

 Member FINRA/SIPC PO BOX 2209 OMAHA, NE 68103-2209 Client Services: 800-669-3900	Tax Information Account 455626823	Statement Date: 01/14/2021 Document ID: S3BW S0B 08PV	2020
	ASIT KUMAR SAMANTRAY 520 SANTA FE TRL 229 IRVING, TX 75063-4611		
PAYER'S TIN: 47-0533629	RECIPIENT'S TIN: XXX-XX-2302		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 4.74</p> <p>1b- Qualified dividends 4.74</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: See detail 0.04</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	55,810.22	51,241.96	0.00	391.58	4,959.84
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
Total Short-term		55,810.22	51,241.96	0.00	391.58	4,959.84
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
Total Long-term		0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
Total Undetermined-term		0.00	0.00	0.00	0.00	0.00
Grand total		55,810.22	51,241.96	0.00	391.58	4,959.84

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

TD Ameritrade Clearing, Inc.

Account 455626823

Summary Information

2020

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01/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.06
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

TD Ameritrade Clearing, Inc.

Account 455626823

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

01/14/2021

Sales transactions are grouped by their term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier. For example, proceeds and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

Long positions are reported based on the trade date and short positions are reported based on the settlement date of the trade.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
ALBEMARLE CORP COM / CUSIP: 012653101 / Symbol: ALB							
09/30/20	1.000	89.44	09/23/20	85.61	...	3.83	Sale
ALPHABET INC COM CL C / CUSIP: 02079K107 / Symbol: GOOG							
11/04/20	1.000	1,743.14	08/27/20	1,634.00	...	109.14	Sale
AMERICAN AIRLINES GROUP INC COM / CUSIP: 02376R102 / Symbol: AAL							
06/10/20	5.000	82.90	05/27/20	59.13	...	23.77	Sale
APPLE INC COM / CUSIP: 037833100 / Symbol: AAPL							
10/12/20	10.000	1,243.77	Various	1,136.31	...	107.46	Sale
APTEVO THERAPEUTICS INC COM / CUSIP: 03835L207 / Symbol: APVO							
11/10/20	10.000	398.99	11/10/20	317.20	...	81.79	Sale
11/13/20	10.000	444.99	11/11/20	430.00	...	14.99	Sale
	Security total:	843.98		747.20	...	96.78	
ARCIMOTO INC COM / CUSIP: 039587100 / Symbol: FUV							
10/12/20	15.000	101.70	10/09/20	94.50	...	7.20	Sale
11/13/20	90.000	671.16	Various	614.60	...	56.56	Sale
11/17/20	150.000	1,189.45	11/17/20	1,102.50	...	86.95	Sale
	Security total:	1,962.31		1,811.60	...	150.71	

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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01/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BLINK CHARGING CO COM / CUSIP: 09354A100 / Symbol: BLNK							
10/16/20	70.000	701.37	Various	654.20	...	47.17	Sale
CARNIVAL PLC ADR SPONSORED / CUSIP: 14365C103 / Symbol: CUJK							
11/13/20	25.000	349.99	11/12/20	336.75	...	13.24	Sale
CBAK ENERGY TECHNOLOGY INC COM / CUSIP: 14986C102 / Symbol: CBAT							
11/17/20	30.000	287.99	11/17/20	257.40	...	30.59	Sale
CHEWY INC COM CL A / CUSIP: 16679L109 / Symbol: CHWY							
08/17/20	10.000	549.49	08/14/20	539.80	...	9.69	Sale
CIRRUS LOGIC INC COM / CUSIP: 172755100 / Symbol: CRUS							
10/01/20	1.000	68.81	08/04/20	68.14	...	0.67	Sale
CONDUENT INC COM / CUSIP: 206787103 / Symbol: CNDT							
06/10/20	34.000	102.00	05/28/20	88.36	...	13.64	Sale
10/09/20	34.000	123.25	Various	122.04	...	1.21	Sale
	Security total:	225.25		210.40	...	14.85	
DATADOG INC COM CL A / CUSIP: 23804L103 / Symbol: DDOG							
09/22/20	1.000	92.50	08/07/20	79.60	...	12.90	Sale
DIGITAL TURBINE INC COM / CUSIP: 25400W102 / Symbol: APPS							
09/15/20	5.000	140.50	08/07/20	114.05	...	26.45	Sale
DIGITAL MEDIA SOLUTIONS INC COM CL A / CUSIP: 25401G106 / Symbol: DMS							
12/22/20	25.000	316.49	12/11/20	230.00	...	86.49	Sale
EASTMAN KODAK CO COM / CUSIP: 277461406 / Symbol: KODK							
07/28/20	20.000	178.00	07/28/20	161.60	...	16.40	Sale
07/29/20	34.000	976.98	Various	629.56	...	347.42	Total of 3 transactions
08/04/20	42.000	556.49	Various	1,147.60	267.50 W	-323.61	Sale
08/06/20	10.000	161.00	08/06/20	420.50	...	-259.50	Sale
11/25/20	31.000	254.50	Various	231.14	1.43 W	24.79	Sale
	Security total:	2,126.97		2,590.40	268.93 W	-194.50	

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
EHEALTH INC COM / CUSIP: 28238P109 / Symbol: EHTH							
10/01/20	1.000	83.90	07/24/20	79.03	...	4.87	Sale
ELECTRAMECCANICA VEHS CORP COM / CUSIP: 284849205 / Symbol: SOLO							
11/05/20	20.000	67.20	10/15/20	58.40	...	8.80	Sale
FACEBOOK INC COM CL A / CUSIP: 30303M102 / Symbol: FB							
11/04/20	2.000	575.31	08/07/20	540.56	...	34.75	Sale
FIRST MAJESTIC SILVER CORP COM / CUSIP: 32076V103 / Symbol: AG							
10/01/20	20.000	194.80	09/25/20	192.40	...	2.40	Sale
FORTERRA INC COM / CUSIP: 34960W106 / Symbol: FRTA							
07/20/20	5.000	64.90	06/17/20	49.80	...	15.10	Sale
GENERAL ELECTRIC CO COM / CUSIP: 369604103 / Symbol: GE							
10/08/20	49.000	323.38	Various	321.15	...	2.23	Sale
GROCERY OUTLET HOLDING CORP COM / CUSIP: 39874R101 / Symbol: GO							
12/28/20	1.000	40.29	11/11/20	39.30	...	0.99	Sale
HYLIION HOLDINGS CORP COM / CUSIP: 449109107 / Symbol: HYLN							
10/14/20	10.000	287.39	Various	282.20	5.25 W	10.44	Sale
IMMURON LIMITED ADR SPONSORED / CUSIP: 45254U101 / Symbol: IMRN							
07/24/20	20.000	255.18	07/20/20	232.30	...	22.88	Sale
IMPINJ INC COM / CUSIP: 453204109 / Symbol: PI							
09/15/20	2.000	55.40	09/03/20	48.20	...	7.20	Sale
INGREDION INC COM / CUSIP: 457187102 / Symbol: INGR							
08/06/20	1.000	78.02	08/04/20	77.49	...	0.53	Sale
INTERNATIONAL BUS MACH CORP COM / CUSIP: 459200101 / Symbol: IBM							
10/08/20	3.000	392.99	10/08/20	390.00	...	2.99	Sale
KOHLS CORPORATION COM / CUSIP: 500255104 / Symbol: KSS							
08/25/20	10.000	206.10	08/19/20	198.00	...	8.10	Sale

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

01/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
LI AUTO INC ADR / CUSIP: 50202M102 / Symbol: LI							
10/05/20	15.000	263.24	10/01/20	251.33	...	11.91	Sale
11/12/20	130.000	3,861.42	Various	3,734.80	...	126.62	Total of 3 transactions
	Security total:	4,124.66		3,986.13	...	138.53	
LENNAR CORP COM CL A / CUSIP: 526057104 / Symbol: LEN							
07/20/20	3.000	205.26	06/16/20	189.15	...	16.11	Sale
LEVI STRAUSS & COMPANY COM CL A / CUSIP: 52736R102 / Symbol: LEVI							
08/24/20	10.000	125.90	08/18/20	122.90	...	3.00	Sale
MACY'S INC COM / CUSIP: 55616P104 / Symbol: M							
09/03/20	46.000	362.00	Various	316.06	2.50 W	48.44	Sale
11/13/20	171.000	1,251.67	Various	1,122.31	5.01 W	134.37	Sale
	Security total:	1,613.67		1,438.37	7.51 W	182.81	
MIDDLEBY CORP COM / CUSIP: 596278101 / Symbol: MIDD							
10/07/20	4.000	399.11	08/18/20	385.84	...	13.27	Sale
MODERNA INC COM / CUSIP: 60770K107 / Symbol: MRNA							
11/12/20	6.000	522.89	Various	468.76	...	54.13	Sale
MOGO INC COM / CUSIP: 60800C109 / Symbol: MOGO							
11/23/20	200.000	433.97	11/17/20	416.00	...	17.97	Sale
MONGODB INC COM CL A / CUSIP: 60937P106 / Symbol: MDB							
08/13/20	3.000	610.43	08/07/20	589.53	...	20.90	Sale
NIO INC ADR / CUSIP: 62914V106 / Symbol: NIO							
07/17/20	3.000	33.27	07/15/20	40.50	7.23 W	0.00	Sale
10/12/20	121.000	2,655.88	Various	2,198.67	...	457.21	Sale
10/13/20	100.000	2,149.94	10/13/20	2,130.00	...	19.94	Sale
10/29/20	100.000	2,979.92	10/15/20	2,870.00	...	109.92	Sale
11/05/20	60.000	2,495.93	10/30/20	1,815.00	...	680.93	Sale
11/06/20	50.000	2,084.95	11/06/20	2,035.00	...	49.95	Sale

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

01/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC ADR / CUSIP: 62914V106 / Symbol: NIO (cont'd)							
11/12/20	70.000	3,235.32	Various	2,933.30	...	302.02	Sale
11/23/20	75.000	4,169.90	Various	3,591.40	...	578.50	Sale
	Security total:	19,805.11		17,613.87	7.23 W	2,198.47	
ONCOLYTICS BIOTECH INC COM / CUSIP: 682310875 / Symbol: ONCY							
10/07/20	125.000	244.98	Various	237.50	...	7.48	Sale
OVERSTOCK COM INC DEL COM / CUSIP: 690370101 / Symbol: OSTK							
10/01/20	1.000	80.67	09/23/20	72.08	...	8.59	Sale
PARK HOTELS & RESORTS INC COM USD0.01 / CUSIP: 700517105 / Symbol: PK							
10/02/20	1.000	10.44	10/02/20	9.98	...	0.46	Sale
PFIZER INC COM / CUSIP: 717081103 / Symbol: PFE							
07/28/20	10.000	387.49	07/22/20	383.60	...	3.89	Sale
PLUG POWER INC COM / CUSIP: 72919P202 / Symbol: PLUG							
11/02/20	30.000	459.59	10/16/20	505.35	45.76 W	0.00	Sale
11/05/20	48.000	880.00	Various	751.04	...	128.96	Sale
12/10/20	20.000	544.99	11/17/20	444.00	...	100.99	Sale
	Security total:	1,884.58		1,700.39	45.76 W	229.95	
REATA PHARMACEUTICALS INC COM CL A / CUSIP: 75615P103 / Symbol: RETA							
09/22/20	1.000	106.29	08/10/20	103.90	...	2.39	Sale
SERES THERAPEUTICS COM / CUSIP: 81750R102 / Symbol: MCRB							
08/10/20	10.000	211.00	08/10/20	267.90	56.90 W	0.00	Sale
09/15/20	10.000	274.79	08/10/20	264.10	...	10.69	Sale
	Security total:	485.79		532.00	56.90 W	10.69	
SORRENTO THERAPEUTICS INC COM / CUSIP: 83587F202 / Symbol: SRNE							
09/29/20	14.000	164.50	Various	156.24	...	8.26	Sale
TESLA INC COM / CUSIP: 88160R101 / Symbol: TSLA							
10/12/20	6.000	2,654.94	Various	2,386.03	...	268.91	Sale

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 455626823

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

01/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TILLYS INC COM CL A / CUSIP: 886885102 / Symbol: TLYS							
07/29/20	7.000	44.38	Various	42.04	...	2.34	Sale
VROOM INC COM / CUSIP: 92918V109 / Symbol: VRM							
12/28/20	8.000	349.11	Various	343.92	...	5.19	Sale
WORKHORSE GROUP INC COM / CUSIP: 98138J206 / Symbol: WKHS							
09/21/20	3.000	89.25	09/15/20	76.80	...	12.45	Sale
10/09/20	15.000	400.49	Various	371.89	...	28.60	Sale
11/20/20	192.000	4,956.35	Various	4,046.83	...	909.52	Sale
	Security total:	5,446.09		4,495.52	...	950.57	
XPERI HOLDING CORP COM / CUSIP: 98390M103 / Symbol: XPER							
11/11/20	30.000	526.49	11/11/20	517.80	...	8.69	Sale
XPENG INC ADR / CUSIP: 98422D105 / Symbol: XPEV							
10/05/20	10.000	201.50	10/01/20	187.80	...	13.70	Sale
10/14/20	50.000	1,017.47	10/13/20	981.00	...	36.47	Sale
	Security total:	1,218.97		1,168.80	...	50.17	
ZILLOW GROUP INC COM CL C / CUSIP: 98954M200 / Symbol: Z							
08/19/20	2.000	168.70	08/07/20	164.64	...	4.06	Sale
NORWEGIAN CRUISE LINE HLDGS LT COM / CUSIP: G66721104 / Symbol: NCLH							
08/06/20	10.000	144.00	08/06/20	139.00	...	5.00	Sale
BYD COMPANY LIMITED COM / CUSIP: Y1023R104 / Symbol: BYDDF							
10/29/20	30.000	602.04	10/16/20	514.55	...	87.49	Sale
Totals :		55,810.22		51,241.96	391.58 W	4,959.84	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 455626823

Detail for Dividends and Distributions

2020

01/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified. Reallocation of a dividend and its tax character is determined by the issuer.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC COM	037833100 AAPL		11/12/20	1.03	Qualified dividend	
ASTRAZENECA ADR SPONSORED	046353108 AZN		09/14/20	2.70	Qualified dividend	
GENERAL ELECTRIC CO COM	369604103 GE		10/26/20	0.49	Qualified dividend	
LENNAR CORP COM CL A	526057104 LEN		07/24/20	0.38	Qualified dividend	
AUDIOCODES COM	M15342104 AUDC		09/01/20	0.14	Qualified dividend	
			09/01/20	-0.04	Foreign tax withheld-IS	
				0.14	Total Dividends & distributions	
				-0.04	Total Foreign tax withheld	
				4.74	Total Dividends & distributions	
				-0.04	Total Foreign tax withheld	

TD Ameritrade Clearing, Inc.

Account 455626823

Fees and Expenses

2020

01/14/2021

This section of your tax information statement contains the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
ASTRAZENECA ADR SPONSORED	046353108 AZN	09/14/20	-0.06	Depository receipt fee	
			-0.06	Total Depository receipt fee	

TD Ameritrade Clearing, Inc.

Account 455626823

Foreign Income and Taxes Summary

2020

01/14/2021

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
IS	AUDIOCODES COM	M15342104	0.00	0.14		0.00	0.14	-0.04
Total ISRAEL			0.00	0.14		0.00	0.14	-0.04
UK	ASTRAZENECA ADR SPONSORED	046353108	0.00	2.70		0.00	2.70	0.00
Total UNITED KINGDOM			0.00	2.70		0.00	2.70	0.00
Grand Total	Total Foreign Source Income*			2.84				
	Qualified Foreign Source Income**			2.84				
	Foreign Tax							-0.04

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2019 General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.