

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 841844558</b>	<b>Statement Date: 02/14/2021</b> <b>Document ID: 4W43 B57 8V7Z</b>	<b>2020</b>
	Amarnath reddy Nallaballe 6020 Kings Cross Lane Apt3611 Charlotte, NC 28213  RECIPIENT'S TIN: XXX-XX-8547		

[ ] FATCA filing requirement (see instructions)		Summary Information			
DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	120.79		2- Royalties	0.00	
1b- Qualified dividends	90.98		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		<b>4- Federal income tax withheld</b>	<b>0.00</b>	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2c- Collectibles (28%) gain	0.00		<b>SECTION 1256 CONTRACTS</b>	<b>2020 1099-B*</b>	OMB No. 1545-0715
3- Nondividend distributions	10.90		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
<b>4- Federal income tax withheld</b>	<b>0.00</b>		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	16.33		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession: See detail	0.98				
9- Cash liquidation distributions	0.00		<i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>		
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

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### SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	45,912.40	44,448.69	0.00	832.94	2,296.65
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	15.91	46.60	0.00	0.00	-30.69
	<b>Total Short-term</b>	<b>45,928.31</b>	<b>44,495.29</b>	<b>0.00</b>	<b>832.94</b>	<b>2,265.96</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>45,928.31</b>	<b>44,495.29</b>	<b>0.00</b>	<b>832.94</b>	<b>2,265.96</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

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**Summary Information**

2020

(continued)

02/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	45.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACORDA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 00484M106 / Symbol:							
7 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	10.000	4.81	04/22/20	10.90	...	-6.09	1 of 7 - Sale <sup>25</sup>
	40.000	19.24	04/23/20	42.80	...	-23.56	2 of 7 - Sale <sup>25</sup>
	38.000	18.27	04/29/20	37.78	...	-19.51	3 of 7 - Sale <sup>25</sup>
	20.000	9.62	04/29/20	19.89	...	-10.27	4 of 7 - Sale <sup>25</sup>
	24.000	11.54	05/19/20	19.58	...	-8.04	5 of 7 - Sale <sup>25</sup>
	18.000	8.66	05/19/20	14.68	...	-6.02	6 of 7 - Sale <sup>25</sup>
	50.000	24.04	05/27/20	37.92	...	-13.88	7 of 7 - Sale <sup>25</sup>
09/04/20	200.000	96.18	Various	183.55	...	-87.37	Total of 7 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AKORN INC COMMON STOCK / CUSIP: 009728106 / Symbol:							
4 transactions for 05/04/20. Total proceeds and cost reported to the IRS.							
	100.000	27.06	04/22/20	29.01	...	-1.95	1 of 4 - Sale <sup>25</sup>
	50.000	13.53	04/29/20	12.75	...	0.78	2 of 4 - Sale <sup>25</sup>
	50.000	13.53	04/29/20	12.73	...	0.80	3 of 4 - Sale <sup>25</sup>
	50.000	13.53	04/29/20	12.66	...	0.87	4 of 4 - Sale <sup>25</sup>
05/04/20	250.000	67.65	Various	67.15	...	0.50	Total of 4 transactions
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
9 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	1.000	11.71	04/14/20	11.91	...	-0.20	1 of 9 - Sale <sup>25</sup>
	4.000	46.85	04/15/20	47.16	...	-0.31	2 of 9 - Sale <sup>25</sup>
	1.000	11.71	04/16/20	11.14	...	0.57	3 of 9 - Sale <sup>25</sup>
	2.000	23.42	04/22/20	21.10	...	2.32	4 of 9 - Sale <sup>25</sup>
	1.000	11.71	05/04/20	9.84	...	1.87	5 of 9 - Sale <sup>25</sup>
	1.000	11.71	05/04/20	9.71	...	2.00	6 of 9 - Sale <sup>25</sup>
	1.000	11.72	05/04/20	9.58	...	2.14	7 of 9 - Sale <sup>25</sup>
	1.000	11.71	05/04/20	9.37	...	2.34	8 of 9 - Sale <sup>25</sup>
	3.000	35.14	05/04/20	29.07	...	6.07	9 of 9 - Sale <sup>25</sup>
05/27/20	15.000	175.68	Various	158.88	...	16.80	Total of 9 transactions
APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol:							
2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	5.000	22.90	06/05/20	21.94	...	0.96	1 of 2 - Sale <sup>25</sup>
	5.000	22.90	06/05/20	21.89	...	1.01	2 of 2 - Sale <sup>25</sup>
06/08/20	10.000	45.80	Various	43.83	...	1.97	Total of 2 transactions
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol:							
02/18/20	1.000	1.57	12/16/19	2.65	1.08 W	0.00	Sale <sup>25</sup>
BARRICK GOLD CORP. / CUSIP: 067901108 / Symbol:							
09/30/20	1.000	28.03	08/28/20	29.49	...	-1.46	Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BERKSHIRE HATHAWAY CLASS B / CUSIP: 084670702 / Symbol:							
2 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	1.000	177.39	03/12/20	176.83	...	0.56	1 of 2 - Sale <sup>25</sup>
	1.000	177.39	03/12/20	175.70	...	1.69	2 of 2 - Sale <sup>25</sup>
03/25/20	2.000	354.78	Various	352.53	...	2.25	Total of 2 transactions
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
04/22/20	5.000	448.32	04/22/20	443.90	...	4.42	Sale <sup>25</sup>
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
03/25/20	1.000	142.27	03/16/20	140.00	...	2.27	Sale <sup>25</sup>
04/30/20	1.000	141.40	04/16/20	135.53	...	5.87	Sale <sup>25</sup>
15 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	1.000	143.64	04/22/20	137.84	...	5.80	1 of 15 - Sale <sup>25</sup>
	1.000	141.87	04/22/20	135.83	...	6.04	2 of 15 - Sale <sup>25</sup>
	1.000	143.30	04/22/20	136.85	...	6.45	3 of 15 - Sale <sup>25</sup>
	1.000	143.30	04/22/20	136.43	...	6.87	4 of 15 - Sale <sup>25</sup>
	1.000	144.75	04/22/20	137.54	...	7.21	5 of 15 - Sale <sup>25</sup>
	1.000	143.30	04/22/20	135.62	...	7.68	6 of 15 - Sale <sup>25</sup>
	1.000	144.79	04/22/20	136.85	...	7.94	7 of 15 - Sale <sup>25</sup>
	2.000	283.74	04/22/20	270.94	...	12.80	8 of 15 - Sale <sup>25</sup>
	2.000	289.58	04/22/20	274.30	...	15.28	9 of 15 - Sale <sup>25</sup>
	1.000	135.19	04/24/20	132.95	...	2.24	10 of 15 - Sale <sup>25</sup>
	1.000	135.18	04/24/20	132.91	...	2.27	11 of 15 - Sale <sup>25</sup>
	1.000	135.18	04/24/20	131.92	...	3.26	12 of 15 - Sale <sup>25</sup>
	1.000	135.19	04/24/20	131.80	...	3.39	13 of 15 - Sale <sup>25</sup>
	1.000	135.18	04/24/20	131.55	...	3.63	14 of 15 - Sale <sup>25</sup>
	1.000	135.06	04/24/20	129.25	...	5.81	15 of 15 - Sale <sup>25</sup>
05/01/20	17.000	2,389.25	Various	2,292.58	...	96.67	Total of 15 transactions
05/01/20	3.000	405.49	04/22/20	405.84	0.35 W	0.00	Sale <sup>25</sup>
6 transactions for 05/07/20. Total proceeds and cost reported to the IRS.							
	1.000	129.51	05/04/20	126.87	...	2.64	1 of 6 - Sale <sup>25</sup>
	1.000	128.04	05/05/20	126.00	...	2.04	2 of 6 - Sale <sup>25</sup>
	1.000	127.91	05/05/20	125.83	...	2.08	3 of 6 - Sale <sup>25</sup>
	1.000	128.05	05/05/20	125.83	...	2.22	4 of 6 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOEING COMPANY / CUSIP: 097023105 / Symbol: (cont'd)							
	1.000	127.81	05/05/20	125.28	...	2.53	5 of 6 - Sale <sup>25</sup>
	1.000	127.81	05/05/20	125.20	...	2.61	6 of 6 - Sale <sup>25</sup>
05/07/20	6.000	769.13	Various	755.01	...	14.12	Total of 6 transactions
05/07/20	1.000	127.75	04/24/20	129.00	1.25 W	0.00	Sale <sup>25</sup>
<b>Security total:</b>		<b>3,975.29</b>		<b>3,857.96</b>	<b>1.60 W</b>	<b>118.93</b>	

BRF - BRASIL FOODS S.A. / CUSIP: 10552T107 / Symbol:

27 transactions for 05/12/20. Total proceeds and cost reported to the IRS.

2.000	6.80	04/22/20	7.12	0.32 W	0.00	1 of 27 - Sale <sup>25</sup>
1.000	3.37	04/22/20	3.56	0.19 W	0.00	2 of 27 - Sale <sup>25</sup>
2.000	6.70	04/22/20	7.11	0.41 W	0.00	3 of 27 - Sale <sup>25</sup>
1.000	3.34	04/23/20	3.66	0.32 W	0.00	4 of 27 - Sale <sup>25</sup>
1.000	3.30	04/23/20	3.69	0.39 W	0.00	5 of 27 - Sale <sup>25</sup>
1.000	3.30	04/23/20	3.66	0.36 W	0.00	6 of 27 - Sale <sup>25</sup>
1.000	3.30	04/23/20	3.70	0.40 W	0.00	7 of 27 - Sale <sup>25</sup>
1.000	3.28	04/23/20	3.70	0.42 W	0.00	8 of 27 - Sale <sup>25</sup>
1.000	3.28	04/24/20	3.72	0.44 W	0.00	9 of 27 - Sale <sup>25</sup>
1.000	3.28	04/24/20	3.76	0.48 W	0.00	10 of 27 - Sale <sup>25</sup>
1.000	3.28	04/24/20	3.79	0.51 W	0.00	11 of 27 - Sale <sup>25</sup>
1.000	3.28	04/24/20	3.80	0.52 W	0.00	12 of 27 - Sale <sup>25</sup>
6.000	19.68	04/24/20	20.37	0.69 W	0.00	13 of 27 - Sale <sup>25</sup>
5.000	16.40	05/01/20	17.85	1.45 W	0.00	14 of 27 - Sale <sup>25</sup>
25.000	82.00	05/01/20	86.70	4.70 W	0.00	15 of 27 - Sale <sup>25</sup>
50.000	163.99	05/01/20	173.87	9.88 W	0.00	16 of 27 - Sale <sup>25</sup>
1.000	3.42	05/01/20	3.90	0.48 W	0.00	17 of 27 - Sale <sup>25</sup>
1.000	3.42	05/01/20	3.94	0.52 W	0.00	18 of 27 - Sale <sup>25</sup>
1.000	3.42	05/01/20	3.97	0.55 W	0.00	19 of 27 - Sale <sup>25</sup>
1.000	3.42	05/01/20	3.98	0.56 W	0.00	20 of 27 - Sale <sup>25</sup>
1.000	3.42	05/01/20	3.88	0.46 W	0.00	21 of 27 - Sale <sup>25</sup>
6.000	20.52	05/01/20	21.43	0.91 W	0.00	22 of 27 - Sale <sup>25</sup>
5.000	17.10	05/01/20	18.74	1.64 W	0.00	23 of 27 - Sale <sup>25</sup>
9.000	30.78	05/01/20	32.89	2.11 W	0.00	24 of 27 - Sale <sup>25</sup>
25.000	85.50	05/01/20	91.13	5.63 W	0.00	25 of 27 - Sale <sup>25</sup>
41.000	140.21	05/01/20	148.73	8.52 W	0.00	26 of 27 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 841844558

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BRF - BRASIL FOODS S.A. / CUSIP: 10552T107 / Symbol: (cont'd)							
05/12/20	9.000	30.78	05/01/20	30.87	0.09 W	0.00	27 of 27 - Sale <sup>25</sup>
	200.000	670.57	Various	713.52	42.95 W	0.00	Total of 27 transactions
	17 transactions for 05/13/20. Total proceeds and cost reported to the IRS.						
	9.000	31.46	05/01/20	30.84	...	0.62	1 of 17 - Sale <sup>25</sup>
	1.000	3.67	05/04/20	3.67	...	0.00	2 of 17 - Sale <sup>25</sup>
	1.000	3.67	05/04/20	3.65	...	0.02	3 of 17 - Sale <sup>25</sup>
	1.000	3.67	05/04/20	3.63	...	0.04	4 of 17 - Sale <sup>25</sup>
	4.000	14.04	05/04/20	13.98	...	0.06	5 of 17 - Sale <sup>25</sup>
	1.000	3.67	05/04/20	3.59	...	0.08	6 of 17 - Sale <sup>25</sup>
	1.000	3.51	05/04/20	3.42	...	0.09	7 of 17 - Sale <sup>25</sup>
	1.000	3.67	05/04/20	3.57	...	0.10	8 of 17 - Sale <sup>25</sup>
	9.000	31.59	05/04/20	31.45	...	0.14	9 of 17 - Sale <sup>25</sup>
	5.000	17.55	05/04/20	17.15	...	0.40	10 of 17 - Sale <sup>25</sup>
	21.000	73.71	05/04/20	73.18	...	0.53	11 of 17 - Sale <sup>25</sup>
	4.000	14.69	05/04/20	13.64	...	1.05	12 of 17 - Sale <sup>25</sup>
	41.000	150.54	05/04/20	141.76	...	8.78	13 of 17 - Sale <sup>25</sup>
	1.000	3.63	05/05/20	3.43	...	0.20	14 of 17 - Sale <sup>25</sup>
	20.000	72.65	05/05/20	66.76	...	5.89	15 of 17 - Sale <sup>25</sup>
	29.000	105.34	05/05/20	96.78	...	8.56	16 of 17 - Sale <sup>25</sup>
	50.000	181.50	05/05/20	166.90	...	14.60	17 of 17 - Sale <sup>25</sup>
05/13/20	199.000	718.56	Various	677.40	...	41.16	Total of 17 transactions
	16 transactions for 05/13/20. Total proceeds and cost reported to the IRS.						
	1.000	3.49	05/01/20	3.90	0.41 W	0.00	1 of 16 - Sale <sup>25</sup>
	1.000	3.49	05/01/20	3.94	0.45 W	0.00	2 of 16 - Sale <sup>25</sup>
	1.000	3.50	05/01/20	3.97	0.47 W	0.00	3 of 16 - Sale <sup>25</sup>
	1.000	3.49	05/01/20	3.98	0.49 W	0.00	4 of 16 - Sale <sup>25</sup>
	1.000	3.49	05/01/20	3.88	0.39 W	0.00	5 of 16 - Sale <sup>25</sup>
	6.000	20.97	05/01/20	21.41	0.44 W	0.00	6 of 16 - Sale <sup>25</sup>
	5.000	17.48	05/01/20	18.73	1.25 W	0.00	7 of 16 - Sale <sup>25</sup>
	9.000	31.46	05/01/20	32.87	1.41 W	0.00	8 of 16 - Sale <sup>25</sup>
	25.000	87.37	05/01/20	91.06	3.69 W	0.00	9 of 16 - Sale <sup>25</sup>
	41.000	143.29	05/01/20	148.63	5.34 W	0.00	10 of 16 - Sale <sup>25</sup>
	1.000	3.51	05/04/20	3.77	0.26 W	0.00	11 of 16 - Sale <sup>25</sup>
	1.000	3.51	05/04/20	3.81	0.30 W	0.00	12 of 16 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 841844558

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BRF - BRASIL FOODS S.A. / CUSIP: 10552T107 / Symbol: (cont'd)							
	1.000	3.51	05/04/20	3.83	0.32 W	0.00	13 of 16 - Sale <sup>25</sup>
	1.000	3.51	05/04/20	3.85	0.34 W	0.00	14 of 16 - Sale <sup>25</sup>
	1.000	3.51	05/04/20	3.75	0.24 W	0.00	15 of 16 - Sale <sup>25</sup>
	5.000	17.55	05/04/20	17.98	0.43 W	0.00	16 of 16 - Sale <sup>25</sup>
05/13/20	101.000	353.13	Various	369.36	16.23 W	0.00	Total of 16 transactions
	3 transactions for 05/14/20. Total proceeds and cost reported to the IRS.						
	25.000	94.13	05/07/20	79.75	...	14.38	1 of 3 - Sale <sup>25</sup>
	25.000	94.12	05/07/20	79.21	...	14.91	2 of 3 - Sale <sup>25</sup>
	30.000	110.70	05/07/20	95.05	...	15.65	3 of 3 - Sale <sup>25</sup>
05/14/20	80.000	298.95	Various	254.01	...	44.94	Total of 3 transactions
	4 transactions for 09/02/20. Total proceeds and cost reported to the IRS.						
	20.000	75.24	05/07/20	63.37	...	11.87	1 of 4 - Sale <sup>25</sup>
	30.000	112.85	06/03/20	134.10	...	-21.25	2 of 4 - Sale <sup>25</sup>
	10.000	37.62	07/15/20	37.78	...	-0.16	3 of 4 - Sale <sup>25</sup>
	20.000	75.24	08/26/20	69.00	...	6.24	4 of 4 - Sale <sup>25</sup>
09/02/20	80.000	300.95	Various	304.25	...	-3.30	Total of 4 transactions
	<b>Security total:</b>	<b>2,342.16</b>		<b>2,318.54</b>	<b>59.18 W</b>	<b>82.80</b>	
CALIFORNIA RES CORP COMMON STO CK / CUSIP: 13057Q206 / Symbol:							
	5 transactions for 09/02/20. Total proceeds and cost reported to the IRS.						
	10.000	0.93	06/10/20	16.08	...	-15.15	1 of 5 - Sale <sup>25</sup>
	10.000	0.93	06/11/20	14.40	...	-13.47	2 of 5 - Sale <sup>25</sup>
	10.000	0.93	06/22/20	14.60	...	-13.67	3 of 5 - Sale <sup>25</sup>
	10.000	0.93	06/22/20	14.20	...	-13.27	4 of 5 - Sale <sup>25</sup>
	10.000	0.93	06/22/20	14.20	...	-13.27	5 of 5 - Sale <sup>25</sup>
09/02/20	50.000	4.65	Various	73.48	...	-68.83	Total of 5 transactions
	4 transactions for 09/10/20. Total proceeds and cost reported to the IRS.						
	50.000	3.99	06/23/20	70.42	...	-66.43	1 of 4 - Sale <sup>25</sup>
	50.000	4.00	06/26/20	62.50	...	-58.50	2 of 4 - Sale <sup>25</sup>
	20.000	1.60	06/30/20	24.17	...	-22.57	3 of 4 - Sale <sup>25</sup>
	30.000	2.39	07/06/20	35.33	...	-32.94	4 of 4 - Sale <sup>25</sup>
09/10/20	150.000	11.98	Various	192.42	...	-180.44	Total of 4 transactions
	<b>Security total:</b>	<b>16.63</b>		<b>265.90</b>	...	<b>-249.27</b>	

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Account 841844558

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CANOPY GROWTH CORPORATION COMM ON SHARES / CUSIP: 138035100 / Symbol:							
<i>4 transactions for 07/14/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	87.50	05/29/20	88.59	...	-1.09	1 of 4 - Sale <sup>25</sup>
	4.000	70.00	05/29/20	70.19	...	-0.19	2 of 4 - Sale <sup>25</sup>
	1.000	17.50	05/29/20	17.63	...	-0.13	3 of 4 - Sale <sup>25</sup>
	5.000	87.50	06/05/20	83.90	...	3.60	4 of 4 - Sale <sup>25</sup>
07/14/20	15.000	262.50	Various	260.31	...	2.19	Total of 4 transactions
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
04/17/20	1.000	12.53	03/18/20	8.87	...	3.66	Sale <sup>25</sup>
<i>10 transactions for 04/17/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	12.52	03/10/20	22.19	9.67 W	0.00	1 of 10 - Sale <sup>25</sup>
	4.000	50.10	03/12/20	72.04	21.94 W	0.00	2 of 10 - Sale <sup>25</sup>
	1.000	12.52	03/12/20	16.78	4.26 W	0.00	3 of 10 - Sale <sup>25</sup>
	2.000	25.05	03/12/20	30.00	4.95 W	0.00	4 of 10 - Sale <sup>25</sup>
	2.000	25.05	03/12/20	29.50	4.45 W	0.00	5 of 10 - Sale <sup>25</sup>
	1.000	12.52	03/16/20	14.72	2.20 W	0.00	6 of 10 - Sale <sup>25</sup>
	1.000	12.52	03/17/20	13.14	0.62 W	0.00	7 of 10 - Sale <sup>25</sup>
	3.000	37.57	03/17/20	37.85	0.28 W	0.00	8 of 10 - Sale <sup>25</sup>
	2.000	25.05	03/27/20	29.10	4.05 W	0.00	9 of 10 - Sale <sup>25</sup>
	2.000	25.05	03/27/20	29.07	4.02 W	0.00	10 of 10 - Sale <sup>25</sup>
04/17/20	19.000	237.95	Various	294.39	56.44 W	0.00	Total of 10 transactions
<i>2 transactions for 04/27/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	24.76	04/02/20	21.69	...	3.07	1 of 2 - Sale <sup>25</sup>
	2.000	24.76	04/03/20	20.99	...	3.77	2 of 2 - Sale <sup>25</sup>
04/27/20	4.000	49.52	Various	42.68	...	6.84	Total of 2 transactions
<i>5 transactions for 04/27/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	12.38	04/01/20	21.06	8.68 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	12.38	04/01/20	16.87	4.49 W	0.00	2 of 5 - Sale <sup>25</sup>
	2.000	24.76	04/01/20	28.49	3.73 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	12.38	04/01/20	14.24	1.86 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.000	12.38	04/01/20	13.02	0.64 W	0.00	5 of 5 - Sale <sup>25</sup>
04/27/20	6.000	74.28	Various	93.68	19.40 W	0.00	Total of 5 transactions

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Account 841844558

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol: (cont'd)							
7 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	1.000	13.83	04/15/20	13.80	...	0.03	1 of 7 - Sale <sup>25</sup>
	2.000	27.66	04/15/20	27.61	...	0.05	2 of 7 - Sale <sup>25</sup>
	1.000	13.83	04/15/20	12.58	...	1.25	3 of 7 - Sale <sup>25</sup>
	1.000	13.83	04/15/20	12.56	...	1.27	4 of 7 - Sale <sup>25</sup>
	3.000	41.49	04/15/20	36.10	...	5.39	5 of 7 - Sale <sup>25</sup>
	5.000	69.16	04/15/20	59.70	...	9.46	6 of 7 - Sale <sup>25</sup>
	10.000	138.57	04/15/20	119.49	...	19.08	7 of 7 - Sale <sup>25</sup>
05/19/20	23.000	318.37	Various	281.84	...	36.53	Total of 7 transactions
5 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	1.000	13.83	04/15/20	20.62	6.79 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	13.83	04/15/20	16.43	2.60 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	13.83	04/15/20	14.14	0.31 W	0.00	3 of 5 - Sale <sup>25</sup>
	2.000	27.66	04/15/20	27.93	0.27 W	0.00	4 of 5 - Sale <sup>25</sup>
	2.000	27.66	04/15/20	27.90	0.24 W	0.00	5 of 5 - Sale <sup>25</sup>
05/19/20	7.000	96.81	Various	107.02	10.21 W	0.00	Total of 5 transactions
05/22/20	10.000	143.90	04/15/20	119.90	...	24.00	Sale <sup>25</sup>
5 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	1.000	16.97	04/22/20	14.23	...	2.74	1 of 5 - Sale <sup>25</sup>
	1.000	16.97	04/22/20	11.94	...	5.03	2 of 5 - Sale <sup>25</sup>
	2.000	33.94	04/22/20	23.53	...	10.41	3 of 5 - Sale <sup>25</sup>
	2.000	33.94	04/22/20	23.36	...	10.58	4 of 5 - Sale <sup>25</sup>
	3.000	50.91	04/22/20	34.68	...	16.23	5 of 5 - Sale <sup>25</sup>
05/27/20	9.000	152.73	Various	107.74	...	44.99	Total of 5 transactions
05/27/20	1.000	16.97	04/22/20	18.42	1.45 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,103.06</b>		<b>1,074.54</b>	<b>87.50 W</b>	<b>116.02</b>	
CATALYST PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 14888U101 / Symbol:							
3 transactions for 05/04/20. Total proceeds and cost reported to the IRS.							
	1.000	4.62	04/17/20	4.46	...	0.16	1 of 3 - Sale <sup>25</sup>
	9.000	41.59	04/17/20	39.77	...	1.82	2 of 3 - Sale <sup>25</sup>
	10.000	46.22	05/01/20	46.70	...	-0.48	3 of 3 - Sale <sup>25</sup>
05/04/20	20.000	92.43	Various	90.93	...	1.50	Total of 3 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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CENOVUS ENERGY INC. / CUSIP: 15135U109 / Symbol:							
4 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	1.000	3.52	05/01/20	3.40	...	0.12	1 of 4 - Sale <sup>25</sup>
	4.000	14.08	05/01/20	13.39	...	0.69	2 of 4 - Sale <sup>25</sup>
	5.000	17.60	05/01/20	16.65	...	0.95	3 of 4 - Sale <sup>25</sup>
	10.000	35.20	05/04/20	33.35	...	1.85	4 of 4 - Sale <sup>25</sup>
05/13/20	20.000	70.40	Various	66.79	...	3.61	Total of 4 transactions
CISCO SYSTEMS, INC. COMMON STO CK (DE) / CUSIP: 17275R102 / Symbol:							
02/20/20	3.000	138.13	08/15/19	142.08	...	-3.95	Sale <sup>25</sup>
2 transactions for 02/20/20. Total proceeds and cost reported to the IRS.							
	2.000	92.08	08/05/19	104.50	12.42 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	92.08	08/05/19	103.64	11.56 W	0.00	2 of 2 - Sale <sup>25</sup>
02/20/20	4.000	184.16	Various	208.14	23.98 W	0.00	Total of 2 transactions
6 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	3.000	130.96	11/13/19	136.35	...	-5.39	1 of 6 - Sale <sup>25</sup>
	2.000	87.30	12/10/19	88.14	...	-0.84	2 of 6 - Sale <sup>25</sup>
	3.000	130.95	12/16/19	138.47	...	-7.52	3 of 6 - Sale <sup>25</sup>
	2.000	87.31	02/27/20	94.89	...	-7.58	4 of 6 - Sale <sup>25</sup>
	1.000	43.62	02/28/20	44.50	...	-0.88	5 of 6 - Sale <sup>25</sup>
	1.000	43.62	03/06/20	44.44	...	-0.82	6 of 6 - Sale <sup>25</sup>
05/12/20	12.000	523.76	Various	546.79	...	-23.03	Total of 6 transactions
	<b>Security total:</b>	<b>846.05</b>		<b>897.01</b>	<b>23.98 W</b>	<b>-26.98</b>	
CLOUDERA, INC. / CUSIP: 18914U100 / Symbol:							
02/18/20	1.000	10.91	08/23/19	7.04	...	3.87	Sale <sup>25</sup>
CORBUS PHARMACEUTICALS HOLDING S, INC. COMMON STOCK / CUSIP: 21833P103 / Symbol:							
03/12/20	1.000	3.97	02/28/20	5.12	...	-1.15	Sale <sup>25</sup>
CORMEDIX INC. COMMON STOCK / CUSIP: 21900C308 / Symbol:							
02/18/20	10.000	63.21	10/29/19	57.10	...	6.11	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 841844558

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CREDIT SUISSE AG NASSAU BRH OT HER / CUSIP: 22539T183 / Symbol:							
07/23/20	5.000	43.50	06/23/20	54.03	...	-10.53	Sale <sup>25</sup>
	3 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	5.000	43.50	06/16/20	48.68	5.18 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	43.50	06/16/20	48.50	5.00 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	43.50	06/22/20	51.50	8.00 W	0.00	3 of 3 - Sale <sup>25</sup>
07/23/20	15.000	130.50	Various	148.68	18.18 W	0.00	Total of 3 transactions
	2 transactions for 10/15/20. Total proceeds and cost reported to the IRS.						
	5.000	58.15	06/23/20	52.50	...	5.65	1 of 2 - Sale <sup>25</sup>
	5.000	58.15	06/25/20	42.97	...	15.18	2 of 2 - Sale <sup>25</sup>
10/15/20	10.000	116.30	Various	95.47	...	20.83	Total of 2 transactions
	<b>Security total:</b>	<b>290.30</b>		<b>298.18</b>	<b>18.18 W</b>	<b>10.30</b>	
DXC TECHNOLOGY COMPANY / CUSIP: 23355L106 / Symbol:							
	3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	1.000	15.17	05/29/20	14.40	...	0.77	1 of 3 - Sale <sup>25</sup>
	1.000	15.17	05/29/20	14.24	...	0.93	2 of 3 - Sale <sup>25</sup>
	3.000	45.51	05/29/20	43.50	...	2.01	3 of 3 - Sale <sup>25</sup>
06/11/20	5.000	75.85	Various	72.14	...	3.71	Total of 3 transactions
DENBURY RESOURCES, INC. / CUSIP: 247916208 / Symbol:							
	6 transactions for 04/23/20. Total proceeds and cost reported to the IRS.						
	100.000	28.01	03/10/20	30.16	...	-2.15	1 of 6 - Sale <sup>25</sup>
	20.000	5.60	03/10/20	6.19	...	-0.59	2 of 6 - Sale <sup>25</sup>
	50.000	14.00	03/12/20	13.65	...	0.35	3 of 6 - Sale <sup>25</sup>
	30.000	8.40	03/12/20	7.79	...	0.61	4 of 6 - Sale <sup>25</sup>
	50.000	14.00	03/26/20	12.82	...	1.18	5 of 6 - Sale <sup>25</sup>
	50.000	14.01	04/17/20	8.94	...	5.07	6 of 6 - Sale <sup>25</sup>
04/23/20	300.000	84.02	Various	79.55	...	4.47	Total of 6 transactions

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Robinhood Securities LLC

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:							
09/03/20	5.000	147.70	06/12/20	216.22	27.41 W	-41.11	Sale <sup>25</sup>
11/18/20	1.000	32.92	06/22/20	38.00	...	-5.08	Sale <sup>25</sup>
<i>4 transactions for 11/18/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	32.96	06/17/20	43.07	10.11 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	32.91	06/17/20	43.10	10.19 W	0.00	2 of 4 - Sale <sup>25</sup>
	2.000	65.82	06/19/20	80.00	7.09 W	-7.09	3 of 4 - Sale <sup>25</sup>
	1.000	32.91	06/19/20	41.26	8.35 W	0.00	4 of 4 - Sale <sup>25</sup>
11/18/20	5.000	164.60	Various	207.43	35.74 W	-7.09	Total of 4 transactions
	<b>Security total:</b>	<b>345.22</b>		<b>461.65</b>	<b>63.15 W</b>	<b>-53.28</b>	
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BEAR 2X SHARES / CUSIP: 25460G658 / Symbol:							
<i>2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	50.79	06/16/20	40.89	...	9.90	1 of 2 - Sale <sup>25</sup>
	1.000	50.79	06/18/20	44.16	...	6.63	2 of 2 - Sale <sup>25</sup>
08/31/20	2.000	101.58	Various	85.05	...	16.53	Total of 2 transactions
THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol:							
04/07/20	1.000	102.43	03/12/20	99.16	...	3.27	Sale <sup>25</sup>
<i>2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	102.84	03/11/20	105.00	2.16 W	0.00	1 of 2 - Sale <sup>25</sup>
	4.000	411.35	03/11/20	419.95	8.60 W	0.00	2 of 2 - Sale <sup>25</sup>
04/07/20	5.000	514.19	Various	524.95	10.76 W	0.00	Total of 2 transactions
<i>3 transactions for 04/13/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	102.22	03/12/20	97.15	...	5.07	1 of 3 - Sale <sup>25</sup>
	1.000	102.22	03/12/20	97.15	...	5.07	2 of 3 - Sale <sup>25</sup>
	2.000	204.44	03/12/20	192.78	...	11.66	3 of 3 - Sale <sup>25</sup>
04/13/20	4.000	408.88	Various	387.08	...	21.80	Total of 3 transactions
<i>2 transactions for 04/24/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	101.21	03/12/20	92.65	...	8.56	1 of 2 - Sale <sup>25</sup>
	1.000	101.19	04/22/20	101.17	...	0.02	2 of 2 - Sale <sup>25</sup>
04/24/20	2.000	202.40	Various	193.82	...	8.58	Total of 2 transactions
	<b>Security total:</b>	<b>1,227.90</b>		<b>1,205.01</b>	<b>10.76 W</b>	<b>33.65</b>	

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Account 841844558

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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EXXON MOBIL CORPORATION / CUSIP: 30231G102 / Symbol:							
03/12/20	1.000	38.79	03/10/20	42.95	...	-4.16	Sale <sup>25</sup>
FITBIT, INC. CLASS A COMMON STOCK / CUSIP: 33812L102 / Symbol:							
02/18/20	10.000	64.13	10/28/19	52.55	...	11.58	Sale <sup>25</sup>
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
02/18/20	3.000	24.19	02/11/20	24.27	...	-0.08	Sale <sup>25</sup>
	5 transactions for 02/18/20. Total proceeds and cost reported to the IRS.						
	1.000	8.09	10/29/19	8.68	0.59 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	8.10	02/10/20	8.12	0.02 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	8.06	02/10/20	8.71	0.65 W	0.00	3 of 5 - Sale <sup>25</sup>
	3.000	24.19	02/10/20	24.35	0.16 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.000	8.06	02/11/20	8.11	0.05 W	0.00	5 of 5 - Sale <sup>25</sup>
02/18/20	7.000	56.50	Various	57.97	1.47 W	0.00	Total of 5 transactions
	5 transactions for 04/28/20. Total proceeds and cost reported to the IRS.						
	3.000	16.48	03/10/20	18.81	...	-2.33	1 of 5 - Sale <sup>25</sup>
	1.000	5.49	03/10/20	6.87	...	-1.38	2 of 5 - Sale <sup>25</sup>
	1.000	5.49	03/10/20	6.27	...	-0.78	3 of 5 - Sale <sup>25</sup>
	10.000	54.92	04/15/20	50.70	...	4.22	4 of 5 - Sale <sup>25</sup>
	15.000	82.39	04/15/20	76.01	...	6.38	5 of 5 - Sale <sup>25</sup>
04/28/20	30.000	164.77	Various	158.66	...	6.11	Total of 5 transactions
	<b>Security total:</b>	<b>245.46</b>		<b>240.90</b>	<b>1.47 W</b>	<b>6.03</b>	
HEXO CORP. / CUSIP: 428304109 / Symbol:							
	3 transactions for 05/04/20. Total proceeds and cost reported to the IRS.						
	1.000	0.51	04/16/20	0.49	...	0.02	1 of 3 - Sale <sup>25</sup>
	9.000	4.63	04/17/20	4.42	...	0.21	2 of 3 - Sale <sup>25</sup>
	40.000	20.58	04/20/20	19.20	...	1.38	3 of 3 - Sale <sup>25</sup>
05/04/20	50.000	25.72	Various	24.11	...	1.61	Total of 3 transactions
INOVIO PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 45773H201 / Symbol:							
	3 transactions for 04/21/20. Total proceeds and cost reported to the IRS.						
	1.000	9.84	04/06/20	8.33	...	1.51	1 of 3 - Sale <sup>25</sup>
	10.000	98.40	04/20/20	98.30	...	0.10	2 of 3 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	10.000	98.40	04/20/20	97.70	...	0.70	3 of 3 - Sale <sup>25</sup>
04/21/20	21.000	206.64	Various	204.33	...	2.31	Total of 3 transactions
04/21/20	9.000	88.56	04/20/20	88.65	0.09 W	0.00	Sale <sup>25</sup>
05/01/20	5.000	49.45	04/20/20	49.25	...	0.20	Sale <sup>25</sup>
2 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	4.000	42.96	04/20/20	39.24	...	3.72	1 of 2 - Sale <sup>25</sup>
	6.000	64.44	04/20/20	59.10	...	5.34	2 of 2 - Sale <sup>25</sup>
05/05/20	10.000	107.40	Various	98.34	...	9.06	Total of 2 transactions
05/06/20	5.000	49.55	04/20/20	49.05	...	0.50	Sale <sup>25</sup>
<b>Security total:</b>		<b>501.60</b>		<b>489.62</b>	<b>0.09 W</b>	<b>12.07</b>	
KOSMOS ENERGY LTD. / CUSIP: 500688106 / Symbol:							
19 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	10.000	17.01	04/17/20	9.17	...	7.84	1 of 19 - Sale <sup>25</sup>
	10.000	17.01	05/19/20	16.60	...	0.41	2 of 19 - Sale <sup>25</sup>
	100.000	170.12	06/18/20	202.00	...	-31.88	3 of 19 - Sale <sup>25</sup>
	64.000	108.28	06/18/20	130.56	...	-22.28	4 of 19 - Sale <sup>25</sup>
	36.000	60.90	06/18/20	73.44	...	-12.54	5 of 19 - Sale <sup>25</sup>
	10.000	17.10	06/30/20	15.98	...	1.12	6 of 19 - Sale <sup>25</sup>
	90.000	152.26	06/30/20	143.77	...	8.49	7 of 19 - Sale <sup>25</sup>
	100.000	169.18	07/01/20	159.81	...	9.37	8 of 19 - Sale <sup>25</sup>
	10.000	16.92	07/10/20	15.48	...	1.44	9 of 19 - Sale <sup>25</sup>
	40.000	68.05	07/10/20	61.90	...	6.15	10 of 19 - Sale <sup>25</sup>
	50.000	85.07	09/03/20	68.91	...	16.16	11 of 19 - Sale <sup>25</sup>
	100.000	170.13	09/08/20	105.00	...	65.13	12 of 19 - Sale <sup>25</sup>
	50.000	85.06	11/06/20	92.28	...	-7.22	13 of 19 - Sale <sup>25</sup>
	20.000	34.03	11/06/20	36.74	...	-2.71	14 of 19 - Sale <sup>25</sup>
	10.000	17.01	11/06/20	10.28	...	6.73	15 of 19 - Sale <sup>25</sup>
	20.000	34.03	11/06/20	24.33	...	9.70	16 of 19 - Sale <sup>25</sup>
	20.000	34.03	11/06/20	20.55	...	13.48	17 of 19 - Sale <sup>25</sup>
	30.000	51.04	11/06/20	36.53	...	14.51	18 of 19 - Sale <sup>25</sup>
	50.000	85.07	11/06/20	61.77	...	23.30	19 of 19 - Sale <sup>25</sup>
11/23/20	820.000	1,392.30	Various	1,285.10	...	107.20	Total of 19 transactions

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(continued)

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KOSMOS ENERGY LTD. / CUSIP: 500688106 / Symbol: (cont'd)							
<i>9 transactions for 11/23/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	17.01	05/19/20	17.10	0.09 W	0.00	1 of 9 - Sale <sup>25</sup>
	20.000	34.02	05/19/20	34.20	0.18 W	0.00	2 of 9 - Sale <sup>25</sup>
	50.000	85.06	06/02/20	95.91	10.85 W	0.00	3 of 9 - Sale <sup>25</sup>
	50.000	85.09	06/02/20	95.00	9.91 W	0.00	4 of 9 - Sale <sup>25</sup>
	50.000	85.09	06/05/20	126.41	41.32 W	0.00	5 of 9 - Sale <sup>25</sup>
	50.000	85.50	06/05/20	126.41	40.91 W	0.00	6 of 9 - Sale <sup>25</sup>
	30.000	51.29	06/09/20	82.73	31.44 W	0.00	7 of 9 - Sale <sup>25</sup>
	20.000	34.20	06/09/20	55.55	21.35 W	0.00	8 of 9 - Sale <sup>25</sup>
	100.000	171.99	06/18/20	204.00	6.40 W	-25.61	9 of 9 - Sale <sup>25</sup>
11/23/20	380.000	649.25	Various	837.31	162.45 W	-25.61	Total of 9 transactions
<i>4 transactions for 11/30/20. Total proceeds and cost reported to the IRS.</i>							
	30.000	52.80	11/09/20	64.39	...	-11.59	1 of 4 - Sale <sup>25</sup>
	20.000	35.20	11/09/20	43.31	...	-8.11	2 of 4 - Sale <sup>25</sup>
	30.000	52.80	11/09/20	57.50	...	-4.70	3 of 4 - Sale <sup>25</sup>
	20.000	35.19	11/09/20	28.37	...	6.82	4 of 4 - Sale <sup>25</sup>
11/30/20	100.000	175.99	Various	193.57	...	-17.58	Total of 4 transactions
	<b>Security total:</b>	<b>2,217.54</b>		<b>2,315.98</b>	<b>162.45 W</b>	<b>64.01</b>	
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
06/23/20	20.000	51.40	06/22/20	64.60	...	-13.20	Sale <sup>25</sup>
LYFT, INC. CLASS A COMMON STOC K / CUSIP: 55087P104 / Symbol:							
05/06/20	1.000	30.50	05/01/20	30.00	...	0.50	Sale <sup>25</sup>
<i>4 transactions for 05/06/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	30.00	05/01/20	30.85	0.85 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	30.00	05/01/20	30.68	0.68 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	30.50	05/01/20	31.41	0.91 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	30.50	05/01/20	30.97	0.47 W	0.00	4 of 4 - Sale <sup>25</sup>
05/06/20	4.000	121.00	Various	123.91	2.91 W	0.00	Total of 4 transactions
<i>5 transactions for 06/11/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	36.50	05/01/20	30.57	...	5.93	1 of 5 - Sale <sup>25</sup>
	1.000	36.50	05/04/20	28.22	...	8.28	2 of 5 - Sale <sup>25</sup>

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LYFT, INC. CLASS A COMMON STOC K / CUSIP: 55087P104 / Symbol: (cont'd)							
	1.000	36.50	05/04/20	27.30	...	9.20	3 of 5 - Sale <sup>25</sup>
	1.000	36.50	05/04/20	27.21	...	9.29	4 of 5 - Sale <sup>25</sup>
	1.000	36.50	05/04/20	26.87	...	9.63	5 of 5 - Sale <sup>25</sup>
06/11/20	5.000	182.50	Various	140.17	...	42.33	Total of 5 transactions
	5 transactions for 06/12/20. Total proceeds and cost reported to the IRS.						
	1.000	36.48	05/04/20	26.86	...	9.62	1 of 5 - Sale <sup>25</sup>
	1.000	36.48	05/04/20	26.77	...	9.71	2 of 5 - Sale <sup>25</sup>
	1.000	36.48	05/14/20	26.60	...	9.88	3 of 5 - Sale <sup>25</sup>
	1.000	36.48	05/14/20	26.52	...	9.96	4 of 5 - Sale <sup>25</sup>
	1.000	36.81	05/14/20	26.60	...	10.21	5 of 5 - Sale <sup>25</sup>
06/12/20	5.000	182.73	Various	133.35	...	49.38	Total of 5 transactions
	<b>Security total:</b>	<b>516.73</b>		<b>427.43</b>	<b>2.91 W</b>	<b>92.21</b>	
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
	4 transactions for 05/27/20. Total proceeds and cost reported to the IRS.						
	1.000	1.83	04/17/20	1.79	...	0.04	1 of 4 - Sale <sup>25</sup>
	9.000	16.42	04/17/20	16.08	...	0.34	2 of 4 - Sale <sup>25</sup>
	10.000	18.25	04/20/20	16.96	...	1.29	3 of 4 - Sale <sup>25</sup>
	30.000	54.75	04/20/20	50.59	...	4.16	4 of 4 - Sale <sup>25</sup>
05/27/20	50.000	91.25	Various	85.42	...	5.83	Total of 4 transactions
	2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.						
	5.000	12.57	04/22/20	8.38	...	4.19	1 of 2 - Sale <sup>25</sup>
	15.000	37.70	04/28/20	25.15	...	12.55	2 of 2 - Sale <sup>25</sup>
06/12/20	20.000	50.27	Various	33.53	...	16.74	Total of 2 transactions
	4 transactions for 06/15/20. Total proceeds and cost reported to the IRS.						
	10.000	25.63	04/28/20	16.76	...	8.87	1 of 4 - Sale <sup>25</sup>
	10.000	25.63	05/11/20	15.67	...	9.96	2 of 4 - Sale <sup>25</sup>
	10.000	25.63	05/11/20	15.66	...	9.97	3 of 4 - Sale <sup>25</sup>
	20.000	51.27	05/11/20	31.33	...	19.94	4 of 4 - Sale <sup>25</sup>
06/15/20	50.000	128.16	Various	79.42	...	48.74	Total of 4 transactions

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Robinhood Securities LLC

Account 841844558

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol: (cont'd)							
2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	10.000	25.24	05/11/20	15.66	...	9.58	1 of 2 - Sale <sup>25</sup>
	40.000	100.94	06/04/20	88.40	...	12.54	2 of 2 - Sale <sup>25</sup>
06/18/20	50.000	126.18	Various	104.06	...	22.12	Total of 2 transactions
2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	10.000	24.83	06/04/20	22.10	...	2.73	1 of 2 - Sale <sup>25</sup>
	70.000	173.85	06/04/20	157.95	...	15.90	2 of 2 - Sale <sup>25</sup>
06/25/20	80.000	198.68	Various	180.05	...	18.63	Total of 2 transactions
06/25/20	20.000	49.67	06/05/20	66.74	17.07 W	0.00	Sale <sup>25</sup>
4 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	50.000	133.50	06/05/20	166.84	...	-33.34	1 of 4 - Sale <sup>25</sup>
	20.000	53.44	06/05/20	76.01	...	-22.57	2 of 4 - Sale <sup>25</sup>
	30.000	80.16	06/05/20	100.10	...	-19.94	3 of 4 - Sale <sup>25</sup>
	30.000	78.45	06/26/20	69.75	...	8.70	4 of 4 - Sale <sup>25</sup>
09/04/20	130.000	345.55	Various	412.70	...	-67.15	Total of 4 transactions
09/28/20	20.000	54.64	06/26/20	46.50	...	8.14	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,044.40</b>		<b>1,008.42</b>	<b>17.07 W</b>	<b>53.05</b>	
MACY'S INC. / CUSIP: 55616P104 / Symbol:							
8 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	4.000	27.45	05/29/20	25.59	...	1.86	1 of 8 - Sale <sup>25</sup>
	5.000	34.31	05/29/20	31.99	...	2.32	2 of 8 - Sale <sup>25</sup>
	5.000	34.31	05/29/20	31.99	...	2.32	3 of 8 - Sale <sup>25</sup>
	5.000	34.31	05/29/20	31.99	...	2.32	4 of 8 - Sale <sup>25</sup>
	30.000	205.85	06/01/20	186.55	...	19.30	5 of 8 - Sale <sup>25</sup>
	50.000	343.09	06/01/20	315.42	...	27.67	6 of 8 - Sale <sup>25</sup>
	50.000	343.08	06/01/20	315.00	...	28.08	7 of 8 - Sale <sup>25</sup>
	30.000	205.85	07/31/20	180.75	...	25.10	8 of 8 - Sale <sup>25</sup>
08/31/20	179.000	1,228.25	Various	1,119.28	...	108.97	Total of 8 transactions
08/31/20	1.000	6.86	05/28/20	7.05	0.19 W	0.00	Sale <sup>25</sup>
4 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	20.000	122.85	07/31/20	120.50	...	2.35	1 of 4 - Sale <sup>25</sup>
	1.000	6.14	09/24/20	6.17	...	-0.03	2 of 4 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 841844558

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MACY'S INC. / CUSIP: 55616P104 / Symbol: (cont'd)							
	9.000	55.28	09/24/20	53.82	...	1.46	3 of 4 - Sale <sup>25</sup>
	20.000	122.85	09/24/20	119.75	...	3.10	4 of 4 - Sale <sup>25</sup>
09/28/20	50.000	307.12	Various	300.24	...	6.88	Total of 4 transactions
	<b>Security total:</b>	<b>1,542.23</b>		<b>1,426.57</b>	<b>0.19 W</b>	<b>115.85</b>	
MADISON SQUARE GARDEN SPORTS C ORP. / CUSIP: 55825T103 / Symbol:							
2 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	1.000	171.99	05/26/20	171.29	...	0.70	1 of 2 - Sale <sup>25</sup>
	2.000	343.99	05/26/20	342.14	...	1.85	2 of 2 - Sale <sup>25</sup>
06/01/20	3.000	515.98	Various	513.43	...	2.55	Total of 2 transactions
MEDALLION FINANCIAL CORP. COMM ON STOCK / CUSIP: 583928106 / Symbol:							
8 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	10.000	19.50	05/06/20	20.17	0.67 W	0.00	1 of 8 - Sale <sup>25</sup>
	40.000	77.99	05/06/20	80.00	2.01 W	0.00	2 of 8 - Sale <sup>25</sup>
	50.000	97.49	05/07/20	112.00	14.51 W	0.00	3 of 8 - Sale <sup>25</sup>
	50.000	97.49	05/07/20	102.00	4.51 W	0.00	4 of 8 - Sale <sup>25</sup>
	50.000	97.49	05/07/20	101.50	4.01 W	0.00	5 of 8 - Sale <sup>25</sup>
	100.000	194.98	05/07/20	203.00	8.02 W	0.00	6 of 8 - Sale <sup>25</sup>
	99.000	193.04	05/07/20	200.97	7.93 W	0.00	7 of 8 - Sale <sup>25</sup>
	1.000	1.95	05/07/20	2.10	0.15 W	0.00	8 of 8 - Sale <sup>25</sup>
05/14/20	400.000	779.93	Various	821.74	41.81 W	0.00	Total of 8 transactions
18 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	2.000	4.44	05/07/20	4.19	...	0.25	1 of 18 - Sale <sup>25</sup>
	3.000	6.66	05/07/20	6.24	...	0.42	2 of 18 - Sale <sup>25</sup>
	7.000	15.54	05/07/20	14.68	...	0.86	3 of 18 - Sale <sup>25</sup>
	37.000	82.14	05/07/20	76.97	...	5.17	4 of 18 - Sale <sup>25</sup>
	1.000	2.19	05/12/20	2.18	...	0.01	5 of 18 - Sale <sup>25</sup>
	1.000	2.19	05/12/20	2.12	...	0.07	6 of 18 - Sale <sup>25</sup>
	1.000	2.22	05/12/20	2.13	...	0.09	7 of 18 - Sale <sup>25</sup>
	1.000	2.20	05/12/20	2.11	...	0.09	8 of 18 - Sale <sup>25</sup>
	1.000	2.22	05/12/20	2.10	...	0.12	9 of 18 - Sale <sup>25</sup>
	49.000	107.79	05/12/20	103.29	...	4.50	10 of 18 - Sale <sup>25</sup>
	50.000	110.00	05/12/20	104.75	...	5.25	11 of 18 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 841844558

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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MEDALLION FINANCIAL CORP. COMM ON STOCK / CUSIP: 583928106 / Symbol: (cont'd)							
	49.000	108.78	05/12/20	103.16	...	5.62	12 of 18 - Sale <sup>25</sup>
	50.000	110.99	05/12/20	104.76	...	6.23	13 of 18 - Sale <sup>25</sup>
	98.000	214.61	05/12/20	206.48	...	8.13	14 of 18 - Sale <sup>25</sup>
	1.000	2.18	05/14/20	1.93	...	0.25	15 of 18 - Sale <sup>25</sup>
	49.000	106.81	05/14/20	99.98	...	6.83	16 of 18 - Sale <sup>25</sup>
	50.000	108.99	05/14/20	96.42	...	12.57	17 of 18 - Sale <sup>25</sup>
	300.000	653.95	05/14/20	571.50	...	82.45	18 of 18 - Sale <sup>25</sup>
05/22/20	750.000	1,643.90	Various	1,504.99	...	138.91	Total of 18 transactions
	2 transactions for 05/22/20. Total proceeds and cost reported to the IRS.						
	1.000	2.22	05/07/20	2.32	0.10 W	0.00	1 of 2 - Sale <sup>25</sup>
	49.000	108.77	05/12/20	114.18	5.41 W	0.00	2 of 2 - Sale <sup>25</sup>
05/22/20	50.000	110.99	Various	116.50	5.51 W	0.00	Total of 2 transactions
05/27/20	100.000	258.00	05/15/20	191.00	...	67.00	Sale <sup>25</sup>
06/12/20	9.000	25.02	05/19/20	19.08	...	5.94	Sale <sup>25</sup>
	2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.						
	9.000	26.23	05/19/20	19.58	...	6.65	1 of 2 - Sale <sup>25</sup>
	91.000	265.26	05/19/20	192.91	...	72.35	2 of 2 - Sale <sup>25</sup>
09/03/20	100.000	291.49	Various	212.49	...	79.00	Total of 2 transactions
	3 transactions for 09/04/20. Total proceeds and cost reported to the IRS.						
	9.000	26.46	05/19/20	18.63	...	7.83	1 of 3 - Sale <sup>25</sup>
	91.000	267.53	05/19/20	198.02	...	69.51	2 of 3 - Sale <sup>25</sup>
	100.000	290.49	05/19/20	217.60	...	72.89	3 of 3 - Sale <sup>25</sup>
09/04/20	200.000	584.48	Various	434.25	...	150.23	Total of 3 transactions
	2 transactions for 09/30/20. Total proceeds and cost reported to the IRS.						
	100.000	253.51	05/19/20	208.76	...	44.75	1 of 2 - Sale <sup>25</sup>
	100.000	253.51	06/01/20	233.99	...	19.52	2 of 2 - Sale <sup>25</sup>
09/30/20	200.000	507.02	Various	442.75	...	64.27	Total of 2 transactions
	<b>Security total:</b>	<b>4,200.83</b>		<b>3,742.80</b>	<b>47.32 W</b>	<b>505.35</b>	
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
	6 transactions for 04/06/20. Total proceeds and cost reported to the IRS.						
	1.000	161.16	02/27/20	163.00	...	-1.84	1 of 6 - Sale <sup>25</sup>
	1.000	161.16	02/27/20	157.59	...	3.57	2 of 6 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	161.37	03/09/20	156.46	...	4.91	3 of 6 - Sale <sup>25</sup>
	1.000	161.37	03/09/20	156.46	...	4.91	4 of 6 - Sale <sup>25</sup>
	3.000	483.47	03/09/20	463.31	...	20.16	5 of 6 - Sale <sup>25</sup>
	2.000	322.78	03/11/20	315.87	...	6.91	6 of 6 - Sale <sup>25</sup>
04/06/20	9.000	1,451.31	Various	1,412.69	...	38.62	Total of 6 transactions
	9 transactions for 04/06/20. Total proceeds and cost reported to the IRS.						
	4.000	641.42	01/16/20	657.16	15.74 W	0.00	1 of 9 - Sale <sup>25</sup>
	3.000	481.07	01/16/20	492.87	11.80 W	0.00	2 of 9 - Sale <sup>25</sup>
	1.000	160.51	01/16/20	164.29	3.78 W	0.00	3 of 9 - Sale <sup>25</sup>
	1.000	160.50	01/27/20	161.70	1.20 W	0.00	4 of 9 - Sale <sup>25</sup>
	1.000	160.51	01/27/20	162.04	1.53 W	0.00	5 of 9 - Sale <sup>25</sup>
	1.000	160.50	01/27/20	161.91	1.41 W	0.00	6 of 9 - Sale <sup>25</sup>
	1.000	160.42	01/27/20	161.90	1.48 W	0.00	7 of 9 - Sale <sup>25</sup>
	1.000	160.42	02/18/20	186.79	26.37 W	0.00	8 of 9 - Sale <sup>25</sup>
	2.000	320.83	02/20/20	365.66	22.42 W	-22.41	9 of 9 - Sale <sup>25</sup>
04/06/20	15.000	2,406.18	Various	2,514.32	85.73 W	-22.41	Total of 9 transactions
	<b>Security total:</b>	<b>3,857.49</b>		<b>3,927.01</b>	<b>85.73 W</b>	<b>16.21</b>	
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
	2 transactions for 04/16/20. Total proceeds and cost reported to the IRS.						
	6.000	19.56	03/06/20	21.78	...	-2.22	1 of 2 - Sale <sup>25</sup>
	4.000	13.04	03/09/20	13.24	...	-0.20	2 of 2 - Sale <sup>25</sup>
04/16/20	10.000	32.60	Various	35.02	...	-2.42	Total of 2 transactions
	3 transactions for 04/16/20. Total proceeds and cost reported to the IRS.						
	1.000	3.26	03/05/20	3.77	0.51 W	0.00	1 of 3 - Sale <sup>25</sup>
	3.000	9.78	03/05/20	11.43	1.65 W	0.00	2 of 3 - Sale <sup>25</sup>
	6.000	19.56	03/06/20	21.36	1.80 W	0.00	3 of 3 - Sale <sup>25</sup>
04/16/20	10.000	32.60	Various	36.56	3.96 W	0.00	Total of 3 transactions
05/06/20	10.000	35.03	03/13/20	30.00	...	5.03	Sale <sup>25</sup>
	7 transactions for 05/29/20. Total proceeds and cost reported to the IRS.						
	1.000	3.88	03/30/20	3.26	...	0.62	1 of 7 - Sale <sup>25</sup>
	1.000	3.88	03/30/20	3.05	...	0.83	2 of 7 - Sale <sup>25</sup>
	3.000	11.64	03/30/20	9.90	...	1.74	3 of 7 - Sale <sup>25</sup>

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(continued)

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	5.000	19.40	04/02/20	13.45	...	5.95	4 of 7 - Sale <sup>25</sup>
	10.000	38.80	05/21/20	34.28	...	4.52	5 of 7 - Sale <sup>25</sup>
	10.000	38.80	05/21/20	33.50	...	5.30	6 of 7 - Sale <sup>25</sup>
	20.000	77.60	05/21/20	66.15	...	11.45	7 of 7 - Sale <sup>25</sup>
05/29/20	50.000	194.00	Various	163.59	...	30.41	Total of 7 transactions
	<b>Security total:</b>	<b>294.23</b>		<b>265.17</b>	<b>3.96 W</b>	<b>33.02</b>	
NEW RESIDENTIAL INVESTMENT CORP / CUSIP: 64828T201 / Symbol:							
6 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	1.000	7.00	04/16/20	4.68	...	2.32	1 of 6 - Sale <sup>25</sup>
	4.000	28.00	04/22/20	22.80	...	5.20	2 of 6 - Sale <sup>25</sup>
	5.000	35.00	04/22/20	29.60	...	5.40	3 of 6 - Sale <sup>25</sup>
	5.000	35.00	04/22/20	28.40	...	6.60	4 of 6 - Sale <sup>25</sup>
	5.000	35.00	04/22/20	27.45	...	7.55	5 of 6 - Sale <sup>25</sup>
	30.000	210.00	04/23/20	168.00	...	42.00	6 of 6 - Sale <sup>25</sup>
05/22/20	50.000	350.00	Various	280.93	...	69.07	Total of 6 transactions
NEW YORK MORTGAGE TRUST, INC. COMMON STOCK / CUSIP: 649604501 / Symbol:							
6 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	1.000	2.11	04/21/20	2.08	...	0.03	1 of 6 - Sale <sup>25</sup>
	9.000	19.02	04/21/20	18.63	...	0.39	2 of 6 - Sale <sup>25</sup>
	10.000	21.13	04/22/20	20.27	...	0.86	3 of 6 - Sale <sup>25</sup>
	3.000	6.34	05/01/20	6.11	...	0.23	4 of 6 - Sale <sup>25</sup>
	7.000	14.79	05/01/20	14.26	...	0.53	5 of 6 - Sale <sup>25</sup>
	20.000	42.26	05/04/20	40.54	...	1.72	6 of 6 - Sale <sup>25</sup>
05/05/20	50.000	105.65	Various	101.89	...	3.76	Total of 6 transactions
2 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	5.000	13.01	06/22/20	13.04	...	-0.03	1 of 2 - Sale <sup>25</sup>
	5.000	13.00	07/07/20	11.99	...	1.01	2 of 2 - Sale <sup>25</sup>
09/28/20	10.000	26.01	Various	25.03	...	0.98	Total of 2 transactions
	<b>Security total:</b>	<b>131.66</b>		<b>126.92</b>	...	<b>4.74</b>	

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Robinhood Securities LLC

Account 841844558

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
5 transactions for 10/15/20. Total proceeds and cost reported to the IRS.							
	10.000	232.74	09/24/20	184.99	...	47.75	1 of 5 - Sale <sup>25</sup>
	10.000	232.75	09/28/20	180.00	...	52.75	2 of 5 - Sale <sup>25</sup>
	10.000	228.68	09/29/20	179.00	...	49.68	3 of 5 - Sale <sup>25</sup>
	10.000	232.74	09/29/20	180.00	...	52.74	4 of 5 - Sale <sup>25</sup>
	20.000	457.36	09/29/20	360.00	...	97.36	5 of 5 - Sale <sup>25</sup>
10/15/20	60.000	1,384.27	Various	1,083.99	...	300.28	Total of 5 transactions
ORGANIGRAM HOLDINGS INC. COMMO N SHARES / CUSIP: 68620P101 / Symbol:							
2 transactions for 05/07/20. Total proceeds and cost reported to the IRS.							
	30.000	43.80	05/05/20	46.80	...	-3.00	1 of 2 - Sale <sup>25</sup>
	5.000	7.30	05/05/20	7.75	...	-0.45	2 of 2 - Sale <sup>25</sup>
05/07/20	35.000	51.10	Various	54.55	...	-3.45	Total of 2 transactions
4 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	10.000	15.12	06/09/20	21.57	6.45 W	0.00	1 of 4 - Sale <sup>25</sup>
	10.000	15.12	06/09/20	21.10	5.98 W	0.00	2 of 4 - Sale <sup>25</sup>
	30.000	45.35	06/09/20	63.00	17.65 W	0.00	3 of 4 - Sale <sup>25</sup>
	50.000	75.59	06/11/20	99.00	23.41 W	0.00	4 of 4 - Sale <sup>25</sup>
12/07/20	100.000	151.18	Various	204.67	53.49 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>202.28</b>		<b>259.22</b>	<b>53.49 W</b>	<b>-3.45</b>	
PENN NATIONAL GAMING, INC. COM MON STOCK / CUSIP: 707569109 / Symbol:							
5 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	1.000	15.35	05/04/20	15.34	...	0.01	1 of 5 - Sale <sup>25</sup>
	1.000	15.31	05/04/20	15.20	...	0.11	2 of 5 - Sale <sup>25</sup>
	1.000	15.35	05/04/20	15.06	...	0.29	3 of 5 - Sale <sup>25</sup>
	1.000	15.36	05/04/20	15.00	...	0.36	4 of 5 - Sale <sup>25</sup>
	2.000	30.62	05/04/20	30.02	...	0.60	5 of 5 - Sale <sup>25</sup>
05/05/20	6.000	91.99	Various	90.62	...	1.37	Total of 5 transactions
2 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	3.000	46.07	05/04/20	46.10	0.02 W	-0.01	1 of 2 - Sale <sup>25</sup>
	1.000	15.35	05/04/20	15.55	0.20 W	0.00	2 of 2 - Sale <sup>25</sup>
05/05/20	4.000	61.42	Various	61.65	0.22 W	-0.01	Total of 2 transactions

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Robinhood Securities LLC

Account 841844558

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PENN NATIONAL GAMING, INC. COM MON STOCK / CUSIP: 707569109 / Symbol: (cont'd)							
<b>Security total:</b>		<b>153.41</b>		<b>152.27</b>	<b>0.22 W</b>	<b>1.36</b>	
PING IDENTITY HOLDING CORP. / CUSIP: 72341T103 / Symbol:							
2 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	1.000	21.56	03/06/20	19.25	...	2.31	1 of 2 - Sale <sup>25</sup>
	5.000	107.80	03/26/20	105.78	...	2.02	2 of 2 - Sale <sup>25</sup>
04/09/20	6.000	129.36	Various	125.03	...	4.33	Total of 2 transactions
2 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	2.000	43.12	02/27/20	47.11	3.99 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	43.12	02/27/20	44.00	0.88 W	0.00	2 of 2 - Sale <sup>25</sup>
04/09/20	4.000	86.24	Various	91.11	4.87 W	0.00	Total of 2 transactions
<b>Security total:</b>		<b>215.60</b>		<b>216.14</b>	<b>4.87 W</b>	<b>4.33</b>	
PROCURE ETF TRUST II PROCURE S PACE ETF / CUSIP: 74280R205 / Symbol:							
05/29/20	5.000	100.60	05/27/20	100.00	...	0.60	Sale <sup>25</sup>
PROSHARES ULTRA BLOOMBERG CRUD E OIL / CUSIP: 74347Y888 / Symbol:							
6 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	25.70	05/27/20	22.46	...	3.24	1 of 6 - Sale <sup>25</sup>
	2.000	51.40	05/27/20	44.26	...	7.14	2 of 6 - Sale <sup>25</sup>
	2.000	51.40	05/27/20	43.70	...	7.70	3 of 6 - Sale <sup>25</sup>
	5.000	129.10	05/27/20	114.24	...	14.86	4 of 6 - Sale <sup>25</sup>
	5.000	129.05	05/27/20	114.00	...	15.05	5 of 6 - Sale <sup>25</sup>
	5.000	123.96	06/01/20	120.50	...	3.46	6 of 6 - Sale <sup>25</sup>
06/11/20	20.000	510.61	Various	459.16	...	51.45	Total of 6 transactions
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	5.000	123.96	06/02/20	128.70	4.74 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	124.20	06/02/20	128.70	4.50 W	0.00	2 of 2 - Sale <sup>25</sup>
06/11/20	10.000	248.16	Various	257.40	9.24 W	0.00	Total of 2 transactions
2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	5.000	128.93	06/02/20	135.03	6.10 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	128.92	06/02/20	134.80	5.88 W	0.00	2 of 2 - Sale <sup>25</sup>
06/12/20	10.000	257.85	Various	269.83	11.98 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 841844558

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRA BLOOMBERG CRUD E OIL / CUSIP: 74347Y888 / Symbol: (cont'd)							
07/06/20	5.000	151.05	06/02/20	136.75	...	14.30	Sale <sup>25</sup>
	3 transactions for 07/09/20. Total proceeds and cost reported to the IRS.						
	5.000	149.00	06/02/20	136.53	...	12.47	1 of 3 - Sale <sup>25</sup>
	5.000	145.00	06/12/20	130.70	...	14.30	2 of 3 - Sale <sup>25</sup>
	5.000	148.55	06/12/20	130.70	...	17.85	3 of 3 - Sale <sup>25</sup>
07/09/20	15.000	442.55	Various	397.93	...	44.62	Total of 3 transactions
	2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.						
	5.000	149.35	06/12/20	130.90	...	18.45	1 of 2 - Sale <sup>25</sup>
	5.000	149.55	06/12/20	130.90	...	18.65	2 of 2 - Sale <sup>25</sup>
09/04/20	10.000	298.90	Various	261.80	...	37.10	Total of 2 transactions
09/08/20	4.000	103.69	06/15/20	94.00	...	9.69	Sale <sup>25</sup>
	3 transactions for 11/13/20. Total proceeds and cost reported to the IRS.						
	1.000	27.55	06/15/20	23.50	...	4.05	1 of 3 - Sale <sup>25</sup>
	1.000	27.55	10/02/20	25.15	...	2.40	2 of 3 - Sale <sup>25</sup>
	1.000	27.55	11/06/20	24.51	...	3.04	3 of 3 - Sale <sup>25</sup>
11/13/20	3.000	82.65	Various	73.16	...	9.49	Total of 3 transactions
	<b>Security total:</b>	<b>2,095.46</b>		<b>1,950.03</b>	<b>21.22 W</b>	<b>166.65</b>	
RUTH'S HOSPITALITY GROUP, INC. COMMON STOCK / CUSIP: 783332109 / Symbol:							
06/11/20	10.000	91.00	05/21/20	79.65	...	11.35	Sale <sup>25</sup>
	2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.						
	10.000	91.50	05/21/20	79.80	...	11.70	1 of 2 - Sale <sup>25</sup>
	10.000	91.50	05/21/20	79.70	...	11.80	2 of 2 - Sale <sup>25</sup>
06/12/20	20.000	183.00	Various	159.50	...	23.50	Total of 2 transactions
	<b>Security total:</b>	<b>274.00</b>		<b>239.15</b>	...	<b>34.85</b>	
SALARIUS PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 79400X107 / Symbol:							
05/12/20	10.000	8.66	04/29/20	9.20	0.54 W	0.00	Sale <sup>25</sup>
	6 transactions for 05/14/20. Total proceeds and cost reported to the IRS.						
	10.000	8.00	04/29/20	9.13	...	-1.13	1 of 6 - Sale <sup>25</sup>
	6.000	4.80	04/29/20	5.52	...	-0.72	2 of 6 - Sale <sup>25</sup>
	3.000	2.40	05/01/20	2.76	...	-0.36	3 of 6 - Sale <sup>25</sup>
	1.000	0.80	05/01/20	0.92	...	-0.12	4 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 841844558

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SALARIUS PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 79400X107 / Symbol: (cont'd)							
	16.000	12.80	05/01/20	12.80	...	0.00	5 of 6 - Sale <sup>25</sup>
	10.000	8.00	05/07/20	7.98	...	0.02	6 of 6 - Sale <sup>25</sup>
05/14/20	46.000	36.80	Various	39.11	...	-2.31	Total of 6 transactions
	2 transactions for 05/14/20. Total proceeds and cost reported to the IRS.						
	1.000	0.80	04/29/20	0.92	0.12 W	0.00	1 of 2 - Sale <sup>25</sup>
	3.000	2.40	04/29/20	2.76	0.36 W	0.00	2 of 2 - Sale <sup>25</sup>
05/14/20	4.000	3.20	Various	3.68	0.48 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>48.66</b>		<b>51.99</b>	<b>1.02 W</b>	<b>-2.31</b>	
SNOWFLAKE INC. / CUSIP: 833445109 / Symbol:							
09/28/20	1.000	244.39	09/16/20	244.00	...	0.39	Sale <sup>25</sup>
SPECTRUM PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 84763A108 / Symbol:							
	2 transactions for 04/09/20. Total proceeds and cost reported to the IRS.						
	1.000	2.63	03/09/20	2.63	...	0.00	1 of 2 - Sale <sup>25</sup>
	8.000	21.00	03/09/20	20.78	...	0.22	2 of 2 - Sale <sup>25</sup>
04/09/20	9.000	23.63	Various	23.41	...	0.22	Total of 2 transactions
	3 transactions for 05/04/20. Total proceeds and cost reported to the IRS.						
	1.000	2.69	03/09/20	2.60	...	0.09	1 of 3 - Sale <sup>25</sup>
	3.000	8.08	04/21/20	8.40	...	-0.32	2 of 3 - Sale <sup>25</sup>
	6.000	16.17	05/01/20	15.71	...	0.46	3 of 3 - Sale <sup>25</sup>
05/04/20	10.000	26.94	Various	26.71	...	0.23	Total of 3 transactions
	<b>Security total:</b>	<b>50.57</b>		<b>50.12</b>	...	<b>0.45</b>	
STARBUCKS CORPORATION COMMON S TOCK / CUSIP: 855244109 / Symbol:							
	4 transactions for 04/24/20. Total proceeds and cost reported to the IRS.						
	1.000	74.86	04/01/20	62.50	...	12.36	1 of 4 - Sale <sup>25</sup>
	1.000	74.86	04/21/20	72.89	...	1.97	2 of 4 - Sale <sup>25</sup>
	3.000	224.58	04/21/20	218.67	...	5.91	3 of 4 - Sale <sup>25</sup>
	5.000	373.60	04/21/20	364.45	...	9.15	4 of 4 - Sale <sup>25</sup>
04/24/20	10.000	747.90	Various	718.51	...	29.39	Total of 4 transactions

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Account 841844558

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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STARBUCKS CORPORATION COMMON S TOCK / CUSIP: 855244109 / Symbol: (cont'd)							
8 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	1.000	73.36	04/21/20	72.89	...	0.47	1 of 8 - Sale <sup>25</sup>
	1.000	73.41	04/21/20	72.89	...	0.52	2 of 8 - Sale <sup>25</sup>
	1.000	73.48	04/21/20	72.89	...	0.59	3 of 8 - Sale <sup>25</sup>
	2.000	146.40	04/21/20	145.78	...	0.62	4 of 8 - Sale <sup>25</sup>
	1.000	73.53	04/21/20	72.89	...	0.64	5 of 8 - Sale <sup>25</sup>
	1.000	74.38	04/21/20	72.89	...	1.49	6 of 8 - Sale <sup>25</sup>
	3.000	220.42	04/21/20	218.67	...	1.75	7 of 8 - Sale <sup>25</sup>
	1.000	73.20	05/04/20	71.53	...	1.67	8 of 8 - Sale <sup>25</sup>
05/05/20	11.000	808.18	Various	800.43	...	7.75	Total of 8 transactions
4 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	1.000	72.80	05/04/20	71.96	...	0.84	1 of 4 - Sale <sup>25</sup>
	1.000	72.80	05/04/20	71.96	...	0.84	2 of 4 - Sale <sup>25</sup>
	1.000	72.80	05/04/20	71.93	...	0.87	3 of 4 - Sale <sup>25</sup>
	1.000	72.82	05/04/20	71.70	...	1.12	4 of 4 - Sale <sup>25</sup>
05/06/20	4.000	291.22	Various	287.55	...	3.67	Total of 4 transactions
5 transactions for 05/08/20. Total proceeds and cost reported to the IRS.							
	1.000	76.42	05/04/20	71.92	...	4.50	1 of 5 - Sale <sup>25</sup>
	1.000	76.46	05/04/20	71.91	...	4.55	2 of 5 - Sale <sup>25</sup>
	1.000	76.80	05/04/20	71.84	...	4.96	3 of 5 - Sale <sup>25</sup>
	1.000	76.81	05/04/20	71.84	...	4.97	4 of 5 - Sale <sup>25</sup>
	1.000	76.85	05/04/20	71.74	...	5.11	5 of 5 - Sale <sup>25</sup>
05/08/20	5.000	383.34	Various	359.25	...	24.09	Total of 5 transactions
4 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	1.000	73.13	05/04/20	71.33	...	1.80	1 of 4 - Sale <sup>25</sup>
	1.000	73.66	05/04/20	71.80	...	1.86	2 of 4 - Sale <sup>25</sup>
	1.000	73.66	05/04/20	71.53	...	2.13	3 of 4 - Sale <sup>25</sup>
	2.000	147.32	05/04/20	143.56	...	3.76	4 of 4 - Sale <sup>25</sup>
05/13/20	5.000	367.77	Various	358.22	...	9.55	Total of 4 transactions
05/19/20	1.000	76.10	05/14/20	71.30	...	4.80	Sale <sup>25</sup>
05/22/20	1.000	77.05	05/14/20	71.00	...	6.05	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,751.56</b>		<b>2,666.26</b>	...	<b>85.30</b>	

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Account 841844558

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SUMMIT HOTEL PROPERTIES, INC. / CUSIP: 866082100 / Symbol:							
2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	8.74	05/26/20	5.90	...	2.84	1 of 2 - Sale <sup>25</sup>
	9.000	78.66	06/05/20	80.78	...	-2.12	2 of 2 - Sale <sup>25</sup>
06/08/20	10.000	87.40	Various	86.68	...	0.72	Total of 2 transactions
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
10/13/20	1.000	447.12	09/02/20	440.00	...	7.12	Sale <sup>25</sup>
10/13/20	1.000	447.13	08/31/20	466.49	19.36 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>894.25</b>		<b>906.49</b>	<b>19.36 W</b>	<b>7.12</b>	
TEVA PHARMACEUTICAL INDUSTRIES LIMITED AMERICAN DEPOSITARY SHARES / CUSIP: 881624209 / Symbol:							
2 transactions for 02/27/20. Total proceeds and cost reported to the IRS.							
	5.000	62.41	07/18/19	38.75	...	23.66	1 of 2 - Sale <sup>25</sup>
	5.000	62.41	08/06/19	36.20	...	26.21	2 of 2 - Sale <sup>25</sup>
02/27/20	10.000	124.82	Various	74.95	...	49.87	Total of 2 transactions
U.S. SILICA HOLDINGS, INC. / CUSIP: 90346E103 / Symbol:							
4 transactions for 05/04/20. Total proceeds and cost reported to the IRS.							
	10.000	21.10	04/22/20	12.78	...	8.32	1 of 4 - Sale <sup>25</sup>
	10.000	21.10	04/22/20	12.50	...	8.60	2 of 4 - Sale <sup>25</sup>
	20.000	44.45	04/23/20	30.00	...	14.45	3 of 4 - Sale <sup>25</sup>
	80.000	168.80	04/23/20	120.00	...	48.80	4 of 4 - Sale <sup>25</sup>
05/04/20	120.000	255.45	Various	175.28	...	80.17	Total of 4 transactions
2 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	30.000	53.72	04/27/20	41.10	...	12.62	1 of 2 - Sale <sup>25</sup>
	50.000	91.89	04/27/20	68.50	...	23.39	2 of 2 - Sale <sup>25</sup>
05/14/20	80.000	145.61	Various	109.60	...	36.01	Total of 2 transactions
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	20.000	65.43	04/27/20	27.40	...	38.03	1 of 2 - Sale <sup>25</sup>
	10.000	32.71	05/29/20	28.28	...	4.43	2 of 2 - Sale <sup>25</sup>
06/11/20	30.000	98.14	Various	55.68	...	42.46	Total of 2 transactions
06/12/20	20.000	67.85	05/29/20	56.55	...	11.30	Sale <sup>25</sup>
08/31/20	50.000	227.50	06/05/20	205.00	...	22.50	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 841844558

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
U.S. SILICA HOLDINGS, INC. / CUSIP: 90346E103 / Symbol: (cont'd)							
<b>Security total:</b>		<b>794.55</b>		<b>602.11</b>	...		<b>192.44</b>
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
05/27/20	1.000	30.33	04/16/20	29.82	...		0.51 Sale <sup>25</sup>
05/27/20	1.000	30.34	04/15/20	30.74	0.40 W		0.00 Sale <sup>25</sup>
<b>Security total:</b>		<b>60.67</b>		<b>60.56</b>	<b>0.40 W</b>		<b>0.51</b>
UNITED STATES OIL FUND, LP / CUSIP: 91232N207 / Symbol:							
09/04/20	5.000	144.20	06/03/20	134.90	...		9.30 Sale <sup>25</sup>
11/13/20	5.000	141.41	06/04/20	134.94	...		6.47 Sale <sup>25</sup>
<b>Security total:</b>		<b>285.61</b>		<b>269.84</b>	...		<b>15.77</b>
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	5.000	76.67	06/02/20	80.85	...		-4.18 1 of 2 - Sale <sup>25</sup>
	5.000	76.68	06/03/20	77.43	...		-0.75 2 of 2 - Sale <sup>25</sup>
06/11/20	10.000	153.35	Various	158.28	...		-4.93 Total of 2 transactions
WALMART INC. / CUSIP: 931142103 / Symbol:							
3 transactions for 01/27/20. Total proceeds and cost reported to the IRS.							
	2.000	227.45	01/10/20	232.80	...		-5.35 1 of 3 - Sale <sup>25</sup>
	1.000	113.73	01/10/20	118.86	...		-5.13 2 of 3 - Sale <sup>25</sup>
	1.000	113.87	01/10/20	116.40	...		-2.53 3 of 3 - Sale <sup>25</sup>
01/27/20	4.000	455.05	Various	468.06	...		-13.01 Total of 3 transactions
01/27/20	1.000	113.87	01/10/20	116.33	2.46 W		0.00 Sale <sup>25</sup>
<b>Security total:</b>		<b>568.92</b>		<b>584.39</b>	<b>2.46 W</b>		<b>-13.01</b>
WELLS FARGO & CO. / CUSIP: 949746101 / Symbol:							
10 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	2.000	52.83	05/04/20	53.90	...		-1.07 1 of 10 - Sale <sup>25</sup>
	1.000	26.42	05/04/20	27.09	...		-0.67 2 of 10 - Sale <sup>25</sup>
	1.000	26.41	05/04/20	26.95	...		-0.54 3 of 10 - Sale <sup>25</sup>
	1.000	26.42	05/04/20	26.88	...		-0.46 4 of 10 - Sale <sup>25</sup>
	2.000	52.83	05/05/20	53.91	...		-1.08 5 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 841844558

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WELLS FARGO & CO. / CUSIP: 949746101 / Symbol: (cont'd)							
	1.000	26.41	05/05/20	26.95	...	-0.54	6 of 10 - Sale <sup>25</sup>
	1.000	26.42	05/05/20	26.92	...	-0.50	7 of 10 - Sale <sup>25</sup>
	1.000	26.41	05/05/20	26.83	...	-0.42	8 of 10 - Sale <sup>25</sup>
	1.000	26.41	05/05/20	26.77	...	-0.36	9 of 10 - Sale <sup>25</sup>
	1.000	26.42	05/05/20	26.77	...	-0.35	10 of 10 - Sale <sup>25</sup>
07/23/20	12.000	316.98	Various	322.97	...	-5.99	Total of 10 transactions
	13 transactions for 08/31/20. Total proceeds and cost reported to the IRS.						
	4.000	96.40	05/05/20	107.04	...	-10.64	1 of 13 - Sale <sup>25</sup>
	2.000	48.20	05/05/20	53.41	...	-5.21	2 of 13 - Sale <sup>25</sup>
	2.000	48.20	05/05/20	53.40	...	-5.20	3 of 13 - Sale <sup>25</sup>
	1.000	24.10	05/05/20	26.78	...	-2.68	4 of 13 - Sale <sup>25</sup>
	1.000	24.10	05/05/20	26.78	...	-2.68	5 of 13 - Sale <sup>25</sup>
	1.000	24.10	05/05/20	26.77	...	-2.67	6 of 13 - Sale <sup>25</sup>
	1.000	24.10	05/05/20	26.77	...	-2.67	7 of 13 - Sale <sup>25</sup>
	1.000	24.10	05/05/20	26.74	...	-2.64	8 of 13 - Sale <sup>25</sup>
	1.000	24.10	05/13/20	23.25	...	0.85	9 of 13 - Sale <sup>25</sup>
	2.000	48.20	05/13/20	46.00	...	2.20	10 of 13 - Sale <sup>25</sup>
	2.000	48.20	05/14/20	48.08	...	0.12	11 of 13 - Sale <sup>25</sup>
	1.000	24.10	05/19/20	24.00	...	0.10	12 of 13 - Sale <sup>25</sup>
	1.000	24.10	05/19/20	24.00	...	0.10	13 of 13 - Sale <sup>25</sup>
08/31/20	20.000	482.00	Various	513.02	...	-31.02	Total of 13 transactions
	<b>Security total:</b>	<b>798.98</b>		<b>835.99</b>	...	<b>-37.01</b>	
ZYNERBA PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 98986X109 / Symbol:							
	4 transactions for 05/14/20. Total proceeds and cost reported to the IRS.						
	1.000	4.05	04/17/20	4.00	...	0.05	1 of 4 - Sale <sup>25</sup>
	9.000	36.45	04/17/20	35.28	...	1.17	2 of 4 - Sale <sup>25</sup>
	5.000	20.25	04/21/20	19.20	...	1.05	3 of 4 - Sale <sup>25</sup>
	15.000	60.60	05/01/20	55.80	...	4.80	4 of 4 - Sale <sup>25</sup>
05/14/20	30.000	121.35	Various	114.28	...	7.07	Total of 4 transactions
05/27/20	20.000	115.80	05/01/20	71.78	...	44.02	Sale <sup>25</sup>
06/09/20	30.000	164.75	05/19/20	150.43	...	14.32	Sale <sup>25</sup>
06/17/20	30.000	164.96	06/01/20	162.23	...	2.73	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 841844558

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZYNERBA PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 98986X109 / Symbol: (cont'd)							
<i>12 transactions for 06/17/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	109.98	06/01/20	111.20	1.22 W	0.00	1 of 12 - Sale <sup>25</sup>
	20.000	109.40	06/01/20	111.20	1.80 W	0.00	2 of 12 - Sale <sup>25</sup>
	20.000	109.40	06/01/20	113.02	3.62 W	0.00	3 of 12 - Sale <sup>25</sup>
	10.000	54.70	06/01/20	55.90	1.20 W	0.00	4 of 12 - Sale <sup>25</sup>
	20.000	109.40	06/01/20	113.60	4.20 W	0.00	5 of 12 - Sale <sup>25</sup>
	20.000	109.40	06/01/20	117.08	7.68 W	0.00	6 of 12 - Sale <sup>25</sup>
	10.000	54.70	06/01/20	57.93	3.23 W	0.00	7 of 12 - Sale <sup>25</sup>
	20.000	109.04	06/01/20	117.66	8.62 W	0.00	8 of 12 - Sale <sup>25</sup>
	20.000	109.04	06/01/20	118.68	9.64 W	0.00	9 of 12 - Sale <sup>25</sup>
	10.000	54.52	06/01/20	58.73	4.21 W	0.00	10 of 12 - Sale <sup>25</sup>
	20.000	109.11	06/01/20	119.62	10.51 W	0.00	11 of 12 - Sale <sup>25</sup>
	10.000	54.55	06/02/20	58.82	4.27 W	0.00	12 of 12 - Sale <sup>25</sup>
06/17/20	200.000	1,093.24	Various	1,153.44	60.20 W	0.00	Total of 12 transactions
	<b>Security total:</b>	<b>1,660.10</b>		<b>1,652.16</b>	<b>60.20 W</b>	<b>68.14</b>	
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
<i>8 transactions for 05/05/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	11.36	04/01/20	9.60	...	1.76	1 of 8 - Sale <sup>25</sup>
	9.000	102.24	04/21/20	98.91	...	3.33	2 of 8 - Sale <sup>25</sup>
	10.000	113.50	04/21/20	109.90	...	3.60	3 of 8 - Sale <sup>25</sup>
	2.000	22.68	04/22/20	21.78	...	0.90	4 of 8 - Sale <sup>25</sup>
	3.000	34.02	04/22/20	32.67	...	1.35	5 of 8 - Sale <sup>25</sup>
	5.000	56.70	04/22/20	54.09	...	2.61	6 of 8 - Sale <sup>25</sup>
	10.000	110.80	04/22/20	107.30	...	3.50	7 of 8 - Sale <sup>25</sup>
	9.000	100.26	04/22/20	96.57	...	3.69	8 of 8 - Sale <sup>25</sup>
05/05/20	49.000	551.56	Various	530.82	...	20.74	Total of 8 transactions
<i>4 transactions for 05/27/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	16.90	04/22/20	10.73	...	6.17	1 of 4 - Sale <sup>25</sup>
	1.000	16.90	05/13/20	10.48	...	6.42	2 of 4 - Sale <sup>25</sup>
	3.000	50.70	05/13/20	31.43	...	19.27	3 of 4 - Sale <sup>25</sup>
	5.000	84.50	05/13/20	51.24	...	33.26	4 of 4 - Sale <sup>25</sup>
05/27/20	10.000	169.00	Various	103.88	...	65.12	Total of 4 transactions

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Account 841844558

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol: (cont'd)							
<b>Security total:</b>		<b>720.56</b>		<b>634.70</b>	...	<b>85.86</b>	
ROYAL CARIBBEAN GROUP / CUSIP: V7780T103 / Symbol:							
5 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	1.000	49.42	03/10/20	45.01	...	4.41	1 of 5 - Sale <sup>25</sup>
	1.000	49.42	03/12/20	34.30	...	15.12	2 of 5 - Sale <sup>25</sup>
	1.000	49.42	03/12/20	31.09	...	18.33	3 of 5 - Sale <sup>25</sup>
	1.000	49.42	03/12/20	30.91	...	18.51	4 of 5 - Sale <sup>25</sup>
	1.000	49.42	03/12/20	30.66	...	18.76	5 of 5 - Sale <sup>25</sup>
05/26/20	5.000	247.10	Various	171.97	...	75.13	Total of 5 transactions
4 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	1.000	52.78	03/12/20	30.00	...	22.78	1 of 4 - Sale <sup>25</sup>
	1.000	52.78	03/12/20	30.00	...	22.78	2 of 4 - Sale <sup>25</sup>
	1.000	52.78	03/12/20	29.28	...	23.50	3 of 4 - Sale <sup>25</sup>
	2.000	105.56	03/27/20	67.02	...	38.54	4 of 4 - Sale <sup>25</sup>
05/27/20	5.000	263.90	Various	156.30	...	107.60	Total of 4 transactions
3 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	2.000	100.50	04/01/20	58.45	...	42.05	1 of 3 - Sale <sup>25</sup>
	2.000	100.50	04/02/20	52.04	...	48.46	2 of 3 - Sale <sup>25</sup>
	1.000	50.26	04/03/20	24.70	...	25.56	3 of 3 - Sale <sup>25</sup>
05/29/20	5.000	251.26	Various	135.19	...	116.07	Total of 3 transactions
<b>Security total:</b>		<b>762.26</b>		<b>463.46</b>	...	<b>298.80</b>	
COSTAMARE INC. / CUSIP: Y1771G102 / Symbol:							
2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	5.000	21.75	05/13/20	21.74	...	0.01	1 of 2 - Sale <sup>25</sup>
	20.000	87.00	05/14/20	85.27	...	1.73	2 of 2 - Sale <sup>25</sup>
05/19/20	25.000	108.75	Various	107.01	...	1.74	Total of 2 transactions
21 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	5.000	21.75	04/22/20	23.15	1.40 W	0.00	1 of 21 - Sale <sup>25</sup>
	5.000	21.75	05/01/20	22.34	0.59 W	0.00	2 of 21 - Sale <sup>25</sup>
	10.000	43.50	05/01/20	44.70	1.20 W	0.00	3 of 21 - Sale <sup>25</sup>
	10.000	43.50	05/01/20	44.65	1.15 W	0.00	4 of 21 - Sale <sup>25</sup>

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Account 841844558

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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COSTAMARE INC. / CUSIP: Y1771G102 / Symbol: (cont'd)							
	20.000	87.00	05/01/20	89.40	2.40 W	0.00	5 of 21 - Sale <sup>25</sup>
	50.000	217.49	05/01/20	225.41	7.92 W	0.00	6 of 21 - Sale <sup>25</sup>
	30.000	130.49	05/11/20	134.85	4.36 W	0.00	7 of 21 - Sale <sup>25</sup>
	20.000	87.00	05/12/20	88.20	1.20 W	0.00	8 of 21 - Sale <sup>25</sup>
	5.000	21.75	05/13/20	22.55	0.80 W	0.00	9 of 21 - Sale <sup>25</sup>
	10.000	43.50	05/13/20	43.68	0.18 W	0.00	10 of 21 - Sale <sup>25</sup>
	10.000	43.50	05/13/20	43.63	0.13 W	0.00	11 of 21 - Sale <sup>25</sup>
	20.000	87.00	05/13/20	87.36	0.36 W	0.00	12 of 21 - Sale <sup>25</sup>
	30.000	130.49	05/18/20	138.82	8.33 W	0.00	13 of 21 - Sale <sup>25</sup>
	30.000	130.49	05/18/20	138.43	7.94 W	0.00	14 of 21 - Sale <sup>25</sup>
	20.000	87.00	05/18/20	90.58	3.58 W	0.00	15 of 21 - Sale <sup>25</sup>
	5.000	21.80	05/18/20	23.15	1.35 W	0.00	16 of 21 - Sale <sup>25</sup>
	5.000	21.80	05/18/20	22.41	0.61 W	0.00	17 of 21 - Sale <sup>25</sup>
	10.000	43.60	05/18/20	44.87	1.27 W	0.00	18 of 21 - Sale <sup>25</sup>
	5.000	21.80	05/18/20	22.39	0.59 W	0.00	19 of 21 - Sale <sup>25</sup>
	20.000	87.20	05/18/20	89.69	2.49 W	0.00	20 of 21 - Sale <sup>25</sup>
	5.000	21.80	05/18/20	23.72	1.92 W	0.00	21 of 21 - Sale <sup>25</sup>
05/19/20	325.000	1,414.21	Various	1,463.98	49.77 W	0.00	Total of 21 transactions
	7 transactions for 05/22/20. Total proceeds and cost reported to the IRS.						
	5.000	22.35	05/18/20	22.05	...	0.30	1 of 7 - Sale <sup>25</sup>
	30.000	134.09	05/18/20	132.00	...	2.09	2 of 7 - Sale <sup>25</sup>
	40.000	178.79	05/18/20	176.40	...	2.39	3 of 7 - Sale <sup>25</sup>
	2.000	8.96	05/19/20	8.93	...	0.03	4 of 7 - Sale <sup>25</sup>
	5.000	22.40	05/19/20	22.31	...	0.09	5 of 7 - Sale <sup>25</sup>
	8.000	35.84	05/19/20	35.74	...	0.10	6 of 7 - Sale <sup>25</sup>
	5.000	22.40	05/19/20	22.29	...	0.11	7 of 7 - Sale <sup>25</sup>
05/22/20	95.000	424.83	Various	419.72	...	5.11	Total of 7 transactions
	16 transactions for 05/22/20. Total proceeds and cost reported to the IRS.						
	25.000	111.75	05/18/20	118.60	6.85 W	0.00	1 of 16 - Sale <sup>25</sup>
	25.000	111.75	05/18/20	118.27	6.52 W	0.00	2 of 16 - Sale <sup>25</sup>
	5.000	22.35	05/18/20	23.40	1.05 W	0.00	3 of 16 - Sale <sup>25</sup>
	8.000	35.76	05/18/20	36.30	0.54 W	0.00	4 of 16 - Sale <sup>25</sup>
	5.000	22.35	05/18/20	23.37	1.02 W	0.00	5 of 16 - Sale <sup>25</sup>
	20.000	89.40	05/18/20	91.78	2.38 W	0.00	6 of 16 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 841844558

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
COSTAMARE INC. / CUSIP: Y1771G102 / Symbol: (cont'd)							
	2.000	8.94	05/18/20	9.07	0.13 W	0.00	7 of 16 - Sale <sup>25</sup>
	5.000	22.35	05/18/20	22.66	0.31 W	0.00	8 of 16 - Sale <sup>25</sup>
	5.000	22.35	05/18/20	22.64	0.29 W	0.00	9 of 16 - Sale <sup>25</sup>
	20.000	89.40	05/18/20	90.69	1.29 W	0.00	10 of 16 - Sale <sup>25</sup>
	5.000	22.35	05/18/20	23.97	1.62 W	0.00	11 of 16 - Sale <sup>25</sup>
	5.000	22.40	05/19/20	23.05	0.65 W	0.00	12 of 16 - Sale <sup>25</sup>
	25.000	112.00	05/19/20	116.85	4.85 W	0.00	13 of 16 - Sale <sup>25</sup>
	5.000	22.41	05/19/20	23.02	0.61 W	0.00	14 of 16 - Sale <sup>25</sup>
	25.000	112.05	05/19/20	116.52	4.47 W	0.00	15 of 16 - Sale <sup>25</sup>
	20.000	89.64	05/19/20	90.37	0.73 W	0.00	16 of 16 - Sale <sup>25</sup>
05/22/20	205.000	917.25	Various	950.56	33.31 W	0.00	Total of 16 transactions
	7 transactions for 05/27/20. Total proceeds and cost reported to the IRS.						
	5.000	24.91	05/19/20	23.67	...	1.24	1 of 7 - Sale <sup>25</sup>
	5.000	24.90	05/19/20	22.70	...	2.20	2 of 7 - Sale <sup>25</sup>
	5.000	24.91	05/19/20	22.66	...	2.25	3 of 7 - Sale <sup>25</sup>
	15.000	74.73	05/19/20	66.70	...	8.03	4 of 7 - Sale <sup>25</sup>
	25.000	124.51	05/19/20	115.10	...	9.41	5 of 7 - Sale <sup>25</sup>
	25.000	124.55	05/19/20	114.72	...	9.83	6 of 7 - Sale <sup>25</sup>
	20.000	99.60	05/19/20	89.49	...	10.11	7 of 7 - Sale <sup>25</sup>
05/27/20	100.000	498.11	Various	455.04	...	43.07	Total of 7 transactions
	3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	5.000	24.16	05/26/20	23.63	...	0.53	1 of 3 - Sale <sup>25</sup>
	20.000	96.44	05/26/20	93.81	...	2.63	2 of 3 - Sale <sup>25</sup>
	25.000	120.80	05/26/20	117.26	...	3.54	3 of 3 - Sale <sup>25</sup>
06/11/20	50.000	241.40	Various	234.70	...	6.70	Total of 3 transactions
09/04/20	30.000	147.90	05/26/20	140.71	...	7.19	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,752.45</b>		<b>3,771.72</b>	<b>83.08 W</b>	<b>63.81</b>	
<b>Totals :</b>		<b>45,912.40</b>		<b>44,448.69</b>	<b>832.94 W</b>	<b>2,296.65</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 841844558

**Proceeds Not Reported to the IRS**

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked.

**Description of property**

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
MICROSECTORS US BIG OIL 3X LEV ERAGED ETN / CUSIP: 06367V105 / Symbol: 10/22/20	0.500	15.91	06/12/20	46.60	...	-30.69	Gain or loss
<b>Totals:</b>		<b>15.91</b>		<b>46.60</b>	...	<b>-30.69</b>	

Robinhood Securities LLC

Account 841844558

## Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		11/12/20	8.41	Qualified dividend	
CISCO SYSTEMS, INC. COMMON STO CK (DE)	17275R102		01/22/20	5.25	Qualified dividend	
			04/22/20	4.32	Qualified dividend	
				<b>9.57</b>	<b>Total Dividends &amp; distributions</b>	
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES	25460G500		06/30/20	0.10	Qualified dividend	
			09/29/20	0.01	Qualified dividend	
			12/30/20	0.66	Qualified dividend	
				<b>0.77</b>	<b>Total Dividends &amp; distributions</b>	
DIREXION DAILY ENERGY BULL 2X SHARES	25460G609		06/30/20	0.81	Qualified dividend	
			09/29/20	1.02	Qualified dividend	
			12/30/20	0.74	Qualified dividend	
				<b>2.57</b>	<b>Total Dividends &amp; distributions</b>	
FORD MOTOR COMPANY	345370860		03/02/20	0.15	Qualified dividend	
INVESCO MORTGAGE CAPITAL INC.	46131B100		07/28/20	0.20	Nondividend distribution	03
			10/27/20	5.00	Nondividend distribution	03
				<b>5.20</b>	<b>Total Dividends &amp; distributions</b>	
MICROSOFT CORPORATION COMMON S TOCK	594918104		03/12/20	6.63	Qualified dividend	
			06/11/20	3.57	Qualified dividend	
			09/10/20	3.57	Qualified dividend	
			12/10/20	3.92	Qualified dividend	
				<b>17.69</b>	<b>Total Dividends &amp; distributions</b>	
NEW RESIDENTIAL INVESTMENT COR P	64828T201		07/31/20	6.21	Section 199A dividend	03
			07/31/20	2.20	Nondividend distribution	03
			07/31/20	1.59	Qualified dividend	03
			10/30/20	9.32	Section 199A dividend	03
			10/30/20	3.30	Nondividend distribution	03

Robinhood Securities LLC

Account 841844558

## Detail for Dividends and Distributions

2020

(continued)

02/14/2021

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
NEW RESIDENTIAL INVESTMENT COR P (cont'd)			10/30/20	2.38	Qualified dividend	03
			01/29/21	20.00	Qualified dividend	
				<b>45.00</b>	<b>Total Dividends &amp; distributions</b>	
NEW YORK MORTGAGE TRUST, INC. COMMON STOCK	649604501		07/27/20	0.20	Section 199A dividend	03
			07/27/20	0.05	Nondividend distribution	03
			10/26/20	0.60	Section 199A dividend	03
			10/26/20	0.15	Nondividend distribution	03
				<b>1.00</b>	<b>Total Dividends &amp; distributions</b>	
STARBUCKS CORPORATION COMMON S TOCK	855244109		05/22/20	4.10	Qualified dividend	
SUNCOR ENERGY, INC.	867224107		09/25/20	1.57	Nonqualified dividend	
			09/25/20	-0.24	Foreign tax withheld-CA	
			12/24/20	4.91	Nonqualified dividend	
			12/24/20	-0.74	Foreign tax withheld-CA	
				<b>6.48</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.98</b>	<b>Total Foreign tax withheld</b>	
WELLS FARGO & CO.	949746101		06/01/20	12.75	Qualified dividend	
			09/01/20	2.00	Qualified dividend	
				<b>14.75</b>	<b>Total Dividends &amp; distributions</b>	
WESTROCK COMPANY	96145D105		05/28/20	3.00	Qualified dividend	
			08/25/20	3.00	Qualified dividend	
			11/24/20	3.00	Qualified dividend	
				<b>9.00</b>	<b>Total Dividends &amp; distributions</b>	
COSTAMARE INC.	Y1771G102		08/07/20	5.00	Nonqualified dividend	
			11/05/20	2.00	Nonqualified dividend	
				<b>7.00</b>	<b>Total Dividends &amp; distributions</b>	
				<b>131.69</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.98</b>	<b>Total Foreign tax withheld</b>	

Robinhood Securities LLC

Account 841844558

**Fees and Expenses**

2020

02/14/2021

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		04/22/20	-5.00	Margin interest paid	
		05/22/20	-5.00	Margin interest paid	
		06/22/20	-5.00	Margin interest paid	
		07/21/20	-5.00	Margin interest paid	
		08/20/20	-5.00	Margin interest paid	
		09/21/20	-5.00	Margin interest paid	
		10/19/20	-5.00	Margin interest paid	
		11/18/20	-5.00	Margin interest paid	
		12/18/20	-5.00	Margin interest paid	
			-45.00	Total Margin interest paid	
			<b>-45.00</b>	<b>Total Margin interest paid</b>	

Robinhood Securities LLC

Account 841844558

**Foreign Income and Taxes Summary**

2020

02/14/2021

*This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."*

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
CA	SUNCOR ENERGY, INC.	867224107	6.48	0.00	0.00	6.48	-0.98	
<b>Total CANADA</b>			<b>6.48</b>	<b>0.00</b>	<b>0.00</b>	<b>6.48</b>	<b>-0.98</b>	
MN	COSTAMARE INC.	Y1771G102	7.00	0.00	0.00	7.00	0.00	
<b>Total MONACO</b>			<b>7.00</b>	<b>0.00</b>	<b>0.00</b>	<b>7.00</b>	<b>0.00</b>	
<b>Grand Total</b>	<b>Total Foreign Source Income*</b>			<b>13.48</b>				
	<b>Qualified Foreign Source Income**</b>			<b>0.00</b>				
	<b>Foreign Tax</b>						<b>-0.98</b>	

\* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

\*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.
  
  - 25 Tax lot closed on a first in first out basis.
-



**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

**Line 1b.** Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 2c.** Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Instructions for Recipient**

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Line 8.** This line should be left blank if a RIC reported the foreign tax shown in line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

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