

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 459226171	Statement Date: 02/14/2021 Document ID: 6Y7T 3K1 W1K2	2020
	Jeevitha Angalakutti 935 W Loire Ct Apt 708 Peoria, IL 61614 RECIPIENT'S TIN: XXX-XX-5053		

Summary Information

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	6.84		2- Royalties	0.00	
1b- Qualified dividends	6.84		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	3.18	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0715
3- Nondividend distributions	5.58		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
4- Federal income tax withheld	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	332,279.43	323,661.68	0.00	3,465.78	12,083.53
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	2.83	9.11	0.00	0.00	-6.28
	Total Short-term	332,282.26	323,670.79	0.00	3,465.78	12,077.25
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	332,282.26	323,670.79	0.00	3,465.78	12,077.25

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 459226171

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	340.06
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 07/24/2020 PUT \$362.50 / CUSIP: / Symbol:							
07/24/20	1.000	529.97	07/23/20	98.00	...	431.97	Option sale to close-put ²⁵
AAPL 07/24/2020 PUT \$372.50 / CUSIP: / Symbol:							
07/23/20	1.000	254.98	07/23/20	93.00	...	161.98	Option sale to close-put ²⁵
AAPL 07/31/2020 CALL \$405.00 / CUSIP: / Symbol:							
2 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	1.000	78.99	07/30/20	112.00	...	-33.01	1 of 2 - Option sale to close-call ²⁵
	1.000	78.99	07/30/20	112.00	...	-33.01	2 of 2 - Option sale to close-call ²⁵
07/30/20	2.000	157.98	Various	224.00	...	-66.02	Total of 2 transactions

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Account 459226171

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 07/31/2020 PUT \$332.50 / CUSIP: / Symbol:							
2 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	1.000	7.98	07/24/20	466.02	...	-458.04	1 of 2 - Option sale to close-put ²⁵
	1.000	7.98	07/24/20	237.00	...	-229.02	2 of 2 - Option sale to close-put ²⁵
07/30/20	2.000	15.96	Various	703.02	...	-687.06	Total of 2 transactions
07/30/20	1.000	7.98	07/24/20	237.00	229.02 W	0.00	Option sale to close-put ²⁵
	Security total:	23.94		940.02	229.02 W	-687.06	
AAPL 07/31/2020 PUT \$342.50 / CUSIP: / Symbol:							
07/30/20	1.000	15.98	07/24/20	375.00	...	-359.02	Option sale to close-put ²⁵
AAPL 08/14/2020 CALL \$460.00 / CUSIP: / Symbol:							
08/13/20	1.000	299.98	08/12/20	164.00	...	135.98	Option sale to close-call ²⁵
AAPL 08/21/2020 CALL \$485.00 / CUSIP: / Symbol:							
2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	1.000	33.98	08/19/20	280.04	...	-246.06	1 of 2 - Option sale to close-call ²⁵
	1.000	33.98	08/19/20	116.00	...	-82.02	2 of 2 - Option sale to close-call ²⁵
08/19/20	2.000	67.96	Various	396.04	...	-328.08	Total of 2 transactions
2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	1.000	33.98	08/19/20	116.00	82.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	33.98	08/19/20	198.02	164.04 W	0.00	2 of 2 - Option sale to close-call ²⁵
08/19/20	2.000	67.96	Various	314.02	246.06 W	0.00	Total of 2 transactions
	Security total:	135.92		710.06	246.06 W	-328.08	
AAPL 08/28/2020 CALL \$570.00 / CUSIP: / Symbol:							
3 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	1.000	64.99	08/24/20	332.00	...	-267.01	1 of 3 - Option sale to close-call ²⁵
	1.000	65.00	08/24/20	275.00	...	-210.00	2 of 3 - Option sale to close-call ²⁵
	1.000	64.99	08/24/20	267.00	...	-202.01	3 of 3 - Option sale to close-call ²⁵
08/26/20	3.000	194.98	Various	874.00	...	-679.02	Total of 3 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 10/16/2020 CALL \$124.00 / CUSIP: / Symbol:							
3 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	1.000	229.98	10/12/20	146.00	...	83.98	1 of 3 - Option sale to close-call ²⁵
	1.000	229.98	10/12/20	146.00	...	83.98	2 of 3 - Option sale to close-call ²⁵
	1.000	229.99	10/12/20	146.00	...	83.99	3 of 3 - Option sale to close-call ²⁵
10/12/20	3.000	689.95	Various	438.00	...	251.95	Total of 3 transactions
AAPL 10/16/2020 CALL \$128.75 / CUSIP: / Symbol:							
3 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	3.000	0.00	10/12/20	435.00	...	-435.00	1 of 3 - Option expiration- long call
	3.000	0.00	10/12/20	387.00	...	-387.00	2 of 3 - Option expiration- long call
	2.000	0.00	10/12/20	290.00	...	-290.00	3 of 3 - Option expiration- long call
10/16/20	8.000	0.00	Various	1,112.00	...	-1,112.00	Total of 3 transactions
AAPL 10/30/2020 CALL \$121.00 / CUSIP: / Symbol:							
2 transactions for 10/20/20. Total proceeds and cost reported to the IRS.							
	1.000	252.98	10/20/20	308.00	...	-55.02	1 of 2 - Option sale to close-call ²⁵
	1.000	252.99	10/20/20	308.00	...	-55.01	2 of 2 - Option sale to close-call ²⁵
10/20/20	2.000	505.97	Various	616.00	...	-110.03	Total of 2 transactions
ADMA 09/18/2020 CALL \$5.00 / CUSIP: / Symbol:							
09/18/20	10.000	0.00	08/24/20	500.00	...	-500.00	Option expiration- long call
AMCR 08/21/2020 CALL \$10.00 / CUSIP: / Symbol:							
08/10/20	1.000	104.98	07/06/20	140.00	...	-35.02	Option sale to close-call ²⁵
ATVI 08/07/2020 CALL \$95.00 / CUSIP: / Symbol:							
08/06/20	1.000	9.98	08/04/20	136.00	...	-126.02	Option sale to close-call ²⁵
AZN 01/15/2021 CALL \$85.00 / CUSIP: / Symbol:							
7 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	1.000	174.98	07/16/20	65.00	...	109.98	1 of 7 - Option sale to close-call ²⁵
	1.000	174.99	07/16/20	65.00	...	109.99	2 of 7 - Option sale to close-call ²⁵
	1.000	174.99	07/16/20	65.00	...	109.99	3 of 7 - Option sale to close-call ²⁵
	1.000	204.98	07/16/20	65.00	...	139.98	4 of 7 - Option sale to close-call ²⁵
	1.000	204.98	07/16/20	65.00	...	139.98	5 of 7 - Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AZN 01/15/2021 CALL \$85.00 / CUSIP: / Symbol: (cont'd)							
	5.000	874.97	07/16/20	375.00	...	499.97	6 of 7 - Option sale to close-call ²⁵
	5.000	874.98	07/17/20	375.00	...	499.98	7 of 7 - Option sale to close-call ²⁵
07/20/20	15.000	2,684.87	Various	1,075.00	...	1,609.87	Total of 7 transactions
AZN 10/16/2020 CALL \$80.00 / CUSIP: / Symbol:							
2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	2.000	369.98	07/17/20	240.00	...	129.98	1 of 2 - Option sale to close-call ²⁵
	15.000	1,949.92	07/20/20	2,400.00	...	-450.08	2 of 2 - Option sale to close-call ²⁵
07/20/20	17.000	2,319.90	Various	2,640.00	...	-320.10	Total of 2 transactions
BA 08/07/2020 CALL \$175.00 / CUSIP: / Symbol:							
2 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	1.000	100.98	08/03/20	96.00	...	4.98	1 of 2 - Option sale to close-call ²⁵
	1.000	105.98	08/03/20	96.00	...	9.98	2 of 2 - Option sale to close-call ²⁵
08/04/20	2.000	206.96	Various	192.00	...	14.96	Total of 2 transactions
BABA 08/28/2020 CALL \$300.00 / CUSIP: / Symbol:							
08/26/20	1.000	119.98	08/25/20	245.00	...	-125.02	Option sale to close-call ²⁵
BABA 11/06/2020 PUT \$267.50 / CUSIP: / Symbol:							
11/05/20	1.000	44.98	11/03/20	290.00	...	-245.02	Option sale to close-put ²⁵
CAT 08/07/2020 PUT \$127.00 / CUSIP: / Symbol:							
08/03/20	1.000	32.98	07/31/20	112.00	...	-79.02	Option sale to close-put ²⁵
CHWY 09/11/2020 CALL \$85.00 / CUSIP: / Symbol:							
3 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	334.98	09/02/20	258.00	...	76.98	1 of 3 - Option sale to close-call ²⁵
	1.000	334.98	09/02/20	258.00	...	76.98	2 of 3 - Option sale to close-call ²⁵
	2.000	669.97	09/02/20	516.00	...	153.97	3 of 3 - Option sale to close-call ²⁵
09/02/20	4.000	1,339.93	Various	1,032.00	...	307.93	Total of 3 transactions
09/11/20	3.000	0.00	09/02/20	1,050.00	...	-1,050.00	Option expiration- long call
	Security total:	1,339.93		2,082.00	...	-742.07	

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CNK 08/21/2020 CALL \$22.50 / CUSIP: / Symbol:							
08/21/20	2.000	0.00	07/01/20	60.00	...	-60.00	Option expiration- long call
CVS 08/07/2020 CALL \$65.50 / CUSIP: / Symbol:							
4 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	1.000	109.98	08/03/20	69.00	...	40.98	1 of 4 - Option sale to close-call ²⁵
	1.000	109.98	08/03/20	69.00	...	40.98	2 of 4 - Option sale to close-call ²⁵
	1.000	109.98	08/03/20	69.00	...	40.98	3 of 4 - Option sale to close-call ²⁵
	1.000	109.98	08/03/20	69.00	...	40.98	4 of 4 - Option sale to close-call ²⁵
08/04/20	4.000	439.92	Various	276.00	...	163.92	Total of 4 transactions
08/05/20	1.000	23.98	08/03/20	69.00	...	-45.02	Option sale to close-call ²⁵
	Security total:	463.90		345.00	...	118.90	
CVS 08/07/2020 CALL \$66.50 / CUSIP: / Symbol:							
08/04/20	8.000	639.96	08/03/20	344.00	...	295.96	Option sale to close-call ²⁵
DAL 08/28/2020 CALL \$32.00 / CUSIP: / Symbol:							
2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	2.000	29.98	08/24/20	58.00	...	-28.02	1 of 2 - Option sale to close-call ²⁵
	1.000	14.98	08/24/20	29.00	...	-14.02	2 of 2 - Option sale to close-call ²⁵
08/25/20	3.000	44.96	Various	87.00	...	-42.04	Total of 2 transactions
DKNG 09/04/2020 CALL \$41.00 / CUSIP: / Symbol:							
2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	2.000	141.98	09/02/20	190.00	...	-48.02	1 of 2 - Option sale to close-call ²⁵
	1.000	70.98	09/02/20	95.00	...	-24.02	2 of 2 - Option sale to close-call ²⁵
09/03/20	3.000	212.96	Various	285.00	...	-72.04	Total of 2 transactions
DKNG 11/20/2020 CALL \$49.00 / CUSIP: / Symbol:							
11/18/20	2.000	209.98	11/18/20	208.00	...	1.98	Option sale to close-call ²⁵
FB 07/24/2020 PUT \$225.00 / CUSIP: / Symbol:							
07/24/20	1.000	0.00	07/23/20	45.00	...	-45.00	Option expiration- long put
FB 07/31/2020 PUT \$210.00 / CUSIP: / Symbol:							
07/31/20	3.000	0.00	07/24/20	795.00	...	-795.00	Option expiration- long put

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Robinhood Securities LLC

Account 459226171

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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FB 07/31/2020 PUT \$212.50 / CUSIP: / Symbol:							
07/31/20	1.000	0.00	07/24/20	315.00	...	-315.00	Option expiration- long put
FDX 09/18/2020 CALL \$250.00 / CUSIP: / Symbol:							
09/04/20	3.000	1,604.95	09/01/20	882.00	...	722.95	Option sale to close-call ²⁵
3 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	464.98	09/04/20	590.00	...	-125.02	1 of 3 - Option sale to close-call ²⁵
	1.000	464.99	09/08/20	426.00	...	38.99	2 of 3 - Option sale to close-call ²⁵
	1.000	464.98	09/10/20	350.00	...	114.98	3 of 3 - Option sale to close-call ²⁵
09/15/20	3.000	1,394.95	Various	1,366.00	...	28.95	Total of 3 transactions
	Security total:	2,999.90		2,248.00	...	751.90	
FSLY 08/07/2020 CALL \$135.00 / CUSIP: / Symbol:							
08/04/20	1.000	309.98	08/03/20	250.00	...	59.98	Option sale to close-call ²⁵
FSLY 08/28/2020 CALL \$100.00 / CUSIP: / Symbol:							
2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	1.000	44.98	08/24/20	145.00	...	-100.02	1 of 2 - Option sale to close-call ²⁵
	1.000	44.98	08/24/20	145.00	...	-100.02	2 of 2 - Option sale to close-call ²⁵
08/26/20	2.000	89.96	Various	290.00	...	-200.04	Total of 2 transactions
GNUS 07/10/2020 CALL \$5.50 / CUSIP: / Symbol:							
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	07/06/20	60.00	...	-60.00	1 of 2 - Option expiration- long call
	1.000	0.00	07/06/20	30.00	...	-30.00	2 of 2 - Option expiration- long call
07/10/20	3.000	0.00	Various	90.00	...	-90.00	Total of 2 transactions
GNUS 07/31/2020 CALL \$5.00 / CUSIP: / Symbol:							
07/31/20	7.000	69.98	07/31/20	0.00	...	69.98	Short sale closed- call expired Option written: 07/07/20
KNDI 08/21/2020 PUT \$6.00 / CUSIP: / Symbol:							
07/31/20	2.000	199.98	07/30/20	170.00	...	29.98	Option sale to close-put ²⁵
KODK 08/07/2020 PUT \$20.00 / CUSIP: / Symbol:							
07/31/20	1.000	284.98	07/30/20	165.00	...	119.98	Option sale to close-put ²⁵

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(continued)

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KODK 08/21/2020 PUT \$5.00 / CUSIP: / Symbol:							
07/29/20	2.000	159.98	07/28/20	148.00	...	11.98	Option sale to close-put ²⁵
KR 08/21/2020 CALL \$34.00 / CUSIP: / Symbol:							
06/30/20	1.000	148.98	06/30/20	146.00	...	2.98	Option sale to close-call ²⁵
07/28/20	2.000	325.98	07/01/20	278.00	...	47.98	Option sale to close-call ²⁵
	Security total:	474.96		424.00	...	50.96	
MFA 10/16/2020 CALL \$6.00 / CUSIP: / Symbol:							
	<i>2 transactions for 07/27/20. Total proceeds and cost reported to the IRS.</i>						
	2.000	29.98	07/24/20	0.00	...	29.98	1 of 2 - Short sale closed- call ²⁵ Option written: 06/19/20
	3.000	44.98	07/24/20	0.00	...	44.98	2 of 2 - Short sale closed- call ²⁵ Option written: 06/19/20
07/27/20	5.000	74.96	Various	0.00	...	74.96	Total of 2 transactions
MRNA 09/04/2020 CALL \$72.00 / CUSIP: / Symbol:							
08/26/20	1.000	329.98	08/26/20	325.00	...	4.98	Option sale to close-call ²⁵
MSFT 07/24/2020 CALL \$217.50 / CUSIP: / Symbol:							
	<i>2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	314.98	07/20/20	192.00	...	122.98	1 of 2 - Option sale to close-call ²⁵
	1.000	314.98	07/20/20	192.00	...	122.98	2 of 2 - Option sale to close-call ²⁵
07/21/20	2.000	629.96	Various	384.00	...	245.96	Total of 2 transactions
MSFT 07/24/2020 CALL \$225.00 / CUSIP: / Symbol:							
07/23/20	4.000	11.98	07/20/20	648.00	...	-636.02	Option sale to close-call ²⁵
MSFT 07/24/2020 PUT \$195.00 / CUSIP: / Symbol:							
	<i>2 transactions for 07/24/20. Total proceeds and cost reported to the IRS.</i>						
	4.000	0.00	07/23/20	80.00	...	-80.00	1 of 2 - Option expiration- long put
	1.000	0.98	07/23/20	20.00	...	-19.02	2 of 2 - Option sale to close-put ²⁵
07/24/20	5.000	0.98	Various	100.00	...	-99.02	Total of 2 transactions
MSFT 08/07/2020 CALL \$217.50 / CUSIP: / Symbol:							
08/07/20	1.000	33.98	08/06/20	76.00	...	-42.02	Option sale to close-call ²⁵

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NIO 01/15/2021 CALL \$50.00 / CUSIP: / Symbol:							
11/20/20	1.000	839.97	11/18/20	685.00	...	154.97	Option sale to close-call ²⁵
NIO 01/15/2021 CALL \$60.00 / CUSIP: / Symbol:							
4 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	2.000	1,439.96	11/23/20	1,380.00	...	59.96	1 of 4 - Option sale to close-call ²⁵
	2.000	1,439.97	11/23/20	1,380.00	...	59.97	2 of 4 - Option sale to close-call ²⁵
	2.000	1,439.96	11/23/20	1,280.00	...	159.96	3 of 4 - Option sale to close-call ²⁵
	2.000	1,439.96	11/23/20	1,162.00	...	277.96	4 of 4 - Option sale to close-call ²⁵
11/24/20	8.000	5,759.85	Various	5,202.00	...	557.85	Total of 4 transactions
11/27/20	1.000	779.97	11/24/20	710.00	...	69.97	Option sale to close-call ²⁵
5 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	3.000	869.97	11/24/20	2,370.00	...	-1,500.03	1 of 5 - Option sale to close-call ²⁵
	3.000	869.98	11/24/20	2,370.00	...	-1,500.02	2 of 5 - Option sale to close-call ²⁵
	1.000	289.99	11/24/20	790.00	...	-500.01	3 of 5 - Option sale to close-call ²⁵
	1.000	289.99	11/24/20	790.00	...	-500.01	4 of 5 - Option sale to close-call ²⁵
	1.000	289.99	11/24/20	710.00	...	-420.01	5 of 5 - Option sale to close-call ²⁵
12/07/20	9.000	2,609.92	Various	7,030.00	...	-4,420.08	Total of 5 transactions
	Security total:	9,149.74		12,942.00	...	-3,792.26	
NIO 10/16/2020 CALL \$27.00 / CUSIP: / Symbol:							
2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	1.000	78.98	10/14/20	55.00	...	23.98	1 of 2 - Option sale to close-call ²⁵
	5.000	394.98	10/14/20	275.00	...	119.98	2 of 2 - Option sale to close-call ²⁵
10/14/20	6.000	473.96	Various	330.00	...	143.96	Total of 2 transactions
NIO 10/16/2020 CALL \$28.00 / CUSIP: / Symbol:							
3 transactions for 10/15/20. Total proceeds and cost reported to the IRS.							
	1.000	60.00	10/14/20	55.00	...	5.00	1 of 3 - Option sale to close-call ²⁵
	2.000	119.99	10/14/20	110.00	...	9.99	2 of 3 - Option sale to close-call ²⁵
	5.000	299.97	10/14/20	275.00	...	24.97	3 of 3 - Option sale to close-call ²⁵
10/15/20	8.000	479.96	Various	440.00	...	39.96	Total of 3 transactions
NKLA 07/24/2020 PUT \$32.50 / CUSIP: / Symbol:							
07/24/20	1.000	249.98	07/23/20	30.00	...	219.98	Option sale to close-put ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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NKLA 07/31/2020 PUT \$25.00 / CUSIP: / Symbol:							
2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	3.000	0.00	07/24/20	210.00	...	-210.00	1 of 2 - Option expiration- long put
	2.000	0.00	07/24/20	140.00	...	-140.00	2 of 2 - Option expiration- long put
07/31/20	5.000	0.00	Various	350.00	...	-350.00	Total of 2 transactions
PFE 10/16/2020 CALL \$50.00 / CUSIP: / Symbol:							
10/16/20	10.000	0.00	07/22/20	250.00	...	-250.00	Option expiration- long call
PTON 08/07/2020 CALL \$80.00 / CUSIP: / Symbol:							
2 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	2.000	59.98	08/03/20	108.00	...	-48.02	1 of 2 - Option sale to close-call ²⁵
	1.000	29.98	08/03/20	54.00	...	-24.02	2 of 2 - Option sale to close-call ²⁵
08/04/20	3.000	89.96	Various	162.00	...	-72.04	Total of 2 transactions
PTON 09/11/2020 CALL \$105.00 / CUSIP: / Symbol:							
2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	1.000	38.99	09/02/20	650.00	...	-611.01	1 of 2 - Option sale to close-call ²⁵
	1.000	38.99	09/04/20	286.00	...	-247.01	2 of 2 - Option sale to close-call ²⁵
09/11/20	2.000	77.98	Various	936.00	...	-858.02	Total of 2 transactions
RCL 07/10/2020 PUT \$40.00 / CUSIP: / Symbol:							
4 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	5.000	389.98	06/26/20	740.00	...	-350.02	1 of 4 - Option sale to close-put ²⁵
	1.000	77.98	06/26/20	215.01	...	-137.03	2 of 4 - Option sale to close-put ²⁵
	1.000	77.98	06/26/20	215.01	...	-137.03	3 of 4 - Option sale to close-put ²⁵
	1.000	77.98	06/26/20	148.00	...	-70.02	4 of 4 - Option sale to close-put ²⁵
06/29/20	8.000	623.92	Various	1,318.02	...	-694.10	Total of 4 transactions
06/29/20	2.000	155.98	06/26/20	290.00	134.02 W	0.00	Option sale to close-put ²⁵
	Security total:	779.90		1,608.02	134.02 W	-694.10	
SHLL 08/21/2020 CALL \$25.00 / CUSIP: / Symbol:							
08/14/20	1.000	125.98	08/13/20	70.00	...	55.98	Option sale to close-call ²⁵
TSLA 08/07/2020 CALL \$1,605.00 / CUSIP: / Symbol:							
08/07/20	1.000	47.98	08/06/20	190.00	...	-142.02	Option sale to close-call ²⁵

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TSLA 08/14/2020 CALL \$1,680.00 / CUSIP: / Symbol:							
08/12/20	1.000	349.98	08/12/20	155.00	...	194.98	Option sale to close-call ²⁵
TSLA 08/14/2020 CALL \$1,760.00 / CUSIP: / Symbol:							
08/12/20	1.000	224.98	08/12/20	162.00	...	62.98	Option sale to close-call ²⁵
TSLA 08/14/2020 CALL \$1,780.00 / CUSIP: / Symbol:							
08/12/20	1.000	499.97	08/12/20	164.00	...	335.97	Option sale to close-call ²⁵
TSLA 08/14/2020 CALL \$1,900.00 / CUSIP: / Symbol:							
08/14/20	1.000	17.98	08/13/20	175.00	...	-157.02	Option sale to close-call ²⁵
TSLA 08/28/2020 CALL \$3,000.00 / CUSIP: / Symbol:							
08/26/20	1.000	26.98	08/24/20	315.00	...	-288.02	Option sale to close-call ²⁵
WKHS 07/17/2020 CALL \$30.00 / CUSIP: / Symbol:							
<i>2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	07/02/20	154.00	...	-154.00	1 of 2 - Option expiration- long call
	1.000	0.00	07/02/20	154.00	...	-154.00	2 of 2 - Option expiration- long call
07/17/20	2.000	0.00	Various	308.00	...	-308.00	Total of 2 transactions
WKHS 07/24/2020 CALL \$18.50 / CUSIP: / Symbol:							
07/23/20	1.000	19.98	07/20/20	70.00	...	-50.02	Option sale to close-call ²⁵
WKHS 10/16/2020 CALL \$32.50 / CUSIP: / Symbol:							
<i>2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	13.99	10/12/20	460.00	...	-446.01	1 of 2 - Option sale to close-call ²⁵
	1.000	6.99	10/12/20	135.00	...	-128.01	2 of 2 - Option sale to close-call ²⁵
10/14/20	3.000	20.98	Various	595.00	...	-574.02	Total of 2 transactions
WMT 08/28/2020 CALL \$140.00 / CUSIP: / Symbol:							
08/28/20	2.000	55.98	08/27/20	304.00	...	-248.02	Option sale to close-call ²⁵
WORK 07/02/2020 CALL \$33.00 / CUSIP: / Symbol:							
06/25/20	1.000	94.98	06/24/20	95.00	...	-0.02	Option sale to close-call ²⁵
WYNN 07/31/2020 PUT \$60.00 / CUSIP: / Symbol:							
07/31/20	8.000	0.00	07/24/20	104.00	...	-104.00	Option expiration- long put

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ZM 09/04/2020 CALL \$315.00 / CUSIP: / Symbol:							
2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	194.99	08/12/20	140.00	...	54.99	1 of 2 - Option sale to close-call ²⁵
	2.000	389.98	08/12/20	290.00	...	99.98	2 of 2 - Option sale to close-call ²⁵
08/13/20	3.000	584.97	Various	430.00	...	154.97	Total of 2 transactions
ZM 09/04/2020 CALL \$340.00 / CUSIP: / Symbol:							
3 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	1.000	519.99	08/24/20	320.00	...	199.99	1 of 3 - Option sale to close-call ²⁵
	1.000	519.98	08/24/20	285.00	...	234.98	2 of 3 - Option sale to close-call ²⁵
	2.000	1,039.97	08/25/20	640.00	...	399.97	3 of 3 - Option sale to close-call ²⁵
08/26/20	4.000	2,079.94	Various	1,245.00	...	834.94	Total of 3 transactions
ZM 09/04/2020 CALL \$350.00 / CUSIP: / Symbol:							
08/03/20	3.000	779.97	08/03/20	705.00	...	74.97	Option sale to close-call ²⁵
08/28/20	1.000	509.97	08/26/20	340.00	...	169.97	Option sale to close-call ²⁵
	Security total:	1,289.94		1,045.00	...	244.94	
ZM 11/13/2020 PUT \$345.00 / CUSIP: / Symbol:							
11/10/20	1.000	399.98	11/10/20	310.00	...	89.98	Option sale to close-put ²⁵
ZM 11/20/2020 PUT \$335.00 / CUSIP: / Symbol:							
11/17/20	1.000	54.98	11/16/20	340.00	...	-285.02	Option sale to close-put ²⁵
AIRBUS SE AMERICAN DEPOSITARY RECEIPTS - UNSPONSORED / CUSIP: 009279100 / Symbol:							
05/19/20	30.000	444.00	04/02/20	418.50	...	25.50	Sale ²⁵
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	20.000	236.64	04/01/20	233.80	...	2.84	1 of 2 - Sale ²⁵
	15.000	177.48	04/02/20	157.50	...	19.98	2 of 2 - Sale ²⁵
07/23/20	35.000	414.12	Various	391.30	...	22.82	Total of 2 transactions
AMNEAL PHARMACEUTICALS, INC. C LASS A COMMON STOCK / CUSIP: 03168L105 / Symbol:							
06/09/20	1.000	5.10	01/31/20	5.00	...	0.10	Sale ²⁵

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Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
07/31/20	2.000	809.03	03/20/20	494.58	...	314.45	Sale ²⁵
AVIS BUDGET GROUP, INC. COMMON STOCK / CUSIP: 053774105 / Symbol:							
2 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	10.000	170.00	04/21/20	127.50	...	42.50	1 of 2 - Sale ²⁵
	5.000	85.00	05/12/20	62.50	...	22.50	2 of 2 - Sale ²⁵
05/21/20	15.000	255.00	Various	190.00	...	65.00	Total of 2 transactions
07/01/20	5.000	118.65	05/12/20	62.50	...	56.15	Sale ²⁵
	Security total:	373.65		252.50	...	121.15	
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
07/01/20	1.000	23.96	03/20/20	21.01	...	2.95	Sale ²⁵
BLINK CHARGING CO. COMMON STOCK / CUSIP: 09354A100 / Symbol:							
08/21/20	10.000	76.10	08/14/20	108.50	...	-32.40	Sale ²⁵
CIIG MERGER CORP. CLASS A COMMON STOCK / CUSIP: 12559C103 / Symbol:							
7 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	35.000	586.58	11/19/20	536.90	...	49.68	1 of 7 - Sale ²⁵
	50.000	837.97	11/19/20	767.00	...	70.97	2 of 7 - Sale ²⁵
	50.000	838.48	11/19/20	767.00	...	71.48	3 of 7 - Sale ²⁵
	60.000	1,005.57	11/19/20	921.60	...	83.97	4 of 7 - Sale ²⁵
	100.000	1,675.95	11/19/20	1,534.00	...	141.95	5 of 7 - Sale ²⁵
	100.000	1,675.95	11/19/20	1,534.00	...	141.95	6 of 7 - Sale ²⁵
	105.000	1,759.75	11/19/20	1,610.70	...	149.05	7 of 7 - Sale ²⁵
11/19/20	500.000	8,380.25	Various	7,671.20	...	709.05	Total of 7 transactions
2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	250.000	6,099.83	11/20/20	4,420.00	...	1,679.83	1 of 2 - Sale ²⁵
	350.000	8,539.77	11/20/20	6,188.00	...	2,351.77	2 of 2 - Sale ²⁵
11/24/20	600.000	14,639.60	Various	10,608.00	...	4,031.60	Total of 2 transactions
11/25/20	25.000	631.23	11/24/20	644.25	13.02 W	0.00	Sale ²⁵
11/27/20	100.000	2,559.93	11/24/20	2,576.99	17.06 W	0.00	Sale ²⁵
12/04/20	50.000	1,311.17	11/24/20	1,288.49	...	22.68	Sale ²⁵

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(continued)

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CIIG MERGER CORP. CLASS A COMM ON STOCK / CUSIP: 12559C103 / Symbol: (cont'd)							
35 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
1.000		29.51	11/24/20	25.92	...	3.59	1 of 35 - Sale ²⁵
10.000		295.40	11/24/20	257.70	...	37.70	2 of 35 - Sale ²⁵
15.000		442.64	11/24/20	386.55	...	56.09	3 of 35 - Sale ²⁵
15.000		442.49	11/24/20	386.25	...	56.24	4 of 35 - Sale ²⁵
25.000		737.73	11/24/20	656.76	...	80.97	5 of 35 - Sale ²⁵
39.000		1,150.86	11/24/20	1,004.25	...	146.61	6 of 35 - Sale ²⁵
60.000		1,770.55	11/24/20	1,545.00	...	225.55	7 of 35 - Sale ²⁵
61.000		1,800.06	11/24/20	1,570.75	...	229.31	8 of 35 - Sale ²⁵
85.000		2,507.43	11/24/20	2,251.65	...	255.78	9 of 35 - Sale ²⁵
115.000		3,392.40	11/24/20	3,046.35	...	346.05	10 of 35 - Sale ²⁵
99.000		2,921.41	11/24/20	2,566.10	...	355.31	11 of 35 - Sale ²⁵
75.000		2,212.44	11/25/20	1,837.50	...	374.94	12 of 35 - Sale ²⁵
1.000		29.50	12/01/20	20.42	...	9.08	13 of 35 - Sale ²⁵
2.000		60.99	12/01/20	40.84	...	20.15	14 of 35 - Sale ²⁵
5.000		147.50	12/01/20	102.10	...	45.40	15 of 35 - Sale ²⁵
8.000		235.99	12/01/20	163.36	...	72.63	16 of 35 - Sale ²⁵
10.000		304.90	12/01/20	204.20	...	100.70	17 of 35 - Sale ²⁵
10.000		304.95	12/01/20	204.20	...	100.75	18 of 35 - Sale ²⁵
12.000		353.99	12/01/20	245.04	...	108.95	19 of 35 - Sale ²⁵
17.000		518.31	12/01/20	347.14	...	171.17	20 of 35 - Sale ²⁵
20.000		589.98	12/01/20	408.40	...	181.58	21 of 35 - Sale ²⁵
38.000		1,158.59	12/01/20	775.96	...	382.63	22 of 35 - Sale ²⁵
54.000		1,592.95	12/01/20	1,102.68	...	490.27	23 of 35 - Sale ²⁵
61.000		1,859.83	12/01/20	1,245.62	...	614.21	24 of 35 - Sale ²⁵
66.000		2,012.29	12/01/20	1,347.72	...	664.57	25 of 35 - Sale ²⁵
96.000		2,926.96	12/01/20	1,960.32	...	966.64	26 of 35 - Sale ²⁵
1.000		32.58	12/07/20	32.45	...	0.13	27 of 35 - Sale ²⁵
4.000		130.32	12/07/20	129.96	...	0.36	28 of 35 - Sale ²⁵
13.000		423.53	12/07/20	421.85	...	1.68	29 of 35 - Sale ²⁵
44.000		1,433.48	12/07/20	1,429.56	...	3.92	30 of 35 - Sale ²⁵
50.000		1,628.96	12/07/20	1,624.50	...	4.46	31 of 35 - Sale ²⁵
54.000		1,759.27	12/07/20	1,754.46	...	4.81	32 of 35 - Sale ²⁵
54.000		1,759.27	12/07/20	1,752.29	...	6.98	33 of 35 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CIIG MERGER CORP. CLASS A COMM ON STOCK / CUSIP: 12559C103 / Symbol: (cont'd)							
	148.000	4,821.71	12/07/20	4,808.52	...	13.19	34 of 35 - Sale ²⁵
	432.000	14,074.19	12/07/20	14,018.36	...	55.83	35 of 35 - Sale ²⁵
12/07/20	1,800.000	55,862.96	Various	49,674.73	...	6,188.23	Total of 35 transactions
	Security total:	83,385.14		72,463.66	30.08 W	10,951.56	
CANOPY GROWTH CORPORATION COMM ON SHARES / CUSIP: 138035100 / Symbol:							
2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	80.000	2,032.12	11/06/20	1,988.80	...	43.32	1 of 2 - Sale ²⁵
	100.000	2,540.15	11/06/20	2,445.00	...	95.15	2 of 2 - Sale ²⁵
11/09/20	180.000	4,572.27	Various	4,433.80	...	138.47	Total of 2 transactions
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
5 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	2.000	29.46	04/01/20	18.00	...	11.46	1 of 5 - Sale ²⁵
	10.000	147.30	04/07/20	126.20	...	21.10	2 of 5 - Sale ²⁵
	10.000	147.29	04/07/20	124.00	...	23.29	3 of 5 - Sale ²⁵
	10.000	147.30	04/07/20	113.00	...	34.30	4 of 5 - Sale ²⁵
	10.000	147.29	05/27/20	168.60	...	-21.31	5 of 5 - Sale ²⁵
07/24/20	42.000	618.64	Various	549.80	...	68.84	Total of 5 transactions
CHEESECAKE FACTORY INCORPORATE D (THE) COMMON STOCK / CUSIP: 163072101 / Symbol:							
2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	10.000	201.10	05/13/20	173.00	...	28.10	1 of 2 - Sale ²⁵
	1.000	20.11	05/14/20	17.00	...	3.11	2 of 2 - Sale ²⁵
05/19/20	11.000	221.21	Various	190.00	...	31.21	Total of 2 transactions
THE CHEFS' WAREHOUSE, INC. COM MON STOCK / CUSIP: 163086101 / Symbol:							
2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	8.000	118.37	05/28/20	127.04	...	-8.67	1 of 2 - Sale ²⁵
	1.000	14.79	05/28/20	15.00	...	-0.21	2 of 2 - Sale ²⁵
08/13/20	9.000	133.16	Various	142.04	...	-8.88	Total of 2 transactions

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CHURCHILL CAPITAL CORP III CLA SS A COMMON STOCK / CUSIP: 17144C104 / Symbol:							
5 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	199.000	2,268.53	07/13/20	2,565.11	...	-296.58	1 of 5 - Sale ²⁵
	94.000	1,071.57	07/13/20	1,193.80	...	-122.23	2 of 5 - Sale ²⁵
	41.000	467.38	07/13/20	519.88	...	-52.50	3 of 5 - Sale ²⁵
	15.000	170.99	07/13/20	190.20	...	-19.21	4 of 5 - Sale ²⁵
	1.000	11.40	07/13/20	12.89	...	-1.49	5 of 5 - Sale ²⁵
09/03/20	350.000	3,989.87	Various	4,481.88	...	-492.01	Total of 5 transactions
09/11/20	50.000	560.23	07/13/20	588.50	...	-28.27	Sale ²⁵
	Security total:	4,550.10		5,070.38	...	-520.28	
CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol:							
2 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	125.000	70.81	06/04/20	456.25	...	-385.44	1 of 2 - Sale ²⁵
	75.000	42.66	06/04/20	273.75	...	-231.09	2 of 2 - Sale ²⁵
10/06/20	200.000	113.47	Various	730.00	...	-616.53	Total of 2 transactions
CINEMARK HOLDINGS, INC. / CUSIP: 17243V102 / Symbol:							
4 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	10.000	137.50	05/05/20	135.00	...	2.50	1 of 4 - Sale ²⁵
	10.000	137.50	05/12/20	134.00	...	3.50	2 of 4 - Sale ²⁵
	20.000	274.99	06/10/20	348.20	...	-73.21	3 of 4 - Sale ²⁵
	10.000	137.49	06/10/20	178.10	...	-40.61	4 of 4 - Sale ²⁵
08/27/20	50.000	687.48	Various	795.30	...	-107.82	Total of 4 transactions
CITIUS PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 17322U207 / Symbol:							
3 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	193.000	318.42	06/26/20	347.40	...	-28.98	1 of 3 - Sale ²⁵
	87.000	143.54	06/26/20	156.60	...	-13.06	2 of 3 - Sale ²⁵
	20.000	33.00	06/26/20	36.00	...	-3.00	3 of 3 - Sale ²⁵
07/22/20	300.000	494.96	Various	540.00	...	-45.04	Total of 3 transactions

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DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
6 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	2.000	55.50	04/02/20	45.96	...	9.54	1 of 6 - Sale ²⁵
	8.000	222.00	04/02/20	183.84	...	38.16	2 of 6 - Sale ²⁵
	10.000	277.49	04/03/20	205.00	...	72.49	3 of 6 - Sale ²⁵
	1.000	27.75	04/07/20	23.00	...	4.75	4 of 6 - Sale ²⁵
	19.000	527.24	05/12/20	399.00	...	128.24	5 of 6 - Sale ²⁵
	10.000	277.49	06/10/20	310.00	...	-32.51	6 of 6 - Sale ²⁵
08/21/20	50.000	1,387.47	Various	1,166.80	...	220.67	Total of 6 transactions
DIAMONDPEAK HOLDINGS CORP. CLA SS A COMMON STOCK / CUSIP: 25280H100 / Symbol:							
6 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	2.000	26.82	08/03/20	23.80	...	3.02	1 of 6 - Sale ²⁵
	8.000	107.28	08/03/20	95.20	...	12.08	2 of 6 - Sale ²⁵
	11.000	147.51	08/03/20	130.90	...	16.61	3 of 6 - Sale ²⁵
	17.000	227.97	08/03/20	202.30	...	25.67	4 of 6 - Sale ²⁵
	20.000	268.20	08/03/20	238.00	...	30.20	5 of 6 - Sale ²⁵
	42.000	563.20	08/03/20	499.80	...	63.40	6 of 6 - Sale ²⁵
08/04/20	100.000	1,340.98	Various	1,190.00	...	150.98	Total of 6 transactions
ELDORADO RESORTS, INC. COMMON STOCK / CUSIP: 28470R102 / Symbol:							
2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	10.000	278.19	04/07/20	165.00	...	113.19	1 of 2 - Sale ²⁵
	20.000	556.39	04/07/20	341.00	...	215.39	2 of 2 - Sale ²⁵
05/18/20	30.000	834.58	Various	506.00	...	328.58	Total of 2 transactions
05/19/20	15.000	446.10	04/07/20	247.50	...	198.60	Sale ²⁵
2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	4.000	159.32	05/27/20	143.40	...	15.92	1 of 2 - Sale ²⁵
	11.000	438.12	05/27/20	394.35	...	43.77	2 of 2 - Sale ²⁵
07/14/20	15.000	597.44	Various	537.75	...	59.69	Total of 2 transactions
	Security total:	1,878.12		1,291.25	...	586.87	

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FISKER INC. / CUSIP: 33813J106 / Symbol:							
<i>18 transactions for 11/19/20. Total proceeds and cost reported to the IRS.</i>							
	51.000	872.07	09/14/20	1,184.17	...	-312.10	1 of 18 - Sale ²⁵
	49.000	837.88	09/15/20	1,174.49	...	-336.61	2 of 18 - Sale ²⁵
	35.000	598.49	09/15/20	793.06	...	-194.57	3 of 18 - Sale ²⁵
	1.000	17.10	09/15/20	22.66	...	-5.56	4 of 18 - Sale ²⁵
	31.000	530.08	09/21/20	667.09	...	-137.01	5 of 18 - Sale ²⁵
	23.000	393.29	09/21/20	486.89	...	-93.60	6 of 18 - Sale ²⁵
	14.000	239.39	09/21/20	301.41	...	-62.02	7 of 18 - Sale ²⁵
	14.000	239.39	09/21/20	301.27	...	-61.88	8 of 18 - Sale ²⁵
	13.000	222.29	09/21/20	275.20	...	-52.91	9 of 18 - Sale ²⁵
	5.000	85.50	09/21/20	107.64	...	-22.14	10 of 18 - Sale ²⁵
	25.000	427.49	10/07/20	458.98	...	-31.49	11 of 18 - Sale ²⁵
	25.000	427.49	10/07/20	458.97	...	-31.48	12 of 18 - Sale ²⁵
	14.000	239.39	10/07/20	257.03	...	-17.64	13 of 18 - Sale ²⁵
	2.000	34.20	10/07/20	32.34	...	1.86	14 of 18 - Sale ²⁵
	7.000	119.70	10/07/20	113.18	...	6.52	15 of 18 - Sale ²⁵
	49.000	837.87	10/07/20	821.19	...	16.68	16 of 18 - Sale ²⁵
	36.000	615.59	10/07/20	582.09	...	33.50	17 of 18 - Sale ²⁵
	42.000	718.18	10/07/20	583.80	...	134.38	18 of 18 - Sale ²⁵
11/19/20	436.000	7,455.39	Various	8,621.46	...	-1,166.07	Total of 18 transactions
FOLEY TRASIMENE ACQUISITION CO RP. II / CUSIP: 344328109 / Symbol:							
<i>2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	1,287.96	12/08/20	1,344.00	56.04 W	0.00	1 of 2 - Sale ²⁵
	100.000	1,287.96	12/08/20	1,344.00	56.04 W	0.00	2 of 2 - Sale ²⁵
12/09/20	200.000	2,575.92	Various	2,688.00	112.08 W	0.00	Total of 2 transactions
<i>4 transactions for 12/10/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	652.48	12/08/20	707.02	1.09 W	-53.45	1 of 4 - Sale ²⁵
	50.000	652.48	12/08/20	672.00	19.52 W	0.00	2 of 4 - Sale ²⁵
	50.000	652.48	12/08/20	707.02	54.54 W	0.00	3 of 4 - Sale ²⁵
	50.000	652.48	12/08/20	707.02	54.54 W	0.00	4 of 4 - Sale ²⁵
12/10/20	200.000	2,609.92	Various	2,793.06	129.69 W	-53.45	Total of 4 transactions
12/16/20	1.000	13.72	12/14/20	15.81	...	-2.09	Sale ²⁵
	Security total:	5,199.56		5,496.87	241.77 W	-55.54	

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Robinhood Securities LLC

Account 459226171

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	2.000	12.33	03/20/20	9.17	...	3.16	1 of 2 - Sale ²⁵
	40.000	246.51	03/20/20	181.60	...	64.91	2 of 2 - Sale ²⁵
07/07/20	42.000	258.84	Various	190.77	...	68.07	Total of 2 transactions
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:							
4 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	100.000	759.97	11/23/20	748.00	...	11.97	1 of 4 - Sale ²⁵
	100.000	759.97	11/23/20	748.00	...	11.97	2 of 4 - Sale ²⁵
	100.000	759.97	11/23/20	748.00	...	11.97	3 of 4 - Sale ²⁵
	200.000	1,519.94	11/23/20	1,496.00	...	23.94	4 of 4 - Sale ²⁵
11/23/20	500.000	3,799.85	Various	3,740.00	...	59.85	Total of 4 transactions
12/30/20	25.000	290.88	12/23/20	345.25	...	-54.37	Sale ²⁵
	Security total:	4,090.73		4,085.25	...	5.48	
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
7 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	50.000	60.74	06/08/20	250.50	...	-189.76	1 of 7 - Sale ²⁵
	75.000	91.11	06/10/20	366.75	...	-275.64	2 of 7 - Sale ²⁵
	40.000	48.59	06/10/20	184.00	...	-135.41	3 of 7 - Sale ²⁵
	100.000	121.49	06/11/20	344.00	...	-222.51	4 of 7 - Sale ²⁵
	35.000	42.52	06/17/20	107.80	...	-65.28	5 of 7 - Sale ²⁵
	75.000	91.11	06/24/20	201.00	...	-109.89	6 of 7 - Sale ²⁵
	62.000	75.32	06/26/20	155.00	...	-79.68	7 of 7 - Sale ²⁵
10/06/20	437.000	530.88	Various	1,609.05	...	-1,078.17	Total of 7 transactions
3 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	13.000	15.01	06/26/20	32.50	...	-17.49	1 of 3 - Sale ²⁵
	150.000	173.24	07/02/20	459.75	...	-286.51	2 of 3 - Sale ²⁵
	100.000	115.49	07/02/20	307.50	...	-192.01	3 of 3 - Sale ²⁵
11/17/20	263.000	303.74	Various	799.75	...	-496.01	Total of 3 transactions
	Security total:	834.62		2,408.80	...	-1,574.18	

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2020 1099-B* OMB No. 1545-0715

(continued)

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GRAF INDUSTRIAL CORP. COMMON S TOCK / CUSIP: 384278107 / Symbol:							
8 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	2.000	40.20	07/06/20	39.80	...	0.40	1 of 8 - Sale ²⁵
	25.000	502.49	07/06/20	484.75	...	17.74	2 of 8 - Sale ²⁵
	8.000	160.79	07/07/20	155.85	...	4.94	3 of 8 - Sale ²⁵
	4.000	80.40	07/07/20	73.60	...	6.80	4 of 8 - Sale ²⁵
	10.000	200.99	07/07/20	192.01	...	8.98	5 of 8 - Sale ²⁵
	17.000	341.69	07/07/20	325.22	...	16.47	6 of 8 - Sale ²⁵
	3.000	60.30	07/08/20	52.29	...	8.01	7 of 8 - Sale ²⁵
	17.000	341.69	07/08/20	284.41	...	57.28	8 of 8 - Sale ²⁵
07/10/20	86.000	1,728.55	Various	1,607.93	...	120.62	Total of 8 transactions
3 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	8.000	160.80	07/06/20	162.01	0.15 W	-1.06	1 of 3 - Sale ²⁵
	25.000	502.48	07/06/20	511.25	8.77 W	0.00	2 of 3 - Sale ²⁵
	25.000	502.48	07/06/20	520.00	17.52 W	0.00	3 of 3 - Sale ²⁵
07/10/20	58.000	1,165.76	Various	1,193.26	26.44 W	-1.06	Total of 3 transactions
07/17/20	1.000	17.86	07/13/20	17.65	...	0.21	Sale ²⁵
	Security total:	2,912.17		2,818.84	26.44 W	119.77	
GROUPON, INC. COMMON STOCK / CUSIP: 399473107 / Symbol:							
05/18/20	25.000	30.25	04/13/20	21.25	...	9.00	Sale ²⁵
HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol:							
8 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	50.000	196.99	04/16/20	242.50	...	-45.51	1 of 8 - Sale ²⁵
	25.000	98.49	04/20/20	120.00	...	-21.51	2 of 8 - Sale ²⁵
	25.000	98.49	04/20/20	116.75	...	-18.26	3 of 8 - Sale ²⁵
	15.000	59.10	04/21/20	66.75	...	-7.65	4 of 8 - Sale ²⁵
	10.000	39.40	04/21/20	42.00	...	-2.60	5 of 8 - Sale ²⁵
	10.000	39.40	04/22/20	40.50	...	-1.10	6 of 8 - Sale ²⁵
	15.000	59.09	04/23/20	58.50	...	0.59	7 of 8 - Sale ²⁵
	15.000	59.10	04/24/20	56.85	...	2.25	8 of 8 - Sale ²⁵
04/29/20	165.000	650.06	Various	743.85	...	-93.79	Total of 8 transactions
06/10/20	50.000	149.00	06/09/20	230.00	81.00 W	0.00	Sale ²⁵
06/12/20	25.000	81.25	06/09/20	115.00	33.75 W	0.00	Sale ²⁵

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Account 459226171

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
4 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	25.000	40.81	06/09/20	115.00	...	-74.19	1 of 4 - Sale ²⁵
	50.000	81.61	06/12/20	261.50	...	-179.89	2 of 4 - Sale ²⁵
	25.000	40.80	06/12/20	124.00	...	-83.20	3 of 4 - Sale ²⁵
	25.000	40.81	06/12/20	90.25	...	-49.44	4 of 4 - Sale ²⁵
08/12/20	125.000	204.03	Various	590.75	...	-386.72	Total of 4 transactions
	Security total:	1,084.34		1,679.60	114.75 W	-480.51	
HYLIION HOLDINGS CORP. / CUSIP: 449109107 / Symbol:							
12 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	1.000	32.82	07/13/20	23.00	...	9.82	1 of 12 - Sale ²⁵
	40.000	1,312.77	07/13/20	960.00	...	352.77	2 of 12 - Sale ²⁵
	20.000	656.38	07/17/20	450.00	...	206.38	3 of 12 - Sale ²⁵
	65.000	2,133.24	07/17/20	1,430.00	...	703.24	4 of 12 - Sale ²⁵
	20.000	656.38	07/20/20	432.00	...	224.38	5 of 12 - Sale ²⁵
	4.000	131.28	07/22/20	86.28	...	45.00	6 of 12 - Sale ²⁵
	75.000	2,461.44	07/22/20	1,620.00	...	841.44	7 of 12 - Sale ²⁵
	75.000	2,461.43	07/22/20	1,619.25	...	842.18	8 of 12 - Sale ²⁵
	50.000	1,640.96	08/21/20	1,426.50	...	214.46	9 of 12 - Sale ²⁵
	38.000	1,247.12	08/27/20	1,373.70	...	-126.58	10 of 12 - Sale ²⁵
	11.000	361.01	08/27/20	397.65	...	-36.64	11 of 12 - Sale ²⁵
	1.000	32.82	08/27/20	36.00	...	-3.18	12 of 12 - Sale ²⁵
10/07/20	400.000	13,127.65	Various	9,854.38	...	3,273.27	Total of 12 transactions
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
12/30/20	323.000	659.38	11/24/20	1,120.74	461.36 W	0.00	Sale ²⁵
INDEPENDENCE CONTRACT DRILLING , INC. / CUSIP: 453415606 / Symbol:							
08/12/20	1.000	3.11	04/15/20	9.00	...	-5.89	Sale ²⁵

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INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
2 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	50.000	147.11	04/30/20	150.50	...	-3.39	1 of 2 - Sale ²⁵
	50.000	147.11	04/30/20	150.00	...	-2.89	2 of 2 - Sale ²⁵
09/08/20	100.000	294.22	Various	300.50	...	-6.28	Total of 2 transactions
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	50.000	143.08	06/09/20	323.50	...	-180.42	1 of 2 - Sale ²⁵
	9.000	25.75	06/30/20	40.89	...	-15.14	2 of 2 - Sale ²⁵
09/15/20	59.000	168.83	Various	364.39	...	-195.56	Total of 2 transactions
	Security total:	463.05		664.89	...	-201.84	
KENSINGTON CAPITAL ACQUISITION CORP. / CUSIP: 490073103 / Symbol:							
2 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	150.000	2,737.41	09/11/20	2,787.00	...	-49.59	1 of 2 - Sale ²⁵
	75.000	1,368.71	09/11/20	1,391.25	...	-22.54	2 of 2 - Sale ²⁵
09/14/20	225.000	4,106.12	Various	4,178.25	...	-72.13	Total of 2 transactions
KITOV PHARMA LTD. AMERICAN DEP OSITARY SHARES / CUSIP: 49803V107 / Symbol:							
4 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	75.000	82.11	06/24/20	65.43	...	16.68	1 of 4 - Sale ²⁵
	525.000	574.80	06/24/20	459.22	...	115.58	2 of 4 - Sale ²⁵
	100.000	109.49	06/25/20	107.00	...	2.49	3 of 4 - Sale ²⁵
	100.000	110.99	06/25/20	107.00	...	3.99	4 of 4 - Sale ²⁵
06/30/20	800.000	877.39	Various	738.65	...	138.74	Total of 4 transactions
LANDCADIA HOLDINGS II, INC. CL ASS A COMMON STOCK / CUSIP: 51476X105 / Symbol:							
2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	8.000	126.00	06/30/20	123.84	...	2.16	1 of 2 - Sale ²⁵
	22.000	346.50	06/30/20	340.56	...	5.94	2 of 2 - Sale ²⁵
08/28/20	30.000	472.50	Various	464.40	...	8.10	Total of 2 transactions

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LONGVIEW ACQUISITION CORP. / CUSIP: 543195101 / Symbol:							
2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	22.000	450.34	12/22/20	406.34	...	44.00	1 of 2 - Sale ²⁵
	28.000	573.14	12/22/20	517.16	...	55.98	2 of 2 - Sale ²⁵
12/29/20	50.000	1,023.48	Various	923.50	...	99.98	Total of 2 transactions
LORDSTOWN MOTORS CORP. CLASS A COMMON STOCK / CUSIP: 54405Q100 / Symbol:							
12/01/20	16.000	375.04	09/11/20	357.60	...	17.44	Sale ²⁵
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	10.000	62.50	04/02/20	42.50	...	20.00	1 of 2 - Sale ²⁵
	10.000	62.50	04/03/20	60.00	...	2.50	2 of 2 - Sale ²⁵
06/05/20	20.000	125.00	Various	102.50	...	22.50	Total of 2 transactions
06/26/20	200.000	401.98	06/08/20	856.00	...	-454.02	Sale ²⁵
	Security total:	526.98		958.50	...	-431.52	
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
07/17/20	51.000	132.77	04/07/20	65.28	...	67.49	Sale ²⁵
8 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	46.000	119.60	04/07/20	58.88	...	60.72	1 of 8 - Sale ²⁵
	53.000	137.79	04/07/20	67.84	...	69.95	2 of 8 - Sale ²⁵
	1.000	2.60	04/15/20	1.70	...	0.90	3 of 8 - Sale ²⁵
	50.000	129.99	04/16/20	79.00	...	50.99	4 of 8 - Sale ²⁵
	113.000	293.78	06/09/20	374.03	...	-80.25	5 of 8 - Sale ²⁵
	100.000	259.98	06/09/20	331.00	...	-71.02	6 of 8 - Sale ²⁵
	37.000	96.19	06/09/20	122.84	...	-26.65	7 of 8 - Sale ²⁵
	100.000	259.98	06/10/20	282.00	...	-22.02	8 of 8 - Sale ²⁵
07/24/20	500.000	1,299.91	Various	1,317.29	...	-17.38	Total of 8 transactions
	Security total:	1,432.68		1,382.57	...	50.11	
MACY'S INC. / CUSIP: 55616P104 / Symbol:							
09/02/20	50.000	358.01	06/09/20	477.50	...	-119.49	Sale ²⁵

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
08/13/20	2.000	422.00	03/20/20	291.98	...	130.02	Sale ²⁵
08/14/20	0.005	1.12	06/12/20	1.02	...	0.10	Sale ²⁵
	Security total:	423.12		293.00	...	130.12	
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
08/11/20	10.000	153.30	07/08/20	146.58	...	6.72	Sale ²⁵
	2 transactions for 10/20/20. Total proceeds and cost reported to the IRS.						
	50.000	1,384.96	10/14/20	1,253.00	...	131.96	1 of 2 - Sale ²⁵
	50.000	1,384.96	10/14/20	1,242.39	...	142.57	2 of 2 - Sale ²⁵
10/20/20	100.000	2,769.92	Various	2,495.39	...	274.53	Total of 2 transactions
11/17/20	150.000	6,993.15	11/16/20	6,841.50	...	151.65	Sale ²⁵
	2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.						
	10.000	500.19	11/17/20	469.60	...	30.59	1 of 2 - Sale ²⁵
	90.000	4,501.68	11/17/20	4,226.40	...	275.28	2 of 2 - Sale ²⁵
11/20/20	100.000	5,001.87	Various	4,696.00	...	305.87	Total of 2 transactions
	Security total:	14,918.24		14,179.47	...	738.77	
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
	5 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	1.000	51.00	05/20/20	25.00	...	26.00	1 of 5 - Sale ²⁵
	9.000	458.99	05/20/20	221.85	...	237.14	2 of 5 - Sale ²⁵
	10.000	509.99	05/20/20	242.00	...	267.99	3 of 5 - Sale ²⁵
	10.000	509.99	05/20/20	232.00	...	277.99	4 of 5 - Sale ²⁵
	10.000	509.98	06/02/20	328.00	...	181.98	5 of 5 - Sale ²⁵
06/08/20	40.000	2,039.95	Various	1,048.85	...	991.10	Total of 5 transactions
07/06/20	10.000	504.33	06/02/20	328.00	...	176.33	Sale ²⁵
	Security total:	2,544.28		1,376.85	...	1,167.43	
OCCIDENTAL PETROLEUM CORPORATI ON / CUSIP: 674599105 / Symbol:							
	2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	34.000	587.22	04/01/20	353.60	...	233.62	1 of 2 - Sale ²⁵
	6.000	103.63	06/11/20	106.44	...	-2.81	2 of 2 - Sale ²⁵
07/08/20	40.000	690.85	Various	460.04	...	230.81	Total of 2 transactions

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Robinhood Securities LLC

Account 459226171

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCCIDENTAL PETROLEUM CORPORATI ON WARRANTS TO PURCHASE COMMON STOCK / CUSIP: 674599162 / Symbol:							
08/04/20	5.000	25.30	07/02/20	28.25	...	-2.95	Sale ²⁵
ORGANIGRAM HOLDINGS INC. COMMO N SHARES / CUSIP: 68620P101 / Symbol:							
<i>3 transactions for 12/01/20. Total proceeds and cost reported to the IRS.</i>							
	383.000	547.67	11/06/20	718.12	...	-170.45	1 of 3 - Sale ²⁵
	283.000	404.67	11/06/20	532.04	...	-127.37	2 of 3 - Sale ²⁵
	17.000	24.31	11/06/20	39.53	...	-15.22	3 of 3 - Sale ²⁵
12/01/20	683.000	976.65	Various	1,289.69	...	-313.04	Total of 3 transactions
12/01/20	17.000	24.31	11/06/20	31.88	7.57 W	0.00	Sale ²⁵
	Security total:	1,000.96		1,321.57	7.57 W	-313.04	
PARK HOTELS & RESORTS INC. COM MON STOCK / CUSIP: 700517105 / Symbol:							
07/07/20	25.000	236.25	05/20/20	231.75	...	4.50	Sale ²⁵
PIVOTAL INVESTMENT CORPORATION II / CUSIP: 72582K100 / Symbol:							
<i>4 transactions for 10/26/20. Total proceeds and cost reported to the IRS.</i>							
	190.000	1,934.09	09/21/20	2,392.10	...	-458.01	1 of 4 - Sale ²⁵
	110.000	1,119.74	09/21/20	1,397.00	...	-277.26	2 of 4 - Sale ²⁵
	100.000	1,019.46	09/21/20	1,259.00	...	-239.54	3 of 4 - Sale ²⁵
	100.000	1,019.46	09/21/20	1,259.00	...	-239.54	4 of 4 - Sale ²⁵
10/26/20	500.000	5,092.75	Various	6,307.10	...	-1,214.35	Total of 4 transactions
<i>2 transactions for 11/03/20. Total proceeds and cost reported to the IRS.</i>							
	233.000	2,346.62	09/21/20	2,959.10	...	-612.48	1 of 2 - Sale ²⁵
	17.000	171.22	09/21/20	215.90	...	-44.68	2 of 2 - Sale ²⁵
11/03/20	250.000	2,517.84	Various	3,175.00	...	-657.16	Total of 2 transactions
	Security total:	7,610.59		9,482.10	...	-1,871.51	
RMG ACQUISITION CORP. CLASS A COMMON STOCK / CUSIP: 749641106 / Symbol:							
12/10/20	300.000	6,120.64	12/08/20	5,169.60	...	951.04	Sale ²⁵
<i>2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	2,040.21	12/09/20	2,066.00	25.79 W	0.00	1 of 2 - Sale ²⁵
	100.000	2,040.21	12/09/20	2,066.00	25.79 W	0.00	2 of 2 - Sale ²⁵
12/10/20	200.000	4,080.42	Various	4,132.00	51.58 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 459226171

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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RMG ACQUISITION CORP. CLASS A COMMON STOCK / CUSIP: 749641106 / Symbol: (cont'd)							
2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	100.000	2,571.93	12/11/20	2,195.79	...	376.14	1 of 2 - Sale ²⁵
	100.000	2,571.93	12/11/20	2,195.79	...	376.14	2 of 2 - Sale ²⁵
12/22/20	200.000	5,143.86	Various	4,391.58	...	752.28	Total of 2 transactions
	Security total:	15,344.92		13,693.18	51.58 W	1,703.32	
RAYTHEON TECHNOLOGIES CORPORAT ION / CUSIP: 75513E101 / Symbol:							
07/07/20	5.000	313.15	05/22/20	298.20	...	14.95	Sale ²⁵
RED ROCK RESORTS, INC. CLASS A COMMON STOCK / CUSIP: 75700L108 / Symbol:							
08/05/20	20.000	254.80	05/22/20	255.00	...	-0.20	Sale ²⁵
REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol:							
2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	100.000	248.49	06/03/20	255.00	...	-6.51	1 of 2 - Sale ²⁵
	100.000	248.49	06/03/20	255.00	...	-6.51	2 of 2 - Sale ²⁵
07/06/20	200.000	496.98	Various	510.00	...	-13.02	Total of 2 transactions
SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol:							
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	40.000	667.38	07/09/20	535.20	...	132.18	1 of 2 - Sale ²⁵
	40.000	667.38	07/09/20	532.39	...	134.99	2 of 2 - Sale ²⁵
07/10/20	80.000	1,334.76	Various	1,067.59	...	267.17	Total of 2 transactions
6 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	100.000	1,423.09	07/13/20	2,036.00	612.91 W	0.00	1 of 6 - Sale ²⁵
	100.000	1,423.10	07/13/20	1,905.00	481.90 W	0.00	2 of 6 - Sale ²⁵
	100.000	1,423.09	07/13/20	1,869.00	445.91 W	0.00	3 of 6 - Sale ²⁵
	43.000	611.93	09/14/20	709.50	97.57 W	0.00	4 of 6 - Sale ²⁵
	2.000	28.46	09/14/20	33.00	4.54 W	0.00	5 of 6 - Sale ²⁵
	49.000	697.32	09/14/20	837.41	140.09 W	0.00	6 of 6 - Sale ²⁵
10/06/20	394.000	5,606.99	Various	7,389.91	1,782.92 W	0.00	Total of 6 transactions
	Security total:	6,941.75		8,457.50	1,782.92 W	267.17	

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Account 459226171

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SWITCHBACK ENERGY ACQUISITION CORPORATION / CUSIP: 87105M102 / Symbol:							
3 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	50.000	1,649.95	11/23/20	1,630.00	...	19.95	1 of 3 - Sale ²⁵
	50.000	1,649.96	11/23/20	1,630.00	...	19.96	2 of 3 - Sale ²⁵
	50.000	1,649.96	11/23/20	1,630.00	...	19.96	3 of 3 - Sale ²⁵
11/23/20	150.000	4,949.87	Various	4,890.00	...	59.87	Total of 3 transactions
3 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	20.000	808.53	11/23/20	669.00	...	139.53	1 of 3 - Sale ²⁵
	40.000	1,617.06	11/23/20	1,338.00	...	279.06	2 of 3 - Sale ²⁵
	100.000	4,042.64	11/23/20	3,345.00	...	697.64	3 of 3 - Sale ²⁵
11/24/20	160.000	6,468.23	Various	5,352.00	...	1,116.23	Total of 3 transactions
2 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	1.000	34.95	11/25/20	34.85	...	0.10	1 of 2 - Sale ²⁵
	24.000	838.78	11/25/20	836.40	...	2.38	2 of 2 - Sale ²⁵
11/25/20	25.000	873.73	Various	871.25	...	2.48	Total of 2 transactions
2 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	5.000	179.40	11/25/20	174.25	...	5.15	1 of 2 - Sale ²⁵
	95.000	3,404.71	11/25/20	3,310.75	...	93.96	2 of 2 - Sale ²⁵
11/27/20	100.000	3,584.11	Various	3,485.00	...	99.11	Total of 2 transactions
3 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	20.000	624.38	12/01/20	620.00	...	4.38	1 of 3 - Sale ²⁵
	100.000	3,121.92	12/01/20	3,100.00	...	21.92	2 of 3 - Sale ²⁵
	44.000	1,373.64	12/01/20	1,313.18	...	60.46	3 of 3 - Sale ²⁵
12/03/20	164.000	5,119.94	Various	5,033.18	...	86.76	Total of 3 transactions
	Security total:	20,995.88		19,631.43	...	1,364.45	

TATTOOED CHEF, INC CLASS A COM MON STOCK / CUSIP: 87663X102 / Symbol:

9 transactions for 11/04/20. Total proceeds and cost reported to the IRS.

	50.000	895.97	06/29/20	900.00	...	-4.03	1 of 9 - Sale ²⁵
	7.000	125.44	06/29/20	121.98	...	3.46	2 of 9 - Sale ²⁵
	50.000	895.98	06/29/20	877.00	...	18.98	3 of 9 - Sale ²⁵
	43.000	770.54	06/29/20	749.28	...	21.26	4 of 9 - Sale ²⁵
	30.000	537.58	08/24/20	515.55	...	22.03	5 of 9 - Sale ²⁵
	58.000	1,039.33	08/24/20	1,011.52	...	27.81	6 of 9 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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TATTOOED CHEF, INC CLASS A COM MON STOCK / CUSIP: 87663X102 / Symbol: (cont'd)							
	40.000	716.77	08/25/20	720.00	...	-3.23	7 of 9 - Sale ²⁵
	2.000	35.84	08/25/20	36.00	...	-0.16	8 of 9 - Sale ²⁵
	5.000	89.60	09/03/20	110.70	...	-21.10	9 of 9 - Sale ²⁵
11/04/20	285.000	5,107.05	Various	5,042.03	...	65.02	Total of 9 transactions
	2 transactions for 11/27/20. Total proceeds and cost reported to the IRS.						
	45.000	709.05	09/03/20	996.30	...	-287.25	1 of 2 - Sale ²⁵
	5.000	78.78	09/03/20	110.64	...	-31.86	2 of 2 - Sale ²⁵
11/27/20	50.000	787.83	Various	1,106.94	...	-319.11	Total of 2 transactions
	2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.						
	65.000	1,014.62	09/03/20	1,438.28	...	-423.66	1 of 2 - Sale ²⁵
	10.000	156.09	09/03/20	210.00	...	-53.91	2 of 2 - Sale ²⁵
11/30/20	75.000	1,170.71	Various	1,648.28	...	-477.57	Total of 2 transactions
	4 transactions for 12/02/20. Total proceeds and cost reported to the IRS.						
	10.000	146.49	09/03/20	210.00	...	-63.51	1 of 4 - Sale ²⁵
	5.000	73.25	09/03/20	105.00	...	-31.75	2 of 4 - Sale ²⁵
	50.000	732.48	09/23/20	1,095.00	...	-362.52	3 of 4 - Sale ²⁵
	10.000	146.49	09/24/20	178.00	...	-31.51	4 of 4 - Sale ²⁵
12/02/20	75.000	1,098.71	Various	1,588.00	...	-489.29	Total of 4 transactions
	Security total:	8,164.30		9,385.25	...	-1,220.95	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
	4 transactions for 09/21/20. Total proceeds and cost reported to the IRS.						
	2.000	897.02	09/01/20	994.00	...	-96.98	1 of 4 - Sale ²⁵
	2.000	897.02	09/03/20	821.84	...	75.18	2 of 4 - Sale ²⁵
	1.000	448.51	09/08/20	357.20	...	91.31	3 of 4 - Sale ²⁵
	2.000	897.02	09/10/20	754.00	...	143.02	4 of 4 - Sale ²⁵
09/21/20	7.000	3,139.57	Various	2,927.04	...	212.53	Total of 4 transactions
TORTOISE ACQUISITION CORP. CLA SS A COMMON STOCK / CUSIP: 89154L100 / Symbol:							
	13 transactions for 07/10/20. Total proceeds and cost reported to the IRS.						
	25.000	688.60	06/22/20	411.25	...	277.35	1 of 13 - Sale ²⁵
	40.000	1,101.77	06/22/20	658.00	...	443.77	2 of 13 - Sale ²⁵
	25.000	688.73	06/23/20	412.50	...	276.23	3 of 13 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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TORTOISE ACQUISITION CORP. CLA SS A COMMON STOCK / CUSIP: 89154L100 / Symbol: (cont'd)							
	35.000	964.05	06/23/20	621.95	...	342.10	4 of 13 - Sale ²⁵
	25.000	688.73	06/24/20	400.00	...	288.73	5 of 13 - Sale ²⁵
	20.000	550.98	06/30/20	550.60	...	0.38	6 of 13 - Sale ²⁵
	30.000	826.48	06/30/20	825.60	...	0.88	7 of 13 - Sale ²⁵
	40.000	1,101.97	07/01/20	1,071.80	...	30.17	8 of 13 - Sale ²⁵
	5.000	137.74	07/07/20	120.00	...	17.74	9 of 13 - Sale ²⁵
	5.000	137.75	07/07/20	117.50	...	20.25	10 of 13 - Sale ²⁵
	10.000	275.49	07/07/20	245.00	...	30.49	11 of 13 - Sale ²⁵
	20.000	550.99	07/07/20	520.00	...	30.99	12 of 13 - Sale ²⁵
	15.000	413.24	07/07/20	381.45	...	31.79	13 of 13 - Sale ²⁵
07/10/20	295.000	8,126.52	Various	6,335.65	...	1,790.87	Total of 13 transactions
UNDER ARMOUR, INC. / CUSIP: 904311107 / Symbol:							
2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	10.000	98.82	05/15/20	74.80	...	24.02	1 of 2 - Sale ²⁵
	20.000	197.63	05/21/20	154.00	...	43.63	2 of 2 - Sale ²⁵
07/01/20	30.000	296.45	Various	228.80	...	67.65	Total of 2 transactions
UNDER ARMOUR, INC. CLASS C COM MON STOCK, \$0.0003 1/3 PAR VAL UE / CUSIP: 904311206 / Symbol:							
5 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	10.000	90.00	05/22/20	74.70	...	15.30	1 of 5 - Sale ²⁵
	10.000	90.00	05/22/20	73.70	...	16.30	2 of 5 - Sale ²⁵
	13.000	116.99	05/27/20	105.95	...	11.04	3 of 5 - Sale ²⁵
	30.000	269.99	05/28/20	252.90	...	17.09	4 of 5 - Sale ²⁵
	20.000	179.99	05/29/20	157.20	...	22.79	5 of 5 - Sale ²⁵
07/01/20	83.000	746.97	Various	664.45	...	82.52	Total of 5 transactions
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	10.000	330.91	04/02/20	245.00	...	85.91	1 of 2 - Sale ²⁵
	10.000	330.91	04/02/20	245.00	...	85.91	2 of 2 - Sale ²⁵
07/23/20	20.000	661.82	Various	490.00	...	171.82	Total of 2 transactions

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(continued)

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URBAN ONE, INC. CLASS D COMMON STOCK / CUSIP: 91705J204 / Symbol:							
2 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	49.000	54.88	06/22/20	177.87	...	-122.99	1 of 2 - Sale ²⁵
	29.000	32.48	06/22/20	105.27	...	-72.79	2 of 2 - Sale ²⁵
10/06/20	78.000	87.36	Various	283.14	...	-195.78	Total of 2 transactions
VELODYNE LIDAR, INC. COMMON STOCK / CUSIP: 92259F101 / Symbol:							
4 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	50.000	750.58	09/01/20	1,132.50	...	-381.92	1 of 4 - Sale ²⁵
	40.000	600.46	09/01/20	849.20	...	-248.74	2 of 4 - Sale ²⁵
	20.000	300.23	09/03/20	442.00	...	-141.77	3 of 4 - Sale ²⁵
	4.000	60.05	09/03/20	88.40	...	-28.35	4 of 4 - Sale ²⁵
11/06/20	114.000	1,711.32	Various	2,512.10	...	-800.78	Total of 4 transactions
VIACOMCBS INC. CLASS B COMMON STOCK / CUSIP: 92556H206 / Symbol:							
07/07/20	15.000	350.45	05/27/20	328.95	...	21.50	Sale ²⁵
WHOLE EARTH BRANDS, INC. CLASS A COMMON STOCK / CUSIP: 96684W100 / Symbol:							
3 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	45.000	355.26	06/30/20	459.24	...	-103.98	1 of 3 - Sale ²⁵
	50.000	394.74	06/30/20	455.26	...	-60.52	2 of 3 - Sale ²⁵
	5.000	39.47	06/30/20	51.03	...	-11.56	3 of 3 - Sale ²⁵
07/23/20	100.000	789.47	Various	965.53	...	-176.06	Total of 3 transactions
3 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	45.000	355.26	06/29/20	434.25	78.99 W	0.00	1 of 3 - Sale ²⁵
	5.000	39.47	06/29/20	48.25	8.78 W	0.00	2 of 3 - Sale ²⁵
	50.000	394.74	06/29/20	427.50	32.76 W	0.00	3 of 3 - Sale ²⁵
07/23/20	100.000	789.47	Various	910.00	120.53 W	0.00	Total of 3 transactions
	Security total:	1,578.94		1,875.53	120.53 W	-176.06	
WORKHORSE GROUP, INC. COMMON STOCK / CUSIP: 98138J206 / Symbol:							
6 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	100.000	1,639.95	06/24/20	880.00	...	759.95	1 of 6 - Sale ²⁵
	30.000	491.99	06/25/20	234.00	...	257.99	2 of 6 - Sale ²⁵
	30.000	491.98	06/25/20	228.60	...	263.38	3 of 6 - Sale ²⁵

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Robinhood Securities LLC

Account 459226171

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	40.000	655.98	06/26/20	383.20	...	272.78	4 of 6 - Sale ²⁵
	50.000	819.97	06/26/20	486.94	...	333.03	5 of 6 - Sale ²⁵
	50.000	819.98	06/26/20	477.50	...	342.48	6 of 6 - Sale ²⁵
07/29/20	300.000	4,919.85	Various	2,690.24	...	2,229.61	Total of 6 transactions
	3 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	14.000	228.19	08/03/20	225.40	...	2.79	1 of 3 - Sale ²⁵
	25.000	407.49	08/03/20	402.50	...	4.99	2 of 3 - Sale ²⁵
	36.000	586.78	08/03/20	579.60	...	7.18	3 of 3 - Sale ²⁵
08/18/20	75.000	1,222.46	Various	1,207.50	...	14.96	Total of 3 transactions
	8 transactions for 11/04/20. Total proceeds and cost reported to the IRS.						
	1.000	18.72	08/03/20	16.10	...	2.62	1 of 8 - Sale ²⁵
	1.000	18.73	08/03/20	16.10	...	2.63	2 of 8 - Sale ²⁵
	10.000	187.19	08/03/20	161.00	...	26.19	3 of 8 - Sale ²⁵
	23.000	430.78	08/03/20	370.30	...	60.48	4 of 8 - Sale ²⁵
	26.000	486.71	08/03/20	418.60	...	68.11	5 of 8 - Sale ²⁵
	59.000	1,104.45	08/03/20	949.90	...	154.55	6 of 8 - Sale ²⁵
	105.000	1,965.54	08/03/20	1,690.50	...	275.04	7 of 8 - Sale ²⁵
	75.000	1,404.70	08/06/20	1,245.00	...	159.70	8 of 8 - Sale ²⁵
11/04/20	300.000	5,616.82	Various	4,867.50	...	749.32	Total of 8 transactions
	10 transactions for 11/12/20. Total proceeds and cost reported to the IRS.						
	1.000	20.48	11/05/20	17.25	...	3.23	1 of 10 - Sale ²⁵
	6.000	122.88	11/05/20	103.50	...	19.38	2 of 10 - Sale ²⁵
	100.000	2,047.94	11/05/20	1,769.00	...	278.94	3 of 10 - Sale ²⁵
	100.000	2,047.94	11/05/20	1,769.00	...	278.94	4 of 10 - Sale ²⁵
	100.000	2,047.94	11/05/20	1,769.00	...	278.94	5 of 10 - Sale ²⁵
	293.000	6,000.47	11/05/20	5,054.25	...	946.22	6 of 10 - Sale ²⁵
	7.000	143.36	11/09/20	133.26	...	10.10	7 of 10 - Sale ²⁵
	10.000	204.80	11/09/20	190.38	...	14.42	8 of 10 - Sale ²⁵
	208.000	4,259.72	11/09/20	3,959.87	...	299.85	9 of 10 - Sale ²⁵
	100.000	2,047.94	11/10/20	1,801.00	...	246.94	10 of 10 - Sale ²⁵
11/12/20	925.000	18,943.47	Various	16,566.51	...	2,376.96	Total of 10 transactions
11/25/20	90.000	2,515.92	11/18/20	2,028.15	...	487.77	Sale ²⁵
11/27/20	100.000	2,799.92	11/18/20	2,253.50	...	546.42	Sale ²⁵

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Robinhood Securities LLC

Account 459226171

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
11/30/20	75.000	1,992.69	11/18/20	1,690.12	...	302.57	Sale ²⁵
	Security total:	38,011.13		31,303.52	...	6,707.61	
WYNN RESORTS, LIMITED COMMON S TOCK / CUSIP: 983134107 / Symbol:							
07/13/20	10.000	809.63	05/22/20	779.00	...	30.63	Sale ²⁵
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U604 / Symbol:							
06/05/20	200.000	599.96	06/04/20	332.00	...	267.96	Sale ²⁵
TPG PACE BENEFICIAL FINANCE CO RP. / CUSIP: G8990D125 / Symbol:							
2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	1.000	31.80	12/17/20	21.40	...	10.40	1 of 2 - Sale ²⁵
	91.000	2,893.73	12/17/20	1,947.40	...	946.33	2 of 2 - Sale ²⁵
12/23/20	92.000	2,925.53	Various	1,968.80	...	956.73	Total of 2 transactions
VG ACQUISITION CORP. / CUSIP: G9446E105 / Symbol:							
15 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	1.000	10.61	11/25/20	9.92	...	0.69	1 of 15 - Sale ²⁵
	2.000	21.22	11/25/20	19.80	...	1.42	2 of 15 - Sale ²⁵
	10.000	106.10	11/25/20	99.20	...	6.90	3 of 15 - Sale ²⁵
	14.000	148.53	11/25/20	138.60	...	9.93	4 of 15 - Sale ²⁵
	50.000	530.51	11/25/20	497.50	...	33.01	5 of 15 - Sale ²⁵
	64.000	679.02	11/25/20	633.60	...	45.42	6 of 15 - Sale ²⁵
	95.000	1,007.92	11/25/20	945.25	...	62.67	7 of 15 - Sale ²⁵
	2.000	21.22	11/27/20	20.86	...	0.36	8 of 15 - Sale ²⁵
	60.000	636.58	11/27/20	630.00	...	6.58	9 of 15 - Sale ²⁵
	75.000	795.72	11/27/20	787.50	...	8.22	10 of 15 - Sale ²⁵
	61.000	647.19	11/27/20	636.23	...	10.96	11 of 15 - Sale ²⁵
	62.000	657.80	11/27/20	646.66	...	11.14	12 of 15 - Sale ²⁵
	95.000	1,007.92	11/27/20	991.80	...	16.12	13 of 15 - Sale ²⁵
	100.000	1,060.96	11/27/20	1,044.00	...	16.96	14 of 15 - Sale ²⁵
	155.000	1,644.49	11/27/20	1,618.20	...	26.29	15 of 15 - Sale ²⁵
12/01/20	846.000	8,975.79	Various	8,719.12	...	256.67	Total of 15 transactions

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Robinhood Securities LLC

Account 459226171

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VG ACQUISITION CORP. / CUSIP: G9446E105 / Symbol: (cont'd)							
5 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	57.000	643.33	12/14/20	665.76	...	-22.43	1 of 5 - Sale ²⁵
	31.000	354.33	12/14/20	374.28	...	-19.95	2 of 5 - Sale ²⁵
	10.000	112.92	12/14/20	120.73	...	-7.81	3 of 5 - Sale ²⁵
	7.000	80.01	12/14/20	84.52	...	-4.51	4 of 5 - Sale ²⁵
	2.000	22.86	12/14/20	24.15	...	-1.29	5 of 5 - Sale ²⁵
12/16/20	107.000	1,213.45	Various	1,269.44	...	-55.99	Total of 5 transactions
12/16/20	93.000	1,049.64	12/14/20	1,086.24	19.68 W	-16.92	Sale ²⁵
	Security total:	11,238.88		11,074.80	19.68 W	183.76	
SEANERGY MARITIME HOLDINGS COR P COMMON STOCK / CUSIP: Y73760194 / Symbol:							
5 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	125.000	58.00	06/23/20	592.00	...	-534.00	1 of 5 - Sale ²⁵
	81.250	37.41	06/23/20	344.50	...	-307.09	2 of 5 - Sale ²⁵
	31.000	14.38	06/23/20	131.44	...	-117.06	3 of 5 - Sale ²⁵
	10.875	5.01	06/23/20	46.11	...	-41.10	4 of 5 - Sale ²⁵
	1.875	0.87	06/23/20	7.92	...	-7.05	5 of 5 - Sale ²⁵
10/06/20	250.000	115.67	Various	1,121.97	...	-1,006.30	Total of 5 transactions
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y180 / Symbol:							
08/21/20	100.000	143.05	06/08/20	457.00	...	-313.95	Sale ²⁵
Totals :		332,279.43		323,661.68	3,465.78 W	12,083.53	

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Robinhood Securities LLC

Account 459226171

Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol: 07/08/20	2.005	2.83	06/30/20	9.11	...	-6.28	Gain or loss
Totals:		2.83		9.11	...	-6.28	

Robinhood Securities LLC

Account 459226171

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		05/14/20	1.64	Qualified dividend	
BANK OF AMERICA CORPORATION	060505104		06/26/20	0.18	Qualified dividend	
INVESCO MORTGAGE CAPITAL INC.	46131B100		06/30/20	5.58	Nondividend distribution	03
MICROSOFT CORPORATION COMMON STOCK	594918104		06/11/20	1.02	Qualified dividend	
OCCIDENTAL PETROLEUM CORPORATION	674599105		07/15/20	0.40	Qualified dividend	
VIACOMCBS INC. CLASS B COMMON STOCK	92556H206		07/01/20	3.60	Qualified dividend	
				12.42	Total Dividends & distributions	

Robinhood Securities LLC

Account 459226171

Detail for Miscellaneous Income

2020

02/14/2021

<u>Security description</u>	<u>CUSIP and/or symbol</u>	<u>Date</u>	<u>Amount</u>	<u>Transaction type</u>	<u>Notes</u>
INVESCO MORTGAGE CAPITAL INC.	46131B100	07/28/20	3.18	Substitute payment	
			3.18	Total Miscellaneous income	

Robinhood Securities LLC

Account 459226171

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		07/22/20	-5.00	Margin interest paid	
		07/22/20	-26.14	Margin interest paid	
		08/21/20	-5.00	Margin interest paid	
		08/21/20	-23.57	Margin interest paid	
		09/21/20	-5.00	Margin interest paid	
		09/21/20	-34.07	Margin interest paid	
		10/20/20	-5.00	Margin interest paid	
		10/20/20	-90.28	Margin interest paid	
		11/19/20	-5.00	Margin interest paid	
		11/19/20	-31.68	Margin interest paid	
		12/21/20	-5.00	Margin interest paid	
		12/21/20	-104.32	Margin interest paid	
			-340.06	Total Margin interest paid	
			-340.06	Total Margin interest paid	

Robinhood Securities LLC

Account 459226171

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
DIAMONDPEAK HOLDINGS CORP. CLASS A COMMON STOCK	25280H100	10/26/20	-516.00	0.00	Exchange	
FISKER INC.	33813J106	10/30/20	436.00	0.00	Exchange	
FORUM MERGER II CORPORATION CLASS A COMMON STOCK	34986F103	10/16/20	-485.00	0.00	Exchange	
GRAF INDUSTRIAL CORP. COMMON STOCK	384278107	09/30/20	-114.00	0.00	Exchange	
HYLIION HOLDINGS CORP.	449109107	10/02/20	400.00	0.00	Merger	
INVESCO MORTGAGE CAPITAL INC.	46131B100	06/30/20	9.00	0.00	Stock dividend	
LORDSTOWN MOTORS CORP. CLASS A COMMON STOCK	54405Q100	10/26/20	516.00	0.00	Exchange	
NIKOLA CORPORATION COMMON STOCK	654110105	06/04/20	50.00	0.00	Merger	
OCCIDENTAL PETROLEUM CORPORATION WARRANTS TO PURCHASE COMMON STOCK	674599162	08/03/20	5.00	0.00	Stock spinoff	
SPARTAN ENERGY ACQUISITION CORP.	846784106	10/30/20	-436.00	0.00	Exchange	
TATTOOED CHEF, INC CLASS A COMMON STOCK	87663X102	10/16/20	485.00	0.00	Exchange	
TORTOISE ACQUISITION CORP. CLASS A COMMON STOCK	89154L100	10/02/20	-400.00	0.00	Merger	
VECTOIQ ACQUISITION CORP. COMMON STOCK	92243N103	06/04/20	-50.00	0.00	Merger	
VELOCITY LIDAR, INC. COMMON STOCK	92259F101	09/30/20	114.00	0.00	Exchange	

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

Instructions for Recipient

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction

directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

1099-MISC Instructions for Recipient

Line 2. Report royalties from oil, gas, or mineral properties, copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the box 7 instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

Line 3. Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

Line 4. See "Backup Withholding" section.

Line 8. Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

Lines 16-18. Show state or local income tax withheld from the payments.