

E*TRADE SECURITIES LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484

Account No: 35863917
Account Name: MAHENDRA P GODBOLE
Recipient's TIN: ***-**-7940

Account Executive No:

ORIGINAL: 12/31/2020

CONSOLIDATED 2020 FORMS 1099 AND DETAILS

MAHENDRA P GODBOLE
4228 TURNWORTH ARCH
VIRGINIA BEACH, VA 23456-7785

YEAR-END MESSAGES

CERTAIN EVENTS SUCH AS COST BASIS, ORIGINAL ISSUE DISCOUNT ADJUSTMENTS OR RECLASSIFICATIONS OF AN INCOME PAYMENT RECEIVED FROM A MUTUAL FUND, ETF, REIT, OR RIC MAY RESULT IN AN AMENDED 1099 FOR PRIOR OR CURRENT TAX YEARS. PLEASE SEE INSERT FOR DETAILS OR VISIT WWW.ETRADE.COM/TAXCENTER FOR ANY UPDATES. IF YOU HAVE QUESTIONS PLEASE CALL 800-ETRADE-1 (800-387-2331) OR LOG ON TO YOUR ACCOUNT AND SEND US A SECURE MESSAGE BY CLICKING ON THE CUSTOMER SERVICE LINK AT THE TOP OF THE PAGE

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Your Account did not receive the following Forms:

1099-INT
1099-OID
1099-MISC

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Account No: 35863917
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Account Executive No:
ORIGINAL: 12/31/2020

RECIPIENT'S Name, Street Address, City, State, and Zip Code

MAHENDRA P GODBOLE
4228 TURNWORTH ARCH
VIRGINIA BEACH, VA 23456-7785

PAYER'S TIN: 35-2167612

PAYER'S Name, Street, City, State, Zip Code:

E*TRADE SECURITIES LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484

Telephone Number: 800 387 2331

FATCA Filing Requirement

2020 CONSOLIDATED FORMS 1099

2020 FORM 1099-DIV IRS Box	DIVIDENDS AND DISTRIBUTIONS	OMB NO. 1545-0110
1a.	Total ordinary dividends (includes Boxes 1b, 5, 6)	\$374.21
1b.	Qualified dividends	\$374.21
2a.	Total capital gain distributions (includes Boxes 2b, 2c, 2d)	\$0.00
2b.	Unrecaptured section 1250 gain	\$0.00
2c.	Section 1202 gain	\$0.00
2d.	Collectibles (28%) gain	\$0.00
3.	Nondividend distributions	\$0.00
4.	Federal income tax withheld	\$0.00
5.	Section 199A dividends	\$0.00
6.	Investment expenses	\$0.00
7.	Foreign tax paid	\$17.46
8.	Foreign country or U.S. possession	TAIWAN
9.	Cash liquidation distributions	\$0.00
10.	Noncash liquidation distributions	\$0.00
11.	Exempt-interest dividends (includes Box 12)	\$0.00
12.	Specified private activity bond interest dividends (AMT)	\$0.00

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT). KEEP FOR YOUR RECORDS.

This is important tax information and is being furnished to the IRS. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Form 1099-DIV (OMB NO. 1545-0110)

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS. **FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938. **Account number.** May show an account or other unique number the payer assigned to distinguish your account. **Box 1a.** Shows total ordinary dividends that are taxable. Includes the amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR) if required. **Box 1b.** Shows the portion of the amount in box 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose. **Box 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in boxes 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR. **Box 2b.** Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR). **Box 2c.** Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions. **Box 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR). **Box 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550. **Box 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld. **Box 5.** Shows the portion of the amount in box 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A. **Box 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in box 1a. **Box 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR. **Box 8.** This box should be left blank if a RIC reported the foreign tax shown in box 7. **Boxes 9 and 10.** Show cash and non-cash liquidation distributions. **Box 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See Box 4 above. **Box 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 11. See the Instructions for Form 6251. **Boxes 13-15.** State income tax withheld reporting boxes. **Nominees.** If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. **Future developments.** For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

Form 1099-INT (OMB NO. 1545-0112)

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you. **Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS. **FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938. **Account number.** May show an account or other unique number the payer assigned to distinguish your account. **Box 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium. **Box 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction. **Box 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium. **Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld. **Box 5.** Any amount shown is your share of investment expenses of a single class REMIC. This amount is included in box 1. **Note:** This amount is not deductible. **Box 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions. **Box 7.** Shows the country or U.S. possession to which the foreign tax was paid. **Box 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Box 4 above. See the instructions above for a tax-exempt covered security acquired at a premium. **Box 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium. **Box 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5) shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID for a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income. **Box 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in box 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). **Box 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 3. If the amount in box 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4). **Box 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this box, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this box for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, whichever is applicable. If the amount in box 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii). **Box 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Boxes 15-17.** State tax withheld reporting boxes. **Nominees.** If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. **Future developments.** For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.

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FORM 1099-B TOTALS SUMMARY

REALIZED GAIN / LOSS SUMMARY

Refer to Proceeds from Broker and Barter Exchange Transactions for detailed information regarding these summary values. The amounts shown below are for informational purposes only.

SHORT-TERM GAINS OR (LOSSES) – REPORT ON FORM 8949, PART I	PROCEEDS	COST BASIS	MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	REALIZED GAIN OR (LOSS)
Box A (basis reported to IRS)	\$133,050.80	\$126,353.89	\$0.00	\$1,880.00	\$6,696.91
Box A – Ordinary – (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B – Ordinary – (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total Short-Term	\$133,050.80	\$126,353.89	\$0.00	\$1,880.00	\$6,696.91
LONG-TERM GAINS OR (LOSSES) – REPORT ON FORM 8949, PART II					
Box D (basis reported to IRS)	\$4,484.11	\$4,895.23	\$0.00	\$0.00	(\$411.12)
Box D – Ordinary – (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box E (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box E – Ordinary – (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total Long-Term	\$4,484.11	\$4,895.23	\$0.00	\$0.00	(\$411.12)
UNKNOWN TERM – CODE (X) REPORT ON FORM 8949 PART I OR PART II					
Box B or Box E (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B or Box E – Ordinary – (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Total Unknown Term	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

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Account Executive No:

ORIGINAL: 12/31/2020

RECIPIENT'S Name, Street Address, City, State, and Zip Code

MAHENDRA P GODBOLE
4228 TURNWORTH ARCH
VIRGINIA BEACH, VA 23456-7785

PAYER'S TIN: 35-2167612

PAYER'S Name, Street, City, State, Zip Code:

E*TRADE SECURITIES LLC
PO BOX 484
JERSEY CITY, NJ 07303-0484

Telephone Number: 800 387 2331

FATCA Filing Requirement

2020 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. You should review this information carefully when completing your Form 8949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For these reasons, the IRS requires us to provide you with this reminder: **Taxpayers are ultimately responsible for the accuracy of their tax returns.**

Covered Short-Term Gains or Losses on Gross Proceeds

Report on **Form 8949, Part I** with **Box A** checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 12: Basis Reported to the IRS

Box 2: Type of Gain or Loss – **Short-Term**

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Description of property CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
AIM IMMUNOTECH INC	1,000.00000	02/27/2020	02/28/2020	\$2,339.82	\$1,778.50	\$0.00	\$0.00	\$561.32	
COMMON STOCK	1,000.00000	02/28/2020	03/03/2020	\$1,450.04	\$2,528.30	\$0.00	\$1,078.26	(\$1,078.26)	
CUSIP: 00901B105	600.00000	02/28/2020	03/04/2020	\$1,511.89	\$2,022.44	\$0.00	\$0.00	(\$510.55)	
	200.00000	02/28/2020	03/04/2020	\$505.97	\$698.65	\$0.00	\$0.00	(\$192.68)	
	800.00000	02/28/2020	03/04/2020	\$2,023.86	\$2,792.61	\$0.00	\$576.56	(\$768.75)	
	4,000.00000	03/04/2020	03/04/2020	\$10,119.30	\$9,660.00	\$0.00	\$0.00	\$459.30	
	1,000.00000	03/04/2020	03/04/2020	\$2,529.82	\$2,409.90	\$0.00	\$0.00	\$119.92	
	400.00000	03/04/2020	03/04/2020	\$1,011.93	\$963.92	\$0.00	\$0.00	\$48.01	
Subtotals	9,000.00000			\$21,492.63	\$22,854.32	\$0.00	\$1,654.82	(\$1,361.69)	
COGNIZANT TECHNOLOGY SOLUTIO CORP CL A	50.00000	06/30/2019	02/10/2020	\$3,461.44	\$2,852.55	\$0.00	\$0.00	\$608.89	
CUSIP: 192446102	53.00000	09/30/2019	02/10/2020	\$3,669.13	\$2,874.64	\$0.00	\$0.00	\$794.49	
	78.00000	12/31/2019	02/10/2020	\$5,399.85	\$4,255.52	\$0.00	\$0.00	\$1,144.33	
	25.00000	03/18/2020	12/16/2020	\$1,998.46	\$1,225.00	\$0.00	\$0.00	\$773.46	

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Account Executive No:

ORIGINAL: 12/31/2020

2020 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 12: Basis Reported to the IRS

Box 2: Type of Gain or Loss -Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Description of property CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
COGNIZANT TECHNOLOGY SOLUTIO CORP CL A CUSIP: 192446102	75.00000	03/18/2020	12/21/2020	\$5,921.85	\$3,675.00	\$0.00	\$0.00	\$2,246.85	
Subtotals	281.00000			\$20,450.73	\$14,882.71	\$0.00	\$0.00	\$5,568.02	
CYCLACEL PHARMACEUTICALS INC COMMON STOCK CUSIP: 23254L603	1,000.00000	04/22/2020	04/30/2020	\$4,023.29	\$4,014.80	\$0.00	\$0.00	\$8.49	
GENPREX INC COMMON STOCK CUSIP: 372446104	1,788.00000	02/14/2020	02/14/2020	\$8,832.31	\$8,452.95	\$0.00	\$0.00	\$379.36	
	112.00000	02/14/2020	02/14/2020	\$553.25	\$529.75	\$0.00	\$0.00	\$23.50	
	100.00000	02/14/2020	02/14/2020	\$493.98	\$472.99	\$0.00	\$0.00	\$20.99	
Subtotals	2,000.00000			\$9,879.54	\$9,455.69	\$0.00	\$0.00	\$423.85	
IBIO INC COMMON STOCK CUSIP: 451033203	1,000.00000	02/28/2020	02/28/2020	\$2,291.52	\$2,190.00	\$0.00	\$0.00	\$101.52	
	1,000.00000	03/02/2020	03/02/2020	\$2,528.82	\$2,670.00	\$0.00	\$141.18	(\$141.18)	
	500.00000	03/02/2020	03/03/2020	\$859.92	\$1,225.59	\$0.00	\$0.00	(\$365.67)	
	493.00000	03/02/2020	03/03/2020	\$847.88	\$1,203.50	\$0.00	\$0.00	(\$355.62)	
	7.00000	03/02/2020	03/03/2020	\$12.04	\$17.09	\$0.00	\$0.00	(\$5.05)	
Subtotals	3,000.00000			\$6,540.18	\$7,306.18	\$0.00	\$141.18	(\$766.00)	
INOVIO PHARMACEUTICALS INC COM CUSIP: 45773H201	220.00000	03/06/2020	03/06/2020	\$2,555.10	\$2,608.21	\$0.00	\$0.00	(\$53.11)	
	9.00000	03/06/2020	03/06/2020	\$110.18	\$111.60	\$0.00	\$0.00	(\$1.42)	
	280.00000	03/06/2020	03/06/2020	\$3,252.85	\$3,319.54	\$0.00	\$0.00	(\$66.69)	
	991.00000	03/06/2020	03/06/2020	\$12,131.82	\$12,298.31	\$0.00	\$84.00	(\$166.49)	
	1,000.00000	05/01/2020	05/07/2020	\$10,919.63	\$10,839.90	\$0.00	\$0.00	\$79.73	
Subtotals	2,500.00000			\$28,969.58	\$29,177.56	\$0.00	\$84.00	(\$207.98)	
KOHL'S CORP CUSIP: 500255104	1,000.00000	03/25/2020	03/25/2020	\$16,259.52	\$16,036.30	\$0.00	\$0.00	\$223.22	

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 ORIGINAL: 12/31/2020

2020 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 12: Basis Reported to the IRS

Box 2: Type of Gain or Loss -Short-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Description of property CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
MARINUS PHARMACEUTICALS INC COM CUSIP: 56854Q101	900.00000	03/03/2020	03/03/2020	\$2,378.89	\$2,344.50	\$0.00	\$0.00	\$34.39	
	100.00000	03/03/2020	03/03/2020	\$264.33	\$260.50	\$0.00	\$0.00	\$3.83	
Subtotals	1,000.00000			\$2,643.22	\$2,605.00	\$0.00	\$0.00	\$38.22	
***NOKIA CORPORATION SPONSORED ADR REPSTG 1 SER A CUSIP: 654902204	50.00000	10/25/2019	01/24/2020	\$204.48	\$190.00	\$0.00	\$0.00	\$14.48	
SKYWORKS SOLUTIONS INC CUSIP: 83088M102	100.00000	03/16/2020	03/20/2020	\$8,078.81	\$7,492.94	\$0.00	\$0.00	\$585.87	
SORRENTO THERAPEUTICS INC CUSIP: 83587F202	100.00000	05/27/2020	12/21/2020	\$759.47	\$500.00	\$0.00	\$0.00	\$259.47	
	500.00000	05/28/2020	12/21/2020	\$3,797.35	\$2,497.85	\$0.00	\$0.00	\$1,299.50	
	50.00000	06/02/2020	12/21/2020	\$379.74	\$246.80	\$0.00	\$0.00	\$132.94	
Subtotals	650.00000			\$4,936.56	\$3,244.65	\$0.00	\$0.00	\$1,691.91	
***TAIWAN SEMICONDUCTOR MFG LTD-SPONSORED ADR REPSTG 5 C CUSIP: 874039100	100.00000	03/16/2020	03/20/2020	\$4,786.13	\$4,641.50	\$0.00	\$0.00	\$144.63	
	100.00000	03/18/2020	03/20/2020	\$4,786.13	\$4,452.24	\$0.00	\$0.00	\$333.89	
Subtotals	200.00000			\$9,572.26	\$9,093.74	\$0.00	\$0.00	\$478.52	
37 ITEMS - TOTAL				\$133,050.80	\$126,353.89	\$0.00	\$1,880.00	\$6,696.91	

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT). KEEP FOR YOUR RECORDS.
 This is important tax information and is being furnished to the IRS. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

E*TRADE SECURITIES LLC
 PO BOX 484
 JERSEY CITY, NJ 07303-0484

Account No: 35863917
 Account Name: MAHENDRA P GODBOLE
 Recipient's TIN: ***-**-7940
 Account Executive No:
 ORIGINAL: 12/31/2020

2020 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

Covered Long-Term Gains or Losses on Gross Proceeds

Report on **Form 8949, Part II** with **Box D** checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 12: Basis Reported to the IRS

Box 2: Type of Gain or Loss -Long-Term

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Description of property CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
AVEO PHARMACEUTICALS INC COMMON STOCK CUSIP: 053588307	49.20000	09/21/2018	04/30/2020	\$335.44	\$1,402.84	\$0.00	\$0.00	(\$1,067.40)	
	0.80000	10/03/2018	04/30/2020	\$5.45	\$30.44	\$0.00	\$0.00	(\$24.99)	
	100.00000	03/14/2019	04/30/2020	\$681.78	\$605.35	\$0.00	\$0.00	\$76.43	
Subtotals	150.00000			\$1,022.67	\$2,038.63	\$0.00	\$0.00	(\$1,015.96)	
COGNIZANT TECHNOLOGY SOLUTIO CORP CL A CUSIP: 192446102	50.00000	12/31/2018	02/10/2020	\$3,461.44	\$2,856.60	\$0.00	\$0.00	\$604.84	
4 ITEMS - TOTAL				\$4,484.11	\$4,895.23	\$0.00	\$0.00	(\$411.12)	

FOOTNOTES

SHORT SALE - Short sales covered in December that settle in January will be reported on your Form 1099-B in the year they are settled.

END OF 2020 FORM 1099-B

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Form 1099-B (OMB NO. 1545-0715)

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Box 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Box 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Box 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Box 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Box 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Box 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Box 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Box 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Box 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Box 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Box 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Boxes 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

Form 1099-B (OMB NO. 1545-0715)**Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11)**

Box 8. Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2020.

Box 9. Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2019.

Box 10. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2020. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts-12/31/2020 in 2021.

Box 11. Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2020 Form 6781.

E*TRADE SECURITIES LLC
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Account No: 35863917
 Account Name: MAHENDRA P GODBOLE
 Recipient's TIN: ***-**-7940

Account Executive No:

ORIGINAL: 12/31/2020

DETAILS OF 2020 FORM 1099-DIV

Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional information
02/28/20	COGNIZANT TECHNOLOGY SOLUTIONS COR	192446102	QUALIFIED DIVIDEND	\$21.12	-	-	
05/29/20	COGNIZANT TECHNOLOGY SOLUTIONS COR	192446102	QUALIFIED DIVIDEND	\$22.00	-	-	
05/29/20	COGNIZANT TECHNOLOGY SOLUTIONS COR	192446102	QUALIFIED DIVIDEND	\$45.54	-	-	
08/31/20	COGNIZANT TECHNOLOGY SOLUTIONS COR	192446102	QUALIFIED DIVIDEND	\$22.00	-	-	
08/31/20	COGNIZANT TECHNOLOGY SOLUTIONS COR	192446102	QUALIFIED DIVIDEND	\$69.96	-	-	
11/30/20	COGNIZANT TECHNOLOGY SOLUTIONS COR	192446102	QUALIFIED DIVIDEND	\$22.00	-	-	
11/30/20	COGNIZANT TECHNOLOGY SOLUTIONS COR	192446102	QUALIFIED DIVIDEND	\$88.44	-	-	
04/16/20	***TAIWAN SEMICONDUCTOR MFG CO LTD	874039100	QUALIFIED DIVIDEND	\$83.15	\$17.46	-	Box 8: TAIWAN
Total Qualified Dividends (Box 1b included in Box 1a)				\$374.21			
Total Ordinary Dividends (Box 1a)				\$374.21			
Total Foreign Tax Paid (Box 7)					\$17.46		

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 Account Name: MAHENDRA P GODBOLE
 Recipient's TIN: ***-**-7940

Account Executive No:

ORIGINAL: 12/31/2020

2020 INVESTMENT DETAILS

DETAILS OF 2020 INVESTMENT ACTIVITY

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
02/27/20	AIM IMMUNOTECH INC COMMON STOCK	00901B105	PURCHASE	1,000.000	\$1.78	\$1,778.50	
02/28/20	AIM IMMUNOTECH INC COMMON STOCK	00901B105	PURCHASE	1,000.000	\$2.53	\$2,528.30	
02/28/20	AIM IMMUNOTECH INC COMMON STOCK	00901B105	SELL	1,000.000	\$2.34	\$2,339.82	
03/03/20	AIM IMMUNOTECH INC COMMON STOCK	00901B105	SELL	1,000.000	\$1.45	\$1,450.04	
03/04/20	AIM IMMUNOTECH INC COMMON STOCK	00901B105	PURCHASE	800.000	\$2.41	\$1,930.00	
03/04/20	AIM IMMUNOTECH INC COMMON STOCK	00901B105	PURCHASE	1,000.000	\$2.41	\$2,409.90	
03/04/20	AIM IMMUNOTECH INC COMMON STOCK	00901B105	PURCHASE	1,000.000	\$2.41	\$2,409.80	
03/04/20	AIM IMMUNOTECH INC COMMON STOCK	00901B105	PURCHASE	4,200.000	\$2.42	\$10,143.00	
03/04/20	AIM IMMUNOTECH INC COMMON STOCK	00901B105	SELL	600.000	\$2.52	\$1,511.89	
03/04/20	AIM IMMUNOTECH INC COMMON STOCK	00901B105	SELL	6,400.000	\$2.53	\$16,190.88	
12/28/20	ATOSSA THERAPEUTICS INC COMMON STOCK	04962H506	PURCHASE	1,000.000	\$0.90	\$900.00	
03/04/20	AVEO PHARMACEUTICALS INC COMMON STOCK	053588307	CASH IN LIEU		-	\$4.46	
04/30/20	AVEO PHARMACEUTICALS INC COMMON STOCK	053588307	SELL	150.000	\$6.82	\$1,022.67	
02/10/20	COGNIZANT TECHNOLOGY SOLUTIONS CORP CL	192446102	SELL	231.000	\$69.30	\$15,991.86	
03/18/20	COGNIZANT TECHNOLOGY SOLUTIONS CORP CL	192446102	PURCHASE	100.000	\$49.00	\$4,900.00	
12/16/20	COGNIZANT TECHNOLOGY SOLUTIONS CORP CL	192446102	SELL	25.000	\$79.94	\$1,998.46	
12/21/20	COGNIZANT TECHNOLOGY SOLUTIONS CORP CL	192446102	SELL	75.000	\$78.96	\$5,921.85	
04/22/20	CYCLACEL PHARMACEUTICALS INC COMMON STO	23254L603	PURCHASE	1,000.000	\$4.01	\$4,014.80	
04/30/20	CYCLACEL PHARMACEUTICALS INC COMMON STO	23254L603	SELL	1,000.000	\$4.02	\$4,023.29	
05/11/20	EDITAS MEDICINE INC COM	28106W103	PURCHASE	5.000	\$25.23	\$126.15	
05/11/20	EDITAS MEDICINE INC COM	28106W103	PURCHASE	17.000	\$25.22	\$428.74	
05/11/20	EDITAS MEDICINE INC COM	28106W103	PURCHASE	75.000	\$25.21	\$1,890.75	
05/11/20	EDITAS MEDICINE INC COM	28106W103	PURCHASE	192.000	\$25.26	\$4,849.90	
05/11/20	EDITAS MEDICINE INC COM	28106W103	PURCHASE	200.000	\$25.25	\$5,050.00	
05/11/20	EDITAS MEDICINE INC COM	28106W103	PURCHASE	311.000	\$25.26	\$7,855.86	
02/14/20	GENPREX INC COMMON STOCK	372446104	PURCHASE	212.000	\$4.73	\$1,002.74	
02/14/20	GENPREX INC COMMON STOCK	372446104	PURCHASE	1,788.000	\$4.73	\$8,452.95	
02/14/20	GENPREX INC COMMON STOCK	372446104	SELL	100.000	\$4.94	\$493.98	
02/14/20	GENPREX INC COMMON STOCK	372446104	SELL	1,900.000	\$4.94	\$9,385.56	
02/28/20	IBIO INC COMMON STOCK	451033203	PURCHASE	1,000.000	\$2.19	\$2,190.00	
02/28/20	IBIO INC COMMON STOCK	451033203	SELL	1,000.000	\$2.29	\$2,291.52	
03/02/20	IBIO INC COMMON STOCK	451033203	PURCHASE	500.000	\$2.31	\$1,155.00	
03/02/20	IBIO INC COMMON STOCK	451033203	PURCHASE	500.000	\$2.30	\$1,150.00	
03/02/20	IBIO INC COMMON STOCK	451033203	PURCHASE	1,000.000	\$2.67	\$2,670.00	

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 Account Executive No:
 ORIGINAL: 12/31/2020

DETAILS OF 2020 INVESTMENT ACTIVITY, CONTINUED

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
03/02/20	IBIO INC COMMON STOCK	451033203	SELL	1,000.000	\$2.53	\$2,528.82	
03/03/20	IBIO INC COMMON STOCK	451033203	SELL	7.000	\$1.72	\$12.04	
03/03/20	IBIO INC COMMON STOCK	451033203	SELL	993.000	\$1.72	\$1,707.80	
03/06/20	INOVIO PHARMACEUTICALS INC COM	45773H201	PURCHASE	9.000	\$12.40	\$111.60	
03/06/20	INOVIO PHARMACEUTICALS INC COM	45773H201	PURCHASE	500.000	\$11.69	\$5,843.75	
03/06/20	INOVIO PHARMACEUTICALS INC COM	45773H201	PURCHASE	991.000	\$12.41	\$12,298.31	
03/06/20	INOVIO PHARMACEUTICALS INC COM	45773H201	SELL	220.000	\$11.61	\$2,555.10	
03/06/20	INOVIO PHARMACEUTICALS INC COM	45773H201	SELL	280.000	\$11.62	\$3,252.85	
03/06/20	INOVIO PHARMACEUTICALS INC COM	45773H201	SELL	1,000.000	\$12.24	\$12,242.00	
05/01/20	INOVIO PHARMACEUTICALS INC COM	45773H201	PURCHASE	1,000.000	\$10.84	\$10,839.90	
05/07/20	INOVIO PHARMACEUTICALS INC COM	45773H201	SELL	1,000.000	\$10.92	\$10,919.63	
03/25/20	KOHL'S CORP	500255104	PURCHASE	1,000.000	\$16.04	\$16,036.30	
03/25/20	KOHL'S CORP	500255104	SELL	1,000.000	\$16.26	\$16,259.52	
03/03/20	MARINUS PHARMACEUTICALS INC COM	56854Q101	PURCHASE	1,000.000	\$2.60	\$2,605.00	
03/03/20	MARINUS PHARMACEUTICALS INC COM	56854Q101	SELL	100.000	\$2.64	\$264.33	
03/03/20	MARINUS PHARMACEUTICALS INC COM	56854Q101	SELL	900.000	\$2.64	\$2,378.89	
01/24/20	***NOKIA CORPORATION SPONSORED ADR REPS	654902204	SELL	50.000	\$4.09	\$204.48	
12/29/20	***NOKIA CORPORATION SPONSORED ADR REPS	654902204	PURCHASE	1,000.000	\$3.96	\$3,955.90	
03/16/20	SKYWORKS SOLUTIONS INC	83088M102	PURCHASE	100.000	\$74.93	\$7,492.94	
03/20/20	SKYWORKS SOLUTIONS INC	83088M102	SELL	100.000	\$80.79	\$8,078.81	
05/27/20	SORRENTO THERAPEUTICS INC	83587F202	PURCHASE	100.000	\$5.00	\$500.00	
05/28/20	SORRENTO THERAPEUTICS INC	83587F202	PURCHASE	500.000	\$5.00	\$2,497.85	
06/02/20	SORRENTO THERAPEUTICS INC	83587F202	PURCHASE	50.000	\$4.94	\$246.80	
12/21/20	SORRENTO THERAPEUTICS INC	83587F202	SELL	650.000	\$7.60	\$4,936.56	
12/28/20	STRIKEFORCE TECHNOLOGIES INC COMMON STO	86332V604	PURCHASE	10,000.000	\$0.07	\$724.95	
03/16/20	***TAIWAN SEMICONDUCTOR MFG CO LTD-SPON	874039100	PURCHASE	100.000	\$46.41	\$4,641.50	
03/18/20	***TAIWAN SEMICONDUCTOR MFG CO LTD-SPON	874039100	PURCHASE	100.000	\$44.52	\$4,452.24	
03/20/20	***TAIWAN SEMICONDUCTOR MFG CO LTD-SPON	874039100	SELL	200.000	\$47.86	\$9,572.26	

END OF 2020 DETAILS

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