

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 116784539	Statement Date: 02/14/2021 Document ID: 07R5 032 5FH6	2020
	Mohammed Navaz 50063 Monroe Street 93 Canton, MI 48188 RECIPIENT'S TIN: XXX-XX-4784		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 432.00</p> <p>1b- Qualified dividends 0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	129,412.18	137,016.99	0.00	975.86	-6,628.95
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	129,412.18	137,016.99	0.00	975.86	-6,628.95
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	129,412.18	137,016.99	0.00	975.86	-6,628.95
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 116784539

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.52
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	24.72
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 116784539

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 02/21/2020 CALL \$320.00 / CUSIP: / Symbol:							
02/21/20	1.000	0.00	02/20/20	188.00	...	-188.00	Option expiration- long call
AAPL 04/24/2020 CALL \$267.50 / CUSIP: / Symbol:							
04/21/20	1.000	669.97	04/21/20	505.00	...	164.97	Option sale to close-call ²⁵
AAPL 04/24/2020 CALL \$282.50 / CUSIP: / Symbol:							
04/20/20	1.000	399.98	04/17/20	515.00	...	-115.02	Option sale to close-call ²⁵
ALB 02/21/2020 CALL \$90.00 / CUSIP: / Symbol:							
	3 transactions for 02/20/20. Total proceeds and cost reported to the IRS.						
	1.000	319.98	02/19/20	257.00	...	62.98	1 of 3 - Option sale to close-call ²⁵
	1.000	335.98	02/19/20	257.00	...	78.98	2 of 3 - Option sale to close-call ²⁵
	1.000	359.98	02/19/20	260.00	...	99.98	3 of 3 - Option sale to close-call ²⁵
02/20/20	3.000	1,015.94	Various	774.00	...	241.94	Total of 3 transactions

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Account 116784539

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALB 02/21/2020 PUT \$90.00 / CUSIP: / Symbol:							
02/21/20	6.000	0.00	02/20/20	120.00	...	-120.00	Option expiration- long put
AMD 01/10/2020 CALL \$50.00 / CUSIP: / Symbol:							
01/10/20	2.000	0.00	01/09/20	102.00	...	-102.00	Option expiration- long call
AMZN 05/08/2020 CALL \$3,100.00 / CUSIP: / Symbol:							
05/08/20	1.000	0.00	04/30/20	600.00	...	-600.00	Option expiration- long call
BABA 01/10/2020 CALL \$222.50 / CUSIP: / Symbol:							
01/09/20	1.000	115.98	01/08/20	80.00	...	35.98	Option sale to close-call ²⁵
BABA 05/01/2020 CALL \$205.00 / CUSIP: / Symbol:							
	2 transactions for 04/29/20. Total proceeds and cost reported to the IRS.						
	1.000	184.98	04/27/20	277.00	...	-92.02	1 of 2 - Option sale to close-call ²⁵
	1.000	189.98	04/27/20	277.00	...	-87.02	2 of 2 - Option sale to close-call ²⁵
04/29/20	2.000	374.96	Various	554.00	...	-179.04	Total of 2 transactions
BAC 01/10/2020 CALL \$35.00 / CUSIP: / Symbol:							
	2 transactions for 01/08/20. Total proceeds and cost reported to the IRS.						
	1.000	27.98	01/08/20	16.00	...	11.98	1 of 2 - Option sale to close-call ²⁵
	4.000	111.98	01/08/20	64.00	...	47.98	2 of 2 - Option sale to close-call ²⁵
01/08/20	5.000	139.96	Various	80.00	...	59.96	Total of 2 transactions
	2 transactions for 01/10/20. Total proceeds and cost reported to the IRS.						
	2.000	0.00	01/09/20	32.00	...	-32.00	1 of 2 - Option expiration- long call
	1.000	0.00	01/09/20	16.00	...	-16.00	2 of 2 - Option expiration- long call
01/10/20	3.000	0.00	Various	48.00	...	-48.00	Total of 2 transactions
	Security total:	139.96		128.00	...	11.96	
BAC 01/17/2020 CALL \$35.00 / CUSIP: / Symbol:							
01/14/20	5.000	359.98	01/13/20	205.00	...	154.98	Option sale to close-call ²⁵
BAC 01/17/2020 PUT \$34.50 / CUSIP: / Symbol:							
01/17/20	2.000	1.98	01/15/20	56.00	...	-54.02	Option sale to close-put ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAC 01/24/2020 CALL \$35.00 / CUSIP: / Symbol:							
2 transactions for 01/24/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	01/17/20	44.00	...	-44.00	1 of 2 - Option expiration- long call
	1.000	0.00	01/17/20	22.00	...	-22.00	2 of 2 - Option expiration- long call
01/24/20	3.000	0.00	Various	66.00	...	-66.00	Total of 2 transactions
BAC 02/28/2020 CALL \$35.00 / CUSIP: / Symbol:							
4 transactions for 02/28/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	02/20/20	58.00	...	-58.00	1 of 4 - Option expiration- long call
	1.000	0.00	02/20/20	29.00	...	-29.00	2 of 4 - Option expiration- long call
	1.000	0.00	02/20/20	29.00	...	-29.00	3 of 4 - Option expiration- long call
	1.000	0.00	02/20/20	29.00	...	-29.00	4 of 4 - Option expiration- long call
02/28/20	5.000	0.00	Various	145.00	...	-145.00	Total of 4 transactions
BAC 03/06/2020 PUT \$28.00 / CUSIP: / Symbol:							
03/04/20	2.000	177.98	02/28/20	232.00	...	-54.02	Option sale to close-put ²⁵
BAC 03/20/2020 PUT \$22.50 / CUSIP: / Symbol:							
03/16/20	1.000	275.98	03/13/20	135.00	...	140.98	Option sale to close-put ²⁵
BAC 04/24/2020 CALL \$22.50 / CUSIP: / Symbol:							
3 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	7.000	0.00	04/23/20	182.00	...	-182.00	1 of 3 - Option expiration- long call
	2.000	0.00	04/23/20	52.00	...	-52.00	2 of 3 - Option expiration- long call
	1.000	0.00	04/23/20	26.00	...	-26.00	3 of 3 - Option expiration- long call
04/24/20	10.000	0.00	Various	260.00	...	-260.00	Total of 3 transactions
BAC 04/24/2020 CALL \$23.00 / CUSIP: / Symbol:							
2 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	3.000	0.00	04/20/20	180.00	...	-180.00	1 of 2 - Option expiration- long call
	2.000	0.00	04/20/20	120.00	...	-120.00	2 of 2 - Option expiration- long call
04/24/20	5.000	0.00	Various	300.00	...	-300.00	Total of 2 transactions
BAC 05/01/2020 CALL \$25.00 / CUSIP: / Symbol:							
04/29/20	10.000	319.97	04/29/20	370.00	...	-50.03	Option sale to close-call ²⁵

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Account 116784539

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAC 06/26/2020 PUT \$23.50 / CUSIP: / Symbol:							
5 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	5.000	139.98	06/24/20	160.00	...	-20.02	1 of 5 - Option sale to close-put ²⁵
	4.000	111.99	06/24/20	124.00	...	-12.01	2 of 5 - Option sale to close-put ²⁵
	2.000	55.99	06/24/20	62.00	...	-6.01	3 of 5 - Option sale to close-put ²⁵
	1.000	28.00	06/24/20	31.00	...	-3.00	4 of 5 - Option sale to close-put ²⁵
	1.000	28.00	06/24/20	31.00	...	-3.00	5 of 5 - Option sale to close-put ²⁵
06/25/20	13.000	363.96	Various	408.00	...	-44.04	Total of 5 transactions
BAC 07/02/2020 PUT \$23.50 / CUSIP: / Symbol:							
06/25/20	3.000	191.98	06/24/20	189.00	...	2.98	Option sale to close-put ²⁵
BAC 07/17/2020 CALL \$24.00 / CUSIP: / Symbol:							
2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	1.000	108.98	07/13/20	86.00	...	22.98	1 of 2 - Option sale to close-call ²⁵
	2.000	213.98	07/13/20	172.00	...	41.98	2 of 2 - Option sale to close-call ²⁵
07/15/20	3.000	322.96	Various	258.00	...	64.96	Total of 2 transactions
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	7.000	76.98	07/16/20	217.00	...	-140.02	1 of 2 - Option sale to close-call ²⁵
	3.000	32.98	07/16/20	93.00	...	-60.02	2 of 2 - Option sale to close-call ²⁵
07/17/20	10.000	109.96	Various	310.00	...	-200.04	Total of 2 transactions
	Security total:	432.92		568.00	...	-135.08	
BAC 07/17/2020 CALL \$24.50 / CUSIP: / Symbol:							
2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	1.000	73.98	07/14/20	44.00	...	29.98	1 of 2 - Option sale to close-call ²⁵
	2.000	149.98	07/14/20	88.00	...	61.98	2 of 2 - Option sale to close-call ²⁵
07/15/20	3.000	223.96	Various	132.00	...	91.96	Total of 2 transactions
BAC 07/31/2020 PUT \$24.00 / CUSIP: / Symbol:							
07/22/20	3.000	167.98	07/21/20	144.00	...	23.98	Option sale to close-put ²⁵
BRKB 03/06/2020 CALL \$230.00 / CUSIP: / Symbol:							
03/06/20	1.000	0.00	02/21/20	400.00	...	-400.00	Option expiration- long call

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CAR 02/21/2020 PUT \$43.00 / CUSIP: / Symbol:							
02/21/20	2.000	0.00	02/19/20	350.00	...	-350.00	Option expiration- long put
CCL 02/21/2020 PUT \$41.50 / CUSIP: / Symbol:							
02/18/20	1.000	39.98	02/10/20	125.00	...	-85.02	Option sale to close-put ²⁵
CHGG 03/20/2020 CALL \$45.00 / CUSIP: / Symbol:							
02/18/20	1.000	39.98	02/11/20	130.00	...	-90.02	Option sale to close-call ²⁵
CRON 01/10/2020 CALL \$7.00 / CUSIP: / Symbol:							
01/09/20	2.000	29.98	01/09/20	18.00	...	11.98	Option sale to close-call ²⁵
01/10/20	8.000	0.00	01/09/20	72.00	...	-72.00	Option expiration- long call
	Security total:	29.98		90.00	...	-60.02	
CRON 01/17/2020 PUT \$8.00 / CUSIP: / Symbol:							
01/16/20	1.000	17.98	01/15/20	32.00	...	-14.02	Option sale to close-put ²⁵
CSCO 02/21/2020 PUT \$49.00 / CUSIP: / Symbol:							
	2 transactions for 02/13/20. Total proceeds and cost reported to the IRS.						
	1.000	180.98	02/12/20	102.00	...	78.98	1 of 2 - Option sale to close-put ²⁵
	1.000	181.98	02/12/20	102.00	...	79.98	2 of 2 - Option sale to close-put ²⁵
02/13/20	2.000	362.96	Various	204.00	...	158.96	Total of 2 transactions
DIS 07/10/2020 CALL \$118.00 / CUSIP: / Symbol:							
07/10/20	1.000	77.98	07/09/20	237.04	...	-159.06	Option sale to close-call ²⁵
	2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.						
	1.000	77.98	07/09/20	175.02	97.04 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	76.98	07/09/20	112.00	35.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
07/10/20	2.000	154.96	Various	287.02	132.06 W	0.00	Total of 2 transactions
	Security total:	232.94		524.06	132.06 W	-159.06	
EA 01/10/2020 CALL \$110.00 / CUSIP: / Symbol:							
01/10/20	1.000	0.00	01/09/20	47.00	...	-47.00	Option expiration- long call
F 01/24/2020 CALL \$9.50 / CUSIP: / Symbol:							
01/24/20	4.000	0.00	01/17/20	8.00	...	-8.00	Option expiration- long call

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Robinhood Securities LLC

Account 116784539

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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F 03/20/2020 CALL \$4.50 / CUSIP: / Symbol:							
03/20/20	1.000	0.00	03/18/20	31.00	...	-31.00	Option expiration- long call
FANG 02/21/2020 CALL \$75.00 / CUSIP: / Symbol:							
2 transactions for 02/19/20. Total proceeds and cost reported to the IRS.							
	1.000	339.98	02/18/20	210.00	...	129.98	1 of 2 - Option sale to close-call ²⁵
	1.000	329.98	02/18/20	195.00	...	134.98	2 of 2 - Option sale to close-call ²⁵
02/19/20	2.000	669.96	Various	405.00	...	264.96	Total of 2 transactions
FB 01/10/2020 CALL \$217.50 / CUSIP: / Symbol:							
01/09/20	1.000	78.98	01/08/20	59.00	...	19.98	Option sale to close-call ²⁵
FB 01/17/2020 CALL \$222.50 / CUSIP: / Symbol:							
01/17/20	1.000	30.98	01/14/20	161.00	...	-130.02	Option sale to close-call ²⁵
FLR 02/21/2020 CALL \$19.50 / CUSIP: / Symbol:							
02/21/20	1.000	0.00	02/14/20	130.00	...	-130.00	Option expiration- long call
FLR 02/21/2020 PUT \$19.50 / CUSIP: / Symbol:							
2 transactions for 02/18/20. Total proceeds and cost reported to the IRS.							
	1.000	309.98	02/14/20	130.00	...	179.98	1 of 2 - Option sale to close-put ²⁵
	1.000	309.99	02/14/20	129.00	...	180.99	2 of 2 - Option sale to close-put ²⁵
02/18/20	2.000	619.97	Various	259.00	...	360.97	Total of 2 transactions
GE 03/20/2020 PUT \$6.00 / CUSIP: / Symbol:							
03/20/20	3.000	0.00	03/18/20	105.00	...	-105.00	Option expiration- long put
GILD 05/01/2020 CALL \$84.00 / CUSIP: / Symbol:							
05/01/20	1.000	0.00	04/29/20	279.00	...	-279.00	Option expiration- long call
GRMN 02/21/2020 CALL \$97.50 / CUSIP: / Symbol:							
02/19/20	1.000	737.97	02/18/20	310.00	...	427.97	Option sale to close-call ²⁵

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(continued)

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HPQ 02/28/2020 CALL \$23.50 / CUSIP: / Symbol:							
2 transactions for 02/25/20. Total proceeds and cost reported to the IRS.							
	2.000	81.99	02/25/20	78.00	...	3.99	1 of 2 - Option sale to close-call ²⁵
	2.000	81.99	02/25/20	60.00	...	21.99	2 of 2 - Option sale to close-call ²⁵
02/25/20	4.000	163.98	Various	138.00	...	25.98	Total of 2 transactions
HPQ 02/28/2020 PUT \$22.00 / CUSIP: / Symbol:							
02/25/20	5.000	39.98	02/25/20	50.00	...	-10.02	Option sale to close-put ²⁵
INO 02/21/2020 CALL \$5.00 / CUSIP: / Symbol:							
2 transactions for 02/18/20. Total proceeds and cost reported to the IRS.							
	2.000	59.98	02/14/20	58.00	...	1.98	1 of 2 - Option sale to close-call ²⁵
	3.000	89.98	02/14/20	87.00	...	2.98	2 of 2 - Option sale to close-call ²⁵
02/18/20	5.000	149.96	Various	145.00	...	4.96	Total of 2 transactions
INTC 07/31/2020 PUT \$59.50 / CUSIP: / Symbol:							
07/21/20	1.000	124.98	07/20/20	183.00	...	-58.02	Option sale to close-put ²⁵
LULU 04/17/2020 CALL \$210.00 / CUSIP: / Symbol:							
04/17/20	1.000	659.97	04/16/20	224.00	...	435.97	Option sale to close-call ²⁵
MRNA 03/20/2020 CALL \$30.00 / CUSIP: / Symbol:							
02/27/20	1.000	599.97	02/26/20	380.00	...	219.97	Option sale to close-call ²⁵
MRNA 05/01/2020 CALL \$47.00 / CUSIP: / Symbol:							
04/30/20	1.000	174.98	04/29/20	140.00	...	34.98	Option sale to close-call ²⁵
MRNA 07/17/2020 PUT \$81.00 / CUSIP: / Symbol:							
07/16/20	1.000	289.98	07/15/20	395.00	...	-105.02	Option sale to close-put ²⁵
MSFT 07/24/2020 CALL \$210.00 / CUSIP: / Symbol:							
07/24/20	1.000	0.00	07/23/20	120.00	...	-120.00	Option expiration- long call
MU 06/26/2020 CALL \$50.00 / CUSIP: / Symbol:							
06/25/20	2.000	33.98	06/23/20	166.00	...	-132.02	Option sale to close-call ²⁵
MU 07/02/2020 CALL \$50.50 / CUSIP: / Symbol:							
06/26/20	2.000	281.98	06/23/20	364.00	...	-82.02	Option sale to close-call ²⁵

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(continued)

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NBEV 03/20/2020 CALL \$3.00 / CUSIP: / Symbol:							
<i>4 transactions for 02/28/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	4.99	02/25/20	25.00	...	-20.01	1 of 4 - Option sale to close-call ²⁵
	1.000	4.99	02/25/20	25.00	...	-20.01	2 of 4 - Option sale to close-call ²⁵
	1.000	5.00	02/25/20	25.00	...	-20.00	3 of 4 - Option sale to close-call ²⁵
	1.000	5.00	02/25/20	25.00	...	-20.00	4 of 4 - Option sale to close-call ²⁵
02/28/20	4.000	19.98	Various	100.00	...	-80.02	Total of 4 transactions
NEE 03/20/2020 CALL \$280.00 / CUSIP: / Symbol:							
02/21/20	1.000	409.98	02/20/20	280.00	...	129.98	Option sale to close-call ²⁵
NFLX 04/24/2020 CALL \$590.00 / CUSIP: / Symbol:							
04/24/20	1.000	0.00	04/20/20	248.00	...	-248.00	Option expiration- long call
NIO 09/11/2020 CALL \$20.00 / CUSIP: / Symbol:							
09/11/20	1.000	0.00	08/26/20	239.00	...	-239.00	Option expiration- long call
NKE 01/10/2020 CALL \$102.00 / CUSIP: / Symbol:							
01/10/20	1.000	0.00	01/08/20	50.00	...	-50.00	Option expiration- long call
NTAP 02/14/2020 PUT \$60.50 / CUSIP: / Symbol:							
02/13/20	1.000	649.97	02/12/20	193.00	...	456.97	Option sale to close-put ²⁵
NVDA 02/21/2020 PUT \$285.00 / CUSIP: / Symbol:							
02/14/20	1.000	354.98	02/14/20	495.00	...	-140.02	Option sale to close-put ²⁵
PLNT 03/20/2020 CALL \$75.00 / CUSIP: / Symbol:							
03/04/20	1.000	69.98	02/27/20	270.00	...	-200.02	Option sale to close-call ²⁵
PLNT 03/20/2020 CALL \$82.50 / CUSIP: / Symbol:							
<i>2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	02/25/20	419.00	...	-419.00	1 of 2 - Option expiration- long call
	1.000	0.00	02/25/20	412.00	...	-412.00	2 of 2 - Option expiration- long call
03/20/20	2.000	0.00	Various	831.00	...	-831.00	Total of 2 transactions

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PLUG 02/28/2020 CALL \$5.50 / CUSIP: / Symbol:							
2 transactions for 02/28/20. Total proceeds and cost reported to the IRS.							
	3.000	0.00	02/24/20	57.00	...	-57.00	1 of 2 - Option expiration- long call
	2.000	0.00	02/24/20	36.00	...	-36.00	2 of 2 - Option expiration- long call
02/28/20	5.000	0.00	Various	93.00	...	-93.00	Total of 2 transactions
RAD 02/28/2020 CALL \$16.00 / CUSIP: / Symbol:							
02/21/20	2.000	155.98	02/20/20	130.00	...	25.98	Option sale to close-call ²⁵
RLGY 03/20/2020 PUT \$12.50 / CUSIP: / Symbol:							
2 transactions for 02/26/20. Total proceeds and cost reported to the IRS.							
	1.000	59.98	02/25/20	55.00	...	4.98	1 of 2 - Option sale to close-put ²⁵
	1.000	59.98	02/25/20	55.00	...	4.98	2 of 2 - Option sale to close-put ²⁵
02/26/20	2.000	119.96	Various	110.00	...	9.96	Total of 2 transactions
ROKU 07/02/2020 CALL \$126.00 / CUSIP: / Symbol:							
06/30/20	1.000	55.98	06/26/20	460.00	...	-404.02	Option sale to close-call ²⁵
ROKU 07/10/2020 CALL \$139.00 / CUSIP: / Symbol:							
07/09/20	1.000	297.98	07/09/20	305.00	...	-7.02	Option sale to close-call ²⁵
RVLV 03/20/2020 CALL \$20.00 / CUSIP: / Symbol:							
03/20/20	1.000	0.00	02/25/20	185.00	...	-185.00	Option expiration- long call
SHAK 02/28/2020 CALL \$66.50 / CUSIP: / Symbol:							
02/28/20	1.000	0.00	02/25/20	190.00	...	-190.00	Option expiration- long call
SHAK 02/28/2020 CALL \$74.00 / CUSIP: / Symbol:							
02/28/20	1.000	0.00	02/24/20	490.00	...	-490.00	Option expiration- long call
SNAP 04/24/2020 CALL \$16.50 / CUSIP: / Symbol:							
2 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	8.000	23.98	04/23/20	344.00	...	-320.02	1 of 2 - Option sale to close-call ²⁵
	2.000	5.99	04/23/20	86.00	...	-80.01	2 of 2 - Option sale to close-call ²⁵
04/24/20	10.000	29.97	Various	430.00	...	-400.03	Total of 2 transactions

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SNAP 07/24/2020 PUT \$23.00 / CUSIP: / Symbol: 07/23/20	2.000	111.98	07/22/20	144.00	...	-32.02	Option sale to close-put ²⁵
SNAP 07/31/2020 CALL \$22.50 / CUSIP: / Symbol: 07/31/20	1.000	44.98	07/27/20	57.00	...	-12.02	Option sale to close-call ²⁵
SPY 02/12/2020 PUT \$335.00 / CUSIP: / Symbol: 02/12/20	1.000	0.00	02/11/20	69.00	...	-69.00	Option expiration- long put
SPY 02/19/2020 PUT \$330.00 / CUSIP: / Symbol: 02/13/20	1.000	41.98	02/10/20	144.00	...	-102.02	Option sale to close-put ²⁵
SPY 02/24/2020 CALL \$337.00 / CUSIP: / Symbol: 02/24/20	1.000	0.00	02/20/20	108.00	...	-108.00	Option expiration- long call
SPY 03/06/2020 PUT \$306.50 / CUSIP: / Symbol: 03/05/20	1.000	427.98	03/04/20	267.00	...	160.98	Option sale to close-put ²⁵
SPY 07/13/2020 CALL \$316.00 / CUSIP: / Symbol: 07/10/20	1.000	252.98	07/10/20	175.00	...	77.98	Option sale to close-call ²⁵
SPY 07/15/2020 CALL \$323.00 / CUSIP: / Symbol: 07/15/20	1.000	68.98	07/13/20	174.00	...	-105.02	Option sale to close-call ²⁵
SPY 07/17/2020 CALL \$320.00 / CUSIP: / Symbol: 07/16/20	1.000	208.98	07/16/20	237.02	...	-28.04	Option sale to close-call ²⁵
07/16/20	1.000	208.98	07/16/20	216.00	7.02 W	0.00	Option sale to close-call ²⁵
Security total:		417.96		453.02	7.02 W	-28.04	
SQ 04/24/2020 CALL \$57.50 / CUSIP: / Symbol: 04/22/20	1.000	261.98	04/21/20	204.00	...	57.98	Option sale to close-call ²⁵
SQ 04/24/2020 CALL \$61.50 / CUSIP: / Symbol: 04/22/20	1.000	90.98	04/20/20	216.00	...	-125.02	Option sale to close-call ²⁵
SQ 07/17/2020 CALL \$123.00 / CUSIP: / Symbol: 07/17/20	1.000	54.98	07/15/20	298.00	...	-243.02	Option sale to close-call ²⁵

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SQ 07/17/2020 CALL \$125.00 / CUSIP: / Symbol: 07/14/20	1.000	87.98	07/13/20	375.00	...	-287.02	Option sale to close-call ²⁵
SQ 07/24/2020 CALL \$123.00 / CUSIP: / Symbol: 07/17/20	1.000	268.98	07/15/20	545.00	...	-276.02	Option sale to close-call ²⁵
TEVA 02/21/2020 CALL \$12.50 / CUSIP: / Symbol: 02/12/20	2.000	223.98	02/11/20	146.00	...	77.98	Option sale to close-call ²⁵
TLRY 01/17/2020 CALL \$21.00 / CUSIP: / Symbol: 01/15/20	1.000	159.98	01/15/20	96.00	...	63.98	Option sale to close-call ²⁵
TME 03/20/2020 CALL \$14.00 / CUSIP: / Symbol: 02/27/20	3.000	44.98	02/19/20	90.00	...	-45.02	Option sale to close-call ²⁵
TQQQ 02/28/2020 CALL \$113.50 / CUSIP: / Symbol: 02/28/20	1.000	0.00	02/20/20	380.00	...	-380.00	Option expiration- long call
TWTR 05/01/2020 CALL \$29.50 / CUSIP: / Symbol: 05/01/20	1.000	0.00	04/30/20	50.00	...	-50.00	Option expiration- long call
TWTR 05/08/2020 CALL \$29.50 / CUSIP: / Symbol: 05/07/20	2.000	7.98	04/30/20	204.00	...	-196.02	Option sale to close-call ²⁵
WDAY 03/06/2020 CALL \$200.00 / CUSIP: / Symbol: 03/06/20	1.000	0.00	02/27/20	140.00	...	-140.00	Option expiration- long call
WMT 02/21/2020 CALL \$118.00 / CUSIP: / Symbol: 02/18/20	1.000	110.98	02/14/20	229.00	...	-118.02	Option sale to close-call ²⁵
WMT 07/10/2020 CALL \$127.00 / CUSIP: / Symbol: 07/08/20	1.000	237.98	07/07/20	204.00	...	33.98	Option sale to close-call ²⁵
WORK 04/24/2020 CALL \$27.00 / CUSIP: / Symbol: 2 transactions for 04/22/20. Total proceeds and cost reported to the IRS.	2.000	139.98	04/21/20	200.00	...	-60.02	1 of 2 - Option sale to close-call ²⁵
	1.000	72.98	04/21/20	100.00	...	-27.02	2 of 2 - Option sale to close-call ²⁵
04/22/20	3.000	212.96	Various	300.00	...	-87.04	Total of 2 transactions

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ADT INC. / CUSIP: 00090Q103 / Symbol:							
4 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	10.000	49.10	04/07/20	41.38	...	7.72	1 of 4 - Sale ²⁵
	25.000	122.25	04/07/20	106.20	...	16.05	2 of 4 - Sale ²⁵
	35.000	171.19	04/07/20	148.68	...	22.51	3 of 4 - Sale ²⁵
	40.000	196.40	04/07/20	169.93	...	26.47	4 of 4 - Sale ²⁵
04/09/20	110.000	538.94	Various	466.19	...	72.75	Total of 4 transactions
3 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	27.621	232.85	06/10/20	235.32	...	-2.47	1 of 3 - Sale ²⁵
	1.036	8.73	06/10/20	8.82	...	-0.09	2 of 3 - Sale ²⁵
	0.343	2.89	06/10/20	2.96	...	-0.07	3 of 3 - Sale ²⁵
06/16/20	29.000	244.47	Various	247.10	...	-2.63	Total of 3 transactions
06/16/20	0.343	2.90	06/10/20	2.93	0.03 W	0.00	Sale ²⁵
	Security total:	786.31		716.22	0.03 W	70.12	
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
04/14/20	50.000	115.00	04/13/20	105.50	...	9.50	Sale ²⁵
04/15/20	50.000	117.51	04/13/20	105.50	...	12.01	Sale ²⁵
	Security total:	232.51		211.00	...	21.51	
AT&T INC. / CUSIP: 00206R102 / Symbol:							
04/13/20	5.000	152.23	04/08/20	147.40	...	4.83	Sale ²⁵
04/15/20	5.000	150.50	04/09/20	151.75	1.25 W	0.00	Sale ²⁵
04/16/20	5.000	150.45	04/09/20	151.75	1.30 W	0.00	Sale ²⁵
2 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	5.000	155.17	04/17/20	156.09	...	-0.92	1 of 2 - Sale ²⁵
	5.000	155.92	04/17/20	156.14	...	-0.22	2 of 2 - Sale ²⁵
04/20/20	10.000	311.09	Various	312.23	...	-1.14	Total of 2 transactions
	Security total:	764.27		763.13	2.55 W	3.69	
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol:							
06/23/20	27.000	1,484.96	06/22/20	1,460.43	...	24.53	Sale ²⁵
06/24/20	0.732	38.49	06/22/20	39.57	...	-1.08	Sale ²⁵
	Security total:	1,523.45		1,500.00	...	23.45	

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Robinhood Securities LLC

Account 116784539

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
5 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	8.422	112.61	06/17/20	142.76	30.15 W	0.00	1 of 5 - Sale ²⁵
	0.427	5.71	06/17/20	7.24	1.53 W	0.00	2 of 5 - Sale ²⁵
	0.472	6.31	06/18/20	7.92	1.61 W	0.00	3 of 5 - Sale ²⁵
	12.000	160.43	06/18/20	201.70	41.27 W	0.00	4 of 5 - Sale ²⁵
	0.618	8.26	06/18/20	10.38	2.12 W	0.00	5 of 5 - Sale ²⁵
06/24/20	21.939	293.32	Various	370.00	76.68 W	0.00	Total of 5 transactions
6 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	12.000	141.65	07/06/20	191.12	...	-49.47	1 of 6 - Sale ²⁵
	58.061	685.39	07/06/20	725.05	...	-39.66	2 of 6 - Sale ²⁵
	8.326	98.29	07/06/20	133.78	...	-35.49	3 of 6 - Sale ²⁵
	0.618	7.29	07/06/20	9.83	...	-2.54	4 of 6 - Sale ²⁵
	0.472	5.57	07/06/20	7.50	...	-1.93	5 of 6 - Sale ²⁵
	0.427	5.04	07/06/20	6.86	...	-1.82	6 of 6 - Sale ²⁵
07/08/20	79.904	943.23	Various	1,074.14	...	-130.91	Total of 6 transactions
07/08/20	0.096	1.13	07/06/20	1.54	0.34 W	-0.07	Sale ²⁵
07/09/20	0.080	0.92	07/06/20	1.34	...	-0.42	Sale ²⁵
	Security total:	1,238.60		1,447.02	77.02 W	-131.40	
ANWORTH MORTGAGE ASSET CORPORA TION / CUSIP: 037347101 / Symbol:							
06/22/20	250.000	442.50	06/19/20	458.75	...	-16.25	Sale ²⁵
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
6 transactions for 04/13/20. Total proceeds and cost reported to the IRS.							
	2.000	535.68	04/09/20	539.84	...	-4.16	1 of 6 - Sale ²⁵
	2.000	536.26	04/09/20	539.84	...	-3.58	2 of 6 - Sale ²⁵
	2.000	536.87	04/09/20	539.84	...	-2.97	3 of 6 - Sale ²⁵
	2.000	537.51	04/09/20	539.84	...	-2.33	4 of 6 - Sale ²⁵
	1.000	267.91	04/09/20	269.92	...	-2.01	5 of 6 - Sale ²⁵
	1.000	268.38	04/09/20	269.92	...	-1.54	6 of 6 - Sale ²⁵
04/13/20	10.000	2,682.61	Various	2,699.20	...	-16.59	Total of 6 transactions
3 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	0.077	27.34	06/15/20	26.24	...	1.10	1 of 3 - Sale ²⁵
	0.621	219.48	06/15/20	210.70	...	8.78	2 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 116784539

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	0.923	326.11	06/15/20	313.06	...	13.05	3 of 3 - Sale ²⁵
06/17/20	1.621	572.93	Various	550.00	...	22.93	Total of 3 transactions
06/23/20	2.000	741.98	06/22/20	714.08	...	27.90	Sale ²⁵
06/24/20	0.521	188.10	06/22/20	185.92	...	2.18	Sale ²⁵
07/06/20	3.000	1,124.91	07/02/20	1,101.27	...	23.64	Sale ²⁵
3 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	0.005	1.99	07/07/20	1.96	...	0.03	1 of 3 - Sale ²⁵
	0.004	1.71	07/07/20	1.68	...	0.03	2 of 3 - Sale ²⁵
	7.995	3,037.63	07/07/20	2,996.36	...	41.27	3 of 3 - Sale ²⁵
07/08/20	8.004	3,041.33	Various	3,000.00	...	41.33	Total of 3 transactions
07/15/20	0.001	0.49	07/13/20	0.49	...	0.00	Sale ²⁵
3 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	0.747	293.25	07/13/20	293.54	0.29 W	0.00	1 of 3 - Sale ²⁵
	0.747	293.17	07/13/20	293.88	0.71 W	0.00	2 of 3 - Sale ²⁵
	8.252	3,237.79	07/13/20	3,242.38	4.59 W	0.00	3 of 3 - Sale ²⁵
07/15/20	9.746	3,824.21	Various	3,829.80	5.59 W	0.00	Total of 3 transactions
6 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	0.001	0.48	07/16/20	0.48	...	0.00	1 of 6 - Sale ²⁵
	0.107	41.55	07/16/20	41.18	...	0.37	2 of 6 - Sale ²⁵
	0.301	116.58	07/16/20	115.92	...	0.66	3 of 6 - Sale ²⁵
	0.747	289.15	07/16/20	287.85	...	1.30	4 of 6 - Sale ²⁵
	0.892	345.02	07/16/20	343.08	...	1.94	5 of 6 - Sale ²⁵
	7.059	2,731.78	07/16/20	2,716.79	...	14.99	6 of 6 - Sale ²⁵
07/17/20	9.107	3,524.56	Various	3,505.30	...	19.26	Total of 6 transactions
12/15/20	4.232	530.93	11/19/20	500.00	...	30.93	Sale ²⁵
Security total:		16,232.05		16,086.06	5.59 W	151.58	

BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:

3 transactions for 04/07/20. Total proceeds and cost reported to the IRS.

	3.000	68.10	04/02/20	59.70	...	8.40	1 of 3 - Sale ²⁵
	5.000	113.36	04/02/20	102.04	...	11.32	2 of 3 - Sale ²⁵
	7.000	158.90	04/02/20	142.85	...	16.05	3 of 3 - Sale ²⁵
04/07/20	15.000	340.36	Various	304.59	...	35.77	Total of 3 transactions

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Robinhood Securities LLC

Account 116784539

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol: (cont'd)							
<i>2 transactions for 04/09/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	123.10	04/08/20	112.55	...	10.55	1 of 2 - Sale ²⁵
	5.000	123.21	04/08/20	112.55	...	10.66	2 of 2 - Sale ²⁵
04/09/20	10.000	246.31	Various	225.10	...	21.21	Total of 2 transactions
04/14/20	50.000	1,178.47	04/14/20	1,181.00	2.53 W	0.00	Sale ²⁵
04/17/20	25.000	577.98	04/14/20	590.50	...	-12.52	Sale ²⁵
04/21/20	15.000	326.25	04/14/20	354.30	...	-28.05	Sale ²⁵
<i>3 transactions for 04/22/20. Total proceeds and cost reported to the IRS.</i>							
	6.000	132.00	04/14/20	141.72	...	-9.72	1 of 3 - Sale ²⁵
	4.000	88.00	04/14/20	94.48	...	-6.48	2 of 3 - Sale ²⁵
	20.000	439.98	04/15/20	451.37	...	-11.39	3 of 3 - Sale ²⁵
04/22/20	30.000	659.98	Various	687.57	...	-27.59	Total of 3 transactions
04/27/20	30.000	694.48	04/15/20	677.06	...	17.42	Sale ²⁵
<i>3 transactions for 06/16/20. Total proceeds and cost reported to the IRS.</i>							
	0.597	15.56	06/11/20	15.20	...	0.36	1 of 3 - Sale ²⁵
	0.935	24.38	06/11/20	23.81	...	0.57	2 of 3 - Sale ²⁵
	18.000	469.15	06/11/20	458.23	...	10.92	3 of 3 - Sale ²⁵
06/16/20	19.532	509.09	Various	497.24	...	11.85	Total of 3 transactions
<i>4 transactions for 06/16/20. Total proceeds and cost reported to the IRS.</i>							
	0.631	16.44	06/10/20	17.22	0.78 W	0.00	1 of 4 - Sale ²⁵
	9.837	256.39	06/10/20	268.52	12.13 W	0.00	2 of 4 - Sale ²⁵
	0.522	13.62	06/10/20	14.91	1.29 W	0.00	3 of 4 - Sale ²⁵
	0.108	2.83	06/11/20	2.89	0.06 W	0.00	4 of 4 - Sale ²⁵
06/16/20	11.098	289.28	Various	303.54	14.26 W	0.00	Total of 4 transactions
<i>7 transactions for 07/10/20. Total proceeds and cost reported to the IRS.</i>							
	0.299	7.01	07/09/20	6.89	...	0.12	1 of 7 - Sale ²⁵
	42.996	1,006.28	07/09/20	993.11	...	13.17	2 of 7 - Sale ²⁵
	0.108	2.53	07/10/20	2.49	...	0.04	3 of 7 - Sale ²⁵
	0.111	2.60	07/10/20	2.52	...	0.08	4 of 7 - Sale ²⁵
	10.594	247.89	07/10/20	246.04	...	1.85	5 of 7 - Sale ²⁵
	35.532	831.61	07/10/20	805.87	...	25.74	6 of 7 - Sale ²⁵
	73.939	1,730.11	07/10/20	1,692.25	...	37.86	7 of 7 - Sale ²⁵
07/10/20	163.579	3,828.03	Various	3,749.17	...	78.86	Total of 7 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol: (cont'd)							
16 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	1.000	23.40	07/06/20	24.97	1.57 W	0.00	1 of 16 - Sale ²⁵
	0.522	12.23	07/06/20	13.69	1.46 W	0.00	2 of 16 - Sale ²⁵
	0.108	2.54	07/06/20	2.63	0.09 W	0.00	3 of 16 - Sale ²⁵
	8.775	205.38	07/06/20	219.14	13.76 W	0.00	4 of 16 - Sale ²⁵
	10.594	247.94	07/06/20	251.51	3.57 W	0.00	5 of 16 - Sale ²⁵
	0.062	1.44	07/06/20	1.54	0.10 W	0.00	6 of 16 - Sale ²⁵
	0.522	12.22	07/10/20	13.31	1.09 W	0.00	7 of 16 - Sale ²⁵
	0.108	2.54	07/10/20	2.55	0.01 W	0.00	8 of 16 - Sale ²⁵
	0.062	1.44	07/10/20	1.49	0.05 W	0.00	9 of 16 - Sale ²⁵
	1.000	23.40	07/10/20	24.25	0.85 W	0.00	10 of 16 - Sale ²⁵
	6.756	158.09	07/10/20	163.82	5.73 W	0.00	11 of 16 - Sale ²⁵
	0.393	9.21	07/10/20	9.62	0.41 W	0.00	12 of 16 - Sale ²⁵
	1.626	38.04	07/10/20	39.76	1.72 W	0.00	13 of 16 - Sale ²⁵
	0.522	12.21	07/10/20	13.05	0.84 W	0.00	14 of 16 - Sale ²⁵
	0.062	1.44	07/10/20	1.46	0.02 W	0.00	15 of 16 - Sale ²⁵
	0.141	3.29	07/10/20	3.34	0.05 W	0.00	16 of 16 - Sale ²⁵
07/10/20	32.254	754.81	Various	786.13	31.32 W	0.00	Total of 16 transactions
13 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	3.214	75.08	07/15/20	81.64	...	-6.56	1 of 13 - Sale ²⁵
	2.000	46.72	07/15/20	50.78	...	-4.06	2 of 13 - Sale ²⁵
	0.785	18.34	07/15/20	19.94	...	-1.60	3 of 13 - Sale ²⁵
	0.074	1.73	07/15/20	1.88	...	-0.15	4 of 13 - Sale ²⁵
	0.037	0.87	07/15/20	0.94	...	-0.07	5 of 13 - Sale ²⁵
	124.909	2,917.81	07/17/20	2,985.00	...	-67.19	6 of 13 - Sale ²⁵
	121.511	2,838.43	07/17/20	2,898.64	...	-60.21	7 of 13 - Sale ²⁵
	1.626	37.98	07/17/20	40.50	...	-2.52	8 of 13 - Sale ²⁵
	1.505	35.15	07/17/20	37.18	...	-2.03	9 of 13 - Sale ²⁵
	0.522	12.20	07/17/20	13.30	...	-1.10	10 of 13 - Sale ²⁵
	0.393	9.19	07/17/20	9.80	...	-0.61	11 of 13 - Sale ²⁵
	0.141	3.29	07/17/20	3.41	...	-0.12	12 of 13 - Sale ²⁵
	0.062	1.44	07/17/20	1.49	...	-0.05	13 of 13 - Sale ²⁵
07/17/20	256.780	5,998.23	Various	6,144.50	...	-146.27	Total of 13 transactions
	Security total:	15,403.27		15,500.70	48.11 W	-49.32	

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Account 116784539

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
07/02/20	2.776	398.14	07/01/20	400.00	...	-1.86	Sale ²⁵
CHEETAH MOBILE INC. / CUSIP: 163075104 / Symbol:							
4 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	100.000	219.98	06/19/20	367.50	...	-147.52	1 of 4 - Sale ²⁵
	100.000	219.98	06/19/20	367.50	...	-147.52	2 of 4 - Sale ²⁵
	50.000	109.99	06/19/20	184.00	...	-74.01	3 of 4 - Sale ²⁵
	50.000	109.99	06/19/20	179.00	...	-69.01	4 of 4 - Sale ²⁵
07/08/20	300.000	659.94	Various	1,098.00	...	-438.06	Total of 4 transactions
CITIGROUP INC. / CUSIP: 172967424 / Symbol:							
2 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	5.000	238.45	04/08/20	212.79	...	25.66	1 of 2 - Sale ²⁵
	5.000	241.45	04/08/20	212.79	...	28.66	2 of 2 - Sale ²⁵
04/09/20	10.000	479.90	Various	425.58	...	54.32	Total of 2 transactions
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	8.351	461.25	06/10/20	476.19	...	-14.94	1 of 2 - Sale ²⁵
	0.418	23.06	06/10/20	23.81	...	-0.75	2 of 2 - Sale ²⁵
06/16/20	8.769	484.31	Various	500.00	...	-15.69	Total of 2 transactions
	Security total:	964.21		925.58	...	38.63	
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
4 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	0.066	15.78	06/17/20	15.54	...	0.24	1 of 4 - Sale ²⁵
	0.404	96.93	06/17/20	95.48	...	1.45	2 of 4 - Sale ²⁵
	0.404	96.93	06/17/20	95.48	...	1.45	3 of 4 - Sale ²⁵
	7.596	1,820.63	06/17/20	1,793.50	...	27.13	4 of 4 - Sale ²⁵
06/19/20	8.470	2,030.27	Various	2,000.00	...	30.27	Total of 4 transactions
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
04/07/20	90.000	442.09	04/02/20	402.05	...	40.04	Sale ²⁵
5 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	10.000	48.73	04/02/20	44.67	...	4.06	1 of 5 - Sale ²⁵
	10.000	48.83	04/02/20	43.80	...	5.03	2 of 5 - Sale ²⁵

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Robinhood Securities LLC

Account 116784539

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol: (cont'd)							
	15.000	73.24	04/02/20	64.76	...	8.48	3 of 5 - Sale ²⁵
	25.000	122.07	04/02/20	109.95	...	12.12	4 of 5 - Sale ²⁵
	40.000	194.91	04/02/20	175.20	...	19.71	5 of 5 - Sale ²⁵
04/08/20	100.000	487.78	Various	438.38	...	49.40	Total of 5 transactions
	2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	40.000	208.59	04/13/20	206.29	...	2.30	1 of 2 - Sale ²⁵
	75.000	391.11	04/15/20	377.25	...	13.86	2 of 2 - Sale ²⁵
04/17/20	115.000	599.70	Various	583.54	...	16.16	Total of 2 transactions
04/22/20	100.000	477.19	04/21/20	476.72	...	0.47	Sale ²⁵
04/22/20	50.000	238.60	04/20/20	248.86	10.26 W	0.00	Sale ²⁵
	2 transactions for 04/27/20. Total proceeds and cost reported to the IRS.						
	50.000	252.49	04/21/20	248.62	...	3.87	1 of 2 - Sale ²⁵
	50.000	252.48	04/21/20	238.36	...	14.12	2 of 2 - Sale ²⁵
04/27/20	100.000	504.97	Various	486.98	...	17.99	Total of 2 transactions
	13 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	0.679	4.58	06/11/20	4.29	...	0.29	1 of 13 - Sale ²⁵
	3.760	25.34	06/11/20	24.53	...	0.81	2 of 13 - Sale ²⁵
	13.867	93.46	06/11/20	87.56	...	5.90	3 of 13 - Sale ²⁵
	27.186	183.22	06/11/20	173.90	...	9.32	4 of 13 - Sale ²⁵
	47.219	318.24	06/11/20	308.05	...	10.19	5 of 13 - Sale ²⁵
	0.949	6.42	06/15/20	6.15	...	0.27	6 of 13 - Sale ²⁵
	3.584	24.16	06/15/20	23.21	...	0.95	7 of 13 - Sale ²⁵
	3.466	23.36	06/15/20	22.16	...	1.20	8 of 13 - Sale ²⁵
	3.823	25.77	06/15/20	24.44	...	1.33	9 of 13 - Sale ²⁵
	3.913	26.37	06/15/20	24.52	...	1.85	10 of 13 - Sale ²⁵
	4.397	29.64	06/15/20	27.56	...	2.08	11 of 13 - Sale ²⁵
	88.106	593.80	06/15/20	570.64	...	23.16	12 of 13 - Sale ²⁵
	64.186	432.58	06/15/20	402.24	...	30.34	13 of 13 - Sale ²⁵
06/16/20	265.135	1,786.94	Various	1,699.25	...	87.69	Total of 13 transactions
	2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	69.348	467.39	06/10/20	476.20	8.81 W	0.00	1 of 2 - Sale ²⁵
	3.466	23.36	06/10/20	23.80	0.44 W	0.00	2 of 2 - Sale ²⁵
06/16/20	72.814	490.75	Various	500.00	9.25 W	0.00	Total of 2 transactions

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Account 116784539

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol: (cont'd)							
<i>15 transactions for 06/19/20. Total proceeds and cost reported to the IRS.</i>							
	0.516	3.36	06/17/20	3.29	...	0.07	1 of 15 - Sale ²⁵
	1.087	7.09	06/17/20	7.02	...	0.07	2 of 15 - Sale ²⁵
	3.772	24.61	06/17/20	24.35	...	0.26	3 of 15 - Sale ²⁵
	23.429	152.86	06/17/20	151.25	...	1.61	4 of 15 - Sale ²⁵
	50.000	326.01	06/17/20	321.34	...	4.67	5 of 15 - Sale ²⁵
	49.484	322.65	06/17/20	316.04	...	6.61	6 of 15 - Sale ²⁵
	0.139	0.91	06/18/20	0.87	...	0.04	7 of 15 - Sale ²⁵
	1.087	7.09	06/18/20	6.82	...	0.27	8 of 15 - Sale ²⁵
	7.695	50.21	06/18/20	48.75	...	1.46	9 of 15 - Sale ²⁵
	7.965	51.97	06/18/20	49.99	...	1.98	10 of 15 - Sale ²⁵
	52.913	345.24	06/18/20	338.84	...	6.40	11 of 15 - Sale ²⁵
	57.695	376.44	06/18/20	369.46	...	6.98	12 of 15 - Sale ²⁵
	39.392	257.02	06/18/20	249.55	...	7.47	13 of 15 - Sale ²⁵
	71.573	467.00	06/18/20	449.19	...	17.81	14 of 15 - Sale ²⁵
	80.340	524.19	06/18/20	504.21	...	19.98	15 of 15 - Sale ²⁵
06/19/20	447.087	2,916.65	Various	2,840.97	...	75.68	Total of 15 transactions
<i>2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	652.02	06/16/20	658.90	6.88 W	0.00	1 of 2 - Sale ²⁵
	200.000	1,304.05	06/16/20	1,317.80	13.75 W	0.00	2 of 2 - Sale ²⁵
06/19/20	300.000	1,956.07	Various	1,976.70	20.63 W	0.00	Total of 2 transactions
<i>2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.</i>							
	1.054	6.47	06/24/20	6.40	...	0.07	1 of 2 - Sale ²⁵
	1.561	9.58	06/24/20	9.49	...	0.09	2 of 2 - Sale ²⁵
07/06/20	2.614	16.05	Various	15.89	...	0.16	Total of 2 transactions
<i>8 transactions for 07/06/20. Total proceeds and cost reported to the IRS.</i>							
	0.285	1.75	06/22/20	1.77	0.02 W	0.00	1 of 8 - Sale ²⁵
	320.904	1,970.27	06/22/20	1,998.23	27.96 W	0.00	2 of 8 - Sale ²⁵
	0.090	0.55	06/22/20	0.56	0.01 W	0.00	3 of 8 - Sale ²⁵
	15.995	98.21	06/22/20	99.44	1.23 W	0.00	4 of 8 - Sale ²⁵
	120.000	736.76	06/23/20	741.00	4.24 W	0.00	5 of 8 - Sale ²⁵
	0.648	3.98	06/23/20	4.00	0.02 W	0.00	6 of 8 - Sale ²⁵
	0.475	2.92	06/23/20	2.93	0.01 W	0.00	7 of 8 - Sale ²⁵

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Robinhood Securities LLC

Account 116784539

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol: (cont'd)							
	38.989	239.38	06/23/20	240.83	1.45 W	0.00	8 of 8 - Sale ²⁵
07/06/20	497.386	3,053.82	Various	3,088.76	34.94 W	0.00	Total of 8 transactions
	15 transactions for 07/07/20. Total proceeds and cost reported to the IRS.						
	0.285	1.75	06/24/20	1.75	...	0.00	1 of 15 - Sale ²⁵
	0.264	1.62	06/24/20	1.60	...	0.02	2 of 15 - Sale ²⁵
	82.977	509.49	06/24/20	505.65	...	3.84	3 of 15 - Sale ²⁵
	0.064	0.39	06/26/20	0.38	...	0.01	4 of 15 - Sale ²⁵
	0.090	0.55	06/26/20	0.54	...	0.01	5 of 15 - Sale ²⁵
	0.648	3.98	06/26/20	3.83	...	0.15	6 of 15 - Sale ²⁵
	10.350	63.55	06/26/20	61.19	...	2.36	7 of 15 - Sale ²⁵
	15.995	98.21	06/26/20	95.23	...	2.98	8 of 15 - Sale ²⁵
	23.902	146.76	06/26/20	142.55	...	4.21	9 of 15 - Sale ²⁵
	167.583	1,028.98	06/26/20	1,014.60	...	14.38	10 of 15 - Sale ²⁵
	0.475	2.92	06/29/20	2.85	...	0.07	11 of 15 - Sale ²⁵
	2.614	16.05	06/29/20	15.62	...	0.43	12 of 15 - Sale ²⁵
	15.585	95.90	06/29/20	93.57	...	2.33	13 of 15 - Sale ²⁵
	38.989	239.40	06/29/20	234.48	...	4.92	14 of 15 - Sale ²⁵
	109.650	673.27	06/29/20	659.23	...	14.04	15 of 15 - Sale ²⁵
07/07/20	469.471	2,882.82	Various	2,833.07	...	49.75	Total of 15 transactions
07/07/20	46.115	283.15	06/24/20	284.40	1.25 W	0.00	Sale ²⁵
	5 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	0.229	1.42	07/09/20	1.39	...	0.03	1 of 5 - Sale ²⁵
	30.300	187.09	07/09/20	183.22	...	3.87	2 of 5 - Sale ²⁵
	25.000	154.38	07/09/20	150.50	...	3.88	3 of 5 - Sale ²⁵
	27.471	169.63	07/09/20	165.37	...	4.26	4 of 5 - Sale ²⁵
	50.000	308.75	07/09/20	301.00	...	7.75	5 of 5 - Sale ²⁵
07/13/20	133.000	821.27	Various	801.48	...	19.79	Total of 5 transactions
	9 transactions for 07/14/20. Total proceeds and cost reported to the IRS.						
	0.210	1.32	07/09/20	1.24	...	0.08	1 of 9 - Sale ²⁵
	174.979	1,094.45	07/09/20	1,053.35	...	41.10	2 of 9 - Sale ²⁵
	0.040	0.25	07/10/20	0.23	...	0.02	3 of 9 - Sale ²⁵
	0.091	0.57	07/10/20	0.53	...	0.04	4 of 9 - Sale ²⁵
	0.461	2.88	07/10/20	2.65	...	0.23	5 of 9 - Sale ²⁵

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Account 116784539

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol: (cont'd)							
	24.351	152.30	07/10/20	140.50	...	11.80	6 of 9 - Sale ²⁵
	78.892	494.65	07/10/20	466.00	...	28.65	7 of 9 - Sale ²⁵
	97.915	613.91	07/10/20	564.97	...	48.94	8 of 9 - Sale ²⁵
	148.500	931.07	07/10/20	856.85	...	74.22	9 of 9 - Sale ²⁵
07/14/20	525.440	3,291.40	Various	3,086.32	...	205.08	Total of 9 transactions
	7 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	0.113	0.77	07/15/20	0.75	...	0.02	1 of 7 - Sale ²⁵
	0.326	2.22	07/15/20	2.15	...	0.07	2 of 7 - Sale ²⁵
	0.652	4.43	07/15/20	4.30	...	0.13	3 of 7 - Sale ²⁵
	0.931	6.33	07/15/20	6.14	...	0.19	4 of 7 - Sale ²⁵
	29.061	197.62	07/15/20	191.71	...	5.91	5 of 7 - Sale ²⁵
	59.983	407.90	07/15/20	395.70	...	12.20	6 of 7 - Sale ²⁵
	449.865	3,059.13	07/15/20	2,999.25	...	59.88	7 of 7 - Sale ²⁵
07/16/20	540.931	3,678.40	Various	3,600.00	...	78.40	Total of 7 transactions
	Security total:	23,927.65		23,363.37	76.33 W	640.61	
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
	5 transactions for 04/09/20. Total proceeds and cost reported to the IRS.						
	5.000	37.55	04/02/20	35.19	...	2.36	1 of 5 - Sale ²⁵
	10.000	75.10	04/02/20	71.00	...	4.10	2 of 5 - Sale ²⁵
	35.000	261.90	04/02/20	246.32	...	15.58	3 of 5 - Sale ²⁵
	25.000	187.75	04/07/20	183.68	...	4.07	4 of 5 - Sale ²⁵
	10.000	75.11	04/08/20	72.07	...	3.04	5 of 5 - Sale ²⁵
04/09/20	85.000	637.41	Various	608.26	...	29.15	Total of 5 transactions
	2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	25.000	169.12	04/15/20	160.44	...	8.68	1 of 2 - Sale ²⁵
	40.000	270.58	04/15/20	254.60	...	15.98	2 of 2 - Sale ²⁵
04/17/20	65.000	439.70	Various	415.04	...	24.66	Total of 2 transactions
04/17/20	100.000	676.47	04/14/20	697.00	4.72 W	-15.81	Sale ²⁵
04/29/20	23.000	154.39	04/27/20	151.63	...	2.76	Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol: (cont'd)							
2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	0.851	5.76	07/07/20	5.91	...	-0.15	1 of 2 - Sale ²⁵
	58.000	394.38	07/07/20	394.22	...	0.16	2 of 2 - Sale ²⁵
07/08/20	58.851	400.14	Various	400.13	...	0.01	Total of 2 transactions
07/08/20	400.000	2,719.90	07/06/20	2,778.88	44.56 W	-14.42	Sale ²⁵
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	300.905	2,007.82	07/10/20	2,041.51	...	-33.69	1 of 2 - Sale ²⁵
	0.432	2.88	07/10/20	2.92	...	-0.04	2 of 2 - Sale ²⁵
07/13/20	301.337	2,010.70	Various	2,044.43	...	-33.73	Total of 2 transactions
	Security total:	7,038.71		7,095.37	49.28 W	-7.38	
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
14 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	0.464	1.00	06/17/20	0.99	...	0.01	1 of 14 - Sale ²⁵
	1.591	3.42	06/17/20	3.38	...	0.04	2 of 14 - Sale ²⁵
	0.198	0.42	06/17/20	0.34	...	0.08	3 of 14 - Sale ²⁵
	0.484	1.04	06/17/20	0.84	...	0.20	4 of 14 - Sale ²⁵
	3.899	8.38	06/17/20	8.07	...	0.31	5 of 14 - Sale ²⁵
	5.796	12.46	06/17/20	11.91	...	0.55	6 of 14 - Sale ²⁵
	29.000	62.35	06/17/20	61.63	...	0.72	7 of 14 - Sale ²⁵
	3.572	7.68	06/17/20	6.18	...	1.50	8 of 14 - Sale ²⁵
	34.870	74.97	06/17/20	72.18	...	2.79	9 of 14 - Sale ²⁵
	38.525	82.82	06/17/20	79.75	...	3.07	10 of 14 - Sale ²⁵
	10.000	21.50	06/17/20	17.30	...	4.20	11 of 14 - Sale ²⁵
	19.319	41.54	06/17/20	33.42	...	8.12	12 of 14 - Sale ²⁵
	113.426	243.86	06/17/20	233.09	...	10.77	13 of 14 - Sale ²⁵
	38.681	83.16	06/17/20	66.92	...	16.24	14 of 14 - Sale ²⁵
06/17/20	299.823	644.60	Various	596.00	...	48.60	Total of 14 transactions
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	0.738	1.59	06/17/20	1.73	0.14 W	0.00	1 of 2 - Sale ²⁵
	49.439	106.28	06/17/20	115.69	9.41 W	0.00	2 of 2 - Sale ²⁵
06/17/20	50.177	107.87	Various	117.42	9.55 W	0.00	Total of 2 transactions

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(continued)

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IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
<i>9 transactions for 06/18/20. Total proceeds and cost reported to the IRS.</i>							
	6.654	12.51	06/17/20	16.84	...	-4.33	1 of 9 - Sale ²⁵
	157.215	295.53	06/18/20	372.60	...	-77.07	2 of 9 - Sale ²⁵
	42.785	80.43	06/18/20	109.54	...	-29.11	3 of 9 - Sale ²⁵
	10.970	20.62	06/18/20	26.00	...	-5.38	4 of 9 - Sale ²⁵
	5.734	11.41	06/18/20	14.28	...	-2.87	5 of 9 - Sale ²⁵
	16.815	31.61	06/18/20	34.13	...	-2.52	6 of 9 - Sale ²⁵
	1.266	2.52	06/18/20	3.15	...	-0.63	7 of 9 - Sale ²⁵
	0.738	1.47	06/18/20	1.98	...	-0.51	8 of 9 - Sale ²⁵
	0.078	0.16	06/18/20	0.19	...	-0.03	9 of 9 - Sale ²⁵
06/18/20	242.255	456.26	Various	578.71	...	-122.45	Total of 9 transactions
<i>2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.</i>							
	104.823	197.05	06/17/20	245.28	3.25 W	-44.98	1 of 2 - Sale ²⁵
	0.738	1.39	06/17/20	1.87	0.48 W	0.00	2 of 2 - Sale ²⁵
06/18/20	105.561	198.44	Various	247.15	3.73 W	-44.98	Total of 2 transactions
	Security total:	1,407.17		1,539.28	13.28 W	-118.83	
THE KROGER CO. / CUSIP: 501044101 / Symbol:							
<i>5 transactions for 06/19/20. Total proceeds and cost reported to the IRS.</i>							
	0.202	6.39	06/18/20	6.38	...	0.01	1 of 5 - Sale ²⁵
	1.503	47.46	06/18/20	47.42	...	0.04	2 of 5 - Sale ²⁵
	0.819	25.87	06/18/20	25.29	...	0.58	3 of 5 - Sale ²⁵
	30.000	947.07	06/18/20	946.20	...	0.87	4 of 5 - Sale ²⁵
	16.286	514.15	06/18/20	502.71	...	11.44	5 of 5 - Sale ²⁵
06/19/20	48.811	1,540.94	Various	1,528.00	...	12.94	Total of 5 transactions
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
04/30/20	12.000	567.34	04/28/20	589.68	...	-22.34	Sale ²⁵
NOVABAY PHARMACEUTICALS, INC. / CUSIP: 66987P201 / Symbol:							
04/15/20	200.000	159.36	04/13/20	145.98	...	13.38	Sale ²⁵

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Robinhood Securities LLC

Account 116784539

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
<i>6 transactions for 06/18/20. Total proceeds and cost reported to the IRS.</i>							
	0.388	47.49	06/17/20	47.05	...	0.44	1 of 6 - Sale ²⁵
	0.122	15.06	06/17/20	14.28	...	0.78	2 of 6 - Sale ²⁵
	0.446	54.61	06/17/20	52.09	...	2.52	3 of 6 - Sale ²⁵
	0.693	85.34	06/17/20	80.92	...	4.42	4 of 6 - Sale ²⁵
	1.307	160.01	06/17/20	152.71	...	7.30	5 of 6 - Sale ²⁵
	7.859	961.86	06/17/20	952.95	...	8.91	6 of 6 - Sale ²⁵
06/18/20	10.815	1,324.37	Various	1,300.00	...	24.37	Total of 6 transactions
<i>4 transactions for 06/22/20. Total proceeds and cost reported to the IRS.</i>							
	0.165	21.99	06/19/20	21.23	...	0.76	1 of 4 - Sale ²⁵
	0.281	37.60	06/19/20	36.22	...	1.38	2 of 4 - Sale ²⁵
	0.835	111.46	06/19/20	107.63	...	3.83	3 of 4 - Sale ²⁵
	22.000	2,935.83	06/19/20	2,834.92	...	100.91	4 of 4 - Sale ²⁵
06/22/20	23.281	3,106.88	Various	3,000.00	...	106.88	Total of 4 transactions
06/24/20	4.000	490.27	06/23/20	520.00	...	-29.73	Sale ²⁵
<i>2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.</i>							
	7.000	822.48	06/23/20	910.00	...	-87.52	1 of 2 - Sale ²⁵
	0.539	64.22	06/23/20	70.00	...	-5.78	2 of 2 - Sale ²⁵
07/01/20	7.539	886.70	Various	980.00	...	-93.30	Total of 2 transactions
	Security total:	5,808.22		5,800.00	...	8.22	
SIRIUS XM HOLDINGS INC. COMMON STOCK / CUSIP: 82968B103 / Symbol:							
<i>2 transactions for 04/09/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	132.00	04/07/20	126.95	...	5.05	1 of 2 - Sale ²⁵
	20.000	105.60	04/08/20	98.96	...	6.64	2 of 2 - Sale ²⁵
04/09/20	45.000	237.60	Various	225.91	...	11.69	Total of 2 transactions
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
<i>2 transactions for 04/21/20. Total proceeds and cost reported to the IRS.</i>							
	17.000	460.70	04/20/20	508.81	...	-48.11	1 of 2 - Sale ²⁵
	8.000	216.80	04/20/20	239.44	...	-22.64	2 of 2 - Sale ²⁵
04/21/20	25.000	677.50	Various	748.25	...	-70.75	Total of 2 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol: (cont'd)							
2 transactions for 04/22/20. Total proceeds and cost reported to the IRS.							
	15.000	396.45	04/20/20	448.95	...	-52.50	1 of 2 - Sale ²⁵
	10.000	267.41	04/20/20	299.30	...	-31.89	2 of 2 - Sale ²⁵
04/22/20	25.000	663.86	Various	748.25	...	-84.39	Total of 2 transactions
	Security total:	1,341.36		1,496.50	...	-155.14	
SNAP INC. / CUSIP: 83304A106 / Symbol:							
8 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	0.071	1.63	06/19/20	1.59	...	0.04	1 of 8 - Sale ²⁵
	0.214	4.91	06/19/20	4.78	...	0.13	2 of 8 - Sale ²⁵
	0.425	9.76	06/19/20	9.48	...	0.28	3 of 8 - Sale ²⁵
	1.000	22.96	06/19/20	22.36	...	0.60	4 of 8 - Sale ²⁵
	2.147	49.29	06/19/20	48.11	...	1.18	5 of 8 - Sale ²⁵
	4.261	97.83	06/19/20	95.22	...	2.61	6 of 8 - Sale ²⁵
	8.543	196.15	06/19/20	190.52	...	5.63	7 of 8 - Sale ²⁵
	42.410	973.71	06/19/20	950.30	...	23.41	8 of 8 - Sale ²⁵
06/22/20	59.071	1,356.24	Various	1,322.36	...	33.88	Total of 8 transactions
SONOS, INC. COMMON STOCK / CUSIP: 83570H108 / Symbol:							
4 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	0.170	2.24	06/11/20	2.16	...	0.08	1 of 4 - Sale ²⁵
	0.884	11.76	06/11/20	11.26	...	0.50	2 of 4 - Sale ²⁵
	2.830	37.42	06/11/20	36.05	...	1.37	3 of 4 - Sale ²⁵
	55.000	728.72	06/11/20	700.53	...	28.19	4 of 4 - Sale ²⁵
06/16/20	58.884	780.14	Various	750.00	...	30.14	Total of 4 transactions
06/17/20	100.000	1,399.95	06/16/20	1,286.86	...	113.09	Sale ²⁵
06/24/20	25.000	345.08	06/23/20	363.40	18.32 W	0.00	Sale ²⁵
4 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	0.200	2.95	06/23/20	2.90	...	0.05	1 of 4 - Sale ²⁵
	0.659	9.72	06/23/20	9.39	...	0.33	2 of 4 - Sale ²⁵
	5.682	83.76	06/23/20	82.60	...	1.16	3 of 4 - Sale ²⁵
	44.318	653.21	06/23/20	631.51	...	21.70	4 of 4 - Sale ²⁵
06/26/20	50.859	749.64	Various	726.40	...	23.24	Total of 4 transactions

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Robinhood Securities LLC

Account 116784539

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SONOS, INC. COMMON STOCK / CUSIP: 83570H108 / Symbol: (cont'd)							
2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	0.200	2.95	06/23/20	3.00	0.05 W	0.00	1 of 2 - Sale ²⁵
	24.800	365.54	06/23/20	371.57	6.03 W	0.00	2 of 2 - Sale ²⁵
06/26/20	25.000	368.49	Various	374.57	6.08 W	0.00	Total of 2 transactions
3 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	0.294	4.34	06/30/20	4.27	...	0.07	1 of 3 - Sale ²⁵
	24.800	370.75	06/30/20	366.37	...	4.38	2 of 3 - Sale ²⁵
	16.200	242.18	06/30/20	235.39	...	6.79	3 of 3 - Sale ²⁵
07/02/20	41.294	617.27	Various	606.03	...	11.24	Total of 3 transactions
3 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	4.000	62.36	07/06/20	59.26	...	3.10	1 of 3 - Sale ²⁵
	5.000	77.95	07/06/20	74.09	...	3.86	2 of 3 - Sale ²⁵
	5.000	77.95	07/06/20	74.09	...	3.86	3 of 3 - Sale ²⁵
07/07/20	14.000	218.26	Various	207.44	...	10.82	Total of 3 transactions
3 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	0.030	0.46	07/10/20	0.45	...	0.01	1 of 3 - Sale ²⁵
	0.348	5.33	07/10/20	5.21	...	0.12	2 of 3 - Sale ²⁵
	132.970	2,038.57	07/10/20	1,994.34	...	44.23	3 of 3 - Sale ²⁵
07/13/20	133.348	2,044.36	Various	2,000.00	...	44.36	Total of 3 transactions
13 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	1.000	15.35	07/14/20	14.78	...	0.57	1 of 13 - Sale ²⁵
	2.000	30.70	07/14/20	29.56	...	1.14	2 of 13 - Sale ²⁵
	3.000	46.05	07/14/20	44.43	...	1.62	3 of 13 - Sale ²⁵
	3.000	46.05	07/14/20	44.37	...	1.68	4 of 13 - Sale ²⁵
	4.000	61.40	07/14/20	59.12	...	2.28	5 of 13 - Sale ²⁵
	5.000	76.75	07/14/20	73.40	...	3.35	6 of 13 - Sale ²⁵
	5.000	76.75	07/14/20	73.39	...	3.36	7 of 13 - Sale ²⁵
	12.000	184.19	07/14/20	176.04	...	8.15	8 of 13 - Sale ²⁵
	27.000	414.44	07/14/20	396.63	...	17.81	9 of 13 - Sale ²⁵
	0.227	3.49	07/15/20	3.33	...	0.16	10 of 13 - Sale ²⁵
	2.773	42.57	07/15/20	40.68	...	1.89	11 of 13 - Sale ²⁵
	6.817	104.63	07/15/20	100.00	...	4.63	12 of 13 - Sale ²⁵

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Account 116784539

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SONOS, INC. COMMON STOCK / CUSIP: 83570H108 / Symbol: (cont'd)							
	134.410	2,063.13	07/15/20	2,000.00	...	63.13	13 of 13 - Sale ²⁵
07/16/20	206.227	3,165.50	Various	3,055.73	...	109.77	Total of 13 transactions
	Security total:	9,688.69		9,370.43	24.40 W	342.66	
SOUTHWEST AIRLINES CO. / CUSIP: 844741108 / Symbol:							
4 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	33.784	1,173.66	06/17/20	1,237.37	...	-63.71	1 of 4 - Sale ²⁵
	1.710	59.41	06/17/20	62.63	...	-3.22	2 of 4 - Sale ²⁵
	0.910	31.62	06/22/20	31.48	...	0.14	3 of 4 - Sale ²⁵
	27.995	972.53	06/22/20	968.52	...	4.01	4 of 4 - Sale ²⁵
06/23/20	64.398	2,237.22	Various	2,300.00	...	-62.78	Total of 4 transactions
SQUARE, INC. / CUSIP: 852234103 / Symbol:							
04/08/20	4.000	222.49	04/08/20	218.34	...	4.15	Sale ²⁵
2 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	4.000	245.09	04/09/20	240.18	...	4.91	1 of 2 - Sale ²⁵
	15.000	919.09	04/09/20	887.62	...	31.47	2 of 2 - Sale ²⁵
04/14/20	19.000	1,164.18	Various	1,127.80	...	36.38	Total of 2 transactions
04/17/20	10.000	612.39	04/15/20	607.10	...	5.29	Sale ²⁵
4 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	0.054	4.84	06/15/20	4.75	...	0.09	1 of 4 - Sale ²⁵
	0.274	24.49	06/15/20	23.80	...	0.69	2 of 4 - Sale ²⁵
	1.083	96.89	06/15/20	95.20	...	1.69	3 of 4 - Sale ²⁵
	5.475	489.98	06/15/20	476.20	...	13.78	4 of 4 - Sale ²⁵
06/15/20	6.886	616.20	Various	599.95	...	16.25	Total of 4 transactions
3 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	0.255	22.81	06/10/20	23.10	0.29 W	0.00	1 of 3 - Sale ²⁵
	5.000	447.45	06/10/20	453.19	5.74 W	0.00	2 of 3 - Sale ²⁵
	0.262	23.41	06/10/20	23.71	0.30 W	0.00	3 of 3 - Sale ²⁵
06/15/20	5.516	493.67	Various	500.00	6.33 W	0.00	Total of 3 transactions
06/16/20	0.001	0.05	06/15/20	0.05	...	0.00	Sale ²⁵

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(continued)

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SQUARE, INC. / CUSIP: 852234103 / Symbol: (cont'd)							
2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	0.254	31.79	07/02/20	29.61	...	2.18	1 of 2 - Sale ²⁵
	1.746	218.23	07/02/20	203.27	...	14.96	2 of 2 - Sale ²⁵
07/06/20	2.000	250.02	Various	232.88	...	17.14	Total of 2 transactions
6 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	0.101	12.88	07/09/20	13.52	0.64 W	0.00	1 of 6 - Sale ²⁵
	0.010	1.30	07/09/20	1.36	0.06 W	0.00	2 of 6 - Sale ²⁵
	0.101	12.90	07/09/20	14.05	1.15 W	0.00	3 of 6 - Sale ²⁵
	0.262	33.32	07/09/20	34.95	1.63 W	0.00	4 of 6 - Sale ²⁵
	3.143	400.27	07/09/20	419.85	19.58 W	0.00	5 of 6 - Sale ²⁵
	11.484	1,462.59	07/09/20	1,520.95	58.36 W	0.00	6 of 6 - Sale ²⁵
07/10/20	15.101	1,923.26	Various	2,004.68	81.42 W	0.00	Total of 6 transactions
5 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	0.101	13.24	07/10/20	14.14	0.90 W	0.00	1 of 5 - Sale ²⁵
	0.010	1.33	07/10/20	1.37	0.04 W	0.00	2 of 5 - Sale ²⁵
	0.262	34.22	07/10/20	35.20	0.98 W	0.00	3 of 5 - Sale ²⁵
	3.143	411.12	07/10/20	422.88	11.76 W	0.00	4 of 5 - Sale ²⁵
	0.318	41.62	07/10/20	42.45	0.83 W	0.00	5 of 5 - Sale ²⁵
07/13/20	3.834	501.53	Various	516.04	14.51 W	0.00	Total of 5 transactions
2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	5.000	611.46	07/14/20	598.60	...	12.86	1 of 2 - Sale ²⁵
	6.000	733.75	07/14/20	718.02	...	15.73	2 of 2 - Sale ²⁵
07/15/20	11.000	1,345.21	Various	1,316.62	...	28.59	Total of 2 transactions
8 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	0.101	12.38	07/13/20	13.79	1.41 W	0.00	1 of 8 - Sale ²⁵
	0.010	1.25	07/13/20	1.34	0.09 W	0.00	2 of 8 - Sale ²⁵
	0.262	31.99	07/13/20	34.29	2.30 W	0.00	3 of 8 - Sale ²⁵
	3.143	384.35	07/13/20	412.00	27.65 W	0.00	4 of 8 - Sale ²⁵
	0.318	38.92	07/13/20	41.36	2.44 W	0.00	5 of 8 - Sale ²⁵
	11.166	1,365.49	07/13/20	1,478.68	113.19 W	0.00	6 of 8 - Sale ²⁵
	2.276	278.28	07/13/20	289.79	11.51 W	0.00	7 of 8 - Sale ²⁵
	0.564	68.95	07/13/20	70.00	1.05 W	0.00	8 of 8 - Sale ²⁵
07/15/20	17.839	2,181.61	Various	2,341.25	159.64 W	0.00	Total of 8 transactions

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(continued)

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SQUARE, INC. / CUSIP: 852234103 / Symbol: (cont'd)							
16 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	4.198	503.34	07/16/20	543.41	...	-40.07	1 of 16 - Sale ²⁵
	1.679	201.27	07/16/20	213.55	...	-12.28	2 of 16 - Sale ²⁵
	1.769	212.10	07/16/20	219.92	...	-7.82	3 of 16 - Sale ²⁵
	0.841	100.80	07/16/20	107.91	...	-7.11	4 of 16 - Sale ²⁵
	0.672	80.57	07/16/20	85.58	...	-5.01	5 of 16 - Sale ²⁵
	0.661	79.23	07/16/20	84.14	...	-4.91	6 of 16 - Sale ²⁵
	0.507	60.76	07/16/20	63.01	...	-2.25	7 of 16 - Sale ²⁵
	0.318	38.16	07/16/20	40.15	...	-1.99	8 of 16 - Sale ²⁵
	0.262	31.37	07/16/20	33.29	...	-1.92	9 of 16 - Sale ²⁵
	0.139	16.53	07/16/20	18.02	...	-1.49	10 of 16 - Sale ²⁵
	0.101	12.14	07/16/20	13.41	...	-1.27	11 of 16 - Sale ²⁵
	0.127	15.28	07/16/20	16.39	...	-1.11	12 of 16 - Sale ²⁵
	0.132	15.79	07/16/20	16.75	...	-0.96	13 of 16 - Sale ²⁵
	0.564	67.60	07/16/20	68.31	...	-0.71	14 of 16 - Sale ²⁵
	3.161	378.98	07/16/20	379.40	...	-0.42	15 of 16 - Sale ²⁵
	0.010	1.22	07/16/20	1.31	...	-0.09	16 of 16 - Sale ²⁵
07/17/20	15.139	1,815.14	Various	1,904.55	...	-89.41	Total of 16 transactions
8 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	6.000	719.44	07/16/20	776.44	1.32 W	-55.68	1 of 8 - Sale ²⁵
	0.101	12.15	07/16/20	13.48	1.33 W	0.00	2 of 8 - Sale ²⁵
	0.010	1.22	07/16/20	1.31	0.09 W	0.00	3 of 8 - Sale ²⁵
	0.661	79.29	07/16/20	84.60	5.31 W	0.00	4 of 8 - Sale ²⁵
	0.262	31.39	07/16/20	33.50	2.11 W	0.00	5 of 8 - Sale ²⁵
	2.482	297.85	07/16/20	317.88	20.03 W	0.00	6 of 8 - Sale ²⁵
	0.318	38.19	07/16/20	40.40	2.21 W	0.00	7 of 8 - Sale ²⁵
	5.166	619.88	07/16/20	668.50	48.62 W	0.00	8 of 8 - Sale ²⁵
07/17/20	15.000	1,799.41	Various	1,936.11	81.02 W	-55.68	Total of 8 transactions
3 transactions for 12/15/20. Total proceeds and cost reported to the IRS.							
	0.001	0.27	11/27/20	0.26	...	0.01	1 of 3 - Sale ²⁵
	0.778	172.00	11/27/20	164.61	...	7.39	2 of 3 - Sale ²⁵
	2.000	442.02	11/27/20	422.76	...	19.26	3 of 3 - Sale ²⁵
12/15/20	2.779	614.29	Various	587.63	...	26.66	Total of 3 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 116784539

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQUARE, INC. / CUSIP: 852234103 / Symbol: (cont'd)							
Security total:		13,539.45		13,893.00	342.92 W	-10.63	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
11/27/20	0.993	587.57	11/19/20	500.00	...	87.57	Sale ²⁵
TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
4 transactions for 04/21/20. Total proceeds and cost reported to the IRS.							
	15.000	380.85	04/20/20	408.15	...	-27.30	1 of 4 - Sale ²⁵
	5.000	126.45	04/20/20	136.05	...	-9.60	2 of 4 - Sale ²⁵
	5.000	126.75	04/20/20	136.05	...	-9.30	3 of 4 - Sale ²⁵
	5.000	129.00	04/20/20	136.05	...	-7.05	4 of 4 - Sale ²⁵
04/21/20	30.000	763.05	Various	816.30	...	-53.25	Total of 4 transactions
04/22/20	10.000	267.12	04/20/20	272.10	...	-4.98	Sale ²⁵
Security total:		1,030.17		1,088.40	...	-58.23	
UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol:							
06/16/20	0.852	28.88	06/11/20	27.96	...	0.92	Sale ²⁵
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	1.119	37.22	06/11/20	36.73	...	0.49	1 of 2 - Sale ²⁵
	20.881	694.35	06/11/20	685.31	...	9.04	2 of 2 - Sale ²⁵
06/17/20	22.000	731.57	Various	722.04	...	9.53	Total of 2 transactions
2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	0.729	24.27	07/06/20	23.58	...	0.69	1 of 2 - Sale ²⁵
	6.999	232.95	07/06/20	226.42	...	6.53	2 of 2 - Sale ²⁵
07/07/20	7.728	257.22	Various	250.00	...	7.22	Total of 2 transactions
Security total:		1,017.67		1,000.00	...	17.67	
U.S. WELL SERVICES, INC. CLASS A COMMON STOCK / CUSIP: 91274U101 / Symbol:							
5 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	100.000	58.44	04/13/20	82.93	...	-24.49	1 of 5 - Sale ²⁵
	88.000	51.42	04/13/20	70.28	...	-18.86	2 of 5 - Sale ²⁵
	12.000	7.01	04/13/20	10.39	...	-3.38	3 of 5 - Sale ²⁵
	10.000	5.90	04/13/20	6.67	...	-0.77	4 of 5 - Sale ²⁵

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Robinhood Securities LLC

Account 116784539

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
U.S. WELL SERVICES, INC. CLASS A COMMON STOCK / CUSIP: 91274U101 / Symbol: (cont'd)							
	200.000	116.88	04/14/20	169.09	...	-52.21	5 of 5 - Sale ²⁵
04/14/20	410.000	239.65	Various	339.36	...	-99.71	Total of 5 transactions
	10 transactions for 04/14/20. Total proceeds and cost reported to the IRS.						
	290.000	171.06	04/13/20	208.80	27.59 W	-10.15	1 of 10 - Sale ²⁵
	200.000	117.98	04/13/20	127.80	9.82 W	0.00	2 of 10 - Sale ²⁵
	300.000	176.96	04/13/20	210.00	33.04 W	0.00	3 of 10 - Sale ²⁵
	10.000	5.90	04/13/20	7.49	1.59 W	0.00	4 of 10 - Sale ²⁵
	2.000	1.18	04/13/20	1.50	0.32 W	0.00	5 of 10 - Sale ²⁵
	188.000	110.89	04/13/20	140.83	29.94 W	0.00	6 of 10 - Sale ²⁵
	300.000	176.96	04/13/20	244.99	68.03 W	0.00	7 of 10 - Sale ²⁵
	2.000	1.18	04/13/20	1.75	0.57 W	0.00	8 of 10 - Sale ²⁵
	10.000	5.90	04/13/20	8.74	2.84 W	0.00	9 of 10 - Sale ²⁵
	188.000	110.89	04/13/20	134.42	23.53 W	0.00	10 of 10 - Sale ²⁵
04/14/20	1,490.000	878.90	Various	1,086.32	197.27 W	-10.15	Total of 10 transactions
	5 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	212.000	94.33	04/15/20	135.71	...	-41.38	1 of 5 - Sale ²⁵
	188.000	83.66	04/15/20	119.41	...	-35.75	2 of 5 - Sale ²⁵
	88.000	39.16	04/15/20	64.84	...	-25.68	3 of 5 - Sale ²⁵
	10.000	4.45	04/15/20	7.94	...	-3.49	4 of 5 - Sale ²⁵
	2.000	0.89	04/15/20	1.59	...	-0.70	5 of 5 - Sale ²⁵
04/17/20	500.000	222.49	Various	329.49	...	-107.00	Total of 5 transactions
	Security total:	1,341.04		1,755.17	197.27 W	-216.86	
Totals :		129,412.18		137,016.99	975.86 W	-6,628.95	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 116784539

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
			12/17/20	147.43	Adj- Federal tax withheld	
			12/17/20	127.42	Adj- Federal tax withheld	
			12/17/20	-127.42	Federal tax withheld	
			12/17/20	-147.43	Federal tax withheld	
				0.00	Total Federal tax withheld	
CHEETAH MOBILE INC.	163075104		07/09/20	432.00	Nonqualified dividend	
				432.00	Total Dividends & distributions	
				0.00	Total Federal tax withheld	

Robinhood Securities LLC

Account 116784539

Detail for Interest Income

2020

02/14/2021

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/28/20	0.27	Interest	
		03/31/20	0.01	Interest	
		04/30/20	0.05	Interest	
		06/30/20	0.01	Interest	
		07/31/20	0.06	Interest	
		11/30/20	0.02	Interest	
		12/31/20	0.10	Interest	
			0.52	Total Interest	
			0.52	Total Interest	

Robinhood Securities LLC

Account 116784539

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/25/20	-5.00	Margin interest paid	
		04/24/20	-0.94	Margin interest paid	
		07/10/20	-5.00	Margin interest paid	
		07/10/20	-11.79	Margin interest paid	
		07/14/20	0.19	Adj- Margin interest paid	
		07/17/20	-2.18	Margin interest paid	
			-24.72	Total Margin interest paid	
			-24.72	Total Margin interest paid	

Robinhood Securities LLC

Account 116784539

Foreign Income and Taxes Summary

2020

02/14/2021

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
CH	CHEETAH MOBILE INC.	163075104	432.00	0.00	0.00	0.00	432.00	0.00
Total CHINA			432.00	0.00	0.00	0.00	432.00	0.00
Grand Total	Total Foreign Source Income*			432.00				
	Qualified Foreign Source Income**			0.00				
	Foreign Tax			0.00				

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This

amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includable in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includable in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Instructions for Recipient

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.