sale
	Security total:	53,503.31		53,340.30	165.30 W	328.31	
MACERICH CO COM USD0.01 / CUSIP: 554382101 / Symbol: MAC							
06/18/20	700.000	6,502.78	06/09/20	8,715.00	...	-2,212.22	Sale
07/13/20	300.000	2,597.03	06/09/20	3,735.00	...	-1,137.97	Sale
	Security total:	9,099.81		12,450.00	...	-3,350.19	
MACY'S INC COM / CUSIP: 55616P104 / Symbol: M							
06/08/20	1,000.000	9,859.66	06/05/20	8,869.90	...	989.76	Sale
06/11/20	2,000.000	14,499.44	Various	19,409.00	...	-4,909.56	Sale
	Security total:	24,359.10		28,278.90	...	-3,919.80	
MATADOR RESOURCES COMPANY COM / CUSIP: 576485205 / Symbol: MTDR							
05/08/20	2,000.000	14,144.35	Various	12,679.71	...	1,464.64	Total of 2 transactions
05/15/20	1,000.000	7,065.42	05/14/20	6,769.90	...	295.52	Sale
06/02/20	1,000.000	8,657.19	05/28/20	8,198.10	...	459.09	Sale
06/16/20	1,000.000	10,810.64	06/09/20	10,589.97	...	220.67	Sale
	Security total:	40,677.60		38,237.68	...	2,439.92	
MEDIACO HOLDING INC COM CL A / CUSIP: 58450D104 / Symbol: MDIA							
06/17/20	1,000.000	8,596.78	Various	6,939.60	...	1,657.18	Total of 8 transactions

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MILESTONE PHARMACEUTICALS INC COM / CUSIP: 59935V107 / Symbol: MIST							
06/05/20	1,000.000	3,619.80	06/02/20	3,846.45	...	-226.65	Sale
MILLENDO THERAPEUTICS INC COM / CUSIP: 60040X103 / Symbol: MLND							
06/11/20	2,000.000	4,199.67	Various	4,413.10	...	-213.43	Sale
MODERNA INC COM / CUSIP: 60770K107 / Symbol: MRNA							
05/26/20	100.000	6,171.35	05/20/20	6,983.00	811.65 W	0.00	Sale
06/09/20	100.000	5,833.86	06/03/20	6,962.59	1,128.73 W	0.00	Sale
07/06/20	100.000	5,943.36	07/02/20	7,021.73	...	-1,078.37	Sale
	Security total:	17,948.57		20,967.32	1,940.38 W	-1,078.37	
MONAKER GROUP INC COM / CUSIP: 609011200 / Symbol: MKGI							
06/29/20	500.000	976.96	Various	924.45	...	52.51	Total of 3 transactions
MYOMO INC COM / CUSIP: 62857J201 / Symbol: MYO							
06/18/20	2,000.000	7,669.64	Various	7,579.80	...	89.84	Total of 3 transactions
NIO INC ADR / CUSIP: 62914V106 / Symbol: NIO							
04/06/20	1,000.000	2,489.82	03/18/20	2,465.00	...	24.82	Sale
11/18/20	300.000	14,273.64	11/17/20	13,713.00	...	560.64	Sale
	Security total:	16,763.46		16,178.00	...	585.46	
NTN BUZZTIME COM / CUSIP: 629410606 / Symbol: NTN							
07/07/20	300.000	644.98	06/17/20	1,619.09	...	-974.11	Sale
NETFLIX INC COM / CUSIP: 64110L106 / Symbol: NFLX							
07/17/20	10.000	4,907.49	Various	5,291.69	...	-384.20	Total of 2 transactions
NEW RESIDENTIAL INVT CORP COM NPV / CUSIP: 64828T201 / Symbol: NRZ							
04/15/20	10.000	51.20	03/30/20	61.52	10.32 W	0.00	Sale
04/17/20	190.000	1,041.16	03/30/20	1,168.82	127.66 W	0.00	Sale
04/21/20	2,000.000	11,039.75	04/21/20	10,679.80	...	359.95	Sale
04/21/20	10,000.000	54,755.60	Various	51,415.55	107.36 W	3,447.41	Total of 3 transactions
04/27/20	6,000.000	32,998.56	Various	32,521.63	...	476.93	Sale
06/09/20	1,000.000	8,602.09	06/05/20	8,684.59	...	-82.50	Sale
	Security total:	108,488.36		104,531.91	245.34 W	4,201.79	

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NEXTERA ENERGY INC COM / CUSIP: 65339F101 / Symbol: NEE							
07/06/20	50.000	12,301.72	07/06/20	12,307.00	...	-5.28	Sale
NIKOLA CORPORATION COM / CUSIP: 654110105 / Symbol: NKLA							
06/04/20	100.000	3,337.37	06/02/20	3,307.07	...	30.30	Sale
07/06/20	100.000	5,618.87	07/02/20	6,136.99	...	-518.12	Sale
	Security total:	8,956.24		9,444.06	...	-487.82	
9 METERS BIOPHARMA INC COM / CUSIP: 654405109 / Symbol: NMTR							
09/10/20	2,500.000	1,538.67	08/19/20	2,287.50	...	-748.83	Sale
NOVAN INC COM / CUSIP: 66988N106 / Symbol: NOVN							
05/12/20	20,000.000	8,217.88	Various	7,419.03	...	798.85	Total of 3 transactions
05/20/20	20,000.000	7,918.43	Various	7,398.00	...	520.43	Total of 2 transactions
	Security total:	16,136.31		14,817.03	...	1,319.28	
OASIS PETROLEUM INC 0.00506285:1 EXC 11/19/20 / CUSIP: 674215108 / Symbol: OASPQ							
06/08/20	2,000.000	3,999.67	06/08/20	3,179.60	...	820.07	Sale
OCUGEN INC COM / CUSIP: 67577C105 / Symbol: OCGN							
05/26/20	31,350.000	10,550.44	Various	9,884.59	...	665.85	Total of 4 transactions
06/01/20	30,000.000	7,496.26	Various	9,432.12	...	-1,935.86	Sale
	Security total:	18,046.70		19,316.71	...	-1,270.01	
ON DECK CAPITAL MRG @\$.12/SH & .092:1 10/13/20 / CUSIP: 682163100 / Symbol:							
06/08/20	11,000.000	15,291.10	Various	13,171.00	...	2,120.10	Total of 3 transactions
ONTRAK INC COM / CUSIP: 683373104 / Symbol: OTRK							
08/20/20	75.000	5,890.29	08/20/20	5,526.38	...	363.91	Sale
08/21/20	100.000	6,674.84	Various	6,400.00	...	274.84	Total of 2 transactions
	Security total:	12,565.13		11,926.38	...	638.75	
ORACLE CORP COM / CUSIP: 68389X105 / Symbol: ORCL							
03/26/20	400.000	19,407.52	03/18/20	17,172.32	...	2,235.20	Sale

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OVERSTOCK COM INC DEL COM / CUSIP: 690370101 / Symbol: OSTK							
04/28/20	1,500.000	17,435.03	Various	15,915.00	...	1,520.03	Total of 2 transactions
04/29/20	1,000.000	12,499.62	04/29/20	12,306.30	...	193.32	Sale
04/30/20	3,000.000	42,285.39	Various	39,602.70	...	2,682.69	Total of 3 transactions
04/30/20	4,000.000	52,598.36	04/30/20	51,837.02	250.41 W	1,011.75	Sale
05/01/20	2,000.000	25,159.19	Various	25,210.69	116.82 W	65.32	Total of 2 transactions
05/04/20	1,000.000	12,755.90	05/01/20	12,328.08	...	427.82	Sale
05/07/20	500.000	8,450.40	05/07/20	7,937.50	...	512.90	Sale
05/08/20	1,500.000	25,002.21	Various	24,525.90	...	476.31	Total of 3 transactions
05/11/20	1,000.000	17,293.48	Various	16,171.60	...	1,121.88	Total of 2 transactions
05/13/20	300.000	5,776.33	05/12/20	5,308.71	...	467.62	Sale
05/19/20	1,000.000	18,131.68	Various	16,934.35	197.26 W	1,394.59	Sale
05/28/20	1,500.000	25,599.35	Various	25,764.52	382.85 W	217.68	Total of 2 transactions
05/29/20	500.000	8,857.49	05/27/20	8,234.62	...	622.87	Sale
	Security total:	271,844.43		262,076.99	947.34 W	10,714.78	
PVH CORPORATION COM / CUSIP: 693656100 / Symbol: PVH							
06/16/20	190.000	10,276.38	06/16/20	10,070.00	...	206.38	Sale
07/07/20	10.000	448.89	06/16/20	530.00	...	-81.11	Sale
	Security total:	10,725.27		10,600.00	...	125.27	
PALANTIR TECH INC COM CL A / CUSIP: 69608A108 / Symbol: PLTR							
11/13/20	399.000	5,972.85	11/12/20	5,374.53	...	598.32	Sale
11/23/20	1.000	19.92	11/12/20	13.47	...	6.45	Sale
	Security total:	5,992.77		5,388.00	...	604.77	
PENUMBRA INC COM / CUSIP: 70975L107 / Symbol: PEN							
12/15/20	200.000	37,251.16	12/15/20	36,916.00	...	335.16	Sale
PHIO PHARMACEUTICALS CORP COM / CUSIP: 71880W303 / Symbol: PHIO							
05/07/20	500.000	1,164.96	05/06/20	1,214.84	49.88 W	0.00	Sale
05/29/20	1,500.000	5,702.91	Various	4,370.97	...	1,331.94	Total of 3 transactions
06/10/20	800.000	2,159.85	05/29/20	2,503.92	344.07 W	0.00	Sale
06/22/20	600.000	1,488.02	Various	1,761.08	...	-273.06	Sale
07/08/20	600.000	1,322.90	06/02/20	1,831.67	...	-508.77	Sale
	Security total:	11,838.64		11,682.48	393.95 W	550.11	

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PIONEER POWER SOLUTIONS INC COM / CUSIP: 723836300 / Symbol: PPSI							
06/19/20	1,000.000	1,399.85	06/17/20	1,340.00	...	59.85	Sale
QUIDEL CORP COM / CUSIP: 74838J101 / Symbol: QDEL							
11/24/20	200.000	34,403.22	11/24/20	33,540.00	...	863.22	Sale
RACKSPACE TECHNOLOGY INC COM / CUSIP: 750102105 / Symbol: RXT							
08/06/20	300.000	4,846.35	08/05/20	5,112.00	...	-265.65	Sale
11/20/20	200.000	3,363.91	11/16/20	3,200.00	...	163.91	Sale
11/25/20	300.000	4,988.85	11/16/20	4,800.00	...	188.85	Sale
	Security total:	13,199.11		13,112.00	...	87.11	
RAPT THERAPEUTICS INC COM / CUSIP: 75382E109 / Symbol: RAPT							
11/23/20	500.000	9,099.74	11/16/20	8,680.00	...	419.74	Sale
12/03/20	250.000	5,527.35	11/16/20	4,145.00	...	1,382.35	Sale
12/08/20	250.000	6,014.72	11/16/20	4,145.00	...	1,869.72	Sale
	Security total:	20,641.81		16,970.00	...	3,671.81	
REMARK HOLDINGS INC COM / CUSIP: 75955K102 / Symbol: MARK							
06/03/20	2,000.000	5,564.32	Various	4,875.20	...	689.12	Total of 2 transactions
06/22/20	1,000.000	2,369.83	06/05/20	2,649.90	...	-280.07	Sale
	Security total:	7,934.15		7,525.10	...	409.05	
RIGNET INC COM / CUSIP: 766582100 / Symbol: RNET							
06/08/20	8,000.000	17,999.45	06/08/20	14,000.00	...	3,999.45	Sale
ROKU INC COM CL A / CUSIP: 77543R102 / Symbol: ROKU							
06/08/20	30.000	3,120.31	05/07/20	4,136.71	...	-1,016.40	Sale
RUMBLEON INC COM CL B / CUSIP: 781386305 / Symbol: RMBL							
06/04/20	712.000	5,809.71	Various	5,675.50	...	134.21	Total of 2 transactions
06/05/20	488.000	4,196.65	06/02/20	3,897.28	...	299.37	Sale
	Security total:	10,006.36		9,572.78	...	433.58	

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SG BLOCKS INC COM / CUSIP: 78418A505 / Symbol: SGBX							
05/15/20	700.000	1,693.60	05/15/20	1,783.32	89.72 W	0.00	Sale
06/11/20	1,000.000	2,629.82	Various	2,518.39	...	111.43	Sale
06/22/20	487.000	1,339.16	06/17/20	1,504.83	...	-165.67	Sale
06/25/20	1,513.000	4,009.18	06/17/20	4,675.17	...	-665.99	Sale
	Security total:	9,671.76		10,481.71	89.72 W	-720.23	
SALESFORCE.COM INC COM / CUSIP: 79466L302 / Symbol: CRM							
11/25/20	100.000	25,299.43	11/25/20	24,764.77	...	534.66	Sale
12/02/20	100.000	22,075.50	Various	22,017.00	...	58.50	Total of 2 transactions
	Security total:	47,374.93		46,781.77	...	593.16	
SEMILEDS CORPORATION COM / CUSIP: 816645204 / Symbol: LEDS							
06/01/20	1,000.000	2,988.34	Various	3,130.10	...	-141.76	Total of 3 transactions
SERITAGE GROWTH PPTYS COM USD0.01 CL A / CUSIP: 81752R100 / Symbol: SRG							
06/16/20	1,000.000	15,754.34	Various	15,258.00	394.27 W	890.61	Total of 2 transactions
06/22/20	500.000	5,994.81	06/16/20	7,470.68	419.72 W	-1,056.15	Sale
07/14/20	500.000	5,048.83	06/16/20	8,049.31	...	-3,000.48	Sale
	Security total:	26,797.98		30,777.99	813.99 W	-3,166.02	
SHIFT4 PAYMENTS INC COM CL A / CUSIP: 82452J109 / Symbol: FOUR							
06/09/20	200.000	6,927.83	06/08/20	7,100.76	...	-172.93	Sale
SHIFTPIXY INC COM / CUSIP: 82452L203 / Symbol: PIXY							
07/07/20	300.000	1,543.43	05/20/20	2,359.00	...	-815.57	Sale
SHOPIFY INC COM CL A / CUSIP: 82509L107 / Symbol: SHOP							
11/16/20	50.000	45,348.99	11/16/20	44,588.51	...	760.48	Sale
12/11/20	55.000	55,851.77	Various	58,860.94	3,009.17 W	0.00	Total of 2 transactions
12/15/20	30.000	32,633.15	12/11/20	33,221.88	860.59 W	271.86	Short sale closed
12/31/20	30.000	33,214.45	12/29/20	35,651.94	2,437.49 W	0.00	Short sale closed
	Security total:	167,048.36		172,323.27	6,307.25 W	1,032.34	

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Account 421011233

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/19/2021 CORRECTED

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SLACK TECHNOLOGIES INC COM CL A / CUSIP: 83088V102 / Symbol: WORK							
02/14/20	233.000	6,538.72	06/20/19	9,543.45	...	-3,004.73	Sale
10/02/20	200.000	5,536.14	09/04/20	5,835.60	...	-299.46	Sale
	Security total:	12,074.86		15,379.05	...	-3,304.19	
SMILEDIRECTCLUB INC COM CL A / CUSIP: 83192H106 / Symbol: SDC							
05/18/20	1,000.000	7,029.82	05/12/20	8,597.05	1,567.23 W	0.00	Sale
06/01/20	500.000	3,964.90	05/13/20	4,827.06	...	-862.16	Sale
06/03/20	500.000	4,222.35	05/13/20	4,486.47	...	-264.12	Sale
	Security total:	15,217.07		17,910.58	1,567.23 W	-1,126.28	
SOLAREEDGE TECHNOLOGIES INC COM / CUSIP: 83417M104 / Symbol: SEDG							
11/11/20	100.000	23,599.47	11/10/20	22,696.00	...	903.47	Sale
SORRENTO THERAPEUTICS INC COM / CUSIP: 83587F202 / Symbol: SRNE							
05/15/20	500.000	3,419.86	05/15/20	3,418.83	3.08 W	4.11	Sale
05/18/20	1,000.000	9,797.16	Various	7,993.08	...	1,804.08	Total of 2 transactions
06/05/20	2,000.000	8,279.58	Various	10,010.10	1,730.52 W	0.00	Sale
06/09/20	3,000.000	14,879.61	Various	15,067.32	...	-187.71	Sale
	Security total:	36,376.21		36,489.33	1,733.60 W	1,620.48	
SOUTHWEST AIRLINES CO COM / CUSIP: 844741108 / Symbol: LUV							
05/26/20	200.000	6,363.02	05/22/20	5,744.10	...	618.92	Sale
SPIRIT AIRLINES INC COM / CUSIP: 848577102 / Symbol: SAVE							
05/26/20	1,500.000	17,114.44	Various	12,952.60	...	4,161.84	Sale
06/01/20	2,000.000	28,319.33	Various	25,380.00	...	2,939.33	Sale
06/03/20	2,000.000	32,619.04	06/01/20	27,806.80	...	4,812.24	Sale
06/08/20	3,000.000	75,328.28	Various	61,157.20	...	14,171.08	Sale
06/12/20	5,538.000	102,455.46	Various	132,556.61	30,101.15 W	0.00	Total of 2 transactions
06/23/20	1,000.000	18,526.47	06/17/20	24,010.53	5,484.06 W	0.00	Sale
06/25/20	3,000.000	51,936.60	Various	70,786.24	18,849.64 W	0.00	Total of 7 transactions
06/26/20	4,000.000	65,962.73	Various	90,343.32	24,380.59 W	0.00	Total of 5 transactions
07/06/20	2,000.000	35,598.97	07/01/20	50,048.42	8,007.80 W	-6,441.65	Sale
10/29/20	1,000.000	16,404.52	Various	22,146.80	5,742.28 W	0.00	Total of 2 transactions
11/09/20	3,000.000	66,441.33	Various	67,451.68	3,530.98 W	2,520.63	Total of 4 transactions
11/18/20	2,000.000	42,659.02	Various	42,167.79	1,939.85 W	2,431.08	Sale

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SPIRIT AIRLINES INC COM / CUSIP: 848577102 / Symbol: SAVE (cont'd)							
11/24/20	2,400.000	53,398.53	Various	52,035.35	1,121.51 W	2,484.69	Sale
11/25/20	1,000.000	22,899.37	Various	22,681.51	381.76 W	599.62	Sale
12/02/20	1,500.000	34,799.05	Various	35,023.25	456.18 W	231.98	Total of 2 transactions
12/08/20	500.000	13,011.99	Various	11,651.31	...	1,360.68	Total of 2 transactions
12/22/20	500.000	12,219.67	Various	12,728.60	602.27 W	93.34	Sale
	Security total:	689,694.80		760,928.01	100,598.07 W	29,364.86	
SPLUNK INC COM / CUSIP: 848637104 / Symbol: SPLK							
12/08/20	200.000	32,035.27	12/02/20	34,920.00	2,884.73 W	0.00	Sale
12/11/20	100.000	15,599.65	12/02/20	19,033.36	3,433.71 W	0.00	Sale
12/14/20	200.000	31,759.28	12/07/20	35,648.62	3,889.34 W	0.00	Sale
12/16/20	100.000	16,212.13	12/09/20	16,000.85	...	211.28	Sale
12/21/20	90.000	15,560.65	12/09/20	16,972.08	...	-1,411.43	Sale
12/28/20	10.000	1,814.96	12/09/20	1,885.11	...	-70.15	Sale
	Security total:	112,981.94		124,460.02	10,207.78 W	-1,270.30	
SYNNEX CORP COM / CUSIP: 87162W100 / Symbol: SNX							
06/25/20	200.000	20,165.72	Various	20,778.00	...	-612.28	Total of 5 transactions
TARONIS TECHNOLOGIES INC COM / CUSIP: 876214206 / Symbol: TRNX							
05/01/20	1,064.000	223.31	04/30/20	207.48	...	15.83	Sale
TATA MOTORS LIMITED ADR / CUSIP: 876568502 / Symbol: TTM							
04/13/20	1,000.000	4,834.77	03/27/20	4,608.70	...	226.07	Sale
TECHNICAL COMMUNICATIONS CORP COM / CUSIP: 878409101 / Symbol: TCCO							
06/19/20	200.000	799.96	06/17/20	886.00	...	-86.04	Sale
06/22/20	1,800.000	6,931.72	Various	7,974.00	...	-1,042.28	Total of 3 transactions
	Security total:	7,731.68		8,860.00	...	-1,128.32	
TEGNA INC COM / CUSIP: 87901J105 / Symbol: TGNA							
06/29/20	999.000	11,182.14	06/26/20	10,512.48	...	669.66	Sale
07/06/20	1,001.000	11,030.66	Various	10,917.82	...	112.84	Sale
	Security total:	22,212.80		21,430.30	...	782.50	

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2020 1099-B* OMB No. 1545-0715

(continued)

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TELADOC HEALTH INC COM / CUSIP: 87918A105 / Symbol: TDOC							
10/27/20	50.000	10,949.75	10/26/20	10,647.25	...	302.50	Sale
TELIGENT INC NEW COM / CUSIP: 87960W203 / Symbol: TLGT							
06/10/20	1,407.000	5,276.94	06/09/20	4,712.32	...	564.62	Sale
06/19/20	396.000	1,172.05	Various	1,140.48	...	31.57	Total of 2 transactions
06/22/20	1,604.000	4,699.43	06/15/20	4,607.56	...	91.87	Sale
	Security total:	11,148.42		10,460.36	...	688.06	
TESLA INC COM / CUSIP: 88160R101 / Symbol: TSLA							
09/15/20	30.000	13,259.71	09/03/20	12,570.00	...	689.71	Sale
09/22/20	50.000	21,249.52	09/17/20	20,850.00	...	399.52	Sale
09/25/20	50.000	20,120.30	09/23/20	19,500.00	...	620.30	Sale
11/16/20	100.000	41,099.08	11/13/20	40,324.00	...	775.08	Sale
	Security total:	95,728.61		93,244.00	...	2,484.61	
THE TRADE DESK INC COM CL A / CUSIP: 88339J105 / Symbol: TTD							
09/18/20	50.000	21,503.37	09/17/20	21,231.58	...	271.79	Sale
11/25/20	5.000	4,144.66	11/23/20	4,110.00	...	34.66	Short sale closed
12/11/20	50.000	42,802.80	Various	44,050.00	1,247.20 W	0.00	Total of 2 transactions
12/18/20	75.000	67,599.09	12/16/20	70,022.20	1,562.87 W	-860.24	Short sale closed
12/30/20	54.000	50,948.44	Various	49,680.87	...	1,267.57	Total of 2 transactions
	Security total:	186,998.36		189,094.65	2,810.07 W	713.78	
TILRAY INC COM / CUSIP: 88688T100 / Symbol: TLRY							
04/07/20	500.000	3,349.86	04/01/20	3,080.00	...	269.86	Sale
04/23/20	1,000.000	6,969.72	04/21/20	6,564.00	...	405.72	Sale
06/01/20	1,000.000	10,089.66	05/29/20	9,840.00	...	249.66	Sale
	Security total:	20,409.24		19,484.00	...	925.24	
TONIX PHARMAS HLDG CORP COM / CUSIP: 890260706 / Symbol: TNXP							
06/11/20	10,000.000	6,298.67	06/10/20	7,296.00	997.33 W	0.00	Sale
06/25/20	37,000.000	21,569.82	06/18/20	25,514.60	...	-3,944.78	Sale
	Security total:	27,868.49		32,810.60	997.33 W	-3,944.78	

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TORTOISE ACQUISITION CORP 1:1 EXC 10/2/20 449109107 / CUSIP: 89154L100 / Symbol:							
06/22/20	4,000.000	71,648.57	Various	67,856.40	...	3,792.17	Total of 7 transactions
06/23/20	1,000.000	17,419.50	06/23/20	17,714.50	295.00 W	0.00	Sale
06/25/20	1,000.000	16,839.51	06/25/20	16,623.27	...	216.24	Sale
06/25/20	1,000.000	17,329.50	06/23/20	17,422.77	93.27 W	0.00	Sale
06/26/20	500.000	9,857.22	06/25/20	8,565.00	...	1,292.22	Sale
	Security total:	133,094.30		128,181.94	388.27 W	5,300.63	
TREX COMPANY INC COM / CUSIP: 89531P105 / Symbol: TREX							
06/23/20	50.000	6,205.35	06/22/20	6,000.00	...	205.35	Sale
TRICIDA INC COM / CUSIP: 89610F101 / Symbol: TCDA							
10/20/20	1,000.000	8,909.82	Various	11,980.00	...	-3,070.18	Total of 2 transactions
TWITTER INC COM / CUSIP: 90184L102 / Symbol: TWTR							
07/01/20	200.000	6,174.20	06/26/20	5,802.00	...	372.20	Sale
ULTA BEAUTY INC COM / CUSIP: 90384S303 / Symbol: ULTA							
06/22/20	100.000	20,835.53	06/22/20	20,942.00	53.24 W	-53.23	Sale
07/06/20	50.000	10,342.76	06/22/20	10,470.74	...	-127.98	Sale
	Security total:	31,178.29		31,412.74	53.24 W	-181.21	
UNITED AIRLINES HOLDINGS COM / CUSIP: 910047109 / Symbol: UAL							
05/18/20	700.000	16,631.62	Various	16,564.93	65.22 W	131.91	Sale
05/20/20	500.000	12,539.66	Various	11,319.71	...	1,219.95	Sale
06/09/20	200.000	8,973.78	06/05/20	8,716.00	...	257.78	Sale
	Security total:	38,145.06		36,600.64	65.22 W	1,609.64	
URBAN ONE INC COM CL A / CUSIP: 91705J105 / Symbol: UONE							
06/16/20	2,400.000	31,079.02	06/16/20	34,531.48	...	-3,452.46	Sale
06/16/20	100.000	1,314.96	06/16/20	1,505.45	190.49 W	0.00	Sale
	Security total:	32,393.98		36,036.93	190.49 W	-3,452.46	

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VERITONE INC COM / CUSIP: 92347M100 / Symbol: VERI							
06/30/20	3,700.000	63,120.17	Various	63,828.05	847.53 W	139.65	Sale
07/01/20	6,559.000	103,629.79	Various	108,485.36	...	-4,855.57	Sale
09/04/20	1,000.000	7,399.72	08/21/20	10,044.20	...	-2,644.48	Sale
	Security total:	174,149.68		182,357.61	847.53 W	-7,360.40	
VIRGIN GALACTIC HLDGS INC COM / CUSIP: 92766K106 / Symbol: SPCE							
04/08/20	200.000	3,029.91	03/30/20	2,970.00	...	59.91	Sale
VISLINK TECHNOLOGIES INC 1:6 /RS 8/3/20 92836Y300 / CUSIP: 92836Y201 / Symbol:							
05/13/20	15,000.000	4,498.11	05/12/20	4,597.50	...	-99.39	Sale
VROOM INC COM / CUSIP: 92918V109 / Symbol: VRM							
06/09/20	200.000	9,499.77	06/09/20	9,384.46	...	115.31	Sale
11/18/20	200.000	7,299.82	11/17/20	7,144.00	...	155.82	Sale
	Security total:	16,799.59		16,528.46	...	271.13	
WESTERN ASSET MORTGAGE CAPITAL COM USD0.01 / CUSIP: 95790D105 / Symbol: WMC							
06/16/20	1,000.000	3,004.81	06/09/20	4,040.00	...	-1,035.19	Sale
06/22/20	500.000	1,364.91	06/09/20	2,020.00	...	-655.09	Sale
	Security total:	4,369.72		6,060.00	...	-1,690.28	
WEX INC COM / CUSIP: 96208T104 / Symbol: WEX							
08/24/20	50.000	7,859.32	08/20/20	7,735.50	...	123.82	Sale
XPENG INC ADR / CUSIP: 98422D105 / Symbol: XPEV							
09/21/20	100.000	1,793.95	08/27/20	2,207.00	...	-413.05	Sale
ZAGG INC COM / CUSIP: 98884U108 / Symbol: ZAGG							
06/08/20	1,000.000	3,859.79	06/08/20	3,999.90	...	-140.11	Sale
ZENDESK INC COM / CUSIP: 98936J101 / Symbol: ZEN							
06/23/20	50.000	4,290.20	06/18/20	4,150.00	...	140.20	Sale

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ZOMEDICA PHARMACEUTICALS CORP N/C 10/5/20 98980M109 / CUSIP: 98979F107 / Symbol:							
06/29/20	54,260.000	13,761.20	Various	13,277.29	...	483.91	Total of 3 transactions
07/02/20	110,000.000	17,527.71	Various	16,971.97	...	555.74	Total of 2 transactions
	Security total:	31,288.91		30,249.26	...	1,039.65	
ZOOMINFO TECHNOLOGIES INC COM CL A / CUSIP: 98980F104 / Symbol: ZI							
06/05/20	500.000	19,186.17	06/05/20	19,375.48	...	-189.31	Sale
ZOOM VIDEO COMMUNICATIONS INC COM CL A / CUSIP: 98980L101 / Symbol: ZM							
06/02/20	50.000	10,401.04	Various	9,972.50	...	428.54	Total of 2 transactions
ZYNERBA PHARMACEUTICALS INC COM / CUSIP: 98986X109 / Symbol: ZYNE							
06/19/20	300.000	1,889.92	06/19/20	1,977.00	...	-87.08	Sale
APPLE INC AAPL Oct 16 2020 126.0 Call / CUSIP: / Symbol: AAPL 10/16/20 C 126.000							
10/16/20	10.000	0.00	10/12/20	1,806.64	...	-1,806.64	Option expiration
ADOBE INC ADBE Jun 12 2020 370.0 Put / CUSIP: / Symbol: ADBE 06/12/20 P 370.000							
06/12/20	2.000	18.67	06/11/20	310.34	...	-291.67	Option sale
CARNIVAL CORP CCL Jul 17 2020 27.5 Call / CUSIP: / Symbol: CCL 07/17/20 C 27.500							
07/17/20	8.000	0.00	Various	1,380.33	...	-1,380.33	Option expiration
CARNIVAL CORP CCL Apr 16 2021 25.0 Call / CUSIP: / Symbol: CCL 04/16/21 C 25.000							
11/18/20	9.000	1,604.96	11/18/20	1,373.98	...	230.98	Option sale
11/24/20	20.000	4,426.58	11/18/20	3,148.28	...	1,278.30	Option sale
12/02/20	10.000	2,823.28	Various	2,278.64	...	544.64	Option sale
12/03/20	11.000	3,523.60	12/02/20	2,592.30	...	931.30	Option sale
	Security total:	12,378.42		9,393.20	...	2,985.22	
EXPRESS INC EXPR Jun 19 2020 3.0 Call / CUSIP: / Symbol: EXPR 06/19/20 C 3.000							
06/19/20	40.000	0.00	Various	186.68	...	-186.68	Option expiration
APTORUM GROUP LIMITED COM CL A / CUSIP: G6096M106 / Symbol: APM							
06/19/20	1,000.000	3,998.79	Various	4,360.00	...	-361.21	Total of 3 transactions

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TD Ameritrade Clearing, Inc.

Account 421011233

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/19/2021 CORRECTED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NABORS INDUSTRIES LTD COM / CUSIP: G6359F137 / Symbol: NBR							
06/16/20	90.000	5,110.55	06/15/20	4,547.61	...	562.94	Sale
07/07/20	10.000	357.29	06/15/20	505.29	...	-148.00	Sale
	Security total:	5,467.84		5,052.90	...	414.94	
NORWEGIAN CRUISE LINE HLDGS LT COM / CUSIP: G66721104 / Symbol: NCLH							
06/22/20	300.000	5,267.84	06/05/20	6,516.00	832.11 W	-416.05	Sale
07/01/20	200.000	3,243.91	06/09/20	5,826.45	...	-2,582.54	Sale
11/23/20	500.000	10,494.71	11/20/20	10,085.00	...	409.71	Sale
11/24/20	400.000	8,947.75	11/20/20	8,068.00	...	879.75	Sale
	Security total:	27,954.21		30,495.45	832.11 W	-1,709.13	
HERTZ GLOBAL HOLDINGS INC HTZ Jul 17 2020 5.0 Call / CUSIP: / Symbol: HTZ 07/17/20 C 5.000							
06/08/20	4.000	637.31	06/08/20	582.67	...	54.64	Option sale
DIREXION SHARES ETF TRUST JNUG May 01 2020 8.0 Call / CUSIP: / Symbol: JNUG 05/01/20 C 8.000							
03/30/20	4.000	-169.36	03/27/20	0.00	...	-169.36	Short sale closed- option
SPOTIFY TECHNOLOGY S.A. COM / CUSIP: L8681T102 / Symbol: SPOT							
12/08/20	200.000	64,000.70	Various	63,450.00	...	550.70	Total of 2 transactions
12/10/20	100.000	33,865.24	Various	33,200.00	...	665.24	Total of 2 transactions
	Security total:	97,865.94		96,650.00	...	1,215.94	
SOUTHWEST AIRLINES CO LUV Jul 17 2020 37.5 Call / CUSIP: / Symbol: LUV 07/17/20 C 37.500							
07/17/20	2.000	0.00	06/17/20	515.33	...	-515.33	Option expiration
MACY'S INC M Aug 21 2020 11.0 Call / CUSIP: / Symbol: M 08/21/20 C 11.000							
08/21/20	6.000	0.00	06/05/20	448.00	...	-448.00	Option expiration
CHECK-CAP LTD COM / CUSIP: M2361E203 / Symbol: CHEK							
06/02/20	20,000.000	10,517.39	06/01/20	10,759.51	242.12 W	0.00	Sale
06/22/20	16,485.000	10,225.70	Various	10,245.01	...	-19.31	Total of 2 transactions
06/22/20	14,015.000	8,294.08	Various	9,322.62	819.35 W	-209.19	Total of 3 transactions
	Security total:	29,037.17		30,327.14	1,061.47 W	-228.50	
JFROG LTD COM / CUSIP: M6191J100 / Symbol: FROG							
09/22/20	100.000	6,785.84	09/16/20	6,931.09	...	-145.25	Sale

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TD Ameritrade Clearing, Inc.

Account 421011233

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/19/2021 CORRECTED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KORNIT DIGITAL LTD COM / CUSIP: M6372Q113 / Symbol: KRNT							
07/06/20	100.000	5,236.87	07/02/20	5,136.00	...	100.87	Sale
MONGODB INC MDB Jun 05 2020 200.0 Put / CUSIP: / Symbol: MDB 06/05/20 P 200.000							
06/05/20	1.000	543.32	06/04/20	195.67	...	347.65	Option sale
DAVE & BUSTER'S ENTERTAINMENT PLAY Jun 19 2020 20.0 Call / CUSIP: / Symbol: PLAY 06/19/20 C 20.000							
06/17/20	1.000	4.33	06/05/20	250.67	...	-246.34	Option sale
INTEGRATED MEDIA TECHNOLOGY COM / CUSIP: Q49376108 / Symbol: IMTE							
05/28/20	1,000.000	3,990.23	Various	3,636.20	...	354.03	Total of 2 transactions
06/01/20	1,000.000	3,710.60	05/28/20	3,709.72	...	0.88	Sale
	Security total:	7,700.83		7,345.92	...	354.91	
QUALCOMM INC QCOM Aug 21 2020 120.0 Call / CUSIP: / Symbol: QCOM 08/21/20 C 120.000							
08/12/20	46.000	5,183.11	Various	2,896.68	...	2,286.43	Total of 2 transactions
08/14/20	150.000	6,199.52	08/13/20	10,280.04	513.37 W	-3,567.15	Option sale
08/19/20	10.000	43.31	08/13/20	1,070.04	...	-1,026.73	Option sale
	Security total:	11,425.94		14,246.76	513.37 W	-2,307.45	
SPIRIT AIRLINES INC SAVE Jul 17 2020 25.0 Call / CUSIP: / Symbol: SAVE 07/17/20 C 25.000							
06/05/20	2.000	-60.67	06/04/20	0.00	60.67 W	0.00	Short sale closed- option
07/17/20	6.000	0.00	Various	1,489.67	...	-1,489.67	Option expiration
	Security total:	-60.67		1,489.67	60.67 W	-1,489.67	
SPIRIT AIRLINES INC SAVE Jun 18 2021 25.0 Call / CUSIP: / Symbol: SAVE 06/18/21 C 25.000							
12/02/20	10.000	4,143.25	11/30/20	3,606.64	...	536.61	Option sale
12/03/20	10.000	4,653.24	11/30/20	3,606.64	...	1,046.60	Option sale
	Security total:	8,796.49		7,213.28	...	1,583.21	
SHOPIFY INC SHOP Jun 05 2020 735.0 Put / CUSIP: / Symbol: SHOP 06/05/20 P 735.000							
06/05/20	1.000	1,099.31	06/05/20	802.67	...	296.64	Option sale
SHOPIFY INC SHOP Sep 11 2020 995.0 Put / CUSIP: / Symbol: SHOP 09/11/20 P 995.000							
08/28/20	1.000	2,249.28	08/25/20	2,890.67	641.39 W	0.00	Option sale
09/02/20	3.000	3,147.92	Various	3,243.39	...	-95.47	Option sale
	Security total:	5,397.20		6,134.06	641.39 W	-95.47	

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TD Ameritrade Clearing, Inc.

Account 421011233

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/19/2021 CORRECTED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TESLA INC TSLA Jun 12 2020 920.0 Put / CUSIP: / Symbol: TSLA 06/12/20 P 920.000							
06/12/20	2.000	746.65	06/12/20	1,071.33	...	-324.68	Option sale
TESLA INC TSLA Jun 12 2020 950.0 Put / CUSIP: / Symbol: TSLA 06/12/20 P 950.000							
06/12/20	1.000	636.32	06/12/20	600.67	...	35.65	Option sale
THE TRADE DESK INC TTD Apr 16 2021 680.0 Put / CUSIP: / Symbol: TTD 04/16/21 P 680.000							
12/28/20	4.000	15,896.99	Various	14,802.66	...	1,094.33	Total of 2 transactions
THE TRADE DESK INC TTD Apr 16 2021 700.0 Put / CUSIP: / Symbol: TTD 04/16/21 P 700.000							
12/09/20	5.000	19,496.24	12/09/20	18,303.32	...	1,192.92	Option sale
THE TRADE DESK INC TTD Apr 16 2021 820.0 Put / CUSIP: / Symbol: TTD 04/16/21 P 820.000							
12/10/20	1.000	-336.48	12/09/20	0.00	...	-336.48	Short sale closed- option
EXXON MOBIL CORPORATION XOM Jul 17 2020 55.0 Call / CUSIP: / Symbol: XOM 07/17/20 C 55.000							
07/17/20	1.000	0.00	06/08/20	264.67	...	-264.67	Option expiration
STAR BULK CARRIERS CORP COM / CUSIP: Y8162K204 / Symbol: SBLK							
06/03/20	800.000	4,447.80	05/29/20	4,280.64	...	167.16	Sale
06/11/20	200.000	1,297.95	05/29/20	1,070.16	...	227.79	Sale
	Security total:	5,745.75		5,350.80	...	394.95	
ZAGG INC ZAGG Jun 19 2020 5.0 Call / CUSIP: / Symbol: ZAGG 06/19/20 C 5.000							
06/08/20	10.000	193.31	06/08/20	356.67	...	-163.36	Option sale
Totals :		5,859,028.95		6,002,581.19	208,059.92 W	64,507.68	

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TD Ameritrade Clearing, Inc.

Account 421011233

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/19/2021 CORRECTED

SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Line 5)

Report on Form 8949, Part I with Box B checked. Basis is NOT provided to the IRS. (Line 12)

"Date acquired," "Cost or other basis," "Accrued market discount," "Wash sale loss disallowed" and "Gain or loss (-)" are NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BANK OF MONTREAL MICROSECTORS US BIG OIL IND / CUSIP: 06367V105 / Symbol: NRGU							
11/27/20	100.000	6,505.35	11/25/20	6,885.00	...	-379.65	Sale
12/08/20	100.000	7,069.83	11/25/20	6,885.00	...	184.83	Sale
	Security total:	13,575.18		13,770.00	...	-194.82	
CITIGRP GLOBAL MKTS HLDGS INC REDEMPTION 4/3/20 / CUSIP: 17325E291 / Symbol:							
03/27/20	10.000	1.83	03/24/20	4.74	...	-2.91	Sale
03/27/20	3,990.000	730.07	Various	1,417.27	253.23 W	-433.97	Sale
04/03/20	1,000.000	164.70	03/24/20	474.22	...	-309.52	Merger
	Security total:	896.60		1,896.23	253.23 W	-746.40	
CREDIT SUISSE NASSAU TRK ZT 02/02/32(S&P GSCI N) / CUSIP: 22539T183 / Symbol: UGAZF							
05/18/20	300.000	5,123.85	05/12/20	5,640.02	516.17 W	0.00	Sale
05/20/20	200.000	3,875.89	05/12/20	4,074.12	198.23 W	0.00	Sale
05/26/20	500.000	8,492.25	05/21/20	7,925.28	...	566.97	Sale
05/29/20	4,000.000	59,472.30	Various	60,597.85	1,317.34 W	191.79	Total of 5 transactions
06/01/20	500.000	6,859.84	06/01/20	6,614.01	...	245.83	Sale
06/01/20	1,500.000	20,595.86	06/01/20	20,036.84	35.11 W	594.13	Sale
06/03/20	500.000	7,479.77	06/01/20	6,740.05	...	739.72	Sale
06/16/20	240.000	2,396.35	Various	2,378.83	...	17.52	Total of 2 transactions
06/16/20	8,060.000	80,546.98	Various	87,800.06	6,849.67 W	-403.41	Total of 3 transactions
06/17/20	1,000.000	10,029.76	06/16/20	11,288.14	...	-1,258.38	Sale
	Security total:	204,872.85		213,095.20	8,916.52 W	694.17	
CREDIT SUISSE NASSAU ETN / CUSIP: 22542D258 / Symbol: TVIXF							
06/23/20	60.000	7,778.22	06/23/20	7,485.60	...	292.62	Sale
Totals :		227,122.85		236,247.03	9,169.75 W	45.57	

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TD Ameritrade Clearing, Inc.

Account 421011233

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/19/2021 CORRECTED

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON COM INC COM / CUSIP: 023135106 / Symbol: AMZN							
03/17/20	26.000	47,264.69	Various	35,910.59	...	11,354.10	Total of 2 transactions
BANK OF AMERICA CORPORATION COM / CUSIP: 060505104 / Symbol: BAC							
06/11/20	174.000	4,363.82	12/05/14	3,070.52	...	1,293.30	Sale
Totals :		51,628.51		38,981.11		12,647.40	

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TD Ameritrade Clearing, Inc.

Account 421011233

Detail for Dividends and Distributions

2020

02/19/2021 CORRECTED

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. Reallocation of a dividend and its tax character is determined by the issuer.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
BANK OF AMERICA CORPORATION COM	060505104 BAC		03/27/20	31.32	Qualified dividend	
			06/26/20	31.32	Qualified dividend	
				62.64	Total Dividends & distributions	
DIREXION SHARES ETF TRUST 1:10 R/S 4/23/20 25460G831	25460E166		03/31/20	44.20	Qualified dividend	03
			03/31/20	10.57	Nondividend distribution	03
				54.77	Total Dividends & distributions	
INVESCO MORTGAGE CAPITAL INC COM USD0.01	46131B100 IVR		06/30/20	250.00	Nondividend distribution	03
			07/28/20	60.98	Nondividend distribution	03
				310.98	Total Dividends & distributions	
NEW RESIDENTIAL INVT CORP COM NPV	64828T201 NRZ		05/15/20	6.21	Section 199A dividend	03
			05/15/20	2.20	Nondividend distribution	03
			05/15/20	1.59	Qualified dividend	03
				10.00	Total Dividends & distributions	
				438.39	Total Dividends & distributions	

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TD Ameritrade Clearing, Inc.

Account 421011233

Detail for Interest Income

2020

02/19/2021 CORRECTED

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled in the Adjustments to Interest and Original Issue Discount and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest Credited		04/30/20	0.08	Credit interest	
		05/29/20	0.05	Credit interest	
		06/30/20	0.01	Credit interest	
		11/30/20	0.03	Credit interest	
		12/31/20	0.09	Credit interest	
			0.26	Total Interest	
FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC	9ZZZFD104 MMDA1	02/29/20	0.01	Interest- money mkt	
		03/31/20	0.06	Interest- money mkt	
		04/30/20	0.02	Interest- money mkt	
			0.09	Total Interest	
			0.35	Total Interest	

TD Ameritrade Clearing, Inc.

Account 421011233

Detail for Miscellaneous Income**2020**

02/19/2021 CORRECTED

This section of your tax information statement contains various payments and proceeds you received during the year from rents, royalties, and certain other income types. We also call your attention to the varying thresholds for reporting on Form 1099-MISC. In general, if there is an amount of \$10 or more associated with boxes 2 or 8, it is reportable. All other box amounts must be reported if they are \$600 or more. Thus, it is possible to have a \$12 item reported in Box 2 for Royalties, but an amount of \$500 of Other Income not reported in Box 3., although each item is taxable income to you.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Courtesy Adjustment		06/08/20	0.09	Other income	
INVESCO MORTGAGE CAPITAL INC COM USD0.01	46131B100 IVR	10/27/20	100.04	Substitute payment	
			100.13	Total Miscellaneous income	

TD Ameritrade Clearing, Inc.

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Fees and Expenses

2020

02/19/2021 CORRECTED

This section of your tax information statement contains the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest Charged		04/30/20	-48.49	Margin interest paid	
		05/29/20	-44.21	Margin interest paid	
		06/30/20	-350.11	Margin interest paid	
		07/31/20	-299.42	Margin interest paid	
		08/31/20	-264.06	Margin interest paid	
		09/30/20	-273.55	Margin interest paid	
		10/30/20	-339.20	Margin interest paid	
		11/30/20	-323.69	Margin interest paid	
		12/31/20	-68.90	Margin interest paid	
			-2,011.63	Total Margin interest paid	
LUCKIN COFFEE INC ADR SPONSORED	54951L109 LKNCY	05/08/20	-2.00	Depository receipt fee	
			-2.00	Total Depository receipt fee	
			-2,011.63	Total Margin interest paid	

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This

amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Instructions for Recipient

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties, copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the box 7 instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 16-18. Show state or local income tax withheld from the payments.

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