

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 149057051</b>	Statement Date: 02/14/2021 Document ID: J6YE F5R GNS7	2020
	Tejesh kumar Ramisetty 12862 Chandler Rd Plaza Apt 22 Omaha, NE 68138  RECIPIENT'S TIN: XXX-XX-4957		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2020 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5)      36.59</p> <p>1b- Qualified dividends      29.59</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>3- Nondividend distributions      5.30</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      0.00</p> <p>7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INCOME</b>      <b>2020 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2020 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	132,453.24	142,316.10	0.00	4,850.76	-5,012.10
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	22.30	35.80	0.00	0.00	-13.50
	<b>Total Short-term</b>	<b>132,475.54</b>	<b>142,351.90</b>	<b>0.00</b>	<b>4,850.76</b>	<b>-5,025.60</b>
Long	D (basis reported to the IRS)	1.43	18.95	0.00	0.00	-17.52
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>1.43</b>	<b>18.95</b>	<b>0.00</b>	<b>0.00</b>	<b>-17.52</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>132,476.97</b>	<b>142,370.85</b>	<b>0.00</b>	<b>4,850.76</b>	<b>-5,043.12</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 149057051

**Summary Information**

2020

(continued)

02/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	45.59
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	154.29
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 149057051

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 01/15/2021 CALL \$14.00 / CUSIP: / Symbol:							
11/25/20	1.000	199.98	11/18/20	100.00	...	99.98	Option sale to close-call <sup>25</sup>
AAPL 09/04/2020 CALL \$127.50 / CUSIP: / Symbol:							
09/01/20	1.000	719.97	08/31/20	361.00	...	358.97	Option sale to close-call <sup>25</sup>
AAPL 09/04/2020 CALL \$141.25 / CUSIP: / Symbol:							
09/04/20	3.000	0.00	09/02/20	147.00	...	-147.00	Option expiration- long call
AAPL 12/31/2020 CALL \$129.00 / CUSIP: / Symbol:							
2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	2.000	959.96	12/03/20	370.00	...	589.96	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	499.97	12/04/20	170.00	...	329.97	2 of 2 - Option sale to close-call <sup>25</sup>
12/22/20	3.000	1,459.93	Various	540.00	...	919.93	Total of 2 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 12/31/2020 CALL \$129.00 / CUSIP: / Symbol: (cont'd)							
12/23/20	1.000	419.98	12/04/20	170.00	...	249.98	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>1,879.91</b>		<b>710.00</b>	...	<b>1,169.91</b>	
DKNG 10/23/2020 CALL \$55.00 / CUSIP: / Symbol:							
<i>21 transactions for 10/23/20. Total proceeds and cost reported to the IRS.</i>							
	46.000	0.00	10/12/20	8,878.00	...	-8,878.00	1 of 21 - Option expiration- long call
	20.000	0.00	10/12/20	3,200.00	...	-3,200.00	2 of 21 - Option expiration- long call
	2.000	0.00	10/12/20	380.00	...	-380.00	3 of 21 - Option expiration- long call
	1.000	0.00	10/12/20	190.00	...	-190.00	4 of 21 - Option expiration- long call
	1.000	0.00	10/12/20	190.00	...	-190.00	5 of 21 - Option expiration- long call
	11.000	0.00	10/14/20	957.00	...	-957.00	6 of 21 - Option expiration- long call
	5.000	0.00	10/14/20	435.00	...	-435.00	7 of 21 - Option expiration- long call
	3.000	0.00	10/14/20	276.00	...	-276.00	8 of 21 - Option expiration- long call
	1.000	0.00	10/14/20	87.00	...	-87.00	9 of 21 - Option expiration- long call
	38.000	0.00	10/15/20	1,330.00	...	-1,330.00	10 of 21 - Option expiration- long call
	44.000	0.00	10/15/20	1,320.00	...	-1,320.00	11 of 21 - Option expiration- long call
	20.000	0.00	10/15/20	480.00	...	-480.00	12 of 21 - Option expiration- long call
	6.000	0.00	10/15/20	192.00	...	-192.00	13 of 21 - Option expiration- long call
	6.000	0.00	10/15/20	192.00	...	-192.00	14 of 21 - Option expiration- long call
	3.000	0.00	10/15/20	99.00	...	-99.00	15 of 21 - Option expiration- long call

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DKNG 10/23/2020 CALL \$55.00 / CUSIP: / Symbol: (cont'd)							
	2.000	0.00	10/15/20	48.00	...	-48.00	16 of 21 - Option expiration- long call
	1.000	0.00	10/15/20	32.00	...	-32.00	17 of 21 - Option expiration- long call
	1.000	0.00	10/15/20	32.00	...	-32.00	18 of 21 - Option expiration- long call
	1.000	0.00	10/15/20	32.00	...	-32.00	19 of 21 - Option expiration- long call
	1.000	0.00	10/15/20	24.00	...	-24.00	20 of 21 - Option expiration- long call
	89.000	0.00	10/16/20	2,314.00	...	-2,314.00	21 of 21 - Option expiration- long call
10/23/20	302.000	0.00	Various	20,688.00	...	-20,688.00	Total of 21 transactions
DKNG 10/23/2020 CALL \$56.00 / CUSIP: / Symbol:							
23 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	1.000	20.98	10/23/20	0.00	...	20.98	1 of 23 - Short sale closed- call expired
	1.000	24.98	10/23/20	0.00	...	24.98	Option written: 10/15/20 2 of 23 - Short sale closed- call expired
	1.000	26.98	10/23/20	0.00	...	26.98	Option written: 10/15/20 3 of 23 - Short sale closed- call expired
	1.000	26.98	10/23/20	0.00	...	26.98	Option written: 10/15/20 4 of 23 - Short sale closed- call expired
	1.000	26.98	10/23/20	0.00	...	26.98	Option written: 10/15/20 5 of 23 - Short sale closed- call expired
	2.000	41.98	10/23/20	0.00	...	41.98	Option written: 10/15/20 6 of 23 - Short sale closed- call expired

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DKNG 10/23/2020 CALL \$56.00 / CUSIP: / Symbol: (cont'd)							
	1.000	71.98	10/23/20	0.00	...	71.98	7 of 23 - Short sale closed- call expired
	3.000	74.98	10/23/20	0.00	...	74.98	Option written: 10/14/20 8 of 23 - Short sale closed- call expired
	3.000	83.98	10/23/20	0.00	...	83.98	Option written: 10/15/20 9 of 23 - Short sale closed- call expired
	1.000	159.98	10/23/20	0.00	...	159.98	Option written: 10/15/20 10 of 23 - Short sale closed- call expired
	1.000	159.98	10/23/20	0.00	...	159.98	Option written: 10/12/20 11 of 23 - Short sale closed- call expired
	6.000	161.98	10/23/20	0.00	...	161.98	Option written: 10/12/20 12 of 23 - Short sale closed- call expired
	6.000	161.98	10/23/20	0.00	...	161.98	Option written: 10/15/20 13 of 23 - Short sale closed- call expired
	3.000	230.98	10/23/20	0.00	...	230.98	Option written: 10/15/20 14 of 23 - Short sale closed- call expired
	2.000	319.98	10/23/20	0.00	...	319.98	Option written: 10/14/20 15 of 23 - Short sale closed- call expired
	5.000	359.98	10/23/20	0.00	...	359.98	Option written: 10/12/20 16 of 23 - Short sale closed- call expired
	20.000	419.95	10/23/20	0.00	...	419.95	Option written: 10/14/20 17 of 23 - Short sale closed- call expired
	11.000	791.96	10/23/20	0.00	...	791.96	Option written: 10/15/20 18 of 23 - Short sale closed- call expired
							Option written: 10/14/20

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DKNG 10/23/2020 CALL \$56.00 / CUSIP: / Symbol: (cont'd)							
	40.000	999.89	10/23/20	0.00	...	999.89	19 of 23 - Short sale closed- call expired
	38.000	1,139.89	10/23/20	0.00	...	1,139.89	Option written: 10/15/20 20 of 23 - Short sale closed- call expired
	89.000	2,046.77	10/23/20	0.00	...	2,046.77	Option written: 10/15/20 21 of 23 - Short sale closed- call expired
	20.000	2,699.90	10/23/20	0.00	...	2,699.90	Option written: 10/16/20 22 of 23 - Short sale closed- call expired
	46.000	7,497.74	10/23/20	0.00	...	7,497.74	Option written: 10/12/20 23 of 23 - Short sale closed- call expired
10/23/20	302.000	17,550.78	Various	0.00	...	17,550.78	Option written: 10/12/20 Total of 23 transactions
DKNG 10/30/2020 CALL \$44.50 / CUSIP: / Symbol:							
2 transactions for 10/28/20. Total proceeds and cost reported to the IRS.							
	2.000	19.98	10/21/20	360.00	...	-340.02	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	19.98	10/21/20	360.00	...	-340.02	2 of 2 - Option sale to close-call <sup>25</sup>
10/28/20	4.000	39.96	Various	720.00	...	-680.04	Total of 2 transactions
DKNG 12/18/2020 CALL \$50.00 / CUSIP: / Symbol:							
3 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	1.000	399.98	10/28/20	200.00	...	199.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	399.98	10/28/20	200.00	...	199.98	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	799.97	10/28/20	400.00	...	399.97	3 of 3 - Option sale to close-call <sup>25</sup>
11/25/20	4.000	1,599.93	Various	800.00	...	799.93	Total of 3 transactions
FSLY 09/25/2020 CALL \$100.00 / CUSIP: / Symbol:							
09/22/20	1.000	579.97	09/21/20	0.00	...	579.97	Short sale closed- call <sup>25</sup> Option written: 09/02/20
FSLY 09/25/2020 CALL \$95.00 / CUSIP: / Symbol:							
09/21/20	1.000	209.98	09/02/20	870.00	...	-660.02	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 149057051

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GPS 10/16/2020 CALL \$20.00 / CUSIP: / Symbol:							
4 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	1.000	56.00	10/06/20	49.00	...	7.00	1 of 4 - Option sale to close-call <sup>25</sup>
	2.000	111.99	10/06/20	80.00	...	31.99	2 of 4 - Option sale to close-call <sup>25</sup>
	2.000	111.99	10/09/20	98.01	...	13.98	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	55.99	10/09/20	40.00	...	15.99	4 of 4 - Option sale to close-call <sup>25</sup>
10/12/20	6.000	335.97	Various	267.01	...	68.96	Total of 4 transactions
10/12/20	3.000	167.99	10/06/20	195.00	27.01 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>503.96</b>		<b>462.01</b>	<b>27.01 W</b>	<b>68.96</b>	
INTC 09/11/2020 CALL \$52.00 / CUSIP: / Symbol:							
09/11/20	1.000	0.00	09/02/20	146.00	...	-146.00	Option expiration- long call
MFIN 02/19/2021 CALL \$2.50 / CUSIP: / Symbol:							
10/16/20	1.000	34.98	08/26/20	70.00	...	-35.02	Option sale to close-call <sup>25</sup>
NKE 09/18/2020 CALL \$116.00 / CUSIP: / Symbol:							
09/14/20	1.000	369.98	09/02/20	200.00	...	169.98	Option sale to close-call <sup>25</sup>
PTON 09/11/2020 CALL \$105.00 / CUSIP: / Symbol:							
09/10/20	1.000	234.97	09/09/20	0.00	...	234.97	Short sale closed- call <sup>25</sup> Option written: 09/03/20
PTON 09/11/2020 CALL \$95.00 / CUSIP: / Symbol:							
09/09/20	1.000	537.97	09/03/20	735.00	...	-197.03	Option sale to close-call <sup>25</sup>
PYPL 10/30/2020 CALL \$210.00 / CUSIP: / Symbol:							
2 transactions for 10/22/20. Total proceeds and cost reported to the IRS.							
	1.000	449.98	10/21/20	400.00	...	49.98	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	449.99	10/21/20	400.00	...	49.99	2 of 2 - Option sale to close-call <sup>25</sup>
10/22/20	2.000	899.97	Various	800.00	...	99.97	Total of 2 transactions
PYPL 10/30/2020 CALL \$215.00 / CUSIP: / Symbol:							
10/30/20	2.000	0.00	10/21/20	746.00	...	-746.00	Option expiration- long call

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Robinhood Securities LLC

Account 149057051

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PYPL 10/30/2020 CALL \$220.00 / CUSIP: / Symbol:							
10/30/20	2.000	457.97	10/30/20	0.00	...	457.97	Short sale closed- call expired Option written: 10/21/20
SAGE 09/11/2020 CALL \$50.50 / CUSIP: / Symbol:							
09/03/20	1.000	309.98	09/02/20	200.00	...	109.98	Option sale to close-call <sup>25</sup>
SLV 12/04/2020 CALL \$23.00 / CUSIP: / Symbol:							
5 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	5.000	0.00	12/03/20	30.00	...	-30.00	1 of 5 - Option expiration- long call
	5.000	0.00	12/03/20	30.00	...	-30.00	2 of 5 - Option expiration- long call
	3.000	0.00	12/03/20	18.00	...	-18.00	3 of 5 - Option expiration- long call
	3.000	0.00	12/03/20	18.00	...	-18.00	4 of 5 - Option expiration- long call
	1.000	0.00	12/03/20	6.00	...	-6.00	5 of 5 - Option expiration- long call
12/04/20	17.000	0.00	Various	102.00	...	-102.00	Total of 5 transactions
SPCE 10/09/2020 CALL \$20.50 / CUSIP: / Symbol:							
3 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	1.000	178.98	10/01/20	78.00	...	100.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	178.99	10/01/20	78.00	...	100.99	2 of 3 - Option sale to close-call <sup>25</sup>
	8.000	1,431.95	10/01/20	624.00	...	807.95	3 of 3 - Option sale to close-call <sup>25</sup>
10/06/20	10.000	1,789.92	Various	780.00	...	1,009.92	Total of 3 transactions
SPCE 10/09/2020 CALL \$21.00 / CUSIP: / Symbol:							
10/07/20	1.000	-78.00	10/06/20	0.00	...	-78.00	Short sale closed- call <sup>25</sup> Option written: 10/01/20
2 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	8.000	-624.03	10/06/20	0.00	78.00 W	-546.03	1 of 2 - Short sale closed- call <sup>25</sup> Option written: 10/01/20
	1.000	-78.02	10/06/20	0.00	78.02 W	0.00	2 of 2 - Short sale closed- call <sup>25</sup> Option written: 10/01/20
10/07/20	9.000	-702.05	Various	0.00	156.02 W	-546.03	Total of 2 transactions
	<b>Security total:</b>	<b>-780.05</b>		<b>0.00</b>	<b>156.02 W</b>	<b>-624.03</b>	

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Robinhood Securities LLC

Account 149057051

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPCE 10/23/2020 CALL \$22.00 / CUSIP: / Symbol:							
<i>15 transactions for 10/20/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	157.99	10/14/20	93.00	...	64.99	1 of 15 - Option sale to close-call <sub>25</sub>
	1.000	157.99	10/14/20	93.00	...	64.99	2 of 15 - Option sale to close-call <sub>25</sub>
	1.000	157.99	10/14/20	93.00	...	64.99	3 of 15 - Option sale to close-call <sub>25</sub>
	1.000	158.00	10/14/20	93.00	...	65.00	4 of 15 - Option sale to close-call <sub>25</sub>
	2.000	315.99	10/14/20	186.00	...	129.99	5 of 15 - Option sale to close-call <sub>25</sub>
	2.000	315.99	10/14/20	186.00	...	129.99	6 of 15 - Option sale to close-call <sub>25</sub>
	2.000	315.99	10/14/20	186.00	...	129.99	7 of 15 - Option sale to close-call <sub>25</sub>
	1.000	227.98	10/14/20	74.00	...	153.98	8 of 15 - Option sale to close-call <sub>25</sub>
	3.000	683.97	10/14/20	222.00	...	461.97	9 of 15 - Option sale to close-call <sub>25</sub>
	6.000	947.97	10/14/20	444.00	...	503.97	10 of 15 - Option sale to close-call <sub>25</sub>
	20.000	3,159.88	10/14/20	1,800.00	...	1,359.88	11 of 15 - Option sale to close-call <sub>25</sub>
	1.000	227.99	10/15/20	100.00	...	127.99	12 of 15 - Option sale to close-call <sub>25</sub>
	3.000	683.98	10/15/20	294.00	...	389.98	13 of 15 - Option sale to close-call <sub>25</sub>
	7.000	1,595.95	10/15/20	686.00	...	909.95	14 of 15 - Option sale to close-call <sub>25</sub>
	9.000	2,051.93	10/15/20	909.00	...	1,142.93	15 of 15 - Option sale to close-call <sub>25</sub>
10/20/20	60.000	11,159.59	Various	5,459.00	...	5,700.59	Total of 15 transactions

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Robinhood Securities LLC

Account 149057051

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPCE 10/23/2020 CALL \$22.50 / CUSIP: / Symbol:							
<i>10 transactions for 10/21/20. Total proceeds and cost reported to the IRS.</i>							
	7.000	-1,169.06	10/20/20	0.00	...	-1,169.06	1 of 10 - Short sale closed- call <sup>25</sup> Option written: 10/15/20
	7.000	-1,155.07	10/20/20	0.00	...	-1,155.07	2 of 10 - Short sale closed- call <sup>25</sup> Option written: 10/15/20
	2.000	-476.05	10/20/20	0.00	...	-476.05	3 of 10 - Short sale closed- call <sup>25</sup> Option written: 10/14/20
	2.000	-334.02	10/20/20	0.00	...	-334.02	4 of 10 - Short sale closed- call <sup>25</sup> Option written: 10/15/20
	1.000	-240.03	10/20/20	0.00	...	-240.03	5 of 10 - Short sale closed- call <sup>25</sup> Option written: 10/14/20
	1.000	-238.04	10/20/20	0.00	...	-238.04	6 of 10 - Short sale closed- call <sup>25</sup> Option written: 10/14/20
	1.000	-220.03	10/20/20	0.00	...	-220.03	7 of 10 - Short sale closed- call <sup>25</sup> Option written: 10/15/20
	1.000	-219.02	10/20/20	0.00	...	-219.02	8 of 10 - Short sale closed- call <sup>25</sup> Option written: 10/15/20
	1.000	-219.02	10/20/20	0.00	...	-219.02	9 of 10 - Short sale closed- call <sup>25</sup> Option written: 10/15/20
	1.000	-180.01	10/20/20	0.00	...	-180.01	10 of 10 - Short sale closed- call <sup>25</sup> Option written: 10/15/20
10/21/20	24.000	-4,450.35	Various	0.00	...	-4,450.35	Total of 10 transactions
<i>12 transactions for 10/21/20. Total proceeds and cost reported to the IRS.</i>							
	6.000	-414.02	10/20/20	0.00	69.00 W	-345.02	1 of 12 - Short sale closed- call <sup>25</sup> Option written: 10/14/20
	2.000	-108.02	10/20/20	0.00	108.02 W	0.00	2 of 12 - Short sale closed- call <sup>25</sup> Option written: 10/14/20
	1.000	-54.02	10/20/20	0.00	54.02 W	0.00	3 of 12 - Short sale closed- call <sup>25</sup> Option written: 10/14/20
	2.000	-108.02	10/20/20	0.00	108.02 W	0.00	4 of 12 - Short sale closed- call <sup>25</sup> Option written: 10/14/20
	1.000	-54.02	10/20/20	0.00	54.02 W	0.00	5 of 12 - Short sale closed- call <sup>25</sup> Option written: 10/14/20
	1.000	-54.02	10/20/20	0.00	54.02 W	0.00	6 of 12 - Short sale closed- call <sup>25</sup> Option written: 10/14/20

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Account 149057051

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SPCE 10/23/2020 CALL \$22.50 / CUSIP: / Symbol: (cont'd)							
	2.000	-216.04	10/20/20	0.00	216.04 W	0.00	7 of 12 - Short sale closed- call <sup>25</sup> Option written: 10/14/20
	1.000	-108.04	10/20/20	0.00	108.04 W	0.00	8 of 12 - Short sale closed- call <sup>25</sup> Option written: 10/14/20
	1.000	-110.02	10/20/20	0.00	110.02 W	0.00	9 of 12 - Short sale closed- call <sup>25</sup> Option written: 10/14/20
	1.000	-110.02	10/20/20	0.00	110.02 W	0.00	10 of 12 - Short sale closed- call <sup>25</sup> Option written: 10/14/20
	2.000	-220.03	10/20/20	0.00	220.03 W	0.00	11 of 12 - Short sale closed- call <sup>25</sup> Option written: 10/14/20
	16.000	-896.07	10/20/20	0.00	896.07 W	0.00	12 of 12 - Short sale closed- call <sup>25</sup> Option written: 10/14/20
10/21/20	36.000	-2,452.34	Various	0.00	2,107.32 W	-345.02	Total of 12 transactions
	<b>Security total:</b>	<b>-6,902.69</b>		<b>0.00</b>	<b>2,107.32 W</b>	<b>-4,795.37</b>	
SPCE 12/11/2020 CALL \$27.00 / CUSIP: / Symbol:							
3 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	1.000	499.97	12/02/20	200.00	...	299.97	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	599.97	12/02/20	200.00	...	399.97	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	649.97	12/02/20	200.00	...	449.97	3 of 3 - Option sale to close-call <sup>25</sup>
12/07/20	3.000	1,749.91	Various	600.00	...	1,149.91	Total of 3 transactions
T 09/18/2020 CALL \$30.00 / CUSIP: / Symbol:							
09/18/20	2.000	0.00	09/02/20	52.00	...	-52.00	Option expiration- long call
TSLA 09/25/2020 CALL \$445.00 / CUSIP: / Symbol:							
09/25/20	1.000	0.00	09/18/20	4,040.00	...	-4,040.00	Option expiration- long call
TSLA 09/25/2020 CALL \$450.00 / CUSIP: / Symbol:							
09/25/20	1.000	0.00	09/17/20	3,062.00	...	-3,062.00	Option expiration- long call
TSLA 09/25/2020 CALL \$490.00 / CUSIP: / Symbol:							
2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	09/21/20	5,374.00	...	-5,374.00	1 of 2 - Option expiration- long call
	1.000	0.00	09/22/20	755.00	...	-755.00	2 of 2 - Option expiration- long call
09/25/20	3.000	0.00	Various	6,129.00	...	-6,129.00	Total of 2 transactions

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Account 149057051

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TSLA 09/25/2020 CALL \$495.00 / CUSIP: / Symbol:							
09/17/20	1.000	2,429.93	08/31/20	5,000.00	...	-2,570.07	Option sale to close-call <sup>25</sup>
09/25/20	1.000	2,419.93	09/25/20	0.00	...	2,419.93	Short sale closed- call expired Option written: 09/18/20
<b>Security total:</b>		<b>4,849.86</b>		<b>5,000.00</b>	...	<b>-150.14</b>	
TSLA 09/25/2020 CALL \$500.00 / CUSIP: / Symbol:							
3 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	1.000	614.97	09/25/20	0.00	...	614.97	1 of 3 - Short sale closed- call expired Option written: 09/22/20
	1.000	1,761.95	09/25/20	0.00	...	1,761.95	2 of 3 - Short sale closed- call expired Option written: 09/17/20
	2.000	4,773.88	09/25/20	0.00	...	4,773.88	3 of 3 - Short sale closed- call expired Option written: 09/21/20
09/25/20	4.000	7,150.80	Various	0.00	...	7,150.80	Total of 3 transactions
TSLA 10/02/2020 CALL \$425.00 / CUSIP: / Symbol:							
10/01/20	1.000	1,594.95	09/28/20	1,360.00	...	234.95	Option sale to close-call <sup>25</sup>
TSLA 10/02/2020 CALL \$430.00 / CUSIP: / Symbol:							
10/02/20	1.000	-125.04	10/01/20	0.00	...	-125.04	Short sale closed- call <sup>25</sup> Option written: 09/28/20
UBER 10/16/2020 CALL \$35.50 / CUSIP: / Symbol:							
2 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	10.000	0.00	10/14/20	480.00	...	-480.00	1 of 2 - Option expiration- long call
	10.000	0.00	10/14/20	450.00	...	-450.00	2 of 2 - Option expiration- long call
10/16/20	20.000	0.00	Various	930.00	...	-930.00	Total of 2 transactions

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Account 149057051

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UBER 10/16/2020 CALL \$36.00 / CUSIP: / Symbol:							
2 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	10.000	249.97	10/16/20	0.00	...	249.97	1 of 2 - Short sale closed- call expired
	10.000	269.97	10/16/20	0.00	...	269.97	Option written: 10/14/20 2 of 2 - Short sale closed- call expired
10/16/20	20.000	519.94	Various	0.00	...	519.94	Option written: 10/14/20 Total of 2 transactions
WERN 10/16/2020 CALL \$50.00 / CUSIP: / Symbol:							
2 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	08/27/20	130.00	...	-130.00	1 of 2 - Option expiration- long call
	9.000	0.00	09/22/20	90.00	...	-90.00	2 of 2 - Option expiration- long call
10/16/20	10.000	0.00	Various	220.00	...	-220.00	Total of 2 transactions
WERN 12/18/2020 CALL \$40.00 / CUSIP: / Symbol:							
11/17/20	1.000	289.98	10/29/20	175.00	...	114.98	Option sale to close-call <sup>25</sup>
11/30/20	1.000	204.98	10/29/20	490.00	...	-285.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>494.96</b>		<b>665.00</b>	...	<b>-170.04</b>	
WERN 12/18/2020 CALL \$45.00 / CUSIP: / Symbol:							
11/18/20	1.000	34.98	11/17/20	0.00	...	34.98	Short sale closed- call <sup>25</sup>
							Option written: 10/29/20
12/01/20	1.000	384.98	11/30/20	0.00	...	384.98	Short sale closed- call <sup>25</sup>
							Option written: 10/29/20
	<b>Security total:</b>	<b>419.96</b>		<b>0.00</b>	...	<b>419.96</b>	
WORK 10/30/2020 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	1.000	199.99	09/17/20	200.02	...	-0.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	199.99	09/17/20	200.00	...	-0.01	2 of 2 - Option sale to close-call <sup>25</sup>
09/21/20	2.000	399.98	Various	400.02	...	-0.04	Total of 2 transactions
09/21/20	1.000	199.98	09/17/20	200.00	0.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>599.96</b>		<b>600.02</b>	<b>0.02 W</b>	<b>-0.04</b>	

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Robinhood Securities LLC

Account 149057051

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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YELP 12/04/2020 CALL \$33.50 / CUSIP: / Symbol:							
11/25/20	2.000	219.98	11/19/20	140.00	...	79.98	Option sale to close-call <sup>25</sup>
ZNGA 01/21/2022 CALL \$7.00 / CUSIP: / Symbol:							
10/02/20	1.000	319.98	08/27/20	290.00	...	29.98	Option sale to close-call <sup>25</sup>
ZNGA 08/28/2020 CALL \$9.00 / CUSIP: / Symbol:							
08/28/20	1.000	14.98	08/27/20	20.00	...	-5.02	Option sale to close-call <sup>25</sup>
ZNGA 10/09/2020 CALL \$9.50 / CUSIP: / Symbol:							
4 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	5.000	24.99	10/08/20	40.01	...	-15.02	1 of 4 - Option sale to close-call <sup>25</sup>
	5.000	19.98	10/08/20	30.00	...	-10.02	2 of 4 - Option sale to close-call <sup>25</sup>
	8.000	39.98	10/08/20	48.00	...	-8.02	3 of 4 - Option sale to close-call <sup>25</sup>
	2.000	9.99	10/08/20	12.00	...	-2.01	4 of 4 - Option sale to close-call <sup>25</sup>
10/08/20	20.000	94.94	Various	130.01	...	-35.07	Total of 4 transactions
10/08/20	5.000	19.99	10/08/20	30.00	10.01 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>114.93</b>		<b>160.01</b>	<b>10.01 W</b>	<b>-35.07</b>	
ZNGA 10/16/2020 CALL \$9.50 / CUSIP: / Symbol:							
10/13/20	10.000	59.97	10/08/20	140.00	...	-80.03	Option sale to close-call <sup>25</sup>
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	6.000	34.74	04/13/20	13.20	...	21.54	1 of 2 - Sale <sup>25</sup>
	19.000	110.01	04/23/20	59.85	...	50.16	2 of 2 - Sale <sup>25</sup>
09/01/20	25.000	144.75	Various	73.05	...	71.70	Total of 2 transactions
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol:							
02/27/20	7.000	302.00	01/06/20	336.07	...	-34.07	Sale <sup>25</sup>
08/31/20	2.000	170.60	04/09/20	98.00	...	72.60	Sale <sup>25</sup>
	<b>Security total:</b>	<b>472.60</b>		<b>434.07</b>	...	<b>38.53</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
2 transactions for 02/27/20. Total proceeds and cost reported to the IRS.							
	3.000	609.35	01/24/20	654.00	...	-44.65	1 of 2 - Sale <sup>25</sup>
	1.000	203.11	01/31/20	206.50	...	-3.39	2 of 2 - Sale <sup>25</sup>
02/27/20	4.000	812.46	Various	860.50	...	-48.04	Total of 2 transactions
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
02/06/20	1.000	2,050.95	02/05/20	2,050.00	...	0.95	Sale <sup>25</sup>
08/31/20	0.001	1.75	02/27/20	0.99	...	0.76	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,052.70</b>		<b>2,050.99</b>	...	<b>1.71</b>	
APPIAN CORPORATION CLASS A COM MON STOCK / CUSIP: 03782L101 / Symbol:							
02/27/20	2.000	88.69	01/10/20	88.00	...	0.69	Sale <sup>25</sup>
2 transactions for 02/27/20. Total proceeds and cost reported to the IRS.							
	2.000	88.69	01/10/20	89.00	0.31 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	88.70	01/13/20	98.78	5.04 W	-5.04	2 of 2 - Sale <sup>25</sup>
02/27/20	4.000	177.39	Various	187.78	5.35 W	-5.04	Total of 2 transactions
2 transactions for 03/19/20. Total proceeds and cost reported to the IRS.							
	1.000	31.30	03/18/20	35.04	...	-3.74	1 of 2 - Sale <sup>25</sup>
	2.000	62.60	03/18/20	60.31	...	2.29	2 of 2 - Sale <sup>25</sup>
03/19/20	3.000	93.90	Various	95.35	...	-1.45	Total of 2 transactions
2 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	4.000	307.45	04/21/20	156.00	...	151.45	1 of 2 - Sale <sup>25</sup>
	2.000	153.73	04/30/20	92.00	...	61.73	2 of 2 - Sale <sup>25</sup>
10/12/20	6.000	461.18	Various	248.00	...	213.18	Total of 2 transactions
	<b>Security total:</b>	<b>821.16</b>		<b>619.13</b>	<b>5.35 W</b>	<b>207.38</b>	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
2 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	0.004	0.97	02/27/20	0.99	...	-0.02	1 of 2 - Sale <sup>25</sup>
	0.000	0.00	02/27/20	0.01	...	-0.01	2 of 2 - Zero proceeds / negative sale <sup>25</sup>
04/24/20	0.004	0.97	Various	1.00	...	-0.03	Total of 2 transactions

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Account 149057051

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AURINIA PHARMACEUTICALS INC OR DINARY SHARES / CUSIP: 05156V102 / Symbol:							
02/27/20	10.000	171.72	01/08/20	210.00	...	-38.28	Sale <sup>25</sup>
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol:							
01/07/20	36.000	66.60	01/03/20	72.54	2.48 W	-3.46	Sale <sup>25</sup>
<i>2 transactions for 02/26/20. Total proceeds and cost reported to the IRS.</i>							
	9.000	13.51	01/08/20	17.69	...	-4.18	1 of 2 - Sale <sup>25</sup>
	6.000	9.01	01/10/20	10.95	...	-1.94	2 of 2 - Sale <sup>25</sup>
02/26/20	15.000	22.52	Various	28.64	...	-6.12	Total of 2 transactions
	<b>Security total:</b>	<b>89.12</b>		<b>101.18</b>	<b>2.48 W</b>	<b>-9.58</b>	
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
<i>2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.</i>							
	10.261	96.41	04/13/20	99.86	...	-3.45	1 of 2 - Sale <sup>25</sup>
	11.739	110.29	04/15/20	100.10	...	10.19	2 of 2 - Sale <sup>25</sup>
09/01/20	22.000	206.70	Various	199.96	...	6.74	Total of 2 transactions
<i>3 transactions for 11/30/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	11.15	11/27/20	10.66	...	0.49	1 of 3 - Sale <sup>25</sup>
	9.000	100.36	11/27/20	95.94	...	4.42	2 of 3 - Sale <sup>25</sup>
	10.000	111.51	11/27/20	106.60	...	4.91	3 of 3 - Sale <sup>25</sup>
11/30/20	20.000	223.02	Various	213.20	...	9.82	Total of 3 transactions
	<b>Security total:</b>	<b>429.72</b>		<b>413.16</b>	...	<b>16.56</b>	
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
<i>5 transactions for 08/31/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	26.05	03/19/20	19.00	...	7.05	1 of 5 - Sale <sup>25</sup>
	10.000	260.49	04/01/20	200.00	...	60.49	2 of 5 - Sale <sup>25</sup>
	4.000	104.20	04/13/20	96.00	...	8.20	3 of 5 - Sale <sup>25</sup>
	6.000	156.29	04/15/20	137.10	...	19.19	4 of 5 - Sale <sup>25</sup>
	0.161	4.20	06/29/20	3.78	...	0.42	5 of 5 - Sale <sup>25</sup>
08/31/20	21.161	551.23	Various	455.88	...	95.35	Total of 5 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BIOPHARMX CORPORATION / CUSIP: 09072X309 / Symbol:							
4 transactions for 02/27/20. Total proceeds and cost reported to the IRS.							
	55.000	19.58	01/07/20	25.30	...	-5.72	1 of 4 - Sale <sup>25</sup>
	27.000	9.61	01/31/20	12.15	...	-2.54	2 of 4 - Sale <sup>25</sup>
	114.000	40.58	02/04/20	46.17	...	-5.59	3 of 4 - Sale <sup>25</sup>
	113.000	40.23	02/06/20	46.22	...	-5.99	4 of 4 - Sale <sup>25</sup>
02/27/20	309.000	110.00	Various	129.84	...	-19.84	Total of 4 transactions
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
2 transactions for 03/19/20. Total proceeds and cost reported to the IRS.							
	2.000	190.00	03/18/20	200.00	...	-10.00	1 of 2 - Sale <sup>25</sup>
	2.000	190.00	03/18/20	180.00	...	10.00	2 of 2 - Sale <sup>25</sup>
03/19/20	4.000	380.00	Various	380.00	...	0.00	Total of 2 transactions
CBDMD, INC. COMMON STOCK / CUSIP: 12482W101 / Symbol:							
9 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	1.000	2.71	04/16/20	0.82	...	1.89	1 of 9 - Sale <sup>25</sup>
	2.000	5.42	04/16/20	1.65	...	3.77	2 of 9 - Sale <sup>25</sup>
	2.000	5.42	04/16/20	1.65	...	3.77	3 of 9 - Sale <sup>25</sup>
	2.000	5.42	04/16/20	1.65	...	3.77	4 of 9 - Sale <sup>25</sup>
	3.000	8.13	04/16/20	2.48	...	5.65	5 of 9 - Sale <sup>25</sup>
	5.000	13.55	04/16/20	4.13	...	9.42	6 of 9 - Sale <sup>25</sup>
	10.000	27.10	04/16/20	8.25	...	18.85	7 of 9 - Sale <sup>25</sup>
	32.000	86.72	04/16/20	26.40	...	60.32	8 of 9 - Sale <sup>25</sup>
	63.000	170.72	04/16/20	51.97	...	118.75	9 of 9 - Sale <sup>25</sup>
08/31/20	120.000	325.19	Various	99.00	...	226.19	Total of 9 transactions
CVS HEALTH CORPORATION / CUSIP: 126650100 / Symbol:							
2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	0.016	0.90	02/27/20	0.99	...	-0.09	1 of 2 - Sale <sup>25</sup>
	0.000	0.00	02/27/20	0.01	...	-0.01	2 of 2 - Zero proceeds / negative sale <sup>25</sup>
03/20/20	0.017	0.90	Various	1.00	...	-0.10	Total of 2 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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CANOPY GROWTH CORPORATION COMM ON SHARES / CUSIP: 138035100 / Symbol:							
11/30/20	10.000	290.50	11/27/20	290.00	...	0.50	Sale <sup>25</sup>
CAPITAL ONE FINANCIAL / CUSIP: 14040H105 / Symbol:							
4 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	0.032	2.30	03/31/20	1.62	...	0.68	1 of 4 - Sale <sup>25</sup>
	3.968	280.74	03/31/20	198.38	...	82.36	2 of 4 - Sale <sup>25</sup>
	0.026	1.86	05/22/20	1.60	...	0.26	3 of 4 - Sale <sup>25</sup>
	0.006	0.44	08/21/20	0.40	...	0.04	4 of 4 - Sale <sup>25</sup>
08/31/20	4.032	285.34	Various	202.00	...	83.34	Total of 4 transactions
CARA THERAPEUTICS, INC. COMMON STOCK / CUSIP: 140755109 / Symbol:							
02/13/20	4.000	69.40	01/07/20	60.00	...	9.40	Sale <sup>25</sup>
CROWDSTRIKE HOLDINGS, INC. CLA SS A COMMON STOCK / CUSIP: 22788C105 / Symbol:							
08/31/20	1.000	120.00	06/05/20	92.00	...	28.00	Sale <sup>25</sup>
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	10.000	319.99	04/01/20	250.00	...	69.99	1 of 2 - Sale <sup>25</sup>
	8.000	255.99	04/09/20	192.00	...	63.99	2 of 2 - Sale <sup>25</sup>
08/31/20	18.000	575.98	Various	442.00	...	133.98	Total of 2 transactions
DEXCOM, INC. COMMON STOCK / CUSIP: 252131107 / Symbol:							
2 transactions for 02/27/20. Total proceeds and cost reported to the IRS.							
	2.980	829.31	02/20/20	873.02	...	-43.71	1 of 2 - Sale <sup>25</sup>
	0.020	5.63	02/20/20	6.23	...	-0.60	2 of 2 - Sale <sup>25</sup>
02/27/20	3.000	834.94	Various	879.25	...	-44.31	Total of 2 transactions
2 transactions for 02/27/20. Total proceeds and cost reported to the IRS.							
	0.393	109.46	02/20/20	115.12	0.05 W	-5.61	1 of 2 - Sale <sup>25</sup>
	0.020	5.63	02/20/20	5.93	0.30 W	0.00	2 of 2 - Sale <sup>25</sup>
02/27/20	0.413	115.09	Various	121.05	0.35 W	-5.61	Total of 2 transactions

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DEXCOM, INC. COMMON STOCK / CUSIP: 252131107 / Symbol: (cont'd)							
2 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	0.000	0.01	02/27/20	0.01	...	0.00	1 of 2 - Sale <sup>25</sup>
	0.003	1.11	02/27/20	1.04	...	0.07	2 of 2 - Sale <sup>25</sup>
04/23/20	0.003	1.12	Various	1.05	...	0.07	Total of 2 transactions
	<b>Security total:</b>	<b>951.15</b>		<b>1,001.35</b>	<b>0.35 W</b>	<b>-49.85</b>	
THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol:							
02/05/20	7.000	1,020.57	02/04/20	1,008.00	...	12.57	Sale <sup>25</sup>
08/31/20	2.000	270.34	04/09/20	210.00	...	60.34	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,290.91</b>		<b>1,218.00</b>	...	<b>72.91</b>	
U.S. GLOBAL JETS ETF / CUSIP: 26922A842 / Symbol:							
08/31/20	3.000	54.87	05/13/20	36.00	...	18.87	Sale <sup>25</sup>
ENERGY TRANSFER LP COMMON UNIT S REPRESENTING LIMITED PARTNER INTERESTS / CUSIP: 29273V100 / Symbol:							
5 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	40.000	233.27	03/18/20	195.99	...	37.28	1 of 5 - Sale <sup>25</sup>
	25.000	145.79	03/18/20	100.00	...	45.79	2 of 5 - Sale <sup>25</sup>
	2.462	14.36	05/20/20	19.83	...	-5.47	3 of 5 - Sale <sup>25</sup>
	17.000	99.14	05/27/20	141.10	...	-41.96	4 of 5 - Sale <sup>25</sup>
	3.957	23.08	08/20/20	25.76	...	-2.68	5 of 5 - Sale <sup>25</sup>
10/12/20	88.419	515.64	Various	482.68	...	32.96	Total of 5 transactions
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	0.000	0.01	02/27/20	0.00	...	0.01	1 of 2 - Sale <sup>25</sup>
	0.005	1.50	02/27/20	0.99	...	0.51	2 of 2 - Sale <sup>25</sup>
08/31/20	0.005	1.51	Various	0.99	...	0.52	Total of 2 transactions

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Robinhood Securities LLC

Account 149057051

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
3 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	10.000	1,290.66	04/01/20	180.00	...	1,110.66	1 of 3 - Sale <sup>25</sup>
	1.000	129.07	05/26/20	40.00	...	89.07	2 of 3 - Sale <sup>25</sup>
	4.000	506.14	05/26/20	160.00	...	346.14	3 of 3 - Sale <sup>25</sup>
10/12/20	15.000	1,925.87	Various	380.00	...	1,545.87	Total of 3 transactions
FITBIT, INC. CLASS A COMMON STOCK / CUSIP: 33812L102 / Symbol:							
01/23/20	30.000	198.91	11/01/19	215.90	...	-16.99	Sale <sup>25</sup>
02/13/20	10.000	64.95	11/01/19	71.96	...	-7.01	Sale <sup>25</sup>
	<b>Security total:</b>	<b>263.86</b>		<b>287.86</b>	...	<b>-24.00</b>	
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
02/27/20	2.000	13.96	02/14/20	16.20	...	-2.24	Sale <sup>25</sup>
4 transactions for 02/27/20. Total proceeds and cost reported to the IRS.							
	1.000	6.98	01/10/20	9.25	2.27 W	0.00	1 of 4 - Sale <sup>25</sup>
	18.000	125.68	01/24/20	162.00	36.32 W	0.00	2 of 4 - Sale <sup>25</sup>
	23.000	160.59	01/30/20	201.25	40.66 W	0.00	3 of 4 - Sale <sup>25</sup>
	10.000	69.82	02/04/20	85.40	2.17 W	-13.41	4 of 4 - Sale <sup>25</sup>
02/27/20	52.000	363.07	Various	457.90	81.42 W	-13.41	Total of 4 transactions
03/20/20	4.000	17.20	03/18/20	25.07	...	-7.87	Sale <sup>25</sup>
3 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	0.391	1.68	03/06/20	3.74	2.06 W	0.00	1 of 3 - Sale <sup>25</sup>
	18.000	77.40	03/18/20	117.32	37.70 W	-2.22	2 of 3 - Sale <sup>25</sup>
	0.609	2.62	03/18/20	4.12	1.50 W	0.00	3 of 3 - Sale <sup>25</sup>
03/20/20	19.000	81.70	Various	125.18	41.26 W	-2.22	Total of 3 transactions
6 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	0.391	2.70	03/18/20	2.45	...	0.25	1 of 6 - Sale <sup>25</sup>
	1.391	9.62	03/27/20	9.12	...	0.50	2 of 6 - Sale <sup>25</sup>
	18.609	128.64	03/27/20	125.95	...	2.69	3 of 6 - Sale <sup>25</sup>
	17.000	117.52	04/09/20	129.50	...	-11.98	4 of 6 - Sale <sup>25</sup>
	0.391	2.70	04/09/20	4.17	...	-1.47	5 of 6 - Sale <sup>25</sup>
	0.609	4.21	04/09/20	4.79	...	-0.58	6 of 6 - Sale <sup>25</sup>
08/31/20	38.391	265.39	Various	275.98	...	-10.59	Total of 6 transactions

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Robinhood Securities LLC

Account 149057051

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol: (cont'd)							
<b>Security total:</b>		<b>741.32</b>		<b>900.33</b>		<b>122.68 W</b>	<b>-36.33</b>
FORTINET, INC. COMMON STOCK / CUSIP: 34959E109 / Symbol:							
2 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	0.009	0.98	02/27/20	0.99	...	-0.01	1 of 2 - Sale <sup>25</sup>
	0.000	0.01	02/27/20	0.01	...	0.00	2 of 2 - Sale <sup>25</sup>
04/23/20	0.010	0.99	Various	1.00	...	-0.01	Total of 2 transactions
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
4 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	14.966	97.73	04/09/20	108.50	...	-10.77	1 of 4 - Sale <sup>25</sup>
	0.034	0.22	04/09/20	0.25	...	-0.03	2 of 4 - Sale <sup>25</sup>
	8.000	52.24	04/29/20	52.24	...	0.00	3 of 4 - Sale <sup>25</sup>
	0.034	0.22	07/28/20	0.23	...	-0.01	4 of 4 - Sale <sup>25</sup>
08/31/20	23.034	150.41	Various	161.22	...	-10.81	Total of 4 transactions
GLOBUS MEDICAL INC / CUSIP: 379577208 / Symbol:							
3 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	2.000	112.94	06/09/20	108.00	...	4.94	1 of 3 - Sale <sup>25</sup>
	1.000	56.47	06/24/20	45.00	...	11.47	2 of 3 - Sale <sup>25</sup>
	3.000	169.41	06/24/20	135.00	...	34.41	3 of 3 - Sale <sup>25</sup>
08/31/20	6.000	338.82	Various	288.00	...	50.82	Total of 3 transactions
GROUPON, INC. COMMON STOCK / CUSIP: 399473206 / Symbol:							
08/31/20	14.000	458.50	04/09/20	252.00	...	206.50	Sale <sup>25</sup>
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
08/31/20	6.000	74.34	03/19/20	39.00	...	35.34	Sale <sup>25</sup>
INVITAE CORPORATION / CUSIP: 46185L103 / Symbol:							
02/27/20	20.000	394.20	02/06/20	454.26	36.04 W	-24.02	Sale <sup>25</sup>
03/19/20	12.000	96.12	03/18/20	132.04	23.95 W	-11.97	Sale <sup>25</sup>
10/12/20	8.000	427.92	04/13/20	127.95	...	299.97	Sale <sup>25</sup>
<b>Security total:</b>		<b>918.24</b>		<b>714.25</b>		<b>59.99 W</b>	<b>263.98</b>

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Robinhood Securities LLC

Account 149057051

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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KINDER MORGAN, INC. / CUSIP: 49456B101 / Symbol:							
3 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	10.000	141.85	03/18/20	100.00	...	41.85	1 of 3 - Sale <sup>25</sup>
	0.169	2.40	05/18/20	2.63	...	-0.23	2 of 3 - Sale <sup>25</sup>
	0.185	2.63	08/18/20	2.67	...	-0.04	3 of 3 - Sale <sup>25</sup>
08/31/20	10.354	146.88	Various	105.30	...	41.58	Total of 3 transactions
LIQUID MEDIA GROUP LTD. COMMON SHARES / CUSIP: 53634Q204 / Symbol:							
5 transactions for 01/15/20. Total proceeds and cost reported to the IRS.							
	25.000	42.74	12/05/19	75.50	...	-32.76	1 of 5 - Sale <sup>25</sup>
	20.000	34.20	12/05/19	61.80	...	-27.60	2 of 5 - Sale <sup>25</sup>
	12.000	20.52	12/05/19	36.82	...	-16.30	3 of 5 - Sale <sup>25</sup>
	4.000	7.10	12/05/19	12.08	...	-4.98	4 of 5 - Sale <sup>25</sup>
	1.000	1.71	12/05/19	3.02	...	-1.31	5 of 5 - Sale <sup>25</sup>
01/15/20	62.000	106.27	Various	189.22	...	-82.95	Total of 5 transactions
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
3 transactions for 02/27/20. Total proceeds and cost reported to the IRS.							
	1.000	37.29	02/06/20	36.00	...	1.29	1 of 3 - Sale <sup>25</sup>
	1.000	37.28	02/06/20	35.40	...	1.88	2 of 3 - Sale <sup>25</sup>
	5.000	186.43	02/07/20	173.75	...	12.68	3 of 3 - Sale <sup>25</sup>
02/27/20	7.000	261.00	Various	245.15	...	15.85	Total of 3 transactions
2 transactions for 03/12/20. Total proceeds and cost reported to the IRS.							
	13.000	413.13	03/05/20	552.99	139.86 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	31.40	03/05/20	42.54	11.14 W	0.00	2 of 2 - Sale <sup>25</sup>
03/12/20	14.000	444.53	Various	595.53	151.00 W	0.00	Total of 2 transactions
4 transactions for 03/13/20. Total proceeds and cost reported to the IRS.							
	59.000	1,872.45	03/05/20	2,477.41	...	-604.96	1 of 4 - Sale <sup>25</sup>
	3.000	95.20	03/05/20	124.50	...	-29.30	2 of 4 - Sale <sup>25</sup>
	1.000	31.74	03/05/20	42.00	...	-10.26	3 of 4 - Sale <sup>25</sup>
	1.000	31.74	03/05/20	41.50	...	-9.76	4 of 4 - Sale <sup>25</sup>
03/13/20	64.000	2,031.13	Various	2,685.41	...	-654.28	Total of 4 transactions

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Account 149057051

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol: (cont'd)							
4 transactions for 03/13/20. Total proceeds and cost reported to the IRS.							
	46.000	1,459.87	03/05/20	1,943.50	231.30 W	-252.33	1 of 4 - Sale <sup>25</sup>
	75.000	2,380.23	03/05/20	3,190.31	810.08 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	31.74	03/05/20	53.39	21.65 W	0.00	3 of 4 - Sale <sup>25</sup>
	13.000	412.57	03/05/20	689.11	276.54 W	0.00	4 of 4 - Sale <sup>25</sup>
03/13/20	135.000	4,284.41	Various	5,876.31	1,339.57 W	-252.33	Total of 4 transactions
7 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	1.000	1.70	03/23/20	33.80	...	-32.10	1 of 7 - Sale <sup>25</sup>
	50.000	84.99	04/02/20	874.05	...	-789.06	2 of 7 - Sale <sup>25</sup>
	21.000	35.70	04/02/20	424.49	...	-388.79	3 of 7 - Sale <sup>25</sup>
	13.000	22.10	04/02/20	402.64	...	-380.54	4 of 7 - Sale <sup>25</sup>
	14.000	23.80	04/02/20	287.02	...	-263.22	5 of 7 - Sale <sup>25</sup>
	10.000	17.00	04/02/20	171.61	...	-154.61	6 of 7 - Sale <sup>25</sup>
	1.000	1.70	04/02/20	31.35	...	-29.65	7 of 7 - Sale <sup>25</sup>
05/26/20	110.000	186.99	Various	2,224.96	...	-2,037.97	Total of 7 transactions
06/05/20	1.000	6.15	04/02/20	20.21	...	-14.06	Sale <sup>25</sup>
	<b>Security total:</b>	<b>7,214.21</b>		<b>11,647.57</b>	<b>1,490.57 W</b>	<b>-2,942.79</b>	
LYFT, INC. CLASS A COMMON STOC K / CUSIP: 55087P104 / Symbol:							
08/31/20	5.000	153.20	04/09/20	150.00	...	3.20	Sale <sup>25</sup>
MACY'S INC. / CUSIP: 55616P104 / Symbol:							
4 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	20.000	139.99	03/31/20	100.00	...	39.99	1 of 4 - Sale <sup>25</sup>
	15.000	105.00	04/09/20	97.50	...	7.50	2 of 4 - Sale <sup>25</sup>
	60.000	419.98	05/12/20	300.00	...	119.98	3 of 4 - Sale <sup>25</sup>
	5.000	35.00	05/28/20	36.00	...	-1.00	4 of 4 - Sale <sup>25</sup>
08/31/20	100.000	699.97	Various	533.50	...	166.47	Total of 4 transactions
MARATHON OIL CORPORATION / CUSIP: 565849106 / Symbol:							
08/31/20	1.000	5.39	03/23/20	3.56	...	1.83	Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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MEDALLION FINANCIAL CORP. COMM ON STOCK / CUSIP: 583928106 / Symbol:							
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	2.000	6.00	05/13/20	4.00	...	2.00	1 of 2 - Sale <sup>25</sup>
	18.000	54.00	05/13/20	36.00	...	18.00	2 of 2 - Sale <sup>25</sup>
08/31/20	20.000	60.00	Various	40.00	...	20.00	Total of 2 transactions
09/01/20	32.000	86.08	05/13/20	64.00	...	22.08	Sale <sup>25</sup>
	<b>Security total:</b>	<b>146.08</b>		<b>104.00</b>	...	<b>42.08</b>	
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
3 transactions for 01/23/20. Total proceeds and cost reported to the IRS.							
	1.000	166.17	12/10/19	150.86	...	15.31	1 of 3 - Sale <sup>25</sup>
	1.000	166.17	12/27/19	159.00	...	7.17	2 of 3 - Sale <sup>25</sup>
	2.000	332.34	01/22/20	332.00	...	0.34	3 of 3 - Sale <sup>25</sup>
01/23/20	4.000	664.68	Various	641.86	...	22.82	Total of 3 transactions
01/23/20	2.000	332.34	01/21/20	333.00	0.66 W	0.00	Sale <sup>25</sup>
4 transactions for 03/03/20. Total proceeds and cost reported to the IRS.							
	8.000	1,326.85	01/22/20	1,328.00	...	-1.15	1 of 4 - Sale <sup>25</sup>
	3.000	497.57	02/20/20	551.40	...	-53.83	2 of 4 - Sale <sup>25</sup>
	2.000	331.71	02/24/20	341.60	...	-9.89	3 of 4 - Sale <sup>25</sup>
	1.000	165.86	02/24/20	170.84	...	-4.98	4 of 4 - Sale <sup>25</sup>
03/03/20	14.000	2,321.99	Various	2,391.84	...	-69.85	Total of 4 transactions
03/03/20	2.000	331.71	01/22/20	332.66	0.02 W	-0.93	Sale <sup>25</sup>
4 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	0.000	0.01	03/03/20	0.01	...	0.00	1 of 4 - Sale <sup>25</sup>
	0.006	1.03	03/03/20	0.99	...	0.04	2 of 4 - Sale <sup>25</sup>
	0.035	5.91	03/16/20	5.12	...	0.79	3 of 4 - Sale <sup>25</sup>
	7.959	1,361.26	04/21/20	1,329.21	...	32.05	4 of 4 - Sale <sup>25</sup>
04/28/20	8.000	1,368.21	Various	1,335.33	...	32.88	Total of 4 transactions
	<b>Security total:</b>	<b>5,018.93</b>		<b>5,034.69</b>	<b>0.68 W</b>	<b>-15.08</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
2 transactions for 02/11/20. Total proceeds and cost reported to the IRS.							
	0.093	2.00	02/10/20	2.25	0.25 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.862	40.05	02/10/20	45.21	5.16 W	0.00	2 of 2 - Sale <sup>25</sup>
02/11/20	1.955	42.05	Various	47.46	5.41 W	0.00	Total of 2 transactions
4 transactions for 03/17/20. Total proceeds and cost reported to the IRS.							
	0.045	1.31	02/25/20	0.95	...	0.36	1 of 4 - Sale <sup>25</sup>
	0.093	2.69	02/25/20	2.20	...	0.49	2 of 4 - Sale <sup>25</sup>
	1.862	53.90	02/25/20	44.26	...	9.64	3 of 4 - Sale <sup>25</sup>
	5.000	144.75	03/16/20	143.00	...	1.75	4 of 4 - Sale <sup>25</sup>
03/17/20	7.000	202.65	Various	190.41	...	12.24	Total of 4 transactions
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	1.000	63.54	03/16/20	28.60	...	34.94	1 of 2 - Sale <sup>25</sup>
	3.000	190.62	04/09/20	96.00	...	94.62	2 of 2 - Sale <sup>25</sup>
09/01/20	4.000	254.16	Various	124.60	...	129.56	Total of 2 transactions
	<b>Security total:</b>	<b>498.86</b>		<b>362.47</b>	<b>5.41 W</b>	<b>141.80</b>	
MOMO INC. AMERICAN DEPOSITARY SHARES / CUSIP: 60879B107 / Symbol:							
02/27/20	30.000	814.48	02/05/20	972.80	...	-158.32	Sale <sup>25</sup>
02/27/20	7.000	190.04	01/31/20	213.50	16.76 W	-6.70	Sale <sup>25</sup>
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	5.000	105.00	03/16/20	116.76	...	-11.76	1 of 2 - Sale <sup>25</sup>
	4.000	84.00	04/09/20	88.00	...	-4.00	2 of 2 - Sale <sup>25</sup>
08/31/20	9.000	189.00	Various	204.76	...	-15.76	Total of 2 transactions
	<b>Security total:</b>	<b>1,193.52</b>		<b>1,391.06</b>	<b>16.76 W</b>	<b>-180.78</b>	
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	0.003	0.86	02/27/20	0.99	...	-0.13	1 of 2 - Sale <sup>25</sup>
	0.000	0.00	02/27/20	0.01	...	-0.01	2 of 2 - Zero proceeds / negative sale <sup>25</sup>
03/20/20	0.003	0.86	Various	1.00	...	-0.14	Total of 2 transactions

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Robinhood Securities LLC

Account 149057051

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol:							
2 transactions for 01/21/20. Total proceeds and cost reported to the IRS.							
	7.000	51.73	01/21/20	67.27	...	-15.54	1 of 2 - Sale <sup>25</sup>
	3.000	22.17	01/21/20	28.95	...	-6.78	2 of 2 - Sale <sup>25</sup>
01/21/20	10.000	73.90	Various	96.22	...	-22.32	Total of 2 transactions
PARK HOTELS & RESORTS INC. COMMON STOCK / CUSIP: 700517105 / Symbol:							
08/31/20	1.000	9.85	04/02/20	7.00	...	2.85	Sale <sup>25</sup>
PENNEY J C CORP INC COMMON STOCK / CUSIP: 708160106 / Symbol:							
4 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	199.000	46.76	05/05/20	65.69	...	-18.93	1 of 4 - Sale <sup>25</sup>
	51.000	11.99	05/05/20	16.84	...	-4.85	2 of 4 - Sale <sup>25</sup>
	49.000	11.51	05/05/20	11.27	...	0.24	3 of 4 - Sale <sup>25</sup>
	50.000	14.99	05/05/20	11.50	...	3.49	4 of 4 - Sale <sup>25</sup>
05/13/20	349.000	85.25	Various	105.30	...	-20.05	Total of 4 transactions
05/13/20	250.000	74.97	03/26/20	100.00	25.03 W	0.00	Sale <sup>25</sup>
05/19/20	1.000	0.21	05/05/20	0.23	...	-0.02	Sale <sup>25</sup>
	<b>Security total:</b>	<b>160.43</b>		<b>205.53</b>	<b>25.03 W</b>	<b>-20.07</b>	
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
2 transactions for 02/10/20. Total proceeds and cost reported to the IRS.							
	1.000	4.28	12/16/19	3.92	...	0.36	1 of 2 - Sale <sup>25</sup>
	4.000	17.12	01/03/20	12.76	...	4.36	2 of 2 - Sale <sup>25</sup>
02/10/20	5.000	21.40	Various	16.68	...	4.72	Total of 2 transactions
PROSHARES ULTRA BLOOMBERG CRUDE OIL / CUSIP: 74347Y888 / Symbol:							
4 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	1.400	47.84	04/13/20	70.00	...	-22.16	1 of 4 - Sale <sup>25</sup>
	2.400	82.01	04/14/20	111.00	...	-28.99	2 of 4 - Sale <sup>25</sup>
	1.800	61.50	04/15/20	76.95	...	-15.45	3 of 4 - Sale <sup>25</sup>
	2.400	82.01	04/16/20	90.00	...	-7.99	4 of 4 - Sale <sup>25</sup>
08/31/20	8.000	273.36	Various	347.95	...	-74.59	Total of 4 transactions

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Robinhood Securities LLC

Account 149057051

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MOTLEY FOOL 100 INDEX ETF / CUSIP: 74933W601 / Symbol:							
3 transactions for 02/20/20. Total proceeds and cost reported to the IRS.							
	0.379	10.42	02/20/20	10.37	...	0.05	1 of 3 - Sale <sup>25</sup>
	0.870	23.89	02/20/20	23.83	...	0.06	2 of 3 - Sale <sup>25</sup>
	16.000	439.45	02/20/20	438.40	...	1.05	3 of 3 - Sale <sup>25</sup>
02/20/20	17.249	473.76	Various	472.60	...	1.16	Total of 3 transactions
2 transactions for 02/27/20. Total proceeds and cost reported to the IRS.							
	1.000	24.28	02/20/20	27.40	...	-3.12	1 of 2 - Sale <sup>25</sup>
	16.000	388.48	02/25/20	424.00	...	-35.52	2 of 2 - Sale <sup>25</sup>
02/27/20	17.000	412.76	Various	451.40	...	-38.64	Total of 2 transactions
	<b>Security total:</b>	<b>886.52</b>		<b>924.00</b>	...	<b>-37.48</b>	
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
3 transactions for 02/27/20. Total proceeds and cost reported to the IRS.							
	6.000	644.76	02/06/20	765.00	...	-120.24	1 of 3 - Sale <sup>25</sup>
	2.000	214.92	02/14/20	260.00	...	-45.08	2 of 3 - Sale <sup>25</sup>
	2.000	214.92	02/25/20	240.00	...	-25.08	3 of 3 - Sale <sup>25</sup>
02/27/20	10.000	1,074.60	Various	1,265.00	...	-190.40	Total of 3 transactions
02/27/20	2.000	214.92	02/06/20	250.00	0.16 W	-34.92	Sale <sup>25</sup>
04/24/20	0.000	0.01	02/27/20	0.01	...	0.00	Sale <sup>25</sup>
04/24/20	0.009	1.12	02/27/20	1.15	0.03 W	0.00	Sale <sup>25</sup>
6 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	0.009	2.00	04/28/20	1.10	...	0.90	1 of 6 - Sale <sup>25</sup>
	0.991	221.53	04/28/20	118.93	...	102.60	2 of 6 - Sale <sup>25</sup>
	1.000	223.54	04/30/20	122.00	...	101.54	3 of 6 - Sale <sup>25</sup>
	1.000	223.53	05/20/20	115.00	...	108.53	4 of 6 - Sale <sup>25</sup>
	1.000	223.53	05/26/20	108.50	...	115.03	5 of 6 - Sale <sup>25</sup>
	1.000	223.54	05/26/20	108.00	...	115.54	6 of 6 - Sale <sup>25</sup>
10/12/20	5.000	1,117.67	Various	573.53	...	544.14	Total of 6 transactions
	<b>Security total:</b>	<b>2,408.32</b>		<b>2,089.69</b>	<b>0.19 W</b>	<b>318.82</b>	

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Robinhood Securities LLC

Account 149057051

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SPDR PORTFOLIO S&P 500 GROWTH ETF / CUSIP: 78464A409 / Symbol:							
01/30/20	11.000	476.86	12/13/19	451.00	...	25.86	Sale <sup>25</sup>
02/27/20	1.000	40.37	12/13/19	41.00	...	-0.63	Sale <sup>25</sup>
	<b>Security total:</b>	<b>517.23</b>		<b>492.00</b>	...	<b>25.23</b>	
SAGE THERAPEUTICS, INC. COMMON STOCK / CUSIP: 78667J108 / Symbol:							
4 transactions for 03/03/20. Total proceeds and cost reported to the IRS.							
	10.000	513.25	02/28/20	500.00	...	13.25	1 of 4 - Sale <sup>25</sup>
	6.000	319.24	02/28/20	300.00	...	19.24	2 of 4 - Sale <sup>25</sup>
	71.000	3,777.69	02/28/20	3,550.00	...	227.69	3 of 4 - Sale <sup>25</sup>
	10.000	513.25	03/03/20	521.40	...	-8.15	4 of 4 - Sale <sup>25</sup>
03/03/20	97.000	5,123.43	Various	4,871.40	...	252.03	Total of 4 transactions
14 transactions for 03/03/20. Total proceeds and cost reported to the IRS.							
	1.000	53.21	12/05/19	68.80	15.59 W	0.00	1 of 14 - Sale <sup>25</sup>
	1.000	53.21	12/05/19	64.00	10.79 W	0.00	2 of 14 - Sale <sup>25</sup>
	1.000	53.21	12/05/19	64.00	10.79 W	0.00	3 of 14 - Sale <sup>25</sup>
	1.000	53.21	12/05/19	63.40	10.19 W	0.00	4 of 14 - Sale <sup>25</sup>
	2.000	106.41	12/05/19	126.00	19.59 W	0.00	5 of 14 - Sale <sup>25</sup>
	5.000	266.03	01/23/20	347.80	81.77 W	0.00	6 of 14 - Sale <sup>25</sup>
	4.000	212.83	01/30/20	266.08	53.25 W	0.00	7 of 14 - Sale <sup>25</sup>
	4.000	205.30	02/28/20	253.25	36.20 W	-11.75	8 of 14 - Sale <sup>25</sup>
	1.000	51.33	02/28/20	65.59	14.26 W	0.00	9 of 14 - Sale <sup>25</sup>
	1.000	51.33	02/28/20	60.79	9.46 W	0.00	10 of 14 - Sale <sup>25</sup>
	1.000	51.33	02/28/20	60.79	9.46 W	0.00	11 of 14 - Sale <sup>25</sup>
	1.000	51.33	02/28/20	60.19	8.86 W	0.00	12 of 14 - Sale <sup>25</sup>
	2.000	102.65	02/28/20	119.59	16.94 W	0.00	13 of 14 - Sale <sup>25</sup>
	5.000	256.63	02/28/20	331.77	75.14 W	0.00	14 of 14 - Sale <sup>25</sup>
03/03/20	30.000	1,568.01	Various	1,952.05	372.29 W	-11.75	Total of 14 transactions
9 transactions for 03/05/20. Total proceeds and cost reported to the IRS.							
	5.000	254.08	03/03/20	325.14	...	-71.06	1 of 9 - Sale <sup>25</sup>
	2.000	101.63	03/03/20	116.94	...	-15.31	2 of 9 - Sale <sup>25</sup>
	0.980	49.80	03/03/20	62.98	...	-13.18	3 of 9 - Sale <sup>25</sup>
	1.000	50.81	03/03/20	59.46	...	-8.65	4 of 9 - Sale <sup>25</sup>
	0.980	49.79	03/03/20	58.26	...	-8.47	5 of 9 - Sale <sup>25</sup>
	1.000	50.81	03/03/20	58.86	...	-8.05	6 of 9 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 149057051

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SAGE THERAPEUTICS, INC. COMMON STOCK / CUSIP: 78667J108 / Symbol: (cont'd)							
	0.020	0.99	03/03/20	1.27	...	-0.28	7 of 9 - Sale <sup>25</sup>
	0.020	1.02	03/03/20	1.19	...	-0.17	8 of 9 - Sale <sup>25</sup>
	0.000	0.02	03/03/20	0.02	...	0.00	9 of 9 - Sale <sup>25</sup>
03/05/20	11.000	558.95	Various	684.12	...	-125.17	Total of 9 transactions
	5 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	0.020	1.25	03/03/20	1.23	...	0.02	1 of 5 - Sale <sup>25</sup>
	3.000	190.10	03/25/20	122.96	...	67.14	2 of 5 - Sale <sup>25</sup>
	3.000	190.11	04/09/20	90.00	...	100.11	3 of 5 - Sale <sup>25</sup>
	6.000	380.21	04/09/20	174.00	...	206.21	4 of 5 - Sale <sup>25</sup>
	3.000	190.10	05/26/20	119.14	...	70.96	5 of 5 - Sale <sup>25</sup>
10/12/20	15.020	951.77	Various	507.33	...	444.44	Total of 5 transactions
	<b>Security total:</b>	<b>8,202.16</b>		<b>8,014.90</b>	<b>372.29 W</b>	<b>559.55</b>	
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
	8 transactions for 02/27/20. Total proceeds and cost reported to the IRS.						
	11.444	295.05	02/13/20	299.24	...	-4.19	1 of 8 - Sale <sup>25</sup>
	10.818	278.91	02/13/20	282.87	...	-3.96	2 of 8 - Sale <sup>25</sup>
	0.182	4.70	02/13/20	4.84	...	-0.14	3 of 8 - Sale <sup>25</sup>
	0.167	4.31	02/13/20	4.37	...	-0.06	4 of 8 - Sale <sup>25</sup>
	0.062	1.59	02/13/20	1.63	...	-0.04	5 of 8 - Sale <sup>25</sup>
	0.057	1.48	02/13/20	1.50	...	-0.02	6 of 8 - Sale <sup>25</sup>
	2.000	51.57	02/14/20	56.00	...	-4.43	7 of 8 - Sale <sup>25</sup>
	0.270	6.96	02/18/20	7.63	...	-0.67	8 of 8 - Sale <sup>25</sup>
02/27/20	25.000	644.57	Various	658.08	...	-13.51	Total of 8 transactions
02/27/20	0.244	6.29	02/13/20	6.38	0.09 W	0.00	Sale <sup>25</sup>
	3 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	4.000	126.90	04/02/20	100.00	...	26.90	1 of 3 - Sale <sup>25</sup>
	4.000	126.90	04/09/20	100.00	...	26.90	2 of 3 - Sale <sup>25</sup>
	7.000	222.08	04/13/20	168.00	...	54.08	3 of 3 - Sale <sup>25</sup>
10/12/20	15.000	475.88	Various	368.00	...	107.88	Total of 3 transactions
	<b>Security total:</b>	<b>1,126.74</b>		<b>1,032.46</b>	<b>0.09 W</b>	<b>94.37</b>	
SQUARE, INC. / CUSIP: 852234103 / Symbol:							
10/12/20	6.000	1,128.00	08/25/20	900.00	...	228.00	Sale <sup>25</sup>

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Account 149057051

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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STEALTH BIOTHERAPEUTICS CORP. ADS / CUSIP: 85789A105 / Symbol:							
01/03/20	1.000	2.88	12/27/19	4.39	...	-1.51	Sale <sup>25</sup>
	3 transactions for 03/05/20. Total proceeds and cost reported to the IRS.						
	800.000	1,991.94	03/03/20	3,200.00	...	-1,208.06	1 of 3 - Sale <sup>25</sup>
	430.000	1,070.66	03/03/20	1,569.50	...	-498.84	2 of 3 - Sale <sup>25</sup>
	200.000	497.99	03/03/20	730.00	...	-232.01	3 of 3 - Sale <sup>25</sup>
03/05/20	1,430.000	3,560.59	Various	5,499.50	...	-1,938.91	Total of 3 transactions
08/31/20	100.000	155.99	04/16/20	165.00	...	-9.01	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,719.46</b>		<b>5,668.89</b>	...	<b>-1,949.43</b>	
SYNOPSIS, INC. COMMON STOCK / CUSIP: 871607107 / Symbol:							
02/27/20	2.000	273.77	02/06/20	310.00	...	-36.23	Sale <sup>25</sup>
TJX COMPANIES, INC. (THE) / CUSIP: 872540109 / Symbol:							
08/31/20	3.000	165.42	03/23/20	99.99	...	65.43	Sale <sup>25</sup>
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
	2 transactions for 02/05/20. Total proceeds and cost reported to the IRS.						
	0.001	0.57	01/31/20	0.45	...	0.12	1 of 2 - Sale <sup>25</sup>
	0.620	500.53	01/31/20	399.55	...	100.98	2 of 2 - Sale <sup>25</sup>
02/05/20	0.621	501.10	Various	400.00	...	101.10	Total of 2 transactions
02/05/20	0.379	305.88	02/04/20	357.20	51.32 W	0.00	Sale <sup>25</sup>
02/24/20	0.621	519.15	02/05/20	465.72	...	53.43	Sale <sup>25</sup>
02/24/20	0.379	316.89	02/05/20	335.60	18.71 W	0.00	Sale <sup>25</sup>
	5 transactions for 03/19/20. Total proceeds and cost reported to the IRS.						
	0.378	151.06	03/18/20	162.90	...	-11.84	1 of 5 - Sale <sup>25</sup>
	0.000	0.00	03/18/20	0.01	...	-0.01	2 of 5 - Zero proceeds / negative sale <sup>25</sup>
	0.000	0.00	03/18/20	0.00	...	0.00	3 of 5 - Zero proceeds / negative sale <sup>25</sup>
	0.621	248.38	03/18/20	237.20	...	11.18	4 of 5 - Sale <sup>25</sup>
	1.000	399.99	03/18/20	380.00	...	19.99	5 of 5 - Sale <sup>25</sup>
03/19/20	1.999	799.43	Various	780.11	...	19.32	Total of 5 transactions

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Account 149057051

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
<i>9 transactions for 03/19/20. Total proceeds and cost reported to the IRS.</i>							
	0.001	0.54	02/27/20	1.06	0.52 W	0.00	1 of 9 - Sale <sup>25</sup>
	0.000	0.00	02/27/20	0.01	0.01 W	0.00	2 of 9 - Zero proceeds / negative sale <sup>25</sup>
	0.378	146.53	03/17/20	169.70	23.17 W	0.00	3 of 9 - Sale <sup>25</sup>
	0.621	240.93	03/17/20	248.38	7.45 W	0.00	4 of 9 - Sale <sup>25</sup>
	0.001	0.55	03/17/20	1.08	0.53 W	0.00	5 of 9 - Sale <sup>25</sup>
	0.000	0.00	03/17/20	0.01	0.01 W	0.00	6 of 9 - Zero proceeds / negative sale <sup>25</sup>
	0.378	151.07	03/18/20	170.46	19.39 W	0.00	7 of 9 - Sale <sup>25</sup>
	0.621	248.38	03/18/20	249.62	1.24 W	0.00	8 of 9 - Sale <sup>25</sup>
	0.001	0.55	03/18/20	1.07	0.52 W	0.00	9 of 9 - Sale <sup>25</sup>
03/19/20	2.001	788.55	Various	841.39	52.84 W	0.00	Total of 9 transactions
<i>2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.</i>							
	0.000	0.02	03/18/20	0.00	...	0.02	1 of 2 - Sale <sup>25</sup>
	0.007	3.06	03/18/20	1.05	...	2.01	2 of 2 - Sale <sup>25</sup>
08/31/20	0.007	3.08	Various	1.05	...	2.03	Total of 2 transactions
10/12/20	3.000	1,320.59	09/23/20	1,200.00	...	120.59	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,554.67</b>		<b>4,381.07</b>	<b>122.87 W</b>	<b>296.47</b>	
THE TRADE DESK, INC. CLASS A C OMMON STOCK / CUSIP: 88339J105 / Symbol:							
<i>2 transactions for 02/27/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	491.97	02/14/20	610.00	59.02 W	-59.01	1 of 2 - Sale <sup>25</sup>
	1.000	245.99	02/14/20	306.52	60.53 W	0.00	2 of 2 - Sale <sup>25</sup>
02/27/20	3.000	737.96	Various	916.52	119.55 W	-59.01	Total of 2 transactions
<i>2 transactions for 03/19/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	160.00	03/16/20	230.27	...	-70.27	1 of 2 - Sale <sup>25</sup>
	1.000	160.00	03/18/20	209.02	...	-49.02	2 of 2 - Sale <sup>25</sup>
03/19/20	2.000	320.00	Various	439.29	...	-119.29	Total of 2 transactions
	<b>Security total:</b>	<b>1,057.96</b>		<b>1,355.81</b>	<b>119.55 W</b>	<b>-178.30</b>	
TILRAY, INC. CLASS 2 COMMON ST OCK / CUSIP: 88688T100 / Symbol:							
11/30/20	25.000	222.57	11/27/20	217.25	...	5.32	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 149057051

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
02/20/20	2.000	76.64	01/10/20	65.52	...	11.12	Sale <sup>25</sup>
UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol:							
2 transactions for 02/27/20. Total proceeds and cost reported to the IRS.							
	1.000	31.62	12/12/19	28.50	...	3.12	1 of 2 - Sale <sup>25</sup>
	1.000	31.62	01/07/20	31.50	...	0.12	2 of 2 - Sale <sup>25</sup>
02/27/20	2.000	63.24	Various	60.00	...	3.24	Total of 2 transactions
02/27/20	3.000	94.86	01/10/20	102.00	7.14 W	0.00	Sale <sup>25</sup>
3 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	3.000	110.25	03/18/20	52.14	...	58.11	1 of 3 - Sale <sup>25</sup>
	7.000	257.24	03/18/20	105.00	...	152.24	2 of 3 - Sale <sup>25</sup>
	7.000	257.24	04/09/20	189.00	...	68.24	3 of 3 - Sale <sup>25</sup>
10/12/20	17.000	624.73	Various	346.14	...	278.59	Total of 3 transactions
	<b>Security total:</b>	<b>782.83</b>		<b>508.14</b>	<b>7.14 W</b>	<b>281.83</b>	
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
08/31/20	3.000	111.78	04/13/20	90.00	...	21.78	Sale <sup>25</sup>
UNITED STATES OIL FUND, LP / CUSIP: 91232N207 / Symbol:							
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	3.500	108.15	04/21/20	81.20	...	26.95	1 of 2 - Sale <sup>25</sup>
	5.500	169.95	04/27/20	99.00	...	70.95	2 of 2 - Sale <sup>25</sup>
08/31/20	9.000	278.10	Various	180.20	...	97.90	Total of 2 transactions
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
10/09/20	1,000.000	21,413.34 N	10/09/20	21,228.00	...	185.34	Sold via call assignment <sup>25</sup> Proceeds or Cost adjusted for option premium of \$413.93
ZOOM VIDEO COMMUNICATIONS, INC. CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
4 transactions for 02/19/20. Total proceeds and cost reported to the IRS.							
	1.000	100.10	01/10/20	73.47	...	26.63	1 of 4 - Sale <sup>25</sup>
	1.000	100.10	01/10/20	73.00	...	27.10	2 of 4 - Sale <sup>25</sup>
	1.000	100.10	01/10/20	73.00	...	27.10	3 of 4 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 149057051

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol: (cont'd)							
	2.000	200.14	01/14/20	147.96	...	52.18	4 of 4 - Sale <sup>25</sup>
02/19/20	5.000	500.44	Various	367.43	...	133.01	Total of 4 transactions
	2 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	3.000	1,531.78	03/24/20	405.00	...	1,126.78	1 of 2 - Sale <sup>25</sup>
	1.000	510.60	04/02/20	129.00	...	381.60	2 of 2 - Sale <sup>25</sup>
09/23/20	4.000	2,042.38	Various	534.00	...	1,508.38	Total of 2 transactions
	<b>Security total:</b>	<b>2,542.82</b>		<b>901.43</b>	...	<b>1,641.39</b>	
ZYNGA INC. CLASS A COMMON STOCK / CUSIP: 98986T108 / Symbol:							
	2 transactions for 03/03/20. Total proceeds and cost reported to the IRS.						
	1.000	6.86	02/27/20	6.85	...	0.01	1 of 2 - Sale <sup>25</sup>
03/03/20	100.000	686.48	02/27/20	675.00	...	11.48	2 of 2 - Sale <sup>25</sup>
	101.000	693.34	Various	681.85	...	11.49	Total of 2 transactions
	2 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	33.000	310.36	04/24/20	247.50	...	62.86	1 of 2 - Sale <sup>25</sup>
	13.000	122.27	06/04/20	117.00	...	5.27	2 of 2 - Sale <sup>25</sup>
10/12/20	46.000	432.63	Various	364.50	...	68.13	Total of 2 transactions
	<b>Security total:</b>	<b>1,125.97</b>		<b>1,046.35</b>	...	<b>79.62</b>	
ACCENTURE PLC / CUSIP: G1151C101 / Symbol:							
01/30/20	1.000	206.48	01/03/20	207.27	0.79 W	0.00	Sale <sup>25</sup>
	2 transactions for 01/31/20. Total proceeds and cost reported to the IRS.						
	2.000	410.91	01/03/20	414.54	...	-3.63	1 of 2 - Sale <sup>25</sup>
	1.000	205.46	01/07/20	204.79	...	0.67	2 of 2 - Sale <sup>25</sup>
01/31/20	3.000	616.37	Various	619.33	...	-2.96	Total of 2 transactions
	<b>Security total:</b>	<b>822.85</b>		<b>826.60</b>	<b>0.79 W</b>	<b>-2.96</b>	
PACIFIC DRILLING S.A. ORDINARY SHARES PAR \$0.01 (LUXEMBOURG) / CUSIP: L7257P205 / Symbol:							
	16 transactions for 02/27/20. Total proceeds and cost reported to the IRS.						
	2.000	2.22	01/09/20	9.40	7.18 W	0.00	1 of 16 - Sale <sup>25</sup>
	3.000	3.33	01/09/20	14.10	10.77 W	0.00	2 of 16 - Sale <sup>25</sup>
	5.000	5.55	01/09/20	23.50	17.95 W	0.00	3 of 16 - Sale <sup>25</sup>
	5.000	5.55	01/14/20	20.00	14.45 W	0.00	4 of 16 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 149057051

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PACIFIC DRILLING S.A. ORDINARY SHARES PAR \$0.01 (LUXEMBOURG) / CUSIP: L7257P205 / Symbol: (cont'd)							
	6.000	6.66	01/15/20	22.50	15.84 W	0.00	5 of 16 - Sale <sup>25</sup>
	10.000	11.10	01/15/20	30.00	18.90 W	0.00	6 of 16 - Sale <sup>25</sup>
	10.000	11.10	01/15/20	30.00	18.90 W	0.00	7 of 16 - Sale <sup>25</sup>
	19.000	21.09	01/22/20	43.70	22.61 W	0.00	8 of 16 - Sale <sup>25</sup>
	21.000	23.31	01/24/20	40.95	17.64 W	0.00	9 of 16 - Sale <sup>25</sup>
	19.000	21.09	01/28/20	32.49	11.40 W	0.00	10 of 16 - Sale <sup>25</sup>
	25.000	27.75	02/04/20	40.50	12.75 W	0.00	11 of 16 - Sale <sup>25</sup>
	36.000	39.96	02/06/20	63.18	23.22 W	0.00	12 of 16 - Sale <sup>25</sup>
	4.000	4.44	02/26/20	4.80	0.36 W	0.00	13 of 16 - Sale <sup>25</sup>
	4.000	4.44	02/26/20	4.80	0.36 W	0.00	14 of 16 - Sale <sup>25</sup>
	2.000	2.22	02/26/20	2.40	0.18 W	0.00	15 of 16 - Sale <sup>25</sup>
	16.000	17.76	02/26/20	19.20	1.44 W	0.00	16 of 16 - Sale <sup>25</sup>
02/27/20	187.000	207.57	Various	401.52	193.95 W	0.00	Total of 16 transactions
03/12/20	1.000	0.78	03/03/20	4.99	4.21 W	0.00	Sale <sup>25</sup>
	26 transactions for 11/18/20. Total proceeds and cost reported to the IRS.						
	36.000	1.44	03/25/20	44.82	...	-43.38	1 of 26 - Sale <sup>25</sup>
	19.000	0.76	03/25/20	34.01	...	-33.25	2 of 26 - Sale <sup>25</sup>
	21.000	0.84	03/25/20	30.24	...	-29.40	3 of 26 - Sale <sup>25</sup>
	25.000	1.00	03/25/20	27.75	...	-26.75	4 of 26 - Sale <sup>25</sup>
	10.000	0.40	03/25/20	24.90	...	-24.50	5 of 26 - Sale <sup>25</sup>
	10.000	0.40	03/25/20	24.90	...	-24.50	6 of 26 - Sale <sup>25</sup>
	19.000	0.76	03/25/20	22.80	...	-22.04	7 of 26 - Sale <sup>25</sup>
	5.000	0.20	03/25/20	20.95	...	-20.75	8 of 26 - Sale <sup>25</sup>
	6.000	0.24	03/25/20	19.44	...	-19.20	9 of 26 - Sale <sup>25</sup>
	5.000	0.20	03/25/20	17.45	...	-17.25	10 of 26 - Sale <sup>25</sup>
	3.000	0.12	03/25/20	12.57	...	-12.45	11 of 26 - Sale <sup>25</sup>
	16.000	0.64	03/25/20	11.04	...	-10.40	12 of 26 - Sale <sup>25</sup>
	13.000	0.52	03/25/20	7.80	...	-7.28	13 of 26 - Sale <sup>25</sup>
	1.000	0.04	03/25/20	4.81	...	-4.77	14 of 26 - Sale <sup>25</sup>
	1.000	0.04	03/25/20	4.19	...	-4.15	15 of 26 - Sale <sup>25</sup>
	4.000	0.16	03/25/20	2.76	...	-2.60	16 of 26 - Sale <sup>25</sup>
	4.000	0.16	03/25/20	2.76	...	-2.60	17 of 26 - Sale <sup>25</sup>
	2.000	0.08	03/25/20	1.38	...	-1.30	18 of 26 - Sale <sup>25</sup>
	125.000	4.98	03/30/20	50.00	...	-45.02	19 of 26 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 149057051

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PACIFIC DRILLING S.A. ORDINARY SHARES PAR \$0.01 (LUXEMBOURG) / CUSIP: L7257P205 / Symbol: (cont'd)							
	85.000	3.39	04/14/20	51.00	...	-47.61	20 of 26 - Sale <sup>25</sup>
	310.000	12.36	05/11/20	148.80	...	-136.44	21 of 26 - Sale <sup>25</sup>
	315.000	12.56	05/12/20	151.20	...	-138.64	22 of 26 - Sale <sup>25</sup>
	265.000	10.57	05/19/20	119.25	...	-108.68	23 of 26 - Sale <sup>25</sup>
	200.000	7.97	05/28/20	85.20	...	-77.23	24 of 26 - Sale <sup>25</sup>
	225.000	8.97	06/04/20	90.00	...	-81.03	25 of 26 - Sale <sup>25</sup>
	400.000	15.95	08/21/20	100.00	...	-84.05	26 of 26 - Sale <sup>25</sup>
11/18/20	2,125.000	84.75	Various	1,110.02	...	-1,025.27	Total of 26 transactions
	<b>Security total:</b>	<b>293.10</b>		<b>1,516.53</b>	<b>198.16 W</b>	<b>-1,025.27</b>	
ELASTIC N.V. / CUSIP: N14506104 / Symbol:							
10/12/20	2.000	247.54	03/16/20	90.00	...	157.54	Sale <sup>25</sup>
<b>Totals :</b>		<b>132,453.24</b>		<b>142,316.10</b>	<b>4,850.76 W</b>	<b>-5,012.10</b>	

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIOPHARMX CORPORATION / CUSIP: 09072X309 / Symbol:							
02/27/20	4.000	1.42	08/08/18	18.94	...	-17.52	Sale <sup>25</sup>
SAGE THERAPEUTICS, INC. COMMON STOCK / CUSIP: 78667J108 / Symbol:							
03/05/20	0.000	0.01	03/03/20	0.01	...	0.00	Sale <sup>25</sup>
<b>Totals :</b>		<b>1.43</b>		<b>18.95</b>		<b>-17.52</b>	

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Robinhood Securities LLC

Account 149057051

**Proceeds Not Reported to the IRS**

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked.

**Description of property**

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
PROSHARES ULTRA BLOOMBERG CRUD E OIL / CUSIP: 74347Y888 / Symbol: 05/01/20	0.600	18.21	04/13/20	30.00	...	-11.79	Gain or loss
UNITED STATES OIL FUND, LP / CUSIP: 91232N207 / Symbol: 05/12/20	0.250	4.09	04/21/20	5.80	...	-1.71	Gain or loss
<b>Totals:</b>		<b>22.30</b>		<b>35.80</b>	...	<b>-13.50</b>	

Robinhood Securities LLC

Account 149057051

## Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
BANK OF AMERICA CORPORATION	060505104		06/26/20	3.78	Qualified dividend	
CAPITAL ONE FINANCIAL	14040H105		05/21/20	1.60	Qualified dividend	
			08/20/20	0.40	Qualified dividend	
				<b>2.00</b>	<b>Total Dividends &amp; distributions</b>	
FORD MOTOR COMPANY	345370860		03/02/20	2.85	Qualified dividend	
GENERAL ELECTRIC COMPANY	369604103		07/27/20	0.23	Qualified dividend	
KINDER MORGAN, INC.	49456B101		05/15/20	2.63	Nondividend distribution	03
			08/17/20	2.67	Nondividend distribution	03
				<b>5.30</b>	<b>Total Dividends &amp; distributions</b>	
MICROSOFT CORPORATION COMMON S TOCK	594918104		03/12/20	5.10	Qualified dividend	
			06/11/20	2.06	Qualified dividend	
			09/10/20	5.13	Qualified dividend	
			12/10/20	8.44	Qualified dividend	
				<b>20.73</b>	<b>Total Dividends &amp; distributions</b>	
MOMO INC. AMERICAN DEPOSITARY SHARES	60879B107		04/30/20	3.80	Nonqualified dividend	
ACCENTURE PLC	G1151C101		02/14/20	3.20	Nonqualified dividend	
				<b>41.89</b>	<b>Total Dividends &amp; distributions</b>	

Robinhood Securities LLC

Account 149057051

**Other Receipts and Reconciliations**

2020

02/14/2021

*This section of your tax information statement contains the detail of the year-to-year adjustments between cash distributions and reportable income for Unit Investment Trusts, reflecting the fact that income is recognized at the time it is received by the trust, rather than when a distribution is made.*

*Also shown are distributions whose tax impact will be defined by other documentation. In the case of partnership distributions, you will receive Schedule K-1 (Form 1065) directly from the partnership(s). For deferred income, the Form 1099-DIV issued in the following year will characterize the amounts received.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
ENERGY TRANSFER LP COMMON UNIT S REPRESENTING LIMITED PARTNER INTERESTS	29273V100	05/19/20	19.83	Partnership distribution	
		08/19/20	25.76	Partnership distribution	
			45.59	Total Partnership distribution	
			<b>45.59</b>	<b>Total Partnership distribution</b>	

Robinhood Securities LLC

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**Fees and Expenses**

2020

02/14/2021

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/05/20	-5.00	Margin interest paid	
		03/06/20	-14.09	Margin interest paid	
		04/06/20	-1.02	Margin interest paid	
		05/05/20	-3.42	Margin interest paid	
		05/28/20	0.01	Adj- Margin interest paid	
		06/04/20	-5.00	Margin interest paid	
		06/04/20	-11.75	Margin interest paid	
		07/06/20	-5.00	Margin interest paid	
		07/06/20	-15.69	Margin interest paid	
		07/14/20	0.07	Adj- Margin interest paid	
		08/03/20	-5.00	Margin interest paid	
		08/03/20	-16.12	Margin interest paid	
		09/02/20	-5.00	Margin interest paid	
		09/02/20	-18.34	Margin interest paid	
		10/02/20	-5.00	Margin interest paid	
		10/02/20	-20.56	Margin interest paid	
		11/02/20	-5.00	Margin interest paid	
		11/02/20	-8.32	Margin interest paid	
		12/01/20	-5.00	Margin interest paid	
		12/31/20	-0.06	Margin interest paid	
		12/31/20	-5.00	Margin interest paid	
			-154.29	Total Margin interest paid	
			<b>-154.29</b>	<b>Total Margin interest paid</b>	



Robinhood Securities LLC

Account 149057051

## Foreign Income and Taxes Summary

2020

02/14/2021

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
CH	MOMO INC. AMERICAN DEPOSITARY SHARES	60879B107	3.80	0.00	0.00	3.80	0.00	
<b>Total CHINA</b>			<b>3.80</b>	<b>0.00</b>	<b>0.00</b>	<b>3.80</b>	<b>0.00</b>	
EI	ACCENTURE PLC	G1151C101	3.20	0.00	0.00	3.20	0.00	
<b>Total IRELAND</b>			<b>3.20</b>	<b>0.00</b>	<b>0.00</b>	<b>3.20</b>	<b>0.00</b>	
<b>Grand Total</b>	<b>Total Foreign Source Income*</b>			<b>7.00</b>				
	<b>Qualified Foreign Source Income**</b>			<b>0.00</b>				
	<b>Foreign Tax</b>			<b>0.00</b>				

\* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

\*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

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**Non-Reportable Actions**

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
ARKK 01/15/2021 CALL \$147.96	ARKK 01/15/21 C 147.960	12/29/20	4.00	0.00	Merger	
ARKK 01/15/2021 CALL \$150.00	ARKK 01/15/21 C 150.000	12/29/20	-4.00	0.00	Merger	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.
  
  - 25 Tax lot closed on a first in first out basis.
-

**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

**Line 1b.** Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 2c.** Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Instructions for Recipient**

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Line 8.** This line should be left blank if a RIC reported the foreign tax shown in line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).