

E\*TRADE SECURITIES LLC  
PO BOX 484  
JERSEY CITY, NJ 07303-0484

Account No: 38876485  
Account Name: SUDHAKAR REDDY ALAVALA  
Recipient's TIN: \*\*\*\_\*\*-0928

Account Executive No:

ORIGINAL: 12/31/2020

## CONSOLIDATED 2020 FORMS 1099 AND DETAILS

SUDHAKAR REDDY ALAVALA  
5407 DUNMERE LN APT A  
DUBLIN, OH 43017-4422

### YEAR-END MESSAGES

CERTAIN EVENTS SUCH AS COST BASIS, ORIGINAL ISSUE DISCOUNT ADJUSTMENTS OR RECLASSIFICATIONS OF AN INCOME PAYMENT RECEIVED FROM A MUTUAL FUND, ETF, REIT, OR RIC MAY RESULT IN AN AMENDED 1099 FOR PRIOR OR CURRENT TAX YEARS. PLEASE SEE INSERT FOR DETAILS OR VISIT [WWW.ETRADE.COM/TAXCENTER](http://WWW.ETRADE.COM/TAXCENTER) FOR ANY UPDATES. IF YOU HAVE QUESTIONS PLEASE CALL 800-ETRADE-1 (800-387-2331) OR LOG ON TO YOUR ACCOUNT AND SEND US A SECURE MESSAGE BY CLICKING ON THE CUSTOMER SERVICE LINK AT THE TOP OF THE PAGE

### TABLE OF CONTENTS

#### Consolidated 1099 Sections:

Summary Information	Page 3
1099-B Proceeds from Broker and Barter Exchange Transactions	Page 4

#### Details:

Investment Details	Page 7
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Your Account did not receive the following Forms:

1099-DIV  
1099-INT  
1099-OID  
1099-MISC

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## FORM 1099-B TOTALS SUMMARY

### REALIZED GAIN / LOSS SUMMARY

Refer to Proceeds from Broker and Barter Exchange Transactions for detailed information regarding these summary values. The amounts shown below are for informational purposes only.

SHORT-TERM GAINS OR (LOSSES) – REPORT ON FORM 8949, PART I	PROCEEDS	COST BASIS	MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	REALIZED GAIN OR (LOSS)
Box A (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box A – Ordinary – (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B (basis not reported to IRS)	\$4,683.95	\$0.00	\$0.00	\$0.00	\$4,683.95
Box B – Ordinary – (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>Total Short-Term</b>	<b>\$4,683.95</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$4,683.95</b>
LONG-TERM GAINS OR (LOSSES) – REPORT ON FORM 8949, PART II					
Box D (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box D – Ordinary – (basis reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box E (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box E – Ordinary – (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>Total Long-Term</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>
UNKNOWN TERM – CODE (X) REPORT ON FORM 8949 PART I OR PART II					
Box B or Box E (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B or Box E – Ordinary – (basis not reported to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
<b>Total Unknown Term</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>

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 Account Executive No:  
 ORIGINAL: 12/31/2020

**RECIPIENT'S Name, Street Address, City, State, and Zip Code**  
 SUDHAKAR REDDY ALAVALA  
 5407 DUNMERE LN APT A  
 DUBLIN, OH 43017-4422

**PAYER'S TIN:** 35-2167612  
**PAYER'S Name, Street, City, State, Zip Code:**  
 E\*TRADE SECURITIES LLC  
 PO BOX 484  
 JERSEY CITY, NJ 07303-0484  
**Telephone Number:** 800 387 2331

FATCA Filing Requirement

**2020 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS**

**OMB NO. 1545-0715**

The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. You should review this information carefully when completing your Form 8949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For these reasons, the IRS requires us to provide you with this reminder: **Taxpayers are ultimately responsible for the accuracy of their tax returns.**

**Noncovered Short-Term Gains or Losses on Gross Proceeds**  
 Report on **Form 8949, Part I** with **Box B** checked

**Box 6:** Gross Proceeds

**Box 5:** Box Checked (Noncovered Security)

**Box 12:** Basis Not Reported to the IRS

**Box 2:** Type of Gain or Loss – **Short-Term**

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Description of property CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds/ Reported to IRS (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
ZUORA INC	19.00000	12/31/2019	01/02/2020	\$260.96	\$0.00	\$0.00	\$0.00	\$260.96	
CLASS A COMMON STOCK	27.00000	12/31/2019	01/02/2020	\$370.85	\$0.00	\$0.00	\$0.00	\$370.85	
CUSIP: 98983V106	25.00000	03/31/2020	04/02/2020	\$170.91	\$0.00	\$0.00	\$0.00	\$170.91	
	35.00000	03/31/2020	04/02/2020	\$239.28	\$0.00	\$0.00	\$0.00	\$239.28	
	2.00000	03/31/2020	04/02/2020	\$15.64	\$0.00	\$0.00	\$0.00	\$15.64	
	49.00000	05/31/2020	06/01/2020	\$564.56	\$0.00	\$0.00	\$0.00	\$564.56	
	1.00000	06/30/2020	07/01/2020	\$12.54	\$0.00	\$0.00	\$0.00	\$12.54	
	49.00000	06/30/2020	07/01/2020	\$582.25	\$0.00	\$0.00	\$0.00	\$582.25	
	23.00000	06/30/2020	07/01/2020	\$273.29	\$0.00	\$0.00	\$0.00	\$273.29	
	33.00000	06/30/2020	07/01/2020	\$392.13	\$0.00	\$0.00	\$0.00	\$392.13	
	31.00000	06/30/2020	07/01/2020	\$368.37	\$0.00	\$0.00	\$0.00	\$368.37	
	1.00000	06/30/2020	07/02/2020	\$13.10	\$0.00	\$0.00	\$0.00	\$13.10	
	32.00000	09/30/2020	10/01/2020	\$324.60	\$0.00	\$0.00	\$0.00	\$324.60	
	24.00000	09/30/2020	10/01/2020	\$243.45	\$0.00	\$0.00	\$0.00	\$243.45	

**THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT). KEEP FOR YOUR RECORDS.**  
 This is important tax information and is being furnished to the IRS. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

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**2020 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED**

**OMB NO. 1545-0715**

**Noncovered Short-Term Gains or Losses on Gross Proceeds**

Report on **Form 8949, Part I** with **Box B** checked

**Box 6:** Gross Proceeds

**Box 5:** Box Checked (Noncovered Security)

**Box 12:** Basis Not Reported to the IRS

**Box 2:** Type of Gain or Loss –**Short-Term**

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Description of property CUSIP (Box 1a)	Quantity Sold	Date Acquired	Date Sold or Disposed (Box 1c)	Proceeds/ Reported to IRS (Box 1d)	Cost or Other Basis	Accrued Market Discount	Wash Sale Loss Disallowed	Gain/Loss Amount	Additional Information
ZUORA INC CLASS A COMMON STOCK CUSIP: 98983V106	50.00000	09/30/2020	10/01/2020	\$507.17	\$0.00	\$0.00	\$0.00	\$507.17	
	34.00000	09/30/2020	10/01/2020	\$344.85	\$0.00	\$0.00	\$0.00	\$344.85	
<b>Subtotals</b>	<b>435.00000</b>			<b>\$4,683.95</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$4,683.95</b>	
<b>16 ITEMS – TOTAL</b>				<b>\$4,683.95</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$4,683.95</b>	

**FOOTNOTES**

**SHORT SALE** – Short sales covered in December that settle in January will be reported on your Form 1099-B in the year they are settled.

**END OF 2020 FORM 1099-B**

THIS IS YOUR FORM 1099 (COPY B FOR RECIPIENT). KEEP FOR YOUR RECORDS.  
 This is important tax information and is being furnished to the IRS. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**Form 1099-B (OMB NO. 1545-0715)**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Box 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Box 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Box 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Box 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Box 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Box 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Box 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Box 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Box 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Box 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Box 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Box 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Box 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Box 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Boxes 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**Form 1099-B (OMB NO. 1545-0715)****Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11)**

**Box 8.** Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2020.

**Box 9.** Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2019.

**Box 10.** Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2020. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts-12/31/2020 in 2021.

**Box 11.** Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2020 Form 6781.

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ORIGINAL: 12/31/2020

## 2020 INVESTMENT DETAILS

### DETAILS OF 2020 INVESTMENT ACTIVITY

Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
04/07/20	CENTURY CASINOS INC	156492100	PURCHASE	100.000	\$2.75	\$275.00	
04/17/20	CENTURY CASINOS INC	156492100	PURCHASE	100.000	\$3.25	\$325.00	
04/02/20	TWITTER INC	90184L102	PURCHASE	22.000	\$22.50	\$495.00	
01/02/20	ZUORA INC CLASS A COMMON STOCK	98983V106	SELL	19.000	\$14.19	\$260.96	
01/02/20	ZUORA INC CLASS A COMMON STOCK	98983V106	SELL	27.000	\$14.19	\$370.85	
04/02/20	ZUORA INC CLASS A COMMON STOCK	98983V106	SELL	2.000	\$7.82	\$15.64	
04/02/20	ZUORA INC CLASS A COMMON STOCK	98983V106	SELL	25.000	\$7.19	\$170.91	
04/02/20	ZUORA INC CLASS A COMMON STOCK	98983V106	SELL	35.000	\$7.19	\$239.28	
06/01/20	ZUORA INC CLASS A COMMON STOCK	98983V106	SELL	49.000	\$11.95	\$564.56	
07/01/20	ZUORA INC CLASS A COMMON STOCK	98983V106	SELL	1.000	\$12.55	\$12.54	
07/01/20	ZUORA INC CLASS A COMMON STOCK	98983V106	SELL	23.000	\$12.05	\$273.29	
07/01/20	ZUORA INC CLASS A COMMON STOCK	98983V106	SELL	31.000	\$12.05	\$368.37	
07/01/20	ZUORA INC CLASS A COMMON STOCK	98983V106	SELL	33.000	\$12.05	\$392.13	
07/01/20	ZUORA INC CLASS A COMMON STOCK	98983V106	SELL	49.000	\$12.05	\$582.25	
07/02/20	ZUORA INC CLASS A COMMON STOCK	98983V106	SELL	1.000	\$13.11	\$13.10	
10/01/20	ZUORA INC CLASS A COMMON STOCK	98983V106	SELL	24.000	\$10.31	\$243.45	
10/01/20	ZUORA INC CLASS A COMMON STOCK	98983V106	SELL	32.000	\$10.31	\$324.60	
10/01/20	ZUORA INC CLASS A COMMON STOCK	98983V106	SELL	34.000	\$10.31	\$344.85	
10/01/20	ZUORA INC CLASS A COMMON STOCK	98983V106	SELL	50.000	\$10.31	\$507.17	

END OF 2020 DETAILS

THIS STATEMENT IS NOT A SUBSTITUTE FOR FORM 1099 AND IS PROVIDED FOR INFORMATIONAL PURPOSES ONLY.

