

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 146490420	Statement Date: 02/14/2021 Document ID: 0K6G 451 NW6S	2020
	Rahul Vattam 3001 Colonial Pkwy Cedar Park, TX 78613 RECIPIENT'S TIN: XXX-XX-4260		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 1.89</p> <p>1b- Qualified dividends 1.89</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	204,684.44	217,805.15	0.00	9,264.87	-3,855.84
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.32	0.78	0.00	0.00	-0.46
	Total Short-term	204,684.76	217,805.93	0.00	9,264.87	-3,856.30
Long	D (basis reported to the IRS)	3.65	2.60	0.00	0.00	1.05
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	3.65	2.60	0.00	0.00	1.05
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	204,688.41	217,808.53	0.00	9,264.87	-3,855.25
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 146490420

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	28.64
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 146490420

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 12/04/2020 PUT \$14.50 / CUSIP: / Symbol:							
11/27/20	2.000	55.98	11/25/20	100.00	...	-44.02	Option sale to close-put ²⁵
AAPL 03/19/2021 CALL \$140.00 / CUSIP: / Symbol:							
12/01/20	1.000	394.98	11/25/20	214.00	...	180.98	Option sale to close-call ²⁵
12/16/20	1.000	504.97	12/01/20	420.00	...	84.97	Option sale to close-call ²⁵
	Security total:	899.95		634.00	...	265.95	
AAPL 10/16/2020 CALL \$123.00 / CUSIP: / Symbol:							
10/13/20	1.000	191.98	10/13/20	330.00	...	-138.02	Option sale to close-call ²⁵
AAPL 10/30/2020 CALL \$117.00 / CUSIP: / Symbol:							
10/30/20	1.000	0.00	10/29/20	232.00	...	-232.00	Option expiration- long call

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Account 146490420

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 10/30/2020 CALL \$121.00 / CUSIP: / Symbol:							
10/30/20	1.000	88.98	10/30/20	0.00	...	88.98	Short sale closed- call expired Option written: 10/29/20
AAPL 10/30/2020 CALL \$125.00 / CUSIP: / Symbol:							
2 transactions for 10/29/20. Total proceeds and cost reported to the IRS.							
	1.000	30.99	10/19/20	205.00	...	-174.01	1 of 2 - Option sale to close-call ²⁵
	1.000	30.99	10/22/20	91.00	...	-60.01	2 of 2 - Option sale to close-call ²⁵
10/29/20	2.000	61.98	Various	296.00	...	-234.02	Total of 2 transactions
AAPL 11/20/2020 CALL \$122.00 / CUSIP: / Symbol:							
11/20/20	1.000	0.00	11/12/20	117.00	...	-117.00	Option expiration- long call
AAPL 11/20/2020 CALL \$125.00 / CUSIP: / Symbol:							
10/19/20	1.000	369.98	10/14/20	490.00	...	-120.02	Option sale to close-call ²⁵
AG 11/20/2020 PUT \$10.00 / CUSIP: / Symbol:							
11/04/20	3.000	113.98	11/03/20	93.00	...	20.98	Option sale to close-put ²⁵
AMD 10/16/2020 CALL \$86.00 / CUSIP: / Symbol:							
10/14/20	1.000	66.98	10/13/20	114.00	...	-47.02	Option sale to close-call ²⁵
AMD 11/27/2020 CALL \$85.00 / CUSIP: / Symbol:							
11/09/20	1.000	369.98	11/06/20	344.00	...	25.98	Option sale to close-call ²⁵
AMD 11/27/2020 CALL \$93.00 / CUSIP: / Symbol:							
11/27/20	3.000	0.00	11/25/20	9.00	...	-9.00	Option expiration- long call
AMZN 01/15/2021 CALL \$4,000.00 / CUSIP: / Symbol:							
2 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	1.000	709.97	12/14/20	695.00	...	14.97	1 of 2 - Option sale to close-call ²⁵
	1.000	754.97	12/14/20	665.03	...	89.94	2 of 2 - Option sale to close-call ²⁵
12/16/20	2.000	1,464.94	Various	1,360.03	...	104.91	Total of 2 transactions
12/16/20	1.000	719.97	12/09/20	720.00	0.03 W	0.00	Option sale to close-call ²⁵
	Security total:	2,184.91		2,080.03	0.03 W	104.91	

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Robinhood Securities LLC

Account 146490420

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 02/19/2021 CALL \$3,400.00 / CUSIP: / Symbol:							
12/30/20	1.000	13,692.68	12/23/20	11,225.00	...	2,467.68	Option sale to close-call ²⁵
AMZN 02/19/2021 CALL \$3,450.00 / CUSIP: / Symbol:							
12/31/20	1.000	-2,133.23	12/30/20	0.00	...	-2,133.23	Short sale closed- call ²⁵ Option written: 12/23/20
AMZN 02/19/2021 CALL \$4,200.00 / CUSIP: / Symbol:							
11/23/20	1.000	1,579.95	11/20/20	1,495.00	...	84.95	Option sale to close-call ²⁵
11/25/20	1.000	1,774.95	11/20/20	1,495.00	...	279.95	Option sale to close-call ²⁵
11/27/20	1.000	1,759.95	11/25/20	1,700.00	...	59.95	Option sale to close-call ²⁵
11/30/20	1.000	1,664.95	11/27/20	1,885.00	...	-220.05	Option sale to close-call ²⁵
	Security total:	6,779.80		6,575.00	...	204.80	
AMZN 10/16/2020 PUT \$3,200.00 / CUSIP: / Symbol:							
10/14/20	1.000	424.98	10/14/20	330.00	...	94.98	Option sale to close-put ²⁵
AMZN 11/20/2020 CALL \$4,000.00 / CUSIP: / Symbol:							
10/26/20	1.000	1,569.95	10/22/20	1,390.00	...	179.95	Option sale to close-call ²⁵
10/27/20	1.000	1,679.95	10/26/20	1,450.00	...	229.95	Option sale to close-call ²⁵
10/28/20	1.000	1,234.96	10/27/20	1,650.00	415.04 W	0.00	Option sale to close-call ²⁵
10/29/20	1.000	929.96	10/28/20	1,735.04	805.08 W	0.00	Option sale to close-call ²⁵
11/05/20	1.000	263.98	10/29/20	1,730.08	...	-1,466.10	Option sale to close-call ²⁵
	Security total:	5,678.80		7,955.12	1,220.12 W	-1,056.20	
AMZN 11/20/2020 CALL \$4,050.00 / CUSIP: / Symbol:							
10/28/20	1.000	-90.04	10/27/20	0.00	...	-90.04	Short sale closed- call ²⁵ Option written: 10/26/20
AMZN 12/04/2020 CALL \$3,300.00 / CUSIP: / Symbol:							
	2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	1.000	247.99	11/30/20	1,220.00	972.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	247.98	12/01/20	620.00	372.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
12/03/20	2.000	495.97	Various	1,840.00	1,344.03 W	0.00	Total of 2 transactions
	2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.						
	1.000	9.98	12/02/20	1,582.01	...	-1,572.03	1 of 2 - Option sale to close-call ²⁵

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Account 146490420

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AMZN 12/04/2020 CALL \$3,300.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	12/03/20	631.02	...	-631.02	2 of 2 - Option expiration- long call
12/04/20	2.000	9.98	Various	2,213.03	...	-2,203.05	Total of 2 transactions
	Security total:	505.95		4,053.03	1,344.03 W	-2,203.05	
AMZN 12/04/2020 CALL \$3,310.00 / CUSIP: / Symbol:							
12/04/20	1.000	1,464.95	12/04/20	0.00	...	1,464.95	Short sale closed- call expired Option written: 12/01/20
AMZN 12/04/2020 CALL \$3,350.00 / CUSIP: / Symbol:							
11/25/20	1.000	1,179.96	11/24/20	960.00	...	219.96	Option sale to close-call ²⁵
AMZN 12/11/2020 CALL \$3,170.00 / CUSIP: / Symbol:							
12/09/20	1.000	1,444.95	12/09/20	2,235.00	...	-790.05	Option sale to close-call ²⁵
AMZN 12/18/2020 CALL \$3,230.00 / CUSIP: / Symbol:							
12/16/20	1.000	2,639.93	12/16/20	2,980.07	...	-340.14	Option sale to close-call ²⁵
12/16/20	1.000	2,284.93	12/16/20	2,820.00	535.07 W	0.00	Option sale to close-call ²⁵
	Security total:	4,924.86		5,800.07	535.07 W	-340.14	
AMZN 12/18/2020 PUT \$2,900.00 / CUSIP: / Symbol:							
12/15/20	1.000	209.98	12/14/20	200.00	...	9.98	Option sale to close-put ²⁵
AMZN 12/24/2020 CALL \$3,200.00 / CUSIP: / Symbol:							
12/16/20	1.000	7,052.83	12/04/20	7,045.00	...	7.83	Option sale to close-call ²⁵
AMZN 12/24/2020 CALL \$3,205.00 / CUSIP: / Symbol:							
12/17/20	1.000	52.83	12/16/20	0.00	...	52.83	Short sale closed- call ²⁵ Option written: 12/04/20
AMZN 12/24/2020 CALL \$3,230.00 / CUSIP: / Symbol:							
12/24/20	1.000	0.00	12/21/20	2,820.00	...	-2,820.00	Option expiration- long call
AMZN 12/24/2020 CALL \$3,235.00 / CUSIP: / Symbol:							
12/24/20	1.000	784.97	12/24/20	0.00	...	784.97	Short sale closed- call expired Option written: 12/22/20

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(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMZN 12/31/2020 CALL \$3,210.00 / CUSIP: / Symbol:							
12/29/20	1.000	11,299.74	12/28/20	3,003.00	...	8,296.74	Option sale to close-call ²⁵
AMZN 12/31/2020 CALL \$3,215.00 / CUSIP: / Symbol:							
12/30/20	1.000	-8,090.08	12/29/20	0.00	...	-8,090.08	Short sale closed- call ²⁵ Option written: 12/28/20
AMZN 12/31/2020 CALL \$3,330.00 / CUSIP: / Symbol:							
12/30/20	1.000	539.97	12/30/20	1,620.00	1,080.03 W	0.00	Option sale to close-call ²⁵
12/31/20	1.000	0.00	12/30/20	1,659.03	...	-1,659.03	Option expiration- long call
	Security total:	539.97		3,279.03	1,080.03 W	-1,659.03	
AMZN 12/31/2020 CALL \$3,340.00 / CUSIP: / Symbol:							
12/31/20	1.000	466.97	12/31/20	0.00	...	466.97	Short sale closed- call expired Option written: 12/30/20
AMZN 12/31/2020 CALL \$3,350.00 / CUSIP: / Symbol:							
12/28/20	1.000	1,234.96	12/28/20	1,005.00	...	229.96	Option sale to close-call ²⁵
AMZN 12/31/2020 CALL \$3,400.00 / CUSIP: / Symbol:							
12/29/20	1.000	734.97	12/28/20	625.00	...	109.97	Option sale to close-call ²⁵
ATVI 01/15/2021 CALL \$82.50 / CUSIP: / Symbol:							
12/08/20	1.000	329.98	11/30/20	212.00	...	117.98	Option sale to close-call ²⁵
BA 10/16/2020 CALL \$167.50 / CUSIP: / Symbol:							
10/13/20	1.000	207.98	10/12/20	340.00	...	-132.02	Option sale to close-call ²⁵
BA 10/30/2020 PUT \$145.00 / CUSIP: / Symbol:							
10/30/20	1.000	134.98	10/29/20	91.00	...	43.98	Option sale to close-put ²⁵
BA 11/20/2020 CALL \$215.00 / CUSIP: / Symbol:							
11/19/20	1.000	52.98	11/18/20	390.00	...	-337.02	Option sale to close-call ²⁵
BA 12/18/2020 CALL \$170.00 / CUSIP: / Symbol:							
11/09/20	1.000	1,651.95	10/14/20	1,305.00	...	346.95	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 146490420

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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BA 12/18/2020 CALL \$175.00 / CUSIP: / Symbol:							
11/04/20	1.000	339.97	11/03/20	0.00	...	339.97	Short sale closed- call ²⁵ Option written: 10/26/20
BA 12/18/2020 CALL \$215.00 / CUSIP: / Symbol:							
12/09/20	1.000	1,741.95	11/10/20	385.00	...	1,356.95	Option sale to close-call ²⁵
BA 12/18/2020 CALL \$225.00 / CUSIP: / Symbol:							
12/10/20	1.000	-792.02	12/09/20	0.00	...	-792.02	Short sale closed- call ²⁵ Option written: 11/10/20
BA 12/18/2020 CALL \$250.00 / CUSIP: / Symbol:							
11/18/20	1.000	509.97	11/17/20	345.00	...	164.97	Option sale to close-call ²⁵
12/15/20	1.000	29.98	11/18/20	475.00	...	-445.02	Option sale to close-call ²⁵
	Security total:	539.95		820.00	...	-280.05	
BA 12/18/2020 CALL \$255.00 / CUSIP: / Symbol:							
12/14/20	1.000	93.98	12/11/20	0.00	...	93.98	Short sale closed- call ²⁵ Option written: 12/02/20
BA 12/18/2020 CALL \$305.00 / CUSIP: / Symbol:							
12/14/20	1.000	31.99	12/11/20	0.00	...	31.99	Short sale closed- call ²⁵ Option written: 12/02/20
12/16/20	1.000	42.99	12/15/20	0.00	...	42.99	Short sale closed- call ²⁵ Option written: 12/02/20
	Security total:	74.98		0.00	...	74.98	
BABA 03/19/2021 CALL \$300.00 / CUSIP: / Symbol:							
12/28/20	1.000	190.98	12/24/20	251.00	...	-60.02	Option sale to close-call ²⁵
BABA 10/23/2020 CALL \$307.50 / CUSIP: / Symbol:							
10/21/20	1.000	-280.02	10/20/20	0.00	...	-280.02	Short sale closed- call ²⁵ Option written: 10/19/20
BABA 10/23/2020 CALL \$310.00 / CUSIP: / Symbol:							
10/20/20	1.000	439.98	10/19/20	505.00	...	-65.02	Option sale to close-call ²⁵

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Account 146490420

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2020 1099-B* OMB No. 1545-0715

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02/14/2021

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BABA 11/13/2020 CALL \$310.00 / CUSIP: / Symbol:							
2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	1.000	120.98	11/04/20	385.00	264.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	120.98	11/05/20	391.02	270.04 W	0.00	2 of 2 - Option sale to close-call ²⁵
11/09/20	2.000	241.96	Various	776.02	534.06 W	0.00	Total of 2 transactions
3 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	11/05/20	127.00	...	-127.00	1 of 3 - Option expiration- long call
	1.000	0.00	11/09/20	396.04	...	-396.04	2 of 3 - Option expiration- long call
	1.000	0.00	11/09/20	126.00	...	-126.00	3 of 3 - Option expiration- long call
11/13/20	3.000	0.00	Various	649.04	...	-649.04	Total of 3 transactions
	Security total:	241.96		1,425.06	534.06 W	-649.04	
BABA 11/20/2020 CALL \$310.00 / CUSIP: / Symbol:							
11/20/20	1.000	0.00	11/09/20	259.00	...	-259.00	Option expiration- long call
BABA 12/04/2020 PUT \$275.00 / CUSIP: / Symbol:							
11/30/20	1.000	899.97	11/27/20	470.00	...	429.97	Option sale to close-put ²⁵
BABA 12/24/2020 CALL \$277.50 / CUSIP: / Symbol:							
12/16/20	1.000	66.98	12/15/20	48.00	...	18.98	Option sale to close-call ²⁵
BGS 01/15/2021 CALL \$32.50 / CUSIP: / Symbol:							
12/14/20	1.000	14.98	12/10/20	15.00	...	-0.02	Option sale to close-call ²⁵
C 11/06/2020 CALL \$42.00 / CUSIP: / Symbol:							
11/03/20	1.000	213.98	10/21/20	212.00	...	1.98	Option sale to close-call ²⁵
CHWY 11/20/2020 CALL \$70.00 / CUSIP: / Symbol:							
10/14/20	1.000	379.98	10/13/20	355.00	...	24.98	Option sale to close-call ²⁵
CHWY 11/27/2020 CALL \$70.00 / CUSIP: / Symbol:							
11/20/20	1.000	113.98	11/17/20	61.00	...	52.98	Option sale to close-call ²⁵
COP 12/18/2020 CALL \$36.00 / CUSIP: / Symbol:							
11/02/20	1.000	64.98	10/27/20	63.00	...	1.98	Option sale to close-call ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CRM 02/19/2021 CALL \$320.00 / CUSIP: / Symbol: 12/23/20	1.000	90.98	12/22/20	0.00	...	90.98	Short sale closed- call ²⁵ Option written: 12/07/20
CRM 12/04/2020 CALL \$222.50 / CUSIP: / Symbol: 12/04/20	1.000	124.98	12/02/20	324.00	...	-199.02	Option sale to close-call ²⁵
CRM 12/04/2020 CALL \$225.00 / CUSIP: / Symbol: 12/07/20	1.000	198.98	12/04/20	0.00	...	198.98	Short sale closed- call ²⁵ Option written: 12/02/20
CRM 12/04/2020 CALL \$277.50 / CUSIP: / Symbol: 12/04/20	1.000	0.00	11/25/20	296.00	...	-296.00	Option expiration- long call
CRM 12/04/2020 CALL \$355.00 / CUSIP: / Symbol: 12/04/20	2.000	0.00	11/03/20	34.00	...	-34.00	Option expiration- long call
CRWD 12/04/2020 CALL \$195.00 / CUSIP: / Symbol: 12/03/20	1.000	12.98	11/05/20	47.00	...	-34.02	Option sale to close-call ²⁵
CRWD 12/04/2020 CALL \$200.00 / CUSIP: / Symbol: 12/03/20	1.000	4.98	11/02/20	45.00	...	-40.02	Option sale to close-call ²⁵
CSCO 11/13/2020 CALL \$41.50 / CUSIP: / Symbol: 11/09/20	2.000	141.98	11/04/20	18.00	...	123.98	Option sale to close-call ²⁵
11/10/20	2.000	53.98	11/04/20	18.00	...	35.98	Option sale to close-call ²⁵
Security total:		195.96		36.00	...	159.96	
DAL 11/20/2020 CALL \$40.00 / CUSIP: / Symbol: 11/10/20	1.000	38.98	11/09/20	44.00	...	-5.02	Option sale to close-call ²⁵
11/20/20	1.000	0.00	11/09/20	44.00	...	-44.00	Option expiration- long call
Security total:		38.98		88.00	...	-49.02	
DKNG 10/23/2020 CALL \$44.00 / CUSIP: / Symbol: 10/23/20	1.000	24.98	10/22/20	60.00	...	-35.02	Option sale to close-call ²⁵
DKNG 12/04/2020 CALL \$55.00 / CUSIP: / Symbol: 11/30/20	1.000	79.98	11/25/20	75.00	...	4.98	Option sale to close-call ²⁵

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DOCU 11/13/2020 CALL \$255.00 / CUSIP: / Symbol:							
11/05/20	1.000	479.97	11/04/20	172.00	...	307.97	Option sale to close-call ²⁵
F 11/13/2020 PUT \$7.00 / CUSIP: / Symbol:							
11/13/20	10.000	0.00	11/06/20	10.00	...	-10.00	Option expiration- long put
FB 10/23/2020 CALL \$267.50 / CUSIP: / Symbol:							
10/21/20	1.000	-76.02	10/20/20	0.00	...	-76.02	Short sale closed- call ²⁵ Option written: 10/19/20
FB 10/23/2020 CALL \$280.00 / CUSIP: / Symbol:							
10/21/20	1.000	429.98	10/14/20	345.00	...	84.98	Option sale to close-call ²⁵
10/23/20	1.000	62.98	10/22/20	139.00	...	-76.02	Option sale to close-call ²⁵
	Security total:	492.96		484.00	...	8.96	
FB 10/30/2020 CALL \$290.00 / CUSIP: / Symbol:							
10/30/20	1.000	0.00	10/29/20	528.00	...	-528.00	Option expiration- long call
FB 10/30/2020 CALL \$297.50 / CUSIP: / Symbol:							
10/30/20	1.000	289.98	10/30/20	0.00	...	289.98	Short sale closed- call expired Option written: 10/29/20
FB 10/30/2020 PUT \$272.50 / CUSIP: / Symbol:							
10/27/20	1.000	494.97	10/27/20	480.00	...	14.97	Option sale to close-put ²⁵
FB 11/06/2020 PUT \$292.50 / CUSIP: / Symbol:							
11/06/20	1.000	249.98	11/05/20	170.00	...	79.98	Option sale to close-put ²⁵
FB 11/13/2020 CALL \$280.00 / CUSIP: / Symbol:							
11/12/20	1.000	249.98	11/11/20	152.00	...	97.98	Option sale to close-call ²⁵
11/13/20	1.000	0.00	11/11/20	132.00	...	-132.00	Option expiration- long call
	Security total:	249.98		284.00	...	-34.02	
FCEL 01/15/2021 CALL \$13.00 / CUSIP: / Symbol:							
12/30/20	1.000	133.98	12/28/20	128.00	...	5.98	Option sale to close-call ²⁵
FCX 12/18/2020 CALL \$19.00 / CUSIP: / Symbol:							
11/04/20	1.000	96.98	10/22/20	116.00	...	-19.02	Option sale to close-call ²⁵

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FDX 01/15/2021 CALL \$300.00 / CUSIP: / Symbol:							
11/09/20	1.000	699.97	11/09/20	580.00	...	119.97	Option sale to close-call ²⁵
FL 10/16/2020 CALL \$38.50 / CUSIP: / Symbol:							
10/15/20	1.000	31.98	10/13/20	59.00	...	-27.02	Option sale to close-call ²⁵
FSLY 01/15/2021 CALL \$100.00 / CUSIP: / Symbol:							
12/30/20	1.000	214.98	12/07/20	510.00	...	-295.02	Option sale to close-call ²⁵
FSLY 01/15/2021 CALL \$115.00 / CUSIP: / Symbol:							
12/30/20	1.000	143.98	12/29/20	0.00	...	143.98	Short sale closed- call ²⁵ Option written: 12/07/20
FSLY 01/15/2021 CALL \$70.00 / CUSIP: / Symbol:							
11/13/20	1.000	1,269.96	11/11/20	950.00	...	319.96	Option sale to close-call ²⁵
11/16/20	1.000	1,079.96	11/13/20	1,370.00	290.04 W	0.00	Option sale to close-call ²⁵
11/18/20	1.000	1,319.96	11/16/20	1,460.04	140.08 W	0.00	Option sale to close-call ²⁵
11/19/20	1.000	1,499.95	11/18/20	1,500.08	0.13 W	0.00	Option sale to close-call ²⁵
11/23/20	1.000	1,639.95	11/19/20	1,450.13	...	189.82	Option sale to close-call ²⁵
11/24/20	1.000	1,599.95	11/23/20	1,520.00	...	79.95	Option sale to close-call ²⁵
12/07/20	1.000	1,842.94	11/24/20	1,520.00	...	322.94	Option sale to close-call ²⁵
	Security total:	10,252.67		9,770.25	430.25 W	912.67	
FSLY 01/15/2021 CALL \$80.00 / CUSIP: / Symbol:							
12/08/20	1.000	609.94	12/07/20	0.00	...	609.94	Short sale closed- call ²⁵ Option written: 12/04/20
FSLY 10/30/2020 PUT \$71.00 / CUSIP: / Symbol:							
10/28/20	1.000	299.98	10/27/20	215.00	...	84.98	Option sale to close-put ²⁵
FSLY 11/13/2020 CALL \$90.00 / CUSIP: / Symbol:							
	2 transactions for 11/13/20. Total proceeds and cost reported to the IRS.						
	5.000	0.00	11/06/20	50.00	...	-50.00	1 of 2 - Option expiration- long call
	5.000	0.00	11/06/20	50.00	...	-50.00	2 of 2 - Option expiration- long call
11/13/20	10.000	0.00	Various	100.00	...	-100.00	Total of 2 transactions

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FSLY 11/20/2020 CALL \$100.00 / CUSIP: / Symbol:							
3 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	11/11/20	30.00	...	-30.00	1 of 3 - Option expiration- long call
	2.000	0.00	11/13/20	36.00	...	-36.00	2 of 3 - Option expiration- long call
	5.000	0.00	11/17/20	25.00	...	-25.00	3 of 3 - Option expiration- long call
11/20/20	9.000	0.00	Various	91.00	...	-91.00	Total of 3 transactions
FSLY 11/27/2020 CALL \$104.00 / CUSIP: / Symbol:							
3 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	11/11/20	20.00	...	-20.00	1 of 3 - Option expiration- long call
	1.000	0.00	11/20/20	15.00	...	-15.00	2 of 3 - Option expiration- long call
	3.000	0.00	11/23/20	15.00	...	-15.00	3 of 3 - Option expiration- long call
11/27/20	5.000	0.00	Various	50.00	...	-50.00	Total of 3 transactions
FSLY 12/04/2020 CALL \$80.00 / CUSIP: / Symbol:							
11/13/20	1.000	409.98	11/12/20	380.00	...	29.98	Option sale to close-call ²⁵
FSLY 12/18/2020 CALL \$85.00 / CUSIP: / Symbol:							
12/02/20	1.000	329.98	11/25/20	490.00	...	-160.02	Option sale to close-call ²⁵
FSR 11/27/2020 PUT \$16.00 / CUSIP: / Symbol:							
11/27/20	1.000	0.00	11/25/20	13.00	...	-13.00	Option expiration- long put
FTNT 01/15/2021 CALL \$140.00 / CUSIP: / Symbol:							
12/15/20	1.000	204.98	12/09/20	180.00	...	24.98	Option sale to close-call ²⁵
GILD 10/30/2020 CALL \$65.00 / CUSIP: / Symbol:							
10/30/20	2.000	0.00	10/14/20	218.00	...	-218.00	Option expiration- long call
GLD 11/13/2020 CALL \$178.00 / CUSIP: / Symbol:							
11/05/20	1.000	392.98	11/04/20	241.00	...	151.98	Option sale to close-call ²⁵
GLD 11/13/2020 CALL \$185.00 / CUSIP: / Symbol:							
11/13/20	1.000	0.00	11/05/20	122.00	...	-122.00	Option expiration- long call
GPRO 12/18/2020 CALL \$7.00 / CUSIP: / Symbol:							
11/06/20	1.000	170.98	10/22/20	60.00	...	110.98	Option sale to close-call ²⁵

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GPS 10/23/2020 CALL \$20.00 / CUSIP: / Symbol: 10/23/20	1.000	101.98	10/22/20	66.00	...	35.98	Option sale to close-call ²⁵
GS 11/13/2020 PUT \$210.00 / CUSIP: / Symbol: 11/13/20	1.000	0.00	11/12/20	43.00	...	-43.00	Option expiration- long put
GS 11/20/2020 PUT \$215.00 / CUSIP: / Symbol: 11/20/20	1.000	0.00	11/16/20	97.00	...	-97.00	Option expiration- long put
HD 01/15/2021 CALL \$285.00 / CUSIP: / Symbol: 12/09/20	1.000	197.98	12/07/20	174.00	...	23.98	Option sale to close-call ²⁵
HD 11/20/2020 CALL \$290.00 / CUSIP: / Symbol: 11/20/20	1.000	0.00	11/16/20	86.00	...	-86.00	Option expiration- long call
HYG 01/15/2021 CALL \$87.00 / CUSIP: / Symbol: 12/24/20	1.000	52.98	12/23/20	48.00	...	4.98	Option sale to close-call ²⁵
INTC 10/30/2020 CALL \$58.00 / CUSIP: / Symbol: 10/23/20	1.000	0.98	10/19/20	77.00	...	-76.02	Option sale to close-call ²⁵
INTC 10/30/2020 PUT \$52.50 / CUSIP: / Symbol: 10/23/20	1.000	406.98	10/19/20	95.00	...	311.98	Option sale to close-put ²⁵
INTC 12/04/2020 CALL \$48.00 / CUSIP: / Symbol: 11/30/20	1.000	63.98	11/27/20	59.00	...	4.98	Option sale to close-call ²⁵
IRBT 11/06/2020 CALL \$85.00 / CUSIP: / Symbol: 10/26/20	1.000	374.98	10/23/20	305.00	...	69.98	Option sale to close-call ²⁵
JD 11/27/2020 CALL \$100.00 / CUSIP: / Symbol: 11/25/20	1.000	3.98	11/06/20	185.00	...	-181.02	Option sale to close-call ²⁵
JD 11/27/2020 CALL \$110.00 / CUSIP: / Symbol: 11/20/20	1.000	53.98	11/19/20	0.00	...	53.98	Short sale closed- call ²⁵ Option written: 11/06/20

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Robinhood Securities LLC

Account 146490420

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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JMIA 01/15/2021 CALL \$47.00 / CUSIP: / Symbol:							
12/29/20	1.000	189.98	12/28/20	0.00	...	189.98	Short sale closed- call ²⁵ Option written: 12/21/20
JMIA 01/15/2021 CALL \$48.00 / CUSIP: / Symbol:							
12/22/20	1.000	-40.02	12/21/20	0.00	...	-40.02	Short sale closed- call ²⁵ Option written: 12/18/20
KHC 12/18/2020 CALL \$35.00 / CUSIP: / Symbol:							
12/04/20	1.000	27.98	10/27/20	27.00	...	0.98	Option sale to close-call ²⁵
12/10/20	1.000	22.98	10/27/20	27.00	...	-4.02	Option sale to close-call ²⁵
	Security total:	50.96		54.00	...	-3.04	
KMI 12/18/2020 CALL \$14.00 / CUSIP: / Symbol:							
	3 transactions for 11/13/20. Total proceeds and cost reported to the IRS.						
	1.000	19.98	10/29/20	9.00	...	10.98	1 of 3 - Option sale to close-call ²⁵
	2.000	39.98	10/29/20	18.00	...	21.98	2 of 3 - Option sale to close-call ²⁵
	2.000	39.98	10/29/20	18.00	...	21.98	3 of 3 - Option sale to close-call ²⁵
11/13/20	5.000	99.94	Various	45.00	...	54.94	Total of 3 transactions
KSS 12/18/2020 CALL \$25.00 / CUSIP: / Symbol:							
10/20/20	1.000	121.98	10/19/20	104.00	...	17.98	Option sale to close-call ²⁵
MRNA 10/30/2020 PUT \$62.00 / CUSIP: / Symbol:							
10/30/20	1.000	0.00	10/28/20	84.00	...	-84.00	Option expiration- long put
MRNA 11/13/2020 PUT \$80.00 / CUSIP: / Symbol:							
11/13/20	1.000	0.00	11/12/20	75.00	...	-75.00	Option expiration- long put
MRNA 11/27/2020 PUT \$100.00 / CUSIP: / Symbol:							
11/27/20	1.000	0.00	11/25/20	20.00	...	-20.00	Option expiration- long put
MSFT 02/19/2021 CALL \$215.00 / CUSIP: / Symbol:							
12/16/20	1.000	1,279.96	12/15/20	1,048.00	...	231.96	Option sale to close-call ²⁵
MSFT 11/13/2020 CALL \$225.00 / CUSIP: / Symbol:							
11/09/20	1.000	339.98	10/27/20	365.00	...	-25.02	Option sale to close-call ²⁵

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NFLX 01/15/2021 CALL \$500.00 / CUSIP: / Symbol: 12/16/20	1.000	3,334.91	11/24/20	1,871.00	...	1,463.91	Option sale to close-call ²⁵
NFLX 01/15/2021 CALL \$505.00 / CUSIP: / Symbol: 12/17/20	1.000	-1,365.05	12/16/20	0.00	...	-1,365.05	Short sale closed- call ²⁵ Option written: 11/24/20
NFLX 10/23/2020 CALL \$535.00 / CUSIP: / Symbol: 10/21/20	1.000	23.98	10/20/20	1,980.00	...	-1,956.02	Option sale to close-call ²⁵
NFLX 10/23/2020 CALL \$540.00 / CUSIP: / Symbol: 10/22/20	1.000	1,747.95	10/21/20	0.00	...	1,747.95	Short sale closed- call ²⁵ Option written: 10/20/20
NFLX 10/23/2020 PUT \$475.00 / CUSIP: / Symbol: 10/23/20	1.000	22.98	10/21/20	259.00	...	-236.02	Option sale to close-put ²⁵
NFLX 10/23/2020 PUT \$522.50 / CUSIP: / Symbol: 10/22/20	1.000	-1,641.05	10/21/20	0.00	...	-1,641.05	Short sale closed- put ²⁵ Option written: 10/20/20
NFLX 10/23/2020 PUT \$527.50 / CUSIP: / Symbol: 10/21/20	1.000	3,805.90	10/20/20	1,965.00	...	1,840.90	Option sale to close-put ²⁵
NFLX 11/06/2020 CALL \$520.00 / CUSIP: / Symbol: 11/06/20	1.000	38.98	11/05/20	436.00	...	-397.02	Option sale to close-call ²⁵
NFLX 11/06/2020 CALL \$522.50 / CUSIP: / Symbol: 11/09/20	1.000	322.98	11/06/20	0.00	...	322.98	Short sale closed- call ²⁵ Option written: 11/05/20
NFLX 11/13/2020 CALL \$510.00 / CUSIP: / Symbol: 11/13/20	1.000	0.00	11/11/20	102.00	...	-102.00	Option expiration- long call
NFLX 11/27/2020 CALL \$497.50 / CUSIP: / Symbol: 11/27/20	1.000	22.98	11/24/20	111.00	...	-88.02	Option sale to close-call ²⁵
NIO 12/18/2020 CALL \$41.00 / CUSIP: / Symbol: 12/15/20	1.000	248.98	12/14/20	168.00	...	80.98	Option sale to close-call ²⁵

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NVDA 11/06/2020 CALL \$575.00 / CUSIP: / Symbol:							
11/06/20	1.000	264.98	11/05/20	265.00	...	-0.02	Option sale to close-call ²⁵
NVDA 11/27/2020 CALL \$540.00 / CUSIP: / Symbol:							
11/27/20	1.000	0.00	11/24/20	374.02	...	-374.02	Option expiration- long call
11/27/20	1.000	95.98	11/23/20	340.00	244.02 W	0.00	Option sale to close-call ²⁵
Security total:		95.98		714.02	244.02 W	-374.02	
NVDA 11/27/2020 CALL \$542.50 / CUSIP: / Symbol:							
11/27/20	1.000	38.98	11/27/20	0.00	...	38.98	Short sale closed- call expired Option written: 11/25/20
NVDA 12/11/2020 CALL \$560.00 / CUSIP: / Symbol:							
12/11/20	1.000	0.00	12/07/20	351.00	...	-351.00	Option expiration- long call
NVDA 12/11/2020 CALL \$562.50 / CUSIP: / Symbol:							
12/11/20	1.000	292.98	12/11/20	0.00	...	292.98	Short sale closed- call expired Option written: 12/07/20
OSTK 11/20/2020 CALL \$60.00 / CUSIP: / Symbol:							
11/05/20	1.000	992.96	10/30/20	815.00	...	177.96	Option sale to close-call ²⁵
OSTK 11/20/2020 CALL \$71.00 / CUSIP: / Symbol:							
11/06/20	1.000	-28.02	11/05/20	0.00	...	-28.02	Short sale closed- call ²⁵ Option written: 10/30/20
PAGS 01/15/2021 CALL \$60.00 / CUSIP: / Symbol:							
12/30/20	1.000	44.98	12/18/20	115.00	...	-70.02	Option sale to close-call ²⁵
PANW 10/16/2020 PUT \$247.50 / CUSIP: / Symbol:							
10/13/20	1.000	117.98	10/12/20	100.00	...	17.98	Option sale to close-put ²⁵
PENN 12/18/2020 CALL \$70.00 / CUSIP: / Symbol:							
11/09/20	1.000	744.97	10/14/20	877.00	...	-132.03	Option sale to close-call ²⁵
PENN 12/18/2020 CALL \$75.00 / CUSIP: / Symbol:							
11/06/20	1.000	-184.02	11/05/20	0.00	...	-184.02	Short sale closed- call ²⁵ Option written: 11/03/20

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PFE 01/15/2021 CALL \$40.00 / CUSIP: / Symbol:							
11/10/20	3.000	695.97	11/09/20	603.00	...	92.97	Option sale to close-call ²⁵
PLTR 02/19/2021 CALL \$30.00 / CUSIP: / Symbol:							
12/30/20	1.000	220.98	12/17/20	380.00	...	-159.02	Option sale to close-call ²⁵
PLTR 12/31/2020 PUT \$20.00 / CUSIP: / Symbol:							
12/02/20	1.000	223.98	11/27/20	160.00	...	63.98	Option sale to close-put ²⁵
PLUG 11/13/2020 CALL \$25.00 / CUSIP: / Symbol:							
11/12/20	1.000	26.98	11/11/20	22.00	...	4.98	Option sale to close-call ²⁵
PTON 10/16/2020 CALL \$130.00 / CUSIP: / Symbol:							
10/14/20	1.000	454.97	10/13/20	330.00	...	124.97	Option sale to close-call ²⁵
PTON 10/23/2020 CALL \$135.00 / CUSIP: / Symbol:							
10/20/20	1.000	261.98	10/20/20	320.00	...	-58.02	Option sale to close-call ²⁵
PTON 11/20/2020 CALL \$119.00 / CUSIP: / Symbol:							
11/12/20	1.000	198.98	11/11/20	191.00	...	7.98	Option sale to close-call ²⁵
PYPL 10/23/2020 PUT \$200.00 / CUSIP: / Symbol:							
10/22/20	2.000	179.98	10/22/20	74.00	...	105.98	Option sale to close-put ²⁵
PYPL 10/23/2020 PUT \$215.00 / CUSIP: / Symbol:							
10/22/20	1.000	719.97	10/21/20	335.00	...	384.97	Option sale to close-put ²⁵
QS 12/18/2020 PUT \$40.00 / CUSIP: / Symbol:							
12/15/20	1.000	6.98	12/10/20	45.00	...	-38.02	Option sale to close-put ²⁵
RCL 11/13/2020 PUT \$67.50 / CUSIP: / Symbol:							
11/12/20	1.000	84.98	11/11/20	77.00	...	7.98	Option sale to close-put ²⁵
RKT 11/13/2020 CALL \$24.00 / CUSIP: / Symbol:							
11/13/20	1.000	0.00	11/06/20	61.00	...	-61.00	Option expiration- long call
ROKU 10/16/2020 CALL \$225.00 / CUSIP: / Symbol:							
10/13/20	1.000	539.97	10/12/20	425.00	...	114.97	Option sale to close-call ²⁵

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ROKU 12/04/2020 CALL \$300.00 / CUSIP: / Symbol:							
12/01/20	1.000	275.98	11/30/20	360.00	...	-84.02	Option sale to close-call ²⁵
RXT 12/18/2020 CALL \$17.50 / CUSIP: / Symbol:							
11/25/20	1.000	44.98	11/23/20	62.00	...	-17.02	Option sale to close-call ²⁵
SCHW 12/18/2020 CALL \$38.00 / CUSIP: / Symbol:							
10/30/20	1.000	404.98	10/28/20	246.00	...	158.98	Option sale to close-call ²⁵
SE 12/04/2020 CALL \$200.00 / CUSIP: / Symbol:							
12/04/20	1.000	25.98	12/03/20	43.00	...	-17.02	Option sale to close-call ²⁵
SFIX 12/18/2020 CALL \$60.00 / CUSIP: / Symbol:							
12/14/20	1.000	474.97	12/10/20	179.00	...	295.97	Option sale to close-call ²⁵
SHOP 10/30/2020 CALL \$1,200.00 / CUSIP: / Symbol:							
10/26/20	1.000	299.98	10/22/20	250.00	...	49.98	Option sale to close-call ²⁵
SHOP 12/11/2020 CALL \$1,100.00 / CUSIP: / Symbol:							
12/11/20	2.000	19.98	12/07/20	4,058.00	...	-4,038.02	Option sale to close-call ²⁵
SHOP 12/11/2020 CALL \$1,102.50 / CUSIP: / Symbol:							
12/14/20	2.000	3,807.90	12/11/20	0.00	...	3,807.90	Short sale closed- call ²⁵ Option written: 12/07/20
SHOP 12/24/2020 CALL \$1,300.00 / CUSIP: / Symbol:							
12/22/20	1.000	1,029.96	12/22/20	1,307.00	...	-277.04	Option sale to close-call ²⁵
SHOP 12/24/2020 CALL \$1,310.00 / CUSIP: / Symbol:							
12/23/20	1.000	176.96	12/22/20	0.00	...	176.96	Short sale closed- call ²⁵ Option written: 12/22/20
SLV 11/20/2020 CALL \$23.00 / CUSIP: / Symbol:							
11/20/20	1.000	0.00	11/09/20	55.00	...	-55.00	Option expiration- long call
SNAP 11/20/2020 CALL \$45.00 / CUSIP: / Symbol:							
11/09/20	1.000	92.98	11/06/20	194.00	...	-101.02	Option sale to close-call ²⁵

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SOLO 11/20/2020 CALL \$7.50 / CUSIP: / Symbol: 11/17/20	1.000	45.98	11/16/20	45.00	...	0.98	Option sale to close-call ²⁵
SPCE 01/15/2021 CALL \$30.00 / CUSIP: / Symbol: 12/07/20	1.000	389.98	12/01/20	310.00	...	79.98	Option sale to close-call ²⁵
SPCE 11/13/2020 CALL \$20.00 / CUSIP: / Symbol: 11/09/20	1.000	107.98	11/05/20	80.00	...	27.98	Option sale to close-call ²⁵
SPCE 11/13/2020 PUT \$16.50 / CUSIP: / Symbol: 11/09/20	1.000	4.98	11/05/20	32.00	...	-27.02	Option sale to close-put ²⁵
SPLK 01/15/2021 CALL \$170.00 / CUSIP: / Symbol: 12/15/20	1.000	399.98	12/14/20	345.00	...	54.98	Option sale to close-call ²⁵
SPOT 10/16/2020 PUT \$250.00 / CUSIP: / Symbol: 10/14/20	1.000	114.98	10/13/20	62.00	...	52.98	Option sale to close-put ²⁵
SPY 10/30/2020 PUT \$320.00 / CUSIP: / Symbol: 10/29/20	1.000	92.98	10/28/20	125.00	...	-32.02	Option sale to close-put ²⁵
SPY 11/06/2020 PUT \$347.50 / CUSIP: / Symbol: 11/06/20	1.000	124.98	11/05/20	98.00	...	26.98	Option sale to close-put ²⁵
SPY 11/18/2020 CALL \$362.00 / CUSIP: / Symbol: 11/17/20	2.000	237.98	11/17/20	128.00	...	109.98	Option sale to close-call ²⁵
SWKS 01/15/2021 CALL \$150.00 / CUSIP: / Symbol: 12/15/20	1.000	409.98	12/14/20	250.00	...	159.98	Option sale to close-call ²⁵
TAP 12/18/2020 CALL \$40.00 / CUSIP: / Symbol: 11/04/20	1.000	79.98	10/21/20	55.00	...	24.98	Option sale to close-call ²⁵
TLRY 03/19/2021 CALL \$15.00 / CUSIP: / Symbol: 12/10/20	1.000	85.98	12/04/20	159.00	...	-73.02	Option sale to close-call ²⁵
TSLA 01/08/2021 CALL \$650.00 / CUSIP: / Symbol: 12/23/20	1.000	2,502.93	12/22/20	2,425.00	...	77.93	Option sale to close-call ²⁵

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TSLA 01/08/2021 CALL \$670.00 / CUSIP: / Symbol:							
12/24/20	1.000	-41.05	12/23/20	0.00	...	-41.05	Short sale closed- call ²⁵ Option written: 12/22/20
TSLA 01/15/2021 CALL \$600.00 / CUSIP: / Symbol:							
11/17/20	1.000	1,219.96	11/12/20	640.00	...	579.96	Option sale to close-call ²⁵
11/18/20	1.000	1,944.94	11/17/20	1,270.00	...	674.94	Option sale to close-call ²⁵
11/19/20	1.000	3,229.91	11/18/20	2,110.00	...	1,119.91	Option sale to close-call ²⁵
11/20/20	1.000	2,884.92	11/19/20	3,400.00	...	-515.08	Option sale to close-call ²⁵
	Security total:	9,279.73		7,420.00	...	1,859.73	
TSLA 01/29/2021 CALL \$800.00 / CUSIP: / Symbol:							
12/30/20	1.000	1,279.96	12/29/20	1,290.00	...	-10.04	Option sale to close-call ²⁵
TSLA 10/16/2020 CALL \$500.00 / CUSIP: / Symbol:							
10/15/20	1.000	12.98	10/14/20	132.00	...	-119.02	Option sale to close-call ²⁵
TSLA 10/23/2020 CALL \$427.50 / CUSIP: / Symbol:							
10/21/20	1.000	1,664.95	10/20/20	1,569.00	...	95.95	Option sale to close-call ²⁵
TSLA 10/23/2020 CALL \$430.00 / CUSIP: / Symbol:							
10/22/20	1.000	80.95	10/21/20	0.00	...	80.95	Short sale closed- call ²⁵ Option written: 10/20/20
TSLA 10/23/2020 CALL \$480.00 / CUSIP: / Symbol:							
10/22/20	1.000	441.97	10/21/20	0.00	...	441.97	Short sale closed- call ²⁵ Option written: 10/19/20
TSLA 10/23/2020 CALL \$510.00 / CUSIP: / Symbol:							
10/22/20	1.000	5.98	10/19/20	1,664.02	...	-1,658.04	Option sale to close-call ²⁵
10/22/20	1.000	5.98	10/14/20	1,365.00	1,359.02 W	0.00	Option sale to close-call ²⁵
	Security total:	11.96		3,029.02	1,359.02 W	-1,658.04	

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Robinhood Securities LLC

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02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
TSLA 10/23/2020 PUT \$390.00 / CUSIP: / Symbol:							
2 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	1.000	55.98	10/22/20	776.04	...	-720.06	1 of 2 - Option sale to close-put ²⁵
	1.000	17.98	10/22/20	28.00	...	-10.02	2 of 2 - Option sale to close-put ²⁵
10/23/20	2.000	73.96	Various	804.04	...	-730.08	Total of 2 transactions
2 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	1.000	30.98	10/21/20	345.00	314.02 W	0.00	1 of 2 - Option sale to close-put ²⁵
	1.000	30.98	10/21/20	779.02	748.04 W	0.00	2 of 2 - Option sale to close-put ²⁵
10/23/20	2.000	61.96	Various	1,124.02	1,062.06 W	0.00	Total of 2 transactions
	Security total:	135.92		1,928.06	1,062.06 W	-730.08	
TSLA 10/23/2020 PUT \$417.50 / CUSIP: / Symbol:							
10/22/20	1.000	273.95	10/21/20	0.00	...	273.95	Short sale closed- put ²⁵ Option written: 10/20/20
TSLA 10/23/2020 PUT \$420.00 / CUSIP: / Symbol:							
10/22/20	1.000	127.98	10/22/20	1,720.02	...	-1,592.04	Option sale to close-put ²⁵
10/22/20	1.000	369.98	10/20/20	1,690.00	1,320.02 W	0.00	Option sale to close-put ²⁵
	Security total:	497.96		3,410.02	1,320.02 W	-1,592.04	
TSLA 10/30/2020 PUT \$400.00 / CUSIP: / Symbol:							
10/28/20	1.000	469.97	10/27/20	180.00	...	289.97	Option sale to close-put ²⁵
TSLA 11/06/2020 CALL \$437.50 / CUSIP: / Symbol:							
11/05/20	1.000	594.97	10/27/20	894.00	...	-299.03	Option sale to close-call ²⁵
TSLA 11/06/2020 CALL \$440.00 / CUSIP: / Symbol:							
11/05/20	1.000	508.97	11/04/20	0.00	...	508.97	Short sale closed- call ²⁵ Option written: 10/27/20
11/06/20	1.000	-156.02	11/05/20	0.00	...	-156.02	Short sale closed- call ²⁵ Option written: 11/04/20
	Security total:	352.95		0.00	...	352.95	
TSLA 11/20/2020 CALL \$500.00 / CUSIP: / Symbol:							
11/18/20	1.000	277.98	11/17/20	129.00	...	148.98	Option sale to close-call ²⁵

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TSLA 11/20/2020 CALL \$540.00 / CUSIP: / Symbol:							
11/20/20	1.000	0.00	11/19/20	241.00	...	-241.00	Option expiration- long call
TSLA 11/20/2020 PUT \$455.00 / CUSIP: / Symbol:							
11/23/20	1.000	224.98	11/20/20	0.00	...	224.98	Short sale closed- put ²⁵ Option written: 11/18/20
TSLA 11/20/2020 PUT \$465.00 / CUSIP: / Symbol:							
11/20/20	1.000	0.00	11/18/20	447.00	...	-447.00	Option expiration- long put
TSLA 11/27/2020 CALL \$545.00 / CUSIP: / Symbol:							
2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	1.000	1,009.96	11/23/20	850.00	...	159.96	1 of 2 - Option sale to close-call ²⁵
	1.000	1,549.95	11/24/20	900.00	...	649.95	2 of 2 - Option sale to close-call ²⁵
11/24/20	2.000	2,559.91	Various	1,750.00	...	809.91	Total of 2 transactions
TSLA 11/27/2020 CALL \$575.00 / CUSIP: / Symbol:							
11/27/20	1.000	1,474.95	11/24/20	602.00	...	872.95	Option sale to close-call ²⁵
TSLA 11/27/2020 CALL \$580.00 / CUSIP: / Symbol:							
11/30/20	1.000	-618.03	11/27/20	0.00	...	-618.03	Short sale closed- call ²⁵ Option written: 11/24/20
TSLA 12/04/2020 CALL \$585.00 / CUSIP: / Symbol:							
12/04/20	1.000	720.97	12/03/20	1,027.00	...	-306.03	Option sale to close-call ²⁵
TSLA 12/04/2020 CALL \$590.00 / CUSIP: / Symbol:							
12/07/20	1.000	418.97	12/04/20	0.00	...	418.97	Short sale closed- call ²⁵ Option written: 12/03/20
TSLA 12/04/2020 PUT \$450.00 / CUSIP: / Symbol:							
12/04/20	1.000	0.00	11/30/20	53.00	...	-53.00	Option expiration- long put
TSLA 12/11/2020 CALL \$580.00 / CUSIP: / Symbol:							
12/01/20	1.000	3,764.90	11/30/20	4,165.00	...	-400.10	Option sale to close-call ²⁵

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TSLA 12/11/2020 CALL \$590.00 / CUSIP: / Symbol: 12/02/20	1.000	404.90	12/01/20	0.00	...	404.90	Short sale closed- call ²⁵ Option written: 11/30/20
TSLA 12/11/2020 CALL \$700.00 / CUSIP: / Symbol: 12/09/20	1.000	290.98	12/09/20	465.00	...	-174.02	Option sale to close-call ²⁵
TSLA 12/24/2020 CALL \$670.00 / CUSIP: / Symbol: 12/21/20	1.000	1,930.94	12/18/20	3,561.00	...	-1,630.06	Option sale to close-call ²⁵
TSLA 12/24/2020 CALL \$680.00 / CUSIP: / Symbol: 12/22/20	1.000	1,602.92	12/21/20	0.00	...	1,602.92	Short sale closed- call ²⁵ Option written: 12/18/20
TSLA 12/31/2020 CALL \$650.00 / CUSIP: / Symbol: 12/23/20	1.000	1,955.94	12/23/20	1,242.00	...	713.94	Option sale to close-call ²⁵
TSLA 12/31/2020 CALL \$670.00 / CUSIP: / Symbol: 12/24/20	1.000	-489.03	12/23/20	0.00	...	-489.03	Short sale closed- call ²⁵ Option written: 12/23/20
TSM 10/16/2020 CALL \$92.00 / CUSIP: / Symbol: 10/15/20	1.000	9.98	10/14/20	88.00	...	-78.02	Option sale to close-call ²⁵
TSM 12/11/2020 PUT \$95.00 / CUSIP: / Symbol: 12/11/20	1.000	0.00	11/30/20	147.00	...	-147.00	Option expiration- long put
TTWO 11/13/2020 CALL \$180.00 / CUSIP: / Symbol: 11/13/20	1.000	0.00	11/06/20	240.00	...	-240.00	Option expiration- long call
TWTR 12/04/2020 CALL \$48.00 / CUSIP: / Symbol: 12/04/20	1.000	35.98	11/25/20	50.00	...	-14.02	Option sale to close-call ²⁵
UAA 01/15/2021 CALL \$15.00 / CUSIP: / Symbol: 11/06/20	1.000	144.98	10/21/20	127.00	...	17.98	Option sale to close-call ²⁵
UPS 11/20/2020 CALL \$200.00 / CUSIP: / Symbol: 11/20/20	1.000	0.00	10/27/20	152.00	...	-152.00	Option expiration- long call

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2020 1099-B* OMB No. 1545-0715

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V 01/15/2021 CALL \$230.00 / CUSIP: / Symbol:							
11/27/20	1.000	166.98	11/23/20	124.00	...	42.98	Option sale to close-call ²⁵
VLO 10/16/2020 CALL \$44.00 / CUSIP: / Symbol:							
10/13/20	1.000	29.98	10/12/20	38.00	...	-8.02	Option sale to close-call ²⁵
VZ 10/23/2020 CALL \$58.00 / CUSIP: / Symbol:							
10/22/20	1.000	4.98	10/20/20	42.00	...	-37.02	Option sale to close-call ²⁵
VZ 10/23/2020 PUT \$56.00 / CUSIP: / Symbol:							
10/22/20	1.000	7.98	10/20/20	27.00	...	-19.02	Option sale to close-put ²⁵
WDAY 12/18/2020 CALL \$240.00 / CUSIP: / Symbol:							
11/30/20	1.000	224.98	11/23/20	129.00	...	95.98	Option sale to close-call ²⁵
WKHS 11/20/2020 CALL \$33.00 / CUSIP: / Symbol:							
11/20/20	5.000	0.00	10/27/20	100.00	...	-100.00	Option expiration- long call
WMT 12/04/2020 CALL \$157.50 / CUSIP: / Symbol:							
12/04/20	1.000	0.00	11/30/20	31.00	...	-31.00	Option expiration- long call
WYNN 11/13/2020 PUT \$85.00 / CUSIP: / Symbol:							
11/10/20	2.000	63.98	11/09/20	30.00	...	33.98	Option sale to close-put ²⁵
11/12/20	1.000	86.98	11/09/20	15.00	...	71.98	Option sale to close-put ²⁵
	Security total:	150.96		45.00	...	105.96	
XLE 11/20/2020 PUT \$34.00 / CUSIP: / Symbol:							
11/20/20	5.000	0.00	11/16/20	55.00	...	-55.00	Option expiration- long put
ZM 01/15/2021 PUT \$230.00 / CUSIP: / Symbol:							
12/14/20	1.000	39.98	12/09/20	35.00	...	4.98	Option sale to close-put ²⁵
ZM 10/16/2020 CALL \$600.00 / CUSIP: / Symbol:							
	<i>2 transactions for 10/15/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	29.99	10/14/20	17.00	...	12.99	1 of 2 - Option sale to close-call ²⁵
	1.000	29.99	10/14/20	17.00	...	12.99	2 of 2 - Option sale to close-call ²⁵
10/15/20	2.000	59.98	Various	34.00	...	25.98	Total of 2 transactions

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ZM 11/06/2020 CALL \$500.00 / CUSIP: / Symbol:							
11/06/20	1.000	629.97	11/05/20	547.00	...	82.97	Option sale to close-call ²⁵
ZM 11/27/2020 CALL \$500.00 / CUSIP: / Symbol:							
11/12/20	1.000	639.97	11/11/20	400.00	...	239.97	Option sale to close-call ²⁵
11/27/20	1.000	0.00	11/12/20	696.00	...	-696.00	Option expiration- long call
	Security total:	639.97		1,096.00	...	-456.03	
ZM 11/27/2020 CALL \$502.50 / CUSIP: / Symbol:							
11/27/20	1.000	59.98	11/27/20	0.00	...	59.98	Short sale closed- call expired Option written: 11/24/20
ZM 12/04/2020 CALL \$500.00 / CUSIP: / Symbol:							
12/04/20	1.000	0.00	11/10/20	687.00	...	-687.00	Option expiration- long call
ZM 12/04/2020 CALL \$502.50 / CUSIP: / Symbol:							
12/04/20	1.000	34.98	12/04/20	0.00	...	34.98	Short sale closed- call expired Option written: 12/02/20
ZM 12/04/2020 CALL \$505.00 / CUSIP: / Symbol:							
12/03/20	1.000	566.97	12/02/20	0.00	...	566.97	Short sale closed- call ²⁵ Option written: 11/10/20
ZM 12/04/2020 CALL \$555.00 / CUSIP: / Symbol:							
11/09/20	1.000	499.97	10/29/20	2,469.00	...	-1,969.03	Option sale to close-call ²⁵
ZM 12/04/2020 CALL \$565.00 / CUSIP: / Symbol:							
11/10/20	1.000	1,761.94	11/09/20	0.00	...	1,761.94	Short sale closed- call ²⁵ Option written: 10/29/20
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
	2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	1.000	3,114.46	12/09/20	3,159.62	35.97 W	-9.19	1 of 2 - Sale ²⁵
	0.188	585.45	12/09/20	593.95	8.50 W	0.00	2 of 2 - Sale ²⁵
12/11/20	1.188	3,699.91	Various	3,753.57	44.47 W	-9.19	Total of 2 transactions
	4 transactions for 12/18/20. Total proceeds and cost reported to the IRS.						
	0.020	64.75	12/09/20	63.46	...	1.29	1 of 4 - Sale ²⁵

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AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
	0.374	1,208.28	12/09/20	1,182.97	...	25.31	2 of 4 - Sale ²⁵
	0.438	1,412.27	12/16/20	1,418.79	...	-6.52	3 of 4 - Sale ²⁵
	0.188	606.65	12/16/20	609.47	...	-2.82	4 of 4 - Sale ²⁵
12/18/20	1.020	3,291.95	Various	3,274.69	...	17.26	Total of 4 transactions
12/30/20	0.359	1,187.59	12/29/20	1,216.21	...	-28.62	Sale ²⁵
	Security total:	8,179.45		8,244.47	44.47 W	-20.55	
AMNEAL PHARMACEUTICALS, INC. C LASS A COMMON STOCK / CUSIP: 03168L105 / Symbol:							
10/06/20	1.000	4.22	03/02/20	3.74	...	0.48	Sale ²⁵
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
09/15/20	10.000	1,142.98	09/14/20	1,147.80	...	-4.82	Sale ²⁵
	4 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	0.198	24.19	12/01/20	24.39	0.19 W	-0.01	1 of 4 - Sale ²⁵
	0.005	0.65	12/01/20	0.66	0.01 W	0.00	2 of 4 - Sale ²⁵
	0.000	0.01	12/01/20	0.04	0.03 W	0.00	3 of 4 - Sale ²⁵
	8.000	975.09	12/01/20	983.34	8.25 W	0.00	4 of 4 - Sale ²⁵
12/09/20	8.204	999.94	Various	1,008.43	8.48 W	-0.01	Total of 4 transactions
	7 transactions for 12/16/20. Total proceeds and cost reported to the IRS.						
	1.557	198.42	12/01/20	191.41	...	7.01	1 of 7 - Sale ²⁵
	0.005	0.68	12/07/20	0.68	...	0.00	2 of 7 - Sale ²⁵
	0.000	0.01	12/07/20	0.01	...	0.00	3 of 7 - Sale ²⁵
	0.159	20.32	12/07/20	19.91	...	0.41	4 of 7 - Sale ²⁵
	0.193	24.60	12/07/20	24.11	...	0.49	5 of 7 - Sale ²⁵
	1.930	245.94	12/07/20	241.60	...	4.34	6 of 7 - Sale ²⁵
	4.000	509.63	12/07/20	499.75	...	9.88	7 of 7 - Sale ²⁵
12/16/20	7.845	999.60	Various	977.47	...	22.13	Total of 7 transactions
	3 transactions for 12/16/20. Total proceeds and cost reported to the IRS.						
	0.001	0.14	12/01/20	0.16	0.02 W	0.00	1 of 3 - Sale ²⁵
	0.000	0.04	12/07/20	0.07	0.03 W	0.00	2 of 3 - Sale ²⁵
	0.001	0.16	12/07/20	0.18	0.02 W	0.00	3 of 3 - Sale ²⁵
12/16/20	0.003	0.34	Various	0.41	0.07 W	0.00	Total of 3 transactions

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(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
3 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	0.000	0.05	12/07/20	0.05	...	0.00	1 of 3 - Sale ²⁵
	1.909	245.62	12/07/20	239.00	...	6.62	2 of 3 - Sale ²⁵
	1.976	254.17	12/07/20	245.29	...	8.88	3 of 3 - Sale ²⁵
12/17/20	3.886	499.84	Various	484.34	...	15.50	Total of 3 transactions
2 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	0.001	0.12	12/07/20	0.13	0.01 W	0.00	1 of 2 - Sale ²⁵
	0.000	0.04	12/07/20	0.07	0.03 W	0.00	2 of 2 - Sale ²⁵
12/17/20	0.001	0.16	Various	0.20	0.04 W	0.00	Total of 2 transactions
2 transactions for 12/18/20. Total proceeds and cost reported to the IRS.							
	3.043	388.02	12/07/20	377.70	...	10.32	1 of 2 - Sale ²⁵
	5.732	731.04	12/09/20	699.85	...	31.19	2 of 2 - Sale ²⁵
12/18/20	8.775	1,119.06	Various	1,077.55	...	41.51	Total of 2 transactions
9 transactions for 12/18/20. Total proceeds and cost reported to the IRS.							
	0.001	0.11	12/09/20	0.12	0.01 W	0.00	1 of 9 - Sale ²⁵
	0.000	0.01	12/09/20	0.02	0.01 W	0.00	2 of 9 - Sale ²⁵
	0.000	0.03	12/09/20	0.05	0.02 W	0.00	3 of 9 - Sale ²⁵
	0.173	22.10	12/16/20	22.15	0.05 W	0.00	4 of 9 - Sale ²⁵
	1.000	127.53	12/16/20	127.85	0.32 W	0.00	5 of 9 - Sale ²⁵
	0.548	69.87	12/17/20	70.47	0.60 W	0.00	6 of 9 - Sale ²⁵
	14.996	1,912.48	12/17/20	1,929.53	17.05 W	0.00	7 of 9 - Sale ²⁵
	0.792	101.05	12/17/20	101.77	0.72 W	0.00	8 of 9 - Sale ²⁵
	13.999	1,785.28	12/17/20	1,798.23	12.95 W	0.00	9 of 9 - Sale ²⁵
12/18/20	31.510	4,018.46	Various	4,050.19	31.73 W	0.00	Total of 9 transactions
11 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	14.996	1,883.97	12/18/20	1,933.65	...	-49.68	1 of 11 - Sale ²⁵
	13.999	1,758.66	12/18/20	1,802.08	...	-43.42	2 of 11 - Sale ²⁵
	7.490	940.97	12/18/20	957.27	...	-16.30	3 of 11 - Sale ²⁵
	1.000	125.63	12/18/20	128.13	...	-2.50	4 of 11 - Sale ²⁵
	0.792	99.54	12/18/20	101.98	...	-2.44	5 of 11 - Sale ²⁵
	0.548	68.83	12/18/20	70.62	...	-1.79	6 of 11 - Sale ²⁵
	0.121	15.19	12/18/20	15.74	...	-0.55	7 of 11 - Sale ²⁵
	0.052	6.59	12/18/20	6.72	...	-0.13	8 of 11 - Sale ²⁵

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Robinhood Securities LLC

Account 146490420

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	0.001	0.11	12/18/20	0.13	...	-0.02	9 of 11 - Sale ²⁵
	0.000	0.03	12/18/20	0.05	...	-0.02	10 of 11 - Sale ²⁵
	0.000	0.01	12/18/20	0.02	...	-0.01	11 of 11 - Sale ²⁵
12/21/20	39.000	4,899.53	Various	5,016.39	...	-116.86	Total of 11 transactions
	4 transactions for 12/21/20. Total proceeds and cost reported to the IRS.						
	0.001	0.11	12/18/20	0.12	0.01 W	0.00	1 of 4 - Sale ²⁵
	0.000	0.01	12/18/20	0.02	0.01 W	0.00	2 of 4 - Sale ²⁵
	0.000	0.03	12/18/20	0.05	0.02 W	0.00	3 of 4 - Sale ²⁵
	0.121	15.19	12/18/20	15.48	0.29 W	0.00	4 of 4 - Sale ²⁵
12/21/20	0.122	15.34	Various	15.67	0.33 W	0.00	Total of 4 transactions
	Security total:	13,695.25		13,778.45	40.65 W	-42.55	
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
	2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.						
	0.493	14.73	11/25/20	14.10	...	0.63	1 of 2 - Sale ²⁵
	10.000	298.53	11/25/20	285.90	...	12.63	2 of 2 - Sale ²⁵
12/23/20	10.493	313.26	Various	300.00	...	13.26	Total of 2 transactions
BIOVIE INC. CLASS A COMMON STOCK / CUSIP: 09074F207 / Symbol:							
12/15/20	10.221	131.75	12/14/20	120.00	...	11.75	Sale ²⁵
BOXLIGHT CORPORATION CLASS A COMMON STOCK / CUSIP: 103197109 / Symbol:							
	4 transactions for 12/01/20. Total proceeds and cost reported to the IRS.						
	0.090	0.14	11/30/20	0.13	...	0.01	1 of 4 - Sale ²⁵
	12.000	18.30	11/30/20	17.51	...	0.79	2 of 4 - Sale ²⁵
	25.000	38.00	11/30/20	36.47	...	1.53	3 of 4 - Sale ²⁵
	100.000	152.49	11/30/20	145.89	...	6.60	4 of 4 - Sale ²⁵
12/01/20	137.090	208.93	Various	200.00	...	8.93	Total of 4 transactions

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BUILDERS FIRSTSOURCE, INC. COM MON STOCK / CUSIP: 12008R107 / Symbol:							
3 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	0.001	0.05	12/18/20	0.05	...	0.00	1 of 3 - Sale ²⁵
	0.045	1.90	12/18/20	1.80	...	0.10	2 of 3 - Sale ²⁵
	4.999	209.45	12/18/20	198.15	...	11.30	3 of 3 - Sale ²⁵
12/24/20	5.045	211.40	Various	200.00	...	11.40	Total of 3 transactions
CBAK ENERGY TECHNOLOGY, INC. C OMMON STOCK / CUSIP: 14986C102 / Symbol:							
2 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	32.000	241.44	11/17/20	312.96	...	-71.52	1 of 2 - Sale ²⁵
	0.618	4.64	11/17/20	6.04	...	-1.40	2 of 2 - Sale ²⁵
11/18/20	32.618	246.08	Various	319.00	...	-72.92	Total of 2 transactions
ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
11/17/20	10.000	60.45	11/16/20	62.60	...	-2.15	Sale ²⁵
ENERGOUS CORPORATION COMMON ST OCK / CUSIP: 29272C103 / Symbol:							
3 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	0.297	0.61	11/23/20	0.64	...	-0.03	1 of 3 - Sale ²⁵
	0.404	0.94	11/23/20	0.87	...	0.07	2 of 3 - Sale ²⁵
	45.596	106.70	11/23/20	98.49	...	8.21	3 of 3 - Sale ²⁵
11/24/20	46.297	108.25	Various	100.00	...	8.25	Total of 3 transactions
EVOFEM BIOSCIENCES, INC. COMMO N STOCK / CUSIP: 30048L104 / Symbol:							
2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	1.118	2.65	12/18/20	2.37	...	0.28	1 of 2 - Sale ²⁵
	92.784	219.93	12/18/20	197.63	...	22.30	2 of 2 - Sale ²⁵
12/22/20	93.902	222.58	Various	200.00	...	22.58	Total of 2 transactions
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
11/24/20	3.000	244.79	11/11/20	215.62	...	29.17	Sale ²⁵
4 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	0.367	33.05	11/11/20	26.41	...	6.64	1 of 4 - Sale ²⁵
	1.633	147.01	11/11/20	117.33	...	29.68	2 of 4 - Sale ²⁵
	0.368	33.11	11/27/20	31.06	...	2.05	3 of 4 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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FASTLY, INC. / CUSIP: 31188V100 / Symbol: (cont'd)							
	2.000	180.08	11/27/20	168.94	...	11.14	4 of 4 - Sale ²⁵
12/30/20	4.367	393.25	Various	343.74	...	49.51	Total of 4 transactions
	Security total:	638.04		559.36	...	78.68	
FISKER INC. / CUSIP: 33813J106 / Symbol:							
12/04/20	0.006	0.10	12/02/20	0.10	...	0.00	Sale ²⁵
	3 transactions for 12/04/20. Total proceeds and cost reported to the IRS.						
	0.267	4.54	12/02/20	4.63	0.09 W	0.00	1 of 3 - Sale ²⁵
	0.267	4.53	12/02/20	4.72	0.19 W	0.00	2 of 3 - Sale ²⁵
	18.733	317.71	12/02/20	324.64	6.93 W	0.00	3 of 3 - Sale ²⁵
12/04/20	19.267	326.78	Various	333.99	7.21 W	0.00	Total of 3 transactions
	5 transactions for 12/23/20. Total proceeds and cost reported to the IRS.						
	81.000	1,272.88	12/21/20	1,290.73	...	-17.85	1 of 5 - Sale ²⁵
	6.469	101.65	12/21/20	105.47	...	-3.82	2 of 5 - Sale ²⁵
	0.255	4.01	12/21/20	4.32	...	-0.31	3 of 5 - Sale ²⁵
	0.264	4.15	12/21/20	4.37	...	-0.22	4 of 5 - Sale ²⁵
	0.012	0.19	12/21/20	0.20	...	-0.01	5 of 5 - Sale ²⁵
12/23/20	88.000	1,382.88	Various	1,405.09	...	-22.21	Total of 5 transactions
	3 transactions for 12/23/20. Total proceeds and cost reported to the IRS.						
	12.264	192.72	12/21/20	200.27	0.16 W	-7.39	1 of 3 - Sale ²⁵
	0.012	0.19	12/21/20	0.20	0.01 W	0.00	2 of 3 - Sale ²⁵
	0.255	4.01	12/21/20	4.26	0.25 W	0.00	3 of 3 - Sale ²⁵
12/23/20	12.531	196.92	Various	204.73	0.42 W	-7.39	Total of 3 transactions
	Security total:	1,906.68		1,943.91	7.63 W	-29.60	
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:							
	3 transactions for 12/24/20. Total proceeds and cost reported to the IRS.						
	0.053	0.65	12/21/20	0.50	...	0.15	1 of 3 - Sale ²⁵
	0.582	7.08	12/21/20	5.50	...	1.58	2 of 3 - Sale ²⁵
	10.000	121.60	12/21/20	94.00	...	27.60	3 of 3 - Sale ²⁵
12/24/20	10.635	129.33	Various	100.00	...	29.33	Total of 3 transactions

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GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
4 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	0.002	0.02	11/11/20	0.02	...	0.00	1 of 4 - Sale ²⁵
	0.515	5.48	11/11/20	4.59	...	0.89	2 of 4 - Sale ²⁵
	7.000	74.50	11/11/20	62.42	...	12.08	3 of 4 - Sale ²⁵
	7.483	79.63	11/11/20	66.73	...	12.90	4 of 4 - Sale ²⁵
11/24/20	15.000	159.63	Various	133.76	...	25.87	Total of 4 transactions
JUMIA TECHNOLOGIES AG / CUSIP: 48138M105 / Symbol:							
12/17/20	100.000	3,901.02	12/16/20	3,938.33	37.31 W	0.00	Sale ²⁵
12/30/20	100.000	4,222.77	12/18/20	4,315.98	...	-93.21	Sale ²⁵
	Security total:	8,123.79		8,254.31	37.31 W	-93.21	
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
2 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	0.681	148.27	12/15/20	145.57	...	2.70	1 of 2 - Sale ²⁵
	4.000	871.42	12/15/20	855.43	...	15.99	2 of 2 - Sale ²⁵
12/16/20	4.681	1,019.69	Various	1,001.00	...	18.69	Total of 2 transactions
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
5 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	0.000	0.04	11/30/20	0.04	...	0.00	1 of 5 - Sale ²⁵
	0.005	0.78	11/30/20	0.70	...	0.08	2 of 5 - Sale ²⁵
	0.009	1.40	11/30/20	1.28	...	0.12	3 of 5 - Sale ²⁵
	0.009	1.41	11/30/20	1.28	...	0.13	4 of 5 - Sale ²⁵
	1.991	326.02	11/30/20	296.70	...	29.32	5 of 5 - Sale ²⁵
12/01/20	2.014	329.65	Various	300.00	...	29.65	Total of 5 transactions
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
11/04/20	5.000	192.60	11/02/20	168.10	...	24.50	Sale ²⁵
11/09/20	10.000	414.40	11/05/20	390.26	...	24.14	Sale ²⁵
11/13/20	5.000	258.33	11/12/20	235.38	...	22.95	Sale ²⁵
11/24/20	5.000	267.05	11/16/20	218.28	...	48.77	Sale ²⁵
	Security total:	1,132.38		1,012.32	...	120.36	

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
4 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	0.284	5.25	12/01/20	4.86	...	0.39	1 of 4 - Sale ²⁵
	0.443	7.99	12/01/20	7.57	...	0.42	2 of 4 - Sale ²⁵
	1.000	18.03	12/01/20	17.10	...	0.93	3 of 4 - Sale ²⁵
	9.972	184.75	12/01/20	170.47	...	14.28	4 of 4 - Sale ²⁵
12/02/20	11.699	216.02	Various	200.00	...	16.02	Total of 4 transactions
OCEAN POWER TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 674870506 / Symbol:							
11/18/20	100.000	190.10	11/16/20	179.88	...	10.22	Sale ²⁵
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
4 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	0.001	0.04	11/25/20	0.04	...	0.00	1 of 4 - Sale ²⁵
	0.035	1.04	11/25/20	0.99	...	0.05	2 of 4 - Sale ²⁵
	0.035	1.04	11/25/20	0.99	...	0.05	3 of 4 - Sale ²⁵
	6.965	207.44	11/25/20	197.98	...	9.46	4 of 4 - Sale ²⁵
11/27/20	7.036	209.56	Various	200.00	...	9.56	Total of 4 transactions
RIOT BLOCKCHAIN, INC. COMMON STOCK / CUSIP: 767292105 / Symbol:							
10/20/20	50.000	180.26	10/12/20	181.31	...	-1.05	Sale ²⁵
SALEM MEDIA GROUP, INC. CLASS A COMMON STOCK / CUSIP: 794093104 / Symbol:							
3 transactions for 10/28/20. Total proceeds and cost reported to the IRS.							
	57.219	51.78	10/27/20	53.78	...	-2.00	1 of 3 - Sale ²⁵
	48.207	43.62	10/27/20	45.14	...	-1.52	2 of 3 - Sale ²⁵
	0.574	0.52	10/27/20	0.56	...	-0.04	3 of 3 - Sale ²⁵
10/28/20	106.000	95.92	Various	99.48	...	-3.56	Total of 3 transactions
10/28/20	0.574	0.52	10/27/20	0.54	0.02 W	0.00	Sale ²⁵
	Security total:	96.44		100.02	0.02 W	-3.56	

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Account 146490420

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(continued)

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SHIFTPIXY, INC. COMMON STOCK / CUSIP: 82452L203 / Symbol:							
2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	9.000	25.38	12/08/20	22.86	...	2.52	1 of 2 - Sale ²⁵
	9.110	25.69	12/08/20	23.14	...	2.55	2 of 2 - Sale ²⁵
12/09/20	18.110	51.07	Various	46.00	...	5.07	Total of 2 transactions
SKILLZ INC. / CUSIP: 83067L109 / Symbol:							
3 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	4.989	93.87	12/21/20	99.48	...	-5.61	1 of 3 - Sale ²⁵
	0.011	0.21	12/21/20	0.23	...	-0.02	2 of 3 - Sale ²⁵
	0.004	0.08	12/21/20	0.08	...	0.00	3 of 3 - Sale ²⁵
12/24/20	5.004	94.16	Various	99.79	...	-5.63	Total of 3 transactions
12/24/20	0.011	0.21	12/21/20	0.22	0.01 W	0.00	Sale ²⁵
	Security total:	94.37		100.01	0.01 W	-5.63	
SNOWFLAKE INC. / CUSIP: 833445109 / Symbol:							
11/27/20	1.000	324.85	11/25/20	291.93	...	32.92	Sale ²⁵
SOLARWINDS CORPORATION COMMON STOCK / CUSIP: 83417Q105 / Symbol:							
2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	0.292	4.65	12/18/20	4.25	...	0.40	1 of 2 - Sale ²⁵
	9.997	159.35	12/18/20	145.75	...	13.60	2 of 2 - Sale ²⁵
12/22/20	10.289	164.00	Various	150.00	...	14.00	Total of 2 transactions
SPLUNK INC. COMMON STOCK / CUSIP: 848637104 / Symbol:							
2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	3.000	468.75	12/04/20	483.98	...	-15.23	1 of 2 - Sale ²⁵
	0.099	15.52	12/04/20	16.02	...	-0.50	2 of 2 - Sale ²⁵
12/07/20	3.099	484.27	Various	500.00	...	-15.73	Total of 2 transactions
T-MOBILE US, INC. COMMON STOCK / CUSIP: 872590104 / Symbol:							
10/14/20	0.831	98.93	10/12/20	100.00	...	-1.07	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 146490420

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	0.001	0.66	11/19/20	0.62	...	0.04	1 of 2 - Sale ²⁵
	0.759	399.34	11/19/20	378.39	...	20.95	2 of 2 - Sale ²⁵
11/24/20	0.760	400.00	Various	379.01	...	20.99	Total of 2 transactions
5 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	0.114	66.57	11/19/20	56.99	...	9.58	1 of 5 - Sale ²⁵
	0.192	112.00	11/24/20	102.46	...	9.54	2 of 5 - Sale ²⁵
	2.808	1,634.27	11/24/20	1,496.61	...	137.66	3 of 5 - Sale ²⁵
	3.000	1,746.16	11/25/20	1,645.08	...	101.08	4 of 5 - Sale ²⁵
	0.000	0.02	11/27/20	0.02	...	0.00	5 of 5 - Sale ²⁵
11/30/20	6.114	3,559.02	Various	3,301.16	...	257.86	Total of 5 transactions
2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	0.192	111.86	11/27/20	112.84	0.98 W	0.00	1 of 2 - Sale ²⁵
	1.000	582.05	11/27/20	587.14	5.09 W	0.00	2 of 2 - Sale ²⁵
11/30/20	1.192	693.91	Various	699.98	6.07 W	0.00	Total of 2 transactions
2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	0.192	120.47	11/30/20	113.50	...	6.97	1 of 2 - Sale ²⁵
	0.808	506.36	11/30/20	477.07	...	29.29	2 of 2 - Sale ²⁵
12/07/20	1.000	626.83	Various	590.57	...	36.26	Total of 2 transactions
7 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	0.192	119.34	11/30/20	113.50	...	5.84	1 of 7 - Sale ²⁵
	6.494	4,032.47	11/30/20	3,802.00	...	230.47	2 of 7 - Sale ²⁵
	1.717	1,066.00	12/01/20	1,000.00	...	66.00	3 of 7 - Sale ²⁵
	0.367	227.98	12/04/20	219.00	...	8.98	4 of 7 - Sale ²⁵
	0.365	226.70	12/04/20	216.98	...	9.72	5 of 7 - Sale ²⁵
	0.839	521.28	12/04/20	500.00	...	21.28	6 of 7 - Sale ²⁵
	2.999	1,862.16	12/04/20	1,783.02	...	79.14	7 of 7 - Sale ²⁵
12/08/20	12.973	8,055.93	Various	7,634.50	...	421.43	Total of 7 transactions
4 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	0.029	18.40	12/08/20	18.27	...	0.13	1 of 4 - Sale ²⁵
	0.232	148.64	12/08/20	147.89	...	0.75	2 of 4 - Sale ²⁵
	0.331	212.01	12/08/20	210.43	...	1.58	3 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 146490420

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	12.000	7,674.49	12/08/20	7,623.41	...	51.08	4 of 4 - Sale ²⁵
12/09/20	12.592	8,053.54	Various	8,000.00	...	53.54	Total of 4 transactions
3 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	0.131	87.74	12/09/20	77.94	...	9.80	1 of 3 - Sale ²⁵
	0.401	269.24	12/09/20	239.16	...	30.08	2 of 3 - Sale ²⁵
	4.000	2,682.44	12/09/20	2,382.90	...	299.54	3 of 3 - Sale ²⁵
12/30/20	4.532	3,039.42	Various	2,700.00	...	339.42	Total of 3 transactions
	Security total:	24,428.65		23,305.22	6.07 W	1,129.50	
UPSTART HOLDINGS, INC. COMMON STOCK / CUSIP: 91680M107 / Symbol:							
3 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	0.059	2.40	12/18/20	2.38	...	0.02	1 of 3 - Sale ²⁵
	0.307	12.51	12/18/20	12.40	...	0.11	2 of 3 - Sale ²⁵
	11.941	486.96	12/18/20	485.22	...	1.74	3 of 3 - Sale ²⁵
12/28/20	12.307	501.87	Various	500.00	...	1.87	Total of 3 transactions
12/30/20	5.000	206.25	12/29/20	204.79	...	1.46	Sale ²⁵
	Security total:	708.12		704.79	...	3.33	
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol:							
4 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	18.891	141.31	11/30/20	153.39	...	-12.08	1 of 4 - Sale ²⁵
	5.000	37.40	11/30/20	40.52	...	-3.12	2 of 4 - Sale ²⁵
	0.400	2.99	11/30/20	3.24	...	-0.25	3 of 4 - Sale ²⁵
	0.352	2.64	11/30/20	2.85	...	-0.21	4 of 4 - Sale ²⁵
11/30/20	24.643	184.34	Various	200.00	...	-15.66	Total of 4 transactions
VINCO VENTURES, INC. COMMON ST OCK / CUSIP: 927330100 / Symbol:							
4 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	73.000	110.57	12/28/20	109.50	...	1.07	1 of 4 - Sale ²⁵
	100.000	151.46	12/28/20	150.00	...	1.46	2 of 4 - Sale ²⁵
	127.000	192.36	12/28/20	190.50	...	1.86	3 of 4 - Sale ²⁵
	100.000	151.46	12/28/20	149.50	...	1.96	4 of 4 - Sale ²⁵
12/29/20	400.000	605.85	Various	599.50	...	6.35	Total of 4 transactions

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Robinhood Securities LLC

Account 146490420

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
2 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	11.000	298.44	11/25/20	298.54	...	-0.10	1 of 2 - Sale ²⁵
	0.054	1.46	11/25/20	1.46	...	0.00	2 of 2 - Sale ²⁵
11/27/20	11.054	299.90	Various	300.00	...	-0.10	Total of 2 transactions
WEIDAI LTD. AMERICAN DEPOSITAR Y SHARES, EACH REPRESENTING O NE (1) CLASS A ORDINARY SHARE / CUSIP: 94861A108 / Symbol:							
11/18/20	200.000	312.98	11/17/20	319.98	...	-7.00	Sale ²⁵
WESTWATER RESOURCES, INC. COMM ON STOCK / CUSIP: 961684206 / Symbol:							
11/16/20	100.000	614.97	11/16/20	559.82	...	55.15	Sale ²⁵
3 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	0.126	0.73	12/17/20	0.64	...	0.09	1 of 3 - Sale ²⁵
	0.135	0.78	12/17/20	0.68	...	0.10	2 of 3 - Sale ²⁵
	48.865	281.57	12/17/20	248.68	...	32.89	3 of 3 - Sale ²⁵
12/22/20	49.126	283.08	Various	250.00	...	33.08	Total of 3 transactions
	Security total:	898.05		809.82	...	88.23	
XPENG INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TWO CLASS A ORDINARY SHARES / CUSIP: 98422D105 / Symbol:							
11/24/20	5.000	314.00	11/12/20	224.36	...	89.64	Sale ²⁵
Totals :		204,684.44		217,805.15	9,264.87 W	-3,855.84	

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SHIFTPIXY, INC. COMMON STOCK / CUSIP: 82452L203 / Symbol:							
10/06/20	1.000	3.65	02/23/18	2.60	...	1.05	Sale ²⁵
Totals :		3.65		2.60		1.05	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 146490420

Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
CHESAPEAKE ENERGY CORP COMMON STOCK / CUSIP: 165167743 / Symbol: 04/27/20	0.020	0.32	03/18/20	0.78	...	-0.46	Gain or loss
Totals:		0.32		0.78	...	-0.46	

Robinhood Securities LLC

Account 146490420

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
BANK OF AMERICA CORPORATION	060505104		12/24/20	1.89	Qualified dividend	
				1.89	Total Dividends & distributions	

Robinhood Securities LLC

Account 146490420

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		10/01/20	-5.00	Margin interest paid	
		11/02/20	-5.00	Margin interest paid	
		11/30/20	-5.00	Margin interest paid	
		12/30/20	-5.00	Margin interest paid	
		12/30/20	-8.64	Margin interest paid	
			-28.64	Total Margin interest paid	
			-28.64	Total Margin interest paid	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.