Account No: 37860002

Account Name: KIRAN KUMAR PASUPUNOOTI

Recipient's TIN: \*\*\*-\*\*-3798

Account Executive No:

ORIGINAL: 12/31/2020

### **CONSOLIDATED 2020 FORMS 1099 AND DETAILS**

KIRAN KUMAR PASUPUNOOTI 3655 PRUNERIDGE AVE APT 120

AFT 120

SANTA CLARA, CA 95051-5932

#### YEAR-END MESSAGES

CERTAIN EVENTS SUCH AS COST BASIS, ORIGINAL ISSUE DISCOUNT ADJUSTMENTS OR RECLASSIFICATIONS OF AN INCOME PAYMENT RECEIVED FROM A MUTUAL FUND, ETF, REIT, OR RIC MAY RESULT IN AN AMENDED 1099 FOR PRIOR OR CURRENT TAX YEARS. PLEASE SEE INSERT FOR DETAILS OR VISIT WWW.ETRADE.COM/TAXCENTER FOR ANY UPDATES. IF YOU HAVE QUESTIONS PLEASE CALL 800–ETRADE–1 (800–387–2331) OR LOG ON TO YOUR ACCOUNT AND SEND US A SECURE MESSAGE BY CLICKING ON THE CUSTOMER SERVICE LINK AT THE TOP OF THE PAGE

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Your Account did not receive the following Forms:

1099-INT 1099-OID 1099-MISC \*\*\*THIS PAGE IS INTENTIONALLY LEFT BLANK\*\*\*

Account No: 37860002

Account Name: KIRAN KUMAR PASUPUNOOTI

Recipient's TIN: \*\*\*-\*\*-3798

Account Executive No:

ORIGINAL: 12/31/2020

RECIPIENT'S Name, Street Address, City, State, and Zip Code KIRAN KUMAR PASUPUNOOTI 3655 PRUNERIDGE AVE APT 120 SANTA CLARA, CA 95051–5932

**PAYER'S TIN:** 35–2167612

PAYER'S Name, Street, City, State, Zip Code:

E\*TRADE SECURITIES LLC

PO BOX 484

JERSEY CITY, NJ 07303-0484

FATCA Filing Requirement Telephone Number: 800 387 2331

### 2020 CONSOLIDATED FORMS 1099

2020 F IRS B	ORM 1099-DIV DIVIDENDS AND DISTRIBUTIONS	OMB NO. 1545-0110
1a.	Total ordinary dividends (includes Boxes 1b, 5, 6)	\$188.91
1b.	Qualified dividends	\$188.91
2a.	Total capital gain distributions (includes Boxes 2b, 2c, 2d)	\$0.00
2b.	Unrecaptured section 1250 gain	\$0.00
2c.	Section 1202 gain	\$0.00
2d.	Collectibles (28%) gain	\$0.00
3.	Nondividend distributions	\$0.00
4.	Federal income tax withheld	\$0.00
5.	Section 199A dividends	\$0.00
6.	Investment expenses	\$0.00
7.	Foreign tax paid	\$0.00
8.	Foreign country or U.S. possession	_
9.	Cash liquidation distributions	\$0.00
10.	Noncash liquidation distributions	\$0.00
11.	Exempt-interest dividends (includes Box 12)	\$0.00
12.	Specified private activity bond interest dividends (AMT)	\$0.00

#### Form 1099-DIV (OMB NO. 1545-0110)

Recipient'staxpayer identification number (TIN), Foryour protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ITIN). number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS. FATCA filling requirement. If the FATCA filling requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938. Account number. May show an account or other unique number the payer assigned to distinguish your account, Box 1a, Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040 – SR Also, report it on Schedule B (Form 1040 or 1040 – SR) if required. Box 1b, Shows the portion of the amount in box 1a that may be eliqible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SRfor how to determine this amount and where to report. The amount shown may be dividends a corporation paiddirectlytoyou asaparticipant (or beneficiary of aparticipant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040 – SPout treat it as a plan distribution, not as investment in come, for any other purpose. Box 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040–SR). But, if no amount is shown in boxes 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in box 2a on your Form 1040 or 1040–SRrather than Schedule D. See the Instructions for Forms 1040 and 1040-SR. Box 2b. Shows the portion of the amount in box 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR). Box 2c. Shows the portion of the amount in box 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions. Box 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR). Box 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550. Box 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld. Box 5. Shows the portion of the amount in box 1a that may be eliqible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A. Box 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in box 1a. Box 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040 – SR See the Instructions for Forms 1040 and 1040-SR. Box 8. This box should be left blank if a RIC reported the foreign tax shown in box 7. Boxes 9 and 10. Show cash and noncash liquidation distributions. Box 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040 - SRfor where to report. This amount may be subject to backup withholding. See Box 4 above. Box 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in box 11. See the Instructions for Form 6251. Boxes 13-15. State income tax withheld reporting boxes. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV(with a Form 1099-DIV) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go towww.irs.gov/Form1099DIV.

#### Form 1099-INT (OMB NO. 1545-0112)

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045–1(n) (5) that you did not want to amortize the premium under section 171, or for a tax-exemptovered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you. Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS. FATCÁ filing requirement. If the FATCÁ filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938, Account number. May show an account or other unique number the payer assigned to distinguish your account. Box 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in box 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15), and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium, Box 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SRto see where to take the deduction. Box 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in box 1. See the instructions above for a taxable covered security acquired at a premium. Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld. Box 5. Any amount shown is your share of investment expenses of a single class REMIC. This amount is included in box 1. Note: This amount is not deductible. Box 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040 – SR. See your tax return instructions. Box 7. Shows the country or U.S. possession to which the foreign tax was paid. Box 8. Shows tax – exemptinterest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Box 4 above. See the instructions above for a tax-exempticovered security acquired at a premium, Box 9. Shows tax-exemptinterest subject to the alternative minimum tax. This amount is included in box 8. See the Instructions for Form 6251. See the instructions above for a tax-exemptcovered security acquired at a premium. Box 10. For a taxable or tax-exemptcovered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045–1(n)(5) shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099–OID For a taxable or tax—exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your paver in writing in accordance with Regulations section 1,6045–1(n)(5)that vou did not want to make a constant vield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040–SR Market discount on a tax-exempt security is includible in taxable income as interest income. Box 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this box for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 1. If the amount in box 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). Box 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this box, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this box for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in box 3. If the amount in box 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171–2(a)(4). Box 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this box, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040 – SR If an amount is not reported in this box for a tax – exempto vered security acquired at a premium, the payer has reported a net amount of interest in box 8 or 9, which ever is applicable. If the amount inbox 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii). Box 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). Boxes 15–17 State tax withheld reporting boxes. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099–INTfor each of the other owners showing theincome allocable to each. FileCopy Aof the form with the IRS. Furnish Copy Bto each owner. List yourself as the "payer" and the other owner (s) as the "recipient." FileForm (s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go towww.irs.gov/Form1099INT.

Account No: 37860002

Account Name: KIRAN KUMAR PASUPUNOOTI

Recipient's TIN: \*\*\*-\*\*-3798

Account Executive No:

ORIGINAL: 12/31/2020

## **FORM 1099-B TOTALS SUMMARY**

### **REALIZED GAIN / LOSS SUMMARY**

Refer to Proceeds from Broker and Barter Exchange Transactions for detailed information regarding these summary values. The amounts shown below are for informational purposes only.

SHORT-TERM GAINS OR (LOSSES) - REPO	RT ON FORM 8949, PART I	PROCEEDS	COST BASIS	MARKET DISCOUNT	WASH SALE LOSS DISALLOWED	REALIZED GAIN OR (LOSS)
Box A (basis reported to IRS)		\$675,800.87	\$614,648.56	\$0.00	\$5,176.40	\$61,152.31
Box A - Ordinary - (basis reported to IRS)		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B (basis not reported to IRS)		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B - Ordinary - (basis not reported to IRS)		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	Total Short-Term	\$675,800.87	\$614,648.56	\$0.00	\$5,176.40	\$61,152.31
LONG-TERM GAINS OR (LOSSES) - REPOR	T ON FORM 8949, PART II					
Box D (basis reported to IRS)		\$12,999.33	\$10,964.46	\$0.00	\$0.00	\$2,034.87
Box D - Ordinary - (basis reported to IRS)		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box E (basis not reported to IRS)		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box E - Ordinary - (basis not reported to IRS)		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	Total Long-Term	\$12,999.33	\$10,964.46	\$0.00	\$0.00	\$2,034.87
UNKNOWN TERM - CODE (X) REPORT ON F	ORM 8949 PART I OR PART II					
Box B or Box E (basis not reported to IRS)		\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
Box B or Box E - Ordinary - (basis not reported	d to IRS)	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00
	Total Unknown Term	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Account No: 37860002

Account Name: KIRAN KUMAR PASUPUNOOTI

Recipient's TIN: \*\*\*-\*\*-3798

Account Executive No:

ORIGINAL: 12/31/2020

RECIPIENT'S Name, Street Address, City, State, and Zip Code KIRAN KUMAR PASUPUNOOTI 3655 PRUNERIDGE AVE APT 120 SANTA CLARA, CA 95051–5932 **PAYER'S TIN:** 35–2167612

PAYER'S Name, Street, City, State, Zip Code:

E\*TRADE SECURITIES LLC

PO BOX 484

JERSEY CITY, NJ 07303-0484

FATCA Filing Requirement Telephone Number: 800 387 2331

### 2020 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

The information provided below is in accordance with Federal tax regulations and the IRS instructions that govern our reporting requirements. You should review this information carefully when completing your Form 8949 and Schedule D. There may be instances where our reporting requirements will not be consistent with your particular tax accounting position or elections. For these reasons, the IRS requires us to provide you with this reminder: **Taxpayers are ultimately responsible for the accuracy of their tax returns.** 

# **Covered Short-Term Gains or Losses on Gross Proceeds**

Report on Form 8949, Part I with Box A checked

**Box 6:** Gross Proceeds **Box 5:** Box Not Checked (Covered Security)

Box 12: Basis Reported to the IRS

Box 2: Type of Gain or Loss -Short-Term

Description of property CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
ABBVIE INC CUSIP: 00287Y109	25.00000 90.00000		01/08/2020 01/08/2020	\$2,232.45 \$8,036.82	\$1,918.38 \$8,022.15	\$0.00 \$0.00	\$0.00 \$0.00	\$314.07 \$14.67	
Subtotals	115.00000			\$10,269.27	\$9,940.53	\$0.00	\$0.00	\$328.74	
ALPHABET INC CLASS C CAPITAL STOCK CUSIP: 02079K107	28.00000	09/03/2020	11/24/2020	\$49,405.32	\$45,523.24	\$0.00	\$0.00	\$3,882.08	
AMAZON.COM INC CUSIP: 023135106	25.00000	09/03/2020	12/29/2020	\$83,473.15	\$82,905.75	\$0.00	\$0.00	\$567.40	
ARCIMOTO INC COMMON STOCK CUSIP: 039587100	300.00000 200.00000	07/07/2020 07/07/2020		\$2,285.91 \$1,523.94	\$2,178.72 \$1,526.06	\$0.00 \$0.00	\$0.00 \$0.00	\$107.19 (\$2.12)	

Account No: 37860002

Account Name: KIRAN KUMAR PASUPUNOOTI

Recipient's TIN: \*\*\*-\*\*-3798

Account Executive No:

ORIGINAL: 12/31/2020

## 2020 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

# **Covered Short-Term Gains or Losses on Gross Proceeds**

Report on Form 8949, Part I with Box A checked

**Box 6:** Gross Proceeds **Box 5:** Box Not Checked (Covered Security)

Box 12: Basis Reported to the IRS

Box 2: Type of Gain or Loss -Short-Term

Description of property CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Market Discount (Box 1f)	Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
ARCIMOTO INC COMMON STOCK CUSIP: 039587100									
Subtotals	500.00000			\$3,809.85	\$3,704.78	\$0.00	\$0.00	\$105.07	
BEYOND MEAT INC	60.00000	01/16/2020	02/14/2020	\$6,982.35	\$6,799.05	\$0.00	\$0.00	\$183.30	
COMMON STOCK	36.00000	06/29/2020	08/05/2020	\$4,784.65	\$4,644.90	\$0.00	\$0.00	\$139.75	
CUSIP: 08862E109	35.00000	06/29/2020	08/05/2020	\$4,630.04	\$4,515.88	\$0.00	\$0.00	\$114.16	
Subtotals	131.00000			\$16,397.04	\$15,959.83	\$0.00	\$0.00	\$437.21	
BIOGEN INC CUSIP: 09062X103	100.00000	04/28/2020	11/04/2020	\$35,640.20	\$30,556.68	\$0.00	\$0.00	\$5,083.52	
BOEING CO CUSIP: 097023105	100.00000	05/01/2020	11/24/2020	\$22,240.15	\$13,874.41	\$0.00	\$0.00	\$8,365.74	
CLOROX CO	110.00000	03/23/2020	03/27/2020	\$19,280.36	\$18,794.05	\$0.00	\$0.00	\$486.31	
CUSIP: 189054109	1.00000	03/23/2020	03/27/2020	\$175.34	\$170.86	\$0.00	\$0.00	\$4.48	
Subtotals	111.00000			\$19,455.70	\$18,964.91	\$0.00	\$0.00	\$490.79	
DIREXION SHARES ETF TRUST DIREXION DAILY S&P BIOTECH BEAR 3X SHARES CUSIP: 25460E539	567.00000	06/24/2020	06/29/2020	\$2,031.61	\$2,004.62	\$0.00	\$0.00	\$26.99	
DIREXION SHS ETF TR DAILY S&P OIL & GAS EXPL & P BULL 2X SHARES CUSIP: 25460G500	250.00000	06/22/2020	11/24/2020	\$10,124.74	\$9,660.80	\$0.00	\$0.00	\$463.94	
DOCUSIGN INC COMMON STOCK CUSIP: 256163106	13.00000	07/02/2020	07/07/2020	\$2,556.82	\$2,499.90	\$0.00	\$0.00	\$56.92	

Account No: 37860002

Account Name: KIRAN KUMAR PASUPUNOOTI

Recipient's TIN: \*\*\*-\*\*-3798

Account Executive No:

ORIGINAL: 12/31/2020

# 2020 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

# **Covered Short-Term Gains or Losses on Gross Proceeds**

Report on Form 8949, Part I with Box A checked

**Box 6:** Gross Proceeds **Box 5:** Box Not Checked (Covered Security)

Box 12: Basis Reported to the IRS

Box 2: Type of Gain or Loss -Short-Term

Description of property CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Accrued Market Discount (Box 1f)	Wash Sale Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
EXPEDIA GROUP INC COMMON STOCK CUSIP: 30212P303	75.00000	06/24/2020	06/29/2020	\$6,059.85	\$5,985.75	\$0.00	\$0.00	\$74.10	
MASTERCARD INCORPORATED CUSIP: 57636Q104	50.00000	05/01/2020	07/20/2020	\$15,626.64	\$13,577.98	\$0.00	\$0.00	\$2,048.66	
MICROSOFT CORP	30.00000	01/31/2020	08/27/2020	\$6,830.09	\$5,106.00	\$0.00	\$0.00	\$1,724.09	
CUSIP: 594918104	70.00000	02/14/2020	08/27/2020	\$15,936.89	\$12,800.55	\$0.00	\$0.00	\$3,136.34	
Subtotals	100.00000			\$22,766.98	\$17,906.55	\$0.00	\$0.00	\$4,860.43	
MODERNA INC	50.00000	07/20/2020	11/13/2020	\$4,496.27	\$4,050.00	\$0.00	\$0.00	\$446.27	
COMMON STOCK CUSIP: 60770K107	25.00000	07/31/2020	11/13/2020	\$2,248.13	\$1,856.75	\$0.00	\$0.00	\$391.38	
Subtotals	75.00000			\$6,744.40	\$5,906.75	\$0.00	\$0.00	\$837.65	
NETFLIX COM INC	42.00000	01/29/2020	03/23/2020	\$14,997.60	\$14,360.75	\$0.00	\$0.00	\$636.85	
CUSIP: 64110L106	10.00000	02/14/2020		\$3,570.86	\$3,812.25	\$0.00	\$0.00	(\$241.39)	
	12.00000	05/01/2020	06/22/2020	\$5,575.84	\$5,081.76	\$0.00	\$0.00	\$494.08	
	38.00000	05/01/2020		\$17,656.81	\$16,092.16	\$0.00	\$0.00	\$1,564.65	
	50.00000	05/01/2020	09/01/2020	\$27,379.29	\$21,174.01	\$0.00	\$0.00	\$6,205.28	
Subtotals	152.00000			\$69,180.40	\$60,520.93	\$0.00	\$0.00	\$8,659.47	
NIKOLA CORPORATION	100.00000	07/20/2020	11/24/2020	\$3,548.91	\$3,883.58	\$0.00	\$0.00	(\$334.67)	
COMMON STOCK	75.00000	07/31/2020	11/24/2020	\$2,661.68	\$2,271.75	\$0.00	\$0.00	\$389.93	
CUSIP: 654110105	25.00000	09/11/2020	11/24/2020	\$887.23	\$784.76	\$0.00	\$0.00	\$102.47	
Subtotals	200.00000			\$7,097.82	\$6,940.09	\$0.00	\$0.00	\$157.73	
NVIDIA CORP	39.00000	03/31/2020	04/14/2020	\$10,713.28	\$10,635.76	\$0.00	\$0.00	\$77.52	
CUSIP: 67066G104	32.00000	03/31/2020	04/14/2020	\$8,790.39	\$8,740.32	\$0.00	\$0.00	\$50.07	

Account No: 37860002

Account Name: KIRAN KUMAR PASUPUNOOTI

Recipient's TIN: \*\*\*-\*\*-3798

Account Executive No:

ORIGINAL: 12/31/2020

## 2020 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

# **Covered Short-Term Gains or Losses on Gross Proceeds**

Report on Form 8949, Part I with Box A checked

**Box 6:** Gross Proceeds **Box 5:** Box Not Checked (Covered Security)

Box 12: Basis Reported to the IRS

Box 2: Type of Gain or Loss -Short-Term

Description of property CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Market Discount (Box 1f)	Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
NVIDIA CORP CUSIP: 67066G104									
Subtotals	71.00000			\$19,503.67	\$19,376.08	\$0.00	\$0.00	\$127.59	
PAYPAL HOLDINGS INC COM CUSIP: 70450Y103	75.00000	06/24/2020	09/01/2020	\$15,680.64	\$12,373.73	\$0.00	\$0.00	\$3,306.91	
PFIZER INC CUSIP: 717081103	100.00000	11/17/2020	11/24/2020	\$3,630.37	\$3,604.75	\$0.00	\$0.00	\$25.62	
SALESFORCE.COM INC CUSIP: 79466L302	40.00000	01/24/2020	02/10/2020	\$7,535.34	\$7,418.50	\$0.00	\$0.00	\$116.84	
SERVICENOW INC COM CUSIP: 81762P102	30.00000	03/31/2020	03/31/2020	\$8,823.85	\$8,832.44	\$0.00	\$0.00	(\$8.59)	
TELADOC HEALTH INC COMMON STOCK CUSIP: 87918A105	62.00000	08/05/2020	08/27/2020	\$13,219.02	\$12,790.91	\$0.00	\$0.00	\$428.11	
TESLA INC	42.00000	01/08/2020	04/07/2020	\$23,258.66	\$19,858.36	\$0.00	\$0.00	\$3,400.30	
COMMON STOCK CUSIP: 88160R101	24.00000		04/07/2020	\$13,290.66	\$11,371.32	\$0.00	\$0.00	\$1,919.34	
COSIF. 80100K101	50.00000		04/07/2020	\$27,688.88	\$26,532.75	\$0.00	\$0.00	\$1,156.13	
	15.00000		04/07/2020	\$8,306.66	\$9,669.80	\$0.00	\$1,363.14	(\$1,363.14)	
	15.00000		04/15/2020	\$11,032.12	\$12,298.07 \$15,006.37	\$0.00	\$0.00	(\$1,265.95)	
	22.00000 22.00000		04/07/2020 04/15/2020	\$12,183.11 \$16,180.45	\$15,996.37 \$19,851.15	\$0.00 \$0.00	\$3,813.26 \$0.00	(\$3,813.26) (\$3,670.70)	
	63.00000		04/15/2020	\$46,334.92	\$45,926.68	\$0.00	\$0.00 \$0.00	\$408.24	
Subtotals	253.00000			\$158,275.46	\$161,504.50	\$0.00	\$5,176.40	(\$3,229.04)	

Account No: 37860002

Account Name: KIRAN KUMAR PASUPUNOOTI

Recipient's TIN: \*\*\*-\*\*-3798

Account Executive No:

ORIGINAL: 12/31/2020

# 2020 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

## Covered Short-Term Gains or Losses on Gross Proceeds

Report on Form 8949, Part I with Box A checked

**Box 6:** Gross Proceeds **Box 5:** Box Not Checked (Covered Security)

Box 12: Basis Reported to the IRS

Box 2: Type of Gain or Loss -Short-Term

Description of property CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Market Discount (Box 1f)	Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
TRADE DESK INC (THE) CLASS A COMMON STOCK CUSIP: 88339J105	100.00000	04/28/2020	09/01/2020	\$49,059.90	\$25,826.50	\$0.00	\$0.00	\$23,233.40	
VISA INC CL A COMMON STOCK CUSIP: 92826C839	45.00000	01/16/2020	02/14/2020	\$9,414.13	\$9,005.29	\$0.00	\$0.00	\$408.84	
WORKDAY INC CLASS A COMMON STOCK CUSIP: 98138H101	40.00000	01/24/2020	02/10/2020	\$7,778.55	\$7,482.36	\$0.00	\$0.00	\$296.19	
48 ITEMS – TOTA	L			\$675,800.87	\$614,648.56	\$0.00	\$5,176.40	\$61,152.31	

Account No:

37860002

Account Name:

KIRAN KUMAR PASUPUNOOTI

Recipient's TIN:

\*\*\*-\*\*-3798

Account Executive No:

ORIGINAL:

12/31/2020

## 2020 FORM 1099-B: PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS, CONTINUED

OMB NO. 1545-0715

# **Covered Long-Term Gains or Losses on Gross Proceeds**

Report on Form 8949, Part II with Box D checked

Box 6: Gross Proceeds

Box 5: Box Not Checked (Covered Security)

Box 12: Basis Reported to the IRS

Box 2: Type of Gain or Loss -Long-Term

The 1099–B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS, but may be helpful to complete your return.

Accrued Wash Sale

Description of property CUSIP (Box 1a)	Quantity Sold	Date Acquired (Box 1b)	Date Sold or Disposed (Box 1c)	Proceeds (Box 1d)	Cost or Other Basis (Box 1e)	Market Discount (Box 1f)	Loss Disallowed (Box 1g)	Gain/Loss Amount	Additional Information
ALNYLAM PHARMACEUTICALS INC CUSIP: 02043Q107	10.00000	01/02/2018	02/10/2020	\$1,304.13	\$1,283.20	\$0.00	\$0.00	\$20.93	
CORCEPT THERAPEUTICS INC CUSIP: 218352102	20.00000	01/02/2018	06/22/2020	\$364.44	\$361.40	\$0.00	\$0.00	\$3.04	
PAYPAL HOLDINGS INC COM CUSIP: 70450Y103	10.00000 61.00000 37.00000	01/24/2018	03/17/2020 03/17/2020 03/17/2020	\$998.52 \$6,090.96 \$3,694.51	\$840.00 \$5,156.94 \$2,810.52	\$0.00 \$0.00 \$0.00	\$0.00 \$0.00 \$0.00	\$158.52 \$934.02 \$883.99	
Subtotals	108.00000			\$10,783.99	\$8,807.46	\$0.00	\$0.00	\$1,976.53	
***SMART GLOBAL HOLDINGS INC ORDINARY SHARES CUSIP: G8232Y101	15.00000	01/02/2018	01/07/2020	\$546.77	\$512.40	\$0.00	\$0.00	\$34.37	
6 ITEMS – TOTA	L	·		\$12,999.33	\$10,964.46	\$0.00	\$0.00	\$2,034.87	

#### **FOOTNOTES**

SHORT SALE - Short sales covered in December that settle in January will be reported on your Form 1099-B in the year they are settled.

### END OF 2020 FORM 1099-B

#### Form 1099-B (OMB NO. 1545-0715)

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099–B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040–SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040–SR) and/or Form 8949.

Box 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Box 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Box 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Box 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non–Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non–Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040–SR).

**Box 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W–2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040–SR), or Pub. 550 for details.

**Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040–SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank. **Box 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040–SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Box 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Box 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W–9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, and securities futures contracts entered into before 2014.

Box 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Box 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040–SR) instructions.

Box 12. If checked, the basis in box 1e has been reported to the IRS. and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099–B and NO adjustment is required.

see the instructions for your Schedule D (Form 1040 or 1040–SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040–SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required. **Box 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525. **Boxes 14–16.** Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099–B and its instructions, such as legislation enacted after they were published, go towww.irs.gov/Form1099B.

#### Form 1099-B (OMB NO. 1545-0715)

Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11)

Box 8. Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2020.

Box 9. Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2019.

Box 10. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2020. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts—12/31/2020 in 2021.

Box 11. Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2020 Form 6781.

Account No: 37860002

Account Name: KIRAN KUMAR PASUPUNOOTI

Recipient's TIN: \*\*\*-\*\*-3798

Account Executive No:

ORIGINAL: 12/31/2020

<b>DETAILS OF</b>	2020 FORM 1099-DIV						
Date	Security Description	CUSIP	Transaction Description	Amount	Foreign Tax Paid	Federal Tax Withheld	Additional information
	ON SHS ETF TR DAILY S&P OIL ON SHS ETF TR DAILY S&P OIL	25460G500 25460G500	QUALIFIED DIVIDEND QUALIFIED DIVIDEND	\$2.27 \$0.14	-	- 	
08/07/20MASTER	RCARD INCORPORATED	57636Q104	QUALIFIED DIVIDEND	\$20.00	-		
03/12/20 MICROS		594918104	QUALIFIED DIVIDEND	\$51.00	-		
06/11/20 MICROS 09/10/20 MICROS		594918104 594918104	QUALIFIED DIVIDEND QUALIFIED DIVIDEND	\$51.00 \$51.00	-	- 	
03/03/20 VISA INC	C CL A COMMON STOCK	92826C839	QUALIFIED DIVIDEND	\$13.50	_		
Total Qu	ualified Dividends (Box 1b included	in Box 1a)		\$188.91			
Total Or	dinary Dividends (Box 1a)			\$188.91			

Account No: 37860002

Account Name: KIRAN KUMAR PASUPUNOOTI

Recipient's TIN: \*\*\*-\*\*-3798

Account Executive No:

ORIGINAL: 12/31/2020

# **2020 INVESTMENT DETAILS**

<b>DETAILS OF 202</b>	0 INVESTMENT ACTIVITY						
Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information
/			0511		***	<b>*</b> • • • • • • • • • • • • • • • • • • •	
01/08/20 ABBVIE INC	ADMACELITICAL CINC	00287Y109	SELL SELL	115.000	\$89.30 \$130.42	\$10,269.27	
	ARMACEUTICALS INC IC CLASS C CAPITAL STOCK	02043Q107 02079K107	PURCHASE	10.000 28.000		\$1,304.13 \$45,523.24	
	IC CLASS C CAPITAL STOCK IC CLASS C CAPITAL STOCK	02079K107 02079K107	SELL		\$1,625.83		
9/03/20 AMAZON.COM		020798107	PURCHASE	28.000 25.000	\$1,764.52 \$3,316.23	\$49,405.32 \$82.905.75	
9/03/20 AMAZON.COM 2/29/20 AMAZON.COM		023135106	SELL	25.000 25.000	\$3,339.00	\$83,473.15	
	NC COMMON STOCK	039587100	PURCHASE	200.000	\$5,339.00 \$7.63	\$1,526.06	
	IC COMMON STOCK	039587100	PURCHASE	300.000	\$7.03 \$7.26	\$2,178.72	
	IC COMMON STOCK	039587100	SELL	500.000	\$7.62	\$3,809.85	
	AT INC COMMON STOCK	08862E109	PURCHASE	60.000	\$113.32	\$6,799.05	
	AT INC COMMON STOCK	08862E109	SELL	60.000	\$116.38	\$6,799.05 \$6,982.35	
	AT INC COMMON STOCK	08862E109	PURCHASE	71.000	\$129.03	\$9,160.78	
	AT INC COMMON STOCK	08862E109	SELL	35.000	\$132.29	\$4,630.04	
	AT INC COMMON STOCK	08862E109	SELL	36.000	\$132.91	\$4,784.65	
3/03/20BE FOND MEA 4/28/20BIOGEN INC	THIS COMMON STOCK	09062X103	PURCHASE	100.000	\$305.57	\$30,556.68	
1/04/20BIOGEN INC		09062X103	SELL	100.000	\$356.41	\$35,640.20	
	SING CO COMMON STOCK	09002X103	PURCHASE	100.000	\$29.60	\$2,960.00	
5/01/20BOEING CO	SING CO COMMON STOCK	097023105	PURCHASE	100.000	\$138.74	\$13,874.41	
1/24/20BOEING CO		097023105	SELL	100.000	\$222.41	\$22,240.15	
3/23/20 CLOROX CO		189054109	PURCHASE	111.000	\$170.86	\$18,964.91	
3/27/20 CLOROX CO		189054109	SELL	1.000	\$175.35	\$175.34	
3/27/20 CLOROX CO		189054109	SELL	110.000	\$175.28	\$19,280.36	
6/22/20 CORCEPT TH	IERAPELITICS INC	218352102	SELL	20.000	\$18.22	\$364.44	
	KE HOLDINGS INC CLASS A COMMON	22788C105	PURCHASE	75.000	\$209.74	\$15,730.31	
	IARES ETF TRUST DIREXION DAIL	25460E182	PURCHASE	60.000	\$5.68	\$340.73	
	IARES ETF TRUST DIREXION DAIL	25460E539	PURCHASE	567.000	\$3.54	\$2,004.62	
	IARES ETF TRUST DIREXION DAIL	25460E539	SELL	567.000	\$3.58	\$2,031.61	
	IS ETF TR DAILY S&P OIL & GAS	25460G500	PURCHASE	250.000	\$38.64	\$9,660.80	
	IS ETF TR DAILY S&P OIL & GAS	25460G500	SELL	250.000	\$40.50	\$10,124.74	
	IARES ETF TRUST DIREXION DAIL	25460G807	PURCHASE	50.000	\$18.99	\$949.33	
	NC COMMON STOCK	256163106	PURCHASE	13.000	\$192.30	\$2,499.90	
	IC COMMON STOCK	256163106	SELL	13.000	\$196.68	\$2,556.82	
	IC COMMON STOCK	256163106	PURCHASE	70.000	\$247.74	\$17,341.82	
	OUP INC COMMON STOCK	30212P303	PURCHASE	75.000	\$79.81	\$5,985.75	

Account No: 37860002

Account Name: KIRAN KUMAR PASUPUNOOTI

Recipient's TIN: \*\*\*-\*\*-3798

Account Executive No:

ORIGINAL: 12/31/2020

DETAILS OF 2020 INVESTMENT ACTIVITY, CONTINUED										
Date	Security Description	CUSIP	Transaction Description	Quantity	Price	Amount	Additional Information			
06/00/20 EVDEDIA CE	OOLID INC COMMON STOCK	30212P303	SELL	75.000	<b>\$90.90</b>	¢6.050.95				
06/29/20 EXPEDIA GROUP INC COMMON STOCK 07/31/20 KANDI TECHNOLGIES GROUP INC		483709101	PURCHASE	97.000	\$80.80 \$7.09	\$6,059.85 \$688.06				
5/01/20MASTERCARD INCORPORATED		57636Q104	PURCHASE	50.000	\$271.56	\$13,577.98				
		57636Q104	SELL	50.000	\$312.54	\$15,626.64				
17/20/20 MASTERCARD INCORPORATED		594918104	PURCHASE	30.000	\$170.20	\$5,106.00				
01/31/20 MICROSOFT CORP 02/14/20 MICROSOFT CORP		594918104	PURCHASE	70.000	\$170.20 \$182.87	\$12,800.55				
08/27/20 MICROSOFT CORP		594918104	SELL	100.000	\$227.68	\$22,766.98				
	NC COMMON STOCK	60770K107	PURCHASE	50.000	\$81.00	\$4,050.00				
	NC COMMON STOCK	60770K107	PURCHASE	25.000	\$74.27	\$4,030.00 \$1,856.75				
	NC COMMON STOCK	60770K107	SELL	75.000	\$89.93	\$6,744.40				
	MERICAN DEPOSITARY SHARES E	62914V106	PURCHASE	53.000	\$6.90	\$365.49				
	MERICAN DEPOSITARY SHARES E	62914V106	PURCHASE	152.000	\$13.27	\$2,016.28				
	MERICAN DEPOSITARY SHARES E	62914V106	PURCHASE	75.000	\$11.79	\$884.06				
	MERICAN DEPOSITARY SHARES E	62914V106	PURCHASE	483.000	\$41.63	\$20,104.88				
	MERICAN DEPOSITARY SHARES E	62914V106	PURCHASE	237.000	\$53.41	\$12,658.15				
1/29/20 NETFLIX CO		64110L106	PURCHASE	42.000	\$341.92	\$14,360.75				
2/14/20 NETFLIX CO		64110L106	PURCHASE	10.000	\$381.23	\$3,812.25				
3/23/20 NETFLIX CO		64110L106	SELL	52.000	\$357.09	\$18,568.46				
05/01/20 NETFLIX CO	M INC	64110L106	PURCHASE	38.000	\$423.48	\$16,092.16				
5/01/20 NETFLIX CO	M INC	64110L106	PURCHASE	62.000	\$423.48	\$26,255.77				
6/22/20 NETFLIX CO	M INC	64110L106	SELL	50.000	\$464.66	\$23,232.65				
9/01/20 NETFLIX CO	M INC	64110L106	SELL	50.000	\$547.60	\$27,379.29				
7/20/20 NIKOLA COF	RPORATION COMMON STOCK	654110105	PURCHASE	100.000	\$38.84	\$3,883.58				
7/31/20 NIKOLA COF	RPORATION COMMON STOCK	654110105	PURCHASE	75.000	\$30.29	\$2,271.75				
9/11/20 NIKOLA COF	RPORATION COMMON STOCK	654110105	PURCHASE	25.000	\$31.39	\$784.76				
1/24/20 NIKOLA COF	RPORATION COMMON STOCK	654110105	SELL	200.000	\$35.49	\$7,097.82				
06/22/20 NOVAVAX IN	NC COMMON STOCK	670002401	PURCHASE	100.000	\$63.50	\$6,350.00				
3/31/20 NVIDIA COR	P	67066G104	PURCHASE	32.000	\$273.13	\$8,740.32				
3/31/20 NVIDIA COR	P	67066G104	PURCHASE	39.000	\$272.71	\$10,635.76				
4/14/20 NVIDIA COR		67066G104	SELL	71.000	\$274.71	\$19,503.67				
	LASS A COMMON STOCK	679295105	PURCHASE	75.000	\$277.42	\$20,806.28				
3/17/20 PAYPAL HO	LDINGS INC COM	70450Y103	SELL	108.000	\$99.85	\$10,783.99				
6/24/20 PAYPAL HOLDINGS INC COM		70450Y103	PURCHASE	75.000	\$164.98	\$12,373.73				
9/01/20 PAYPAL HOLDINGS INC COM		70450Y103	SELL	75.000	\$209.08	\$15,680.64				
1/17/20 PFIZER INC		717081103	PURCHASE	100.000	\$36.05	\$3,604.75				
11/24/20 PFIZER INC		717081103	SELL	100.000	\$36.30	\$3,630.37				

Account No: 37860002

Account Name: KIRAN KUMAR PASUPUNOOTI

Recipient's TIN: \*\*\*-\*\*-3798

Account Executive No:

ORIGINAL: 12/31/2020

Date	Security	CUSIP	Transaction	Quantity	Price	Amount	Additional Information
Date	Description		Description	quantity	11100	Amount	Additional information
2/21/20 ROKU INC CLASS A COMMON STOCK		77543R102	PURCHASE	76.000	\$355.23	\$26,997.10	
1/24/20 SALESFORCE.COM INC		79466L302	PURCHASE	40.000	\$185.46	\$7,418.50	
2/10/20 SALESFORCE.COM INC		79466L302	SELL	40.000	\$188.39	\$7,535.34	
3/31/20 SERVICENOW INC COM		81762P102	PURCHASE	30.000	\$294.41	\$8,832.44	
3/31/20 SERVICENOW INC COM		81762P102	SELL	30.000	\$294.14	\$8,823.85	
6/29/20 SUPERIOR EN	IERGY SERVICES INC COMMON STO	868157306	PURCHASE	90.000	\$1.12	\$101.00	
8/05/20 TELADOC HEA	ALTH INC COMMON STOCK	87918A105	PURCHASE	62.000	\$206.31	\$12,790.91	
3/27/20 TELADOC HEALTH INC COMMON STOCK		87918A105	SELL	62.000	\$213.22	\$13,219.02	
/08/20 TESLA INC COMMON STOCK		88160R101	PURCHASE	24.000	\$473.81	\$11,371.32	
1/08/20TESLA INC CO		88160R101	PURCHASE	42.000	\$472.82	\$19,858.36	
1/14/20TESLA INC CC	DMMON STOCK	88160R101	PURCHASE	50.000	\$530.65	\$26,532.75	
1/31/20TESLA INC CO		88160R101	PURCHASE	15.000	\$644.65	\$9,669.80	
2/27/20TESLA INC CO		88160R101	PURCHASE	22.000	\$727.11	\$15,996.37	
4/07/20TESLA INC CO		88160R101	SELL	153.000	\$553.79	\$84,727.97	
4/14/20TESLA INC CO		88160R101	PURCHASE	100.000	\$729.00	\$72,899.50	
4/15/20TESLA INC CC		88160R101	SELL	100.000	\$735.49	\$73,547.49	
	INC (THE) CLASS A COMMON STO	88339J105	PURCHASE	100.000	\$258.26	\$25,826.50	
	INC (THE) CLASS A COMMON STO	88339J105	SELL	100.000	\$490.61	\$49,059.90	
2/21/20TWILIO INC C	="" -	90138F102	PURCHASE	73.000	\$367.27	\$26,810.35	
	NC CLASS A COMMON STOCK	91705J105	PURCHASE	50.000	\$21.09	\$1,054.63	
6/29/20 VAXART INC (		92243A200	PURCHASE	100.000	\$8.09	\$808.80	
1/16/20 VISA INC CL A		92826C839	PURCHASE	45.000	\$200.12	\$9,005.29	
2/14/20 VISA INC CL A COMMON STOCK		92826C839	SELL	45.000	\$209.21	\$9,414.13	
	C CLASS A COMMON STOCK	98138H101	PURCHASE	40.000	\$187.06	\$7,482.36	
/10/20 WORKDAY INC CLASS A COMMON STOCK		98138H101	SELL	40.000	\$194.47	\$7,778.55	
1/07/20***SMART GL0	OBAL HOLDINGS INC ORDINARY S	G8232Y101	SELL	15.000	\$36.45	\$546.77	

# **END OF 2020 DETAILS**