

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 527125207</b>	<b>Statement Date: 03/15/2021</b> <b>Document ID: TXK2 R03 2M06</b>	<b>2020</b>
	Rashmi Biradar 3323 Denali Drive Irving, TX 75063  RECIPIENT'S TIN: XXX-XX-7384		

[ ] FATCA filing requirement (see instructions)		Summary Information			
DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	14.26		2- Royalties	0.00	
1b- Qualified dividends	14.26		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		<b>4- Federal income tax withheld</b>	<b>0.00</b>	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2c- Collectibles (28%) gain	0.00		<b>SECTION 1256 CONTRACTS</b>	<b>2020 1099-B*</b>	OMB No. 1545-0715
3- Nondividend distributions	11.50		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
<b>4- Federal income tax withheld</b>	<b>0.00</b>		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

*If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.*

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### SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	15,887,630.81	17,148,970.27	0.00	1,266,726.10	5,386.64
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>15,887,630.81</b>	<b>17,148,970.27</b>	<b>0.00</b>	<b>1,266,726.10</b>	<b>5,386.64</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>15,887,630.81</b>	<b>17,148,970.27</b>	<b>0.00</b>	<b>1,266,726.10</b>	<b>5,386.64</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 527125207

**Summary Information**

2020

(continued)

03/15/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	40.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

03/15/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 04/24/2020 PUT \$10.00 / CUSIP: / Symbol:							
04/24/20	1.000	6.98	04/20/20	23.00	...	-16.02	Option sale to close-put <sup>25</sup>
AAL 07/10/2020 PUT \$15.00 / CUSIP: / Symbol:							
06/15/20	1.000	200.98	06/12/20	224.00	...	-23.02	Option sale to close-put <sup>25</sup>
ACB 07/10/2020 CALL \$13.00 / CUSIP: / Symbol:							
06/24/20	2.000	359.98	06/24/20	360.00	...	-0.02	Option sale to close-call <sup>25</sup>
AMC 07/02/2020 CALL \$4.00 / CUSIP: / Symbol:							
05/29/20	1.000	114.98	05/27/20	155.00	...	-40.02	Option sale to close-call <sup>25</sup>
AMD 08/14/2020 CALL \$59.00 / CUSIP: / Symbol:							
07/23/20	1.000	444.98	07/23/20	515.00	...	-70.02	Option sale to close-call <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APT 06/19/2020 CALL \$14.50 / CUSIP: / Symbol:							
06/19/20	1.000	74.98	06/17/20	55.00	...	19.98	Option sale to close-call <sup>25</sup>
APT 11/27/2020 CALL \$13.50 / CUSIP: / Symbol:							
11/16/20	20.000	659.94	11/16/20	840.00	...	-180.06	Option sale to close-call <sup>25</sup>
APT 12/04/2020 CALL \$13.50 / CUSIP: / Symbol:							
11/17/20	5.000	214.98	11/16/20	350.00	...	-135.02	Option sale to close-call <sup>25</sup>
APT 12/11/2020 CALL \$14.00 / CUSIP: / Symbol:							
11/09/20	5.000	264.98	11/09/20	660.00	...	-395.02	Option sale to close-call <sup>25</sup>
BA 06/26/2020 PUT \$177.50 / CUSIP: / Symbol:							
06/17/20	1.000	389.98	06/17/20	535.00	...	-145.02	Option sale to close-put <sup>25</sup>
BLNK 01/15/2021 CALL \$45.00 / CUSIP: / Symbol:							
12/30/20	1.000	549.97	12/30/20	500.00	...	49.97	Option sale to close-call <sup>25</sup>
BLNK 01/15/2021 CALL \$55.00 / CUSIP: / Symbol:							
12/22/20	1.000	429.98	12/22/20	420.00	...	9.98	Option sale to close-call <sup>25</sup>
BLNK 12/31/2020 CALL \$50.00 / CUSIP: / Symbol:							
12/22/20	1.000	279.98	12/22/20	255.00	...	24.98	Option sale to close-call <sup>25</sup>
CCL 05/22/2020 PUT \$10.00 / CUSIP: / Symbol:							
05/15/20	1.000	4.98	05/14/20	20.00	...	-15.02	Option sale to close-put <sup>25</sup>
CLDR 06/19/2020 CALL \$14.00 / CUSIP: / Symbol:							
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	1.000	6.98	06/18/20	20.00	...	-13.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	6.98	06/18/20	20.00	...	-13.02	2 of 2 - Option sale to close-call <sup>25</sup>
06/19/20	2.000	13.96	Various	40.00	...	-26.04	Total of 2 transactions
CLDR 06/26/2020 CALL \$15.00 / CUSIP: / Symbol:							
06/22/20	1.000	9.98	06/18/20	45.00	...	-35.02	Option sale to close-call <sup>25</sup>
CLDR 07/02/2020 CALL \$15.00 / CUSIP: / Symbol:							
06/22/20	1.000	29.98	06/18/20	65.00	...	-35.02	Option sale to close-call <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLDR 07/10/2020 CALL \$15.00 / CUSIP: / Symbol:							
06/22/20	1.000	39.98	06/18/20	85.00	...	-45.02	Option sale to close-call <sup>25</sup>
CLDR 07/24/2020 CALL \$15.00 / CUSIP: / Symbol:							
06/22/20	1.000	69.98	06/18/20	115.00	...	-45.02	Option sale to close-call <sup>25</sup>
CLDR 07/31/2020 CALL \$10.00 / CUSIP: / Symbol:							
06/26/20	2.000	659.97	06/26/20	720.00	...	-60.03	Option sale to close-call <sup>25</sup>
CWH 07/17/2020 CALL \$30.00 / CUSIP: / Symbol:							
06/22/20	1.000	184.98	06/17/20	150.00	...	34.98	Option sale to close-call <sup>25</sup>
DIS 07/31/2020 PUT \$105.00 / CUSIP: / Symbol:							
06/25/20	2.000	759.97	06/25/20	760.00	...	-0.03	Option sale to close-put <sup>25</sup>
DKNG 11/06/2020 CALL \$42.00 / CUSIP: / Symbol:							
10/26/20	5.000	924.96	10/26/20	1,025.00	...	-100.04	Option sale to close-call <sup>25</sup>
EDIT 12/31/2020 CALL \$85.00 / CUSIP: / Symbol:							
12/29/20	1.000	44.98	12/23/20	500.00	...	-455.02	Option sale to close-call <sup>25</sup>
ETSY 09/25/2020 CALL \$109.00 / CUSIP: / Symbol:							
	<i>2 transactions for 09/17/20. Total proceeds and cost reported to the IRS.</i>						
	2.000	575.97	09/17/20	690.00	...	-114.03	1 of 2 - Option sale to close-call <sup>25</sup>
	2.000	575.97	09/17/20	690.00	...	-114.03	2 of 2 - Option sale to close-call <sup>25</sup>
09/17/20	4.000	1,151.94	Various	1,380.00	...	-228.06	Total of 2 transactions
FUBO 01/15/2021 CALL \$65.00 / CUSIP: / Symbol:							
12/22/20	1.000	789.97	12/22/20	825.00	...	-35.03	Option sale to close-call <sup>25</sup>
FUBO 01/15/2021 CALL \$70.00 / CUSIP: / Symbol:							
12/22/20	1.000	679.97	12/22/20	730.00	50.03 W	0.00	Option sale to close-call <sup>25</sup>
12/23/20	1.000	444.98	12/23/20	550.03	...	-105.05	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>1,124.95</b>		<b>1,280.03</b>	<b>50.03 W</b>	<b>-105.05</b>	
GILD 04/24/2020 PUT \$77.00 / CUSIP: / Symbol:							
04/24/20	1.000	81.98	04/23/20	190.00	...	-108.02	Option sale to close-put <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GLYC 08/21/2020 CALL \$2.50 / CUSIP: / Symbol:							
07/22/20	1.000	249.98	07/21/20	280.00	...	-30.02	Option sale to close-call <sup>25</sup>
GLYC 08/21/2020 CALL \$5.00 / CUSIP: / Symbol:							
07/21/20	1.000	75.98	07/21/20	75.00	...	0.98	Option sale to close-call <sup>25</sup>
HOG 04/24/2020 PUT \$16.00 / CUSIP: / Symbol:							
04/23/20	1.000	0.98	04/20/20	19.00	...	-18.02	Option sale to close-put <sup>25</sup>
HTZ 04/24/2020 PUT \$5.00 / CUSIP: / Symbol:							
04/24/20	1.000	154.98	04/20/20	60.00	...	94.98	Option sale to close-put <sup>25</sup>
HTZ 07/17/2020 CALL \$2.00 / CUSIP: / Symbol:							
06/24/20	5.000	224.98	06/24/20	325.00	...	-100.02	Option sale to close-call <sup>25</sup>
HTZ 11/20/2020 CALL \$2.00 / CUSIP: / Symbol:							
10/30/20	1.000	3.98	10/29/20	29.00	...	-25.02	Option sale to close-call <sup>25</sup>
INO 07/31/2020 CALL \$20.00 / CUSIP: / Symbol:							
07/15/20	1.000	594.97	07/15/20	575.00	...	19.97	Option sale to close-call <sup>25</sup>
INO 09/25/2020 CALL \$17.50 / CUSIP: / Symbol:							
09/17/20	1.000	144.98	09/17/20	164.00	...	-19.02	Option sale to close-call <sup>25</sup>
INO 11/20/2020 CALL \$14.00 / CUSIP: / Symbol:							
<i>2 transactions for 10/26/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	117.99	10/21/20	226.02	...	-108.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	58.99	10/21/20	86.00	...	-27.01	2 of 2 - Option sale to close-call <sup>25</sup>
10/26/20	3.000	176.98	Various	312.02	...	-135.04	Total of 2 transactions
10/26/20	2.000	117.98	10/21/20	172.00	54.02 W	0.00	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>294.96</b>		<b>484.02</b>	<b>54.02 W</b>	<b>-135.04</b>	
INO 11/27/2020 CALL \$14.00 / CUSIP: / Symbol:							
10/22/20	10.000	859.96	10/21/20	960.00	...	-100.04	Option sale to close-call <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INO 12/18/2020 CALL \$12.00 / CUSIP: / Symbol:							
3 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	3.000	329.98	11/04/20	435.00	...	-105.02	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	109.98	11/04/20	145.00	...	-35.02	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	109.98	11/04/20	145.00	...	-35.02	3 of 3 - Option sale to close-call <sup>25</sup>
11/06/20	5.000	549.94	Various	725.00	...	-175.06	Total of 3 transactions
LOGI 11/20/2020 CALL \$90.00 / CUSIP: / Symbol:							
2 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	5.000	899.97	10/26/20	1,000.00	100.03 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	20.000	3,599.88	10/26/20	4,000.00	400.12 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
10/26/20	25.000	4,499.85	Various	5,000.00	500.15 W	0.00	Total of 2 transactions
3 transactions for 10/28/20. Total proceeds and cost reported to the IRS.							
	20.000	3,199.89	10/26/20	4,400.12	...	-1,200.23	1 of 3 - Option sale to close-call <sup>25</sup>
	3.000	479.98	10/26/20	660.02	...	-180.04	2 of 3 - Option sale to close-call <sup>25</sup>
	2.000	319.99	10/26/20	440.01	...	-120.02	3 of 3 - Option sale to close-call <sup>25</sup>
10/28/20	25.000	3,999.86	Various	5,500.15	...	-1,500.29	Total of 3 transactions
	<b>Security total:</b>	<b>8,499.71</b>		<b>10,500.15</b>	<b>500.15 W</b>	<b>-1,500.29</b>	
LUV 06/26/2020 CALL \$38.00 / CUSIP: / Symbol:							
06/22/20	2.000	31.98	06/17/20	220.00	...	-188.02	Option sale to close-call <sup>25</sup>
MGM 06/12/2020 PUT \$11.00 / CUSIP: / Symbol:							
05/18/20	2.000	29.98	05/13/20	122.00	...	-92.02	Option sale to close-put <sup>25</sup>
MGM 07/02/2020 PUT \$16.00 / CUSIP: / Symbol:							
06/01/20	1.000	81.98	05/27/20	95.00	...	-13.02	Option sale to close-put <sup>25</sup>
MT 08/28/2020 CALL \$10.00 / CUSIP: / Symbol:							
08/10/20	1.000	235.98	08/10/20	240.00	...	-4.02	Option sale to close-call <sup>25</sup>
MVIS 01/15/2021 CALL \$10.00 / CUSIP: / Symbol:							
2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	2.000	151.99	12/23/20	200.00	...	-48.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	75.99	12/23/20	100.00	...	-24.01	2 of 2 - Option sale to close-call <sup>25</sup>
12/23/20	3.000	227.98	Various	300.00	...	-72.02	Total of 2 transactions

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NCLH 07/31/2020 PUT \$16.00 / CUSIP: / Symbol: 06/15/20	1.000	214.98	06/12/20	215.00	...	-0.02	Option sale to close-put <sup>25</sup>
NKLA 06/26/2020 CALL \$80.00 / CUSIP: / Symbol: 06/22/20	1.000	54.98	06/17/20	280.00	...	-225.02	Option sale to close-call <sup>25</sup>
NKLA 08/14/2020 CALL \$40.00 / CUSIP: / Symbol: 07/21/20	1.000	509.97	07/21/20	570.00	...	-60.03	Option sale to close-call <sup>25</sup>
NKLA 08/28/2020 CALL \$31.00 / CUSIP: / Symbol: 07/27/20	1.000	639.97	07/27/20	670.00	...	-30.03	Option sale to close-call <sup>25</sup>
NVAX 06/26/2020 CALL \$62.00 / CUSIP: / Symbol: 06/19/20	1.000	409.98	06/17/20	200.00	...	209.98	Option sale to close-call <sup>25</sup>
OPK 06/26/2020 CALL \$1.50 / CUSIP: / Symbol: 06/22/20	1.000	95.98	06/17/20	105.00	...	-9.02	Option sale to close-call <sup>25</sup>
PFE 08/28/2020 CALL \$36.00 / CUSIP: / Symbol: 07/23/20	2.000	599.97	07/23/20	650.00	...	-50.03	Option sale to close-call <sup>25</sup>
PK 07/17/2020 PUT \$5.00 / CUSIP: / Symbol: 05/19/20	1.000	19.98	05/13/20	40.00	...	-20.02	Option sale to close-put <sup>25</sup>
PLAY 06/19/2020 CALL \$16.00 / CUSIP: / Symbol: 06/19/20	1.000	89.98	06/18/20	105.00	...	-15.02	Option sale to close-call <sup>25</sup>
PTON 07/24/2020 CALL \$58.00 / CUSIP: / Symbol: 06/26/20	1.000	509.97	06/26/20	600.00	...	-90.03	Option sale to close-call <sup>25</sup>
QS 01/08/2021 CALL \$125.00 / CUSIP: / Symbol: 12/23/20	1.000	539.97	12/23/20	980.00	...	-440.03	Option sale to close-call <sup>25</sup>
QS 01/22/2021 CALL \$114.00 / CUSIP: / Symbol: 12/30/20	1.000	399.98	12/29/20	530.00	...	-130.02	Option sale to close-call <sup>25</sup>
QS 12/24/2020 CALL \$125.00 / CUSIP: / Symbol: 12/22/20	1.000	749.97	12/22/20	750.00	...	-0.03	Option sale to close-call <sup>25</sup>

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(continued)

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QS 12/24/2020 CALL \$130.00 / CUSIP: / Symbol: 12/22/20	1.000	479.97	12/22/20	500.00	...	-20.03	Option sale to close-call <sup>25</sup>
QS 12/31/2020 CALL \$108.00 / CUSIP: / Symbol: 12/30/20	1.000	149.98	12/29/20	195.00	...	-45.02	Option sale to close-call <sup>25</sup>
QS 12/31/2020 CALL \$118.00 / CUSIP: / Symbol: 12/23/20	1.000	499.97	12/23/20	600.00	...	-100.03	Option sale to close-call <sup>25</sup>
QS 12/31/2020 CALL \$119.00 / CUSIP: / Symbol: 12/23/20	2.000	959.96	12/23/20	1,160.00	...	-200.04	Option sale to close-call <sup>25</sup>
QS 12/31/2020 CALL \$125.00 / CUSIP: / Symbol: 12/23/20	1.000	479.97	12/23/20	770.00	...	-290.03	Option sale to close-call <sup>25</sup>
QS 12/31/2020 CALL \$135.00 / CUSIP: / Symbol: 12/23/20	1.000	499.97	12/22/20	1,270.00	...	-770.03	Option sale to close-call <sup>25</sup>
RAD 07/31/2020 CALL \$17.50 / CUSIP: / Symbol: 07/06/20	1.000	202.98	07/06/20	200.00	...	2.98	Option sale to close-call <sup>25</sup>
07/07/20	1.000	189.98	07/06/20	200.00	...	-10.02	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>392.96</b>		<b>400.00</b>	...	<b>-7.04</b>	
RCL 06/19/2020 PUT \$57.00 / CUSIP: / Symbol: 06/19/20	1.000	25.98	06/18/20	94.00	...	-68.02	Option sale to close-put <sup>25</sup>
SABR 08/21/2020 CALL \$5.00 / CUSIP: / Symbol: 07/17/20	1.000	299.98	07/15/20	340.00	...	-40.02	Option sale to close-call <sup>25</sup>
SNAP 08/28/2020 CALL \$20.00 / CUSIP: / Symbol: 08/12/20	1.000	223.98	08/11/20	274.00	...	-50.02	Option sale to close-call <sup>25</sup>
SNAP 09/04/2020 CALL \$20.00 / CUSIP: / Symbol: <i>2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	244.99	08/10/20	252.00	...	-7.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	244.98	08/12/20	238.00	...	6.98	2 of 2 - Option sale to close-call <sup>25</sup>
08/13/20	2.000	489.97	Various	490.00	...	-0.03	Total of 2 transactions

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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SNAP 09/04/2020 CALL \$26.00 / CUSIP: / Symbol:							
4 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	22.00	08/10/20	35.00	...	-13.00	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	21.99	08/12/20	28.00	...	-6.01	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	22.00	08/12/20	27.00	...	-5.00	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	21.99	08/12/20	26.00	...	-4.01	4 of 4 - Option sale to close-call <sup>25</sup>
08/13/20	4.000	87.98	Various	116.00	...	-28.02	Total of 4 transactions
SNAP 09/25/2020 CALL \$20.50 / CUSIP: / Symbol:							
08/12/20	1.000	255.98	08/10/20	265.00	...	-9.02	Option sale to close-call <sup>25</sup>
SPY 04/22/2020 PUT \$275.00 / CUSIP: / Symbol:							
04/22/20	1.000	0.00	04/20/20	124.00	...	-124.00	Option expiration- long put
SQ 01/15/2021 CALL \$225.00 / CUSIP: / Symbol:							
12/30/20	1.000	639.97	12/29/20	520.00	...	119.97	Option sale to close-call <sup>25</sup>
SQ 01/15/2021 CALL \$237.50 / CUSIP: / Symbol:							
2 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	2.000	559.97	12/30/20	730.00	...	-170.03	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	279.98	12/30/20	365.00	...	-85.02	2 of 2 - Option sale to close-call <sup>25</sup>
12/31/20	3.000	839.95	Various	1,095.00	...	-255.05	Total of 2 transactions
SQ 12/31/2020 CALL \$222.50 / CUSIP: / Symbol:							
12/30/20	2.000	179.98	12/29/20	174.00	...	5.98	Option sale to close-call <sup>25</sup>
TTCF 01/15/2021 CALL \$25.00 / CUSIP: / Symbol:							
3 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	1.000	129.99	12/29/20	95.00	...	34.99	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	130.00	12/29/20	95.00	...	35.00	2 of 3 - Option sale to close-call <sup>25</sup>
	3.000	389.98	12/29/20	285.00	...	104.98	3 of 3 - Option sale to close-call <sup>25</sup>
12/30/20	5.000	649.97	Various	475.00	...	174.97	Total of 3 transactions
TTCF 01/15/2021 CALL \$35.00 / CUSIP: / Symbol:							
12/23/20	1.000	49.98	12/23/20	65.00	...	-15.02	Option sale to close-call <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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UAA 07/31/2020 CALL \$8.50 / CUSIP: / Symbol:							
07/20/20	2.000	339.98	07/17/20	450.00	...	-110.02	Option sale to close-call <sup>25</sup>
UAL 04/24/2020 PUT \$26.00 / CUSIP: / Symbol:							
04/24/20	1.000	50.98	04/20/20	82.00	...	-31.02	Option sale to close-put <sup>25</sup>
USO 04/24/2020 PUT \$3.00 / CUSIP: / Symbol:							
04/21/20	1.000	50.98	04/20/20	16.00	...	34.98	Option sale to close-put <sup>25</sup>
USO 07/31/2020 CALL \$30.00 / CUSIP: / Symbol:							
06/22/20	2.000	229.98	06/19/20	254.00	...	-24.02	Option sale to close-call <sup>25</sup>
USO1 07/17/2020 CALL \$2.00 / CUSIP: / Symbol:							
04/29/20	1.000	46.98	04/27/20	62.02	...	-15.04	Option sale to close-call <sup>25</sup>
04/29/20	1.000	47.98	04/27/20	55.00	7.02 W	0.00	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>94.96</b>		<b>117.02</b>	<b>7.02 W</b>	<b>-15.04</b>	
ADMA BIOLOGICS INC COMMON STOC K / CUSIP: 000899104 / Symbol:							
5 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	10.000	29.40	03/23/20	33.30	3.90 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	2.93	03/23/20	3.33	0.40 W	0.00	2 of 5 - Sale <sup>25</sup>
	5.000	14.65	03/23/20	16.65	2.00 W	0.00	3 of 5 - Sale <sup>25</sup>
	5.000	14.65	03/23/20	16.65	2.00 W	0.00	4 of 5 - Sale <sup>25</sup>
	104.000	300.55	03/23/20	346.32	45.77 W	0.00	5 of 5 - Sale <sup>25</sup>
03/24/20	125.000	362.18	Various	416.25	54.07 W	0.00	Total of 5 transactions
5 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	104.000	310.95	04/03/20	342.88	...	-31.93	1 of 5 - Sale <sup>25</sup>
	10.000	29.90	04/03/20	32.47	...	-2.57	2 of 5 - Sale <sup>25</sup>
	5.000	14.95	04/03/20	16.28	...	-1.33	3 of 5 - Sale <sup>25</sup>
	5.000	14.95	04/03/20	16.28	...	-1.33	4 of 5 - Sale <sup>25</sup>
	1.000	2.99	04/03/20	3.26	...	-0.27	5 of 5 - Sale <sup>25</sup>
04/07/20	125.000	373.74	Various	411.17	...	-37.43	Total of 5 transactions
05/13/20	200.000	511.98	05/12/20	553.82	...	-41.84	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,247.90</b>		<b>1,381.24</b>	<b>54.07 W</b>	<b>-79.27</b>	

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(continued)

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
04/13/20	30.000	66.00	04/09/20	88.80	22.80 W	0.00	Sale <sup>25</sup>
04/14/20	170.000	384.18	04/13/20	365.48	...	18.70	Sale <sup>25</sup>
04/14/20	30.000	67.80	04/13/20	87.30	19.50 W	0.00	Sale <sup>25</sup>
4 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	30.000	135.60	04/28/20	133.75	...	1.85	1 of 4 - Sale <sup>25</sup>
	21.000	94.92	04/28/20	79.97	...	14.95	2 of 4 - Sale <sup>25</sup>
	49.000	221.47	04/28/20	186.61	...	34.86	3 of 4 - Sale <sup>25</sup>
	100.000	451.97	04/28/20	389.83	...	62.14	4 of 4 - Sale <sup>25</sup>
05/01/20	200.000	903.96	Various	790.16	...	113.80	Total of 4 transactions
05/01/20	50.000	225.99	04/28/20	227.50	1.51 W	0.00	Sale <sup>25</sup>
2 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	50.000	254.99	05/29/20	264.91	9.92 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	254.98	05/29/20	263.40	8.42 W	0.00	2 of 2 - Sale <sup>25</sup>
06/01/20	100.000	509.97	Various	528.31	18.34 W	0.00	Total of 2 transactions
2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	50.000	262.20	06/03/20	308.41	46.21 W	0.00	1 of 2 - Sale <sup>25</sup>
	25.000	131.10	06/03/20	153.46	22.36 W	0.00	2 of 2 - Sale <sup>25</sup>
06/04/20	75.000	393.30	Various	461.87	68.57 W	0.00	Total of 2 transactions
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	25.000	141.55	06/19/20	171.31	29.76 W	0.00	1 of 2 - Sale <sup>25</sup>
	25.000	141.55	06/19/20	152.41	10.86 W	0.00	2 of 2 - Sale <sup>25</sup>
06/19/20	50.000	283.10	Various	323.72	40.62 W	0.00	Total of 2 transactions
5 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	25.000	105.05	06/29/20	130.05	25.00 W	0.00	1 of 5 - Sale <sup>25</sup>
	25.000	105.05	06/29/20	136.71	31.66 W	0.00	2 of 5 - Sale <sup>25</sup>
	25.000	105.04	06/29/20	129.31	24.27 W	0.00	3 of 5 - Sale <sup>25</sup>
	25.000	105.05	06/29/20	117.80	12.75 W	0.00	4 of 5 - Sale <sup>25</sup>
	23.000	96.64	06/29/20	98.39	1.75 W	0.00	5 of 5 - Sale <sup>25</sup>
06/29/20	123.000	516.83	Various	612.26	95.43 W	0.00	Total of 5 transactions
10 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	20.000	91.60	07/01/20	111.56	19.96 W	0.00	1 of 10 - Sale <sup>25</sup>
	2.000	9.16	07/01/20	11.16	2.00 W	0.00	2 of 10 - Sale <sup>25</sup>
	3.000	13.74	07/01/20	16.73	2.99 W	0.00	3 of 10 - Sale <sup>25</sup>

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(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	25.000	114.50	07/01/20	146.11	31.61 W	0.00	4 of 10 - Sale <sup>25</sup>
	21.000	96.18	07/01/20	116.52	20.34 W	0.00	5 of 10 - Sale <sup>25</sup>
	4.000	18.32	07/01/20	22.20	3.88 W	0.00	6 of 10 - Sale <sup>25</sup>
	3.000	13.74	07/01/20	15.26	1.52 W	0.00	7 of 10 - Sale <sup>25</sup>
	5.000	22.90	07/01/20	25.44	2.54 W	0.00	8 of 10 - Sale <sup>25</sup>
	5.000	22.90	07/01/20	25.44	2.54 W	0.00	9 of 10 - Sale <sup>25</sup>
	12.000	54.96	07/01/20	61.06	6.10 W	0.00	10 of 10 - Sale <sup>25</sup>
07/06/20	100.000	458.00	Various	551.48	93.48 W	0.00	Total of 10 transactions
	13 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	3.000	13.02	07/08/20	16.25	3.23 W	0.00	1 of 13 - Sale <sup>25</sup>
	2.000	8.68	07/08/20	10.84	2.16 W	0.00	2 of 13 - Sale <sup>25</sup>
	3.000	13.02	07/08/20	16.24	3.22 W	0.00	3 of 13 - Sale <sup>25</sup>
	17.000	73.78	07/08/20	92.07	18.29 W	0.00	4 of 13 - Sale <sup>25</sup>
	25.000	108.50	07/08/20	142.06	33.56 W	0.00	5 of 13 - Sale <sup>25</sup>
	4.000	17.36	07/08/20	21.55	4.19 W	0.00	6 of 13 - Sale <sup>25</sup>
	21.000	91.14	07/08/20	113.12	21.98 W	0.00	7 of 13 - Sale <sup>25</sup>
	3.000	13.02	07/08/20	14.77	1.75 W	0.00	8 of 13 - Sale <sup>25</sup>
	5.000	21.70	07/08/20	24.63	2.93 W	0.00	9 of 13 - Sale <sup>25</sup>
	5.000	21.70	07/08/20	24.63	2.93 W	0.00	10 of 13 - Sale <sup>25</sup>
	12.000	52.07	07/08/20	59.12	7.05 W	0.00	11 of 13 - Sale <sup>25</sup>
	23.000	99.82	07/08/20	103.36	3.54 W	0.00	12 of 13 - Sale <sup>25</sup>
	27.000	117.18	07/08/20	119.29	2.11 W	0.00	13 of 13 - Sale <sup>25</sup>
07/08/20	150.000	650.99	Various	757.93	106.94 W	0.00	Total of 13 transactions
	2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.						
	3.000	13.24	07/10/20	16.84	3.60 W	0.00	1 of 2 - Sale <sup>25</sup>
	7.000	30.88	07/10/20	39.30	8.42 W	0.00	2 of 2 - Sale <sup>25</sup>
07/10/20	10.000	44.12	Various	56.14	12.02 W	0.00	Total of 2 transactions
07/28/20	3.000	12.36	07/28/20	15.68	3.32 W	0.00	Sale <sup>25</sup>
	7 transactions for 08/03/20. Total proceeds and cost reported to the IRS.						
	23.000	93.83	08/03/20	93.82	...	0.01	1 of 7 - Sale <sup>25</sup>
	15.000	61.20	08/03/20	59.21	...	1.99	2 of 7 - Sale <sup>25</sup>
	27.000	110.15	08/03/20	108.09	...	2.06	3 of 7 - Sale <sup>25</sup>
	25.000	101.99	08/03/20	98.75	...	3.24	4 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	35.000	142.79	08/03/20	138.51	...	4.28	5 of 7 - Sale <sup>25</sup>
	100.000	407.98	08/03/20	392.56	...	15.42	6 of 7 - Sale <sup>25</sup>
	100.000	407.98	08/03/20	390.74	...	17.24	7 of 7 - Sale <sup>25</sup>
08/03/20	325.000	1,325.92	Various	1,281.68	...	44.24	Total of 7 transactions
	31 transactions for 08/03/20. Total proceeds and cost reported to the IRS.						
	2.000	7.74	08/03/20	9.94	2.20 W	0.00	1 of 31 - Sale <sup>25</sup>
	3.000	11.62	08/03/20	14.89	3.27 W	0.00	2 of 31 - Sale <sup>25</sup>
	3.000	11.62	08/03/20	15.27	3.65 W	0.00	3 of 31 - Sale <sup>25</sup>
	3.000	11.62	08/03/20	14.99	3.37 W	0.00	4 of 31 - Sale <sup>25</sup>
	7.000	27.11	08/03/20	34.76	7.65 W	0.00	5 of 31 - Sale <sup>25</sup>
	7.000	27.11	08/03/20	35.65	8.54 W	0.00	6 of 31 - Sale <sup>25</sup>
	25.000	96.81	08/03/20	130.81	34.00 W	0.00	7 of 31 - Sale <sup>25</sup>
	4.000	15.49	08/03/20	19.75	4.26 W	0.00	8 of 31 - Sale <sup>25</sup>
	21.000	81.32	08/03/20	103.67	22.35 W	0.00	9 of 31 - Sale <sup>25</sup>
	3.000	11.62	08/03/20	13.42	1.80 W	0.00	10 of 31 - Sale <sup>25</sup>
	5.000	19.36	08/03/20	22.38	3.02 W	0.00	11 of 31 - Sale <sup>25</sup>
	5.000	19.36	08/03/20	22.38	3.02 W	0.00	12 of 31 - Sale <sup>25</sup>
	12.000	46.47	08/03/20	53.73	7.26 W	0.00	13 of 31 - Sale <sup>25</sup>
	23.000	89.06	08/03/20	93.01	3.95 W	0.00	14 of 31 - Sale <sup>25</sup>
	27.000	104.55	08/03/20	107.14	2.59 W	0.00	15 of 31 - Sale <sup>25</sup>
	100.000	387.20	08/03/20	389.00	1.80 W	0.00	16 of 31 - Sale <sup>25</sup>
	2.000	8.16	08/03/20	10.02	1.86 W	0.00	17 of 31 - Sale <sup>25</sup>
	3.000	12.24	08/03/20	14.99	2.75 W	0.00	18 of 31 - Sale <sup>25</sup>
	3.000	12.24	08/03/20	15.37	3.13 W	0.00	19 of 31 - Sale <sup>25</sup>
	3.000	12.24	08/03/20	15.09	2.85 W	0.00	20 of 31 - Sale <sup>25</sup>
	7.000	28.56	08/03/20	35.00	6.44 W	0.00	21 of 31 - Sale <sup>25</sup>
	7.000	28.56	08/03/20	35.89	7.33 W	0.00	22 of 31 - Sale <sup>25</sup>
	25.000	101.99	08/03/20	131.69	29.70 W	0.00	23 of 31 - Sale <sup>25</sup>
	4.000	16.32	08/03/20	19.89	3.57 W	0.00	24 of 31 - Sale <sup>25</sup>
	21.000	85.68	08/03/20	104.41	18.73 W	0.00	25 of 31 - Sale <sup>25</sup>
	3.000	12.24	08/03/20	13.52	1.28 W	0.00	26 of 31 - Sale <sup>25</sup>
	5.000	20.40	08/03/20	22.56	2.16 W	0.00	27 of 31 - Sale <sup>25</sup>
	5.000	20.40	08/03/20	22.56	2.16 W	0.00	28 of 31 - Sale <sup>25</sup>
	12.000	48.96	08/03/20	54.15	5.19 W	0.00	29 of 31 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	175.000	713.96	08/03/20	731.03	17.07 W	0.00	30 of 31 - Sale <sup>25</sup>
	125.000	509.97	08/03/20	522.18	12.21 W	0.00	31 of 31 - Sale <sup>25</sup>
08/03/20	650.000	2,599.98	Various	2,829.14	229.16 W	0.00	Total of 31 transactions
	16 transactions for 08/06/20. Total proceeds and cost reported to the IRS.						
	2.000	8.10	08/06/20	9.98	1.88 W	0.00	1 of 16 - Sale <sup>25</sup>
	3.000	12.15	08/06/20	14.93	2.78 W	0.00	2 of 16 - Sale <sup>25</sup>
	3.000	12.15	08/06/20	15.31	3.16 W	0.00	3 of 16 - Sale <sup>25</sup>
	3.000	12.15	08/06/20	15.03	2.88 W	0.00	4 of 16 - Sale <sup>25</sup>
	7.000	28.35	08/06/20	34.86	6.51 W	0.00	5 of 16 - Sale <sup>25</sup>
	7.000	28.35	08/06/20	35.75	7.40 W	0.00	6 of 16 - Sale <sup>25</sup>
	25.000	101.25	08/06/20	131.20	29.95 W	0.00	7 of 16 - Sale <sup>25</sup>
	4.000	16.20	08/06/20	19.81	3.61 W	0.00	8 of 16 - Sale <sup>25</sup>
	21.000	85.05	08/06/20	103.99	18.94 W	0.00	9 of 16 - Sale <sup>25</sup>
	3.000	12.15	08/06/20	13.46	1.31 W	0.00	10 of 16 - Sale <sup>25</sup>
	5.000	20.25	08/06/20	22.46	2.21 W	0.00	11 of 16 - Sale <sup>25</sup>
	5.000	20.25	08/06/20	22.46	2.21 W	0.00	12 of 16 - Sale <sup>25</sup>
	12.000	48.60	08/06/20	53.91	5.31 W	0.00	13 of 16 - Sale <sup>25</sup>
	125.000	506.23	08/06/20	519.69	13.46 W	0.00	14 of 16 - Sale <sup>25</sup>
	175.000	708.72	08/06/20	727.55	18.83 W	0.00	15 of 16 - Sale <sup>25</sup>
	100.000	404.99	08/06/20	405.99	1.00 W	0.00	16 of 16 - Sale <sup>25</sup>
08/06/20	500.000	2,024.94	Various	2,146.38	121.44 W	0.00	Total of 16 transactions
	18 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	400.000	2,172.54	08/13/20	2,183.34	9.42 W	-1.38	1 of 18 - Sale <sup>25</sup>
	1.000	5.43	08/13/20	6.39	0.96 W	0.00	2 of 18 - Sale <sup>25</sup>
	2.000	10.86	08/13/20	12.80	1.94 W	0.00	3 of 18 - Sale <sup>25</sup>
	3.000	16.29	08/13/20	19.16	2.87 W	0.00	4 of 18 - Sale <sup>25</sup>
	3.000	16.29	08/13/20	19.54	3.25 W	0.00	5 of 18 - Sale <sup>25</sup>
	3.000	16.29	08/13/20	19.26	2.97 W	0.00	6 of 18 - Sale <sup>25</sup>
	6.000	32.59	08/13/20	38.33	5.74 W	0.00	7 of 18 - Sale <sup>25</sup>
	7.000	38.02	08/13/20	45.61	7.59 W	0.00	8 of 18 - Sale <sup>25</sup>
	25.000	135.78	08/13/20	166.41	30.63 W	0.00	9 of 18 - Sale <sup>25</sup>
	4.000	21.73	08/13/20	25.44	3.71 W	0.00	10 of 18 - Sale <sup>25</sup>
	21.000	114.06	08/13/20	133.57	19.51 W	0.00	11 of 18 - Sale <sup>25</sup>
	3.000	16.29	08/13/20	17.69	1.40 W	0.00	12 of 18 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	5.000	27.16	08/13/20	29.50	2.34 W	0.00	13 of 18 - Sale <sup>25</sup>
	5.000	27.16	08/13/20	29.50	2.34 W	0.00	14 of 18 - Sale <sup>25</sup>
	12.000	65.18	08/13/20	70.81	5.63 W	0.00	15 of 18 - Sale <sup>25</sup>
	100.000	543.14	08/13/20	546.84	3.70 W	0.00	16 of 18 - Sale <sup>25</sup>
	125.000	678.92	08/13/20	695.76	16.84 W	0.00	17 of 18 - Sale <sup>25</sup>
	175.000	950.49	08/13/20	974.05	23.56 W	0.00	18 of 18 - Sale <sup>25</sup>
08/13/20	900.000	4,888.22	Various	5,034.00	144.40 W	-1.38	Total of 18 transactions
	25 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	125.000	723.72	08/19/20	751.84	...	-28.12	1 of 25 - Sale <sup>25</sup>
	210.000	1,213.74	08/19/20	1,240.47	...	-26.73	2 of 25 - Sale <sup>25</sup>
	105.000	607.92	08/19/20	631.54	...	-23.62	3 of 25 - Sale <sup>25</sup>
	21.000	121.58	08/19/20	142.99	...	-21.41	4 of 25 - Sale <sup>25</sup>
	12.000	69.48	08/19/20	83.22	...	-13.74	5 of 25 - Sale <sup>25</sup>
	50.000	289.48	08/19/20	300.73	...	-11.25	6 of 25 - Sale <sup>25</sup>
	8.000	46.32	08/19/20	55.48	...	-9.16	7 of 25 - Sale <sup>25</sup>
	70.000	405.28	08/19/20	414.19	...	-8.91	8 of 25 - Sale <sup>25</sup>
	7.000	40.53	08/19/20	47.56	...	-7.03	9 of 25 - Sale <sup>25</sup>
	12.000	69.47	08/19/20	76.19	...	-6.72	10 of 25 - Sale <sup>25</sup>
	5.000	28.95	08/19/20	34.68	...	-5.73	11 of 25 - Sale <sup>25</sup>
	6.000	34.74	08/19/20	40.00	...	-5.26	12 of 25 - Sale <sup>25</sup>
	20.000	115.79	08/19/20	120.29	...	-4.50	13 of 25 - Sale <sup>25</sup>
	30.000	173.39	08/19/20	177.51	...	-4.12	14 of 25 - Sale <sup>25</sup>
	4.000	23.16	08/19/20	27.23	...	-4.07	15 of 25 - Sale <sup>25</sup>
	30.000	173.40	08/19/20	177.21	...	-3.81	16 of 25 - Sale <sup>25</sup>
	3.000	17.37	08/19/20	20.38	...	-3.01	17 of 25 - Sale <sup>25</sup>
	5.000	28.95	08/19/20	31.74	...	-2.79	18 of 25 - Sale <sup>25</sup>
	5.000	28.95	08/19/20	31.74	...	-2.79	19 of 25 - Sale <sup>25</sup>
	3.000	17.37	08/19/20	20.10	...	-2.73	20 of 25 - Sale <sup>25</sup>
	3.000	17.37	08/19/20	20.00	...	-2.63	21 of 25 - Sale <sup>25</sup>
	2.000	11.58	08/19/20	13.36	...	-1.78	22 of 25 - Sale <sup>25</sup>
	3.000	17.37	08/19/20	19.04	...	-1.67	23 of 25 - Sale <sup>25</sup>
	10.000	57.80	08/19/20	59.07	...	-1.27	24 of 25 - Sale <sup>25</sup>
	1.000	5.79	08/19/20	6.67	...	-0.88	25 of 25 - Sale <sup>25</sup>
08/19/20	750.000	4,339.50	Various	4,543.23	...	-203.73	Total of 25 transactions

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
08/21/20	99.000	536.75	08/21/20	545.97	...	-9.22	Sale <sup>25</sup>
	4 transactions for 10/05/20. Total proceeds and cost reported to the IRS.						
	10.000	41.90	10/05/20	41.20	...	0.70	1 of 4 - Sale <sup>25</sup>
	11.000	46.09	10/05/20	45.32	...	0.77	2 of 4 - Sale <sup>25</sup>
	20.000	83.80	10/05/20	82.40	...	1.40	3 of 4 - Sale <sup>25</sup>
	109.000	456.72	10/05/20	449.08	...	7.64	4 of 4 - Sale <sup>25</sup>
10/05/20	150.000	628.51	Various	618.00	...	10.51	Total of 4 transactions
	2 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	150.000	619.64	10/06/20	619.50	...	0.14	1 of 2 - Sale <sup>25</sup>
	150.000	619.65	10/06/20	619.32	...	0.33	2 of 2 - Sale <sup>25</sup>
10/06/20	300.000	1,239.29	Various	1,238.82	...	0.47	Total of 2 transactions
	3 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	100.000	418.12	10/06/20	421.50	3.38 W	0.00	1 of 3 - Sale <sup>25</sup>
	100.000	413.10	10/06/20	416.26	3.16 W	0.00	2 of 3 - Sale <sup>25</sup>
	15.000	61.97	10/06/20	62.10	0.13 W	0.00	3 of 3 - Sale <sup>25</sup>
10/06/20	215.000	893.19	Various	899.86	6.67 W	0.00	Total of 3 transactions
	2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.						
	15.000	43.80	10/14/20	44.12	0.32 W	0.00	1 of 2 - Sale <sup>25</sup>
	9.000	26.28	10/14/20	26.47	0.19 W	0.00	2 of 2 - Sale <sup>25</sup>
10/14/20	24.000	70.08	Various	70.59	0.51 W	0.00	Total of 2 transactions
	10 transactions for 10/19/20. Total proceeds and cost reported to the IRS.						
	1.000	3.62	10/19/20	3.83	0.21 W	0.00	1 of 10 - Sale <sup>25</sup>
	15.000	54.32	10/19/20	57.26	2.94 W	0.00	2 of 10 - Sale <sup>25</sup>
	76.000	275.22	10/19/20	291.88	16.66 W	0.00	3 of 10 - Sale <sup>25</sup>
	1.000	3.62	10/19/20	3.82	0.20 W	0.00	4 of 10 - Sale <sup>25</sup>
	9.000	32.59	10/19/20	34.12	1.53 W	0.00	5 of 10 - Sale <sup>25</sup>
	13.000	47.08	10/19/20	49.29	2.21 W	0.00	6 of 10 - Sale <sup>25</sup>
	35.000	126.75	10/19/20	131.95	5.20 W	0.00	7 of 10 - Sale <sup>25</sup>
	300.000	1,086.39	10/19/20	1,131.00	44.61 W	0.00	8 of 10 - Sale <sup>25</sup>
	100.000	362.13	10/19/20	370.00	7.87 W	0.00	9 of 10 - Sale <sup>25</sup>
	150.000	543.19	10/19/20	555.00	11.81 W	0.00	10 of 10 - Sale <sup>25</sup>
10/19/20	700.000	2,534.91	Various	2,628.15	93.24 W	0.00	Total of 10 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
<i>10 transactions for 11/11/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	3.11	11/11/20	3.31	0.20 W	0.00	1 of 10 - Sale <sup>25</sup>
	1.000	3.11	11/11/20	3.30	0.19 W	0.00	2 of 10 - Sale <sup>25</sup>
	9.000	27.99	11/11/20	29.43	1.44 W	0.00	3 of 10 - Sale <sup>25</sup>
	13.000	40.43	11/11/20	42.51	2.08 W	0.00	4 of 10 - Sale <sup>25</sup>
	15.000	46.65	11/11/20	49.44	2.79 W	0.00	5 of 10 - Sale <sup>25</sup>
	35.000	108.84	11/11/20	113.70	4.86 W	0.00	6 of 10 - Sale <sup>25</sup>
	50.000	155.49	11/11/20	162.44	6.95 W	0.00	7 of 10 - Sale <sup>25</sup>
	76.000	236.34	11/11/20	252.26	15.92 W	0.00	8 of 10 - Sale <sup>25</sup>
	200.000	621.96	11/11/20	649.74	27.78 W	0.00	9 of 10 - Sale <sup>25</sup>
	37.000	115.06	11/11/20	120.20	5.14 W	0.00	10 of 10 - Sale <sup>25</sup>
11/11/20	437.000	1,358.98	Various	1,426.33	67.35 W	0.00	Total of 10 transactions
<i>11 transactions for 11/12/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	2.97	11/12/20	3.20	0.23 W	0.00	1 of 11 - Sale <sup>25</sup>
	1.000	2.97	11/12/20	3.19	0.22 W	0.00	2 of 11 - Sale <sup>25</sup>
	9.000	26.73	11/12/20	28.44	1.71 W	0.00	3 of 11 - Sale <sup>25</sup>
	13.000	38.61	11/12/20	40.93	2.32 W	0.00	4 of 11 - Sale <sup>25</sup>
	13.000	38.61	11/12/20	41.08	2.47 W	0.00	5 of 11 - Sale <sup>25</sup>
	15.000	44.55	11/12/20	47.79	3.24 W	0.00	6 of 11 - Sale <sup>25</sup>
	35.000	103.94	11/12/20	109.86	5.92 W	0.00	7 of 11 - Sale <sup>25</sup>
	37.000	109.88	11/12/20	116.14	6.26 W	0.00	8 of 11 - Sale <sup>25</sup>
	76.000	225.71	11/12/20	243.92	18.21 W	0.00	9 of 11 - Sale <sup>25</sup>
	100.000	296.98	11/12/20	307.87	10.89 W	0.00	10 of 11 - Sale <sup>25</sup>
	150.000	445.47	11/12/20	461.81	16.34 W	0.00	11 of 11 - Sale <sup>25</sup>
11/12/20	450.000	1,336.42	Various	1,404.23	67.81 W	0.00	Total of 11 transactions
12/03/20	13.000	47.32	12/03/20	47.31	...	0.01	Sale <sup>25</sup>
<i>29 transactions for 12/03/20. Total proceeds and cost reported to the IRS.</i>							
	13.000	45.95	12/03/20	48.35	2.40 W	0.00	1 of 29 - Sale <sup>25</sup>
	187.000	661.00	12/03/20	695.43	34.43 W	0.00	2 of 29 - Sale <sup>25</sup>
	13.000	45.95	12/03/20	48.09	2.14 W	0.00	3 of 29 - Sale <sup>25</sup>
	13.000	45.95	12/03/20	48.60	2.65 W	0.00	4 of 29 - Sale <sup>25</sup>
	37.000	130.79	12/03/20	136.86	6.07 W	0.00	5 of 29 - Sale <sup>25</sup>
	37.000	130.79	12/03/20	135.75	4.96 W	0.00	6 of 29 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	37.000	130.79	12/03/20	135.75	4.96 W	0.00	7 of 29 - Sale <sup>25</sup>
	63.000	222.69	12/03/20	231.14	8.45 W	0.00	8 of 29 - Sale <sup>25</sup>
	100.000	364.00	12/03/20	365.89	1.89 W	0.00	9 of 29 - Sale <sup>25</sup>
	11.000	40.04	12/03/20	41.21	1.17 W	0.00	10 of 29 - Sale <sup>25</sup>
	76.000	276.64	12/03/20	286.49	9.85 W	0.00	11 of 29 - Sale <sup>25</sup>
	1.000	3.64	12/03/20	3.77	0.13 W	0.00	12 of 29 - Sale <sup>25</sup>
	1.000	3.64	12/03/20	3.76	0.12 W	0.00	13 of 29 - Sale <sup>25</sup>
	4.000	14.56	12/03/20	15.02	0.46 W	0.00	14 of 29 - Sale <sup>25</sup>
	9.000	32.76	12/03/20	33.57	0.81 W	0.00	15 of 29 - Sale <sup>25</sup>
	13.000	47.32	12/03/20	48.49	1.17 W	0.00	16 of 29 - Sale <sup>25</sup>
	35.000	127.40	12/03/20	129.82	2.42 W	0.00	17 of 29 - Sale <sup>25</sup>
	37.000	134.68	12/03/20	137.24	2.56 W	0.00	18 of 29 - Sale <sup>25</sup>
	13.000	47.32	12/03/20	48.42	1.10 W	0.00	19 of 29 - Sale <sup>25</sup>
	87.000	316.68	12/03/20	324.00	7.32 W	0.00	20 of 29 - Sale <sup>25</sup>
	100.000	364.00	12/03/20	371.41	7.41 W	0.00	21 of 29 - Sale <sup>25</sup>
	13.000	47.32	12/03/20	47.90	0.58 W	0.00	22 of 29 - Sale <sup>25</sup>
	13.000	47.32	12/03/20	48.41	1.09 W	0.00	23 of 29 - Sale <sup>25</sup>
	37.000	134.68	12/03/20	136.31	1.63 W	0.00	24 of 29 - Sale <sup>25</sup>
	37.000	134.68	12/03/20	135.20	0.52 W	0.00	25 of 29 - Sale <sup>25</sup>
	37.000	134.68	12/03/20	134.83	0.15 W	0.00	26 of 29 - Sale <sup>25</sup>
	63.000	229.32	12/03/20	229.58	0.26 W	0.00	27 of 29 - Sale <sup>25</sup>
	12.000	43.68	12/03/20	44.28	0.60 W	0.00	28 of 29 - Sale <sup>25</sup>
	788.000	2,868.31	12/03/20	2,907.72	39.41 W	0.00	29 of 29 - Sale <sup>25</sup>
12/03/20	1,887.000	6,826.58	Various	6,973.29	146.71 W	0.00	Total of 29 transactions
43 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	100.000	323.48	12/14/20	352.89	...	-29.41	1 of 43 - Sale <sup>25</sup>
	57.000	184.38	12/14/20	201.71	...	-17.33	2 of 43 - Sale <sup>25</sup>
	85.000	275.48	12/14/20	292.74	...	-17.26	3 of 43 - Sale <sup>25</sup>
	73.000	236.59	12/14/20	251.41	...	-14.82	4 of 43 - Sale <sup>25</sup>
	39.000	126.40	12/14/20	140.02	...	-13.62	5 of 43 - Sale <sup>25</sup>
	63.000	203.80	12/14/20	215.62	...	-11.82	6 of 43 - Sale <sup>25</sup>
	37.000	119.69	12/14/20	129.98	...	-10.29	7 of 43 - Sale <sup>25</sup>
	52.000	168.53	12/14/20	178.05	...	-9.52	8 of 43 - Sale <sup>25</sup>
	50.000	161.75	12/14/20	171.13	...	-9.38	9 of 43 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	50.000	161.75	12/14/20	171.13	...	-9.38	10 of 43 - Sale <sup>25</sup>
	30.000	97.04	12/14/20	106.17	...	-9.13	11 of 43 - Sale <sup>25</sup>
	27.000	87.34	12/14/20	95.56	...	-8.22	12 of 43 - Sale <sup>25</sup>
	37.000	119.69	12/14/20	126.64	...	-6.95	13 of 43 - Sale <sup>25</sup>
	24.000	77.64	12/14/20	84.32	...	-6.68	14 of 43 - Sale <sup>25</sup>
	28.000	90.75	12/14/20	97.14	...	-6.39	15 of 43 - Sale <sup>25</sup>
	24.000	77.79	12/14/20	83.62	...	-5.83	16 of 43 - Sale <sup>25</sup>
	25.000	81.03	12/14/20	86.74	...	-5.71	17 of 43 - Sale <sup>25</sup>
	15.000	48.62	12/14/20	54.29	...	-5.67	18 of 43 - Sale <sup>25</sup>
	27.000	87.51	12/14/20	93.00	...	-5.49	19 of 43 - Sale <sup>25</sup>
	24.000	77.78	12/14/20	83.14	...	-5.36	20 of 43 - Sale <sup>25</sup>
	27.000	87.34	12/14/20	92.41	...	-5.07	21 of 43 - Sale <sup>25</sup>
	25.000	81.03	12/14/20	85.60	...	-4.57	22 of 43 - Sale <sup>25</sup>
	13.000	42.05	12/14/20	46.01	...	-3.96	23 of 43 - Sale <sup>25</sup>
	11.000	35.65	12/14/20	39.56	...	-3.91	24 of 43 - Sale <sup>25</sup>
	11.000	35.65	12/14/20	39.33	...	-3.68	25 of 43 - Sale <sup>25</sup>
	10.000	32.41	12/14/20	35.80	...	-3.39	26 of 43 - Sale <sup>25</sup>
	10.000	32.35	12/14/20	35.38	...	-3.03	27 of 43 - Sale <sup>25</sup>
	13.000	42.13	12/14/20	45.10	...	-2.97	28 of 43 - Sale <sup>25</sup>
	9.000	29.17	12/14/20	32.02	...	-2.85	29 of 43 - Sale <sup>25</sup>
	13.000	42.05	12/14/20	44.62	...	-2.57	30 of 43 - Sale <sup>25</sup>
	10.000	32.41	12/14/20	34.69	...	-2.28	31 of 43 - Sale <sup>25</sup>
	12.000	38.89	12/14/20	41.09	...	-2.20	32 of 43 - Sale <sup>25</sup>
	10.000	32.35	12/14/20	34.23	...	-1.88	33 of 43 - Sale <sup>25</sup>
	4.000	12.76	12/14/20	13.96	...	-1.20	34 of 43 - Sale <sup>25</sup>
	6.000	19.41	12/14/20	20.48	...	-1.07	35 of 43 - Sale <sup>25</sup>
	6.000	19.41	12/14/20	20.48	...	-1.07	36 of 43 - Sale <sup>25</sup>
	2.000	6.48	12/14/20	7.21	...	-0.73	37 of 43 - Sale <sup>25</sup>
	2.000	6.48	12/14/20	7.17	...	-0.69	38 of 43 - Sale <sup>25</sup>
	2.000	6.47	12/14/20	7.12	...	-0.65	39 of 43 - Sale <sup>25</sup>
	1.000	3.24	12/14/20	3.61	...	-0.37	40 of 43 - Sale <sup>25</sup>
	1.000	3.24	12/14/20	3.60	...	-0.36	41 of 43 - Sale <sup>25</sup>
	1.000	3.24	12/14/20	3.59	...	-0.35	42 of 43 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
12/14/20	1.000	3.23	12/14/20	3.41	...	-0.18	43 of 43 - Sale <sup>25</sup>
	1,067.000	3,454.48	Various	3,711.77	...	-257.29	Total of 43 transactions
	123 transactions for 12/14/20. Total proceeds and cost reported to the IRS.						
	50.000	194.24	12/11/20	203.48	9.24 W	0.00	1 of 123 - Sale <sup>25</sup>
	100.000	388.48	12/11/20	395.89	7.41 W	0.00	2 of 123 - Sale <sup>25</sup>
	1.000	3.88	12/11/20	4.07	0.19 W	0.00	3 of 123 - Sale <sup>25</sup>
	1.000	3.88	12/11/20	4.06	0.18 W	0.00	4 of 123 - Sale <sup>25</sup>
	1.000	3.89	12/11/20	4.05	0.16 W	0.00	5 of 123 - Sale <sup>25</sup>
	4.000	15.54	12/11/20	16.18	0.64 W	0.00	6 of 123 - Sale <sup>25</sup>
	7.000	27.20	12/11/20	28.14	0.94 W	0.00	7 of 123 - Sale <sup>25</sup>
	11.000	42.73	12/11/20	44.40	1.67 W	0.00	8 of 123 - Sale <sup>25</sup>
	13.000	50.50	12/11/20	52.26	1.76 W	0.00	9 of 123 - Sale <sup>25</sup>
	25.000	97.12	12/11/20	101.49	4.37 W	0.00	10 of 123 - Sale <sup>25</sup>
	2.000	6.48	12/14/20	6.73	0.25 W	0.00	11 of 123 - Sale <sup>25</sup>
	1.000	3.24	12/14/20	3.34	0.10 W	0.00	12 of 123 - Sale <sup>25</sup>
	13.000	42.12	12/14/20	43.68	1.56 W	0.00	13 of 123 - Sale <sup>25</sup>
	34.000	110.15	12/14/20	113.71	3.56 W	0.00	14 of 123 - Sale <sup>25</sup>
	37.000	119.87	12/14/20	123.73	3.86 W	0.00	15 of 123 - Sale <sup>25</sup>
	76.000	246.23	12/14/20	254.53	8.30 W	0.00	16 of 123 - Sale <sup>25</sup>
	87.000	281.86	12/14/20	292.24	10.38 W	0.00	17 of 123 - Sale <sup>25</sup>
	13.000	42.12	12/14/20	44.24	2.12 W	0.00	18 of 123 - Sale <sup>25</sup>
	13.000	42.12	12/14/20	44.75	2.63 W	0.00	19 of 123 - Sale <sup>25</sup>
	24.000	77.76	12/14/20	82.38	4.62 W	0.00	20 of 123 - Sale <sup>25</sup>
	37.000	119.87	12/14/20	125.89	6.02 W	0.00	21 of 123 - Sale <sup>25</sup>
	37.000	119.87	12/14/20	124.78	4.91 W	0.00	22 of 123 - Sale <sup>25</sup>
	29.000	93.95	12/14/20	97.52	3.57 W	0.00	23 of 123 - Sale <sup>25</sup>
	12.000	38.88	12/14/20	40.90	2.02 W	0.00	24 of 123 - Sale <sup>25</sup>
	37.000	119.87	12/14/20	124.41	4.54 W	0.00	25 of 123 - Sale <sup>25</sup>
	34.000	110.15	12/14/20	114.33	4.18 W	0.00	26 of 123 - Sale <sup>25</sup>
	317.000	1,027.02	12/14/20	1,080.51	53.49 W	0.00	27 of 123 - Sale <sup>25</sup>
	200.000	647.96	12/14/20	681.71	33.75 W	0.00	28 of 123 - Sale <sup>25</sup>
	85.000	275.38	12/14/20	289.72	14.34 W	0.00	29 of 123 - Sale <sup>25</sup>
	162.000	524.84	12/14/20	552.19	27.35 W	0.00	30 of 123 - Sale <sup>25</sup>
	53.000	171.71	12/14/20	183.07	11.36 W	0.00	31 of 123 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
23.000		74.52	12/14/20	79.44	4.92 W	0.00	32 of 123 - Sale <sup>25</sup>
24.000		77.75	12/14/20	82.32	4.57 W	0.00	33 of 123 - Sale <sup>25</sup>
11.000		35.64	12/14/20	39.16	3.52 W	0.00	34 of 123 - Sale <sup>25</sup>
24.000		77.76	12/14/20	82.78	5.02 W	0.00	35 of 123 - Sale <sup>25</sup>
1.000		3.24	12/14/20	3.58	0.34 W	0.00	36 of 123 - Sale <sup>25</sup>
1.000		3.24	12/14/20	3.57	0.33 W	0.00	37 of 123 - Sale <sup>25</sup>
1.000		3.24	12/14/20	3.55	0.31 W	0.00	38 of 123 - Sale <sup>25</sup>
4.000		12.96	12/14/20	14.20	1.24 W	0.00	39 of 123 - Sale <sup>25</sup>
7.000		22.68	12/14/20	24.67	1.99 W	0.00	40 of 123 - Sale <sup>25</sup>
11.000		35.64	12/14/20	38.96	3.32 W	0.00	41 of 123 - Sale <sup>25</sup>
13.000		42.12	12/14/20	45.83	3.71 W	0.00	42 of 123 - Sale <sup>25</sup>
25.000		80.99	12/14/20	89.12	8.13 W	0.00	43 of 123 - Sale <sup>25</sup>
39.000		126.35	12/14/20	139.42	13.07 W	0.00	44 of 123 - Sale <sup>25</sup>
1.000		3.24	12/14/20	3.49	0.25 W	0.00	45 of 123 - Sale <sup>25</sup>
2.000		6.48	12/14/20	7.03	0.55 W	0.00	46 of 123 - Sale <sup>25</sup>
4.000		12.96	12/14/20	14.04	1.08 W	0.00	47 of 123 - Sale <sup>25</sup>
34.000		110.15	12/14/20	118.82	8.67 W	0.00	48 of 123 - Sale <sup>25</sup>
37.000		119.87	12/14/20	129.29	9.42 W	0.00	49 of 123 - Sale <sup>25</sup>
9.000		29.16	12/14/20	31.59	2.43 W	0.00	50 of 123 - Sale <sup>25</sup>
61.000		197.63	12/14/20	214.07	16.44 W	0.00	51 of 123 - Sale <sup>25</sup>
26.000		84.23	12/14/20	91.24	7.01 W	0.00	52 of 123 - Sale <sup>25</sup>
39.000		126.35	12/14/20	136.47	10.12 W	0.00	53 of 123 - Sale <sup>25</sup>
13.000		42.12	12/14/20	46.19	4.07 W	0.00	54 of 123 - Sale <sup>25</sup>
24.000		77.76	12/14/20	85.98	8.22 W	0.00	55 of 123 - Sale <sup>25</sup>
26.000		84.23	12/14/20	92.37	8.14 W	0.00	56 of 123 - Sale <sup>25</sup>
37.000		119.88	12/14/20	129.47	9.59 W	0.00	57 of 123 - Sale <sup>25</sup>
11.000		35.64	12/14/20	38.75	3.11 W	0.00	58 of 123 - Sale <sup>25</sup>
13.000		42.12	12/14/20	46.70	4.58 W	0.00	59 of 123 - Sale <sup>25</sup>
11.000		35.64	12/14/20	39.08	3.44 W	0.00	60 of 123 - Sale <sup>25</sup>
5.000		16.20	12/14/20	17.61	1.41 W	0.00	61 of 123 - Sale <sup>25</sup>
10.000		32.40	12/14/20	35.13	2.73 W	0.00	62 of 123 - Sale <sup>25</sup>
21.000		68.04	12/14/20	73.98	5.94 W	0.00	63 of 123 - Sale <sup>25</sup>
29.000		93.95	12/14/20	101.88	7.93 W	0.00	64 of 123 - Sale <sup>25</sup>
12.000		38.88	12/14/20	42.58	3.70 W	0.00	65 of 123 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	24.000	77.75	12/14/20	84.07	6.32 W	0.00	66 of 123 - Sale <sup>25</sup>
	27.000	87.47	12/14/20	95.82	8.35 W	0.00	67 of 123 - Sale <sup>25</sup>
	37.000	119.87	12/14/20	129.60	9.73 W	0.00	68 of 123 - Sale <sup>25</sup>
	70.000	226.79	12/14/20	248.41	21.62 W	0.00	69 of 123 - Sale <sup>25</sup>
	30.000	97.19	12/14/20	106.46	9.27 W	0.00	70 of 123 - Sale <sup>25</sup>
	100.000	323.98	12/14/20	353.87	29.89 W	0.00	71 of 123 - Sale <sup>25</sup>
	90.000	291.14	12/14/20	309.37	18.23 W	0.00	72 of 123 - Sale <sup>25</sup>
	10.000	32.35	12/14/20	34.38	2.03 W	0.00	73 of 123 - Sale <sup>25</sup>
	100.000	323.49	12/14/20	343.75	20.26 W	0.00	74 of 123 - Sale <sup>25</sup>
	50.000	161.74	12/14/20	171.87	10.13 W	0.00	75 of 123 - Sale <sup>25</sup>
	6.000	19.41	12/14/20	20.57	1.16 W	0.00	76 of 123 - Sale <sup>25</sup>
	6.000	19.41	12/14/20	20.57	1.16 W	0.00	77 of 123 - Sale <sup>25</sup>
	28.000	90.57	12/14/20	96.01	5.44 W	0.00	78 of 123 - Sale <sup>25</sup>
	85.000	274.95	12/14/20	291.44	16.49 W	0.00	79 of 123 - Sale <sup>25</sup>
	125.000	404.35	12/14/20	428.60	24.25 W	0.00	80 of 123 - Sale <sup>25</sup>
	12.000	38.82	12/14/20	41.15	2.33 W	0.00	81 of 123 - Sale <sup>25</sup>
	25.000	80.87	12/14/20	86.86	5.99 W	0.00	82 of 123 - Sale <sup>25</sup>
	25.000	80.87	12/14/20	85.72	4.85 W	0.00	83 of 123 - Sale <sup>25</sup>
	10.000	32.35	12/14/20	34.74	2.39 W	0.00	84 of 123 - Sale <sup>25</sup>
	28.000	90.58	12/14/20	97.28	6.70 W	0.00	85 of 123 - Sale <sup>25</sup>
	1.000	3.23	12/14/20	3.57	0.34 W	0.00	86 of 123 - Sale <sup>25</sup>
	1.000	3.23	12/14/20	3.55	0.32 W	0.00	87 of 123 - Sale <sup>25</sup>
	2.000	6.47	12/14/20	7.10	0.63 W	0.00	88 of 123 - Sale <sup>25</sup>
	7.000	22.64	12/14/20	24.67	2.03 W	0.00	89 of 123 - Sale <sup>25</sup>
	13.000	42.06	12/14/20	45.83	3.77 W	0.00	90 of 123 - Sale <sup>25</sup>
	1.000	3.24	12/14/20	3.49	0.25 W	0.00	91 of 123 - Sale <sup>25</sup>
	2.000	6.47	12/14/20	7.03	0.56 W	0.00	92 of 123 - Sale <sup>25</sup>
	23.000	74.40	12/14/20	80.39	5.99 W	0.00	93 of 123 - Sale <sup>25</sup>
	1.000	3.23	12/14/20	3.60	0.37 W	0.00	94 of 123 - Sale <sup>25</sup>
	2.000	6.47	12/14/20	7.14	0.67 W	0.00	95 of 123 - Sale <sup>25</sup>
	11.000	35.58	12/14/20	39.38	3.80 W	0.00	96 of 123 - Sale <sup>25</sup>
	11.000	35.58	12/14/20	39.18	3.60 W	0.00	97 of 123 - Sale <sup>25</sup>
	13.000	42.05	12/14/20	45.16	3.11 W	0.00	98 of 123 - Sale <sup>25</sup>
	24.000	77.64	12/14/20	83.26	5.62 W	0.00	99 of 123 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	25.000	80.87	12/14/20	89.63	8.76 W	0.00	100 of 123 - Sale <sup>25</sup>
	39.000	126.16	12/14/20	140.21	14.05 W	0.00	101 of 123 - Sale <sup>25</sup>
	24.000	77.64	12/14/20	82.81	5.17 W	0.00	102 of 123 - Sale <sup>25</sup>
	4.000	12.94	12/14/20	14.04	1.10 W	0.00	103 of 123 - Sale <sup>25</sup>
	9.000	29.12	12/14/20	31.59	2.47 W	0.00	104 of 123 - Sale <sup>25</sup>
	11.000	35.58	12/14/20	38.44	2.86 W	0.00	105 of 123 - Sale <sup>25</sup>
	37.000	119.69	12/14/20	129.30	9.61 W	0.00	106 of 123 - Sale <sup>25</sup>
	39.000	126.16	12/14/20	136.87	10.71 W	0.00	107 of 123 - Sale <sup>25</sup>
	13.000	42.05	12/14/20	45.49	3.44 W	0.00	108 of 123 - Sale <sup>25</sup>
	26.000	84.11	12/14/20	91.25	7.14 W	0.00	109 of 123 - Sale <sup>25</sup>
	39.000	126.16	12/14/20	136.48	10.32 W	0.00	110 of 123 - Sale <sup>25</sup>
	22.000	71.17	12/14/20	77.21	6.04 W	0.00	111 of 123 - Sale <sup>25</sup>
	11.000	35.58	12/14/20	39.19	3.61 W	0.00	112 of 123 - Sale <sup>25</sup>
	13.000	42.05	12/14/20	46.32	4.27 W	0.00	113 of 123 - Sale <sup>25</sup>
	2.000	6.47	12/14/20	7.20	0.73 W	0.00	114 of 123 - Sale <sup>25</sup>
	24.000	77.64	12/14/20	84.22	6.58 W	0.00	115 of 123 - Sale <sup>25</sup>
	24.000	77.64	12/14/20	86.22	8.58 W	0.00	116 of 123 - Sale <sup>25</sup>
	26.000	84.11	12/14/20	92.64	8.53 W	0.00	117 of 123 - Sale <sup>25</sup>
	5.000	16.17	12/14/20	17.66	1.49 W	0.00	118 of 123 - Sale <sup>25</sup>
	10.000	32.35	12/14/20	35.23	2.88 W	0.00	119 of 123 - Sale <sup>25</sup>
	11.000	35.58	12/14/20	38.86	3.28 W	0.00	120 of 123 - Sale <sup>25</sup>
	11.000	35.58	12/14/20	39.63	4.05 W	0.00	121 of 123 - Sale <sup>25</sup>
	21.000	67.93	12/14/20	74.19	6.26 W	0.00	122 of 123 - Sale <sup>25</sup>
	29.000	93.81	12/14/20	102.18	8.37 W	0.00	123 of 123 - Sale <sup>25</sup>
12/14/20	3,600.000	11,794.77	Various	12,571.49	776.72 W	0.00	Total of 123 transactions
	9 transactions for 12/15/20. Total proceeds and cost reported to the IRS.						
	26.000	74.39	12/15/20	79.03	...	-4.64	1 of 9 - Sale <sup>25</sup>
	14.000	40.06	12/15/20	42.85	...	-2.79	2 of 9 - Sale <sup>25</sup>
	8.000	22.89	12/15/20	25.08	...	-2.19	3 of 9 - Sale <sup>25</sup>
	7.000	20.03	12/15/20	22.16	...	-2.13	4 of 9 - Sale <sup>25</sup>
	7.000	20.03	12/15/20	21.42	...	-1.39	5 of 9 - Sale <sup>25</sup>
	4.000	11.44	12/15/20	12.16	...	-0.72	6 of 9 - Sale <sup>25</sup>
	2.000	5.72	12/15/20	6.31	...	-0.59	7 of 9 - Sale <sup>25</sup>
	1.000	2.86	12/15/20	3.12	...	-0.26	8 of 9 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
	1.000	2.86	12/15/20	3.12	...	-0.26	9 of 9 - Sale <sup>25</sup>
12/15/20	70.000	200.28	Various	215.25	...	-14.97	Total of 9 transactions
	<b>Security total:</b>	<b>50,616.72</b>		<b>53,052.12</b>	<b>2,136.54 W</b>	<b>-298.86</b>	
ANGI HOMESERVICES INC. CLASS A COMMON STOCK / CUSIP: 00183L102 / Symbol:							
05/12/20	10.000	92.62	05/11/20	82.80	...	9.82	Sale <sup>25</sup>
ABBOTT LABORATORIES / CUSIP: 002824100 / Symbol:							
04/03/20	7.000	554.77	04/02/20	550.85	...	3.92	Sale <sup>25</sup>
04/09/20	10.000	832.48	04/08/20	816.70	...	15.78	Sale <sup>25</sup>
	5 transactions for 04/16/20. Total proceeds and cost reported to the IRS.						
	1.000	96.22	04/15/20	90.14	...	6.08	1 of 5 - Sale <sup>25</sup>
	4.000	385.12	04/15/20	364.90	...	20.22	2 of 5 - Sale <sup>25</sup>
	4.000	385.00	04/15/20	360.56	...	24.44	3 of 5 - Sale <sup>25</sup>
	5.000	481.10	04/15/20	450.70	...	30.40	4 of 5 - Sale <sup>25</sup>
	6.000	577.78	04/15/20	547.36	...	30.42	5 of 5 - Sale <sup>25</sup>
04/16/20	20.000	1,925.22	Various	1,813.66	...	111.56	Total of 5 transactions
05/06/20	10.000	922.17	05/05/20	916.78	...	5.39	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,234.64</b>		<b>4,097.99</b>	<b>...</b>	<b>136.65</b>	
ABEONA THERAPEUTICS INC. COMMO N STOCK / CUSIP: 00289Y107 / Symbol:							
03/18/20	150.000	296.98	03/17/20	328.28	...	-31.30	Sale <sup>25</sup>
ACASTI PHARMA, INC. CLASS A CO MMON STOCK / CUSIP: 00430K402 / Symbol:							
	23 transactions for 07/31/20. Total proceeds and cost reported to the IRS.						
	627.000	462.78	07/31/20	495.67	...	-32.89	1 of 23 - Sale <sup>25</sup>
	490.000	364.98	07/31/20	391.36	...	-26.38	2 of 23 - Sale <sup>25</sup>
	241.000	179.51	07/31/20	190.63	...	-11.12	3 of 23 - Sale <sup>25</sup>
	350.000	260.71	07/31/20	269.55	...	-8.84	4 of 23 - Sale <sup>25</sup>
	200.000	148.98	07/31/20	155.54	...	-6.56	5 of 23 - Sale <sup>25</sup>
	200.000	148.98	07/31/20	155.53	...	-6.55	6 of 23 - Sale <sup>25</sup>
	100.000	74.49	07/31/20	79.86	...	-5.37	7 of 23 - Sale <sup>25</sup>
	200.000	148.98	07/31/20	154.03	...	-5.05	8 of 23 - Sale <sup>25</sup>
	200.000	148.98	07/31/20	154.03	...	-5.05	9 of 23 - Sale <sup>25</sup>
	150.000	111.73	07/31/20	116.65	...	-4.92	10 of 23 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

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ACASTI PHARMA, INC. CLASS A CO MMON STOCK / CUSIP: 00430K402 / Symbol: (cont'd)							
	100.000	74.49	07/31/20	77.77	...	-3.28	11 of 23 - Sale <sup>25</sup>
	100.000	74.49	07/31/20	77.77	...	-3.28	12 of 23 - Sale <sup>25</sup>
	100.000	74.49	07/31/20	77.77	...	-3.28	13 of 23 - Sale <sup>25</sup>
	50.000	37.24	07/31/20	38.88	...	-1.64	14 of 23 - Sale <sup>25</sup>
	50.000	37.01	07/31/20	38.28	...	-1.27	15 of 23 - Sale <sup>25</sup>
	10.000	7.45	07/31/20	7.98	...	-0.53	16 of 23 - Sale <sup>25</sup>
	9.000	6.70	07/31/20	7.13	...	-0.43	17 of 23 - Sale <sup>25</sup>
	100.000	77.67	07/31/20	74.50	...	3.17	18 of 23 - Sale <sup>25</sup>
	200.000	155.33	07/31/20	150.00	...	5.33	19 of 23 - Sale <sup>25</sup>
	400.000	311.94	07/31/20	297.96	...	13.98	20 of 23 - Sale <sup>25</sup>
	800.000	621.32	07/31/20	600.00	...	21.32	21 of 23 - Sale <sup>25</sup>
	1,000.000	779.86	07/31/20	750.00	...	29.86	22 of 23 - Sale <sup>25</sup>
	1,000.000	779.86	07/31/20	749.90	...	29.96	23 of 23 - Sale <sup>25</sup>
07/31/20	6,677.000	5,087.97	Various	5,110.79	...	-22.82	Total of 23 transactions
	21 transactions for 07/31/20. Total proceeds and cost reported to the IRS.						
	973.000	719.88	07/31/20	769.19	17.74 W	-31.57	1 of 21 - Sale <sup>25</sup>
	500.000	388.33	07/31/20	392.20	3.87 W	0.00	2 of 21 - Sale <sup>25</sup>
	100.000	77.67	07/31/20	78.44	0.77 W	0.00	3 of 21 - Sale <sup>25</sup>
	900.000	698.73	07/31/20	705.96	7.23 W	0.00	4 of 21 - Sale <sup>25</sup>
	10.000	7.76	07/31/20	8.05	0.29 W	0.00	5 of 21 - Sale <sup>25</sup>
	100.000	77.64	07/31/20	80.54	2.90 W	0.00	6 of 21 - Sale <sup>25</sup>
	490.000	380.42	07/31/20	394.66	14.24 W	0.00	7 of 21 - Sale <sup>25</sup>
	241.000	187.10	07/31/20	192.25	5.15 W	0.00	8 of 21 - Sale <sup>25</sup>
	1,659.000	1,287.99	07/31/20	1,323.72	35.73 W	0.00	9 of 21 - Sale <sup>25</sup>
	2,400.000	1,863.27	07/31/20	1,912.80	49.53 W	0.00	10 of 21 - Sale <sup>25</sup>
	10.000	7.40	07/31/20	7.89	0.49 W	0.00	11 of 21 - Sale <sup>25</sup>
	100.000	73.99	07/31/20	78.90	4.91 W	0.00	12 of 21 - Sale <sup>25</sup>
	241.000	178.31	07/31/20	188.31	10.00 W	0.00	13 of 21 - Sale <sup>25</sup>
	490.000	362.53	07/31/20	386.64	24.11 W	0.00	14 of 21 - Sale <sup>25</sup>
	900.000	665.87	07/31/20	691.23	25.36 W	0.00	15 of 21 - Sale <sup>25</sup>
	259.000	191.62	07/31/20	202.42	10.80 W	0.00	16 of 21 - Sale <sup>25</sup>
	10.000	7.40	07/31/20	7.91	0.51 W	0.00	17 of 21 - Sale <sup>25</sup>
	50.000	37.00	07/31/20	39.57	2.57 W	0.00	18 of 21 - Sale <sup>25</sup>
	313.000	231.58	07/31/20	247.72	16.14 W	0.00	19 of 21 - Sale <sup>25</sup>

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(continued)

03/15/2021

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ACASTI PHARMA, INC. CLASS A CO MMON STOCK / CUSIP: 00430K402 / Symbol: (cont'd)							
	1,000.000	739.86	07/31/20	791.44	51.58 W	0.00	20 of 21 - Sale <sup>25</sup>
	27.000	19.98	07/31/20	21.37	1.39 W	0.00	21 of 21 - Sale <sup>25</sup>
07/31/20	10,773.000	8,204.33	Various	8,521.21	285.31 W	-31.57	Total of 21 transactions
	9 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	377.000	301.81	08/05/20	322.93	...	-21.12	1 of 9 - Sale <sup>25</sup>
	373.000	298.61	08/05/20	318.57	...	-19.96	2 of 9 - Sale <sup>25</sup>
	350.000	280.19	08/05/20	298.62	...	-18.43	3 of 9 - Sale <sup>25</sup>
	313.000	250.57	08/05/20	268.11	...	-17.54	4 of 9 - Sale <sup>25</sup>
	250.000	200.14	08/05/20	214.14	...	-14.00	5 of 9 - Sale <sup>25</sup>
	250.000	200.14	08/05/20	211.67	...	-11.53	6 of 9 - Sale <sup>25</sup>
	50.000	40.03	08/05/20	42.82	...	-2.79	7 of 9 - Sale <sup>25</sup>
	27.000	21.62	08/05/20	23.06	...	-1.44	8 of 9 - Sale <sup>25</sup>
	10.000	8.01	08/05/20	8.56	...	-0.55	9 of 9 - Sale <sup>25</sup>
08/05/20	2,000.000	1,601.12	Various	1,708.48	...	-107.36	Total of 9 transactions
	<b>Security total:</b>	<b>14,893.42</b>		<b>15,340.48</b>	<b>285.31 W</b>	<b>-161.75</b>	
ACTIVISION BLIZZARD, INC. COMM ON STOCK / CUSIP: 00507V109 / Symbol:							
04/14/20	10.000	632.10	04/13/20	625.00	...	7.10	Sale <sup>25</sup>
	6 transactions for 08/04/20. Total proceeds and cost reported to the IRS.						
	8.000	667.98	08/04/20	700.80	...	-32.82	1 of 6 - Sale <sup>25</sup>
	7.000	584.49	08/04/20	613.55	...	-29.06	2 of 6 - Sale <sup>25</sup>
	5.000	417.49	08/04/20	440.29	...	-22.80	3 of 6 - Sale <sup>25</sup>
	2.000	167.00	08/04/20	179.77	...	-12.77	4 of 6 - Sale <sup>25</sup>
	1.000	83.50	08/04/20	89.94	...	-6.44	5 of 6 - Sale <sup>25</sup>
	1.000	83.50	08/04/20	89.93	...	-6.43	6 of 6 - Sale <sup>25</sup>
08/04/20	24.000	2,003.96	Various	2,114.28	...	-110.32	Total of 6 transactions
	2 transactions for 08/04/20. Total proceeds and cost reported to the IRS.						
	3.000	250.49	08/04/20	257.34	4.57 W	-2.28	1 of 2 - Sale <sup>25</sup>
	7.000	584.48	08/04/20	600.46	15.98 W	0.00	2 of 2 - Sale <sup>25</sup>
08/04/20	10.000	834.97	Various	857.80	20.55 W	-2.28	Total of 2 transactions
	<b>Security total:</b>	<b>3,471.03</b>		<b>3,597.08</b>	<b>20.55 W</b>	<b>-105.50</b>	

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACTINIUM PHARMACEUTICALS, INC / CUSIP: 00507W107 / Symbol:							
2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	150.000	66.22	06/23/20	72.11	...	-5.89	1 of 2 - Sale <sup>25</sup>
	100.000	44.49	06/23/20	48.07	...	-3.58	2 of 2 - Sale <sup>25</sup>
06/23/20	250.000	110.71	Various	120.18	...	-9.47	Total of 2 transactions
ADAPT IMMUNE THERAPEUTICS PLC A MERICAN DEPOSITARY SHARES / CUSIP: 00653A107 / Symbol:							
5 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	700.000	5,641.79	08/14/20	5,684.00	...	-42.21	1 of 5 - Sale <sup>25</sup>
	400.000	3,223.88	08/14/20	3,248.00	...	-24.12	2 of 5 - Sale <sup>25</sup>
	400.000	3,223.88	08/14/20	3,247.60	...	-23.72	3 of 5 - Sale <sup>25</sup>
	200.000	1,611.94	08/14/20	1,633.86	...	-21.92	4 of 5 - Sale <sup>25</sup>
	100.000	805.97	08/14/20	812.00	...	-6.03	5 of 5 - Sale <sup>25</sup>
08/14/20	1,800.000	14,507.46	Various	14,625.46	...	-118.00	Total of 5 transactions
08/14/20	200.000	1,613.94	08/14/20	1,623.80	9.86 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>16,121.40</b>		<b>16,249.26</b>	<b>9.86 W</b>	<b>-118.00</b>	
ADVAXIS, INC. COMMON STOCK / CUSIP: 007624307 / Symbol:							
07/23/20	1,500.000	929.94	07/23/20	952.50	...	-22.56	Sale <sup>25</sup>
4 transactions for 10/22/20. Total proceeds and cost reported to the IRS.							
	1,241.000	601.72	10/21/20	645.32	...	-43.60	1 of 4 - Sale <sup>25</sup>
	525.000	254.56	10/21/20	269.59	...	-15.03	2 of 4 - Sale <sup>25</sup>
	4.000	1.94	10/21/20	2.04	...	-0.10	3 of 4 - Sale <sup>25</sup>
	2.000	0.97	10/21/20	1.04	...	-0.07	4 of 4 - Sale <sup>25</sup>
10/22/20	1,772.000	859.19	Various	917.99	...	-58.80	Total of 4 transactions
	<b>Security total:</b>	<b>1,789.13</b>		<b>1,870.49</b>	...	<b>-81.36</b>	
AEROCENTURY CORPORATION / CUSIP: 007737109 / Symbol:							
4 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	84.000	138.60	04/27/20	142.80	...	-4.20	1 of 4 - Sale <sup>25</sup>
	32.000	52.80	04/27/20	54.40	...	-1.60	2 of 4 - Sale <sup>25</sup>
	7.000	11.55	04/27/20	11.90	...	-0.35	3 of 4 - Sale <sup>25</sup>
	1.000	1.65	04/27/20	1.70	...	-0.05	4 of 4 - Sale <sup>25</sup>
04/28/20	124.000	204.60	Various	210.80	...	-6.20	Total of 4 transactions

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol:							
05/12/20	10.000	549.98	05/11/20	550.08	...	-0.10	Sale <sup>25</sup>
	2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.						
	15.000	843.60	07/09/20	847.05	3.45 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	562.40	07/09/20	564.88	2.48 W	0.00	2 of 2 - Sale <sup>25</sup>
07/09/20	25.000	1,406.00	Various	1,411.93	5.93 W	0.00	Total of 2 transactions
	7 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	5.000	305.80	07/22/20	309.65	3.85 W	0.00	1 of 7 - Sale <sup>25</sup>
	5.000	305.80	07/22/20	309.52	3.72 W	0.00	2 of 7 - Sale <sup>25</sup>
	10.000	611.60	07/22/20	618.85	7.25 W	0.00	3 of 7 - Sale <sup>25</sup>
	5.000	306.54	07/22/20	309.13	2.59 W	0.00	4 of 7 - Sale <sup>25</sup>
	5.000	306.54	07/22/20	311.74	5.20 W	0.00	5 of 7 - Sale <sup>25</sup>
	5.000	306.54	07/22/20	311.61	5.07 W	0.00	6 of 7 - Sale <sup>25</sup>
	10.000	613.09	07/22/20	623.04	9.95 W	0.00	7 of 7 - Sale <sup>25</sup>
07/22/20	45.000	2,755.91	Various	2,793.54	37.63 W	0.00	Total of 7 transactions
	5 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	1.000	60.88	07/23/20	62.23	1.35 W	0.00	1 of 5 - Sale <sup>25</sup>
	4.000	243.51	07/23/20	248.91	5.40 W	0.00	2 of 5 - Sale <sup>25</sup>
	5.000	304.39	07/23/20	314.50	10.11 W	0.00	3 of 5 - Sale <sup>25</sup>
	5.000	304.39	07/23/20	314.37	9.98 W	0.00	4 of 5 - Sale <sup>25</sup>
	10.000	608.79	07/23/20	628.55	19.76 W	0.00	5 of 5 - Sale <sup>25</sup>
07/23/20	25.000	1,521.96	Various	1,568.56	46.60 W	0.00	Total of 5 transactions
	6 transactions for 07/24/20. Total proceeds and cost reported to the IRS.						
	1.000	68.50	07/24/20	70.14	1.64 W	0.00	1 of 6 - Sale <sup>25</sup>
	4.000	273.99	07/24/20	280.55	6.56 W	0.00	2 of 6 - Sale <sup>25</sup>
	5.000	342.49	07/24/20	354.05	11.56 W	0.00	3 of 6 - Sale <sup>25</sup>
	5.000	342.49	07/24/20	353.92	11.43 W	0.00	4 of 6 - Sale <sup>25</sup>
	10.000	684.99	07/24/20	707.65	22.66 W	0.00	5 of 6 - Sale <sup>25</sup>
	25.000	1,712.46	07/24/20	1,719.71	7.25 W	0.00	6 of 6 - Sale <sup>25</sup>
07/24/20	50.000	3,424.92	Various	3,486.02	61.10 W	0.00	Total of 6 transactions
	4 transactions for 07/27/20. Total proceeds and cost reported to the IRS.						
	1.000	69.16	07/24/20	70.07	0.91 W	0.00	1 of 4 - Sale <sup>25</sup>
	4.000	276.63	07/24/20	280.27	3.64 W	0.00	2 of 4 - Sale <sup>25</sup>
	5.000	345.79	07/24/20	353.70	7.91 W	0.00	3 of 4 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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**1a- Description of property/CUSIP/Symbol**

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ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol: (cont'd)							
	10.000	691.58	07/24/20	706.93	15.35 W	0.00	4 of 4 - Sale <sup>25</sup>
07/27/20	20.000	1,383.16	Various	1,410.97	27.81 W	0.00	Total of 4 transactions
	8 transactions for 07/29/20. Total proceeds and cost reported to the IRS.						
	10.000	757.23	07/29/20	779.45	...	-22.22	1 of 8 - Sale <sup>25</sup>
	10.000	757.23	07/29/20	769.15	...	-11.92	2 of 8 - Sale <sup>25</sup>
	5.000	378.62	07/29/20	389.96	...	-11.34	3 of 8 - Sale <sup>25</sup>
	10.000	757.23	07/29/20	768.35	...	-11.12	4 of 8 - Sale <sup>25</sup>
	4.000	302.89	07/29/20	309.28	...	-6.39	5 of 8 - Sale <sup>25</sup>
	5.000	378.62	07/29/20	384.40	...	-5.78	6 of 8 - Sale <sup>25</sup>
	5.000	378.62	07/29/20	383.50	...	-4.88	7 of 8 - Sale <sup>25</sup>
	1.000	75.72	07/29/20	77.32	...	-1.60	8 of 8 - Sale <sup>25</sup>
07/29/20	50.000	3,786.16	Various	3,861.41	...	-75.25	Total of 8 transactions
	2 transactions for 07/29/20. Total proceeds and cost reported to the IRS.						
	20.000	1,514.46	07/29/20	1,521.60	1.78 W	-5.36	1 of 2 - Sale <sup>25</sup>
	5.000	378.62	07/29/20	390.38	11.76 W	0.00	2 of 2 - Sale <sup>25</sup>
07/29/20	25.000	1,893.08	Various	1,911.98	13.54 W	-5.36	Total of 2 transactions
	2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	5.000	411.44	08/10/20	412.11	...	-0.67	1 of 2 - Sale <sup>25</sup>
	5.000	411.44	08/10/20	402.13	...	9.31	2 of 2 - Sale <sup>25</sup>
08/10/20	10.000	822.88	Various	814.24	...	8.64	Total of 2 transactions
	<b>Security total:</b>	<b>17,544.05</b>		<b>17,808.73</b>	<b>192.61 W</b>	<b>-72.07</b>	
AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol:							
	3 transactions for 12/21/20. Total proceeds and cost reported to the IRS.						
	35.000	172.72	12/21/20	172.23	...	0.49	1 of 3 - Sale <sup>25</sup>
	50.000	246.74	12/21/20	246.05	...	0.69	2 of 3 - Sale <sup>25</sup>
	100.000	493.48	12/21/20	492.09	...	1.39	3 of 3 - Sale <sup>25</sup>
12/21/20	185.000	912.94	Various	910.37	...	2.57	Total of 3 transactions
	20 transactions for 12/21/20. Total proceeds and cost reported to the IRS.						
	250.000	1,220.64	12/21/20	1,225.00	4.36 W	0.00	1 of 20 - Sale <sup>25</sup>
	100.000	477.91	12/21/20	496.24	18.33 W	0.00	2 of 20 - Sale <sup>25</sup>
	150.000	716.86	12/21/20	737.62	20.76 W	0.00	3 of 20 - Sale <sup>25</sup>
	30.000	143.37	12/21/20	147.00	3.63 W	0.00	4 of 20 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

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AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol: (cont'd)							
	70.000	334.54	12/21/20	343.00	8.46 W	0.00	5 of 20 - Sale <sup>25</sup>
	250.000	1,194.77	12/21/20	1,212.50	17.73 W	0.00	6 of 20 - Sale <sup>25</sup>
	1.000	4.78	12/21/20	4.80	0.02 W	0.00	7 of 20 - Sale <sup>25</sup>
	249.000	1,189.99	12/21/20	1,195.20	5.21 W	0.00	8 of 20 - Sale <sup>25</sup>
	1.000	4.77	12/21/20	4.91	0.14 W	0.00	9 of 20 - Sale <sup>25</sup>
	35.000	172.37	12/21/20	176.17	3.80 W	0.00	10 of 20 - Sale <sup>25</sup>
	35.000	172.37	12/21/20	177.91	5.54 W	0.00	11 of 20 - Sale <sup>25</sup>
	35.000	172.72	12/21/20	176.34	3.62 W	0.00	12 of 20 - Sale <sup>25</sup>
	30.000	148.04	12/21/20	152.50	4.46 W	0.00	13 of 20 - Sale <sup>25</sup>
	21.000	103.63	12/21/20	105.81	2.18 W	0.00	14 of 20 - Sale <sup>25</sup>
	50.000	246.74	12/21/20	251.92	5.18 W	0.00	15 of 20 - Sale <sup>25</sup>
	5.000	24.67	12/21/20	25.10	0.43 W	0.00	16 of 20 - Sale <sup>25</sup>
	30.000	148.04	12/21/20	150.63	2.59 W	0.00	17 of 20 - Sale <sup>25</sup>
	44.000	217.13	12/21/20	221.69	4.56 W	0.00	18 of 20 - Sale <sup>25</sup>
	65.000	320.76	12/21/20	323.11	2.35 W	0.00	19 of 20 - Sale <sup>25</sup>
	35.000	172.71	12/21/20	173.28	0.57 W	0.00	20 of 20 - Sale <sup>25</sup>
12/21/20	1,486.000	7,186.81	Various	7,300.73	113.92 W	0.00	Total of 20 transactions
	6 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	1.000	6.34	12/22/20	6.34	...	0.00	1 of 6 - Sale <sup>25</sup>
	14.000	88.76	12/22/20	88.75	...	0.01	2 of 6 - Sale <sup>25</sup>
	5.000	31.80	12/22/20	31.69	...	0.11	3 of 6 - Sale <sup>25</sup>
	2.000	12.72	12/22/20	11.84	...	0.88	4 of 6 - Sale <sup>25</sup>
	50.000	299.99	12/22/20	296.05	...	3.94	5 of 6 - Sale <sup>25</sup>
	100.000	599.97	12/22/20	587.09	...	12.88	6 of 6 - Sale <sup>25</sup>
12/22/20	172.000	1,039.58	Various	1,021.76	...	17.82	Total of 6 transactions
12/22/20	30.000	190.20	12/22/20	191.68	1.48 W	0.00	Sale <sup>25</sup>
	6 transactions for 12/23/20. Total proceeds and cost reported to the IRS.						
	50.000	368.98	12/22/20	331.04	...	37.94	1 of 6 - Sale <sup>25</sup>
	15.000	127.35	12/23/20	127.35	...	0.00	2 of 6 - Sale <sup>25</sup>
	30.000	254.69	12/23/20	254.69	...	0.00	3 of 6 - Sale <sup>25</sup>
	1.000	8.49	12/23/20	8.47	...	0.02	4 of 6 - Sale <sup>25</sup>
	1.000	8.49	12/23/20	8.24	...	0.25	5 of 6 - Sale <sup>25</sup>
	1.000	8.21	12/23/20	7.79	...	0.42	6 of 6 - Sale <sup>25</sup>
12/23/20	98.000	776.21	Various	737.58	...	38.63	Total of 6 transactions

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(continued)

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AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol: (cont'd)							
56 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
1.000		7.38	12/23/20	7.82	0.44 W	0.00	1 of 56 - Sale <sup>25</sup>
21.000		154.97	12/23/20	163.56	8.59 W	0.00	2 of 56 - Sale <sup>25</sup>
28.000		206.63	12/23/20	215.63	9.00 W	0.00	3 of 56 - Sale <sup>25</sup>
14.000		103.32	12/23/20	111.70	8.38 W	0.00	4 of 56 - Sale <sup>25</sup>
21.000		154.97	12/23/20	168.59	13.62 W	0.00	5 of 56 - Sale <sup>25</sup>
14.000		103.32	12/23/20	109.88	6.56 W	0.00	6 of 56 - Sale <sup>25</sup>
11.000		81.18	12/23/20	85.73	4.55 W	0.00	7 of 56 - Sale <sup>25</sup>
30.000		221.39	12/23/20	235.16	13.77 W	0.00	8 of 56 - Sale <sup>25</sup>
9.000		67.32	12/23/20	70.14	2.82 W	0.00	9 of 56 - Sale <sup>25</sup>
14.000		114.99	12/23/20	117.58	2.59 W	0.00	10 of 56 - Sale <sup>25</sup>
14.000		114.99	12/23/20	117.58	2.59 W	0.00	11 of 56 - Sale <sup>25</sup>
21.000		172.49	12/23/20	176.38	3.89 W	0.00	12 of 56 - Sale <sup>25</sup>
20.000		164.28	12/23/20	165.73	1.45 W	0.00	13 of 56 - Sale <sup>25</sup>
30.000		246.42	12/23/20	249.11	2.69 W	0.00	14 of 56 - Sale <sup>25</sup>
14.000		115.00	12/23/20	116.95	1.95 W	0.00	15 of 56 - Sale <sup>25</sup>
36.000		295.70	12/23/20	300.73	5.03 W	0.00	16 of 56 - Sale <sup>25</sup>
5.000		41.07	12/23/20	41.53	0.46 W	0.00	17 of 56 - Sale <sup>25</sup>
10.000		82.14	12/23/20	83.06	0.92 W	0.00	18 of 56 - Sale <sup>25</sup>
19.000		156.07	12/23/20	156.49	0.42 W	0.00	19 of 56 - Sale <sup>25</sup>
65.000		533.90	12/23/20	536.65	2.75 W	0.00	20 of 56 - Sale <sup>25</sup>
4.000		33.96	12/23/20	35.19	1.23 W	0.00	21 of 56 - Sale <sup>25</sup>
30.000		254.69	12/23/20	255.69	1.00 W	0.00	22 of 56 - Sale <sup>25</sup>
1.000		8.49	12/23/20	9.04	0.55 W	0.00	23 of 56 - Sale <sup>25</sup>
4.000		33.96	12/23/20	36.79	2.83 W	0.00	24 of 56 - Sale <sup>25</sup>
21.000		178.28	12/23/20	189.19	10.91 W	0.00	25 of 56 - Sale <sup>25</sup>
24.000		203.75	12/23/20	214.11	10.36 W	0.00	26 of 56 - Sale <sup>25</sup>
5.000		42.45	12/23/20	45.05	2.60 W	0.00	27 of 56 - Sale <sup>25</sup>
10.000		84.90	12/23/20	91.49	6.59 W	0.00	28 of 56 - Sale <sup>25</sup>
14.000		118.86	12/23/20	126.26	7.40 W	0.00	29 of 56 - Sale <sup>25</sup>
21.000		178.28	12/23/20	193.17	14.89 W	0.00	30 of 56 - Sale <sup>25</sup>
25.000		212.24	12/23/20	224.85	12.61 W	0.00	31 of 56 - Sale <sup>25</sup>
9.000		76.41	12/23/20	79.32	2.91 W	0.00	32 of 56 - Sale <sup>25</sup>
11.000		93.39	12/23/20	98.05	4.66 W	0.00	33 of 56 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol: (cont'd)							
	14.000	118.86	12/23/20	121.59	2.73 W	0.00	34 of 56 - Sale <sup>25</sup>
	16.000	135.83	12/23/20	138.96	3.13 W	0.00	35 of 56 - Sale <sup>25</sup>
	5.000	42.45	12/23/20	43.18	0.73 W	0.00	36 of 56 - Sale <sup>25</sup>
	14.000	118.86	12/23/20	120.89	2.03 W	0.00	37 of 56 - Sale <sup>25</sup>
	31.000	263.18	12/23/20	266.28	3.10 W	0.00	38 of 56 - Sale <sup>25</sup>
	5.000	42.45	12/23/20	42.70	0.25 W	0.00	39 of 56 - Sale <sup>25</sup>
	14.000	118.85	12/23/20	119.55	0.70 W	0.00	40 of 56 - Sale <sup>25</sup>
	5.000	42.45	12/23/20	42.71	0.26 W	0.00	41 of 56 - Sale <sup>25</sup>
	10.000	84.90	12/23/20	85.42	0.52 W	0.00	42 of 56 - Sale <sup>25</sup>
	11.000	93.38	12/23/20	93.41	0.03 W	0.00	43 of 56 - Sale <sup>25</sup>
	19.000	161.30	12/23/20	161.92	0.62 W	0.00	44 of 56 - Sale <sup>25</sup>
	5.000	41.25	12/23/20	42.46	1.21 W	0.00	45 of 56 - Sale <sup>25</sup>
	25.000	206.24	12/23/20	213.94	7.70 W	0.00	46 of 56 - Sale <sup>25</sup>
	20.000	164.99	12/23/20	169.64	4.65 W	0.00	47 of 56 - Sale <sup>25</sup>
	4.000	33.00	12/23/20	33.77	0.77 W	0.00	48 of 56 - Sale <sup>25</sup>
	19.000	156.74	12/23/20	160.02	3.28 W	0.00	49 of 56 - Sale <sup>25</sup>
	27.000	222.74	12/23/20	227.70	4.96 W	0.00	50 of 56 - Sale <sup>25</sup>
	3.000	24.75	12/23/20	25.15	0.40 W	0.00	51 of 56 - Sale <sup>25</sup>
	4.000	33.00	12/23/20	34.63	1.63 W	0.00	52 of 56 - Sale <sup>25</sup>
	8.000	66.00	12/23/20	70.25	4.25 W	0.00	53 of 56 - Sale <sup>25</sup>
	1.000	8.25	12/23/20	8.90	0.65 W	0.00	54 of 56 - Sale <sup>25</sup>
	16.000	132.00	12/23/20	140.51	8.51 W	0.00	55 of 56 - Sale <sup>25</sup>
	17.000	140.24	12/23/20	150.78	10.54 W	0.00	56 of 56 - Sale <sup>25</sup>
12/23/20	869.000	7,108.90	Various	7,342.56	233.66 W	0.00	Total of 56 transactions
16 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	1.000	5.97	12/23/20	8.87	2.90 W	0.00	1 of 16 - Sale <sup>25</sup>
	3.000	17.92	12/23/20	25.56	7.64 W	0.00	2 of 16 - Sale <sup>25</sup>
	4.000	23.90	12/23/20	34.83	10.93 W	0.00	3 of 16 - Sale <sup>25</sup>
	5.000	29.87	12/23/20	42.60	12.73 W	0.00	4 of 16 - Sale <sup>25</sup>
	6.000	35.85	12/23/20	49.94	14.09 W	0.00	5 of 16 - Sale <sup>25</sup>
	10.000	59.75	12/23/20	86.59	26.84 W	0.00	6 of 16 - Sale <sup>25</sup>
	11.000	65.72	12/23/20	92.66	26.94 W	0.00	7 of 16 - Sale <sup>25</sup>
	14.000	83.65	12/23/20	119.40	35.75 W	0.00	8 of 16 - Sale <sup>25</sup>
	21.000	125.47	12/23/20	182.89	57.42 W	0.00	9 of 16 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol: (cont'd)							
	25.000	149.37	12/23/20	212.61	63.24 W	0.00	10 of 16 - Sale <sup>25</sup>
	3.000	17.92	12/23/20	25.57	7.65 W	0.00	11 of 16 - Sale <sup>25</sup>
	5.000	29.87	12/23/20	41.73	11.86 W	0.00	12 of 16 - Sale <sup>25</sup>
	14.000	83.65	12/23/20	117.53	33.88 W	0.00	13 of 16 - Sale <sup>25</sup>
	14.000	83.65	12/23/20	116.83	33.18 W	0.00	14 of 16 - Sale <sup>25</sup>
	16.000	95.60	12/23/20	134.33	38.73 W	0.00	15 of 16 - Sale <sup>25</sup>
	22.000	131.44	12/23/20	182.60	51.16 W	0.00	16 of 16 - Sale <sup>25</sup>
12/29/20	174.000	1,039.60	Various	1,474.54	434.94 W	0.00	Total of 16 transactions
	11 transactions for 12/30/20. Total proceeds and cost reported to the IRS.						
	4.000	24.14	12/29/20	24.76	0.62 W	0.00	1 of 11 - Sale <sup>25</sup>
	1.000	6.04	12/30/20	6.25	0.21 W	0.00	2 of 11 - Sale <sup>25</sup>
	1.000	6.21	12/30/20	6.25	0.04 W	0.00	3 of 11 - Sale <sup>25</sup>
	3.000	18.63	12/30/20	19.05	0.42 W	0.00	4 of 11 - Sale <sup>25</sup>
	5.000	31.05	12/30/20	31.50	0.45 W	0.00	5 of 11 - Sale <sup>25</sup>
	5.000	31.05	12/30/20	31.51	0.46 W	0.00	6 of 11 - Sale <sup>25</sup>
	7.000	43.46	12/30/20	43.77	0.31 W	0.00	7 of 11 - Sale <sup>25</sup>
	10.000	62.10	12/30/20	63.02	0.92 W	0.00	8 of 11 - Sale <sup>25</sup>
	14.000	86.94	12/30/20	88.20	1.26 W	0.00	9 of 11 - Sale <sup>25</sup>
	19.000	117.99	12/30/20	119.37	1.38 W	0.00	10 of 11 - Sale <sup>25</sup>
	1.000	6.23	12/30/20	6.25	0.02 W	0.00	11 of 11 - Sale <sup>25</sup>
12/30/20	70.000	433.84	Various	439.93	6.09 W	0.00	Total of 11 transactions
	362 transactions for 12/31/20. Total proceeds and cost reported to the IRS.						
	1.000	5.87	12/31/20	8.90	3.03 W	0.00	1 of 362 - Sale <sup>25</sup>
	3.000	17.61	12/31/20	25.64	8.03 W	0.00	2 of 362 - Sale <sup>25</sup>
	3.000	17.61	12/31/20	25.65	8.04 W	0.00	3 of 362 - Sale <sup>25</sup>
	4.000	23.48	12/31/20	34.93	11.45 W	0.00	4 of 362 - Sale <sup>25</sup>
	5.000	29.35	12/31/20	42.73	13.38 W	0.00	5 of 362 - Sale <sup>25</sup>
	5.000	29.35	12/31/20	41.86	12.51 W	0.00	6 of 362 - Sale <sup>25</sup>
	6.000	35.22	12/31/20	50.09	14.87 W	0.00	7 of 362 - Sale <sup>25</sup>
	10.000	58.70	12/31/20	86.84	28.14 W	0.00	8 of 362 - Sale <sup>25</sup>
	11.000	64.57	12/31/20	92.94	28.37 W	0.00	9 of 362 - Sale <sup>25</sup>
	14.000	82.18	12/31/20	119.75	37.57 W	0.00	10 of 362 - Sale <sup>25</sup>
	14.000	82.18	12/31/20	117.88	35.70 W	0.00	11 of 362 - Sale <sup>25</sup>
	14.000	82.18	12/31/20	117.18	35.00 W	0.00	12 of 362 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol: (cont'd)							
	16.000	93.92	12/31/20	134.73	40.81 W	0.00	13 of 362 - Sale <sup>25</sup>
	21.000	123.26	12/31/20	183.42	60.16 W	0.00	14 of 362 - Sale <sup>25</sup>
	22.000	129.13	12/31/20	183.16	54.03 W	0.00	15 of 362 - Sale <sup>25</sup>
	25.000	146.74	12/31/20	213.24	66.50 W	0.00	16 of 362 - Sale <sup>25</sup>
	4.000	23.48	12/31/20	24.62	1.14 W	0.00	17 of 362 - Sale <sup>25</sup>
	1.000	5.87	12/31/20	6.65	0.78 W	0.00	18 of 362 - Sale <sup>25</sup>
	1.000	5.87	12/31/20	6.21	0.34 W	0.00	19 of 362 - Sale <sup>25</sup>
	1.000	5.87	12/31/20	6.04	0.17 W	0.00	20 of 362 - Sale <sup>25</sup>
	1.000	5.87	12/31/20	6.02	0.15 W	0.00	21 of 362 - Sale <sup>25</sup>
	3.000	17.61	12/31/20	18.01	0.40 W	0.00	22 of 362 - Sale <sup>25</sup>
	3.000	17.61	12/31/20	18.40	0.79 W	0.00	23 of 362 - Sale <sup>25</sup>
	3.000	17.61	12/31/20	18.42	0.81 W	0.00	24 of 362 - Sale <sup>25</sup>
	4.000	23.48	12/31/20	24.77	1.29 W	0.00	25 of 362 - Sale <sup>25</sup>
	4.000	23.48	12/31/20	25.63	2.15 W	0.00	26 of 362 - Sale <sup>25</sup>
	5.000	29.35	12/31/20	31.21	1.86 W	0.00	27 of 362 - Sale <sup>25</sup>
	5.000	29.35	12/31/20	30.45	1.10 W	0.00	28 of 362 - Sale <sup>25</sup>
	5.000	29.35	12/31/20	30.46	1.11 W	0.00	29 of 362 - Sale <sup>25</sup>
	7.000	41.09	12/31/20	42.31	1.22 W	0.00	30 of 362 - Sale <sup>25</sup>
	8.000	46.96	12/31/20	52.25	5.29 W	0.00	31 of 362 - Sale <sup>25</sup>
	10.000	58.70	12/31/20	60.92	2.22 W	0.00	32 of 362 - Sale <sup>25</sup>
	14.000	82.18	12/31/20	85.26	3.08 W	0.00	33 of 362 - Sale <sup>25</sup>
	16.000	93.92	12/31/20	104.51	10.59 W	0.00	34 of 362 - Sale <sup>25</sup>
	17.000	99.78	12/31/20	112.54	12.76 W	0.00	35 of 362 - Sale <sup>25</sup>
	19.000	111.52	12/31/20	117.28	5.76 W	0.00	36 of 362 - Sale <sup>25</sup>
	19.000	111.52	12/31/20	115.38	3.86 W	0.00	37 of 362 - Sale <sup>25</sup>
	20.000	117.39	12/31/20	124.65	7.26 W	0.00	38 of 362 - Sale <sup>25</sup>
	25.000	146.74	12/31/20	157.70	10.96 W	0.00	39 of 362 - Sale <sup>25</sup>
	27.000	158.48	12/31/20	166.96	8.48 W	0.00	40 of 362 - Sale <sup>25</sup>
	104.000	610.44	12/31/20	624.00	13.56 W	0.00	41 of 362 - Sale <sup>25</sup>
	1.000	6.03	12/31/20	9.05	3.02 W	0.00	42 of 362 - Sale <sup>25</sup>
	3.000	18.09	12/31/20	26.09	8.00 W	0.00	43 of 362 - Sale <sup>25</sup>
	3.000	18.09	12/31/20	26.10	8.01 W	0.00	44 of 362 - Sale <sup>25</sup>
	4.000	24.12	12/31/20	35.53	11.41 W	0.00	45 of 362 - Sale <sup>25</sup>
	5.000	30.15	12/31/20	43.47	13.32 W	0.00	46 of 362 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol: (cont'd)							
	5.000	30.15	12/31/20	42.60	12.45 W	0.00	47 of 362 - Sale <sup>25</sup>
	6.000	36.18	12/31/20	50.98	14.80 W	0.00	48 of 362 - Sale <sup>25</sup>
	10.000	60.30	12/31/20	88.33	28.03 W	0.00	49 of 362 - Sale <sup>25</sup>
	11.000	66.33	12/31/20	94.58	28.25 W	0.00	50 of 362 - Sale <sup>25</sup>
	14.000	84.42	12/31/20	121.83	37.41 W	0.00	51 of 362 - Sale <sup>25</sup>
	14.000	84.41	12/31/20	119.96	35.55 W	0.00	52 of 362 - Sale <sup>25</sup>
	13.000	78.38	12/31/20	110.74	32.36 W	0.00	53 of 362 - Sale <sup>25</sup>
	16.000	96.48	12/31/20	137.11	40.63 W	0.00	54 of 362 - Sale <sup>25</sup>
	21.000	126.62	12/31/20	186.56	59.94 W	0.00	55 of 362 - Sale <sup>25</sup>
	25.000	150.74	12/31/20	216.97	66.23 W	0.00	56 of 362 - Sale <sup>25</sup>
	1.000	6.03	12/31/20	8.52	2.49 W	0.00	57 of 362 - Sale <sup>25</sup>
	22.000	132.65	12/31/20	186.45	53.80 W	0.00	58 of 362 - Sale <sup>25</sup>
	4.000	24.12	12/31/20	25.22	1.10 W	0.00	59 of 362 - Sale <sup>25</sup>
	3.000	18.09	12/31/20	18.46	0.37 W	0.00	60 of 362 - Sale <sup>25</sup>
	4.000	24.12	12/31/20	25.37	1.25 W	0.00	61 of 362 - Sale <sup>25</sup>
	5.000	30.15	12/31/20	31.95	1.80 W	0.00	62 of 362 - Sale <sup>25</sup>
	16.000	96.47	12/31/20	101.15	4.68 W	0.00	63 of 362 - Sale <sup>25</sup>
	20.000	120.60	12/31/20	127.64	7.04 W	0.00	64 of 362 - Sale <sup>25</sup>
	25.000	150.74	12/31/20	161.43	10.69 W	0.00	65 of 362 - Sale <sup>25</sup>
	1.000	6.03	12/31/20	6.80	0.77 W	0.00	66 of 362 - Sale <sup>25</sup>
	1.000	6.03	12/31/20	6.36	0.33 W	0.00	67 of 362 - Sale <sup>25</sup>
	1.000	6.03	12/31/20	6.19	0.16 W	0.00	68 of 362 - Sale <sup>25</sup>
	3.000	18.09	12/31/20	18.85	0.76 W	0.00	69 of 362 - Sale <sup>25</sup>
	3.000	18.09	12/31/20	18.87	0.78 W	0.00	70 of 362 - Sale <sup>25</sup>
	4.000	24.12	12/31/20	26.23	2.11 W	0.00	71 of 362 - Sale <sup>25</sup>
	5.000	30.15	12/31/20	31.19	1.04 W	0.00	72 of 362 - Sale <sup>25</sup>
	8.000	48.24	12/31/20	53.44	5.20 W	0.00	73 of 362 - Sale <sup>25</sup>
	11.000	66.32	12/31/20	68.62	2.30 W	0.00	74 of 362 - Sale <sup>25</sup>
	16.000	96.47	12/31/20	106.89	10.42 W	0.00	75 of 362 - Sale <sup>25</sup>
	17.000	102.51	12/31/20	115.08	12.57 W	0.00	76 of 362 - Sale <sup>25</sup>
	3.000	18.09	12/31/20	18.97	0.88 W	0.00	77 of 362 - Sale <sup>25</sup>
	27.000	162.80	12/31/20	170.99	8.19 W	0.00	78 of 362 - Sale <sup>25</sup>
	1.000	6.03	12/31/20	6.17	0.14 W	0.00	79 of 362 - Sale <sup>25</sup>
	5.000	30.15	12/31/20	31.20	1.05 W	0.00	80 of 362 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol: (cont'd)							
	7.000	42.21	12/31/20	43.35	1.14 W	0.00	81 of 362 - Sale <sup>25</sup>
	10.000	60.30	12/31/20	62.41	2.11 W	0.00	82 of 362 - Sale <sup>25</sup>
	3.000	18.09	12/31/20	18.72	0.63 W	0.00	83 of 362 - Sale <sup>25</sup>
	19.000	114.56	12/31/20	118.22	3.66 W	0.00	84 of 362 - Sale <sup>25</sup>
	55.000	331.63	12/31/20	338.21	6.58 W	0.00	85 of 362 - Sale <sup>25</sup>
	49.000	295.45	12/31/20	301.32	5.87 W	0.00	86 of 362 - Sale <sup>25</sup>
	1.000	6.03	12/31/20	9.00	2.97 W	0.00	87 of 362 - Sale <sup>25</sup>
	3.000	18.09	12/31/20	25.94	7.85 W	0.00	88 of 362 - Sale <sup>25</sup>
	4.000	24.12	12/31/20	35.33	11.21 W	0.00	89 of 362 - Sale <sup>25</sup>
	10.000	60.30	12/31/20	87.83	27.53 W	0.00	90 of 362 - Sale <sup>25</sup>
	3.000	18.09	12/31/20	25.96	7.87 W	0.00	91 of 362 - Sale <sup>25</sup>
	21.000	126.62	12/31/20	185.52	58.90 W	0.00	92 of 362 - Sale <sup>25</sup>
	1.000	6.03	12/31/20	8.47	2.44 W	0.00	93 of 362 - Sale <sup>25</sup>
	3.000	18.09	12/31/20	25.95	7.86 W	0.00	94 of 362 - Sale <sup>25</sup>
	5.000	30.15	12/31/20	43.22	13.07 W	0.00	95 of 362 - Sale <sup>25</sup>
	5.000	30.15	12/31/20	42.35	12.20 W	0.00	96 of 362 - Sale <sup>25</sup>
	6.000	36.18	12/31/20	50.68	14.50 W	0.00	97 of 362 - Sale <sup>25</sup>
	11.000	66.33	12/31/20	94.03	27.70 W	0.00	98 of 362 - Sale <sup>25</sup>
	13.000	78.39	12/31/20	110.10	31.71 W	0.00	99 of 362 - Sale <sup>25</sup>
	11.000	66.33	12/31/20	95.17	28.84 W	0.00	100 of 362 - Sale <sup>25</sup>
	14.000	84.42	12/31/20	119.27	34.85 W	0.00	101 of 362 - Sale <sup>25</sup>
	16.000	96.47	12/31/20	136.31	39.84 W	0.00	102 of 362 - Sale <sup>25</sup>
	22.000	132.65	12/31/20	185.36	52.71 W	0.00	103 of 362 - Sale <sup>25</sup>
	25.000	150.74	12/31/20	215.73	64.99 W	0.00	104 of 362 - Sale <sup>25</sup>
	4.000	24.12	12/31/20	25.02	0.90 W	0.00	105 of 362 - Sale <sup>25</sup>
	3.000	18.09	12/31/20	18.31	0.22 W	0.00	106 of 362 - Sale <sup>25</sup>
	4.000	24.12	12/31/20	25.17	1.05 W	0.00	107 of 362 - Sale <sup>25</sup>
	5.000	30.15	12/31/20	31.70	1.55 W	0.00	108 of 362 - Sale <sup>25</sup>
	7.000	42.21	12/31/20	43.91	1.70 W	0.00	109 of 362 - Sale <sup>25</sup>
	20.000	120.59	12/31/20	126.64	6.05 W	0.00	110 of 362 - Sale <sup>25</sup>
	25.000	150.74	12/31/20	160.19	9.45 W	0.00	111 of 362 - Sale <sup>25</sup>
	8.000	48.21	12/31/20	50.18	1.97 W	0.00	112 of 362 - Sale <sup>25</sup>
	1.000	6.03	12/31/20	6.38	0.35 W	0.00	113 of 362 - Sale <sup>25</sup>
	1.000	6.03	12/31/20	6.86	0.83 W	0.00	114 of 362 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol: (cont'd)							
	1.000	6.03	12/31/20	6.42	0.39 W	0.00	115 of 362 - Sale <sup>25</sup>
	1.000	6.03	12/31/20	6.25	0.22 W	0.00	116 of 362 - Sale <sup>25</sup>
	3.000	18.08	12/31/20	19.14	1.06 W	0.00	117 of 362 - Sale <sup>25</sup>
	3.000	18.08	12/31/20	19.02	0.94 W	0.00	118 of 362 - Sale <sup>25</sup>
	3.000	18.08	12/31/20	19.04	0.96 W	0.00	119 of 362 - Sale <sup>25</sup>
	3.000	18.07	12/31/20	18.89	0.82 W	0.00	120 of 362 - Sale <sup>25</sup>
	4.000	24.10	12/31/20	26.46	2.36 W	0.00	121 of 362 - Sale <sup>25</sup>
	5.000	30.12	12/31/20	31.48	1.36 W	0.00	122 of 362 - Sale <sup>25</sup>
	8.000	48.21	12/31/20	53.91	5.70 W	0.00	123 of 362 - Sale <sup>25</sup>
	11.000	66.28	12/31/20	69.27	2.99 W	0.00	124 of 362 - Sale <sup>25</sup>
	16.000	96.41	12/31/20	107.83	11.42 W	0.00	125 of 362 - Sale <sup>25</sup>
	17.000	102.44	12/31/20	116.07	13.63 W	0.00	126 of 362 - Sale <sup>25</sup>
	27.000	162.69	12/31/20	172.57	9.88 W	0.00	127 of 362 - Sale <sup>25</sup>
	1.000	6.03	12/31/20	6.23	0.20 W	0.00	128 of 362 - Sale <sup>25</sup>
	5.000	30.13	12/31/20	31.49	1.36 W	0.00	129 of 362 - Sale <sup>25</sup>
	7.000	42.18	12/31/20	43.76	1.58 W	0.00	130 of 362 - Sale <sup>25</sup>
	10.000	60.26	12/31/20	62.99	2.73 W	0.00	131 of 362 - Sale <sup>25</sup>
	19.000	114.49	12/31/20	119.34	4.85 W	0.00	132 of 362 - Sale <sup>25</sup>
	49.000	295.26	12/31/20	304.20	8.94 W	0.00	133 of 362 - Sale <sup>25</sup>
	55.000	331.42	12/31/20	341.43	10.01 W	0.00	134 of 362 - Sale <sup>25</sup>
	1.000	5.82	12/31/20	8.82	3.00 W	0.00	135 of 362 - Sale <sup>25</sup>
	1.000	5.82	12/31/20	8.28	2.46 W	0.00	136 of 362 - Sale <sup>25</sup>
	3.000	17.45	12/31/20	25.38	7.93 W	0.00	137 of 362 - Sale <sup>25</sup>
	3.000	17.45	12/31/20	25.41	7.96 W	0.00	138 of 362 - Sale <sup>25</sup>
	3.000	17.45	12/31/20	25.40	7.95 W	0.00	139 of 362 - Sale <sup>25</sup>
	4.000	23.27	12/31/20	34.59	11.32 W	0.00	140 of 362 - Sale <sup>25</sup>
	5.000	29.09	12/31/20	42.29	13.20 W	0.00	141 of 362 - Sale <sup>25</sup>
	5.000	29.09	12/31/20	41.42	12.33 W	0.00	142 of 362 - Sale <sup>25</sup>
	6.000	34.90	12/31/20	49.57	14.67 W	0.00	143 of 362 - Sale <sup>25</sup>
	10.000	58.17	12/31/20	85.98	27.81 W	0.00	144 of 362 - Sale <sup>25</sup>
	11.000	63.99	12/31/20	93.14	29.15 W	0.00	145 of 362 - Sale <sup>25</sup>
	11.000	63.99	12/31/20	91.99	28.00 W	0.00	146 of 362 - Sale <sup>25</sup>
	13.000	75.63	12/31/20	107.70	32.07 W	0.00	147 of 362 - Sale <sup>25</sup>
	14.000	81.44	12/31/20	116.68	35.24 W	0.00	148 of 362 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol: (cont'd)							
16.000		93.08	12/31/20	133.36	40.28 W	0.00	149 of 362 - Sale <sup>25</sup>
21.000		122.16	12/31/20	181.64	59.48 W	0.00	150 of 362 - Sale <sup>25</sup>
22.000		127.98	12/31/20	181.30	53.32 W	0.00	151 of 362 - Sale <sup>25</sup>
25.000		145.43	12/31/20	211.12	65.69 W	0.00	152 of 362 - Sale <sup>25</sup>
4.000		23.27	12/31/20	24.28	1.01 W	0.00	153 of 362 - Sale <sup>25</sup>
1.000		5.82	12/31/20	6.20	0.38 W	0.00	154 of 362 - Sale <sup>25</sup>
1.000		5.82	12/31/20	6.68	0.86 W	0.00	155 of 362 - Sale <sup>25</sup>
1.000		5.82	12/31/20	6.24	0.42 W	0.00	156 of 362 - Sale <sup>25</sup>
1.000		5.82	12/31/20	6.06	0.24 W	0.00	157 of 362 - Sale <sup>25</sup>
1.000		5.82	12/31/20	6.04	0.22 W	0.00	158 of 362 - Sale <sup>25</sup>
3.000		17.45	12/31/20	17.76	0.31 W	0.00	159 of 362 - Sale <sup>25</sup>
3.000		17.45	12/31/20	18.59	1.14 W	0.00	160 of 362 - Sale <sup>25</sup>
3.000		17.45	12/31/20	18.47	1.02 W	0.00	161 of 362 - Sale <sup>25</sup>
3.000		17.45	12/31/20	18.50	1.05 W	0.00	162 of 362 - Sale <sup>25</sup>
3.000		17.45	12/31/20	18.35	0.90 W	0.00	163 of 362 - Sale <sup>25</sup>
4.000		23.27	12/31/20	24.43	1.16 W	0.00	164 of 362 - Sale <sup>25</sup>
4.000		23.27	12/31/20	25.74	2.47 W	0.00	165 of 362 - Sale <sup>25</sup>
5.000		29.09	12/31/20	30.77	1.68 W	0.00	166 of 362 - Sale <sup>25</sup>
5.000		29.09	12/31/20	30.58	1.49 W	0.00	167 of 362 - Sale <sup>25</sup>
5.000		29.09	12/31/20	30.58	1.49 W	0.00	168 of 362 - Sale <sup>25</sup>
7.000		40.72	12/31/20	42.61	1.89 W	0.00	169 of 362 - Sale <sup>25</sup>
7.000		40.72	12/31/20	42.50	1.78 W	0.00	170 of 362 - Sale <sup>25</sup>
8.000		46.54	12/31/20	48.73	2.19 W	0.00	171 of 362 - Sale <sup>25</sup>
8.000		46.54	12/31/20	52.46	5.92 W	0.00	172 of 362 - Sale <sup>25</sup>
10.000		58.17	12/31/20	61.18	3.01 W	0.00	173 of 362 - Sale <sup>25</sup>
11.000		63.99	12/31/20	67.29	3.30 W	0.00	174 of 362 - Sale <sup>25</sup>
16.000		93.08	12/31/20	104.94	11.86 W	0.00	175 of 362 - Sale <sup>25</sup>
17.000		98.89	12/31/20	112.99	14.10 W	0.00	176 of 362 - Sale <sup>25</sup>
19.000		110.53	12/31/20	115.91	5.38 W	0.00	177 of 362 - Sale <sup>25</sup>
20.000		116.35	12/31/20	122.95	6.60 W	0.00	178 of 362 - Sale <sup>25</sup>
25.000		145.43	12/31/20	155.58	10.15 W	0.00	179 of 362 - Sale <sup>25</sup>
27.000		157.07	12/31/20	167.70	10.63 W	0.00	180 of 362 - Sale <sup>25</sup>
49.000		285.05	12/31/20	295.35	10.30 W	0.00	181 of 362 - Sale <sup>25</sup>
55.000		319.95	12/31/20	331.48	11.53 W	0.00	182 of 362 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol: (cont'd)							
	250.000	1,454.34	12/31/20	1,465.00	10.66 W	0.00	183 of 362 - Sale <sup>25</sup>
	200.000	1,163.47	12/31/20	1,168.00	4.53 W	0.00	184 of 362 - Sale <sup>25</sup>
	50.000	290.87	12/31/20	292.00	1.13 W	0.00	185 of 362 - Sale <sup>25</sup>
	1.000	6.02	12/31/20	9.05	3.03 W	0.00	186 of 362 - Sale <sup>25</sup>
	1.000	6.02	12/31/20	8.51	2.49 W	0.00	187 of 362 - Sale <sup>25</sup>
	3.000	18.06	12/31/20	26.07	8.01 W	0.00	188 of 362 - Sale <sup>25</sup>
	3.000	18.06	12/31/20	26.10	8.04 W	0.00	189 of 362 - Sale <sup>25</sup>
	3.000	18.06	12/31/20	26.09	8.03 W	0.00	190 of 362 - Sale <sup>25</sup>
	4.000	24.08	12/31/20	35.51	11.43 W	0.00	191 of 362 - Sale <sup>25</sup>
	5.000	30.10	12/31/20	43.44	13.34 W	0.00	192 of 362 - Sale <sup>25</sup>
	5.000	30.10	12/31/20	42.57	12.47 W	0.00	193 of 362 - Sale <sup>25</sup>
	6.000	36.12	12/31/20	50.96	14.84 W	0.00	194 of 362 - Sale <sup>25</sup>
	10.000	60.20	12/31/20	88.29	28.09 W	0.00	195 of 362 - Sale <sup>25</sup>
	11.000	66.22	12/31/20	95.68	29.46 W	0.00	196 of 362 - Sale <sup>25</sup>
	11.000	66.22	12/31/20	94.53	28.31 W	0.00	197 of 362 - Sale <sup>25</sup>
	13.000	78.26	12/31/20	110.69	32.43 W	0.00	198 of 362 - Sale <sup>25</sup>
	14.000	84.28	12/31/20	119.91	35.63 W	0.00	199 of 362 - Sale <sup>25</sup>
	16.000	96.32	12/31/20	137.05	40.73 W	0.00	200 of 362 - Sale <sup>25</sup>
	21.000	126.41	12/31/20	186.49	60.08 W	0.00	201 of 362 - Sale <sup>25</sup>
	22.000	132.43	12/31/20	186.37	53.94 W	0.00	202 of 362 - Sale <sup>25</sup>
	25.000	150.49	12/31/20	216.89	66.40 W	0.00	203 of 362 - Sale <sup>25</sup>
	4.000	24.08	12/31/20	25.20	1.12 W	0.00	204 of 362 - Sale <sup>25</sup>
	1.000	6.02	12/31/20	6.43	0.41 W	0.00	205 of 362 - Sale <sup>25</sup>
	1.000	6.02	12/31/20	6.91	0.89 W	0.00	206 of 362 - Sale <sup>25</sup>
	1.000	6.02	12/31/20	6.47	0.45 W	0.00	207 of 362 - Sale <sup>25</sup>
	1.000	6.02	12/31/20	6.29	0.27 W	0.00	208 of 362 - Sale <sup>25</sup>
	1.000	6.02	12/31/20	6.27	0.25 W	0.00	209 of 362 - Sale <sup>25</sup>
	3.000	18.06	12/31/20	18.45	0.39 W	0.00	210 of 362 - Sale <sup>25</sup>
	3.000	18.06	12/31/20	19.28	1.22 W	0.00	211 of 362 - Sale <sup>25</sup>
	3.000	18.06	12/31/20	19.16	1.10 W	0.00	212 of 362 - Sale <sup>25</sup>
	3.000	18.06	12/31/20	19.19	1.13 W	0.00	213 of 362 - Sale <sup>25</sup>
	3.000	18.06	12/31/20	19.04	0.98 W	0.00	214 of 362 - Sale <sup>25</sup>
	4.000	24.08	12/31/20	25.35	1.27 W	0.00	215 of 362 - Sale <sup>25</sup>
	4.000	24.08	12/31/20	26.66	2.58 W	0.00	216 of 362 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol: (cont'd)							
	5.000	30.10	12/31/20	31.92	1.82 W	0.00	217 of 362 - Sale <sup>25</sup>
	5.000	30.10	12/31/20	31.73	1.63 W	0.00	218 of 362 - Sale <sup>25</sup>
	5.000	30.10	12/31/20	31.73	1.63 W	0.00	219 of 362 - Sale <sup>25</sup>
	7.000	42.14	12/31/20	44.23	2.09 W	0.00	220 of 362 - Sale <sup>25</sup>
	7.000	42.14	12/31/20	44.12	1.98 W	0.00	221 of 362 - Sale <sup>25</sup>
	8.000	48.16	12/31/20	50.57	2.41 W	0.00	222 of 362 - Sale <sup>25</sup>
	8.000	48.16	12/31/20	54.30	6.14 W	0.00	223 of 362 - Sale <sup>25</sup>
	10.000	60.20	12/31/20	63.49	3.29 W	0.00	224 of 362 - Sale <sup>25</sup>
	11.000	66.22	12/31/20	69.83	3.61 W	0.00	225 of 362 - Sale <sup>25</sup>
	16.000	96.32	12/31/20	108.63	12.31 W	0.00	226 of 362 - Sale <sup>25</sup>
	17.000	102.34	12/31/20	116.91	14.57 W	0.00	227 of 362 - Sale <sup>25</sup>
	19.000	114.37	12/31/20	120.29	5.92 W	0.00	228 of 362 - Sale <sup>25</sup>
	20.000	120.39	12/31/20	127.56	7.17 W	0.00	229 of 362 - Sale <sup>25</sup>
	25.000	150.49	12/31/20	161.35	10.86 W	0.00	230 of 362 - Sale <sup>25</sup>
	27.000	162.53	12/31/20	173.92	11.39 W	0.00	231 of 362 - Sale <sup>25</sup>
	49.000	294.97	12/31/20	306.65	11.68 W	0.00	232 of 362 - Sale <sup>25</sup>
	50.000	300.99	12/31/20	303.53	2.54 W	0.00	233 of 362 - Sale <sup>25</sup>
	55.000	331.09	12/31/20	344.16	13.07 W	0.00	234 of 362 - Sale <sup>25</sup>
	200.000	1,203.95	12/31/20	1,214.11	10.16 W	0.00	235 of 362 - Sale <sup>25</sup>
	250.000	1,504.93	12/31/20	1,522.63	17.70 W	0.00	236 of 362 - Sale <sup>25</sup>
	495.000	2,979.76	12/31/20	2,989.80	10.04 W	0.00	237 of 362 - Sale <sup>25</sup>
	505.000	3,039.96	12/31/20	3,050.20	10.24 W	0.00	238 of 362 - Sale <sup>25</sup>
	199.000	1,197.92	12/31/20	1,199.97	2.05 W	0.00	239 of 362 - Sale <sup>25</sup>
	155.000	933.06	12/31/20	934.65	1.59 W	0.00	240 of 362 - Sale <sup>25</sup>
	3.000	18.06	12/31/20	18.12	0.06 W	0.00	241 of 362 - Sale <sup>25</sup>
	151.000	908.97	12/31/20	912.08	3.11 W	0.00	242 of 362 - Sale <sup>25</sup>
	2.000	12.04	12/31/20	12.08	0.04 W	0.00	243 of 362 - Sale <sup>25</sup>
	2.000	12.04	12/31/20	12.08	0.04 W	0.00	244 of 362 - Sale <sup>25</sup>
	1.000	6.02	12/31/20	9.06	3.04 W	0.00	245 of 362 - Sale <sup>25</sup>
	1.000	6.02	12/31/20	8.52	2.50 W	0.00	246 of 362 - Sale <sup>25</sup>
	3.000	18.06	12/31/20	26.10	8.04 W	0.00	247 of 362 - Sale <sup>25</sup>
	3.000	18.06	12/31/20	26.13	8.07 W	0.00	248 of 362 - Sale <sup>25</sup>
	3.000	18.06	12/31/20	26.12	8.06 W	0.00	249 of 362 - Sale <sup>25</sup>
	4.000	24.08	12/31/20	35.55	11.47 W	0.00	250 of 362 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol: (cont'd)							
	5.000	30.10	12/31/20	43.49	13.39 W	0.00	251 of 362 - Sale <sup>25</sup>
	5.000	30.10	12/31/20	42.62	12.52 W	0.00	252 of 362 - Sale <sup>25</sup>
	6.000	36.12	12/31/20	51.02	14.90 W	0.00	253 of 362 - Sale <sup>25</sup>
	10.000	60.20	12/31/20	88.39	28.19 W	0.00	254 of 362 - Sale <sup>25</sup>
	11.000	66.22	12/31/20	95.79	29.57 W	0.00	255 of 362 - Sale <sup>25</sup>
	11.000	66.22	12/31/20	94.64	28.42 W	0.00	256 of 362 - Sale <sup>25</sup>
	13.000	78.26	12/31/20	110.82	32.56 W	0.00	257 of 362 - Sale <sup>25</sup>
	14.000	84.28	12/31/20	120.05	35.77 W	0.00	258 of 362 - Sale <sup>25</sup>
	16.000	96.32	12/31/20	137.21	40.89 W	0.00	259 of 362 - Sale <sup>25</sup>
	21.000	126.41	12/31/20	186.71	60.30 W	0.00	260 of 362 - Sale <sup>25</sup>
	22.000	132.43	12/31/20	186.60	54.17 W	0.00	261 of 362 - Sale <sup>25</sup>
	25.000	150.49	12/31/20	217.15	66.66 W	0.00	262 of 362 - Sale <sup>25</sup>
	4.000	24.08	12/31/20	25.24	1.16 W	0.00	263 of 362 - Sale <sup>25</sup>
	1.000	6.02	12/31/20	6.44	0.42 W	0.00	264 of 362 - Sale <sup>25</sup>
	1.000	6.02	12/31/20	6.92	0.90 W	0.00	265 of 362 - Sale <sup>25</sup>
	1.000	6.02	12/31/20	6.48	0.46 W	0.00	266 of 362 - Sale <sup>25</sup>
	1.000	6.02	12/31/20	6.30	0.28 W	0.00	267 of 362 - Sale <sup>25</sup>
	1.000	6.02	12/31/20	6.28	0.26 W	0.00	268 of 362 - Sale <sup>25</sup>
	3.000	18.06	12/31/20	18.48	0.42 W	0.00	269 of 362 - Sale <sup>25</sup>
	3.000	18.06	12/31/20	19.31	1.25 W	0.00	270 of 362 - Sale <sup>25</sup>
	3.000	18.06	12/31/20	19.19	1.13 W	0.00	271 of 362 - Sale <sup>25</sup>
	3.000	18.06	12/31/20	19.22	1.16 W	0.00	272 of 362 - Sale <sup>25</sup>
	3.000	18.06	12/31/20	19.07	1.01 W	0.00	273 of 362 - Sale <sup>25</sup>
	4.000	24.08	12/31/20	25.39	1.31 W	0.00	274 of 362 - Sale <sup>25</sup>
	4.000	24.08	12/31/20	26.70	2.62 W	0.00	275 of 362 - Sale <sup>25</sup>
	5.000	30.10	12/31/20	31.97	1.87 W	0.00	276 of 362 - Sale <sup>25</sup>
	5.000	30.10	12/31/20	31.78	1.68 W	0.00	277 of 362 - Sale <sup>25</sup>
	5.000	30.10	12/31/20	31.78	1.68 W	0.00	278 of 362 - Sale <sup>25</sup>
	7.000	42.14	12/31/20	44.30	2.16 W	0.00	279 of 362 - Sale <sup>25</sup>
	7.000	42.14	12/31/20	44.19	2.05 W	0.00	280 of 362 - Sale <sup>25</sup>
	8.000	48.16	12/31/20	50.65	2.49 W	0.00	281 of 362 - Sale <sup>25</sup>
	8.000	48.16	12/31/20	54.38	6.22 W	0.00	282 of 362 - Sale <sup>25</sup>
	10.000	60.20	12/31/20	63.59	3.39 W	0.00	283 of 362 - Sale <sup>25</sup>
	11.000	66.22	12/31/20	69.94	3.72 W	0.00	284 of 362 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol: (cont'd)							
16.000		96.32	12/31/20	108.79	12.47 W	0.00	285 of 362 - Sale <sup>25</sup>
17.000		102.34	12/31/20	117.08	14.74 W	0.00	286 of 362 - Sale <sup>25</sup>
19.000		114.37	12/31/20	120.49	6.12 W	0.00	287 of 362 - Sale <sup>25</sup>
20.000		120.39	12/31/20	127.77	7.38 W	0.00	288 of 362 - Sale <sup>25</sup>
25.000		150.49	12/31/20	161.61	11.12 W	0.00	289 of 362 - Sale <sup>25</sup>
27.000		162.53	12/31/20	174.20	11.67 W	0.00	290 of 362 - Sale <sup>25</sup>
46.000		276.91	12/31/20	288.31	11.40 W	0.00	291 of 362 - Sale <sup>25</sup>
9.000		54.18	12/31/20	56.41	2.23 W	0.00	292 of 362 - Sale <sup>25</sup>
49.000		294.97	12/31/20	307.15	12.18 W	0.00	293 of 362 - Sale <sup>25</sup>
146.000		878.88	12/31/20	890.72	11.84 W	0.00	294 of 362 - Sale <sup>25</sup>
50.000		300.99	12/31/20	303.54	2.55 W	0.00	295 of 362 - Sale <sup>25</sup>
104.000		626.05	12/31/20	633.44	7.39 W	0.00	296 of 362 - Sale <sup>25</sup>
200.000		1,203.94	12/31/20	1,214.16	10.22 W	0.00	297 of 362 - Sale <sup>25</sup>
490.000		2,949.65	12/31/20	2,959.74	10.09 W	0.00	298 of 362 - Sale <sup>25</sup>
10.000		60.20	12/31/20	60.40	0.20 W	0.00	299 of 362 - Sale <sup>25</sup>
11.000		65.89	12/31/20	66.45	0.56 W	0.00	300 of 362 - Sale <sup>25</sup>
53.000		317.45	12/31/20	320.13	2.68 W	0.00	301 of 362 - Sale <sup>25</sup>
86.000		515.12	12/31/20	519.47	4.35 W	0.00	302 of 362 - Sale <sup>25</sup>
14.000		83.86	12/31/20	84.56	0.70 W	0.00	303 of 362 - Sale <sup>25</sup>
22.000		131.77	12/31/20	132.67	0.90 W	0.00	304 of 362 - Sale <sup>25</sup>
178.000		1,066.17	12/31/20	1,075.17	9.00 W	0.00	305 of 362 - Sale <sup>25</sup>
45.000		269.54	12/31/20	271.36	1.82 W	0.00	306 of 362 - Sale <sup>25</sup>
79.000		473.19	12/31/20	476.39	3.20 W	0.00	307 of 362 - Sale <sup>25</sup>
53.000		317.46	12/31/20	319.08	1.62 W	0.00	308 of 362 - Sale <sup>25</sup>
90.000		539.08	12/31/20	541.82	2.74 W	0.00	309 of 362 - Sale <sup>25</sup>
1.000		5.99	12/31/20	9.04	3.05 W	0.00	310 of 362 - Sale <sup>25</sup>
3.000		17.97	12/31/20	26.04	8.07 W	0.00	311 of 362 - Sale <sup>25</sup>
3.000		17.97	12/31/20	26.07	8.10 W	0.00	312 of 362 - Sale <sup>25</sup>
3.000		17.97	12/31/20	26.06	8.09 W	0.00	313 of 362 - Sale <sup>25</sup>
4.000		23.96	12/31/20	35.47	11.51 W	0.00	314 of 362 - Sale <sup>25</sup>
5.000		29.95	12/31/20	43.39	13.44 W	0.00	315 of 362 - Sale <sup>25</sup>
6.000		35.94	12/31/20	50.90	14.96 W	0.00	316 of 362 - Sale <sup>25</sup>
10.000		59.90	12/31/20	88.19	28.29 W	0.00	317 of 362 - Sale <sup>25</sup>
11.000		65.89	12/31/20	95.57	29.68 W	0.00	318 of 362 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol: (cont'd)							
11.000		65.89	12/31/20	94.42	28.53 W	0.00	319 of 362 - Sale <sup>25</sup>
14.000		83.86	12/31/20	119.77	35.91 W	0.00	320 of 362 - Sale <sup>25</sup>
16.000		95.84	12/31/20	136.89	41.05 W	0.00	321 of 362 - Sale <sup>25</sup>
21.000		125.78	12/31/20	186.30	60.52 W	0.00	322 of 362 - Sale <sup>25</sup>
25.000		149.74	12/31/20	216.66	66.92 W	0.00	323 of 362 - Sale <sup>25</sup>
65.000		389.33	12/31/20	390.67	1.34 W	0.00	324 of 362 - Sale <sup>25</sup>
1.000		5.99	12/31/20	8.50	2.51 W	0.00	325 of 362 - Sale <sup>25</sup>
5.000		29.95	12/31/20	42.52	12.57 W	0.00	326 of 362 - Sale <sup>25</sup>
13.000		77.87	12/31/20	110.56	32.69 W	0.00	327 of 362 - Sale <sup>25</sup>
22.000		131.77	12/31/20	186.17	54.40 W	0.00	328 of 362 - Sale <sup>25</sup>
4.000		23.96	12/31/20	25.16	1.20 W	0.00	329 of 362 - Sale <sup>25</sup>
1.000		5.99	12/31/20	6.42	0.43 W	0.00	330 of 362 - Sale <sup>25</sup>
1.000		5.99	12/31/20	6.90	0.91 W	0.00	331 of 362 - Sale <sup>25</sup>
1.000		5.99	12/31/20	6.46	0.47 W	0.00	332 of 362 - Sale <sup>25</sup>
1.000		5.99	12/31/20	6.28	0.29 W	0.00	333 of 362 - Sale <sup>25</sup>
1.000		5.99	12/31/20	6.26	0.27 W	0.00	334 of 362 - Sale <sup>25</sup>
3.000		17.97	12/31/20	18.42	0.45 W	0.00	335 of 362 - Sale <sup>25</sup>
3.000		17.97	12/31/20	19.25	1.28 W	0.00	336 of 362 - Sale <sup>25</sup>
3.000		17.97	12/31/20	19.13	1.16 W	0.00	337 of 362 - Sale <sup>25</sup>
3.000		17.97	12/31/20	19.16	1.19 W	0.00	338 of 362 - Sale <sup>25</sup>
3.000		17.97	12/31/20	19.01	1.04 W	0.00	339 of 362 - Sale <sup>25</sup>
4.000		23.96	12/31/20	25.31	1.35 W	0.00	340 of 362 - Sale <sup>25</sup>
4.000		23.96	12/31/20	26.62	2.66 W	0.00	341 of 362 - Sale <sup>25</sup>
5.000		29.95	12/31/20	31.87	1.92 W	0.00	342 of 362 - Sale <sup>25</sup>
5.000		29.95	12/31/20	31.68	1.73 W	0.00	343 of 362 - Sale <sup>25</sup>
5.000		29.95	12/31/20	31.68	1.73 W	0.00	344 of 362 - Sale <sup>25</sup>
7.000		41.93	12/31/20	44.16	2.23 W	0.00	345 of 362 - Sale <sup>25</sup>
7.000		41.93	12/31/20	44.05	2.12 W	0.00	346 of 362 - Sale <sup>25</sup>
8.000		47.92	12/31/20	50.49	2.57 W	0.00	347 of 362 - Sale <sup>25</sup>
8.000		47.92	12/31/20	54.22	6.30 W	0.00	348 of 362 - Sale <sup>25</sup>
9.000		53.91	12/31/20	56.23	2.32 W	0.00	349 of 362 - Sale <sup>25</sup>
10.000		59.90	12/31/20	63.39	3.49 W	0.00	350 of 362 - Sale <sup>25</sup>
11.000		65.89	12/31/20	69.72	3.83 W	0.00	351 of 362 - Sale <sup>25</sup>
16.000		95.84	12/31/20	108.47	12.63 W	0.00	352 of 362 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol: (cont'd)							
	17.000	101.83	12/31/20	116.74	14.91 W	0.00	353 of 362 - Sale <sup>25</sup>
	19.000	113.80	12/31/20	120.12	6.32 W	0.00	354 of 362 - Sale <sup>25</sup>
	20.000	119.79	12/31/20	127.38	7.59 W	0.00	355 of 362 - Sale <sup>25</sup>
	25.000	149.74	12/31/20	161.12	11.38 W	0.00	356 of 362 - Sale <sup>25</sup>
	27.000	161.72	12/31/20	173.67	11.95 W	0.00	357 of 362 - Sale <sup>25</sup>
	42.000	251.57	12/31/20	254.15	2.58 W	0.00	358 of 362 - Sale <sup>25</sup>
	46.000	275.52	12/31/20	287.40	11.88 W	0.00	359 of 362 - Sale <sup>25</sup>
	49.000	293.49	12/31/20	306.18	12.69 W	0.00	360 of 362 - Sale <sup>25</sup>
	104.000	622.92	12/31/20	631.39	8.47 W	0.00	361 of 362 - Sale <sup>25</sup>
	146.000	874.50	12/31/20	887.84	13.34 W	0.00	362 of 362 - Sale <sup>25</sup>
12/31/20	8,000.000	47,844.88	Various	51,993.68	4,148.80 W	0.00	Total of 362 transactions
	<b>Security total:</b>	<b>66,532.96</b>		<b>71,412.83</b>	<b>4,938.89 W</b>	<b>59.02</b>	
AIKIDO PHARMA INC. COMMON STOC K / CUSIP: 008875106 / Symbol:							
06/26/20	250.000	236.22	06/26/20	275.00	38.78 W	0.00	Sale <sup>25</sup>
	10 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	100.000	99.98	07/16/20	123.34	...	-23.36	1 of 10 - Sale <sup>25</sup>
	100.000	99.99	07/16/20	123.34	...	-23.35	2 of 10 - Sale <sup>25</sup>
	151.000	146.93	07/16/20	163.10	...	-16.17	3 of 10 - Sale <sup>25</sup>
	99.000	96.33	07/16/20	110.90	...	-14.57	4 of 10 - Sale <sup>25</sup>
	299.000	298.96	07/16/20	310.96	...	-12.00	5 of 10 - Sale <sup>25</sup>
	50.000	49.99	07/16/20	61.67	...	-11.68	6 of 10 - Sale <sup>25</sup>
	200.000	199.97	07/16/20	208.00	...	-8.03	7 of 10 - Sale <sup>25</sup>
	150.000	149.98	07/16/20	156.00	...	-6.02	8 of 10 - Sale <sup>25</sup>
	1.000	0.97	07/16/20	1.12	...	-0.15	9 of 10 - Sale <sup>25</sup>
	1,750.000	1,749.75	07/16/20	1,746.50	...	3.25	10 of 10 - Sale <sup>25</sup>
07/16/20	2,900.000	2,892.85	Various	3,004.93	...	-112.08	Total of 10 transactions
	5 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	650.000	649.90	07/16/20	676.00	6.06 W	-20.04	1 of 5 - Sale <sup>25</sup>
	250.000	249.96	07/16/20	288.28	38.32 W	0.00	2 of 5 - Sale <sup>25</sup>
	100.000	99.99	07/16/20	104.00	4.01 W	0.00	3 of 5 - Sale <sup>25</sup>
	250.000	249.97	07/16/20	298.32	48.35 W	0.00	4 of 5 - Sale <sup>25</sup>
	100.000	99.99	07/16/20	108.01	8.02 W	0.00	5 of 5 - Sale <sup>25</sup>
07/16/20	1,350.000	1,349.81	Various	1,474.61	104.76 W	-20.04	Total of 5 transactions

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(continued)

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AIKIDO PHARMA INC. COMMON STOC K / CUSIP: 008875106 / Symbol: (cont'd)							
<b>Security total:</b>		<b>4,478.88</b>		<b>4,754.54</b>	<b>143.54 W</b>	<b>-132.12</b>	
AIM IMMUNOTECH INC. / CUSIP: 00901B105 / Symbol:							
02/28/20	100.000	187.99	02/27/20	171.91	...	16.08	Sale <sup>25</sup>
03/05/20	200.000	456.82	03/04/20	456.00	...	0.82	Sale <sup>25</sup>
03/10/20	125.000	652.47	03/09/20	437.50	...	214.97	Sale <sup>25</sup>
03/10/20	175.000	913.45	03/09/20	1,091.93	178.48 W	0.00	Sale <sup>25</sup>
03/12/20	4.000	16.40	03/11/20	22.86	...	-6.46	Sale <sup>25</sup>
2 transactions for 03/12/20. Total proceeds and cost reported to the IRS.							
	46.000	188.59	03/11/20	263.11	40.50 W	-34.02	1 of 2 - Sale <sup>25</sup>
	100.000	409.97	03/11/20	558.99	149.02 W	0.00	2 of 2 - Sale <sup>25</sup>
03/12/20	146.000	598.56	Various	822.10	189.52 W	-34.02	Total of 2 transactions
4 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	3.000	7.38	04/08/20	11.85	4.47 W	0.00	1 of 4 - Sale <sup>25</sup>
	25.000	61.50	04/08/20	102.00	40.50 W	0.00	2 of 4 - Sale <sup>25</sup>
	97.000	238.61	04/08/20	383.16	144.55 W	0.00	3 of 4 - Sale <sup>25</sup>
	25.000	61.49	04/08/20	87.00	25.51 W	0.00	4 of 4 - Sale <sup>25</sup>
04/09/20	150.000	368.98	Various	584.01	215.03 W	0.00	Total of 4 transactions
5 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	97.000	202.72	04/28/20	358.92	...	-156.20	1 of 5 - Sale <sup>25</sup>
	25.000	52.25	04/28/20	95.75	...	-43.50	2 of 5 - Sale <sup>25</sup>
	25.000	52.25	04/28/20	80.76	...	-28.51	3 of 5 - Sale <sup>25</sup>
	50.000	104.49	04/28/20	110.50	...	-6.01	4 of 5 - Sale <sup>25</sup>
	3.000	6.27	04/28/20	11.10	...	-4.83	5 of 5 - Sale <sup>25</sup>
04/29/20	200.000	417.98	Various	657.03	...	-239.05	Total of 5 transactions
2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	1,626.000	4,471.20	06/26/20	4,710.96	...	-239.76	1 of 2 - Sale <sup>25</sup>
	374.000	1,028.43	06/26/20	1,081.71	...	-53.28	2 of 2 - Sale <sup>25</sup>
06/26/20	2,000.000	5,499.63	Various	5,792.67	...	-293.04	Total of 2 transactions
2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	2,000.000	5,522.63	06/26/20	5,577.00	54.37 W	0.00	1 of 2 - Sale <sup>25</sup>
	2,000.000	5,519.63	06/26/20	5,654.17	134.54 W	0.00	2 of 2 - Sale <sup>25</sup>
06/26/20	4,000.000	11,042.26	Various	11,231.17	188.91 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AIM IMMUNOTECH INC. / CUSIP: 00901B105 / Symbol: (cont'd)							
<b>Security total:</b>		<b>20,154.54</b>		<b>21,267.18</b>	<b>771.94 W</b>	<b>-340.70</b>	
AIRBNB, INC. CLASS A COMMON STOCK / CUSIP: 009066101 / Symbol:							
10 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	18.000	2,610.12	12/10/20	2,673.00	62.88 W	0.00	1 of 10 - Sale <sup>25</sup>
	7.000	1,015.05	12/10/20	1,043.00	27.95 W	0.00	2 of 10 - Sale <sup>25</sup>
	19.000	2,755.12	12/10/20	2,810.10	54.98 W	0.00	3 of 10 - Sale <sup>25</sup>
	3.000	435.90	12/10/20	456.22	20.32 W	0.00	4 of 10 - Sale <sup>25</sup>
	5.000	723.73	12/10/20	760.37	36.64 W	0.00	5 of 10 - Sale <sup>25</sup>
	2.000	289.43	12/10/20	305.15	15.72 W	0.00	6 of 10 - Sale <sup>25</sup>
	10.000	1,447.17	12/10/20	1,520.73	73.56 W	0.00	7 of 10 - Sale <sup>25</sup>
	5.000	723.58	12/10/20	762.46	38.88 W	0.00	8 of 10 - Sale <sup>25</sup>
	3.000	434.15	12/10/20	454.18	20.03 W	0.00	9 of 10 - Sale <sup>25</sup>
	10.000	1,447.06	12/10/20	1,513.94	66.88 W	0.00	10 of 10 - Sale <sup>25</sup>
12/10/20	82.000	11,881.31	Various	12,299.15	417.84 W	0.00	Total of 10 transactions
59 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	1.000	149.00	12/10/20	147.71	...	1.29	1 of 59 - Sale <sup>25</sup>
	10.000	1,366.40	12/11/20	1,468.58	...	-102.18	2 of 59 - Sale <sup>25</sup>
	5.000	683.20	12/11/20	752.65	...	-69.45	3 of 59 - Sale <sup>25</sup>
	5.000	683.20	12/11/20	752.14	...	-68.94	4 of 59 - Sale <sup>25</sup>
	4.000	546.56	12/11/20	606.45	...	-59.89	5 of 59 - Sale <sup>25</sup>
	8.000	1,103.96	12/11/20	1,160.04	...	-56.08	6 of 59 - Sale <sup>25</sup>
	3.000	409.92	12/11/20	451.55	...	-41.63	7 of 59 - Sale <sup>25</sup>
	5.000	726.81	12/11/20	766.42	...	-39.61	8 of 59 - Sale <sup>25</sup>
	5.000	683.21	12/11/20	718.71	...	-35.50	9 of 59 - Sale <sup>25</sup>
	8.000	1,104.05	12/11/20	1,138.84	...	-34.79	10 of 59 - Sale <sup>25</sup>
	8.000	1,104.05	12/11/20	1,137.59	...	-33.54	11 of 59 - Sale <sup>25</sup>
	5.000	689.98	12/11/20	723.52	...	-33.54	12 of 59 - Sale <sup>25</sup>
	6.000	828.04	12/11/20	854.45	...	-26.41	13 of 59 - Sale <sup>25</sup>
	3.000	436.09	12/11/20	458.18	...	-22.09	14 of 59 - Sale <sup>25</sup>
	12.000	1,744.34	12/11/20	1,765.88	...	-21.54	15 of 59 - Sale <sup>25</sup>
	9.000	1,305.42	12/11/20	1,326.11	...	-20.69	16 of 59 - Sale <sup>25</sup>
	3.000	436.08	12/11/20	456.08	...	-20.00	17 of 59 - Sale <sup>25</sup>
	4.000	552.34	12/11/20	567.84	...	-15.50	18 of 59 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AIRBNB, INC. CLASS A COMMON ST OCK / CUSIP: 009066101 / Symbol: (cont'd)							
	1.000	136.64	12/11/20	152.13	...	-15.49	19 of 59 - Sale <sup>25</sup>
	1.000	136.64	12/11/20	151.61	...	-14.97	20 of 59 - Sale <sup>25</sup>
	2.000	290.60	12/11/20	305.07	...	-14.47	21 of 59 - Sale <sup>25</sup>
	2.000	275.99	12/11/20	289.41	...	-13.42	22 of 59 - Sale <sup>25</sup>
	2.000	275.99	12/11/20	289.31	...	-13.32	23 of 59 - Sale <sup>25</sup>
	2.000	275.99	12/11/20	289.21	...	-13.22	24 of 59 - Sale <sup>25</sup>
	1.000	136.64	12/11/20	147.35	...	-10.71	25 of 59 - Sale <sup>25</sup>
	2.000	275.99	12/11/20	285.51	...	-9.52	26 of 59 - Sale <sup>25</sup>
	2.000	276.02	12/11/20	284.83	...	-8.81	27 of 59 - Sale <sup>25</sup>
	2.000	275.99	12/11/20	284.71	...	-8.72	28 of 59 - Sale <sup>25</sup>
	2.000	276.01	12/11/20	284.30	...	-8.29	29 of 59 - Sale <sup>25</sup>
	1.000	138.00	12/11/20	145.00	...	-7.00	30 of 59 - Sale <sup>25</sup>
	3.000	435.14	12/11/20	442.04	...	-6.90	31 of 59 - Sale <sup>25</sup>
	1.000	138.00	12/11/20	144.71	...	-6.71	32 of 59 - Sale <sup>25</sup>
	1.000	138.00	12/11/20	144.71	...	-6.71	33 of 59 - Sale <sup>25</sup>
	1.000	138.00	12/11/20	144.55	...	-6.55	34 of 59 - Sale <sup>25</sup>
	3.000	435.14	12/11/20	441.18	...	-6.04	35 of 59 - Sale <sup>25</sup>
	1.000	136.64	12/11/20	141.68	...	-5.04	36 of 59 - Sale <sup>25</sup>
	1.000	138.00	12/11/20	142.81	...	-4.81	37 of 59 - Sale <sup>25</sup>
	1.000	138.00	12/11/20	142.71	...	-4.71	38 of 59 - Sale <sup>25</sup>
	1.000	138.00	12/11/20	142.70	...	-4.70	39 of 59 - Sale <sup>25</sup>
	1.000	138.00	12/11/20	142.70	...	-4.70	40 of 59 - Sale <sup>25</sup>
	2.000	290.09	12/11/20	294.70	...	-4.61	41 of 59 - Sale <sup>25</sup>
	2.000	290.09	12/11/20	294.70	...	-4.61	42 of 59 - Sale <sup>25</sup>
	1.000	138.01	12/11/20	142.39	...	-4.38	43 of 59 - Sale <sup>25</sup>
	1.000	138.01	12/11/20	142.27	...	-4.26	44 of 59 - Sale <sup>25</sup>
	2.000	290.09	12/11/20	294.12	...	-4.03	45 of 59 - Sale <sup>25</sup>
	1.000	138.09	12/11/20	141.95	...	-3.86	46 of 59 - Sale <sup>25</sup>
	1.000	138.09	12/11/20	141.79	...	-3.70	47 of 59 - Sale <sup>25</sup>
	10.000	1,450.47	12/11/20	1,453.62	...	-3.15	48 of 59 - Sale <sup>25</sup>
	1.000	145.05	12/11/20	147.35	...	-2.30	49 of 59 - Sale <sup>25</sup>
	8.000	1,160.38	12/11/20	1,160.86	...	-0.48	50 of 59 - Sale <sup>25</sup>
	2.000	290.09	12/11/20	290.00	...	0.09	51 of 59 - Sale <sup>25</sup>
	1.000	145.05	12/11/20	144.85	...	0.20	52 of 59 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AIRBNB, INC. CLASS A COMMON ST OCK / CUSIP: 009066101 / Symbol: (cont'd)							
	1.000	145.05	12/11/20	144.61	...	0.44	53 of 59 - Sale <sup>25</sup>
	4.000	580.19	12/11/20	579.43	...	0.76	54 of 59 - Sale <sup>25</sup>
	5.000	725.23	12/11/20	724.32	...	0.91	55 of 59 - Sale <sup>25</sup>
	2.000	290.09	12/11/20	289.13	...	0.96	56 of 59 - Sale <sup>25</sup>
	12.000	1,740.56	12/11/20	1,738.88	...	1.68	57 of 59 - Sale <sup>25</sup>
	7.000	1,015.33	12/11/20	1,012.98	...	2.35	58 of 59 - Sale <sup>25</sup>
	20.000	2,900.94	12/11/20	2,897.14	...	3.80	59 of 59 - Sale <sup>25</sup>
12/11/20	221.000	31,306.97	Various	32,226.06	...	-919.09	Total of 59 transactions
	160 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	2.000	297.99	12/10/20	302.79	4.80 W	0.00	1 of 160 - Sale <sup>25</sup>
	3.000	446.99	12/10/20	452.68	5.69 W	0.00	2 of 160 - Sale <sup>25</sup>
	1.000	149.00	12/10/20	152.68	3.68 W	0.00	3 of 160 - Sale <sup>25</sup>
	3.000	446.99	12/10/20	454.78	7.79 W	0.00	4 of 160 - Sale <sup>25</sup>
	5.000	744.98	12/10/20	760.74	15.76 W	0.00	5 of 160 - Sale <sup>25</sup>
	10.000	1,489.97	12/10/20	1,521.76	31.79 W	0.00	6 of 160 - Sale <sup>25</sup>
	3.000	435.14	12/11/20	441.17	4.13 W	-1.90	7 of 160 - Sale <sup>25</sup>
	1.000	149.00	12/11/20	157.46	8.46 W	0.00	8 of 160 - Sale <sup>25</sup>
	1.000	149.00	12/11/20	157.38	8.38 W	0.00	9 of 160 - Sale <sup>25</sup>
	3.000	446.99	12/11/20	468.83	21.84 W	0.00	10 of 160 - Sale <sup>25</sup>
	4.000	595.99	12/11/20	629.50	33.51 W	0.00	11 of 160 - Sale <sup>25</sup>
	10.000	1,489.97	12/11/20	1,562.88	72.91 W	0.00	12 of 160 - Sale <sup>25</sup>
	6.000	893.98	12/11/20	897.60	3.62 W	0.00	13 of 160 - Sale <sup>25</sup>
	2.000	297.99	12/11/20	299.20	1.21 W	0.00	14 of 160 - Sale <sup>25</sup>
	20.000	2,979.94	12/11/20	2,992.00	12.06 W	0.00	15 of 160 - Sale <sup>25</sup>
	2.000	297.99	12/11/20	299.20	1.21 W	0.00	16 of 160 - Sale <sup>25</sup>
	1.000	149.00	12/11/20	149.60	0.60 W	0.00	17 of 160 - Sale <sup>25</sup>
	12.000	1,787.96	12/11/20	1,794.60	6.64 W	0.00	18 of 160 - Sale <sup>25</sup>
	25.000	3,724.92	12/11/20	3,737.50	12.58 W	0.00	19 of 160 - Sale <sup>25</sup>
	13.000	1,936.96	12/11/20	1,944.15	7.19 W	0.00	20 of 160 - Sale <sup>25</sup>
	25.000	3,724.91	12/11/20	3,731.25	6.34 W	0.00	21 of 160 - Sale <sup>25</sup>
	1.000	147.01	12/11/20	152.93	5.92 W	0.00	22 of 160 - Sale <sup>25</sup>
	2.000	294.02	12/11/20	303.30	9.28 W	0.00	23 of 160 - Sale <sup>25</sup>
	3.000	441.03	12/11/20	453.44	12.41 W	0.00	24 of 160 - Sale <sup>25</sup>
	3.000	441.03	12/11/20	455.54	14.51 W	0.00	25 of 160 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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AIRBNB, INC. CLASS A COMMON ST OCK / CUSIP: 009066101 / Symbol: (cont'd)							
	5.000	735.05	12/11/20	762.01	26.96 W	0.00	26 of 160 - Sale <sup>25</sup>
	10.000	1,470.10	12/11/20	1,524.29	54.19 W	0.00	27 of 160 - Sale <sup>25</sup>
	1.000	147.01	12/11/20	157.71	10.70 W	0.00	28 of 160 - Sale <sup>25</sup>
	1.000	147.01	12/11/20	157.44	10.43 W	0.00	29 of 160 - Sale <sup>25</sup>
	1.000	147.01	12/11/20	149.67	2.66 W	0.00	30 of 160 - Sale <sup>25</sup>
	3.000	441.03	12/11/20	469.01	27.98 W	0.00	31 of 160 - Sale <sup>25</sup>
	4.000	588.04	12/11/20	629.74	41.70 W	0.00	32 of 160 - Sale <sup>25</sup>
	6.000	882.06	12/11/20	897.96	15.90 W	0.00	33 of 160 - Sale <sup>25</sup>
	10.000	1,470.10	12/11/20	1,563.48	93.38 W	0.00	34 of 160 - Sale <sup>25</sup>
	1.000	147.01	12/11/20	149.95	2.94 W	0.00	35 of 160 - Sale <sup>25</sup>
	1.000	147.01	12/11/20	149.95	2.94 W	0.00	36 of 160 - Sale <sup>25</sup>
	1.000	147.01	12/11/20	149.90	2.89 W	0.00	37 of 160 - Sale <sup>25</sup>
	2.000	294.02	12/11/20	299.91	5.89 W	0.00	38 of 160 - Sale <sup>25</sup>
	20.000	2,940.19	12/11/20	2,999.06	58.87 W	0.00	39 of 160 - Sale <sup>25</sup>
	1.000	147.01	12/11/20	149.90	2.89 W	0.00	40 of 160 - Sale <sup>25</sup>
	11.000	1,617.10	12/11/20	1,651.69	34.59 W	0.00	41 of 160 - Sale <sup>25</sup>
	13.000	1,911.12	12/11/20	1,952.64	41.52 W	0.00	42 of 160 - Sale <sup>25</sup>
	11.000	1,617.10	12/11/20	1,652.79	35.69 W	0.00	43 of 160 - Sale <sup>25</sup>
	14.000	2,058.13	12/11/20	2,102.84	44.71 W	0.00	44 of 160 - Sale <sup>25</sup>
	15.000	2,205.14	12/11/20	2,247.81	42.67 W	0.00	45 of 160 - Sale <sup>25</sup>
	9.000	1,323.09	12/11/20	1,347.78	24.69 W	0.00	46 of 160 - Sale <sup>25</sup>
	16.000	2,352.15	12/11/20	2,392.00	39.85 W	0.00	47 of 160 - Sale <sup>25</sup>
	12.000	1,764.12	12/11/20	1,794.00	29.88 W	0.00	48 of 160 - Sale <sup>25</sup>
	3.000	441.03	12/11/20	448.50	7.47 W	0.00	49 of 160 - Sale <sup>25</sup>
	1.000	145.60	12/11/20	153.42	7.82 W	0.00	50 of 160 - Sale <sup>25</sup>
	2.000	291.19	12/11/20	304.28	13.09 W	0.00	51 of 160 - Sale <sup>25</sup>
	3.000	436.79	12/11/20	454.91	18.12 W	0.00	52 of 160 - Sale <sup>25</sup>
	3.000	436.79	12/11/20	457.01	20.22 W	0.00	53 of 160 - Sale <sup>25</sup>
	5.000	727.98	12/11/20	764.46	36.48 W	0.00	54 of 160 - Sale <sup>25</sup>
	10.000	1,455.97	12/11/20	1,529.19	73.22 W	0.00	55 of 160 - Sale <sup>25</sup>
	1.000	145.60	12/11/20	158.20	12.60 W	0.00	56 of 160 - Sale <sup>25</sup>
	1.000	145.60	12/11/20	157.78	12.18 W	0.00	57 of 160 - Sale <sup>25</sup>
	1.000	145.60	12/11/20	150.01	4.41 W	0.00	58 of 160 - Sale <sup>25</sup>
	3.000	436.79	12/11/20	470.03	33.24 W	0.00	59 of 160 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AIRBNB, INC. CLASS A COMMON ST OCK / CUSIP: 009066101 / Symbol: (cont'd)							
	4.000	582.39	12/11/20	631.10	48.71 W	0.00	60 of 160 - Sale <sup>25</sup>
	6.000	873.58	12/11/20	900.00	26.42 W	0.00	61 of 160 - Sale <sup>25</sup>
	10.000	1,455.97	12/11/20	1,566.88	110.91 W	0.00	62 of 160 - Sale <sup>25</sup>
	1.000	145.60	12/11/20	150.14	4.54 W	0.00	63 of 160 - Sale <sup>25</sup>
	1.000	145.60	12/11/20	150.14	4.54 W	0.00	64 of 160 - Sale <sup>25</sup>
	1.000	145.60	12/11/20	150.09	4.49 W	0.00	65 of 160 - Sale <sup>25</sup>
	2.000	291.19	12/11/20	300.29	9.10 W	0.00	66 of 160 - Sale <sup>25</sup>
	20.000	2,911.93	12/11/20	3,002.87	90.94 W	0.00	67 of 160 - Sale <sup>25</sup>
	11.000	1,601.56	12/11/20	1,654.89	53.33 W	0.00	68 of 160 - Sale <sup>25</sup>
	14.000	2,038.35	12/11/20	2,105.51	67.16 W	0.00	69 of 160 - Sale <sup>25</sup>
	1.000	145.60	12/11/20	149.89	4.29 W	0.00	70 of 160 - Sale <sup>25</sup>
	11.000	1,601.56	12/11/20	1,651.59	50.03 W	0.00	71 of 160 - Sale <sup>25</sup>
	13.000	1,892.76	12/11/20	1,952.52	59.76 W	0.00	72 of 160 - Sale <sup>25</sup>
	5.000	727.98	12/11/20	752.22	24.24 W	0.00	73 of 160 - Sale <sup>25</sup>
	6.000	873.58	12/11/20	896.94	23.36 W	0.00	74 of 160 - Sale <sup>25</sup>
	9.000	1,310.37	12/11/20	1,347.69	37.32 W	0.00	75 of 160 - Sale <sup>25</sup>
	10.000	1,455.97	12/11/20	1,498.45	42.48 W	0.00	76 of 160 - Sale <sup>25</sup>
	3.000	436.79	12/11/20	446.97	10.18 W	0.00	77 of 160 - Sale <sup>25</sup>
	2.000	291.19	12/11/20	297.98	6.79 W	0.00	78 of 160 - Sale <sup>25</sup>
	3.000	436.79	12/11/20	446.97	10.18 W	0.00	79 of 160 - Sale <sup>25</sup>
	5.000	727.98	12/11/20	744.96	16.98 W	0.00	80 of 160 - Sale <sup>25</sup>
	12.000	1,747.16	12/11/20	1,787.88	40.72 W	0.00	81 of 160 - Sale <sup>25</sup>
	25.000	3,639.91	12/11/20	3,668.75	28.84 W	0.00	82 of 160 - Sale <sup>25</sup>
	25.000	3,639.91	12/11/20	3,668.75	28.84 W	0.00	83 of 160 - Sale <sup>25</sup>
	7.000	1,019.18	12/11/20	1,026.20	7.02 W	0.00	84 of 160 - Sale <sup>25</sup>
	25.000	3,639.91	12/11/20	3,662.50	22.59 W	0.00	85 of 160 - Sale <sup>25</sup>
	1.000	145.12	12/11/20	152.67	7.55 W	0.00	86 of 160 - Sale <sup>25</sup>
	2.000	290.23	12/11/20	302.79	12.56 W	0.00	87 of 160 - Sale <sup>25</sup>
	3.000	435.35	12/11/20	452.67	17.32 W	0.00	88 of 160 - Sale <sup>25</sup>
	3.000	435.35	12/11/20	454.77	19.42 W	0.00	89 of 160 - Sale <sup>25</sup>
	5.000	725.58	12/11/20	760.73	35.15 W	0.00	90 of 160 - Sale <sup>25</sup>
	10.000	1,451.17	12/11/20	1,521.72	70.55 W	0.00	91 of 160 - Sale <sup>25</sup>
	1.000	145.12	12/11/20	157.45	12.33 W	0.00	92 of 160 - Sale <sup>25</sup>
	1.000	145.12	12/11/20	156.93	11.81 W	0.00	93 of 160 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AIRBNB, INC. CLASS A COMMON ST OCK / CUSIP: 009066101 / Symbol: (cont'd)							
	1.000	145.12	12/11/20	149.16	4.04 W	0.00	94 of 160 - Sale <sup>25</sup>
	3.000	435.35	12/11/20	467.49	32.14 W	0.00	95 of 160 - Sale <sup>25</sup>
	4.000	580.47	12/11/20	627.71	47.24 W	0.00	96 of 160 - Sale <sup>25</sup>
	6.000	870.69	12/11/20	894.92	24.23 W	0.00	97 of 160 - Sale <sup>25</sup>
	10.000	1,451.16	12/11/20	1,558.41	107.25 W	0.00	98 of 160 - Sale <sup>25</sup>
	1.000	145.12	12/11/20	149.32	4.20 W	0.00	99 of 160 - Sale <sup>25</sup>
	1.000	145.12	12/11/20	149.31	4.19 W	0.00	100 of 160 - Sale <sup>25</sup>
	2.000	290.23	12/11/20	298.65	8.42 W	0.00	101 of 160 - Sale <sup>25</sup>
	20.000	2,902.33	12/11/20	2,986.44	84.11 W	0.00	102 of 160 - Sale <sup>25</sup>
	1.000	145.20	12/11/20	149.27	4.07 W	0.00	103 of 160 - Sale <sup>25</sup>
	11.000	1,597.16	12/11/20	1,645.58	48.42 W	0.00	104 of 160 - Sale <sup>25</sup>
	14.000	2,032.75	12/11/20	2,093.66	60.91 W	0.00	105 of 160 - Sale <sup>25</sup>
	1.000	145.20	12/11/20	148.59	3.39 W	0.00	106 of 160 - Sale <sup>25</sup>
	5.000	725.98	12/11/20	747.74	21.76 W	0.00	107 of 160 - Sale <sup>25</sup>
	9.000	1,306.77	12/11/20	1,339.62	32.85 W	0.00	108 of 160 - Sale <sup>25</sup>
	10.000	1,451.97	12/11/20	1,489.48	37.51 W	0.00	109 of 160 - Sale <sup>25</sup>
	2.000	290.39	12/11/20	296.19	5.80 W	0.00	110 of 160 - Sale <sup>25</sup>
	3.000	435.59	12/11/20	444.28	8.69 W	0.00	111 of 160 - Sale <sup>25</sup>
	5.000	725.98	12/11/20	742.97	16.99 W	0.00	112 of 160 - Sale <sup>25</sup>
	5.000	725.98	12/11/20	740.48	14.50 W	0.00	113 of 160 - Sale <sup>25</sup>
	10.000	1,451.97	12/11/20	1,480.93	28.96 W	0.00	114 of 160 - Sale <sup>25</sup>
	1.000	145.20	12/11/20	148.99	3.79 W	0.00	115 of 160 - Sale <sup>25</sup>
	11.000	1,597.16	12/11/20	1,641.73	44.57 W	0.00	116 of 160 - Sale <sup>25</sup>
	13.000	1,887.56	12/11/20	1,940.86	53.30 W	0.00	117 of 160 - Sale <sup>25</sup>
	2.000	290.39	12/11/20	296.19	5.80 W	0.00	118 of 160 - Sale <sup>25</sup>
	3.000	435.59	12/11/20	444.28	8.69 W	0.00	119 of 160 - Sale <sup>25</sup>
	20.000	2,903.93	12/11/20	2,917.07	13.14 W	0.00	120 of 160 - Sale <sup>25</sup>
	1.000	145.20	12/11/20	145.80	0.60 W	0.00	121 of 160 - Sale <sup>25</sup>
	4.000	580.79	12/11/20	583.22	2.43 W	0.00	122 of 160 - Sale <sup>25</sup>
	20.000	2,903.93	12/11/20	2,916.07	12.14 W	0.00	123 of 160 - Sale <sup>25</sup>
	5.000	725.98	12/11/20	729.05	3.07 W	0.00	124 of 160 - Sale <sup>25</sup>
	7.000	1,016.38	12/11/20	1,019.61	3.23 W	0.00	125 of 160 - Sale <sup>25</sup>
	13.000	1,887.56	12/11/20	1,892.27	4.71 W	0.00	126 of 160 - Sale <sup>25</sup>
	2.000	290.09	12/11/20	301.26	11.17 W	0.00	127 of 160 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AIRBNB, INC. CLASS A COMMON ST OCK / CUSIP: 009066101 / Symbol: (cont'd)							
	3.000	435.14	12/11/20	450.37	15.23 W	0.00	128 of 160 - Sale <sup>25</sup>
	3.000	435.14	12/11/20	452.47	17.33 W	0.00	129 of 160 - Sale <sup>25</sup>
	5.000	725.23	12/11/20	756.90	31.67 W	0.00	130 of 160 - Sale <sup>25</sup>
	12.000	1,740.56	12/11/20	1,743.04	2.48 W	0.00	131 of 160 - Sale <sup>25</sup>
	1.000	145.05	12/11/20	152.40	7.35 W	0.00	132 of 160 - Sale <sup>25</sup>
	10.000	1,450.47	12/11/20	1,519.05	68.58 W	0.00	133 of 160 - Sale <sup>25</sup>
	1.000	145.05	12/11/20	157.18	12.13 W	0.00	134 of 160 - Sale <sup>25</sup>
	1.000	145.05	12/11/20	156.66	11.61 W	0.00	135 of 160 - Sale <sup>25</sup>
	3.000	435.14	12/11/20	466.69	31.55 W	0.00	136 of 160 - Sale <sup>25</sup>
	4.000	580.19	12/11/20	626.64	46.45 W	0.00	137 of 160 - Sale <sup>25</sup>
	5.000	725.23	12/11/20	777.88	52.65 W	0.00	138 of 160 - Sale <sup>25</sup>
	1.000	145.05	12/11/20	148.84	3.79 W	0.00	139 of 160 - Sale <sup>25</sup>
	1.000	145.05	12/11/20	149.00	3.95 W	0.00	140 of 160 - Sale <sup>25</sup>
	5.000	725.23	12/11/20	777.62	52.39 W	0.00	141 of 160 - Sale <sup>25</sup>
	6.000	870.28	12/11/20	893.03	22.75 W	0.00	142 of 160 - Sale <sup>25</sup>
	12.000	1,740.56	12/11/20	1,788.07	47.51 W	0.00	143 of 160 - Sale <sup>25</sup>
	1.000	145.05	12/11/20	148.94	3.89 W	0.00	144 of 160 - Sale <sup>25</sup>
	1.000	145.05	12/11/20	148.82	3.77 W	0.00	145 of 160 - Sale <sup>25</sup>
	2.000	290.09	12/11/20	297.92	7.83 W	0.00	146 of 160 - Sale <sup>25</sup>
	2.000	290.09	12/11/20	298.20	8.11 W	0.00	147 of 160 - Sale <sup>25</sup>
	8.000	1,160.37	12/11/20	1,191.64	31.27 W	0.00	148 of 160 - Sale <sup>25</sup>
	11.000	1,595.51	12/11/20	1,640.67	45.16 W	0.00	149 of 160 - Sale <sup>25</sup>
	2.000	290.09	12/11/20	297.60	7.51 W	0.00	150 of 160 - Sale <sup>25</sup>
	11.000	1,595.51	12/11/20	1,636.27	40.76 W	0.00	151 of 160 - Sale <sup>25</sup>
	12.000	1,740.56	12/11/20	1,788.61	48.05 W	0.00	152 of 160 - Sale <sup>25</sup>
	1.000	145.05	12/11/20	148.39	3.34 W	0.00	153 of 160 - Sale <sup>25</sup>
	5.000	725.23	12/11/20	744.76	19.53 W	0.00	154 of 160 - Sale <sup>25</sup>
	8.000	1,160.37	12/11/20	1,186.81	26.44 W	0.00	155 of 160 - Sale <sup>25</sup>
	11.000	1,595.51	12/11/20	1,635.70	40.19 W	0.00	156 of 160 - Sale <sup>25</sup>
	1.000	145.05	12/11/20	147.55	2.50 W	0.00	157 of 160 - Sale <sup>25</sup>
	2.000	290.09	12/11/20	295.82	5.73 W	0.00	158 of 160 - Sale <sup>25</sup>
	5.000	725.23	12/11/20	737.79	12.56 W	0.00	159 of 160 - Sale <sup>25</sup>
	9.000	1,305.42	12/11/20	1,330.29	24.87 W	0.00	160 of 160 - Sale <sup>25</sup>
12/11/20	1,011.000	147,759.73	Various	151,512.40	3,750.77 W	-1.90	Total of 160 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AIRBNB, INC. CLASS A COMMON ST OCK / CUSIP: 009066101 / Symbol: (cont'd)							
13 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	8.000	1,019.26	12/14/20	1,068.93	...	-49.67	1 of 13 - Sale <sup>25</sup>
	8.000	1,016.04	12/14/20	1,050.60	...	-34.56	2 of 13 - Sale <sup>25</sup>
	5.000	637.03	12/14/20	671.09	...	-34.06	3 of 13 - Sale <sup>25</sup>
	4.000	509.63	12/14/20	531.06	...	-21.43	4 of 13 - Sale <sup>25</sup>
	3.000	382.22	12/14/20	399.22	...	-17.00	5 of 13 - Sale <sup>25</sup>
	3.000	382.21	12/14/20	397.54	...	-15.33	6 of 13 - Sale <sup>25</sup>
	2.000	254.81	12/14/20	266.35	...	-11.54	7 of 13 - Sale <sup>25</sup>
	1.000	127.41	12/14/20	133.65	...	-6.24	8 of 13 - Sale <sup>25</sup>
	1.000	127.41	12/14/20	132.76	...	-5.35	9 of 13 - Sale <sup>25</sup>
	1.000	127.41	12/14/20	132.76	...	-5.35	10 of 13 - Sale <sup>25</sup>
	1.000	127.41	12/14/20	132.50	...	-5.09	11 of 13 - Sale <sup>25</sup>
	1.000	127.01	12/14/20	131.15	...	-4.14	12 of 13 - Sale <sup>25</sup>
	1.000	127.41	12/14/20	131.33	...	-3.92	13 of 13 - Sale <sup>25</sup>
12/14/20	39.000	4,965.26	Various	5,178.94	...	-213.68	Total of 13 transactions
4 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	1.943	270.01	12/16/20	276.91	...	-6.90	1 of 4 - Sale <sup>25</sup>
	1.000	138.55	12/16/20	142.00	...	-3.45	2 of 4 - Sale <sup>25</sup>
	0.057	7.92	12/16/20	8.14	...	-0.22	3 of 4 - Sale <sup>25</sup>
	0.057	7.87	12/16/20	8.09	...	-0.22	4 of 4 - Sale <sup>25</sup>
12/16/20	3.057	424.35	Various	435.14	...	-10.79	Total of 4 transactions
12/30/20	1.000	150.80	12/29/20	151.60	...	-0.80	Sale <sup>25</sup>
	<b>Security total:</b>	<b>196,488.42</b>		<b>201,803.29</b>	<b>4,168.61 W</b>	<b>-1,146.26</b>	
AIR LEASE CORPORATION / CUSIP: 00912X302 / Symbol:							
03/25/20	30.000	731.08	03/24/20	602.67	...	128.41	Sale <sup>25</sup>
AKAMAI TECHNOLOGIES, INC. COMM ON STOCK / CUSIP: 00971T101 / Symbol:							
05/29/20	5.000	530.18	05/28/20	518.70	...	11.48	Sale <sup>25</sup>
06/01/20	5.000	523.08	05/28/20	518.70	...	4.38	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,053.26</b>		<b>1,037.40</b>	...	<b>15.86</b>	

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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AKORN INC COMMON STOCK / CUSIP: 009728106 / Symbol:							
4 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	450.000	134.95	04/17/20	168.66	...	-33.71	1 of 4 - Sale <sup>25</sup>
	350.000	104.96	04/17/20	132.54	...	-27.58	2 of 4 - Sale <sup>25</sup>
	150.000	44.98	04/17/20	68.03	...	-23.05	3 of 4 - Sale <sup>25</sup>
	300.000	89.96	04/17/20	112.41	...	-22.45	4 of 4 - Sale <sup>25</sup>
04/20/20	1,250.000	374.85	Various	481.64	...	-106.79	Total of 4 transactions
04/20/20	250.000	74.97	04/17/20	93.68	11.23 W	-7.48	Sale <sup>25</sup>
	<b>Security total:</b>	<b>449.82</b>		<b>575.32</b>	<b>11.23 W</b>	<b>-114.27</b>	
AKEBIA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 00972D105 / Symbol:							
05/06/20	1.000	12.03	05/05/20	10.83	...	1.20	Sale <sup>25</sup>
AKERS BIOSCIENCES INC. COMMON STOCK / CUSIP: 00973E409 / Symbol:							
3 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	3.000	24.00	04/06/20	16.17	...	7.83	1 of 3 - Sale <sup>25</sup>
	7.000	56.00	04/06/20	37.73	...	18.27	2 of 3 - Sale <sup>25</sup>
	10.000	80.00	04/06/20	53.90	...	26.10	3 of 3 - Sale <sup>25</sup>
04/07/20	20.000	160.00	Various	107.80	...	52.20	Total of 3 transactions
04/27/20	100.000	390.24	04/24/20	424.00	...	-33.76	Sale <sup>25</sup>
4 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	200.000	786.36	07/14/20	794.00	...	-7.64	1 of 4 - Sale <sup>25</sup>
	50.000	196.59	07/14/20	198.50	...	-1.91	2 of 4 - Sale <sup>25</sup>
	1.000	3.93	07/14/20	3.93	...	0.00	3 of 4 - Sale <sup>25</sup>
	249.000	979.01	07/14/20	978.57	...	0.44	4 of 4 - Sale <sup>25</sup>
07/14/20	500.000	1,965.89	Various	1,975.00	...	-9.11	Total of 4 transactions
	<b>Security total:</b>	<b>2,516.13</b>		<b>2,506.80</b>	...	<b>9.33</b>	
ALBERTSONS COMPANIES, INC. / CUSIP: 013091103 / Symbol:							
10/20/20	150.000	2,270.92	10/20/20	2,272.22	...	-1.30	Sale <sup>25</sup>
ALDEYRA THERAPEUTICS, INC. COM MON STOCK / CUSIP: 01438T106 / Symbol:							
07/09/20	150.000	781.75	07/09/20	790.49	...	-8.74	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
04/01/20	5.000	949.11	03/31/20	970.62	21.51 W	0.00	Sale <sup>25</sup>
04/07/20	5.000	1,002.91	04/06/20	1,002.91	...	0.00	Sale <sup>25</sup>
04/16/20	5.000	1,049.49	04/15/20	1,041.45	...	8.04	Sale <sup>25</sup>
07/08/20	5.000	1,288.23	07/08/20	1,280.83	...	7.40	Sale <sup>25</sup>
<i>2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	1,258.42	07/08/20	1,268.84	10.42 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	1,287.23	07/08/20	1,287.56	0.33 W	0.00	2 of 2 - Sale <sup>25</sup>
07/08/20	10.000	2,545.65	Various	2,556.40	10.75 W	0.00	Total of 2 transactions
08/17/20	5.000	1,279.12	08/17/20	1,279.70	0.58 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	1,295.32	08/18/20	1,298.08	...	-2.76	1 of 2 - Sale <sup>25</sup>
	5.000	1,295.32	08/18/20	1,297.50	...	-2.18	2 of 2 - Sale <sup>25</sup>
08/18/20	10.000	2,590.64	Various	2,595.58	...	-4.94	Total of 2 transactions
12/29/20	25.000	5,964.61	12/29/20	5,975.69	...	-11.08	Sale <sup>25</sup>
12/29/20	25.000	5,964.62	12/29/20	5,973.75	3.65 W	-5.48	Sale <sup>25</sup>
<b>Security total:</b>		<b>22,634.38</b>		<b>22,676.93</b>	<b>36.49 W</b>	<b>-6.06</b>	
ALLEGIANT TRAVEL COMPANY COMMO N STOCK / CUSIP: 01748X102 / Symbol:							
<i>2 transactions for 07/30/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	1,114.87	07/30/20	1,079.85	...	35.02	1 of 2 - Sale <sup>25</sup>
	15.000	1,670.96	07/30/20	1,619.78	...	51.18	2 of 2 - Sale <sup>25</sup>
07/30/20	25.000	2,785.83	Various	2,699.63	...	86.20	Total of 2 transactions
ALLIED HEALTHCARE PRODUCTS, IN C. COMMON STOCK / CUSIP: 019222207 / Symbol:							
<i>3 transactions for 02/28/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	160.00	02/27/20	77.78	...	82.22	1 of 3 - Sale <sup>25</sup>
	6.000	192.30	02/27/20	85.98	...	106.32	2 of 3 - Sale <sup>25</sup>
	64.000	2,047.94	02/27/20	917.12	...	1,130.82	3 of 3 - Sale <sup>25</sup>
02/28/20	75.000	2,400.24	Various	1,080.88	...	1,319.36	Total of 3 transactions
03/02/20	100.000	2,378.93	02/28/20	3,192.00	813.07 W	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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ALLIED HEALTHCARE PRODUCTS, IN C. COMMON STOCK / CUSIP: 019222207 / Symbol: (cont'd)							
2 transactions for 03/03/20. Total proceeds and cost reported to the IRS.							
	30.000	622.78	03/03/20	895.22	...	-272.44	1 of 2 - Sale <sup>25</sup>
03/03/20	10.000	207.59	03/03/20	274.46	...	-66.87	2 of 2 - Sale <sup>25</sup>
	40.000	830.37	Various	1,169.68	...	-339.31	Total of 2 transactions
4 transactions for 03/03/20. Total proceeds and cost reported to the IRS.							
	20.000	415.19	03/03/20	545.61	97.81 W	-32.61	1 of 4 - Sale <sup>25</sup>
	1.000	20.76	03/03/20	21.94	1.18 W	0.00	2 of 4 - Sale <sup>25</sup>
	19.000	394.43	03/03/20	416.87	22.44 W	0.00	3 of 4 - Sale <sup>25</sup>
03/03/20	20.000	415.19	03/03/20	444.42	29.23 W	0.00	4 of 4 - Sale <sup>25</sup>
	60.000	1,245.57	Various	1,428.84	150.66 W	-32.61	Total of 4 transactions
3 transactions for 03/04/20. Total proceeds and cost reported to the IRS.							
	19.000	311.60	03/03/20	471.03	...	-159.43	1 of 3 - Sale <sup>25</sup>
	5.000	82.00	03/03/20	125.36	...	-43.36	2 of 3 - Sale <sup>25</sup>
03/04/20	1.000	16.40	03/03/20	24.79	...	-8.39	3 of 3 - Sale <sup>25</sup>
	25.000	410.00	Various	621.18	...	-211.18	Total of 3 transactions
2 transactions for 03/17/20. Total proceeds and cost reported to the IRS.							
	15.000	199.50	03/16/20	321.31	...	-121.81	1 of 2 - Sale <sup>25</sup>
03/17/20	15.000	199.50	03/16/20	245.42	...	-45.92	2 of 2 - Sale <sup>25</sup>
	30.000	399.00	Various	566.73	...	-167.73	Total of 2 transactions
05/05/20	20.000	250.00	05/04/20	242.00	...	8.00	Sale <sup>25</sup>
06/22/20	5.000	60.25	06/19/20	54.50	...	5.75	Sale <sup>25</sup>
06/22/20	45.000	542.23	06/19/20	553.50	11.27 W	0.00	Sale <sup>25</sup>
5 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	1.000	12.62	06/24/20	13.35	0.73 W	0.00	1 of 5 - Sale <sup>25</sup>
	44.000	554.67	06/24/20	587.42	32.75 W	0.00	2 of 5 - Sale <sup>25</sup>
	30.000	378.18	06/24/20	393.00	14.82 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	12.61	06/25/20	15.29	2.68 W	0.00	4 of 5 - Sale <sup>25</sup>
	49.000	617.70	06/25/20	713.39	95.69 W	0.00	5 of 5 - Sale <sup>25</sup>
06/25/20	125.000	1,575.78	Various	1,722.45	146.67 W	0.00	Total of 5 transactions
5 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	25.000	295.61	06/30/20	352.57	...	-56.96	1 of 5 - Sale <sup>25</sup>
	25.000	295.62	06/30/20	316.10	...	-20.48	2 of 5 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

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ALLIED HEALTHCARE PRODUCTS, IN C. COMMON STOCK / CUSIP: 019222207 / Symbol: (cont'd)							
	8.000	92.80	06/30/20	111.18	...	-18.38	3 of 5 - Sale <sup>25</sup>
	5.000	58.75	06/30/20	63.22	...	-4.47	4 of 5 - Sale <sup>25</sup>
	1.000	11.75	06/30/20	14.83	...	-3.08	5 of 5 - Sale <sup>25</sup>
06/30/20	64.000	754.53	Various	857.90	...	-103.37	Total of 5 transactions
06/30/20	44.000	516.98	06/30/20	567.35	38.92 W	-11.45	Sale <sup>25</sup>
	2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	34.000	355.12	07/13/20	392.18	...	-37.06	1 of 2 - Sale <sup>25</sup>
	16.000	167.11	07/13/20	197.49	...	-30.38	2 of 2 - Sale <sup>25</sup>
07/13/20	50.000	522.23	Various	589.67	...	-67.44	Total of 2 transactions
	<b>Security total:</b>	<b>11,886.11</b>		<b>12,646.68</b>	<b>1,160.59 W</b>	<b>400.02</b>	
ALPHA PRO TECH, LTD. / CUSIP: 020772109 / Symbol:							
	5 transactions for 02/28/20. Total proceeds and cost reported to the IRS.						
	2.000	70.00	02/27/20	42.85	...	27.15	1 of 5 - Sale <sup>25</sup>
	10.000	349.99	02/27/20	239.50	...	110.49	2 of 5 - Sale <sup>25</sup>
	15.000	524.99	02/27/20	379.65	...	145.34	3 of 5 - Sale <sup>25</sup>
	25.000	874.97	02/27/20	566.25	...	308.72	4 of 5 - Sale <sup>25</sup>
	48.000	1,679.96	02/27/20	1,030.56	...	649.40	5 of 5 - Sale <sup>25</sup>
02/28/20	100.000	3,499.91	Various	2,258.81	...	1,241.10	Total of 5 transactions
	3 transactions for 02/28/20. Total proceeds and cost reported to the IRS.						
	1.000	36.97	02/28/20	40.24	3.27 W	0.00	1 of 3 - Sale <sup>25</sup>
	3.000	110.91	02/28/20	120.75	9.84 W	0.00	2 of 3 - Sale <sup>25</sup>
	26.000	961.19	02/28/20	1,046.50	85.31 W	0.00	3 of 3 - Sale <sup>25</sup>
02/28/20	30.000	1,109.07	Various	1,207.49	98.42 W	0.00	Total of 3 transactions
	5 transactions for 03/02/20. Total proceeds and cost reported to the IRS.						
	1.000	19.65	02/28/20	40.17	20.52 W	0.00	1 of 5 - Sale <sup>25</sup>
	3.000	58.95	02/28/20	120.54	61.59 W	0.00	2 of 5 - Sale <sup>25</sup>
	26.000	510.88	02/28/20	1,044.66	533.78 W	0.00	3 of 5 - Sale <sup>25</sup>
	6.000	117.90	02/28/20	221.39	103.49 W	0.00	4 of 5 - Sale <sup>25</sup>
	14.000	274.54	02/28/20	516.58	242.04 W	0.00	5 of 5 - Sale <sup>25</sup>
03/02/20	50.000	981.92	Various	1,943.34	961.42 W	0.00	Total of 5 transactions

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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ALPHA PRO TECH, LTD. / CUSIP: 020772109 / Symbol: (cont'd)							
<i>7 transactions for 03/04/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	16.51	03/03/20	37.50	20.99 W	0.00	1 of 7 - Sale <sup>25</sup>
	3.000	49.53	03/03/20	112.53	63.00 W	0.00	2 of 7 - Sale <sup>25</sup>
	16.000	264.15	03/03/20	600.16	336.01 W	0.00	3 of 7 - Sale <sup>25</sup>
	6.000	99.06	03/03/20	224.33	125.27 W	0.00	4 of 7 - Sale <sup>25</sup>
	10.000	165.10	03/03/20	406.70	241.60 W	0.00	5 of 7 - Sale <sup>25</sup>
	10.000	165.09	03/03/20	374.29	209.20 W	0.00	6 of 7 - Sale <sup>25</sup>
	4.000	66.05	03/03/20	149.71	83.66 W	0.00	7 of 7 - Sale <sup>25</sup>
03/04/20	50.000	825.49	Various	1,905.22	1,079.73 W	0.00	Total of 7 transactions
<i>2 transactions for 03/12/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	64.99	03/11/20	60.40	...	4.59	1 of 2 - Sale <sup>25</sup>
	25.000	324.98	03/11/20	261.78	...	63.20	2 of 2 - Sale <sup>25</sup>
03/12/20	30.000	389.97	Various	322.18	...	67.79	Total of 2 transactions
<i>8 transactions for 03/12/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	13.00	03/11/20	31.37	18.37 W	0.00	1 of 8 - Sale <sup>25</sup>
	3.000	39.00	03/11/20	94.13	55.13 W	0.00	2 of 8 - Sale <sup>25</sup>
	4.000	52.00	03/11/20	125.18	73.18 W	0.00	3 of 8 - Sale <sup>25</sup>
	6.000	78.00	03/11/20	187.53	109.53 W	0.00	4 of 8 - Sale <sup>25</sup>
	10.000	130.00	03/11/20	345.37	215.37 W	0.00	5 of 8 - Sale <sup>25</sup>
	16.000	207.99	03/11/20	502.04	294.05 W	0.00	6 of 8 - Sale <sup>25</sup>
	4.000	52.00	03/11/20	125.55	73.55 W	0.00	7 of 8 - Sale <sup>25</sup>
	6.000	78.00	03/11/20	188.35	110.35 W	0.00	8 of 8 - Sale <sup>25</sup>
03/12/20	50.000	649.99	Various	1,599.52	949.53 W	0.00	Total of 8 transactions
<i>9 transactions for 03/30/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	52.12	03/27/20	119.14	67.02 W	0.00	1 of 9 - Sale <sup>25</sup>
	6.000	78.18	03/27/20	178.47	100.29 W	0.00	2 of 9 - Sale <sup>25</sup>
	4.000	52.12	03/27/20	119.55	67.43 W	0.00	3 of 9 - Sale <sup>25</sup>
	6.000	78.18	03/27/20	179.35	101.17 W	0.00	4 of 9 - Sale <sup>25</sup>
	1.000	13.12	03/27/20	29.86	16.74 W	0.00	5 of 9 - Sale <sup>25</sup>
	3.000	39.36	03/27/20	89.60	50.24 W	0.00	6 of 9 - Sale <sup>25</sup>
	1.000	13.12	03/27/20	29.87	16.75 W	0.00	7 of 9 - Sale <sup>25</sup>
	10.000	130.54	03/27/20	330.27	199.73 W	0.00	8 of 9 - Sale <sup>25</sup>

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(continued)

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ALPHA PRO TECH, LTD. / CUSIP: 020772109 / Symbol: (cont'd)							
	15.000	195.83	03/27/20	448.02	252.19 W	0.00	9 of 9 - Sale <sup>25</sup>
03/30/20	50.000	652.57	Various	1,524.13	871.56 W	0.00	Total of 9 transactions
	3 transactions for 04/02/20. Total proceeds and cost reported to the IRS.						
	10.000	157.19	04/01/20	171.50	...	-14.31	1 of 3 - Sale <sup>25</sup>
	20.000	314.38	04/01/20	324.40	...	-10.02	2 of 3 - Sale <sup>25</sup>
	5.000	78.60	04/01/20	82.40	...	-3.80	3 of 3 - Sale <sup>25</sup>
04/02/20	35.000	550.17	Various	578.30	...	-28.13	Total of 3 transactions
	10 transactions for 04/02/20. Total proceeds and cost reported to the IRS.						
	1.000	15.72	04/01/20	31.54	15.82 W	0.00	1 of 10 - Sale <sup>25</sup>
	1.000	15.72	04/01/20	31.55	15.83 W	0.00	2 of 10 - Sale <sup>25</sup>
	3.000	47.16	04/01/20	94.64	47.48 W	0.00	3 of 10 - Sale <sup>25</sup>
	10.000	157.20	04/01/20	347.73	190.53 W	0.00	4 of 10 - Sale <sup>25</sup>
	15.000	235.79	04/01/20	474.19	238.40 W	0.00	5 of 10 - Sale <sup>25</sup>
	5.000	78.60	04/01/20	157.58	78.98 W	0.00	6 of 10 - Sale <sup>25</sup>
	1.000	15.72	04/01/20	32.66	16.94 W	0.00	7 of 10 - Sale <sup>25</sup>
	4.000	62.88	04/01/20	130.82	67.94 W	0.00	8 of 10 - Sale <sup>25</sup>
	4.000	62.88	04/01/20	131.23	68.35 W	0.00	9 of 10 - Sale <sup>25</sup>
	6.000	94.32	04/01/20	196.87	102.55 W	0.00	10 of 10 - Sale <sup>25</sup>
04/02/20	50.000	785.99	Various	1,628.81	842.82 W	0.00	Total of 10 transactions
	10 transactions for 04/09/20. Total proceeds and cost reported to the IRS.						
	15.000	191.24	04/08/20	445.40	...	-254.16	1 of 10 - Sale <sup>25</sup>
	10.000	127.50	04/08/20	328.53	...	-201.03	2 of 10 - Sale <sup>25</sup>
	6.000	76.49	04/08/20	185.35	...	-108.86	3 of 10 - Sale <sup>25</sup>
	5.000	63.75	04/08/20	147.98	...	-84.23	4 of 10 - Sale <sup>25</sup>
	4.000	51.00	04/08/20	123.55	...	-72.55	5 of 10 - Sale <sup>25</sup>
	4.000	51.00	04/08/20	123.14	...	-72.14	6 of 10 - Sale <sup>25</sup>
	3.000	38.25	04/08/20	88.88	...	-50.63	7 of 10 - Sale <sup>25</sup>
	1.000	12.75	04/08/20	30.74	...	-17.99	8 of 10 - Sale <sup>25</sup>
	1.000	12.75	04/08/20	29.63	...	-16.88	9 of 10 - Sale <sup>25</sup>
	1.000	12.75	04/08/20	29.62	...	-16.87	10 of 10 - Sale <sup>25</sup>
04/09/20	50.000	637.48	Various	1,532.82	...	-895.34	Total of 10 transactions
05/14/20	30.000	403.50	05/13/20	438.00	34.50 W	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALPHA PRO TECH, LTD. / CUSIP: 020772109 / Symbol: (cont'd)							
4 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	8.000	90.32	06/10/20	106.72	16.40 W	0.00	1 of 4 - Sale <sup>25</sup>
	4.000	45.16	06/10/20	53.36	8.20 W	0.00	2 of 4 - Sale <sup>25</sup>
	18.000	203.22	06/10/20	240.12	36.90 W	0.00	3 of 4 - Sale <sup>25</sup>
	20.000	225.80	06/10/20	243.80	18.00 W	0.00	4 of 4 - Sale <sup>25</sup>
06/11/20	50.000	564.50	Various	644.00	79.50 W	0.00	Total of 4 transactions
5 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	4.000	64.30	06/17/20	63.36	...	0.94	1 of 5 - Sale <sup>25</sup>
	8.000	128.60	06/17/20	126.72	...	1.88	2 of 5 - Sale <sup>25</sup>
	18.000	289.34	06/17/20	285.12	...	4.22	3 of 5 - Sale <sup>25</sup>
	20.000	321.49	06/17/20	293.80	...	27.69	4 of 5 - Sale <sup>25</sup>
	25.000	401.86	06/17/20	352.13	...	49.73	5 of 5 - Sale <sup>25</sup>
06/22/20	75.000	1,205.59	Various	1,121.13	...	84.46	Total of 5 transactions
4 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	25.000	401.86	06/19/20	428.88	27.02 W	0.00	1 of 4 - Sale <sup>25</sup>
	50.000	803.72	06/19/20	858.25	54.53 W	0.00	2 of 4 - Sale <sup>25</sup>
	25.000	402.99	06/19/20	458.40	55.41 W	0.00	3 of 4 - Sale <sup>25</sup>
	25.000	402.99	06/19/20	458.64	55.65 W	0.00	4 of 4 - Sale <sup>25</sup>
06/22/20	125.000	2,011.56	Various	2,204.17	192.61 W	0.00	Total of 4 transactions
4 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	25.000	470.73	06/24/20	535.40	...	-64.67	1 of 4 - Sale <sup>25</sup>
	25.000	470.74	06/24/20	535.16	...	-64.42	2 of 4 - Sale <sup>25</sup>
	25.000	470.74	06/24/20	507.01	...	-36.27	3 of 4 - Sale <sup>25</sup>
	25.000	470.73	06/24/20	479.75	...	-9.02	4 of 4 - Sale <sup>25</sup>
06/24/20	100.000	1,882.94	Various	2,057.32	...	-174.38	Total of 4 transactions
2 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	125.000	2,785.05	08/04/20	2,789.81	...	-4.76	1 of 2 - Sale <sup>25</sup>
	125.000	2,791.20	08/04/20	2,789.82	...	1.38	2 of 2 - Sale <sup>25</sup>
08/04/20	250.000	5,576.25	Various	5,579.63	...	-3.38	Total of 2 transactions

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALPHA PRO TECH, LTD. / CUSIP: 020772109 / Symbol: (cont'd)							
2 transactions for 11/02/20. Total proceeds and cost reported to the IRS.							
	20.000	305.60	11/02/20	305.00	...	0.60	1 of 2 - Sale <sup>25</sup>
	5.000	76.40	11/02/20	75.50	...	0.90	2 of 2 - Sale <sup>25</sup>
11/02/20	25.000	382.00	Various	380.50	...	1.50	Total of 2 transactions
2 transactions for 11/03/20. Total proceeds and cost reported to the IRS.							
	50.000	749.97	11/02/20	755.00	5.03 W	0.00	1 of 2 - Sale <sup>25</sup>
	25.000	374.99	11/03/20	377.50	2.51 W	0.00	2 of 2 - Sale <sup>25</sup>
11/03/20	75.000	1,124.96	Various	1,132.50	7.54 W	0.00	Total of 2 transactions
5 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	50.000	606.07	11/09/20	640.03	33.96 W	0.00	1 of 5 - Sale <sup>25</sup>
	3.000	36.36	11/09/20	38.40	2.04 W	0.00	2 of 5 - Sale <sup>25</sup>
	22.000	266.68	11/09/20	280.51	13.83 W	0.00	3 of 5 - Sale <sup>25</sup>
	20.000	242.43	11/09/20	253.00	10.57 W	0.00	4 of 5 - Sale <sup>25</sup>
	21.000	254.55	11/09/20	270.90	16.35 W	0.00	5 of 5 - Sale <sup>25</sup>
11/09/20	116.000	1,406.09	Various	1,482.84	76.75 W	0.00	Total of 5 transactions
6 transactions for 11/11/20. Total proceeds and cost reported to the IRS.							
	50.000	614.23	11/11/20	663.96	49.73 W	0.00	1 of 6 - Sale <sup>25</sup>
	3.000	36.85	11/11/20	39.84	2.99 W	0.00	2 of 6 - Sale <sup>25</sup>
	15.000	184.27	11/11/20	200.68	16.41 W	0.00	3 of 6 - Sale <sup>25</sup>
	20.000	245.69	11/11/20	262.57	16.88 W	0.00	4 of 6 - Sale <sup>25</sup>
	22.000	270.26	11/11/20	291.03	20.77 W	0.00	5 of 6 - Sale <sup>25</sup>
	1.000	12.28	11/11/20	13.33	1.05 W	0.00	6 of 6 - Sale <sup>25</sup>
11/11/20	111.000	1,363.58	Various	1,471.41	107.83 W	0.00	Total of 6 transactions
6 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	50.000	639.73	11/11/20	690.23	50.50 W	0.00	1 of 6 - Sale <sup>25</sup>
	3.000	38.38	11/11/20	41.42	3.04 W	0.00	2 of 6 - Sale <sup>25</sup>
	5.000	63.97	11/11/20	67.94	3.97 W	0.00	3 of 6 - Sale <sup>25</sup>
	6.000	76.77	11/11/20	83.42	6.65 W	0.00	4 of 6 - Sale <sup>25</sup>
	20.000	255.89	11/11/20	273.08	17.19 W	0.00	5 of 6 - Sale <sup>25</sup>
	22.000	281.48	11/11/20	302.59	21.11 W	0.00	6 of 6 - Sale <sup>25</sup>
11/12/20	106.000	1,356.22	Various	1,458.68	102.46 W	0.00	Total of 6 transactions

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ALPHA PRO TECH, LTD. / CUSIP: 020772109 / Symbol: (cont'd)							
4 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	1.000	12.36	11/30/20	13.71	1.35 W	0.00	1 of 4 - Sale <sup>25</sup>
	14.000	173.03	11/30/20	190.82	17.79 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	12.36	11/30/20	13.67	1.31 W	0.00	3 of 4 - Sale <sup>25</sup>
	8.000	98.87	11/30/20	109.72	10.85 W	0.00	4 of 4 - Sale <sup>25</sup>
12/01/20	24.000	296.62	Various	327.92	31.30 W	0.00	Total of 4 transactions
18 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	150.000	1,903.44	12/04/20	1,950.00	...	-46.56	1 of 18 - Sale <sup>25</sup>
	116.000	1,471.99	12/04/20	1,518.49	...	-46.50	2 of 18 - Sale <sup>25</sup>
	36.000	455.39	12/04/20	495.75	...	-40.36	3 of 18 - Sale <sup>25</sup>
	134.000	1,700.40	12/04/20	1,735.30	...	-34.90	4 of 18 - Sale <sup>25</sup>
	100.000	1,268.96	12/04/20	1,300.00	...	-31.04	5 of 18 - Sale <sup>25</sup>
	100.000	1,268.95	12/04/20	1,299.00	...	-30.05	6 of 18 - Sale <sup>25</sup>
	100.000	1,268.96	12/04/20	1,299.00	...	-30.04	7 of 18 - Sale <sup>25</sup>
	22.000	278.29	12/04/20	301.86	...	-23.57	8 of 18 - Sale <sup>25</sup>
	20.000	252.99	12/04/20	272.42	...	-19.43	9 of 18 - Sale <sup>25</sup>
	13.000	164.44	12/04/20	182.43	...	-17.99	10 of 18 - Sale <sup>25</sup>
	34.000	431.45	12/04/20	446.78	...	-15.33	11 of 18 - Sale <sup>25</sup>
	30.000	380.69	12/04/20	389.70	...	-9.01	12 of 18 - Sale <sup>25</sup>
	20.000	253.79	12/04/20	259.80	...	-6.01	13 of 18 - Sale <sup>25</sup>
	5.000	63.25	12/04/20	67.77	...	-4.52	14 of 18 - Sale <sup>25</sup>
	3.000	37.95	12/04/20	41.32	...	-3.37	15 of 18 - Sale <sup>25</sup>
	1.000	12.65	12/04/20	14.03	...	-1.38	16 of 18 - Sale <sup>25</sup>
	134.000	1,729.88	12/04/20	1,723.24	...	6.64	17 of 18 - Sale <sup>25</sup>
	384.000	4,991.83	12/04/20	4,934.40	...	57.43	18 of 18 - Sale <sup>25</sup>
12/04/20	1,402.000	17,935.30	Various	18,231.29	...	-295.99	Total of 18 transactions
33 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	100.000	1,268.96	12/04/20	1,314.04	25.49 W	-19.59	1 of 33 - Sale <sup>25</sup>
	14.000	181.99	12/04/20	197.69	15.70 W	0.00	2 of 33 - Sale <sup>25</sup>
	36.000	467.99	12/04/20	498.96	30.97 W	0.00	3 of 33 - Sale <sup>25</sup>
	1.000	13.00	12/04/20	14.20	1.20 W	0.00	4 of 33 - Sale <sup>25</sup>
	1.000	13.00	12/04/20	14.16	1.16 W	0.00	5 of 33 - Sale <sup>25</sup>
	3.000	39.00	12/04/20	41.59	2.59 W	0.00	6 of 33 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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ALPHA PRO TECH, LTD. / CUSIP: 020772109 / Symbol: (cont'd)							
	5.000	65.00	12/04/20	68.22	3.22 W	0.00	7 of 33 - Sale <sup>25</sup>
	6.000	78.00	12/04/20	83.75	5.75 W	0.00	8 of 33 - Sale <sup>25</sup>
	8.000	104.00	12/04/20	113.65	9.65 W	0.00	9 of 33 - Sale <sup>25</sup>
	20.000	259.99	12/04/20	274.19	14.20 W	0.00	10 of 33 - Sale <sup>25</sup>
	22.000	285.99	12/04/20	303.81	17.82 W	0.00	11 of 33 - Sale <sup>25</sup>
	14.000	180.73	12/04/20	195.74	15.01 W	0.00	12 of 33 - Sale <sup>25</sup>
	36.000	464.75	12/04/20	493.93	29.18 W	0.00	13 of 33 - Sale <sup>25</sup>
	1.000	12.91	12/04/20	14.06	1.15 W	0.00	14 of 33 - Sale <sup>25</sup>
	1.000	12.91	12/04/20	14.02	1.11 W	0.00	15 of 33 - Sale <sup>25</sup>
	3.000	38.73	12/04/20	41.17	2.44 W	0.00	16 of 33 - Sale <sup>25</sup>
	5.000	64.55	12/04/20	67.52	2.97 W	0.00	17 of 33 - Sale <sup>25</sup>
	6.000	77.46	12/04/20	82.91	5.45 W	0.00	18 of 33 - Sale <sup>25</sup>
	8.000	103.28	12/04/20	112.53	9.25 W	0.00	19 of 33 - Sale <sup>25</sup>
	20.000	258.19	12/04/20	271.40	13.21 W	0.00	20 of 33 - Sale <sup>25</sup>
	22.000	284.01	12/04/20	300.74	16.73 W	0.00	21 of 33 - Sale <sup>25</sup>
	100.000	1,290.96	12/04/20	1,305.00	14.04 W	0.00	22 of 33 - Sale <sup>25</sup>
	150.000	1,936.43	12/04/20	1,957.50	21.07 W	0.00	23 of 33 - Sale <sup>25</sup>
	14.000	177.65	12/04/20	197.01	19.36 W	0.00	24 of 33 - Sale <sup>25</sup>
	36.000	456.83	12/04/20	497.18	40.35 W	0.00	25 of 33 - Sale <sup>25</sup>
	1.000	12.69	12/04/20	14.15	1.46 W	0.00	26 of 33 - Sale <sup>25</sup>
	1.000	12.69	12/04/20	14.11	1.42 W	0.00	27 of 33 - Sale <sup>25</sup>
	3.000	38.07	12/04/20	41.44	3.37 W	0.00	28 of 33 - Sale <sup>25</sup>
	5.000	63.45	12/04/20	67.97	4.52 W	0.00	29 of 33 - Sale <sup>25</sup>
	6.000	76.14	12/04/20	83.45	7.31 W	0.00	30 of 33 - Sale <sup>25</sup>
	8.000	101.52	12/04/20	113.25	11.73 W	0.00	31 of 33 - Sale <sup>25</sup>
	20.000	253.79	12/04/20	273.21	19.42 W	0.00	32 of 33 - Sale <sup>25</sup>
	22.000	279.17	12/04/20	302.73	23.56 W	0.00	33 of 33 - Sale <sup>25</sup>
12/04/20	698.000	8,973.83	Various	9,385.28	391.86 W	-19.59	Total of 33 transactions
	7 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	0.541	6.82	12/09/20	7.66	0.84 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.000	12.60	12/09/20	14.43	1.83 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	12.60	12/09/20	14.39	1.79 W	0.00	3 of 7 - Sale <sup>25</sup>
	5.459	68.79	12/09/20	77.45	8.66 W	0.00	4 of 7 - Sale <sup>25</sup>
	8.000	100.82	12/09/20	115.49	14.67 W	0.00	5 of 7 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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ALPHA PRO TECH, LTD. / CUSIP: 020772109 / Symbol: (cont'd)							
	34.541	435.29	12/09/20	463.57	28.28 W	0.00	6 of 7 - Sale <sup>25</sup>
	22.000	277.24	12/09/20	295.61	18.37 W	0.00	7 of 7 - Sale <sup>25</sup>
12/09/20	72.541	914.16	Various	988.60	74.44 W	0.00	Total of 7 transactions
	<b>Security total:</b>	<b>55,479.66</b>		<b>61,403.89</b>	<b>5,902.27 W</b>	<b>-21.96</b>	
ALPHABET INC. CLASS C CAPITAL STOCK / CUSIP: 02079K107 / Symbol:							
07/01/20	3.000	4,254.94	07/01/20	4,265.82	...	-10.88	Sale <sup>25</sup>
ALTIMMUNE, INC. COMMON STOCK / CUSIP: 02155H200 / Symbol:							
03/03/20	25.000	65.09	02/28/20	94.95	29.86 W	0.00	Sale <sup>25</sup>
	2 transactions for 03/12/20. Total proceeds and cost reported to the IRS.						
	25.000	70.00	03/11/20	116.58	46.58 W	0.00	1 of 2 - Sale <sup>25</sup>
	75.000	209.99	03/11/20	260.17	50.18 W	0.00	2 of 2 - Sale <sup>25</sup>
03/12/20	100.000	279.99	Various	376.75	96.76 W	0.00	Total of 2 transactions
03/30/20	50.000	147.99	03/27/20	143.50	...	4.49	Sale <sup>25</sup>
	2 transactions for 03/30/20. Total proceeds and cost reported to the IRS.						
	25.000	74.00	03/27/20	118.33	44.33 W	0.00	1 of 2 - Sale <sup>25</sup>
	75.000	221.99	03/27/20	265.42	43.43 W	0.00	2 of 2 - Sale <sup>25</sup>
03/30/20	100.000	295.99	Various	383.75	87.76 W	0.00	Total of 2 transactions
	4 transactions for 03/31/20. Total proceeds and cost reported to the IRS.						
	75.000	222.74	03/30/20	278.92	...	-56.18	1 of 4 - Sale <sup>25</sup>
	25.000	74.25	03/30/20	122.83	...	-48.58	2 of 4 - Sale <sup>25</sup>
	75.000	222.74	03/30/20	235.50	...	-12.76	3 of 4 - Sale <sup>25</sup>
	25.000	74.25	03/30/20	78.50	...	-4.25	4 of 4 - Sale <sup>25</sup>
03/31/20	200.000	593.98	Various	715.75	...	-121.77	Total of 4 transactions
	3 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	25.000	445.48	07/08/20	437.50	...	7.98	1 of 3 - Sale <sup>25</sup>
	25.000	445.49	07/08/20	431.25	...	14.24	2 of 3 - Sale <sup>25</sup>
	50.000	890.98	07/08/20	864.50	...	26.48	3 of 3 - Sale <sup>25</sup>
07/08/20	100.000	1,781.95	Various	1,733.25	...	48.70	Total of 3 transactions
	7 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	20.000	346.40	07/08/20	350.00	3.60 W	0.00	1 of 7 - Sale <sup>25</sup>
	5.000	86.55	07/08/20	87.50	0.95 W	0.00	2 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALTIMMUNE, INC. COMMON STOCK / CUSIP: 02155H200 / Symbol: (cont'd)							
	20.000	346.19	07/08/20	370.40	24.21 W	0.00	3 of 7 - Sale <sup>25</sup>
	75.000	1,298.21	07/08/20	1,375.50	77.29 W	0.00	4 of 7 - Sale <sup>25</sup>
	5.000	86.55	07/08/20	92.65	6.10 W	0.00	5 of 7 - Sale <sup>25</sup>
	20.000	337.19	07/08/20	388.41	51.22 W	0.00	6 of 7 - Sale <sup>25</sup>
	30.000	505.79	07/08/20	575.42	69.63 W	0.00	7 of 7 - Sale <sup>25</sup>
07/08/20	175.000	3,006.88	Various	3,239.88	233.00 W	0.00	Total of 7 transactions
	3 transactions for 07/09/20. Total proceeds and cost reported to the IRS.						
	5.000	114.00	07/09/20	110.74	...	3.26	1 of 3 - Sale <sup>25</sup>
	20.000	455.99	07/09/20	439.15	...	16.84	2 of 3 - Sale <sup>25</sup>
	25.000	569.98	07/09/20	525.26	...	44.72	3 of 3 - Sale <sup>25</sup>
07/09/20	50.000	1,139.97	Various	1,075.15	...	64.82	Total of 3 transactions
	21 transactions for 07/09/20. Total proceeds and cost reported to the IRS.						
	20.000	455.99	07/09/20	518.47	62.48 W	0.00	1 of 21 - Sale <sup>25</sup>
	30.000	683.98	07/09/20	770.50	86.52 W	0.00	2 of 21 - Sale <sup>25</sup>
	45.000	1,025.96	07/09/20	1,051.30	25.34 W	0.00	3 of 21 - Sale <sup>25</sup>
	5.000	113.03	07/09/20	116.81	3.78 W	0.00	4 of 21 - Sale <sup>25</sup>
	20.000	452.13	07/09/20	527.36	75.23 W	0.00	5 of 21 - Sale <sup>25</sup>
	30.000	678.19	07/09/20	783.83	105.64 W	0.00	6 of 21 - Sale <sup>25</sup>
	45.000	1,017.28	07/09/20	1,071.31	54.03 W	0.00	7 of 21 - Sale <sup>25</sup>
	105.000	2,373.66	07/09/20	2,440.60	66.94 W	0.00	8 of 21 - Sale <sup>25</sup>
	150.000	3,390.93	07/09/20	3,477.59	86.66 W	0.00	9 of 21 - Sale <sup>25</sup>
	50.000	1,130.31	07/09/20	1,172.14	41.83 W	0.00	10 of 21 - Sale <sup>25</sup>
	5.000	113.17	07/09/20	120.99	7.82 W	0.00	11 of 21 - Sale <sup>25</sup>
	20.000	452.70	07/09/20	544.08	91.38 W	0.00	12 of 21 - Sale <sup>25</sup>
	30.000	679.04	07/09/20	808.92	129.88 W	0.00	13 of 21 - Sale <sup>25</sup>
	45.000	1,018.56	07/09/20	1,108.95	90.39 W	0.00	14 of 21 - Sale <sup>25</sup>
	50.000	1,131.74	07/09/20	1,204.02	72.28 W	0.00	15 of 21 - Sale <sup>25</sup>
	40.000	897.56	07/09/20	963.21	65.65 W	0.00	16 of 21 - Sale <sup>25</sup>
	10.000	226.89	07/09/20	240.80	13.91 W	0.00	17 of 21 - Sale <sup>25</sup>
	5.000	113.45	07/09/20	117.43	3.98 W	0.00	18 of 21 - Sale <sup>25</sup>
	85.000	1,928.59	07/09/20	1,991.17	62.58 W	0.00	19 of 21 - Sale <sup>25</sup>
	25.000	566.99	07/09/20	585.64	18.65 W	0.00	20 of 21 - Sale <sup>25</sup>
	25.000	566.98	07/09/20	601.14	34.16 W	0.00	21 of 21 - Sale <sup>25</sup>
07/09/20	840.000	19,017.13	Various	20,216.26	1,199.13 W	0.00	Total of 21 transactions

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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ALTIMMUNE, INC. COMMON STOCK / CUSIP: 02155H200 / Symbol: (cont'd)							
5 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	5.000	119.60	07/10/20	116.02	...	3.58	1 of 5 - Sale <sup>25</sup>
	5.000	119.60	07/10/20	112.38	...	7.22	2 of 5 - Sale <sup>25</sup>
	5.000	119.60	07/10/20	111.24	...	8.36	3 of 5 - Sale <sup>25</sup>
	18.000	430.55	07/10/20	405.12	...	25.43	4 of 5 - Sale <sup>25</sup>
	27.000	645.82	07/10/20	607.68	...	38.14	5 of 5 - Sale <sup>25</sup>
07/10/20	60.000	1,435.17	Various	1,352.44	...	82.73	Total of 5 transactions
23 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	10.000	197.70	07/10/20	204.38	6.68 W	0.00	1 of 23 - Sale <sup>25</sup>
	15.000	358.79	07/10/20	393.14	34.35 W	0.00	2 of 23 - Sale <sup>25</sup>
	5.000	119.59	07/10/20	144.62	25.03 W	0.00	3 of 23 - Sale <sup>25</sup>
	20.000	478.38	07/10/20	573.71	95.33 W	0.00	4 of 23 - Sale <sup>25</sup>
	5.000	119.55	07/10/20	128.74	9.19 W	0.00	5 of 23 - Sale <sup>25</sup>
	10.000	239.09	07/10/20	286.86	47.77 W	0.00	6 of 23 - Sale <sup>25</sup>
	40.000	956.37	07/10/20	1,039.90	83.53 W	0.00	7 of 23 - Sale <sup>25</sup>
	45.000	1,075.92	07/10/20	1,186.42	110.50 W	0.00	8 of 23 - Sale <sup>25</sup>
	50.000	1,195.47	07/10/20	1,290.10	94.63 W	0.00	9 of 23 - Sale <sup>25</sup>
	5.000	116.90	07/10/20	125.76	8.86 W	0.00	10 of 23 - Sale <sup>25</sup>
	5.000	116.90	07/10/20	128.73	11.83 W	0.00	11 of 23 - Sale <sup>25</sup>
	15.000	350.69	07/10/20	376.39	25.70 W	0.00	12 of 23 - Sale <sup>25</sup>
	5.000	116.90	07/10/20	142.84	25.94 W	0.00	13 of 23 - Sale <sup>25</sup>
	10.000	233.79	07/10/20	242.31	8.52 W	0.00	14 of 23 - Sale <sup>25</sup>
	10.000	233.79	07/10/20	283.40	49.61 W	0.00	15 of 23 - Sale <sup>25</sup>
	15.000	350.69	07/10/20	387.80	37.11 W	0.00	16 of 23 - Sale <sup>25</sup>
	20.000	467.59	07/10/20	566.59	99.00 W	0.00	17 of 23 - Sale <sup>25</sup>
	20.000	467.59	07/10/20	520.37	52.78 W	0.00	18 of 23 - Sale <sup>25</sup>
	25.000	584.49	07/10/20	607.73	23.24 W	0.00	19 of 23 - Sale <sup>25</sup>
	25.000	584.48	07/10/20	623.23	38.75 W	0.00	20 of 23 - Sale <sup>25</sup>
	70.000	1,636.56	07/10/20	1,700.95	64.39 W	0.00	21 of 23 - Sale <sup>25</sup>
	25.000	585.93	07/10/20	646.73	60.80 W	0.00	22 of 23 - Sale <sup>25</sup>
	50.000	1,171.85	07/10/20	1,265.30	93.45 W	0.00	23 of 23 - Sale <sup>25</sup>
07/10/20	500.000	11,759.01	Various	12,866.00	1,106.99 W	0.00	Total of 23 transactions

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALTIMUNE, INC. COMMON STOCK / CUSIP: 02155H200 / Symbol: (cont'd)							
5 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	15.000	367.49	07/13/20	400.70	...	-33.21	1 of 5 - Sale <sup>25</sup>
	5.000	123.49	07/13/20	136.83	...	-13.34	2 of 5 - Sale <sup>25</sup>
	5.000	122.50	07/13/20	133.86	...	-11.36	3 of 5 - Sale <sup>25</sup>
	5.000	123.50	07/13/20	134.19	...	-10.69	4 of 5 - Sale <sup>25</sup>
	5.000	122.49	07/13/20	129.60	...	-7.11	5 of 5 - Sale <sup>25</sup>
07/13/20	35.000	859.47	Various	935.18	...	-75.71	Total of 5 transactions
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	20.000	493.99	07/13/20	541.76	11.94 W	-35.83	1 of 2 - Sale <sup>25</sup>
	20.000	493.99	07/13/20	544.17	50.18 W	0.00	2 of 2 - Sale <sup>25</sup>
07/13/20	40.000	987.98	Various	1,085.93	62.12 W	-35.83	Total of 2 transactions
22 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	25.000	772.48	07/14/20	860.13	...	-87.65	1 of 22 - Sale <sup>25</sup>
	15.000	478.27	07/14/20	553.84	...	-75.57	2 of 22 - Sale <sup>25</sup>
	25.000	772.48	07/14/20	846.05	...	-73.57	3 of 22 - Sale <sup>25</sup>
	25.000	773.48	07/14/20	846.23	...	-72.75	4 of 22 - Sale <sup>25</sup>
	20.000	619.71	07/14/20	689.79	...	-70.08	5 of 22 - Sale <sup>25</sup>
	50.000	1,556.96	07/14/20	1,613.09	...	-56.13	6 of 22 - Sale <sup>25</sup>
	20.000	637.69	07/14/20	692.24	...	-54.55	7 of 22 - Sale <sup>25</sup>
	10.000	318.85	07/14/20	369.34	...	-50.49	8 of 22 - Sale <sup>25</sup>
	15.000	478.78	07/14/20	526.88	...	-48.10	9 of 22 - Sale <sup>25</sup>
	5.000	159.59	07/14/20	189.20	...	-29.61	10 of 22 - Sale <sup>25</sup>
	25.000	797.98	07/14/20	826.74	...	-28.76	11 of 22 - Sale <sup>25</sup>
	5.000	159.42	07/14/20	188.00	...	-28.58	12 of 22 - Sale <sup>25</sup>
	5.000	145.10	07/14/20	171.84	...	-26.74	13 of 22 - Sale <sup>25</sup>
	10.000	319.19	07/14/20	337.50	...	-18.31	14 of 22 - Sale <sup>25</sup>
	10.000	319.19	07/14/20	336.90	...	-17.71	15 of 22 - Sale <sup>25</sup>
	4.000	127.68	07/14/20	136.32	...	-8.64	16 of 22 - Sale <sup>25</sup>
	4.000	127.68	07/14/20	133.53	...	-5.85	17 of 22 - Sale <sup>25</sup>
	5.000	159.60	07/14/20	165.30	...	-5.70	18 of 22 - Sale <sup>25</sup>
	3.000	95.76	07/14/20	100.15	...	-4.39	19 of 22 - Sale <sup>25</sup>
	3.000	95.76	07/14/20	100.14	...	-4.38	20 of 22 - Sale <sup>25</sup>
	1.000	31.92	07/14/20	34.08	...	-2.16	21 of 22 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ALTIMMUNE, INC. COMMON STOCK / CUSIP: 02155H200 / Symbol: (cont'd)							
	10.000	319.19	07/14/20	320.80	...	-1.61	22 of 22 - Sale <sup>25</sup>
07/14/20	295.000	9,266.76	Various	10,038.09	...	-771.33	Total of 22 transactions
	105 transactions for 10/13/20. Total proceeds and cost reported to the IRS.						
	87.000	1,215.35	10/13/20	1,354.83	...	-139.48	1 of 105 - Sale <sup>25</sup>
	87.000	1,215.35	10/13/20	1,354.83	...	-139.48	2 of 105 - Sale <sup>25</sup>
	81.000	1,131.54	10/13/20	1,261.38	...	-129.84	3 of 105 - Sale <sup>25</sup>
	87.000	1,215.35	10/13/20	1,331.27	...	-115.92	4 of 105 - Sale <sup>25</sup>
	139.000	1,941.77	10/13/20	2,047.72	...	-105.95	5 of 105 - Sale <sup>25</sup>
	70.000	977.87	10/13/20	1,071.13	...	-93.26	6 of 105 - Sale <sup>25</sup>
	58.000	810.24	10/13/20	903.22	...	-92.98	7 of 105 - Sale <sup>25</sup>
	45.000	628.63	10/13/20	708.88	...	-80.25	8 of 105 - Sale <sup>25</sup>
	34.000	474.96	10/13/20	532.65	...	-57.69	9 of 105 - Sale <sup>25</sup>
	27.000	377.18	10/13/20	432.68	...	-55.50	10 of 105 - Sale <sup>25</sup>
	39.000	544.81	10/13/20	599.92	...	-55.11	11 of 105 - Sale <sup>25</sup>
	39.000	544.81	10/13/20	596.77	...	-51.96	12 of 105 - Sale <sup>25</sup>
	34.000	474.96	10/13/20	523.00	...	-48.04	13 of 105 - Sale <sup>25</sup>
	67.000	935.96	10/13/20	983.68	...	-47.72	14 of 105 - Sale <sup>25</sup>
	23.000	321.30	10/13/20	368.80	...	-47.50	15 of 105 - Sale <sup>25</sup>
	36.000	502.90	10/13/20	549.03	...	-46.13	16 of 105 - Sale <sup>25</sup>
	23.000	321.30	10/13/20	366.51	...	-45.21	17 of 105 - Sale <sup>25</sup>
	26.000	363.21	10/13/20	407.28	...	-44.07	18 of 105 - Sale <sup>25</sup>
	19.000	265.42	10/13/20	304.69	...	-39.27	19 of 105 - Sale <sup>25</sup>
	24.000	335.27	10/13/20	374.46	...	-39.19	20 of 105 - Sale <sup>25</sup>
	23.000	321.30	10/13/20	360.29	...	-38.99	21 of 105 - Sale <sup>25</sup>
	52.000	726.42	10/13/20	763.45	...	-37.03	22 of 105 - Sale <sup>25</sup>
	23.000	321.30	10/13/20	358.30	...	-37.00	23 of 105 - Sale <sup>25</sup>
	21.000	293.36	10/13/20	329.58	...	-36.22	24 of 105 - Sale <sup>25</sup>
	28.000	391.14	10/13/20	427.02	...	-35.88	25 of 105 - Sale <sup>25</sup>
	16.000	223.51	10/13/20	256.57	...	-33.06	26 of 105 - Sale <sup>25</sup>
	23.000	321.30	10/13/20	353.56	...	-32.26	27 of 105 - Sale <sup>25</sup>
	19.000	265.42	10/13/20	297.63	...	-32.21	28 of 105 - Sale <sup>25</sup>
	37.000	516.87	10/13/20	546.19	...	-29.32	29 of 105 - Sale <sup>25</sup>
	16.000	223.51	10/13/20	252.05	...	-28.54	30 of 105 - Sale <sup>25</sup>
	14.000	195.57	10/13/20	223.09	...	-27.52	31 of 105 - Sale <sup>25</sup>

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(continued)

03/15/2021

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ALTIMMUNE, INC. COMMON STOCK / CUSIP: 02155H200 / Symbol: (cont'd)							
15.000		209.54	10/13/20	236.74	...	-27.20	32 of 105 - Sale <sup>25</sup>
16.000		223.51	10/13/20	249.17	...	-25.66	33 of 105 - Sale <sup>25</sup>
33.000		461.00	10/13/20	486.15	...	-25.15	34 of 105 - Sale <sup>25</sup>
12.000		167.63	10/13/20	192.45	...	-24.82	35 of 105 - Sale <sup>25</sup>
12.000		167.64	10/13/20	192.33	...	-24.69	36 of 105 - Sale <sup>25</sup>
12.000		167.63	10/13/20	191.36	...	-23.73	37 of 105 - Sale <sup>25</sup>
12.000		167.63	10/13/20	191.33	...	-23.70	38 of 105 - Sale <sup>25</sup>
13.000		181.60	10/13/20	204.79	...	-23.19	39 of 105 - Sale <sup>25</sup>
13.000		181.60	10/13/20	204.78	...	-23.18	40 of 105 - Sale <sup>25</sup>
11.000		153.67	10/13/20	176.28	...	-22.61	41 of 105 - Sale <sup>25</sup>
11.000		153.66	10/13/20	175.30	...	-21.64	42 of 105 - Sale <sup>25</sup>
13.000		181.60	10/13/20	202.84	...	-21.24	43 of 105 - Sale <sup>25</sup>
13.000		181.60	10/13/20	202.45	...	-20.85	44 of 105 - Sale <sup>25</sup>
13.000		181.60	10/13/20	202.44	...	-20.84	45 of 105 - Sale <sup>25</sup>
13.000		181.60	10/13/20	202.44	...	-20.84	46 of 105 - Sale <sup>25</sup>
12.000		167.63	10/13/20	188.02	...	-20.39	47 of 105 - Sale <sup>25</sup>
12.000		167.63	10/13/20	188.02	...	-20.39	48 of 105 - Sale <sup>25</sup>
11.000		153.67	10/13/20	173.27	...	-19.60	49 of 105 - Sale <sup>25</sup>
11.000		153.66	10/13/20	172.32	...	-18.66	50 of 105 - Sale <sup>25</sup>
13.000		181.60	10/13/20	199.85	...	-18.25	51 of 105 - Sale <sup>25</sup>
9.000		125.73	10/13/20	143.42	...	-17.69	52 of 105 - Sale <sup>25</sup>
26.000		363.21	10/13/20	380.43	...	-17.22	53 of 105 - Sale <sup>25</sup>
8.000		111.76	10/13/20	127.48	...	-15.72	54 of 105 - Sale <sup>25</sup>
22.000		307.33	10/13/20	323.00	...	-15.67	55 of 105 - Sale <sup>25</sup>
22.000		307.33	10/13/20	321.90	...	-14.57	56 of 105 - Sale <sup>25</sup>
7.000		97.79	10/13/20	112.25	...	-14.46	57 of 105 - Sale <sup>25</sup>
7.000		97.79	10/13/20	112.24	...	-14.45	58 of 105 - Sale <sup>25</sup>
7.000		97.79	10/13/20	111.55	...	-13.76	59 of 105 - Sale <sup>25</sup>
6.000		83.82	10/13/20	96.20	...	-12.38	60 of 105 - Sale <sup>25</sup>
6.000		83.82	10/13/20	96.20	...	-12.38	61 of 105 - Sale <sup>25</sup>
7.000		97.79	10/13/20	110.13	...	-12.34	62 of 105 - Sale <sup>25</sup>
8.000		111.76	10/13/20	123.81	...	-12.05	63 of 105 - Sale <sup>25</sup>
7.000		97.79	10/13/20	109.63	...	-11.84	64 of 105 - Sale <sup>25</sup>
6.000		83.82	10/13/20	95.59	...	-11.77	65 of 105 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ALTIMMUNE, INC. COMMON STOCK / CUSIP: 02155H200 / Symbol: (cont'd)							
	5.000	69.85	10/13/20	80.52	...	-10.67	66 of 105 - Sale <sup>25</sup>
	5.000	69.85	10/13/20	80.18	...	-10.33	67 of 105 - Sale <sup>25</sup>
	5.000	69.85	10/13/20	79.67	...	-9.82	68 of 105 - Sale <sup>25</sup>
	6.000	83.82	10/13/20	93.44	...	-9.62	69 of 105 - Sale <sup>25</sup>
	6.000	83.82	10/13/20	92.91	...	-9.09	70 of 105 - Sale <sup>25</sup>
	4.000	55.88	10/13/20	64.14	...	-8.26	71 of 105 - Sale <sup>25</sup>
	4.000	55.88	10/13/20	64.13	...	-8.25	72 of 105 - Sale <sup>25</sup>
	5.000	69.85	10/13/20	78.01	...	-8.16	73 of 105 - Sale <sup>25</sup>
	9.000	125.73	10/13/20	133.49	...	-7.76	74 of 105 - Sale <sup>25</sup>
	4.000	55.88	10/13/20	62.86	...	-6.98	75 of 105 - Sale <sup>25</sup>
	3.000	41.91	10/13/20	48.10	...	-6.19	76 of 105 - Sale <sup>25</sup>
	3.000	41.91	10/13/20	47.80	...	-5.89	77 of 105 - Sale <sup>25</sup>
	6.000	83.82	10/13/20	88.80	...	-4.98	78 of 105 - Sale <sup>25</sup>
	3.000	41.91	10/13/20	46.84	...	-4.93	79 of 105 - Sale <sup>25</sup>
	2.000	27.94	10/13/20	32.07	...	-4.13	80 of 105 - Sale <sup>25</sup>
	2.000	27.94	10/13/20	32.06	...	-4.12	81 of 105 - Sale <sup>25</sup>
	2.000	27.94	10/13/20	30.96	...	-3.02	82 of 105 - Sale <sup>25</sup>
	2.000	27.94	10/13/20	30.95	...	-3.01	83 of 105 - Sale <sup>25</sup>
	1.000	13.97	10/13/20	16.04	...	-2.07	84 of 105 - Sale <sup>25</sup>
	1.000	13.97	10/13/20	16.03	...	-2.06	85 of 105 - Sale <sup>25</sup>
	1.000	13.97	10/13/20	16.02	...	-2.05	86 of 105 - Sale <sup>25</sup>
	1.000	13.97	10/13/20	15.94	...	-1.97	87 of 105 - Sale <sup>25</sup>
	1.000	13.97	10/13/20	15.94	...	-1.97	88 of 105 - Sale <sup>25</sup>
	1.000	13.97	10/13/20	15.93	...	-1.96	89 of 105 - Sale <sup>25</sup>
	1.000	13.97	10/13/20	15.69	...	-1.72	90 of 105 - Sale <sup>25</sup>
	1.000	13.97	10/13/20	15.66	...	-1.69	91 of 105 - Sale <sup>25</sup>
	1.000	13.97	10/13/20	15.48	...	-1.51	92 of 105 - Sale <sup>25</sup>
	1.000	13.97	10/13/20	15.48	...	-1.51	93 of 105 - Sale <sup>25</sup>
	15.000	234.00	10/13/20	234.00	...	0.00	94 of 105 - Sale <sup>25</sup>
	1.000	15.98	10/13/20	15.88	...	0.10	95 of 105 - Sale <sup>25</sup>
	30.000	468.13	10/13/20	468.00	...	0.13	96 of 105 - Sale <sup>25</sup>
	4.000	63.92	10/13/20	63.19	...	0.73	97 of 105 - Sale <sup>25</sup>
	14.000	223.71	10/13/20	220.08	...	3.63	98 of 105 - Sale <sup>25</sup>
	46.000	735.06	10/13/20	731.40	...	3.66	99 of 105 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ALTIMMUNE, INC. COMMON STOCK / CUSIP: 02155H200 / Symbol: (cont'd)							
	60.000	953.97	10/13/20	948.00	...	5.97	100 of 105 - Sale <sup>25</sup>
	127.000	2,029.40	10/13/20	2,021.90	...	7.50	101 of 105 - Sale <sup>25</sup>
	100.000	1,589.96	10/13/20	1,580.00	...	9.96	102 of 105 - Sale <sup>25</sup>
	200.000	3,195.90	10/13/20	3,180.00	...	15.90	103 of 105 - Sale <sup>25</sup>
	200.000	3,195.91	10/13/20	3,180.00	...	15.91	104 of 105 - Sale <sup>25</sup>
	220.000	3,515.50	10/13/20	3,454.00	...	61.50	105 of 105 - Sale <sup>25</sup>
10/13/20	2,839.000	41,673.97	Various	44,178.21	...	-2,504.24	Total of 105 transactions
	416 transactions for 10/13/20. Total proceeds and cost reported to the IRS.						
	45.000	628.63	10/13/20	686.34	50.02 W	-7.69	1 of 416 - Sale <sup>25</sup>
	4.000	62.01	10/13/20	62.40	0.39 W	0.00	2 of 416 - Sale <sup>25</sup>
	1.000	15.50	10/13/20	15.68	0.18 W	0.00	3 of 416 - Sale <sup>25</sup>
	100.000	1,597.95	10/13/20	1,610.00	12.05 W	0.00	4 of 416 - Sale <sup>25</sup>
	400.000	6,391.81	10/13/20	6,440.00	48.19 W	0.00	5 of 416 - Sale <sup>25</sup>
	127.000	2,029.40	10/13/20	2,032.00	2.60 W	0.00	6 of 416 - Sale <sup>25</sup>
	23.000	367.53	10/13/20	370.77	3.24 W	0.00	7 of 416 - Sale <sup>25</sup>
	23.000	367.53	10/13/20	370.77	3.24 W	0.00	8 of 416 - Sale <sup>25</sup>
	77.000	1,230.42	10/13/20	1,241.28	10.86 W	0.00	9 of 416 - Sale <sup>25</sup>
	77.000	1,230.42	10/13/20	1,241.28	10.86 W	0.00	10 of 416 - Sale <sup>25</sup>
	23.000	367.53	10/13/20	370.77	3.24 W	0.00	11 of 416 - Sale <sup>25</sup>
	100.000	1,597.95	10/13/20	1,612.05	14.10 W	0.00	12 of 416 - Sale <sup>25</sup>
	23.000	367.53	10/13/20	368.94	1.41 W	0.00	13 of 416 - Sale <sup>25</sup>
	23.000	367.53	10/13/20	368.94	1.41 W	0.00	14 of 416 - Sale <sup>25</sup>
	177.000	2,828.38	10/13/20	2,835.62	7.24 W	0.00	15 of 416 - Sale <sup>25</sup>
	19.000	303.61	10/13/20	304.78	1.17 W	0.00	16 of 416 - Sale <sup>25</sup>
	23.000	367.53	10/13/20	368.94	1.41 W	0.00	17 of 416 - Sale <sup>25</sup>
	35.000	559.28	10/13/20	561.44	2.16 W	0.00	18 of 416 - Sale <sup>25</sup>
	77.000	1,230.42	10/13/20	1,235.16	4.74 W	0.00	19 of 416 - Sale <sup>25</sup>
	23.000	365.69	10/13/20	368.94	3.25 W	0.00	20 of 416 - Sale <sup>25</sup>
	28.000	445.19	10/13/20	449.15	3.96 W	0.00	21 of 416 - Sale <sup>25</sup>
	49.000	779.07	10/13/20	786.01	6.94 W	0.00	22 of 416 - Sale <sup>25</sup>
	1.000	15.90	10/13/20	16.04	0.14 W	0.00	23 of 416 - Sale <sup>25</sup>
	22.000	349.79	10/13/20	352.90	3.11 W	0.00	24 of 416 - Sale <sup>25</sup>
	28.000	445.19	10/13/20	446.35	1.16 W	0.00	25 of 416 - Sale <sup>25</sup>
	49.000	779.07	10/13/20	781.10	2.03 W	0.00	26 of 416 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALTIMMUNE, INC. COMMON STOCK / CUSIP: 02155H200 / Symbol: (cont'd)							
12.000		190.79	10/13/20	191.54	0.75 W	0.00	27 of 416 - Sale <sup>25</sup>
23.000		365.69	10/13/20	367.11	1.42 W	0.00	28 of 416 - Sale <sup>25</sup>
23.000		365.69	10/13/20	367.11	1.42 W	0.00	29 of 416 - Sale <sup>25</sup>
100.000		1,589.95	10/13/20	1,594.09	4.14 W	0.00	30 of 416 - Sale <sup>25</sup>
11.000		174.89	10/13/20	175.57	0.68 W	0.00	31 of 416 - Sale <sup>25</sup>
7.000		111.30	10/13/20	111.73	0.43 W	0.00	32 of 416 - Sale <sup>25</sup>
35.000		556.48	10/13/20	558.66	2.18 W	0.00	33 of 416 - Sale <sup>25</sup>
12.000		190.79	10/13/20	191.54	0.75 W	0.00	34 of 416 - Sale <sup>25</sup>
38.000		604.18	10/13/20	606.54	2.36 W	0.00	35 of 416 - Sale <sup>25</sup>
38.000		604.19	10/13/20	606.54	2.35 W	0.00	36 of 416 - Sale <sup>25</sup>
10.000		158.99	10/13/20	160.42	1.43 W	0.00	37 of 416 - Sale <sup>25</sup>
23.000		365.69	10/13/20	368.95	3.26 W	0.00	38 of 416 - Sale <sup>25</sup>
28.000		445.19	10/13/20	449.16	3.97 W	0.00	39 of 416 - Sale <sup>25</sup>
1.000		15.90	10/13/20	15.96	0.06 W	0.00	40 of 416 - Sale <sup>25</sup>
1.000		15.90	10/13/20	16.04	0.14 W	0.00	41 of 416 - Sale <sup>25</sup>
10.000		158.99	10/13/20	160.41	1.42 W	0.00	42 of 416 - Sale <sup>25</sup>
39.000		620.08	10/13/20	625.62	5.54 W	0.00	43 of 416 - Sale <sup>25</sup>
11.000		174.89	10/13/20	175.36	0.47 W	0.00	44 of 416 - Sale <sup>25</sup>
12.000		190.79	10/13/20	192.50	1.71 W	0.00	45 of 416 - Sale <sup>25</sup>
28.000		445.19	10/13/20	446.36	1.17 W	0.00	46 of 416 - Sale <sup>25</sup>
49.000		779.08	10/13/20	781.13	2.05 W	0.00	47 of 416 - Sale <sup>25</sup>
50.000		794.98	10/13/20	797.07	2.09 W	0.00	48 of 416 - Sale <sup>25</sup>
7.000		111.30	10/13/20	111.73	0.43 W	0.00	49 of 416 - Sale <sup>25</sup>
12.000		190.79	10/13/20	191.55	0.76 W	0.00	50 of 416 - Sale <sup>25</sup>
23.000		365.69	10/13/20	367.12	1.43 W	0.00	51 of 416 - Sale <sup>25</sup>
23.000		365.69	10/13/20	367.12	1.43 W	0.00	52 of 416 - Sale <sup>25</sup>
34.000		540.58	10/13/20	542.72	2.14 W	0.00	53 of 416 - Sale <sup>25</sup>
39.000		620.08	10/13/20	621.71	1.63 W	0.00	54 of 416 - Sale <sup>25</sup>
11.000		174.90	10/13/20	175.58	0.68 W	0.00	55 of 416 - Sale <sup>25</sup>
1.000		15.90	10/13/20	15.96	0.06 W	0.00	56 of 416 - Sale <sup>25</sup>
12.000		190.79	10/13/20	191.55	0.76 W	0.00	57 of 416 - Sale <sup>25</sup>
26.000		413.39	10/13/20	415.01	1.62 W	0.00	58 of 416 - Sale <sup>25</sup>
1.000		15.90	10/13/20	15.96	0.06 W	0.00	59 of 416 - Sale <sup>25</sup>
11.000		174.89	10/13/20	176.46	1.57 W	0.00	60 of 416 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ALTIMMUNE, INC. COMMON STOCK / CUSIP: 02155H200 / Symbol: (cont'd)							
12.000		190.79	10/13/20	191.55	0.76 W	0.00	61 of 416 - Sale <sup>25</sup>
38.000		604.18	10/13/20	606.55	2.37 W	0.00	62 of 416 - Sale <sup>25</sup>
1.000		15.90	10/13/20	16.04	0.14 W	0.00	63 of 416 - Sale <sup>25</sup>
1.000		15.90	10/13/20	15.96	0.06 W	0.00	64 of 416 - Sale <sup>25</sup>
7.000		111.30	10/13/20	111.73	0.43 W	0.00	65 of 416 - Sale <sup>25</sup>
10.000		159.00	10/13/20	160.43	1.43 W	0.00	66 of 416 - Sale <sup>25</sup>
10.000		159.00	10/13/20	160.42	1.42 W	0.00	67 of 416 - Sale <sup>25</sup>
11.000		174.89	10/13/20	175.37	0.48 W	0.00	68 of 416 - Sale <sup>25</sup>
11.000		174.89	10/13/20	175.58	0.69 W	0.00	69 of 416 - Sale <sup>25</sup>
12.000		190.79	10/13/20	192.50	1.71 W	0.00	70 of 416 - Sale <sup>25</sup>
12.000		190.79	10/13/20	192.51	1.72 W	0.00	71 of 416 - Sale <sup>25</sup>
12.000		190.79	10/13/20	191.56	0.77 W	0.00	72 of 416 - Sale <sup>25</sup>
12.000		190.79	10/13/20	191.56	0.77 W	0.00	73 of 416 - Sale <sup>25</sup>
23.000		365.69	10/13/20	367.13	1.44 W	0.00	74 of 416 - Sale <sup>25</sup>
23.000		365.69	10/13/20	367.13	1.44 W	0.00	75 of 416 - Sale <sup>25</sup>
26.000		413.39	10/13/20	415.02	1.63 W	0.00	76 of 416 - Sale <sup>25</sup>
28.000		445.19	10/13/20	449.17	3.98 W	0.00	77 of 416 - Sale <sup>25</sup>
28.000		445.19	10/13/20	446.37	1.18 W	0.00	78 of 416 - Sale <sup>25</sup>
34.000		540.58	10/13/20	542.74	2.16 W	0.00	79 of 416 - Sale <sup>25</sup>
39.000		620.08	10/13/20	625.64	5.56 W	0.00	80 of 416 - Sale <sup>25</sup>
39.000		620.08	10/13/20	621.73	1.65 W	0.00	81 of 416 - Sale <sup>25</sup>
49.000		779.08	10/13/20	781.15	2.07 W	0.00	82 of 416 - Sale <sup>25</sup>
50.000		794.98	10/13/20	797.09	2.11 W	0.00	83 of 416 - Sale <sup>25</sup>
551.000		8,760.65	10/13/20	8,760.90	0.25 W	0.00	84 of 416 - Sale <sup>25</sup>
1.000		15.57	10/13/20	15.86	0.29 W	0.00	85 of 416 - Sale <sup>25</sup>
11.000		171.27	10/13/20	175.37	4.10 W	0.00	86 of 416 - Sale <sup>25</sup>
12.000		186.83	10/13/20	190.36	3.53 W	0.00	87 of 416 - Sale <sup>25</sup>
12.000		186.83	10/13/20	191.31	4.48 W	0.00	88 of 416 - Sale <sup>25</sup>
26.000		404.81	10/13/20	414.50	9.69 W	0.00	89 of 416 - Sale <sup>25</sup>
38.000		591.64	10/13/20	602.77	11.13 W	0.00	90 of 416 - Sale <sup>25</sup>
1.000		15.57	10/13/20	15.94	0.37 W	0.00	91 of 416 - Sale <sup>25</sup>
2.000		31.14	10/13/20	31.88	0.74 W	0.00	92 of 416 - Sale <sup>25</sup>
10.000		155.69	10/13/20	159.43	3.74 W	0.00	93 of 416 - Sale <sup>25</sup>
10.000		155.70	10/13/20	159.42	3.72 W	0.00	94 of 416 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

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(continued)

03/15/2021

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ALTIMMUNE, INC. COMMON STOCK / CUSIP: 02155H200 / Symbol: (cont'd)							
12.000		186.83	10/13/20	191.32	4.49 W	0.00	95 of 416 - Sale <sup>25</sup>
26.000		404.81	10/13/20	411.90	7.09 W	0.00	96 of 416 - Sale <sup>25</sup>
39.000		607.21	10/13/20	621.76	14.55 W	0.00	97 of 416 - Sale <sup>25</sup>
2.000		31.12	10/13/20	31.68	0.56 W	0.00	98 of 416 - Sale <sup>25</sup>
12.000		186.72	10/13/20	190.11	3.39 W	0.00	99 of 416 - Sale <sup>25</sup>
6.000		93.42	10/13/20	95.05	1.63 W	0.00	100 of 416 - Sale <sup>25</sup>
11.000		171.26	10/13/20	174.28	3.02 W	0.00	101 of 416 - Sale <sup>25</sup>
31.000		482.65	10/13/20	491.11	8.46 W	0.00	102 of 416 - Sale <sup>25</sup>
42.000		653.92	10/13/20	665.37	11.45 W	0.00	103 of 416 - Sale <sup>25</sup>
8.000		124.56	10/13/20	126.74	2.18 W	0.00	104 of 416 - Sale <sup>25</sup>
2.000		31.14	10/13/20	31.69	0.55 W	0.00	105 of 416 - Sale <sup>25</sup>
6.000		93.36	10/13/20	95.05	1.69 W	0.00	106 of 416 - Sale <sup>25</sup>
1.000		15.56	10/13/20	15.66	0.10 W	0.00	107 of 416 - Sale <sup>25</sup>
7.000		108.92	10/13/20	109.63	0.71 W	0.00	108 of 416 - Sale <sup>25</sup>
11.000		171.15	10/13/20	172.29	1.14 W	0.00	109 of 416 - Sale <sup>25</sup>
12.000		186.71	10/13/20	187.97	1.26 W	0.00	110 of 416 - Sale <sup>25</sup>
12.000		186.71	10/13/20	187.97	1.26 W	0.00	111 of 416 - Sale <sup>25</sup>
23.000		357.87	10/13/20	360.24	2.37 W	0.00	112 of 416 - Sale <sup>25</sup>
23.000		357.87	10/13/20	360.24	2.37 W	0.00	113 of 416 - Sale <sup>25</sup>
26.000		404.55	10/13/20	407.23	2.68 W	0.00	114 of 416 - Sale <sup>25</sup>
31.000		482.35	10/13/20	484.91	2.56 W	0.00	115 of 416 - Sale <sup>25</sup>
34.000		529.02	10/13/20	532.56	3.54 W	0.00	116 of 416 - Sale <sup>25</sup>
428.000		6,659.48	10/13/20	6,676.99	17.51 W	0.00	117 of 416 - Sale <sup>25</sup>
100.000		1,559.95	10/13/20	1,560.05	0.10 W	0.00	118 of 416 - Sale <sup>25</sup>
1.000		15.57	10/13/20	15.89	0.32 W	0.00	119 of 416 - Sale <sup>25</sup>
11.000		171.27	10/13/20	175.70	4.43 W	0.00	120 of 416 - Sale <sup>25</sup>
12.000		186.83	10/13/20	190.73	3.90 W	0.00	121 of 416 - Sale <sup>25</sup>
12.000		186.83	10/13/20	191.68	4.85 W	0.00	122 of 416 - Sale <sup>25</sup>
3.000		46.71	10/13/20	47.92	1.21 W	0.00	123 of 416 - Sale <sup>25</sup>
38.000		591.64	10/13/20	603.93	12.29 W	0.00	124 of 416 - Sale <sup>25</sup>
23.000		358.10	10/13/20	358.81	0.71 W	0.00	125 of 416 - Sale <sup>25</sup>
1.000		15.57	10/13/20	15.97	0.40 W	0.00	126 of 416 - Sale <sup>25</sup>
2.000		31.14	10/13/20	31.94	0.80 W	0.00	127 of 416 - Sale <sup>25</sup>
10.000		155.69	10/13/20	159.74	4.05 W	0.00	128 of 416 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALTIMMUNE, INC. COMMON STOCK / CUSIP: 02155H200 / Symbol: (cont'd)							
10.000		155.70	10/13/20	159.72	4.02 W	0.00	129 of 416 - Sale <sup>25</sup>
12.000		186.83	10/13/20	191.69	4.86 W	0.00	130 of 416 - Sale <sup>25</sup>
23.000		358.10	10/13/20	367.37	9.27 W	0.00	131 of 416 - Sale <sup>25</sup>
3.000		46.71	10/13/20	47.62	0.91 W	0.00	132 of 416 - Sale <sup>25</sup>
39.000		607.21	10/13/20	622.95	15.74 W	0.00	133 of 416 - Sale <sup>25</sup>
2.000		31.14	10/13/20	31.76	0.62 W	0.00	134 of 416 - Sale <sup>25</sup>
6.000		93.42	10/13/20	95.23	1.81 W	0.00	135 of 416 - Sale <sup>25</sup>
11.000		171.27	10/13/20	174.62	3.35 W	0.00	136 of 416 - Sale <sup>25</sup>
12.000		186.83	10/13/20	190.59	3.76 W	0.00	137 of 416 - Sale <sup>25</sup>
23.000		358.10	10/13/20	365.07	6.97 W	0.00	138 of 416 - Sale <sup>25</sup>
31.000		482.65	10/13/20	492.06	9.41 W	0.00	139 of 416 - Sale <sup>25</sup>
15.000		233.54	10/13/20	238.09	4.55 W	0.00	140 of 416 - Sale <sup>25</sup>
2.000		31.21	10/13/20	31.75	0.54 W	0.00	141 of 416 - Sale <sup>25</sup>
6.000		93.63	10/13/20	95.29	1.66 W	0.00	142 of 416 - Sale <sup>25</sup>
8.000		124.84	10/13/20	126.98	2.14 W	0.00	143 of 416 - Sale <sup>25</sup>
27.000		421.32	10/13/20	428.56	7.24 W	0.00	144 of 416 - Sale <sup>25</sup>
100.000		1,555.95	10/13/20	1,560.00	4.05 W	0.00	145 of 416 - Sale <sup>25</sup>
85.000		1,325.96	10/13/20	1,326.00	0.04 W	0.00	146 of 416 - Sale <sup>25</sup>
6.000		93.36	10/13/20	93.60	0.24 W	0.00	147 of 416 - Sale <sup>25</sup>
9.000		140.04	10/13/20	140.69	0.65 W	0.00	148 of 416 - Sale <sup>25</sup>
588.000		9,143.12	10/13/20	9,172.80	29.68 W	0.00	149 of 416 - Sale <sup>25</sup>
21.000		315.00	10/13/20	334.59	19.59 W	0.00	150 of 416 - Sale <sup>25</sup>
1.000		15.00	10/13/20	15.95	0.95 W	0.00	151 of 416 - Sale <sup>25</sup>
7.000		105.00	10/13/20	111.66	6.66 W	0.00	152 of 416 - Sale <sup>25</sup>
11.000		164.99	10/13/20	175.49	10.50 W	0.00	153 of 416 - Sale <sup>25</sup>
12.000		179.99	10/13/20	191.46	11.47 W	0.00	154 of 416 - Sale <sup>25</sup>
12.000		179.99	10/13/20	191.46	11.47 W	0.00	155 of 416 - Sale <sup>25</sup>
1.000		15.00	10/13/20	15.93	0.93 W	0.00	156 of 416 - Sale <sup>25</sup>
23.000		344.99	10/13/20	366.92	21.93 W	0.00	157 of 416 - Sale <sup>25</sup>
23.000		344.99	10/13/20	366.92	21.93 W	0.00	158 of 416 - Sale <sup>25</sup>
26.000		389.99	10/13/20	414.78	24.79 W	0.00	159 of 416 - Sale <sup>25</sup>
29.000		434.99	10/13/20	460.84	25.85 W	0.00	160 of 416 - Sale <sup>25</sup>
34.000		509.98	10/13/20	542.44	32.46 W	0.00	161 of 416 - Sale <sup>25</sup>
200.000		2,999.91	10/13/20	3,178.18	178.27 W	0.00	162 of 416 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALTIMMUNE, INC. COMMON STOCK / CUSIP: 02155H200 / Symbol: (cont'd)							
171.000		2,564.92	10/13/20	2,717.34	152.42 W	0.00	163 of 416 - Sale <sup>25</sup>
1.000		15.00	10/13/20	15.88	0.88 W	0.00	164 of 416 - Sale <sup>25</sup>
28.000		419.99	10/13/20	444.95	24.96 W	0.00	165 of 416 - Sale <sup>25</sup>
71.000		1,064.96	10/13/20	1,114.77	49.81 W	0.00	166 of 416 - Sale <sup>25</sup>
1.000		15.00	10/13/20	16.02	1.02 W	0.00	167 of 416 - Sale <sup>25</sup>
1.000		15.00	10/13/20	16.11	1.11 W	0.00	168 of 416 - Sale <sup>25</sup>
2.000		30.00	10/13/20	32.20	2.20 W	0.00	169 of 416 - Sale <sup>25</sup>
3.000		45.00	10/13/20	48.31	3.31 W	0.00	170 of 416 - Sale <sup>25</sup>
11.000		164.99	10/13/20	177.13	12.14 W	0.00	171 of 416 - Sale <sup>25</sup>
12.000		179.99	10/13/20	192.30	12.31 W	0.00	172 of 416 - Sale <sup>25</sup>
12.000		179.99	10/13/20	193.25	13.26 W	0.00	173 of 416 - Sale <sup>25</sup>
22.000		329.99	10/13/20	346.08	16.09 W	0.00	174 of 416 - Sale <sup>25</sup>
23.000		344.99	10/13/20	370.37	25.38 W	0.00	175 of 416 - Sale <sup>25</sup>
38.000		569.98	10/13/20	608.89	38.91 W	0.00	176 of 416 - Sale <sup>25</sup>
27.000		404.99	10/13/20	423.93	18.94 W	0.00	177 of 416 - Sale <sup>25</sup>
2.000		30.00	10/13/20	32.21	2.21 W	0.00	178 of 416 - Sale <sup>25</sup>
1.000		15.00	10/13/20	16.10	1.10 W	0.00	179 of 416 - Sale <sup>25</sup>
1.000		15.00	10/13/20	16.01	1.01 W	0.00	180 of 416 - Sale <sup>25</sup>
3.000		45.00	10/13/20	48.01	3.01 W	0.00	181 of 416 - Sale <sup>25</sup>
7.000		105.00	10/13/20	112.73	7.73 W	0.00	182 of 416 - Sale <sup>25</sup>
10.000		150.00	10/13/20	161.02	11.02 W	0.00	183 of 416 - Sale <sup>25</sup>
12.000		179.99	10/13/20	193.26	13.27 W	0.00	184 of 416 - Sale <sup>25</sup>
23.000		344.99	10/13/20	368.07	23.08 W	0.00	185 of 416 - Sale <sup>25</sup>
39.000		584.98	10/13/20	628.04	43.06 W	0.00	186 of 416 - Sale <sup>25</sup>
1.000		15.00	10/13/20	16.01	1.01 W	0.00	187 of 416 - Sale <sup>25</sup>
6.000		90.00	10/13/20	96.01	6.01 W	0.00	188 of 416 - Sale <sup>25</sup>
11.000		165.00	10/13/20	176.05	11.05 W	0.00	189 of 416 - Sale <sup>25</sup>
12.000		179.99	10/13/20	192.16	12.17 W	0.00	190 of 416 - Sale <sup>25</sup>
15.000		224.99	10/13/20	240.05	15.06 W	0.00	191 of 416 - Sale <sup>25</sup>
23.000		344.99	10/13/20	367.27	22.28 W	0.00	192 of 416 - Sale <sup>25</sup>
31.000		464.98	10/13/20	496.11	31.13 W	0.00	193 of 416 - Sale <sup>25</sup>
1.000		15.00	10/13/20	15.87	0.87 W	0.00	194 of 416 - Sale <sup>25</sup>
2.000		30.00	10/13/20	31.73	1.73 W	0.00	195 of 416 - Sale <sup>25</sup>
2.000		30.00	10/13/20	31.74	1.74 W	0.00	196 of 416 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ALTIMMUNE, INC. COMMON STOCK / CUSIP: 02155H200 / Symbol: (cont'd)							
	6.000	90.00	10/13/20	95.26	5.26 W	0.00	197 of 416 - Sale <sup>25</sup>
	8.000	120.00	10/13/20	126.94	6.94 W	0.00	198 of 416 - Sale <sup>25</sup>
	77.000	1,154.96	10/13/20	1,204.32	49.36 W	0.00	199 of 416 - Sale <sup>25</sup>
	23.000	344.99	10/13/20	359.73	14.74 W	0.00	200 of 416 - Sale <sup>25</sup>
	275.000	4,124.86	10/13/20	4,303.88	179.02 W	0.00	201 of 416 - Sale <sup>25</sup>
	1.000	15.00	10/13/20	15.87	0.87 W	0.00	202 of 416 - Sale <sup>25</sup>
	1.000	14.92	10/13/20	15.88	0.96 W	0.00	203 of 416 - Sale <sup>25</sup>
	1.000	14.92	10/13/20	15.90	0.98 W	0.00	204 of 416 - Sale <sup>25</sup>
	6.000	89.52	10/13/20	89.94	0.42 W	0.00	205 of 416 - Sale <sup>25</sup>
	7.000	104.44	10/13/20	111.31	6.87 W	0.00	206 of 416 - Sale <sup>25</sup>
	9.000	134.28	10/13/20	135.20	0.92 W	0.00	207 of 416 - Sale <sup>25</sup>
	11.000	164.12	10/13/20	174.95	10.83 W	0.00	208 of 416 - Sale <sup>25</sup>
	12.000	179.03	10/13/20	190.87	11.84 W	0.00	209 of 416 - Sale <sup>25</sup>
	12.000	179.03	10/13/20	190.87	11.84 W	0.00	210 of 416 - Sale <sup>25</sup>
	16.000	238.71	10/13/20	253.46	14.75 W	0.00	211 of 416 - Sale <sup>25</sup>
	21.000	313.31	10/13/20	333.54	20.23 W	0.00	212 of 416 - Sale <sup>25</sup>
	23.000	343.15	10/13/20	365.78	22.63 W	0.00	213 of 416 - Sale <sup>25</sup>
	23.000	343.15	10/13/20	365.78	22.63 W	0.00	214 of 416 - Sale <sup>25</sup>
	26.000	387.91	10/13/20	413.49	25.58 W	0.00	215 of 416 - Sale <sup>25</sup>
	29.000	432.67	10/13/20	459.40	26.73 W	0.00	216 of 416 - Sale <sup>25</sup>
	34.000	507.26	10/13/20	540.76	33.50 W	0.00	217 of 416 - Sale <sup>25</sup>
	85.000	1,268.16	10/13/20	1,270.79	2.63 W	0.00	218 of 416 - Sale <sup>25</sup>
	171.000	2,551.24	10/13/20	2,708.87	157.63 W	0.00	219 of 416 - Sale <sup>25</sup>
	200.000	2,983.91	10/13/20	3,168.27	184.36 W	0.00	220 of 416 - Sale <sup>25</sup>
	313.000	4,669.82	10/13/20	4,695.15	25.33 W	0.00	221 of 416 - Sale <sup>25</sup>
	1.000	14.50	10/13/20	15.78	1.28 W	0.00	222 of 416 - Sale <sup>25</sup>
	12.000	173.99	10/13/20	189.50	15.51 W	0.00	223 of 416 - Sale <sup>25</sup>
	16.000	231.99	10/13/20	249.62	17.63 W	0.00	224 of 416 - Sale <sup>25</sup>
	71.000	1,029.47	10/13/20	1,107.71	78.24 W	0.00	225 of 416 - Sale <sup>25</sup>
	1.000	14.50	10/13/20	15.92	1.42 W	0.00	226 of 416 - Sale <sup>25</sup>
	1.000	14.50	10/13/20	16.01	1.51 W	0.00	227 of 416 - Sale <sup>25</sup>
	1.000	14.50	10/13/20	16.00	1.50 W	0.00	228 of 416 - Sale <sup>25</sup>
	2.000	29.00	10/13/20	32.00	3.00 W	0.00	229 of 416 - Sale <sup>25</sup>
	2.000	29.00	10/13/20	32.01	3.01 W	0.00	230 of 416 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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ALTIMMUNE, INC. COMMON STOCK / CUSIP: 02155H200 / Symbol: (cont'd)							
	3.000	43.50	10/13/20	48.01	4.51 W	0.00	231 of 416 - Sale <sup>25</sup>
	5.000	72.50	10/13/20	80.03	7.53 W	0.00	232 of 416 - Sale <sup>25</sup>
	7.000	101.50	10/13/20	112.03	10.53 W	0.00	233 of 416 - Sale <sup>25</sup>
	10.000	144.99	10/13/20	160.02	15.03 W	0.00	234 of 416 - Sale <sup>25</sup>
	11.000	159.50	10/13/20	171.62	12.12 W	0.00	235 of 416 - Sale <sup>25</sup>
	11.000	159.50	10/13/20	176.04	16.54 W	0.00	236 of 416 - Sale <sup>25</sup>
	12.000	173.99	10/13/20	191.11	17.12 W	0.00	237 of 416 - Sale <sup>25</sup>
	12.000	173.99	10/13/20	192.06	18.07 W	0.00	238 of 416 - Sale <sup>25</sup>
	22.000	318.99	10/13/20	343.89	24.90 W	0.00	239 of 416 - Sale <sup>25</sup>
	23.000	333.49	10/13/20	368.08	34.59 W	0.00	240 of 416 - Sale <sup>25</sup>
	38.000	550.98	10/13/20	605.11	54.13 W	0.00	241 of 416 - Sale <sup>25</sup>
	39.000	565.48	10/13/20	624.16	58.68 W	0.00	242 of 416 - Sale <sup>25</sup>
	1.000	14.50	10/13/20	15.91	1.41 W	0.00	243 of 416 - Sale <sup>25</sup>
	1.000	14.50	10/13/20	15.91	1.41 W	0.00	244 of 416 - Sale <sup>25</sup>
	3.000	43.50	10/13/20	47.71	4.21 W	0.00	245 of 416 - Sale <sup>25</sup>
	5.000	72.50	10/13/20	79.52	7.02 W	0.00	246 of 416 - Sale <sup>25</sup>
	6.000	87.00	10/13/20	95.41	8.41 W	0.00	247 of 416 - Sale <sup>25</sup>
	7.000	101.50	10/13/20	112.04	10.54 W	0.00	248 of 416 - Sale <sup>25</sup>
	11.000	159.49	10/13/20	174.95	15.46 W	0.00	249 of 416 - Sale <sup>25</sup>
	12.000	173.99	10/13/20	190.97	16.98 W	0.00	250 of 416 - Sale <sup>25</sup>
	23.000	333.49	10/13/20	365.78	32.29 W	0.00	251 of 416 - Sale <sup>25</sup>
	31.000	449.49	10/13/20	493.03	43.54 W	0.00	252 of 416 - Sale <sup>25</sup>
	1.000	14.50	10/13/20	15.77	1.27 W	0.00	253 of 416 - Sale <sup>25</sup>
	1.000	14.50	10/13/20	15.77	1.27 W	0.00	254 of 416 - Sale <sup>25</sup>
	2.000	29.00	10/13/20	31.53	2.53 W	0.00	255 of 416 - Sale <sup>25</sup>
	2.000	29.00	10/13/20	31.54	2.54 W	0.00	256 of 416 - Sale <sup>25</sup>
	6.000	87.00	10/13/20	94.66	7.66 W	0.00	257 of 416 - Sale <sup>25</sup>
	8.000	116.00	10/13/20	126.14	10.14 W	0.00	258 of 416 - Sale <sup>25</sup>
	10.000	145.00	10/13/20	159.04	14.04 W	0.00	259 of 416 - Sale <sup>25</sup>
	23.000	333.49	10/13/20	364.98	31.49 W	0.00	260 of 416 - Sale <sup>25</sup>
	23.000	333.49	10/13/20	357.44	23.95 W	0.00	261 of 416 - Sale <sup>25</sup>
	77.000	1,116.45	10/13/20	1,196.66	80.21 W	0.00	262 of 416 - Sale <sup>25</sup>
	34.000	492.98	10/13/20	528.73	35.75 W	0.00	263 of 416 - Sale <sup>25</sup>
	172.000	2,493.92	10/13/20	2,576.72	82.80 W	0.00	264 of 416 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALTIMMUNE, INC. COMMON STOCK / CUSIP: 02155H200 / Symbol: (cont'd)							
	241.000	3,494.39	10/13/20	3,747.79	253.40 W	0.00	265 of 416 - Sale <sup>25</sup>
	1.000	14.50	10/13/20	15.81	1.31 W	0.00	266 of 416 - Sale <sup>25</sup>
	1.000	14.50	10/13/20	15.83	1.33 W	0.00	267 of 416 - Sale <sup>25</sup>
	1.000	14.50	10/13/20	16.13	1.63 W	0.00	268 of 416 - Sale <sup>25</sup>
	6.000	87.00	10/13/20	89.52	2.52 W	0.00	269 of 416 - Sale <sup>25</sup>
	7.000	101.50	10/13/20	110.82	9.32 W	0.00	270 of 416 - Sale <sup>25</sup>
	9.000	130.50	10/13/20	134.57	4.07 W	0.00	271 of 416 - Sale <sup>25</sup>
	11.000	159.50	10/13/20	174.18	14.68 W	0.00	272 of 416 - Sale <sup>25</sup>
	11.000	159.50	10/13/20	175.47	15.97 W	0.00	273 of 416 - Sale <sup>25</sup>
	12.000	173.99	10/13/20	190.04	16.05 W	0.00	274 of 416 - Sale <sup>25</sup>
	12.000	173.99	10/13/20	190.04	16.05 W	0.00	275 of 416 - Sale <sup>25</sup>
	12.000	173.99	10/13/20	193.71	19.72 W	0.00	276 of 416 - Sale <sup>25</sup>
	12.000	173.99	10/13/20	195.32	21.33 W	0.00	277 of 416 - Sale <sup>25</sup>
	16.000	231.99	10/13/20	252.35	20.36 W	0.00	278 of 416 - Sale <sup>25</sup>
	16.000	231.99	10/13/20	255.23	23.24 W	0.00	279 of 416 - Sale <sup>25</sup>
	21.000	304.49	10/13/20	332.08	27.59 W	0.00	280 of 416 - Sale <sup>25</sup>
	22.000	318.99	10/13/20	351.60	32.61 W	0.00	281 of 416 - Sale <sup>25</sup>
	23.000	333.49	10/13/20	364.18	30.69 W	0.00	282 of 416 - Sale <sup>25</sup>
	23.000	333.49	10/13/20	364.18	30.69 W	0.00	283 of 416 - Sale <sup>25</sup>
	26.000	376.99	10/13/20	411.68	34.69 W	0.00	284 of 416 - Sale <sup>25</sup>
	27.000	391.49	10/13/20	439.41	47.92 W	0.00	285 of 416 - Sale <sup>25</sup>
	29.000	420.49	10/13/20	457.38	36.89 W	0.00	286 of 416 - Sale <sup>25</sup>
	34.000	492.99	10/13/20	538.40	45.41 W	0.00	287 of 416 - Sale <sup>25</sup>
	71.000	1,029.47	10/13/20	1,132.59	103.12 W	0.00	288 of 416 - Sale <sup>25</sup>
	85.000	1,232.46	10/13/20	1,264.88	32.42 W	0.00	289 of 416 - Sale <sup>25</sup>
	141.000	2,044.44	10/13/20	2,105.26	60.82 W	0.00	290 of 416 - Sale <sup>25</sup>
	171.000	2,479.42	10/13/20	2,696.98	217.56 W	0.00	291 of 416 - Sale <sup>25</sup>
	200.000	2,899.91	10/13/20	3,154.36	254.45 W	0.00	292 of 416 - Sale <sup>25</sup>
	1.000	14.50	10/13/20	16.27	1.77 W	0.00	293 of 416 - Sale <sup>25</sup>
	11.000	159.50	10/13/20	179.02	19.52 W	0.00	294 of 416 - Sale <sup>25</sup>
	11.000	159.50	10/13/20	179.89	20.39 W	0.00	295 of 416 - Sale <sup>25</sup>
	12.000	173.99	10/13/20	196.27	22.28 W	0.00	296 of 416 - Sale <sup>25</sup>
	1.000	14.50	10/13/20	16.36	1.86 W	0.00	297 of 416 - Sale <sup>25</sup>
	2.000	29.00	10/13/20	32.70	3.70 W	0.00	298 of 416 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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ALTIMMUNE, INC. COMMON STOCK / CUSIP: 02155H200 / Symbol: (cont'd)							
	2.000	29.00	10/13/20	32.71	3.71 W	0.00	299 of 416 - Sale <sup>25</sup>
	3.000	43.50	10/13/20	49.06	5.56 W	0.00	300 of 416 - Sale <sup>25</sup>
	7.000	101.50	10/13/20	114.48	12.98 W	0.00	301 of 416 - Sale <sup>25</sup>
	16.000	231.99	10/13/20	261.67	29.68 W	0.00	302 of 416 - Sale <sup>25</sup>
	23.000	333.49	10/13/20	376.14	42.65 W	0.00	303 of 416 - Sale <sup>25</sup>
	4.000	58.00	10/13/20	65.42	7.42 W	0.00	304 of 416 - Sale <sup>25</sup>
	1.000	14.50	10/13/20	16.35	1.85 W	0.00	305 of 416 - Sale <sup>25</sup>
	3.000	43.50	10/13/20	48.76	5.26 W	0.00	306 of 416 - Sale <sup>25</sup>
	5.000	72.50	10/13/20	81.78	9.28 W	0.00	307 of 416 - Sale <sup>25</sup>
	7.000	101.50	10/13/20	114.49	12.99 W	0.00	308 of 416 - Sale <sup>25</sup>
	9.000	130.49	10/13/20	146.29	15.80 W	0.00	309 of 416 - Sale <sup>25</sup>
	10.000	145.00	10/13/20	163.53	18.53 W	0.00	310 of 416 - Sale <sup>25</sup>
	19.000	275.49	10/13/20	310.74	35.25 W	0.00	311 of 416 - Sale <sup>25</sup>
	1.000	14.50	10/13/20	16.26	1.76 W	0.00	312 of 416 - Sale <sup>25</sup>
	1.000	14.50	10/13/20	16.26	1.76 W	0.00	313 of 416 - Sale <sup>25</sup>
	6.000	87.00	10/13/20	97.51	10.51 W	0.00	314 of 416 - Sale <sup>25</sup>
	8.000	116.00	10/13/20	130.04	14.04 W	0.00	315 of 416 - Sale <sup>25</sup>
	12.000	173.99	10/13/20	195.18	21.19 W	0.00	316 of 416 - Sale <sup>25</sup>
	14.000	202.99	10/13/20	227.55	24.56 W	0.00	317 of 416 - Sale <sup>25</sup>
	5.000	72.50	10/13/20	81.27	8.77 W	0.00	318 of 416 - Sale <sup>25</sup>
	7.000	101.49	10/13/20	113.78	12.29 W	0.00	319 of 416 - Sale <sup>25</sup>
	11.000	159.49	10/13/20	178.81	19.32 W	0.00	320 of 416 - Sale <sup>25</sup>
	23.000	333.49	10/13/20	373.85	40.36 W	0.00	321 of 416 - Sale <sup>25</sup>
	1.000	14.47	10/13/20	15.77	1.30 W	0.00	322 of 416 - Sale <sup>25</sup>
	1.000	14.47	10/13/20	15.77	1.30 W	0.00	323 of 416 - Sale <sup>25</sup>
	2.000	28.94	10/13/20	31.53	2.59 W	0.00	324 of 416 - Sale <sup>25</sup>
	2.000	28.94	10/13/20	31.54	2.60 W	0.00	325 of 416 - Sale <sup>25</sup>
	3.000	43.41	10/13/20	47.71	4.30 W	0.00	326 of 416 - Sale <sup>25</sup>
	6.000	86.83	10/13/20	94.66	7.83 W	0.00	327 of 416 - Sale <sup>25</sup>
	8.000	115.77	10/13/20	126.14	10.37 W	0.00	328 of 416 - Sale <sup>25</sup>
	23.000	332.84	10/13/20	364.99	32.15 W	0.00	329 of 416 - Sale <sup>25</sup>
	64.000	926.17	10/13/20	994.67	68.50 W	0.00	330 of 416 - Sale <sup>25</sup>
	23.000	330.04	10/13/20	357.45	27.41 W	0.00	331 of 416 - Sale <sup>25</sup>
	34.000	487.89	10/13/20	528.75	40.86 W	0.00	332 of 416 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ALTIMMUNE, INC. COMMON STOCK / CUSIP: 02155H200 / Symbol: (cont'd)							
	45.000	645.73	10/13/20	699.82	54.09 W	0.00	333 of 416 - Sale <sup>25</sup>
	13.000	186.54	10/13/20	202.04	15.50 W	0.00	334 of 416 - Sale <sup>25</sup>
	70.000	1,004.47	10/13/20	1,092.10	87.63 W	0.00	335 of 416 - Sale <sup>25</sup>
	126.000	1,808.04	10/13/20	1,965.78	157.74 W	0.00	336 of 416 - Sale <sup>25</sup>
	139.000	1,994.59	10/13/20	2,089.36	94.77 W	0.00	337 of 416 - Sale <sup>25</sup>
	33.000	473.54	10/13/20	496.04	22.50 W	0.00	338 of 416 - Sale <sup>25</sup>
	67.000	961.42	10/13/20	1,003.75	42.33 W	0.00	339 of 416 - Sale <sup>25</sup>
	26.000	373.09	10/13/20	388.22	15.13 W	0.00	340 of 416 - Sale <sup>25</sup>
	74.000	1,061.87	10/13/20	1,108.62	46.75 W	0.00	341 of 416 - Sale <sup>25</sup>
	1.000	14.35	10/13/20	15.86	1.51 W	0.00	342 of 416 - Sale <sup>25</sup>
	4.000	57.40	10/13/20	63.54	6.14 W	0.00	343 of 416 - Sale <sup>25</sup>
	6.000	86.10	10/13/20	89.82	3.72 W	0.00	344 of 416 - Sale <sup>25</sup>
	9.000	129.15	10/13/20	135.02	5.87 W	0.00	345 of 416 - Sale <sup>25</sup>
	21.000	301.34	10/13/20	333.14	31.80 W	0.00	346 of 416 - Sale <sup>25</sup>
	59.000	846.62	10/13/20	880.95	34.33 W	0.00	347 of 416 - Sale <sup>25</sup>
	1.000	14.35	10/13/20	15.83	1.48 W	0.00	348 of 416 - Sale <sup>25</sup>
	7.000	100.45	10/13/20	110.82	10.37 W	0.00	349 of 416 - Sale <sup>25</sup>
	11.000	157.84	10/13/20	174.18	16.34 W	0.00	350 of 416 - Sale <sup>25</sup>
	12.000	172.19	10/13/20	190.05	17.86 W	0.00	351 of 416 - Sale <sup>25</sup>
	12.000	172.19	10/13/20	190.05	17.86 W	0.00	352 of 416 - Sale <sup>25</sup>
	19.000	272.64	10/13/20	300.85	28.21 W	0.00	353 of 416 - Sale <sup>25</sup>
	23.000	330.04	10/13/20	364.19	34.15 W	0.00	354 of 416 - Sale <sup>25</sup>
	26.000	373.09	10/13/20	411.69	38.60 W	0.00	355 of 416 - Sale <sup>25</sup>
	5.000	71.75	10/13/20	78.86	7.11 W	0.00	356 of 416 - Sale <sup>25</sup>
	34.000	487.88	10/13/20	538.41	50.53 W	0.00	357 of 416 - Sale <sup>25</sup>
	26.000	373.09	10/13/20	410.08	36.99 W	0.00	358 of 416 - Sale <sup>25</sup>
	24.000	344.39	10/13/20	378.53	34.14 W	0.00	359 of 416 - Sale <sup>25</sup>
	26.000	373.09	10/13/20	410.08	36.99 W	0.00	360 of 416 - Sale <sup>25</sup>
	174.000	2,496.82	10/13/20	2,744.37	247.55 W	0.00	361 of 416 - Sale <sup>25</sup>
	1.000	14.35	10/13/20	16.13	1.78 W	0.00	362 of 416 - Sale <sup>25</sup>
	12.000	172.19	10/13/20	193.72	21.53 W	0.00	363 of 416 - Sale <sup>25</sup>
	16.000	229.59	10/13/20	252.36	22.77 W	0.00	364 of 416 - Sale <sup>25</sup>
	26.000	373.09	10/13/20	414.76	41.67 W	0.00	365 of 416 - Sale <sup>25</sup>
	145.000	2,080.68	10/13/20	2,286.98	206.30 W	0.00	366 of 416 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ALTIMMUNE, INC. COMMON STOCK / CUSIP: 02155H200 / Symbol: (cont'd)							
	1.000	14.35	10/13/20	16.27	1.92 W	0.00	367 of 416 - Sale <sup>25</sup>
	5.000	71.75	10/13/20	81.77	10.02 W	0.00	368 of 416 - Sale <sup>25</sup>
	11.000	157.85	10/13/20	175.47	17.62 W	0.00	369 of 416 - Sale <sup>25</sup>
	11.000	157.84	10/13/20	179.02	21.18 W	0.00	370 of 416 - Sale <sup>25</sup>
	12.000	172.20	10/13/20	195.33	23.13 W	0.00	371 of 416 - Sale <sup>25</sup>
	16.000	229.59	10/13/20	255.24	25.65 W	0.00	372 of 416 - Sale <sup>25</sup>
	22.000	315.69	10/13/20	351.61	35.92 W	0.00	373 of 416 - Sale <sup>25</sup>
	27.000	387.44	10/13/20	439.42	51.98 W	0.00	374 of 416 - Sale <sup>25</sup>
	45.000	645.73	10/13/20	717.86	72.13 W	0.00	375 of 416 - Sale <sup>25</sup>
	1.000	13.97	10/13/20	15.91	1.94 W	0.00	376 of 416 - Sale <sup>25</sup>
	1.000	13.97	10/13/20	15.90	1.93 W	0.00	377 of 416 - Sale <sup>25</sup>
	2.000	27.94	10/13/20	31.80	3.86 W	0.00	378 of 416 - Sale <sup>25</sup>
	2.000	27.94	10/13/20	31.81	3.87 W	0.00	379 of 416 - Sale <sup>25</sup>
	3.000	41.91	10/13/20	47.71	5.80 W	0.00	380 of 416 - Sale <sup>25</sup>
	4.000	55.88	10/13/20	63.62	7.74 W	0.00	381 of 416 - Sale <sup>25</sup>
	6.000	83.82	10/13/20	95.42	11.60 W	0.00	382 of 416 - Sale <sup>25</sup>
	7.000	97.79	10/13/20	111.33	13.54 W	0.00	383 of 416 - Sale <sup>25</sup>
	4.000	55.88	10/13/20	63.61	7.73 W	0.00	384 of 416 - Sale <sup>25</sup>
	12.000	167.63	10/13/20	190.88	23.25 W	0.00	385 of 416 - Sale <sup>25</sup>
	16.000	223.51	10/13/20	254.48	30.97 W	0.00	386 of 416 - Sale <sup>25</sup>
	19.000	265.41	10/13/20	302.20	36.79 W	0.00	387 of 416 - Sale <sup>25</sup>
	23.000	321.30	10/13/20	365.80	44.50 W	0.00	388 of 416 - Sale <sup>25</sup>
	1.000	13.97	10/13/20	15.81	1.84 W	0.00	389 of 416 - Sale <sup>25</sup>
	1.000	13.97	10/13/20	15.81	1.84 W	0.00	390 of 416 - Sale <sup>25</sup>
	1.000	13.97	10/13/20	15.35	1.38 W	0.00	391 of 416 - Sale <sup>25</sup>
	1.000	13.97	10/13/20	15.35	1.38 W	0.00	392 of 416 - Sale <sup>25</sup>
	2.000	27.94	10/13/20	30.69	2.75 W	0.00	393 of 416 - Sale <sup>25</sup>
	2.000	27.94	10/13/20	30.70	2.76 W	0.00	394 of 416 - Sale <sup>25</sup>
	3.000	41.91	10/13/20	47.41	5.50 W	0.00	395 of 416 - Sale <sup>25</sup>
	3.000	41.91	10/13/20	46.45	4.54 W	0.00	396 of 416 - Sale <sup>25</sup>
	5.000	69.85	10/13/20	79.53	9.68 W	0.00	397 of 416 - Sale <sup>25</sup>
	5.000	69.85	10/13/20	79.02	9.17 W	0.00	398 of 416 - Sale <sup>25</sup>
	6.000	83.82	10/13/20	94.81	10.99 W	0.00	399 of 416 - Sale <sup>25</sup>
	6.000	83.82	10/13/20	92.13	8.31 W	0.00	400 of 416 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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ALTIMMUNE, INC. COMMON STOCK / CUSIP: 02155H200 / Symbol: (cont'd)							
	7.000	97.79	10/13/20	111.34	13.55 W	0.00	401 of 416 - Sale <sup>25</sup>
	7.000	97.79	10/13/20	110.64	12.85 W	0.00	402 of 416 - Sale <sup>25</sup>
	8.000	111.76	10/13/20	126.44	14.68 W	0.00	403 of 416 - Sale <sup>25</sup>
	8.000	111.76	10/13/20	122.77	11.01 W	0.00	404 of 416 - Sale <sup>25</sup>
	9.000	125.73	10/13/20	142.25	16.52 W	0.00	405 of 416 - Sale <sup>25</sup>
	6.000	83.82	10/13/20	95.42	11.60 W	0.00	406 of 416 - Sale <sup>25</sup>
	11.000	153.67	10/13/20	173.87	20.20 W	0.00	407 of 416 - Sale <sup>25</sup>
	12.000	167.63	10/13/20	189.79	22.16 W	0.00	408 of 416 - Sale <sup>25</sup>
	14.000	195.57	10/13/20	221.26	25.69 W	0.00	409 of 416 - Sale <sup>25</sup>
	23.000	321.30	10/13/20	363.51	42.21 W	0.00	410 of 416 - Sale <sup>25</sup>
	23.000	321.30	10/13/20	355.30	34.00 W	0.00	411 of 416 - Sale <sup>25</sup>
	36.000	502.90	10/13/20	544.33	41.43 W	0.00	412 of 416 - Sale <sup>25</sup>
	13.000	181.60	10/13/20	198.15	16.55 W	0.00	413 of 416 - Sale <sup>25</sup>
	23.000	321.30	10/13/20	350.56	29.26 W	0.00	414 of 416 - Sale <sup>25</sup>
	28.000	391.15	10/13/20	423.37	32.22 W	0.00	415 of 416 - Sale <sup>25</sup>
	34.000	474.96	10/13/20	518.56	43.60 W	0.00	416 of 416 - Sale <sup>25</sup>
10/13/20	12,194.000	184,538.91	Various	192,124.77	7,578.17 W	-7.69	Total of 416 transactions
	<b>Security total:</b>	<b>276,850.24</b>		<b>290,559.86</b>	<b>10,393.79 W</b>	<b>-3,315.83</b>	
AMARIN CORPORATION PLC / CUSIP: 023111206 / Symbol:							
07/23/20	500.000	3,292.36	07/23/20	3,339.95	...	-47.59	Sale <sup>25</sup>
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
06/24/20	1.000	2,754.62	06/23/20	2,743.65	...	10.97	Sale <sup>25</sup>
	2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	1.000	2,754.62	06/23/20	2,778.61	23.99 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	2,754.62	06/23/20	2,773.90	19.28 W	0.00	2 of 2 - Sale <sup>25</sup>
06/24/20	2.000	5,509.24	Various	5,552.51	43.27 W	0.00	Total of 2 transactions
	2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.						
	1.000	3,079.03	07/14/20	3,077.90	...	1.13	1 of 2 - Sale <sup>25</sup>
	1.000	3,079.93	07/14/20	3,075.24	...	4.69	2 of 2 - Sale <sup>25</sup>
07/14/20	2.000	6,158.96	Various	6,153.14	...	5.82	Total of 2 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	1.000	3,078.05	07/14/20	3,079.95	1.90 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.623	4,992.94	07/14/20	5,000.00	7.06 W	0.00	2 of 2 - Sale <sup>25</sup>
07/14/20	2.623	8,070.99	Various	8,079.95	8.96 W	0.00	Total of 2 transactions
2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	0.001	2.86	07/15/20	2.83	...	0.03	1 of 2 - Sale <sup>25</sup>
	0.411	1,243.92	07/15/20	1,243.25	...	0.67	2 of 2 - Sale <sup>25</sup>
07/15/20	0.412	1,246.78	Various	1,246.08	...	0.70	Total of 2 transactions
10 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	0.280	853.97	07/14/20	861.22	7.25 W	0.00	1 of 10 - Sale <sup>25</sup>
	0.411	1,251.93	07/14/20	1,263.15	11.22 W	0.00	2 of 10 - Sale <sup>25</sup>
	0.280	842.48	07/14/20	867.66	25.18 W	0.00	3 of 10 - Sale <sup>25</sup>
	0.308	927.06	07/14/20	948.12	21.06 W	0.00	4 of 10 - Sale <sup>25</sup>
	0.624	1,876.00	07/14/20	1,918.99	42.99 W	0.00	5 of 10 - Sale <sup>25</sup>
	0.376	1,130.88	07/14/20	1,155.17	24.29 W	0.00	6 of 10 - Sale <sup>25</sup>
	0.280	848.50	07/15/20	865.57	17.07 W	0.00	7 of 10 - Sale <sup>25</sup>
	0.308	933.68	07/15/20	945.81	12.13 W	0.00	8 of 10 - Sale <sup>25</sup>
	0.376	1,139.19	07/15/20	1,152.59	13.40 W	0.00	9 of 10 - Sale <sup>25</sup>
	0.624	1,889.76	07/15/20	1,914.69	24.93 W	0.00	10 of 10 - Sale <sup>25</sup>
07/15/20	3.868	11,693.45	Various	11,892.97	199.52 W	0.00	Total of 10 transactions
2 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	0.203	606.07	07/16/20	604.60	...	1.47	1 of 2 - Sale <sup>25</sup>
	0.376	1,125.29	07/16/20	1,120.96	...	4.33	2 of 2 - Sale <sup>25</sup>
07/16/20	0.579	1,731.36	Various	1,725.56	...	5.80	Total of 2 transactions
11 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	0.010	28.61	07/16/20	29.46	0.85 W	0.00	1 of 11 - Sale <sup>25</sup>
	0.000	1.00	07/16/20	1.03	0.03 W	0.00	2 of 11 - Sale <sup>25</sup>
	0.270	794.44	07/16/20	818.87	24.43 W	0.00	3 of 11 - Sale <sup>25</sup>
	0.308	906.75	07/16/20	927.98	21.23 W	0.00	4 of 11 - Sale <sup>25</sup>
	0.421	1,239.43	07/16/20	1,268.70	29.27 W	0.00	5 of 11 - Sale <sup>25</sup>
	0.000	1.02	07/16/20	1.03	0.01 W	0.00	6 of 11 - Sale <sup>25</sup>
	0.010	29.09	07/16/20	29.48	0.39 W	0.00	7 of 11 - Sale <sup>25</sup>
	0.270	808.05	07/16/20	819.75	11.70 W	0.00	8 of 11 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
	0.020	60.87	07/16/20	61.31	0.44 W	0.00	9 of 11 - Sale <sup>25</sup>
	0.288	853.56	07/16/20	867.68	14.12 W	0.00	10 of 11 - Sale <sup>25</sup>
	0.067	199.32	07/16/20	202.66	3.34 W	0.00	11 of 11 - Sale <sup>25</sup>
07/16/20	1.665	4,922.14	Various	5,027.95	105.81 W	0.00	Total of 11 transactions
	2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	0.000	1.02	07/16/20	1.01	...	0.01	1 of 2 - Sale <sup>25</sup>
	0.109	327.42	07/16/20	322.43	...	4.99	2 of 2 - Sale <sup>25</sup>
07/17/20	0.110	328.44	Various	323.44	...	5.00	Total of 2 transactions
	13 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	0.354	1,058.97	07/16/20	1,067.40	8.43 W	0.00	1 of 13 - Sale <sup>25</sup>
	0.010	29.07	07/16/20	29.12	0.05 W	0.00	2 of 13 - Sale <sup>25</sup>
	0.001	3.53	07/16/20	3.54	0.01 W	0.00	3 of 13 - Sale <sup>25</sup>
	0.190	561.54	07/16/20	567.07	5.53 W	0.00	4 of 13 - Sale <sup>25</sup>
	0.269	793.21	07/16/20	806.27	13.06 W	0.00	5 of 13 - Sale <sup>25</sup>
	0.020	60.01	07/16/20	60.56	0.55 W	0.00	6 of 13 - Sale <sup>25</sup>
	0.067	198.33	07/16/20	202.02	3.69 W	0.00	7 of 13 - Sale <sup>25</sup>
	0.288	849.31	07/16/20	864.94	15.63 W	0.00	8 of 13 - Sale <sup>25</sup>
	0.001	3.48	07/16/20	3.53	0.05 W	0.00	9 of 13 - Sale <sup>25</sup>
	0.010	28.68	07/16/20	29.10	0.42 W	0.00	10 of 13 - Sale <sup>25</sup>
	0.164	483.07	07/16/20	493.25	10.18 W	0.00	11 of 13 - Sale <sup>25</sup>
	0.662	1,952.71	07/16/20	1,978.08	25.37 W	0.00	12 of 13 - Sale <sup>25</sup>
	0.085	250.49	07/17/20	254.12	3.63 W	0.00	13 of 13 - Sale <sup>25</sup>
07/17/20	2.121	6,272.40	Various	6,359.00	86.60 W	0.00	Total of 13 transactions
	12 transactions for 07/20/20. Total proceeds and cost reported to the IRS.						
	0.000	0.80	07/17/20	0.77	...	0.03	1 of 12 - Sale <sup>25</sup>
	0.001	3.69	07/17/20	3.56	...	0.13	2 of 12 - Sale <sup>25</sup>
	0.008	23.88	07/17/20	23.38	...	0.50	3 of 12 - Sale <sup>25</sup>
	0.010	30.39	07/17/20	29.36	...	1.03	4 of 12 - Sale <sup>25</sup>
	0.020	63.61	07/17/20	61.11	...	2.50	5 of 12 - Sale <sup>25</sup>
	0.067	210.20	07/17/20	203.84	...	6.36	6 of 12 - Sale <sup>25</sup>
	0.083	259.84	07/17/20	250.60	...	9.24	7 of 12 - Sale <sup>25</sup>
	0.164	511.98	07/17/20	497.67	...	14.31	8 of 12 - Sale <sup>25</sup>
	0.176	550.73	07/17/20	532.94	...	17.79	9 of 12 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
	0.190	595.15	07/17/20	572.22	...	22.93	10 of 12 - Sale <sup>25</sup>
	0.288	900.15	07/17/20	872.73	...	27.42	11 of 12 - Sale <sup>25</sup>
	0.001	1.89	07/20/20	1.89	...	0.00	12 of 12 - Sale <sup>25</sup>
07/20/20	1.008	3,152.31	Various	3,050.07	...	102.24	Total of 12 transactions
	4 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	0.054	170.22	07/20/20	170.14	...	0.08	1 of 4 - Sale <sup>25</sup>
	0.060	186.84	07/20/20	186.64	...	0.20	2 of 4 - Sale <sup>25</sup>
	0.160	499.93	07/20/20	499.65	...	0.28	3 of 4 - Sale <sup>25</sup>
	0.106	332.64	07/20/20	332.34	...	0.30	4 of 4 - Sale <sup>25</sup>
07/21/20	0.381	1,189.63	Various	1,188.77	...	0.86	Total of 4 transactions
	18 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	0.321	1,009.96	07/20/20	1,010.43	0.47 W	0.00	1 of 18 - Sale <sup>25</sup>
	0.002	7.49	07/20/20	7.52	0.03 W	0.00	2 of 18 - Sale <sup>25</sup>
	0.060	190.24	07/20/20	190.92	0.68 W	0.00	3 of 18 - Sale <sup>25</sup>
	0.197	615.61	07/20/20	622.21	6.60 W	0.00	4 of 18 - Sale <sup>25</sup>
	0.082	257.48	07/20/20	260.66	3.18 W	0.00	5 of 18 - Sale <sup>25</sup>
	0.160	499.93	07/20/20	500.23	0.30 W	0.00	6 of 18 - Sale <sup>25</sup>
	0.002	7.44	07/20/20	7.47	0.03 W	0.00	7 of 18 - Sale <sup>25</sup>
	0.054	170.22	07/20/20	170.33	0.11 W	0.00	8 of 18 - Sale <sup>25</sup>
	0.060	188.89	07/20/20	189.60	0.71 W	0.00	9 of 18 - Sale <sup>25</sup>
	0.337	1,053.32	07/20/20	1,053.46	0.14 W	0.00	10 of 18 - Sale <sup>25</sup>
	0.186	579.86	07/20/20	586.15	6.29 W	0.00	11 of 18 - Sale <sup>25</sup>
	0.002	7.44	07/20/20	7.46	0.02 W	0.00	12 of 18 - Sale <sup>25</sup>
	0.082	257.48	07/20/20	260.37	2.89 W	0.00	13 of 18 - Sale <sup>25</sup>
	0.060	188.89	07/20/20	189.38	0.49 W	0.00	14 of 18 - Sale <sup>25</sup>
	0.011	35.75	07/20/20	36.09	0.34 W	0.00	15 of 18 - Sale <sup>25</sup>
	0.156	488.70	07/20/20	500.07	11.37 W	0.00	16 of 18 - Sale <sup>25</sup>
	0.121	377.78	07/21/20	386.81	9.03 W	0.00	17 of 18 - Sale <sup>25</sup>
	0.106	332.64	07/21/20	340.55	7.91 W	0.00	18 of 18 - Sale <sup>25</sup>
07/21/20	2.003	6,269.12	Various	6,319.71	50.59 W	0.00	Total of 18 transactions

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	0.000	0.07	07/21/20	0.07	...	0.00	1 of 2 - Sale <sup>25</sup>
	0.736	2,301.24	07/21/20	2,299.93	...	1.31	2 of 2 - Sale <sup>25</sup>
07/22/20	0.736	2,301.31	Various	2,300.00	...	1.31	Total of 2 transactions
29 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	0.002	7.44	07/21/20	7.63	0.19 W	0.00	1 of 29 - Sale <sup>25</sup>
	0.054	167.47	07/21/20	173.31	5.84 W	0.00	2 of 29 - Sale <sup>25</sup>
	0.186	579.92	07/21/20	599.94	20.02 W	0.00	3 of 29 - Sale <sup>25</sup>
	0.029	90.04	07/21/20	92.68	2.64 W	0.00	4 of 29 - Sale <sup>25</sup>
	0.060	188.91	07/21/20	192.80	3.89 W	0.00	5 of 29 - Sale <sup>25</sup>
	0.011	35.75	07/21/20	36.74	0.99 W	0.00	6 of 29 - Sale <sup>25</sup>
	0.156	488.75	07/21/20	508.92	20.17 W	0.00	7 of 29 - Sale <sup>25</sup>
	0.121	377.82	07/21/20	393.66	15.84 W	0.00	8 of 29 - Sale <sup>25</sup>
	0.106	332.68	07/21/20	346.58	13.90 W	0.00	9 of 29 - Sale <sup>25</sup>
	0.458	1,432.96	07/21/20	1,458.77	25.81 W	0.00	10 of 29 - Sale <sup>25</sup>
	0.017	52.67	07/21/20	53.00	0.33 W	0.00	11 of 29 - Sale <sup>25</sup>
	0.463	1,448.77	07/21/20	1,450.00	1.23 W	0.00	12 of 29 - Sale <sup>25</sup>
	0.794	2,482.85	07/21/20	2,500.00	17.15 W	0.00	13 of 29 - Sale <sup>25</sup>
	0.002	7.39	07/22/20	7.63	0.24 W	0.00	14 of 29 - Sale <sup>25</sup>
	0.029	89.48	07/22/20	92.74	3.26 W	0.00	15 of 29 - Sale <sup>25</sup>
	0.054	166.43	07/22/20	173.41	6.98 W	0.00	16 of 29 - Sale <sup>25</sup>
	0.060	187.74	07/22/20	192.92	5.18 W	0.00	17 of 29 - Sale <sup>25</sup>
	0.011	35.53	07/22/20	36.77	1.24 W	0.00	18 of 29 - Sale <sup>25</sup>
	0.186	576.32	07/22/20	600.29	23.97 W	0.00	19 of 29 - Sale <sup>25</sup>
	0.156	485.72	07/22/20	509.21	23.49 W	0.00	20 of 29 - Sale <sup>25</sup>
	0.100	310.17	07/22/20	325.38	15.21 W	0.00	21 of 29 - Sale <sup>25</sup>
	0.000	0.70	07/22/20	0.73	0.03 W	0.00	22 of 29 - Sale <sup>25</sup>
	0.021	64.59	07/22/20	67.79	3.20 W	0.00	23 of 29 - Sale <sup>25</sup>
	0.017	52.34	07/22/20	53.05	0.71 W	0.00	24 of 29 - Sale <sup>25</sup>
	0.106	330.56	07/22/20	346.86	16.30 W	0.00	25 of 29 - Sale <sup>25</sup>
	0.397	1,233.92	07/22/20	1,243.93	10.01 W	0.00	26 of 29 - Sale <sup>25</sup>
	0.458	1,423.82	07/22/20	1,459.96	36.14 W	0.00	27 of 29 - Sale <sup>25</sup>
	0.066	203.25	07/22/20	205.16	1.91 W	0.00	28 of 29 - Sale <sup>25</sup>

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(continued)

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AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
	0.677	2,077.37	07/22/20	2,109.63	32.26 W	0.00	29 of 29 - Sale <sup>25</sup>
07/22/20	4.800	14,931.36	Various	15,239.49	308.13 W	0.00	Total of 29 transactions
	32 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	0.002	7.14	07/23/20	7.41	0.27 W	0.00	1 of 32 - Sale <sup>25</sup>
	0.029	86.48	07/23/20	90.09	3.61 W	0.00	2 of 32 - Sale <sup>25</sup>
	0.054	160.83	07/23/20	168.47	7.64 W	0.00	3 of 32 - Sale <sup>25</sup>
	0.060	181.43	07/23/20	187.35	5.92 W	0.00	4 of 32 - Sale <sup>25</sup>
	0.011	34.34	07/23/20	35.72	1.38 W	0.00	5 of 32 - Sale <sup>25</sup>
	0.186	556.94	07/23/20	583.20	26.26 W	0.00	6 of 32 - Sale <sup>25</sup>
	0.156	469.38	07/23/20	494.80	25.42 W	0.00	7 of 32 - Sale <sup>25</sup>
	0.000	0.67	07/23/20	0.71	0.04 W	0.00	8 of 32 - Sale <sup>25</sup>
	0.021	62.43	07/23/20	65.89	3.46 W	0.00	9 of 32 - Sale <sup>25</sup>
	0.100	299.74	07/23/20	316.19	16.45 W	0.00	10 of 32 - Sale <sup>25</sup>
	0.017	50.59	07/23/20	51.50	0.91 W	0.00	11 of 32 - Sale <sup>25</sup>
	0.066	198.72	07/23/20	201.45	2.73 W	0.00	12 of 32 - Sale <sup>25</sup>
	0.106	319.50	07/23/20	337.11	17.61 W	0.00	13 of 32 - Sale <sup>25</sup>
	0.118	353.38	07/23/20	357.38	4.00 W	0.00	14 of 32 - Sale <sup>25</sup>
	0.218	653.62	07/23/20	666.68	13.06 W	0.00	15 of 32 - Sale <sup>25</sup>
	0.397	1,192.64	07/23/20	1,207.56	14.92 W	0.00	16 of 32 - Sale <sup>25</sup>
	0.458	1,376.18	07/23/20	1,417.97	41.79 W	0.00	17 of 32 - Sale <sup>25</sup>
	0.459	1,377.45	07/23/20	1,406.59	29.14 W	0.00	18 of 32 - Sale <sup>25</sup>
	0.541	1,624.55	07/23/20	1,633.10	8.55 W	0.00	19 of 32 - Sale <sup>25</sup>
	0.002	7.15	07/23/20	7.41	0.26 W	0.00	20 of 32 - Sale <sup>25</sup>
	0.029	86.62	07/23/20	90.03	3.41 W	0.00	21 of 32 - Sale <sup>25</sup>
	0.054	161.10	07/23/20	168.36	7.26 W	0.00	22 of 32 - Sale <sup>25</sup>
	0.060	181.72	07/23/20	187.22	5.50 W	0.00	23 of 32 - Sale <sup>25</sup>
	0.011	34.39	07/23/20	35.69	1.30 W	0.00	24 of 32 - Sale <sup>25</sup>
	0.186	557.85	07/23/20	582.81	24.96 W	0.00	25 of 32 - Sale <sup>25</sup>
	0.156	470.16	07/23/20	494.48	24.32 W	0.00	26 of 32 - Sale <sup>25</sup>
	0.000	0.67	07/23/20	0.71	0.04 W	0.00	27 of 32 - Sale <sup>25</sup>
	0.021	62.54	07/23/20	65.85	3.31 W	0.00	28 of 32 - Sale <sup>25</sup>
	0.100	300.24	07/23/20	315.98	15.74 W	0.00	29 of 32 - Sale <sup>25</sup>
	0.046	137.99	07/23/20	139.23	1.24 W	0.00	30 of 32 - Sale <sup>25</sup>
	0.001	3.16	07/23/20	3.19	0.03 W	0.00	31 of 32 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
	0.000	1.05	07/23/20	1.06	0.01 W	0.00	32 of 32 - Sale <sup>25</sup>
07/23/20	3.667	11,010.65	Various	11,321.19	310.54 W	0.00	Total of 32 transactions
	70 transactions for 07/24/20. Total proceeds and cost reported to the IRS.						
	0.106	319.67	07/23/20	336.88	17.21 W	0.00	1 of 70 - Sale <sup>25</sup>
	0.070	211.53	07/23/20	213.66	2.13 W	0.00	2 of 70 - Sale <sup>25</sup>
	0.156	469.99	07/23/20	483.67	13.68 W	0.00	3 of 70 - Sale <sup>25</sup>
	0.017	50.61	07/23/20	51.46	0.85 W	0.00	4 of 70 - Sale <sup>25</sup>
	0.066	198.83	07/23/20	201.32	2.49 W	0.00	5 of 70 - Sale <sup>25</sup>
	0.218	653.98	07/23/20	666.24	12.26 W	0.00	6 of 70 - Sale <sup>25</sup>
	0.302	906.94	07/23/20	933.37	26.43 W	0.00	7 of 70 - Sale <sup>25</sup>
	0.397	1,193.29	07/23/20	1,206.76	13.47 W	0.00	8 of 70 - Sale <sup>25</sup>
	0.002	7.15	07/23/20	7.40	0.25 W	0.00	9 of 70 - Sale <sup>25</sup>
	0.029	86.52	07/23/20	89.83	3.31 W	0.00	10 of 70 - Sale <sup>25</sup>
	0.054	160.92	07/23/20	167.98	7.06 W	0.00	11 of 70 - Sale <sup>25</sup>
	0.060	181.53	07/23/20	186.81	5.28 W	0.00	12 of 70 - Sale <sup>25</sup>
	0.011	34.36	07/23/20	35.61	1.25 W	0.00	13 of 70 - Sale <sup>25</sup>
	0.186	557.24	07/23/20	581.53	24.29 W	0.00	14 of 70 - Sale <sup>25</sup>
	0.156	469.64	07/23/20	493.39	23.75 W	0.00	15 of 70 - Sale <sup>25</sup>
	0.000	0.67	07/23/20	0.71	0.04 W	0.00	16 of 70 - Sale <sup>25</sup>
	0.021	62.47	07/23/20	65.70	3.23 W	0.00	17 of 70 - Sale <sup>25</sup>
	0.100	299.91	07/23/20	315.28	15.37 W	0.00	18 of 70 - Sale <sup>25</sup>
	0.000	1.05	07/23/20	1.06	0.01 W	0.00	19 of 70 - Sale <sup>25</sup>
	0.001	3.15	07/23/20	3.18	0.03 W	0.00	20 of 70 - Sale <sup>25</sup>
	0.046	137.84	07/23/20	138.91	1.07 W	0.00	21 of 70 - Sale <sup>25</sup>
	0.459	1,378.20	07/23/20	1,405.68	27.48 W	0.00	22 of 70 - Sale <sup>25</sup>
	0.541	1,625.44	07/23/20	1,632.01	6.57 W	0.00	23 of 70 - Sale <sup>25</sup>
	0.070	211.81	07/23/20	213.41	1.60 W	0.00	24 of 70 - Sale <sup>25</sup>
	0.106	320.08	07/23/20	336.50	16.42 W	0.00	25 of 70 - Sale <sup>25</sup>
	0.156	470.60	07/23/20	483.10	12.50 W	0.00	26 of 70 - Sale <sup>25</sup>
	0.002	7.15	07/24/20	7.43	0.28 W	0.00	27 of 70 - Sale <sup>25</sup>
	0.029	86.63	07/24/20	90.30	3.67 W	0.00	28 of 70 - Sale <sup>25</sup>
	0.054	161.11	07/24/20	168.86	7.75 W	0.00	29 of 70 - Sale <sup>25</sup>
	0.060	181.74	07/24/20	187.79	6.05 W	0.00	30 of 70 - Sale <sup>25</sup>
	0.011	34.40	07/24/20	35.79	1.39 W	0.00	31 of 70 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
0.186		557.92	07/24/20	584.57	26.65 W	0.00	32 of 70 - Sale <sup>25</sup>
0.156		470.21	07/24/20	495.95	25.74 W	0.00	33 of 70 - Sale <sup>25</sup>
0.000		0.67	07/24/20	0.72	0.05 W	0.00	34 of 70 - Sale <sup>25</sup>
0.021		62.54	07/24/20	66.04	3.50 W	0.00	35 of 70 - Sale <sup>25</sup>
0.070		211.79	07/24/20	214.29	2.50 W	0.00	36 of 70 - Sale <sup>25</sup>
0.100		300.27	07/24/20	316.91	16.64 W	0.00	37 of 70 - Sale <sup>25</sup>
0.106		320.06	07/24/20	337.83	17.77 W	0.00	38 of 70 - Sale <sup>25</sup>
0.156		470.56	07/24/20	485.05	14.49 W	0.00	39 of 70 - Sale <sup>25</sup>
0.000		1.05	07/24/20	1.06	0.01 W	0.00	40 of 70 - Sale <sup>25</sup>
0.001		3.16	07/24/20	3.20	0.04 W	0.00	41 of 70 - Sale <sup>25</sup>
0.017		50.68	07/24/20	51.74	1.06 W	0.00	42 of 70 - Sale <sup>25</sup>
0.046		138.01	07/24/20	139.66	1.65 W	0.00	43 of 70 - Sale <sup>25</sup>
0.066		199.07	07/24/20	202.40	3.33 W	0.00	44 of 70 - Sale <sup>25</sup>
0.218		654.76	07/24/20	669.79	15.03 W	0.00	45 of 70 - Sale <sup>25</sup>
0.302		908.02	07/24/20	938.30	30.28 W	0.00	46 of 70 - Sale <sup>25</sup>
0.397		1,194.72	07/24/20	1,213.26	18.54 W	0.00	47 of 70 - Sale <sup>25</sup>
0.459		1,379.86	07/24/20	1,413.19	33.33 W	0.00	48 of 70 - Sale <sup>25</sup>
0.541		1,627.39	07/24/20	1,640.86	13.47 W	0.00	49 of 70 - Sale <sup>25</sup>
0.002		7.14	07/24/20	7.41	0.27 W	0.00	50 of 70 - Sale <sup>25</sup>
0.029		86.50	07/24/20	90.06	3.56 W	0.00	51 of 70 - Sale <sup>25</sup>
0.054		160.88	07/24/20	168.42	7.54 W	0.00	52 of 70 - Sale <sup>25</sup>
0.060		181.48	07/24/20	187.30	5.82 W	0.00	53 of 70 - Sale <sup>25</sup>
0.011		34.35	07/24/20	35.69	1.34 W	0.00	54 of 70 - Sale <sup>25</sup>
0.186		557.10	07/24/20	583.03	25.93 W	0.00	55 of 70 - Sale <sup>25</sup>
0.156		469.51	07/24/20	494.66	25.15 W	0.00	56 of 70 - Sale <sup>25</sup>
0.002		4.96	07/24/20	5.24	0.28 W	0.00	57 of 70 - Sale <sup>25</sup>
0.000		0.67	07/24/20	0.72	0.05 W	0.00	58 of 70 - Sale <sup>25</sup>
0.021		62.45	07/24/20	65.92	3.47 W	0.00	59 of 70 - Sale <sup>25</sup>
0.070		211.48	07/24/20	213.87	2.39 W	0.00	60 of 70 - Sale <sup>25</sup>
0.098		294.86	07/24/20	311.07	16.21 W	0.00	61 of 70 - Sale <sup>25</sup>
0.106		319.59	07/24/20	337.19	17.60 W	0.00	62 of 70 - Sale <sup>25</sup>
0.156		469.87	07/24/20	484.11	14.24 W	0.00	63 of 70 - Sale <sup>25</sup>
0.213		640.87	07/24/20	661.92	21.05 W	0.00	64 of 70 - Sale <sup>25</sup>
0.017		50.60	07/24/20	51.65	1.05 W	0.00	65 of 70 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
	0.066	198.77	07/24/20	202.06	3.29 W	0.00	66 of 70 - Sale <sup>25</sup>
	0.089	265.83	07/24/20	274.64	8.81 W	0.00	67 of 70 - Sale <sup>25</sup>
	0.213	639.99	07/24/20	655.32	15.33 W	0.00	68 of 70 - Sale <sup>25</sup>
	0.218	653.80	07/24/20	668.68	14.88 W	0.00	69 of 70 - Sale <sup>25</sup>
	0.397	1,192.97	07/24/20	1,211.23	18.26 W	0.00	70 of 70 - Sale <sup>25</sup>
07/24/20	8.499	25,538.86	Various	26,226.63	687.77 W	0.00	Total of 70 transactions
	6 transactions for 07/27/20. Total proceeds and cost reported to the IRS.						
	0.000	1.06	07/24/20	1.06	...	0.00	1 of 6 - Sale <sup>25</sup>
	0.066	203.06	07/24/20	202.41	...	0.65	2 of 6 - Sale <sup>25</sup>
	0.070	214.91	07/24/20	214.23	...	0.68	3 of 6 - Sale <sup>25</sup>
	0.267	818.48	07/24/20	814.85	...	3.63	4 of 6 - Sale <sup>25</sup>
	0.541	1,659.98	07/24/20	1,641.27	...	18.71	5 of 6 - Sale <sup>25</sup>
	0.324	995.29	07/24/20	975.99	...	19.30	6 of 6 - Sale <sup>25</sup>
07/27/20	1.269	3,892.78	Various	3,849.81	...	42.97	Total of 6 transactions
	50 transactions for 07/27/20. Total proceeds and cost reported to the IRS.						
	0.002	7.26	07/24/20	7.43	0.17 W	0.00	1 of 50 - Sale <sup>25</sup>
	0.029	87.90	07/24/20	90.21	2.31 W	0.00	2 of 50 - Sale <sup>25</sup>
	0.054	163.48	07/24/20	168.69	5.21 W	0.00	3 of 50 - Sale <sup>25</sup>
	0.060	184.42	07/24/20	187.61	3.19 W	0.00	4 of 50 - Sale <sup>25</sup>
	0.011	34.90	07/24/20	35.75	0.85 W	0.00	5 of 50 - Sale <sup>25</sup>
	0.186	566.12	07/24/20	583.98	17.86 W	0.00	6 of 50 - Sale <sup>25</sup>
	0.156	477.12	07/24/20	495.47	18.35 W	0.00	7 of 50 - Sale <sup>25</sup>
	0.000	0.68	07/24/20	0.72	0.04 W	0.00	8 of 50 - Sale <sup>25</sup>
	0.002	5.04	07/24/20	5.25	0.21 W	0.00	9 of 50 - Sale <sup>25</sup>
	0.021	63.46	07/24/20	66.03	2.57 W	0.00	10 of 50 - Sale <sup>25</sup>
	0.098	299.64	07/24/20	311.58	11.94 W	0.00	11 of 50 - Sale <sup>25</sup>
	0.106	324.77	07/24/20	337.74	12.97 W	0.00	12 of 50 - Sale <sup>25</sup>
	0.066	201.91	07/24/20	205.05	3.14 W	0.00	13 of 50 - Sale <sup>25</sup>
	0.090	274.50	07/24/20	279.86	5.36 W	0.00	14 of 50 - Sale <sup>25</sup>
	0.001	3.19	07/24/20	3.20	0.01 W	0.00	15 of 50 - Sale <sup>25</sup>
	0.017	51.22	07/24/20	51.74	0.52 W	0.00	16 of 50 - Sale <sup>25</sup>
	0.046	139.49	07/24/20	139.69	0.20 W	0.00	17 of 50 - Sale <sup>25</sup>
	0.089	269.08	07/24/20	275.09	6.01 W	0.00	18 of 50 - Sale <sup>25</sup>
	0.213	648.71	07/24/20	663.02	14.31 W	0.00	19 of 50 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
	0.246	746.88	07/24/20	756.96	10.08 W	0.00	20 of 50 - Sale <sup>25</sup>
	0.130	396.57	07/24/20	398.43	1.86 W	0.00	21 of 50 - Sale <sup>25</sup>
	0.079	241.57	07/24/20	242.54	0.97 W	0.00	22 of 50 - Sale <sup>25</sup>
	0.218	667.90	07/24/20	669.80	1.90 W	0.00	23 of 50 - Sale <sup>25</sup>
	0.134	412.22	07/24/20	413.89	1.67 W	0.00	24 of 50 - Sale <sup>25</sup>
	0.002	7.28	07/27/20	7.47	0.19 W	0.00	25 of 50 - Sale <sup>25</sup>
	0.029	88.16	07/27/20	90.71	2.55 W	0.00	26 of 50 - Sale <sup>25</sup>
	0.054	163.96	07/27/20	169.62	5.66 W	0.00	27 of 50 - Sale <sup>25</sup>
	0.060	184.96	07/27/20	188.66	3.70 W	0.00	28 of 50 - Sale <sup>25</sup>
	0.011	35.00	07/27/20	35.95	0.95 W	0.00	29 of 50 - Sale <sup>25</sup>
	0.186	567.77	07/27/20	587.20	19.43 W	0.00	30 of 50 - Sale <sup>25</sup>
	0.020	62.13	07/27/20	64.68	2.55 W	0.00	31 of 50 - Sale <sup>25</sup>
	0.136	416.25	07/27/20	433.51	17.26 W	0.00	32 of 50 - Sale <sup>25</sup>
	0.000	0.69	07/27/20	0.73	0.04 W	0.00	33 of 50 - Sale <sup>25</sup>
	0.002	5.06	07/27/20	5.28	0.22 W	0.00	34 of 50 - Sale <sup>25</sup>
	0.021	63.62	07/27/20	66.39	2.77 W	0.00	35 of 50 - Sale <sup>25</sup>
	0.066	202.42	07/27/20	206.19	3.77 W	0.00	36 of 50 - Sale <sup>25</sup>
	0.090	276.28	07/27/20	282.50	6.22 W	0.00	37 of 50 - Sale <sup>25</sup>
	0.098	300.41	07/27/20	313.28	12.87 W	0.00	38 of 50 - Sale <sup>25</sup>
	0.106	325.60	07/27/20	339.58	13.98 W	0.00	39 of 50 - Sale <sup>25</sup>
	0.001	3.21	07/27/20	3.23	0.02 W	0.00	40 of 50 - Sale <sup>25</sup>
	0.017	51.55	07/27/20	52.23	0.68 W	0.00	41 of 50 - Sale <sup>25</sup>
	0.046	140.40	07/27/20	141.03	0.63 W	0.00	42 of 50 - Sale <sup>25</sup>
	0.089	270.83	07/27/20	277.68	6.85 W	0.00	43 of 50 - Sale <sup>25</sup>
	0.213	652.92	07/27/20	669.26	16.34 W	0.00	44 of 50 - Sale <sup>25</sup>
	0.246	751.72	07/27/20	764.14	12.42 W	0.00	45 of 50 - Sale <sup>25</sup>
	0.079	240.92	07/27/20	242.64	1.72 W	0.00	46 of 50 - Sale <sup>25</sup>
	0.130	399.14	07/27/20	402.24	3.10 W	0.00	47 of 50 - Sale <sup>25</sup>
	0.134	411.12	07/27/20	414.07	2.95 W	0.00	48 of 50 - Sale <sup>25</sup>
	0.218	666.10	07/27/20	670.07	3.97 W	0.00	49 of 50 - Sale <sup>25</sup>
	0.307	940.42	07/27/20	943.36	2.94 W	0.00	50 of 50 - Sale <sup>25</sup>
07/27/20	4.418	13,495.95	Various	13,761.43	265.48 W	0.00	Total of 50 transactions

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
<i>10 transactions for 07/30/20. Total proceeds and cost reported to the IRS.</i>							
	0.002	7.62	07/30/20	7.81	0.19 W	0.00	1 of 10 - Sale <sup>25</sup>
	0.029	92.25	07/30/20	94.80	2.55 W	0.00	2 of 10 - Sale <sup>25</sup>
	0.054	171.57	07/30/20	177.23	5.66 W	0.00	3 of 10 - Sale <sup>25</sup>
	0.060	193.54	07/30/20	197.24	3.70 W	0.00	4 of 10 - Sale <sup>25</sup>
	0.011	36.63	07/30/20	37.58	0.95 W	0.00	5 of 10 - Sale <sup>25</sup>
	0.186	594.12	07/30/20	613.57	19.45 W	0.00	6 of 10 - Sale <sup>25</sup>
	0.020	65.01	07/30/20	67.56	2.55 W	0.00	7 of 10 - Sale <sup>25</sup>
	0.136	435.71	07/30/20	452.99	17.28 W	0.00	8 of 10 - Sale <sup>25</sup>
	0.002	5.29	07/30/20	5.51	0.22 W	0.00	9 of 10 - Sale <sup>25</sup>
	0.015	48.22	07/30/20	50.19	1.97 W	0.00	10 of 10 - Sale <sup>25</sup>
07/30/20	0.515	1,649.96	Various	1,704.48	54.52 W	0.00	Total of 10 transactions
<i>18 transactions for 07/31/20. Total proceeds and cost reported to the IRS.</i>							
	0.000	0.71	07/30/20	0.76	0.05 W	0.00	1 of 18 - Sale <sup>25</sup>
	0.021	66.11	07/30/20	69.43	3.32 W	0.00	2 of 18 - Sale <sup>25</sup>
	0.079	252.43	07/30/20	264.98	12.55 W	0.00	3 of 18 - Sale <sup>25</sup>
	0.004	11.90	07/30/20	12.49	0.59 W	0.00	4 of 18 - Sale <sup>25</sup>
	0.004	11.82	07/30/20	12.41	0.59 W	0.00	5 of 18 - Sale <sup>25</sup>
	0.066	210.28	07/30/20	215.86	5.58 W	0.00	6 of 18 - Sale <sup>25</sup>
	0.090	287.00	07/30/20	295.69	8.69 W	0.00	7 of 18 - Sale <sup>25</sup>
	0.103	326.33	07/30/20	342.63	16.30 W	0.00	8 of 18 - Sale <sup>25</sup>
	0.001	3.34	07/30/20	3.39	0.05 W	0.00	9 of 18 - Sale <sup>25</sup>
	0.046	145.84	07/30/20	147.73	1.89 W	0.00	10 of 18 - Sale <sup>25</sup>
	0.058	183.76	07/30/20	189.77	6.01 W	0.00	11 of 18 - Sale <sup>25</sup>
	0.246	780.88	07/30/20	800.04	19.16 W	0.00	12 of 18 - Sale <sup>25</sup>
	0.136	431.87	07/30/20	445.40	13.53 W	0.00	13 of 18 - Sale <sup>25</sup>
	0.017	53.55	07/30/20	54.85	1.30 W	0.00	14 of 18 - Sale <sup>25</sup>
	0.020	62.61	07/30/20	64.84	2.23 W	0.00	15 of 18 - Sale <sup>25</sup>
	0.089	281.33	07/30/20	291.41	10.08 W	0.00	16 of 18 - Sale <sup>25</sup>
	0.124	393.43	07/30/20	400.88	7.45 W	0.00	17 of 18 - Sale <sup>25</sup>
	0.006	17.78	07/30/20	18.13	0.35 W	0.00	18 of 18 - Sale <sup>25</sup>
07/31/20	1.108	3,520.97	Various	3,630.69	109.72 W	0.00	Total of 18 transactions

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
35 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
0.002		7.43	08/03/20	7.63	0.20 W	0.00	1 of 35 - Sale <sup>25</sup>
0.029		89.93	08/03/20	92.64	2.71 W	0.00	2 of 35 - Sale <sup>25</sup>
0.054		167.26	08/03/20	173.21	5.95 W	0.00	3 of 35 - Sale <sup>25</sup>
0.060		188.68	08/03/20	192.71	4.03 W	0.00	4 of 35 - Sale <sup>25</sup>
0.115		360.52	08/03/20	373.26	12.74 W	0.00	5 of 35 - Sale <sup>25</sup>
0.001		3.36	08/03/20	3.39	0.03 W	0.00	6 of 35 - Sale <sup>25</sup>
0.079		245.85	08/03/20	248.00	2.15 W	0.00	7 of 35 - Sale <sup>25</sup>
0.134		419.54	08/03/20	423.22	3.68 W	0.00	8 of 35 - Sale <sup>25</sup>
0.218		679.75	08/03/20	684.90	5.15 W	0.00	9 of 35 - Sale <sup>25</sup>
0.307		959.70	08/03/20	964.31	4.61 W	0.00	10 of 35 - Sale <sup>25</sup>
0.011		35.71	08/03/20	36.72	1.01 W	0.00	11 of 35 - Sale <sup>25</sup>
0.070		218.69	08/03/20	226.41	7.72 W	0.00	12 of 35 - Sale <sup>25</sup>
0.000		0.70	08/03/20	0.75	0.05 W	0.00	13 of 35 - Sale <sup>25</sup>
0.004		11.61	08/03/20	12.22	0.61 W	0.00	14 of 35 - Sale <sup>25</sup>
0.004		11.69	08/03/20	12.30	0.61 W	0.00	15 of 35 - Sale <sup>25</sup>
0.020		63.37	08/03/20	66.03	2.66 W	0.00	16 of 35 - Sale <sup>25</sup>
0.021		64.93	08/03/20	68.36	3.43 W	0.00	17 of 35 - Sale <sup>25</sup>
0.066		206.57	08/03/20	212.51	5.94 W	0.00	18 of 35 - Sale <sup>25</sup>
0.079		247.95	08/03/20	260.93	12.98 W	0.00	19 of 35 - Sale <sup>25</sup>
0.090		281.94	08/03/20	291.12	9.18 W	0.00	20 of 35 - Sale <sup>25</sup>
0.103		320.58	08/03/20	337.44	16.86 W	0.00	21 of 35 - Sale <sup>25</sup>
0.136		424.78	08/03/20	442.79	18.01 W	0.00	22 of 35 - Sale <sup>25</sup>
0.001		3.28	08/03/20	3.33	0.05 W	0.00	23 of 35 - Sale <sup>25</sup>
0.002		5.16	08/03/20	5.39	0.23 W	0.00	24 of 35 - Sale <sup>25</sup>
0.006		17.47	08/03/20	17.85	0.38 W	0.00	25 of 35 - Sale <sup>25</sup>
0.015		47.01	08/03/20	49.06	2.05 W	0.00	26 of 35 - Sale <sup>25</sup>
0.017		52.61	08/03/20	54.00	1.39 W	0.00	27 of 35 - Sale <sup>25</sup>
0.020		61.51	08/03/20	63.85	2.34 W	0.00	28 of 35 - Sale <sup>25</sup>
0.046		143.27	08/03/20	145.41	2.14 W	0.00	29 of 35 - Sale <sup>25</sup>
0.058		180.52	08/03/20	186.85	6.33 W	0.00	30 of 35 - Sale <sup>25</sup>
0.089		276.38	08/03/20	286.94	10.56 W	0.00	31 of 35 - Sale <sup>25</sup>
0.124		386.49	08/03/20	394.61	8.12 W	0.00	32 of 35 - Sale <sup>25</sup>
0.136		424.27	08/03/20	438.54	14.27 W	0.00	33 of 35 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
	0.246	767.13	08/03/20	787.62	20.49 W	0.00	34 of 35 - Sale <sup>25</sup>
	0.638	1,990.42	08/03/20	1,993.90	3.48 W	0.00	35 of 35 - Sale <sup>25</sup>
08/03/20	3.000	9,366.06	Various	9,558.20	192.14 W	0.00	Total of 35 transactions
	2 transactions for 08/04/20. Total proceeds and cost reported to the IRS.						
	0.307	963.51	08/04/20	961.51	...	2.00	1 of 2 - Sale <sup>25</sup>
	0.638	1,998.34	08/04/20	1,988.08	...	10.26	2 of 2 - Sale <sup>25</sup>
08/04/20	0.945	2,961.85	Various	2,949.59	...	12.26	Total of 2 transactions
	34 transactions for 08/04/20. Total proceeds and cost reported to the IRS.						
	0.002	7.46	08/04/20	7.61	0.15 W	0.00	1 of 34 - Sale <sup>25</sup>
	0.029	90.35	08/04/20	92.38	2.03 W	0.00	2 of 34 - Sale <sup>25</sup>
	0.054	168.04	08/04/20	172.72	4.68 W	0.00	3 of 34 - Sale <sup>25</sup>
	0.060	189.56	08/04/20	192.16	2.60 W	0.00	4 of 34 - Sale <sup>25</sup>
	0.011	35.88	08/04/20	36.62	0.74 W	0.00	5 of 34 - Sale <sup>25</sup>
	0.070	219.70	08/04/20	225.77	6.07 W	0.00	6 of 34 - Sale <sup>25</sup>
	0.115	362.19	08/04/20	372.21	10.02 W	0.00	7 of 34 - Sale <sup>25</sup>
	0.000	0.70	08/04/20	0.75	0.05 W	0.00	8 of 34 - Sale <sup>25</sup>
	0.004	11.66	08/04/20	12.19	0.53 W	0.00	9 of 34 - Sale <sup>25</sup>
	0.004	11.74	08/04/20	12.26	0.52 W	0.00	10 of 34 - Sale <sup>25</sup>
	0.020	63.67	08/04/20	65.85	2.18 W	0.00	11 of 34 - Sale <sup>25</sup>
	0.021	65.23	08/04/20	68.17	2.94 W	0.00	12 of 34 - Sale <sup>25</sup>
	0.066	207.53	08/04/20	211.91	4.38 W	0.00	13 of 34 - Sale <sup>25</sup>
	0.079	249.10	08/04/20	260.20	11.10 W	0.00	14 of 34 - Sale <sup>25</sup>
	0.090	283.25	08/04/20	290.30	7.05 W	0.00	15 of 34 - Sale <sup>25</sup>
	0.103	322.07	08/04/20	336.51	14.44 W	0.00	16 of 34 - Sale <sup>25</sup>
	0.136	426.75	08/04/20	441.55	14.80 W	0.00	17 of 34 - Sale <sup>25</sup>
	0.002	5.18	08/04/20	5.38	0.20 W	0.00	18 of 34 - Sale <sup>25</sup>
	0.015	47.23	08/04/20	48.92	1.69 W	0.00	19 of 34 - Sale <sup>25</sup>
	0.118	369.22	08/04/20	376.26	7.04 W	0.00	20 of 34 - Sale <sup>25</sup>
	0.001	3.29	08/04/20	3.32	0.03 W	0.00	21 of 34 - Sale <sup>25</sup>
	0.006	17.54	08/04/20	17.80	0.26 W	0.00	22 of 34 - Sale <sup>25</sup>
	0.017	52.82	08/04/20	53.85	1.03 W	0.00	23 of 34 - Sale <sup>25</sup>
	0.020	61.75	08/04/20	63.67	1.92 W	0.00	24 of 34 - Sale <sup>25</sup>
	0.046	143.84	08/04/20	144.99	1.15 W	0.00	25 of 34 - Sale <sup>25</sup>
	0.058	181.24	08/04/20	186.33	5.09 W	0.00	26 of 34 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 527125207
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
	0.089	277.48	08/04/20	286.13	8.65 W	0.00	27 of 34 - Sale <sup>25</sup>
	0.124	388.02	08/04/20	393.48	5.46 W	0.00	28 of 34 - Sale <sup>25</sup>
	0.136	425.95	08/04/20	437.30	11.35 W	0.00	29 of 34 - Sale <sup>25</sup>
	0.128	401.20	08/04/20	409.12	7.92 W	0.00	30 of 34 - Sale <sup>25</sup>
	0.001	3.37	08/04/20	3.38	0.01 W	0.00	31 of 34 - Sale <sup>25</sup>
	0.079	246.83	08/04/20	247.29	0.46 W	0.00	32 of 34 - Sale <sup>25</sup>
	0.134	421.21	08/04/20	422.00	0.79 W	0.00	33 of 34 - Sale <sup>25</sup>
	0.218	682.45	08/04/20	682.92	0.47 W	0.00	34 of 34 - Sale <sup>25</sup>
08/04/20	2.055	6,443.50	Various	6,581.30	137.80 W	0.00	Total of 34 transactions
	10 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	0.218	686.57	08/05/20	686.57	...	0.00	1 of 10 - Sale <sup>25</sup>
	0.001	3.44	08/05/20	3.44	...	0.00	2 of 10 - Sale <sup>25</sup>
	0.079	251.88	08/05/20	251.83	...	0.05	3 of 10 - Sale <sup>25</sup>
	0.079	253.72	08/05/20	253.67	...	0.05	4 of 10 - Sale <sup>25</sup>
	0.055	176.10	08/05/20	176.03	...	0.07	5 of 10 - Sale <sup>25</sup>
	0.163	520.29	08/05/20	519.61	...	0.68	6 of 10 - Sale <sup>25</sup>
	1.000	3,152.04	08/05/20	3,151.17	...	0.87	7 of 10 - Sale <sup>25</sup>
	0.945	2,980.38	08/05/20	2,977.67	...	2.71	8 of 10 - Sale <sup>25</sup>
	1.000	3,208.42	08/05/20	3,194.25	...	14.17	9 of 10 - Sale <sup>25</sup>
	1.000	3,196.13	08/05/20	3,151.17	...	44.96	10 of 10 - Sale <sup>25</sup>
08/05/20	4.540	14,428.97	Various	14,365.41	...	63.56	Total of 10 transactions
	85 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	0.002	7.50	08/05/20	7.65	0.15 W	0.00	1 of 85 - Sale <sup>25</sup>
	0.029	90.84	08/05/20	92.80	1.96 W	0.00	2 of 85 - Sale <sup>25</sup>
	0.054	168.94	08/05/20	173.50	4.56 W	0.00	3 of 85 - Sale <sup>25</sup>
	0.060	190.57	08/05/20	193.04	2.47 W	0.00	4 of 85 - Sale <sup>25</sup>
	0.011	36.07	08/05/20	36.78	0.71 W	0.00	5 of 85 - Sale <sup>25</sup>
	0.070	220.89	08/05/20	226.80	5.91 W	0.00	6 of 85 - Sale <sup>25</sup>
	0.115	364.14	08/05/20	373.90	9.76 W	0.00	7 of 85 - Sale <sup>25</sup>
	0.000	0.71	08/05/20	0.76	0.05 W	0.00	8 of 85 - Sale <sup>25</sup>
	0.004	11.73	08/05/20	12.25	0.52 W	0.00	9 of 85 - Sale <sup>25</sup>
	0.004	11.81	08/05/20	12.32	0.51 W	0.00	10 of 85 - Sale <sup>25</sup>
	0.020	64.01	08/05/20	66.15	2.14 W	0.00	11 of 85 - Sale <sup>25</sup>
	0.021	65.58	08/05/20	68.47	2.89 W	0.00	12 of 85 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
0.066		208.65	08/05/20	212.88	4.23 W	0.00	13 of 85 - Sale <sup>25</sup>
0.079		250.44	08/05/20	261.36	10.92 W	0.00	14 of 85 - Sale <sup>25</sup>
0.090		284.78	08/05/20	291.63	6.85 W	0.00	15 of 85 - Sale <sup>25</sup>
0.103		323.80	08/05/20	338.01	14.21 W	0.00	16 of 85 - Sale <sup>25</sup>
0.136		429.04	08/05/20	443.54	14.50 W	0.00	17 of 85 - Sale <sup>25</sup>
0.001		3.31	08/05/20	3.34	0.03 W	0.00	18 of 85 - Sale <sup>25</sup>
0.002		5.21	08/05/20	5.41	0.20 W	0.00	19 of 85 - Sale <sup>25</sup>
0.006		17.65	08/05/20	17.89	0.24 W	0.00	20 of 85 - Sale <sup>25</sup>
0.015		47.48	08/05/20	49.14	1.66 W	0.00	21 of 85 - Sale <sup>25</sup>
0.017		53.14	08/05/20	54.13	0.99 W	0.00	22 of 85 - Sale <sup>25</sup>
0.020		62.13	08/05/20	64.00	1.87 W	0.00	23 of 85 - Sale <sup>25</sup>
0.046		144.71	08/05/20	145.76	1.05 W	0.00	24 of 85 - Sale <sup>25</sup>
0.058		182.34	08/05/20	187.30	4.96 W	0.00	25 of 85 - Sale <sup>25</sup>
0.089		279.15	08/05/20	287.61	8.46 W	0.00	26 of 85 - Sale <sup>25</sup>
0.118		371.20	08/05/20	377.98	6.78 W	0.00	27 of 85 - Sale <sup>25</sup>
0.124		390.37	08/05/20	395.56	5.19 W	0.00	28 of 85 - Sale <sup>25</sup>
0.128		403.63	08/05/20	411.27	7.64 W	0.00	29 of 85 - Sale <sup>25</sup>
0.136		428.53	08/05/20	439.58	11.05 W	0.00	30 of 85 - Sale <sup>25</sup>
0.001		3.39	08/05/20	3.40	0.01 W	0.00	31 of 85 - Sale <sup>25</sup>
0.079		248.32	08/05/20	248.61	0.29 W	0.00	32 of 85 - Sale <sup>25</sup>
0.079		250.14	08/05/20	250.43	0.29 W	0.00	33 of 85 - Sale <sup>25</sup>
0.055		173.66	08/05/20	173.82	0.16 W	0.00	34 of 85 - Sale <sup>25</sup>
0.002		7.60	08/05/20	7.75	0.15 W	0.00	35 of 85 - Sale <sup>25</sup>
0.029		92.07	08/05/20	93.92	1.85 W	0.00	36 of 85 - Sale <sup>25</sup>
0.054		171.23	08/05/20	175.60	4.37 W	0.00	37 of 85 - Sale <sup>25</sup>
0.060		193.16	08/05/20	195.41	2.25 W	0.00	38 of 85 - Sale <sup>25</sup>
0.011		36.56	08/05/20	37.23	0.67 W	0.00	39 of 85 - Sale <sup>25</sup>
0.070		223.88	08/05/20	229.54	5.66 W	0.00	40 of 85 - Sale <sup>25</sup>
0.115		369.08	08/05/20	378.42	9.34 W	0.00	41 of 85 - Sale <sup>25</sup>
0.000		0.72	08/05/20	0.77	0.05 W	0.00	42 of 85 - Sale <sup>25</sup>
0.004		11.89	08/05/20	12.39	0.50 W	0.00	43 of 85 - Sale <sup>25</sup>
0.004		11.97	08/05/20	12.46	0.49 W	0.00	44 of 85 - Sale <sup>25</sup>
0.020		64.88	08/05/20	66.95	2.07 W	0.00	45 of 85 - Sale <sup>25</sup>
0.021		66.47	08/05/20	69.28	2.81 W	0.00	46 of 85 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
0.066		211.47	08/05/20	215.47	4.00 W	0.00	47 of 85 - Sale <sup>25</sup>
0.079		253.83	08/05/20	264.47	10.64 W	0.00	48 of 85 - Sale <sup>25</sup>
0.090		288.63	08/05/20	295.16	6.53 W	0.00	49 of 85 - Sale <sup>25</sup>
0.103		328.18	08/05/20	342.03	13.85 W	0.00	50 of 85 - Sale <sup>25</sup>
0.136		434.86	08/05/20	448.87	14.01 W	0.00	51 of 85 - Sale <sup>25</sup>
0.002		5.28	08/05/20	5.48	0.20 W	0.00	52 of 85 - Sale <sup>25</sup>
0.015		48.12	08/05/20	49.73	1.61 W	0.00	53 of 85 - Sale <sup>25</sup>
0.118		376.24	08/05/20	382.59	6.35 W	0.00	54 of 85 - Sale <sup>25</sup>
0.002		7.61	08/05/20	7.75	0.14 W	0.00	55 of 85 - Sale <sup>25</sup>
0.029		92.12	08/05/20	93.86	1.74 W	0.00	56 of 85 - Sale <sup>25</sup>
0.054		171.33	08/05/20	175.50	4.17 W	0.00	57 of 85 - Sale <sup>25</sup>
0.060		193.27	08/05/20	195.29	2.02 W	0.00	58 of 85 - Sale <sup>25</sup>
0.011		36.58	08/05/20	37.21	0.63 W	0.00	59 of 85 - Sale <sup>25</sup>
0.070		224.00	08/05/20	229.41	5.41 W	0.00	60 of 85 - Sale <sup>25</sup>
0.115		369.28	08/05/20	378.20	8.92 W	0.00	61 of 85 - Sale <sup>25</sup>
0.000		0.72	08/05/20	0.77	0.05 W	0.00	62 of 85 - Sale <sup>25</sup>
0.004		11.89	08/05/20	12.38	0.49 W	0.00	63 of 85 - Sale <sup>25</sup>
0.004		11.97	08/05/20	12.45	0.48 W	0.00	64 of 85 - Sale <sup>25</sup>
0.020		64.91	08/05/20	66.91	2.00 W	0.00	65 of 85 - Sale <sup>25</sup>
0.021		66.51	08/05/20	69.24	2.73 W	0.00	66 of 85 - Sale <sup>25</sup>
0.066		211.59	08/05/20	215.35	3.76 W	0.00	67 of 85 - Sale <sup>25</sup>
0.079		253.98	08/05/20	264.32	10.34 W	0.00	68 of 85 - Sale <sup>25</sup>
0.090		288.80	08/05/20	295.00	6.20 W	0.00	69 of 85 - Sale <sup>25</sup>
0.103		328.38	08/05/20	341.85	13.47 W	0.00	70 of 85 - Sale <sup>25</sup>
0.136		435.10	08/05/20	448.61	13.51 W	0.00	71 of 85 - Sale <sup>25</sup>
0.006		20.55	08/05/20	20.68	0.13 W	0.00	72 of 85 - Sale <sup>25</sup>
0.128		409.33	08/05/20	416.50	7.17 W	0.00	73 of 85 - Sale <sup>25</sup>
0.001		3.36	08/05/20	3.38	0.02 W	0.00	74 of 85 - Sale <sup>25</sup>
0.002		5.29	08/05/20	5.48	0.19 W	0.00	75 of 85 - Sale <sup>25</sup>
0.006		17.90	08/05/20	18.12	0.22 W	0.00	76 of 85 - Sale <sup>25</sup>
0.015		48.16	08/05/20	49.71	1.55 W	0.00	77 of 85 - Sale <sup>25</sup>
0.017		53.90	08/05/20	54.82	0.92 W	0.00	78 of 85 - Sale <sup>25</sup>
0.020		63.02	08/05/20	64.80	1.78 W	0.00	79 of 85 - Sale <sup>25</sup>
0.039		126.23	08/05/20	126.96	0.73 W	0.00	80 of 85 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
	0.058	184.95	08/05/20	189.66	4.71 W	0.00	81 of 85 - Sale <sup>25</sup>
	0.089	283.15	08/05/20	291.23	8.08 W	0.00	82 of 85 - Sale <sup>25</sup>
	0.118	376.51	08/05/20	382.36	5.85 W	0.00	83 of 85 - Sale <sup>25</sup>
	0.124	395.96	08/05/20	400.62	4.66 W	0.00	84 of 85 - Sale <sup>25</sup>
	0.136	434.66	08/05/20	445.13	10.47 W	0.00	85 of 85 - Sale <sup>25</sup>
08/05/20	4.461	14,180.99	Various	14,524.14	343.15 W	0.00	Total of 85 transactions
	45 transactions for 08/06/20. Total proceeds and cost reported to the IRS.						
	0.002	7.55	08/05/20	7.76	0.21 W	0.00	1 of 45 - Sale <sup>25</sup>
	0.029	91.46	08/05/20	94.06	2.60 W	0.00	2 of 45 - Sale <sup>25</sup>
	0.054	170.09	08/05/20	175.88	5.79 W	0.00	3 of 45 - Sale <sup>25</sup>
	0.060	191.87	08/05/20	195.72	3.85 W	0.00	4 of 45 - Sale <sup>25</sup>
	0.011	36.31	08/05/20	37.29	0.98 W	0.00	5 of 45 - Sale <sup>25</sup>
	0.070	222.39	08/05/20	229.91	7.52 W	0.00	6 of 45 - Sale <sup>25</sup>
	0.115	366.63	08/05/20	379.02	12.39 W	0.00	7 of 45 - Sale <sup>25</sup>
	0.020	64.45	08/05/20	67.06	2.61 W	0.00	8 of 45 - Sale <sup>25</sup>
	0.087	275.88	08/05/20	287.13	11.25 W	0.00	9 of 45 - Sale <sup>25</sup>
	0.000	0.71	08/05/20	0.77	0.06 W	0.00	10 of 45 - Sale <sup>25</sup>
	0.004	11.81	08/05/20	12.41	0.60 W	0.00	11 of 45 - Sale <sup>25</sup>
	0.004	11.89	08/05/20	12.48	0.59 W	0.00	12 of 45 - Sale <sup>25</sup>
	0.019	59.69	08/05/20	61.54	1.85 W	0.00	13 of 45 - Sale <sup>25</sup>
	0.021	66.03	08/05/20	69.38	3.35 W	0.00	14 of 45 - Sale <sup>25</sup>
	0.066	210.08	08/05/20	215.83	5.75 W	0.00	15 of 45 - Sale <sup>25</sup>
	0.079	252.16	08/05/20	264.88	12.72 W	0.00	16 of 45 - Sale <sup>25</sup>
	0.103	326.02	08/05/20	342.57	16.55 W	0.00	17 of 45 - Sale <sup>25</sup>
	0.049	156.09	08/05/20	162.45	6.36 W	0.00	18 of 45 - Sale <sup>25</sup>
	0.002	7.55	08/05/20	7.83	0.28 W	0.00	19 of 45 - Sale <sup>25</sup>
	0.029	91.46	08/05/20	94.92	3.46 W	0.00	20 of 45 - Sale <sup>25</sup>
	0.054	170.10	08/05/20	177.50	7.40 W	0.00	21 of 45 - Sale <sup>25</sup>
	0.060	191.88	08/05/20	197.55	5.67 W	0.00	22 of 45 - Sale <sup>25</sup>
	0.026	81.74	08/05/20	85.27	3.53 W	0.00	23 of 45 - Sale <sup>25</sup>
	0.072	227.04	08/05/20	234.09	7.05 W	0.00	24 of 45 - Sale <sup>25</sup>
	0.001	3.33	08/05/20	3.39	0.06 W	0.00	25 of 45 - Sale <sup>25</sup>
	0.002	5.25	08/05/20	5.49	0.24 W	0.00	26 of 45 - Sale <sup>25</sup>
	0.006	17.77	08/05/20	18.16	0.39 W	0.00	27 of 45 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
	0.006	20.41	08/05/20	20.73	0.32 W	0.00	28 of 45 - Sale <sup>25</sup>
	0.015	47.81	08/05/20	49.81	2.00 W	0.00	29 of 45 - Sale <sup>25</sup>
	0.017	53.50	08/05/20	54.93	1.43 W	0.00	30 of 45 - Sale <sup>25</sup>
	0.020	62.55	08/05/20	64.92	2.37 W	0.00	31 of 45 - Sale <sup>25</sup>
	0.039	125.30	08/05/20	127.21	1.91 W	0.00	32 of 45 - Sale <sup>25</sup>
	0.058	183.59	08/05/20	190.03	6.44 W	0.00	33 of 45 - Sale <sup>25</sup>
	0.089	281.07	08/05/20	291.80	10.73 W	0.00	34 of 45 - Sale <sup>25</sup>
	0.118	373.75	08/05/20	383.13	9.38 W	0.00	35 of 45 - Sale <sup>25</sup>
	0.124	393.05	08/05/20	401.42	8.37 W	0.00	36 of 45 - Sale <sup>25</sup>
	0.128	406.40	08/05/20	417.41	11.01 W	0.00	37 of 45 - Sale <sup>25</sup>
	0.136	431.47	08/05/20	446.01	14.54 W	0.00	38 of 45 - Sale <sup>25</sup>
	0.011	36.32	08/05/20	37.64	1.32 W	0.00	39 of 45 - Sale <sup>25</sup>
	0.070	222.40	08/05/20	232.05	9.65 W	0.00	40 of 45 - Sale <sup>25</sup>
	0.090	284.90	08/05/20	297.26	12.36 W	0.00	41 of 45 - Sale <sup>25</sup>
	0.020	64.45	08/05/20	67.68	3.23 W	0.00	42 of 45 - Sale <sup>25</sup>
	0.087	275.89	08/05/20	289.79	13.90 W	0.00	43 of 45 - Sale <sup>25</sup>
	1.722	5,466.04	08/05/20	5,518.53	52.49 W	0.00	44 of 45 - Sale <sup>25</sup>
	0.655	2,080.52	08/05/20	2,100.00	19.48 W	0.00	45 of 45 - Sale <sup>25</sup>
08/06/20	4.449	14,126.65	Various	14,430.69	304.04 W	0.00	Total of 45 transactions
	22 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	0.002	7.36	08/11/20	7.64	0.28 W	0.00	1 of 22 - Sale <sup>25</sup>
	0.029	89.06	08/11/20	92.59	3.53 W	0.00	2 of 22 - Sale <sup>25</sup>
	0.054	165.64	08/11/20	173.16	7.52 W	0.00	3 of 22 - Sale <sup>25</sup>
	0.060	186.85	08/11/20	192.66	5.81 W	0.00	4 of 22 - Sale <sup>25</sup>
	0.011	35.36	08/11/20	36.71	1.35 W	0.00	5 of 22 - Sale <sup>25</sup>
	0.026	79.60	08/11/20	83.18	3.58 W	0.00	6 of 22 - Sale <sup>25</sup>
	0.070	216.56	08/11/20	226.37	9.81 W	0.00	7 of 22 - Sale <sup>25</sup>
	0.090	277.42	08/11/20	289.99	12.57 W	0.00	8 of 22 - Sale <sup>25</sup>
	0.020	62.76	08/11/20	66.04	3.28 W	0.00	9 of 22 - Sale <sup>25</sup>
	0.087	268.65	08/11/20	282.75	14.10 W	0.00	10 of 22 - Sale <sup>25</sup>
	0.000	0.69	08/11/20	0.75	0.06 W	0.00	11 of 22 - Sale <sup>25</sup>
	0.004	11.50	08/11/20	12.11	0.61 W	0.00	12 of 22 - Sale <sup>25</sup>
	0.004	11.58	08/11/20	12.17	0.59 W	0.00	13 of 22 - Sale <sup>25</sup>
	0.019	58.12	08/11/20	60.02	1.90 W	0.00	14 of 22 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
	0.021	64.30	08/11/20	67.70	3.40 W	0.00	15 of 22 - Sale <sup>25</sup>
	0.049	152.00	08/11/20	158.47	6.47 W	0.00	16 of 22 - Sale <sup>25</sup>
	0.066	204.56	08/11/20	210.47	5.91 W	0.00	17 of 22 - Sale <sup>25</sup>
	0.072	221.08	08/11/20	228.30	7.22 W	0.00	18 of 22 - Sale <sup>25</sup>
	0.079	245.54	08/11/20	258.44	12.90 W	0.00	19 of 22 - Sale <sup>25</sup>
	0.103	317.46	08/11/20	334.25	16.79 W	0.00	20 of 22 - Sale <sup>25</sup>
	0.006	19.87	08/11/20	20.21	0.34 W	0.00	21 of 22 - Sale <sup>25</sup>
	0.128	395.73	08/11/20	407.04	11.31 W	0.00	22 of 22 - Sale <sup>25</sup>
08/11/20	1.000	3,091.69	Various	3,221.02	129.33 W	0.00	Total of 22 transactions
	3 transactions for 08/12/20. Total proceeds and cost reported to the IRS.						
	0.001	3.31	08/11/20	3.31	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.039	124.58	08/11/20	124.01	...	0.57	2 of 3 - Sale <sup>25</sup>
	1.377	4,346.43	08/11/20	4,302.02	...	44.41	3 of 3 - Sale <sup>25</sup>
08/12/20	1.417	4,474.32	Various	4,429.34	...	44.98	Total of 3 transactions
	10 transactions for 08/12/20. Total proceeds and cost reported to the IRS.						
	0.002	5.22	08/11/20	5.35	0.13 W	0.00	1 of 10 - Sale <sup>25</sup>
	0.006	17.67	08/11/20	17.70	0.03 W	0.00	2 of 10 - Sale <sup>25</sup>
	0.015	47.53	08/11/20	48.59	1.06 W	0.00	3 of 10 - Sale <sup>25</sup>
	0.017	53.19	08/11/20	53.57	0.38 W	0.00	4 of 10 - Sale <sup>25</sup>
	0.020	62.19	08/11/20	63.33	1.14 W	0.00	5 of 10 - Sale <sup>25</sup>
	0.058	182.53	08/11/20	185.34	2.81 W	0.00	6 of 10 - Sale <sup>25</sup>
	0.089	279.45	08/11/20	284.63	5.18 W	0.00	7 of 10 - Sale <sup>25</sup>
	0.118	371.60	08/11/20	373.59	1.99 W	0.00	8 of 10 - Sale <sup>25</sup>
	0.124	390.79	08/11/20	391.39	0.60 W	0.00	9 of 10 - Sale <sup>25</sup>
	0.136	428.99	08/11/20	435.00	6.01 W	0.00	10 of 10 - Sale <sup>25</sup>
08/12/20	0.583	1,839.16	Various	1,858.49	19.33 W	0.00	Total of 10 transactions
	36 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	4.000	12,799.71	08/13/20	12,838.76	29.29 W	-9.76	1 of 36 - Sale <sup>25</sup>
	0.002	7.61	08/13/20	7.92	0.31 W	0.00	2 of 36 - Sale <sup>25</sup>
	0.029	92.18	08/13/20	95.99	3.81 W	0.00	3 of 36 - Sale <sup>25</sup>
	0.054	171.44	08/13/20	179.48	8.04 W	0.00	4 of 36 - Sale <sup>25</sup>
	0.060	193.39	08/13/20	199.79	6.40 W	0.00	5 of 36 - Sale <sup>25</sup>
	0.011	36.60	08/13/20	38.06	1.46 W	0.00	6 of 36 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
	0.026	82.38	08/13/20	86.21	3.83 W	0.00	7 of 36 - Sale <sup>25</sup>
	0.070	224.15	08/13/20	234.64	10.49 W	0.00	8 of 36 - Sale <sup>25</sup>
	0.090	287.13	08/13/20	300.58	13.45 W	0.00	9 of 36 - Sale <sup>25</sup>
	0.020	64.96	08/13/20	68.43	3.47 W	0.00	10 of 36 - Sale <sup>25</sup>
	0.087	278.06	08/13/20	293.01	14.95 W	0.00	11 of 36 - Sale <sup>25</sup>
	0.000	0.72	08/13/20	0.78	0.06 W	0.00	12 of 36 - Sale <sup>25</sup>
	0.002	5.29	08/13/20	5.44	0.15 W	0.00	13 of 36 - Sale <sup>25</sup>
	0.004	11.90	08/13/20	12.55	0.65 W	0.00	14 of 36 - Sale <sup>25</sup>
	0.004	11.98	08/13/20	12.61	0.63 W	0.00	15 of 36 - Sale <sup>25</sup>
	0.006	17.91	08/13/20	17.99	0.08 W	0.00	16 of 36 - Sale <sup>25</sup>
	0.015	48.18	08/13/20	49.39	1.21 W	0.00	17 of 36 - Sale <sup>25</sup>
	0.017	53.92	08/13/20	54.47	0.55 W	0.00	18 of 36 - Sale <sup>25</sup>
	0.019	60.16	08/13/20	62.24	2.08 W	0.00	19 of 36 - Sale <sup>25</sup>
	0.020	63.04	08/13/20	64.38	1.34 W	0.00	20 of 36 - Sale <sup>25</sup>
	0.021	66.55	08/13/20	70.15	3.60 W	0.00	21 of 36 - Sale <sup>25</sup>
	0.049	157.32	08/13/20	164.27	6.95 W	0.00	22 of 36 - Sale <sup>25</sup>
	0.058	185.03	08/13/20	188.40	3.37 W	0.00	23 of 36 - Sale <sup>25</sup>
	0.066	211.73	08/13/20	218.28	6.55 W	0.00	24 of 36 - Sale <sup>25</sup>
	0.072	228.82	08/13/20	236.74	7.92 W	0.00	25 of 36 - Sale <sup>25</sup>
	0.079	254.13	08/13/20	267.81	13.68 W	0.00	26 of 36 - Sale <sup>25</sup>
	0.089	283.27	08/13/20	289.32	6.05 W	0.00	27 of 36 - Sale <sup>25</sup>
	0.103	328.58	08/13/20	346.37	17.79 W	0.00	28 of 36 - Sale <sup>25</sup>
	0.118	376.68	08/13/20	379.82	3.14 W	0.00	29 of 36 - Sale <sup>25</sup>
	0.124	396.13	08/13/20	397.94	1.81 W	0.00	30 of 36 - Sale <sup>25</sup>
	0.136	434.85	08/13/20	442.19	7.34 W	0.00	31 of 36 - Sale <sup>25</sup>
	0.006	20.57	08/13/20	20.97	0.40 W	0.00	32 of 36 - Sale <sup>25</sup>
	0.128	409.59	08/13/20	422.15	12.56 W	0.00	33 of 36 - Sale <sup>25</sup>
	0.345	1,103.07	08/13/20	1,116.94	13.87 W	0.00	34 of 36 - Sale <sup>25</sup>
	0.655	2,096.85	08/13/20	2,122.73	25.88 W	0.00	35 of 36 - Sale <sup>25</sup>
	0.417	1,335.62	08/13/20	1,339.68	4.06 W	0.00	36 of 36 - Sale <sup>25</sup>
08/13/20	7.000	22,399.50	Various	22,646.48	237.22 W	-9.76	Total of 36 transactions
	37 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	3.000	9,898.97	08/18/20	9,944.45	...	-45.48	1 of 37 - Sale <sup>25</sup>
	0.655	2,162.20	08/18/20	2,191.62	...	-29.42	2 of 37 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
	0.103	338.58	08/18/20	356.54	...	-17.96	3 of 37 - Sale <sup>25</sup>
	0.345	1,137.44	08/18/20	1,153.17	...	-15.73	4 of 37 - Sale <sup>25</sup>
	0.087	286.52	08/18/20	301.62	...	-15.10	5 of 37 - Sale <sup>25</sup>
	0.079	261.87	08/18/20	275.68	...	-13.81	6 of 37 - Sale <sup>25</sup>
	0.090	295.87	08/18/20	309.47	...	-13.60	7 of 37 - Sale <sup>25</sup>
	0.128	422.35	08/18/20	435.60	...	-13.25	8 of 37 - Sale <sup>25</sup>
	0.070	230.96	08/18/20	241.57	...	-10.61	9 of 37 - Sale <sup>25</sup>
	0.054	176.65	08/18/20	184.78	...	-8.13	10 of 37 - Sale <sup>25</sup>
	0.072	235.78	08/18/20	243.83	...	-8.05	11 of 37 - Sale <sup>25</sup>
	0.049	162.10	08/18/20	169.14	...	-7.04	12 of 37 - Sale <sup>25</sup>
	0.066	218.17	08/18/20	224.83	...	-6.66	13 of 37 - Sale <sup>25</sup>
	0.089	292.10	08/18/20	298.63	...	-6.53	14 of 37 - Sale <sup>25</sup>
	0.060	199.27	08/18/20	205.77	...	-6.50	15 of 37 - Sale <sup>25</sup>
	0.417	1,377.25	08/18/20	1,383.56	...	-6.31	16 of 37 - Sale <sup>25</sup>
	0.077	252.58	08/18/20	256.85	...	-4.27	17 of 37 - Sale <sup>25</sup>
	0.026	84.89	08/18/20	88.76	...	-3.87	18 of 37 - Sale <sup>25</sup>
	0.029	94.98	08/18/20	98.84	...	-3.86	19 of 37 - Sale <sup>25</sup>
	0.118	388.42	08/18/20	392.20	...	-3.78	20 of 37 - Sale <sup>25</sup>
	0.021	68.57	08/18/20	72.21	...	-3.64	21 of 37 - Sale <sup>25</sup>
	0.059	195.64	08/18/20	199.16	...	-3.52	22 of 37 - Sale <sup>25</sup>
	0.020	66.93	08/18/20	70.44	...	-3.51	23 of 37 - Sale <sup>25</sup>
	0.058	190.65	08/18/20	194.13	...	-3.48	24 of 37 - Sale <sup>25</sup>
	0.124	408.48	08/18/20	410.96	...	-2.48	25 of 37 - Sale <sup>25</sup>
	0.019	61.99	08/18/20	64.10	...	-2.11	26 of 37 - Sale <sup>25</sup>
	0.011	37.71	08/18/20	39.19	...	-1.48	27 of 37 - Sale <sup>25</sup>
	0.020	65.01	08/18/20	66.46	...	-1.45	28 of 37 - Sale <sup>25</sup>
	0.015	49.68	08/18/20	50.97	...	-1.29	29 of 37 - Sale <sup>25</sup>
	0.004	12.26	08/18/20	12.92	...	-0.66	30 of 37 - Sale <sup>25</sup>
	0.017	55.60	08/18/20	56.24	...	-0.64	31 of 37 - Sale <sup>25</sup>
	0.004	12.35	08/18/20	12.98	...	-0.63	32 of 37 - Sale <sup>25</sup>
	0.006	21.21	08/18/20	21.64	...	-0.43	33 of 37 - Sale <sup>25</sup>
	0.002	7.84	08/18/20	8.16	...	-0.32	34 of 37 - Sale <sup>25</sup>
	0.002	5.45	08/18/20	5.61	...	-0.16	35 of 37 - Sale <sup>25</sup>
	0.006	18.46	08/18/20	18.58	...	-0.12	36 of 37 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
	0.000	0.74	08/18/20	0.80	...	-0.06	37 of 37 - Sale <sup>25</sup>
08/18/20	6.000	19,795.52	Various	20,061.46	...	-265.94	Total of 37 transactions
12/31/20	3.000	9,769.70	12/31/20	9,776.13	...	-6.43	Sale <sup>25</sup>
	<b>Security total:</b>	<b>258,519.19</b>		<b>262,098.77</b>	<b>3,593.92 W</b>	<b>14.34</b>	
AMBEV S.A. / CUSIP: 02319V103 / Symbol:							
07/01/20	250.000	679.98	07/01/20	687.48	7.50 W	0.00	Sale <sup>25</sup>
	3 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	250.000	705.33	07/23/20	714.55	...	-9.22	1 of 3 - Sale <sup>25</sup>
	250.000	705.33	07/23/20	709.63	...	-4.30	2 of 3 - Sale <sup>25</sup>
	250.000	705.33	07/23/20	707.05	...	-1.72	3 of 3 - Sale <sup>25</sup>
07/23/20	750.000	2,115.99	Various	2,131.23	...	-15.24	Total of 3 transactions
	<b>Security total:</b>	<b>2,795.97</b>		<b>2,818.71</b>	<b>7.50 W</b>	<b>-15.24</b>	
AMERI HOLDINGS, INC. COMMON STOCK / CUSIP: 02362F302 / Symbol:							
	2 transactions for 03/31/20. Total proceeds and cost reported to the IRS.						
	300.000	284.99	03/30/20	315.00	...	-30.01	1 of 2 - Sale <sup>25</sup>
	100.000	95.00	03/30/20	104.95	...	-9.95	2 of 2 - Sale <sup>25</sup>
03/31/20	400.000	379.99	Various	419.95	...	-39.96	Total of 2 transactions
AMERICAN AIRLINES GROUP INC. COMMON STOCK / CUSIP: 02376R102 / Symbol:							
	2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.						
	10.000	114.50	03/19/20	104.50	...	10.00	1 of 2 - Sale <sup>25</sup>
	65.000	744.22	03/19/20	679.25	...	64.97	2 of 2 - Sale <sup>25</sup>
03/20/20	75.000	858.72	Various	783.75	...	74.97	Total of 2 transactions
04/13/20	50.000	586.49	04/09/20	650.50	64.01 W	0.00	Sale <sup>25</sup>
	3 transactions for 04/16/20. Total proceeds and cost reported to the IRS.						
	1.000	11.96	04/14/20	14.25	2.29 W	0.00	1 of 3 - Sale <sup>25</sup>
	49.000	586.02	04/15/20	682.09	96.07 W	0.00	2 of 3 - Sale <sup>25</sup>
	50.000	597.98	04/15/20	631.99	34.01 W	0.00	3 of 3 - Sale <sup>25</sup>
04/16/20	100.000	1,195.96	Various	1,328.33	132.37 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
5 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	1.000	11.10	04/16/20	13.67	2.57 W	0.00	1 of 5 - Sale <sup>25</sup>
	49.000	543.88	04/16/20	653.69	109.81 W	0.00	2 of 5 - Sale <sup>25</sup>
	50.000	554.98	04/16/20	603.01	48.03 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	11.10	04/16/20	11.83	0.73 W	0.00	4 of 5 - Sale <sup>25</sup>
	49.000	543.88	04/17/20	568.89	25.01 W	0.00	5 of 5 - Sale <sup>25</sup>
04/20/20	150.000	1,664.94	Various	1,851.09	186.15 W	0.00	Total of 5 transactions
3 transactions for 04/22/20. Total proceeds and cost reported to the IRS.							
	1.000	10.56	04/20/20	13.75	3.19 W	0.00	1 of 3 - Sale <sup>25</sup>
	49.000	517.49	04/20/20	657.63	140.14 W	0.00	2 of 3 - Sale <sup>25</sup>
	50.000	528.05	04/20/20	607.03	78.98 W	0.00	3 of 3 - Sale <sup>25</sup>
04/22/20	100.000	1,056.10	Various	1,278.41	222.31 W	0.00	Total of 3 transactions
4 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	1.000	10.38	04/22/20	13.80	3.42 W	0.00	1 of 4 - Sale <sup>25</sup>
	49.000	508.85	04/22/20	660.03	151.18 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	10.38	04/22/20	11.34	0.96 W	0.00	3 of 4 - Sale <sup>25</sup>
	49.000	508.85	04/22/20	544.90	36.05 W	0.00	4 of 4 - Sale <sup>25</sup>
04/23/20	100.000	1,038.46	Various	1,230.07	191.61 W	0.00	Total of 4 transactions
2 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	1.000	10.28	04/24/20	13.52	3.24 W	0.00	1 of 2 - Sale <sup>25</sup>
	49.000	503.70	04/24/20	645.83	142.13 W	0.00	2 of 2 - Sale <sup>25</sup>
04/28/20	50.000	513.98	Various	659.35	145.37 W	0.00	Total of 2 transactions
2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	14.000	157.09	04/24/20	156.46	...	0.63	1 of 2 - Sale <sup>25</sup>
	35.000	392.74	04/27/20	385.48	...	7.26	2 of 2 - Sale <sup>25</sup>
05/01/20	49.000	549.83	Various	541.94	...	7.89	Total of 2 transactions
4 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	1.000	11.22	04/24/20	11.40	0.18 W	0.00	1 of 4 - Sale <sup>25</sup>
	50.000	561.05	04/24/20	583.73	22.68 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	11.22	04/28/20	14.00	2.78 W	0.00	3 of 4 - Sale <sup>25</sup>
	49.000	549.83	04/28/20	669.30	119.47 W	0.00	4 of 4 - Sale <sup>25</sup>
05/01/20	101.000	1,133.32	Various	1,278.43	145.11 W	0.00	Total of 4 transactions

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
<i>4 transactions for 05/04/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	9.45	05/01/20	13.63	4.18 W	0.00	1 of 4 - Sale <sup>25</sup>
	48.000	453.58	05/01/20	638.07	184.49 W	0.00	2 of 4 - Sale <sup>25</sup>
	50.000	472.48	05/01/20	565.43	92.95 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	9.45	05/01/20	11.04	1.59 W	0.00	4 of 4 - Sale <sup>25</sup>
05/04/20	100.000	944.96	Various	1,228.17	283.21 W	0.00	Total of 4 transactions
<i>7 transactions for 05/05/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	9.85	05/04/20	13.55	3.70 W	0.00	1 of 7 - Sale <sup>25</sup>
	9.000	88.65	05/04/20	118.91	30.26 W	0.00	2 of 7 - Sale <sup>25</sup>
	7.000	68.95	05/04/20	92.48	23.53 W	0.00	3 of 7 - Sale <sup>25</sup>
	32.000	315.20	05/04/20	422.79	107.59 W	0.00	4 of 7 - Sale <sup>25</sup>
	1.000	9.85	05/04/20	11.81	1.96 W	0.00	5 of 7 - Sale <sup>25</sup>
	17.000	167.45	05/04/20	190.87	23.42 W	0.00	6 of 7 - Sale <sup>25</sup>
	33.000	325.05	05/04/20	370.51	45.46 W	0.00	7 of 7 - Sale <sup>25</sup>
05/05/20	100.000	985.00	Various	1,220.92	235.92 W	0.00	Total of 7 transactions
<i>8 transactions for 05/06/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	9.37	05/05/20	13.36	3.99 W	0.00	1 of 8 - Sale <sup>25</sup>
	7.000	65.60	05/05/20	91.11	25.51 W	0.00	2 of 8 - Sale <sup>25</sup>
	9.000	84.34	05/05/20	117.16	32.82 W	0.00	3 of 8 - Sale <sup>25</sup>
	32.000	299.89	05/05/20	416.55	116.66 W	0.00	4 of 8 - Sale <sup>25</sup>
	1.000	9.37	05/05/20	11.62	2.25 W	0.00	5 of 8 - Sale <sup>25</sup>
	17.000	159.32	05/05/20	187.55	28.23 W	0.00	6 of 8 - Sale <sup>25</sup>
	32.000	299.89	05/05/20	353.04	53.15 W	0.00	7 of 8 - Sale <sup>25</sup>
	1.000	9.37	05/05/20	11.24	1.87 W	0.00	8 of 8 - Sale <sup>25</sup>
05/06/20	100.000	937.15	Various	1,201.63	264.48 W	0.00	Total of 8 transactions
05/08/20	24.000	233.75	05/07/20	232.20	...	1.55	Sale <sup>25</sup>
<i>9 transactions for 05/08/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	9.74	05/06/20	13.24	3.50 W	0.00	1 of 9 - Sale <sup>25</sup>
	7.000	68.18	05/06/20	90.25	22.07 W	0.00	2 of 9 - Sale <sup>25</sup>
	9.000	87.66	05/06/20	116.05	28.39 W	0.00	3 of 9 - Sale <sup>25</sup>
	32.000	311.68	05/06/20	412.60	100.92 W	0.00	4 of 9 - Sale <sup>25</sup>
	1.000	9.74	05/06/20	11.50	1.76 W	0.00	5 of 9 - Sale <sup>25</sup>
	17.000	165.58	05/06/20	185.45	19.87 W	0.00	6 of 9 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	32.000	311.67	05/06/20	349.08	37.41 W	0.00	7 of 9 - Sale <sup>25</sup>
	1.000	9.74	05/06/20	10.63	0.89 W	0.00	8 of 9 - Sale <sup>25</sup>
	1.000	9.74	05/07/20	11.55	1.81 W	0.00	9 of 9 - Sale <sup>25</sup>
05/08/20	101.000	983.73	Various	1,200.35	216.62 W	0.00	Total of 9 transactions
	5 transactions for 05/11/20. Total proceeds and cost reported to the IRS.						
	1.000	9.75	05/08/20	13.55	3.80 W	0.00	1 of 5 - Sale <sup>25</sup>
	7.000	68.25	05/08/20	92.41	24.16 W	0.00	2 of 5 - Sale <sup>25</sup>
	9.000	87.75	05/08/20	118.82	31.07 W	0.00	3 of 5 - Sale <sup>25</sup>
	32.000	312.00	05/08/20	422.46	110.46 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.000	9.75	05/08/20	11.81	2.06 W	0.00	5 of 5 - Sale <sup>25</sup>
05/11/20	50.000	487.50	Various	659.05	171.55 W	0.00	Total of 5 transactions
	8 transactions for 05/12/20. Total proceeds and cost reported to the IRS.						
	1.000	9.94	05/11/20	13.49	3.55 W	0.00	1 of 8 - Sale <sup>25</sup>
	7.000	69.59	05/11/20	91.98	22.39 W	0.00	2 of 8 - Sale <sup>25</sup>
	9.000	89.47	05/11/20	118.26	28.79 W	0.00	3 of 8 - Sale <sup>25</sup>
	32.000	318.13	05/11/20	420.47	102.34 W	0.00	4 of 8 - Sale <sup>25</sup>
	17.000	169.01	05/11/20	184.57	15.56 W	0.00	5 of 8 - Sale <sup>25</sup>
	32.000	318.13	05/11/20	347.43	29.30 W	0.00	6 of 8 - Sale <sup>25</sup>
	1.000	9.94	05/11/20	10.58	0.64 W	0.00	7 of 8 - Sale <sup>25</sup>
	1.000	9.94	05/11/20	11.50	1.56 W	0.00	8 of 8 - Sale <sup>25</sup>
05/12/20	100.000	994.15	Various	1,198.28	204.13 W	0.00	Total of 8 transactions
	9 transactions for 05/13/20. Total proceeds and cost reported to the IRS.						
	1.000	9.25	05/12/20	13.44	4.19 W	0.00	1 of 9 - Sale <sup>25</sup>
	7.000	64.76	05/12/20	91.62	26.86 W	0.00	2 of 9 - Sale <sup>25</sup>
	9.000	83.26	05/12/20	117.80	34.54 W	0.00	3 of 9 - Sale <sup>25</sup>
	32.000	296.05	05/12/20	418.82	122.77 W	0.00	4 of 9 - Sale <sup>25</sup>
	1.000	9.25	05/12/20	11.95	2.70 W	0.00	5 of 9 - Sale <sup>25</sup>
	11.000	101.77	05/12/20	118.86	17.09 W	0.00	6 of 9 - Sale <sup>25</sup>
	6.000	55.51	05/12/20	64.83	9.32 W	0.00	7 of 9 - Sale <sup>25</sup>
	32.000	296.05	05/12/20	345.78	49.73 W	0.00	8 of 9 - Sale <sup>25</sup>
	1.000	9.25	05/12/20	10.53	1.28 W	0.00	9 of 9 - Sale <sup>25</sup>
05/13/20	100.000	925.15	Various	1,193.63	268.48 W	0.00	Total of 9 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
<i>9 transactions for 05/15/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	9.10	05/14/20	12.62	3.52 W	0.00	1 of 9 - Sale <sup>25</sup>
	7.000	63.73	05/14/20	85.86	22.13 W	0.00	2 of 9 - Sale <sup>25</sup>
	9.000	81.94	05/14/20	110.39	28.45 W	0.00	3 of 9 - Sale <sup>25</sup>
	32.000	291.35	05/14/20	392.47	101.12 W	0.00	4 of 9 - Sale <sup>25</sup>
	1.000	9.10	05/14/20	11.13	2.03 W	0.00	5 of 9 - Sale <sup>25</sup>
	6.000	54.63	05/14/20	59.89	5.26 W	0.00	6 of 9 - Sale <sup>25</sup>
	11.000	100.15	05/14/20	109.80	9.65 W	0.00	7 of 9 - Sale <sup>25</sup>
	32.000	291.36	05/14/20	319.42	28.06 W	0.00	8 of 9 - Sale <sup>25</sup>
	1.000	9.10	05/14/20	9.99	0.89 W	0.00	9 of 9 - Sale <sup>25</sup>
05/15/20	100.000	910.46	Various	1,111.57	201.11 W	0.00	Total of 9 transactions
<i>5 transactions for 05/21/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	9.93	05/20/20	13.63	3.70 W	0.00	1 of 5 - Sale <sup>25</sup>
	7.000	69.51	05/20/20	92.89	23.38 W	0.00	2 of 5 - Sale <sup>25</sup>
	9.000	89.37	05/20/20	119.42	30.05 W	0.00	3 of 5 - Sale <sup>25</sup>
	32.000	317.76	05/20/20	424.58	106.82 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.000	9.93	05/20/20	11.39	1.46 W	0.00	5 of 5 - Sale <sup>25</sup>
05/21/20	50.000	496.50	Various	661.91	165.41 W	0.00	Total of 5 transactions
<i>5 transactions for 05/29/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	10.52	05/26/20	12.89	2.37 W	0.00	1 of 5 - Sale <sup>25</sup>
	6.000	63.13	05/26/20	70.41	7.28 W	0.00	2 of 5 - Sale <sup>25</sup>
	7.000	73.65	05/26/20	82.14	8.49 W	0.00	3 of 5 - Sale <sup>25</sup>
	11.000	115.74	05/26/20	129.09	13.35 W	0.00	4 of 5 - Sale <sup>25</sup>
	25.000	263.04	05/26/20	294.62	31.58 W	0.00	5 of 5 - Sale <sup>25</sup>
05/29/20	50.000	526.08	Various	589.15	63.07 W	0.00	Total of 5 transactions
<i>4 transactions for 06/02/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	11.31	06/01/20	14.73	3.42 W	0.00	1 of 4 - Sale <sup>25</sup>
	7.000	79.18	06/01/20	100.59	21.41 W	0.00	2 of 4 - Sale <sup>25</sup>
	9.000	101.80	06/01/20	129.32	27.52 W	0.00	3 of 4 - Sale <sup>25</sup>
	32.000	361.97	06/01/20	459.78	97.81 W	0.00	4 of 4 - Sale <sup>25</sup>
06/02/20	49.000	554.26	Various	704.42	150.16 W	0.00	Total of 4 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
<i>11 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	18.50	06/01/20	11.92	...	6.58	1 of 11 - Sale <sup>25</sup>
	1.000	18.50	06/03/20	14.12	...	4.38	2 of 11 - Sale <sup>25</sup>
	1.000	18.50	06/03/20	13.21	...	5.29	3 of 11 - Sale <sup>25</sup>
	6.000	111.00	06/03/20	77.78	...	33.22	4 of 11 - Sale <sup>25</sup>
	7.000	129.50	06/03/20	90.74	...	38.76	5 of 11 - Sale <sup>25</sup>
	11.000	203.49	06/03/20	142.60	...	60.89	6 of 11 - Sale <sup>25</sup>
	23.000	425.48	06/03/20	299.30	...	126.18	7 of 11 - Sale <sup>25</sup>
	6.000	110.99	06/04/20	108.94	...	2.05	8 of 11 - Sale <sup>25</sup>
	7.000	129.50	06/04/20	127.11	...	2.39	9 of 11 - Sale <sup>25</sup>
	9.000	166.49	06/04/20	163.42	...	3.07	10 of 11 - Sale <sup>25</sup>
	2.000	37.00	06/04/20	32.73	...	4.27	11 of 11 - Sale <sup>25</sup>
06/05/20	74.000	1,368.95	Various	1,081.87	...	287.08	Total of 11 transactions
06/05/20	1.000	18.50	06/04/20	18.52	0.02 W	0.00	Sale <sup>25</sup>
<i>3 transactions for 06/09/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	18.15	06/05/20	18.94	0.79 W	0.00	1 of 3 - Sale <sup>25</sup>
	26.000	471.88	06/05/20	571.39	99.51 W	0.00	2 of 3 - Sale <sup>25</sup>
	48.000	871.17	06/05/20	908.16	36.99 W	0.00	3 of 3 - Sale <sup>25</sup>
06/09/20	75.000	1,361.20	Various	1,498.49	137.29 W	0.00	Total of 3 transactions
<i>7 transactions for 06/11/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	14.51	06/10/20	17.18	2.67 W	0.00	1 of 7 - Sale <sup>25</sup>
	24.000	348.23	06/10/20	485.22	136.99 W	0.00	2 of 7 - Sale <sup>25</sup>
	2.000	29.02	06/10/20	41.83	12.81 W	0.00	3 of 7 - Sale <sup>25</sup>
	23.000	333.72	06/10/20	410.79	77.07 W	0.00	4 of 7 - Sale <sup>25</sup>
	25.000	362.75	06/10/20	456.52	93.77 W	0.00	5 of 7 - Sale <sup>25</sup>
	21.000	304.70	06/10/20	367.29	62.59 W	0.00	6 of 7 - Sale <sup>25</sup>
	4.000	58.04	06/10/20	69.96	11.92 W	0.00	7 of 7 - Sale <sup>25</sup>
06/11/20	100.000	1,450.97	Various	1,848.79	397.82 W	0.00	Total of 7 transactions
<i>11 transactions for 06/24/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	12.94	06/24/20	15.66	2.72 W	0.00	1 of 11 - Sale <sup>25</sup>
	2.000	25.88	06/24/20	38.79	12.91 W	0.00	2 of 11 - Sale <sup>25</sup>
	24.000	310.56	06/24/20	448.75	138.19 W	0.00	3 of 11 - Sale <sup>25</sup>
	6.000	77.64	06/24/20	98.05	20.41 W	0.00	4 of 11 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	17.000	219.97	06/24/20	277.79	57.82 W	0.00	5 of 11 - Sale <sup>25</sup>
	25.000	323.49	06/24/20	418.52	95.03 W	0.00	6 of 11 - Sale <sup>25</sup>
	4.000	51.76	06/24/20	63.88	12.12 W	0.00	7 of 11 - Sale <sup>25</sup>
	21.000	271.73	06/24/20	335.38	63.65 W	0.00	8 of 11 - Sale <sup>25</sup>
	184.000	2,380.89	06/24/20	2,390.16	9.27 W	0.00	9 of 11 - Sale <sup>25</sup>
	1.000	12.94	06/24/20	15.71	2.77 W	0.00	10 of 11 - Sale <sup>25</sup>
	15.000	194.09	06/24/20	281.22	87.13 W	0.00	11 of 11 - Sale <sup>25</sup>
06/24/20	300.000	3,881.89	Various	4,383.91	502.02 W	0.00	Total of 11 transactions
	14 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	2.000	24.91	06/24/20	38.89	13.98 W	0.00	1 of 14 - Sale <sup>25</sup>
	9.000	112.09	06/24/20	168.73	56.64 W	0.00	2 of 14 - Sale <sup>25</sup>
	6.000	74.73	06/24/20	98.35	23.62 W	0.00	3 of 14 - Sale <sup>25</sup>
	17.000	211.73	06/24/20	278.65	66.92 W	0.00	4 of 14 - Sale <sup>25</sup>
	25.000	311.36	06/24/20	419.78	108.42 W	0.00	5 of 14 - Sale <sup>25</sup>
	4.000	49.82	06/24/20	64.08	14.26 W	0.00	6 of 14 - Sale <sup>25</sup>
	21.000	261.55	06/24/20	336.44	74.89 W	0.00	7 of 14 - Sale <sup>25</sup>
	116.000	1,444.73	06/24/20	1,512.68	67.95 W	0.00	8 of 14 - Sale <sup>25</sup>
	1.000	12.48	06/25/20	15.51	3.03 W	0.00	9 of 14 - Sale <sup>25</sup>
	2.000	24.96	06/25/20	39.46	14.50 W	0.00	10 of 14 - Sale <sup>25</sup>
	9.000	112.33	06/25/20	171.28	58.95 W	0.00	11 of 14 - Sale <sup>25</sup>
	15.000	187.22	06/25/20	278.20	90.98 W	0.00	12 of 14 - Sale <sup>25</sup>
	5.000	62.41	06/25/20	83.37	20.96 W	0.00	13 of 14 - Sale <sup>25</sup>
	68.000	848.75	06/25/20	869.62	20.87 W	0.00	14 of 14 - Sale <sup>25</sup>
06/25/20	300.000	3,739.07	Various	4,375.04	635.97 W	0.00	Total of 14 transactions
	2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	1.000	12.31	06/26/20	16.43	4.12 W	0.00	1 of 2 - Sale <sup>25</sup>
	4.000	49.24	06/26/20	65.71	16.47 W	0.00	2 of 2 - Sale <sup>25</sup>
06/26/20	5.000	61.55	Various	82.14	20.59 W	0.00	Total of 2 transactions
	7 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	13.000	168.50	06/29/20	219.39	50.89 W	0.00	1 of 7 - Sale <sup>25</sup>
	25.000	324.03	06/29/20	431.92	107.89 W	0.00	2 of 7 - Sale <sup>25</sup>
	4.000	51.85	06/29/20	66.02	14.17 W	0.00	3 of 7 - Sale <sup>25</sup>
	21.000	272.19	06/29/20	346.62	74.43 W	0.00	4 of 7 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	12.000	155.53	06/29/20	162.31	6.78 W	0.00	5 of 7 - Sale <sup>25</sup>
	75.000	995.97	06/29/20	1,049.54	53.57 W	0.00	6 of 7 - Sale <sup>25</sup>
	25.000	331.99	06/29/20	349.60	17.61 W	0.00	7 of 7 - Sale <sup>25</sup>
06/29/20	175.000	2,300.06	Various	2,625.40	325.34 W	0.00	Total of 7 transactions
	16 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	1.000	11.84	07/08/20	14.88	3.04 W	0.00	1 of 16 - Sale <sup>25</sup>
	2.000	23.69	07/08/20	38.20	14.51 W	0.00	2 of 16 - Sale <sup>25</sup>
	9.000	106.60	07/08/20	165.60	59.00 W	0.00	3 of 16 - Sale <sup>25</sup>
	15.000	177.67	07/08/20	268.73	91.06 W	0.00	4 of 16 - Sale <sup>25</sup>
	5.000	59.22	07/08/20	80.21	20.99 W	0.00	5 of 16 - Sale <sup>25</sup>
	4.000	47.38	07/08/20	49.74	2.36 W	0.00	6 of 16 - Sale <sup>25</sup>
	64.000	758.06	07/08/20	778.04	19.98 W	0.00	7 of 16 - Sale <sup>25</sup>
	1.000	11.84	07/08/20	15.99	4.15 W	0.00	8 of 16 - Sale <sup>25</sup>
	4.000	47.38	07/08/20	63.94	16.56 W	0.00	9 of 16 - Sale <sup>25</sup>
	13.000	153.98	07/08/20	205.18	51.20 W	0.00	10 of 16 - Sale <sup>25</sup>
	25.000	296.12	07/08/20	404.59	108.47 W	0.00	11 of 16 - Sale <sup>25</sup>
	4.000	47.38	07/08/20	61.64	14.26 W	0.00	12 of 16 - Sale <sup>25</sup>
	21.000	248.74	07/08/20	323.66	74.92 W	0.00	13 of 16 - Sale <sup>25</sup>
	12.000	142.13	07/08/20	149.20	7.07 W	0.00	14 of 16 - Sale <sup>25</sup>
	16.000	189.51	07/08/20	201.32	11.81 W	0.00	15 of 16 - Sale <sup>25</sup>
	4.000	47.38	07/08/20	48.70	1.32 W	0.00	16 of 16 - Sale <sup>25</sup>
07/08/20	200.000	2,368.92	Various	2,869.62	500.70 W	0.00	Total of 16 transactions
	17 transactions for 07/09/20. Total proceeds and cost reported to the IRS.						
	1.000	11.38	07/09/20	14.48	3.10 W	0.00	1 of 17 - Sale <sup>25</sup>
	2.000	22.76	07/09/20	37.39	14.63 W	0.00	2 of 17 - Sale <sup>25</sup>
	9.000	102.42	07/09/20	161.96	59.54 W	0.00	3 of 17 - Sale <sup>25</sup>
	4.000	45.52	07/09/20	70.04	24.52 W	0.00	4 of 17 - Sale <sup>25</sup>
	25.000	284.49	07/09/20	303.61	19.12 W	0.00	5 of 17 - Sale <sup>25</sup>
	59.000	671.40	07/09/20	717.09	45.69 W	0.00	6 of 17 - Sale <sup>25</sup>
	11.000	125.18	07/09/20	192.59	67.41 W	0.00	7 of 17 - Sale <sup>25</sup>
	1.000	11.38	07/09/20	15.59	4.21 W	0.00	8 of 17 - Sale <sup>25</sup>
	4.000	45.52	07/09/20	62.31	16.79 W	0.00	9 of 17 - Sale <sup>25</sup>
	5.000	56.90	07/09/20	78.18	21.28 W	0.00	10 of 17 - Sale <sup>25</sup>
	11.000	125.18	07/09/20	169.13	43.95 W	0.00	11 of 17 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	4.000	45.52	07/09/20	48.11	2.59 W	0.00	12 of 17 - Sale <sup>25</sup>
	64.000	728.29	07/09/20	751.98	23.69 W	0.00	13 of 17 - Sale <sup>25</sup>
	2.000	22.76	07/09/20	30.76	8.00 W	0.00	14 of 17 - Sale <sup>25</sup>
	25.000	284.49	07/09/20	394.41	109.92 W	0.00	15 of 17 - Sale <sup>25</sup>
	2.000	22.75	07/09/20	30.00	7.25 W	0.00	16 of 17 - Sale <sup>25</sup>
	21.000	238.98	07/09/20	315.11	76.13 W	0.00	17 of 17 - Sale <sup>25</sup>
07/09/20	250.000	2,844.92	Various	3,392.74	547.82 W	0.00	Total of 17 transactions
07/10/20	5.000	58.01	07/10/20	57.95	...	0.06	Sale <sup>25</sup>
	10 transactions for 07/10/20. Total proceeds and cost reported to the IRS.						
	2.000	23.20	07/10/20	29.13	5.93 W	0.00	1 of 10 - Sale <sup>25</sup>
	7.000	81.21	07/10/20	81.39	0.18 W	0.00	2 of 10 - Sale <sup>25</sup>
	11.000	127.62	07/10/20	129.54	1.92 W	0.00	3 of 10 - Sale <sup>25</sup>
	1.000	11.60	07/10/20	14.73	3.13 W	0.00	4 of 10 - Sale <sup>25</sup>
	2.000	23.20	07/10/20	37.89	14.69 W	0.00	5 of 10 - Sale <sup>25</sup>
	9.000	104.41	07/10/20	164.19	59.78 W	0.00	6 of 10 - Sale <sup>25</sup>
	4.000	46.41	07/10/20	71.03	24.62 W	0.00	7 of 10 - Sale <sup>25</sup>
	5.000	58.01	07/10/20	61.83	3.82 W	0.00	8 of 10 - Sale <sup>25</sup>
	50.000	580.07	07/10/20	620.13	40.06 W	0.00	9 of 10 - Sale <sup>25</sup>
	4.000	46.41	07/10/20	47.83	1.42 W	0.00	10 of 10 - Sale <sup>25</sup>
07/10/20	95.000	1,102.14	Various	1,257.69	155.55 W	0.00	Total of 10 transactions
07/14/20	7.000	86.10	07/14/20	85.86	...	0.24	Sale <sup>25</sup>
	35 transactions for 07/14/20. Total proceeds and cost reported to the IRS.						
	11.000	135.30	07/14/20	194.43	59.13 W	0.00	1 of 35 - Sale <sup>25</sup>
	5.000	61.50	07/14/20	79.02	17.52 W	0.00	2 of 35 - Sale <sup>25</sup>
	9.000	110.70	07/14/20	110.90	0.20 W	0.00	3 of 35 - Sale <sup>25</sup>
	25.000	307.49	07/14/20	307.81	0.32 W	0.00	4 of 35 - Sale <sup>25</sup>
	1.000	12.30	07/14/20	15.37	3.07 W	0.00	5 of 35 - Sale <sup>25</sup>
	7.000	86.10	07/14/20	132.18	46.08 W	0.00	6 of 35 - Sale <sup>25</sup>
	1.000	12.30	07/14/20	16.45	4.15 W	0.00	7 of 35 - Sale <sup>25</sup>
	2.000	24.60	07/14/20	32.48	7.88 W	0.00	8 of 35 - Sale <sup>25</sup>
	4.000	49.20	07/14/20	65.75	16.55 W	0.00	9 of 35 - Sale <sup>25</sup>
	11.000	135.29	07/14/20	178.59	43.30 W	0.00	10 of 35 - Sale <sup>25</sup>
	25.000	307.49	07/14/20	415.92	108.43 W	0.00	11 of 35 - Sale <sup>25</sup>
	2.000	24.60	07/14/20	31.73	7.13 W	0.00	12 of 35 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	2.000	24.60	07/14/20	30.41	5.81 W	0.00	13 of 35 - Sale <sup>25</sup>
	21.000	258.29	07/14/20	333.17	74.88 W	0.00	14 of 35 - Sale <sup>25</sup>
	4.000	49.20	07/14/20	51.55	2.35 W	0.00	15 of 35 - Sale <sup>25</sup>
	11.000	135.29	07/14/20	136.56	1.27 W	0.00	16 of 35 - Sale <sup>25</sup>
	64.000	787.17	07/14/20	807.05	19.88 W	0.00	17 of 35 - Sale <sup>25</sup>
	2.000	24.60	07/14/20	37.76	13.16 W	0.00	18 of 35 - Sale <sup>25</sup>
	2.000	24.60	07/14/20	39.17	14.57 W	0.00	19 of 35 - Sale <sup>25</sup>
	4.000	49.20	07/14/20	73.58	24.38 W	0.00	20 of 35 - Sale <sup>25</sup>
	5.000	61.50	07/14/20	65.02	3.52 W	0.00	21 of 35 - Sale <sup>25</sup>
	25.000	307.48	07/14/20	326.03	18.55 W	0.00	22 of 35 - Sale <sup>25</sup>
	8.000	97.36	07/14/20	141.72	44.36 W	0.00	23 of 35 - Sale <sup>25</sup>
	25.000	304.24	07/14/20	328.53	24.29 W	0.00	24 of 35 - Sale <sup>25</sup>
	4.000	48.68	07/14/20	50.78	2.10 W	0.00	25 of 35 - Sale <sup>25</sup>
	3.000	36.51	07/14/20	53.15	16.64 W	0.00	26 of 35 - Sale <sup>25</sup>
	5.000	60.85	07/14/20	79.22	18.37 W	0.00	27 of 35 - Sale <sup>25</sup>
	9.000	109.53	07/14/20	111.26	1.73 W	0.00	28 of 35 - Sale <sup>25</sup>
	21.000	255.56	07/14/20	259.41	3.85 W	0.00	29 of 35 - Sale <sup>25</sup>
	1.000	12.17	07/14/20	15.54	3.37 W	0.00	30 of 35 - Sale <sup>25</sup>
	7.000	85.18	07/14/20	133.37	48.19 W	0.00	31 of 35 - Sale <sup>25</sup>
	1.000	12.17	07/14/20	16.62	4.45 W	0.00	32 of 35 - Sale <sup>25</sup>
	4.000	48.68	07/14/20	66.43	17.75 W	0.00	33 of 35 - Sale <sup>25</sup>
	8.000	97.35	07/14/20	131.25	33.90 W	0.00	34 of 35 - Sale <sup>25</sup>
	4.000	48.68	07/14/20	49.93	1.25 W	0.00	35 of 35 - Sale <sup>25</sup>
07/14/20	343.000	4,205.76	Various	4,918.14	712.38 W	0.00	Total of 35 transactions
19 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	2.000	25.40	07/15/20	34.26	8.86 W	0.00	1 of 19 - Sale <sup>25</sup>
	3.000	38.10	07/15/20	51.37	13.27 W	0.00	2 of 19 - Sale <sup>25</sup>
	25.000	317.49	07/15/20	438.12	120.63 W	0.00	3 of 19 - Sale <sup>25</sup>
	2.000	25.40	07/15/20	33.50	8.10 W	0.00	4 of 19 - Sale <sup>25</sup>
	2.000	25.40	07/15/20	32.18	6.78 W	0.00	5 of 19 - Sale <sup>25</sup>
	21.000	266.69	07/15/20	351.82	85.13 W	0.00	6 of 19 - Sale <sup>25</sup>
	4.000	50.80	07/15/20	55.10	4.30 W	0.00	7 of 19 - Sale <sup>25</sup>
	11.000	139.69	07/15/20	146.33	6.64 W	0.00	8 of 19 - Sale <sup>25</sup>
	30.000	380.99	07/15/20	404.95	23.96 W	0.00	9 of 19 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	25.000	310.06	07/15/20	342.20	32.14 W	0.00	10 of 19 - Sale <sup>25</sup>
	2.000	24.84	07/16/20	38.52	13.68 W	0.00	11 of 19 - Sale <sup>25</sup>
	2.000	24.84	07/16/20	39.93	15.09 W	0.00	12 of 19 - Sale <sup>25</sup>
	3.000	37.27	07/16/20	54.66	17.39 W	0.00	13 of 19 - Sale <sup>25</sup>
	4.000	49.69	07/16/20	75.09	25.40 W	0.00	14 of 19 - Sale <sup>25</sup>
	5.000	62.11	07/16/20	66.91	4.80 W	0.00	15 of 19 - Sale <sup>25</sup>
	25.000	310.55	07/16/20	335.51	24.96 W	0.00	16 of 19 - Sale <sup>25</sup>
	9.000	111.80	07/16/20	116.90	5.10 W	0.00	17 of 19 - Sale <sup>25</sup>
	5.000	62.11	07/16/20	90.88	28.77 W	0.00	18 of 19 - Sale <sup>25</sup>
	20.000	248.44	07/16/20	272.03	23.59 W	0.00	19 of 19 - Sale <sup>25</sup>
07/16/20	200.000	2,511.67	Various	2,980.26	468.59 W	0.00	Total of 19 transactions
	5 transactions for 07/20/20. Total proceeds and cost reported to the IRS.						
	3.000	34.36	07/20/20	51.15	16.79 W	0.00	1 of 5 - Sale <sup>25</sup>
	5.000	57.26	07/20/20	75.90	18.64 W	0.00	2 of 5 - Sale <sup>25</sup>
	5.000	57.26	07/20/20	62.39	5.13 W	0.00	3 of 5 - Sale <sup>25</sup>
	5.000	57.26	07/20/20	58.48	1.22 W	0.00	4 of 5 - Sale <sup>25</sup>
	4.000	45.82	07/20/20	48.12	2.30 W	0.00	5 of 5 - Sale <sup>25</sup>
07/20/20	22.000	251.96	Various	296.04	44.08 W	0.00	Total of 5 transactions
	12 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	1.000	11.31	07/21/20	14.79	3.48 W	0.00	1 of 12 - Sale <sup>25</sup>
	7.000	79.18	07/21/20	128.12	48.94 W	0.00	2 of 12 - Sale <sup>25</sup>
	2.000	22.62	07/21/20	31.70	9.08 W	0.00	3 of 12 - Sale <sup>25</sup>
	3.000	33.93	07/21/20	47.52	13.59 W	0.00	4 of 12 - Sale <sup>25</sup>
	25.000	282.79	07/21/20	406.09	123.30 W	0.00	5 of 12 - Sale <sup>25</sup>
	1.000	11.31	07/21/20	15.87	4.56 W	0.00	6 of 12 - Sale <sup>25</sup>
	4.000	45.25	07/21/20	63.42	18.17 W	0.00	7 of 12 - Sale <sup>25</sup>
	8.000	90.49	07/21/20	125.24	34.75 W	0.00	8 of 12 - Sale <sup>25</sup>
	20.000	226.23	07/21/20	309.44	83.21 W	0.00	9 of 12 - Sale <sup>25</sup>
	4.000	45.25	07/21/20	46.44	1.19 W	0.00	10 of 12 - Sale <sup>25</sup>
	4.000	45.25	07/21/20	46.92	1.67 W	0.00	11 of 12 - Sale <sup>25</sup>
	21.000	237.54	07/21/20	243.63	6.09 W	0.00	12 of 12 - Sale <sup>25</sup>
07/21/20	100.000	1,131.15	Various	1,479.18	348.03 W	0.00	Total of 12 transactions

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	1.000	11.37	07/22/20	15.45	4.08 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	22.74	07/22/20	30.89	8.15 W	0.00	2 of 2 - Sale <sup>25</sup>
07/22/20	3.000	34.11	Various	46.34	12.23 W	0.00	Total of 2 transactions
13 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	2.000	24.10	07/23/20	38.08	13.98 W	0.00	1 of 13 - Sale <sup>25</sup>
	2.000	24.10	07/23/20	39.49	15.39 W	0.00	2 of 13 - Sale <sup>25</sup>
	3.000	36.15	07/23/20	53.98	17.83 W	0.00	3 of 13 - Sale <sup>25</sup>
	3.000	36.15	07/23/20	53.85	17.70 W	0.00	4 of 13 - Sale <sup>25</sup>
	4.000	48.20	07/23/20	74.19	25.99 W	0.00	5 of 13 - Sale <sup>25</sup>
	2.000	24.10	07/23/20	31.18	7.08 W	0.00	6 of 13 - Sale <sup>25</sup>
	4.000	48.20	07/23/20	53.09	4.89 W	0.00	7 of 13 - Sale <sup>25</sup>
	11.000	132.54	07/23/20	140.82	8.28 W	0.00	8 of 13 - Sale <sup>25</sup>
	5.000	60.25	07/23/20	65.79	5.54 W	0.00	9 of 13 - Sale <sup>25</sup>
	25.000	301.24	07/23/20	337.09	35.85 W	0.00	10 of 13 - Sale <sup>25</sup>
	25.000	301.24	07/23/20	329.91	28.67 W	0.00	11 of 13 - Sale <sup>25</sup>
	30.000	361.49	07/23/20	389.90	28.41 W	0.00	12 of 13 - Sale <sup>25</sup>
	9.000	108.44	07/23/20	114.89	6.45 W	0.00	13 of 13 - Sale <sup>25</sup>
07/23/20	125.000	1,506.20	Various	1,722.26	216.06 W	0.00	Total of 13 transactions
17 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	1.000	10.80	08/03/20	16.63	5.83 W	0.00	1 of 17 - Sale <sup>25</sup>
	1.000	10.80	08/03/20	14.37	3.57 W	0.00	2 of 17 - Sale <sup>25</sup>
	7.000	75.62	08/03/20	125.15	49.53 W	0.00	3 of 17 - Sale <sup>25</sup>
	1.000	10.80	08/03/20	16.64	5.84 W	0.00	4 of 17 - Sale <sup>25</sup>
	3.000	32.41	08/03/20	49.45	17.04 W	0.00	5 of 17 - Sale <sup>25</sup>
	2.000	21.60	08/03/20	30.85	9.25 W	0.00	6 of 17 - Sale <sup>25</sup>
	3.000	32.41	08/03/20	46.25	13.84 W	0.00	7 of 17 - Sale <sup>25</sup>
	25.000	270.05	08/03/20	395.49	125.44 W	0.00	8 of 17 - Sale <sup>25</sup>
	1.000	10.80	08/03/20	15.45	4.65 W	0.00	9 of 17 - Sale <sup>25</sup>
	4.000	43.21	08/03/20	61.72	18.51 W	0.00	10 of 17 - Sale <sup>25</sup>
	5.000	54.01	08/03/20	73.08	19.07 W	0.00	11 of 17 - Sale <sup>25</sup>
	8.000	86.42	08/03/20	121.85	35.43 W	0.00	12 of 17 - Sale <sup>25</sup>
	5.000	54.01	08/03/20	75.23	21.22 W	0.00	13 of 17 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	5.000	54.01	08/03/20	59.57	5.56 W	0.00	14 of 17 - Sale <sup>25</sup>
	5.000	54.01	08/03/20	55.66	1.65 W	0.00	15 of 17 - Sale <sup>25</sup>
	20.000	216.04	08/03/20	241.34	25.30 W	0.00	16 of 17 - Sale <sup>25</sup>
	4.000	43.21	08/03/20	45.85	2.64 W	0.00	17 of 17 - Sale <sup>25</sup>
08/03/20	100.000	1,080.21	Various	1,444.58	364.37 W	0.00	Total of 17 transactions
	18 transactions for 08/04/20. Total proceeds and cost reported to the IRS.						
	2.000	23.42	08/04/20	37.51	14.09 W	0.00	1 of 18 - Sale <sup>25</sup>
	2.000	23.42	08/04/20	38.93	15.51 W	0.00	2 of 18 - Sale <sup>25</sup>
	3.000	35.13	08/04/20	53.13	18.00 W	0.00	3 of 18 - Sale <sup>25</sup>
	3.000	35.13	08/04/20	53.00	17.87 W	0.00	4 of 18 - Sale <sup>25</sup>
	4.000	46.84	08/04/20	73.06	26.22 W	0.00	5 of 18 - Sale <sup>25</sup>
	1.000	11.71	08/04/20	15.85	4.14 W	0.00	6 of 18 - Sale <sup>25</sup>
	2.000	23.42	08/04/20	31.69	8.27 W	0.00	7 of 18 - Sale <sup>25</sup>
	2.000	23.42	08/04/20	30.62	7.20 W	0.00	8 of 18 - Sale <sup>25</sup>
	15.000	175.64	08/04/20	238.92	63.28 W	0.00	9 of 18 - Sale <sup>25</sup>
	4.000	46.84	08/04/20	51.96	5.12 W	0.00	10 of 18 - Sale <sup>25</sup>
	11.000	128.81	08/04/20	137.72	8.91 W	0.00	11 of 18 - Sale <sup>25</sup>
	4.000	46.84	08/04/20	48.26	1.42 W	0.00	12 of 18 - Sale <sup>25</sup>
	4.000	46.84	08/04/20	48.74	1.90 W	0.00	13 of 18 - Sale <sup>25</sup>
	5.000	58.55	08/04/20	64.38	5.83 W	0.00	14 of 18 - Sale <sup>25</sup>
	12.000	140.51	08/04/20	154.97	14.46 W	0.00	15 of 18 - Sale <sup>25</sup>
	21.000	245.90	08/04/20	253.21	7.31 W	0.00	16 of 18 - Sale <sup>25</sup>
	25.000	292.74	08/04/20	330.03	37.29 W	0.00	17 of 18 - Sale <sup>25</sup>
	30.000	351.28	08/04/20	381.44	30.16 W	0.00	18 of 18 - Sale <sup>25</sup>
08/04/20	150.000	1,756.44	Various	2,043.42	286.98 W	0.00	Total of 18 transactions
	11 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	5.000	71.70	08/11/20	77.87	6.17 W	0.00	1 of 11 - Sale <sup>25</sup>
	1.000	14.34	08/11/20	17.97	3.63 W	0.00	2 of 11 - Sale <sup>25</sup>
	7.000	100.38	08/11/20	150.33	49.95 W	0.00	3 of 11 - Sale <sup>25</sup>
	1.000	14.34	08/11/20	20.23	5.89 W	0.00	4 of 11 - Sale <sup>25</sup>
	1.000	14.34	08/11/20	20.24	5.90 W	0.00	5 of 11 - Sale <sup>25</sup>
	3.000	43.02	08/11/20	60.24	17.22 W	0.00	6 of 11 - Sale <sup>25</sup>
	2.000	28.68	08/11/20	38.05	9.37 W	0.00	7 of 11 - Sale <sup>25</sup>
	3.000	43.02	08/11/20	57.04	14.02 W	0.00	8 of 11 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	10.000	143.39	08/11/20	194.18	50.79 W	0.00	9 of 11 - Sale <sup>25</sup>
	8.000	114.72	08/11/20	124.38	9.66 W	0.00	10 of 11 - Sale <sup>25</sup>
	9.000	129.05	08/11/20	136.05	7.00 W	0.00	11 of 11 - Sale <sup>25</sup>
08/11/20	50.000	716.98	Various	896.58	179.60 W	0.00	Total of 11 transactions
	43 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	7.000	89.88	08/19/20	124.95	10.02 W	-25.05	1 of 43 - Sale <sup>25</sup>
	12.000	158.99	08/19/20	217.59	58.60 W	0.00	2 of 43 - Sale <sup>25</sup>
	2.000	26.50	08/19/20	40.64	14.14 W	0.00	3 of 43 - Sale <sup>25</sup>
	2.000	26.50	08/19/20	42.06	15.56 W	0.00	4 of 43 - Sale <sup>25</sup>
	3.000	39.75	08/19/20	57.83	18.08 W	0.00	5 of 43 - Sale <sup>25</sup>
	3.000	39.75	08/19/20	57.70	17.95 W	0.00	6 of 43 - Sale <sup>25</sup>
	4.000	53.00	08/19/20	79.33	26.33 W	0.00	7 of 43 - Sale <sup>25</sup>
	3.000	39.75	08/19/20	54.88	15.13 W	0.00	8 of 43 - Sale <sup>25</sup>
	1.000	13.25	08/19/20	17.93	4.68 W	0.00	9 of 43 - Sale <sup>25</sup>
	4.000	53.00	08/19/20	71.62	18.62 W	0.00	10 of 43 - Sale <sup>25</sup>
	5.000	66.25	08/19/20	85.46	19.21 W	0.00	11 of 43 - Sale <sup>25</sup>
	8.000	106.00	08/19/20	141.65	35.65 W	0.00	12 of 43 - Sale <sup>25</sup>
	1.000	13.25	08/19/20	17.42	4.17 W	0.00	13 of 43 - Sale <sup>25</sup>
	2.000	26.50	08/19/20	34.83	8.33 W	0.00	14 of 43 - Sale <sup>25</sup>
	2.000	26.50	08/19/20	33.76	7.26 W	0.00	15 of 43 - Sale <sup>25</sup>
	5.000	66.25	08/19/20	87.61	21.36 W	0.00	16 of 43 - Sale <sup>25</sup>
	15.000	198.74	08/19/20	262.44	63.70 W	0.00	17 of 43 - Sale <sup>25</sup>
	4.000	53.00	08/19/20	58.23	5.23 W	0.00	18 of 43 - Sale <sup>25</sup>
	11.000	145.74	08/19/20	154.96	9.22 W	0.00	19 of 43 - Sale <sup>25</sup>
	4.000	53.00	08/19/20	54.53	1.53 W	0.00	20 of 43 - Sale <sup>25</sup>
	4.000	53.00	08/19/20	55.01	2.01 W	0.00	21 of 43 - Sale <sup>25</sup>
	5.000	66.25	08/19/20	71.95	5.70 W	0.00	22 of 43 - Sale <sup>25</sup>
	5.000	66.25	08/19/20	68.04	1.79 W	0.00	23 of 43 - Sale <sup>25</sup>
	7.000	92.75	08/19/20	99.98	7.23 W	0.00	24 of 43 - Sale <sup>25</sup>
	20.000	264.99	08/19/20	290.85	25.86 W	0.00	25 of 43 - Sale <sup>25</sup>
	21.000	278.24	08/19/20	286.14	7.90 W	0.00	26 of 43 - Sale <sup>25</sup>
	4.000	53.00	08/19/20	55.75	2.75 W	0.00	27 of 43 - Sale <sup>25</sup>
	1.000	13.25	08/19/20	16.98	3.73 W	0.00	28 of 43 - Sale <sup>25</sup>
	7.000	92.75	08/19/20	143.39	50.64 W	0.00	29 of 43 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	1.000	13.25	08/19/20	19.24	5.99 W	0.00	30 of 43 - Sale <sup>25</sup>
	1.000	13.25	08/19/20	19.25	6.00 W	0.00	31 of 43 - Sale <sup>25</sup>
	3.000	39.75	08/19/20	57.26	17.51 W	0.00	32 of 43 - Sale <sup>25</sup>
	2.000	26.50	08/19/20	36.07	9.57 W	0.00	33 of 43 - Sale <sup>25</sup>
	3.000	39.75	08/19/20	54.06	14.31 W	0.00	34 of 43 - Sale <sup>25</sup>
	10.000	132.49	08/19/20	184.27	51.78 W	0.00	35 of 43 - Sale <sup>25</sup>
	5.000	66.25	08/19/20	72.57	6.32 W	0.00	36 of 43 - Sale <sup>25</sup>
	12.000	158.99	08/19/20	174.64	15.65 W	0.00	37 of 43 - Sale <sup>25</sup>
	23.000	304.74	08/19/20	330.13	25.39 W	0.00	38 of 43 - Sale <sup>25</sup>
	25.000	331.24	08/19/20	370.99	39.75 W	0.00	39 of 43 - Sale <sup>25</sup>
	5.000	66.25	08/19/20	72.91	6.66 W	0.00	40 of 43 - Sale <sup>25</sup>
	8.000	105.99	08/19/20	116.44	10.45 W	0.00	41 of 43 - Sale <sup>25</sup>
	9.000	119.24	08/19/20	127.13	7.89 W	0.00	42 of 43 - Sale <sup>25</sup>
	78.000	1,033.46	08/19/20	1,041.15	7.69 W	0.00	43 of 43 - Sale <sup>25</sup>
08/19/20	357.000	4,727.23	Various	5,459.62	707.34 W	-25.05	Total of 43 transactions
40 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	15.000	200.69	08/24/20	264.17	...	-63.48	1 of 40 - Sale <sup>25</sup>
	10.000	133.79	08/24/20	185.76	...	-51.97	2 of 40 - Sale <sup>25</sup>
	7.000	93.66	08/24/20	144.42	...	-50.76	3 of 40 - Sale <sup>25</sup>
	25.000	334.48	08/24/20	374.45	...	-39.97	4 of 40 - Sale <sup>25</sup>
	8.000	107.04	08/24/20	142.57	...	-35.53	5 of 40 - Sale <sup>25</sup>
	4.000	53.52	08/24/20	79.79	...	-26.27	6 of 40 - Sale <sup>25</sup>
	20.000	267.59	08/24/20	293.16	...	-25.57	7 of 40 - Sale <sup>25</sup>
	22.000	294.34	08/24/20	319.03	...	-24.69	8 of 40 - Sale <sup>25</sup>
	5.000	66.90	08/24/20	91.24	...	-24.34	9 of 40 - Sale <sup>25</sup>
	5.000	66.90	08/24/20	88.18	...	-21.28	10 of 40 - Sale <sup>25</sup>
	5.000	66.90	08/24/20	86.03	...	-19.13	11 of 40 - Sale <sup>25</sup>
	4.000	53.52	08/24/20	72.08	...	-18.56	12 of 40 - Sale <sup>25</sup>
	3.000	40.14	08/24/20	58.17	...	-18.03	13 of 40 - Sale <sup>25</sup>
	3.000	40.14	08/24/20	58.04	...	-17.90	14 of 40 - Sale <sup>25</sup>
	3.000	40.14	08/24/20	57.70	...	-17.56	15 of 40 - Sale <sup>25</sup>
	12.000	160.55	08/24/20	176.30	...	-15.75	16 of 40 - Sale <sup>25</sup>
	2.000	26.76	08/24/20	42.29	...	-15.53	17 of 40 - Sale <sup>25</sup>
	3.000	40.14	08/24/20	55.22	...	-15.08	18 of 40 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	3.000	40.14	08/24/20	54.50	...	-14.36	19 of 40 - Sale <sup>25</sup>
	2.000	26.76	08/24/20	40.87	...	-14.11	20 of 40 - Sale <sup>25</sup>
	2.000	26.76	08/24/20	36.36	...	-9.60	21 of 40 - Sale <sup>25</sup>
	11.000	147.17	08/24/20	156.23	...	-9.06	22 of 40 - Sale <sup>25</sup>
	2.000	26.76	08/24/20	35.06	...	-8.30	23 of 40 - Sale <sup>25</sup>
	21.000	280.97	08/24/20	289.25	...	-8.28	24 of 40 - Sale <sup>25</sup>
	7.000	93.65	08/24/20	101.01	...	-7.36	25 of 40 - Sale <sup>25</sup>
	2.000	26.76	08/24/20	33.99	...	-7.23	26 of 40 - Sale <sup>25</sup>
	5.000	66.90	08/24/20	73.26	...	-6.36	27 of 40 - Sale <sup>25</sup>
	1.000	13.38	08/24/20	19.40	...	-6.02	28 of 40 - Sale <sup>25</sup>
	1.000	13.38	08/24/20	19.39	...	-6.01	29 of 40 - Sale <sup>25</sup>
	5.000	66.90	08/24/20	72.69	...	-5.79	30 of 40 - Sale <sup>25</sup>
	4.000	53.52	08/24/20	58.69	...	-5.17	31 of 40 - Sale <sup>25</sup>
	1.000	13.38	08/24/20	18.04	...	-4.66	32 of 40 - Sale <sup>25</sup>
	1.000	13.38	08/24/20	17.53	...	-4.15	33 of 40 - Sale <sup>25</sup>
	1.000	13.38	08/24/20	17.13	...	-3.75	34 of 40 - Sale <sup>25</sup>
	4.000	53.52	08/24/20	56.34	...	-2.82	35 of 40 - Sale <sup>25</sup>
	2.000	26.76	08/24/20	29.44	...	-2.68	36 of 40 - Sale <sup>25</sup>
	4.000	53.52	08/24/20	55.60	...	-2.08	37 of 40 - Sale <sup>25</sup>
	5.000	66.90	08/24/20	68.78	...	-1.88	38 of 40 - Sale <sup>25</sup>
	4.000	53.52	08/24/20	55.12	...	-1.60	39 of 40 - Sale <sup>25</sup>
	1.000	13.38	08/24/20	14.49	...	-1.11	40 of 40 - Sale <sup>25</sup>
08/24/20	245.000	3,277.99	Various	3,911.77	...	-633.78	Total of 40 transactions
	2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.						
	78.000	1,036.04	09/18/20	1,050.98	...	-14.94	1 of 2 - Sale <sup>25</sup>
	9.000	119.54	09/18/20	128.27	...	-8.73	2 of 2 - Sale <sup>25</sup>
09/18/20	87.000	1,155.58	Various	1,179.25	...	-23.67	Total of 2 transactions
	3 transactions for 09/18/20. Total proceeds and cost reported to the IRS.						
	8.000	106.26	09/18/20	117.45	6.99 W	-4.20	1 of 3 - Sale <sup>25</sup>
	2.000	26.57	09/18/20	36.77	10.20 W	0.00	2 of 3 - Sale <sup>25</sup>
	3.000	39.85	09/18/20	44.13	4.28 W	0.00	3 of 3 - Sale <sup>25</sup>
09/18/20	13.000	172.68	Various	198.35	21.47 W	-4.20	Total of 3 transactions

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
<i>3 transactions for 10/06/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	26.48	10/05/20	36.17	9.69 W	0.00	1 of 3 - Sale <sup>25</sup>
	3.000	39.72	10/05/20	43.24	3.52 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	66.21	10/05/20	71.93	5.72 W	0.00	3 of 3 - Sale <sup>25</sup>
10/06/20	10.000	132.41	Various	151.34	18.93 W	0.00	Total of 3 transactions
<i>6 transactions for 10/20/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	25.84	10/20/20	35.67	9.83 W	0.00	1 of 6 - Sale <sup>25</sup>
	3.000	38.76	10/20/20	42.48	3.72 W	0.00	2 of 6 - Sale <sup>25</sup>
	3.000	38.76	10/20/20	42.39	3.63 W	0.00	3 of 6 - Sale <sup>25</sup>
	2.000	25.84	10/20/20	28.25	2.41 W	0.00	4 of 6 - Sale <sup>25</sup>
	98.000	1,266.24	10/20/20	1,272.04	5.80 W	0.00	5 of 6 - Sale <sup>25</sup>
	42.000	542.67	10/20/20	543.90	1.23 W	0.00	6 of 6 - Sale <sup>25</sup>
10/20/20	150.000	1,938.11	Various	1,964.73	26.62 W	0.00	Total of 6 transactions
<i>6 transactions for 10/21/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	25.24	10/20/20	35.67	10.43 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	12.62	10/20/20	14.16	1.54 W	0.00	2 of 6 - Sale <sup>25</sup>
	2.000	25.24	10/20/20	28.08	2.84 W	0.00	3 of 6 - Sale <sup>25</sup>
	2.000	25.25	10/20/20	28.01	2.76 W	0.00	4 of 6 - Sale <sup>25</sup>
	3.000	37.87	10/20/20	42.03	4.16 W	0.00	5 of 6 - Sale <sup>25</sup>
	1.000	12.62	10/20/20	12.86	0.24 W	0.00	6 of 6 - Sale <sup>25</sup>
10/21/20	11.000	138.84	Various	160.81	21.97 W	0.00	Total of 6 transactions
<i>16 transactions for 10/29/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	1,094.29	10/28/20	1,108.00	...	-13.71	1 of 16 - Sale <sup>25</sup>
	83.000	908.26	10/28/20	921.30	...	-13.04	2 of 16 - Sale <sup>25</sup>
	2.000	21.89	10/28/20	32.53	...	-10.64	3 of 16 - Sale <sup>25</sup>
	41.000	448.66	10/28/20	455.48	...	-6.82	4 of 16 - Sale <sup>25</sup>
	42.000	459.60	10/28/20	465.33	...	-5.73	5 of 16 - Sale <sup>25</sup>
	3.000	32.83	10/28/20	37.31	...	-4.48	6 of 16 - Sale <sup>25</sup>
	30.000	328.29	10/28/20	332.70	...	-4.41	7 of 16 - Sale <sup>25</sup>
	28.000	306.40	10/28/20	310.80	...	-4.40	8 of 16 - Sale <sup>25</sup>
	2.000	21.89	10/28/20	24.94	...	-3.05	9 of 16 - Sale <sup>25</sup>
	2.000	21.89	10/28/20	24.86	...	-2.97	10 of 16 - Sale <sup>25</sup>
	17.000	186.03	10/28/20	188.70	...	-2.67	11 of 16 - Sale <sup>25</sup>

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(continued)

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	1.000	10.94	10/28/20	12.59	...	-1.65	12 of 16 - Sale <sup>25</sup>
	5.000	54.71	10/28/20	55.25	...	-0.54	13 of 16 - Sale <sup>25</sup>
	1.000	10.94	10/28/20	11.29	...	-0.35	14 of 16 - Sale <sup>25</sup>
	2.000	21.89	10/28/20	22.10	...	-0.21	15 of 16 - Sale <sup>25</sup>
	56.000	612.80	10/28/20	605.31	...	7.49	16 of 16 - Sale <sup>25</sup>
10/29/20	415.000	4,541.31	Various	4,608.49	...	-67.18	Total of 16 transactions
	6 transactions for 12/10/20. Total proceeds and cost reported to the IRS.						
	1.000	17.62	12/09/20	17.99	0.37 W	0.00	1 of 6 - Sale <sup>25</sup>
	4.000	70.48	12/09/20	71.63	1.15 W	0.00	2 of 6 - Sale <sup>25</sup>
	1.000	17.52	12/10/20	17.98	0.46 W	0.00	3 of 6 - Sale <sup>25</sup>
	4.000	70.09	12/10/20	71.59	1.50 W	0.00	4 of 6 - Sale <sup>25</sup>
	95.000	1,664.70	12/10/20	1,672.95	8.25 W	0.00	5 of 6 - Sale <sup>25</sup>
	100.000	1,752.31	12/10/20	1,760.00	7.69 W	0.00	6 of 6 - Sale <sup>25</sup>
12/10/20	205.000	3,592.72	Various	3,612.14	19.42 W	0.00	Total of 6 transactions
	7 transactions for 12/14/20. Total proceeds and cost reported to the IRS.						
	80.000	1,391.26	12/14/20	1,416.00	15.46 W	-9.28	1 of 7 - Sale <sup>25</sup>
	1.000	17.39	12/14/20	18.21	0.82 W	0.00	2 of 7 - Sale <sup>25</sup>
	4.000	69.56	12/14/20	72.50	2.94 W	0.00	3 of 7 - Sale <sup>25</sup>
	5.000	86.95	12/14/20	89.18	2.23 W	0.00	4 of 7 - Sale <sup>25</sup>
	20.000	347.81	12/14/20	356.74	8.93 W	0.00	5 of 7 - Sale <sup>25</sup>
	70.000	1,217.35	12/14/20	1,245.08	27.73 W	0.00	6 of 7 - Sale <sup>25</sup>
	100.000	1,739.08	12/14/20	1,777.69	38.61 W	0.00	7 of 7 - Sale <sup>25</sup>
12/14/20	280.000	4,869.40	Various	4,975.40	96.72 W	-9.28	Total of 7 transactions
	8 transactions for 12/29/20. Total proceeds and cost reported to the IRS.						
	100.000	1,580.19	12/29/20	1,622.49	...	-42.30	1 of 8 - Sale <sup>25</sup>
	70.000	1,105.96	12/29/20	1,136.44	...	-30.48	2 of 8 - Sale <sup>25</sup>
	46.000	726.88	12/29/20	742.81	...	-15.93	3 of 8 - Sale <sup>25</sup>
	20.000	315.99	12/29/20	325.70	...	-9.71	4 of 8 - Sale <sup>25</sup>
	4.000	63.20	12/29/20	66.29	...	-3.09	5 of 8 - Sale <sup>25</sup>
	5.000	79.00	12/29/20	81.42	...	-2.42	6 of 8 - Sale <sup>25</sup>
	3.000	47.40	12/29/20	48.44	...	-1.04	7 of 8 - Sale <sup>25</sup>
	1.000	15.80	12/29/20	16.66	...	-0.86	8 of 8 - Sale <sup>25</sup>
12/29/20	249.000	3,934.42	Various	4,040.25	...	-105.83	Total of 8 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
12/30/20	1.000	15.82	12/29/20	16.15	...	-0.33	Sale <sup>25</sup>
	<b>Security total:</b>	<b>79,909.76</b>		<b>90,486.27</b>	<b>10,078.98 W</b>	<b>-497.53</b>	
AMERICAN OUTDOOR BRANDS CORPOR ATION COMMON STOCK / CUSIP: 02874P103 / Symbol:							
03/13/20	50.000	366.00	03/12/20	362.51	...	3.49	Sale <sup>25</sup>
AMERICAN WELL CORPORATION / CUSIP: 03044L105 / Symbol:							
<i>18 transactions for 09/23/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	2,917.92	09/23/20	2,945.08	...	-27.16	1 of 18 - Sale <sup>25</sup>
	100.000	2,917.92	09/23/20	2,940.07	...	-22.15	2 of 18 - Sale <sup>25</sup>
	32.000	916.77	09/23/20	934.48	...	-17.71	3 of 18 - Sale <sup>25</sup>
	58.000	1,692.40	09/23/20	1,708.14	...	-15.74	4 of 18 - Sale <sup>25</sup>
	32.000	933.74	09/23/20	948.85	...	-15.11	5 of 18 - Sale <sup>25</sup>
	40.000	1,167.17	09/23/20	1,176.03	...	-8.86	6 of 18 - Sale <sup>25</sup>
	32.000	933.74	09/23/20	942.43	...	-8.69	7 of 18 - Sale <sup>25</sup>
	50.000	1,432.46	09/23/20	1,440.00	...	-7.54	8 of 18 - Sale <sup>25</sup>
	10.000	291.79	09/23/20	298.03	...	-6.24	9 of 18 - Sale <sup>25</sup>
	8.000	233.43	09/23/20	238.42	...	-4.99	10 of 18 - Sale <sup>25</sup>
	18.000	515.68	09/23/20	520.20	...	-4.52	11 of 18 - Sale <sup>25</sup>
	10.000	291.79	09/23/20	294.51	...	-2.72	12 of 18 - Sale <sup>25</sup>
	10.000	291.79	09/23/20	294.51	...	-2.72	13 of 18 - Sale <sup>25</sup>
	4.000	114.60	09/23/20	116.80	...	-2.20	14 of 18 - Sale <sup>25</sup>
	2.000	57.30	09/23/20	58.40	...	-1.10	15 of 18 - Sale <sup>25</sup>
	2.000	57.30	09/23/20	58.40	...	-1.10	16 of 18 - Sale <sup>25</sup>
	2.000	57.30	09/23/20	58.40	...	-1.10	17 of 18 - Sale <sup>25</sup>
	60.000	1,750.76	09/23/20	1,749.00	...	1.76	18 of 18 - Sale <sup>25</sup>
09/23/20	570.000	16,573.86	Various	16,721.75	...	-147.89	Total of 18 transactions
<i>19 transactions for 09/23/20. Total proceeds and cost reported to the IRS.</i>							
	58.000	1,661.66	09/23/20	1,685.00	7.24 W	-16.10	1 of 19 - Sale <sup>25</sup>
	2.000	57.30	09/23/20	57.54	0.24 W	0.00	2 of 19 - Sale <sup>25</sup>
	2.000	57.30	09/23/20	57.54	0.24 W	0.00	3 of 19 - Sale <sup>25</sup>
	96.000	2,750.32	09/23/20	2,761.92	11.60 W	0.00	4 of 19 - Sale <sup>25</sup>
	2.000	57.30	09/23/20	57.82	0.52 W	0.00	5 of 19 - Sale <sup>25</sup>
	2.000	57.30	09/23/20	57.82	0.52 W	0.00	6 of 19 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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AMERICAN WELL CORPORATION / CUSIP: 03044L105 / Symbol: (cont'd)							
	4.000	114.60	09/23/20	115.64	1.04 W	0.00	7 of 19 - Sale <sup>25</sup>
	2.000	57.30	09/23/20	57.82	0.52 W	0.00	8 of 19 - Sale <sup>25</sup>
	90.000	2,578.43	09/23/20	2,601.98	23.55 W	0.00	9 of 19 - Sale <sup>25</sup>
	2.000	57.30	09/23/20	58.10	0.80 W	0.00	10 of 19 - Sale <sup>25</sup>
	2.000	57.30	09/23/20	58.10	0.80 W	0.00	11 of 19 - Sale <sup>25</sup>
	2.000	57.30	09/23/20	58.10	0.80 W	0.00	12 of 19 - Sale <sup>25</sup>
	4.000	114.60	09/23/20	116.20	1.60 W	0.00	13 of 19 - Sale <sup>25</sup>
	32.000	916.77	09/23/20	929.65	12.88 W	0.00	14 of 19 - Sale <sup>25</sup>
	200.000	5,729.85	09/23/20	5,780.00	50.15 W	0.00	15 of 19 - Sale <sup>25</sup>
	32.000	916.77	09/23/20	924.80	8.03 W	0.00	16 of 19 - Sale <sup>25</sup>
	150.000	4,297.39	09/23/20	4,335.00	37.61 W	0.00	17 of 19 - Sale <sup>25</sup>
	18.000	515.69	09/23/20	527.44	11.75 W	0.00	18 of 19 - Sale <sup>25</sup>
	32.000	916.77	09/23/20	932.82	16.05 W	0.00	19 of 19 - Sale <sup>25</sup>
09/23/20	732.000	20,971.25	Various	21,173.29	185.94 W	-16.10	Total of 19 transactions
	<b>Security total:</b>	<b>37,545.11</b>		<b>37,895.04</b>	<b>185.94 W</b>	<b>-163.99</b>	
AMGEN INC. COMMON STOCK / CUSIP: 031162100 / Symbol:							
06/11/20	10.000	2,264.84	06/10/20	2,279.25	...	-14.41	Sale <sup>25</sup>
AMNEAL PHARMACEUTICALS, INC. C LASS A COMMON STOCK / CUSIP: 03168L105 / Symbol:							
2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	499.000	2,544.78	08/05/20	2,568.45	...	-23.67	1 of 2 - Sale <sup>25</sup>
	1.000	5.10	08/05/20	5.14	...	-0.04	2 of 2 - Sale <sup>25</sup>
08/05/20	500.000	2,549.88	Various	2,573.59	...	-23.71	Total of 2 transactions
AMPIO PHARMACEUTICALS, INC / CUSIP: 03209T109 / Symbol:							
03/30/20	1,000.000	395.18	03/27/20	439.90	...	-44.72	Sale <sup>25</sup>
APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol:							
03/27/20	150.000	506.96	03/26/20	479.82	...	27.14	Sale <sup>25</sup>
04/14/20	150.000	541.72	04/13/20	506.82	...	34.90	Sale <sup>25</sup>
04/15/20	150.000	603.14	04/14/20	547.50	...	55.64	Sale <sup>25</sup>
05/11/20	100.000	338.00	05/08/20	345.83	...	-7.83	Sale <sup>25</sup>
4 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	8.000	37.76	07/13/20	37.16	...	0.60	1 of 4 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol: (cont'd)							
	12.000	56.74	07/13/20	55.74	...	1.00	2 of 4 - Sale <sup>25</sup>
	30.000	141.60	07/13/20	139.35	...	2.25	3 of 4 - Sale <sup>25</sup>
	200.000	939.95	07/13/20	929.00	...	10.95	4 of 4 - Sale <sup>25</sup>
07/13/20	250.000	1,176.05	Various	1,161.25	...	14.80	Total of 4 transactions
	2 transactions for 07/27/20. Total proceeds and cost reported to the IRS.						
	300.000	1,725.46	07/27/20	1,728.00	1.58 W	-0.96	1 of 2 - Sale <sup>25</sup>
	200.000	1,150.31	07/27/20	1,152.00	1.69 W	0.00	2 of 2 - Sale <sup>25</sup>
07/27/20	500.000	2,875.77	Various	2,880.00	3.27 W	-0.96	Total of 2 transactions
	6 transactions for 07/29/20. Total proceeds and cost reported to the IRS.						
	147.000	782.00	07/27/20	872.95	...	-90.95	1 of 6 - Sale <sup>25</sup>
	3.000	15.96	07/27/20	17.79	...	-1.83	2 of 6 - Sale <sup>25</sup>
	100.000	502.20	07/29/20	505.85	...	-3.65	3 of 6 - Sale <sup>25</sup>
	65.000	326.44	07/29/20	329.45	...	-3.01	4 of 6 - Sale <sup>25</sup>
	50.000	251.10	07/29/20	252.92	...	-1.82	5 of 6 - Sale <sup>25</sup>
	10.000	50.22	07/29/20	50.68	...	-0.46	6 of 6 - Sale <sup>25</sup>
07/29/20	375.000	1,927.92	Various	2,029.64	...	-101.72	Total of 6 transactions
07/30/20	12.000	59.64	07/30/20	60.07	...	-0.43	Sale <sup>25</sup>
10/06/20	397.000	1,981.37	10/05/20	2,000.88	...	-19.51	Sale <sup>25</sup>
	3 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	1,000.000	4,990.87	10/05/20	5,040.00	44.07 W	-5.06	1 of 3 - Sale <sup>25</sup>
	3.000	14.97	10/05/20	15.12	0.15 W	0.00	2 of 3 - Sale <sup>25</sup>
	100.000	499.09	10/05/20	504.00	4.91 W	0.00	3 of 3 - Sale <sup>25</sup>
10/06/20	1,103.000	5,504.93	Various	5,559.12	49.13 W	-5.06	Total of 3 transactions
	2 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	897.000	4,961.36	10/08/20	5,112.03	...	-150.67	1 of 2 - Sale <sup>25</sup>
	3.000	16.59	10/08/20	17.10	...	-0.51	2 of 2 - Sale <sup>25</sup>
10/08/20	900.000	4,977.95	Various	5,129.13	...	-151.18	Total of 2 transactions
	2 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	97.000	536.51	10/08/20	552.80	8.90 W	-7.39	1 of 2 - Sale <sup>25</sup>
	3.000	16.59	10/08/20	17.10	0.51 W	0.00	2 of 2 - Sale <sup>25</sup>
10/08/20	100.000	553.10	Various	569.90	9.41 W	-7.39	Total of 2 transactions

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(continued)

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APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol: (cont'd)							
4 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	47.000	273.06	11/06/20	285.56	...	-12.50	1 of 4 - Sale <sup>25</sup>
	5.000	29.05	11/06/20	30.37	...	-1.32	2 of 4 - Sale <sup>25</sup>
	3.000	17.43	11/06/20	18.23	...	-0.80	3 of 4 - Sale <sup>25</sup>
	1.000	5.81	11/06/20	6.06	...	-0.25	4 of 4 - Sale <sup>25</sup>
11/06/20	56.000	325.35	Various	340.22	...	-14.87	Total of 4 transactions
	<b>Security total:</b>	<b>21,371.90</b>		<b>21,610.18</b>	<b>61.81 W</b>	<b>-176.47</b>	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
01/16/20	1.000	313.59	01/10/20	311.89	...	1.70	Sale <sup>25</sup>
2 transactions for 02/24/20. Total proceeds and cost reported to the IRS.							
	2.000	593.99	01/10/20	623.78	29.79 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	296.99	01/24/20	320.25	23.26 W	0.00	2 of 2 - Sale <sup>25</sup>
02/24/20	3.000	890.98	Various	944.03	53.05 W	0.00	Total of 2 transactions
3 transactions for 03/05/20. Total proceeds and cost reported to the IRS.							
	2.000	586.95	03/04/20	620.99	34.04 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	293.47	03/04/20	318.86	25.39 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	1,467.38	03/04/20	1,478.00	10.62 W	0.00	3 of 3 - Sale <sup>25</sup>
03/05/20	8.000	2,347.80	Various	2,417.85	70.05 W	0.00	Total of 3 transactions
03/17/20	2.000	502.25	03/16/20	497.29	...	4.96	Sale <sup>25</sup>
2 transactions for 03/17/20. Total proceeds and cost reported to the IRS.							
	2.000	502.24	03/16/20	527.08	24.84 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	251.12	03/16/20	271.91	20.79 W	0.00	2 of 2 - Sale <sup>25</sup>
03/17/20	3.000	753.36	Various	798.99	45.63 W	0.00	Total of 2 transactions
2 transactions for 03/23/20. Total proceeds and cost reported to the IRS.							
	5.000	1,070.52	03/20/20	1,145.00	...	-74.48	1 of 2 - Sale <sup>25</sup>
	4.000	856.42	03/20/20	914.60	...	-58.18	2 of 2 - Sale <sup>25</sup>
03/23/20	9.000	1,926.94	Various	2,059.60	...	-132.66	Total of 2 transactions
3 transactions for 03/23/20. Total proceeds and cost reported to the IRS.							
	3.000	642.32	03/20/20	692.32	33.33 W	-16.67	1 of 3 - Sale <sup>25</sup>
	2.000	428.21	03/20/20	482.14	53.93 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	214.10	03/20/20	249.44	35.34 W	0.00	3 of 3 - Sale <sup>25</sup>
03/23/20	6.000	1,284.63	Various	1,423.90	122.60 W	-16.67	Total of 3 transactions

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(continued)

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
3 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	2.000	567.22	04/13/20	592.78	...	-25.56	1 of 3 - Sale <sup>25</sup>
	1.000	283.61	04/13/20	304.77	...	-21.16	2 of 3 - Sale <sup>25</sup>
	2.000	567.23	04/13/20	572.18	...	-4.95	3 of 3 - Sale <sup>25</sup>
04/14/20	5.000	1,418.06	Various	1,469.73	...	-51.67	Total of 3 transactions
3 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	10.000	3,474.92	06/09/20	3,453.20	...	21.72	1 of 3 - Sale <sup>25</sup>
	5.000	1,737.46	06/09/20	1,704.08	...	33.38	2 of 3 - Sale <sup>25</sup>
	20.000	6,949.85	06/09/20	6,813.90	...	135.95	3 of 3 - Sale <sup>25</sup>
06/10/20	35.000	12,162.23	Various	11,971.18	...	191.05	Total of 3 transactions
06/17/20	10.000	3,544.63	06/16/20	3,521.90	...	22.73	Sale <sup>25</sup>
2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	5.000	1,811.61	06/29/20	1,795.89	...	15.72	1 of 2 - Sale <sup>25</sup>
	5.000	1,821.63	06/29/20	1,795.90	...	25.73	2 of 2 - Sale <sup>25</sup>
06/30/20	10.000	3,633.24	Various	3,591.79	...	41.45	Total of 2 transactions
07/01/20	5.000	1,823.80	06/30/20	1,823.71	...	0.09	Sale <sup>25</sup>
07/30/20	2.000	816.38	07/30/20	812.18	...	4.20	Sale <sup>25</sup>
3 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	1.000	406.56	07/30/20	406.09	...	0.47	1 of 3 - Sale <sup>25</sup>
	4.000	1,626.26	07/30/20	1,623.56	...	2.70	2 of 3 - Sale <sup>25</sup>
	14.000	5,937.34	07/31/20	5,907.44	...	29.90	3 of 3 - Sale <sup>25</sup>
07/31/20	19.000	7,970.16	Various	7,937.09	...	33.07	Total of 3 transactions
5 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	1.000	438.89	07/31/20	421.96	...	16.93	1 of 5 - Sale <sup>25</sup>
	4.000	1,755.56	07/31/20	1,694.92	...	60.64	2 of 5 - Sale <sup>25</sup>
	5.000	2,194.45	07/31/20	2,110.15	...	84.30	3 of 5 - Sale <sup>25</sup>
	5.000	2,196.50	07/31/20	2,109.80	...	86.70	4 of 5 - Sale <sup>25</sup>
	15.000	6,583.35	07/31/20	6,348.05	...	235.30	5 of 5 - Sale <sup>25</sup>
08/03/20	30.000	13,168.75	Various	12,684.88	...	483.87	Total of 5 transactions
08/06/20	0.395	180.32	08/06/20	180.00	...	0.32	Sale <sup>25</sup>
08/06/20	3.745	1,702.92	08/06/20	1,710.00	7.08 W	0.00	Sale <sup>25</sup>
08/14/20	17.255	7,909.50	08/14/20	7,885.06	...	24.44	Sale <sup>25</sup>
08/14/20	3.745	1,716.52	08/14/20	1,718.30	1.78 W	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
3 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	3.745	1,858.40	08/21/20	1,854.87	...	3.53	1 of 3 - Sale <sup>25</sup>
	26.000	12,903.00	08/21/20	12,896.00	...	7.00	2 of 3 - Sale <sup>25</sup>
	30.255	15,014.74	08/21/20	14,971.81	...	42.93	3 of 3 - Sale <sup>25</sup>
08/21/20	60.000	29,776.14	Various	29,722.68	...	53.46	Total of 3 transactions
4 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	0.000	0.02	09/15/20	0.02	...	0.00	1 of 4 - Sale <sup>25</sup>
	0.000	0.02	09/15/20	0.02	...	0.00	2 of 4 - Sale <sup>25</sup>
	0.341	39.94	09/15/20	39.90	...	0.04	3 of 4 - Sale <sup>25</sup>
	1.000	117.17	09/15/20	117.06	...	0.11	4 of 4 - Sale <sup>25</sup>
09/15/20	1.341	157.15	Various	157.00	...	0.15	Total of 4 transactions
3 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	38.000	4,439.41	09/15/20	4,453.60	14.19 W	0.00	1 of 3 - Sale <sup>25</sup>
	2.000	233.65	09/15/20	234.34	0.69 W	0.00	2 of 3 - Sale <sup>25</sup>
	3.000	350.48	09/15/20	352.05	1.57 W	0.00	3 of 3 - Sale <sup>25</sup>
09/15/20	43.000	5,023.54	Various	5,039.99	16.45 W	0.00	Total of 3 transactions
8 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	2.000	217.49	09/18/20	219.69	2.20 W	0.00	1 of 8 - Sale <sup>25</sup>
	3.000	326.24	09/18/20	330.07	3.83 W	0.00	2 of 8 - Sale <sup>25</sup>
	38.000	4,132.32	09/18/20	4,175.19	42.87 W	0.00	3 of 8 - Sale <sup>25</sup>
	6.000	652.47	09/18/20	657.00	4.53 W	0.00	4 of 8 - Sale <sup>25</sup>
	1.000	108.74	09/18/20	109.50	0.76 W	0.00	5 of 8 - Sale <sup>25</sup>
	15.000	1,631.18	09/18/20	1,633.79	2.61 W	0.00	6 of 8 - Sale <sup>25</sup>
	30.000	3,218.45	09/18/20	3,288.84	70.39 W	0.00	7 of 8 - Sale <sup>25</sup>
	5.000	536.41	09/18/20	540.59	4.18 W	0.00	8 of 8 - Sale <sup>25</sup>
09/18/20	100.000	10,823.30	Various	10,954.67	131.37 W	0.00	Total of 8 transactions
09/25/20	0.280	31.24	09/25/20	31.32	0.08 W	0.00	Sale <sup>25</sup>
6 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	0.280	31.72	09/25/20	31.32	...	0.40	1 of 6 - Sale <sup>25</sup>
	1.000	113.15	09/25/20	112.31	...	0.84	2 of 6 - Sale <sup>25</sup>
	3.000	339.44	09/25/20	338.48	...	0.96	3 of 6 - Sale <sup>25</sup>
	2.000	226.30	09/25/20	225.30	...	1.00	4 of 6 - Sale <sup>25</sup>
	2.720	307.73	09/25/20	306.45	...	1.28	5 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	6.000	678.88	09/25/20	673.83	...	5.05	6 of 6 - Sale <sup>25</sup>
09/28/20	15.000	1,697.22	Various	1,687.69	...	9.53	Total of 6 transactions
	9 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	30.000	3,734.91	10/12/20	3,756.87	...	-21.96	1 of 9 - Sale <sup>25</sup>
	40.000	4,982.28	10/12/20	4,984.00	...	-1.72	2 of 9 - Sale <sup>25</sup>
	10.000	1,245.67	10/12/20	1,246.00	...	-0.33	3 of 9 - Sale <sup>25</sup>
	2.000	248.99	10/12/20	249.20	...	-0.21	4 of 9 - Sale <sup>25</sup>
	0.280	34.90	10/12/20	34.53	...	0.37	5 of 9 - Sale <sup>25</sup>
	5.000	622.49	10/12/20	618.59	...	3.90	6 of 9 - Sale <sup>25</sup>
	14.720	1,832.56	10/12/20	1,811.35	...	21.21	7 of 9 - Sale <sup>25</sup>
	20.000	2,489.94	10/12/20	2,450.00	...	39.94	8 of 9 - Sale <sup>25</sup>
	30.000	3,734.91	10/12/20	3,686.49	...	48.42	9 of 9 - Sale <sup>25</sup>
10/12/20	152.000	18,926.65	Various	18,837.03	...	89.62	Total of 9 transactions
	5 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	0.280	34.30	10/12/20	34.49	0.19 W	0.00	1 of 5 - Sale <sup>25</sup>
	5.000	611.84	10/12/20	617.93	6.09 W	0.00	2 of 5 - Sale <sup>25</sup>
	14.720	1,801.21	10/12/20	1,809.40	8.19 W	0.00	3 of 5 - Sale <sup>25</sup>
	30.000	3,671.02	10/12/20	3,752.89	81.87 W	0.00	4 of 5 - Sale <sup>25</sup>
	30.000	3,671.01	10/12/20	3,682.50	11.49 W	0.00	5 of 5 - Sale <sup>25</sup>
10/12/20	80.000	9,789.38	Various	9,897.21	107.83 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>140,290.68</b>		<b>140,086.96</b>	<b>555.92 W</b>	<b>759.64</b>	
APPLIED DNA SCIENCES, INC. COM MON STOCK / CUSIP: 03815U300 / Symbol:							
	22 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	283.000	2,592.19	06/25/20	2,745.84	...	-153.65	1 of 22 - Sale <sup>25</sup>
	298.000	2,729.58	06/25/20	2,836.23	...	-106.65	2 of 22 - Sale <sup>25</sup>
	146.000	1,341.68	06/25/20	1,401.63	...	-59.95	3 of 22 - Sale <sup>25</sup>
	101.000	925.13	06/25/20	976.93	...	-51.80	4 of 22 - Sale <sup>25</sup>
	99.000	906.81	06/25/20	957.71	...	-50.90	5 of 22 - Sale <sup>25</sup>
	100.000	918.97	06/25/20	959.91	...	-40.94	6 of 22 - Sale <sup>25</sup>
	93.000	851.84	06/25/20	892.63	...	-40.79	7 of 22 - Sale <sup>25</sup>
	94.000	861.95	06/25/20	902.30	...	-40.35	8 of 22 - Sale <sup>25</sup>
	101.000	924.12	06/25/20	961.27	...	-37.15	9 of 22 - Sale <sup>25</sup>
	93.000	850.92	06/25/20	885.13	...	-34.21	10 of 22 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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APPLIED DNA SCIENCES, INC. COM MON STOCK / CUSIP: 03815U300 / Symbol: (cont'd)							
	43.000	395.15	06/25/20	412.77	...	-17.62	11 of 22 - Sale <sup>25</sup>
	16.000	146.72	06/25/20	155.24	...	-8.52	12 of 22 - Sale <sup>25</sup>
	6.000	55.02	06/25/20	57.59	...	-2.57	13 of 22 - Sale <sup>25</sup>
	6.000	54.96	06/25/20	57.10	...	-2.14	14 of 22 - Sale <sup>25</sup>
	5.000	45.90	06/25/20	48.00	...	-2.10	15 of 22 - Sale <sup>25</sup>
	1.000	9.17	06/25/20	9.85	...	-0.68	16 of 22 - Sale <sup>25</sup>
	1.000	9.16	06/25/20	9.73	...	-0.57	17 of 22 - Sale <sup>25</sup>
	1.000	9.16	06/25/20	9.62	...	-0.46	18 of 22 - Sale <sup>25</sup>
	1.000	9.17	06/25/20	9.60	...	-0.43	19 of 22 - Sale <sup>25</sup>
	1.000	9.16	06/25/20	9.53	...	-0.37	20 of 22 - Sale <sup>25</sup>
	100.000	910.97	06/25/20	860.00	...	50.97	21 of 22 - Sale <sup>25</sup>
	400.000	3,643.86	06/25/20	3,452.00	...	191.86	22 of 22 - Sale <sup>25</sup>
06/25/20	1,989.000	18,201.59	Various	18,610.61	...	-409.02	Total of 22 transactions
	14 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	11.000	101.09	06/25/20	105.49	0.40 W	-4.00	1 of 14 - Sale <sup>25</sup>
	100.000	910.98	06/25/20	923.00	12.02 W	0.00	2 of 14 - Sale <sup>25</sup>
	399.000	3,634.80	06/25/20	3,682.77	47.97 W	0.00	3 of 14 - Sale <sup>25</sup>
	1.000	9.11	06/25/20	9.24	0.13 W	0.00	4 of 14 - Sale <sup>25</sup>
	500.000	4,554.88	06/25/20	4,668.15	113.27 W	0.00	5 of 14 - Sale <sup>25</sup>
	200.000	1,821.96	06/25/20	1,878.00	56.04 W	0.00	6 of 14 - Sale <sup>25</sup>
	200.000	1,821.95	06/25/20	1,877.96	56.01 W	0.00	7 of 14 - Sale <sup>25</sup>
	99.000	901.87	06/25/20	929.51	27.64 W	0.00	8 of 14 - Sale <sup>25</sup>
	1.000	9.10	06/25/20	9.51	0.41 W	0.00	9 of 14 - Sale <sup>25</sup>
	1.000	9.10	06/25/20	9.26	0.16 W	0.00	10 of 14 - Sale <sup>25</sup>
	99.000	900.88	06/25/20	915.48	14.60 W	0.00	11 of 14 - Sale <sup>25</sup>
	100.000	909.98	06/25/20	935.36	25.38 W	0.00	12 of 14 - Sale <sup>25</sup>
	399.000	3,630.81	06/25/20	3,689.68	58.87 W	0.00	13 of 14 - Sale <sup>25</sup>
	400.000	3,639.90	06/25/20	3,740.94	101.04 W	0.00	14 of 14 - Sale <sup>25</sup>
06/25/20	2,510.000	22,856.41	Various	23,374.35	513.94 W	-4.00	Total of 14 transactions
	<b>Security total:</b>	<b>41,058.00</b>		<b>41,984.96</b>	<b>513.94 W</b>	<b>-413.02</b>	

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(continued)

03/15/2021

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ARBUS BIOPHARMA CORPORATION COMMON STOCK / CUSIP: 03879J100 / Symbol:							
5 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	70.000	381.48	07/23/20	388.49	7.01 W	0.00	1 of 5 - Sale <sup>25</sup>
	30.000	163.49	07/23/20	166.50	3.01 W	0.00	2 of 5 - Sale <sup>25</sup>
	70.000	381.48	07/23/20	395.50	14.02 W	0.00	3 of 5 - Sale <sup>25</sup>
	80.000	435.98	07/23/20	443.99	8.01 W	0.00	4 of 5 - Sale <sup>25</sup>
	22.000	119.89	07/23/20	128.27	8.38 W	0.00	5 of 5 - Sale <sup>25</sup>
07/23/20	272.000	1,482.32	Various	1,522.75	40.43 W	0.00	Total of 5 transactions
118 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	57.000	323.17	07/24/20	597.54	...	-274.37	1 of 118 - Sale <sup>25</sup>
	59.000	334.51	07/24/20	563.57	...	-229.06	2 of 118 - Sale <sup>25</sup>
	60.000	340.19	07/24/20	563.55	...	-223.36	3 of 118 - Sale <sup>25</sup>
	50.000	283.48	07/24/20	472.10	...	-188.62	4 of 118 - Sale <sup>25</sup>
	50.000	283.49	07/24/20	469.59	...	-186.10	5 of 118 - Sale <sup>25</sup>
	49.000	277.82	07/24/20	462.67	...	-184.85	6 of 118 - Sale <sup>25</sup>
	43.000	243.80	07/24/20	408.93	...	-165.13	7 of 118 - Sale <sup>25</sup>
	37.000	210.15	07/24/20	363.01	...	-152.86	8 of 118 - Sale <sup>25</sup>
	40.000	226.79	07/24/20	377.69	...	-150.90	9 of 118 - Sale <sup>25</sup>
	40.000	226.79	07/24/20	377.68	...	-150.89	10 of 118 - Sale <sup>25</sup>
	42.000	238.55	07/24/20	384.81	...	-146.26	11 of 118 - Sale <sup>25</sup>
	37.000	209.78	07/24/20	352.23	...	-142.45	12 of 118 - Sale <sup>25</sup>
	33.000	187.43	07/24/20	323.78	...	-136.35	13 of 118 - Sale <sup>25</sup>
	33.000	187.10	07/24/20	314.18	...	-127.08	14 of 118 - Sale <sup>25</sup>
	31.000	176.07	07/24/20	302.58	...	-126.51	15 of 118 - Sale <sup>25</sup>
	32.000	181.75	07/24/20	293.20	...	-111.45	16 of 118 - Sale <sup>25</sup>
	25.000	141.74	07/24/20	234.81	...	-93.07	17 of 118 - Sale <sup>25</sup>
	24.000	136.07	07/24/20	226.35	...	-90.28	18 of 118 - Sale <sup>25</sup>
	20.000	113.39	07/24/20	198.05	...	-84.66	19 of 118 - Sale <sup>25</sup>
	20.000	113.59	07/24/20	196.25	...	-82.66	20 of 118 - Sale <sup>25</sup>
	20.000	113.59	07/24/20	195.23	...	-81.64	21 of 118 - Sale <sup>25</sup>
	21.000	119.06	07/24/20	200.62	...	-81.56	22 of 118 - Sale <sup>25</sup>
	19.000	107.73	07/24/20	188.15	...	-80.42	23 of 118 - Sale <sup>25</sup>
	19.000	107.91	07/24/20	185.46	...	-77.55	24 of 118 - Sale <sup>25</sup>
	20.000	113.39	07/24/20	190.61	...	-77.22	25 of 118 - Sale <sup>25</sup>
	20.000	113.39	07/24/20	190.43	...	-77.04	26 of 118 - Sale <sup>25</sup>

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(continued)

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ARBUTUS BIOPHARMA CORPORATION COMMON STOCK / CUSIP: 03879J100 / Symbol: (cont'd)							
20.000		113.39	07/24/20	190.41	...	-77.02	27 of 118 - Sale <sup>25</sup>
20.000		113.39	07/24/20	189.23	...	-75.84	28 of 118 - Sale <sup>25</sup>
17.000		96.39	07/24/20	161.65	...	-65.26	29 of 118 - Sale <sup>25</sup>
17.000		96.38	07/24/20	160.15	...	-63.77	30 of 118 - Sale <sup>25</sup>
18.000		102.23	07/24/20	164.92	...	-62.69	31 of 118 - Sale <sup>25</sup>
15.000		85.19	07/24/20	146.42	...	-61.23	32 of 118 - Sale <sup>25</sup>
17.000		96.55	07/24/20	155.73	...	-59.18	33 of 118 - Sale <sup>25</sup>
14.000		79.52	07/24/20	138.65	...	-59.13	34 of 118 - Sale <sup>25</sup>
15.000		85.04	07/24/20	141.94	...	-56.90	35 of 118 - Sale <sup>25</sup>
15.000		85.05	07/24/20	140.86	...	-55.81	36 of 118 - Sale <sup>25</sup>
11.000		62.37	07/24/20	115.32	...	-52.95	37 of 118 - Sale <sup>25</sup>
14.000		79.52	07/24/20	128.27	...	-48.75	38 of 118 - Sale <sup>25</sup>
10.000		56.70	07/24/20	104.83	...	-48.13	39 of 118 - Sale <sup>25</sup>
12.000		68.04	07/24/20	114.62	...	-46.58	40 of 118 - Sale <sup>25</sup>
12.000		68.04	07/24/20	114.22	...	-46.18	41 of 118 - Sale <sup>25</sup>
9.000		51.03	07/24/20	94.35	...	-43.32	42 of 118 - Sale <sup>25</sup>
10.000		56.79	07/24/20	99.02	...	-42.23	43 of 118 - Sale <sup>25</sup>
12.000		68.16	07/24/20	109.93	...	-41.77	44 of 118 - Sale <sup>25</sup>
10.000		56.80	07/24/20	98.09	...	-41.29	45 of 118 - Sale <sup>25</sup>
10.000		56.70	07/24/20	97.21	...	-40.51	46 of 118 - Sale <sup>25</sup>
10.000		56.70	07/24/20	95.17	...	-38.47	47 of 118 - Sale <sup>25</sup>
10.000		56.70	07/24/20	94.50	...	-37.80	48 of 118 - Sale <sup>25</sup>
10.000		56.69	07/24/20	94.41	...	-37.72	49 of 118 - Sale <sup>25</sup>
10.000		56.70	07/24/20	94.41	...	-37.71	50 of 118 - Sale <sup>25</sup>
10.000		56.70	07/24/20	94.40	...	-37.70	51 of 118 - Sale <sup>25</sup>
10.000		56.70	07/24/20	94.31	...	-37.61	52 of 118 - Sale <sup>25</sup>
9.000		51.12	07/24/20	87.85	...	-36.73	53 of 118 - Sale <sup>25</sup>
10.000		56.80	07/24/20	91.61	...	-34.81	54 of 118 - Sale <sup>25</sup>
8.000		45.44	07/24/20	79.22	...	-33.78	55 of 118 - Sale <sup>25</sup>
8.000		45.44	07/24/20	79.21	...	-33.77	56 of 118 - Sale <sup>25</sup>
9.000		51.03	07/24/20	84.79	...	-33.76	57 of 118 - Sale <sup>25</sup>
9.000		51.03	07/24/20	84.79	...	-33.76	58 of 118 - Sale <sup>25</sup>
8.000		45.36	07/24/20	76.15	...	-30.79	59 of 118 - Sale <sup>25</sup>
8.000		45.36	07/24/20	76.01	...	-30.65	60 of 118 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ARBUS BIOPHARMA CORPORATION COMMON STOCK / CUSIP: 03879J100 / Symbol: (cont'd)							
	6.000	34.02	07/24/20	57.31	...	-23.29	61 of 118 - Sale <sup>25</sup>
	6.000	34.02	07/24/20	57.31	...	-23.29	62 of 118 - Sale <sup>25</sup>
	6.000	34.02	07/24/20	56.58	...	-22.56	63 of 118 - Sale <sup>25</sup>
	5.000	28.40	07/24/20	48.80	...	-20.40	64 of 118 - Sale <sup>25</sup>
	5.000	28.35	07/24/20	47.75	...	-19.40	65 of 118 - Sale <sup>25</sup>
	5.000	28.35	07/24/20	47.26	...	-18.91	66 of 118 - Sale <sup>25</sup>
	4.000	22.68	07/24/20	37.69	...	-15.01	67 of 118 - Sale <sup>25</sup>
	3.000	17.01	07/24/20	31.45	...	-14.44	68 of 118 - Sale <sup>25</sup>
	20.000	113.40	07/24/20	125.22	...	-11.82	69 of 118 - Sale <sup>25</sup>
	3.000	17.04	07/24/20	27.48	...	-10.44	70 of 118 - Sale <sup>25</sup>
	2.000	11.34	07/24/20	19.10	...	-7.76	71 of 118 - Sale <sup>25</sup>
	10.000	56.70	07/24/20	62.59	...	-5.89	72 of 118 - Sale <sup>25</sup>
	1.000	5.68	07/24/20	9.90	...	-4.22	73 of 118 - Sale <sup>25</sup>
	1.000	5.68	07/24/20	9.76	...	-4.08	74 of 118 - Sale <sup>25</sup>
	1.000	5.67	07/24/20	9.50	...	-3.83	75 of 118 - Sale <sup>25</sup>
	1.000	5.67	07/24/20	9.44	...	-3.77	76 of 118 - Sale <sup>25</sup>
	1.000	5.67	07/24/20	9.43	...	-3.76	77 of 118 - Sale <sup>25</sup>
	1.000	5.68	07/24/20	9.16	...	-3.48	78 of 118 - Sale <sup>25</sup>
	1.000	5.68	07/24/20	9.16	...	-3.48	79 of 118 - Sale <sup>25</sup>
	17.000	104.55	07/24/20	103.88	...	0.67	80 of 118 - Sale <sup>25</sup>
	38.000	233.70	07/24/20	232.20	...	1.50	81 of 118 - Sale <sup>25</sup>
	38.000	233.69	07/24/20	232.19	...	1.50	82 of 118 - Sale <sup>25</sup>
	42.000	258.29	07/24/20	256.64	...	1.65	83 of 118 - Sale <sup>25</sup>
	42.000	258.29	07/24/20	256.64	...	1.65	84 of 118 - Sale <sup>25</sup>
	42.000	258.29	07/24/20	256.64	...	1.65	85 of 118 - Sale <sup>25</sup>
	42.000	258.29	07/24/20	256.64	...	1.65	86 of 118 - Sale <sup>25</sup>
	45.000	276.74	07/24/20	274.96	...	1.78	87 of 118 - Sale <sup>25</sup>
	58.000	356.68	07/24/20	354.41	...	2.27	88 of 118 - Sale <sup>25</sup>
	58.000	356.68	07/24/20	354.41	...	2.27	89 of 118 - Sale <sup>25</sup>
	58.000	356.68	07/24/20	354.41	...	2.27	90 of 118 - Sale <sup>25</sup>
	58.000	356.68	07/24/20	354.40	...	2.28	91 of 118 - Sale <sup>25</sup>
	80.000	455.98	07/24/20	453.60	...	2.38	92 of 118 - Sale <sup>25</sup>
	62.000	381.28	07/24/20	378.85	...	2.43	93 of 118 - Sale <sup>25</sup>
	22.000	186.99	07/24/20	184.38	...	2.61	94 of 118 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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ARBUS BIOPHARMA CORPORATION COMMON STOCK / CUSIP: 03879J100 / Symbol: (cont'd)							
	100.000	614.97	07/24/20	611.05	...	3.92	95 of 118 - Sale <sup>25</sup>
	100.000	614.97	07/24/20	611.04	...	3.93	96 of 118 - Sale <sup>25</sup>
	100.000	594.97	07/24/20	590.00	...	4.97	97 of 118 - Sale <sup>25</sup>
	100.000	594.98	07/24/20	590.00	...	4.98	98 of 118 - Sale <sup>25</sup>
	8.000	68.00	07/24/20	62.80	...	5.20	99 of 118 - Sale <sup>25</sup>
	100.000	824.96	07/24/20	817.87	...	7.09	100 of 118 - Sale <sup>25</sup>
	50.000	297.49	07/24/20	287.50	...	9.99	101 of 118 - Sale <sup>25</sup>
	38.000	313.50	07/24/20	303.24	...	10.26	102 of 118 - Sale <sup>25</sup>
	41.000	338.25	07/24/20	327.18	...	11.07	103 of 118 - Sale <sup>25</sup>
	22.000	186.99	07/24/20	172.70	...	14.29	104 of 118 - Sale <sup>25</sup>
	66.000	544.48	07/24/20	526.68	...	17.80	105 of 118 - Sale <sup>25</sup>
	100.000	594.97	07/24/20	575.00	...	19.97	106 of 118 - Sale <sup>25</sup>
	45.000	382.49	07/24/20	360.00	...	22.49	107 of 118 - Sale <sup>25</sup>
	75.000	637.47	07/24/20	614.86	...	22.61	108 of 118 - Sale <sup>25</sup>
	58.000	492.98	07/24/20	469.81	...	23.17	109 of 118 - Sale <sup>25</sup>
	100.000	824.97	07/24/20	797.99	...	26.98	110 of 118 - Sale <sup>25</sup>
	100.000	849.97	07/24/20	817.87	...	32.10	111 of 118 - Sale <sup>25</sup>
	100.000	849.97	07/24/20	817.87	...	32.10	112 of 118 - Sale <sup>25</sup>
	70.000	594.98	07/24/20	556.52	...	38.46	113 of 118 - Sale <sup>25</sup>
	200.000	1,189.95	07/24/20	1,150.00	...	39.95	114 of 118 - Sale <sup>25</sup>
	155.000	1,278.70	07/24/20	1,236.90	...	41.80	115 of 118 - Sale <sup>25</sup>
	300.000	1,784.93	07/24/20	1,740.00	...	44.93	116 of 118 - Sale <sup>25</sup>
	155.000	953.21	07/24/20	899.00	...	54.21	117 of 118 - Sale <sup>25</sup>
	1,085.000	6,672.47	07/24/20	6,293.00	...	379.47	118 of 118 - Sale <sup>25</sup>
07/24/20	5,360.000	34,318.27	Various	38,743.74	...	-4,425.47	Total of 118 transactions
	692 transactions for 07/24/20. Total proceeds and cost reported to the IRS.						
	32.000	181.75	07/24/20	293.19	6.97 W	-104.47	1 of 692 - Sale <sup>25</sup>
	100.000	824.97	07/24/20	888.00	63.03 W	0.00	2 of 692 - Sale <sup>25</sup>
	295.000	2,433.65	07/24/20	2,619.60	185.95 W	0.00	3 of 692 - Sale <sup>25</sup>
	5.000	41.25	07/24/20	44.40	3.15 W	0.00	4 of 692 - Sale <sup>25</sup>
	100.000	824.97	07/24/20	888.00	63.03 W	0.00	5 of 692 - Sale <sup>25</sup>
	5.000	40.00	07/24/20	44.65	4.65 W	0.00	6 of 692 - Sale <sup>25</sup>
	100.000	799.97	07/24/20	893.03	93.06 W	0.00	7 of 692 - Sale <sup>25</sup>
	100.000	799.97	07/24/20	893.03	93.06 W	0.00	8 of 692 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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ARBUS BIOPHARMA CORPORATION COMMON STOCK / CUSIP: 03879J100 / Symbol: (cont'd)							
295.000		2,359.91	07/24/20	2,634.45	274.54 W	0.00	9 of 692 - Sale <sup>25</sup>
100.000		551.99	07/24/20	923.06	371.07 W	0.00	10 of 692 - Sale <sup>25</sup>
130.000		717.58	07/24/20	1,199.98	482.40 W	0.00	11 of 692 - Sale <sup>25</sup>
5.000		27.60	07/24/20	46.15	18.55 W	0.00	12 of 692 - Sale <sup>25</sup>
100.000		551.99	07/24/20	923.06	371.07 W	0.00	13 of 692 - Sale <sup>25</sup>
165.000		910.78	07/24/20	1,523.06	612.28 W	0.00	14 of 692 - Sale <sup>25</sup>
100.000		551.99	07/24/20	820.00	268.01 W	0.00	15 of 692 - Sale <sup>25</sup>
200.000		1,103.97	07/24/20	1,640.00	536.03 W	0.00	16 of 692 - Sale <sup>25</sup>
10.000		55.20	07/24/20	82.00	26.80 W	0.00	17 of 692 - Sale <sup>25</sup>
190.000		1,048.77	07/24/20	1,558.00	509.23 W	0.00	18 of 692 - Sale <sup>25</sup>
100.000		551.98	07/24/20	810.00	258.02 W	0.00	19 of 692 - Sale <sup>25</sup>
100.000		551.99	07/24/20	810.00	258.01 W	0.00	20 of 692 - Sale <sup>25</sup>
200.000		1,103.97	07/24/20	1,620.00	516.03 W	0.00	21 of 692 - Sale <sup>25</sup>
100.000		551.98	07/24/20	810.00	258.02 W	0.00	22 of 692 - Sale <sup>25</sup>
200.000		1,103.97	07/24/20	1,594.00	490.03 W	0.00	23 of 692 - Sale <sup>25</sup>
100.000		594.97	07/24/20	909.88	314.91 W	0.00	24 of 692 - Sale <sup>25</sup>
100.000		594.98	07/24/20	904.88	309.90 W	0.00	25 of 692 - Sale <sup>25</sup>
5.000		29.75	07/24/20	45.95	16.20 W	0.00	26 of 692 - Sale <sup>25</sup>
30.000		178.49	07/24/20	275.71	97.22 W	0.00	27 of 692 - Sale <sup>25</sup>
165.000		981.71	07/24/20	1,516.39	534.68 W	0.00	28 of 692 - Sale <sup>25</sup>
100.000		594.97	07/24/20	936.07	341.10 W	0.00	29 of 692 - Sale <sup>25</sup>
100.000		594.98	07/24/20	833.01	238.03 W	0.00	30 of 692 - Sale <sup>25</sup>
122.000		725.87	07/24/20	1,016.28	290.41 W	0.00	31 of 692 - Sale <sup>25</sup>
10.000		59.50	07/24/20	83.30	23.80 W	0.00	32 of 692 - Sale <sup>25</sup>
78.000		464.08	07/24/20	649.75	185.67 W	0.00	33 of 692 - Sale <sup>25</sup>
190.000		1,130.45	07/24/20	1,582.73	452.28 W	0.00	34 of 692 - Sale <sup>25</sup>
100.000		594.97	07/24/20	838.02	243.05 W	0.00	35 of 692 - Sale <sup>25</sup>
100.000		594.98	07/24/20	838.01	243.03 W	0.00	36 of 692 - Sale <sup>25</sup>
100.000		594.97	07/24/20	838.02	243.05 W	0.00	37 of 692 - Sale <sup>25</sup>
200.000		1,189.95	07/24/20	1,676.03	486.08 W	0.00	38 of 692 - Sale <sup>25</sup>
200.000		1,189.95	07/24/20	1,650.03	460.08 W	0.00	39 of 692 - Sale <sup>25</sup>
5.000		29.75	07/24/20	44.95	15.20 W	0.00	40 of 692 - Sale <sup>25</sup>
30.000		178.49	07/24/20	269.72	91.23 W	0.00	41 of 692 - Sale <sup>25</sup>
100.000		594.97	07/24/20	889.91	294.94 W	0.00	42 of 692 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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ARBUS BIOPHARMA CORPORATION COMMON STOCK / CUSIP: 03879J100 / Symbol: (cont'd)							
	100.000	594.97	07/24/20	884.90	289.93 W	0.00	43 of 692 - Sale <sup>25</sup>
	100.000	594.98	07/24/20	916.10	321.12 W	0.00	44 of 692 - Sale <sup>25</sup>
	165.000	981.71	07/24/20	1,483.43	501.72 W	0.00	45 of 692 - Sale <sup>25</sup>
	50.000	297.49	07/24/20	406.52	109.03 W	0.00	46 of 692 - Sale <sup>25</sup>
	100.000	594.97	07/24/20	813.03	218.06 W	0.00	47 of 692 - Sale <sup>25</sup>
	10.000	59.50	07/24/20	81.18	21.68 W	0.00	48 of 692 - Sale <sup>25</sup>
	40.000	237.99	07/24/20	324.74	86.75 W	0.00	49 of 692 - Sale <sup>25</sup>
	72.000	428.38	07/24/20	584.53	156.15 W	0.00	50 of 692 - Sale <sup>25</sup>
	78.000	464.08	07/24/20	633.23	169.15 W	0.00	51 of 692 - Sale <sup>25</sup>
	100.000	586.97	07/24/20	836.03	249.06 W	0.00	52 of 692 - Sale <sup>25</sup>
	50.000	293.48	07/24/20	420.52	127.04 W	0.00	53 of 692 - Sale <sup>25</sup>
	50.000	293.49	07/24/20	418.02	124.53 W	0.00	54 of 692 - Sale <sup>25</sup>
	50.000	293.49	07/24/20	420.52	127.03 W	0.00	55 of 692 - Sale <sup>25</sup>
	50.000	293.48	07/24/20	420.51	127.03 W	0.00	56 of 692 - Sale <sup>25</sup>
	50.000	293.49	07/24/20	420.51	127.02 W	0.00	57 of 692 - Sale <sup>25</sup>
	50.000	293.48	07/24/20	420.52	127.04 W	0.00	58 of 692 - Sale <sup>25</sup>
	100.000	586.97	07/24/20	841.03	254.06 W	0.00	59 of 692 - Sale <sup>25</sup>
	50.000	293.48	07/24/20	420.52	127.04 W	0.00	60 of 692 - Sale <sup>25</sup>
	50.000	293.49	07/24/20	420.51	127.02 W	0.00	61 of 692 - Sale <sup>25</sup>
	50.000	293.49	07/24/20	420.52	127.03 W	0.00	62 of 692 - Sale <sup>25</sup>
	50.000	293.48	07/24/20	414.02	120.54 W	0.00	63 of 692 - Sale <sup>25</sup>
	17.000	99.79	07/24/20	140.76	40.97 W	0.00	64 of 692 - Sale <sup>25</sup>
	100.000	586.97	07/24/20	828.03	241.06 W	0.00	65 of 692 - Sale <sup>25</sup>
	67.000	393.27	07/24/20	598.26	204.99 W	0.00	66 of 692 - Sale <sup>25</sup>
	33.000	193.70	07/24/20	273.25	79.55 W	0.00	67 of 692 - Sale <sup>25</sup>
	5.000	29.35	07/24/20	45.10	15.75 W	0.00	68 of 692 - Sale <sup>25</sup>
	30.000	176.09	07/24/20	270.63	94.54 W	0.00	69 of 692 - Sale <sup>25</sup>
	33.000	193.70	07/24/20	294.67	100.97 W	0.00	70 of 692 - Sale <sup>25</sup>
	100.000	586.97	07/24/20	887.92	300.95 W	0.00	71 of 692 - Sale <sup>25</sup>
	67.000	393.27	07/24/20	615.80	222.53 W	0.00	72 of 692 - Sale <sup>25</sup>
	165.000	968.51	07/24/20	1,488.40	519.89 W	0.00	73 of 692 - Sale <sup>25</sup>
	33.000	193.70	07/24/20	303.31	109.61 W	0.00	74 of 692 - Sale <sup>25</sup>
	67.000	393.27	07/24/20	546.75	153.48 W	0.00	75 of 692 - Sale <sup>25</sup>
	50.000	293.49	07/24/20	408.02	114.53 W	0.00	76 of 692 - Sale <sup>25</sup>

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARBUS BIOPHARMA CORPORATION COMMON STOCK / CUSIP: 03879J100 / Symbol: (cont'd)							
	17.000	99.78	07/24/20	138.53	38.75 W	0.00	77 of 692 - Sale <sup>25</sup>
	33.000	193.70	07/24/20	269.30	75.60 W	0.00	78 of 692 - Sale <sup>25</sup>
	55.000	322.83	07/24/20	448.17	125.34 W	0.00	79 of 692 - Sale <sup>25</sup>
	45.000	264.14	07/24/20	366.68	102.54 W	0.00	80 of 692 - Sale <sup>25</sup>
	10.000	58.70	07/24/20	81.48	22.78 W	0.00	81 of 692 - Sale <sup>25</sup>
	40.000	234.79	07/24/20	325.95	91.16 W	0.00	82 of 692 - Sale <sup>25</sup>
	33.000	193.70	07/24/20	268.90	75.20 W	0.00	83 of 692 - Sale <sup>25</sup>
	17.000	99.78	07/24/20	101.66	1.88 W	0.00	84 of 692 - Sale <sup>25</sup>
	100.000	586.97	07/24/20	597.99	11.02 W	0.00	85 of 692 - Sale <sup>25</sup>
	100.000	586.97	07/24/20	597.99	11.02 W	0.00	86 of 692 - Sale <sup>25</sup>
	100.000	586.97	07/24/20	597.99	11.02 W	0.00	87 of 692 - Sale <sup>25</sup>
	100.000	586.97	07/24/20	597.99	11.02 W	0.00	88 of 692 - Sale <sup>25</sup>
	100.000	586.97	07/24/20	597.99	11.02 W	0.00	89 of 692 - Sale <sup>25</sup>
	100.000	586.97	07/24/20	597.99	11.02 W	0.00	90 of 692 - Sale <sup>25</sup>
	100.000	586.97	07/24/20	597.99	11.02 W	0.00	91 of 692 - Sale <sup>25</sup>
	83.000	487.20	07/24/20	496.33	9.13 W	0.00	92 of 692 - Sale <sup>25</sup>
	33.000	191.39	07/24/20	281.83	90.44 W	0.00	93 of 692 - Sale <sup>25</sup>
	50.000	289.99	07/24/20	424.53	134.54 W	0.00	94 of 692 - Sale <sup>25</sup>
	50.000	289.99	07/24/20	427.04	137.05 W	0.00	95 of 692 - Sale <sup>25</sup>
	50.000	289.99	07/24/20	427.03	137.04 W	0.00	96 of 692 - Sale <sup>25</sup>
	50.000	289.99	07/24/20	427.03	137.04 W	0.00	97 of 692 - Sale <sup>25</sup>
	50.000	289.99	07/24/20	427.02	137.03 W	0.00	98 of 692 - Sale <sup>25</sup>
	50.000	289.99	07/24/20	427.04	137.05 W	0.00	99 of 692 - Sale <sup>25</sup>
	100.000	579.97	07/24/20	849.06	269.09 W	0.00	100 of 692 - Sale <sup>25</sup>
	100.000	579.97	07/24/20	854.06	274.09 W	0.00	101 of 692 - Sale <sup>25</sup>
	17.000	98.60	07/24/20	145.19	46.59 W	0.00	102 of 692 - Sale <sup>25</sup>
	33.000	191.39	07/24/20	281.84	90.45 W	0.00	103 of 692 - Sale <sup>25</sup>
	50.000	289.99	07/24/20	427.04	137.05 W	0.00	104 of 692 - Sale <sup>25</sup>
	5.000	29.00	07/24/20	45.75	16.75 W	0.00	105 of 692 - Sale <sup>25</sup>
	10.000	58.00	07/24/20	82.78	24.78 W	0.00	106 of 692 - Sale <sup>25</sup>
	17.000	98.60	07/24/20	145.19	46.59 W	0.00	107 of 692 - Sale <sup>25</sup>
	17.000	98.60	07/24/20	142.97	44.37 W	0.00	108 of 692 - Sale <sup>25</sup>
	17.000	98.60	07/24/20	140.75	42.15 W	0.00	109 of 692 - Sale <sup>25</sup>
	17.000	98.60	07/24/20	103.88	5.28 W	0.00	110 of 692 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARBUS BIOPHARMA CORPORATION COMMON STOCK / CUSIP: 03879J100 / Symbol: (cont'd)							
	30.000	173.99	07/24/20	274.54	100.55 W	0.00	111 of 692 - Sale <sup>25</sup>
	33.000	191.39	07/24/20	277.55	86.16 W	0.00	112 of 692 - Sale <sup>25</sup>
	33.000	191.39	07/24/20	298.97	107.58 W	0.00	113 of 692 - Sale <sup>25</sup>
	33.000	191.39	07/24/20	307.61	116.22 W	0.00	114 of 692 - Sale <sup>25</sup>
	33.000	191.39	07/24/20	273.60	82.21 W	0.00	115 of 692 - Sale <sup>25</sup>
	33.000	191.39	07/24/20	273.20	81.81 W	0.00	116 of 692 - Sale <sup>25</sup>
	40.000	231.99	07/24/20	331.16	99.17 W	0.00	117 of 692 - Sale <sup>25</sup>
	45.000	260.99	07/24/20	372.54	111.55 W	0.00	118 of 692 - Sale <sup>25</sup>
	50.000	289.99	07/24/20	420.54	130.55 W	0.00	119 of 692 - Sale <sup>25</sup>
	50.000	289.99	07/24/20	414.53	124.54 W	0.00	120 of 692 - Sale <sup>25</sup>
	55.000	318.99	07/24/20	455.34	136.35 W	0.00	121 of 692 - Sale <sup>25</sup>
	67.000	388.58	07/24/20	606.99	218.41 W	0.00	122 of 692 - Sale <sup>25</sup>
	67.000	388.58	07/24/20	624.53	235.95 W	0.00	123 of 692 - Sale <sup>25</sup>
	67.000	388.58	07/24/20	555.48	166.90 W	0.00	124 of 692 - Sale <sup>25</sup>
	83.000	481.38	07/24/20	507.13	25.75 W	0.00	125 of 692 - Sale <sup>25</sup>
	100.000	579.97	07/24/20	841.06	261.09 W	0.00	126 of 692 - Sale <sup>25</sup>
	100.000	579.97	07/24/20	900.95	320.98 W	0.00	127 of 692 - Sale <sup>25</sup>
	100.000	579.97	07/24/20	611.02	31.05 W	0.00	128 of 692 - Sale <sup>25</sup>
	100.000	579.97	07/24/20	611.02	31.05 W	0.00	129 of 692 - Sale <sup>25</sup>
	100.000	579.97	07/24/20	611.02	31.05 W	0.00	130 of 692 - Sale <sup>25</sup>
	100.000	579.98	07/24/20	611.02	31.04 W	0.00	131 of 692 - Sale <sup>25</sup>
	100.000	579.97	07/24/20	611.02	31.05 W	0.00	132 of 692 - Sale <sup>25</sup>
	100.000	579.98	07/24/20	611.02	31.04 W	0.00	133 of 692 - Sale <sup>25</sup>
	100.000	579.97	07/24/20	611.02	31.05 W	0.00	134 of 692 - Sale <sup>25</sup>
	165.000	956.96	07/24/20	1,509.89	552.93 W	0.00	135 of 692 - Sale <sup>25</sup>
	100.000	614.97	07/24/20	849.09	234.12 W	0.00	136 of 692 - Sale <sup>25</sup>
	17.000	104.55	07/24/20	145.19	40.64 W	0.00	137 of 692 - Sale <sup>25</sup>
	17.000	104.55	07/24/20	145.19	40.64 W	0.00	138 of 692 - Sale <sup>25</sup>
	17.000	104.55	07/24/20	142.97	38.42 W	0.00	139 of 692 - Sale <sup>25</sup>
	33.000	202.94	07/24/20	281.84	78.90 W	0.00	140 of 692 - Sale <sup>25</sup>
	33.000	202.94	07/24/20	281.85	78.91 W	0.00	141 of 692 - Sale <sup>25</sup>
	50.000	307.49	07/24/20	424.54	117.05 W	0.00	142 of 692 - Sale <sup>25</sup>
	50.000	307.49	07/24/20	427.05	119.56 W	0.00	143 of 692 - Sale <sup>25</sup>
	50.000	307.49	07/24/20	427.04	119.55 W	0.00	144 of 692 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARBUS BIOPHARMA CORPORATION COMMON STOCK / CUSIP: 03879J100 / Symbol: (cont'd)							
	50.000	307.49	07/24/20	427.04	119.55 W	0.00	145 of 692 - Sale <sup>25</sup>
	50.000	307.49	07/24/20	427.03	119.54 W	0.00	146 of 692 - Sale <sup>25</sup>
	50.000	307.48	07/24/20	427.05	119.57 W	0.00	147 of 692 - Sale <sup>25</sup>
	50.000	307.48	07/24/20	427.05	119.57 W	0.00	148 of 692 - Sale <sup>25</sup>
	50.000	307.48	07/24/20	420.55	113.07 W	0.00	149 of 692 - Sale <sup>25</sup>
	100.000	614.97	07/24/20	854.09	239.12 W	0.00	150 of 692 - Sale <sup>25</sup>
	58.000	356.68	07/24/20	487.83	131.15 W	0.00	151 of 692 - Sale <sup>25</sup>
	5.000	30.75	07/24/20	45.75	15.00 W	0.00	152 of 692 - Sale <sup>25</sup>
	10.000	61.50	07/24/20	82.78	21.28 W	0.00	153 of 692 - Sale <sup>25</sup>
	17.000	104.55	07/24/20	140.75	36.20 W	0.00	154 of 692 - Sale <sup>25</sup>
	30.000	184.49	07/24/20	274.55	90.06 W	0.00	155 of 692 - Sale <sup>25</sup>
	33.000	202.94	07/24/20	277.56	74.62 W	0.00	156 of 692 - Sale <sup>25</sup>
	33.000	202.94	07/24/20	298.98	96.04 W	0.00	157 of 692 - Sale <sup>25</sup>
	33.000	202.94	07/24/20	307.62	104.68 W	0.00	158 of 692 - Sale <sup>25</sup>
	33.000	202.94	07/24/20	273.61	70.67 W	0.00	159 of 692 - Sale <sup>25</sup>
	33.000	202.94	07/24/20	273.21	70.27 W	0.00	160 of 692 - Sale <sup>25</sup>
	40.000	245.99	07/24/20	331.17	85.18 W	0.00	161 of 692 - Sale <sup>25</sup>
	45.000	276.74	07/24/20	372.55	95.81 W	0.00	162 of 692 - Sale <sup>25</sup>
	50.000	307.49	07/24/20	414.54	107.05 W	0.00	163 of 692 - Sale <sup>25</sup>
	55.000	338.24	07/24/20	455.35	117.11 W	0.00	164 of 692 - Sale <sup>25</sup>
	67.000	412.03	07/24/20	607.01	194.98 W	0.00	165 of 692 - Sale <sup>25</sup>
	67.000	412.03	07/24/20	624.55	212.52 W	0.00	166 of 692 - Sale <sup>25</sup>
	67.000	412.03	07/24/20	555.50	143.47 W	0.00	167 of 692 - Sale <sup>25</sup>
	42.000	258.29	07/24/20	353.26	94.97 W	0.00	168 of 692 - Sale <sup>25</sup>
	100.000	614.97	07/24/20	900.98	286.01 W	0.00	169 of 692 - Sale <sup>25</sup>
	165.000	1,014.71	07/24/20	1,509.93	495.22 W	0.00	170 of 692 - Sale <sup>25</sup>
	15.000	92.25	07/24/20	122.12	29.87 W	0.00	171 of 692 - Sale <sup>25</sup>
	15.000	92.25	07/24/20	122.86	30.61 W	0.00	172 of 692 - Sale <sup>25</sup>
	50.000	307.49	07/24/20	407.05	99.56 W	0.00	173 of 692 - Sale <sup>25</sup>
	50.000	307.49	07/24/20	409.56	102.07 W	0.00	174 of 692 - Sale <sup>25</sup>
	50.000	307.49	07/24/20	409.55	102.06 W	0.00	175 of 692 - Sale <sup>25</sup>
	50.000	307.48	07/24/20	409.55	102.07 W	0.00	176 of 692 - Sale <sup>25</sup>
	50.000	307.49	07/24/20	409.54	102.05 W	0.00	177 of 692 - Sale <sup>25</sup>
	50.000	307.48	07/24/20	409.57	102.09 W	0.00	178 of 692 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ARBUS BIOPHARMA CORPORATION COMMON STOCK / CUSIP: 03879J100 / Symbol: (cont'd)							
85.000		522.73	07/24/20	692.00	169.27 W	0.00	179 of 692 - Sale <sup>25</sup>
100.000		614.97	07/24/20	819.12	204.15 W	0.00	180 of 692 - Sale <sup>25</sup>
3.000		18.45	07/24/20	24.57	6.12 W	0.00	181 of 692 - Sale <sup>25</sup>
17.000		104.54	07/24/20	139.24	34.70 W	0.00	182 of 692 - Sale <sup>25</sup>
15.000		92.25	07/24/20	122.87	30.62 W	0.00	183 of 692 - Sale <sup>25</sup>
18.000		110.69	07/24/20	147.44	36.75 W	0.00	184 of 692 - Sale <sup>25</sup>
50.000		307.49	07/24/20	409.57	102.08 W	0.00	185 of 692 - Sale <sup>25</sup>
17.000		104.38	07/24/20	139.24	34.86 W	0.00	186 of 692 - Sale <sup>25</sup>
15.000		92.10	07/24/20	122.87	30.77 W	0.00	187 of 692 - Sale <sup>25</sup>
18.000		110.52	07/24/20	145.11	34.59 W	0.00	188 of 692 - Sale <sup>25</sup>
5.000		30.70	07/24/20	44.00	13.30 W	0.00	189 of 692 - Sale <sup>25</sup>
10.000		61.40	07/24/20	89.72	28.32 W	0.00	190 of 692 - Sale <sup>25</sup>
17.000		104.38	07/24/20	137.02	32.64 W	0.00	191 of 692 - Sale <sup>25</sup>
30.000		184.19	07/24/20	264.06	79.87 W	0.00	192 of 692 - Sale <sup>25</sup>
33.000		202.61	07/24/20	266.02	63.41 W	0.00	193 of 692 - Sale <sup>25</sup>
33.000		202.61	07/24/20	287.44	84.83 W	0.00	194 of 692 - Sale <sup>25</sup>
42.000		257.87	07/24/20	338.57	80.70 W	0.00	195 of 692 - Sale <sup>25</sup>
32.000		196.47	07/24/20	257.96	61.49 W	0.00	196 of 692 - Sale <sup>25</sup>
58.000		356.10	07/24/20	467.55	111.45 W	0.00	197 of 692 - Sale <sup>25</sup>
67.000		411.36	07/24/20	583.58	172.22 W	0.00	198 of 692 - Sale <sup>25</sup>
100.000		613.97	07/24/20	866.01	252.04 W	0.00	199 of 692 - Sale <sup>25</sup>
165.000		1,013.06	07/24/20	1,452.22	439.16 W	0.00	200 of 692 - Sale <sup>25</sup>
10.000		57.00	07/24/20	82.61	25.61 W	0.00	201 of 692 - Sale <sup>25</sup>
33.000		188.09	07/24/20	306.64	118.55 W	0.00	202 of 692 - Sale <sup>25</sup>
57.000		324.88	07/24/20	529.64	204.76 W	0.00	203 of 692 - Sale <sup>25</sup>
17.000		96.89	07/24/20	140.24	43.35 W	0.00	204 of 692 - Sale <sup>25</sup>
33.000		188.09	07/24/20	272.63	84.54 W	0.00	205 of 692 - Sale <sup>25</sup>
50.000		284.99	07/24/20	413.05	128.06 W	0.00	206 of 692 - Sale <sup>25</sup>
43.000		245.09	07/24/20	354.72	109.63 W	0.00	207 of 692 - Sale <sup>25</sup>
57.000		324.89	07/24/20	470.90	146.01 W	0.00	208 of 692 - Sale <sup>25</sup>
10.000		56.90	07/24/20	82.48	25.58 W	0.00	209 of 692 - Sale <sup>25</sup>
10.000		56.90	07/24/20	81.61	24.71 W	0.00	210 of 692 - Sale <sup>25</sup>
15.000		85.35	07/24/20	121.67	36.32 W	0.00	211 of 692 - Sale <sup>25</sup>
33.000		187.76	07/24/20	272.23	84.47 W	0.00	212 of 692 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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ARBUS BIOPHARMA CORPORATION COMMON STOCK / CUSIP: 03879J100 / Symbol: (cont'd)							
40.000		227.59	07/24/20	329.98	102.39 W	0.00	213 of 692 - Sale <sup>25</sup>
45.000		256.04	07/24/20	371.21	115.17 W	0.00	214 of 692 - Sale <sup>25</sup>
50.000		284.49	07/24/20	405.56	121.07 W	0.00	215 of 692 - Sale <sup>25</sup>
12.000		68.28	07/24/20	98.99	30.71 W	0.00	216 of 692 - Sale <sup>25</sup>
85.000		483.63	07/24/20	689.47	205.84 W	0.00	217 of 692 - Sale <sup>25</sup>
40.000		227.59	07/24/20	326.46	98.87 W	0.00	218 of 692 - Sale <sup>25</sup>
50.000		284.49	07/24/20	408.06	123.57 W	0.00	219 of 692 - Sale <sup>25</sup>
50.000		284.49	07/24/20	408.07	123.58 W	0.00	220 of 692 - Sale <sup>25</sup>
50.000		284.48	07/24/20	408.05	123.57 W	0.00	221 of 692 - Sale <sup>25</sup>
50.000		284.49	07/24/20	408.09	123.60 W	0.00	222 of 692 - Sale <sup>25</sup>
60.000		341.38	07/24/20	489.69	148.31 W	0.00	223 of 692 - Sale <sup>25</sup>
3.000		17.07	07/24/20	24.51	7.44 W	0.00	224 of 692 - Sale <sup>25</sup>
15.000		85.34	07/24/20	122.56	37.22 W	0.00	225 of 692 - Sale <sup>25</sup>
15.000		85.35	07/24/20	122.57	37.22 W	0.00	226 of 692 - Sale <sup>25</sup>
17.000		96.72	07/24/20	138.91	42.19 W	0.00	227 of 692 - Sale <sup>25</sup>
40.000		227.59	07/24/20	326.85	99.26 W	0.00	228 of 692 - Sale <sup>25</sup>
10.000		56.90	07/24/20	81.71	24.81 W	0.00	229 of 692 - Sale <sup>25</sup>
15.000		84.75	07/24/20	122.72	37.97 W	0.00	230 of 692 - Sale <sup>25</sup>
17.000		96.04	07/24/20	139.06	43.02 W	0.00	231 of 692 - Sale <sup>25</sup>
18.000		101.69	07/24/20	147.09	45.40 W	0.00	232 of 692 - Sale <sup>25</sup>
10.000		56.50	07/24/20	80.52	24.02 W	0.00	233 of 692 - Sale <sup>25</sup>
40.000		225.99	07/24/20	326.86	100.87 W	0.00	234 of 692 - Sale <sup>25</sup>
17.000		96.04	07/24/20	136.84	40.80 W	0.00	235 of 692 - Sale <sup>25</sup>
8.000		45.20	07/24/20	64.41	19.21 W	0.00	236 of 692 - Sale <sup>25</sup>
32.000		180.79	07/24/20	257.64	76.85 W	0.00	237 of 692 - Sale <sup>25</sup>
43.000		242.94	07/24/20	346.21	103.27 W	0.00	238 of 692 - Sale <sup>25</sup>
12.000		67.80	07/24/20	96.62	28.82 W	0.00	239 of 692 - Sale <sup>25</sup>
10.000		56.50	07/24/20	87.00	30.50 W	0.00	240 of 692 - Sale <sup>25</sup>
3.000		16.95	07/24/20	24.15	7.20 W	0.00	241 of 692 - Sale <sup>25</sup>
33.000		186.44	07/24/20	265.70	79.26 W	0.00	242 of 692 - Sale <sup>25</sup>
42.000		237.29	07/24/20	338.16	100.87 W	0.00	243 of 692 - Sale <sup>25</sup>
22.000		124.29	07/24/20	193.43	69.14 W	0.00	244 of 692 - Sale <sup>25</sup>
33.000		186.44	07/24/20	287.12	100.68 W	0.00	245 of 692 - Sale <sup>25</sup>
57.000		322.03	07/24/20	495.93	173.90 W	0.00	246 of 692 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARBUS BIOPHARMA CORPORATION COMMON STOCK / CUSIP: 03879J100 / Symbol: (cont'd)							
	100.000	564.98	07/24/20	865.04	300.06 W	0.00	247 of 692 - Sale <sup>25</sup>
	8.000	45.20	07/24/20	70.34	25.14 W	0.00	248 of 692 - Sale <sup>25</sup>
	80.000	451.97	07/24/20	703.33	251.36 W	0.00	249 of 692 - Sale <sup>25</sup>
	12.000	67.80	07/24/20	105.50	37.70 W	0.00	250 of 692 - Sale <sup>25</sup>
	2.000	11.30	07/24/20	17.58	6.28 W	0.00	251 of 692 - Sale <sup>25</sup>
	5.000	28.25	07/24/20	43.95	15.70 W	0.00	252 of 692 - Sale <sup>25</sup>
	10.000	56.50	07/24/20	89.62	33.12 W	0.00	253 of 692 - Sale <sup>25</sup>
	10.000	56.50	07/24/20	86.91	30.41 W	0.00	254 of 692 - Sale <sup>25</sup>
	33.000	186.44	07/24/20	320.84	134.40 W	0.00	255 of 692 - Sale <sup>25</sup>
	57.000	322.03	07/24/20	554.17	232.14 W	0.00	256 of 692 - Sale <sup>25</sup>
	71.000	401.13	07/24/20	624.20	223.07 W	0.00	257 of 692 - Sale <sup>25</sup>
	5.000	28.25	07/24/20	43.46	15.21 W	0.00	258 of 692 - Sale <sup>25</sup>
	33.000	186.44	07/24/20	287.16	100.72 W	0.00	259 of 692 - Sale <sup>25</sup>
	24.000	135.59	07/24/20	208.83	73.24 W	0.00	260 of 692 - Sale <sup>25</sup>
	52.000	293.79	07/24/20	452.48	158.69 W	0.00	261 of 692 - Sale <sup>25</sup>
	10.000	56.50	07/24/20	86.98	30.48 W	0.00	262 of 692 - Sale <sup>25</sup>
	10.000	56.50	07/24/20	86.11	29.61 W	0.00	263 of 692 - Sale <sup>25</sup>
	10.000	56.50	07/24/20	86.12	29.62 W	0.00	264 of 692 - Sale <sup>25</sup>
	12.000	67.80	07/24/20	104.39	36.59 W	0.00	265 of 692 - Sale <sup>25</sup>
	15.000	84.75	07/24/20	128.42	43.67 W	0.00	266 of 692 - Sale <sup>25</sup>
	17.000	96.05	07/24/20	147.73	51.68 W	0.00	267 of 692 - Sale <sup>25</sup>
	33.000	186.44	07/24/20	287.09	100.65 W	0.00	268 of 692 - Sale <sup>25</sup>
	40.000	225.99	07/24/20	347.99	122.00 W	0.00	269 of 692 - Sale <sup>25</sup>
	40.000	225.99	07/24/20	344.47	118.48 W	0.00	270 of 692 - Sale <sup>25</sup>
	43.000	242.94	07/24/20	373.65	130.71 W	0.00	271 of 692 - Sale <sup>25</sup>
	45.000	254.24	07/24/20	391.47	137.23 W	0.00	272 of 692 - Sale <sup>25</sup>
	26.000	146.89	07/24/20	226.23	79.34 W	0.00	273 of 692 - Sale <sup>25</sup>
	50.000	282.49	07/24/20	428.07	145.58 W	0.00	274 of 692 - Sale <sup>25</sup>
	50.000	282.49	07/24/20	430.57	148.08 W	0.00	275 of 692 - Sale <sup>25</sup>
	50.000	282.48	07/24/20	430.58	148.10 W	0.00	276 of 692 - Sale <sup>25</sup>
	50.000	282.49	07/24/20	430.57	148.08 W	0.00	277 of 692 - Sale <sup>25</sup>
	85.000	480.23	07/24/20	727.74	247.51 W	0.00	278 of 692 - Sale <sup>25</sup>
	40.000	225.99	07/24/20	344.88	118.89 W	0.00	279 of 692 - Sale <sup>25</sup>
	60.000	338.98	07/24/20	517.31	178.33 W	0.00	280 of 692 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARBUS BIOPHARMA CORPORATION COMMON STOCK / CUSIP: 03879J100 / Symbol: (cont'd)							
	3.000	16.95	07/24/20	25.89	8.94 W	0.00	281 of 692 - Sale <sup>25</sup>
	10.000	56.50	07/24/20	86.31	29.81 W	0.00	282 of 692 - Sale <sup>25</sup>
	15.000	84.75	07/24/20	129.47	44.72 W	0.00	283 of 692 - Sale <sup>25</sup>
	15.000	84.74	07/24/20	129.47	44.73 W	0.00	284 of 692 - Sale <sup>25</sup>
	17.000	96.04	07/24/20	146.74	50.70 W	0.00	285 of 692 - Sale <sup>25</sup>
	40.000	225.99	07/24/20	345.26	119.27 W	0.00	286 of 692 - Sale <sup>25</sup>
	10.000	56.50	07/24/20	85.52	29.02 W	0.00	287 of 692 - Sale <sup>25</sup>
	15.000	84.75	07/24/20	130.22	45.47 W	0.00	288 of 692 - Sale <sup>25</sup>
	17.000	96.04	07/24/20	147.57	51.53 W	0.00	289 of 692 - Sale <sup>25</sup>
	18.000	101.69	07/24/20	156.10	54.41 W	0.00	290 of 692 - Sale <sup>25</sup>
	40.000	225.99	07/24/20	346.87	120.88 W	0.00	291 of 692 - Sale <sup>25</sup>
	8.000	45.20	07/24/20	68.41	23.21 W	0.00	292 of 692 - Sale <sup>25</sup>
	17.000	96.04	07/24/20	145.35	49.31 W	0.00	293 of 692 - Sale <sup>25</sup>
	32.000	180.79	07/24/20	273.65	92.86 W	0.00	294 of 692 - Sale <sup>25</sup>
	32.000	180.79	07/24/20	273.65	92.86 W	0.00	295 of 692 - Sale <sup>25</sup>
	11.000	62.15	07/24/20	94.07	31.92 W	0.00	296 of 692 - Sale <sup>25</sup>
	3.000	16.95	07/24/20	25.65	8.70 W	0.00	297 of 692 - Sale <sup>25</sup>
	12.000	67.80	07/24/20	102.62	34.82 W	0.00	298 of 692 - Sale <sup>25</sup>
	32.000	180.79	07/24/20	273.66	92.87 W	0.00	299 of 692 - Sale <sup>25</sup>
	42.000	237.28	07/24/20	359.17	121.89 W	0.00	300 of 692 - Sale <sup>25</sup>
	10.000	56.50	07/24/20	92.00	35.50 W	0.00	301 of 692 - Sale <sup>25</sup>
	1.000	5.65	07/24/20	8.55	2.90 W	0.00	302 of 692 - Sale <sup>25</sup>
	20.000	112.99	07/24/20	184.02	71.03 W	0.00	303 of 692 - Sale <sup>25</sup>
	33.000	186.44	07/24/20	303.63	117.19 W	0.00	304 of 692 - Sale <sup>25</sup>
	37.000	209.04	07/24/20	340.43	131.39 W	0.00	305 of 692 - Sale <sup>25</sup>
	49.000	276.84	07/24/20	448.38	171.54 W	0.00	306 of 692 - Sale <sup>25</sup>
	8.000	45.20	07/24/20	74.34	29.14 W	0.00	307 of 692 - Sale <sup>25</sup>
	22.000	124.29	07/24/20	204.44	80.15 W	0.00	308 of 692 - Sale <sup>25</sup>
	50.000	282.49	07/24/20	464.60	182.11 W	0.00	309 of 692 - Sale <sup>25</sup>
	51.000	288.14	07/24/20	466.68	178.54 W	0.00	310 of 692 - Sale <sup>25</sup>
	2.000	11.30	07/24/20	18.58	7.28 W	0.00	311 of 692 - Sale <sup>25</sup>
	5.000	28.25	07/24/20	46.45	18.20 W	0.00	312 of 692 - Sale <sup>25</sup>
	5.000	28.25	07/24/20	45.96	17.71 W	0.00	313 of 692 - Sale <sup>25</sup>
	10.000	56.50	07/24/20	94.62	38.12 W	0.00	314 of 692 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARBUS BIOPHARMA CORPORATION COMMON STOCK / CUSIP: 03879J100 / Symbol: (cont'd)							
10.000		56.50	07/24/20	91.91	35.41 W	0.00	315 of 692 - Sale <sup>25</sup>
10.000		56.50	07/24/20	91.98	35.48 W	0.00	316 of 692 - Sale <sup>25</sup>
10.000		56.50	07/24/20	91.11	34.61 W	0.00	317 of 692 - Sale <sup>25</sup>
12.000		67.80	07/24/20	111.50	43.70 W	0.00	318 of 692 - Sale <sup>25</sup>
12.000		67.80	07/24/20	110.39	42.59 W	0.00	319 of 692 - Sale <sup>25</sup>
15.000		84.75	07/24/20	135.92	51.17 W	0.00	320 of 692 - Sale <sup>25</sup>
17.000		96.05	07/24/20	156.23	60.18 W	0.00	321 of 692 - Sale <sup>25</sup>
24.000		135.59	07/24/20	220.84	85.25 W	0.00	322 of 692 - Sale <sup>25</sup>
26.000		146.89	07/24/20	239.24	92.35 W	0.00	323 of 692 - Sale <sup>25</sup>
30.000		169.49	07/24/20	278.76	109.27 W	0.00	324 of 692 - Sale <sup>25</sup>
33.000		186.44	07/24/20	337.35	150.91 W	0.00	325 of 692 - Sale <sup>25</sup>
33.000		186.44	07/24/20	303.67	117.23 W	0.00	326 of 692 - Sale <sup>25</sup>
33.000		186.44	07/24/20	303.60	117.16 W	0.00	327 of 692 - Sale <sup>25</sup>
40.000		225.99	07/24/20	368.00	142.01 W	0.00	328 of 692 - Sale <sup>25</sup>
40.000		225.99	07/24/20	364.48	138.49 W	0.00	329 of 692 - Sale <sup>25</sup>
43.000		242.94	07/24/20	395.16	152.22 W	0.00	330 of 692 - Sale <sup>25</sup>
45.000		254.24	07/24/20	413.98	159.74 W	0.00	331 of 692 - Sale <sup>25</sup>
50.000		282.48	07/24/20	453.08	170.60 W	0.00	332 of 692 - Sale <sup>25</sup>
50.000		282.48	07/24/20	455.58	173.10 W	0.00	333 of 692 - Sale <sup>25</sup>
49.000		276.84	07/24/20	446.49	169.65 W	0.00	334 of 692 - Sale <sup>25</sup>
52.000		293.79	07/24/20	478.49	184.70 W	0.00	335 of 692 - Sale <sup>25</sup>
57.000		322.04	07/24/20	582.69	260.65 W	0.00	336 of 692 - Sale <sup>25</sup>
71.000		401.13	07/24/20	659.72	258.59 W	0.00	337 of 692 - Sale <sup>25</sup>
85.000		480.22	07/24/20	770.26	290.04 W	0.00	338 of 692 - Sale <sup>25</sup>
1.000		5.65	07/24/20	9.05	3.40 W	0.00	339 of 692 - Sale <sup>25</sup>
3.000		16.95	07/24/20	27.39	10.44 W	0.00	340 of 692 - Sale <sup>25</sup>
3.000		16.95	07/24/20	27.15	10.20 W	0.00	341 of 692 - Sale <sup>25</sup>
8.000		45.20	07/24/20	72.41	27.21 W	0.00	342 of 692 - Sale <sup>25</sup>
10.000		56.50	07/24/20	91.12	34.62 W	0.00	343 of 692 - Sale <sup>25</sup>
10.000		56.50	07/24/20	91.31	34.81 W	0.00	344 of 692 - Sale <sup>25</sup>
10.000		56.50	07/24/20	90.52	34.02 W	0.00	345 of 692 - Sale <sup>25</sup>
10.000		56.50	07/24/20	97.00	40.50 W	0.00	346 of 692 - Sale <sup>25</sup>
11.000		62.15	07/24/20	99.57	37.42 W	0.00	347 of 692 - Sale <sup>25</sup>
12.000		67.80	07/24/20	108.62	40.82 W	0.00	348 of 692 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARBUS BIOPHARMA CORPORATION COMMON STOCK / CUSIP: 03879J100 / Symbol: (cont'd)							
	15.000	84.75	07/24/20	136.97	52.22 W	0.00	349 of 692 - Sale <sup>25</sup>
	15.000	84.75	07/24/20	136.98	52.23 W	0.00	350 of 692 - Sale <sup>25</sup>
	15.000	84.75	07/24/20	137.72	52.97 W	0.00	351 of 692 - Sale <sup>25</sup>
	17.000	96.05	07/24/20	155.25	59.20 W	0.00	352 of 692 - Sale <sup>25</sup>
	17.000	96.04	07/24/20	156.08	60.04 W	0.00	353 of 692 - Sale <sup>25</sup>
	17.000	96.04	07/24/20	153.86	57.82 W	0.00	354 of 692 - Sale <sup>25</sup>
	18.000	101.70	07/24/20	165.11	63.41 W	0.00	355 of 692 - Sale <sup>25</sup>
	20.000	112.99	07/24/20	194.03	81.04 W	0.00	356 of 692 - Sale <sup>25</sup>
	32.000	180.79	07/24/20	289.66	108.87 W	0.00	357 of 692 - Sale <sup>25</sup>
	32.000	180.79	07/24/20	289.66	108.87 W	0.00	358 of 692 - Sale <sup>25</sup>
	32.000	180.79	07/24/20	289.67	108.88 W	0.00	359 of 692 - Sale <sup>25</sup>
	33.000	186.44	07/24/20	320.14	133.70 W	0.00	360 of 692 - Sale <sup>25</sup>
	37.000	209.04	07/24/20	358.94	149.90 W	0.00	361 of 692 - Sale <sup>25</sup>
	40.000	225.99	07/24/20	364.89	138.90 W	0.00	362 of 692 - Sale <sup>25</sup>
	40.000	225.99	07/24/20	365.27	139.28 W	0.00	363 of 692 - Sale <sup>25</sup>
	40.000	225.99	07/24/20	366.88	140.89 W	0.00	364 of 692 - Sale <sup>25</sup>
	42.000	237.29	07/24/20	380.19	142.90 W	0.00	365 of 692 - Sale <sup>25</sup>
	49.000	276.83	07/24/20	472.89	196.06 W	0.00	366 of 692 - Sale <sup>25</sup>
	1.000	5.65	07/24/20	9.11	3.46 W	0.00	367 of 692 - Sale <sup>25</sup>
	50.000	282.49	07/24/20	455.58	173.09 W	0.00	368 of 692 - Sale <sup>25</sup>
	60.000	338.98	07/24/20	547.33	208.35 W	0.00	369 of 692 - Sale <sup>25</sup>
	50.000	282.50	07/24/20	482.54	200.04 W	0.00	370 of 692 - Sale <sup>25</sup>
	1.000	5.65	07/24/20	9.65	4.00 W	0.00	371 of 692 - Sale <sup>25</sup>
	8.000	45.20	07/24/20	78.34	33.14 W	0.00	372 of 692 - Sale <sup>25</sup>
	22.000	124.29	07/24/20	215.45	91.16 W	0.00	373 of 692 - Sale <sup>25</sup>
	50.000	282.49	07/24/20	489.61	207.12 W	0.00	374 of 692 - Sale <sup>25</sup>
	20.000	112.99	07/24/20	123.00	10.01 W	0.00	375 of 692 - Sale <sup>25</sup>
	10.000	56.50	07/24/20	61.50	5.00 W	0.00	376 of 692 - Sale <sup>25</sup>
	1.000	5.65	07/24/20	9.39	3.74 W	0.00	377 of 692 - Sale <sup>25</sup>
	2.000	11.30	07/24/20	18.78	7.48 W	0.00	378 of 692 - Sale <sup>25</sup>
	5.000	28.25	07/24/20	46.95	18.70 W	0.00	379 of 692 - Sale <sup>25</sup>
	10.000	56.50	07/24/20	95.62	39.12 W	0.00	380 of 692 - Sale <sup>25</sup>
	12.000	67.80	07/24/20	112.70	44.90 W	0.00	381 of 692 - Sale <sup>25</sup>
	29.000	163.84	07/24/20	272.38	108.54 W	0.00	382 of 692 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARBUS BIOPHARMA CORPORATION COMMON STOCK / CUSIP: 03879J100 / Symbol: (cont'd)							
13.000		73.45	07/24/20	134.20	60.75 W	0.00	383 of 692 - Sale <sup>25</sup>
57.000		322.03	07/24/20	588.40	266.37 W	0.00	384 of 692 - Sale <sup>25</sup>
71.000		401.13	07/24/20	666.84	265.71 W	0.00	385 of 692 - Sale <sup>25</sup>
5.000		28.25	07/24/20	46.46	18.21 W	0.00	386 of 692 - Sale <sup>25</sup>
10.000		56.50	07/24/20	92.91	36.41 W	0.00	387 of 692 - Sale <sup>25</sup>
20.000		112.99	07/24/20	206.46	93.47 W	0.00	388 of 692 - Sale <sup>25</sup>
13.000		73.45	07/24/20	120.93	47.48 W	0.00	389 of 692 - Sale <sup>25</sup>
52.000		293.78	07/24/20	483.70	189.92 W	0.00	390 of 692 - Sale <sup>25</sup>
24.000		135.60	07/24/20	223.25	87.65 W	0.00	391 of 692 - Sale <sup>25</sup>
6.000		33.90	07/24/20	55.81	21.91 W	0.00	392 of 692 - Sale <sup>25</sup>
20.000		113.00	07/24/20	186.05	73.05 W	0.00	393 of 692 - Sale <sup>25</sup>
1.000		5.65	07/24/20	9.21	3.56 W	0.00	394 of 692 - Sale <sup>25</sup>
10.000		56.50	07/24/20	92.98	36.48 W	0.00	395 of 692 - Sale <sup>25</sup>
10.000		56.50	07/24/20	92.11	35.61 W	0.00	396 of 692 - Sale <sup>25</sup>
10.000		56.50	07/24/20	92.12	35.62 W	0.00	397 of 692 - Sale <sup>25</sup>
12.000		67.80	07/24/20	111.59	43.79 W	0.00	398 of 692 - Sale <sup>25</sup>
15.000		84.75	07/24/20	137.42	52.67 W	0.00	399 of 692 - Sale <sup>25</sup>
17.000		96.05	07/24/20	157.93	61.88 W	0.00	400 of 692 - Sale <sup>25</sup>
20.000		113.00	07/24/20	186.04	73.04 W	0.00	401 of 692 - Sale <sup>25</sup>
33.000		186.44	07/24/20	306.91	120.47 W	0.00	402 of 692 - Sale <sup>25</sup>
40.000		225.99	07/24/20	372.01	146.02 W	0.00	403 of 692 - Sale <sup>25</sup>
40.000		225.99	07/24/20	368.49	142.50 W	0.00	404 of 692 - Sale <sup>25</sup>
20.000		112.99	07/24/20	184.45	71.46 W	0.00	405 of 692 - Sale <sup>25</sup>
43.000		242.94	07/24/20	399.47	156.53 W	0.00	406 of 692 - Sale <sup>25</sup>
45.000		254.24	07/24/20	418.49	164.25 W	0.00	407 of 692 - Sale <sup>25</sup>
49.000		276.83	07/24/20	451.40	174.57 W	0.00	408 of 692 - Sale <sup>25</sup>
50.000		282.49	07/24/20	458.10	175.61 W	0.00	409 of 692 - Sale <sup>25</sup>
50.000		282.48	07/24/20	460.60	178.12 W	0.00	410 of 692 - Sale <sup>25</sup>
50.000		282.49	07/24/20	460.59	178.10 W	0.00	411 of 692 - Sale <sup>25</sup>
85.000		480.22	07/24/20	778.79	298.57 W	0.00	412 of 692 - Sale <sup>25</sup>
30.000		169.50	07/24/20	276.68	107.18 W	0.00	413 of 692 - Sale <sup>25</sup>
20.000		113.00	07/24/20	184.45	71.45 W	0.00	414 of 692 - Sale <sup>25</sup>
10.000		56.30	07/24/20	91.51	35.21 W	0.00	415 of 692 - Sale <sup>25</sup>
30.000		168.89	07/24/20	274.27	105.38 W	0.00	416 of 692 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARBUS BIOPHARMA CORPORATION COMMON STOCK / CUSIP: 03879J100 / Symbol: (cont'd)							
40.000		225.19	07/24/20	366.08	140.89 W	0.00	417 of 692 - Sale <sup>25</sup>
3.000		16.89	07/24/20	27.45	10.56 W	0.00	418 of 692 - Sale <sup>25</sup>
5.000		28.15	07/24/20	45.76	17.61 W	0.00	419 of 692 - Sale <sup>25</sup>
10.000		56.30	07/24/20	91.51	35.21 W	0.00	420 of 692 - Sale <sup>25</sup>
15.000		84.45	07/24/20	137.28	52.83 W	0.00	421 of 692 - Sale <sup>25</sup>
12.000		67.56	07/24/20	110.42	42.86 W	0.00	422 of 692 - Sale <sup>25</sup>
17.000		95.70	07/24/20	155.59	59.89 W	0.00	423 of 692 - Sale <sup>25</sup>
18.000		101.33	07/24/20	165.47	64.14 W	0.00	424 of 692 - Sale <sup>25</sup>
40.000		225.19	07/24/20	367.69	142.50 W	0.00	425 of 692 - Sale <sup>25</sup>
1.000		5.63	07/24/20	9.07	3.44 W	0.00	426 of 692 - Sale <sup>25</sup>
3.000		16.89	07/24/20	27.21	10.32 W	0.00	427 of 692 - Sale <sup>25</sup>
8.000		45.04	07/24/20	72.57	27.53 W	0.00	428 of 692 - Sale <sup>25</sup>
10.000		56.30	07/24/20	90.72	34.42 W	0.00	429 of 692 - Sale <sup>25</sup>
10.000		56.30	07/24/20	97.20	40.90 W	0.00	430 of 692 - Sale <sup>25</sup>
11.000		61.93	07/24/20	99.79	37.86 W	0.00	431 of 692 - Sale <sup>25</sup>
12.000		67.56	07/24/20	108.86	41.30 W	0.00	432 of 692 - Sale <sup>25</sup>
3.000		16.89	07/24/20	27.60	10.71 W	0.00	433 of 692 - Sale <sup>25</sup>
17.000		95.71	07/24/20	156.43	60.72 W	0.00	434 of 692 - Sale <sup>25</sup>
17.000		95.71	07/24/20	154.21	58.50 W	0.00	435 of 692 - Sale <sup>25</sup>
20.000		112.59	07/24/20	194.44	81.85 W	0.00	436 of 692 - Sale <sup>25</sup>
32.000		180.15	07/24/20	290.31	110.16 W	0.00	437 of 692 - Sale <sup>25</sup>
32.000		180.15	07/24/20	290.31	110.16 W	0.00	438 of 692 - Sale <sup>25</sup>
32.000		180.15	07/24/20	290.32	110.17 W	0.00	439 of 692 - Sale <sup>25</sup>
33.000		185.78	07/24/20	320.81	135.03 W	0.00	440 of 692 - Sale <sup>25</sup>
37.000		208.30	07/24/20	359.69	151.39 W	0.00	441 of 692 - Sale <sup>25</sup>
42.000		236.45	07/24/20	381.04	144.59 W	0.00	442 of 692 - Sale <sup>25</sup>
49.000		275.85	07/24/20	473.89	198.04 W	0.00	443 of 692 - Sale <sup>25</sup>
31.000		174.52	07/24/20	299.79	125.27 W	0.00	444 of 692 - Sale <sup>25</sup>
1.000		5.63	07/24/20	9.67	4.04 W	0.00	445 of 692 - Sale <sup>25</sup>
1.000		5.63	07/24/20	9.41	3.78 W	0.00	446 of 692 - Sale <sup>25</sup>
2.000		11.26	07/24/20	18.82	7.56 W	0.00	447 of 692 - Sale <sup>25</sup>
5.000		28.15	07/24/20	47.05	18.90 W	0.00	448 of 692 - Sale <sup>25</sup>
5.000		28.15	07/24/20	46.56	18.41 W	0.00	449 of 692 - Sale <sup>25</sup>
8.000		45.04	07/24/20	78.50	33.46 W	0.00	450 of 692 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARBUS BIOPHARMA CORPORATION COMMON STOCK / CUSIP: 03879J100 / Symbol: (cont'd)							
10.000		56.30	07/24/20	61.70	5.40 W	0.00	451 of 692 - Sale <sup>25</sup>
10.000		56.30	07/24/20	95.82	39.52 W	0.00	452 of 692 - Sale <sup>25</sup>
10.000		56.30	07/24/20	93.11	36.81 W	0.00	453 of 692 - Sale <sup>25</sup>
12.000		67.56	07/24/20	112.94	45.38 W	0.00	454 of 692 - Sale <sup>25</sup>
13.000		73.19	07/24/20	134.46	61.27 W	0.00	455 of 692 - Sale <sup>25</sup>
20.000		112.59	07/24/20	123.41	10.82 W	0.00	456 of 692 - Sale <sup>25</sup>
20.000		112.59	07/24/20	206.87	94.28 W	0.00	457 of 692 - Sale <sup>25</sup>
22.000		123.85	07/24/20	215.90	92.05 W	0.00	458 of 692 - Sale <sup>25</sup>
29.000		163.26	07/24/20	272.97	109.71 W	0.00	459 of 692 - Sale <sup>25</sup>
19.000		106.97	07/24/20	183.75	76.78 W	0.00	460 of 692 - Sale <sup>25</sup>
50.000		281.49	07/24/20	490.62	209.13 W	0.00	461 of 692 - Sale <sup>25</sup>
35.000		197.04	07/24/20	326.28	129.24 W	0.00	462 of 692 - Sale <sup>25</sup>
57.000		320.89	07/24/20	589.56	268.67 W	0.00	463 of 692 - Sale <sup>25</sup>
71.000		399.71	07/24/20	668.28	268.57 W	0.00	464 of 692 - Sale <sup>25</sup>
1.000		5.67	07/24/20	9.23	3.56 W	0.00	465 of 692 - Sale <sup>25</sup>
6.000		34.02	07/24/20	55.93	21.91 W	0.00	466 of 692 - Sale <sup>25</sup>
10.000		56.70	07/24/20	93.18	36.48 W	0.00	467 of 692 - Sale <sup>25</sup>
10.000		56.70	07/24/20	92.31	35.61 W	0.00	468 of 692 - Sale <sup>25</sup>
10.000		56.70	07/24/20	92.32	35.62 W	0.00	469 of 692 - Sale <sup>25</sup>
10.000		56.70	07/24/20	91.91	35.21 W	0.00	470 of 692 - Sale <sup>25</sup>
12.000		68.04	07/24/20	111.83	43.79 W	0.00	471 of 692 - Sale <sup>25</sup>
13.000		73.71	07/24/20	121.19	47.48 W	0.00	472 of 692 - Sale <sup>25</sup>
15.000		85.05	07/24/20	137.72	52.67 W	0.00	473 of 692 - Sale <sup>25</sup>
17.000		96.39	07/24/20	158.27	61.88 W	0.00	474 of 692 - Sale <sup>25</sup>
20.000		113.39	07/24/20	186.45	73.06 W	0.00	475 of 692 - Sale <sup>25</sup>
20.000		113.39	07/24/20	186.44	73.05 W	0.00	476 of 692 - Sale <sup>25</sup>
20.000		113.39	07/24/20	184.86	71.47 W	0.00	477 of 692 - Sale <sup>25</sup>
20.000		113.39	07/24/20	184.85	71.46 W	0.00	478 of 692 - Sale <sup>25</sup>
24.000		136.07	07/24/20	223.73	87.66 W	0.00	479 of 692 - Sale <sup>25</sup>
30.000		170.09	07/24/20	277.28	107.19 W	0.00	480 of 692 - Sale <sup>25</sup>
30.000		170.09	07/24/20	275.48	105.39 W	0.00	481 of 692 - Sale <sup>25</sup>
33.000		187.10	07/24/20	307.58	120.48 W	0.00	482 of 692 - Sale <sup>25</sup>
40.000		226.79	07/24/20	372.82	146.03 W	0.00	483 of 692 - Sale <sup>25</sup>
40.000		226.79	07/24/20	369.30	142.51 W	0.00	484 of 692 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARBUS BIOPHARMA CORPORATION COMMON STOCK / CUSIP: 03879J100 / Symbol: (cont'd)							
40.000		226.79	07/24/20	367.69	140.90 W	0.00	485 of 692 - Sale <sup>25</sup>
43.000		243.80	07/24/20	400.34	156.54 W	0.00	486 of 692 - Sale <sup>25</sup>
45.000		255.14	07/24/20	419.40	164.26 W	0.00	487 of 692 - Sale <sup>25</sup>
49.000		277.82	07/24/20	452.40	174.58 W	0.00	488 of 692 - Sale <sup>25</sup>
50.000		283.49	07/24/20	459.11	175.62 W	0.00	489 of 692 - Sale <sup>25</sup>
50.000		283.49	07/24/20	461.62	178.13 W	0.00	490 of 692 - Sale <sup>25</sup>
50.000		283.48	07/24/20	461.60	178.12 W	0.00	491 of 692 - Sale <sup>25</sup>
17.000		96.39	07/24/20	158.48	62.09 W	0.00	492 of 692 - Sale <sup>25</sup>
85.000		481.93	07/24/20	780.52	298.59 W	0.00	493 of 692 - Sale <sup>25</sup>
190.000		1,077.25	07/24/20	1,077.30	0.05 W	0.00	494 of 692 - Sale <sup>25</sup>
1.000		5.70	07/24/20	9.11	3.41 W	0.00	495 of 692 - Sale <sup>25</sup>
3.000		17.10	07/24/20	27.57	10.47 W	0.00	496 of 692 - Sale <sup>25</sup>
3.000		17.10	07/24/20	27.72	10.62 W	0.00	497 of 692 - Sale <sup>25</sup>
3.000		17.10	07/24/20	27.33	10.23 W	0.00	498 of 692 - Sale <sup>25</sup>
5.000		28.50	07/24/20	45.96	17.46 W	0.00	499 of 692 - Sale <sup>25</sup>
8.000		45.60	07/24/20	72.89	27.29 W	0.00	500 of 692 - Sale <sup>25</sup>
10.000		57.00	07/24/20	91.91	34.91 W	0.00	501 of 692 - Sale <sup>25</sup>
10.000		57.00	07/24/20	91.12	34.12 W	0.00	502 of 692 - Sale <sup>25</sup>
10.000		57.00	07/24/20	97.60	40.60 W	0.00	503 of 692 - Sale <sup>25</sup>
11.000		62.70	07/24/20	100.23	37.53 W	0.00	504 of 692 - Sale <sup>25</sup>
12.000		68.40	07/24/20	110.90	42.50 W	0.00	505 of 692 - Sale <sup>25</sup>
12.000		68.40	07/24/20	109.34	40.94 W	0.00	506 of 692 - Sale <sup>25</sup>
15.000		85.50	07/24/20	137.88	52.38 W	0.00	507 of 692 - Sale <sup>25</sup>
17.000		96.90	07/24/20	156.28	59.38 W	0.00	508 of 692 - Sale <sup>25</sup>
17.000		96.89	07/24/20	157.11	60.22 W	0.00	509 of 692 - Sale <sup>25</sup>
17.000		96.89	07/24/20	154.89	58.00 W	0.00	510 of 692 - Sale <sup>25</sup>
18.000		102.60	07/24/20	166.20	63.60 W	0.00	511 of 692 - Sale <sup>25</sup>
20.000		113.99	07/24/20	195.25	81.26 W	0.00	512 of 692 - Sale <sup>25</sup>
32.000		182.39	07/24/20	291.60	109.21 W	0.00	513 of 692 - Sale <sup>25</sup>
32.000		182.39	07/24/20	291.60	109.21 W	0.00	514 of 692 - Sale <sup>25</sup>
32.000		182.39	07/24/20	291.61	109.22 W	0.00	515 of 692 - Sale <sup>25</sup>
33.000		188.09	07/24/20	322.14	134.05 W	0.00	516 of 692 - Sale <sup>25</sup>
37.000		210.89	07/24/20	361.18	150.29 W	0.00	517 of 692 - Sale <sup>25</sup>
40.000		227.99	07/24/20	369.30	141.31 W	0.00	518 of 692 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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ARBUS BIOPHARMA CORPORATION COMMON STOCK / CUSIP: 03879J100 / Symbol: (cont'd)							
42.000		239.39	07/24/20	382.73	143.34 W	0.00	519 of 692 - Sale <sup>25</sup>
15.000		85.49	07/24/20	145.67	60.18 W	0.00	520 of 692 - Sale <sup>25</sup>
5.000		28.50	07/24/20	48.56	20.06 W	0.00	521 of 692 - Sale <sup>25</sup>
1.000		5.70	07/24/20	9.71	4.01 W	0.00	522 of 692 - Sale <sup>25</sup>
19.000		108.29	07/24/20	184.51	76.22 W	0.00	523 of 692 - Sale <sup>25</sup>
8.000		45.60	07/24/20	78.83	33.23 W	0.00	524 of 692 - Sale <sup>25</sup>
31.000		176.69	07/24/20	301.04	124.35 W	0.00	525 of 692 - Sale <sup>25</sup>
29.000		165.29	07/24/20	281.64	116.35 W	0.00	526 of 692 - Sale <sup>25</sup>
1.000		5.70	07/24/20	9.45	3.75 W	0.00	527 of 692 - Sale <sup>25</sup>
10.000		57.00	07/24/20	62.10	5.10 W	0.00	528 of 692 - Sale <sup>25</sup>
20.000		113.99	07/24/20	197.05	83.06 W	0.00	529 of 692 - Sale <sup>25</sup>
20.000		113.99	07/24/20	124.22	10.23 W	0.00	530 of 692 - Sale <sup>25</sup>
8.000		45.60	07/24/20	75.62	30.02 W	0.00	531 of 692 - Sale <sup>25</sup>
8.000		45.60	07/24/20	78.82	33.22 W	0.00	532 of 692 - Sale <sup>25</sup>
14.000		79.80	07/24/20	137.96	58.16 W	0.00	533 of 692 - Sale <sup>25</sup>
30.000		170.99	07/24/20	295.58	124.59 W	0.00	534 of 692 - Sale <sup>25</sup>
2.000		11.30	07/24/20	18.90	7.60 W	0.00	535 of 692 - Sale <sup>25</sup>
12.000		67.80	07/24/20	113.42	45.62 W	0.00	536 of 692 - Sale <sup>25</sup>
21.000		118.64	07/24/20	198.52	79.88 W	0.00	537 of 692 - Sale <sup>25</sup>
65.000		367.23	07/24/20	614.42	247.19 W	0.00	538 of 692 - Sale <sup>25</sup>
5.000		28.25	07/24/20	47.25	19.00 W	0.00	539 of 692 - Sale <sup>25</sup>
10.000		56.50	07/24/20	96.22	39.72 W	0.00	540 of 692 - Sale <sup>25</sup>
13.000		73.44	07/24/20	134.98	61.54 W	0.00	541 of 692 - Sale <sup>25</sup>
9.000		50.85	07/24/20	93.46	42.61 W	0.00	542 of 692 - Sale <sup>25</sup>
57.000		322.03	07/24/20	591.86	269.83 W	0.00	543 of 692 - Sale <sup>25</sup>
6.000		33.90	07/24/20	56.72	22.82 W	0.00	544 of 692 - Sale <sup>25</sup>
5.000		28.25	07/24/20	46.76	18.51 W	0.00	545 of 692 - Sale <sup>25</sup>
10.000		56.50	07/24/20	93.51	37.01 W	0.00	546 of 692 - Sale <sup>25</sup>
15.000		84.74	07/24/20	140.44	55.70 W	0.00	547 of 692 - Sale <sup>25</sup>
11.000		62.15	07/24/20	114.22	52.07 W	0.00	548 of 692 - Sale <sup>25</sup>
9.000		50.85	07/24/20	83.91	33.06 W	0.00	549 of 692 - Sale <sup>25</sup>
13.000		73.44	07/24/20	121.19	47.75 W	0.00	550 of 692 - Sale <sup>25</sup>
17.000		96.05	07/24/20	158.48	62.43 W	0.00	551 of 692 - Sale <sup>25</sup>
20.000		112.99	07/24/20	187.25	74.26 W	0.00	552 of 692 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARBUS BIOPHARMA CORPORATION COMMON STOCK / CUSIP: 03879J100 / Symbol: (cont'd)							
	1.000	5.64	07/24/20	9.32	3.68 W	0.00	553 of 692 - Sale <sup>25</sup>
	6.000	33.84	07/24/20	55.93	22.09 W	0.00	554 of 692 - Sale <sup>25</sup>
	10.000	56.40	07/24/20	93.23	36.83 W	0.00	555 of 692 - Sale <sup>25</sup>
	10.000	56.40	07/24/20	93.18	36.78 W	0.00	556 of 692 - Sale <sup>25</sup>
	12.000	67.68	07/24/20	111.83	44.15 W	0.00	557 of 692 - Sale <sup>25</sup>
	15.000	84.60	07/24/20	137.72	53.12 W	0.00	558 of 692 - Sale <sup>25</sup>
	17.000	95.88	07/24/20	158.27	62.39 W	0.00	559 of 692 - Sale <sup>25</sup>
	20.000	112.80	07/24/20	186.45	73.65 W	0.00	560 of 692 - Sale <sup>25</sup>
	24.000	135.35	07/24/20	223.74	88.39 W	0.00	561 of 692 - Sale <sup>25</sup>
	25.000	140.99	07/24/20	229.57	88.58 W	0.00	562 of 692 - Sale <sup>25</sup>
	33.000	186.11	07/24/20	307.59	121.48 W	0.00	563 of 692 - Sale <sup>25</sup>
	40.000	225.59	07/24/20	372.83	147.24 W	0.00	564 of 692 - Sale <sup>25</sup>
	43.000	242.51	07/24/20	400.35	157.84 W	0.00	565 of 692 - Sale <sup>25</sup>
	45.000	253.79	07/24/20	419.41	165.62 W	0.00	566 of 692 - Sale <sup>25</sup>
	1.000	5.64	07/24/20	9.23	3.59 W	0.00	567 of 692 - Sale <sup>25</sup>
	10.000	56.40	07/24/20	92.31	35.91 W	0.00	568 of 692 - Sale <sup>25</sup>
	40.000	225.59	07/24/20	369.31	143.72 W	0.00	569 of 692 - Sale <sup>25</sup>
	40.000	225.59	07/24/20	369.30	143.71 W	0.00	570 of 692 - Sale <sup>25</sup>
	49.000	276.35	07/24/20	452.41	176.06 W	0.00	571 of 692 - Sale <sup>25</sup>
	50.000	281.99	07/24/20	459.12	177.13 W	0.00	572 of 692 - Sale <sup>25</sup>
	50.000	281.99	07/24/20	461.63	179.64 W	0.00	573 of 692 - Sale <sup>25</sup>
	60.000	338.38	07/24/20	550.97	212.59 W	0.00	574 of 692 - Sale <sup>25</sup>
	10.000	56.40	07/24/20	92.32	35.92 W	0.00	575 of 692 - Sale <sup>25</sup>
	10.000	56.40	07/24/20	92.32	35.92 W	0.00	576 of 692 - Sale <sup>25</sup>
	10.000	56.40	07/24/20	91.91	35.51 W	0.00	577 of 692 - Sale <sup>25</sup>
	20.000	112.79	07/24/20	184.87	72.08 W	0.00	578 of 692 - Sale <sup>25</sup>
	20.000	112.79	07/24/20	184.86	72.07 W	0.00	579 of 692 - Sale <sup>25</sup>
	30.000	169.19	07/24/20	277.29	108.10 W	0.00	580 of 692 - Sale <sup>25</sup>
	30.000	169.19	07/24/20	275.49	106.30 W	0.00	581 of 692 - Sale <sup>25</sup>
	40.000	225.59	07/24/20	367.70	142.11 W	0.00	582 of 692 - Sale <sup>25</sup>
	130.000	733.17	07/24/20	737.13	3.96 W	0.00	583 of 692 - Sale <sup>25</sup>
	60.000	338.38	07/24/20	340.22	1.84 W	0.00	584 of 692 - Sale <sup>25</sup>
	1.000	5.72	07/24/20	9.08	3.36 W	0.00	585 of 692 - Sale <sup>25</sup>
	3.000	17.16	07/24/20	27.48	10.32 W	0.00	586 of 692 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARBUS BIOPHARMA CORPORATION COMMON STOCK / CUSIP: 03879J100 / Symbol: (cont'd)							
	3.000	17.16	07/24/20	27.63	10.47 W	0.00	587 of 692 - Sale <sup>25</sup>
	5.000	28.60	07/24/20	45.81	17.21 W	0.00	588 of 692 - Sale <sup>25</sup>
	8.000	45.76	07/24/20	72.65	26.89 W	0.00	589 of 692 - Sale <sup>25</sup>
	10.000	57.20	07/24/20	91.61	34.41 W	0.00	590 of 692 - Sale <sup>25</sup>
	10.000	57.20	07/24/20	90.82	33.62 W	0.00	591 of 692 - Sale <sup>25</sup>
	12.000	68.64	07/24/20	110.54	41.90 W	0.00	592 of 692 - Sale <sup>25</sup>
	15.000	85.80	07/24/20	137.43	51.63 W	0.00	593 of 692 - Sale <sup>25</sup>
	17.000	97.24	07/24/20	155.77	58.53 W	0.00	594 of 692 - Sale <sup>25</sup>
	17.000	97.24	07/24/20	156.61	59.37 W	0.00	595 of 692 - Sale <sup>25</sup>
	17.000	97.24	07/24/20	154.39	57.15 W	0.00	596 of 692 - Sale <sup>25</sup>
	18.000	102.96	07/24/20	165.66	62.70 W	0.00	597 of 692 - Sale <sup>25</sup>
	32.000	183.03	07/24/20	290.65	107.62 W	0.00	598 of 692 - Sale <sup>25</sup>
	32.000	183.03	07/24/20	290.65	107.62 W	0.00	599 of 692 - Sale <sup>25</sup>
	40.000	228.79	07/24/20	368.11	139.32 W	0.00	600 of 692 - Sale <sup>25</sup>
	1.000	5.72	07/24/20	9.08	3.36 W	0.00	601 of 692 - Sale <sup>25</sup>
	1.000	5.72	07/24/20	9.68	3.96 W	0.00	602 of 692 - Sale <sup>25</sup>
	3.000	17.16	07/24/20	27.24	10.08 W	0.00	603 of 692 - Sale <sup>25</sup>
	5.000	28.60	07/24/20	48.41	19.81 W	0.00	604 of 692 - Sale <sup>25</sup>
	10.000	57.20	07/24/20	90.82	33.62 W	0.00	605 of 692 - Sale <sup>25</sup>
	10.000	57.20	07/24/20	97.30	40.10 W	0.00	606 of 692 - Sale <sup>25</sup>
	12.000	68.64	07/24/20	108.98	40.34 W	0.00	607 of 692 - Sale <sup>25</sup>
	15.000	85.79	07/24/20	145.23	59.44 W	0.00	608 of 692 - Sale <sup>25</sup>
	19.000	108.67	07/24/20	183.95	75.28 W	0.00	609 of 692 - Sale <sup>25</sup>
	20.000	114.39	07/24/20	194.66	80.27 W	0.00	610 of 692 - Sale <sup>25</sup>
	29.000	165.87	07/24/20	280.78	114.91 W	0.00	611 of 692 - Sale <sup>25</sup>
	31.000	177.31	07/24/20	300.12	122.81 W	0.00	612 of 692 - Sale <sup>25</sup>
	32.000	183.03	07/24/20	290.66	107.63 W	0.00	613 of 692 - Sale <sup>25</sup>
	33.000	188.75	07/24/20	321.16	132.41 W	0.00	614 of 692 - Sale <sup>25</sup>
	37.000	211.63	07/24/20	360.08	148.45 W	0.00	615 of 692 - Sale <sup>25</sup>
	42.000	240.23	07/24/20	381.48	141.25 W	0.00	616 of 692 - Sale <sup>25</sup>
	1.000	5.72	07/24/20	9.42	3.70 W	0.00	617 of 692 - Sale <sup>25</sup>
	2.000	11.44	07/24/20	18.94	7.50 W	0.00	618 of 692 - Sale <sup>25</sup>
	8.000	45.76	07/24/20	78.59	32.83 W	0.00	619 of 692 - Sale <sup>25</sup>
	8.000	45.76	07/24/20	78.58	32.82 W	0.00	620 of 692 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARBUS BIOPHARMA CORPORATION COMMON STOCK / CUSIP: 03879J100 / Symbol: (cont'd)							
	8.000	45.76	07/24/20	75.38	29.62 W	0.00	621 of 692 - Sale <sup>25</sup>
	10.000	57.20	07/24/20	61.80	4.60 W	0.00	622 of 692 - Sale <sup>25</sup>
	12.000	68.63	07/24/20	113.66	45.03 W	0.00	623 of 692 - Sale <sup>25</sup>
	14.000	80.08	07/24/20	137.54	57.46 W	0.00	624 of 692 - Sale <sup>25</sup>
	20.000	114.39	07/24/20	196.46	82.07 W	0.00	625 of 692 - Sale <sup>25</sup>
	20.000	114.39	07/24/20	123.63	9.24 W	0.00	626 of 692 - Sale <sup>25</sup>
	21.000	120.11	07/24/20	198.95	78.84 W	0.00	627 of 692 - Sale <sup>25</sup>
	30.000	171.59	07/24/20	294.69	123.10 W	0.00	628 of 692 - Sale <sup>25</sup>
	6.000	34.32	07/24/20	56.84	22.52 W	0.00	629 of 692 - Sale <sup>25</sup>
	3.000	17.16	07/24/20	31.21	14.05 W	0.00	630 of 692 - Sale <sup>25</sup>
	5.000	28.60	07/24/20	47.35	18.75 W	0.00	631 of 692 - Sale <sup>25</sup>
	6.000	34.32	07/24/20	56.84	22.52 W	0.00	632 of 692 - Sale <sup>25</sup>
	10.000	57.20	07/24/20	96.42	39.22 W	0.00	633 of 692 - Sale <sup>25</sup>
	57.000	326.02	07/24/20	593.02	267.00 W	0.00	634 of 692 - Sale <sup>25</sup>
	59.000	337.47	07/24/20	558.90	221.43 W	0.00	635 of 692 - Sale <sup>25</sup>
	5.000	28.60	07/24/20	46.86	18.26 W	0.00	636 of 692 - Sale <sup>25</sup>
	9.000	51.48	07/24/20	93.64	42.16 W	0.00	637 of 692 - Sale <sup>25</sup>
	10.000	57.20	07/24/20	104.04	46.84 W	0.00	638 of 692 - Sale <sup>25</sup>
	10.000	57.20	07/24/20	93.71	36.51 W	0.00	639 of 692 - Sale <sup>25</sup>
	11.000	62.91	07/24/20	114.44	51.53 W	0.00	640 of 692 - Sale <sup>25</sup>
	15.000	85.79	07/24/20	140.75	54.96 W	0.00	641 of 692 - Sale <sup>25</sup>
	1.000	5.72	07/24/20	9.35	3.63 W	0.00	642 of 692 - Sale <sup>25</sup>
	6.000	34.32	07/24/20	56.11	21.79 W	0.00	643 of 692 - Sale <sup>25</sup>
	9.000	51.49	07/24/20	84.09	32.60 W	0.00	644 of 692 - Sale <sup>25</sup>
	10.000	57.21	07/24/20	93.53	36.32 W	0.00	645 of 692 - Sale <sup>25</sup>
	13.000	74.37	07/24/20	121.46	47.09 W	0.00	646 of 692 - Sale <sup>25</sup>
	17.000	97.25	07/24/20	158.82	61.57 W	0.00	647 of 692 - Sale <sup>25</sup>
	20.000	114.41	07/24/20	187.66	73.25 W	0.00	648 of 692 - Sale <sup>25</sup>
	24.000	137.30	07/24/20	224.47	87.17 W	0.00	649 of 692 - Sale <sup>25</sup>
	8.000	45.84	07/24/20	75.60	29.76 W	0.00	650 of 692 - Sale <sup>25</sup>
	12.000	68.76	07/24/20	113.39	44.63 W	0.00	651 of 692 - Sale <sup>25</sup>
	17.000	97.41	07/24/20	160.48	63.07 W	0.00	652 of 692 - Sale <sup>25</sup>
	20.000	114.60	07/24/20	189.05	74.45 W	0.00	653 of 692 - Sale <sup>25</sup>
	43.000	246.38	07/24/20	405.95	159.57 W	0.00	654 of 692 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARBUS BIOPHARMA CORPORATION COMMON STOCK / CUSIP: 03879J100 / Symbol: (cont'd)							
	10.000	57.30	07/24/20	94.48	37.18 W	0.00	655 of 692 - Sale <sup>25</sup>
	20.000	114.59	07/24/20	189.02	74.43 W	0.00	656 of 692 - Sale <sup>25</sup>
	33.000	189.08	07/24/20	311.89	122.81 W	0.00	657 of 692 - Sale <sup>25</sup>
	37.000	212.00	07/24/20	349.67	137.67 W	0.00	658 of 692 - Sale <sup>25</sup>
	1.000	5.73	07/24/20	9.37	3.64 W	0.00	659 of 692 - Sale <sup>25</sup>
	3.000	17.19	07/24/20	27.66	10.47 W	0.00	660 of 692 - Sale <sup>25</sup>
	5.000	28.65	07/24/20	46.11	17.46 W	0.00	661 of 692 - Sale <sup>25</sup>
	10.000	57.30	07/24/20	93.71	36.41 W	0.00	662 of 692 - Sale <sup>25</sup>
	10.000	57.30	07/24/20	93.72	36.42 W	0.00	663 of 692 - Sale <sup>25</sup>
	10.000	57.30	07/24/20	93.72	36.42 W	0.00	664 of 692 - Sale <sup>25</sup>
	10.000	57.30	07/24/20	93.31	36.01 W	0.00	665 of 692 - Sale <sup>25</sup>
	10.000	57.30	07/24/20	92.21	34.91 W	0.00	666 of 692 - Sale <sup>25</sup>
	15.000	85.95	07/24/20	139.82	53.87 W	0.00	667 of 692 - Sale <sup>25</sup>
	15.000	85.95	07/24/20	138.33	52.38 W	0.00	668 of 692 - Sale <sup>25</sup>
	17.000	97.40	07/24/20	156.79	59.39 W	0.00	669 of 692 - Sale <sup>25</sup>
	20.000	114.59	07/24/20	189.22	74.63 W	0.00	670 of 692 - Sale <sup>25</sup>
	20.000	114.59	07/24/20	187.68	73.09 W	0.00	671 of 692 - Sale <sup>25</sup>
	20.000	114.59	07/24/20	187.67	73.08 W	0.00	672 of 692 - Sale <sup>25</sup>
	25.000	143.24	07/24/20	233.08	89.84 W	0.00	673 of 692 - Sale <sup>25</sup>
	30.000	171.89	07/24/20	281.50	109.61 W	0.00	674 of 692 - Sale <sup>25</sup>
	30.000	171.89	07/24/20	279.70	107.81 W	0.00	675 of 692 - Sale <sup>25</sup>
	30.000	171.89	07/24/20	277.89	106.00 W	0.00	676 of 692 - Sale <sup>25</sup>
	40.000	229.19	07/24/20	374.92	145.73 W	0.00	677 of 692 - Sale <sup>25</sup>
	40.000	229.19	07/24/20	374.91	145.72 W	0.00	678 of 692 - Sale <sup>25</sup>
	40.000	229.19	07/24/20	373.31	144.12 W	0.00	679 of 692 - Sale <sup>25</sup>
	49.000	280.76	07/24/20	459.28	178.52 W	0.00	680 of 692 - Sale <sup>25</sup>
	50.000	286.49	07/24/20	466.13	179.64 W	0.00	681 of 692 - Sale <sup>25</sup>
	50.000	286.49	07/24/20	468.64	182.15 W	0.00	682 of 692 - Sale <sup>25</sup>
	60.000	343.78	07/24/20	559.39	215.61 W	0.00	683 of 692 - Sale <sup>25</sup>
	60.000	343.78	07/24/20	348.64	4.86 W	0.00	684 of 692 - Sale <sup>25</sup>
	130.000	744.87	07/24/20	755.36	10.49 W	0.00	685 of 692 - Sale <sup>25</sup>
	3.000	17.04	07/24/20	27.87	10.83 W	0.00	686 of 692 - Sale <sup>25</sup>
	8.000	45.44	07/24/20	73.28	27.84 W	0.00	687 of 692 - Sale <sup>25</sup>
	10.000	56.80	07/24/20	92.82	36.02 W	0.00	688 of 692 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARBUS BIOPHARMA CORPORATION COMMON STOCK / CUSIP: 03879J100 / Symbol: (cont'd)							
	10.000	56.80	07/24/20	91.61	34.81 W	0.00	689 of 692 - Sale <sup>25</sup>
	12.000	68.16	07/24/20	111.49	43.33 W	0.00	690 of 692 - Sale <sup>25</sup>
	17.000	96.56	07/24/20	157.95	61.39 W	0.00	691 of 692 - Sale <sup>25</sup>
	18.000	102.23	07/24/20	167.08	64.85 W	0.00	692 of 692 - Sale <sup>25</sup>
07/24/20	25,240.000	148,236.99	Various	216,896.10	68,554.64 W	-104.47	Total of 692 transactions
	24 transactions for 07/27/20. Total proceeds and cost reported to the IRS.						
	40.000	197.19	07/24/20	360.85	...	-163.66	1 of 24 - Sale <sup>25</sup>
	30.000	147.89	07/24/20	273.07	...	-125.18	2 of 24 - Sale <sup>25</sup>
	30.000	147.89	07/24/20	271.27	...	-123.38	3 of 24 - Sale <sup>25</sup>
	20.000	98.59	07/24/20	185.99	...	-87.40	4 of 24 - Sale <sup>25</sup>
	10.000	49.30	07/24/20	92.99	...	-43.69	5 of 24 - Sale <sup>25</sup>
	10.000	49.30	07/24/20	91.03	...	-41.73	6 of 24 - Sale <sup>25</sup>
	10.000	49.30	07/24/20	90.19	...	-40.89	7 of 24 - Sale <sup>25</sup>
	20.000	89.40	07/27/20	159.67	...	-70.27	8 of 24 - Sale <sup>25</sup>
	18.000	80.46	07/27/20	144.95	...	-64.49	9 of 24 - Sale <sup>25</sup>
	17.000	75.99	07/27/20	137.04	...	-61.05	10 of 24 - Sale <sup>25</sup>
	17.000	76.87	07/27/20	137.56	...	-60.69	11 of 24 - Sale <sup>25</sup>
	15.000	67.82	07/27/20	121.35	...	-53.53	12 of 24 - Sale <sup>25</sup>
	12.000	53.64	07/27/20	96.73	...	-43.09	13 of 24 - Sale <sup>25</sup>
	10.000	45.21	07/27/20	81.31	...	-36.10	14 of 24 - Sale <sup>25</sup>
	10.000	44.70	07/27/20	80.52	...	-35.82	15 of 24 - Sale <sup>25</sup>
	10.000	45.22	07/27/20	80.89	...	-35.67	16 of 24 - Sale <sup>25</sup>
	10.000	44.70	07/27/20	79.31	...	-34.61	17 of 24 - Sale <sup>25</sup>
	8.000	35.75	07/27/20	63.44	...	-27.69	18 of 24 - Sale <sup>25</sup>
	130.000	587.82	07/27/20	608.25	...	-20.43	19 of 24 - Sale <sup>25</sup>
	5.000	22.61	07/27/20	40.45	...	-17.84	20 of 24 - Sale <sup>25</sup>
	3.000	13.41	07/27/20	24.18	...	-10.77	21 of 24 - Sale <sup>25</sup>
	3.000	13.56	07/27/20	24.26	...	-10.70	22 of 24 - Sale <sup>25</sup>
	60.000	271.30	07/27/20	280.75	...	-9.45	23 of 24 - Sale <sup>25</sup>
	2.000	8.94	07/27/20	15.87	...	-6.93	24 of 24 - Sale <sup>25</sup>
07/27/20	500.000	2,316.86	Various	3,541.92	...	-1,225.06	Total of 24 transactions
	<b>Security total:</b>	<b>186,354.44</b>		<b>260,704.51</b>	<b>68,595.07 W</b>	<b>-5,755.00</b>	

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ARAVIVE, INC. COMMON STOCK / CUSIP: 03890D108 / Symbol:							
3 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	50.000	290.00	03/27/20	297.76	...	-7.76	1 of 3 - Sale <sup>25</sup>
	49.000	284.20	03/27/20	291.80	...	-7.60	2 of 3 - Sale <sup>25</sup>
	1.000	6.05	03/27/20	5.96	...	0.09	3 of 3 - Sale <sup>25</sup>
03/30/20	100.000	580.25	Various	595.52	...	-15.27	Total of 3 transactions
ARCELORMITTAL / CUSIP: 03938L203 / Symbol:							
08/10/20	50.000	612.98	08/10/20	615.25	...	-2.27	Sale <sup>25</sup>
ARCIMOTO, INC. COMMON STOCK / CUSIP: 039587100 / Symbol:							
07/07/20	65.000	495.12	07/07/20	494.00	...	1.12	Sale <sup>25</sup>
2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	85.000	647.47	07/07/20	656.19	8.72 W	0.00	1 of 2 - Sale <sup>25</sup>
	15.000	114.75	07/07/20	117.04	2.29 W	0.00	2 of 2 - Sale <sup>25</sup>
07/07/20	100.000	762.22	Various	773.23	11.01 W	0.00	Total of 2 transactions
2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	20.000	160.60	07/08/20	163.05	2.45 W	0.00	1 of 2 - Sale <sup>25</sup>
	30.000	240.90	07/08/20	244.58	3.68 W	0.00	2 of 2 - Sale <sup>25</sup>
07/08/20	50.000	401.50	Various	407.63	6.13 W	0.00	Total of 2 transactions
11 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	100.000	624.97	07/14/20	669.35	...	-44.38	1 of 11 - Sale <sup>25</sup>
	71.000	429.53	07/14/20	466.21	...	-36.68	2 of 11 - Sale <sup>25</sup>
	75.000	468.73	07/14/20	502.01	...	-33.28	3 of 11 - Sale <sup>25</sup>
	50.000	312.49	07/14/20	331.89	...	-19.40	4 of 11 - Sale <sup>25</sup>
	29.000	175.44	07/14/20	190.43	...	-14.99	5 of 11 - Sale <sup>25</sup>
	20.000	120.99	07/14/20	134.76	...	-13.77	6 of 11 - Sale <sup>25</sup>
	15.000	90.75	07/14/20	101.83	...	-11.08	7 of 11 - Sale <sup>25</sup>
	10.000	60.50	07/14/20	65.66	...	-5.16	8 of 11 - Sale <sup>25</sup>
	4.000	24.20	07/14/20	27.03	...	-2.83	9 of 11 - Sale <sup>25</sup>
	1.000	6.05	07/14/20	6.76	...	-0.71	10 of 11 - Sale <sup>25</sup>
	10.000	64.33	07/14/20	60.36	...	3.97	11 of 11 - Sale <sup>25</sup>
07/14/20	385.000	2,377.98	Various	2,556.29	...	-178.31	Total of 11 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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ARCIMOTO, INC. COMMON STOCK / CUSIP: 039587100 / Symbol: (cont'd)							
16 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	15.000	89.25	07/14/20	91.79	2.54 W	0.00	1 of 16 - Sale <sup>25</sup>
	20.000	119.00	07/14/20	121.38	2.38 W	0.00	2 of 16 - Sale <sup>25</sup>
	20.000	119.00	07/14/20	121.78	2.78 W	0.00	3 of 16 - Sale <sup>25</sup>
	30.000	178.49	07/14/20	182.67	4.18 W	0.00	4 of 16 - Sale <sup>25</sup>
	165.000	981.71	07/14/20	984.45	2.74 W	0.00	5 of 16 - Sale <sup>25</sup>
	750.000	4,387.53	07/14/20	4,474.80	87.27 W	0.00	6 of 16 - Sale <sup>25</sup>
	15.000	87.75	07/14/20	92.83	5.08 W	0.00	7 of 16 - Sale <sup>25</sup>
	20.000	117.00	07/14/20	122.76	5.76 W	0.00	8 of 16 - Sale <sup>25</sup>
	20.000	117.00	07/14/20	123.16	6.16 W	0.00	9 of 16 - Sale <sup>25</sup>
	30.000	175.50	07/14/20	184.75	9.25 W	0.00	10 of 16 - Sale <sup>25</sup>
	55.000	321.76	07/14/20	331.96	10.20 W	0.00	11 of 16 - Sale <sup>25</sup>
	100.000	585.27	07/14/20	603.55	18.28 W	0.00	12 of 16 - Sale <sup>25</sup>
	90.000	578.93	07/14/20	580.17	1.24 W	0.00	13 of 16 - Sale <sup>25</sup>
	150.000	964.90	07/14/20	966.92	2.02 W	0.00	14 of 16 - Sale <sup>25</sup>
	75.000	482.44	07/14/20	502.01	19.57 W	0.00	15 of 16 - Sale <sup>25</sup>
	100.000	643.67	07/14/20	669.35	25.68 W	0.00	16 of 16 - Sale <sup>25</sup>
07/14/20	1,655.000	9,949.20	Various	10,154.33	205.13 W	0.00	Total of 16 transactions
3 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	55.000	338.78	07/16/20	356.69	...	-17.91	1 of 3 - Sale <sup>25</sup>
	30.000	184.79	07/16/20	198.24	...	-13.45	2 of 3 - Sale <sup>25</sup>
	15.000	92.40	07/16/20	99.12	...	-6.72	3 of 3 - Sale <sup>25</sup>
07/16/20	100.000	615.97	Various	654.05	...	-38.08	Total of 3 transactions
07/20/20	15.000	88.52	07/20/20	88.54	0.02 W	0.00	Sale <sup>25</sup>
2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	15.000	93.00	07/21/20	87.21	...	5.79	1 of 2 - Sale <sup>25</sup>
	85.000	526.98	07/21/20	508.53	...	18.45	2 of 2 - Sale <sup>25</sup>
07/21/20	100.000	619.98	Various	595.74	...	24.24	Total of 2 transactions
7 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	75.000	464.98	07/21/20	472.03	7.05 W	0.00	1 of 7 - Sale <sup>25</sup>
	25.000	154.99	07/21/20	157.34	2.35 W	0.00	2 of 7 - Sale <sup>25</sup>
	50.000	309.98	07/21/20	314.67	4.69 W	0.00	3 of 7 - Sale <sup>25</sup>
	75.000	464.98	07/21/20	472.00	7.02 W	0.00	4 of 7 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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ARCIMOTO, INC. COMMON STOCK / CUSIP: 039587100 / Symbol: (cont'd)							
	75.000	464.98	07/21/20	490.57	25.59 W	0.00	5 of 7 - Sale <sup>25</sup>
	25.000	154.99	07/21/20	163.42	8.43 W	0.00	6 of 7 - Sale <sup>25</sup>
	75.000	451.87	07/21/20	490.25	38.38 W	0.00	7 of 7 - Sale <sup>25</sup>
07/21/20	400.000	2,466.77	Various	2,560.28	93.51 W	0.00	Total of 7 transactions
	18 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	500.000	3,659.86	08/19/20	3,725.00	...	-65.14	1 of 18 - Sale <sup>25</sup>
	450.000	3,293.87	08/19/20	3,352.50	...	-58.63	2 of 18 - Sale <sup>25</sup>
	400.000	2,927.89	08/19/20	2,980.00	...	-52.11	3 of 18 - Sale <sup>25</sup>
	75.000	548.98	08/19/20	582.87	...	-33.89	4 of 18 - Sale <sup>25</sup>
	318.000	2,327.67	08/19/20	2,353.20	...	-25.53	5 of 18 - Sale <sup>25</sup>
	40.000	292.79	08/19/20	317.68	...	-24.89	6 of 18 - Sale <sup>25</sup>
	35.000	256.19	08/19/20	274.11	...	-17.92	7 of 18 - Sale <sup>25</sup>
	135.000	988.16	08/19/20	1,004.40	...	-16.24	8 of 18 - Sale <sup>25</sup>
	75.000	548.98	08/19/20	564.32	...	-15.34	9 of 18 - Sale <sup>25</sup>
	75.000	548.98	08/19/20	564.29	...	-15.31	10 of 18 - Sale <sup>25</sup>
	100.000	731.97	08/19/20	745.00	...	-13.03	11 of 18 - Sale <sup>25</sup>
	100.000	731.97	08/19/20	744.00	...	-12.03	12 of 18 - Sale <sup>25</sup>
	25.000	182.99	08/19/20	194.19	...	-11.20	13 of 18 - Sale <sup>25</sup>
	50.000	365.99	08/19/20	376.21	...	-10.22	14 of 18 - Sale <sup>25</sup>
	48.000	351.35	08/19/20	357.60	...	-6.25	15 of 18 - Sale <sup>25</sup>
	25.000	182.99	08/19/20	188.11	...	-5.12	16 of 18 - Sale <sup>25</sup>
	2,085.000	15,261.61	08/19/20	15,262.20	...	-0.59	17 of 18 - Sale <sup>25</sup>
	15.000	109.95	08/19/20	109.82	...	0.13	18 of 18 - Sale <sup>25</sup>
08/19/20	4,551.000	33,312.19	Various	33,695.50	...	-383.31	Total of 18 transactions
	7 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	25.000	183.24	08/19/20	185.35	2.11 W	0.00	1 of 7 - Sale <sup>25</sup>
	25.000	183.24	08/19/20	191.43	8.19 W	0.00	2 of 7 - Sale <sup>25</sup>
	50.000	366.48	08/19/20	370.69	4.21 W	0.00	3 of 7 - Sale <sup>25</sup>
	75.000	549.73	08/19/20	556.05	6.32 W	0.00	4 of 7 - Sale <sup>25</sup>
	75.000	549.73	08/19/20	556.02	6.29 W	0.00	5 of 7 - Sale <sup>25</sup>
	75.000	549.72	08/19/20	574.59	24.87 W	0.00	6 of 7 - Sale <sup>25</sup>
	40.000	293.19	08/19/20	313.27	20.08 W	0.00	7 of 7 - Sale <sup>25</sup>
08/19/20	365.000	2,675.33	Various	2,747.40	72.07 W	0.00	Total of 7 transactions
	<b>Security total:</b>	<b>53,764.78</b>		<b>54,726.99</b>	<b>387.87 W</b>	<b>-574.34</b>	

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ASHFORD HOSPITALITY TRUST, INC. / CUSIP: 044103109 / Symbol:							
3 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	30.000	26.40	03/23/20	26.31	...	0.09	1 of 3 - Sale <sup>25</sup>
	70.000	61.59	03/23/20	61.39	...	0.20	2 of 3 - Sale <sup>25</sup>
	100.000	87.99	03/23/20	87.70	...	0.29	3 of 3 - Sale <sup>25</sup>
03/24/20	200.000	175.98	Various	175.40	...	0.58	Total of 3 transactions
5 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	100.000	157.99	06/08/20	154.68	...	3.31	1 of 5 - Sale <sup>25</sup>
	150.000	235.47	06/08/20	232.02	...	3.45	2 of 5 - Sale <sup>25</sup>
	50.000	81.99	06/09/20	81.99	...	0.00	3 of 5 - Sale <sup>25</sup>
	100.000	163.99	06/09/20	163.99	...	0.00	4 of 5 - Sale <sup>25</sup>
	1.000	1.64	06/09/20	1.64	...	0.00	5 of 5 - Sale <sup>25</sup>
06/09/20	401.000	641.08	Various	634.32	...	6.76	Total of 5 transactions
3 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	250.000	392.46	06/08/20	412.50	20.04 W	0.00	1 of 3 - Sale <sup>25</sup>
	250.000	409.97	06/09/20	430.02	20.05 W	0.00	2 of 3 - Sale <sup>25</sup>
	99.000	161.36	06/09/20	162.35	0.99 W	0.00	3 of 3 - Sale <sup>25</sup>
06/09/20	599.000	963.79	Various	1,004.87	41.08 W	0.00	Total of 3 transactions
24 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	750.000	644.90	06/29/20	686.25	...	-41.35	1 of 24 - Sale <sup>25</sup>
	500.000	429.93	06/29/20	447.07	...	-17.14	2 of 24 - Sale <sup>25</sup>
	49.000	42.13	06/29/20	43.81	...	-1.68	3 of 24 - Sale <sup>25</sup>
	6.000	5.16	06/29/20	5.36	...	-0.20	4 of 24 - Sale <sup>25</sup>
	1.000	0.86	06/29/20	0.89	...	-0.03	5 of 24 - Sale <sup>25</sup>
	194.000	166.81	06/29/20	166.84	...	-0.03	6 of 24 - Sale <sup>25</sup>
	1.000	0.86	06/29/20	0.83	...	0.03	7 of 24 - Sale <sup>25</sup>
	4.000	3.44	06/29/20	3.19	...	0.25	8 of 24 - Sale <sup>25</sup>
	49.000	42.13	06/29/20	41.13	...	1.00	9 of 24 - Sale <sup>25</sup>
	50.000	42.99	06/29/20	41.99	...	1.00	10 of 24 - Sale <sup>25</sup>
	50.000	42.99	06/29/20	41.63	...	1.36	11 of 24 - Sale <sup>25</sup>
	50.000	42.99	06/29/20	41.48	...	1.51	12 of 24 - Sale <sup>25</sup>
	51.000	43.85	06/29/20	42.32	...	1.53	13 of 24 - Sale <sup>25</sup>
	50.000	42.99	06/29/20	41.44	...	1.55	14 of 24 - Sale <sup>25</sup>
	100.000	85.99	06/29/20	82.91	...	3.08	15 of 24 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ASHFORD HOSPITALITY TRUST, INC . / CUSIP: 044103109 / Symbol: (cont'd)							
	100.000	85.99	06/29/20	82.89	...	3.10	16 of 24 - Sale <sup>25</sup>
	99.000	85.13	06/29/20	81.78	...	3.35	17 of 24 - Sale <sup>25</sup>
	161.000	138.44	06/29/20	133.02	...	5.42	18 of 24 - Sale <sup>25</sup>
	100.000	85.98	06/29/20	79.72	...	6.26	19 of 24 - Sale <sup>25</sup>
	100.000	85.99	06/29/20	79.72	...	6.27	20 of 24 - Sale <sup>25</sup>
	239.000	205.51	06/29/20	197.46	...	8.05	21 of 24 - Sale <sup>25</sup>
	296.000	254.52	06/29/20	235.99	...	18.53	22 of 24 - Sale <sup>25</sup>
	250.000	214.96	06/29/20	194.72	...	20.24	23 of 24 - Sale <sup>25</sup>
	500.000	429.93	06/29/20	394.03	...	35.90	24 of 24 - Sale <sup>25</sup>
06/29/20	3,750.000	3,224.47	Various	3,166.47	...	58.00	Total of 24 transactions
45 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	444.000	381.78	06/29/20	397.01	8.58 W	-6.65	1 of 45 - Sale <sup>25</sup>
	250.000	182.50	06/29/20	206.60	24.10 W	0.00	2 of 45 - Sale <sup>25</sup>
	99.000	72.27	06/29/20	74.86	2.59 W	0.00	3 of 45 - Sale <sup>25</sup>
	151.000	110.23	06/29/20	112.68	2.45 W	0.00	4 of 45 - Sale <sup>25</sup>
	250.000	189.97	06/29/20	216.23	26.26 W	0.00	5 of 45 - Sale <sup>25</sup>
	99.000	75.23	06/29/20	78.67	3.44 W	0.00	6 of 45 - Sale <sup>25</sup>
	151.000	114.74	06/29/20	118.49	3.75 W	0.00	7 of 45 - Sale <sup>25</sup>
	100.000	75.99	06/29/20	76.85	0.86 W	0.00	8 of 45 - Sale <sup>25</sup>
	400.000	303.95	06/29/20	307.40	3.45 W	0.00	9 of 45 - Sale <sup>25</sup>
	4.000	3.04	06/29/20	3.07	0.03 W	0.00	10 of 45 - Sale <sup>25</sup>
	100.000	75.99	06/29/20	76.85	0.86 W	0.00	11 of 45 - Sale <sup>25</sup>
	100.000	75.99	06/29/20	76.85	0.86 W	0.00	12 of 45 - Sale <sup>25</sup>
	296.000	224.92	06/29/20	227.48	2.56 W	0.00	13 of 45 - Sale <sup>25</sup>
	100.000	75.99	06/29/20	88.14	12.15 W	0.00	14 of 45 - Sale <sup>25</sup>
	100.000	75.99	06/29/20	88.15	12.16 W	0.00	15 of 45 - Sale <sup>25</sup>
	50.000	37.99	06/29/20	44.07	6.08 W	0.00	16 of 45 - Sale <sup>25</sup>
	50.000	37.99	06/29/20	40.56	2.57 W	0.00	17 of 45 - Sale <sup>25</sup>
	49.000	37.24	06/29/20	39.74	2.50 W	0.00	18 of 45 - Sale <sup>25</sup>
	51.000	38.75	06/29/20	40.87	2.12 W	0.00	19 of 45 - Sale <sup>25</sup>
	50.000	37.99	06/29/20	40.06	2.07 W	0.00	20 of 45 - Sale <sup>25</sup>
	50.000	38.00	06/29/20	40.21	2.21 W	0.00	21 of 45 - Sale <sup>25</sup>
	4.000	3.08	06/29/20	3.15	0.07 W	0.00	22 of 45 - Sale <sup>25</sup>
	100.000	77.02	06/29/20	78.81	1.79 W	0.00	23 of 45 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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ASHFORD HOSPITALITY TRUST, INC . / CUSIP: 044103109 / Symbol: (cont'd)							
	100.000	77.02	06/29/20	78.81	1.79 W	0.00	24 of 45 - Sale <sup>25</sup>
	100.000	77.02	06/29/20	78.81	1.79 W	0.00	25 of 45 - Sale <sup>25</sup>
	296.000	227.97	06/29/20	233.29	5.32 W	0.00	26 of 45 - Sale <sup>25</sup>
	400.000	308.06	06/29/20	315.25	7.19 W	0.00	27 of 45 - Sale <sup>25</sup>
	50.000	38.51	06/29/20	45.04	6.53 W	0.00	28 of 45 - Sale <sup>25</sup>
	100.000	77.02	06/29/20	90.08	13.06 W	0.00	29 of 45 - Sale <sup>25</sup>
	100.000	77.01	06/29/20	90.09	13.08 W	0.00	30 of 45 - Sale <sup>25</sup>
	49.000	37.74	06/29/20	40.68	2.94 W	0.00	31 of 45 - Sale <sup>25</sup>
	50.000	38.51	06/29/20	41.53	3.02 W	0.00	32 of 45 - Sale <sup>25</sup>
	50.000	38.51	06/29/20	41.03	2.52 W	0.00	33 of 45 - Sale <sup>25</sup>
	50.000	38.51	06/29/20	41.17	2.66 W	0.00	34 of 45 - Sale <sup>25</sup>
	51.000	39.28	06/29/20	41.86	2.58 W	0.00	35 of 45 - Sale <sup>25</sup>
	500.000	385.06	06/29/20	389.65	4.59 W	0.00	36 of 45 - Sale <sup>25</sup>
	100.000	75.00	06/29/20	79.72	4.72 W	0.00	37 of 45 - Sale <sup>25</sup>
	400.000	299.99	06/29/20	318.91	18.92 W	0.00	38 of 45 - Sale <sup>25</sup>
	999.000	859.00	06/29/20	893.11	34.11 W	0.00	39 of 45 - Sale <sup>25</sup>
	50.000	42.99	06/29/20	45.49	2.50 W	0.00	40 of 45 - Sale <sup>25</sup>
	100.000	85.99	06/29/20	90.99	5.00 W	0.00	41 of 45 - Sale <sup>25</sup>
	100.000	85.99	06/29/20	91.01	5.02 W	0.00	42 of 45 - Sale <sup>25</sup>
	1.000	0.86	06/29/20	0.89	0.03 W	0.00	43 of 45 - Sale <sup>25</sup>
	49.000	42.14	06/29/20	43.81	1.67 W	0.00	44 of 45 - Sale <sup>25</sup>
	6.000	5.16	06/29/20	5.36	0.20 W	0.00	45 of 45 - Sale <sup>25</sup>
06/29/20	6,749.000	5,303.98	Various	5,573.38	262.75 W	-6.65	Total of 45 transactions
06/30/20	250.000	192.97	06/29/20	237.33	...	-44.36	Sale <sup>25</sup>
	<b>Security total:</b>	<b>10,502.27</b>		<b>10,791.77</b>	<b>303.83 W</b>	<b>14.33</b>	
ASHFORD HOSPITALITY TRUST, INC . / CUSIP: 044103877 / Symbol:							
07/17/20	10.000	74.00	07/17/20	75.00	...	-1.00	Sale <sup>25</sup>
ASTRAZENECA PLC AMERICAN DEPOS ITARY SHARES / CUSIP: 046353108 / Symbol:							
12/30/20	50.000	2,524.99	12/30/20	2,519.92	...	5.07	Sale <sup>25</sup>
12/31/20	50.000	2,488.44	12/30/20	2,520.00	...	-31.56	Sale <sup>25</sup>
	<b>Security total:</b>	<b>5,013.43</b>		<b>5,039.92</b>	...	<b>-26.49</b>	

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AT HOME GROUP INC. / CUSIP: 04650Y100 / Symbol:							
04/09/20	300.000	614.94	04/08/20	608.97	...	5.97	Sale <sup>25</sup>
05/04/20	150.000	331.48	05/01/20	366.00	34.52 W	0.00	Sale <sup>25</sup>
	2 transactions for 05/11/20. Total proceeds and cost reported to the IRS.						
	150.000	349.50	05/07/20	390.01	40.51 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	116.50	05/07/20	118.49	1.99 W	0.00	2 of 2 - Sale <sup>25</sup>
05/11/20	200.000	466.00	Various	508.50	42.50 W	0.00	Total of 2 transactions
	3 transactions for 05/13/20. Total proceeds and cost reported to the IRS.						
	100.000	266.99	05/12/20	318.82	...	-51.83	1 of 3 - Sale <sup>25</sup>
	50.000	133.50	05/12/20	159.00	...	-25.50	2 of 3 - Sale <sup>25</sup>
	50.000	133.49	05/12/20	147.48	...	-13.99	3 of 3 - Sale <sup>25</sup>
05/13/20	200.000	533.98	Various	625.30	...	-91.32	Total of 3 transactions
06/16/20	100.000	672.35	06/15/20	672.75	...	-0.40	Sale <sup>25</sup>
	5 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	5.000	39.55	07/22/20	37.49	...	2.06	1 of 5 - Sale <sup>25</sup>
	100.000	790.97	07/22/20	772.99	...	17.98	2 of 5 - Sale <sup>25</sup>
	45.000	355.94	07/22/20	337.50	...	18.44	3 of 5 - Sale <sup>25</sup>
	50.000	395.48	07/22/20	376.38	...	19.10	4 of 5 - Sale <sup>25</sup>
	125.000	988.71	07/22/20	959.99	...	28.72	5 of 5 - Sale <sup>25</sup>
07/22/20	325.000	2,570.65	Various	2,484.35	...	86.30	Total of 5 transactions
	18 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	200.000	1,581.94	07/22/20	1,595.98	14.04 W	0.00	1 of 18 - Sale <sup>25</sup>
	195.000	1,542.39	07/22/20	1,556.08	13.69 W	0.00	2 of 18 - Sale <sup>25</sup>
	5.000	39.40	07/22/20	40.25	0.85 W	0.00	3 of 18 - Sale <sup>25</sup>
	95.000	748.57	07/22/20	760.97	12.40 W	0.00	4 of 18 - Sale <sup>25</sup>
	5.000	39.40	07/22/20	40.05	0.65 W	0.00	5 of 18 - Sale <sup>25</sup>
	95.000	748.57	07/22/20	760.97	12.40 W	0.00	6 of 18 - Sale <sup>25</sup>
	5.000	39.40	07/22/20	40.55	1.15 W	0.00	7 of 18 - Sale <sup>25</sup>
	5.000	39.40	07/22/20	40.35	0.95 W	0.00	8 of 18 - Sale <sup>25</sup>
	195.000	1,536.54	07/22/20	1,561.99	25.45 W	0.00	9 of 18 - Sale <sup>25</sup>
	5.000	39.40	07/22/20	40.35	0.95 W	0.00	10 of 18 - Sale <sup>25</sup>
	90.000	709.16	07/22/20	726.35	17.19 W	0.00	11 of 18 - Sale <sup>25</sup>
	5.000	39.40	07/22/20	40.35	0.95 W	0.00	12 of 18 - Sale <sup>25</sup>
	69.000	543.70	07/22/20	556.87	13.17 W	0.00	13 of 18 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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AT HOME GROUP INC. / CUSIP: 04650Y100 / Symbol: (cont'd)							
	4.000	31.52	07/22/20	32.28	0.76 W	0.00	14 of 18 - Sale <sup>25</sup>
	21.000	165.47	07/22/20	169.48	4.01 W	0.00	15 of 18 - Sale <sup>25</sup>
	1.000	7.88	07/22/20	8.07	0.19 W	0.00	16 of 18 - Sale <sup>25</sup>
	11.000	86.68	07/22/20	88.78	2.10 W	0.00	17 of 18 - Sale <sup>25</sup>
	24.000	189.12	07/22/20	193.69	4.57 W	0.00	18 of 18 - Sale <sup>25</sup>
07/22/20	1,030.000	8,127.94	Various	8,253.41	125.47 W	0.00	Total of 18 transactions
	22 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	2.000	17.20	07/22/20	17.22	0.02 W	0.00	1 of 22 - Sale <sup>25</sup>
	48.000	412.80	07/22/20	413.30	0.50 W	0.00	2 of 22 - Sale <sup>25</sup>
	5.000	42.80	07/22/20	43.55	0.75 W	0.00	3 of 22 - Sale <sup>25</sup>
	5.000	42.80	07/22/20	43.35	0.55 W	0.00	4 of 22 - Sale <sup>25</sup>
	5.000	42.80	07/22/20	43.35	0.55 W	0.00	5 of 22 - Sale <sup>25</sup>
	5.000	42.80	07/22/20	43.35	0.55 W	0.00	6 of 22 - Sale <sup>25</sup>
	90.000	770.37	07/22/20	780.38	10.01 W	0.00	7 of 22 - Sale <sup>25</sup>
	105.000	898.77	07/22/20	904.09	5.32 W	0.00	8 of 22 - Sale <sup>25</sup>
	35.000	299.58	07/22/20	303.48	3.90 W	0.00	9 of 22 - Sale <sup>25</sup>
	31.000	265.35	07/22/20	267.87	2.52 W	0.00	10 of 22 - Sale <sup>25</sup>
	16.000	136.47	07/22/20	138.26	1.79 W	0.00	11 of 22 - Sale <sup>25</sup>
	3.000	25.59	07/22/20	25.92	0.33 W	0.00	12 of 22 - Sale <sup>25</sup>
	2.000	17.06	07/22/20	17.64	0.58 W	0.00	13 of 22 - Sale <sup>25</sup>
	30.000	255.89	07/22/20	265.82	9.93 W	0.00	14 of 22 - Sale <sup>25</sup>
	48.000	409.43	07/22/20	423.37	13.94 W	0.00	15 of 22 - Sale <sup>25</sup>
	1.000	8.53	07/22/20	9.00	0.47 W	0.00	16 of 22 - Sale <sup>25</sup>
	4.000	34.12	07/22/20	36.00	1.88 W	0.00	17 of 22 - Sale <sup>25</sup>
	5.000	42.65	07/22/20	45.00	2.35 W	0.00	18 of 22 - Sale <sup>25</sup>
	11.000	93.83	07/22/20	99.01	5.18 W	0.00	19 of 22 - Sale <sup>25</sup>
	24.000	204.71	07/22/20	216.01	11.30 W	0.00	20 of 22 - Sale <sup>25</sup>
	15.000	127.94	07/22/20	134.56	6.62 W	0.00	21 of 22 - Sale <sup>25</sup>
	35.000	298.54	07/22/20	312.57	14.03 W	0.00	22 of 22 - Sale <sup>25</sup>
07/23/20	525.000	4,490.03	Various	4,583.10	93.07 W	0.00	Total of 22 transactions
	3 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	30.000	398.08	07/30/20	404.08	...	-6.00	1 of 3 - Sale <sup>25</sup>
	5.000	66.35	07/30/20	67.34	...	-0.99	2 of 3 - Sale <sup>25</sup>

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(continued)

03/15/2021

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AT HOME GROUP INC. / CUSIP: 04650Y100 / Symbol: (cont'd)							
	5.000	66.35	07/30/20	67.34	...	-0.99	3 of 3 - Sale <sup>25</sup>
07/30/20	40.000	530.78	Various	538.76	...	-7.98	Total of 3 transactions
	6 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	60.000	796.18	07/30/20	808.16	1.00 W	-10.98	1 of 6 - Sale <sup>25</sup>
	5.000	63.15	07/30/20	65.48	2.33 W	0.00	2 of 6 - Sale <sup>25</sup>
	5.000	63.15	07/30/20	65.28	2.13 W	0.00	3 of 6 - Sale <sup>25</sup>
	15.000	189.44	07/30/20	195.87	6.43 W	0.00	4 of 6 - Sale <sup>25</sup>
	25.000	315.74	07/30/20	324.92	9.18 W	0.00	5 of 6 - Sale <sup>25</sup>
	15.000	189.44	07/30/20	196.34	6.90 W	0.00	6 of 6 - Sale <sup>25</sup>
07/30/20	125.000	1,617.10	Various	1,656.05	27.97 W	-10.98	Total of 6 transactions
	22 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	35.000	660.78	08/19/20	685.03	...	-24.25	1 of 22 - Sale <sup>25</sup>
	30.000	566.38	08/19/20	585.07	...	-18.69	2 of 22 - Sale <sup>25</sup>
	45.000	850.47	08/19/20	869.10	...	-18.63	3 of 22 - Sale <sup>25</sup>
	24.000	453.10	08/19/20	471.41	...	-18.31	4 of 22 - Sale <sup>25</sup>
	25.000	471.99	08/19/20	488.47	...	-16.48	5 of 22 - Sale <sup>25</sup>
	15.000	283.18	08/19/20	294.47	...	-11.29	6 of 22 - Sale <sup>25</sup>
	15.000	283.19	08/19/20	294.19	...	-11.00	7 of 22 - Sale <sup>25</sup>
	15.000	283.19	08/19/20	294.00	...	-10.81	8 of 22 - Sale <sup>25</sup>
	31.000	585.88	08/19/20	592.23	...	-6.35	9 of 22 - Sale <sup>25</sup>
	6.000	113.28	08/19/20	117.86	...	-4.58	10 of 22 - Sale <sup>25</sup>
	5.000	94.39	08/19/20	98.21	...	-3.82	11 of 22 - Sale <sup>25</sup>
	5.000	94.40	08/19/20	98.21	...	-3.81	12 of 22 - Sale <sup>25</sup>
	5.000	94.40	08/19/20	98.19	...	-3.79	13 of 22 - Sale <sup>25</sup>
	16.000	302.39	08/19/20	306.16	...	-3.77	14 of 22 - Sale <sup>25</sup>
	5.000	94.40	08/19/20	97.99	...	-3.59	15 of 22 - Sale <sup>25</sup>
	4.000	75.52	08/19/20	78.57	...	-3.05	16 of 22 - Sale <sup>25</sup>
	5.000	94.40	08/19/20	96.85	...	-2.45	17 of 22 - Sale <sup>25</sup>
	3.000	56.64	08/19/20	58.38	...	-1.74	18 of 22 - Sale <sup>25</sup>
	5.000	94.50	08/19/20	95.67	...	-1.17	19 of 22 - Sale <sup>25</sup>
	2.000	37.76	08/19/20	38.92	...	-1.16	20 of 22 - Sale <sup>25</sup>
	1.000	18.88	08/19/20	19.64	...	-0.76	21 of 22 - Sale <sup>25</sup>
	3.000	56.70	08/19/20	57.40	...	-0.70	22 of 22 - Sale <sup>25</sup>
08/19/20	300.000	5,665.82	Various	5,836.02	...	-170.20	Total of 22 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AT HOME GROUP INC. / CUSIP: 04650Y100 / Symbol: (cont'd)							
<b>Security total:</b>		<b>25,621.07</b>		<b>26,133.21</b>	<b>323.53 W</b>	<b>-188.61</b>	
ATHERSYS, INC. COMMON STOCK / CUSIP: 04744L106 / Symbol:							
03/10/20	300.000	393.44	03/10/20	430.89	...	-37.45	Sale <sup>25</sup>
04/17/20	250.000	574.95	04/16/20	575.00	0.04 W	-0.01	Sale <sup>25</sup>
4 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	8.000	21.04	05/01/20	19.19	...	1.85	1 of 4 - Sale <sup>25</sup>
	100.000	262.99	05/01/20	241.87	...	21.12	2 of 4 - Sale <sup>25</sup>
	44.000	115.72	05/04/20	113.02	...	2.70	3 of 4 - Sale <sup>25</sup>
	48.000	126.23	05/04/20	123.29	...	2.94	4 of 4 - Sale <sup>25</sup>
05/06/20	200.000	525.98	Various	497.37	...	28.61	Total of 4 transactions
<b>Security total:</b>		<b>1,494.37</b>		<b>1,503.26</b>	<b>0.04 W</b>	<b>-8.85</b>	
ATOSSA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 04962H506 / Symbol:							
3 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	17.000	62.05	06/19/20	56.10	...	5.95	1 of 3 - Sale <sup>25</sup>
	50.000	182.49	06/19/20	165.00	...	17.49	2 of 3 - Sale <sup>25</sup>
	183.000	667.91	06/19/20	607.56	...	60.35	3 of 3 - Sale <sup>25</sup>
06/19/20	250.000	912.45	Various	828.66	...	83.79	Total of 3 transactions
06/19/20	500.000	1,824.89	06/19/20	2,070.00	73.53 W	-171.58	Sale <sup>25</sup>
06/24/20	150.000	587.96	06/24/20	682.53	...	-94.57	Sale <sup>25</sup>
<b>Security total:</b>		<b>3,325.30</b>		<b>3,581.19</b>	<b>73.53 W</b>	<b>-182.36</b>	
ATRECA, INC. CLASS A COMMON ST OCK / CUSIP: 04965G109 / Symbol:							
05/18/20	20.000	392.20	05/15/20	396.74	...	-4.54	Sale <sup>25</sup>
AURINIA PHARMACEUTICALS INC OR DINARY SHARES / CUSIP: 05156V102 / Symbol:							
2 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	150.000	2,309.93	12/14/20	2,318.33	...	-8.40	1 of 2 - Sale <sup>25</sup>
	100.000	1,539.95	12/14/20	1,545.48	...	-5.53	2 of 2 - Sale <sup>25</sup>
12/14/20	250.000	3,849.88	Various	3,863.81	...	-13.93	Total of 2 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol:							
03/16/20	400.000	280.39	03/13/20	316.00	35.61 W	0.00	Sale <sup>25</sup>
	2 transactions for 03/23/20. Total proceeds and cost reported to the IRS.						
	63.000	49.13	03/20/20	56.64	...	-7.51	1 of 2 - Sale <sup>25</sup>
	30.000	23.40	03/20/20	26.97	...	-3.57	2 of 2 - Sale <sup>25</sup>
03/23/20	93.000	72.53	Various	83.61	...	-11.08	Total of 2 transactions
	4 transactions for 03/23/20. Total proceeds and cost reported to the IRS.						
	10.000	7.80	03/20/20	8.99	0.36 W	-0.83	1 of 4 - Sale <sup>25</sup>
	100.000	78.19	03/20/20	89.90	11.71 W	0.00	2 of 4 - Sale <sup>25</sup>
	195.000	152.08	03/20/20	175.31	23.23 W	0.00	3 of 4 - Sale <sup>25</sup>
	2.000	1.56	03/20/20	1.80	0.24 W	0.00	4 of 4 - Sale <sup>25</sup>
03/23/20	307.000	239.63	Various	276.00	35.54 W	-0.83	Total of 4 transactions
	8 transactions for 04/21/20. Total proceeds and cost reported to the IRS.						
	158.000	111.39	04/20/20	134.08	...	-22.69	1 of 8 - Sale <sup>25</sup>
	63.000	44.41	04/20/20	53.20	...	-8.79	2 of 8 - Sale <sup>25</sup>
	37.000	26.08	04/20/20	31.32	...	-5.24	3 of 8 - Sale <sup>25</sup>
	24.000	16.92	04/20/20	20.10	...	-3.18	4 of 8 - Sale <sup>25</sup>
	8.000	5.64	04/20/20	6.74	...	-1.10	5 of 8 - Sale <sup>25</sup>
	5.000	3.52	04/20/20	4.22	...	-0.70	6 of 8 - Sale <sup>25</sup>
	3.000	2.12	04/20/20	2.55	...	-0.43	7 of 8 - Sale <sup>25</sup>
	2.000	1.41	04/20/20	1.70	...	-0.29	8 of 8 - Sale <sup>25</sup>
04/21/20	300.000	211.49	Various	253.91	...	-42.42	Total of 8 transactions
	<b>Security total:</b>	<b>804.04</b>		<b>929.52</b>	<b>71.15 W</b>	<b>-54.33</b>	
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
05/15/20	6.000	62.94	05/14/20	40.50	...	22.44	Sale <sup>25</sup>
	2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.						
	5.000	82.15	05/15/20	57.38	...	24.77	1 of 2 - Sale <sup>25</sup>
	10.000	164.30	05/18/20	160.48	...	3.82	2 of 2 - Sale <sup>25</sup>
05/19/20	15.000	246.45	Various	217.86	...	28.59	Total of 2 transactions
07/29/20	75.000	824.97	07/28/20	853.50	...	-28.53	Sale <sup>25</sup>
09/23/20	150.000	826.82	09/23/20	842.72	10.60 W	-5.30	Sale <sup>25</sup>
10/20/20	100.000	424.18	10/20/20	438.41	14.23 W	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol: (cont'd)							
<i>2 transactions for 10/30/20. Total proceeds and cost reported to the IRS.</i>							
	500.000	1,970.30	10/29/20	2,025.00	...	-54.70	1 of 2 - Sale <sup>25</sup>
	25.000	98.51	10/29/20	101.25	...	-2.74	2 of 2 - Sale <sup>25</sup>
10/30/20	525.000	2,068.81	Various	2,126.25	...	-57.44	Total of 2 transactions
<i>2 transactions for 10/30/20. Total proceeds and cost reported to the IRS.</i>							
	900.000	3,546.53	10/29/20	3,671.82	34.67 W	-90.62	1 of 2 - Sale <sup>25</sup>
	100.000	394.06	10/29/20	422.21	28.15 W	0.00	2 of 2 - Sale <sup>25</sup>
10/30/20	1,000.000	3,940.59	Various	4,094.03	62.82 W	-90.62	Total of 2 transactions
<i>2 transactions for 11/02/20. Total proceeds and cost reported to the IRS.</i>							
	80.000	382.39	11/02/20	402.52	...	-20.13	1 of 2 - Sale <sup>25</sup>
	1.000	4.78	11/02/20	5.03	...	-0.25	2 of 2 - Sale <sup>25</sup>
11/02/20	81.000	387.17	Various	407.55	...	-20.38	Total of 2 transactions
<i>3 transactions for 11/04/20. Total proceeds and cost reported to the IRS.</i>							
	226.000	1,003.77	11/03/20	1,062.02	...	-58.25	1 of 3 - Sale <sup>25</sup>
	19.000	84.39	11/03/20	91.99	...	-7.60	2 of 3 - Sale <sup>25</sup>
	3.000	13.32	11/03/20	14.91	...	-1.59	3 of 3 - Sale <sup>25</sup>
11/04/20	248.000	1,101.48	Various	1,168.92	...	-67.44	Total of 3 transactions
<i>4 transactions for 11/06/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	102.10	11/06/20	104.39	...	-2.29	1 of 4 - Sale <sup>25</sup>
	3.000	30.63	11/06/20	32.22	...	-1.59	2 of 4 - Sale <sup>25</sup>
	4.000	40.84	11/06/20	41.96	...	-1.12	3 of 4 - Sale <sup>25</sup>
	3.000	30.63	11/06/20	27.06	...	3.57	4 of 4 - Sale <sup>25</sup>
11/06/20	20.000	204.20	Various	205.63	...	-1.43	Total of 4 transactions
	<b>Security total:</b>	<b>10,087.61</b>		<b>10,395.37</b>	<b>87.65 W</b>	<b>-220.11</b>	
AUTOWEB, INC. COMMON STOCK / CUSIP: 05335B100 / Symbol:							
<i>2 transactions for 04/24/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	38.50	04/17/20	35.99	...	2.51	1 of 2 - Sale <sup>25</sup>
	700.000	531.90	04/17/20	503.86	...	28.04	2 of 2 - Sale <sup>25</sup>
04/24/20	750.000	570.40	Various	539.85	...	30.55	Total of 2 transactions
<i>3 transactions for 05/07/20. Total proceeds and cost reported to the IRS.</i>							
	500.000	534.93	05/06/20	559.95	...	-25.02	1 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AUTOWEB, INC. COMMON STOCK / CUSIP: 05335B100 / Symbol: (cont'd)							
	200.000	213.97	05/06/20	232.00	...	-18.03	2 of 3 - Sale <sup>25</sup>
	500.000	534.93	05/06/20	499.95	...	34.98	3 of 3 - Sale <sup>25</sup>
05/07/20	1,200.000	1,283.83	Various	1,291.90	...	-8.07	Total of 3 transactions
	<b>Security total:</b>	<b>1,854.23</b>		<b>1,831.75</b>	...	<b>22.48</b>	
AVIANCA HOLDINGS SA AMERICAN D EPOSITARY RECEIPTS - SPONSORED (PANAMA) / CUSIP: 05367G100 / Symbol:							
2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	38.000	34.38	04/29/20	40.07	...	-5.69	1 of 2 - Sale <sup>25</sup>
	12.000	10.86	04/29/20	12.65	...	-1.79	2 of 2 - Sale <sup>25</sup>
05/01/20	50.000	45.24	Various	52.72	...	-7.48	Total of 2 transactions
7 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	100.000	91.99	04/29/20	93.43	1.44 W	0.00	1 of 7 - Sale <sup>25</sup>
	100.000	91.99	04/29/20	93.44	1.45 W	0.00	2 of 7 - Sale <sup>25</sup>
	12.000	10.92	04/29/20	11.21	0.29 W	0.00	3 of 7 - Sale <sup>25</sup>
	50.000	45.50	04/29/20	46.72	1.22 W	0.00	4 of 7 - Sale <sup>25</sup>
	88.000	79.63	04/29/20	82.22	2.59 W	0.00	5 of 7 - Sale <sup>25</sup>
	100.000	90.49	04/29/20	104.43	13.94 W	0.00	6 of 7 - Sale <sup>25</sup>
	100.000	90.49	04/29/20	104.44	13.95 W	0.00	7 of 7 - Sale <sup>25</sup>
05/01/20	550.000	501.01	Various	535.89	34.88 W	0.00	Total of 7 transactions
9 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	72.000	64.79	05/04/20	71.68	...	-6.89	1 of 9 - Sale <sup>25</sup>
	50.000	45.00	05/04/20	49.78	...	-4.78	2 of 9 - Sale <sup>25</sup>
	38.000	34.20	05/04/20	37.82	...	-3.62	3 of 9 - Sale <sup>25</sup>
	28.000	25.20	05/04/20	27.88	...	-2.68	4 of 9 - Sale <sup>25</sup>
	6.000	5.40	05/04/20	5.97	...	-0.57	5 of 9 - Sale <sup>25</sup>
	5.000	4.50	05/04/20	4.98	...	-0.48	6 of 9 - Sale <sup>25</sup>
	1.000	0.90	05/04/20	1.00	...	-0.10	7 of 9 - Sale <sup>25</sup>
	12.000	10.80	05/04/20	10.56	...	0.24	8 of 9 - Sale <sup>25</sup>
	88.000	79.18	05/04/20	77.93	...	1.25	9 of 9 - Sale <sup>25</sup>
05/05/20	300.000	269.97	Various	287.60	...	-17.63	Total of 9 transactions
	<b>Security total:</b>	<b>816.22</b>		<b>876.21</b>	<b>34.88 W</b>	<b>-25.11</b>	
AVINGER, INC. COMMON STOCK / CUSIP: 053734604 / Symbol:							
04/29/20	1,000.000	275.08	04/28/20	264.80	...	10.28	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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AVIS BUDGET GROUP, INC. COMMON STOCK / CUSIP: 053774105 / Symbol:							
4 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	7.000	125.93	03/23/20	93.58	...	32.35	1 of 4 - Sale <sup>25</sup>
	1.000	17.99	03/24/20	17.14	...	0.85	2 of 4 - Sale <sup>25</sup>
	8.000	143.92	03/24/20	140.37	...	3.55	3 of 4 - Sale <sup>25</sup>
	12.000	215.88	03/24/20	205.68	...	10.20	4 of 4 - Sale <sup>25</sup>
03/25/20	28.000	503.72	Various	456.77	...	46.95	Total of 4 transactions
4 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	1.000	16.93	04/27/20	15.13	...	1.80	1 of 4 - Sale <sup>25</sup>
	1.000	16.93	04/27/20	15.12	...	1.81	2 of 4 - Sale <sup>25</sup>
	28.000	474.14	04/27/20	425.04	...	49.10	3 of 4 - Sale <sup>25</sup>
	20.000	338.67	04/28/20	334.26	...	4.41	4 of 4 - Sale <sup>25</sup>
04/29/20	50.000	846.67	Various	789.55	...	57.12	Total of 4 transactions
04/29/20	50.000	846.68	04/28/20	847.39	0.71 W	0.00	Sale <sup>25</sup>
04/30/20	50.000	835.98	04/29/20	882.16	46.18 W	0.00	Sale <sup>25</sup>
05/05/20	50.000	689.98	05/04/20	725.96	35.98 W	0.00	Sale <sup>25</sup>
05/07/20	50.000	649.49	05/06/20	713.48	63.99 W	0.00	Sale <sup>25</sup>
05/08/20	20.000	282.10	05/07/20	295.06	12.96 W	0.00	Sale <sup>25</sup>
4 transactions for 05/11/20. Total proceeds and cost reported to the IRS.							
	30.000	404.53	05/07/20	442.58	38.05 W	0.00	1 of 4 - Sale <sup>25</sup>
	20.000	269.69	05/07/20	284.90	15.21 W	0.00	2 of 4 - Sale <sup>25</sup>
	30.000	404.53	05/07/20	407.92	3.39 W	0.00	3 of 4 - Sale <sup>25</sup>
	20.000	269.68	05/07/20	271.80	2.12 W	0.00	4 of 4 - Sale <sup>25</sup>
05/11/20	100.000	1,348.43	Various	1,407.20	58.77 W	0.00	Total of 4 transactions
05/14/20	30.000	291.00	05/13/20	353.05	10.34 W	-51.71	Sale <sup>25</sup>
5 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	20.000	450.99	06/10/20	560.80	109.81 W	0.00	1 of 5 - Sale <sup>25</sup>
	20.000	450.99	06/10/20	547.85	96.86 W	0.00	2 of 5 - Sale <sup>25</sup>
	10.000	225.49	06/10/20	278.33	52.84 W	0.00	3 of 5 - Sale <sup>25</sup>
	5.000	112.75	06/10/20	148.61	35.86 W	0.00	4 of 5 - Sale <sup>25</sup>
	20.000	450.98	06/10/20	555.22	104.24 W	0.00	5 of 5 - Sale <sup>25</sup>
06/11/20	75.000	1,691.20	Various	2,090.81	399.61 W	0.00	Total of 5 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AVIS BUDGET GROUP, INC. COMMON STOCK / CUSIP: 053774105 / Symbol: (cont'd)							
2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	20.000	466.60	06/30/20	576.40	109.80 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	116.64	06/30/20	140.87	24.23 W	0.00	2 of 2 - Sale <sup>25</sup>
06/30/20	25.000	583.24	Various	717.27	134.03 W	0.00	Total of 2 transactions
15 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	5.000	137.25	07/08/20	173.17	35.92 W	0.00	1 of 15 - Sale <sup>25</sup>
	10.000	274.51	07/08/20	327.46	52.95 W	0.00	2 of 15 - Sale <sup>25</sup>
	15.000	411.76	07/08/20	484.57	72.81 W	0.00	3 of 15 - Sale <sup>25</sup>
	19.000	521.57	07/08/20	620.81	99.24 W	0.00	4 of 15 - Sale <sup>25</sup>
	1.000	27.34	07/08/20	32.67	5.33 W	0.00	5 of 15 - Sale <sup>25</sup>
	9.000	246.05	07/08/20	296.16	50.11 W	0.00	6 of 15 - Sale <sup>25</sup>
	5.000	136.70	07/08/20	172.87	36.17 W	0.00	7 of 15 - Sale <sup>25</sup>
	11.000	300.73	07/08/20	361.68	60.95 W	0.00	8 of 15 - Sale <sup>25</sup>
	4.000	109.35	07/08/20	130.74	21.39 W	0.00	9 of 15 - Sale <sup>25</sup>
	5.000	136.70	07/08/20	161.18	24.48 W	0.00	10 of 15 - Sale <sup>25</sup>
	15.000	410.09	07/08/20	483.66	73.57 W	0.00	11 of 15 - Sale <sup>25</sup>
	6.000	169.19	07/08/20	200.77	31.58 W	0.00	12 of 15 - Sale <sup>25</sup>
	19.000	535.79	07/08/20	634.42	98.63 W	0.00	13 of 15 - Sale <sup>25</sup>
	9.000	253.79	07/08/20	303.46	49.67 W	0.00	14 of 15 - Sale <sup>25</sup>
	1.000	28.20	07/08/20	33.48	5.28 W	0.00	15 of 15 - Sale <sup>25</sup>
07/08/20	134.000	3,699.02	Various	4,417.10	718.08 W	0.00	Total of 15 transactions
7 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	5.000	140.10	07/14/20	176.32	36.22 W	0.00	1 of 7 - Sale <sup>25</sup>
	11.000	308.22	07/14/20	369.28	61.06 W	0.00	2 of 7 - Sale <sup>25</sup>
	5.000	140.10	07/14/20	164.63	24.53 W	0.00	3 of 7 - Sale <sup>25</sup>
	4.000	112.08	07/14/20	133.51	21.43 W	0.00	4 of 7 - Sale <sup>25</sup>
	4.000	112.08	07/14/20	132.88	20.80 W	0.00	5 of 7 - Sale <sup>25</sup>
	6.000	168.12	07/14/20	199.76	31.64 W	0.00	6 of 7 - Sale <sup>25</sup>
	15.000	420.31	07/14/20	494.02	73.71 W	0.00	7 of 7 - Sale <sup>25</sup>
07/14/20	50.000	1,401.01	Various	1,670.40	269.39 W	0.00	Total of 7 transactions
7 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	15.000	376.57	07/30/20	458.32	...	-81.75	1 of 7 - Sale <sup>25</sup>
	11.000	276.15	07/30/20	340.05	...	-63.90	2 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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AVIS BUDGET GROUP, INC. COMMON STOCK / CUSIP: 053774105 / Symbol: (cont'd)							
	9.000	225.94	07/30/20	277.94	...	-52.00	3 of 7 - Sale <sup>25</sup>
	5.000	125.52	07/30/20	163.03	...	-37.51	4 of 7 - Sale <sup>25</sup>
	5.000	125.52	07/30/20	151.35	...	-25.83	5 of 7 - Sale <sup>25</sup>
	4.000	100.42	07/30/20	121.11	...	-20.69	6 of 7 - Sale <sup>25</sup>
	1.000	25.10	07/30/20	30.64	...	-5.54	7 of 7 - Sale <sup>25</sup>
07/30/20	50.000	1,255.22	Various	1,542.44	...	-287.22	Total of 7 transactions
	6 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	11.000	299.53	08/05/20	354.90	...	-55.37	1 of 6 - Sale <sup>25</sup>
	4.000	108.92	08/05/20	130.83	...	-21.91	2 of 6 - Sale <sup>25</sup>
	4.000	108.92	08/05/20	130.20	...	-21.28	3 of 6 - Sale <sup>25</sup>
	2.000	54.46	08/05/20	65.25	...	-10.79	4 of 6 - Sale <sup>25</sup>
	2.000	54.46	08/05/20	65.25	...	-10.79	5 of 6 - Sale <sup>25</sup>
	2.000	54.46	08/05/20	65.24	...	-10.78	6 of 6 - Sale <sup>25</sup>
08/05/20	25.000	680.75	Various	811.67	...	-130.92	Total of 6 transactions
09/29/20	500.000	12,969.70	09/29/20	13,000.00	...	-30.30	Sale <sup>25</sup>
09/29/20	290.000	7,522.43	09/29/20	7,554.50	9.96 W	-22.11	Sale <sup>25</sup>
	3 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	50.000	1,372.46	10/01/20	1,442.03	...	-69.57	1 of 3 - Sale <sup>25</sup>
	12.000	330.78	10/01/20	346.09	...	-15.31	2 of 3 - Sale <sup>25</sup>
	28.000	771.83	10/01/20	782.90	...	-11.07	3 of 3 - Sale <sup>25</sup>
10/02/20	90.000	2,475.07	Various	2,571.02	...	-95.95	Total of 3 transactions
	<b>Security total:</b>	<b>38,571.69</b>		<b>40,845.83</b>	<b>1,760.00 W</b>	<b>-514.14</b>	
AYRO, INC. COMMON STOCK / CUSIP: 054748108 / Symbol:							
	3 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	50.000	271.99	07/06/20	370.75	98.76 W	0.00	1 of 3 - Sale <sup>25</sup>
	25.000	135.99	07/06/20	183.25	47.26 W	0.00	2 of 3 - Sale <sup>25</sup>
	50.000	271.99	07/06/20	362.44	90.45 W	0.00	3 of 3 - Sale <sup>25</sup>
07/06/20	125.000	679.97	Various	916.44	236.47 W	0.00	Total of 3 transactions
	4 transactions for 07/09/20. Total proceeds and cost reported to the IRS.						
	25.000	158.24	07/09/20	210.21	51.97 W	0.00	1 of 4 - Sale <sup>25</sup>
	50.000	316.49	07/09/20	424.67	108.18 W	0.00	2 of 4 - Sale <sup>25</sup>
	50.000	316.49	07/09/20	416.36	99.87 W	0.00	3 of 4 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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AYRO, INC. COMMON STOCK / CUSIP: 054748108 / Symbol: (cont'd)							
	25.000	158.25	07/09/20	162.95	4.70 W	0.00	4 of 4 - Sale <sup>25</sup>
07/09/20	150.000	949.47	Various	1,214.19	264.72 W	0.00	Total of 4 transactions
	5 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	50.000	251.99	07/21/20	407.59	...	-155.60	1 of 5 - Sale <sup>25</sup>
	50.000	251.99	07/21/20	399.28	...	-147.29	2 of 5 - Sale <sup>25</sup>
	100.000	503.98	07/21/20	598.81	...	-94.83	3 of 5 - Sale <sup>25</sup>
	25.000	126.00	07/21/20	201.67	...	-75.67	4 of 5 - Sale <sup>25</sup>
	25.000	126.00	07/21/20	154.40	...	-28.40	5 of 5 - Sale <sup>25</sup>
07/21/20	250.000	1,259.96	Various	1,761.75	...	-501.79	Total of 5 transactions
	<b>Security total:</b>	<b>2,889.40</b>		<b>3,892.38</b>	<b>501.19 W</b>	<b>-501.79</b>	
AYTU BIOSCIENCE, INC. COMMON S TOCK / CUSIP: 054754700 / Symbol:							
	2 transactions for 03/24/20. Total proceeds and cost reported to the IRS.						
	10.000	19.10	03/23/20	18.90	...	0.20	1 of 2 - Sale <sup>25</sup>
03/24/20	190.000	362.88	03/23/20	359.10	...	3.78	2 of 2 - Sale <sup>25</sup>
	200.000	381.98	Various	378.00	...	3.98	Total of 2 transactions
	3 transactions for 03/30/20. Total proceeds and cost reported to the IRS.						
	160.000	278.38	03/27/20	296.00	...	-17.62	1 of 3 - Sale <sup>25</sup>
	20.000	34.80	03/27/20	37.00	...	-2.20	2 of 3 - Sale <sup>25</sup>
	10.000	17.40	03/27/20	19.60	...	-2.20	3 of 3 - Sale <sup>25</sup>
03/30/20	190.000	330.58	Various	352.60	...	-22.02	Total of 3 transactions
03/30/20	10.000	17.40	03/27/20	18.50	1.10 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>729.96</b>		<b>749.10</b>	<b>1.10 W</b>	<b>-18.04</b>	
AZUL S.A. AMERICAN DEPOSITARY SHARES (EACH REPRESENTING THRE E PREFERRED SHARES) / CUSIP: 05501U106 / Symbol:							
04/17/20	50.000	467.89	04/16/20	473.73	...	-5.84	Sale <sup>25</sup>
06/09/20	50.000	774.98	06/08/20	760.00	...	14.98	Sale <sup>25</sup>
06/24/20	75.000	885.95	06/24/20	895.88	...	-9.93	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,128.82</b>		<b>2,129.61</b>	...	<b>-0.79</b>	
BP P.L.C. / CUSIP: 055622104 / Symbol:							
04/02/20	20.000	502.78	04/01/20	500.76	...	2.02	Sale <sup>25</sup>

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(continued)

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BANDWIDTH INC. CLASS A COMMON STOCK / CUSIP: 05988J103 / Symbol:							
07/15/20	1.000	125.21	07/15/20	127.01	...	-1.80	Sale <sup>25</sup>
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
06/26/20	25.000	583.55	06/26/20	586.23	...	-2.68	Sale <sup>25</sup>
BARCLAYS PLC / CUSIP: 06738E204 / Symbol:							
07/13/20	150.000	903.29	07/10/20	900.75	...	2.54	Sale <sup>25</sup>
08/11/20	50.000	290.50	08/11/20	289.50	...	1.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,193.79</b>		<b>1,190.25</b>	...	<b>3.54</b>	
IPATH SERIES B S&P 500 VIX SHO RT-TERM FUTURES ETN / CUSIP: 06746P621 / Symbol:							
	2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	25.000	938.98	06/26/20	953.40	...	-14.42	1 of 2 - Sale <sup>25</sup>
	75.000	2,816.93	06/26/20	2,830.33	...	-13.40	2 of 2 - Sale <sup>25</sup>
06/26/20	100.000	3,755.91	Various	3,783.73	...	-27.82	Total of 2 transactions
06/26/20	25.000	931.04	06/26/20	941.00	9.96 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,686.95</b>		<b>4,724.73</b>	<b>9.96 W</b>	<b>-27.82</b>	
BARRICK GOLD CORP. / CUSIP: 067901108 / Symbol:							
02/24/20	25.000	544.79	02/24/20	552.25	...	-7.46	Sale <sup>25</sup>
	6 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	3.000	79.80	06/29/20	78.57	...	1.23	1 of 6 - Sale <sup>25</sup>
	47.000	1,250.17	06/29/20	1,230.93	...	19.24	2 of 6 - Sale <sup>25</sup>
	50.000	1,329.96	06/29/20	1,306.41	...	23.55	3 of 6 - Sale <sup>25</sup>
	50.000	1,329.96	06/29/20	1,296.88	...	33.08	4 of 6 - Sale <sup>25</sup>
	75.000	1,994.95	06/29/20	1,958.87	...	36.08	5 of 6 - Sale <sup>25</sup>
	25.000	664.98	06/30/20	669.69	...	-4.71	6 of 6 - Sale <sup>25</sup>
07/06/20	250.000	6,649.82	Various	6,541.35	...	108.47	Total of 6 transactions
	<b>Security total:</b>	<b>7,194.61</b>		<b>7,093.60</b>	...	<b>101.01</b>	
BASSETT FURNITURE INDUSTRIES, INCORPORATED COMMON STOCK / CUSIP: 070203104 / Symbol:							
04/15/20	100.000	572.23	04/14/20	644.00	...	-71.77	Sale <sup>25</sup>

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(continued)

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BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
04/15/20	10.000	752.68	04/13/20	770.91	18.23 W	0.00	Sale <sup>25</sup>
05/07/20	10.000	1,197.97	05/06/20	1,176.63	...	21.34	Sale <sup>25</sup>
08/05/20	22.000	2,930.51	08/05/20	3,005.42	...	-74.91	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,881.16</b>		<b>4,952.96</b>	<b>18.23 W</b>	<b>-53.57</b>	
BIG 5 SPORTING GOODS CORPORATI ON COMMON STOCK / CUSIP: 08915P101 / Symbol:							
06/02/20	123.000	242.31	06/01/20	259.41	...	-17.10	Sale <sup>25</sup>
BIG LOTS, INC. / CUSIP: 089302103 / Symbol:							
<i>3 transactions for 06/26/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	88.12	06/26/20	87.93	...	0.19	1 of 3 - Sale <sup>25</sup>
	3.000	132.18	06/26/20	130.80	...	1.38	2 of 3 - Sale <sup>25</sup>
	7.000	310.90	06/26/20	305.20	...	5.70	3 of 3 - Sale <sup>25</sup>
06/26/20	12.000	531.20	Various	523.93	...	7.27	Total of 3 transactions
BIGCOMMERCE HOLDINGS, INC. SER IES 1 COMMON STOCK / CUSIP: 08975P108 / Symbol:							
08/06/20	100.000	9,952.57	08/06/20	10,000.00	...	-47.43	Sale <sup>25</sup>
BIO-PATH HOLDINGS, INC. COMMON STOCK / CUSIP: 09057N300 / Symbol:							
03/30/20	100.000	499.99	03/27/20	533.50	...	-33.51	Sale <sup>25</sup>
BIOCRYST PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 09058V103 / Symbol:							
<i>2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	376.97	04/30/20	390.00	...	-13.03	1 of 2 - Sale <sup>25</sup>
	50.000	188.49	04/30/20	200.00	...	-11.51	2 of 2 - Sale <sup>25</sup>
05/01/20	150.000	565.46	Various	590.00	...	-24.54	Total of 2 transactions
BIO-KEY INTERNATIONAL, INC. CO MMON STOCK / CUSIP: 09060C309 / Symbol:							
<i>3 transactions for 04/01/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	91.99	03/31/20	92.99	1.00 W	0.00	1 of 3 - Sale <sup>25</sup>
	100.000	91.56	03/31/20	92.99	1.43 W	0.00	2 of 3 - Sale <sup>25</sup>
	200.000	183.12	03/31/20	185.98	2.86 W	0.00	3 of 3 - Sale <sup>25</sup>
04/01/20	400.000	366.67	Various	371.96	5.29 W	0.00	Total of 3 transactions

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2020 1099-B\* OMB No. 1545-0715

(continued)

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BIO-KEY INTERNATIONAL, INC. CO MMON STOCK / CUSIP: 09060C309 / Symbol: (cont'd)							
3 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	100.000	92.99	04/27/20	91.42	...	1.57	1 of 3 - Sale <sup>25</sup>
	100.000	92.99	04/27/20	91.42	...	1.57	2 of 3 - Sale <sup>25</sup>
	100.000	92.99	04/27/20	90.99	...	2.00	3 of 3 - Sale <sup>25</sup>
04/28/20	300.000	278.97	Various	273.83	...	5.14	Total of 3 transactions
2 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	100.000	92.99	04/27/20	95.06	2.07 W	0.00	1 of 2 - Sale <sup>25</sup>
	100.000	92.98	04/27/20	93.63	0.65 W	0.00	2 of 2 - Sale <sup>25</sup>
04/28/20	200.000	185.97	Various	188.69	2.72 W	0.00	Total of 2 transactions
3 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	100.000	103.05	04/30/20	114.06	...	-11.01	1 of 3 - Sale <sup>25</sup>
	100.000	103.04	04/30/20	112.64	...	-9.60	2 of 3 - Sale <sup>25</sup>
	100.000	103.05	04/30/20	111.99	...	-8.94	3 of 3 - Sale <sup>25</sup>
05/01/20	300.000	309.14	Various	338.69	...	-29.55	Total of 3 transactions
	<b>Security total:</b>	<b>1,140.75</b>		<b>1,173.17</b>	<b>8.01 W</b>	<b>-24.41</b>	
BIOMERICA, INC. COMMON STOCK / CUSIP: 09061H307 / Symbol:							
3 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	28.000	222.04	03/23/20	263.20	...	-41.16	1 of 3 - Sale <sup>25</sup>
	5.000	40.00	03/23/20	47.00	...	-7.00	2 of 3 - Sale <sup>25</sup>
	2.000	16.00	03/23/20	18.80	...	-2.80	3 of 3 - Sale <sup>25</sup>
03/24/20	35.000	278.04	Various	329.00	...	-50.96	Total of 3 transactions
BIOCEPT, INC. COMMON STOCK / CUSIP: 09072V402 / Symbol:							
2 transactions for 03/05/20. Total proceeds and cost reported to the IRS.							
	100.000	48.34	03/04/20	57.63	9.29 W	0.00	1 of 2 - Sale <sup>25</sup>
	300.000	145.01	03/04/20	172.89	27.88 W	0.00	2 of 2 - Sale <sup>25</sup>
03/05/20	400.000	193.35	Various	230.52	37.17 W	0.00	Total of 2 transactions
3 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	10.000	2.68	03/19/20	3.66	0.98 W	0.00	1 of 3 - Sale <sup>25</sup>
	50.000	13.40	03/19/20	18.39	4.99 W	0.00	2 of 3 - Sale <sup>25</sup>
	2.000	0.53	03/19/20	0.74	0.21 W	0.00	3 of 3 - Sale <sup>25</sup>
03/20/20	62.000	16.61	Various	22.79	6.18 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BIOCEPT, INC. COMMON STOCK / CUSIP: 09072V402 / Symbol: (cont'd)							
<i>6 transactions for 03/24/20. Total proceeds and cost reported to the IRS.</i>							
	300.000	89.96	03/23/20	117.82	...	-27.86	1 of 6 - Sale <sup>25</sup>
	50.000	14.99	03/23/20	19.98	...	-4.99	2 of 6 - Sale <sup>25</sup>
	38.000	11.40	03/23/20	14.92	...	-3.52	3 of 6 - Sale <sup>25</sup>
	10.000	3.00	03/23/20	3.98	...	-0.98	4 of 6 - Sale <sup>25</sup>
	2.000	0.60	03/23/20	0.81	...	-0.21	5 of 6 - Sale <sup>25</sup>
	600.000	179.93	03/23/20	179.88	...	0.05	6 of 6 - Sale <sup>25</sup>
03/24/20	1,000.000	299.88	Various	337.39	...	-37.51	Total of 6 transactions
05/06/20	500.000	202.50	05/05/20	209.90	...	-7.40	Sale <sup>25</sup>
	<b>Security total:</b>	<b>712.34</b>		<b>800.60</b>	<b>43.35 W</b>	<b>-44.91</b>	

BIOPHARMX CORPORATION / CUSIP: 09072X309 / Symbol:

<i>5 transactions for 05/12/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	54.99	05/11/20	61.50	6.51 W	0.00	1 of 5 - Sale <sup>25</sup>
	100.000	54.99	05/11/20	61.50	6.51 W	0.00	2 of 5 - Sale <sup>25</sup>
	100.000	54.99	05/11/20	61.50	6.51 W	0.00	3 of 5 - Sale <sup>25</sup>
	100.000	54.98	05/11/20	61.50	6.52 W	0.00	4 of 5 - Sale <sup>25</sup>
	100.000	54.99	05/11/20	61.50	6.51 W	0.00	5 of 5 - Sale <sup>25</sup>
05/12/20	500.000	274.94	Various	307.50	32.56 W	0.00	Total of 5 transactions
<i>5 transactions for 05/13/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	48.18	05/12/20	57.90	...	-9.72	1 of 5 - Sale <sup>25</sup>
	100.000	48.19	05/12/20	57.89	...	-9.70	2 of 5 - Sale <sup>25</sup>
	100.000	48.19	05/12/20	57.89	...	-9.70	3 of 5 - Sale <sup>25</sup>
	100.000	48.19	05/12/20	57.89	...	-9.70	4 of 5 - Sale <sup>25</sup>
	100.000	48.19	05/12/20	57.89	...	-9.70	5 of 5 - Sale <sup>25</sup>
05/13/20	500.000	240.94	Various	289.46	...	-48.52	Total of 5 transactions
	<b>Security total:</b>	<b>515.88</b>		<b>596.96</b>	<b>32.56 W</b>	<b>-48.52</b>	

BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:

<i>3 transactions for 03/10/20. Total proceeds and cost reported to the IRS.</i>							
	31.000	31.93	03/09/20	26.85	...	5.08	1 of 3 - Sale <sup>25</sup>
	150.000	152.98	03/09/20	129.90	...	23.08	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol: (cont'd)							
	219.000	223.35	03/09/20	189.65	...	33.70	3 of 3 - Sale <sup>25</sup>
03/10/20	400.000	408.26	Various	346.40	...	61.86	Total of 3 transactions
	6 transactions for 04/13/20. Total proceeds and cost reported to the IRS.						
	100.000	31.07	04/08/20	31.06	...	0.01	1 of 6 - Sale <sup>25</sup>
	100.000	31.07	04/08/20	31.06	...	0.01	2 of 6 - Sale <sup>25</sup>
	100.000	31.07	04/08/20	31.06	...	0.01	3 of 6 - Sale <sup>25</sup>
	100.000	31.07	04/08/20	31.06	...	0.01	4 of 6 - Sale <sup>25</sup>
	100.000	31.07	04/08/20	31.06	...	0.01	5 of 6 - Sale <sup>25</sup>
	500.000	155.33	04/08/20	155.28	...	0.05	6 of 6 - Sale <sup>25</sup>
04/13/20	1,000.000	310.68	Various	310.58	...	0.10	Total of 6 transactions
07/21/20	500.000	420.19	07/21/20	419.10	...	1.09	Sale <sup>25</sup>
	19 transactions for 12/31/20. Total proceeds and cost reported to the IRS.						
	1,000.000	2,949.81	12/31/20	3,028.50	78.69 W	0.00	1 of 19 - Sale <sup>25</sup>
	2,000.000	5,899.63	12/31/20	6,219.80	320.17 W	0.00	2 of 19 - Sale <sup>25</sup>
	1,000.000	4,139.79	12/31/20	4,318.69	178.90 W	0.00	3 of 19 - Sale <sup>25</sup>
	376.000	1,556.56	12/31/20	1,658.19	101.63 W	0.00	4 of 19 - Sale <sup>25</sup>
	624.000	2,583.22	12/31/20	2,751.89	168.67 W	0.00	5 of 19 - Sale <sup>25</sup>
	900.000	3,725.81	12/31/20	3,888.08	162.27 W	0.00	6 of 19 - Sale <sup>25</sup>
	100.000	401.98	12/31/20	436.01	34.03 W	0.00	7 of 19 - Sale <sup>25</sup>
	100.000	401.98	12/31/20	437.89	35.91 W	0.00	8 of 19 - Sale <sup>25</sup>
	500.000	2,009.89	12/31/20	2,189.45	179.56 W	0.00	9 of 19 - Sale <sup>25</sup>
	10.000	40.20	12/31/20	43.79	3.59 W	0.00	10 of 19 - Sale <sup>25</sup>
	150.000	602.96	12/31/20	656.83	53.87 W	0.00	11 of 19 - Sale <sup>25</sup>
	1.000	4.02	12/31/20	4.38	0.36 W	0.00	12 of 19 - Sale <sup>25</sup>
	39.000	156.77	12/31/20	170.78	14.01 W	0.00	13 of 19 - Sale <sup>25</sup>
	200.000	803.96	12/31/20	865.78	61.82 W	0.00	14 of 19 - Sale <sup>25</sup>
	251.000	1,008.97	12/31/20	1,109.50	100.53 W	0.00	15 of 19 - Sale <sup>25</sup>
	376.000	1,511.44	12/31/20	1,662.03	150.59 W	0.00	16 of 19 - Sale <sup>25</sup>
	173.000	695.42	12/31/20	764.71	69.29 W	0.00	17 of 19 - Sale <sup>25</sup>
	200.000	803.96	12/31/20	884.06	80.10 W	0.00	18 of 19 - Sale <sup>25</sup>
	800.000	3,215.83	12/31/20	3,464.24	248.41 W	0.00	19 of 19 - Sale <sup>25</sup>
12/31/20	8,800.000	32,512.20	Various	34,554.60	2,042.40 W	0.00	Total of 19 transactions
	<b>Security total:</b>	<b>33,651.33</b>		<b>35,630.68</b>	<b>2,042.40 W</b>	<b>63.05</b>	

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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BIOFRONTERA AG AMERICAN DEPOSITARY SHARES / CUSIP: 09075G105 / Symbol:							
<i>5 transactions for 08/12/20. Total proceeds and cost reported to the IRS.</i>							
	60.000	1,812.58	08/12/20	1,935.65	...	-123.07	1 of 5 - Sale <sup>25</sup>
	19.000	573.98	08/12/20	612.96	...	-38.98	2 of 5 - Sale <sup>25</sup>
	10.000	302.10	08/12/20	322.61	...	-20.51	3 of 5 - Sale <sup>25</sup>
	1.000	30.20	08/12/20	31.00	...	-0.80	4 of 5 - Sale <sup>25</sup>
	1.000	31.24	08/12/20	31.19	...	0.05	5 of 5 - Sale <sup>25</sup>
08/12/20	91.000	2,750.10	Various	2,933.41	...	-183.31	Total of 5 transactions
08/12/20	89.000	2,780.73	08/12/20	2,892.95	112.22 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>5,530.83</b>		<b>5,826.36</b>	<b>112.22 W</b>	<b>-183.31</b>	
BIONTECH SE AMERICAN DEPOSITARY SHARE / CUSIP: 09075V102 / Symbol:							
07/14/20	2.000	167.26	07/14/20	169.45	...	-2.19	Sale <sup>25</sup>
11/16/20	1.000	93.25	11/16/20	93.52	0.27 W	0.00	Sale <sup>25</sup>
11/24/20	0.057	5.71	11/20/20	6.02	0.31 W	0.00	Sale <sup>25</sup>
<i>3 transactions for 12/14/20. Total proceeds and cost reported to the IRS.</i>							
	24.000	2,806.29	12/14/20	2,833.97	...	-27.68	1 of 3 - Sale <sup>25</sup>
	0.943	110.22	12/14/20	111.56	...	-1.34	2 of 3 - Sale <sup>25</sup>
	0.057	6.71	12/14/20	7.08	...	-0.37	3 of 3 - Sale <sup>25</sup>
12/14/20	25.000	2,923.22	Various	2,952.61	...	-29.39	Total of 3 transactions
	<b>Security total:</b>	<b>3,189.44</b>		<b>3,221.60</b>	<b>0.58 W</b>	<b>-31.58</b>	
BLINK CHARGING CO. COMMON STOCK / CUSIP: 09354A100 / Symbol:							
08/25/20	10.000	77.90	08/25/20	77.88	...	0.02	Sale <sup>25</sup>
08/26/20	38.000	295.64	08/26/20	302.48	6.84 W	0.00	Sale <sup>25</sup>
<i>6 transactions for 08/28/20. Total proceeds and cost reported to the IRS.</i>							
	0.418	2.96	08/27/20	3.08	0.12 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.705	4.99	08/28/20	5.07	0.08 W	0.00	2 of 6 - Sale <sup>25</sup>
	5.997	42.48	08/28/20	43.14	0.66 W	0.00	3 of 6 - Sale <sup>25</sup>
	10.593	75.04	08/28/20	76.90	1.86 W	0.00	4 of 6 - Sale <sup>25</sup>
	20.287	143.71	08/28/20	147.69	3.98 W	0.00	5 of 6 - Sale <sup>25</sup>
	62.000	439.22	08/28/20	440.20	0.98 W	0.00	6 of 6 - Sale <sup>25</sup>
08/28/20	100.000	708.40	Various	716.08	7.68 W	0.00	Total of 6 transactions

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(continued)

03/15/2021

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BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
4 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	163.713	1,121.40	08/28/20	1,162.36	...	-40.96	1 of 4 - Sale <sup>25</sup>
	62.000	424.69	08/28/20	441.18	...	-16.49	2 of 4 - Sale <sup>25</sup>
	20.287	138.96	08/28/20	148.02	...	-9.06	3 of 4 - Sale <sup>25</sup>
	26.000	178.10	08/28/20	186.16	...	-8.06	4 of 4 - Sale <sup>25</sup>
08/31/20	272.000	1,863.15	Various	1,937.72	...	-74.57	Total of 4 transactions
5 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	154.000	1,054.87	08/28/20	1,093.40	0.29 W	-38.24	1 of 5 - Sale <sup>25</sup>
	0.418	2.87	08/28/20	3.09	0.22 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.705	4.83	08/28/20	5.08	0.25 W	0.00	3 of 5 - Sale <sup>25</sup>
	5.997	41.08	08/28/20	43.24	2.16 W	0.00	4 of 5 - Sale <sup>25</sup>
	10.593	72.56	08/28/20	77.07	4.51 W	0.00	5 of 5 - Sale <sup>25</sup>
08/31/20	171.713	1,176.21	Various	1,221.88	7.43 W	-38.24	Total of 5 transactions
2 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	0.418	3.77	09/25/20	4.06	...	-0.29	1 of 2 - Sale <sup>25</sup>
	0.454	4.09	09/25/20	4.32	...	-0.23	2 of 2 - Sale <sup>25</sup>
09/28/20	0.873	7.86	Various	8.38	...	-0.52	Total of 2 transactions
6 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	0.160	1.60	09/28/20	1.50	...	0.10	1 of 6 - Sale <sup>25</sup>
	0.251	2.51	09/28/20	2.33	...	0.18	2 of 6 - Sale <sup>25</sup>
	3.753	37.61	09/28/20	35.19	...	2.42	3 of 6 - Sale <sup>25</sup>
	6.840	68.54	09/28/20	65.50	...	3.04	4 of 6 - Sale <sup>25</sup>
	5.997	60.09	09/28/20	55.83	...	4.26	5 of 6 - Sale <sup>25</sup>
	1.000	10.02	09/29/20	9.89	...	0.13	6 of 6 - Sale <sup>25</sup>
09/29/20	18.000	180.37	Various	170.24	...	10.13	Total of 6 transactions
2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	74.000	2,007.94	11/24/20	1,981.71	...	26.23	1 of 2 - Sale <sup>25</sup>
	24.000	727.90	11/24/20	642.72	...	85.18	2 of 2 - Sale <sup>25</sup>
11/24/20	98.000	2,735.84	Various	2,624.43	...	111.41	Total of 2 transactions
3 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	1.000	30.33	11/23/20	34.45	4.12 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	27.13	11/24/20	30.90	3.77 W	0.00	2 of 3 - Sale <sup>25</sup>

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(continued)

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BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
11/24/20	49.000	1,329.58	11/24/20	1,445.50	115.92 W	0.00	3 of 3 - Sale <sup>25</sup>
	51.000	1,387.04	Various	1,510.85	123.81 W	0.00	Total of 3 transactions
	4 transactions for 11/27/20. Total proceeds and cost reported to the IRS.						
	1.000	28.22	11/24/20	33.26	5.04 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	28.23	11/24/20	28.80	0.57 W	0.00	2 of 4 - Sale <sup>25</sup>
	5.000	141.12	11/25/20	141.13	0.01 W	0.00	3 of 4 - Sale <sup>25</sup>
	5.000	141.13	11/27/20	153.43	12.30 W	0.00	4 of 4 - Sale <sup>25</sup>
11/27/20	12.000	338.70	Various	356.62	17.92 W	0.00	Total of 4 transactions
11/30/20	1.000	24.81	11/27/20	30.68	5.87 W	0.00	Sale <sup>25</sup>
	6 transactions for 12/08/20. Total proceeds and cost reported to the IRS.						
	1.000	25.93	12/08/20	31.79	5.86 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	25.93	12/08/20	27.32	1.39 W	0.00	2 of 6 - Sale <sup>25</sup>
	1.000	25.93	12/08/20	32.62	6.69 W	0.00	3 of 6 - Sale <sup>25</sup>
	5.000	129.66	12/08/20	133.76	4.10 W	0.00	4 of 6 - Sale <sup>25</sup>
	5.000	129.66	12/08/20	146.05	16.39 W	0.00	5 of 6 - Sale <sup>25</sup>
	37.000	959.47	12/08/20	1,077.28	117.81 W	0.00	6 of 6 - Sale <sup>25</sup>
12/08/20	50.000	1,296.58	Various	1,448.82	152.24 W	0.00	Total of 6 transactions
	17 transactions for 12/10/20. Total proceeds and cost reported to the IRS.						
	1.000	27.79	12/09/20	34.86	7.07 W	0.00	1 of 17 - Sale <sup>25</sup>
	1.000	27.79	12/09/20	30.39	2.60 W	0.00	2 of 17 - Sale <sup>25</sup>
	1.000	27.79	12/09/20	35.69	7.90 W	0.00	3 of 17 - Sale <sup>25</sup>
	5.000	138.97	12/09/20	149.10	10.13 W	0.00	4 of 17 - Sale <sup>25</sup>
	30.000	833.84	12/09/20	965.52	131.68 W	0.00	5 of 17 - Sale <sup>25</sup>
	5.000	138.63	12/09/20	161.39	22.76 W	0.00	6 of 17 - Sale <sup>25</sup>
	7.000	194.08	12/09/20	225.29	31.21 W	0.00	7 of 17 - Sale <sup>25</sup>
	1.000	27.73	12/09/20	36.07	8.34 W	0.00	8 of 17 - Sale <sup>25</sup>
	1.000	27.73	12/09/20	31.60	3.87 W	0.00	9 of 17 - Sale <sup>25</sup>
	1.000	27.73	12/09/20	36.90	9.17 W	0.00	10 of 17 - Sale <sup>25</sup>
	5.000	138.63	12/09/20	155.13	16.50 W	0.00	11 of 17 - Sale <sup>25</sup>
	30.000	831.79	12/09/20	1,001.68	169.89 W	0.00	12 of 17 - Sale <sup>25</sup>
	12.000	332.71	12/09/20	348.00	15.29 W	0.00	13 of 17 - Sale <sup>25</sup>
	1.000	27.73	12/09/20	29.19	1.46 W	0.00	14 of 17 - Sale <sup>25</sup>
	25.000	693.16	12/09/20	726.25	33.09 W	0.00	15 of 17 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
	9.000	249.53	12/10/20	255.04	5.51 W	0.00	16 of 17 - Sale <sup>25</sup>
	3.000	83.18	12/10/20	84.90	1.72 W	0.00	17 of 17 - Sale <sup>25</sup>
12/10/20	138.000	3,828.81	Various	4,307.00	478.19 W	0.00	Total of 17 transactions
	2 transactions for 12/14/20. Total proceeds and cost reported to the IRS.						
	13.000	349.30	12/14/20	348.40	...	0.90	1 of 2 - Sale <sup>25</sup>
	47.000	1,262.86	12/14/20	1,259.60	...	3.26	2 of 2 - Sale <sup>25</sup>
12/14/20	60.000	1,612.16	Various	1,608.00	...	4.16	Total of 2 transactions
	65 transactions for 12/14/20. Total proceeds and cost reported to the IRS.						
	1.000	26.80	12/14/20	35.14	8.34 W	0.00	1 of 65 - Sale <sup>25</sup>
	1.000	26.80	12/14/20	30.67	3.87 W	0.00	2 of 65 - Sale <sup>25</sup>
	1.000	26.80	12/14/20	35.97	9.17 W	0.00	3 of 65 - Sale <sup>25</sup>
	5.000	134.00	12/14/20	150.50	16.50 W	0.00	4 of 65 - Sale <sup>25</sup>
	19.000	509.18	12/14/20	616.80	107.62 W	0.00	5 of 65 - Sale <sup>25</sup>
	11.000	294.79	12/14/20	357.09	62.30 W	0.00	6 of 65 - Sale <sup>25</sup>
	2.000	53.60	12/14/20	62.70	9.10 W	0.00	7 of 65 - Sale <sup>25</sup>
	7.000	187.59	12/14/20	218.81	31.22 W	0.00	8 of 65 - Sale <sup>25</sup>
	1.000	26.87	12/14/20	28.26	1.39 W	0.00	9 of 65 - Sale <sup>25</sup>
	3.000	80.61	12/14/20	94.06	13.45 W	0.00	10 of 65 - Sale <sup>25</sup>
	12.000	322.43	12/14/20	336.89	14.46 W	0.00	11 of 65 - Sale <sup>25</sup>
	25.000	671.73	12/14/20	703.09	31.36 W	0.00	12 of 65 - Sale <sup>25</sup>
	3.000	80.61	12/14/20	82.12	1.51 W	0.00	13 of 65 - Sale <sup>25</sup>
	9.000	241.82	12/14/20	246.71	4.89 W	0.00	14 of 65 - Sale <sup>25</sup>
	1.000	26.87	12/14/20	35.14	8.27 W	0.00	15 of 65 - Sale <sup>25</sup>
	1.000	26.87	12/14/20	30.67	3.80 W	0.00	16 of 65 - Sale <sup>25</sup>
	1.000	26.87	12/14/20	35.97	9.10 W	0.00	17 of 65 - Sale <sup>25</sup>
	5.000	134.35	12/14/20	150.50	16.15 W	0.00	18 of 65 - Sale <sup>25</sup>
	3.000	80.61	12/14/20	97.39	16.78 W	0.00	19 of 65 - Sale <sup>25</sup>
	19.000	510.52	12/14/20	616.82	106.30 W	0.00	20 of 65 - Sale <sup>25</sup>
	8.000	214.95	12/14/20	259.71	44.76 W	0.00	21 of 65 - Sale <sup>25</sup>
	1.000	26.87	12/14/20	28.19	1.32 W	0.00	22 of 65 - Sale <sup>25</sup>
	2.000	53.74	12/14/20	62.70	8.96 W	0.00	23 of 65 - Sale <sup>25</sup>
	3.000	80.61	12/14/20	93.85	13.24 W	0.00	24 of 65 - Sale <sup>25</sup>
	7.000	188.08	12/14/20	218.82	30.74 W	0.00	25 of 65 - Sale <sup>25</sup>
	12.000	322.43	12/14/20	336.06	13.63 W	0.00	26 of 65 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
	24.000	644.86	12/14/20	673.31	28.45 W	0.00	27 of 65 - Sale <sup>25</sup>
	1.000	26.87	12/14/20	28.05	1.18 W	0.00	28 of 65 - Sale <sup>25</sup>
	2.000	53.74	12/14/20	54.69	0.95 W	0.00	29 of 65 - Sale <sup>25</sup>
	1.000	26.87	12/14/20	35.21	8.34 W	0.00	30 of 65 - Sale <sup>25</sup>
	1.000	26.87	12/14/20	30.74	3.87 W	0.00	31 of 65 - Sale <sup>25</sup>
	1.000	26.87	12/14/20	36.04	9.17 W	0.00	32 of 65 - Sale <sup>25</sup>
	5.000	134.35	12/14/20	150.85	16.50 W	0.00	33 of 65 - Sale <sup>25</sup>
	3.000	80.61	12/14/20	97.60	16.99 W	0.00	34 of 65 - Sale <sup>25</sup>
	8.000	214.95	12/14/20	260.28	45.33 W	0.00	35 of 65 - Sale <sup>25</sup>
	19.000	510.52	12/14/20	618.16	107.64 W	0.00	36 of 65 - Sale <sup>25</sup>
	1.000	26.87	12/14/20	28.26	1.39 W	0.00	37 of 65 - Sale <sup>25</sup>
	2.000	53.74	12/14/20	62.84	9.10 W	0.00	38 of 65 - Sale <sup>25</sup>
	3.000	80.61	12/14/20	94.06	13.45 W	0.00	39 of 65 - Sale <sup>25</sup>
	7.000	188.08	12/14/20	219.32	31.24 W	0.00	40 of 65 - Sale <sup>25</sup>
	12.000	322.43	12/14/20	336.91	14.48 W	0.00	41 of 65 - Sale <sup>25</sup>
	24.000	644.86	12/14/20	675.01	30.15 W	0.00	42 of 65 - Sale <sup>25</sup>
	3.000	80.61	12/14/20	82.33	1.72 W	0.00	43 of 65 - Sale <sup>25</sup>
	7.000	188.08	12/14/20	192.38	4.30 W	0.00	44 of 65 - Sale <sup>25</sup>
	1.000	26.87	12/14/20	28.12	1.25 W	0.00	45 of 65 - Sale <sup>25</sup>
	2.000	53.74	12/14/20	54.83	1.09 W	0.00	46 of 65 - Sale <sup>25</sup>
	1.000	26.72	12/14/20	35.29	8.57 W	0.00	47 of 65 - Sale <sup>25</sup>
	1.000	26.72	12/14/20	30.82	4.10 W	0.00	48 of 65 - Sale <sup>25</sup>
	1.000	26.72	12/14/20	36.12	9.40 W	0.00	49 of 65 - Sale <sup>25</sup>
	5.000	133.62	12/14/20	151.25	17.63 W	0.00	50 of 65 - Sale <sup>25</sup>
	2.000	53.45	12/14/20	65.23	11.78 W	0.00	51 of 65 - Sale <sup>25</sup>
	3.000	80.17	12/14/20	98.33	18.16 W	0.00	52 of 65 - Sale <sup>25</sup>
	8.000	213.80	12/14/20	262.24	48.44 W	0.00	53 of 65 - Sale <sup>25</sup>
	17.000	454.31	12/14/20	557.25	102.94 W	0.00	54 of 65 - Sale <sup>25</sup>
	1.000	26.72	12/14/20	28.50	1.78 W	0.00	55 of 65 - Sale <sup>25</sup>
	1.000	26.72	12/14/20	28.36	1.64 W	0.00	56 of 65 - Sale <sup>25</sup>
	2.000	53.45	12/14/20	63.33	9.88 W	0.00	57 of 65 - Sale <sup>25</sup>
	3.000	80.17	12/14/20	94.79	14.62 W	0.00	58 of 65 - Sale <sup>25</sup>
	7.000	187.07	12/14/20	221.04	33.97 W	0.00	59 of 65 - Sale <sup>25</sup>
	12.000	320.69	12/14/20	339.85	19.16 W	0.00	60 of 65 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
	24.000	641.39	12/14/20	680.89	39.50 W	0.00	61 of 65 - Sale <sup>25</sup>
	2.000	53.45	12/14/20	55.32	1.87 W	0.00	62 of 65 - Sale <sup>25</sup>
	3.000	80.17	12/14/20	83.06	2.89 W	0.00	63 of 65 - Sale <sup>25</sup>
	7.000	187.07	12/14/20	194.10	7.03 W	0.00	64 of 65 - Sale <sup>25</sup>
	100.000	2,672.45	12/14/20	2,711.42	38.97 W	0.00	65 of 65 - Sale <sup>25</sup>
12/14/20	490.000	13,133.66	Various	14,460.81	1,327.15 W	0.00	Total of 65 transactions
4 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	1.000	31.53	12/16/20	37.47	5.94 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	31.53	12/16/20	33.00	1.47 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	31.52	12/16/20	38.30	6.78 W	0.00	3 of 4 - Sale <sup>25</sup>
	2.000	63.05	12/16/20	64.85	1.80 W	0.00	4 of 4 - Sale <sup>25</sup>
12/17/20	5.000	157.63	Various	173.62	15.99 W	0.00	Total of 4 transactions
11 transactions for 12/18/20. Total proceeds and cost reported to the IRS.							
	7.000	236.62	12/16/20	236.27	...	0.35	1 of 11 - Sale <sup>25</sup>
	1.000	33.80	12/16/20	32.43	...	1.37	2 of 11 - Sale <sup>25</sup>
	4.000	135.21	12/16/20	131.99	...	3.22	3 of 11 - Sale <sup>25</sup>
	3.000	101.41	12/16/20	97.28	...	4.13	4 of 11 - Sale <sup>25</sup>
	1.000	33.80	12/17/20	33.45	...	0.35	5 of 11 - Sale <sup>25</sup>
	2.000	67.61	12/17/20	66.58	...	1.03	6 of 11 - Sale <sup>25</sup>
	2.000	67.61	12/17/20	66.27	...	1.34	7 of 11 - Sale <sup>25</sup>
	3.000	101.41	12/17/20	99.49	...	1.92	8 of 11 - Sale <sup>25</sup>
	5.000	169.02	12/17/20	166.02	...	3.00	9 of 11 - Sale <sup>25</sup>
	35.000	1,183.11	12/17/20	1,133.64	...	49.47	10 of 11 - Sale <sup>25</sup>
	29.000	1,075.87	12/18/20	1,072.70	...	3.17	11 of 11 - Sale <sup>25</sup>
12/18/20	92.000	3,205.47	Various	3,136.12	...	69.35	Total of 11 transactions
112 transactions for 12/18/20. Total proceeds and cost reported to the IRS.							
	2.000	67.61	12/16/20	69.58	1.97 W	0.00	1 of 112 - Sale <sup>25</sup>
	3.000	101.41	12/16/20	104.86	3.45 W	0.00	2 of 112 - Sale <sup>25</sup>
	8.000	270.42	12/16/20	279.64	9.22 W	0.00	3 of 112 - Sale <sup>25</sup>
	17.000	574.65	12/16/20	594.24	19.59 W	0.00	4 of 112 - Sale <sup>25</sup>
	2.000	67.61	12/16/20	67.78	0.17 W	0.00	5 of 112 - Sale <sup>25</sup>
	2.000	67.61	12/16/20	67.65	0.04 W	0.00	6 of 112 - Sale <sup>25</sup>
	1.000	33.80	12/16/20	33.87	0.07 W	0.00	7 of 112 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
	1.000	33.80	12/17/20	34.01	0.21 W	0.00	8 of 112 - Sale <sup>25</sup>
	1.000	33.80	12/17/20	33.87	0.07 W	0.00	9 of 112 - Sale <sup>25</sup>
	6.000	202.82	12/17/20	202.95	0.13 W	0.00	10 of 112 - Sale <sup>25</sup>
	24.000	811.28	12/17/20	813.02	1.74 W	0.00	11 of 112 - Sale <sup>25</sup>
	14.000	473.24	12/17/20	503.86	30.62 W	0.00	12 of 112 - Sale <sup>25</sup>
	11.000	371.83	12/17/20	395.89	24.06 W	0.00	13 of 112 - Sale <sup>25</sup>
	11.000	371.83	12/17/20	394.78	22.95 W	0.00	14 of 112 - Sale <sup>25</sup>
	1.000	37.10	12/18/20	42.54	5.44 W	0.00	15 of 112 - Sale <sup>25</sup>
	1.000	37.10	12/18/20	38.07	0.97 W	0.00	16 of 112 - Sale <sup>25</sup>
	1.000	37.10	12/18/20	43.38	6.28 W	0.00	17 of 112 - Sale <sup>25</sup>
	2.000	74.20	12/18/20	75.00	0.80 W	0.00	18 of 112 - Sale <sup>25</sup>
	2.000	74.20	12/18/20	75.17	0.97 W	0.00	19 of 112 - Sale <sup>25</sup>
	14.000	519.39	12/18/20	528.53	9.14 W	0.00	20 of 112 - Sale <sup>25</sup>
	3.000	111.30	12/18/20	115.66	4.36 W	0.00	21 of 112 - Sale <sup>25</sup>
	3.000	111.30	12/18/20	115.65	4.35 W	0.00	22 of 112 - Sale <sup>25</sup>
	8.000	296.79	12/18/20	308.42	11.63 W	0.00	23 of 112 - Sale <sup>25</sup>
	1.000	37.10	12/18/20	37.47	0.37 W	0.00	24 of 112 - Sale <sup>25</sup>
	2.000	74.20	12/18/20	74.97	0.77 W	0.00	25 of 112 - Sale <sup>25</sup>
	2.000	74.20	12/18/20	74.84	0.64 W	0.00	26 of 112 - Sale <sup>25</sup>
	1.000	37.10	12/18/20	37.61	0.51 W	0.00	27 of 112 - Sale <sup>25</sup>
	1.000	37.10	12/18/20	37.47	0.37 W	0.00	28 of 112 - Sale <sup>25</sup>
	6.000	222.59	12/18/20	224.53	1.94 W	0.00	29 of 112 - Sale <sup>25</sup>
	24.000	890.37	12/18/20	899.34	8.97 W	0.00	30 of 112 - Sale <sup>25</sup>
	11.000	408.09	12/18/20	435.46	27.37 W	0.00	31 of 112 - Sale <sup>25</sup>
	11.000	408.09	12/18/20	434.35	26.26 W	0.00	32 of 112 - Sale <sup>25</sup>
	14.000	519.38	12/18/20	554.22	34.84 W	0.00	33 of 112 - Sale <sup>25</sup>
	13.000	482.28	12/18/20	486.20	3.92 W	0.00	34 of 112 - Sale <sup>25</sup>
	1.000	37.10	12/18/20	37.30	0.20 W	0.00	35 of 112 - Sale <sup>25</sup>
	1.000	37.43	12/18/20	43.04	5.61 W	0.00	36 of 112 - Sale <sup>25</sup>
	1.000	37.43	12/18/20	38.57	1.14 W	0.00	37 of 112 - Sale <sup>25</sup>
	1.000	37.43	12/18/20	43.88	6.45 W	0.00	38 of 112 - Sale <sup>25</sup>
	2.000	74.86	12/18/20	76.00	1.14 W	0.00	39 of 112 - Sale <sup>25</sup>
	2.000	74.86	12/18/20	76.17	1.31 W	0.00	40 of 112 - Sale <sup>25</sup>
	3.000	112.29	12/18/20	117.16	4.87 W	0.00	41 of 112 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
	3.000	112.29	12/18/20	117.15	4.86 W	0.00	42 of 112 - Sale <sup>25</sup>
	8.000	299.43	12/18/20	312.43	13.00 W	0.00	43 of 112 - Sale <sup>25</sup>
	14.000	524.01	12/18/20	535.54	11.53 W	0.00	44 of 112 - Sale <sup>25</sup>
	1.000	37.43	12/18/20	37.97	0.54 W	0.00	45 of 112 - Sale <sup>25</sup>
	2.000	74.86	12/18/20	75.97	1.11 W	0.00	46 of 112 - Sale <sup>25</sup>
	2.000	74.86	12/18/20	75.84	0.98 W	0.00	47 of 112 - Sale <sup>25</sup>
	1.000	37.43	12/18/20	38.11	0.68 W	0.00	48 of 112 - Sale <sup>25</sup>
	3.000	112.29	12/18/20	113.92	1.63 W	0.00	49 of 112 - Sale <sup>25</sup>
	6.000	224.57	12/18/20	227.54	2.97 W	0.00	50 of 112 - Sale <sup>25</sup>
	1.000	37.43	12/18/20	38.17	0.74 W	0.00	51 of 112 - Sale <sup>25</sup>
	21.000	786.00	12/18/20	801.65	15.65 W	0.00	52 of 112 - Sale <sup>25</sup>
	3.000	112.29	12/18/20	120.87	8.58 W	0.00	53 of 112 - Sale <sup>25</sup>
	3.000	112.29	12/18/20	120.41	8.12 W	0.00	54 of 112 - Sale <sup>25</sup>
	11.000	411.72	12/18/20	442.62	30.90 W	0.00	55 of 112 - Sale <sup>25</sup>
	11.000	411.72	12/18/20	442.62	30.90 W	0.00	56 of 112 - Sale <sup>25</sup>
	8.000	299.43	12/18/20	320.70	21.27 W	0.00	57 of 112 - Sale <sup>25</sup>
	5.000	187.14	12/18/20	190.01	2.87 W	0.00	58 of 112 - Sale <sup>25</sup>
	1.000	37.43	12/18/20	37.90	0.47 W	0.00	59 of 112 - Sale <sup>25</sup>
	8.000	299.43	12/18/20	304.01	4.58 W	0.00	60 of 112 - Sale <sup>25</sup>
	3.000	112.28	12/18/20	113.10	0.82 W	0.00	61 of 112 - Sale <sup>25</sup>
	1.000	37.54	12/18/20	43.21	5.67 W	0.00	62 of 112 - Sale <sup>25</sup>
	1.000	37.54	12/18/20	38.74	1.20 W	0.00	63 of 112 - Sale <sup>25</sup>
	1.000	37.54	12/18/20	44.05	6.51 W	0.00	64 of 112 - Sale <sup>25</sup>
	2.000	75.08	12/18/20	76.34	1.26 W	0.00	65 of 112 - Sale <sup>25</sup>
	2.000	75.08	12/18/20	76.51	1.43 W	0.00	66 of 112 - Sale <sup>25</sup>
	3.000	112.61	12/18/20	117.67	5.06 W	0.00	67 of 112 - Sale <sup>25</sup>
	3.000	112.61	12/18/20	117.66	5.05 W	0.00	68 of 112 - Sale <sup>25</sup>
	8.000	300.30	12/18/20	313.80	13.50 W	0.00	69 of 112 - Sale <sup>25</sup>
	14.000	525.53	12/18/20	537.93	12.40 W	0.00	70 of 112 - Sale <sup>25</sup>
	1.000	37.54	12/18/20	38.14	0.60 W	0.00	71 of 112 - Sale <sup>25</sup>
	2.000	75.08	12/18/20	76.31	1.23 W	0.00	72 of 112 - Sale <sup>25</sup>
	2.000	75.08	12/18/20	76.18	1.10 W	0.00	73 of 112 - Sale <sup>25</sup>
	1.000	37.54	12/18/20	38.28	0.74 W	0.00	74 of 112 - Sale <sup>25</sup>
	1.000	37.54	12/18/20	38.14	0.60 W	0.00	75 of 112 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
	6.000	225.22	12/18/20	228.57	3.35 W	0.00	76 of 112 - Sale <sup>25</sup>
	1.000	37.54	12/18/20	38.54	1.00 W	0.00	77 of 112 - Sale <sup>25</sup>
	2.000	75.07	12/18/20	76.69	1.62 W	0.00	78 of 112 - Sale <sup>25</sup>
	21.000	788.29	12/18/20	809.45	21.16 W	0.00	79 of 112 - Sale <sup>25</sup>
	3.000	112.61	12/18/20	121.53	8.92 W	0.00	80 of 112 - Sale <sup>25</sup>
	3.000	112.61	12/18/20	121.07	8.46 W	0.00	81 of 112 - Sale <sup>25</sup>
	8.000	300.30	12/18/20	322.47	22.17 W	0.00	82 of 112 - Sale <sup>25</sup>
	11.000	412.91	12/18/20	445.05	32.14 W	0.00	83 of 112 - Sale <sup>25</sup>
	11.000	412.91	12/18/20	445.05	32.14 W	0.00	84 of 112 - Sale <sup>25</sup>
	1.000	37.54	12/18/20	38.12	0.58 W	0.00	85 of 112 - Sale <sup>25</sup>
	5.000	187.69	12/18/20	191.12	3.43 W	0.00	86 of 112 - Sale <sup>25</sup>
	8.000	300.30	12/18/20	305.78	5.48 W	0.00	87 of 112 - Sale <sup>25</sup>
	3.000	112.61	12/18/20	113.77	1.16 W	0.00	88 of 112 - Sale <sup>25</sup>
	23.000	863.37	12/18/20	865.95	2.58 W	0.00	89 of 112 - Sale <sup>25</sup>
	1.000	37.61	12/18/20	43.17	5.56 W	0.00	90 of 112 - Sale <sup>25</sup>
	1.000	37.61	12/18/20	38.70	1.09 W	0.00	91 of 112 - Sale <sup>25</sup>
	1.000	37.61	12/18/20	44.01	6.40 W	0.00	92 of 112 - Sale <sup>25</sup>
	2.000	75.22	12/18/20	76.26	1.04 W	0.00	93 of 112 - Sale <sup>25</sup>
	2.000	75.22	12/18/20	76.43	1.21 W	0.00	94 of 112 - Sale <sup>25</sup>
	3.000	112.83	12/18/20	117.56	4.73 W	0.00	95 of 112 - Sale <sup>25</sup>
	3.000	112.83	12/18/20	117.55	4.72 W	0.00	96 of 112 - Sale <sup>25</sup>
	8.000	300.87	12/18/20	313.50	12.63 W	0.00	97 of 112 - Sale <sup>25</sup>
	14.000	526.52	12/18/20	537.40	10.88 W	0.00	98 of 112 - Sale <sup>25</sup>
	1.000	37.61	12/18/20	38.10	0.49 W	0.00	99 of 112 - Sale <sup>25</sup>
	2.000	75.22	12/18/20	76.23	1.01 W	0.00	100 of 112 - Sale <sup>25</sup>
	2.000	75.22	12/18/20	76.10	0.88 W	0.00	101 of 112 - Sale <sup>25</sup>
	1.000	37.61	12/18/20	38.24	0.63 W	0.00	102 of 112 - Sale <sup>25</sup>
	1.000	37.61	12/18/20	38.10	0.49 W	0.00	103 of 112 - Sale <sup>25</sup>
	2.000	75.22	12/18/20	76.62	1.40 W	0.00	104 of 112 - Sale <sup>25</sup>
	6.000	225.65	12/18/20	228.35	2.70 W	0.00	105 of 112 - Sale <sup>25</sup>
	1.000	37.61	12/18/20	38.45	0.84 W	0.00	106 of 112 - Sale <sup>25</sup>
	21.000	789.78	12/18/20	807.61	17.83 W	0.00	107 of 112 - Sale <sup>25</sup>
	3.000	112.83	12/18/20	121.27	8.44 W	0.00	108 of 112 - Sale <sup>25</sup>
	7.000	263.26	12/18/20	282.60	19.34 W	0.00	109 of 112 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
	11.000	413.70	12/18/20	444.09	30.39 W	0.00	110 of 112 - Sale <sup>25</sup>
	3.000	112.83	12/18/20	120.81	7.98 W	0.00	111 of 112 - Sale <sup>25</sup>
	4.000	150.43	12/18/20	161.49	11.06 W	0.00	112 of 112 - Sale <sup>25</sup>
12/18/20	598.000	22,002.90	Various	22,802.29	799.39 W	0.00	Total of 112 transactions
	38 transactions for 12/21/20. Total proceeds and cost reported to the IRS.						
	1.000	36.46	12/18/20	42.91	6.45 W	0.00	1 of 38 - Sale <sup>25</sup>
	1.000	36.46	12/18/20	38.44	1.98 W	0.00	2 of 38 - Sale <sup>25</sup>
	8.000	291.69	12/18/20	320.97	29.28 W	0.00	3 of 38 - Sale <sup>25</sup>
	1.000	36.46	12/18/20	37.93	1.47 W	0.00	4 of 38 - Sale <sup>25</sup>
	3.000	109.38	12/18/20	113.21	3.83 W	0.00	5 of 38 - Sale <sup>25</sup>
	5.000	182.30	12/18/20	190.18	7.88 W	0.00	6 of 38 - Sale <sup>25</sup>
	8.000	291.69	12/18/20	304.28	12.59 W	0.00	7 of 38 - Sale <sup>25</sup>
	23.000	838.60	12/18/20	861.63	23.03 W	0.00	8 of 38 - Sale <sup>25</sup>
	1.000	36.46	12/18/20	43.70	7.24 W	0.00	9 of 38 - Sale <sup>25</sup>
	2.000	72.92	12/18/20	75.64	2.72 W	0.00	10 of 38 - Sale <sup>25</sup>
	2.000	72.92	12/18/20	75.81	2.89 W	0.00	11 of 38 - Sale <sup>25</sup>
	3.000	109.38	12/18/20	116.63	7.25 W	0.00	12 of 38 - Sale <sup>25</sup>
	3.000	109.38	12/18/20	116.62	7.24 W	0.00	13 of 38 - Sale <sup>25</sup>
	8.000	291.69	12/18/20	311.03	19.34 W	0.00	14 of 38 - Sale <sup>25</sup>
	14.000	510.45	12/18/20	533.08	22.63 W	0.00	15 of 38 - Sale <sup>25</sup>
	1.000	36.46	12/18/20	37.79	1.33 W	0.00	16 of 38 - Sale <sup>25</sup>
	2.000	72.92	12/18/20	75.61	2.69 W	0.00	17 of 38 - Sale <sup>25</sup>
	2.000	72.92	12/18/20	75.48	2.56 W	0.00	18 of 38 - Sale <sup>25</sup>
	1.000	36.46	12/18/20	37.93	1.47 W	0.00	19 of 38 - Sale <sup>25</sup>
	1.000	36.46	12/18/20	37.79	1.33 W	0.00	20 of 38 - Sale <sup>25</sup>
	2.000	72.92	12/18/20	76.00	3.08 W	0.00	21 of 38 - Sale <sup>25</sup>
	2.000	72.92	12/18/20	76.30	3.38 W	0.00	22 of 38 - Sale <sup>25</sup>
	6.000	218.77	12/18/20	226.50	7.73 W	0.00	23 of 38 - Sale <sup>25</sup>
	1.000	36.46	12/18/20	39.63	3.17 W	0.00	24 of 38 - Sale <sup>25</sup>
	3.000	109.38	12/18/20	120.30	10.92 W	0.00	25 of 38 - Sale <sup>25</sup>
	1.000	36.46	12/18/20	39.84	3.38 W	0.00	26 of 38 - Sale <sup>25</sup>
	15.000	546.93	12/18/20	597.73	50.80 W	0.00	27 of 38 - Sale <sup>25</sup>
	3.000	109.38	12/18/20	125.44	16.06 W	0.00	28 of 38 - Sale <sup>25</sup>
	5.000	182.31	12/18/20	208.81	26.50 W	0.00	29 of 38 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
	4.000	142.32	12/18/20	167.05	24.73 W	0.00	30 of 38 - Sale <sup>25</sup>
	1.000	35.58	12/18/20	41.77	6.19 W	0.00	31 of 38 - Sale <sup>25</sup>
	1.000	35.01	12/21/20	38.55	3.54 W	0.00	32 of 38 - Sale <sup>25</sup>
	2.000	70.02	12/21/20	77.10	7.08 W	0.00	33 of 38 - Sale <sup>25</sup>
	7.000	245.07	12/21/20	269.83	24.76 W	0.00	34 of 38 - Sale <sup>25</sup>
	2.000	70.02	12/21/20	76.73	6.71 W	0.00	35 of 38 - Sale <sup>25</sup>
	2.000	70.02	12/21/20	76.52	6.50 W	0.00	36 of 38 - Sale <sup>25</sup>
	1.000	37.74	12/21/20	38.26	0.52 W	0.00	37 of 38 - Sale <sup>25</sup>
	1.000	37.74	12/21/20	41.75	4.01 W	0.00	38 of 38 - Sale <sup>25</sup>
12/21/20	149.000	5,410.51	Various	5,784.77	374.26 W	0.00	Total of 38 transactions
12/23/20	23.000	1,034.97	12/23/20	1,034.11	...	0.86	Sale <sup>25</sup>
52 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	1.000	45.00	12/22/20	48.45	3.45 W	0.00	1 of 52 - Sale <sup>25</sup>
	4.000	180.00	12/22/20	204.28	24.28 W	0.00	2 of 52 - Sale <sup>25</sup>
	1.000	45.00	12/23/20	51.20	6.20 W	0.00	3 of 52 - Sale <sup>25</sup>
	2.000	90.00	12/23/20	90.64	0.64 W	0.00	4 of 52 - Sale <sup>25</sup>
	1.000	45.00	12/23/20	45.58	0.58 W	0.00	5 of 52 - Sale <sup>25</sup>
	2.000	90.00	12/23/20	90.81	0.81 W	0.00	6 of 52 - Sale <sup>25</sup>
	4.000	180.00	12/23/20	190.48	10.48 W	0.00	7 of 52 - Sale <sup>25</sup>
	1.000	45.00	12/23/20	45.43	0.43 W	0.00	8 of 52 - Sale <sup>25</sup>
	3.000	135.00	12/23/20	135.71	0.71 W	0.00	9 of 52 - Sale <sup>25</sup>
	5.000	224.99	12/23/20	227.68	2.69 W	0.00	10 of 52 - Sale <sup>25</sup>
	8.000	359.99	12/23/20	364.27	4.28 W	0.00	11 of 52 - Sale <sup>25</sup>
	3.000	135.00	12/23/20	139.25	4.25 W	0.00	12 of 52 - Sale <sup>25</sup>
	3.000	135.00	12/23/20	139.24	4.24 W	0.00	13 of 52 - Sale <sup>25</sup>
	8.000	359.99	12/23/20	371.34	11.35 W	0.00	14 of 52 - Sale <sup>25</sup>
	13.000	584.98	12/23/20	593.01	8.03 W	0.00	15 of 52 - Sale <sup>25</sup>
	1.000	45.00	12/23/20	45.33	0.33 W	0.00	16 of 52 - Sale <sup>25</sup>
	2.000	90.00	12/23/20	90.69	0.69 W	0.00	17 of 52 - Sale <sup>25</sup>
	2.000	90.00	12/23/20	90.56	0.56 W	0.00	18 of 52 - Sale <sup>25</sup>
	1.000	45.00	12/23/20	45.47	0.47 W	0.00	19 of 52 - Sale <sup>25</sup>
	1.000	45.00	12/23/20	45.33	0.33 W	0.00	20 of 52 - Sale <sup>25</sup>
	1.000	45.00	12/23/20	47.17	2.17 W	0.00	21 of 52 - Sale <sup>25</sup>
	2.000	90.00	12/23/20	91.08	1.08 W	0.00	22 of 52 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
	2.000	90.00	12/23/20	91.38	1.38 W	0.00	23 of 52 - Sale <sup>25</sup>
	2.000	90.00	12/23/20	94.77	4.77 W	0.00	24 of 52 - Sale <sup>25</sup>
	3.000	134.99	12/23/20	142.92	7.93 W	0.00	25 of 52 - Sale <sup>25</sup>
	6.000	269.99	12/23/20	271.73	1.74 W	0.00	26 of 52 - Sale <sup>25</sup>
	1.000	45.00	12/23/20	49.82	4.82 W	0.00	27 of 52 - Sale <sup>25</sup>
	13.000	584.97	12/23/20	647.72	62.75 W	0.00	28 of 52 - Sale <sup>25</sup>
	3.000	134.99	12/23/20	155.37	20.38 W	0.00	29 of 52 - Sale <sup>25</sup>
	3.000	135.00	12/23/20	155.21	20.21 W	0.00	30 of 52 - Sale <sup>25</sup>
	1.000	44.15	12/23/20	48.01	3.86 W	0.00	31 of 52 - Sale <sup>25</sup>
	1.000	44.15	12/23/20	47.45	3.30 W	0.00	32 of 52 - Sale <sup>25</sup>
	1.000	44.15	12/23/20	50.20	6.05 W	0.00	33 of 52 - Sale <sup>25</sup>
	2.000	88.30	12/23/20	88.64	0.34 W	0.00	34 of 52 - Sale <sup>25</sup>
	1.000	44.15	12/23/20	44.58	0.43 W	0.00	35 of 52 - Sale <sup>25</sup>
	2.000	88.30	12/23/20	88.81	0.51 W	0.00	36 of 52 - Sale <sup>25</sup>
	4.000	176.60	12/23/20	200.28	23.68 W	0.00	37 of 52 - Sale <sup>25</sup>
	1.000	44.15	12/23/20	50.19	6.04 W	0.00	38 of 52 - Sale <sup>25</sup>
	2.000	88.30	12/23/20	98.60	10.30 W	0.00	39 of 52 - Sale <sup>25</sup>
	4.000	176.59	12/23/20	200.73	24.14 W	0.00	40 of 52 - Sale <sup>25</sup>
	4.000	176.59	12/23/20	186.48	9.89 W	0.00	41 of 52 - Sale <sup>25</sup>
	5.000	220.74	12/23/20	222.69	1.95 W	0.00	42 of 52 - Sale <sup>25</sup>
	7.000	309.04	12/23/20	311.75	2.71 W	0.00	43 of 52 - Sale <sup>25</sup>
	1.000	44.15	12/23/20	47.54	3.39 W	0.00	44 of 52 - Sale <sup>25</sup>
	2.000	88.30	12/23/20	95.08	6.78 W	0.00	45 of 52 - Sale <sup>25</sup>
	2.000	88.30	12/23/20	94.71	6.41 W	0.00	46 of 52 - Sale <sup>25</sup>
	2.000	88.30	12/23/20	94.50	6.20 W	0.00	47 of 52 - Sale <sup>25</sup>
	7.000	309.04	12/23/20	332.76	23.72 W	0.00	48 of 52 - Sale <sup>25</sup>
	1.000	44.07	12/23/20	44.52	0.45 W	0.00	49 of 52 - Sale <sup>25</sup>
	1.000	44.07	12/23/20	45.34	1.27 W	0.00	50 of 52 - Sale <sup>25</sup>
	1.000	44.07	12/23/20	45.24	1.17 W	0.00	51 of 52 - Sale <sup>25</sup>
	1.000	44.07	12/23/20	45.05	0.98 W	0.00	52 of 52 - Sale <sup>25</sup>
12/23/20	155.000	6,929.47	Various	7,285.07	355.60 W	0.00	Total of 52 transactions
	33 transactions for 12/29/20. Total proceeds and cost reported to the IRS.						
	1.000	41.45	12/23/20	45.04	3.59 W	0.00	1 of 33 - Sale <sup>25</sup>
	1.000	41.45	12/29/20	45.90	4.45 W	0.00	2 of 33 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
	1.000	41.45	12/29/20	45.34	3.89 W	0.00	3 of 33 - Sale <sup>25</sup>
	1.000	41.45	12/29/20	48.09	6.64 W	0.00	4 of 33 - Sale <sup>25</sup>
	2.000	82.90	12/29/20	84.42	1.52 W	0.00	5 of 33 - Sale <sup>25</sup>
	1.000	41.45	12/29/20	42.47	1.02 W	0.00	6 of 33 - Sale <sup>25</sup>
	2.000	82.90	12/29/20	84.60	1.70 W	0.00	7 of 33 - Sale <sup>25</sup>
	3.000	124.35	12/29/20	130.38	6.03 W	0.00	8 of 33 - Sale <sup>25</sup>
	3.000	124.35	12/29/20	130.37	6.02 W	0.00	9 of 33 - Sale <sup>25</sup>
	8.000	331.59	12/29/20	347.69	16.10 W	0.00	10 of 33 - Sale <sup>25</sup>
	13.000	538.83	12/29/20	554.58	15.75 W	0.00	11 of 33 - Sale <sup>25</sup>
	1.000	41.45	12/29/20	42.37	0.92 W	0.00	12 of 33 - Sale <sup>25</sup>
	2.000	82.90	12/29/20	84.77	1.87 W	0.00	13 of 33 - Sale <sup>25</sup>
	2.000	82.90	12/29/20	84.64	1.74 W	0.00	14 of 33 - Sale <sup>25</sup>
	1.000	41.45	12/29/20	42.51	1.06 W	0.00	15 of 33 - Sale <sup>25</sup>
	1.000	41.45	12/29/20	42.37	0.92 W	0.00	16 of 33 - Sale <sup>25</sup>
	1.000	41.45	12/29/20	44.21	2.76 W	0.00	17 of 33 - Sale <sup>25</sup>
	1.000	41.45	12/29/20	46.86	5.41 W	0.00	18 of 33 - Sale <sup>25</sup>
	2.000	82.90	12/29/20	85.16	2.26 W	0.00	19 of 33 - Sale <sup>25</sup>
	2.000	82.90	12/29/20	85.46	2.56 W	0.00	20 of 33 - Sale <sup>25</sup>
	2.000	82.90	12/29/20	88.85	5.95 W	0.00	21 of 33 - Sale <sup>25</sup>
	3.000	124.35	12/29/20	134.06	9.71 W	0.00	22 of 33 - Sale <sup>25</sup>
	4.000	165.79	12/29/20	191.85	26.06 W	0.00	23 of 33 - Sale <sup>25</sup>
	6.000	248.69	12/29/20	253.99	5.30 W	0.00	24 of 33 - Sale <sup>25</sup>
	13.000	538.83	12/29/20	609.30	70.47 W	0.00	25 of 33 - Sale <sup>25</sup>
	1.000	41.45	12/29/20	48.08	6.63 W	0.00	26 of 33 - Sale <sup>25</sup>
	2.000	82.90	12/29/20	94.39	11.49 W	0.00	27 of 33 - Sale <sup>25</sup>
	3.000	124.34	12/29/20	146.51	22.17 W	0.00	28 of 33 - Sale <sup>25</sup>
	3.000	124.35	12/29/20	146.34	21.99 W	0.00	29 of 33 - Sale <sup>25</sup>
	4.000	165.79	12/29/20	192.31	26.52 W	0.00	30 of 33 - Sale <sup>25</sup>
	4.000	165.79	12/29/20	178.06	12.27 W	0.00	31 of 33 - Sale <sup>25</sup>
	1.000	41.45	12/29/20	42.28	0.83 W	0.00	32 of 33 - Sale <sup>25</sup>
	4.000	165.79	12/29/20	169.73	3.94 W	0.00	33 of 33 - Sale <sup>25</sup>
12/29/20	99.000	4,103.44	Various	4,412.98	309.54 W	0.00	Total of 33 transactions

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
31 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	1.000	45.50	12/29/20	44.10	...	1.40	1 of 31 - Sale <sup>25</sup>
	1.000	45.50	12/29/20	44.10	...	1.40	2 of 31 - Sale <sup>25</sup>
	1.000	45.50	12/29/20	42.43	...	3.07	3 of 31 - Sale <sup>25</sup>
	7.000	318.49	12/29/20	287.68	...	30.81	4 of 31 - Sale <sup>25</sup>
	1.000	45.50	12/30/20	44.57	...	0.93	5 of 31 - Sale <sup>25</sup>
	1.000	45.50	12/30/20	44.56	...	0.94	6 of 31 - Sale <sup>25</sup>
	1.000	45.50	12/30/20	44.52	...	0.98	7 of 31 - Sale <sup>25</sup>
	1.000	45.50	12/30/20	44.47	...	1.03	8 of 31 - Sale <sup>25</sup>
	1.000	45.50	12/30/20	44.42	...	1.08	9 of 31 - Sale <sup>25</sup>
	1.000	45.50	12/30/20	44.42	...	1.08	10 of 31 - Sale <sup>25</sup>
	1.000	45.50	12/30/20	44.08	...	1.42	11 of 31 - Sale <sup>25</sup>
	2.000	91.00	12/30/20	89.56	...	1.44	12 of 31 - Sale <sup>25</sup>
	1.000	45.50	12/30/20	43.83	...	1.67	13 of 31 - Sale <sup>25</sup>
	2.000	91.00	12/30/20	89.26	...	1.74	14 of 31 - Sale <sup>25</sup>
	1.000	45.50	12/30/20	43.75	...	1.75	15 of 31 - Sale <sup>25</sup>
	2.000	91.00	12/30/20	88.87	...	2.13	16 of 31 - Sale <sup>25</sup>
	2.000	91.00	12/30/20	88.74	...	2.26	17 of 31 - Sale <sup>25</sup>
	2.000	91.00	12/30/20	88.70	...	2.30	18 of 31 - Sale <sup>25</sup>
	2.000	91.00	12/30/20	87.72	...	3.28	19 of 31 - Sale <sup>25</sup>
	8.000	363.99	12/30/20	359.09	...	4.90	20 of 31 - Sale <sup>25</sup>
	2.000	91.00	12/30/20	86.03	...	4.97	21 of 31 - Sale <sup>25</sup>
	5.000	227.49	12/30/20	221.56	...	5.93	22 of 31 - Sale <sup>25</sup>
	4.000	181.99	12/30/20	175.94	...	6.05	23 of 31 - Sale <sup>25</sup>
	6.000	272.99	12/30/20	266.30	...	6.69	24 of 31 - Sale <sup>25</sup>
	25.000	1,137.47	12/30/20	1,070.50	...	66.97	25 of 31 - Sale <sup>25</sup>
	29.000	1,319.46	12/30/20	1,247.00	...	72.46	26 of 31 - Sale <sup>25</sup>
	50.000	2,274.93	12/30/20	2,193.50	...	81.43	27 of 31 - Sale <sup>25</sup>
	50.000	2,274.94	12/30/20	2,175.00	...	99.94	28 of 31 - Sale <sup>25</sup>
	44.000	2,001.94	12/30/20	1,887.60	...	114.34	29 of 31 - Sale <sup>25</sup>
	50.000	2,274.94	12/30/20	2,147.00	...	127.94	30 of 31 - Sale <sup>25</sup>
	50.000	2,274.94	12/30/20	2,145.00	...	129.94	31 of 31 - Sale <sup>25</sup>
12/30/20	354.000	16,106.57	Various	15,324.30	...	782.27	Total of 31 transactions

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
95 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
1.000		45.50	12/30/20	46.13	0.63 W	0.00	1 of 95 - Sale <sup>25</sup>
2.000		91.00	12/30/20	92.84	1.84 W	0.00	2 of 95 - Sale <sup>25</sup>
2.000		91.00	12/30/20	92.47	1.47 W	0.00	3 of 95 - Sale <sup>25</sup>
6.000		272.99	12/30/20	278.51	5.52 W	0.00	4 of 95 - Sale <sup>25</sup>
1.000		45.50	12/30/20	46.40	0.90 W	0.00	5 of 95 - Sale <sup>25</sup>
1.000		45.50	12/30/20	47.55	2.05 W	0.00	6 of 95 - Sale <sup>25</sup>
1.000		45.50	12/30/20	46.99	1.49 W	0.00	7 of 95 - Sale <sup>25</sup>
1.000		45.50	12/30/20	46.69	1.19 W	0.00	8 of 95 - Sale <sup>25</sup>
1.000		45.50	12/30/20	49.74	4.24 W	0.00	9 of 95 - Sale <sup>25</sup>
2.000		91.00	12/30/20	91.37	0.37 W	0.00	10 of 95 - Sale <sup>25</sup>
1.000		45.50	12/30/20	45.51	0.01 W	0.00	11 of 95 - Sale <sup>25</sup>
3.000		136.50	12/30/20	136.52	0.02 W	0.00	12 of 95 - Sale <sup>25</sup>
8.000		363.99	12/30/20	364.10	0.11 W	0.00	13 of 95 - Sale <sup>25</sup>
1.000		45.50	12/30/20	46.26	0.76 W	0.00	14 of 95 - Sale <sup>25</sup>
2.000		91.00	12/30/20	92.95	1.95 W	0.00	15 of 95 - Sale <sup>25</sup>
3.000		136.50	12/30/20	140.21	3.71 W	0.00	16 of 95 - Sale <sup>25</sup>
12.000		545.99	12/30/20	587.05	41.06 W	0.00	17 of 95 - Sale <sup>25</sup>
1.000		45.50	12/30/20	48.42	2.92 W	0.00	18 of 95 - Sale <sup>25</sup>
1.000		45.50	12/30/20	48.41	2.91 W	0.00	19 of 95 - Sale <sup>25</sup>
4.000		181.99	12/30/20	198.06	16.07 W	0.00	20 of 95 - Sale <sup>25</sup>
1.000		45.50	12/30/20	49.63	4.13 W	0.00	21 of 95 - Sale <sup>25</sup>
2.000		91.00	12/30/20	97.49	6.49 W	0.00	22 of 95 - Sale <sup>25</sup>
3.000		136.50	12/30/20	151.17	14.67 W	0.00	23 of 95 - Sale <sup>25</sup>
3.000		136.50	12/30/20	150.99	14.49 W	0.00	24 of 95 - Sale <sup>25</sup>
3.000		136.50	12/30/20	138.20	1.70 W	0.00	25 of 95 - Sale <sup>25</sup>
4.000		181.99	12/30/20	198.52	16.53 W	0.00	26 of 95 - Sale <sup>25</sup>
1.000		45.50	12/30/20	46.07	0.57 W	0.00	27 of 95 - Sale <sup>25</sup>
1.000		44.64	12/30/20	48.17	3.53 W	0.00	28 of 95 - Sale <sup>25</sup>
1.000		44.64	12/30/20	47.61	2.97 W	0.00	29 of 95 - Sale <sup>25</sup>
1.000		44.64	12/30/20	47.31	2.67 W	0.00	30 of 95 - Sale <sup>25</sup>
1.000		44.64	12/30/20	50.36	5.72 W	0.00	31 of 95 - Sale <sup>25</sup>
1.000		44.64	12/30/20	46.13	1.49 W	0.00	32 of 95 - Sale <sup>25</sup>
2.000		89.29	12/30/20	92.61	3.32 W	0.00	33 of 95 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
	3.000	133.93	12/30/20	138.38	4.45 W	0.00	34 of 95 - Sale <sup>25</sup>
	3.000	133.93	12/30/20	138.40	4.47 W	0.00	35 of 95 - Sale <sup>25</sup>
	1.000	44.64	12/30/20	46.75	2.11 W	0.00	36 of 95 - Sale <sup>25</sup>
	1.000	44.64	12/30/20	47.02	2.38 W	0.00	37 of 95 - Sale <sup>25</sup>
	2.000	89.29	12/30/20	94.08	4.79 W	0.00	38 of 95 - Sale <sup>25</sup>
	2.000	89.29	12/30/20	93.71	4.42 W	0.00	39 of 95 - Sale <sup>25</sup>
	6.000	267.86	12/30/20	282.24	14.38 W	0.00	40 of 95 - Sale <sup>25</sup>
	5.000	223.22	12/30/20	227.32	4.10 W	0.00	41 of 95 - Sale <sup>25</sup>
	1.000	44.64	12/30/20	46.21	1.57 W	0.00	42 of 95 - Sale <sup>25</sup>
	1.000	44.64	12/30/20	48.37	3.73 W	0.00	43 of 95 - Sale <sup>25</sup>
	1.000	44.64	12/30/20	48.36	3.72 W	0.00	44 of 95 - Sale <sup>25</sup>
	2.000	89.29	12/30/20	92.85	3.56 W	0.00	45 of 95 - Sale <sup>25</sup>
	3.000	133.93	12/30/20	140.06	6.13 W	0.00	46 of 95 - Sale <sup>25</sup>
	4.000	178.58	12/30/20	197.87	19.29 W	0.00	47 of 95 - Sale <sup>25</sup>
	12.000	535.73	12/30/20	586.46	50.73 W	0.00	48 of 95 - Sale <sup>25</sup>
	1.000	44.64	12/30/20	49.58	4.94 W	0.00	49 of 95 - Sale <sup>25</sup>
	1.000	44.64	12/30/20	46.02	1.38 W	0.00	50 of 95 - Sale <sup>25</sup>
	2.000	89.29	12/30/20	97.39	8.10 W	0.00	51 of 95 - Sale <sup>25</sup>
	3.000	133.93	12/30/20	151.02	17.09 W	0.00	52 of 95 - Sale <sup>25</sup>
	3.000	133.93	12/30/20	150.84	16.91 W	0.00	53 of 95 - Sale <sup>25</sup>
	3.000	133.93	12/30/20	138.05	4.12 W	0.00	54 of 95 - Sale <sup>25</sup>
	4.000	178.58	12/30/20	198.33	19.75 W	0.00	55 of 95 - Sale <sup>25</sup>
	54.000	2,410.78	12/30/20	2,454.30	43.52 W	0.00	56 of 95 - Sale <sup>25</sup>
	100.000	4,464.40	12/30/20	4,540.00	75.60 W	0.00	57 of 95 - Sale <sup>25</sup>
	20.000	892.88	12/30/20	907.00	14.12 W	0.00	58 of 95 - Sale <sup>25</sup>
	80.000	3,571.52	12/30/20	3,628.00	56.48 W	0.00	59 of 95 - Sale <sup>25</sup>
	10.000	446.44	12/30/20	453.00	6.56 W	0.00	60 of 95 - Sale <sup>25</sup>
	1.000	44.69	12/30/20	48.83	4.14 W	0.00	61 of 95 - Sale <sup>25</sup>
	1.000	44.69	12/30/20	48.27	3.58 W	0.00	62 of 95 - Sale <sup>25</sup>
	1.000	44.69	12/30/20	47.97	3.28 W	0.00	63 of 95 - Sale <sup>25</sup>
	1.000	44.69	12/30/20	51.02	6.33 W	0.00	64 of 95 - Sale <sup>25</sup>
	1.000	44.69	12/30/20	46.79	2.10 W	0.00	65 of 95 - Sale <sup>25</sup>
	2.000	89.39	12/30/20	93.92	4.53 W	0.00	66 of 95 - Sale <sup>25</sup>
	3.000	134.08	12/30/20	140.35	6.27 W	0.00	67 of 95 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
	3.000	134.08	12/30/20	140.37	6.29 W	0.00	68 of 95 - Sale <sup>25</sup>
	5.000	223.47	12/30/20	230.60	7.13 W	0.00	69 of 95 - Sale <sup>25</sup>
	1.000	44.69	12/30/20	46.87	2.18 W	0.00	70 of 95 - Sale <sup>25</sup>
	1.000	44.69	12/30/20	49.03	4.34 W	0.00	71 of 95 - Sale <sup>25</sup>
	1.000	44.69	12/30/20	49.02	4.33 W	0.00	72 of 95 - Sale <sup>25</sup>
	2.000	89.39	12/30/20	94.16	4.77 W	0.00	73 of 95 - Sale <sup>25</sup>
	3.000	134.08	12/30/20	142.03	7.95 W	0.00	74 of 95 - Sale <sup>25</sup>
	4.000	178.78	12/30/20	200.49	21.71 W	0.00	75 of 95 - Sale <sup>25</sup>
	12.000	536.33	12/30/20	594.33	58.00 W	0.00	76 of 95 - Sale <sup>25</sup>
	1.000	44.69	12/30/20	50.24	5.55 W	0.00	77 of 95 - Sale <sup>25</sup>
	1.000	44.69	12/30/20	46.68	1.99 W	0.00	78 of 95 - Sale <sup>25</sup>
	2.000	89.39	12/30/20	98.70	9.31 W	0.00	79 of 95 - Sale <sup>25</sup>
	3.000	134.08	12/30/20	152.99	18.91 W	0.00	80 of 95 - Sale <sup>25</sup>
	3.000	134.08	12/30/20	152.81	18.73 W	0.00	81 of 95 - Sale <sup>25</sup>
	3.000	134.08	12/30/20	140.02	5.94 W	0.00	82 of 95 - Sale <sup>25</sup>
	4.000	178.78	12/30/20	200.95	22.17 W	0.00	83 of 95 - Sale <sup>25</sup>
	1.000	44.69	12/30/20	47.41	2.72 W	0.00	84 of 95 - Sale <sup>25</sup>
	1.000	44.69	12/30/20	47.68	2.99 W	0.00	85 of 95 - Sale <sup>25</sup>
	2.000	89.39	12/30/20	95.39	6.00 W	0.00	86 of 95 - Sale <sup>25</sup>
	2.000	89.39	12/30/20	95.02	5.63 W	0.00	87 of 95 - Sale <sup>25</sup>
	6.000	268.16	12/30/20	286.18	18.02 W	0.00	88 of 95 - Sale <sup>25</sup>
	13.000	581.02	12/30/20	599.38	18.36 W	0.00	89 of 95 - Sale <sup>25</sup>
	6.000	268.16	12/30/20	276.64	8.48 W	0.00	90 of 95 - Sale <sup>25</sup>
	10.000	446.94	12/30/20	458.11	11.17 W	0.00	91 of 95 - Sale <sup>25</sup>
	10.000	446.94	12/30/20	453.31	6.37 W	0.00	92 of 95 - Sale <sup>25</sup>
	25.000	1,117.35	12/30/20	1,134.52	17.17 W	0.00	93 of 95 - Sale <sup>25</sup>
	9.000	402.25	12/30/20	405.95	3.70 W	0.00	94 of 95 - Sale <sup>25</sup>
	90.000	4,022.47	12/30/20	4,064.04	41.57 W	0.00	95 of 95 - Sale <sup>25</sup>
12/30/20	640.000	28,644.51	Various	29,582.12	937.61 W	0.00	Total of 95 transactions
7 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	10.000	446.07	12/30/20	445.96	...	0.11	1 of 7 - Sale <sup>25</sup>
	15.000	669.10	12/30/20	668.94	...	0.16	2 of 7 - Sale <sup>25</sup>
	1.000	44.61	12/30/20	44.38	...	0.23	3 of 7 - Sale <sup>25</sup>
	24.000	1,070.56	12/30/20	1,069.90	...	0.66	4 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
	25.000	1,115.17	12/30/20	1,114.03	...	1.14	5 of 7 - Sale <sup>25</sup>
	9.000	401.46	12/30/20	399.07	...	2.39	6 of 7 - Sale <sup>25</sup>
	16.000	713.71	12/30/20	710.26	...	3.45	7 of 7 - Sale <sup>25</sup>
12/31/20	100.000	4,460.68	Various	4,452.54	...	8.14	Total of 7 transactions
	241 transactions for 12/31/20. Total proceeds and cost reported to the IRS.						
	1.000	44.61	12/30/20	45.11	0.50 W	0.00	1 of 241 - Sale <sup>25</sup>
	1.000	44.61	12/30/20	47.86	3.25 W	0.00	2 of 241 - Sale <sup>25</sup>
	1.000	44.61	12/30/20	47.30	2.69 W	0.00	3 of 241 - Sale <sup>25</sup>
	1.000	44.61	12/30/20	47.00	2.39 W	0.00	4 of 241 - Sale <sup>25</sup>
	1.000	44.61	12/30/20	50.05	5.44 W	0.00	5 of 241 - Sale <sup>25</sup>
	1.000	44.61	12/30/20	45.82	1.21 W	0.00	6 of 241 - Sale <sup>25</sup>
	2.000	89.21	12/30/20	91.97	2.76 W	0.00	7 of 241 - Sale <sup>25</sup>
	3.000	133.82	12/30/20	137.43	3.61 W	0.00	8 of 241 - Sale <sup>25</sup>
	3.000	133.82	12/30/20	137.45	3.63 W	0.00	9 of 241 - Sale <sup>25</sup>
	5.000	223.03	12/30/20	225.73	2.70 W	0.00	10 of 241 - Sale <sup>25</sup>
	1.000	44.61	12/30/20	45.90	1.29 W	0.00	11 of 241 - Sale <sup>25</sup>
	2.000	89.21	12/30/20	92.21	3.00 W	0.00	12 of 241 - Sale <sup>25</sup>
	3.000	133.82	12/30/20	139.11	5.29 W	0.00	13 of 241 - Sale <sup>25</sup>
	1.000	44.61	12/30/20	49.84	5.23 W	0.00	14 of 241 - Sale <sup>25</sup>
	1.000	44.61	12/30/20	49.83	5.22 W	0.00	15 of 241 - Sale <sup>25</sup>
	4.000	178.43	12/30/20	203.71	25.28 W	0.00	16 of 241 - Sale <sup>25</sup>
	12.000	535.28	12/30/20	604.00	68.72 W	0.00	17 of 241 - Sale <sup>25</sup>
	1.000	44.61	12/30/20	51.05	6.44 W	0.00	18 of 241 - Sale <sup>25</sup>
	1.000	44.61	12/30/20	47.49	2.88 W	0.00	19 of 241 - Sale <sup>25</sup>
	2.000	89.21	12/30/20	100.31	11.10 W	0.00	20 of 241 - Sale <sup>25</sup>
	3.000	133.82	12/30/20	155.41	21.59 W	0.00	21 of 241 - Sale <sup>25</sup>
	3.000	133.82	12/30/20	155.23	21.41 W	0.00	22 of 241 - Sale <sup>25</sup>
	3.000	133.82	12/30/20	142.44	8.62 W	0.00	23 of 241 - Sale <sup>25</sup>
	4.000	178.43	12/30/20	204.17	25.74 W	0.00	24 of 241 - Sale <sup>25</sup>
	1.000	44.61	12/30/20	48.22	3.61 W	0.00	25 of 241 - Sale <sup>25</sup>
	1.000	44.61	12/30/20	48.49	3.88 W	0.00	26 of 241 - Sale <sup>25</sup>
	2.000	89.21	12/30/20	97.00	7.79 W	0.00	27 of 241 - Sale <sup>25</sup>
	2.000	89.21	12/30/20	96.63	7.42 W	0.00	28 of 241 - Sale <sup>25</sup>
	6.000	267.64	12/30/20	291.02	23.38 W	0.00	29 of 241 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
	6.000	267.64	12/30/20	281.48	13.84 W	0.00	30 of 241 - Sale <sup>25</sup>
	9.000	401.46	12/30/20	419.55	18.09 W	0.00	31 of 241 - Sale <sup>25</sup>
	13.000	579.89	12/30/20	609.86	29.97 W	0.00	32 of 241 - Sale <sup>25</sup>
	1.000	44.61	12/30/20	46.67	2.06 W	0.00	33 of 241 - Sale <sup>25</sup>
	10.000	446.07	12/30/20	461.87	15.80 W	0.00	34 of 241 - Sale <sup>25</sup>
	13.000	579.88	12/30/20	598.16	18.28 W	0.00	35 of 241 - Sale <sup>25</sup>
	25.000	1,115.17	12/30/20	1,155.92	40.75 W	0.00	36 of 241 - Sale <sup>25</sup>
	1.000	44.08	12/30/20	46.01	1.93 W	0.00	37 of 241 - Sale <sup>25</sup>
	50.000	2,204.12	12/31/20	2,248.09	43.97 W	0.00	38 of 241 - Sale <sup>25</sup>
	1.000	44.08	12/31/20	47.65	3.57 W	0.00	39 of 241 - Sale <sup>25</sup>
	1.000	44.08	12/31/20	47.09	3.01 W	0.00	40 of 241 - Sale <sup>25</sup>
	1.000	44.08	12/31/20	46.79	2.71 W	0.00	41 of 241 - Sale <sup>25</sup>
	1.000	44.08	12/31/20	49.84	5.76 W	0.00	42 of 241 - Sale <sup>25</sup>
	1.000	44.08	12/31/20	45.61	1.53 W	0.00	43 of 241 - Sale <sup>25</sup>
	2.000	88.17	12/31/20	91.56	3.39 W	0.00	44 of 241 - Sale <sup>25</sup>
	3.000	132.25	12/31/20	136.81	4.56 W	0.00	45 of 241 - Sale <sup>25</sup>
	3.000	132.25	12/31/20	136.83	4.58 W	0.00	46 of 241 - Sale <sup>25</sup>
	5.000	220.41	12/31/20	224.70	4.29 W	0.00	47 of 241 - Sale <sup>25</sup>
	1.000	44.08	12/31/20	45.69	1.61 W	0.00	48 of 241 - Sale <sup>25</sup>
	1.000	44.08	12/31/20	49.63	5.55 W	0.00	49 of 241 - Sale <sup>25</sup>
	1.000	44.08	12/31/20	49.62	5.54 W	0.00	50 of 241 - Sale <sup>25</sup>
	2.000	88.16	12/31/20	91.80	3.64 W	0.00	51 of 241 - Sale <sup>25</sup>
	3.000	132.25	12/31/20	138.49	6.24 W	0.00	52 of 241 - Sale <sup>25</sup>
	4.000	176.33	12/31/20	202.88	26.55 W	0.00	53 of 241 - Sale <sup>25</sup>
	12.000	528.99	12/31/20	601.52	72.53 W	0.00	54 of 241 - Sale <sup>25</sup>
	1.000	44.08	12/31/20	50.84	6.76 W	0.00	55 of 241 - Sale <sup>25</sup>
	1.000	44.08	12/31/20	47.28	3.20 W	0.00	56 of 241 - Sale <sup>25</sup>
	2.000	88.17	12/31/20	99.90	11.73 W	0.00	57 of 241 - Sale <sup>25</sup>
	3.000	132.25	12/31/20	154.79	22.54 W	0.00	58 of 241 - Sale <sup>25</sup>
	3.000	132.25	12/31/20	154.61	22.36 W	0.00	59 of 241 - Sale <sup>25</sup>
	3.000	132.25	12/31/20	141.82	9.57 W	0.00	60 of 241 - Sale <sup>25</sup>
	4.000	176.33	12/31/20	203.34	27.01 W	0.00	61 of 241 - Sale <sup>25</sup>
	3.000	132.25	12/31/20	144.89	12.64 W	0.00	62 of 241 - Sale <sup>25</sup>
	2.000	88.16	12/31/20	96.59	8.43 W	0.00	63 of 241 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
	1.000	44.08	12/31/20	44.90	0.82 W	0.00	64 of 241 - Sale <sup>25</sup>
	9.000	396.74	12/31/20	403.30	6.56 W	0.00	65 of 241 - Sale <sup>25</sup>
	26.000	1,146.14	12/31/20	1,166.41	20.27 W	0.00	66 of 241 - Sale <sup>25</sup>
	1.000	44.08	12/31/20	48.96	4.88 W	0.00	67 of 241 - Sale <sup>25</sup>
	1.000	44.08	12/31/20	48.67	4.59 W	0.00	68 of 241 - Sale <sup>25</sup>
	1.000	44.08	12/31/20	48.94	4.86 W	0.00	69 of 241 - Sale <sup>25</sup>
	2.000	88.17	12/31/20	97.91	9.74 W	0.00	70 of 241 - Sale <sup>25</sup>
	2.000	88.17	12/31/20	97.54	9.37 W	0.00	71 of 241 - Sale <sup>25</sup>
	1.000	44.08	12/31/20	47.12	3.04 W	0.00	72 of 241 - Sale <sup>25</sup>
	6.000	264.50	12/31/20	284.20	19.70 W	0.00	73 of 241 - Sale <sup>25</sup>
	9.000	396.74	12/31/20	423.63	26.89 W	0.00	74 of 241 - Sale <sup>25</sup>
	13.000	573.07	12/31/20	615.75	42.68 W	0.00	75 of 241 - Sale <sup>25</sup>
	13.000	573.07	12/31/20	606.97	33.90 W	0.00	76 of 241 - Sale <sup>25</sup>
	1.000	44.08	12/31/20	46.53	2.45 W	0.00	77 of 241 - Sale <sup>25</sup>
	12.000	529.00	12/31/20	558.96	29.96 W	0.00	78 of 241 - Sale <sup>25</sup>
	2.000	88.17	12/31/20	92.71	4.54 W	0.00	79 of 241 - Sale <sup>25</sup>
	9.000	396.75	12/31/20	418.77	22.02 W	0.00	80 of 241 - Sale <sup>25</sup>
	1.000	42.90	12/31/20	46.36	3.46 W	0.00	81 of 241 - Sale <sup>25</sup>
	1.000	42.90	12/31/20	45.93	3.03 W	0.00	82 of 241 - Sale <sup>25</sup>
	10.000	428.99	12/31/20	454.06	25.07 W	0.00	83 of 241 - Sale <sup>25</sup>
	39.000	1,673.06	12/31/20	1,750.30	77.24 W	0.00	84 of 241 - Sale <sup>25</sup>
	1.000	42.90	12/31/20	47.47	4.57 W	0.00	85 of 241 - Sale <sup>25</sup>
	1.000	42.90	12/31/20	46.91	4.01 W	0.00	86 of 241 - Sale <sup>25</sup>
	1.000	42.90	12/31/20	46.61	3.71 W	0.00	87 of 241 - Sale <sup>25</sup>
	1.000	42.90	12/31/20	49.66	6.76 W	0.00	88 of 241 - Sale <sup>25</sup>
	1.000	42.90	12/31/20	45.43	2.53 W	0.00	89 of 241 - Sale <sup>25</sup>
	2.000	85.80	12/31/20	91.19	5.39 W	0.00	90 of 241 - Sale <sup>25</sup>
	3.000	128.70	12/31/20	136.26	7.56 W	0.00	91 of 241 - Sale <sup>25</sup>
	3.000	128.70	12/31/20	136.28	7.58 W	0.00	92 of 241 - Sale <sup>25</sup>
	5.000	214.49	12/31/20	223.79	9.30 W	0.00	93 of 241 - Sale <sup>25</sup>
	1.000	42.90	12/31/20	45.51	2.61 W	0.00	94 of 241 - Sale <sup>25</sup>
	1.000	42.90	12/31/20	49.45	6.55 W	0.00	95 of 241 - Sale <sup>25</sup>
	1.000	42.90	12/31/20	49.44	6.54 W	0.00	96 of 241 - Sale <sup>25</sup>
	1.000	42.90	12/31/20	50.54	7.64 W	0.00	97 of 241 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
	2.000	85.80	12/31/20	91.44	5.64 W	0.00	98 of 241 - Sale <sup>25</sup>
	3.000	128.70	12/31/20	137.94	9.24 W	0.00	99 of 241 - Sale <sup>25</sup>
	12.000	514.79	12/31/20	599.33	84.54 W	0.00	100 of 241 - Sale <sup>25</sup>
	11.000	471.89	12/31/20	492.57	20.68 W	0.00	101 of 241 - Sale <sup>25</sup>
	3.000	128.70	12/31/20	151.61	22.91 W	0.00	102 of 241 - Sale <sup>25</sup>
	3.000	128.70	12/31/20	154.24	25.54 W	0.00	103 of 241 - Sale <sup>25</sup>
	3.000	128.70	12/31/20	154.06	25.36 W	0.00	104 of 241 - Sale <sup>25</sup>
	1.000	42.90	12/31/20	50.36	7.46 W	0.00	105 of 241 - Sale <sup>25</sup>
	1.000	42.90	12/31/20	46.80	3.90 W	0.00	106 of 241 - Sale <sup>25</sup>
	2.000	85.80	12/31/20	98.93	13.13 W	0.00	107 of 241 - Sale <sup>25</sup>
	3.000	128.70	12/31/20	140.37	11.67 W	0.00	108 of 241 - Sale <sup>25</sup>
	4.000	171.60	12/31/20	201.41	29.81 W	0.00	109 of 241 - Sale <sup>25</sup>
	2.000	85.80	12/31/20	95.63	9.83 W	0.00	110 of 241 - Sale <sup>25</sup>
	3.000	128.70	12/31/20	143.44	14.74 W	0.00	111 of 241 - Sale <sup>25</sup>
	1.000	42.90	12/31/20	44.42	1.52 W	0.00	112 of 241 - Sale <sup>25</sup>
	7.000	300.29	12/31/20	310.30	10.01 W	0.00	113 of 241 - Sale <sup>25</sup>
	26.000	1,115.37	12/31/20	1,153.87	38.50 W	0.00	114 of 241 - Sale <sup>25</sup>
	2.000	85.80	12/31/20	88.46	2.66 W	0.00	115 of 241 - Sale <sup>25</sup>
	1.000	42.90	12/31/20	48.38	5.48 W	0.00	116 of 241 - Sale <sup>25</sup>
	1.000	42.90	12/31/20	48.09	5.19 W	0.00	117 of 241 - Sale <sup>25</sup>
	1.000	42.90	12/31/20	48.36	5.46 W	0.00	118 of 241 - Sale <sup>25</sup>
	2.000	85.80	12/31/20	96.74	10.94 W	0.00	119 of 241 - Sale <sup>25</sup>
	2.000	85.80	12/31/20	96.37	10.57 W	0.00	120 of 241 - Sale <sup>25</sup>
	1.000	42.90	12/31/20	46.54	3.64 W	0.00	121 of 241 - Sale <sup>25</sup>
	6.000	257.39	12/31/20	280.70	23.31 W	0.00	122 of 241 - Sale <sup>25</sup>
	9.000	386.09	12/31/20	418.39	32.30 W	0.00	123 of 241 - Sale <sup>25</sup>
	12.000	514.78	12/31/20	553.29	38.51 W	0.00	124 of 241 - Sale <sup>25</sup>
	13.000	557.68	12/31/20	608.18	50.50 W	0.00	125 of 241 - Sale <sup>25</sup>
	1.000	42.90	12/31/20	46.41	3.51 W	0.00	126 of 241 - Sale <sup>25</sup>
	1.000	42.90	12/31/20	46.25	3.35 W	0.00	127 of 241 - Sale <sup>25</sup>
	1.000	42.90	12/31/20	46.25	3.35 W	0.00	128 of 241 - Sale <sup>25</sup>
	12.000	514.78	12/31/20	555.56	40.78 W	0.00	129 of 241 - Sale <sup>25</sup>
	2.000	85.80	12/31/20	92.92	7.12 W	0.00	130 of 241 - Sale <sup>25</sup>
	8.000	343.19	12/31/20	373.09	29.90 W	0.00	131 of 241 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
	40.000	1,715.95	12/31/20	1,767.60	51.65 W	0.00	132 of 241 - Sale <sup>25</sup>
	7.000	300.29	12/31/20	308.00	7.71 W	0.00	133 of 241 - Sale <sup>25</sup>
	20.000	857.97	12/31/20	880.00	22.03 W	0.00	134 of 241 - Sale <sup>25</sup>
	23.000	986.67	12/31/20	1,012.00	25.33 W	0.00	135 of 241 - Sale <sup>25</sup>
	50.000	2,144.93	12/31/20	2,231.75	86.82 W	0.00	136 of 241 - Sale <sup>25</sup>
	1.000	42.82	12/31/20	46.21	3.39 W	0.00	137 of 241 - Sale <sup>25</sup>
	1.000	42.82	12/31/20	45.78	2.96 W	0.00	138 of 241 - Sale <sup>25</sup>
	10.000	428.19	12/31/20	452.57	24.38 W	0.00	139 of 241 - Sale <sup>25</sup>
	38.000	1,627.12	12/31/20	1,699.76	72.64 W	0.00	140 of 241 - Sale <sup>25</sup>
	1.000	42.82	12/31/20	47.27	4.45 W	0.00	141 of 241 - Sale <sup>25</sup>
	1.000	42.82	12/31/20	46.71	3.89 W	0.00	142 of 241 - Sale <sup>25</sup>
	1.000	42.82	12/31/20	46.41	3.59 W	0.00	143 of 241 - Sale <sup>25</sup>
	1.000	42.82	12/31/20	49.46	6.64 W	0.00	144 of 241 - Sale <sup>25</sup>
	1.000	42.82	12/31/20	45.23	2.41 W	0.00	145 of 241 - Sale <sup>25</sup>
	2.000	85.64	12/31/20	90.79	5.15 W	0.00	146 of 241 - Sale <sup>25</sup>
	3.000	128.46	12/31/20	135.66	7.20 W	0.00	147 of 241 - Sale <sup>25</sup>
	3.000	128.46	12/31/20	135.68	7.22 W	0.00	148 of 241 - Sale <sup>25</sup>
	5.000	214.09	12/31/20	222.80	8.71 W	0.00	149 of 241 - Sale <sup>25</sup>
	1.000	42.82	12/31/20	45.31	2.49 W	0.00	150 of 241 - Sale <sup>25</sup>
	1.000	42.82	12/31/20	49.25	6.43 W	0.00	151 of 241 - Sale <sup>25</sup>
	1.000	42.82	12/31/20	49.24	6.42 W	0.00	152 of 241 - Sale <sup>25</sup>
	1.000	42.82	12/31/20	50.34	7.52 W	0.00	153 of 241 - Sale <sup>25</sup>
	2.000	85.64	12/31/20	91.04	5.40 W	0.00	154 of 241 - Sale <sup>25</sup>
	3.000	128.46	12/31/20	137.34	8.88 W	0.00	155 of 241 - Sale <sup>25</sup>
	12.000	513.82	12/31/20	596.94	83.12 W	0.00	156 of 241 - Sale <sup>25</sup>
	1.000	42.82	12/31/20	44.68	1.86 W	0.00	157 of 241 - Sale <sup>25</sup>
	10.000	428.19	12/31/20	445.80	17.61 W	0.00	158 of 241 - Sale <sup>25</sup>
	3.000	128.46	12/31/20	150.86	22.40 W	0.00	159 of 241 - Sale <sup>25</sup>
	1.000	42.82	12/31/20	50.11	7.29 W	0.00	160 of 241 - Sale <sup>25</sup>
	1.000	42.82	12/31/20	46.55	3.73 W	0.00	161 of 241 - Sale <sup>25</sup>
	2.000	85.64	12/31/20	98.43	12.79 W	0.00	162 of 241 - Sale <sup>25</sup>
	3.000	128.45	12/31/20	153.49	25.04 W	0.00	163 of 241 - Sale <sup>25</sup>
	3.000	128.46	12/31/20	153.31	24.85 W	0.00	164 of 241 - Sale <sup>25</sup>
	3.000	128.45	12/31/20	139.62	11.17 W	0.00	165 of 241 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
	4.000	171.27	12/31/20	200.41	29.14 W	0.00	166 of 241 - Sale <sup>25</sup>
	2.000	85.64	12/31/20	95.13	9.49 W	0.00	167 of 241 - Sale <sup>25</sup>
	3.000	128.46	12/31/20	142.69	14.23 W	0.00	168 of 241 - Sale <sup>25</sup>
	1.000	42.82	12/31/20	44.17	1.35 W	0.00	169 of 241 - Sale <sup>25</sup>
	1.000	42.82	12/31/20	44.53	1.71 W	0.00	170 of 241 - Sale <sup>25</sup>
	6.000	256.91	12/31/20	264.78	7.87 W	0.00	171 of 241 - Sale <sup>25</sup>
	17.000	727.90	12/31/20	750.23	22.33 W	0.00	172 of 241 - Sale <sup>25</sup>
	3.000	126.73	12/31/20	131.94	5.21 W	0.00	173 of 241 - Sale <sup>25</sup>
	5.000	211.22	12/31/20	219.65	8.43 W	0.00	174 of 241 - Sale <sup>25</sup>
	1.000	42.24	12/31/20	47.93	5.69 W	0.00	175 of 241 - Sale <sup>25</sup>
	1.000	42.24	12/31/20	47.64	5.40 W	0.00	176 of 241 - Sale <sup>25</sup>
	1.000	42.24	12/31/20	47.91	5.67 W	0.00	177 of 241 - Sale <sup>25</sup>
	2.000	84.49	12/31/20	95.84	11.35 W	0.00	178 of 241 - Sale <sup>25</sup>
	2.000	84.49	12/31/20	95.47	10.98 W	0.00	179 of 241 - Sale <sup>25</sup>
	5.000	211.23	12/31/20	231.67	20.44 W	0.00	180 of 241 - Sale <sup>25</sup>
	8.000	337.95	12/31/20	370.68	32.73 W	0.00	181 of 241 - Sale <sup>25</sup>
	2.000	84.49	12/31/20	87.76	3.27 W	0.00	182 of 241 - Sale <sup>25</sup>
	2.000	84.49	12/31/20	87.56	3.07 W	0.00	183 of 241 - Sale <sup>25</sup>
	2.000	84.49	12/31/20	92.08	7.59 W	0.00	184 of 241 - Sale <sup>25</sup>
	6.000	253.46	12/31/20	278.01	24.55 W	0.00	185 of 241 - Sale <sup>25</sup>
	5.000	211.21	12/31/20	231.59	20.38 W	0.00	186 of 241 - Sale <sup>25</sup>
	1.000	42.21	12/31/20	46.37	4.16 W	0.00	187 of 241 - Sale <sup>25</sup>
	2.000	84.42	12/31/20	92.64	8.22 W	0.00	188 of 241 - Sale <sup>25</sup>
	1.000	41.80	12/31/20	45.76	3.96 W	0.00	189 of 241 - Sale <sup>25</sup>
	1.000	41.80	12/31/20	45.60	3.80 W	0.00	190 of 241 - Sale <sup>25</sup>
	1.000	41.80	12/31/20	45.60	3.80 W	0.00	191 of 241 - Sale <sup>25</sup>
	2.000	83.60	12/31/20	91.62	8.02 W	0.00	192 of 241 - Sale <sup>25</sup>
	8.000	334.39	12/31/20	367.90	33.51 W	0.00	193 of 241 - Sale <sup>25</sup>
	12.000	501.59	12/31/20	545.51	43.92 W	0.00	194 of 241 - Sale <sup>25</sup>
	12.000	501.58	12/31/20	547.78	46.20 W	0.00	195 of 241 - Sale <sup>25</sup>
	15.000	626.98	12/31/20	653.12	26.14 W	0.00	196 of 241 - Sale <sup>25</sup>
	25.000	1,062.81	12/31/20	1,106.03	43.22 W	0.00	197 of 241 - Sale <sup>25</sup>
	7.000	297.59	12/31/20	308.33	10.74 W	0.00	198 of 241 - Sale <sup>25</sup>
	20.000	850.24	12/31/20	880.93	30.69 W	0.00	199 of 241 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
	23.000	977.78	12/31/20	1,013.06	35.28 W	0.00	200 of 241 - Sale <sup>25</sup>
	50.000	2,125.61	12/31/20	2,231.82	106.21 W	0.00	201 of 241 - Sale <sup>25</sup>
	1.000	42.51	12/31/20	46.19	3.68 W	0.00	202 of 241 - Sale <sup>25</sup>
	1.000	42.51	12/31/20	45.76	3.25 W	0.00	203 of 241 - Sale <sup>25</sup>
	10.000	425.12	12/31/20	452.38	27.26 W	0.00	204 of 241 - Sale <sup>25</sup>
	38.000	1,615.47	12/31/20	1,699.04	83.57 W	0.00	205 of 241 - Sale <sup>25</sup>
	1.000	42.93	12/31/20	46.95	4.02 W	0.00	206 of 241 - Sale <sup>25</sup>
	1.000	42.93	12/31/20	46.39	3.46 W	0.00	207 of 241 - Sale <sup>25</sup>
	1.000	42.93	12/31/20	46.09	3.16 W	0.00	208 of 241 - Sale <sup>25</sup>
	1.000	42.93	12/31/20	49.14	6.21 W	0.00	209 of 241 - Sale <sup>25</sup>
	1.000	42.94	12/31/20	44.91	1.97 W	0.00	210 of 241 - Sale <sup>25</sup>
	2.000	85.87	12/31/20	90.15	4.28 W	0.00	211 of 241 - Sale <sup>25</sup>
	3.000	128.80	12/31/20	134.70	5.90 W	0.00	212 of 241 - Sale <sup>25</sup>
	3.000	128.81	12/31/20	134.72	5.91 W	0.00	213 of 241 - Sale <sup>25</sup>
	5.000	214.67	12/31/20	221.21	6.54 W	0.00	214 of 241 - Sale <sup>25</sup>
	1.000	42.94	12/31/20	44.99	2.05 W	0.00	215 of 241 - Sale <sup>25</sup>
	1.000	42.93	12/31/20	45.46	2.53 W	0.00	216 of 241 - Sale <sup>25</sup>
	1.000	42.74	12/31/20	49.43	6.69 W	0.00	217 of 241 - Sale <sup>25</sup>
	2.000	85.49	12/31/20	90.40	4.91 W	0.00	218 of 241 - Sale <sup>25</sup>
	2.000	85.49	12/31/20	90.92	5.43 W	0.00	219 of 241 - Sale <sup>25</sup>
	1.000	42.74	12/31/20	48.93	6.19 W	0.00	220 of 241 - Sale <sup>25</sup>
	1.000	42.74	12/31/20	48.92	6.18 W	0.00	221 of 241 - Sale <sup>25</sup>
	1.000	42.74	12/31/20	50.02	7.28 W	0.00	222 of 241 - Sale <sup>25</sup>
	3.000	128.23	12/31/20	149.90	21.67 W	0.00	223 of 241 - Sale <sup>25</sup>
	11.000	470.18	12/31/20	543.69	73.51 W	0.00	224 of 241 - Sale <sup>25</sup>
	1.000	42.74	12/31/20	49.79	7.05 W	0.00	225 of 241 - Sale <sup>25</sup>
	1.000	42.74	12/31/20	46.23	3.49 W	0.00	226 of 241 - Sale <sup>25</sup>
	2.000	85.49	12/31/20	97.79	12.30 W	0.00	227 of 241 - Sale <sup>25</sup>
	3.000	128.23	12/31/20	152.54	24.31 W	0.00	228 of 241 - Sale <sup>25</sup>
	3.000	128.23	12/31/20	152.35	24.12 W	0.00	229 of 241 - Sale <sup>25</sup>
	3.000	128.23	12/31/20	138.67	10.44 W	0.00	230 of 241 - Sale <sup>25</sup>
	4.000	170.98	12/31/20	199.14	28.16 W	0.00	231 of 241 - Sale <sup>25</sup>
	2.000	85.49	12/31/20	94.49	9.00 W	0.00	232 of 241 - Sale <sup>25</sup>
	3.000	128.23	12/31/20	141.73	13.50 W	0.00	233 of 241 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
	1.000	42.74	12/31/20	44.36	1.62 W	0.00	234 of 241 - Sale <sup>25</sup>
	10.000	427.45	12/31/20	442.61	15.16 W	0.00	235 of 241 - Sale <sup>25</sup>
	1.000	42.74	12/31/20	43.75	1.01 W	0.00	236 of 241 - Sale <sup>25</sup>
	1.000	42.74	12/31/20	44.11	1.37 W	0.00	237 of 241 - Sale <sup>25</sup>
	6.000	256.47	12/31/20	262.27	5.80 W	0.00	238 of 241 - Sale <sup>25</sup>
	6.000	256.47	12/31/20	262.28	5.81 W	0.00	239 of 241 - Sale <sup>25</sup>
	1.000	42.74	12/31/20	43.85	1.11 W	0.00	240 of 241 - Sale <sup>25</sup>
	5.000	213.73	12/31/20	219.57	5.84 W	0.00	241 of 241 - Sale <sup>25</sup>
12/31/20	1,268.000	54,736.08	Various	58,244.67	3,508.59 W	0.00	Total of 241 transactions
	<b>Security total:</b>	<b>175,459.36</b>		<b>183,014.46</b>	<b>8,428.11 W</b>	<b>873.01</b>	
BLOOM ENERGY CORPORATION / CUSIP: 093712107 / Symbol:							
2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	100.000	1,707.95	08/18/20	1,735.00	...	-27.05	1 of 2 - Sale <sup>25</sup>
	100.000	1,707.95	08/18/20	1,712.98	...	-5.03	2 of 2 - Sale <sup>25</sup>
08/18/20	200.000	3,415.90	Various	3,447.98	...	-32.08	Total of 2 transactions
BLUE APRON HOLDINGS, INC. CLAS S A COMMON STOCK / CUSIP: 09523Q200 / Symbol:							
03/23/20	50.000	609.98	03/20/20	511.04	...	98.94	Sale <sup>25</sup>
04/02/20	50.000	709.98	04/01/20	642.50	...	67.48	Sale <sup>25</sup>
05/05/20	25.000	183.03	05/04/20	192.19	9.16 W	0.00	Sale <sup>25</sup>
3 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	10.000	87.70	05/11/20	81.13	...	6.57	1 of 3 - Sale <sup>25</sup>
	15.000	131.55	05/11/20	123.85	...	7.70	2 of 3 - Sale <sup>25</sup>
	25.000	219.25	05/11/20	197.25	...	22.00	3 of 3 - Sale <sup>25</sup>
05/12/20	50.000	438.50	Various	402.23	...	36.27	Total of 3 transactions
06/30/20	50.000	555.99	06/30/20	544.38	...	11.61	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,497.48</b>		<b>2,292.34</b>	<b>9.16 W</b>	<b>214.30</b>	
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
2 transactions for 03/18/20. Total proceeds and cost reported to the IRS.							
	2.000	196.52	03/17/20	228.58	32.06 W	0.00	1 of 2 - Sale <sup>25</sup>
	3.000	297.98	03/17/20	342.86	44.88 W	0.00	2 of 2 - Sale <sup>25</sup>
03/18/20	5.000	494.50	Various	571.44	76.94 W	0.00	Total of 2 transactions

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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BOEING COMPANY / CUSIP: 097023105 / Symbol: (cont'd)							
03/31/20	5.000	764.98	03/30/20	732.39	...	32.59	Sale <sup>25</sup>
	2 transactions for 03/31/20. Total proceeds and cost reported to the IRS.						
	2.000	305.99	03/30/20	325.02	19.03 W	0.00	1 of 2 - Sale <sup>25</sup>
	3.000	458.99	03/30/20	484.32	25.33 W	0.00	2 of 2 - Sale <sup>25</sup>
03/31/20	5.000	764.98	Various	809.34	44.36 W	0.00	Total of 2 transactions
04/06/20	2.000	299.30	04/03/20	273.61	...	25.69	Sale <sup>25</sup>
	2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.						
	3.000	478.94	04/03/20	407.19	...	71.75	1 of 2 - Sale <sup>25</sup>
	3.000	478.93	04/03/20	381.87	...	97.06	2 of 2 - Sale <sup>25</sup>
04/07/20	6.000	957.87	Various	789.06	...	168.81	Total of 2 transactions
05/01/20	5.000	714.61	04/30/20	709.07	...	5.54	Sale <sup>25</sup>
06/05/20	5.000	990.02	06/04/20	936.44	...	53.58	Sale <sup>25</sup>
06/09/20	5.000	1,111.02	06/08/20	1,148.19	37.17 W	0.00	Sale <sup>25</sup>
	3 transactions for 06/15/20. Total proceeds and cost reported to the IRS.						
	1.000	178.65	06/12/20	194.68	16.03 W	0.00	1 of 3 - Sale <sup>25</sup>
	4.000	714.54	06/12/20	778.73	64.19 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	893.18	06/12/20	936.23	43.05 W	0.00	3 of 3 - Sale <sup>25</sup>
06/15/20	10.000	1,786.37	Various	1,909.64	123.27 W	0.00	Total of 3 transactions
	3 transactions for 06/17/20. Total proceeds and cost reported to the IRS.						
	1.000	192.65	06/16/20	215.78	23.13 W	0.00	1 of 3 - Sale <sup>25</sup>
	4.000	770.61	06/16/20	863.17	92.56 W	0.00	2 of 3 - Sale <sup>25</sup>
	2.000	385.30	06/16/20	416.47	31.17 W	0.00	3 of 3 - Sale <sup>25</sup>
06/17/20	7.000	1,348.56	Various	1,495.42	146.86 W	0.00	Total of 3 transactions
	5 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	4.000	724.73	06/29/20	853.15	...	-128.42	1 of 5 - Sale <sup>25</sup>
	3.000	543.55	06/29/20	596.28	...	-52.73	2 of 5 - Sale <sup>25</sup>
	2.000	362.37	06/29/20	411.47	...	-49.10	3 of 5 - Sale <sup>25</sup>
	5.000	905.91	06/29/20	950.74	...	-44.83	4 of 5 - Sale <sup>25</sup>
	1.000	181.18	06/29/20	213.28	...	-32.10	5 of 5 - Sale <sup>25</sup>
06/30/20	15.000	2,717.74	Various	3,024.92	...	-307.18	Total of 5 transactions
	<b>Security total:</b>	<b>11,949.95</b>		<b>12,399.52</b>	<b>428.60 W</b>	<b>-20.97</b>	

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOSTON OMAHA CORPORATION CLASS A COMMON STOCK / CUSIP: 101044105 / Symbol:							
2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	50.000	797.34	06/29/20	796.50	...	0.84	1 of 2 - Sale <sup>25</sup>
	50.000	797.35	06/29/20	796.50	...	0.85	2 of 2 - Sale <sup>25</sup>
06/29/20	100.000	1,594.69	Various	1,593.00	...	1.69	Total of 2 transactions
BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol:							
04/02/20	100.000	73.01	04/01/20	74.88	...	-1.87	Sale <sup>25</sup>
07/10/20	125.000	127.49	07/09/20	121.88	...	5.61	Sale <sup>25</sup>
10 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	500.000	509.98	07/10/20	530.00	20.02 W	0.00	1 of 10 - Sale <sup>25</sup>
	75.000	76.50	07/10/20	82.50	6.00 W	0.00	2 of 10 - Sale <sup>25</sup>
	50.000	51.00	07/10/20	56.00	5.00 W	0.00	3 of 10 - Sale <sup>25</sup>
	250.000	258.71	07/10/20	290.01	31.30 W	0.00	4 of 10 - Sale <sup>25</sup>
	249.000	257.68	07/10/20	291.34	33.66 W	0.00	5 of 10 - Sale <sup>25</sup>
	1.000	1.01	07/10/20	1.17	0.16 W	0.00	6 of 10 - Sale <sup>25</sup>
	26.000	26.29	07/10/20	29.51	3.22 W	0.00	7 of 10 - Sale <sup>25</sup>
	124.000	125.40	07/10/20	143.86	18.46 W	0.00	8 of 10 - Sale <sup>25</sup>
	24.000	24.27	07/10/20	27.24	2.97 W	0.00	9 of 10 - Sale <sup>25</sup>
	75.000	75.85	07/10/20	83.63	7.78 W	0.00	10 of 10 - Sale <sup>25</sup>
07/10/20	1,374.000	1,406.69	Various	1,535.26	128.57 W	0.00	Total of 10 transactions
27 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	1.000	2.10	07/13/20	1.36	...	0.74	1 of 27 - Sale <sup>25</sup>
	1.000	2.10	07/13/20	1.36	...	0.74	2 of 27 - Sale <sup>25</sup>
	24.000	50.40	07/13/20	33.11	...	17.29	3 of 27 - Sale <sup>25</sup>
	26.000	54.60	07/13/20	35.87	...	18.73	4 of 27 - Sale <sup>25</sup>
	30.000	63.00	07/13/20	39.91	...	23.09	5 of 27 - Sale <sup>25</sup>
	125.000	262.48	07/13/20	218.75	...	43.73	6 of 27 - Sale <sup>25</sup>
	125.000	262.48	07/13/20	211.25	...	51.23	7 of 27 - Sale <sup>25</sup>
	74.000	155.39	07/13/20	96.85	...	58.54	8 of 27 - Sale <sup>25</sup>
	125.000	262.48	07/13/20	190.00	...	72.48	9 of 27 - Sale <sup>25</sup>
	100.000	209.98	07/13/20	137.00	...	72.98	10 of 27 - Sale <sup>25</sup>
	96.000	201.58	07/13/20	127.70	...	73.88	11 of 27 - Sale <sup>25</sup>
	124.000	260.38	07/13/20	174.18	...	86.20	12 of 27 - Sale <sup>25</sup>
	500.000	1,049.91	07/13/20	960.00	...	89.91	13 of 27 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	125.000	262.48	07/13/20	156.97	...	105.51	14 of 27 - Sale <sup>25</sup>
	250.000	587.46	07/13/20	480.00	...	107.46	15 of 27 - Sale <sup>25</sup>
	375.000	787.44	07/13/20	656.25	...	131.19	16 of 27 - Sale <sup>25</sup>
	250.000	524.95	07/13/20	379.98	...	144.97	17 of 27 - Sale <sup>25</sup>
	500.000	1,049.92	07/13/20	875.00	...	174.92	18 of 27 - Sale <sup>25</sup>
	250.000	524.96	07/13/20	343.75	...	181.21	19 of 27 - Sale <sup>25</sup>
	249.000	522.86	07/13/20	333.71	...	189.15	20 of 27 - Sale <sup>25</sup>
	375.000	787.43	07/13/20	570.00	...	217.43	21 of 27 - Sale <sup>25</sup>
	400.000	839.93	07/13/20	548.00	...	291.93	22 of 27 - Sale <sup>25</sup>
	875.000	1,837.35	07/13/20	1,478.75	...	358.60	23 of 27 - Sale <sup>25</sup>
	250.000	587.46	07/14/20	560.00	...	27.46	24 of 27 - Sale <sup>25</sup>
	500.000	1,174.91	07/14/20	1,124.05	...	50.86	25 of 27 - Sale <sup>25</sup>
	728.000	1,710.67	07/14/20	1,658.75	...	51.92	26 of 27 - Sale <sup>25</sup>
	772.000	1,814.06	07/14/20	1,759.00	...	55.06	27 of 27 - Sale <sup>25</sup>
07/14/20	7,250.000	15,848.76	Various	13,151.55	...	2,697.21	Total of 27 transactions
<i>139 transactions for 07/14/20. Total proceeds and cost reported to the IRS.</i>							
	300.000	704.95	07/14/20	729.00	24.05 W	0.00	1 of 139 - Sale <sup>25</sup>
	200.000	469.96	07/14/20	486.00	16.04 W	0.00	2 of 139 - Sale <sup>25</sup>
	500.000	1,174.91	07/14/20	1,215.00	40.09 W	0.00	3 of 139 - Sale <sup>25</sup>
	250.000	587.46	07/14/20	607.50	20.04 W	0.00	4 of 139 - Sale <sup>25</sup>
	250.000	549.96	07/14/20	627.54	77.58 W	0.00	5 of 139 - Sale <sup>25</sup>
	50.000	109.99	07/14/20	121.51	11.52 W	0.00	6 of 139 - Sale <sup>25</sup>
	150.000	329.97	07/14/20	364.53	34.56 W	0.00	7 of 139 - Sale <sup>25</sup>
	50.000	109.99	07/14/20	121.51	11.52 W	0.00	8 of 139 - Sale <sup>25</sup>
	487.000	1,071.32	07/14/20	1,183.50	112.18 W	0.00	9 of 139 - Sale <sup>25</sup>
	13.000	28.60	07/14/20	31.59	2.99 W	0.00	10 of 139 - Sale <sup>25</sup>
	177.000	389.38	07/14/20	430.14	40.76 W	0.00	11 of 139 - Sale <sup>25</sup>
	150.000	329.97	07/14/20	399.05	69.08 W	0.00	12 of 139 - Sale <sup>25</sup>
	73.000	160.59	07/14/20	177.40	16.81 W	0.00	13 of 139 - Sale <sup>25</sup>
	27.000	59.39	07/14/20	71.83	12.44 W	0.00	14 of 139 - Sale <sup>25</sup>
	50.000	109.99	07/14/20	129.02	19.03 W	0.00	15 of 139 - Sale <sup>25</sup>
	50.000	109.99	07/14/20	129.02	19.03 W	0.00	16 of 139 - Sale <sup>25</sup>
	50.000	109.99	07/14/20	129.02	19.03 W	0.00	17 of 139 - Sale <sup>25</sup>
	73.000	160.59	07/14/20	194.20	33.61 W	0.00	18 of 139 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	150.000	329.97	07/14/20	387.06	57.09 W	0.00	19 of 139 - Sale <sup>25</sup>
	127.000	279.38	07/14/20	327.70	48.32 W	0.00	20 of 139 - Sale <sup>25</sup>
	23.000	50.60	07/14/20	59.35	8.75 W	0.00	21 of 139 - Sale <sup>25</sup>
	50.000	97.00	07/14/20	129.02	32.02 W	0.00	22 of 139 - Sale <sup>25</sup>
	50.000	96.99	07/14/20	116.02	19.03 W	0.00	23 of 139 - Sale <sup>25</sup>
	13.000	25.22	07/14/20	30.16	4.94 W	0.00	24 of 139 - Sale <sup>25</sup>
	187.000	362.76	07/14/20	433.90	71.14 W	0.00	25 of 139 - Sale <sup>25</sup>
	150.000	290.99	07/14/20	348.04	57.05 W	0.00	26 of 139 - Sale <sup>25</sup>
	27.000	52.37	07/14/20	62.92	10.55 W	0.00	27 of 139 - Sale <sup>25</sup>
	23.000	44.62	07/14/20	53.59	8.97 W	0.00	28 of 139 - Sale <sup>25</sup>
	25.000	48.50	07/14/20	58.26	9.76 W	0.00	29 of 139 - Sale <sup>25</sup>
	25.000	48.50	07/14/20	58.26	9.76 W	0.00	30 of 139 - Sale <sup>25</sup>
	50.000	96.99	07/14/20	128.03	31.04 W	0.00	31 of 139 - Sale <sup>25</sup>
	25.000	47.35	07/14/20	64.01	16.66 W	0.00	32 of 139 - Sale <sup>25</sup>
	27.000	51.14	07/14/20	65.36	14.22 W	0.00	33 of 139 - Sale <sup>25</sup>
	50.000	94.70	07/14/20	117.03	22.33 W	0.00	34 of 139 - Sale <sup>25</sup>
	73.000	138.26	07/14/20	176.69	38.43 W	0.00	35 of 139 - Sale <sup>25</sup>
	75.000	142.04	07/14/20	181.54	39.50 W	0.00	36 of 139 - Sale <sup>25</sup>
	150.000	284.08	07/14/20	351.09	67.01 W	0.00	37 of 139 - Sale <sup>25</sup>
	23.000	43.58	07/14/20	53.83	10.25 W	0.00	38 of 139 - Sale <sup>25</sup>
	50.000	94.74	07/14/20	117.03	22.29 W	0.00	39 of 139 - Sale <sup>25</sup>
	50.000	94.74	07/14/20	117.03	22.29 W	0.00	40 of 139 - Sale <sup>25</sup>
	50.000	94.74	07/14/20	130.02	35.28 W	0.00	41 of 139 - Sale <sup>25</sup>
	127.000	240.64	07/14/20	297.24	56.60 W	0.00	42 of 139 - Sale <sup>25</sup>
	25.000	46.26	07/14/20	58.52	12.26 W	0.00	43 of 139 - Sale <sup>25</sup>
	13.000	25.87	07/14/20	29.51	3.64 W	0.00	44 of 139 - Sale <sup>25</sup>
	25.000	49.75	07/14/20	56.76	7.01 W	0.00	45 of 139 - Sale <sup>25</sup>
	25.000	49.75	07/14/20	57.02	7.27 W	0.00	46 of 139 - Sale <sup>25</sup>
	150.000	298.47	07/14/20	340.55	42.08 W	0.00	47 of 139 - Sale <sup>25</sup>
	187.000	372.10	07/14/20	424.57	52.47 W	0.00	48 of 139 - Sale <sup>25</sup>
	2.000	3.98	07/14/20	4.56	0.58 W	0.00	49 of 139 - Sale <sup>25</sup>
	23.000	45.77	07/14/20	52.44	6.67 W	0.00	50 of 139 - Sale <sup>25</sup>
	25.000	49.74	07/14/20	57.01	7.27 W	0.00	51 of 139 - Sale <sup>25</sup>
	25.000	49.74	07/14/20	57.01	7.27 W	0.00	52 of 139 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	25.000	49.75	07/14/20	62.77	13.02 W	0.00	53 of 139 - Sale <sup>25</sup>
	25.000	49.74	07/14/20	63.02	13.28 W	0.00	54 of 139 - Sale <sup>25</sup>
	25.000	49.75	07/14/20	64.16	14.41 W	0.00	55 of 139 - Sale <sup>25</sup>
	48.000	95.51	07/14/20	116.48	20.97 W	0.00	56 of 139 - Sale <sup>25</sup>
	2.000	3.98	07/14/20	4.85	0.87 W	0.00	57 of 139 - Sale <sup>25</sup>
	25.000	49.75	07/14/20	60.67	10.92 W	0.00	58 of 139 - Sale <sup>25</sup>
	25.000	49.75	07/14/20	58.67	8.92 W	0.00	59 of 139 - Sale <sup>25</sup>
	27.000	53.73	07/14/20	65.52	11.79 W	0.00	60 of 139 - Sale <sup>25</sup>
	73.000	145.26	07/14/20	177.13	31.87 W	0.00	61 of 139 - Sale <sup>25</sup>
	25.000	49.74	07/14/20	63.41	13.67 W	0.00	62 of 139 - Sale <sup>25</sup>
	5.000	9.95	07/14/20	12.68	2.73 W	0.00	63 of 139 - Sale <sup>25</sup>
	4.000	7.96	07/14/20	10.15	2.19 W	0.00	64 of 139 - Sale <sup>25</sup>
	40.000	79.60	07/14/20	101.47	21.87 W	0.00	65 of 139 - Sale <sup>25</sup>
	25.000	49.75	07/14/20	63.42	13.67 W	0.00	66 of 139 - Sale <sup>25</sup>
	1.000	1.99	07/14/20	2.54	0.55 W	0.00	67 of 139 - Sale <sup>25</sup>
	25.000	49.75	07/14/20	63.40	13.65 W	0.00	68 of 139 - Sale <sup>25</sup>
	75.000	149.24	07/14/20	190.25	41.01 W	0.00	69 of 139 - Sale <sup>25</sup>
	25.000	49.74	07/14/20	63.39	13.65 W	0.00	70 of 139 - Sale <sup>25</sup>
	24.000	47.76	07/14/20	60.86	13.10 W	0.00	71 of 139 - Sale <sup>25</sup>
	6.000	11.94	07/14/20	16.83	4.89 W	0.00	72 of 139 - Sale <sup>25</sup>
	25.000	49.75	07/14/20	64.76	15.01 W	0.00	73 of 139 - Sale <sup>25</sup>
	25.000	49.75	07/14/20	59.51	9.76 W	0.00	74 of 139 - Sale <sup>25</sup>
	44.000	87.55	07/14/20	104.75	17.20 W	0.00	75 of 139 - Sale <sup>25</sup>
	18.000	35.82	07/14/20	45.64	9.82 W	0.00	76 of 139 - Sale <sup>25</sup>
	8.000	15.92	07/14/20	20.29	4.37 W	0.00	77 of 139 - Sale <sup>25</sup>
	125.000	248.74	07/14/20	316.96	68.22 W	0.00	78 of 139 - Sale <sup>25</sup>
	2.000	3.98	07/14/20	5.09	1.11 W	0.00	79 of 139 - Sale <sup>25</sup>
	23.000	45.77	07/14/20	58.55	12.78 W	0.00	80 of 139 - Sale <sup>25</sup>
	24.000	47.75	07/14/20	67.33	19.58 W	0.00	81 of 139 - Sale <sup>25</sup>
	1.000	1.99	07/14/20	2.81	0.82 W	0.00	82 of 139 - Sale <sup>25</sup>
	19.000	37.81	07/14/20	53.31	15.50 W	0.00	83 of 139 - Sale <sup>25</sup>
	13.000	25.87	07/14/20	30.94	5.07 W	0.00	84 of 139 - Sale <sup>25</sup>
	25.000	49.75	07/14/20	59.51	9.76 W	0.00	85 of 139 - Sale <sup>25</sup>
	143.000	284.55	07/14/20	340.42	55.87 W	0.00	86 of 139 - Sale <sup>25</sup>

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(continued)

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BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	100.000	198.98	07/14/20	240.06	41.08 W	0.00	87 of 139 - Sale <sup>25</sup>
	2.000	3.98	07/14/20	4.82	0.84 W	0.00	88 of 139 - Sale <sup>25</sup>
	2.000	3.98	07/14/20	5.11	1.13 W	0.00	89 of 139 - Sale <sup>25</sup>
	23.000	45.77	07/14/20	55.43	9.66 W	0.00	90 of 139 - Sale <sup>25</sup>
	25.000	49.75	07/14/20	60.01	10.26 W	0.00	91 of 139 - Sale <sup>25</sup>
	25.000	49.75	07/14/20	60.27	10.52 W	0.00	92 of 139 - Sale <sup>25</sup>
	25.000	49.74	07/14/20	60.27	10.53 W	0.00	93 of 139 - Sale <sup>25</sup>
	25.000	49.75	07/14/20	60.27	10.52 W	0.00	94 of 139 - Sale <sup>25</sup>
	25.000	49.74	07/14/20	66.02	16.28 W	0.00	95 of 139 - Sale <sup>25</sup>
	25.000	49.75	07/14/20	66.28	16.53 W	0.00	96 of 139 - Sale <sup>25</sup>
	25.000	49.74	07/14/20	67.41	17.67 W	0.00	97 of 139 - Sale <sup>25</sup>
	25.000	49.75	07/14/20	63.92	14.17 W	0.00	98 of 139 - Sale <sup>25</sup>
	25.000	49.74	07/14/20	61.92	12.18 W	0.00	99 of 139 - Sale <sup>25</sup>
	27.000	53.72	07/14/20	69.03	15.31 W	0.00	100 of 139 - Sale <sup>25</sup>
	48.000	95.51	07/14/20	122.73	27.22 W	0.00	101 of 139 - Sale <sup>25</sup>
	73.000	145.26	07/14/20	186.63	41.37 W	0.00	102 of 139 - Sale <sup>25</sup>
	2.000	3.92	07/14/20	5.33	1.41 W	0.00	103 of 139 - Sale <sup>25</sup>
	1.000	1.95	07/14/20	2.67	0.72 W	0.00	104 of 139 - Sale <sup>25</sup>
	4.000	7.80	07/14/20	10.67	2.87 W	0.00	105 of 139 - Sale <sup>25</sup>
	5.000	9.75	07/14/20	13.33	3.58 W	0.00	106 of 139 - Sale <sup>25</sup>
	23.000	44.85	07/14/20	61.34	16.49 W	0.00	107 of 139 - Sale <sup>25</sup>
	25.000	48.75	07/14/20	66.67	17.92 W	0.00	108 of 139 - Sale <sup>25</sup>
	40.000	77.99	07/14/20	106.67	28.68 W	0.00	109 of 139 - Sale <sup>25</sup>
	60.000	116.99	07/14/20	160.01	43.02 W	0.00	110 of 139 - Sale <sup>25</sup>
	15.000	29.25	07/14/20	40.00	10.75 W	0.00	111 of 139 - Sale <sup>25</sup>
	25.000	48.74	07/14/20	66.65	17.91 W	0.00	112 of 139 - Sale <sup>25</sup>
	1.000	1.95	07/14/20	2.95	1.00 W	0.00	113 of 139 - Sale <sup>25</sup>
	2.000	3.90	07/14/20	5.37	1.47 W	0.00	114 of 139 - Sale <sup>25</sup>
	6.000	11.70	07/14/20	17.67	5.97 W	0.00	115 of 139 - Sale <sup>25</sup>
	8.000	15.60	07/14/20	21.41	5.81 W	0.00	116 of 139 - Sale <sup>25</sup>
	18.000	35.10	07/14/20	48.16	13.06 W	0.00	117 of 139 - Sale <sup>25</sup>
	19.000	37.05	07/14/20	55.97	18.92 W	0.00	118 of 139 - Sale <sup>25</sup>
	23.000	44.85	07/14/20	61.77	16.92 W	0.00	119 of 139 - Sale <sup>25</sup>
	24.000	46.79	07/14/20	64.22	17.43 W	0.00	120 of 139 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	24.000	46.79	07/14/20	70.70	23.91 W	0.00	121 of 139 - Sale <sup>25</sup>
	25.000	48.75	07/14/20	66.90	18.15 W	0.00	122 of 139 - Sale <sup>25</sup>
	25.000	48.74	07/14/20	68.26	19.52 W	0.00	123 of 139 - Sale <sup>25</sup>
	125.000	243.72	07/14/20	334.47	90.75 W	0.00	124 of 139 - Sale <sup>25</sup>
	2.000	3.90	07/14/20	5.06	1.16 W	0.00	125 of 139 - Sale <sup>25</sup>
	10.000	19.50	07/14/20	25.30	5.80 W	0.00	126 of 139 - Sale <sup>25</sup>
	5.000	9.75	07/14/20	12.65	2.90 W	0.00	127 of 139 - Sale <sup>25</sup>
	20.000	39.00	07/14/20	50.61	11.61 W	0.00	128 of 139 - Sale <sup>25</sup>
	8.000	15.60	07/14/20	20.25	4.65 W	0.00	129 of 139 - Sale <sup>25</sup>
	44.000	85.79	07/14/20	111.36	25.57 W	0.00	130 of 139 - Sale <sup>25</sup>
	2.000	3.90	07/14/20	5.14	1.24 W	0.00	131 of 139 - Sale <sup>25</sup>
	13.000	25.35	07/14/20	33.02	7.67 W	0.00	132 of 139 - Sale <sup>25</sup>
	23.000	44.85	07/14/20	59.11	14.26 W	0.00	133 of 139 - Sale <sup>25</sup>
	25.000	48.75	07/14/20	63.51	14.76 W	0.00	134 of 139 - Sale <sup>25</sup>
	25.000	48.74	07/14/20	64.01	15.27 W	0.00	135 of 139 - Sale <sup>25</sup>
	25.000	48.75	07/14/20	64.27	15.52 W	0.00	136 of 139 - Sale <sup>25</sup>
	25.000	48.74	07/14/20	64.28	15.54 W	0.00	137 of 139 - Sale <sup>25</sup>
	100.000	194.98	07/14/20	256.08	61.10 W	0.00	138 of 139 - Sale <sup>25</sup>
	123.000	239.83	07/14/20	312.51	72.68 W	0.00	139 of 139 - Sale <sup>25</sup>
07/14/20	7,475.000	15,595.24	Various	18,448.56	2,853.32 W	0.00	Total of 139 transactions
49 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	25.000	57.50	07/14/20	64.27	6.77 W	0.00	1 of 49 - Sale <sup>25</sup>
	25.000	57.50	07/14/20	70.03	12.53 W	0.00	2 of 49 - Sale <sup>25</sup>
	15.000	34.50	07/15/20	43.30	8.80 W	0.00	3 of 49 - Sale <sup>25</sup>
	25.000	57.50	07/15/20	71.03	13.53 W	0.00	4 of 49 - Sale <sup>25</sup>
	10.000	23.00	07/15/20	28.87	5.87 W	0.00	5 of 49 - Sale <sup>25</sup>
	1.000	2.30	07/15/20	2.89	0.59 W	0.00	6 of 49 - Sale <sup>25</sup>
	2.000	4.60	07/15/20	5.47	0.87 W	0.00	7 of 49 - Sale <sup>25</sup>
	2.000	4.60	07/15/20	5.75	1.15 W	0.00	8 of 49 - Sale <sup>25</sup>
	4.000	9.20	07/15/20	11.55	2.35 W	0.00	9 of 49 - Sale <sup>25</sup>
	5.000	11.50	07/15/20	14.42	2.92 W	0.00	10 of 49 - Sale <sup>25</sup>
	15.000	34.50	07/15/20	43.29	8.79 W	0.00	11 of 49 - Sale <sup>25</sup>
	23.000	52.90	07/15/20	66.37	13.47 W	0.00	12 of 49 - Sale <sup>25</sup>
	25.000	57.50	07/15/20	68.39	10.89 W	0.00	13 of 49 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	25.000	57.50	07/15/20	66.40	8.90 W	0.00	14 of 49 - Sale <sup>25</sup>
	25.000	57.49	07/15/20	72.14	14.65 W	0.00	15 of 49 - Sale <sup>25</sup>
	25.000	57.49	07/15/20	72.13	14.64 W	0.00	16 of 49 - Sale <sup>25</sup>
	27.000	62.09	07/15/20	73.87	11.78 W	0.00	17 of 49 - Sale <sup>25</sup>
	40.000	91.99	07/15/20	115.44	23.45 W	0.00	18 of 49 - Sale <sup>25</sup>
	48.000	110.39	07/15/20	131.33	20.94 W	0.00	19 of 49 - Sale <sup>25</sup>
	60.000	137.99	07/15/20	173.15	35.16 W	0.00	20 of 49 - Sale <sup>25</sup>
	73.000	167.89	07/15/20	199.70	31.81 W	0.00	21 of 49 - Sale <sup>25</sup>
	1.000	2.30	07/15/20	3.20	0.90 W	0.00	22 of 49 - Sale <sup>25</sup>
	2.000	4.60	07/15/20	5.87	1.27 W	0.00	23 of 49 - Sale <sup>25</sup>
	8.000	18.40	07/15/20	23.41	5.01 W	0.00	24 of 49 - Sale <sup>25</sup>
	18.000	41.40	07/15/20	52.66	11.26 W	0.00	25 of 49 - Sale <sup>25</sup>
	23.000	52.89	07/15/20	67.52	14.63 W	0.00	26 of 49 - Sale <sup>25</sup>
	24.000	55.20	07/15/20	70.23	15.03 W	0.00	27 of 49 - Sale <sup>25</sup>
	24.000	55.20	07/15/20	76.71	21.51 W	0.00	28 of 49 - Sale <sup>25</sup>
	25.000	57.49	07/15/20	73.15	15.66 W	0.00	29 of 49 - Sale <sup>25</sup>
	125.000	287.47	07/15/20	365.75	78.28 W	0.00	30 of 49 - Sale <sup>25</sup>
	2.000	4.60	07/15/20	6.04	1.44 W	0.00	31 of 49 - Sale <sup>25</sup>
	5.000	11.50	07/15/20	15.10	3.60 W	0.00	32 of 49 - Sale <sup>25</sup>
	6.000	13.80	07/15/20	20.61	6.81 W	0.00	33 of 49 - Sale <sup>25</sup>
	8.000	18.40	07/15/20	24.17	5.77 W	0.00	34 of 49 - Sale <sup>25</sup>
	10.000	23.00	07/15/20	30.20	7.20 W	0.00	35 of 49 - Sale <sup>25</sup>
	19.000	43.70	07/15/20	65.28	21.58 W	0.00	36 of 49 - Sale <sup>25</sup>
	12.000	27.60	07/15/20	36.25	8.65 W	0.00	37 of 49 - Sale <sup>25</sup>
	25.000	57.49	07/15/20	80.52	23.03 W	0.00	38 of 49 - Sale <sup>25</sup>
	44.000	101.18	07/15/20	132.93	31.75 W	0.00	39 of 49 - Sale <sup>25</sup>
	8.000	18.40	07/15/20	24.16	5.76 W	0.00	40 of 49 - Sale <sup>25</sup>
	92.000	211.59	07/15/20	278.84	67.25 W	0.00	41 of 49 - Sale <sup>25</sup>
	19.000	43.70	07/15/20	57.59	13.89 W	0.00	42 of 49 - Sale <sup>25</sup>
	12.000	27.60	07/15/20	35.77	8.17 W	0.00	43 of 49 - Sale <sup>25</sup>
	13.000	29.90	07/15/20	38.74	8.84 W	0.00	44 of 49 - Sale <sup>25</sup>
	25.000	57.50	07/15/20	74.51	17.01 W	0.00	45 of 49 - Sale <sup>25</sup>
	50.000	114.99	07/15/20	150.05	35.06 W	0.00	46 of 49 - Sale <sup>25</sup>
	25.000	56.13	07/15/20	75.02	18.89 W	0.00	47 of 49 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	25.000	56.12	07/15/20	75.27	19.15 W	0.00	48 of 49 - Sale <sup>25</sup>
	50.000	112.24	07/15/20	150.05	37.81 W	0.00	49 of 49 - Sale <sup>25</sup>
07/15/20	1,200.000	2,754.32	Various	3,509.39	755.07 W	0.00	Total of 49 transactions
	94 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	1.000	4.45	07/16/20	4.41	...	0.04	1 of 94 - Sale <sup>25</sup>
	2.000	7.80	07/16/20	7.75	...	0.05	2 of 94 - Sale <sup>25</sup>
	1.000	4.45	07/16/20	4.40	...	0.05	3 of 94 - Sale <sup>25</sup>
	1.000	4.45	07/16/20	4.35	...	0.10	4 of 94 - Sale <sup>25</sup>
	2.000	8.90	07/16/20	8.80	...	0.10	5 of 94 - Sale <sup>25</sup>
	5.000	19.50	07/16/20	19.38	...	0.12	6 of 94 - Sale <sup>25</sup>
	1.000	3.90	07/16/20	3.77	...	0.13	7 of 94 - Sale <sup>25</sup>
	1.000	4.45	07/16/20	4.32	...	0.13	8 of 94 - Sale <sup>25</sup>
	1.000	4.45	07/16/20	4.31	...	0.14	9 of 94 - Sale <sup>25</sup>
	1.000	4.45	07/16/20	4.31	...	0.14	10 of 94 - Sale <sup>25</sup>
	3.000	11.70	07/16/20	11.53	...	0.17	11 of 94 - Sale <sup>25</sup>
	2.000	8.90	07/16/20	8.70	...	0.20	12 of 94 - Sale <sup>25</sup>
	5.000	22.25	07/16/20	22.00	...	0.25	13 of 94 - Sale <sup>25</sup>
	2.000	8.90	07/16/20	8.63	...	0.27	14 of 94 - Sale <sup>25</sup>
	1.000	4.45	07/16/20	4.15	...	0.30	15 of 94 - Sale <sup>25</sup>
	1.000	4.45	07/16/20	4.08	...	0.37	16 of 94 - Sale <sup>25</sup>
	4.000	17.80	07/16/20	17.41	...	0.39	17 of 94 - Sale <sup>25</sup>
	8.000	35.60	07/16/20	35.21	...	0.39	18 of 94 - Sale <sup>25</sup>
	10.000	44.50	07/16/20	44.11	...	0.39	19 of 94 - Sale <sup>25</sup>
	6.000	26.70	07/16/20	26.31	...	0.39	20 of 94 - Sale <sup>25</sup>
	1.000	4.45	07/16/20	4.05	...	0.40	21 of 94 - Sale <sup>25</sup>
	8.000	35.60	07/16/20	35.20	...	0.40	22 of 94 - Sale <sup>25</sup>
	12.000	53.40	07/16/20	52.93	...	0.47	23 of 94 - Sale <sup>25</sup>
	12.000	53.39	07/16/20	52.92	...	0.47	24 of 94 - Sale <sup>25</sup>
	6.000	22.50	07/16/20	22.02	...	0.48	25 of 94 - Sale <sup>25</sup>
	6.000	22.50	07/16/20	22.02	...	0.48	26 of 94 - Sale <sup>25</sup>
	10.000	44.50	07/16/20	44.00	...	0.50	27 of 94 - Sale <sup>25</sup>
	6.000	22.50	07/16/20	21.99	...	0.51	28 of 94 - Sale <sup>25</sup>
	20.000	77.99	07/16/20	77.44	...	0.55	29 of 94 - Sale <sup>25</sup>
	12.000	53.40	07/16/20	52.81	...	0.59	30 of 94 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	24.000	93.59	07/16/20	92.94	...	0.65	31 of 94 - Sale <sup>25</sup>
	2.000	8.90	07/16/20	8.22	...	0.68	32 of 94 - Sale <sup>25</sup>
	7.000	31.15	07/16/20	30.46	...	0.69	33 of 94 - Sale <sup>25</sup>
	8.000	35.60	07/16/20	34.81	...	0.79	34 of 94 - Sale <sup>25</sup>
	2.000	8.90	07/16/20	8.08	...	0.82	35 of 94 - Sale <sup>25</sup>
	2.000	8.90	07/16/20	8.06	...	0.84	36 of 94 - Sale <sup>25</sup>
	50.000	222.49	07/16/20	221.60	...	0.89	37 of 94 - Sale <sup>25</sup>
	19.000	84.55	07/16/20	83.64	...	0.91	38 of 94 - Sale <sup>25</sup>
	25.000	111.25	07/16/20	110.30	...	0.95	39 of 94 - Sale <sup>25</sup>
	18.000	70.20	07/16/20	69.14	...	1.06	40 of 94 - Sale <sup>25</sup>
	25.000	111.24	07/16/20	110.18	...	1.06	41 of 94 - Sale <sup>25</sup>
	48.000	187.19	07/16/20	186.08	...	1.11	42 of 94 - Sale <sup>25</sup>
	25.000	111.24	07/16/20	110.08	...	1.16	43 of 94 - Sale <sup>25</sup>
	19.000	84.55	07/16/20	83.33	...	1.22	44 of 94 - Sale <sup>25</sup>
	25.000	111.24	07/16/20	109.91	...	1.33	45 of 94 - Sale <sup>25</sup>
	10.000	44.50	07/16/20	43.11	...	1.39	46 of 94 - Sale <sup>25</sup>
	15.000	66.75	07/16/20	65.29	...	1.46	47 of 94 - Sale <sup>25</sup>
	4.000	17.80	07/16/20	16.23	...	1.57	48 of 94 - Sale <sup>25</sup>
	4.000	17.80	07/16/20	16.17	...	1.63	49 of 94 - Sale <sup>25</sup>
	18.000	80.10	07/16/20	78.31	...	1.79	50 of 94 - Sale <sup>25</sup>
	20.000	89.00	07/16/20	87.04	...	1.96	51 of 94 - Sale <sup>25</sup>
	5.000	22.25	07/16/20	20.20	...	2.05	52 of 94 - Sale <sup>25</sup>
	15.000	66.75	07/16/20	64.67	...	2.08	53 of 94 - Sale <sup>25</sup>
	24.000	106.79	07/16/20	104.47	...	2.32	54 of 94 - Sale <sup>25</sup>
	25.000	97.49	07/16/20	95.05	...	2.44	55 of 94 - Sale <sup>25</sup>
	23.000	102.34	07/16/20	99.28	...	3.06	56 of 94 - Sale <sup>25</sup>
	26.000	115.70	07/16/20	112.62	...	3.08	57 of 94 - Sale <sup>25</sup>
	55.000	214.49	07/16/20	211.28	...	3.21	58 of 94 - Sale <sup>25</sup>
	8.000	35.60	07/16/20	32.32	...	3.28	59 of 94 - Sale <sup>25</sup>
	24.000	106.80	07/16/20	103.50	...	3.30	60 of 94 - Sale <sup>25</sup>
	24.000	93.60	07/16/20	90.29	...	3.31	61 of 94 - Sale <sup>25</sup>
	9.000	40.05	07/16/20	36.69	...	3.36	62 of 94 - Sale <sup>25</sup>
	50.000	187.49	07/16/20	183.25	...	4.24	63 of 94 - Sale <sup>25</sup>
	11.000	48.95	07/16/20	44.63	...	4.32	64 of 94 - Sale <sup>25</sup>

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(continued)

03/15/2021

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BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	251.000	933.67	07/16/20	928.70	...	4.97	65 of 94 - Sale <sup>25</sup>
	14.000	62.30	07/16/20	56.81	...	5.49	66 of 94 - Sale <sup>25</sup>
	15.000	66.75	07/16/20	61.15	...	5.60	67 of 94 - Sale <sup>25</sup>
	15.000	66.75	07/16/20	60.61	...	6.14	68 of 94 - Sale <sup>25</sup>
	25.000	111.24	07/16/20	104.33	...	6.91	69 of 94 - Sale <sup>25</sup>
	88.000	329.98	07/16/20	322.96	...	7.02	70 of 94 - Sale <sup>25</sup>
	25.000	111.24	07/16/20	104.09	...	7.15	71 of 94 - Sale <sup>25</sup>
	24.000	106.79	07/16/20	99.56	...	7.23	72 of 94 - Sale <sup>25</sup>
	94.000	352.48	07/16/20	344.98	...	7.50	73 of 94 - Sale <sup>25</sup>
	23.000	102.35	07/16/20	94.54	...	7.81	74 of 94 - Sale <sup>25</sup>
	20.000	88.99	07/16/20	81.15	...	7.84	75 of 94 - Sale <sup>25</sup>
	19.000	84.55	07/16/20	76.59	...	7.96	76 of 94 - Sale <sup>25</sup>
	25.000	111.24	07/16/20	102.83	...	8.41	77 of 94 - Sale <sup>25</sup>
	20.000	89.00	07/16/20	80.40	...	8.60	78 of 94 - Sale <sup>25</sup>
	23.000	102.35	07/16/20	92.98	...	9.37	79 of 94 - Sale <sup>25</sup>
	25.000	111.24	07/16/20	101.80	...	9.44	80 of 94 - Sale <sup>25</sup>
	100.000	444.98	07/16/20	435.21	...	9.77	81 of 94 - Sale <sup>25</sup>
	24.000	106.79	07/16/20	97.01	...	9.78	82 of 94 - Sale <sup>25</sup>
	200.000	749.96	07/16/20	740.00	...	9.96	83 of 94 - Sale <sup>25</sup>
	25.000	111.24	07/16/20	100.79	...	10.45	84 of 94 - Sale <sup>25</sup>
	31.000	137.94	07/16/20	125.00	...	12.94	85 of 94 - Sale <sup>25</sup>
	300.000	1,124.94	07/16/20	1,110.00	...	14.94	86 of 94 - Sale <sup>25</sup>
	40.000	177.99	07/16/20	161.70	...	16.29	87 of 94 - Sale <sup>25</sup>
	100.000	444.99	07/16/20	401.99	...	43.00	88 of 94 - Sale <sup>25</sup>
	100.000	444.99	07/16/20	401.99	...	43.00	89 of 94 - Sale <sup>25</sup>
	151.000	671.91	07/16/20	607.00	...	64.91	90 of 94 - Sale <sup>25</sup>
	200.000	889.96	07/16/20	803.98	...	85.98	91 of 94 - Sale <sup>25</sup>
	211.000	938.90	07/16/20	848.20	...	90.70	92 of 94 - Sale <sup>25</sup>
	500.000	2,224.89	07/16/20	2,009.94	...	214.95	93 of 94 - Sale <sup>25</sup>
	1,019.000	4,534.32	07/16/20	4,096.27	...	438.05	94 of 94 - Sale <sup>25</sup>
07/16/20	4,527.000	19,312.24	Various	18,057.10	...	1,255.14	Total of 94 transactions
	344 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	25.000	92.99	07/16/20	96.02	3.03 W	0.00	1 of 344 - Sale <sup>25</sup>
	25.000	92.99	07/16/20	101.78	8.79 W	0.00	2 of 344 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	1.000	3.72	07/16/20	4.16	0.44 W	0.00	3 of 344 - Sale <sup>25</sup>
	2.000	7.44	07/16/20	8.38	0.94 W	0.00	4 of 344 - Sale <sup>25</sup>
	2.000	7.44	07/16/20	8.01	0.57 W	0.00	5 of 344 - Sale <sup>25</sup>
	2.000	7.44	07/16/20	8.29	0.85 W	0.00	6 of 344 - Sale <sup>25</sup>
	4.000	14.88	07/16/20	16.63	1.75 W	0.00	7 of 344 - Sale <sup>25</sup>
	5.000	18.60	07/16/20	20.77	2.17 W	0.00	8 of 344 - Sale <sup>25</sup>
	10.000	37.20	07/16/20	41.57	4.37 W	0.00	9 of 344 - Sale <sup>25</sup>
	15.000	55.80	07/16/20	62.35	6.55 W	0.00	10 of 344 - Sale <sup>25</sup>
	23.000	85.56	07/16/20	96.37	10.81 W	0.00	11 of 344 - Sale <sup>25</sup>
	23.000	85.56	07/16/20	95.58	10.02 W	0.00	12 of 344 - Sale <sup>25</sup>
	25.000	92.99	07/16/20	104.79	11.80 W	0.00	13 of 344 - Sale <sup>25</sup>
	25.000	93.00	07/16/20	102.78	9.78 W	0.00	14 of 344 - Sale <sup>25</sup>
	25.000	92.99	07/16/20	100.14	7.15 W	0.00	15 of 344 - Sale <sup>25</sup>
	25.000	92.99	07/16/20	98.15	5.16 W	0.00	16 of 344 - Sale <sup>25</sup>
	25.000	92.99	07/16/20	103.90	10.91 W	0.00	17 of 344 - Sale <sup>25</sup>
	27.000	100.43	07/16/20	108.17	7.74 W	0.00	18 of 344 - Sale <sup>25</sup>
	40.000	148.79	07/16/20	166.25	17.46 W	0.00	19 of 344 - Sale <sup>25</sup>
	48.000	178.55	07/16/20	192.30	13.75 W	0.00	20 of 344 - Sale <sup>25</sup>
	50.000	185.99	07/16/20	207.80	21.81 W	0.00	21 of 344 - Sale <sup>25</sup>
	73.000	271.55	07/16/20	292.42	20.87 W	0.00	22 of 344 - Sale <sup>25</sup>
	1.000	3.72	07/16/20	4.47	0.75 W	0.00	23 of 344 - Sale <sup>25</sup>
	2.000	7.44	07/16/20	8.41	0.97 W	0.00	24 of 344 - Sale <sup>25</sup>
	2.000	7.44	07/16/20	8.58	1.14 W	0.00	25 of 344 - Sale <sup>25</sup>
	5.000	18.60	07/16/20	21.45	2.85 W	0.00	26 of 344 - Sale <sup>25</sup>
	6.000	22.32	07/16/20	28.23	5.91 W	0.00	27 of 344 - Sale <sup>25</sup>
	8.000	29.76	07/16/20	33.57	3.81 W	0.00	28 of 344 - Sale <sup>25</sup>
	8.000	29.76	07/16/20	34.33	4.57 W	0.00	29 of 344 - Sale <sup>25</sup>
	10.000	37.20	07/16/20	41.56	4.36 W	0.00	30 of 344 - Sale <sup>25</sup>
	10.000	37.20	07/16/20	42.90	5.70 W	0.00	31 of 344 - Sale <sup>25</sup>
	15.000	55.80	07/16/20	62.34	6.54 W	0.00	32 of 344 - Sale <sup>25</sup>
	18.000	66.96	07/16/20	75.52	8.56 W	0.00	33 of 344 - Sale <sup>25</sup>
	19.000	70.68	07/16/20	89.41	18.73 W	0.00	34 of 344 - Sale <sup>25</sup>
	23.000	85.56	07/16/20	96.74	11.18 W	0.00	35 of 344 - Sale <sup>25</sup>
	24.000	89.27	07/16/20	100.71	11.44 W	0.00	36 of 344 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	24.000	89.27	07/16/20	107.19	17.92 W	0.00	37 of 344 - Sale <sup>25</sup>
	25.000	92.99	07/16/20	103.89	10.90 W	0.00	38 of 344 - Sale <sup>25</sup>
	25.000	93.00	07/16/20	104.91	11.91 W	0.00	39 of 344 - Sale <sup>25</sup>
	25.000	92.99	07/16/20	112.28	19.29 W	0.00	40 of 344 - Sale <sup>25</sup>
	25.000	92.99	07/16/20	107.29	14.30 W	0.00	41 of 344 - Sale <sup>25</sup>
	125.000	464.97	07/16/20	524.53	59.56 W	0.00	42 of 344 - Sale <sup>25</sup>
	8.000	29.76	07/16/20	34.32	4.56 W	0.00	43 of 344 - Sale <sup>25</sup>
	12.000	44.64	07/16/20	51.49	6.85 W	0.00	44 of 344 - Sale <sup>25</sup>
	19.000	70.68	07/16/20	81.54	10.86 W	0.00	45 of 344 - Sale <sup>25</sup>
	61.000	226.91	07/16/20	262.36	35.45 W	0.00	46 of 344 - Sale <sup>25</sup>
	12.000	44.64	07/16/20	52.57	7.93 W	0.00	47 of 344 - Sale <sup>25</sup>
	13.000	48.36	07/16/20	56.94	8.58 W	0.00	48 of 344 - Sale <sup>25</sup>
	19.000	70.68	07/16/20	84.19	13.51 W	0.00	49 of 344 - Sale <sup>25</sup>
	25.000	92.99	07/16/20	109.51	16.52 W	0.00	50 of 344 - Sale <sup>25</sup>
	31.000	115.31	07/16/20	137.36	22.05 W	0.00	51 of 344 - Sale <sup>25</sup>
	25.000	92.99	07/16/20	95.53	2.54 W	0.00	52 of 344 - Sale <sup>25</sup>
	25.000	92.99	07/16/20	101.29	8.30 W	0.00	53 of 344 - Sale <sup>25</sup>
	2.000	7.44	07/16/20	8.34	0.90 W	0.00	54 of 344 - Sale <sup>25</sup>
	9.000	33.48	07/16/20	37.23	3.75 W	0.00	55 of 344 - Sale <sup>25</sup>
	15.000	55.80	07/16/20	62.05	6.25 W	0.00	56 of 344 - Sale <sup>25</sup>
	23.000	85.56	07/16/20	95.91	10.35 W	0.00	57 of 344 - Sale <sup>25</sup>
	25.000	93.00	07/16/20	111.39	18.39 W	0.00	58 of 344 - Sale <sup>25</sup>
	25.000	92.99	07/16/20	111.65	18.66 W	0.00	59 of 344 - Sale <sup>25</sup>
	25.000	92.99	07/16/20	104.30	11.31 W	0.00	60 of 344 - Sale <sup>25</sup>
	25.000	93.00	07/16/20	102.28	9.28 W	0.00	61 of 344 - Sale <sup>25</sup>
	50.000	185.99	07/16/20	220.06	34.07 W	0.00	62 of 344 - Sale <sup>25</sup>
	50.000	185.99	07/16/20	222.81	36.82 W	0.00	63 of 344 - Sale <sup>25</sup>
	1.000	3.72	07/16/20	4.14	0.42 W	0.00	64 of 344 - Sale <sup>25</sup>
	2.000	7.44	07/16/20	7.97	0.53 W	0.00	65 of 344 - Sale <sup>25</sup>
	24.000	89.28	07/16/20	95.68	6.40 W	0.00	66 of 344 - Sale <sup>25</sup>
	25.000	92.99	07/16/20	99.65	6.66 W	0.00	67 of 344 - Sale <sup>25</sup>
	48.000	178.55	07/16/20	191.35	12.80 W	0.00	68 of 344 - Sale <sup>25</sup>
	1.000	3.72	07/16/20	4.14	0.42 W	0.00	69 of 344 - Sale <sup>25</sup>
	1.000	3.72	07/16/20	4.45	0.73 W	0.00	70 of 344 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	2.000	7.44	07/16/20	8.25	0.81 W	0.00	71 of 344 - Sale <sup>25</sup>
	2.000	7.44	07/16/20	8.37	0.93 W	0.00	72 of 344 - Sale <sup>25</sup>
	3.000	11.16	07/16/20	11.96	0.80 W	0.00	73 of 344 - Sale <sup>25</sup>
	4.000	14.88	07/16/20	16.55	1.67 W	0.00	74 of 344 - Sale <sup>25</sup>
	5.000	18.60	07/16/20	20.67	2.07 W	0.00	75 of 344 - Sale <sup>25</sup>
	6.000	22.32	07/16/20	28.11	5.79 W	0.00	76 of 344 - Sale <sup>25</sup>
	8.000	29.76	07/16/20	33.41	3.65 W	0.00	77 of 344 - Sale <sup>25</sup>
	10.000	37.20	07/16/20	41.36	4.16 W	0.00	78 of 344 - Sale <sup>25</sup>
	15.000	55.80	07/16/20	62.04	6.24 W	0.00	79 of 344 - Sale <sup>25</sup>
	18.000	66.96	07/16/20	75.16	8.20 W	0.00	80 of 344 - Sale <sup>25</sup>
	19.000	70.68	07/16/20	89.03	18.35 W	0.00	81 of 344 - Sale <sup>25</sup>
	23.000	85.56	07/16/20	95.12	9.56 W	0.00	82 of 344 - Sale <sup>25</sup>
	23.000	85.56	07/16/20	96.28	10.72 W	0.00	83 of 344 - Sale <sup>25</sup>
	24.000	89.27	07/16/20	100.24	10.97 W	0.00	84 of 344 - Sale <sup>25</sup>
	24.000	89.27	07/16/20	106.72	17.45 W	0.00	85 of 344 - Sale <sup>25</sup>
	25.000	92.99	07/16/20	97.66	4.67 W	0.00	86 of 344 - Sale <sup>25</sup>
	25.000	92.99	07/16/20	103.41	10.42 W	0.00	87 of 344 - Sale <sup>25</sup>
	25.000	92.99	07/16/20	103.40	10.41 W	0.00	88 of 344 - Sale <sup>25</sup>
	25.000	93.00	07/16/20	104.41	11.41 W	0.00	89 of 344 - Sale <sup>25</sup>
	25.000	92.99	07/16/20	111.79	18.80 W	0.00	90 of 344 - Sale <sup>25</sup>
	40.000	148.79	07/16/20	165.46	16.67 W	0.00	91 of 344 - Sale <sup>25</sup>
	50.000	185.99	07/16/20	206.81	20.82 W	0.00	92 of 344 - Sale <sup>25</sup>
	73.000	271.54	07/16/20	290.97	19.43 W	0.00	93 of 344 - Sale <sup>25</sup>
	125.000	464.97	07/16/20	522.06	57.09 W	0.00	94 of 344 - Sale <sup>25</sup>
	25.000	92.99	07/16/20	95.04	2.05 W	0.00	95 of 344 - Sale <sup>25</sup>
	25.000	92.99	07/16/20	100.80	7.81 W	0.00	96 of 344 - Sale <sup>25</sup>
	2.000	7.44	07/16/20	8.54	1.10 W	0.00	97 of 344 - Sale <sup>25</sup>
	5.000	18.60	07/16/20	21.35	2.75 W	0.00	98 of 344 - Sale <sup>25</sup>
	8.000	29.76	07/16/20	34.17	4.41 W	0.00	99 of 344 - Sale <sup>25</sup>
	8.000	29.76	07/16/20	34.16	4.40 W	0.00	100 of 344 - Sale <sup>25</sup>
	10.000	37.20	07/16/20	42.70	5.50 W	0.00	101 of 344 - Sale <sup>25</sup>
	12.000	44.64	07/16/20	51.25	6.61 W	0.00	102 of 344 - Sale <sup>25</sup>
	12.000	44.64	07/16/20	52.33	7.69 W	0.00	103 of 344 - Sale <sup>25</sup>
	13.000	48.36	07/16/20	56.68	8.32 W	0.00	104 of 344 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
19.000		70.68	07/16/20	81.16	10.48 W	0.00	105 of 344 - Sale <sup>25</sup>
19.000		70.68	07/16/20	83.81	13.13 W	0.00	106 of 344 - Sale <sup>25</sup>
25.000		92.99	07/16/20	106.80	13.81 W	0.00	107 of 344 - Sale <sup>25</sup>
25.000		92.99	07/16/20	109.02	16.03 W	0.00	108 of 344 - Sale <sup>25</sup>
31.000		115.31	07/16/20	136.75	21.44 W	0.00	109 of 344 - Sale <sup>25</sup>
50.000		185.99	07/16/20	219.07	33.08 W	0.00	110 of 344 - Sale <sup>25</sup>
20.000		74.39	07/16/20	88.73	14.34 W	0.00	111 of 344 - Sale <sup>25</sup>
61.000		226.91	07/16/20	261.15	34.24 W	0.00	112 of 344 - Sale <sup>25</sup>
1.000		3.72	07/16/20	4.12	0.40 W	0.00	113 of 344 - Sale <sup>25</sup>
2.000		7.44	07/16/20	8.30	0.86 W	0.00	114 of 344 - Sale <sup>25</sup>
2.000		7.44	07/16/20	7.93	0.49 W	0.00	115 of 344 - Sale <sup>25</sup>
9.000		33.48	07/16/20	37.05	3.57 W	0.00	116 of 344 - Sale <sup>25</sup>
15.000		55.80	07/16/20	61.75	5.95 W	0.00	117 of 344 - Sale <sup>25</sup>
23.000		85.56	07/16/20	95.45	9.89 W	0.00	118 of 344 - Sale <sup>25</sup>
24.000		89.27	07/16/20	95.20	5.93 W	0.00	119 of 344 - Sale <sup>25</sup>
25.000		93.00	07/16/20	110.89	17.89 W	0.00	120 of 344 - Sale <sup>25</sup>
25.000		92.99	07/16/20	111.16	18.17 W	0.00	121 of 344 - Sale <sup>25</sup>
25.000		92.99	07/16/20	103.81	10.82 W	0.00	122 of 344 - Sale <sup>25</sup>
25.000		93.00	07/16/20	101.78	8.78 W	0.00	123 of 344 - Sale <sup>25</sup>
25.000		92.99	07/16/20	99.16	6.17 W	0.00	124 of 344 - Sale <sup>25</sup>
48.000		178.55	07/16/20	190.40	11.85 W	0.00	125 of 344 - Sale <sup>25</sup>
30.000		111.59	07/16/20	133.09	21.50 W	0.00	126 of 344 - Sale <sup>25</sup>
25.000		93.75	07/16/20	96.05	2.30 W	0.00	127 of 344 - Sale <sup>25</sup>
25.000		93.74	07/16/20	101.81	8.07 W	0.00	128 of 344 - Sale <sup>25</sup>
1.000		3.75	07/16/20	4.22	0.47 W	0.00	129 of 344 - Sale <sup>25</sup>
1.000		3.75	07/16/20	4.49	0.74 W	0.00	130 of 344 - Sale <sup>25</sup>
2.000		7.50	07/16/20	8.45	0.95 W	0.00	131 of 344 - Sale <sup>25</sup>
2.000		7.50	07/16/20	8.62	1.12 W	0.00	132 of 344 - Sale <sup>25</sup>
5.000		18.75	07/16/20	21.55	2.80 W	0.00	133 of 344 - Sale <sup>25</sup>
6.000		22.50	07/16/20	28.35	5.85 W	0.00	134 of 344 - Sale <sup>25</sup>
8.000		30.00	07/16/20	34.49	4.49 W	0.00	135 of 344 - Sale <sup>25</sup>
8.000		30.00	07/16/20	34.48	4.48 W	0.00	136 of 344 - Sale <sup>25</sup>
10.000		37.50	07/16/20	43.10	5.60 W	0.00	137 of 344 - Sale <sup>25</sup>
12.000		45.00	07/16/20	51.73	6.73 W	0.00	138 of 344 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	12.000	45.00	07/16/20	52.81	7.81 W	0.00	139 of 344 - Sale <sup>25</sup>
	13.000	48.75	07/16/20	57.20	8.45 W	0.00	140 of 344 - Sale <sup>25</sup>
	19.000	71.25	07/16/20	89.79	18.54 W	0.00	141 of 344 - Sale <sup>25</sup>
	19.000	71.25	07/16/20	81.92	10.67 W	0.00	142 of 344 - Sale <sup>25</sup>
	19.000	71.25	07/16/20	84.57	13.32 W	0.00	143 of 344 - Sale <sup>25</sup>
	20.000	75.00	07/16/20	89.54	14.54 W	0.00	144 of 344 - Sale <sup>25</sup>
	23.000	86.25	07/16/20	97.20	10.95 W	0.00	145 of 344 - Sale <sup>25</sup>
	24.000	90.00	07/16/20	107.69	17.69 W	0.00	146 of 344 - Sale <sup>25</sup>
	25.000	93.74	07/16/20	112.80	19.06 W	0.00	147 of 344 - Sale <sup>25</sup>
	25.000	93.74	07/16/20	107.81	14.07 W	0.00	148 of 344 - Sale <sup>25</sup>
	25.000	93.74	07/16/20	110.03	16.29 W	0.00	149 of 344 - Sale <sup>25</sup>
	29.000	108.74	07/16/20	129.82	21.08 W	0.00	150 of 344 - Sale <sup>25</sup>
	31.000	116.24	07/16/20	138.00	21.76 W	0.00	151 of 344 - Sale <sup>25</sup>
	50.000	187.49	07/16/20	221.08	33.59 W	0.00	152 of 344 - Sale <sup>25</sup>
	61.000	228.74	07/16/20	263.60	34.86 W	0.00	153 of 344 - Sale <sup>25</sup>
	1.000	3.75	07/16/20	4.22	0.47 W	0.00	154 of 344 - Sale <sup>25</sup>
	2.000	7.50	07/16/20	8.41	0.91 W	0.00	155 of 344 - Sale <sup>25</sup>
	3.000	11.25	07/16/20	12.20	0.95 W	0.00	156 of 344 - Sale <sup>25</sup>
	4.000	15.00	07/16/20	16.87	1.87 W	0.00	157 of 344 - Sale <sup>25</sup>
	5.000	18.75	07/16/20	21.07	2.32 W	0.00	158 of 344 - Sale <sup>25</sup>
	8.000	30.00	07/16/20	34.05	4.05 W	0.00	159 of 344 - Sale <sup>25</sup>
	10.000	37.50	07/16/20	42.16	4.66 W	0.00	160 of 344 - Sale <sup>25</sup>
	15.000	56.25	07/16/20	63.24	6.99 W	0.00	161 of 344 - Sale <sup>25</sup>
	18.000	67.50	07/16/20	76.60	9.10 W	0.00	162 of 344 - Sale <sup>25</sup>
	23.000	86.25	07/16/20	96.96	10.71 W	0.00	163 of 344 - Sale <sup>25</sup>
	24.000	90.00	07/16/20	102.17	12.17 W	0.00	164 of 344 - Sale <sup>25</sup>
	25.000	93.74	07/16/20	99.67	5.93 W	0.00	165 of 344 - Sale <sup>25</sup>
	25.000	93.74	07/16/20	105.42	11.68 W	0.00	166 of 344 - Sale <sup>25</sup>
	25.000	93.74	07/16/20	105.41	11.67 W	0.00	167 of 344 - Sale <sup>25</sup>
	25.000	93.74	07/16/20	106.41	12.67 W	0.00	168 of 344 - Sale <sup>25</sup>
	40.000	149.99	07/16/20	168.67	18.68 W	0.00	169 of 344 - Sale <sup>25</sup>
	50.000	187.49	07/16/20	210.82	23.33 W	0.00	170 of 344 - Sale <sup>25</sup>
	73.000	273.74	07/16/20	296.83	23.09 W	0.00	171 of 344 - Sale <sup>25</sup>
	124.000	464.97	07/16/20	527.83	62.86 W	0.00	172 of 344 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	1.000	3.75	07/16/20	4.39	0.64 W	0.00	173 of 344 - Sale <sup>25</sup>
	1.000	3.75	07/16/20	4.07	0.32 W	0.00	174 of 344 - Sale <sup>25</sup>
	2.000	7.50	07/16/20	8.20	0.70 W	0.00	175 of 344 - Sale <sup>25</sup>
	2.000	7.50	07/16/20	7.83	0.33 W	0.00	176 of 344 - Sale <sup>25</sup>
	5.000	18.75	07/16/20	19.58	0.83 W	0.00	177 of 344 - Sale <sup>25</sup>
	9.000	33.75	07/16/20	36.60	2.85 W	0.00	178 of 344 - Sale <sup>25</sup>
	15.000	56.25	07/16/20	61.00	4.75 W	0.00	179 of 344 - Sale <sup>25</sup>
	23.000	86.24	07/16/20	94.30	8.06 W	0.00	180 of 344 - Sale <sup>25</sup>
	25.000	93.74	07/16/20	109.64	15.90 W	0.00	181 of 344 - Sale <sup>25</sup>
	25.000	93.74	07/16/20	109.91	16.17 W	0.00	182 of 344 - Sale <sup>25</sup>
	25.000	93.74	07/16/20	102.56	8.82 W	0.00	183 of 344 - Sale <sup>25</sup>
	25.000	93.74	07/16/20	100.53	6.79 W	0.00	184 of 344 - Sale <sup>25</sup>
	48.000	179.99	07/16/20	187.99	8.00 W	0.00	185 of 344 - Sale <sup>25</sup>
	20.000	75.00	07/16/20	78.24	3.24 W	0.00	186 of 344 - Sale <sup>25</sup>
	24.000	89.99	07/16/20	93.89	3.90 W	0.00	187 of 344 - Sale <sup>25</sup>
	3.000	11.70	07/16/20	12.10	0.40 W	0.00	188 of 344 - Sale <sup>25</sup>
	24.000	93.60	07/16/20	94.85	1.25 W	0.00	189 of 344 - Sale <sup>25</sup>
	73.000	284.69	07/16/20	294.28	9.59 W	0.00	190 of 344 - Sale <sup>25</sup>
	1.000	3.90	07/16/20	3.96	0.06 W	0.00	191 of 344 - Sale <sup>25</sup>
	2.000	7.80	07/16/20	8.34	0.54 W	0.00	192 of 344 - Sale <sup>25</sup>
	15.000	58.50	07/16/20	62.71	4.21 W	0.00	193 of 344 - Sale <sup>25</sup>
	4.000	15.60	07/16/20	16.73	1.13 W	0.00	194 of 344 - Sale <sup>25</sup>
	5.000	19.50	07/16/20	20.90	1.40 W	0.00	195 of 344 - Sale <sup>25</sup>
	8.000	31.20	07/16/20	33.44	2.24 W	0.00	196 of 344 - Sale <sup>25</sup>
	23.000	89.70	07/16/20	96.19	6.49 W	0.00	197 of 344 - Sale <sup>25</sup>
	1.000	3.90	07/16/20	4.19	0.29 W	0.00	198 of 344 - Sale <sup>25</sup>
	10.000	39.00	07/16/20	41.81	2.81 W	0.00	199 of 344 - Sale <sup>25</sup>
	15.000	58.49	07/16/20	62.71	4.22 W	0.00	200 of 344 - Sale <sup>25</sup>
	24.000	93.59	07/16/20	100.36	6.77 W	0.00	201 of 344 - Sale <sup>25</sup>
	2.000	7.80	07/16/20	8.36	0.56 W	0.00	202 of 344 - Sale <sup>25</sup>
	40.000	155.99	07/16/20	167.28	11.29 W	0.00	203 of 344 - Sale <sup>25</sup>
	50.000	194.99	07/16/20	209.08	14.09 W	0.00	204 of 344 - Sale <sup>25</sup>
	1.000	3.90	07/16/20	4.19	0.29 W	0.00	205 of 344 - Sale <sup>25</sup>
	7.000	27.30	07/16/20	29.55	2.25 W	0.00	206 of 344 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	1.000	3.90	07/16/20	4.22	0.32 W	0.00	207 of 344 - Sale <sup>25</sup>
	15.000	58.50	07/16/20	63.34	4.84 W	0.00	208 of 344 - Sale <sup>25</sup>
	2.000	7.80	07/16/20	8.44	0.64 W	0.00	209 of 344 - Sale <sup>25</sup>
	8.000	31.20	07/16/20	33.77	2.57 W	0.00	210 of 344 - Sale <sup>25</sup>
	18.000	70.20	07/16/20	75.97	5.77 W	0.00	211 of 344 - Sale <sup>25</sup>
	20.000	78.00	07/16/20	84.44	6.44 W	0.00	212 of 344 - Sale <sup>25</sup>
	24.000	93.59	07/16/20	101.33	7.74 W	0.00	213 of 344 - Sale <sup>25</sup>
	4.000	15.60	07/16/20	16.89	1.29 W	0.00	214 of 344 - Sale <sup>25</sup>
	100.000	389.98	07/16/20	422.19	32.21 W	0.00	215 of 344 - Sale <sup>25</sup>
	25.000	97.49	07/16/20	100.82	3.33 W	0.00	216 of 344 - Sale <sup>25</sup>
	1.000	3.90	07/16/20	4.18	0.28 W	0.00	217 of 344 - Sale <sup>25</sup>
	1.000	3.90	07/16/20	4.45	0.55 W	0.00	218 of 344 - Sale <sup>25</sup>
	1.000	3.90	07/16/20	4.35	0.45 W	0.00	219 of 344 - Sale <sup>25</sup>
	1.000	3.90	07/16/20	4.03	0.13 W	0.00	220 of 344 - Sale <sup>25</sup>
	2.000	7.80	07/16/20	8.37	0.57 W	0.00	221 of 344 - Sale <sup>25</sup>
	2.000	7.80	07/16/20	8.54	0.74 W	0.00	222 of 344 - Sale <sup>25</sup>
	2.000	7.80	07/16/20	8.12	0.32 W	0.00	223 of 344 - Sale <sup>25</sup>
	5.000	19.50	07/16/20	21.35	1.85 W	0.00	224 of 344 - Sale <sup>25</sup>
	6.000	23.40	07/16/20	28.11	4.71 W	0.00	225 of 344 - Sale <sup>25</sup>
	8.000	31.20	07/16/20	34.17	2.97 W	0.00	226 of 344 - Sale <sup>25</sup>
	8.000	31.20	07/16/20	34.16	2.96 W	0.00	227 of 344 - Sale <sup>25</sup>
	9.000	35.10	07/16/20	36.24	1.14 W	0.00	228 of 344 - Sale <sup>25</sup>
	10.000	39.00	07/16/20	42.70	3.70 W	0.00	229 of 344 - Sale <sup>25</sup>
	12.000	46.80	07/16/20	51.25	4.45 W	0.00	230 of 344 - Sale <sup>25</sup>
	12.000	46.80	07/16/20	52.33	5.53 W	0.00	231 of 344 - Sale <sup>25</sup>
	13.000	50.70	07/16/20	56.68	5.98 W	0.00	232 of 344 - Sale <sup>25</sup>
	15.000	58.50	07/16/20	60.40	1.90 W	0.00	233 of 344 - Sale <sup>25</sup>
	19.000	74.10	07/16/20	89.03	14.93 W	0.00	234 of 344 - Sale <sup>25</sup>
	19.000	74.10	07/16/20	81.16	7.06 W	0.00	235 of 344 - Sale <sup>25</sup>
	19.000	74.10	07/16/20	83.81	9.71 W	0.00	236 of 344 - Sale <sup>25</sup>
	20.000	77.99	07/16/20	88.74	10.75 W	0.00	237 of 344 - Sale <sup>25</sup>
	23.000	89.70	07/16/20	96.28	6.58 W	0.00	238 of 344 - Sale <sup>25</sup>
	23.000	89.70	07/16/20	93.39	3.69 W	0.00	239 of 344 - Sale <sup>25</sup>
	24.000	93.59	07/16/20	106.73	13.14 W	0.00	240 of 344 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	25.000	97.49	07/16/20	111.81	14.32 W	0.00	241 of 344 - Sale <sup>25</sup>
	25.000	97.49	07/16/20	106.82	9.33 W	0.00	242 of 344 - Sale <sup>25</sup>
	25.000	97.49	07/16/20	109.04	11.55 W	0.00	243 of 344 - Sale <sup>25</sup>
	25.000	97.49	07/16/20	108.65	11.16 W	0.00	244 of 344 - Sale <sup>25</sup>
	25.000	97.49	07/16/20	108.92	11.43 W	0.00	245 of 344 - Sale <sup>25</sup>
	25.000	97.49	07/16/20	101.57	4.08 W	0.00	246 of 344 - Sale <sup>25</sup>
	25.000	97.50	07/16/20	99.54	2.04 W	0.00	247 of 344 - Sale <sup>25</sup>
	29.000	113.09	07/16/20	128.67	15.58 W	0.00	248 of 344 - Sale <sup>25</sup>
	31.000	120.89	07/16/20	136.77	15.88 W	0.00	249 of 344 - Sale <sup>25</sup>
	50.000	194.99	07/16/20	219.09	24.10 W	0.00	250 of 344 - Sale <sup>25</sup>
	61.000	237.89	07/16/20	261.17	23.28 W	0.00	251 of 344 - Sale <sup>25</sup>
	1.000	3.90	07/16/20	4.00	0.10 W	0.00	252 of 344 - Sale <sup>25</sup>
	2.000	7.80	07/16/20	7.96	0.16 W	0.00	253 of 344 - Sale <sup>25</sup>
	2.000	7.80	07/16/20	7.98	0.18 W	0.00	254 of 344 - Sale <sup>25</sup>
	4.000	15.60	07/16/20	15.97	0.37 W	0.00	255 of 344 - Sale <sup>25</sup>
	5.000	19.50	07/16/20	19.95	0.45 W	0.00	256 of 344 - Sale <sup>25</sup>
	8.000	31.20	07/16/20	31.92	0.72 W	0.00	257 of 344 - Sale <sup>25</sup>
	15.000	58.50	07/16/20	59.86	1.36 W	0.00	258 of 344 - Sale <sup>25</sup>
	23.000	89.69	07/16/20	91.82	2.13 W	0.00	259 of 344 - Sale <sup>25</sup>
	40.000	155.99	07/16/20	159.69	3.70 W	0.00	260 of 344 - Sale <sup>25</sup>
	49.000	191.09	07/16/20	195.60	4.51 W	0.00	261 of 344 - Sale <sup>25</sup>
	25.000	97.49	07/16/20	102.08	4.59 W	0.00	262 of 344 - Sale <sup>25</sup>
	1.000	3.90	07/16/20	4.23	0.33 W	0.00	263 of 344 - Sale <sup>25</sup>
	1.000	3.90	07/16/20	4.24	0.34 W	0.00	264 of 344 - Sale <sup>25</sup>
	1.000	3.90	07/16/20	4.27	0.37 W	0.00	265 of 344 - Sale <sup>25</sup>
	1.000	3.90	07/16/20	4.23	0.33 W	0.00	266 of 344 - Sale <sup>25</sup>
	1.000	3.90	07/16/20	4.50	0.60 W	0.00	267 of 344 - Sale <sup>25</sup>
	2.000	7.80	07/16/20	8.54	0.74 W	0.00	268 of 344 - Sale <sup>25</sup>
	2.000	7.80	07/16/20	8.47	0.67 W	0.00	269 of 344 - Sale <sup>25</sup>
	2.000	7.80	07/16/20	8.64	0.84 W	0.00	270 of 344 - Sale <sup>25</sup>
	4.000	15.60	07/16/20	17.09	1.49 W	0.00	271 of 344 - Sale <sup>25</sup>
	5.000	19.50	07/16/20	21.60	2.10 W	0.00	272 of 344 - Sale <sup>25</sup>
	6.000	23.40	07/16/20	28.41	5.01 W	0.00	273 of 344 - Sale <sup>25</sup>
	7.000	27.30	07/16/20	29.90	2.60 W	0.00	274 of 344 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	8.000	31.20	07/16/20	34.17	2.97 W	0.00	275 of 344 - Sale <sup>25</sup>
	8.000	31.20	07/16/20	34.57	3.37 W	0.00	276 of 344 - Sale <sup>25</sup>
	8.000	31.20	07/16/20	34.56	3.36 W	0.00	277 of 344 - Sale <sup>25</sup>
	10.000	39.00	07/16/20	42.31	3.31 W	0.00	278 of 344 - Sale <sup>25</sup>
	10.000	39.00	07/16/20	43.20	4.20 W	0.00	279 of 344 - Sale <sup>25</sup>
	12.000	46.80	07/16/20	51.85	5.05 W	0.00	280 of 344 - Sale <sup>25</sup>
	15.000	58.50	07/16/20	63.47	4.97 W	0.00	281 of 344 - Sale <sup>25</sup>
	15.000	58.50	07/16/20	64.09	5.59 W	0.00	282 of 344 - Sale <sup>25</sup>
	18.000	70.20	07/16/20	76.87	6.67 W	0.00	283 of 344 - Sale <sup>25</sup>
	19.000	74.10	07/16/20	89.98	15.88 W	0.00	284 of 344 - Sale <sup>25</sup>
	19.000	74.09	07/16/20	82.11	8.02 W	0.00	285 of 344 - Sale <sup>25</sup>
	20.000	78.00	07/16/20	85.44	7.44 W	0.00	286 of 344 - Sale <sup>25</sup>
	23.000	89.69	07/16/20	97.43	7.74 W	0.00	287 of 344 - Sale <sup>25</sup>
	24.000	93.59	07/16/20	101.57	7.98 W	0.00	288 of 344 - Sale <sup>25</sup>
	24.000	93.59	07/16/20	102.54	8.95 W	0.00	289 of 344 - Sale <sup>25</sup>
	24.000	93.59	07/16/20	107.94	14.35 W	0.00	290 of 344 - Sale <sup>25</sup>
	25.000	97.49	07/16/20	113.07	15.58 W	0.00	291 of 344 - Sale <sup>25</sup>
	25.000	97.49	07/16/20	108.08	10.59 W	0.00	292 of 344 - Sale <sup>25</sup>
	35.000	136.49	07/16/20	151.61	15.12 W	0.00	293 of 344 - Sale <sup>25</sup>
	100.000	389.98	07/16/20	427.21	37.23 W	0.00	294 of 344 - Sale <sup>25</sup>
	19.000	84.55	07/16/20	84.76	0.21 W	0.00	295 of 344 - Sale <sup>25</sup>
	31.000	137.95	07/16/20	138.33	0.38 W	0.00	296 of 344 - Sale <sup>25</sup>
	20.000	89.00	07/16/20	89.75	0.75 W	0.00	297 of 344 - Sale <sup>25</sup>
	4.000	17.80	07/16/20	17.95	0.15 W	0.00	298 of 344 - Sale <sup>25</sup>
	25.000	111.24	07/16/20	112.18	0.94 W	0.00	299 of 344 - Sale <sup>25</sup>
	1.000	4.45	07/16/20	4.58	0.13 W	0.00	300 of 344 - Sale <sup>25</sup>
	6.000	26.70	07/16/20	28.89	2.19 W	0.00	301 of 344 - Sale <sup>25</sup>
	19.000	84.55	07/16/20	91.50	6.95 W	0.00	302 of 344 - Sale <sup>25</sup>
	24.000	106.79	07/16/20	109.87	3.08 W	0.00	303 of 344 - Sale <sup>25</sup>
	25.000	111.24	07/16/20	115.07	3.83 W	0.00	304 of 344 - Sale <sup>25</sup>
	25.000	111.24	07/16/20	111.30	0.06 W	0.00	305 of 344 - Sale <sup>25</sup>
	25.000	106.00	07/16/20	111.56	5.56 W	0.00	306 of 344 - Sale <sup>25</sup>
	75.000	317.99	07/16/20	334.50	16.51 W	0.00	307 of 344 - Sale <sup>25</sup>
	100.000	423.99	07/16/20	446.00	22.01 W	0.00	308 of 344 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	25.000	106.00	07/16/20	117.06	11.06 W	0.00	309 of 344 - Sale <sup>25</sup>
	75.000	317.99	07/16/20	351.01	33.02 W	0.00	310 of 344 - Sale <sup>25</sup>
	111.000	470.62	07/16/20	496.17	25.55 W	0.00	311 of 344 - Sale <sup>25</sup>
	89.000	377.36	07/16/20	417.42	40.06 W	0.00	312 of 344 - Sale <sup>25</sup>
	11.000	46.64	07/16/20	51.43	4.79 W	0.00	313 of 344 - Sale <sup>25</sup>
	25.000	106.00	07/16/20	122.43	16.43 W	0.00	314 of 344 - Sale <sup>25</sup>
	75.000	318.00	07/16/20	367.15	49.15 W	0.00	315 of 344 - Sale <sup>25</sup>
	89.000	377.36	07/16/20	416.98	39.62 W	0.00	316 of 344 - Sale <sup>25</sup>
	22.000	93.28	07/16/20	103.18	9.90 W	0.00	317 of 344 - Sale <sup>25</sup>
	78.000	330.71	07/16/20	347.88	17.17 W	0.00	318 of 344 - Sale <sup>25</sup>
	100.000	424.00	07/16/20	446.65	22.65 W	0.00	319 of 344 - Sale <sup>25</sup>
	50.000	192.51	07/16/20	245.83	53.32 W	0.00	320 of 344 - Sale <sup>25</sup>
	11.000	43.45	07/16/20	53.92	10.47 W	0.00	321 of 344 - Sale <sup>25</sup>
	39.000	154.04	07/16/20	191.75	37.71 W	0.00	322 of 344 - Sale <sup>25</sup>
	25.000	98.74	07/16/20	117.18	18.44 W	0.00	323 of 344 - Sale <sup>25</sup>
	75.000	296.23	07/16/20	351.40	55.17 W	0.00	324 of 344 - Sale <sup>25</sup>
	11.000	43.45	07/16/20	49.28	5.83 W	0.00	325 of 344 - Sale <sup>25</sup>
	89.000	351.53	07/16/20	398.29	46.76 W	0.00	326 of 344 - Sale <sup>25</sup>
	11.000	43.45	07/16/20	49.28	5.83 W	0.00	327 of 344 - Sale <sup>25</sup>
	11.000	43.45	07/16/20	46.82	3.37 W	0.00	328 of 344 - Sale <sup>25</sup>
	78.000	308.08	07/16/20	331.51	23.43 W	0.00	329 of 344 - Sale <sup>25</sup>
	11.000	43.45	07/16/20	56.06	12.61 W	0.00	330 of 344 - Sale <sup>25</sup>
	89.000	351.53	07/16/20	378.83	27.30 W	0.00	331 of 344 - Sale <sup>25</sup>
	39.000	154.04	07/16/20	198.76	44.72 W	0.00	332 of 344 - Sale <sup>25</sup>
	161.000	635.92	07/16/20	648.83	12.91 W	0.00	333 of 344 - Sale <sup>25</sup>
	400.000	1,579.92	07/16/20	1,612.00	32.08 W	0.00	334 of 344 - Sale <sup>25</sup>
	200.000	789.96	07/16/20	808.00	18.04 W	0.00	335 of 344 - Sale <sup>25</sup>
	200.000	789.96	07/16/20	808.00	18.04 W	0.00	336 of 344 - Sale <sup>25</sup>
	11.000	37.89	07/16/20	54.91	17.02 W	0.00	337 of 344 - Sale <sup>25</sup>
	39.000	134.35	07/16/20	195.27	60.92 W	0.00	338 of 344 - Sale <sup>25</sup>
	25.000	86.12	07/16/20	111.44	25.32 W	0.00	339 of 344 - Sale <sup>25</sup>
	75.000	258.36	07/16/20	334.17	75.81 W	0.00	340 of 344 - Sale <sup>25</sup>
	11.000	37.89	07/16/20	46.75	8.86 W	0.00	341 of 344 - Sale <sup>25</sup>
	89.000	306.58	07/16/20	377.84	71.26 W	0.00	342 of 344 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	11.000	37.89	07/16/20	46.86	8.97 W	0.00	343 of 344 - Sale <sup>25</sup>
	39.000	134.35	07/16/20	157.18	22.83 W	0.00	344 of 344 - Sale <sup>25</sup>
07/16/20	8,673.000	33,489.70	Various	37,067.04	3,577.34 W	0.00	Total of 344 transactions
	55 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	25.000	88.00	07/16/20	100.75	12.75 W	0.00	1 of 55 - Sale <sup>25</sup>
	11.000	38.72	07/16/20	48.69	9.97 W	0.00	2 of 55 - Sale <sup>25</sup>
	11.000	38.72	07/16/20	57.93	19.21 W	0.00	3 of 55 - Sale <sup>25</sup>
	11.000	38.72	07/16/20	46.20	7.48 W	0.00	4 of 55 - Sale <sup>25</sup>
	14.000	49.28	07/16/20	61.89	12.61 W	0.00	5 of 55 - Sale <sup>25</sup>
	39.000	137.27	07/16/20	205.40	68.13 W	0.00	6 of 55 - Sale <sup>25</sup>
	89.000	313.27	07/16/20	393.98	80.71 W	0.00	7 of 55 - Sale <sup>25</sup>
	100.000	351.98	07/16/20	454.02	102.04 W	0.00	8 of 55 - Sale <sup>25</sup>
	100.000	351.98	07/16/20	454.02	102.04 W	0.00	9 of 55 - Sale <sup>25</sup>
	50.000	175.99	07/16/20	227.01	51.02 W	0.00	10 of 55 - Sale <sup>25</sup>
	50.000	175.99	07/16/20	221.51	45.52 W	0.00	11 of 55 - Sale <sup>25</sup>
	99.000	348.46	07/16/20	443.05	94.59 W	0.00	12 of 55 - Sale <sup>25</sup>
	26.000	91.51	07/16/20	116.36	24.85 W	0.00	13 of 55 - Sale <sup>25</sup>
	75.000	263.98	07/16/20	336.02	72.04 W	0.00	14 of 55 - Sale <sup>25</sup>
	20.000	70.40	07/16/20	89.60	19.20 W	0.00	15 of 55 - Sale <sup>25</sup>
	30.000	105.59	07/16/20	134.40	28.81 W	0.00	16 of 55 - Sale <sup>25</sup>
	67.000	235.83	07/16/20	300.84	65.01 W	0.00	17 of 55 - Sale <sup>25</sup>
	100.000	351.98	07/16/20	449.02	97.04 W	0.00	18 of 55 - Sale <sup>25</sup>
	33.000	116.15	07/16/20	148.18	32.03 W	0.00	19 of 55 - Sale <sup>25</sup>
	50.000	175.99	07/16/20	224.51	48.52 W	0.00	20 of 55 - Sale <sup>25</sup>
	100.000	351.98	07/16/20	460.52	108.54 W	0.00	21 of 55 - Sale <sup>25</sup>
	11.000	38.72	07/16/20	66.74	28.02 W	0.00	22 of 55 - Sale <sup>25</sup>
	39.000	137.27	07/16/20	237.20	99.93 W	0.00	23 of 55 - Sale <sup>25</sup>
	50.000	175.99	07/16/20	230.51	54.52 W	0.00	24 of 55 - Sale <sup>25</sup>
	4.000	14.08	07/16/20	22.13	8.05 W	0.00	25 of 55 - Sale <sup>25</sup>
	21.000	73.92	07/16/20	116.19	42.27 W	0.00	26 of 55 - Sale <sup>25</sup>
	25.000	88.00	07/16/20	132.64	44.64 W	0.00	27 of 55 - Sale <sup>25</sup>
	75.000	263.98	07/16/20	413.69	149.71 W	0.00	28 of 55 - Sale <sup>25</sup>
	11.000	38.72	07/16/20	56.82	18.10 W	0.00	29 of 55 - Sale <sup>25</sup>
	11.000	38.72	07/16/20	56.93	18.21 W	0.00	30 of 55 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	14.000	49.28	07/16/20	69.24	19.96 W	0.00	31 of 55 - Sale <sup>25</sup>
	64.000	225.27	07/16/20	330.28	105.01 W	0.00	32 of 55 - Sale <sup>25</sup>
	11.000	38.72	07/16/20	57.93	19.21 W	0.00	33 of 55 - Sale <sup>25</sup>
	14.000	49.28	07/16/20	73.65	24.37 W	0.00	34 of 55 - Sale <sup>25</sup>
	25.000	87.99	07/16/20	121.75	33.76 W	0.00	35 of 55 - Sale <sup>25</sup>
	25.000	87.99	07/16/20	123.63	35.64 W	0.00	36 of 55 - Sale <sup>25</sup>
	11.000	38.72	07/16/20	66.29	27.57 W	0.00	37 of 55 - Sale <sup>25</sup>
	11.000	38.72	07/16/20	54.56	15.84 W	0.00	38 of 55 - Sale <sup>25</sup>
	39.000	137.27	07/16/20	235.05	97.78 W	0.00	39 of 55 - Sale <sup>25</sup>
	50.000	175.98	07/16/20	265.02	89.04 W	0.00	40 of 55 - Sale <sup>25</sup>
	89.000	313.26	07/16/20	461.63	148.37 W	0.00	41 of 55 - Sale <sup>25</sup>
	4.000	14.08	07/16/20	21.20	7.12 W	0.00	42 of 55 - Sale <sup>25</sup>
	46.000	161.92	07/16/20	243.82	81.90 W	0.00	43 of 55 - Sale <sup>25</sup>
	50.000	175.99	07/16/20	265.02	89.03 W	0.00	44 of 55 - Sale <sup>25</sup>
	4.000	14.08	07/16/20	21.20	7.12 W	0.00	45 of 55 - Sale <sup>25</sup>
	96.000	337.91	07/16/20	508.84	170.93 W	0.00	46 of 55 - Sale <sup>25</sup>
	50.000	175.99	07/16/20	259.52	83.53 W	0.00	47 of 55 - Sale <sup>25</sup>
	50.000	176.00	07/16/20	261.77	85.77 W	0.00	48 of 55 - Sale <sup>25</sup>
	25.000	88.00	07/16/20	131.01	43.01 W	0.00	49 of 55 - Sale <sup>25</sup>
	26.000	91.52	07/16/20	136.13	44.61 W	0.00	50 of 55 - Sale <sup>25</sup>
	49.000	172.48	07/16/20	256.54	84.06 W	0.00	51 of 55 - Sale <sup>25</sup>
	20.000	70.40	07/16/20	104.80	34.40 W	0.00	52 of 55 - Sale <sup>25</sup>
	30.000	105.59	07/16/20	157.21	51.62 W	0.00	53 of 55 - Sale <sup>25</sup>
	50.000	175.99	07/16/20	262.03	86.04 W	0.00	54 of 55 - Sale <sup>25</sup>
	50.000	175.99	07/16/20	262.51	86.52 W	0.00	55 of 55 - Sale <sup>25</sup>
07/17/20	2,250.000	7,919.61	Various	11,057.38	3,137.77 W	0.00	Total of 55 transactions
	105 transactions for 07/20/20. Total proceeds and cost reported to the IRS.						
	17.000	51.34	07/17/20	75.49	24.15 W	0.00	1 of 105 - Sale <sup>25</sup>
	83.000	250.64	07/17/20	368.55	117.91 W	0.00	2 of 105 - Sale <sup>25</sup>
	4.000	12.08	07/17/20	22.12	10.04 W	0.00	3 of 105 - Sale <sup>25</sup>
	11.000	33.22	07/17/20	66.71	33.49 W	0.00	4 of 105 - Sale <sup>25</sup>
	11.000	33.22	07/17/20	56.79	23.57 W	0.00	5 of 105 - Sale <sup>25</sup>
	17.000	51.34	07/17/20	76.29	24.95 W	0.00	6 of 105 - Sale <sup>25</sup>
	21.000	63.42	07/17/20	116.13	52.71 W	0.00	7 of 105 - Sale <sup>25</sup>

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(continued)

03/15/2021

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BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	25.000	75.49	07/17/20	132.57	57.08 W	0.00	8 of 105 - Sale <sup>25</sup>
	33.000	99.65	07/17/20	148.09	48.44 W	0.00	9 of 105 - Sale <sup>25</sup>
	39.000	117.77	07/17/20	237.09	119.32 W	0.00	10 of 105 - Sale <sup>25</sup>
	50.000	150.99	07/17/20	224.37	73.38 W	0.00	11 of 105 - Sale <sup>25</sup>
	50.000	150.99	07/17/20	230.37	79.38 W	0.00	12 of 105 - Sale <sup>25</sup>
	64.000	193.27	07/17/20	330.09	136.82 W	0.00	13 of 105 - Sale <sup>25</sup>
	75.000	226.48	07/17/20	413.48	187.00 W	0.00	14 of 105 - Sale <sup>25</sup>
	100.000	301.98	07/17/20	460.24	158.26 W	0.00	15 of 105 - Sale <sup>25</sup>
	11.000	33.22	07/17/20	57.93	24.71 W	0.00	16 of 105 - Sale <sup>25</sup>
	14.000	42.28	07/17/20	73.65	31.37 W	0.00	17 of 105 - Sale <sup>25</sup>
	25.000	75.49	07/17/20	121.76	46.27 W	0.00	18 of 105 - Sale <sup>25</sup>
	11.000	33.22	07/17/20	56.93	23.71 W	0.00	19 of 105 - Sale <sup>25</sup>
	14.000	42.28	07/17/20	69.24	26.96 W	0.00	20 of 105 - Sale <sup>25</sup>
	25.000	75.49	07/17/20	123.64	48.15 W	0.00	21 of 105 - Sale <sup>25</sup>
	11.000	33.22	07/17/20	66.29	33.07 W	0.00	22 of 105 - Sale <sup>25</sup>
	89.000	268.76	07/17/20	461.65	192.89 W	0.00	23 of 105 - Sale <sup>25</sup>
	11.000	33.22	07/17/20	54.56	21.34 W	0.00	24 of 105 - Sale <sup>25</sup>
	39.000	117.77	07/17/20	235.06	117.29 W	0.00	25 of 105 - Sale <sup>25</sup>
	50.000	150.99	07/17/20	265.04	114.05 W	0.00	26 of 105 - Sale <sup>25</sup>
	4.000	12.08	07/17/20	21.20	9.12 W	0.00	27 of 105 - Sale <sup>25</sup>
	46.000	138.91	07/17/20	243.82	104.91 W	0.00	28 of 105 - Sale <sup>25</sup>
	50.000	150.99	07/17/20	265.03	114.04 W	0.00	29 of 105 - Sale <sup>25</sup>
	11.000	33.22	07/17/20	64.29	31.07 W	0.00	30 of 105 - Sale <sup>25</sup>
	11.000	33.22	07/17/20	72.65	39.43 W	0.00	31 of 105 - Sale <sup>25</sup>
	14.000	42.28	07/17/20	81.74	39.46 W	0.00	32 of 105 - Sale <sup>25</sup>
	25.000	75.50	07/17/20	136.22	60.72 W	0.00	33 of 105 - Sale <sup>25</sup>
	89.000	268.76	07/17/20	513.11	244.35 W	0.00	34 of 105 - Sale <sup>25</sup>
	4.000	12.08	07/17/20	24.43	12.35 W	0.00	35 of 105 - Sale <sup>25</sup>
	11.000	33.22	07/17/20	73.07	39.85 W	0.00	36 of 105 - Sale <sup>25</sup>
	11.000	33.22	07/17/20	63.15	29.93 W	0.00	37 of 105 - Sale <sup>25</sup>
	11.000	33.22	07/17/20	63.29	30.07 W	0.00	38 of 105 - Sale <sup>25</sup>
	14.000	42.28	07/17/20	77.33	35.05 W	0.00	39 of 105 - Sale <sup>25</sup>
	17.000	51.34	07/17/20	85.32	33.98 W	0.00	40 of 105 - Sale <sup>25</sup>
	17.000	51.34	07/17/20	86.12	34.78 W	0.00	41 of 105 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
21.000		63.42	07/17/20	128.27	64.85 W	0.00	42 of 105 - Sale <sup>25</sup>
25.000		75.50	07/17/20	147.03	71.53 W	0.00	43 of 105 - Sale <sup>25</sup>
25.000		75.49	07/17/20	138.10	62.61 W	0.00	44 of 105 - Sale <sup>25</sup>
33.000		99.65	07/17/20	167.17	67.52 W	0.00	45 of 105 - Sale <sup>25</sup>
39.000		117.77	07/17/20	259.64	141.87 W	0.00	46 of 105 - Sale <sup>25</sup>
50.000		150.99	07/17/20	253.28	102.29 W	0.00	47 of 105 - Sale <sup>25</sup>
50.000		150.99	07/17/20	259.28	108.29 W	0.00	48 of 105 - Sale <sup>25</sup>
64.000		193.27	07/17/20	367.09	173.82 W	0.00	49 of 105 - Sale <sup>25</sup>
75.000		226.48	07/17/20	456.85	230.37 W	0.00	50 of 105 - Sale <sup>25</sup>
83.000		250.64	07/17/20	416.54	165.90 W	0.00	51 of 105 - Sale <sup>25</sup>
100.000		301.98	07/17/20	518.06	216.08 W	0.00	52 of 105 - Sale <sup>25</sup>
4.000		12.08	07/17/20	21.51	9.43 W	0.00	53 of 105 - Sale <sup>25</sup>
20.000		60.40	07/17/20	106.36	45.96 W	0.00	54 of 105 - Sale <sup>25</sup>
25.000		75.49	07/17/20	132.96	57.47 W	0.00	55 of 105 - Sale <sup>25</sup>
26.000		78.51	07/17/20	138.16	59.65 W	0.00	56 of 105 - Sale <sup>25</sup>
30.000		90.59	07/17/20	159.56	68.97 W	0.00	57 of 105 - Sale <sup>25</sup>
49.000		147.97	07/17/20	260.36	112.39 W	0.00	58 of 105 - Sale <sup>25</sup>
50.000		150.99	07/17/20	263.43	112.44 W	0.00	59 of 105 - Sale <sup>25</sup>
50.000		150.99	07/17/20	265.67	114.68 W	0.00	60 of 105 - Sale <sup>25</sup>
50.000		150.99	07/17/20	265.94	114.95 W	0.00	61 of 105 - Sale <sup>25</sup>
8.000		24.16	07/17/20	42.63	18.47 W	0.00	62 of 105 - Sale <sup>25</sup>
96.000		289.90	07/17/20	516.34	226.44 W	0.00	63 of 105 - Sale <sup>25</sup>
42.000		126.84	07/17/20	223.79	96.95 W	0.00	64 of 105 - Sale <sup>25</sup>
11.000		33.45	07/17/20	72.21	38.76 W	0.00	65 of 105 - Sale <sup>25</sup>
14.000		42.57	07/17/20	91.82	49.25 W	0.00	66 of 105 - Sale <sup>25</sup>
25.000		76.02	07/17/20	154.22	78.20 W	0.00	67 of 105 - Sale <sup>25</sup>
4.000		12.16	07/17/20	24.08	11.92 W	0.00	68 of 105 - Sale <sup>25</sup>
11.000		33.45	07/17/20	62.48	29.03 W	0.00	69 of 105 - Sale <sup>25</sup>
39.000		118.59	07/17/20	263.15	144.56 W	0.00	70 of 105 - Sale <sup>25</sup>
46.000		139.87	07/17/20	276.95	137.08 W	0.00	71 of 105 - Sale <sup>25</sup>
50.000		152.04	07/17/20	301.05	149.01 W	0.00	72 of 105 - Sale <sup>25</sup>
50.000		152.04	07/17/20	301.04	149.00 W	0.00	73 of 105 - Sale <sup>25</sup>
50.000		152.04	07/17/20	320.53	168.49 W	0.00	74 of 105 - Sale <sup>25</sup>
11.000		32.56	07/20/20	72.87	40.31 W	0.00	75 of 105 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	39.000	115.43	07/20/20	225.63	110.20 W	0.00	76 of 105 - Sale <sup>25</sup>
	6.000	17.76	07/20/20	30.23	12.47 W	0.00	77 of 105 - Sale <sup>25</sup>
	17.000	50.32	07/20/20	85.66	35.34 W	0.00	78 of 105 - Sale <sup>25</sup>
	24.000	71.03	07/20/20	120.93	49.90 W	0.00	79 of 105 - Sale <sup>25</sup>
	17.000	50.32	07/20/20	86.63	36.31 W	0.00	80 of 105 - Sale <sup>25</sup>
	33.000	97.67	07/20/20	168.17	70.50 W	0.00	81 of 105 - Sale <sup>25</sup>
	53.000	156.87	07/20/20	267.59	110.72 W	0.00	82 of 105 - Sale <sup>25</sup>
	50.000	147.99	07/20/20	254.79	106.80 W	0.00	83 of 105 - Sale <sup>25</sup>
	50.000	147.99	07/20/20	260.79	112.80 W	0.00	84 of 105 - Sale <sup>25</sup>
	100.000	295.98	07/20/20	521.08	225.10 W	0.00	85 of 105 - Sale <sup>25</sup>
	4.000	11.84	07/20/20	24.55	12.71 W	0.00	86 of 105 - Sale <sup>25</sup>
	11.000	32.56	07/20/20	73.40	40.84 W	0.00	87 of 105 - Sale <sup>25</sup>
	21.000	62.16	07/20/20	128.90	66.74 W	0.00	88 of 105 - Sale <sup>25</sup>
	25.000	74.00	07/20/20	147.78	73.78 W	0.00	89 of 105 - Sale <sup>25</sup>
	39.000	115.43	07/20/20	260.82	145.39 W	0.00	90 of 105 - Sale <sup>25</sup>
	25.000	73.99	07/20/20	144.15	70.16 W	0.00	91 of 105 - Sale <sup>25</sup>
	75.000	221.98	07/20/20	459.12	237.14 W	0.00	92 of 105 - Sale <sup>25</sup>
	11.000	32.56	07/20/20	63.48	30.92 W	0.00	93 of 105 - Sale <sup>25</sup>
	11.000	32.56	07/20/20	63.62	31.06 W	0.00	94 of 105 - Sale <sup>25</sup>
	14.000	41.44	07/20/20	77.75	36.31 W	0.00	95 of 105 - Sale <sup>25</sup>
	25.000	73.99	07/20/20	138.86	64.87 W	0.00	96 of 105 - Sale <sup>25</sup>
	39.000	115.43	07/20/20	224.87	109.44 W	0.00	97 of 105 - Sale <sup>25</sup>
	4.000	11.84	07/20/20	21.63	9.79 W	0.00	98 of 105 - Sale <sup>25</sup>
	25.000	74.00	07/20/20	133.72	59.72 W	0.00	99 of 105 - Sale <sup>25</sup>
	26.000	76.95	07/20/20	138.95	62.00 W	0.00	100 of 105 - Sale <sup>25</sup>
	49.000	145.03	07/20/20	261.84	116.81 W	0.00	101 of 105 - Sale <sup>25</sup>
	50.000	147.99	07/20/20	264.94	116.95 W	0.00	102 of 105 - Sale <sup>25</sup>
	50.000	147.99	07/20/20	267.18	119.19 W	0.00	103 of 105 - Sale <sup>25</sup>
	96.000	284.14	07/20/20	519.24	235.10 W	0.00	104 of 105 - Sale <sup>25</sup>
	50.000	151.75	07/20/20	274.70	122.95 W	0.00	105 of 105 - Sale <sup>25</sup>
07/20/20	3,600.000	10,818.35	Various	19,574.32	8,755.97 W	0.00	Total of 105 transactions
	3 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	2.000	5.70	07/20/20	10.44	4.74 W	0.00	1 of 3 - Sale <sup>25</sup>
	18.000	51.30	07/20/20	93.92	42.62 W	0.00	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
07/21/20	30.000	85.50	07/20/20	156.57	71.07 W	0.00	3 of 3 - Sale <sup>25</sup>
	50.000	142.50	Various	260.93	118.43 W	0.00	Total of 3 transactions
	128 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	8.000	20.80	07/21/20	39.99	19.19 W	0.00	1 of 128 - Sale <sup>25</sup>
	41.000	106.59	07/21/20	204.93	98.34 W	0.00	2 of 128 - Sale <sup>25</sup>
	11.000	28.60	07/21/20	68.35	39.75 W	0.00	3 of 128 - Sale <sup>25</sup>
	14.000	36.40	07/21/20	86.91	50.51 W	0.00	4 of 128 - Sale <sup>25</sup>
	25.000	65.00	07/21/20	145.45	80.45 W	0.00	5 of 128 - Sale <sup>25</sup>
	50.000	129.99	07/21/20	302.99	173.00 W	0.00	6 of 128 - Sale <sup>25</sup>
	4.000	10.40	07/21/20	22.68	12.28 W	0.00	7 of 128 - Sale <sup>25</sup>
	11.000	28.60	07/21/20	58.62	30.02 W	0.00	8 of 128 - Sale <sup>25</sup>
	11.000	28.60	07/21/20	69.90	41.30 W	0.00	9 of 128 - Sale <sup>25</sup>
	39.000	101.39	07/21/20	249.47	148.08 W	0.00	10 of 128 - Sale <sup>25</sup>
	39.000	101.39	07/21/20	215.11	113.72 W	0.00	11 of 128 - Sale <sup>25</sup>
	46.000	119.59	07/21/20	260.82	141.23 W	0.00	12 of 128 - Sale <sup>25</sup>
	50.000	129.99	07/21/20	283.51	153.52 W	0.00	13 of 128 - Sale <sup>25</sup>
	50.000	129.99	07/21/20	283.50	153.51 W	0.00	14 of 128 - Sale <sup>25</sup>
	6.000	15.60	07/21/20	28.61	13.01 W	0.00	15 of 128 - Sale <sup>25</sup>
	17.000	44.20	07/21/20	81.07	36.87 W	0.00	16 of 128 - Sale <sup>25</sup>
	24.000	62.39	07/21/20	114.46	52.07 W	0.00	17 of 128 - Sale <sup>25</sup>
	53.000	137.79	07/21/20	253.29	115.50 W	0.00	18 of 128 - Sale <sup>25</sup>
	1.000	2.60	07/21/20	5.00	2.40 W	0.00	19 of 128 - Sale <sup>25</sup>
	2.000	5.22	07/21/20	9.61	4.39 W	0.00	20 of 128 - Sale <sup>25</sup>
	9.000	23.49	07/21/20	43.25	19.76 W	0.00	21 of 128 - Sale <sup>25</sup>
	6.000	15.66	07/21/20	28.84	13.18 W	0.00	22 of 128 - Sale <sup>25</sup>
	19.000	49.59	07/21/20	91.32	41.73 W	0.00	23 of 128 - Sale <sup>25</sup>
	14.000	36.54	07/21/20	67.29	30.75 W	0.00	24 of 128 - Sale <sup>25</sup>
	50.000	130.49	07/21/20	240.30	109.81 W	0.00	25 of 128 - Sale <sup>25</sup>
	50.000	130.49	07/21/20	246.30	115.81 W	0.00	26 of 128 - Sale <sup>25</sup>
	100.000	260.98	07/21/20	492.10	231.12 W	0.00	27 of 128 - Sale <sup>25</sup>
	36.000	93.95	07/21/20	232.49	138.54 W	0.00	28 of 128 - Sale <sup>25</sup>
	4.000	10.44	07/21/20	23.63	13.19 W	0.00	29 of 128 - Sale <sup>25</sup>
	11.000	28.71	07/21/20	70.87	42.16 W	0.00	30 of 128 - Sale <sup>25</sup>
	11.000	28.71	07/21/20	60.95	32.24 W	0.00	31 of 128 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	21.000	54.81	07/21/20	124.07	69.26 W	0.00	32 of 128 - Sale <sup>25</sup>
	25.000	65.24	07/21/20	142.03	76.79 W	0.00	33 of 128 - Sale <sup>25</sup>
	25.000	65.25	07/21/20	138.41	73.16 W	0.00	34 of 128 - Sale <sup>25</sup>
	3.000	7.83	07/21/20	19.37	11.54 W	0.00	35 of 128 - Sale <sup>25</sup>
	39.000	101.78	07/21/20	215.91	114.13 W	0.00	36 of 128 - Sale <sup>25</sup>
	75.000	195.74	07/21/20	441.89	246.15 W	0.00	37 of 128 - Sale <sup>25</sup>
	1.000	2.61	07/21/20	6.01	3.40 W	0.00	38 of 128 - Sale <sup>25</sup>
	11.000	28.71	07/21/20	61.81	33.10 W	0.00	39 of 128 - Sale <sup>25</sup>
	14.000	36.54	07/21/20	75.44	38.90 W	0.00	40 of 128 - Sale <sup>25</sup>
	25.000	65.24	07/21/20	134.74	69.50 W	0.00	41 of 128 - Sale <sup>25</sup>
	2.000	5.22	07/21/20	10.33	5.11 W	0.00	42 of 128 - Sale <sup>25</sup>
	8.000	20.88	07/21/20	41.55	20.67 W	0.00	43 of 128 - Sale <sup>25</sup>
	18.000	46.98	07/21/20	92.93	45.95 W	0.00	44 of 128 - Sale <sup>25</sup>
	30.000	78.29	07/21/20	154.92	76.63 W	0.00	45 of 128 - Sale <sup>25</sup>
	41.000	107.00	07/21/20	212.94	105.94 W	0.00	46 of 128 - Sale <sup>25</sup>
	4.000	10.44	07/21/20	20.97	10.53 W	0.00	47 of 128 - Sale <sup>25</sup>
	94.000	245.32	07/21/20	492.93	247.61 W	0.00	48 of 128 - Sale <sup>25</sup>
	1.000	2.61	07/21/20	5.25	2.64 W	0.00	49 of 128 - Sale <sup>25</sup>
	13.000	33.93	07/21/20	67.33	33.40 W	0.00	50 of 128 - Sale <sup>25</sup>
	50.000	130.50	07/21/20	256.70	126.20 W	0.00	51 of 128 - Sale <sup>25</sup>
	50.000	130.49	07/21/20	258.94	128.45 W	0.00	52 of 128 - Sale <sup>25</sup>
	1.000	2.61	07/21/20	5.24	2.63 W	0.00	53 of 128 - Sale <sup>25</sup>
	25.000	65.25	07/21/20	129.59	64.34 W	0.00	54 of 128 - Sale <sup>25</sup>
	26.000	67.85	07/21/20	134.67	66.82 W	0.00	55 of 128 - Sale <sup>25</sup>
	36.000	93.95	07/21/20	186.44	92.49 W	0.00	56 of 128 - Sale <sup>25</sup>
	50.000	130.49	07/21/20	262.70	132.21 W	0.00	57 of 128 - Sale <sup>25</sup>
	11.000	28.71	07/21/20	71.43	42.72 W	0.00	58 of 128 - Sale <sup>25</sup>
	14.000	36.54	07/21/20	90.83	54.29 W	0.00	59 of 128 - Sale <sup>25</sup>
	24.000	62.64	07/21/20	146.35	83.71 W	0.00	60 of 128 - Sale <sup>25</sup>
	50.000	130.49	07/21/20	317.00	186.51 W	0.00	61 of 128 - Sale <sup>25</sup>
	26.000	67.85	07/21/20	173.60	105.75 W	0.00	62 of 128 - Sale <sup>25</sup>
	4.000	10.44	07/21/20	23.60	13.16 W	0.00	63 of 128 - Sale <sup>25</sup>
	11.000	28.71	07/21/20	61.15	32.44 W	0.00	64 of 128 - Sale <sup>25</sup>
	13.000	33.93	07/21/20	86.15	52.22 W	0.00	65 of 128 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

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BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	46.000	120.05	07/21/20	271.41	151.36 W	0.00	66 of 128 - Sale <sup>25</sup>
	50.000	130.48	07/21/20	295.02	164.54 W	0.00	67 of 128 - Sale <sup>25</sup>
	21.000	54.81	07/21/20	123.90	69.09 W	0.00	68 of 128 - Sale <sup>25</sup>
	26.000	67.87	07/21/20	149.39	81.52 W	0.00	69 of 128 - Sale <sup>25</sup>
	29.000	75.70	07/21/20	171.11	95.41 W	0.00	70 of 128 - Sale <sup>25</sup>
	11.000	28.71	07/21/20	72.54	43.83 W	0.00	71 of 128 - Sale <sup>25</sup>
	13.000	33.94	07/21/20	74.83	40.89 W	0.00	72 of 128 - Sale <sup>25</sup>
	2.000	5.22	07/21/20	10.07	4.85 W	0.00	73 of 128 - Sale <sup>25</sup>
	6.000	15.66	07/21/20	30.05	14.39 W	0.00	74 of 128 - Sale <sup>25</sup>
	6.000	15.66	07/21/20	30.22	14.56 W	0.00	75 of 128 - Sale <sup>25</sup>
	9.000	23.49	07/21/20	45.32	21.83 W	0.00	76 of 128 - Sale <sup>25</sup>
	14.000	36.55	07/21/20	70.51	33.96 W	0.00	77 of 128 - Sale <sup>25</sup>
	17.000	44.38	07/21/20	85.15	40.77 W	0.00	78 of 128 - Sale <sup>25</sup>
	19.000	49.60	07/21/20	95.69	46.09 W	0.00	79 of 128 - Sale <sup>25</sup>
	24.000	62.65	07/21/20	120.23	57.58 W	0.00	80 of 128 - Sale <sup>25</sup>
	53.000	138.35	07/21/20	266.02	127.67 W	0.00	81 of 128 - Sale <sup>25</sup>
	1.000	2.61	07/21/20	5.24	2.63 W	0.00	82 of 128 - Sale <sup>25</sup>
	50.000	132.25	07/21/20	250.31	118.06 W	0.00	83 of 128 - Sale <sup>25</sup>
	1.000	2.99	07/22/20	6.40	3.41 W	0.00	84 of 128 - Sale <sup>25</sup>
	11.000	32.89	07/22/20	75.72	42.83 W	0.00	85 of 128 - Sale <sup>25</sup>
	14.000	41.86	07/22/20	96.29	54.43 W	0.00	86 of 128 - Sale <sup>25</sup>
	24.000	71.76	07/22/20	155.71	83.95 W	0.00	87 of 128 - Sale <sup>25</sup>
	40.000	119.59	07/22/20	269.19	149.60 W	0.00	88 of 128 - Sale <sup>25</sup>
	6.000	17.94	07/22/20	40.38	22.44 W	0.00	89 of 128 - Sale <sup>25</sup>
	4.000	11.96	07/22/20	26.92	14.96 W	0.00	90 of 128 - Sale <sup>25</sup>
	1.000	2.99	07/22/20	7.07	4.08 W	0.00	91 of 128 - Sale <sup>25</sup>
	89.000	266.10	07/22/20	472.68	206.58 W	0.00	92 of 128 - Sale <sup>25</sup>
	18.000	53.82	07/22/20	95.69	41.87 W	0.00	93 of 128 - Sale <sup>25</sup>
	11.000	32.89	07/22/20	58.42	25.53 W	0.00	94 of 128 - Sale <sup>25</sup>
	3.000	8.94	07/22/20	20.54	11.60 W	0.00	95 of 128 - Sale <sup>25</sup>
	4.000	11.92	07/22/20	25.19	13.27 W	0.00	96 of 128 - Sale <sup>25</sup>
	11.000	32.78	07/22/20	75.16	42.38 W	0.00	97 of 128 - Sale <sup>25</sup>
	10.000	29.80	07/22/20	59.31	29.51 W	0.00	98 of 128 - Sale <sup>25</sup>
	21.000	62.58	07/22/20	132.26	69.68 W	0.00	99 of 128 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	25.000	74.49	07/22/20	151.79	77.30 W	0.00	100 of 128 - Sale <sup>25</sup>
	25.000	74.50	07/22/20	148.15	73.65 W	0.00	101 of 128 - Sale <sup>25</sup>
	36.000	107.27	07/22/20	246.53	139.26 W	0.00	102 of 128 - Sale <sup>25</sup>
	39.000	116.21	07/22/20	231.12	114.91 W	0.00	103 of 128 - Sale <sup>25</sup>
	32.000	95.35	07/22/20	170.11	74.76 W	0.00	104 of 128 - Sale <sup>25</sup>
	75.000	223.49	07/22/20	471.13	247.64 W	0.00	105 of 128 - Sale <sup>25</sup>
	1.000	2.94	07/22/20	5.93	2.99 W	0.00	106 of 128 - Sale <sup>25</sup>
	11.000	32.34	07/22/20	66.10	33.76 W	0.00	107 of 128 - Sale <sup>25</sup>
	14.000	41.16	07/22/20	80.90	39.74 W	0.00	108 of 128 - Sale <sup>25</sup>
	25.000	73.50	07/22/20	144.49	70.99 W	0.00	109 of 128 - Sale <sup>25</sup>
	2.000	5.88	07/22/20	11.11	5.23 W	0.00	110 of 128 - Sale <sup>25</sup>
	18.000	52.92	07/22/20	99.95	47.03 W	0.00	111 of 128 - Sale <sup>25</sup>
	29.000	85.25	07/22/20	161.07	75.82 W	0.00	112 of 128 - Sale <sup>25</sup>
	8.000	23.52	07/22/20	44.67	21.15 W	0.00	113 of 128 - Sale <sup>25</sup>
	1.000	2.94	07/22/20	5.55	2.61 W	0.00	114 of 128 - Sale <sup>25</sup>
	41.000	120.53	07/22/20	228.93	108.40 W	0.00	115 of 128 - Sale <sup>25</sup>
	4.000	11.76	07/22/20	22.53	10.77 W	0.00	116 of 128 - Sale <sup>25</sup>
	23.000	67.62	07/22/20	129.58	61.96 W	0.00	117 of 128 - Sale <sup>25</sup>
	23.000	67.62	07/22/20	129.81	62.19 W	0.00	118 of 128 - Sale <sup>25</sup>
	1.000	2.93	07/22/20	5.64	2.71 W	0.00	119 of 128 - Sale <sup>25</sup>
	1.000	2.93	07/22/20	5.63	2.70 W	0.00	120 of 128 - Sale <sup>25</sup>
	13.000	38.09	07/22/20	72.40	34.31 W	0.00	121 of 128 - Sale <sup>25</sup>
	25.000	73.25	07/22/20	139.34	66.09 W	0.00	122 of 128 - Sale <sup>25</sup>
	26.000	76.17	07/22/20	144.81	68.64 W	0.00	123 of 128 - Sale <sup>25</sup>
	36.000	105.47	07/22/20	200.48	95.01 W	0.00	124 of 128 - Sale <sup>25</sup>
	50.000	146.49	07/22/20	276.19	129.70 W	0.00	125 of 128 - Sale <sup>25</sup>
	50.000	146.49	07/22/20	278.44	131.95 W	0.00	126 of 128 - Sale <sup>25</sup>
	27.000	79.10	07/22/20	152.39	73.29 W	0.00	127 of 128 - Sale <sup>25</sup>
	71.000	208.02	07/22/20	400.01	191.99 W	0.00	128 of 128 - Sale <sup>25</sup>
07/22/20	3,050.000	8,306.07	Various	17,053.55	8,747.48 W	0.00	Total of 128 transactions
	64 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	1.000	2.97	07/23/20	6.21	3.24 W	0.00	1 of 64 - Sale <sup>25</sup>
	11.000	32.72	07/23/20	64.62	31.90 W	0.00	2 of 64 - Sale <sup>25</sup>
	13.000	38.67	07/23/20	90.24	51.57 W	0.00	3 of 64 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	25.000	74.37	07/23/20	174.81	100.44 W	0.00	4 of 64 - Sale <sup>25</sup>
	4.000	11.90	07/23/20	25.08	13.18 W	0.00	5 of 64 - Sale <sup>25</sup>
	21.000	62.47	07/23/20	131.67	69.20 W	0.00	6 of 64 - Sale <sup>25</sup>
	1.000	2.97	07/23/20	6.12	3.15 W	0.00	7 of 64 - Sale <sup>25</sup>
	29.000	86.28	07/23/20	181.83	95.55 W	0.00	8 of 64 - Sale <sup>25</sup>
	46.000	136.84	07/23/20	288.43	151.59 W	0.00	9 of 64 - Sale <sup>25</sup>
	49.000	145.77	07/23/20	307.27	161.50 W	0.00	10 of 64 - Sale <sup>25</sup>
	11.000	33.33	07/23/20	76.61	43.28 W	0.00	11 of 64 - Sale <sup>25</sup>
	13.000	39.39	07/23/20	79.63	40.24 W	0.00	12 of 64 - Sale <sup>25</sup>
	25.000	75.75	07/23/20	152.87	77.12 W	0.00	13 of 64 - Sale <sup>25</sup>
	1.000	3.03	07/23/20	5.38	2.35 W	0.00	14 of 64 - Sale <sup>25</sup>
	1.000	3.03	07/23/20	6.47	3.44 W	0.00	15 of 64 - Sale <sup>25</sup>
	4.000	12.12	07/23/20	27.18	15.06 W	0.00	16 of 64 - Sale <sup>25</sup>
	6.000	18.18	07/23/20	40.77	22.59 W	0.00	17 of 64 - Sale <sup>25</sup>
	11.000	33.33	07/23/20	76.43	43.10 W	0.00	18 of 64 - Sale <sup>25</sup>
	14.000	42.42	07/23/20	97.20	54.78 W	0.00	19 of 64 - Sale <sup>25</sup>
	24.000	72.72	07/23/20	157.27	84.55 W	0.00	20 of 64 - Sale <sup>25</sup>
	40.000	121.19	07/23/20	271.80	150.61 W	0.00	21 of 64 - Sale <sup>25</sup>
	1.000	3.03	07/23/20	7.14	4.11 W	0.00	22 of 64 - Sale <sup>25</sup>
	2.000	6.06	07/23/20	10.96	4.90 W	0.00	23 of 64 - Sale <sup>25</sup>
	6.000	18.18	07/23/20	32.72	14.54 W	0.00	24 of 64 - Sale <sup>25</sup>
	6.000	18.18	07/23/20	32.89	14.71 W	0.00	25 of 64 - Sale <sup>25</sup>
	9.000	27.27	07/23/20	49.32	22.05 W	0.00	26 of 64 - Sale <sup>25</sup>
	14.000	42.42	07/23/20	76.73	34.31 W	0.00	27 of 64 - Sale <sup>25</sup>
	16.000	48.48	07/23/20	87.25	38.77 W	0.00	28 of 64 - Sale <sup>25</sup>
	19.000	57.57	07/23/20	104.14	46.57 W	0.00	29 of 64 - Sale <sup>25</sup>
	24.000	72.71	07/23/20	130.90	58.19 W	0.00	30 of 64 - Sale <sup>25</sup>
	50.000	151.49	07/23/20	270.81	119.32 W	0.00	31 of 64 - Sale <sup>25</sup>
	53.000	160.58	07/23/20	289.59	129.01 W	0.00	32 of 64 - Sale <sup>25</sup>
	3.000	9.09	07/23/20	20.76	11.67 W	0.00	33 of 64 - Sale <sup>25</sup>
	10.000	30.30	07/23/20	69.08	38.78 W	0.00	34 of 64 - Sale <sup>25</sup>
	11.000	33.33	07/23/20	59.14	25.81 W	0.00	35 of 64 - Sale <sup>25</sup>
	18.000	54.53	07/23/20	96.86	42.33 W	0.00	36 of 64 - Sale <sup>25</sup>
	32.000	96.95	07/23/20	172.52	75.57 W	0.00	37 of 64 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	36.000	109.07	07/23/20	249.24	140.17 W	0.00	38 of 64 - Sale <sup>25</sup>
	89.000	269.65	07/23/20	478.47	208.82 W	0.00	39 of 64 - Sale <sup>25</sup>
	1.000	3.03	07/23/20	5.68	2.65 W	0.00	40 of 64 - Sale <sup>25</sup>
	1.000	3.03	07/23/20	6.91	3.88 W	0.00	41 of 64 - Sale <sup>25</sup>
	4.000	12.12	07/23/20	25.51	13.39 W	0.00	42 of 64 - Sale <sup>25</sup>
	21.000	63.62	07/23/20	133.94	70.32 W	0.00	43 of 64 - Sale <sup>25</sup>
	24.000	72.72	07/23/20	144.14	71.42 W	0.00	44 of 64 - Sale <sup>25</sup>
	25.000	75.74	07/23/20	153.80	78.06 W	0.00	45 of 64 - Sale <sup>25</sup>
	75.000	227.23	07/23/20	477.14	249.91 W	0.00	46 of 64 - Sale <sup>25</sup>
	1.000	3.03	07/23/20	6.01	2.98 W	0.00	47 of 64 - Sale <sup>25</sup>
	1.000	3.03	07/23/20	6.05	3.02 W	0.00	48 of 64 - Sale <sup>25</sup>
	10.000	30.30	07/23/20	60.11	29.81 W	0.00	49 of 64 - Sale <sup>25</sup>
	11.000	33.33	07/23/20	67.42	34.09 W	0.00	50 of 64 - Sale <sup>25</sup>
	14.000	42.42	07/23/20	82.58	40.16 W	0.00	51 of 64 - Sale <sup>25</sup>
	25.000	75.74	07/23/20	147.49	71.75 W	0.00	52 of 64 - Sale <sup>25</sup>
	39.000	118.16	07/23/20	234.25	116.09 W	0.00	53 of 64 - Sale <sup>25</sup>
	1.000	3.03	07/23/20	5.67	2.64 W	0.00	54 of 64 - Sale <sup>25</sup>
	2.000	6.06	07/23/20	11.35	5.29 W	0.00	55 of 64 - Sale <sup>25</sup>
	8.000	24.24	07/23/20	45.63	21.39 W	0.00	56 of 64 - Sale <sup>25</sup>
	18.000	54.54	07/23/20	102.11	47.57 W	0.00	57 of 64 - Sale <sup>25</sup>
	29.000	87.86	07/23/20	164.56	76.70 W	0.00	58 of 64 - Sale <sup>25</sup>
	41.000	124.22	07/23/20	233.86	109.64 W	0.00	59 of 64 - Sale <sup>25</sup>
	1.000	3.03	07/23/20	5.77	2.74 W	0.00	60 of 64 - Sale <sup>25</sup>
	1.000	3.03	07/23/20	5.76	2.73 W	0.00	61 of 64 - Sale <sup>25</sup>
	4.000	12.12	07/23/20	23.01	10.89 W	0.00	62 of 64 - Sale <sup>25</sup>
	23.000	69.68	07/23/20	132.34	62.66 W	0.00	63 of 64 - Sale <sup>25</sup>
	71.000	215.11	07/23/20	409.25	194.14 W	0.00	64 of 64 - Sale <sup>25</sup>
07/23/20	1,200.000	3,624.76	Various	7,196.75	3,571.99 W	0.00	Total of 64 transactions
65 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	13.000	35.49	07/27/20	70.32	34.83 W	0.00	1 of 65 - Sale <sup>25</sup>
	15.000	40.95	07/27/20	81.20	40.25 W	0.00	2 of 65 - Sale <sup>25</sup>
	26.000	70.97	07/27/20	140.66	69.69 W	0.00	3 of 65 - Sale <sup>25</sup>
	36.000	98.27	07/27/20	194.73	96.46 W	0.00	4 of 65 - Sale <sup>25</sup>
	50.000	136.49	07/27/20	268.20	131.71 W	0.00	5 of 65 - Sale <sup>25</sup>

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Account 527125207

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(continued)

03/15/2021

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BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	50.000	136.49	07/27/20	270.45	133.96 W	0.00	6 of 65 - Sale <sup>25</sup>
	10.000	27.30	07/27/20	67.98	40.68 W	0.00	7 of 65 - Sale <sup>25</sup>
	1.000	2.73	07/27/20	6.02	3.29 W	0.00	8 of 65 - Sale <sup>25</sup>
	4.000	10.92	07/27/20	24.30	13.38 W	0.00	9 of 65 - Sale <sup>25</sup>
	11.000	30.03	07/27/20	62.48	32.45 W	0.00	10 of 65 - Sale <sup>25</sup>
	13.000	35.49	07/27/20	87.71	52.22 W	0.00	11 of 65 - Sale <sup>25</sup>
	15.000	40.95	07/27/20	101.96	61.01 W	0.00	12 of 65 - Sale <sup>25</sup>
	37.000	101.00	07/27/20	224.79	123.79 W	0.00	13 of 65 - Sale <sup>25</sup>
	49.000	133.76	07/27/20	297.72	163.96 W	0.00	14 of 65 - Sale <sup>25</sup>
	10.000	27.30	07/27/20	54.24	26.94 W	0.00	15 of 65 - Sale <sup>25</sup>
	23.000	62.78	07/27/20	126.13	63.35 W	0.00	16 of 65 - Sale <sup>25</sup>
	27.000	73.70	07/27/20	148.35	74.65 W	0.00	17 of 65 - Sale <sup>25</sup>
	1.000	2.73	07/27/20	6.22	3.49 W	0.00	18 of 65 - Sale <sup>25</sup>
	9.000	24.57	07/27/20	56.73	32.16 W	0.00	19 of 65 - Sale <sup>25</sup>
	4.000	10.92	07/27/20	26.18	15.26 W	0.00	20 of 65 - Sale <sup>25</sup>
	6.000	16.38	07/27/20	39.27	22.89 W	0.00	21 of 65 - Sale <sup>25</sup>
	11.000	30.03	07/27/20	73.68	43.65 W	0.00	22 of 65 - Sale <sup>25</sup>
	14.000	38.22	07/27/20	93.70	55.48 W	0.00	23 of 65 - Sale <sup>25</sup>
	15.000	40.95	07/27/20	94.54	53.59 W	0.00	24 of 65 - Sale <sup>25</sup>
	40.000	109.19	07/27/20	261.81	152.62 W	0.00	25 of 65 - Sale <sup>25</sup>
	1.000	2.73	07/27/20	5.93	3.20 W	0.00	26 of 65 - Sale <sup>25</sup>
	1.000	2.73	07/27/20	6.89	4.16 W	0.00	27 of 65 - Sale <sup>25</sup>
	9.000	24.57	07/27/20	54.68	30.11 W	0.00	28 of 65 - Sale <sup>25</sup>
	11.000	30.03	07/27/20	73.86	43.83 W	0.00	29 of 65 - Sale <sup>25</sup>
	13.000	35.48	07/27/20	76.38	40.90 W	0.00	30 of 65 - Sale <sup>25</sup>
	21.000	57.32	07/27/20	127.58	70.26 W	0.00	31 of 65 - Sale <sup>25</sup>
	25.000	68.25	07/27/20	146.62	78.37 W	0.00	32 of 65 - Sale <sup>25</sup>
	29.000	79.16	07/27/20	176.17	97.01 W	0.00	33 of 65 - Sale <sup>25</sup>
	1.000	2.73	07/27/20	5.13	2.40 W	0.00	34 of 65 - Sale <sup>25</sup>
	6.000	16.38	07/27/20	31.22	14.84 W	0.00	35 of 65 - Sale <sup>25</sup>
	16.000	43.68	07/27/20	83.25	39.57 W	0.00	36 of 65 - Sale <sup>25</sup>
	24.000	65.51	07/27/20	124.91	59.40 W	0.00	37 of 65 - Sale <sup>25</sup>
	2.000	5.46	07/27/20	10.46	5.00 W	0.00	38 of 65 - Sale <sup>25</sup>
	6.000	16.38	07/27/20	31.39	15.01 W	0.00	39 of 65 - Sale <sup>25</sup>

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(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	9.000	24.57	07/27/20	47.07	22.50 W	0.00	40 of 65 - Sale <sup>25</sup>
	14.000	38.22	07/27/20	73.23	35.01 W	0.00	41 of 65 - Sale <sup>25</sup>
	19.000	51.87	07/27/20	99.39	47.52 W	0.00	42 of 65 - Sale <sup>25</sup>
	50.000	136.48	07/27/20	258.32	121.84 W	0.00	43 of 65 - Sale <sup>25</sup>
	53.000	144.68	07/27/20	276.35	131.67 W	0.00	44 of 65 - Sale <sup>25</sup>
	3.000	8.19	07/27/20	20.01	11.82 W	0.00	45 of 65 - Sale <sup>25</sup>
	10.000	27.31	07/27/20	66.58	39.27 W	0.00	46 of 65 - Sale <sup>25</sup>
	11.000	30.04	07/27/20	56.39	26.35 W	0.00	47 of 65 - Sale <sup>25</sup>
	18.000	49.15	07/27/20	92.37	43.22 W	0.00	48 of 65 - Sale <sup>25</sup>
	32.000	87.39	07/27/20	164.53	77.14 W	0.00	49 of 65 - Sale <sup>25</sup>
	36.000	98.31	07/27/20	240.25	141.94 W	0.00	50 of 65 - Sale <sup>25</sup>
	89.000	243.04	07/27/20	456.24	213.20 W	0.00	51 of 65 - Sale <sup>25</sup>
	1.000	2.73	07/27/20	5.43	2.70 W	0.00	52 of 65 - Sale <sup>25</sup>
	1.000	2.75	07/27/20	6.65	3.90 W	0.00	53 of 65 - Sale <sup>25</sup>
	1.000	2.75	07/27/20	5.75	3.00 W	0.00	54 of 65 - Sale <sup>25</sup>
	4.000	11.00	07/27/20	24.47	13.47 W	0.00	55 of 65 - Sale <sup>25</sup>
	10.000	27.50	07/27/20	57.51	30.01 W	0.00	56 of 65 - Sale <sup>25</sup>
	21.000	57.75	07/27/20	128.49	70.74 W	0.00	57 of 65 - Sale <sup>25</sup>
	24.000	66.00	07/27/20	137.90	71.90 W	0.00	58 of 65 - Sale <sup>25</sup>
	25.000	68.74	07/27/20	147.31	78.57 W	0.00	59 of 65 - Sale <sup>25</sup>
	39.000	107.24	07/27/20	224.12	116.88 W	0.00	60 of 65 - Sale <sup>25</sup>
	75.000	206.23	07/27/20	457.65	251.42 W	0.00	61 of 65 - Sale <sup>25</sup>
	1.000	2.75	07/27/20	5.79	3.04 W	0.00	62 of 65 - Sale <sup>25</sup>
	11.000	30.26	07/27/20	64.56	34.30 W	0.00	63 of 65 - Sale <sup>25</sup>
	14.000	38.51	07/27/20	78.94	40.43 W	0.00	64 of 65 - Sale <sup>25</sup>
	24.000	66.03	07/27/20	135.35	69.32 W	0.00	65 of 65 - Sale <sup>25</sup>
07/27/20	1,250.000	3,417.51	Various	7,164.49	3,746.98 W	0.00	Total of 65 transactions
	8 transactions for 07/28/20. Total proceeds and cost reported to the IRS.						
	1.000	2.45	07/28/20	5.72	3.27 W	0.00	1 of 8 - Sale <sup>25</sup>
	1.000	2.45	07/28/20	5.49	3.04 W	0.00	2 of 8 - Sale <sup>25</sup>
	2.000	4.90	07/28/20	10.99	6.09 W	0.00	3 of 8 - Sale <sup>25</sup>
	8.000	19.60	07/28/20	44.19	24.59 W	0.00	4 of 8 - Sale <sup>25</sup>
	18.000	44.10	07/28/20	98.87	54.77 W	0.00	5 of 8 - Sale <sup>25</sup>
	29.000	71.05	07/28/20	159.34	88.29 W	0.00	6 of 8 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	39.000	95.54	07/28/20	215.43	119.89 W	0.00	7 of 8 - Sale <sup>25</sup>
	2.000	4.90	07/28/20	11.05	6.15 W	0.00	8 of 8 - Sale <sup>25</sup>
07/28/20	100.000	244.99	Various	551.08	306.09 W	0.00	Total of 8 transactions
	10 transactions for 07/29/20. Total proceeds and cost reported to the IRS.						
	1.000	2.06	07/29/20	4.82	2.76 W	0.00	1 of 10 - Sale <sup>25</sup>
	1.000	2.06	07/29/20	4.82	2.76 W	0.00	2 of 10 - Sale <sup>25</sup>
	1.000	2.06	07/29/20	4.76	2.70 W	0.00	3 of 10 - Sale <sup>25</sup>
	4.000	8.26	07/29/20	19.23	10.97 W	0.00	4 of 10 - Sale <sup>25</sup>
	13.000	26.84	07/29/20	61.94	35.10 W	0.00	5 of 10 - Sale <sup>25</sup>
	23.000	47.49	07/29/20	110.61	63.12 W	0.00	6 of 10 - Sale <sup>25</sup>
	36.000	74.34	07/29/20	171.52	97.18 W	0.00	7 of 10 - Sale <sup>25</sup>
	50.000	103.24	07/29/20	235.96	132.72 W	0.00	8 of 10 - Sale <sup>25</sup>
	50.000	103.25	07/29/20	238.21	134.96 W	0.00	9 of 10 - Sale <sup>25</sup>
	71.000	146.60	07/29/20	342.18	195.58 W	0.00	10 of 10 - Sale <sup>25</sup>
07/29/20	250.000	516.20	Various	1,194.05	677.85 W	0.00	Total of 10 transactions
	34 transactions for 07/31/20. Total proceeds and cost reported to the IRS.						
	1.000	2.20	07/31/20	5.68	3.48 W	0.00	1 of 34 - Sale <sup>25</sup>
	4.000	8.80	07/31/20	24.02	15.22 W	0.00	2 of 34 - Sale <sup>25</sup>
	6.000	13.20	07/31/20	36.03	22.83 W	0.00	3 of 34 - Sale <sup>25</sup>
	9.000	19.80	07/31/20	51.87	32.07 W	0.00	4 of 34 - Sale <sup>25</sup>
	11.000	24.20	07/31/20	67.74	43.54 W	0.00	5 of 34 - Sale <sup>25</sup>
	14.000	30.80	07/31/20	86.14	55.34 W	0.00	6 of 34 - Sale <sup>25</sup>
	15.000	33.00	07/31/20	86.44	53.44 W	0.00	7 of 34 - Sale <sup>25</sup>
	40.000	87.99	07/31/20	240.22	152.23 W	0.00	8 of 34 - Sale <sup>25</sup>
	1.000	2.20	07/31/20	5.48	3.28 W	0.00	9 of 34 - Sale <sup>25</sup>
	1.000	2.20	07/31/20	5.39	3.19 W	0.00	10 of 34 - Sale <sup>25</sup>
	1.000	2.20	07/31/20	6.35	4.15 W	0.00	11 of 34 - Sale <sup>25</sup>
	4.000	8.80	07/31/20	22.14	13.34 W	0.00	12 of 34 - Sale <sup>25</sup>
	9.000	19.80	07/31/20	49.82	30.02 W	0.00	13 of 34 - Sale <sup>25</sup>
	10.000	22.00	07/31/20	62.58	40.58 W	0.00	14 of 34 - Sale <sup>25</sup>
	11.000	24.20	07/31/20	56.54	32.34 W	0.00	15 of 34 - Sale <sup>25</sup>
	11.000	24.20	07/31/20	67.92	43.72 W	0.00	16 of 34 - Sale <sup>25</sup>
	13.000	28.60	07/31/20	80.69	52.09 W	0.00	17 of 34 - Sale <sup>25</sup>
	13.000	28.60	07/31/20	69.37	40.77 W	0.00	18 of 34 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	15.000	33.00	07/31/20	93.86	60.86 W	0.00	19 of 34 - Sale <sup>25</sup>
	21.000	46.19	07/31/20	116.25	70.06 W	0.00	20 of 34 - Sale <sup>25</sup>
	25.000	55.00	07/31/20	133.12	78.12 W	0.00	21 of 34 - Sale <sup>25</sup>
	29.000	63.79	07/31/20	160.52	96.73 W	0.00	22 of 34 - Sale <sup>25</sup>
	37.000	81.39	07/31/20	204.82	123.43 W	0.00	23 of 34 - Sale <sup>25</sup>
	49.000	107.79	07/31/20	271.27	163.48 W	0.00	24 of 34 - Sale <sup>25</sup>
	1.000	2.20	07/31/20	4.59	2.39 W	0.00	25 of 34 - Sale <sup>25</sup>
	3.000	6.60	07/31/20	14.02	7.42 W	0.00	26 of 34 - Sale <sup>25</sup>
	6.000	13.20	07/31/20	27.98	14.78 W	0.00	27 of 34 - Sale <sup>25</sup>
	16.000	35.20	07/31/20	74.61	39.41 W	0.00	28 of 34 - Sale <sup>25</sup>
	24.000	52.79	07/31/20	111.96	59.17 W	0.00	29 of 34 - Sale <sup>25</sup>
	10.000	22.00	07/31/20	48.84	26.84 W	0.00	30 of 34 - Sale <sup>25</sup>
	15.000	33.00	07/31/20	73.10	40.10 W	0.00	31 of 34 - Sale <sup>25</sup>
	23.000	50.59	07/31/20	113.72	63.13 W	0.00	32 of 34 - Sale <sup>25</sup>
	25.000	54.99	07/31/20	121.76	66.77 W	0.00	33 of 34 - Sale <sup>25</sup>
	27.000	59.39	07/31/20	133.78	74.39 W	0.00	34 of 34 - Sale <sup>25</sup>
07/31/20	500.000	1,099.91	Various	2,728.62	1,628.71 W	0.00	Total of 34 transactions
	22 transactions for 08/03/20. Total proceeds and cost reported to the IRS.						
	10.000	21.25	07/31/20	46.64	25.39 W	0.00	1 of 22 - Sale <sup>25</sup>
	2.000	4.25	08/03/20	9.35	5.10 W	0.00	2 of 22 - Sale <sup>25</sup>
	6.000	12.75	08/03/20	28.06	15.31 W	0.00	3 of 22 - Sale <sup>25</sup>
	9.000	19.12	08/03/20	42.08	22.96 W	0.00	4 of 22 - Sale <sup>25</sup>
	14.000	29.75	08/03/20	65.46	35.71 W	0.00	5 of 22 - Sale <sup>25</sup>
	19.000	40.37	08/03/20	88.84	48.47 W	0.00	6 of 22 - Sale <sup>25</sup>
	40.000	84.99	08/03/20	186.38	101.39 W	0.00	7 of 22 - Sale <sup>25</sup>
	50.000	106.24	08/03/20	229.84	123.60 W	0.00	8 of 22 - Sale <sup>25</sup>
	1.000	2.12	08/03/20	6.06	3.94 W	0.00	9 of 22 - Sale <sup>25</sup>
	3.000	6.37	08/03/20	18.30	11.93 W	0.00	10 of 22 - Sale <sup>25</sup>
	4.000	8.50	08/03/20	22.11	13.61 W	0.00	11 of 22 - Sale <sup>25</sup>
	10.000	21.25	08/03/20	60.87	39.62 W	0.00	12 of 22 - Sale <sup>25</sup>
	11.000	23.37	08/03/20	50.11	26.74 W	0.00	13 of 22 - Sale <sup>25</sup>
	18.000	38.25	08/03/20	82.10	43.85 W	0.00	14 of 22 - Sale <sup>25</sup>
	21.000	44.62	08/03/20	116.10	71.48 W	0.00	15 of 22 - Sale <sup>25</sup>
	24.000	51.00	08/03/20	123.74	72.74 W	0.00	16 of 22 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	25.000	53.13	08/03/20	132.57	79.44 W	0.00	17 of 22 - Sale <sup>25</sup>
	32.000	67.99	08/03/20	146.26	78.27 W	0.00	18 of 22 - Sale <sup>25</sup>
	36.000	76.49	08/03/20	219.70	143.21 W	0.00	19 of 22 - Sale <sup>25</sup>
	75.000	159.37	08/03/20	413.42	254.05 W	0.00	20 of 22 - Sale <sup>25</sup>
	89.000	189.11	08/03/20	405.44	216.33 W	0.00	21 of 22 - Sale <sup>25</sup>
	1.000	2.12	08/03/20	4.86	2.74 W	0.00	22 of 22 - Sale <sup>25</sup>
08/03/20	500.000	1,062.41	Various	2,498.29	1,435.88 W	0.00	Total of 22 transactions
	47 transactions for 08/04/20. Total proceeds and cost reported to the IRS.						
	71.000	157.61	08/04/20	352.84	...	-195.23	1 of 47 - Sale <sup>25</sup>
	49.000	108.77	08/04/20	274.96	...	-166.19	2 of 47 - Sale <sup>25</sup>
	50.000	110.99	08/04/20	245.71	...	-134.72	3 of 47 - Sale <sup>25</sup>
	50.000	110.99	08/04/20	243.47	...	-132.48	4 of 47 - Sale <sup>25</sup>
	50.000	110.99	08/04/20	237.35	...	-126.36	5 of 47 - Sale <sup>25</sup>
	37.000	82.13	08/04/20	207.60	...	-125.47	6 of 47 - Sale <sup>25</sup>
	40.000	88.79	08/04/20	192.39	...	-103.60	7 of 47 - Sale <sup>25</sup>
	29.000	64.37	08/04/20	162.70	...	-98.33	8 of 47 - Sale <sup>25</sup>
	36.000	79.91	08/04/20	176.92	...	-97.01	9 of 47 - Sale <sup>25</sup>
	25.000	55.50	08/04/20	152.02	...	-96.52	10 of 47 - Sale <sup>25</sup>
	25.000	55.49	08/04/20	135.00	...	-79.51	11 of 47 - Sale <sup>25</sup>
	27.000	59.93	08/04/20	135.82	...	-75.89	12 of 47 - Sale <sup>25</sup>
	21.000	46.61	08/04/20	117.83	...	-71.22	13 of 47 - Sale <sup>25</sup>
	25.000	55.49	08/04/20	123.65	...	-68.16	14 of 47 - Sale <sup>25</sup>
	23.000	51.05	08/04/20	115.45	...	-64.40	15 of 47 - Sale <sup>25</sup>
	25.000	55.49	08/04/20	117.65	...	-62.16	16 of 47 - Sale <sup>25</sup>
	15.000	33.30	08/04/20	94.99	...	-61.69	17 of 47 - Sale <sup>25</sup>
	24.000	53.27	08/04/20	113.77	...	-60.50	18 of 47 - Sale <sup>25</sup>
	15.000	33.30	08/04/20	91.22	...	-57.92	19 of 47 - Sale <sup>25</sup>
	13.000	28.86	08/04/20	81.66	...	-52.80	20 of 47 - Sale <sup>25</sup>
	19.000	42.18	08/04/20	91.70	...	-49.52	21 of 47 - Sale <sup>25</sup>
	11.000	24.42	08/04/20	68.75	...	-44.33	22 of 47 - Sale <sup>25</sup>
	13.000	28.86	08/04/20	70.34	...	-41.48	23 of 47 - Sale <sup>25</sup>
	10.000	22.20	08/04/20	63.33	...	-41.13	24 of 47 - Sale <sup>25</sup>
	15.000	33.30	08/04/20	74.22	...	-40.92	25 of 47 - Sale <sup>25</sup>
	16.000	35.52	08/04/20	75.81	...	-40.29	26 of 47 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	14.000	31.08	08/04/20	67.56	...	-36.48	27 of 47 - Sale <sup>25</sup>
	13.000	28.86	08/04/20	63.89	...	-35.03	28 of 47 - Sale <sup>25</sup>
	11.000	24.42	08/04/20	57.37	...	-32.95	29 of 47 - Sale <sup>25</sup>
	9.000	19.98	08/04/20	50.50	...	-30.52	30 of 47 - Sale <sup>25</sup>
	10.000	22.20	08/04/20	49.59	...	-27.39	31 of 47 - Sale <sup>25</sup>
	10.000	22.20	08/04/20	48.14	...	-25.94	32 of 47 - Sale <sup>25</sup>
	9.000	19.98	08/04/20	43.43	...	-23.45	33 of 47 - Sale <sup>25</sup>
	6.000	13.32	08/04/20	36.48	...	-23.16	34 of 47 - Sale <sup>25</sup>
	6.000	13.32	08/04/20	28.96	...	-15.64	35 of 47 - Sale <sup>25</sup>
	4.000	8.88	08/04/20	24.32	...	-15.44	36 of 47 - Sale <sup>25</sup>
	6.000	13.32	08/04/20	28.43	...	-15.11	37 of 47 - Sale <sup>25</sup>
	4.000	8.88	08/04/20	22.44	...	-13.56	38 of 47 - Sale <sup>25</sup>
	3.000	6.66	08/04/20	14.24	...	-7.58	39 of 47 - Sale <sup>25</sup>
	2.000	4.44	08/04/20	9.65	...	-5.21	40 of 47 - Sale <sup>25</sup>
	1.000	2.22	08/04/20	6.42	...	-4.20	41 of 47 - Sale <sup>25</sup>
	1.000	2.22	08/04/20	5.55	...	-3.33	42 of 47 - Sale <sup>25</sup>
	1.000	2.22	08/04/20	5.47	...	-3.25	43 of 47 - Sale <sup>25</sup>
	1.000	2.22	08/04/20	4.98	...	-2.76	44 of 47 - Sale <sup>25</sup>
	1.000	2.22	08/04/20	4.97	...	-2.75	45 of 47 - Sale <sup>25</sup>
	1.000	2.22	08/04/20	4.92	...	-2.70	46 of 47 - Sale <sup>25</sup>
	1.000	2.22	08/04/20	4.67	...	-2.45	47 of 47 - Sale <sup>25</sup>
08/04/20	848.000	1,882.40	Various	4,399.13	...	-2,516.73	Total of 47 transactions
	22 transactions for 08/04/20. Total proceeds and cost reported to the IRS.						
	23.000	51.06	08/04/20	114.07	57.53 W	-5.48	1 of 22 - Sale <sup>25</sup>
	1.000	2.22	08/04/20	5.22	3.00 W	0.00	2 of 22 - Sale <sup>25</sup>
	1.000	2.22	08/04/20	5.26	3.04 W	0.00	3 of 22 - Sale <sup>25</sup>
	10.000	22.20	08/04/20	52.16	29.96 W	0.00	4 of 22 - Sale <sup>25</sup>
	11.000	24.42	08/04/20	58.66	34.24 W	0.00	5 of 22 - Sale <sup>25</sup>
	14.000	31.08	08/04/20	71.44	40.36 W	0.00	6 of 22 - Sale <sup>25</sup>
	24.000	53.28	08/04/20	122.48	69.20 W	0.00	7 of 22 - Sale <sup>25</sup>
	39.000	86.57	08/04/20	203.26	116.69 W	0.00	8 of 22 - Sale <sup>25</sup>
	1.000	2.22	08/04/20	5.49	3.27 W	0.00	9 of 22 - Sale <sup>25</sup>
	1.000	2.22	08/04/20	5.26	3.04 W	0.00	10 of 22 - Sale <sup>25</sup>
	2.000	4.44	08/04/20	10.52	6.08 W	0.00	11 of 22 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	2.000	4.44	08/04/20	10.58	6.14 W	0.00	12 of 22 - Sale <sup>25</sup>
	8.000	17.76	08/04/20	42.31	24.55 W	0.00	13 of 22 - Sale <sup>25</sup>
	18.000	39.96	08/04/20	94.64	54.68 W	0.00	14 of 22 - Sale <sup>25</sup>
	29.000	64.37	08/04/20	152.52	88.15 W	0.00	15 of 22 - Sale <sup>25</sup>
	39.000	86.57	08/04/20	206.27	119.70 W	0.00	16 of 22 - Sale <sup>25</sup>
	1.000	2.22	08/04/20	5.70	3.48 W	0.00	17 of 22 - Sale <sup>25</sup>
	9.000	19.98	08/04/20	52.00	32.02 W	0.00	18 of 22 - Sale <sup>25</sup>
	11.000	24.42	08/04/20	67.90	43.48 W	0.00	19 of 22 - Sale <sup>25</sup>
	14.000	31.08	08/04/20	86.35	55.27 W	0.00	20 of 22 - Sale <sup>25</sup>
	15.000	33.30	08/04/20	86.67	53.37 W	0.00	21 of 22 - Sale <sup>25</sup>
	4.000	8.88	08/04/20	19.83	10.95 W	0.00	22 of 22 - Sale <sup>25</sup>
08/04/20	277.000	614.91	Various	1,478.59	858.20 W	-5.48	Total of 22 transactions
	4 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	64.000	142.39	08/05/20	300.52	...	-158.13	1 of 4 - Sale <sup>25</sup>
	18.000	40.05	08/05/20	84.62	...	-44.57	2 of 4 - Sale <sup>25</sup>
	11.000	24.48	08/05/20	51.66	...	-27.18	3 of 4 - Sale <sup>25</sup>
	7.000	15.57	08/05/20	32.97	...	-17.40	4 of 4 - Sale <sup>25</sup>
08/05/20	100.000	222.49	Various	469.77	...	-247.28	Total of 4 transactions
	33 transactions for 08/17/20. Total proceeds and cost reported to the IRS.						
	75.000	152.62	08/17/20	407.05	...	-254.43	1 of 33 - Sale <sup>25</sup>
	36.000	73.25	08/17/20	216.65	...	-143.40	2 of 33 - Sale <sup>25</sup>
	39.000	79.37	08/17/20	199.26	...	-119.89	3 of 33 - Sale <sup>25</sup>
	39.000	79.36	08/17/20	196.25	...	-116.89	4 of 33 - Sale <sup>25</sup>
	29.000	59.01	08/17/20	147.31	...	-88.30	5 of 33 - Sale <sup>25</sup>
	25.000	50.87	08/17/20	130.44	...	-79.57	6 of 33 - Sale <sup>25</sup>
	24.000	48.84	08/17/20	121.70	...	-72.86	7 of 33 - Sale <sup>25</sup>
	21.000	42.73	08/17/20	114.32	...	-71.59	8 of 33 - Sale <sup>25</sup>
	24.000	48.84	08/17/20	118.16	...	-69.32	9 of 33 - Sale <sup>25</sup>
	25.000	50.87	08/17/20	112.15	...	-61.28	10 of 33 - Sale <sup>25</sup>
	21.000	42.73	08/17/20	100.37	...	-57.64	11 of 33 - Sale <sup>25</sup>
	14.000	28.49	08/17/20	83.83	...	-55.34	12 of 33 - Sale <sup>25</sup>
	18.000	36.63	08/17/20	91.40	...	-54.77	13 of 33 - Sale <sup>25</sup>
	15.000	30.52	08/17/20	83.97	...	-53.45	14 of 33 - Sale <sup>25</sup>
	11.000	22.38	08/17/20	65.92	...	-43.54	15 of 33 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol: (cont'd)							
	14.000	28.49	08/17/20	68.92	...	-40.43	16 of 33 - Sale <sup>25</sup>
	10.000	20.35	08/17/20	60.02	...	-39.67	17 of 33 - Sale <sup>25</sup>
	11.000	22.38	08/17/20	56.68	...	-34.30	18 of 33 - Sale <sup>25</sup>
	9.000	18.31	08/17/20	50.38	...	-32.07	19 of 33 - Sale <sup>25</sup>
	10.000	20.35	08/17/20	50.36	...	-30.01	20 of 33 - Sale <sup>25</sup>
	8.000	16.28	08/17/20	40.87	...	-24.59	21 of 33 - Sale <sup>25</sup>
	4.000	8.14	08/17/20	21.77	...	-13.63	22 of 33 - Sale <sup>25</sup>
	3.000	6.10	08/17/20	18.05	...	-11.95	23 of 33 - Sale <sup>25</sup>
	4.000	8.14	08/17/20	19.11	...	-10.97	24 of 33 - Sale <sup>25</sup>
	2.000	4.07	08/17/20	10.22	...	-6.15	25 of 33 - Sale <sup>25</sup>
	2.000	4.07	08/17/20	10.16	...	-6.09	26 of 33 - Sale <sup>25</sup>
	1.000	2.03	08/17/20	5.98	...	-3.95	27 of 33 - Sale <sup>25</sup>
	1.000	2.03	08/17/20	5.52	...	-3.49	28 of 33 - Sale <sup>25</sup>
	1.000	2.03	08/17/20	5.31	...	-3.28	29 of 33 - Sale <sup>25</sup>
	1.000	2.03	08/17/20	5.08	...	-3.05	30 of 33 - Sale <sup>25</sup>
	1.000	2.04	08/17/20	5.08	...	-3.04	31 of 33 - Sale <sup>25</sup>
	1.000	2.03	08/17/20	5.04	...	-3.01	32 of 33 - Sale <sup>25</sup>
	1.000	2.03	08/17/20	4.78	...	-2.75	33 of 33 - Sale <sup>25</sup>
08/17/20	500.000	1,017.41	Various	2,632.11	...	-1,614.70	Total of 33 transactions
	<b>Security total:</b>	<b>129,496.97</b>		<b>170,224.72</b>	<b>40,299.65 W</b>	<b>-428.10</b>	
BRAINSTORM CELL THERAPEUTICS I NC. COMMON STOCK / CUSIP: 10501E201 / Symbol:							
06/01/20	25.000	186.79	05/29/20	187.00	...	-0.21	Sale <sup>25</sup>
BRIGHTCOVE INC. COMMON STOCK / CUSIP: 10921T101 / Symbol:							
2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	100.000	1,233.96	07/23/20	1,258.13	...	-24.17	1 of 2 - Sale <sup>25</sup>
	50.000	617.98	07/23/20	623.04	...	-5.06	2 of 2 - Sale <sup>25</sup>
07/23/20	150.000	1,851.94	Various	1,881.17	...	-29.23	Total of 2 transactions
07/23/20	100.000	1,235.95	07/23/20	1,248.00	12.05 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,087.89</b>		<b>3,129.17</b>	<b>12.05 W</b>	<b>-29.23</b>	

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BRINKER INTERNATIONAL, INC. / CUSIP: 109641100 / Symbol:							
2 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	25.000	493.67	05/05/20	519.97	...	-26.30	1 of 2 - Sale <sup>25</sup>
	25.000	493.68	05/06/20	506.73	...	-13.05	2 of 2 - Sale <sup>25</sup>
05/06/20	50.000	987.35	Various	1,026.70	...	-39.35	Total of 2 transactions
BROADVISION INC COMMON STOCK / CUSIP: 111412706 / Symbol:							
2 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	100.000	389.99	03/25/20	226.88	...	163.11	1 of 2 - Sale <sup>25</sup>
	100.000	389.99	03/25/20	226.88	...	163.11	2 of 2 - Sale <sup>25</sup>
03/27/20	200.000	779.98	Various	453.76	...	326.22	Total of 2 transactions
BROOKDALE SENIOR LIVING, INC. / CUSIP: 112463104 / Symbol:							
04/07/20	100.000	327.00	04/06/20	317.72	...	9.28	Sale <sup>25</sup>
05/19/20	100.000	326.99	05/18/20	333.99	...	-7.00	Sale <sup>25</sup>
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	250.000	655.58	07/10/20	661.85	6.27 W	0.00	1 of 2 - Sale <sup>25</sup>
	75.000	196.67	07/10/20	198.55	1.88 W	0.00	2 of 2 - Sale <sup>25</sup>
07/10/20	325.000	852.25	Various	860.40	8.15 W	0.00	Total of 2 transactions
3 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	50.000	135.60	07/23/20	130.25	...	5.35	1 of 3 - Sale <sup>25</sup>
	100.000	271.19	07/23/20	260.01	...	11.18	2 of 3 - Sale <sup>25</sup>
	100.000	271.19	07/23/20	260.01	...	11.18	3 of 3 - Sale <sup>25</sup>
07/27/20	250.000	677.98	Various	650.27	...	27.71	Total of 3 transactions
7 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	75.000	209.99	07/23/20	195.38	...	14.61	1 of 7 - Sale <sup>25</sup>
	175.000	489.99	07/23/20	451.50	...	38.49	2 of 7 - Sale <sup>25</sup>
	250.000	699.98	07/23/20	657.48	...	42.50	3 of 7 - Sale <sup>25</sup>
	19.000	53.20	07/24/20	51.45	...	1.75	4 of 7 - Sale <sup>25</sup>
	51.000	142.79	07/24/20	138.10	...	4.69	5 of 7 - Sale <sup>25</sup>
	100.000	279.99	07/24/20	270.79	...	9.20	6 of 7 - Sale <sup>25</sup>
	80.000	223.99	07/27/20	217.60	...	6.39	7 of 7 - Sale <sup>25</sup>
07/31/20	750.000	2,099.93	Various	1,982.30	...	117.63	Total of 7 transactions
11/09/20	300.000	1,137.14	11/09/20	1,139.97	...	-2.83	Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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BROOKDALE SENIOR LIVING, INC. / CUSIP: 112463104 / Symbol: (cont'd)							
<b>Security total:</b>		<b>5,421.29</b>		<b>5,284.65</b>	<b>8.15 W</b>	<b>144.79</b>	
B2GOLD CORP. / CUSIP: 11777Q209 / Symbol:							
04/24/20	100.000	527.13	04/23/20	547.50	20.37 W	0.00	Sale <sup>25</sup>
	2 transactions for 05/06/20. Total proceeds and cost reported to the IRS.						
	60.000	330.59	05/05/20	337.42	6.83 W	0.00	1 of 2 - Sale <sup>25</sup>
	40.000	220.39	05/05/20	225.29	4.90 W	0.00	2 of 2 - Sale <sup>25</sup>
05/06/20	100.000	550.98	Various	562.71	11.73 W	0.00	Total of 2 transactions
	2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.						
	15.000	80.25	05/14/20	81.31	1.06 W	0.00	1 of 2 - Sale <sup>25</sup>
	60.000	320.99	05/14/20	324.72	3.73 W	0.00	2 of 2 - Sale <sup>25</sup>
05/15/20	75.000	401.24	Various	406.03	4.79 W	0.00	Total of 2 transactions
	2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.						
	25.000	137.50	05/19/20	139.30	1.80 W	0.00	1 of 2 - Sale <sup>25</sup>
	25.000	137.50	05/19/20	140.81	3.31 W	0.00	2 of 2 - Sale <sup>25</sup>
05/20/20	50.000	275.00	Various	280.11	5.11 W	0.00	Total of 2 transactions
	4 transactions for 06/01/20. Total proceeds and cost reported to the IRS.						
	25.000	138.04	05/29/20	138.93	0.89 W	0.00	1 of 4 - Sale <sup>25</sup>
	15.000	82.82	05/29/20	83.33	0.51 W	0.00	2 of 4 - Sale <sup>25</sup>
	25.000	138.04	05/29/20	140.43	2.39 W	0.00	3 of 4 - Sale <sup>25</sup>
	35.000	193.26	05/29/20	194.16	0.90 W	0.00	4 of 4 - Sale <sup>25</sup>
06/01/20	100.000	552.16	Various	556.85	4.69 W	0.00	Total of 4 transactions
	4 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	25.000	140.99	06/30/20	145.60	...	-4.61	1 of 4 - Sale <sup>25</sup>
	35.000	197.39	06/30/20	201.38	...	-3.99	2 of 4 - Sale <sup>25</sup>
	25.000	140.99	06/30/20	144.09	...	-3.10	3 of 4 - Sale <sup>25</sup>
	15.000	84.60	06/30/20	86.43	...	-1.83	4 of 4 - Sale <sup>25</sup>
06/30/20	100.000	563.97	Various	577.50	...	-13.53	Total of 4 transactions
	<b>Security total: 2,870.48</b>						
				<b>2,930.70</b>	<b>46.69 W</b>	<b>-13.53</b>	
CBDMD, INC. COMMON STOCK / CUSIP: 12482W101 / Symbol:							
05/20/20	100.000	137.11	05/19/20	208.00	...	-70.89	Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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CHF SOLUTIONS, INC. COMMON STO CK / CUSIP: 12542Q706 / Symbol:							
3 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	200.000	132.50	07/09/20	137.80	...	-5.30	1 of 3 - Sale <sup>25</sup>
	50.000	33.12	07/09/20	34.45	...	-1.33	2 of 3 - Sale <sup>25</sup>
	25.000	16.56	07/09/20	17.00	...	-0.44	3 of 3 - Sale <sup>25</sup>
07/10/20	275.000	182.18	Various	189.25	...	-7.07	Total of 3 transactions
CIIG MERGER CORP. CLASS A COMM ON STOCK / CUSIP: 12559C103 / Symbol:							
4 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	100.000	2,839.92	12/04/20	3,020.07	...	-180.15	1 of 4 - Sale <sup>25</sup>
	50.000	1,419.96	12/04/20	1,510.04	...	-90.08	2 of 4 - Sale <sup>25</sup>
	50.000	1,419.96	12/04/20	1,510.04	...	-90.08	3 of 4 - Sale <sup>25</sup>
	50.000	1,419.96	12/04/20	1,510.04	...	-90.08	4 of 4 - Sale <sup>25</sup>
12/04/20	250.000	7,099.80	Various	7,550.19	...	-450.39	Total of 4 transactions
2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	200.000	5,679.85	12/04/20	5,860.00	180.15 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	1,419.96	12/04/20	1,465.00	45.04 W	0.00	2 of 2 - Sale <sup>25</sup>
12/04/20	250.000	7,099.81	Various	7,325.00	225.19 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>14,199.61</b>		<b>14,875.19</b>	<b>225.19 W</b>	<b>-450.39</b>	
CALLON PETROLEUM COMPANY / CUSIP: 13123X102 / Symbol:							
05/01/20	350.000	311.46	04/30/20	353.47	...	-42.01	Sale <sup>25</sup>
06/09/20	150.000	296.98	06/05/20	205.50	...	91.48	Sale <sup>25</sup>
07/01/20	250.000	300.60	07/01/20	306.88	6.28 W	0.00	Sale <sup>25</sup>
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	100.000	115.24	07/10/20	114.53	...	0.71	1 of 2 - Sale <sup>25</sup>
	150.000	172.85	07/10/20	170.98	...	1.87	2 of 2 - Sale <sup>25</sup>
07/10/20	250.000	288.09	Various	285.51	...	2.58	Total of 2 transactions
5 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	250.000	283.06	07/10/20	290.68	7.62 W	0.00	1 of 5 - Sale <sup>25</sup>
	100.000	113.22	07/10/20	113.76	0.54 W	0.00	2 of 5 - Sale <sup>25</sup>
	500.000	566.13	07/10/20	569.95	3.82 W	0.00	3 of 5 - Sale <sup>25</sup>
	250.000	288.09	07/10/20	292.60	4.51 W	0.00	4 of 5 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CALLON PETROLEUM COMPANY / CUSIP: 13123X102 / Symbol: (cont'd)							
	250.000	288.08	07/10/20	296.91	8.83 W	0.00	5 of 5 - Sale <sup>25</sup>
07/10/20	1,350.000	1,538.58	Various	1,563.90	25.32 W	0.00	Total of 5 transactions
	4 transactions for 07/31/20. Total proceeds and cost reported to the IRS.						
	250.000	278.06	07/31/20	281.26	3.20 W	0.00	1 of 4 - Sale <sup>25</sup>
	250.000	278.06	07/31/20	283.86	5.80 W	0.00	2 of 4 - Sale <sup>25</sup>
	250.000	278.06	07/31/20	288.18	10.12 W	0.00	3 of 4 - Sale <sup>25</sup>
	100.000	111.23	07/31/20	111.74	0.51 W	0.00	4 of 4 - Sale <sup>25</sup>
07/31/20	850.000	945.41	Various	965.04	19.63 W	0.00	Total of 4 transactions
	5 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	250.000	288.09	08/05/20	309.45	...	-21.36	1 of 5 - Sale <sup>25</sup>
	250.000	288.09	08/05/20	305.12	...	-17.03	2 of 5 - Sale <sup>25</sup>
	250.000	288.09	08/05/20	302.53	...	-14.44	3 of 5 - Sale <sup>25</sup>
	150.000	172.85	08/05/20	179.59	...	-6.74	4 of 5 - Sale <sup>25</sup>
	100.000	115.23	08/05/20	120.24	...	-5.01	5 of 5 - Sale <sup>25</sup>
08/05/20	1,000.000	1,152.35	Various	1,216.93	...	-64.58	Total of 5 transactions
	<b>Security total:</b>	<b>4,833.47</b>		<b>4,897.23</b>	<b>51.23 W</b>	<b>-12.53</b>	
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol:							
	3 transactions for 04/03/20. Total proceeds and cost reported to the IRS.						
	3.000	6.30	04/02/20	4.26	...	2.04	1 of 3 - Sale <sup>25</sup>
	16.000	33.60	04/02/20	22.72	...	10.88	2 of 3 - Sale <sup>25</sup>
	71.000	149.09	04/02/20	100.82	...	48.27	3 of 3 - Sale <sup>25</sup>
04/03/20	90.000	188.99	Various	127.80	...	61.19	Total of 3 transactions
04/06/20	200.000	340.00	04/03/20	465.98	...	-125.98	Sale <sup>25</sup>
	<b>Security total:</b>	<b>528.99</b>		<b>593.78</b>	...	<b>-64.79</b>	
CAMPING WORLD HOLDINGS, INC. / CUSIP: 13462K109 / Symbol:							
	11 transactions for 05/27/20. Total proceeds and cost reported to the IRS.						
	20.000	431.39	05/14/20	301.15	...	130.24	1 of 11 - Sale <sup>25</sup>
	50.000	1,078.47	05/14/20	727.23	...	351.24	2 of 11 - Sale <sup>25</sup>
	1.000	21.57	05/15/20	16.16	...	5.41	3 of 11 - Sale <sup>25</sup>
	1.000	21.57	05/15/20	16.15	...	5.42	4 of 11 - Sale <sup>25</sup>
	2.000	43.14	05/15/20	32.30	...	10.84	5 of 11 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CAMPING WORLD HOLDINGS, INC. / CUSIP: 13462K109 / Symbol: (cont'd)							
	3.000	64.71	05/15/20	48.56	...	16.15	6 of 11 - Sale <sup>25</sup>
	5.000	107.85	05/15/20	78.61	...	29.24	7 of 11 - Sale <sup>25</sup>
	23.000	496.09	05/15/20	371.45	...	124.64	8 of 11 - Sale <sup>25</sup>
	23.000	496.11	05/15/20	371.45	...	124.66	9 of 11 - Sale <sup>25</sup>
	1.000	21.57	05/20/20	19.37	...	2.20	10 of 11 - Sale <sup>25</sup>
	21.000	452.95	05/20/20	406.98	...	45.97	11 of 11 - Sale <sup>25</sup>
05/27/20	150.000	3,235.42	Various	2,389.41	...	846.01	Total of 11 transactions
05/28/20	30.000	659.01	05/27/20	652.01	...	7.00	Sale <sup>25</sup>
05/29/20	20.000	417.85	05/27/20	434.67	16.82 W	0.00	Sale <sup>25</sup>
	2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	25.000	624.65	06/15/20	624.46	...	0.19	1 of 2 - Sale <sup>25</sup>
	30.000	749.58	06/15/20	737.60	...	11.98	2 of 2 - Sale <sup>25</sup>
06/16/20	55.000	1,374.23	Various	1,362.06	...	12.17	Total of 2 transactions
	4 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	20.000	499.72	06/15/20	508.56	8.84 W	0.00	1 of 4 - Sale <sup>25</sup>
	10.000	249.86	06/15/20	252.50	2.64 W	0.00	2 of 4 - Sale <sup>25</sup>
	5.000	124.93	06/15/20	126.46	1.53 W	0.00	3 of 4 - Sale <sup>25</sup>
	5.000	124.93	06/15/20	126.57	1.64 W	0.00	4 of 4 - Sale <sup>25</sup>
06/16/20	40.000	999.44	Various	1,014.09	14.65 W	0.00	Total of 4 transactions
06/19/20	20.000	533.28	06/17/20	530.28	...	3.00	Sale <sup>25</sup>
	9 transactions for 06/23/20. Total proceeds and cost reported to the IRS.						
	5.000	138.20	06/22/20	140.98	2.78 W	0.00	1 of 9 - Sale <sup>25</sup>
	5.000	138.20	06/22/20	141.09	2.89 W	0.00	2 of 9 - Sale <sup>25</sup>
	10.000	276.39	06/22/20	281.54	5.15 W	0.00	3 of 9 - Sale <sup>25</sup>
	30.000	829.17	06/22/20	836.70	7.53 W	0.00	4 of 9 - Sale <sup>25</sup>
	5.000	138.06	06/22/20	143.55	5.49 W	0.00	5 of 9 - Sale <sup>25</sup>
	5.000	138.06	06/22/20	143.66	5.60 W	0.00	6 of 9 - Sale <sup>25</sup>
	10.000	276.13	06/22/20	286.68	10.55 W	0.00	7 of 9 - Sale <sup>25</sup>
	5.000	138.06	06/22/20	142.01	3.95 W	0.00	8 of 9 - Sale <sup>25</sup>
	25.000	690.32	06/22/20	710.02	19.70 W	0.00	9 of 9 - Sale <sup>25</sup>
06/23/20	100.000	2,762.59	Various	2,826.23	63.64 W	0.00	Total of 9 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CAMPING WORLD HOLDINGS, INC. / CUSIP: 13462K109 / Symbol: (cont'd)							
<i>5 transactions for 06/25/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	127.60	06/25/20	134.62	7.02 W	0.00	1 of 5 - Sale <sup>25</sup>
	5.000	127.60	06/25/20	134.72	7.12 W	0.00	2 of 5 - Sale <sup>25</sup>
	10.000	255.20	06/25/20	268.80	13.60 W	0.00	3 of 5 - Sale <sup>25</sup>
	5.000	127.60	06/25/20	133.08	5.48 W	0.00	4 of 5 - Sale <sup>25</sup>
	25.000	637.98	06/25/20	665.32	27.34 W	0.00	5 of 5 - Sale <sup>25</sup>
06/25/20	50.000	1,275.98	Various	1,336.54	60.56 W	0.00	Total of 5 transactions
<i>3 transactions for 07/10/20. Total proceeds and cost reported to the IRS.</i>							
	24.000	743.98	07/10/20	743.03	...	0.95	1 of 3 - Sale <sup>25</sup>
	8.000	247.99	07/10/20	242.79	...	5.20	2 of 3 - Sale <sup>25</sup>
	17.000	526.98	07/10/20	519.33	...	7.65	3 of 3 - Sale <sup>25</sup>
07/10/20	49.000	1,518.95	Various	1,505.15	...	13.80	Total of 3 transactions
<i>18 transactions for 07/10/20. Total proceeds and cost reported to the IRS.</i>							
	8.000	242.48	07/10/20	255.01	12.53 W	0.00	1 of 18 - Sale <sup>25</sup>
	5.000	151.35	07/10/20	159.60	8.25 W	0.00	2 of 18 - Sale <sup>25</sup>
	5.000	151.35	07/10/20	159.70	8.35 W	0.00	3 of 18 - Sale <sup>25</sup>
	2.000	60.54	07/10/20	63.75	3.21 W	0.00	4 of 18 - Sale <sup>25</sup>
	5.000	151.35	07/10/20	158.06	6.71 W	0.00	5 of 18 - Sale <sup>25</sup>
	25.000	756.73	07/10/20	790.24	33.51 W	0.00	6 of 18 - Sale <sup>25</sup>
	8.000	242.15	07/10/20	255.32	13.17 W	0.00	7 of 18 - Sale <sup>25</sup>
	142.000	4,298.22	07/10/20	4,309.52	11.30 W	0.00	8 of 18 - Sale <sup>25</sup>
	1.000	31.00	07/10/20	31.96	0.96 W	0.00	9 of 18 - Sale <sup>25</sup>
	1.000	31.00	07/10/20	31.95	0.95 W	0.00	10 of 18 - Sale <sup>25</sup>
	5.000	155.00	07/10/20	159.99	4.99 W	0.00	11 of 18 - Sale <sup>25</sup>
	5.000	155.00	07/10/20	160.09	5.09 W	0.00	12 of 18 - Sale <sup>25</sup>
	5.000	155.00	07/10/20	158.45	3.45 W	0.00	13 of 18 - Sale <sup>25</sup>
	25.000	774.98	07/10/20	792.23	17.25 W	0.00	14 of 18 - Sale <sup>25</sup>
	8.000	247.99	07/10/20	256.92	8.93 W	0.00	15 of 18 - Sale <sup>25</sup>
	1.000	30.21	07/10/20	30.96	0.75 W	0.00	16 of 18 - Sale <sup>25</sup>
	44.000	1,329.23	07/10/20	1,353.97	24.74 W	0.00	17 of 18 - Sale <sup>25</sup>
	5.000	149.35	07/10/20	153.86	4.51 W	0.00	18 of 18 - Sale <sup>25</sup>
07/10/20	300.000	9,112.93	Various	9,281.58	168.65 W	0.00	Total of 18 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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CAMPING WORLD HOLDINGS, INC. / CUSIP: 13462K109 / Symbol: (cont'd)							
3 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	1.000	33.09	07/14/20	31.71	...	1.38	1 of 3 - Sale <sup>25</sup>
	22.000	727.96	07/14/20	698.45	...	29.51	2 of 3 - Sale <sup>25</sup>
	27.000	893.40	07/14/20	857.24	...	36.16	3 of 3 - Sale <sup>25</sup>
07/14/20	50.000	1,654.45	Various	1,587.40	...	67.05	Total of 3 transactions
11 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	1.000	33.09	07/14/20	34.10	1.01 W	0.00	1 of 11 - Sale <sup>25</sup>
	1.000	33.09	07/14/20	34.09	1.00 W	0.00	2 of 11 - Sale <sup>25</sup>
	5.000	165.45	07/14/20	170.69	5.24 W	0.00	3 of 11 - Sale <sup>25</sup>
	5.000	165.44	07/14/20	170.79	5.35 W	0.00	4 of 11 - Sale <sup>25</sup>
	8.000	264.71	07/14/20	274.05	9.34 W	0.00	5 of 11 - Sale <sup>25</sup>
	5.000	165.45	07/14/20	169.15	3.70 W	0.00	6 of 11 - Sale <sup>25</sup>
	10.000	330.89	07/14/20	338.30	7.41 W	0.00	7 of 11 - Sale <sup>25</sup>
	15.000	496.34	07/14/20	507.45	11.11 W	0.00	8 of 11 - Sale <sup>25</sup>
	1.000	33.09	07/14/20	33.22	0.13 W	0.00	9 of 11 - Sale <sup>25</sup>
	1.000	33.09	07/14/20	33.89	0.80 W	0.00	10 of 11 - Sale <sup>25</sup>
	23.000	761.05	07/14/20	775.14	14.09 W	0.00	11 of 11 - Sale <sup>25</sup>
07/14/20	75.000	2,481.69	Various	2,540.87	59.18 W	0.00	Total of 11 transactions
13 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	1.000	32.67	07/14/20	34.41	1.74 W	0.00	1 of 13 - Sale <sup>25</sup>
	1.000	32.67	07/14/20	34.40	1.73 W	0.00	2 of 13 - Sale <sup>25</sup>
	5.000	163.36	07/14/20	172.24	8.88 W	0.00	3 of 13 - Sale <sup>25</sup>
	5.000	163.36	07/14/20	172.35	8.99 W	0.00	4 of 13 - Sale <sup>25</sup>
	8.000	261.37	07/14/20	276.54	15.17 W	0.00	5 of 13 - Sale <sup>25</sup>
	5.000	163.35	07/14/20	170.70	7.35 W	0.00	6 of 13 - Sale <sup>25</sup>
	10.000	326.71	07/14/20	341.41	14.70 W	0.00	7 of 13 - Sale <sup>25</sup>
	15.000	490.06	07/14/20	512.11	22.05 W	0.00	8 of 13 - Sale <sup>25</sup>
	1.000	32.69	07/14/20	33.53	0.84 W	0.00	9 of 13 - Sale <sup>25</sup>
	1.000	32.69	07/14/20	34.20	1.51 W	0.00	10 of 13 - Sale <sup>25</sup>
	5.000	163.44	07/14/20	171.51	8.07 W	0.00	11 of 13 - Sale <sup>25</sup>
	21.000	686.44	07/14/20	713.21	26.77 W	0.00	12 of 13 - Sale <sup>25</sup>
	22.000	719.13	07/14/20	748.28	29.15 W	0.00	13 of 13 - Sale <sup>25</sup>
07/15/20	100.000	3,267.94	Various	3,414.89	146.95 W	0.00	Total of 13 transactions

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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CAMPING WORLD HOLDINGS, INC. / CUSIP: 13462K109 / Symbol: (cont'd)							
28 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	1.000	41.71	08/05/20	43.72	2.01 W	0.00	1 of 28 - Sale <sup>25</sup>
	1.000	41.71	08/05/20	43.71	2.00 W	0.00	2 of 28 - Sale <sup>25</sup>
	5.000	208.54	08/05/20	218.80	10.26 W	0.00	3 of 28 - Sale <sup>25</sup>
	5.000	208.54	08/05/20	218.91	10.37 W	0.00	4 of 28 - Sale <sup>25</sup>
	8.000	333.67	08/05/20	351.04	17.37 W	0.00	5 of 28 - Sale <sup>25</sup>
	5.000	208.55	08/05/20	217.27	8.72 W	0.00	6 of 28 - Sale <sup>25</sup>
	10.000	417.09	08/05/20	434.54	17.45 W	0.00	7 of 28 - Sale <sup>25</sup>
	15.000	625.63	08/05/20	651.81	26.18 W	0.00	8 of 28 - Sale <sup>25</sup>
	1.000	41.71	08/05/20	42.82	1.11 W	0.00	9 of 28 - Sale <sup>25</sup>
	1.000	41.71	08/05/20	43.49	1.78 W	0.00	10 of 28 - Sale <sup>25</sup>
	5.000	208.54	08/05/20	217.99	9.45 W	0.00	11 of 28 - Sale <sup>25</sup>
	21.000	875.89	08/05/20	908.44	32.55 W	0.00	12 of 28 - Sale <sup>25</sup>
	22.000	917.60	08/05/20	952.81	35.21 W	0.00	13 of 28 - Sale <sup>25</sup>
	1.000	41.71	08/05/20	42.59	0.88 W	0.00	14 of 28 - Sale <sup>25</sup>
	49.000	2,043.74	08/05/20	2,057.24	13.50 W	0.00	15 of 28 - Sale <sup>25</sup>
	1.000	41.46	08/05/20	43.88	2.42 W	0.00	16 of 28 - Sale <sup>25</sup>
	1.000	41.46	08/05/20	43.87	2.41 W	0.00	17 of 28 - Sale <sup>25</sup>
	5.000	207.29	08/05/20	219.60	12.31 W	0.00	18 of 28 - Sale <sup>25</sup>
	5.000	207.29	08/05/20	219.70	12.41 W	0.00	19 of 28 - Sale <sup>25</sup>
	8.000	331.67	08/05/20	352.31	20.64 W	0.00	20 of 28 - Sale <sup>25</sup>
	5.000	207.30	08/05/20	218.05	10.75 W	0.00	21 of 28 - Sale <sup>25</sup>
	10.000	414.59	08/05/20	436.12	21.53 W	0.00	22 of 28 - Sale <sup>25</sup>
	15.000	621.88	08/05/20	654.19	32.31 W	0.00	23 of 28 - Sale <sup>25</sup>
	1.000	41.46	08/05/20	42.98	1.52 W	0.00	24 of 28 - Sale <sup>25</sup>
	1.000	41.46	08/05/20	43.65	2.19 W	0.00	25 of 28 - Sale <sup>25</sup>
	5.000	207.29	08/05/20	218.78	11.49 W	0.00	26 of 28 - Sale <sup>25</sup>
	21.000	870.64	08/05/20	911.76	41.12 W	0.00	27 of 28 - Sale <sup>25</sup>
	22.000	912.10	08/05/20	956.28	44.18 W	0.00	28 of 28 - Sale <sup>25</sup>
08/05/20	250.000	10,402.23	Various	10,806.35	404.12 W	0.00	Total of 28 transactions
12 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	1.000	37.61	08/19/20	40.31	2.70 W	0.00	1 of 12 - Sale <sup>25</sup>
	1.000	37.61	08/19/20	40.30	2.69 W	0.00	2 of 12 - Sale <sup>25</sup>
	5.000	188.04	08/19/20	201.76	13.72 W	0.00	3 of 12 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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CAMPING WORLD HOLDINGS, INC. / CUSIP: 13462K109 / Symbol: (cont'd)							
	5.000	188.04	08/19/20	201.86	13.82 W	0.00	4 of 12 - Sale <sup>25</sup>
	8.000	300.86	08/19/20	323.76	22.90 W	0.00	5 of 12 - Sale <sup>25</sup>
	5.000	188.04	08/19/20	200.20	12.16 W	0.00	6 of 12 - Sale <sup>25</sup>
	10.000	376.07	08/19/20	400.43	24.36 W	0.00	7 of 12 - Sale <sup>25</sup>
	15.000	564.11	08/19/20	600.65	36.54 W	0.00	8 of 12 - Sale <sup>25</sup>
	1.000	37.61	08/19/20	38.77	1.16 W	0.00	9 of 12 - Sale <sup>25</sup>
	49.000	1,842.75	08/19/20	1,870.11	27.36 W	0.00	10 of 12 - Sale <sup>25</sup>
	4.000	146.76	08/19/20	157.67	10.91 W	0.00	11 of 12 - Sale <sup>25</sup>
	21.000	770.46	08/19/20	820.64	50.18 W	0.00	12 of 12 - Sale <sup>25</sup>
08/19/20	125.000	4,677.96	Various	4,896.46	218.50 W	0.00	Total of 12 transactions
	9 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	21.000	703.27	08/20/20	761.26	...	-57.99	1 of 9 - Sale <sup>25</sup>
	100.000	3,347.91	08/20/20	3,386.08	...	-38.17	2 of 9 - Sale <sup>25</sup>
	70.000	2,343.54	08/20/20	2,370.25	...	-26.71	3 of 9 - Sale <sup>25</sup>
	25.000	837.22	08/20/20	860.48	...	-23.26	4 of 9 - Sale <sup>25</sup>
	19.000	636.29	08/20/20	653.97	...	-17.68	5 of 9 - Sale <sup>25</sup>
	4.000	133.96	08/20/20	146.36	...	-12.40	6 of 9 - Sale <sup>25</sup>
	25.000	836.98	08/20/20	846.77	...	-9.79	7 of 9 - Sale <sup>25</sup>
	5.000	167.40	08/20/20	172.09	...	-4.69	8 of 9 - Sale <sup>25</sup>
	1.000	33.49	08/20/20	35.02	...	-1.53	9 of 9 - Sale <sup>25</sup>
08/20/20	270.000	9,040.06	Various	9,232.28	...	-192.22	Total of 9 transactions
	33 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	22.000	736.76	08/20/20	789.12	28.56 W	-23.80	1 of 33 - Sale <sup>25</sup>
	1.000	33.45	08/20/20	36.29	2.84 W	0.00	2 of 33 - Sale <sup>25</sup>
	1.000	33.45	08/20/20	36.28	2.83 W	0.00	3 of 33 - Sale <sup>25</sup>
	5.000	167.24	08/20/20	181.67	14.43 W	0.00	4 of 33 - Sale <sup>25</sup>
	5.000	167.25	08/20/20	181.77	14.52 W	0.00	5 of 33 - Sale <sup>25</sup>
	8.000	267.59	08/20/20	291.62	24.03 W	0.00	6 of 33 - Sale <sup>25</sup>
	5.000	167.10	08/20/20	180.11	13.01 W	0.00	7 of 33 - Sale <sup>25</sup>
	10.000	334.19	08/20/20	360.26	26.07 W	0.00	8 of 33 - Sale <sup>25</sup>
	15.000	501.29	08/20/20	540.39	39.10 W	0.00	9 of 33 - Sale <sup>25</sup>
	1.000	33.42	08/20/20	35.89	2.47 W	0.00	10 of 33 - Sale <sup>25</sup>
	1.000	33.42	08/20/20	35.11	1.69 W	0.00	11 of 33 - Sale <sup>25</sup>
	1.000	33.42	08/20/20	35.78	2.36 W	0.00	12 of 33 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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CAMPING WORLD HOLDINGS, INC. / CUSIP: 13462K109 / Symbol: (cont'd)							
	4.000	133.67	08/20/20	145.27	11.60 W	0.00	13 of 33 - Sale <sup>25</sup>
	21.000	701.80	08/20/20	755.57	53.77 W	0.00	14 of 33 - Sale <sup>25</sup>
	22.000	735.22	08/20/20	783.16	47.94 W	0.00	15 of 33 - Sale <sup>25</sup>
	1.000	33.42	08/20/20	34.75	1.33 W	0.00	16 of 33 - Sale <sup>25</sup>
	19.000	634.96	08/20/20	648.82	13.86 W	0.00	17 of 33 - Sale <sup>25</sup>
	30.000	1,002.57	08/20/20	1,024.44	21.87 W	0.00	18 of 33 - Sale <sup>25</sup>
	70.000	2,339.34	08/20/20	2,351.29	11.95 W	0.00	19 of 33 - Sale <sup>25</sup>
	100.000	3,341.91	08/20/20	3,358.99	17.08 W	0.00	20 of 33 - Sale <sup>25</sup>
	55.000	1,837.50	08/20/20	1,847.44	9.94 W	0.00	21 of 33 - Sale <sup>25</sup>
	1.000	33.41	08/20/20	36.60	3.19 W	0.00	22 of 33 - Sale <sup>25</sup>
	1.000	33.41	08/20/20	36.59	3.18 W	0.00	23 of 33 - Sale <sup>25</sup>
	5.000	167.05	08/20/20	183.23	16.18 W	0.00	24 of 33 - Sale <sup>25</sup>
	5.000	167.04	08/20/20	183.32	16.28 W	0.00	25 of 33 - Sale <sup>25</sup>
	8.000	267.27	08/20/20	294.11	26.84 W	0.00	26 of 33 - Sale <sup>25</sup>
	5.000	167.05	08/20/20	181.81	14.76 W	0.00	27 of 33 - Sale <sup>25</sup>
	10.000	334.09	08/20/20	363.67	29.58 W	0.00	28 of 33 - Sale <sup>25</sup>
	10.000	334.09	08/20/20	363.67	29.58 W	0.00	29 of 33 - Sale <sup>25</sup>
	5.000	167.45	08/20/20	181.48	14.03 W	0.00	30 of 33 - Sale <sup>25</sup>
	1.000	33.49	08/20/20	36.16	2.67 W	0.00	31 of 33 - Sale <sup>25</sup>
	1.000	33.49	08/20/20	35.38	1.89 W	0.00	32 of 33 - Sale <sup>25</sup>
	1.000	33.49	08/20/20	36.05	2.56 W	0.00	33 of 33 - Sale <sup>25</sup>
08/20/20	450.000	15,040.30	Various	15,586.09	521.99 W	-23.80	Total of 33 transactions
	10 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	10.000	294.89	08/25/20	324.75	...	-29.86	1 of 10 - Sale <sup>25</sup>
	8.000	235.91	08/25/20	263.15	...	-27.24	2 of 10 - Sale <sup>25</sup>
	5.000	147.45	08/25/20	163.76	...	-16.31	3 of 10 - Sale <sup>25</sup>
	5.000	147.45	08/25/20	162.34	...	-14.89	4 of 10 - Sale <sup>25</sup>
	4.000	117.96	08/25/20	131.17	...	-13.21	5 of 10 - Sale <sup>25</sup>
	1.000	29.49	08/25/20	32.77	...	-3.28	6 of 10 - Sale <sup>25</sup>
	1.000	29.49	08/25/20	32.71	...	-3.22	7 of 10 - Sale <sup>25</sup>
	1.000	29.49	08/25/20	32.70	...	-3.21	8 of 10 - Sale <sup>25</sup>
	12.000	353.87	08/25/20	356.37	...	-2.50	9 of 10 - Sale <sup>25</sup>
	18.000	530.80	08/25/20	532.09	...	-1.29	10 of 10 - Sale <sup>25</sup>
08/25/20	65.000	1,916.80	Various	2,031.81	...	-115.01	Total of 10 transactions

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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CAMPING WORLD HOLDINGS, INC. / CUSIP: 13462K109 / Symbol: (cont'd)							
6 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	10.000	300.53	08/26/20	331.98	...	-31.45	1 of 6 - Sale <sup>25</sup>
	12.000	360.64	08/26/20	391.44	...	-30.80	2 of 6 - Sale <sup>25</sup>
	5.000	150.26	08/26/20	165.23	...	-14.97	3 of 6 - Sale <sup>25</sup>
	1.000	30.05	08/26/20	32.91	...	-2.86	4 of 6 - Sale <sup>25</sup>
	1.000	30.05	08/26/20	32.80	...	-2.75	5 of 6 - Sale <sup>25</sup>
	1.000	30.05	08/26/20	32.13	...	-2.08	6 of 6 - Sale <sup>25</sup>
08/26/20	30.000	901.58	Various	986.49	...	-84.91	Total of 6 transactions
	<b>Security total:</b>	<b>71,272.69</b>		<b>72,414.66</b>	<b>1,675.06 W</b>	<b>533.09</b>	
CAN-FITE BIOPHARMA LTD. / CUSIP: 13471N201 / Symbol:							
5 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	1,000.000	2,229.93	06/29/20	2,410.00	...	-180.07	1 of 5 - Sale <sup>25</sup>
	500.000	1,090.51	06/29/20	1,204.79	...	-114.28	2 of 5 - Sale <sup>25</sup>
	483.000	1,053.44	06/29/20	1,143.64	...	-90.20	3 of 5 - Sale <sup>25</sup>
	10.000	21.81	06/29/20	25.47	...	-3.66	4 of 5 - Sale <sup>25</sup>
	7.000	15.27	06/29/20	17.84	...	-2.57	5 of 5 - Sale <sup>25</sup>
06/29/20	2,000.000	4,410.96	Various	4,801.74	...	-390.78	Total of 5 transactions
6 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	983.000	2,192.02	06/29/20	2,369.03	99.04 W	-77.97	1 of 6 - Sale <sup>25</sup>
	7.000	15.90	06/29/20	16.87	0.97 W	0.00	2 of 6 - Sale <sup>25</sup>
	10.000	22.72	06/29/20	24.10	1.38 W	0.00	3 of 6 - Sale <sup>25</sup>
	483.000	1,097.24	06/29/20	1,164.03	66.79 W	0.00	4 of 6 - Sale <sup>25</sup>
	7.000	15.61	06/29/20	17.84	2.23 W	0.00	5 of 6 - Sale <sup>25</sup>
	10.000	22.30	06/29/20	25.48	3.18 W	0.00	6 of 6 - Sale <sup>25</sup>
06/29/20	1,500.000	3,365.79	Various	3,617.35	173.59 W	-77.97	Total of 6 transactions
2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	48.000	124.41	07/21/20	134.88	...	-10.47	1 of 2 - Sale <sup>25</sup>
	2.000	5.18	07/21/20	5.62	...	-0.44	2 of 2 - Sale <sup>25</sup>
07/21/20	50.000	129.59	Various	140.50	...	-10.91	Total of 2 transactions
	<b>Security total:</b>	<b>7,906.34</b>		<b>8,559.59</b>	<b>173.59 W</b>	<b>-479.66</b>	

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

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CANAAN INC. AMERICAN DEPOSITAR Y SHARES / CUSIP: 134748102 / Symbol:							
07/20/20	50.000	114.25	07/20/20	115.75	...	-1.50	Sale <sup>25</sup>
CANGO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING TW O (2) CLASS A ORDINARY SHARES / CUSIP: 137586103 / Symbol:							
<i>8 transactions for 12/30/20. Total proceeds and cost reported to the IRS.</i>							
	115.000	895.83	12/30/20	947.37	...	-51.54	1 of 8 - Sale <sup>25</sup>
	115.000	895.83	12/30/20	931.85	...	-36.02	2 of 8 - Sale <sup>25</sup>
	35.000	272.64	12/30/20	286.93	...	-14.29	3 of 8 - Sale <sup>25</sup>
	25.000	194.74	12/30/20	202.13	...	-7.39	4 of 8 - Sale <sup>25</sup>
	10.000	77.90	12/30/20	75.00	...	2.90	5 of 8 - Sale <sup>25</sup>
	100.000	778.98	12/30/20	753.50	...	25.48	6 of 8 - Sale <sup>25</sup>
	100.000	778.98	12/30/20	753.50	...	25.48	7 of 8 - Sale <sup>25</sup>
	500.000	3,894.90	12/30/20	3,824.95	...	69.95	8 of 8 - Sale <sup>25</sup>
12/30/20	1,000.000	7,789.80	Various	7,775.23	...	14.57	Total of 8 transactions
CANNTRUST HLDGS INC COM (CANAD A) / CUSIP: 137800207 / Symbol:							
<i>6 transactions for 03/27/20. Total proceeds and cost reported to the IRS.</i>							
	261.000	182.67	03/26/20	210.07	...	-27.40	1 of 6 - Sale <sup>25</sup>
	35.000	25.90	03/26/20	28.17	...	-2.27	2 of 6 - Sale <sup>25</sup>
	1.000	0.70	03/26/20	0.80	...	-0.10	3 of 6 - Sale <sup>25</sup>
	1.000	0.70	03/26/20	0.80	...	-0.10	4 of 6 - Sale <sup>25</sup>
	1.000	0.70	03/26/20	0.80	...	-0.10	5 of 6 - Sale <sup>25</sup>
	1.000	0.73	03/26/20	0.80	...	-0.07	6 of 6 - Sale <sup>25</sup>
03/27/20	300.000	211.40	Various	241.44	...	-30.04	Total of 6 transactions
CANOPY GROWTH CORPORATION COMM ON SHARES / CUSIP: 138035100 / Symbol:							
06/01/20	50.000	832.49	05/29/20	869.94	37.45 W	0.00	Sale <sup>25</sup>
06/17/20	50.000	867.61	06/16/20	922.76	55.15 W	0.00	Sale <sup>25</sup>
<i>7 transactions for 07/13/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	859.47	07/10/20	917.56	58.09 W	0.00	1 of 7 - Sale <sup>25</sup>
	50.000	859.48	07/10/20	862.40	2.92 W	0.00	2 of 7 - Sale <sup>25</sup>
	50.000	859.47	07/10/20	927.09	67.62 W	0.00	3 of 7 - Sale <sup>25</sup>
	50.000	859.48	07/10/20	871.91	12.43 W	0.00	4 of 7 - Sale <sup>25</sup>
	50.000	859.47	07/10/20	869.00	9.53 W	0.00	5 of 7 - Sale <sup>25</sup>
	20.000	343.79	07/10/20	375.22	31.43 W	0.00	6 of 7 - Sale <sup>25</sup>

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(continued)

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CANOPY GROWTH CORPORATION COMM ON SHARES / CUSIP: 138035100 / Symbol: (cont'd)							
	15.000	257.84	07/10/20	281.29		0.00	7 of 7 - Sale <sup>25</sup>
07/13/20	285.000	4,899.00	Various	5,104.47	23.45 W 205.47 W	0.00	Total of 7 transactions
	9 transactions for 07/29/20. Total proceeds and cost reported to the IRS.						
	2.000	38.00	07/27/20	36.92	...	1.08	1 of 9 - Sale <sup>25</sup>
	15.000	281.09	07/28/20	313.70	...	-32.61	2 of 9 - Sale <sup>25</sup>
	37.000	693.37	07/28/20	715.94	...	-22.57	3 of 9 - Sale <sup>25</sup>
	10.000	187.39	07/28/20	209.21	...	-21.82	4 of 9 - Sale <sup>25</sup>
	13.000	243.61	07/28/20	262.25	...	-18.64	5 of 9 - Sale <sup>25</sup>
	10.000	187.39	07/28/20	204.50	...	-17.11	6 of 9 - Sale <sup>25</sup>
	15.000	281.09	07/28/20	286.04	...	-4.95	7 of 9 - Sale <sup>25</sup>
	35.000	664.98	07/28/20	653.12	...	11.86	8 of 9 - Sale <sup>25</sup>
	50.000	949.97	07/28/20	935.93	...	14.04	9 of 9 - Sale <sup>25</sup>
07/29/20	187.000	3,526.89	Various	3,617.61	...	-90.72	Total of 9 transactions
07/29/20	13.000	246.99	07/28/20	257.69	10.70 W	0.00	Sale <sup>25</sup>
	5 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	35.000	524.11	09/23/20	526.03	1.92 W	0.00	1 of 5 - Sale <sup>25</sup>
	4.000	59.90	09/23/20	60.06	0.16 W	0.00	2 of 5 - Sale <sup>25</sup>
	4.000	59.73	09/23/20	59.96	0.23 W	0.00	3 of 5 - Sale <sup>25</sup>
	35.000	522.66	09/23/20	525.17	2.51 W	0.00	4 of 5 - Sale <sup>25</sup>
	61.000	910.92	09/23/20	911.95	1.03 W	0.00	5 of 5 - Sale <sup>25</sup>
09/23/20	139.000	2,077.32	Various	2,083.17	5.85 W	0.00	Total of 5 transactions
	5 transactions for 10/13/20. Total proceeds and cost reported to the IRS.						
	35.000	644.73	10/13/20	646.51	...	-1.78	1 of 5 - Sale <sup>25</sup>
	4.000	73.68	10/13/20	73.83	...	-0.15	2 of 5 - Sale <sup>25</sup>
	61.000	1,123.67	10/13/20	1,123.42	...	0.25	3 of 5 - Sale <sup>25</sup>
	64.000	1,178.94	10/13/20	1,177.59	...	1.35	4 of 5 - Sale <sup>25</sup>
	86.000	1,584.19	10/13/20	1,582.39	...	1.80	5 of 5 - Sale <sup>25</sup>
10/13/20	250.000	4,605.21	Various	4,603.74	...	1.47	Total of 5 transactions
	<b>Security total:</b>	<b>17,055.51</b>		<b>17,459.38</b>	<b>314.62 W</b>	<b>-89.25</b>	

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CAPRICOR THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 14070B309 / Symbol:							
4 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	100.000	684.99	04/30/20	771.01	...	-86.02	1 of 4 - Sale <sup>25</sup>
	75.000	513.74	04/30/20	578.26	...	-64.52	2 of 4 - Sale <sup>25</sup>
	50.000	342.50	04/30/20	394.50	...	-52.00	3 of 4 - Sale <sup>25</sup>
	25.000	171.25	04/30/20	192.75	...	-21.50	4 of 4 - Sale <sup>25</sup>
05/01/20	250.000	1,712.48	Various	1,936.52	...	-224.04	Total of 4 transactions
07/14/20	200.000	792.70	07/14/20	797.30	...	-4.60	Sale <sup>25</sup>
3 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	50.000	288.23	09/23/20	287.50	...	0.73	1 of 3 - Sale <sup>25</sup>
	100.000	576.46	09/23/20	575.00	...	1.46	2 of 3 - Sale <sup>25</sup>
	100.000	576.46	09/23/20	575.00	...	1.46	3 of 3 - Sale <sup>25</sup>
09/23/20	250.000	1,441.15	Various	1,437.50	...	3.65	Total of 3 transactions
	<b>Security total:</b>	<b>3,946.33</b>		<b>4,171.32</b>	...	<b>-224.99</b>	
CARDIFF ONCOLOGY, INC. COMMON STOCK / CUSIP: 14147L108 / Symbol:							
2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	100.000	504.58	06/25/20	537.21	...	-32.63	1 of 2 - Sale <sup>25</sup>
	150.000	756.86	06/25/20	772.32	...	-15.46	2 of 2 - Sale <sup>25</sup>
06/25/20	250.000	1,261.44	Various	1,309.53	...	-48.09	Total of 2 transactions
06/25/20	100.000	435.67	06/25/20	458.00	22.33 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,697.11</b>		<b>1,767.53</b>	<b>22.33 W</b>	<b>-48.09</b>	
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
04/01/20	25.000	280.75	03/31/20	361.25	...	-80.50	Sale <sup>25</sup>
3 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	6.000	87.60	05/18/20	89.63	2.03 W	0.00	1 of 3 - Sale <sup>25</sup>
	15.000	219.00	05/18/20	224.08	5.08 W	0.00	2 of 3 - Sale <sup>25</sup>
	4.000	58.40	05/18/20	59.75	1.35 W	0.00	3 of 3 - Sale <sup>25</sup>
05/19/20	25.000	365.00	Various	373.46	8.46 W	0.00	Total of 3 transactions
6 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	1.000	16.58	06/01/20	17.34	0.76 W	0.00	1 of 6 - Sale <sup>25</sup>
	6.000	99.50	06/01/20	104.03	4.53 W	0.00	2 of 6 - Sale <sup>25</sup>
	15.000	248.75	06/01/20	260.08	11.33 W	0.00	3 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol: (cont'd)							
	3.000	49.75	06/02/20	52.48	2.73 W	0.00	4 of 6 - Sale <sup>25</sup>
	25.000	414.59	06/02/20	428.90	14.31 W	0.00	5 of 6 - Sale <sup>25</sup>
	50.000	829.17	06/02/20	858.00	28.83 W	0.00	6 of 6 - Sale <sup>25</sup>
06/02/20	100.000	1,658.34	Various	1,720.83	62.49 W	0.00	Total of 6 transactions
	5 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	1.000	22.50	06/04/20	18.84	...	3.66	1 of 5 - Sale <sup>25</sup>
	3.000	67.50	06/04/20	56.99	...	10.51	2 of 5 - Sale <sup>25</sup>
	6.000	135.00	06/04/20	113.04	...	21.96	3 of 5 - Sale <sup>25</sup>
	15.000	337.49	06/04/20	282.61	...	54.88	4 of 5 - Sale <sup>25</sup>
	25.000	562.48	06/04/20	466.43	...	96.05	5 of 5 - Sale <sup>25</sup>
06/09/20	50.000	1,124.97	Various	937.91	...	187.06	Total of 5 transactions
06/09/20	25.000	562.48	06/05/20	583.63	21.15 W	0.00	Sale <sup>25</sup>
	5 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	25.000	427.74	06/10/20	532.16	104.42 W	0.00	1 of 5 - Sale <sup>25</sup>
	17.000	290.86	06/10/20	369.34	78.48 W	0.00	2 of 5 - Sale <sup>25</sup>
	8.000	136.87	06/10/20	173.80	36.93 W	0.00	3 of 5 - Sale <sup>25</sup>
	25.000	427.74	06/10/20	626.41	198.67 W	0.00	4 of 5 - Sale <sup>25</sup>
	25.000	427.73	06/10/20	521.98	94.25 W	0.00	5 of 5 - Sale <sup>25</sup>
06/11/20	100.000	1,710.94	Various	2,223.69	512.75 W	0.00	Total of 5 transactions
06/24/20	50.000	807.67	06/24/20	806.00	...	1.67	Sale <sup>25</sup>
	6 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	8.000	129.23	06/24/20	167.56	38.33 W	0.00	1 of 6 - Sale <sup>25</sup>
	17.000	274.61	06/24/20	356.07	81.46 W	0.00	2 of 6 - Sale <sup>25</sup>
	25.000	403.83	06/24/20	606.90	203.07 W	0.00	3 of 6 - Sale <sup>25</sup>
	25.000	403.83	06/24/20	502.47	98.64 W	0.00	4 of 6 - Sale <sup>25</sup>
	25.000	403.84	06/24/20	408.23	4.39 W	0.00	5 of 6 - Sale <sup>25</sup>
	50.000	807.67	06/24/20	816.40	8.73 W	0.00	6 of 6 - Sale <sup>25</sup>
06/24/20	150.000	2,423.01	Various	2,857.63	434.62 W	0.00	Total of 6 transactions
	5 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	8.000	132.00	06/29/20	171.11	39.11 W	0.00	1 of 5 - Sale <sup>25</sup>
	17.000	280.49	06/29/20	363.62	83.13 W	0.00	2 of 5 - Sale <sup>25</sup>
	25.000	412.49	06/29/20	618.02	205.53 W	0.00	3 of 5 - Sale <sup>25</sup>
	25.000	412.49	06/29/20	513.58	101.09 W	0.00	4 of 5 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol: (cont'd)							
	25.000	412.48	06/29/20	419.34	6.86 W	0.00	5 of 5 - Sale <sup>25</sup>
06/29/20	100.000	1,649.95	Various	2,085.67	435.72 W	0.00	Total of 5 transactions
	10 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	50.000	730.73	07/08/20	746.04	15.31 W	0.00	1 of 10 - Sale <sup>25</sup>
	8.000	116.92	07/08/20	157.10	40.18 W	0.00	2 of 10 - Sale <sup>25</sup>
	17.000	248.44	07/08/20	333.85	85.41 W	0.00	3 of 10 - Sale <sup>25</sup>
	25.000	365.36	07/08/20	574.24	208.88 W	0.00	4 of 10 - Sale <sup>25</sup>
	25.000	376.54	07/08/20	479.84	103.30 W	0.00	5 of 10 - Sale <sup>25</sup>
	25.000	376.53	07/08/20	385.61	9.08 W	0.00	6 of 10 - Sale <sup>25</sup>
	50.000	764.98	07/08/20	781.25	16.27 W	0.00	7 of 10 - Sale <sup>25</sup>
	8.000	122.40	07/08/20	162.74	40.34 W	0.00	8 of 10 - Sale <sup>25</sup>
	17.000	260.09	07/08/20	345.85	85.76 W	0.00	9 of 10 - Sale <sup>25</sup>
	25.000	382.48	07/08/20	591.88	209.40 W	0.00	10 of 10 - Sale <sup>25</sup>
07/08/20	250.000	3,744.47	Various	4,558.40	813.93 W	0.00	Total of 10 transactions
	2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.						
	25.000	375.99	07/08/20	488.80	112.81 W	0.00	1 of 2 - Sale <sup>25</sup>
	25.000	376.00	07/08/20	394.58	18.58 W	0.00	2 of 2 - Sale <sup>25</sup>
07/09/20	50.000	751.99	Various	883.38	131.39 W	0.00	Total of 2 transactions
07/10/20	50.000	795.07	07/10/20	788.68	...	6.39	Sale <sup>25</sup>
	3 transactions for 07/10/20. Total proceeds and cost reported to the IRS.						
	8.000	127.21	07/10/20	167.37	40.16 W	0.00	1 of 3 - Sale <sup>25</sup>
	17.000	270.32	07/10/20	355.69	85.37 W	0.00	2 of 3 - Sale <sup>25</sup>
	25.000	397.54	07/10/20	605.60	208.06 W	0.00	3 of 3 - Sale <sup>25</sup>
07/10/20	50.000	795.07	Various	1,128.66	333.59 W	0.00	Total of 3 transactions
07/28/20	25.000	355.76	07/27/20	460.31	104.55 W	0.00	Sale <sup>25</sup>
	2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.						
	17.000	231.22	08/06/20	324.39	...	-93.17	1 of 2 - Sale <sup>25</sup>
	3.000	40.81	08/06/20	57.24	...	-16.43	2 of 2 - Sale <sup>25</sup>
08/06/20	20.000	272.03	Various	381.63	...	-109.60	Total of 2 transactions
	7 transactions for 08/06/20. Total proceeds and cost reported to the IRS.						
	150.000	2,040.19	08/06/20	2,070.00	1.99 W	-27.82	1 of 7 - Sale <sup>25</sup>
	3.000	40.89	08/06/20	56.46	15.57 W	0.00	2 of 7 - Sale <sup>25</sup>
	17.000	231.71	08/06/20	319.97	88.26 W	0.00	3 of 7 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol: (cont'd)							
	5.000	68.01	08/06/20	94.10	26.09 W	0.00	4 of 7 - Sale <sup>25</sup>
	25.000	340.03	08/06/20	449.55	109.52 W	0.00	5 of 7 - Sale <sup>25</sup>
	25.000	340.03	08/06/20	553.06	213.03 W	0.00	6 of 7 - Sale <sup>25</sup>
	25.000	340.03	08/06/20	363.58	23.55 W	0.00	7 of 7 - Sale <sup>25</sup>
08/06/20	250.000	3,400.89	Various	3,906.72	478.01 W	-27.82	Total of 7 transactions
	7 transactions for 08/14/20. Total proceeds and cost reported to the IRS.						
	25.000	388.74	08/14/20	605.78	...	-217.04	1 of 7 - Sale <sup>25</sup>
	22.000	342.09	08/14/20	442.00	...	-99.91	2 of 7 - Sale <sup>25</sup>
	25.000	388.74	08/14/20	416.30	...	-27.56	3 of 7 - Sale <sup>25</sup>
	5.000	77.75	08/14/20	104.39	...	-26.64	4 of 7 - Sale <sup>25</sup>
	2.000	31.10	08/14/20	40.08	...	-8.98	5 of 7 - Sale <sup>25</sup>
	1.000	15.55	08/14/20	20.07	...	-4.52	6 of 7 - Sale <sup>25</sup>
	10.000	155.49	08/14/20	159.09	...	-3.60	7 of 7 - Sale <sup>25</sup>
08/14/20	90.000	1,399.46	Various	1,787.71	...	-388.25	Total of 7 transactions
	7 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	200.000	3,127.91	10/08/20	3,139.56	...	-11.65	1 of 7 - Sale <sup>25</sup>
	183.000	2,862.03	10/08/20	2,872.69	...	-10.66	2 of 7 - Sale <sup>25</sup>
	150.000	2,345.93	10/08/20	2,354.15	...	-8.22	3 of 7 - Sale <sup>25</sup>
	10.000	156.50	10/08/20	156.98	...	-0.48	4 of 7 - Sale <sup>25</sup>
	7.000	109.55	10/08/20	109.88	...	-0.33	5 of 7 - Sale <sup>25</sup>
	50.000	784.11	10/08/20	781.88	...	2.23	6 of 7 - Sale <sup>25</sup>
	100.000	1,568.22	10/08/20	1,563.65	...	4.57	7 of 7 - Sale <sup>25</sup>
10/08/20	700.000	10,954.25	Various	10,978.79	...	-24.54	Total of 7 transactions
10/08/20	1,500.000	23,523.34	10/08/20	23,550.00	13.33 W	-13.33	Sale <sup>25</sup>
10/13/20	200.000	2,846.21	10/13/20	2,851.29	...	-5.08	Sale <sup>25</sup>
	<b>Security total:</b>	<b>59,421.65</b>		<b>63,225.64</b>	<b>3,349.99 W</b>	<b>-454.00</b>	
CARNIVAL PLC / CUSIP: 14365C103 / Symbol:							
06/04/20	50.000	719.48	06/03/20	741.61	22.13 W	0.00	Sale <sup>25</sup>
	2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	25.000	367.99	06/10/20	451.77	83.78 W	0.00	1 of 2 - Sale <sup>25</sup>
	25.000	367.99	06/10/20	454.41	86.42 W	0.00	2 of 2 - Sale <sup>25</sup>
06/11/20	50.000	735.98	Various	906.18	170.20 W	0.00	Total of 2 transactions

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2020 1099-B\* OMB No. 1545-0715

(continued)

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CARNIVAL PLC / CUSIP: 14365C103 / Symbol: (cont'd)							
6 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	25.000	345.26	06/23/20	445.44	100.18 W	0.00	1 of 6 - Sale <sup>25</sup>
	25.000	345.26	06/23/20	448.07	102.81 W	0.00	2 of 6 - Sale <sup>25</sup>
	50.000	690.53	06/23/20	727.89	37.36 W	0.00	3 of 6 - Sale <sup>25</sup>
	100.000	1,381.06	06/23/20	1,488.99	107.93 W	0.00	4 of 6 - Sale <sup>25</sup>
	49.000	676.72	06/23/20	733.87	57.15 W	0.00	5 of 6 - Sale <sup>25</sup>
	1.000	13.46	06/23/20	18.99	5.53 W	0.00	6 of 6 - Sale <sup>25</sup>
06/24/20	250.000	3,452.29	Various	3,863.25	410.96 W	0.00	Total of 6 transactions
3 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	24.000	303.85	07/08/20	401.69	97.84 W	0.00	1 of 3 - Sale <sup>25</sup>
	25.000	316.51	07/08/20	421.06	104.55 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	12.66	07/08/20	13.48	0.82 W	0.00	3 of 3 - Sale <sup>25</sup>
07/08/20	50.000	633.02	Various	836.23	203.21 W	0.00	Total of 3 transactions
3 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	100.000	1,278.15	07/10/20	1,385.74	...	-107.59	1 of 3 - Sale <sup>25</sup>
	40.000	511.26	07/10/20	564.65	...	-53.39	2 of 3 - Sale <sup>25</sup>
	1.000	12.78	07/10/20	13.95	...	-1.17	3 of 3 - Sale <sup>25</sup>
07/10/20	141.000	1,802.19	Various	1,964.34	...	-162.15	Total of 3 transactions
07/10/20	49.000	626.29	07/10/20	662.74	30.50 W	-5.95	Sale <sup>25</sup>
7 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	25.000	333.24	07/15/20	453.00	...	-119.76	1 of 7 - Sale <sup>25</sup>
	15.000	199.94	07/15/20	270.22	...	-70.28	2 of 7 - Sale <sup>25</sup>
	41.000	546.51	07/15/20	601.96	...	-55.45	3 of 7 - Sale <sup>25</sup>
	9.000	119.97	07/15/20	162.14	...	-42.17	4 of 7 - Sale <sup>25</sup>
	8.000	106.64	07/15/20	120.83	...	-14.19	5 of 7 - Sale <sup>25</sup>
	1.000	13.33	07/15/20	19.47	...	-6.14	6 of 7 - Sale <sup>25</sup>
	1.000	13.33	07/15/20	14.76	...	-1.43	7 of 7 - Sale <sup>25</sup>
07/16/20	100.000	1,332.96	Various	1,642.38	...	-309.42	Total of 7 transactions
	<b>Security total:</b>	<b>9,302.21</b>		<b>10,616.73</b>	<b>837.00 W</b>	<b>-477.52</b>	
CARROLS RESTAURANT GROUP, INC. COMMON STOCK / CUSIP: 14574X104 / Symbol:							
05/11/20	25.000	101.16	05/08/20	101.70	...	-0.54	Sale <sup>25</sup>

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Account 527125207

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(continued)

03/15/2021

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CARS.COM INC. COMMON STOCK / CUSIP: 14575E105 / Symbol:							
03/31/20	100.000	456.99	03/30/20	415.00	...	41.99	Sale <sup>25</sup>
	3 transactions for 05/27/20. Total proceeds and cost reported to the IRS.						
	75.000	487.48	05/21/20	458.71	...	28.77	1 of 3 - Sale <sup>25</sup>
	32.000	207.99	05/26/20	211.43	...	-3.44	2 of 3 - Sale <sup>25</sup>
	25.000	162.49	05/26/20	159.20	...	3.29	3 of 3 - Sale <sup>25</sup>
05/27/20	132.000	857.96	Various	829.34	...	28.62	Total of 3 transactions
	3 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	100.000	811.98	07/30/20	867.00	...	-55.02	1 of 3 - Sale <sup>25</sup>
	50.000	405.99	07/30/20	432.80	...	-26.81	2 of 3 - Sale <sup>25</sup>
	50.000	405.99	07/30/20	418.49	...	-12.50	3 of 3 - Sale <sup>25</sup>
07/30/20	200.000	1,623.96	Various	1,718.29	...	-94.33	Total of 3 transactions
	2 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	100.000	766.98	07/30/20	796.99	30.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	383.48	07/30/20	397.78	14.30 W	0.00	2 of 2 - Sale <sup>25</sup>
07/30/20	150.000	1,150.46	Various	1,194.77	44.31 W	0.00	Total of 2 transactions
10/15/20	54.000	540.52	10/15/20	542.71	...	-2.19	Sale <sup>25</sup>
10/15/20	500.000	4,999.87	10/15/20	5,025.00	2.71 W	-22.42	Sale <sup>25</sup>
	<b>Security total:</b>	<b>9,629.76</b>		<b>9,725.11</b>	<b>47.02 W</b>	<b>-48.33</b>	
CARVANA CO. / CUSIP: 146869102 / Symbol:							
	3 transactions for 03/24/20. Total proceeds and cost reported to the IRS.						
	1.000	45.00	03/23/20	34.87	...	10.13	1 of 3 - Sale <sup>25</sup>
	5.000	225.00	03/23/20	174.36	...	50.64	2 of 3 - Sale <sup>25</sup>
	9.000	405.00	03/23/20	313.85	...	91.15	3 of 3 - Sale <sup>25</sup>
03/24/20	15.000	675.00	Various	523.08	...	151.92	Total of 3 transactions
03/26/20	10.000	587.34	03/25/20	579.64	...	7.70	Sale <sup>25</sup>
05/27/20	5.000	477.00	05/26/20	512.62	35.62 W	0.00	Sale <sup>25</sup>
	2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	5.000	550.73	06/08/20	559.86	3.65 W	-5.48	1 of 2 - Sale <sup>25</sup>
	5.000	550.74	06/08/20	595.48	44.74 W	0.00	2 of 2 - Sale <sup>25</sup>
06/09/20	10.000	1,101.47	Various	1,155.34	48.39 W	-5.48	Total of 2 transactions

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CARVANA CO. / CUSIP: 146869102 / Symbol: (cont'd)							
2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	5.000	648.32	06/23/20	699.33	51.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	259.33	06/23/20	265.49	6.16 W	0.00	2 of 2 - Sale <sup>25</sup>
06/23/20	7.000	907.65	Various	964.82	57.17 W	0.00	Total of 2 transactions
3 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	5.000	704.61	07/17/20	758.18	53.57 W	0.00	1 of 3 - Sale <sup>25</sup>
	2.000	281.84	07/17/20	289.03	7.19 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	703.53	07/17/20	764.17	60.64 W	0.00	3 of 3 - Sale <sup>25</sup>
07/17/20	12.000	1,689.98	Various	1,811.38	121.40 W	0.00	Total of 3 transactions
08/06/20	3.000	681.88	08/06/20	681.00	...	0.88	Sale <sup>25</sup>
2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	5.000	1,136.47	08/06/20	1,195.64	59.17 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	454.59	08/06/20	461.19	6.60 W	0.00	2 of 2 - Sale <sup>25</sup>
08/06/20	7.000	1,591.06	Various	1,656.83	65.77 W	0.00	Total of 2 transactions
3 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	5.000	1,000.47	08/07/20	1,108.96	108.49 W	0.00	1 of 3 - Sale <sup>25</sup>
	2.000	396.49	08/07/20	426.51	30.02 W	0.00	2 of 3 - Sale <sup>25</sup>
	18.000	3,568.42	08/07/20	3,779.23	210.81 W	0.00	3 of 3 - Sale <sup>25</sup>
08/07/20	25.000	4,965.38	Various	5,314.70	349.32 W	0.00	Total of 3 transactions
08/10/20	2.000	380.17	08/10/20	387.46	...	-7.29	Sale <sup>25</sup>
11 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	20.000	3,809.47	08/10/20	3,881.36	25.16 W	-46.73	1 of 11 - Sale <sup>25</sup>
	5.000	930.87	08/10/20	1,046.72	115.85 W	0.00	2 of 11 - Sale <sup>25</sup>
	2.000	372.35	08/10/20	405.31	32.96 W	0.00	3 of 11 - Sale <sup>25</sup>
	8.000	1,489.38	08/10/20	1,594.87	105.49 W	0.00	4 of 11 - Sale <sup>25</sup>
	10.000	1,861.73	08/10/20	2,007.12	145.39 W	0.00	5 of 11 - Sale <sup>25</sup>
	25.000	4,654.32	08/10/20	4,735.02	80.70 W	0.00	6 of 11 - Sale <sup>25</sup>
	5.000	939.08	08/10/20	1,058.20	119.12 W	0.00	7 of 11 - Sale <sup>25</sup>
	2.000	375.63	08/10/20	409.90	34.27 W	0.00	8 of 11 - Sale <sup>25</sup>
	5.000	939.08	08/10/20	1,015.04	75.96 W	0.00	9 of 11 - Sale <sup>25</sup>
	8.000	1,502.52	08/10/20	1,613.25	110.73 W	0.00	10 of 11 - Sale <sup>25</sup>
	5.000	952.37	08/10/20	1,026.90	74.53 W	0.00	11 of 11 - Sale <sup>25</sup>
08/10/20	95.000	17,826.80	Various	18,793.69	920.16 W	-46.73	Total of 11 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CARVANA CO. / CUSIP: 146869102 / Symbol: (cont'd)							
6 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	5.000	944.43	08/11/20	1,073.07	...	-128.64	1 of 6 - Sale <sup>25</sup>
	8.000	1,511.08	08/11/20	1,637.05	...	-125.97	2 of 6 - Sale <sup>25</sup>
	5.000	944.43	08/11/20	1,029.91	...	-85.48	3 of 6 - Sale <sup>25</sup>
	2.000	377.77	08/11/20	415.85	...	-38.08	4 of 6 - Sale <sup>25</sup>
	2.000	377.77	08/11/20	411.39	...	-33.62	5 of 6 - Sale <sup>25</sup>
	3.000	566.66	08/11/20	582.05	...	-15.39	6 of 6 - Sale <sup>25</sup>
08/11/20	25.000	4,722.14	Various	5,149.32	...	-427.18	Total of 6 transactions
2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	3.000	579.48	08/11/20	637.09	...	-57.61	1 of 2 - Sale <sup>25</sup>
	7.000	1,352.13	08/11/20	1,407.35	...	-55.22	2 of 2 - Sale <sup>25</sup>
08/12/20	10.000	1,931.61	Various	2,044.44	...	-112.83	Total of 2 transactions
09/17/20	1.000	166.45	09/17/20	168.08	...	-1.63	Sale <sup>25</sup>
	<b>Security total:</b>	<b>37,703.93</b>		<b>39,742.40</b>	<b>1,597.83 W</b>	<b>-440.64</b>	
CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol:							
10 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	1.000	3.59	08/12/20	3.53	...	0.06	1 of 10 - Sale <sup>25</sup>
	25.000	88.74	08/12/20	88.26	...	0.48	2 of 10 - Sale <sup>25</sup>
	22.000	78.98	08/12/20	77.66	...	1.32	3 of 10 - Sale <sup>25</sup>
	28.000	100.52	08/12/20	98.85	...	1.67	4 of 10 - Sale <sup>25</sup>
	50.000	179.49	08/12/20	176.51	...	2.98	5 of 10 - Sale <sup>25</sup>
	72.000	258.47	08/12/20	254.18	...	4.29	6 of 10 - Sale <sup>25</sup>
	102.000	366.17	08/12/20	360.08	...	6.09	7 of 10 - Sale <sup>25</sup>
	140.000	496.98	08/12/20	487.20	...	9.78	8 of 10 - Sale <sup>25</sup>
	175.000	621.21	08/12/20	609.00	...	12.21	9 of 10 - Sale <sup>25</sup>
	785.000	2,786.59	08/12/20	2,731.80	...	54.79	10 of 10 - Sale <sup>25</sup>
08/12/20	1,400.000	4,980.74	Various	4,887.07	...	93.67	Total of 10 transactions
23 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	100.000	356.49	08/12/20	358.00	1.51 W	0.00	1 of 23 - Sale <sup>25</sup>
	200.000	711.96	08/12/20	716.00	4.04 W	0.00	2 of 23 - Sale <sup>25</sup>
	300.000	1,067.93	08/12/20	1,074.00	6.07 W	0.00	3 of 23 - Sale <sup>25</sup>
	100.000	355.09	08/12/20	361.51	6.42 W	0.00	4 of 23 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol: (cont'd)							
	100.000	354.99	08/12/20	362.02	7.03 W	0.00	5 of 23 - Sale <sup>25</sup>
	100.000	354.98	08/12/20	362.02	7.04 W	0.00	6 of 23 - Sale <sup>25</sup>
	300.000	1,064.94	08/12/20	1,086.07	21.13 W	0.00	7 of 23 - Sale <sup>25</sup>
	300.000	1,064.93	08/12/20	1,080.00	15.07 W	0.00	8 of 23 - Sale <sup>25</sup>
	100.000	354.98	08/12/20	373.42	18.44 W	0.00	9 of 23 - Sale <sup>25</sup>
	100.000	354.99	08/12/20	374.03	19.04 W	0.00	10 of 23 - Sale <sup>25</sup>
	100.000	354.99	08/12/20	374.04	19.05 W	0.00	11 of 23 - Sale <sup>25</sup>
	100.000	354.99	08/12/20	374.05	19.06 W	0.00	12 of 23 - Sale <sup>25</sup>
	100.000	354.99	08/12/20	374.04	19.05 W	0.00	13 of 23 - Sale <sup>25</sup>
	100.000	354.99	08/12/20	374.04	19.05 W	0.00	14 of 23 - Sale <sup>25</sup>
	100.000	354.98	08/12/20	366.44	11.46 W	0.00	15 of 23 - Sale <sup>25</sup>
	100.000	354.98	08/12/20	367.04	12.06 W	0.00	16 of 23 - Sale <sup>25</sup>
	100.000	354.98	08/12/20	367.05	12.07 W	0.00	17 of 23 - Sale <sup>25</sup>
	100.000	354.98	08/12/20	367.06	12.08 W	0.00	18 of 23 - Sale <sup>25</sup>
	100.000	354.98	08/12/20	367.05	12.07 W	0.00	19 of 23 - Sale <sup>25</sup>
	100.000	354.98	08/12/20	367.05	12.07 W	0.00	20 of 23 - Sale <sup>25</sup>
	75.000	266.23	08/12/20	283.39	17.16 W	0.00	21 of 23 - Sale <sup>25</sup>
	100.000	354.98	08/12/20	377.25	22.27 W	0.00	22 of 23 - Sale <sup>25</sup>
	100.000	354.98	08/12/20	377.85	22.87 W	0.00	23 of 23 - Sale <sup>25</sup>
08/12/20	2,975.000	10,567.31	Various	10,883.42	316.11 W	0.00	Total of 23 transactions
	7 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	4.000	12.96	08/13/20	14.08	1.12 W	0.00	1 of 7 - Sale <sup>25</sup>
	21.000	68.04	08/13/20	73.96	5.92 W	0.00	2 of 7 - Sale <sup>25</sup>
	75.000	242.98	08/13/20	264.12	21.14 W	0.00	3 of 7 - Sale <sup>25</sup>
	100.000	323.98	08/13/20	352.17	28.19 W	0.00	4 of 7 - Sale <sup>25</sup>
	25.000	81.00	08/13/20	88.02	7.02 W	0.00	5 of 7 - Sale <sup>25</sup>
	45.000	145.79	08/13/20	163.02	17.23 W	0.00	6 of 7 - Sale <sup>25</sup>
	100.000	323.98	08/13/20	352.07	28.09 W	0.00	7 of 7 - Sale <sup>25</sup>
08/13/20	370.000	1,198.73	Various	1,307.44	108.71 W	0.00	Total of 7 transactions
	16 transactions for 08/14/20. Total proceeds and cost reported to the IRS.						
	100.000	334.98	08/14/20	370.09	...	-35.11	1 of 16 - Sale <sup>25</sup>
	75.000	251.23	08/14/20	277.64	...	-26.41	2 of 16 - Sale <sup>25</sup>
	45.000	150.74	08/14/20	171.13	...	-20.39	3 of 16 - Sale <sup>25</sup>
	55.000	184.24	08/14/20	204.54	...	-20.30	4 of 16 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol: (cont'd)							
	55.000	184.24	08/14/20	203.93	...	-19.69	5 of 16 - Sale <sup>25</sup>
	45.000	150.74	08/14/20	169.75	...	-19.01	6 of 16 - Sale <sup>25</sup>
	54.000	180.89	08/14/20	199.90	...	-19.01	7 of 16 - Sale <sup>25</sup>
	45.000	150.74	08/14/20	167.35	...	-16.61	8 of 16 - Sale <sup>25</sup>
	45.000	150.74	08/14/20	167.12	...	-16.38	9 of 16 - Sale <sup>25</sup>
	29.000	97.14	08/14/20	107.84	...	-10.70	10 of 16 - Sale <sup>25</sup>
	21.000	70.35	08/14/20	79.21	...	-8.86	11 of 16 - Sale <sup>25</sup>
	25.000	83.75	08/14/20	92.52	...	-8.77	12 of 16 - Sale <sup>25</sup>
	100.000	334.99	08/14/20	342.00	...	-7.01	13 of 16 - Sale <sup>25</sup>
	4.000	13.40	08/14/20	15.08	...	-1.68	14 of 16 - Sale <sup>25</sup>
	1.000	3.35	08/14/20	3.72	...	-0.37	15 of 16 - Sale <sup>25</sup>
	1.000	3.35	08/14/20	3.70	...	-0.35	16 of 16 - Sale <sup>25</sup>
08/14/20	700.000	2,344.87	Various	2,575.52	...	-230.65	Total of 16 transactions
	9 transactions for 08/14/20. Total proceeds and cost reported to the IRS.						
	55.000	184.52	08/14/20	200.35	15.83 W	0.00	1 of 9 - Sale <sup>25</sup>
	45.000	150.97	08/14/20	164.19	13.22 W	0.00	2 of 9 - Sale <sup>25</sup>
	45.000	150.75	08/14/20	164.20	13.45 W	0.00	3 of 9 - Sale <sup>25</sup>
	55.000	184.24	08/14/20	200.68	16.44 W	0.00	4 of 9 - Sale <sup>25</sup>
	1.000	3.35	08/14/20	3.65	0.30 W	0.00	5 of 9 - Sale <sup>25</sup>
	4.000	13.40	08/14/20	14.80	1.40 W	0.00	6 of 9 - Sale <sup>25</sup>
	21.000	70.35	08/14/20	77.74	7.39 W	0.00	7 of 9 - Sale <sup>25</sup>
	45.000	150.74	08/14/20	166.59	15.85 W	0.00	8 of 9 - Sale <sup>25</sup>
	29.000	97.15	08/14/20	105.81	8.66 W	0.00	9 of 9 - Sale <sup>25</sup>
08/14/20	300.000	1,005.47	Various	1,098.01	92.54 W	0.00	Total of 9 transactions
	<b>Security total:</b>	<b>20,097.12</b>		<b>20,751.46</b>	<b>517.36 W</b>	<b>-136.98</b>	
CASTLIGHT HEALTH, INC. / CUSIP: 14862Q100 / Symbol:							
	2 transactions for 05/11/20. Total proceeds and cost reported to the IRS.						
	120.000	98.74	05/08/20	99.00	...	-0.26	1 of 2 - Sale <sup>25</sup>
	5.000	4.11	05/08/20	4.13	...	-0.02	2 of 2 - Sale <sup>25</sup>
05/11/20	125.000	102.85	Various	103.13	...	-0.28	Total of 2 transactions

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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CATALYST PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 14888U101 / Symbol:							
2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	14.000	68.35	07/14/20	69.02	...	-0.67	1 of 2 - Sale <sup>25</sup>
	11.000	53.70	07/14/20	54.23	...	-0.53	2 of 2 - Sale <sup>25</sup>
07/14/20	25.000	122.05	Various	123.25	...	-1.20	Total of 2 transactions
CENTURY ALUMINUM COMPANY COMMON STOCK / CUSIP: 156431108 / Symbol:							
7 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	300.000	3,131.89	08/05/20	3,146.37	...	-14.48	1 of 7 - Sale <sup>25</sup>
	40.000	417.58	08/05/20	419.44	...	-1.86	2 of 7 - Sale <sup>25</sup>
	5.000	52.20	08/05/20	52.45	...	-0.25	3 of 7 - Sale <sup>25</sup>
	10.000	104.45	08/05/20	103.78	...	0.67	4 of 7 - Sale <sup>25</sup>
	50.000	521.98	08/05/20	518.90	...	3.08	5 of 7 - Sale <sup>25</sup>
	100.000	1,044.46	08/05/20	1,037.80	...	6.66	6 of 7 - Sale <sup>25</sup>
	190.000	1,983.53	08/05/20	1,971.82	...	11.71	7 of 7 - Sale <sup>25</sup>
08/05/20	695.000	7,256.09	Various	7,250.56	...	5.53	Total of 7 transactions
CENTURY CASINOS, INC. COMMON STOCK / CUSIP: 156492100 / Symbol:							
03/24/20	200.000	507.96	03/23/20	306.56	...	201.40	Sale <sup>25</sup>
2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	75.000	390.04	05/28/20	427.50	...	-37.46	1 of 2 - Sale <sup>25</sup>
	25.000	130.01	05/28/20	142.50	...	-12.49	2 of 2 - Sale <sup>25</sup>
05/29/20	100.000	520.05	Various	570.00	...	-49.95	Total of 2 transactions
	<b>Security total:</b>	<b>1,028.01</b>		<b>876.56</b>	...	<b>151.45</b>	
CHEESECAKE FACTORY INCORPORATED (THE) COMMON STOCK / CUSIP: 163072101 / Symbol:							
4 transactions for 04/06/20. Total proceeds and cost reported to the IRS.							
	1.000	16.60	04/03/20	15.39	...	1.21	1 of 4 - Sale <sup>25</sup>
	2.000	33.20	04/03/20	30.56	...	2.64	2 of 4 - Sale <sup>25</sup>
	4.000	66.40	04/03/20	61.12	...	5.28	3 of 4 - Sale <sup>25</sup>
	24.000	398.40	04/03/20	366.72	...	31.68	4 of 4 - Sale <sup>25</sup>
04/06/20	31.000	514.60	Various	473.79	...	40.81	Total of 4 transactions
06/29/20	50.000	1,127.47	06/29/20	1,128.04	...	-0.57	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,642.07</b>		<b>1,601.83</b>	...	<b>40.24</b>	

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Robinhood Securities LLC

Account 527125207

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHEGG, INC. / CUSIP: 163092109 / Symbol:							
05/07/20	15.000	907.17	05/06/20	903.79	...	3.38	Sale <sup>25</sup>
05/13/20	15.000	946.47	05/12/20	942.52	...	3.95	Sale <sup>25</sup>
07/31/20	50.000	3,955.42	07/31/20	3,989.75	...	-34.33	Sale <sup>25</sup>
	<b>Security total:</b>	<b>5,809.06</b>		<b>5,836.06</b>	...	<b>-27.00</b>	
CHEMBIO DIAGNOSTICS, INC. COMM ON STOCK / CUSIP: 163572209 / Symbol:							
2 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	63.000	351.60	10/05/20	346.50	...	5.10	1 of 2 - Sale <sup>25</sup>
	187.000	1,043.63	10/05/20	1,019.15	...	24.48	2 of 2 - Sale <sup>25</sup>
10/05/20	250.000	1,395.23	Various	1,365.65	...	29.58	Total of 2 transactions
CHESAPEAKE ENERGY CORP. / CUSIP: 165167107 / Symbol:							
01/10/20	65.000	49.52	11/22/19	38.55	...	10.97	Sale <sup>25</sup>
02/24/20	100.000	41.99	11/22/19	59.30	17.31 W	0.00	Sale <sup>25</sup>
3 transactions for 03/13/20. Total proceeds and cost reported to the IRS.							
	1,000.000	182.10	03/12/20	184.90	1.68 W	-1.12	1 of 3 - Sale <sup>25</sup>
	100.000	18.21	03/12/20	35.80	17.59 W	0.00	2 of 3 - Sale <sup>25</sup>
	1,900.000	346.00	03/12/20	351.21	5.21 W	0.00	3 of 3 - Sale <sup>25</sup>
03/13/20	3,000.000	546.31	Various	571.91	24.48 W	-1.12	Total of 3 transactions
3 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	100.000	17.16	03/23/20	34.86	...	-17.70	1 of 3 - Sale <sup>25</sup>
	800.000	137.34	03/23/20	140.36	...	-3.02	2 of 3 - Sale <sup>25</sup>
	100.000	17.16	03/23/20	17.54	...	-0.38	3 of 3 - Sale <sup>25</sup>
03/24/20	1,000.000	171.66	Various	192.76	...	-21.10	Total of 3 transactions
2 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	847.000	157.36	03/25/20	161.09	...	-3.73	1 of 2 - Sale <sup>25</sup>
	153.000	28.41	03/25/20	29.10	...	-0.69	2 of 2 - Sale <sup>25</sup>
03/26/20	1,000.000	185.77	Various	190.19	...	-4.42	Total of 2 transactions
04/03/20	600.000	102.41	04/02/20	100.44	...	1.97	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,097.66</b>		<b>1,153.15</b>	<b>41.79 W</b>	<b>-13.70</b>	

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CHEWY, INC. / CUSIP: 16679L109 / Symbol:							
06/09/20	15.000	746.94	06/08/20	761.48	14.54 W	0.00	Sale <sup>25</sup>
	2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.						
	35.000	1,761.86	06/09/20	1,781.94	9.75 W	-10.33	1 of 2 - Sale <sup>25</sup>
	15.000	755.08	06/09/20	778.23	23.15 W	0.00	2 of 2 - Sale <sup>25</sup>
06/10/20	50.000	2,516.94	Various	2,560.17	32.90 W	-10.33	Total of 2 transactions
06/22/20	15.000	771.99	06/19/20	782.64	...	-10.65	Sale <sup>25</sup>
06/23/20	5.000	252.85	06/19/20	256.03	...	-3.18	Sale <sup>25</sup>
06/30/20	12.000	537.58	06/30/20	550.72	...	-13.14	Sale <sup>25</sup>
	6 transactions for 08/04/20. Total proceeds and cost reported to the IRS.						
	1.000	57.95	08/04/20	57.94	...	0.01	1 of 6 - Sale <sup>25</sup>
	3.000	173.85	08/04/20	173.81	...	0.04	2 of 6 - Sale <sup>25</sup>
	3.000	172.52	08/04/20	172.44	...	0.08	3 of 6 - Sale <sup>25</sup>
	25.000	1,454.59	08/04/20	1,454.19	...	0.40	4 of 6 - Sale <sup>25</sup>
	8.000	460.03	08/04/20	459.33	...	0.70	5 of 6 - Sale <sup>25</sup>
	25.000	1,454.59	08/04/20	1,444.25	...	10.34	6 of 6 - Sale <sup>25</sup>
08/04/20	65.000	3,773.53	Various	3,761.96	...	11.57	Total of 6 transactions
	3 transactions for 08/04/20. Total proceeds and cost reported to the IRS.						
	4.000	230.01	08/04/20	230.26	0.25 W	0.00	1 of 3 - Sale <sup>25</sup>
	3.000	173.85	08/04/20	173.99	0.14 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	57.95	08/04/20	58.00	0.05 W	0.00	3 of 3 - Sale <sup>25</sup>
08/04/20	8.000	461.81	Various	462.25	0.44 W	0.00	Total of 3 transactions
	4 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	17.000	976.96	08/04/20	984.91	...	-7.95	1 of 4 - Sale <sup>25</sup>
	4.000	229.88	08/05/20	234.79	...	-4.91	2 of 4 - Sale <sup>25</sup>
	3.000	172.40	08/05/20	174.91	...	-2.51	3 of 4 - Sale <sup>25</sup>
	1.000	57.47	08/05/20	58.31	...	-0.84	4 of 4 - Sale <sup>25</sup>
08/05/20	25.000	1,436.71	Various	1,452.92	...	-16.21	Total of 4 transactions
08/05/20	25.000	1,437.42	08/04/20	1,448.40	1.76 W	-9.22	Sale <sup>25</sup>
	14 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	1.000	81.76	12/10/20	78.00	...	3.76	1 of 14 - Sale <sup>25</sup>
	7.000	572.31	12/10/20	546.00	...	26.31	2 of 14 - Sale <sup>25</sup>
	23.000	1,832.21	12/10/20	1,794.00	...	38.21	3 of 14 - Sale <sup>25</sup>
	19.000	1,553.40	12/10/20	1,482.00	...	71.40	4 of 14 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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CHEWY, INC. / CUSIP: 16679L109 / Symbol: (cont'd)							
	3.000	248.03	12/11/20	247.81	...	0.22	5 of 14 - Sale <sup>25</sup>
	10.000	826.78	12/11/20	826.52	...	0.26	6 of 14 - Sale <sup>25</sup>
	1.000	82.68	12/11/20	82.38	...	0.30	7 of 14 - Sale <sup>25</sup>
	2.000	165.36	12/11/20	163.30	...	2.06	8 of 14 - Sale <sup>25</sup>
	5.000	413.39	12/11/20	408.00	...	5.39	9 of 14 - Sale <sup>25</sup>
	20.000	1,653.56	12/11/20	1,632.00	...	21.56	10 of 14 - Sale <sup>25</sup>
	25.000	2,066.96	12/11/20	2,041.50	...	25.46	11 of 14 - Sale <sup>25</sup>
	25.000	2,066.95	12/11/20	2,041.25	...	25.70	12 of 14 - Sale <sup>25</sup>
	23.000	1,880.43	12/11/20	1,839.82	...	40.61	13 of 14 - Sale <sup>25</sup>
	50.000	4,087.90	12/11/20	4,003.50	...	84.40	14 of 14 - Sale <sup>25</sup>
12/11/20	214.000	17,531.72	Various	17,186.08	...	345.64	Total of 14 transactions
	4 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	10.000	817.48	12/11/20	828.00	10.52 W	0.00	1 of 4 - Sale <sup>25</sup>
	10.000	817.48	12/11/20	827.50	10.02 W	0.00	2 of 4 - Sale <sup>25</sup>
	3.000	245.24	12/11/20	248.10	2.86 W	0.00	3 of 4 - Sale <sup>25</sup>
	10.000	826.78	12/11/20	827.02	0.24 W	0.00	4 of 4 - Sale <sup>25</sup>
12/11/20	33.000	2,706.98	Various	2,730.62	23.64 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>32,174.47</b>		<b>31,953.27</b>	<b>73.28 W</b>	<b>294.48</b>	
CHINA RECYCLING ENERGY CORPORA TION COMMON STOCK / CUSIP: 168913200 / Symbol:							
	2 transactions for 01/28/20. Total proceeds and cost reported to the IRS.						
	22.000	7.26	01/10/20	8.36	...	-1.10	1 of 2 - Sale <sup>25</sup>
	2.000	0.66	01/10/20	0.86	...	-0.20	2 of 2 - Sale <sup>25</sup>
01/28/20	24.000	7.92	Various	9.22	...	-1.30	Total of 2 transactions
01/28/20	13.000	4.29	01/10/20	4.94	0.10 W	-0.55	Sale <sup>25</sup>
	<b>Security total:</b>	<b>12.21</b>		<b>14.16</b>	<b>0.10 W</b>	<b>-1.85</b>	
CHINA PHARMA HOLDINGS, INC. / CUSIP: 16941T104 / Symbol:							
	4 transactions for 03/18/20. Total proceeds and cost reported to the IRS.						
	225.000	125.99	03/17/20	141.46	15.47 W	0.00	1 of 4 - Sale <sup>25</sup>
	75.000	41.99	03/17/20	47.15	5.16 W	0.00	2 of 4 - Sale <sup>25</sup>
	25.000	14.00	03/17/20	17.47	3.47 W	0.00	3 of 4 - Sale <sup>25</sup>
	25.000	14.00	03/17/20	17.47	3.47 W	0.00	4 of 4 - Sale <sup>25</sup>
03/18/20	350.000	195.98	Various	223.55	27.57 W	0.00	Total of 4 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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CHINA PHARMA HOLDINGS, INC. / CUSIP: 16941T104 / Symbol: (cont'd)							
<i>5 transactions for 04/01/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	11.25	03/31/20	17.21	5.96 W	0.00	1 of 5 - Sale <sup>25</sup>
	25.000	11.25	03/31/20	17.21	5.96 W	0.00	2 of 5 - Sale <sup>25</sup>
	75.000	33.74	03/31/20	46.39	12.65 W	0.00	3 of 5 - Sale <sup>25</sup>
	175.000	78.73	03/31/20	108.23	29.50 W	0.00	4 of 5 - Sale <sup>25</sup>
	400.000	179.95	03/31/20	219.88	39.93 W	0.00	5 of 5 - Sale <sup>25</sup>
04/01/20	700.000	314.92	Various	408.92	94.00 W	0.00	Total of 5 transactions
<i>8 transactions for 04/17/20. Total proceeds and cost reported to the IRS.</i>							
	400.000	187.96	04/16/20	247.89	...	-59.93	1 of 8 - Sale <sup>25</sup>
	140.000	65.78	04/16/20	96.38	...	-30.60	2 of 8 - Sale <sup>25</sup>
	75.000	35.24	04/16/20	51.64	...	-16.40	3 of 8 - Sale <sup>25</sup>
	35.000	16.45	04/16/20	24.10	...	-7.65	4 of 8 - Sale <sup>25</sup>
	25.000	11.75	04/16/20	18.96	...	-7.21	5 of 8 - Sale <sup>25</sup>
	15.000	7.05	04/16/20	11.38	...	-4.33	6 of 8 - Sale <sup>25</sup>
	10.000	4.70	04/16/20	7.58	...	-2.88	7 of 8 - Sale <sup>25</sup>
	50.000	23.49	04/16/20	26.00	...	-2.51	8 of 8 - Sale <sup>25</sup>
04/17/20	750.000	352.42	Various	483.93	...	-131.51	Total of 8 transactions
07/09/20	1,000.000	579.96	07/09/20	598.20	...	-18.24	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,443.28</b>		<b>1,714.60</b>	<b>121.57 W</b>	<b>-149.75</b>	
CHINANET ONLINE HOLDINGS, INC. COMMON STOCK / CUSIP: 16949H201 / Symbol:							
03/24/20	100.000	63.25	03/23/20	67.00	...	-3.75	Sale <sup>25</sup>
06/23/20	150.000	206.98	06/23/20	205.50	...	1.48	Sale <sup>25</sup>
<i>4 transactions for 06/24/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	64.74	06/23/20	78.51	...	-13.77	1 of 4 - Sale <sup>25</sup>
	50.000	64.75	06/23/20	78.50	...	-13.75	2 of 4 - Sale <sup>25</sup>
	50.000	64.74	06/23/20	74.75	...	-10.01	3 of 4 - Sale <sup>25</sup>
	50.000	64.75	06/23/20	68.50	...	-3.75	4 of 4 - Sale <sup>25</sup>
06/24/20	200.000	258.98	Various	300.26	...	-41.28	Total of 4 transactions
<i>3 transactions for 06/24/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	129.49	06/23/20	136.99	3.75 W	-3.75	1 of 3 - Sale <sup>25</sup>
	100.000	129.49	06/23/20	137.00	7.51 W	0.00	2 of 3 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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CHINANET ONLINE HOLDINGS, INC. COMMON STOCK / CUSIP: 16949H201 / Symbol: (cont'd)							
	100.000	129.49	06/23/20	144.50	15.01 W	0.00	3 of 3 - Sale <sup>25</sup>
06/24/20	300.000	388.47	Various	418.49	26.27 W	-3.75	Total of 3 transactions
	<b>Security total:</b>	<b>917.68</b>		<b>991.25</b>	<b>26.27 W</b>	<b>-47.30</b>	
CHINA RAPID FINANCE LIMITED / CUSIP: 16953Q204 / Symbol:							
03/23/20	300.000	266.96	03/20/20	249.44	...	17.52	Sale <sup>25</sup>
	11 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	17.000	17.00	04/16/20	17.68	0.68 W	0.00	1 of 11 - Sale <sup>25</sup>
	17.000	17.00	04/16/20	17.68	0.68 W	0.00	2 of 11 - Sale <sup>25</sup>
	1.000	0.99	04/16/20	1.04	0.05 W	0.00	3 of 11 - Sale <sup>25</sup>
	15.000	14.85	04/16/20	15.60	0.75 W	0.00	4 of 11 - Sale <sup>25</sup>
	148.000	145.03	04/16/20	153.92	8.89 W	0.00	5 of 11 - Sale <sup>25</sup>
	1.000	0.98	04/16/20	1.09	0.11 W	0.00	6 of 11 - Sale <sup>25</sup>
	15.000	14.69	04/16/20	16.35	1.66 W	0.00	7 of 11 - Sale <sup>25</sup>
	17.000	16.66	04/16/20	18.36	1.70 W	0.00	8 of 11 - Sale <sup>25</sup>
	17.000	16.66	04/16/20	18.36	1.70 W	0.00	9 of 11 - Sale <sup>25</sup>
	2.000	1.96	04/16/20	2.08	0.12 W	0.00	10 of 11 - Sale <sup>25</sup>
	50.000	48.50	04/16/20	55.00	6.50 W	0.00	11 of 11 - Sale <sup>25</sup>
04/17/20	300.000	294.32	Various	317.16	22.84 W	0.00	Total of 11 transactions
	2 transactions for 05/07/20. Total proceeds and cost reported to the IRS.						
	8.000	11.04	05/06/20	22.00	10.96 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	2.76	05/06/20	5.50	2.74 W	0.00	2 of 2 - Sale <sup>25</sup>
05/07/20	10.000	13.80	Various	27.50	13.70 W	0.00	Total of 2 transactions
	10 transactions for 05/11/20. Total proceeds and cost reported to the IRS.						
	88.000	140.79	05/08/20	153.02	...	-12.23	1 of 10 - Sale <sup>25</sup>
	8.000	12.80	05/08/20	24.39	...	-11.59	2 of 10 - Sale <sup>25</sup>
	50.000	79.99	05/08/20	90.43	...	-10.44	3 of 10 - Sale <sup>25</sup>
	17.000	27.20	05/08/20	30.24	...	-3.04	4 of 10 - Sale <sup>25</sup>
	2.000	3.20	05/08/20	6.10	...	-2.90	5 of 10 - Sale <sup>25</sup>
	15.000	24.00	05/08/20	26.84	...	-2.84	6 of 10 - Sale <sup>25</sup>
	12.000	19.20	05/08/20	21.34	...	-2.14	7 of 10 - Sale <sup>25</sup>
	5.000	8.00	05/08/20	8.89	...	-0.89	8 of 10 - Sale <sup>25</sup>
	2.000	3.20	05/08/20	3.48	...	-0.28	9 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CHINA RAPID FINANCE LIMITED / CUSIP: 16953Q204 / Symbol: (cont'd)							
	1.000	1.60	05/08/20	1.79	...	-0.19	10 of 10 - Sale <sup>25</sup>
05/11/20	200.000	319.98	Various	366.52	...	-46.54	Total of 10 transactions
06/23/20	100.000	337.25	06/23/20	405.87	...	-68.62	Sale <sup>25</sup>
06/23/20	100.000	367.00	06/23/20	394.87	27.87 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,599.31</b>		<b>1,761.36</b>	<b>64.41 W</b>	<b>-97.64</b>	
CINEMARK HOLDINGS, INC. / CUSIP: 17243V102 / Symbol:							
2 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	161.000	1,352.53	10/05/20	1,328.23	...	24.30	1 of 2 - Sale <sup>25</sup>
	189.000	1,587.75	10/05/20	1,559.25	...	28.50	2 of 2 - Sale <sup>25</sup>
10/05/20	350.000	2,940.28	Various	2,887.48	...	52.80	Total of 2 transactions
2 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	2.000	17.00	10/05/20	16.70	...	0.30	1 of 2 - Sale <sup>25</sup>
	128.000	1,088.22	10/05/20	1,068.80	...	19.42	2 of 2 - Sale <sup>25</sup>
10/06/20	130.000	1,105.22	Various	1,085.50	...	19.72	Total of 2 transactions
	<b>Security total:</b>	<b>4,045.50</b>		<b>3,972.98</b>	...	<b>72.52</b>	
CISCO SYSTEMS, INC. COMMON STO CK (DE) / CUSIP: 17275R102 / Symbol:							
3 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	100.000	4,613.38	06/26/20	4,611.83	...	1.55	1 of 3 - Sale <sup>25</sup>
	30.000	1,384.01	06/26/20	1,380.85	...	3.16	2 of 3 - Sale <sup>25</sup>
	20.000	922.68	06/26/20	917.77	...	4.91	3 of 3 - Sale <sup>25</sup>
06/26/20	150.000	6,920.07	Various	6,910.45	...	9.62	Total of 3 transactions
CITIGROUP INC. / CUSIP: 172967424 / Symbol:							
06/26/20	25.000	1,254.78	06/26/20	1,258.00	1.29 W	-1.93	Sale <sup>25</sup>
07/14/20	10.000	502.48	07/14/20	504.04	...	-1.56	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,757.26</b>		<b>1,762.04</b>	<b>1.29 W</b>	<b>-3.49</b>	
CITIUS PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 17322U207 / Symbol:							
04/03/20	100.000	69.99	04/02/20	91.99	...	-22.00	Sale <sup>25</sup>
04/03/20	300.000	209.96	04/02/20	275.97	66.01 W	0.00	Sale <sup>25</sup>
04/29/20	300.000	296.96	04/28/20	376.51	...	-79.55	Sale <sup>25</sup>
	<b>Security total:</b>	<b>576.91</b>		<b>744.47</b>	<b>66.01 W</b>	<b>-101.55</b>	

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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CLEAR CHANNEL OUTDOOR HOLDINGS , INC. COMMON STOCK / CUSIP: 18453H106 / Symbol:							
07/09/20	50.000	44.55	07/09/20	44.48	...	0.07	Sale <sup>25</sup>
CLOUDERA, INC. / CUSIP: 18914U100 / Symbol:							
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	211.000	2,770.91	08/31/20	2,774.63	...	-3.72	1 of 2 - Sale <sup>25</sup>
	19.000	249.51	08/31/20	249.85	...	-0.34	2 of 2 - Sale <sup>25</sup>
08/31/20	230.000	3,020.42	Various	3,024.48	...	-4.06	Total of 2 transactions
CLOUDFLARE, INC. CLASS A COMMON STOCK, PAR VALUE \$0.001 PER SHARE / CUSIP: 18915M107 / Symbol:							
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	5.000	205.05	07/10/20	212.50	7.45 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	204.75	07/10/20	212.50	7.75 W	0.00	2 of 2 - Sale <sup>25</sup>
07/10/20	10.000	409.80	Various	425.00	15.20 W	0.00	Total of 2 transactions
4 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	5.000	181.28	07/14/20	190.17	...	-8.89	1 of 4 - Sale <sup>25</sup>
	3.000	108.76	07/14/20	113.93	...	-5.17	2 of 4 - Sale <sup>25</sup>
	2.000	71.57	07/14/20	75.95	...	-4.38	3 of 4 - Sale <sup>25</sup>
	2.000	72.51	07/14/20	72.97	...	-0.46	4 of 4 - Sale <sup>25</sup>
07/14/20	12.000	434.12	Various	453.02	...	-18.90	Total of 4 transactions
	<b>Security total:</b>	<b>843.92</b>		<b>878.02</b>	<b>15.20 W</b>	<b>-18.90</b>	
CLOVIS ONCOLOGY, INC. COMMON STOCK / CUSIP: 189464100 / Symbol:							
2 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	50.000	352.48	03/27/20	379.00	...	-26.52	1 of 2 - Sale <sup>25</sup>
	50.000	352.49	03/27/20	361.50	...	-9.01	2 of 2 - Sale <sup>25</sup>
03/30/20	100.000	704.97	Various	740.50	...	-35.53	Total of 2 transactions
CO-DIAGNOSTICS, INC. COMMON STOCK / CUSIP: 189763105 / Symbol:							
2 transactions for 02/28/20. Total proceeds and cost reported to the IRS.							
	8.000	140.00	02/27/20	123.20	...	16.80	1 of 2 - Sale <sup>25</sup>
	42.000	734.98	02/27/20	646.80	...	88.18	2 of 2 - Sale <sup>25</sup>
02/28/20	50.000	874.98	Various	770.00	...	104.98	Total of 2 transactions
03/09/20	25.000	245.00	03/09/20	335.00	90.00 W	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CO-DIAGNOSTICS, INC. COMMON STOCK / CUSIP: 189763105 / Symbol: (cont'd)							
3 transactions for 03/12/20. Total proceeds and cost reported to the IRS.							
	25.000	337.49	03/10/20	270.50	...	66.99	1 of 3 - Sale <sup>25</sup>
	75.000	1,012.46	03/10/20	541.50	...	470.96	2 of 3 - Sale <sup>25</sup>
	50.000	674.98	03/11/20	616.72	...	58.26	3 of 3 - Sale <sup>25</sup>
03/12/20	150.000	2,024.93	Various	1,428.72	...	596.21	Total of 3 transactions
3 transactions for 03/17/20. Total proceeds and cost reported to the IRS.							
	5.000	55.00	03/16/20	46.20	...	8.80	1 of 3 - Sale <sup>25</sup>
	15.000	165.00	03/16/20	138.60	...	26.40	2 of 3 - Sale <sup>25</sup>
	30.000	330.00	03/16/20	277.20	...	52.80	3 of 3 - Sale <sup>25</sup>
03/17/20	50.000	550.00	Various	462.00	...	88.00	Total of 3 transactions
2 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	30.000	258.90	03/23/20	273.60	14.70 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	86.10	03/23/20	91.20	5.10 W	0.00	2 of 2 - Sale <sup>25</sup>
03/24/20	40.000	345.00	Various	364.80	19.80 W	0.00	Total of 2 transactions
3 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	10.000	70.70	03/31/20	81.20	10.50 W	0.00	1 of 3 - Sale <sup>25</sup>
	30.000	212.09	03/31/20	243.00	30.91 W	0.00	2 of 3 - Sale <sup>25</sup>
	35.000	247.44	03/31/20	266.35	18.91 W	0.00	3 of 3 - Sale <sup>25</sup>
04/01/20	75.000	530.23	Various	590.55	60.32 W	0.00	Total of 3 transactions
2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	5.000	46.80	04/06/20	54.95	8.15 W	0.00	1 of 2 - Sale <sup>25</sup>
	30.000	280.80	04/06/20	329.11	48.31 W	0.00	2 of 2 - Sale <sup>25</sup>
04/07/20	35.000	327.60	Various	384.06	56.46 W	0.00	Total of 2 transactions
3 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	10.000	90.00	04/07/20	107.00	17.00 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	45.00	04/07/20	50.70	5.70 W	0.00	2 of 3 - Sale <sup>25</sup>
	35.000	315.00	04/07/20	337.06	22.06 W	0.00	3 of 3 - Sale <sup>25</sup>
04/08/20	50.000	450.00	Various	494.76	44.76 W	0.00	Total of 3 transactions
5 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	5.000	65.30	04/16/20	63.65	...	1.65	1 of 5 - Sale <sup>25</sup>
	10.000	130.60	04/16/20	122.20	...	8.40	2 of 5 - Sale <sup>25</sup>
	5.000	73.25	04/16/20	61.85	...	11.40	3 of 5 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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CO-DIAGNOSTICS, INC. COMMON ST OCK / CUSIP: 189763105 / Symbol: (cont'd)							
	10.000	146.49	04/16/20	124.40	...	22.09	4 of 5 - Sale <sup>25</sup>
	20.000	292.99	04/16/20	247.01	...	45.98	5 of 5 - Sale <sup>25</sup>
04/17/20	50.000	708.63	Various	619.11	...	89.52	Total of 5 transactions
04/17/20	15.000	195.90	04/16/20	214.51	18.61 W	0.00	Sale <sup>25</sup>
3 transactions for 05/04/20. Total proceeds and cost reported to the IRS.							
	15.000	223.05	05/01/20	222.75	...	0.30	1 of 3 - Sale <sup>25</sup>
	5.000	74.35	05/01/20	68.05	...	6.30	2 of 3 - Sale <sup>25</sup>
	10.000	148.70	05/01/20	142.39	...	6.31	3 of 3 - Sale <sup>25</sup>
05/04/20	30.000	446.10	Various	433.19	...	12.91	Total of 3 transactions
05/04/20	20.000	297.41	05/04/20	302.60	5.19 W	0.00	Sale <sup>25</sup>
2 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	20.000	367.60	05/20/20	388.99	21.39 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	91.90	05/20/20	95.95	4.05 W	0.00	2 of 2 - Sale <sup>25</sup>
05/21/20	25.000	459.50	Various	484.94	25.44 W	0.00	Total of 2 transactions
06/22/20	25.000	418.99	06/19/20	424.12	...	-5.13	Sale <sup>25</sup>
2 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	5.000	83.80	06/19/20	88.88	5.08 W	0.00	1 of 2 - Sale <sup>25</sup>
	20.000	335.19	06/19/20	360.69	25.50 W	0.00	2 of 2 - Sale <sup>25</sup>
06/22/20	25.000	418.99	Various	449.57	30.58 W	0.00	Total of 2 transactions
4 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	14.000	268.80	06/30/20	290.15	...	-21.35	1 of 4 - Sale <sup>25</sup>
	5.000	96.00	06/30/20	102.33	...	-6.33	2 of 4 - Sale <sup>25</sup>
	3.000	57.60	06/30/20	62.33	...	-4.73	3 of 4 - Sale <sup>25</sup>
	3.000	57.60	06/30/20	62.17	...	-4.57	4 of 4 - Sale <sup>25</sup>
06/30/20	25.000	480.00	Various	516.98	...	-36.98	Total of 4 transactions
24 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	4.000	70.60	08/13/20	70.57	...	0.03	1 of 24 - Sale <sup>25</sup>
	1.000	17.65	08/13/20	17.60	...	0.05	2 of 24 - Sale <sup>25</sup>
	2.000	35.20	08/13/20	35.14	...	0.06	3 of 24 - Sale <sup>25</sup>
	29.000	511.84	08/13/20	511.60	...	0.24	4 of 24 - Sale <sup>25</sup>
	5.000	88.25	08/13/20	87.95	...	0.30	5 of 24 - Sale <sup>25</sup>
	4.000	70.60	08/13/20	70.25	...	0.35	6 of 24 - Sale <sup>25</sup>
	7.000	123.55	08/13/20	123.07	...	0.48	7 of 24 - Sale <sup>25</sup>

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(continued)

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CO-DIAGNOSTICS, INC. COMMON STOCK / CUSIP: 189763105 / Symbol: (cont'd)							
	4.000	70.60	08/13/20	70.00	...	0.60	8 of 24 - Sale <sup>25</sup>
	5.000	88.25	08/13/20	87.51	...	0.74	9 of 24 - Sale <sup>25</sup>
	2.000	35.30	08/13/20	34.48	...	0.82	10 of 24 - Sale <sup>25</sup>
	16.000	282.39	08/13/20	280.99	...	1.40	11 of 24 - Sale <sup>25</sup>
	12.000	211.79	08/13/20	210.02	...	1.77	12 of 24 - Sale <sup>25</sup>
	12.000	211.79	08/13/20	210.01	...	1.78	13 of 24 - Sale <sup>25</sup>
	18.000	316.79	08/13/20	314.82	...	1.97	14 of 24 - Sale <sup>25</sup>
	18.000	316.79	08/13/20	314.82	...	1.97	15 of 24 - Sale <sup>25</sup>
	24.000	423.59	08/13/20	421.24	...	2.35	16 of 24 - Sale <sup>25</sup>
	15.000	264.74	08/13/20	262.20	...	2.54	17 of 24 - Sale <sup>25</sup>
	32.000	564.78	08/13/20	559.68	...	5.10	18 of 24 - Sale <sup>25</sup>
	48.000	844.78	08/13/20	839.52	...	5.26	19 of 24 - Sale <sup>25</sup>
	82.000	1,443.16	08/13/20	1,434.18	...	8.98	20 of 24 - Sale <sup>25</sup>
	82.000	1,443.16	08/13/20	1,434.18	...	8.98	21 of 24 - Sale <sup>25</sup>
	62.000	1,094.27	08/13/20	1,084.38	...	9.89	22 of 24 - Sale <sup>25</sup>
	62.000	1,094.27	08/13/20	1,083.76	...	10.51	23 of 24 - Sale <sup>25</sup>
	181.000	3,185.51	08/13/20	3,165.69	...	19.82	24 of 24 - Sale <sup>25</sup>
08/13/20	727.000	12,809.65	Various	12,723.66	...	85.99	Total of 24 transactions
	79 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	5.000	90.20	08/13/20	91.25	1.05 W	0.00	1 of 79 - Sale <sup>25</sup>
	1.000	18.03	08/13/20	18.25	0.22 W	0.00	2 of 79 - Sale <sup>25</sup>
	4.000	72.04	08/13/20	73.00	0.96 W	0.00	3 of 79 - Sale <sup>25</sup>
	1.000	18.01	08/13/20	18.35	0.34 W	0.00	4 of 79 - Sale <sup>25</sup>
	5.000	90.05	08/13/20	91.70	1.65 W	0.00	5 of 79 - Sale <sup>25</sup>
	44.000	792.41	08/13/20	797.72	5.31 W	0.00	6 of 79 - Sale <sup>25</sup>
	40.000	720.38	08/13/20	726.00	5.62 W	0.00	7 of 79 - Sale <sup>25</sup>
	1.000	17.54	08/13/20	18.54	1.00 W	0.00	8 of 79 - Sale <sup>25</sup>
	4.000	70.16	08/13/20	73.76	3.60 W	0.00	9 of 79 - Sale <sup>25</sup>
	5.000	87.70	08/13/20	92.65	4.95 W	0.00	10 of 79 - Sale <sup>25</sup>
	40.000	701.58	08/13/20	733.62	32.04 W	0.00	11 of 79 - Sale <sup>25</sup>
	44.000	771.74	08/13/20	806.11	34.37 W	0.00	12 of 79 - Sale <sup>25</sup>
	31.000	543.72	08/13/20	564.20	20.48 W	0.00	13 of 79 - Sale <sup>25</sup>
	1.000	17.65	08/13/20	18.18	0.53 W	0.00	14 of 79 - Sale <sup>25</sup>
	4.000	70.60	08/13/20	72.32	1.72 W	0.00	15 of 79 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CO-DIAGNOSTICS, INC. COMMON ST OCK / CUSIP: 189763105 / Symbol: (cont'd)							
	5.000	88.25	08/13/20	90.85	2.60 W	0.00	16 of 79 - Sale <sup>25</sup>
	7.000	123.55	08/13/20	125.87	2.32 W	0.00	17 of 79 - Sale <sup>25</sup>
	44.000	776.57	08/13/20	790.29	13.72 W	0.00	18 of 79 - Sale <sup>25</sup>
	17.000	300.04	08/13/20	304.31	4.27 W	0.00	19 of 79 - Sale <sup>25</sup>
	33.000	582.43	08/13/20	595.35	12.92 W	0.00	20 of 79 - Sale <sup>25</sup>
	14.000	247.09	08/13/20	250.61	3.52 W	0.00	21 of 79 - Sale <sup>25</sup>
	1.000	17.65	08/13/20	17.77	0.12 W	0.00	22 of 79 - Sale <sup>25</sup>
	4.000	70.60	08/13/20	70.68	0.08 W	0.00	23 of 79 - Sale <sup>25</sup>
	5.000	88.25	08/13/20	88.80	0.55 W	0.00	24 of 79 - Sale <sup>25</sup>
	2.000	35.30	08/13/20	35.46	0.16 W	0.00	25 of 79 - Sale <sup>25</sup>
	20.000	352.00	08/13/20	354.01	2.01 W	0.00	26 of 79 - Sale <sup>25</sup>
	10.000	176.00	08/13/20	177.01	1.01 W	0.00	27 of 79 - Sale <sup>25</sup>
	30.000	527.98	08/13/20	531.01	3.03 W	0.00	28 of 79 - Sale <sup>25</sup>
	10.000	175.99	08/13/20	177.11	1.12 W	0.00	29 of 79 - Sale <sup>25</sup>
	40.000	703.98	08/13/20	708.42	4.44 W	0.00	30 of 79 - Sale <sup>25</sup>
	27.000	475.19	08/13/20	475.48	0.29 W	0.00	31 of 79 - Sale <sup>25</sup>
	200.000	3,519.89	08/13/20	3,540.00	20.11 W	0.00	32 of 79 - Sale <sup>25</sup>
	10.000	175.99	08/13/20	177.00	1.01 W	0.00	33 of 79 - Sale <sup>25</sup>
	109.000	1,918.35	08/13/20	1,918.40	0.05 W	0.00	34 of 79 - Sale <sup>25</sup>
	100.000	1,759.95	08/13/20	1,770.06	10.11 W	0.00	35 of 79 - Sale <sup>25</sup>
	38.000	668.78	08/13/20	701.52	32.74 W	0.00	36 of 79 - Sale <sup>25</sup>
	10.000	175.99	08/13/20	184.61	8.62 W	0.00	37 of 79 - Sale <sup>25</sup>
	3.000	52.80	08/13/20	55.38	2.58 W	0.00	38 of 79 - Sale <sup>25</sup>
	20.000	351.99	08/13/20	369.21	17.22 W	0.00	39 of 79 - Sale <sup>25</sup>
	29.000	510.39	08/13/20	535.37	24.98 W	0.00	40 of 79 - Sale <sup>25</sup>
	9.000	158.40	08/13/20	158.49	0.09 W	0.00	41 of 79 - Sale <sup>25</sup>
	3.000	52.80	08/13/20	52.83	0.03 W	0.00	42 of 79 - Sale <sup>25</sup>
	3.000	52.80	08/13/20	52.83	0.03 W	0.00	43 of 79 - Sale <sup>25</sup>
	8.000	140.80	08/13/20	140.89	0.09 W	0.00	44 of 79 - Sale <sup>25</sup>
	59.000	1,038.37	08/13/20	1,083.27	44.90 W	0.00	45 of 79 - Sale <sup>25</sup>
	33.000	580.78	08/13/20	609.22	28.44 W	0.00	46 of 79 - Sale <sup>25</sup>
	10.000	176.00	08/13/20	191.61	15.61 W	0.00	47 of 79 - Sale <sup>25</sup>
	8.000	140.79	08/13/20	147.69	6.90 W	0.00	48 of 79 - Sale <sup>25</sup>
	19.000	334.39	08/13/20	351.52	17.13 W	0.00	49 of 79 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CO-DIAGNOSTICS, INC. COMMON STOCK / CUSIP: 189763105 / Symbol: (cont'd)							
	3.000	52.80	08/13/20	55.53	2.73 W	0.00	50 of 79 - Sale <sup>25</sup>
	1.000	17.60	08/13/20	18.51	0.91 W	0.00	51 of 79 - Sale <sup>25</sup>
	1.000	17.60	08/13/20	18.51	0.91 W	0.00	52 of 79 - Sale <sup>25</sup>
	5.000	88.00	08/13/20	92.56	4.56 W	0.00	53 of 79 - Sale <sup>25</sup>
	3.000	52.80	08/13/20	55.23	2.43 W	0.00	54 of 79 - Sale <sup>25</sup>
	3.000	52.80	08/13/20	55.23	2.43 W	0.00	55 of 79 - Sale <sup>25</sup>
	8.000	140.80	08/13/20	147.29	6.49 W	0.00	56 of 79 - Sale <sup>25</sup>
	9.000	158.39	08/13/20	165.69	7.30 W	0.00	57 of 79 - Sale <sup>25</sup>
	10.000	175.99	08/13/20	185.12	9.13 W	0.00	58 of 79 - Sale <sup>25</sup>
	27.000	475.19	08/13/20	497.09	21.90 W	0.00	59 of 79 - Sale <sup>25</sup>
	30.000	527.99	08/13/20	555.33	27.34 W	0.00	60 of 79 - Sale <sup>25</sup>
	10.000	175.99	08/13/20	191.61	15.62 W	0.00	61 of 79 - Sale <sup>25</sup>
	20.000	352.00	08/13/20	383.22	31.22 W	0.00	62 of 79 - Sale <sup>25</sup>
	8.000	140.80	08/13/20	154.09	13.29 W	0.00	63 of 79 - Sale <sup>25</sup>
	19.000	334.40	08/13/20	364.06	29.66 W	0.00	64 of 79 - Sale <sup>25</sup>
	2.000	35.20	08/13/20	38.52	3.32 W	0.00	65 of 79 - Sale <sup>25</sup>
	20.000	351.99	08/13/20	385.22	33.23 W	0.00	66 of 79 - Sale <sup>25</sup>
	29.000	510.39	08/13/20	558.58	48.19 W	0.00	67 of 79 - Sale <sup>25</sup>
	25.000	439.99	08/13/20	481.55	41.56 W	0.00	68 of 79 - Sale <sup>25</sup>
	38.000	668.78	08/13/20	731.94	63.16 W	0.00	69 of 79 - Sale <sup>25</sup>
	1.000	17.60	08/13/20	19.06	1.46 W	0.00	70 of 79 - Sale <sup>25</sup>
	1.000	17.60	08/13/20	19.06	1.46 W	0.00	71 of 79 - Sale <sup>25</sup>
	3.000	52.80	08/13/20	57.03	4.23 W	0.00	72 of 79 - Sale <sup>25</sup>
	3.000	52.80	08/13/20	57.18	4.38 W	0.00	73 of 79 - Sale <sup>25</sup>
	5.000	88.00	08/13/20	95.31	7.31 W	0.00	74 of 79 - Sale <sup>25</sup>
	7.000	123.19	08/13/20	133.44	10.25 W	0.00	75 of 79 - Sale <sup>25</sup>
	8.000	140.80	08/13/20	152.10	11.30 W	0.00	76 of 79 - Sale <sup>25</sup>
	8.000	140.79	08/13/20	152.10	11.31 W	0.00	77 of 79 - Sale <sup>25</sup>
	19.000	334.39	08/13/20	361.98	27.59 W	0.00	78 of 79 - Sale <sup>25</sup>
	30.000	527.98	08/13/20	571.84	43.86 W	0.00	79 of 79 - Sale <sup>25</sup>
08/13/20	1,526.000	26,897.39	Various	27,782.56	885.17 W	0.00	Total of 79 transactions
	30 transactions for 08/14/20. Total proceeds and cost reported to the IRS.						
	1,000.000	17,149.58	08/13/20	17,650.00	...	-500.42	1 of 30 - Sale <sup>25</sup>
	490.000	8,403.30	08/13/20	8,726.90	...	-323.60	2 of 30 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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CO-DIAGNOSTICS, INC. COMMON ST OCK / CUSIP: 189763105 / Symbol: (cont'd)							
	191.000	3,275.57	08/13/20	3,401.71	...	-126.14	3 of 30 - Sale <sup>25</sup>
	38.000	651.68	08/13/20	739.94	...	-88.26	4 of 30 - Sale <sup>25</sup>
	29.000	497.34	08/13/20	564.68	...	-67.34	5 of 30 - Sale <sup>25</sup>
	30.000	514.49	08/13/20	578.16	...	-63.67	6 of 30 - Sale <sup>25</sup>
	20.000	342.99	08/13/20	389.43	...	-46.44	7 of 30 - Sale <sup>25</sup>
	20.000	342.99	08/13/20	387.42	...	-44.43	8 of 30 - Sale <sup>25</sup>
	19.000	325.84	08/13/20	368.05	...	-42.21	9 of 30 - Sale <sup>25</sup>
	19.000	325.84	08/13/20	365.98	...	-40.14	10 of 30 - Sale <sup>25</sup>
	27.000	463.04	08/13/20	502.23	...	-39.19	11 of 30 - Sale <sup>25</sup>
	15.000	257.24	08/13/20	292.09	...	-34.85	12 of 30 - Sale <sup>25</sup>
	10.000	171.50	08/13/20	194.72	...	-23.22	13 of 30 - Sale <sup>25</sup>
	10.000	171.50	08/13/20	193.72	...	-22.22	14 of 30 - Sale <sup>25</sup>
	10.000	171.50	08/13/20	193.71	...	-22.21	15 of 30 - Sale <sup>25</sup>
	8.000	137.20	08/13/20	155.77	...	-18.57	16 of 30 - Sale <sup>25</sup>
	8.000	137.20	08/13/20	153.79	...	-16.59	17 of 30 - Sale <sup>25</sup>
	8.000	137.20	08/13/20	153.78	...	-16.58	18 of 30 - Sale <sup>25</sup>
	7.000	120.05	08/13/20	134.92	...	-14.87	19 of 30 - Sale <sup>25</sup>
	9.000	154.35	08/13/20	167.59	...	-13.24	20 of 30 - Sale <sup>25</sup>
	8.000	137.20	08/13/20	148.97	...	-11.77	21 of 30 - Sale <sup>25</sup>
	5.000	85.75	08/13/20	96.36	...	-10.61	22 of 30 - Sale <sup>25</sup>
	3.000	51.45	08/13/20	57.81	...	-6.36	23 of 30 - Sale <sup>25</sup>
	3.000	51.45	08/13/20	57.66	...	-6.21	24 of 30 - Sale <sup>25</sup>
	3.000	51.45	08/13/20	56.11	...	-4.66	25 of 30 - Sale <sup>25</sup>
	2.000	34.30	08/13/20	38.94	...	-4.64	26 of 30 - Sale <sup>25</sup>
	3.000	51.45	08/13/20	55.86	...	-4.41	27 of 30 - Sale <sup>25</sup>
	3.000	51.45	08/13/20	55.86	...	-4.41	28 of 30 - Sale <sup>25</sup>
	1.000	17.15	08/13/20	19.27	...	-2.12	29 of 30 - Sale <sup>25</sup>
	1.000	17.15	08/13/20	19.27	...	-2.12	30 of 30 - Sale <sup>25</sup>
08/14/20	2,000.000	34,299.20	Various	35,920.70	...	-1,621.50	Total of 30 transactions
	10 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	0.499	6.05	09/14/20	6.00	...	0.05	1 of 10 - Sale <sup>25</sup>
	0.501	6.06	09/14/20	5.99	...	0.07	2 of 10 - Sale <sup>25</sup>
	1.499	18.14	09/14/20	18.01	...	0.13	3 of 10 - Sale <sup>25</sup>
	13.000	157.42	09/14/20	156.47	...	0.95	4 of 10 - Sale <sup>25</sup>

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(continued)

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CO-DIAGNOSTICS, INC. COMMON ST OCK / CUSIP: 189763105 / Symbol: (cont'd)							
	13.499	163.33	09/14/20	161.80	...	1.53	5 of 10 - Sale <sup>25</sup>
	23.000	278.29	09/14/20	276.48	...	1.81	6 of 10 - Sale <sup>25</sup>
	43.501	526.34	09/14/20	522.91	...	3.43	7 of 10 - Sale <sup>25</sup>
	33.499	405.33	09/14/20	401.52	...	3.81	8 of 10 - Sale <sup>25</sup>
	51.501	623.14	09/14/20	617.28	...	5.86	9 of 10 - Sale <sup>25</sup>
	86.501	1,047.49	09/14/20	1,036.79	...	10.70	10 of 10 - Sale <sup>25</sup>
09/14/20	267.000	3,231.59	Various	3,203.25	...	28.34	Total of 10 transactions
	5 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	0.499	5.98	09/14/20	6.00	0.02 W	0.00	1 of 5 - Sale <sup>25</sup>
	13.000	155.79	09/14/20	156.65	0.86 W	0.00	2 of 5 - Sale <sup>25</sup>
	100.000	1,198.41	09/14/20	1,200.00	1.59 W	0.00	3 of 5 - Sale <sup>25</sup>
	85.000	1,018.65	09/14/20	1,020.00	1.35 W	0.00	4 of 5 - Sale <sup>25</sup>
	68.000	814.92	09/14/20	818.38	3.46 W	0.00	5 of 5 - Sale <sup>25</sup>
09/14/20	266.499	3,193.75	Various	3,201.03	7.28 W	0.00	Total of 5 transactions
10/15/20	95.000	1,345.16	10/15/20	1,343.30	...	1.86	Sale <sup>25</sup>
	3 transactions for 10/27/20. Total proceeds and cost reported to the IRS.						
	14.000	197.68	10/26/20	201.60	3.36 W	-0.56	1 of 3 - Sale <sup>25</sup>
	2.000	28.24	10/26/20	28.90	0.66 W	0.00	2 of 3 - Sale <sup>25</sup>
	10.000	141.20	10/26/20	144.00	2.80 W	0.00	3 of 3 - Sale <sup>25</sup>
10/27/20	26.000	367.12	Various	374.50	6.82 W	-0.56	Total of 3 transactions
10/28/20	24.000	328.07	10/26/20	348.00	...	-19.93	Sale <sup>25</sup>
	5 transactions for 10/28/20. Total proceeds and cost reported to the IRS.						
	76.000	1,038.88	10/26/20	1,102.00	59.80 W	-3.32	1 of 5 - Sale <sup>25</sup>
	26.000	355.41	10/26/20	374.40	18.99 W	0.00	2 of 5 - Sale <sup>25</sup>
	2.000	27.34	10/26/20	29.66	2.32 W	0.00	3 of 5 - Sale <sup>25</sup>
	10.000	136.70	10/26/20	147.80	11.10 W	0.00	4 of 5 - Sale <sup>25</sup>
	12.000	164.03	10/26/20	177.36	13.33 W	0.00	5 of 5 - Sale <sup>25</sup>
10/28/20	126.000	1,722.36	Various	1,831.22	105.54 W	-3.32	Total of 5 transactions
	7 transactions for 11/10/20. Total proceeds and cost reported to the IRS.						
	49.000	596.44	11/09/20	602.73	...	-6.29	1 of 7 - Sale <sup>25</sup>
	12.000	146.07	11/09/20	150.97	...	-4.90	2 of 7 - Sale <sup>25</sup>
	10.000	121.72	11/09/20	125.80	...	-4.08	3 of 7 - Sale <sup>25</sup>
	23.000	279.96	11/09/20	282.91	...	-2.95	4 of 7 - Sale <sup>25</sup>

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(continued)

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CO-DIAGNOSTICS, INC. COMMON STOCK / CUSIP: 189763105 / Symbol: (cont'd)							
	2.000	24.34	11/09/20	25.26	...	-0.92	5 of 7 - Sale <sup>25</sup>
	3.000	36.52	11/09/20	36.60	...	-0.08	6 of 7 - Sale <sup>25</sup>
	23.000	279.96	11/09/20	278.54	...	1.42	7 of 7 - Sale <sup>25</sup>
11/10/20	122.000	1,485.01	Various	1,502.81	...	-17.80	Total of 7 transactions
	<b>Security total:</b>	<b>94,452.56</b>		<b>96,505.94</b>	<b>1,355.97 W</b>	<b>-697.41</b>	
COCA-COLA COMPANY / CUSIP: 191216100 / Symbol:							
03/31/20	15.000	669.17	03/30/20	667.80	...	1.37	Sale <sup>25</sup>
COEUR MINING, INC. / CUSIP: 192108504 / Symbol:							
06/30/20	50.000	251.75	06/30/20	258.00	...	-6.25	Sale <sup>25</sup>
COLUMBIA PROPERTY TRUST, INC. / CUSIP: 198287203 / Symbol:							
06/03/20	50.000	723.99	06/02/20	700.50	...	23.49	Sale <sup>25</sup>
COMMERCIAL VEHICLE GROUP, INC. COMMON STOCK / CUSIP: 202608105 / Symbol:							
	2 transactions for 04/30/20. Total proceeds and cost reported to the IRS.						
	50.000	134.99	04/29/20	134.25	...	0.74	1 of 2 - Sale <sup>25</sup>
	100.000	269.99	04/29/20	268.50	...	1.49	2 of 2 - Sale <sup>25</sup>
04/30/20	150.000	404.98	Various	402.75	...	2.23	Total of 2 transactions
COMMUNITY HEALTH SYSTEMS, INC. / CUSIP: 203668108 / Symbol:							
	2 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	200.000	699.96	07/16/20	715.98	...	-16.02	1 of 2 - Sale <sup>25</sup>
	50.000	175.00	07/16/20	179.00	...	-4.00	2 of 2 - Sale <sup>25</sup>
07/16/20	250.000	874.96	Various	894.98	...	-20.02	Total of 2 transactions
COMPANHIA SIDERURGICA NACIONAL S.A. (CSN) / CUSIP: 20440W105 / Symbol:							
03/27/20	250.000	317.47	03/25/20	322.08	...	-4.61	Sale <sup>25</sup>
CONDUENT INCORPORATED COMMON STOCK / CUSIP: 206787103 / Symbol:							
07/09/20	50.000	100.11	07/09/20	99.40	...	0.71	Sale <sup>25</sup>
	52 transactions for 08/06/20. Total proceeds and cost reported to the IRS.						
	7.000	26.95	08/06/20	24.78	...	2.17	1 of 52 - Sale <sup>25</sup>
	10.000	38.50	08/06/20	35.90	...	2.60	2 of 52 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CONDUENT INCORPORATED COMMON S TOCK / CUSIP: 206787103 / Symbol: (cont'd)							
10.000		39.30	08/06/20	35.90	...	3.40	3 of 52 - Sale <sup>25</sup>
12.000		48.00	08/06/20	43.20	...	4.80	4 of 52 - Sale <sup>25</sup>
23.000		87.39	08/06/20	82.57	...	4.82	5 of 52 - Sale <sup>25</sup>
20.000		78.40	08/06/20	71.80	...	6.60	6 of 52 - Sale <sup>25</sup>
26.000		100.09	08/06/20	92.30	...	7.79	7 of 52 - Sale <sup>25</sup>
37.000		145.41	08/06/20	132.83	...	12.58	8 of 52 - Sale <sup>25</sup>
63.000		239.39	08/06/20	226.17	...	13.22	9 of 52 - Sale <sup>25</sup>
54.000		207.89	08/06/20	194.40	...	13.49	10 of 52 - Sale <sup>25</sup>
50.000		192.49	08/06/20	178.50	...	13.99	11 of 52 - Sale <sup>25</sup>
100.000		379.98	08/06/20	359.00	...	20.98	12 of 52 - Sale <sup>25</sup>
100.000		379.98	08/06/20	359.00	...	20.98	13 of 52 - Sale <sup>25</sup>
63.000		247.58	08/06/20	226.17	...	21.41	14 of 52 - Sale <sup>25</sup>
100.000		379.98	08/06/20	356.00	...	23.98	15 of 52 - Sale <sup>25</sup>
100.000		379.98	08/06/20	355.90	...	24.08	16 of 52 - Sale <sup>25</sup>
100.000		379.98	08/06/20	355.90	...	24.08	17 of 52 - Sale <sup>25</sup>
100.000		379.98	08/06/20	355.00	...	24.98	18 of 52 - Sale <sup>25</sup>
100.000		384.98	08/06/20	357.00	...	27.98	19 of 52 - Sale <sup>25</sup>
95.000		365.73	08/06/20	337.25	...	28.48	20 of 52 - Sale <sup>25</sup>
100.000		384.98	08/06/20	356.00	...	28.98	21 of 52 - Sale <sup>25</sup>
93.000		364.54	08/06/20	334.80	...	29.74	22 of 52 - Sale <sup>25</sup>
100.000		384.98	08/06/20	355.00	...	29.98	23 of 52 - Sale <sup>25</sup>
100.000		384.98	08/06/20	355.00	...	29.98	24 of 52 - Sale <sup>25</sup>
100.000		384.98	08/06/20	355.00	...	29.98	25 of 52 - Sale <sup>25</sup>
100.000		384.98	08/06/20	355.00	...	29.98	26 of 52 - Sale <sup>25</sup>
100.000		384.99	08/06/20	355.00	...	29.99	27 of 52 - Sale <sup>25</sup>
100.000		384.99	08/06/20	355.00	...	29.99	28 of 52 - Sale <sup>25</sup>
100.000		384.99	08/06/20	355.00	...	29.99	29 of 52 - Sale <sup>25</sup>
100.000		384.99	08/06/20	355.00	...	29.99	30 of 52 - Sale <sup>25</sup>
100.000		385.09	08/06/20	355.00	...	30.09	31 of 52 - Sale <sup>25</sup>
100.000		385.09	08/06/20	355.00	...	30.09	32 of 52 - Sale <sup>25</sup>
100.000		384.97	08/06/20	354.00	...	30.97	33 of 52 - Sale <sup>25</sup>
100.000		384.98	08/06/20	354.00	...	30.98	34 of 52 - Sale <sup>25</sup>
100.000		385.98	08/06/20	354.00	...	31.98	35 of 52 - Sale <sup>25</sup>
100.000		385.98	08/06/20	354.00	...	31.98	36 of 52 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 527125207
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CONDUENT INCORPORATED COMMON S TOCK / CUSIP: 206787103 / Symbol: (cont'd)							
	100.000	385.98	08/06/20	354.00	...	31.98	37 of 52 - Sale <sup>25</sup>
	100.000	392.99	08/06/20	359.00	...	33.99	38 of 52 - Sale <sup>25</sup>
	100.000	400.99	08/06/20	360.00	...	40.99	39 of 52 - Sale <sup>25</sup>
	158.000	608.27	08/06/20	560.90	...	47.37	40 of 52 - Sale <sup>25</sup>
	200.000	759.96	08/06/20	712.00	...	47.96	41 of 52 - Sale <sup>25</sup>
	177.000	693.80	08/06/20	635.43	...	58.37	42 of 52 - Sale <sup>25</sup>
	300.000	1,139.93	08/06/20	1,068.00	...	71.93	43 of 52 - Sale <sup>25</sup>
	180.000	719.96	08/06/20	648.00	...	71.96	44 of 52 - Sale <sup>25</sup>
	233.000	899.33	08/06/20	827.15	...	72.18	45 of 52 - Sale <sup>25</sup>
	167.000	644.59	08/06/20	571.14	...	73.45	46 of 52 - Sale <sup>25</sup>
	260.000	1,000.94	08/06/20	923.00	...	77.94	47 of 52 - Sale <sup>25</sup>
	400.000	1,519.92	08/06/20	1,420.00	...	99.92	48 of 52 - Sale <sup>25</sup>
	414.000	1,573.12	08/06/20	1,469.70	...	103.42	49 of 52 - Sale <sup>25</sup>
	320.000	1,279.93	08/06/20	1,152.00	...	127.93	50 of 52 - Sale <sup>25</sup>
	348.000	1,391.92	08/06/20	1,252.80	...	139.12	51 of 52 - Sale <sup>25</sup>
	540.000	2,159.89	08/06/20	1,944.00	...	215.89	52 of 52 - Sale <sup>25</sup>
08/06/20	6,760.000	26,194.99	Various	24,093.49	...	2,101.50	Total of 52 transactions
	30 transactions for 08/07/20. Total proceeds and cost reported to the IRS.						
	5.000	20.25	08/06/20	19.70	...	0.55	1 of 30 - Sale <sup>25</sup>
	30.000	121.49	08/06/20	118.17	...	3.32	2 of 30 - Sale <sup>25</sup>
	25.000	101.25	08/06/20	97.00	...	4.25	3 of 30 - Sale <sup>25</sup>
	50.000	202.00	08/06/20	197.00	...	5.00	4 of 30 - Sale <sup>25</sup>
	60.000	242.99	08/06/20	236.40	...	6.59	5 of 30 - Sale <sup>25</sup>
	65.000	263.24	08/06/20	256.03	...	7.21	6 of 30 - Sale <sup>25</sup>
	40.000	161.99	08/06/20	154.00	...	7.99	7 of 30 - Sale <sup>25</sup>
	75.000	303.73	08/06/20	295.50	...	8.23	8 of 30 - Sale <sup>25</sup>
	100.000	404.98	08/06/20	393.90	...	11.08	9 of 30 - Sale <sup>25</sup>
	33.000	133.64	08/06/20	122.10	...	11.54	10 of 30 - Sale <sup>25</sup>
	100.000	404.97	08/06/20	393.00	...	11.97	11 of 30 - Sale <sup>25</sup>
	100.000	404.98	08/06/20	392.00	...	12.98	12 of 30 - Sale <sup>25</sup>
	100.000	404.98	08/06/20	392.00	...	12.98	13 of 30 - Sale <sup>25</sup>
	100.000	404.98	08/06/20	392.00	...	12.98	14 of 30 - Sale <sup>25</sup>
	100.000	404.98	08/06/20	392.00	...	12.98	15 of 30 - Sale <sup>25</sup>
	100.000	404.98	08/06/20	392.00	...	12.98	16 of 30 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CONDUENT INCORPORATED COMMON S TOCK / CUSIP: 206787103 / Symbol: (cont'd)							
	77.000	311.83	08/06/20	298.76	...	13.07	17 of 30 - Sale <sup>25</sup>
	150.000	605.96	08/06/20	591.00	...	14.96	18 of 30 - Sale <sup>25</sup>
	100.000	404.98	08/06/20	390.00	...	14.98	19 of 30 - Sale <sup>25</sup>
	100.000	404.98	08/06/20	390.00	...	14.98	20 of 30 - Sale <sup>25</sup>
	100.000	404.98	08/06/20	390.00	...	14.98	21 of 30 - Sale <sup>25</sup>
	220.000	888.75	08/06/20	855.80	...	32.95	22 of 30 - Sale <sup>25</sup>
	298.000	1,206.84	08/06/20	1,156.24	...	50.60	23 of 30 - Sale <sup>25</sup>
	740.000	2,989.44	08/06/20	2,915.60	...	73.84	24 of 30 - Sale <sup>25</sup>
	785.000	3,171.23	08/06/20	3,092.90	...	78.33	25 of 30 - Sale <sup>25</sup>
	540.000	2,186.89	08/06/20	2,106.00	...	80.89	26 of 30 - Sale <sup>25</sup>
	300.000	1,214.94	08/06/20	1,110.00	...	104.94	27 of 30 - Sale <sup>25</sup>
	638.000	2,583.77	08/06/20	2,475.44	...	108.33	28 of 30 - Sale <sup>25</sup>
	962.000	3,895.90	08/06/20	3,732.56	...	163.34	29 of 30 - Sale <sup>25</sup>
	407.000	1,648.26	08/06/20	1,465.20	...	183.06	30 of 30 - Sale <sup>25</sup>
08/07/20	6,500.000	26,304.18	Various	25,212.30	...	1,091.88	Total of 30 transactions
	17 transactions for 08/07/20. Total proceeds and cost reported to the IRS.						
	399.000	1,611.88	08/06/20	1,747.62	135.74 W	0.00	1 of 17 - Sale <sup>25</sup>
	100.000	403.99	08/06/20	438.00	34.01 W	0.00	2 of 17 - Sale <sup>25</sup>
	1.000	4.04	08/06/20	4.38	0.34 W	0.00	3 of 17 - Sale <sup>25</sup>
	309.000	1,248.29	08/06/20	1,458.54	210.25 W	0.00	4 of 17 - Sale <sup>25</sup>
	90.000	363.58	08/06/20	424.82	61.24 W	0.00	5 of 17 - Sale <sup>25</sup>
	100.000	403.98	08/06/20	472.01	68.03 W	0.00	6 of 17 - Sale <sup>25</sup>
	20.000	80.80	08/06/20	87.60	6.80 W	0.00	7 of 17 - Sale <sup>25</sup>
	309.000	1,248.29	08/06/20	1,563.67	315.38 W	0.00	8 of 17 - Sale <sup>25</sup>
	1.000	4.04	08/06/20	4.72	0.68 W	0.00	9 of 17 - Sale <sup>25</sup>
	190.000	767.56	08/06/20	832.20	64.64 W	0.00	10 of 17 - Sale <sup>25</sup>
	100.000	403.99	08/06/20	438.00	34.01 W	0.00	11 of 17 - Sale <sup>25</sup>
	10.000	40.40	08/06/20	43.80	3.40 W	0.00	12 of 17 - Sale <sup>25</sup>
	90.000	363.59	08/06/20	486.96	123.37 W	0.00	13 of 17 - Sale <sup>25</sup>
	71.000	286.82	08/06/20	384.15	97.33 W	0.00	14 of 17 - Sale <sup>25</sup>
	90.000	363.58	08/06/20	456.34	92.76 W	0.00	15 of 17 - Sale <sup>25</sup>
	100.000	403.98	08/06/20	507.03	103.05 W	0.00	16 of 17 - Sale <sup>25</sup>
	20.000	80.80	08/06/20	94.60	13.80 W	0.00	17 of 17 - Sale <sup>25</sup>
08/07/20	2,000.000	8,079.61	Various	9,444.44	1,364.83 W	0.00	Total of 17 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CONDUENT INCORPORATED COMMON S TOCK / CUSIP: 206787103 / Symbol: (cont'd)							
<i>105 transactions for 08/10/20. Total proceeds and cost reported to the IRS.</i>							
4.000		17.58	08/07/20	19.64	2.06 W	0.00	1 of 105 - Sale <sup>25</sup>
21.000		92.29	08/07/20	103.33	11.04 W	0.00	2 of 105 - Sale <sup>25</sup>
1.000		4.39	08/07/20	5.09	0.70 W	0.00	3 of 105 - Sale <sup>25</sup>
25.000		109.87	08/07/20	126.77	16.90 W	0.00	4 of 105 - Sale <sup>25</sup>
49.000		215.36	08/10/20	284.63	69.27 W	0.00	5 of 105 - Sale <sup>25</sup>
1.000		4.39	08/10/20	5.14	0.75 W	0.00	6 of 105 - Sale <sup>25</sup>
4.000		17.56	08/10/20	19.81	2.25 W	0.00	7 of 105 - Sale <sup>25</sup>
21.000		92.19	08/10/20	104.24	12.05 W	0.00	8 of 105 - Sale <sup>25</sup>
25.000		109.75	08/10/20	127.85	18.10 W	0.00	9 of 105 - Sale <sup>25</sup>
8.000		35.12	08/10/20	46.47	11.35 W	0.00	10 of 105 - Sale <sup>25</sup>
41.000		179.98	08/10/20	238.16	58.18 W	0.00	11 of 105 - Sale <sup>25</sup>
63.000		276.56	08/10/20	365.96	89.40 W	0.00	12 of 105 - Sale <sup>25</sup>
40.000		175.59	08/10/20	218.75	43.16 W	0.00	13 of 105 - Sale <sup>25</sup>
97.000		425.81	08/10/20	529.50	103.69 W	0.00	14 of 105 - Sale <sup>25</sup>
50.000		219.50	08/10/20	273.43	53.93 W	0.00	15 of 105 - Sale <sup>25</sup>
50.000		219.49	08/10/20	273.43	53.94 W	0.00	16 of 105 - Sale <sup>25</sup>
50.000		219.50	08/10/20	273.42	53.92 W	0.00	17 of 105 - Sale <sup>25</sup>
1.000		4.39	08/10/20	5.12	0.73 W	0.00	18 of 105 - Sale <sup>25</sup>
20.000		87.80	08/10/20	102.56	14.76 W	0.00	19 of 105 - Sale <sup>25</sup>
29.000		127.30	08/10/20	138.57	11.27 W	0.00	20 of 105 - Sale <sup>25</sup>
100.000		438.99	08/10/20	477.82	38.83 W	0.00	21 of 105 - Sale <sup>25</sup>
100.000		438.99	08/10/20	443.79	4.80 W	0.00	22 of 105 - Sale <sup>25</sup>
10.000		43.90	08/10/20	47.78	3.88 W	0.00	23 of 105 - Sale <sup>25</sup>
100.000		438.98	08/10/20	477.81	38.83 W	0.00	24 of 105 - Sale <sup>25</sup>
61.000		267.78	08/10/20	291.47	23.69 W	0.00	25 of 105 - Sale <sup>25</sup>
29.000		127.30	08/10/20	128.70	1.40 W	0.00	26 of 105 - Sale <sup>25</sup>
51.000		223.88	08/10/20	226.34	2.46 W	0.00	27 of 105 - Sale <sup>25</sup>
4.000		17.56	08/10/20	20.04	2.48 W	0.00	28 of 105 - Sale <sup>25</sup>
21.000		92.19	08/10/20	105.46	13.27 W	0.00	29 of 105 - Sale <sup>25</sup>
24.000		105.36	08/10/20	124.14	18.78 W	0.00	30 of 105 - Sale <sup>25</sup>
1.000		4.39	08/10/20	5.20	0.81 W	0.00	31 of 105 - Sale <sup>25</sup>
1.000		4.39	08/10/20	5.17	0.78 W	0.00	32 of 105 - Sale <sup>25</sup>
8.000		35.12	08/10/20	46.94	11.82 W	0.00	33 of 105 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CONDUENT INCORPORATED COMMON S TOCK / CUSIP: 206787103 / Symbol: (cont'd)							
41.000		179.98	08/10/20	240.56	60.58 W	0.00	34 of 105 - Sale <sup>25</sup>
49.000		215.10	08/10/20	287.24	72.14 W	0.00	35 of 105 - Sale <sup>25</sup>
63.000		276.56	08/10/20	369.64	93.08 W	0.00	36 of 105 - Sale <sup>25</sup>
37.000		162.42	08/10/20	204.14	41.72 W	0.00	37 of 105 - Sale <sup>25</sup>
40.000		175.60	08/10/20	221.09	45.49 W	0.00	38 of 105 - Sale <sup>25</sup>
60.000		263.39	08/10/20	331.03	67.64 W	0.00	39 of 105 - Sale <sup>25</sup>
1.000		4.39	08/10/20	5.53	1.14 W	0.00	40 of 105 - Sale <sup>25</sup>
49.000		215.10	08/10/20	270.82	55.72 W	0.00	41 of 105 - Sale <sup>25</sup>
50.000		219.49	08/10/20	276.36	56.87 W	0.00	42 of 105 - Sale <sup>25</sup>
50.000		219.49	08/10/20	276.33	56.84 W	0.00	43 of 105 - Sale <sup>25</sup>
1.000		4.39	08/10/20	5.18	0.79 W	0.00	44 of 105 - Sale <sup>25</sup>
20.000		87.79	08/10/20	103.73	15.94 W	0.00	45 of 105 - Sale <sup>25</sup>
29.000		127.30	08/10/20	140.27	12.97 W	0.00	46 of 105 - Sale <sup>25</sup>
1.000		4.39	08/10/20	4.84	0.45 W	0.00	47 of 105 - Sale <sup>25</sup>
100.000		438.98	08/10/20	483.66	44.68 W	0.00	48 of 105 - Sale <sup>25</sup>
60.000		263.39	08/10/20	290.20	26.81 W	0.00	49 of 105 - Sale <sup>25</sup>
40.000		175.60	08/10/20	193.46	17.86 W	0.00	50 of 105 - Sale <sup>25</sup>
8.000		35.12	08/10/20	47.42	12.30 W	0.00	51 of 105 - Sale <sup>25</sup>
37.000		162.43	08/10/20	206.37	43.94 W	0.00	52 of 105 - Sale <sup>25</sup>
55.000		241.44	08/10/20	306.75	65.31 W	0.00	53 of 105 - Sale <sup>25</sup>
5.000		21.95	08/10/20	27.94	5.99 W	0.00	54 of 105 - Sale <sup>25</sup>
5.000		21.95	08/10/20	27.89	5.94 W	0.00	55 of 105 - Sale <sup>25</sup>
1.000		4.39	08/10/20	5.59	1.20 W	0.00	56 of 105 - Sale <sup>25</sup>
1.000		4.39	08/10/20	5.59	1.20 W	0.00	57 of 105 - Sale <sup>25</sup>
35.000		153.64	08/10/20	195.55	41.91 W	0.00	58 of 105 - Sale <sup>25</sup>
49.000		215.10	08/10/20	273.77	58.67 W	0.00	59 of 105 - Sale <sup>25</sup>
50.000		219.48	08/10/20	279.37	59.89 W	0.00	60 of 105 - Sale <sup>25</sup>
10.000		43.80	08/10/20	48.36	4.56 W	0.00	61 of 105 - Sale <sup>25</sup>
60.000		262.79	08/10/20	290.20	27.41 W	0.00	62 of 105 - Sale <sup>25</sup>
29.000		127.01	08/10/20	130.40	3.39 W	0.00	63 of 105 - Sale <sup>25</sup>
51.000		223.37	08/10/20	229.32	5.95 W	0.00	64 of 105 - Sale <sup>25</sup>
100.000		437.98	08/10/20	449.63	11.65 W	0.00	65 of 105 - Sale <sup>25</sup>
199.000		871.57	08/10/20	885.21	13.64 W	0.00	66 of 105 - Sale <sup>25</sup>
1.000		4.38	08/10/20	5.23	0.85 W	0.00	67 of 105 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CONDUENT INCORPORATED COMMON S TOCK / CUSIP: 206787103 / Symbol: (cont'd)							
	1.000	4.38	08/10/20	5.26	0.88 W	0.00	68 of 105 - Sale <sup>25</sup>
	4.000	17.52	08/10/20	20.28	2.76 W	0.00	69 of 105 - Sale <sup>25</sup>
	21.000	91.97	08/10/20	106.72	14.75 W	0.00	70 of 105 - Sale <sup>25</sup>
	24.000	105.11	08/10/20	125.58	20.47 W	0.00	71 of 105 - Sale <sup>25</sup>
	49.000	214.61	08/10/20	290.19	75.58 W	0.00	72 of 105 - Sale <sup>25</sup>
	8.000	35.04	08/10/20	47.42	12.38 W	0.00	73 of 105 - Sale <sup>25</sup>
	41.000	179.57	08/10/20	243.03	63.46 W	0.00	74 of 105 - Sale <sup>25</sup>
	55.000	240.89	08/10/20	326.01	85.12 W	0.00	75 of 105 - Sale <sup>25</sup>
	49.000	224.90	08/10/20	280.37	55.47 W	0.00	76 of 105 - Sale <sup>25</sup>
	1.000	4.59	08/10/20	5.37	0.78 W	0.00	77 of 105 - Sale <sup>25</sup>
	20.000	91.80	08/10/20	107.64	15.84 W	0.00	78 of 105 - Sale <sup>25</sup>
	1.000	4.59	08/10/20	5.03	0.44 W	0.00	79 of 105 - Sale <sup>25</sup>
	29.000	133.11	08/10/20	145.94	12.83 W	0.00	80 of 105 - Sale <sup>25</sup>
	1.000	4.59	08/10/20	5.03	0.44 W	0.00	81 of 105 - Sale <sup>25</sup>
	99.000	454.40	08/10/20	498.15	43.75 W	0.00	82 of 105 - Sale <sup>25</sup>
	40.000	183.59	08/10/20	201.26	17.67 W	0.00	83 of 105 - Sale <sup>25</sup>
	60.000	275.39	08/10/20	301.91	26.52 W	0.00	84 of 105 - Sale <sup>25</sup>
	10.000	45.90	08/10/20	50.44	4.54 W	0.00	85 of 105 - Sale <sup>25</sup>
	60.000	275.39	08/10/20	302.68	27.29 W	0.00	86 of 105 - Sale <sup>25</sup>
	29.000	133.11	08/10/20	136.44	3.33 W	0.00	87 of 105 - Sale <sup>25</sup>
	66.000	302.93	08/10/20	310.49	7.56 W	0.00	88 of 105 - Sale <sup>25</sup>
	1.000	4.56	08/10/20	4.71	0.15 W	0.00	89 of 105 - Sale <sup>25</sup>
	34.000	155.10	08/10/20	159.95	4.85 W	0.00	90 of 105 - Sale <sup>25</sup>
	1.000	4.56	08/10/20	5.48	0.92 W	0.00	91 of 105 - Sale <sup>25</sup>
	1.000	4.56	08/10/20	5.51	0.95 W	0.00	92 of 105 - Sale <sup>25</sup>
	4.000	18.25	08/10/20	21.27	3.02 W	0.00	93 of 105 - Sale <sup>25</sup>
	21.000	95.80	08/10/20	111.93	16.13 W	0.00	94 of 105 - Sale <sup>25</sup>
	24.000	109.48	08/10/20	131.53	22.05 W	0.00	95 of 105 - Sale <sup>25</sup>
	2.000	9.12	08/10/20	12.36	3.24 W	0.00	96 of 105 - Sale <sup>25</sup>
	8.000	36.50	08/10/20	49.40	12.90 W	0.00	97 of 105 - Sale <sup>25</sup>
	41.000	187.04	08/10/20	253.19	66.15 W	0.00	98 of 105 - Sale <sup>25</sup>
	49.000	223.53	08/10/20	302.34	78.81 W	0.00	99 of 105 - Sale <sup>25</sup>
	50.000	228.09	08/10/20	237.22	9.13 W	0.00	100 of 105 - Sale <sup>25</sup>
	199.000	907.82	08/10/20	934.55	26.73 W	0.00	101 of 105 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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CONDUENT INCORPORATED COMMON S TOCK / CUSIP: 206787103 / Symbol: (cont'd)							
	50.000	228.09	08/10/20	308.63	80.54 W	0.00	102 of 105 - Sale <sup>25</sup>
	3.000	13.69	08/10/20	18.44	4.75 W	0.00	103 of 105 - Sale <sup>25</sup>
	8.000	36.49	08/10/20	49.10	12.61 W	0.00	104 of 105 - Sale <sup>25</sup>
	4.000	18.25	08/10/20	23.15	4.90 W	0.00	105 of 105 - Sale <sup>25</sup>
08/10/20	3,665.000	16,261.71	Various	18,925.20	2,663.49 W	0.00	Total of 105 transactions
	35 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	1.000	4.38	08/11/20	5.39	1.01 W	0.00	1 of 35 - Sale <sup>25</sup>
	1.000	4.38	08/11/20	5.42	1.04 W	0.00	2 of 35 - Sale <sup>25</sup>
	4.000	17.53	08/11/20	20.90	3.37 W	0.00	3 of 35 - Sale <sup>25</sup>
	21.000	92.02	08/11/20	110.00	17.98 W	0.00	4 of 35 - Sale <sup>25</sup>
	24.000	105.17	08/11/20	129.33	24.16 W	0.00	5 of 35 - Sale <sup>25</sup>
	2.000	8.76	08/11/20	12.18	3.42 W	0.00	6 of 35 - Sale <sup>25</sup>
	8.000	35.06	08/11/20	48.66	13.60 W	0.00	7 of 35 - Sale <sup>25</sup>
	41.000	179.66	08/11/20	249.42	69.76 W	0.00	8 of 35 - Sale <sup>25</sup>
	49.000	214.72	08/11/20	297.84	83.12 W	0.00	9 of 35 - Sale <sup>25</sup>
	1.000	4.38	08/11/20	5.67	1.29 W	0.00	10 of 35 - Sale <sup>25</sup>
	1.000	4.38	08/11/20	5.67	1.29 W	0.00	11 of 35 - Sale <sup>25</sup>
	5.000	21.91	08/11/20	28.29	6.38 W	0.00	12 of 35 - Sale <sup>25</sup>
	5.000	21.91	08/11/20	28.34	6.43 W	0.00	13 of 35 - Sale <sup>25</sup>
	33.000	144.61	08/11/20	186.70	42.09 W	0.00	14 of 35 - Sale <sup>25</sup>
	35.000	153.37	08/11/20	198.36	44.99 W	0.00	15 of 35 - Sale <sup>25</sup>
	49.000	214.72	08/11/20	277.70	62.98 W	0.00	16 of 35 - Sale <sup>25</sup>
	49.000	214.72	08/11/20	274.50	59.78 W	0.00	17 of 35 - Sale <sup>25</sup>
	50.000	219.10	08/11/20	283.39	64.29 W	0.00	18 of 35 - Sale <sup>25</sup>
	55.000	241.01	08/11/20	311.16	70.15 W	0.00	19 of 35 - Sale <sup>25</sup>
	1.000	4.38	08/11/20	5.25	0.87 W	0.00	20 of 35 - Sale <sup>25</sup>
	20.000	87.64	08/11/20	105.24	17.60 W	0.00	21 of 35 - Sale <sup>25</sup>
	1.000	4.38	08/11/20	4.91	0.53 W	0.00	22 of 35 - Sale <sup>25</sup>
	1.000	4.38	08/11/20	4.91	0.53 W	0.00	23 of 35 - Sale <sup>25</sup>
	10.000	43.82	08/11/20	49.24	5.42 W	0.00	24 of 35 - Sale <sup>25</sup>
	29.000	127.08	08/11/20	142.46	15.38 W	0.00	25 of 35 - Sale <sup>25</sup>
	40.000	175.28	08/11/20	196.47	21.19 W	0.00	26 of 35 - Sale <sup>25</sup>
	60.000	262.92	08/11/20	294.72	31.80 W	0.00	27 of 35 - Sale <sup>25</sup>
	60.000	262.92	08/11/20	295.49	32.57 W	0.00	28 of 35 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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CONDUENT INCORPORATED COMMON S TOCK / CUSIP: 206787103 / Symbol: (cont'd)							
	99.000	433.81	08/11/20	486.28	52.47 W	0.00	29 of 35 - Sale <sup>25</sup>
	1.000	4.38	08/11/20	4.62	0.24 W	0.00	30 of 35 - Sale <sup>25</sup>
	29.000	127.08	08/11/20	132.96	5.88 W	0.00	31 of 35 - Sale <sup>25</sup>
	34.000	148.99	08/11/20	156.83	7.84 W	0.00	32 of 35 - Sale <sup>25</sup>
	50.000	219.10	08/11/20	232.63	13.53 W	0.00	33 of 35 - Sale <sup>25</sup>
	65.000	284.82	08/11/20	299.28	14.46 W	0.00	34 of 35 - Sale <sup>25</sup>
	66.000	289.21	08/11/20	302.58	13.37 W	0.00	35 of 35 - Sale <sup>25</sup>
08/11/20	1,000.000	4,381.98	Various	5,192.79	810.81 W	0.00	Total of 35 transactions
	11 transactions for 08/12/20. Total proceeds and cost reported to the IRS.						
	10.000	37.50	08/12/20	39.54	2.04 W	0.00	1 of 11 - Sale <sup>25</sup>
	1.000	3.75	08/12/20	4.85	1.10 W	0.00	2 of 11 - Sale <sup>25</sup>
	1.000	3.75	08/12/20	4.88	1.13 W	0.00	3 of 11 - Sale <sup>25</sup>
	4.000	15.00	08/12/20	18.73	3.73 W	0.00	4 of 11 - Sale <sup>25</sup>
	21.000	78.74	08/12/20	98.62	19.88 W	0.00	5 of 11 - Sale <sup>25</sup>
	24.000	89.99	08/12/20	116.32	26.33 W	0.00	6 of 11 - Sale <sup>25</sup>
	3.000	11.25	08/12/20	16.27	5.02 W	0.00	7 of 11 - Sale <sup>25</sup>
	8.000	30.00	08/12/20	43.33	13.33 W	0.00	8 of 11 - Sale <sup>25</sup>
	50.000	187.49	08/12/20	272.54	85.05 W	0.00	9 of 11 - Sale <sup>25</sup>
	4.000	15.00	08/12/20	20.26	5.26 W	0.00	10 of 11 - Sale <sup>25</sup>
	124.000	464.97	08/12/20	492.82	27.85 W	0.00	11 of 11 - Sale <sup>25</sup>
08/12/20	250.000	937.44	Various	1,128.16	190.72 W	0.00	Total of 11 transactions
	6 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	65.000	265.83	08/13/20	263.75	...	2.08	1 of 6 - Sale <sup>25</sup>
	100.000	408.98	08/13/20	405.74	...	3.24	2 of 6 - Sale <sup>25</sup>
	200.000	817.95	08/13/20	811.50	...	6.45	3 of 6 - Sale <sup>25</sup>
	200.000	817.95	08/13/20	811.50	...	6.45	4 of 6 - Sale <sup>25</sup>
	236.000	965.18	08/13/20	957.57	...	7.61	5 of 6 - Sale <sup>25</sup>
	801.000	3,228.66	08/13/20	3,179.97	...	48.69	6 of 6 - Sale <sup>25</sup>
08/13/20	1,602.000	6,504.55	Various	6,430.03	...	74.52	Total of 6 transactions
	142 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	1.000	4.03	08/13/20	5.07	1.04 W	0.00	1 of 142 - Sale <sup>25</sup>
	1.000	4.03	08/13/20	5.10	1.07 W	0.00	2 of 142 - Sale <sup>25</sup>
	4.000	16.12	08/13/20	19.61	3.49 W	0.00	3 of 142 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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CONDUENT INCORPORATED COMMON S TOCK / CUSIP: 206787103 / Symbol: (cont'd)							
21.000		84.65	08/13/20	103.25	18.60 W	0.00	4 of 142 - Sale <sup>25</sup>
24.000		96.74	08/13/20	121.61	24.87 W	0.00	5 of 142 - Sale <sup>25</sup>
2.000		8.06	08/13/20	11.36	3.30 W	0.00	6 of 142 - Sale <sup>25</sup>
3.000		12.09	08/13/20	16.93	4.84 W	0.00	7 of 142 - Sale <sup>25</sup>
8.000		32.25	08/13/20	45.36	13.11 W	0.00	8 of 142 - Sale <sup>25</sup>
8.000		32.25	08/13/20	45.09	12.84 W	0.00	9 of 142 - Sale <sup>25</sup>
41.000		165.26	08/13/20	232.53	67.27 W	0.00	10 of 142 - Sale <sup>25</sup>
49.000		197.51	08/13/20	277.65	80.14 W	0.00	11 of 142 - Sale <sup>25</sup>
50.000		201.54	08/13/20	283.55	82.01 W	0.00	12 of 142 - Sale <sup>25</sup>
1.000		4.03	08/13/20	5.26	1.23 W	0.00	13 of 142 - Sale <sup>25</sup>
1.000		4.03	08/13/20	5.26	1.23 W	0.00	14 of 142 - Sale <sup>25</sup>
4.000		16.12	08/13/20	21.14	5.02 W	0.00	15 of 142 - Sale <sup>25</sup>
5.000		20.15	08/13/20	26.23	6.08 W	0.00	16 of 142 - Sale <sup>25</sup>
5.000		20.15	08/13/20	26.28	6.13 W	0.00	17 of 142 - Sale <sup>25</sup>
33.000		133.02	08/13/20	173.10	40.08 W	0.00	18 of 142 - Sale <sup>25</sup>
35.000		141.08	08/13/20	183.94	42.86 W	0.00	19 of 142 - Sale <sup>25</sup>
49.000		197.51	08/13/20	257.51	60.00 W	0.00	20 of 142 - Sale <sup>25</sup>
49.000		197.51	08/13/20	254.31	56.80 W	0.00	21 of 142 - Sale <sup>25</sup>
50.000		201.54	08/13/20	262.79	61.25 W	0.00	22 of 142 - Sale <sup>25</sup>
55.000		221.69	08/13/20	288.50	66.81 W	0.00	23 of 142 - Sale <sup>25</sup>
1.000		4.03	08/13/20	4.84	0.81 W	0.00	24 of 142 - Sale <sup>25</sup>
20.000		80.62	08/13/20	97.00	16.38 W	0.00	25 of 142 - Sale <sup>25</sup>
1.000		4.03	08/13/20	4.50	0.47 W	0.00	26 of 142 - Sale <sup>25</sup>
1.000		4.03	08/13/20	4.50	0.47 W	0.00	27 of 142 - Sale <sup>25</sup>
10.000		40.31	08/13/20	45.12	4.81 W	0.00	28 of 142 - Sale <sup>25</sup>
29.000		116.89	08/13/20	130.51	13.62 W	0.00	29 of 142 - Sale <sup>25</sup>
40.000		161.23	08/13/20	179.99	18.76 W	0.00	30 of 142 - Sale <sup>25</sup>
60.000		241.85	08/13/20	270.00	28.15 W	0.00	31 of 142 - Sale <sup>25</sup>
60.000		241.85	08/13/20	270.77	28.92 W	0.00	32 of 142 - Sale <sup>25</sup>
99.000		399.05	08/13/20	445.50	46.45 W	0.00	33 of 142 - Sale <sup>25</sup>
1.000		4.03	08/13/20	4.21	0.18 W	0.00	34 of 142 - Sale <sup>25</sup>
10.000		40.31	08/13/20	41.74	1.43 W	0.00	35 of 142 - Sale <sup>25</sup>
29.000		116.89	08/13/20	121.01	4.12 W	0.00	36 of 142 - Sale <sup>25</sup>
34.000		137.05	08/13/20	142.82	5.77 W	0.00	37 of 142 - Sale <sup>25</sup>

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(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CONDUENT INCORPORATED COMMON S TOCK / CUSIP: 206787103 / Symbol: (cont'd)							
	50.000	201.54	08/13/20	212.03	10.49 W	0.00	38 of 142 - Sale <sup>25</sup>
	65.000	262.00	08/13/20	272.51	10.51 W	0.00	39 of 142 - Sale <sup>25</sup>
	66.000	266.03	08/13/20	275.39	9.36 W	0.00	40 of 142 - Sale <sup>25</sup>
	124.000	499.82	08/13/20	520.13	20.31 W	0.00	41 of 142 - Sale <sup>25</sup>
	1.000	3.97	08/13/20	5.02	1.05 W	0.00	42 of 142 - Sale <sup>25</sup>
	1.000	3.97	08/13/20	5.05	1.08 W	0.00	43 of 142 - Sale <sup>25</sup>
	4.000	15.88	08/13/20	19.41	3.53 W	0.00	44 of 142 - Sale <sup>25</sup>
	21.000	83.36	08/13/20	102.16	18.80 W	0.00	45 of 142 - Sale <sup>25</sup>
	24.000	95.27	08/13/20	120.36	25.09 W	0.00	46 of 142 - Sale <sup>25</sup>
	30.000	119.09	08/13/20	168.59	49.50 W	0.00	47 of 142 - Sale <sup>25</sup>
	49.000	194.52	08/13/20	275.11	80.59 W	0.00	48 of 142 - Sale <sup>25</sup>
	2.000	7.94	08/13/20	11.26	3.32 W	0.00	49 of 142 - Sale <sup>25</sup>
	3.000	11.91	08/13/20	16.78	4.87 W	0.00	50 of 142 - Sale <sup>25</sup>
	8.000	31.76	08/13/20	44.94	13.18 W	0.00	51 of 142 - Sale <sup>25</sup>
	8.000	31.76	08/13/20	44.67	12.91 W	0.00	52 of 142 - Sale <sup>25</sup>
	11.000	43.67	08/13/20	61.81	18.14 W	0.00	53 of 142 - Sale <sup>25</sup>
	50.000	198.49	08/13/20	280.95	82.46 W	0.00	54 of 142 - Sale <sup>25</sup>
	1.000	3.97	08/13/20	5.21	1.24 W	0.00	55 of 142 - Sale <sup>25</sup>
	5.000	19.85	08/13/20	25.97	6.12 W	0.00	56 of 142 - Sale <sup>25</sup>
	5.000	19.85	08/13/20	26.02	6.17 W	0.00	57 of 142 - Sale <sup>25</sup>
	33.000	131.00	08/13/20	171.38	40.38 W	0.00	58 of 142 - Sale <sup>25</sup>
	35.000	138.94	08/13/20	182.12	43.18 W	0.00	59 of 142 - Sale <sup>25</sup>
	49.000	194.51	08/13/20	254.97	60.46 W	0.00	60 of 142 - Sale <sup>25</sup>
	35.000	138.94	08/13/20	182.14	43.20 W	0.00	61 of 142 - Sale <sup>25</sup>
	55.000	218.34	08/13/20	285.65	67.31 W	0.00	62 of 142 - Sale <sup>25</sup>
	1.000	3.97	08/13/20	5.21	1.24 W	0.00	63 of 142 - Sale <sup>25</sup>
	4.000	15.88	08/13/20	20.94	5.06 W	0.00	64 of 142 - Sale <sup>25</sup>
	49.000	194.52	08/13/20	251.77	57.25 W	0.00	65 of 142 - Sale <sup>25</sup>
	15.000	59.55	08/13/20	78.06	18.51 W	0.00	66 of 142 - Sale <sup>25</sup>
	1.000	3.97	08/13/20	4.79	0.82 W	0.00	67 of 142 - Sale <sup>25</sup>
	20.000	79.40	08/13/20	95.96	16.56 W	0.00	68 of 142 - Sale <sup>25</sup>
	10.000	39.70	08/13/20	44.49	4.79 W	0.00	69 of 142 - Sale <sup>25</sup>
	1.000	3.97	08/13/20	4.45	0.48 W	0.00	70 of 142 - Sale <sup>25</sup>
	19.000	75.43	08/13/20	84.52	9.09 W	0.00	71 of 142 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CONDUENT INCORPORATED COMMON S TOCK / CUSIP: 206787103 / Symbol: (cont'd)							
80.000		317.59	08/13/20	355.85	38.26 W	0.00	72 of 142 - Sale <sup>25</sup>
1.000		3.96	08/13/20	4.45	0.49 W	0.00	73 of 142 - Sale <sup>25</sup>
20.000		79.20	08/13/20	88.96	9.76 W	0.00	74 of 142 - Sale <sup>25</sup>
60.000		237.59	08/13/20	266.88	29.29 W	0.00	75 of 142 - Sale <sup>25</sup>
19.000		75.24	08/13/20	84.51	9.27 W	0.00	76 of 142 - Sale <sup>25</sup>
10.000		39.60	08/13/20	44.60	5.00 W	0.00	77 of 142 - Sale <sup>25</sup>
20.000		79.20	08/13/20	88.96	9.76 W	0.00	78 of 142 - Sale <sup>25</sup>
60.000		237.59	08/13/20	267.65	30.06 W	0.00	79 of 142 - Sale <sup>25</sup>
10.000		39.60	08/13/20	41.21	1.61 W	0.00	80 of 142 - Sale <sup>25</sup>
1.000		3.96	08/13/20	4.16	0.20 W	0.00	81 of 142 - Sale <sup>25</sup>
19.000		75.23	08/13/20	78.30	3.07 W	0.00	82 of 142 - Sale <sup>25</sup>
34.000		134.63	08/13/20	141.05	6.42 W	0.00	83 of 142 - Sale <sup>25</sup>
14.000		55.44	08/13/20	58.64	3.20 W	0.00	84 of 142 - Sale <sup>25</sup>
66.000		261.34	08/13/20	271.97	10.63 W	0.00	85 of 142 - Sale <sup>25</sup>
10.000		39.60	08/13/20	41.22	1.62 W	0.00	86 of 142 - Sale <sup>25</sup>
36.000		142.55	08/13/20	150.79	8.24 W	0.00	87 of 142 - Sale <sup>25</sup>
65.000		257.39	08/13/20	269.14	11.75 W	0.00	88 of 142 - Sale <sup>25</sup>
124.000		491.01	08/13/20	513.69	22.68 W	0.00	89 of 142 - Sale <sup>25</sup>
65.000		257.38	08/13/20	258.63	1.25 W	0.00	90 of 142 - Sale <sup>25</sup>
200.000		791.96	08/13/20	795.78	3.82 W	0.00	91 of 142 - Sale <sup>25</sup>
200.000		791.96	08/13/20	795.78	3.82 W	0.00	92 of 142 - Sale <sup>25</sup>
100.000		395.99	08/13/20	397.89	1.90 W	0.00	93 of 142 - Sale <sup>25</sup>
236.000		934.50	08/13/20	939.01	4.51 W	0.00	94 of 142 - Sale <sup>25</sup>
1.000		4.09	08/13/20	5.09	1.00 W	0.00	95 of 142 - Sale <sup>25</sup>
1.000		4.09	08/13/20	5.12	1.03 W	0.00	96 of 142 - Sale <sup>25</sup>
4.000		16.36	08/13/20	19.68	3.32 W	0.00	97 of 142 - Sale <sup>25</sup>
21.000		85.89	08/13/20	103.61	17.72 W	0.00	98 of 142 - Sale <sup>25</sup>
24.000		98.15	08/13/20	122.01	23.86 W	0.00	99 of 142 - Sale <sup>25</sup>
2.000		8.18	08/13/20	11.40	3.22 W	0.00	100 of 142 - Sale <sup>25</sup>
3.000		12.27	08/13/20	16.99	4.72 W	0.00	101 of 142 - Sale <sup>25</sup>
8.000		32.72	08/13/20	45.49	12.77 W	0.00	102 of 142 - Sale <sup>25</sup>
8.000		32.72	08/13/20	45.22	12.50 W	0.00	103 of 142 - Sale <sup>25</sup>
11.000		44.99	08/13/20	62.56	17.57 W	0.00	104 of 142 - Sale <sup>25</sup>
30.000		122.69	08/13/20	170.65	47.96 W	0.00	105 of 142 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CONDUENT INCORPORATED COMMON S TOCK / CUSIP: 206787103 / Symbol: (cont'd)							
	49.000	200.40	08/13/20	278.47	78.07 W	0.00	106 of 142 - Sale <sup>25</sup>
	50.000	204.49	08/13/20	284.38	79.89 W	0.00	107 of 142 - Sale <sup>25</sup>
	1.000	4.09	08/13/20	5.28	1.19 W	0.00	108 of 142 - Sale <sup>25</sup>
	1.000	4.09	08/13/20	5.28	1.19 W	0.00	109 of 142 - Sale <sup>25</sup>
	4.000	16.36	08/13/20	21.21	4.85 W	0.00	110 of 142 - Sale <sup>25</sup>
	5.000	20.45	08/13/20	26.31	5.86 W	0.00	111 of 142 - Sale <sup>25</sup>
	5.000	20.45	08/13/20	26.36	5.91 W	0.00	112 of 142 - Sale <sup>25</sup>
	15.000	61.35	08/13/20	79.09	17.74 W	0.00	113 of 142 - Sale <sup>25</sup>
	33.000	134.96	08/13/20	173.65	38.69 W	0.00	114 of 142 - Sale <sup>25</sup>
	35.000	143.14	08/13/20	184.52	41.38 W	0.00	115 of 142 - Sale <sup>25</sup>
	35.000	143.14	08/13/20	184.54	41.40 W	0.00	116 of 142 - Sale <sup>25</sup>
	49.000	200.40	08/13/20	258.34	57.94 W	0.00	117 of 142 - Sale <sup>25</sup>
	49.000	200.40	08/13/20	255.13	54.73 W	0.00	118 of 142 - Sale <sup>25</sup>
	55.000	224.94	08/13/20	289.42	64.48 W	0.00	119 of 142 - Sale <sup>25</sup>
	1.000	4.09	08/13/20	4.86	0.77 W	0.00	120 of 142 - Sale <sup>25</sup>
	20.000	81.80	08/13/20	97.33	15.53 W	0.00	121 of 142 - Sale <sup>25</sup>
	1.000	4.09	08/13/20	4.52	0.43 W	0.00	122 of 142 - Sale <sup>25</sup>
	1.000	4.09	08/13/20	4.53	0.44 W	0.00	123 of 142 - Sale <sup>25</sup>
	10.000	40.90	08/13/20	45.17	4.27 W	0.00	124 of 142 - Sale <sup>25</sup>
	10.000	40.90	08/13/20	45.38	4.48 W	0.00	125 of 142 - Sale <sup>25</sup>
	19.000	77.71	08/13/20	85.82	8.11 W	0.00	126 of 142 - Sale <sup>25</sup>
	19.000	77.71	08/13/20	86.00	8.29 W	0.00	127 of 142 - Sale <sup>25</sup>
	20.000	81.80	08/13/20	90.53	8.73 W	0.00	128 of 142 - Sale <sup>25</sup>
	20.000	81.80	08/13/20	90.53	8.73 W	0.00	129 of 142 - Sale <sup>25</sup>
	60.000	245.39	08/13/20	271.59	26.20 W	0.00	130 of 142 - Sale <sup>25</sup>
	60.000	245.39	08/13/20	272.36	26.97 W	0.00	131 of 142 - Sale <sup>25</sup>
	80.000	327.18	08/13/20	361.33	34.15 W	0.00	132 of 142 - Sale <sup>25</sup>
	1.000	4.09	08/13/20	4.24	0.15 W	0.00	133 of 142 - Sale <sup>25</sup>
	10.000	40.90	08/13/20	41.99	1.09 W	0.00	134 of 142 - Sale <sup>25</sup>
	10.000	40.90	08/13/20	42.00	1.10 W	0.00	135 of 142 - Sale <sup>25</sup>
	14.000	57.26	08/13/20	59.74	2.48 W	0.00	136 of 142 - Sale <sup>25</sup>
	19.000	77.71	08/13/20	79.80	2.09 W	0.00	137 of 142 - Sale <sup>25</sup>
	34.000	139.05	08/13/20	143.73	4.68 W	0.00	138 of 142 - Sale <sup>25</sup>
	36.000	147.23	08/13/20	153.62	6.39 W	0.00	139 of 142 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CONDUENT INCORPORATED COMMON S TOCK / CUSIP: 206787103 / Symbol: (cont'd)							
	65.000	265.83	08/13/20	274.25	8.42 W	0.00	140 of 142 - Sale <sup>25</sup>
	66.000	269.92	08/13/20	277.17	7.25 W	0.00	141 of 142 - Sale <sup>25</sup>
	124.000	507.13	08/13/20	523.44	16.31 W	0.00	142 of 142 - Sale <sup>25</sup>
08/13/20	4,398.000	17,662.49	Various	20,292.61	2,630.12 W	0.00	Total of 142 transactions
	9 transactions for 08/14/20. Total proceeds and cost reported to the IRS.						
	2.000	7.74	08/14/20	9.44	1.70 W	0.00	1 of 9 - Sale <sup>25</sup>
	1.000	3.86	08/14/20	4.89	1.03 W	0.00	2 of 9 - Sale <sup>25</sup>
	1.000	3.86	08/14/20	4.92	1.06 W	0.00	3 of 9 - Sale <sup>25</sup>
	2.000	7.72	08/14/20	9.43	1.71 W	0.00	4 of 9 - Sale <sup>25</sup>
	21.000	81.10	08/14/20	99.37	18.27 W	0.00	5 of 9 - Sale <sup>25</sup>
	24.000	92.68	08/14/20	117.17	24.49 W	0.00	6 of 9 - Sale <sup>25</sup>
	30.000	115.86	08/14/20	164.60	48.74 W	0.00	7 of 9 - Sale <sup>25</sup>
	49.000	189.23	08/14/20	268.57	79.34 W	0.00	8 of 9 - Sale <sup>25</sup>
	2.000	7.72	08/14/20	11.01	3.29 W	0.00	9 of 9 - Sale <sup>25</sup>
08/14/20	132.000	509.77	Various	689.40	179.63 W	0.00	Total of 9 transactions
	41 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	2.000	7.56	08/18/20	10.80	3.24 W	0.00	1 of 41 - Sale <sup>25</sup>
	3.000	11.34	08/18/20	16.09	4.75 W	0.00	2 of 41 - Sale <sup>25</sup>
	8.000	30.24	08/18/20	43.09	12.85 W	0.00	3 of 41 - Sale <sup>25</sup>
	8.000	30.24	08/18/20	42.82	12.58 W	0.00	4 of 41 - Sale <sup>25</sup>
	9.000	34.02	08/18/20	48.49	14.47 W	0.00	5 of 41 - Sale <sup>25</sup>
	50.000	188.99	08/18/20	269.38	80.39 W	0.00	6 of 41 - Sale <sup>25</sup>
	1.000	3.78	08/18/20	4.98	1.20 W	0.00	7 of 41 - Sale <sup>25</sup>
	1.000	3.78	08/18/20	4.98	1.20 W	0.00	8 of 41 - Sale <sup>25</sup>
	4.000	15.12	08/18/20	20.01	4.89 W	0.00	9 of 41 - Sale <sup>25</sup>
	5.000	18.90	08/18/20	24.81	5.91 W	0.00	10 of 41 - Sale <sup>25</sup>
	5.000	18.90	08/18/20	24.86	5.96 W	0.00	11 of 41 - Sale <sup>25</sup>
	15.000	56.70	08/18/20	74.59	17.89 W	0.00	12 of 41 - Sale <sup>25</sup>
	33.000	124.74	08/18/20	163.75	39.01 W	0.00	13 of 41 - Sale <sup>25</sup>
	35.000	132.30	08/18/20	174.02	41.72 W	0.00	14 of 41 - Sale <sup>25</sup>
	35.000	132.30	08/18/20	174.04	41.74 W	0.00	15 of 41 - Sale <sup>25</sup>
	49.000	185.21	08/18/20	243.64	58.43 W	0.00	16 of 41 - Sale <sup>25</sup>
	49.000	185.21	08/18/20	240.43	55.22 W	0.00	17 of 41 - Sale <sup>25</sup>
	55.000	207.89	08/18/20	272.92	65.03 W	0.00	18 of 41 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 527125207
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CONDUENT INCORPORATED COMMON S TOCK / CUSIP: 206787103 / Symbol: (cont'd)							
	1.000	3.78	08/18/20	4.56	0.78 W	0.00	19 of 41 - Sale <sup>25</sup>
	20.000	75.60	08/18/20	91.33	15.73 W	0.00	20 of 41 - Sale <sup>25</sup>
	1.000	3.78	08/18/20	4.22	0.44 W	0.00	21 of 41 - Sale <sup>25</sup>
	1.000	3.78	08/18/20	4.23	0.45 W	0.00	22 of 41 - Sale <sup>25</sup>
	10.000	37.80	08/18/20	42.17	4.37 W	0.00	23 of 41 - Sale <sup>25</sup>
	10.000	37.80	08/18/20	42.38	4.58 W	0.00	24 of 41 - Sale <sup>25</sup>
	19.000	71.82	08/18/20	80.12	8.30 W	0.00	25 of 41 - Sale <sup>25</sup>
	19.000	71.82	08/18/20	80.30	8.48 W	0.00	26 of 41 - Sale <sup>25</sup>
	20.000	75.60	08/18/20	84.53	8.93 W	0.00	27 of 41 - Sale <sup>25</sup>
	20.000	75.60	08/18/20	84.53	8.93 W	0.00	28 of 41 - Sale <sup>25</sup>
	60.000	226.79	08/18/20	253.59	26.80 W	0.00	29 of 41 - Sale <sup>25</sup>
	60.000	226.79	08/18/20	254.35	27.56 W	0.00	30 of 41 - Sale <sup>25</sup>
	80.000	302.39	08/18/20	337.33	34.94 W	0.00	31 of 41 - Sale <sup>25</sup>
	1.000	3.78	08/18/20	3.94	0.16 W	0.00	32 of 41 - Sale <sup>25</sup>
	10.000	37.80	08/18/20	38.99	1.19 W	0.00	33 of 41 - Sale <sup>25</sup>
	10.000	37.80	08/18/20	39.00	1.20 W	0.00	34 of 41 - Sale <sup>25</sup>
	14.000	52.92	08/18/20	55.54	2.62 W	0.00	35 of 41 - Sale <sup>25</sup>
	19.000	71.82	08/18/20	74.09	2.27 W	0.00	36 of 41 - Sale <sup>25</sup>
	34.000	128.52	08/18/20	133.53	5.01 W	0.00	37 of 41 - Sale <sup>25</sup>
	36.000	136.07	08/18/20	142.82	6.75 W	0.00	38 of 41 - Sale <sup>25</sup>
	57.000	215.45	08/18/20	223.51	8.06 W	0.00	39 of 41 - Sale <sup>25</sup>
	65.000	245.69	08/18/20	254.76	9.07 W	0.00	40 of 41 - Sale <sup>25</sup>
	66.000	249.47	08/18/20	257.37	7.90 W	0.00	41 of 41 - Sale <sup>25</sup>
08/18/20	1,000.000	3,779.89	Various	4,440.89	661.00 W	0.00	Total of 41 transactions
30 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	801.000	3,003.56	08/19/20	3,075.10	...	-71.54	1 of 30 - Sale <sup>25</sup>
	49.000	183.74	08/19/20	243.34	...	-59.60	2 of 30 - Sale <sup>25</sup>
	80.000	299.98	08/19/20	342.07	...	-42.09	3 of 30 - Sale <sup>25</sup>
	60.000	224.99	08/19/20	257.91	...	-32.92	4 of 30 - Sale <sup>25</sup>
	60.000	224.99	08/19/20	257.15	...	-32.16	5 of 30 - Sale <sup>25</sup>
	500.000	1,874.88	08/19/20	1,905.00	...	-30.12	6 of 30 - Sale <sup>25</sup>
	15.000	56.25	08/19/20	75.48	...	-19.23	7 of 30 - Sale <sup>25</sup>
	20.000	75.00	08/19/20	92.51	...	-17.51	8 of 30 - Sale <sup>25</sup>
	65.000	243.74	08/19/20	258.61	...	-14.87	9 of 30 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CONDUENT INCORPORATED COMMON S TOCK / CUSIP: 206787103 / Symbol: (cont'd)							
	67.000	251.23	08/19/20	266.03	...	-14.80	10 of 30 - Sale <sup>25</sup>
	66.000	247.49	08/19/20	261.28	...	-13.79	11 of 30 - Sale <sup>25</sup>
	57.000	213.74	08/19/20	226.89	...	-13.15	12 of 30 - Sale <sup>25</sup>
	20.000	75.00	08/19/20	85.71	...	-10.71	13 of 30 - Sale <sup>25</sup>
	20.000	75.00	08/19/20	85.71	...	-10.71	14 of 30 - Sale <sup>25</sup>
	19.000	71.25	08/19/20	81.42	...	-10.17	15 of 30 - Sale <sup>25</sup>
	19.000	71.25	08/19/20	81.24	...	-9.99	16 of 30 - Sale <sup>25</sup>
	36.000	134.99	08/19/20	144.96	...	-9.97	17 of 30 - Sale <sup>25</sup>
	34.000	127.49	08/19/20	135.54	...	-8.05	18 of 30 - Sale <sup>25</sup>
	10.000	37.50	08/19/20	42.97	...	-5.47	19 of 30 - Sale <sup>25</sup>
	10.000	37.50	08/19/20	42.76	...	-5.26	20 of 30 - Sale <sup>25</sup>
	4.000	15.00	08/19/20	20.25	...	-5.25	21 of 30 - Sale <sup>25</sup>
	19.000	71.25	08/19/20	75.21	...	-3.96	22 of 30 - Sale <sup>25</sup>
	14.000	52.50	08/19/20	56.37	...	-3.87	23 of 30 - Sale <sup>25</sup>
	10.000	37.50	08/19/20	39.59	...	-2.09	24 of 30 - Sale <sup>25</sup>
	10.000	37.50	08/19/20	39.58	...	-2.08	25 of 30 - Sale <sup>25</sup>
	1.000	3.75	08/19/20	5.04	...	-1.29	26 of 30 - Sale <sup>25</sup>
	1.000	3.75	08/19/20	4.62	...	-0.87	27 of 30 - Sale <sup>25</sup>
	1.000	3.75	08/19/20	4.29	...	-0.54	28 of 30 - Sale <sup>25</sup>
	1.000	3.75	08/19/20	4.28	...	-0.53	29 of 30 - Sale <sup>25</sup>
	1.000	3.75	08/19/20	4.00	...	-0.25	30 of 30 - Sale <sup>25</sup>
08/19/20	2,070.000	7,762.07	Various	8,214.91	...	-452.84	Total of 30 transactions
	75 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	35.000	131.24	08/19/20	176.11	5.13 W	-39.74	1 of 75 - Sale <sup>25</sup>
	1.000	3.92	08/19/20	4.98	1.06 W	0.00	2 of 75 - Sale <sup>25</sup>
	1.000	3.92	08/19/20	5.01	1.09 W	0.00	3 of 75 - Sale <sup>25</sup>
	2.000	7.84	08/19/20	9.60	1.76 W	0.00	4 of 75 - Sale <sup>25</sup>
	2.000	7.84	08/19/20	9.61	1.77 W	0.00	5 of 75 - Sale <sup>25</sup>
	21.000	82.34	08/19/20	101.22	18.88 W	0.00	6 of 75 - Sale <sup>25</sup>
	24.000	94.10	08/19/20	119.29	25.19 W	0.00	7 of 75 - Sale <sup>25</sup>
	2.000	7.84	08/19/20	11.19	3.35 W	0.00	8 of 75 - Sale <sup>25</sup>
	2.000	7.84	08/19/20	11.14	3.30 W	0.00	9 of 75 - Sale <sup>25</sup>
	3.000	11.76	08/19/20	16.60	4.84 W	0.00	10 of 75 - Sale <sup>25</sup>
	8.000	31.37	08/19/20	44.45	13.08 W	0.00	11 of 75 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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CONDUENT INCORPORATED COMMON S TOCK / CUSIP: 206787103 / Symbol: (cont'd)							
	8.000	31.37	08/19/20	44.18	12.81 W	0.00	12 of 75 - Sale <sup>25</sup>
	9.000	35.29	08/19/20	50.02	14.73 W	0.00	13 of 75 - Sale <sup>25</sup>
	30.000	117.63	08/19/20	167.24	49.61 W	0.00	14 of 75 - Sale <sup>25</sup>
	49.000	192.12	08/19/20	272.89	80.77 W	0.00	15 of 75 - Sale <sup>25</sup>
	50.000	196.04	08/19/20	277.89	81.85 W	0.00	16 of 75 - Sale <sup>25</sup>
	1.000	3.92	08/19/20	5.15	1.23 W	0.00	17 of 75 - Sale <sup>25</sup>
	1.000	3.92	08/19/20	5.15	1.23 W	0.00	18 of 75 - Sale <sup>25</sup>
	4.000	15.68	08/19/20	20.69	5.01 W	0.00	19 of 75 - Sale <sup>25</sup>
	5.000	19.60	08/19/20	25.66	6.06 W	0.00	20 of 75 - Sale <sup>25</sup>
	5.000	19.60	08/19/20	25.71	6.11 W	0.00	21 of 75 - Sale <sup>25</sup>
	15.000	58.81	08/19/20	77.14	18.33 W	0.00	22 of 75 - Sale <sup>25</sup>
	33.000	129.39	08/19/20	169.36	39.97 W	0.00	23 of 75 - Sale <sup>25</sup>
	35.000	137.23	08/19/20	179.97	42.74 W	0.00	24 of 75 - Sale <sup>25</sup>
	35.000	137.23	08/19/20	179.99	42.76 W	0.00	25 of 75 - Sale <sup>25</sup>
	49.000	192.12	08/19/20	251.98	59.86 W	0.00	26 of 75 - Sale <sup>25</sup>
	49.000	192.12	08/19/20	248.77	56.65 W	0.00	27 of 75 - Sale <sup>25</sup>
	55.000	215.65	08/19/20	282.28	66.63 W	0.00	28 of 75 - Sale <sup>25</sup>
	1.000	3.92	08/19/20	4.73	0.81 W	0.00	29 of 75 - Sale <sup>25</sup>
	20.000	78.42	08/19/20	94.73	16.31 W	0.00	30 of 75 - Sale <sup>25</sup>
	1.000	3.92	08/19/20	4.39	0.47 W	0.00	31 of 75 - Sale <sup>25</sup>
	1.000	3.92	08/19/20	4.40	0.48 W	0.00	32 of 75 - Sale <sup>25</sup>
	10.000	39.21	08/19/20	43.87	4.66 W	0.00	33 of 75 - Sale <sup>25</sup>
	10.000	39.21	08/19/20	44.08	4.87 W	0.00	34 of 75 - Sale <sup>25</sup>
	19.000	74.50	08/19/20	83.35	8.85 W	0.00	35 of 75 - Sale <sup>25</sup>
	19.000	74.50	08/19/20	83.53	9.03 W	0.00	36 of 75 - Sale <sup>25</sup>
	20.000	78.42	08/19/20	87.93	9.51 W	0.00	37 of 75 - Sale <sup>25</sup>
	20.000	78.42	08/19/20	87.93	9.51 W	0.00	38 of 75 - Sale <sup>25</sup>
	60.000	235.25	08/19/20	263.80	28.55 W	0.00	39 of 75 - Sale <sup>25</sup>
	60.000	235.25	08/19/20	264.56	29.31 W	0.00	40 of 75 - Sale <sup>25</sup>
	80.000	313.67	08/19/20	350.94	37.27 W	0.00	41 of 75 - Sale <sup>25</sup>
	1.000	3.92	08/19/20	4.11	0.19 W	0.00	42 of 75 - Sale <sup>25</sup>
	10.000	39.21	08/19/20	40.69	1.48 W	0.00	43 of 75 - Sale <sup>25</sup>
	10.000	39.21	08/19/20	40.70	1.49 W	0.00	44 of 75 - Sale <sup>25</sup>
	14.000	54.89	08/19/20	57.92	3.03 W	0.00	45 of 75 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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CONDUENT INCORPORATED COMMON S TOCK / CUSIP: 206787103 / Symbol: (cont'd)							
	19.000	74.50	08/19/20	77.32	2.82 W	0.00	46 of 75 - Sale <sup>25</sup>
	34.000	133.31	08/19/20	139.31	6.00 W	0.00	47 of 75 - Sale <sup>25</sup>
	36.000	141.15	08/19/20	148.95	7.80 W	0.00	48 of 75 - Sale <sup>25</sup>
	57.000	223.49	08/19/20	233.21	9.72 W	0.00	49 of 75 - Sale <sup>25</sup>
	65.000	254.86	08/19/20	265.82	10.96 W	0.00	50 of 75 - Sale <sup>25</sup>
	66.000	258.78	08/19/20	268.60	9.82 W	0.00	51 of 75 - Sale <sup>25</sup>
	67.000	262.70	08/19/20	273.46	10.76 W	0.00	52 of 75 - Sale <sup>25</sup>
	801.000	3,140.66	08/19/20	3,163.95	23.29 W	0.00	53 of 75 - Sale <sup>25</sup>
	1.000	3.75	08/19/20	4.87	1.12 W	0.00	54 of 75 - Sale <sup>25</sup>
	1.000	3.75	08/19/20	4.90	1.15 W	0.00	55 of 75 - Sale <sup>25</sup>
	2.000	7.50	08/19/20	9.38	1.88 W	0.00	56 of 75 - Sale <sup>25</sup>
	2.000	7.50	08/19/20	9.39	1.89 W	0.00	57 of 75 - Sale <sup>25</sup>
	21.000	78.75	08/19/20	98.89	20.14 W	0.00	58 of 75 - Sale <sup>25</sup>
	24.000	90.00	08/19/20	116.63	26.63 W	0.00	59 of 75 - Sale <sup>25</sup>
	2.000	7.50	08/19/20	10.97	3.47 W	0.00	60 of 75 - Sale <sup>25</sup>
	2.000	7.50	08/19/20	10.92	3.42 W	0.00	61 of 75 - Sale <sup>25</sup>
	3.000	11.25	08/19/20	16.27	5.02 W	0.00	62 of 75 - Sale <sup>25</sup>
	8.000	30.00	08/19/20	43.56	13.56 W	0.00	63 of 75 - Sale <sup>25</sup>
	8.000	30.00	08/19/20	43.29	13.29 W	0.00	64 of 75 - Sale <sup>25</sup>
	9.000	33.75	08/19/20	49.02	15.27 W	0.00	65 of 75 - Sale <sup>25</sup>
	30.000	112.49	08/19/20	163.91	51.42 W	0.00	66 of 75 - Sale <sup>25</sup>
	49.000	183.74	08/19/20	267.46	83.72 W	0.00	67 of 75 - Sale <sup>25</sup>
	50.000	187.49	08/19/20	272.35	84.86 W	0.00	68 of 75 - Sale <sup>25</sup>
	1.000	3.75	08/19/20	5.04	1.29 W	0.00	69 of 75 - Sale <sup>25</sup>
	5.000	18.75	08/19/20	25.11	6.36 W	0.00	70 of 75 - Sale <sup>25</sup>
	5.000	18.75	08/19/20	25.16	6.41 W	0.00	71 of 75 - Sale <sup>25</sup>
	33.000	123.74	08/19/20	165.70	41.96 W	0.00	72 of 75 - Sale <sup>25</sup>
	35.000	131.24	08/19/20	176.09	44.85 W	0.00	73 of 75 - Sale <sup>25</sup>
	49.000	183.74	08/19/20	246.55	62.81 W	0.00	74 of 75 - Sale <sup>25</sup>
	55.000	206.24	08/19/20	276.18	69.94 W	0.00	75 of 75 - Sale <sup>25</sup>
08/19/20	2,430.000	9,454.20	Various	10,967.19	1,473.25 W	-39.74	Total of 75 transactions
	26 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	49.000	177.86	08/20/20	262.56	...	-84.70	1 of 26 - Sale <sup>25</sup>
	55.000	199.64	08/20/20	272.78	...	-73.14	2 of 26 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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CONDUENT INCORPORATED COMMON S TOCK / CUSIP: 206787103 / Symbol: (cont'd)							
	40.000	145.19	08/20/20	198.78	...	-53.59	3 of 26 - Sale <sup>25</sup>
	30.000	108.90	08/20/20	160.92	...	-52.02	4 of 26 - Sale <sup>25</sup>
	29.000	105.27	08/20/20	155.06	...	-49.79	5 of 26 - Sale <sup>25</sup>
	35.000	127.04	08/20/20	173.93	...	-46.89	6 of 26 - Sale <sup>25</sup>
	33.000	119.78	08/20/20	163.66	...	-43.88	7 of 26 - Sale <sup>25</sup>
	24.000	87.12	08/20/20	114.23	...	-27.11	8 of 26 - Sale <sup>25</sup>
	15.000	54.45	08/20/20	80.78	...	-26.33	9 of 26 - Sale <sup>25</sup>
	21.000	76.23	08/20/20	96.79	...	-20.56	10 of 26 - Sale <sup>25</sup>
	9.000	32.67	08/20/20	48.12	...	-15.45	11 of 26 - Sale <sup>25</sup>
	8.000	29.04	08/20/20	42.79	...	-13.75	12 of 26 - Sale <sup>25</sup>
	8.000	29.04	08/20/20	42.76	...	-13.72	13 of 26 - Sale <sup>25</sup>
	9.000	32.67	08/20/20	44.75	...	-12.08	14 of 26 - Sale <sup>25</sup>
	6.000	21.78	08/20/20	32.08	...	-10.30	15 of 26 - Sale <sup>25</sup>
	5.000	18.15	08/20/20	24.85	...	-6.70	16 of 26 - Sale <sup>25</sup>
	5.000	18.15	08/20/20	24.80	...	-6.65	17 of 26 - Sale <sup>25</sup>
	4.000	14.52	08/20/20	19.89	...	-5.37	18 of 26 - Sale <sup>25</sup>
	3.000	10.89	08/20/20	16.08	...	-5.19	19 of 26 - Sale <sup>25</sup>
	2.000	7.26	08/20/20	10.77	...	-3.51	20 of 26 - Sale <sup>25</sup>
	2.000	7.26	08/20/20	10.72	...	-3.46	21 of 26 - Sale <sup>25</sup>
	2.000	7.26	08/20/20	9.19	...	-1.93	22 of 26 - Sale <sup>25</sup>
	2.000	7.26	08/20/20	9.18	...	-1.92	23 of 26 - Sale <sup>25</sup>
	1.000	3.63	08/20/20	4.98	...	-1.35	24 of 26 - Sale <sup>25</sup>
	1.000	3.63	08/20/20	4.80	...	-1.17	25 of 26 - Sale <sup>25</sup>
	1.000	3.63	08/20/20	4.77	...	-1.14	26 of 26 - Sale <sup>25</sup>
08/20/20	399.000	1,448.32	Various	2,030.02	...	-581.70	Total of 26 transactions
09/28/20	2.712	8.90	09/28/20	9.00	...	-0.10	Sale <sup>25</sup>
	2 transactions for 12/14/20. Total proceeds and cost reported to the IRS.						
	50.000	251.57	12/14/20	256.43	...	-4.86	1 of 2 - Sale <sup>25</sup>
	82.000	412.57	12/14/20	416.56	...	-3.99	2 of 2 - Sale <sup>25</sup>
12/14/20	132.000	664.14	Various	672.99	...	-8.85	Total of 2 transactions
12/14/20	118.000	593.71	12/14/20	599.44	2.43 W	-3.30	Sale <sup>25</sup>
	<b>Security total:</b>	<b>130,648.06</b>		<b>138,442.26</b>	<b>9,976.28 W</b>	<b>2,182.08</b>	

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(continued)

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CONTURA ENERGY, INC. COMMON ST OCK / CUSIP: 21241B100 / Symbol:							
05/12/20	100.000	376.49	05/11/20	394.50	...	-18.01	Sale <sup>25</sup>
CORBUS PHARMACEUTICALS HOLDING S, INC. COMMON STOCK / CUSIP: 21833P103 / Symbol:							
08/06/20	70.000	438.98	08/06/20	447.21	...	-8.23	Sale <sup>25</sup>
CORNERSTONE BUILDING BRANDS, I NC. / CUSIP: 21925D109 / Symbol:							
9 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	1.000	8.80	08/11/20	8.75	...	0.05	1 of 9 - Sale <sup>25</sup>
	1.000	8.80	08/11/20	8.60	...	0.20	2 of 9 - Sale <sup>25</sup>
	89.000	783.17	08/11/20	782.31	...	0.86	3 of 9 - Sale <sup>25</sup>
	9.000	79.20	08/11/20	78.12	...	1.08	4 of 9 - Sale <sup>25</sup>
	137.000	1,205.56	08/11/20	1,204.23	...	1.33	5 of 9 - Sale <sup>25</sup>
	365.000	3,211.88	08/11/20	3,208.35	...	3.53	6 of 9 - Sale <sup>25</sup>
	37.000	325.60	08/11/20	321.16	...	4.44	7 of 9 - Sale <sup>25</sup>
	63.000	554.38	08/11/20	546.84	...	7.54	8 of 9 - Sale <sup>25</sup>
	387.000	3,405.48	08/11/20	3,359.16	...	46.32	9 of 9 - Sale <sup>25</sup>
08/11/20	1,089.000	9,582.87	Various	9,517.52	...	65.35	Total of 9 transactions
3 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	1.000	8.80	08/11/20	8.88	0.08 W	0.00	1 of 3 - Sale <sup>25</sup>
	9.000	79.20	08/11/20	79.92	0.72 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	8.80	08/11/20	8.87	0.07 W	0.00	3 of 3 - Sale <sup>25</sup>
08/11/20	11.000	96.80	Various	97.67	0.87 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>9,679.67</b>		<b>9,615.19</b>	<b>0.87 W</b>	<b>65.35</b>	
CORSAIR GAMING, INC. COMMON ST OCK / CUSIP: 22041X102 / Symbol:							
14 transactions for 10/20/20. Total proceeds and cost reported to the IRS.							
	175.000	4,020.38	10/20/20	4,068.75	...	-48.37	1 of 14 - Sale <sup>25</sup>
	200.000	4,594.71	10/20/20	4,640.00	...	-45.29	2 of 14 - Sale <sup>25</sup>
	98.000	2,224.63	10/20/20	2,269.82	...	-45.19	3 of 14 - Sale <sup>25</sup>
	200.000	4,594.71	10/20/20	4,636.00	...	-41.29	4 of 14 - Sale <sup>25</sup>
	100.000	2,270.04	10/20/20	2,311.15	...	-41.11	5 of 14 - Sale <sup>25</sup>
	100.000	2,297.36	10/20/20	2,320.00	...	-22.64	6 of 14 - Sale <sup>25</sup>
	100.000	2,297.36	10/20/20	2,318.00	...	-20.64	7 of 14 - Sale <sup>25</sup>
	100.000	2,297.36	10/20/20	2,318.00	...	-20.64	8 of 14 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CORSAIR GAMING, INC. COMMON ST OCK / CUSIP: 22041X102 / Symbol: (cont'd)							
	100.000	2,297.36	10/20/20	2,318.00	...	-20.64	9 of 14 - Sale <sup>25</sup>
	83.000	1,906.81	10/20/20	1,925.60	...	-18.79	10 of 14 - Sale <sup>25</sup>
	50.000	1,148.68	10/20/20	1,160.00	...	-11.32	11 of 14 - Sale <sup>25</sup>
	50.000	1,148.68	10/20/20	1,160.00	...	-11.32	12 of 14 - Sale <sup>25</sup>
	17.000	390.55	10/20/20	394.40	...	-3.85	13 of 14 - Sale <sup>25</sup>
	2.000	45.40	10/20/20	46.32	...	-0.92	14 of 14 - Sale <sup>25</sup>
10/20/20	1,375.000	31,534.03	Various	31,886.04	...	-352.01	Total of 14 transactions
10/20/20	200.000	4,594.71	10/20/20	4,637.00	42.29 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>36,128.74</b>		<b>36,523.04</b>	<b>42.29 W</b>	<b>-352.01</b>	
COTY INC / CUSIP: 222070203 / Symbol:							
10 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	100.000	451.49	06/29/20	464.83	13.34 W	0.00	1 of 10 - Sale <sup>25</sup>
	100.000	451.49	06/29/20	464.83	13.34 W	0.00	2 of 10 - Sale <sup>25</sup>
	50.000	225.75	06/29/20	232.42	6.67 W	0.00	3 of 10 - Sale <sup>25</sup>
	250.000	1,135.46	06/29/20	1,162.07	26.61 W	0.00	4 of 10 - Sale <sup>25</sup>
	100.000	454.19	06/29/20	474.11	19.92 W	0.00	5 of 10 - Sale <sup>25</sup>
	50.000	227.09	06/29/20	237.06	9.97 W	0.00	6 of 10 - Sale <sup>25</sup>
	50.000	233.49	06/29/20	237.06	3.57 W	0.00	7 of 10 - Sale <sup>25</sup>
	50.000	233.49	06/29/20	237.05	3.56 W	0.00	8 of 10 - Sale <sup>25</sup>
	25.000	116.74	06/29/20	117.87	1.13 W	0.00	9 of 10 - Sale <sup>25</sup>
	125.000	583.72	06/29/20	589.34	5.62 W	0.00	10 of 10 - Sale <sup>25</sup>
06/29/20	900.000	4,112.91	Various	4,216.64	103.73 W	0.00	Total of 10 transactions
8 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	100.000	454.16	06/29/20	480.75	...	-26.59	1 of 8 - Sale <sup>25</sup>
	75.000	340.61	06/29/20	363.37	...	-22.76	2 of 8 - Sale <sup>25</sup>
	100.000	454.16	06/29/20	471.48	...	-17.32	3 of 8 - Sale <sup>25</sup>
	50.000	227.08	06/29/20	243.57	...	-16.49	4 of 8 - Sale <sup>25</sup>
	50.000	227.08	06/29/20	243.56	...	-16.48	5 of 8 - Sale <sup>25</sup>
	50.000	227.07	06/29/20	242.25	...	-15.18	6 of 8 - Sale <sup>25</sup>
	50.000	227.08	06/29/20	240.38	...	-13.30	7 of 8 - Sale <sup>25</sup>
	25.000	113.54	06/29/20	121.13	...	-7.59	8 of 8 - Sale <sup>25</sup>
06/30/20	500.000	2,270.78	Various	2,406.49	...	-135.71	Total of 8 transactions
	<b>Security total:</b>	<b>6,383.69</b>		<b>6,623.13</b>	<b>103.73 W</b>	<b>-135.71</b>	

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CREATIVE REALITIES, INC. COMMO N STOCK / CUSIP: 22530J200 / Symbol:							
2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	50.000	158.50	04/30/20	157.99	...	0.51	1 of 2 - Sale <sup>25</sup>
	100.000	317.09	04/30/20	314.99	...	2.10	2 of 2 - Sale <sup>25</sup>
05/01/20	150.000	475.59	Various	472.98	...	2.61	Total of 2 transactions
CRONOS GROUP INC. COMMON SHARE / CUSIP: 22717L101 / Symbol:							
04/09/20	100.000	610.97	04/08/20	610.99	...	-0.02	Sale <sup>25</sup>
06/17/20	100.000	657.98	06/16/20	689.84	...	-31.86	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,268.95</b>		<b>1,300.83</b>	...	<b>-31.88</b>	
CROWDSTRIKE HOLDINGS, INC. CLA SS A COMMON STOCK / CUSIP: 22788C105 / Symbol:							
06/02/20	1.000	94.91	06/01/20	94.33	...	0.58	Sale <sup>25</sup>
07/08/20	50.000	5,584.88	07/08/20	5,612.36	...	-27.48	Sale <sup>25</sup>
	<b>Security total:</b>	<b>5,679.79</b>		<b>5,706.69</b>	...	<b>-26.90</b>	
CRYOPORT, INC. COMMON STOCK / CUSIP: 229050307 / Symbol:							
6 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	207.000	8,041.76	08/21/20	8,073.00	...	-31.24	1 of 6 - Sale <sup>25</sup>
	60.000	2,330.95	08/21/20	2,340.00	...	-9.05	2 of 6 - Sale <sup>25</sup>
	50.000	1,942.45	08/21/20	1,950.00	...	-7.55	3 of 6 - Sale <sup>25</sup>
	24.000	932.38	08/21/20	936.00	...	-3.62	4 of 6 - Sale <sup>25</sup>
	5.000	194.25	08/21/20	195.00	...	-0.75	5 of 6 - Sale <sup>25</sup>
	4.000	155.40	08/21/20	156.00	...	-0.60	6 of 6 - Sale <sup>25</sup>
08/21/20	350.000	13,597.19	Various	13,650.00	...	-52.81	Total of 6 transactions
CYMABAY THERAPEUTICS INC. COMM ON STOCK / CUSIP: 23257D103 / Symbol:							
5 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	386.000	1,872.00	08/03/20	1,910.70	...	-38.70	1 of 5 - Sale <sup>25</sup>
	46.000	223.10	08/03/20	227.70	...	-4.60	2 of 5 - Sale <sup>25</sup>
	35.000	169.75	08/03/20	173.25	...	-3.50	3 of 5 - Sale <sup>25</sup>
	19.000	92.15	08/03/20	94.05	...	-1.90	4 of 5 - Sale <sup>25</sup>
	14.000	67.90	08/03/20	69.30	...	-1.40	5 of 5 - Sale <sup>25</sup>
08/03/20	500.000	2,424.90	Various	2,475.00	...	-50.10	Total of 5 transactions

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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DCP MIDSTREAM, LP / CUSIP: 23311P100 / Symbol:							
04/01/20	100.000	385.00	03/31/20	410.00	...	-25.00	Sale <sup>25</sup>
DADA NEXUS LIMITED AMERICAN DE POSITARY SHARES / CUSIP: 23344D108 / Symbol:							
07/09/20	10.000	336.00	07/09/20	344.20	...	-8.20	Sale <sup>25</sup>
DANAHER CORPORATION / CUSIP: 235851102 / Symbol:							
03/24/20	3.000	380.49	03/23/20	410.85	30.36 W	0.00	Sale <sup>25</sup>
2 transactions for 04/03/20. Total proceeds and cost reported to the IRS.							
	3.000	408.39	04/02/20	432.02	...	-23.63	1 of 2 - Sale <sup>25</sup>
	2.000	272.27	04/02/20	267.77	...	4.50	2 of 2 - Sale <sup>25</sup>
04/03/20	5.000	680.66	Various	699.79	...	-19.13	Total of 2 transactions
	<b>Security total:</b>	<b>1,061.15</b>		<b>1,110.64</b>	<b>30.36 W</b>	<b>-19.13</b>	
DARE BIOSCIENCE, INC. COMMON S TOCK / CUSIP: 23666P101 / Symbol:							
4 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	250.000	406.21	07/14/20	417.50	...	-11.29	1 of 4 - Sale <sup>25</sup>
	250.000	406.21	07/14/20	414.53	...	-8.32	2 of 4 - Sale <sup>25</sup>
	50.000	81.24	07/14/20	80.45	...	0.79	3 of 4 - Sale <sup>25</sup>
	200.000	324.97	07/14/20	322.00	...	2.97	4 of 4 - Sale <sup>25</sup>
07/14/20	750.000	1,218.63	Various	1,234.48	...	-15.85	Total of 4 transactions
DASEKE, INC. COMMON STOCK / CUSIP: 23753F107 / Symbol:							
11/02/20	6.000	40.80	10/30/20	40.98	...	-0.18	Sale <sup>25</sup>
DATADOG, INC. CLASS A COMMON S TOCK / CUSIP: 23804L103 / Symbol:							
02/24/20	10.000	430.00	02/11/20	489.85	59.85 W	0.00	Sale <sup>25</sup>
3 transactions for 03/18/20. Total proceeds and cost reported to the IRS.							
	10.000	310.55	03/17/20	399.95	...	-89.40	1 of 3 - Sale <sup>25</sup>
	12.000	372.58	03/17/20	408.12	...	-35.54	2 of 3 - Sale <sup>25</sup>
	3.000	93.16	03/17/20	102.03	...	-8.87	3 of 3 - Sale <sup>25</sup>
03/18/20	25.000	776.29	Various	910.10	...	-133.81	Total of 3 transactions
06/11/20	10.000	757.48	06/10/20	786.16	...	-28.68	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,963.77</b>		<b>2,186.11</b>	<b>59.85 W</b>	<b>-162.49</b>	

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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DATASEA INC. COMMON STOCK / CUSIP: 238116206 / Symbol:							
04/02/20	150.000	324.00	04/01/20	349.50	...	-25.50	Sale <sup>25</sup>
DAVE & BUSTER'S ENTERTAINMENT, INC. COMMON STOCK / CUSIP: 238337109 / Symbol:							
05/06/20	20.000	222.20	05/05/20	228.60	6.40 W	0.00	Sale <sup>25</sup>
05/12/20	30.000	326.40	05/11/20	326.40	...	0.00	Sale <sup>25</sup>
05/12/20	20.000	217.59	05/11/20	224.00	6.41 W	0.00	Sale <sup>25</sup>
	5 transactions for 05/29/20. Total proceeds and cost reported to the IRS.						
	20.000	268.19	05/27/20	294.76	26.57 W	0.00	1 of 5 - Sale <sup>25</sup>
	5.000	67.05	05/27/20	72.09	5.04 W	0.00	2 of 5 - Sale <sup>25</sup>
	10.000	134.09	05/27/20	144.00	9.91 W	0.00	3 of 5 - Sale <sup>25</sup>
	15.000	201.14	05/27/20	219.30	18.16 W	0.00	4 of 5 - Sale <sup>25</sup>
	20.000	268.19	05/27/20	292.59	24.40 W	0.00	5 of 5 - Sale <sup>25</sup>
05/29/20	70.000	938.66	Various	1,022.74	84.08 W	0.00	Total of 5 transactions
	4 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	5.000	107.05	06/04/20	92.14	...	14.91	1 of 4 - Sale <sup>25</sup>
	10.000	214.09	06/04/20	184.11	...	29.98	2 of 4 - Sale <sup>25</sup>
	15.000	321.14	06/04/20	279.46	...	41.68	3 of 4 - Sale <sup>25</sup>
	20.000	428.19	06/04/20	374.97	...	53.22	4 of 4 - Sale <sup>25</sup>
06/05/20	50.000	1,070.47	Various	930.68	...	139.79	Total of 4 transactions
	2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.						
	20.000	306.99	06/12/20	346.00	39.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	30.000	460.49	06/12/20	482.40	21.91 W	0.00	2 of 2 - Sale <sup>25</sup>
06/15/20	50.000	767.48	Various	828.40	60.92 W	0.00	Total of 2 transactions
	3 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	50.000	764.74	06/23/20	793.95	...	-29.21	1 of 3 - Sale <sup>25</sup>
	50.000	764.75	06/23/20	793.86	...	-29.11	2 of 3 - Sale <sup>25</sup>
	50.000	764.74	06/23/20	787.32	...	-22.58	3 of 3 - Sale <sup>25</sup>
06/24/20	150.000	2,294.23	Various	2,375.13	...	-80.90	Total of 3 transactions
	3 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	50.000	764.75	06/23/20	778.81	1.41 W	-12.65	1 of 3 - Sale <sup>25</sup>
	20.000	305.90	06/23/20	350.81	44.91 W	0.00	2 of 3 - Sale <sup>25</sup>
	30.000	458.85	06/23/20	489.61	30.76 W	0.00	3 of 3 - Sale <sup>25</sup>
06/24/20	100.000	1,529.50	Various	1,619.23	77.08 W	-12.65	Total of 3 transactions

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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DAVE & BUSTER'S ENTERTAINMENT, INC. COMMON STOCK / CUSIP: 238337109 / Symbol: (cont'd)							
2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	20.000	240.21	07/09/20	284.30	44.09 W	0.00	1 of 2 - Sale <sup>25</sup>
	30.000	360.32	07/09/20	389.84	29.52 W	0.00	2 of 2 - Sale <sup>25</sup>
07/09/20	50.000	600.53	Various	674.14	73.61 W	0.00	Total of 2 transactions
07/20/20	5.000	63.31	07/20/20	64.65	1.34 W	0.00	Sale <sup>25</sup>
07/27/20	15.000	184.53	07/27/20	214.72	30.19 W	0.00	Sale <sup>25</sup>
5 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	5.000	62.01	07/31/20	73.12	11.11 W	0.00	1 of 5 - Sale <sup>25</sup>
	15.000	186.02	07/31/20	216.48	30.46 W	0.00	2 of 5 - Sale <sup>25</sup>
	30.000	372.04	07/31/20	402.10	30.06 W	0.00	3 of 5 - Sale <sup>25</sup>
	5.000	62.01	07/31/20	63.44	1.43 W	0.00	4 of 5 - Sale <sup>25</sup>
	195.000	2,418.24	07/31/20	2,421.73	3.49 W	0.00	5 of 5 - Sale <sup>25</sup>
07/31/20	250.000	3,100.32	Various	3,176.87	76.55 W	0.00	Total of 5 transactions
6 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	102.000	1,221.02	07/31/20	1,273.77	1.03 W	-51.72	1 of 6 - Sale <sup>25</sup>
	5.000	59.85	07/31/20	73.46	13.61 W	0.00	2 of 6 - Sale <sup>25</sup>
	15.000	179.56	07/31/20	217.51	37.95 W	0.00	3 of 6 - Sale <sup>25</sup>
	30.000	359.12	07/31/20	404.15	45.03 W	0.00	4 of 6 - Sale <sup>25</sup>
	5.000	59.85	07/31/20	63.78	3.93 W	0.00	5 of 6 - Sale <sup>25</sup>
	93.000	1,113.28	07/31/20	1,161.35	48.07 W	0.00	6 of 6 - Sale <sup>25</sup>
08/03/20	250.000	2,992.68	Various	3,194.02	149.62 W	-51.72	Total of 6 transactions
5 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	30.000	378.96	08/04/20	426.63	...	-47.67	1 of 5 - Sale <sup>25</sup>
	15.000	189.48	08/04/20	228.75	...	-39.27	2 of 5 - Sale <sup>25</sup>
	45.000	568.43	08/04/20	595.66	...	-27.23	3 of 5 - Sale <sup>25</sup>
	5.000	63.16	08/04/20	77.21	...	-14.05	4 of 5 - Sale <sup>25</sup>
	5.000	63.16	08/04/20	67.53	...	-4.37	5 of 5 - Sale <sup>25</sup>
08/04/20	100.000	1,263.19	Various	1,395.78	...	-132.59	Total of 5 transactions
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	48.000	726.94	08/10/20	755.32	...	-28.38	1 of 2 - Sale <sup>25</sup>
	2.000	30.29	08/10/20	31.47	...	-1.18	2 of 2 - Sale <sup>25</sup>
08/10/20	50.000	757.23	Various	786.79	...	-29.56	Total of 2 transactions
09/18/20	250.000	4,070.23	09/18/20	4,100.00	...	-29.77	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DAVE & BUSTER'S ENTERTAINMENT, INC. COMMON STOCK / CUSIP: 238337109 / Symbol: (cont'd)							
<b>Security total:</b>		<b>20,398.55</b>		<b>21,162.15</b>	<b>566.20 W</b>	<b>-197.40</b>	
DEL TACO RESTAURANTS, INC. COM MON STOCK / CUSIP: 245496104 / Symbol:							
<i>9 transactions for 10/15/20. Total proceeds and cost reported to the IRS.</i>							
	250.000	2,392.42	10/15/20	2,762.56	...	-370.14	1 of 9 - Sale <sup>25</sup>
	92.000	880.41	10/15/20	956.79	...	-76.38	2 of 9 - Sale <sup>25</sup>
	322.000	3,081.43	10/15/20	3,142.72	...	-61.29	3 of 9 - Sale <sup>25</sup>
	68.000	650.74	10/15/20	707.89	...	-57.15	4 of 9 - Sale <sup>25</sup>
	30.000	287.09	10/15/20	330.01	...	-42.92	5 of 9 - Sale <sup>25</sup>
	20.000	191.39	10/15/20	217.01	...	-25.62	6 of 9 - Sale <sup>25</sup>
	8.000	76.56	10/15/20	84.64	...	-8.08	7 of 9 - Sale <sup>25</sup>
	2.000	19.14	10/15/20	22.00	...	-2.86	8 of 9 - Sale <sup>25</sup>
	2.000	19.14	10/15/20	19.52	...	-0.38	9 of 9 - Sale <sup>25</sup>
10/15/20	794.000	7,598.32	Various	8,243.14	...	-644.82	Total of 9 transactions
<i>3 transactions for 10/15/20. Total proceeds and cost reported to the IRS.</i>							
	370.000	3,607.37	10/15/20	3,847.96	221.08 W	-19.51	1 of 3 - Sale <sup>25</sup>
	30.000	292.49	10/15/20	312.00	19.51 W	0.00	2 of 3 - Sale <sup>25</sup>
	8.000	76.64	10/15/20	83.20	6.56 W	0.00	3 of 3 - Sale <sup>25</sup>
10/15/20	408.000	3,976.50	Various	4,243.16	247.15 W	-19.51	Total of 3 transactions
<b>Security total:</b>		<b>11,574.82</b>		<b>12,486.30</b>	<b>247.15 W</b>	<b>-664.33</b>	
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
03/26/20	10.000	319.05	03/25/20	318.20	...	0.85	Sale <sup>25</sup>
04/23/20	50.000	1,126.04	04/20/20	1,183.50	57.46 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 04/30/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	1,314.47	04/29/20	1,419.77	105.30 W	0.00	1 of 2 - Sale <sup>25</sup>
	25.000	657.23	04/29/20	681.75	24.52 W	0.00	2 of 2 - Sale <sup>25</sup>
04/30/20	75.000	1,971.70	Various	2,101.52	129.82 W	0.00	Total of 2 transactions
05/01/20	25.000	610.29	04/30/20	721.15	110.86 W	0.00	Sale <sup>25</sup>
05/04/20	25.000	517.48	05/01/20	653.65	136.17 W	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol: (cont'd)							
2 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	25.000	549.99	05/04/20	642.61	92.62 W	0.00	1 of 2 - Sale <sup>25</sup>
	25.000	549.98	05/04/20	556.27	6.29 W	0.00	2 of 2 - Sale <sup>25</sup>
05/05/20	50.000	1,099.97	Various	1,198.88	98.91 W	0.00	Total of 2 transactions
05/07/20	25.000	539.02	05/06/20	667.07	128.05 W	0.00	Sale <sup>25</sup>
2 transactions for 05/11/20. Total proceeds and cost reported to the IRS.							
	25.000	542.53	05/08/20	660.37	117.84 W	0.00	1 of 2 - Sale <sup>25</sup>
	25.000	542.53	05/08/20	574.04	31.51 W	0.00	2 of 2 - Sale <sup>25</sup>
05/11/20	50.000	1,085.06	Various	1,234.41	149.35 W	0.00	Total of 2 transactions
2 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	25.000	508.03	05/12/20	657.80	149.77 W	0.00	1 of 2 - Sale <sup>25</sup>
	25.000	508.03	05/12/20	668.01	159.98 W	0.00	2 of 2 - Sale <sup>25</sup>
05/13/20	50.000	1,016.06	Various	1,325.81	309.75 W	0.00	Total of 2 transactions
3 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	1.000	18.07	05/13/20	20.71	2.64 W	0.00	1 of 3 - Sale <sup>25</sup>
	25.000	451.74	05/13/20	636.02	184.28 W	0.00	2 of 3 - Sale <sup>25</sup>
	24.000	433.67	05/13/20	497.05	63.38 W	0.00	3 of 3 - Sale <sup>25</sup>
05/14/20	50.000	903.48	Various	1,153.78	250.30 W	0.00	Total of 3 transactions
4 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	1.000	25.00	05/14/20	20.48	...	4.52	1 of 4 - Sale <sup>25</sup>
	25.000	624.98	05/14/20	605.94	...	19.04	2 of 4 - Sale <sup>25</sup>
	24.000	599.99	05/15/20	528.46	...	71.53	3 of 4 - Sale <sup>25</sup>
	25.000	624.98	05/20/20	564.71	...	60.27	4 of 4 - Sale <sup>25</sup>
05/27/20	75.000	1,874.95	Various	1,719.59	...	155.36	Total of 4 transactions
2 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	24.000	599.98	05/14/20	605.03	5.05 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	25.00	05/15/20	26.75	1.75 W	0.00	2 of 2 - Sale <sup>25</sup>
05/27/20	25.000	624.98	Various	631.78	6.80 W	0.00	Total of 2 transactions
3 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	24.000	601.71	05/27/20	630.97	29.26 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	25.07	05/27/20	27.83	2.76 W	0.00	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol: (cont'd)							
	25.000	626.78	05/27/20	652.00	25.22 W	0.00	3 of 3 - Sale <sup>25</sup>
05/29/20	50.000	1,253.56	Various	1,310.80	57.24 W	0.00	Total of 3 transactions
06/02/20	24.000	632.62	06/01/20	655.86	23.24 W	0.00	Sale <sup>25</sup>
	4 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	1.000	33.00	06/01/20	28.87	...	4.13	1 of 4 - Sale <sup>25</sup>
	24.000	791.98	06/03/20	681.77	...	110.21	2 of 4 - Sale <sup>25</sup>
	1.000	33.00	06/04/20	32.93	...	0.07	3 of 4 - Sale <sup>25</sup>
	24.000	791.98	06/04/20	789.20	...	2.78	4 of 4 - Sale <sup>25</sup>
06/09/20	50.000	1,649.96	Various	1,532.77	...	117.19	Total of 4 transactions
06/09/20	15.000	494.98	06/08/20	536.55	41.57 W	0.00	Sale <sup>25</sup>
	4 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	15.000	393.14	06/10/20	514.01	120.87 W	0.00	1 of 4 - Sale <sup>25</sup>
	5.000	131.05	06/10/20	157.48	26.43 W	0.00	2 of 4 - Sale <sup>25</sup>
	15.000	393.14	06/10/20	471.99	78.85 W	0.00	3 of 4 - Sale <sup>25</sup>
	15.000	393.14	06/10/20	480.90	87.76 W	0.00	4 of 4 - Sale <sup>25</sup>
06/11/20	50.000	1,310.47	Various	1,624.38	313.91 W	0.00	Total of 4 transactions
	5 transactions for 06/12/20. Total proceeds and cost reported to the IRS.						
	1.000	30.05	06/11/20	37.27	7.22 W	0.00	1 of 5 - Sale <sup>25</sup>
	14.000	420.69	06/11/20	521.73	101.04 W	0.00	2 of 5 - Sale <sup>25</sup>
	5.000	150.25	06/11/20	172.47	22.22 W	0.00	3 of 5 - Sale <sup>25</sup>
	15.000	450.74	06/11/20	516.98	66.24 W	0.00	4 of 5 - Sale <sup>25</sup>
	15.000	450.73	06/11/20	525.88	75.15 W	0.00	5 of 5 - Sale <sup>25</sup>
06/12/20	50.000	1,502.46	Various	1,774.33	271.87 W	0.00	Total of 5 transactions
	2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	1.000	30.87	06/18/20	38.15	7.28 W	0.00	1 of 2 - Sale <sup>25</sup>
	14.000	432.18	06/18/20	534.05	101.87 W	0.00	2 of 2 - Sale <sup>25</sup>
06/19/20	15.000	463.05	Various	572.20	109.15 W	0.00	Total of 2 transactions
	5 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	1.000	27.36	06/24/20	34.75	7.39 W	0.00	1 of 5 - Sale <sup>25</sup>
	14.000	383.07	06/24/20	486.39	103.32 W	0.00	2 of 5 - Sale <sup>25</sup>
	5.000	136.81	06/24/20	159.55	22.74 W	0.00	3 of 5 - Sale <sup>25</sup>
	15.000	410.43	06/24/20	478.23	67.80 W	0.00	4 of 5 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol: (cont'd)							
06/24/20	15.000	410.44	06/24/20	487.15	76.71 W	0.00	5 of 5 - Sale <sup>25</sup>
06/24/20	50.000	1,368.11	Various	1,646.07	277.96 W	0.00	Total of 5 transactions
5 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	1.000	26.56	06/25/20	33.99	7.43 W	0.00	1 of 5 - Sale <sup>25</sup>
	14.000	371.89	06/25/20	475.65	103.76 W	0.00	2 of 5 - Sale <sup>25</sup>
	5.000	132.82	06/25/20	155.72	22.90 W	0.00	3 of 5 - Sale <sup>25</sup>
	15.000	398.45	06/25/20	466.73	68.28 W	0.00	4 of 5 - Sale <sup>25</sup>
	15.000	398.45	06/25/20	475.63	77.18 W	0.00	5 of 5 - Sale <sup>25</sup>
06/25/20	50.000	1,328.17	Various	1,607.72	279.55 W	0.00	Total of 5 transactions
5 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	1.000	28.31	06/29/20	36.20	7.89 W	0.00	1 of 5 - Sale <sup>25</sup>
	14.000	396.38	06/29/20	506.49	110.11 W	0.00	2 of 5 - Sale <sup>25</sup>
	5.000	141.57	06/29/20	166.73	25.16 W	0.00	3 of 5 - Sale <sup>25</sup>
	15.000	424.70	06/29/20	499.78	75.08 W	0.00	4 of 5 - Sale <sup>25</sup>
	15.000	424.70	06/29/20	508.68	83.98 W	0.00	5 of 5 - Sale <sup>25</sup>
06/29/20	50.000	1,415.66	Various	1,717.88	302.22 W	0.00	Total of 5 transactions
07/08/20	50.000	1,340.98	07/08/20	1,339.91	...	1.07	Sale <sup>25</sup>
11 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	1.000	26.96	07/07/20	35.16	8.20 W	0.00	1 of 11 - Sale <sup>25</sup>
	14.000	377.48	07/07/20	491.87	114.39 W	0.00	2 of 11 - Sale <sup>25</sup>
	4.000	107.85	07/07/20	129.09	21.24 W	0.00	3 of 11 - Sale <sup>25</sup>
	5.000	134.82	07/07/20	161.50	26.68 W	0.00	4 of 11 - Sale <sup>25</sup>
	1.000	26.82	07/08/20	31.37	4.55 W	0.00	5 of 11 - Sale <sup>25</sup>
	1.000	26.82	07/08/20	35.00	8.18 W	0.00	6 of 11 - Sale <sup>25</sup>
	14.000	375.47	07/08/20	489.56	114.09 W	0.00	7 of 11 - Sale <sup>25</sup>
	4.000	107.28	07/08/20	128.43	21.15 W	0.00	8 of 11 - Sale <sup>25</sup>
	5.000	134.10	07/08/20	160.67	26.57 W	0.00	9 of 11 - Sale <sup>25</sup>
	10.000	268.19	07/08/20	318.03	49.84 W	0.00	10 of 11 - Sale <sup>25</sup>
	15.000	402.29	07/08/20	485.95	83.66 W	0.00	11 of 11 - Sale <sup>25</sup>
07/08/20	74.000	1,988.08	Various	2,466.63	478.55 W	0.00	Total of 11 transactions
14 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	1.000	25.77	07/09/20	33.99	8.22 W	0.00	1 of 14 - Sale <sup>25</sup>
	2.000	51.54	07/09/20	67.92	16.38 W	0.00	2 of 14 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol: (cont'd)							
	1.000	25.77	07/09/20	30.36	4.59 W	0.00	3 of 14 - Sale <sup>25</sup>
	11.000	283.49	07/09/20	373.88	90.39 W	0.00	4 of 14 - Sale <sup>25</sup>
	1.000	25.77	07/09/20	34.02	8.25 W	0.00	5 of 14 - Sale <sup>25</sup>
	4.000	103.08	07/09/20	124.63	21.55 W	0.00	6 of 14 - Sale <sup>25</sup>
	5.000	128.86	07/09/20	155.92	27.06 W	0.00	7 of 14 - Sale <sup>25</sup>
	10.000	257.71	07/09/20	308.54	50.83 W	0.00	8 of 14 - Sale <sup>25</sup>
	15.000	386.58	07/09/20	471.71	85.13 W	0.00	9 of 14 - Sale <sup>25</sup>
	1.000	25.59	07/09/20	34.09	8.50 W	0.00	10 of 14 - Sale <sup>25</sup>
	2.000	51.18	07/09/20	68.12	16.94 W	0.00	11 of 14 - Sale <sup>25</sup>
	11.000	281.48	07/09/20	374.95	93.47 W	0.00	12 of 14 - Sale <sup>25</sup>
	1.000	25.59	07/09/20	30.46	4.87 W	0.00	13 of 14 - Sale <sup>25</sup>
	10.000	255.90	07/09/20	258.70	2.80 W	0.00	14 of 14 - Sale <sup>25</sup>
07/09/20	75.000	1,928.31	Various	2,367.29	438.98 W	0.00	Total of 14 transactions
	2 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	10.000	275.19	07/15/20	275.08	...	0.11	1 of 2 - Sale <sup>25</sup>
	40.000	1,100.76	07/15/20	1,089.12	...	11.64	2 of 2 - Sale <sup>25</sup>
07/16/20	50.000	1,375.95	Various	1,364.20	...	11.75	Total of 2 transactions
	18 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	1.000	27.52	07/15/20	35.48	7.96 W	0.00	1 of 18 - Sale <sup>25</sup>
	1.000	27.52	07/15/20	35.73	8.21 W	0.00	2 of 18 - Sale <sup>25</sup>
	2.000	55.04	07/15/20	71.40	16.36 W	0.00	3 of 18 - Sale <sup>25</sup>
	11.000	302.71	07/15/20	392.98	90.27 W	0.00	4 of 18 - Sale <sup>25</sup>
	4.000	110.08	07/15/20	130.46	20.38 W	0.00	5 of 18 - Sale <sup>25</sup>
	5.000	137.60	07/15/20	163.20	25.60 W	0.00	6 of 18 - Sale <sup>25</sup>
	1.000	27.52	07/15/20	32.10	4.58 W	0.00	7 of 18 - Sale <sup>25</sup>
	10.000	275.19	07/15/20	323.11	47.92 W	0.00	8 of 18 - Sale <sup>25</sup>
	15.000	412.79	07/15/20	493.55	80.76 W	0.00	9 of 18 - Sale <sup>25</sup>
	10.000	275.19	07/15/20	283.28	8.09 W	0.00	10 of 18 - Sale <sup>25</sup>
	40.000	1,100.77	07/15/20	1,135.14	34.37 W	0.00	11 of 18 - Sale <sup>25</sup>
	1.000	27.26	07/15/20	36.62	9.36 W	0.00	12 of 18 - Sale <sup>25</sup>
	1.000	27.26	07/15/20	36.87	9.61 W	0.00	13 of 18 - Sale <sup>25</sup>
	2.000	54.52	07/15/20	73.68	19.16 W	0.00	14 of 18 - Sale <sup>25</sup>
	11.000	299.87	07/15/20	405.53	105.66 W	0.00	15 of 18 - Sale <sup>25</sup>
	4.000	109.04	07/16/20	131.65	22.61 W	0.00	16 of 18 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol: (cont'd)							
	5.000	136.31	07/16/20	164.69	28.38 W	0.00	17 of 18 - Sale <sup>25</sup>
	1.000	27.26	07/16/20	32.61	5.35 W	0.00	18 of 18 - Sale <sup>25</sup>
07/16/20	125.000	3,433.45	Various	3,978.08	544.63 W	0.00	Total of 18 transactions
	6 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	1.000	27.43	07/16/20	32.40	4.97 W	0.00	1 of 6 - Sale <sup>25</sup>
	9.000	246.86	07/16/20	293.49	46.63 W	0.00	2 of 6 - Sale <sup>25</sup>
	15.000	411.45	07/16/20	498.04	86.59 W	0.00	3 of 6 - Sale <sup>25</sup>
	10.000	271.91	07/16/20	286.49	14.58 W	0.00	4 of 6 - Sale <sup>25</sup>
	15.000	407.87	07/16/20	430.49	22.62 W	0.00	5 of 6 - Sale <sup>25</sup>
	25.000	679.78	07/16/20	716.98	37.20 W	0.00	6 of 6 - Sale <sup>25</sup>
07/17/20	75.000	2,045.30	Various	2,257.89	212.59 W	0.00	Total of 6 transactions
	10 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	1.000	27.29	07/23/20	36.73	9.44 W	0.00	1 of 10 - Sale <sup>25</sup>
	1.000	27.29	07/23/20	36.98	9.69 W	0.00	2 of 10 - Sale <sup>25</sup>
	2.000	54.59	07/23/20	73.90	19.31 W	0.00	3 of 10 - Sale <sup>25</sup>
	11.000	300.24	07/23/20	406.70	106.46 W	0.00	4 of 10 - Sale <sup>25</sup>
	1.000	27.30	07/23/20	32.34	5.04 W	0.00	5 of 10 - Sale <sup>25</sup>
	4.000	109.18	07/23/20	132.08	22.90 W	0.00	6 of 10 - Sale <sup>25</sup>
	5.000	136.47	07/23/20	165.22	28.75 W	0.00	7 of 10 - Sale <sup>25</sup>
	9.000	245.65	07/23/20	292.94	47.29 W	0.00	8 of 10 - Sale <sup>25</sup>
	15.000	409.41	07/23/20	497.10	87.69 W	0.00	9 of 10 - Sale <sup>25</sup>
	1.000	27.29	07/23/20	32.72	5.43 W	0.00	10 of 10 - Sale <sup>25</sup>
07/23/20	50.000	1,364.71	Various	1,706.71	342.00 W	0.00	Total of 10 transactions
	10 transactions for 08/03/20. Total proceeds and cost reported to the IRS.						
	10.000	247.03	08/03/20	262.96	15.93 W	0.00	1 of 10 - Sale <sup>25</sup>
	15.000	370.55	08/03/20	395.19	24.64 W	0.00	2 of 10 - Sale <sup>25</sup>
	25.000	617.58	08/03/20	658.14	40.56 W	0.00	3 of 10 - Sale <sup>25</sup>
	1.000	25.20	08/03/20	34.92	9.72 W	0.00	4 of 10 - Sale <sup>25</sup>
	1.000	25.20	08/03/20	35.17	9.97 W	0.00	5 of 10 - Sale <sup>25</sup>
	2.000	50.41	08/03/20	70.27	19.86 W	0.00	6 of 10 - Sale <sup>25</sup>
	11.000	277.25	08/03/20	386.72	109.47 W	0.00	7 of 10 - Sale <sup>25</sup>
	1.000	25.20	08/03/20	30.73	5.53 W	0.00	8 of 10 - Sale <sup>25</sup>
	4.000	100.82	08/03/20	124.81	23.99 W	0.00	9 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol: (cont'd)							
08/03/20	5.000	126.02	08/03/20	156.14	30.12 W	0.00	10 of 10 - Sale <sup>25</sup>
	75.000	1,865.26	Various	2,155.05	289.79 W	0.00	Total of 10 transactions
	9 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	8.000	234.72	08/10/20	276.52	41.80 W	0.00	1 of 9 - Sale <sup>25</sup>
	2.000	58.68	08/10/20	70.31	11.63 W	0.00	2 of 9 - Sale <sup>25</sup>
	5.000	146.78	08/10/20	175.78	29.00 W	0.00	3 of 9 - Sale <sup>25</sup>
	1.000	29.34	08/10/20	34.35	5.01 W	0.00	4 of 9 - Sale <sup>25</sup>
	8.000	234.72	08/10/20	281.25	46.53 W	0.00	5 of 9 - Sale <sup>25</sup>
	1.000	29.34	08/10/20	34.74	5.40 W	0.00	6 of 9 - Sale <sup>25</sup>
	5.000	146.78	08/10/20	154.51	7.73 W	0.00	7 of 9 - Sale <sup>25</sup>
	5.000	146.70	08/10/20	154.51	7.81 W	0.00	8 of 9 - Sale <sup>25</sup>
	15.000	440.08	08/10/20	464.29	24.21 W	0.00	9 of 9 - Sale <sup>25</sup>
08/10/20	50.000	1,467.14	Various	1,646.26	179.12 W	0.00	Total of 9 transactions
	8 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	1.000	30.19	08/11/20	39.86	9.67 W	0.00	1 of 8 - Sale <sup>25</sup>
	1.000	30.19	08/11/20	40.11	9.92 W	0.00	2 of 8 - Sale <sup>25</sup>
	2.000	60.38	08/11/20	80.14	19.76 W	0.00	3 of 8 - Sale <sup>25</sup>
	11.000	332.10	08/11/20	441.01	108.91 W	0.00	4 of 8 - Sale <sup>25</sup>
	1.000	30.19	08/11/20	35.67	5.48 W	0.00	5 of 8 - Sale <sup>25</sup>
	4.000	120.76	08/11/20	144.55	23.79 W	0.00	6 of 8 - Sale <sup>25</sup>
	5.000	150.95	08/11/20	180.82	29.87 W	0.00	7 of 8 - Sale <sup>25</sup>
	25.000	754.78	08/11/20	794.06	39.28 W	0.00	8 of 8 - Sale <sup>25</sup>
08/11/20	50.000	1,509.54	Various	1,756.22	246.68 W	0.00	Total of 8 transactions
	18 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	11.000	317.89	08/19/20	427.98	...	-110.09	1 of 18 - Sale <sup>25</sup>
	8.000	231.19	08/19/20	278.58	...	-47.39	2 of 18 - Sale <sup>25</sup>
	8.000	231.19	08/19/20	273.85	...	-42.66	3 of 18 - Sale <sup>25</sup>
	25.000	722.48	08/19/20	764.44	...	-41.96	4 of 18 - Sale <sup>25</sup>
	5.000	144.50	08/19/20	174.90	...	-30.40	5 of 18 - Sale <sup>25</sup>
	5.000	144.50	08/19/20	174.03	...	-29.53	6 of 18 - Sale <sup>25</sup>
	275.000	7,947.32	08/19/20	7,976.75	...	-29.43	7 of 18 - Sale <sup>25</sup>
	15.000	433.49	08/19/20	459.31	...	-25.82	8 of 18 - Sale <sup>25</sup>
	4.000	115.60	08/19/20	139.82	...	-24.22	9 of 18 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol: (cont'd)							
	2.000	57.80	08/19/20	77.77	...	-19.97	10 of 18 - Sale <sup>25</sup>
	2.000	57.80	08/19/20	69.64	...	-11.84	11 of 18 - Sale <sup>25</sup>
	1.000	28.90	08/19/20	38.93	...	-10.03	12 of 18 - Sale <sup>25</sup>
	1.000	28.90	08/19/20	38.68	...	-9.78	13 of 18 - Sale <sup>25</sup>
	5.000	144.50	08/19/20	152.84	...	-8.34	14 of 18 - Sale <sup>25</sup>
	5.000	144.50	08/19/20	152.76	...	-8.26	15 of 18 - Sale <sup>25</sup>
	1.000	28.90	08/19/20	34.49	...	-5.59	16 of 18 - Sale <sup>25</sup>
	1.000	28.90	08/19/20	34.41	...	-5.51	17 of 18 - Sale <sup>25</sup>
	1.000	28.90	08/19/20	34.02	...	-5.12	18 of 18 - Sale <sup>25</sup>
08/19/20	375.000	10,837.26	Various	11,303.20	...	-465.94	Total of 18 transactions
09/21/20	1.000	30.01	09/21/20	29.97	...	0.04	Sale <sup>25</sup>
12/30/20	100.000	4,053.16	12/30/20	4,059.00	...	-5.84	Sale <sup>25</sup>
	<b>Security total:</b>	<b>56,346.27</b>		<b>62,318.31</b>	<b>5,786.52 W</b>	<b>-185.52</b>	
DENBURY RESOURCES, INC. / CUSIP: 247916208 / Symbol:							
	15 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	1,450.000	384.94	07/08/20	399.23	...	-14.29	1 of 15 - Sale <sup>25</sup>
	1,100.000	292.02	07/08/20	302.39	...	-10.37	2 of 15 - Sale <sup>25</sup>
	449.000	119.16	07/08/20	123.62	...	-4.46	3 of 15 - Sale <sup>25</sup>
	1.000	0.27	07/08/20	0.27	...	0.00	4 of 15 - Sale <sup>25</sup>
	58.000	15.85	07/08/20	15.80	...	0.05	5 of 15 - Sale <sup>25</sup>
	42.000	11.48	07/08/20	11.30	...	0.18	6 of 15 - Sale <sup>25</sup>
	58.000	15.85	07/08/20	15.60	...	0.25	7 of 15 - Sale <sup>25</sup>
	100.000	27.33	07/08/20	26.90	...	0.43	8 of 15 - Sale <sup>25</sup>
	100.000	27.33	07/08/20	26.90	...	0.43	9 of 15 - Sale <sup>25</sup>
	200.000	54.65	07/08/20	53.80	...	0.85	10 of 15 - Sale <sup>25</sup>
	200.000	54.65	07/08/20	53.80	...	0.85	11 of 15 - Sale <sup>25</sup>
	1,042.000	284.76	07/08/20	283.76	...	1.00	12 of 15 - Sale <sup>25</sup>
	300.000	81.98	07/08/20	80.70	...	1.28	13 of 15 - Sale <sup>25</sup>
	1,399.000	382.32	07/08/20	380.39	...	1.93	14 of 15 - Sale <sup>25</sup>
	500.000	136.64	07/08/20	133.80	...	2.84	15 of 15 - Sale <sup>25</sup>
07/08/20	6,999.000	1,889.23	Various	1,908.26	...	-19.03	Total of 15 transactions
07/08/20	3,000.000	819.82	07/08/20	821.10	1.28 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,709.05</b>		<b>2,729.36</b>	<b>1.28 W</b>	<b>-19.03</b>	

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2020 1099-B\* OMB No. 1545-0715

(continued)

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DERMTECH, INC. COMMON STOCK / CUSIP: 24984K105 / Symbol:							
2 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	50.000	1,564.96	12/31/20	1,560.10	...	4.86	1 of 2 - Sale <sup>25</sup>
	50.000	1,564.96	12/31/20	1,558.77	...	6.19	2 of 2 - Sale <sup>25</sup>
12/31/20	100.000	3,129.92	Various	3,118.87	...	11.05	Total of 2 transactions
DESIGNER BRANDS INC. / CUSIP: 250565108 / Symbol:							
05/18/20	100.000	537.97	05/15/20	496.00	...	41.97	Sale <sup>25</sup>
DICK'S SPORTING GOODS, INC. / CUSIP: 253393102 / Symbol:							
2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	11.000	591.01	08/26/20	591.25	...	-0.24	1 of 2 - Sale <sup>25</sup>
	1.000	53.74	08/26/20	53.75	...	-0.01	2 of 2 - Sale <sup>25</sup>
08/26/20	12.000	644.75	Various	645.00	...	-0.25	Total of 2 transactions
DIEBOLD NIXDORF INCORPORATED / CUSIP: 253651103 / Symbol:							
04/29/20	100.000	543.98	04/28/20	553.88	...	-9.90	Sale <sup>25</sup>
DIFFUSION PHARMACEUTICALS INC. COMMON STOCK / CUSIP: 253748305 / Symbol:							
04/02/20	400.000	192.07	04/01/20	223.96	...	-31.89	Sale <sup>25</sup>
DIGITAL ALLY, INC. COMMON STOCK / CUSIP: 25382P208 / Symbol:							
3 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	1.000	4.85	06/08/20	4.67	...	0.18	1 of 3 - Sale <sup>25</sup>
	42.000	203.70	06/08/20	196.14	...	7.56	2 of 3 - Sale <sup>25</sup>
	57.000	277.01	06/08/20	266.19	...	10.82	3 of 3 - Sale <sup>25</sup>
06/09/20	100.000	485.56	Various	467.00	...	18.56	Total of 3 transactions
06/30/20	100.000	322.49	06/30/20	331.51	...	-9.02	Sale <sup>25</sup>
06/30/20	150.000	483.73	06/30/20	492.00	5.51 W	-2.76	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,291.78</b>		<b>1,290.51</b>	<b>5.51 W</b>	<b>6.78</b>	
DILLARDS INC. / CUSIP: 254067101 / Symbol:							
10 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	150.000	7,186.86	10/13/20	7,228.60	...	-41.74	1 of 10 - Sale <sup>25</sup>
	100.000	4,791.24	10/13/20	4,817.09	...	-25.85	2 of 10 - Sale <sup>25</sup>
	85.000	4,004.27	10/13/20	4,029.00	...	-24.73	3 of 10 - Sale <sup>25</sup>

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(continued)

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DILLARDS INC. / CUSIP: 254067101 / Symbol: (cont'd)							
	49.000	2,308.34	10/13/20	2,327.49	...	-19.15	4 of 10 - Sale <sup>25</sup>
	7.000	329.77	10/13/20	331.45	...	-1.68	5 of 10 - Sale <sup>25</sup>
	1.000	47.11	10/13/20	47.35	...	-0.24	6 of 10 - Sale <sup>25</sup>
	26.000	1,224.83	10/13/20	1,222.51	...	2.32	7 of 10 - Sale <sup>25</sup>
	74.000	3,486.07	10/13/20	3,479.45	...	6.62	8 of 10 - Sale <sup>25</sup>
	40.000	1,884.36	10/13/20	1,872.00	...	12.36	9 of 10 - Sale <sup>25</sup>
	45.000	2,119.91	10/13/20	2,103.75	...	16.16	10 of 10 - Sale <sup>25</sup>
10/13/20	577.000	27,382.76	Various	27,458.69	...	-75.93	Total of 10 transactions
	2 transactions for 10/13/20. Total proceeds and cost reported to the IRS.						
	251.000	11,824.37	10/13/20	11,922.45	58.61 W	-39.47	1 of 2 - Sale <sup>25</sup>
	100.000	4,710.90	10/13/20	4,748.00	37.10 W	0.00	2 of 2 - Sale <sup>25</sup>
10/13/20	351.000	16,535.27	Various	16,670.45	95.71 W	-39.47	Total of 2 transactions
	<b>Security total:</b>	<b>43,918.03</b>		<b>44,129.14</b>	<b>95.71 W</b>	<b>-115.40</b>	
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BULL 2X SHARES / CUSIP: 25460E166 / Symbol:							
	2 transactions for 04/14/20. Total proceeds and cost reported to the IRS.						
	16.000	126.72	04/13/20	110.70	...	16.02	1 of 2 - Sale <sup>25</sup>
	84.000	664.41	04/13/20	581.20	...	83.21	2 of 2 - Sale <sup>25</sup>
04/14/20	100.000	791.13	Various	691.90	...	99.23	Total of 2 transactions
04/20/20	100.000	629.97	04/17/20	650.99	...	-21.02	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,421.10</b>		<b>1,342.89</b>	...	<b>78.21</b>	
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:							
06/25/20	50.000	1,715.56	06/25/20	1,741.00	...	-25.44	Sale <sup>25</sup>
THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol:							
04/01/20	10.000	946.67	03/31/20	1,022.90	...	-76.23	Sale <sup>25</sup>
DOCUMENT SECURITY SYSTEMS, INC. / CUSIP: 25614T200 / Symbol:							
	5 transactions for 03/30/20. Total proceeds and cost reported to the IRS.						
	200.000	42.34	03/27/20	56.00	13.66 W	0.00	1 of 5 - Sale <sup>25</sup>
	1,300.000	275.19	03/27/20	364.00	88.81 W	0.00	2 of 5 - Sale <sup>25</sup>
	200.000	42.34	03/27/20	71.44	29.10 W	0.00	3 of 5 - Sale <sup>25</sup>
	44.000	9.31	03/27/20	12.71	3.40 W	0.00	4 of 5 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DOCUMENT SECURITY SYSTEMS, INC . / CUSIP: 25614T200 / Symbol: (cont'd)							
03/30/20	256.000	54.19	03/27/20	73.96	19.77 W	0.00	5 of 5 - Sale <sup>25</sup>
	2,000.000	423.37	Various	578.11	154.74 W	0.00	Total of 5 transactions
	2 transactions for 04/02/20. Total proceeds and cost reported to the IRS.						
	35.000	7.53	04/01/20	10.27	2.74 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	1.07	04/01/20	1.46	0.39 W	0.00	2 of 2 - Sale <sup>25</sup>
04/02/20	40.000	8.60	Various	11.73	3.13 W	0.00	Total of 2 transactions
	3 transactions for 04/13/20. Total proceeds and cost reported to the IRS.						
	200.000	39.98	04/08/20	72.10	...	-32.12	1 of 3 - Sale <sup>25</sup>
	260.000	51.97	04/08/20	73.66	...	-21.69	2 of 3 - Sale <sup>25</sup>
	40.000	7.99	04/08/20	11.69	...	-3.70	3 of 3 - Sale <sup>25</sup>
04/13/20	500.000	99.94	Various	157.45	...	-57.51	Total of 3 transactions
04/13/20	1,000.000	199.88	04/08/20	277.32	34.85 W	-42.59	Sale <sup>25</sup>
	8 transactions for 04/29/20. Total proceeds and cost reported to the IRS.						
	256.000	85.76	04/28/20	111.91	...	-26.15	1 of 8 - Sale <sup>25</sup>
	250.000	83.77	04/28/20	109.34	...	-25.57	2 of 8 - Sale <sup>25</sup>
	128.000	42.88	04/28/20	55.97	...	-13.09	3 of 8 - Sale <sup>25</sup>
	68.000	22.78	04/28/20	29.74	...	-6.96	4 of 8 - Sale <sup>25</sup>
	35.000	11.72	04/28/20	15.34	...	-3.62	5 of 8 - Sale <sup>25</sup>
	5.000	1.67	04/28/20	2.19	...	-0.52	6 of 8 - Sale <sup>25</sup>
	4.000	1.34	04/28/20	1.75	...	-0.41	7 of 8 - Sale <sup>25</sup>
	4.000	1.34	04/28/20	1.75	...	-0.41	8 of 8 - Sale <sup>25</sup>
04/29/20	750.000	251.26	Various	327.99	...	-76.73	Total of 8 transactions
	<b>Security total:</b>	<b>983.05</b>		<b>1,352.60</b>	<b>192.72 W</b>	<b>-176.83</b>	
DOCUMENT SECURITY SYSTEMS, INC . / CUSIP: 25614T309 / Symbol:							
07/13/20	3.000	23.73	07/13/20	23.49	...	0.24	Sale <sup>25</sup>
	2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	45.000	355.95	07/13/20	362.25	6.30 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	15.82	07/13/20	16.10	0.28 W	0.00	2 of 2 - Sale <sup>25</sup>
07/13/20	47.000	371.77	Various	378.35	6.58 W	0.00	Total of 2 transactions
	3 transactions for 07/29/20. Total proceeds and cost reported to the IRS.						
	45.000	345.13	07/29/20	358.64	...	-13.51	1 of 3 - Sale <sup>25</sup>
	33.000	253.10	07/29/20	258.39	...	-5.29	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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DOCUMENT SECURITY SYSTEMS, INC. / CUSIP: 25614T309 / Symbol: (cont'd)							
	2.000	15.34	07/29/20	15.94	...	-0.60	3 of 3 - Sale <sup>25</sup>
07/29/20	80.000	613.57	Various	632.97	...	-19.40	Total of 3 transactions
	<b>Security total:</b>	<b>1,009.07</b>		<b>1,034.81</b>	<b>6.58 W</b>	<b>-19.16</b>	
DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol:							
3 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	100.000	17,433.28	06/26/20	17,463.24	...	-29.96	1 of 3 - Sale <sup>25</sup>
	15.000	2,614.99	06/26/20	2,618.43	...	-3.44	2 of 3 - Sale <sup>25</sup>
	10.000	1,743.33	06/26/20	1,739.40	...	3.93	3 of 3 - Sale <sup>25</sup>
06/26/20	125.000	21,791.60	Various	21,821.07	...	-29.47	Total of 3 transactions
DOLPHIN ENTERTAINMENT, INC. COMMON STOCK / CUSIP: 25686H100 / Symbol:							
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	250.000	427.46	07/13/20	458.75	...	-31.29	1 of 2 - Sale <sup>25</sup>
	400.000	683.93	07/13/20	714.00	...	-30.07	2 of 2 - Sale <sup>25</sup>
07/13/20	650.000	1,111.39	Various	1,172.75	...	-61.36	Total of 2 transactions
DOORDASH, INC. / CUSIP: 25809K105 / Symbol:							
12/10/20	0.189	33.29	12/10/20	34.00	...	-0.71	Sale <sup>25</sup>
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol:							
06/17/20	25.000	1,005.97	06/16/20	1,012.25	6.28 W	0.00	Sale <sup>25</sup>
5 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	25.000	824.79	07/08/20	835.78	10.99 W	0.00	1 of 5 - Sale <sup>25</sup>
	24.000	791.81	07/08/20	796.32	4.51 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	32.81	07/08/20	33.18	0.37 W	0.00	3 of 5 - Sale <sup>25</sup>
	5.000	164.25	07/08/20	167.55	3.30 W	0.00	4 of 5 - Sale <sup>25</sup>
	20.000	655.98	07/08/20	670.19	14.21 W	0.00	5 of 5 - Sale <sup>25</sup>
07/08/20	75.000	2,469.64	Various	2,503.02	33.38 W	0.00	Total of 5 transactions
07/31/20	50.000	1,653.34	07/31/20	1,648.44	...	4.90	Sale <sup>25</sup>
8 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	5.000	162.91	07/31/20	167.24	4.33 W	0.00	1 of 8 - Sale <sup>25</sup>
	20.000	651.64	07/31/20	669.99	18.35 W	0.00	2 of 8 - Sale <sup>25</sup>
	1.000	32.58	07/31/20	33.16	0.58 W	0.00	3 of 8 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

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DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol: (cont'd)							
	24.000	781.96	07/31/20	791.44	9.48 W	0.00	4 of 8 - Sale <sup>25</sup>
	5.000	165.33	07/31/20	169.17	3.84 W	0.00	5 of 8 - Sale <sup>25</sup>
	20.000	661.34	07/31/20	677.72	16.38 W	0.00	6 of 8 - Sale <sup>25</sup>
	1.000	33.07	07/31/20	33.55	0.48 W	0.00	7 of 8 - Sale <sup>25</sup>
	24.000	793.61	07/31/20	800.73	7.12 W	0.00	8 of 8 - Sale <sup>25</sup>
07/31/20	100.000	3,282.44	Various	3,343.00	60.56 W	0.00	Total of 8 transactions
	7 transactions for 08/03/20. Total proceeds and cost reported to the IRS.						
	5.000	164.75	08/03/20	168.78	4.03 W	0.00	1 of 7 - Sale <sup>25</sup>
	20.000	658.98	08/03/20	676.13	17.15 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	32.95	08/03/20	33.47	0.52 W	0.00	3 of 7 - Sale <sup>25</sup>
	24.000	790.78	08/03/20	798.81	8.03 W	0.00	4 of 7 - Sale <sup>25</sup>
	45.000	1,482.71	08/03/20	1,484.43	1.72 W	0.00	5 of 7 - Sale <sup>25</sup>
	4.000	131.79	08/03/20	131.87	0.08 W	0.00	6 of 7 - Sale <sup>25</sup>
	1.000	32.95	08/03/20	32.99	0.04 W	0.00	7 of 7 - Sale <sup>25</sup>
08/03/20	100.000	3,294.91	Various	3,326.48	31.57 W	0.00	Total of 7 transactions
	4 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	5.000	157.30	08/10/20	159.68	2.38 W	0.00	1 of 4 - Sale <sup>25</sup>
	20.000	629.18	08/10/20	639.75	10.57 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	31.46	08/10/20	31.65	0.19 W	0.00	3 of 4 - Sale <sup>25</sup>
	24.000	755.02	08/10/20	755.15	0.13 W	0.00	4 of 4 - Sale <sup>25</sup>
08/10/20	50.000	1,572.96	Various	1,586.23	13.27 W	0.00	Total of 4 transactions
	3 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	1.000	36.12	08/13/20	36.25	0.13 W	0.00	1 of 3 - Sale <sup>25</sup>
	4.000	144.48	08/13/20	144.92	0.44 W	0.00	2 of 3 - Sale <sup>25</sup>
	45.000	1,625.36	08/13/20	1,631.17	5.81 W	0.00	3 of 3 - Sale <sup>25</sup>
08/13/20	50.000	1,805.96	Various	1,812.34	6.38 W	0.00	Total of 3 transactions
	8 transactions for 08/28/20. Total proceeds and cost reported to the IRS.						
	20.000	742.01	08/28/20	752.57	...	-10.56	1 of 8 - Sale <sup>25</sup>
	45.000	1,669.51	08/28/20	1,675.31	...	-5.80	2 of 8 - Sale <sup>25</sup>
	5.000	185.50	08/28/20	187.88	...	-2.38	3 of 8 - Sale <sup>25</sup>
	4.000	148.40	08/28/20	148.84	...	-0.44	4 of 8 - Sale <sup>25</sup>
	1.000	37.10	08/28/20	37.29	...	-0.19	5 of 8 - Sale <sup>25</sup>
	1.000	37.10	08/28/20	37.23	...	-0.13	6 of 8 - Sale <sup>25</sup>

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(continued)

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DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol: (cont'd)							
	22.000	816.21	08/28/20	816.32	...	-0.11	7 of 8 - Sale <sup>25</sup>
	2.000	74.20	08/28/20	74.21	...	-0.01	8 of 8 - Sale <sup>25</sup>
08/28/20	100.000	3,710.03	Various	3,729.65	...	-19.62	Total of 8 transactions
10/08/20	100.000	5,261.65	10/07/20	5,240.00	...	21.65	Sale <sup>25</sup>
18 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	150.000	7,892.48	10/07/20	8,100.00	207.52 W	0.00	1 of 18 - Sale <sup>25</sup>
	100.000	5,261.65	10/07/20	5,425.00	163.35 W	0.00	2 of 18 - Sale <sup>25</sup>
	25.000	1,315.42	10/07/20	1,353.36	37.94 W	0.00	3 of 18 - Sale <sup>25</sup>
	50.000	2,604.94	10/08/20	2,674.17	69.23 W	0.00	4 of 18 - Sale <sup>25</sup>
	11.000	573.08	10/08/20	590.41	17.33 W	0.00	5 of 18 - Sale <sup>25</sup>
	11.000	573.09	10/08/20	590.52	17.43 W	0.00	6 of 18 - Sale <sup>25</sup>
	28.000	1,458.76	10/08/20	1,503.14	44.38 W	0.00	7 of 18 - Sale <sup>25</sup>
	21.000	1,094.07	10/08/20	1,127.35	33.28 W	0.00	8 of 18 - Sale <sup>25</sup>
	29.000	1,510.86	10/08/20	1,556.82	45.96 W	0.00	9 of 18 - Sale <sup>25</sup>
	50.000	2,604.94	10/08/20	2,696.67	91.73 W	0.00	10 of 18 - Sale <sup>25</sup>
	22.000	1,146.17	10/08/20	1,186.54	40.37 W	0.00	11 of 18 - Sale <sup>25</sup>
	25.000	1,302.47	10/08/20	1,345.44	42.97 W	0.00	12 of 18 - Sale <sup>25</sup>
	25.000	1,302.47	10/08/20	1,342.12	39.65 W	0.00	13 of 18 - Sale <sup>25</sup>
	28.000	1,458.76	10/08/20	1,510.14	51.38 W	0.00	14 of 18 - Sale <sup>25</sup>
	11.000	573.09	10/08/20	590.43	17.34 W	0.00	15 of 18 - Sale <sup>25</sup>
	11.000	573.08	10/08/20	590.53	17.45 W	0.00	16 of 18 - Sale <sup>25</sup>
	23.000	1,198.27	10/08/20	1,234.76	36.49 W	0.00	17 of 18 - Sale <sup>25</sup>
	25.000	1,302.46	10/08/20	1,337.11	34.65 W	0.00	18 of 18 - Sale <sup>25</sup>
10/08/20	645.000	33,746.06	Various	34,754.51	1,008.45 W	0.00	Total of 18 transactions
19 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	41.000	2,092.77	10/12/20	2,216.20	...	-123.43	1 of 19 - Sale <sup>25</sup>
	29.000	1,480.25	10/12/20	1,560.31	...	-80.06	2 of 19 - Sale <sup>25</sup>
	25.000	1,276.08	10/12/20	1,348.45	...	-72.37	3 of 19 - Sale <sup>25</sup>
	25.000	1,276.08	10/12/20	1,345.13	...	-69.05	4 of 19 - Sale <sup>25</sup>
	20.000	1,020.86	10/12/20	1,081.08	...	-60.22	5 of 19 - Sale <sup>25</sup>
	16.000	816.69	10/12/20	864.87	...	-48.18	6 of 19 - Sale <sup>25</sup>
	23.000	1,189.81	10/12/20	1,234.79	...	-44.98	7 of 19 - Sale <sup>25</sup>
	15.000	765.65	10/12/20	807.06	...	-41.41	8 of 19 - Sale <sup>25</sup>
	9.000	459.39	10/12/20	486.48	...	-27.09	9 of 19 - Sale <sup>25</sup>

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DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol: (cont'd)							
	8.000	408.34	10/12/20	432.43	...	-24.09	10 of 19 - Sale <sup>25</sup>
	11.000	569.04	10/12/20	590.55	...	-21.51	11 of 19 - Sale <sup>25</sup>
	10.000	517.31	10/12/20	536.76	...	-19.45	12 of 19 - Sale <sup>25</sup>
	6.000	306.26	10/12/20	324.32	...	-18.06	13 of 19 - Sale <sup>25</sup>
	6.000	306.26	10/12/20	321.63	...	-15.37	14 of 19 - Sale <sup>25</sup>
	5.000	258.65	10/12/20	269.17	...	-10.52	15 of 19 - Sale <sup>25</sup>
	5.000	258.65	10/12/20	269.17	...	-10.52	16 of 19 - Sale <sup>25</sup>
	25.000	1,293.28	10/12/20	1,302.50	...	-9.22	17 of 19 - Sale <sup>25</sup>
	1.000	51.73	10/12/20	53.84	...	-2.11	18 of 19 - Sale <sup>25</sup>
	1.000	51.73	10/12/20	53.78	...	-2.05	19 of 19 - Sale <sup>25</sup>
10/12/20	281.000	14,398.83	Various	15,098.52	...	-699.69	Total of 19 transactions
	13 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	25.000	1,293.27	10/12/20	1,339.65	11.13 W	-35.25	1 of 13 - Sale <sup>25</sup>
	5.000	260.25	10/12/20	268.92	8.67 W	0.00	2 of 13 - Sale <sup>25</sup>
	5.000	260.25	10/12/20	268.92	8.67 W	0.00	3 of 13 - Sale <sup>25</sup>
	1.000	52.05	10/12/20	53.79	1.74 W	0.00	4 of 13 - Sale <sup>25</sup>
	15.000	775.96	10/12/20	806.77	30.81 W	0.00	5 of 13 - Sale <sup>25</sup>
	29.000	1,500.20	10/12/20	1,559.76	59.56 W	0.00	6 of 13 - Sale <sup>25</sup>
	41.000	2,120.97	10/12/20	2,215.42	94.45 W	0.00	7 of 13 - Sale <sup>25</sup>
	8.000	413.85	10/12/20	432.28	18.43 W	0.00	8 of 13 - Sale <sup>25</sup>
	9.000	465.58	10/12/20	486.31	20.73 W	0.00	9 of 13 - Sale <sup>25</sup>
	22.000	1,138.08	10/12/20	1,188.77	50.69 W	0.00	10 of 13 - Sale <sup>25</sup>
	20.000	1,034.62	10/12/20	1,080.70	46.08 W	0.00	11 of 13 - Sale <sup>25</sup>
	25.000	1,293.27	10/12/20	1,347.97	54.70 W	0.00	12 of 13 - Sale <sup>25</sup>
	25.000	1,293.27	10/12/20	1,344.65	51.38 W	0.00	13 of 13 - Sale <sup>25</sup>
10/12/20	230.000	11,901.62	Various	12,393.91	457.04 W	-35.25	Total of 13 transactions
	<b>Security total:</b>	<b>84,103.41</b>		<b>86,448.35</b>	<b>1,616.93 W</b>	<b>-728.01</b>	
DROPBOX, INC. CLASS A COMMON S TOCK / CUSIP: 26210C104 / Symbol:							
05/08/20	10.000	220.13	05/07/20	214.40	...	5.73	Sale <sup>25</sup>
	2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.						
	10.000	227.32	05/07/20	215.49	...	11.83	1 of 2 - Sale <sup>25</sup>
	10.000	227.31	05/07/20	214.40	...	12.91	2 of 2 - Sale <sup>25</sup>
05/12/20	20.000	454.63	Various	429.89	...	24.74	Total of 2 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DROPBOX, INC. CLASS A COMMON S TOCK / CUSIP: 26210C104 / Symbol: (cont'd)							
<b>Security total:</b>		<b>674.76</b>		<b>644.29</b>	...	<b>30.47</b>	
DURECT CORPORATION COMMON STOC K / CUSIP: 266605104 / Symbol:							
3 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	35.000	91.70	05/12/20	100.79	...	-9.09	1 of 3 - Sale <sup>25</sup>
	15.000	39.30	05/12/20	43.33	...	-4.03	2 of 3 - Sale <sup>25</sup>
	50.000	131.50	05/12/20	133.50	...	-2.00	3 of 3 - Sale <sup>25</sup>
05/13/20	100.000	262.50	Various	277.62	...	-15.12	Total of 3 transactions
05/13/20	150.000	394.48	05/12/20	400.50	2.01 W	-4.01	Sale <sup>25</sup>
<b>Security total:</b>		<b>656.98</b>		<b>678.12</b>	<b>2.01 W</b>	<b>-19.13</b>	
DYNATRONICS CORPORATION COMMON STOCK / CUSIP: 268157401 / Symbol:							
3 transactions for 03/04/20. Total proceeds and cost reported to the IRS.							
	25.000	51.25	03/03/20	49.75	...	1.50	1 of 3 - Sale <sup>25</sup>
	75.000	153.74	03/03/20	149.25	...	4.49	2 of 3 - Sale <sup>25</sup>
	100.000	204.99	03/03/20	199.00	...	5.99	3 of 3 - Sale <sup>25</sup>
03/04/20	200.000	409.98	Various	398.00	...	11.98	Total of 3 transactions
3 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	228.000	170.97	04/16/20	211.77	...	-40.80	1 of 3 - Sale <sup>25</sup>
	50.000	37.40	04/16/20	46.44	...	-9.04	2 of 3 - Sale <sup>25</sup>
	22.000	16.45	04/16/20	20.43	...	-3.98	3 of 3 - Sale <sup>25</sup>
04/17/20	300.000	224.82	Various	278.64	...	-53.82	Total of 3 transactions
<b>Security total:</b>		<b>634.80</b>		<b>676.64</b>	...	<b>-41.84</b>	
DYNVAX TECHNOLOGIES CORPORATI ON COMMON STOCK / CUSIP: 268158201 / Symbol:							
05/20/20	50.000	311.01	05/19/20	342.50	31.49 W	0.00	Sale <sup>25</sup>
06/01/20	50.000	298.00	05/29/20	337.99	...	-39.99	Sale <sup>25</sup>
2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	75.000	762.82	07/22/20	746.25	...	16.57	1 of 2 - Sale <sup>25</sup>
	150.000	1,525.64	07/22/20	1,466.75	...	58.89	2 of 2 - Sale <sup>25</sup>
07/22/20	225.000	2,288.46	Various	2,213.00	...	75.46	Total of 2 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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DYNVAX TECHNOLOGIES CORPORATI ON COMMON STOCK / CUSIP: 268158201 / Symbol: (cont'd)							
2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	100.000	1,017.10	07/22/20	1,018.81	1.71 W	0.00	1 of 2 - Sale <sup>25</sup>
	100.000	1,017.09	07/22/20	1,019.81	2.72 W	0.00	2 of 2 - Sale <sup>25</sup>
07/22/20	200.000	2,034.19	Various	2,038.62	4.43 W	0.00	Total of 2 transactions
17 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	50.000	519.03	07/23/20	519.34	0.31 W	0.00	1 of 17 - Sale <sup>25</sup>
	25.000	259.52	07/23/20	264.56	5.04 W	0.00	2 of 17 - Sale <sup>25</sup>
	25.000	249.99	07/23/20	259.62	9.63 W	0.00	3 of 17 - Sale <sup>25</sup>
	23.000	229.99	07/23/20	238.61	8.62 W	0.00	4 of 17 - Sale <sup>25</sup>
	100.000	999.96	07/23/20	1,039.53	39.57 W	0.00	5 of 17 - Sale <sup>25</sup>
	25.000	249.99	07/23/20	264.24	14.25 W	0.00	6 of 17 - Sale <sup>25</sup>
	27.000	269.99	07/23/20	280.11	10.12 W	0.00	7 of 17 - Sale <sup>25</sup>
	48.000	479.98	07/23/20	497.67	17.69 W	0.00	8 of 17 - Sale <sup>25</sup>
	2.000	20.00	07/23/20	20.74	0.74 W	0.00	9 of 17 - Sale <sup>25</sup>
	25.000	250.00	07/23/20	267.10	17.10 W	0.00	10 of 17 - Sale <sup>25</sup>
	23.000	230.00	07/23/20	245.97	15.97 W	0.00	11 of 17 - Sale <sup>25</sup>
	23.000	229.99	07/23/20	245.49	15.50 W	0.00	12 of 17 - Sale <sup>25</sup>
	77.000	769.97	07/23/20	823.47	53.50 W	0.00	13 of 17 - Sale <sup>25</sup>
	2.000	20.00	07/23/20	21.34	1.34 W	0.00	14 of 17 - Sale <sup>25</sup>
	25.000	249.99	07/23/20	271.72	21.73 W	0.00	15 of 17 - Sale <sup>25</sup>
	27.000	269.99	07/23/20	288.19	18.20 W	0.00	16 of 17 - Sale <sup>25</sup>
	48.000	479.98	07/23/20	512.02	32.04 W	0.00	17 of 17 - Sale <sup>25</sup>
07/23/20	575.000	5,778.37	Various	6,059.72	281.35 W	0.00	Total of 17 transactions
8 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	23.000	216.19	07/27/20	236.73	20.54 W	0.00	1 of 8 - Sale <sup>25</sup>
	25.000	234.99	07/27/20	257.06	22.07 W	0.00	2 of 8 - Sale <sup>25</sup>
	52.000	488.78	07/27/20	535.25	46.47 W	0.00	3 of 8 - Sale <sup>25</sup>
	1.000	9.40	07/27/20	10.29	0.89 W	0.00	4 of 8 - Sale <sup>25</sup>
	1.000	9.40	07/27/20	10.27	0.87 W	0.00	5 of 8 - Sale <sup>25</sup>
	24.000	225.60	07/27/20	247.04	21.44 W	0.00	6 of 8 - Sale <sup>25</sup>
	2.000	18.80	07/27/20	20.55	1.75 W	0.00	7 of 8 - Sale <sup>25</sup>
	22.000	206.80	07/27/20	225.99	19.19 W	0.00	8 of 8 - Sale <sup>25</sup>
07/27/20	150.000	1,409.96	Various	1,543.18	133.22 W	0.00	Total of 8 transactions

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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DYNVAX TECHNOLOGIES CORPORATI ON COMMON STOCK / CUSIP: 268158201 / Symbol: (cont'd)							
4 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	2.000	17.12	07/27/20	20.02	2.90 W	0.00	1 of 4 - Sale <sup>25</sup>
	25.000	214.03	07/27/20	250.35	36.32 W	0.00	2 of 4 - Sale <sup>25</sup>
	25.000	214.02	07/27/20	255.23	41.21 W	0.00	3 of 4 - Sale <sup>25</sup>
	48.000	410.93	07/27/20	480.36	69.43 W	0.00	4 of 4 - Sale <sup>25</sup>
07/28/20	100.000	856.10	Various	1,005.96	149.86 W	0.00	Total of 4 transactions
17 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	2.000	15.88	07/29/20	18.87	2.99 W	0.00	1 of 17 - Sale <sup>25</sup>
	25.000	198.51	07/29/20	235.95	37.44 W	0.00	2 of 17 - Sale <sup>25</sup>
	25.000	198.52	07/29/20	240.83	42.31 W	0.00	3 of 17 - Sale <sup>25</sup>
	48.000	381.15	07/29/20	452.71	71.56 W	0.00	4 of 17 - Sale <sup>25</sup>
	1.000	7.94	07/29/20	8.88	0.94 W	0.00	5 of 17 - Sale <sup>25</sup>
	1.000	7.94	07/29/20	8.85	0.91 W	0.00	6 of 17 - Sale <sup>25</sup>
	2.000	15.89	07/29/20	17.72	1.83 W	0.00	7 of 17 - Sale <sup>25</sup>
	22.000	174.69	07/29/20	194.86	20.17 W	0.00	8 of 17 - Sale <sup>25</sup>
	23.000	182.63	07/29/20	204.19	21.56 W	0.00	9 of 17 - Sale <sup>25</sup>
	24.000	190.58	07/29/20	213.08	22.50 W	0.00	10 of 17 - Sale <sup>25</sup>
	25.000	198.51	07/29/20	221.70	23.19 W	0.00	11 of 17 - Sale <sup>25</sup>
	52.000	412.91	07/29/20	461.69	48.78 W	0.00	12 of 17 - Sale <sup>25</sup>
	13.000	108.29	07/30/20	129.19	20.90 W	0.00	13 of 17 - Sale <sup>25</sup>
	2.000	16.60	07/30/20	19.87	3.27 W	0.00	14 of 17 - Sale <sup>25</sup>
	12.000	99.60	07/30/20	119.25	19.65 W	0.00	15 of 17 - Sale <sup>25</sup>
	25.000	207.49	07/30/20	253.31	45.82 W	0.00	16 of 17 - Sale <sup>25</sup>
	48.000	398.38	07/30/20	476.67	78.29 W	0.00	17 of 17 - Sale <sup>25</sup>
07/30/20	350.000	2,815.51	Various	3,277.62	462.11 W	0.00	Total of 17 transactions
14 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	23.000	183.84	08/03/20	207.17	23.33 W	0.00	1 of 14 - Sale <sup>25</sup>
	25.000	199.83	08/03/20	224.94	25.11 W	0.00	2 of 14 - Sale <sup>25</sup>
	52.000	415.65	08/03/20	468.42	52.77 W	0.00	3 of 14 - Sale <sup>25</sup>
	12.000	95.92	08/03/20	116.49	20.57 W	0.00	4 of 14 - Sale <sup>25</sup>
	13.000	103.91	08/03/20	125.81	21.90 W	0.00	5 of 14 - Sale <sup>25</sup>
	25.000	199.83	08/03/20	247.57	47.74 W	0.00	6 of 14 - Sale <sup>25</sup>
	1.000	7.99	08/03/20	9.01	1.02 W	0.00	7 of 14 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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DYNVAX TECHNOLOGIES CORPORATI ON COMMON STOCK / CUSIP: 268158201 / Symbol: (cont'd)							
	1.000	7.99	08/03/20	8.98	0.99 W	0.00	8 of 14 - Sale <sup>25</sup>
	2.000	15.99	08/03/20	17.97	1.98 W	0.00	9 of 14 - Sale <sup>25</sup>
	22.000	175.85	08/03/20	197.71	21.86 W	0.00	10 of 14 - Sale <sup>25</sup>
	24.000	191.84	08/03/20	216.18	24.34 W	0.00	11 of 14 - Sale <sup>25</sup>
	2.000	15.99	08/03/20	19.41	3.42 W	0.00	12 of 14 - Sale <sup>25</sup>
	48.000	383.67	08/03/20	465.65	81.98 W	0.00	13 of 14 - Sale <sup>25</sup>
	250.000	1,998.30	08/03/20	2,017.50	19.20 W	0.00	14 of 14 - Sale <sup>25</sup>
08/03/20	500.000	3,996.60	Various	4,342.81	346.21 W	0.00	Total of 14 transactions
	3 transactions for 08/04/20. Total proceeds and cost reported to the IRS.						
	23.000	198.05	08/03/20	227.34	29.29 W	0.00	1 of 3 - Sale <sup>25</sup>
	25.000	215.28	08/03/20	246.86	31.58 W	0.00	2 of 3 - Sale <sup>25</sup>
	52.000	447.77	08/03/20	514.01	66.24 W	0.00	3 of 3 - Sale <sup>25</sup>
08/04/20	100.000	861.10	Various	988.21	127.11 W	0.00	Total of 3 transactions
	2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.						
	250.000	1,724.93	08/14/20	1,689.17	...	35.76	1 of 2 - Sale <sup>25</sup>
	500.000	3,449.86	08/14/20	3,339.95	...	109.91	2 of 2 - Sale <sup>25</sup>
08/14/20	750.000	5,174.79	Various	5,029.12	...	145.67	Total of 2 transactions
	33 transactions for 08/14/20. Total proceeds and cost reported to the IRS.						
	2.000	13.80	08/14/20	16.78	2.98 W	0.00	1 of 33 - Sale <sup>25</sup>
	12.000	82.80	08/14/20	100.73	17.93 W	0.00	2 of 33 - Sale <sup>25</sup>
	13.000	89.70	08/14/20	108.74	19.04 W	0.00	3 of 33 - Sale <sup>25</sup>
	23.000	158.69	08/14/20	182.93	24.24 W	0.00	4 of 33 - Sale <sup>25</sup>
	25.000	172.49	08/14/20	214.74	42.25 W	0.00	5 of 33 - Sale <sup>25</sup>
	25.000	172.49	08/14/20	198.58	26.09 W	0.00	6 of 33 - Sale <sup>25</sup>
	48.000	331.19	08/14/20	402.61	71.42 W	0.00	7 of 33 - Sale <sup>25</sup>
	52.000	358.79	08/14/20	413.59	54.80 W	0.00	8 of 33 - Sale <sup>25</sup>
	1.000	6.90	08/14/20	7.70	0.80 W	0.00	9 of 33 - Sale <sup>25</sup>
	1.000	6.90	08/14/20	7.67	0.77 W	0.00	10 of 33 - Sale <sup>25</sup>
	2.000	13.80	08/14/20	15.34	1.54 W	0.00	11 of 33 - Sale <sup>25</sup>
	22.000	151.79	08/14/20	168.82	17.03 W	0.00	12 of 33 - Sale <sup>25</sup>
	24.000	165.59	08/14/20	184.66	19.07 W	0.00	13 of 33 - Sale <sup>25</sup>
	1,000.000	6,899.73	08/14/20	6,959.90	60.17 W	0.00	14 of 33 - Sale <sup>25</sup>
	339.000	2,339.01	08/14/20	2,359.44	20.43 W	0.00	15 of 33 - Sale <sup>25</sup>

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(continued)

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DYNVAX TECHNOLOGIES CORPORATI ON COMMON STOCK / CUSIP: 268158201 / Symbol: (cont'd)							
	500.000	3,449.86	08/14/20	3,480.00	30.14 W	0.00	16 of 33 - Sale <sup>25</sup>
	161.000	1,110.86	08/14/20	1,120.56	9.70 W	0.00	17 of 33 - Sale <sup>25</sup>
	2.000	13.74	08/14/20	16.88	3.14 W	0.00	18 of 33 - Sale <sup>25</sup>
	12.000	82.44	08/14/20	101.33	18.89 W	0.00	19 of 33 - Sale <sup>25</sup>
	13.000	89.31	08/14/20	109.38	20.07 W	0.00	20 of 33 - Sale <sup>25</sup>
	23.000	158.00	08/14/20	184.08	26.08 W	0.00	21 of 33 - Sale <sup>25</sup>
	25.000	171.74	08/14/20	215.99	44.25 W	0.00	22 of 33 - Sale <sup>25</sup>
	25.000	171.74	08/14/20	199.83	28.09 W	0.00	23 of 33 - Sale <sup>25</sup>
	48.000	329.75	08/14/20	405.00	75.25 W	0.00	24 of 33 - Sale <sup>25</sup>
	52.000	357.23	08/14/20	416.18	58.95 W	0.00	25 of 33 - Sale <sup>25</sup>
	1.000	6.87	08/14/20	7.75	0.88 W	0.00	26 of 33 - Sale <sup>25</sup>
	1.000	6.87	08/14/20	7.72	0.85 W	0.00	27 of 33 - Sale <sup>25</sup>
	2.000	13.74	08/14/20	15.44	1.70 W	0.00	28 of 33 - Sale <sup>25</sup>
	22.000	151.13	08/14/20	169.92	18.79 W	0.00	29 of 33 - Sale <sup>25</sup>
	24.000	164.87	08/14/20	185.86	20.99 W	0.00	30 of 33 - Sale <sup>25</sup>
	339.000	2,328.84	08/14/20	2,376.34	47.50 W	0.00	31 of 33 - Sale <sup>25</sup>
	411.000	2,823.45	08/14/20	2,881.07	57.62 W	0.00	32 of 33 - Sale <sup>25</sup>
	1,000.000	6,869.73	08/14/20	7,009.77	140.04 W	0.00	33 of 33 - Sale <sup>25</sup>
08/14/20	4,250.000	29,263.84	Various	30,245.33	981.49 W	0.00	Total of 33 transactions
2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	11.000	77.11	08/17/20	78.41	1.30 W	0.00	1 of 2 - Sale <sup>25</sup>
	89.000	623.87	08/17/20	634.43	10.56 W	0.00	2 of 2 - Sale <sup>25</sup>
08/17/20	100.000	700.98	Various	712.84	11.86 W	0.00	Total of 2 transactions
08/20/20	10.000	67.50	08/20/20	68.70	...	-1.20	Sale <sup>25</sup>
18 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	220.000	1,484.96	08/20/20	1,515.81	28.18 W	-2.67	1 of 18 - Sale <sup>25</sup>
	2.000	13.50	08/20/20	16.54	3.04 W	0.00	2 of 18 - Sale <sup>25</sup>
	12.000	81.00	08/20/20	99.29	18.29 W	0.00	3 of 18 - Sale <sup>25</sup>
	13.000	87.75	08/20/20	107.17	19.42 W	0.00	4 of 18 - Sale <sup>25</sup>
	23.000	155.25	08/20/20	180.18	24.93 W	0.00	5 of 18 - Sale <sup>25</sup>
	25.000	168.75	08/20/20	211.75	43.00 W	0.00	6 of 18 - Sale <sup>25</sup>
	25.000	168.75	08/20/20	195.59	26.84 W	0.00	7 of 18 - Sale <sup>25</sup>
	48.000	323.99	08/20/20	396.85	72.86 W	0.00	8 of 18 - Sale <sup>25</sup>
	52.000	350.99	08/20/20	407.35	56.36 W	0.00	9 of 18 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DYNVAX TECHNOLOGIES CORPORATI ON COMMON STOCK / CUSIP: 268158201 / Symbol: (cont'd)							
	1.000	6.75	08/20/20	7.58	0.83 W	0.00	10 of 18 - Sale <sup>25</sup>
	1.000	6.75	08/20/20	7.55	0.80 W	0.00	11 of 18 - Sale <sup>25</sup>
	2.000	13.50	08/20/20	15.10	1.60 W	0.00	12 of 18 - Sale <sup>25</sup>
	22.000	148.50	08/20/20	166.19	17.69 W	0.00	13 of 18 - Sale <sup>25</sup>
	24.000	162.00	08/20/20	181.79	19.79 W	0.00	14 of 18 - Sale <sup>25</sup>
	150.000	1,012.47	08/20/20	1,014.04	1.57 W	0.00	15 of 18 - Sale <sup>25</sup>
	250.000	1,687.45	08/20/20	1,710.01	22.56 W	0.00	16 of 18 - Sale <sup>25</sup>
	500.000	3,374.90	08/20/20	3,424.37	49.47 W	0.00	17 of 18 - Sale <sup>25</sup>
	30.000	202.49	08/20/20	205.20	2.71 W	0.00	18 of 18 - Sale <sup>25</sup>
08/20/20	1,400.000	9,449.75	Various	9,862.36	409.94 W	-2.67	Total of 18 transactions
08/21/20	250.000	1,609.96	08/21/20	1,652.21	...	-42.25	Sale <sup>25</sup>
16 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	48.000	302.87	08/25/20	381.02	...	-78.15	1 of 16 - Sale <sup>25</sup>
	250.000	1,577.46	08/25/20	1,632.23	...	-54.77	2 of 16 - Sale <sup>25</sup>
	25.000	157.75	08/25/20	203.50	...	-45.75	3 of 16 - Sale <sup>25</sup>
	23.000	145.12	08/25/20	172.59	...	-27.47	4 of 16 - Sale <sup>25</sup>
	90.000	567.88	08/25/20	590.42	...	-22.54	5 of 16 - Sale <sup>25</sup>
	13.000	82.03	08/25/20	102.88	...	-20.85	6 of 16 - Sale <sup>25</sup>
	89.000	561.57	08/25/20	581.94	...	-20.37	7 of 16 - Sale <sup>25</sup>
	12.000	75.72	08/25/20	95.33	...	-19.61	8 of 16 - Sale <sup>25</sup>
	15.000	94.65	08/25/20	112.40	...	-17.75	9 of 16 - Sale <sup>25</sup>
	10.000	63.10	08/25/20	74.94	...	-11.84	10 of 16 - Sale <sup>25</sup>
	74.000	468.49	08/25/20	478.69	...	-10.20	11 of 16 - Sale <sup>25</sup>
	71.000	449.51	08/25/20	459.29	...	-9.78	12 of 16 - Sale <sup>25</sup>
	7.000	44.17	08/25/20	52.53	...	-8.36	13 of 16 - Sale <sup>25</sup>
	2.000	12.62	08/25/20	15.88	...	-3.26	14 of 16 - Sale <sup>25</sup>
	11.000	69.41	08/25/20	71.92	...	-2.51	15 of 16 - Sale <sup>25</sup>
	5.000	31.65	08/25/20	32.50	...	-0.85	16 of 16 - Sale <sup>25</sup>
08/25/20	745.000	4,704.00	Various	5,058.06	...	-354.06	Total of 16 transactions
10 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	45.000	260.15	08/28/20	312.02	...	-51.87	1 of 10 - Sale <sup>25</sup>
	22.000	127.18	08/28/20	146.39	...	-19.21	2 of 10 - Sale <sup>25</sup>
	16.000	92.50	08/28/20	106.79	...	-14.29	3 of 10 - Sale <sup>25</sup>
	8.000	46.25	08/28/20	53.40	...	-7.15	4 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DYNAVAX TECHNOLOGIES CORPORATI ON COMMON STOCK / CUSIP: 268158201 / Symbol: (cont'd)							
	67.000	387.33	08/28/20	392.65	...	-5.32	5 of 10 - Sale <sup>25</sup>
	38.000	219.68	08/28/20	222.70	...	-3.02	6 of 10 - Sale <sup>25</sup>
	25.000	144.52	08/28/20	146.51	...	-1.99	7 of 10 - Sale <sup>25</sup>
	2.000	11.56	08/28/20	13.30	...	-1.74	8 of 10 - Sale <sup>25</sup>
	1.000	5.78	08/28/20	6.68	...	-0.90	9 of 10 - Sale <sup>25</sup>
	1.000	5.78	08/28/20	6.65	...	-0.87	10 of 10 - Sale <sup>25</sup>
08/31/20	225.000	1,300.73	Various	1,407.09	...	-106.36	Total of 10 transactions
	10 transactions for 09/09/20. Total proceeds and cost reported to the IRS.						
	300.000	1,499.96	09/09/20	1,514.68	...	-14.72	1 of 10 - Sale <sup>25</sup>
	250.000	1,249.96	09/09/20	1,260.06	...	-10.10	2 of 10 - Sale <sup>25</sup>
	100.000	499.98	09/09/20	509.02	...	-9.04	3 of 10 - Sale <sup>25</sup>
	97.000	484.99	09/09/20	493.75	...	-8.76	4 of 10 - Sale <sup>25</sup>
	170.000	849.97	09/09/20	858.32	...	-8.35	5 of 10 - Sale <sup>25</sup>
	30.000	150.00	09/09/20	151.47	...	-1.47	6 of 10 - Sale <sup>25</sup>
	30.000	150.00	09/09/20	151.21	...	-1.21	7 of 10 - Sale <sup>25</sup>
	3.000	15.00	09/09/20	15.27	...	-0.27	8 of 10 - Sale <sup>25</sup>
	1.000	5.00	09/09/20	5.19	...	-0.19	9 of 10 - Sale <sup>25</sup>
	20.000	100.00	09/09/20	99.21	...	0.79	10 of 10 - Sale <sup>25</sup>
09/09/20	1,001.000	5,004.86	Various	5,058.18	...	-53.32	Total of 10 transactions
	<b>Security total:</b>	<b>77,925.71</b>		<b>81,243.50</b>	<b>2,939.07 W</b>	<b>-378.72</b>	
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol:							
07/28/20	30.000	268.26	07/28/20	275.25	6.99 W	0.00	Sale <sup>25</sup>
	14 transactions for 07/29/20. Total proceeds and cost reported to the IRS.						
	4.000	127.60	07/28/20	31.20	...	96.40	1 of 14 - Sale <sup>25</sup>
	11.000	350.89	07/28/20	85.80	...	265.09	2 of 14 - Sale <sup>25</sup>
	30.000	956.97	07/28/20	240.99	...	715.98	3 of 14 - Sale <sup>25</sup>
	2.000	89.00	07/29/20	86.02	...	2.98	4 of 14 - Sale <sup>25</sup>
	3.000	133.50	07/29/20	121.56	...	11.94	5 of 14 - Sale <sup>25</sup>
	2.000	72.00	07/29/20	54.18	...	17.82	6 of 14 - Sale <sup>25</sup>
	2.000	86.00	07/29/20	65.32	...	20.68	7 of 14 - Sale <sup>25</sup>
	12.000	533.98	07/29/20	486.24	...	47.74	8 of 14 - Sale <sup>25</sup>
	5.000	159.50	07/29/20	110.00	...	49.50	9 of 14 - Sale <sup>25</sup>
	23.000	988.98	07/29/20	931.96	...	57.02	10 of 14 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	8.000	355.99	07/29/20	281.20	...	74.79	11 of 14 - Sale <sup>25</sup>
	18.000	647.99	07/29/20	475.04	...	172.95	12 of 14 - Sale <sup>25</sup>
	30.000	1,079.97	07/29/20	783.00	...	296.97	13 of 14 - Sale <sup>25</sup>
	50.000	1,594.95	07/29/20	975.00	...	619.95	14 of 14 - Sale <sup>25</sup>
07/29/20	200.000	7,177.32	Various	4,727.51	...	2,449.81	Total of 14 transactions
	47 transactions for 07/29/20. Total proceeds and cost reported to the IRS.						
	18.000	516.76	07/29/20	522.00	5.24 W	0.00	1 of 47 - Sale <sup>25</sup>
	2.000	56.02	07/29/20	58.00	1.98 W	0.00	2 of 47 - Sale <sup>25</sup>
	2.000	66.00	07/29/20	70.98	4.98 W	0.00	3 of 47 - Sale <sup>25</sup>
	8.000	263.99	07/29/20	283.92	19.93 W	0.00	4 of 47 - Sale <sup>25</sup>
	2.000	66.00	07/29/20	86.70	20.70 W	0.00	5 of 47 - Sale <sup>25</sup>
	12.000	395.99	07/29/20	490.32	94.33 W	0.00	6 of 47 - Sale <sup>25</sup>
	26.000	857.98	07/29/20	1,062.36	204.38 W	0.00	7 of 47 - Sale <sup>25</sup>
	18.000	773.98	07/29/20	789.28	15.30 W	0.00	8 of 47 - Sale <sup>25</sup>
	7.000	300.99	07/29/20	306.94	5.95 W	0.00	9 of 47 - Sale <sup>25</sup>
	18.000	764.98	07/29/20	804.59	39.61 W	0.00	10 of 47 - Sale <sup>25</sup>
	7.000	297.49	07/29/20	306.94	9.45 W	0.00	11 of 47 - Sale <sup>25</sup>
	7.000	294.00	07/29/20	306.94	12.94 W	0.00	12 of 47 - Sale <sup>25</sup>
	43.000	1,805.95	07/29/20	1,885.52	79.57 W	0.00	13 of 47 - Sale <sup>25</sup>
	7.000	293.99	07/29/20	323.68	29.69 W	0.00	14 of 47 - Sale <sup>25</sup>
	18.000	755.98	07/29/20	856.62	100.64 W	0.00	15 of 47 - Sale <sup>25</sup>
	7.000	293.99	07/29/20	327.95	33.96 W	0.00	16 of 47 - Sale <sup>25</sup>
	7.000	294.00	07/29/20	331.44	37.44 W	0.00	17 of 47 - Sale <sup>25</sup>
	11.000	461.99	07/29/20	500.50	38.51 W	0.00	18 of 47 - Sale <sup>25</sup>
	7.000	293.99	07/29/20	325.16	31.17 W	0.00	19 of 47 - Sale <sup>25</sup>
	7.000	293.99	07/29/20	329.43	35.44 W	0.00	20 of 47 - Sale <sup>25</sup>
	7.000	293.99	07/29/20	332.91	38.92 W	0.00	21 of 47 - Sale <sup>25</sup>
	11.000	461.99	07/29/20	502.82	40.83 W	0.00	22 of 47 - Sale <sup>25</sup>
	18.000	755.98	07/29/20	860.42	104.44 W	0.00	23 of 47 - Sale <sup>25</sup>
	43.000	1,805.95	07/29/20	1,894.60	88.65 W	0.00	24 of 47 - Sale <sup>25</sup>
	7.000	293.99	07/29/20	295.47	1.48 W	0.00	25 of 47 - Sale <sup>25</sup>
	50.000	2,099.95	07/29/20	2,167.50	67.55 W	0.00	26 of 47 - Sale <sup>25</sup>
	25.000	1,049.98	07/29/20	1,085.75	35.77 W	0.00	27 of 47 - Sale <sup>25</sup>
	7.000	294.00	07/29/20	312.91	18.91 W	0.00	28 of 47 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	30.000	1,259.97	07/29/20	1,341.05	81.08 W	0.00	29 of 47 - Sale <sup>25</sup>
	4.000	168.00	07/29/20	188.37	20.37 W	0.00	30 of 47 - Sale <sup>25</sup>
	6.000	252.00	07/29/20	268.21	16.21 W	0.00	31 of 47 - Sale <sup>25</sup>
	3.000	126.00	07/29/20	141.28	15.28 W	0.00	32 of 47 - Sale <sup>25</sup>
	4.000	168.00	07/29/20	193.77	25.77 W	0.00	33 of 47 - Sale <sup>25</sup>
	10.000	420.00	07/29/20	484.42	64.42 W	0.00	34 of 47 - Sale <sup>25</sup>
	7.000	293.99	07/29/20	333.92	39.93 W	0.00	35 of 47 - Sale <sup>25</sup>
	7.000	293.99	07/29/20	337.40	43.41 W	0.00	36 of 47 - Sale <sup>25</sup>
	1.000	42.00	07/29/20	42.85	0.85 W	0.00	37 of 47 - Sale <sup>25</sup>
	11.000	461.99	07/29/20	509.86	47.87 W	0.00	38 of 47 - Sale <sup>25</sup>
	4.000	168.00	07/29/20	193.77	25.77 W	0.00	39 of 47 - Sale <sup>25</sup>
	6.000	252.00	07/29/20	257.11	5.11 W	0.00	40 of 47 - Sale <sup>25</sup>
	20.000	819.98	07/29/20	872.22	52.24 W	0.00	41 of 47 - Sale <sup>25</sup>
	50.000	2,049.94	07/29/20	2,176.55	126.61 W	0.00	42 of 47 - Sale <sup>25</sup>
	2.000	63.50	07/29/20	87.86	24.36 W	0.00	43 of 47 - Sale <sup>25</sup>
	3.000	95.25	07/29/20	103.80	8.55 W	0.00	44 of 47 - Sale <sup>25</sup>
	1.000	31.75	07/29/20	35.87	4.12 W	0.00	45 of 47 - Sale <sup>25</sup>
	2.000	63.50	07/29/20	71.75	8.25 W	0.00	46 of 47 - Sale <sup>25</sup>
	2.000	63.50	07/29/20	71.74	8.24 W	0.00	47 of 47 - Sale <sup>25</sup>
07/29/20	575.000	23,297.25	Various	25,133.45	1,836.20 W	0.00	Total of 47 transactions
11 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	1.000	39.84	07/30/20	46.58	6.74 W	0.00	1 of 11 - Sale <sup>25</sup>
	2.000	79.68	07/30/20	85.68	6.00 W	0.00	2 of 11 - Sale <sup>25</sup>
	3.000	119.52	07/30/20	135.70	16.18 W	0.00	3 of 11 - Sale <sup>25</sup>
	4.000	159.36	07/30/20	180.93	21.57 W	0.00	4 of 11 - Sale <sup>25</sup>
	4.000	159.35	07/30/20	186.33	26.98 W	0.00	5 of 11 - Sale <sup>25</sup>
	6.000	239.03	07/30/20	257.05	18.02 W	0.00	6 of 11 - Sale <sup>25</sup>
	30.000	1,195.17	07/30/20	1,285.28	90.11 W	0.00	7 of 11 - Sale <sup>25</sup>
	4.000	159.00	07/30/20	188.27	29.27 W	0.00	8 of 11 - Sale <sup>25</sup>
	5.000	198.74	07/30/20	234.13	35.39 W	0.00	9 of 11 - Sale <sup>25</sup>
	7.000	278.24	07/30/20	324.31	46.07 W	0.00	10 of 11 - Sale <sup>25</sup>
	9.000	357.74	07/30/20	423.61	65.87 W	0.00	11 of 11 - Sale <sup>25</sup>
07/30/20	75.000	2,985.67	Various	3,347.87	362.20 W	0.00	Total of 11 transactions

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
23 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	3.000	69.72	07/31/20	69.61	...	0.11	1 of 23 - Sale <sup>25</sup>
	1.000	23.70	07/31/20	23.57	...	0.13	2 of 23 - Sale <sup>25</sup>
	2.000	46.47	07/31/20	45.86	...	0.61	3 of 23 - Sale <sup>25</sup>
	5.000	118.50	07/31/20	117.86	...	0.64	4 of 23 - Sale <sup>25</sup>
	11.000	255.63	07/31/20	254.15	...	1.48	5 of 23 - Sale <sup>25</sup>
	14.000	325.35	07/31/20	323.75	...	1.60	6 of 23 - Sale <sup>25</sup>
	6.000	139.40	07/31/20	137.60	...	1.80	7 of 23 - Sale <sup>25</sup>
	1.000	23.70	07/31/20	21.87	...	1.83	8 of 23 - Sale <sup>25</sup>
	30.000	710.98	07/31/20	706.61	...	4.37	9 of 23 - Sale <sup>25</sup>
	4.000	94.00	07/31/20	86.28	...	7.72	10 of 23 - Sale <sup>25</sup>
	30.000	697.03	07/31/20	688.05	...	8.98	11 of 23 - Sale <sup>25</sup>
	6.000	142.20	07/31/20	131.23	...	10.97	12 of 23 - Sale <sup>25</sup>
	12.000	278.87	07/31/20	257.16	...	21.71	13 of 23 - Sale <sup>25</sup>
	25.000	570.22	07/31/20	532.77	...	37.45	14 of 23 - Sale <sup>25</sup>
	45.000	1,057.47	07/31/20	960.33	...	97.14	15 of 23 - Sale <sup>25</sup>
	52.000	1,221.97	07/31/20	1,121.15	...	100.82	16 of 23 - Sale <sup>25</sup>
	43.000	1,019.07	07/31/20	917.65	...	101.42	17 of 23 - Sale <sup>25</sup>
	55.000	1,292.46	07/31/20	1,173.73	...	118.73	18 of 23 - Sale <sup>25</sup>
	63.000	1,480.46	07/31/20	1,358.32	...	122.14	19 of 23 - Sale <sup>25</sup>
	112.000	2,631.92	07/31/20	2,414.79	...	217.13	20 of 23 - Sale <sup>25</sup>
	94.000	2,227.73	07/31/20	2,005.08	...	222.65	21 of 23 - Sale <sup>25</sup>
	125.000	2,937.42	07/31/20	2,695.08	...	242.34	22 of 23 - Sale <sup>25</sup>
	107.000	2,535.83	07/31/20	2,280.25	...	255.58	23 of 23 - Sale <sup>25</sup>
07/31/20	846.000	19,900.10	Various	18,322.75	...	1,577.35	Total of 23 transactions
220 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	1.000	21.50	07/31/20	22.06	0.56 W	0.00	1 of 220 - Sale <sup>25</sup>
	2.000	43.00	07/31/20	54.82	11.82 W	0.00	2 of 220 - Sale <sup>25</sup>
	6.000	129.00	07/31/20	132.37	3.37 W	0.00	3 of 220 - Sale <sup>25</sup>
	11.000	236.49	07/31/20	281.18	44.69 W	0.00	4 of 220 - Sale <sup>25</sup>
	35.000	752.48	07/31/20	830.98	78.50 W	0.00	5 of 220 - Sale <sup>25</sup>
	1.000	21.50	07/31/20	23.74	2.24 W	0.00	6 of 220 - Sale <sup>25</sup>
	1.000	21.50	07/31/20	25.72	4.22 W	0.00	7 of 220 - Sale <sup>25</sup>
	1.000	21.50	07/31/20	28.34	6.84 W	0.00	8 of 220 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	2.000	43.00	07/31/20	67.56	24.56 W	0.00	9 of 220 - Sale <sup>25</sup>
	2.000	43.00	07/31/20	51.45	8.45 W	0.00	10 of 220 - Sale <sup>25</sup>
	2.000	43.00	07/31/20	51.44	8.44 W	0.00	11 of 220 - Sale <sup>25</sup>
	2.000	43.00	07/31/20	49.20	6.20 W	0.00	12 of 220 - Sale <sup>25</sup>
	3.000	64.50	07/31/20	73.35	8.85 W	0.00	13 of 220 - Sale <sup>25</sup>
	3.000	64.50	07/31/20	80.98	16.48 W	0.00	14 of 220 - Sale <sup>25</sup>
	4.000	86.00	07/31/20	107.97	21.97 W	0.00	15 of 220 - Sale <sup>25</sup>
	4.000	86.00	07/31/20	113.38	27.38 W	0.00	16 of 220 - Sale <sup>25</sup>
	4.000	86.00	07/31/20	115.67	29.67 W	0.00	17 of 220 - Sale <sup>25</sup>
	5.000	107.50	07/31/20	143.39	35.89 W	0.00	18 of 220 - Sale <sup>25</sup>
	6.000	129.00	07/31/20	147.62	18.62 W	0.00	19 of 220 - Sale <sup>25</sup>
	7.000	150.49	07/31/20	197.27	46.78 W	0.00	20 of 220 - Sale <sup>25</sup>
	9.000	193.49	07/31/20	260.27	66.78 W	0.00	21 of 220 - Sale <sup>25</sup>
	14.000	300.99	07/31/20	337.85	36.86 W	0.00	22 of 220 - Sale <sup>25</sup>
	20.000	429.99	07/31/20	484.24	54.25 W	0.00	23 of 220 - Sale <sup>25</sup>
	30.000	644.98	07/31/20	738.11	93.13 W	0.00	24 of 220 - Sale <sup>25</sup>
	132.000	2,837.90	07/31/20	2,851.20	13.30 W	0.00	25 of 220 - Sale <sup>25</sup>
	1.000	21.50	07/31/20	22.16	0.66 W	0.00	26 of 220 - Sale <sup>25</sup>
	2.000	43.00	07/31/20	55.02	12.02 W	0.00	27 of 220 - Sale <sup>25</sup>
	6.000	129.00	07/31/20	132.97	3.97 W	0.00	28 of 220 - Sale <sup>25</sup>
	11.000	236.49	07/31/20	282.29	45.80 W	0.00	29 of 220 - Sale <sup>25</sup>
	30.000	644.98	07/31/20	715.29	70.31 W	0.00	30 of 220 - Sale <sup>25</sup>
	1.000	21.48	07/31/20	23.84	2.36 W	0.00	31 of 220 - Sale <sup>25</sup>
	5.000	107.40	07/31/20	119.21	11.81 W	0.00	32 of 220 - Sale <sup>25</sup>
	94.000	2,019.06	07/31/20	2,030.40	11.34 W	0.00	33 of 220 - Sale <sup>25</sup>
	195.000	4,186.53	07/31/20	4,212.00	25.47 W	0.00	34 of 220 - Sale <sup>25</sup>
	300.000	6,440.81	07/31/20	6,480.00	39.19 W	0.00	35 of 220 - Sale <sup>25</sup>
	4.000	85.84	07/31/20	86.40	0.56 W	0.00	36 of 220 - Sale <sup>25</sup>
	1.000	21.46	07/31/20	25.72	4.26 W	0.00	37 of 220 - Sale <sup>25</sup>
	2.000	42.92	07/31/20	67.56	24.64 W	0.00	38 of 220 - Sale <sup>25</sup>
	2.000	42.91	07/31/20	51.45	8.54 W	0.00	39 of 220 - Sale <sup>25</sup>
	2.000	42.92	07/31/20	51.44	8.52 W	0.00	40 of 220 - Sale <sup>25</sup>
	3.000	64.38	07/31/20	73.35	8.97 W	0.00	41 of 220 - Sale <sup>25</sup>
	14.000	300.43	07/31/20	337.86	37.43 W	0.00	42 of 220 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	20.000	429.19	07/31/20	484.25	55.06 W	0.00	43 of 220 - Sale <sup>25</sup>
	1.000	22.81	07/31/20	28.05	5.24 W	0.00	44 of 220 - Sale <sup>25</sup>
	2.000	45.62	07/31/20	48.62	3.00 W	0.00	45 of 220 - Sale <sup>25</sup>
	3.000	68.43	07/31/20	80.11	11.68 W	0.00	46 of 220 - Sale <sup>25</sup>
	4.000	91.24	07/31/20	106.81	15.57 W	0.00	47 of 220 - Sale <sup>25</sup>
	4.000	91.24	07/31/20	112.22	20.98 W	0.00	48 of 220 - Sale <sup>25</sup>
	4.000	91.24	07/31/20	114.51	23.27 W	0.00	49 of 220 - Sale <sup>25</sup>
	5.000	114.05	07/31/20	141.94	27.89 W	0.00	50 of 220 - Sale <sup>25</sup>
	6.000	136.86	07/31/20	145.88	9.02 W	0.00	51 of 220 - Sale <sup>25</sup>
	7.000	159.66	07/31/20	195.25	35.59 W	0.00	52 of 220 - Sale <sup>25</sup>
	9.000	205.28	07/31/20	257.67	52.39 W	0.00	53 of 220 - Sale <sup>25</sup>
	30.000	684.28	07/31/20	729.43	45.15 W	0.00	54 of 220 - Sale <sup>25</sup>
	2.000	47.40	07/31/20	54.44	7.04 W	0.00	55 of 220 - Sale <sup>25</sup>
	11.000	260.69	07/31/20	279.11	18.42 W	0.00	56 of 220 - Sale <sup>25</sup>
	1.000	23.50	07/31/20	25.69	2.19 W	0.00	57 of 220 - Sale <sup>25</sup>
	2.000	47.00	07/31/20	67.50	20.50 W	0.00	58 of 220 - Sale <sup>25</sup>
	2.000	46.99	07/31/20	51.40	4.41 W	0.00	59 of 220 - Sale <sup>25</sup>
	2.000	47.00	07/31/20	51.38	4.38 W	0.00	60 of 220 - Sale <sup>25</sup>
	3.000	70.50	07/31/20	73.26	2.76 W	0.00	61 of 220 - Sale <sup>25</sup>
	14.000	328.99	07/31/20	337.45	8.46 W	0.00	62 of 220 - Sale <sup>25</sup>
	20.000	469.99	07/31/20	483.66	13.67 W	0.00	63 of 220 - Sale <sup>25</sup>
	1.000	23.23	07/31/20	26.67	3.44 W	0.00	64 of 220 - Sale <sup>25</sup>
	3.000	69.70	07/31/20	75.97	6.27 W	0.00	65 of 220 - Sale <sup>25</sup>
	4.000	92.94	07/31/20	101.29	8.35 W	0.00	66 of 220 - Sale <sup>25</sup>
	4.000	92.94	07/31/20	106.70	13.76 W	0.00	67 of 220 - Sale <sup>25</sup>
	6.000	139.41	07/31/20	163.51	24.10 W	0.00	68 of 220 - Sale <sup>25</sup>
	2.000	46.48	07/31/20	49.90	3.42 W	0.00	69 of 220 - Sale <sup>25</sup>
	4.000	92.96	07/31/20	108.99	16.03 W	0.00	70 of 220 - Sale <sup>25</sup>
	5.000	116.20	07/31/20	135.04	18.84 W	0.00	71 of 220 - Sale <sup>25</sup>
	7.000	162.67	07/31/20	185.60	22.93 W	0.00	72 of 220 - Sale <sup>25</sup>
	3.000	69.72	07/31/20	81.75	12.03 W	0.00	73 of 220 - Sale <sup>25</sup>
	1.000	23.24	07/31/20	25.07	1.83 W	0.00	74 of 220 - Sale <sup>25</sup>
	2.000	46.48	07/31/20	66.26	19.78 W	0.00	75 of 220 - Sale <sup>25</sup>
	2.000	46.48	07/31/20	50.17	3.69 W	0.00	76 of 220 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	2.000	46.48	07/31/20	50.14	3.66 W	0.00	77 of 220 - Sale <sup>25</sup>
	2.000	46.48	07/31/20	49.94	3.46 W	0.00	78 of 220 - Sale <sup>25</sup>
	3.000	69.72	07/31/20	71.40	1.68 W	0.00	79 of 220 - Sale <sup>25</sup>
	4.000	92.95	07/31/20	99.87	6.92 W	0.00	80 of 220 - Sale <sup>25</sup>
	14.000	325.35	07/31/20	328.78	3.43 W	0.00	81 of 220 - Sale <sup>25</sup>
	20.000	464.79	07/31/20	471.27	6.48 W	0.00	82 of 220 - Sale <sup>25</sup>
	1.000	23.24	07/31/20	24.97	1.73 W	0.00	83 of 220 - Sale <sup>25</sup>
	1.000	23.24	07/31/20	26.32	3.08 W	0.00	84 of 220 - Sale <sup>25</sup>
	4.000	92.95	07/31/20	105.28	12.33 W	0.00	85 of 220 - Sale <sup>25</sup>
	2.000	46.48	07/31/20	49.18	2.70 W	0.00	86 of 220 - Sale <sup>25</sup>
	3.000	69.72	07/31/20	80.67	10.95 W	0.00	87 of 220 - Sale <sup>25</sup>
	4.000	92.96	07/31/20	107.55	14.59 W	0.00	88 of 220 - Sale <sup>25</sup>
	5.000	116.20	07/31/20	133.24	17.04 W	0.00	89 of 220 - Sale <sup>25</sup>
	6.000	139.44	07/31/20	161.38	21.94 W	0.00	90 of 220 - Sale <sup>25</sup>
	7.000	162.68	07/31/20	183.09	20.41 W	0.00	91 of 220 - Sale <sup>25</sup>
	1.000	23.24	07/31/20	25.19	1.95 W	0.00	92 of 220 - Sale <sup>25</sup>
	1.000	23.24	07/31/20	25.09	1.85 W	0.00	93 of 220 - Sale <sup>25</sup>
	1.000	23.24	07/31/20	26.44	3.20 W	0.00	94 of 220 - Sale <sup>25</sup>
	2.000	46.48	07/31/20	66.50	20.02 W	0.00	95 of 220 - Sale <sup>25</sup>
	2.000	46.48	07/31/20	50.41	3.93 W	0.00	96 of 220 - Sale <sup>25</sup>
	2.000	46.48	07/31/20	50.38	3.90 W	0.00	97 of 220 - Sale <sup>25</sup>
	2.000	46.48	07/31/20	50.18	3.70 W	0.00	98 of 220 - Sale <sup>25</sup>
	3.000	69.72	07/31/20	71.76	2.04 W	0.00	99 of 220 - Sale <sup>25</sup>
	4.000	92.96	07/31/20	100.36	7.40 W	0.00	100 of 220 - Sale <sup>25</sup>
	4.000	92.96	07/31/20	105.77	12.81 W	0.00	101 of 220 - Sale <sup>25</sup>
	17.000	395.07	07/31/20	402.63	7.56 W	0.00	102 of 220 - Sale <sup>25</sup>
	61.000	1,417.59	07/31/20	1,424.96	7.37 W	0.00	103 of 220 - Sale <sup>25</sup>
	100.000	2,323.93	07/31/20	2,336.00	12.07 W	0.00	104 of 220 - Sale <sup>25</sup>
	100.000	2,323.92	07/31/20	2,335.00	11.08 W	0.00	105 of 220 - Sale <sup>25</sup>
	5.000	112.05	07/31/20	133.13	21.08 W	0.00	106 of 220 - Sale <sup>25</sup>
	1.000	22.41	07/31/20	26.63	4.22 W	0.00	107 of 220 - Sale <sup>25</sup>
	1.000	22.41	07/31/20	24.92	2.51 W	0.00	108 of 220 - Sale <sup>25</sup>
	1.000	22.41	07/31/20	24.82	2.41 W	0.00	109 of 220 - Sale <sup>25</sup>
	2.000	44.82	07/31/20	48.64	3.82 W	0.00	110 of 220 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	2.000	44.82	07/31/20	65.96	21.14 W	0.00	111 of 220 - Sale <sup>25</sup>
	2.000	44.82	07/31/20	49.87	5.05 W	0.00	112 of 220 - Sale <sup>25</sup>
	2.000	44.82	07/31/20	49.84	5.02 W	0.00	113 of 220 - Sale <sup>25</sup>
	3.000	67.23	07/31/20	79.86	12.63 W	0.00	114 of 220 - Sale <sup>25</sup>
	3.000	67.23	07/31/20	70.95	3.72 W	0.00	115 of 220 - Sale <sup>25</sup>
	4.000	89.64	07/31/20	106.47	16.83 W	0.00	116 of 220 - Sale <sup>25</sup>
	5.000	112.05	07/31/20	131.89	19.84 W	0.00	117 of 220 - Sale <sup>25</sup>
	7.000	156.87	07/31/20	181.20	24.33 W	0.00	118 of 220 - Sale <sup>25</sup>
	17.000	380.96	07/31/20	398.05	17.09 W	0.00	119 of 220 - Sale <sup>25</sup>
	1.000	22.41	07/31/20	24.83	2.42 W	0.00	120 of 220 - Sale <sup>25</sup>
	1.000	22.41	07/31/20	26.18	3.77 W	0.00	121 of 220 - Sale <sup>25</sup>
	2.000	44.82	07/31/20	49.66	4.84 W	0.00	122 of 220 - Sale <sup>25</sup>
	3.000	67.23	07/31/20	74.49	7.26 W	0.00	123 of 220 - Sale <sup>25</sup>
	4.000	89.64	07/31/20	104.73	15.09 W	0.00	124 of 220 - Sale <sup>25</sup>
	23.000	515.41	07/31/20	531.09	15.68 W	0.00	125 of 220 - Sale <sup>25</sup>
	61.000	1,366.97	07/31/20	1,409.15	42.18 W	0.00	126 of 220 - Sale <sup>25</sup>
	100.000	2,240.93	07/31/20	2,310.07	69.14 W	0.00	127 of 220 - Sale <sup>25</sup>
	77.000	1,725.52	07/31/20	1,779.53	54.01 W	0.00	128 of 220 - Sale <sup>25</sup>
	173.000	3,876.82	07/31/20	3,979.00	102.18 W	0.00	129 of 220 - Sale <sup>25</sup>
	350.000	7,843.27	07/31/20	8,046.47	203.20 W	0.00	130 of 220 - Sale <sup>25</sup>
	1.000	22.50	07/31/20	26.72	4.22 W	0.00	131 of 220 - Sale <sup>25</sup>
	1.000	22.50	07/31/20	25.01	2.51 W	0.00	132 of 220 - Sale <sup>25</sup>
	1.000	22.50	07/31/20	24.91	2.41 W	0.00	133 of 220 - Sale <sup>25</sup>
	1.000	22.50	07/31/20	24.92	2.42 W	0.00	134 of 220 - Sale <sup>25</sup>
	1.000	22.50	07/31/20	26.27	3.77 W	0.00	135 of 220 - Sale <sup>25</sup>
	2.000	45.00	07/31/20	48.82	3.82 W	0.00	136 of 220 - Sale <sup>25</sup>
	2.000	45.00	07/31/20	66.14	21.14 W	0.00	137 of 220 - Sale <sup>25</sup>
	2.000	45.00	07/31/20	50.05	5.05 W	0.00	138 of 220 - Sale <sup>25</sup>
	2.000	45.00	07/31/20	50.02	5.02 W	0.00	139 of 220 - Sale <sup>25</sup>
	2.000	45.00	07/31/20	49.84	4.84 W	0.00	140 of 220 - Sale <sup>25</sup>
	3.000	67.50	07/31/20	80.13	12.63 W	0.00	141 of 220 - Sale <sup>25</sup>
	3.000	67.50	07/31/20	71.22	3.72 W	0.00	142 of 220 - Sale <sup>25</sup>
	3.000	67.50	07/31/20	74.76	7.26 W	0.00	143 of 220 - Sale <sup>25</sup>
	4.000	90.00	07/31/20	106.83	16.83 W	0.00	144 of 220 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

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(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	4.000	90.00	07/31/20	105.09	15.09 W	0.00	145 of 220 - Sale <sup>25</sup>
	5.000	112.50	07/31/20	133.58	21.08 W	0.00	146 of 220 - Sale <sup>25</sup>
	5.000	112.50	07/31/20	132.34	19.84 W	0.00	147 of 220 - Sale <sup>25</sup>
	7.000	157.50	07/31/20	181.83	24.33 W	0.00	148 of 220 - Sale <sup>25</sup>
	17.000	382.49	07/31/20	399.59	17.10 W	0.00	149 of 220 - Sale <sup>25</sup>
	34.000	764.98	07/31/20	788.51	23.53 W	0.00	150 of 220 - Sale <sup>25</sup>
	23.000	517.49	07/31/20	533.18	15.69 W	0.00	151 of 220 - Sale <sup>25</sup>
	27.000	607.48	07/31/20	626.17	18.69 W	0.00	152 of 220 - Sale <sup>25</sup>
	77.000	1,732.45	07/31/20	1,786.51	54.06 W	0.00	153 of 220 - Sale <sup>25</sup>
	80.000	1,799.95	07/31/20	1,846.45	46.50 W	0.00	154 of 220 - Sale <sup>25</sup>
	100.000	2,249.93	07/31/20	2,319.14	69.21 W	0.00	155 of 220 - Sale <sup>25</sup>
	173.000	3,892.39	07/31/20	3,994.68	102.29 W	0.00	156 of 220 - Sale <sup>25</sup>
	270.000	6,074.82	07/31/20	6,231.75	156.93 W	0.00	157 of 220 - Sale <sup>25</sup>
	150.000	3,374.90	07/31/20	3,375.00	0.10 W	0.00	158 of 220 - Sale <sup>25</sup>
	1.000	22.65	07/31/20	26.98	4.33 W	0.00	159 of 220 - Sale <sup>25</sup>
	1.000	22.65	07/31/20	25.27	2.62 W	0.00	160 of 220 - Sale <sup>25</sup>
	1.000	22.65	07/31/20	25.17	2.52 W	0.00	161 of 220 - Sale <sup>25</sup>
	1.000	22.65	07/31/20	25.18	2.53 W	0.00	162 of 220 - Sale <sup>25</sup>
	1.000	22.65	07/31/20	26.53	3.88 W	0.00	163 of 220 - Sale <sup>25</sup>
	2.000	45.30	07/31/20	49.34	4.04 W	0.00	164 of 220 - Sale <sup>25</sup>
	2.000	45.30	07/31/20	66.66	21.36 W	0.00	165 of 220 - Sale <sup>25</sup>
	2.000	45.30	07/31/20	50.57	5.27 W	0.00	166 of 220 - Sale <sup>25</sup>
	2.000	45.30	07/31/20	50.54	5.24 W	0.00	167 of 220 - Sale <sup>25</sup>
	2.000	45.30	07/31/20	50.36	5.06 W	0.00	168 of 220 - Sale <sup>25</sup>
	3.000	67.95	07/31/20	80.91	12.96 W	0.00	169 of 220 - Sale <sup>25</sup>
	3.000	67.95	07/31/20	72.00	4.05 W	0.00	170 of 220 - Sale <sup>25</sup>
	3.000	67.95	07/31/20	75.54	7.59 W	0.00	171 of 220 - Sale <sup>25</sup>
	4.000	90.60	07/31/20	107.87	17.27 W	0.00	172 of 220 - Sale <sup>25</sup>
	4.000	90.60	07/31/20	106.13	15.53 W	0.00	173 of 220 - Sale <sup>25</sup>
	5.000	113.25	07/31/20	134.88	21.63 W	0.00	174 of 220 - Sale <sup>25</sup>
	5.000	113.25	07/31/20	133.64	20.39 W	0.00	175 of 220 - Sale <sup>25</sup>
	7.000	158.55	07/31/20	183.65	25.10 W	0.00	176 of 220 - Sale <sup>25</sup>
	17.000	385.04	07/31/20	404.02	18.98 W	0.00	177 of 220 - Sale <sup>25</sup>
	34.000	770.08	07/31/20	797.37	27.29 W	0.00	178 of 220 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
27.000		611.53	07/31/20	633.21	21.68 W	0.00	179 of 220 - Sale <sup>25</sup>
73.000		1,653.40	07/31/20	1,712.00	58.60 W	0.00	180 of 220 - Sale <sup>25</sup>
27.000		611.53	07/31/20	633.21	21.68 W	0.00	181 of 220 - Sale <sup>25</sup>
23.000		520.93	07/31/20	539.17	18.24 W	0.00	182 of 220 - Sale <sup>25</sup>
50.000		1,132.47	07/31/20	1,173.10	40.63 W	0.00	183 of 220 - Sale <sup>25</sup>
27.000		611.53	07/31/20	633.75	22.22 W	0.00	184 of 220 - Sale <sup>25</sup>
173.000		3,918.34	07/31/20	4,041.50	123.16 W	0.00	185 of 220 - Sale <sup>25</sup>
20.000		452.99	07/31/20	467.02	14.03 W	0.00	186 of 220 - Sale <sup>25</sup>
80.000		1,811.95	07/31/20	1,868.10	56.15 W	0.00	187 of 220 - Sale <sup>25</sup>
90.000		2,038.44	07/31/20	2,100.71	62.27 W	0.00	188 of 220 - Sale <sup>25</sup>
10.000		226.49	07/31/20	233.41	6.92 W	0.00	189 of 220 - Sale <sup>25</sup>
50.000		1,132.47	07/31/20	1,138.03	5.56 W	0.00	190 of 220 - Sale <sup>25</sup>
150.000		3,397.40	07/31/20	3,501.19	103.79 W	0.00	191 of 220 - Sale <sup>25</sup>
80.000		1,811.94	07/31/20	1,840.06	28.12 W	0.00	192 of 220 - Sale <sup>25</sup>
12.000		263.39	07/31/20	267.49	4.10 W	0.00	193 of 220 - Sale <sup>25</sup>
1.000		21.95	07/31/20	26.49	4.54 W	0.00	194 of 220 - Sale <sup>25</sup>
1.000		21.95	07/31/20	26.48	4.53 W	0.00	195 of 220 - Sale <sup>25</sup>
2.000		43.90	07/31/20	52.96	9.06 W	0.00	196 of 220 - Sale <sup>25</sup>
3.000		65.84	07/31/20	79.43	13.59 W	0.00	197 of 220 - Sale <sup>25</sup>
5.000		109.75	07/31/20	132.43	22.68 W	0.00	198 of 220 - Sale <sup>25</sup>
1.000		21.95	07/31/20	24.78	2.83 W	0.00	199 of 220 - Sale <sup>25</sup>
1.000		21.95	07/31/20	24.68	2.73 W	0.00	200 of 220 - Sale <sup>25</sup>
1.000		21.95	07/31/20	24.69	2.74 W	0.00	201 of 220 - Sale <sup>25</sup>
1.000		21.95	07/31/20	26.04	4.09 W	0.00	202 of 220 - Sale <sup>25</sup>
2.000		43.90	07/31/20	48.36	4.46 W	0.00	203 of 220 - Sale <sup>25</sup>
2.000		43.90	07/31/20	65.68	21.78 W	0.00	204 of 220 - Sale <sup>25</sup>
2.000		43.90	07/31/20	49.59	5.69 W	0.00	205 of 220 - Sale <sup>25</sup>
2.000		43.90	07/31/20	49.56	5.66 W	0.00	206 of 220 - Sale <sup>25</sup>
2.000		43.90	07/31/20	49.38	5.48 W	0.00	207 of 220 - Sale <sup>25</sup>
3.000		65.85	07/31/20	70.53	4.68 W	0.00	208 of 220 - Sale <sup>25</sup>
3.000		65.85	07/31/20	74.07	8.22 W	0.00	209 of 220 - Sale <sup>25</sup>
1.000		21.95	07/31/20	26.48	4.53 W	0.00	210 of 220 - Sale <sup>25</sup>
4.000		87.80	07/31/20	104.17	16.37 W	0.00	211 of 220 - Sale <sup>25</sup>
5.000		109.75	07/31/20	131.19	21.44 W	0.00	212 of 220 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	7.000	153.65	07/31/20	180.22	26.57 W	0.00	213 of 220 - Sale <sup>25</sup>
	17.000	373.14	07/31/20	395.70	22.56 W	0.00	214 of 220 - Sale <sup>25</sup>
	27.000	592.62	07/31/20	620.00	27.38 W	0.00	215 of 220 - Sale <sup>25</sup>
	34.000	746.26	07/31/20	780.73	34.47 W	0.00	216 of 220 - Sale <sup>25</sup>
	15.000	329.24	07/31/20	344.44	15.20 W	0.00	217 of 220 - Sale <sup>25</sup>
	11.000	241.00	07/31/20	252.48	11.48 W	0.00	218 of 220 - Sale <sup>25</sup>
	27.000	591.56	07/31/20	620.00	28.44 W	0.00	219 of 220 - Sale <sup>25</sup>
	58.000	1,270.74	07/31/20	1,331.84	61.10 W	0.00	220 of 220 - Sale <sup>25</sup>
07/31/20	4,634.000	103,567.52	Various	107,890.27	4,322.75 W	0.00	Total of 220 transactions
	54 transactions for 08/03/20. Total proceeds and cost reported to the IRS.						
	12.000	213.00	08/03/20	222.99	9.99 W	0.00	1 of 54 - Sale <sup>25</sup>
	38.000	674.48	08/03/20	706.86	32.38 W	0.00	2 of 54 - Sale <sup>25</sup>
	27.000	479.24	08/03/20	502.52	23.28 W	0.00	3 of 54 - Sale <sup>25</sup>
	12.000	212.99	08/03/20	223.22	10.23 W	0.00	4 of 54 - Sale <sup>25</sup>
	61.000	1,082.72	08/03/20	1,128.56	45.84 W	0.00	5 of 54 - Sale <sup>25</sup>
	1.000	17.75	08/03/20	18.50	0.75 W	0.00	6 of 54 - Sale <sup>25</sup>
	50.000	887.48	08/03/20	925.05	37.57 W	0.00	7 of 54 - Sale <sup>25</sup>
	1.000	17.75	08/03/20	18.50	0.75 W	0.00	8 of 54 - Sale <sup>25</sup>
	38.000	674.48	08/03/20	702.65	28.17 W	0.00	9 of 54 - Sale <sup>25</sup>
	60.000	1,064.97	08/03/20	1,110.05	45.08 W	0.00	10 of 54 - Sale <sup>25</sup>
	2.000	35.50	08/03/20	37.01	1.51 W	0.00	11 of 54 - Sale <sup>25</sup>
	2.000	35.50	08/03/20	37.00	1.50 W	0.00	12 of 54 - Sale <sup>25</sup>
	20.000	354.99	08/03/20	370.03	15.04 W	0.00	13 of 54 - Sale <sup>25</sup>
	38.000	674.48	08/03/20	703.07	28.59 W	0.00	14 of 54 - Sale <sup>25</sup>
	38.000	674.48	08/03/20	702.69	28.21 W	0.00	15 of 54 - Sale <sup>25</sup>
	10.000	176.40	08/03/20	190.12	13.72 W	0.00	16 of 54 - Sale <sup>25</sup>
	38.000	670.30	08/03/20	722.45	52.15 W	0.00	17 of 54 - Sale <sup>25</sup>
	52.000	917.26	08/03/20	988.62	71.36 W	0.00	18 of 54 - Sale <sup>25</sup>
	100.000	1,611.62	08/03/20	1,841.20	229.58 W	0.00	19 of 54 - Sale <sup>25</sup>
	1.000	16.20	08/03/20	17.15	0.95 W	0.00	20 of 54 - Sale <sup>25</sup>
	11.000	178.19	08/03/20	188.78	10.59 W	0.00	21 of 54 - Sale <sup>25</sup>
	39.000	631.78	08/03/20	656.04	24.26 W	0.00	22 of 54 - Sale <sup>25</sup>
	50.000	809.98	08/03/20	829.06	19.08 W	0.00	23 of 54 - Sale <sup>25</sup>
	1.000	16.20	08/03/20	21.01	4.81 W	0.00	24 of 54 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	1.000	16.20	08/03/20	21.00	4.80 W	0.00	25 of 54 - Sale <sup>25</sup>
	1.000	16.20	08/03/20	21.00	4.80 W	0.00	26 of 54 - Sale <sup>25</sup>
	1.000	16.20	08/03/20	19.30	3.10 W	0.00	27 of 54 - Sale <sup>25</sup>
	1.000	16.20	08/03/20	19.20	3.00 W	0.00	28 of 54 - Sale <sup>25</sup>
	1.000	16.20	08/03/20	19.21	3.01 W	0.00	29 of 54 - Sale <sup>25</sup>
	1.000	16.20	08/03/20	20.56	4.36 W	0.00	30 of 54 - Sale <sup>25</sup>
	2.000	32.40	08/03/20	42.00	9.60 W	0.00	31 of 54 - Sale <sup>25</sup>
	2.000	32.40	08/03/20	37.40	5.00 W	0.00	32 of 54 - Sale <sup>25</sup>
	2.000	32.40	08/03/20	54.72	22.32 W	0.00	33 of 54 - Sale <sup>25</sup>
	2.000	32.40	08/03/20	38.63	6.23 W	0.00	34 of 54 - Sale <sup>25</sup>
	2.000	32.40	08/03/20	38.60	6.20 W	0.00	35 of 54 - Sale <sup>25</sup>
	2.000	32.40	08/03/20	38.42	6.02 W	0.00	36 of 54 - Sale <sup>25</sup>
	3.000	48.60	08/03/20	63.00	14.40 W	0.00	37 of 54 - Sale <sup>25</sup>
	3.000	48.60	08/03/20	54.09	5.49 W	0.00	38 of 54 - Sale <sup>25</sup>
	3.000	48.60	08/03/20	57.63	9.03 W	0.00	39 of 54 - Sale <sup>25</sup>
	4.000	64.80	08/03/20	82.25	17.45 W	0.00	40 of 54 - Sale <sup>25</sup>
	5.000	81.00	08/03/20	105.03	24.03 W	0.00	41 of 54 - Sale <sup>25</sup>
	5.000	81.00	08/03/20	103.79	22.79 W	0.00	42 of 54 - Sale <sup>25</sup>
	7.000	113.40	08/03/20	141.86	28.46 W	0.00	43 of 54 - Sale <sup>25</sup>
	12.000	194.39	08/03/20	201.74	7.35 W	0.00	44 of 54 - Sale <sup>25</sup>
	17.000	275.39	08/03/20	302.55	27.16 W	0.00	45 of 54 - Sale <sup>25</sup>
	30.000	485.97	08/03/20	524.51	38.54 W	0.00	46 of 54 - Sale <sup>25</sup>
	41.000	664.18	08/03/20	689.68	25.50 W	0.00	47 of 54 - Sale <sup>25</sup>
	4.000	65.20	08/03/20	69.66	4.46 W	0.00	48 of 54 - Sale <sup>25</sup>
	8.000	130.40	08/03/20	137.86	7.46 W	0.00	49 of 54 - Sale <sup>25</sup>
	11.000	179.29	08/03/20	191.88	12.59 W	0.00	50 of 54 - Sale <sup>25</sup>
	15.000	244.49	08/03/20	261.20	16.71 W	0.00	51 of 54 - Sale <sup>25</sup>
	27.000	440.09	08/03/20	470.18	30.09 W	0.00	52 of 54 - Sale <sup>25</sup>
	27.000	440.08	08/03/20	471.24	31.16 W	0.00	53 of 54 - Sale <sup>25</sup>
	58.000	945.37	08/03/20	1,012.29	66.92 W	0.00	54 of 54 - Sale <sup>25</sup>
08/03/20	1,000.000	16,970.19	Various	18,173.61	1,203.42 W	0.00	Total of 54 transactions
							65 transactions for 08/04/20. Total proceeds and cost reported to the IRS.
	4.000	69.00	08/04/20	73.49	4.49 W	0.00	1 of 65 - Sale <sup>25</sup>
	12.000	206.99	08/04/20	220.71	13.72 W	0.00	2 of 65 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	27.000	465.74	08/04/20	496.86	31.12 W	0.00	3 of 65 - Sale <sup>25</sup>
	38.000	655.48	08/04/20	698.90	43.42 W	0.00	4 of 65 - Sale <sup>25</sup>
	1.000	17.25	08/04/20	18.32	1.07 W	0.00	5 of 65 - Sale <sup>25</sup>
	1.000	17.25	08/04/20	18.32	1.07 W	0.00	6 of 65 - Sale <sup>25</sup>
	31.000	534.73	08/04/20	567.96	33.23 W	0.00	7 of 65 - Sale <sup>25</sup>
	50.000	862.47	08/04/20	916.06	53.59 W	0.00	8 of 65 - Sale <sup>25</sup>
	61.000	1,052.22	08/04/20	1,117.61	65.39 W	0.00	9 of 65 - Sale <sup>25</sup>
	6.000	99.06	08/04/20	104.35	5.29 W	0.00	10 of 65 - Sale <sup>25</sup>
	2.000	33.02	08/04/20	34.79	1.77 W	0.00	11 of 65 - Sale <sup>25</sup>
	2.000	33.02	08/04/20	34.78	1.76 W	0.00	12 of 65 - Sale <sup>25</sup>
	23.000	379.72	08/04/20	400.00	20.28 W	0.00	13 of 65 - Sale <sup>25</sup>
	38.000	627.36	08/04/20	660.49	33.13 W	0.00	14 of 65 - Sale <sup>25</sup>
	22.000	363.20	08/04/20	382.63	19.43 W	0.00	15 of 65 - Sale <sup>25</sup>
	20.000	329.99	08/04/20	347.84	17.85 W	0.00	16 of 65 - Sale <sup>25</sup>
	16.000	263.99	08/04/20	278.28	14.29 W	0.00	17 of 65 - Sale <sup>25</sup>
	38.000	626.98	08/04/20	660.53	33.55 W	0.00	18 of 65 - Sale <sup>25</sup>
	37.000	610.48	08/04/20	666.46	55.98 W	0.00	19 of 65 - Sale <sup>25</sup>
	10.000	165.00	08/04/20	180.12	15.12 W	0.00	20 of 65 - Sale <sup>25</sup>
	21.000	346.49	08/04/20	378.26	31.77 W	0.00	21 of 65 - Sale <sup>25</sup>
	15.000	247.49	08/04/20	270.18	22.69 W	0.00	22 of 65 - Sale <sup>25</sup>
	1.000	16.50	08/04/20	17.57	1.07 W	0.00	23 of 65 - Sale <sup>25</sup>
	11.000	181.49	08/04/20	193.41	11.92 W	0.00	24 of 65 - Sale <sup>25</sup>
	17.000	280.49	08/04/20	305.87	25.38 W	0.00	25 of 65 - Sale <sup>25</sup>
	21.000	346.49	08/04/20	357.03	10.54 W	0.00	26 of 65 - Sale <sup>25</sup>
	100.000	1,649.95	08/04/20	1,891.57	241.62 W	0.00	27 of 65 - Sale <sup>25</sup>
	4.000	66.00	08/04/20	69.01	3.01 W	0.00	28 of 65 - Sale <sup>25</sup>
	21.000	346.49	08/04/20	367.33	20.84 W	0.00	29 of 65 - Sale <sup>25</sup>
	25.000	412.49	08/04/20	431.29	18.80 W	0.00	30 of 65 - Sale <sup>25</sup>
	7.000	115.50	08/04/20	122.44	6.94 W	0.00	31 of 65 - Sale <sup>25</sup>
	18.000	296.99	08/04/20	314.86	17.87 W	0.00	32 of 65 - Sale <sup>25</sup>
	7.000	115.50	08/04/20	121.46	5.96 W	0.00	33 of 65 - Sale <sup>25</sup>
	18.000	296.99	08/04/20	314.31	17.32 W	0.00	34 of 65 - Sale <sup>25</sup>
	1.000	16.50	08/04/20	21.72	5.22 W	0.00	35 of 65 - Sale <sup>25</sup>
	1.000	16.50	08/04/20	21.71	5.21 W	0.00	36 of 65 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	1.000	16.50	08/04/20	21.71	5.21 W	0.00	37 of 65 - Sale <sup>25</sup>
	1.000	16.50	08/04/20	20.01	3.51 W	0.00	38 of 65 - Sale <sup>25</sup>
	1.000	16.50	08/04/20	19.91	3.41 W	0.00	39 of 65 - Sale <sup>25</sup>
	1.000	16.50	08/04/20	19.92	3.42 W	0.00	40 of 65 - Sale <sup>25</sup>
	1.000	16.50	08/04/20	21.27	4.77 W	0.00	41 of 65 - Sale <sup>25</sup>
	2.000	33.00	08/04/20	43.42	10.42 W	0.00	42 of 65 - Sale <sup>25</sup>
	2.000	33.00	08/04/20	38.82	5.82 W	0.00	43 of 65 - Sale <sup>25</sup>
	2.000	33.00	08/04/20	56.14	23.14 W	0.00	44 of 65 - Sale <sup>25</sup>
	2.000	33.00	08/04/20	40.05	7.05 W	0.00	45 of 65 - Sale <sup>25</sup>
	2.000	33.00	08/04/20	40.02	7.02 W	0.00	46 of 65 - Sale <sup>25</sup>
	2.000	33.00	08/04/20	39.84	6.84 W	0.00	47 of 65 - Sale <sup>25</sup>
	2.000	33.00	08/04/20	36.05	3.05 W	0.00	48 of 65 - Sale <sup>25</sup>
	3.000	49.50	08/04/20	65.13	15.63 W	0.00	49 of 65 - Sale <sup>25</sup>
	3.000	49.50	08/04/20	56.22	6.72 W	0.00	50 of 65 - Sale <sup>25</sup>
	3.000	49.50	08/04/20	59.76	10.26 W	0.00	51 of 65 - Sale <sup>25</sup>
	4.000	66.00	08/04/20	85.09	19.09 W	0.00	52 of 65 - Sale <sup>25</sup>
	4.000	66.00	08/04/20	72.10	6.10 W	0.00	53 of 65 - Sale <sup>25</sup>
	5.000	82.50	08/04/20	108.58	26.08 W	0.00	54 of 65 - Sale <sup>25</sup>
	5.000	82.50	08/04/20	107.34	24.84 W	0.00	55 of 65 - Sale <sup>25</sup>
	7.000	115.50	08/04/20	146.83	31.33 W	0.00	56 of 65 - Sale <sup>25</sup>
	9.000	148.50	08/04/20	157.79	9.29 W	0.00	57 of 65 - Sale <sup>25</sup>
	12.000	197.99	08/04/20	210.27	12.28 W	0.00	58 of 65 - Sale <sup>25</sup>
	17.000	280.49	08/04/20	314.63	34.14 W	0.00	59 of 65 - Sale <sup>25</sup>
	27.000	445.47	08/04/20	486.66	41.19 W	0.00	60 of 65 - Sale <sup>25</sup>
	30.000	494.97	08/04/20	545.84	50.87 W	0.00	61 of 65 - Sale <sup>25</sup>
	2.000	31.08	08/04/20	33.45	2.37 W	0.00	62 of 65 - Sale <sup>25</sup>
	13.000	202.02	08/04/20	217.00	14.98 W	0.00	63 of 65 - Sale <sup>25</sup>
	27.000	419.57	08/04/20	451.79	32.22 W	0.00	64 of 65 - Sale <sup>25</sup>
	58.000	901.30	08/04/20	970.49	69.19 W	0.00	65 of 65 - Sale <sup>25</sup>
08/04/20	975.000	16,160.70	Various	17,562.65	1,401.95 W	0.00	Total of 65 transactions
	71 transactions for 08/06/20. Total proceeds and cost reported to the IRS.						
	2.000	32.00	08/06/20	34.40	2.40 W	0.00	1 of 71 - Sale <sup>25</sup>
	4.000	64.00	08/06/20	69.01	5.01 W	0.00	2 of 71 - Sale <sup>25</sup>
	8.000	128.00	08/06/20	136.50	8.50 W	0.00	3 of 71 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	9.000	144.00	08/06/20	155.47	11.47 W	0.00	4 of 71 - Sale <sup>25</sup>
	12.000	191.99	08/06/20	207.28	15.29 W	0.00	5 of 71 - Sale <sup>25</sup>
	27.000	431.99	08/06/20	466.63	34.64 W	0.00	6 of 71 - Sale <sup>25</sup>
	38.000	607.98	08/06/20	656.36	48.38 W	0.00	7 of 71 - Sale <sup>25</sup>
	1.000	16.00	08/06/20	17.20	1.20 W	0.00	8 of 71 - Sale <sup>25</sup>
	40.000	639.98	08/06/20	688.07	48.09 W	0.00	9 of 71 - Sale <sup>25</sup>
	59.000	943.97	08/06/20	1,014.92	70.95 W	0.00	10 of 71 - Sale <sup>25</sup>
	1.000	16.00	08/06/20	17.20	1.20 W	0.00	11 of 71 - Sale <sup>25</sup>
	1.000	16.00	08/06/20	17.20	1.20 W	0.00	12 of 71 - Sale <sup>25</sup>
	2.000	32.00	08/06/20	34.03	2.03 W	0.00	13 of 71 - Sale <sup>25</sup>
	2.000	32.00	08/06/20	34.02	2.02 W	0.00	14 of 71 - Sale <sup>25</sup>
	4.000	64.00	08/06/20	67.53	3.53 W	0.00	15 of 71 - Sale <sup>25</sup>
	6.000	96.00	08/06/20	102.07	6.07 W	0.00	16 of 71 - Sale <sup>25</sup>
	8.000	128.00	08/06/20	136.98	8.98 W	0.00	17 of 71 - Sale <sup>25</sup>
	10.000	160.00	08/06/20	172.02	12.02 W	0.00	18 of 71 - Sale <sup>25</sup>
	10.000	160.00	08/06/20	176.42	16.42 W	0.00	19 of 71 - Sale <sup>25</sup>
	11.000	175.99	08/06/20	189.35	13.36 W	0.00	20 of 71 - Sale <sup>25</sup>
	15.000	239.99	08/06/20	264.64	24.65 W	0.00	21 of 71 - Sale <sup>25</sup>
	16.000	255.99	08/06/20	272.37	16.38 W	0.00	22 of 71 - Sale <sup>25</sup>
	17.000	271.99	08/06/20	299.59	27.60 W	0.00	23 of 71 - Sale <sup>25</sup>
	20.000	319.99	08/06/20	340.45	20.46 W	0.00	24 of 71 - Sale <sup>25</sup>
	21.000	335.99	08/06/20	370.49	34.50 W	0.00	25 of 71 - Sale <sup>25</sup>
	21.000	335.99	08/06/20	349.26	13.27 W	0.00	26 of 71 - Sale <sup>25</sup>
	21.000	335.99	08/06/20	359.56	23.57 W	0.00	27 of 71 - Sale <sup>25</sup>
	22.000	351.99	08/06/20	374.29	22.30 W	0.00	28 of 71 - Sale <sup>25</sup>
	23.000	367.99	08/06/20	391.27	23.28 W	0.00	29 of 71 - Sale <sup>25</sup>
	25.000	399.99	08/06/20	422.05	22.06 W	0.00	30 of 71 - Sale <sup>25</sup>
	31.000	495.98	08/06/20	533.25	37.27 W	0.00	31 of 71 - Sale <sup>25</sup>
	37.000	591.98	08/06/20	652.78	60.80 W	0.00	32 of 71 - Sale <sup>25</sup>
	38.000	607.98	08/06/20	646.06	38.08 W	0.00	33 of 71 - Sale <sup>25</sup>
	38.000	607.98	08/06/20	646.48	38.50 W	0.00	34 of 71 - Sale <sup>25</sup>
	100.000	1,599.95	08/06/20	1,854.59	254.64 W	0.00	35 of 71 - Sale <sup>25</sup>
	1.000	16.00	08/06/20	21.35	5.35 W	0.00	36 of 71 - Sale <sup>25</sup>
	1.000	16.00	08/06/20	21.34	5.34 W	0.00	37 of 71 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	1.000	16.00	08/06/20	21.34	5.34 W	0.00	38 of 71 - Sale <sup>25</sup>
	1.000	16.00	08/06/20	19.64	3.64 W	0.00	39 of 71 - Sale <sup>25</sup>
	1.000	16.00	08/06/20	19.54	3.54 W	0.00	40 of 71 - Sale <sup>25</sup>
	1.000	16.00	08/06/20	19.55	3.55 W	0.00	41 of 71 - Sale <sup>25</sup>
	1.000	16.00	08/06/20	20.90	4.90 W	0.00	42 of 71 - Sale <sup>25</sup>
	2.000	32.00	08/06/20	42.68	10.68 W	0.00	43 of 71 - Sale <sup>25</sup>
	2.000	32.00	08/06/20	38.08	6.08 W	0.00	44 of 71 - Sale <sup>25</sup>
	2.000	32.00	08/06/20	55.40	23.40 W	0.00	45 of 71 - Sale <sup>25</sup>
	2.000	32.00	08/06/20	39.31	7.31 W	0.00	46 of 71 - Sale <sup>25</sup>
	2.000	32.00	08/06/20	39.28	7.28 W	0.00	47 of 71 - Sale <sup>25</sup>
	2.000	32.00	08/06/20	39.10	7.10 W	0.00	48 of 71 - Sale <sup>25</sup>
	2.000	32.00	08/06/20	35.31	3.31 W	0.00	49 of 71 - Sale <sup>25</sup>
	2.000	32.00	08/06/20	34.63	2.63 W	0.00	50 of 71 - Sale <sup>25</sup>
	3.000	48.00	08/06/20	64.02	16.02 W	0.00	51 of 71 - Sale <sup>25</sup>
	3.000	48.00	08/06/20	55.11	7.11 W	0.00	52 of 71 - Sale <sup>25</sup>
	3.000	48.00	08/06/20	58.65	10.65 W	0.00	53 of 71 - Sale <sup>25</sup>
	4.000	64.00	08/06/20	83.61	19.61 W	0.00	54 of 71 - Sale <sup>25</sup>
	4.000	64.00	08/06/20	70.62	6.62 W	0.00	55 of 71 - Sale <sup>25</sup>
	5.000	80.00	08/06/20	106.73	26.73 W	0.00	56 of 71 - Sale <sup>25</sup>
	5.000	80.00	08/06/20	105.49	25.49 W	0.00	57 of 71 - Sale <sup>25</sup>
	7.000	112.00	08/06/20	119.85	7.85 W	0.00	58 of 71 - Sale <sup>25</sup>
	7.000	112.00	08/06/20	118.87	6.87 W	0.00	59 of 71 - Sale <sup>25</sup>
	7.000	112.00	08/06/20	144.24	32.24 W	0.00	60 of 71 - Sale <sup>25</sup>
	9.000	144.00	08/06/20	154.46	10.46 W	0.00	61 of 71 - Sale <sup>25</sup>
	10.000	160.00	08/06/20	171.23	11.23 W	0.00	62 of 71 - Sale <sup>25</sup>
	12.000	191.99	08/06/20	205.84	13.85 W	0.00	63 of 71 - Sale <sup>25</sup>
	13.000	207.99	08/06/20	224.67	16.68 W	0.00	64 of 71 - Sale <sup>25</sup>
	17.000	271.99	08/06/20	308.35	36.36 W	0.00	65 of 71 - Sale <sup>25</sup>
	18.000	287.99	08/06/20	307.66	19.67 W	0.00	66 of 71 - Sale <sup>25</sup>
	27.000	431.98	08/06/20	476.70	44.72 W	0.00	67 of 71 - Sale <sup>25</sup>
	27.000	431.98	08/06/20	467.73	35.75 W	0.00	68 of 71 - Sale <sup>25</sup>
	30.000	479.98	08/06/20	534.77	54.79 W	0.00	69 of 71 - Sale <sup>25</sup>
	58.000	927.96	08/06/20	1,004.73	76.77 W	0.00	70 of 71 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
08/06/20	8.000	127.99	08/06/20	129.04	1.05 W	0.00	71 of 71 - Sale <sup>25</sup>
	1,000.000	15,999.52	Various	17,549.61	1,550.09 W	0.00	Total of 71 transactions
	95 transactions for 08/07/20. Total proceeds and cost reported to the IRS.						
	4.000	60.80	08/06/20	68.77	7.97 W	0.00	1 of 95 - Sale <sup>25</sup>
	8.000	121.60	08/06/20	136.02	14.42 W	0.00	2 of 95 - Sale <sup>25</sup>
	9.000	136.80	08/06/20	154.93	18.13 W	0.00	3 of 95 - Sale <sup>25</sup>
	19.000	288.79	08/06/20	327.04	38.25 W	0.00	4 of 95 - Sale <sup>25</sup>
	7.000	106.40	08/06/20	120.56	14.16 W	0.00	5 of 95 - Sale <sup>25</sup>
	2.000	30.40	08/06/20	34.10	3.70 W	0.00	6 of 95 - Sale <sup>25</sup>
	12.000	182.39	08/06/20	205.48	23.09 W	0.00	7 of 95 - Sale <sup>25</sup>
	12.000	182.39	08/06/20	205.49	23.10 W	0.00	8 of 95 - Sale <sup>25</sup>
	27.000	410.39	08/06/20	462.59	52.20 W	0.00	9 of 95 - Sale <sup>25</sup>
	22.000	334.39	08/06/20	376.26	41.87 W	0.00	10 of 95 - Sale <sup>25</sup>
	28.000	425.58	08/06/20	478.87	53.29 W	0.00	11 of 95 - Sale <sup>25</sup>
	1.000	14.96	08/07/20	16.52	1.56 W	0.00	12 of 95 - Sale <sup>25</sup>
	1.000	14.96	08/07/20	16.52	1.56 W	0.00	13 of 95 - Sale <sup>25</sup>
	2.000	29.91	08/07/20	32.66	2.75 W	0.00	14 of 95 - Sale <sup>25</sup>
	6.000	89.73	08/07/20	97.99	8.26 W	0.00	15 of 95 - Sale <sup>25</sup>
	9.000	134.60	08/07/20	148.70	14.10 W	0.00	16 of 95 - Sale <sup>25</sup>
	10.000	149.55	08/07/20	165.22	15.67 W	0.00	17 of 95 - Sale <sup>25</sup>
	31.000	463.61	08/07/20	512.19	48.58 W	0.00	18 of 95 - Sale <sup>25</sup>
	40.000	598.20	08/07/20	660.89	62.69 W	0.00	19 of 95 - Sale <sup>25</sup>
	21.000	314.06	08/07/20	342.98	28.92 W	0.00	20 of 95 - Sale <sup>25</sup>
	29.000	433.70	08/07/20	473.34	39.64 W	0.00	21 of 95 - Sale <sup>25</sup>
	2.000	29.91	08/07/20	32.67	2.76 W	0.00	22 of 95 - Sale <sup>25</sup>
	2.000	29.91	08/07/20	32.66	2.75 W	0.00	23 of 95 - Sale <sup>25</sup>
	9.000	134.60	08/07/20	146.90	12.30 W	0.00	24 of 95 - Sale <sup>25</sup>
	16.000	239.28	08/07/20	261.50	22.22 W	0.00	25 of 95 - Sale <sup>25</sup>
	20.000	299.10	08/07/20	326.86	27.76 W	0.00	26 of 95 - Sale <sup>25</sup>
	22.000	329.01	08/07/20	359.34	30.33 W	0.00	27 of 95 - Sale <sup>25</sup>
	29.000	433.70	08/07/20	473.66	39.96 W	0.00	28 of 95 - Sale <sup>25</sup>
	8.000	119.64	08/07/20	136.11	16.47 W	0.00	29 of 95 - Sale <sup>25</sup>
	9.000	134.60	08/07/20	147.63	13.03 W	0.00	30 of 95 - Sale <sup>25</sup>
	10.000	149.55	08/07/20	170.32	20.77 W	0.00	31 of 95 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	15.000	224.33	08/07/20	255.50	31.17 W	0.00	32 of 95 - Sale <sup>25</sup>
	21.000	314.05	08/07/20	357.69	43.64 W	0.00	33 of 95 - Sale <sup>25</sup>
	37.000	553.33	08/07/20	630.23	76.90 W	0.00	34 of 95 - Sale <sup>25</sup>
	9.000	134.60	08/07/20	153.12	18.52 W	0.00	35 of 95 - Sale <sup>25</sup>
	91.000	1,360.90	08/07/20	1,632.21	271.31 W	0.00	36 of 95 - Sale <sup>25</sup>
	1.000	14.95	08/07/20	16.59	1.64 W	0.00	37 of 95 - Sale <sup>25</sup>
	4.000	59.82	08/07/20	65.09	5.27 W	0.00	38 of 95 - Sale <sup>25</sup>
	4.000	59.82	08/07/20	65.09	5.27 W	0.00	39 of 95 - Sale <sup>25</sup>
	9.000	134.60	08/07/20	161.43	26.83 W	0.00	40 of 95 - Sale <sup>25</sup>
	11.000	164.51	08/07/20	182.65	18.14 W	0.00	41 of 95 - Sale <sup>25</sup>
	21.000	314.05	08/07/20	336.46	22.41 W	0.00	42 of 95 - Sale <sup>25</sup>
	7.000	104.69	08/07/20	115.51	10.82 W	0.00	43 of 95 - Sale <sup>25</sup>
	7.000	104.68	08/07/20	114.53	9.85 W	0.00	44 of 95 - Sale <sup>25</sup>
	8.000	119.64	08/07/20	132.02	12.38 W	0.00	45 of 95 - Sale <sup>25</sup>
	8.000	119.64	08/07/20	132.34	12.70 W	0.00	46 of 95 - Sale <sup>25</sup>
	10.000	149.55	08/07/20	165.03	15.48 W	0.00	47 of 95 - Sale <sup>25</sup>
	18.000	269.19	08/07/20	296.51	27.32 W	0.00	48 of 95 - Sale <sup>25</sup>
	21.000	314.06	08/07/20	341.51	27.45 W	0.00	49 of 95 - Sale <sup>25</sup>
	21.000	314.05	08/07/20	346.55	32.50 W	0.00	50 of 95 - Sale <sup>25</sup>
	1.000	14.96	08/07/20	16.54	1.58 W	0.00	51 of 95 - Sale <sup>25</sup>
	1.000	14.96	08/07/20	20.73	5.77 W	0.00	52 of 95 - Sale <sup>25</sup>
	1.000	14.95	08/07/20	20.72	5.77 W	0.00	53 of 95 - Sale <sup>25</sup>
	1.000	14.95	08/07/20	20.72	5.77 W	0.00	54 of 95 - Sale <sup>25</sup>
	1.000	14.96	08/07/20	19.02	4.06 W	0.00	55 of 95 - Sale <sup>25</sup>
	1.000	14.95	08/07/20	18.92	3.97 W	0.00	56 of 95 - Sale <sup>25</sup>
	1.000	14.95	08/07/20	18.93	3.98 W	0.00	57 of 95 - Sale <sup>25</sup>
	1.000	14.96	08/07/20	20.28	5.32 W	0.00	58 of 95 - Sale <sup>25</sup>
	2.000	29.91	08/07/20	41.44	11.53 W	0.00	59 of 95 - Sale <sup>25</sup>
	2.000	29.91	08/07/20	36.84	6.93 W	0.00	60 of 95 - Sale <sup>25</sup>
	2.000	29.91	08/07/20	54.16	24.25 W	0.00	61 of 95 - Sale <sup>25</sup>
	2.000	29.91	08/07/20	38.07	8.16 W	0.00	62 of 95 - Sale <sup>25</sup>
	2.000	29.91	08/07/20	38.04	8.13 W	0.00	63 of 95 - Sale <sup>25</sup>
	2.000	29.91	08/07/20	37.86	7.95 W	0.00	64 of 95 - Sale <sup>25</sup>
	3.000	44.87	08/07/20	62.16	17.29 W	0.00	65 of 95 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	3.000	44.86	08/07/20	53.25	8.39 W	0.00	66 of 95 - Sale <sup>25</sup>
	3.000	44.87	08/07/20	56.79	11.92 W	0.00	67 of 95 - Sale <sup>25</sup>
	4.000	59.82	08/07/20	81.13	21.31 W	0.00	68 of 95 - Sale <sup>25</sup>
	5.000	74.77	08/07/20	103.63	28.86 W	0.00	69 of 95 - Sale <sup>25</sup>
	5.000	74.77	08/07/20	102.39	27.62 W	0.00	70 of 95 - Sale <sup>25</sup>
	7.000	104.69	08/07/20	139.90	35.21 W	0.00	71 of 95 - Sale <sup>25</sup>
	12.000	179.46	08/07/20	198.41	18.95 W	0.00	72 of 95 - Sale <sup>25</sup>
	17.000	254.24	08/07/20	297.82	43.58 W	0.00	73 of 95 - Sale <sup>25</sup>
	21.000	314.05	08/07/20	361.33	47.28 W	0.00	74 of 95 - Sale <sup>25</sup>
	2.000	29.91	08/07/20	34.07	4.16 W	0.00	75 of 95 - Sale <sup>25</sup>
	4.000	59.82	08/07/20	68.14	8.32 W	0.00	76 of 95 - Sale <sup>25</sup>
	9.000	134.60	08/07/20	154.86	20.26 W	0.00	77 of 95 - Sale <sup>25</sup>
	13.000	194.42	08/07/20	216.62	22.20 W	0.00	78 of 95 - Sale <sup>25</sup>
	27.000	403.78	08/07/20	459.98	56.20 W	0.00	79 of 95 - Sale <sup>25</sup>
	45.000	672.97	08/07/20	751.66	78.69 W	0.00	80 of 95 - Sale <sup>25</sup>
	2.000	29.91	08/07/20	34.48	4.57 W	0.00	81 of 95 - Sale <sup>25</sup>
	4.000	59.82	08/07/20	69.53	9.71 W	0.00	82 of 95 - Sale <sup>25</sup>
	7.000	104.69	08/07/20	121.89	17.20 W	0.00	83 of 95 - Sale <sup>25</sup>
	8.000	119.64	08/07/20	137.54	17.90 W	0.00	84 of 95 - Sale <sup>25</sup>
	9.000	134.60	08/07/20	156.64	22.04 W	0.00	85 of 95 - Sale <sup>25</sup>
	12.000	179.46	08/07/20	207.77	28.31 W	0.00	86 of 95 - Sale <sup>25</sup>
	12.000	179.46	08/07/20	207.78	28.32 W	0.00	87 of 95 - Sale <sup>25</sup>
	19.000	284.14	08/07/20	330.66	46.52 W	0.00	88 of 95 - Sale <sup>25</sup>
	22.000	329.01	08/07/20	380.45	51.44 W	0.00	89 of 95 - Sale <sup>25</sup>
	27.000	403.78	08/07/20	467.73	63.95 W	0.00	90 of 95 - Sale <sup>25</sup>
	28.000	418.74	08/07/20	484.21	65.47 W	0.00	91 of 95 - Sale <sup>25</sup>
	2.000	29.91	08/07/20	33.41	3.50 W	0.00	92 of 95 - Sale <sup>25</sup>
	8.000	119.64	08/07/20	124.17	4.53 W	0.00	93 of 95 - Sale <sup>25</sup>
	13.000	194.42	08/07/20	217.28	22.86 W	0.00	94 of 95 - Sale <sup>25</sup>
	27.000	403.78	08/07/20	451.28	47.50 W	0.00	95 of 95 - Sale <sup>25</sup>
08/07/20	1,150.000	17,234.97	Various	19,594.06	2,359.09 W	0.00	Total of 95 transactions
							41 transactions for 08/10/20. Total proceeds and cost reported to the IRS.
	1.000	10.53	08/10/20	11.80	1.27 W	0.00	1 of 41 - Sale <sup>25</sup>
	9.000	94.80	08/10/20	106.26	11.46 W	0.00	2 of 41 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	40.000	421.33	08/10/20	472.29	50.96 W	0.00	3 of 41 - Sale <sup>25</sup>
	1.000	10.53	08/10/20	12.02	1.49 W	0.00	4 of 41 - Sale <sup>25</sup>
	2.000	21.07	08/10/20	23.66	2.59 W	0.00	5 of 41 - Sale <sup>25</sup>
	6.000	63.20	08/10/20	70.99	7.79 W	0.00	6 of 41 - Sale <sup>25</sup>
	10.000	105.33	08/10/20	120.22	14.89 W	0.00	7 of 41 - Sale <sup>25</sup>
	21.000	221.20	08/10/20	248.48	27.28 W	0.00	8 of 41 - Sale <sup>25</sup>
	29.000	305.46	08/10/20	342.83	37.37 W	0.00	9 of 41 - Sale <sup>25</sup>
	31.000	326.53	08/10/20	372.68	46.15 W	0.00	10 of 41 - Sale <sup>25</sup>
	2.000	21.07	08/10/20	24.62	3.55 W	0.00	11 of 41 - Sale <sup>25</sup>
	2.000	21.07	08/10/20	24.61	3.54 W	0.00	12 of 41 - Sale <sup>25</sup>
	9.000	94.80	08/10/20	110.67	15.87 W	0.00	13 of 41 - Sale <sup>25</sup>
	16.000	168.53	08/10/20	197.10	28.57 W	0.00	14 of 41 - Sale <sup>25</sup>
	20.000	210.66	08/10/20	246.36	35.70 W	0.00	15 of 41 - Sale <sup>25</sup>
	22.000	231.72	08/10/20	270.79	39.07 W	0.00	16 of 41 - Sale <sup>25</sup>
	24.000	252.79	08/10/20	295.39	42.60 W	0.00	17 of 41 - Sale <sup>25</sup>
	5.000	52.66	08/10/20	59.64	6.98 W	0.00	18 of 41 - Sale <sup>25</sup>
	1.000	10.67	08/10/20	12.63	1.96 W	0.00	19 of 41 - Sale <sup>25</sup>
	9.000	96.05	08/10/20	107.98	11.93 W	0.00	20 of 41 - Sale <sup>25</sup>
	8.000	85.38	08/10/20	102.81	17.43 W	0.00	21 of 41 - Sale <sup>25</sup>
	9.000	96.05	08/10/20	115.66	19.61 W	0.00	22 of 41 - Sale <sup>25</sup>
	10.000	106.72	08/10/20	128.70	21.98 W	0.00	23 of 41 - Sale <sup>25</sup>
	15.000	160.08	08/10/20	193.06	32.98 W	0.00	24 of 41 - Sale <sup>25</sup>
	21.000	224.11	08/10/20	270.29	46.18 W	0.00	25 of 41 - Sale <sup>25</sup>
	21.000	224.11	08/10/20	289.26	65.15 W	0.00	26 of 41 - Sale <sup>25</sup>
	36.000	384.19	08/10/20	463.37	79.18 W	0.00	27 of 41 - Sale <sup>25</sup>
	20.000	213.44	08/10/20	273.13	59.69 W	0.00	28 of 41 - Sale <sup>25</sup>
	25.000	266.80	08/10/20	350.61	83.81 W	0.00	29 of 41 - Sale <sup>25</sup>
	1.000	10.67	08/10/20	12.68	2.01 W	0.00	30 of 41 - Sale <sup>25</sup>
	4.000	42.69	08/10/20	49.44	6.75 W	0.00	31 of 41 - Sale <sup>25</sup>
	4.000	42.69	08/10/20	49.44	6.75 W	0.00	32 of 41 - Sale <sup>25</sup>
	9.000	96.05	08/10/20	126.21	30.16 W	0.00	33 of 41 - Sale <sup>25</sup>
	11.000	117.39	08/10/20	139.61	22.22 W	0.00	34 of 41 - Sale <sup>25</sup>
	21.000	224.11	08/10/20	254.31	30.20 W	0.00	35 of 41 - Sale <sup>25</sup>
	25.000	266.79	08/10/20	350.60	83.81 W	0.00	36 of 41 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	2.000	21.22	08/10/20	23.91	2.69 W	0.00	37 of 41 - Sale <sup>25</sup>
	2.000	21.36	08/10/20	24.44	3.08 W	0.00	38 of 41 - Sale <sup>25</sup>
	8.000	85.44	08/10/20	97.74	12.30 W	0.00	39 of 41 - Sale <sup>25</sup>
	19.000	202.91	08/10/20	227.57	24.66 W	0.00	40 of 41 - Sale <sup>25</sup>
	21.000	224.27	08/10/20	256.57	32.30 W	0.00	41 of 41 - Sale <sup>25</sup>
08/10/20	552.000	5,856.47	Various	6,930.43	1,073.96 W	0.00	Total of 41 transactions
17 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	1.000	10.52	08/11/20	12.35	1.83 W	0.00	1 of 17 - Sale <sup>25</sup>
	1.000	10.52	08/11/20	16.54	6.02 W	0.00	2 of 17 - Sale <sup>25</sup>
	1.000	10.52	08/11/20	16.54	6.02 W	0.00	3 of 17 - Sale <sup>25</sup>
	1.000	10.52	08/11/20	16.54	6.02 W	0.00	4 of 17 - Sale <sup>25</sup>
	2.000	21.04	08/11/20	33.08	12.04 W	0.00	5 of 17 - Sale <sup>25</sup>
	2.000	21.04	08/11/20	28.48	7.44 W	0.00	6 of 17 - Sale <sup>25</sup>
	3.000	31.56	08/11/20	49.61	18.05 W	0.00	7 of 17 - Sale <sup>25</sup>
	5.000	52.60	08/11/20	82.73	30.13 W	0.00	8 of 17 - Sale <sup>25</sup>
	5.000	52.61	08/11/20	81.49	28.88 W	0.00	9 of 17 - Sale <sup>25</sup>
	7.000	73.65	08/11/20	86.24	12.59 W	0.00	10 of 17 - Sale <sup>25</sup>
	7.000	73.65	08/11/20	85.27	11.62 W	0.00	11 of 17 - Sale <sup>25</sup>
	7.000	73.65	08/11/20	110.63	36.98 W	0.00	12 of 17 - Sale <sup>25</sup>
	8.000	84.17	08/11/20	98.57	14.40 W	0.00	13 of 17 - Sale <sup>25</sup>
	8.000	84.17	08/11/20	98.89	14.72 W	0.00	14 of 17 - Sale <sup>25</sup>
	12.000	126.25	08/11/20	148.24	21.99 W	0.00	15 of 17 - Sale <sup>25</sup>
	12.000	126.25	08/11/20	160.06	33.81 W	0.00	16 of 17 - Sale <sup>25</sup>
	18.000	189.37	08/11/20	221.25	31.88 W	0.00	17 of 17 - Sale <sup>25</sup>
08/11/20	100.000	1,052.09	Various	1,346.51	294.42 W	0.00	Total of 17 transactions
203 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	2.000	18.42	08/13/20	23.09	4.67 W	0.00	1 of 203 - Sale <sup>25</sup>
	4.000	36.84	08/13/20	46.75	9.91 W	0.00	2 of 203 - Sale <sup>25</sup>
	7.000	64.47	08/13/20	82.02	17.55 W	0.00	3 of 203 - Sale <sup>25</sup>
	8.000	73.68	08/13/20	91.98	18.30 W	0.00	4 of 203 - Sale <sup>25</sup>
	9.000	82.89	08/13/20	105.38	22.49 W	0.00	5 of 203 - Sale <sup>25</sup>
	12.000	110.52	08/13/20	139.43	28.91 W	0.00	6 of 203 - Sale <sup>25</sup>
	12.000	110.52	08/13/20	139.44	28.92 W	0.00	7 of 203 - Sale <sup>25</sup>
	19.000	174.99	08/13/20	222.46	47.47 W	0.00	8 of 203 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	22.000	202.61	08/13/20	255.16	52.55 W	0.00	9 of 203 - Sale <sup>25</sup>
	27.000	248.66	08/13/20	313.97	65.31 W	0.00	10 of 203 - Sale <sup>25</sup>
	28.000	257.87	08/13/20	324.75	66.88 W	0.00	11 of 203 - Sale <sup>25</sup>
	1.000	9.21	08/13/20	13.32	4.11 W	0.00	12 of 203 - Sale <sup>25</sup>
	1.000	9.21	08/13/20	13.23	4.02 W	0.00	13 of 203 - Sale <sup>25</sup>
	1.000	9.21	08/13/20	13.24	4.03 W	0.00	14 of 203 - Sale <sup>25</sup>
	1.000	9.21	08/13/20	14.58	5.37 W	0.00	15 of 203 - Sale <sup>25</sup>
	1.000	9.21	08/13/20	10.53	1.32 W	0.00	16 of 203 - Sale <sup>25</sup>
	1.000	9.21	08/13/20	10.75	1.54 W	0.00	17 of 203 - Sale <sup>25</sup>
	2.000	18.42	08/13/20	42.77	24.35 W	0.00	18 of 203 - Sale <sup>25</sup>
	2.000	18.42	08/13/20	26.68	8.26 W	0.00	19 of 203 - Sale <sup>25</sup>
	2.000	18.42	08/13/20	26.65	8.23 W	0.00	20 of 203 - Sale <sup>25</sup>
	2.000	18.42	08/13/20	26.47	8.05 W	0.00	21 of 203 - Sale <sup>25</sup>
	2.000	18.42	08/13/20	22.68	4.26 W	0.00	22 of 203 - Sale <sup>25</sup>
	2.000	18.42	08/13/20	22.02	3.60 W	0.00	23 of 203 - Sale <sup>25</sup>
	2.000	18.42	08/13/20	21.11	2.69 W	0.00	24 of 203 - Sale <sup>25</sup>
	2.000	18.42	08/13/20	22.05	3.63 W	0.00	25 of 203 - Sale <sup>25</sup>
	3.000	27.63	08/13/20	36.17	8.54 W	0.00	26 of 203 - Sale <sup>25</sup>
	3.000	27.63	08/13/20	39.70	12.07 W	0.00	27 of 203 - Sale <sup>25</sup>
	4.000	36.84	08/13/20	58.35	21.51 W	0.00	28 of 203 - Sale <sup>25</sup>
	4.000	36.84	08/13/20	45.36	8.52 W	0.00	29 of 203 - Sale <sup>25</sup>
	5.000	46.05	08/13/20	59.12	13.07 W	0.00	30 of 203 - Sale <sup>25</sup>
	6.000	55.26	08/13/20	63.35	8.09 W	0.00	31 of 203 - Sale <sup>25</sup>
	8.000	73.68	08/13/20	78.61	4.93 W	0.00	32 of 203 - Sale <sup>25</sup>
	9.000	82.89	08/13/20	103.60	20.71 W	0.00	33 of 203 - Sale <sup>25</sup>
	9.000	82.89	08/13/20	94.80	11.91 W	0.00	34 of 203 - Sale <sup>25</sup>
	10.000	92.10	08/13/20	107.49	15.39 W	0.00	35 of 203 - Sale <sup>25</sup>
	13.000	119.73	08/13/20	142.58	22.85 W	0.00	36 of 203 - Sale <sup>25</sup>
	13.000	119.73	08/13/20	143.24	23.51 W	0.00	37 of 203 - Sale <sup>25</sup>
	21.000	193.41	08/13/20	241.74	48.33 W	0.00	38 of 203 - Sale <sup>25</sup>
	21.000	193.40	08/13/20	221.74	28.34 W	0.00	39 of 203 - Sale <sup>25</sup>
	27.000	248.66	08/13/20	306.22	57.56 W	0.00	40 of 203 - Sale <sup>25</sup>
	27.000	248.66	08/13/20	297.51	48.85 W	0.00	41 of 203 - Sale <sup>25</sup>
	29.000	267.08	08/13/20	305.90	38.82 W	0.00	42 of 203 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	31.000	285.50	08/13/20	333.20	47.70 W	0.00	43 of 203 - Sale <sup>25</sup>
	40.000	368.39	08/13/20	421.35	52.96 W	0.00	44 of 203 - Sale <sup>25</sup>
	45.000	414.44	08/13/20	495.38	80.94 W	0.00	45 of 203 - Sale <sup>25</sup>
	1.000	9.21	08/13/20	11.26	2.05 W	0.00	46 of 203 - Sale <sup>25</sup>
	1.000	9.21	08/13/20	11.31	2.10 W	0.00	47 of 203 - Sale <sup>25</sup>
	1.000	9.21	08/13/20	11.13	1.92 W	0.00	48 of 203 - Sale <sup>25</sup>
	1.000	9.21	08/13/20	15.32	6.11 W	0.00	49 of 203 - Sale <sup>25</sup>
	1.000	9.21	08/13/20	15.32	6.11 W	0.00	50 of 203 - Sale <sup>25</sup>
	1.000	9.21	08/13/20	15.32	6.11 W	0.00	51 of 203 - Sale <sup>25</sup>
	2.000	18.42	08/13/20	22.15	3.73 W	0.00	52 of 203 - Sale <sup>25</sup>
	2.000	18.42	08/13/20	22.14	3.72 W	0.00	53 of 203 - Sale <sup>25</sup>
	2.000	18.42	08/13/20	21.29	2.87 W	0.00	54 of 203 - Sale <sup>25</sup>
	2.000	18.42	08/13/20	21.68	3.26 W	0.00	55 of 203 - Sale <sup>25</sup>
	2.000	18.42	08/13/20	30.64	12.22 W	0.00	56 of 203 - Sale <sup>25</sup>
	2.000	18.42	08/13/20	26.04	7.62 W	0.00	57 of 203 - Sale <sup>25</sup>
	3.000	27.63	08/13/20	45.95	18.32 W	0.00	58 of 203 - Sale <sup>25</sup>
	4.000	36.84	08/13/20	43.95	7.11 W	0.00	59 of 203 - Sale <sup>25</sup>
	4.000	36.84	08/13/20	43.95	7.11 W	0.00	60 of 203 - Sale <sup>25</sup>
	5.000	46.05	08/13/20	53.48	7.43 W	0.00	61 of 203 - Sale <sup>25</sup>
	5.000	46.05	08/13/20	76.63	30.58 W	0.00	62 of 203 - Sale <sup>25</sup>
	5.000	46.05	08/13/20	75.38	29.33 W	0.00	63 of 203 - Sale <sup>25</sup>
	7.000	64.47	08/13/20	77.44	12.97 W	0.00	64 of 203 - Sale <sup>25</sup>
	7.000	64.47	08/13/20	77.69	13.22 W	0.00	65 of 203 - Sale <sup>25</sup>
	7.000	64.47	08/13/20	76.72	12.25 W	0.00	66 of 203 - Sale <sup>25</sup>
	7.000	64.47	08/13/20	102.08	37.61 W	0.00	67 of 203 - Sale <sup>25</sup>
	8.000	73.68	08/13/20	91.83	18.15 W	0.00	68 of 203 - Sale <sup>25</sup>
	8.000	73.68	08/13/20	86.70	13.02 W	0.00	69 of 203 - Sale <sup>25</sup>
	8.000	73.68	08/13/20	88.80	15.12 W	0.00	70 of 203 - Sale <sup>25</sup>
	8.000	73.68	08/13/20	89.12	15.44 W	0.00	71 of 203 - Sale <sup>25</sup>
	9.000	82.89	08/13/20	95.63	12.74 W	0.00	72 of 203 - Sale <sup>25</sup>
	9.000	82.89	08/13/20	103.31	20.42 W	0.00	73 of 203 - Sale <sup>25</sup>
	9.000	82.89	08/13/20	113.86	30.97 W	0.00	74 of 203 - Sale <sup>25</sup>
	10.000	92.10	08/13/20	114.98	22.88 W	0.00	75 of 203 - Sale <sup>25</sup>
	11.000	101.31	08/13/20	124.52	23.21 W	0.00	76 of 203 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
12.000		110.52	08/13/20	133.59	23.07 W	0.00	77 of 203 - Sale <sup>25</sup>
12.000		110.52	08/13/20	145.41	34.89 W	0.00	78 of 203 - Sale <sup>25</sup>
15.000		138.15	08/13/20	172.48	34.33 W	0.00	79 of 203 - Sale <sup>25</sup>
16.000		147.36	08/13/20	177.37	30.01 W	0.00	80 of 203 - Sale <sup>25</sup>
18.000		165.78	08/13/20	199.28	33.50 W	0.00	81 of 203 - Sale <sup>25</sup>
19.000		174.99	08/13/20	201.36	26.37 W	0.00	82 of 203 - Sale <sup>25</sup>
20.000		184.20	08/13/20	221.70	37.50 W	0.00	83 of 203 - Sale <sup>25</sup>
20.000		184.20	08/13/20	245.69	61.49 W	0.00	84 of 203 - Sale <sup>25</sup>
21.000		193.40	08/13/20	241.48	48.08 W	0.00	85 of 203 - Sale <sup>25</sup>
21.000		193.40	08/13/20	260.45	67.05 W	0.00	86 of 203 - Sale <sup>25</sup>
21.000		193.40	08/13/20	225.50	32.10 W	0.00	87 of 203 - Sale <sup>25</sup>
21.000		193.40	08/13/20	227.60	34.20 W	0.00	88 of 203 - Sale <sup>25</sup>
22.000		202.61	08/13/20	243.67	41.06 W	0.00	89 of 203 - Sale <sup>25</sup>
24.000		221.03	08/13/20	265.80	44.77 W	0.00	90 of 203 - Sale <sup>25</sup>
25.000		230.24	08/13/20	316.31	86.07 W	0.00	91 of 203 - Sale <sup>25</sup>
25.000		230.24	08/13/20	316.31	86.07 W	0.00	92 of 203 - Sale <sup>25</sup>
36.000		331.55	08/13/20	413.98	82.43 W	0.00	93 of 203 - Sale <sup>25</sup>
500.000		4,604.85	08/13/20	4,649.90	45.05 W	0.00	94 of 203 - Sale <sup>25</sup>
1.000		9.15	08/13/20	11.51	2.36 W	0.00	95 of 203 - Sale <sup>25</sup>
9.000		82.35	08/13/20	105.47	23.12 W	0.00	96 of 203 - Sale <sup>25</sup>
4.000		36.60	08/13/20	46.87	10.27 W	0.00	97 of 203 - Sale <sup>25</sup>
7.000		64.05	08/13/20	80.69	16.64 W	0.00	98 of 203 - Sale <sup>25</sup>
9.000		82.35	08/13/20	105.65	23.30 W	0.00	99 of 203 - Sale <sup>25</sup>
2.000		18.30	08/13/20	23.15	4.85 W	0.00	100 of 203 - Sale <sup>25</sup>
7.000		64.05	08/13/20	82.23	18.18 W	0.00	101 of 203 - Sale <sup>25</sup>
12.000		109.80	08/13/20	139.79	29.99 W	0.00	102 of 203 - Sale <sup>25</sup>
12.000		109.80	08/13/20	139.80	30.00 W	0.00	103 of 203 - Sale <sup>25</sup>
10.000		91.50	08/13/20	117.38	25.88 W	0.00	104 of 203 - Sale <sup>25</sup>
22.000		201.29	08/13/20	255.83	54.54 W	0.00	105 of 203 - Sale <sup>25</sup>
27.000		247.04	08/13/20	314.79	67.75 W	0.00	106 of 203 - Sale <sup>25</sup>
28.000		256.19	08/13/20	325.60	69.41 W	0.00	107 of 203 - Sale <sup>25</sup>
1.000		9.15	08/13/20	13.35	4.20 W	0.00	108 of 203 - Sale <sup>25</sup>
1.000		9.15	08/13/20	13.26	4.11 W	0.00	109 of 203 - Sale <sup>25</sup>
1.000		9.15	08/13/20	13.27	4.12 W	0.00	110 of 203 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	1.000	9.15	08/13/20	14.61	5.46 W	0.00	111 of 203 - Sale <sup>25</sup>
	1.000	9.15	08/13/20	10.56	1.41 W	0.00	112 of 203 - Sale <sup>25</sup>
	1.000	9.15	08/13/20	10.78	1.63 W	0.00	113 of 203 - Sale <sup>25</sup>
	1.000	9.15	08/13/20	10.78	1.63 W	0.00	114 of 203 - Sale <sup>25</sup>
	2.000	18.30	08/13/20	42.83	24.53 W	0.00	115 of 203 - Sale <sup>25</sup>
	2.000	18.30	08/13/20	26.74	8.44 W	0.00	116 of 203 - Sale <sup>25</sup>
	2.000	18.30	08/13/20	26.71	8.41 W	0.00	117 of 203 - Sale <sup>25</sup>
	2.000	18.30	08/13/20	26.53	8.23 W	0.00	118 of 203 - Sale <sup>25</sup>
	2.000	18.30	08/13/20	22.74	4.44 W	0.00	119 of 203 - Sale <sup>25</sup>
	2.000	18.30	08/13/20	22.08	3.78 W	0.00	120 of 203 - Sale <sup>25</sup>
	3.000	27.45	08/13/20	36.26	8.81 W	0.00	121 of 203 - Sale <sup>25</sup>
	3.000	27.45	08/13/20	39.79	12.34 W	0.00	122 of 203 - Sale <sup>25</sup>
	4.000	36.60	08/13/20	58.47	21.87 W	0.00	123 of 203 - Sale <sup>25</sup>
	4.000	36.60	08/13/20	45.48	8.88 W	0.00	124 of 203 - Sale <sup>25</sup>
	5.000	45.75	08/13/20	59.27	13.52 W	0.00	125 of 203 - Sale <sup>25</sup>
	8.000	73.20	08/13/20	78.85	5.65 W	0.00	126 of 203 - Sale <sup>25</sup>
	9.000	82.35	08/13/20	103.87	21.52 W	0.00	127 of 203 - Sale <sup>25</sup>
	9.000	82.35	08/13/20	95.07	12.72 W	0.00	128 of 203 - Sale <sup>25</sup>
	10.000	91.50	08/13/20	107.79	16.29 W	0.00	129 of 203 - Sale <sup>25</sup>
	13.000	118.95	08/13/20	142.97	24.02 W	0.00	130 of 203 - Sale <sup>25</sup>
	13.000	118.95	08/13/20	143.63	24.68 W	0.00	131 of 203 - Sale <sup>25</sup>
	21.000	192.14	08/13/20	242.37	50.23 W	0.00	132 of 203 - Sale <sup>25</sup>
	27.000	247.04	08/13/20	307.04	60.00 W	0.00	133 of 203 - Sale <sup>25</sup>
	27.000	247.04	08/13/20	298.33	51.29 W	0.00	134 of 203 - Sale <sup>25</sup>
	40.000	365.99	08/13/20	422.56	56.57 W	0.00	135 of 203 - Sale <sup>25</sup>
	45.000	411.74	08/13/20	496.74	85.00 W	0.00	136 of 203 - Sale <sup>25</sup>
	1.000	9.15	08/13/20	11.29	2.14 W	0.00	137 of 203 - Sale <sup>25</sup>
	1.000	9.15	08/13/20	11.34	2.19 W	0.00	138 of 203 - Sale <sup>25</sup>
	2.000	18.30	08/13/20	21.17	2.87 W	0.00	139 of 203 - Sale <sup>25</sup>
	2.000	18.30	08/13/20	22.11	3.81 W	0.00	140 of 203 - Sale <sup>25</sup>
	2.000	18.30	08/13/20	22.21	3.91 W	0.00	141 of 203 - Sale <sup>25</sup>
	2.000	18.30	08/13/20	22.20	3.90 W	0.00	142 of 203 - Sale <sup>25</sup>
	2.000	18.30	08/13/20	22.70	4.40 W	0.00	143 of 203 - Sale <sup>25</sup>
	5.000	45.75	08/13/20	53.63	7.88 W	0.00	144 of 203 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	6.000	54.90	08/13/20	63.53	8.63 W	0.00	145 of 203 - Sale <sup>25</sup>
	7.000	64.05	08/13/20	77.65	13.60 W	0.00	146 of 203 - Sale <sup>25</sup>
	8.000	73.20	08/13/20	92.07	18.87 W	0.00	147 of 203 - Sale <sup>25</sup>
	9.000	82.35	08/13/20	95.90	13.55 W	0.00	148 of 203 - Sale <sup>25</sup>
	9.000	82.35	08/13/20	103.58	21.23 W	0.00	149 of 203 - Sale <sup>25</sup>
	9.000	82.35	08/13/20	114.13	31.78 W	0.00	150 of 203 - Sale <sup>25</sup>
	10.000	91.50	08/13/20	115.28	23.78 W	0.00	151 of 203 - Sale <sup>25</sup>
	15.000	137.24	08/13/20	172.93	35.69 W	0.00	152 of 203 - Sale <sup>25</sup>
	16.000	146.39	08/13/20	177.85	31.46 W	0.00	153 of 203 - Sale <sup>25</sup>
	20.000	182.99	08/13/20	222.30	39.31 W	0.00	154 of 203 - Sale <sup>25</sup>
	20.000	182.99	08/13/20	246.29	63.30 W	0.00	155 of 203 - Sale <sup>25</sup>
	21.000	192.14	08/13/20	222.38	30.24 W	0.00	156 of 203 - Sale <sup>25</sup>
	21.000	192.14	08/13/20	242.12	49.98 W	0.00	157 of 203 - Sale <sup>25</sup>
	21.000	192.14	08/13/20	261.09	68.95 W	0.00	158 of 203 - Sale <sup>25</sup>
	22.000	201.29	08/13/20	244.34	43.05 W	0.00	159 of 203 - Sale <sup>25</sup>
	24.000	219.59	08/13/20	266.53	46.94 W	0.00	160 of 203 - Sale <sup>25</sup>
	25.000	228.74	08/13/20	317.07	88.33 W	0.00	161 of 203 - Sale <sup>25</sup>
	25.000	228.74	08/13/20	317.07	88.33 W	0.00	162 of 203 - Sale <sup>25</sup>
	29.000	265.34	08/13/20	306.78	41.44 W	0.00	163 of 203 - Sale <sup>25</sup>
	30.000	274.49	08/13/20	323.36	48.87 W	0.00	164 of 203 - Sale <sup>25</sup>
	36.000	329.39	08/13/20	415.07	85.68 W	0.00	165 of 203 - Sale <sup>25</sup>
	1.000	9.15	08/13/20	11.16	2.01 W	0.00	166 of 203 - Sale <sup>25</sup>
	1.000	9.15	08/13/20	15.35	6.20 W	0.00	167 of 203 - Sale <sup>25</sup>
	1.000	9.15	08/13/20	15.35	6.20 W	0.00	168 of 203 - Sale <sup>25</sup>
	1.000	9.15	08/13/20	15.35	6.20 W	0.00	169 of 203 - Sale <sup>25</sup>
	2.000	18.30	08/13/20	21.35	3.05 W	0.00	170 of 203 - Sale <sup>25</sup>
	2.000	18.30	08/13/20	21.74	3.44 W	0.00	171 of 203 - Sale <sup>25</sup>
	2.000	18.30	08/13/20	30.70	12.40 W	0.00	172 of 203 - Sale <sup>25</sup>
	2.000	18.30	08/13/20	26.10	7.80 W	0.00	173 of 203 - Sale <sup>25</sup>
	3.000	27.45	08/13/20	46.04	18.59 W	0.00	174 of 203 - Sale <sup>25</sup>
	4.000	36.60	08/13/20	44.07	7.47 W	0.00	175 of 203 - Sale <sup>25</sup>
	4.000	36.60	08/13/20	44.07	7.47 W	0.00	176 of 203 - Sale <sup>25</sup>
	5.000	45.75	08/13/20	76.78	31.03 W	0.00	177 of 203 - Sale <sup>25</sup>
	5.000	45.75	08/13/20	75.53	29.78 W	0.00	178 of 203 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	7.000	64.05	08/13/20	77.90	13.85 W	0.00	179 of 203 - Sale <sup>25</sup>
	7.000	64.05	08/13/20	76.93	12.88 W	0.00	180 of 203 - Sale <sup>25</sup>
	7.000	64.05	08/13/20	102.29	38.24 W	0.00	181 of 203 - Sale <sup>25</sup>
	8.000	73.20	08/13/20	86.94	13.74 W	0.00	182 of 203 - Sale <sup>25</sup>
	8.000	73.20	08/13/20	89.04	15.84 W	0.00	183 of 203 - Sale <sup>25</sup>
	8.000	73.20	08/13/20	89.36	16.16 W	0.00	184 of 203 - Sale <sup>25</sup>
	9.000	82.35	08/13/20	102.15	19.80 W	0.00	185 of 203 - Sale <sup>25</sup>
	12.000	109.80	08/13/20	133.95	24.15 W	0.00	186 of 203 - Sale <sup>25</sup>
	12.000	109.80	08/13/20	145.77	35.97 W	0.00	187 of 203 - Sale <sup>25</sup>
	18.000	164.69	08/13/20	199.82	35.13 W	0.00	188 of 203 - Sale <sup>25</sup>
	19.000	173.84	08/13/20	201.93	28.09 W	0.00	189 of 203 - Sale <sup>25</sup>
	21.000	192.14	08/13/20	226.14	34.00 W	0.00	190 of 203 - Sale <sup>25</sup>
	21.000	192.14	08/13/20	228.24	36.10 W	0.00	191 of 203 - Sale <sup>25</sup>
	210.000	1,921.42	08/13/20	1,959.32	37.90 W	0.00	192 of 203 - Sale <sup>25</sup>
	131.000	1,198.60	08/13/20	1,210.44	11.84 W	0.00	193 of 203 - Sale <sup>25</sup>
	100.000	914.96	08/13/20	924.00	9.04 W	0.00	194 of 203 - Sale <sup>25</sup>
	44.000	402.58	08/13/20	406.56	3.98 W	0.00	195 of 203 - Sale <sup>25</sup>
	69.000	631.33	08/13/20	637.56	6.23 W	0.00	196 of 203 - Sale <sup>25</sup>
	1.000	9.15	08/13/20	11.60	2.45 W	0.00	197 of 203 - Sale <sup>25</sup>
	4.000	36.60	08/13/20	47.23	10.63 W	0.00	198 of 203 - Sale <sup>25</sup>
	7.000	64.05	08/13/20	81.32	17.27 W	0.00	199 of 203 - Sale <sup>25</sup>
	9.000	82.35	08/13/20	106.28	23.93 W	0.00	200 of 203 - Sale <sup>25</sup>
	9.000	82.35	08/13/20	106.46	24.11 W	0.00	201 of 203 - Sale <sup>25</sup>
	290.000	2,653.39	08/13/20	2,705.73	52.34 W	0.00	202 of 203 - Sale <sup>25</sup>
	126.000	1,152.85	08/13/20	1,164.24	11.39 W	0.00	203 of 203 - Sale <sup>25</sup>
08/13/20	3,500.000	32,114.03	Various	36,919.67	4,805.64 W	0.00	Total of 203 transactions
	97 transactions for 08/17/20. Total proceeds and cost reported to the IRS.						
	2.000	15.56	08/17/20	20.71	5.15 W	0.00	1 of 97 - Sale <sup>25</sup>
	7.000	54.46	08/17/20	73.69	19.23 W	0.00	2 of 97 - Sale <sup>25</sup>
	8.000	62.24	08/17/20	83.26	21.02 W	0.00	3 of 97 - Sale <sup>25</sup>
	10.000	77.80	08/17/20	105.17	27.37 W	0.00	4 of 97 - Sale <sup>25</sup>
	12.000	93.36	08/17/20	125.14	31.78 W	0.00	5 of 97 - Sale <sup>25</sup>
	12.000	93.36	08/17/20	125.15	31.79 W	0.00	6 of 97 - Sale <sup>25</sup>
	22.000	171.16	08/17/20	228.99	57.83 W	0.00	7 of 97 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	27.000	210.06	08/17/20	281.85	71.79 W	0.00	8 of 97 - Sale <sup>25</sup>
	20.000	155.60	08/17/20	208.13	52.53 W	0.00	9 of 97 - Sale <sup>25</sup>
	1.000	7.78	08/17/20	12.13	4.35 W	0.00	10 of 97 - Sale <sup>25</sup>
	1.000	7.78	08/17/20	12.04	4.26 W	0.00	11 of 97 - Sale <sup>25</sup>
	1.000	7.78	08/17/20	12.05	4.27 W	0.00	12 of 97 - Sale <sup>25</sup>
	1.000	7.78	08/17/20	13.39	5.61 W	0.00	13 of 97 - Sale <sup>25</sup>
	1.000	7.78	08/17/20	9.34	1.56 W	0.00	14 of 97 - Sale <sup>25</sup>
	2.000	15.56	08/17/20	40.38	24.82 W	0.00	15 of 97 - Sale <sup>25</sup>
	2.000	15.56	08/17/20	24.29	8.73 W	0.00	16 of 97 - Sale <sup>25</sup>
	2.000	15.56	08/17/20	24.26	8.70 W	0.00	17 of 97 - Sale <sup>25</sup>
	2.000	15.56	08/17/20	24.08	8.52 W	0.00	18 of 97 - Sale <sup>25</sup>
	2.000	15.56	08/17/20	20.29	4.73 W	0.00	19 of 97 - Sale <sup>25</sup>
	2.000	15.56	08/17/20	19.63	4.07 W	0.00	20 of 97 - Sale <sup>25</sup>
	3.000	23.34	08/17/20	32.59	9.25 W	0.00	21 of 97 - Sale <sup>25</sup>
	3.000	23.34	08/17/20	36.12	12.78 W	0.00	22 of 97 - Sale <sup>25</sup>
	4.000	31.12	08/17/20	53.58	22.46 W	0.00	23 of 97 - Sale <sup>25</sup>
	4.000	31.12	08/17/20	40.59	9.47 W	0.00	24 of 97 - Sale <sup>25</sup>
	5.000	38.90	08/17/20	53.16	14.26 W	0.00	25 of 97 - Sale <sup>25</sup>
	8.000	62.24	08/17/20	69.07	6.83 W	0.00	26 of 97 - Sale <sup>25</sup>
	9.000	70.02	08/17/20	92.87	22.85 W	0.00	27 of 97 - Sale <sup>25</sup>
	9.000	70.02	08/17/20	84.07	14.05 W	0.00	28 of 97 - Sale <sup>25</sup>
	13.000	101.14	08/17/20	127.08	25.94 W	0.00	29 of 97 - Sale <sup>25</sup>
	13.000	101.14	08/17/20	127.74	26.60 W	0.00	30 of 97 - Sale <sup>25</sup>
	21.000	163.38	08/17/20	216.71	53.33 W	0.00	31 of 97 - Sale <sup>25</sup>
	22.000	171.15	08/17/20	205.51	34.36 W	0.00	32 of 97 - Sale <sup>25</sup>
	27.000	210.06	08/17/20	274.04	63.98 W	0.00	33 of 97 - Sale <sup>25</sup>
	27.000	210.06	08/17/20	265.33	55.27 W	0.00	34 of 97 - Sale <sup>25</sup>
	45.000	350.09	08/17/20	441.73	91.64 W	0.00	35 of 97 - Sale <sup>25</sup>
	1.000	7.78	08/17/20	9.56	1.78 W	0.00	36 of 97 - Sale <sup>25</sup>
	1.000	7.78	08/17/20	9.56	1.78 W	0.00	37 of 97 - Sale <sup>25</sup>
	2.000	15.56	08/17/20	18.73	3.17 W	0.00	38 of 97 - Sale <sup>25</sup>
	2.000	15.56	08/17/20	19.67	4.11 W	0.00	39 of 97 - Sale <sup>25</sup>
	2.000	15.56	08/17/20	19.76	4.20 W	0.00	40 of 97 - Sale <sup>25</sup>
	2.000	15.56	08/17/20	19.76	4.20 W	0.00	41 of 97 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	6.000	46.68	08/17/20	56.19	9.51 W	0.00	42 of 97 - Sale <sup>25</sup>
	7.000	54.46	08/17/20	69.09	14.63 W	0.00	43 of 97 - Sale <sup>25</sup>
	10.000	77.80	08/17/20	95.56	17.76 W	0.00	44 of 97 - Sale <sup>25</sup>
	18.000	140.04	08/17/20	168.15	28.11 W	0.00	45 of 97 - Sale <sup>25</sup>
	19.000	147.81	08/17/20	187.80	39.99 W	0.00	46 of 97 - Sale <sup>25</sup>
	21.000	163.37	08/17/20	196.72	33.35 W	0.00	47 of 97 - Sale <sup>25</sup>
	29.000	225.62	08/17/20	271.33	45.71 W	0.00	48 of 97 - Sale <sup>25</sup>
	30.000	233.39	08/17/20	286.69	53.30 W	0.00	49 of 97 - Sale <sup>25</sup>
	1.000	7.80	08/17/20	10.02	2.22 W	0.00	50 of 97 - Sale <sup>25</sup>
	3.000	23.40	08/17/20	29.51	6.11 W	0.00	51 of 97 - Sale <sup>25</sup>
	5.000	39.00	08/17/20	47.27	8.27 W	0.00	52 of 97 - Sale <sup>25</sup>
	9.000	70.20	08/17/20	84.46	14.26 W	0.00	53 of 97 - Sale <sup>25</sup>
	16.000	124.80	08/17/20	157.52	32.72 W	0.00	54 of 97 - Sale <sup>25</sup>
	20.000	155.99	08/17/20	196.88	40.89 W	0.00	55 of 97 - Sale <sup>25</sup>
	22.000	171.59	08/17/20	225.69	54.10 W	0.00	56 of 97 - Sale <sup>25</sup>
	24.000	187.19	08/17/20	236.03	48.84 W	0.00	57 of 97 - Sale <sup>25</sup>
	1.000	7.80	08/17/20	10.11	2.31 W	0.00	58 of 97 - Sale <sup>25</sup>
	1.000	7.80	08/17/20	9.93	2.13 W	0.00	59 of 97 - Sale <sup>25</sup>
	1.000	7.80	08/17/20	14.12	6.32 W	0.00	60 of 97 - Sale <sup>25</sup>
	1.000	7.80	08/17/20	14.12	6.32 W	0.00	61 of 97 - Sale <sup>25</sup>
	1.000	7.80	08/17/20	14.12	6.32 W	0.00	62 of 97 - Sale <sup>25</sup>
	2.000	15.60	08/17/20	20.24	4.64 W	0.00	63 of 97 - Sale <sup>25</sup>
	2.000	15.60	08/17/20	18.89	3.29 W	0.00	64 of 97 - Sale <sup>25</sup>
	2.000	15.60	08/17/20	19.28	3.68 W	0.00	65 of 97 - Sale <sup>25</sup>
	2.000	15.60	08/17/20	28.24	12.64 W	0.00	66 of 97 - Sale <sup>25</sup>
	2.000	15.60	08/17/20	23.64	8.04 W	0.00	67 of 97 - Sale <sup>25</sup>
	3.000	23.40	08/17/20	42.35	18.95 W	0.00	68 of 97 - Sale <sup>25</sup>
	4.000	31.20	08/17/20	39.15	7.95 W	0.00	69 of 97 - Sale <sup>25</sup>
	4.000	31.20	08/17/20	39.15	7.95 W	0.00	70 of 97 - Sale <sup>25</sup>
	5.000	39.00	08/17/20	70.63	31.63 W	0.00	71 of 97 - Sale <sup>25</sup>
	5.000	39.00	08/17/20	69.38	30.38 W	0.00	72 of 97 - Sale <sup>25</sup>
	7.000	54.60	08/17/20	69.29	14.69 W	0.00	73 of 97 - Sale <sup>25</sup>
	7.000	54.60	08/17/20	68.32	13.72 W	0.00	74 of 97 - Sale <sup>25</sup>
	7.000	54.60	08/17/20	93.68	39.08 W	0.00	75 of 97 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	8.000	62.40	08/17/20	82.23	19.83 W	0.00	76 of 97 - Sale <sup>25</sup>
	8.000	62.40	08/17/20	77.10	14.70 W	0.00	77 of 97 - Sale <sup>25</sup>
	8.000	62.40	08/17/20	79.20	16.80 W	0.00	78 of 97 - Sale <sup>25</sup>
	8.000	62.40	08/17/20	79.52	17.12 W	0.00	79 of 97 - Sale <sup>25</sup>
	9.000	70.20	08/17/20	92.51	22.31 W	0.00	80 of 97 - Sale <sup>25</sup>
	9.000	70.20	08/17/20	103.06	32.86 W	0.00	81 of 97 - Sale <sup>25</sup>
	9.000	70.20	08/17/20	91.08	20.88 W	0.00	82 of 97 - Sale <sup>25</sup>
	10.000	78.00	08/17/20	102.98	24.98 W	0.00	83 of 97 - Sale <sup>25</sup>
	12.000	93.60	08/17/20	119.19	25.59 W	0.00	84 of 97 - Sale <sup>25</sup>
	12.000	93.59	08/17/20	131.00	37.41 W	0.00	85 of 97 - Sale <sup>25</sup>
	14.000	109.20	08/17/20	144.20	35.00 W	0.00	86 of 97 - Sale <sup>25</sup>
	15.000	117.00	08/17/20	154.49	37.49 W	0.00	87 of 97 - Sale <sup>25</sup>
	18.000	140.39	08/17/20	177.68	37.29 W	0.00	88 of 97 - Sale <sup>25</sup>
	19.000	148.19	08/17/20	178.57	30.38 W	0.00	89 of 97 - Sale <sup>25</sup>
	20.000	155.99	08/17/20	221.70	65.71 W	0.00	90 of 97 - Sale <sup>25</sup>
	21.000	163.79	08/17/20	216.30	52.51 W	0.00	91 of 97 - Sale <sup>25</sup>
	21.000	163.79	08/17/20	235.27	71.48 W	0.00	92 of 97 - Sale <sup>25</sup>
	21.000	163.79	08/17/20	200.32	36.53 W	0.00	93 of 97 - Sale <sup>25</sup>
	21.000	163.79	08/17/20	202.41	38.62 W	0.00	94 of 97 - Sale <sup>25</sup>
	25.000	194.99	08/17/20	286.33	91.34 W	0.00	95 of 97 - Sale <sup>25</sup>
	25.000	194.99	08/17/20	286.33	91.34 W	0.00	96 of 97 - Sale <sup>25</sup>
	30.000	233.98	08/17/20	243.00	9.02 W	0.00	97 of 97 - Sale <sup>25</sup>
08/17/20	1,000.000	7,789.80	Various	10,169.22	2,379.42 W	0.00	Total of 97 transactions
	146 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	1.000	9.80	08/18/20	9.79	...	0.01	1 of 146 - Sale <sup>25</sup>
	8.000	78.40	08/18/20	78.38	...	0.02	2 of 146 - Sale <sup>25</sup>
	12.000	117.60	08/18/20	117.49	...	0.11	3 of 146 - Sale <sup>25</sup>
	2.000	19.00	08/18/20	18.83	...	0.17	4 of 146 - Sale <sup>25</sup>
	7.000	68.60	08/18/20	68.30	...	0.30	5 of 146 - Sale <sup>25</sup>
	4.000	38.00	08/18/20	37.66	...	0.34	6 of 146 - Sale <sup>25</sup>
	8.000	78.40	08/18/20	78.06	...	0.34	7 of 146 - Sale <sup>25</sup>
	1.000	9.80	08/18/20	9.43	...	0.37	8 of 146 - Sale <sup>25</sup>
	1.000	9.80	08/18/20	9.36	...	0.44	9 of 146 - Sale <sup>25</sup>
	1.000	9.80	08/18/20	9.27	...	0.53	10 of 146 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	7.000	68.60	08/18/20	68.05	...	0.55	11 of 146 - Sale <sup>25</sup>
	2.000	19.60	08/18/20	19.00	...	0.60	12 of 146 - Sale <sup>25</sup>
	4.000	39.20	08/18/20	38.58	...	0.62	13 of 146 - Sale <sup>25</sup>
	4.000	39.20	08/18/20	38.58	...	0.62	14 of 146 - Sale <sup>25</sup>
	1.000	9.80	08/18/20	9.09	...	0.71	15 of 146 - Sale <sup>25</sup>
	2.000	19.60	08/18/20	18.74	...	0.86	16 of 146 - Sale <sup>25</sup>
	9.000	88.20	08/18/20	87.29	...	0.91	17 of 146 - Sale <sup>25</sup>
	1.000	9.80	08/18/20	8.83	...	0.97	18 of 146 - Sale <sup>25</sup>
	1.000	9.80	08/18/20	8.83	...	0.97	19 of 146 - Sale <sup>25</sup>
	2.000	19.60	08/18/20	18.61	...	0.99	20 of 146 - Sale <sup>25</sup>
	1.000	9.80	08/18/20	8.61	...	1.19	21 of 146 - Sale <sup>25</sup>
	18.000	176.39	08/18/20	175.13	...	1.26	22 of 146 - Sale <sup>25</sup>
	7.000	68.60	08/18/20	67.33	...	1.27	23 of 146 - Sale <sup>25</sup>
	2.000	19.60	08/18/20	18.30	...	1.30	24 of 146 - Sale <sup>25</sup>
	2.000	19.60	08/18/20	18.30	...	1.30	25 of 146 - Sale <sup>25</sup>
	2.000	19.60	08/18/20	18.21	...	1.39	26 of 146 - Sale <sup>25</sup>
	2.000	19.60	08/18/20	18.17	...	1.43	27 of 146 - Sale <sup>25</sup>
	1.000	9.80	08/18/20	8.33	...	1.47	28 of 146 - Sale <sup>25</sup>
	4.000	39.20	08/18/20	37.73	...	1.47	29 of 146 - Sale <sup>25</sup>
	1.000	9.80	08/18/20	8.17	...	1.63	30 of 146 - Sale <sup>25</sup>
	1.000	9.80	08/18/20	8.10	...	1.70	31 of 146 - Sale <sup>25</sup>
	1.000	9.80	08/18/20	8.09	...	1.71	32 of 146 - Sale <sup>25</sup>
	1.000	9.80	08/18/20	8.08	...	1.72	33 of 146 - Sale <sup>25</sup>
	3.000	29.40	08/18/20	27.25	...	2.15	34 of 146 - Sale <sup>25</sup>
	8.000	78.40	08/18/20	76.23	...	2.17	35 of 146 - Sale <sup>25</sup>
	27.000	256.49	08/18/20	254.29	...	2.20	36 of 146 - Sale <sup>25</sup>
	2.000	19.60	08/18/20	17.27	...	2.33	37 of 146 - Sale <sup>25</sup>
	9.000	88.20	08/18/20	85.76	...	2.44	38 of 146 - Sale <sup>25</sup>
	8.000	78.40	08/18/20	75.96	...	2.44	39 of 146 - Sale <sup>25</sup>
	10.000	98.00	08/18/20	95.48	...	2.52	40 of 146 - Sale <sup>25</sup>
	40.000	283.19	08/18/20	280.42	...	2.77	41 of 146 - Sale <sup>25</sup>
	2.000	19.60	08/18/20	16.37	...	3.23	42 of 146 - Sale <sup>25</sup>
	2.000	19.60	08/18/20	16.34	...	3.26	43 of 146 - Sale <sup>25</sup>
	2.000	19.60	08/18/20	16.32	...	3.28	44 of 146 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	2.000	19.60	08/18/20	16.31	...	3.29	45 of 146 - Sale <sup>25</sup>
	3.000	29.40	08/18/20	25.99	...	3.41	46 of 146 - Sale <sup>25</sup>
	2.000	19.60	08/18/20	16.16	...	3.44	47 of 146 - Sale <sup>25</sup>
	14.000	137.20	08/18/20	133.70	...	3.50	48 of 146 - Sale <sup>25</sup>
	2.000	19.60	08/18/20	16.08	...	3.52	49 of 146 - Sale <sup>25</sup>
	15.000	146.99	08/18/20	143.24	...	3.75	50 of 146 - Sale <sup>25</sup>
	7.000	68.60	08/18/20	63.97	...	4.63	51 of 146 - Sale <sup>25</sup>
	12.000	113.99	08/18/20	109.14	...	4.85	52 of 146 - Sale <sup>25</sup>
	3.000	29.40	08/18/20	24.24	...	5.16	53 of 146 - Sale <sup>25</sup>
	21.000	205.79	08/18/20	200.56	...	5.23	54 of 146 - Sale <sup>25</sup>
	5.000	49.00	08/18/20	43.52	...	5.48	55 of 146 - Sale <sup>25</sup>
	80.000	566.38	08/18/20	560.83	...	5.55	56 of 146 - Sale <sup>25</sup>
	13.000	123.49	08/18/20	117.57	...	5.92	57 of 146 - Sale <sup>25</sup>
	4.000	39.20	08/18/20	33.22	...	5.98	58 of 146 - Sale <sup>25</sup>
	7.000	68.60	08/18/20	62.54	...	6.06	59 of 146 - Sale <sup>25</sup>
	9.000	88.20	08/18/20	81.85	...	6.35	60 of 146 - Sale <sup>25</sup>
	21.000	205.79	08/18/20	199.44	...	6.35	61 of 146 - Sale <sup>25</sup>
	22.000	215.59	08/18/20	209.20	...	6.39	62 of 146 - Sale <sup>25</sup>
	5.000	49.00	08/18/20	42.15	...	6.85	63 of 146 - Sale <sup>25</sup>
	6.000	58.80	08/18/20	51.80	...	7.00	64 of 146 - Sale <sup>25</sup>
	9.000	88.20	08/18/20	80.47	...	7.73	65 of 146 - Sale <sup>25</sup>
	11.000	107.80	08/18/20	100.02	...	7.78	66 of 146 - Sale <sup>25</sup>
	100.000	707.97	08/18/20	699.85	...	8.12	67 of 146 - Sale <sup>25</sup>
	5.000	49.00	08/18/20	40.20	...	8.80	68 of 146 - Sale <sup>25</sup>
	12.000	117.59	08/18/20	108.19	...	9.40	69 of 146 - Sale <sup>25</sup>
	7.000	68.60	08/18/20	58.97	...	9.63	70 of 146 - Sale <sup>25</sup>
	10.000	98.00	08/18/20	88.25	...	9.75	71 of 146 - Sale <sup>25</sup>
	19.000	186.19	08/18/20	175.88	...	10.31	72 of 146 - Sale <sup>25</sup>
	9.000	88.20	08/18/20	77.71	...	10.49	73 of 146 - Sale <sup>25</sup>
	9.000	88.20	08/18/20	77.49	...	10.71	74 of 146 - Sale <sup>25</sup>
	16.000	156.79	08/18/20	145.50	...	11.29	75 of 146 - Sale <sup>25</sup>
	7.000	68.60	08/18/20	56.81	...	11.79	76 of 146 - Sale <sup>25</sup>
	19.000	186.19	08/18/20	173.91	...	12.28	77 of 146 - Sale <sup>25</sup>
	8.000	78.40	08/18/20	65.65	...	12.75	78 of 146 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
9.000		88.20	08/18/20	74.94	...	13.26	79 of 146 - Sale <sup>25</sup>
9.000		88.20	08/18/20	74.76	...	13.44	80 of 146 - Sale <sup>25</sup>
10.000		98.00	08/18/20	84.14	...	13.86	81 of 146 - Sale <sup>25</sup>
21.000		205.79	08/18/20	191.48	...	14.31	82 of 146 - Sale <sup>25</sup>
9.000		88.20	08/18/20	73.06	...	15.14	83 of 146 - Sale <sup>25</sup>
8.000		78.40	08/18/20	63.22	...	15.18	84 of 146 - Sale <sup>25</sup>
18.000		176.39	08/18/20	160.99	...	15.40	85 of 146 - Sale <sup>25</sup>
7.000		66.50	08/18/20	50.66	...	15.84	86 of 146 - Sale <sup>25</sup>
24.000		235.19	08/18/20	218.04	...	17.15	87 of 146 - Sale <sup>25</sup>
11.000		107.79	08/18/20	90.51	...	17.28	88 of 146 - Sale <sup>25</sup>
12.000		117.59	08/18/20	99.91	...	17.68	89 of 146 - Sale <sup>25</sup>
152.000		1,483.47	08/18/20	1,465.34	...	18.13	90 of 146 - Sale <sup>25</sup>
45.000		427.48	08/18/20	408.83	...	18.65	91 of 146 - Sale <sup>25</sup>
27.000		264.59	08/18/20	245.59	...	19.00	92 of 146 - Sale <sup>25</sup>
12.000		117.60	08/18/20	96.36	...	21.24	93 of 146 - Sale <sup>25</sup>
18.000		176.39	08/18/20	154.99	...	21.40	94 of 146 - Sale <sup>25</sup>
25.000		244.99	08/18/20	223.35	...	21.64	95 of 146 - Sale <sup>25</sup>
21.000		205.79	08/18/20	182.37	...	23.42	96 of 146 - Sale <sup>25</sup>
21.000		205.79	08/18/20	181.37	...	24.42	97 of 146 - Sale <sup>25</sup>
20.000		195.99	08/18/20	171.32	...	24.67	98 of 146 - Sale <sup>25</sup>
22.000		215.59	08/18/20	189.43	...	26.16	99 of 146 - Sale <sup>25</sup>
30.000		293.99	08/18/20	264.76	...	29.23	100 of 146 - Sale <sup>25</sup>
20.000		195.99	08/18/20	164.11	...	31.88	101 of 146 - Sale <sup>25</sup>
19.000		186.19	08/18/20	152.76	...	33.43	102 of 146 - Sale <sup>25</sup>
19.000		186.19	08/18/20	152.76	...	33.43	103 of 146 - Sale <sup>25</sup>
29.000		284.19	08/18/20	250.12	...	34.07	104 of 146 - Sale <sup>25</sup>
22.000		215.59	08/18/20	180.57	...	35.02	105 of 146 - Sale <sup>25</sup>
21.000		205.79	08/18/20	170.49	...	35.30	106 of 146 - Sale <sup>25</sup>
27.000		264.59	08/18/20	222.42	...	42.17	107 of 146 - Sale <sup>25</sup>
24.000		235.19	08/18/20	192.96	...	42.23	108 of 146 - Sale <sup>25</sup>
30.000		293.99	08/18/20	238.76	...	55.23	109 of 146 - Sale <sup>25</sup>
33.000		323.39	08/18/20	265.32	...	58.07	110 of 146 - Sale <sup>25</sup>
43.000		421.37	08/18/20	355.92	...	65.45	111 of 146 - Sale <sup>25</sup>
38.000		372.39	08/18/20	305.52	...	66.87	112 of 146 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	42.000	411.58	08/18/20	337.68	...	73.90	113 of 146 - Sale <sup>25</sup>
	43.000	421.38	08/18/20	345.72	...	75.66	114 of 146 - Sale <sup>25</sup>
	50.000	489.98	08/18/20	395.26	...	94.72	115 of 146 - Sale <sup>25</sup>
	44.000	417.98	08/18/20	318.46	...	99.52	116 of 146 - Sale <sup>25</sup>
	57.000	558.58	08/18/20	458.28	...	100.30	117 of 146 - Sale <sup>25</sup>
	58.000	568.38	08/18/20	466.03	...	102.35	118 of 146 - Sale <sup>25</sup>
	62.000	607.58	08/18/20	498.48	...	109.10	119 of 146 - Sale <sup>25</sup>
	66.000	646.77	08/18/20	530.64	...	116.13	120 of 146 - Sale <sup>25</sup>
	66.000	646.78	08/18/20	530.64	...	116.14	121 of 146 - Sale <sup>25</sup>
	1,025.000	10,003.66	08/18/20	9,881.36	...	122.30	122 of 146 - Sale <sup>25</sup>
	76.000	744.77	08/18/20	611.04	...	133.73	123 of 146 - Sale <sup>25</sup>
	81.000	793.76	08/18/20	651.24	...	142.52	124 of 146 - Sale <sup>25</sup>
	81.000	793.76	08/18/20	651.24	...	142.52	125 of 146 - Sale <sup>25</sup>
	81.000	793.77	08/18/20	651.24	...	142.53	126 of 146 - Sale <sup>25</sup>
	81.000	793.77	08/18/20	651.24	...	142.53	127 of 146 - Sale <sup>25</sup>
	81.000	793.77	08/18/20	651.24	...	142.53	128 of 146 - Sale <sup>25</sup>
	81.000	793.77	08/18/20	651.24	...	142.53	129 of 146 - Sale <sup>25</sup>
	81.000	793.77	08/18/20	651.24	...	142.53	130 of 146 - Sale <sup>25</sup>
	81.000	793.77	08/18/20	651.24	...	142.53	131 of 146 - Sale <sup>25</sup>
	86.000	842.77	08/18/20	691.44	...	151.33	132 of 146 - Sale <sup>25</sup>
	69.000	655.48	08/18/20	499.38	...	156.10	133 of 146 - Sale <sup>25</sup>
	88.000	862.37	08/18/20	705.77	...	156.60	134 of 146 - Sale <sup>25</sup>
	95.000	930.97	08/18/20	763.80	...	167.17	135 of 146 - Sale <sup>25</sup>
	100.000	979.97	08/18/20	804.00	...	175.97	136 of 146 - Sale <sup>25</sup>
	100.000	979.97	08/18/20	804.00	...	175.97	137 of 146 - Sale <sup>25</sup>
	100.000	979.97	08/18/20	804.00	...	175.97	138 of 146 - Sale <sup>25</sup>
	100.000	979.97	08/18/20	804.00	...	175.97	139 of 146 - Sale <sup>25</sup>
	100.000	979.96	08/18/20	803.00	...	176.96	140 of 146 - Sale <sup>25</sup>
	124.000	1,215.16	08/18/20	1,016.88	...	198.28	141 of 146 - Sale <sup>25</sup>
	126.000	1,234.76	08/18/20	1,033.29	...	201.47	142 of 146 - Sale <sup>25</sup>
	119.000	1,166.16	08/18/20	956.76	...	209.40	143 of 146 - Sale <sup>25</sup>
	100.000	949.96	08/18/20	723.76	...	226.20	144 of 146 - Sale <sup>25</sup>
	157.000	1,538.55	08/18/20	1,299.54	...	239.01	145 of 146 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
08/18/20	200.000	1,959.93	08/18/20	1,608.00	...	351.93	146 of 146 - Sale <sup>25</sup>
	5,485.000	53,008.73	Various	46,535.08	...	6,473.65	Total of 146 transactions
	223 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	1.000	7.08	08/18/20	9.28	2.20 W	0.00	1 of 223 - Sale <sup>25</sup>
	4.000	28.32	08/18/20	37.95	9.63 W	0.00	2 of 223 - Sale <sup>25</sup>
	7.000	49.56	08/18/20	65.08	15.52 W	0.00	3 of 223 - Sale <sup>25</sup>
	9.000	63.72	08/18/20	85.40	21.68 W	0.00	4 of 223 - Sale <sup>25</sup>
	9.000	63.72	08/18/20	85.58	21.86 W	0.00	5 of 223 - Sale <sup>25</sup>
	250.000	1,769.92	08/18/20	1,831.75	61.83 W	0.00	6 of 223 - Sale <sup>25</sup>
	124.000	877.89	08/18/20	899.05	21.16 W	0.00	7 of 223 - Sale <sup>25</sup>
	126.000	892.04	08/18/20	913.55	21.51 W	0.00	8 of 223 - Sale <sup>25</sup>
	1.000	9.50	08/18/20	9.80	0.30 W	0.00	9 of 223 - Sale <sup>25</sup>
	7.000	66.50	08/18/20	69.26	2.76 W	0.00	10 of 223 - Sale <sup>25</sup>
	10.000	95.00	08/18/20	98.84	3.84 W	0.00	11 of 223 - Sale <sup>25</sup>
	12.000	114.00	08/18/20	117.55	3.55 W	0.00	12 of 223 - Sale <sup>25</sup>
	2.000	19.00	08/18/20	19.25	0.25 W	0.00	13 of 223 - Sale <sup>25</sup>
	8.000	76.00	08/18/20	77.41	1.41 W	0.00	14 of 223 - Sale <sup>25</sup>
	11.000	104.50	08/18/20	106.68	2.18 W	0.00	15 of 223 - Sale <sup>25</sup>
	20.000	189.99	08/18/20	193.50	3.51 W	0.00	16 of 223 - Sale <sup>25</sup>
	22.000	208.99	08/18/20	212.90	3.91 W	0.00	17 of 223 - Sale <sup>25</sup>
	27.000	256.49	08/18/20	262.10	5.61 W	0.00	18 of 223 - Sale <sup>25</sup>
	1.000	9.50	08/18/20	11.40	1.90 W	0.00	19 of 223 - Sale <sup>25</sup>
	1.000	9.50	08/18/20	11.31	1.81 W	0.00	20 of 223 - Sale <sup>25</sup>
	1.000	9.50	08/18/20	11.32	1.82 W	0.00	21 of 223 - Sale <sup>25</sup>
	1.000	9.50	08/18/20	12.66	3.16 W	0.00	22 of 223 - Sale <sup>25</sup>
	2.000	19.00	08/18/20	38.92	19.92 W	0.00	23 of 223 - Sale <sup>25</sup>
	2.000	19.00	08/18/20	22.83	3.83 W	0.00	24 of 223 - Sale <sup>25</sup>
	2.000	19.00	08/18/20	22.80	3.80 W	0.00	25 of 223 - Sale <sup>25</sup>
	2.000	19.00	08/18/20	22.62	3.62 W	0.00	26 of 223 - Sale <sup>25</sup>
	3.000	28.50	08/18/20	30.40	1.90 W	0.00	27 of 223 - Sale <sup>25</sup>
	3.000	28.50	08/18/20	33.93	5.43 W	0.00	28 of 223 - Sale <sup>25</sup>
	4.000	38.00	08/18/20	50.65	12.65 W	0.00	29 of 223 - Sale <sup>25</sup>
	5.000	47.50	08/18/20	49.50	2.00 W	0.00	30 of 223 - Sale <sup>25</sup>
	9.000	85.50	08/18/20	86.29	0.79 W	0.00	31 of 223 - Sale <sup>25</sup>

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(continued)

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	21.000	199.49	08/18/20	201.35	1.86 W	0.00	32 of 223 - Sale <sup>25</sup>
	9.000	88.20	08/18/20	96.31	8.11 W	0.00	33 of 223 - Sale <sup>25</sup>
	20.000	195.99	08/18/20	206.71	10.72 W	0.00	34 of 223 - Sale <sup>25</sup>
	21.000	205.79	08/18/20	219.53	13.74 W	0.00	35 of 223 - Sale <sup>25</sup>
	25.000	244.99	08/18/20	267.59	22.60 W	0.00	36 of 223 - Sale <sup>25</sup>
	25.000	244.99	08/18/20	267.59	22.60 W	0.00	37 of 223 - Sale <sup>25</sup>
	1.000	9.80	08/18/20	9.86	0.06 W	0.00	38 of 223 - Sale <sup>25</sup>
	4.000	39.20	08/18/20	40.26	1.06 W	0.00	39 of 223 - Sale <sup>25</sup>
	7.000	68.60	08/18/20	69.13	0.53 W	0.00	40 of 223 - Sale <sup>25</sup>
	9.000	88.20	08/18/20	90.60	2.40 W	0.00	41 of 223 - Sale <sup>25</sup>
	9.000	88.20	08/18/20	90.78	2.58 W	0.00	42 of 223 - Sale <sup>25</sup>
	1.000	9.80	08/18/20	13.98	4.18 W	0.00	43 of 223 - Sale <sup>25</sup>
	1.000	9.80	08/18/20	13.98	4.18 W	0.00	44 of 223 - Sale <sup>25</sup>
	1.000	9.80	08/18/20	13.98	4.18 W	0.00	45 of 223 - Sale <sup>25</sup>
	2.000	19.60	08/18/20	27.96	8.36 W	0.00	46 of 223 - Sale <sup>25</sup>
	2.000	19.60	08/18/20	23.36	3.76 W	0.00	47 of 223 - Sale <sup>25</sup>
	3.000	29.40	08/18/20	41.92	12.52 W	0.00	48 of 223 - Sale <sup>25</sup>
	5.000	49.00	08/18/20	69.92	20.92 W	0.00	49 of 223 - Sale <sup>25</sup>
	5.000	49.00	08/18/20	68.67	19.67 W	0.00	50 of 223 - Sale <sup>25</sup>
	7.000	68.60	08/18/20	92.69	24.09 W	0.00	51 of 223 - Sale <sup>25</sup>
	12.000	117.59	08/18/20	129.30	11.71 W	0.00	52 of 223 - Sale <sup>25</sup>
	1.000	9.80	08/18/20	9.93	0.13 W	0.00	53 of 223 - Sale <sup>25</sup>
	1.000	9.80	08/18/20	9.84	0.04 W	0.00	54 of 223 - Sale <sup>25</sup>
	1.000	9.80	08/18/20	9.85	0.05 W	0.00	55 of 223 - Sale <sup>25</sup>
	1.000	9.80	08/18/20	11.19	1.39 W	0.00	56 of 223 - Sale <sup>25</sup>
	2.000	19.60	08/18/20	35.98	16.38 W	0.00	57 of 223 - Sale <sup>25</sup>
	2.000	19.60	08/18/20	19.89	0.29 W	0.00	58 of 223 - Sale <sup>25</sup>
	2.000	19.60	08/18/20	19.86	0.26 W	0.00	59 of 223 - Sale <sup>25</sup>
	2.000	19.60	08/18/20	19.68	0.08 W	0.00	60 of 223 - Sale <sup>25</sup>
	3.000	29.40	08/18/20	29.52	0.12 W	0.00	61 of 223 - Sale <sup>25</sup>
	4.000	39.20	08/18/20	44.77	5.57 W	0.00	62 of 223 - Sale <sup>25</sup>
	1.000	9.80	08/18/20	12.22	2.42 W	0.00	63 of 223 - Sale <sup>25</sup>
	1.000	9.80	08/18/20	12.22	2.42 W	0.00	64 of 223 - Sale <sup>25</sup>
	1.000	9.80	08/18/20	12.22	2.42 W	0.00	65 of 223 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	2.000	19.60	08/18/20	24.44	4.84 W	0.00	66 of 223 - Sale <sup>25</sup>
	3.000	29.40	08/18/20	36.64	7.24 W	0.00	67 of 223 - Sale <sup>25</sup>
	4.000	39.20	08/18/20	47.90	8.70 W	0.00	68 of 223 - Sale <sup>25</sup>
	5.000	49.00	08/18/20	61.12	12.12 W	0.00	69 of 223 - Sale <sup>25</sup>
	7.000	68.60	08/18/20	80.37	11.77 W	0.00	70 of 223 - Sale <sup>25</sup>
	1.000	9.80	08/18/20	11.97	2.17 W	0.00	71 of 223 - Sale <sup>25</sup>
	2.000	19.60	08/18/20	19.84	0.24 W	0.00	72 of 223 - Sale <sup>25</sup>
	1.000	9.80	08/18/20	10.46	0.66 W	0.00	73 of 223 - Sale <sup>25</sup>
	1.000	9.80	08/18/20	10.46	0.66 W	0.00	74 of 223 - Sale <sup>25</sup>
	1.000	9.80	08/18/20	10.46	0.66 W	0.00	75 of 223 - Sale <sup>25</sup>
	1.000	9.80	08/18/20	10.21	0.41 W	0.00	76 of 223 - Sale <sup>25</sup>
	2.000	19.60	08/18/20	32.46	12.86 W	0.00	77 of 223 - Sale <sup>25</sup>
	2.000	19.60	08/18/20	20.92	1.32 W	0.00	78 of 223 - Sale <sup>25</sup>
	3.000	29.40	08/18/20	31.36	1.96 W	0.00	79 of 223 - Sale <sup>25</sup>
	4.000	39.20	08/18/20	40.86	1.66 W	0.00	80 of 223 - Sale <sup>25</sup>
	5.000	49.00	08/18/20	52.32	3.32 W	0.00	81 of 223 - Sale <sup>25</sup>
	1.000	10.00	08/18/20	10.86	0.86 W	0.00	82 of 223 - Sale <sup>25</sup>
	1.000	10.00	08/18/20	10.86	0.86 W	0.00	83 of 223 - Sale <sup>25</sup>
	1.000	10.00	08/18/20	10.86	0.86 W	0.00	84 of 223 - Sale <sup>25</sup>
	1.000	10.00	08/18/20	10.61	0.61 W	0.00	85 of 223 - Sale <sup>25</sup>
	2.000	20.00	08/18/20	33.26	13.26 W	0.00	86 of 223 - Sale <sup>25</sup>
	2.000	20.00	08/18/20	21.72	1.72 W	0.00	87 of 223 - Sale <sup>25</sup>
	3.000	30.00	08/18/20	32.56	2.56 W	0.00	88 of 223 - Sale <sup>25</sup>
	4.000	40.00	08/18/20	42.46	2.46 W	0.00	89 of 223 - Sale <sup>25</sup>
	5.000	50.00	08/18/20	54.32	4.32 W	0.00	90 of 223 - Sale <sup>25</sup>
	30.000	300.00	08/18/20	306.00	6.00 W	0.00	91 of 223 - Sale <sup>25</sup>
	1.000	10.00	08/18/20	10.20	0.20 W	0.00	92 of 223 - Sale <sup>25</sup>
	797.000	7,969.72	08/18/20	8,129.40	159.68 W	0.00	93 of 223 - Sale <sup>25</sup>
	1.000	10.00	08/18/20	11.06	1.06 W	0.00	94 of 223 - Sale <sup>25</sup>
	1.000	10.00	08/18/20	11.06	1.06 W	0.00	95 of 223 - Sale <sup>25</sup>
	1.000	10.00	08/18/20	11.06	1.06 W	0.00	96 of 223 - Sale <sup>25</sup>
	1.000	10.00	08/18/20	10.81	0.81 W	0.00	97 of 223 - Sale <sup>25</sup>
	1.000	10.00	08/18/20	10.40	0.40 W	0.00	98 of 223 - Sale <sup>25</sup>
	2.000	20.00	08/18/20	33.66	13.66 W	0.00	99 of 223 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	2.000	20.00	08/18/20	22.12	2.12 W	0.00	100 of 223 - Sale <sup>25</sup>
	3.000	30.00	08/18/20	33.16	3.16 W	0.00	101 of 223 - Sale <sup>25</sup>
	4.000	40.00	08/18/20	43.26	3.26 W	0.00	102 of 223 - Sale <sup>25</sup>
	5.000	50.00	08/18/20	55.32	5.32 W	0.00	103 of 223 - Sale <sup>25</sup>
	30.000	299.99	08/18/20	312.00	12.01 W	0.00	104 of 223 - Sale <sup>25</sup>
	152.000	1,519.94	08/18/20	1,550.40	30.46 W	0.00	105 of 223 - Sale <sup>25</sup>
	797.000	7,969.73	08/18/20	8,289.08	319.35 W	0.00	106 of 223 - Sale <sup>25</sup>
	1,203.000	12,029.58	08/18/20	12,270.60	241.02 W	0.00	107 of 223 - Sale <sup>25</sup>
	50.000	500.00	08/18/20	510.00	10.00 W	0.00	108 of 223 - Sale <sup>25</sup>
	100.000	999.96	08/18/20	1,020.00	20.04 W	0.00	109 of 223 - Sale <sup>25</sup>
	190.000	1,899.93	08/18/20	1,938.00	38.07 W	0.00	110 of 223 - Sale <sup>25</sup>
	25.000	250.00	08/18/20	255.00	5.00 W	0.00	111 of 223 - Sale <sup>25</sup>
	250.000	2,499.91	08/18/20	2,550.00	50.09 W	0.00	112 of 223 - Sale <sup>25</sup>
	19.000	190.00	08/18/20	193.80	3.80 W	0.00	113 of 223 - Sale <sup>25</sup>
	50.000	500.00	08/18/20	510.00	10.00 W	0.00	114 of 223 - Sale <sup>25</sup>
	240.000	2,399.92	08/18/20	2,448.00	48.08 W	0.00	115 of 223 - Sale <sup>25</sup>
	1.000	10.00	08/18/20	11.26	1.26 W	0.00	116 of 223 - Sale <sup>25</sup>
	1.000	10.00	08/18/20	11.26	1.26 W	0.00	117 of 223 - Sale <sup>25</sup>
	1.000	10.00	08/18/20	11.26	1.26 W	0.00	118 of 223 - Sale <sup>25</sup>
	1.000	10.00	08/18/20	11.01	1.01 W	0.00	119 of 223 - Sale <sup>25</sup>
	2.000	20.00	08/18/20	34.06	14.06 W	0.00	120 of 223 - Sale <sup>25</sup>
	2.000	20.00	08/18/20	22.52	2.52 W	0.00	121 of 223 - Sale <sup>25</sup>
	3.000	30.00	08/18/20	33.76	3.76 W	0.00	122 of 223 - Sale <sup>25</sup>
	4.000	40.00	08/18/20	44.06	4.06 W	0.00	123 of 223 - Sale <sup>25</sup>
	5.000	50.00	08/18/20	56.32	6.32 W	0.00	124 of 223 - Sale <sup>25</sup>
	5.000	49.99	08/18/20	53.00	3.01 W	0.00	125 of 223 - Sale <sup>25</sup>
	1.000	9.76	08/18/20	9.84	0.08 W	0.00	126 of 223 - Sale <sup>25</sup>
	25.000	243.99	08/18/20	246.01	2.02 W	0.00	127 of 223 - Sale <sup>25</sup>
	797.000	7,778.45	08/18/20	7,843.03	64.58 W	0.00	128 of 223 - Sale <sup>25</sup>
	19.000	185.43	08/18/20	192.85	7.42 W	0.00	129 of 223 - Sale <sup>25</sup>
	25.000	243.99	08/18/20	253.75	9.76 W	0.00	130 of 223 - Sale <sup>25</sup>
	50.000	487.98	08/18/20	507.50	19.52 W	0.00	131 of 223 - Sale <sup>25</sup>
	50.000	487.98	08/18/20	507.49	19.51 W	0.00	132 of 223 - Sale <sup>25</sup>
	100.000	975.97	08/18/20	1,015.03	39.06 W	0.00	133 of 223 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
138.000		1,346.83	08/18/20	1,400.74	53.91 W	0.00	134 of 223 - Sale <sup>25</sup>
178.000		1,737.22	08/18/20	1,806.74	69.52 W	0.00	135 of 223 - Sale <sup>25</sup>
190.000		1,854.34	08/18/20	1,928.55	74.21 W	0.00	136 of 223 - Sale <sup>25</sup>
250.000		2,439.92	08/18/20	2,537.56	97.64 W	0.00	137 of 223 - Sale <sup>25</sup>
100.000		975.97	08/18/20	990.00	14.03 W	0.00	138 of 223 - Sale <sup>25</sup>
1.000		9.76	08/18/20	11.16	1.40 W	0.00	139 of 223 - Sale <sup>25</sup>
1.000		9.76	08/18/20	11.16	1.40 W	0.00	140 of 223 - Sale <sup>25</sup>
1.000		9.76	08/18/20	11.16	1.40 W	0.00	141 of 223 - Sale <sup>25</sup>
1.000		9.76	08/18/20	10.91	1.15 W	0.00	142 of 223 - Sale <sup>25</sup>
2.000		19.52	08/18/20	33.86	14.34 W	0.00	143 of 223 - Sale <sup>25</sup>
2.000		19.52	08/18/20	22.32	2.80 W	0.00	144 of 223 - Sale <sup>25</sup>
3.000		29.28	08/18/20	33.46	4.18 W	0.00	145 of 223 - Sale <sup>25</sup>
4.000		39.04	08/18/20	43.66	4.62 W	0.00	146 of 223 - Sale <sup>25</sup>
5.000		48.80	08/18/20	55.82	7.02 W	0.00	147 of 223 - Sale <sup>25</sup>
5.000		48.80	08/18/20	52.51	3.71 W	0.00	148 of 223 - Sale <sup>25</sup>
102.000		995.49	08/18/20	1,030.23	34.74 W	0.00	149 of 223 - Sale <sup>25</sup>
23.000		224.47	08/18/20	227.70	3.23 W	0.00	150 of 223 - Sale <sup>25</sup>
477.000		4,655.35	08/18/20	4,722.30	66.95 W	0.00	151 of 223 - Sale <sup>25</sup>
23.000		224.47	08/18/20	227.70	3.23 W	0.00	152 of 223 - Sale <sup>25</sup>
200.000		1,881.93	08/18/20	1,962.21	80.28 W	0.00	153 of 223 - Sale <sup>25</sup>
30.000		282.29	08/18/20	294.33	12.04 W	0.00	154 of 223 - Sale <sup>25</sup>
200.000		1,881.93	08/18/20	1,962.20	80.27 W	0.00	155 of 223 - Sale <sup>25</sup>
40.000		376.39	08/18/20	392.44	16.05 W	0.00	156 of 223 - Sale <sup>25</sup>
70.000		658.68	08/18/20	686.78	28.10 W	0.00	157 of 223 - Sale <sup>25</sup>
23.000		216.42	08/18/20	225.65	9.23 W	0.00	158 of 223 - Sale <sup>25</sup>
177.000		1,665.51	08/18/20	1,791.34	125.83 W	0.00	159 of 223 - Sale <sup>25</sup>
1.000		9.41	08/18/20	9.81	0.40 W	0.00	160 of 223 - Sale <sup>25</sup>
25.000		235.24	08/18/20	245.27	10.03 W	0.00	161 of 223 - Sale <sup>25</sup>
74.000		696.32	08/18/20	726.02	29.70 W	0.00	162 of 223 - Sale <sup>25</sup>
30.000		282.29	08/18/20	294.33	12.04 W	0.00	163 of 223 - Sale <sup>25</sup>
100.000		940.97	08/18/20	981.10	40.13 W	0.00	164 of 223 - Sale <sup>25</sup>
30.000		282.29	08/18/20	294.33	12.04 W	0.00	165 of 223 - Sale <sup>25</sup>
1.000		8.99	08/18/20	9.71	0.72 W	0.00	166 of 223 - Sale <sup>25</sup>
50.000		448.98	08/18/20	485.77	36.79 W	0.00	167 of 223 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	100.000	897.97	08/18/20	971.56	73.59 W	0.00	168 of 223 - Sale <sup>25</sup>
	149.000	1,337.97	08/18/20	1,447.63	109.66 W	0.00	169 of 223 - Sale <sup>25</sup>
	15.000	134.70	08/18/20	145.73	11.03 W	0.00	170 of 223 - Sale <sup>25</sup>
	19.000	170.61	08/18/20	184.60	13.99 W	0.00	171 of 223 - Sale <sup>25</sup>
	25.000	224.49	08/18/20	242.88	18.39 W	0.00	172 of 223 - Sale <sup>25</sup>
	41.000	368.17	08/18/20	398.34	30.17 W	0.00	173 of 223 - Sale <sup>25</sup>
	50.000	448.98	08/18/20	485.76	36.78 W	0.00	174 of 223 - Sale <sup>25</sup>
	250.000	2,244.92	08/18/20	2,428.89	183.97 W	0.00	175 of 223 - Sale <sup>25</sup>
	77.000	691.44	08/18/20	744.26	52.82 W	0.00	176 of 223 - Sale <sup>25</sup>
	123.000	1,104.50	08/18/20	1,195.02	90.52 W	0.00	177 of 223 - Sale <sup>25</sup>
	1.000	8.98	08/18/20	10.73	1.75 W	0.00	178 of 223 - Sale <sup>25</sup>
	1.000	8.98	08/18/20	10.72	1.74 W	0.00	179 of 223 - Sale <sup>25</sup>
	1.000	8.98	08/18/20	10.72	1.74 W	0.00	180 of 223 - Sale <sup>25</sup>
	1.000	8.98	08/18/20	10.47	1.49 W	0.00	181 of 223 - Sale <sup>25</sup>
	2.000	17.96	08/18/20	32.99	15.03 W	0.00	182 of 223 - Sale <sup>25</sup>
	2.000	17.96	08/18/20	21.45	3.49 W	0.00	183 of 223 - Sale <sup>25</sup>
	3.000	26.94	08/18/20	32.16	5.22 W	0.00	184 of 223 - Sale <sup>25</sup>
	4.000	35.92	08/18/20	41.92	6.00 W	0.00	185 of 223 - Sale <sup>25</sup>
	5.000	44.90	08/18/20	53.64	8.74 W	0.00	186 of 223 - Sale <sup>25</sup>
	5.000	44.90	08/18/20	50.34	5.44 W	0.00	187 of 223 - Sale <sup>25</sup>
	23.000	206.53	08/18/20	217.70	11.17 W	0.00	188 of 223 - Sale <sup>25</sup>
	25.000	224.49	08/18/20	241.64	17.15 W	0.00	189 of 223 - Sale <sup>25</sup>
	27.000	242.45	08/18/20	255.57	13.12 W	0.00	190 of 223 - Sale <sup>25</sup>
	20.000	179.59	08/18/20	184.81	5.22 W	0.00	191 of 223 - Sale <sup>25</sup>
	9.000	80.82	08/18/20	83.16	2.34 W	0.00	192 of 223 - Sale <sup>25</sup>
	1.000	8.98	08/18/20	9.50	0.52 W	0.00	193 of 223 - Sale <sup>25</sup>
	23.000	206.53	08/18/20	212.53	6.00 W	0.00	194 of 223 - Sale <sup>25</sup>
	25.000	224.49	08/18/20	237.53	13.04 W	0.00	195 of 223 - Sale <sup>25</sup>
	30.000	269.39	08/18/20	285.04	15.65 W	0.00	196 of 223 - Sale <sup>25</sup>
	74.000	664.50	08/18/20	703.10	38.60 W	0.00	197 of 223 - Sale <sup>25</sup>
	100.000	897.97	08/18/20	924.03	26.06 W	0.00	198 of 223 - Sale <sup>25</sup>
	23.000	206.53	08/18/20	218.53	12.00 W	0.00	199 of 223 - Sale <sup>25</sup>
	421.000	3,780.44	08/18/20	3,890.19	109.75 W	0.00	200 of 223 - Sale <sup>25</sup>
	23.000	206.53	08/18/20	218.53	12.00 W	0.00	201 of 223 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	77.000	691.44	08/18/20	731.60	40.16 W	0.00	202 of 223 - Sale <sup>25</sup>
	7.000	62.86	08/18/20	66.51	3.65 W	0.00	203 of 223 - Sale <sup>25</sup>
	167.000	1,499.60	08/18/20	1,586.73	87.13 W	0.00	204 of 223 - Sale <sup>25</sup>
	30.000	247.52	08/18/20	266.74	19.22 W	0.00	205 of 223 - Sale <sup>25</sup>
	33.000	272.27	08/18/20	293.42	21.15 W	0.00	206 of 223 - Sale <sup>25</sup>
	40.000	330.03	08/18/20	355.65	25.62 W	0.00	207 of 223 - Sale <sup>25</sup>
	38.000	313.52	08/18/20	337.87	24.35 W	0.00	208 of 223 - Sale <sup>25</sup>
	200.000	1,650.14	08/18/20	1,778.25	128.11 W	0.00	209 of 223 - Sale <sup>25</sup>
	1.000	8.25	08/18/20	9.21	0.96 W	0.00	210 of 223 - Sale <sup>25</sup>
	23.000	189.77	08/18/20	204.50	14.73 W	0.00	211 of 223 - Sale <sup>25</sup>
	25.000	206.27	08/18/20	230.64	24.37 W	0.00	212 of 223 - Sale <sup>25</sup>
	41.000	338.28	08/18/20	378.26	39.98 W	0.00	213 of 223 - Sale <sup>25</sup>
	50.000	412.53	08/18/20	461.28	48.75 W	0.00	214 of 223 - Sale <sup>25</sup>
	61.000	503.29	08/18/20	562.77	59.48 W	0.00	215 of 223 - Sale <sup>25</sup>
	32.000	264.02	08/18/20	284.52	20.50 W	0.00	216 of 223 - Sale <sup>25</sup>
	100.000	825.07	08/18/20	922.58	97.51 W	0.00	217 of 223 - Sale <sup>25</sup>
	149.000	1,229.35	08/18/20	1,374.65	145.30 W	0.00	218 of 223 - Sale <sup>25</sup>
	177.000	1,460.37	08/18/20	1,628.54	168.17 W	0.00	219 of 223 - Sale <sup>25</sup>
	100.000	799.47	08/18/20	878.59	79.12 W	0.00	220 of 223 - Sale <sup>25</sup>
	19.000	151.90	08/18/20	166.94	15.04 W	0.00	221 of 223 - Sale <sup>25</sup>
	42.000	335.77	08/18/20	369.00	33.23 W	0.00	222 of 223 - Sale <sup>25</sup>
	89.000	711.53	08/18/20	781.94	70.41 W	0.00	223 of 223 - Sale <sup>25</sup>
08/18/20	11,765.000	110,465.32	Various	115,320.59	4,855.27 W	0.00	Total of 223 transactions
	24 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	8.000	64.00	08/19/20	71.24	7.24 W	0.00	1 of 24 - Sale <sup>25</sup>
	15.000	120.00	08/19/20	133.58	13.58 W	0.00	2 of 24 - Sale <sup>25</sup>
	22.000	176.00	08/19/20	195.93	19.93 W	0.00	3 of 24 - Sale <sup>25</sup>
	10.000	80.00	08/19/20	89.06	9.06 W	0.00	4 of 24 - Sale <sup>25</sup>
	1.000	8.00	08/19/20	9.92	1.92 W	0.00	5 of 24 - Sale <sup>25</sup>
	1.000	8.00	08/19/20	9.91	1.91 W	0.00	6 of 24 - Sale <sup>25</sup>
	1.000	8.00	08/19/20	9.91	1.91 W	0.00	7 of 24 - Sale <sup>25</sup>
	1.000	8.00	08/19/20	9.66	1.66 W	0.00	8 of 24 - Sale <sup>25</sup>
	2.000	16.00	08/19/20	31.37	15.37 W	0.00	9 of 24 - Sale <sup>25</sup>
	2.000	16.00	08/19/20	19.83	3.83 W	0.00	10 of 24 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	3.000	24.00	08/19/20	29.73	5.73 W	0.00	11 of 24 - Sale <sup>25</sup>
	4.000	32.00	08/19/20	38.68	6.68 W	0.00	12 of 24 - Sale <sup>25</sup>
	5.000	40.00	08/19/20	49.59	9.59 W	0.00	13 of 24 - Sale <sup>25</sup>
	5.000	40.00	08/19/20	46.29	6.29 W	0.00	14 of 24 - Sale <sup>25</sup>
	12.000	96.00	08/19/20	103.86	7.86 W	0.00	15 of 24 - Sale <sup>25</sup>
	25.000	199.99	08/19/20	221.40	21.41 W	0.00	16 of 24 - Sale <sup>25</sup>
	77.000	615.98	08/19/20	681.89	65.91 W	0.00	17 of 24 - Sale <sup>25</sup>
	91.000	727.97	08/19/20	810.41	82.44 W	0.00	18 of 24 - Sale <sup>25</sup>
	9.000	72.00	08/19/20	75.87	3.87 W	0.00	19 of 24 - Sale <sup>25</sup>
	11.000	87.99	08/19/20	95.21	7.22 W	0.00	20 of 24 - Sale <sup>25</sup>
	20.000	159.99	08/19/20	168.62	8.63 W	0.00	21 of 24 - Sale <sup>25</sup>
	27.000	215.99	08/19/20	233.71	17.72 W	0.00	22 of 24 - Sale <sup>25</sup>
	33.000	263.98	08/19/20	278.21	14.23 W	0.00	23 of 24 - Sale <sup>25</sup>
	10.000	80.00	08/19/20	84.10	4.10 W	0.00	24 of 24 - Sale <sup>25</sup>
08/19/20	395.000	3,159.89	Various	3,497.98	338.09 W	0.00	Total of 24 transactions
16 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	100.000	781.23	08/20/20	814.67	33.44 W	0.00	1 of 16 - Sale <sup>25</sup>
	50.000	371.34	08/20/20	407.33	35.99 W	0.00	2 of 16 - Sale <sup>25</sup>
	150.000	1,114.02	08/20/20	1,222.01	107.99 W	0.00	3 of 16 - Sale <sup>25</sup>
	7.000	51.66	08/20/20	53.62	1.96 W	0.00	4 of 16 - Sale <sup>25</sup>
	1.000	7.29	08/20/20	7.82	0.53 W	0.00	5 of 16 - Sale <sup>25</sup>
	7.000	51.05	08/20/20	54.75	3.70 W	0.00	6 of 16 - Sale <sup>25</sup>
	23.000	167.72	08/20/20	173.90	6.18 W	0.00	7 of 16 - Sale <sup>25</sup>
	23.000	167.72	08/20/20	179.90	12.18 W	0.00	8 of 16 - Sale <sup>25</sup>
	23.000	167.72	08/20/20	179.90	12.18 W	0.00	9 of 16 - Sale <sup>25</sup>
	25.000	182.31	08/20/20	195.54	13.23 W	0.00	10 of 16 - Sale <sup>25</sup>
	30.000	218.77	08/20/20	234.65	15.88 W	0.00	11 of 16 - Sale <sup>25</sup>
	46.000	335.45	08/20/20	359.79	24.34 W	0.00	12 of 16 - Sale <sup>25</sup>
	71.000	517.75	08/20/20	536.80	19.05 W	0.00	13 of 16 - Sale <sup>25</sup>
	74.000	539.63	08/20/20	578.79	39.16 W	0.00	14 of 16 - Sale <sup>25</sup>
	77.000	561.51	08/20/20	602.25	40.74 W	0.00	15 of 16 - Sale <sup>25</sup>
	100.000	729.23	08/20/20	756.05	26.82 W	0.00	16 of 16 - Sale <sup>25</sup>
08/20/20	807.000	5,964.40	Various	6,357.77	393.37 W	0.00	Total of 16 transactions
08/21/20	1.000	7.82	08/21/20	7.82	...	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
<i>338 transactions for 08/21/20. Total proceeds and cost reported to the IRS.</i>							
100.000		661.97	08/21/20	714.17	52.20 W	0.00	1 of 338 - Sale <sup>25</sup>
30.000		198.59	08/21/20	217.82	19.23 W	0.00	2 of 338 - Sale <sup>25</sup>
33.000		218.45	08/21/20	239.61	21.16 W	0.00	3 of 338 - Sale <sup>25</sup>
21.000		139.01	08/21/20	149.97	10.96 W	0.00	4 of 338 - Sale <sup>25</sup>
16.000		105.92	08/21/20	116.17	10.25 W	0.00	5 of 338 - Sale <sup>25</sup>
145.000		959.85	08/21/20	1,052.76	92.91 W	0.00	6 of 338 - Sale <sup>25</sup>
1.000		6.61	08/21/20	7.58	0.97 W	0.00	7 of 338 - Sale <sup>25</sup>
6.000		39.66	08/21/20	45.57	5.91 W	0.00	8 of 338 - Sale <sup>25</sup>
23.000		152.02	08/21/20	166.99	14.97 W	0.00	9 of 338 - Sale <sup>25</sup>
32.000		211.51	08/21/20	232.34	20.83 W	0.00	10 of 338 - Sale <sup>25</sup>
38.000		251.17	08/21/20	275.91	24.74 W	0.00	11 of 338 - Sale <sup>25</sup>
40.000		264.39	08/21/20	290.42	26.03 W	0.00	12 of 338 - Sale <sup>25</sup>
50.000		330.49	08/21/20	379.74	49.25 W	0.00	13 of 338 - Sale <sup>25</sup>
100.000		660.97	08/21/20	759.50	98.53 W	0.00	14 of 338 - Sale <sup>25</sup>
149.000		984.85	08/21/20	1,131.66	146.81 W	0.00	15 of 338 - Sale <sup>25</sup>
177.000		1,169.92	08/21/20	1,339.88	169.96 W	0.00	16 of 338 - Sale <sup>25</sup>
39.000		257.78	08/21/20	283.16	25.38 W	0.00	17 of 338 - Sale <sup>25</sup>
19.000		148.57	08/21/20	149.96	1.39 W	0.00	18 of 338 - Sale <sup>25</sup>
4.000		31.28	08/21/20	31.61	0.33 W	0.00	19 of 338 - Sale <sup>25</sup>
11.000		86.02	08/21/20	94.32	8.30 W	0.00	20 of 338 - Sale <sup>25</sup>
25.000		195.49	08/21/20	214.37	18.88 W	0.00	21 of 338 - Sale <sup>25</sup>
61.000		477.00	08/21/20	523.08	46.08 W	0.00	22 of 338 - Sale <sup>25</sup>
79.000		617.76	08/21/20	662.90	45.14 W	0.00	23 of 338 - Sale <sup>25</sup>
1.000		7.83	08/21/20	8.39	0.56 W	0.00	24 of 338 - Sale <sup>25</sup>
4.000		31.20	08/21/20	33.57	2.37 W	0.00	25 of 338 - Sale <sup>25</sup>
89.000		694.18	08/21/20	746.81	52.63 W	0.00	26 of 338 - Sale <sup>25</sup>
20.000		155.99	08/21/20	167.83	11.84 W	0.00	27 of 338 - Sale <sup>25</sup>
15.000		116.99	08/21/20	125.74	8.75 W	0.00	28 of 338 - Sale <sup>25</sup>
37.000		288.59	08/21/20	310.15	21.56 W	0.00	29 of 338 - Sale <sup>25</sup>
8.000		62.40	08/21/20	67.97	5.57 W	0.00	30 of 338 - Sale <sup>25</sup>
10.000		78.00	08/21/20	84.97	6.97 W	0.00	31 of 338 - Sale <sup>25</sup>
15.000		116.99	08/21/20	127.45	10.46 W	0.00	32 of 338 - Sale <sup>25</sup>
22.000		171.59	08/21/20	186.94	15.35 W	0.00	33 of 338 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	5.000	39.00	08/21/20	41.91	2.91 W	0.00	34 of 338 - Sale <sup>25</sup>
	40.000	311.99	08/21/20	339.89	27.90 W	0.00	35 of 338 - Sale <sup>25</sup>
	7.000	54.60	08/21/20	59.13	4.53 W	0.00	36 of 338 - Sale <sup>25</sup>
	51.000	397.78	08/21/20	433.36	35.58 W	0.00	37 of 338 - Sale <sup>25</sup>
	5.000	39.00	08/21/20	42.98	3.98 W	0.00	38 of 338 - Sale <sup>25</sup>
	70.000	545.98	08/21/20	601.72	55.74 W	0.00	39 of 338 - Sale <sup>25</sup>
	2.000	15.60	08/21/20	30.85	15.25 W	0.00	40 of 338 - Sale <sup>25</sup>
	3.000	23.40	08/21/20	28.97	5.57 W	0.00	41 of 338 - Sale <sup>25</sup>
	20.000	155.99	08/21/20	171.93	15.94 W	0.00	42 of 338 - Sale <sup>25</sup>
	1.000	7.42	08/21/20	9.67	2.25 W	0.00	43 of 338 - Sale <sup>25</sup>
	4.000	29.68	08/21/20	38.72	9.04 W	0.00	44 of 338 - Sale <sup>25</sup>
	5.000	37.10	08/21/20	46.34	9.24 W	0.00	45 of 338 - Sale <sup>25</sup>
	9.000	66.78	08/21/20	75.96	9.18 W	0.00	46 of 338 - Sale <sup>25</sup>
	10.000	74.20	08/21/20	84.20	10.00 W	0.00	47 of 338 - Sale <sup>25</sup>
	11.000	81.62	08/21/20	95.33	13.71 W	0.00	48 of 338 - Sale <sup>25</sup>
	12.000	89.04	08/21/20	103.98	14.94 W	0.00	49 of 338 - Sale <sup>25</sup>
	20.000	148.40	08/21/20	168.83	20.43 W	0.00	50 of 338 - Sale <sup>25</sup>
	27.000	200.33	08/21/20	233.99	33.66 W	0.00	51 of 338 - Sale <sup>25</sup>
	33.000	244.85	08/21/20	278.56	33.71 W	0.00	52 of 338 - Sale <sup>25</sup>
	58.000	430.34	08/21/20	483.98	53.64 W	0.00	53 of 338 - Sale <sup>25</sup>
	1.000	7.42	08/21/20	9.93	2.51 W	0.00	54 of 338 - Sale <sup>25</sup>
	1.000	7.42	08/21/20	9.92	2.50 W	0.00	55 of 338 - Sale <sup>25</sup>
	2.000	14.84	08/21/20	19.86	5.02 W	0.00	56 of 338 - Sale <sup>25</sup>
	2.000	14.84	08/21/20	19.85	5.01 W	0.00	57 of 338 - Sale <sup>25</sup>
	1.000	7.42	08/21/20	9.92	2.50 W	0.00	58 of 338 - Sale <sup>25</sup>
	3.000	22.26	08/21/20	29.76	7.50 W	0.00	59 of 338 - Sale <sup>25</sup>
	50.000	370.99	08/21/20	436.49	65.50 W	0.00	60 of 338 - Sale <sup>25</sup>
	42.000	311.63	08/21/20	350.46	38.83 W	0.00	61 of 338 - Sale <sup>25</sup>
	8.000	59.36	08/21/20	69.84	10.48 W	0.00	62 of 338 - Sale <sup>25</sup>
	100.000	741.98	08/21/20	872.99	131.01 W	0.00	63 of 338 - Sale <sup>25</sup>
	7.000	51.94	08/21/20	58.03	6.09 W	0.00	64 of 338 - Sale <sup>25</sup>
	16.000	118.72	08/21/20	132.45	13.73 W	0.00	65 of 338 - Sale <sup>25</sup>
	23.000	170.65	08/21/20	190.41	19.76 W	0.00	66 of 338 - Sale <sup>25</sup>
	71.000	526.81	08/21/20	587.76	60.95 W	0.00	67 of 338 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	42.000	311.63	08/21/20	366.66	55.03 W	0.00	68 of 338 - Sale <sup>25</sup>
	10.000	74.20	08/21/20	82.78	8.58 W	0.00	69 of 338 - Sale <sup>25</sup>
	11.000	81.62	08/21/20	91.06	9.44 W	0.00	70 of 338 - Sale <sup>25</sup>
	20.000	148.39	08/21/20	165.56	17.17 W	0.00	71 of 338 - Sale <sup>25</sup>
	24.000	178.08	08/21/20	198.68	20.60 W	0.00	72 of 338 - Sale <sup>25</sup>
	17.000	126.13	08/21/20	140.73	14.60 W	0.00	73 of 338 - Sale <sup>25</sup>
	2.000	14.84	08/21/20	16.56	1.72 W	0.00	74 of 338 - Sale <sup>25</sup>
	8.000	59.36	08/21/20	68.31	8.95 W	0.00	75 of 338 - Sale <sup>25</sup>
	1.000	7.42	08/21/20	8.54	1.12 W	0.00	76 of 338 - Sale <sup>25</sup>
	17.000	126.14	08/21/20	145.17	19.03 W	0.00	77 of 338 - Sale <sup>25</sup>
	23.000	170.65	08/21/20	196.41	25.76 W	0.00	78 of 338 - Sale <sup>25</sup>
	30.000	222.59	08/21/20	256.18	33.59 W	0.00	79 of 338 - Sale <sup>25</sup>
	74.000	549.06	08/21/20	631.90	82.84 W	0.00	80 of 338 - Sale <sup>25</sup>
	28.000	207.75	08/21/20	239.09	31.34 W	0.00	81 of 338 - Sale <sup>25</sup>
	7.000	51.94	08/21/20	59.77	7.83 W	0.00	82 of 338 - Sale <sup>25</sup>
	23.000	170.65	08/21/20	196.41	25.76 W	0.00	83 of 338 - Sale <sup>25</sup>
	21.000	155.81	08/21/20	179.32	23.51 W	0.00	84 of 338 - Sale <sup>25</sup>
	49.000	363.57	08/21/20	418.42	54.85 W	0.00	85 of 338 - Sale <sup>25</sup>
	49.000	363.56	08/21/20	418.07	54.51 W	0.00	86 of 338 - Sale <sup>25</sup>
	4.000	29.68	08/21/20	34.60	4.92 W	0.00	87 of 338 - Sale <sup>25</sup>
	21.000	155.81	08/21/20	179.17	23.36 W	0.00	88 of 338 - Sale <sup>25</sup>
	5.000	37.10	08/21/20	43.26	6.16 W	0.00	89 of 338 - Sale <sup>25</sup>
	24.000	178.07	08/21/20	207.63	29.56 W	0.00	90 of 338 - Sale <sup>25</sup>
	21.000	155.82	08/21/20	181.67	25.85 W	0.00	91 of 338 - Sale <sup>25</sup>
	25.000	185.49	08/21/20	213.48	27.99 W	0.00	92 of 338 - Sale <sup>25</sup>
	51.000	378.41	08/21/20	435.13	56.72 W	0.00	93 of 338 - Sale <sup>25</sup>
	16.000	118.71	08/21/20	138.41	19.70 W	0.00	94 of 338 - Sale <sup>25</sup>
	9.000	66.78	08/21/20	77.86	11.08 W	0.00	95 of 338 - Sale <sup>25</sup>
	139.000	1,031.34	08/21/20	1,202.46	171.12 W	0.00	96 of 338 - Sale <sup>25</sup>
	6.000	44.52	08/21/20	51.97	7.45 W	0.00	97 of 338 - Sale <sup>25</sup>
	39.000	289.37	08/21/20	337.77	48.40 W	0.00	98 of 338 - Sale <sup>25</sup>
	40.000	296.79	08/21/20	346.43	49.64 W	0.00	99 of 338 - Sale <sup>25</sup>
	6.000	44.52	08/21/20	51.90	7.38 W	0.00	100 of 338 - Sale <sup>25</sup>
	6.000	44.52	08/21/20	51.97	7.45 W	0.00	101 of 338 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	32.000	237.43	08/21/20	277.15	39.72 W	0.00	102 of 338 - Sale <sup>25</sup>
	32.000	237.43	08/21/20	277.15	39.72 W	0.00	103 of 338 - Sale <sup>25</sup>
	17.000	126.13	08/21/20	147.23	21.10 W	0.00	104 of 338 - Sale <sup>25</sup>
	22.000	163.23	08/21/20	197.34	34.11 W	0.00	105 of 338 - Sale <sup>25</sup>
	1.000	7.42	08/21/20	8.98	1.56 W	0.00	106 of 338 - Sale <sup>25</sup>
	50.000	370.99	08/21/20	449.75	78.76 W	0.00	107 of 338 - Sale <sup>25</sup>
	100.000	741.97	08/21/20	899.53	157.56 W	0.00	108 of 338 - Sale <sup>25</sup>
	106.000	786.49	08/21/20	953.50	167.01 W	0.00	109 of 338 - Sale <sup>25</sup>
	155.000	1,150.05	08/21/20	1,390.39	240.34 W	0.00	110 of 338 - Sale <sup>25</sup>
	4.000	29.68	08/21/20	32.37	2.69 W	0.00	111 of 338 - Sale <sup>25</sup>
	6.000	44.52	08/21/20	53.97	9.45 W	0.00	112 of 338 - Sale <sup>25</sup>
	11.000	81.62	08/21/20	96.41	14.79 W	0.00	113 of 338 - Sale <sup>25</sup>
	17.000	126.13	08/21/20	149.01	22.88 W	0.00	114 of 338 - Sale <sup>25</sup>
	19.000	140.97	08/21/20	153.58	12.61 W	0.00	115 of 338 - Sale <sup>25</sup>
	43.000	319.05	08/21/20	386.80	67.75 W	0.00	116 of 338 - Sale <sup>25</sup>
	1.000	7.45	08/21/20	8.13	0.68 W	0.00	117 of 338 - Sale <sup>25</sup>
	1.000	7.45	08/21/20	10.08	2.63 W	0.00	118 of 338 - Sale <sup>25</sup>
	1.000	7.45	08/21/20	10.07	2.62 W	0.00	119 of 338 - Sale <sup>25</sup>
	1.000	7.45	08/21/20	10.07	2.62 W	0.00	120 of 338 - Sale <sup>25</sup>
	1.000	7.45	08/21/20	9.82	2.37 W	0.00	121 of 338 - Sale <sup>25</sup>
	2.000	14.90	08/21/20	30.39	15.49 W	0.00	122 of 338 - Sale <sup>25</sup>
	2.000	14.90	08/21/20	20.16	5.26 W	0.00	123 of 338 - Sale <sup>25</sup>
	2.000	14.90	08/21/20	20.15	5.25 W	0.00	124 of 338 - Sale <sup>25</sup>
	3.000	22.35	08/21/20	28.28	5.93 W	0.00	125 of 338 - Sale <sup>25</sup>
	3.000	22.35	08/21/20	30.21	7.86 W	0.00	126 of 338 - Sale <sup>25</sup>
	4.000	29.80	08/21/20	32.65	2.85 W	0.00	127 of 338 - Sale <sup>25</sup>
	4.000	29.80	08/21/20	39.32	9.52 W	0.00	128 of 338 - Sale <sup>25</sup>
	5.000	37.25	08/21/20	40.76	3.51 W	0.00	129 of 338 - Sale <sup>25</sup>
	5.000	37.25	08/21/20	41.83	4.58 W	0.00	130 of 338 - Sale <sup>25</sup>
	5.000	37.25	08/21/20	47.09	9.84 W	0.00	131 of 338 - Sale <sup>25</sup>
	7.000	52.15	08/21/20	57.52	5.37 W	0.00	132 of 338 - Sale <sup>25</sup>
	8.000	59.60	08/21/20	66.60	7.00 W	0.00	133 of 338 - Sale <sup>25</sup>
	8.000	59.60	08/21/20	66.13	6.53 W	0.00	134 of 338 - Sale <sup>25</sup>
	9.000	67.05	08/21/20	77.31	10.26 W	0.00	135 of 338 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	10.000	74.50	08/21/20	82.67	8.17 W	0.00	136 of 338 - Sale <sup>25</sup>
	11.000	81.95	08/21/20	96.98	15.03 W	0.00	137 of 338 - Sale <sup>25</sup>
	12.000	89.40	08/21/20	105.78	16.38 W	0.00	138 of 338 - Sale <sup>25</sup>
	15.000	111.75	08/21/20	122.30	10.55 W	0.00	139 of 338 - Sale <sup>25</sup>
	15.000	111.75	08/21/20	124.01	12.26 W	0.00	140 of 338 - Sale <sup>25</sup>
	20.000	148.99	08/21/20	163.24	14.25 W	0.00	141 of 338 - Sale <sup>25</sup>
	20.000	148.99	08/21/20	167.33	18.34 W	0.00	142 of 338 - Sale <sup>25</sup>
	20.000	148.99	08/21/20	171.82	22.83 W	0.00	143 of 338 - Sale <sup>25</sup>
	22.000	163.89	08/21/20	181.89	18.00 W	0.00	144 of 338 - Sale <sup>25</sup>
	27.000	201.14	08/21/20	238.04	36.90 W	0.00	145 of 338 - Sale <sup>25</sup>
	29.000	216.04	08/21/20	249.14	33.10 W	0.00	146 of 338 - Sale <sup>25</sup>
	37.000	275.64	08/21/20	301.64	26.00 W	0.00	147 of 338 - Sale <sup>25</sup>
	40.000	297.99	08/21/20	330.69	32.70 W	0.00	148 of 338 - Sale <sup>25</sup>
	51.000	379.94	08/21/20	421.64	41.70 W	0.00	149 of 338 - Sale <sup>25</sup>
	61.000	454.43	08/21/20	507.84	53.41 W	0.00	150 of 338 - Sale <sup>25</sup>
	70.000	521.48	08/21/20	585.62	64.14 W	0.00	151 of 338 - Sale <sup>25</sup>
	79.000	588.53	08/21/20	643.15	54.62 W	0.00	152 of 338 - Sale <sup>25</sup>
	89.000	663.02	08/21/20	726.34	63.32 W	0.00	153 of 338 - Sale <sup>25</sup>
	4.000	29.80	08/21/20	34.37	4.57 W	0.00	154 of 338 - Sale <sup>25</sup>
	10.000	74.50	08/21/20	85.70	11.20 W	0.00	155 of 338 - Sale <sup>25</sup>
	28.000	208.59	08/21/20	237.85	29.26 W	0.00	156 of 338 - Sale <sup>25</sup>
	58.000	432.08	08/21/20	492.70	60.62 W	0.00	157 of 338 - Sale <sup>25</sup>
	8.000	59.60	08/21/20	71.04	11.44 W	0.00	158 of 338 - Sale <sup>25</sup>
	14.000	104.30	08/21/20	118.92	14.62 W	0.00	159 of 338 - Sale <sup>25</sup>
	28.000	208.59	08/21/20	248.64	40.05 W	0.00	160 of 338 - Sale <sup>25</sup>
	50.000	372.48	08/21/20	444.00	71.52 W	0.00	161 of 338 - Sale <sup>25</sup>
	28.000	208.59	08/21/20	248.65	40.06 W	0.00	162 of 338 - Sale <sup>25</sup>
	72.000	536.38	08/21/20	639.37	102.99 W	0.00	163 of 338 - Sale <sup>25</sup>
	1.000	7.45	08/21/20	8.57	1.12 W	0.00	164 of 338 - Sale <sup>25</sup>
	2.000	14.90	08/21/20	16.62	1.72 W	0.00	165 of 338 - Sale <sup>25</sup>
	7.000	52.15	08/21/20	58.24	6.09 W	0.00	166 of 338 - Sale <sup>25</sup>
	8.000	59.60	08/21/20	68.55	8.95 W	0.00	167 of 338 - Sale <sup>25</sup>
	10.000	74.50	08/21/20	83.08	8.58 W	0.00	168 of 338 - Sale <sup>25</sup>
	11.000	81.95	08/21/20	91.39	9.44 W	0.00	169 of 338 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	14.000	104.30	08/21/20	122.64	18.34 W	0.00	170 of 338 - Sale <sup>25</sup>
	16.000	119.19	08/21/20	132.93	13.74 W	0.00	171 of 338 - Sale <sup>25</sup>
	17.000	126.65	08/21/20	141.25	14.60 W	0.00	172 of 338 - Sale <sup>25</sup>
	17.000	126.64	08/21/20	145.68	19.04 W	0.00	173 of 338 - Sale <sup>25</sup>
	20.000	148.99	08/21/20	166.17	17.18 W	0.00	174 of 338 - Sale <sup>25</sup>
	23.000	171.34	08/21/20	191.11	19.77 W	0.00	175 of 338 - Sale <sup>25</sup>
	24.000	178.79	08/21/20	199.40	20.61 W	0.00	176 of 338 - Sale <sup>25</sup>
	71.000	528.92	08/21/20	589.90	60.98 W	0.00	177 of 338 - Sale <sup>25</sup>
	59.000	439.53	08/21/20	505.60	66.07 W	0.00	178 of 338 - Sale <sup>25</sup>
	23.000	171.34	08/21/20	197.11	25.77 W	0.00	179 of 338 - Sale <sup>25</sup>
	28.000	208.59	08/21/20	239.94	31.35 W	0.00	180 of 338 - Sale <sup>25</sup>
	30.000	223.49	08/21/20	257.09	33.60 W	0.00	181 of 338 - Sale <sup>25</sup>
	4.000	29.80	08/21/20	34.28	4.48 W	0.00	182 of 338 - Sale <sup>25</sup>
	15.000	111.75	08/21/20	128.54	16.79 W	0.00	183 of 338 - Sale <sup>25</sup>
	13.000	96.85	08/21/20	112.99	16.14 W	0.00	184 of 338 - Sale <sup>25</sup>
	4.000	29.80	08/21/20	34.72	4.92 W	0.00	185 of 338 - Sale <sup>25</sup>
	5.000	37.25	08/21/20	43.41	6.16 W	0.00	186 of 338 - Sale <sup>25</sup>
	6.000	44.70	08/21/20	52.08	7.38 W	0.00	187 of 338 - Sale <sup>25</sup>
	6.000	44.70	08/21/20	52.15	7.45 W	0.00	188 of 338 - Sale <sup>25</sup>
	7.000	52.15	08/21/20	59.98	7.83 W	0.00	189 of 338 - Sale <sup>25</sup>
	9.000	67.05	08/21/20	78.13	11.08 W	0.00	190 of 338 - Sale <sup>25</sup>
	16.000	119.20	08/21/20	138.90	19.70 W	0.00	191 of 338 - Sale <sup>25</sup>
	4.000	29.80	08/21/20	34.76	4.96 W	0.00	192 of 338 - Sale <sup>25</sup>
	21.000	156.44	08/21/20	179.96	23.52 W	0.00	193 of 338 - Sale <sup>25</sup>
	21.000	156.44	08/21/20	179.81	23.37 W	0.00	194 of 338 - Sale <sup>25</sup>
	21.000	156.44	08/21/20	182.30	25.86 W	0.00	195 of 338 - Sale <sup>25</sup>
	23.000	171.34	08/21/20	197.11	25.77 W	0.00	196 of 338 - Sale <sup>25</sup>
	24.000	178.79	08/21/20	208.36	29.57 W	0.00	197 of 338 - Sale <sup>25</sup>
	25.000	186.24	08/21/20	214.24	28.00 W	0.00	198 of 338 - Sale <sup>25</sup>
	32.000	238.38	08/21/20	278.12	39.74 W	0.00	199 of 338 - Sale <sup>25</sup>
	39.000	290.54	08/21/20	338.95	48.41 W	0.00	200 of 338 - Sale <sup>25</sup>
	40.000	297.99	08/21/20	347.64	49.65 W	0.00	201 of 338 - Sale <sup>25</sup>
	45.000	335.24	08/21/20	385.62	50.38 W	0.00	202 of 338 - Sale <sup>25</sup>
	49.000	365.04	08/21/20	419.56	54.52 W	0.00	203 of 338 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
51.000		379.94	08/21/20	436.67	56.73 W	0.00	204 of 338 - Sale <sup>25</sup>
139.000		1,035.51	08/21/20	1,206.67	171.16 W	0.00	205 of 338 - Sale <sup>25</sup>
1.000		7.50	08/21/20	9.06	1.56 W	0.00	206 of 338 - Sale <sup>25</sup>
6.000		45.00	08/21/20	52.45	7.45 W	0.00	207 of 338 - Sale <sup>25</sup>
15.000		112.50	08/21/20	131.12	18.62 W	0.00	208 of 338 - Sale <sup>25</sup>
17.000		127.49	08/21/20	148.60	21.11 W	0.00	209 of 338 - Sale <sup>25</sup>
22.000		164.99	08/21/20	199.11	34.12 W	0.00	210 of 338 - Sale <sup>25</sup>
50.000		374.99	08/21/20	453.76	78.77 W	0.00	211 of 338 - Sale <sup>25</sup>
100.000		749.97	08/21/20	907.56	157.59 W	0.00	212 of 338 - Sale <sup>25</sup>
104.000		779.97	08/21/20	943.86	163.89 W	0.00	213 of 338 - Sale <sup>25</sup>
155.000		1,162.46	08/21/20	1,402.84	240.38 W	0.00	214 of 338 - Sale <sup>25</sup>
1.000		7.50	08/21/20	8.18	0.68 W	0.00	215 of 338 - Sale <sup>25</sup>
2.000		15.00	08/21/20	18.15	3.15 W	0.00	216 of 338 - Sale <sup>25</sup>
4.000		30.00	08/21/20	32.69	2.69 W	0.00	217 of 338 - Sale <sup>25</sup>
4.000		30.00	08/21/20	32.85	2.85 W	0.00	218 of 338 - Sale <sup>25</sup>
6.000		45.00	08/21/20	54.45	9.45 W	0.00	219 of 338 - Sale <sup>25</sup>
8.000		60.00	08/21/20	67.00	7.00 W	0.00	220 of 338 - Sale <sup>25</sup>
11.000		82.50	08/21/20	97.29	14.79 W	0.00	221 of 338 - Sale <sup>25</sup>
15.000		112.50	08/21/20	123.05	10.55 W	0.00	222 of 338 - Sale <sup>25</sup>
17.000		127.49	08/21/20	150.38	22.89 W	0.00	223 of 338 - Sale <sup>25</sup>
19.000		142.49	08/21/20	155.11	12.62 W	0.00	224 of 338 - Sale <sup>25</sup>
20.000		149.99	08/21/20	164.25	14.26 W	0.00	225 of 338 - Sale <sup>25</sup>
16.000		119.99	08/21/20	131.24	11.25 W	0.00	226 of 338 - Sale <sup>25</sup>
43.000		322.49	08/21/20	390.25	67.76 W	0.00	227 of 338 - Sale <sup>25</sup>
61.000		457.48	08/21/20	510.91	53.43 W	0.00	228 of 338 - Sale <sup>25</sup>
79.000		592.48	08/21/20	647.12	54.64 W	0.00	229 of 338 - Sale <sup>25</sup>
89.000		667.47	08/21/20	730.82	63.35 W	0.00	230 of 338 - Sale <sup>25</sup>
5.000		37.50	08/21/20	41.01	3.51 W	0.00	231 of 338 - Sale <sup>25</sup>
8.000		60.00	08/21/20	66.53	6.53 W	0.00	232 of 338 - Sale <sup>25</sup>
15.000		112.50	08/21/20	124.76	12.26 W	0.00	233 of 338 - Sale <sup>25</sup>
17.000		127.49	08/21/20	141.41	13.92 W	0.00	234 of 338 - Sale <sup>25</sup>
21.000		157.50	08/21/20	172.26	14.76 W	0.00	235 of 338 - Sale <sup>25</sup>
10.000		75.00	08/21/20	83.17	8.17 W	0.00	236 of 338 - Sale <sup>25</sup>
5.000		37.50	08/21/20	41.59	4.09 W	0.00	237 of 338 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	32.000	240.00	08/21/20	266.16	26.16 W	0.00	238 of 338 - Sale <sup>25</sup>
	8.000	60.00	08/21/20	66.54	6.54 W	0.00	239 of 338 - Sale <sup>25</sup>
	14.000	105.00	08/21/20	116.45	11.45 W	0.00	240 of 338 - Sale <sup>25</sup>
	1.000	7.35	08/21/20	10.13	2.78 W	0.00	241 of 338 - Sale <sup>25</sup>
	1.000	7.35	08/21/20	10.12	2.77 W	0.00	242 of 338 - Sale <sup>25</sup>
	1.000	7.35	08/21/20	10.12	2.77 W	0.00	243 of 338 - Sale <sup>25</sup>
	1.000	7.35	08/21/20	9.87	2.52 W	0.00	244 of 338 - Sale <sup>25</sup>
	1.000	7.35	08/21/20	8.62	1.27 W	0.00	245 of 338 - Sale <sup>25</sup>
	1.000	7.35	08/21/20	9.06	1.71 W	0.00	246 of 338 - Sale <sup>25</sup>
	2.000	14.70	08/21/20	30.49	15.79 W	0.00	247 of 338 - Sale <sup>25</sup>
	2.000	14.70	08/21/20	20.26	5.56 W	0.00	248 of 338 - Sale <sup>25</sup>
	2.000	14.70	08/21/20	20.25	5.55 W	0.00	249 of 338 - Sale <sup>25</sup>
	2.000	14.70	08/21/20	16.72	2.02 W	0.00	250 of 338 - Sale <sup>25</sup>
	3.000	22.05	08/21/20	28.43	6.38 W	0.00	251 of 338 - Sale <sup>25</sup>
	3.000	22.05	08/21/20	30.36	8.31 W	0.00	252 of 338 - Sale <sup>25</sup>
	4.000	29.40	08/21/20	39.52	10.12 W	0.00	253 of 338 - Sale <sup>25</sup>
	4.000	29.40	08/21/20	34.57	5.17 W	0.00	254 of 338 - Sale <sup>25</sup>
	4.000	29.40	08/21/20	34.48	5.08 W	0.00	255 of 338 - Sale <sup>25</sup>
	4.000	29.40	08/21/20	34.92	5.52 W	0.00	256 of 338 - Sale <sup>25</sup>
	4.000	29.40	08/21/20	34.96	5.56 W	0.00	257 of 338 - Sale <sup>25</sup>
	5.000	36.75	08/21/20	42.08	5.33 W	0.00	258 of 338 - Sale <sup>25</sup>
	5.000	36.75	08/21/20	47.34	10.59 W	0.00	259 of 338 - Sale <sup>25</sup>
	5.000	36.75	08/21/20	43.66	6.91 W	0.00	260 of 338 - Sale <sup>25</sup>
	6.000	44.10	08/21/20	52.38	8.28 W	0.00	261 of 338 - Sale <sup>25</sup>
	6.000	44.10	08/21/20	52.45	8.35 W	0.00	262 of 338 - Sale <sup>25</sup>
	6.000	44.10	08/21/20	52.45	8.35 W	0.00	263 of 338 - Sale <sup>25</sup>
	7.000	51.45	08/21/20	57.87	6.42 W	0.00	264 of 338 - Sale <sup>25</sup>
	7.000	51.45	08/21/20	58.59	7.14 W	0.00	265 of 338 - Sale <sup>25</sup>
	7.000	51.45	08/21/20	60.33	8.88 W	0.00	266 of 338 - Sale <sup>25</sup>
	8.000	58.80	08/21/20	71.44	12.64 W	0.00	267 of 338 - Sale <sup>25</sup>
	8.000	58.80	08/21/20	68.95	10.15 W	0.00	268 of 338 - Sale <sup>25</sup>
	9.000	66.15	08/21/20	77.76	11.61 W	0.00	269 of 338 - Sale <sup>25</sup>
	9.000	66.15	08/21/20	78.58	12.43 W	0.00	270 of 338 - Sale <sup>25</sup>
	10.000	73.50	08/21/20	86.20	12.70 W	0.00	271 of 338 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	10.000	73.50	08/21/20	83.58	10.08 W	0.00	272 of 338 - Sale <sup>25</sup>
	11.000	80.85	08/21/20	97.53	16.68 W	0.00	273 of 338 - Sale <sup>25</sup>
	11.000	80.85	08/21/20	91.94	11.09 W	0.00	274 of 338 - Sale <sup>25</sup>
	12.000	88.20	08/21/20	106.38	18.18 W	0.00	275 of 338 - Sale <sup>25</sup>
	13.000	95.55	08/21/20	113.64	18.09 W	0.00	276 of 338 - Sale <sup>25</sup>
	14.000	102.90	08/21/20	119.62	16.72 W	0.00	277 of 338 - Sale <sup>25</sup>
	14.000	102.90	08/21/20	123.34	20.44 W	0.00	278 of 338 - Sale <sup>25</sup>
	15.000	110.25	08/21/20	129.29	19.04 W	0.00	279 of 338 - Sale <sup>25</sup>
	15.000	110.25	08/21/20	131.12	20.87 W	0.00	280 of 338 - Sale <sup>25</sup>
	16.000	117.60	08/21/20	133.74	16.14 W	0.00	281 of 338 - Sale <sup>25</sup>
	16.000	117.60	08/21/20	139.70	22.10 W	0.00	282 of 338 - Sale <sup>25</sup>
	17.000	124.94	08/21/20	142.10	17.16 W	0.00	283 of 338 - Sale <sup>25</sup>
	17.000	124.94	08/21/20	146.54	21.60 W	0.00	284 of 338 - Sale <sup>25</sup>
	17.000	124.94	08/21/20	148.61	23.67 W	0.00	285 of 338 - Sale <sup>25</sup>
	20.000	146.99	08/21/20	168.34	21.35 W	0.00	286 of 338 - Sale <sup>25</sup>
	20.000	146.99	08/21/20	172.83	25.84 W	0.00	287 of 338 - Sale <sup>25</sup>
	20.000	146.99	08/21/20	167.18	20.19 W	0.00	288 of 338 - Sale <sup>25</sup>
	21.000	154.34	08/21/20	181.02	26.68 W	0.00	289 of 338 - Sale <sup>25</sup>
	21.000	154.34	08/21/20	180.87	26.53 W	0.00	290 of 338 - Sale <sup>25</sup>
	21.000	154.34	08/21/20	183.36	29.02 W	0.00	291 of 338 - Sale <sup>25</sup>
	22.000	161.69	08/21/20	199.12	37.43 W	0.00	292 of 338 - Sale <sup>25</sup>
	23.000	169.04	08/21/20	192.27	23.23 W	0.00	293 of 338 - Sale <sup>25</sup>
	23.000	169.04	08/21/20	198.27	29.23 W	0.00	294 of 338 - Sale <sup>25</sup>
	23.000	169.04	08/21/20	198.27	29.23 W	0.00	295 of 338 - Sale <sup>25</sup>
	24.000	176.39	08/21/20	200.61	24.22 W	0.00	296 of 338 - Sale <sup>25</sup>
	24.000	176.39	08/21/20	209.57	33.18 W	0.00	297 of 338 - Sale <sup>25</sup>
	25.000	183.74	08/21/20	215.50	31.76 W	0.00	298 of 338 - Sale <sup>25</sup>
	27.000	198.44	08/21/20	239.40	40.96 W	0.00	299 of 338 - Sale <sup>25</sup>
	28.000	205.79	08/21/20	239.26	33.47 W	0.00	300 of 338 - Sale <sup>25</sup>
	28.000	205.79	08/21/20	250.05	44.26 W	0.00	301 of 338 - Sale <sup>25</sup>
	28.000	205.79	08/21/20	250.06	44.27 W	0.00	302 of 338 - Sale <sup>25</sup>
	28.000	205.79	08/21/20	241.35	35.56 W	0.00	303 of 338 - Sale <sup>25</sup>
	29.000	213.14	08/21/20	250.60	37.46 W	0.00	304 of 338 - Sale <sup>25</sup>
	30.000	220.49	08/21/20	258.60	38.11 W	0.00	305 of 338 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	32.000	235.19	08/21/20	279.74	44.55 W	0.00	306 of 338 - Sale <sup>25</sup>
	39.000	286.64	08/21/20	340.91	54.27 W	0.00	307 of 338 - Sale <sup>25</sup>
	40.000	293.99	08/21/20	349.65	55.66 W	0.00	308 of 338 - Sale <sup>25</sup>
	45.000	330.74	08/21/20	387.88	57.14 W	0.00	309 of 338 - Sale <sup>25</sup>
	49.000	360.14	08/21/20	422.02	61.88 W	0.00	310 of 338 - Sale <sup>25</sup>
	50.000	367.49	08/21/20	446.52	79.03 W	0.00	311 of 338 - Sale <sup>25</sup>
	50.000	367.48	08/21/20	453.77	86.29 W	0.00	312 of 338 - Sale <sup>25</sup>
	37.000	271.94	08/21/20	307.75	35.81 W	0.00	313 of 338 - Sale <sup>25</sup>
	51.000	374.84	08/21/20	439.23	64.39 W	0.00	314 of 338 - Sale <sup>25</sup>
	58.000	426.28	08/21/20	495.62	69.34 W	0.00	315 of 338 - Sale <sup>25</sup>
	59.000	433.63	08/21/20	508.57	74.94 W	0.00	316 of 338 - Sale <sup>25</sup>
	62.000	455.68	08/21/20	562.70	107.02 W	0.00	317 of 338 - Sale <sup>25</sup>
	70.000	514.48	08/21/20	589.14	74.66 W	0.00	318 of 338 - Sale <sup>25</sup>
	71.000	521.83	08/21/20	593.48	71.65 W	0.00	319 of 338 - Sale <sup>25</sup>
	72.000	529.18	08/21/20	642.99	113.81 W	0.00	320 of 338 - Sale <sup>25</sup>
	100.000	734.97	08/21/20	907.59	172.62 W	0.00	321 of 338 - Sale <sup>25</sup>
	139.000	1,021.61	08/21/20	1,213.66	192.05 W	0.00	322 of 338 - Sale <sup>25</sup>
	155.000	1,139.20	08/21/20	1,402.88	263.68 W	0.00	323 of 338 - Sale <sup>25</sup>
	2.000	14.48	08/21/20	17.71	3.23 W	0.00	324 of 338 - Sale <sup>25</sup>
	6.000	43.44	08/21/20	53.13	9.69 W	0.00	325 of 338 - Sale <sup>25</sup>
	7.000	50.68	08/21/20	55.61	4.93 W	0.00	326 of 338 - Sale <sup>25</sup>
	42.000	304.07	08/21/20	371.95	67.88 W	0.00	327 of 338 - Sale <sup>25</sup>
	43.000	311.31	08/21/20	380.80	69.49 W	0.00	328 of 338 - Sale <sup>25</sup>
	4.000	28.96	08/21/20	31.85	2.89 W	0.00	329 of 338 - Sale <sup>25</sup>
	8.000	57.92	08/21/20	65.32	7.40 W	0.00	330 of 338 - Sale <sup>25</sup>
	11.000	79.64	08/21/20	94.98	15.34 W	0.00	331 of 338 - Sale <sup>25</sup>
	12.000	86.88	08/21/20	95.45	8.57 W	0.00	332 of 338 - Sale <sup>25</sup>
	17.000	123.08	08/21/20	146.82	23.74 W	0.00	333 of 338 - Sale <sup>25</sup>
	29.000	209.95	08/21/20	236.81	26.86 W	0.00	334 of 338 - Sale <sup>25</sup>
	29.000	209.95	08/21/20	236.81	26.86 W	0.00	335 of 338 - Sale <sup>25</sup>
	3.000	20.88	08/21/20	23.51	2.63 W	0.00	336 of 338 - Sale <sup>25</sup>
	17.000	118.35	08/21/20	130.08	11.73 W	0.00	337 of 338 - Sale <sup>25</sup>
	3.000	20.88	08/21/20	22.95	2.07 W	0.00	338 of 338 - Sale <sup>25</sup>
08/21/20	8,856.000	65,094.92	Various	75,188.40	10,093.48 W	0.00	Total of 338 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
<i>298 transactions for 08/24/20. Total proceeds and cost reported to the IRS.</i>							
4.000		27.68	08/24/20	30.15	2.47 W	0.00	1 of 298 - Sale <sup>25</sup>
50.000		345.98	08/24/20	376.95	30.97 W	0.00	2 of 298 - Sale <sup>25</sup>
2.000		13.84	08/24/20	15.10	1.26 W	0.00	3 of 298 - Sale <sup>25</sup>
1.000		6.92	08/24/20	7.54	0.62 W	0.00	4 of 298 - Sale <sup>25</sup>
3.000		20.76	08/24/20	22.66	1.90 W	0.00	5 of 298 - Sale <sup>25</sup>
20.000		138.40	08/24/20	151.46	13.06 W	0.00	6 of 298 - Sale <sup>25</sup>
20.000		138.39	08/24/20	151.43	13.04 W	0.00	7 of 298 - Sale <sup>25</sup>
26.000		179.91	08/24/20	196.87	16.96 W	0.00	8 of 298 - Sale <sup>25</sup>
4.000		27.68	08/24/20	30.29	2.61 W	0.00	9 of 298 - Sale <sup>25</sup>
15.000		103.79	08/24/20	113.45	9.66 W	0.00	10 of 298 - Sale <sup>25</sup>
12.000		83.04	08/24/20	90.76	7.72 W	0.00	11 of 298 - Sale <sup>25</sup>
43.000		297.55	08/24/20	325.59	28.04 W	0.00	12 of 298 - Sale <sup>25</sup>
5.000		34.60	08/24/20	37.81	3.21 W	0.00	13 of 298 - Sale <sup>25</sup>
5.000		34.60	08/24/20	38.39	3.79 W	0.00	14 of 298 - Sale <sup>25</sup>
8.000		55.36	08/24/20	61.41	6.05 W	0.00	15 of 298 - Sale <sup>25</sup>
10.000		69.20	08/24/20	76.77	7.57 W	0.00	16 of 298 - Sale <sup>25</sup>
15.000		103.80	08/24/20	115.16	11.36 W	0.00	17 of 298 - Sale <sup>25</sup>
4.000		27.68	08/24/20	30.25	2.57 W	0.00	18 of 298 - Sale <sup>25</sup>
17.000		117.63	08/24/20	130.54	12.91 W	0.00	19 of 298 - Sale <sup>25</sup>
21.000		145.31	08/24/20	158.82	13.51 W	0.00	20 of 298 - Sale <sup>25</sup>
15.000		103.79	08/24/20	115.16	11.37 W	0.00	21 of 298 - Sale <sup>25</sup>
6.000		41.52	08/24/20	46.07	4.55 W	0.00	22 of 298 - Sale <sup>25</sup>
7.000		48.44	08/24/20	54.44	6.00 W	0.00	23 of 298 - Sale <sup>25</sup>
8.000		55.36	08/24/20	61.42	6.06 W	0.00	24 of 298 - Sale <sup>25</sup>
11.000		76.12	08/24/20	84.45	8.33 W	0.00	25 of 298 - Sale <sup>25</sup>
14.000		96.87	08/24/20	107.49	10.62 W	0.00	26 of 298 - Sale <sup>25</sup>
17.000		117.63	08/24/20	134.75	17.12 W	0.00	27 of 298 - Sale <sup>25</sup>
37.000		256.03	08/24/20	289.63	33.60 W	0.00	28 of 298 - Sale <sup>25</sup>
6.000		41.52	08/24/20	47.56	6.04 W	0.00	29 of 298 - Sale <sup>25</sup>
2.000		13.84	08/24/20	15.85	2.01 W	0.00	30 of 298 - Sale <sup>25</sup>
10.000		69.20	08/24/20	79.27	10.07 W	0.00	31 of 298 - Sale <sup>25</sup>
1.000		6.92	08/24/20	9.64	2.72 W	0.00	32 of 298 - Sale <sup>25</sup>
1.000		6.92	08/24/20	9.63	2.71 W	0.00	33 of 298 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	1.000	6.92	08/24/20	9.63	2.71 W	0.00	34 of 298 - Sale <sup>25</sup>
	1.000	6.92	08/24/20	9.38	2.46 W	0.00	35 of 298 - Sale <sup>25</sup>
	2.000	13.84	08/24/20	29.51	15.67 W	0.00	36 of 298 - Sale <sup>25</sup>
	2.000	13.84	08/24/20	19.28	5.44 W	0.00	37 of 298 - Sale <sup>25</sup>
	2.000	13.84	08/24/20	19.27	5.43 W	0.00	38 of 298 - Sale <sup>25</sup>
	3.000	20.76	08/24/20	26.96	6.20 W	0.00	39 of 298 - Sale <sup>25</sup>
	3.000	20.76	08/24/20	28.89	8.13 W	0.00	40 of 298 - Sale <sup>25</sup>
	4.000	27.67	08/24/20	37.56	9.89 W	0.00	41 of 298 - Sale <sup>25</sup>
	5.000	34.60	08/24/20	39.63	5.03 W	0.00	42 of 298 - Sale <sup>25</sup>
	2.000	13.84	08/24/20	17.96	4.12 W	0.00	43 of 298 - Sale <sup>25</sup>
	20.000	138.39	08/24/20	158.55	20.16 W	0.00	44 of 298 - Sale <sup>25</sup>
	35.000	242.19	08/24/20	277.43	35.24 W	0.00	45 of 298 - Sale <sup>25</sup>
	3.000	20.85	08/24/20	24.39	3.54 W	0.00	46 of 298 - Sale <sup>25</sup>
	4.000	27.80	08/24/20	32.61	4.81 W	0.00	47 of 298 - Sale <sup>25</sup>
	3.000	20.85	08/24/20	26.93	6.08 W	0.00	48 of 298 - Sale <sup>25</sup>
	9.000	62.55	08/24/20	73.35	10.80 W	0.00	49 of 298 - Sale <sup>25</sup>
	11.000	76.45	08/24/20	92.14	15.69 W	0.00	50 of 298 - Sale <sup>25</sup>
	12.000	83.40	08/24/20	100.50	17.10 W	0.00	51 of 298 - Sale <sup>25</sup>
	20.000	138.99	08/24/20	163.04	24.05 W	0.00	52 of 298 - Sale <sup>25</sup>
	27.000	187.64	08/24/20	226.18	38.54 W	0.00	53 of 298 - Sale <sup>25</sup>
	29.000	201.54	08/24/20	236.40	34.86 W	0.00	54 of 298 - Sale <sup>25</sup>
	7.000	48.65	08/24/20	56.91	8.26 W	0.00	55 of 298 - Sale <sup>25</sup>
	33.000	229.33	08/24/20	265.83	36.50 W	0.00	56 of 298 - Sale <sup>25</sup>
	14.000	95.90	08/24/20	112.78	16.88 W	0.00	57 of 298 - Sale <sup>25</sup>
	25.000	171.25	08/24/20	201.39	30.14 W	0.00	58 of 298 - Sale <sup>25</sup>
	7.000	47.95	08/24/20	56.38	8.43 W	0.00	59 of 298 - Sale <sup>25</sup>
	14.000	95.90	08/24/20	112.77	16.87 W	0.00	60 of 298 - Sale <sup>25</sup>
	1.000	6.85	08/24/20	8.20	1.35 W	0.00	61 of 298 - Sale <sup>25</sup>
	2.000	13.70	08/24/20	15.87	2.17 W	0.00	62 of 298 - Sale <sup>25</sup>
	7.000	47.95	08/24/20	56.85	8.90 W	0.00	63 of 298 - Sale <sup>25</sup>
	7.000	47.95	08/24/20	55.63	7.68 W	0.00	64 of 298 - Sale <sup>25</sup>
	8.000	54.80	08/24/20	68.06	13.26 W	0.00	65 of 298 - Sale <sup>25</sup>
	8.000	54.80	08/24/20	65.57	10.77 W	0.00	66 of 298 - Sale <sup>25</sup>
	10.000	68.50	08/24/20	79.35	10.85 W	0.00	67 of 298 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
11.000		75.35	08/24/20	87.29	11.94 W	0.00	68 of 298 - Sale <sup>25</sup>
14.000		95.90	08/24/20	117.42	21.52 W	0.00	69 of 298 - Sale <sup>25</sup>
16.000		109.60	08/24/20	126.98	17.38 W	0.00	70 of 298 - Sale <sup>25</sup>
17.000		116.44	08/24/20	134.93	18.49 W	0.00	71 of 298 - Sale <sup>25</sup>
17.000		116.44	08/24/20	139.37	22.93 W	0.00	72 of 298 - Sale <sup>25</sup>
20.000		136.99	08/24/20	158.74	21.75 W	0.00	73 of 298 - Sale <sup>25</sup>
23.000		157.54	08/24/20	182.56	25.02 W	0.00	74 of 298 - Sale <sup>25</sup>
24.000		164.39	08/24/20	190.48	26.09 W	0.00	75 of 298 - Sale <sup>25</sup>
28.000		191.79	08/24/20	238.23	46.44 W	0.00	76 of 298 - Sale <sup>25</sup>
28.000		191.79	08/24/20	238.24	46.45 W	0.00	77 of 298 - Sale <sup>25</sup>
50.000		342.49	08/24/20	425.40	82.91 W	0.00	78 of 298 - Sale <sup>25</sup>
6.000		41.10	08/24/20	49.18	8.08 W	0.00	79 of 298 - Sale <sup>25</sup>
71.000		486.33	08/24/20	563.50	77.17 W	0.00	80 of 298 - Sale <sup>25</sup>
72.000		493.18	08/24/20	612.58	119.40 W	0.00	81 of 298 - Sale <sup>25</sup>
8.000		54.88	08/24/20	65.58	10.70 W	0.00	82 of 298 - Sale <sup>25</sup>
7.000		48.02	08/24/20	57.38	9.36 W	0.00	83 of 298 - Sale <sup>25</sup>
45.000		308.69	08/24/20	368.89	60.20 W	0.00	84 of 298 - Sale <sup>25</sup>
4.000		27.44	08/24/20	32.76	5.32 W	0.00	85 of 298 - Sale <sup>25</sup>
7.000		48.02	08/24/20	57.33	9.31 W	0.00	86 of 298 - Sale <sup>25</sup>
8.000		54.88	08/24/20	65.51	10.63 W	0.00	87 of 298 - Sale <sup>25</sup>
23.000		157.77	08/24/20	188.39	30.62 W	0.00	88 of 298 - Sale <sup>25</sup>
28.000		192.07	08/24/20	229.32	37.25 W	0.00	89 of 298 - Sale <sup>25</sup>
30.000		205.79	08/24/20	245.71	39.92 W	0.00	90 of 298 - Sale <sup>25</sup>
7.000		48.02	08/24/20	57.32	9.30 W	0.00	91 of 298 - Sale <sup>25</sup>
11.000		75.46	08/24/20	90.09	14.63 W	0.00	92 of 298 - Sale <sup>25</sup>
21.000		144.05	08/24/20	172.00	27.95 W	0.00	93 of 298 - Sale <sup>25</sup>
23.000		157.77	08/24/20	188.39	30.62 W	0.00	94 of 298 - Sale <sup>25</sup>
38.000		260.67	08/24/20	311.21	50.54 W	0.00	95 of 298 - Sale <sup>25</sup>
14.000		96.04	08/24/20	114.67	18.63 W	0.00	96 of 298 - Sale <sup>25</sup>
35.000		240.09	08/24/20	286.40	46.31 W	0.00	97 of 298 - Sale <sup>25</sup>
51.000		349.85	08/24/20	417.31	67.46 W	0.00	98 of 298 - Sale <sup>25</sup>
4.000		27.44	08/24/20	33.20	5.76 W	0.00	99 of 298 - Sale <sup>25</sup>
5.000		34.30	08/24/20	41.51	7.21 W	0.00	100 of 298 - Sale <sup>25</sup>
6.000		41.16	08/24/20	49.82	8.66 W	0.00	101 of 298 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	14.000	96.04	08/24/20	114.56	18.52 W	0.00	102 of 298 - Sale <sup>25</sup>
	21.000	144.05	08/24/20	171.85	27.80 W	0.00	103 of 298 - Sale <sup>25</sup>
	8.000	54.88	08/24/20	66.42	11.54 W	0.00	104 of 298 - Sale <sup>25</sup>
	5.000	34.30	08/24/20	41.50	7.20 W	0.00	105 of 298 - Sale <sup>25</sup>
	9.000	61.74	08/24/20	74.71	12.97 W	0.00	106 of 298 - Sale <sup>25</sup>
	10.000	68.60	08/24/20	83.02	14.42 W	0.00	107 of 298 - Sale <sup>25</sup>
	16.000	109.76	08/24/20	132.82	23.06 W	0.00	108 of 298 - Sale <sup>25</sup>
	21.000	144.05	08/24/20	174.34	30.29 W	0.00	109 of 298 - Sale <sup>25</sup>
	139.000	953.50	08/24/20	1,153.93	200.43 W	0.00	110 of 298 - Sale <sup>25</sup>
	1.000	6.86	08/24/20	8.30	1.44 W	0.00	111 of 298 - Sale <sup>25</sup>
	6.000	41.16	08/24/20	49.87	8.71 W	0.00	112 of 298 - Sale <sup>25</sup>
	14.000	96.03	08/24/20	116.37	20.34 W	0.00	113 of 298 - Sale <sup>25</sup>
	39.000	267.53	08/24/20	324.15	56.62 W	0.00	114 of 298 - Sale <sup>25</sup>
	40.000	274.39	08/24/20	332.46	58.07 W	0.00	115 of 298 - Sale <sup>25</sup>
	4.000	27.44	08/24/20	33.24	5.80 W	0.00	116 of 298 - Sale <sup>25</sup>
	5.000	34.30	08/24/20	43.11	8.81 W	0.00	117 of 298 - Sale <sup>25</sup>
	6.000	41.16	08/24/20	49.87	8.71 W	0.00	118 of 298 - Sale <sup>25</sup>
	13.000	89.18	08/24/20	108.05	18.87 W	0.00	119 of 298 - Sale <sup>25</sup>
	15.000	102.89	08/24/20	124.67	21.78 W	0.00	120 of 298 - Sale <sup>25</sup>
	17.000	116.61	08/24/20	141.31	24.70 W	0.00	121 of 298 - Sale <sup>25</sup>
	18.000	123.47	08/24/20	149.62	26.15 W	0.00	122 of 298 - Sale <sup>25</sup>
	22.000	150.91	08/24/20	189.67	38.76 W	0.00	123 of 298 - Sale <sup>25</sup>
	20.000	137.19	08/24/20	172.42	35.23 W	0.00	124 of 298 - Sale <sup>25</sup>
	20.000	137.19	08/24/20	172.42	35.23 W	0.00	125 of 298 - Sale <sup>25</sup>
	1.000	6.86	08/24/20	8.63	1.77 W	0.00	126 of 298 - Sale <sup>25</sup>
	39.000	267.53	08/24/20	337.19	69.66 W	0.00	127 of 298 - Sale <sup>25</sup>
	110.000	754.57	08/24/20	948.33	193.76 W	0.00	128 of 298 - Sale <sup>25</sup>
	27.000	185.21	08/24/20	230.48	45.27 W	0.00	129 of 298 - Sale <sup>25</sup>
	11.000	75.46	08/24/20	95.10	19.64 W	0.00	130 of 298 - Sale <sup>25</sup>
	62.000	425.30	08/24/20	536.06	110.76 W	0.00	131 of 298 - Sale <sup>25</sup>
	100.000	685.97	08/24/20	864.62	178.65 W	0.00	132 of 298 - Sale <sup>25</sup>
	1.000	6.85	08/24/20	7.54	0.69 W	0.00	133 of 298 - Sale <sup>25</sup>
	2.000	13.70	08/24/20	17.07	3.37 W	0.00	134 of 298 - Sale <sup>25</sup>
	2.000	13.70	08/24/20	15.10	1.40 W	0.00	135 of 298 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	3.000	20.55	08/24/20	23.39	2.84 W	0.00	136 of 298 - Sale <sup>25</sup>
	3.000	20.55	08/24/20	22.83	2.28 W	0.00	137 of 298 - Sale <sup>25</sup>
	3.000	20.55	08/24/20	22.66	2.11 W	0.00	138 of 298 - Sale <sup>25</sup>
	4.000	27.40	08/24/20	30.57	3.17 W	0.00	139 of 298 - Sale <sup>25</sup>
	4.000	27.40	08/24/20	30.15	2.75 W	0.00	140 of 298 - Sale <sup>25</sup>
	6.000	41.10	08/24/20	51.21	10.11 W	0.00	141 of 298 - Sale <sup>25</sup>
	7.000	47.95	08/24/20	53.37	5.42 W	0.00	142 of 298 - Sale <sup>25</sup>
	7.000	47.95	08/24/20	53.01	5.06 W	0.00	143 of 298 - Sale <sup>25</sup>
	8.000	54.80	08/24/20	62.76	7.96 W	0.00	144 of 298 - Sale <sup>25</sup>
	11.000	75.35	08/24/20	91.46	16.11 W	0.00	145 of 298 - Sale <sup>25</sup>
	12.000	82.20	08/24/20	91.61	9.41 W	0.00	146 of 298 - Sale <sup>25</sup>
	17.000	116.45	08/24/20	141.38	24.93 W	0.00	147 of 298 - Sale <sup>25</sup>
	17.000	116.45	08/24/20	129.37	12.92 W	0.00	148 of 298 - Sale <sup>25</sup>
	20.000	136.99	08/24/20	151.46	14.47 W	0.00	149 of 298 - Sale <sup>25</sup>
	20.000	136.99	08/24/20	151.44	14.45 W	0.00	150 of 298 - Sale <sup>25</sup>
	29.000	198.64	08/24/20	227.54	28.90 W	0.00	151 of 298 - Sale <sup>25</sup>
	29.000	198.64	08/24/20	227.54	28.90 W	0.00	152 of 298 - Sale <sup>25</sup>
	15.000	102.75	08/24/20	128.04	25.29 W	0.00	153 of 298 - Sale <sup>25</sup>
	43.000	294.54	08/24/20	367.05	72.51 W	0.00	154 of 298 - Sale <sup>25</sup>
	50.000	342.49	08/24/20	376.97	34.48 W	0.00	155 of 298 - Sale <sup>25</sup>
	1.000	6.85	08/24/20	7.56	0.71 W	0.00	156 of 298 - Sale <sup>25</sup>
	4.000	27.40	08/24/20	30.29	2.89 W	0.00	157 of 298 - Sale <sup>25</sup>
	19.000	130.14	08/24/20	143.87	13.73 W	0.00	158 of 298 - Sale <sup>25</sup>
	43.000	294.54	08/24/20	325.60	31.06 W	0.00	159 of 298 - Sale <sup>25</sup>
	4.000	27.40	08/24/20	30.25	2.85 W	0.00	160 of 298 - Sale <sup>25</sup>
	5.000	34.25	08/24/20	37.81	3.56 W	0.00	161 of 298 - Sale <sup>25</sup>
	6.000	41.10	08/24/20	46.06	4.96 W	0.00	162 of 298 - Sale <sup>25</sup>
	12.000	82.20	08/24/20	90.76	8.56 W	0.00	163 of 298 - Sale <sup>25</sup>
	14.000	95.90	08/24/20	105.90	10.00 W	0.00	164 of 298 - Sale <sup>25</sup>
	21.000	143.84	08/24/20	158.83	14.99 W	0.00	165 of 298 - Sale <sup>25</sup>
	2.000	13.70	08/24/20	15.35	1.65 W	0.00	166 of 298 - Sale <sup>25</sup>
	8.000	54.80	08/24/20	61.42	6.62 W	0.00	167 of 298 - Sale <sup>25</sup>
	3.000	20.55	08/24/20	23.03	2.48 W	0.00	168 of 298 - Sale <sup>25</sup>
	5.000	34.25	08/24/20	38.39	4.14 W	0.00	169 of 298 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	7.000	47.95	08/24/20	53.74	5.79 W	0.00	170 of 298 - Sale <sup>25</sup>
	17.000	116.45	08/24/20	130.55	14.10 W	0.00	171 of 298 - Sale <sup>25</sup>
	7.000	47.95	08/24/20	53.74	5.79 W	0.00	172 of 298 - Sale <sup>25</sup>
	8.000	54.80	08/24/20	61.42	6.62 W	0.00	173 of 298 - Sale <sup>25</sup>
	1.000	6.85	08/24/20	9.64	2.79 W	0.00	174 of 298 - Sale <sup>25</sup>
	1.000	6.85	08/24/20	9.63	2.78 W	0.00	175 of 298 - Sale <sup>25</sup>
	1.000	6.85	08/24/20	9.63	2.78 W	0.00	176 of 298 - Sale <sup>25</sup>
	1.000	6.85	08/24/20	9.38	2.53 W	0.00	177 of 298 - Sale <sup>25</sup>
	2.000	13.70	08/24/20	15.85	2.15 W	0.00	178 of 298 - Sale <sup>25</sup>
	2.000	13.70	08/24/20	29.51	15.81 W	0.00	179 of 298 - Sale <sup>25</sup>
	2.000	13.70	08/24/20	19.28	5.58 W	0.00	180 of 298 - Sale <sup>25</sup>
	2.000	13.70	08/24/20	19.27	5.57 W	0.00	181 of 298 - Sale <sup>25</sup>
	2.000	13.70	08/24/20	17.96	4.26 W	0.00	182 of 298 - Sale <sup>25</sup>
	3.000	20.55	08/24/20	26.96	6.41 W	0.00	183 of 298 - Sale <sup>25</sup>
	3.000	20.55	08/24/20	28.89	8.34 W	0.00	184 of 298 - Sale <sup>25</sup>
	3.000	20.55	08/24/20	26.84	6.29 W	0.00	185 of 298 - Sale <sup>25</sup>
	3.000	20.55	08/24/20	24.30	3.75 W	0.00	186 of 298 - Sale <sup>25</sup>
	4.000	27.40	08/24/20	37.57	10.17 W	0.00	187 of 298 - Sale <sup>25</sup>
	4.000	27.40	08/24/20	32.49	5.09 W	0.00	188 of 298 - Sale <sup>25</sup>
	5.000	34.25	08/24/20	39.63	5.38 W	0.00	189 of 298 - Sale <sup>25</sup>
	5.000	34.25	08/24/20	40.62	6.37 W	0.00	190 of 298 - Sale <sup>25</sup>
	6.000	41.10	08/24/20	46.07	4.97 W	0.00	191 of 298 - Sale <sup>25</sup>
	6.000	41.10	08/24/20	47.56	6.46 W	0.00	192 of 298 - Sale <sup>25</sup>
	7.000	47.95	08/24/20	53.75	5.80 W	0.00	193 of 298 - Sale <sup>25</sup>
	7.000	47.95	08/24/20	54.44	6.49 W	0.00	194 of 298 - Sale <sup>25</sup>
	7.000	47.95	08/24/20	56.70	8.75 W	0.00	195 of 298 - Sale <sup>25</sup>
	8.000	54.80	08/24/20	61.42	6.62 W	0.00	196 of 298 - Sale <sup>25</sup>
	9.000	61.65	08/24/20	73.08	11.43 W	0.00	197 of 298 - Sale <sup>25</sup>
	10.000	68.50	08/24/20	79.27	10.77 W	0.00	198 of 298 - Sale <sup>25</sup>
	11.000	75.35	08/24/20	84.45	9.10 W	0.00	199 of 298 - Sale <sup>25</sup>
	11.000	75.35	08/24/20	91.81	16.46 W	0.00	200 of 298 - Sale <sup>25</sup>
	12.000	82.20	08/24/20	100.14	17.94 W	0.00	201 of 298 - Sale <sup>25</sup>
	14.000	95.90	08/24/20	107.50	11.60 W	0.00	202 of 298 - Sale <sup>25</sup>
	14.000	95.90	08/24/20	113.76	17.86 W	0.00	203 of 298 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	14.000	95.90	08/24/20	113.75	17.85 W	0.00	204 of 298 - Sale <sup>25</sup>
	17.000	116.44	08/24/20	134.76	18.32 W	0.00	205 of 298 - Sale <sup>25</sup>
	20.000	136.99	08/24/20	158.56	21.57 W	0.00	206 of 298 - Sale <sup>25</sup>
	20.000	136.99	08/24/20	162.45	25.46 W	0.00	207 of 298 - Sale <sup>25</sup>
	25.000	171.24	08/24/20	203.14	31.90 W	0.00	208 of 298 - Sale <sup>25</sup>
	27.000	184.94	08/24/20	225.38	40.44 W	0.00	209 of 298 - Sale <sup>25</sup>
	29.000	198.64	08/24/20	235.54	36.90 W	0.00	210 of 298 - Sale <sup>25</sup>
	33.000	226.04	08/24/20	264.86	38.82 W	0.00	211 of 298 - Sale <sup>25</sup>
	35.000	239.74	08/24/20	277.44	37.70 W	0.00	212 of 298 - Sale <sup>25</sup>
	37.000	253.44	08/24/20	289.64	36.20 W	0.00	213 of 298 - Sale <sup>25</sup>
	2.000	13.70	08/24/20	16.25	2.55 W	0.00	214 of 298 - Sale <sup>25</sup>
	7.000	47.95	08/24/20	57.34	9.39 W	0.00	215 of 298 - Sale <sup>25</sup>
	33.000	226.04	08/24/20	283.08	57.04 W	0.00	216 of 298 - Sale <sup>25</sup>
	8.000	54.80	08/24/20	68.63	13.83 W	0.00	217 of 298 - Sale <sup>25</sup>
	2.000	13.70	08/24/20	16.01	2.31 W	0.00	218 of 298 - Sale <sup>25</sup>
	7.000	47.95	08/24/20	56.12	8.17 W	0.00	219 of 298 - Sale <sup>25</sup>
	8.000	54.80	08/24/20	68.62	13.82 W	0.00	220 of 298 - Sale <sup>25</sup>
	8.000	54.80	08/24/20	66.13	11.33 W	0.00	221 of 298 - Sale <sup>25</sup>
	9.000	61.65	08/24/20	77.20	15.55 W	0.00	222 of 298 - Sale <sup>25</sup>
	10.000	68.50	08/24/20	80.05	11.55 W	0.00	223 of 298 - Sale <sup>25</sup>
	11.000	75.35	08/24/20	88.06	12.71 W	0.00	224 of 298 - Sale <sup>25</sup>
	12.000	82.20	08/24/20	99.23	17.03 W	0.00	225 of 298 - Sale <sup>25</sup>
	14.000	95.90	08/24/20	118.40	22.50 W	0.00	226 of 298 - Sale <sup>25</sup>
	16.000	109.59	08/24/20	128.10	18.51 W	0.00	227 of 298 - Sale <sup>25</sup>
	17.000	116.44	08/24/20	136.13	19.69 W	0.00	228 of 298 - Sale <sup>25</sup>
	20.000	136.99	08/24/20	160.15	23.16 W	0.00	229 of 298 - Sale <sup>25</sup>
	23.000	157.54	08/24/20	184.18	26.64 W	0.00	230 of 298 - Sale <sup>25</sup>
	24.000	164.39	08/24/20	192.17	27.78 W	0.00	231 of 298 - Sale <sup>25</sup>
	28.000	191.79	08/24/20	240.20	48.41 W	0.00	232 of 298 - Sale <sup>25</sup>
	28.000	191.79	08/24/20	240.21	48.42 W	0.00	233 of 298 - Sale <sup>25</sup>
	71.000	486.33	08/24/20	568.49	82.16 W	0.00	234 of 298 - Sale <sup>25</sup>
	72.000	493.18	08/24/20	617.64	124.46 W	0.00	235 of 298 - Sale <sup>25</sup>
	20.000	136.99	08/24/20	172.93	35.94 W	0.00	236 of 298 - Sale <sup>25</sup>
	30.000	205.49	08/24/20	209.10	3.61 W	0.00	237 of 298 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	1.000	6.85	08/24/20	8.32	1.47 W	0.00	238 of 298 - Sale <sup>25</sup>
	1.000	6.85	08/24/20	8.41	1.56 W	0.00	239 of 298 - Sale <sup>25</sup>
	4.000	27.40	08/24/20	33.20	5.80 W	0.00	240 of 298 - Sale <sup>25</sup>
	4.000	27.40	08/24/20	33.64	6.24 W	0.00	241 of 298 - Sale <sup>25</sup>
	4.000	27.40	08/24/20	33.68	6.28 W	0.00	242 of 298 - Sale <sup>25</sup>
	5.000	34.25	08/24/20	41.59	7.34 W	0.00	243 of 298 - Sale <sup>25</sup>
	5.000	34.25	08/24/20	42.06	7.81 W	0.00	244 of 298 - Sale <sup>25</sup>
	5.000	34.25	08/24/20	42.05	7.80 W	0.00	245 of 298 - Sale <sup>25</sup>
	6.000	41.10	08/24/20	49.90	8.80 W	0.00	246 of 298 - Sale <sup>25</sup>
	6.000	41.10	08/24/20	50.48	9.38 W	0.00	247 of 298 - Sale <sup>25</sup>
	6.000	41.10	08/24/20	50.53	9.43 W	0.00	248 of 298 - Sale <sup>25</sup>
	6.000	41.10	08/24/20	50.53	9.43 W	0.00	249 of 298 - Sale <sup>25</sup>
	7.000	47.95	08/24/20	58.15	10.20 W	0.00	250 of 298 - Sale <sup>25</sup>
	7.000	47.95	08/24/20	58.10	10.15 W	0.00	251 of 298 - Sale <sup>25</sup>
	7.000	47.95	08/24/20	58.09	10.14 W	0.00	252 of 298 - Sale <sup>25</sup>
	8.000	54.80	08/24/20	66.46	11.66 W	0.00	253 of 298 - Sale <sup>25</sup>
	8.000	54.80	08/24/20	66.39	11.59 W	0.00	254 of 298 - Sale <sup>25</sup>
	8.000	54.80	08/24/20	67.30	12.50 W	0.00	255 of 298 - Sale <sup>25</sup>
	9.000	61.65	08/24/20	75.70	14.05 W	0.00	256 of 298 - Sale <sup>25</sup>
	10.000	68.50	08/24/20	84.12	15.62 W	0.00	257 of 298 - Sale <sup>25</sup>
	11.000	75.35	08/24/20	91.30	15.95 W	0.00	258 of 298 - Sale <sup>25</sup>
	13.000	89.05	08/24/20	109.48	20.43 W	0.00	259 of 298 - Sale <sup>25</sup>
	14.000	95.90	08/24/20	116.21	20.31 W	0.00	260 of 298 - Sale <sup>25</sup>
	14.000	95.90	08/24/20	116.10	20.20 W	0.00	261 of 298 - Sale <sup>25</sup>
	14.000	95.89	08/24/20	117.92	22.03 W	0.00	262 of 298 - Sale <sup>25</sup>
	14.000	95.90	08/24/20	117.92	22.02 W	0.00	263 of 298 - Sale <sup>25</sup>
	15.000	102.74	08/24/20	126.33	23.59 W	0.00	264 of 298 - Sale <sup>25</sup>
	16.000	109.59	08/24/20	134.58	24.99 W	0.00	265 of 298 - Sale <sup>25</sup>
	18.000	123.29	08/24/20	151.61	28.32 W	0.00	266 of 298 - Sale <sup>25</sup>
	21.000	143.84	08/24/20	174.32	30.48 W	0.00	267 of 298 - Sale <sup>25</sup>
	21.000	143.84	08/24/20	174.17	30.33 W	0.00	268 of 298 - Sale <sup>25</sup>
	21.000	143.84	08/24/20	176.66	32.82 W	0.00	269 of 298 - Sale <sup>25</sup>
	23.000	157.54	08/24/20	190.93	33.39 W	0.00	270 of 298 - Sale <sup>25</sup>
	23.000	157.54	08/24/20	190.93	33.39 W	0.00	271 of 298 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	28.000	191.79	08/24/20	232.41	40.62 W	0.00	272 of 298 - Sale <sup>25</sup>
	30.000	205.49	08/24/20	249.02	43.53 W	0.00	273 of 298 - Sale <sup>25</sup>
	35.000	239.74	08/24/20	290.26	50.52 W	0.00	274 of 298 - Sale <sup>25</sup>
	38.000	260.29	08/24/20	315.40	55.11 W	0.00	275 of 298 - Sale <sup>25</sup>
	39.000	267.14	08/24/20	328.45	61.31 W	0.00	276 of 298 - Sale <sup>25</sup>
	40.000	273.99	08/24/20	336.87	62.88 W	0.00	277 of 298 - Sale <sup>25</sup>
	45.000	308.24	08/24/20	373.85	65.61 W	0.00	278 of 298 - Sale <sup>25</sup>
	51.000	349.33	08/24/20	422.93	73.60 W	0.00	279 of 298 - Sale <sup>25</sup>
	139.000	952.10	08/24/20	1,169.26	217.16 W	0.00	280 of 298 - Sale <sup>25</sup>
	1.000	6.85	08/24/20	8.74	1.89 W	0.00	281 of 298 - Sale <sup>25</sup>
	3.000	20.55	08/24/20	25.27	4.72 W	0.00	282 of 298 - Sale <sup>25</sup>
	5.000	34.25	08/24/20	43.66	9.41 W	0.00	283 of 298 - Sale <sup>25</sup>
	7.000	47.95	08/24/20	60.53	12.58 W	0.00	284 of 298 - Sale <sup>25</sup>
	11.000	75.35	08/24/20	96.31	20.96 W	0.00	285 of 298 - Sale <sup>25</sup>
	20.000	136.99	08/24/20	174.63	37.64 W	0.00	286 of 298 - Sale <sup>25</sup>
	20.000	136.99	08/24/20	174.63	37.64 W	0.00	287 of 298 - Sale <sup>25</sup>
	22.000	150.69	08/24/20	192.10	41.41 W	0.00	288 of 298 - Sale <sup>25</sup>
	39.000	267.14	08/24/20	341.49	74.35 W	0.00	289 of 298 - Sale <sup>25</sup>
	62.000	424.68	08/24/20	542.90	118.22 W	0.00	290 of 298 - Sale <sup>25</sup>
	100.000	684.96	08/24/20	875.65	190.69 W	0.00	291 of 298 - Sale <sup>25</sup>
	110.000	753.46	08/24/20	960.46	207.00 W	0.00	292 of 298 - Sale <sup>25</sup>
	190.000	1,301.43	08/24/20	1,326.20	24.77 W	0.00	293 of 298 - Sale <sup>25</sup>
	1.000	6.95	08/24/20	8.66	1.71 W	0.00	294 of 298 - Sale <sup>25</sup>
	2.000	13.90	08/24/20	17.31	3.41 W	0.00	295 of 298 - Sale <sup>25</sup>
	5.000	34.75	08/24/20	43.27	8.52 W	0.00	296 of 298 - Sale <sup>25</sup>
	14.000	97.32	08/24/20	121.18	23.86 W	0.00	297 of 298 - Sale <sup>25</sup>
	43.000	298.90	08/24/20	372.22	73.32 W	0.00	298 of 298 - Sale <sup>25</sup>
08/24/20	5,223.000	35,845.58	Various	42,744.97	6,899.39 W	0.00	Total of 298 transactions
	58 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	1.000	7.27	08/25/20	9.05	1.78 W	0.00	1 of 58 - Sale <sup>25</sup>
	4.000	29.08	08/25/20	32.61	3.53 W	0.00	2 of 58 - Sale <sup>25</sup>
	7.000	50.89	08/25/20	56.94	6.05 W	0.00	3 of 58 - Sale <sup>25</sup>
	11.000	79.97	08/25/20	97.07	17.10 W	0.00	4 of 58 - Sale <sup>25</sup>
	12.000	87.24	08/25/20	97.73	10.49 W	0.00	5 of 58 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	1.000	7.27	08/25/20	8.83	1.56 W	0.00	6 of 58 - Sale <sup>25</sup>
	8.000	58.16	08/25/20	66.84	8.68 W	0.00	7 of 58 - Sale <sup>25</sup>
	11.000	79.97	08/25/20	91.92	11.95 W	0.00	8 of 58 - Sale <sup>25</sup>
	16.000	116.32	08/25/20	141.22	24.90 W	0.00	9 of 58 - Sale <sup>25</sup>
	29.000	210.82	08/25/20	242.34	31.52 W	0.00	10 of 58 - Sale <sup>25</sup>
	1.000	7.27	08/25/20	8.05	0.78 W	0.00	11 of 58 - Sale <sup>25</sup>
	2.000	14.54	08/25/20	16.12	1.58 W	0.00	12 of 58 - Sale <sup>25</sup>
	3.000	21.81	08/25/20	24.92	3.11 W	0.00	13 of 58 - Sale <sup>25</sup>
	3.000	21.81	08/25/20	24.36	2.55 W	0.00	14 of 58 - Sale <sup>25</sup>
	3.000	21.81	08/25/20	24.19	2.38 W	0.00	15 of 58 - Sale <sup>25</sup>
	4.000	29.08	08/25/20	32.19	3.11 W	0.00	16 of 58 - Sale <sup>25</sup>
	17.000	123.58	08/25/20	138.04	14.46 W	0.00	17 of 58 - Sale <sup>25</sup>
	18.000	130.85	08/25/20	150.42	19.57 W	0.00	18 of 58 - Sale <sup>25</sup>
	16.000	116.31	08/25/20	129.34	13.03 W	0.00	19 of 58 - Sale <sup>25</sup>
	50.000	363.49	08/25/20	402.48	38.99 W	0.00	20 of 58 - Sale <sup>25</sup>
	7.000	50.89	08/25/20	56.58	5.69 W	0.00	21 of 58 - Sale <sup>25</sup>
	19.000	138.12	08/25/20	153.57	15.45 W	0.00	22 of 58 - Sale <sup>25</sup>
	4.000	29.08	08/25/20	32.33	3.25 W	0.00	23 of 58 - Sale <sup>25</sup>
	20.000	145.40	08/25/20	161.65	16.25 W	0.00	24 of 58 - Sale <sup>25</sup>
	7.000	50.89	08/25/20	56.58	5.69 W	0.00	25 of 58 - Sale <sup>25</sup>
	26.000	189.01	08/25/20	210.14	21.13 W	0.00	26 of 58 - Sale <sup>25</sup>
	1.000	7.27	08/25/20	8.07	0.80 W	0.00	27 of 58 - Sale <sup>25</sup>
	2.000	14.54	08/25/20	16.37	1.83 W	0.00	28 of 58 - Sale <sup>25</sup>
	3.000	21.81	08/25/20	24.56	2.75 W	0.00	29 of 58 - Sale <sup>25</sup>
	4.000	29.08	08/25/20	32.33	3.25 W	0.00	30 of 58 - Sale <sup>25</sup>
	4.000	29.08	08/25/20	32.29	3.21 W	0.00	31 of 58 - Sale <sup>25</sup>
	5.000	36.35	08/25/20	40.36	4.01 W	0.00	32 of 58 - Sale <sup>25</sup>
	5.000	36.35	08/25/20	40.94	4.59 W	0.00	33 of 58 - Sale <sup>25</sup>
	6.000	43.62	08/25/20	49.12	5.50 W	0.00	34 of 58 - Sale <sup>25</sup>
	6.000	43.62	08/25/20	49.13	5.51 W	0.00	35 of 58 - Sale <sup>25</sup>
	7.000	50.89	08/25/20	57.31	6.42 W	0.00	36 of 58 - Sale <sup>25</sup>
	7.000	50.89	08/25/20	57.31	6.42 W	0.00	37 of 58 - Sale <sup>25</sup>
	7.000	50.89	08/25/20	57.32	6.43 W	0.00	38 of 58 - Sale <sup>25</sup>
	8.000	58.16	08/25/20	65.50	7.34 W	0.00	39 of 58 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	8.000	58.16	08/25/20	65.50	7.34 W	0.00	40 of 58 - Sale <sup>25</sup>
	8.000	58.16	08/25/20	65.50	7.34 W	0.00	41 of 58 - Sale <sup>25</sup>
	10.000	72.70	08/25/20	80.82	8.12 W	0.00	42 of 58 - Sale <sup>25</sup>
	11.000	79.96	08/25/20	90.06	10.10 W	0.00	43 of 58 - Sale <sup>25</sup>
	12.000	87.24	08/25/20	96.88	9.64 W	0.00	44 of 58 - Sale <sup>25</sup>
	14.000	101.78	08/25/20	113.04	11.26 W	0.00	45 of 58 - Sale <sup>25</sup>
	14.000	101.77	08/25/20	114.64	12.87 W	0.00	46 of 58 - Sale <sup>25</sup>
	17.000	123.58	08/25/20	139.22	15.64 W	0.00	47 of 58 - Sale <sup>25</sup>
	20.000	145.39	08/25/20	166.77	21.38 W	0.00	48 of 58 - Sale <sup>25</sup>
	21.000	152.66	08/25/20	169.55	16.89 W	0.00	49 of 58 - Sale <sup>25</sup>
	1.000	7.27	08/25/20	8.44	1.17 W	0.00	50 of 58 - Sale <sup>25</sup>
	7.000	50.88	08/25/20	58.01	7.13 W	0.00	51 of 58 - Sale <sup>25</sup>
	17.000	123.58	08/25/20	141.75	18.17 W	0.00	52 of 58 - Sale <sup>25</sup>
	2.000	14.38	08/25/20	16.73	2.35 W	0.00	53 of 58 - Sale <sup>25</sup>
	4.000	28.77	08/25/20	33.47	4.70 W	0.00	54 of 58 - Sale <sup>25</sup>
	6.000	43.15	08/25/20	50.20	7.05 W	0.00	55 of 58 - Sale <sup>25</sup>
	16.000	115.06	08/25/20	133.88	18.82 W	0.00	56 of 58 - Sale <sup>25</sup>
	4.000	26.89	08/25/20	31.27	4.38 W	0.00	57 of 58 - Sale <sup>25</sup>
	6.000	40.34	08/25/20	46.90	6.56 W	0.00	58 of 58 - Sale <sup>25</sup>
08/25/20	563.000	4,085.20	Various	4,618.75	533.55 W	0.00	Total of 58 transactions
188 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	4.000	26.48	08/26/20	30.91	4.43 W	0.00	1 of 188 - Sale <sup>25</sup>
	5.000	33.10	08/26/20	38.63	5.53 W	0.00	2 of 188 - Sale <sup>25</sup>
	31.000	205.21	08/26/20	239.54	34.33 W	0.00	3 of 188 - Sale <sup>25</sup>
	1.000	6.62	08/26/20	9.44	2.82 W	0.00	4 of 188 - Sale <sup>25</sup>
	1.000	6.62	08/26/20	9.43	2.81 W	0.00	5 of 188 - Sale <sup>25</sup>
	1.000	6.62	08/26/20	9.43	2.81 W	0.00	6 of 188 - Sale <sup>25</sup>
	1.000	6.62	08/26/20	9.18	2.56 W	0.00	7 of 188 - Sale <sup>25</sup>
	2.000	13.24	08/26/20	29.11	15.87 W	0.00	8 of 188 - Sale <sup>25</sup>
	2.000	13.24	08/26/20	18.88	5.64 W	0.00	9 of 188 - Sale <sup>25</sup>
	2.000	13.24	08/26/20	18.87	5.63 W	0.00	10 of 188 - Sale <sup>25</sup>
	2.000	13.24	08/26/20	17.56	4.32 W	0.00	11 of 188 - Sale <sup>25</sup>
	3.000	19.86	08/26/20	26.36	6.50 W	0.00	12 of 188 - Sale <sup>25</sup>
	3.000	19.86	08/26/20	28.29	8.43 W	0.00	13 of 188 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	3.000	19.86	08/26/20	26.24	6.38 W	0.00	14 of 188 - Sale <sup>25</sup>
	4.000	26.48	08/26/20	36.77	10.29 W	0.00	15 of 188 - Sale <sup>25</sup>
	8.000	52.96	08/26/20	65.18	12.22 W	0.00	16 of 188 - Sale <sup>25</sup>
	11.000	72.82	08/26/20	89.61	16.79 W	0.00	17 of 188 - Sale <sup>25</sup>
	12.000	79.44	08/26/20	97.74	18.30 W	0.00	18 of 188 - Sale <sup>25</sup>
	16.000	105.92	08/26/20	123.66	17.74 W	0.00	19 of 188 - Sale <sup>25</sup>
	10.000	66.20	08/26/20	81.48	15.28 W	0.00	20 of 188 - Sale <sup>25</sup>
	3.000	19.86	08/26/20	23.70	3.84 W	0.00	21 of 188 - Sale <sup>25</sup>
	4.000	26.48	08/26/20	31.69	5.21 W	0.00	22 of 188 - Sale <sup>25</sup>
	4.000	26.47	08/26/20	31.60	5.13 W	0.00	23 of 188 - Sale <sup>25</sup>
	9.000	59.58	08/26/20	73.33	13.75 W	0.00	24 of 188 - Sale <sup>25</sup>
	9.000	59.57	08/26/20	71.28	11.71 W	0.00	25 of 188 - Sale <sup>25</sup>
	20.000	132.39	08/26/20	158.46	26.07 W	0.00	26 of 188 - Sale <sup>25</sup>
	29.000	191.97	08/26/20	229.75	37.78 W	0.00	27 of 188 - Sale <sup>25</sup>
	2.000	13.24	08/26/20	15.85	2.61 W	0.00	28 of 188 - Sale <sup>25</sup>
	5.000	33.10	08/26/20	39.62	6.52 W	0.00	29 of 188 - Sale <sup>25</sup>
	3.000	19.86	08/26/20	23.70	3.84 W	0.00	30 of 188 - Sale <sup>25</sup>
	7.000	46.34	08/26/20	55.94	9.60 W	0.00	31 of 188 - Sale <sup>25</sup>
	14.000	92.68	08/26/20	110.96	18.28 W	0.00	32 of 188 - Sale <sup>25</sup>
	14.000	92.68	08/26/20	110.95	18.27 W	0.00	33 of 188 - Sale <sup>25</sup>
	19.000	125.78	08/26/20	159.19	33.41 W	0.00	34 of 188 - Sale <sup>25</sup>
	25.000	165.50	08/26/20	198.15	32.65 W	0.00	35 of 188 - Sale <sup>25</sup>
	33.000	218.45	08/26/20	258.27	39.82 W	0.00	36 of 188 - Sale <sup>25</sup>
	7.000	46.34	08/26/20	54.79	8.45 W	0.00	37 of 188 - Sale <sup>25</sup>
	8.000	52.96	08/26/20	67.11	14.15 W	0.00	38 of 188 - Sale <sup>25</sup>
	8.000	52.96	08/26/20	67.10	14.14 W	0.00	39 of 188 - Sale <sup>25</sup>
	9.000	59.58	08/26/20	75.49	15.91 W	0.00	40 of 188 - Sale <sup>25</sup>
	12.000	79.44	08/26/20	93.81	14.37 W	0.00	41 of 188 - Sale <sup>25</sup>
	14.000	92.68	08/26/20	117.44	24.76 W	0.00	42 of 188 - Sale <sup>25</sup>
	14.000	92.68	08/26/20	115.74	23.06 W	0.00	43 of 188 - Sale <sup>25</sup>
	28.000	185.35	08/26/20	234.89	49.54 W	0.00	44 of 188 - Sale <sup>25</sup>
	28.000	185.35	08/26/20	234.90	49.55 W	0.00	45 of 188 - Sale <sup>25</sup>
	72.000	476.62	08/26/20	603.98	127.36 W	0.00	46 of 188 - Sale <sup>25</sup>
	12.000	79.44	08/26/20	93.69	14.25 W	0.00	47 of 188 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	10.000	66.20	08/26/20	78.05	11.85 W	0.00	48 of 188 - Sale <sup>25</sup>
	11.000	72.82	08/26/20	85.86	13.04 W	0.00	49 of 188 - Sale <sup>25</sup>
	15.000	99.30	08/26/20	117.12	17.82 W	0.00	50 of 188 - Sale <sup>25</sup>
	16.000	105.92	08/26/20	124.91	18.99 W	0.00	51 of 188 - Sale <sup>25</sup>
	20.000	132.39	08/26/20	156.16	23.77 W	0.00	52 of 188 - Sale <sup>25</sup>
	23.000	152.25	08/26/20	179.59	27.34 W	0.00	53 of 188 - Sale <sup>25</sup>
	24.000	158.87	08/26/20	187.38	28.51 W	0.00	54 of 188 - Sale <sup>25</sup>
	47.000	311.13	08/26/20	366.93	55.80 W	0.00	55 of 188 - Sale <sup>25</sup>
	2.000	13.28	08/26/20	15.62	2.34 W	0.00	56 of 188 - Sale <sup>25</sup>
	2.000	13.28	08/26/20	15.61	2.33 W	0.00	57 of 188 - Sale <sup>25</sup>
	1.000	6.64	08/26/20	8.12	1.48 W	0.00	58 of 188 - Sale <sup>25</sup>
	8.000	53.12	08/26/20	64.53	11.41 W	0.00	59 of 188 - Sale <sup>25</sup>
	12.000	79.68	08/26/20	96.83	17.15 W	0.00	60 of 188 - Sale <sup>25</sup>
	1.000	6.42	08/26/20	8.12	1.70 W	0.00	61 of 188 - Sale <sup>25</sup>
	4.000	25.69	08/26/20	32.47	6.78 W	0.00	62 of 188 - Sale <sup>25</sup>
	6.000	38.53	08/26/20	48.70	10.17 W	0.00	63 of 188 - Sale <sup>25</sup>
	8.000	51.38	08/26/20	64.86	13.48 W	0.00	64 of 188 - Sale <sup>25</sup>
	12.000	77.07	08/26/20	97.30	20.23 W	0.00	65 of 188 - Sale <sup>25</sup>
	1.000	6.42	08/26/20	8.21	1.79 W	0.00	66 of 188 - Sale <sup>25</sup>
	4.000	25.69	08/26/20	32.40	6.71 W	0.00	67 of 188 - Sale <sup>25</sup>
	4.000	25.69	08/26/20	32.84	7.15 W	0.00	68 of 188 - Sale <sup>25</sup>
	5.000	32.11	08/26/20	41.06	8.95 W	0.00	69 of 188 - Sale <sup>25</sup>
	5.000	32.11	08/26/20	41.05	8.94 W	0.00	70 of 188 - Sale <sup>25</sup>
	6.000	38.53	08/26/20	49.28	10.75 W	0.00	71 of 188 - Sale <sup>25</sup>
	6.000	38.54	08/26/20	49.33	10.79 W	0.00	72 of 188 - Sale <sup>25</sup>
	7.000	44.96	08/26/20	56.75	11.79 W	0.00	73 of 188 - Sale <sup>25</sup>
	7.000	44.96	08/26/20	56.70	11.74 W	0.00	74 of 188 - Sale <sup>25</sup>
	7.000	44.96	08/26/20	56.69	11.73 W	0.00	75 of 188 - Sale <sup>25</sup>
	8.000	51.38	08/26/20	64.79	13.41 W	0.00	76 of 188 - Sale <sup>25</sup>
	8.000	51.38	08/26/20	65.70	14.32 W	0.00	77 of 188 - Sale <sup>25</sup>
	9.000	57.80	08/26/20	73.90	16.10 W	0.00	78 of 188 - Sale <sup>25</sup>
	10.000	64.22	08/26/20	82.12	17.90 W	0.00	79 of 188 - Sale <sup>25</sup>
	11.000	70.65	08/26/20	89.10	18.45 W	0.00	80 of 188 - Sale <sup>25</sup>
	14.000	89.91	08/26/20	113.41	23.50 W	0.00	81 of 188 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	14.000	89.91	08/26/20	113.30	23.39 W	0.00	82 of 188 - Sale <sup>25</sup>
	16.000	102.76	08/26/20	131.39	28.63 W	0.00	83 of 188 - Sale <sup>25</sup>
	21.000	134.87	08/26/20	170.13	35.26 W	0.00	84 of 188 - Sale <sup>25</sup>
	21.000	134.87	08/26/20	169.98	35.11 W	0.00	85 of 188 - Sale <sup>25</sup>
	21.000	134.87	08/26/20	172.47	37.60 W	0.00	86 of 188 - Sale <sup>25</sup>
	23.000	147.71	08/26/20	186.34	38.63 W	0.00	87 of 188 - Sale <sup>25</sup>
	23.000	147.71	08/26/20	186.34	38.63 W	0.00	88 of 188 - Sale <sup>25</sup>
	28.000	179.83	08/26/20	226.82	46.99 W	0.00	89 of 188 - Sale <sup>25</sup>
	30.000	192.67	08/26/20	243.03	50.36 W	0.00	90 of 188 - Sale <sup>25</sup>
	33.000	211.94	08/26/20	267.56	55.62 W	0.00	91 of 188 - Sale <sup>25</sup>
	35.000	224.78	08/26/20	283.27	58.49 W	0.00	92 of 188 - Sale <sup>25</sup>
	38.000	244.05	08/26/20	307.81	63.76 W	0.00	93 of 188 - Sale <sup>25</sup>
	39.000	250.47	08/26/20	320.66	70.19 W	0.00	94 of 188 - Sale <sup>25</sup>
	51.000	327.54	08/26/20	412.75	85.21 W	0.00	95 of 188 - Sale <sup>25</sup>
	139.000	892.70	08/26/20	1,141.51	248.81 W	0.00	96 of 188 - Sale <sup>25</sup>
	1.000	6.41	08/26/20	8.08	1.67 W	0.00	97 of 188 - Sale <sup>25</sup>
	1.000	6.41	08/26/20	8.40	1.99 W	0.00	98 of 188 - Sale <sup>25</sup>
	3.000	19.23	08/26/20	24.25	5.02 W	0.00	99 of 188 - Sale <sup>25</sup>
	4.000	25.64	08/26/20	32.32	6.68 W	0.00	100 of 188 - Sale <sup>25</sup>
	5.000	32.05	08/26/20	41.96	9.91 W	0.00	101 of 188 - Sale <sup>25</sup>
	6.000	38.46	08/26/20	48.49	10.03 W	0.00	102 of 188 - Sale <sup>25</sup>
	6.000	38.46	08/26/20	48.49	10.03 W	0.00	103 of 188 - Sale <sup>25</sup>
	7.000	44.87	08/26/20	58.15	13.28 W	0.00	104 of 188 - Sale <sup>25</sup>
	11.000	70.51	08/26/20	92.57	22.06 W	0.00	105 of 188 - Sale <sup>25</sup>
	13.000	83.33	08/26/20	105.06	21.73 W	0.00	106 of 188 - Sale <sup>25</sup>
	14.000	89.74	08/26/20	113.17	23.43 W	0.00	107 of 188 - Sale <sup>25</sup>
	14.000	89.74	08/26/20	113.16	23.42 W	0.00	108 of 188 - Sale <sup>25</sup>
	15.000	96.15	08/26/20	121.24	25.09 W	0.00	109 of 188 - Sale <sup>25</sup>
	18.000	115.38	08/26/20	145.50	30.12 W	0.00	110 of 188 - Sale <sup>25</sup>
	20.000	128.20	08/26/20	167.84	39.64 W	0.00	111 of 188 - Sale <sup>25</sup>
	20.000	128.20	08/26/20	167.84	39.64 W	0.00	112 of 188 - Sale <sup>25</sup>
	20.000	128.20	08/26/20	166.14	37.94 W	0.00	113 of 188 - Sale <sup>25</sup>
	22.000	141.02	08/26/20	184.63	43.61 W	0.00	114 of 188 - Sale <sup>25</sup>
	30.000	192.29	08/26/20	198.91	6.62 W	0.00	115 of 188 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	33.000	211.52	08/26/20	266.71	55.19 W	0.00	116 of 188 - Sale <sup>25</sup>
	39.000	249.98	08/26/20	328.24	78.26 W	0.00	117 of 188 - Sale <sup>25</sup>
	62.000	397.41	08/26/20	521.84	124.43 W	0.00	118 of 188 - Sale <sup>25</sup>
	100.000	640.98	08/26/20	841.69	200.71 W	0.00	119 of 188 - Sale <sup>25</sup>
	110.000	705.08	08/26/20	923.10	218.02 W	0.00	120 of 188 - Sale <sup>25</sup>
	115.000	737.12	08/26/20	763.65	26.53 W	0.00	121 of 188 - Sale <sup>25</sup>
	11.000	70.51	08/26/20	73.04	2.53 W	0.00	122 of 188 - Sale <sup>25</sup>
	1.000	6.40	08/26/20	8.16	1.76 W	0.00	123 of 188 - Sale <sup>25</sup>
	64.000	409.59	08/26/20	421.14	11.55 W	0.00	124 of 188 - Sale <sup>25</sup>
	1.000	6.40	08/26/20	8.30	1.90 W	0.00	125 of 188 - Sale <sup>25</sup>
	1.000	6.40	08/26/20	8.08	1.68 W	0.00	126 of 188 - Sale <sup>25</sup>
	1.000	6.40	08/26/20	7.30	0.90 W	0.00	127 of 188 - Sale <sup>25</sup>
	1.000	6.40	08/26/20	7.32	0.92 W	0.00	128 of 188 - Sale <sup>25</sup>
	1.000	6.40	08/26/20	7.69	1.29 W	0.00	129 of 188 - Sale <sup>25</sup>
	2.000	12.80	08/26/20	16.45	3.65 W	0.00	130 of 188 - Sale <sup>25</sup>
	2.000	12.80	08/26/20	14.62	1.82 W	0.00	131 of 188 - Sale <sup>25</sup>
	2.000	12.80	08/26/20	14.87	2.07 W	0.00	132 of 188 - Sale <sup>25</sup>
	2.000	12.80	08/26/20	15.39	2.59 W	0.00	133 of 188 - Sale <sup>25</sup>
	3.000	19.20	08/26/20	22.67	3.47 W	0.00	134 of 188 - Sale <sup>25</sup>
	3.000	19.20	08/26/20	22.11	2.91 W	0.00	135 of 188 - Sale <sup>25</sup>
	3.000	19.20	08/26/20	21.94	2.74 W	0.00	136 of 188 - Sale <sup>25</sup>
	3.000	19.20	08/26/20	22.31	3.11 W	0.00	137 of 188 - Sale <sup>25</sup>
	4.000	25.60	08/26/20	29.61	4.01 W	0.00	138 of 188 - Sale <sup>25</sup>
	4.000	25.60	08/26/20	29.19	3.59 W	0.00	139 of 188 - Sale <sup>25</sup>
	4.000	25.60	08/26/20	29.33	3.73 W	0.00	140 of 188 - Sale <sup>25</sup>
	4.000	25.60	08/26/20	29.33	3.73 W	0.00	141 of 188 - Sale <sup>25</sup>
	4.000	25.60	08/26/20	29.29	3.69 W	0.00	142 of 188 - Sale <sup>25</sup>
	4.000	25.60	08/26/20	30.78	5.18 W	0.00	143 of 188 - Sale <sup>25</sup>
	4.000	25.60	08/26/20	30.46	4.86 W	0.00	144 of 188 - Sale <sup>25</sup>
	5.000	32.00	08/26/20	41.12	9.12 W	0.00	145 of 188 - Sale <sup>25</sup>
	5.000	32.00	08/26/20	36.61	4.61 W	0.00	146 of 188 - Sale <sup>25</sup>
	5.000	32.00	08/26/20	37.19	5.19 W	0.00	147 of 188 - Sale <sup>25</sup>
	6.000	38.40	08/26/20	44.62	6.22 W	0.00	148 of 188 - Sale <sup>25</sup>
	6.000	38.40	08/26/20	44.63	6.23 W	0.00	149 of 188 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	6.000	38.40	08/26/20	46.17	7.77 W	0.00	150 of 188 - Sale <sup>25</sup>
	6.000	38.40	08/26/20	45.68	7.28 W	0.00	151 of 188 - Sale <sup>25</sup>
	7.000	44.80	08/26/20	51.69	6.89 W	0.00	152 of 188 - Sale <sup>25</sup>
	7.000	44.80	08/26/20	51.33	6.53 W	0.00	153 of 188 - Sale <sup>25</sup>
	7.000	44.80	08/26/20	51.33	6.53 W	0.00	154 of 188 - Sale <sup>25</sup>
	7.000	44.80	08/26/20	52.06	7.26 W	0.00	155 of 188 - Sale <sup>25</sup>
	7.000	44.80	08/26/20	52.06	7.26 W	0.00	156 of 188 - Sale <sup>25</sup>
	7.000	44.80	08/26/20	52.07	7.27 W	0.00	157 of 188 - Sale <sup>25</sup>
	7.000	44.80	08/26/20	52.77	7.97 W	0.00	158 of 188 - Sale <sup>25</sup>
	8.000	51.20	08/26/20	60.84	9.64 W	0.00	159 of 188 - Sale <sup>25</sup>
	8.000	51.20	08/26/20	59.50	8.30 W	0.00	160 of 188 - Sale <sup>25</sup>
	8.000	51.20	08/26/20	59.50	8.30 W	0.00	161 of 188 - Sale <sup>25</sup>
	8.000	51.20	08/26/20	59.50	8.30 W	0.00	162 of 188 - Sale <sup>25</sup>
	10.000	64.00	08/26/20	73.32	9.32 W	0.00	163 of 188 - Sale <sup>25</sup>
	11.000	70.40	08/26/20	88.82	18.42 W	0.00	164 of 188 - Sale <sup>25</sup>
	11.000	70.40	08/26/20	83.67	13.27 W	0.00	165 of 188 - Sale <sup>25</sup>
	11.000	70.40	08/26/20	81.82	11.42 W	0.00	166 of 188 - Sale <sup>25</sup>
	12.000	76.80	08/26/20	88.73	11.93 W	0.00	167 of 188 - Sale <sup>25</sup>
	12.000	76.80	08/26/20	87.88	11.08 W	0.00	168 of 188 - Sale <sup>25</sup>
	14.000	89.60	08/26/20	115.14	25.54 W	0.00	169 of 188 - Sale <sup>25</sup>
	14.000	89.60	08/26/20	102.54	12.94 W	0.00	170 of 188 - Sale <sup>25</sup>
	14.000	89.59	08/26/20	104.15	14.56 W	0.00	171 of 188 - Sale <sup>25</sup>
	16.000	102.40	08/26/20	129.22	26.82 W	0.00	172 of 188 - Sale <sup>25</sup>
	16.000	102.40	08/26/20	117.35	14.95 W	0.00	173 of 188 - Sale <sup>25</sup>
	16.000	102.40	08/26/20	123.14	20.74 W	0.00	174 of 188 - Sale <sup>25</sup>
	17.000	108.80	08/26/20	125.30	16.50 W	0.00	175 of 188 - Sale <sup>25</sup>
	17.000	108.79	08/26/20	126.48	17.69 W	0.00	176 of 188 - Sale <sup>25</sup>
	17.000	108.79	08/26/20	129.01	20.22 W	0.00	177 of 188 - Sale <sup>25</sup>
	18.000	115.20	08/26/20	136.93	21.73 W	0.00	178 of 188 - Sale <sup>25</sup>
	18.000	115.18	08/26/20	137.29	22.11 W	0.00	179 of 188 - Sale <sup>25</sup>
	19.000	121.60	08/26/20	139.33	17.73 W	0.00	180 of 188 - Sale <sup>25</sup>
	20.000	128.00	08/26/20	146.65	18.65 W	0.00	181 of 188 - Sale <sup>25</sup>
	20.000	127.99	08/26/20	151.78	23.79 W	0.00	182 of 188 - Sale <sup>25</sup>
	21.000	134.39	08/26/20	153.81	19.42 W	0.00	183 of 188 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	26.000	166.39	08/26/20	190.65	24.26 W	0.00	184 of 188 - Sale <sup>25</sup>
	29.000	185.59	08/26/20	220.60	35.01 W	0.00	185 of 188 - Sale <sup>25</sup>
	43.000	275.19	08/26/20	353.68	78.49 W	0.00	186 of 188 - Sale <sup>25</sup>
	50.000	319.99	08/26/20	364.99	45.00 W	0.00	187 of 188 - Sale <sup>25</sup>
	4.000	25.60	08/26/20	30.50	4.90 W	0.00	188 of 188 - Sale <sup>25</sup>
08/26/20	2,814.000	18,191.31	Various	22,244.80	4,053.49 W	0.00	Total of 188 transactions
	235 transactions for 08/28/20. Total proceeds and cost reported to the IRS.						
	72.000	435.58	08/28/20	601.89	...	-166.31	1 of 235 - Sale <sup>25</sup>
	51.000	308.53	08/28/20	421.35	...	-112.82	2 of 235 - Sale <sup>25</sup>
	41.000	248.03	08/28/20	343.62	...	-95.59	3 of 235 - Sale <sup>25</sup>
	41.000	248.45	08/28/20	340.94	...	-92.49	4 of 235 - Sale <sup>25</sup>
	39.000	235.94	08/28/20	327.23	...	-91.29	5 of 235 - Sale <sup>25</sup>
	38.000	229.89	08/28/20	314.20	...	-84.31	6 of 235 - Sale <sup>25</sup>
	36.000	217.08	08/28/20	300.14	...	-83.06	7 of 235 - Sale <sup>25</sup>
	33.000	200.41	08/28/20	277.94	...	-77.53	8 of 235 - Sale <sup>25</sup>
	35.000	211.74	08/28/20	289.16	...	-77.42	9 of 235 - Sale <sup>25</sup>
	33.000	199.64	08/28/20	273.12	...	-73.48	10 of 235 - Sale <sup>25</sup>
	33.000	199.64	08/28/20	272.69	...	-73.05	11 of 235 - Sale <sup>25</sup>
	42.000	254.09	08/28/20	326.66	...	-72.57	12 of 235 - Sale <sup>25</sup>
	30.000	181.49	08/28/20	251.43	...	-69.94	13 of 235 - Sale <sup>25</sup>
	30.000	181.49	08/28/20	248.09	...	-66.60	14 of 235 - Sale <sup>25</sup>
	28.000	169.39	08/28/20	234.10	...	-64.71	15 of 235 - Sale <sup>25</sup>
	28.000	169.39	08/28/20	234.09	...	-64.70	16 of 235 - Sale <sup>25</sup>
	26.000	157.28	08/28/20	221.58	...	-64.30	17 of 235 - Sale <sup>25</sup>
	26.000	157.29	08/28/20	221.59	...	-64.30	18 of 235 - Sale <sup>25</sup>
	48.000	290.87	08/28/20	354.74	...	-63.87	19 of 235 - Sale <sup>25</sup>
	28.000	169.39	08/28/20	231.54	...	-62.15	20 of 235 - Sale <sup>25</sup>
	91.000	551.43	08/28/20	611.61	...	-60.18	21 of 235 - Sale <sup>25</sup>
	29.000	175.44	08/28/20	228.92	...	-53.48	22 of 235 - Sale <sup>25</sup>
	21.000	127.04	08/28/20	179.00	...	-51.96	23 of 235 - Sale <sup>25</sup>
	20.000	120.99	08/28/20	170.47	...	-49.48	24 of 235 - Sale <sup>25</sup>
	20.000	120.99	08/28/20	170.47	...	-49.48	25 of 235 - Sale <sup>25</sup>
	21.000	127.04	08/28/20	176.02	...	-48.98	26 of 235 - Sale <sup>25</sup>
	29.000	175.73	08/28/20	223.24	...	-47.51	27 of 235 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
21.000		127.04	08/28/20	173.69	...	-46.65	28 of 235 - Sale <sup>25</sup>
20.000		120.99	08/28/20	165.41	...	-44.42	29 of 235 - Sale <sup>25</sup>
19.000		114.94	08/28/20	159.24	...	-44.30	30 of 235 - Sale <sup>25</sup>
24.000		145.19	08/28/20	186.70	...	-41.51	31 of 235 - Sale <sup>25</sup>
22.000		133.09	08/28/20	173.74	...	-40.65	32 of 235 - Sale <sup>25</sup>
18.000		108.89	08/28/20	148.88	...	-39.99	33 of 235 - Sale <sup>25</sup>
23.000		139.14	08/28/20	178.94	...	-39.80	34 of 235 - Sale <sup>25</sup>
64.000		387.82	08/28/20	426.93	...	-39.11	35 of 235 - Sale <sup>25</sup>
16.000		96.79	08/28/20	134.10	...	-37.31	36 of 235 - Sale <sup>25</sup>
16.000		96.79	08/28/20	134.10	...	-37.31	37 of 235 - Sale <sup>25</sup>
20.000		120.99	08/28/20	155.60	...	-34.61	38 of 235 - Sale <sup>25</sup>
16.000		96.96	08/28/20	130.66	...	-33.70	39 of 235 - Sale <sup>25</sup>
15.000		90.74	08/28/20	123.22	...	-32.48	40 of 235 - Sale <sup>25</sup>
14.000		84.70	08/28/20	117.02	...	-32.32	41 of 235 - Sale <sup>25</sup>
20.000		120.99	08/28/20	152.61	...	-31.62	42 of 235 - Sale <sup>25</sup>
14.000		84.84	08/28/20	116.40	...	-31.56	43 of 235 - Sale <sup>25</sup>
14.000		84.69	08/28/20	115.77	...	-31.08	44 of 235 - Sale <sup>25</sup>
14.000		84.69	08/28/20	115.66	...	-30.97	45 of 235 - Sale <sup>25</sup>
14.000		84.70	08/28/20	115.32	...	-30.62	46 of 235 - Sale <sup>25</sup>
13.000		78.64	08/28/20	108.95	...	-30.31	47 of 235 - Sale <sup>25</sup>
18.000		109.07	08/28/20	138.57	...	-29.50	48 of 235 - Sale <sup>25</sup>
18.000		108.90	08/28/20	137.87	...	-28.97	49 of 235 - Sale <sup>25</sup>
13.000		78.65	08/28/20	107.42	...	-28.77	50 of 235 - Sale <sup>25</sup>
13.000		78.64	08/28/20	106.76	...	-28.12	51 of 235 - Sale <sup>25</sup>
12.000		72.59	08/28/20	100.58	...	-27.99	52 of 235 - Sale <sup>25</sup>
16.000		96.79	08/28/20	124.43	...	-27.64	53 of 235 - Sale <sup>25</sup>
12.000		72.60	08/28/20	100.20	...	-27.60	54 of 235 - Sale <sup>25</sup>
21.000		127.25	08/28/20	154.68	...	-27.43	55 of 235 - Sale <sup>25</sup>
20.000		121.19	08/28/20	148.27	...	-27.08	56 of 235 - Sale <sup>25</sup>
16.000		96.80	08/28/20	123.64	...	-26.84	57 of 235 - Sale <sup>25</sup>
12.000		72.60	08/28/20	99.31	...	-26.71	58 of 235 - Sale <sup>25</sup>
12.000		72.59	08/28/20	98.57	...	-25.98	59 of 235 - Sale <sup>25</sup>
15.000		90.74	08/28/20	116.67	...	-25.93	60 of 235 - Sale <sup>25</sup>
14.000		84.70	08/28/20	110.55	...	-25.85	61 of 235 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
14.000		84.70	08/28/20	110.53	...	-25.83	62 of 235 - Sale <sup>25</sup>
19.000		115.13	08/28/20	140.87	...	-25.74	63 of 235 - Sale <sup>25</sup>
19.000		115.13	08/28/20	140.87	...	-25.74	64 of 235 - Sale <sup>25</sup>
12.000		72.60	08/28/20	97.41	...	-24.81	65 of 235 - Sale <sup>25</sup>
11.000		66.55	08/28/20	90.94	...	-24.39	66 of 235 - Sale <sup>25</sup>
17.000		103.02	08/28/20	126.83	...	-23.81	67 of 235 - Sale <sup>25</sup>
12.000		72.60	08/28/20	96.23	...	-23.63	68 of 235 - Sale <sup>25</sup>
10.000		60.50	08/28/20	83.80	...	-23.30	69 of 235 - Sale <sup>25</sup>
11.000		66.66	08/28/20	89.81	...	-23.15	70 of 235 - Sale <sup>25</sup>
10.000		60.30	08/28/20	83.13	...	-22.83	71 of 235 - Sale <sup>25</sup>
11.000		66.55	08/28/20	89.29	...	-22.74	72 of 235 - Sale <sup>25</sup>
15.000		90.89	08/28/20	112.23	...	-21.34	73 of 235 - Sale <sup>25</sup>
9.000		54.45	08/28/20	75.41	...	-20.96	74 of 235 - Sale <sup>25</sup>
12.000		72.60	08/28/20	93.45	...	-20.85	75 of 235 - Sale <sup>25</sup>
9.000		54.45	08/28/20	75.22	...	-20.77	76 of 235 - Sale <sup>25</sup>
12.000		72.60	08/28/20	93.33	...	-20.73	77 of 235 - Sale <sup>25</sup>
11.000		66.55	08/28/20	85.53	...	-18.98	78 of 235 - Sale <sup>25</sup>
8.000		48.40	08/28/20	67.04	...	-18.64	79 of 235 - Sale <sup>25</sup>
8.000		48.40	08/28/20	67.04	...	-18.64	80 of 235 - Sale <sup>25</sup>
8.000		48.40	08/28/20	66.87	...	-18.47	81 of 235 - Sale <sup>25</sup>
8.000		48.40	08/28/20	66.86	...	-18.46	82 of 235 - Sale <sup>25</sup>
14.000		84.83	08/28/20	103.10	...	-18.27	83 of 235 - Sale <sup>25</sup>
8.000		48.40	08/28/20	66.20	...	-17.80	84 of 235 - Sale <sup>25</sup>
8.000		48.40	08/28/20	66.13	...	-17.73	85 of 235 - Sale <sup>25</sup>
8.000		48.40	08/28/20	66.10	...	-17.70	86 of 235 - Sale <sup>25</sup>
10.000		60.50	08/28/20	77.97	...	-17.47	87 of 235 - Sale <sup>25</sup>
10.000		60.50	08/28/20	77.75	...	-17.25	88 of 235 - Sale <sup>25</sup>
2.000		12.10	08/28/20	29.05	...	-16.95	89 of 235 - Sale <sup>25</sup>
9.000		54.45	08/28/20	71.02	...	-16.57	90 of 235 - Sale <sup>25</sup>
8.000		48.40	08/28/20	64.96	...	-16.56	91 of 235 - Sale <sup>25</sup>
10.000		60.50	08/28/20	77.01	...	-16.51	92 of 235 - Sale <sup>25</sup>
7.000		42.35	08/28/20	58.44	...	-16.09	93 of 235 - Sale <sup>25</sup>
9.000		54.45	08/28/20	70.19	...	-15.74	94 of 235 - Sale <sup>25</sup>
8.000		48.40	08/28/20	64.13	...	-15.73	95 of 235 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	12.000	72.71	08/28/20	88.36	...	-15.65	96 of 235 - Sale <sup>25</sup>
	11.000	66.65	08/28/20	82.27	...	-15.62	97 of 235 - Sale <sup>25</sup>
	7.000	42.35	08/28/20	57.92	...	-15.57	98 of 235 - Sale <sup>25</sup>
	7.000	42.35	08/28/20	57.87	...	-15.52	99 of 235 - Sale <sup>25</sup>
	7.000	42.35	08/28/20	57.86	...	-15.51	100 of 235 - Sale <sup>25</sup>
	7.000	42.35	08/28/20	57.49	...	-15.14	101 of 235 - Sale <sup>25</sup>
	7.000	42.35	08/28/20	57.49	...	-15.14	102 of 235 - Sale <sup>25</sup>
	10.000	60.50	08/28/20	74.81	...	-14.31	103 of 235 - Sale <sup>25</sup>
	6.000	36.30	08/28/20	50.33	...	-14.03	104 of 235 - Sale <sup>25</sup>
	6.000	36.30	08/28/20	50.29	...	-13.99	105 of 235 - Sale <sup>25</sup>
	8.000	48.40	08/28/20	62.38	...	-13.98	106 of 235 - Sale <sup>25</sup>
	6.000	36.18	08/28/20	49.87	...	-13.69	107 of 235 - Sale <sup>25</sup>
	6.000	36.30	08/28/20	49.71	...	-13.41	108 of 235 - Sale <sup>25</sup>
	7.000	42.35	08/28/20	55.73	...	-13.38	109 of 235 - Sale <sup>25</sup>
	8.000	48.40	08/28/20	61.59	...	-13.19	110 of 235 - Sale <sup>25</sup>
	8.000	48.48	08/28/20	61.56	...	-13.08	111 of 235 - Sale <sup>25</sup>
	6.000	36.30	08/28/20	49.29	...	-12.99	112 of 235 - Sale <sup>25</sup>
	6.000	36.30	08/28/20	49.28	...	-12.98	113 of 235 - Sale <sup>25</sup>
	6.000	36.30	08/28/20	49.27	...	-12.97	114 of 235 - Sale <sup>25</sup>
	7.000	42.35	08/28/20	55.26	...	-12.91	115 of 235 - Sale <sup>25</sup>
	8.000	48.40	08/28/20	60.97	...	-12.57	116 of 235 - Sale <sup>25</sup>
	4.000	24.20	08/28/20	36.65	...	-12.45	117 of 235 - Sale <sup>25</sup>
	6.000	36.30	08/28/20	48.72	...	-12.42	118 of 235 - Sale <sup>25</sup>
	5.000	30.25	08/28/20	42.61	...	-12.36	119 of 235 - Sale <sup>25</sup>
	7.000	42.35	08/28/20	54.58	...	-12.23	120 of 235 - Sale <sup>25</sup>
	9.000	54.54	08/28/20	66.35	...	-11.81	121 of 235 - Sale <sup>25</sup>
	5.000	30.25	08/28/20	41.90	...	-11.65	122 of 235 - Sale <sup>25</sup>
	5.000	30.25	08/28/20	41.89	...	-11.64	123 of 235 - Sale <sup>25</sup>
	7.000	42.42	08/28/20	53.87	...	-11.45	124 of 235 - Sale <sup>25</sup>
	5.000	30.15	08/28/20	41.56	...	-11.41	125 of 235 - Sale <sup>25</sup>
	8.000	48.47	08/28/20	59.82	...	-11.35	126 of 235 - Sale <sup>25</sup>
	8.000	48.48	08/28/20	59.82	...	-11.34	127 of 235 - Sale <sup>25</sup>
	8.000	48.48	08/28/20	59.82	...	-11.34	128 of 235 - Sale <sup>25</sup>
	5.000	30.30	08/28/20	41.57	...	-11.27	129 of 235 - Sale <sup>25</sup>

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(continued)

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	5.000	30.25	08/28/20	41.35	...	-11.10	130 of 235 - Sale <sup>25</sup>
	6.000	36.30	08/28/20	47.37	...	-11.07	131 of 235 - Sale <sup>25</sup>
	7.000	42.35	08/28/20	53.34	...	-10.99	132 of 235 - Sale <sup>25</sup>
	8.000	48.48	08/28/20	59.39	...	-10.91	133 of 235 - Sale <sup>25</sup>
	7.000	42.35	08/28/20	52.98	...	-10.63	134 of 235 - Sale <sup>25</sup>
	6.000	36.30	08/28/20	46.78	...	-10.48	135 of 235 - Sale <sup>25</sup>
	5.000	30.25	08/28/20	40.60	...	-10.35	136 of 235 - Sale <sup>25</sup>
	5.000	30.25	08/28/20	40.59	...	-10.34	137 of 235 - Sale <sup>25</sup>
	6.000	36.30	08/28/20	46.35	...	-10.05	138 of 235 - Sale <sup>25</sup>
	3.000	18.15	08/28/20	28.20	...	-10.05	139 of 235 - Sale <sup>25</sup>
	7.000	42.42	08/28/20	52.35	...	-9.93	140 of 235 - Sale <sup>25</sup>
	7.000	42.42	08/28/20	52.34	...	-9.92	141 of 235 - Sale <sup>25</sup>
	7.000	42.42	08/28/20	52.34	...	-9.92	142 of 235 - Sale <sup>25</sup>
	7.000	42.42	08/28/20	52.32	...	-9.90	143 of 235 - Sale <sup>25</sup>
	6.000	36.30	08/28/20	46.20	...	-9.90	144 of 235 - Sale <sup>25</sup>
	6.000	36.30	08/28/20	45.86	...	-9.56	145 of 235 - Sale <sup>25</sup>
	7.000	42.42	08/28/20	51.89	...	-9.47	146 of 235 - Sale <sup>25</sup>
	7.000	42.42	08/28/20	51.89	...	-9.47	147 of 235 - Sale <sup>25</sup>
	4.000	24.20	08/28/20	33.51	...	-9.31	148 of 235 - Sale <sup>25</sup>
	5.000	30.25	08/28/20	39.47	...	-9.22	149 of 235 - Sale <sup>25</sup>
	5.000	30.25	08/28/20	39.47	...	-9.22	150 of 235 - Sale <sup>25</sup>
	7.000	42.42	08/28/20	51.61	...	-9.19	151 of 235 - Sale <sup>25</sup>
	4.000	24.20	08/28/20	33.14	...	-8.94	152 of 235 - Sale <sup>25</sup>
	4.000	24.20	08/28/20	33.07	...	-8.87	153 of 235 - Sale <sup>25</sup>
	4.000	24.20	08/28/20	33.05	...	-8.85	154 of 235 - Sale <sup>25</sup>
	4.000	24.20	08/28/20	32.86	...	-8.66	155 of 235 - Sale <sup>25</sup>
	5.000	30.25	08/28/20	38.89	...	-8.64	156 of 235 - Sale <sup>25</sup>
	4.000	24.20	08/28/20	32.84	...	-8.64	157 of 235 - Sale <sup>25</sup>
	6.000	36.35	08/28/20	44.91	...	-8.56	158 of 235 - Sale <sup>25</sup>
	6.000	36.36	08/28/20	44.87	...	-8.51	159 of 235 - Sale <sup>25</sup>
	6.000	36.36	08/28/20	44.86	...	-8.50	160 of 235 - Sale <sup>25</sup>
	5.000	30.25	08/28/20	38.48	...	-8.23	161 of 235 - Sale <sup>25</sup>
	3.000	18.15	08/28/20	26.27	...	-8.12	162 of 235 - Sale <sup>25</sup>
	3.000	18.15	08/28/20	26.15	...	-8.00	163 of 235 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	4.000	24.20	08/28/20	31.57	...	-7.37	164 of 235 - Sale <sup>25</sup>
	4.000	24.20	08/28/20	31.49	...	-7.29	165 of 235 - Sale <sup>25</sup>
	11.000	66.66	08/28/20	73.92	...	-7.26	166 of 235 - Sale <sup>25</sup>
	5.000	30.30	08/28/20	37.42	...	-7.12	167 of 235 - Sale <sup>25</sup>
	5.000	30.30	08/28/20	37.39	...	-7.09	168 of 235 - Sale <sup>25</sup>
	2.000	12.10	08/28/20	18.82	...	-6.72	169 of 235 - Sale <sup>25</sup>
	2.000	12.10	08/28/20	18.81	...	-6.71	170 of 235 - Sale <sup>25</sup>
	4.000	24.20	08/28/20	30.90	...	-6.70	171 of 235 - Sale <sup>25</sup>
	4.000	24.20	08/28/20	30.79	...	-6.59	172 of 235 - Sale <sup>25</sup>
	4.000	24.24	08/28/20	30.79	...	-6.55	173 of 235 - Sale <sup>25</sup>
	3.000	18.15	08/28/20	24.64	...	-6.49	174 of 235 - Sale <sup>25</sup>
	4.000	24.20	08/28/20	30.62	...	-6.42	175 of 235 - Sale <sup>25</sup>
	4.000	24.20	08/28/20	30.58	...	-6.38	176 of 235 - Sale <sup>25</sup>
	3.000	18.15	08/28/20	24.35	...	-6.20	177 of 235 - Sale <sup>25</sup>
	4.000	24.24	08/28/20	29.97	...	-5.73	178 of 235 - Sale <sup>25</sup>
	4.000	24.24	08/28/20	29.93	...	-5.69	179 of 235 - Sale <sup>25</sup>
	3.000	18.15	08/28/20	23.69	...	-5.54	180 of 235 - Sale <sup>25</sup>
	3.000	18.15	08/28/20	23.61	...	-5.46	181 of 235 - Sale <sup>25</sup>
	3.000	18.15	08/28/20	23.61	...	-5.46	182 of 235 - Sale <sup>25</sup>
	4.000	24.24	08/28/20	29.66	...	-5.42	183 of 235 - Sale <sup>25</sup>
	4.000	24.24	08/28/20	29.66	...	-5.42	184 of 235 - Sale <sup>25</sup>
	4.000	24.24	08/28/20	29.65	...	-5.41	185 of 235 - Sale <sup>25</sup>
	2.000	12.10	08/28/20	17.50	...	-5.40	186 of 235 - Sale <sup>25</sup>
	4.000	24.24	08/28/20	29.55	...	-5.31	187 of 235 - Sale <sup>25</sup>
	4.000	24.24	08/28/20	29.49	...	-5.25	188 of 235 - Sale <sup>25</sup>
	4.000	24.24	08/28/20	29.45	...	-5.21	189 of 235 - Sale <sup>25</sup>
	4.000	24.24	08/28/20	29.45	...	-5.21	190 of 235 - Sale <sup>25</sup>
	3.000	18.18	08/28/20	22.94	...	-4.76	191 of 235 - Sale <sup>25</sup>
	2.000	12.15	08/28/20	16.85	...	-4.70	192 of 235 - Sale <sup>25</sup>
	2.000	12.12	08/28/20	16.63	...	-4.51	193 of 235 - Sale <sup>25</sup>
	2.000	12.12	08/28/20	16.63	...	-4.51	194 of 235 - Sale <sup>25</sup>
	2.000	12.10	08/28/20	16.54	...	-4.44	195 of 235 - Sale <sup>25</sup>
	2.000	12.10	08/28/20	16.43	...	-4.33	196 of 235 - Sale <sup>25</sup>
	2.000	12.10	08/28/20	16.43	...	-4.33	197 of 235 - Sale <sup>25</sup>

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2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
2.000		12.10	08/28/20	16.42	...	-4.32	198 of 235 - Sale <sup>25</sup>
3.000		18.18	08/28/20	22.43	...	-4.25	199 of 235 - Sale <sup>25</sup>
3.000		18.18	08/28/20	22.38	...	-4.20	200 of 235 - Sale <sup>25</sup>
3.000		18.18	08/28/20	22.21	...	-4.03	201 of 235 - Sale <sup>25</sup>
2.000		12.10	08/28/20	15.79	...	-3.69	202 of 235 - Sale <sup>25</sup>
2.000		12.10	08/28/20	15.79	...	-3.69	203 of 235 - Sale <sup>25</sup>
2.000		12.10	08/28/20	15.52	...	-3.42	204 of 235 - Sale <sup>25</sup>
2.000		12.10	08/28/20	15.51	...	-3.41	205 of 235 - Sale <sup>25</sup>
1.000		6.05	08/28/20	9.41	...	-3.36	206 of 235 - Sale <sup>25</sup>
2.000		12.10	08/28/20	15.45	...	-3.35	207 of 235 - Sale <sup>25</sup>
1.000		6.05	08/28/20	9.40	...	-3.35	208 of 235 - Sale <sup>25</sup>
1.000		6.05	08/28/20	9.40	...	-3.35	209 of 235 - Sale <sup>25</sup>
1.000		6.05	08/28/20	9.15	...	-3.10	210 of 235 - Sale <sup>25</sup>
2.000		12.12	08/28/20	14.96	...	-2.84	211 of 235 - Sale <sup>25</sup>
2.000		12.12	08/28/20	14.95	...	-2.83	212 of 235 - Sale <sup>25</sup>
2.000		12.12	08/28/20	14.80	...	-2.68	213 of 235 - Sale <sup>25</sup>
2.000		12.12	08/28/20	14.78	...	-2.66	214 of 235 - Sale <sup>25</sup>
1.000		6.05	08/28/20	8.52	...	-2.47	215 of 235 - Sale <sup>25</sup>
1.000		6.06	08/28/20	8.39	...	-2.33	216 of 235 - Sale <sup>25</sup>
1.000		6.05	08/28/20	8.38	...	-2.33	217 of 235 - Sale <sup>25</sup>
1.000		6.03	08/28/20	8.32	...	-2.29	218 of 235 - Sale <sup>25</sup>
1.000		6.03	08/28/20	8.31	...	-2.28	219 of 235 - Sale <sup>25</sup>
1.000		6.03	08/28/20	8.31	...	-2.28	220 of 235 - Sale <sup>25</sup>
1.000		6.05	08/28/20	8.29	...	-2.24	221 of 235 - Sale <sup>25</sup>
1.000		6.05	08/28/20	8.27	...	-2.22	222 of 235 - Sale <sup>25</sup>
1.000		6.05	08/28/20	8.26	...	-2.21	223 of 235 - Sale <sup>25</sup>
1.000		6.06	08/28/20	8.25	...	-2.19	224 of 235 - Sale <sup>25</sup>
1.000		6.06	08/28/20	8.17	...	-2.11	225 of 235 - Sale <sup>25</sup>
1.000		6.05	08/28/20	8.07	...	-2.02	226 of 235 - Sale <sup>25</sup>
1.000		6.05	08/28/20	7.72	...	-1.67	227 of 235 - Sale <sup>25</sup>
1.000		6.05	08/28/20	7.70	...	-1.65	228 of 235 - Sale <sup>25</sup>
1.000		6.05	08/28/20	7.63	...	-1.58	229 of 235 - Sale <sup>25</sup>
1.000		6.05	08/28/20	7.62	...	-1.57	230 of 235 - Sale <sup>25</sup>
1.000		6.06	08/28/20	7.48	...	-1.42	231 of 235 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	1.000	6.06	08/28/20	7.39	...	-1.33	232 of 235 - Sale <sup>25</sup>
	1.000	6.06	08/28/20	7.37	...	-1.31	233 of 235 - Sale <sup>25</sup>
	1.000	6.06	08/28/20	7.36	...	-1.30	234 of 235 - Sale <sup>25</sup>
	1.000	6.06	08/28/20	7.36	...	-1.30	235 of 235 - Sale <sup>25</sup>
08/28/20	2,535.000	15,342.73	Various	20,190.66	...	-4,847.93	Total of 235 transactions
	555 transactions for 08/28/20. Total proceeds and cost reported to the IRS.						
	24.000	145.46	08/28/20	161.31	2.64 W	-13.21	1 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	9.16	2.98 W	0.00	2 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	9.15	2.97 W	0.00	3 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	9.15	2.97 W	0.00	4 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	8.90	2.72 W	0.00	5 of 555 - Sale <sup>25</sup>
	2.000	12.36	08/28/20	28.55	16.19 W	0.00	6 of 555 - Sale <sup>25</sup>
	2.000	12.36	08/28/20	18.32	5.96 W	0.00	7 of 555 - Sale <sup>25</sup>
	2.000	12.36	08/28/20	18.31	5.95 W	0.00	8 of 555 - Sale <sup>25</sup>
	2.000	12.36	08/28/20	17.00	4.64 W	0.00	9 of 555 - Sale <sup>25</sup>
	3.000	18.54	08/28/20	25.52	6.98 W	0.00	10 of 555 - Sale <sup>25</sup>
	3.000	18.54	08/28/20	27.45	8.91 W	0.00	11 of 555 - Sale <sup>25</sup>
	3.000	18.54	08/28/20	25.40	6.86 W	0.00	12 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	29.79	5.07 W	0.00	13 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	35.65	10.93 W	0.00	14 of 555 - Sale <sup>25</sup>
	5.000	30.90	08/28/20	37.23	6.33 W	0.00	15 of 555 - Sale <sup>25</sup>
	8.000	49.43	08/28/20	62.94	13.51 W	0.00	16 of 555 - Sale <sup>25</sup>
	9.000	55.62	08/28/20	67.03	11.41 W	0.00	17 of 555 - Sale <sup>25</sup>
	5.000	30.90	08/28/20	39.34	8.44 W	0.00	18 of 555 - Sale <sup>25</sup>
	11.000	67.98	08/28/20	86.53	18.55 W	0.00	19 of 555 - Sale <sup>25</sup>
	12.000	74.15	08/28/20	94.38	20.23 W	0.00	20 of 555 - Sale <sup>25</sup>
	16.000	98.87	08/28/20	119.18	20.31 W	0.00	21 of 555 - Sale <sup>25</sup>
	5.000	30.90	08/28/20	39.34	8.44 W	0.00	22 of 555 - Sale <sup>25</sup>
	3.000	18.54	08/28/20	22.86	4.32 W	0.00	23 of 555 - Sale <sup>25</sup>
	3.000	18.54	08/28/20	22.86	4.32 W	0.00	24 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	30.57	5.85 W	0.00	25 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	30.49	5.77 W	0.00	26 of 555 - Sale <sup>25</sup>
	9.000	55.62	08/28/20	70.81	15.19 W	0.00	27 of 555 - Sale <sup>25</sup>
	9.000	55.62	08/28/20	68.77	13.15 W	0.00	28 of 555 - Sale <sup>25</sup>

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(continued)

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	20.000	123.59	08/28/20	152.87	29.28 W	0.00	29 of 555 - Sale <sup>25</sup>
	29.000	179.21	08/28/20	221.64	42.43 W	0.00	30 of 555 - Sale <sup>25</sup>
	9.000	55.61	08/28/20	67.92	12.31 W	0.00	31 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	7.82	1.64 W	0.00	32 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	8.04	1.86 W	0.00	33 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	8.13	1.95 W	0.00	34 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	8.01	1.83 W	0.00	35 of 555 - Sale <sup>25</sup>
	2.000	12.36	08/28/20	15.29	2.93 W	0.00	36 of 555 - Sale <sup>25</sup>
	2.000	12.36	08/28/20	15.02	2.66 W	0.00	37 of 555 - Sale <sup>25</sup>
	2.000	12.36	08/28/20	15.01	2.65 W	0.00	38 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	32.14	7.42 W	0.00	39 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	32.07	7.35 W	0.00	40 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	32.51	7.79 W	0.00	41 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	32.04	7.32 W	0.00	42 of 555 - Sale <sup>25</sup>
	5.000	30.90	08/28/20	38.22	7.32 W	0.00	43 of 555 - Sale <sup>25</sup>
	5.000	30.90	08/28/20	40.65	9.75 W	0.00	44 of 555 - Sale <sup>25</sup>
	5.000	30.90	08/28/20	40.64	9.74 W	0.00	45 of 555 - Sale <sup>25</sup>
	6.000	37.08	08/28/20	48.21	11.13 W	0.00	46 of 555 - Sale <sup>25</sup>
	6.000	37.08	08/28/20	48.79	11.71 W	0.00	47 of 555 - Sale <sup>25</sup>
	6.000	37.08	08/28/20	48.83	11.75 W	0.00	48 of 555 - Sale <sup>25</sup>
	6.000	37.08	08/28/20	48.07	10.99 W	0.00	49 of 555 - Sale <sup>25</sup>
	6.000	37.08	08/28/20	48.07	10.99 W	0.00	50 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	53.98	10.72 W	0.00	51 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	52.83	9.57 W	0.00	52 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	56.17	12.91 W	0.00	53 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	56.12	12.86 W	0.00	54 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	56.11	12.85 W	0.00	55 of 555 - Sale <sup>25</sup>
	8.000	49.44	08/28/20	64.87	15.43 W	0.00	56 of 555 - Sale <sup>25</sup>
	8.000	49.44	08/28/20	64.86	15.42 W	0.00	57 of 555 - Sale <sup>25</sup>
	8.000	49.44	08/28/20	62.13	12.69 W	0.00	58 of 555 - Sale <sup>25</sup>
	8.000	49.44	08/28/20	64.20	14.76 W	0.00	59 of 555 - Sale <sup>25</sup>
	8.000	49.44	08/28/20	64.13	14.69 W	0.00	60 of 555 - Sale <sup>25</sup>
	8.000	49.44	08/28/20	65.04	15.60 W	0.00	61 of 555 - Sale <sup>25</sup>
	9.000	55.62	08/28/20	72.97	17.35 W	0.00	62 of 555 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	9.000	55.62	08/28/20	73.16	17.54 W	0.00	63 of 555 - Sale <sup>25</sup>
	10.000	61.80	08/28/20	75.25	13.45 W	0.00	64 of 555 - Sale <sup>25</sup>
	10.000	61.80	08/28/20	81.30	19.50 W	0.00	65 of 555 - Sale <sup>25</sup>
	11.000	67.98	08/28/20	82.78	14.80 W	0.00	66 of 555 - Sale <sup>25</sup>
	11.000	67.98	08/28/20	88.19	20.21 W	0.00	67 of 555 - Sale <sup>25</sup>
	12.000	74.16	08/28/20	90.45	16.29 W	0.00	68 of 555 - Sale <sup>25</sup>
	12.000	74.16	08/28/20	90.33	16.17 W	0.00	69 of 555 - Sale <sup>25</sup>
	12.000	74.16	08/28/20	93.23	19.07 W	0.00	70 of 555 - Sale <sup>25</sup>
	12.000	74.16	08/28/20	96.31	22.15 W	0.00	71 of 555 - Sale <sup>25</sup>
	13.000	80.34	08/28/20	104.15	23.81 W	0.00	72 of 555 - Sale <sup>25</sup>
	14.000	86.52	08/28/20	107.04	20.52 W	0.00	73 of 555 - Sale <sup>25</sup>
	14.000	86.52	08/28/20	107.03	20.51 W	0.00	74 of 555 - Sale <sup>25</sup>
	14.000	86.52	08/28/20	113.52	27.00 W	0.00	75 of 555 - Sale <sup>25</sup>
	14.000	86.52	08/28/20	111.82	25.30 W	0.00	76 of 555 - Sale <sup>25</sup>
	14.000	86.52	08/28/20	112.26	25.74 W	0.00	77 of 555 - Sale <sup>25</sup>
	14.000	86.52	08/28/20	112.15	25.63 W	0.00	78 of 555 - Sale <sup>25</sup>
	14.000	86.51	08/28/20	112.19	25.68 W	0.00	79 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	56.09	12.83 W	0.00	80 of 555 - Sale <sup>25</sup>
	15.000	92.70	08/28/20	112.92	20.22 W	0.00	81 of 555 - Sale <sup>25</sup>
	15.000	92.69	08/28/20	120.19	27.50 W	0.00	82 of 555 - Sale <sup>25</sup>
	16.000	98.88	08/28/20	120.43	21.55 W	0.00	83 of 555 - Sale <sup>25</sup>
	16.000	98.87	08/28/20	130.07	31.20 W	0.00	84 of 555 - Sale <sup>25</sup>
	18.000	111.23	08/28/20	144.24	33.01 W	0.00	85 of 555 - Sale <sup>25</sup>
	19.000	117.41	08/28/20	153.87	36.46 W	0.00	86 of 555 - Sale <sup>25</sup>
	20.000	123.59	08/28/20	150.57	26.98 W	0.00	87 of 555 - Sale <sup>25</sup>
	21.000	129.77	08/28/20	168.40	38.63 W	0.00	88 of 555 - Sale <sup>25</sup>
	21.000	129.77	08/28/20	168.25	38.48 W	0.00	89 of 555 - Sale <sup>25</sup>
	21.000	129.77	08/28/20	170.74	40.97 W	0.00	90 of 555 - Sale <sup>25</sup>
	23.000	142.13	08/28/20	173.16	31.03 W	0.00	91 of 555 - Sale <sup>25</sup>
	23.000	142.13	08/28/20	184.45	42.32 W	0.00	92 of 555 - Sale <sup>25</sup>
	23.000	142.13	08/28/20	184.45	42.32 W	0.00	93 of 555 - Sale <sup>25</sup>
	24.000	148.31	08/28/20	180.67	32.36 W	0.00	94 of 555 - Sale <sup>25</sup>
	25.000	154.49	08/28/20	191.15	36.66 W	0.00	95 of 555 - Sale <sup>25</sup>
	28.000	173.03	08/28/20	227.06	54.03 W	0.00	96 of 555 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
28.000		173.03	08/28/20	227.07	54.04 W	0.00	97 of 555 - Sale <sup>25</sup>
28.000		173.03	08/28/20	224.51	51.48 W	0.00	98 of 555 - Sale <sup>25</sup>
30.000		185.39	08/28/20	240.56	55.17 W	0.00	99 of 555 - Sale <sup>25</sup>
24.000		148.31	08/28/20	181.12	32.81 W	0.00	100 of 555 - Sale <sup>25</sup>
33.000		203.93	08/28/20	264.84	60.91 W	0.00	101 of 555 - Sale <sup>25</sup>
33.000		203.93	08/28/20	264.40	60.47 W	0.00	102 of 555 - Sale <sup>25</sup>
35.000		216.29	08/28/20	280.38	64.09 W	0.00	103 of 555 - Sale <sup>25</sup>
38.000		234.83	08/28/20	304.67	69.84 W	0.00	104 of 555 - Sale <sup>25</sup>
39.000		241.01	08/28/20	317.44	76.43 W	0.00	105 of 555 - Sale <sup>25</sup>
47.000		290.45	08/28/20	353.77	63.32 W	0.00	106 of 555 - Sale <sup>25</sup>
51.000		315.16	08/28/20	408.54	93.38 W	0.00	107 of 555 - Sale <sup>25</sup>
72.000		444.94	08/28/20	583.83	138.89 W	0.00	108 of 555 - Sale <sup>25</sup>
139.000		858.97	08/28/20	1,130.05	271.08 W	0.00	109 of 555 - Sale <sup>25</sup>
1.000		6.18	08/28/20	8.24	2.06 W	0.00	110 of 555 - Sale <sup>25</sup>
1.000		6.18	08/28/20	8.02	1.84 W	0.00	111 of 555 - Sale <sup>25</sup>
2.000		12.36	08/28/20	16.33	3.97 W	0.00	112 of 555 - Sale <sup>25</sup>
4.000		24.72	08/28/20	29.37	4.65 W	0.00	113 of 555 - Sale <sup>25</sup>
4.000		24.72	08/28/20	30.19	5.47 W	0.00	114 of 555 - Sale <sup>25</sup>
5.000		30.90	08/28/20	40.82	9.92 W	0.00	115 of 555 - Sale <sup>25</sup>
7.000		43.26	08/28/20	51.27	8.01 W	0.00	116 of 555 - Sale <sup>25</sup>
8.000		49.44	08/28/20	60.36	10.92 W	0.00	117 of 555 - Sale <sup>25</sup>
11.000		67.98	08/28/20	88.16	20.18 W	0.00	118 of 555 - Sale <sup>25</sup>
12.000		74.16	08/28/20	88.01	13.85 W	0.00	119 of 555 - Sale <sup>25</sup>
16.000		98.88	08/28/20	128.26	29.38 W	0.00	120 of 555 - Sale <sup>25</sup>
29.000		179.21	08/28/20	218.87	39.66 W	0.00	121 of 555 - Sale <sup>25</sup>
3.000		18.54	08/28/20	22.49	3.95 W	0.00	122 of 555 - Sale <sup>25</sup>
3.000		18.54	08/28/20	21.93	3.39 W	0.00	123 of 555 - Sale <sup>25</sup>
4.000		24.72	08/28/20	28.95	4.23 W	0.00	124 of 555 - Sale <sup>25</sup>
7.000		43.26	08/28/20	52.82	9.56 W	0.00	125 of 555 - Sale <sup>25</sup>
17.000		105.06	08/28/20	124.28	19.22 W	0.00	126 of 555 - Sale <sup>25</sup>
18.000		111.23	08/28/20	135.85	24.62 W	0.00	127 of 555 - Sale <sup>25</sup>
48.000		296.63	08/28/20	347.52	50.89 W	0.00	128 of 555 - Sale <sup>25</sup>
1.000		6.18	08/28/20	7.24	1.06 W	0.00	129 of 555 - Sale <sup>25</sup>
2.000		12.36	08/28/20	14.48	2.12 W	0.00	130 of 555 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	2.000	12.36	08/28/20	14.50	2.14 W	0.00	131 of 555 - Sale <sup>25</sup>
	3.000	18.54	08/28/20	21.76	3.22 W	0.00	132 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	29.09	4.37 W	0.00	133 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	50.91	7.65 W	0.00	134 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	50.91	7.65 W	0.00	135 of 555 - Sale <sup>25</sup>
	16.000	98.88	08/28/20	116.39	17.51 W	0.00	136 of 555 - Sale <sup>25</sup>
	19.000	117.41	08/28/20	138.19	20.78 W	0.00	137 of 555 - Sale <sup>25</sup>
	19.000	117.41	08/28/20	138.19	20.78 W	0.00	138 of 555 - Sale <sup>25</sup>
	20.000	123.59	08/28/20	145.45	21.86 W	0.00	139 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	8.33	2.15 W	0.00	140 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	8.10	1.92 W	0.00	141 of 555 - Sale <sup>25</sup>
	2.000	12.36	08/28/20	16.33	3.97 W	0.00	142 of 555 - Sale <sup>25</sup>
	3.000	18.54	08/28/20	24.04	5.50 W	0.00	143 of 555 - Sale <sup>25</sup>
	5.000	30.90	08/28/20	41.61	10.71 W	0.00	144 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	57.66	14.40 W	0.00	145 of 555 - Sale <sup>25</sup>
	11.000	67.98	08/28/20	91.80	23.82 W	0.00	146 of 555 - Sale <sup>25</sup>
	11.000	67.98	08/28/20	72.27	4.29 W	0.00	147 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	56.09	12.83 W	0.00	148 of 555 - Sale <sup>25</sup>
	14.000	86.52	08/28/20	114.30	27.78 W	0.00	149 of 555 - Sale <sup>25</sup>
	20.000	123.59	08/28/20	166.44	42.85 W	0.00	150 of 555 - Sale <sup>25</sup>
	20.000	123.59	08/28/20	166.44	42.85 W	0.00	151 of 555 - Sale <sup>25</sup>
	20.000	123.60	08/28/20	164.74	41.14 W	0.00	152 of 555 - Sale <sup>25</sup>
	22.000	135.95	08/28/20	183.09	47.14 W	0.00	153 of 555 - Sale <sup>25</sup>
	30.000	185.39	08/28/20	196.81	11.42 W	0.00	154 of 555 - Sale <sup>25</sup>
	39.000	241.01	08/28/20	325.51	84.50 W	0.00	155 of 555 - Sale <sup>25</sup>
	41.000	253.37	08/28/20	334.77	81.40 W	0.00	156 of 555 - Sale <sup>25</sup>
	62.000	383.14	08/28/20	517.50	134.36 W	0.00	157 of 555 - Sale <sup>25</sup>
	64.000	395.50	08/28/20	417.29	21.79 W	0.00	158 of 555 - Sale <sup>25</sup>
	100.000	617.97	08/28/20	834.69	216.72 W	0.00	159 of 555 - Sale <sup>25</sup>
	110.000	679.77	08/28/20	915.40	235.63 W	0.00	160 of 555 - Sale <sup>25</sup>
	115.000	710.67	08/28/20	755.61	44.94 W	0.00	161 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	7.22	1.04 W	0.00	162 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	7.59	1.41 W	0.00	163 of 555 - Sale <sup>25</sup>
	2.000	12.36	08/28/20	14.67	2.31 W	0.00	164 of 555 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	2.000	12.36	08/28/20	15.19	2.83 W	0.00	165 of 555 - Sale <sup>25</sup>
	3.000	18.54	08/28/20	22.01	3.47 W	0.00	166 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	28.93	4.21 W	0.00	167 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	28.89	4.17 W	0.00	168 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	30.38	5.66 W	0.00	169 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	30.06	5.34 W	0.00	170 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	30.10	5.38 W	0.00	171 of 555 - Sale <sup>25</sup>
	5.000	30.90	08/28/20	36.11	5.21 W	0.00	172 of 555 - Sale <sup>25</sup>
	5.000	30.90	08/28/20	36.69	5.79 W	0.00	173 of 555 - Sale <sup>25</sup>
	6.000	37.08	08/28/20	44.02	6.94 W	0.00	174 of 555 - Sale <sup>25</sup>
	6.000	37.08	08/28/20	44.03	6.95 W	0.00	175 of 555 - Sale <sup>25</sup>
	6.000	37.08	08/28/20	45.57	8.49 W	0.00	176 of 555 - Sale <sup>25</sup>
	6.000	37.08	08/28/20	45.08	8.00 W	0.00	177 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	50.63	7.37 W	0.00	178 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	51.36	8.10 W	0.00	179 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	51.36	8.10 W	0.00	180 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	51.37	8.11 W	0.00	181 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	52.07	8.81 W	0.00	182 of 555 - Sale <sup>25</sup>
	8.000	49.44	08/28/20	58.70	9.26 W	0.00	183 of 555 - Sale <sup>25</sup>
	8.000	49.44	08/28/20	58.70	9.26 W	0.00	184 of 555 - Sale <sup>25</sup>
	8.000	49.44	08/28/20	58.70	9.26 W	0.00	185 of 555 - Sale <sup>25</sup>
	8.000	49.43	08/28/20	60.54	11.11 W	0.00	186 of 555 - Sale <sup>25</sup>
	10.000	61.80	08/28/20	72.32	10.52 W	0.00	187 of 555 - Sale <sup>25</sup>
	11.000	67.97	08/28/20	80.72	12.75 W	0.00	188 of 555 - Sale <sup>25</sup>
	12.000	74.16	08/28/20	86.68	12.52 W	0.00	189 of 555 - Sale <sup>25</sup>
	14.000	86.52	08/28/20	101.14	14.62 W	0.00	190 of 555 - Sale <sup>25</sup>
	14.000	86.51	08/28/20	102.76	16.25 W	0.00	191 of 555 - Sale <sup>25</sup>
	16.000	98.87	08/28/20	121.54	22.67 W	0.00	192 of 555 - Sale <sup>25</sup>
	17.000	105.05	08/28/20	124.79	19.74 W	0.00	193 of 555 - Sale <sup>25</sup>
	17.000	105.05	08/28/20	127.32	22.27 W	0.00	194 of 555 - Sale <sup>25</sup>
	18.000	111.23	08/28/20	135.51	24.28 W	0.00	195 of 555 - Sale <sup>25</sup>
	20.000	123.59	08/28/20	149.79	26.20 W	0.00	196 of 555 - Sale <sup>25</sup>
	21.000	129.77	08/28/20	151.72	21.95 W	0.00	197 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	9.28	3.10 W	0.00	198 of 555 - Sale <sup>25</sup>

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	1.000	6.18	08/28/20	9.27	3.09 W	0.00	199 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	9.27	3.09 W	0.00	200 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	9.02	2.84 W	0.00	201 of 555 - Sale <sup>25</sup>
	2.000	12.36	08/28/20	28.79	16.43 W	0.00	202 of 555 - Sale <sup>25</sup>
	2.000	12.36	08/28/20	18.56	6.20 W	0.00	203 of 555 - Sale <sup>25</sup>
	2.000	12.36	08/28/20	18.55	6.19 W	0.00	204 of 555 - Sale <sup>25</sup>
	2.000	12.36	08/28/20	17.24	4.88 W	0.00	205 of 555 - Sale <sup>25</sup>
	3.000	18.54	08/28/20	25.88	7.34 W	0.00	206 of 555 - Sale <sup>25</sup>
	3.000	18.54	08/28/20	27.81	9.27 W	0.00	207 of 555 - Sale <sup>25</sup>
	3.000	18.54	08/28/20	25.76	7.22 W	0.00	208 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	30.27	5.55 W	0.00	209 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	36.13	11.41 W	0.00	210 of 555 - Sale <sup>25</sup>
	5.000	30.90	08/28/20	37.83	6.93 W	0.00	211 of 555 - Sale <sup>25</sup>
	5.000	30.90	08/28/20	39.94	9.04 W	0.00	212 of 555 - Sale <sup>25</sup>
	5.000	30.89	08/28/20	39.94	9.05 W	0.00	213 of 555 - Sale <sup>25</sup>
	8.000	49.44	08/28/20	63.91	14.47 W	0.00	214 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	7.57	1.39 W	0.00	215 of 555 - Sale <sup>25</sup>
	3.000	18.54	08/28/20	23.96	5.42 W	0.00	216 of 555 - Sale <sup>25</sup>
	11.000	67.98	08/28/20	87.85	19.87 W	0.00	217 of 555 - Sale <sup>25</sup>
	12.000	74.15	08/28/20	95.83	21.68 W	0.00	218 of 555 - Sale <sup>25</sup>
	16.000	98.87	08/28/20	121.11	22.24 W	0.00	219 of 555 - Sale <sup>25</sup>
	3.000	18.54	08/28/20	23.22	4.68 W	0.00	220 of 555 - Sale <sup>25</sup>
	3.000	18.54	08/28/20	23.22	4.68 W	0.00	221 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	31.05	6.33 W	0.00	222 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	30.97	6.25 W	0.00	223 of 555 - Sale <sup>25</sup>
	6.000	37.08	08/28/20	47.93	10.85 W	0.00	224 of 555 - Sale <sup>25</sup>
	9.000	55.62	08/28/20	69.85	14.23 W	0.00	225 of 555 - Sale <sup>25</sup>
	9.000	55.61	08/28/20	69.01	13.40 W	0.00	226 of 555 - Sale <sup>25</sup>
	8.000	49.44	08/28/20	61.34	11.90 W	0.00	227 of 555 - Sale <sup>25</sup>
	20.000	123.59	08/28/20	155.28	31.69 W	0.00	228 of 555 - Sale <sup>25</sup>
	29.000	179.21	08/28/20	225.13	45.92 W	0.00	229 of 555 - Sale <sup>25</sup>
	10.000	61.80	08/28/20	76.67	14.87 W	0.00	230 of 555 - Sale <sup>25</sup>
	2.000	12.36	08/28/20	15.53	3.17 W	0.00	231 of 555 - Sale <sup>25</sup>
	5.000	30.90	08/28/20	38.82	7.92 W	0.00	232 of 555 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	6.000	37.08	08/28/20	46.00	8.92 W	0.00	233 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	54.82	11.56 W	0.00	234 of 555 - Sale <sup>25</sup>
	14.000	86.51	08/28/20	108.72	22.21 W	0.00	235 of 555 - Sale <sup>25</sup>
	14.000	86.52	08/28/20	108.71	22.19 W	0.00	236 of 555 - Sale <sup>25</sup>
	12.000	74.15	08/28/20	98.63	24.48 W	0.00	237 of 555 - Sale <sup>25</sup>
	25.000	154.49	08/28/20	194.16	39.67 W	0.00	238 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	7.94	1.76 W	0.00	239 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	8.16	1.98 W	0.00	240 of 555 - Sale <sup>25</sup>
	2.000	12.36	08/28/20	15.26	2.90 W	0.00	241 of 555 - Sale <sup>25</sup>
	2.000	12.36	08/28/20	15.25	2.89 W	0.00	242 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	32.62	7.90 W	0.00	243 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	53.67	10.41 W	0.00	244 of 555 - Sale <sup>25</sup>
	8.000	49.44	08/28/20	65.83	16.39 W	0.00	245 of 555 - Sale <sup>25</sup>
	8.000	49.44	08/28/20	65.82	16.38 W	0.00	246 of 555 - Sale <sup>25</sup>
	8.000	49.44	08/28/20	63.09	13.65 W	0.00	247 of 555 - Sale <sup>25</sup>
	9.000	55.62	08/28/20	74.05	18.43 W	0.00	248 of 555 - Sale <sup>25</sup>
	10.000	61.80	08/28/20	76.45	14.65 W	0.00	249 of 555 - Sale <sup>25</sup>
	11.000	67.98	08/28/20	84.10	16.12 W	0.00	250 of 555 - Sale <sup>25</sup>
	12.000	74.16	08/28/20	91.89	17.73 W	0.00	251 of 555 - Sale <sup>25</sup>
	12.000	74.16	08/28/20	91.77	17.61 W	0.00	252 of 555 - Sale <sup>25</sup>
	12.000	74.16	08/28/20	94.67	20.51 W	0.00	253 of 555 - Sale <sup>25</sup>
	14.000	86.52	08/28/20	115.20	28.68 W	0.00	254 of 555 - Sale <sup>25</sup>
	14.000	86.52	08/28/20	113.50	26.98 W	0.00	255 of 555 - Sale <sup>25</sup>
	15.000	92.70	08/28/20	114.72	22.02 W	0.00	256 of 555 - Sale <sup>25</sup>
	16.000	98.88	08/28/20	122.35	23.47 W	0.00	257 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	57.53	14.27 W	0.00	258 of 555 - Sale <sup>25</sup>
	20.000	123.59	08/28/20	152.98	29.39 W	0.00	259 of 555 - Sale <sup>25</sup>
	23.000	142.13	08/28/20	175.93	33.80 W	0.00	260 of 555 - Sale <sup>25</sup>
	24.000	148.31	08/28/20	183.56	35.25 W	0.00	261 of 555 - Sale <sup>25</sup>
	28.000	173.03	08/28/20	230.43	57.40 W	0.00	262 of 555 - Sale <sup>25</sup>
	28.000	173.03	08/28/20	230.44	57.41 W	0.00	263 of 555 - Sale <sup>25</sup>
	47.000	290.45	08/28/20	359.42	68.97 W	0.00	264 of 555 - Sale <sup>25</sup>
	72.000	444.94	08/28/20	592.49	147.55 W	0.00	265 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	32.55	7.83 W	0.00	266 of 555 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	4.000	24.72	08/28/20	32.99	8.27 W	0.00	267 of 555 - Sale <sup>25</sup>
	5.000	30.90	08/28/20	41.25	10.35 W	0.00	268 of 555 - Sale <sup>25</sup>
	6.000	37.08	08/28/20	48.93	11.85 W	0.00	269 of 555 - Sale <sup>25</sup>
	6.000	37.08	08/28/20	49.51	12.43 W	0.00	270 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	57.01	13.75 W	0.00	271 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	56.96	13.70 W	0.00	272 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	56.95	13.69 W	0.00	273 of 555 - Sale <sup>25</sup>
	8.000	49.44	08/28/20	65.16	15.72 W	0.00	274 of 555 - Sale <sup>25</sup>
	8.000	49.44	08/28/20	65.09	15.65 W	0.00	275 of 555 - Sale <sup>25</sup>
	8.000	49.44	08/28/20	66.00	16.56 W	0.00	276 of 555 - Sale <sup>25</sup>
	9.000	55.62	08/28/20	74.24	18.62 W	0.00	277 of 555 - Sale <sup>25</sup>
	10.000	61.80	08/28/20	82.50	20.70 W	0.00	278 of 555 - Sale <sup>25</sup>
	11.000	67.98	08/28/20	89.51	21.53 W	0.00	279 of 555 - Sale <sup>25</sup>
	12.000	74.16	08/28/20	97.75	23.59 W	0.00	280 of 555 - Sale <sup>25</sup>
	14.000	86.52	08/28/20	113.94	27.42 W	0.00	281 of 555 - Sale <sup>25</sup>
	14.000	86.52	08/28/20	113.83	27.31 W	0.00	282 of 555 - Sale <sup>25</sup>
	16.000	98.87	08/28/20	132.00	33.13 W	0.00	283 of 555 - Sale <sup>25</sup>
	20.000	123.59	08/28/20	165.00	41.41 W	0.00	284 of 555 - Sale <sup>25</sup>
	21.000	129.77	08/28/20	170.93	41.16 W	0.00	285 of 555 - Sale <sup>25</sup>
	21.000	129.77	08/28/20	170.78	41.01 W	0.00	286 of 555 - Sale <sup>25</sup>
	21.000	129.77	08/28/20	173.27	43.50 W	0.00	287 of 555 - Sale <sup>25</sup>
	23.000	142.13	08/28/20	187.22	45.09 W	0.00	288 of 555 - Sale <sup>25</sup>
	23.000	142.13	08/28/20	187.22	45.09 W	0.00	289 of 555 - Sale <sup>25</sup>
	28.000	173.03	08/28/20	227.88	54.85 W	0.00	290 of 555 - Sale <sup>25</sup>
	30.000	185.39	08/28/20	244.17	58.78 W	0.00	291 of 555 - Sale <sup>25</sup>
	33.000	203.93	08/28/20	268.81	64.88 W	0.00	292 of 555 - Sale <sup>25</sup>
	35.000	216.29	08/28/20	284.59	68.30 W	0.00	293 of 555 - Sale <sup>25</sup>
	38.000	234.83	08/28/20	309.24	74.41 W	0.00	294 of 555 - Sale <sup>25</sup>
	51.000	315.16	08/28/20	414.68	99.52 W	0.00	295 of 555 - Sale <sup>25</sup>
	29.000	179.21	08/28/20	239.26	60.05 W	0.00	296 of 555 - Sale <sup>25</sup>
	30.000	185.39	08/28/20	247.51	62.12 W	0.00	297 of 555 - Sale <sup>25</sup>
	41.000	253.37	08/28/20	338.26	84.89 W	0.00	298 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	8.25	2.07 W	0.00	299 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	8.13	1.95 W	0.00	300 of 555 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	5.000	30.90	08/28/20	41.24	10.34 W	0.00	301 of 555 - Sale <sup>25</sup>
	6.000	37.08	08/28/20	49.55	12.47 W	0.00	302 of 555 - Sale <sup>25</sup>
	6.000	37.08	08/28/20	48.79	11.71 W	0.00	303 of 555 - Sale <sup>25</sup>
	14.000	86.52	08/28/20	113.88	27.36 W	0.00	304 of 555 - Sale <sup>25</sup>
	14.000	86.51	08/28/20	113.87	27.36 W	0.00	305 of 555 - Sale <sup>25</sup>
	19.000	117.41	08/28/20	156.75	39.34 W	0.00	306 of 555 - Sale <sup>25</sup>
	33.000	203.93	08/28/20	268.37	64.44 W	0.00	307 of 555 - Sale <sup>25</sup>
	39.000	241.01	08/28/20	322.13	81.12 W	0.00	308 of 555 - Sale <sup>25</sup>
	3.000	18.54	08/28/20	24.40	5.86 W	0.00	309 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	32.54	7.82 W	0.00	310 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	32.52	7.80 W	0.00	311 of 555 - Sale <sup>25</sup>
	5.000	30.90	08/28/20	42.21	11.31 W	0.00	312 of 555 - Sale <sup>25</sup>
	6.000	37.08	08/28/20	48.79	11.71 W	0.00	313 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	56.93	13.67 W	0.00	314 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	56.93	13.67 W	0.00	315 of 555 - Sale <sup>25</sup>
	13.000	80.34	08/28/20	105.71	25.37 W	0.00	316 of 555 - Sale <sup>25</sup>
	15.000	92.69	08/28/20	122.00	29.31 W	0.00	317 of 555 - Sale <sup>25</sup>
	20.000	123.59	08/28/20	168.85	45.26 W	0.00	318 of 555 - Sale <sup>25</sup>
	20.000	123.59	08/28/20	168.85	45.26 W	0.00	319 of 555 - Sale <sup>25</sup>
	22.000	135.95	08/28/20	185.74	49.79 W	0.00	320 of 555 - Sale <sup>25</sup>
	26.000	160.67	08/28/20	219.49	58.82 W	0.00	321 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	8.45	2.27 W	0.00	322 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	8.22	2.04 W	0.00	323 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	8.36	2.18 W	0.00	324 of 555 - Sale <sup>25</sup>
	2.000	12.36	08/28/20	16.57	4.21 W	0.00	325 of 555 - Sale <sup>25</sup>
	2.000	12.36	08/28/20	16.57	4.21 W	0.00	326 of 555 - Sale <sup>25</sup>
	5.000	30.90	08/28/20	41.42	10.52 W	0.00	327 of 555 - Sale <sup>25</sup>
	5.000	30.90	08/28/20	37.27	6.37 W	0.00	328 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	58.50	15.24 W	0.00	329 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	52.11	8.85 W	0.00	330 of 555 - Sale <sup>25</sup>
	11.000	67.98	08/28/20	93.12	25.14 W	0.00	331 of 555 - Sale <sup>25</sup>
	11.000	67.98	08/28/20	73.59	5.61 W	0.00	332 of 555 - Sale <sup>25</sup>
	14.000	86.52	08/28/20	115.98	29.46 W	0.00	333 of 555 - Sale <sup>25</sup>
	20.000	123.59	08/28/20	167.14	43.55 W	0.00	334 of 555 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	30.000	185.39	08/28/20	200.42	15.03 W	0.00	335 of 555 - Sale <sup>25</sup>
	39.000	241.01	08/28/20	330.20	89.19 W	0.00	336 of 555 - Sale <sup>25</sup>
	41.000	253.37	08/28/20	339.70	86.33 W	0.00	337 of 555 - Sale <sup>25</sup>
	62.000	383.14	08/28/20	524.96	141.82 W	0.00	338 of 555 - Sale <sup>25</sup>
	64.000	395.50	08/28/20	424.99	29.49 W	0.00	339 of 555 - Sale <sup>25</sup>
	100.000	617.97	08/28/20	846.72	228.75 W	0.00	340 of 555 - Sale <sup>25</sup>
	84.000	519.10	08/28/20	709.14	190.04 W	0.00	341 of 555 - Sale <sup>25</sup>
	115.000	710.67	08/28/20	769.44	58.77 W	0.00	342 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	8.14	1.96 W	0.00	343 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	7.36	1.18 W	0.00	344 of 555 - Sale <sup>25</sup>
	2.000	12.36	08/28/20	14.72	2.36 W	0.00	345 of 555 - Sale <sup>25</sup>
	2.000	12.36	08/28/20	14.74	2.38 W	0.00	346 of 555 - Sale <sup>25</sup>
	3.000	18.54	08/28/20	22.85	4.31 W	0.00	347 of 555 - Sale <sup>25</sup>
	3.000	18.54	08/28/20	22.29	3.75 W	0.00	348 of 555 - Sale <sup>25</sup>
	3.000	18.54	08/28/20	22.12	3.58 W	0.00	349 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	29.85	5.13 W	0.00	350 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	30.67	5.95 W	0.00	351 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	29.43	4.71 W	0.00	352 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	52.18	8.92 W	0.00	353 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	53.66	10.40 W	0.00	354 of 555 - Sale <sup>25</sup>
	8.000	49.44	08/28/20	61.32	11.88 W	0.00	355 of 555 - Sale <sup>25</sup>
	11.000	67.98	08/28/20	89.48	21.50 W	0.00	356 of 555 - Sale <sup>25</sup>
	12.000	74.16	08/28/20	88.73	14.57 W	0.00	357 of 555 - Sale <sup>25</sup>
	16.000	98.88	08/28/20	130.18	31.30 W	0.00	358 of 555 - Sale <sup>25</sup>
	17.000	105.06	08/28/20	126.32	21.26 W	0.00	359 of 555 - Sale <sup>25</sup>
	18.000	111.23	08/28/20	138.02	26.79 W	0.00	360 of 555 - Sale <sup>25</sup>
	29.000	179.21	08/28/20	222.36	43.15 W	0.00	361 of 555 - Sale <sup>25</sup>
	48.000	296.63	08/28/20	353.29	56.66 W	0.00	362 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	7.34	1.16 W	0.00	363 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	7.61	1.43 W	0.00	364 of 555 - Sale <sup>25</sup>
	2.000	12.36	08/28/20	14.91	2.55 W	0.00	365 of 555 - Sale <sup>25</sup>
	3.000	18.54	08/28/20	22.37	3.83 W	0.00	366 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	29.58	4.86 W	0.00	367 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	29.57	4.85 W	0.00	368 of 555 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	4.000	24.72	08/28/20	29.41	4.69 W	0.00	369 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	29.37	4.65 W	0.00	370 of 555 - Sale <sup>25</sup>
	5.000	30.90	08/28/20	36.71	5.81 W	0.00	371 of 555 - Sale <sup>25</sup>
	5.000	30.90	08/28/20	37.29	6.39 W	0.00	372 of 555 - Sale <sup>25</sup>
	6.000	37.08	08/28/20	44.74	7.66 W	0.00	373 of 555 - Sale <sup>25</sup>
	6.000	37.08	08/28/20	44.75	7.67 W	0.00	374 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	51.75	8.49 W	0.00	375 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	51.75	8.49 W	0.00	376 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	51.47	8.21 W	0.00	377 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	52.20	8.94 W	0.00	378 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	52.20	8.94 W	0.00	379 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	52.21	8.95 W	0.00	380 of 555 - Sale <sup>25</sup>
	8.000	49.44	08/28/20	59.66	10.22 W	0.00	381 of 555 - Sale <sup>25</sup>
	8.000	49.44	08/28/20	59.66	10.22 W	0.00	382 of 555 - Sale <sup>25</sup>
	8.000	49.44	08/28/20	59.66	10.22 W	0.00	383 of 555 - Sale <sup>25</sup>
	10.000	61.80	08/28/20	73.52	11.72 W	0.00	384 of 555 - Sale <sup>25</sup>
	11.000	67.98	08/28/20	82.05	14.07 W	0.00	385 of 555 - Sale <sup>25</sup>
	12.000	74.16	08/28/20	88.12	13.96 W	0.00	386 of 555 - Sale <sup>25</sup>
	14.000	86.52	08/28/20	102.82	16.30 W	0.00	387 of 555 - Sale <sup>25</sup>
	14.000	86.51	08/28/20	104.45	17.94 W	0.00	388 of 555 - Sale <sup>25</sup>
	17.000	105.05	08/28/20	126.84	21.79 W	0.00	389 of 555 - Sale <sup>25</sup>
	19.000	117.41	08/28/20	140.48	23.07 W	0.00	390 of 555 - Sale <sup>25</sup>
	19.000	117.41	08/28/20	140.48	23.07 W	0.00	391 of 555 - Sale <sup>25</sup>
	20.000	123.59	08/28/20	147.86	24.27 W	0.00	392 of 555 - Sale <sup>25</sup>
	20.000	123.59	08/28/20	152.20	28.61 W	0.00	393 of 555 - Sale <sup>25</sup>
	21.000	129.77	08/28/20	154.25	24.48 W	0.00	394 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	7.60	1.42 W	0.00	395 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	7.70	1.52 W	0.00	396 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	7.68	1.50 W	0.00	397 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	9.39	3.21 W	0.00	398 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	9.38	3.20 W	0.00	399 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	9.38	3.20 W	0.00	400 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	9.13	2.95 W	0.00	401 of 555 - Sale <sup>25</sup>
	2.000	12.36	08/28/20	15.41	3.05 W	0.00	402 of 555 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	2.000	12.36	08/28/20	29.01	16.65 W	0.00	403 of 555 - Sale <sup>25</sup>
	2.000	12.36	08/28/20	18.78	6.42 W	0.00	404 of 555 - Sale <sup>25</sup>
	2.000	12.36	08/28/20	18.77	6.41 W	0.00	405 of 555 - Sale <sup>25</sup>
	2.000	12.36	08/28/20	17.46	5.10 W	0.00	406 of 555 - Sale <sup>25</sup>
	3.000	18.54	08/28/20	26.21	7.67 W	0.00	407 of 555 - Sale <sup>25</sup>
	3.000	18.54	08/28/20	28.14	9.60 W	0.00	408 of 555 - Sale <sup>25</sup>
	3.000	18.54	08/28/20	26.09	7.55 W	0.00	409 of 555 - Sale <sup>25</sup>
	3.000	18.54	08/28/20	24.29	5.75 W	0.00	410 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	30.82	6.10 W	0.00	411 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	30.50	5.78 W	0.00	412 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	30.54	5.82 W	0.00	413 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	30.71	5.99 W	0.00	414 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	36.57	11.85 W	0.00	415 of 555 - Sale <sup>25</sup>
	5.000	30.90	08/28/20	38.38	7.48 W	0.00	416 of 555 - Sale <sup>25</sup>
	5.000	30.90	08/28/20	40.49	9.59 W	0.00	417 of 555 - Sale <sup>25</sup>
	5.000	30.90	08/28/20	40.50	9.60 W	0.00	418 of 555 - Sale <sup>25</sup>
	6.000	37.08	08/28/20	46.23	9.15 W	0.00	419 of 555 - Sale <sup>25</sup>
	6.000	37.08	08/28/20	45.74	8.66 W	0.00	420 of 555 - Sale <sup>25</sup>
	6.000	37.07	08/28/20	48.59	11.52 W	0.00	421 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	52.84	9.58 W	0.00	422 of 555 - Sale <sup>25</sup>
	8.000	49.44	08/28/20	61.43	11.99 W	0.00	423 of 555 - Sale <sup>25</sup>
	8.000	49.43	08/28/20	64.79	15.36 W	0.00	424 of 555 - Sale <sup>25</sup>
	2.000	12.36	08/28/20	15.75	3.39 W	0.00	425 of 555 - Sale <sup>25</sup>
	11.000	67.97	08/28/20	89.06	21.09 W	0.00	426 of 555 - Sale <sup>25</sup>
	12.000	74.15	08/28/20	97.16	23.01 W	0.00	427 of 555 - Sale <sup>25</sup>
	15.000	92.69	08/28/20	114.00	21.31 W	0.00	428 of 555 - Sale <sup>25</sup>
	16.000	98.87	08/28/20	123.31	24.44 W	0.00	429 of 555 - Sale <sup>25</sup>
	16.000	98.87	08/28/20	122.88	24.01 W	0.00	430 of 555 - Sale <sup>25</sup>
	18.000	111.23	08/28/20	137.50	26.27 W	0.00	431 of 555 - Sale <sup>25</sup>
	6.000	37.08	08/28/20	47.25	10.17 W	0.00	432 of 555 - Sale <sup>25</sup>
	3.000	18.54	08/28/20	23.55	5.01 W	0.00	433 of 555 - Sale <sup>25</sup>
	3.000	18.54	08/28/20	23.55	5.01 W	0.00	434 of 555 - Sale <sup>25</sup>
	3.000	18.54	08/28/20	23.63	5.09 W	0.00	435 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	31.49	6.77 W	0.00	436 of 555 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	4.000	24.72	08/28/20	31.41	6.69 W	0.00	437 of 555 - Sale <sup>25</sup>
	6.000	37.08	08/28/20	46.66	9.58 W	0.00	438 of 555 - Sale <sup>25</sup>
	8.000	49.44	08/28/20	62.22	12.78 W	0.00	439 of 555 - Sale <sup>25</sup>
	9.000	55.62	08/28/20	70.84	15.22 W	0.00	440 of 555 - Sale <sup>25</sup>
	9.000	55.62	08/28/20	70.01	14.39 W	0.00	441 of 555 - Sale <sup>25</sup>
	10.000	61.80	08/28/20	77.77	15.97 W	0.00	442 of 555 - Sale <sup>25</sup>
	12.000	74.16	08/28/20	94.49	20.33 W	0.00	443 of 555 - Sale <sup>25</sup>
	29.000	179.21	08/28/20	228.33	49.12 W	0.00	444 of 555 - Sale <sup>25</sup>
	2.000	12.36	08/28/20	15.75	3.39 W	0.00	445 of 555 - Sale <sup>25</sup>
	5.000	30.90	08/28/20	39.37	8.47 W	0.00	446 of 555 - Sale <sup>25</sup>
	5.000	30.90	08/28/20	38.79	7.89 W	0.00	447 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	55.59	12.33 W	0.00	448 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	58.30	15.04 W	0.00	449 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	54.44	11.18 W	0.00	450 of 555 - Sale <sup>25</sup>
	8.000	49.44	08/28/20	66.71	17.27 W	0.00	451 of 555 - Sale <sup>25</sup>
	8.000	49.44	08/28/20	66.70	17.26 W	0.00	452 of 555 - Sale <sup>25</sup>
	9.000	55.62	08/28/20	75.04	19.42 W	0.00	453 of 555 - Sale <sup>25</sup>
	12.000	74.16	08/28/20	99.96	25.80 W	0.00	454 of 555 - Sale <sup>25</sup>
	12.000	74.16	08/28/20	93.21	19.05 W	0.00	455 of 555 - Sale <sup>25</sup>
	12.000	74.16	08/28/20	93.09	18.93 W	0.00	456 of 555 - Sale <sup>25</sup>
	14.000	86.52	08/28/20	110.27	23.75 W	0.00	457 of 555 - Sale <sup>25</sup>
	14.000	86.52	08/28/20	110.25	23.73 W	0.00	458 of 555 - Sale <sup>25</sup>
	14.000	86.52	08/28/20	116.74	30.22 W	0.00	459 of 555 - Sale <sup>25</sup>
	14.000	86.52	08/28/20	115.04	28.52 W	0.00	460 of 555 - Sale <sup>25</sup>
	22.000	135.95	08/28/20	173.29	37.34 W	0.00	461 of 555 - Sale <sup>25</sup>
	28.000	173.03	08/28/20	233.52	60.49 W	0.00	462 of 555 - Sale <sup>25</sup>
	28.000	173.03	08/28/20	233.53	60.50 W	0.00	463 of 555 - Sale <sup>25</sup>
	72.000	444.94	08/28/20	600.43	155.49 W	0.00	464 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	8.05	1.87 W	0.00	465 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	8.27	2.09 W	0.00	466 of 555 - Sale <sup>25</sup>
	2.000	12.36	08/28/20	15.48	3.12 W	0.00	467 of 555 - Sale <sup>25</sup>
	2.000	12.36	08/28/20	15.47	3.11 W	0.00	468 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	33.06	8.34 W	0.00	469 of 555 - Sale <sup>25</sup>
	5.000	30.90	08/28/20	41.25	10.35 W	0.00	470 of 555 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	6.000	37.08	08/28/20	49.59	12.51 W	0.00	471 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	57.78	14.52 W	0.00	472 of 555 - Sale <sup>25</sup>
	8.000	49.44	08/28/20	63.97	14.53 W	0.00	473 of 555 - Sale <sup>25</sup>
	8.000	49.44	08/28/20	66.04	16.60 W	0.00	474 of 555 - Sale <sup>25</sup>
	8.000	49.44	08/28/20	65.97	16.53 W	0.00	475 of 555 - Sale <sup>25</sup>
	10.000	61.80	08/28/20	77.55	15.75 W	0.00	476 of 555 - Sale <sup>25</sup>
	11.000	67.98	08/28/20	85.31	17.33 W	0.00	477 of 555 - Sale <sup>25</sup>
	12.000	74.16	08/28/20	95.99	21.83 W	0.00	478 of 555 - Sale <sup>25</sup>
	12.000	74.16	08/28/20	99.07	24.91 W	0.00	479 of 555 - Sale <sup>25</sup>
	15.000	92.70	08/28/20	116.37	23.67 W	0.00	480 of 555 - Sale <sup>25</sup>
	16.000	98.88	08/28/20	124.11	25.23 W	0.00	481 of 555 - Sale <sup>25</sup>
	20.000	123.59	08/28/20	155.19	31.60 W	0.00	482 of 555 - Sale <sup>25</sup>
	23.000	142.13	08/28/20	178.47	36.34 W	0.00	483 of 555 - Sale <sup>25</sup>
	24.000	148.31	08/28/20	186.21	37.90 W	0.00	484 of 555 - Sale <sup>25</sup>
	30.000	185.39	08/28/20	247.48	62.09 W	0.00	485 of 555 - Sale <sup>25</sup>
	33.000	203.93	08/28/20	272.45	68.52 W	0.00	486 of 555 - Sale <sup>25</sup>
	42.000	259.55	08/28/20	325.81	66.26 W	0.00	487 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	32.99	8.27 W	0.00	488 of 555 - Sale <sup>25</sup>
	18.000	111.23	08/28/20	148.51	37.28 W	0.00	489 of 555 - Sale <sup>25</sup>
	28.000	173.03	08/28/20	230.97	57.94 W	0.00	490 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	57.73	14.47 W	0.00	491 of 555 - Sale <sup>25</sup>
	21.000	129.77	08/28/20	173.26	43.49 W	0.00	492 of 555 - Sale <sup>25</sup>
	38.000	234.83	08/28/20	313.43	78.60 W	0.00	493 of 555 - Sale <sup>25</sup>
	2.000	12.36	08/28/20	16.50	4.14 W	0.00	494 of 555 - Sale <sup>25</sup>
	7.000	43.26	08/28/20	57.72	14.46 W	0.00	495 of 555 - Sale <sup>25</sup>
	20.000	123.59	08/28/20	165.00	41.41 W	0.00	496 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	8.25	2.07 W	0.00	497 of 555 - Sale <sup>25</sup>
	8.000	49.44	08/28/20	65.94	16.50 W	0.00	498 of 555 - Sale <sup>25</sup>
	11.000	67.98	08/28/20	90.72	22.74 W	0.00	499 of 555 - Sale <sup>25</sup>
	14.000	86.51	08/28/20	115.48	28.97 W	0.00	500 of 555 - Sale <sup>25</sup>
	14.000	86.51	08/28/20	115.37	28.86 W	0.00	501 of 555 - Sale <sup>25</sup>
	35.000	216.29	08/28/20	288.45	72.16 W	0.00	502 of 555 - Sale <sup>25</sup>
	51.000	315.16	08/28/20	420.31	105.15 W	0.00	503 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	33.43	8.71 W	0.00	504 of 555 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	5.000	30.90	08/28/20	41.80	10.90 W	0.00	505 of 555 - Sale <sup>25</sup>
	6.000	37.08	08/28/20	50.17	13.09 W	0.00	506 of 555 - Sale <sup>25</sup>
	8.000	49.44	08/28/20	66.88	17.44 W	0.00	507 of 555 - Sale <sup>25</sup>
	8.000	49.44	08/28/20	66.88	17.44 W	0.00	508 of 555 - Sale <sup>25</sup>
	9.000	55.62	08/28/20	75.23	19.61 W	0.00	509 of 555 - Sale <sup>25</sup>
	10.000	61.80	08/28/20	83.60	21.80 W	0.00	510 of 555 - Sale <sup>25</sup>
	13.000	80.34	08/28/20	107.16	26.82 W	0.00	511 of 555 - Sale <sup>25</sup>
	16.000	98.87	08/28/20	133.77	34.90 W	0.00	512 of 555 - Sale <sup>25</sup>
	21.000	129.77	08/28/20	175.59	45.82 W	0.00	513 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	8.36	2.18 W	0.00	514 of 555 - Sale <sup>25</sup>
	1.000	6.18	08/28/20	8.24	2.06 W	0.00	515 of 555 - Sale <sup>25</sup>
	4.000	24.72	08/28/20	32.97	8.25 W	0.00	516 of 555 - Sale <sup>25</sup>
	5.000	30.90	08/28/20	41.79	10.89 W	0.00	517 of 555 - Sale <sup>25</sup>
	6.000	37.08	08/28/20	50.21	13.13 W	0.00	518 of 555 - Sale <sup>25</sup>
	12.000	74.15	08/28/20	100.33	26.18 W	0.00	519 of 555 - Sale <sup>25</sup>
	19.000	117.41	08/28/20	158.85	41.44 W	0.00	520 of 555 - Sale <sup>25</sup>
	29.000	179.21	08/28/20	242.46	63.25 W	0.00	521 of 555 - Sale <sup>25</sup>
	30.000	185.39	08/28/20	250.82	65.43 W	0.00	522 of 555 - Sale <sup>25</sup>
	33.000	203.92	08/28/20	272.01	68.09 W	0.00	523 of 555 - Sale <sup>25</sup>
	39.000	241.00	08/28/20	326.43	85.43 W	0.00	524 of 555 - Sale <sup>25</sup>
	41.000	253.36	08/28/20	342.78	89.42 W	0.00	525 of 555 - Sale <sup>25</sup>
	2.000	12.30	08/28/20	16.32	4.02 W	0.00	526 of 555 - Sale <sup>25</sup>
	8.000	49.20	08/28/20	65.31	16.11 W	0.00	527 of 555 - Sale <sup>25</sup>
	3.000	18.45	08/28/20	24.49	6.04 W	0.00	528 of 555 - Sale <sup>25</sup>
	4.000	24.60	08/28/20	32.66	8.06 W	0.00	529 of 555 - Sale <sup>25</sup>
	4.000	24.60	08/28/20	32.64	8.04 W	0.00	530 of 555 - Sale <sup>25</sup>
	6.000	36.90	08/28/20	48.97	12.07 W	0.00	531 of 555 - Sale <sup>25</sup>
	7.000	43.05	08/28/20	57.14	14.09 W	0.00	532 of 555 - Sale <sup>25</sup>
	7.000	43.05	08/28/20	57.14	14.09 W	0.00	533 of 555 - Sale <sup>25</sup>
	13.000	79.94	08/28/20	106.10	26.16 W	0.00	534 of 555 - Sale <sup>25</sup>
	6.000	36.90	08/28/20	48.99	12.09 W	0.00	535 of 555 - Sale <sup>25</sup>
	14.000	86.10	08/28/20	114.30	28.20 W	0.00	536 of 555 - Sale <sup>25</sup>
	15.000	92.24	08/28/20	122.46	30.22 W	0.00	537 of 555 - Sale <sup>25</sup>
	21.000	129.14	08/28/20	177.94	48.80 W	0.00	538 of 555 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
	5.000	30.75	08/28/20	42.36	11.61 W	0.00	539 of 555 - Sale <sup>25</sup>
	20.000	122.99	08/28/20	169.46	46.47 W	0.00	540 of 555 - Sale <sup>25</sup>
	20.000	122.99	08/28/20	169.46	46.47 W	0.00	541 of 555 - Sale <sup>25</sup>
	1.000	6.15	08/28/20	8.47	2.32 W	0.00	542 of 555 - Sale <sup>25</sup>
	26.000	159.90	08/28/20	220.28	60.38 W	0.00	543 of 555 - Sale <sup>25</sup>
	28.000	172.19	08/28/20	237.23	65.04 W	0.00	544 of 555 - Sale <sup>25</sup>
	50.000	307.50	08/28/20	423.62	116.12 W	0.00	545 of 555 - Sale <sup>25</sup>
	1.000	6.15	08/28/20	8.48	2.33 W	0.00	546 of 555 - Sale <sup>25</sup>
	6.000	36.90	08/28/20	50.83	13.93 W	0.00	547 of 555 - Sale <sup>25</sup>
	11.000	67.65	08/28/20	93.45	25.80 W	0.00	548 of 555 - Sale <sup>25</sup>
	39.000	239.84	08/28/20	331.38	91.54 W	0.00	549 of 555 - Sale <sup>25</sup>
	43.000	264.44	08/28/20	365.39	100.95 W	0.00	550 of 555 - Sale <sup>25</sup>
	100.000	614.97	08/28/20	849.75	234.78 W	0.00	551 of 555 - Sale <sup>25</sup>
	7.000	42.43	08/28/20	58.71	16.28 W	0.00	552 of 555 - Sale <sup>25</sup>
	20.000	121.21	08/28/20	167.75	46.54 W	0.00	553 of 555 - Sale <sup>25</sup>
	30.000	181.82	08/28/20	201.33	19.51 W	0.00	554 of 555 - Sale <sup>25</sup>
	19.000	115.15	08/28/20	161.45	46.30 W	0.00	555 of 555 - Sale <sup>25</sup>
08/28/20	7,560.000	46,693.11	Various	59,957.71	13,251.39 W	-13.21	Total of 555 transactions
	5 transactions for 09/11/20. Total proceeds and cost reported to the IRS.						
	86.000	537.48	09/11/20	739.37	...	-201.89	1 of 5 - Sale <sup>25</sup>
	21.000	131.24	09/11/20	179.05	...	-47.81	2 of 5 - Sale <sup>25</sup>
	14.000	87.50	09/11/20	119.37	...	-31.87	3 of 5 - Sale <sup>25</sup>
	11.000	68.75	09/11/20	94.54	...	-25.79	4 of 5 - Sale <sup>25</sup>
	3.000	18.75	09/11/20	25.79	...	-7.04	5 of 5 - Sale <sup>25</sup>
09/11/20	135.000	843.72	Various	1,158.12	...	-314.40	Total of 5 transactions
	6 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	22.000	142.18	09/14/20	193.99	...	-51.81	1 of 6 - Sale <sup>25</sup>
	20.000	129.26	09/14/20	175.94	...	-46.68	2 of 6 - Sale <sup>25</sup>
	19.000	122.79	09/14/20	169.23	...	-46.44	3 of 6 - Sale <sup>25</sup>
	30.000	193.88	09/14/20	213.60	...	-19.72	4 of 6 - Sale <sup>25</sup>
	7.000	45.24	09/14/20	61.57	...	-16.33	5 of 6 - Sale <sup>25</sup>
	2.000	12.93	09/14/20	14.26	...	-1.33	6 of 6 - Sale <sup>25</sup>
09/14/20	100.000	646.28	Various	828.59	...	-182.31	Total of 6 transactions
09/15/20	2.000	12.38	09/15/20	13.75	...	-1.37	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
12/07/20	2.000	23.42	12/07/20	23.80	...	-0.38	Sale <sup>25</sup>
	13 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	99.000	1,141.45	12/07/20	1,158.30	8.34 W	-8.51	1 of 13 - Sale <sup>25</sup>
	8.000	93.69	12/07/20	95.60	0.48 W	-1.43	2 of 13 - Sale <sup>25</sup>
	23.000	269.32	12/07/20	273.70	4.38 W	0.00	3 of 13 - Sale <sup>25</sup>
	2.000	23.42	12/07/20	24.18	0.76 W	0.00	4 of 13 - Sale <sup>25</sup>
	23.000	269.32	12/07/20	272.55	3.23 W	0.00	5 of 13 - Sale <sup>25</sup>
	25.000	292.74	12/07/20	295.00	2.26 W	0.00	6 of 13 - Sale <sup>25</sup>
	1.000	11.53	12/07/20	11.89	0.36 W	0.00	7 of 13 - Sale <sup>25</sup>
	2.000	23.06	12/07/20	24.26	1.20 W	0.00	8 of 13 - Sale <sup>25</sup>
	3.000	34.59	12/07/20	35.52	0.93 W	0.00	9 of 13 - Sale <sup>25</sup>
	22.000	253.65	12/07/20	262.69	9.04 W	0.00	10 of 13 - Sale <sup>25</sup>
	23.000	265.18	12/07/20	273.48	8.30 W	0.00	11 of 13 - Sale <sup>25</sup>
	22.000	253.65	12/07/20	259.83	6.18 W	0.00	12 of 13 - Sale <sup>25</sup>
	28.000	322.83	12/07/20	328.16	5.33 W	0.00	13 of 13 - Sale <sup>25</sup>
12/07/20	281.000	3,254.43	Various	3,315.16	50.79 W	-9.94	Total of 13 transactions
	9 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	49.000	488.57	12/11/20	498.34	...	-9.77	1 of 9 - Sale <sup>25</sup>
	22.000	219.36	12/11/20	229.04	...	-9.68	2 of 9 - Sale <sup>25</sup>
	23.000	229.33	12/11/20	238.30	...	-8.97	3 of 9 - Sale <sup>25</sup>
	22.000	219.35	12/11/20	226.18	...	-6.83	4 of 9 - Sale <sup>25</sup>
	27.000	269.21	12/11/20	275.14	...	-5.93	5 of 9 - Sale <sup>25</sup>
	2.000	19.94	12/11/20	21.20	...	-1.26	6 of 9 - Sale <sup>25</sup>
	3.000	29.91	12/11/20	30.93	...	-1.02	7 of 9 - Sale <sup>25</sup>
	1.000	9.97	12/11/20	10.36	...	-0.39	8 of 9 - Sale <sup>25</sup>
	1.000	9.97	12/11/20	10.19	...	-0.22	9 of 9 - Sale <sup>25</sup>
12/11/20	150.000	1,495.61	Various	1,539.68	...	-44.07	Total of 9 transactions
	<b>Security total:</b>	<b>634,508.74</b>		<b>691,486.49</b>	<b>62,064.95 W</b>	<b>5,087.20</b>	
EDITAS MEDICINE, INC. COMMON S TOCK / CUSIP: 28106W103 / Symbol:							
	10 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	25.000	1,541.12	12/11/20	1,565.00	...	-23.88	1 of 10 - Sale <sup>25</sup>
	25.000	1,541.12	12/11/20	1,563.75	...	-22.63	2 of 10 - Sale <sup>25</sup>
	45.000	2,774.02	12/11/20	2,795.85	...	-21.83	3 of 10 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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EDITAS MEDICINE, INC. COMMON S TOCK / CUSIP: 28106W103 / Symbol: (cont'd)							
	25.000	1,541.11	12/11/20	1,557.50	...	-16.39	4 of 10 - Sale <sup>25</sup>
	1.000	61.64	12/11/20	66.37	...	-4.73	5 of 10 - Sale <sup>25</sup>
	3.000	184.93	12/11/20	187.99	...	-3.06	6 of 10 - Sale <sup>25</sup>
	1.000	61.64	12/11/20	62.25	...	-0.61	7 of 10 - Sale <sup>25</sup>
	2.000	125.99	12/11/20	125.72	...	0.27	8 of 10 - Sale <sup>25</sup>
	1.000	62.99	12/11/20	62.41	...	0.58	9 of 10 - Sale <sup>25</sup>
	22.000	1,385.85	12/11/20	1,374.01	...	11.84	10 of 10 - Sale <sup>25</sup>
12/11/20	150.000	9,280.41	Various	9,360.85	...	-80.44	Total of 10 transactions
	3 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	2.000	125.99	12/11/20	126.23	0.24 W	0.00	1 of 3 - Sale <sup>25</sup>
	3.000	188.98	12/11/20	190.58	1.60 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	59.40	12/11/20	63.64	4.24 W	0.00	3 of 3 - Sale <sup>25</sup>
12/11/20	6.000	374.37	Various	380.45	6.08 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>9,654.78</b>		<b>9,741.30</b>	<b>6.08 W</b>	<b>-80.44</b>	
ELDORADO RESORTS, INC. COMMON STOCK / CUSIP: 28470R102 / Symbol:							
06/29/20	7.000	278.02	06/29/20	277.93	...	0.09	Sale <sup>25</sup>
ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
	4 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	100.000	457.99	07/06/20	479.88	21.89 W	0.00	1 of 4 - Sale <sup>25</sup>
	50.000	245.49	07/06/20	287.45	41.96 W	0.00	2 of 4 - Sale <sup>25</sup>
	50.000	245.49	07/06/20	289.19	43.70 W	0.00	3 of 4 - Sale <sup>25</sup>
	50.000	245.48	07/06/20	297.50	52.02 W	0.00	4 of 4 - Sale <sup>25</sup>
07/06/20	250.000	1,194.45	Various	1,354.02	159.57 W	0.00	Total of 4 transactions
	6 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	25.000	126.38	07/08/20	154.35	27.97 W	0.00	1 of 6 - Sale <sup>25</sup>
	50.000	252.74	07/08/20	306.96	54.22 W	0.00	2 of 6 - Sale <sup>25</sup>
	2.000	10.11	07/08/20	12.23	2.12 W	0.00	3 of 6 - Sale <sup>25</sup>
	23.000	111.32	07/08/20	134.41	23.09 W	0.00	4 of 6 - Sale <sup>25</sup>
	27.000	130.68	07/08/20	163.47	32.79 W	0.00	5 of 6 - Sale <sup>25</sup>
	50.000	242.00	07/08/20	300.52	58.52 W	0.00	6 of 6 - Sale <sup>25</sup>
07/08/20	177.000	873.23	Various	1,071.94	198.71 W	0.00	Total of 6 transactions

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol: (cont'd)							
17 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	2.000	6.88	07/13/20	9.48	2.60 W	0.00	1 of 17 - Sale <sup>25</sup>
	23.000	79.12	07/13/20	109.58	30.46 W	0.00	2 of 17 - Sale <sup>25</sup>
	23.000	79.12	07/13/20	107.73	28.61 W	0.00	3 of 17 - Sale <sup>25</sup>
	25.000	85.99	07/13/20	119.97	33.98 W	0.00	4 of 17 - Sale <sup>25</sup>
	27.000	92.87	07/13/20	132.15	39.28 W	0.00	5 of 17 - Sale <sup>25</sup>
	50.000	171.99	07/13/20	242.52	70.53 W	0.00	6 of 17 - Sale <sup>25</sup>
	100.000	343.98	07/13/20	368.00	24.02 W	0.00	7 of 17 - Sale <sup>25</sup>
	2.000	7.26	07/14/20	10.14	2.88 W	0.00	8 of 17 - Sale <sup>25</sup>
	23.000	83.49	07/14/20	117.17	33.68 W	0.00	9 of 17 - Sale <sup>25</sup>
	23.000	83.49	07/14/20	115.32	31.83 W	0.00	10 of 17 - Sale <sup>25</sup>
	25.000	90.74	07/14/20	128.23	37.49 W	0.00	11 of 17 - Sale <sup>25</sup>
	27.000	98.00	07/14/20	141.07	43.07 W	0.00	12 of 17 - Sale <sup>25</sup>
	50.000	181.49	07/14/20	259.03	77.54 W	0.00	13 of 17 - Sale <sup>25</sup>
	50.000	181.49	07/14/20	198.01	16.52 W	0.00	14 of 17 - Sale <sup>25</sup>
	2.000	7.04	07/14/20	10.44	3.40 W	0.00	15 of 17 - Sale <sup>25</sup>
	23.000	80.96	07/14/20	119.24	38.28 W	0.00	16 of 17 - Sale <sup>25</sup>
	50.000	175.99	07/14/20	198.01	22.02 W	0.00	17 of 17 - Sale <sup>25</sup>
07/14/20	525.000	1,849.90	Various	2,386.09	536.19 W	0.00	Total of 17 transactions
17 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	2.000	6.96	07/14/20	10.06	3.10 W	0.00	1 of 17 - Sale <sup>25</sup>
	23.000	80.04	07/14/20	117.06	37.02 W	0.00	2 of 17 - Sale <sup>25</sup>
	23.000	80.04	07/14/20	114.40	34.36 W	0.00	3 of 17 - Sale <sup>25</sup>
	2.000	6.96	07/14/20	10.37	3.41 W	0.00	4 of 17 - Sale <sup>25</sup>
	50.000	173.99	07/14/20	257.04	83.05 W	0.00	5 of 17 - Sale <sup>25</sup>
	2.000	6.96	07/14/20	10.58	3.62 W	0.00	6 of 17 - Sale <sup>25</sup>
	23.000	80.03	07/14/20	120.85	40.82 W	0.00	7 of 17 - Sale <sup>25</sup>
	25.000	86.99	07/14/20	129.63	42.64 W	0.00	8 of 17 - Sale <sup>25</sup>
	50.000	173.99	07/14/20	196.02	22.03 W	0.00	9 of 17 - Sale <sup>25</sup>
	50.000	173.99	07/14/20	201.52	27.53 W	0.00	10 of 17 - Sale <sup>25</sup>
	2.000	6.96	07/15/20	10.36	3.40 W	0.00	11 of 17 - Sale <sup>25</sup>
	2.000	6.96	07/15/20	10.67	3.71 W	0.00	12 of 17 - Sale <sup>25</sup>
	23.000	80.04	07/15/20	120.51	40.47 W	0.00	13 of 17 - Sale <sup>25</sup>
	23.000	80.03	07/15/20	117.85	37.82 W	0.00	14 of 17 - Sale <sup>25</sup>

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(continued)

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ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol: (cont'd)							
	50.000	173.99	07/15/20	264.55	90.56 W	0.00	15 of 17 - Sale <sup>25</sup>
	50.000	173.99	07/15/20	181.50	7.51 W	0.00	16 of 17 - Sale <sup>25</sup>
	25.000	89.25	07/15/20	133.39	44.14 W	0.00	17 of 17 - Sale <sup>25</sup>
07/15/20	425.000	1,481.17	Various	2,006.36	525.19 W	0.00	Total of 17 transactions
	4 transactions for 07/20/20. Total proceeds and cost reported to the IRS.						
	2.000	6.90	07/20/20	10.54	3.64 W	0.00	1 of 4 - Sale <sup>25</sup>
	23.000	79.35	07/20/20	120.40	41.05 W	0.00	2 of 4 - Sale <sup>25</sup>
	50.000	172.50	07/20/20	195.03	22.53 W	0.00	3 of 4 - Sale <sup>25</sup>
	50.000	172.49	07/20/20	200.53	28.04 W	0.00	4 of 4 - Sale <sup>25</sup>
07/20/20	125.000	431.24	Various	526.50	95.26 W	0.00	Total of 4 transactions
	10 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	5.000	16.70	07/21/20	26.05	9.35 W	0.00	1 of 10 - Sale <sup>25</sup>
	2.000	6.68	07/21/20	10.30	3.62 W	0.00	2 of 10 - Sale <sup>25</sup>
	2.000	6.68	07/21/20	10.61	3.93 W	0.00	3 of 10 - Sale <sup>25</sup>
	18.000	60.15	07/21/20	93.77	33.62 W	0.00	4 of 10 - Sale <sup>25</sup>
	23.000	76.86	07/21/20	117.17	40.31 W	0.00	5 of 10 - Sale <sup>25</sup>
	25.000	83.54	07/21/20	130.39	46.85 W	0.00	6 of 10 - Sale <sup>25</sup>
	25.000	83.54	07/21/20	100.27	16.73 W	0.00	7 of 10 - Sale <sup>25</sup>
	50.000	167.09	07/21/20	263.06	95.97 W	0.00	8 of 10 - Sale <sup>25</sup>
	50.000	167.09	07/21/20	195.03	27.94 W	0.00	9 of 10 - Sale <sup>25</sup>
	50.000	167.09	07/21/20	180.01	12.92 W	0.00	10 of 10 - Sale <sup>25</sup>
07/21/20	250.000	835.42	Various	1,126.66	291.24 W	0.00	Total of 10 transactions
	15 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	33.000	113.51	07/22/20	181.65	...	-68.14	1 of 15 - Sale <sup>25</sup>
	25.000	86.00	07/22/20	136.48	...	-50.48	2 of 15 - Sale <sup>25</sup>
	23.000	79.12	07/22/20	123.28	...	-44.16	3 of 15 - Sale <sup>25</sup>
	23.000	79.12	07/22/20	122.54	...	-43.42	4 of 15 - Sale <sup>25</sup>
	18.000	61.92	07/22/20	97.97	...	-36.05	5 of 15 - Sale <sup>25</sup>
	40.000	137.59	07/22/20	165.76	...	-28.17	6 of 15 - Sale <sup>25</sup>
	12.000	41.28	07/22/20	65.93	...	-24.65	7 of 15 - Sale <sup>25</sup>
	25.000	86.00	07/22/20	106.36	...	-20.36	8 of 15 - Sale <sup>25</sup>
	5.000	17.20	07/22/20	27.23	...	-10.03	9 of 15 - Sale <sup>25</sup>
	10.000	34.40	07/22/20	41.44	...	-7.04	10 of 15 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol: (cont'd)							
	3.000	10.32	07/22/20	16.52	...	-6.20	11 of 15 - Sale <sup>25</sup>
	2.000	6.88	07/22/20	11.10	...	-4.22	12 of 15 - Sale <sup>25</sup>
	2.000	6.88	07/22/20	10.99	...	-4.11	13 of 15 - Sale <sup>25</sup>
	2.000	6.88	07/22/20	10.79	...	-3.91	14 of 15 - Sale <sup>25</sup>
	2.000	6.88	07/22/20	10.77	...	-3.89	15 of 15 - Sale <sup>25</sup>
07/22/20	225.000	773.98	Various	1,128.81	...	-354.83	Total of 15 transactions
	20 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	25.000	86.00	07/22/20	103.40	1.39 W	-16.01	1 of 20 - Sale <sup>25</sup>
	2.000	6.93	07/22/20	10.72	3.79 W	0.00	2 of 20 - Sale <sup>25</sup>
	2.000	6.93	07/22/20	10.70	3.77 W	0.00	3 of 20 - Sale <sup>25</sup>
	2.000	6.93	07/22/20	10.92	3.99 W	0.00	4 of 20 - Sale <sup>25</sup>
	5.000	17.32	07/22/20	27.05	9.73 W	0.00	5 of 20 - Sale <sup>25</sup>
	18.000	62.37	07/22/20	97.34	34.97 W	0.00	6 of 20 - Sale <sup>25</sup>
	23.000	79.69	07/22/20	122.47	42.78 W	0.00	7 of 20 - Sale <sup>25</sup>
	23.000	79.69	07/22/20	121.73	42.04 W	0.00	8 of 20 - Sale <sup>25</sup>
	25.000	86.62	07/22/20	102.52	15.90 W	0.00	9 of 20 - Sale <sup>25</sup>
	12.000	41.58	07/22/20	65.51	23.93 W	0.00	10 of 20 - Sale <sup>25</sup>
	3.000	10.39	07/22/20	16.41	6.02 W	0.00	11 of 20 - Sale <sup>25</sup>
	2.000	6.93	07/22/20	11.03	4.10 W	0.00	12 of 20 - Sale <sup>25</sup>
	25.000	86.62	07/22/20	135.60	48.98 W	0.00	13 of 20 - Sale <sup>25</sup>
	33.000	114.34	07/22/20	180.49	66.15 W	0.00	14 of 20 - Sale <sup>25</sup>
	40.000	138.59	07/22/20	164.35	25.76 W	0.00	15 of 20 - Sale <sup>25</sup>
	10.000	34.65	07/22/20	41.09	6.44 W	0.00	16 of 20 - Sale <sup>25</sup>
	25.000	86.62	07/22/20	105.48	18.86 W	0.00	17 of 20 - Sale <sup>25</sup>
	50.000	173.24	07/22/20	190.42	17.18 W	0.00	18 of 20 - Sale <sup>25</sup>
	200.000	692.96	07/22/20	710.00	17.04 W	0.00	19 of 20 - Sale <sup>25</sup>
	250.000	866.20	07/22/20	885.00	18.80 W	0.00	20 of 20 - Sale <sup>25</sup>
07/22/20	775.000	2,684.60	Various	3,112.23	411.62 W	-16.01	Total of 20 transactions
	11 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	222.000	779.16	07/22/20	895.82	...	-116.66	1 of 11 - Sale <sup>25</sup>
	148.000	519.45	07/22/20	535.05	...	-15.60	2 of 11 - Sale <sup>25</sup>
	25.000	90.00	07/22/20	96.84	...	-6.84	3 of 11 - Sale <sup>25</sup>
	10.000	35.10	07/22/20	40.35	...	-5.25	4 of 11 - Sale <sup>25</sup>
	10.000	35.10	07/22/20	40.35	...	-5.25	5 of 11 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol: (cont'd)							
	19.000	68.40	07/22/20	73.60	...	-5.20	6 of 11 - Sale <sup>25</sup>
	2.000	7.02	07/22/20	9.39	...	-2.37	7 of 11 - Sale <sup>25</sup>
	6.000	21.60	07/22/20	23.24	...	-1.64	8 of 11 - Sale <sup>25</sup>
	8.000	28.08	07/22/20	29.08	...	-1.00	9 of 11 - Sale <sup>25</sup>
	32.000	115.20	07/22/20	115.69	...	-0.49	10 of 11 - Sale <sup>25</sup>
	20.000	71.99	07/22/20	72.30	...	-0.31	11 of 11 - Sale <sup>25</sup>
07/23/20	502.000	1,771.10	Various	1,931.71	...	-160.61	Total of 11 transactions
08/24/20	500.000	1,392.40	08/24/20	1,379.25	...	13.15	Sale <sup>25</sup>
11/24/20	1.000	8.75	11/23/20	10.28	...	-1.53	Sale <sup>25</sup>
	<b>Security total:</b>	<b>13,296.24</b>		<b>16,033.85</b>	<b>2,217.78 W</b>	<b>-519.83</b>	
ELECTRONIC ARTS INC. COMMON STOCK / CUSIP: 285512109 / Symbol:							
05/26/20	5.000	595.67	05/22/20	596.42	...	-0.75	Sale <sup>25</sup>
EMERGENT BIOSOLUTIONS, INC. / CUSIP: 29089Q105 / Symbol:							
2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	5.000	443.45	06/01/20	453.00	...	-9.55	1 of 2 - Sale <sup>25</sup>
	5.000	443.44	06/02/20	436.78	...	6.66	2 of 2 - Sale <sup>25</sup>
06/02/20	10.000	886.89	Various	889.78	...	-2.89	Total of 2 transactions
ENABLE MIDSTREAM PARTNERS, LP / CUSIP: 292480100 / Symbol:							
03/11/20	200.000	760.12	03/10/20	863.96	...	-103.84	Sale <sup>25</sup>
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	50.000	288.73	06/15/20	287.44	...	1.29	1 of 2 - Sale <sup>25</sup>
	100.000	577.45	06/15/20	565.67	...	11.78	2 of 2 - Sale <sup>25</sup>
06/17/20	150.000	866.18	Various	853.11	...	13.07	Total of 2 transactions
	<b>Security total:</b>	<b>1,626.30</b>		<b>1,717.07</b>	...	<b>-90.77</b>	
ENERGIZER HOLDINGS, INC / CUSIP: 29272W109 / Symbol:							
2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	2.000	90.25	08/05/20	90.18	...	0.07	1 of 2 - Sale <sup>25</sup>
	30.000	1,353.63	08/05/20	1,349.40	...	4.23	2 of 2 - Sale <sup>25</sup>
08/05/20	32.000	1,443.88	Various	1,439.58	...	4.30	Total of 2 transactions

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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ENERGY TRANSFER LP COMMON UNIT S REPRESENTING LIMITED PARTNER INTERESTS / CUSIP: 29273V100 / Symbol:							
04/03/20	25.000	131.24	04/01/20	121.00	...	10.24	Sale <sup>25</sup>
04/03/20	75.000	393.73	04/02/20	395.07	0.89 W	-0.45	Sale <sup>25</sup>
04/30/20	50.000	414.00	04/29/20	408.64	...	5.36	Sale <sup>25</sup>
07/29/20	100.000	662.20	07/29/20	663.75	...	-1.55	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,601.17</b>		<b>1,588.46</b>	<b>0.89 W</b>	<b>13.60</b>	
ENLINK MIDSTREAM, LLC / CUSIP: 29336T100 / Symbol:							
6 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	25.000	84.30	06/15/20	83.20	...	1.10	1 of 6 - Sale <sup>25</sup>
	25.000	84.29	06/15/20	82.70	...	1.59	2 of 6 - Sale <sup>25</sup>
	50.000	168.59	06/15/20	165.90	...	2.69	3 of 6 - Sale <sup>25</sup>
	50.000	168.60	06/15/20	165.90	...	2.70	4 of 6 - Sale <sup>25</sup>
	50.000	168.59	06/15/20	165.40	...	3.19	5 of 6 - Sale <sup>25</sup>
	150.000	505.79	06/15/20	485.70	...	20.09	6 of 6 - Sale <sup>25</sup>
06/16/20	350.000	1,180.16	Various	1,148.80	...	31.36	Total of 6 transactions
11 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	425.000	1,351.42	08/05/20	1,372.75	...	-21.33	1 of 11 - Sale <sup>25</sup>
	193.000	613.70	08/05/20	631.15	...	-17.45	2 of 11 - Sale <sup>25</sup>
	100.000	317.98	08/05/20	335.05	...	-17.07	3 of 11 - Sale <sup>25</sup>
	218.000	693.20	08/05/20	704.14	...	-10.94	4 of 11 - Sale <sup>25</sup>
	82.000	260.74	08/05/20	271.45	...	-10.71	5 of 11 - Sale <sup>25</sup>
	75.000	238.49	08/05/20	248.28	...	-9.79	6 of 11 - Sale <sup>25</sup>
	100.000	317.98	08/05/20	327.01	...	-9.03	7 of 11 - Sale <sup>25</sup>
	82.000	260.74	08/05/20	268.16	...	-7.42	8 of 11 - Sale <sup>25</sup>
	25.000	79.50	08/05/20	83.77	...	-4.27	9 of 11 - Sale <sup>25</sup>
	82.000	260.74	08/05/20	264.86	...	-4.12	10 of 11 - Sale <sup>25</sup>
	18.000	57.24	08/05/20	59.59	...	-2.35	11 of 11 - Sale <sup>25</sup>
08/05/20	1,400.000	4,451.73	Various	4,566.21	...	-114.48	Total of 11 transactions
10 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	125.000	398.72	08/05/20	403.75	5.03 W	0.00	1 of 10 - Sale <sup>25</sup>
	175.000	558.21	08/05/20	565.25	7.04 W	0.00	2 of 10 - Sale <sup>25</sup>
	100.000	318.99	08/05/20	327.02	8.03 W	0.00	3 of 10 - Sale <sup>25</sup>
	25.000	79.75	08/05/20	81.76	2.01 W	0.00	4 of 10 - Sale <sup>25</sup>
	75.000	239.24	08/05/20	245.27	6.03 W	0.00	5 of 10 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

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ENLINK MIDSTREAM, LLC / CUSIP: 29336T100 / Symbol: (cont'd)							
	100.000	318.98	08/05/20	331.03	12.05 W	0.00	6 of 10 - Sale <sup>25</sup>
	100.000	318.98	08/05/20	327.02	8.04 W	0.00	7 of 10 - Sale <sup>25</sup>
	25.000	79.74	08/05/20	82.76	3.02 W	0.00	8 of 10 - Sale <sup>25</sup>
	275.000	877.19	08/05/20	888.25	11.06 W	0.00	9 of 10 - Sale <sup>25</sup>
	100.000	318.99	08/05/20	323.00	4.01 W	0.00	10 of 10 - Sale <sup>25</sup>
08/05/20	1,100.000	3,508.79	Various	3,575.11	66.32 W	0.00	Total of 10 transactions
	<b>Security total:</b>	<b>9,140.68</b>		<b>9,290.12</b>	<b>66.32 W</b>	<b>-83.12</b>	
ENZO BIOCHEM, INC. / CUSIP: 294100102 / Symbol:							
2 transactions for 03/23/20. Total proceeds and cost reported to the IRS.							
	124.000	307.52	03/20/20	344.72	...	-37.20	1 of 2 - Sale <sup>25</sup>
	1.000	2.48	03/20/20	2.78	...	-0.30	2 of 2 - Sale <sup>25</sup>
03/23/20	125.000	310.00	Various	347.50	...	-37.50	Total of 2 transactions
EVERI HOLDINGS INC / CUSIP: 30034T103 / Symbol:							
4 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	35.000	197.80	07/10/20	202.60	4.80 W	0.00	1 of 4 - Sale <sup>25</sup>
	35.000	212.09	07/10/20	217.56	5.47 W	0.00	2 of 4 - Sale <sup>25</sup>
	115.000	696.88	07/10/20	699.05	2.17 W	0.00	3 of 4 - Sale <sup>25</sup>
	75.000	454.48	07/10/20	456.65	2.17 W	0.00	4 of 4 - Sale <sup>25</sup>
07/10/20	260.000	1,561.25	Various	1,575.86	14.61 W	0.00	Total of 4 transactions
3 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	9.000	51.30	07/14/20	51.09	...	0.21	1 of 3 - Sale <sup>25</sup>
	14.000	79.80	07/14/20	79.19	...	0.61	2 of 3 - Sale <sup>25</sup>
	5.000	28.35	07/14/20	27.69	...	0.66	3 of 3 - Sale <sup>25</sup>
07/14/20	28.000	159.45	Various	157.97	...	1.48	Total of 3 transactions
07/14/20	12.000	68.04	07/14/20	68.11	0.07 W	0.00	Sale <sup>25</sup>
07/15/20	75.000	467.32	07/15/20	466.32	...	1.00	Sale <sup>25</sup>
07/15/20	25.000	155.78	07/15/20	159.69	3.91 W	0.00	Sale <sup>25</sup>
8 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	10.000	58.11	07/17/20	60.08	1.97 W	0.00	1 of 8 - Sale <sup>25</sup>
	39.000	226.64	07/17/20	234.69	8.05 W	0.00	2 of 8 - Sale <sup>25</sup>
	1.000	5.83	07/17/20	6.02	0.19 W	0.00	3 of 8 - Sale <sup>25</sup>
	10.000	58.30	07/17/20	60.87	2.57 W	0.00	4 of 8 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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EVERI HOLDINGS INC / CUSIP: 30034T103 / Symbol: (cont'd)							
	12.000	69.96	07/17/20	70.75	0.79 W	0.00	5 of 8 - Sale <sup>25</sup>
	17.000	99.10	07/17/20	103.63	4.53 W	0.00	6 of 8 - Sale <sup>25</sup>
	25.000	145.74	07/17/20	151.16	5.42 W	0.00	7 of 8 - Sale <sup>25</sup>
	35.000	204.04	07/17/20	207.15	3.11 W	0.00	8 of 8 - Sale <sup>25</sup>
07/17/20	149.000	867.72	Various	894.35	26.63 W	0.00	Total of 8 transactions
	3 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	1.000	5.94	07/21/20	6.20	0.26 W	0.00	1 of 3 - Sale <sup>25</sup>
	22.000	130.71	07/21/20	136.73	6.02 W	0.00	2 of 3 - Sale <sup>25</sup>
	27.000	160.42	07/21/20	164.64	4.22 W	0.00	3 of 3 - Sale <sup>25</sup>
07/21/20	50.000	297.07	Various	307.57	10.50 W	0.00	Total of 3 transactions
	13 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	22.000	136.17	07/22/20	145.38	...	-9.21	1 of 13 - Sale <sup>25</sup>
	78.000	482.80	07/22/20	490.15	...	-7.35	2 of 13 - Sale <sup>25</sup>
	20.000	123.80	07/22/20	131.03	...	-7.23	3 of 13 - Sale <sup>25</sup>
	17.000	105.23	07/22/20	112.21	...	-6.98	4 of 13 - Sale <sup>25</sup>
	25.000	154.74	07/22/20	159.73	...	-4.99	5 of 13 - Sale <sup>25</sup>
	22.000	136.17	07/22/20	140.70	...	-4.53	6 of 13 - Sale <sup>25</sup>
	10.000	61.90	07/22/20	65.91	...	-4.01	7 of 13 - Sale <sup>25</sup>
	12.000	74.28	07/22/20	76.67	...	-2.39	8 of 13 - Sale <sup>25</sup>
	8.000	49.52	07/22/20	51.30	...	-1.78	9 of 13 - Sale <sup>25</sup>
	5.000	30.95	07/22/20	32.70	...	-1.75	10 of 13 - Sale <sup>25</sup>
	5.000	30.95	07/22/20	32.45	...	-1.50	11 of 13 - Sale <sup>25</sup>
	1.000	6.19	07/22/20	6.60	...	-0.41	12 of 13 - Sale <sup>25</sup>
	3.000	19.28	07/22/20	19.14	...	0.14	13 of 13 - Sale <sup>25</sup>
07/22/20	228.000	1,411.98	Various	1,463.97	...	-51.99	Total of 13 transactions
	21 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	100.000	628.97	07/22/20	644.74	3.94 W	-11.83	1 of 21 - Sale <sup>25</sup>
	8.000	51.40	07/22/20	51.75	0.35 W	0.00	2 of 21 - Sale <sup>25</sup>
	12.000	77.10	07/22/20	77.35	0.25 W	0.00	3 of 21 - Sale <sup>25</sup>
	25.000	160.62	07/22/20	164.92	4.30 W	0.00	4 of 21 - Sale <sup>25</sup>
	1.000	6.42	07/22/20	6.64	0.22 W	0.00	5 of 21 - Sale <sup>25</sup>
	10.000	64.25	07/22/20	66.37	2.12 W	0.00	6 of 21 - Sale <sup>25</sup>
	17.000	109.22	07/22/20	112.99	3.77 W	0.00	7 of 21 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
EVERI HOLDINGS INC / CUSIP: 30034T103 / Symbol: (cont'd)							
	22.000	141.34	07/22/20	146.38	5.04 W	0.00	8 of 21 - Sale <sup>25</sup>
	27.000	173.47	07/22/20	176.48	3.01 W	0.00	9 of 21 - Sale <sup>25</sup>
	8.000	50.32	07/22/20	51.39	1.07 W	0.00	10 of 21 - Sale <sup>25</sup>
	12.000	75.48	07/22/20	76.81	1.33 W	0.00	11 of 21 - Sale <sup>25</sup>
	5.000	31.45	07/22/20	32.76	1.31 W	0.00	12 of 21 - Sale <sup>25</sup>
	1.000	6.29	07/22/20	6.61	0.32 W	0.00	13 of 21 - Sale <sup>25</sup>
	5.000	31.45	07/22/20	32.51	1.06 W	0.00	14 of 21 - Sale <sup>25</sup>
	10.000	62.90	07/22/20	66.02	3.12 W	0.00	15 of 21 - Sale <sup>25</sup>
	17.000	106.93	07/22/20	112.40	5.47 W	0.00	16 of 21 - Sale <sup>25</sup>
	20.000	125.79	07/22/20	131.24	5.45 W	0.00	17 of 21 - Sale <sup>25</sup>
	22.000	138.37	07/22/20	145.62	7.25 W	0.00	18 of 21 - Sale <sup>25</sup>
	22.000	138.37	07/22/20	141.16	2.79 W	0.00	19 of 21 - Sale <sup>25</sup>
	78.000	490.60	07/22/20	491.79	1.19 W	0.00	20 of 21 - Sale <sup>25</sup>
	100.000	628.97	07/22/20	641.00	12.03 W	0.00	21 of 21 - Sale <sup>25</sup>
07/22/20	522.000	3,299.71	Various	3,376.93	65.39 W	-11.83	Total of 21 transactions
3 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	65.000	388.12	07/23/20	398.38	...	-10.26	1 of 3 - Sale <sup>25</sup>
	25.000	149.27	07/23/20	154.16	...	-4.89	2 of 3 - Sale <sup>25</sup>
	10.000	59.71	07/23/20	61.40	...	-1.69	3 of 3 - Sale <sup>25</sup>
07/23/20	100.000	597.10	Various	613.94	...	-16.84	Total of 3 transactions
<b>Security total:</b>		<b>8,885.42</b>		<b>9,084.71</b>	<b>121.11 W</b>	<b>-78.18</b>	
EXXON MOBIL CORPORATION / CUSIP: 30231G102 / Symbol:							
2 transactions for 04/03/20. Total proceeds and cost reported to the IRS.							
	20.000	796.20	04/01/20	746.20	...	50.00	1 of 2 - Sale <sup>25</sup>
	10.000	398.10	04/02/20	394.30	...	3.80	2 of 2 - Sale <sup>25</sup>
04/03/20	30.000	1,194.30	Various	1,140.50	...	53.80	Total of 2 transactions
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
03/25/20	10.000	1,574.46	03/24/20	1,581.50	7.04 W	0.00	Sale <sup>25</sup>
04/14/20	5.000	890.13	04/13/20	869.12	...	21.01	Sale <sup>25</sup>
04/15/20	5.000	873.90	04/13/20	869.11	...	4.79	Sale <sup>25</sup>
04/15/20	4.000	699.13	04/14/20	717.71	9.29 W	-9.29	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol: (cont'd)							
04/21/20	2.000	344.48	04/20/20	368.65	...	-24.17	Sale <sup>25</sup>
06/10/20	15.000	3,601.72	06/09/20	3,588.90	...	12.82	Sale <sup>25</sup>
	<b>Security total:</b>	<b>7,983.82</b>		<b>7,994.99</b>	<b>16.33 W</b>	<b>5.16</b>	
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
06/24/20	15.000	1,128.57	06/17/20	859.13	...	269.44	Sale <sup>25</sup>
06/24/20	10.000	752.38	06/23/20	811.30	58.92 W	0.00	Sale <sup>25</sup>
	3 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	20.000	1,604.06	06/25/20	1,612.15	...	-8.09	1 of 3 - Sale <sup>25</sup>
	5.000	398.74	06/25/20	403.04	...	-4.30	2 of 3 - Sale <sup>25</sup>
	5.000	402.97	06/25/20	392.79	...	10.18	3 of 3 - Sale <sup>25</sup>
06/25/20	30.000	2,405.77	Various	2,407.98	...	-2.21	Total of 3 transactions
	4 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	40.000	3,204.93	06/25/20	3,224.30	9.68 W	-9.69	1 of 4 - Sale <sup>25</sup>
	10.000	805.94	06/25/20	844.49	38.55 W	0.00	2 of 4 - Sale <sup>25</sup>
	10.000	805.94	06/25/20	806.08	0.14 W	0.00	3 of 4 - Sale <sup>25</sup>
	10.000	801.23	06/25/20	844.62	43.39 W	0.00	4 of 4 - Sale <sup>25</sup>
06/25/20	70.000	5,618.04	Various	5,719.49	91.76 W	-9.69	Total of 4 transactions
06/26/20	10.000	862.96	06/26/20	873.67	...	-10.71	Sale <sup>25</sup>
	3 transactions for 07/14/20. Total proceeds and cost reported to the IRS.						
	5.000	407.75	07/14/20	431.65	23.90 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	407.75	07/14/20	447.63	39.88 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	407.74	07/14/20	425.91	18.17 W	0.00	3 of 3 - Sale <sup>25</sup>
07/14/20	15.000	1,223.24	Various	1,305.19	81.95 W	0.00	Total of 3 transactions
	2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	10.000	834.00	07/21/20	834.99	0.99 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	415.25	07/21/20	417.49	2.24 W	0.00	2 of 2 - Sale <sup>25</sup>
07/21/20	15.000	1,249.25	Various	1,252.48	3.23 W	0.00	Total of 2 transactions
	3 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	5.000	425.00	07/29/20	455.34	30.34 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	425.20	07/29/20	471.32	46.12 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	425.20	07/29/20	449.62	24.42 W	0.00	3 of 3 - Sale <sup>25</sup>
07/30/20	15.000	1,275.40	Various	1,376.28	100.88 W	0.00	Total of 3 transactions

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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FASTLY, INC. / CUSIP: 31188V100 / Symbol: (cont'd)							
3 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	5.000	538.18	08/03/20	540.42	2.24 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	539.91	08/03/20	540.42	0.51 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	539.91	08/03/20	549.42	9.51 W	0.00	3 of 3 - Sale <sup>25</sup>
08/03/20	15.000	1,618.00	Various	1,630.26	12.26 W	0.00	Total of 3 transactions
11 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	5.000	549.64	08/05/20	586.66	37.02 W	0.00	1 of 11 - Sale <sup>25</sup>
	5.000	549.64	08/05/20	602.44	52.80 W	0.00	2 of 11 - Sale <sup>25</sup>
	5.000	549.63	08/05/20	580.74	31.11 W	0.00	3 of 11 - Sale <sup>25</sup>
	5.000	549.64	08/05/20	558.56	8.92 W	0.00	4 of 11 - Sale <sup>25</sup>
	5.000	549.63	08/05/20	556.83	7.20 W	0.00	5 of 11 - Sale <sup>25</sup>
	5.000	466.49	08/05/20	514.52	48.03 W	0.00	6 of 11 - Sale <sup>25</sup>
	5.000	466.48	08/05/20	530.30	63.82 W	0.00	7 of 11 - Sale <sup>25</sup>
	5.000	466.49	08/05/20	508.61	42.12 W	0.00	8 of 11 - Sale <sup>25</sup>
	5.000	466.49	08/05/20	487.01	20.52 W	0.00	9 of 11 - Sale <sup>25</sup>
	2.000	186.59	08/05/20	194.57	7.98 W	0.00	10 of 11 - Sale <sup>25</sup>
	3.000	279.90	08/05/20	291.85	11.95 W	0.00	11 of 11 - Sale <sup>25</sup>
08/05/20	50.000	5,080.62	Various	5,412.09	331.47 W	0.00	Total of 11 transactions
17 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	5.000	445.65	08/05/20	515.38	69.73 W	0.00	1 of 17 - Sale <sup>25</sup>
	5.000	445.65	08/05/20	531.17	85.52 W	0.00	2 of 17 - Sale <sup>25</sup>
	5.000	445.64	08/05/20	509.47	63.83 W	0.00	3 of 17 - Sale <sup>25</sup>
	4.000	356.52	08/05/20	390.30	33.78 W	0.00	4 of 17 - Sale <sup>25</sup>
	5.000	445.65	08/05/20	474.55	28.90 W	0.00	5 of 17 - Sale <sup>25</sup>
	1.000	89.13	08/05/20	97.58	8.45 W	0.00	6 of 17 - Sale <sup>25</sup>
	2.000	178.26	08/05/20	194.94	16.68 W	0.00	7 of 17 - Sale <sup>25</sup>
	3.000	267.38	08/05/20	292.39	25.01 W	0.00	8 of 17 - Sale <sup>25</sup>
	5.000	436.52	08/06/20	506.18	69.66 W	0.00	9 of 17 - Sale <sup>25</sup>
	5.000	436.52	08/06/20	521.97	85.45 W	0.00	10 of 17 - Sale <sup>25</sup>
	5.000	436.53	08/06/20	500.28	63.75 W	0.00	11 of 17 - Sale <sup>25</sup>
	1.000	87.30	08/06/20	95.74	8.44 W	0.00	12 of 17 - Sale <sup>25</sup>
	4.000	349.22	08/06/20	382.94	33.72 W	0.00	13 of 17 - Sale <sup>25</sup>
	5.000	436.52	08/06/20	465.35	28.83 W	0.00	14 of 17 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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FASTLY, INC. / CUSIP: 31188V100 / Symbol: (cont'd)							
	2.000	173.12	08/06/20	191.26	18.14 W	0.00	15 of 17 - Sale <sup>25</sup>
	3.000	259.67	08/06/20	286.88	27.21 W	0.00	16 of 17 - Sale <sup>25</sup>
	45.000	3,895.11	08/06/20	3,928.05	32.94 W	0.00	17 of 17 - Sale <sup>25</sup>
08/06/20	105.000	9,184.39	Various	9,884.43	700.04 W	0.00	Total of 17 transactions
	7 transactions for 08/07/20. Total proceeds and cost reported to the IRS.						
	1.000	80.86	08/06/20	102.73	21.87 W	0.00	1 of 7 - Sale <sup>25</sup>
	4.000	323.43	08/07/20	392.18	68.75 W	0.00	2 of 7 - Sale <sup>25</sup>
	5.000	404.28	08/07/20	506.01	101.73 W	0.00	3 of 7 - Sale <sup>25</sup>
	5.000	404.28	08/07/20	484.31	80.03 W	0.00	4 of 7 - Sale <sup>25</sup>
	1.000	80.86	08/07/20	92.55	11.69 W	0.00	5 of 7 - Sale <sup>25</sup>
	4.000	323.42	08/07/20	370.17	46.75 W	0.00	6 of 7 - Sale <sup>25</sup>
	5.000	404.28	08/07/20	449.39	45.11 W	0.00	7 of 7 - Sale <sup>25</sup>
08/07/20	25.000	2,021.41	Various	2,397.34	375.93 W	0.00	Total of 7 transactions
	11 transactions for 08/14/20. Total proceeds and cost reported to the IRS.						
	1.000	76.02	08/14/20	97.96	21.94 W	0.00	1 of 11 - Sale <sup>25</sup>
	4.000	304.06	08/14/20	373.11	69.05 W	0.00	2 of 11 - Sale <sup>25</sup>
	5.000	380.08	08/14/20	482.18	102.10 W	0.00	3 of 11 - Sale <sup>25</sup>
	5.000	380.08	08/14/20	460.48	80.40 W	0.00	4 of 11 - Sale <sup>25</sup>
	1.000	76.02	08/14/20	87.78	11.76 W	0.00	5 of 11 - Sale <sup>25</sup>
	2.000	152.03	08/14/20	170.32	18.29 W	0.00	6 of 11 - Sale <sup>25</sup>
	3.000	228.05	08/14/20	255.48	27.43 W	0.00	7 of 11 - Sale <sup>25</sup>
	4.000	304.06	08/14/20	351.11	47.05 W	0.00	8 of 11 - Sale <sup>25</sup>
	5.000	380.08	08/14/20	425.56	45.48 W	0.00	9 of 11 - Sale <sup>25</sup>
	45.000	3,420.67	08/14/20	3,456.99	36.32 W	0.00	10 of 11 - Sale <sup>25</sup>
	25.000	1,900.38	08/14/20	1,902.24	1.86 W	0.00	11 of 11 - Sale <sup>25</sup>
08/14/20	100.000	7,601.53	Various	8,063.21	461.68 W	0.00	Total of 11 transactions
	7 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	1.000	88.80	08/20/20	110.88	22.08 W	0.00	1 of 7 - Sale <sup>25</sup>
	4.000	355.19	08/20/20	424.80	69.61 W	0.00	2 of 7 - Sale <sup>25</sup>
	5.000	443.99	08/20/20	546.78	102.79 W	0.00	3 of 7 - Sale <sup>25</sup>
	5.000	443.99	08/20/20	525.09	81.10 W	0.00	4 of 7 - Sale <sup>25</sup>
	2.000	177.60	08/20/20	196.16	18.56 W	0.00	5 of 7 - Sale <sup>25</sup>
	3.000	266.39	08/20/20	294.24	27.85 W	0.00	6 of 7 - Sale <sup>25</sup>

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(continued)

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FASTLY, INC. / CUSIP: 31188V100 / Symbol: (cont'd)							
08/20/20	4.000	355.19	08/20/20	392.13	36.94 W	0.00	7 of 7 - Sale <sup>25</sup>
	24.000	2,131.15	Various	2,490.08	358.93 W	0.00	Total of 7 transactions
	5 transactions for 08/21/20. Total proceeds and cost reported to the IRS.						
	1.000	86.96	08/20/20	98.46	11.50 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	86.96	08/20/20	101.12	14.16 W	0.00	2 of 5 - Sale <sup>25</sup>
	4.000	347.83	08/20/20	404.49	56.66 W	0.00	3 of 5 - Sale <sup>25</sup>
	22.000	1,913.08	08/20/20	1,967.56	54.48 W	0.00	4 of 5 - Sale <sup>25</sup>
	45.000	3,913.10	08/20/20	4,057.52	144.42 W	0.00	5 of 5 - Sale <sup>25</sup>
08/21/20	73.000	6,347.93	Various	6,629.15	281.22 W	0.00	Total of 5 transactions
	2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	22.000	1,830.51	08/24/20	1,886.64	...	-56.13	1 of 2 - Sale <sup>25</sup>
	3.000	249.61	08/24/20	250.06	...	-0.45	2 of 2 - Sale <sup>25</sup>
08/24/20	25.000	2,080.12	Various	2,136.70	...	-56.58	Total of 2 transactions
	11 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	45.000	3,744.23	08/24/20	3,892.02	67.13 W	-80.66	1 of 11 - Sale <sup>25</sup>
	1.000	83.20	08/24/20	105.36	22.16 W	0.00	2 of 11 - Sale <sup>25</sup>
	4.000	332.82	08/24/20	402.73	69.91 W	0.00	3 of 11 - Sale <sup>25</sup>
	5.000	416.02	08/24/20	519.19	103.17 W	0.00	4 of 11 - Sale <sup>25</sup>
	1.000	83.20	08/24/20	94.78	11.58 W	0.00	5 of 11 - Sale <sup>25</sup>
	1.000	83.20	08/24/20	97.44	14.24 W	0.00	6 of 11 - Sale <sup>25</sup>
	4.000	332.82	08/24/20	389.78	56.96 W	0.00	7 of 11 - Sale <sup>25</sup>
	5.000	416.02	08/24/20	497.50	81.48 W	0.00	8 of 11 - Sale <sup>25</sup>
	2.000	166.41	08/24/20	185.12	18.71 W	0.00	9 of 11 - Sale <sup>25</sup>
	3.000	249.62	08/24/20	277.69	28.07 W	0.00	10 of 11 - Sale <sup>25</sup>
	4.000	332.82	08/24/20	370.06	37.24 W	0.00	11 of 11 - Sale <sup>25</sup>
08/24/20	75.000	6,240.36	Various	6,831.67	510.65 W	-80.66	Total of 11 transactions
	14 transactions for 08/26/20. Total proceeds and cost reported to the IRS.						
	5.000	454.04	08/26/20	555.62	...	-101.58	1 of 14 - Sale <sup>25</sup>
	5.000	454.04	08/26/20	533.93	...	-79.89	2 of 14 - Sale <sup>25</sup>
	4.000	363.23	08/26/20	431.87	...	-68.64	3 of 14 - Sale <sup>25</sup>
	4.000	363.23	08/26/20	418.92	...	-55.69	4 of 14 - Sale <sup>25</sup>
	4.000	363.23	08/26/20	399.20	...	-35.97	5 of 14 - Sale <sup>25</sup>
	10.000	908.08	08/26/20	937.73	...	-29.65	6 of 14 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FASTLY, INC. / CUSIP: 31188V100 / Symbol: (cont'd)							
	3.000	272.42	08/26/20	299.54	...	-27.12	7 of 14 - Sale <sup>25</sup>
	8.000	726.46	08/26/20	750.27	...	-23.81	8 of 14 - Sale <sup>25</sup>
	1.000	90.81	08/26/20	112.65	...	-21.84	9 of 14 - Sale <sup>25</sup>
	2.000	181.61	08/26/20	199.69	...	-18.08	10 of 14 - Sale <sup>25</sup>
	1.000	90.81	08/26/20	104.73	...	-13.92	11 of 14 - Sale <sup>25</sup>
	1.000	90.81	08/26/20	102.07	...	-11.26	12 of 14 - Sale <sup>25</sup>
	2.000	181.62	08/26/20	187.57	...	-5.95	13 of 14 - Sale <sup>25</sup>
	0.441	40.05	08/26/20	41.45	...	-1.40	14 of 14 - Sale <sup>25</sup>
08/26/20	50.441	4,580.44	Various	5,075.24	...	-494.80	Total of 14 transactions
	2 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	12.000	1,362.45	10/07/20	1,356.00	...	6.45	1 of 2 - Sale <sup>25</sup>
	38.000	4,314.42	10/07/20	4,294.00	...	20.42	2 of 2 - Sale <sup>25</sup>
10/07/20	50.000	5,676.87	Various	5,650.00	...	26.87	Total of 2 transactions
	3 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	10.000	1,135.37	10/07/20	1,140.00	4.63 W	0.00	1 of 3 - Sale <sup>25</sup>
	39.000	4,427.95	10/07/20	4,446.00	18.05 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	113.54	10/07/20	114.00	0.46 W	0.00	3 of 3 - Sale <sup>25</sup>
10/07/20	50.000	5,676.86	Various	5,700.00	23.14 W	0.00	Total of 3 transactions
	10 transactions for 10/15/20. Total proceeds and cost reported to the IRS.						
	12.000	1,076.61	10/15/20	1,095.60	...	-18.99	1 of 10 - Sale <sup>25</sup>
	10.000	905.07	10/15/20	920.75	...	-15.68	2 of 10 - Sale <sup>25</sup>
	7.000	633.48	10/15/20	643.83	...	-10.35	3 of 10 - Sale <sup>25</sup>
	10.000	905.08	10/15/20	913.76	...	-8.68	4 of 10 - Sale <sup>25</sup>
	2.000	180.99	10/15/20	186.03	...	-5.04	5 of 10 - Sale <sup>25</sup>
	3.000	271.49	10/15/20	276.23	...	-4.74	6 of 10 - Sale <sup>25</sup>
	2.000	181.00	10/15/20	184.16	...	-3.16	7 of 10 - Sale <sup>25</sup>
	1.000	90.50	10/15/20	93.02	...	-2.52	8 of 10 - Sale <sup>25</sup>
	1.000	90.50	10/15/20	92.67	...	-2.17	9 of 10 - Sale <sup>25</sup>
	1.000	90.50	10/15/20	92.67	...	-2.17	10 of 10 - Sale <sup>25</sup>
10/15/20	49.000	4,425.22	Various	4,498.72	...	-73.50	Total of 10 transactions
	10 transactions for 10/15/20. Total proceeds and cost reported to the IRS.						
	15.000	1,345.76	10/15/20	1,381.54	5.40 W	-30.38	1 of 10 - Sale <sup>25</sup>
	1.000	90.25	10/15/20	91.06	0.81 W	0.00	2 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FASTLY, INC. / CUSIP: 31188V100 / Symbol: (cont'd)							
	15.000	1,353.75	10/15/20	1,365.79	12.04 W	0.00	3 of 10 - Sale <sup>25</sup>
	10.000	897.17	10/15/20	904.63	7.46 W	0.00	4 of 10 - Sale <sup>25</sup>
	2.000	179.43	10/15/20	182.33	2.90 W	0.00	5 of 10 - Sale <sup>25</sup>
	13.000	1,166.33	10/15/20	1,185.12	18.79 W	0.00	6 of 10 - Sale <sup>25</sup>
	7.000	628.02	10/15/20	637.44	9.42 W	0.00	7 of 10 - Sale <sup>25</sup>
	1.000	89.72	10/15/20	91.76	2.04 W	0.00	8 of 10 - Sale <sup>25</sup>
	1.000	89.72	10/15/20	91.76	2.04 W	0.00	9 of 10 - Sale <sup>25</sup>
	1.000	89.72	10/15/20	92.11	2.39 W	0.00	10 of 10 - Sale <sup>25</sup>
10/15/20	66.000	5,929.87	Various	6,023.54	63.29 W	-30.38	Total of 10 transactions
	2 transactions for 11/03/20. Total proceeds and cost reported to the IRS.						
	0.264	18.18	11/03/20	18.61	...	-0.43	1 of 2 - Sale <sup>25</sup>
	0.000	0.02	11/03/20	0.02	...	0.00	2 of 2 - Sale <sup>25</sup>
11/03/20	0.264	18.20	Various	18.63	...	-0.43	Total of 2 transactions
	<b>Security total:</b>	<b>83,128.58</b>		<b>87,046.58</b>	<b>3,455.35 W</b>	<b>-462.65</b>	
FIRST SOLAR, INC. COMMON STOCK / CUSIP: 336433107 / Symbol:							
	2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.						
	10.000	593.51	07/14/20	594.57	...	-1.06	1 of 2 - Sale <sup>25</sup>
	15.000	890.27	07/14/20	890.70	...	-0.43	2 of 2 - Sale <sup>25</sup>
07/14/20	25.000	1,483.78	Various	1,485.27	...	-1.49	Total of 2 transactions
FITBIT, INC. CLASS A COMMON STOCK / CUSIP: 33812L102 / Symbol:							
	7 transactions for 07/09/20. Total proceeds and cost reported to the IRS.						
	200.000	1,299.95	07/09/20	1,319.52	...	-19.57	1 of 7 - Sale <sup>25</sup>
	200.000	1,299.95	07/09/20	1,319.52	...	-19.57	2 of 7 - Sale <sup>25</sup>
	200.000	1,299.95	07/09/20	1,319.52	...	-19.57	3 of 7 - Sale <sup>25</sup>
	100.000	649.47	07/09/20	659.76	...	-10.29	4 of 7 - Sale <sup>25</sup>
	100.000	649.47	07/09/20	659.76	...	-10.29	5 of 7 - Sale <sup>25</sup>
	100.000	649.47	07/09/20	659.76	...	-10.29	6 of 7 - Sale <sup>25</sup>
	100.000	649.47	07/09/20	659.76	...	-10.29	7 of 7 - Sale <sup>25</sup>
07/09/20	1,000.000	6,497.73	Various	6,597.60	...	-99.87	Total of 7 transactions

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FITBIT, INC. CLASS A COMMON STOCK / CUSIP: 33812L102 / Symbol: (cont'd)							
2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	50.000	345.48	12/21/20	344.50	...	0.98	1 of 2 - Sale <sup>25</sup>
	25.000	172.74	12/21/20	171.25	...	1.49	2 of 2 - Sale <sup>25</sup>
12/22/20	75.000	518.22	Various	515.75	...	2.47	Total of 2 transactions
	<b>Security total:</b>	<b>7,015.95</b>		<b>7,113.35</b>	...	<b>-97.40</b>	
FLUOR CORPORATION / CUSIP: 343412102 / Symbol:							
04/01/20	100.000	621.09	03/31/20	705.00	...	-83.91	Sale <sup>25</sup>
3 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	40.000	485.26	07/13/20	487.52	...	-2.26	1 of 3 - Sale <sup>25</sup>
	25.000	303.29	07/13/20	303.44	...	-0.15	2 of 3 - Sale <sup>25</sup>
	50.000	606.57	07/13/20	606.00	...	0.57	3 of 3 - Sale <sup>25</sup>
07/13/20	115.000	1,395.12	Various	1,396.96	...	-1.84	Total of 3 transactions
	<b>Security total:</b>	<b>2,016.21</b>		<b>2,101.96</b>	...	<b>-85.75</b>	
FLUIDIGM CORPORATION COMMON STOCK / CUSIP: 34385P108 / Symbol:							
6 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	1.000	6.14	07/08/20	6.28	0.14 W	0.00	1 of 6 - Sale <sup>25</sup>
	74.000	452.86	07/08/20	464.72	11.86 W	0.00	2 of 6 - Sale <sup>25</sup>
	1.000	6.12	07/08/20	6.43	0.31 W	0.00	3 of 6 - Sale <sup>25</sup>
	24.000	146.87	07/08/20	150.96	4.09 W	0.00	4 of 6 - Sale <sup>25</sup>
	10.000	58.50	07/08/20	64.50	6.00 W	0.00	5 of 6 - Sale <sup>25</sup>
	55.000	321.74	07/08/20	354.77	33.03 W	0.00	6 of 6 - Sale <sup>25</sup>
07/08/20	165.000	992.23	Various	1,047.66	55.43 W	0.00	Total of 6 transactions
4 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	9.000	52.23	07/08/20	58.05	5.82 W	0.00	1 of 4 - Sale <sup>25</sup>
	26.000	150.89	07/08/20	163.54	12.65 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	5.80	07/08/20	6.60	0.80 W	0.00	3 of 4 - Sale <sup>25</sup>
	14.000	81.25	07/08/20	90.45	9.20 W	0.00	4 of 4 - Sale <sup>25</sup>
07/09/20	50.000	290.17	Various	318.64	28.47 W	0.00	Total of 4 transactions
28 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	1.000	7.55	08/05/20	7.82	0.27 W	0.00	1 of 28 - Sale <sup>25</sup>
	1.000	7.55	08/05/20	8.48	0.93 W	0.00	2 of 28 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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FLUIDIGM CORPORATION COMMON STOCK / CUSIP: 34385P108 / Symbol: (cont'd)							
	9.000	67.95	08/05/20	74.94	6.99 W	0.00	3 of 28 - Sale <sup>25</sup>
	14.000	105.70	08/05/20	116.72	11.02 W	0.00	4 of 28 - Sale <sup>25</sup>
	26.000	196.29	08/05/20	212.33	16.04 W	0.00	5 of 28 - Sale <sup>25</sup>
	9.000	67.95	08/05/20	70.65	2.70 W	0.00	6 of 28 - Sale <sup>25</sup>
	10.000	75.50	08/05/20	82.80	7.30 W	0.00	7 of 28 - Sale <sup>25</sup>
	55.000	415.23	08/05/20	455.43	40.20 W	0.00	8 of 28 - Sale <sup>25</sup>
	55.000	415.23	08/05/20	422.40	7.17 W	0.00	9 of 28 - Sale <sup>25</sup>
	10.000	75.50	08/05/20	76.90	1.40 W	0.00	10 of 28 - Sale <sup>25</sup>
	4.000	30.20	08/05/20	30.80	0.60 W	0.00	11 of 28 - Sale <sup>25</sup>
	156.000	1,177.75	08/05/20	1,185.58	7.83 W	0.00	12 of 28 - Sale <sup>25</sup>
	25.000	188.74	08/05/20	190.12	1.38 W	0.00	13 of 28 - Sale <sup>25</sup>
	3.000	22.47	08/05/20	25.37	2.90 W	0.00	14 of 28 - Sale <sup>25</sup>
	1.000	7.49	08/05/20	7.95	0.46 W	0.00	15 of 28 - Sale <sup>25</sup>
	1.000	7.49	08/05/20	8.62	1.13 W	0.00	16 of 28 - Sale <sup>25</sup>
	6.000	44.94	08/05/20	50.80	5.86 W	0.00	17 of 28 - Sale <sup>25</sup>
	14.000	104.86	08/05/20	118.68	13.82 W	0.00	18 of 28 - Sale <sup>25</sup>
	26.000	194.73	08/05/20	215.98	21.25 W	0.00	19 of 28 - Sale <sup>25</sup>
	3.000	22.47	08/05/20	23.97	1.50 W	0.00	20 of 28 - Sale <sup>25</sup>
	6.000	44.94	08/05/20	47.94	3.00 W	0.00	21 of 28 - Sale <sup>25</sup>
	4.000	29.96	08/05/20	30.95	0.99 W	0.00	22 of 28 - Sale <sup>25</sup>
	10.000	74.90	08/05/20	83.16	8.26 W	0.00	23 of 28 - Sale <sup>25</sup>
	10.000	74.90	08/05/20	77.27	2.37 W	0.00	24 of 28 - Sale <sup>25</sup>
	46.000	344.52	08/05/20	351.28	6.76 W	0.00	25 of 28 - Sale <sup>25</sup>
	55.000	411.93	08/05/20	457.46	45.53 W	0.00	26 of 28 - Sale <sup>25</sup>
	55.000	411.93	08/05/20	424.43	12.50 W	0.00	27 of 28 - Sale <sup>25</sup>
	10.000	74.89	08/05/20	76.50	1.61 W	0.00	28 of 28 - Sale <sup>25</sup>
08/05/20	625.000	4,703.56	Various	4,935.33	231.77 W	0.00	Total of 28 transactions
	10 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	40.000	295.98	08/11/20	335.51	...	-39.53	1 of 10 - Sale <sup>25</sup>
	33.000	244.20	08/11/20	256.98	...	-12.78	2 of 10 - Sale <sup>25</sup>
	10.000	74.00	08/11/20	83.88	...	-9.88	3 of 10 - Sale <sup>25</sup>
	10.000	74.00	08/11/20	83.86	...	-9.86	4 of 10 - Sale <sup>25</sup>
	5.000	37.00	08/11/20	41.94	...	-4.94	5 of 10 - Sale <sup>25</sup>
	6.000	44.40	08/11/20	48.36	...	-3.96	6 of 10 - Sale <sup>25</sup>

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(continued)

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FLUIDIGM CORPORATION COMMON STOCK / CUSIP: 34385P108 / Symbol: (cont'd)							
	10.000	74.00	08/11/20	77.88	...	-3.88	7 of 10 - Sale <sup>25</sup>
	3.000	22.20	08/11/20	24.18	...	-1.98	8 of 10 - Sale <sup>25</sup>
	2.000	14.80	08/11/20	15.57	...	-0.77	9 of 10 - Sale <sup>25</sup>
	1.000	7.40	08/11/20	8.02	...	-0.62	10 of 10 - Sale <sup>25</sup>
08/11/20	120.000	887.98	Various	976.18	...	-88.20	Total of 10 transactions
	8 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	25.000	184.99	08/11/20	190.38	4.31 W	-1.08	1 of 8 - Sale <sup>25</sup>
	1.000	7.40	08/11/20	8.69	1.29 W	0.00	2 of 8 - Sale <sup>25</sup>
	3.000	22.20	08/11/20	25.58	3.38 W	0.00	3 of 8 - Sale <sup>25</sup>
	6.000	44.40	08/11/20	51.22	6.82 W	0.00	4 of 8 - Sale <sup>25</sup>
	14.000	103.60	08/11/20	119.66	16.06 W	0.00	5 of 8 - Sale <sup>25</sup>
	26.000	192.39	08/11/20	217.81	25.42 W	0.00	6 of 8 - Sale <sup>25</sup>
	50.000	369.98	08/11/20	380.51	10.53 W	0.00	7 of 8 - Sale <sup>25</sup>
	50.000	369.98	08/11/20	380.51	10.53 W	0.00	8 of 8 - Sale <sup>25</sup>
08/11/20	175.000	1,294.94	Various	1,374.36	78.34 W	-1.08	Total of 8 transactions
	16 transactions for 08/17/20. Total proceeds and cost reported to the IRS.						
	14.000	109.92	08/17/20	126.17	...	-16.25	1 of 16 - Sale <sup>25</sup>
	15.000	117.77	08/17/20	132.65	...	-14.88	2 of 16 - Sale <sup>25</sup>
	50.000	392.57	08/17/20	403.78	...	-11.21	3 of 16 - Sale <sup>25</sup>
	11.000	86.37	08/17/20	97.32	...	-10.95	4 of 16 - Sale <sup>25</sup>
	46.000	361.16	08/17/20	368.77	...	-7.61	5 of 16 - Sale <sup>25</sup>
	6.000	47.11	08/17/20	54.04	...	-6.93	6 of 16 - Sale <sup>25</sup>
	30.000	235.55	08/17/20	242.42	...	-6.87	7 of 16 - Sale <sup>25</sup>
	20.000	157.03	08/17/20	161.71	...	-4.68	8 of 16 - Sale <sup>25</sup>
	20.000	157.03	08/17/20	161.51	...	-4.48	9 of 16 - Sale <sup>25</sup>
	3.000	23.55	08/17/20	26.99	...	-3.44	10 of 16 - Sale <sup>25</sup>
	10.000	78.51	08/17/20	81.25	...	-2.74	11 of 16 - Sale <sup>25</sup>
	9.000	70.66	08/17/20	72.96	...	-2.30	12 of 16 - Sale <sup>25</sup>
	10.000	78.51	08/17/20	80.31	...	-1.80	13 of 16 - Sale <sup>25</sup>
	1.000	7.85	08/17/20	9.15	...	-1.30	14 of 16 - Sale <sup>25</sup>
	4.000	31.41	08/17/20	32.47	...	-1.06	15 of 16 - Sale <sup>25</sup>
	1.000	7.85	08/17/20	8.14	...	-0.29	16 of 16 - Sale <sup>25</sup>
08/17/20	250.000	1,962.85	Various	2,059.64	...	-96.79	Total of 16 transactions
	<b>Security total:</b>	<b>10,131.73</b>		<b>10,711.81</b>	<b>394.01 W</b>	<b>-186.07</b>	

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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FLY LEASING LIMITED / CUSIP: 34407D109 / Symbol:							
06/23/20	100.000	850.72	06/23/20	862.50	...	-11.78	Sale <sup>25</sup>
FLYING EAGLE ACQUISITION CORP. / CUSIP: 34407Y103 / Symbol:							
10/08/20	65.000	789.07	10/08/20	792.35	...	-3.28	Sale <sup>25</sup>
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
03/25/20	75.000	390.07	03/24/20	363.74	...	26.33	Sale <sup>25</sup>
3 transactions for 04/13/20. Total proceeds and cost reported to the IRS.							
	1.000	5.30	04/08/20	5.04	...	0.26	1 of 3 - Sale <sup>25</sup>
	99.000	524.67	04/08/20	498.68	...	25.99	2 of 3 - Sale <sup>25</sup>
	50.000	264.99	04/09/20	280.36	...	-15.37	3 of 3 - Sale <sup>25</sup>
04/13/20	150.000	794.96	Various	784.08	...	10.88	Total of 3 transactions
07/14/20	150.000	946.48	07/14/20	952.50	2.21 W	-3.81	Sale <sup>25</sup>
07/15/20	50.000	335.16	07/15/20	336.87	...	-1.71	Sale <sup>25</sup>
07/30/20	5.000	34.20	07/30/20	34.50	...	-0.30	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,500.87</b>		<b>2,471.69</b>	<b>2.21 W</b>	<b>31.39</b>	
FORESIGHT AUTONOMOUS HOLDINGS LTD. AMERICAN DEPOSITARY SHARE S / CUSIP: 345523104 / Symbol:							
2 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	400.000	261.95	04/01/20	342.00	...	-80.05	1 of 2 - Sale <sup>25</sup>
	100.000	65.99	04/01/20	85.50	...	-19.51	2 of 2 - Sale <sup>25</sup>
04/01/20	500.000	327.94	Various	427.50	...	-99.56	Total of 2 transactions
13 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	100.000	574.42	12/23/20	579.50	5.08 W	0.00	1 of 13 - Sale <sup>25</sup>
	150.000	861.62	12/23/20	877.50	15.88 W	0.00	2 of 13 - Sale <sup>25</sup>
	200.000	1,148.83	12/23/20	1,160.00	11.17 W	0.00	3 of 13 - Sale <sup>25</sup>
	49.000	282.39	12/23/20	286.69	4.30 W	0.00	4 of 13 - Sale <sup>25</sup>
	1.000	5.82	12/23/20	5.85	0.03 W	0.00	5 of 13 - Sale <sup>25</sup>
	49.000	285.17	12/23/20	291.84	6.67 W	0.00	6 of 13 - Sale <sup>25</sup>
	50.000	290.99	12/23/20	295.04	4.05 W	0.00	7 of 13 - Sale <sup>25</sup>
	20.000	116.39	12/23/20	122.52	6.13 W	0.00	8 of 13 - Sale <sup>25</sup>
	80.000	465.58	12/23/20	490.06	24.48 W	0.00	9 of 13 - Sale <sup>25</sup>
	1.000	5.82	12/23/20	6.11	0.29 W	0.00	10 of 13 - Sale <sup>25</sup>
	149.000	867.14	12/23/20	902.32	35.18 W	0.00	11 of 13 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FORESIGHT AUTONOMOUS HOLDINGS LTD. AMERICAN DEPOSITARY SHARE S / CUSIP: 345523104 / Symbol: (cont'd)							
	49.000	285.17	12/23/20	290.95	5.78 W	0.00	12 of 13 - Sale <sup>25</sup>
	51.000	296.81	12/23/20	301.20	4.39 W	0.00	13 of 13 - Sale <sup>25</sup>
12/23/20	949.000	5,486.15	Various	5,609.58	123.43 W	0.00	Total of 13 transactions
	2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.						
	1.000	4.58	12/23/20	5.88	1.30 W	0.00	1 of 2 - Sale <sup>25</sup>
	23.000	105.37	12/23/20	136.41	31.04 W	0.00	2 of 2 - Sale <sup>25</sup>
12/29/20	24.000	109.95	Various	142.29	32.34 W	0.00	Total of 2 transactions
12/30/20	1.000	4.45	12/23/20	5.93	1.48 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>5,928.49</b>		<b>6,185.30</b>	<b>157.25 W</b>	<b>-99.56</b>	
FORTE BIOSCIENCES, INC. COMMON STOCK / CUSIP: 34962G109 / Symbol:							
07/23/20	25.000	511.23	07/22/20	522.00	...	-10.77	Sale <sup>25</sup>
FRANCO-NEVADA CORPORATION / CUSIP: 351858105 / Symbol:							
06/29/20	9.000	1,241.97	06/29/20	1,244.30	...	-2.33	Sale <sup>25</sup>
FRONTIER COMMUNICATIONS CORP C OMMON STOCK / CUSIP: 35906A306 / Symbol:							
	3 transactions for 03/24/20. Total proceeds and cost reported to the IRS.						
	200.000	74.98	03/23/20	82.66	...	-7.68	1 of 3 - Sale <sup>25</sup>
	99.000	36.44	03/23/20	40.92	...	-4.48	2 of 3 - Sale <sup>25</sup>
	1.000	0.38	03/23/20	0.41	...	-0.03	3 of 3 - Sale <sup>25</sup>
03/24/20	300.000	111.80	Various	123.99	...	-12.19	Total of 3 transactions
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:							
03/13/20	250.000	297.50	03/12/20	297.48	...	0.02	Sale <sup>25</sup>
03/18/20	4.000	5.24	03/17/20	5.88	...	-0.64	Sale <sup>25</sup>
	3 transactions for 04/28/20. Total proceeds and cost reported to the IRS.						
	75.000	177.74	04/24/20	142.39	...	35.35	1 of 3 - Sale <sup>25</sup>
	75.000	177.74	04/24/20	141.65	...	36.09	2 of 3 - Sale <sup>25</sup>
	50.000	118.50	04/27/20	117.44	...	1.06	3 of 3 - Sale <sup>25</sup>
04/28/20	200.000	473.98	Various	401.48	...	72.50	Total of 3 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
<i>2 transactions for 04/29/20. Total proceeds and cost reported to the IRS.</i>							
	86.000	185.88	04/28/20	179.74	...	6.14	1 of 2 - Sale <sup>25</sup>
	114.000	246.40	04/28/20	238.26	...	8.14	2 of 2 - Sale <sup>25</sup>
04/29/20	200.000	432.28	Various	418.00	...	14.28	Total of 2 transactions
06/25/20	250.000	597.48	06/24/20	572.48	...	25.00	Sale <sup>25</sup>
07/08/20	100.000	287.99	07/08/20	273.99	...	14.00	Sale <sup>25</sup>
07/16/20	250.000	760.35	07/16/20	772.18	11.83 W	0.00	Sale <sup>25</sup>
08/04/20	250.000	624.95	08/04/20	621.35	...	3.60	Sale <sup>25</sup>
<i>9 transactions for 08/04/20. Total proceeds and cost reported to the IRS.</i>							
	250.000	624.95	08/04/20	633.18	8.23 W	0.00	1 of 9 - Sale <sup>25</sup>
	125.000	312.48	08/04/20	314.38	1.90 W	0.00	2 of 9 - Sale <sup>25</sup>
	282.000	704.95	08/04/20	713.09	8.14 W	0.00	3 of 9 - Sale <sup>25</sup>
	35.000	87.50	08/04/20	89.66	2.16 W	0.00	4 of 9 - Sale <sup>25</sup>
	58.000	144.99	08/04/20	148.57	3.58 W	0.00	5 of 9 - Sale <sup>25</sup>
	142.000	354.99	08/04/20	362.24	7.25 W	0.00	6 of 9 - Sale <sup>25</sup>
	12.000	30.00	08/04/20	30.62	0.62 W	0.00	7 of 9 - Sale <sup>25</sup>
	93.000	232.49	08/04/20	235.60	3.11 W	0.00	8 of 9 - Sale <sup>25</sup>
	3.000	7.50	08/04/20	7.65	0.15 W	0.00	9 of 9 - Sale <sup>25</sup>
08/04/20	1,000.000	2,499.85	Various	2,534.99	35.14 W	0.00	Total of 9 transactions
<i>4 transactions for 08/18/20. Total proceeds and cost reported to the IRS.</i>							
	7.000	21.43	08/18/20	20.75	...	0.68	1 of 4 - Sale <sup>25</sup>
	25.000	76.55	08/18/20	74.08	...	2.47	2 of 4 - Sale <sup>25</sup>
	68.000	208.21	08/18/20	202.42	...	5.79	3 of 4 - Sale <sup>25</sup>
	150.000	459.29	08/18/20	446.53	...	12.76	4 of 4 - Sale <sup>25</sup>
08/18/20	250.000	765.48	Various	743.78	...	21.70	Total of 4 transactions
<i>5 transactions for 08/19/20. Total proceeds and cost reported to the IRS.</i>							
	4,593.000	14,972.75	08/19/20	15,110.97	...	-138.22	1 of 5 - Sale <sup>25</sup>
	142.000	462.91	08/19/20	474.43	...	-11.52	2 of 5 - Sale <sup>25</sup>
	93.000	303.17	08/19/20	309.08	...	-5.91	3 of 5 - Sale <sup>25</sup>
	12.000	39.12	08/19/20	40.10	...	-0.98	4 of 5 - Sale <sup>25</sup>
	3.000	9.78	08/19/20	10.02	...	-0.24	5 of 5 - Sale <sup>25</sup>
08/19/20	4,843.000	15,787.73	Various	15,944.60	...	-156.87	Total of 5 transactions

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
3 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	58.000	189.07	08/19/20	194.40	0.09 W	-5.24	1 of 3 - Sale <sup>25</sup>
	35.000	114.10	08/19/20	117.31	3.21 W	0.00	2 of 3 - Sale <sup>25</sup>
	64.000	208.63	08/19/20	212.41	3.78 W	0.00	3 of 3 - Sale <sup>25</sup>
08/19/20	157.000	511.80	Various	524.12	7.08 W	-5.24	Total of 3 transactions
3 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	64.000	195.28	08/26/20	198.66	...	-3.38	1 of 3 - Sale <sup>25</sup>
	35.000	106.79	08/26/20	109.79	...	-3.00	2 of 3 - Sale <sup>25</sup>
	1.000	3.05	08/26/20	3.13	...	-0.08	3 of 3 - Sale <sup>25</sup>
08/26/20	100.000	305.12	Various	311.58	...	-6.46	Total of 3 transactions
11/27/20	25.000	242.38	11/24/20	175.50	...	66.88	Sale <sup>25</sup>
7 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	7.000	68.46	11/24/20	49.14	...	19.32	1 of 7 - Sale <sup>25</sup>
	25.000	244.51	11/24/20	178.75	...	65.76	2 of 7 - Sale <sup>25</sup>
	68.000	665.06	11/24/20	477.36	...	187.70	3 of 7 - Sale <sup>25</sup>
	100.000	978.04	11/24/20	715.00	...	263.04	4 of 7 - Sale <sup>25</sup>
	100.000	978.04	11/24/20	709.89	...	268.15	5 of 7 - Sale <sup>25</sup>
	100.000	978.04	11/24/20	702.00	...	276.04	6 of 7 - Sale <sup>25</sup>
	50.000	489.02	11/25/20	408.42	...	80.60	7 of 7 - Sale <sup>25</sup>
12/01/20	450.000	4,401.17	Various	3,240.56	...	1,160.61	Total of 7 transactions
12/02/20	150.000	1,104.12	12/02/20	1,103.25	...	0.87	Sale <sup>25</sup>
4 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	100.000	731.08	12/02/20	735.50	4.42 W	0.00	1 of 4 - Sale <sup>25</sup>
	50.000	365.54	12/02/20	367.50	1.96 W	0.00	2 of 4 - Sale <sup>25</sup>
	100.000	731.08	12/02/20	735.00	3.92 W	0.00	3 of 4 - Sale <sup>25</sup>
	100.000	731.08	12/02/20	735.00	3.92 W	0.00	4 of 4 - Sale <sup>25</sup>
12/02/20	350.000	2,558.78	Various	2,573.00	14.22 W	0.00	Total of 4 transactions
4 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	100.000	778.12	12/04/20	783.92	...	-5.80	1 of 4 - Sale <sup>25</sup>
	100.000	778.12	12/04/20	783.92	...	-5.80	2 of 4 - Sale <sup>25</sup>
	50.000	389.06	12/04/20	391.96	...	-2.90	3 of 4 - Sale <sup>25</sup>
	70.000	544.68	12/04/20	546.00	...	-1.32	4 of 4 - Sale <sup>25</sup>
12/04/20	320.000	2,489.98	Various	2,505.80	...	-15.82	Total of 4 transactions

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
12/04/20	100.000	778.12	12/04/20	784.42	3.02 W	-3.28	Sale <sup>25</sup>
	2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	34.000	271.34	12/04/20	271.42	...	-0.08	1 of 2 - Sale <sup>25</sup>
	14.000	111.73	12/04/20	111.76	...	-0.03	2 of 2 - Sale <sup>25</sup>
12/07/20	48.000	383.07	Various	383.18	...	-0.11	Total of 2 transactions
	<b>Security total:</b>	<b>35,307.37</b>		<b>34,187.62</b>	<b>71.29 W</b>	<b>1,191.04</b>	
FUBOTV INC. / CUSIP: 35953D104 / Symbol:							
	8 transactions for 10/15/20. Total proceeds and cost reported to the IRS.						
	22.000	235.62	10/15/20	240.24	3.99 W	-0.63	1 of 8 - Sale <sup>25</sup>
	3.000	32.13	10/15/20	32.76	0.63 W	0.00	2 of 8 - Sale <sup>25</sup>
	125.000	1,338.71	10/15/20	1,365.00	26.29 W	0.00	3 of 8 - Sale <sup>25</sup>
	3.000	32.13	10/15/20	33.60	1.47 W	0.00	4 of 8 - Sale <sup>25</sup>
	19.000	203.48	10/15/20	212.80	9.32 W	0.00	5 of 8 - Sale <sup>25</sup>
	26.000	278.45	10/15/20	285.74	7.29 W	0.00	6 of 8 - Sale <sup>25</sup>
	100.000	1,070.96	10/15/20	1,100.00	29.04 W	0.00	7 of 8 - Sale <sup>25</sup>
	5.000	53.55	10/15/20	54.95	1.40 W	0.00	8 of 8 - Sale <sup>25</sup>
10/15/20	303.000	3,245.03	Various	3,325.09	79.43 W	-0.63	Total of 8 transactions
	2 transactions for 10/16/20. Total proceeds and cost reported to the IRS.						
	11.000	131.99	10/15/20	123.21	...	8.78	1 of 2 - Sale <sup>25</sup>
	74.000	887.97	10/15/20	828.82	...	59.15	2 of 2 - Sale <sup>25</sup>
10/16/20	85.000	1,019.96	Various	952.03	...	67.93	Total of 2 transactions
	15 transactions for 10/19/20. Total proceeds and cost reported to the IRS.						
	3.000	38.25	10/15/20	34.32	...	3.93	1 of 15 - Sale <sup>25</sup>
	5.000	63.87	10/15/20	55.90	...	7.97	2 of 15 - Sale <sup>25</sup>
	7.000	89.24	10/15/20	80.08	...	9.16	3 of 15 - Sale <sup>25</sup>
	10.000	127.50	10/15/20	112.00	...	15.50	4 of 15 - Sale <sup>25</sup>
	12.000	153.30	10/15/20	137.29	...	16.01	5 of 15 - Sale <sup>25</sup>
	15.000	191.62	10/15/20	165.00	...	26.62	6 of 15 - Sale <sup>25</sup>
	22.000	281.04	10/15/20	247.29	...	33.75	7 of 15 - Sale <sup>25</sup>
	26.000	332.14	10/15/20	291.99	...	40.15	8 of 15 - Sale <sup>25</sup>
	22.000	281.04	10/15/20	239.80	...	41.24	9 of 15 - Sale <sup>25</sup>
	24.000	306.59	10/15/20	264.00	...	42.59	10 of 15 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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FUBOTV INC. / CUSIP: 35953D104 / Symbol: (cont'd)							
	30.000	382.49	10/15/20	334.81	...	47.68	11 of 15 - Sale <sup>25</sup>
	78.000	996.42	10/15/20	872.85	...	123.57	12 of 15 - Sale <sup>25</sup>
	100.000	1,277.46	10/15/20	1,100.00	...	177.46	13 of 15 - Sale <sup>25</sup>
	65.000	830.35	10/16/20	786.50	...	43.85	14 of 15 - Sale <sup>25</sup>
	81.000	1,034.74	10/16/20	980.10	...	54.64	15 of 15 - Sale <sup>25</sup>
10/19/20	500.000	6,386.05	Various	5,701.93	...	684.12	Total of 15 transactions
	4 transactions for 11/19/20. Total proceeds and cost reported to the IRS.						
	1.000	20.74	11/16/20	17.12	...	3.62	1 of 4 - Sale <sup>25</sup>
	9.000	186.65	11/16/20	162.45	...	24.20	2 of 4 - Sale <sup>25</sup>
	29.000	601.44	11/16/20	524.90	...	76.54	3 of 4 - Sale <sup>25</sup>
	11.000	228.13	11/17/20	224.95	...	3.18	4 of 4 - Sale <sup>25</sup>
11/19/20	50.000	1,036.96	Various	929.42	...	107.54	Total of 4 transactions
11/19/20	48.000	995.50	11/18/20	1,094.40	98.90 W	0.00	Sale <sup>25</sup>
11/23/20	2.000	52.10	11/19/20	46.12	...	5.98	Sale <sup>25</sup>
11/24/20	45.000	1,110.57	11/19/20	1,037.72	...	72.85	Sale <sup>25</sup>
	2 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	1.000	24.09	11/19/20	22.96	...	1.13	1 of 2 - Sale <sup>25</sup>
	49.000	1,180.17	11/19/20	1,024.10	...	156.07	2 of 2 - Sale <sup>25</sup>
11/25/20	50.000	1,204.26	Various	1,047.06	...	157.20	Total of 2 transactions
	3 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	4.000	96.05	11/24/20	101.60	2.38 W	-3.17	1 of 3 - Sale <sup>25</sup>
	1.000	24.09	11/24/20	24.84	0.75 W	0.00	2 of 3 - Sale <sup>25</sup>
	9.000	216.77	11/24/20	228.60	11.83 W	0.00	3 of 3 - Sale <sup>25</sup>
11/25/20	14.000	336.91	Various	355.04	14.96 W	-3.17	Total of 3 transactions
11/30/20	1.000	27.80	11/24/20	25.40	...	2.40	Sale <sup>25</sup>
12/22/20	0.002	0.13	12/22/20	0.13	...	0.00	Sale <sup>25</sup>
	4 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	1.000	57.71	12/22/20	57.94	0.23 W	0.00	1 of 4 - Sale <sup>25</sup>
	9.000	519.37	12/22/20	526.54	7.17 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.017	1.00	12/22/20	1.02	0.02 W	0.00	3 of 4 - Sale <sup>25</sup>
	0.699	40.02	12/22/20	40.84	0.82 W	0.00	4 of 4 - Sale <sup>25</sup>
12/22/20	10.717	618.10	Various	626.34	8.24 W	0.00	Total of 4 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FUBOTV INC. / CUSIP: 35953D104 / Symbol: (cont'd)							
2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	1.000	38.73	12/29/20	37.22	...	1.51	1 of 2 - Sale <sup>25</sup>
	2.000	77.46	12/29/20	75.57	...	1.89	2 of 2 - Sale <sup>25</sup>
12/29/20	3.000	116.19	Various	112.79	...	3.40	Total of 2 transactions
12/29/20	1.000	38.73	12/23/20	57.67	18.94 W	0.00	Sale <sup>25</sup>
2 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	0.283	10.94	12/29/20	10.88	...	0.06	1 of 2 - Sale <sup>25</sup>
	6.000	231.90	12/29/20	226.72	...	5.18	2 of 2 - Sale <sup>25</sup>
12/30/20	6.283	242.84	Various	237.60	...	5.24	Total of 2 transactions
5 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	1.000	38.65	12/29/20	57.38	18.73 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.017	0.67	12/29/20	0.69	0.02 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.699	27.03	12/29/20	27.71	0.68 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	38.65	12/29/20	39.24	0.59 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.000	38.66	12/29/20	39.14	0.48 W	0.00	5 of 5 - Sale <sup>25</sup>
12/30/20	3.717	143.66	Various	164.16	20.50 W	0.00	Total of 5 transactions
6 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	1.000	29.76	12/30/20	51.63	21.87 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.017	0.52	12/30/20	0.59	0.07 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.699	20.81	12/30/20	23.69	2.88 W	0.00	3 of 6 - Sale <sup>25</sup>
	1.000	29.76	12/30/20	33.49	3.73 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.000	29.76	12/30/20	33.38	3.62 W	0.00	5 of 6 - Sale <sup>25</sup>
	96.283	2,865.31	12/30/20	3,167.72	302.41 W	0.00	6 of 6 - Sale <sup>25</sup>
12/31/20	100.000	2,975.92	Various	3,310.50	334.58 W	0.00	Total of 6 transactions
	<b>Security total:</b>	<b>19,550.71</b>		<b>19,023.40</b>	<b>575.55 W</b>	<b>1,102.86</b>	
FULCRUM THERAPEUTICS, INC. COM MON STOCK / CUSIP: 359616109 / Symbol:							
6 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	60.000	701.67	12/31/20	711.00	...	-9.33	1 of 6 - Sale <sup>25</sup>
	60.000	701.68	12/31/20	711.00	...	-9.32	2 of 6 - Sale <sup>25</sup>
	60.000	701.68	12/31/20	710.40	...	-8.72	3 of 6 - Sale <sup>25</sup>
	40.000	467.78	12/31/20	473.60	...	-5.82	4 of 6 - Sale <sup>25</sup>
	4.000	46.78	12/31/20	47.40	...	-0.62	5 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FULCRUM THERAPEUTICS, INC. COM MON STOCK / CUSIP: 359616109 / Symbol: (cont'd)							
	2.000	23.39	12/31/20	23.71	...	-0.32	6 of 6 - Sale <sup>25</sup>
12/31/20	226.000	2,642.98	Various	2,677.11	...	-34.13	Total of 6 transactions
FUTURE FINTECH GROUP INC. COMM ON STOCK / CUSIP: 36117V105 / Symbol:							
4 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	325.000	783.38	07/13/20	781.95	...	1.43	1 of 4 - Sale <sup>25</sup>
	54.000	170.13	07/14/20	157.15	...	12.98	2 of 4 - Sale <sup>25</sup>
	96.000	302.46	07/14/20	279.37	...	23.09	3 of 4 - Sale <sup>25</sup>
	100.000	315.06	07/14/20	291.01	...	24.05	4 of 4 - Sale <sup>25</sup>
07/14/20	575.000	1,571.03	Various	1,509.48	...	61.55	Total of 4 transactions
3 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	96.000	275.03	07/14/20	276.96	1.93 W	0.00	1 of 3 - Sale <sup>25</sup>
	100.000	286.49	07/14/20	288.50	2.01 W	0.00	2 of 3 - Sale <sup>25</sup>
	54.000	154.70	07/14/20	155.79	1.09 W	0.00	3 of 3 - Sale <sup>25</sup>
07/14/20	250.000	716.22	Various	721.25	5.03 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>2,287.25</b>		<b>2,230.73</b>	<b>5.03 W</b>	<b>61.55</b>	
THE GEO GROUP, INC. / CUSIP: 36162J106 / Symbol:							
8 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	175.000	1,923.20	08/03/20	1,979.17	...	-55.97	1 of 8 - Sale <sup>25</sup>
	30.000	329.69	08/03/20	338.69	...	-9.00	2 of 8 - Sale <sup>25</sup>
	5.000	54.95	08/03/20	56.45	...	-1.50	3 of 8 - Sale <sup>25</sup>
	99.000	1,085.50	08/04/20	1,113.64	...	-28.14	4 of 8 - Sale <sup>25</sup>
	88.000	964.89	08/04/20	985.44	...	-20.55	5 of 8 - Sale <sup>25</sup>
	11.000	120.61	08/04/20	126.04	...	-5.43	6 of 8 - Sale <sup>25</sup>
	1.000	10.96	08/04/20	11.34	...	-0.38	7 of 8 - Sale <sup>25</sup>
	1.000	10.96	08/04/20	11.20	...	-0.24	8 of 8 - Sale <sup>25</sup>
08/04/20	410.000	4,500.76	Various	4,621.97	...	-121.21	Total of 8 transactions
5 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	100.000	1,085.96	08/03/20	1,130.96	4.95 W	-40.05	1 of 5 - Sale <sup>25</sup>
	1.000	10.91	08/03/20	11.00	0.09 W	0.00	2 of 5 - Sale <sup>25</sup>
	399.000	4,353.26	08/03/20	4,428.90	75.64 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	10.86	08/03/20	11.19	0.33 W	0.00	4 of 5 - Sale <sup>25</sup>

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(continued)

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THE GEO GROUP, INC. / CUSIP: 36162J106 / Symbol: (cont'd)							
	99.000	1,075.11	08/03/20	1,098.90	23.79 W	0.00	5 of 5 - Sale <sup>25</sup>
08/04/20	600.000	6,536.10	Various	6,680.95	104.80 W	-40.05	Total of 5 transactions
	<b>Security total:</b>	<b>11,036.86</b>		<b>11,302.92</b>	<b>104.80 W</b>	<b>-161.26</b>	
GNC HLDGS INC COMMON STOCK / CUSIP: 36191G107 / Symbol:							
<i>2 transactions for 03/18/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	11.00	03/17/20	12.23	1.23 W	0.00	1 of 2 - Sale <sup>25</sup>
	75.000	32.99	03/17/20	36.67	3.68 W	0.00	2 of 2 - Sale <sup>25</sup>
03/18/20	100.000	43.99	Various	48.90	4.91 W	0.00	Total of 2 transactions
<i>3 transactions for 03/31/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	11.50	03/30/20	14.15	2.65 W	0.00	1 of 3 - Sale <sup>25</sup>
	75.000	34.51	03/30/20	42.45	7.94 W	0.00	2 of 3 - Sale <sup>25</sup>
	400.000	184.03	03/30/20	206.76	22.73 W	0.00	3 of 3 - Sale <sup>25</sup>
03/31/20	500.000	230.04	Various	263.36	33.32 W	0.00	Total of 3 transactions
<i>3 transactions for 04/24/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	11.59	04/23/20	14.35	2.76 W	0.00	1 of 3 - Sale <sup>25</sup>
	75.000	34.78	04/23/20	43.02	8.24 W	0.00	2 of 3 - Sale <sup>25</sup>
	400.000	185.52	04/23/20	209.85	24.33 W	0.00	3 of 3 - Sale <sup>25</sup>
04/24/20	500.000	231.89	Various	267.22	35.33 W	0.00	Total of 3 transactions
<i>4 transactions for 05/01/20. Total proceeds and cost reported to the IRS.</i>							
	400.000	219.99	04/28/20	267.29	35.48 W	-11.82	1 of 4 - Sale <sup>25</sup>
	20.000	11.00	04/28/20	14.36	3.36 W	0.00	2 of 4 - Sale <sup>25</sup>
	5.000	2.75	04/28/20	3.59	0.84 W	0.00	3 of 4 - Sale <sup>25</sup>
	75.000	41.25	04/28/20	53.79	12.54 W	0.00	4 of 4 - Sale <sup>25</sup>
05/01/20	500.000	274.99	Various	339.03	52.22 W	-11.82	Total of 4 transactions
<i>4 transactions for 05/27/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	4.05	05/26/20	3.93	...	0.12	1 of 4 - Sale <sup>25</sup>
	20.000	16.20	05/26/20	15.71	...	0.49	2 of 4 - Sale <sup>25</sup>
	75.000	60.74	05/26/20	58.84	...	1.90	3 of 4 - Sale <sup>25</sup>
	300.000	242.96	05/26/20	220.66	...	22.30	4 of 4 - Sale <sup>25</sup>
05/27/20	400.000	323.95	Various	299.14	...	24.81	Total of 4 transactions
	<b>Security total:</b>	<b>1,104.86</b>		<b>1,217.65</b>	<b>125.78 W</b>	<b>12.99</b>	

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(continued)

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GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
04/15/20	50.000	272.50	04/14/20	310.00	...	-37.50	Sale <sup>25</sup>
	6 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	15.000	212.99	10/08/20	215.70	2.71 W	0.00	1 of 6 - Sale <sup>25</sup>
	300.000	4,259.87	10/08/20	4,314.00	54.13 W	0.00	2 of 6 - Sale <sup>25</sup>
	185.000	2,626.92	10/08/20	2,660.30	33.38 W	0.00	3 of 6 - Sale <sup>25</sup>
	399.000	5,665.63	10/08/20	5,713.68	48.05 W	0.00	4 of 6 - Sale <sup>25</sup>
	201.000	2,854.11	10/08/20	2,878.32	24.21 W	0.00	5 of 6 - Sale <sup>25</sup>
	230.000	3,265.90	10/08/20	3,270.60	4.70 W	0.00	6 of 6 - Sale <sup>25</sup>
10/08/20	1,330.000	18,885.42	Various	19,052.60	167.18 W	0.00	Total of 6 transactions
	24 transactions for 10/09/20. Total proceeds and cost reported to the IRS.						
	15.000	195.81	10/08/20	214.96	19.15 W	0.00	1 of 24 - Sale <sup>25</sup>
	30.000	391.62	10/08/20	429.91	38.29 W	0.00	2 of 24 - Sale <sup>25</sup>
	300.000	3,916.23	10/08/20	4,299.13	382.90 W	0.00	3 of 24 - Sale <sup>25</sup>
	1.000	13.06	10/08/20	14.28	1.22 W	0.00	4 of 24 - Sale <sup>25</sup>
	25.000	317.99	10/08/20	357.01	39.02 W	0.00	5 of 24 - Sale <sup>25</sup>
	25.000	317.99	10/08/20	357.01	39.02 W	0.00	6 of 24 - Sale <sup>25</sup>
	2.000	25.44	10/08/20	28.56	3.12 W	0.00	7 of 24 - Sale <sup>25</sup>
	85.000	1,081.17	10/08/20	1,213.84	132.67 W	0.00	8 of 24 - Sale <sup>25</sup>
	345.000	4,388.30	10/08/20	4,906.05	517.75 W	0.00	9 of 24 - Sale <sup>25</sup>
	54.000	686.86	10/08/20	765.20	78.34 W	0.00	10 of 24 - Sale <sup>25</sup>
	172.000	2,187.79	10/08/20	2,437.32	249.53 W	0.00	11 of 24 - Sale <sup>25</sup>
	15.000	190.80	10/08/20	229.90	39.10 W	0.00	12 of 24 - Sale <sup>25</sup>
	29.000	368.87	10/08/20	410.94	42.07 W	0.00	13 of 24 - Sale <sup>25</sup>
	230.000	2,925.53	10/08/20	3,236.20	310.67 W	0.00	14 of 24 - Sale <sup>25</sup>
	15.000	190.80	10/08/20	214.21	23.41 W	0.00	15 of 24 - Sale <sup>25</sup>
	2.000	25.44	10/08/20	28.56	3.12 W	0.00	16 of 24 - Sale <sup>25</sup>
	1.000	12.72	10/08/20	15.94	3.22 W	0.00	17 of 24 - Sale <sup>25</sup>
	50.000	635.98	10/09/20	737.32	101.34 W	0.00	18 of 24 - Sale <sup>25</sup>
	100.000	1,271.97	10/09/20	1,475.63	203.66 W	0.00	19 of 24 - Sale <sup>25</sup>
	1.000	12.72	10/09/20	14.70	1.98 W	0.00	20 of 24 - Sale <sup>25</sup>
	30.000	381.59	10/09/20	442.69	61.10 W	0.00	21 of 24 - Sale <sup>25</sup>
	149.000	1,895.23	10/09/20	2,198.69	303.46 W	0.00	22 of 24 - Sale <sup>25</sup>
	10.000	127.20	10/09/20	134.80	7.60 W	0.00	23 of 24 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol: (cont'd)							
10/09/20	15.000	190.80	10/09/20	197.55	6.75 W	0.00	24 of 24 - Sale <sup>25</sup>
	1,701.000	21,751.91	Various	24,360.40	2,608.49 W	0.00	Total of 24 transactions
	21 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	2.000	24.48	10/09/20	31.62	7.14 W	0.00	1 of 21 - Sale <sup>25</sup>
	8.000	97.93	10/09/20	126.49	28.56 W	0.00	2 of 21 - Sale <sup>25</sup>
	15.000	183.61	10/09/20	237.16	53.55 W	0.00	3 of 21 - Sale <sup>25</sup>
	25.000	306.02	10/09/20	395.27	89.25 W	0.00	4 of 21 - Sale <sup>25</sup>
	2.000	24.48	10/09/20	31.62	7.14 W	0.00	5 of 21 - Sale <sup>25</sup>
	17.000	208.09	10/09/20	268.78	60.69 W	0.00	6 of 21 - Sale <sup>25</sup>
	81.000	991.51	10/09/20	1,280.68	289.17 W	0.00	7 of 21 - Sale <sup>25</sup>
	4.000	48.96	10/09/20	63.03	14.07 W	0.00	8 of 21 - Sale <sup>25</sup>
	296.000	3,623.28	10/09/20	4,646.94	1,023.66 W	0.00	9 of 21 - Sale <sup>25</sup>
	9.000	110.17	10/09/20	141.31	31.14 W	0.00	10 of 21 - Sale <sup>25</sup>
	6.000	71.53	10/12/20	82.20	10.67 W	0.00	11 of 21 - Sale <sup>25</sup>
	40.000	476.84	10/12/20	550.03	73.19 W	0.00	12 of 21 - Sale <sup>25</sup>
	54.000	643.73	10/12/20	739.84	96.11 W	0.00	13 of 21 - Sale <sup>25</sup>
	7.000	83.45	10/12/20	95.91	12.46 W	0.00	14 of 21 - Sale <sup>25</sup>
	100.000	1,192.10	10/12/20	1,370.08	177.98 W	0.00	15 of 21 - Sale <sup>25</sup>
	15.000	178.81	10/12/20	222.85	44.04 W	0.00	16 of 21 - Sale <sup>25</sup>
	26.000	309.95	10/12/20	356.22	46.27 W	0.00	17 of 21 - Sale <sup>25</sup>
	59.000	703.34	10/12/20	808.34	105.00 W	0.00	18 of 21 - Sale <sup>25</sup>
	3.000	35.76	10/12/20	41.10	5.34 W	0.00	19 of 21 - Sale <sup>25</sup>
	97.000	1,156.34	10/12/20	1,319.27	162.93 W	0.00	20 of 21 - Sale <sup>25</sup>
	93.000	1,108.65	10/12/20	1,264.87	156.22 W	0.00	21 of 21 - Sale <sup>25</sup>
10/12/20	959.000	11,579.03	Various	14,073.61	2,494.58 W	0.00	Total of 21 transactions
	20 transactions for 10/13/20. Total proceeds and cost reported to the IRS.						
	205.000	2,449.67	10/13/20	3,221.88	...	-772.21	1 of 20 - Sale <sup>25</sup>
	91.000	1,080.59	10/13/20	1,382.18	...	-301.59	2 of 20 - Sale <sup>25</sup>
	81.000	967.92	10/13/20	1,138.03	...	-170.11	3 of 20 - Sale <sup>25</sup>
	50.000	593.73	10/13/20	688.35	...	-94.62	4 of 20 - Sale <sup>25</sup>
	50.000	593.73	10/13/20	687.86	...	-94.13	5 of 20 - Sale <sup>25</sup>
	40.000	474.99	10/13/20	523.25	...	-48.26	6 of 20 - Sale <sup>25</sup>
	85.000	1,015.71	10/13/20	1,048.71	...	-33.00	7 of 20 - Sale <sup>25</sup>
	9.000	106.87	10/13/20	136.71	...	-29.84	8 of 20 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol: (cont'd)							
	60.000	716.98	10/13/20	742.20	...	-25.22	9 of 20 - Sale <sup>25</sup>
	9.000	106.87	10/13/20	123.90	...	-17.03	10 of 20 - Sale <sup>25</sup>
	4.000	47.80	10/13/20	63.10	...	-15.30	11 of 20 - Sale <sup>25</sup>
	200.000	2,389.91	10/13/20	2,400.00	...	-10.09	12 of 20 - Sale <sup>25</sup>
	200.000	2,389.92	10/13/20	2,400.00	...	-10.08	13 of 20 - Sale <sup>25</sup>
	100.000	1,194.96	10/13/20	1,200.00	...	-5.04	14 of 20 - Sale <sup>25</sup>
	100.000	1,194.96	10/13/20	1,200.00	...	-5.04	15 of 20 - Sale <sup>25</sup>
	100.000	1,194.96	10/13/20	1,200.00	...	-5.04	16 of 20 - Sale <sup>25</sup>
	100.000	1,194.96	10/13/20	1,200.00	...	-5.04	17 of 20 - Sale <sup>25</sup>
	100.000	1,194.96	10/13/20	1,200.00	...	-5.04	18 of 20 - Sale <sup>25</sup>
	100.000	1,194.96	10/13/20	1,200.00	...	-5.04	19 of 20 - Sale <sup>25</sup>
	1.000	11.87	10/13/20	14.95	...	-3.08	20 of 20 - Sale <sup>25</sup>
10/13/20	1,685.000	20,116.32	Various	21,771.12	...	-1,654.80	Total of 20 transactions
	44 transactions for 10/13/20. Total proceeds and cost reported to the IRS.						
	2.000	23.82	10/12/20	30.74	6.92 W	0.00	1 of 44 - Sale <sup>25</sup>
	2.000	23.82	10/12/20	30.74	6.92 W	0.00	2 of 44 - Sale <sup>25</sup>
	4.000	47.65	10/12/20	61.27	13.62 W	0.00	3 of 44 - Sale <sup>25</sup>
	8.000	95.29	10/12/20	122.96	27.67 W	0.00	4 of 44 - Sale <sup>25</sup>
	15.000	178.68	10/12/20	230.55	51.87 W	0.00	5 of 44 - Sale <sup>25</sup>
	17.000	202.50	10/12/20	261.29	58.79 W	0.00	6 of 44 - Sale <sup>25</sup>
	25.000	297.80	10/12/20	384.25	86.45 W	0.00	7 of 44 - Sale <sup>25</sup>
	81.000	964.86	10/12/20	1,244.97	280.11 W	0.00	8 of 44 - Sale <sup>25</sup>
	205.000	2,441.92	10/12/20	3,127.95	686.03 W	0.00	9 of 44 - Sale <sup>25</sup>
	9.000	107.55	10/12/20	137.34	29.79 W	0.00	10 of 44 - Sale <sup>25</sup>
	91.000	1,087.41	10/12/20	1,388.51	301.10 W	0.00	11 of 44 - Sale <sup>25</sup>
	1.000	11.95	10/12/20	15.02	3.07 W	0.00	12 of 44 - Sale <sup>25</sup>
	1.000	11.95	10/12/20	13.78	1.83 W	0.00	13 of 44 - Sale <sup>25</sup>
	6.000	71.70	10/12/20	81.47	9.77 W	0.00	14 of 44 - Sale <sup>25</sup>
	7.000	83.65	10/12/20	95.06	11.41 W	0.00	15 of 44 - Sale <sup>25</sup>
	30.000	358.49	10/12/20	415.10	56.61 W	0.00	16 of 44 - Sale <sup>25</sup>
	33.000	394.34	10/12/20	448.13	53.79 W	0.00	17 of 44 - Sale <sup>25</sup>
	40.000	477.98	10/12/20	526.03	48.05 W	0.00	18 of 44 - Sale <sup>25</sup>
	40.000	477.98	10/12/20	545.19	67.21 W	0.00	19 of 44 - Sale <sup>25</sup>
	50.000	597.48	10/12/20	691.34	93.86 W	0.00	20 of 44 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol: (cont'd)							
	54.000	645.28	10/12/20	733.31	88.03 W	0.00	21 of 44 - Sale <sup>25</sup>
	100.000	1,194.96	10/12/20	1,383.66	188.70 W	0.00	22 of 44 - Sale <sup>25</sup>
	149.000	1,780.49	10/12/20	2,061.66	281.17 W	0.00	23 of 44 - Sale <sup>25</sup>
	10.000	119.50	10/12/20	125.60	6.10 W	0.00	24 of 44 - Sale <sup>25</sup>
	15.000	179.24	10/12/20	183.75	4.51 W	0.00	25 of 44 - Sale <sup>25</sup>
	5.000	59.75	10/12/20	67.90	8.15 W	0.00	26 of 44 - Sale <sup>25</sup>
	1.000	11.95	10/12/20	13.62	1.67 W	0.00	27 of 44 - Sale <sup>25</sup>
	3.000	35.85	10/12/20	40.86	5.01 W	0.00	28 of 44 - Sale <sup>25</sup>
	81.000	967.92	10/13/20	1,282.08	62.06 W	-252.10	29 of 44 - Sale <sup>25</sup>
	12.000	143.40	10/13/20	182.23	38.83 W	0.00	30 of 44 - Sale <sup>25</sup>
	58.000	693.08	10/13/20	813.73	120.65 W	0.00	31 of 44 - Sale <sup>25</sup>
	3.000	35.85	10/13/20	45.86	10.01 W	0.00	32 of 44 - Sale <sup>25</sup>
	3.000	35.85	10/13/20	42.39	6.54 W	0.00	33 of 44 - Sale <sup>25</sup>
	9.000	107.55	10/13/20	126.27	18.72 W	0.00	34 of 44 - Sale <sup>25</sup>
	26.000	310.69	10/13/20	367.37	56.68 W	0.00	35 of 44 - Sale <sup>25</sup>
	59.000	705.03	10/13/20	833.65	128.62 W	0.00	36 of 44 - Sale <sup>25</sup>
	12.000	143.40	10/13/20	168.36	24.96 W	0.00	37 of 44 - Sale <sup>25</sup>
	88.000	1,051.57	10/13/20	1,234.61	183.04 W	0.00	38 of 44 - Sale <sup>25</sup>
	2.000	23.90	10/13/20	31.66	7.76 W	0.00	39 of 44 - Sale <sup>25</sup>
	2.000	23.90	10/13/20	31.66	7.76 W	0.00	40 of 44 - Sale <sup>25</sup>
	8.000	95.60	10/13/20	126.63	31.03 W	0.00	41 of 44 - Sale <sup>25</sup>
	15.000	179.24	10/13/20	237.42	58.18 W	0.00	42 of 44 - Sale <sup>25</sup>
	17.000	203.14	10/13/20	269.08	65.94 W	0.00	43 of 44 - Sale <sup>25</sup>
	25.000	298.74	10/13/20	395.70	96.96 W	0.00	44 of 44 - Sale <sup>25</sup>
10/13/20	1,424.000	17,002.70	Various	20,650.75	3,395.95 W	-252.10	Total of 44 transactions
	34 transactions for 10/14/20. Total proceeds and cost reported to the IRS.						
	109.000	1,336.32	10/13/20	1,500.61	...	-164.29	1 of 34 - Sale <sup>25</sup>
	88.000	1,070.92	10/13/20	1,228.48	...	-157.56	2 of 34 - Sale <sup>25</sup>
	59.000	718.01	10/13/20	829.54	...	-111.53	3 of 34 - Sale <sup>25</sup>
	58.000	705.84	10/13/20	809.69	...	-103.85	4 of 34 - Sale <sup>25</sup>
	25.000	304.24	10/13/20	393.96	...	-89.72	5 of 34 - Sale <sup>25</sup>
	54.000	657.16	10/13/20	729.55	...	-72.39	6 of 34 - Sale <sup>25</sup>
	41.000	502.65	10/13/20	564.45	...	-61.80	7 of 34 - Sale <sup>25</sup>
	17.000	206.88	10/13/20	267.90	...	-61.02	8 of 34 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol: (cont'd)							
	40.000	490.39	10/13/20	550.68	...	-60.29	9 of 34 - Sale <sup>25</sup>
	16.000	194.72	10/13/20	252.14	...	-57.42	10 of 34 - Sale <sup>25</sup>
	15.000	182.55	10/13/20	236.38	...	-53.83	11 of 34 - Sale <sup>25</sup>
	30.000	367.79	10/13/20	413.01	...	-45.22	12 of 34 - Sale <sup>25</sup>
	23.000	279.90	10/13/20	323.38	...	-43.48	13 of 34 - Sale <sup>25</sup>
	29.000	355.54	10/13/20	393.25	...	-37.71	14 of 34 - Sale <sup>25</sup>
	12.000	146.04	10/13/20	181.39	...	-35.35	15 of 34 - Sale <sup>25</sup>
	22.000	267.73	10/13/20	297.22	...	-29.49	16 of 34 - Sale <sup>25</sup>
	8.000	97.36	10/13/20	126.07	...	-28.71	17 of 34 - Sale <sup>25</sup>
	12.000	146.04	10/13/20	167.52	...	-21.48	18 of 34 - Sale <sup>25</sup>
	9.000	109.53	10/13/20	125.64	...	-16.11	19 of 34 - Sale <sup>25</sup>
	11.000	133.87	10/13/20	149.16	...	-15.29	20 of 34 - Sale <sup>25</sup>
	11.000	133.87	10/13/20	148.61	...	-14.74	21 of 34 - Sale <sup>25</sup>
	7.000	85.19	10/13/20	94.57	...	-9.38	22 of 34 - Sale <sup>25</sup>
	3.000	36.51	10/13/20	45.65	...	-9.14	23 of 34 - Sale <sup>25</sup>
	6.000	73.02	10/13/20	81.05	...	-8.03	24 of 34 - Sale <sup>25</sup>
	2.000	24.34	10/13/20	31.52	...	-7.18	25 of 34 - Sale <sup>25</sup>
	2.000	24.34	10/13/20	31.52	...	-7.18	26 of 34 - Sale <sup>25</sup>
	5.000	60.85	10/13/20	67.55	...	-6.70	27 of 34 - Sale <sup>25</sup>
	3.000	36.51	10/13/20	42.18	...	-5.67	28 of 34 - Sale <sup>25</sup>
	3.000	36.51	10/13/20	42.18	...	-5.67	29 of 34 - Sale <sup>25</sup>
	3.000	36.51	10/13/20	40.65	...	-4.14	30 of 34 - Sale <sup>25</sup>
	10.000	121.70	10/13/20	124.90	...	-3.20	31 of 34 - Sale <sup>25</sup>
	1.000	12.26	10/13/20	13.71	...	-1.45	32 of 34 - Sale <sup>25</sup>
	1.000	12.17	10/13/20	13.55	...	-1.38	33 of 34 - Sale <sup>25</sup>
	15.000	182.54	10/13/20	182.71	...	-0.17	34 of 34 - Sale <sup>25</sup>
10/14/20	750.000	9,149.80	Various	10,500.37	...	-1,350.57	Total of 34 transactions
	<b>Security total:</b>	<b>98,757.68</b>		<b>110,718.85</b>	<b>8,666.20 W</b>	<b>-3,294.97</b>	
THE GAP, INC. / CUSIP: 364760108 / Symbol:							
06/26/20	100.000	1,368.15	06/26/20	1,374.00	5.85 W	0.00	Sale <sup>25</sup>
	3 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	100.000	1,234.19	07/08/20	1,232.84	...	1.35	1 of 3 - Sale <sup>25</sup>
	150.000	1,851.29	07/08/20	1,844.99	...	6.30	2 of 3 - Sale <sup>25</sup>

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(continued)

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THE GAP, INC. / CUSIP: 364760108 / Symbol: (cont'd)							
	125.000	1,542.73	07/08/20	1,536.01	...	6.72	3 of 3 - Sale <sup>25</sup>
07/08/20	375.000	4,628.21	Various	4,613.84	...	14.37	Total of 3 transactions
10/06/20	0.313	5.82	10/06/20	6.00	...	-0.18	Sale <sup>25</sup>
	<b>Security total:</b>	<b>6,002.18</b>		<b>5,993.84</b>	<b>5.85 W</b>	<b>14.19</b>	
GENESIS ENERGY, L.P. / CUSIP: 371927104 / Symbol:							
04/01/20	100.000	338.00	03/31/20	383.00	...	-45.00	Sale <sup>25</sup>
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
05/15/20	250.000	399.97	05/14/20	395.00	...	4.97	Sale <sup>25</sup>
05/29/20	100.000	200.38	05/28/20	228.99	28.61 W	0.00	Sale <sup>25</sup>
	4 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	5.000	37.25	06/02/20	18.33	...	18.92	1 of 4 - Sale <sup>25</sup>
	50.000	372.98	06/02/20	180.50	...	192.48	2 of 4 - Sale <sup>25</sup>
	50.000	372.99	06/02/20	179.31	...	193.68	3 of 4 - Sale <sup>25</sup>
	95.000	707.72	06/02/20	348.26	...	359.46	4 of 4 - Sale <sup>25</sup>
06/04/20	200.000	1,490.94	Various	726.40	...	764.54	Total of 4 transactions
	2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	10.000	74.60	06/03/20	74.70	0.10 W	0.00	1 of 2 - Sale <sup>25</sup>
	90.000	671.37	06/03/20	699.30	27.93 W	0.00	2 of 2 - Sale <sup>25</sup>
06/04/20	100.000	745.97	Various	774.00	28.03 W	0.00	Total of 2 transactions
	3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	58.000	164.71	06/10/20	343.90	179.19 W	0.00	1 of 3 - Sale <sup>25</sup>
	10.000	28.40	06/10/20	56.29	27.89 W	0.00	2 of 3 - Sale <sup>25</sup>
	32.000	90.88	06/10/20	189.74	98.86 W	0.00	3 of 3 - Sale <sup>25</sup>
06/11/20	100.000	283.99	Various	589.93	305.94 W	0.00	Total of 3 transactions
06/23/20	20.000	60.00	06/23/20	57.60	...	2.40	Sale <sup>25</sup>
	3 transactions for 06/23/20. Total proceeds and cost reported to the IRS.						
	10.000	30.00	06/23/20	56.69	26.69 W	0.00	1 of 3 - Sale <sup>25</sup>
	32.000	96.00	06/23/20	191.02	95.02 W	0.00	2 of 3 - Sale <sup>25</sup>
	58.000	173.99	06/23/20	346.23	172.24 W	0.00	3 of 3 - Sale <sup>25</sup>
06/23/20	100.000	299.99	Various	593.94	293.95 W	0.00	Total of 3 transactions
06/24/20	130.000	375.69	06/23/20	374.40	...	1.29	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
7 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	10.000	28.90	06/23/20	57.14	28.24 W	0.00	1 of 7 - Sale <sup>25</sup>
	32.000	92.48	06/23/20	192.46	99.98 W	0.00	2 of 7 - Sale <sup>25</sup>
	58.000	167.61	06/23/20	348.85	181.24 W	0.00	3 of 7 - Sale <sup>25</sup>
	25.000	72.25	06/23/20	76.50	4.25 W	0.00	4 of 7 - Sale <sup>25</sup>
	100.000	288.99	06/23/20	316.50	27.51 W	0.00	5 of 7 - Sale <sup>25</sup>
	40.000	115.59	06/23/20	126.20	10.61 W	0.00	6 of 7 - Sale <sup>25</sup>
	5.000	14.45	06/23/20	15.85	1.40 W	0.00	7 of 7 - Sale <sup>25</sup>
06/24/20	270.000	780.27	Various	1,133.50	353.23 W	0.00	Total of 7 transactions
6 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	10.000	19.55	07/21/20	47.69	28.14 W	0.00	1 of 6 - Sale <sup>25</sup>
	32.000	62.56	07/21/20	162.22	99.66 W	0.00	2 of 6 - Sale <sup>25</sup>
	58.000	113.38	07/21/20	294.05	180.67 W	0.00	3 of 6 - Sale <sup>25</sup>
	25.000	48.87	07/21/20	52.88	4.01 W	0.00	4 of 6 - Sale <sup>25</sup>
	25.000	48.87	07/21/20	55.25	6.38 W	0.00	5 of 6 - Sale <sup>25</sup>
	100.000	195.49	07/21/20	222.01	26.52 W	0.00	6 of 6 - Sale <sup>25</sup>
07/21/20	250.000	488.72	Various	834.10	345.38 W	0.00	Total of 6 transactions
10 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	10.000	20.54	07/22/20	49.54	29.00 W	0.00	1 of 10 - Sale <sup>25</sup>
	32.000	65.73	07/22/20	168.14	102.41 W	0.00	2 of 10 - Sale <sup>25</sup>
	58.000	119.13	07/22/20	304.79	185.66 W	0.00	3 of 10 - Sale <sup>25</sup>
	5.000	10.27	07/22/20	12.10	1.83 W	0.00	4 of 10 - Sale <sup>25</sup>
	15.000	30.81	07/22/20	36.08	5.27 W	0.00	5 of 10 - Sale <sup>25</sup>
	25.000	51.35	07/22/20	57.51	6.16 W	0.00	6 of 10 - Sale <sup>25</sup>
	25.000	51.35	07/22/20	59.88	8.53 W	0.00	7 of 10 - Sale <sup>25</sup>
	100.000	205.39	07/22/20	240.52	35.13 W	0.00	8 of 10 - Sale <sup>25</sup>
	230.000	472.40	07/22/20	492.20	19.80 W	0.00	9 of 10 - Sale <sup>25</sup>
	250.000	513.48	07/22/20	523.75	10.27 W	0.00	10 of 10 - Sale <sup>25</sup>
07/22/20	750.000	1,540.45	Various	1,944.51	404.06 W	0.00	Total of 10 transactions
3 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	10.000	18.60	07/23/20	47.15	28.55 W	0.00	1 of 3 - Sale <sup>25</sup>
	32.000	59.52	07/23/20	160.49	100.97 W	0.00	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	58.000	107.87	07/23/20	290.93	183.06 W	0.00	3 of 3 - Sale <sup>25</sup>
07/24/20	100.000	185.99	Various	498.57	312.58 W	0.00	Total of 3 transactions
	10 transactions for 08/03/20. Total proceeds and cost reported to the IRS.						
	208.000	361.89	08/03/20	466.69	...	-104.80	1 of 10 - Sale <sup>25</sup>
	173.000	300.99	08/03/20	388.16	...	-87.17	2 of 10 - Sale <sup>25</sup>
	200.000	347.97	08/03/20	409.67	...	-61.70	3 of 10 - Sale <sup>25</sup>
	200.000	347.97	08/03/20	409.65	...	-61.68	4 of 10 - Sale <sup>25</sup>
	100.000	173.98	08/03/20	204.84	...	-30.86	5 of 10 - Sale <sup>25</sup>
	79.000	137.45	08/03/20	167.97	...	-30.52	6 of 10 - Sale <sup>25</sup>
	205.000	356.66	08/03/20	382.52	...	-25.86	7 of 10 - Sale <sup>25</sup>
	25.000	43.50	08/03/20	56.08	...	-12.58	8 of 10 - Sale <sup>25</sup>
	10.000	17.40	08/03/20	22.43	...	-5.03	9 of 10 - Sale <sup>25</sup>
	5.000	8.70	08/03/20	11.22	...	-2.52	10 of 10 - Sale <sup>25</sup>
08/03/20	1,205.000	2,096.51	Various	2,519.23	...	-422.72	Total of 10 transactions
	106 transactions for 08/03/20. Total proceeds and cost reported to the IRS.						
	95.000	165.28	08/03/20	193.32	10.33 W	-17.71	1 of 106 - Sale <sup>25</sup>
	10.000	19.00	08/03/20	47.72	28.72 W	0.00	2 of 106 - Sale <sup>25</sup>
	32.000	60.79	08/03/20	162.32	101.53 W	0.00	3 of 106 - Sale <sup>25</sup>
	58.000	110.19	08/03/20	294.26	184.07 W	0.00	4 of 106 - Sale <sup>25</sup>
	5.000	9.50	08/03/20	11.42	1.92 W	0.00	5 of 106 - Sale <sup>25</sup>
	15.000	28.50	08/03/20	34.03	5.53 W	0.00	6 of 106 - Sale <sup>25</sup>
	25.000	47.50	08/03/20	54.09	6.59 W	0.00	7 of 106 - Sale <sup>25</sup>
	25.000	47.50	08/03/20	56.46	8.96 W	0.00	8 of 106 - Sale <sup>25</sup>
	100.000	189.98	08/03/20	226.86	36.88 W	0.00	9 of 106 - Sale <sup>25</sup>
	230.000	436.96	08/03/20	460.78	23.82 W	0.00	10 of 106 - Sale <sup>25</sup>
	250.000	474.96	08/03/20	489.60	14.64 W	0.00	11 of 106 - Sale <sup>25</sup>
	550.000	1,044.91	08/03/20	1,054.52	9.61 W	0.00	12 of 106 - Sale <sup>25</sup>
	200.000	379.98	08/03/20	383.46	3.48 W	0.00	13 of 106 - Sale <sup>25</sup>
	238.000	428.37	08/03/20	456.32	27.95 W	0.00	14 of 106 - Sale <sup>25</sup>
	173.000	311.38	08/03/20	331.69	20.31 W	0.00	15 of 106 - Sale <sup>25</sup>
	10.000	18.00	08/03/20	19.17	1.17 W	0.00	16 of 106 - Sale <sup>25</sup>
	79.000	142.19	08/03/20	151.47	9.28 W	0.00	17 of 106 - Sale <sup>25</sup>
	10.000	18.00	08/03/20	48.12	30.12 W	0.00	18 of 106 - Sale <sup>25</sup>
	32.000	57.59	08/03/20	163.61	106.02 W	0.00	19 of 106 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	58.000	104.39	08/03/20	296.59	192.20 W	0.00	20 of 106 - Sale <sup>25</sup>
	5.000	9.00	08/03/20	11.62	2.62 W	0.00	21 of 106 - Sale <sup>25</sup>
	15.000	27.00	08/03/20	34.63	7.63 W	0.00	22 of 106 - Sale <sup>25</sup>
	25.000	45.00	08/03/20	55.09	10.09 W	0.00	23 of 106 - Sale <sup>25</sup>
	25.000	45.00	08/03/20	57.46	12.46 W	0.00	24 of 106 - Sale <sup>25</sup>
	100.000	179.98	08/03/20	230.88	50.90 W	0.00	25 of 106 - Sale <sup>25</sup>
	230.000	413.96	08/03/20	470.02	56.06 W	0.00	26 of 106 - Sale <sup>25</sup>
	200.000	359.97	08/03/20	390.56	30.59 W	0.00	27 of 106 - Sale <sup>25</sup>
	250.000	449.96	08/03/20	498.49	48.53 W	0.00	28 of 106 - Sale <sup>25</sup>
	550.000	989.91	08/03/20	1,074.08	84.17 W	0.00	29 of 106 - Sale <sup>25</sup>
	10.000	18.00	08/03/20	20.92	2.92 W	0.00	30 of 106 - Sale <sup>25</sup>
	173.000	311.37	08/03/20	361.98	50.61 W	0.00	31 of 106 - Sale <sup>25</sup>
	238.000	428.36	08/03/20	498.00	69.64 W	0.00	32 of 106 - Sale <sup>25</sup>
	1,000.000	1,799.84	08/03/20	1,975.00	175.16 W	0.00	33 of 106 - Sale <sup>25</sup>
	79.000	142.19	08/03/20	165.31	23.12 W	0.00	34 of 106 - Sale <sup>25</sup>
	10.000	18.15	08/03/20	48.82	30.67 W	0.00	35 of 106 - Sale <sup>25</sup>
	32.000	58.07	08/03/20	165.86	107.79 W	0.00	36 of 106 - Sale <sup>25</sup>
	58.000	105.26	08/03/20	300.66	195.40 W	0.00	37 of 106 - Sale <sup>25</sup>
	5.000	9.07	08/03/20	11.97	2.90 W	0.00	38 of 106 - Sale <sup>25</sup>
	15.000	27.22	08/03/20	35.68	8.46 W	0.00	39 of 106 - Sale <sup>25</sup>
	25.000	45.37	08/03/20	56.84	11.47 W	0.00	40 of 106 - Sale <sup>25</sup>
	25.000	45.37	08/03/20	59.21	13.84 W	0.00	41 of 106 - Sale <sup>25</sup>
	100.000	181.48	08/03/20	237.90	56.42 W	0.00	42 of 106 - Sale <sup>25</sup>
	130.000	235.93	08/03/20	274.79	38.86 W	0.00	43 of 106 - Sale <sup>25</sup>
	50.000	90.74	08/03/20	101.15	10.41 W	0.00	44 of 106 - Sale <sup>25</sup>
	100.000	181.48	08/03/20	211.37	29.89 W	0.00	45 of 106 - Sale <sup>25</sup>
	250.000	453.71	08/03/20	516.03	62.32 W	0.00	46 of 106 - Sale <sup>25</sup>
	98.000	177.86	08/03/20	198.26	20.40 W	0.00	47 of 106 - Sale <sup>25</sup>
	202.000	366.60	08/03/20	408.65	42.05 W	0.00	48 of 106 - Sale <sup>25</sup>
	200.000	362.97	08/03/20	404.61	41.64 W	0.00	49 of 106 - Sale <sup>25</sup>
	200.000	362.97	08/03/20	404.59	41.62 W	0.00	50 of 106 - Sale <sup>25</sup>
	30.000	52.50	08/03/20	63.83	11.33 W	0.00	51 of 106 - Sale <sup>25</sup>
	10.000	17.50	08/03/20	21.27	3.77 W	0.00	52 of 106 - Sale <sup>25</sup>
	79.000	138.24	08/03/20	158.80	20.56 W	0.00	53 of 106 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
173.000		302.72	08/03/20	368.07	65.35 W	0.00	54 of 106 - Sale <sup>25</sup>
208.000		363.97	08/03/20	442.54	78.57 W	0.00	55 of 106 - Sale <sup>25</sup>
10.000		17.50	08/03/20	49.04	31.54 W	0.00	56 of 106 - Sale <sup>25</sup>
20.000		34.99	08/03/20	104.10	69.11 W	0.00	57 of 106 - Sale <sup>25</sup>
12.000		21.00	08/03/20	62.46	41.46 W	0.00	58 of 106 - Sale <sup>25</sup>
58.000		101.49	08/03/20	301.93	200.44 W	0.00	59 of 106 - Sale <sup>25</sup>
5.000		8.75	08/03/20	12.08	3.33 W	0.00	60 of 106 - Sale <sup>25</sup>
15.000		26.25	08/03/20	36.01	9.76 W	0.00	61 of 106 - Sale <sup>25</sup>
25.000		43.75	08/03/20	57.39	13.64 W	0.00	62 of 106 - Sale <sup>25</sup>
25.000		43.75	08/03/20	59.76	16.01 W	0.00	63 of 106 - Sale <sup>25</sup>
100.000		174.98	08/03/20	240.09	65.11 W	0.00	64 of 106 - Sale <sup>25</sup>
50.000		87.49	08/03/20	102.24	14.75 W	0.00	65 of 106 - Sale <sup>25</sup>
79.000		138.24	08/03/20	168.22	29.98 W	0.00	66 of 106 - Sale <sup>25</sup>
98.000		171.48	08/03/20	200.40	28.92 W	0.00	67 of 106 - Sale <sup>25</sup>
100.000		174.98	08/03/20	213.56	38.58 W	0.00	68 of 106 - Sale <sup>25</sup>
102.000		178.48	08/03/20	208.57	30.09 W	0.00	69 of 106 - Sale <sup>25</sup>
130.000		227.48	08/03/20	277.63	50.15 W	0.00	70 of 106 - Sale <sup>25</sup>
250.000		437.46	08/03/20	521.50	84.04 W	0.00	71 of 106 - Sale <sup>25</sup>
921.000		1,611.60	08/03/20	1,852.92	241.32 W	0.00	72 of 106 - Sale <sup>25</sup>
100.000		174.98	08/03/20	205.82	30.84 W	0.00	73 of 106 - Sale <sup>25</sup>
200.000		349.97	08/03/20	411.64	61.67 W	0.00	74 of 106 - Sale <sup>25</sup>
200.000		349.97	08/03/20	411.62	61.65 W	0.00	75 of 106 - Sale <sup>25</sup>
10.000		17.50	08/03/20	50.30	32.80 W	0.00	76 of 106 - Sale <sup>25</sup>
20.000		35.00	08/03/20	106.63	71.63 W	0.00	77 of 106 - Sale <sup>25</sup>
10.000		17.50	08/03/20	22.53	5.03 W	0.00	78 of 106 - Sale <sup>25</sup>
30.000		52.50	08/03/20	67.60	15.10 W	0.00	79 of 106 - Sale <sup>25</sup>
79.000		138.24	08/03/20	168.75	30.51 W	0.00	80 of 106 - Sale <sup>25</sup>
173.000		302.72	08/03/20	389.86	87.14 W	0.00	81 of 106 - Sale <sup>25</sup>
208.000		363.97	08/03/20	468.74	104.77 W	0.00	82 of 106 - Sale <sup>25</sup>
765.000		1,338.62	08/03/20	1,434.98	96.36 W	0.00	83 of 106 - Sale <sup>25</sup>
12.000		21.00	08/03/20	63.97	42.97 W	0.00	84 of 106 - Sale <sup>25</sup>
58.000		101.49	08/03/20	309.24	207.75 W	0.00	85 of 106 - Sale <sup>25</sup>
5.000		8.75	08/03/20	12.71	3.96 W	0.00	86 of 106 - Sale <sup>25</sup>
15.000		26.25	08/03/20	37.90	11.65 W	0.00	87 of 106 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 527125207
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	25.000	43.75	08/03/20	60.53	16.78 W	0.00	88 of 106 - Sale <sup>25</sup>
	85.000	148.74	08/03/20	214.79	66.05 W	0.00	89 of 106 - Sale <sup>25</sup>
	25.000	43.75	08/03/20	62.90	19.15 W	0.00	90 of 106 - Sale <sup>25</sup>
	15.000	26.25	08/03/20	37.90	11.65 W	0.00	91 of 106 - Sale <sup>25</sup>
	460.000	804.92	08/03/20	983.40	178.48 W	0.00	92 of 106 - Sale <sup>25</sup>
	5.000	8.75	08/03/20	10.69	1.94 W	0.00	93 of 106 - Sale <sup>25</sup>
	79.000	137.45	08/03/20	167.44	29.99 W	0.00	94 of 106 - Sale <sup>25</sup>
	65.000	113.09	08/03/20	138.18	25.09 W	0.00	95 of 106 - Sale <sup>25</sup>
	456.000	793.36	08/03/20	912.92	119.56 W	0.00	96 of 106 - Sale <sup>25</sup>
	35.000	60.90	08/03/20	74.40	13.50 W	0.00	97 of 106 - Sale <sup>25</sup>
	65.000	113.09	08/03/20	138.17	25.08 W	0.00	98 of 106 - Sale <sup>25</sup>
	65.000	113.09	08/03/20	138.18	25.09 W	0.00	99 of 106 - Sale <sup>25</sup>
	235.000	408.86	08/03/20	487.90	79.04 W	0.00	100 of 106 - Sale <sup>25</sup>
	10.000	17.40	08/03/20	50.20	32.80 W	0.00	101 of 106 - Sale <sup>25</sup>
	20.000	34.80	08/03/20	106.43	71.63 W	0.00	102 of 106 - Sale <sup>25</sup>
	15.000	26.10	08/03/20	31.14	5.04 W	0.00	103 of 106 - Sale <sup>25</sup>
	50.000	86.99	08/03/20	101.75	14.76 W	0.00	104 of 106 - Sale <sup>25</sup>
	98.000	170.50	08/03/20	199.44	28.94 W	0.00	105 of 106 - Sale <sup>25</sup>
	7.000	12.18	08/03/20	14.25	2.07 W	0.00	106 of 106 - Sale <sup>25</sup>
08/03/20	12,795.000	22,873.79	Various	27,831.55	4,940.05 W	-17.71	Total of 106 transactions
27 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	58.000	99.76	08/03/20	309.25	...	-209.49	1 of 27 - Sale <sup>25</sup>
	460.000	791.22	08/03/20	983.48	...	-192.26	2 of 27 - Sale <sup>25</sup>
	456.000	784.35	08/03/20	917.56	...	-133.21	3 of 27 - Sale <sup>25</sup>
	560.000	963.22	08/03/20	1,050.54	...	-87.32	4 of 27 - Sale <sup>25</sup>
	235.000	404.16	08/03/20	490.29	...	-86.13	5 of 27 - Sale <sup>25</sup>
	20.000	34.40	08/03/20	106.63	...	-72.23	6 of 27 - Sale <sup>25</sup>
	67.000	115.24	08/03/20	169.31	...	-54.07	7 of 27 - Sale <sup>25</sup>
	12.000	20.64	08/03/20	63.97	...	-43.33	8 of 27 - Sale <sup>25</sup>
	10.000	17.20	08/03/20	50.30	...	-33.10	9 of 27 - Sale <sup>25</sup>
	79.000	135.88	08/03/20	168.24	...	-32.36	10 of 27 - Sale <sup>25</sup>
	98.000	168.55	08/03/20	200.44	...	-31.89	11 of 27 - Sale <sup>25</sup>
	65.000	111.80	08/03/20	138.84	...	-27.04	12 of 27 - Sale <sup>25</sup>
	65.000	111.81	08/03/20	138.83	...	-27.02	13 of 27 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	60.000	103.19	08/03/20	128.16	...	-24.97	14 of 27 - Sale <sup>25</sup>
	25.000	43.00	08/03/20	62.90	...	-19.90	15 of 27 - Sale <sup>25</sup>
	25.000	43.00	08/03/20	60.53	...	-17.53	16 of 27 - Sale <sup>25</sup>
	50.000	85.99	08/03/20	102.26	...	-16.27	17 of 27 - Sale <sup>25</sup>
	35.000	60.20	08/03/20	74.75	...	-14.55	18 of 27 - Sale <sup>25</sup>
	18.000	30.96	08/03/20	45.49	...	-14.53	19 of 27 - Sale <sup>25</sup>
	15.000	25.80	08/03/20	37.90	...	-12.10	20 of 27 - Sale <sup>25</sup>
	15.000	25.80	08/03/20	37.90	...	-12.10	21 of 27 - Sale <sup>25</sup>
	35.000	60.19	08/03/20	71.58	...	-11.39	22 of 27 - Sale <sup>25</sup>
	15.000	25.80	08/03/20	31.29	...	-5.49	23 of 27 - Sale <sup>25</sup>
	5.000	8.60	08/03/20	12.71	...	-4.11	24 of 27 - Sale <sup>25</sup>
	7.000	12.04	08/03/20	14.32	...	-2.28	25 of 27 - Sale <sup>25</sup>
	5.000	8.60	08/03/20	10.69	...	-2.09	26 of 27 - Sale <sup>25</sup>
	5.000	8.60	08/03/20	10.68	...	-2.08	27 of 27 - Sale <sup>25</sup>
08/04/20	2,500.000	4,300.00	Various	5,488.84	...	-1,188.84	Total of 27 transactions
12/30/20	1,500.000	2,129.92	12/30/20	2,145.00	0.60 W	-14.48	Sale <sup>25</sup>
	<b>Security total:</b>	<b>38,252.58</b>		<b>46,135.56</b>	<b>7,012.43 W</b>	<b>-870.55</b>	
GENMARK DIAGNOSTICS, INC. COMM ON STOCK / CUSIP: 372309104 / Symbol:							
<i>2 transactions for 04/13/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	576.98	04/08/20	548.61	...	28.37	1 of 2 - Sale <sup>25</sup>
	100.000	576.99	04/08/20	522.23	...	54.76	2 of 2 - Sale <sup>25</sup>
04/13/20	200.000	1,153.97	Various	1,070.84	...	83.13	Total of 2 transactions
04/13/20	7.000	40.39	04/08/20	41.37	0.42 W	-0.56	Sale <sup>25</sup>
05/01/20	3.000	36.60	04/30/20	38.27	...	-1.67	Sale <sup>25</sup>
06/11/20	100.000	903.97	06/10/20	957.00	53.03 W	0.00	Sale <sup>25</sup>
07/01/20	30.000	433.50	06/30/20	454.81	21.31 W	0.00	Sale <sup>25</sup>
<i>7 transactions for 07/08/20. Total proceeds and cost reported to the IRS.</i>							
	35.000	660.96	07/08/20	685.68	...	-24.72	1 of 7 - Sale <sup>25</sup>
	5.000	94.42	07/08/20	96.98	...	-2.56	2 of 7 - Sale <sup>25</sup>
	5.000	95.35	07/08/20	94.78	...	0.57	3 of 7 - Sale <sup>25</sup>
	25.000	476.99	07/08/20	472.39	...	4.60	4 of 7 - Sale <sup>25</sup>
	25.000	476.73	07/08/20	469.12	...	7.61	5 of 7 - Sale <sup>25</sup>
	25.000	476.74	07/08/20	468.78	...	7.96	6 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENMARK DIAGNOSTICS, INC. COMM ON STOCK / CUSIP: 372309104 / Symbol: (cont'd)							
	45.000	858.12	07/08/20	844.92	...	13.20	7 of 7 - Sale <sup>25</sup>
07/08/20	165.000	3,139.31	Various	3,132.65	...	6.66	Total of 7 transactions
3 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	30.000	572.38	07/08/20	578.11	5.73 W	0.00	1 of 3 - Sale <sup>25</sup>
	45.000	858.57	07/08/20	859.06	0.49 W	0.00	2 of 3 - Sale <sup>25</sup>
	35.000	667.43	07/08/20	678.83	11.40 W	0.00	3 of 3 - Sale <sup>25</sup>
07/08/20	110.000	2,098.38	Various	2,116.00	17.62 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>7,806.12</b>		<b>7,810.94</b>	<b>92.38 W</b>	<b>87.56</b>	
GENPREX, INC. COMMON STOCK / CUSIP: 372446104 / Symbol:							
3 transactions for 03/18/20. Total proceeds and cost reported to the IRS.							
	90.000	224.99	03/17/20	307.80	...	-82.81	1 of 3 - Sale <sup>25</sup>
	20.000	50.20	03/17/20	47.77	...	2.43	2 of 3 - Sale <sup>25</sup>
	140.000	349.98	03/17/20	334.39	...	15.59	3 of 3 - Sale <sup>25</sup>
03/18/20	250.000	625.17	Various	689.96	...	-64.79	Total of 3 transactions
2 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	7.000	24.29	05/05/20	26.15	...	-1.86	1 of 2 - Sale <sup>25</sup>
	3.000	10.41	05/05/20	11.21	...	-0.80	2 of 2 - Sale <sup>25</sup>
05/06/20	10.000	34.70	Various	37.36	...	-2.66	Total of 2 transactions
	<b>Security total:</b>	<b>659.87</b>		<b>727.32</b>	...	<b>-67.45</b>	
GERON CORPORATION COMMON STOCK / CUSIP: 374163103 / Symbol:							
2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	100.000	207.99	05/18/20	224.50	...	-16.51	1 of 2 - Sale <sup>25</sup>
	50.000	103.99	05/18/20	112.50	...	-8.51	2 of 2 - Sale <sup>25</sup>
05/19/20	150.000	311.98	Various	337.00	...	-25.02	Total of 2 transactions
GILEAD SCIENCES, INC. COMMON S TOCK / CUSIP: 375558103 / Symbol:							
03/18/20	20.000	1,461.96	03/17/20	1,475.69	13.73 W	0.00	Sale <sup>25</sup>
04/09/20	10.000	734.78	04/08/20	747.06	12.28 W	0.00	Sale <sup>25</sup>
2 transactions for 04/13/20. Total proceeds and cost reported to the IRS.							
	5.000	373.94	04/09/20	372.85	...	1.09	1 of 2 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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GILEAD SCIENCES, INC. COMMON S TOCK / CUSIP: 375558103 / Symbol: (cont'd)							
	10.000	747.88	04/09/20	742.06	...	5.82	2 of 2 - Sale <sup>25</sup>
04/13/20	15.000	1,121.82	Various	1,114.91	...	6.91	Total of 2 transactions
	2 transactions for 04/15/20. Total proceeds and cost reported to the IRS.						
	4.000	302.84	04/14/20	311.00	...	-8.16	1 of 2 - Sale <sup>25</sup>
	1.000	75.72	04/14/20	77.75	...	-2.03	2 of 2 - Sale <sup>25</sup>
04/15/20	5.000	378.56	Various	388.75	...	-10.19	Total of 2 transactions
	2 transactions for 04/15/20. Total proceeds and cost reported to the IRS.						
	2.000	151.42	04/14/20	157.96	6.54 W	0.00	1 of 2 - Sale <sup>25</sup>
	3.000	227.14	04/14/20	236.93	9.79 W	0.00	2 of 2 - Sale <sup>25</sup>
04/15/20	5.000	378.56	Various	394.89	16.33 W	0.00	Total of 2 transactions
	3 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	3.000	251.31	04/16/20	261.04	...	-9.73	1 of 3 - Sale <sup>25</sup>
	1.000	83.77	04/16/20	87.02	...	-3.25	2 of 3 - Sale <sup>25</sup>
	1.000	83.77	04/16/20	87.02	...	-3.25	3 of 3 - Sale <sup>25</sup>
04/17/20	5.000	418.85	Various	435.08	...	-16.23	Total of 3 transactions
	2 transactions for 12/31/20. Total proceeds and cost reported to the IRS.						
	75.000	4,365.27	12/31/20	4,368.75	...	-3.48	1 of 2 - Sale <sup>25</sup>
	15.000	873.05	12/31/20	873.00	...	0.05	2 of 2 - Sale <sup>25</sup>
12/31/20	90.000	5,238.32	Various	5,241.75	...	-3.43	Total of 2 transactions
	<b>Security total:</b>	<b>9,732.85</b>		<b>9,798.13</b>	<b>42.34 W</b>	<b>-22.94</b>	
GLU MOBILE INC. COMMON STOCK / CUSIP: 379890106 / Symbol:							
	3 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	25.000	183.50	04/13/20	154.00	...	29.50	1 of 3 - Sale <sup>25</sup>
	75.000	551.99	04/13/20	461.99	...	90.00	2 of 3 - Sale <sup>25</sup>
	25.000	183.99	04/16/20	186.75	...	-2.76	3 of 3 - Sale <sup>25</sup>
04/17/20	125.000	919.48	Various	802.74	...	116.74	Total of 3 transactions
GOL LINHAS AEREAS INTELIGENTES S.A. AMERICAN DEPOSITARY SHAR ES (EACH REPRESENTING TEN PREF / CUSIP: 38045R206 / Symbol:							
	2 transactions for 04/21/20. Total proceeds and cost reported to the IRS.						
	100.000	415.99	04/16/20	455.00	39.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	100.000	415.99	04/17/20	454.00	34.21 W	-3.80	2 of 2 - Sale <sup>25</sup>
04/21/20	200.000	831.98	Various	909.00	73.22 W	-3.80	Total of 2 transactions

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2020 1099-B\* OMB No. 1545-0715

(continued)

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GOL LINHAS AEREAS INTELIGENTES S.A. AMERICAN DEPOSITARY SHAR ES (EACH REPRESENTING TEN PREF / CUSIP: 38045R206 / Symbol: (cont'd))							
2 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	50.000	192.99	04/24/20	212.01	...	-19.02	1 of 2 - Sale <sup>25</sup>
	5.000	19.30	04/24/20	21.20	...	-1.90	2 of 2 - Sale <sup>25</sup>
04/27/20	55.000	212.29	Various	233.21	...	-20.92	Total of 2 transactions
2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	45.000	192.14	04/27/20	188.10	...	4.04	1 of 2 - Sale <sup>25</sup>
	80.000	341.59	04/27/20	333.60	...	7.99	2 of 2 - Sale <sup>25</sup>
05/01/20	125.000	533.73	Various	521.70	...	12.03	Total of 2 transactions
05/06/20	10.000	40.85	05/05/20	47.00	6.15 W	0.00	Sale <sup>25</sup>
8 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	10.000	66.90	06/01/20	54.65	...	12.25	1 of 8 - Sale <sup>25</sup>
	90.000	602.08	06/01/20	436.49	...	165.59	2 of 8 - Sale <sup>25</sup>
	2.000	13.38	06/02/20	12.00	...	1.38	3 of 8 - Sale <sup>25</sup>
	2.000	13.38	06/02/20	10.66	...	2.72	4 of 8 - Sale <sup>25</sup>
	50.000	334.49	06/02/20	274.75	...	59.74	5 of 8 - Sale <sup>25</sup>
	48.000	321.10	06/02/20	255.83	...	65.27	6 of 8 - Sale <sup>25</sup>
	50.000	334.49	06/02/20	266.50	...	67.99	7 of 8 - Sale <sup>25</sup>
	50.000	334.48	06/02/20	259.50	...	74.98	8 of 8 - Sale <sup>25</sup>
06/04/20	302.000	2,020.30	Various	1,570.38	...	449.92	Total of 8 transactions
2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	18.000	120.41	06/03/20	120.69	0.28 W	0.00	1 of 2 - Sale <sup>25</sup>
	30.000	200.69	06/03/20	207.90	7.21 W	0.00	2 of 2 - Sale <sup>25</sup>
06/04/20	48.000	321.10	Various	328.59	7.49 W	0.00	Total of 2 transactions
4 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	8.000	71.60	06/05/20	60.48	...	11.12	1 of 4 - Sale <sup>25</sup>
	18.000	161.09	06/05/20	136.36	...	24.73	2 of 4 - Sale <sup>25</sup>
	30.000	268.49	06/05/20	234.01	...	34.48	3 of 4 - Sale <sup>25</sup>
	44.000	393.79	06/05/20	332.64	...	61.15	4 of 4 - Sale <sup>25</sup>
06/10/20	100.000	894.97	Various	763.49	...	131.48	Total of 4 transactions
06/24/20	100.000	687.97	06/24/20	697.00	9.03 W	0.00	Sale <sup>25</sup>
06/29/20	50.000	336.98	06/29/20	343.77	...	-6.79	Sale <sup>25</sup>
06/29/20	50.000	336.99	06/29/20	343.76	2.03 W	-4.74	Sale <sup>25</sup>
07/10/20	15.000	118.58	07/10/20	120.37	...	-1.79	Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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GOL LINHAS AEREAS INTELIGENTES S.A. AMERICAN DEPOSITARY SHAR ES (EACH REPRESENTING TEN PREF / CUSIP: 38045R206 / Symbol: (cont'd))							
<b>Security total:</b>		<b>6,335.74</b>		<b>5,878.27</b>		<b>97.92 W</b>	<b>555.39</b>
GOGO INC. COMMON STOCK / CUSIP: 38046C109 / Symbol:							
05/13/20	200.000	336.00	05/12/20	363.62	...	-27.62	Sale <sup>25</sup>
	2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	400.000	1,131.92	06/25/20	1,151.24	...	-19.32	1 of 2 - Sale <sup>25</sup>
	100.000	282.99	06/25/20	287.81	...	-4.82	2 of 2 - Sale <sup>25</sup>
06/25/20	500.000	1,414.91	Various	1,439.05	...	-24.14	Total of 2 transactions
	9 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	200.000	871.96	08/25/20	895.04	...	-23.08	1 of 9 - Sale <sup>25</sup>
	356.000	1,552.08	08/25/20	1,573.52	...	-21.44	2 of 9 - Sale <sup>25</sup>
	200.000	871.95	08/25/20	893.04	...	-21.09	3 of 9 - Sale <sup>25</sup>
	100.000	435.98	08/25/20	447.52	...	-11.54	4 of 9 - Sale <sup>25</sup>
	99.000	432.11	08/25/20	443.05	...	-10.94	5 of 9 - Sale <sup>25</sup>
	56.000	244.43	08/25/20	250.61	...	-6.18	6 of 9 - Sale <sup>25</sup>
	43.000	187.47	08/25/20	192.44	...	-4.97	7 of 9 - Sale <sup>25</sup>
	45.000	196.19	08/25/20	200.49	...	-4.30	8 of 9 - Sale <sup>25</sup>
	1.000	4.36	08/25/20	4.54	...	-0.18	9 of 9 - Sale <sup>25</sup>
08/25/20	1,100.000	4,796.53	Various	4,900.25	...	-103.72	Total of 9 transactions
	7 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	645.000	2,815.28	08/25/20	2,850.90	13.53 W	-22.09	1 of 7 - Sale <sup>25</sup>
	1.000	4.36	08/25/20	4.42	0.06 W	0.00	2 of 7 - Sale <sup>25</sup>
	99.000	432.11	08/25/20	437.58	5.47 W	0.00	3 of 7 - Sale <sup>25</sup>
	1.000	4.36	08/25/20	4.48	0.12 W	0.00	4 of 7 - Sale <sup>25</sup>
	99.000	432.11	08/25/20	437.58	5.47 W	0.00	5 of 7 - Sale <sup>25</sup>
	100.000	436.48	08/25/20	442.00	5.52 W	0.00	6 of 7 - Sale <sup>25</sup>
	200.000	872.96	08/25/20	884.00	11.04 W	0.00	7 of 7 - Sale <sup>25</sup>
08/25/20	1,145.000	4,997.66	Various	5,060.96	41.21 W	-22.09	Total of 7 transactions
	3 transactions for 10/16/20. Total proceeds and cost reported to the IRS.						
	84.000	917.25	10/16/20	937.47	...	-20.22	1 of 3 - Sale <sup>25</sup>
	16.000	174.72	10/16/20	178.57	...	-3.85	2 of 3 - Sale <sup>25</sup>
	2.000	21.28	10/16/20	21.50	...	-0.22	3 of 3 - Sale <sup>25</sup>
10/16/20	102.000	1,113.25	Various	1,137.54	...	-24.29	Total of 3 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GOGO INC. COMMON STOCK / CUSIP: 38046C109 / Symbol: (cont'd)							
2 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	244.000	2,596.07	10/16/20	2,635.20	13.47 W	-25.66	1 of 2 - Sale <sup>25</sup>
	16.000	170.23	10/16/20	172.80	2.57 W	0.00	2 of 2 - Sale <sup>25</sup>
10/16/20	260.000	2,766.30	Various	2,808.00	16.04 W	-25.66	Total of 2 transactions
	<b>Security total:</b>	<b>15,424.65</b>		<b>15,709.42</b>	<b>57.25 W</b>	<b>-227.52</b>	
GOLDEN ENTERTAINMENT, INC. COM MON STOCK / CUSIP: 381013101 / Symbol:							
9 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	4.000	48.84	05/29/20	49.44	0.60 W	0.00	1 of 9 - Sale <sup>25</sup>
	16.000	195.36	05/29/20	197.76	2.40 W	0.00	2 of 9 - Sale <sup>25</sup>
	1.000	12.20	05/29/20	12.36	0.16 W	0.00	3 of 9 - Sale <sup>25</sup>
	29.000	353.80	05/29/20	358.44	4.64 W	0.00	4 of 9 - Sale <sup>25</sup>
	4.000	48.80	05/29/20	49.92	1.12 W	0.00	5 of 9 - Sale <sup>25</sup>
	7.000	85.40	05/29/20	87.36	1.96 W	0.00	6 of 9 - Sale <sup>25</sup>
	1.000	12.15	05/29/20	12.49	0.34 W	0.00	7 of 9 - Sale <sup>25</sup>
	4.000	48.60	05/29/20	49.96	1.36 W	0.00	8 of 9 - Sale <sup>25</sup>
	9.000	109.35	05/29/20	112.32	2.97 W	0.00	9 of 9 - Sale <sup>25</sup>
06/01/20	75.000	914.50	Various	930.05	15.55 W	0.00	Total of 9 transactions
6 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	25.000	233.75	06/24/20	241.38	...	-7.63	1 of 6 - Sale <sup>25</sup>
	9.000	84.15	06/24/20	88.43	...	-4.28	2 of 6 - Sale <sup>25</sup>
	7.000	65.45	06/24/20	68.42	...	-2.97	3 of 6 - Sale <sup>25</sup>
	4.000	37.40	06/24/20	39.34	...	-1.94	4 of 6 - Sale <sup>25</sup>
	4.000	37.40	06/24/20	39.10	...	-1.70	5 of 6 - Sale <sup>25</sup>
	1.000	9.35	06/24/20	9.83	...	-0.48	6 of 6 - Sale <sup>25</sup>
06/24/20	50.000	467.50	Various	486.50	...	-19.00	Total of 6 transactions
	<b>Security total:</b>	<b>1,382.00</b>		<b>1,416.55</b>	<b>15.55 W</b>	<b>-19.00</b>	
GOOD TIMES RESTAURANTS INC. CO MMON STOCK / CUSIP: 382140879 / Symbol:							
04/30/20	100.000	83.99	04/29/20	98.00	...	-14.01	Sale <sup>25</sup>
2 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	300.000	251.96	04/29/20	272.97	3.50 W	-17.51	1 of 2 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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GOOD TIMES RESTAURANTS INC. CO MMON STOCK / CUSIP: 382140879 / Symbol: (cont'd)							
	100.000	83.99	04/29/20	91.00	7.01 W	0.00	2 of 2 - Sale <sup>25</sup>
04/30/20	400.000	335.95	Various	363.97	10.51 W	-17.51	Total of 2 transactions
05/12/20	50.000	49.00	05/11/20	53.50	...	-4.50	Sale <sup>25</sup>
	<b>Security total:</b>	<b>468.94</b>		<b>515.47</b>	<b>10.51 W</b>	<b>-36.02</b>	
GOPRO, INC. CLASS A COMMON STO CK / CUSIP: 38268T103 / Symbol:							
01/10/20	1.000	4.37	11/18/19	4.54	...	-0.17	Sale <sup>25</sup>
04/14/20	150.000	448.72	04/13/20	425.76	...	22.96	Sale <sup>25</sup>
05/28/20	100.000	474.22	05/27/20	484.77	10.55 W	0.00	Sale <sup>25</sup>
	2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.						
	100.000	489.20	06/23/20	502.55	...	-13.35	1 of 2 - Sale <sup>25</sup>
	50.000	244.60	06/23/20	245.89	...	-1.29	2 of 2 - Sale <sup>25</sup>
06/23/20	150.000	733.80	Various	748.44	...	-14.64	Total of 2 transactions
10/07/20	1,250.000	7,113.19	10/07/20	7,175.00	49.45 W	-12.36	Sale <sup>25</sup>
	8 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	100.000	621.97	10/08/20	621.93	...	0.04	1 of 8 - Sale <sup>25</sup>
	100.000	621.97	10/08/20	621.93	...	0.04	2 of 8 - Sale <sup>25</sup>
	100.000	621.97	10/08/20	621.93	...	0.04	3 of 8 - Sale <sup>25</sup>
	100.000	621.97	10/08/20	621.93	...	0.04	4 of 8 - Sale <sup>25</sup>
	100.000	621.97	10/08/20	621.93	...	0.04	5 of 8 - Sale <sup>25</sup>
	200.000	1,243.95	10/08/20	1,243.87	...	0.08	6 of 8 - Sale <sup>25</sup>
	200.000	1,243.95	10/08/20	1,243.87	...	0.08	7 of 8 - Sale <sup>25</sup>
	100.000	622.47	10/08/20	621.94	...	0.53	8 of 8 - Sale <sup>25</sup>
10/08/20	1,000.000	6,220.22	Various	6,219.33	...	0.89	Total of 8 transactions
	<b>Security total:</b>	<b>14,994.52</b>		<b>15,057.84</b>	<b>60.00 W</b>	<b>-3.32</b>	
GRAF INDUSTRIAL CORP. COMMON S TOCK / CUSIP: 384278107 / Symbol:							
	8 transactions for 07/10/20. Total proceeds and cost reported to the IRS.						
	13.000	250.24	07/10/20	269.11	...	-18.87	1 of 8 - Sale <sup>25</sup>
	13.000	250.24	07/10/20	263.52	...	-13.28	2 of 8 - Sale <sup>25</sup>
	4.000	77.00	07/10/20	86.20	...	-9.20	3 of 8 - Sale <sup>25</sup>
	6.000	115.50	07/10/20	123.29	...	-7.79	4 of 8 - Sale <sup>25</sup>
	6.000	115.50	07/10/20	122.82	...	-7.32	5 of 8 - Sale <sup>25</sup>
	1.000	19.25	07/10/20	21.55	...	-2.30	6 of 8 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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GRAF INDUSTRIAL CORP. COMMON S TOCK / CUSIP: 384278107 / Symbol: (cont'd)							
	1.000	19.25	07/10/20	21.00	...	-1.75	7 of 8 - Sale <sup>25</sup>
	6.000	115.50	07/10/20	115.80	...	-0.30	8 of 8 - Sale <sup>25</sup>
07/10/20	50.000	962.48	Various	1,023.29	...	-60.81	Total of 8 transactions
	8 transactions for 07/10/20. Total proceeds and cost reported to the IRS.						
	19.000	370.88	07/10/20	375.25	4.37 W	0.00	1 of 8 - Sale <sup>25</sup>
	6.000	117.13	07/10/20	118.80	1.67 W	0.00	2 of 8 - Sale <sup>25</sup>
	5.000	93.75	07/10/20	100.15	6.40 W	0.00	3 of 8 - Sale <sup>25</sup>
	14.000	260.81	07/10/20	280.42	19.61 W	0.00	4 of 8 - Sale <sup>25</sup>
	6.000	111.78	07/10/20	118.80	7.02 W	0.00	5 of 8 - Sale <sup>25</sup>
	5.000	93.15	07/10/20	104.40	11.25 W	0.00	6 of 8 - Sale <sup>25</sup>
	6.000	111.78	07/10/20	119.27	7.49 W	0.00	7 of 8 - Sale <sup>25</sup>
	13.000	242.18	07/10/20	254.80	12.62 W	0.00	8 of 8 - Sale <sup>25</sup>
07/10/20	74.000	1,401.46	Various	1,471.89	70.43 W	0.00	Total of 8 transactions
	<b>Security total:</b>	<b>2,363.94</b>		<b>2,495.18</b>	<b>70.43 W</b>	<b>-60.81</b>	
GRANITE POINT MORTGAGE TRUST I NC. COMMON STOCK / CUSIP: 38741L107 / Symbol:							
04/02/20	100.000	373.00	04/01/20	382.88	...	-9.88	Sale <sup>25</sup>
GREAT PANTHER MINING LIMITED / CUSIP: 39115V101 / Symbol:							
07/08/20	1,000.000	579.96	07/08/20	588.80	8.84 W	0.00	Sale <sup>25</sup>
07/20/20	250.000	188.22	07/20/20	187.44	...	0.78	Sale <sup>25</sup>
	12 transactions for 07/20/20. Total proceeds and cost reported to the IRS.						
	100.000	71.51	07/20/20	72.48	0.97 W	0.00	1 of 12 - Sale <sup>25</sup>
	100.000	71.49	07/20/20	72.49	1.00 W	0.00	2 of 12 - Sale <sup>25</sup>
	100.000	71.49	07/20/20	72.48	0.99 W	0.00	3 of 12 - Sale <sup>25</sup>
	100.000	71.49	07/20/20	72.49	1.00 W	0.00	4 of 12 - Sale <sup>25</sup>
	100.000	71.49	07/20/20	72.48	0.99 W	0.00	5 of 12 - Sale <sup>25</sup>
	20.000	14.79	07/20/20	14.99	0.20 W	0.00	6 of 12 - Sale <sup>25</sup>
	100.000	73.92	07/20/20	75.06	1.14 W	0.00	7 of 12 - Sale <sup>25</sup>
	100.000	73.91	07/20/20	75.09	1.18 W	0.00	8 of 12 - Sale <sup>25</sup>
	100.000	73.92	07/20/20	75.08	1.16 W	0.00	9 of 12 - Sale <sup>25</sup>
	100.000	73.91	07/20/20	75.09	1.18 W	0.00	10 of 12 - Sale <sup>25</sup>
	100.000	73.92	07/20/20	75.08	1.16 W	0.00	11 of 12 - Sale <sup>25</sup>

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(continued)

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GREAT PANTHER MINING LIMITED / CUSIP: 39115V101 / Symbol: (cont'd)							
	230.000	170.00	07/20/20	172.44	2.44 W	0.00	12 of 12 - Sale <sup>25</sup>
07/20/20	1,250.000	911.84	Various	925.25	13.41 W	0.00	Total of 12 transactions
	13 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	100.000	83.61	07/23/20	85.34	...	-1.73	1 of 13 - Sale <sup>25</sup>
	100.000	83.62	07/23/20	85.34	...	-1.72	2 of 13 - Sale <sup>25</sup>
	100.000	83.62	07/23/20	85.32	...	-1.70	3 of 13 - Sale <sup>25</sup>
	100.000	83.62	07/23/20	85.32	...	-1.70	4 of 13 - Sale <sup>25</sup>
	100.000	83.62	07/23/20	85.30	...	-1.68	5 of 13 - Sale <sup>25</sup>
	100.000	83.62	07/23/20	85.22	...	-1.60	6 of 13 - Sale <sup>25</sup>
	80.000	66.89	07/23/20	68.18	...	-1.29	7 of 13 - Sale <sup>25</sup>
	50.000	41.81	07/23/20	42.62	...	-0.81	8 of 13 - Sale <sup>25</sup>
	100.000	83.61	07/23/20	84.16	...	-0.55	9 of 13 - Sale <sup>25</sup>
	100.000	83.61	07/23/20	84.15	...	-0.54	10 of 13 - Sale <sup>25</sup>
	20.000	16.72	07/23/20	17.03	...	-0.31	11 of 13 - Sale <sup>25</sup>
	50.000	41.81	07/23/20	42.08	...	-0.27	12 of 13 - Sale <sup>25</sup>
	500.000	418.08	07/23/20	416.55	...	1.53	13 of 13 - Sale <sup>25</sup>
07/23/20	1,500.000	1,254.24	Various	1,266.61	...	-12.37	Total of 13 transactions
	12 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	20.000	16.63	07/23/20	17.00	0.37 W	0.00	1 of 12 - Sale <sup>25</sup>
	80.000	66.50	07/23/20	68.03	1.53 W	0.00	2 of 12 - Sale <sup>25</sup>
	100.000	83.13	07/23/20	85.12	1.99 W	0.00	3 of 12 - Sale <sup>25</sup>
	100.000	83.13	07/23/20	85.16	2.03 W	0.00	4 of 12 - Sale <sup>25</sup>
	100.000	83.13	07/23/20	85.14	2.01 W	0.00	5 of 12 - Sale <sup>25</sup>
	100.000	83.13	07/23/20	85.16	2.03 W	0.00	6 of 12 - Sale <sup>25</sup>
	100.000	83.13	07/23/20	85.14	2.01 W	0.00	7 of 12 - Sale <sup>25</sup>
	50.000	41.56	07/23/20	42.52	0.96 W	0.00	8 of 12 - Sale <sup>25</sup>
	50.000	41.56	07/23/20	41.99	0.43 W	0.00	9 of 12 - Sale <sup>25</sup>
	100.000	83.13	07/23/20	85.04	1.91 W	0.00	10 of 12 - Sale <sup>25</sup>
	100.000	83.13	07/23/20	83.98	0.85 W	0.00	11 of 12 - Sale <sup>25</sup>
	100.000	83.14	07/23/20	83.98	0.84 W	0.00	12 of 12 - Sale <sup>25</sup>
07/23/20	1,000.000	831.30	Various	848.26	16.96 W	0.00	Total of 12 transactions
	<b>Security total:</b>	<b>3,765.56</b>		<b>3,816.36</b>	<b>39.21 W</b>	<b>-11.59</b>	

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(continued)

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GREEN DOT CORPORATION / CUSIP: 39304D102 / Symbol:							
06/26/20	200.000	9,172.77	06/26/20	9,198.00	...	-25.23	Sale <sup>25</sup>
08/17/20	250.000	13,545.92	08/17/20	13,582.48	...	-36.56	Sale <sup>25</sup>
	<b>Security total:</b>	<b>22,718.69</b>		<b>22,780.48</b>	...	<b>-61.79</b>	
GRIDSUM HOLDING INC. AMERICAN DEPOSITARY SHARES / CUSIP: 398132100 / Symbol:							
<i>2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	2.55	05/11/20	2.55	...	0.00	1 of 2 - Sale <sup>25</sup>
	97.000	82.45	05/11/20	81.47	...	0.98	2 of 2 - Sale <sup>25</sup>
05/12/20	100.000	85.00	Various	84.02	...	0.98	Total of 2 transactions
GROUPON, INC. COMMON STOCK / CUSIP: 399473107 / Symbol:							
<i>2 transactions for 03/30/20. Total proceeds and cost reported to the IRS.</i>							
	600.000	695.91	03/27/20	767.94	...	-72.03	1 of 2 - Sale <sup>25</sup>
	100.000	115.99	03/27/20	125.00	...	-9.01	2 of 2 - Sale <sup>25</sup>
03/30/20	700.000	811.90	Various	892.94	...	-81.04	Total of 2 transactions
03/30/20	300.000	347.95	03/27/20	375.00	18.03 W	-9.02	Sale <sup>25</sup>
04/28/20	200.000	274.00	04/27/20	272.03	...	1.97	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,433.85</b>		<b>1,539.97</b>	<b>18.03 W</b>	<b>-88.09</b>	
GROUPON, INC. COMMON STOCK / CUSIP: 399473206 / Symbol:							
<i>27 transactions for 08/18/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	2,897.93	08/18/20	2,945.15	...	-47.22	1 of 27 - Sale <sup>25</sup>
	125.000	3,622.41	08/18/20	3,661.25	...	-38.84	2 of 27 - Sale <sup>25</sup>
	36.000	1,043.25	08/18/20	1,060.26	...	-17.01	3 of 27 - Sale <sup>25</sup>
	27.000	782.44	08/18/20	795.19	...	-12.75	4 of 27 - Sale <sup>25</sup>
	25.000	724.48	08/18/20	736.04	...	-11.56	5 of 27 - Sale <sup>25</sup>
	25.000	724.48	08/18/20	732.68	...	-8.20	6 of 27 - Sale <sup>25</sup>
	20.000	579.58	08/18/20	586.15	...	-6.57	7 of 27 - Sale <sup>25</sup>
	7.000	202.85	08/18/20	206.15	...	-3.30	8 of 27 - Sale <sup>25</sup>
	37.000	1,072.23	08/18/20	1,075.27	...	-3.04	9 of 27 - Sale <sup>25</sup>
	4.000	115.92	08/18/20	117.80	...	-1.88	10 of 27 - Sale <sup>25</sup>
	5.000	144.90	08/18/20	146.53	...	-1.63	11 of 27 - Sale <sup>25</sup>
	11.000	318.77	08/18/20	319.62	...	-0.85	12 of 27 - Sale <sup>25</sup>
	1.000	28.98	08/18/20	29.45	...	-0.47	13 of 27 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GROUPON, INC. COMMON STOCK / CUSIP: 399473206 / Symbol: (cont'd)							
	2.000	57.96	08/18/20	58.04	...	-0.08	14 of 27 - Sale <sup>25</sup>
	1.000	29.00	08/18/20	28.97	...	0.03	15 of 27 - Sale <sup>25</sup>
	1.000	29.00	08/18/20	28.97	...	0.03	16 of 27 - Sale <sup>25</sup>
	1.000	29.00	08/18/20	28.97	...	0.03	17 of 27 - Sale <sup>25</sup>
	1.000	29.00	08/18/20	28.97	...	0.03	18 of 27 - Sale <sup>25</sup>
	1.000	29.00	08/18/20	28.97	...	0.03	19 of 27 - Sale <sup>25</sup>
	1.000	29.00	08/18/20	28.97	...	0.03	20 of 27 - Sale <sup>25</sup>
	1.000	29.00	08/18/20	28.97	...	0.03	21 of 27 - Sale <sup>25</sup>
	2.000	58.00	08/18/20	57.93	...	0.07	22 of 27 - Sale <sup>25</sup>
	3.000	87.00	08/18/20	86.90	...	0.10	23 of 27 - Sale <sup>25</sup>
	5.000	145.00	08/18/20	144.83	...	0.17	24 of 27 - Sale <sup>25</sup>
	23.000	666.52	08/18/20	666.22	...	0.30	25 of 27 - Sale <sup>25</sup>
	10.000	290.00	08/18/20	289.66	...	0.34	26 of 27 - Sale <sup>25</sup>
	50.000	1,442.46	08/18/20	1,367.38	...	75.08	27 of 27 - Sale <sup>25</sup>
08/18/20	525.000	15,208.16	Various	15,285.29	...	-77.13	Total of 27 transactions
	<i>13 transactions for 08/18/20. Total proceeds and cost reported to the IRS.</i>						
	350.000	10,097.23	08/18/20	10,170.97	73.74 W	0.00	1 of 13 - Sale <sup>25</sup>
	51.000	1,483.55	08/18/20	1,486.94	3.39 W	0.00	2 of 13 - Sale <sup>25</sup>
	49.000	1,425.37	08/18/20	1,428.63	3.26 W	0.00	3 of 13 - Sale <sup>25</sup>
	2.000	58.07	08/18/20	58.31	0.24 W	0.00	4 of 13 - Sale <sup>25</sup>
	11.000	318.99	08/18/20	320.71	1.72 W	0.00	5 of 13 - Sale <sup>25</sup>
	189.000	5,480.86	08/18/20	5,511.38	30.52 W	0.00	6 of 13 - Sale <sup>25</sup>
	7.000	203.00	08/18/20	204.12	1.12 W	0.00	7 of 13 - Sale <sup>25</sup>
	4.000	116.00	08/18/20	116.64	0.64 W	0.00	8 of 13 - Sale <sup>25</sup>
	1.000	29.00	08/18/20	29.16	0.16 W	0.00	9 of 13 - Sale <sup>25</sup>
	25.000	724.98	08/18/20	725.41	0.43 W	0.00	10 of 13 - Sale <sup>25</sup>
	36.000	1,043.97	08/18/20	1,049.79	5.82 W	0.00	11 of 13 - Sale <sup>25</sup>
	5.000	145.00	08/18/20	145.08	0.08 W	0.00	12 of 13 - Sale <sup>25</sup>
	20.000	579.98	08/18/20	580.33	0.35 W	0.00	13 of 13 - Sale <sup>25</sup>
08/18/20	750.000	21,706.00	Various	21,827.47	121.47 W	0.00	Total of 13 transactions
	<b>Security total:</b>	<b>36,914.16</b>		<b>37,112.76</b>	<b>121.47 W</b>	<b>-77.13</b>	

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GROWGENERATION CORP. COMMON STOCK / CUSIP: 39986L109 / Symbol:							
3 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	15.000	177.75	08/13/20	179.40	1.65 W	0.00	1 of 3 - Sale <sup>25</sup>
	85.000	1,007.21	08/13/20	1,016.60	9.39 W	0.00	2 of 3 - Sale <sup>25</sup>
	12.000	142.20	08/13/20	144.84	2.64 W	0.00	3 of 3 - Sale <sup>25</sup>
08/13/20	112.000	1,327.16	Various	1,340.84	13.68 W	0.00	Total of 3 transactions
15 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	3.000	49.38	08/17/20	50.67	1.29 W	0.00	1 of 15 - Sale <sup>25</sup>
	2.000	32.92	08/17/20	33.78	0.86 W	0.00	2 of 15 - Sale <sup>25</sup>
	2.000	32.92	08/17/20	34.44	1.52 W	0.00	3 of 15 - Sale <sup>25</sup>
	3.000	49.38	08/17/20	51.66	2.28 W	0.00	4 of 15 - Sale <sup>25</sup>
	12.000	197.51	08/17/20	204.12	6.61 W	0.00	5 of 15 - Sale <sup>25</sup>
	18.000	296.27	08/17/20	304.21	7.94 W	0.00	6 of 15 - Sale <sup>25</sup>
	45.000	740.68	08/17/20	775.85	35.17 W	0.00	7 of 15 - Sale <sup>25</sup>
	20.000	329.19	08/17/20	343.02	13.83 W	0.00	8 of 15 - Sale <sup>25</sup>
	2.000	32.92	08/17/20	34.92	2.00 W	0.00	9 of 15 - Sale <sup>25</sup>
	3.000	49.38	08/17/20	52.38	3.00 W	0.00	10 of 15 - Sale <sup>25</sup>
	12.000	197.51	08/17/20	207.01	9.50 W	0.00	11 of 15 - Sale <sup>25</sup>
	18.000	296.27	08/17/20	308.54	12.27 W	0.00	12 of 15 - Sale <sup>25</sup>
	45.000	740.68	08/17/20	786.67	45.99 W	0.00	13 of 15 - Sale <sup>25</sup>
	15.000	246.89	08/17/20	260.87	13.98 W	0.00	14 of 15 - Sale <sup>25</sup>
	65.000	1,068.56	08/17/20	1,097.88	29.32 W	0.00	15 of 15 - Sale <sup>25</sup>
08/17/20	265.000	4,360.46	Various	4,546.02	185.56 W	0.00	Total of 15 transactions
7 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	100.000	2,064.94	08/19/20	2,080.00	...	-15.06	1 of 7 - Sale <sup>25</sup>
	100.000	2,064.94	08/19/20	2,080.00	...	-15.06	2 of 7 - Sale <sup>25</sup>
	100.000	2,064.94	08/19/20	2,080.00	...	-15.06	3 of 7 - Sale <sup>25</sup>
	100.000	2,064.94	08/19/20	2,080.00	...	-15.06	4 of 7 - Sale <sup>25</sup>
	100.000	2,064.95	08/19/20	2,080.00	...	-15.05	5 of 7 - Sale <sup>25</sup>
	70.000	1,445.46	08/19/20	1,456.00	...	-10.54	6 of 7 - Sale <sup>25</sup>
	30.000	619.48	08/19/20	624.00	...	-4.52	7 of 7 - Sale <sup>25</sup>
08/19/20	600.000	12,389.65	Various	12,480.00	...	-90.35	Total of 7 transactions

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GROWGENERATION CORP. COMMON STOCK / CUSIP: 39986L109 / Symbol: (cont'd)							
8 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	300.000	6,194.83	08/19/20	6,240.00	22.59 W	-22.58	1 of 8 - Sale <sup>25</sup>
	2.000	41.30	08/19/20	43.60	2.30 W	0.00	2 of 8 - Sale <sup>25</sup>
	3.000	61.95	08/19/20	65.40	3.45 W	0.00	3 of 8 - Sale <sup>25</sup>
	5.000	103.25	08/19/20	107.46	4.21 W	0.00	4 of 8 - Sale <sup>25</sup>
	12.000	247.79	08/19/20	259.10	11.31 W	0.00	5 of 8 - Sale <sup>25</sup>
	15.000	309.74	08/19/20	325.98	16.24 W	0.00	6 of 8 - Sale <sup>25</sup>
	18.000	371.69	08/19/20	386.67	14.98 W	0.00	7 of 8 - Sale <sup>25</sup>
	45.000	929.22	08/19/20	981.99	52.77 W	0.00	8 of 8 - Sale <sup>25</sup>
08/19/20	400.000	8,259.77	Various	8,410.20	127.85 W	-22.58	Total of 8 transactions
9 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	45.000	626.14	08/24/20	682.77	...	-56.63	1 of 9 - Sale <sup>25</sup>
	100.000	1,391.42	08/24/20	1,415.06	...	-23.64	2 of 9 - Sale <sup>25</sup>
	15.000	208.71	08/24/20	226.24	...	-17.53	3 of 9 - Sale <sup>25</sup>
	18.000	250.45	08/24/20	266.98	...	-16.53	4 of 9 - Sale <sup>25</sup>
	12.000	166.97	08/24/20	179.31	...	-12.34	5 of 9 - Sale <sup>25</sup>
	50.000	695.71	08/24/20	707.53	...	-11.82	6 of 9 - Sale <sup>25</sup>
	5.000	69.57	08/24/20	74.21	...	-4.64	7 of 9 - Sale <sup>25</sup>
	3.000	41.74	08/24/20	45.45	...	-3.71	8 of 9 - Sale <sup>25</sup>
	2.000	27.83	08/24/20	30.30	...	-2.47	9 of 9 - Sale <sup>25</sup>
08/24/20	250.000	3,478.54	Various	3,627.85	...	-149.31	Total of 9 transactions
	<b>Security total:</b>	<b>29,815.58</b>		<b>30,404.91</b>	<b>327.09 W</b>	<b>-262.24</b>	
GRUPO FINANCIERO GALICIA S.A. AMERICAN DEPOSITARY SHARES / CUSIP: 399909100 / Symbol:							
05/13/20	10.000	78.20	05/12/20	93.80	...	-15.60	Sale <sup>25</sup>
GRUBHUB INC. / CUSIP: 400110102 / Symbol:							
2 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	1.000	34.80	03/23/20	31.26	...	3.54	1 of 2 - Sale <sup>25</sup>
	19.000	660.23	03/23/20	594.01	...	66.22	2 of 2 - Sale <sup>25</sup>
03/25/20	20.000	695.03	Various	625.27	...	69.76	Total of 2 transactions

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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GUARDION HEALTH SCIENCES, INC. COMMON STOCK / CUSIP: 40145Q203 / Symbol:							
3 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	100.000	44.79	04/07/20	45.50	0.71 W	0.00	1 of 3 - Sale <sup>25</sup>
	18.000	8.06	04/07/20	8.19	0.13 W	0.00	2 of 3 - Sale <sup>25</sup>
	582.000	260.67	04/07/20	264.81	4.14 W	0.00	3 of 3 - Sale <sup>25</sup>
04/08/20	700.000	313.52	Various	318.50	4.98 W	0.00	Total of 3 transactions
3 transactions for 04/15/20. Total proceeds and cost reported to the IRS.							
	90.000	39.27	04/14/20	60.87	...	-21.60	1 of 3 - Sale <sup>25</sup>
	100.000	43.64	04/14/20	56.06	...	-12.42	2 of 3 - Sale <sup>25</sup>
	92.000	40.15	04/14/20	50.92	...	-10.77	3 of 3 - Sale <sup>25</sup>
04/15/20	282.000	123.06	Various	167.85	...	-44.79	Total of 3 transactions
8 transactions for 04/15/20. Total proceeds and cost reported to the IRS.							
	18.000	7.86	04/14/20	12.17	1.92 W	-2.39	1 of 8 - Sale <sup>25</sup>
	90.000	39.23	04/14/20	50.28	11.05 W	0.00	2 of 8 - Sale <sup>25</sup>
	18.000	7.85	04/14/20	10.06	2.21 W	0.00	3 of 8 - Sale <sup>25</sup>
	10.000	4.36	04/14/20	5.59	1.23 W	0.00	4 of 8 - Sale <sup>25</sup>
	90.000	39.23	04/14/20	50.28	11.05 W	0.00	5 of 8 - Sale <sup>25</sup>
	392.000	171.06	04/14/20	219.02	47.96 W	0.00	6 of 8 - Sale <sup>25</sup>
	10.000	4.36	04/14/20	6.76	2.40 W	0.00	7 of 8 - Sale <sup>25</sup>
	90.000	39.28	04/14/20	60.87	21.59 W	0.00	8 of 8 - Sale <sup>25</sup>
04/15/20	718.000	313.23	Various	415.03	99.41 W	-2.39	Total of 8 transactions
4 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	392.000	179.67	05/13/20	247.10	...	-67.43	1 of 4 - Sale <sup>25</sup>
	90.000	41.25	05/13/20	67.31	...	-26.06	2 of 4 - Sale <sup>25</sup>
	10.000	4.58	05/13/20	7.48	...	-2.90	3 of 4 - Sale <sup>25</sup>
	8.000	3.67	05/13/20	5.98	...	-2.31	4 of 4 - Sale <sup>25</sup>
05/14/20	500.000	229.17	Various	327.87	...	-98.70	Total of 4 transactions
	<b>Security total:</b>	<b>978.98</b>		<b>1,229.25</b>	<b>104.39 W</b>	<b>-145.88</b>	
GUESS?, INC. / CUSIP: 401617105 / Symbol:							
2 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	40.000	304.80	03/24/20	332.40	...	-27.60	1 of 2 - Sale <sup>25</sup>
	10.000	77.00	03/24/20	83.10	...	-6.10	2 of 2 - Sale <sup>25</sup>
03/25/20	50.000	381.80	Various	415.50	...	-33.70	Total of 2 transactions

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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GUESS?, INC. / CUSIP: 401617105 / Symbol: (cont'd)							
06/04/20	50.000	564.17	06/03/20	599.31	35.14 W	0.00	Sale <sup>25</sup>
	<i>2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.</i>						
	50.000	515.03	06/15/20	500.92	...	14.11	1 of 2 - Sale <sup>25</sup>
	25.000	257.52	06/15/20	235.94	...	21.58	2 of 2 - Sale <sup>25</sup>
06/16/20	75.000	772.55	Various	736.86	...	35.69	Total of 2 transactions
07/23/20	250.000	2,722.43	07/23/20	2,724.98	0.77 W	-1.78	Sale <sup>25</sup>
07/30/20	75.000	798.35	07/30/20	800.27	...	-1.92	Sale <sup>25</sup>
	<b>Security total:</b>	<b>5,239.30</b>		<b>5,276.92</b>	<b>35.91 W</b>	<b>-1.71</b>	
HSBC HOLDINGS PLC / CUSIP: 404280406 / Symbol:							
06/26/20	25.000	585.55	06/26/20	586.45	...	-0.90	Sale <sup>25</sup>
HAIN CELESTIAL GROUP, INC. (TH E) COMMON STOCK / CUSIP: 405217100 / Symbol:							
08/25/20	250.000	7,674.85	08/25/20	7,689.98	...	-15.13	Sale <sup>25</sup>
HALLIBURTON COMPANY / CUSIP: 406216101 / Symbol:							
	<i>2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.</i>						
	50.000	611.98	06/25/20	621.00	...	-9.02	1 of 2 - Sale <sup>25</sup>
	50.000	611.98	06/25/20	620.41	...	-8.43	2 of 2 - Sale <sup>25</sup>
06/25/20	100.000	1,223.96	Various	1,241.41	...	-17.45	Total of 2 transactions
HARMONY GOLD MINING COMPANY LI MITED / CUSIP: 413216300 / Symbol:							
	<i>2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.</i>						
	50.000	187.49	05/14/20	185.50	...	1.99	1 of 2 - Sale <sup>25</sup>
	100.000	374.97	05/14/20	369.78	...	5.19	2 of 2 - Sale <sup>25</sup>
05/15/20	150.000	562.46	Various	555.28	...	7.18	Total of 2 transactions
05/20/20	100.000	374.00	05/19/20	377.00	...	-3.00	Sale <sup>25</sup>
06/26/20	250.000	899.95	06/25/20	912.50	12.55 W	0.00	Sale <sup>25</sup>
	<i>2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.</i>						
	180.000	709.16	06/29/20	719.57	8.85 W	-1.56	1 of 2 - Sale <sup>25</sup>
	70.000	275.79	06/29/20	279.83	4.04 W	0.00	2 of 2 - Sale <sup>25</sup>
06/29/20	250.000	984.95	Various	999.40	12.89 W	-1.56	Total of 2 transactions

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HARMONY GOLD MINING COMPANY LI MITED / CUSIP: 413216300 / Symbol: (cont'd)							
5 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	100.000	577.98	07/08/20	610.78	...	-32.80	1 of 5 - Sale <sup>25</sup>
	70.000	404.58	07/08/20	430.34	...	-25.76	2 of 5 - Sale <sup>25</sup>
	28.000	161.83	07/08/20	172.14	...	-10.31	3 of 5 - Sale <sup>25</sup>
	23.000	132.93	07/08/20	140.48	...	-7.55	4 of 5 - Sale <sup>25</sup>
	2.000	11.56	07/08/20	12.30	...	-0.74	5 of 5 - Sale <sup>25</sup>
07/09/20	223.000	1,288.88	Various	1,366.04	...	-77.16	Total of 5 transactions
	<b>Security total:</b>	<b>4,110.24</b>		<b>4,210.22</b>	<b>25.44 W</b>	<b>-74.54</b>	

HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol:

81 transactions for 08/21/20. Total proceeds and cost reported to the IRS.

	8.000	125.04	08/21/20	124.99	...	0.05	1 of 81 - Sale <sup>25</sup>
	17.000	265.70	08/21/20	265.63	...	0.07	2 of 81 - Sale <sup>25</sup>
	5.000	78.15	08/21/20	78.07	...	0.08	3 of 81 - Sale <sup>25</sup>
	1.000	15.66	08/21/20	15.56	...	0.10	4 of 81 - Sale <sup>25</sup>
	7.000	109.40	08/21/20	109.30	...	0.10	5 of 81 - Sale <sup>25</sup>
	9.000	140.76	08/21/20	140.61	...	0.15	6 of 81 - Sale <sup>25</sup>
	5.000	72.00	08/21/20	71.75	...	0.25	7 of 81 - Sale <sup>25</sup>
	21.000	328.43	08/21/20	328.11	...	0.32	8 of 81 - Sale <sup>25</sup>
	4.000	63.52	08/21/20	63.16	...	0.36	9 of 81 - Sale <sup>25</sup>
	1.000	16.34	08/21/20	15.90	...	0.44	10 of 81 - Sale <sup>25</sup>
	33.000	515.77	08/21/20	515.30	...	0.47	11 of 81 - Sale <sup>25</sup>
	14.000	201.59	08/21/20	200.90	...	0.69	12 of 81 - Sale <sup>25</sup>
	25.000	390.99	08/21/20	390.12	...	0.87	13 of 81 - Sale <sup>25</sup>
	3.000	46.89	08/21/20	45.99	...	0.90	14 of 81 - Sale <sup>25</sup>
	1.000	15.68	08/21/20	14.71	...	0.97	15 of 81 - Sale <sup>25</sup>
	9.000	140.93	08/21/20	139.76	...	1.17	16 of 81 - Sale <sup>25</sup>
	12.000	190.55	08/21/20	189.31	...	1.24	17 of 81 - Sale <sup>25</sup>
	17.000	269.95	08/21/20	268.27	...	1.68	18 of 81 - Sale <sup>25</sup>
	29.000	417.88	08/21/20	416.15	...	1.73	19 of 81 - Sale <sup>25</sup>
	6.000	93.78	08/21/20	91.99	...	1.79	20 of 81 - Sale <sup>25</sup>
	4.000	62.64	08/21/20	60.78	...	1.86	21 of 81 - Sale <sup>25</sup>
	18.000	285.83	08/21/20	283.94	...	1.89	22 of 81 - Sale <sup>25</sup>
	4.000	62.64	08/21/20	60.74	...	1.90	23 of 81 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
4.000		62.52	08/21/20	60.62	...	1.90	24 of 81 - Sale <sup>25</sup>
19.000		297.53	08/21/20	295.62	...	1.91	25 of 81 - Sale <sup>25</sup>
2.000		31.34	08/21/20	29.42	...	1.92	26 of 81 - Sale <sup>25</sup>
4.000		62.64	08/21/20	60.69	...	1.95	27 of 81 - Sale <sup>25</sup>
9.000		140.67	08/21/20	138.71	...	1.96	28 of 81 - Sale <sup>25</sup>
22.000		349.35	08/21/20	347.28	...	2.07	29 of 81 - Sale <sup>25</sup>
23.000		365.23	08/21/20	363.06	...	2.17	30 of 81 - Sale <sup>25</sup>
25.000		396.99	08/21/20	394.52	...	2.47	31 of 81 - Sale <sup>25</sup>
6.000		93.96	08/21/20	91.15	...	2.81	32 of 81 - Sale <sup>25</sup>
15.000		216.14	08/21/20	213.00	...	3.14	33 of 81 - Sale <sup>25</sup>
4.000		62.92	08/21/20	59.76	...	3.16	34 of 81 - Sale <sup>25</sup>
28.000		444.63	08/21/20	441.00	...	3.63	35 of 81 - Sale <sup>25</sup>
8.000		125.28	08/21/20	121.46	...	3.82	36 of 81 - Sale <sup>25</sup>
10.000		156.60	08/21/20	152.54	...	4.06	37 of 81 - Sale <sup>25</sup>
9.000		140.93	08/21/20	136.66	...	4.27	38 of 81 - Sale <sup>25</sup>
72.000		1,037.49	08/21/20	1,033.20	...	4.29	39 of 81 - Sale <sup>25</sup>
11.000		172.25	08/21/20	167.13	...	5.12	40 of 81 - Sale <sup>25</sup>
93.000		1,340.09	08/21/20	1,334.55	...	5.54	41 of 81 - Sale <sup>25</sup>
7.000		110.11	08/21/20	102.91	...	7.20	42 of 81 - Sale <sup>25</sup>
9.000		141.03	08/21/20	132.58	...	8.45	43 of 81 - Sale <sup>25</sup>
9.000		141.12	08/21/20	132.58	...	8.54	44 of 81 - Sale <sup>25</sup>
9.000		141.03	08/21/20	132.40	...	8.63	45 of 81 - Sale <sup>25</sup>
24.000		375.11	08/21/20	366.05	...	9.06	46 of 81 - Sale <sup>25</sup>
10.000		156.90	08/21/20	147.11	...	9.79	47 of 81 - Sale <sup>25</sup>
21.000		328.22	08/21/20	317.84	...	10.38	48 of 81 - Sale <sup>25</sup>
19.000		298.87	08/21/20	287.30	...	11.57	49 of 81 - Sale <sup>25</sup>
13.000		204.48	08/21/20	191.25	...	13.23	50 of 81 - Sale <sup>25</sup>
281.000		4,046.27	08/21/20	4,032.35	...	13.92	51 of 81 - Sale <sup>25</sup>
35.000		548.08	08/21/20	533.89	...	14.19	52 of 81 - Sale <sup>25</sup>
15.000		235.19	08/21/20	220.96	...	14.23	53 of 81 - Sale <sup>25</sup>
241.000		3,472.70	08/21/20	3,458.35	...	14.35	54 of 81 - Sale <sup>25</sup>
26.000		408.96	08/21/20	393.15	...	15.81	55 of 81 - Sale <sup>25</sup>
50.000		724.48	08/21/20	707.99	...	16.49	56 of 81 - Sale <sup>25</sup>
91.000		1,422.28	08/21/20	1,401.62	...	20.66	57 of 81 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
	55.000	861.27	08/21/20	840.59	...	20.68	58 of 81 - Sale <sup>25</sup>
	100.000	1,440.95	08/21/20	1,420.00	...	20.95	59 of 81 - Sale <sup>25</sup>
	45.000	704.68	08/21/20	682.36	...	22.32	60 of 81 - Sale <sup>25</sup>
	300.000	4,319.87	08/21/20	4,295.97	...	23.90	61 of 81 - Sale <sup>25</sup>
	31.000	487.61	08/21/20	463.16	...	24.45	62 of 81 - Sale <sup>25</sup>
	76.000	1,187.84	08/21/20	1,159.17	...	28.67	63 of 81 - Sale <sup>25</sup>
	400.000	5,759.82	08/21/20	5,727.96	...	31.86	64 of 81 - Sale <sup>25</sup>
	78.000	1,276.04	08/21/20	1,228.50	...	47.54	65 of 81 - Sale <sup>25</sup>
	200.000	2,881.91	08/21/20	2,831.94	...	49.97	66 of 81 - Sale <sup>25</sup>
	250.000	3,602.39	08/21/20	3,539.92	...	62.47	67 of 81 - Sale <sup>25</sup>
	153.000	2,499.96	08/21/20	2,432.70	...	67.26	68 of 81 - Sale <sup>25</sup>
	76.000	1,191.64	08/21/20	1,119.54	...	72.10	69 of 81 - Sale <sup>25</sup>
	100.000	1,572.95	08/21/20	1,494.08	...	78.87	70 of 81 - Sale <sup>25</sup>
	80.000	1,258.36	08/21/20	1,176.87	...	81.49	71 of 81 - Sale <sup>25</sup>
	80.000	1,258.36	08/21/20	1,176.07	...	82.29	72 of 81 - Sale <sup>25</sup>
	89.000	1,394.58	08/21/20	1,311.05	...	83.53	73 of 81 - Sale <sup>25</sup>
	125.000	1,953.68	08/21/20	1,862.50	...	91.18	74 of 81 - Sale <sup>25</sup>
	120.000	1,887.55	08/21/20	1,792.89	...	94.66	75 of 81 - Sale <sup>25</sup>
	224.000	3,660.05	08/21/20	3,561.60	...	98.45	76 of 81 - Sale <sup>25</sup>
	172.000	2,810.41	08/21/20	2,709.00	...	101.41	77 of 81 - Sale <sup>25</sup>
	347.000	5,669.81	08/21/20	5,517.30	...	152.51	78 of 81 - Sale <sup>25</sup>
	375.000	6,127.36	08/21/20	5,962.50	...	164.86	79 of 81 - Sale <sup>25</sup>
	500.000	8,169.76	08/21/20	7,950.00	...	219.76	80 of 81 - Sale <sup>25</sup>
	500.000	8,169.76	08/21/20	7,950.00	...	219.76	81 of 81 - Sale <sup>25</sup>
08/21/20	5,953.000	92,308.12	Various	90,095.41	...	2,212.71	Total of 81 transactions
	966 transactions for 08/21/20. Total proceeds and cost reported to the IRS.						
	271.000	3,892.80	08/21/20	3,983.70	90.90 W	0.00	1 of 966 - Sale <sup>25</sup>
	29.000	414.98	08/21/20	426.30	11.32 W	0.00	2 of 966 - Sale <sup>25</sup>
	271.000	3,877.89	08/21/20	4,074.60	196.71 W	0.00	3 of 966 - Sale <sup>25</sup>
	171.000	2,446.93	08/21/20	2,513.70	66.77 W	0.00	4 of 966 - Sale <sup>25</sup>
	29.000	414.98	08/21/20	426.30	11.32 W	0.00	5 of 966 - Sale <sup>25</sup>
	25.000	359.11	08/21/20	385.65	26.54 W	0.00	6 of 966 - Sale <sup>25</sup>
	29.000	416.57	08/21/20	437.62	21.05 W	0.00	7 of 966 - Sale <sup>25</sup>
	100.000	1,446.45	08/21/20	1,523.59	77.14 W	0.00	8 of 966 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
100.000		1,446.45	08/21/20	1,523.58	77.13 W	0.00	9 of 966 - Sale <sup>25</sup>
3.000		43.41	08/21/20	44.70	1.29 W	0.00	10 of 966 - Sale <sup>25</sup>
46.000		665.60	08/21/20	700.85	35.25 W	0.00	11 of 966 - Sale <sup>25</sup>
51.000		737.94	08/21/20	759.92	21.98 W	0.00	12 of 966 - Sale <sup>25</sup>
100.000		1,443.95	08/21/20	1,490.05	46.10 W	0.00	13 of 966 - Sale <sup>25</sup>
25.000		360.99	08/21/20	389.29	28.30 W	0.00	14 of 966 - Sale <sup>25</sup>
29.000		418.75	08/21/20	432.11	13.36 W	0.00	15 of 966 - Sale <sup>25</sup>
29.000		418.74	08/21/20	441.84	23.10 W	0.00	16 of 966 - Sale <sup>25</sup>
17.000		245.47	08/21/20	253.31	7.84 W	0.00	17 of 966 - Sale <sup>25</sup>
100.000		1,443.95	08/21/20	1,528.14	84.19 W	0.00	18 of 966 - Sale <sup>25</sup>
100.000		1,442.95	08/21/20	1,528.13	85.18 W	0.00	19 of 966 - Sale <sup>25</sup>
3.000		43.29	08/21/20	44.82	1.53 W	0.00	20 of 966 - Sale <sup>25</sup>
46.000		663.76	08/21/20	702.71	38.95 W	0.00	21 of 966 - Sale <sup>25</sup>
51.000		735.90	08/21/20	761.99	26.09 W	0.00	22 of 966 - Sale <sup>25</sup>
100.000		1,442.95	08/21/20	1,497.10	54.15 W	0.00	23 of 966 - Sale <sup>25</sup>
17.000		245.14	08/21/20	254.51	9.37 W	0.00	24 of 966 - Sale <sup>25</sup>
8.000		115.36	08/21/20	119.77	4.41 W	0.00	25 of 966 - Sale <sup>25</sup>
25.000		360.23	08/21/20	391.05	30.82 W	0.00	26 of 966 - Sale <sup>25</sup>
21.000		302.60	08/21/20	314.38	11.78 W	0.00	27 of 966 - Sale <sup>25</sup>
29.000		417.88	08/21/20	443.89	26.01 W	0.00	28 of 966 - Sale <sup>25</sup>
25.000		360.24	08/21/20	383.80	23.56 W	0.00	29 of 966 - Sale <sup>25</sup>
75.000		1,079.21	08/21/20	1,151.39	72.18 W	0.00	30 of 966 - Sale <sup>25</sup>
25.000		359.74	08/21/20	384.05	24.31 W	0.00	31 of 966 - Sale <sup>25</sup>
30.000		430.80	08/21/20	460.85	30.05 W	0.00	32 of 966 - Sale <sup>25</sup>
3.000		43.08	08/21/20	45.06	1.98 W	0.00	33 of 966 - Sale <sup>25</sup>
46.000		660.53	08/21/20	706.41	45.88 W	0.00	34 of 966 - Sale <sup>25</sup>
6.000		86.16	08/21/20	90.13	3.97 W	0.00	35 of 966 - Sale <sup>25</sup>
45.000		646.18	08/21/20	691.28	45.10 W	0.00	36 of 966 - Sale <sup>25</sup>
8.000		114.64	08/21/20	120.49	5.85 W	0.00	37 of 966 - Sale <sup>25</sup>
17.000		243.60	08/21/20	256.04	12.44 W	0.00	38 of 966 - Sale <sup>25</sup>
21.000		300.92	08/21/20	316.49	15.57 W	0.00	39 of 966 - Sale <sup>25</sup>
25.000		358.24	08/21/20	393.57	35.33 W	0.00	40 of 966 - Sale <sup>25</sup>
29.000		415.56	08/21/20	446.80	31.24 W	0.00	41 of 966 - Sale <sup>25</sup>
45.000		644.83	08/21/20	675.97	31.14 W	0.00	42 of 966 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
100.000		1,432.97	08/21/20	1,505.15	72.18 W	0.00	43 of 966 - Sale <sup>25</sup>
3.000		42.99	08/21/20	45.51	2.52 W	0.00	44 of 966 - Sale <sup>25</sup>
6.000		85.98	08/21/20	91.03	5.05 W	0.00	45 of 966 - Sale <sup>25</sup>
25.000		358.24	08/21/20	386.31	28.07 W	0.00	46 of 966 - Sale <sup>25</sup>
25.000		358.24	08/21/20	387.06	28.82 W	0.00	47 of 966 - Sale <sup>25</sup>
30.000		429.89	08/21/20	465.35	35.46 W	0.00	48 of 966 - Sale <sup>25</sup>
45.000		644.83	08/21/20	698.05	53.22 W	0.00	49 of 966 - Sale <sup>25</sup>
46.000		659.17	08/21/20	713.34	54.17 W	0.00	50 of 966 - Sale <sup>25</sup>
75.000		1,074.73	08/21/20	1,160.43	85.70 W	0.00	51 of 966 - Sale <sup>25</sup>
245.000		3,510.76	08/21/20	3,554.95	44.19 W	0.00	52 of 966 - Sale <sup>25</sup>
45.000		631.78	08/21/20	679.14	47.36 W	0.00	53 of 966 - Sale <sup>25</sup>
55.000		772.17	08/21/20	831.70	59.53 W	0.00	54 of 966 - Sale <sup>25</sup>
6.000		84.24	08/21/20	90.85	6.61 W	0.00	55 of 966 - Sale <sup>25</sup>
8.000		112.32	08/21/20	121.05	8.73 W	0.00	56 of 966 - Sale <sup>25</sup>
17.000		238.67	08/21/20	257.24	18.57 W	0.00	57 of 966 - Sale <sup>25</sup>
45.000		631.78	08/21/20	680.48	48.70 W	0.00	58 of 966 - Sale <sup>25</sup>
3.000		42.12	08/21/20	45.72	3.60 W	0.00	59 of 966 - Sale <sup>25</sup>
6.000		84.24	08/21/20	91.45	7.21 W	0.00	60 of 966 - Sale <sup>25</sup>
15.000		210.59	08/21/20	227.12	16.53 W	0.00	61 of 966 - Sale <sup>25</sup>
25.000		350.99	08/21/20	395.33	44.34 W	0.00	62 of 966 - Sale <sup>25</sup>
25.000		350.99	08/21/20	388.07	37.08 W	0.00	63 of 966 - Sale <sup>25</sup>
25.000		350.99	08/21/20	388.82	37.83 W	0.00	64 of 966 - Sale <sup>25</sup>
29.000		407.15	08/21/20	448.84	41.69 W	0.00	65 of 966 - Sale <sup>25</sup>
30.000		421.19	08/21/20	467.46	46.27 W	0.00	66 of 966 - Sale <sup>25</sup>
45.000		631.78	08/21/20	701.22	69.44 W	0.00	67 of 966 - Sale <sup>25</sup>
45.000		631.78	08/21/20	695.36	63.58 W	0.00	68 of 966 - Sale <sup>25</sup>
46.000		645.82	08/21/20	716.57	70.75 W	0.00	69 of 966 - Sale <sup>25</sup>
55.000		772.18	08/21/20	851.53	79.35 W	0.00	70 of 966 - Sale <sup>25</sup>
75.000		1,052.97	08/21/20	1,165.70	112.73 W	0.00	71 of 966 - Sale <sup>25</sup>
245.000		3,439.69	08/21/20	3,572.19	132.50 W	0.00	72 of 966 - Sale <sup>25</sup>
31.000		435.23	08/21/20	446.40	11.17 W	0.00	73 of 966 - Sale <sup>25</sup>
600.000		8,423.72	08/21/20	8,640.00	216.28 W	0.00	74 of 966 - Sale <sup>25</sup>
24.000		336.95	08/21/20	345.60	8.65 W	0.00	75 of 966 - Sale <sup>25</sup>
3.000		42.00	08/21/20	46.80	4.80 W	0.00	76 of 966 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
	6.000	84.00	08/21/20	93.01	9.01 W	0.00	77 of 966 - Sale <sup>25</sup>
	6.000	84.00	08/21/20	93.61	9.61 W	0.00	78 of 966 - Sale <sup>25</sup>
	8.000	112.00	08/21/20	123.93	11.93 W	0.00	79 of 966 - Sale <sup>25</sup>
	15.000	209.99	08/21/20	232.53	22.54 W	0.00	80 of 966 - Sale <sup>25</sup>
	17.000	237.99	08/21/20	263.37	25.38 W	0.00	81 of 966 - Sale <sup>25</sup>
	25.000	349.99	08/21/20	404.34	54.35 W	0.00	82 of 966 - Sale <sup>25</sup>
	25.000	349.99	08/21/20	397.08	47.09 W	0.00	83 of 966 - Sale <sup>25</sup>
	25.000	349.99	08/21/20	397.83	47.84 W	0.00	84 of 966 - Sale <sup>25</sup>
	29.000	405.99	08/21/20	459.29	53.30 W	0.00	85 of 966 - Sale <sup>25</sup>
	30.000	419.99	08/21/20	478.27	58.28 W	0.00	86 of 966 - Sale <sup>25</sup>
	45.000	629.98	08/21/20	696.70	66.72 W	0.00	87 of 966 - Sale <sup>25</sup>
	45.000	629.98	08/21/20	717.44	87.46 W	0.00	88 of 966 - Sale <sup>25</sup>
	46.000	643.98	08/21/20	733.15	89.17 W	0.00	89 of 966 - Sale <sup>25</sup>
	75.000	1,049.97	08/21/20	1,192.73	142.76 W	0.00	90 of 966 - Sale <sup>25</sup>
	100.000	1,399.94	08/21/20	1,494.08	94.14 W	0.00	91 of 966 - Sale <sup>25</sup>
	76.000	1,069.29	08/21/20	1,135.50	66.21 W	0.00	92 of 966 - Sale <sup>25</sup>
	31.000	436.16	08/21/20	457.57	21.41 W	0.00	93 of 966 - Sale <sup>25</sup>
	45.000	633.13	08/21/20	711.58	78.45 W	0.00	94 of 966 - Sale <sup>25</sup>
	55.000	773.83	08/21/20	871.35	97.52 W	0.00	95 of 966 - Sale <sup>25</sup>
	69.000	970.81	08/21/20	1,030.92	60.11 W	0.00	96 of 966 - Sale <sup>25</sup>
	224.000	3,151.61	08/21/20	3,306.34	154.73 W	0.00	97 of 966 - Sale <sup>25</sup>
	87.000	1,237.97	08/21/20	1,277.20	39.23 W	0.00	98 of 966 - Sale <sup>25</sup>
	13.000	184.98	08/21/20	190.98	6.00 W	0.00	99 of 966 - Sale <sup>25</sup>
	100.000	1,422.96	08/21/20	1,469.05	46.09 W	0.00	100 of 966 - Sale <sup>25</sup>
	2.000	28.46	08/21/20	29.38	0.92 W	0.00	101 of 966 - Sale <sup>25</sup>
	98.000	1,394.50	08/21/20	1,441.63	47.13 W	0.00	102 of 966 - Sale <sup>25</sup>
	24.000	341.51	08/21/20	353.05	11.54 W	0.00	103 of 966 - Sale <sup>25</sup>
	76.000	1,081.45	08/21/20	1,117.99	36.54 W	0.00	104 of 966 - Sale <sup>25</sup>
	4.000	56.92	08/21/20	63.33	6.41 W	0.00	105 of 966 - Sale <sup>25</sup>
	45.000	640.33	08/21/20	712.02	71.69 W	0.00	106 of 966 - Sale <sup>25</sup>
	6.000	85.38	08/21/20	95.11	9.73 W	0.00	107 of 966 - Sale <sup>25</sup>
	8.000	113.84	08/21/20	126.73	12.89 W	0.00	108 of 966 - Sale <sup>25</sup>
	9.000	128.06	08/21/20	145.69	17.63 W	0.00	109 of 966 - Sale <sup>25</sup>
	13.000	184.98	08/21/20	205.96	20.98 W	0.00	110 of 966 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
15.000		213.44	08/21/20	237.79	24.35 W	0.00	111 of 966 - Sale <sup>25</sup>
20.000		283.99	08/21/20	323.76	39.77 W	0.00	112 of 966 - Sale <sup>25</sup>
25.000		354.99	08/21/20	413.10	58.11 W	0.00	113 of 966 - Sale <sup>25</sup>
25.000		354.99	08/21/20	405.84	50.85 W	0.00	114 of 966 - Sale <sup>25</sup>
30.000		425.99	08/21/20	487.60	61.61 W	0.00	115 of 966 - Sale <sup>25</sup>
25.000		354.99	08/21/20	406.34	51.35 W	0.00	116 of 966 - Sale <sup>25</sup>
30.000		425.99	08/21/20	488.48	62.49 W	0.00	117 of 966 - Sale <sup>25</sup>
45.000		638.98	08/21/20	730.96	91.98 W	0.00	118 of 966 - Sale <sup>25</sup>
3.000		42.60	08/21/20	47.85	5.25 W	0.00	119 of 966 - Sale <sup>25</sup>
6.000		85.20	08/21/20	95.71	10.51 W	0.00	120 of 966 - Sale <sup>25</sup>
24.000		340.79	08/21/20	365.31	24.52 W	0.00	121 of 966 - Sale <sup>25</sup>
45.000		638.98	08/21/20	733.21	94.23 W	0.00	122 of 966 - Sale <sup>25</sup>
46.000		653.18	08/21/20	749.27	96.09 W	0.00	123 of 966 - Sale <sup>25</sup>
76.000		1,079.16	08/21/20	1,156.81	77.65 W	0.00	124 of 966 - Sale <sup>25</sup>
100.000		1,419.95	08/21/20	1,529.14	109.19 W	0.00	125 of 966 - Sale <sup>25</sup>
35.000		550.53	08/21/20	559.77	9.24 W	0.00	126 of 966 - Sale <sup>25</sup>
10.000		157.29	08/21/20	159.93	2.64 W	0.00	127 of 966 - Sale <sup>25</sup>
55.000		865.13	08/21/20	881.27	16.14 W	0.00	128 of 966 - Sale <sup>25</sup>
4.000		62.68	08/21/20	63.41	0.73 W	0.00	129 of 966 - Sale <sup>25</sup>
6.000		94.02	08/21/20	95.23	1.21 W	0.00	130 of 966 - Sale <sup>25</sup>
8.000		125.35	08/21/20	126.89	1.54 W	0.00	131 of 966 - Sale <sup>25</sup>
13.000		203.70	08/21/20	206.23	2.53 W	0.00	132 of 966 - Sale <sup>25</sup>
15.000		235.04	08/21/20	238.10	3.06 W	0.00	133 of 966 - Sale <sup>25</sup>
45.000		705.13	08/21/20	712.94	7.81 W	0.00	134 of 966 - Sale <sup>25</sup>
9.000		141.03	08/21/20	145.88	4.85 W	0.00	135 of 966 - Sale <sup>25</sup>
20.000		313.39	08/21/20	324.77	11.38 W	0.00	136 of 966 - Sale <sup>25</sup>
25.000		391.74	08/21/20	414.36	22.62 W	0.00	137 of 966 - Sale <sup>25</sup>
25.000		391.73	08/21/20	407.10	15.37 W	0.00	138 of 966 - Sale <sup>25</sup>
21.000		329.06	08/21/20	342.38	13.32 W	0.00	139 of 966 - Sale <sup>25</sup>
9.000		141.03	08/21/20	146.73	5.70 W	0.00	140 of 966 - Sale <sup>25</sup>
5.000		78.35	08/21/20	85.17	6.82 W	0.00	141 of 966 - Sale <sup>25</sup>
33.000		517.09	08/21/20	562.12	45.03 W	0.00	142 of 966 - Sale <sup>25</sup>
7.000		109.69	08/21/20	119.24	9.55 W	0.00	143 of 966 - Sale <sup>25</sup>
17.000		266.38	08/21/20	289.75	23.37 W	0.00	144 of 966 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
	8.000	125.36	08/21/20	136.35	10.99 W	0.00	145 of 966 - Sale <sup>25</sup>
	18.000	282.05	08/21/20	307.31	25.26 W	0.00	146 of 966 - Sale <sup>25</sup>
	12.000	188.03	08/21/20	204.88	16.85 W	0.00	147 of 966 - Sale <sup>25</sup>
	23.000	360.40	08/21/20	392.93	32.53 W	0.00	148 of 966 - Sale <sup>25</sup>
	3.000	47.01	08/21/20	50.22	3.21 W	0.00	149 of 966 - Sale <sup>25</sup>
	6.000	94.02	08/21/20	100.45	6.43 W	0.00	150 of 966 - Sale <sup>25</sup>
	22.000	344.73	08/21/20	375.85	31.12 W	0.00	151 of 966 - Sale <sup>25</sup>
	46.000	720.79	08/21/20	785.63	64.84 W	0.00	152 of 966 - Sale <sup>25</sup>
	91.000	1,425.92	08/21/20	1,463.45	37.53 W	0.00	153 of 966 - Sale <sup>25</sup>
	24.000	375.83	08/21/20	384.28	8.45 W	0.00	154 of 966 - Sale <sup>25</sup>
	76.000	1,190.12	08/21/20	1,216.89	26.77 W	0.00	155 of 966 - Sale <sup>25</sup>
	9.000	140.94	08/21/20	144.74	3.80 W	0.00	156 of 966 - Sale <sup>25</sup>
	21.000	328.85	08/21/20	333.79	4.94 W	0.00	157 of 966 - Sale <sup>25</sup>
	5.000	78.20	08/21/20	81.77	3.57 W	0.00	158 of 966 - Sale <sup>25</sup>
	7.000	109.48	08/21/20	114.48	5.00 W	0.00	159 of 966 - Sale <sup>25</sup>
	8.000	125.12	08/21/20	130.91	5.79 W	0.00	160 of 966 - Sale <sup>25</sup>
	12.000	187.67	08/21/20	196.73	9.06 W	0.00	161 of 966 - Sale <sup>25</sup>
	17.000	265.87	08/21/20	278.20	12.33 W	0.00	162 of 966 - Sale <sup>25</sup>
	18.000	281.51	08/21/20	295.08	13.57 W	0.00	163 of 966 - Sale <sup>25</sup>
	22.000	344.07	08/21/20	360.90	16.83 W	0.00	164 of 966 - Sale <sup>25</sup>
	23.000	359.71	08/21/20	377.30	17.59 W	0.00	165 of 966 - Sale <sup>25</sup>
	4.000	62.56	08/21/20	63.58	1.02 W	0.00	166 of 966 - Sale <sup>25</sup>
	33.000	516.10	08/21/20	539.70	23.60 W	0.00	167 of 966 - Sale <sup>25</sup>
	42.000	656.85	08/21/20	688.78	31.93 W	0.00	168 of 966 - Sale <sup>25</sup>
	3.000	46.89	08/21/20	48.18	1.29 W	0.00	169 of 966 - Sale <sup>25</sup>
	6.000	93.78	08/21/20	96.37	2.59 W	0.00	170 of 966 - Sale <sup>25</sup>
	4.000	62.52	08/21/20	65.60	3.08 W	0.00	171 of 966 - Sale <sup>25</sup>
	4.000	62.52	08/21/20	62.68	0.16 W	0.00	172 of 966 - Sale <sup>25</sup>
	12.000	187.55	08/21/20	187.86	0.31 W	0.00	173 of 966 - Sale <sup>25</sup>
	18.000	281.33	08/21/20	281.77	0.44 W	0.00	174 of 966 - Sale <sup>25</sup>
	22.000	343.85	08/21/20	344.63	0.78 W	0.00	175 of 966 - Sale <sup>25</sup>
	23.000	359.48	08/21/20	360.29	0.81 W	0.00	176 of 966 - Sale <sup>25</sup>
	42.000	656.44	08/21/20	657.73	1.29 W	0.00	177 of 966 - Sale <sup>25</sup>
	17.000	263.49	08/21/20	290.71	27.22 W	0.00	178 of 966 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
33.000		511.49	08/21/20	562.67	51.18 W	0.00	179 of 966 - Sale <sup>25</sup>
150.000		2,324.93	08/21/20	2,557.57	232.64 W	0.00	180 of 966 - Sale <sup>25</sup>
27.000		418.49	08/21/20	438.75	20.26 W	0.00	181 of 966 - Sale <sup>25</sup>
27.000		418.49	08/21/20	438.75	20.26 W	0.00	182 of 966 - Sale <sup>25</sup>
646.000		10,012.70	08/21/20	10,529.80	517.10 W	0.00	183 of 966 - Sale <sup>25</sup>
24.000		372.00	08/21/20	409.21	37.21 W	0.00	184 of 966 - Sale <sup>25</sup>
3.000		46.50	08/21/20	51.15	4.65 W	0.00	185 of 966 - Sale <sup>25</sup>
27.000		418.49	08/21/20	460.36	41.87 W	0.00	186 of 966 - Sale <sup>25</sup>
104.000		1,611.94	08/21/20	1,695.20	83.26 W	0.00	187 of 966 - Sale <sup>25</sup>
9.000		139.50	08/21/20	153.90	14.40 W	0.00	188 of 966 - Sale <sup>25</sup>
17.000		263.50	08/21/20	290.71	27.21 W	0.00	189 of 966 - Sale <sup>25</sup>
13.000		201.50	08/21/20	222.31	20.81 W	0.00	190 of 966 - Sale <sup>25</sup>
11.000		170.50	08/21/20	188.10	17.60 W	0.00	191 of 966 - Sale <sup>25</sup>
3.000		46.50	08/21/20	51.30	4.80 W	0.00	192 of 966 - Sale <sup>25</sup>
9.000		139.50	08/21/20	153.91	14.41 W	0.00	193 of 966 - Sale <sup>25</sup>
3.000		46.50	08/21/20	51.30	4.80 W	0.00	194 of 966 - Sale <sup>25</sup>
7.000		108.50	08/21/20	119.70	11.20 W	0.00	195 of 966 - Sale <sup>25</sup>
14.000		217.00	08/21/20	239.41	22.41 W	0.00	196 of 966 - Sale <sup>25</sup>
30.000		465.00	08/21/20	513.01	48.01 W	0.00	197 of 966 - Sale <sup>25</sup>
36.000		557.98	08/21/20	615.62	57.64 W	0.00	198 of 966 - Sale <sup>25</sup>
27.000		418.50	08/21/20	461.71	43.21 W	0.00	199 of 966 - Sale <sup>25</sup>
150.000		2,324.93	08/21/20	2,557.57	232.64 W	0.00	200 of 966 - Sale <sup>25</sup>
29.000		449.49	08/21/20	494.46	44.97 W	0.00	201 of 966 - Sale <sup>25</sup>
3.000		46.50	08/21/20	53.40	6.90 W	0.00	202 of 966 - Sale <sup>25</sup>
23.000		356.49	08/21/20	409.42	52.93 W	0.00	203 of 966 - Sale <sup>25</sup>
24.000		371.99	08/21/20	427.21	55.22 W	0.00	204 of 966 - Sale <sup>25</sup>
88.000		1,363.96	08/21/20	1,500.44	136.48 W	0.00	205 of 966 - Sale <sup>25</sup>
4.000		62.00	08/21/20	71.20	9.20 W	0.00	206 of 966 - Sale <sup>25</sup>
96.000		1,487.95	08/21/20	1,636.86	148.91 W	0.00	207 of 966 - Sale <sup>25</sup>
3.000		46.50	08/21/20	53.55	7.05 W	0.00	208 of 966 - Sale <sup>25</sup>
3.000		46.50	08/21/20	53.55	7.05 W	0.00	209 of 966 - Sale <sup>25</sup>
7.000		108.50	08/21/20	124.95	16.45 W	0.00	210 of 966 - Sale <sup>25</sup>
8.000		124.00	08/21/20	136.40	12.40 W	0.00	211 of 966 - Sale <sup>25</sup>
9.000		139.50	08/21/20	160.65	21.15 W	0.00	212 of 966 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
9.000		139.50	08/21/20	160.66	21.16 W	0.00	213 of 966 - Sale <sup>25</sup>
11.000		170.49	08/21/20	196.35	25.86 W	0.00	214 of 966 - Sale <sup>25</sup>
13.000		201.49	08/21/20	232.06	30.57 W	0.00	215 of 966 - Sale <sup>25</sup>
14.000		216.99	08/21/20	249.91	32.92 W	0.00	216 of 966 - Sale <sup>25</sup>
17.000		263.49	08/21/20	303.46	39.97 W	0.00	217 of 966 - Sale <sup>25</sup>
17.000		263.49	08/21/20	303.47	39.98 W	0.00	218 of 966 - Sale <sup>25</sup>
27.000		418.49	08/21/20	481.96	63.47 W	0.00	219 of 966 - Sale <sup>25</sup>
30.000		464.98	08/21/20	535.51	70.53 W	0.00	220 of 966 - Sale <sup>25</sup>
1.000		15.50	08/21/20	17.80	2.30 W	0.00	221 of 966 - Sale <sup>25</sup>
36.000		557.98	08/21/20	642.64	84.66 W	0.00	222 of 966 - Sale <sup>25</sup>
1.000		15.50	08/21/20	17.80	2.30 W	0.00	223 of 966 - Sale <sup>25</sup>
1.000		15.50	08/21/20	17.80	2.30 W	0.00	224 of 966 - Sale <sup>25</sup>
31.000		480.48	08/21/20	551.83	71.35 W	0.00	225 of 966 - Sale <sup>25</sup>
8.000		124.00	08/21/20	142.41	18.41 W	0.00	226 of 966 - Sale <sup>25</sup>
27.000		418.50	08/21/20	480.62	62.12 W	0.00	227 of 966 - Sale <sup>25</sup>
3.000		46.50	08/21/20	53.40	6.90 W	0.00	228 of 966 - Sale <sup>25</sup>
70.000		1,084.97	08/21/20	1,246.07	161.10 W	0.00	229 of 966 - Sale <sup>25</sup>
9.000		139.50	08/21/20	159.76	20.26 W	0.00	230 of 966 - Sale <sup>25</sup>
41.000		635.48	08/21/20	727.79	92.31 W	0.00	231 of 966 - Sale <sup>25</sup>
150.000		2,324.93	08/21/20	2,662.64	337.71 W	0.00	232 of 966 - Sale <sup>25</sup>
20.000		309.99	08/21/20	355.01	45.02 W	0.00	233 of 966 - Sale <sup>25</sup>
80.000		1,239.96	08/21/20	1,420.07	180.11 W	0.00	234 of 966 - Sale <sup>25</sup>
3.000		46.50	08/21/20	55.50	9.00 W	0.00	235 of 966 - Sale <sup>25</sup>
4.000		62.00	08/21/20	74.00	12.00 W	0.00	236 of 966 - Sale <sup>25</sup>
8.000		124.00	08/21/20	142.01	18.01 W	0.00	237 of 966 - Sale <sup>25</sup>
23.000		356.49	08/21/20	425.53	69.04 W	0.00	238 of 966 - Sale <sup>25</sup>
24.000		371.99	08/21/20	444.02	72.03 W	0.00	239 of 966 - Sale <sup>25</sup>
38.000		588.98	08/21/20	674.54	85.56 W	0.00	240 of 966 - Sale <sup>25</sup>
8.000		124.00	08/21/20	142.00	18.00 W	0.00	241 of 966 - Sale <sup>25</sup>
8.000		124.00	08/21/20	148.41	24.41 W	0.00	242 of 966 - Sale <sup>25</sup>
9.000		139.50	08/21/20	166.95	27.45 W	0.00	243 of 966 - Sale <sup>25</sup>
17.000		263.49	08/21/20	315.37	51.88 W	0.00	244 of 966 - Sale <sup>25</sup>
58.000		898.97	08/21/20	1,029.57	130.60 W	0.00	245 of 966 - Sale <sup>25</sup>
3.000		46.50	08/21/20	55.20	8.70 W	0.00	246 of 966 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
	3.000	46.50	08/21/20	55.20	8.70 W	0.00	247 of 966 - Sale <sup>25</sup>
	5.000	77.50	08/21/20	92.01	14.51 W	0.00	248 of 966 - Sale <sup>25</sup>
	7.000	108.50	08/21/20	128.80	20.30 W	0.00	249 of 966 - Sale <sup>25</sup>
	9.000	139.49	08/21/20	165.61	26.12 W	0.00	250 of 966 - Sale <sup>25</sup>
	11.000	170.49	08/21/20	202.41	31.92 W	0.00	251 of 966 - Sale <sup>25</sup>
	14.000	216.99	08/21/20	257.62	40.63 W	0.00	252 of 966 - Sale <sup>25</sup>
	18.000	278.99	08/21/20	331.23	52.24 W	0.00	253 of 966 - Sale <sup>25</sup>
	30.000	464.98	08/21/20	552.03	87.05 W	0.00	254 of 966 - Sale <sup>25</sup>
	1.000	15.25	08/21/20	17.85	2.60 W	0.00	255 of 966 - Sale <sup>25</sup>
	1.000	15.25	08/21/20	17.85	2.60 W	0.00	256 of 966 - Sale <sup>25</sup>
	9.000	137.25	08/21/20	160.66	23.41 W	0.00	257 of 966 - Sale <sup>25</sup>
	17.000	259.24	08/21/20	304.33	45.09 W	0.00	258 of 966 - Sale <sup>25</sup>
	18.000	274.49	08/21/20	322.23	47.74 W	0.00	259 of 966 - Sale <sup>25</sup>
	27.000	411.74	08/21/20	483.32	71.58 W	0.00	260 of 966 - Sale <sup>25</sup>
	1.000	15.25	08/21/20	17.85	2.60 W	0.00	261 of 966 - Sale <sup>25</sup>
	3.000	45.75	08/21/20	53.55	7.80 W	0.00	262 of 966 - Sale <sup>25</sup>
	8.000	122.00	08/21/20	142.81	20.81 W	0.00	263 of 966 - Sale <sup>25</sup>
	22.000	335.49	08/21/20	392.74	57.25 W	0.00	264 of 966 - Sale <sup>25</sup>
	27.000	411.74	08/21/20	481.97	70.23 W	0.00	265 of 966 - Sale <sup>25</sup>
	39.000	594.73	08/21/20	696.21	101.48 W	0.00	266 of 966 - Sale <sup>25</sup>
	31.000	472.73	08/21/20	553.39	80.66 W	0.00	267 of 966 - Sale <sup>25</sup>
	41.000	625.23	08/21/20	729.86	104.63 W	0.00	268 of 966 - Sale <sup>25</sup>
	42.000	640.48	08/21/20	747.66	107.18 W	0.00	269 of 966 - Sale <sup>25</sup>
	13.000	198.24	08/21/20	231.42	33.18 W	0.00	270 of 966 - Sale <sup>25</sup>
	73.000	1,113.22	08/21/20	1,299.50	186.28 W	0.00	271 of 966 - Sale <sup>25</sup>
	9.000	137.25	08/21/20	160.21	22.96 W	0.00	272 of 966 - Sale <sup>25</sup>
	20.000	304.99	08/21/20	356.02	51.03 W	0.00	273 of 966 - Sale <sup>25</sup>
	22.000	335.49	08/21/20	391.63	56.14 W	0.00	274 of 966 - Sale <sup>25</sup>
	22.000	335.49	08/21/20	391.63	56.14 W	0.00	275 of 966 - Sale <sup>25</sup>
	3.000	45.75	08/21/20	55.65	9.90 W	0.00	276 of 966 - Sale <sup>25</sup>
	7.000	106.75	08/21/20	129.86	23.11 W	0.00	277 of 966 - Sale <sup>25</sup>
	8.000	122.00	08/21/20	142.41	20.41 W	0.00	278 of 966 - Sale <sup>25</sup>
	24.000	365.99	08/21/20	445.23	79.24 W	0.00	279 of 966 - Sale <sup>25</sup>
	58.000	884.47	08/21/20	1,032.48	148.01 W	0.00	280 of 966 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
	4.000	61.00	08/21/20	74.20	13.20 W	0.00	281 of 966 - Sale <sup>25</sup>
	16.000	243.99	08/21/20	296.83	52.84 W	0.00	282 of 966 - Sale <sup>25</sup>
	8.000	121.99	08/21/20	142.41	20.42 W	0.00	283 of 966 - Sale <sup>25</sup>
	30.000	457.49	08/21/20	534.05	76.56 W	0.00	284 of 966 - Sale <sup>25</sup>
	42.000	640.48	08/21/20	747.67	107.19 W	0.00	285 of 966 - Sale <sup>25</sup>
	2.000	30.50	08/21/20	35.60	5.10 W	0.00	286 of 966 - Sale <sup>25</sup>
	3.000	45.75	08/21/20	55.35	9.60 W	0.00	287 of 966 - Sale <sup>25</sup>
	3.000	45.75	08/21/20	55.35	9.60 W	0.00	288 of 966 - Sale <sup>25</sup>
	5.000	76.25	08/21/20	92.26	16.01 W	0.00	289 of 966 - Sale <sup>25</sup>
	7.000	106.75	08/21/20	129.15	22.40 W	0.00	290 of 966 - Sale <sup>25</sup>
	8.000	122.00	08/21/20	142.40	20.40 W	0.00	291 of 966 - Sale <sup>25</sup>
	8.000	122.00	08/21/20	148.81	26.81 W	0.00	292 of 966 - Sale <sup>25</sup>
	9.000	137.25	08/21/20	167.40	30.15 W	0.00	293 of 966 - Sale <sup>25</sup>
	9.000	137.25	08/21/20	166.07	28.82 W	0.00	294 of 966 - Sale <sup>25</sup>
	11.000	167.74	08/21/20	202.97	35.23 W	0.00	295 of 966 - Sale <sup>25</sup>
	14.000	213.49	08/21/20	249.23	35.74 W	0.00	296 of 966 - Sale <sup>25</sup>
	14.000	213.49	08/21/20	258.33	44.84 W	0.00	297 of 966 - Sale <sup>25</sup>
	17.000	259.24	08/21/20	316.23	56.99 W	0.00	298 of 966 - Sale <sup>25</sup>
	19.000	289.74	08/21/20	350.58	60.84 W	0.00	299 of 966 - Sale <sup>25</sup>
	4.000	61.00	08/21/20	72.80	11.80 W	0.00	300 of 966 - Sale <sup>25</sup>
	11.000	167.74	08/21/20	202.97	35.23 W	0.00	301 of 966 - Sale <sup>25</sup>
	18.000	274.49	08/21/20	332.14	57.65 W	0.00	302 of 966 - Sale <sup>25</sup>
	18.000	274.49	08/21/20	327.64	53.15 W	0.00	303 of 966 - Sale <sup>25</sup>
	12.000	182.99	08/21/20	218.43	35.44 W	0.00	304 of 966 - Sale <sup>25</sup>
	23.000	350.74	08/21/20	418.63	67.89 W	0.00	305 of 966 - Sale <sup>25</sup>
	1.000	15.25	08/21/20	18.15	2.90 W	0.00	306 of 966 - Sale <sup>25</sup>
	1.000	15.25	08/21/20	18.15	2.90 W	0.00	307 of 966 - Sale <sup>25</sup>
	3.000	45.75	08/21/20	54.46	8.71 W	0.00	308 of 966 - Sale <sup>25</sup>
	9.000	137.25	08/21/20	163.36	26.11 W	0.00	309 of 966 - Sale <sup>25</sup>
	5.000	76.25	08/21/20	91.01	14.76 W	0.00	310 of 966 - Sale <sup>25</sup>
	1.000	15.25	08/21/20	18.15	2.90 W	0.00	311 of 966 - Sale <sup>25</sup>
	1.000	15.25	08/21/20	18.15	2.90 W	0.00	312 of 966 - Sale <sup>25</sup>
	18.000	274.49	08/21/20	326.74	52.25 W	0.00	313 of 966 - Sale <sup>25</sup>
	3.000	45.75	08/21/20	54.45	8.70 W	0.00	314 of 966 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
	8.000	122.00	08/21/20	145.21	23.21 W	0.00	315 of 966 - Sale <sup>25</sup>
	27.000	411.74	08/21/20	490.08	78.34 W	0.00	316 of 966 - Sale <sup>25</sup>
	35.000	533.73	08/21/20	635.32	101.59 W	0.00	317 of 966 - Sale <sup>25</sup>
	4.000	61.00	08/21/20	72.61	11.61 W	0.00	318 of 966 - Sale <sup>25</sup>
	23.000	350.74	08/21/20	417.49	66.75 W	0.00	319 of 966 - Sale <sup>25</sup>
	1.000	15.25	08/21/20	18.05	2.80 W	0.00	320 of 966 - Sale <sup>25</sup>
	8.000	122.00	08/21/20	144.82	22.82 W	0.00	321 of 966 - Sale <sup>25</sup>
	41.000	625.23	08/21/20	740.13	114.90 W	0.00	322 of 966 - Sale <sup>25</sup>
	13.000	198.24	08/21/20	234.68	36.44 W	0.00	323 of 966 - Sale <sup>25</sup>
	4.000	61.00	08/21/20	72.21	11.21 W	0.00	324 of 966 - Sale <sup>25</sup>
	41.000	625.22	08/21/20	740.13	114.91 W	0.00	325 of 966 - Sale <sup>25</sup>
	73.000	1,113.21	08/21/20	1,317.78	204.57 W	0.00	326 of 966 - Sale <sup>25</sup>
	13.000	198.25	08/21/20	234.67	36.42 W	0.00	327 of 966 - Sale <sup>25</sup>
	2.000	30.50	08/21/20	36.10	5.60 W	0.00	328 of 966 - Sale <sup>25</sup>
	3.000	45.75	08/21/20	56.40	10.65 W	0.00	329 of 966 - Sale <sup>25</sup>
	4.000	61.00	08/21/20	75.20	14.20 W	0.00	330 of 966 - Sale <sup>25</sup>
	7.000	106.75	08/21/20	131.61	24.86 W	0.00	331 of 966 - Sale <sup>25</sup>
	8.000	122.00	08/21/20	144.41	22.41 W	0.00	332 of 966 - Sale <sup>25</sup>
	8.000	122.00	08/21/20	144.42	22.42 W	0.00	333 of 966 - Sale <sup>25</sup>
	8.000	122.00	08/21/20	144.40	22.40 W	0.00	334 of 966 - Sale <sup>25</sup>
	7.000	106.75	08/21/20	131.96	25.21 W	0.00	335 of 966 - Sale <sup>25</sup>
	9.000	137.25	08/21/20	162.46	25.21 W	0.00	336 of 966 - Sale <sup>25</sup>
	9.000	137.24	08/21/20	169.65	32.41 W	0.00	337 of 966 - Sale <sup>25</sup>
	14.000	213.49	08/21/20	252.74	39.25 W	0.00	338 of 966 - Sale <sup>25</sup>
	16.000	243.99	08/21/20	300.84	56.85 W	0.00	339 of 966 - Sale <sup>25</sup>
	17.000	259.24	08/21/20	320.49	61.25 W	0.00	340 of 966 - Sale <sup>25</sup>
	20.000	304.99	08/21/20	361.03	56.04 W	0.00	341 of 966 - Sale <sup>25</sup>
	5.000	76.25	08/21/20	90.26	14.01 W	0.00	342 of 966 - Sale <sup>25</sup>
	22.000	335.49	08/21/20	397.14	61.65 W	0.00	343 of 966 - Sale <sup>25</sup>
	24.000	365.99	08/21/20	451.24	85.25 W	0.00	344 of 966 - Sale <sup>25</sup>
	30.000	457.48	08/21/20	541.56	84.08 W	0.00	345 of 966 - Sale <sup>25</sup>
	42.000	640.47	08/21/20	758.19	117.72 W	0.00	346 of 966 - Sale <sup>25</sup>
	58.000	884.47	08/21/20	1,047.01	162.54 W	0.00	347 of 966 - Sale <sup>25</sup>
	1.000	15.25	08/21/20	18.40	3.15 W	0.00	348 of 966 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
	1.000	15.25	08/21/20	18.40	3.15 W	0.00	349 of 966 - Sale <sup>25</sup>
	1.000	15.25	08/21/20	18.40	3.15 W	0.00	350 of 966 - Sale <sup>25</sup>
	1.000	15.25	08/21/20	18.40	3.15 W	0.00	351 of 966 - Sale <sup>25</sup>
	1.000	15.25	08/21/20	18.30	3.05 W	0.00	352 of 966 - Sale <sup>25</sup>
	3.000	45.75	08/21/20	56.10	10.35 W	0.00	353 of 966 - Sale <sup>25</sup>
	3.000	45.75	08/21/20	56.10	10.35 W	0.00	354 of 966 - Sale <sup>25</sup>
	3.000	45.75	08/21/20	55.21	9.46 W	0.00	355 of 966 - Sale <sup>25</sup>
	3.000	45.75	08/21/20	55.20	9.45 W	0.00	356 of 966 - Sale <sup>25</sup>
	4.000	61.00	08/21/20	73.80	12.80 W	0.00	357 of 966 - Sale <sup>25</sup>
	4.000	61.00	08/21/20	73.61	12.61 W	0.00	358 of 966 - Sale <sup>25</sup>
	5.000	76.25	08/21/20	93.51	17.26 W	0.00	359 of 966 - Sale <sup>25</sup>
	5.000	76.25	08/21/20	92.26	16.01 W	0.00	360 of 966 - Sale <sup>25</sup>
	7.000	106.75	08/21/20	130.90	24.15 W	0.00	361 of 966 - Sale <sup>25</sup>
	1.000	15.25	08/21/20	18.85	3.60 W	0.00	362 of 966 - Sale <sup>25</sup>
	8.000	122.00	08/21/20	147.21	25.21 W	0.00	363 of 966 - Sale <sup>25</sup>
	8.000	122.00	08/21/20	146.82	24.82 W	0.00	364 of 966 - Sale <sup>25</sup>
	9.000	137.25	08/21/20	168.32	31.07 W	0.00	365 of 966 - Sale <sup>25</sup>
	9.000	137.25	08/21/20	165.61	28.36 W	0.00	366 of 966 - Sale <sup>25</sup>
	11.000	167.74	08/21/20	205.73	37.99 W	0.00	367 of 966 - Sale <sup>25</sup>
	11.000	167.74	08/21/20	205.73	37.99 W	0.00	368 of 966 - Sale <sup>25</sup>
	12.000	182.99	08/21/20	221.44	38.45 W	0.00	369 of 966 - Sale <sup>25</sup>
	14.000	213.49	08/21/20	261.84	48.35 W	0.00	370 of 966 - Sale <sup>25</sup>
	18.000	274.49	08/21/20	336.65	62.16 W	0.00	371 of 966 - Sale <sup>25</sup>
	18.000	274.49	08/21/20	332.15	57.66 W	0.00	372 of 966 - Sale <sup>25</sup>
	18.000	274.49	08/21/20	331.25	56.76 W	0.00	373 of 966 - Sale <sup>25</sup>
	19.000	289.74	08/21/20	355.34	65.60 W	0.00	374 of 966 - Sale <sup>25</sup>
	23.000	350.74	08/21/20	424.39	73.65 W	0.00	375 of 966 - Sale <sup>25</sup>
	23.000	350.74	08/21/20	423.25	72.51 W	0.00	376 of 966 - Sale <sup>25</sup>
	27.000	411.74	08/21/20	496.84	85.10 W	0.00	377 of 966 - Sale <sup>25</sup>
	35.000	533.73	08/21/20	644.09	110.36 W	0.00	378 of 966 - Sale <sup>25</sup>
	41.000	625.23	08/21/20	750.40	125.17 W	0.00	379 of 966 - Sale <sup>25</sup>
	146.000	2,226.42	08/21/20	2,263.00	36.58 W	0.00	380 of 966 - Sale <sup>25</sup>
	13.000	198.24	08/21/20	237.94	39.70 W	0.00	381 of 966 - Sale <sup>25</sup>
	41.000	625.23	08/21/20	750.41	125.18 W	0.00	382 of 966 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
	53.000	808.23	08/21/20	970.02	161.79 W	0.00	383 of 966 - Sale <sup>25</sup>
	4.000	61.00	08/21/20	73.21	12.21 W	0.00	384 of 966 - Sale <sup>25</sup>
	5.000	76.25	08/21/20	91.51	15.26 W	0.00	385 of 966 - Sale <sup>25</sup>
	9.000	137.25	08/21/20	164.71	27.46 W	0.00	386 of 966 - Sale <sup>25</sup>
	13.000	198.24	08/21/20	237.92	39.68 W	0.00	387 of 966 - Sale <sup>25</sup>
	20.000	304.99	08/21/20	366.04	61.05 W	0.00	388 of 966 - Sale <sup>25</sup>
	22.000	335.49	08/21/20	402.65	67.16 W	0.00	389 of 966 - Sale <sup>25</sup>
	50.000	762.48	08/21/20	915.12	152.64 W	0.00	390 of 966 - Sale <sup>25</sup>
	20.000	304.99	08/21/20	366.05	61.06 W	0.00	391 of 966 - Sale <sup>25</sup>
	3.000	45.75	08/21/20	57.15	11.40 W	0.00	392 of 966 - Sale <sup>25</sup>
	4.000	61.00	08/21/20	76.20	15.20 W	0.00	393 of 966 - Sale <sup>25</sup>
	7.000	106.75	08/21/20	133.36	26.61 W	0.00	394 of 966 - Sale <sup>25</sup>
	8.000	122.00	08/21/20	146.42	24.42 W	0.00	395 of 966 - Sale <sup>25</sup>
	8.000	122.00	08/21/20	146.41	24.41 W	0.00	396 of 966 - Sale <sup>25</sup>
	8.000	122.00	08/21/20	146.42	24.42 W	0.00	397 of 966 - Sale <sup>25</sup>
	16.000	243.99	08/21/20	304.85	60.86 W	0.00	398 of 966 - Sale <sup>25</sup>
	22.000	335.49	08/21/20	402.66	67.17 W	0.00	399 of 966 - Sale <sup>25</sup>
	24.000	365.99	08/21/20	457.25	91.26 W	0.00	400 of 966 - Sale <sup>25</sup>
	2.000	30.50	08/21/20	36.60	6.10 W	0.00	401 of 966 - Sale <sup>25</sup>
	8.000	122.00	08/21/20	146.42	24.42 W	0.00	402 of 966 - Sale <sup>25</sup>
	8.000	122.00	08/21/20	146.40	24.40 W	0.00	403 of 966 - Sale <sup>25</sup>
	9.000	137.24	08/21/20	171.91	34.67 W	0.00	404 of 966 - Sale <sup>25</sup>
	14.000	213.49	08/21/20	256.25	42.76 W	0.00	405 of 966 - Sale <sup>25</sup>
	17.000	259.24	08/21/20	324.75	65.51 W	0.00	406 of 966 - Sale <sup>25</sup>
	42.000	640.48	08/21/20	768.72	128.24 W	0.00	407 of 966 - Sale <sup>25</sup>
	1.000	15.25	08/21/20	19.10	3.85 W	0.00	408 of 966 - Sale <sup>25</sup>
	3.000	45.75	08/21/20	56.85	11.10 W	0.00	409 of 966 - Sale <sup>25</sup>
	3.000	45.75	08/21/20	56.85	11.10 W	0.00	410 of 966 - Sale <sup>25</sup>
	5.000	76.25	08/21/20	94.76	18.51 W	0.00	411 of 966 - Sale <sup>25</sup>
	7.000	106.75	08/21/20	133.71	26.96 W	0.00	412 of 966 - Sale <sup>25</sup>
	7.000	106.75	08/21/20	132.65	25.90 W	0.00	413 of 966 - Sale <sup>25</sup>
	9.000	137.24	08/21/20	170.57	33.33 W	0.00	414 of 966 - Sale <sup>25</sup>
	10.000	152.49	08/21/20	189.53	37.04 W	0.00	415 of 966 - Sale <sup>25</sup>
	11.000	167.74	08/21/20	208.49	40.75 W	0.00	416 of 966 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
11.000		167.74	08/21/20	208.49	40.75 W	0.00	417 of 966 - Sale <sup>25</sup>
14.000		213.49	08/21/20	265.35	51.86 W	0.00	418 of 966 - Sale <sup>25</sup>
19.000		289.74	08/21/20	360.10	70.36 W	0.00	419 of 966 - Sale <sup>25</sup>
4.000		59.60	08/21/20	73.01	13.41 W	0.00	420 of 966 - Sale <sup>25</sup>
5.000		74.50	08/21/20	91.26	16.76 W	0.00	421 of 966 - Sale <sup>25</sup>
9.000		134.10	08/21/20	164.26	30.16 W	0.00	422 of 966 - Sale <sup>25</sup>
13.000		193.69	08/21/20	237.28	43.59 W	0.00	423 of 966 - Sale <sup>25</sup>
5.000		74.50	08/21/20	91.26	16.76 W	0.00	424 of 966 - Sale <sup>25</sup>
20.000		297.99	08/21/20	365.05	67.06 W	0.00	425 of 966 - Sale <sup>25</sup>
22.000		327.79	08/21/20	401.56	73.77 W	0.00	426 of 966 - Sale <sup>25</sup>
22.000		327.78	08/21/20	400.46	72.68 W	0.00	427 of 966 - Sale <sup>25</sup>
3.000		44.70	08/21/20	56.85	12.15 W	0.00	428 of 966 - Sale <sup>25</sup>
4.000		59.59	08/21/20	75.80	16.21 W	0.00	429 of 966 - Sale <sup>25</sup>
7.000		104.30	08/21/20	132.66	28.36 W	0.00	430 of 966 - Sale <sup>25</sup>
8.000		119.20	08/21/20	145.62	26.42 W	0.00	431 of 966 - Sale <sup>25</sup>
8.000		119.20	08/21/20	145.61	26.41 W	0.00	432 of 966 - Sale <sup>25</sup>
2.000		29.80	08/21/20	36.41	6.61 W	0.00	433 of 966 - Sale <sup>25</sup>
16.000		238.39	08/21/20	303.26	64.87 W	0.00	434 of 966 - Sale <sup>25</sup>
24.000		357.58	08/21/20	454.86	97.28 W	0.00	435 of 966 - Sale <sup>25</sup>
28.000		417.19	08/21/20	509.68	92.49 W	0.00	436 of 966 - Sale <sup>25</sup>
2.000		29.72	08/21/20	36.40	6.68 W	0.00	437 of 966 - Sale <sup>25</sup>
6.000		89.16	08/21/20	109.21	20.05 W	0.00	438 of 966 - Sale <sup>25</sup>
8.000		118.88	08/21/20	145.62	26.74 W	0.00	439 of 966 - Sale <sup>25</sup>
6.000		89.16	08/21/20	109.20	20.04 W	0.00	440 of 966 - Sale <sup>25</sup>
14.000		208.03	08/21/20	254.86	46.83 W	0.00	441 of 966 - Sale <sup>25</sup>
22.000		326.91	08/21/20	400.47	73.56 W	0.00	442 of 966 - Sale <sup>25</sup>
42.000		624.09	08/21/20	764.54	140.45 W	0.00	443 of 966 - Sale <sup>25</sup>
2.000		29.72	08/21/20	36.40	6.68 W	0.00	444 of 966 - Sale <sup>25</sup>
9.000		133.74	08/21/20	171.02	37.28 W	0.00	445 of 966 - Sale <sup>25</sup>
14.000		208.04	08/21/20	266.05	58.01 W	0.00	446 of 966 - Sale <sup>25</sup>
1.000		14.86	08/21/20	19.00	4.14 W	0.00	447 of 966 - Sale <sup>25</sup>
3.000		44.58	08/21/20	56.55	11.97 W	0.00	448 of 966 - Sale <sup>25</sup>
3.000		44.58	08/21/20	56.55	11.97 W	0.00	449 of 966 - Sale <sup>25</sup>
5.000		74.30	08/21/20	94.26	19.96 W	0.00	450 of 966 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
	7.000	104.02	08/21/20	133.01	28.99 W	0.00	451 of 966 - Sale <sup>25</sup>
	5.000	74.30	08/21/20	94.25	19.95 W	0.00	452 of 966 - Sale <sup>25</sup>
	9.000	133.73	08/21/20	169.68	35.95 W	0.00	453 of 966 - Sale <sup>25</sup>
	11.000	163.45	08/21/20	207.40	43.95 W	0.00	454 of 966 - Sale <sup>25</sup>
	3.000	44.58	08/21/20	57.01	12.43 W	0.00	455 of 966 - Sale <sup>25</sup>
	2.000	29.72	08/21/20	37.70	7.98 W	0.00	456 of 966 - Sale <sup>25</sup>
	2.000	29.72	08/21/20	37.71	7.99 W	0.00	457 of 966 - Sale <sup>25</sup>
	11.000	163.46	08/21/20	207.40	43.94 W	0.00	458 of 966 - Sale <sup>25</sup>
	14.000	208.03	08/21/20	263.96	55.93 W	0.00	459 of 966 - Sale <sup>25</sup>
	19.000	282.33	08/21/20	358.21	75.88 W	0.00	460 of 966 - Sale <sup>25</sup>
	1.000	14.86	08/21/20	18.65	3.79 W	0.00	461 of 966 - Sale <sup>25</sup>
	8.000	118.88	08/21/20	151.23	32.35 W	0.00	462 of 966 - Sale <sup>25</sup>
	8.000	118.88	08/21/20	150.83	31.95 W	0.00	463 of 966 - Sale <sup>25</sup>
	8.000	118.88	08/21/20	149.23	30.35 W	0.00	464 of 966 - Sale <sup>25</sup>
	3.000	44.58	08/21/20	55.95	11.37 W	0.00	465 of 966 - Sale <sup>25</sup>
	9.000	133.74	08/21/20	167.89	34.15 W	0.00	466 of 966 - Sale <sup>25</sup>
	1.000	14.86	08/21/20	18.60	3.74 W	0.00	467 of 966 - Sale <sup>25</sup>
	1.000	14.86	08/21/20	18.60	3.74 W	0.00	468 of 966 - Sale <sup>25</sup>
	1.000	14.86	08/21/20	18.65	3.79 W	0.00	469 of 966 - Sale <sup>25</sup>
	5.000	74.30	08/21/20	93.26	18.96 W	0.00	470 of 966 - Sale <sup>25</sup>
	4.000	59.44	08/21/20	74.40	14.96 W	0.00	471 of 966 - Sale <sup>25</sup>
	12.000	178.31	08/21/20	223.86	45.55 W	0.00	472 of 966 - Sale <sup>25</sup>
	23.000	341.77	08/21/20	429.01	87.24 W	0.00	473 of 966 - Sale <sup>25</sup>
	8.000	119.20	08/21/20	149.23	30.03 W	0.00	474 of 966 - Sale <sup>25</sup>
	1.000	14.90	08/21/20	18.40	3.50 W	0.00	475 of 966 - Sale <sup>25</sup>
	1.000	14.90	08/21/20	18.35	3.45 W	0.00	476 of 966 - Sale <sup>25</sup>
	1.000	14.90	08/21/20	18.35	3.45 W	0.00	477 of 966 - Sale <sup>25</sup>
	1.000	14.90	08/21/20	18.35	3.45 W	0.00	478 of 966 - Sale <sup>25</sup>
	1.000	14.90	08/21/20	18.35	3.45 W	0.00	479 of 966 - Sale <sup>25</sup>
	3.000	44.70	08/21/20	55.06	10.36 W	0.00	480 of 966 - Sale <sup>25</sup>
	3.000	44.70	08/21/20	55.05	10.35 W	0.00	481 of 966 - Sale <sup>25</sup>
	4.000	59.60	08/21/20	73.60	14.00 W	0.00	482 of 966 - Sale <sup>25</sup>
	4.000	59.60	08/21/20	73.41	13.81 W	0.00	483 of 966 - Sale <sup>25</sup>
	5.000	74.50	08/21/20	92.01	17.51 W	0.00	484 of 966 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
	8.000	119.20	08/21/20	146.81	27.61 W	0.00	485 of 966 - Sale <sup>25</sup>
	5.000	74.50	08/21/20	91.51	17.01 W	0.00	486 of 966 - Sale <sup>25</sup>
	9.000	134.10	08/21/20	165.16	31.06 W	0.00	487 of 966 - Sale <sup>25</sup>
	12.000	178.79	08/21/20	220.85	42.06 W	0.00	488 of 966 - Sale <sup>25</sup>
	17.000	253.29	08/21/20	312.86	59.57 W	0.00	489 of 966 - Sale <sup>25</sup>
	18.000	268.19	08/21/20	330.36	62.17 W	0.00	490 of 966 - Sale <sup>25</sup>
	23.000	342.69	08/21/20	423.25	80.56 W	0.00	491 of 966 - Sale <sup>25</sup>
	23.000	342.68	08/21/20	422.11	79.43 W	0.00	492 of 966 - Sale <sup>25</sup>
	27.000	402.29	08/21/20	495.50	93.21 W	0.00	493 of 966 - Sale <sup>25</sup>
	35.000	521.48	08/21/20	642.36	120.88 W	0.00	494 of 966 - Sale <sup>25</sup>
	3.000	44.70	08/21/20	54.91	10.21 W	0.00	495 of 966 - Sale <sup>25</sup>
	10.000	149.00	08/21/20	182.53	33.53 W	0.00	496 of 966 - Sale <sup>25</sup>
	1.000	14.90	08/21/20	18.25	3.35 W	0.00	497 of 966 - Sale <sup>25</sup>
	13.000	193.69	08/21/20	237.30	43.61 W	0.00	498 of 966 - Sale <sup>25</sup>
	15.000	223.49	08/21/20	273.80	50.31 W	0.00	499 of 966 - Sale <sup>25</sup>
	31.000	461.89	08/21/20	565.84	103.95 W	0.00	500 of 966 - Sale <sup>25</sup>
	41.000	610.88	08/21/20	748.38	137.50 W	0.00	501 of 966 - Sale <sup>25</sup>
	53.000	789.68	08/21/20	967.39	177.71 W	0.00	502 of 966 - Sale <sup>25</sup>
	146.000	2,175.33	08/21/20	2,255.78	80.45 W	0.00	503 of 966 - Sale <sup>25</sup>
	2.000	29.62	08/21/20	37.21	7.59 W	0.00	504 of 966 - Sale <sup>25</sup>
	5.000	74.05	08/21/20	93.01	18.96 W	0.00	505 of 966 - Sale <sup>25</sup>
	1.000	14.81	08/21/20	18.60	3.79 W	0.00	506 of 966 - Sale <sup>25</sup>
	1.000	14.81	08/21/20	18.60	3.79 W	0.00	507 of 966 - Sale <sup>25</sup>
	13.000	192.53	08/21/20	241.85	49.32 W	0.00	508 of 966 - Sale <sup>25</sup>
	1.000	14.81	08/21/20	18.60	3.79 W	0.00	509 of 966 - Sale <sup>25</sup>
	5.000	74.05	08/21/20	93.02	18.97 W	0.00	510 of 966 - Sale <sup>25</sup>
	6.000	88.86	08/21/20	111.61	22.75 W	0.00	511 of 966 - Sale <sup>25</sup>
	2.000	29.62	08/21/20	37.20	7.58 W	0.00	512 of 966 - Sale <sup>25</sup>
	14.000	207.34	08/21/20	260.43	53.09 W	0.00	513 of 966 - Sale <sup>25</sup>
	10.000	148.10	08/21/20	186.02	37.92 W	0.00	514 of 966 - Sale <sup>25</sup>
	3.000	44.43	08/21/20	55.80	11.37 W	0.00	515 of 966 - Sale <sup>25</sup>
	3.000	44.43	08/21/20	55.81	11.38 W	0.00	516 of 966 - Sale <sup>25</sup>
	8.000	118.48	08/21/20	148.83	30.35 W	0.00	517 of 966 - Sale <sup>25</sup>
	14.000	207.34	08/21/20	260.45	53.11 W	0.00	518 of 966 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
	5.000	74.05	08/21/20	93.02	18.97 W	0.00	519 of 966 - Sale <sup>25</sup>
	4.000	59.24	08/21/20	74.41	15.17 W	0.00	520 of 966 - Sale <sup>25</sup>
	11.000	162.91	08/21/20	204.64	41.73 W	0.00	521 of 966 - Sale <sup>25</sup>
	8.000	118.48	08/21/20	148.83	30.35 W	0.00	522 of 966 - Sale <sup>25</sup>
	1.000	14.81	08/21/20	18.50	3.69 W	0.00	523 of 966 - Sale <sup>25</sup>
	3.000	44.43	08/21/20	55.66	11.23 W	0.00	524 of 966 - Sale <sup>25</sup>
	5.000	74.05	08/21/20	92.76	18.71 W	0.00	525 of 966 - Sale <sup>25</sup>
	10.000	148.10	08/21/20	185.03	36.93 W	0.00	526 of 966 - Sale <sup>25</sup>
	11.000	162.90	08/21/20	172.71	9.81 W	0.00	527 of 966 - Sale <sup>25</sup>
	12.000	177.71	08/21/20	223.24	45.53 W	0.00	528 of 966 - Sale <sup>25</sup>
	31.000	459.09	08/21/20	573.60	114.51 W	0.00	529 of 966 - Sale <sup>25</sup>
	27.000	399.86	08/21/20	423.93	24.07 W	0.00	530 of 966 - Sale <sup>25</sup>
	13.000	192.52	08/21/20	240.56	48.04 W	0.00	531 of 966 - Sale <sup>25</sup>
	41.000	607.19	08/21/20	758.65	151.46 W	0.00	532 of 966 - Sale <sup>25</sup>
	26.000	385.05	08/21/20	481.08	96.03 W	0.00	533 of 966 - Sale <sup>25</sup>
	108.000	1,599.43	08/21/20	1,695.71	96.28 W	0.00	534 of 966 - Sale <sup>25</sup>
	8.000	118.48	08/21/20	148.03	29.55 W	0.00	535 of 966 - Sale <sup>25</sup>
	27.000	399.85	08/21/20	499.58	99.73 W	0.00	536 of 966 - Sale <sup>25</sup>
	5.000	74.05	08/21/20	92.52	18.47 W	0.00	537 of 966 - Sale <sup>25</sup>
	4.000	59.24	08/21/20	74.01	14.77 W	0.00	538 of 966 - Sale <sup>25</sup>
	5.000	74.05	08/21/20	92.51	18.46 W	0.00	539 of 966 - Sale <sup>25</sup>
	5.000	74.05	08/21/20	92.51	18.46 W	0.00	540 of 966 - Sale <sup>25</sup>
	9.000	133.29	08/21/20	166.51	33.22 W	0.00	541 of 966 - Sale <sup>25</sup>
	13.000	192.52	08/21/20	240.54	48.02 W	0.00	542 of 966 - Sale <sup>25</sup>
	2.000	29.62	08/21/20	37.01	7.39 W	0.00	543 of 966 - Sale <sup>25</sup>
	20.000	296.19	08/21/20	370.06	73.87 W	0.00	544 of 966 - Sale <sup>25</sup>
	22.000	325.81	08/21/20	407.07	81.26 W	0.00	545 of 966 - Sale <sup>25</sup>
	22.000	325.81	08/21/20	405.98	80.17 W	0.00	546 of 966 - Sale <sup>25</sup>
	28.000	414.67	08/21/20	516.69	102.02 W	0.00	547 of 966 - Sale <sup>25</sup>
	3.000	44.43	08/21/20	57.45	13.02 W	0.00	548 of 966 - Sale <sup>25</sup>
	4.000	59.24	08/21/20	76.61	17.37 W	0.00	549 of 966 - Sale <sup>25</sup>
	7.000	103.66	08/21/20	134.06	30.40 W	0.00	550 of 966 - Sale <sup>25</sup>
	8.000	118.48	08/21/20	147.22	28.74 W	0.00	551 of 966 - Sale <sup>25</sup>
	8.000	118.47	08/21/20	147.21	28.74 W	0.00	552 of 966 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
	16.000	236.95	08/21/20	306.47	69.52 W	0.00	553 of 966 - Sale <sup>25</sup>
	24.000	355.43	08/21/20	459.68	104.25 W	0.00	554 of 966 - Sale <sup>25</sup>
	2.000	29.62	08/21/20	36.81	7.19 W	0.00	555 of 966 - Sale <sup>25</sup>
	6.000	88.86	08/21/20	110.65	21.79 W	0.00	556 of 966 - Sale <sup>25</sup>
	15.000	222.15	08/21/20	276.65	54.50 W	0.00	557 of 966 - Sale <sup>25</sup>
	8.000	118.48	08/21/20	147.54	29.06 W	0.00	558 of 966 - Sale <sup>25</sup>
	7.000	103.67	08/21/20	129.11	25.44 W	0.00	559 of 966 - Sale <sup>25</sup>
	8.000	118.48	08/21/20	147.55	29.07 W	0.00	560 of 966 - Sale <sup>25</sup>
	1.000	14.81	08/21/20	19.24	4.43 W	0.00	561 of 966 - Sale <sup>25</sup>
	2.000	29.62	08/21/20	36.88	7.26 W	0.00	562 of 966 - Sale <sup>25</sup>
	2.000	29.62	08/21/20	36.88	7.26 W	0.00	563 of 966 - Sale <sup>25</sup>
	2.000	29.62	08/21/20	38.18	8.56 W	0.00	564 of 966 - Sale <sup>25</sup>
	3.000	44.43	08/21/20	57.73	13.30 W	0.00	565 of 966 - Sale <sup>25</sup>
	3.000	44.43	08/21/20	57.27	12.84 W	0.00	566 of 966 - Sale <sup>25</sup>
	3.000	44.43	08/21/20	57.27	12.84 W	0.00	567 of 966 - Sale <sup>25</sup>
	5.000	74.05	08/21/20	95.46	21.41 W	0.00	568 of 966 - Sale <sup>25</sup>
	5.000	74.05	08/21/20	95.45	21.40 W	0.00	569 of 966 - Sale <sup>25</sup>
	6.000	88.86	08/21/20	110.64	21.78 W	0.00	570 of 966 - Sale <sup>25</sup>
	7.000	103.67	08/21/20	134.69	31.02 W	0.00	571 of 966 - Sale <sup>25</sup>
	7.000	103.67	08/21/20	133.67	30.00 W	0.00	572 of 966 - Sale <sup>25</sup>
	9.000	133.29	08/21/20	173.18	39.89 W	0.00	573 of 966 - Sale <sup>25</sup>
	9.000	133.29	08/21/20	171.85	38.56 W	0.00	574 of 966 - Sale <sup>25</sup>
	11.000	162.90	08/21/20	210.05	47.15 W	0.00	575 of 966 - Sale <sup>25</sup>
	14.000	207.33	08/21/20	258.23	50.90 W	0.00	576 of 966 - Sale <sup>25</sup>
	14.000	207.33	08/21/20	269.41	62.08 W	0.00	577 of 966 - Sale <sup>25</sup>
	34.000	503.52	08/21/20	627.10	123.58 W	0.00	578 of 966 - Sale <sup>25</sup>
	5.000	74.05	08/21/20	95.47	21.42 W	0.00	579 of 966 - Sale <sup>25</sup>
	7.000	103.67	08/21/20	133.66	29.99 W	0.00	580 of 966 - Sale <sup>25</sup>
	19.000	281.38	08/21/20	362.78	81.40 W	0.00	581 of 966 - Sale <sup>25</sup>
	2.000	29.62	08/21/20	38.19	8.57 W	0.00	582 of 966 - Sale <sup>25</sup>
	3.000	44.43	08/21/20	57.28	12.85 W	0.00	583 of 966 - Sale <sup>25</sup>
	6.000	88.86	08/21/20	114.57	25.71 W	0.00	584 of 966 - Sale <sup>25</sup>
	1.000	14.81	08/21/20	19.09	4.28 W	0.00	585 of 966 - Sale <sup>25</sup>
	1.000	14.81	08/21/20	18.89	4.08 W	0.00	586 of 966 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
	1.000	14.81	08/21/20	18.89	4.08 W	0.00	587 of 966 - Sale <sup>25</sup>
	1.000	14.81	08/21/20	18.84	4.03 W	0.00	588 of 966 - Sale <sup>25</sup>
	1.000	14.81	08/21/20	18.84	4.03 W	0.00	589 of 966 - Sale <sup>25</sup>
	3.000	44.43	08/21/20	56.67	12.24 W	0.00	590 of 966 - Sale <sup>25</sup>
	4.000	59.24	08/21/20	76.38	17.14 W	0.00	591 of 966 - Sale <sup>25</sup>
	5.000	74.05	08/21/20	94.46	20.41 W	0.00	592 of 966 - Sale <sup>25</sup>
	8.000	118.47	08/21/20	153.15	34.68 W	0.00	593 of 966 - Sale <sup>25</sup>
	8.000	118.47	08/21/20	151.15	32.68 W	0.00	594 of 966 - Sale <sup>25</sup>
	9.000	133.28	08/21/20	170.05	36.77 W	0.00	595 of 966 - Sale <sup>25</sup>
	12.000	177.71	08/21/20	226.75	49.04 W	0.00	596 of 966 - Sale <sup>25</sup>
	23.000	340.61	08/21/20	434.54	93.93 W	0.00	597 of 966 - Sale <sup>25</sup>
	4.000	59.24	08/21/20	75.36	16.12 W	0.00	598 of 966 - Sale <sup>25</sup>
	1.000	14.81	08/21/20	18.89	4.08 W	0.00	599 of 966 - Sale <sup>25</sup>
	1.000	14.81	08/21/20	18.89	4.08 W	0.00	600 of 966 - Sale <sup>25</sup>
	1.000	14.81	08/21/20	18.89	4.08 W	0.00	601 of 966 - Sale <sup>25</sup>
	2.000	29.62	08/21/20	37.79	8.17 W	0.00	602 of 966 - Sale <sup>25</sup>
	2.000	29.62	08/21/20	37.78	8.16 W	0.00	603 of 966 - Sale <sup>25</sup>
	5.000	74.05	08/21/20	94.46	20.41 W	0.00	604 of 966 - Sale <sup>25</sup>
	5.000	74.05	08/21/20	94.47	20.42 W	0.00	605 of 966 - Sale <sup>25</sup>
	6.000	88.86	08/21/20	113.35	24.49 W	0.00	606 of 966 - Sale <sup>25</sup>
	13.000	192.52	08/21/20	245.62	53.10 W	0.00	607 of 966 - Sale <sup>25</sup>
	8.000	118.47	08/21/20	151.14	32.67 W	0.00	608 of 966 - Sale <sup>25</sup>
	3.000	44.43	08/21/20	56.68	12.25 W	0.00	609 of 966 - Sale <sup>25</sup>
	3.000	44.43	08/21/20	56.67	12.24 W	0.00	610 of 966 - Sale <sup>25</sup>
	1.000	14.81	08/21/20	18.89	4.08 W	0.00	611 of 966 - Sale <sup>25</sup>
	10.000	148.10	08/21/20	188.92	40.82 W	0.00	612 of 966 - Sale <sup>25</sup>
	6.000	88.86	08/21/20	113.35	24.49 W	0.00	613 of 966 - Sale <sup>25</sup>
	3.000	44.43	08/21/20	56.53	12.10 W	0.00	614 of 966 - Sale <sup>25</sup>
	4.000	59.24	08/21/20	75.57	16.33 W	0.00	615 of 966 - Sale <sup>25</sup>
	5.000	74.05	08/21/20	94.47	20.42 W	0.00	616 of 966 - Sale <sup>25</sup>
	5.000	74.05	08/21/20	94.21	20.16 W	0.00	617 of 966 - Sale <sup>25</sup>
	7.000	103.67	08/21/20	132.26	28.59 W	0.00	618 of 966 - Sale <sup>25</sup>
	8.000	118.48	08/21/20	151.15	32.67 W	0.00	619 of 966 - Sale <sup>25</sup>
	10.000	148.10	08/21/20	187.93	39.83 W	0.00	620 of 966 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
11.000		162.90	08/21/20	207.83	44.93 W	0.00	621 of 966 - Sale <sup>25</sup>
12.000		177.71	08/21/20	226.73	49.02 W	0.00	622 of 966 - Sale <sup>25</sup>
14.000		207.33	08/21/20	264.51	57.18 W	0.00	623 of 966 - Sale <sup>25</sup>
11.000		162.90	08/21/20	206.73	43.83 W	0.00	624 of 966 - Sale <sup>25</sup>
1.000		14.81	08/21/20	18.79	3.98 W	0.00	625 of 966 - Sale <sup>25</sup>
11.000		162.91	08/21/20	175.91	13.00 W	0.00	626 of 966 - Sale <sup>25</sup>
12.000		177.71	08/21/20	191.90	14.19 W	0.00	627 of 966 - Sale <sup>25</sup>
20.000		296.19	08/21/20	375.88	79.69 W	0.00	628 of 966 - Sale <sup>25</sup>
8.000		118.48	08/21/20	127.93	9.45 W	0.00	629 of 966 - Sale <sup>25</sup>
7.000		103.67	08/21/20	111.94	8.27 W	0.00	630 of 966 - Sale <sup>25</sup>
3.000		44.43	08/21/20	47.97	3.54 W	0.00	631 of 966 - Sale <sup>25</sup>
50.000		740.48	08/21/20	799.58	59.10 W	0.00	632 of 966 - Sale <sup>25</sup>
22.000		325.81	08/21/20	413.47	87.66 W	0.00	633 of 966 - Sale <sup>25</sup>
55.000		814.52	08/21/20	879.53	65.01 W	0.00	634 of 966 - Sale <sup>25</sup>
2.000		29.64	08/21/20	37.59	7.95 W	0.00	635 of 966 - Sale <sup>25</sup>
5.000		74.10	08/21/20	93.97	19.87 W	0.00	636 of 966 - Sale <sup>25</sup>
8.000		118.55	08/21/20	150.35	31.80 W	0.00	637 of 966 - Sale <sup>25</sup>
13.000		192.65	08/21/20	244.34	51.69 W	0.00	638 of 966 - Sale <sup>25</sup>
26.000		385.31	08/21/20	488.63	103.32 W	0.00	639 of 966 - Sale <sup>25</sup>
27.000		400.13	08/21/20	507.43	107.30 W	0.00	640 of 966 - Sale <sup>25</sup>
19.000		281.57	08/21/20	357.09	75.52 W	0.00	641 of 966 - Sale <sup>25</sup>
4.000		59.28	08/21/20	75.17	15.89 W	0.00	642 of 966 - Sale <sup>25</sup>
5.000		74.10	08/21/20	93.96	19.86 W	0.00	643 of 966 - Sale <sup>25</sup>
5.000		74.10	08/21/20	93.96	19.86 W	0.00	644 of 966 - Sale <sup>25</sup>
9.000		133.38	08/21/20	169.12	35.74 W	0.00	645 of 966 - Sale <sup>25</sup>
13.000		192.65	08/21/20	244.32	51.67 W	0.00	646 of 966 - Sale <sup>25</sup>
20.000		296.39	08/21/20	375.87	79.48 W	0.00	647 of 966 - Sale <sup>25</sup>
22.000		326.03	08/21/20	413.46	87.43 W	0.00	648 of 966 - Sale <sup>25</sup>
22.000		326.02	08/21/20	412.37	86.35 W	0.00	649 of 966 - Sale <sup>25</sup>
3.000		44.46	08/21/20	58.32	13.86 W	0.00	650 of 966 - Sale <sup>25</sup>
7.000		103.73	08/21/20	136.10	32.37 W	0.00	651 of 966 - Sale <sup>25</sup>
8.000		118.56	08/21/20	149.54	30.98 W	0.00	652 of 966 - Sale <sup>25</sup>
8.000		118.55	08/21/20	149.54	30.99 W	0.00	653 of 966 - Sale <sup>25</sup>
24.000		355.67	08/21/20	466.65	110.98 W	0.00	654 of 966 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
	28.000	414.95	08/21/20	524.82	109.87 W	0.00	655 of 966 - Sale <sup>25</sup>
	1.000	14.80	08/21/20	19.42	4.62 W	0.00	656 of 966 - Sale <sup>25</sup>
	1.000	14.80	08/21/20	19.27	4.47 W	0.00	657 of 966 - Sale <sup>25</sup>
	1.000	14.80	08/21/20	19.07	4.27 W	0.00	658 of 966 - Sale <sup>25</sup>
	1.000	14.80	08/21/20	19.07	4.27 W	0.00	659 of 966 - Sale <sup>25</sup>
	2.000	29.60	08/21/20	37.17	7.57 W	0.00	660 of 966 - Sale <sup>25</sup>
	2.000	29.60	08/21/20	37.24	7.64 W	0.00	661 of 966 - Sale <sup>25</sup>
	2.000	29.60	08/21/20	37.24	7.64 W	0.00	662 of 966 - Sale <sup>25</sup>
	2.000	29.60	08/21/20	38.54	8.94 W	0.00	663 of 966 - Sale <sup>25</sup>
	2.000	29.60	08/21/20	38.55	8.95 W	0.00	664 of 966 - Sale <sup>25</sup>
	3.000	44.40	08/21/20	58.27	13.87 W	0.00	665 of 966 - Sale <sup>25</sup>
	3.000	44.40	08/21/20	57.81	13.41 W	0.00	666 of 966 - Sale <sup>25</sup>
	3.000	44.40	08/21/20	57.81	13.41 W	0.00	667 of 966 - Sale <sup>25</sup>
	3.000	44.40	08/21/20	57.82	13.42 W	0.00	668 of 966 - Sale <sup>25</sup>
	3.000	44.40	08/21/20	57.21	12.81 W	0.00	669 of 966 - Sale <sup>25</sup>
	4.000	59.20	08/21/20	77.33	18.13 W	0.00	670 of 966 - Sale <sup>25</sup>
	4.000	59.20	08/21/20	77.10	17.90 W	0.00	671 of 966 - Sale <sup>25</sup>
	5.000	74.00	08/21/20	96.36	22.36 W	0.00	672 of 966 - Sale <sup>25</sup>
	5.000	74.00	08/21/20	96.35	22.35 W	0.00	673 of 966 - Sale <sup>25</sup>
	5.000	74.00	08/21/20	96.37	22.37 W	0.00	674 of 966 - Sale <sup>25</sup>
	6.000	88.80	08/21/20	111.73	22.93 W	0.00	675 of 966 - Sale <sup>25</sup>
	6.000	88.80	08/21/20	111.72	22.92 W	0.00	676 of 966 - Sale <sup>25</sup>
	6.000	88.80	08/21/20	115.65	26.85 W	0.00	677 of 966 - Sale <sup>25</sup>
	7.000	103.60	08/21/20	130.37	26.77 W	0.00	678 of 966 - Sale <sup>25</sup>
	7.000	103.60	08/21/20	135.95	32.35 W	0.00	679 of 966 - Sale <sup>25</sup>
	7.000	103.60	08/21/20	134.93	31.33 W	0.00	680 of 966 - Sale <sup>25</sup>
	7.000	103.60	08/21/20	134.92	31.32 W	0.00	681 of 966 - Sale <sup>25</sup>
	8.000	118.40	08/21/20	148.98	30.58 W	0.00	682 of 966 - Sale <sup>25</sup>
	8.000	118.40	08/21/20	148.99	30.59 W	0.00	683 of 966 - Sale <sup>25</sup>
	8.000	118.40	08/21/20	154.60	36.20 W	0.00	684 of 966 - Sale <sup>25</sup>
	8.000	118.40	08/21/20	152.60	34.20 W	0.00	685 of 966 - Sale <sup>25</sup>
	9.000	133.20	08/21/20	174.80	41.60 W	0.00	686 of 966 - Sale <sup>25</sup>
	9.000	133.20	08/21/20	173.47	40.27 W	0.00	687 of 966 - Sale <sup>25</sup>
	9.000	133.19	08/21/20	171.68	38.49 W	0.00	688 of 966 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
11.000		162.79	08/21/20	212.04	49.25 W	0.00	689 of 966 - Sale <sup>25</sup>
14.000		207.19	08/21/20	260.76	53.57 W	0.00	690 of 966 - Sale <sup>25</sup>
14.000		207.19	08/21/20	271.94	64.75 W	0.00	691 of 966 - Sale <sup>25</sup>
15.000		221.99	08/21/20	279.35	57.36 W	0.00	692 of 966 - Sale <sup>25</sup>
16.000		236.79	08/21/20	309.36	72.57 W	0.00	693 of 966 - Sale <sup>25</sup>
19.000		281.19	08/21/20	366.21	85.02 W	0.00	694 of 966 - Sale <sup>25</sup>
20.000		295.99	08/21/20	381.48	85.49 W	0.00	695 of 966 - Sale <sup>25</sup>
34.000		503.18	08/21/20	633.24	130.06 W	0.00	696 of 966 - Sale <sup>25</sup>
1.000		14.80	08/21/20	19.02	4.22 W	0.00	697 of 966 - Sale <sup>25</sup>
1.000		14.80	08/21/20	19.02	4.22 W	0.00	698 of 966 - Sale <sup>25</sup>
1.000		14.80	08/21/20	19.07	4.27 W	0.00	699 of 966 - Sale <sup>25</sup>
1.000		14.80	08/21/20	19.07	4.27 W	0.00	700 of 966 - Sale <sup>25</sup>
1.000		14.80	08/21/20	19.07	4.27 W	0.00	701 of 966 - Sale <sup>25</sup>
1.000		14.80	08/21/20	19.07	4.27 W	0.00	702 of 966 - Sale <sup>25</sup>
1.000		14.80	08/21/20	18.97	4.17 W	0.00	703 of 966 - Sale <sup>25</sup>
2.000		29.60	08/21/20	38.15	8.55 W	0.00	704 of 966 - Sale <sup>25</sup>
2.000		29.60	08/21/20	38.14	8.54 W	0.00	705 of 966 - Sale <sup>25</sup>
3.000		44.40	08/21/20	57.22	12.82 W	0.00	706 of 966 - Sale <sup>25</sup>
3.000		44.40	08/21/20	57.22	12.82 W	0.00	707 of 966 - Sale <sup>25</sup>
3.000		44.40	08/21/20	57.21	12.81 W	0.00	708 of 966 - Sale <sup>25</sup>
3.000		44.40	08/21/20	57.07	12.67 W	0.00	709 of 966 - Sale <sup>25</sup>
4.000		59.20	08/21/20	76.08	16.88 W	0.00	710 of 966 - Sale <sup>25</sup>
4.000		59.20	08/21/20	76.29	17.09 W	0.00	711 of 966 - Sale <sup>25</sup>
5.000		74.00	08/21/20	95.36	21.36 W	0.00	712 of 966 - Sale <sup>25</sup>
5.000		74.00	08/21/20	95.36	21.36 W	0.00	713 of 966 - Sale <sup>25</sup>
5.000		74.00	08/21/20	95.37	21.37 W	0.00	714 of 966 - Sale <sup>25</sup>
5.000		74.00	08/21/20	95.37	21.37 W	0.00	715 of 966 - Sale <sup>25</sup>
5.000		74.00	08/21/20	95.11	21.11 W	0.00	716 of 966 - Sale <sup>25</sup>
6.000		88.80	08/21/20	114.43	25.63 W	0.00	717 of 966 - Sale <sup>25</sup>
6.000		88.80	08/21/20	114.43	25.63 W	0.00	718 of 966 - Sale <sup>25</sup>
7.000		103.59	08/21/20	133.52	29.93 W	0.00	719 of 966 - Sale <sup>25</sup>
8.000		118.39	08/21/20	152.59	34.20 W	0.00	720 of 966 - Sale <sup>25</sup>
8.000		118.39	08/21/20	152.59	34.20 W	0.00	721 of 966 - Sale <sup>25</sup>
10.000		147.99	08/21/20	190.72	42.73 W	0.00	722 of 966 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
10.000		147.99	08/21/20	189.73	41.74 W	0.00	723 of 966 - Sale <sup>25</sup>
11.000		162.79	08/21/20	209.82	47.03 W	0.00	724 of 966 - Sale <sup>25</sup>
11.000		162.79	08/21/20	208.72	45.93 W	0.00	725 of 966 - Sale <sup>25</sup>
9.000		133.19	08/21/20	145.55	12.36 W	0.00	726 of 966 - Sale <sup>25</sup>
12.000		177.59	08/21/20	228.92	51.33 W	0.00	727 of 966 - Sale <sup>25</sup>
12.000		177.59	08/21/20	228.90	51.31 W	0.00	728 of 966 - Sale <sup>25</sup>
13.000		192.39	08/21/20	247.97	55.58 W	0.00	729 of 966 - Sale <sup>25</sup>
14.000		207.19	08/21/20	267.04	59.85 W	0.00	730 of 966 - Sale <sup>25</sup>
20.000		295.99	08/21/20	379.49	83.50 W	0.00	731 of 966 - Sale <sup>25</sup>
3.000		44.40	08/21/20	48.51	4.11 W	0.00	732 of 966 - Sale <sup>25</sup>
5.000		74.00	08/21/20	80.86	6.86 W	0.00	733 of 966 - Sale <sup>25</sup>
7.000		103.60	08/21/20	113.20	9.60 W	0.00	734 of 966 - Sale <sup>25</sup>
8.000		118.40	08/21/20	129.37	10.97 W	0.00	735 of 966 - Sale <sup>25</sup>
2.000		29.60	08/21/20	32.34	2.74 W	0.00	736 of 966 - Sale <sup>25</sup>
12.000		177.59	08/21/20	194.07	16.48 W	0.00	737 of 966 - Sale <sup>25</sup>
50.000		739.98	08/21/20	808.60	68.62 W	0.00	738 of 966 - Sale <sup>25</sup>
2.000		29.60	08/21/20	37.93	8.33 W	0.00	739 of 966 - Sale <sup>25</sup>
4.000		59.20	08/21/20	75.85	16.65 W	0.00	740 of 966 - Sale <sup>25</sup>
5.000		74.00	08/21/20	94.82	20.82 W	0.00	741 of 966 - Sale <sup>25</sup>
5.000		74.00	08/21/20	94.81	20.81 W	0.00	742 of 966 - Sale <sup>25</sup>
5.000		74.00	08/21/20	94.81	20.81 W	0.00	743 of 966 - Sale <sup>25</sup>
8.000		118.40	08/21/20	151.72	33.32 W	0.00	744 of 966 - Sale <sup>25</sup>
9.000		133.19	08/21/20	170.65	37.46 W	0.00	745 of 966 - Sale <sup>25</sup>
13.000		192.39	08/21/20	246.56	54.17 W	0.00	746 of 966 - Sale <sup>25</sup>
13.000		192.39	08/21/20	246.54	54.15 W	0.00	747 of 966 - Sale <sup>25</sup>
19.000		281.19	08/21/20	360.33	79.14 W	0.00	748 of 966 - Sale <sup>25</sup>
20.000		295.99	08/21/20	379.28	83.29 W	0.00	749 of 966 - Sale <sup>25</sup>
22.000		325.59	08/21/20	417.44	91.85 W	0.00	750 of 966 - Sale <sup>25</sup>
22.000		325.59	08/21/20	417.21	91.62 W	0.00	751 of 966 - Sale <sup>25</sup>
22.000		325.59	08/21/20	416.13	90.54 W	0.00	752 of 966 - Sale <sup>25</sup>
26.000		384.79	08/21/20	493.06	108.27 W	0.00	753 of 966 - Sale <sup>25</sup>
27.000		399.59	08/21/20	512.03	112.44 W	0.00	754 of 966 - Sale <sup>25</sup>
28.000		414.38	08/21/20	529.59	115.21 W	0.00	755 of 966 - Sale <sup>25</sup>
50.000		739.98	08/21/20	808.60	68.62 W	0.00	756 of 966 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
	2.000	29.60	08/21/20	37.55	7.95 W	0.00	757 of 966 - Sale <sup>25</sup>
	3.000	44.40	08/21/20	58.83	14.43 W	0.00	758 of 966 - Sale <sup>25</sup>
	4.000	59.20	08/21/20	78.09	18.89 W	0.00	759 of 966 - Sale <sup>25</sup>
	6.000	88.79	08/21/20	112.87	24.08 W	0.00	760 of 966 - Sale <sup>25</sup>
	7.000	103.60	08/21/20	137.30	33.70 W	0.00	761 of 966 - Sale <sup>25</sup>
	7.000	103.59	08/21/20	131.70	28.11 W	0.00	762 of 966 - Sale <sup>25</sup>
	8.000	118.40	08/21/20	150.90	32.50 W	0.00	763 of 966 - Sale <sup>25</sup>
	8.000	118.40	08/21/20	150.91	32.51 W	0.00	764 of 966 - Sale <sup>25</sup>
	15.000	221.99	08/21/20	282.21	60.22 W	0.00	765 of 966 - Sale <sup>25</sup>
	16.000	236.79	08/21/20	312.41	75.62 W	0.00	766 of 966 - Sale <sup>25</sup>
	24.000	355.19	08/21/20	470.74	115.55 W	0.00	767 of 966 - Sale <sup>25</sup>
	8.000	118.39	08/21/20	150.98	32.59 W	0.00	768 of 966 - Sale <sup>25</sup>
	5.000	74.00	08/21/20	94.37	20.37 W	0.00	769 of 966 - Sale <sup>25</sup>
	3.000	44.40	08/21/20	56.62	12.22 W	0.00	770 of 966 - Sale <sup>25</sup>
	12.000	177.60	08/21/20	226.50	48.90 W	0.00	771 of 966 - Sale <sup>25</sup>
	1.000	14.80	08/21/20	19.67	4.87 W	0.00	772 of 966 - Sale <sup>25</sup>
	2.000	29.60	08/21/20	37.74	8.14 W	0.00	773 of 966 - Sale <sup>25</sup>
	2.000	29.60	08/21/20	37.74	8.14 W	0.00	774 of 966 - Sale <sup>25</sup>
	3.000	44.40	08/21/20	59.02	14.62 W	0.00	775 of 966 - Sale <sup>25</sup>
	3.000	44.39	08/21/20	58.56	14.17 W	0.00	776 of 966 - Sale <sup>25</sup>
	5.000	74.00	08/21/20	97.61	23.61 W	0.00	777 of 966 - Sale <sup>25</sup>
	6.000	88.80	08/21/20	113.22	24.42 W	0.00	778 of 966 - Sale <sup>25</sup>
	7.000	103.60	08/21/20	137.70	34.10 W	0.00	779 of 966 - Sale <sup>25</sup>
	9.000	133.20	08/21/20	177.05	43.85 W	0.00	780 of 966 - Sale <sup>25</sup>
	1.000	14.80	08/21/20	19.52	4.72 W	0.00	781 of 966 - Sale <sup>25</sup>
	11.000	162.79	08/21/20	214.80	52.01 W	0.00	782 of 966 - Sale <sup>25</sup>
	14.000	207.19	08/21/20	264.27	57.08 W	0.00	783 of 966 - Sale <sup>25</sup>
	14.000	207.19	08/21/20	275.45	68.26 W	0.00	784 of 966 - Sale <sup>25</sup>
	22.000	325.59	08/21/20	415.26	89.67 W	0.00	785 of 966 - Sale <sup>25</sup>
	1.000	14.80	08/21/20	19.52	4.72 W	0.00	786 of 966 - Sale <sup>25</sup>
	1.000	14.80	08/21/20	19.32	4.52 W	0.00	787 of 966 - Sale <sup>25</sup>
	2.000	29.60	08/21/20	39.04	9.44 W	0.00	788 of 966 - Sale <sup>25</sup>
	2.000	29.60	08/21/20	39.05	9.45 W	0.00	789 of 966 - Sale <sup>25</sup>
	3.000	44.40	08/21/20	58.56	14.16 W	0.00	790 of 966 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
	3.000	44.40	08/21/20	58.57	14.17 W	0.00	791 of 966 - Sale <sup>25</sup>
	2.000	29.60	08/21/20	38.64	9.04 W	0.00	792 of 966 - Sale <sup>25</sup>
	4.000	59.20	08/21/20	78.10	18.90 W	0.00	793 of 966 - Sale <sup>25</sup>
	5.000	74.00	08/21/20	97.60	23.60 W	0.00	794 of 966 - Sale <sup>25</sup>
	5.000	74.00	08/21/20	97.62	23.62 W	0.00	795 of 966 - Sale <sup>25</sup>
	6.000	88.79	08/21/20	117.15	28.36 W	0.00	796 of 966 - Sale <sup>25</sup>
	7.000	103.60	08/21/20	136.68	33.08 W	0.00	797 of 966 - Sale <sup>25</sup>
	7.000	103.60	08/21/20	136.67	33.07 W	0.00	798 of 966 - Sale <sup>25</sup>
	8.000	118.39	08/21/20	156.60	38.21 W	0.00	799 of 966 - Sale <sup>25</sup>
	8.000	118.39	08/21/20	154.60	36.21 W	0.00	800 of 966 - Sale <sup>25</sup>
	8.000	118.40	08/21/20	156.20	37.80 W	0.00	801 of 966 - Sale <sup>25</sup>
	9.000	133.19	08/21/20	173.94	40.75 W	0.00	802 of 966 - Sale <sup>25</sup>
	19.000	281.19	08/21/20	370.97	89.78 W	0.00	803 of 966 - Sale <sup>25</sup>
	1.000	14.79	08/21/20	19.32	4.53 W	0.00	804 of 966 - Sale <sup>25</sup>
	1.000	14.79	08/21/20	19.27	4.48 W	0.00	805 of 966 - Sale <sup>25</sup>
	1.000	14.79	08/21/20	19.27	4.48 W	0.00	806 of 966 - Sale <sup>25</sup>
	1.000	14.79	08/21/20	19.32	4.53 W	0.00	807 of 966 - Sale <sup>25</sup>
	1.000	14.79	08/21/20	19.32	4.53 W	0.00	808 of 966 - Sale <sup>25</sup>
	1.000	14.79	08/21/20	19.32	4.53 W	0.00	809 of 966 - Sale <sup>25</sup>
	1.000	14.79	08/21/20	19.32	4.53 W	0.00	810 of 966 - Sale <sup>25</sup>
	1.000	14.79	08/21/20	19.22	4.43 W	0.00	811 of 966 - Sale <sup>25</sup>
	2.000	29.58	08/21/20	38.65	9.07 W	0.00	812 of 966 - Sale <sup>25</sup>
	2.000	29.58	08/21/20	38.64	9.06 W	0.00	813 of 966 - Sale <sup>25</sup>
	2.000	29.58	08/21/20	32.84	3.26 W	0.00	814 of 966 - Sale <sup>25</sup>
	1.000	14.79	08/21/20	19.32	4.53 W	0.00	815 of 966 - Sale <sup>25</sup>
	3.000	44.37	08/21/20	57.97	13.60 W	0.00	816 of 966 - Sale <sup>25</sup>
	3.000	44.37	08/21/20	57.97	13.60 W	0.00	817 of 966 - Sale <sup>25</sup>
	3.000	44.37	08/21/20	57.96	13.59 W	0.00	818 of 966 - Sale <sup>25</sup>
	3.000	44.37	08/21/20	57.82	13.45 W	0.00	819 of 966 - Sale <sup>25</sup>
	4.000	59.16	08/21/20	77.08	17.92 W	0.00	820 of 966 - Sale <sup>25</sup>
	4.000	59.16	08/21/20	77.29	18.13 W	0.00	821 of 966 - Sale <sup>25</sup>
	5.000	73.95	08/21/20	96.61	22.66 W	0.00	822 of 966 - Sale <sup>25</sup>
	5.000	73.95	08/21/20	96.61	22.66 W	0.00	823 of 966 - Sale <sup>25</sup>
	5.000	73.95	08/21/20	96.62	22.67 W	0.00	824 of 966 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
	5.000	73.95	08/21/20	96.62	22.67 W	0.00	825 of 966 - Sale <sup>25</sup>
	5.000	73.95	08/21/20	96.36	22.41 W	0.00	826 of 966 - Sale <sup>25</sup>
	6.000	88.74	08/21/20	115.93	27.19 W	0.00	827 of 966 - Sale <sup>25</sup>
	6.000	88.74	08/21/20	115.93	27.19 W	0.00	828 of 966 - Sale <sup>25</sup>
	7.000	103.53	08/21/20	135.28	31.75 W	0.00	829 of 966 - Sale <sup>25</sup>
	8.000	118.32	08/21/20	154.60	36.28 W	0.00	830 of 966 - Sale <sup>25</sup>
	8.000	118.32	08/21/20	154.60	36.28 W	0.00	831 of 966 - Sale <sup>25</sup>
	9.000	133.11	08/21/20	147.81	14.70 W	0.00	832 of 966 - Sale <sup>25</sup>
	10.000	147.90	08/21/20	193.23	45.33 W	0.00	833 of 966 - Sale <sup>25</sup>
	10.000	147.90	08/21/20	192.24	44.34 W	0.00	834 of 966 - Sale <sup>25</sup>
	10.000	147.90	08/21/20	164.23	16.33 W	0.00	835 of 966 - Sale <sup>25</sup>
	11.000	162.68	08/21/20	212.58	49.90 W	0.00	836 of 966 - Sale <sup>25</sup>
	11.000	162.68	08/21/20	211.48	48.80 W	0.00	837 of 966 - Sale <sup>25</sup>
	12.000	177.47	08/21/20	231.93	54.46 W	0.00	838 of 966 - Sale <sup>25</sup>
	12.000	177.47	08/21/20	231.91	54.44 W	0.00	839 of 966 - Sale <sup>25</sup>
	13.000	192.26	08/21/20	251.23	58.97 W	0.00	840 of 966 - Sale <sup>25</sup>
	14.000	207.05	08/21/20	270.55	63.50 W	0.00	841 of 966 - Sale <sup>25</sup>
	20.000	295.79	08/21/20	386.49	90.70 W	0.00	842 of 966 - Sale <sup>25</sup>
	20.000	295.79	08/21/20	384.50	88.71 W	0.00	843 of 966 - Sale <sup>25</sup>
	3.000	44.37	08/21/20	49.26	4.89 W	0.00	844 of 966 - Sale <sup>25</sup>
	5.000	73.95	08/21/20	82.11	8.16 W	0.00	845 of 966 - Sale <sup>25</sup>
	7.000	103.53	08/21/20	114.95	11.42 W	0.00	846 of 966 - Sale <sup>25</sup>
	35.000	517.63	08/21/20	574.78	57.15 W	0.00	847 of 966 - Sale <sup>25</sup>
	50.000	739.47	08/21/20	821.12	81.65 W	0.00	848 of 966 - Sale <sup>25</sup>
	1.000	14.79	08/21/20	19.21	4.42 W	0.00	849 of 966 - Sale <sup>25</sup>
	13.000	192.26	08/21/20	249.82	57.56 W	0.00	850 of 966 - Sale <sup>25</sup>
	15.000	221.84	08/21/20	246.34	24.50 W	0.00	851 of 966 - Sale <sup>25</sup>
	19.000	281.00	08/21/20	365.09	84.09 W	0.00	852 of 966 - Sale <sup>25</sup>
	22.000	325.37	08/21/20	422.95	97.58 W	0.00	853 of 966 - Sale <sup>25</sup>
	26.000	384.53	08/21/20	499.57	115.04 W	0.00	854 of 966 - Sale <sup>25</sup>
	15.000	221.84	08/21/20	288.22	66.38 W	0.00	855 of 966 - Sale <sup>25</sup>
	2.000	29.58	08/21/20	38.43	8.85 W	0.00	856 of 966 - Sale <sup>25</sup>
	2.000	29.58	08/21/20	38.05	8.47 W	0.00	857 of 966 - Sale <sup>25</sup>
	3.000	44.37	08/21/20	59.58	15.21 W	0.00	858 of 966 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
	3.000	44.37	08/21/20	57.37	13.00 W	0.00	859 of 966 - Sale <sup>25</sup>
	4.000	59.16	08/21/20	76.85	17.69 W	0.00	860 of 966 - Sale <sup>25</sup>
	4.000	59.16	08/21/20	79.09	19.93 W	0.00	861 of 966 - Sale <sup>25</sup>
	5.000	73.95	08/21/20	96.07	22.12 W	0.00	862 of 966 - Sale <sup>25</sup>
	5.000	73.95	08/21/20	96.06	22.11 W	0.00	863 of 966 - Sale <sup>25</sup>
	5.000	73.95	08/21/20	96.06	22.11 W	0.00	864 of 966 - Sale <sup>25</sup>
	5.000	73.95	08/21/20	95.62	21.67 W	0.00	865 of 966 - Sale <sup>25</sup>
	6.000	88.74	08/21/20	114.38	25.64 W	0.00	866 of 966 - Sale <sup>25</sup>
	7.000	103.53	08/21/20	139.05	35.52 W	0.00	867 of 966 - Sale <sup>25</sup>
	7.000	103.53	08/21/20	133.46	29.93 W	0.00	868 of 966 - Sale <sup>25</sup>
	8.000	118.32	08/21/20	153.72	35.40 W	0.00	869 of 966 - Sale <sup>25</sup>
	8.000	118.32	08/21/20	152.90	34.58 W	0.00	870 of 966 - Sale <sup>25</sup>
	8.000	118.32	08/21/20	152.91	34.59 W	0.00	871 of 966 - Sale <sup>25</sup>
	8.000	118.32	08/21/20	152.99	34.67 W	0.00	872 of 966 - Sale <sup>25</sup>
	9.000	133.11	08/21/20	172.91	39.80 W	0.00	873 of 966 - Sale <sup>25</sup>
	9.000	133.10	08/21/20	172.12	39.02 W	0.00	874 of 966 - Sale <sup>25</sup>
	11.000	162.68	08/21/20	211.36	48.68 W	0.00	875 of 966 - Sale <sup>25</sup>
	13.000	192.26	08/21/20	249.80	57.54 W	0.00	876 of 966 - Sale <sup>25</sup>
	15.000	221.84	08/21/20	285.97	64.13 W	0.00	877 of 966 - Sale <sup>25</sup>
	16.000	236.63	08/21/20	316.42	79.79 W	0.00	878 of 966 - Sale <sup>25</sup>
	20.000	295.79	08/21/20	384.29	88.50 W	0.00	879 of 966 - Sale <sup>25</sup>
	22.000	325.37	08/21/20	422.72	97.35 W	0.00	880 of 966 - Sale <sup>25</sup>
	22.000	325.37	08/21/20	421.64	96.27 W	0.00	881 of 966 - Sale <sup>25</sup>
	24.000	354.95	08/21/20	476.75	121.80 W	0.00	882 of 966 - Sale <sup>25</sup>
	28.000	414.11	08/21/20	536.61	122.50 W	0.00	883 of 966 - Sale <sup>25</sup>
	1.000	14.79	08/21/20	19.87	5.08 W	0.00	884 of 966 - Sale <sup>25</sup>
	1.000	14.79	08/21/20	19.72	4.93 W	0.00	885 of 966 - Sale <sup>25</sup>
	1.000	14.79	08/21/20	19.72	4.93 W	0.00	886 of 966 - Sale <sup>25</sup>
	1.000	14.79	08/21/20	19.52	4.73 W	0.00	887 of 966 - Sale <sup>25</sup>
	2.000	29.58	08/21/20	38.14	8.56 W	0.00	888 of 966 - Sale <sup>25</sup>
	2.000	29.58	08/21/20	38.14	8.56 W	0.00	889 of 966 - Sale <sup>25</sup>
	2.000	29.58	08/21/20	39.44	9.86 W	0.00	890 of 966 - Sale <sup>25</sup>
	2.000	29.58	08/21/20	39.45	9.87 W	0.00	891 of 966 - Sale <sup>25</sup>
	2.000	29.58	08/21/20	39.04	9.46 W	0.00	892 of 966 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
	3.000	44.37	08/21/20	57.23	12.86 W	0.00	893 of 966 - Sale <sup>25</sup>
	3.000	44.37	08/21/20	59.62	15.25 W	0.00	894 of 966 - Sale <sup>25</sup>
	3.000	44.37	08/21/20	59.17	14.80 W	0.00	895 of 966 - Sale <sup>25</sup>
	3.000	44.37	08/21/20	59.16	14.79 W	0.00	896 of 966 - Sale <sup>25</sup>
	3.000	44.37	08/21/20	59.17	14.80 W	0.00	897 of 966 - Sale <sup>25</sup>
	4.000	59.16	08/21/20	78.90	19.74 W	0.00	898 of 966 - Sale <sup>25</sup>
	5.000	73.95	08/21/20	98.61	24.66 W	0.00	899 of 966 - Sale <sup>25</sup>
	5.000	73.95	08/21/20	98.60	24.65 W	0.00	900 of 966 - Sale <sup>25</sup>
	5.000	73.95	08/21/20	98.62	24.67 W	0.00	901 of 966 - Sale <sup>25</sup>
	6.000	88.74	08/21/20	114.42	25.68 W	0.00	902 of 966 - Sale <sup>25</sup>
	6.000	88.74	08/21/20	118.36	29.62 W	0.00	903 of 966 - Sale <sup>25</sup>
	7.000	103.53	08/21/20	139.10	35.57 W	0.00	904 of 966 - Sale <sup>25</sup>
	7.000	103.52	08/21/20	138.08	34.56 W	0.00	905 of 966 - Sale <sup>25</sup>
	7.000	103.52	08/21/20	138.07	34.55 W	0.00	906 of 966 - Sale <sup>25</sup>
	8.000	118.31	08/21/20	157.80	39.49 W	0.00	907 of 966 - Sale <sup>25</sup>
	8.000	118.31	08/21/20	158.21	39.90 W	0.00	908 of 966 - Sale <sup>25</sup>
	8.000	118.31	08/21/20	156.21	37.90 W	0.00	909 of 966 - Sale <sup>25</sup>
	9.000	133.10	08/21/20	178.85	45.75 W	0.00	910 of 966 - Sale <sup>25</sup>
	9.000	133.10	08/21/20	175.75	42.65 W	0.00	911 of 966 - Sale <sup>25</sup>
	11.000	162.68	08/21/20	217.01	54.33 W	0.00	912 of 966 - Sale <sup>25</sup>
	14.000	207.05	08/21/20	267.08	60.03 W	0.00	913 of 966 - Sale <sup>25</sup>
	14.000	207.05	08/21/20	278.26	71.21 W	0.00	914 of 966 - Sale <sup>25</sup>
	19.000	281.00	08/21/20	374.78	93.78 W	0.00	915 of 966 - Sale <sup>25</sup>
	22.000	325.37	08/21/20	419.67	94.30 W	0.00	916 of 966 - Sale <sup>25</sup>
	50.000	739.46	08/21/20	750.00	10.54 W	0.00	917 of 966 - Sale <sup>25</sup>
	2.000	29.58	08/21/20	32.85	3.27 W	0.00	918 of 966 - Sale <sup>25</sup>
	8.000	118.32	08/21/20	131.37	13.05 W	0.00	919 of 966 - Sale <sup>25</sup>
	1.000	14.77	08/21/20	19.53	4.76 W	0.00	920 of 966 - Sale <sup>25</sup>
	1.000	14.77	08/21/20	19.53	4.76 W	0.00	921 of 966 - Sale <sup>25</sup>
	20.000	295.39	08/21/20	390.70	95.31 W	0.00	922 of 966 - Sale <sup>25</sup>
	1.000	14.77	08/21/20	19.48	4.71 W	0.00	923 of 966 - Sale <sup>25</sup>
	1.000	14.77	08/21/20	19.48	4.71 W	0.00	924 of 966 - Sale <sup>25</sup>
	1.000	14.77	08/21/20	19.53	4.76 W	0.00	925 of 966 - Sale <sup>25</sup>
	1.000	14.77	08/21/20	19.53	4.76 W	0.00	926 of 966 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
	1.000	14.77	08/21/20	19.53	4.76 W	0.00	927 of 966 - Sale <sup>25</sup>
	1.000	14.77	08/21/20	19.53	4.76 W	0.00	928 of 966 - Sale <sup>25</sup>
	2.000	29.54	08/21/20	39.07	9.53 W	0.00	929 of 966 - Sale <sup>25</sup>
	2.000	29.54	08/21/20	39.06	9.52 W	0.00	930 of 966 - Sale <sup>25</sup>
	3.000	44.31	08/21/20	58.60	14.29 W	0.00	931 of 966 - Sale <sup>25</sup>
	3.000	44.31	08/21/20	58.60	14.29 W	0.00	932 of 966 - Sale <sup>25</sup>
	3.000	44.31	08/21/20	58.59	14.28 W	0.00	933 of 966 - Sale <sup>25</sup>
	4.000	59.08	08/21/20	77.92	18.84 W	0.00	934 of 966 - Sale <sup>25</sup>
	5.000	73.85	08/21/20	97.66	23.81 W	0.00	935 of 966 - Sale <sup>25</sup>
	5.000	73.85	08/21/20	97.66	23.81 W	0.00	936 of 966 - Sale <sup>25</sup>
	5.000	73.85	08/21/20	97.67	23.82 W	0.00	937 of 966 - Sale <sup>25</sup>
	6.000	88.62	08/21/20	117.19	28.57 W	0.00	938 of 966 - Sale <sup>25</sup>
	6.000	88.62	08/21/20	117.19	28.57 W	0.00	939 of 966 - Sale <sup>25</sup>
	7.000	103.39	08/21/20	136.75	33.36 W	0.00	940 of 966 - Sale <sup>25</sup>
	8.000	118.16	08/21/20	156.28	38.12 W	0.00	941 of 966 - Sale <sup>25</sup>
	10.000	147.69	08/21/20	195.33	47.64 W	0.00	942 of 966 - Sale <sup>25</sup>
	12.000	177.23	08/21/20	234.46	57.23 W	0.00	943 of 966 - Sale <sup>25</sup>
	13.000	192.00	08/21/20	253.97	61.97 W	0.00	944 of 966 - Sale <sup>25</sup>
	3.000	44.31	08/21/20	58.45	14.14 W	0.00	945 of 966 - Sale <sup>25</sup>
	4.000	59.08	08/21/20	78.13	19.05 W	0.00	946 of 966 - Sale <sup>25</sup>
	5.000	73.85	08/21/20	97.67	23.82 W	0.00	947 of 966 - Sale <sup>25</sup>
	5.000	73.85	08/21/20	97.41	23.56 W	0.00	948 of 966 - Sale <sup>25</sup>
	8.000	118.16	08/21/20	156.28	38.12 W	0.00	949 of 966 - Sale <sup>25</sup>
	10.000	147.69	08/21/20	194.34	46.65 W	0.00	950 of 966 - Sale <sup>25</sup>
	11.000	162.46	08/21/20	214.90	52.44 W	0.00	951 of 966 - Sale <sup>25</sup>
	11.000	162.46	08/21/20	213.80	51.34 W	0.00	952 of 966 - Sale <sup>25</sup>
	12.000	177.23	08/21/20	234.44	57.21 W	0.00	953 of 966 - Sale <sup>25</sup>
	14.000	206.77	08/21/20	273.50	66.73 W	0.00	954 of 966 - Sale <sup>25</sup>
	17.000	251.08	08/21/20	330.40	79.32 W	0.00	955 of 966 - Sale <sup>25</sup>
	1.000	14.77	08/21/20	19.43	4.66 W	0.00	956 of 966 - Sale <sup>25</sup>
	2.000	29.54	08/21/20	33.26	3.72 W	0.00	957 of 966 - Sale <sup>25</sup>
	2.000	29.54	08/21/20	33.27	3.73 W	0.00	958 of 966 - Sale <sup>25</sup>
	3.000	44.31	08/21/20	58.31	14.00 W	0.00	959 of 966 - Sale <sup>25</sup>
	3.000	44.31	08/21/20	49.89	5.58 W	0.00	960 of 966 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
	5.000	73.85	08/21/20	83.16	9.31 W	0.00	961 of 966 - Sale <sup>25</sup>
	7.000	103.39	08/21/20	116.42	13.03 W	0.00	962 of 966 - Sale <sup>25</sup>
	8.000	118.16	08/21/20	133.05	14.89 W	0.00	963 of 966 - Sale <sup>25</sup>
	9.000	132.93	08/21/20	149.70	16.77 W	0.00	964 of 966 - Sale <sup>25</sup>
	10.000	147.69	08/21/20	166.33	18.64 W	0.00	965 of 966 - Sale <sup>25</sup>
	50.000	738.46	08/21/20	831.65	93.19 W	0.00	966 of 966 - Sale <sup>25</sup>
08/21/20	17,671.000	261,791.23	Various	298,119.15	36,327.92 W	0.00	Total of 966 transactions
	134 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	26.000	377.25	08/21/20	553.68	...	-176.43	1 of 134 - Sale <sup>25</sup>
	24.000	348.23	08/21/20	507.97	...	-159.74	2 of 134 - Sale <sup>25</sup>
	200.000	2,901.89	08/21/20	3,060.00	...	-158.11	3 of 134 - Sale <sup>25</sup>
	22.000	319.21	08/21/20	467.65	...	-148.44	4 of 134 - Sale <sup>25</sup>
	21.000	304.70	08/21/20	446.37	...	-141.67	5 of 134 - Sale <sup>25</sup>
	20.000	290.19	08/21/20	426.12	...	-135.93	6 of 134 - Sale <sup>25</sup>
	22.000	319.21	08/21/20	448.29	...	-129.08	7 of 134 - Sale <sup>25</sup>
	20.000	290.19	08/21/20	417.12	...	-126.93	8 of 134 - Sale <sup>25</sup>
	35.000	507.84	08/21/20	632.59	...	-124.75	9 of 134 - Sale <sup>25</sup>
	19.000	275.68	08/21/20	399.50	...	-123.82	10 of 134 - Sale <sup>25</sup>
	18.000	261.17	08/21/20	383.34	...	-122.17	11 of 134 - Sale <sup>25</sup>
	17.000	246.66	08/21/20	352.86	...	-106.20	12 of 134 - Sale <sup>25</sup>
	16.000	232.15	08/21/20	337.24	...	-105.09	13 of 134 - Sale <sup>25</sup>
	15.000	217.64	08/21/20	313.90	...	-96.26	14 of 134 - Sale <sup>25</sup>
	14.000	203.13	08/21/20	296.48	...	-93.35	15 of 134 - Sale <sup>25</sup>
	50.000	725.48	08/21/20	815.07	...	-89.59	16 of 134 - Sale <sup>25</sup>
	14.000	203.13	08/21/20	292.00	...	-88.87	17 of 134 - Sale <sup>25</sup>
	13.000	188.62	08/21/20	277.00	...	-88.38	18 of 134 - Sale <sup>25</sup>
	13.000	188.62	08/21/20	276.89	...	-88.27	19 of 134 - Sale <sup>25</sup>
	15.000	217.64	08/21/20	305.49	...	-87.85	20 of 134 - Sale <sup>25</sup>
	13.000	188.62	08/21/20	271.15	...	-82.53	21 of 134 - Sale <sup>25</sup>
	12.000	174.11	08/21/20	255.68	...	-81.57	22 of 134 - Sale <sup>25</sup>
	12.000	174.11	08/21/20	250.32	...	-76.21	23 of 134 - Sale <sup>25</sup>
	12.000	174.11	08/21/20	250.30	...	-76.19	24 of 134 - Sale <sup>25</sup>
	11.000	159.61	08/21/20	234.27	...	-74.66	25 of 134 - Sale <sup>25</sup>
	11.000	159.60	08/21/20	229.44	...	-69.84	26 of 134 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
11.000		159.60	08/21/20	228.34	...	-68.74	27 of 134 - Sale <sup>25</sup>
10.000		145.10	08/21/20	213.07	...	-67.97	28 of 134 - Sale <sup>25</sup>
10.000		145.10	08/21/20	208.55	...	-63.45	29 of 134 - Sale <sup>25</sup>
10.000		145.09	08/21/20	207.56	...	-62.47	30 of 134 - Sale <sup>25</sup>
9.000		130.59	08/21/20	191.73	...	-61.14	31 of 134 - Sale <sup>25</sup>
9.000		130.59	08/21/20	191.68	...	-61.09	32 of 134 - Sale <sup>25</sup>
9.000		130.59	08/21/20	187.46	...	-56.87	33 of 134 - Sale <sup>25</sup>
8.000		116.08	08/21/20	170.36	...	-54.28	34 of 134 - Sale <sup>25</sup>
9.000		130.59	08/21/20	183.41	...	-52.82	35 of 134 - Sale <sup>25</sup>
8.000		116.08	08/21/20	168.62	...	-52.54	36 of 134 - Sale <sup>25</sup>
8.000		116.08	08/21/20	168.24	...	-52.16	37 of 134 - Sale <sup>25</sup>
8.000		116.08	08/21/20	168.21	...	-52.13	38 of 134 - Sale <sup>25</sup>
8.000		116.08	08/21/20	166.85	...	-50.77	39 of 134 - Sale <sup>25</sup>
8.000		116.08	08/21/20	166.84	...	-50.76	40 of 134 - Sale <sup>25</sup>
8.000		116.08	08/21/20	166.62	...	-50.54	41 of 134 - Sale <sup>25</sup>
7.000		101.57	08/21/20	149.14	...	-47.57	42 of 134 - Sale <sup>25</sup>
8.000		116.08	08/21/20	163.39	...	-47.31	43 of 134 - Sale <sup>25</sup>
8.000		116.08	08/21/20	163.31	...	-47.23	44 of 134 - Sale <sup>25</sup>
8.000		116.08	08/21/20	163.30	...	-47.22	45 of 134 - Sale <sup>25</sup>
7.000		101.57	08/21/20	148.21	...	-46.64	46 of 134 - Sale <sup>25</sup>
7.000		101.57	08/21/20	148.20	...	-46.63	47 of 134 - Sale <sup>25</sup>
7.000		101.57	08/21/20	147.19	...	-45.62	48 of 134 - Sale <sup>25</sup>
7.000		101.57	08/21/20	147.18	...	-45.61	49 of 134 - Sale <sup>25</sup>
7.000		101.57	08/21/20	145.99	...	-44.42	50 of 134 - Sale <sup>25</sup>
7.000		101.57	08/21/20	143.26	...	-41.69	51 of 134 - Sale <sup>25</sup>
7.000		101.57	08/21/20	142.57	...	-41.00	52 of 134 - Sale <sup>25</sup>
6.000		87.06	08/21/20	127.77	...	-40.71	53 of 134 - Sale <sup>25</sup>
6.000		87.06	08/21/20	126.16	...	-39.10	54 of 134 - Sale <sup>25</sup>
6.000		87.06	08/21/20	125.11	...	-38.05	55 of 134 - Sale <sup>25</sup>
6.000		87.06	08/21/20	125.11	...	-38.05	56 of 134 - Sale <sup>25</sup>
10.000		145.10	08/21/20	181.25	...	-36.15	57 of 134 - Sale <sup>25</sup>
6.000		87.06	08/21/20	122.23	...	-35.17	58 of 134 - Sale <sup>25</sup>
6.000		87.06	08/21/20	122.19	...	-35.13	59 of 134 - Sale <sup>25</sup>
10.000		145.09	08/21/20	179.55	...	-34.46	60 of 134 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
	5.000	72.55	08/21/20	106.52	...	-33.97	61 of 134 - Sale <sup>25</sup>
	5.000	72.55	08/21/20	106.51	...	-33.96	62 of 134 - Sale <sup>25</sup>
	5.000	72.55	08/21/20	106.51	...	-33.96	63 of 134 - Sale <sup>25</sup>
	5.000	72.55	08/21/20	105.12	...	-32.57	64 of 134 - Sale <sup>25</sup>
	5.000	72.55	08/21/20	105.11	...	-32.56	65 of 134 - Sale <sup>25</sup>
	5.000	72.55	08/21/20	105.10	...	-32.55	66 of 134 - Sale <sup>25</sup>
	5.000	72.55	08/21/20	104.27	...	-31.72	67 of 134 - Sale <sup>25</sup>
	5.000	72.55	08/21/20	104.27	...	-31.72	68 of 134 - Sale <sup>25</sup>
	5.000	72.55	08/21/20	104.26	...	-31.71	69 of 134 - Sale <sup>25</sup>
	5.000	72.55	08/21/20	104.26	...	-31.71	70 of 134 - Sale <sup>25</sup>
	5.000	72.55	08/21/20	104.01	...	-31.46	71 of 134 - Sale <sup>25</sup>
	9.000	130.59	08/21/20	161.58	...	-30.99	72 of 134 - Sale <sup>25</sup>
	5.000	72.55	08/21/20	102.13	...	-29.58	73 of 134 - Sale <sup>25</sup>
	5.000	72.55	08/21/20	102.12	...	-29.57	74 of 134 - Sale <sup>25</sup>
	4.000	58.04	08/21/20	85.21	...	-27.17	75 of 134 - Sale <sup>25</sup>
	4.000	58.04	08/21/20	84.66	...	-26.62	76 of 134 - Sale <sup>25</sup>
	4.000	58.04	08/21/20	84.29	...	-26.25	77 of 134 - Sale <sup>25</sup>
	4.000	58.04	08/21/20	84.10	...	-26.06	78 of 134 - Sale <sup>25</sup>
	4.000	58.04	08/21/20	83.41	...	-25.37	79 of 134 - Sale <sup>25</sup>
	4.000	58.04	08/21/20	83.20	...	-25.16	80 of 134 - Sale <sup>25</sup>
	7.000	101.57	08/21/20	125.67	...	-24.10	81 of 134 - Sale <sup>25</sup>
	7.000	101.57	08/21/20	125.66	...	-24.09	82 of 134 - Sale <sup>25</sup>
	4.000	58.04	08/21/20	81.71	...	-23.67	83 of 134 - Sale <sup>25</sup>
	3.000	43.53	08/21/20	63.52	...	-19.99	84 of 134 - Sale <sup>25</sup>
	3.000	43.53	08/21/20	63.49	...	-19.96	85 of 134 - Sale <sup>25</sup>
	3.000	43.53	08/21/20	63.48	...	-19.95	86 of 134 - Sale <sup>25</sup>
	25.000	362.73	08/21/20	382.50	...	-19.77	87 of 134 - Sale <sup>25</sup>
	3.000	43.53	08/21/20	63.09	...	-19.56	88 of 134 - Sale <sup>25</sup>
	3.000	43.53	08/21/20	63.07	...	-19.54	89 of 134 - Sale <sup>25</sup>
	3.000	43.53	08/21/20	63.07	...	-19.54	90 of 134 - Sale <sup>25</sup>
	3.000	43.53	08/21/20	63.06	...	-19.53	91 of 134 - Sale <sup>25</sup>
	3.000	43.53	08/21/20	62.56	...	-19.03	92 of 134 - Sale <sup>25</sup>
	3.000	43.53	08/21/20	62.56	...	-19.03	93 of 134 - Sale <sup>25</sup>
	3.000	43.53	08/21/20	62.41	...	-18.88	94 of 134 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
	3.000	43.53	08/21/20	62.27	...	-18.74	95 of 134 - Sale <sup>25</sup>
	5.000	72.55	08/21/20	90.37	...	-17.82	96 of 134 - Sale <sup>25</sup>
	3.000	43.53	08/21/20	61.27	...	-17.74	97 of 134 - Sale <sup>25</sup>
	3.000	43.53	08/21/20	61.13	...	-17.60	98 of 134 - Sale <sup>25</sup>
	3.000	43.53	08/21/20	61.13	...	-17.60	99 of 134 - Sale <sup>25</sup>
	2.000	29.02	08/21/20	42.61	...	-13.59	100 of 134 - Sale <sup>25</sup>
	2.000	29.02	08/21/20	42.35	...	-13.33	101 of 134 - Sale <sup>25</sup>
	5.000	72.55	08/21/20	85.81	...	-13.26	102 of 134 - Sale <sup>25</sup>
	2.000	29.02	08/21/20	42.05	...	-13.03	103 of 134 - Sale <sup>25</sup>
	2.000	29.02	08/21/20	42.04	...	-13.02	104 of 134 - Sale <sup>25</sup>
	2.000	29.02	08/21/20	41.71	...	-12.69	105 of 134 - Sale <sup>25</sup>
	2.000	29.02	08/21/20	41.70	...	-12.68	106 of 134 - Sale <sup>25</sup>
	2.000	29.02	08/21/20	41.70	...	-12.68	107 of 134 - Sale <sup>25</sup>
	2.000	29.02	08/21/20	41.64	...	-12.62	108 of 134 - Sale <sup>25</sup>
	2.000	29.02	08/21/20	40.76	...	-11.74	109 of 134 - Sale <sup>25</sup>
	2.000	29.02	08/21/20	40.74	...	-11.72	110 of 134 - Sale <sup>25</sup>
	2.000	29.02	08/21/20	40.74	...	-11.72	111 of 134 - Sale <sup>25</sup>
	2.000	29.02	08/21/20	40.65	...	-11.63	112 of 134 - Sale <sup>25</sup>
	3.000	43.53	08/21/20	53.85	...	-10.32	113 of 134 - Sale <sup>25</sup>
	2.000	29.02	08/21/20	35.91	...	-6.89	114 of 134 - Sale <sup>25</sup>
	2.000	29.02	08/21/20	35.91	...	-6.89	115 of 134 - Sale <sup>25</sup>
	2.000	29.02	08/21/20	35.90	...	-6.88	116 of 134 - Sale <sup>25</sup>
	1.000	14.51	08/21/20	21.30	...	-6.79	117 of 134 - Sale <sup>25</sup>
	1.000	14.51	08/21/20	21.29	...	-6.78	118 of 134 - Sale <sup>25</sup>
	1.000	14.51	08/21/20	21.17	...	-6.66	119 of 134 - Sale <sup>25</sup>
	1.000	14.51	08/21/20	21.02	...	-6.51	120 of 134 - Sale <sup>25</sup>
	1.000	14.51	08/21/20	21.02	...	-6.51	121 of 134 - Sale <sup>25</sup>
	1.000	14.51	08/21/20	20.85	...	-6.34	122 of 134 - Sale <sup>25</sup>
	1.000	14.51	08/21/20	20.85	...	-6.34	123 of 134 - Sale <sup>25</sup>
	1.000	14.51	08/21/20	20.85	...	-6.34	124 of 134 - Sale <sup>25</sup>
	1.000	14.51	08/21/20	20.85	...	-6.34	125 of 134 - Sale <sup>25</sup>
	1.000	14.51	08/21/20	20.85	...	-6.34	126 of 134 - Sale <sup>25</sup>
	1.000	14.51	08/21/20	20.85	...	-6.34	127 of 134 - Sale <sup>25</sup>
	1.000	14.51	08/21/20	20.85	...	-6.34	128 of 134 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
	1.000	14.51	08/21/20	20.82	...	-6.31	129 of 134 - Sale <sup>25</sup>
	1.000	14.51	08/21/20	20.80	...	-6.29	130 of 134 - Sale <sup>25</sup>
	1.000	14.51	08/21/20	20.80	...	-6.29	131 of 134 - Sale <sup>25</sup>
	1.000	14.51	08/21/20	20.75	...	-6.24	132 of 134 - Sale <sup>25</sup>
	1.000	14.51	08/21/20	17.95	...	-3.44	133 of 134 - Sale <sup>25</sup>
	25.000	326.00	08/24/20	392.60	...	-66.60	134 of 134 - Sale <sup>25</sup>
08/24/20	1,202.000	17,403.86	Various	23,259.57	...	-5,855.71	Total of 134 transactions
	155 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	48.000	696.46	08/21/20	823.86	66.35 W	-61.05	1 of 155 - Sale <sup>25</sup>
	5.000	74.50	08/21/20	84.42	9.92 W	0.00	2 of 155 - Sale <sup>25</sup>
	35.000	521.48	08/21/20	590.90	69.42 W	0.00	3 of 155 - Sale <sup>25</sup>
	10.000	148.99	08/21/20	169.33	20.34 W	0.00	4 of 155 - Sale <sup>25</sup>
	15.000	223.49	08/21/20	296.03	72.54 W	0.00	5 of 155 - Sale <sup>25</sup>
	18.000	261.35	08/21/20	355.06	93.71 W	0.00	6 of 155 - Sale <sup>25</sup>
	7.000	101.64	08/21/20	138.15	36.51 W	0.00	7 of 155 - Sale <sup>25</sup>
	1.000	14.52	08/21/20	19.73	5.21 W	0.00	8 of 155 - Sale <sup>25</sup>
	1.000	14.52	08/21/20	19.72	5.20 W	0.00	9 of 155 - Sale <sup>25</sup>
	9.000	130.67	08/21/20	177.53	46.86 W	0.00	10 of 155 - Sale <sup>25</sup>
	13.000	188.75	08/21/20	256.46	67.71 W	0.00	11 of 155 - Sale <sup>25</sup>
	26.000	377.51	08/21/20	512.84	135.33 W	0.00	12 of 155 - Sale <sup>25</sup>
	6.000	87.12	08/21/20	118.35	31.23 W	0.00	13 of 155 - Sale <sup>25</sup>
	8.000	116.16	08/21/20	157.80	41.64 W	0.00	14 of 155 - Sale <sup>25</sup>
	11.000	159.71	08/21/20	216.98	57.27 W	0.00	15 of 155 - Sale <sup>25</sup>
	2.000	29.02	08/21/20	39.45	10.43 W	0.00	16 of 155 - Sale <sup>25</sup>
	4.000	58.04	08/21/20	78.89	20.85 W	0.00	17 of 155 - Sale <sup>25</sup>
	5.000	72.55	08/21/20	98.62	26.07 W	0.00	18 of 155 - Sale <sup>25</sup>
	5.000	72.55	08/21/20	98.61	26.06 W	0.00	19 of 155 - Sale <sup>25</sup>
	5.000	72.55	08/21/20	98.61	26.06 W	0.00	20 of 155 - Sale <sup>25</sup>
	9.000	130.59	08/21/20	177.50	46.91 W	0.00	21 of 155 - Sale <sup>25</sup>
	10.000	145.09	08/21/20	197.25	52.16 W	0.00	22 of 155 - Sale <sup>25</sup>
	13.000	188.62	08/21/20	256.44	67.82 W	0.00	23 of 155 - Sale <sup>25</sup>
	20.000	290.19	08/21/20	394.50	104.31 W	0.00	24 of 155 - Sale <sup>25</sup>
	3.000	43.53	08/21/20	61.11	17.58 W	0.00	25 of 155 - Sale <sup>25</sup>
	3.000	43.53	08/21/20	61.12	17.59 W	0.00	26 of 155 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
	8.000	116.08	08/21/20	156.98	40.90 W	0.00	27 of 155 - Sale <sup>25</sup>
	8.000	116.08	08/21/20	156.99	40.91 W	0.00	28 of 155 - Sale <sup>25</sup>
	12.000	174.11	08/21/20	236.70	62.59 W	0.00	29 of 155 - Sale <sup>25</sup>
	22.000	319.21	08/21/20	432.87	113.66 W	0.00	30 of 155 - Sale <sup>25</sup>
	24.000	348.23	08/21/20	489.00	140.77 W	0.00	31 of 155 - Sale <sup>25</sup>
	28.000	406.27	08/21/20	550.90	144.63 W	0.00	32 of 155 - Sale <sup>25</sup>
	2.000	29.02	08/21/20	39.07	10.05 W	0.00	33 of 155 - Sale <sup>25</sup>
	3.000	43.53	08/21/20	58.90	15.37 W	0.00	34 of 155 - Sale <sup>25</sup>
	4.000	58.04	08/21/20	81.50	23.46 W	0.00	35 of 155 - Sale <sup>25</sup>
	4.000	58.04	08/21/20	81.13	23.09 W	0.00	36 of 155 - Sale <sup>25</sup>
	5.000	72.55	08/21/20	98.17	25.62 W	0.00	37 of 155 - Sale <sup>25</sup>
	6.000	87.05	08/21/20	117.44	30.39 W	0.00	38 of 155 - Sale <sup>25</sup>
	7.000	101.56	08/21/20	137.03	35.47 W	0.00	39 of 155 - Sale <sup>25</sup>
	8.000	116.08	08/21/20	157.07	40.99 W	0.00	40 of 155 - Sale <sup>25</sup>
	4.000	58.03	08/21/20	78.54	20.51 W	0.00	41 of 155 - Sale <sup>25</sup>
	15.000	217.64	08/21/20	293.63	75.99 W	0.00	42 of 155 - Sale <sup>25</sup>
	16.000	232.15	08/21/20	324.59	92.44 W	0.00	43 of 155 - Sale <sup>25</sup>
	2.000	29.02	08/21/20	39.16	10.14 W	0.00	44 of 155 - Sale <sup>25</sup>
	2.000	29.02	08/21/20	39.18	10.16 W	0.00	45 of 155 - Sale <sup>25</sup>
	3.000	43.53	08/21/20	58.76	15.23 W	0.00	46 of 155 - Sale <sup>25</sup>
	5.000	72.55	08/21/20	98.18	25.63 W	0.00	47 of 155 - Sale <sup>25</sup>
	22.000	319.21	08/21/20	430.90	111.69 W	0.00	48 of 155 - Sale <sup>25</sup>
	3.000	43.53	08/21/20	58.76	15.23 W	0.00	49 of 155 - Sale <sup>25</sup>
	2.000	29.02	08/21/20	39.16	10.14 W	0.00	50 of 155 - Sale <sup>25</sup>
	6.000	87.05	08/21/20	117.48	30.43 W	0.00	51 of 155 - Sale <sup>25</sup>
	9.000	130.58	08/21/20	176.29	45.71 W	0.00	52 of 155 - Sale <sup>25</sup>
	2.000	29.02	08/21/20	40.77	11.75 W	0.00	53 of 155 - Sale <sup>25</sup>
	1.000	14.51	08/21/20	20.38	5.87 W	0.00	54 of 155 - Sale <sup>25</sup>
	3.000	43.53	08/21/20	61.15	17.62 W	0.00	55 of 155 - Sale <sup>25</sup>
	5.000	72.55	08/21/20	101.16	28.61 W	0.00	56 of 155 - Sale <sup>25</sup>
	7.000	101.57	08/21/20	142.67	41.10 W	0.00	57 of 155 - Sale <sup>25</sup>
	7.000	101.57	08/21/20	142.68	41.11 W	0.00	58 of 155 - Sale <sup>25</sup>
	8.000	116.07	08/21/20	161.91	45.84 W	0.00	59 of 155 - Sale <sup>25</sup>
	14.000	203.13	08/21/20	285.41	82.28 W	0.00	60 of 155 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
	1.000	14.51	08/21/20	20.23	5.72 W	0.00	61 of 155 - Sale <sup>25</sup>
	1.000	14.51	08/21/20	20.23	5.72 W	0.00	62 of 155 - Sale <sup>25</sup>
	1.000	14.51	08/21/20	20.03	5.52 W	0.00	63 of 155 - Sale <sup>25</sup>
	1.000	14.51	08/21/20	20.06	5.55 W	0.00	64 of 155 - Sale <sup>25</sup>
	1.000	14.51	08/21/20	20.06	5.55 W	0.00	65 of 155 - Sale <sup>25</sup>
	1.000	14.51	08/21/20	20.01	5.50 W	0.00	66 of 155 - Sale <sup>25</sup>
	1.000	14.51	08/21/20	20.01	5.50 W	0.00	67 of 155 - Sale <sup>25</sup>
	1.000	14.51	08/21/20	20.06	5.55 W	0.00	68 of 155 - Sale <sup>25</sup>
	1.000	14.51	08/21/20	20.06	5.55 W	0.00	69 of 155 - Sale <sup>25</sup>
	1.000	14.51	08/21/20	20.06	5.55 W	0.00	70 of 155 - Sale <sup>25</sup>
	2.000	29.02	08/21/20	40.46	11.44 W	0.00	71 of 155 - Sale <sup>25</sup>
	2.000	29.02	08/21/20	40.47	11.45 W	0.00	72 of 155 - Sale <sup>25</sup>
	2.000	29.02	08/21/20	40.06	11.04 W	0.00	73 of 155 - Sale <sup>25</sup>
	2.000	29.02	08/21/20	40.13	11.11 W	0.00	74 of 155 - Sale <sup>25</sup>
	2.000	29.02	08/21/20	40.12	11.10 W	0.00	75 of 155 - Sale <sup>25</sup>
	2.000	29.02	08/21/20	40.12	11.10 W	0.00	76 of 155 - Sale <sup>25</sup>
	3.000	43.53	08/21/20	60.70	17.17 W	0.00	77 of 155 - Sale <sup>25</sup>
	3.000	43.53	08/21/20	60.69	17.16 W	0.00	78 of 155 - Sale <sup>25</sup>
	3.000	43.53	08/21/20	60.70	17.17 W	0.00	79 of 155 - Sale <sup>25</sup>
	3.000	43.53	08/21/20	60.19	16.66 W	0.00	80 of 155 - Sale <sup>25</sup>
	3.000	43.53	08/21/20	60.19	16.66 W	0.00	81 of 155 - Sale <sup>25</sup>
	4.000	58.04	08/21/20	80.94	22.90 W	0.00	82 of 155 - Sale <sup>25</sup>
	4.000	58.04	08/21/20	80.04	22.00 W	0.00	83 of 155 - Sale <sup>25</sup>
	5.000	72.55	08/21/20	101.15	28.60 W	0.00	84 of 155 - Sale <sup>25</sup>
	5.000	72.55	08/21/20	101.17	28.62 W	0.00	85 of 155 - Sale <sup>25</sup>
	5.000	72.55	08/21/20	100.31	27.76 W	0.00	86 of 155 - Sale <sup>25</sup>
	5.000	72.55	08/21/20	100.31	27.76 W	0.00	87 of 155 - Sale <sup>25</sup>
	5.000	72.55	08/21/20	100.32	27.77 W	0.00	88 of 155 - Sale <sup>25</sup>
	6.000	87.06	08/21/20	121.42	34.36 W	0.00	89 of 155 - Sale <sup>25</sup>
	6.000	87.06	08/21/20	120.37	33.31 W	0.00	90 of 155 - Sale <sup>25</sup>
	6.000	87.06	08/21/20	120.37	33.31 W	0.00	91 of 155 - Sale <sup>25</sup>
	7.000	101.57	08/21/20	141.66	40.09 W	0.00	92 of 155 - Sale <sup>25</sup>
	7.000	101.57	08/21/20	141.65	40.08 W	0.00	93 of 155 - Sale <sup>25</sup>
	8.000	116.08	08/21/20	161.89	45.81 W	0.00	94 of 155 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
	8.000	116.08	08/21/20	162.30	46.22 W	0.00	95 of 155 - Sale <sup>25</sup>
	8.000	116.08	08/21/20	160.30	44.22 W	0.00	96 of 155 - Sale <sup>25</sup>
	8.000	116.08	08/21/20	160.52	44.44 W	0.00	97 of 155 - Sale <sup>25</sup>
	9.000	130.59	08/21/20	180.35	49.76 W	0.00	98 of 155 - Sale <sup>25</sup>
	10.000	145.09	08/21/20	200.64	55.55 W	0.00	99 of 155 - Sale <sup>25</sup>
	3.000	43.53	08/21/20	60.72	17.19 W	0.00	100 of 155 - Sale <sup>25</sup>
	12.000	174.11	08/21/20	240.83	66.72 W	0.00	101 of 155 - Sale <sup>25</sup>
	13.000	188.62	08/21/20	260.87	72.25 W	0.00	102 of 155 - Sale <sup>25</sup>
	19.000	275.68	08/21/20	384.48	108.80 W	0.00	103 of 155 - Sale <sup>25</sup>
	20.000	290.19	08/21/20	401.31	111.12 W	0.00	104 of 155 - Sale <sup>25</sup>
	50.000	725.47	08/21/20	775.54	50.07 W	0.00	105 of 155 - Sale <sup>25</sup>
	1.000	14.51	08/21/20	20.51	6.00 W	0.00	106 of 155 - Sale <sup>25</sup>
	1.000	14.51	08/21/20	20.50	5.99 W	0.00	107 of 155 - Sale <sup>25</sup>
	2.000	29.02	08/21/20	41.03	12.01 W	0.00	108 of 155 - Sale <sup>25</sup>
	4.000	58.04	08/21/20	82.05	24.01 W	0.00	109 of 155 - Sale <sup>25</sup>
	5.000	72.55	08/21/20	86.42	13.87 W	0.00	110 of 155 - Sale <sup>25</sup>
	5.000	72.55	08/21/20	102.57	30.02 W	0.00	111 of 155 - Sale <sup>25</sup>
	5.000	72.55	08/21/20	102.56	30.01 W	0.00	112 of 155 - Sale <sup>25</sup>
	5.000	72.55	08/21/20	102.56	30.01 W	0.00	113 of 155 - Sale <sup>25</sup>
	6.000	87.06	08/21/20	123.03	35.97 W	0.00	114 of 155 - Sale <sup>25</sup>
	7.000	101.57	08/21/20	143.61	42.04 W	0.00	115 of 155 - Sale <sup>25</sup>
	8.000	116.08	08/21/20	164.04	47.96 W	0.00	116 of 155 - Sale <sup>25</sup>
	9.000	130.58	08/21/20	184.56	53.98 W	0.00	117 of 155 - Sale <sup>25</sup>
	9.000	130.58	08/21/20	184.61	54.03 W	0.00	118 of 155 - Sale <sup>25</sup>
	10.000	145.09	08/21/20	173.34	28.25 W	0.00	119 of 155 - Sale <sup>25</sup>
	10.000	145.09	08/21/20	205.16	60.07 W	0.00	120 of 155 - Sale <sup>25</sup>
	11.000	159.60	08/21/20	225.57	65.97 W	0.00	121 of 155 - Sale <sup>25</sup>
	12.000	174.11	08/21/20	246.19	72.08 W	0.00	122 of 155 - Sale <sup>25</sup>
	13.000	188.62	08/21/20	266.61	77.99 W	0.00	123 of 155 - Sale <sup>25</sup>
	13.000	188.62	08/21/20	266.72	78.10 W	0.00	124 of 155 - Sale <sup>25</sup>
	15.000	217.64	08/21/20	302.04	84.40 W	0.00	125 of 155 - Sale <sup>25</sup>
	18.000	261.17	08/21/20	369.11	107.94 W	0.00	126 of 155 - Sale <sup>25</sup>
	20.000	290.19	08/21/20	410.31	120.12 W	0.00	127 of 155 - Sale <sup>25</sup>
	21.000	304.70	08/21/20	429.77	125.07 W	0.00	128 of 155 - Sale <sup>25</sup>

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(continued)

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HARPOON THERAPEUTICS, INC. COM MON STOCK / CUSIP: 41358P106 / Symbol: (cont'd)							
	22.000	319.21	08/21/20	450.26	131.05 W	0.00	129 of 155 - Sale <sup>25</sup>
	26.000	377.25	08/21/20	533.13	155.88 W	0.00	130 of 155 - Sale <sup>25</sup>
	35.000	507.83	08/21/20	604.92	97.09 W	0.00	131 of 155 - Sale <sup>25</sup>
	1.000	14.51	08/21/20	20.06	5.55 W	0.00	132 of 155 - Sale <sup>25</sup>
	1.000	14.51	08/21/20	20.06	5.55 W	0.00	133 of 155 - Sale <sup>25</sup>
	1.000	14.51	08/21/20	19.96	5.45 W	0.00	134 of 155 - Sale <sup>25</sup>
	2.000	29.02	08/21/20	34.32	5.30 W	0.00	135 of 155 - Sale <sup>25</sup>
	2.000	29.02	08/21/20	34.33	5.31 W	0.00	136 of 155 - Sale <sup>25</sup>
	3.000	43.53	08/21/20	60.04	16.51 W	0.00	137 of 155 - Sale <sup>25</sup>
	3.000	43.53	08/21/20	59.90	16.37 W	0.00	138 of 155 - Sale <sup>25</sup>
	3.000	43.53	08/21/20	51.48	7.95 W	0.00	139 of 155 - Sale <sup>25</sup>
	4.000	58.04	08/21/20	80.25	22.21 W	0.00	140 of 155 - Sale <sup>25</sup>
	5.000	72.55	08/21/20	100.32	27.77 W	0.00	141 of 155 - Sale <sup>25</sup>
	5.000	72.55	08/21/20	100.06	27.51 W	0.00	142 of 155 - Sale <sup>25</sup>
	7.000	101.57	08/21/20	140.46	38.89 W	0.00	143 of 155 - Sale <sup>25</sup>
	7.000	101.56	08/21/20	120.13	18.57 W	0.00	144 of 155 - Sale <sup>25</sup>
	8.000	116.07	08/21/20	160.52	44.45 W	0.00	145 of 155 - Sale <sup>25</sup>
	8.000	116.08	08/21/20	137.29	21.21 W	0.00	146 of 155 - Sale <sup>25</sup>
	9.000	130.59	08/21/20	154.47	23.88 W	0.00	147 of 155 - Sale <sup>25</sup>
	10.000	145.09	08/21/20	199.65	54.56 W	0.00	148 of 155 - Sale <sup>25</sup>
	10.000	145.09	08/21/20	171.64	26.55 W	0.00	149 of 155 - Sale <sup>25</sup>
	11.000	159.60	08/21/20	220.74	61.14 W	0.00	150 of 155 - Sale <sup>25</sup>
	11.000	159.60	08/21/20	219.64	60.04 W	0.00	151 of 155 - Sale <sup>25</sup>
	12.000	174.11	08/21/20	240.81	66.70 W	0.00	152 of 155 - Sale <sup>25</sup>
	14.000	203.13	08/21/20	280.93	77.80 W	0.00	153 of 155 - Sale <sup>25</sup>
	17.000	246.66	08/21/20	339.42	92.76 W	0.00	154 of 155 - Sale <sup>25</sup>
	2.000	29.02	08/21/20	34.33	5.31 W	0.00	155 of 155 - Sale <sup>25</sup>
08/24/20	1,288.000	18,714.62	Various	25,049.86	6,274.19 W	-61.05	Total of 155 transactions
	<b>Security total:</b>	<b>390,217.83</b>		<b>436,523.99</b>	<b>42,602.11 W</b>	<b>-3,704.05</b>	
HAVERTY FURNITURE COMPANIES, I NC. / CUSIP: 419596101 / Symbol:							
05/12/20	30.000	431.10	05/11/20	430.80	...	0.30	Sale <sup>25</sup>
HAWAIIAN HOLDINGS, INC. COMMON STOCK / CUSIP: 419879101 / Symbol:							
03/26/20	25.000	312.75	03/25/20	343.00	...	-30.25	Sale <sup>25</sup>

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HEAT BIOLOGICS, INC. COMMON STOCK / CUSIP: 42237K300 / Symbol:							
07/09/20	500.000	470.59	07/09/20	477.50	6.91 W	0.00	Sale <sup>25</sup>
	3 transactions for 07/10/20. Total proceeds and cost reported to the IRS.						
	100.000	101.98	07/10/20	106.38	4.40 W	0.00	1 of 3 - Sale <sup>25</sup>
	200.000	203.97	07/10/20	212.77	8.80 W	0.00	2 of 3 - Sale <sup>25</sup>
	200.000	203.97	07/10/20	212.76	8.79 W	0.00	3 of 3 - Sale <sup>25</sup>
07/10/20	500.000	509.92	Various	531.91	21.99 W	0.00	Total of 3 transactions
	34 transactions for 07/14/20. Total proceeds and cost reported to the IRS.						
	100.000	117.99	07/13/20	126.90	8.91 W	0.00	1 of 34 - Sale <sup>25</sup>
	150.000	176.98	07/13/20	190.35	13.37 W	0.00	2 of 34 - Sale <sup>25</sup>
	50.000	58.00	07/13/20	63.45	5.45 W	0.00	3 of 34 - Sale <sup>25</sup>
	200.000	232.01	07/13/20	253.79	21.78 W	0.00	4 of 34 - Sale <sup>25</sup>
	500.000	580.03	07/13/20	612.50	32.47 W	0.00	5 of 34 - Sale <sup>25</sup>
	100.000	116.00	07/13/20	129.91	13.91 W	0.00	6 of 34 - Sale <sup>25</sup>
	150.000	174.01	07/13/20	194.87	20.86 W	0.00	7 of 34 - Sale <sup>25</sup>
	1,000.000	1,160.05	07/13/20	1,210.00	49.95 W	0.00	8 of 34 - Sale <sup>25</sup>
	50.000	59.20	07/13/20	65.95	6.75 W	0.00	9 of 34 - Sale <sup>25</sup>
	200.000	236.79	07/13/20	263.78	26.99 W	0.00	10 of 34 - Sale <sup>25</sup>
	500.000	591.98	07/13/20	642.42	50.44 W	0.00	11 of 34 - Sale <sup>25</sup>
	100.000	118.39	07/13/20	135.91	17.52 W	0.00	12 of 34 - Sale <sup>25</sup>
	150.000	177.59	07/13/20	203.86	26.27 W	0.00	13 of 34 - Sale <sup>25</sup>
	50.000	59.20	07/13/20	63.50	4.30 W	0.00	14 of 34 - Sale <sup>25</sup>
	450.000	532.78	07/13/20	571.48	38.70 W	0.00	15 of 34 - Sale <sup>25</sup>
	50.000	58.50	07/13/20	71.50	13.00 W	0.00	16 of 34 - Sale <sup>25</sup>
	150.000	175.51	07/13/20	214.49	38.98 W	0.00	17 of 34 - Sale <sup>25</sup>
	500.000	585.03	07/13/20	672.47	87.44 W	0.00	18 of 34 - Sale <sup>25</sup>
	50.000	58.50	07/13/20	71.50	13.00 W	0.00	19 of 34 - Sale <sup>25</sup>
	250.000	292.51	07/13/20	348.97	56.46 W	0.00	20 of 34 - Sale <sup>25</sup>
	250.000	292.51	07/13/20	330.20	37.69 W	0.00	21 of 34 - Sale <sup>25</sup>
	100.000	117.01	07/13/20	149.52	32.51 W	0.00	22 of 34 - Sale <sup>25</sup>
	150.000	175.51	07/13/20	224.27	48.76 W	0.00	23 of 34 - Sale <sup>25</sup>
	50.000	58.50	07/13/20	70.30	11.80 W	0.00	24 of 34 - Sale <sup>25</sup>
	200.000	234.01	07/13/20	281.20	47.19 W	0.00	25 of 34 - Sale <sup>25</sup>
	250.000	292.51	07/13/20	351.48	58.97 W	0.00	26 of 34 - Sale <sup>25</sup>
	750.000	877.54	07/13/20	989.92	112.38 W	0.00	27 of 34 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEAT BIOLOGICS, INC. COMMON STOCK / CUSIP: 42237K300 / Symbol: (cont'd)							
	135.000	157.96	07/13/20	184.95	26.99 W	0.00	28 of 34 - Sale <sup>25</sup>
	115.000	134.56	07/13/20	157.55	22.99 W	0.00	29 of 34 - Sale <sup>25</sup>
	35.000	41.99	07/13/20	57.05	15.06 W	0.00	30 of 34 - Sale <sup>25</sup>
	50.000	59.99	07/13/20	81.50	21.51 W	0.00	31 of 34 - Sale <sup>25</sup>
	115.000	137.98	07/13/20	187.43	49.45 W	0.00	32 of 34 - Sale <sup>25</sup>
	299.000	358.76	07/13/20	461.92	103.16 W	0.00	33 of 34 - Sale <sup>25</sup>
	1.000	1.16	07/13/20	1.54	0.38 W	0.00	34 of 34 - Sale <sup>25</sup>
07/14/20	7,250.000	8,501.04	Various	9,636.43	1,135.39 W	0.00	Total of 34 transactions
	10 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	100.000	120.99	07/15/20	128.99	8.00 W	0.00	1 of 10 - Sale <sup>25</sup>
	50.000	67.99	07/15/20	83.75	15.76 W	0.00	2 of 10 - Sale <sup>25</sup>
	50.000	67.99	07/15/20	78.29	10.30 W	0.00	3 of 10 - Sale <sup>25</sup>
	250.000	339.96	07/15/20	410.21	70.25 W	0.00	4 of 10 - Sale <sup>25</sup>
	100.000	135.99	07/15/20	158.99	23.00 W	0.00	5 of 10 - Sale <sup>25</sup>
	100.000	135.98	07/15/20	156.07	20.09 W	0.00	6 of 10 - Sale <sup>25</sup>
	63.000	85.67	07/15/20	97.06	11.39 W	0.00	7 of 10 - Sale <sup>25</sup>
	15.000	20.40	07/15/20	25.73	5.33 W	0.00	8 of 10 - Sale <sup>25</sup>
	37.000	50.31	07/15/20	57.01	6.70 W	0.00	9 of 10 - Sale <sup>25</sup>
	85.000	115.59	07/15/20	145.78	30.19 W	0.00	10 of 10 - Sale <sup>25</sup>
07/15/20	850.000	1,140.87	Various	1,341.88	201.01 W	0.00	Total of 10 transactions
	20 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	97.000	193.99	07/16/20	221.65	27.66 W	0.00	1 of 20 - Sale <sup>25</sup>
	53.000	105.99	07/16/20	121.11	15.12 W	0.00	2 of 20 - Sale <sup>25</sup>
	25.000	50.00	07/16/20	54.90	4.90 W	0.00	3 of 20 - Sale <sup>25</sup>
	50.000	99.99	07/16/20	109.79	9.80 W	0.00	4 of 20 - Sale <sup>25</sup>
	100.000	199.98	07/16/20	218.09	18.11 W	0.00	5 of 20 - Sale <sup>25</sup>
	75.000	149.99	07/16/20	163.95	13.96 W	0.00	6 of 20 - Sale <sup>25</sup>
	100.000	199.98	07/16/20	218.59	18.61 W	0.00	7 of 20 - Sale <sup>25</sup>
	150.000	299.97	07/16/20	332.38	32.41 W	0.00	8 of 20 - Sale <sup>25</sup>
	100.000	199.98	07/16/20	212.98	13.00 W	0.00	9 of 20 - Sale <sup>25</sup>
	150.000	299.98	07/16/20	354.73	54.75 W	0.00	10 of 20 - Sale <sup>25</sup>
	100.000	199.99	07/16/20	236.48	36.49 W	0.00	11 of 20 - Sale <sup>25</sup>
	250.000	512.46	07/16/20	583.71	71.25 W	0.00	12 of 20 - Sale <sup>25</sup>
	50.000	102.49	07/16/20	120.75	18.26 W	0.00	13 of 20 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEAT BIOLOGICS, INC. COMMON STOCK / CUSIP: 42237K300 / Symbol: (cont'd)							
	150.000	307.47	07/16/20	354.73	47.26 W	0.00	14 of 20 - Sale <sup>25</sup>
	15.000	30.75	07/16/20	39.67	8.92 W	0.00	15 of 20 - Sale <sup>25</sup>
	35.000	71.74	07/16/20	92.59	20.85 W	0.00	16 of 20 - Sale <sup>25</sup>
	50.000	102.49	07/16/20	132.26	29.77 W	0.00	17 of 20 - Sale <sup>25</sup>
	85.000	174.24	07/16/20	205.27	31.03 W	0.00	18 of 20 - Sale <sup>25</sup>
	65.000	133.24	07/16/20	156.97	23.73 W	0.00	19 of 20 - Sale <sup>25</sup>
	50.000	97.00	07/16/20	120.74	23.74 W	0.00	20 of 20 - Sale <sup>25</sup>
07/16/20	1,750.000	3,531.72	Various	4,051.34	519.62 W	0.00	Total of 20 transactions
	23 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	250.000	652.46	07/17/20	730.23	...	-77.77	1 of 23 - Sale <sup>25</sup>
	299.000	780.34	07/17/20	849.16	...	-68.82	2 of 23 - Sale <sup>25</sup>
	84.000	209.56	07/17/20	251.58	...	-42.02	3 of 23 - Sale <sup>25</sup>
	97.000	242.00	07/17/20	283.73	...	-41.73	4 of 23 - Sale <sup>25</sup>
	100.000	260.98	07/17/20	286.99	...	-26.01	5 of 23 - Sale <sup>25</sup>
	53.000	132.22	07/17/20	155.04	...	-22.82	6 of 23 - Sale <sup>25</sup>
	63.000	155.91	07/17/20	177.70	...	-21.79	7 of 23 - Sale <sup>25</sup>
	75.000	177.74	07/17/20	196.58	...	-18.84	8 of 23 - Sale <sup>25</sup>
	50.000	123.74	07/17/20	142.04	...	-18.30	9 of 23 - Sale <sup>25</sup>
	50.000	123.74	07/17/20	141.80	...	-18.06	10 of 23 - Sale <sup>25</sup>
	50.000	130.49	07/17/20	147.75	...	-17.26	11 of 23 - Sale <sup>25</sup>
	37.000	91.57	07/17/20	104.38	...	-12.81	12 of 23 - Sale <sup>25</sup>
	50.000	130.49	07/17/20	142.29	...	-11.80	13 of 23 - Sale <sup>25</sup>
	25.000	61.87	07/17/20	70.90	...	-9.03	14 of 23 - Sale <sup>25</sup>
	25.000	61.87	07/17/20	70.52	...	-8.65	15 of 23 - Sale <sup>25</sup>
	34.000	88.73	07/17/20	96.59	...	-7.86	16 of 23 - Sale <sup>25</sup>
	15.000	39.15	07/17/20	44.93	...	-5.78	17 of 23 - Sale <sup>25</sup>
	16.000	39.92	07/17/20	45.45	...	-5.53	18 of 23 - Sale <sup>25</sup>
	13.000	30.81	07/17/20	34.14	...	-3.33	19 of 23 - Sale <sup>25</sup>
	12.000	28.44	07/17/20	31.51	...	-3.07	20 of 23 - Sale <sup>25</sup>
	1.000	2.61	07/17/20	3.00	...	-0.39	21 of 23 - Sale <sup>25</sup>
	1.000	2.61	07/17/20	2.88	...	-0.27	22 of 23 - Sale <sup>25</sup>
	100.000	260.98	07/17/20	257.50	...	3.48	23 of 23 - Sale <sup>25</sup>
07/17/20	1,500.000	3,828.23	Various	4,266.69	...	-438.46	Total of 23 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEAT BIOLOGICS, INC. COMMON STOCK / CUSIP: 42237K300 / Symbol: (cont'd)							
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	69.000	180.08	07/17/20	201.83	21.75 W	0.00	1 of 2 - Sale <sup>25</sup>
	31.000	80.90	07/17/20	90.68	9.78 W	0.00	2 of 2 - Sale <sup>25</sup>
07/17/20	100.000	260.98	Various	292.51	31.53 W	0.00	Total of 2 transactions
12 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	100.000	289.98	07/17/20	292.00	...	-2.02	1 of 12 - Sale <sup>25</sup>
	3.000	8.70	07/17/20	8.32	...	0.38	2 of 12 - Sale <sup>25</sup>
	47.000	136.29	07/17/20	130.24	...	6.05	3 of 12 - Sale <sup>25</sup>
	50.000	144.99	07/17/20	136.81	...	8.18	4 of 12 - Sale <sup>25</sup>
	100.000	289.98	07/17/20	276.61	...	13.37	5 of 12 - Sale <sup>25</sup>
	100.000	289.98	07/17/20	273.61	...	16.37	6 of 12 - Sale <sup>25</sup>
	100.000	289.98	07/17/20	268.50	...	21.48	7 of 12 - Sale <sup>25</sup>
	100.000	289.98	07/20/20	346.49	...	-56.51	8 of 12 - Sale <sup>25</sup>
	100.000	277.99	07/20/20	324.00	...	-46.01	9 of 12 - Sale <sup>25</sup>
	150.000	522.72	07/20/20	564.75	...	-42.03	10 of 12 - Sale <sup>25</sup>
	100.000	348.48	07/20/20	379.51	...	-31.03	11 of 12 - Sale <sup>25</sup>
	50.000	144.99	07/20/20	173.25	...	-28.26	12 of 12 - Sale <sup>25</sup>
07/20/20	1,000.000	3,034.06	Various	3,174.09	...	-140.03	Total of 12 transactions
11 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	50.000	121.50	07/29/20	153.27	...	-31.77	1 of 11 - Sale <sup>25</sup>
	65.000	152.09	07/29/20	181.03	...	-28.94	2 of 11 - Sale <sup>25</sup>
	50.000	116.99	07/29/20	144.74	...	-27.75	3 of 11 - Sale <sup>25</sup>
	69.000	161.45	07/29/20	188.73	...	-27.28	4 of 11 - Sale <sup>25</sup>
	35.000	85.04	07/29/20	107.30	...	-22.26	5 of 11 - Sale <sup>25</sup>
	50.000	121.49	07/29/20	141.76	...	-20.27	6 of 11 - Sale <sup>25</sup>
	50.000	121.50	07/29/20	141.50	...	-20.00	7 of 11 - Sale <sup>25</sup>
	50.000	121.50	07/29/20	139.25	...	-17.75	8 of 11 - Sale <sup>25</sup>
	35.000	81.89	07/29/20	97.48	...	-15.59	9 of 11 - Sale <sup>25</sup>
	31.000	72.53	07/29/20	84.80	...	-12.27	10 of 11 - Sale <sup>25</sup>
	15.000	36.45	07/29/20	45.97	...	-9.52	11 of 11 - Sale <sup>25</sup>
07/29/20	500.000	1,192.43	Various	1,425.83	...	-233.40	Total of 11 transactions
	<b>Security total:</b>	<b>22,469.84</b>		<b>25,198.18</b>	<b>1,916.45 W</b>	<b>-811.89</b>	

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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HEALTHPEAK PROPERTIES, INC. / CUSIP: 42250P103 / Symbol:							
10/02/20	50.000	1,418.57	10/02/20	1,407.50	...	11.07	Sale <sup>25</sup>
HENNESSY CAPITAL ACQUISITION C ORP. IV CLASS A COMMON STOCK / CUSIP: 42589C104 / Symbol:							
08/18/20	270.000	2,890.28	08/18/20	2,915.97	...	-25.69	Sale <sup>25</sup>
HEPION PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 426897104 / Symbol:							
2 transactions for 03/23/20. Total proceeds and cost reported to the IRS.							
	100.000	178.18	03/20/20	274.00	...	-95.82	1 of 2 - Sale <sup>25</sup>
	100.000	181.18	03/20/20	274.00	...	-92.82	2 of 2 - Sale <sup>25</sup>
03/23/20	200.000	359.36	Various	548.00	...	-188.64	Total of 2 transactions
HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol:							
3 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	25.000	199.99	03/23/20	166.50	...	33.49	1 of 3 - Sale <sup>25</sup>
	50.000	399.98	03/23/20	336.00	...	63.98	2 of 3 - Sale <sup>25</sup>
	75.000	599.98	03/23/20	447.44	...	152.54	3 of 3 - Sale <sup>25</sup>
03/24/20	150.000	1,199.95	Various	949.94	...	250.01	Total of 3 transactions
6 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	100.000	461.99	04/16/20	471.50	9.51 W	0.00	1 of 6 - Sale <sup>25</sup>
	100.000	461.98	04/16/20	481.01	19.03 W	0.00	2 of 6 - Sale <sup>25</sup>
	25.000	115.49	04/16/20	125.00	9.51 W	0.00	3 of 6 - Sale <sup>25</sup>
	75.000	346.48	04/16/20	383.25	36.77 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.000	4.62	04/16/20	5.30	0.68 W	0.00	5 of 6 - Sale <sup>25</sup>
	99.000	457.38	04/17/20	516.81	59.43 W	0.00	6 of 6 - Sale <sup>25</sup>
04/20/20	400.000	1,847.94	Various	1,982.87	134.93 W	0.00	Total of 6 transactions
5 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	1.000	3.67	04/23/20	4.71	1.04 W	0.00	1 of 5 - Sale <sup>25</sup>
	99.000	363.32	04/23/20	458.39	95.07 W	0.00	2 of 5 - Sale <sup>25</sup>
	25.000	91.75	04/23/20	110.26	18.51 W	0.00	3 of 5 - Sale <sup>25</sup>
	75.000	275.24	04/23/20	339.01	63.77 W	0.00	4 of 5 - Sale <sup>25</sup>
	300.000	1,100.96	04/23/20	1,208.97	108.01 W	0.00	5 of 5 - Sale <sup>25</sup>
04/24/20	500.000	1,834.94	Various	2,121.34	286.40 W	0.00	Total of 5 transactions

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
2 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	75.000	337.48	04/24/20	335.64	...	1.84	1 of 2 - Sale <sup>25</sup>
	25.000	112.49	04/24/20	109.14	...	3.35	2 of 2 - Sale <sup>25</sup>
04/28/20	100.000	449.97	Various	444.78	...	5.19	Total of 2 transactions
3 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	1.000	4.50	04/24/20	4.67	0.17 W	0.00	1 of 3 - Sale <sup>25</sup>
	99.000	445.48	04/24/20	453.94	8.46 W	0.00	2 of 3 - Sale <sup>25</sup>
	200.000	899.96	04/27/20	917.77	17.81 W	0.00	3 of 3 - Sale <sup>25</sup>
04/28/20	300.000	1,349.94	Various	1,376.38	26.44 W	0.00	Total of 3 transactions
3 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	50.000	249.99	04/28/20	249.30	...	0.69	1 of 3 - Sale <sup>25</sup>
	99.000	494.98	04/28/20	493.56	...	1.42	2 of 3 - Sale <sup>25</sup>
	200.000	800.30	04/29/20	1,092.00	...	-291.70	3 of 3 - Sale <sup>25</sup>
04/29/20	349.000	1,545.27	Various	1,834.86	...	-289.59	Total of 3 transactions
14 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	10.000	50.00	04/28/20	52.18	2.18 W	0.00	1 of 14 - Sale <sup>25</sup>
	5.000	25.00	04/28/20	26.09	1.09 W	0.00	2 of 14 - Sale <sup>25</sup>
	80.000	399.99	04/28/20	417.47	17.48 W	0.00	3 of 14 - Sale <sup>25</sup>
	5.000	25.00	04/28/20	26.09	1.09 W	0.00	4 of 14 - Sale <sup>25</sup>
	1.000	5.00	04/28/20	5.07	0.07 W	0.00	5 of 14 - Sale <sup>25</sup>
	50.000	249.98	04/28/20	250.95	0.97 W	0.00	6 of 14 - Sale <sup>25</sup>
	5.000	20.01	04/29/20	27.18	7.17 W	0.00	7 of 14 - Sale <sup>25</sup>
	5.000	20.01	04/29/20	27.18	7.17 W	0.00	8 of 14 - Sale <sup>25</sup>
	10.000	40.01	04/29/20	54.36	14.35 W	0.00	9 of 14 - Sale <sup>25</sup>
	80.000	320.12	04/29/20	434.95	114.83 W	0.00	10 of 14 - Sale <sup>25</sup>
	100.000	400.15	04/29/20	530.74	130.59 W	0.00	11 of 14 - Sale <sup>25</sup>
	1.000	4.00	04/29/20	5.28	1.28 W	0.00	12 of 14 - Sale <sup>25</sup>
	50.000	200.07	04/29/20	261.46	61.39 W	0.00	13 of 14 - Sale <sup>25</sup>
	49.000	196.07	04/29/20	255.29	59.22 W	0.00	14 of 14 - Sale <sup>25</sup>
04/29/20	451.000	1,955.41	Various	2,374.29	418.88 W	0.00	Total of 14 transactions
4 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	100.000	321.00	05/04/20	476.58	...	-155.58	1 of 4 - Sale <sup>25</sup>
	35.000	105.76	05/04/20	178.66	...	-72.90	2 of 4 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
	10.000	30.22	05/04/20	51.04	...	-20.82	3 of 4 - Sale <sup>25</sup>
	5.000	15.11	05/04/20	25.52	...	-10.41	4 of 4 - Sale <sup>25</sup>
05/05/20	150.000	472.09	Various	731.80	...	-259.71	Total of 4 transactions
	6 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	1.000	3.12	05/14/20	3.66	0.54 W	0.00	1 of 6 - Sale <sup>25</sup>
	49.000	152.88	05/14/20	176.66	23.78 W	0.00	2 of 6 - Sale <sup>25</sup>
	5.000	15.60	05/14/20	19.06	3.46 W	0.00	3 of 6 - Sale <sup>25</sup>
	45.000	140.40	05/14/20	171.59	31.19 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.000	3.12	05/14/20	3.93	0.81 W	0.00	5 of 6 - Sale <sup>25</sup>
	49.000	152.88	05/14/20	191.40	38.52 W	0.00	6 of 6 - Sale <sup>25</sup>
05/18/20	150.000	468.00	Various	566.30	98.30 W	0.00	Total of 6 transactions
06/09/20	50.000	212.49	06/08/20	185.75	...	26.74	Sale <sup>25</sup>
	7 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	1.000	4.25	06/05/20	4.48	0.23 W	0.00	1 of 7 - Sale <sup>25</sup>
	49.000	208.24	06/05/20	216.84	8.60 W	0.00	2 of 7 - Sale <sup>25</sup>
	4.000	17.00	06/05/20	18.53	1.53 W	0.00	3 of 7 - Sale <sup>25</sup>
	45.000	191.24	06/05/20	208.49	17.25 W	0.00	4 of 7 - Sale <sup>25</sup>
	1.000	4.25	06/05/20	4.63	0.38 W	0.00	5 of 7 - Sale <sup>25</sup>
	1.000	4.25	06/08/20	4.53	0.28 W	0.00	6 of 7 - Sale <sup>25</sup>
	49.000	208.24	06/08/20	220.55	12.31 W	0.00	7 of 7 - Sale <sup>25</sup>
06/09/20	150.000	637.47	Various	678.05	40.58 W	0.00	Total of 7 transactions
	7 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	850.000	1,801.93	06/24/20	1,895.50	...	-93.57	1 of 7 - Sale <sup>25</sup>
	45.000	95.40	06/24/20	117.60	...	-22.20	2 of 7 - Sale <sup>25</sup>
	49.000	103.88	06/24/20	121.58	...	-17.70	3 of 7 - Sale <sup>25</sup>
	49.000	103.88	06/24/20	117.87	...	-13.99	4 of 7 - Sale <sup>25</sup>
	4.000	8.48	06/24/20	10.45	...	-1.97	5 of 7 - Sale <sup>25</sup>
	1.000	2.12	06/24/20	2.61	...	-0.49	6 of 7 - Sale <sup>25</sup>
	1.000	2.12	06/24/20	2.51	...	-0.39	7 of 7 - Sale <sup>25</sup>
06/24/20	999.000	2,117.81	Various	2,268.12	...	-150.31	Total of 7 transactions
06/24/20	1.000	2.12	06/24/20	2.46	0.34 W	0.00	Sale <sup>25</sup>
07/23/20	1.000	1.77	07/22/20	2.13	...	-0.36	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
8 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	350.000	902.93	10/16/20	923.44	20.51 W	0.00	1 of 8 - Sale <sup>25</sup>
	40.000	103.19	10/16/20	104.00	0.81 W	0.00	2 of 8 - Sale <sup>25</sup>
	17.000	40.65	10/16/20	45.20	4.55 W	0.00	3 of 8 - Sale <sup>25</sup>
	100.000	239.14	10/16/20	264.86	25.72 W	0.00	4 of 8 - Sale <sup>25</sup>
	100.000	239.14	10/16/20	267.86	28.72 W	0.00	5 of 8 - Sale <sup>25</sup>
	69.000	165.01	10/16/20	184.82	19.81 W	0.00	6 of 8 - Sale <sup>25</sup>
	36.000	86.09	10/16/20	94.33	8.24 W	0.00	7 of 8 - Sale <sup>25</sup>
	64.000	153.05	10/16/20	170.15	17.10 W	0.00	8 of 8 - Sale <sup>25</sup>
10/16/20	776.000	1,929.20	Various	2,054.66	125.46 W	0.00	Total of 8 transactions
17 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	9.000	24.49	10/16/20	24.36	...	0.13	1 of 17 - Sale <sup>25</sup>
	40.000	107.39	10/16/20	107.09	...	0.30	2 of 17 - Sale <sup>25</sup>
	60.000	161.09	10/16/20	160.63	...	0.46	3 of 17 - Sale <sup>25</sup>
	48.000	130.63	10/16/20	129.94	...	0.69	4 of 17 - Sale <sup>25</sup>
	4.000	10.74	10/16/20	9.88	...	0.86	5 of 17 - Sale <sup>25</sup>
	27.000	73.48	10/16/20	71.52	...	1.96	6 of 17 - Sale <sup>25</sup>
	64.000	174.17	10/16/20	171.98	...	2.19	7 of 17 - Sale <sup>25</sup>
	100.000	272.14	10/19/20	280.00	...	-7.86	8 of 17 - Sale <sup>25</sup>
	100.000	272.14	10/19/20	280.00	...	-7.86	9 of 17 - Sale <sup>25</sup>
	40.000	108.86	10/19/20	112.90	...	-4.04	10 of 17 - Sale <sup>25</sup>
	36.000	97.97	10/19/20	101.60	...	-3.63	11 of 17 - Sale <sup>25</sup>
	9.000	24.49	10/19/20	27.26	...	-2.77	12 of 17 - Sale <sup>25</sup>
	24.000	65.31	10/19/20	67.74	...	-2.43	13 of 17 - Sale <sup>25</sup>
	15.000	40.82	10/19/20	42.04	...	-1.22	14 of 17 - Sale <sup>25</sup>
	12.000	32.66	10/19/20	33.88	...	-1.22	15 of 17 - Sale <sup>25</sup>
	12.000	32.66	10/19/20	33.60	...	-0.94	16 of 17 - Sale <sup>25</sup>
	2.000	5.44	10/19/20	5.64	...	-0.20	17 of 17 - Sale <sup>25</sup>
10/19/20	602.000	1,634.48	Various	1,660.06	...	-25.58	Total of 17 transactions
24 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	2.000	5.37	10/16/20	5.44	0.07 W	0.00	1 of 24 - Sale <sup>25</sup>
	15.000	40.27	10/16/20	40.31	0.04 W	0.00	2 of 24 - Sale <sup>25</sup>
	60.000	161.09	10/16/20	162.43	1.34 W	0.00	3 of 24 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
	40.000	107.39	10/16/20	108.29	0.90 W	0.00	4 of 24 - Sale <sup>25</sup>
	12.000	32.21	10/16/20	32.49	0.28 W	0.00	5 of 24 - Sale <sup>25</sup>
	2.000	5.44	10/19/20	5.67	0.23 W	0.00	6 of 24 - Sale <sup>25</sup>
	482.000	1,215.61	10/19/20	1,359.24	143.63 W	0.00	7 of 24 - Sale <sup>25</sup>
	2.000	5.04	10/19/20	5.83	0.79 W	0.00	8 of 24 - Sale <sup>25</sup>
	16.000	40.35	10/19/20	44.80	4.45 W	0.00	9 of 24 - Sale <sup>25</sup>
	85.000	214.38	10/19/20	218.45	4.07 W	0.00	10 of 24 - Sale <sup>25</sup>
	100.000	238.98	10/19/20	279.80	40.82 W	0.00	11 of 24 - Sale <sup>25</sup>
	60.000	143.39	10/19/20	167.88	24.49 W	0.00	12 of 24 - Sale <sup>25</sup>
	100.000	238.98	10/19/20	279.80	40.82 W	0.00	13 of 24 - Sale <sup>25</sup>
	181.000	432.56	10/19/20	490.14	57.58 W	0.00	14 of 24 - Sale <sup>25</sup>
	2.000	4.78	10/19/20	5.61	0.83 W	0.00	15 of 24 - Sale <sup>25</sup>
	16.000	38.24	10/19/20	43.01	4.77 W	0.00	16 of 24 - Sale <sup>25</sup>
	41.000	97.98	10/19/20	111.03	13.05 W	0.00	17 of 24 - Sale <sup>25</sup>
	85.000	203.13	10/19/20	208.92	5.79 W	0.00	18 of 24 - Sale <sup>25</sup>
	175.000	418.22	10/19/20	421.75	3.53 W	0.00	19 of 24 - Sale <sup>25</sup>
	100.000	238.98	10/19/20	240.00	1.02 W	0.00	20 of 24 - Sale <sup>25</sup>
	140.000	334.58	10/19/20	336.00	1.42 W	0.00	21 of 24 - Sale <sup>25</sup>
	60.000	143.39	10/19/20	168.49	25.10 W	0.00	22 of 24 - Sale <sup>25</sup>
	100.000	238.98	10/19/20	280.82	41.84 W	0.00	23 of 24 - Sale <sup>25</sup>
	100.000	238.98	10/19/20	280.82	41.84 W	0.00	24 of 24 - Sale <sup>25</sup>
10/19/20	1,976.000	4,838.32	Various	5,297.02	458.70 W	0.00	Total of 24 transactions
	46 transactions for 10/20/20. Total proceeds and cost reported to the IRS.						
	147.000	301.34	10/20/20	355.51	...	-54.17	1 of 46 - Sale <sup>25</sup>
	98.000	200.89	10/20/20	243.89	...	-43.00	2 of 46 - Sale <sup>25</sup>
	60.000	123.00	10/20/20	149.32	...	-26.32	3 of 46 - Sale <sup>25</sup>
	40.000	82.00	10/20/20	99.55	...	-17.55	4 of 46 - Sale <sup>25</sup>
	30.000	61.50	10/20/20	74.66	...	-13.16	5 of 46 - Sale <sup>25</sup>
	24.000	49.20	10/20/20	59.72	...	-10.52	6 of 46 - Sale <sup>25</sup>
	23.000	47.15	10/20/20	55.64	...	-8.49	7 of 46 - Sale <sup>25</sup>
	85.000	174.24	10/20/20	181.78	...	-7.54	8 of 46 - Sale <sup>25</sup>
	16.000	32.80	10/20/20	37.89	...	-5.09	9 of 46 - Sale <sup>25</sup>
	14.000	28.70	10/20/20	33.44	...	-4.74	10 of 46 - Sale <sup>25</sup>
	9.000	18.45	10/20/20	22.93	...	-4.48	11 of 46 - Sale <sup>25</sup>

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(continued)

03/15/2021

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
11.000		22.55	10/20/20	26.60	...	-4.05	12 of 46 - Sale <sup>25</sup>
90.000		184.49	10/20/20	188.16	...	-3.67	13 of 46 - Sale <sup>25</sup>
6.000		12.30	10/20/20	14.93	...	-2.63	14 of 46 - Sale <sup>25</sup>
7.000		14.35	10/20/20	16.93	...	-2.58	15 of 46 - Sale <sup>25</sup>
50.000		102.50	10/20/20	105.03	...	-2.53	16 of 46 - Sale <sup>25</sup>
40.000		82.00	10/20/20	84.02	...	-2.02	17 of 46 - Sale <sup>25</sup>
5.000		10.25	10/20/20	12.09	...	-1.84	18 of 46 - Sale <sup>25</sup>
58.000		118.90	10/20/20	120.67	...	-1.77	19 of 46 - Sale <sup>25</sup>
4.000		8.20	10/20/20	9.68	...	-1.48	20 of 46 - Sale <sup>25</sup>
32.000		65.59	10/20/20	66.90	...	-1.31	21 of 46 - Sale <sup>25</sup>
35.000		71.75	10/20/20	72.82	...	-1.07	22 of 46 - Sale <sup>25</sup>
32.000		65.60	10/20/20	66.58	...	-0.98	23 of 46 - Sale <sup>25</sup>
2.000		4.10	10/20/20	5.03	...	-0.93	24 of 46 - Sale <sup>25</sup>
2.000		4.10	10/20/20	4.98	...	-0.88	25 of 46 - Sale <sup>25</sup>
1.000		2.05	10/20/20	2.58	...	-0.53	26 of 46 - Sale <sup>25</sup>
1.000		2.05	10/20/20	2.42	...	-0.37	27 of 46 - Sale <sup>25</sup>
9.000		18.45	10/20/20	18.81	...	-0.36	28 of 46 - Sale <sup>25</sup>
10.000		20.50	10/20/20	20.80	...	-0.30	29 of 46 - Sale <sup>25</sup>
1,015.000		2,080.68	10/20/20	2,080.75	...	-0.07	30 of 46 - Sale <sup>25</sup>
354.000		725.64	10/20/20	725.70	...	-0.06	31 of 46 - Sale <sup>25</sup>
260.000		532.97	10/20/20	533.00	...	-0.03	32 of 46 - Sale <sup>25</sup>
156.000		319.78	10/20/20	319.80	...	-0.02	33 of 46 - Sale <sup>25</sup>
48.000		98.40	10/20/20	98.40	...	0.00	34 of 46 - Sale <sup>25</sup>
24.000		49.20	10/20/20	49.20	...	0.00	35 of 46 - Sale <sup>25</sup>
2.000		4.10	10/20/20	4.10	...	0.00	36 of 46 - Sale <sup>25</sup>
10.000		20.50	10/20/20	20.50	...	0.00	37 of 46 - Sale <sup>25</sup>
12.000		24.60	10/20/20	24.48	...	0.12	38 of 46 - Sale <sup>25</sup>
15.000		30.75	10/20/20	30.60	...	0.15	39 of 46 - Sale <sup>25</sup>
18.000		36.90	10/20/20	36.72	...	0.18	40 of 46 - Sale <sup>25</sup>
20.000		41.00	10/20/20	40.80	...	0.20	41 of 46 - Sale <sup>25</sup>
15.000		30.90	10/20/20	30.61	...	0.29	42 of 46 - Sale <sup>25</sup>
31.000		63.54	10/20/20	63.24	...	0.30	43 of 46 - Sale <sup>25</sup>
39.000		79.94	10/20/20	79.56	...	0.38	44 of 46 - Sale <sup>25</sup>
200.000		411.98	10/20/20	410.00	...	1.98	45 of 46 - Sale <sup>25</sup>

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(continued)

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
10/20/20	300.000	614.94	10/20/20	612.00	...	2.94	46 of 46 - Sale <sup>25</sup>
	3,460.000	7,094.82	Various	7,312.82	...	-218.00	Total of 46 transactions
	58 transactions for 10/20/20. Total proceeds and cost reported to the IRS.						
	1.000	2.19	10/19/20	2.70	0.51 W	0.00	1 of 58 - Sale <sup>25</sup>
	9.000	19.71	10/19/20	24.01	4.30 W	0.00	2 of 58 - Sale <sup>25</sup>
	76.000	155.79	10/20/20	158.87	1.30 W	-1.78	3 of 58 - Sale <sup>25</sup>
	6,220.000	12,749.98	10/20/20	12,751.00	0.04 W	-0.98	4 of 58 - Sale <sup>25</sup>
	1.000	2.02	10/20/20	2.37	0.35 W	0.00	5 of 58 - Sale <sup>25</sup>
	147.000	296.93	10/20/20	348.11	51.18 W	0.00	6 of 58 - Sale <sup>25</sup>
	2.000	4.04	10/20/20	4.93	0.89 W	0.00	7 of 58 - Sale <sup>25</sup>
	23.000	46.46	10/20/20	54.47	8.01 W	0.00	8 of 58 - Sale <sup>25</sup>
	27.000	54.53	10/20/20	63.94	9.41 W	0.00	9 of 58 - Sale <sup>25</sup>
	9.000	18.18	10/20/20	18.63	0.45 W	0.00	10 of 58 - Sale <sup>25</sup>
	16.000	32.32	10/20/20	37.57	5.25 W	0.00	11 of 58 - Sale <sup>25</sup>
	14.000	28.28	10/20/20	33.16	4.88 W	0.00	12 of 58 - Sale <sup>25</sup>
	85.000	171.69	10/20/20	180.04	8.35 W	0.00	13 of 58 - Sale <sup>25</sup>
	76.000	153.51	10/20/20	157.33	3.82 W	0.00	14 of 58 - Sale <sup>25</sup>
	70.000	141.39	10/20/20	172.79	31.40 W	0.00	15 of 58 - Sale <sup>25</sup>
	100.000	201.98	10/20/20	206.02	4.04 W	0.00	16 of 58 - Sale <sup>25</sup>
	140.000	282.78	10/20/20	288.42	5.64 W	0.00	17 of 58 - Sale <sup>25</sup>
	90.000	181.78	10/20/20	186.32	4.54 W	0.00	18 of 58 - Sale <sup>25</sup>
	24.000	48.48	10/20/20	59.24	10.76 W	0.00	19 of 58 - Sale <sup>25</sup>
	2.000	4.04	10/20/20	4.99	0.95 W	0.00	20 of 58 - Sale <sup>25</sup>
	1.000	2.02	10/20/20	2.56	0.54 W	0.00	21 of 58 - Sale <sup>25</sup>
	9.000	18.18	10/20/20	22.75	4.57 W	0.00	22 of 58 - Sale <sup>25</sup>
	6.000	12.12	10/20/20	14.81	2.69 W	0.00	23 of 58 - Sale <sup>25</sup>
	60.000	121.19	10/20/20	148.10	26.91 W	0.00	24 of 58 - Sale <sup>25</sup>
	98.000	197.95	10/20/20	241.90	43.95 W	0.00	25 of 58 - Sale <sup>25</sup>
	1.000	2.02	10/20/20	2.40	0.38 W	0.00	26 of 58 - Sale <sup>25</sup>
	23.000	46.46	10/20/20	55.16	8.70 W	0.00	27 of 58 - Sale <sup>25</sup>
	27.000	54.53	10/20/20	64.76	10.23 W	0.00	28 of 58 - Sale <sup>25</sup>
	2.000	4.04	10/20/20	4.94	0.90 W	0.00	29 of 58 - Sale <sup>25</sup>
	147.000	296.93	10/20/20	352.53	55.60 W	0.00	30 of 58 - Sale <sup>25</sup>
	9.000	18.54	10/20/20	18.90	0.36 W	0.00	31 of 58 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
	14.000	28.84	10/20/20	33.58	4.74 W	0.00	32 of 58 - Sale <sup>25</sup>
	16.000	32.96	10/20/20	38.05	5.09 W	0.00	33 of 58 - Sale <sup>25</sup>
	35.000	72.09	10/20/20	73.16	1.07 W	0.00	34 of 58 - Sale <sup>25</sup>
	76.000	156.55	10/20/20	159.62	3.07 W	0.00	35 of 58 - Sale <sup>25</sup>
	85.000	175.08	10/20/20	182.60	7.52 W	0.00	36 of 58 - Sale <sup>25</sup>
	90.000	185.38	10/20/20	189.04	3.66 W	0.00	37 of 58 - Sale <sup>25</sup>
	40.000	82.39	10/20/20	84.41	2.02 W	0.00	38 of 58 - Sale <sup>25</sup>
	50.000	102.99	10/20/20	105.52	2.53 W	0.00	39 of 58 - Sale <sup>25</sup>
	2.000	4.12	10/20/20	5.05	0.93 W	0.00	40 of 58 - Sale <sup>25</sup>
	1.000	2.06	10/20/20	2.59	0.53 W	0.00	41 of 58 - Sale <sup>25</sup>
	9.000	18.54	10/20/20	23.02	4.48 W	0.00	42 of 58 - Sale <sup>25</sup>
	58.000	119.47	10/20/20	121.24	1.77 W	0.00	43 of 58 - Sale <sup>25</sup>
	1.000	2.06	10/20/20	2.43	0.37 W	0.00	44 of 58 - Sale <sup>25</sup>
	2.000	4.12	10/20/20	5.00	0.88 W	0.00	45 of 58 - Sale <sup>25</sup>
	6.000	12.36	10/20/20	14.99	2.63 W	0.00	46 of 58 - Sale <sup>25</sup>
	23.000	47.38	10/20/20	55.85	8.47 W	0.00	47 of 58 - Sale <sup>25</sup>
	24.000	49.44	10/20/20	59.96	10.52 W	0.00	48 of 58 - Sale <sup>25</sup>
	27.000	55.61	10/20/20	65.58	9.97 W	0.00	49 of 58 - Sale <sup>25</sup>
	60.000	123.59	10/20/20	149.91	26.32 W	0.00	50 of 58 - Sale <sup>25</sup>
	70.000	144.19	10/20/20	174.90	30.71 W	0.00	51 of 58 - Sale <sup>25</sup>
	98.000	201.86	10/20/20	244.85	42.99 W	0.00	52 of 58 - Sale <sup>25</sup>
	42.000	86.51	10/20/20	87.79	1.28 W	0.00	53 of 58 - Sale <sup>25</sup>
	147.000	302.79	10/20/20	356.95	54.16 W	0.00	54 of 58 - Sale <sup>25</sup>
	9.000	18.45	10/20/20	18.81	0.36 W	0.00	55 of 58 - Sale <sup>25</sup>
	14.000	28.70	10/20/20	33.44	4.74 W	0.00	56 of 58 - Sale <sup>25</sup>
	16.000	32.80	10/20/20	37.89	5.09 W	0.00	57 of 58 - Sale <sup>25</sup>
	85.000	174.24	10/20/20	181.77	7.53 W	0.00	58 of 58 - Sale <sup>25</sup>
10/20/20	8,615.000	17,634.63	Various	18,191.72	554.33 W	-2.76	Total of 58 transactions
	2 transactions for 10/27/20. Total proceeds and cost reported to the IRS.						
	245.000	392.58	10/26/20	401.84	...	-9.26	1 of 2 - Sale <sup>25</sup>
	4.000	6.41	10/26/20	6.52	...	-0.11	2 of 2 - Sale <sup>25</sup>
10/27/20	249.000	398.99	Various	408.36	...	-9.37	Total of 2 transactions
	<b>Security total:</b>	<b>47,625.61</b>		<b>50,443.71</b>	<b>2,144.36 W</b>	<b>-673.74</b>	

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEXO CORP. / CUSIP: 428304109 / Symbol:							
03/05/20	200.000	234.22	03/04/20	252.00	17.78 W	0.00	Sale <sup>25</sup>
	10 transactions for 03/27/20. Total proceeds and cost reported to the IRS.						
	200.000	217.97	03/26/20	222.00	...	-4.03	1 of 10 - Sale <sup>25</sup>
	127.000	138.41	03/26/20	140.82	...	-2.41	2 of 10 - Sale <sup>25</sup>
	49.000	53.40	03/26/20	54.39	...	-0.99	3 of 10 - Sale <sup>25</sup>
	1.000	1.09	03/26/20	1.11	...	-0.02	4 of 10 - Sale <sup>25</sup>
	1.000	1.09	03/26/20	1.07	...	0.02	5 of 10 - Sale <sup>25</sup>
	5.000	5.45	03/26/20	5.34	...	0.11	6 of 10 - Sale <sup>25</sup>
	27.000	29.43	03/26/20	28.86	...	0.57	7 of 10 - Sale <sup>25</sup>
	40.000	43.59	03/26/20	42.75	...	0.84	8 of 10 - Sale <sup>25</sup>
	50.000	54.49	03/26/20	51.00	...	3.49	9 of 10 - Sale <sup>25</sup>
	177.000	192.91	03/26/20	187.60	...	5.31	10 of 10 - Sale <sup>25</sup>
03/27/20	677.000	737.83	Various	734.94	...	2.89	Total of 10 transactions
	4 transactions for 03/27/20. Total proceeds and cost reported to the IRS.						
	40.000	44.00	03/26/20	44.35	0.35 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	1.10	03/26/20	1.11	0.01 W	0.00	2 of 4 - Sale <sup>25</sup>
	5.000	5.50	03/26/20	5.54	0.04 W	0.00	3 of 4 - Sale <sup>25</sup>
	27.000	29.70	03/26/20	29.94	0.24 W	0.00	4 of 4 - Sale <sup>25</sup>
03/27/20	73.000	80.30	Various	80.94	0.64 W	0.00	Total of 4 transactions
07/01/20	500.000	371.14	07/01/20	397.13	...	-25.99	Sale <sup>25</sup>
	2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.						
	190.000	144.23	08/17/20	147.21	...	-2.98	1 of 2 - Sale <sup>25</sup>
	5.000	3.79	08/17/20	3.87	...	-0.08	2 of 2 - Sale <sup>25</sup>
08/17/20	195.000	148.02	Various	151.08	...	-3.06	Total of 2 transactions
	13 transactions for 12/04/20. Total proceeds and cost reported to the IRS.						
	100.000	111.04	12/04/20	113.02	...	-1.98	1 of 13 - Sale <sup>25</sup>
	100.000	111.04	12/04/20	113.01	...	-1.97	2 of 13 - Sale <sup>25</sup>
	100.000	111.98	12/04/20	113.00	...	-1.02	3 of 13 - Sale <sup>25</sup>
	100.000	111.99	12/04/20	113.00	...	-1.01	4 of 13 - Sale <sup>25</sup>
	50.000	55.52	12/04/20	56.51	...	-0.99	5 of 13 - Sale <sup>25</sup>
	50.000	55.99	12/04/20	56.50	...	-0.51	6 of 13 - Sale <sup>25</sup>
	2.000	2.24	12/04/20	2.18	...	0.06	7 of 13 - Sale <sup>25</sup>
	17.000	19.04	12/04/20	18.53	...	0.51	8 of 13 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
	83.000	92.95	12/04/20	90.47	...	2.48	9 of 13 - Sale <sup>25</sup>
	98.000	109.75	12/04/20	106.82	...	2.93	10 of 13 - Sale <sup>25</sup>
	100.000	111.98	12/04/20	109.00	...	2.98	11 of 13 - Sale <sup>25</sup>
	100.000	111.98	12/04/20	109.00	...	2.98	12 of 13 - Sale <sup>25</sup>
	100.000	111.98	12/04/20	109.00	...	2.98	13 of 13 - Sale <sup>25</sup>
12/04/20	1,000.000	1,117.48	Various	1,110.04	...	7.44	Total of 13 transactions
12/04/20	250.000	279.96	12/04/20	282.50	2.54 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,968.95</b>		<b>3,008.63</b>	<b>20.96 W</b>	<b>-18.72</b>	
HIMAX TECHNOLOGIES, INC. AMERI CAN DEPOSITARY SHARES / CUSIP: 43289P106 / Symbol:							
06/17/20	150.000	495.30	06/16/20	503.70	8.40 W	0.00	Sale <sup>25</sup>
	4 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	130.000	539.48	06/30/20	546.77	...	-7.29	1 of 4 - Sale <sup>25</sup>
	20.000	83.00	06/30/20	83.88	...	-0.88	2 of 4 - Sale <sup>25</sup>
	50.000	207.49	06/30/20	207.49	...	0.00	3 of 4 - Sale <sup>25</sup>
	15.000	62.25	06/30/20	61.92	...	0.33	4 of 4 - Sale <sup>25</sup>
06/30/20	215.000	892.22	Various	900.06	...	-7.84	Total of 4 transactions
	<b>Security total:</b>	<b>1,387.52</b>		<b>1,403.76</b>	<b>8.40 W</b>	<b>-7.84</b>	
HONEYWELL INTERNATIONAL, INC. / CUSIP: 438516106 / Symbol:							
04/02/20	10.000	1,282.47	04/01/20	1,282.90	...	-0.43	Sale <sup>25</sup>
HOUGHTON MIFFLIN HARCOURT COMP ANY COMMON STOCK / CUSIP: 44157R109 / Symbol:							
	5 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	505.000	1,767.40	08/05/20	1,800.93	...	-33.53	1 of 5 - Sale <sup>25</sup>
	495.000	1,732.40	08/05/20	1,765.27	...	-32.87	2 of 5 - Sale <sup>25</sup>
	5.000	17.50	08/05/20	17.30	...	0.20	3 of 5 - Sale <sup>25</sup>
	13.000	45.50	08/05/20	44.98	...	0.52	4 of 5 - Sale <sup>25</sup>
	482.000	1,686.90	08/05/20	1,667.24	...	19.66	5 of 5 - Sale <sup>25</sup>
08/05/20	1,500.000	5,249.70	Various	5,295.72	...	-46.02	Total of 5 transactions

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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HYLIION HOLDINGS CORP. / CUSIP: 449109107 / Symbol:							
30 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	67.000	2,188.18	10/08/20	2,269.84	...	-81.66	1 of 30 - Sale <sup>25</sup>
	68.000	2,220.84	10/08/20	2,283.98	...	-63.14	2 of 30 - Sale <sup>25</sup>
	50.000	1,632.97	10/08/20	1,694.41	...	-61.44	3 of 30 - Sale <sup>25</sup>
	53.000	1,730.95	10/08/20	1,790.76	...	-59.81	4 of 30 - Sale <sup>25</sup>
	40.000	1,306.38	10/08/20	1,359.52	...	-53.14	5 of 30 - Sale <sup>25</sup>
	48.000	1,567.65	10/08/20	1,614.63	...	-46.98	6 of 30 - Sale <sup>25</sup>
	150.000	4,898.92	10/08/20	4,942.50	...	-43.58	7 of 30 - Sale <sup>25</sup>
	31.000	1,012.44	10/08/20	1,053.63	...	-41.19	8 of 30 - Sale <sup>25</sup>
	52.000	1,698.29	10/08/20	1,732.16	...	-33.87	9 of 30 - Sale <sup>25</sup>
	48.000	1,567.65	10/08/20	1,601.32	...	-33.67	10 of 30 - Sale <sup>25</sup>
	48.000	1,567.65	10/08/20	1,598.91	...	-31.26	11 of 30 - Sale <sup>25</sup>
	26.000	849.15	10/08/20	878.49	...	-29.34	12 of 30 - Sale <sup>25</sup>
	23.000	751.17	10/08/20	779.43	...	-28.26	13 of 30 - Sale <sup>25</sup>
	21.000	685.85	10/08/20	713.75	...	-27.90	14 of 30 - Sale <sup>25</sup>
	24.000	783.83	10/08/20	810.90	...	-27.07	15 of 30 - Sale <sup>25</sup>
	27.000	881.81	10/08/20	908.22	...	-26.41	16 of 30 - Sale <sup>25</sup>
	25.000	816.49	10/08/20	840.95	...	-24.46	17 of 30 - Sale <sup>25</sup>
	27.000	881.81	10/08/20	900.74	...	-18.93	18 of 30 - Sale <sup>25</sup>
	15.000	489.89	10/08/20	508.17	...	-18.28	19 of 30 - Sale <sup>25</sup>
	15.000	489.89	10/08/20	506.82	...	-16.93	20 of 30 - Sale <sup>25</sup>
	10.000	326.59	10/08/20	339.88	...	-13.29	21 of 30 - Sale <sup>25</sup>
	10.000	326.59	10/08/20	338.78	...	-12.19	22 of 30 - Sale <sup>25</sup>
	6.000	195.96	10/08/20	203.26	...	-7.30	23 of 30 - Sale <sup>25</sup>
	5.000	163.30	10/08/20	168.94	...	-5.64	24 of 30 - Sale <sup>25</sup>
	5.000	163.30	10/08/20	167.94	...	-4.64	25 of 30 - Sale <sup>25</sup>
	2.000	65.32	10/08/20	67.45	...	-2.13	26 of 30 - Sale <sup>25</sup>
	2.000	65.32	10/08/20	67.28	...	-1.96	27 of 30 - Sale <sup>25</sup>
	1.000	32.66	10/08/20	33.79	...	-1.13	28 of 30 - Sale <sup>25</sup>
	1.000	32.66	10/08/20	33.79	...	-1.13	29 of 30 - Sale <sup>25</sup>
	0.373	12.40	10/08/20	12.00	...	0.40	30 of 30 - Sale <sup>25</sup>
10/08/20	900.373	29,405.91	Various	30,222.24	...	-816.33	Total of 30 transactions

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HYLIION HOLDINGS CORP. / CUSIP: 449109107 / Symbol: (cont'd)							
26 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	75.000	2,495.45	10/08/20	2,520.00	24.55 W	0.00	1 of 26 - Sale <sup>25</sup>
	15.000	499.09	10/08/20	504.00	4.91 W	0.00	2 of 26 - Sale <sup>25</sup>
	100.000	3,327.27	10/08/20	3,360.00	32.73 W	0.00	3 of 26 - Sale <sup>25</sup>
	85.000	2,828.18	10/08/20	2,856.00	27.82 W	0.00	4 of 26 - Sale <sup>25</sup>
	95.000	3,160.91	10/08/20	3,182.50	21.59 W	0.00	5 of 26 - Sale <sup>25</sup>
	5.000	166.36	10/08/20	167.50	1.14 W	0.00	6 of 26 - Sale <sup>25</sup>
	100.000	3,327.28	10/08/20	3,350.00	22.72 W	0.00	7 of 26 - Sale <sup>25</sup>
	100.000	3,327.28	10/08/20	3,350.00	22.72 W	0.00	8 of 26 - Sale <sup>25</sup>
	2.000	65.48	10/08/20	66.85	1.37 W	0.00	9 of 26 - Sale <sup>25</sup>
	6.000	196.44	10/08/20	201.46	5.02 W	0.00	10 of 26 - Sale <sup>25</sup>
	15.000	491.09	10/08/20	503.66	12.57 W	0.00	11 of 26 - Sale <sup>25</sup>
	62.000	2,029.83	10/08/20	2,081.79	51.96 W	0.00	12 of 26 - Sale <sup>25</sup>
	67.000	2,193.53	10/08/20	2,249.69	56.16 W	0.00	13 of 26 - Sale <sup>25</sup>
	100.000	3,273.92	10/08/20	3,357.73	83.81 W	0.00	14 of 26 - Sale <sup>25</sup>
	23.000	753.00	10/08/20	769.98	16.98 W	0.00	15 of 26 - Sale <sup>25</sup>
	27.000	883.96	10/08/20	901.19	17.23 W	0.00	16 of 26 - Sale <sup>25</sup>
	5.000	163.70	10/08/20	166.89	3.19 W	0.00	17 of 26 - Sale <sup>25</sup>
	27.000	883.96	10/08/20	901.18	17.22 W	0.00	18 of 26 - Sale <sup>25</sup>
	68.000	2,226.27	10/08/20	2,269.65	43.38 W	0.00	19 of 26 - Sale <sup>25</sup>
	27.000	883.96	10/08/20	901.18	17.22 W	0.00	20 of 26 - Sale <sup>25</sup>
	73.000	2,389.96	10/08/20	2,436.54	46.58 W	0.00	21 of 26 - Sale <sup>25</sup>
	73.000	2,389.97	10/08/20	2,432.89	42.92 W	0.00	22 of 26 - Sale <sup>25</sup>
	27.000	883.96	10/08/20	893.70	9.74 W	0.00	23 of 26 - Sale <sup>25</sup>
	48.000	1,571.48	10/08/20	1,588.80	17.32 W	0.00	24 of 26 - Sale <sup>25</sup>
	52.000	1,702.44	10/08/20	1,721.20	18.76 W	0.00	25 of 26 - Sale <sup>25</sup>
	48.000	1,571.49	10/08/20	1,588.80	17.31 W	0.00	26 of 26 - Sale <sup>25</sup>
10/08/20	1,325.000	43,686.26	Various	44,323.18	636.92 W	0.00	Total of 26 transactions
	<b>Security total:</b>	<b>73,092.17</b>		<b>74,545.42</b>	<b>636.92 W</b>	<b>-816.33</b>	

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HYRECAR INC. COMMON STOCK / CUSIP: 44916T107 / Symbol:							
3 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	200.000	625.98	07/28/20	643.72	...	-17.74	1 of 3 - Sale <sup>25</sup>
	100.000	313.00	07/29/20	330.99	...	-17.99	2 of 3 - Sale <sup>25</sup>
	75.000	234.74	07/29/20	247.35	...	-12.61	3 of 3 - Sale <sup>25</sup>
07/30/20	375.000	1,173.72	Various	1,222.06	...	-48.34	Total of 3 transactions
IMAC HOLDINGS, INC. COMMON STO CK / CUSIP: 44967K104 / Symbol:							
4 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	76.000	229.51	03/24/20	402.80	...	-173.29	1 of 4 - Sale <sup>25</sup>
	47.000	141.94	03/24/20	249.10	...	-107.16	2 of 4 - Sale <sup>25</sup>
	1.000	3.02	03/24/20	5.30	...	-2.28	3 of 4 - Sale <sup>25</sup>
	1.000	3.04	03/24/20	5.30	...	-2.26	4 of 4 - Sale <sup>25</sup>
03/25/20	125.000	377.51	Various	662.50	...	-284.99	Total of 4 transactions
I-MAB AMERICAN DEPOSITARY SHAR ES / CUSIP: 44975P103 / Symbol:							
07/13/20	200.000	6,851.84	07/13/20	7,124.13	...	-272.29	Sale <sup>25</sup>
07/13/20	225.000	7,708.31	07/13/20	7,847.98	124.15 W	-15.52	Sale <sup>25</sup>
	<b>Security total:</b>	<b>14,560.15</b>		<b>14,972.11</b>	<b>124.15 W</b>	<b>-287.81</b>	
IAMGOLD CORPORATION / CUSIP: 450913108 / Symbol:							
2 transactions for 02/24/20. Total proceeds and cost reported to the IRS.							
	100.000	340.98	02/24/20	346.50	...	-5.52	1 of 2 - Sale <sup>25</sup>
	100.000	340.98	02/24/20	346.50	...	-5.52	2 of 2 - Sale <sup>25</sup>
02/24/20	200.000	681.96	Various	693.00	...	-11.04	Total of 2 transactions
IBIO, INC. / CUSIP: 451033203 / Symbol:							
11 transactions for 03/03/20. Total proceeds and cost reported to the IRS.							
	75.000	122.99	02/28/20	135.75	12.76 W	0.00	1 of 11 - Sale <sup>25</sup>
	5.000	8.20	02/28/20	9.10	0.90 W	0.00	2 of 11 - Sale <sup>25</sup>
	20.000	32.80	02/28/20	45.60	12.80 W	0.00	3 of 11 - Sale <sup>25</sup>
	5.000	8.20	02/28/20	12.30	4.10 W	0.00	4 of 11 - Sale <sup>25</sup>
	75.000	122.99	02/28/20	183.76	60.77 W	0.00	5 of 11 - Sale <sup>25</sup>
	26.000	42.64	02/28/20	59.28	16.64 W	0.00	6 of 11 - Sale <sup>25</sup>
	74.000	121.72	02/28/20	168.72	47.00 W	0.00	7 of 11 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	20.000	32.90	02/28/20	58.60	25.70 W	0.00	8 of 11 - Sale <sup>25</sup>
	5.000	8.22	02/28/20	15.50	7.28 W	0.00	9 of 11 - Sale <sup>25</sup>
	75.000	123.37	02/28/20	231.77	108.40 W	0.00	10 of 11 - Sale <sup>25</sup>
	20.000	32.90	02/28/20	58.40	25.50 W	0.00	11 of 11 - Sale <sup>25</sup>
03/03/20	400.000	656.93	Various	978.78	321.85 W	0.00	Total of 11 transactions
	6 transactions for 03/05/20. Total proceeds and cost reported to the IRS.						
	5.000	11.35	03/04/20	18.68	7.33 W	0.00	1 of 6 - Sale <sup>25</sup>
	75.000	170.25	03/04/20	279.39	109.14 W	0.00	2 of 6 - Sale <sup>25</sup>
	20.000	45.40	03/04/20	71.30	25.90 W	0.00	3 of 6 - Sale <sup>25</sup>
	20.000	45.40	03/04/20	71.10	25.70 W	0.00	4 of 6 - Sale <sup>25</sup>
	6.000	13.62	03/04/20	17.52	3.90 W	0.00	5 of 6 - Sale <sup>25</sup>
	74.000	167.98	03/04/20	215.71	47.73 W	0.00	6 of 6 - Sale <sup>25</sup>
03/05/20	200.000	454.00	Various	673.70	219.70 W	0.00	Total of 6 transactions
	8 transactions for 03/12/20. Total proceeds and cost reported to the IRS.						
	5.000	7.65	03/11/20	15.53	7.88 W	0.00	1 of 8 - Sale <sup>25</sup>
	75.000	114.75	03/11/20	232.14	117.39 W	0.00	2 of 8 - Sale <sup>25</sup>
	20.000	30.60	03/11/20	58.70	28.10 W	0.00	3 of 8 - Sale <sup>25</sup>
	20.000	30.60	03/11/20	58.50	27.90 W	0.00	4 of 8 - Sale <sup>25</sup>
	6.000	9.18	03/11/20	13.74	4.56 W	0.00	5 of 8 - Sale <sup>25</sup>
	74.000	113.21	03/11/20	169.09	55.88 W	0.00	6 of 8 - Sale <sup>25</sup>
	23.000	35.19	03/11/20	37.72	2.53 W	0.00	7 of 8 - Sale <sup>25</sup>
	27.000	41.31	03/11/20	44.28	2.97 W	0.00	8 of 8 - Sale <sup>25</sup>
03/12/20	250.000	382.49	Various	629.70	247.21 W	0.00	Total of 8 transactions
	9 transactions for 04/08/20. Total proceeds and cost reported to the IRS.						
	75.000	62.24	04/06/20	182.61	...	-120.37	1 of 9 - Sale <sup>25</sup>
	74.000	61.41	04/06/20	122.18	...	-60.77	2 of 9 - Sale <sup>25</sup>
	20.000	16.60	04/06/20	45.82	...	-29.22	3 of 9 - Sale <sup>25</sup>
	20.000	16.60	04/06/20	45.49	...	-28.89	4 of 9 - Sale <sup>25</sup>
	5.000	4.15	04/06/20	12.23	...	-8.08	5 of 9 - Sale <sup>25</sup>
	6.000	4.98	04/06/20	9.94	...	-4.96	6 of 9 - Sale <sup>25</sup>
	27.000	22.40	04/06/20	27.00	...	-4.60	7 of 9 - Sale <sup>25</sup>
	23.000	19.09	04/06/20	23.00	...	-3.91	8 of 9 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	50.000	41.49	04/06/20	44.50	...	-3.01	9 of 9 - Sale <sup>25</sup>
04/08/20	300.000	248.96	Various	512.77	...	-263.81	Total of 9 transactions
	6 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	10.000	34.30	07/15/20	34.25	...	0.05	1 of 6 - Sale <sup>25</sup>
	40.000	137.19	07/15/20	137.00	...	0.19	2 of 6 - Sale <sup>25</sup>
	10.000	34.30	07/15/20	32.35	...	1.95	3 of 6 - Sale <sup>25</sup>
	10.000	34.30	07/15/20	29.70	...	4.60	4 of 6 - Sale <sup>25</sup>
	190.000	651.66	07/15/20	614.69	...	36.97	5 of 6 - Sale <sup>25</sup>
	90.000	308.69	07/15/20	267.31	...	41.38	6 of 6 - Sale <sup>25</sup>
07/15/20	350.000	1,200.44	Various	1,115.30	...	85.14	Total of 6 transactions
	19 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	100.000	263.99	07/15/20	264.50	0.51 W	0.00	1 of 19 - Sale <sup>25</sup>
	200.000	527.96	07/15/20	529.00	1.04 W	0.00	2 of 19 - Sale <sup>25</sup>
	150.000	514.47	07/15/20	530.97	16.50 W	0.00	3 of 19 - Sale <sup>25</sup>
	80.000	274.38	07/15/20	282.05	7.67 W	0.00	4 of 19 - Sale <sup>25</sup>
	70.000	240.09	07/15/20	254.49	14.40 W	0.00	5 of 19 - Sale <sup>25</sup>
	80.000	272.79	07/15/20	290.85	18.06 W	0.00	6 of 19 - Sale <sup>25</sup>
	20.000	68.20	07/15/20	70.51	2.31 W	0.00	7 of 19 - Sale <sup>25</sup>
	50.000	167.49	07/15/20	185.29	17.80 W	0.00	8 of 19 - Sale <sup>25</sup>
	70.000	234.49	07/15/20	258.00	23.51 W	0.00	9 of 19 - Sale <sup>25</sup>
	80.000	267.98	07/15/20	286.07	18.09 W	0.00	10 of 19 - Sale <sup>25</sup>
	20.000	67.00	07/15/20	71.89	4.89 W	0.00	11 of 19 - Sale <sup>25</sup>
	30.000	100.49	07/15/20	111.14	10.65 W	0.00	12 of 19 - Sale <sup>25</sup>
	20.000	66.00	07/15/20	72.99	6.99 W	0.00	13 of 19 - Sale <sup>25</sup>
	30.000	98.99	07/15/20	112.80	13.81 W	0.00	14 of 19 - Sale <sup>25</sup>
	50.000	164.99	07/15/20	188.05	23.06 W	0.00	15 of 19 - Sale <sup>25</sup>
	70.000	230.99	07/15/20	261.86	30.87 W	0.00	16 of 19 - Sale <sup>25</sup>
	80.000	263.98	07/15/20	290.49	26.51 W	0.00	17 of 19 - Sale <sup>25</sup>
	20.000	67.40	07/15/20	78.22	10.82 W	0.00	18 of 19 - Sale <sup>25</sup>
	80.000	269.59	07/15/20	304.11	34.52 W	0.00	19 of 19 - Sale <sup>25</sup>
07/15/20	1,300.000	4,161.27	Various	4,443.28	282.01 W	0.00	Total of 19 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
<i>12 transactions for 07/17/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	196.18	07/17/20	214.54	18.36 W	0.00	1 of 12 - Sale <sup>25</sup>
	20.000	78.47	07/17/20	86.49	8.02 W	0.00	2 of 12 - Sale <sup>25</sup>
	20.000	78.47	07/17/20	90.32	11.85 W	0.00	3 of 12 - Sale <sup>25</sup>
	30.000	117.71	07/17/20	133.06	15.35 W	0.00	4 of 12 - Sale <sup>25</sup>
	50.000	196.18	07/17/20	221.81	25.63 W	0.00	5 of 12 - Sale <sup>25</sup>
	80.000	313.90	07/17/20	352.52	38.62 W	0.00	6 of 12 - Sale <sup>25</sup>
	50.000	196.19	07/17/20	198.75	2.56 W	0.00	7 of 12 - Sale <sup>25</sup>
	50.000	196.18	07/17/20	200.00	3.82 W	0.00	8 of 12 - Sale <sup>25</sup>
	33.000	129.48	07/17/20	132.33	2.85 W	0.00	9 of 12 - Sale <sup>25</sup>
	10.000	39.24	07/17/20	40.10	0.86 W	0.00	10 of 12 - Sale <sup>25</sup>
	32.000	125.56	07/17/20	128.32	2.76 W	0.00	11 of 12 - Sale <sup>25</sup>
	75.000	294.28	07/17/20	300.75	6.47 W	0.00	12 of 12 - Sale <sup>25</sup>
07/17/20	500.000	1,961.84	Various	2,098.99	137.15 W	0.00	Total of 12 transactions
<i>16 transactions for 07/20/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	137.52	07/17/20	109.43	...	28.09	1 of 16 - Sale <sup>25</sup>
	25.000	166.24	07/20/20	165.73	...	0.51	2 of 16 - Sale <sup>25</sup>
	10.000	70.00	07/20/20	69.46	...	0.54	3 of 16 - Sale <sup>25</sup>
	10.000	68.60	07/20/20	67.78	...	0.82	4 of 16 - Sale <sup>25</sup>
	49.000	325.84	07/20/20	324.83	...	1.01	5 of 16 - Sale <sup>25</sup>
	50.000	332.49	07/20/20	331.46	...	1.03	6 of 16 - Sale <sup>25</sup>
	51.000	339.14	07/20/20	338.08	...	1.06	7 of 16 - Sale <sup>25</sup>
	32.000	223.99	07/20/20	222.28	...	1.71	8 of 16 - Sale <sup>25</sup>
	33.000	230.99	07/20/20	229.23	...	1.76	9 of 16 - Sale <sup>25</sup>
	50.000	349.99	07/20/20	346.82	...	3.17	10 of 16 - Sale <sup>25</sup>
	75.000	524.98	07/20/20	520.97	...	4.01	11 of 16 - Sale <sup>25</sup>
	50.000	349.99	07/20/20	345.56	...	4.43	12 of 16 - Sale <sup>25</sup>
	90.000	616.48	07/20/20	610.00	...	6.48	13 of 16 - Sale <sup>25</sup>
	48.000	335.99	07/20/20	329.28	...	6.71	14 of 16 - Sale <sup>25</sup>
	100.000	640.97	07/20/20	633.03	...	7.94	15 of 16 - Sale <sup>25</sup>
	177.000	1,238.95	07/20/20	1,214.22	...	24.73	16 of 16 - Sale <sup>25</sup>
07/20/20	875.000	5,952.16	Various	5,858.16	...	94.00	Total of 16 transactions

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
<i>117 transactions for 07/20/20. Total proceeds and cost reported to the IRS.</i>							
25.000		137.52	07/20/20	151.18	13.66 W	0.00	1 of 117 - Sale <sup>25</sup>
25.000		137.52	07/20/20	154.79	17.27 W	0.00	2 of 117 - Sale <sup>25</sup>
50.000		275.04	07/20/20	309.63	34.59 W	0.00	3 of 117 - Sale <sup>25</sup>
2.000		14.00	07/20/20	14.52	0.52 W	0.00	4 of 117 - Sale <sup>25</sup>
3.000		21.00	07/20/20	22.12	1.12 W	0.00	5 of 117 - Sale <sup>25</sup>
20.000		139.99	07/20/20	145.22	5.23 W	0.00	6 of 117 - Sale <sup>25</sup>
20.000		139.99	07/20/20	149.05	9.06 W	0.00	7 of 117 - Sale <sup>25</sup>
25.000		174.99	07/20/20	185.16	10.17 W	0.00	8 of 117 - Sale <sup>25</sup>
25.000		174.99	07/20/20	188.77	13.78 W	0.00	9 of 117 - Sale <sup>25</sup>
50.000		349.99	07/20/20	377.59	27.60 W	0.00	10 of 117 - Sale <sup>25</sup>
80.000		559.98	07/20/20	587.42	27.44 W	0.00	11 of 117 - Sale <sup>25</sup>
2.000		13.80	07/20/20	14.52	0.72 W	0.00	12 of 117 - Sale <sup>25</sup>
2.000		13.80	07/20/20	14.75	0.95 W	0.00	13 of 117 - Sale <sup>25</sup>
1.000		6.90	07/20/20	7.37	0.47 W	0.00	14 of 117 - Sale <sup>25</sup>
20.000		137.99	07/20/20	145.23	7.24 W	0.00	15 of 117 - Sale <sup>25</sup>
79.000		545.08	07/20/20	580.10	35.02 W	0.00	16 of 117 - Sale <sup>25</sup>
20.000		137.99	07/20/20	149.06	11.07 W	0.00	17 of 117 - Sale <sup>25</sup>
25.000		172.49	07/20/20	185.17	12.68 W	0.00	18 of 117 - Sale <sup>25</sup>
25.000		172.49	07/20/20	188.78	16.29 W	0.00	19 of 117 - Sale <sup>25</sup>
50.000		344.99	07/20/20	377.60	32.61 W	0.00	20 of 117 - Sale <sup>25</sup>
1.000		6.90	07/20/20	7.34	0.44 W	0.00	21 of 117 - Sale <sup>25</sup>
525.000		3,622.36	07/20/20	3,675.00	52.64 W	0.00	22 of 117 - Sale <sup>25</sup>
1.000		6.90	07/20/20	7.47	0.57 W	0.00	23 of 117 - Sale <sup>25</sup>
2.000		13.80	07/20/20	14.71	0.91 W	0.00	24 of 117 - Sale <sup>25</sup>
2.000		13.80	07/20/20	14.94	1.14 W	0.00	25 of 117 - Sale <sup>25</sup>
20.000		137.99	07/20/20	147.14	9.15 W	0.00	26 of 117 - Sale <sup>25</sup>
75.000		517.48	07/20/20	557.87	40.39 W	0.00	27 of 117 - Sale <sup>25</sup>
1.000		6.86	07/20/20	7.22	0.36 W	0.00	28 of 117 - Sale <sup>25</sup>
4.000		27.44	07/20/20	28.88	1.44 W	0.00	29 of 117 - Sale <sup>25</sup>
20.000		137.20	07/20/20	146.63	9.43 W	0.00	30 of 117 - Sale <sup>25</sup>
25.000		171.49	07/20/20	182.12	10.63 W	0.00	31 of 117 - Sale <sup>25</sup>
15.000		102.90	07/20/20	111.45	8.55 W	0.00	32 of 117 - Sale <sup>25</sup>
13.000		89.18	07/20/20	96.58	7.40 W	0.00	33 of 117 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
35.000		240.10	07/20/20	260.05	19.95 W	0.00	34 of 117 - Sale <sup>25</sup>
12.000		82.32	07/20/20	89.16	6.84 W	0.00	35 of 117 - Sale <sup>25</sup>
188.000		1,289.62	07/20/20	1,293.07	3.45 W	0.00	36 of 117 - Sale <sup>25</sup>
1.000		6.85	07/20/20	7.35	0.50 W	0.00	37 of 117 - Sale <sup>25</sup>
2.000		13.70	07/20/20	14.47	0.77 W	0.00	38 of 117 - Sale <sup>25</sup>
2.000		13.70	07/20/20	14.70	1.00 W	0.00	39 of 117 - Sale <sup>25</sup>
20.000		136.99	07/20/20	144.70	7.71 W	0.00	40 of 117 - Sale <sup>25</sup>
75.000		513.73	07/20/20	548.72	34.99 W	0.00	41 of 117 - Sale <sup>25</sup>
337.000		2,308.36	07/20/20	2,317.91	9.55 W	0.00	42 of 117 - Sale <sup>25</sup>
1.000		6.80	07/20/20	7.21	0.41 W	0.00	43 of 117 - Sale <sup>25</sup>
1.000		6.80	07/20/20	7.35	0.55 W	0.00	44 of 117 - Sale <sup>25</sup>
2.000		13.60	07/20/20	14.47	0.87 W	0.00	45 of 117 - Sale <sup>25</sup>
2.000		13.60	07/20/20	14.70	1.10 W	0.00	46 of 117 - Sale <sup>25</sup>
4.000		27.20	07/20/20	28.84	1.64 W	0.00	47 of 117 - Sale <sup>25</sup>
12.000		81.60	07/20/20	89.04	7.44 W	0.00	48 of 117 - Sale <sup>25</sup>
13.000		88.40	07/20/20	96.45	8.05 W	0.00	49 of 117 - Sale <sup>25</sup>
15.000		102.00	07/20/20	111.30	9.30 W	0.00	50 of 117 - Sale <sup>25</sup>
20.000		135.99	07/20/20	146.43	10.44 W	0.00	51 of 117 - Sale <sup>25</sup>
20.000		135.99	07/20/20	144.71	8.72 W	0.00	52 of 117 - Sale <sup>25</sup>
25.000		169.99	07/20/20	181.88	11.89 W	0.00	53 of 117 - Sale <sup>25</sup>
35.000		237.99	07/20/20	259.70	21.71 W	0.00	54 of 117 - Sale <sup>25</sup>
75.000		509.98	07/20/20	548.74	38.76 W	0.00	55 of 117 - Sale <sup>25</sup>
188.000		1,278.35	07/20/20	1,291.25	12.90 W	0.00	56 of 117 - Sale <sup>25</sup>
337.000		2,291.51	07/20/20	2,318.00	26.49 W	0.00	57 of 117 - Sale <sup>25</sup>
100.000		679.97	07/20/20	685.00	5.03 W	0.00	58 of 117 - Sale <sup>25</sup>
1.000		6.85	07/20/20	7.20	0.35 W	0.00	59 of 117 - Sale <sup>25</sup>
4.000		27.40	07/20/20	28.80	1.40 W	0.00	60 of 117 - Sale <sup>25</sup>
15.000		102.75	07/20/20	111.15	8.40 W	0.00	61 of 117 - Sale <sup>25</sup>
20.000		136.99	07/20/20	146.24	9.25 W	0.00	62 of 117 - Sale <sup>25</sup>
25.000		171.24	07/20/20	181.64	10.40 W	0.00	63 of 117 - Sale <sup>25</sup>
35.000		239.74	07/20/20	259.36	19.62 W	0.00	64 of 117 - Sale <sup>25</sup>
1.000		6.85	07/20/20	7.35	0.50 W	0.00	65 of 117 - Sale <sup>25</sup>
2.000		13.70	07/20/20	14.47	0.77 W	0.00	66 of 117 - Sale <sup>25</sup>
2.000		13.70	07/20/20	14.70	1.00 W	0.00	67 of 117 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	12.000	82.20	07/20/20	89.04	6.84 W	0.00	68 of 117 - Sale <sup>25</sup>
	13.000	89.05	07/20/20	96.45	7.40 W	0.00	69 of 117 - Sale <sup>25</sup>
	20.000	136.99	07/20/20	144.72	7.73 W	0.00	70 of 117 - Sale <sup>25</sup>
	75.000	513.73	07/20/20	548.76	35.03 W	0.00	71 of 117 - Sale <sup>25</sup>
	100.000	684.97	07/20/20	685.03	0.06 W	0.00	72 of 117 - Sale <sup>25</sup>
	188.000	1,287.75	07/20/20	1,291.30	3.55 W	0.00	73 of 117 - Sale <sup>25</sup>
	337.000	2,308.36	07/20/20	2,318.09	9.73 W	0.00	74 of 117 - Sale <sup>25</sup>
	1.000	6.80	07/20/20	7.41	0.61 W	0.00	75 of 117 - Sale <sup>25</sup>
	4.000	27.20	07/20/20	29.64	2.44 W	0.00	76 of 117 - Sale <sup>25</sup>
	20.000	135.99	07/20/20	150.45	14.46 W	0.00	77 of 117 - Sale <sup>25</sup>
	12.000	81.60	07/20/20	90.24	8.64 W	0.00	78 of 117 - Sale <sup>25</sup>
	13.000	88.40	07/20/20	97.75	9.35 W	0.00	79 of 117 - Sale <sup>25</sup>
	15.000	102.00	07/20/20	112.65	10.65 W	0.00	80 of 117 - Sale <sup>25</sup>
	25.000	169.99	07/20/20	184.15	14.16 W	0.00	81 of 117 - Sale <sup>25</sup>
	35.000	237.99	07/20/20	262.87	24.88 W	0.00	82 of 117 - Sale <sup>25</sup>
	1.000	6.80	07/20/20	7.45	0.65 W	0.00	83 of 117 - Sale <sup>25</sup>
	2.000	13.60	07/20/20	14.67	1.07 W	0.00	84 of 117 - Sale <sup>25</sup>
	2.000	13.60	07/20/20	14.90	1.30 W	0.00	85 of 117 - Sale <sup>25</sup>
	20.000	135.99	07/20/20	146.73	10.74 W	0.00	86 of 117 - Sale <sup>25</sup>
	75.000	509.98	07/20/20	556.28	46.30 W	0.00	87 of 117 - Sale <sup>25</sup>
	100.000	679.97	07/20/20	695.06	15.09 W	0.00	88 of 117 - Sale <sup>25</sup>
	188.000	1,278.35	07/20/20	1,310.15	31.80 W	0.00	89 of 117 - Sale <sup>25</sup>
	337.000	2,291.51	07/20/20	2,351.88	60.37 W	0.00	90 of 117 - Sale <sup>25</sup>
	74.000	503.18	07/20/20	514.30	11.12 W	0.00	91 of 117 - Sale <sup>25</sup>
	576.000	3,916.64	07/20/20	4,003.20	86.56 W	0.00	92 of 117 - Sale <sup>25</sup>
	1.000	6.46	07/20/20	7.56	1.10 W	0.00	93 of 117 - Sale <sup>25</sup>
	4.000	25.86	07/20/20	30.24	4.38 W	0.00	94 of 117 - Sale <sup>25</sup>
	20.000	129.29	07/20/20	153.46	24.17 W	0.00	95 of 117 - Sale <sup>25</sup>
	12.000	77.58	07/20/20	87.84	10.26 W	0.00	96 of 117 - Sale <sup>25</sup>
	13.000	84.04	07/20/20	95.15	11.11 W	0.00	97 of 117 - Sale <sup>25</sup>
	15.000	96.97	07/20/20	109.65	12.68 W	0.00	98 of 117 - Sale <sup>25</sup>
	25.000	161.62	07/20/20	179.16	17.54 W	0.00	99 of 117 - Sale <sup>25</sup>
	35.000	226.27	07/20/20	255.88	29.61 W	0.00	100 of 117 - Sale <sup>25</sup>
	50.000	323.24	07/20/20	338.46	15.22 W	0.00	101 of 117 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	100.000	646.47	07/20/20	676.91	30.44 W	0.00	102 of 117 - Sale <sup>25</sup>
	38.000	245.66	07/20/20	257.23	11.57 W	0.00	103 of 117 - Sale <sup>25</sup>
	162.000	1,047.29	07/20/20	1,098.22	50.93 W	0.00	104 of 117 - Sale <sup>25</sup>
	1.000	6.65	07/20/20	7.10	0.45 W	0.00	105 of 117 - Sale <sup>25</sup>
	2.000	13.30	07/20/20	13.97	0.67 W	0.00	106 of 117 - Sale <sup>25</sup>
	2.000	13.30	07/20/20	14.20	0.90 W	0.00	107 of 117 - Sale <sup>25</sup>
	20.000	132.99	07/20/20	139.74	6.75 W	0.00	108 of 117 - Sale <sup>25</sup>
	25.000	166.24	07/20/20	176.68	10.44 W	0.00	109 of 117 - Sale <sup>25</sup>
	40.000	265.99	07/20/20	280.70	14.71 W	0.00	110 of 117 - Sale <sup>25</sup>
	10.000	66.50	07/20/20	73.97	7.47 W	0.00	111 of 117 - Sale <sup>25</sup>
	50.000	332.48	07/20/20	346.55	14.07 W	0.00	112 of 117 - Sale <sup>25</sup>
	50.000	322.49	07/20/20	331.54	9.05 W	0.00	113 of 117 - Sale <sup>25</sup>
	12.000	77.40	07/20/20	79.56	2.16 W	0.00	114 of 117 - Sale <sup>25</sup>
	38.000	245.09	07/20/20	251.95	6.86 W	0.00	115 of 117 - Sale <sup>25</sup>
	1.000	6.45	07/20/20	6.63	0.18 W	0.00	116 of 117 - Sale <sup>25</sup>
	24.000	154.80	07/20/20	159.13	4.33 W	0.00	117 of 117 - Sale <sup>25</sup>
07/20/20	5,875.000	39,806.27	Various	41,246.14	1,439.87 W	0.00	Total of 117 transactions
07/21/20	100.000	607.97	07/21/20	595.53	...	12.44	Sale <sup>25</sup>
18 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	100.000	535.97	07/22/20	558.03	22.06 W	0.00	1 of 18 - Sale <sup>25</sup>
	50.000	268.00	07/22/20	279.01	11.01 W	0.00	2 of 18 - Sale <sup>25</sup>
	100.000	524.98	07/22/20	557.03	32.05 W	0.00	3 of 18 - Sale <sup>25</sup>
	80.000	419.98	07/22/20	445.62	25.64 W	0.00	4 of 18 - Sale <sup>25</sup>
	20.000	104.99	07/22/20	111.40	6.41 W	0.00	5 of 18 - Sale <sup>25</sup>
	1.000	5.17	07/22/20	6.33	1.16 W	0.00	6 of 18 - Sale <sup>25</sup>
	4.000	20.68	07/22/20	25.32	4.64 W	0.00	7 of 18 - Sale <sup>25</sup>
	10.000	51.70	07/22/20	60.81	9.11 W	0.00	8 of 18 - Sale <sup>25</sup>
	15.000	77.54	07/22/20	91.20	13.66 W	0.00	9 of 18 - Sale <sup>25</sup>
	20.000	103.39	07/22/20	128.87	25.48 W	0.00	10 of 18 - Sale <sup>25</sup>
	25.000	129.24	07/22/20	134.64	5.40 W	0.00	11 of 18 - Sale <sup>25</sup>
	25.000	129.25	07/22/20	148.42	19.17 W	0.00	12 of 18 - Sale <sup>25</sup>
	12.000	63.36	07/22/20	74.46	11.10 W	0.00	13 of 18 - Sale <sup>25</sup>
	13.000	68.64	07/22/20	80.66	12.02 W	0.00	14 of 18 - Sale <sup>25</sup>
	15.000	79.20	07/22/20	84.82	5.62 W	0.00	15 of 18 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	25.000	131.99	07/22/20	154.90	22.91 W	0.00	16 of 18 - Sale <sup>25</sup>
	35.000	184.79	07/22/20	197.55	12.76 W	0.00	17 of 18 - Sale <sup>25</sup>
	60.000	316.78	07/22/20	338.66	21.88 W	0.00	18 of 18 - Sale <sup>25</sup>
07/22/20	610.000	3,215.65	Various	3,477.73	262.08 W	0.00	Total of 18 transactions
	35 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	1.000	6.00	07/23/20	6.36	0.36 W	0.00	1 of 35 - Sale <sup>25</sup>
	2.000	12.00	07/23/20	12.49	0.49 W	0.00	2 of 35 - Sale <sup>25</sup>
	2.000	12.00	07/23/20	12.72	0.72 W	0.00	3 of 35 - Sale <sup>25</sup>
	5.000	30.00	07/23/20	31.24	1.24 W	0.00	4 of 35 - Sale <sup>25</sup>
	38.000	227.99	07/23/20	236.15	8.16 W	0.00	5 of 35 - Sale <sup>25</sup>
	40.000	239.99	07/23/20	248.58	8.59 W	0.00	6 of 35 - Sale <sup>25</sup>
	162.000	971.96	07/23/20	1,008.35	36.39 W	0.00	7 of 35 - Sale <sup>25</sup>
	10.000	60.00	07/23/20	66.77	6.77 W	0.00	8 of 35 - Sale <sup>25</sup>
	10.000	60.00	07/23/20	61.10	1.10 W	0.00	9 of 35 - Sale <sup>25</sup>
	12.000	72.00	07/23/20	73.32	1.32 W	0.00	10 of 35 - Sale <sup>25</sup>
	15.000	90.00	07/23/20	94.01	4.01 W	0.00	11 of 35 - Sale <sup>25</sup>
	25.000	149.99	07/23/20	158.69	8.70 W	0.00	12 of 35 - Sale <sup>25</sup>
	38.000	227.98	07/23/20	232.20	4.22 W	0.00	13 of 35 - Sale <sup>25</sup>
	40.000	239.99	07/23/20	251.91	11.92 W	0.00	14 of 35 - Sale <sup>25</sup>
	50.000	299.98	07/23/20	310.57	10.59 W	0.00	15 of 35 - Sale <sup>25</sup>
	50.000	299.99	07/23/20	305.55	5.56 W	0.00	16 of 35 - Sale <sup>25</sup>
	1.000	5.94	07/23/20	7.16	1.22 W	0.00	17 of 35 - Sale <sup>25</sup>
	4.000	23.78	07/23/20	28.64	4.86 W	0.00	18 of 35 - Sale <sup>25</sup>
	5.000	29.72	07/23/20	36.37	6.65 W	0.00	19 of 35 - Sale <sup>25</sup>
	20.000	118.89	07/23/20	126.41	7.52 W	0.00	20 of 35 - Sale <sup>25</sup>
	25.000	148.62	07/23/20	155.40	6.78 W	0.00	21 of 35 - Sale <sup>25</sup>
	45.000	267.51	07/23/20	284.42	16.91 W	0.00	22 of 35 - Sale <sup>25</sup>
	10.000	59.45	07/23/20	69.11	9.66 W	0.00	23 of 35 - Sale <sup>25</sup>
	10.000	59.45	07/23/20	69.25	9.80 W	0.00	24 of 35 - Sale <sup>25</sup>
	15.000	89.17	07/23/20	109.11	19.94 W	0.00	25 of 35 - Sale <sup>25</sup>
	15.000	89.17	07/23/20	103.66	14.49 W	0.00	26 of 35 - Sale <sup>25</sup>
	25.000	148.62	07/23/20	169.17	20.55 W	0.00	27 of 35 - Sale <sup>25</sup>
	25.000	148.62	07/23/20	172.91	24.29 W	0.00	28 of 35 - Sale <sup>25</sup>
	1.000	5.94	07/23/20	6.18	0.24 W	0.00	29 of 35 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	14.000	83.23	07/23/20	86.53	3.30 W	0.00	30 of 35 - Sale <sup>25</sup>
	35.000	208.07	07/23/20	221.22	13.15 W	0.00	31 of 35 - Sale <sup>25</sup>
	50.000	297.24	07/23/20	311.01	13.77 W	0.00	32 of 35 - Sale <sup>25</sup>
	100.000	594.47	07/23/20	622.06	27.59 W	0.00	33 of 35 - Sale <sup>25</sup>
	35.000	208.07	07/23/20	221.22	13.15 W	0.00	34 of 35 - Sale <sup>25</sup>
	65.000	386.41	07/23/20	410.83	24.42 W	0.00	35 of 35 - Sale <sup>25</sup>
07/23/20	1,000.000	5,972.24	Various	6,320.67	348.43 W	0.00	Total of 35 transactions
	5 transactions for 07/24/20. Total proceeds and cost reported to the IRS.						
	3.000	15.75	07/23/20	20.19	4.44 W	0.00	1 of 5 - Sale <sup>25</sup>
	12.000	63.00	07/23/20	80.76	17.76 W	0.00	2 of 5 - Sale <sup>25</sup>
	15.000	78.75	07/23/20	92.69	13.94 W	0.00	3 of 5 - Sale <sup>25</sup>
	20.000	105.00	07/23/20	123.39	18.39 W	0.00	4 of 5 - Sale <sup>25</sup>
	10.000	52.50	07/23/20	61.70	9.20 W	0.00	5 of 5 - Sale <sup>25</sup>
07/24/20	60.000	315.00	Various	378.73	63.73 W	0.00	Total of 5 transactions
	8 transactions for 07/27/20. Total proceeds and cost reported to the IRS.						
	5.000	23.30	07/27/20	25.92	2.62 W	0.00	1 of 8 - Sale <sup>25</sup>
	35.000	163.09	07/27/20	181.46	18.37 W	0.00	2 of 8 - Sale <sup>25</sup>
	25.000	116.49	07/27/20	129.62	13.13 W	0.00	3 of 8 - Sale <sup>25</sup>
	35.000	163.09	07/27/20	176.22	13.13 W	0.00	4 of 8 - Sale <sup>25</sup>
	40.000	186.39	07/27/20	201.39	15.00 W	0.00	5 of 8 - Sale <sup>25</sup>
	3.000	13.98	07/27/20	15.10	1.12 W	0.00	6 of 8 - Sale <sup>25</sup>
	7.000	32.62	07/27/20	35.31	2.69 W	0.00	7 of 8 - Sale <sup>25</sup>
	50.000	227.00	07/27/20	237.98	10.98 W	0.00	8 of 8 - Sale <sup>25</sup>
07/27/20	200.000	925.96	Various	1,003.00	77.04 W	0.00	Total of 8 transactions
	11 transactions for 07/28/20. Total proceeds and cost reported to the IRS.						
	1.000	4.26	07/27/20	5.10	0.84 W	0.00	1 of 11 - Sale <sup>25</sup>
	2.000	8.53	07/27/20	9.97	1.44 W	0.00	2 of 11 - Sale <sup>25</sup>
	2.000	8.53	07/27/20	10.20	1.67 W	0.00	3 of 11 - Sale <sup>25</sup>
	5.000	21.32	07/27/20	24.94	3.62 W	0.00	4 of 11 - Sale <sup>25</sup>
	5.000	21.32	07/27/20	27.08	5.76 W	0.00	5 of 11 - Sale <sup>25</sup>
	15.000	63.97	07/27/20	75.11	11.14 W	0.00	6 of 11 - Sale <sup>25</sup>
	25.000	106.62	07/27/20	127.20	20.58 W	0.00	7 of 11 - Sale <sup>25</sup>
	40.000	170.60	07/27/20	201.52	30.92 W	0.00	8 of 11 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	105.000	447.81	07/27/20	521.29	73.48 W	0.00	9 of 11 - Sale <sup>25</sup>
	5.000	21.33	07/27/20	27.09	5.76 W	0.00	10 of 11 - Sale <sup>25</sup>
	5.000	21.32	07/27/20	24.76	3.44 W	0.00	11 of 11 - Sale <sup>25</sup>
07/28/20	210.000	895.61	Various	1,054.26	158.65 W	0.00	Total of 11 transactions
	20 transactions for 07/29/20. Total proceeds and cost reported to the IRS.						
	5.000	21.25	07/28/20	22.53	1.28 W	0.00	1 of 20 - Sale <sup>25</sup>
	45.000	191.25	07/28/20	207.31	16.06 W	0.00	2 of 20 - Sale <sup>25</sup>
	50.000	212.49	07/28/20	225.31	12.82 W	0.00	3 of 20 - Sale <sup>25</sup>
	1.000	4.25	07/28/20	4.63	0.38 W	0.00	4 of 20 - Sale <sup>25</sup>
	10.000	42.50	07/28/20	45.05	2.55 W	0.00	5 of 20 - Sale <sup>25</sup>
	7.000	29.75	07/28/20	31.53	1.78 W	0.00	6 of 20 - Sale <sup>25</sup>
	14.000	59.50	07/28/20	64.83	5.33 W	0.00	7 of 20 - Sale <sup>25</sup>
	38.000	161.49	07/28/20	171.23	9.74 W	0.00	8 of 20 - Sale <sup>25</sup>
	80.000	339.97	07/28/20	373.67	33.70 W	0.00	9 of 20 - Sale <sup>25</sup>
	20.000	91.19	07/28/20	98.22	7.03 W	0.00	10 of 20 - Sale <sup>25</sup>
	35.000	159.59	07/28/20	175.38	15.79 W	0.00	11 of 20 - Sale <sup>25</sup>
	50.000	227.99	07/28/20	245.52	17.53 W	0.00	12 of 20 - Sale <sup>25</sup>
	5.000	22.80	07/28/20	25.05	2.25 W	0.00	13 of 20 - Sale <sup>25</sup>
	35.000	159.59	07/28/20	175.37	15.78 W	0.00	14 of 20 - Sale <sup>25</sup>
	45.000	205.19	07/28/20	225.49	20.30 W	0.00	15 of 20 - Sale <sup>25</sup>
	60.000	273.58	07/28/20	300.64	27.06 W	0.00	16 of 20 - Sale <sup>25</sup>
	20.000	88.80	07/29/20	97.72	8.92 W	0.00	17 of 20 - Sale <sup>25</sup>
	1.000	4.44	07/29/20	5.73	1.29 W	0.00	18 of 20 - Sale <sup>25</sup>
	4.000	17.76	07/29/20	22.90	5.14 W	0.00	19 of 20 - Sale <sup>25</sup>
	25.000	111.00	07/29/20	119.52	8.52 W	0.00	20 of 20 - Sale <sup>25</sup>
07/29/20	550.000	2,424.38	Various	2,637.63	213.25 W	0.00	Total of 20 transactions
	39 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	3.000	13.81	07/30/20	18.73	4.92 W	0.00	1 of 39 - Sale <sup>25</sup>
	10.000	46.05	07/30/20	56.85	10.80 W	0.00	2 of 39 - Sale <sup>25</sup>
	12.000	55.26	07/30/20	74.94	19.68 W	0.00	3 of 39 - Sale <sup>25</sup>
	15.000	69.07	07/30/20	85.41	16.34 W	0.00	4 of 39 - Sale <sup>25</sup>
	20.000	92.10	07/30/20	113.69	21.59 W	0.00	5 of 39 - Sale <sup>25</sup>
	5.000	23.02	07/30/20	30.48	7.46 W	0.00	6 of 39 - Sale <sup>25</sup>
	5.000	23.02	07/30/20	26.45	3.43 W	0.00	7 of 39 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	10.000	46.05	07/30/20	57.31	11.26 W	0.00	8 of 39 - Sale <sup>25</sup>
	10.000	46.05	07/30/20	57.45	11.40 W	0.00	9 of 39 - Sale <sup>25</sup>
	15.000	69.07	07/30/20	91.41	22.34 W	0.00	10 of 39 - Sale <sup>25</sup>
	15.000	69.07	07/30/20	85.96	16.89 W	0.00	11 of 39 - Sale <sup>25</sup>
	20.000	92.09	07/30/20	102.80	10.71 W	0.00	12 of 39 - Sale <sup>25</sup>
	25.000	115.12	07/30/20	139.68	24.56 W	0.00	13 of 39 - Sale <sup>25</sup>
	25.000	115.12	07/30/20	143.42	28.30 W	0.00	14 of 39 - Sale <sup>25</sup>
	25.000	115.12	07/30/20	132.25	17.13 W	0.00	15 of 39 - Sale <sup>25</sup>
	35.000	161.17	07/30/20	185.15	23.98 W	0.00	16 of 39 - Sale <sup>25</sup>
	3.000	13.81	07/30/20	15.16	1.35 W	0.00	17 of 39 - Sale <sup>25</sup>
	7.000	32.23	07/30/20	35.45	3.22 W	0.00	18 of 39 - Sale <sup>25</sup>
	20.000	92.10	07/30/20	101.10	9.00 W	0.00	19 of 39 - Sale <sup>25</sup>
	35.000	161.17	07/30/20	176.93	15.76 W	0.00	20 of 39 - Sale <sup>25</sup>
	35.000	161.17	07/30/20	171.49	10.32 W	0.00	21 of 39 - Sale <sup>25</sup>
	1.000	4.60	07/30/20	5.52	0.92 W	0.00	22 of 39 - Sale <sup>25</sup>
	2.000	9.21	07/30/20	10.80	1.59 W	0.00	23 of 39 - Sale <sup>25</sup>
	2.000	9.21	07/30/20	11.03	1.82 W	0.00	24 of 39 - Sale <sup>25</sup>
	5.000	23.02	07/30/20	27.02	4.00 W	0.00	25 of 39 - Sale <sup>25</sup>
	5.000	23.03	07/30/20	27.52	4.49 W	0.00	26 of 39 - Sale <sup>25</sup>
	15.000	69.07	07/30/20	81.34	12.27 W	0.00	27 of 39 - Sale <sup>25</sup>
	105.000	483.50	07/30/20	564.88	81.38 W	0.00	28 of 39 - Sale <sup>25</sup>
	15.000	69.07	07/30/20	73.49	4.42 W	0.00	29 of 39 - Sale <sup>25</sup>
	5.000	22.90	07/30/20	30.89	7.99 W	0.00	30 of 39 - Sale <sup>25</sup>
	5.000	22.90	07/30/20	30.88	7.98 W	0.00	31 of 39 - Sale <sup>25</sup>
	5.000	22.90	07/30/20	28.57	5.67 W	0.00	32 of 39 - Sale <sup>25</sup>
	5.000	22.90	07/30/20	26.41	3.51 W	0.00	33 of 39 - Sale <sup>25</sup>
	7.000	32.06	07/30/20	36.95	4.89 W	0.00	34 of 39 - Sale <sup>25</sup>
	18.000	82.43	07/30/20	95.06	12.63 W	0.00	35 of 39 - Sale <sup>25</sup>
	20.000	91.60	07/30/20	116.96	25.36 W	0.00	36 of 39 - Sale <sup>25</sup>
	40.000	183.19	07/30/20	231.92	48.73 W	0.00	37 of 39 - Sale <sup>25</sup>
	45.000	206.09	07/30/20	242.18	36.09 W	0.00	38 of 39 - Sale <sup>25</sup>
	50.000	228.98	07/30/20	264.07	35.09 W	0.00	39 of 39 - Sale <sup>25</sup>
07/30/20	700.000	3,218.33	Various	3,807.60	589.27 W	0.00	Total of 39 transactions

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
7 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	1.000	4.45	07/31/20	4.89	0.44 W	0.00	1 of 7 - Sale <sup>25</sup>
	5.000	22.27	07/31/20	24.27	2.00 W	0.00	2 of 7 - Sale <sup>25</sup>
	10.000	44.55	07/31/20	47.60	3.05 W	0.00	3 of 7 - Sale <sup>25</sup>
	14.000	62.37	07/31/20	68.40	6.03 W	0.00	4 of 7 - Sale <sup>25</sup>
	20.000	89.09	07/31/20	95.23	6.14 W	0.00	5 of 7 - Sale <sup>25</sup>
	20.000	89.10	07/31/20	97.13	8.03 W	0.00	6 of 7 - Sale <sup>25</sup>
	80.000	356.38	07/31/20	394.10	37.72 W	0.00	7 of 7 - Sale <sup>25</sup>
07/31/20	150.000	668.21	Various	731.62	63.41 W	0.00	Total of 7 transactions
57 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	5.000	18.90	08/10/20	20.95	2.05 W	0.00	1 of 57 - Sale <sup>25</sup>
	15.000	56.70	08/10/20	62.87	6.17 W	0.00	2 of 57 - Sale <sup>25</sup>
	35.000	132.29	08/10/20	146.69	14.40 W	0.00	3 of 57 - Sale <sup>25</sup>
	45.000	170.09	08/10/20	184.08	13.99 W	0.00	4 of 57 - Sale <sup>25</sup>
	8.000	30.24	08/10/20	34.01	3.77 W	0.00	5 of 57 - Sale <sup>25</sup>
	5.000	18.90	08/10/20	21.35	2.45 W	0.00	6 of 57 - Sale <sup>25</sup>
	37.000	139.84	08/10/20	158.02	18.18 W	0.00	7 of 57 - Sale <sup>25</sup>
	3.000	11.31	08/10/20	16.38	5.07 W	0.00	8 of 57 - Sale <sup>25</sup>
	10.000	37.70	08/10/20	49.00	11.30 W	0.00	9 of 57 - Sale <sup>25</sup>
	12.000	45.24	08/10/20	65.52	20.28 W	0.00	10 of 57 - Sale <sup>25</sup>
	15.000	56.55	08/10/20	73.64	17.09 W	0.00	11 of 57 - Sale <sup>25</sup>
	20.000	75.40	08/10/20	97.99	22.59 W	0.00	12 of 57 - Sale <sup>25</sup>
	30.000	113.09	08/10/20	128.13	15.04 W	0.00	13 of 57 - Sale <sup>25</sup>
	45.000	169.64	08/10/20	192.20	22.56 W	0.00	14 of 57 - Sale <sup>25</sup>
	1.000	3.77	08/10/20	5.11	1.34 W	0.00	15 of 57 - Sale <sup>25</sup>
	3.000	11.31	08/10/20	14.86	3.55 W	0.00	16 of 57 - Sale <sup>25</sup>
	4.000	15.08	08/10/20	20.42	5.34 W	0.00	17 of 57 - Sale <sup>25</sup>
	5.000	18.85	08/10/20	26.56	7.71 W	0.00	18 of 57 - Sale <sup>25</sup>
	10.000	37.70	08/10/20	49.46	11.76 W	0.00	19 of 57 - Sale <sup>25</sup>
	15.000	56.55	08/10/20	79.64	23.09 W	0.00	20 of 57 - Sale <sup>25</sup>
	15.000	56.55	08/10/20	74.19	17.64 W	0.00	21 of 57 - Sale <sup>25</sup>
	20.000	75.40	08/10/20	85.32	9.92 W	0.00	22 of 57 - Sale <sup>25</sup>
	25.000	94.24	08/10/20	104.02	9.78 W	0.00	23 of 57 - Sale <sup>25</sup>
	25.000	94.24	08/10/20	120.06	25.82 W	0.00	24 of 57 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	84.000	316.65	08/10/20	386.82	70.17 W	0.00	25 of 57 - Sale <sup>25</sup>
	1.000	3.77	08/10/20	4.75	0.98 W	0.00	26 of 57 - Sale <sup>25</sup>
	2.000	7.54	08/10/20	9.25	1.71 W	0.00	27 of 57 - Sale <sup>25</sup>
	2.000	7.54	08/10/20	9.48	1.94 W	0.00	28 of 57 - Sale <sup>25</sup>
	5.000	18.85	08/10/20	23.15	4.30 W	0.00	29 of 57 - Sale <sup>25</sup>
	5.000	18.85	08/10/20	23.64	4.79 W	0.00	30 of 57 - Sale <sup>25</sup>
	5.000	18.85	08/10/20	27.14	8.29 W	0.00	31 of 57 - Sale <sup>25</sup>
	5.000	18.85	08/10/20	27.13	8.28 W	0.00	32 of 57 - Sale <sup>25</sup>
	5.000	18.85	08/10/20	24.82	5.97 W	0.00	33 of 57 - Sale <sup>25</sup>
	13.000	49.01	08/10/20	58.91	9.90 W	0.00	34 of 57 - Sale <sup>25</sup>
	15.000	56.55	08/10/20	69.72	13.17 W	0.00	35 of 57 - Sale <sup>25</sup>
	20.000	75.40	08/10/20	101.96	26.56 W	0.00	36 of 57 - Sale <sup>25</sup>
	40.000	150.79	08/10/20	201.93	51.14 W	0.00	37 of 57 - Sale <sup>25</sup>
	45.000	169.64	08/10/20	208.44	38.80 W	0.00	38 of 57 - Sale <sup>25</sup>
	21.000	79.17	08/10/20	96.71	17.54 W	0.00	39 of 57 - Sale <sup>25</sup>
	3.000	11.31	08/10/20	12.84	1.53 W	0.00	40 of 57 - Sale <sup>25</sup>
	5.000	18.85	08/10/20	22.58	3.73 W	0.00	41 of 57 - Sale <sup>25</sup>
	7.000	26.39	08/10/20	30.03	3.64 W	0.00	42 of 57 - Sale <sup>25</sup>
	10.000	37.70	08/10/20	49.70	12.00 W	0.00	43 of 57 - Sale <sup>25</sup>
	15.000	56.55	08/10/20	61.87	5.32 W	0.00	44 of 57 - Sale <sup>25</sup>
	20.000	75.39	08/10/20	87.31	11.92 W	0.00	45 of 57 - Sale <sup>25</sup>
	20.000	75.40	08/10/20	85.60	10.20 W	0.00	46 of 57 - Sale <sup>25</sup>
	22.000	82.93	08/10/20	109.16	26.23 W	0.00	47 of 57 - Sale <sup>25</sup>
	25.000	94.24	08/10/20	112.88	18.64 W	0.00	48 of 57 - Sale <sup>25</sup>
	35.000	131.94	08/10/20	158.03	26.09 W	0.00	49 of 57 - Sale <sup>25</sup>
	35.000	131.94	08/10/20	149.81	17.87 W	0.00	50 of 57 - Sale <sup>25</sup>
	35.000	131.94	08/10/20	144.37	12.43 W	0.00	51 of 57 - Sale <sup>25</sup>
	5.000	18.85	08/10/20	22.66	3.81 W	0.00	52 of 57 - Sale <sup>25</sup>
	7.000	26.39	08/10/20	31.70	5.31 W	0.00	53 of 57 - Sale <sup>25</sup>
	5.000	18.85	08/10/20	20.68	1.83 W	0.00	54 of 57 - Sale <sup>25</sup>
	18.000	67.85	08/10/20	81.57	13.72 W	0.00	55 of 57 - Sale <sup>25</sup>
	20.000	75.39	08/10/20	82.74	7.35 W	0.00	56 of 57 - Sale <sup>25</sup>
	37.000	139.48	08/10/20	167.68	28.20 W	0.00	57 of 57 - Sale <sup>25</sup>
08/10/20	1,000.000	3,771.28	Various	4,535.53	764.25 W	0.00	Total of 57 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
34 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
1.000		2.82	08/10/20	4.27	1.45 W	0.00	1 of 34 - Sale <sup>25</sup>
5.000		14.12	08/10/20	21.15	7.03 W	0.00	2 of 34 - Sale <sup>25</sup>
5.000		14.12	08/10/20	20.67	6.55 W	0.00	3 of 34 - Sale <sup>25</sup>
14.000		39.55	08/10/20	59.65	20.10 W	0.00	4 of 34 - Sale <sup>25</sup>
20.000		56.50	08/10/20	84.63	28.13 W	0.00	5 of 34 - Sale <sup>25</sup>
30.000		84.74	08/10/20	127.24	42.50 W	0.00	6 of 34 - Sale <sup>25</sup>
45.000		127.12	08/10/20	186.34	59.22 W	0.00	7 of 34 - Sale <sup>25</sup>
80.000		225.99	08/10/20	344.12	118.13 W	0.00	8 of 34 - Sale <sup>25</sup>
5.000		14.12	08/10/20	21.21	7.09 W	0.00	9 of 34 - Sale <sup>25</sup>
5.000		14.12	08/10/20	21.20	7.08 W	0.00	10 of 34 - Sale <sup>25</sup>
8.000		22.60	08/10/20	34.41	11.81 W	0.00	11 of 34 - Sale <sup>25</sup>
15.000		42.38	08/10/20	63.62	21.24 W	0.00	12 of 34 - Sale <sup>25</sup>
17.000		48.02	08/10/20	73.46	25.44 W	0.00	13 of 34 - Sale <sup>25</sup>
3.000		8.52	08/11/20	13.73	5.21 W	0.00	14 of 34 - Sale <sup>25</sup>
5.000		14.20	08/11/20	16.88	2.68 W	0.00	15 of 34 - Sale <sup>25</sup>
10.000		28.40	08/11/20	40.15	11.75 W	0.00	16 of 34 - Sale <sup>25</sup>
12.000		34.08	08/11/20	54.90	20.82 W	0.00	17 of 34 - Sale <sup>25</sup>
15.000		42.60	08/11/20	60.37	17.77 W	0.00	18 of 34 - Sale <sup>25</sup>
20.000		56.80	08/11/20	67.53	10.73 W	0.00	19 of 34 - Sale <sup>25</sup>
20.000		56.80	08/11/20	80.29	23.49 W	0.00	20 of 34 - Sale <sup>25</sup>
30.000		85.20	08/11/20	101.59	16.39 W	0.00	21 of 34 - Sale <sup>25</sup>
16.000		45.43	08/11/20	59.53	14.10 W	0.00	22 of 34 - Sale <sup>25</sup>
45.000		127.79	08/11/20	152.38	24.59 W	0.00	23 of 34 - Sale <sup>25</sup>
26.000		73.58	08/11/20	96.73	23.15 W	0.00	24 of 34 - Sale <sup>25</sup>
1.000		2.83	08/11/20	4.23	1.40 W	0.00	25 of 34 - Sale <sup>25</sup>
3.000		8.49	08/11/20	12.20	3.71 W	0.00	26 of 34 - Sale <sup>25</sup>
4.000		11.32	08/11/20	16.88	5.56 W	0.00	27 of 34 - Sale <sup>25</sup>
5.000		14.15	08/11/20	22.13	7.98 W	0.00	28 of 34 - Sale <sup>25</sup>
10.000		28.30	08/11/20	40.61	12.31 W	0.00	29 of 34 - Sale <sup>25</sup>
15.000		42.45	08/11/20	66.37	23.92 W	0.00	30 of 34 - Sale <sup>25</sup>
15.000		42.44	08/11/20	60.92	18.48 W	0.00	31 of 34 - Sale <sup>25</sup>
20.000		56.60	08/11/20	67.62	11.02 W	0.00	32 of 34 - Sale <sup>25</sup>
25.000		70.75	08/11/20	81.90	11.15 W	0.00	33 of 34 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	25.000	70.74	08/11/20	97.94	27.20 W	0.00	34 of 44 - Sale <sup>25</sup>
08/11/20	575.000	1,627.67	Various	2,276.85	649.18 W	0.00	Total of 34 transactions
	44 transactions for 08/12/20. Total proceeds and cost reported to the IRS.						
	1.000	2.37	08/11/20	3.80	1.43 W	0.00	1 of 44 - Sale <sup>25</sup>
	2.000	4.74	08/11/20	7.35	2.61 W	0.00	2 of 44 - Sale <sup>25</sup>
	2.000	4.74	08/11/20	7.58	2.84 W	0.00	3 of 44 - Sale <sup>25</sup>
	5.000	11.85	08/11/20	18.40	6.55 W	0.00	4 of 44 - Sale <sup>25</sup>
	5.000	11.85	08/11/20	18.89	7.04 W	0.00	5 of 44 - Sale <sup>25</sup>
	5.000	11.85	08/11/20	22.39	10.54 W	0.00	6 of 44 - Sale <sup>25</sup>
	5.000	11.85	08/11/20	22.38	10.53 W	0.00	7 of 44 - Sale <sup>25</sup>
	5.000	11.85	08/11/20	20.07	8.22 W	0.00	8 of 44 - Sale <sup>25</sup>
	13.000	30.81	08/11/20	46.56	15.75 W	0.00	9 of 44 - Sale <sup>25</sup>
	15.000	35.55	08/11/20	55.47	19.92 W	0.00	10 of 44 - Sale <sup>25</sup>
	20.000	47.40	08/11/20	82.96	35.56 W	0.00	11 of 44 - Sale <sup>25</sup>
	21.000	49.77	08/11/20	76.76	26.99 W	0.00	12 of 44 - Sale <sup>25</sup>
	40.000	94.80	08/11/20	163.94	69.14 W	0.00	13 of 44 - Sale <sup>25</sup>
	42.000	99.54	08/11/20	153.52	53.98 W	0.00	14 of 44 - Sale <sup>25</sup>
	45.000	106.65	08/11/20	165.70	59.05 W	0.00	15 of 44 - Sale <sup>25</sup>
	4.000	9.48	08/11/20	13.32	3.84 W	0.00	16 of 44 - Sale <sup>25</sup>
	5.000	11.85	08/11/20	17.83	5.98 W	0.00	17 of 44 - Sale <sup>25</sup>
	10.000	23.70	08/11/20	40.20	16.50 W	0.00	18 of 44 - Sale <sup>25</sup>
	20.000	47.40	08/11/20	68.32	20.92 W	0.00	19 of 44 - Sale <sup>25</sup>
	22.000	52.14	08/11/20	88.27	36.13 W	0.00	20 of 44 - Sale <sup>25</sup>
	25.000	59.25	08/11/20	89.14	29.89 W	0.00	21 of 44 - Sale <sup>25</sup>
	35.000	82.95	08/11/20	124.79	41.84 W	0.00	22 of 44 - Sale <sup>25</sup>
	5.000	11.85	08/11/20	17.91	6.06 W	0.00	23 of 44 - Sale <sup>25</sup>
	37.000	87.68	08/11/20	132.54	44.86 W	0.00	24 of 44 - Sale <sup>25</sup>
	3.000	7.11	08/11/20	9.99	2.88 W	0.00	25 of 44 - Sale <sup>25</sup>
	7.000	16.59	08/11/20	23.38	6.79 W	0.00	26 of 44 - Sale <sup>25</sup>
	15.000	35.55	08/11/20	47.62	12.07 W	0.00	27 of 44 - Sale <sup>25</sup>
	16.000	37.92	08/11/20	53.28	15.36 W	0.00	28 of 44 - Sale <sup>25</sup>
	35.000	82.95	08/11/20	116.57	33.62 W	0.00	29 of 44 - Sale <sup>25</sup>
	35.000	82.94	08/11/20	111.13	28.19 W	0.00	30 of 44 - Sale <sup>25</sup>
	5.000	11.85	08/11/20	20.93	9.08 W	0.00	31 of 44 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	15.000	35.55	08/11/20	62.79	27.24 W	0.00	32 of 44 - Sale <sup>25</sup>
	30.000	71.09	08/11/20	128.60	57.51 W	0.00	33 of 44 - Sale <sup>25</sup>
	1.000	2.37	08/11/20	4.34	1.97 W	0.00	34 of 44 - Sale <sup>25</sup>
	5.000	11.85	08/11/20	21.00	9.15 W	0.00	35 of 44 - Sale <sup>25</sup>
	5.000	11.85	08/11/20	21.48	9.63 W	0.00	36 of 44 - Sale <sup>25</sup>
	14.000	33.18	08/11/20	60.56	27.38 W	0.00	37 of 44 - Sale <sup>25</sup>
	20.000	47.40	08/11/20	85.93	38.53 W	0.00	38 of 44 - Sale <sup>25</sup>
	25.000	59.25	08/11/20	105.15	45.90 W	0.00	39 of 44 - Sale <sup>25</sup>
	80.000	189.59	08/11/20	349.33	159.74 W	0.00	40 of 44 - Sale <sup>25</sup>
	5.000	11.85	08/11/20	16.28	4.43 W	0.00	41 of 44 - Sale <sup>25</sup>
	7.000	16.59	08/11/20	25.54	8.95 W	0.00	42 of 44 - Sale <sup>25</sup>
	18.000	42.66	08/11/20	65.74	23.08 W	0.00	43 of 44 - Sale <sup>25</sup>
	20.000	47.39	08/11/20	65.15	17.76 W	0.00	44 of 44 - Sale <sup>25</sup>
08/12/20	750.000	1,777.45	Various	2,852.88	1,075.43 W	0.00	Total of 44 transactions
	117 transactions for 08/17/20. Total proceeds and cost reported to the IRS.						
	1.000	2.55	08/17/20	4.45	1.90 W	0.00	1 of 117 - Sale <sup>25</sup>
	5.000	12.77	08/17/20	21.53	8.76 W	0.00	2 of 117 - Sale <sup>25</sup>
	5.000	12.77	08/17/20	22.01	9.24 W	0.00	3 of 117 - Sale <sup>25</sup>
	14.000	35.77	08/17/20	62.04	26.27 W	0.00	4 of 117 - Sale <sup>25</sup>
	20.000	51.10	08/17/20	88.04	36.94 W	0.00	5 of 117 - Sale <sup>25</sup>
	80.000	204.39	08/17/20	357.80	153.41 W	0.00	6 of 117 - Sale <sup>25</sup>
	1.000	2.55	08/17/20	3.91	1.36 W	0.00	7 of 117 - Sale <sup>25</sup>
	2.000	5.11	08/17/20	7.56	2.45 W	0.00	8 of 117 - Sale <sup>25</sup>
	2.000	5.11	08/17/20	7.79	2.68 W	0.00	9 of 117 - Sale <sup>25</sup>
	5.000	12.77	08/17/20	19.47	6.70 W	0.00	10 of 117 - Sale <sup>25</sup>
	5.000	12.77	08/17/20	19.46	6.69 W	0.00	11 of 117 - Sale <sup>25</sup>
	5.000	12.77	08/17/20	18.93	6.16 W	0.00	12 of 117 - Sale <sup>25</sup>
	5.000	12.77	08/17/20	19.42	6.65 W	0.00	13 of 117 - Sale <sup>25</sup>
	5.000	12.77	08/17/20	22.92	10.15 W	0.00	14 of 117 - Sale <sup>25</sup>
	5.000	12.77	08/17/20	22.91	10.14 W	0.00	15 of 117 - Sale <sup>25</sup>
	5.000	12.77	08/17/20	20.60	7.83 W	0.00	16 of 117 - Sale <sup>25</sup>
	5.000	12.77	08/17/20	18.44	5.67 W	0.00	17 of 117 - Sale <sup>25</sup>
	8.000	20.44	08/17/20	31.62	11.18 W	0.00	18 of 117 - Sale <sup>25</sup>
	13.000	33.21	08/17/20	47.93	14.72 W	0.00	19 of 117 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	15.000	38.32	08/17/20	58.38	20.06 W	0.00	20 of 117 - Sale <sup>25</sup>
	15.000	38.32	08/17/20	57.06	18.74 W	0.00	21 of 117 - Sale <sup>25</sup>
	17.000	43.43	08/17/20	67.53	24.10 W	0.00	22 of 117 - Sale <sup>25</sup>
	20.000	51.10	08/17/20	85.08	33.98 W	0.00	23 of 117 - Sale <sup>25</sup>
	21.000	53.65	08/17/20	78.98	25.33 W	0.00	24 of 117 - Sale <sup>25</sup>
	37.000	94.53	08/17/20	136.46	41.93 W	0.00	25 of 117 - Sale <sup>25</sup>
	40.000	102.19	08/17/20	168.17	65.98 W	0.00	26 of 117 - Sale <sup>25</sup>
	42.000	107.30	08/17/20	157.96	50.66 W	0.00	27 of 117 - Sale <sup>25</sup>
	45.000	114.97	08/17/20	170.46	55.49 W	0.00	28 of 117 - Sale <sup>25</sup>
	3.000	7.66	08/17/20	12.64	4.98 W	0.00	29 of 117 - Sale <sup>25</sup>
	5.000	12.77	08/17/20	15.06	2.29 W	0.00	30 of 117 - Sale <sup>25</sup>
	5.000	12.77	08/17/20	18.36	5.59 W	0.00	31 of 117 - Sale <sup>25</sup>
	10.000	25.55	08/17/20	36.51	10.96 W	0.00	32 of 117 - Sale <sup>25</sup>
	10.000	25.55	08/17/20	41.26	15.71 W	0.00	33 of 117 - Sale <sup>25</sup>
	12.000	30.66	08/17/20	50.53	19.87 W	0.00	34 of 117 - Sale <sup>25</sup>
	15.000	38.33	08/17/20	54.91	16.58 W	0.00	35 of 117 - Sale <sup>25</sup>
	16.000	40.88	08/17/20	53.71	12.83 W	0.00	36 of 117 - Sale <sup>25</sup>
	20.000	51.10	08/17/20	60.25	9.15 W	0.00	37 of 117 - Sale <sup>25</sup>
	20.000	51.10	08/17/20	73.01	21.91 W	0.00	38 of 117 - Sale <sup>25</sup>
	22.000	56.21	08/17/20	90.60	34.39 W	0.00	39 of 117 - Sale <sup>25</sup>
	11.000	28.10	08/17/20	40.38	12.28 W	0.00	40 of 117 - Sale <sup>25</sup>
	26.000	66.43	08/17/20	87.52	21.09 W	0.00	41 of 117 - Sale <sup>25</sup>
	30.000	76.65	08/17/20	90.66	14.01 W	0.00	42 of 117 - Sale <sup>25</sup>
	35.000	89.43	08/17/20	128.49	39.06 W	0.00	43 of 117 - Sale <sup>25</sup>
	45.000	114.97	08/17/20	136.00	21.03 W	0.00	44 of 117 - Sale <sup>25</sup>
	3.000	7.78	08/17/20	10.31	2.53 W	0.00	45 of 117 - Sale <sup>25</sup>
	4.000	10.38	08/17/20	13.74	3.36 W	0.00	46 of 117 - Sale <sup>25</sup>
	7.000	18.16	08/17/20	24.12	5.96 W	0.00	47 of 117 - Sale <sup>25</sup>
	15.000	38.92	08/17/20	49.21	10.29 W	0.00	48 of 117 - Sale <sup>25</sup>
	16.000	41.52	08/17/20	54.97	13.45 W	0.00	49 of 117 - Sale <sup>25</sup>
	20.000	51.90	08/17/20	70.43	18.53 W	0.00	50 of 117 - Sale <sup>25</sup>
	14.000	36.33	08/17/20	51.40	15.07 W	0.00	51 of 117 - Sale <sup>25</sup>
	35.000	90.82	08/17/20	120.27	29.45 W	0.00	52 of 117 - Sale <sup>25</sup>
	35.000	90.82	08/17/20	114.84	24.02 W	0.00	53 of 117 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	1.000	2.59	08/17/20	3.88	1.29 W	0.00	54 of 117 - Sale <sup>25</sup>
	3.000	7.78	08/17/20	11.14	3.36 W	0.00	55 of 117 - Sale <sup>25</sup>
	4.000	10.38	08/17/20	15.46	5.08 W	0.00	56 of 117 - Sale <sup>25</sup>
	5.000	12.97	08/17/20	20.36	7.39 W	0.00	57 of 117 - Sale <sup>25</sup>
	10.000	25.95	08/17/20	37.07	11.12 W	0.00	58 of 117 - Sale <sup>25</sup>
	15.000	38.92	08/17/20	61.06	22.14 W	0.00	59 of 117 - Sale <sup>25</sup>
	15.000	38.92	08/17/20	55.62	16.70 W	0.00	60 of 117 - Sale <sup>25</sup>
	20.000	51.90	08/17/20	60.54	8.64 W	0.00	61 of 117 - Sale <sup>25</sup>
	25.000	64.87	08/17/20	73.04	8.17 W	0.00	62 of 117 - Sale <sup>25</sup>
	25.000	64.87	08/17/20	89.09	24.22 W	0.00	63 of 117 - Sale <sup>25</sup>
	1.000	2.59	08/17/20	4.37	1.78 W	0.00	64 of 117 - Sale <sup>25</sup>
	5.000	12.97	08/17/20	21.46	8.49 W	0.00	65 of 117 - Sale <sup>25</sup>
	5.000	12.97	08/17/20	21.14	8.17 W	0.00	66 of 117 - Sale <sup>25</sup>
	5.000	12.97	08/17/20	21.62	8.65 W	0.00	67 of 117 - Sale <sup>25</sup>
	14.000	36.33	08/17/20	60.92	24.59 W	0.00	68 of 117 - Sale <sup>25</sup>
	15.000	38.92	08/17/20	64.36	25.44 W	0.00	69 of 117 - Sale <sup>25</sup>
	20.000	51.90	08/17/20	86.44	34.54 W	0.00	70 of 117 - Sale <sup>25</sup>
	25.000	64.87	08/17/20	107.78	42.91 W	0.00	71 of 117 - Sale <sup>25</sup>
	30.000	77.85	08/17/20	131.76	53.91 W	0.00	72 of 117 - Sale <sup>25</sup>
	80.000	207.60	08/17/20	351.41	143.81 W	0.00	73 of 117 - Sale <sup>25</sup>
	7.000	18.17	08/17/20	26.28	8.11 W	0.00	74 of 117 - Sale <sup>25</sup>
	18.000	46.71	08/17/20	67.63	20.92 W	0.00	75 of 117 - Sale <sup>25</sup>
	3.000	7.78	08/17/20	10.09	2.31 W	0.00	76 of 117 - Sale <sup>25</sup>
	5.000	12.97	08/17/20	16.80	3.83 W	0.00	77 of 117 - Sale <sup>25</sup>
	5.000	12.97	08/17/20	19.07	6.10 W	0.00	78 of 117 - Sale <sup>25</sup>
	5.000	12.98	08/17/20	19.07	6.09 W	0.00	79 of 117 - Sale <sup>25</sup>
	8.000	20.76	08/17/20	30.98	10.22 W	0.00	80 of 117 - Sale <sup>25</sup>
	15.000	38.93	08/17/20	57.18	18.25 W	0.00	81 of 117 - Sale <sup>25</sup>
	17.000	44.11	08/17/20	66.18	22.07 W	0.00	82 of 117 - Sale <sup>25</sup>
	17.000	44.11	08/17/20	57.17	13.06 W	0.00	83 of 117 - Sale <sup>25</sup>
	28.000	72.66	08/17/20	103.07	30.41 W	0.00	84 of 117 - Sale <sup>25</sup>
	1.000	2.59	08/17/20	3.84	1.25 W	0.00	85 of 117 - Sale <sup>25</sup>
	2.000	5.18	08/17/20	7.40	2.22 W	0.00	86 of 117 - Sale <sup>25</sup>
	2.000	5.18	08/17/20	7.63	2.45 W	0.00	87 of 117 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

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IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	5.000	12.95	08/17/20	18.53	5.58 W	0.00	88 of 117 - Sale <sup>25</sup>
	5.000	12.95	08/17/20	19.02	6.07 W	0.00	89 of 117 - Sale <sup>25</sup>
	15.000	38.85	08/17/20	55.87	17.02 W	0.00	90 of 117 - Sale <sup>25</sup>
	20.000	51.79	08/17/20	83.48	31.69 W	0.00	91 of 117 - Sale <sup>25</sup>
	21.000	54.39	08/17/20	77.30	22.91 W	0.00	92 of 117 - Sale <sup>25</sup>
	15.000	38.85	08/17/20	61.87	23.02 W	0.00	93 of 117 - Sale <sup>25</sup>
	14.000	36.26	08/17/20	51.54	15.28 W	0.00	94 of 117 - Sale <sup>25</sup>
	17.000	44.03	08/17/20	70.11	26.08 W	0.00	95 of 117 - Sale <sup>25</sup>
	5.000	12.95	08/17/20	22.70	9.75 W	0.00	96 of 117 - Sale <sup>25</sup>
	5.000	12.95	08/17/20	22.69	9.74 W	0.00	97 of 117 - Sale <sup>25</sup>
	5.000	12.95	08/17/20	20.38	7.43 W	0.00	98 of 117 - Sale <sup>25</sup>
	8.000	20.72	08/17/20	33.28	12.56 W	0.00	99 of 117 - Sale <sup>25</sup>
	13.000	33.67	08/17/20	47.35	13.68 W	0.00	100 of 117 - Sale <sup>25</sup>
	2.000	5.18	08/17/20	7.29	2.11 W	0.00	101 of 117 - Sale <sup>25</sup>
	45.000	116.54	08/17/20	168.44	51.90 W	0.00	102 of 117 - Sale <sup>25</sup>
	17.000	44.03	08/17/20	61.93	17.90 W	0.00	103 of 117 - Sale <sup>25</sup>
	5.000	12.95	08/17/20	18.22	5.27 W	0.00	104 of 117 - Sale <sup>25</sup>
	18.000	46.62	08/17/20	65.58	18.96 W	0.00	105 of 117 - Sale <sup>25</sup>
	5.000	12.95	08/17/20	14.84	1.89 W	0.00	106 of 117 - Sale <sup>25</sup>
	20.000	51.80	08/17/20	59.35	7.55 W	0.00	107 of 117 - Sale <sup>25</sup>
	5.000	12.95	08/17/20	14.89	1.94 W	0.00	108 of 117 - Sale <sup>25</sup>
	30.000	77.69	08/17/20	89.31	11.62 W	0.00	109 of 117 - Sale <sup>25</sup>
	17.000	44.03	08/17/20	50.61	6.58 W	0.00	110 of 117 - Sale <sup>25</sup>
	2.000	5.18	08/17/20	7.21	2.03 W	0.00	111 of 117 - Sale <sup>25</sup>
	3.000	7.77	08/17/20	12.51	4.74 W	0.00	112 of 117 - Sale <sup>25</sup>
	12.000	31.08	08/17/20	49.99	18.91 W	0.00	113 of 117 - Sale <sup>25</sup>
	15.000	38.85	08/17/20	54.23	15.38 W	0.00	114 of 117 - Sale <sup>25</sup>
	20.000	51.79	08/17/20	72.11	20.32 W	0.00	115 of 117 - Sale <sup>25</sup>
	23.000	59.57	08/17/20	68.48	8.91 W	0.00	116 of 117 - Sale <sup>25</sup>
	8.000	20.72	08/17/20	28.89	8.17 W	0.00	117 of 117 - Sale <sup>25</sup>
08/17/20	1,728.000	4,452.76	Various	6,515.00	2,062.24 W	0.00	Total of 117 transactions
	105 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	5.000	12.70	08/18/20	21.19	8.49 W	0.00	1 of 105 - Sale <sup>25</sup>
	5.000	12.70	08/18/20	20.87	8.17 W	0.00	2 of 105 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	11.000	27.94	08/18/20	47.26	19.32 W	0.00	3 of 105 - Sale <sup>25</sup>
	15.000	38.10	08/18/20	63.54	25.44 W	0.00	4 of 105 - Sale <sup>25</sup>
	25.000	63.50	08/18/20	106.41	42.91 W	0.00	5 of 105 - Sale <sup>25</sup>
	30.000	76.19	08/18/20	130.11	53.92 W	0.00	6 of 105 - Sale <sup>25</sup>
	1.000	2.54	08/18/20	4.32	1.78 W	0.00	7 of 105 - Sale <sup>25</sup>
	5.000	12.70	08/18/20	21.35	8.65 W	0.00	8 of 105 - Sale <sup>25</sup>
	3.000	7.62	08/18/20	12.89	5.27 W	0.00	9 of 105 - Sale <sup>25</sup>
	20.000	50.80	08/18/20	85.34	34.54 W	0.00	10 of 105 - Sale <sup>25</sup>
	80.000	203.18	08/18/20	347.01	143.83 W	0.00	11 of 105 - Sale <sup>25</sup>
	1.000	2.54	08/18/20	3.79	1.25 W	0.00	12 of 105 - Sale <sup>25</sup>
	2.000	5.08	08/18/20	7.30	2.22 W	0.00	13 of 105 - Sale <sup>25</sup>
	2.000	5.08	08/18/20	7.53	2.45 W	0.00	14 of 105 - Sale <sup>25</sup>
	2.000	5.08	08/18/20	7.19	2.11 W	0.00	15 of 105 - Sale <sup>25</sup>
	3.000	7.62	08/18/20	9.93	2.31 W	0.00	16 of 105 - Sale <sup>25</sup>
	5.000	12.70	08/18/20	16.53	3.83 W	0.00	17 of 105 - Sale <sup>25</sup>
	5.000	12.70	08/18/20	18.80	6.10 W	0.00	18 of 105 - Sale <sup>25</sup>
	5.000	12.70	08/18/20	18.79	6.09 W	0.00	19 of 105 - Sale <sup>25</sup>
	5.000	12.70	08/18/20	18.28	5.58 W	0.00	20 of 105 - Sale <sup>25</sup>
	5.000	12.70	08/18/20	18.77	6.07 W	0.00	21 of 105 - Sale <sup>25</sup>
	5.000	12.70	08/18/20	22.45	9.75 W	0.00	22 of 105 - Sale <sup>25</sup>
	5.000	12.70	08/18/20	22.44	9.74 W	0.00	23 of 105 - Sale <sup>25</sup>
	5.000	12.70	08/18/20	20.13	7.43 W	0.00	24 of 105 - Sale <sup>25</sup>
	5.000	12.70	08/18/20	17.97	5.27 W	0.00	25 of 105 - Sale <sup>25</sup>
	7.000	17.78	08/18/20	25.89	8.11 W	0.00	26 of 105 - Sale <sup>25</sup>
	8.000	20.32	08/18/20	30.54	10.22 W	0.00	27 of 105 - Sale <sup>25</sup>
	8.000	20.32	08/18/20	32.88	12.56 W	0.00	28 of 105 - Sale <sup>25</sup>
	13.000	33.02	08/18/20	46.70	13.68 W	0.00	29 of 105 - Sale <sup>25</sup>
	14.000	35.56	08/18/20	50.84	15.28 W	0.00	30 of 105 - Sale <sup>25</sup>
	15.000	38.10	08/18/20	56.35	18.25 W	0.00	31 of 105 - Sale <sup>25</sup>
	15.000	38.10	08/18/20	55.12	17.02 W	0.00	32 of 105 - Sale <sup>25</sup>
	15.000	38.10	08/18/20	61.12	23.02 W	0.00	33 of 105 - Sale <sup>25</sup>
	17.000	43.18	08/18/20	56.24	13.06 W	0.00	34 of 105 - Sale <sup>25</sup>
	17.000	43.18	08/18/20	65.25	22.07 W	0.00	35 of 105 - Sale <sup>25</sup>
	17.000	43.18	08/18/20	69.26	26.08 W	0.00	36 of 105 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	17.000	43.17	08/18/20	61.08	17.91 W	0.00	37 of 105 - Sale <sup>25</sup>
	18.000	45.72	08/18/20	66.64	20.92 W	0.00	38 of 105 - Sale <sup>25</sup>
	18.000	45.71	08/18/20	64.68	18.97 W	0.00	39 of 105 - Sale <sup>25</sup>
	20.000	50.80	08/18/20	82.49	31.69 W	0.00	40 of 105 - Sale <sup>25</sup>
	21.000	53.34	08/18/20	76.25	22.91 W	0.00	41 of 105 - Sale <sup>25</sup>
	28.000	71.11	08/18/20	101.53	30.42 W	0.00	42 of 105 - Sale <sup>25</sup>
	45.000	114.29	08/18/20	166.20	51.91 W	0.00	43 of 105 - Sale <sup>25</sup>
	3.000	7.62	08/18/20	10.15	2.53 W	0.00	44 of 105 - Sale <sup>25</sup>
	4.000	10.16	08/18/20	13.52	3.36 W	0.00	45 of 105 - Sale <sup>25</sup>
	5.000	12.70	08/18/20	18.29	5.59 W	0.00	46 of 105 - Sale <sup>25</sup>
	5.000	12.70	08/18/20	14.59	1.89 W	0.00	47 of 105 - Sale <sup>25</sup>
	7.000	17.78	08/18/20	23.74	5.96 W	0.00	48 of 105 - Sale <sup>25</sup>
	10.000	25.40	08/18/20	41.11	15.71 W	0.00	49 of 105 - Sale <sup>25</sup>
	10.000	25.40	08/18/20	29.27	3.87 W	0.00	50 of 105 - Sale <sup>25</sup>
	11.000	27.94	08/18/20	40.22	12.28 W	0.00	51 of 105 - Sale <sup>25</sup>
	14.000	35.56	08/18/20	50.63	15.07 W	0.00	52 of 105 - Sale <sup>25</sup>
	15.000	38.09	08/18/20	48.39	10.30 W	0.00	53 of 105 - Sale <sup>25</sup>
	16.000	40.64	08/18/20	53.47	12.83 W	0.00	54 of 105 - Sale <sup>25</sup>
	16.000	40.64	08/18/20	54.09	13.45 W	0.00	55 of 105 - Sale <sup>25</sup>
	20.000	50.79	08/18/20	69.33	18.54 W	0.00	56 of 105 - Sale <sup>25</sup>
	20.000	50.79	08/18/20	58.35	7.56 W	0.00	57 of 105 - Sale <sup>25</sup>
	22.000	55.87	08/18/20	90.27	34.40 W	0.00	58 of 105 - Sale <sup>25</sup>
	26.000	66.03	08/18/20	87.13	21.10 W	0.00	59 of 105 - Sale <sup>25</sup>
	35.000	88.89	08/18/20	127.96	39.07 W	0.00	60 of 105 - Sale <sup>25</sup>
	35.000	88.89	08/18/20	118.35	29.46 W	0.00	61 of 105 - Sale <sup>25</sup>
	35.000	88.89	08/18/20	112.92	24.03 W	0.00	62 of 105 - Sale <sup>25</sup>
	14.000	35.55	08/18/20	41.61	6.06 W	0.00	63 of 105 - Sale <sup>25</sup>
	1.000	2.54	08/18/20	3.83	1.29 W	0.00	64 of 105 - Sale <sup>25</sup>
	3.000	7.62	08/18/20	10.98	3.36 W	0.00	65 of 105 - Sale <sup>25</sup>
	4.000	10.16	08/18/20	15.24	5.08 W	0.00	66 of 105 - Sale <sup>25</sup>
	5.000	12.70	08/18/20	20.09	7.39 W	0.00	67 of 105 - Sale <sup>25</sup>
	10.000	25.40	08/18/20	36.52	11.12 W	0.00	68 of 105 - Sale <sup>25</sup>
	15.000	38.10	08/18/20	60.24	22.14 W	0.00	69 of 105 - Sale <sup>25</sup>
	15.000	38.10	08/18/20	54.80	16.70 W	0.00	70 of 105 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	6.000	15.24	08/18/20	17.83	2.59 W	0.00	71 of 105 - Sale <sup>25</sup>
	25.000	63.50	08/18/20	71.67	8.17 W	0.00	72 of 105 - Sale <sup>25</sup>
	25.000	63.49	08/18/20	87.72	24.23 W	0.00	73 of 105 - Sale <sup>25</sup>
	1.000	2.54	08/18/20	4.36	1.82 W	0.00	74 of 105 - Sale <sup>25</sup>
	3.000	7.62	08/18/20	13.03	5.41 W	0.00	75 of 105 - Sale <sup>25</sup>
	5.000	12.70	08/18/20	21.42	8.72 W	0.00	76 of 105 - Sale <sup>25</sup>
	5.000	12.70	08/18/20	21.10	8.40 W	0.00	77 of 105 - Sale <sup>25</sup>
	5.000	12.70	08/18/20	21.58	8.88 W	0.00	78 of 105 - Sale <sup>25</sup>
	11.000	27.94	08/18/20	47.75	19.81 W	0.00	79 of 105 - Sale <sup>25</sup>
	15.000	38.10	08/18/20	64.21	26.11 W	0.00	80 of 105 - Sale <sup>25</sup>
	20.000	50.80	08/18/20	86.24	35.44 W	0.00	81 of 105 - Sale <sup>25</sup>
	25.000	63.49	08/18/20	107.54	44.05 W	0.00	82 of 105 - Sale <sup>25</sup>
	30.000	76.19	08/18/20	131.47	55.28 W	0.00	83 of 105 - Sale <sup>25</sup>
	80.000	203.18	08/18/20	350.63	147.45 W	0.00	84 of 105 - Sale <sup>25</sup>
	3.000	7.62	08/18/20	10.07	2.45 W	0.00	85 of 105 - Sale <sup>25</sup>
	5.000	12.70	08/18/20	16.76	4.06 W	0.00	86 of 105 - Sale <sup>25</sup>
	5.000	12.70	08/18/20	19.02	6.32 W	0.00	87 of 105 - Sale <sup>25</sup>
	5.000	12.70	08/18/20	19.02	6.32 W	0.00	88 of 105 - Sale <sup>25</sup>
	7.000	17.78	08/18/20	26.20	8.42 W	0.00	89 of 105 - Sale <sup>25</sup>
	8.000	20.32	08/18/20	30.90	10.58 W	0.00	90 of 105 - Sale <sup>25</sup>
	15.000	38.10	08/18/20	57.02	18.92 W	0.00	91 of 105 - Sale <sup>25</sup>
	17.000	43.18	08/18/20	57.00	13.82 W	0.00	92 of 105 - Sale <sup>25</sup>
	17.000	43.17	08/18/20	66.01	22.84 W	0.00	93 of 105 - Sale <sup>25</sup>
	18.000	45.72	08/18/20	67.45	21.73 W	0.00	94 of 105 - Sale <sup>25</sup>
	25.000	63.49	08/18/20	91.79	28.30 W	0.00	95 of 105 - Sale <sup>25</sup>
	2.000	5.08	08/18/20	7.20	2.12 W	0.00	96 of 105 - Sale <sup>25</sup>
	3.000	7.62	08/18/20	12.50	4.88 W	0.00	97 of 105 - Sale <sup>25</sup>
	5.000	12.70	08/18/20	14.87	2.17 W	0.00	98 of 105 - Sale <sup>25</sup>
	8.000	20.32	08/18/20	28.85	8.53 W	0.00	99 of 105 - Sale <sup>25</sup>
	12.000	30.48	08/18/20	49.93	19.45 W	0.00	100 of 105 - Sale <sup>25</sup>
	15.000	38.10	08/18/20	54.15	16.05 W	0.00	101 of 105 - Sale <sup>25</sup>
	17.000	43.17	08/18/20	50.52	7.35 W	0.00	102 of 105 - Sale <sup>25</sup>
	20.000	50.79	08/18/20	59.45	8.66 W	0.00	103 of 105 - Sale <sup>25</sup>
	20.000	50.79	08/18/20	72.02	21.23 W	0.00	104 of 105 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
08/18/20	23.000	58.41	08/18/20	68.36	9.95 W	0.00	105 of 105 - Sale <sup>25</sup>
	1,450.000	3,682.73	Various	5,469.98	1,787.25 W	0.00	Total of 105 transactions
3 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	3.000	6.06	08/20/20	9.35	3.29 W	0.00	1 of 3 - Sale <sup>25</sup>
	8.000	16.16	08/20/20	24.97	8.81 W	0.00	2 of 3 - Sale <sup>25</sup>
	14.000	28.28	08/20/20	43.70	15.42 W	0.00	3 of 3 - Sale <sup>25</sup>
08/20/20	25.000	50.50	Various	78.02	27.52 W	0.00	Total of 3 transactions
165 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	72.000	159.11	08/24/20	301.56	...	-142.45	1 of 165 - Sale <sup>25</sup>
	3,160.000	7,836.22	08/24/20	7,900.00	...	-63.78	2 of 165 - Sale <sup>25</sup>
	30.000	66.30	08/24/20	125.97	...	-59.67	3 of 165 - Sale <sup>25</sup>
	600.000	1,325.94	08/24/20	1,373.97	...	-48.03	4 of 165 - Sale <sup>25</sup>
	25.000	55.25	08/24/20	102.92	...	-47.67	5 of 165 - Sale <sup>25</sup>
	35.000	77.35	08/24/20	121.18	...	-43.83	6 of 165 - Sale <sup>25</sup>
	500.000	1,104.95	08/24/20	1,144.98	...	-40.03	7 of 165 - Sale <sup>25</sup>
	31.000	68.51	08/24/20	108.48	...	-39.97	8 of 165 - Sale <sup>25</sup>
	20.000	44.20	08/24/20	82.33	...	-38.13	9 of 165 - Sale <sup>25</sup>
	22.000	48.62	08/24/20	85.99	...	-37.37	10 of 165 - Sale <sup>25</sup>
	600.000	1,487.89	08/24/20	1,523.98	...	-36.09	11 of 165 - Sale <sup>25</sup>
	20.000	44.20	08/24/20	78.59	...	-34.39	12 of 165 - Sale <sup>25</sup>
	35.000	77.35	08/24/20	111.57	...	-34.22	13 of 165 - Sale <sup>25</sup>
	558.000	1,233.13	08/24/20	1,266.63	...	-33.50	14 of 165 - Sale <sup>25</sup>
	25.000	55.25	08/24/20	86.93	...	-31.68	15 of 165 - Sale <sup>25</sup>
	35.000	77.35	08/24/20	106.14	...	-28.79	16 of 165 - Sale <sup>25</sup>
	17.000	37.57	08/24/20	65.95	...	-28.38	17 of 165 - Sale <sup>25</sup>
	15.000	33.15	08/24/20	61.43	...	-28.28	18 of 165 - Sale <sup>25</sup>
	25.000	62.00	08/24/20	89.37	...	-27.37	19 of 165 - Sale <sup>25</sup>
	17.000	37.57	08/24/20	62.69	...	-25.12	20 of 165 - Sale <sup>25</sup>
	15.000	33.15	08/24/20	58.18	...	-25.03	21 of 165 - Sale <sup>25</sup>
	500.000	1,239.91	08/24/20	1,264.89	...	-24.98	22 of 165 - Sale <sup>25</sup>
	26.000	57.46	08/24/20	82.08	...	-24.62	23 of 165 - Sale <sup>25</sup>
	18.000	39.78	08/24/20	63.93	...	-24.15	24 of 165 - Sale <sup>25</sup>
	15.000	37.20	08/24/20	61.22	...	-24.02	25 of 165 - Sale <sup>25</sup>
	20.000	44.20	08/24/20	66.54	...	-22.34	26 of 165 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	11.000	24.31	08/24/20	45.72	...	-21.41	27 of 165 - Sale <sup>25</sup>
	20.000	44.20	08/24/20	65.44	...	-21.24	28 of 165 - Sale <sup>25</sup>
	258.000	639.79	08/24/20	660.52	...	-20.73	29 of 165 - Sale <sup>25</sup>
	17.000	37.57	08/24/20	57.78	...	-20.21	30 of 165 - Sale <sup>25</sup>
	12.000	26.52	08/24/20	46.63	...	-20.11	31 of 165 - Sale <sup>25</sup>
	250.000	552.47	08/24/20	572.49	...	-20.02	32 of 165 - Sale <sup>25</sup>
	400.000	991.93	08/24/20	1,011.92	...	-19.99	33 of 165 - Sale <sup>25</sup>
	400.000	991.93	08/24/20	1,011.92	...	-19.99	34 of 165 - Sale <sup>25</sup>
	15.000	33.15	08/24/20	52.18	...	-19.03	35 of 165 - Sale <sup>25</sup>
	16.000	35.36	08/24/20	54.37	...	-19.01	36 of 165 - Sale <sup>25</sup>
	228.000	565.40	08/24/20	583.72	...	-18.32	37 of 165 - Sale <sup>25</sup>
	14.000	30.94	08/24/20	48.99	...	-18.05	38 of 165 - Sale <sup>25</sup>
	10.000	22.10	08/24/20	39.16	...	-17.06	39 of 165 - Sale <sup>25</sup>
	14.000	30.94	08/24/20	47.89	...	-16.95	40 of 165 - Sale <sup>25</sup>
	15.000	33.15	08/24/20	50.02	...	-16.87	41 of 165 - Sale <sup>25</sup>
	280.000	618.77	08/24/20	635.59	...	-16.82	42 of 165 - Sale <sup>25</sup>
	14.000	30.94	08/24/20	47.12	...	-16.18	43 of 165 - Sale <sup>25</sup>
	17.000	37.57	08/24/20	53.68	...	-16.11	44 of 165 - Sale <sup>25</sup>
	13.000	28.73	08/24/20	44.65	...	-15.92	45 of 165 - Sale <sup>25</sup>
	16.000	35.36	08/24/20	50.97	...	-15.61	46 of 165 - Sale <sup>25</sup>
	13.000	28.73	08/24/20	44.15	...	-15.42	47 of 165 - Sale <sup>25</sup>
	11.000	24.31	08/24/20	39.67	...	-15.36	48 of 165 - Sale <sup>25</sup>
	300.000	743.94	08/24/20	758.94	...	-15.00	49 of 165 - Sale <sup>25</sup>
	16.000	35.36	08/24/20	50.34	...	-14.98	50 of 165 - Sale <sup>25</sup>
	12.000	29.76	08/24/20	44.61	...	-14.85	51 of 165 - Sale <sup>25</sup>
	11.000	24.31	08/24/20	38.07	...	-13.76	52 of 165 - Sale <sup>25</sup>
	8.000	17.68	08/24/20	31.32	...	-13.64	53 of 165 - Sale <sup>25</sup>
	10.000	24.80	08/24/20	37.17	...	-12.37	54 of 165 - Sale <sup>25</sup>
	15.000	33.15	08/24/20	45.46	...	-12.31	55 of 165 - Sale <sup>25</sup>
	20.000	44.20	08/24/20	55.56	...	-11.36	56 of 165 - Sale <sup>25</sup>
	23.000	50.83	08/24/20	62.05	...	-11.22	57 of 165 - Sale <sup>25</sup>
	24.000	59.52	08/24/20	70.37	...	-10.85	58 of 165 - Sale <sup>25</sup>
	150.000	371.97	08/24/20	382.50	...	-10.53	59 of 165 - Sale <sup>25</sup>
	150.000	371.97	08/24/20	382.50	...	-10.53	60 of 165 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	5.000	11.05	08/24/20	21.47	...	-10.42	61 of 165 - Sale <sup>25</sup>
	5.000	11.05	08/24/20	21.47	...	-10.42	62 of 165 - Sale <sup>25</sup>
	172.000	426.53	08/24/20	436.87	...	-10.34	63 of 165 - Sale <sup>25</sup>
	128.000	317.42	08/24/20	327.70	...	-10.28	64 of 165 - Sale <sup>25</sup>
	128.000	317.42	08/24/20	327.69	...	-10.27	65 of 165 - Sale <sup>25</sup>
	20.000	44.20	08/24/20	54.46	...	-10.26	66 of 165 - Sale <sup>25</sup>
	203.000	503.40	08/24/20	513.54	...	-10.14	67 of 165 - Sale <sup>25</sup>
	200.000	495.96	08/24/20	505.94	...	-9.98	68 of 165 - Sale <sup>25</sup>
	5.000	11.05	08/24/20	20.99	...	-9.94	69 of 165 - Sale <sup>25</sup>
	5.000	11.05	08/24/20	20.60	...	-9.55	70 of 165 - Sale <sup>25</sup>
	5.000	11.05	08/24/20	20.49	...	-9.44	71 of 165 - Sale <sup>25</sup>
	7.000	15.47	08/24/20	24.84	...	-9.37	72 of 165 - Sale <sup>25</sup>
	8.000	17.68	08/24/20	26.93	...	-9.25	73 of 165 - Sale <sup>25</sup>
	5.000	11.05	08/24/20	20.17	...	-9.12	74 of 165 - Sale <sup>25</sup>
	8.000	17.68	08/24/20	26.65	...	-8.97	75 of 165 - Sale <sup>25</sup>
	17.000	37.57	08/24/20	45.86	...	-8.29	76 of 165 - Sale <sup>25</sup>
	5.000	11.05	08/24/20	19.15	...	-8.10	77 of 165 - Sale <sup>25</sup>
	100.000	247.98	08/24/20	256.02	...	-8.04	78 of 165 - Sale <sup>25</sup>
	100.000	247.98	08/24/20	256.01	...	-8.03	79 of 165 - Sale <sup>25</sup>
	5.000	12.40	08/24/20	20.42	...	-8.02	80 of 165 - Sale <sup>25</sup>
	14.000	34.72	08/24/20	42.52	...	-7.80	81 of 165 - Sale <sup>25</sup>
	5.000	11.05	08/24/20	18.05	...	-7.00	82 of 165 - Sale <sup>25</sup>
	5.000	11.05	08/24/20	18.04	...	-6.99	83 of 165 - Sale <sup>25</sup>
	7.000	15.47	08/24/20	22.38	...	-6.91	84 of 165 - Sale <sup>25</sup>
	5.000	11.05	08/24/20	17.80	...	-6.75	85 of 165 - Sale <sup>25</sup>
	5.000	11.05	08/24/20	17.31	...	-6.26	86 of 165 - Sale <sup>25</sup>
	5.000	11.05	08/24/20	17.31	...	-6.26	87 of 165 - Sale <sup>25</sup>
	100.000	247.98	08/24/20	253.99	...	-6.01	88 of 165 - Sale <sup>25</sup>
	100.000	247.98	08/24/20	253.99	...	-6.01	89 of 165 - Sale <sup>25</sup>
	100.000	247.98	08/24/20	253.99	...	-6.01	90 of 165 - Sale <sup>25</sup>
	100.000	247.98	08/24/20	253.99	...	-6.01	91 of 165 - Sale <sup>25</sup>
	100.000	220.99	08/24/20	227.00	...	-6.01	92 of 165 - Sale <sup>25</sup>
	100.000	247.98	08/24/20	253.98	...	-6.00	93 of 165 - Sale <sup>25</sup>
	100.000	247.98	08/24/20	253.98	...	-6.00	94 of 165 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	100.000	247.98	08/24/20	253.98	...	-6.00	95 of 165 - Sale <sup>25</sup>
	100.000	247.98	08/24/20	253.98	...	-6.00	96 of 165 - Sale <sup>25</sup>
	100.000	247.98	08/24/20	253.98	...	-6.00	97 of 165 - Sale <sup>25</sup>
	3.000	6.63	08/24/20	12.60	...	-5.97	98 of 165 - Sale <sup>25</sup>
	5.000	11.05	08/24/20	16.99	...	-5.94	99 of 165 - Sale <sup>25</sup>
	3.000	6.63	08/24/20	12.47	...	-5.84	100 of 165 - Sale <sup>25</sup>
	4.000	8.84	08/24/20	14.67	...	-5.83	101 of 165 - Sale <sup>25</sup>
	4.000	8.84	08/24/20	14.67	...	-5.83	102 of 165 - Sale <sup>25</sup>
	72.000	178.55	08/24/20	184.35	...	-5.80	103 of 165 - Sale <sup>25</sup>
	72.000	178.55	08/24/20	184.33	...	-5.78	104 of 165 - Sale <sup>25</sup>
	72.000	178.55	08/24/20	184.32	...	-5.77	105 of 165 - Sale <sup>25</sup>
	4.000	8.84	08/24/20	14.43	...	-5.59	106 of 165 - Sale <sup>25</sup>
	4.000	9.92	08/24/20	15.50	...	-5.58	107 of 165 - Sale <sup>25</sup>
	100.000	247.98	08/24/20	253.49	...	-5.51	108 of 165 - Sale <sup>25</sup>
	10.000	22.10	08/24/20	27.32	...	-5.22	109 of 165 - Sale <sup>25</sup>
	250.000	619.95	08/24/20	625.00	...	-5.05	110 of 165 - Sale <sup>25</sup>
	3.000	6.63	08/24/20	11.68	...	-5.05	111 of 165 - Sale <sup>25</sup>
	100.000	247.98	08/24/20	252.97	...	-4.99	112 of 165 - Sale <sup>25</sup>
	100.000	247.98	08/24/20	252.97	...	-4.99	113 of 165 - Sale <sup>25</sup>
	100.000	247.98	08/24/20	252.97	...	-4.99	114 of 165 - Sale <sup>25</sup>
	100.000	247.98	08/24/20	252.97	...	-4.99	115 of 165 - Sale <sup>25</sup>
	240.000	595.16	08/24/20	600.00	...	-4.84	116 of 165 - Sale <sup>25</sup>
	5.000	11.05	08/24/20	15.78	...	-4.73	117 of 165 - Sale <sup>25</sup>
	53.000	131.43	08/24/20	135.69	...	-4.26	118 of 165 - Sale <sup>25</sup>
	4.000	8.84	08/24/20	12.74	...	-3.90	119 of 165 - Sale <sup>25</sup>
	47.000	116.55	08/24/20	120.33	...	-3.78	120 of 165 - Sale <sup>25</sup>
	3.000	7.44	08/24/20	11.18	...	-3.74	121 of 165 - Sale <sup>25</sup>
	75.000	185.99	08/24/20	189.73	...	-3.74	122 of 165 - Sale <sup>25</sup>
	3.000	7.44	08/24/20	11.15	...	-3.71	123 of 165 - Sale <sup>25</sup>
	3.000	6.63	08/24/20	10.08	...	-3.45	124 of 165 - Sale <sup>25</sup>
	6.000	14.88	08/24/20	18.22	...	-3.34	125 of 165 - Sale <sup>25</sup>
	3.000	6.63	08/24/20	9.56	...	-2.93	126 of 165 - Sale <sup>25</sup>
	3.000	6.63	08/24/20	9.48	...	-2.85	127 of 165 - Sale <sup>25</sup>
	5.000	11.05	08/24/20	13.89	...	-2.84	128 of 165 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

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(continued)

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IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	2.000	4.42	08/24/20	7.14	...	-2.72	129 of 165 - Sale <sup>25</sup>
	5.000	11.05	08/24/20	13.61	...	-2.56	130 of 165 - Sale <sup>25</sup>
	2.000	4.42	08/24/20	6.91	...	-2.49	131 of 165 - Sale <sup>25</sup>
	2.000	4.42	08/24/20	6.80	...	-2.38	132 of 165 - Sale <sup>25</sup>
	2.000	4.42	08/24/20	6.80	...	-2.38	133 of 165 - Sale <sup>25</sup>
	28.000	69.43	08/24/20	71.69	...	-2.26	134 of 165 - Sale <sup>25</sup>
	2.000	4.42	08/24/20	6.65	...	-2.23	135 of 165 - Sale <sup>25</sup>
	1.000	2.21	08/24/20	4.18	...	-1.97	136 of 165 - Sale <sup>25</sup>
	1.000	2.48	08/24/20	3.89	...	-1.41	137 of 165 - Sale <sup>25</sup>
	1.000	2.21	08/24/20	3.60	...	-1.39	138 of 165 - Sale <sup>25</sup>
	25.000	62.00	08/24/20	63.24	...	-1.24	139 of 165 - Sale <sup>25</sup>
	20.000	44.20	08/24/20	45.40	...	-1.20	140 of 165 - Sale <sup>25</sup>
	14.000	34.72	08/24/20	35.84	...	-1.12	141 of 165 - Sale <sup>25</sup>
	18.000	39.78	08/24/20	40.86	...	-1.08	142 of 165 - Sale <sup>25</sup>
	45.000	111.59	08/24/20	112.50	...	-0.91	143 of 165 - Sale <sup>25</sup>
	12.000	26.52	08/24/20	27.24	...	-0.72	144 of 165 - Sale <sup>25</sup>
	10.000	22.10	08/24/20	22.70	...	-0.60	145 of 165 - Sale <sup>25</sup>
	1.000	2.48	08/24/20	2.94	...	-0.46	146 of 165 - Sale <sup>25</sup>
	2.000	4.96	08/24/20	5.08	...	-0.12	147 of 165 - Sale <sup>25</sup>
	5.000	12.40	08/24/20	12.50	...	-0.10	148 of 165 - Sale <sup>25</sup>
	7.000	17.36	08/24/20	17.26	...	0.10	149 of 165 - Sale <sup>25</sup>
	2.000	4.96	08/24/20	4.80	...	0.16	150 of 165 - Sale <sup>25</sup>
	419.000	1,039.04	08/24/20	1,034.96	...	4.08	151 of 165 - Sale <sup>25</sup>
	449.000	1,113.44	08/24/20	1,109.05	...	4.39	152 of 165 - Sale <sup>25</sup>
	100.000	247.98	08/24/20	240.00	...	7.98	153 of 165 - Sale <sup>25</sup>
	500.000	1,239.91	08/24/20	1,224.95	...	14.96	154 of 165 - Sale <sup>25</sup>
	198.000	491.00	08/24/20	475.20	...	15.80	155 of 165 - Sale <sup>25</sup>
	300.000	743.94	08/24/20	720.00	...	23.94	156 of 165 - Sale <sup>25</sup>
	300.000	743.94	08/24/20	720.00	...	23.94	157 of 165 - Sale <sup>25</sup>
	300.000	743.95	08/24/20	720.00	...	23.95	158 of 165 - Sale <sup>25</sup>
	300.000	743.95	08/24/20	720.00	...	23.95	159 of 165 - Sale <sup>25</sup>
	800.000	1,983.85	08/24/20	1,944.00	...	39.85	160 of 165 - Sale <sup>25</sup>
	500.000	1,239.91	08/24/20	1,200.00	...	39.91	161 of 165 - Sale <sup>25</sup>
	500.000	1,239.91	08/24/20	1,200.00	...	39.91	162 of 165 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	2,500.000	6,199.54	08/24/20	6,118.75	...	80.79	163 of 165 - Sale <sup>25</sup>
	1,700.000	4,215.69	08/24/20	4,131.00	...	84.69	164 of 165 - Sale <sup>25</sup>
	3,000.000	7,439.44	08/24/20	7,260.00	...	179.44	165 of 165 - Sale <sup>25</sup>
08/24/20	24,925.000	60,905.30	Various	62,335.58	...	-1,430.28	Total of 165 transactions
	514 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	1.000	2.40	08/24/20	3.75	1.35 W	0.00	1 of 514 - Sale <sup>25</sup>
	2.000	4.80	08/24/20	7.22	2.42 W	0.00	2 of 514 - Sale <sup>25</sup>
	2.000	4.80	08/24/20	7.45	2.65 W	0.00	3 of 514 - Sale <sup>25</sup>
	2.000	4.80	08/24/20	7.11	2.31 W	0.00	4 of 514 - Sale <sup>25</sup>
	5.000	12.00	08/24/20	18.08	6.08 W	0.00	5 of 514 - Sale <sup>25</sup>
	5.000	12.00	08/24/20	18.57	6.57 W	0.00	6 of 514 - Sale <sup>25</sup>
	5.000	12.00	08/24/20	22.25	10.25 W	0.00	7 of 514 - Sale <sup>25</sup>
	5.000	12.00	08/24/20	22.24	10.24 W	0.00	8 of 514 - Sale <sup>25</sup>
	5.000	12.00	08/24/20	19.93	7.93 W	0.00	9 of 514 - Sale <sup>25</sup>
	5.000	12.00	08/24/20	17.77	5.77 W	0.00	10 of 514 - Sale <sup>25</sup>
	8.000	19.20	08/24/20	32.56	13.36 W	0.00	11 of 514 - Sale <sup>25</sup>
	13.000	31.20	08/24/20	46.68	15.48 W	0.00	12 of 514 - Sale <sup>25</sup>
	13.000	31.20	08/24/20	46.18	14.98 W	0.00	13 of 514 - Sale <sup>25</sup>
	15.000	36.00	08/24/20	54.52	18.52 W	0.00	14 of 514 - Sale <sup>25</sup>
	15.000	36.00	08/24/20	60.52	24.52 W	0.00	15 of 514 - Sale <sup>25</sup>
	17.000	40.80	08/24/20	68.58	27.78 W	0.00	16 of 514 - Sale <sup>25</sup>
	17.000	40.80	08/24/20	60.41	19.61 W	0.00	17 of 514 - Sale <sup>25</sup>
	18.000	43.20	08/24/20	63.97	20.77 W	0.00	18 of 514 - Sale <sup>25</sup>
	20.000	48.00	08/24/20	81.69	33.69 W	0.00	19 of 514 - Sale <sup>25</sup>
	45.000	108.00	08/24/20	164.41	56.41 W	0.00	20 of 514 - Sale <sup>25</sup>
	3.000	7.20	08/24/20	10.03	2.83 W	0.00	21 of 514 - Sale <sup>25</sup>
	4.000	9.60	08/24/20	13.36	3.76 W	0.00	22 of 514 - Sale <sup>25</sup>
	5.000	12.00	08/24/20	18.09	6.09 W	0.00	23 of 514 - Sale <sup>25</sup>
	5.000	12.00	08/24/20	14.39	2.39 W	0.00	24 of 514 - Sale <sup>25</sup>
	7.000	16.80	08/24/20	23.46	6.66 W	0.00	25 of 514 - Sale <sup>25</sup>
	10.000	24.00	08/24/20	40.71	16.71 W	0.00	26 of 514 - Sale <sup>25</sup>
	10.000	24.00	08/24/20	28.87	4.87 W	0.00	27 of 514 - Sale <sup>25</sup>
	11.000	26.40	08/24/20	39.78	13.38 W	0.00	28 of 514 - Sale <sup>25</sup>
	14.000	33.60	08/24/20	50.07	16.47 W	0.00	29 of 514 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	15.000	36.00	08/24/20	47.80	11.80 W	0.00	30 of 514 - Sale <sup>25</sup>
	16.000	38.40	08/24/20	52.83	14.43 W	0.00	31 of 514 - Sale <sup>25</sup>
	16.000	38.40	08/24/20	53.45	15.05 W	0.00	32 of 514 - Sale <sup>25</sup>
	20.000	48.00	08/24/20	68.54	20.54 W	0.00	33 of 514 - Sale <sup>25</sup>
	20.000	48.00	08/24/20	57.56	9.56 W	0.00	34 of 514 - Sale <sup>25</sup>
	22.000	52.80	08/24/20	89.40	36.60 W	0.00	35 of 514 - Sale <sup>25</sup>
	26.000	62.40	08/24/20	86.10	23.70 W	0.00	36 of 514 - Sale <sup>25</sup>
	35.000	84.00	08/24/20	126.57	42.57 W	0.00	37 of 514 - Sale <sup>25</sup>
	35.000	84.00	08/24/20	116.96	32.96 W	0.00	38 of 514 - Sale <sup>25</sup>
	35.000	84.00	08/24/20	111.53	27.53 W	0.00	39 of 514 - Sale <sup>25</sup>
	6.000	14.40	08/24/20	17.59	3.19 W	0.00	40 of 514 - Sale <sup>25</sup>
	14.000	33.60	08/24/20	41.06	7.46 W	0.00	41 of 514 - Sale <sup>25</sup>
	24.000	57.60	08/24/20	67.84	10.24 W	0.00	42 of 514 - Sale <sup>25</sup>
	1.000	2.40	08/24/20	4.32	1.92 W	0.00	43 of 514 - Sale <sup>25</sup>
	3.000	7.20	08/24/20	12.91	5.71 W	0.00	44 of 514 - Sale <sup>25</sup>
	5.000	12.00	08/24/20	21.22	9.22 W	0.00	45 of 514 - Sale <sup>25</sup>
	5.000	12.00	08/24/20	20.90	8.90 W	0.00	46 of 514 - Sale <sup>25</sup>
	5.000	12.00	08/24/20	21.38	9.38 W	0.00	47 of 514 - Sale <sup>25</sup>
	11.000	26.40	08/24/20	47.31	20.91 W	0.00	48 of 514 - Sale <sup>25</sup>
	15.000	36.00	08/24/20	63.61	27.61 W	0.00	49 of 514 - Sale <sup>25</sup>
	20.000	48.00	08/24/20	85.44	37.44 W	0.00	50 of 514 - Sale <sup>25</sup>
	25.000	60.00	08/24/20	106.55	46.55 W	0.00	51 of 514 - Sale <sup>25</sup>
	30.000	72.00	08/24/20	130.28	58.28 W	0.00	52 of 514 - Sale <sup>25</sup>
	80.000	191.99	08/24/20	347.45	155.46 W	0.00	53 of 514 - Sale <sup>25</sup>
	3.000	7.20	08/24/20	9.95	2.75 W	0.00	54 of 514 - Sale <sup>25</sup>
	5.000	12.00	08/24/20	16.56	4.56 W	0.00	55 of 514 - Sale <sup>25</sup>
	5.000	12.00	08/24/20	18.82	6.82 W	0.00	56 of 514 - Sale <sup>25</sup>
	5.000	12.00	08/24/20	18.82	6.82 W	0.00	57 of 514 - Sale <sup>25</sup>
	7.000	16.80	08/24/20	25.92	9.12 W	0.00	58 of 514 - Sale <sup>25</sup>
	8.000	19.20	08/24/20	30.58	11.38 W	0.00	59 of 514 - Sale <sup>25</sup>
	15.000	36.00	08/24/20	56.42	20.42 W	0.00	60 of 514 - Sale <sup>25</sup>
	17.000	40.80	08/24/20	56.32	15.52 W	0.00	61 of 514 - Sale <sup>25</sup>
	17.000	40.80	08/24/20	65.34	24.54 W	0.00	62 of 514 - Sale <sup>25</sup>
	18.000	43.20	08/24/20	66.73	23.53 W	0.00	63 of 514 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	25.000	60.00	08/24/20	90.80	30.80 W	0.00	64 of 514 - Sale <sup>25</sup>
	5.000	12.00	08/24/20	14.67	2.67 W	0.00	65 of 514 - Sale <sup>25</sup>
	20.000	48.00	08/24/20	58.66	10.66 W	0.00	66 of 514 - Sale <sup>25</sup>
	1.000	2.40	08/24/20	2.83	0.43 W	0.00	67 of 514 - Sale <sup>25</sup>
	1.000	2.40	08/24/20	3.79	1.39 W	0.00	68 of 514 - Sale <sup>25</sup>
	3.000	7.20	08/24/20	10.86	3.66 W	0.00	69 of 514 - Sale <sup>25</sup>
	4.000	9.60	08/24/20	15.08	5.48 W	0.00	70 of 514 - Sale <sup>25</sup>
	5.000	12.00	08/24/20	19.89	7.89 W	0.00	71 of 514 - Sale <sup>25</sup>
	10.000	24.00	08/24/20	36.12	12.12 W	0.00	72 of 514 - Sale <sup>25</sup>
	15.000	36.00	08/24/20	59.64	23.64 W	0.00	73 of 514 - Sale <sup>25</sup>
	15.000	36.00	08/24/20	54.20	18.20 W	0.00	74 of 514 - Sale <sup>25</sup>
	25.000	60.00	08/24/20	86.73	26.73 W	0.00	75 of 514 - Sale <sup>25</sup>
	3.000	7.20	08/24/20	10.55	3.35 W	0.00	76 of 514 - Sale <sup>25</sup>
	8.000	19.20	08/24/20	28.17	8.97 W	0.00	77 of 514 - Sale <sup>25</sup>
	14.000	33.60	08/24/20	49.30	15.70 W	0.00	78 of 514 - Sale <sup>25</sup>
	2.000	4.80	08/24/20	6.96	2.16 W	0.00	79 of 514 - Sale <sup>25</sup>
	3.000	7.20	08/24/20	12.14	4.94 W	0.00	80 of 514 - Sale <sup>25</sup>
	8.000	19.20	08/24/20	27.89	8.69 W	0.00	81 of 514 - Sale <sup>25</sup>
	12.000	28.80	08/24/20	48.49	19.69 W	0.00	82 of 514 - Sale <sup>25</sup>
	15.000	36.00	08/24/20	52.35	16.35 W	0.00	83 of 514 - Sale <sup>25</sup>
	17.000	40.80	08/24/20	48.49	7.69 W	0.00	84 of 514 - Sale <sup>25</sup>
	20.000	48.00	08/24/20	69.63	21.63 W	0.00	85 of 514 - Sale <sup>25</sup>
	23.000	55.20	08/24/20	65.61	10.41 W	0.00	86 of 514 - Sale <sup>25</sup>
	426.000	1,022.33	08/24/20	1,030.92	8.59 W	0.00	87 of 514 - Sale <sup>25</sup>
	449.000	1,077.53	08/24/20	1,086.58	9.05 W	0.00	88 of 514 - Sale <sup>25</sup>
	1.000	2.48	08/24/20	4.37	1.89 W	0.00	89 of 514 - Sale <sup>25</sup>
	3.000	7.44	08/24/20	13.04	5.60 W	0.00	90 of 514 - Sale <sup>25</sup>
	5.000	12.40	08/24/20	21.44	9.04 W	0.00	91 of 514 - Sale <sup>25</sup>
	5.000	12.40	08/24/20	21.12	8.72 W	0.00	92 of 514 - Sale <sup>25</sup>
	5.000	12.40	08/24/20	21.60	9.20 W	0.00	93 of 514 - Sale <sup>25</sup>
	11.000	27.28	08/24/20	47.81	20.53 W	0.00	94 of 514 - Sale <sup>25</sup>
	15.000	37.20	08/24/20	64.29	27.09 W	0.00	95 of 514 - Sale <sup>25</sup>
	20.000	49.60	08/24/20	86.34	36.74 W	0.00	96 of 514 - Sale <sup>25</sup>
	25.000	62.00	08/24/20	107.68	45.68 W	0.00	97 of 514 - Sale <sup>25</sup>

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Account 527125207

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(continued)

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IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	30.000	74.39	08/24/20	131.63	57.24 W	0.00	98 of 514 - Sale <sup>25</sup>
	80.000	198.39	08/24/20	351.06	152.67 W	0.00	99 of 514 - Sale <sup>25</sup>
	1.000	2.48	08/24/20	3.80	1.32 W	0.00	100 of 514 - Sale <sup>25</sup>
	2.000	4.96	08/24/20	7.31	2.35 W	0.00	101 of 514 - Sale <sup>25</sup>
	2.000	4.96	08/24/20	7.54	2.58 W	0.00	102 of 514 - Sale <sup>25</sup>
	2.000	4.96	08/24/20	7.20	2.24 W	0.00	103 of 514 - Sale <sup>25</sup>
	3.000	7.44	08/24/20	10.08	2.64 W	0.00	104 of 514 - Sale <sup>25</sup>
	3.000	7.44	08/24/20	10.68	3.24 W	0.00	105 of 514 - Sale <sup>25</sup>
	5.000	12.40	08/24/20	18.31	5.91 W	0.00	106 of 514 - Sale <sup>25</sup>
	5.000	12.40	08/24/20	18.80	6.40 W	0.00	107 of 514 - Sale <sup>25</sup>
	5.000	12.40	08/24/20	22.47	10.07 W	0.00	108 of 514 - Sale <sup>25</sup>
	5.000	12.40	08/24/20	22.47	10.07 W	0.00	109 of 514 - Sale <sup>25</sup>
	5.000	12.40	08/24/20	20.15	7.75 W	0.00	110 of 514 - Sale <sup>25</sup>
	5.000	12.40	08/24/20	17.99	5.59 W	0.00	111 of 514 - Sale <sup>25</sup>
	5.000	12.40	08/24/20	16.78	4.38 W	0.00	112 of 514 - Sale <sup>25</sup>
	5.000	12.40	08/24/20	19.05	6.65 W	0.00	113 of 514 - Sale <sup>25</sup>
	5.000	12.40	08/24/20	19.04	6.64 W	0.00	114 of 514 - Sale <sup>25</sup>
	7.000	17.36	08/24/20	26.24	8.88 W	0.00	115 of 514 - Sale <sup>25</sup>
	8.000	19.84	08/24/20	32.92	13.08 W	0.00	116 of 514 - Sale <sup>25</sup>
	8.000	19.84	08/24/20	30.94	11.10 W	0.00	117 of 514 - Sale <sup>25</sup>
	8.000	19.84	08/24/20	28.53	8.69 W	0.00	118 of 514 - Sale <sup>25</sup>
	13.000	32.24	08/24/20	47.26	15.02 W	0.00	119 of 514 - Sale <sup>25</sup>
	13.000	32.24	08/24/20	46.76	14.52 W	0.00	120 of 514 - Sale <sup>25</sup>
	14.000	34.72	08/24/20	49.93	15.21 W	0.00	121 of 514 - Sale <sup>25</sup>
	15.000	37.20	08/24/20	55.19	17.99 W	0.00	122 of 514 - Sale <sup>25</sup>
	15.000	37.20	08/24/20	61.19	23.99 W	0.00	123 of 514 - Sale <sup>25</sup>
	15.000	37.20	08/24/20	57.10	19.90 W	0.00	124 of 514 - Sale <sup>25</sup>
	17.000	42.16	08/24/20	69.35	27.19 W	0.00	125 of 514 - Sale <sup>25</sup>
	17.000	42.16	08/24/20	61.18	19.02 W	0.00	126 of 514 - Sale <sup>25</sup>
	17.000	42.16	08/24/20	57.09	14.93 W	0.00	127 of 514 - Sale <sup>25</sup>
	17.000	42.16	08/24/20	66.10	23.94 W	0.00	128 of 514 - Sale <sup>25</sup>
	18.000	44.64	08/24/20	64.78	20.14 W	0.00	129 of 514 - Sale <sup>25</sup>
	18.000	44.64	08/24/20	67.54	22.90 W	0.00	130 of 514 - Sale <sup>25</sup>
	20.000	49.60	08/24/20	82.59	32.99 W	0.00	131 of 514 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	25.000	62.00	08/24/20	91.93	29.93 W	0.00	132 of 514 - Sale <sup>25</sup>
	45.000	111.59	08/24/20	166.44	54.85 W	0.00	133 of 514 - Sale <sup>25</sup>
	2.000	4.96	08/24/20	7.05	2.09 W	0.00	134 of 514 - Sale <sup>25</sup>
	3.000	7.44	08/24/20	10.16	2.72 W	0.00	135 of 514 - Sale <sup>25</sup>
	3.000	7.44	08/24/20	12.28	4.84 W	0.00	136 of 514 - Sale <sup>25</sup>
	4.000	9.92	08/24/20	13.54	3.62 W	0.00	137 of 514 - Sale <sup>25</sup>
	5.000	12.40	08/24/20	18.31	5.91 W	0.00	138 of 514 - Sale <sup>25</sup>
	5.000	12.40	08/24/20	14.61	2.21 W	0.00	139 of 514 - Sale <sup>25</sup>
	5.000	12.40	08/24/20	14.89	2.49 W	0.00	140 of 514 - Sale <sup>25</sup>
	7.000	17.36	08/24/20	23.78	6.42 W	0.00	141 of 514 - Sale <sup>25</sup>
	8.000	19.84	08/24/20	28.25	8.41 W	0.00	142 of 514 - Sale <sup>25</sup>
	10.000	24.80	08/24/20	41.16	16.36 W	0.00	143 of 514 - Sale <sup>25</sup>
	10.000	24.80	08/24/20	29.32	4.52 W	0.00	144 of 514 - Sale <sup>25</sup>
	11.000	27.28	08/24/20	40.27	12.99 W	0.00	145 of 514 - Sale <sup>25</sup>
	12.000	29.76	08/24/20	49.03	19.27 W	0.00	146 of 514 - Sale <sup>25</sup>
	14.000	34.72	08/24/20	50.70	15.98 W	0.00	147 of 514 - Sale <sup>25</sup>
	15.000	37.20	08/24/20	48.47	11.27 W	0.00	148 of 514 - Sale <sup>25</sup>
	15.000	37.20	08/24/20	53.02	15.82 W	0.00	149 of 514 - Sale <sup>25</sup>
	16.000	39.68	08/24/20	53.55	13.87 W	0.00	150 of 514 - Sale <sup>25</sup>
	16.000	39.68	08/24/20	54.17	14.49 W	0.00	151 of 514 - Sale <sup>25</sup>
	17.000	42.16	08/24/20	49.26	7.10 W	0.00	152 of 514 - Sale <sup>25</sup>
	20.000	49.60	08/24/20	69.44	19.84 W	0.00	153 of 514 - Sale <sup>25</sup>
	20.000	49.60	08/24/20	58.46	8.86 W	0.00	154 of 514 - Sale <sup>25</sup>
	20.000	49.60	08/24/20	59.56	9.96 W	0.00	155 of 514 - Sale <sup>25</sup>
	20.000	49.60	08/24/20	70.53	20.93 W	0.00	156 of 514 - Sale <sup>25</sup>
	22.000	54.56	08/24/20	90.39	35.83 W	0.00	157 of 514 - Sale <sup>25</sup>
	23.000	57.04	08/24/20	66.64	9.60 W	0.00	158 of 514 - Sale <sup>25</sup>
	26.000	64.48	08/24/20	87.27	22.79 W	0.00	159 of 514 - Sale <sup>25</sup>
	35.000	86.79	08/24/20	128.15	41.36 W	0.00	160 of 514 - Sale <sup>25</sup>
	35.000	86.79	08/24/20	118.54	31.75 W	0.00	161 of 514 - Sale <sup>25</sup>
	35.000	86.79	08/24/20	113.11	26.32 W	0.00	162 of 514 - Sale <sup>25</sup>
	1.000	2.48	08/24/20	2.88	0.40 W	0.00	163 of 514 - Sale <sup>25</sup>
	1.000	2.48	08/24/20	3.83	1.35 W	0.00	164 of 514 - Sale <sup>25</sup>
	3.000	7.44	08/24/20	11.00	3.56 W	0.00	165 of 514 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	4.000	9.92	08/24/20	15.26	5.34 W	0.00	166 of 514 - Sale <sup>25</sup>
	5.000	12.40	08/24/20	20.12	7.72 W	0.00	167 of 514 - Sale <sup>25</sup>
	6.000	14.88	08/24/20	17.86	2.98 W	0.00	168 of 514 - Sale <sup>25</sup>
	10.000	24.80	08/24/20	36.57	11.77 W	0.00	169 of 514 - Sale <sup>25</sup>
	14.000	34.72	08/24/20	41.69	6.97 W	0.00	170 of 514 - Sale <sup>25</sup>
	15.000	37.20	08/24/20	60.31	23.11 W	0.00	171 of 514 - Sale <sup>25</sup>
	15.000	37.20	08/24/20	54.87	17.67 W	0.00	172 of 514 - Sale <sup>25</sup>
	24.000	59.52	08/24/20	68.92	9.40 W	0.00	173 of 514 - Sale <sup>25</sup>
	25.000	62.00	08/24/20	87.86	25.86 W	0.00	174 of 514 - Sale <sup>25</sup>
	300.000	743.94	08/24/20	750.00	6.06 W	0.00	175 of 514 - Sale <sup>25</sup>
	100.000	247.98	08/24/20	250.00	2.02 W	0.00	176 of 514 - Sale <sup>25</sup>
	300.000	743.95	08/24/20	750.00	6.05 W	0.00	177 of 514 - Sale <sup>25</sup>
	300.000	743.94	08/24/20	750.00	6.06 W	0.00	178 of 514 - Sale <sup>25</sup>
	300.000	743.95	08/24/20	750.00	6.05 W	0.00	179 of 514 - Sale <sup>25</sup>
	1.000	2.47	08/24/20	4.37	1.90 W	0.00	180 of 514 - Sale <sup>25</sup>
	3.000	7.41	08/24/20	13.04	5.63 W	0.00	181 of 514 - Sale <sup>25</sup>
	5.000	12.35	08/24/20	21.44	9.09 W	0.00	182 of 514 - Sale <sup>25</sup>
	5.000	12.35	08/24/20	21.12	8.77 W	0.00	183 of 514 - Sale <sup>25</sup>
	8.000	19.76	08/24/20	35.11	15.35 W	0.00	184 of 514 - Sale <sup>25</sup>
	11.000	27.17	08/24/20	47.81	20.64 W	0.00	185 of 514 - Sale <sup>25</sup>
	15.000	37.05	08/24/20	64.29	27.24 W	0.00	186 of 514 - Sale <sup>25</sup>
	25.000	61.75	08/24/20	107.68	45.93 W	0.00	187 of 514 - Sale <sup>25</sup>
	30.000	74.09	08/24/20	131.64	57.55 W	0.00	188 of 514 - Sale <sup>25</sup>
	5.000	12.35	08/24/20	21.70	9.35 W	0.00	189 of 514 - Sale <sup>25</sup>
	20.000	49.40	08/24/20	86.73	37.33 W	0.00	190 of 514 - Sale <sup>25</sup>
	72.000	177.83	08/24/20	317.37	139.54 W	0.00	191 of 514 - Sale <sup>25</sup>
	1.000	2.47	08/24/20	3.82	1.35 W	0.00	192 of 514 - Sale <sup>25</sup>
	2.000	4.94	08/24/20	7.35	2.41 W	0.00	193 of 514 - Sale <sup>25</sup>
	2.000	4.94	08/24/20	7.58	2.64 W	0.00	194 of 514 - Sale <sup>25</sup>
	2.000	4.94	08/24/20	7.24	2.30 W	0.00	195 of 514 - Sale <sup>25</sup>
	3.000	7.41	08/24/20	10.14	2.73 W	0.00	196 of 514 - Sale <sup>25</sup>
	3.000	7.41	08/24/20	10.74	3.33 W	0.00	197 of 514 - Sale <sup>25</sup>
	5.000	12.35	08/24/20	18.41	6.06 W	0.00	198 of 514 - Sale <sup>25</sup>
	5.000	12.35	08/24/20	18.90	6.55 W	0.00	199 of 514 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	5.000	12.35	08/24/20	22.57	10.22 W	0.00	200 of 514 - Sale <sup>25</sup>
	5.000	12.35	08/24/20	22.57	10.22 W	0.00	201 of 514 - Sale <sup>25</sup>
	5.000	12.35	08/24/20	20.25	7.90 W	0.00	202 of 514 - Sale <sup>25</sup>
	5.000	12.35	08/24/20	18.09	5.74 W	0.00	203 of 514 - Sale <sup>25</sup>
	5.000	12.35	08/24/20	16.88	4.53 W	0.00	204 of 514 - Sale <sup>25</sup>
	5.000	12.35	08/24/20	19.15	6.80 W	0.00	205 of 514 - Sale <sup>25</sup>
	5.000	12.35	08/24/20	19.14	6.79 W	0.00	206 of 514 - Sale <sup>25</sup>
	7.000	17.29	08/24/20	26.38	9.09 W	0.00	207 of 514 - Sale <sup>25</sup>
	8.000	19.76	08/24/20	33.08	13.32 W	0.00	208 of 514 - Sale <sup>25</sup>
	8.000	19.76	08/24/20	31.10	11.34 W	0.00	209 of 514 - Sale <sup>25</sup>
	8.000	19.76	08/24/20	28.69	8.93 W	0.00	210 of 514 - Sale <sup>25</sup>
	13.000	32.11	08/24/20	47.51	15.40 W	0.00	211 of 514 - Sale <sup>25</sup>
	13.000	32.11	08/24/20	47.01	14.90 W	0.00	212 of 514 - Sale <sup>25</sup>
	14.000	34.58	08/24/20	50.20	15.62 W	0.00	213 of 514 - Sale <sup>25</sup>
	15.000	37.05	08/24/20	55.48	18.43 W	0.00	214 of 514 - Sale <sup>25</sup>
	15.000	37.05	08/24/20	61.48	24.43 W	0.00	215 of 514 - Sale <sup>25</sup>
	15.000	37.05	08/24/20	57.39	20.34 W	0.00	216 of 514 - Sale <sup>25</sup>
	17.000	41.99	08/24/20	69.68	27.69 W	0.00	217 of 514 - Sale <sup>25</sup>
	17.000	41.99	08/24/20	61.51	19.52 W	0.00	218 of 514 - Sale <sup>25</sup>
	17.000	41.99	08/24/20	57.42	15.43 W	0.00	219 of 514 - Sale <sup>25</sup>
	17.000	41.99	08/24/20	66.43	24.44 W	0.00	220 of 514 - Sale <sup>25</sup>
	18.000	44.46	08/24/20	65.13	20.67 W	0.00	221 of 514 - Sale <sup>25</sup>
	18.000	44.46	08/24/20	67.89	23.43 W	0.00	222 of 514 - Sale <sup>25</sup>
	20.000	49.40	08/24/20	82.98	33.58 W	0.00	223 of 514 - Sale <sup>25</sup>
	25.000	61.74	08/24/20	92.42	30.68 W	0.00	224 of 514 - Sale <sup>25</sup>
	45.000	111.14	08/24/20	167.33	56.19 W	0.00	225 of 514 - Sale <sup>25</sup>
	2.000	4.94	08/24/20	7.09	2.15 W	0.00	226 of 514 - Sale <sup>25</sup>
	3.000	7.41	08/24/20	10.22	2.81 W	0.00	227 of 514 - Sale <sup>25</sup>
	3.000	7.41	08/24/20	12.34	4.93 W	0.00	228 of 514 - Sale <sup>25</sup>
	4.000	9.88	08/24/20	13.62	3.74 W	0.00	229 of 514 - Sale <sup>25</sup>
	5.000	12.35	08/24/20	18.41	6.06 W	0.00	230 of 514 - Sale <sup>25</sup>
	5.000	12.35	08/24/20	14.71	2.36 W	0.00	231 of 514 - Sale <sup>25</sup>
	5.000	12.35	08/24/20	14.99	2.64 W	0.00	232 of 514 - Sale <sup>25</sup>
	7.000	17.29	08/24/20	23.92	6.63 W	0.00	233 of 514 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	8.000	19.76	08/24/20	28.41	8.65 W	0.00	234 of 514 - Sale <sup>25</sup>
	10.000	24.70	08/24/20	41.36	16.66 W	0.00	235 of 514 - Sale <sup>25</sup>
	10.000	24.70	08/24/20	29.52	4.82 W	0.00	236 of 514 - Sale <sup>25</sup>
	11.000	27.17	08/24/20	40.49	13.32 W	0.00	237 of 514 - Sale <sup>25</sup>
	12.000	29.64	08/24/20	49.27	19.63 W	0.00	238 of 514 - Sale <sup>25</sup>
	14.000	34.58	08/24/20	50.97	16.39 W	0.00	239 of 514 - Sale <sup>25</sup>
	15.000	37.05	08/24/20	48.76	11.71 W	0.00	240 of 514 - Sale <sup>25</sup>
	15.000	37.04	08/24/20	53.31	16.27 W	0.00	241 of 514 - Sale <sup>25</sup>
	16.000	39.52	08/24/20	53.86	14.34 W	0.00	242 of 514 - Sale <sup>25</sup>
	16.000	39.51	08/24/20	54.48	14.97 W	0.00	243 of 514 - Sale <sup>25</sup>
	17.000	41.98	08/24/20	49.59	7.61 W	0.00	244 of 514 - Sale <sup>25</sup>
	20.000	49.39	08/24/20	69.83	20.44 W	0.00	245 of 514 - Sale <sup>25</sup>
	20.000	49.39	08/24/20	58.85	9.46 W	0.00	246 of 514 - Sale <sup>25</sup>
	20.000	49.39	08/24/20	59.95	10.56 W	0.00	247 of 514 - Sale <sup>25</sup>
	20.000	49.39	08/24/20	70.92	21.53 W	0.00	248 of 514 - Sale <sup>25</sup>
	22.000	54.33	08/24/20	90.82	36.49 W	0.00	249 of 514 - Sale <sup>25</sup>
	23.000	56.80	08/24/20	67.09	10.29 W	0.00	250 of 514 - Sale <sup>25</sup>
	26.000	64.21	08/24/20	87.78	23.57 W	0.00	251 of 514 - Sale <sup>25</sup>
	35.000	86.44	08/24/20	128.85	42.41 W	0.00	252 of 514 - Sale <sup>25</sup>
	35.000	86.44	08/24/20	119.24	32.80 W	0.00	253 of 514 - Sale <sup>25</sup>
	35.000	86.44	08/24/20	113.81	27.37 W	0.00	254 of 514 - Sale <sup>25</sup>
	1.000	2.47	08/24/20	2.90	0.43 W	0.00	255 of 514 - Sale <sup>25</sup>
	1.000	2.47	08/24/20	3.85	1.38 W	0.00	256 of 514 - Sale <sup>25</sup>
	4.000	9.88	08/24/20	15.34	5.46 W	0.00	257 of 514 - Sale <sup>25</sup>
	5.000	12.35	08/24/20	20.22	7.87 W	0.00	258 of 514 - Sale <sup>25</sup>
	6.000	14.82	08/24/20	17.98	3.16 W	0.00	259 of 514 - Sale <sup>25</sup>
	14.000	34.58	08/24/20	41.96	7.38 W	0.00	260 of 514 - Sale <sup>25</sup>
	15.000	37.04	08/24/20	60.60	23.56 W	0.00	261 of 514 - Sale <sup>25</sup>
	3.000	7.41	08/24/20	11.03	3.62 W	0.00	262 of 514 - Sale <sup>25</sup>
	24.000	59.27	08/24/20	69.39	10.12 W	0.00	263 of 514 - Sale <sup>25</sup>
	25.000	61.74	08/24/20	88.35	26.61 W	0.00	264 of 514 - Sale <sup>25</sup>
	1.000	2.47	08/24/20	4.40	1.93 W	0.00	265 of 514 - Sale <sup>25</sup>
	3.000	7.41	08/24/20	13.13	5.72 W	0.00	266 of 514 - Sale <sup>25</sup>
	5.000	12.35	08/24/20	21.59	9.24 W	0.00	267 of 514 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	5.000	12.35	08/24/20	21.27	8.92 W	0.00	268 of 514 - Sale <sup>25</sup>
	5.000	12.35	08/24/20	22.09	9.74 W	0.00	269 of 514 - Sale <sup>25</sup>
	11.000	27.17	08/24/20	48.14	20.97 W	0.00	270 of 514 - Sale <sup>25</sup>
	15.000	37.05	08/24/20	64.73	27.68 W	0.00	271 of 514 - Sale <sup>25</sup>
	25.000	61.75	08/24/20	108.42	46.67 W	0.00	272 of 514 - Sale <sup>25</sup>
	30.000	74.09	08/24/20	132.54	58.45 W	0.00	273 of 514 - Sale <sup>25</sup>
	3.000	7.41	08/24/20	13.26	5.85 W	0.00	274 of 514 - Sale <sup>25</sup>
	3.000	7.41	08/24/20	11.06	3.65 W	0.00	275 of 514 - Sale <sup>25</sup>
	10.000	24.70	08/24/20	36.77	12.07 W	0.00	276 of 514 - Sale <sup>25</sup>
	12.000	29.64	08/24/20	44.13	14.49 W	0.00	277 of 514 - Sale <sup>25</sup>
	100.000	246.98	08/24/20	251.98	5.00 W	0.00	278 of 514 - Sale <sup>25</sup>
	300.000	740.95	08/24/20	755.94	14.99 W	0.00	279 of 514 - Sale <sup>25</sup>
	258.000	637.21	08/24/20	650.10	12.89 W	0.00	280 of 514 - Sale <sup>25</sup>
	14.000	34.58	08/24/20	35.28	0.70 W	0.00	281 of 514 - Sale <sup>25</sup>
	28.000	69.15	08/24/20	70.55	1.40 W	0.00	282 of 514 - Sale <sup>25</sup>
	300.000	740.94	08/24/20	755.94	15.00 W	0.00	283 of 514 - Sale <sup>25</sup>
	72.000	177.83	08/24/20	181.42	3.59 W	0.00	284 of 514 - Sale <sup>25</sup>
	228.000	563.12	08/24/20	574.51	11.39 W	0.00	285 of 514 - Sale <sup>25</sup>
	272.000	671.79	08/24/20	679.89	8.10 W	0.00	286 of 514 - Sale <sup>25</sup>
	900.000	2,222.84	08/24/20	2,249.64	26.80 W	0.00	287 of 514 - Sale <sup>25</sup>
	100.000	246.99	08/24/20	249.96	2.97 W	0.00	288 of 514 - Sale <sup>25</sup>
	100.000	246.99	08/24/20	249.96	2.97 W	0.00	289 of 514 - Sale <sup>25</sup>
	100.000	246.99	08/24/20	249.96	2.97 W	0.00	290 of 514 - Sale <sup>25</sup>
	3,000.000	7,409.47	08/24/20	7,498.80	89.33 W	0.00	291 of 514 - Sale <sup>25</sup>
	100.000	246.99	08/24/20	249.96	2.97 W	0.00	292 of 514 - Sale <sup>25</sup>
	200.000	493.98	08/24/20	499.92	5.94 W	0.00	293 of 514 - Sale <sup>25</sup>
	100.000	246.99	08/24/20	249.96	2.97 W	0.00	294 of 514 - Sale <sup>25</sup>
	100.000	246.99	08/24/20	249.96	2.97 W	0.00	295 of 514 - Sale <sup>25</sup>
	100.000	246.99	08/24/20	249.96	2.97 W	0.00	296 of 514 - Sale <sup>25</sup>
	75.000	185.24	08/24/20	187.47	2.23 W	0.00	297 of 514 - Sale <sup>25</sup>
	400.000	987.92	08/24/20	999.84	11.92 W	0.00	298 of 514 - Sale <sup>25</sup>
	25.000	61.75	08/24/20	62.49	0.74 W	0.00	299 of 514 - Sale <sup>25</sup>
	100.000	246.99	08/24/20	249.96	2.97 W	0.00	300 of 514 - Sale <sup>25</sup>
	400.000	987.92	08/24/20	999.84	11.92 W	0.00	301 of 514 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
100.000		246.99	08/24/20	249.96	2.97 W	0.00	302 of 514 - Sale <sup>25</sup>
200.000		493.98	08/24/20	499.92	5.94 W	0.00	303 of 514 - Sale <sup>25</sup>
300.000		740.94	08/24/20	749.88	8.94 W	0.00	304 of 514 - Sale <sup>25</sup>
500.000		1,234.91	08/24/20	1,249.80	14.89 W	0.00	305 of 514 - Sale <sup>25</sup>
100.000		246.99	08/24/20	249.96	2.97 W	0.00	306 of 514 - Sale <sup>25</sup>
100.000		246.99	08/24/20	249.96	2.97 W	0.00	307 of 514 - Sale <sup>25</sup>
203.000		501.37	08/24/20	507.41	6.04 W	0.00	308 of 514 - Sale <sup>25</sup>
1.000		2.47	08/24/20	4.41	1.94 W	0.00	309 of 514 - Sale <sup>25</sup>
3.000		7.41	08/24/20	13.16	5.75 W	0.00	310 of 514 - Sale <sup>25</sup>
3.000		7.41	08/24/20	13.29	5.88 W	0.00	311 of 514 - Sale <sup>25</sup>
5.000		12.35	08/24/20	21.75	9.40 W	0.00	312 of 514 - Sale <sup>25</sup>
5.000		12.35	08/24/20	21.64	9.29 W	0.00	313 of 514 - Sale <sup>25</sup>
5.000		12.35	08/24/20	21.32	8.97 W	0.00	314 of 514 - Sale <sup>25</sup>
5.000		12.35	08/24/20	22.14	9.79 W	0.00	315 of 514 - Sale <sup>25</sup>
11.000		27.17	08/24/20	48.25	21.08 W	0.00	316 of 514 - Sale <sup>25</sup>
15.000		37.05	08/24/20	64.88	27.83 W	0.00	317 of 514 - Sale <sup>25</sup>
20.000		49.40	08/24/20	86.93	37.53 W	0.00	318 of 514 - Sale <sup>25</sup>
25.000		61.75	08/24/20	108.67	46.92 W	0.00	319 of 514 - Sale <sup>25</sup>
30.000		74.09	08/24/20	132.85	58.76 W	0.00	320 of 514 - Sale <sup>25</sup>
72.000		177.83	08/24/20	318.10	140.27 W	0.00	321 of 514 - Sale <sup>25</sup>
1.000		2.47	08/24/20	3.83	1.36 W	0.00	322 of 514 - Sale <sup>25</sup>
2.000		4.94	08/24/20	7.37	2.43 W	0.00	323 of 514 - Sale <sup>25</sup>
2.000		4.94	08/24/20	7.60	2.66 W	0.00	324 of 514 - Sale <sup>25</sup>
2.000		4.94	08/24/20	7.26	2.32 W	0.00	325 of 514 - Sale <sup>25</sup>
5.000		12.35	08/24/20	18.46	6.11 W	0.00	326 of 514 - Sale <sup>25</sup>
5.000		12.35	08/24/20	18.95	6.60 W	0.00	327 of 514 - Sale <sup>25</sup>
5.000		12.35	08/24/20	22.62	10.27 W	0.00	328 of 514 - Sale <sup>25</sup>
5.000		12.35	08/24/20	22.62	10.27 W	0.00	329 of 514 - Sale <sup>25</sup>
5.000		12.35	08/24/20	20.30	7.95 W	0.00	330 of 514 - Sale <sup>25</sup>
8.000		19.76	08/24/20	33.16	13.40 W	0.00	331 of 514 - Sale <sup>25</sup>
13.000		32.11	08/24/20	47.64	15.53 W	0.00	332 of 514 - Sale <sup>25</sup>
13.000		32.11	08/24/20	47.14	15.03 W	0.00	333 of 514 - Sale <sup>25</sup>
15.000		37.05	08/24/20	55.63	18.58 W	0.00	334 of 514 - Sale <sup>25</sup>
15.000		37.05	08/24/20	61.63	24.58 W	0.00	335 of 514 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	17.000	41.98	08/24/20	69.85	27.87 W	0.00	336 of 514 - Sale <sup>25</sup>
	17.000	41.98	08/24/20	61.68	19.70 W	0.00	337 of 514 - Sale <sup>25</sup>
	2.000	4.94	08/24/20	7.26	2.32 W	0.00	338 of 514 - Sale <sup>25</sup>
	20.000	49.39	08/24/20	83.18	33.79 W	0.00	339 of 514 - Sale <sup>25</sup>
	45.000	111.13	08/24/20	167.79	56.66 W	0.00	340 of 514 - Sale <sup>25</sup>
	3.000	7.41	08/24/20	10.17	2.76 W	0.00	341 of 514 - Sale <sup>25</sup>
	5.000	12.35	08/24/20	18.14	5.79 W	0.00	342 of 514 - Sale <sup>25</sup>
	5.000	12.35	08/24/20	16.93	4.58 W	0.00	343 of 514 - Sale <sup>25</sup>
	5.000	12.35	08/24/20	19.20	6.85 W	0.00	344 of 514 - Sale <sup>25</sup>
	5.000	12.35	08/24/20	19.19	6.84 W	0.00	345 of 514 - Sale <sup>25</sup>
	7.000	17.29	08/24/20	26.45	9.16 W	0.00	346 of 514 - Sale <sup>25</sup>
	4.000	9.88	08/24/20	15.59	5.71 W	0.00	347 of 514 - Sale <sup>25</sup>
	15.000	37.04	08/24/20	57.54	20.50 W	0.00	348 of 514 - Sale <sup>25</sup>
	17.000	41.99	08/24/20	57.59	15.60 W	0.00	349 of 514 - Sale <sup>25</sup>
	16.000	39.52	08/24/20	58.05	18.53 W	0.00	350 of 514 - Sale <sup>25</sup>
	18.000	44.46	08/24/20	68.07	23.61 W	0.00	351 of 514 - Sale <sup>25</sup>
	3.000	7.41	08/24/20	10.77	3.36 W	0.00	352 of 514 - Sale <sup>25</sup>
	4.000	9.88	08/24/20	15.59	5.71 W	0.00	353 of 514 - Sale <sup>25</sup>
	8.000	19.76	08/24/20	28.77	9.01 W	0.00	354 of 514 - Sale <sup>25</sup>
	14.000	34.58	08/24/20	50.34	15.76 W	0.00	355 of 514 - Sale <sup>25</sup>
	17.000	41.99	08/24/20	66.60	24.61 W	0.00	356 of 514 - Sale <sup>25</sup>
	25.000	61.75	08/24/20	92.68	30.93 W	0.00	357 of 514 - Sale <sup>25</sup>
	2.000	4.94	08/24/20	7.11	2.17 W	0.00	358 of 514 - Sale <sup>25</sup>
	3.000	7.41	08/24/20	10.25	2.84 W	0.00	359 of 514 - Sale <sup>25</sup>
	3.000	7.41	08/24/20	12.37	4.96 W	0.00	360 of 514 - Sale <sup>25</sup>
	4.000	9.88	08/24/20	13.66	3.78 W	0.00	361 of 514 - Sale <sup>25</sup>
	5.000	12.35	08/24/20	18.46	6.11 W	0.00	362 of 514 - Sale <sup>25</sup>
	5.000	12.35	08/24/20	14.76	2.41 W	0.00	363 of 514 - Sale <sup>25</sup>
	5.000	12.35	08/24/20	15.04	2.69 W	0.00	364 of 514 - Sale <sup>25</sup>
	7.000	17.29	08/24/20	23.99	6.70 W	0.00	365 of 514 - Sale <sup>25</sup>
	8.000	19.76	08/24/20	28.49	8.73 W	0.00	366 of 514 - Sale <sup>25</sup>
	10.000	24.70	08/24/20	41.46	16.76 W	0.00	367 of 514 - Sale <sup>25</sup>
	10.000	24.70	08/24/20	29.62	4.92 W	0.00	368 of 514 - Sale <sup>25</sup>
	11.000	27.17	08/24/20	40.60	13.43 W	0.00	369 of 514 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	12.000	29.64	08/24/20	49.39	19.75 W	0.00	370 of 514 - Sale <sup>25</sup>
	14.000	34.58	08/24/20	51.11	16.53 W	0.00	371 of 514 - Sale <sup>25</sup>
	15.000	37.05	08/24/20	48.91	11.86 W	0.00	372 of 514 - Sale <sup>25</sup>
	15.000	37.05	08/24/20	53.47	16.42 W	0.00	373 of 514 - Sale <sup>25</sup>
	16.000	39.52	08/24/20	54.02	14.50 W	0.00	374 of 514 - Sale <sup>25</sup>
	16.000	39.52	08/24/20	54.65	15.13 W	0.00	375 of 514 - Sale <sup>25</sup>
	17.000	41.99	08/24/20	49.77	7.78 W	0.00	376 of 514 - Sale <sup>25</sup>
	20.000	49.40	08/24/20	70.04	20.64 W	0.00	377 of 514 - Sale <sup>25</sup>
	20.000	49.40	08/24/20	59.06	9.66 W	0.00	378 of 514 - Sale <sup>25</sup>
	20.000	49.40	08/24/20	60.16	10.76 W	0.00	379 of 514 - Sale <sup>25</sup>
	20.000	49.39	08/24/20	71.13	21.74 W	0.00	380 of 514 - Sale <sup>25</sup>
	22.000	54.34	08/24/20	91.05	36.71 W	0.00	381 of 514 - Sale <sup>25</sup>
	23.000	56.80	08/24/20	67.33	10.53 W	0.00	382 of 514 - Sale <sup>25</sup>
	26.000	64.21	08/24/20	88.05	23.84 W	0.00	383 of 514 - Sale <sup>25</sup>
	35.000	86.44	08/24/20	129.21	42.77 W	0.00	384 of 514 - Sale <sup>25</sup>
	35.000	86.44	08/24/20	119.60	33.16 W	0.00	385 of 514 - Sale <sup>25</sup>
	35.000	86.44	08/24/20	114.17	27.73 W	0.00	386 of 514 - Sale <sup>25</sup>
	1.000	2.47	08/24/20	2.91	0.44 W	0.00	387 of 514 - Sale <sup>25</sup>
	1.000	2.47	08/24/20	3.86	1.39 W	0.00	388 of 514 - Sale <sup>25</sup>
	3.000	7.41	08/24/20	11.06	3.65 W	0.00	389 of 514 - Sale <sup>25</sup>
	3.000	7.41	08/24/20	11.09	3.68 W	0.00	390 of 514 - Sale <sup>25</sup>
	4.000	9.88	08/24/20	15.38	5.50 W	0.00	391 of 514 - Sale <sup>25</sup>
	5.000	12.35	08/24/20	20.27	7.92 W	0.00	392 of 514 - Sale <sup>25</sup>
	6.000	14.82	08/24/20	18.04	3.22 W	0.00	393 of 514 - Sale <sup>25</sup>
	10.000	24.70	08/24/20	36.87	12.17 W	0.00	394 of 514 - Sale <sup>25</sup>
	12.000	29.64	08/24/20	44.25	14.61 W	0.00	395 of 514 - Sale <sup>25</sup>
	14.000	34.58	08/24/20	42.10	7.52 W	0.00	396 of 514 - Sale <sup>25</sup>
	15.000	37.04	08/24/20	60.76	23.72 W	0.00	397 of 514 - Sale <sup>25</sup>
	24.000	59.27	08/24/20	69.64	10.37 W	0.00	398 of 514 - Sale <sup>25</sup>
	25.000	61.74	08/24/20	88.61	26.87 W	0.00	399 of 514 - Sale <sup>25</sup>
	72.000	177.81	08/24/20	182.16	4.35 W	0.00	400 of 514 - Sale <sup>25</sup>
	100.000	246.99	08/24/20	253.00	6.01 W	0.00	401 of 514 - Sale <sup>25</sup>
	14.000	34.58	08/24/20	35.42	0.84 W	0.00	402 of 514 - Sale <sup>25</sup>
	28.000	69.15	08/24/20	70.84	1.69 W	0.00	403 of 514 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	100.000	246.98	08/24/20	253.00	6.02 W	0.00	404 of 514 - Sale <sup>25</sup>
	258.000	637.21	08/24/20	652.73	15.52 W	0.00	405 of 514 - Sale <sup>25</sup>
	128.000	316.14	08/24/20	323.83	7.69 W	0.00	406 of 514 - Sale <sup>25</sup>
	72.000	177.83	08/24/20	182.16	4.33 W	0.00	407 of 514 - Sale <sup>25</sup>
	72.000	177.83	08/24/20	182.15	4.32 W	0.00	408 of 514 - Sale <sup>25</sup>
	47.000	116.08	08/24/20	118.91	2.83 W	0.00	409 of 514 - Sale <sup>25</sup>
	228.000	563.12	08/24/20	576.84	13.72 W	0.00	410 of 514 - Sale <sup>25</sup>
	53.000	130.90	08/24/20	134.09	3.19 W	0.00	411 of 514 - Sale <sup>25</sup>
	128.000	316.13	08/24/20	323.83	7.70 W	0.00	412 of 514 - Sale <sup>25</sup>
	172.000	424.81	08/24/20	431.68	6.87 W	0.00	413 of 514 - Sale <sup>25</sup>
	100.000	247.49	08/24/20	250.98	3.49 W	0.00	414 of 514 - Sale <sup>25</sup>
	600.000	1,481.89	08/24/20	1,505.87	23.98 W	0.00	415 of 514 - Sale <sup>25</sup>
	100.000	246.99	08/24/20	250.98	3.99 W	0.00	416 of 514 - Sale <sup>25</sup>
	100.000	246.99	08/24/20	250.98	3.99 W	0.00	417 of 514 - Sale <sup>25</sup>
	100.000	246.99	08/24/20	250.97	3.98 W	0.00	418 of 514 - Sale <sup>25</sup>
	100.000	246.99	08/24/20	250.97	3.98 W	0.00	419 of 514 - Sale <sup>25</sup>
	100.000	246.99	08/24/20	250.97	3.98 W	0.00	420 of 514 - Sale <sup>25</sup>
	100.000	246.99	08/24/20	250.97	3.98 W	0.00	421 of 514 - Sale <sup>25</sup>
	100.000	246.99	08/24/20	250.98	3.99 W	0.00	422 of 514 - Sale <sup>25</sup>
	100.000	246.99	08/24/20	250.98	3.99 W	0.00	423 of 514 - Sale <sup>25</sup>
	2.000	4.94	08/24/20	5.02	0.08 W	0.00	424 of 514 - Sale <sup>25</sup>
	100.000	246.99	08/24/20	250.97	3.98 W	0.00	425 of 514 - Sale <sup>25</sup>
	150.000	371.97	08/24/20	379.47	7.50 W	0.00	426 of 514 - Sale <sup>25</sup>
	150.000	371.97	08/24/20	379.47	7.50 W	0.00	427 of 514 - Sale <sup>25</sup>
	50.000	123.99	08/24/20	126.49	2.50 W	0.00	428 of 514 - Sale <sup>25</sup>
	10.000	24.80	08/24/20	25.30	0.50 W	0.00	429 of 514 - Sale <sup>25</sup>
	100.000	247.98	08/24/20	252.98	5.00 W	0.00	430 of 514 - Sale <sup>25</sup>
	1.000	2.48	08/24/20	4.44	1.96 W	0.00	431 of 514 - Sale <sup>25</sup>
	3.000	7.44	08/24/20	13.25	5.81 W	0.00	432 of 514 - Sale <sup>25</sup>
	3.000	7.44	08/24/20	13.38	5.94 W	0.00	433 of 514 - Sale <sup>25</sup>
	5.000	12.40	08/24/20	21.90	9.50 W	0.00	434 of 514 - Sale <sup>25</sup>
	5.000	12.40	08/24/20	21.79	9.39 W	0.00	435 of 514 - Sale <sup>25</sup>
	5.000	12.40	08/24/20	21.47	9.07 W	0.00	436 of 514 - Sale <sup>25</sup>
	5.000	12.40	08/24/20	22.29	9.89 W	0.00	437 of 514 - Sale <sup>25</sup>

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(continued)

03/15/2021

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IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	11.000	27.28	08/24/20	48.58	21.30 W	0.00	438 of 514 - Sale <sup>25</sup>
	15.000	37.20	08/24/20	65.33	28.13 W	0.00	439 of 514 - Sale <sup>25</sup>
	20.000	49.60	08/24/20	87.53	37.93 W	0.00	440 of 514 - Sale <sup>25</sup>
	25.000	62.00	08/24/20	109.42	47.42 W	0.00	441 of 514 - Sale <sup>25</sup>
	30.000	74.39	08/24/20	133.76	59.37 W	0.00	442 of 514 - Sale <sup>25</sup>
	72.000	178.55	08/24/20	320.27	141.72 W	0.00	443 of 514 - Sale <sup>25</sup>
	1.000	2.48	08/24/20	3.86	1.38 W	0.00	444 of 514 - Sale <sup>25</sup>
	2.000	4.96	08/24/20	7.43	2.47 W	0.00	445 of 514 - Sale <sup>25</sup>
	2.000	4.96	08/24/20	7.66	2.70 W	0.00	446 of 514 - Sale <sup>25</sup>
	2.000	4.96	08/24/20	7.32	2.36 W	0.00	447 of 514 - Sale <sup>25</sup>
	2.000	4.96	08/24/20	7.32	2.36 W	0.00	448 of 514 - Sale <sup>25</sup>
	3.000	7.44	08/24/20	10.26	2.82 W	0.00	449 of 514 - Sale <sup>25</sup>
	3.000	7.44	08/24/20	10.86	3.42 W	0.00	450 of 514 - Sale <sup>25</sup>
	4.000	9.92	08/24/20	15.71	5.79 W	0.00	451 of 514 - Sale <sup>25</sup>
	4.000	9.92	08/24/20	15.71	5.79 W	0.00	452 of 514 - Sale <sup>25</sup>
	5.000	12.40	08/24/20	18.61	6.21 W	0.00	453 of 514 - Sale <sup>25</sup>
	5.000	12.40	08/24/20	19.10	6.70 W	0.00	454 of 514 - Sale <sup>25</sup>
	5.000	12.40	08/24/20	22.77	10.37 W	0.00	455 of 514 - Sale <sup>25</sup>
	5.000	12.40	08/24/20	22.77	10.37 W	0.00	456 of 514 - Sale <sup>25</sup>
	5.000	12.40	08/24/20	20.45	8.05 W	0.00	457 of 514 - Sale <sup>25</sup>
	5.000	12.40	08/24/20	18.29	5.89 W	0.00	458 of 514 - Sale <sup>25</sup>
	5.000	12.40	08/24/20	17.08	4.68 W	0.00	459 of 514 - Sale <sup>25</sup>
	5.000	12.40	08/24/20	19.35	6.95 W	0.00	460 of 514 - Sale <sup>25</sup>
	5.000	12.40	08/24/20	19.34	6.94 W	0.00	461 of 514 - Sale <sup>25</sup>
	7.000	17.36	08/24/20	26.66	9.30 W	0.00	462 of 514 - Sale <sup>25</sup>
	8.000	19.84	08/24/20	33.40	13.56 W	0.00	463 of 514 - Sale <sup>25</sup>
	8.000	19.84	08/24/20	29.01	9.17 W	0.00	464 of 514 - Sale <sup>25</sup>
	13.000	32.24	08/24/20	48.03	15.79 W	0.00	465 of 514 - Sale <sup>25</sup>
	13.000	32.24	08/24/20	47.53	15.29 W	0.00	466 of 514 - Sale <sup>25</sup>
	14.000	34.72	08/24/20	50.76	16.04 W	0.00	467 of 514 - Sale <sup>25</sup>
	15.000	37.20	08/24/20	56.08	18.88 W	0.00	468 of 514 - Sale <sup>25</sup>
	15.000	37.20	08/24/20	62.08	24.88 W	0.00	469 of 514 - Sale <sup>25</sup>
	15.000	37.20	08/24/20	58.00	20.80 W	0.00	470 of 514 - Sale <sup>25</sup>
	16.000	39.68	08/24/20	58.53	18.85 W	0.00	471 of 514 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	17.000	42.16	08/24/20	70.37	28.21 W	0.00	472 of 514 - Sale <sup>25</sup>
	17.000	42.16	08/24/20	62.20	20.04 W	0.00	473 of 514 - Sale <sup>25</sup>
	17.000	42.16	08/24/20	58.10	15.94 W	0.00	474 of 514 - Sale <sup>25</sup>
	17.000	42.16	08/24/20	67.11	24.95 W	0.00	475 of 514 - Sale <sup>25</sup>
	18.000	44.64	08/24/20	68.61	23.97 W	0.00	476 of 514 - Sale <sup>25</sup>
	20.000	49.60	08/24/20	83.79	34.19 W	0.00	477 of 514 - Sale <sup>25</sup>
	25.000	62.00	08/24/20	93.43	31.43 W	0.00	478 of 514 - Sale <sup>25</sup>
	45.000	111.59	08/24/20	169.16	57.57 W	0.00	479 of 514 - Sale <sup>25</sup>
	2.000	4.96	08/24/20	7.17	2.21 W	0.00	480 of 514 - Sale <sup>25</sup>
	3.000	7.44	08/24/20	10.34	2.90 W	0.00	481 of 514 - Sale <sup>25</sup>
	3.000	7.44	08/24/20	12.46	5.02 W	0.00	482 of 514 - Sale <sup>25</sup>
	4.000	9.92	08/24/20	13.78	3.86 W	0.00	483 of 514 - Sale <sup>25</sup>
	5.000	12.40	08/24/20	18.61	6.21 W	0.00	484 of 514 - Sale <sup>25</sup>
	5.000	12.40	08/24/20	14.91	2.51 W	0.00	485 of 514 - Sale <sup>25</sup>
	5.000	12.40	08/24/20	15.19	2.79 W	0.00	486 of 514 - Sale <sup>25</sup>
	7.000	17.36	08/24/20	24.20	6.84 W	0.00	487 of 514 - Sale <sup>25</sup>
	8.000	19.84	08/24/20	28.73	8.89 W	0.00	488 of 514 - Sale <sup>25</sup>
	10.000	24.80	08/24/20	41.76	16.96 W	0.00	489 of 514 - Sale <sup>25</sup>
	10.000	24.80	08/24/20	29.92	5.12 W	0.00	490 of 514 - Sale <sup>25</sup>
	11.000	27.28	08/24/20	40.93	13.65 W	0.00	491 of 514 - Sale <sup>25</sup>
	12.000	29.76	08/24/20	49.75	19.99 W	0.00	492 of 514 - Sale <sup>25</sup>
	14.000	34.72	08/24/20	51.53	16.81 W	0.00	493 of 514 - Sale <sup>25</sup>
	15.000	37.20	08/24/20	49.36	12.16 W	0.00	494 of 514 - Sale <sup>25</sup>
	15.000	37.20	08/24/20	53.92	16.72 W	0.00	495 of 514 - Sale <sup>25</sup>
	16.000	39.68	08/24/20	54.50	14.82 W	0.00	496 of 514 - Sale <sup>25</sup>
	16.000	39.68	08/24/20	55.13	15.45 W	0.00	497 of 514 - Sale <sup>25</sup>
	17.000	42.16	08/24/20	50.28	8.12 W	0.00	498 of 514 - Sale <sup>25</sup>
	20.000	49.60	08/24/20	70.64	21.04 W	0.00	499 of 514 - Sale <sup>25</sup>
	20.000	49.60	08/24/20	59.66	10.06 W	0.00	500 of 514 - Sale <sup>25</sup>
	20.000	49.60	08/24/20	60.76	11.16 W	0.00	501 of 514 - Sale <sup>25</sup>
	20.000	49.60	08/24/20	71.74	22.14 W	0.00	502 of 514 - Sale <sup>25</sup>
	22.000	54.56	08/24/20	91.71	37.15 W	0.00	503 of 514 - Sale <sup>25</sup>
	23.000	57.04	08/24/20	68.03	10.99 W	0.00	504 of 514 - Sale <sup>25</sup>
	26.000	64.48	08/24/20	88.84	24.36 W	0.00	505 of 514 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	35.000	86.79	08/24/20	130.27	43.48 W	0.00	506 of 514 - Sale <sup>25</sup>
	35.000	86.79	08/24/20	120.66	33.87 W	0.00	507 of 514 - Sale <sup>25</sup>
	35.000	86.79	08/24/20	115.23	28.44 W	0.00	508 of 514 - Sale <sup>25</sup>
	100.000	247.98	08/24/20	252.97	4.99 W	0.00	509 of 514 - Sale <sup>25</sup>
	100.000	247.98	08/24/20	252.97	4.99 W	0.00	510 of 514 - Sale <sup>25</sup>
	100.000	247.98	08/24/20	252.97	4.99 W	0.00	511 of 514 - Sale <sup>25</sup>
	100.000	247.98	08/24/20	252.97	4.99 W	0.00	512 of 514 - Sale <sup>25</sup>
	200.000	495.96	08/24/20	505.94	9.98 W	0.00	513 of 514 - Sale <sup>25</sup>
	2,238.000	5,549.83	08/24/20	5,661.63	111.80 W	0.00	514 of 514 - Sale <sup>25</sup>
08/24/20	23,027.000	56,800.95	Various	64,506.68	7,705.73 W	0.00	Total of 514 transactions
	7 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	200.000	498.02	08/25/20	517.94	...	-19.92	1 of 7 - Sale <sup>25</sup>
	100.000	249.01	08/25/20	258.99	...	-9.98	2 of 7 - Sale <sup>25</sup>
	100.000	249.01	08/25/20	258.99	...	-9.98	3 of 7 - Sale <sup>25</sup>
	100.000	249.01	08/25/20	258.99	...	-9.98	4 of 7 - Sale <sup>25</sup>
	50.000	124.51	08/25/20	129.50	...	-4.99	5 of 7 - Sale <sup>25</sup>
	50.000	124.51	08/25/20	129.50	...	-4.99	6 of 7 - Sale <sup>25</sup>
	50.000	124.51	08/25/20	129.48	...	-4.97	7 of 7 - Sale <sup>25</sup>
08/25/20	650.000	1,618.58	Various	1,683.39	...	-64.81	Total of 7 transactions
	<b>Security total:</b>	<b>207,754.93</b>		<b>227,817.50</b>	<b>18,495.25 W</b>	<b>-1,567.32</b>	
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
06/22/20	250.000	762.48	06/19/20	549.95	...	212.53	Sale <sup>25</sup>
	4 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	67.000	204.34	06/22/20	218.42	14.08 W	0.00	1 of 4 - Sale <sup>25</sup>
	33.000	100.65	06/22/20	107.58	6.93 W	0.00	2 of 4 - Sale <sup>25</sup>
	50.000	152.49	06/22/20	167.50	15.01 W	0.00	3 of 4 - Sale <sup>25</sup>
	100.000	304.99	06/22/20	335.00	30.01 W	0.00	4 of 4 - Sale <sup>25</sup>
06/22/20	250.000	762.47	Various	828.50	66.03 W	0.00	Total of 4 transactions
	7 transactions for 06/23/20. Total proceeds and cost reported to the IRS.						
	50.000	149.99	06/22/20	183.50	33.51 W	0.00	1 of 7 - Sale <sup>25</sup>
	8.000	24.00	06/22/20	29.80	5.80 W	0.00	2 of 7 - Sale <sup>25</sup>
	17.000	51.00	06/22/20	63.33	12.33 W	0.00	3 of 7 - Sale <sup>25</sup>
	25.000	74.99	06/22/20	99.50	24.51 W	0.00	4 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

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IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	25.000	74.99	06/22/20	101.76	26.77 W	0.00	5 of 7 - Sale <sup>25</sup>
	25.000	75.00	06/22/20	104.93	29.93 W	0.00	6 of 7 - Sale <sup>25</sup>
	25.000	74.99	06/22/20	104.93	29.94 W	0.00	7 of 7 - Sale <sup>25</sup>
06/23/20	175.000	524.96	Various	687.75	162.79 W	0.00	Total of 7 transactions
	9 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	8.000	12.64	06/26/20	18.92	6.28 W	0.00	1 of 9 - Sale <sup>25</sup>
	17.000	26.86	06/26/20	40.21	13.35 W	0.00	2 of 9 - Sale <sup>25</sup>
	25.000	39.49	06/26/20	65.51	26.02 W	0.00	3 of 9 - Sale <sup>25</sup>
	50.000	79.00	06/26/20	115.51	36.51 W	0.00	4 of 9 - Sale <sup>25</sup>
	25.000	39.50	06/26/20	67.77	28.27 W	0.00	5 of 9 - Sale <sup>25</sup>
	25.000	39.50	06/26/20	70.93	31.43 W	0.00	6 of 9 - Sale <sup>25</sup>
	25.000	39.50	06/26/20	70.94	31.44 W	0.00	7 of 9 - Sale <sup>25</sup>
	75.000	118.49	06/26/20	145.50	27.01 W	0.00	8 of 9 - Sale <sup>25</sup>
	250.000	394.98	06/26/20	409.96	14.98 W	0.00	9 of 9 - Sale <sup>25</sup>
06/26/20	500.000	789.96	Various	1,005.25	215.29 W	0.00	Total of 9 transactions
	4 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	8.000	17.24	06/29/20	23.64	6.40 W	0.00	1 of 4 - Sale <sup>25</sup>
	17.000	36.63	06/29/20	50.24	13.61 W	0.00	2 of 4 - Sale <sup>25</sup>
	25.000	53.87	06/29/20	80.26	26.39 W	0.00	3 of 4 - Sale <sup>25</sup>
	50.000	107.75	06/29/20	145.01	37.26 W	0.00	4 of 4 - Sale <sup>25</sup>
06/29/20	100.000	215.49	Various	299.15	83.66 W	0.00	Total of 4 transactions
	5 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	25.000	55.84	06/30/20	85.52	29.68 W	0.00	1 of 5 - Sale <sup>25</sup>
	25.000	55.84	06/30/20	88.68	32.84 W	0.00	2 of 5 - Sale <sup>25</sup>
	25.000	55.84	06/30/20	88.69	32.85 W	0.00	3 of 5 - Sale <sup>25</sup>
	75.000	167.53	06/30/20	198.76	31.23 W	0.00	4 of 5 - Sale <sup>25</sup>
	100.000	223.37	06/30/20	234.99	11.62 W	0.00	5 of 5 - Sale <sup>25</sup>
06/30/20	250.000	558.42	Various	696.64	138.22 W	0.00	Total of 5 transactions
	7 transactions for 07/01/20. Total proceeds and cost reported to the IRS.						
	80.000	137.59	07/01/20	143.99	6.40 W	0.00	1 of 7 - Sale <sup>25</sup>
	8.000	13.76	07/01/20	20.32	6.56 W	0.00	2 of 7 - Sale <sup>25</sup>
	17.000	29.24	07/01/20	43.19	13.95 W	0.00	3 of 7 - Sale <sup>25</sup>
	25.000	42.99	07/01/20	69.89	26.90 W	0.00	4 of 7 - Sale <sup>25</sup>

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(continued)

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IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	50.000	86.00	07/01/20	124.26	38.26 W	0.00	5 of 7 - Sale <sup>25</sup>
	11.000	18.92	07/01/20	19.80	0.88 W	0.00	6 of 7 - Sale <sup>25</sup>
	59.000	101.47	07/01/20	106.20	4.73 W	0.00	7 of 7 - Sale <sup>25</sup>
07/01/20	250.000	429.97	Various	527.65	97.68 W	0.00	Total of 7 transactions
	12 transactions for 07/02/20. Total proceeds and cost reported to the IRS.						
	50.000	80.00	07/01/20	125.75	...	-45.75	1 of 12 - Sale <sup>25</sup>
	75.000	119.99	07/01/20	162.46	...	-42.47	2 of 12 - Sale <sup>25</sup>
	25.000	40.00	07/01/20	76.60	...	-36.60	3 of 12 - Sale <sup>25</sup>
	25.000	40.00	07/01/20	76.58	...	-36.58	4 of 12 - Sale <sup>25</sup>
	25.000	40.00	07/01/20	73.43	...	-33.43	5 of 12 - Sale <sup>25</sup>
	25.000	40.00	07/01/20	70.64	...	-30.64	6 of 12 - Sale <sup>25</sup>
	100.000	159.99	07/01/20	186.60	...	-26.61	7 of 12 - Sale <sup>25</sup>
	80.000	127.99	07/01/20	146.38	...	-18.39	8 of 12 - Sale <sup>25</sup>
	17.000	27.20	07/01/20	43.70	...	-16.50	9 of 12 - Sale <sup>25</sup>
	59.000	94.40	07/01/20	107.97	...	-13.57	10 of 12 - Sale <sup>25</sup>
	8.000	12.80	07/01/20	20.56	...	-7.76	11 of 12 - Sale <sup>25</sup>
	11.000	17.60	07/01/20	20.13	...	-2.53	12 of 12 - Sale <sup>25</sup>
07/02/20	500.000	799.97	Various	1,110.80	...	-310.83	Total of 12 transactions
11/24/20	100.000	359.49	11/24/20	382.00	22.51 W	0.00	Sale <sup>25</sup>
	3 transactions for 12/02/20. Total proceeds and cost reported to the IRS.						
	100.000	228.10	12/02/20	262.01	33.91 W	0.00	1 of 3 - Sale <sup>25</sup>
	50.000	114.05	12/02/20	119.75	5.70 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	11.40	12/02/20	11.94	0.54 W	0.00	3 of 3 - Sale <sup>25</sup>
12/02/20	155.000	353.55	Various	393.70	40.15 W	0.00	Total of 3 transactions
	3 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	1.000	2.20	12/03/20	2.31	0.11 W	0.00	1 of 3 - Sale <sup>25</sup>
	100.000	219.99	12/03/20	253.91	33.92 W	0.00	2 of 3 - Sale <sup>25</sup>
	23.000	50.60	12/03/20	53.23	2.63 W	0.00	3 of 3 - Sale <sup>25</sup>
12/03/20	124.000	272.79	Various	309.45	36.66 W	0.00	Total of 3 transactions
12/04/20	15.000	30.02	12/04/20	32.09	2.07 W	0.00	Sale <sup>25</sup>
	13 transactions for 12/10/20. Total proceeds and cost reported to the IRS.						
	1.000	1.98	12/10/20	2.11	0.13 W	0.00	1 of 13 - Sale <sup>25</sup>
	5.000	9.90	12/10/20	10.54	0.64 W	0.00	2 of 13 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	11.000	21.79	12/10/20	23.25	1.46 W	0.00	3 of 13 - Sale <sup>25</sup>
	15.000	29.71	12/10/20	32.07	2.36 W	0.00	4 of 13 - Sale <sup>25</sup>
	23.000	45.56	12/10/20	48.63	3.07 W	0.00	5 of 13 - Sale <sup>25</sup>
	100.000	198.09	12/10/20	233.92	35.83 W	0.00	6 of 13 - Sale <sup>25</sup>
	45.000	89.14	12/10/20	90.00	0.86 W	0.00	7 of 13 - Sale <sup>25</sup>
	200.000	396.19	12/10/20	400.00	3.81 W	0.00	8 of 13 - Sale <sup>25</sup>
	200.000	396.19	12/10/20	400.00	3.81 W	0.00	9 of 13 - Sale <sup>25</sup>
	200.000	396.19	12/10/20	400.00	3.81 W	0.00	10 of 13 - Sale <sup>25</sup>
	200.000	396.19	12/10/20	400.00	3.81 W	0.00	11 of 13 - Sale <sup>25</sup>
	90.000	178.28	12/10/20	179.10	0.82 W	0.00	12 of 13 - Sale <sup>25</sup>
	160.000	316.95	12/10/20	318.40	1.45 W	0.00	13 of 13 - Sale <sup>25</sup>
12/10/20	1,250.000	2,476.16	Various	2,538.02	61.86 W	0.00	Total of 13 transactions
	31 transactions for 12/14/20. Total proceeds and cost reported to the IRS.						
	1.000	1.90	12/14/20	2.00	0.10 W	0.00	1 of 31 - Sale <sup>25</sup>
	5.000	9.50	12/14/20	9.98	0.48 W	0.00	2 of 31 - Sale <sup>25</sup>
	8.000	15.20	12/14/20	16.02	0.82 W	0.00	3 of 31 - Sale <sup>25</sup>
	11.000	20.90	12/14/20	22.02	1.12 W	0.00	4 of 31 - Sale <sup>25</sup>
	100.000	189.98	12/14/20	222.72	32.74 W	0.00	5 of 31 - Sale <sup>25</sup>
	15.000	28.50	12/14/20	30.50	2.00 W	0.00	6 of 31 - Sale <sup>25</sup>
	15.000	28.50	12/14/20	30.86	2.36 W	0.00	7 of 31 - Sale <sup>25</sup>
	45.000	85.49	12/14/20	86.36	0.87 W	0.00	8 of 31 - Sale <sup>25</sup>
	140.000	265.97	12/14/20	268.67	2.70 W	0.00	9 of 31 - Sale <sup>25</sup>
	60.000	113.39	12/14/20	115.14	1.75 W	0.00	10 of 31 - Sale <sup>25</sup>
	40.000	75.60	12/14/20	76.76	1.16 W	0.00	11 of 31 - Sale <sup>25</sup>
	99.000	187.10	12/14/20	189.99	2.89 W	0.00	12 of 31 - Sale <sup>25</sup>
	15.000	28.35	12/14/20	28.79	0.44 W	0.00	13 of 31 - Sale <sup>25</sup>
	25.000	47.25	12/14/20	47.98	0.73 W	0.00	14 of 31 - Sale <sup>25</sup>
	46.000	86.93	12/14/20	88.27	1.34 W	0.00	15 of 31 - Sale <sup>25</sup>
	9.000	17.01	12/14/20	17.45	0.44 W	0.00	16 of 31 - Sale <sup>25</sup>
	1.000	1.89	12/14/20	2.02	0.13 W	0.00	17 of 31 - Sale <sup>25</sup>
	5.000	9.45	12/14/20	10.08	0.63 W	0.00	18 of 31 - Sale <sup>25</sup>
	8.000	15.12	12/14/20	16.18	1.06 W	0.00	19 of 31 - Sale <sup>25</sup>
	11.000	20.79	12/14/20	22.24	1.45 W	0.00	20 of 31 - Sale <sup>25</sup>
	15.000	28.35	12/14/20	30.80	2.45 W	0.00	21 of 31 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	15.000	28.35	12/14/20	31.16	2.81 W	0.00	22 of 31 - Sale <sup>25</sup>
	33.000	62.36	12/14/20	64.32	1.96 W	0.00	23 of 31 - Sale <sup>25</sup>
	45.000	85.04	12/14/20	87.27	2.23 W	0.00	24 of 31 - Sale <sup>25</sup>
	90.000	170.08	12/14/20	173.62	3.54 W	0.00	25 of 31 - Sale <sup>25</sup>
	100.000	188.98	12/14/20	224.74	35.76 W	0.00	26 of 31 - Sale <sup>25</sup>
	140.000	264.58	12/14/20	271.50	6.92 W	0.00	27 of 31 - Sale <sup>25</sup>
	160.000	302.37	12/14/20	308.65	6.28 W	0.00	28 of 31 - Sale <sup>25</sup>
	166.000	313.71	12/14/20	321.88	8.17 W	0.00	29 of 31 - Sale <sup>25</sup>
	200.000	377.97	12/14/20	387.81	9.84 W	0.00	30 of 31 - Sale <sup>25</sup>
	2.000	3.78	12/14/20	3.90	0.12 W	0.00	31 of 31 - Sale <sup>25</sup>
12/14/20	1,625.000	3,074.39	Various	3,209.68	135.29 W	0.00	Total of 31 transactions
85 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	15.000	29.33	12/30/20	30.29	0.96 W	0.00	1 of 85 - Sale <sup>25</sup>
	25.000	48.87	12/30/20	50.48	1.61 W	0.00	2 of 85 - Sale <sup>25</sup>
	40.000	78.20	12/30/20	80.76	2.56 W	0.00	3 of 85 - Sale <sup>25</sup>
	21.000	41.05	12/30/20	42.40	1.35 W	0.00	4 of 85 - Sale <sup>25</sup>
	99.000	193.53	12/30/20	199.90	6.37 W	0.00	5 of 85 - Sale <sup>25</sup>
	9.000	17.60	12/30/20	18.35	0.75 W	0.00	6 of 85 - Sale <sup>25</sup>
	25.000	48.87	12/30/20	50.48	1.61 W	0.00	7 of 85 - Sale <sup>25</sup>
	25.000	48.87	12/30/20	50.48	1.61 W	0.00	8 of 85 - Sale <sup>25</sup>
	41.000	80.15	12/30/20	83.61	3.46 W	0.00	9 of 85 - Sale <sup>25</sup>
	40.000	78.00	12/30/20	81.57	3.57 W	0.00	10 of 85 - Sale <sup>25</sup>
	50.000	97.50	12/30/20	101.96	4.46 W	0.00	11 of 85 - Sale <sup>25</sup>
	4.000	7.80	12/30/20	8.46	0.66 W	0.00	12 of 85 - Sale <sup>25</sup>
	11.000	21.45	12/30/20	23.34	1.89 W	0.00	13 of 85 - Sale <sup>25</sup>
	90.000	175.48	12/30/20	182.64	7.16 W	0.00	14 of 85 - Sale <sup>25</sup>
	160.000	311.97	12/30/20	324.68	12.71 W	0.00	15 of 85 - Sale <sup>25</sup>
	35.000	68.24	12/30/20	71.37	3.13 W	0.00	16 of 85 - Sale <sup>25</sup>
	200.000	389.97	12/30/20	407.84	17.87 W	0.00	17 of 85 - Sale <sup>25</sup>
	1.000	1.95	12/30/20	2.12	0.17 W	0.00	18 of 85 - Sale <sup>25</sup>
	1.000	1.95	12/30/20	2.12	0.17 W	0.00	19 of 85 - Sale <sup>25</sup>
	8.000	15.60	12/30/20	16.98	1.38 W	0.00	20 of 85 - Sale <sup>25</sup>
	15.000	29.25	12/30/20	32.30	3.05 W	0.00	21 of 85 - Sale <sup>25</sup>
	15.000	29.25	12/30/20	32.66	3.41 W	0.00	22 of 85 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
45.000		87.74	12/30/20	91.78	4.04 W	0.00	23 of 85 - Sale <sup>25</sup>
100.000		194.99	12/30/20	234.76	39.77 W	0.00	24 of 85 - Sale <sup>25</sup>
15.000		29.25	12/30/20	30.59	1.34 W	0.00	25 of 85 - Sale <sup>25</sup>
1.000		1.95	12/30/20	2.04	0.09 W	0.00	26 of 85 - Sale <sup>25</sup>
100.000		194.99	12/30/20	203.94	8.95 W	0.00	27 of 85 - Sale <sup>25</sup>
26.000		50.70	12/30/20	53.28	2.58 W	0.00	28 of 85 - Sale <sup>25</sup>
24.000		46.80	12/30/20	48.95	2.15 W	0.00	29 of 85 - Sale <sup>25</sup>
5.000		9.75	12/30/20	10.25	0.50 W	0.00	30 of 85 - Sale <sup>25</sup>
2.000		3.90	12/30/20	4.10	0.20 W	0.00	31 of 85 - Sale <sup>25</sup>
2.000		3.90	12/30/20	4.10	0.20 W	0.00	32 of 85 - Sale <sup>25</sup>
250.000		487.46	12/30/20	497.50	10.04 W	0.00	33 of 85 - Sale <sup>25</sup>
9.000		17.55	12/30/20	18.57	1.02 W	0.00	34 of 85 - Sale <sup>25</sup>
15.000		29.25	12/30/20	30.66	1.41 W	0.00	35 of 85 - Sale <sup>25</sup>
21.000		40.95	12/30/20	42.93	1.98 W	0.00	36 of 85 - Sale <sup>25</sup>
25.000		48.75	12/30/20	51.11	2.36 W	0.00	37 of 85 - Sale <sup>25</sup>
25.000		48.75	12/30/20	51.11	2.36 W	0.00	38 of 85 - Sale <sup>25</sup>
25.000		48.75	12/30/20	51.11	2.36 W	0.00	39 of 85 - Sale <sup>25</sup>
35.000		68.24	12/30/20	72.43	4.19 W	0.00	40 of 85 - Sale <sup>25</sup>
40.000		77.99	12/30/20	81.76	3.77 W	0.00	41 of 85 - Sale <sup>25</sup>
40.000		77.99	12/30/20	82.77	4.78 W	0.00	42 of 85 - Sale <sup>25</sup>
41.000		79.94	12/30/20	84.64	4.70 W	0.00	43 of 85 - Sale <sup>25</sup>
50.000		97.49	12/30/20	103.46	5.97 W	0.00	44 of 85 - Sale <sup>25</sup>
75.000		146.24	12/30/20	155.20	8.96 W	0.00	45 of 85 - Sale <sup>25</sup>
99.000		193.03	12/30/20	202.39	9.36 W	0.00	46 of 85 - Sale <sup>25</sup>
1.000		1.95	12/30/20	2.15	0.20 W	0.00	47 of 85 - Sale <sup>25</sup>
1.000		1.95	12/30/20	2.15	0.20 W	0.00	48 of 85 - Sale <sup>25</sup>
4.000		7.80	12/30/20	8.58	0.78 W	0.00	49 of 85 - Sale <sup>25</sup>
8.000		15.60	12/30/20	17.22	1.62 W	0.00	50 of 85 - Sale <sup>25</sup>
11.000		21.45	12/30/20	23.67	2.22 W	0.00	51 of 85 - Sale <sup>25</sup>
90.000		175.48	12/30/20	185.36	9.88 W	0.00	52 of 85 - Sale <sup>25</sup>
100.000		194.98	12/30/20	237.77	42.79 W	0.00	53 of 85 - Sale <sup>25</sup>
125.000		243.73	12/30/20	258.67	14.94 W	0.00	54 of 85 - Sale <sup>25</sup>
160.000		311.97	12/30/20	329.51	17.54 W	0.00	55 of 85 - Sale <sup>25</sup>
1.000		1.95	12/30/20	2.07	0.12 W	0.00	56 of 85 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	5.000	9.75	12/30/20	10.40	0.65 W	0.00	57 of 85 - Sale <sup>25</sup>
	15.000	29.25	12/30/20	32.75	3.50 W	0.00	58 of 85 - Sale <sup>25</sup>
	15.000	29.25	12/30/20	33.11	3.86 W	0.00	59 of 85 - Sale <sup>25</sup>
	15.000	29.25	12/30/20	31.04	1.79 W	0.00	60 of 85 - Sale <sup>25</sup>
	24.000	46.80	12/30/20	49.67	2.87 W	0.00	61 of 85 - Sale <sup>25</sup>
	26.000	50.70	12/30/20	54.06	3.36 W	0.00	62 of 85 - Sale <sup>25</sup>
	45.000	87.74	12/30/20	93.14	5.40 W	0.00	63 of 85 - Sale <sup>25</sup>
	100.000	194.98	12/30/20	206.95	11.97 W	0.00	64 of 85 - Sale <sup>25</sup>
	254.000	495.25	12/30/20	502.92	7.67 W	0.00	65 of 85 - Sale <sup>25</sup>
	246.000	479.66	12/30/20	487.08	7.42 W	0.00	66 of 85 - Sale <sup>25</sup>
	2.000	3.90	12/30/20	4.16	0.26 W	0.00	67 of 85 - Sale <sup>25</sup>
	2.000	3.90	12/30/20	4.16	0.26 W	0.00	68 of 85 - Sale <sup>25</sup>
	16.000	31.20	12/30/20	32.32	1.12 W	0.00	69 of 85 - Sale <sup>25</sup>
	234.000	456.25	12/30/20	472.72	16.47 W	0.00	70 of 85 - Sale <sup>25</sup>
	25.000	48.75	12/30/20	51.86	3.11 W	0.00	71 of 85 - Sale <sup>25</sup>
	40.000	77.99	12/30/20	82.97	4.98 W	0.00	72 of 85 - Sale <sup>25</sup>
	1.000	1.95	12/30/20	2.07	0.12 W	0.00	73 of 85 - Sale <sup>25</sup>
	15.000	29.25	12/30/20	31.11	1.86 W	0.00	74 of 85 - Sale <sup>25</sup>
	21.000	40.94	12/30/20	43.56	2.62 W	0.00	75 of 85 - Sale <sup>25</sup>
	98.000	191.09	12/30/20	203.31	12.22 W	0.00	76 of 85 - Sale <sup>25</sup>
	9.000	17.55	12/30/20	18.84	1.29 W	0.00	77 of 85 - Sale <sup>25</sup>
	25.000	48.75	12/30/20	51.86	3.11 W	0.00	78 of 85 - Sale <sup>25</sup>
	25.000	48.75	12/30/20	51.86	3.11 W	0.00	79 of 85 - Sale <sup>25</sup>
	10.000	19.50	12/30/20	21.00	1.50 W	0.00	80 of 85 - Sale <sup>25</sup>
	40.000	78.00	12/30/20	83.98	5.98 W	0.00	81 of 85 - Sale <sup>25</sup>
	41.000	79.94	12/30/20	85.88	5.94 W	0.00	82 of 85 - Sale <sup>25</sup>
	50.000	97.49	12/30/20	104.97	7.48 W	0.00	83 of 85 - Sale <sup>25</sup>
	25.000	48.75	12/30/20	52.49	3.74 W	0.00	84 of 85 - Sale <sup>25</sup>
	75.000	146.24	12/30/20	157.46	11.22 W	0.00	85 of 85 - Sale <sup>25</sup>
12/31/20	4,000.000	7,800.91	Various	8,229.07	428.16 W	0.00	Total of 85 transactions
	<b>Security total:</b>	<b>19,211.03</b>		<b>20,799.70</b>	<b>1,490.37 W</b>	<b>-98.30</b>	
INDIVIOR PLC AMERICAN DEPOSIT ARY RECEIPTS - SPONSORED / CUSIP: 45579E105 / Symbol:							
05/26/20	35.000	713.98	05/26/20	802.90	...	-88.92	Sale <sup>25</sup>

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(continued)

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
03/03/20	100.000	504.09	02/28/20	460.00	...	44.09	Sale <sup>25</sup>
	2 transactions for 03/04/20. Total proceeds and cost reported to the IRS.						
	4.000	30.00	03/03/20	29.55	...	0.45	1 of 2 - Sale <sup>25</sup>
	46.000	345.00	03/03/20	339.86	...	5.14	2 of 2 - Sale <sup>25</sup>
03/04/20	50.000	375.00	Various	369.41	...	5.59	Total of 2 transactions
03/09/20	50.000	799.98	03/06/20	732.41	...	67.57	Sale <sup>25</sup>
	4 transactions for 03/12/20. Total proceeds and cost reported to the IRS.						
	5.000	47.50	03/11/20	42.09	...	5.41	1 of 4 - Sale <sup>25</sup>
	5.000	47.50	03/11/20	34.25	...	13.25	2 of 4 - Sale <sup>25</sup>
	45.000	427.50	03/11/20	378.81	...	48.69	3 of 4 - Sale <sup>25</sup>
	95.000	902.46	03/11/20	650.75	...	251.71	4 of 4 - Sale <sup>25</sup>
03/12/20	150.000	1,424.96	Various	1,105.90	...	319.06	Total of 4 transactions
	2 transactions for 03/18/20. Total proceeds and cost reported to the IRS.						
	72.000	485.99	03/17/20	556.56	65.67 W	-4.90	1 of 2 - Sale <sup>25</sup>
	3.000	20.25	03/17/20	23.19	2.94 W	0.00	2 of 2 - Sale <sup>25</sup>
03/18/20	75.000	506.24	Various	579.75	68.61 W	-4.90	Total of 2 transactions
	4 transactions for 03/30/20. Total proceeds and cost reported to the IRS.						
	3.000	26.70	03/27/20	28.14	1.44 W	0.00	1 of 4 - Sale <sup>25</sup>
	22.000	195.80	03/27/20	206.36	10.56 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	8.90	03/27/20	9.38	0.48 W	0.00	3 of 4 - Sale <sup>25</sup>
	24.000	213.60	03/27/20	225.13	11.53 W	0.00	4 of 4 - Sale <sup>25</sup>
03/30/20	50.000	445.00	Various	469.01	24.01 W	0.00	Total of 4 transactions
04/17/20	20.000	158.40	04/16/20	177.00	18.60 W	0.00	Sale <sup>25</sup>
	5 transactions for 04/22/20. Total proceeds and cost reported to the IRS.						
	1.000	10.44	04/20/20	10.03	...	0.41	1 of 5 - Sale <sup>25</sup>
	3.000	31.32	04/20/20	30.09	...	1.23	2 of 5 - Sale <sup>25</sup>
	3.000	31.32	04/20/20	30.09	...	1.23	3 of 5 - Sale <sup>25</sup>
	11.000	114.84	04/20/20	110.34	...	4.50	4 of 5 - Sale <sup>25</sup>
	22.000	229.68	04/20/20	220.66	...	9.02	5 of 5 - Sale <sup>25</sup>
04/22/20	40.000	417.60	Various	401.21	...	16.39	Total of 5 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
6 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	20.000	289.39	04/24/20	280.80	...	8.59	1 of 6 - Sale <sup>25</sup>
	10.000	144.70	04/24/20	135.90	...	8.80	2 of 6 - Sale <sup>25</sup>
	35.000	506.44	04/24/20	475.26	...	31.18	3 of 6 - Sale <sup>25</sup>
	50.000	723.48	04/24/20	673.50	...	49.98	4 of 6 - Sale <sup>25</sup>
	50.000	723.48	04/24/20	665.75	...	57.73	5 of 6 - Sale <sup>25</sup>
	70.000	1,012.87	04/24/20	917.70	...	95.17	6 of 6 - Sale <sup>25</sup>
04/27/20	235.000	3,400.36	Various	3,148.91	...	251.45	Total of 6 transactions
2 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	10.000	144.70	04/24/20	146.20	1.50 W	0.00	1 of 2 - Sale <sup>25</sup>
	55.000	795.83	04/27/20	883.83	88.00 W	0.00	2 of 2 - Sale <sup>25</sup>
04/27/20	65.000	940.53	Various	1,030.03	89.50 W	0.00	Total of 2 transactions
2 transactions for 05/04/20. Total proceeds and cost reported to the IRS.							
	10.000	99.60	05/01/20	103.65	4.05 W	0.00	1 of 2 - Sale <sup>25</sup>
	20.000	199.20	05/01/20	236.30	37.10 W	0.00	2 of 2 - Sale <sup>25</sup>
05/04/20	30.000	298.80	Various	339.95	41.15 W	0.00	Total of 2 transactions
3 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	25.000	321.24	05/08/20	306.75	...	14.49	1 of 3 - Sale <sup>25</sup>
	5.000	64.25	05/11/20	59.69	...	4.56	2 of 3 - Sale <sup>25</sup>
	10.000	128.50	05/11/20	118.45	...	10.05	3 of 3 - Sale <sup>25</sup>
05/13/20	40.000	513.99	Various	484.89	...	29.10	Total of 3 transactions
4 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	5.000	64.25	05/11/20	66.48	2.23 W	0.00	1 of 4 - Sale <sup>25</sup>
	10.000	128.50	05/11/20	130.40	1.90 W	0.00	2 of 4 - Sale <sup>25</sup>
	15.000	192.74	05/11/20	206.89	14.15 W	0.00	3 of 4 - Sale <sup>25</sup>
	30.000	385.48	05/12/20	389.25	3.77 W	0.00	4 of 4 - Sale <sup>25</sup>
05/13/20	60.000	770.97	Various	793.02	22.05 W	0.00	Total of 4 transactions
4 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	5.000	66.35	05/13/20	71.13	4.78 W	0.00	1 of 4 - Sale <sup>25</sup>
	15.000	199.05	05/13/20	220.85	21.80 W	0.00	2 of 4 - Sale <sup>25</sup>
	10.000	132.70	05/13/20	139.70	7.00 W	0.00	3 of 4 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
05/14/20	20.000	265.39	05/13/20	278.11	12.72 W	0.00	4 of 4 - Sale <sup>25</sup>
	50.000	663.49	Various	709.79	46.30 W	0.00	Total of 4 transactions
	2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.						
	2.000	29.26	05/21/20	31.29	2.03 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	146.30	05/21/20	148.16	1.86 W	0.00	2 of 2 - Sale <sup>25</sup>
05/26/20	12.000	175.56	Various	179.45	3.89 W	0.00	Total of 2 transactions
	10 transactions for 06/01/20. Total proceeds and cost reported to the IRS.						
	2.000	29.39	05/29/20	31.43	2.04 W	0.00	1 of 10 - Sale <sup>25</sup>
	3.000	44.09	05/29/20	46.97	2.88 W	0.00	2 of 10 - Sale <sup>25</sup>
	15.000	220.45	05/29/20	242.30	21.85 W	0.00	3 of 10 - Sale <sup>25</sup>
	5.000	73.48	05/29/20	77.00	3.52 W	0.00	4 of 10 - Sale <sup>25</sup>
	5.000	72.90	05/29/20	77.00	4.10 W	0.00	5 of 10 - Sale <sup>25</sup>
	20.000	291.59	05/29/20	306.72	15.13 W	0.00	6 of 10 - Sale <sup>25</sup>
	2.000	29.16	05/29/20	31.50	2.34 W	0.00	7 of 10 - Sale <sup>25</sup>
	3.000	43.74	05/29/20	47.07	3.33 W	0.00	8 of 10 - Sale <sup>25</sup>
	10.000	145.80	05/29/20	161.85	16.05 W	0.00	9 of 10 - Sale <sup>25</sup>
	10.000	145.79	05/29/20	149.14	3.35 W	0.00	10 of 10 - Sale <sup>25</sup>
06/01/20	75.000	1,096.39	Various	1,170.98	74.59 W	0.00	Total of 10 transactions
06/10/20	40.000	532.45	06/09/20	525.80	...	6.65	Sale <sup>25</sup>
	8 transactions for 06/10/20. Total proceeds and cost reported to the IRS.						
	2.000	26.62	06/09/20	28.63	2.01 W	0.00	1 of 8 - Sale <sup>25</sup>
	3.000	39.93	06/09/20	42.77	2.84 W	0.00	2 of 8 - Sale <sup>25</sup>
	10.000	133.12	06/09/20	147.50	14.38 W	0.00	3 of 8 - Sale <sup>25</sup>
	5.000	66.56	06/09/20	73.00	6.44 W	0.00	4 of 8 - Sale <sup>25</sup>
	10.000	133.12	06/09/20	134.80	1.68 W	0.00	5 of 8 - Sale <sup>25</sup>
	5.000	66.56	06/09/20	69.25	2.69 W	0.00	6 of 8 - Sale <sup>25</sup>
	5.000	66.56	06/09/20	69.82	3.26 W	0.00	7 of 8 - Sale <sup>25</sup>
	20.000	266.23	06/09/20	278.03	11.80 W	0.00	8 of 8 - Sale <sup>25</sup>
06/10/20	60.000	798.70	Various	843.80	45.10 W	0.00	Total of 8 transactions
	13 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	2.000	26.76	06/15/20	29.85	3.09 W	0.00	1 of 13 - Sale <sup>25</sup>
	3.000	40.14	06/15/20	44.60	4.46 W	0.00	2 of 13 - Sale <sup>25</sup>
	10.000	133.80	06/15/20	153.58	19.78 W	0.00	3 of 13 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	5.000	66.90	06/15/20	76.04	9.14 W	0.00	4 of 13 - Sale <sup>25</sup>
	10.000	133.80	06/15/20	140.88	7.08 W	0.00	5 of 13 - Sale <sup>25</sup>
	5.000	66.90	06/15/20	72.29	5.39 W	0.00	6 of 13 - Sale <sup>25</sup>
	5.000	66.90	06/15/20	72.86	5.96 W	0.00	7 of 13 - Sale <sup>25</sup>
	20.000	267.60	06/15/20	290.20	22.60 W	0.00	8 of 13 - Sale <sup>25</sup>
	190.000	2,542.20	06/15/20	2,644.78	102.58 W	0.00	9 of 13 - Sale <sup>25</sup>
	75.000	1,003.50	06/15/20	1,045.50	42.00 W	0.00	10 of 13 - Sale <sup>25</sup>
	100.000	1,337.99	06/15/20	1,399.00	61.01 W	0.00	11 of 13 - Sale <sup>25</sup>
	73.000	976.74	06/15/20	1,021.27	44.53 W	0.00	12 of 13 - Sale <sup>25</sup>
	2.000	26.76	06/15/20	27.98	1.22 W	0.00	13 of 13 - Sale <sup>25</sup>
06/16/20	500.000	6,689.99	Various	7,018.83	328.84 W	0.00	Total of 13 transactions
	5 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	10.000	144.00	06/16/20	142.56	...	1.44	1 of 5 - Sale <sup>25</sup>
	25.000	359.99	06/16/20	352.71	...	7.28	2 of 5 - Sale <sup>25</sup>
	50.000	719.97	06/16/20	705.41	...	14.56	3 of 5 - Sale <sup>25</sup>
	76.000	1,094.37	06/16/20	1,076.03	...	18.34	4 of 5 - Sale <sup>25</sup>
	190.000	2,735.90	06/16/20	2,676.75	...	59.15	5 of 5 - Sale <sup>25</sup>
06/19/20	351.000	5,054.23	Various	4,953.46	...	100.77	Total of 5 transactions
	11 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	1.000	14.40	06/15/20	15.65	1.25 W	0.00	1 of 11 - Sale <sup>25</sup>
	1.000	14.40	06/16/20	15.09	0.69 W	0.00	2 of 11 - Sale <sup>25</sup>
	3.000	43.20	06/16/20	45.10	1.90 W	0.00	3 of 11 - Sale <sup>25</sup>
	10.000	144.00	06/16/20	155.26	11.26 W	0.00	4 of 11 - Sale <sup>25</sup>
	5.000	72.00	06/16/20	76.88	4.88 W	0.00	5 of 11 - Sale <sup>25</sup>
	5.000	72.00	06/16/20	73.13	1.13 W	0.00	6 of 11 - Sale <sup>25</sup>
	5.000	72.00	06/16/20	73.70	1.70 W	0.00	7 of 11 - Sale <sup>25</sup>
	20.000	287.99	06/16/20	293.56	5.57 W	0.00	8 of 11 - Sale <sup>25</sup>
	2.000	28.80	06/16/20	29.00	0.20 W	0.00	9 of 11 - Sale <sup>25</sup>
	24.000	345.59	06/16/20	347.96	2.37 W	0.00	10 of 11 - Sale <sup>25</sup>
	73.000	1,051.16	06/16/20	1,058.36	7.20 W	0.00	11 of 11 - Sale <sup>25</sup>
06/19/20	149.000	2,145.54	Various	2,183.69	38.15 W	0.00	Total of 11 transactions

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
23 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	1.000	19.06	06/22/20	16.15	...	2.91	1 of 23 - Sale <sup>25</sup>
	1.000	19.06	06/22/20	15.59	...	3.47	2 of 23 - Sale <sup>25</sup>
	1.000	19.06	06/22/20	15.19	...	3.87	3 of 23 - Sale <sup>25</sup>
	1.000	19.06	06/22/20	15.16	...	3.90	4 of 23 - Sale <sup>25</sup>
	2.000	38.12	06/22/20	30.52	...	7.60	5 of 23 - Sale <sup>25</sup>
	3.000	57.18	06/22/20	46.59	...	10.59	6 of 23 - Sale <sup>25</sup>
	5.000	95.31	06/22/20	79.37	...	15.94	7 of 23 - Sale <sup>25</sup>
	5.000	95.31	06/22/20	76.19	...	19.12	8 of 23 - Sale <sup>25</sup>
	5.000	95.31	06/22/20	75.62	...	19.69	9 of 23 - Sale <sup>25</sup>
	10.000	190.61	06/22/20	160.24	...	30.37	10 of 23 - Sale <sup>25</sup>
	20.000	381.23	06/22/20	303.54	...	77.69	11 of 23 - Sale <sup>25</sup>
	24.000	457.47	06/22/20	364.53	...	92.94	12 of 23 - Sale <sup>25</sup>
	72.000	1,372.42	06/22/20	1,098.61	...	273.81	13 of 23 - Sale <sup>25</sup>
	50.000	1,036.47	06/23/20	1,036.00	...	0.47	14 of 23 - Sale <sup>25</sup>
	6.000	120.78	06/23/20	118.34	...	2.44	15 of 23 - Sale <sup>25</sup>
	100.000	2,072.94	06/23/20	2,064.63	...	8.31	16 of 23 - Sale <sup>25</sup>
	75.000	1,509.70	06/23/20	1,480.22	...	29.48	17 of 23 - Sale <sup>25</sup>
	94.000	1,891.22	06/23/20	1,853.99	...	37.23	18 of 23 - Sale <sup>25</sup>
	100.000	2,012.94	06/23/20	1,962.99	...	49.95	19 of 23 - Sale <sup>25</sup>
	50.000	953.07	06/23/20	898.82	...	54.25	20 of 23 - Sale <sup>25</sup>
	75.000	1,508.96	06/23/20	1,445.99	...	62.97	21 of 23 - Sale <sup>25</sup>
	50.000	1,006.47	06/23/20	935.82	...	70.65	22 of 23 - Sale <sup>25</sup>
	100.000	2,011.95	06/23/20	1,927.98	...	83.97	23 of 23 - Sale <sup>25</sup>
06/23/20	850.000	16,983.70	Various	16,022.08	...	961.62	Total of 23 transactions
15 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	50.000	1,005.97	06/23/20	1,077.03	71.06 W	0.00	1 of 15 - Sale <sup>25</sup>
	50.000	1,005.97	06/23/20	1,084.49	78.52 W	0.00	2 of 15 - Sale <sup>25</sup>
	50.000	1,036.47	06/23/20	1,155.55	119.08 W	0.00	3 of 15 - Sale <sup>25</sup>
	50.000	1,036.47	06/23/20	1,092.02	55.55 W	0.00	4 of 15 - Sale <sup>25</sup>
	100.000	2,072.94	06/23/20	2,084.00	11.06 W	0.00	5 of 15 - Sale <sup>25</sup>
	100.000	2,072.94	06/23/20	2,097.29	24.35 W	0.00	6 of 15 - Sale <sup>25</sup>
	25.000	518.24	06/23/20	521.82	3.58 W	0.00	7 of 15 - Sale <sup>25</sup>
	25.000	505.48	06/23/20	581.35	75.87 W	0.00	8 of 15 - Sale <sup>25</sup>

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	1.000	20.75	06/23/20	23.90	3.15 W	0.00	9 of 15 - Sale <sup>25</sup>
	5.000	103.75	06/23/20	119.49	15.74 W	0.00	10 of 15 - Sale <sup>25</sup>
	4.000	83.00	06/23/20	95.59	12.59 W	0.00	11 of 15 - Sale <sup>25</sup>
	2.000	41.50	06/23/20	45.16	3.66 W	0.00	12 of 15 - Sale <sup>25</sup>
	15.000	311.24	06/23/20	357.77	46.53 W	0.00	13 of 15 - Sale <sup>25</sup>
	23.000	477.23	06/23/20	519.36	42.13 W	0.00	14 of 15 - Sale <sup>25</sup>
	25.000	518.73	06/23/20	565.77	47.04 W	0.00	15 of 15 - Sale <sup>25</sup>
06/23/20	525.000	10,810.68	Various	11,420.59	609.91 W	0.00	Total of 15 transactions
	6 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	4.000	89.64	06/23/20	85.57	...	4.07	1 of 6 - Sale <sup>25</sup>
	16.000	358.56	06/23/20	342.30	...	16.26	2 of 6 - Sale <sup>25</sup>
	15.000	336.14	06/23/20	319.70	...	16.44	3 of 6 - Sale <sup>25</sup>
	30.000	672.28	06/23/20	635.42	...	36.86	4 of 6 - Sale <sup>25</sup>
	45.000	1,008.42	06/23/20	964.96	...	43.46	5 of 6 - Sale <sup>25</sup>
	70.000	1,568.66	06/23/20	1,477.04	...	91.62	6 of 6 - Sale <sup>25</sup>
06/24/20	180.000	4,033.70	Various	3,824.99	...	208.71	Total of 6 transactions
	9 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	1.000	23.90	06/24/20	26.99	3.09 W	0.00	1 of 9 - Sale <sup>25</sup>
	2.000	47.80	06/24/20	51.34	3.54 W	0.00	2 of 9 - Sale <sup>25</sup>
	3.000	71.70	06/24/20	77.03	5.33 W	0.00	3 of 9 - Sale <sup>25</sup>
	4.000	95.60	06/24/20	107.96	12.36 W	0.00	4 of 9 - Sale <sup>25</sup>
	5.000	119.50	06/24/20	134.95	15.45 W	0.00	5 of 9 - Sale <sup>25</sup>
	15.000	358.48	06/24/20	404.15	45.67 W	0.00	6 of 9 - Sale <sup>25</sup>
	20.000	477.99	06/24/20	481.70	3.71 W	0.00	7 of 9 - Sale <sup>25</sup>
	25.000	597.48	06/24/20	599.62	2.14 W	0.00	8 of 9 - Sale <sup>25</sup>
	25.000	597.48	06/24/20	671.91	74.43 W	0.00	9 of 9 - Sale <sup>25</sup>
06/24/20	100.000	2,389.93	Various	2,555.65	165.72 W	0.00	Total of 9 transactions
	40 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	5.000	132.85	06/25/20	134.42	1.57 W	0.00	1 of 40 - Sale <sup>25</sup>
	20.000	531.39	06/25/20	570.58	39.19 W	0.00	2 of 40 - Sale <sup>25</sup>
	25.000	664.23	06/25/20	714.47	50.24 W	0.00	3 of 40 - Sale <sup>25</sup>
	7.000	204.59	06/25/20	206.33	1.74 W	0.00	4 of 40 - Sale <sup>25</sup>
	15.000	438.42	06/25/20	443.63	5.21 W	0.00	5 of 40 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	10.000	292.28	06/25/20	323.87	31.59 W	0.00	6 of 40 - Sale <sup>25</sup>
	18.000	526.10	06/25/20	530.92	4.82 W	0.00	7 of 40 - Sale <sup>25</sup>
	1.000	29.23	06/25/20	32.45	3.22 W	0.00	8 of 40 - Sale <sup>25</sup>
	2.000	58.46	06/25/20	62.26	3.80 W	0.00	9 of 40 - Sale <sup>25</sup>
	3.000	87.68	06/25/20	93.41	5.73 W	0.00	10 of 40 - Sale <sup>25</sup>
	4.000	116.91	06/25/20	129.80	12.89 W	0.00	11 of 40 - Sale <sup>25</sup>
	5.000	146.14	06/25/20	162.26	16.12 W	0.00	12 of 40 - Sale <sup>25</sup>
	5.000	146.14	06/25/20	156.61	10.47 W	0.00	13 of 40 - Sale <sup>25</sup>
	15.000	438.41	06/25/20	485.08	46.67 W	0.00	14 of 40 - Sale <sup>25</sup>
	15.000	438.41	06/25/20	486.09	47.68 W	0.00	15 of 40 - Sale <sup>25</sup>
	15.000	420.28	06/25/20	462.59	42.31 W	0.00	16 of 40 - Sale <sup>25</sup>
	4.000	112.08	06/25/20	123.56	11.48 W	0.00	17 of 40 - Sale <sup>25</sup>
	5.000	140.10	06/25/20	145.97	5.87 W	0.00	18 of 40 - Sale <sup>25</sup>
	5.000	140.09	06/25/20	146.14	6.05 W	0.00	19 of 40 - Sale <sup>25</sup>
	21.000	588.41	06/25/20	648.68	60.27 W	0.00	20 of 40 - Sale <sup>25</sup>
	1.000	29.68	06/25/20	33.19	3.51 W	0.00	21 of 40 - Sale <sup>25</sup>
	2.000	59.36	06/25/20	63.74	4.38 W	0.00	22 of 40 - Sale <sup>25</sup>
	3.000	89.03	06/25/20	95.64	6.61 W	0.00	23 of 40 - Sale <sup>25</sup>
	4.000	118.72	06/25/20	132.77	14.05 W	0.00	24 of 40 - Sale <sup>25</sup>
	5.000	148.40	06/25/20	165.97	17.57 W	0.00	25 of 40 - Sale <sup>25</sup>
	7.000	207.75	06/25/20	211.53	3.78 W	0.00	26 of 40 - Sale <sup>25</sup>
	10.000	296.79	06/25/20	303.17	6.38 W	0.00	27 of 40 - Sale <sup>25</sup>
	10.000	296.79	06/25/20	331.29	34.50 W	0.00	28 of 40 - Sale <sup>25</sup>
	15.000	445.19	06/25/20	496.22	51.03 W	0.00	29 of 40 - Sale <sup>25</sup>
	15.000	445.19	06/25/20	497.23	52.04 W	0.00	30 of 40 - Sale <sup>25</sup>
	18.000	534.23	06/25/20	544.28	10.05 W	0.00	31 of 40 - Sale <sup>25</sup>
	5.000	146.85	06/25/20	160.32	13.47 W	0.00	32 of 40 - Sale <sup>25</sup>
	4.000	117.48	06/25/20	131.16	13.68 W	0.00	33 of 40 - Sale <sup>25</sup>
	1.000	28.93	06/25/20	32.79	3.86 W	0.00	34 of 40 - Sale <sup>25</sup>
	4.000	115.73	06/25/20	129.48	13.75 W	0.00	35 of 40 - Sale <sup>25</sup>
	4.000	115.72	06/25/20	120.55	4.83 W	0.00	36 of 40 - Sale <sup>25</sup>
	5.000	144.66	06/25/20	153.37	8.71 W	0.00	37 of 40 - Sale <sup>25</sup>
	5.000	144.66	06/25/20	153.55	8.89 W	0.00	38 of 40 - Sale <sup>25</sup>
	10.000	289.31	06/25/20	323.21	33.90 W	0.00	39 of 40 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
06/25/20	21.000	607.55	06/25/20	679.77	72.22 W	0.00	40 of 40 - Sale <sup>25</sup>
06/25/20	349.000	10,034.22	Various	10,818.35	784.13 W	0.00	Total of 40 transactions
3 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	6.000	189.60	06/25/20	189.23	...	0.37	1 of 3 - Sale <sup>25</sup>
	7.000	221.19	06/25/20	220.08	...	1.11	2 of 3 - Sale <sup>25</sup>
	18.000	568.78	06/25/20	566.25	...	2.53	3 of 3 - Sale <sup>25</sup>
06/26/20	31.000	979.57	Various	975.56	...	4.01	Total of 3 transactions
82 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	1.000	31.60	06/25/20	34.41	2.81 W	0.00	1 of 82 - Sale <sup>25</sup>
	1.000	31.60	06/25/20	34.76	3.16 W	0.00	2 of 82 - Sale <sup>25</sup>
	2.000	63.20	06/25/20	66.18	2.98 W	0.00	3 of 82 - Sale <sup>25</sup>
	3.000	94.80	06/25/20	99.31	4.51 W	0.00	4 of 82 - Sale <sup>25</sup>
	4.000	126.40	06/25/20	137.65	11.25 W	0.00	5 of 82 - Sale <sup>25</sup>
	4.000	126.40	06/25/20	137.28	10.88 W	0.00	6 of 82 - Sale <sup>25</sup>
	4.000	126.40	06/25/20	137.16	10.76 W	0.00	7 of 82 - Sale <sup>25</sup>
	5.000	158.00	06/25/20	172.07	14.07 W	0.00	8 of 82 - Sale <sup>25</sup>
	5.000	158.00	06/25/20	167.97	9.97 W	0.00	9 of 82 - Sale <sup>25</sup>
	10.000	315.99	06/25/20	343.50	27.51 W	0.00	10 of 82 - Sale <sup>25</sup>
	15.000	473.99	06/25/20	514.53	40.54 W	0.00	11 of 82 - Sale <sup>25</sup>
	15.000	473.99	06/25/20	515.54	41.55 W	0.00	12 of 82 - Sale <sup>25</sup>
	4.000	126.40	06/25/20	144.03	17.63 W	0.00	13 of 82 - Sale <sup>25</sup>
	4.000	126.40	06/25/20	137.25	10.85 W	0.00	14 of 82 - Sale <sup>25</sup>
	6.000	189.59	06/25/20	215.76	26.17 W	0.00	15 of 82 - Sale <sup>25</sup>
	21.000	663.58	06/25/20	756.19	92.61 W	0.00	16 of 82 - Sale <sup>25</sup>
	1.000	31.60	06/25/20	34.43	2.83 W	0.00	17 of 82 - Sale <sup>25</sup>
	4.000	126.40	06/25/20	135.59	9.19 W	0.00	18 of 82 - Sale <sup>25</sup>
	5.000	158.00	06/25/20	172.34	14.34 W	0.00	19 of 82 - Sale <sup>25</sup>
	25.000	789.97	06/25/20	817.25	27.28 W	0.00	20 of 82 - Sale <sup>25</sup>
	5.000	158.00	06/25/20	163.50	5.50 W	0.00	21 of 82 - Sale <sup>25</sup>
	50.000	1,579.95	06/25/20	1,723.00	143.05 W	0.00	22 of 82 - Sale <sup>25</sup>
	100.000	3,159.90	06/25/20	3,455.00	295.10 W	0.00	23 of 82 - Sale <sup>25</sup>
	1.000	27.76	06/26/20	30.90	3.14 W	0.00	24 of 82 - Sale <sup>25</sup>
	1.000	27.76	06/26/20	30.90	3.14 W	0.00	25 of 82 - Sale <sup>25</sup>
	5.000	138.80	06/26/20	154.52	15.72 W	0.00	26 of 82 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	10.000	277.59	06/26/20	308.41	30.82 W	0.00	27 of 82 - Sale <sup>25</sup>
	15.000	416.39	06/26/20	461.89	45.50 W	0.00	28 of 82 - Sale <sup>25</sup>
	1.000	27.76	06/26/20	31.25	3.49 W	0.00	29 of 82 - Sale <sup>25</sup>
	2.000	55.52	06/26/20	59.16	3.64 W	0.00	30 of 82 - Sale <sup>25</sup>
	3.000	83.28	06/26/20	88.78	5.50 W	0.00	31 of 82 - Sale <sup>25</sup>
	3.000	83.28	06/26/20	92.71	9.43 W	0.00	32 of 82 - Sale <sup>25</sup>
	4.000	111.04	06/26/20	123.24	12.20 W	0.00	33 of 82 - Sale <sup>25</sup>
	4.000	111.04	06/26/20	123.12	12.08 W	0.00	34 of 82 - Sale <sup>25</sup>
	4.000	111.04	06/26/20	129.99	18.95 W	0.00	35 of 82 - Sale <sup>25</sup>
	5.000	138.79	06/26/20	150.42	11.63 W	0.00	36 of 82 - Sale <sup>25</sup>
	6.000	166.55	06/26/20	194.71	28.16 W	0.00	37 of 82 - Sale <sup>25</sup>
	15.000	416.39	06/26/20	462.90	46.51 W	0.00	38 of 82 - Sale <sup>25</sup>
	21.000	582.93	06/26/20	682.50	99.57 W	0.00	39 of 82 - Sale <sup>25</sup>
	1.000	28.47	06/26/20	30.92	2.45 W	0.00	40 of 82 - Sale <sup>25</sup>
	4.000	113.88	06/26/20	123.21	9.33 W	0.00	41 of 82 - Sale <sup>25</sup>
	4.000	113.88	06/26/20	121.55	7.67 W	0.00	42 of 82 - Sale <sup>25</sup>
	5.000	142.35	06/26/20	154.79	12.44 W	0.00	43 of 82 - Sale <sup>25</sup>
	5.000	142.35	06/26/20	145.95	3.60 W	0.00	44 of 82 - Sale <sup>25</sup>
	6.000	170.82	06/26/20	186.25	15.43 W	0.00	45 of 82 - Sale <sup>25</sup>
	25.000	711.73	06/26/20	729.53	17.80 W	0.00	46 of 82 - Sale <sup>25</sup>
	50.000	1,423.46	06/26/20	1,547.55	124.09 W	0.00	47 of 82 - Sale <sup>25</sup>
	50.000	1,423.46	06/26/20	1,611.54	188.08 W	0.00	48 of 82 - Sale <sup>25</sup>
	25.000	711.73	06/26/20	888.48	176.75 W	0.00	49 of 82 - Sale <sup>25</sup>
	6.000	170.82	06/26/20	212.52	41.70 W	0.00	50 of 82 - Sale <sup>25</sup>
	19.000	540.92	06/26/20	670.50	129.58 W	0.00	51 of 82 - Sale <sup>25</sup>
	1.000	30.01	06/26/20	33.24	3.23 W	0.00	52 of 82 - Sale <sup>25</sup>
	1.000	30.01	06/26/20	33.24	3.23 W	0.00	53 of 82 - Sale <sup>25</sup>
	1.000	30.01	06/26/20	32.43	2.42 W	0.00	54 of 82 - Sale <sup>25</sup>
	2.000	60.02	06/26/20	63.84	3.82 W	0.00	55 of 82 - Sale <sup>25</sup>
	3.000	90.03	06/26/20	99.73	9.70 W	0.00	56 of 82 - Sale <sup>25</sup>
	3.000	90.03	06/26/20	95.80	5.77 W	0.00	57 of 82 - Sale <sup>25</sup>
	4.000	120.04	06/26/20	132.73	12.69 W	0.00	58 of 82 - Sale <sup>25</sup>
	5.000	150.05	06/26/20	166.22	16.17 W	0.00	59 of 82 - Sale <sup>25</sup>
	15.000	450.14	06/26/20	497.00	46.86 W	0.00	60 of 82 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	15.000	450.14	06/26/20	498.01	47.87 W	0.00	61 of 82 - Sale <sup>25</sup>
	1.000	30.01	06/26/20	33.59	3.58 W	0.00	62 of 82 - Sale <sup>25</sup>
	1.000	30.01	06/26/20	32.55	2.54 W	0.00	63 of 82 - Sale <sup>25</sup>
	1.000	30.01	06/26/20	32.59	2.58 W	0.00	64 of 82 - Sale <sup>25</sup>
	4.000	120.04	06/26/20	129.70	9.66 W	0.00	65 of 82 - Sale <sup>25</sup>
	4.000	120.04	06/26/20	132.60	12.56 W	0.00	66 of 82 - Sale <sup>25</sup>
	4.000	120.04	06/26/20	132.48	12.44 W	0.00	67 of 82 - Sale <sup>25</sup>
	4.000	120.04	06/26/20	139.35	19.31 W	0.00	68 of 82 - Sale <sup>25</sup>
	4.000	120.04	06/26/20	129.73	9.69 W	0.00	69 of 82 - Sale <sup>25</sup>
	6.000	180.06	06/26/20	208.76	28.70 W	0.00	70 of 82 - Sale <sup>25</sup>
	21.000	630.19	06/26/20	731.67	101.48 W	0.00	71 of 82 - Sale <sup>25</sup>
	4.000	120.04	06/26/20	130.43	10.39 W	0.00	72 of 82 - Sale <sup>25</sup>
	4.000	120.04	06/26/20	128.15	8.11 W	0.00	73 of 82 - Sale <sup>25</sup>
	5.000	150.05	06/26/20	154.20	4.15 W	0.00	74 of 82 - Sale <sup>25</sup>
	6.000	180.06	06/26/20	196.15	16.09 W	0.00	75 of 82 - Sale <sup>25</sup>
	6.000	180.06	06/26/20	222.42	42.36 W	0.00	76 of 82 - Sale <sup>25</sup>
	19.000	570.17	06/26/20	701.86	131.69 W	0.00	77 of 82 - Sale <sup>25</sup>
	25.000	750.23	06/26/20	770.80	20.57 W	0.00	78 of 82 - Sale <sup>25</sup>
	25.000	750.23	06/26/20	929.75	179.52 W	0.00	79 of 82 - Sale <sup>25</sup>
	50.000	1,500.46	06/26/20	1,630.09	129.63 W	0.00	80 of 82 - Sale <sup>25</sup>
	50.000	1,500.46	06/26/20	1,694.08	193.62 W	0.00	81 of 82 - Sale <sup>25</sup>
	206.000	6,181.89	06/26/20	6,204.72	22.83 W	0.00	82 of 82 - Sale <sup>25</sup>
06/26/20	1,094.000	32,764.60	Various	35,780.80	3,016.20 W	0.00	Total of 82 transactions
	4 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	1.000	28.91	06/30/20	32.43	3.52 W	0.00	1 of 4 - Sale <sup>25</sup>
	4.000	115.64	06/30/20	129.50	13.86 W	0.00	2 of 4 - Sale <sup>25</sup>
	5.000	144.54	06/30/20	162.19	17.65 W	0.00	3 of 4 - Sale <sup>25</sup>
	15.000	433.64	06/30/20	484.90	51.26 W	0.00	4 of 4 - Sale <sup>25</sup>
06/30/20	25.000	722.73	Various	809.02	86.29 W	0.00	Total of 4 transactions
	14 transactions for 07/01/20. Total proceeds and cost reported to the IRS.						
	1.000	20.18	07/01/20	25.98	5.80 W	0.00	1 of 14 - Sale <sup>25</sup>
	1.000	20.18	07/01/20	25.17	4.99 W	0.00	2 of 14 - Sale <sup>25</sup>
	2.000	40.37	07/01/20	49.32	8.95 W	0.00	3 of 14 - Sale <sup>25</sup>
	3.000	60.55	07/01/20	77.95	17.40 W	0.00	4 of 14 - Sale <sup>25</sup>

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(continued)

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	3.000	60.55	07/01/20	74.02	13.47 W	0.00	5 of 14 - Sale <sup>25</sup>
	3.000	60.55	07/01/20	77.67	17.12 W	0.00	6 of 14 - Sale <sup>25</sup>
	4.000	80.73	07/01/20	100.66	19.93 W	0.00	7 of 14 - Sale <sup>25</sup>
	15.000	302.75	07/01/20	389.12	86.37 W	0.00	8 of 14 - Sale <sup>25</sup>
	1.000	20.18	07/01/20	25.85	5.67 W	0.00	9 of 14 - Sale <sup>25</sup>
	1.000	20.18	07/01/20	26.29	6.11 W	0.00	10 of 14 - Sale <sup>25</sup>
	4.000	80.73	07/01/20	103.28	22.55 W	0.00	11 of 14 - Sale <sup>25</sup>
	4.000	80.73	07/01/20	110.15	29.42 W	0.00	12 of 14 - Sale <sup>25</sup>
	6.000	121.10	07/01/20	164.96	43.86 W	0.00	13 of 14 - Sale <sup>25</sup>
	12.000	242.20	07/01/20	330.51	88.31 W	0.00	14 of 14 - Sale <sup>25</sup>
07/01/20	60.000	1,210.98	Various	1,580.93	369.95 W	0.00	Total of 14 transactions
	11 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	1.000	21.58	07/02/20	23.59	2.01 W	0.00	1 of 11 - Sale <sup>25</sup>
	1.000	21.58	07/02/20	23.63	2.05 W	0.00	2 of 11 - Sale <sup>25</sup>
	4.000	86.32	07/02/20	93.87	7.55 W	0.00	3 of 11 - Sale <sup>25</sup>
	4.000	86.32	07/02/20	94.57	8.25 W	0.00	4 of 11 - Sale <sup>25</sup>
	4.000	86.32	07/02/20	92.29	5.97 W	0.00	5 of 11 - Sale <sup>25</sup>
	9.000	194.21	07/02/20	232.90	38.69 W	0.00	6 of 11 - Sale <sup>25</sup>
	12.000	258.95	07/02/20	262.42	3.47 W	0.00	7 of 11 - Sale <sup>25</sup>
	5.000	99.41	07/06/20	104.30	4.89 W	0.00	8 of 11 - Sale <sup>25</sup>
	7.000	139.17	07/06/20	158.36	19.19 W	0.00	9 of 11 - Sale <sup>25</sup>
	13.000	258.46	07/06/20	271.09	12.63 W	0.00	10 of 11 - Sale <sup>25</sup>
	25.000	497.03	07/06/20	568.44	71.41 W	0.00	11 of 11 - Sale <sup>25</sup>
07/06/20	85.000	1,749.35	Various	1,925.46	176.11 W	0.00	Total of 11 transactions
	56 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	2.000	45.40	07/07/20	60.48	15.08 W	0.00	1 of 56 - Sale <sup>25</sup>
	26.000	590.18	07/07/20	605.51	15.33 W	0.00	2 of 56 - Sale <sup>25</sup>
	5.000	113.50	07/07/20	116.65	3.15 W	0.00	3 of 56 - Sale <sup>25</sup>
	45.000	1,021.47	07/07/20	1,049.89	28.42 W	0.00	4 of 56 - Sale <sup>25</sup>
	25.000	567.48	07/07/20	591.52	24.04 W	0.00	5 of 56 - Sale <sup>25</sup>
	25.000	567.48	07/07/20	605.21	37.73 W	0.00	6 of 56 - Sale <sup>25</sup>
	1.000	22.70	07/07/20	28.88	6.18 W	0.00	7 of 56 - Sale <sup>25</sup>
	6.000	136.20	07/07/20	147.79	11.59 W	0.00	8 of 56 - Sale <sup>25</sup>
	18.000	408.59	07/07/20	441.77	33.18 W	0.00	9 of 56 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	25.000	567.48	07/07/20	728.27	160.79 W	0.00	10 of 56 - Sale <sup>25</sup>
	50.000	1,134.97	07/07/20	1,291.11	156.14 W	0.00	11 of 56 - Sale <sup>25</sup>
	4.000	90.80	07/07/20	116.24	25.44 W	0.00	12 of 56 - Sale <sup>25</sup>
	18.000	408.59	07/07/20	520.76	112.17 W	0.00	13 of 56 - Sale <sup>25</sup>
	50.000	1,162.97	07/08/20	1,207.05	44.08 W	0.00	14 of 56 - Sale <sup>25</sup>
	1.000	23.26	07/08/20	27.60	4.34 W	0.00	15 of 56 - Sale <sup>25</sup>
	4.000	93.04	07/08/20	110.18	17.14 W	0.00	16 of 56 - Sale <sup>25</sup>
	15.000	348.89	07/08/20	412.46	63.57 W	0.00	17 of 56 - Sale <sup>25</sup>
	30.000	697.78	07/08/20	725.73	27.95 W	0.00	18 of 56 - Sale <sup>25</sup>
	1.000	23.08	07/08/20	28.83	5.75 W	0.00	19 of 56 - Sale <sup>25</sup>
	1.000	23.08	07/08/20	28.02	4.94 W	0.00	20 of 56 - Sale <sup>25</sup>
	1.000	23.08	07/08/20	28.70	5.62 W	0.00	21 of 56 - Sale <sup>25</sup>
	1.000	23.08	07/08/20	29.14	6.06 W	0.00	22 of 56 - Sale <sup>25</sup>
	1.000	23.08	07/08/20	30.39	7.31 W	0.00	23 of 56 - Sale <sup>25</sup>
	2.000	46.17	07/08/20	55.01	8.84 W	0.00	24 of 56 - Sale <sup>25</sup>
	3.000	69.25	07/08/20	86.50	17.25 W	0.00	25 of 56 - Sale <sup>25</sup>
	3.000	69.25	07/08/20	82.57	13.32 W	0.00	26 of 56 - Sale <sup>25</sup>
	3.000	69.25	07/08/20	86.21	16.96 W	0.00	27 of 56 - Sale <sup>25</sup>
	4.000	92.33	07/08/20	112.06	19.73 W	0.00	28 of 56 - Sale <sup>25</sup>
	4.000	92.33	07/08/20	114.68	22.35 W	0.00	29 of 56 - Sale <sup>25</sup>
	5.000	115.42	07/08/20	132.81	17.39 W	0.00	30 of 56 - Sale <sup>25</sup>
	6.000	138.50	07/08/20	182.05	43.55 W	0.00	31 of 56 - Sale <sup>25</sup>
	15.000	346.25	07/08/20	431.85	85.60 W	0.00	32 of 56 - Sale <sup>25</sup>
	1.000	22.95	07/08/20	24.86	1.91 W	0.00	33 of 56 - Sale <sup>25</sup>
	1.000	22.95	07/08/20	24.90	1.95 W	0.00	34 of 56 - Sale <sup>25</sup>
	4.000	91.81	07/08/20	98.93	7.12 W	0.00	35 of 56 - Sale <sup>25</sup>
	4.000	91.81	07/08/20	99.63	7.82 W	0.00	36 of 56 - Sale <sup>25</sup>
	4.000	91.80	07/08/20	97.36	5.56 W	0.00	37 of 56 - Sale <sup>25</sup>
	9.000	206.56	07/08/20	244.31	37.75 W	0.00	38 of 56 - Sale <sup>25</sup>
	12.000	275.42	07/08/20	277.62	2.20 W	0.00	39 of 56 - Sale <sup>25</sup>
	3.000	68.85	07/08/20	90.60	21.75 W	0.00	40 of 56 - Sale <sup>25</sup>
	12.000	275.42	07/08/20	362.47	87.05 W	0.00	41 of 56 - Sale <sup>25</sup>
	5.000	115.63	07/08/20	120.92	5.29 W	0.00	42 of 56 - Sale <sup>25</sup>
	7.000	161.89	07/08/20	181.64	19.75 W	0.00	43 of 56 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	13.000	300.65	07/08/20	314.31	13.66 W	0.00	44 of 56 - Sale <sup>25</sup>
	25.000	578.17	07/08/20	651.87	73.70 W	0.00	45 of 56 - Sale <sup>25</sup>
	1.000	23.13	07/08/20	26.37	3.24 W	0.00	46 of 56 - Sale <sup>25</sup>
	6.000	138.76	07/08/20	151.07	12.31 W	0.00	47 of 56 - Sale <sup>25</sup>
	18.000	416.29	07/08/20	451.63	35.34 W	0.00	48 of 56 - Sale <sup>25</sup>
	1.000	23.32	07/08/20	29.77	6.45 W	0.00	49 of 56 - Sale <sup>25</sup>
	49.000	1,142.65	07/08/20	1,296.47	153.82 W	0.00	50 of 56 - Sale <sup>25</sup>
	1.000	23.32	07/08/20	29.53	6.21 W	0.00	51 of 56 - Sale <sup>25</sup>
	24.000	559.66	07/08/20	714.76	155.10 W	0.00	52 of 56 - Sale <sup>25</sup>
	2.000	46.64	07/08/20	61.84	15.20 W	0.00	53 of 56 - Sale <sup>25</sup>
	4.000	93.27	07/08/20	118.96	25.69 W	0.00	54 of 56 - Sale <sup>25</sup>
	18.000	419.75	07/08/20	533.01	113.26 W	0.00	55 of 56 - Sale <sup>25</sup>
	1.000	23.32	07/08/20	23.97	0.65 W	0.00	56 of 56 - Sale <sup>25</sup>
07/08/20	625.000	14,368.95	Various	16,242.72	1,873.77 W	0.00	Total of 56 transactions
	11 transactions for 07/09/20. Total proceeds and cost reported to the IRS.						
	5.000	117.31	07/09/20	122.63	5.32 W	0.00	1 of 11 - Sale <sup>25</sup>
	20.000	469.26	07/09/20	490.54	21.28 W	0.00	2 of 11 - Sale <sup>25</sup>
	25.000	586.58	07/09/20	612.14	25.56 W	0.00	3 of 11 - Sale <sup>25</sup>
	12.000	282.16	07/09/20	292.78	10.62 W	0.00	4 of 11 - Sale <sup>25</sup>
	12.000	284.75	07/09/20	303.95	19.20 W	0.00	5 of 11 - Sale <sup>25</sup>
	13.000	308.48	07/09/20	317.87	9.39 W	0.00	6 of 11 - Sale <sup>25</sup>
	25.000	593.23	07/09/20	619.53	26.30 W	0.00	7 of 11 - Sale <sup>25</sup>
	4.000	94.92	07/09/20	113.62	18.70 W	0.00	8 of 11 - Sale <sup>25</sup>
	8.000	189.84	07/09/20	226.86	37.02 W	0.00	9 of 11 - Sale <sup>25</sup>
	13.000	308.48	07/09/20	333.18	24.70 W	0.00	10 of 11 - Sale <sup>25</sup>
	50.000	1,158.66	07/09/20	1,250.08	91.42 W	0.00	11 of 11 - Sale <sup>25</sup>
07/09/20	187.000	4,393.67	Various	4,683.18	289.51 W	0.00	Total of 11 transactions
	5 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	2.000	50.94	07/13/20	49.17	...	1.77	1 of 5 - Sale <sup>25</sup>
	9.000	238.31	07/13/20	234.55	...	3.76	2 of 5 - Sale <sup>25</sup>
	18.000	476.63	07/13/20	472.11	...	4.52	3 of 5 - Sale <sup>25</sup>
	13.000	344.23	07/13/20	336.68	...	7.55	4 of 5 - Sale <sup>25</sup>
	15.000	382.04	07/13/20	368.22	...	13.82	5 of 5 - Sale <sup>25</sup>
07/13/20	57.000	1,492.15	Various	1,460.73	...	31.42	Total of 5 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
165 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	3.000	76.41	07/13/20	83.01	6.60 W	0.00	1 of 165 - Sale <sup>25</sup>
	1.000	25.47	07/13/20	27.99	2.52 W	0.00	2 of 165 - Sale <sup>25</sup>
	4.000	101.88	07/13/20	111.57	9.69 W	0.00	3 of 165 - Sale <sup>25</sup>
	1.000	25.47	07/13/20	30.63	5.16 W	0.00	4 of 165 - Sale <sup>25</sup>
	3.000	76.41	07/13/20	91.89	15.48 W	0.00	5 of 165 - Sale <sup>25</sup>
	3.000	76.41	07/13/20	91.75	15.34 W	0.00	6 of 165 - Sale <sup>25</sup>
	5.000	127.34	07/13/20	141.78	14.44 W	0.00	7 of 165 - Sale <sup>25</sup>
	8.000	203.75	07/13/20	206.48	2.73 W	0.00	8 of 165 - Sale <sup>25</sup>
	5.000	127.30	07/13/20	129.05	1.75 W	0.00	9 of 165 - Sale <sup>25</sup>
	1.000	25.46	07/13/20	27.28	1.82 W	0.00	10 of 165 - Sale <sup>25</sup>
	1.000	25.46	07/13/20	27.32	1.86 W	0.00	11 of 165 - Sale <sup>25</sup>
	4.000	101.84	07/13/20	108.59	6.75 W	0.00	12 of 165 - Sale <sup>25</sup>
	4.000	101.84	07/13/20	109.29	7.45 W	0.00	13 of 165 - Sale <sup>25</sup>
	4.000	101.84	07/13/20	107.03	5.19 W	0.00	14 of 165 - Sale <sup>25</sup>
	9.000	229.13	07/13/20	266.07	36.94 W	0.00	15 of 165 - Sale <sup>25</sup>
	12.000	305.51	07/13/20	306.62	1.11 W	0.00	16 of 165 - Sale <sup>25</sup>
	1.000	25.46	07/13/20	30.31	4.85 W	0.00	17 of 165 - Sale <sup>25</sup>
	1.000	25.46	07/13/20	30.99	5.53 W	0.00	18 of 165 - Sale <sup>25</sup>
	1.000	25.46	07/13/20	31.43	5.97 W	0.00	19 of 165 - Sale <sup>25</sup>
	1.000	25.46	07/13/20	32.68	7.22 W	0.00	20 of 165 - Sale <sup>25</sup>
	2.000	50.92	07/13/20	59.58	8.66 W	0.00	21 of 165 - Sale <sup>25</sup>
	2.000	50.92	07/13/20	65.24	14.32 W	0.00	22 of 165 - Sale <sup>25</sup>
	3.000	76.38	07/13/20	89.42	13.04 W	0.00	23 of 165 - Sale <sup>25</sup>
	3.000	76.38	07/13/20	93.06	16.68 W	0.00	24 of 165 - Sale <sup>25</sup>
	4.000	101.84	07/13/20	121.20	19.36 W	0.00	25 of 165 - Sale <sup>25</sup>
	4.000	101.84	07/13/20	123.82	21.98 W	0.00	26 of 165 - Sale <sup>25</sup>
	6.000	152.75	07/13/20	195.76	43.01 W	0.00	27 of 165 - Sale <sup>25</sup>
	12.000	305.51	07/13/20	372.90	67.39 W	0.00	28 of 165 - Sale <sup>25</sup>
	1.000	25.46	07/13/20	32.62	7.16 W	0.00	29 of 165 - Sale <sup>25</sup>
	12.000	305.50	07/13/20	391.45	85.95 W	0.00	30 of 165 - Sale <sup>25</sup>
	7.000	178.21	07/13/20	184.92	6.71 W	0.00	31 of 165 - Sale <sup>25</sup>
	5.000	127.40	07/13/20	132.08	4.68 W	0.00	32 of 165 - Sale <sup>25</sup>
	1.000	25.48	07/13/20	27.03	1.55 W	0.00	33 of 165 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	5.000	127.39	07/13/20	135.19	7.80 W	0.00	34 of 165 - Sale <sup>25</sup>
	7.000	178.35	07/13/20	201.61	23.26 W	0.00	35 of 165 - Sale <sup>25</sup>
	3.000	76.44	07/13/20	86.78	10.34 W	0.00	36 of 165 - Sale <sup>25</sup>
	5.000	124.65	07/13/20	144.64	19.99 W	0.00	37 of 165 - Sale <sup>25</sup>
	7.000	174.50	07/13/20	192.94	18.44 W	0.00	38 of 165 - Sale <sup>25</sup>
	17.000	423.80	07/13/20	485.32	61.52 W	0.00	39 of 165 - Sale <sup>25</sup>
	1.000	24.93	07/13/20	28.84	3.91 W	0.00	40 of 165 - Sale <sup>25</sup>
	1.000	24.93	07/13/20	32.05	7.12 W	0.00	41 of 165 - Sale <sup>25</sup>
	2.000	49.86	07/13/20	64.13	14.27 W	0.00	42 of 165 - Sale <sup>25</sup>
	6.000	149.58	07/13/20	165.91	16.33 W	0.00	43 of 165 - Sale <sup>25</sup>
	11.000	274.22	07/13/20	303.20	28.98 W	0.00	44 of 165 - Sale <sup>25</sup>
	49.000	1,221.53	07/13/20	1,408.22	186.69 W	0.00	45 of 165 - Sale <sup>25</sup>
	1.000	24.93	07/13/20	32.06	7.13 W	0.00	46 of 165 - Sale <sup>25</sup>
	2.000	49.86	07/13/20	64.12	14.26 W	0.00	47 of 165 - Sale <sup>25</sup>
	1.000	24.93	07/13/20	31.81	6.88 W	0.00	48 of 165 - Sale <sup>25</sup>
	2.000	49.86	07/13/20	66.40	16.54 W	0.00	49 of 165 - Sale <sup>25</sup>
	4.000	99.72	07/13/20	128.09	28.37 W	0.00	50 of 165 - Sale <sup>25</sup>
	18.000	448.73	07/13/20	574.06	125.33 W	0.00	51 of 165 - Sale <sup>25</sup>
	19.000	473.66	07/13/20	609.19	135.53 W	0.00	52 of 165 - Sale <sup>25</sup>
	1.000	24.93	07/13/20	26.25	1.32 W	0.00	53 of 165 - Sale <sup>25</sup>
	5.000	124.64	07/13/20	133.32	8.68 W	0.00	54 of 165 - Sale <sup>25</sup>
	13.000	324.08	07/13/20	346.63	22.55 W	0.00	55 of 165 - Sale <sup>25</sup>
	25.000	623.23	07/13/20	665.56	42.33 W	0.00	56 of 165 - Sale <sup>25</sup>
	3.000	79.44	07/13/20	79.46	0.02 W	0.00	57 of 165 - Sale <sup>25</sup>
	7.000	185.35	07/13/20	186.65	1.30 W	0.00	58 of 165 - Sale <sup>25</sup>
	6.000	158.88	07/13/20	167.56	8.68 W	0.00	59 of 165 - Sale <sup>25</sup>
	7.000	185.35	07/13/20	189.54	4.19 W	0.00	60 of 165 - Sale <sup>25</sup>
	12.000	317.75	07/13/20	331.52	13.77 W	0.00	61 of 165 - Sale <sup>25</sup>
	4.000	105.92	07/13/20	122.37	16.45 W	0.00	62 of 165 - Sale <sup>25</sup>
	7.000	185.36	07/13/20	194.71	9.35 W	0.00	63 of 165 - Sale <sup>25</sup>
	8.000	211.83	07/13/20	244.35	32.52 W	0.00	64 of 165 - Sale <sup>25</sup>
	6.000	158.88	07/13/20	166.47	7.59 W	0.00	65 of 165 - Sale <sup>25</sup>
	3.000	79.44	07/13/20	84.51	5.07 W	0.00	66 of 165 - Sale <sup>25</sup>
	3.000	79.44	07/13/20	85.17	5.73 W	0.00	67 of 165 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	44.000	1,165.09	07/13/20	1,223.05	57.96 W	0.00	68 of 165 - Sale <sup>25</sup>
	1.000	26.48	07/13/20	28.59	2.11 W	0.00	69 of 165 - Sale <sup>25</sup>
	1.000	26.48	07/13/20	28.63	2.15 W	0.00	70 of 165 - Sale <sup>25</sup>
	4.000	105.92	07/13/20	113.84	7.92 W	0.00	71 of 165 - Sale <sup>25</sup>
	4.000	105.92	07/13/20	114.54	8.62 W	0.00	72 of 165 - Sale <sup>25</sup>
	4.000	105.92	07/13/20	112.28	6.36 W	0.00	73 of 165 - Sale <sup>25</sup>
	9.000	238.31	07/13/20	277.90	39.59 W	0.00	74 of 165 - Sale <sup>25</sup>
	12.000	317.75	07/13/20	322.39	4.64 W	0.00	75 of 165 - Sale <sup>25</sup>
	1.000	26.48	07/13/20	29.19	2.71 W	0.00	76 of 165 - Sale <sup>25</sup>
	1.000	26.48	07/13/20	29.29	2.81 W	0.00	77 of 165 - Sale <sup>25</sup>
	1.000	26.48	07/13/20	31.93	5.45 W	0.00	78 of 165 - Sale <sup>25</sup>
	1.000	26.48	07/13/20	31.62	5.14 W	0.00	79 of 165 - Sale <sup>25</sup>
	1.000	26.48	07/13/20	32.30	5.82 W	0.00	80 of 165 - Sale <sup>25</sup>
	1.000	26.48	07/13/20	32.74	6.26 W	0.00	81 of 165 - Sale <sup>25</sup>
	1.000	26.48	07/13/20	33.99	7.51 W	0.00	82 of 165 - Sale <sup>25</sup>
	2.000	52.96	07/13/20	62.21	9.25 W	0.00	83 of 165 - Sale <sup>25</sup>
	3.000	79.44	07/13/20	95.80	16.36 W	0.00	84 of 165 - Sale <sup>25</sup>
	3.000	79.44	07/13/20	95.66	16.22 W	0.00	85 of 165 - Sale <sup>25</sup>
	3.000	79.44	07/13/20	93.36	13.92 W	0.00	86 of 165 - Sale <sup>25</sup>
	3.000	79.44	07/13/20	97.00	17.56 W	0.00	87 of 165 - Sale <sup>25</sup>
	4.000	105.91	07/13/20	126.45	20.54 W	0.00	88 of 165 - Sale <sup>25</sup>
	4.000	105.91	07/13/20	129.07	23.16 W	0.00	89 of 165 - Sale <sup>25</sup>
	5.000	132.39	07/13/20	148.30	15.91 W	0.00	90 of 165 - Sale <sup>25</sup>
	6.000	158.87	07/13/20	203.66	44.79 W	0.00	91 of 165 - Sale <sup>25</sup>
	12.000	317.75	07/13/20	388.67	70.92 W	0.00	92 of 165 - Sale <sup>25</sup>
	8.000	211.83	07/13/20	216.91	5.08 W	0.00	93 of 165 - Sale <sup>25</sup>
	5.000	134.82	07/13/20	135.61	0.79 W	0.00	94 of 165 - Sale <sup>25</sup>
	1.000	26.96	07/13/20	31.05	4.09 W	0.00	95 of 165 - Sale <sup>25</sup>
	1.000	26.96	07/13/20	34.26	7.30 W	0.00	96 of 165 - Sale <sup>25</sup>
	1.000	26.96	07/13/20	34.27	7.31 W	0.00	97 of 165 - Sale <sup>25</sup>
	2.000	53.93	07/13/20	68.54	14.61 W	0.00	98 of 165 - Sale <sup>25</sup>
	2.000	53.93	07/13/20	68.53	14.60 W	0.00	99 of 165 - Sale <sup>25</sup>
	6.000	161.79	07/13/20	179.15	17.36 W	0.00	100 of 165 - Sale <sup>25</sup>
	7.000	188.75	07/13/20	208.39	19.64 W	0.00	101 of 165 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	11.000	296.61	07/13/20	327.48	30.87 W	0.00	102 of 165 - Sale <sup>25</sup>
	49.000	1,321.25	07/13/20	1,516.36	195.11 W	0.00	103 of 165 - Sale <sup>25</sup>
	1.000	26.96	07/13/20	34.30	7.34 W	0.00	104 of 165 - Sale <sup>25</sup>
	1.000	26.96	07/13/20	28.69	1.73 W	0.00	105 of 165 - Sale <sup>25</sup>
	2.000	53.93	07/13/20	68.59	14.66 W	0.00	106 of 165 - Sale <sup>25</sup>
	3.000	80.89	07/13/20	91.75	10.86 W	0.00	107 of 165 - Sale <sup>25</sup>
	5.000	134.82	07/13/20	140.36	5.54 W	0.00	108 of 165 - Sale <sup>25</sup>
	5.000	134.82	07/13/20	143.48	8.66 W	0.00	109 of 165 - Sale <sup>25</sup>
	5.000	134.82	07/13/20	155.67	20.85 W	0.00	110 of 165 - Sale <sup>25</sup>
	7.000	188.75	07/13/20	196.66	7.91 W	0.00	111 of 165 - Sale <sup>25</sup>
	7.000	188.75	07/13/20	213.21	24.46 W	0.00	112 of 165 - Sale <sup>25</sup>
	12.000	323.57	07/13/20	411.59	88.02 W	0.00	113 of 165 - Sale <sup>25</sup>
	17.000	458.40	07/13/20	522.84	64.44 W	0.00	114 of 165 - Sale <sup>25</sup>
	1.000	26.96	07/13/20	34.01	7.05 W	0.00	115 of 165 - Sale <sup>25</sup>
	2.000	53.93	07/13/20	70.79	16.86 W	0.00	116 of 165 - Sale <sup>25</sup>
	4.000	107.86	07/13/20	136.87	29.01 W	0.00	117 of 165 - Sale <sup>25</sup>
	18.000	485.36	07/13/20	613.60	128.24 W	0.00	118 of 165 - Sale <sup>25</sup>
	19.000	512.33	07/13/20	650.93	138.60 W	0.00	119 of 165 - Sale <sup>25</sup>
	1.000	26.96	07/13/20	28.45	1.49 W	0.00	120 of 165 - Sale <sup>25</sup>
	5.000	134.83	07/13/20	144.10	9.27 W	0.00	121 of 165 - Sale <sup>25</sup>
	5.000	134.87	07/13/20	144.31	9.44 W	0.00	122 of 165 - Sale <sup>25</sup>
	20.000	539.47	07/13/20	576.39	36.92 W	0.00	123 of 165 - Sale <sup>25</sup>
	1.000	26.97	07/13/20	29.20	2.23 W	0.00	124 of 165 - Sale <sup>25</sup>
	1.000	26.97	07/13/20	29.24	2.27 W	0.00	125 of 165 - Sale <sup>25</sup>
	4.000	107.89	07/13/20	116.30	8.41 W	0.00	126 of 165 - Sale <sup>25</sup>
	4.000	107.90	07/13/20	117.00	9.10 W	0.00	127 of 165 - Sale <sup>25</sup>
	4.000	107.89	07/13/20	114.74	6.85 W	0.00	128 of 165 - Sale <sup>25</sup>
	9.000	242.76	07/13/20	283.44	40.68 W	0.00	129 of 165 - Sale <sup>25</sup>
	12.000	323.69	07/13/20	329.78	6.09 W	0.00	130 of 165 - Sale <sup>25</sup>
	2.000	53.94	07/13/20	54.56	0.62 W	0.00	131 of 165 - Sale <sup>25</sup>
	13.000	350.66	07/13/20	374.78	24.12 W	0.00	132 of 165 - Sale <sup>25</sup>
	1.000	27.05	07/13/20	29.80	2.75 W	0.00	133 of 165 - Sale <sup>25</sup>
	1.000	27.05	07/13/20	29.90	2.85 W	0.00	134 of 165 - Sale <sup>25</sup>
	1.000	27.05	07/13/20	32.55	5.50 W	0.00	135 of 165 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	1.000	27.05	07/13/20	32.24	5.19 W	0.00	136 of 165 - Sale <sup>25</sup>
	1.000	27.05	07/13/20	32.92	5.87 W	0.00	137 of 165 - Sale <sup>25</sup>
	1.000	27.05	07/13/20	33.35	6.30 W	0.00	138 of 165 - Sale <sup>25</sup>
	1.000	27.04	07/13/20	32.89	5.85 W	0.00	139 of 165 - Sale <sup>25</sup>
	2.000	54.10	07/13/20	63.44	9.34 W	0.00	140 of 165 - Sale <sup>25</sup>
	3.000	81.15	07/13/20	81.30	0.15 W	0.00	141 of 165 - Sale <sup>25</sup>
	3.000	81.15	07/13/20	86.35	5.20 W	0.00	142 of 165 - Sale <sup>25</sup>
	3.000	81.15	07/13/20	87.01	5.86 W	0.00	143 of 165 - Sale <sup>25</sup>
	3.000	81.15	07/13/20	97.64	16.49 W	0.00	144 of 165 - Sale <sup>25</sup>
	3.000	81.15	07/13/20	97.51	16.36 W	0.00	145 of 165 - Sale <sup>25</sup>
	3.000	81.15	07/13/20	95.20	14.05 W	0.00	146 of 165 - Sale <sup>25</sup>
	3.000	81.15	07/13/20	98.84	17.69 W	0.00	147 of 165 - Sale <sup>25</sup>
	4.000	108.20	07/13/20	124.83	16.63 W	0.00	148 of 165 - Sale <sup>25</sup>
	4.000	108.19	07/13/20	128.92	20.73 W	0.00	149 of 165 - Sale <sup>25</sup>
	5.000	135.25	07/13/20	151.38	16.13 W	0.00	150 of 165 - Sale <sup>25</sup>
	6.000	162.30	07/13/20	171.25	8.95 W	0.00	151 of 165 - Sale <sup>25</sup>
	5.000	135.25	07/13/20	136.40	1.15 W	0.00	152 of 165 - Sale <sup>25</sup>
	7.000	189.35	07/13/20	193.85	4.50 W	0.00	153 of 165 - Sale <sup>25</sup>
	7.000	189.34	07/13/20	199.01	9.67 W	0.00	154 of 165 - Sale <sup>25</sup>
	8.000	216.39	07/13/20	249.28	32.89 W	0.00	155 of 165 - Sale <sup>25</sup>
	12.000	324.59	07/13/20	338.91	14.32 W	0.00	156 of 165 - Sale <sup>25</sup>
	12.000	324.59	07/13/20	396.06	71.47 W	0.00	157 of 165 - Sale <sup>25</sup>
	6.000	160.92	07/13/20	170.16	9.24 W	0.00	158 of 165 - Sale <sup>25</sup>
	44.000	1,180.09	07/13/20	1,250.12	70.03 W	0.00	159 of 165 - Sale <sup>25</sup>
	2.000	52.72	07/13/20	59.78	7.06 W	0.00	160 of 165 - Sale <sup>25</sup>
	1.000	26.36	07/13/20	34.60	8.24 W	0.00	161 of 165 - Sale <sup>25</sup>
	3.000	79.08	07/13/20	98.63	19.55 W	0.00	162 of 165 - Sale <sup>25</sup>
	6.000	158.16	07/13/20	207.31	49.15 W	0.00	163 of 165 - Sale <sup>25</sup>
	5.000	131.79	07/13/20	136.22	4.43 W	0.00	164 of 165 - Sale <sup>25</sup>
	8.000	210.87	07/13/20	221.77	10.90 W	0.00	165 of 165 - Sale <sup>25</sup>
07/13/20	994.000	26,079.75	Various	29,417.53	3,337.78 W	0.00	Total of 165 transactions
	24 transactions for 07/14/20. Total proceeds and cost reported to the IRS.						
	1.000	26.14	07/13/20	32.04	5.90 W	0.00	1 of 24 - Sale <sup>25</sup>
	2.000	52.28	07/13/20	63.86	11.58 W	0.00	2 of 24 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	5.000	130.70	07/13/20	153.78	23.08 W	0.00	3 of 24 - Sale <sup>25</sup>
	6.000	156.83	07/13/20	185.06	28.23 W	0.00	4 of 24 - Sale <sup>25</sup>
	11.000	287.53	07/13/20	338.32	50.79 W	0.00	5 of 24 - Sale <sup>25</sup>
	1.000	26.14	07/13/20	33.09	6.95 W	0.00	6 of 24 - Sale <sup>25</sup>
	2.000	52.28	07/13/20	66.19	13.91 W	0.00	7 of 24 - Sale <sup>25</sup>
	47.000	1,228.54	07/13/20	1,399.28	170.74 W	0.00	8 of 24 - Sale <sup>25</sup>
	1.000	24.65	07/14/20	33.40	8.75 W	0.00	9 of 24 - Sale <sup>25</sup>
	1.000	24.65	07/14/20	33.15	8.50 W	0.00	10 of 24 - Sale <sup>25</sup>
	2.000	49.30	07/14/20	66.80	17.50 W	0.00	11 of 24 - Sale <sup>25</sup>
	12.000	295.79	07/14/20	398.69	102.90 W	0.00	12 of 24 - Sale <sup>25</sup>
	19.000	468.34	07/14/20	634.50	166.16 W	0.00	13 of 24 - Sale <sup>25</sup>
	1.000	24.65	07/14/20	33.44	8.79 W	0.00	14 of 24 - Sale <sup>25</sup>
	1.000	24.65	07/14/20	27.83	3.18 W	0.00	15 of 24 - Sale <sup>25</sup>
	2.000	49.30	07/14/20	66.86	17.56 W	0.00	16 of 24 - Sale <sup>25</sup>
	3.000	73.95	07/14/20	89.16	15.21 W	0.00	17 of 24 - Sale <sup>25</sup>
	5.000	123.25	07/14/20	136.04	12.79 W	0.00	18 of 24 - Sale <sup>25</sup>
	5.000	123.25	07/14/20	139.16	15.91 W	0.00	19 of 24 - Sale <sup>25</sup>
	5.000	123.25	07/14/20	151.35	28.10 W	0.00	20 of 24 - Sale <sup>25</sup>
	7.000	172.54	07/14/20	190.61	18.07 W	0.00	21 of 24 - Sale <sup>25</sup>
	7.000	172.54	07/14/20	207.16	34.62 W	0.00	22 of 24 - Sale <sup>25</sup>
	12.000	295.79	07/14/20	401.22	105.43 W	0.00	23 of 24 - Sale <sup>25</sup>
	17.000	419.03	07/14/20	508.14	89.11 W	0.00	24 of 24 - Sale <sup>25</sup>
07/14/20	175.000	4,425.37	Various	5,389.13	963.76 W	0.00	Total of 24 transactions
	10 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	2.000	49.20	07/15/20	65.70	16.50 W	0.00	1 of 10 - Sale <sup>25</sup>
	4.000	98.40	07/15/20	126.68	28.28 W	0.00	2 of 10 - Sale <sup>25</sup>
	6.000	147.60	07/15/20	189.26	41.66 W	0.00	3 of 10 - Sale <sup>25</sup>
	1.000	24.60	07/15/20	25.91	1.31 W	0.00	4 of 10 - Sale <sup>25</sup>
	5.000	123.00	07/15/20	131.36	8.36 W	0.00	5 of 10 - Sale <sup>25</sup>
	12.000	295.19	07/15/20	313.01	17.82 W	0.00	6 of 10 - Sale <sup>25</sup>
	2.000	49.20	07/15/20	52.17	2.97 W	0.00	7 of 10 - Sale <sup>25</sup>
	7.000	172.19	07/15/20	204.32	32.13 W	0.00	8 of 10 - Sale <sup>25</sup>
	5.000	123.00	07/15/20	132.78	9.78 W	0.00	9 of 10 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 527125207
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
07/15/20	6.000	147.59	07/15/20	159.09	11.50 W	0.00	10 of 10 - Sale <sup>25</sup>
	50.000	1,229.97	Various	1,400.28	170.31 W	0.00	Total of 10 transactions
<i>37 transactions for 07/17/20. Total proceeds and cost reported to the IRS.</i>							
	12.000	323.75	07/17/20	393.89	...	-70.14	1 of 37 - Sale <sup>25</sup>
	18.000	482.79	07/17/20	516.42	...	-33.63	2 of 37 - Sale <sup>25</sup>
	8.000	215.83	07/17/20	247.95	...	-32.12	3 of 37 - Sale <sup>25</sup>
	3.000	80.46	07/17/20	100.84	...	-20.38	4 of 37 - Sale <sup>25</sup>
	4.000	107.91	07/17/20	128.20	...	-20.29	5 of 37 - Sale <sup>25</sup>
	3.000	80.94	07/17/20	98.30	...	-17.36	6 of 37 - Sale <sup>25</sup>
	2.000	53.64	07/17/20	70.58	...	-16.94	7 of 37 - Sale <sup>25</sup>
	4.000	107.92	07/17/20	124.16	...	-16.24	8 of 37 - Sale <sup>25</sup>
	3.000	80.94	07/17/20	97.09	...	-16.15	9 of 37 - Sale <sup>25</sup>
	3.000	80.94	07/17/20	96.96	...	-16.02	10 of 37 - Sale <sup>25</sup>
	5.000	134.90	07/17/20	150.47	...	-15.57	11 of 37 - Sale <sup>25</sup>
	3.000	80.94	07/17/20	94.65	...	-13.71	12 of 37 - Sale <sup>25</sup>
	12.000	323.75	07/17/20	336.91	...	-13.16	13 of 37 - Sale <sup>25</sup>
	2.000	53.96	07/17/20	63.08	...	-9.12	14 of 37 - Sale <sup>25</sup>
	7.000	188.85	07/17/20	197.84	...	-8.99	15 of 37 - Sale <sup>25</sup>
	6.000	161.86	07/17/20	170.44	...	-8.58	16 of 37 - Sale <sup>25</sup>
	6.000	161.88	07/17/20	170.24	...	-8.36	17 of 37 - Sale <sup>25</sup>
	2.000	53.64	07/17/20	61.26	...	-7.62	18 of 37 - Sale <sup>25</sup>
	1.000	26.98	07/17/20	33.17	...	-6.19	19 of 37 - Sale <sup>25</sup>
	1.000	26.98	07/17/20	32.74	...	-5.76	20 of 37 - Sale <sup>25</sup>
	1.000	26.98	07/17/20	32.72	...	-5.74	21 of 37 - Sale <sup>25</sup>
	1.000	26.98	07/17/20	32.37	...	-5.39	22 of 37 - Sale <sup>25</sup>
	1.000	26.98	07/17/20	32.06	...	-5.08	23 of 37 - Sale <sup>25</sup>
	3.000	80.94	07/17/20	85.85	...	-4.91	24 of 37 - Sale <sup>25</sup>
	2.000	53.96	07/17/20	57.67	...	-3.71	25 of 37 - Sale <sup>25</sup>
	1.000	26.98	07/17/20	29.72	...	-2.74	26 of 37 - Sale <sup>25</sup>
	1.000	26.98	07/17/20	29.62	...	-2.64	27 of 37 - Sale <sup>25</sup>
	4.000	107.92	07/17/20	110.10	...	-2.18	28 of 37 - Sale <sup>25</sup>
	1.000	26.98	07/17/20	28.82	...	-1.84	29 of 37 - Sale <sup>25</sup>
	1.000	26.98	07/17/20	28.46	...	-1.48	30 of 37 - Sale <sup>25</sup>
	2.000	53.96	07/17/20	55.05	...	-1.09	31 of 37 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	5.000	134.89	07/17/20	135.69	...	-0.80	32 of 37 - Sale <sup>25</sup>
	1.000	26.98	07/17/20	27.52	...	-0.54	33 of 37 - Sale <sup>25</sup>
	2.000	53.96	07/17/20	54.43	...	-0.47	34 of 37 - Sale <sup>25</sup>
	2.000	53.95	07/17/20	53.91	...	0.04	35 of 37 - Sale <sup>25</sup>
	1.000	26.98	07/17/20	26.93	...	0.05	36 of 37 - Sale <sup>25</sup>
	9.000	242.81	07/17/20	240.28	...	2.53	37 of 37 - Sale <sup>25</sup>
07/17/20	143.000	3,854.07	Various	4,246.39	...	-392.32	Total of 37 transactions
	8 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	13.000	350.72	07/17/20	373.90	14.26 W	-8.92	1 of 8 - Sale <sup>25</sup>
	1.000	26.98	07/17/20	28.42	1.44 W	0.00	2 of 8 - Sale <sup>25</sup>
	1.000	26.98	07/17/20	28.46	1.48 W	0.00	3 of 8 - Sale <sup>25</sup>
	2.000	53.96	07/17/20	61.42	7.46 W	0.00	4 of 8 - Sale <sup>25</sup>
	4.000	107.92	07/17/20	113.17	5.25 W	0.00	5 of 8 - Sale <sup>25</sup>
	4.000	107.92	07/17/20	113.86	5.94 W	0.00	6 of 8 - Sale <sup>25</sup>
	4.000	107.92	07/17/20	111.61	3.69 W	0.00	7 of 8 - Sale <sup>25</sup>
	3.000	80.94	07/17/20	82.24	1.30 W	0.00	8 of 8 - Sale <sup>25</sup>
07/17/20	32.000	863.34	Various	913.08	40.82 W	-8.92	Total of 8 transactions
	2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.						
	20.000	526.33	07/17/20	573.79	47.46 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	125.40	07/17/20	143.45	18.05 W	0.00	2 of 2 - Sale <sup>25</sup>
07/20/20	25.000	651.73	Various	717.24	65.51 W	0.00	Total of 2 transactions
	7 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	4.000	107.72	07/21/20	128.17	20.45 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.000	26.93	07/21/20	35.67	8.74 W	0.00	2 of 7 - Sale <sup>25</sup>
	4.000	107.72	07/21/20	142.48	34.76 W	0.00	3 of 7 - Sale <sup>25</sup>
	5.000	134.64	07/21/20	141.57	6.93 W	0.00	4 of 7 - Sale <sup>25</sup>
	8.000	215.43	07/21/20	230.33	14.90 W	0.00	5 of 7 - Sale <sup>25</sup>
	1.000	26.93	07/21/20	31.89	4.96 W	0.00	6 of 7 - Sale <sup>25</sup>
	2.000	53.86	07/21/20	63.78	9.92 W	0.00	7 of 7 - Sale <sup>25</sup>
07/21/20	25.000	673.23	Various	773.89	100.66 W	0.00	Total of 7 transactions
	11 transactions for 07/24/20. Total proceeds and cost reported to the IRS.						
	19.000	420.65	07/24/20	587.39	...	-166.74	1 of 11 - Sale <sup>25</sup>
	12.000	265.67	07/24/20	371.46	...	-105.79	2 of 11 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	12.000	265.67	07/24/20	368.94	...	-103.27	3 of 11 - Sale <sup>25</sup>
	7.000	154.98	07/24/20	173.26	...	-18.28	4 of 11 - Sale <sup>25</sup>
	2.000	44.28	07/24/20	61.90	...	-17.62	5 of 11 - Sale <sup>25</sup>
	2.000	44.28	07/24/20	61.84	...	-17.56	6 of 11 - Sale <sup>25</sup>
	2.000	44.28	07/24/20	58.25	...	-13.97	7 of 11 - Sale <sup>25</sup>
	1.000	22.14	07/24/20	30.96	...	-8.82	8 of 11 - Sale <sup>25</sup>
	1.000	22.14	07/24/20	30.92	...	-8.78	9 of 11 - Sale <sup>25</sup>
	1.000	22.14	07/24/20	30.67	...	-8.53	10 of 11 - Sale <sup>25</sup>
	1.000	22.14	07/24/20	29.12	...	-6.98	11 of 11 - Sale <sup>25</sup>
07/24/20	60.000	1,328.37	Various	1,804.71	...	-476.34	Total of 11 transactions
	5 transactions for 07/24/20. Total proceeds and cost reported to the IRS.						
	47.000	1,040.56	07/24/20	1,212.73	117.22 W	-54.95	1 of 5 - Sale <sup>25</sup>
	1.000	22.14	07/24/20	28.07	5.93 W	0.00	2 of 5 - Sale <sup>25</sup>
	2.000	44.28	07/24/20	55.92	11.64 W	0.00	3 of 5 - Sale <sup>25</sup>
	6.000	132.84	07/24/20	161.25	28.41 W	0.00	4 of 5 - Sale <sup>25</sup>
	9.000	199.26	07/24/20	241.09	41.83 W	0.00	5 of 5 - Sale <sup>25</sup>
07/24/20	65.000	1,439.08	Various	1,699.06	205.03 W	-54.95	Total of 5 transactions
	8 transactions for 07/29/20. Total proceeds and cost reported to the IRS.						
	3.000	59.10	07/29/20	67.04	7.94 W	0.00	1 of 8 - Sale <sup>25</sup>
	1.000	19.70	07/29/20	22.97	3.27 W	0.00	2 of 8 - Sale <sup>25</sup>
	2.000	39.40	07/29/20	44.70	5.30 W	0.00	3 of 8 - Sale <sup>25</sup>
	5.000	98.50	07/29/20	114.86	16.36 W	0.00	4 of 8 - Sale <sup>25</sup>
	7.000	137.90	07/29/20	173.15	35.25 W	0.00	5 of 8 - Sale <sup>25</sup>
	3.000	59.10	07/29/20	74.58	15.48 W	0.00	6 of 8 - Sale <sup>25</sup>
	2.000	39.40	07/29/20	50.82	11.42 W	0.00	7 of 8 - Sale <sup>25</sup>
	2.000	39.40	07/29/20	50.82	11.42 W	0.00	8 of 8 - Sale <sup>25</sup>
07/29/20	25.000	492.50	Various	598.94	106.44 W	0.00	Total of 8 transactions
	9 transactions for 07/31/20. Total proceeds and cost reported to the IRS.						
	2.000	40.38	07/31/20	57.92	17.54 W	0.00	1 of 9 - Sale <sup>25</sup>
	4.000	80.76	07/31/20	111.12	30.36 W	0.00	2 of 9 - Sale <sup>25</sup>
	6.000	121.14	07/31/20	165.92	44.78 W	0.00	3 of 9 - Sale <sup>25</sup>
	1.000	20.19	07/31/20	26.33	6.14 W	0.00	4 of 9 - Sale <sup>25</sup>
	1.000	20.19	07/31/20	22.02	1.83 W	0.00	5 of 9 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	2.000	40.38	07/31/20	44.39	4.01 W	0.00	6 of 9 - Sale <sup>25</sup>
	5.000	100.94	07/31/20	111.91	10.97 W	0.00	7 of 9 - Sale <sup>25</sup>
	12.000	242.27	07/31/20	266.34	24.07 W	0.00	8 of 9 - Sale <sup>25</sup>
	17.000	343.22	07/31/20	441.18	97.96 W	0.00	9 of 9 - Sale <sup>25</sup>
07/31/20	50.000	1,009.47	Various	1,247.13	237.66 W	0.00	Total of 9 transactions
	12 transactions for 08/06/20. Total proceeds and cost reported to the IRS.						
	1.000	20.72	08/05/20	23.17	2.45 W	0.00	1 of 12 - Sale <sup>25</sup>
	1.000	20.72	08/05/20	23.21	2.49 W	0.00	2 of 12 - Sale <sup>25</sup>
	2.000	41.45	08/05/20	50.92	9.47 W	0.00	3 of 12 - Sale <sup>25</sup>
	3.000	62.17	08/05/20	66.48	4.31 W	0.00	4 of 12 - Sale <sup>25</sup>
	4.000	82.89	08/05/20	92.16	9.27 W	0.00	5 of 12 - Sale <sup>25</sup>
	4.000	82.89	08/05/20	92.85	9.96 W	0.00	6 of 12 - Sale <sup>25</sup>
	4.000	82.89	08/05/20	90.60	7.71 W	0.00	7 of 12 - Sale <sup>25</sup>
	7.000	145.06	08/05/20	184.23	39.17 W	0.00	8 of 12 - Sale <sup>25</sup>
	3.000	62.17	08/05/20	70.53	8.36 W	0.00	9 of 12 - Sale <sup>25</sup>
	5.000	103.61	08/05/20	118.42	14.81 W	0.00	10 of 12 - Sale <sup>25</sup>
	6.000	124.34	08/05/20	141.87	17.53 W	0.00	11 of 12 - Sale <sup>25</sup>
	5.000	103.61	08/05/20	118.31	14.70 W	0.00	12 of 12 - Sale <sup>25</sup>
08/06/20	45.000	932.52	Various	1,072.75	140.23 W	0.00	Total of 12 transactions
	14 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	1.000	14.30	08/18/20	19.37	5.07 W	0.00	1 of 14 - Sale <sup>25</sup>
	1.000	14.30	08/18/20	20.34	6.04 W	0.00	2 of 14 - Sale <sup>25</sup>
	2.000	28.61	08/18/20	38.74	10.13 W	0.00	3 of 14 - Sale <sup>25</sup>
	2.000	28.61	08/18/20	40.46	11.85 W	0.00	4 of 14 - Sale <sup>25</sup>
	4.000	57.22	08/18/20	78.09	20.87 W	0.00	5 of 14 - Sale <sup>25</sup>
	5.000	71.53	08/18/20	90.10	18.57 W	0.00	6 of 14 - Sale <sup>25</sup>
	6.000	85.83	08/18/20	114.87	29.04 W	0.00	7 of 14 - Sale <sup>25</sup>
	9.000	128.75	08/18/20	171.52	42.77 W	0.00	8 of 14 - Sale <sup>25</sup>
	20.000	286.10	08/18/20	335.66	49.56 W	0.00	9 of 14 - Sale <sup>25</sup>
	32.000	457.76	08/18/20	578.33	120.57 W	0.00	10 of 14 - Sale <sup>25</sup>
	1.000	14.31	08/18/20	23.15	8.84 W	0.00	11 of 14 - Sale <sup>25</sup>
	4.000	57.22	08/18/20	92.40	35.18 W	0.00	12 of 14 - Sale <sup>25</sup>
	5.000	71.52	08/18/20	78.98	7.46 W	0.00	13 of 14 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
08/18/20	8.000	114.44	08/18/20	130.18	15.74 W	0.00	14 of 14 - Sale <sup>25</sup>
	100.000	1,430.50	Various	1,812.19	381.69 W	0.00	Total of 14 transactions
	22 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	28.000	340.18	08/24/20	446.54	...	-106.36	1 of 22 - Sale <sup>25</sup>
	9.000	109.35	08/24/20	152.39	...	-43.04	2 of 22 - Sale <sup>25</sup>
	15.000	182.24	08/24/20	219.87	...	-37.63	3 of 22 - Sale <sup>25</sup>
	4.000	48.60	08/24/20	83.90	...	-35.30	4 of 22 - Sale <sup>25</sup>
	6.000	72.90	08/24/20	102.12	...	-29.22	5 of 22 - Sale <sup>25</sup>
	5.000	60.75	08/24/20	89.71	...	-28.96	6 of 22 - Sale <sup>25</sup>
	12.000	145.80	08/24/20	170.23	...	-24.43	7 of 22 - Sale <sup>25</sup>
	4.000	48.60	08/24/20	69.59	...	-20.99	8 of 22 - Sale <sup>25</sup>
	5.000	60.75	08/24/20	79.47	...	-18.72	9 of 22 - Sale <sup>25</sup>
	8.000	97.20	08/24/20	113.18	...	-15.98	10 of 22 - Sale <sup>25</sup>
	4.000	48.60	08/24/20	63.79	...	-15.19	11 of 22 - Sale <sup>25</sup>
	2.000	24.30	08/24/20	36.21	...	-11.91	12 of 22 - Sale <sup>25</sup>
	5.000	60.75	08/24/20	71.87	...	-11.12	13 of 22 - Sale <sup>25</sup>
	2.000	24.30	08/24/20	34.49	...	-10.19	14 of 22 - Sale <sup>25</sup>
	1.000	12.15	08/24/20	21.02	...	-8.87	15 of 22 - Sale <sup>25</sup>
	3.000	36.45	08/24/20	44.45	...	-8.00	16 of 22 - Sale <sup>25</sup>
	5.000	60.74	08/24/20	68.36	...	-7.62	17 of 22 - Sale <sup>25</sup>
	1.000	12.15	08/24/20	18.22	...	-6.07	18 of 22 - Sale <sup>25</sup>
	2.000	24.30	08/24/20	29.64	...	-5.34	19 of 22 - Sale <sup>25</sup>
	1.000	12.15	08/24/20	17.25	...	-5.10	20 of 22 - Sale <sup>25</sup>
	2.000	24.30	08/24/20	28.37	...	-4.07	21 of 22 - Sale <sup>25</sup>
	1.000	12.15	08/24/20	14.01	...	-1.86	22 of 22 - Sale <sup>25</sup>
08/24/20	125.000	1,518.71	Various	1,974.68	...	-455.97	Total of 22 transactions
	26 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	12.000	145.79	08/24/20	215.31	57.93 W	-11.59	1 of 26 - Sale <sup>25</sup>
	2.000	24.30	08/24/20	29.58	5.28 W	0.00	2 of 26 - Sale <sup>25</sup>
	3.000	36.45	08/24/20	44.36	7.91 W	0.00	3 of 26 - Sale <sup>25</sup>
	1.000	12.15	08/24/20	15.41	3.26 W	0.00	4 of 26 - Sale <sup>25</sup>
	2.000	24.30	08/24/20	35.70	11.40 W	0.00	5 of 26 - Sale <sup>25</sup>
	2.000	24.30	08/24/20	35.70	11.40 W	0.00	6 of 26 - Sale <sup>25</sup>
	3.000	36.45	08/24/20	51.90	15.45 W	0.00	7 of 26 - Sale <sup>25</sup>

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	5.000	60.75	08/24/20	77.06	16.31 W	0.00	8 of 26 - Sale <sup>25</sup>
	7.000	85.05	08/24/20	120.23	35.18 W	0.00	9 of 26 - Sale <sup>25</sup>
	1.000	12.15	08/24/20	14.63	2.48 W	0.00	10 of 26 - Sale <sup>25</sup>
	1.000	12.15	08/24/20	14.67	2.52 W	0.00	11 of 26 - Sale <sup>25</sup>
	2.000	24.30	08/24/20	33.83	9.53 W	0.00	12 of 26 - Sale <sup>25</sup>
	3.000	36.45	08/24/20	40.85	4.40 W	0.00	13 of 26 - Sale <sup>25</sup>
	4.000	48.60	08/24/20	57.99	9.39 W	0.00	14 of 26 - Sale <sup>25</sup>
	4.000	48.60	08/24/20	58.68	10.08 W	0.00	15 of 26 - Sale <sup>25</sup>
	4.000	48.60	08/24/20	56.43	7.83 W	0.00	16 of 26 - Sale <sup>25</sup>
	7.000	85.05	08/24/20	124.43	39.38 W	0.00	17 of 26 - Sale <sup>25</sup>
	5.000	60.75	08/24/20	73.29	12.54 W	0.00	18 of 26 - Sale <sup>25</sup>
	2.000	24.30	08/24/20	41.90	17.60 W	0.00	19 of 26 - Sale <sup>25</sup>
	3.000	36.45	08/24/20	44.90	8.45 W	0.00	20 of 26 - Sale <sup>25</sup>
	4.000	48.60	08/24/20	79.08	30.48 W	0.00	21 of 26 - Sale <sup>25</sup>
	5.000	60.75	08/24/20	75.71	14.96 W	0.00	22 of 26 - Sale <sup>25</sup>
	5.000	60.74	08/24/20	75.60	14.86 W	0.00	23 of 26 - Sale <sup>25</sup>
	6.000	72.89	08/24/20	117.86	44.97 W	0.00	24 of 26 - Sale <sup>25</sup>
	6.000	72.89	08/24/20	90.61	17.72 W	0.00	25 of 26 - Sale <sup>25</sup>
	1.000	12.15	08/24/20	18.32	6.17 W	0.00	26 of 26 - Sale <sup>25</sup>
08/24/20	100.000	1,214.96	Various	1,644.03	417.48 W	-11.59	Total of 26 transactions
28 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	2.000	33.42	09/16/20	42.89	9.47 W	0.00	1 of 28 - Sale <sup>25</sup>
	4.000	66.84	09/16/20	95.22	28.38 W	0.00	2 of 28 - Sale <sup>25</sup>
	1.000	16.71	09/16/20	21.44	4.73 W	0.00	3 of 28 - Sale <sup>25</sup>
	2.000	33.42	09/16/20	47.76	14.34 W	0.00	4 of 28 - Sale <sup>25</sup>
	2.000	33.42	09/16/20	47.76	14.34 W	0.00	5 of 28 - Sale <sup>25</sup>
	3.000	50.13	09/16/20	69.99	19.86 W	0.00	6 of 28 - Sale <sup>25</sup>
	7.000	116.97	09/16/20	162.44	45.47 W	0.00	7 of 28 - Sale <sup>25</sup>
	1.000	16.71	09/16/20	21.44	4.73 W	0.00	8 of 28 - Sale <sup>25</sup>
	2.000	33.42	09/16/20	42.88	9.46 W	0.00	9 of 28 - Sale <sup>25</sup>
	1.000	16.71	09/16/20	20.70	3.99 W	0.00	10 of 28 - Sale <sup>25</sup>
	1.000	16.71	09/16/20	20.74	4.03 W	0.00	11 of 28 - Sale <sup>25</sup>
	2.000	33.42	09/16/20	45.97	12.55 W	0.00	12 of 28 - Sale <sup>25</sup>
	3.000	50.13	09/16/20	71.54	21.41 W	0.00	13 of 28 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	3.000	50.13	09/16/20	59.06	8.93 W	0.00	14 of 28 - Sale <sup>25</sup>
	4.000	66.84	09/16/20	82.27	15.43 W	0.00	15 of 28 - Sale <sup>25</sup>
	4.000	66.84	09/16/20	82.96	16.12 W	0.00	16 of 28 - Sale <sup>25</sup>
	4.000	66.84	09/16/20	80.71	13.87 W	0.00	17 of 28 - Sale <sup>25</sup>
	5.000	83.56	09/16/20	103.64	20.08 W	0.00	18 of 28 - Sale <sup>25</sup>
	2.000	33.42	09/16/20	54.04	20.62 W	0.00	19 of 28 - Sale <sup>25</sup>
	3.000	50.13	09/16/20	63.11	12.98 W	0.00	20 of 28 - Sale <sup>25</sup>
	4.000	66.84	09/16/20	103.36	36.52 W	0.00	21 of 28 - Sale <sup>25</sup>
	5.000	83.55	09/16/20	106.06	22.51 W	0.00	22 of 28 - Sale <sup>25</sup>
	5.000	83.56	09/16/20	105.96	22.40 W	0.00	23 of 28 - Sale <sup>25</sup>
	6.000	100.27	09/16/20	154.29	54.02 W	0.00	24 of 28 - Sale <sup>25</sup>
	6.000	100.27	09/16/20	127.04	26.77 W	0.00	25 of 28 - Sale <sup>25</sup>
	1.000	16.71	09/16/20	24.39	7.68 W	0.00	26 of 28 - Sale <sup>25</sup>
	7.000	116.98	09/16/20	168.09	51.11 W	0.00	27 of 28 - Sale <sup>25</sup>
	3.000	50.13	09/16/20	72.04	21.91 W	0.00	28 of 28 - Sale <sup>25</sup>
09/17/20	93.000	1,554.08	Various	2,097.79	543.71 W	0.00	Total of 28 transactions
	10 transactions for 09/25/20. Total proceeds and cost reported to the IRS.						
	1.000	16.58	09/25/20	23.49	6.91 W	0.00	1 of 10 - Sale <sup>25</sup>
	4.000	66.33	09/25/20	93.78	27.45 W	0.00	2 of 10 - Sale <sup>25</sup>
	1.000	16.58	09/25/20	21.08	4.50 W	0.00	3 of 10 - Sale <sup>25</sup>
	1.000	16.58	09/25/20	21.08	4.50 W	0.00	4 of 10 - Sale <sup>25</sup>
	2.000	33.16	09/25/20	42.16	9.00 W	0.00	5 of 10 - Sale <sup>25</sup>
	2.000	33.17	09/25/20	42.17	9.00 W	0.00	6 of 10 - Sale <sup>25</sup>
	2.000	33.16	09/25/20	47.04	13.88 W	0.00	7 of 10 - Sale <sup>25</sup>
	2.000	33.17	09/25/20	47.04	13.87 W	0.00	8 of 10 - Sale <sup>25</sup>
	3.000	49.75	09/25/20	68.91	19.16 W	0.00	9 of 10 - Sale <sup>25</sup>
	7.000	116.08	09/25/20	159.92	43.84 W	0.00	10 of 10 - Sale <sup>25</sup>
09/25/20	25.000	414.56	Various	566.67	152.11 W	0.00	Total of 10 transactions
	2 transactions for 09/28/20. Total proceeds and cost reported to the IRS.						
	5.000	66.60	09/28/20	64.38	...	2.22	1 of 2 - Sale <sup>25</sup>
	32.000	426.22	09/28/20	412.80	...	13.42	2 of 2 - Sale <sup>25</sup>
09/28/20	37.000	492.82	Various	477.18	...	15.64	Total of 2 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
<i>154 transactions for 09/28/20. Total proceeds and cost reported to the IRS.</i>							
2.000		25.74	09/28/20	40.07	14.33 W	0.00	1 of 154 - Sale <sup>25</sup>
1.000		13.32	09/28/20	16.89	3.57 W	0.00	2 of 154 - Sale <sup>25</sup>
1.000		13.32	09/28/20	16.93	3.61 W	0.00	3 of 154 - Sale <sup>25</sup>
1.000		13.32	09/28/20	19.81	6.49 W	0.00	4 of 154 - Sale <sup>25</sup>
2.000		26.64	09/28/20	38.35	11.71 W	0.00	5 of 154 - Sale <sup>25</sup>
3.000		39.96	09/28/20	47.63	7.67 W	0.00	6 of 154 - Sale <sup>25</sup>
4.000		53.28	09/28/20	67.03	13.75 W	0.00	7 of 154 - Sale <sup>25</sup>
4.000		53.28	09/28/20	67.72	14.44 W	0.00	8 of 154 - Sale <sup>25</sup>
4.000		53.28	09/28/20	65.47	12.19 W	0.00	9 of 154 - Sale <sup>25</sup>
4.000		53.28	09/28/20	79.05	25.77 W	0.00	10 of 154 - Sale <sup>25</sup>
5.000		66.60	09/28/20	84.58	17.98 W	0.00	11 of 154 - Sale <sup>25</sup>
2.000		26.64	09/28/20	46.42	19.78 W	0.00	12 of 154 - Sale <sup>25</sup>
3.000		39.96	09/28/20	51.68	11.72 W	0.00	13 of 154 - Sale <sup>25</sup>
4.000		53.28	09/28/20	88.12	34.84 W	0.00	14 of 154 - Sale <sup>25</sup>
5.000		66.60	09/28/20	87.01	20.41 W	0.00	15 of 154 - Sale <sup>25</sup>
5.000		66.60	09/28/20	86.90	20.30 W	0.00	16 of 154 - Sale <sup>25</sup>
6.000		79.92	09/28/20	131.42	51.50 W	0.00	17 of 154 - Sale <sup>25</sup>
6.000		79.92	09/28/20	104.17	24.25 W	0.00	18 of 154 - Sale <sup>25</sup>
1.000		13.32	09/28/20	20.58	7.26 W	0.00	19 of 154 - Sale <sup>25</sup>
1.000		13.32	09/28/20	17.40	4.08 W	0.00	20 of 154 - Sale <sup>25</sup>
1.000		13.32	09/28/20	17.40	4.08 W	0.00	21 of 154 - Sale <sup>25</sup>
2.000		26.64	09/28/20	34.80	8.16 W	0.00	22 of 154 - Sale <sup>25</sup>
2.000		26.64	09/28/20	34.80	8.16 W	0.00	23 of 154 - Sale <sup>25</sup>
2.000		26.64	09/28/20	39.68	13.04 W	0.00	24 of 154 - Sale <sup>25</sup>
2.000		26.64	09/28/20	39.67	13.03 W	0.00	25 of 154 - Sale <sup>25</sup>
3.000		39.96	09/28/20	60.61	20.65 W	0.00	26 of 154 - Sale <sup>25</sup>
3.000		39.96	09/28/20	57.86	17.90 W	0.00	27 of 154 - Sale <sup>25</sup>
7.000		93.24	09/28/20	141.41	48.17 W	0.00	28 of 154 - Sale <sup>25</sup>
7.000		93.24	09/28/20	134.14	40.90 W	0.00	29 of 154 - Sale <sup>25</sup>
2.000		26.64	09/28/20	40.08	13.44 W	0.00	30 of 154 - Sale <sup>25</sup>
170.000		2,264.31	09/28/20	2,312.00	47.69 W	0.00	31 of 154 - Sale <sup>25</sup>
8.000		106.56	09/28/20	108.46	1.90 W	0.00	32 of 154 - Sale <sup>25</sup>
75.000		998.95	09/28/20	1,016.25	17.30 W	0.00	33 of 154 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	17.000	226.43	09/28/20	230.35	3.92 W	0.00	34 of 154 - Sale <sup>25</sup>
	1.000	13.10	09/28/20	16.87	3.77 W	0.00	35 of 154 - Sale <sup>25</sup>
	1.000	13.10	09/28/20	16.91	3.81 W	0.00	36 of 154 - Sale <sup>25</sup>
	1.000	13.10	09/28/20	16.91	3.81 W	0.00	37 of 154 - Sale <sup>25</sup>
	2.000	26.20	09/28/20	38.31	12.11 W	0.00	38 of 154 - Sale <sup>25</sup>
	4.000	52.40	09/28/20	66.95	14.55 W	0.00	39 of 154 - Sale <sup>25</sup>
	1.000	13.10	09/28/20	19.79	6.69 W	0.00	40 of 154 - Sale <sup>25</sup>
	1.000	13.10	09/28/20	17.07	3.97 W	0.00	41 of 154 - Sale <sup>25</sup>
	1.000	13.10	09/28/20	17.11	4.01 W	0.00	42 of 154 - Sale <sup>25</sup>
	1.000	13.10	09/28/20	17.11	4.01 W	0.00	43 of 154 - Sale <sup>25</sup>
	2.000	26.20	09/28/20	40.04	13.84 W	0.00	44 of 154 - Sale <sup>25</sup>
	2.000	26.20	09/28/20	38.71	12.51 W	0.00	45 of 154 - Sale <sup>25</sup>
	3.000	39.30	09/28/20	50.73	11.43 W	0.00	46 of 154 - Sale <sup>25</sup>
	3.000	39.30	09/28/20	47.57	8.27 W	0.00	47 of 154 - Sale <sup>25</sup>
	4.000	52.40	09/28/20	65.39	12.99 W	0.00	48 of 154 - Sale <sup>25</sup>
	4.000	52.40	09/28/20	78.97	26.57 W	0.00	49 of 154 - Sale <sup>25</sup>
	4.000	52.40	09/28/20	67.75	15.35 W	0.00	50 of 154 - Sale <sup>25</sup>
	5.000	65.50	09/28/20	84.48	18.98 W	0.00	51 of 154 - Sale <sup>25</sup>
	2.000	26.20	09/28/20	46.38	20.18 W	0.00	52 of 154 - Sale <sup>25</sup>
	3.000	39.30	09/28/20	51.62	12.32 W	0.00	53 of 154 - Sale <sup>25</sup>
	4.000	52.40	09/28/20	88.04	35.64 W	0.00	54 of 154 - Sale <sup>25</sup>
	5.000	65.50	09/28/20	86.91	21.41 W	0.00	55 of 154 - Sale <sup>25</sup>
	5.000	65.50	09/28/20	86.80	21.30 W	0.00	56 of 154 - Sale <sup>25</sup>
	6.000	78.60	09/28/20	131.30	52.70 W	0.00	57 of 154 - Sale <sup>25</sup>
	6.000	78.60	09/28/20	104.05	25.45 W	0.00	58 of 154 - Sale <sup>25</sup>
	1.000	13.10	09/28/20	20.56	7.46 W	0.00	59 of 154 - Sale <sup>25</sup>
	1.000	13.10	09/28/20	17.38	4.28 W	0.00	60 of 154 - Sale <sup>25</sup>
	1.000	13.10	09/28/20	17.38	4.28 W	0.00	61 of 154 - Sale <sup>25</sup>
	2.000	26.20	09/28/20	34.76	8.56 W	0.00	62 of 154 - Sale <sup>25</sup>
	2.000	26.20	09/28/20	34.76	8.56 W	0.00	63 of 154 - Sale <sup>25</sup>
	2.000	26.20	09/28/20	39.64	13.44 W	0.00	64 of 154 - Sale <sup>25</sup>
	2.000	26.20	09/28/20	39.63	13.43 W	0.00	65 of 154 - Sale <sup>25</sup>
	3.000	39.30	09/28/20	60.55	21.25 W	0.00	66 of 154 - Sale <sup>25</sup>
	3.000	39.30	09/28/20	57.80	18.50 W	0.00	67 of 154 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	7.000	91.70	09/28/20	141.27	49.57 W	0.00	68 of 154 - Sale <sup>25</sup>
	7.000	91.70	09/28/20	134.00	42.30 W	0.00	69 of 154 - Sale <sup>25</sup>
	8.000	104.80	09/28/20	108.30	3.50 W	0.00	70 of 154 - Sale <sup>25</sup>
	17.000	222.69	09/28/20	230.02	7.33 W	0.00	71 of 154 - Sale <sup>25</sup>
	75.000	982.46	09/28/20	1,014.80	32.34 W	0.00	72 of 154 - Sale <sup>25</sup>
	170.000	2,226.90	09/28/20	2,308.69	81.79 W	0.00	73 of 154 - Sale <sup>25</sup>
	28.000	366.78	09/28/20	372.40	5.62 W	0.00	74 of 154 - Sale <sup>25</sup>
	1.000	12.50	09/28/20	19.79	7.29 W	0.00	75 of 154 - Sale <sup>25</sup>
	1.000	12.50	09/28/20	17.07	4.57 W	0.00	76 of 154 - Sale <sup>25</sup>
	1.000	12.50	09/28/20	17.11	4.61 W	0.00	77 of 154 - Sale <sup>25</sup>
	1.000	12.50	09/28/20	17.11	4.61 W	0.00	78 of 154 - Sale <sup>25</sup>
	2.000	25.00	09/28/20	40.04	15.04 W	0.00	79 of 154 - Sale <sup>25</sup>
	2.000	25.00	09/28/20	38.71	13.71 W	0.00	80 of 154 - Sale <sup>25</sup>
	3.000	37.51	09/28/20	50.73	13.22 W	0.00	81 of 154 - Sale <sup>25</sup>
	3.000	37.51	09/28/20	47.57	10.06 W	0.00	82 of 154 - Sale <sup>25</sup>
	4.000	50.01	09/28/20	65.39	15.38 W	0.00	83 of 154 - Sale <sup>25</sup>
	4.000	50.01	09/28/20	78.97	28.96 W	0.00	84 of 154 - Sale <sup>25</sup>
	4.000	50.01	09/28/20	67.75	17.74 W	0.00	85 of 154 - Sale <sup>25</sup>
	5.000	62.51	09/28/20	84.48	21.97 W	0.00	86 of 154 - Sale <sup>25</sup>
	2.000	25.00	09/28/20	46.38	21.38 W	0.00	87 of 154 - Sale <sup>25</sup>
	3.000	37.51	09/28/20	51.62	14.11 W	0.00	88 of 154 - Sale <sup>25</sup>
	4.000	50.01	09/28/20	88.04	38.03 W	0.00	89 of 154 - Sale <sup>25</sup>
	5.000	62.51	09/28/20	86.91	24.40 W	0.00	90 of 154 - Sale <sup>25</sup>
	5.000	62.51	09/28/20	86.80	24.29 W	0.00	91 of 154 - Sale <sup>25</sup>
	6.000	75.01	09/28/20	131.30	56.29 W	0.00	92 of 154 - Sale <sup>25</sup>
	6.000	75.01	09/28/20	104.05	29.04 W	0.00	93 of 154 - Sale <sup>25</sup>
	1.000	12.50	09/28/20	20.56	8.06 W	0.00	94 of 154 - Sale <sup>25</sup>
	1.000	12.50	09/28/20	17.38	4.88 W	0.00	95 of 154 - Sale <sup>25</sup>
	1.000	12.50	09/28/20	17.38	4.88 W	0.00	96 of 154 - Sale <sup>25</sup>
	2.000	25.00	09/28/20	34.76	9.76 W	0.00	97 of 154 - Sale <sup>25</sup>
	2.000	25.00	09/28/20	34.76	9.76 W	0.00	98 of 154 - Sale <sup>25</sup>
	2.000	25.00	09/28/20	39.64	14.64 W	0.00	99 of 154 - Sale <sup>25</sup>
	2.000	25.00	09/28/20	39.63	14.63 W	0.00	100 of 154 - Sale <sup>25</sup>
	3.000	37.51	09/28/20	60.55	23.04 W	0.00	101 of 154 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	3.000	37.51	09/28/20	57.80	20.29 W	0.00	102 of 154 - Sale <sup>25</sup>
	7.000	87.52	09/28/20	141.27	53.75 W	0.00	103 of 154 - Sale <sup>25</sup>
	7.000	87.52	09/28/20	134.00	46.48 W	0.00	104 of 154 - Sale <sup>25</sup>
	7.000	87.52	09/28/20	95.07	7.55 W	0.00	105 of 154 - Sale <sup>25</sup>
	100.000	1,250.25	09/28/20	1,358.11	107.86 W	0.00	106 of 154 - Sale <sup>25</sup>
	8.000	100.02	09/28/20	108.30	8.28 W	0.00	107 of 154 - Sale <sup>25</sup>
	29.000	362.57	09/28/20	392.40	29.83 W	0.00	108 of 154 - Sale <sup>25</sup>
	63.000	787.66	09/28/20	855.61	67.95 W	0.00	109 of 154 - Sale <sup>25</sup>
	17.000	212.54	09/28/20	230.03	17.49 W	0.00	110 of 154 - Sale <sup>25</sup>
	28.000	350.08	09/28/20	372.42	22.34 W	0.00	111 of 154 - Sale <sup>25</sup>
	46.000	575.11	09/28/20	622.44	47.33 W	0.00	112 of 154 - Sale <sup>25</sup>
	9.000	112.52	09/28/20	117.90	5.38 W	0.00	113 of 154 - Sale <sup>25</sup>
	1.000	12.29	09/28/20	16.02	3.73 W	0.00	114 of 154 - Sale <sup>25</sup>
	3.000	36.87	09/28/20	51.25	14.38 W	0.00	115 of 154 - Sale <sup>25</sup>
	4.000	49.16	09/28/20	66.08	16.92 W	0.00	116 of 154 - Sale <sup>25</sup>
	1.000	12.29	09/28/20	19.97	7.68 W	0.00	117 of 154 - Sale <sup>25</sup>
	1.000	12.29	09/28/20	17.25	4.96 W	0.00	118 of 154 - Sale <sup>25</sup>
	1.000	12.29	09/28/20	17.29	5.00 W	0.00	119 of 154 - Sale <sup>25</sup>
	1.000	12.29	09/28/20	17.29	5.00 W	0.00	120 of 154 - Sale <sup>25</sup>
	2.000	24.58	09/28/20	32.07	7.49 W	0.00	121 of 154 - Sale <sup>25</sup>
	2.000	24.58	09/28/20	40.40	15.82 W	0.00	122 of 154 - Sale <sup>25</sup>
	2.000	24.58	09/28/20	39.07	14.49 W	0.00	123 of 154 - Sale <sup>25</sup>
	4.000	49.16	09/28/20	79.68	30.52 W	0.00	124 of 154 - Sale <sup>25</sup>
	4.000	49.16	09/28/20	68.46	19.30 W	0.00	125 of 154 - Sale <sup>25</sup>
	5.000	61.45	09/28/20	85.37	23.92 W	0.00	126 of 154 - Sale <sup>25</sup>
	2.000	24.58	09/28/20	46.74	22.16 W	0.00	127 of 154 - Sale <sup>25</sup>
	4.000	49.16	09/28/20	88.75	39.59 W	0.00	128 of 154 - Sale <sup>25</sup>
	6.000	73.73	09/28/20	132.37	58.64 W	0.00	129 of 154 - Sale <sup>25</sup>
	4.000	49.15	09/28/20	70.08	20.93 W	0.00	130 of 154 - Sale <sup>25</sup>
	3.000	36.87	09/28/20	52.15	15.28 W	0.00	131 of 154 - Sale <sup>25</sup>
	5.000	61.45	09/28/20	87.80	26.35 W	0.00	132 of 154 - Sale <sup>25</sup>
	5.000	61.45	09/28/20	87.69	26.24 W	0.00	133 of 154 - Sale <sup>25</sup>
	2.000	24.58	09/28/20	35.04	10.46 W	0.00	134 of 154 - Sale <sup>25</sup>
	1.000	12.29	09/28/20	20.74	8.45 W	0.00	135 of 154 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	1.000	12.29	09/28/20	17.56	5.27 W	0.00	136 of 154 - Sale <sup>25</sup>
	1.000	12.29	09/28/20	17.56	5.27 W	0.00	137 of 154 - Sale <sup>25</sup>
	2.000	24.58	09/28/20	35.12	10.54 W	0.00	138 of 154 - Sale <sup>25</sup>
	2.000	24.58	09/28/20	35.12	10.54 W	0.00	139 of 154 - Sale <sup>25</sup>
	2.000	24.58	09/28/20	40.00	15.42 W	0.00	140 of 154 - Sale <sup>25</sup>
	2.000	24.58	09/28/20	39.99	15.41 W	0.00	141 of 154 - Sale <sup>25</sup>
	3.000	36.87	09/28/20	61.08	24.21 W	0.00	142 of 154 - Sale <sup>25</sup>
	3.000	36.87	09/28/20	58.33	21.46 W	0.00	143 of 154 - Sale <sup>25</sup>
	7.000	86.02	09/28/20	142.51	56.49 W	0.00	144 of 154 - Sale <sup>25</sup>
	7.000	86.02	09/28/20	135.24	49.22 W	0.00	145 of 154 - Sale <sup>25</sup>
	7.000	86.02	09/28/20	96.31	10.29 W	0.00	146 of 154 - Sale <sup>25</sup>
	0.485	5.94	09/28/20	6.67	0.73 W	0.00	147 of 154 - Sale <sup>25</sup>
	7.515	92.02	09/28/20	103.40	11.38 W	0.00	148 of 154 - Sale <sup>25</sup>
	8.000	97.96	09/28/20	110.07	12.11 W	0.00	149 of 154 - Sale <sup>25</sup>
	92.000	1,126.50	09/28/20	1,265.79	139.29 W	0.00	150 of 154 - Sale <sup>25</sup>
	8.000	97.96	09/28/20	109.72	11.76 W	0.00	151 of 154 - Sale <sup>25</sup>
	29.000	355.09	09/28/20	397.55	42.46 W	0.00	152 of 154 - Sale <sup>25</sup>
	55.000	673.45	09/28/20	756.72	83.27 W	0.00	153 of 154 - Sale <sup>25</sup>
	0.485	5.94	09/28/20	6.50	0.56 W	0.00	154 of 154 - Sale <sup>25</sup>
09/28/20	1,465.485	18,785.34	Various	21,869.08	3,083.74 W	0.00	Total of 154 transactions
17 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	8.000	94.08	09/30/20	99.83	5.75 W	0.00	1 of 17 - Sale <sup>25</sup>
	20.000	235.21	09/30/20	249.58	14.37 W	0.00	2 of 17 - Sale <sup>25</sup>
	5.485	63.47	09/30/20	68.44	4.97 W	0.00	3 of 17 - Sale <sup>25</sup>
	17.515	202.68	09/30/20	218.57	15.89 W	0.00	4 of 17 - Sale <sup>25</sup>
	1.000	11.57	09/30/20	15.19	3.62 W	0.00	5 of 17 - Sale <sup>25</sup>
	1.000	11.57	09/30/20	19.14	7.57 W	0.00	6 of 17 - Sale <sup>25</sup>
	1.000	11.57	09/30/20	16.42	4.85 W	0.00	7 of 17 - Sale <sup>25</sup>
	1.000	11.57	09/30/20	16.46	4.89 W	0.00	8 of 17 - Sale <sup>25</sup>
	1.000	11.58	09/30/20	16.46	4.88 W	0.00	9 of 17 - Sale <sup>25</sup>
	2.000	23.14	09/30/20	30.41	7.27 W	0.00	10 of 17 - Sale <sup>25</sup>
	2.000	23.14	09/30/20	38.74	15.60 W	0.00	11 of 17 - Sale <sup>25</sup>
	2.000	23.14	09/30/20	37.41	14.27 W	0.00	12 of 17 - Sale <sup>25</sup>
	3.000	34.72	09/30/20	48.76	14.04 W	0.00	13 of 17 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	4.000	46.29	09/30/20	62.76	16.47 W	0.00	14 of 17 - Sale <sup>25</sup>
	4.000	46.29	09/30/20	76.36	30.07 W	0.00	15 of 17 - Sale <sup>25</sup>
	4.000	46.29	09/30/20	65.14	18.85 W	0.00	16 of 17 - Sale <sup>25</sup>
	1.000	11.57	09/30/20	16.24	4.67 W	0.00	17 of 17 - Sale <sup>25</sup>
10/01/20	78.000	907.88	Various	1,095.91	188.03 W	0.00	Total of 17 transactions
	29 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	4.000	46.92	09/30/20	64.98	18.06 W	0.00	1 of 29 - Sale <sup>25</sup>
	2.000	23.46	09/30/20	45.08	21.62 W	0.00	2 of 29 - Sale <sup>25</sup>
	2.000	23.46	09/30/20	33.38	9.92 W	0.00	3 of 29 - Sale <sup>25</sup>
	3.000	35.19	09/30/20	49.66	14.47 W	0.00	4 of 29 - Sale <sup>25</sup>
	4.000	46.92	09/30/20	85.43	38.51 W	0.00	5 of 29 - Sale <sup>25</sup>
	4.000	46.92	09/30/20	66.77	19.85 W	0.00	6 of 29 - Sale <sup>25</sup>
	5.000	58.65	09/30/20	83.65	25.00 W	0.00	7 of 29 - Sale <sup>25</sup>
	5.000	58.65	09/30/20	83.54	24.89 W	0.00	8 of 29 - Sale <sup>25</sup>
	6.000	70.38	09/30/20	127.40	57.02 W	0.00	9 of 29 - Sale <sup>25</sup>
	1.000	11.73	09/30/20	19.91	8.18 W	0.00	10 of 29 - Sale <sup>25</sup>
	1.000	11.73	09/30/20	16.73	5.00 W	0.00	11 of 29 - Sale <sup>25</sup>
	1.000	11.73	09/30/20	16.73	5.00 W	0.00	12 of 29 - Sale <sup>25</sup>
	2.000	23.46	09/30/20	33.46	10.00 W	0.00	13 of 29 - Sale <sup>25</sup>
	2.000	23.46	09/30/20	33.46	10.00 W	0.00	14 of 29 - Sale <sup>25</sup>
	2.000	23.46	09/30/20	38.34	14.88 W	0.00	15 of 29 - Sale <sup>25</sup>
	2.000	23.46	09/30/20	38.33	14.87 W	0.00	16 of 29 - Sale <sup>25</sup>
	3.000	35.19	09/30/20	58.59	23.40 W	0.00	17 of 29 - Sale <sup>25</sup>
	3.000	35.19	09/30/20	55.84	20.65 W	0.00	18 of 29 - Sale <sup>25</sup>
	7.000	82.12	09/30/20	136.71	54.59 W	0.00	19 of 29 - Sale <sup>25</sup>
	7.000	82.12	09/30/20	129.44	47.32 W	0.00	20 of 29 - Sale <sup>25</sup>
	0.485	5.69	09/30/20	6.29	0.60 W	0.00	21 of 29 - Sale <sup>25</sup>
	7.000	82.12	09/30/20	90.51	8.39 W	0.00	22 of 29 - Sale <sup>25</sup>
	7.515	88.16	09/30/20	97.50	9.34 W	0.00	23 of 29 - Sale <sup>25</sup>
	8.000	93.85	09/30/20	103.79	9.94 W	0.00	24 of 29 - Sale <sup>25</sup>
	9.000	105.58	09/30/20	108.52	2.94 W	0.00	25 of 29 - Sale <sup>25</sup>
	11.515	135.08	09/30/20	143.81	8.73 W	0.00	26 of 29 - Sale <sup>25</sup>
	20.485	240.30	09/30/20	265.77	25.47 W	0.00	27 of 29 - Sale <sup>25</sup>
	28.000	328.46	09/30/20	343.22	14.76 W	0.00	28 of 29 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	92.000	1,079.23	09/30/20	1,193.61	114.38 W	0.00	29 of 29 - Sale <sup>25</sup>
10/02/20	250.000	2,932.67	Various	3,570.45	637.78 W	0.00	Total of 29 transactions
	48 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	53.485	664.78	10/12/20	733.99	...	-69.21	1 of 48 - Sale <sup>25</sup>
	6.000	74.58	10/12/20	132.31	...	-57.73	2 of 48 - Sale <sup>25</sup>
	7.000	87.01	10/12/20	142.44	...	-55.43	3 of 48 - Sale <sup>25</sup>
	7.000	87.00	10/12/20	135.17	...	-48.17	4 of 48 - Sale <sup>25</sup>
	4.000	49.72	10/12/20	88.71	...	-38.99	5 of 48 - Sale <sup>25</sup>
	4.000	49.72	10/12/20	80.27	...	-30.55	6 of 48 - Sale <sup>25</sup>
	21.515	267.41	10/12/20	295.26	...	-27.85	7 of 48 - Sale <sup>25</sup>
	5.000	62.15	10/12/20	87.63	...	-25.48	8 of 48 - Sale <sup>25</sup>
	3.000	37.29	10/12/20	61.05	...	-23.76	9 of 48 - Sale <sup>25</sup>
	17.000	211.30	10/12/20	233.30	...	-22.00	10 of 48 - Sale <sup>25</sup>
	17.000	211.30	10/12/20	233.29	...	-21.99	11 of 48 - Sale <sup>25</sup>
	2.000	24.86	10/12/20	46.72	...	-21.86	12 of 48 - Sale <sup>25</sup>
	3.000	37.29	10/12/20	58.30	...	-21.01	13 of 48 - Sale <sup>25</sup>
	4.000	49.72	10/12/20	70.19	...	-20.47	14 of 48 - Sale <sup>25</sup>
	4.000	49.72	10/12/20	70.04	...	-20.32	15 of 48 - Sale <sup>25</sup>
	4.000	49.72	10/12/20	69.05	...	-19.33	16 of 48 - Sale <sup>25</sup>
	4.000	49.72	10/12/20	68.25	...	-18.53	17 of 48 - Sale <sup>25</sup>
	4.000	49.72	10/12/20	66.67	...	-16.95	18 of 48 - Sale <sup>25</sup>
	2.000	24.86	10/12/20	40.70	...	-15.84	19 of 48 - Sale <sup>25</sup>
	2.000	24.86	10/12/20	39.98	...	-15.12	20 of 48 - Sale <sup>25</sup>
	2.000	24.86	10/12/20	39.97	...	-15.11	21 of 48 - Sale <sup>25</sup>
	3.000	37.29	10/12/20	52.12	...	-14.83	22 of 48 - Sale <sup>25</sup>
	2.000	24.86	10/12/20	39.37	...	-14.51	23 of 48 - Sale <sup>25</sup>
	3.000	37.29	10/12/20	51.69	...	-14.40	24 of 48 - Sale <sup>25</sup>
	8.000	99.44	10/12/20	109.78	...	-10.34	25 of 48 - Sale <sup>25</sup>
	2.000	24.86	10/12/20	35.10	...	-10.24	26 of 48 - Sale <sup>25</sup>
	2.000	24.86	10/12/20	35.10	...	-10.24	27 of 48 - Sale <sup>25</sup>
	2.000	24.86	10/12/20	35.02	...	-10.16	28 of 48 - Sale <sup>25</sup>
	7.515	93.41	10/12/20	103.13	...	-9.72	29 of 48 - Sale <sup>25</sup>
	7.000	87.01	10/12/20	95.75	...	-8.74	30 of 48 - Sale <sup>25</sup>
	1.000	12.43	10/12/20	20.73	...	-8.30	31 of 48 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	1.000	12.43	10/12/20	20.12	...	-7.69	32 of 48 - Sale <sup>25</sup>
	2.000	24.86	10/12/20	32.37	...	-7.51	33 of 48 - Sale <sup>25</sup>
	7.000	87.00	10/12/20	93.17	...	-6.17	34 of 48 - Sale <sup>25</sup>
	1.000	12.43	10/12/20	17.55	...	-5.12	35 of 48 - Sale <sup>25</sup>
	1.000	12.43	10/12/20	17.55	...	-5.12	36 of 48 - Sale <sup>25</sup>
	1.000	12.43	10/12/20	17.55	...	-5.12	37 of 48 - Sale <sup>25</sup>
	1.000	12.43	10/12/20	17.44	...	-5.01	38 of 48 - Sale <sup>25</sup>
	1.000	12.43	10/12/20	17.43	...	-5.00	39 of 48 - Sale <sup>25</sup>
	1.000	12.43	10/12/20	17.40	...	-4.97	40 of 48 - Sale <sup>25</sup>
	1.000	12.43	10/12/20	17.22	...	-4.79	41 of 48 - Sale <sup>25</sup>
	3.485	43.31	10/12/20	47.82	...	-4.51	42 of 48 - Sale <sup>25</sup>
	1.000	12.43	10/12/20	16.17	...	-3.74	43 of 48 - Sale <sup>25</sup>
	9.000	111.87	10/12/20	115.26	...	-3.39	44 of 48 - Sale <sup>25</sup>
	4.000	49.71	10/12/20	52.03	...	-2.32	45 of 48 - Sale <sup>25</sup>
	1.515	18.83	10/12/20	19.71	...	-0.88	46 of 48 - Sale <sup>25</sup>
	0.485	6.03	10/12/20	6.65	...	-0.62	47 of 48 - Sale <sup>25</sup>
	0.485	6.03	10/12/20	6.62	...	-0.59	48 of 48 - Sale <sup>25</sup>
10/12/20	250.485	3,113.41	Various	3,903.14	...	-789.73	Total of 48 transactions
	44 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	29.000	360.46	10/12/20	404.93	17.61 W	-26.86	1 of 44 - Sale <sup>25</sup>
	1.000	12.43	10/12/20	16.12	3.69 W	0.00	2 of 44 - Sale <sup>25</sup>
	1.000	12.43	10/12/20	20.07	7.64 W	0.00	3 of 44 - Sale <sup>25</sup>
	1.000	12.43	10/12/20	17.35	4.92 W	0.00	4 of 44 - Sale <sup>25</sup>
	1.000	12.43	10/12/20	17.39	4.96 W	0.00	5 of 44 - Sale <sup>25</sup>
	1.000	12.43	10/12/20	17.38	4.95 W	0.00	6 of 44 - Sale <sup>25</sup>
	2.000	24.86	10/12/20	32.27	7.41 W	0.00	7 of 44 - Sale <sup>25</sup>
	2.000	24.86	10/12/20	40.60	15.74 W	0.00	8 of 44 - Sale <sup>25</sup>
	2.000	24.86	10/12/20	39.27	14.41 W	0.00	9 of 44 - Sale <sup>25</sup>
	3.000	37.29	10/12/20	51.54	14.25 W	0.00	10 of 44 - Sale <sup>25</sup>
	4.000	49.72	10/12/20	66.47	16.75 W	0.00	11 of 44 - Sale <sup>25</sup>
	4.000	49.72	10/12/20	80.07	30.35 W	0.00	12 of 44 - Sale <sup>25</sup>
	4.000	49.72	10/12/20	68.85	19.13 W	0.00	13 of 44 - Sale <sup>25</sup>
	4.000	49.72	10/12/20	68.05	18.33 W	0.00	14 of 44 - Sale <sup>25</sup>
	1.000	12.43	10/12/20	17.17	4.74 W	0.00	15 of 44 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	2.000	24.86	10/12/20	46.62	21.76 W	0.00	16 of 44 - Sale <sup>25</sup>
	2.000	24.86	10/12/20	34.92	10.06 W	0.00	17 of 44 - Sale <sup>25</sup>
	3.000	37.29	10/12/20	51.97	14.68 W	0.00	18 of 44 - Sale <sup>25</sup>
	4.000	49.72	10/12/20	88.51	38.79 W	0.00	19 of 44 - Sale <sup>25</sup>
	4.000	49.72	10/12/20	69.84	20.12 W	0.00	20 of 44 - Sale <sup>25</sup>
	5.000	62.15	10/12/20	87.49	25.34 W	0.00	21 of 44 - Sale <sup>25</sup>
	5.000	62.15	10/12/20	87.38	25.23 W	0.00	22 of 44 - Sale <sup>25</sup>
	6.000	74.58	10/12/20	132.01	57.43 W	0.00	23 of 44 - Sale <sup>25</sup>
	1.000	12.43	10/12/20	20.68	8.25 W	0.00	24 of 44 - Sale <sup>25</sup>
	1.000	12.43	10/12/20	17.50	5.07 W	0.00	25 of 44 - Sale <sup>25</sup>
	1.000	12.43	10/12/20	17.50	5.07 W	0.00	26 of 44 - Sale <sup>25</sup>
	2.000	24.86	10/12/20	35.00	10.14 W	0.00	27 of 44 - Sale <sup>25</sup>
	2.000	24.86	10/12/20	35.00	10.14 W	0.00	28 of 44 - Sale <sup>25</sup>
	2.000	24.86	10/12/20	39.88	15.02 W	0.00	29 of 44 - Sale <sup>25</sup>
	2.000	24.86	10/12/20	39.87	15.01 W	0.00	30 of 44 - Sale <sup>25</sup>
	3.000	37.29	10/12/20	60.90	23.61 W	0.00	31 of 44 - Sale <sup>25</sup>
	3.000	37.29	10/12/20	58.15	20.86 W	0.00	32 of 44 - Sale <sup>25</sup>
	7.000	87.00	10/12/20	142.08	55.08 W	0.00	33 of 44 - Sale <sup>25</sup>
	7.000	87.00	10/12/20	134.81	47.81 W	0.00	34 of 44 - Sale <sup>25</sup>
	7.000	86.99	10/12/20	92.80	5.81 W	0.00	35 of 44 - Sale <sup>25</sup>
	4.515	56.12	10/12/20	59.85	3.73 W	0.00	36 of 44 - Sale <sup>25</sup>
	22.485	279.48	10/12/20	292.87	13.39 W	0.00	37 of 44 - Sale <sup>25</sup>
	5.485	68.17	10/12/20	73.52	5.35 W	0.00	38 of 44 - Sale <sup>25</sup>
	8.000	99.44	10/12/20	105.74	6.30 W	0.00	39 of 44 - Sale <sup>25</sup>
	17.515	217.71	10/12/20	234.81	17.10 W	0.00	40 of 44 - Sale <sup>25</sup>
	20.000	248.59	10/12/20	264.35	15.76 W	0.00	41 of 44 - Sale <sup>25</sup>
	22.000	273.45	10/12/20	308.29	34.84 W	0.00	42 of 44 - Sale <sup>25</sup>
	8.000	99.43	10/12/20	111.75	12.32 W	0.00	43 of 44 - Sale <sup>25</sup>
	12.515	155.56	10/12/20	175.37	19.81 W	0.00	44 of 44 - Sale <sup>25</sup>
10/12/20	249.515	3,101.37	Various	3,876.99	748.76 W	-26.86	Total of 44 transactions
	12 transactions for 11/11/20. Total proceeds and cost reported to the IRS.						
	4.515	47.10	11/10/20	54.30	7.20 W	0.00	1 of 12 - Sale <sup>25</sup>
	12.485	130.23	11/10/20	147.26	17.03 W	0.00	2 of 12 - Sale <sup>25</sup>
	10.000	104.31	11/10/20	117.96	13.65 W	0.00	3 of 12 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	8.000	83.45	11/10/20	95.90	12.45 W	0.00	4 of 12 - Sale <sup>25</sup>
	14.000	146.04	11/10/20	167.83	21.79 W	0.00	5 of 12 - Sale <sup>25</sup>
	5.485	57.22	11/10/20	66.78	9.56 W	0.00	6 of 12 - Sale <sup>25</sup>
	6.000	62.59	11/10/20	71.93	9.34 W	0.00	7 of 12 - Sale <sup>25</sup>
	17.515	182.71	11/10/20	213.27	30.56 W	0.00	8 of 12 - Sale <sup>25</sup>
	8.000	83.45	11/10/20	101.92	18.47 W	0.00	9 of 12 - Sale <sup>25</sup>
	11.485	119.81	11/10/20	146.24	26.43 W	0.00	10 of 12 - Sale <sup>25</sup>
	12.515	130.55	11/10/20	159.98	29.43 W	0.00	11 of 12 - Sale <sup>25</sup>
	22.000	229.49	11/10/20	281.24	51.75 W	0.00	12 of 12 - Sale <sup>25</sup>
11/11/20	132.000	1,376.95	Various	1,624.61	247.66 W	0.00	Total of 12 transactions
	5 transactions for 11/30/20. Total proceeds and cost reported to the IRS.						
	4.515	53.82	11/27/20	58.45	4.63 W	0.00	1 of 5 - Sale <sup>25</sup>
	10.000	119.20	11/27/20	127.15	7.95 W	0.00	2 of 5 - Sale <sup>25</sup>
	12.485	148.82	11/27/20	158.73	9.91 W	0.00	3 of 5 - Sale <sup>25</sup>
	6.000	71.52	11/27/20	77.44	5.92 W	0.00	4 of 5 - Sale <sup>25</sup>
	8.000	95.36	11/27/20	103.25	7.89 W	0.00	5 of 5 - Sale <sup>25</sup>
11/30/20	41.000	488.72	Various	525.02	36.30 W	0.00	Total of 5 transactions
	19 transactions for 12/02/20. Total proceeds and cost reported to the IRS.						
	4.485	53.96	12/02/20	57.57	3.61 W	0.00	1 of 19 - Sale <sup>25</sup>
	4.515	54.32	12/02/20	59.01	4.69 W	0.00	2 of 19 - Sale <sup>25</sup>
	5.485	65.99	12/02/20	75.61	9.62 W	0.00	3 of 19 - Sale <sup>25</sup>
	6.000	72.18	12/02/20	81.60	9.42 W	0.00	4 of 19 - Sale <sup>25</sup>
	8.000	96.25	12/02/20	108.79	12.54 W	0.00	5 of 19 - Sale <sup>25</sup>
	17.515	210.72	12/02/20	241.49	30.77 W	0.00	6 of 19 - Sale <sup>25</sup>
	8.000	96.25	12/02/20	114.81	18.56 W	0.00	7 of 19 - Sale <sup>25</sup>
	11.485	138.17	12/02/20	164.74	26.57 W	0.00	8 of 19 - Sale <sup>25</sup>
	12.515	150.57	12/02/20	180.15	29.58 W	0.00	9 of 19 - Sale <sup>25</sup>
	22.000	264.68	12/02/20	316.70	52.02 W	0.00	10 of 19 - Sale <sup>25</sup>
	7.000	84.21	12/02/20	89.92	5.71 W	0.00	11 of 19 - Sale <sup>25</sup>
	8.000	96.25	12/02/20	102.75	6.50 W	0.00	12 of 19 - Sale <sup>25</sup>
	3.000	35.71	12/02/20	38.23	2.52 W	0.00	13 of 19 - Sale <sup>25</sup>
	4.485	53.38	12/02/20	57.20	3.82 W	0.00	14 of 19 - Sale <sup>25</sup>
	4.515	53.75	12/02/20	58.65	4.90 W	0.00	15 of 19 - Sale <sup>25</sup>
	6.000	71.42	12/02/20	77.62	6.20 W	0.00	16 of 19 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 527125207
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	8.000	95.23	12/02/20	103.49	8.26 W	0.00	17 of 19 - Sale <sup>25</sup>
	4.000	47.61	12/02/20	54.08	6.47 W	0.00	18 of 19 - Sale <sup>25</sup>
	8.000	95.23	12/02/20	108.14	12.91 W	0.00	19 of 19 - Sale <sup>25</sup>
12/02/20	153.000	1,835.88	Various	2,090.55	254.67 W	0.00	Total of 19 transactions
	<i>5 transactions for 12/03/20. Total proceeds and cost reported to the IRS.</i>						
	94.000	1,250.17	12/03/20	1,391.28	...	-141.11	1 of 5 - Sale <sup>25</sup>
	44.000	585.18	12/03/20	651.24	...	-66.06	2 of 5 - Sale <sup>25</sup>
	25.000	332.49	12/03/20	370.01	...	-37.52	3 of 5 - Sale <sup>25</sup>
	19.000	252.69	12/03/20	281.21	...	-28.52	4 of 5 - Sale <sup>25</sup>
	6.000	79.80	12/03/20	88.80	...	-9.00	5 of 5 - Sale <sup>25</sup>
12/03/20	188.000	2,500.33	Various	2,782.54	...	-282.21	Total of 5 transactions
	<i>78 transactions for 12/03/20. Total proceeds and cost reported to the IRS.</i>						
	38.000	505.38	12/03/20	561.68	20.74 W	-35.56	1 of 78 - Sale <sup>25</sup>
	3.000	40.05	12/03/20	42.72	2.67 W	0.00	2 of 78 - Sale <sup>25</sup>
	4.485	59.87	12/03/20	63.92	4.05 W	0.00	3 of 78 - Sale <sup>25</sup>
	4.515	60.28	12/03/20	65.40	5.12 W	0.00	4 of 78 - Sale <sup>25</sup>
	7.000	93.45	12/03/20	99.51	6.06 W	0.00	5 of 78 - Sale <sup>25</sup>
	8.000	106.80	12/03/20	113.70	6.90 W	0.00	6 of 78 - Sale <sup>25</sup>
	6.000	80.10	12/03/20	86.60	6.50 W	0.00	7 of 78 - Sale <sup>25</sup>
	8.000	106.80	12/03/20	115.46	8.66 W	0.00	8 of 78 - Sale <sup>25</sup>
	2.000	26.70	12/03/20	29.94	3.24 W	0.00	9 of 78 - Sale <sup>25</sup>
	4.000	53.40	12/03/20	60.07	6.67 W	0.00	10 of 78 - Sale <sup>25</sup>
	5.485	73.22	12/03/20	83.12	9.90 W	0.00	11 of 78 - Sale <sup>25</sup>
	8.000	106.80	12/03/20	120.11	13.31 W	0.00	12 of 78 - Sale <sup>25</sup>
	17.515	233.82	12/03/20	265.47	31.65 W	0.00	13 of 78 - Sale <sup>25</sup>
	8.000	106.80	12/03/20	125.76	18.96 W	0.00	14 of 78 - Sale <sup>25</sup>
	11.485	153.32	12/03/20	180.47	27.15 W	0.00	15 of 78 - Sale <sup>25</sup>
	12.515	167.07	12/03/20	197.28	30.21 W	0.00	16 of 78 - Sale <sup>25</sup>
	22.000	293.69	12/03/20	346.82	53.13 W	0.00	17 of 78 - Sale <sup>25</sup>
	318.000	4,245.15	12/03/20	4,261.20	16.05 W	0.00	18 of 78 - Sale <sup>25</sup>
	50.000	667.48	12/03/20	670.00	2.52 W	0.00	19 of 78 - Sale <sup>25</sup>
	82.000	1,094.66	12/03/20	1,102.90	8.24 W	0.00	20 of 78 - Sale <sup>25</sup>
	100.000	1,334.96	12/03/20	1,345.00	10.04 W	0.00	21 of 78 - Sale <sup>25</sup>
	68.000	907.77	12/03/20	914.60	6.83 W	0.00	22 of 78 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
12.000		160.19	12/03/20	161.40	1.21 W	0.00	23 of 78 - Sale <sup>25</sup>
3.000		40.05	12/03/20	43.02	2.97 W	0.00	24 of 78 - Sale <sup>25</sup>
4.485		59.87	12/03/20	64.37	4.50 W	0.00	25 of 78 - Sale <sup>25</sup>
4.515		60.28	12/03/20	65.85	5.57 W	0.00	26 of 78 - Sale <sup>25</sup>
7.000		93.45	12/03/20	100.21	6.76 W	0.00	27 of 78 - Sale <sup>25</sup>
8.000		106.80	12/03/20	114.50	7.70 W	0.00	28 of 78 - Sale <sup>25</sup>
1.000		13.35	12/03/20	14.53	1.18 W	0.00	29 of 78 - Sale <sup>25</sup>
8.000		106.80	12/03/20	116.26	9.46 W	0.00	30 of 78 - Sale <sup>25</sup>
5.000		66.75	12/03/20	72.67	5.92 W	0.00	31 of 78 - Sale <sup>25</sup>
2.000		26.70	12/03/20	30.14	3.44 W	0.00	32 of 78 - Sale <sup>25</sup>
4.000		53.40	12/03/20	60.47	7.07 W	0.00	33 of 78 - Sale <sup>25</sup>
5.485		73.22	12/03/20	83.67	10.45 W	0.00	34 of 78 - Sale <sup>25</sup>
8.000		106.80	12/03/20	120.91	14.11 W	0.00	35 of 78 - Sale <sup>25</sup>
17.515		233.81	12/03/20	267.23	33.42 W	0.00	36 of 78 - Sale <sup>25</sup>
22.000		293.68	12/03/20	349.03	55.35 W	0.00	37 of 78 - Sale <sup>25</sup>
8.000		106.80	12/03/20	126.56	19.76 W	0.00	38 of 78 - Sale <sup>25</sup>
11.485		153.32	12/03/20	181.62	28.30 W	0.00	39 of 78 - Sale <sup>25</sup>
12.515		167.07	12/03/20	198.54	31.47 W	0.00	40 of 78 - Sale <sup>25</sup>
106.000		1,415.05	12/03/20	1,431.05	16.00 W	0.00	41 of 78 - Sale <sup>25</sup>
38.000		507.28	12/03/20	511.12	3.84 W	0.00	42 of 78 - Sale <sup>25</sup>
212.000		2,830.11	12/03/20	2,851.50	21.39 W	0.00	43 of 78 - Sale <sup>25</sup>
12.000		159.84	12/03/20	168.60	8.76 W	0.00	44 of 78 - Sale <sup>25</sup>
38.000		506.14	12/03/20	535.82	29.68 W	0.00	45 of 78 - Sale <sup>25</sup>
25.000		332.50	12/03/20	352.51	20.01 W	0.00	46 of 78 - Sale <sup>25</sup>
19.000		252.70	12/03/20	267.91	15.21 W	0.00	47 of 78 - Sale <sup>25</sup>
6.000		79.80	12/03/20	84.60	4.80 W	0.00	48 of 78 - Sale <sup>25</sup>
46.000		611.78	12/03/20	648.62	36.84 W	0.00	49 of 78 - Sale <sup>25</sup>
94.000		1,250.16	12/03/20	1,325.44	75.28 W	0.00	50 of 78 - Sale <sup>25</sup>
3.000		39.90	12/03/20	44.52	4.62 W	0.00	51 of 78 - Sale <sup>25</sup>
4.485		59.65	12/03/20	66.62	6.97 W	0.00	52 of 78 - Sale <sup>25</sup>
4.515		60.05	12/03/20	68.10	8.05 W	0.00	53 of 78 - Sale <sup>25</sup>
7.000		93.10	12/03/20	103.71	10.61 W	0.00	54 of 78 - Sale <sup>25</sup>
8.000		106.40	12/03/20	118.50	12.10 W	0.00	55 of 78 - Sale <sup>25</sup>
1.000		13.30	12/03/20	15.03	1.73 W	0.00	56 of 78 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	5.000	66.50	12/03/20	75.17	8.67 W	0.00	57 of 78 - Sale <sup>25</sup>
	8.000	106.40	12/03/20	120.26	13.86 W	0.00	58 of 78 - Sale <sup>25</sup>
	2.000	26.60	12/03/20	31.14	4.54 W	0.00	59 of 78 - Sale <sup>25</sup>
	5.485	72.95	12/03/20	86.42	13.47 W	0.00	60 of 78 - Sale <sup>25</sup>
	17.515	232.94	12/03/20	276.00	43.06 W	0.00	61 of 78 - Sale <sup>25</sup>
	12.000	159.59	12/03/20	167.41	7.82 W	0.00	62 of 78 - Sale <sup>25</sup>
	22.000	292.59	12/03/20	306.91	14.32 W	0.00	63 of 78 - Sale <sup>25</sup>
	4.000	53.20	12/03/20	63.87	10.67 W	0.00	64 of 78 - Sale <sup>25</sup>
	8.000	106.40	12/03/20	127.71	21.31 W	0.00	65 of 78 - Sale <sup>25</sup>
	8.000	106.40	12/03/20	133.36	26.96 W	0.00	66 of 78 - Sale <sup>25</sup>
	11.485	152.74	12/03/20	191.38	38.64 W	0.00	67 of 78 - Sale <sup>25</sup>
	12.515	166.45	12/03/20	209.19	42.74 W	0.00	68 of 78 - Sale <sup>25</sup>
	22.000	292.59	12/03/20	367.75	75.16 W	0.00	69 of 78 - Sale <sup>25</sup>
	34.000	452.18	12/03/20	487.93	35.75 W	0.00	70 of 78 - Sale <sup>25</sup>
	1.000	13.30	12/03/20	14.20	0.90 W	0.00	71 of 78 - Sale <sup>25</sup>
	99.000	1,316.65	12/03/20	1,405.89	89.24 W	0.00	72 of 78 - Sale <sup>25</sup>
	28.000	372.39	12/03/20	399.03	26.64 W	0.00	73 of 78 - Sale <sup>25</sup>
	72.000	957.57	12/03/20	1,029.67	72.10 W	0.00	74 of 78 - Sale <sup>25</sup>
	60.000	797.97	12/03/20	852.05	54.08 W	0.00	75 of 78 - Sale <sup>25</sup>
	12.000	159.59	12/03/20	176.76	17.17 W	0.00	76 of 78 - Sale <sup>25</sup>
	24.000	319.19	12/03/20	338.42	19.23 W	0.00	77 of 78 - Sale <sup>25</sup>
	38.000	505.38	12/03/20	535.84	30.46 W	0.00	78 of 78 - Sale <sup>25</sup>
12/03/20	2,062.000	27,487.24	Various	29,012.72	1,489.92 W	-35.56	Total of 78 transactions
	28 transactions for 12/04/20. Total proceeds and cost reported to the IRS.						
	22.000	291.51	12/03/20	366.66	...	-75.15	1 of 28 - Sale <sup>25</sup>
	17.515	232.09	12/03/20	275.14	...	-43.05	2 of 28 - Sale <sup>25</sup>
	12.515	165.83	12/03/20	208.57	...	-42.74	3 of 28 - Sale <sup>25</sup>
	11.485	152.18	12/03/20	190.81	...	-38.63	4 of 28 - Sale <sup>25</sup>
	34.000	450.52	12/03/20	486.25	...	-35.73	5 of 28 - Sale <sup>25</sup>
	28.000	371.02	12/03/20	402.96	...	-31.94	6 of 28 - Sale <sup>25</sup>
	24.000	318.01	12/03/20	346.59	...	-28.58	7 of 28 - Sale <sup>25</sup>
	26.000	344.53	12/03/20	372.88	...	-28.35	8 of 28 - Sale <sup>25</sup>
	8.000	106.01	12/03/20	132.96	...	-26.95	9 of 28 - Sale <sup>25</sup>
	26.000	344.52	12/03/20	370.54	...	-26.02	10 of 28 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	21.000	278.26	12/03/20	301.17	...	-22.91	11 of 28 - Sale <sup>25</sup>
	22.000	291.51	12/03/20	313.53	...	-22.02	12 of 28 - Sale <sup>25</sup>
	8.000	106.00	12/03/20	127.31	...	-21.31	13 of 28 - Sale <sup>25</sup>
	22.000	291.52	12/03/20	305.82	...	-14.30	14 of 28 - Sale <sup>25</sup>
	8.000	106.00	12/03/20	119.86	...	-13.86	15 of 28 - Sale <sup>25</sup>
	5.485	72.68	12/03/20	86.14	...	-13.46	16 of 28 - Sale <sup>25</sup>
	8.000	106.00	12/03/20	118.10	...	-12.10	17 of 28 - Sale <sup>25</sup>
	4.000	53.00	12/03/20	63.67	...	-10.67	18 of 28 - Sale <sup>25</sup>
	7.000	92.75	12/03/20	103.36	...	-10.61	19 of 28 - Sale <sup>25</sup>
	5.000	66.25	12/03/20	74.92	...	-8.67	20 of 28 - Sale <sup>25</sup>
	4.515	59.83	12/03/20	67.88	...	-8.05	21 of 28 - Sale <sup>25</sup>
	12.000	159.01	12/03/20	166.82	...	-7.81	22 of 28 - Sale <sup>25</sup>
	4.485	59.43	12/03/20	66.39	...	-6.96	23 of 28 - Sale <sup>25</sup>
	3.000	39.75	12/03/20	44.37	...	-4.62	24 of 28 - Sale <sup>25</sup>
	2.000	26.50	12/03/20	31.04	...	-4.54	25 of 28 - Sale <sup>25</sup>
	1.000	13.25	12/03/20	14.98	...	-1.73	26 of 28 - Sale <sup>25</sup>
	2.000	26.50	12/03/20	28.10	...	-1.60	27 of 28 - Sale <sup>25</sup>
	1.000	13.25	12/03/20	14.34	...	-1.09	28 of 28 - Sale <sup>25</sup>
12/04/20	350.000	4,637.71	Various	5,201.16	...	-563.45	Total of 28 transactions
	5 transactions for 12/08/20. Total proceeds and cost reported to the IRS.						
	38.000	437.55	12/08/20	471.26	...	-33.71	1 of 5 - Sale <sup>25</sup>
	14.000	161.20	12/08/20	183.14	...	-21.94	2 of 5 - Sale <sup>25</sup>
	24.000	276.35	12/08/20	297.63	...	-21.28	3 of 5 - Sale <sup>25</sup>
	12.000	138.18	12/08/20	156.37	...	-18.19	4 of 5 - Sale <sup>25</sup>
	12.000	138.18	12/08/20	150.02	...	-11.84	5 of 5 - Sale <sup>25</sup>
12/08/20	100.000	1,151.46	Various	1,258.42	...	-106.96	Total of 5 transactions
	2 transactions for 12/08/20. Total proceeds and cost reported to the IRS.						
	48.000	552.70	12/08/20	595.26	42.56 W	0.00	1 of 2 - Sale <sup>25</sup>
	52.000	598.76	12/08/20	644.87	46.11 W	0.00	2 of 2 - Sale <sup>25</sup>
12/08/20	100.000	1,151.46	Various	1,240.13	88.67 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>248,721.95</b>		<b>271,680.74</b>	<b>21,811.10 W</b>	<b>-1,147.69</b>	
INSEEGO CORP. COMMON STOCK / CUSIP: 45782B104 / Symbol:							
04/13/20	50.000	481.62	04/08/20	440.44	...	41.18	Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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INPIXON COMMON STOCK / CUSIP: 45790J800 / Symbol:							
3 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	250.000	319.98	07/10/20	328.79	...	-8.81	1 of 3 - Sale <sup>25</sup>
	250.000	319.99	07/10/20	328.74	...	-8.75	2 of 3 - Sale <sup>25</sup>
	500.000	639.98	07/10/20	645.00	...	-5.02	3 of 3 - Sale <sup>25</sup>
07/10/20	1,000.000	1,279.95	Various	1,302.53	...	-22.58	Total of 3 transactions
07/10/20	500.000	642.42	07/10/20	645.00	2.58 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,922.37</b>		<b>1,947.53</b>	<b>2.58 W</b>	<b>-22.58</b>	
INTELLICHECK, INC. COMMON STOC K / CUSIP: 45817G201 / Symbol:							
04/07/20	100.000	325.99	04/06/20	293.00	...	32.99	Sale <sup>25</sup>
INTERNATIONAL CONSOLIDATED AIR LINES GROUP SA SPONSORED AMERI CAN DEPOSITORY RECEIPT (SPAIN) / CUSIP: 459348108 / Symbol:							
3 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	20.000	78.60	12/21/20	79.00	...	-0.40	1 of 3 - Sale <sup>25</sup>
	100.000	392.99	12/21/20	393.00	...	-0.01	2 of 3 - Sale <sup>25</sup>
	100.000	392.98	12/21/20	392.00	...	0.98	3 of 3 - Sale <sup>25</sup>
12/21/20	220.000	864.57	Various	864.00	...	0.57	Total of 3 transactions
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	50.000	209.99	06/10/20	272.50	...	-62.51	1 of 3 - Sale <sup>25</sup>
	50.000	209.99	06/10/20	263.75	...	-53.76	2 of 3 - Sale <sup>25</sup>
	25.000	105.00	06/10/20	150.01	...	-45.01	3 of 3 - Sale <sup>25</sup>
06/11/20	125.000	524.98	Various	686.26	...	-161.28	Total of 3 transactions
06/11/20	100.000	419.98	06/10/20	475.00	13.76 W	-41.26	Sale <sup>25</sup>
2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	4,979.000	15,757.59	08/12/20	15,833.22	...	-75.63	1 of 2 - Sale <sup>25</sup>
	21.000	66.46	08/12/20	66.78	...	-0.32	2 of 2 - Sale <sup>25</sup>
08/12/20	5,000.000	15,824.05	Various	15,900.00	...	-75.95	Total of 2 transactions
	<b>Security total:</b>	<b>16,769.01</b>		<b>17,061.26</b>	<b>13.76 W</b>	<b>-278.49</b>	
IROBOT CORPORATION COMMON STOC K / CUSIP: 462726100 / Symbol:							
08/17/20	25.000	1,849.95	08/17/20	1,852.50	...	-2.55	Sale <sup>25</sup>

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(continued)

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ISHARES 20 YEAR TREASURY BOND ETF / CUSIP: 464287432 / Symbol:							
06/26/20	5.000	825.89	06/26/20	824.95	...	0.94	Sale <sup>25</sup>
06/26/20	25.000	4,115.43	06/26/20	4,117.75	0.46 W	-1.86	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,941.32</b>		<b>4,942.70</b>	<b>0.46 W</b>	<b>-0.92</b>	
ITERIS, INC. COMMON STOCK / CUSIP: 46564T107 / Symbol:							
05/06/20	10.000	42.90	05/05/20	45.09	...	-2.19	Sale <sup>25</sup>
JAGUAR HEALTH, INC. COMMON STO CK / CUSIP: 47010C409 / Symbol:							
07/10/20	500.000	374.94	07/10/20	367.40	...	7.54	Sale <sup>25</sup>
07/10/20	200.000	140.90	07/10/20	155.56	14.66 W	0.00	Sale <sup>25</sup>
	6 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	80.000	60.23	08/05/20	66.43	...	-6.20	1 of 6 - Sale <sup>25</sup>
	50.000	37.64	08/05/20	41.42	...	-3.78	2 of 6 - Sale <sup>25</sup>
	50.000	37.64	08/05/20	41.42	...	-3.78	3 of 6 - Sale <sup>25</sup>
	20.000	15.06	08/05/20	16.57	...	-1.51	4 of 6 - Sale <sup>25</sup>
	170.000	127.99	08/05/20	128.35	...	-0.36	5 of 6 - Sale <sup>25</sup>
	50.000	37.65	08/05/20	37.78	...	-0.13	6 of 6 - Sale <sup>25</sup>
08/05/20	420.000	316.21	Various	331.97	...	-15.76	Total of 6 transactions
	2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	120.000	90.35	08/05/20	99.16	8.81 W	0.00	1 of 2 - Sale <sup>25</sup>
	80.000	60.23	08/05/20	66.26	6.03 W	0.00	2 of 2 - Sale <sup>25</sup>
08/05/20	200.000	150.58	Various	165.42	14.84 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>982.63</b>		<b>1,020.35</b>	<b>29.50 W</b>	<b>-8.22</b>	
JAKKS PACIFIC, INC. COMMON STO CK / CUSIP: 47012E106 / Symbol:							
	3 transactions for 04/02/20. Total proceeds and cost reported to the IRS.						
	200.000	71.98	04/01/20	78.46	...	-6.48	1 of 3 - Sale <sup>25</sup>
	200.000	71.98	04/01/20	78.46	...	-6.48	2 of 3 - Sale <sup>25</sup>
	100.000	35.99	04/01/20	39.99	...	-4.00	3 of 3 - Sale <sup>25</sup>
04/02/20	500.000	179.95	Various	196.91	...	-16.96	Total of 3 transactions

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(continued)

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JD.COM, INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 47215P106 / Symbol:							
4 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	29.000	1,944.40	08/17/20	1,948.51	...	-4.11	1 of 4 - Sale <sup>25</sup>
	10.000	670.48	08/17/20	672.01	...	-1.53	2 of 4 - Sale <sup>25</sup>
	8.000	536.39	08/17/20	537.52	...	-1.13	3 of 4 - Sale <sup>25</sup>
	3.000	201.15	08/17/20	201.57	...	-0.42	4 of 4 - Sale <sup>25</sup>
08/17/20	50.000	3,352.42	Various	3,359.61	...	-7.19	Total of 4 transactions
08/17/20	10.000	656.08	08/17/20	656.19	0.11 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,008.50</b>		<b>4,015.80</b>	<b>0.11 W</b>	<b>-7.19</b>	
JEFFERIES FINANCIAL GROUP INC. / CUSIP: 47233W109 / Symbol:							
12/31/20	100.000	2,462.03	12/31/20	2,461.92	...	0.11	Sale <sup>25</sup>
JETBLUE AIRWAYS CORPORATION CO MMON STOCK / CUSIP: 477143101 / Symbol:							
2 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	24.000	206.40	05/04/20	199.80	...	6.60	1 of 2 - Sale <sup>25</sup>
	26.000	223.60	05/04/20	216.45	...	7.15	2 of 2 - Sale <sup>25</sup>
05/05/20	50.000	430.00	Various	416.25	...	13.75	Total of 2 transactions
05/11/20	50.000	424.50	05/08/20	433.90	9.40 W	0.00	Sale <sup>25</sup>
05/12/20	50.000	424.10	05/11/20	437.80	13.70 W	0.00	Sale <sup>25</sup>
05/22/20	50.000	462.00	05/20/20	476.60	14.60 W	0.00	Sale <sup>25</sup>
06/09/20	50.000	711.48	06/08/20	742.50	31.02 W	0.00	Sale <sup>25</sup>
06/11/20	50.000	538.48	06/10/20	649.52	111.04 W	0.00	Sale <sup>25</sup>
06/17/20	50.000	600.48	06/16/20	731.41	130.93 W	0.00	Sale <sup>25</sup>
2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	50.000	519.48	06/24/20	656.83	137.35 W	0.00	1 of 2 - Sale <sup>25</sup>
	25.000	259.74	06/24/20	262.95	3.21 W	0.00	2 of 2 - Sale <sup>25</sup>
06/24/20	75.000	779.22	Various	919.78	140.56 W	0.00	Total of 2 transactions
7 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	50.000	503.08	06/25/20	643.25	140.17 W	0.00	1 of 7 - Sale <sup>25</sup>
	25.000	251.54	06/25/20	256.16	4.62 W	0.00	2 of 7 - Sale <sup>25</sup>
	25.000	251.54	06/25/20	252.95	1.41 W	0.00	3 of 7 - Sale <sup>25</sup>
	50.000	520.08	06/25/20	669.17	149.09 W	0.00	4 of 7 - Sale <sup>25</sup>
	25.000	260.04	06/25/20	269.12	9.08 W	0.00	5 of 7 - Sale <sup>25</sup>
	25.000	260.04	06/25/20	265.91	5.87 W	0.00	6 of 7 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

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(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JETBLUE AIRWAYS CORPORATION CO MMON STOCK / CUSIP: 477143101 / Symbol: (cont'd)							
06/25/20	100.000	1,040.17	06/25/20	1,041.99	1.82 W	0.00	7 of 7 - Sale <sup>25</sup>
06/25/20	300.000	3,086.49	Various	3,398.55	312.06 W	0.00	Total of 7 transactions
4 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	20.000	215.80	06/29/20	226.50	...	-10.70	1 of 4 - Sale <sup>25</sup>
	25.000	267.00	06/29/20	277.03	...	-10.03	2 of 4 - Sale <sup>25</sup>
	25.000	269.75	06/29/20	277.71	...	-7.96	3 of 4 - Sale <sup>25</sup>
	5.000	53.95	06/29/20	56.62	...	-2.67	4 of 4 - Sale <sup>25</sup>
06/29/20	75.000	806.50	Various	837.86	...	-31.36	Total of 4 transactions
3 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	13.000	138.84	06/29/20	178.10	21.14 W	-18.12	1 of 3 - Sale <sup>25</sup>
	1.000	10.68	06/29/20	13.70	3.02 W	0.00	2 of 3 - Sale <sup>25</sup>
	36.000	384.48	06/29/20	493.19	108.71 W	0.00	3 of 3 - Sale <sup>25</sup>
06/29/20	50.000	534.00	Various	684.99	132.87 W	-18.12	Total of 3 transactions
06/30/20	75.000	815.23	06/30/20	808.35	...	6.88	Sale <sup>25</sup>
2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	1.000	10.69	07/14/20	13.62	...	-2.93	1 of 2 - Sale <sup>25</sup>
	1.000	10.69	07/14/20	13.62	...	-2.93	2 of 2 - Sale <sup>25</sup>
07/14/20	2.000	21.38	Various	27.24	...	-5.86	Total of 2 transactions
2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	35.000	385.44	07/17/20	492.79	...	-107.35	1 of 2 - Sale <sup>25</sup>
	7.000	77.09	07/17/20	98.56	...	-21.47	2 of 2 - Sale <sup>25</sup>
07/17/20	42.000	462.53	Various	591.35	...	-128.82	Total of 2 transactions
<b>Security total:</b>		<b>10,096.39</b>		<b>11,156.10</b>	<b>896.18 W</b>	<b>-163.53</b>	
JINKOSOLAR HOLDINGS CO / CUSIP: 47759T100 / Symbol:							
5 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	6.000	196.73	09/23/20	201.60	...	-4.87	1 of 5 - Sale <sup>25</sup>
	10.000	327.89	09/23/20	329.70	...	-1.81	2 of 5 - Sale <sup>25</sup>
	230.000	7,635.80	09/23/20	7,626.80	...	9.00	3 of 5 - Sale <sup>25</sup>
	500.000	16,599.57	09/23/20	16,575.00	...	24.57	4 of 5 - Sale <sup>25</sup>
	500.000	16,599.57	09/23/20	16,525.00	...	74.57	5 of 5 - Sale <sup>25</sup>
09/23/20	1,246.000	41,359.56	Various	41,258.10	...	101.46	Total of 5 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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JOHNSON & JOHNSON / CUSIP: 478160104 / Symbol:							
03/31/20	10.000	1,315.27	03/30/20	1,322.09	3.41 W	-3.41	Sale <sup>25</sup>
04/16/20	5.000	741.64	04/15/20	739.45	...	2.19	Sale <sup>25</sup>
07/06/20	10.000	1,431.68	07/06/20	1,427.70	...	3.98	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,488.59</b>		<b>3,489.24</b>	<b>3.41 W</b>	<b>2.76</b>	
JUMIA TECHNOLOGIES AG / CUSIP: 48138M105 / Symbol:							
05/12/20	50.000	291.50	05/11/20	265.91	...	25.59	Sale <sup>25</sup>
06/24/20	75.000	423.80	06/24/20	423.31	...	0.49	Sale <sup>25</sup>
07/09/20	100.000	774.97	07/08/20	814.00	39.03 W	0.00	Sale <sup>25</sup>
07/22/20	100.000	1,037.97	07/22/20	1,082.03	44.06 W	0.00	Sale <sup>25</sup>
	2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.						
	4.000	61.46	07/31/20	62.92	1.46 W	0.00	1 of 2 - Sale <sup>25</sup>
	36.000	553.16	07/31/20	566.30	13.14 W	0.00	2 of 2 - Sale <sup>25</sup>
07/31/20	40.000	614.62	Various	629.22	14.60 W	0.00	Total of 2 transactions
	3 transactions for 08/17/20. Total proceeds and cost reported to the IRS.						
	4.000	48.16	08/17/20	49.66	1.50 W	0.00	1 of 3 - Sale <sup>25</sup>
	11.000	132.44	08/17/20	136.57	4.13 W	0.00	2 of 3 - Sale <sup>25</sup>
	60.000	722.38	08/17/20	749.44	27.06 W	0.00	3 of 3 - Sale <sup>25</sup>
08/17/20	75.000	902.98	Various	935.67	32.69 W	0.00	Total of 3 transactions
	4 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	60.000	690.58	08/19/20	725.30	...	-34.72	1 of 4 - Sale <sup>25</sup>
	25.000	287.74	08/19/20	300.06	...	-12.32	2 of 4 - Sale <sup>25</sup>
	11.000	126.60	08/19/20	132.14	...	-5.54	3 of 4 - Sale <sup>25</sup>
	4.000	46.04	08/19/20	48.05	...	-2.01	4 of 4 - Sale <sup>25</sup>
08/19/20	100.000	1,150.96	Various	1,205.55	...	-54.59	Total of 4 transactions
	9 transactions for 10/13/20. Total proceeds and cost reported to the IRS.						
	18.000	217.79	10/12/20	215.84	...	1.95	1 of 9 - Sale <sup>25</sup>
	18.000	217.79	10/12/20	215.84	...	1.95	2 of 9 - Sale <sup>25</sup>
	24.000	290.39	10/12/20	287.79	...	2.60	3 of 9 - Sale <sup>25</sup>
	58.000	701.78	10/12/20	694.90	...	6.88	4 of 9 - Sale <sup>25</sup>
	82.000	992.17	10/12/20	982.36	...	9.81	5 of 9 - Sale <sup>25</sup>
	100.000	1,209.96	10/12/20	1,198.11	...	11.85	6 of 9 - Sale <sup>25</sup>
	100.000	1,209.97	10/12/20	1,198.11	...	11.86	7 of 9 - Sale <sup>25</sup>

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Account 527125207

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(continued)

03/15/2021

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JUMIA TECHNOLOGIES AG / CUSIP: 48138M105 / Symbol: (cont'd)							
	100.000	1,209.96	10/12/20	1,198.00	...	11.96	8 of 9 - Sale <sup>25</sup>
	120.000	1,473.56	10/13/20	1,516.44	...	-42.88	9 of 9 - Sale <sup>25</sup>
10/13/20	620.000	7,523.37	Various	7,507.39	...	15.98	Total of 9 transactions
3 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	818.000	9,766.03	10/12/20	9,799.64	33.61 W	0.00	1 of 3 - Sale <sup>25</sup>
	250.000	3,025.98	10/12/20	3,100.27	74.29 W	0.00	2 of 3 - Sale <sup>25</sup>
	250.000	3,025.97	10/12/20	3,100.27	74.30 W	0.00	3 of 3 - Sale <sup>25</sup>
10/13/20	1,318.000	15,817.98	Various	16,000.18	182.20 W	0.00	Total of 3 transactions
4 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	130.000	1,649.07	10/13/20	1,642.83	...	6.24	1 of 4 - Sale <sup>25</sup>
	120.000	1,533.72	10/13/20	1,516.45	...	17.27	2 of 4 - Sale <sup>25</sup>
	130.000	1,661.52	10/13/20	1,642.82	...	18.70	3 of 4 - Sale <sup>25</sup>
	370.000	4,693.52	10/13/20	4,565.76	...	127.76	4 of 4 - Sale <sup>25</sup>
10/14/20	750.000	9,537.83	Various	9,367.86	...	169.97	Total of 4 transactions
	<b>Security total:</b>	<b>38,075.98</b>		<b>38,231.12</b>	<b>312.58 W</b>	<b>157.44</b>	
JUST ENERGY GROUP INC. / CUSIP: 48213W101 / Symbol:							
2 transactions for 04/02/20. Total proceeds and cost reported to the IRS.							
	150.000	71.98	04/01/20	91.04	...	-19.06	1 of 2 - Sale <sup>25</sup>
	48.000	23.04	04/01/20	26.19	...	-3.15	2 of 2 - Sale <sup>25</sup>
04/02/20	198.000	95.02	Various	117.23	...	-22.21	Total of 2 transactions
04/02/20	302.000	146.13	04/01/20	164.80	9.27 W	-9.40	Sale <sup>25</sup>
	<b>Security total:</b>	<b>241.15</b>		<b>282.03</b>	<b>9.27 W</b>	<b>-31.61</b>	
JUST ENERGY GROUP INC / CUSIP: 48213W408 / Symbol:							
49 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	179.000	1,043.04	09/29/20	1,190.53	...	-147.49	1 of 49 - Sale <sup>25</sup>
	127.000	740.03	09/29/20	844.67	...	-104.64	2 of 49 - Sale <sup>25</sup>
	100.000	582.70	09/29/20	667.09	...	-84.39	3 of 49 - Sale <sup>25</sup>
	100.000	582.70	09/29/20	667.07	...	-84.37	4 of 49 - Sale <sup>25</sup>
	82.000	477.82	09/29/20	547.01	...	-69.19	5 of 49 - Sale <sup>25</sup>
	73.000	425.37	09/29/20	485.53	...	-60.16	6 of 49 - Sale <sup>25</sup>
	55.000	320.49	09/29/20	371.33	...	-50.84	7 of 49 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

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JUST ENERGY GROUP INC / CUSIP: 48213W408 / Symbol: (cont'd)							
	59.000	343.80	09/29/20	393.58	...	-49.78	8 of 49 - Sale <sup>25</sup>
	60.000	349.62	09/29/20	399.06	...	-49.44	9 of 49 - Sale <sup>25</sup>
	52.000	303.01	09/29/20	345.86	...	-42.85	10 of 49 - Sale <sup>25</sup>
	50.000	291.35	09/29/20	332.55	...	-41.20	11 of 49 - Sale <sup>25</sup>
	48.000	279.70	09/29/20	319.25	...	-39.55	12 of 49 - Sale <sup>25</sup>
	48.000	279.70	09/29/20	316.84	...	-37.14	13 of 49 - Sale <sup>25</sup>
	36.000	209.77	09/29/20	244.84	...	-35.07	14 of 49 - Sale <sup>25</sup>
	40.000	233.08	09/29/20	266.04	...	-32.96	15 of 49 - Sale <sup>25</sup>
	29.000	168.98	09/29/20	193.45	...	-24.47	16 of 49 - Sale <sup>25</sup>
	25.000	145.68	09/29/20	166.78	...	-21.10	17 of 49 - Sale <sup>25</sup>
	18.000	104.89	09/29/20	120.07	...	-15.18	18 of 49 - Sale <sup>25</sup>
	19.000	110.71	09/29/20	125.42	...	-14.71	19 of 49 - Sale <sup>25</sup>
	17.000	99.06	09/29/20	113.40	...	-14.34	20 of 49 - Sale <sup>25</sup>
	14.000	81.58	09/29/20	94.52	...	-12.94	21 of 49 - Sale <sup>25</sup>
	15.000	87.41	09/29/20	100.07	...	-12.66	22 of 49 - Sale <sup>25</sup>
	13.000	75.75	09/29/20	86.72	...	-10.97	23 of 49 - Sale <sup>25</sup>
	13.000	75.75	09/29/20	86.47	...	-10.72	24 of 49 - Sale <sup>25</sup>
	11.000	64.10	09/29/20	73.38	...	-9.28	25 of 49 - Sale <sup>25</sup>
	10.000	58.27	09/29/20	67.00	...	-8.73	26 of 49 - Sale <sup>25</sup>
	10.000	58.27	09/29/20	66.70	...	-8.43	27 of 49 - Sale <sup>25</sup>
	9.000	52.47	09/29/20	60.69	...	-8.22	28 of 49 - Sale <sup>25</sup>
	8.000	46.62	09/29/20	53.37	...	-6.75	29 of 49 - Sale <sup>25</sup>
	8.000	46.62	09/29/20	53.21	...	-6.59	30 of 49 - Sale <sup>25</sup>
	5.000	29.15	09/29/20	33.71	...	-4.56	31 of 49 - Sale <sup>25</sup>
	5.000	29.14	09/29/20	33.35	...	-4.21	32 of 49 - Sale <sup>25</sup>
	5.000	29.14	09/29/20	33.35	...	-4.21	33 of 49 - Sale <sup>25</sup>
	5.000	29.14	09/29/20	33.35	...	-4.21	34 of 49 - Sale <sup>25</sup>
	3.000	17.48	09/29/20	20.25	...	-2.77	35 of 49 - Sale <sup>25</sup>
	3.000	17.48	09/29/20	20.01	...	-2.53	36 of 49 - Sale <sup>25</sup>
	3.000	17.48	09/29/20	20.01	...	-2.53	37 of 49 - Sale <sup>25</sup>
	100.000	582.71	09/29/20	585.00	...	-2.29	38 of 49 - Sale <sup>25</sup>
	2.000	11.65	09/29/20	13.22	...	-1.57	39 of 49 - Sale <sup>25</sup>
	63.000	367.11	09/29/20	368.55	...	-1.44	40 of 49 - Sale <sup>25</sup>
	7.000	41.30	09/29/20	40.25	...	1.05	41 of 49 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

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JUST ENERGY GROUP INC / CUSIP: 48213W408 / Symbol: (cont'd)							
	16.000	94.40	09/29/20	92.00	...	2.40	42 of 49 - Sale <sup>25</sup>
	150.000	884.96	09/29/20	870.00	...	14.96	43 of 49 - Sale <sup>25</sup>
	400.000	2,359.90	09/29/20	2,320.00	...	39.90	44 of 49 - Sale <sup>25</sup>
	400.000	2,359.90	09/29/20	2,320.00	...	39.90	45 of 49 - Sale <sup>25</sup>
	400.000	2,359.90	09/29/20	2,320.00	...	39.90	46 of 49 - Sale <sup>25</sup>
	400.000	2,359.90	09/29/20	2,320.00	...	39.90	47 of 49 - Sale <sup>25</sup>
	335.000	1,976.42	09/29/20	1,926.25	...	50.17	48 of 49 - Sale <sup>25</sup>
	115.000	678.47	09/29/20	626.75	...	51.72	49 of 49 - Sale <sup>25</sup>
09/29/20	3,745.000	21,983.97	Various	22,848.55	...	-864.58	Total of 49 transactions
	73 transactions for 09/29/20. Total proceeds and cost reported to the IRS.						
	37.000	215.60	09/29/20	246.82	7.59 W	-23.63	1 of 73 - Sale <sup>25</sup>
	400.000	2,359.90	09/29/20	2,500.00	140.10 W	0.00	2 of 73 - Sale <sup>25</sup>
	200.000	1,179.95	09/29/20	1,250.00	70.05 W	0.00	3 of 73 - Sale <sup>25</sup>
	100.000	589.97	09/29/20	625.00	35.03 W	0.00	4 of 73 - Sale <sup>25</sup>
	10.000	59.00	09/29/20	62.00	3.00 W	0.00	5 of 73 - Sale <sup>25</sup>
	100.000	589.97	09/29/20	625.00	35.03 W	0.00	6 of 73 - Sale <sup>25</sup>
	275.000	1,622.43	09/29/20	1,718.75	96.32 W	0.00	7 of 73 - Sale <sup>25</sup>
	100.000	589.97	09/29/20	625.00	35.03 W	0.00	8 of 73 - Sale <sup>25</sup>
	25.000	147.49	09/29/20	156.25	8.76 W	0.00	9 of 73 - Sale <sup>25</sup>
	100.000	589.98	09/29/20	625.00	35.02 W	0.00	10 of 73 - Sale <sup>25</sup>
	75.000	442.48	09/29/20	468.75	26.27 W	0.00	11 of 73 - Sale <sup>25</sup>
	2.000	11.80	09/29/20	12.52	0.72 W	0.00	12 of 73 - Sale <sup>25</sup>
	50.000	292.49	09/29/20	312.52	20.03 W	0.00	13 of 73 - Sale <sup>25</sup>
	10.000	58.50	09/29/20	63.50	5.00 W	0.00	14 of 73 - Sale <sup>25</sup>
	40.000	233.99	09/29/20	256.01	22.02 W	0.00	15 of 73 - Sale <sup>25</sup>
	50.000	292.49	09/29/20	320.01	27.52 W	0.00	16 of 73 - Sale <sup>25</sup>
	100.000	584.97	09/29/20	640.03	55.06 W	0.00	17 of 73 - Sale <sup>25</sup>
	90.000	526.48	09/29/20	576.02	49.54 W	0.00	18 of 73 - Sale <sup>25</sup>
	20.000	116.99	09/29/20	128.01	11.02 W	0.00	19 of 73 - Sale <sup>25</sup>
	25.000	146.24	09/29/20	160.01	13.77 W	0.00	20 of 73 - Sale <sup>25</sup>
	100.000	584.97	09/29/20	640.03	55.06 W	0.00	21 of 73 - Sale <sup>25</sup>
	90.000	526.48	09/29/20	576.02	49.54 W	0.00	22 of 73 - Sale <sup>25</sup>
	125.000	731.22	09/29/20	800.03	68.81 W	0.00	23 of 73 - Sale <sup>25</sup>
	2.000	11.70	09/29/20	12.82	1.12 W	0.00	24 of 73 - Sale <sup>25</sup>

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JUST ENERGY GROUP INC / CUSIP: 48213W408 / Symbol: (cont'd)							
	13.000	76.04	09/29/20	83.86	7.82 W	0.00	25 of 73 - Sale <sup>25</sup>
	75.000	438.73	09/29/20	480.02	41.29 W	0.00	26 of 73 - Sale <sup>25</sup>
	10.000	58.50	09/29/20	64.00	5.50 W	0.00	27 of 73 - Sale <sup>25</sup>
	60.000	350.99	09/29/20	387.03	36.04 W	0.00	28 of 73 - Sale <sup>25</sup>
	100.000	584.97	09/29/20	645.05	60.08 W	0.00	29 of 73 - Sale <sup>25</sup>
	40.000	233.99	09/29/20	258.02	24.03 W	0.00	30 of 73 - Sale <sup>25</sup>
	73.000	427.03	09/29/20	470.89	43.86 W	0.00	31 of 73 - Sale <sup>25</sup>
	187.000	1,093.90	09/29/20	1,206.24	112.34 W	0.00	32 of 73 - Sale <sup>25</sup>
	10.000	58.50	09/29/20	65.50	7.00 W	0.00	33 of 73 - Sale <sup>25</sup>
	50.000	292.49	09/29/20	322.53	30.04 W	0.00	34 of 73 - Sale <sup>25</sup>
	50.000	292.48	09/29/20	330.02	37.54 W	0.00	35 of 73 - Sale <sup>25</sup>
	3.000	17.55	09/29/20	19.80	2.25 W	0.00	36 of 73 - Sale <sup>25</sup>
	127.000	742.92	09/29/20	819.21	76.29 W	0.00	37 of 73 - Sale <sup>25</sup>
	60.000	350.98	09/29/20	396.04	45.06 W	0.00	38 of 73 - Sale <sup>25</sup>
	11.000	64.35	09/29/20	72.61	8.26 W	0.00	39 of 73 - Sale <sup>25</sup>
	37.000	216.44	09/29/20	244.22	27.78 W	0.00	40 of 73 - Sale <sup>25</sup>
	29.000	169.64	09/29/20	191.41	21.77 W	0.00	41 of 73 - Sale <sup>25</sup>
	31.000	181.34	09/29/20	204.61	23.27 W	0.00	42 of 73 - Sale <sup>25</sup>
	15.000	87.75	09/29/20	99.02	11.27 W	0.00	43 of 73 - Sale <sup>25</sup>
	59.000	345.13	09/29/20	389.43	44.30 W	0.00	44 of 73 - Sale <sup>25</sup>
	5.000	29.25	09/29/20	33.00	3.75 W	0.00	45 of 73 - Sale <sup>25</sup>
	25.000	146.24	09/29/20	165.02	18.78 W	0.00	46 of 73 - Sale <sup>25</sup>
	3.000	17.55	09/29/20	19.80	2.25 W	0.00	47 of 73 - Sale <sup>25</sup>
	100.000	584.97	09/29/20	660.06	75.09 W	0.00	48 of 73 - Sale <sup>25</sup>
	125.000	731.22	09/29/20	825.06	93.84 W	0.00	49 of 73 - Sale <sup>25</sup>
	10.000	58.50	09/29/20	66.00	7.50 W	0.00	50 of 73 - Sale <sup>25</sup>
	3.000	17.55	09/29/20	19.80	2.25 W	0.00	51 of 73 - Sale <sup>25</sup>
	87.000	508.92	09/29/20	574.24	65.32 W	0.00	52 of 73 - Sale <sup>25</sup>
	53.000	310.04	09/29/20	349.83	39.79 W	0.00	53 of 73 - Sale <sup>25</sup>
	200.000	1,169.95	09/29/20	1,250.05	80.10 W	0.00	54 of 73 - Sale <sup>25</sup>
	400.000	2,339.90	09/29/20	2,500.10	160.20 W	0.00	55 of 73 - Sale <sup>25</sup>
	2.000	11.70	09/29/20	13.22	1.52 W	0.00	56 of 73 - Sale <sup>25</sup>
	13.000	76.05	09/29/20	86.47	10.42 W	0.00	57 of 73 - Sale <sup>25</sup>
	60.000	350.98	09/29/20	399.04	48.06 W	0.00	58 of 73 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JUST ENERGY GROUP INC / CUSIP: 48213W408 / Symbol: (cont'd)							
	19.000	111.14	09/29/20	125.41	14.27 W	0.00	59 of 73 - Sale <sup>25</sup>
	48.000	280.79	09/29/20	319.24	38.45 W	0.00	60 of 73 - Sale <sup>25</sup>
	40.000	233.99	09/29/20	266.03	32.04 W	0.00	61 of 73 - Sale <sup>25</sup>
	52.000	304.18	09/29/20	345.84	41.66 W	0.00	62 of 73 - Sale <sup>25</sup>
	8.000	46.80	09/29/20	53.21	6.41 W	0.00	63 of 73 - Sale <sup>25</sup>
	36.000	210.59	09/29/20	244.83	34.24 W	0.00	64 of 73 - Sale <sup>25</sup>
	50.000	292.49	09/29/20	332.54	40.05 W	0.00	65 of 73 - Sale <sup>25</sup>
	73.000	427.03	09/29/20	485.51	58.48 W	0.00	66 of 73 - Sale <sup>25</sup>
	127.000	742.92	09/29/20	844.64	101.72 W	0.00	67 of 73 - Sale <sup>25</sup>
	179.000	1,047.10	09/29/20	1,190.48	143.38 W	0.00	68 of 73 - Sale <sup>25</sup>
	3.000	17.55	09/29/20	20.25	2.70 W	0.00	69 of 73 - Sale <sup>25</sup>
	10.000	58.50	09/29/20	67.00	8.50 W	0.00	70 of 73 - Sale <sup>25</sup>
	14.000	81.89	09/29/20	94.51	12.62 W	0.00	71 of 73 - Sale <sup>25</sup>
	55.000	321.73	09/29/20	371.31	49.58 W	0.00	72 of 73 - Sale <sup>25</sup>
	5.000	29.14	09/29/20	33.35	4.21 W	0.00	73 of 73 - Sale <sup>25</sup>
09/29/20	4,971.000	29,147.44	Various	31,905.45	2,734.38 W	-23.63	Total of 73 transactions
	<b>Security total:</b>	<b>51,131.41</b>		<b>54,754.00</b>	<b>2,734.38 W</b>	<b>-888.21</b>	
KAR AUCTION SERVICES, INC. / CUSIP: 48238T109 / Symbol:							
3 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	10.000	157.35	06/15/20	149.49	...	7.86	1 of 3 - Sale <sup>25</sup>
	25.000	393.39	06/15/20	372.22	...	21.17	2 of 3 - Sale <sup>25</sup>
	50.000	786.77	06/15/20	727.86	...	58.91	3 of 3 - Sale <sup>25</sup>
06/16/20	85.000	1,337.51	Various	1,249.57	...	87.94	Total of 3 transactions
K12, INC, COMMON STOCK / CUSIP: 48273U102 / Symbol:							
08/11/20	5.000	252.74	08/11/20	257.95	...	-5.21	Sale <sup>25</sup>
2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	1.000	50.55	08/11/20	52.00	1.45 W	0.00	1 of 2 - Sale <sup>25</sup>
	19.000	960.43	08/11/20	971.00	10.57 W	0.00	2 of 2 - Sale <sup>25</sup>
08/11/20	20.000	1,010.98	Various	1,023.00	12.02 W	0.00	Total of 2 transactions
3 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	19.000	834.27	08/11/20	990.78	...	-156.51	1 of 3 - Sale <sup>25</sup>
	5.000	219.54	08/11/20	257.95	...	-38.41	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
K12, INC, COMMON STOCK / CUSIP: 48273U102 / Symbol: (cont'd)							
	1.000	43.91	08/11/20	53.04	...	-9.13	3 of 3 - Sale <sup>25</sup>
08/12/20	25.000	1,097.72	Various	1,301.77	...	-204.05	Total of 3 transactions
	<b>Security total:</b>	<b>2,361.44</b>		<b>2,582.72</b>	<b>12.02 W</b>	<b>-209.26</b>	
KALA PHARMACEUTICALS, INC. COM MON STOCK / CUSIP: 483119103 / Symbol:							
07/14/20	50.000	509.03	07/14/20	510.41	...	-1.38	Sale <sup>25</sup>
	3 transactions for 10/05/20. Total proceeds and cost reported to the IRS.						
	5.000	38.51	10/05/20	38.48	...	0.03	1 of 3 - Sale <sup>25</sup>
	4.000	30.81	10/05/20	29.80	...	1.01	2 of 3 - Sale <sup>25</sup>
	149.000	1,147.58	10/05/20	1,110.05	...	37.53	3 of 3 - Sale <sup>25</sup>
10/05/20	158.000	1,216.90	Various	1,178.33	...	38.57	Total of 3 transactions
10/05/20	42.000	323.48	10/05/20	324.24	0.76 W	0.00	Sale <sup>25</sup>
	3 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	42.000	351.17	10/05/20	327.93	...	23.24	1 of 3 - Sale <sup>25</sup>
	208.000	1,739.10	10/05/20	1,620.28	...	118.82	2 of 3 - Sale <sup>25</sup>
	150.000	1,254.16	10/06/20	1,243.49	...	10.67	3 of 3 - Sale <sup>25</sup>
10/06/20	400.000	3,344.43	Various	3,191.70	...	152.73	Total of 3 transactions
	<b>Security total:</b>	<b>5,393.84</b>		<b>5,204.68</b>	<b>0.76 W</b>	<b>189.92</b>	
KANDI TECHNOLOGIES GROUP, INC COMMON STOCK / CUSIP: 483709101 / Symbol:							
	14 transactions for 08/06/20. Total proceeds and cost reported to the IRS.						
	1,200.000	11,999.59	08/06/20	12,156.00	...	-156.41	1 of 14 - Sale <sup>25</sup>
	300.000	2,934.26	08/06/20	3,039.10	...	-104.84	2 of 14 - Sale <sup>25</sup>
	199.000	1,946.39	08/06/20	2,019.92	...	-73.53	3 of 14 - Sale <sup>25</sup>
	100.000	978.08	08/06/20	1,015.04	...	-36.96	4 of 14 - Sale <sup>25</sup>
	100.000	978.09	08/06/20	1,015.03	...	-36.94	5 of 14 - Sale <sup>25</sup>
	100.000	978.09	08/06/20	1,014.03	...	-35.94	6 of 14 - Sale <sup>25</sup>
	100.000	978.09	08/06/20	1,014.03	...	-35.94	7 of 14 - Sale <sup>25</sup>
	195.000	1,949.93	08/06/20	1,975.38	...	-25.45	8 of 14 - Sale <sup>25</sup>
	50.000	489.04	08/06/20	506.03	...	-16.99	9 of 14 - Sale <sup>25</sup>
	50.000	489.04	08/06/20	506.02	...	-16.98	10 of 14 - Sale <sup>25</sup>
	1.000	9.78	08/06/20	10.13	...	-0.35	11 of 14 - Sale <sup>25</sup>
	5.000	50.65	08/06/20	50.65	...	0.00	12 of 14 - Sale <sup>25</sup>
	100.000	1,013.96	08/06/20	1,013.00	...	0.96	13 of 14 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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KANDI TECHNOLOGIES GROUP, INC COMMON STOCK / CUSIP: 483709101 / Symbol: (cont'd)							
08/06/20	50.000	507.48	08/06/20	506.50	...	0.98	14 of 14 - Sale <sup>25</sup>
	2,550.000	25,302.47	Various	25,840.86	...	-538.39	Total of 14 transactions
	4 transactions for 08/06/20. Total proceeds and cost reported to the IRS.						
	1,555.000	15,549.47	08/06/20	15,752.15	123.82 W	-78.86	1 of 4 - Sale <sup>25</sup>
	50.000	506.49	08/06/20	506.50	0.01 W	0.00	2 of 4 - Sale <sup>25</sup>
	195.000	1,975.32	08/06/20	1,975.35	0.03 W	0.00	3 of 4 - Sale <sup>25</sup>
	50.000	499.98	08/06/20	506.51	6.53 W	0.00	4 of 4 - Sale <sup>25</sup>
08/06/20	1,850.000	18,531.26	Various	18,740.51	130.39 W	-78.86	Total of 4 transactions
	5 transactions for 12/02/20. Total proceeds and cost reported to the IRS.						
	100.000	800.98	12/02/20	805.00	4.02 W	0.00	1 of 5 - Sale <sup>25</sup>
	250.000	2,002.45	12/02/20	2,010.00	7.55 W	0.00	2 of 5 - Sale <sup>25</sup>
	81.000	648.79	12/02/20	652.05	3.26 W	0.00	3 of 5 - Sale <sup>25</sup>
	69.000	552.68	12/02/20	555.45	2.77 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.000	8.01	12/02/20	8.12	0.11 W	0.00	5 of 5 - Sale <sup>25</sup>
12/02/20	501.000	4,012.91	Various	4,030.62	17.71 W	0.00	Total of 5 transactions
	9 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	21.000	173.45	12/03/20	176.40	2.95 W	0.00	1 of 9 - Sale <sup>25</sup>
	31.000	256.05	12/03/20	260.10	4.05 W	0.00	2 of 9 - Sale <sup>25</sup>
	48.000	396.47	12/03/20	402.73	6.26 W	0.00	3 of 9 - Sale <sup>25</sup>
	52.000	429.50	12/03/20	435.77	6.27 W	0.00	4 of 9 - Sale <sup>25</sup>
	1.000	8.26	12/03/20	8.46	0.20 W	0.00	5 of 9 - Sale <sup>25</sup>
	69.000	569.92	12/03/20	578.92	9.00 W	0.00	6 of 9 - Sale <sup>25</sup>
	81.000	669.04	12/03/20	679.61	10.57 W	0.00	7 of 9 - Sale <sup>25</sup>
	198.000	1,635.42	12/03/20	1,659.28	23.86 W	0.00	8 of 9 - Sale <sup>25</sup>
	20.000	165.19	12/03/20	167.00	1.81 W	0.00	9 of 9 - Sale <sup>25</sup>
12/03/20	521.000	4,303.30	Various	4,368.27	64.97 W	0.00	Total of 9 transactions
	6 transactions for 12/04/20. Total proceeds and cost reported to the IRS.						
	48.000	386.86	12/04/20	391.70	...	-4.84	1 of 6 - Sale <sup>25</sup>
	48.000	386.86	12/04/20	391.22	...	-4.36	2 of 6 - Sale <sup>25</sup>
	40.000	322.39	12/04/20	326.42	...	-4.03	3 of 6 - Sale <sup>25</sup>
	10.000	80.60	12/04/20	81.71	...	-1.11	4 of 6 - Sale <sup>25</sup>
	2.000	16.12	12/04/20	16.32	...	-0.20	5 of 6 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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KANDI TECHNOLOGIES GROUP, INC COMMON STOCK / CUSIP: 483709101 / Symbol: (cont'd)							
12/04/20	2.000	16.12	12/04/20	16.30	...	-0.18	6 of 6 - Sale <sup>25</sup>
12/04/20	150.000	1,208.95	Various	1,223.67	...	-14.72	Total of 6 transactions
	2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.						
	29.000	234.03	12/04/20	236.66	0.73 W	-1.90	1 of 2 - Sale <sup>25</sup>
	21.000	169.47	12/04/20	171.58	2.11 W	0.00	2 of 2 - Sale <sup>25</sup>
12/04/20	50.000	403.50	Various	408.24	2.84 W	-1.90	Total of 2 transactions
	11 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	99.000	869.34	12/07/20	902.93	...	-33.59	1 of 11 - Sale <sup>25</sup>
	50.000	439.73	12/07/20	454.02	...	-14.29	2 of 11 - Sale <sup>25</sup>
	50.000	439.73	12/07/20	454.02	...	-14.29	3 of 11 - Sale <sup>25</sup>
	50.000	439.74	12/07/20	453.53	...	-13.79	4 of 11 - Sale <sup>25</sup>
	31.000	272.64	12/07/20	281.50	...	-8.86	5 of 11 - Sale <sup>25</sup>
	19.000	167.10	12/07/20	172.53	...	-5.43	6 of 11 - Sale <sup>25</sup>
	21.000	184.69	12/07/20	190.06	...	-5.37	7 of 11 - Sale <sup>25</sup>
	20.000	175.90	12/07/20	180.81	...	-4.91	8 of 11 - Sale <sup>25</sup>
	8.000	70.35	12/07/20	72.33	...	-1.98	9 of 11 - Sale <sup>25</sup>
	1.000	8.79	12/07/20	9.15	...	-0.36	10 of 11 - Sale <sup>25</sup>
	1.000	8.79	12/07/20	9.12	...	-0.33	11 of 11 - Sale <sup>25</sup>
12/07/20	350.000	3,076.80	Various	3,180.00	...	-103.20	Total of 11 transactions
	<b>Security total:</b>	<b>56,839.19</b>		<b>57,792.17</b>	<b>215.91 W</b>	<b>-737.07</b>	
KELLY SERVICES, INC. CLASS B C OMMON STOCK / CUSIP: 488152307 / Symbol:							
08/12/20	5.000	155.05	08/12/20	156.60	1.55 W	0.00	Sale <sup>25</sup>
	12 transactions for 08/21/20. Total proceeds and cost reported to the IRS.						
	45.000	1,502.97	08/21/20	1,619.18	...	-116.21	1 of 12 - Sale <sup>25</sup>
	100.000	3,339.92	08/21/20	3,430.00	...	-90.08	2 of 12 - Sale <sup>25</sup>
	99.000	3,306.52	08/21/20	3,395.70	...	-89.18	3 of 12 - Sale <sup>25</sup>
	22.143	739.55	08/21/20	796.74	...	-57.19	4 of 12 - Sale <sup>25</sup>
	50.000	1,669.96	08/21/20	1,715.00	...	-45.04	5 of 12 - Sale <sup>25</sup>
	25.000	834.98	08/21/20	877.51	...	-42.53	6 of 12 - Sale <sup>25</sup>
	46.000	1,536.36	08/21/20	1,577.80	...	-41.44	7 of 12 - Sale <sup>25</sup>
	4.143	138.37	08/21/20	150.27	...	-11.90	8 of 12 - Sale <sup>25</sup>
	1.857	62.03	08/21/20	66.79	...	-4.76	9 of 12 - Sale <sup>25</sup>
	5.000	167.00	08/21/20	171.50	...	-4.50	10 of 12 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KELLY SERVICES, INC. CLASS B C OMMON STOCK / CUSIP: 488152307 / Symbol: (cont'd)							
	0.857	28.63	08/21/20	31.52	...	-2.89	11 of 12 - Sale <sup>25</sup>
	1.000	33.40	08/21/20	35.98	...	-2.58	12 of 12 - Sale <sup>25</sup>
08/21/20	400.000	13,359.69	Various	13,867.99	...	-508.30	Total of 12 transactions
	10 transactions for 08/21/20. Total proceeds and cost reported to the IRS.						
	5.000	170.50	08/21/20	176.45	5.95 W	0.00	1 of 10 - Sale <sup>25</sup>
	70.000	2,386.93	08/21/20	2,448.60	61.67 W	0.00	2 of 10 - Sale <sup>25</sup>
	0.857	29.59	08/21/20	31.02	1.43 W	0.00	3 of 10 - Sale <sup>25</sup>
	1.857	64.11	08/21/20	65.71	1.60 W	0.00	4 of 10 - Sale <sup>25</sup>
	4.143	143.01	08/21/20	147.86	4.85 W	0.00	5 of 10 - Sale <sup>25</sup>
	0.857	28.71	08/21/20	30.83	2.12 W	0.00	6 of 10 - Sale <sup>25</sup>
	1.857	62.21	08/21/20	65.30	3.09 W	0.00	7 of 10 - Sale <sup>25</sup>
	4.143	138.78	08/21/20	146.95	8.17 W	0.00	8 of 10 - Sale <sup>25</sup>
	68.143	2,282.73	08/21/20	2,397.33	114.60 W	0.00	9 of 10 - Sale <sup>25</sup>
	25.000	837.49	08/21/20	857.50	20.01 W	0.00	10 of 10 - Sale <sup>25</sup>
08/21/20	181.857	6,144.06	Various	6,367.55	223.49 W	0.00	Total of 10 transactions
	<b>Security total:</b>	<b>19,658.80</b>		<b>20,392.14</b>	<b>225.04 W</b>	<b>-508.30</b>	
KEROS THERAPEUTICS, INC. COMMO N STOCK / CUSIP: 492327101 / Symbol:							
08/20/20	0.531	24.50	08/20/20	25.00	...	-0.50	Sale <sup>25</sup>
KINROSS GOLD CORPORATION / CUSIP: 496902404 / Symbol:							
05/15/20	50.000	364.50	05/14/20	360.94	...	3.56	Sale <sup>25</sup>
	3 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	250.000	1,730.28	06/29/20	1,744.68	...	-14.40	1 of 3 - Sale <sup>25</sup>
	240.000	1,661.07	06/29/20	1,674.89	...	-13.82	2 of 3 - Sale <sup>25</sup>
	201.000	1,391.15	06/29/20	1,392.93	...	-1.78	3 of 3 - Sale <sup>25</sup>
06/29/20	691.000	4,782.50	Various	4,812.50	...	-30.00	Total of 3 transactions
06/29/20	299.000	2,069.41	06/29/20	2,072.07	1.42 W	-1.24	Sale <sup>25</sup>
	2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	150.000	1,041.15	06/29/20	1,055.53	...	-14.38	1 of 2 - Sale <sup>25</sup>
	10.000	69.41	06/29/20	69.88	...	-0.47	2 of 2 - Sale <sup>25</sup>
06/30/20	160.000	1,110.56	Various	1,125.41	...	-14.85	Total of 2 transactions
	<b>Security total:</b>	<b>8,326.97</b>		<b>8,370.92</b>	<b>1.42 W</b>	<b>-42.53</b>	

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
KOPIN CORPORATION COMMON STOCK / CUSIP: 500600101 / Symbol:							
05/12/20	100.000	89.99	05/11/20	76.88	...	13.11	Sale <sup>25</sup>
07/13/20	300.000	514.44	07/13/20	516.00	...	-1.56	Sale <sup>25</sup>
	<b>Security total:</b>	<b>604.43</b>		<b>592.88</b>	...	<b>11.55</b>	
KOSMOS ENERGY LTD. / CUSIP: 500688106 / Symbol:							
4 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	4.000	11.44	06/05/20	11.27	...	0.17	1 of 4 - Sale <sup>25</sup>
	47.000	134.42	06/05/20	132.44	...	1.98	2 of 4 - Sale <sup>25</sup>
	200.000	571.96	06/05/20	563.58	...	8.38	3 of 4 - Sale <sup>25</sup>
	249.000	712.09	06/05/20	701.66	...	10.43	4 of 4 - Sale <sup>25</sup>
06/09/20	500.000	1,429.91	Various	1,408.95	...	20.96	Total of 4 transactions
06/17/20	150.000	328.77	06/16/20	355.13	15.82 W	-10.54	Sale <sup>25</sup>
07/06/20	90.000	145.88	07/01/20	155.32	9.44 W	0.00	Sale <sup>25</sup>
3 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	90.000	159.29	07/21/20	169.42	10.13 W	0.00	1 of 3 - Sale <sup>25</sup>
	10.000	17.70	07/21/20	17.78	0.08 W	0.00	2 of 3 - Sale <sup>25</sup>
	150.000	265.48	07/21/20	266.64	1.16 W	0.00	3 of 3 - Sale <sup>25</sup>
07/21/20	250.000	442.47	Various	453.84	11.37 W	0.00	Total of 3 transactions
4 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	750.000	1,237.46	08/19/20	1,258.50	...	-21.04	1 of 4 - Sale <sup>25</sup>
	90.000	148.49	08/19/20	161.15	...	-12.66	2 of 4 - Sale <sup>25</sup>
	150.000	247.49	08/19/20	252.86	...	-5.37	3 of 4 - Sale <sup>25</sup>
	10.000	16.50	08/19/20	16.86	...	-0.36	4 of 4 - Sale <sup>25</sup>
08/19/20	1,000.000	1,649.94	Various	1,689.37	...	-39.43	Total of 4 transactions
	<b>Security total:</b>	<b>3,996.97</b>		<b>4,062.61</b>	<b>36.63 W</b>	<b>-29.01</b>	
KRATON CORPORATION / CUSIP: 50077C106 / Symbol:							
03/26/20	40.000	240.00	03/25/20	252.62	...	-12.62	Sale <sup>25</sup>
LEJU HOLDINGS LIMITED / CUSIP: 50187J108 / Symbol:							
26 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	217.000	585.87	08/19/20	722.74	...	-136.87	1 of 26 - Sale <sup>25</sup>
	113.000	305.08	08/19/20	378.59	...	-73.51	2 of 26 - Sale <sup>25</sup>
	88.000	237.58	08/19/20	301.01	...	-63.43	3 of 26 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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LEJU HOLDINGS LIMITED / CUSIP: 50187J108 / Symbol: (cont'd)							
	100.000	269.98	08/19/20	331.03	...	-61.05	4 of 26 - Sale <sup>25</sup>
	92.000	248.39	08/19/20	304.09	...	-55.70	5 of 26 - Sale <sup>25</sup>
	103.000	278.08	08/19/20	329.64	...	-51.56	6 of 26 - Sale <sup>25</sup>
	97.000	261.88	08/19/20	310.44	...	-48.56	7 of 26 - Sale <sup>25</sup>
	95.000	256.48	08/19/20	304.03	...	-47.55	8 of 26 - Sale <sup>25</sup>
	93.000	251.08	08/19/20	297.64	...	-46.56	9 of 26 - Sale <sup>25</sup>
	72.000	194.39	08/19/20	239.80	...	-45.41	10 of 26 - Sale <sup>25</sup>
	40.000	107.99	08/19/20	136.82	...	-28.83	11 of 26 - Sale <sup>25</sup>
	42.000	113.39	08/19/20	139.88	...	-26.49	12 of 26 - Sale <sup>25</sup>
	50.000	134.99	08/19/20	160.00	...	-25.01	13 of 26 - Sale <sup>25</sup>
	50.000	134.99	08/19/20	160.00	...	-25.01	14 of 26 - Sale <sup>25</sup>
	39.000	105.29	08/19/20	129.10	...	-23.81	15 of 26 - Sale <sup>25</sup>
	42.000	113.39	08/19/20	136.94	...	-23.55	16 of 26 - Sale <sup>25</sup>
	46.000	124.19	08/19/20	147.22	...	-23.03	17 of 26 - Sale <sup>25</sup>
	28.000	75.60	08/19/20	93.25	...	-17.65	18 of 26 - Sale <sup>25</sup>
	11.000	29.70	08/19/20	35.20	...	-5.50	19 of 26 - Sale <sup>25</sup>
	8.000	21.60	08/19/20	26.60	...	-5.00	20 of 26 - Sale <sup>25</sup>
	5.000	13.50	08/19/20	17.10	...	-3.60	21 of 26 - Sale <sup>25</sup>
	7.000	18.90	08/19/20	22.47	...	-3.57	22 of 26 - Sale <sup>25</sup>
	5.000	13.50	08/19/20	16.00	...	-2.50	23 of 26 - Sale <sup>25</sup>
	4.000	10.80	08/19/20	13.24	...	-2.44	24 of 26 - Sale <sup>25</sup>
	2.000	5.40	08/19/20	6.52	...	-1.12	25 of 26 - Sale <sup>25</sup>
	1.000	2.70	08/19/20	3.22	...	-0.52	26 of 26 - Sale <sup>25</sup>
08/19/20	1,450.000	3,914.74	Various	4,762.57	...	-847.83	Total of 26 transactions
<i>37 transactions for 08/19/20. Total proceeds and cost reported to the IRS.</i>							
	55.000	148.49	08/19/20	173.27	2.25 W	-22.53	1 of 37 - Sale <sup>25</sup>
	50.000	147.50	08/19/20	148.50	1.00 W	0.00	2 of 37 - Sale <sup>25</sup>
	500.000	1,474.90	08/19/20	1,485.00	10.10 W	0.00	3 of 37 - Sale <sup>25</sup>
	100.000	290.01	08/19/20	297.00	6.99 W	0.00	4 of 37 - Sale <sup>25</sup>
	500.000	1,449.90	08/19/20	1,485.00	35.10 W	0.00	5 of 37 - Sale <sup>25</sup>
	2.000	5.80	08/19/20	5.94	0.14 W	0.00	6 of 37 - Sale <sup>25</sup>
	39.000	111.15	08/19/20	115.83	4.68 W	0.00	7 of 37 - Sale <sup>25</sup>
	4.000	11.40	08/19/20	11.88	0.48 W	0.00	8 of 37 - Sale <sup>25</sup>
	100.000	284.99	08/19/20	297.00	12.01 W	0.00	9 of 37 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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LEJU HOLDINGS LIMITED / CUSIP: 50187J108 / Symbol: (cont'd)							
	205.000	576.01	08/19/20	608.85	32.84 W	0.00	10 of 37 - Sale <sup>25</sup>
	50.000	140.49	08/19/20	149.50	9.01 W	0.00	11 of 37 - Sale <sup>25</sup>
	245.000	688.40	08/19/20	732.60	44.20 W	0.00	12 of 37 - Sale <sup>25</sup>
	200.000	561.96	08/19/20	598.04	36.08 W	0.00	13 of 37 - Sale <sup>25</sup>
	50.000	140.50	08/19/20	152.00	11.50 W	0.00	14 of 37 - Sale <sup>25</sup>
	55.000	154.54	08/19/20	164.46	9.92 W	0.00	15 of 37 - Sale <sup>25</sup>
	46.000	129.25	08/19/20	139.85	10.60 W	0.00	16 of 37 - Sale <sup>25</sup>
	50.000	140.49	08/19/20	151.99	11.50 W	0.00	17 of 37 - Sale <sup>25</sup>
	104.000	292.22	08/19/20	316.18	23.96 W	0.00	18 of 37 - Sale <sup>25</sup>
	200.000	561.96	08/19/20	608.04	46.08 W	0.00	19 of 37 - Sale <sup>25</sup>
	95.000	266.94	08/19/20	288.82	21.88 W	0.00	20 of 37 - Sale <sup>25</sup>
	7.000	19.60	08/19/20	21.28	1.68 W	0.00	21 of 37 - Sale <sup>25</sup>
	5.000	14.05	08/19/20	15.20	1.15 W	0.00	22 of 37 - Sale <sup>25</sup>
	1.000	2.79	08/19/20	3.04	0.25 W	0.00	23 of 37 - Sale <sup>25</sup>
	2.000	5.50	08/19/20	6.08	0.58 W	0.00	24 of 37 - Sale <sup>25</sup>
	4.000	11.00	08/19/20	12.36	1.36 W	0.00	25 of 37 - Sale <sup>25</sup>
	39.000	107.24	08/19/20	120.51	13.27 W	0.00	26 of 37 - Sale <sup>25</sup>
	42.000	115.49	08/19/20	127.69	12.20 W	0.00	27 of 37 - Sale <sup>25</sup>
	100.000	274.98	08/19/20	309.01	34.03 W	0.00	28 of 37 - Sale <sup>25</sup>
	113.000	310.73	08/19/20	353.71	42.98 W	0.00	29 of 37 - Sale <sup>25</sup>
	8.000	22.36	08/19/20	25.20	2.84 W	0.00	30 of 37 - Sale <sup>25</sup>
	92.000	257.13	08/19/20	287.98	30.85 W	0.00	31 of 37 - Sale <sup>25</sup>
	42.000	117.17	08/19/20	132.31	15.14 W	0.00	32 of 37 - Sale <sup>25</sup>
	217.000	605.39	08/19/20	683.64	78.25 W	0.00	33 of 37 - Sale <sup>25</sup>
	72.000	200.87	08/19/20	226.83	25.96 W	0.00	34 of 37 - Sale <sup>25</sup>
	28.000	78.12	08/19/20	88.21	10.09 W	0.00	35 of 37 - Sale <sup>25</sup>
	40.000	108.00	08/19/20	126.02	18.02 W	0.00	36 of 37 - Sale <sup>25</sup>
	88.000	237.58	08/19/20	277.23	39.65 W	0.00	37 of 37 - Sale <sup>25</sup>
08/19/20	3,550.000	10,064.90	Various	10,746.05	658.62 W	-22.53	Total of 37 transactions
	<b>Security total:</b>	<b>13,979.64</b>		<b>15,508.62</b>	<b>658.62 W</b>	<b>-870.36</b>	

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(continued)

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LI AUTO INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 50202M102 / Symbol:							
5 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	50.000	822.22	07/30/20	829.50	7.28 W	0.00	1 of 5 - Sale <sup>25</sup>
	50.000	822.23	07/30/20	839.00	16.77 W	0.00	2 of 5 - Sale <sup>25</sup>
	5.000	82.22	07/30/20	84.20	1.98 W	0.00	3 of 5 - Sale <sup>25</sup>
	45.000	740.00	07/31/20	765.45	25.45 W	0.00	4 of 5 - Sale <sup>25</sup>
	10.000	164.45	07/31/20	169.05	4.60 W	0.00	5 of 5 - Sale <sup>25</sup>
07/31/20	160.000	2,631.12	Various	2,687.20	56.08 W	0.00	Total of 5 transactions
08/21/20	140.000	2,156.63	08/21/20	2,156.00	...	0.63	Sale <sup>25</sup>
5 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	50.000	770.23	08/21/20	777.03	6.80 W	0.00	1 of 5 - Sale <sup>25</sup>
	50.000	770.22	08/21/20	786.52	16.30 W	0.00	2 of 5 - Sale <sup>25</sup>
	5.000	77.02	08/21/20	78.98	1.96 W	0.00	3 of 5 - Sale <sup>25</sup>
	10.000	154.05	08/21/20	158.60	4.55 W	0.00	4 of 5 - Sale <sup>25</sup>
	45.000	693.20	08/21/20	718.45	25.25 W	0.00	5 of 5 - Sale <sup>25</sup>
08/21/20	160.000	2,464.72	Various	2,519.58	54.86 W	0.00	Total of 5 transactions
6 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	45.000	740.42	08/24/20	771.85	...	-31.43	1 of 6 - Sale <sup>25</sup>
	50.000	822.68	08/24/20	845.86	...	-23.18	2 of 6 - Sale <sup>25</sup>
	65.000	1,069.48	08/24/20	1,085.50	...	-16.02	3 of 6 - Sale <sup>25</sup>
	10.000	164.54	08/24/20	170.46	...	-5.92	4 of 6 - Sale <sup>25</sup>
	40.000	658.15	08/24/20	663.65	...	-5.50	5 of 6 - Sale <sup>25</sup>
	5.000	82.27	08/24/20	84.92	...	-2.65	6 of 6 - Sale <sup>25</sup>
08/24/20	215.000	3,537.54	Various	3,622.24	...	-84.70	Total of 6 transactions
08/24/20	50.000	822.69	08/24/20	836.36	2.19 W	-11.48	Sale <sup>25</sup>
3 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	7.998	128.16	09/08/20	131.91	...	-3.75	1 of 3 - Sale <sup>25</sup>
	0.002	0.04	09/08/20	0.04	...	0.00	2 of 3 - Sale <sup>25</sup>
	0.015	0.24	09/08/20	0.24	...	0.00	3 of 3 - Sale <sup>25</sup>
09/08/20	8.015	128.44	Various	132.19	...	-3.75	Total of 3 transactions
10 transactions for 11/04/20. Total proceeds and cost reported to the IRS.							
	21.000	561.41	11/04/20	573.05	...	-11.64	1 of 10 - Sale <sup>25</sup>
	14.000	371.06	11/04/20	380.86	...	-9.80	2 of 10 - Sale <sup>25</sup>
	8.000	212.04	11/04/20	216.83	...	-4.79	3 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LI AUTO INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 50202M102 / Symbol: (cont'd)							
	4.000	106.94	11/04/20	108.92	...	-1.98	4 of 10 - Sale <sup>25</sup>
	5.000	132.52	11/04/20	134.41	...	-1.89	5 of 10 - Sale <sup>25</sup>
	1.000	26.50	11/04/20	27.09	...	-0.59	6 of 10 - Sale <sup>25</sup>
	3.000	81.60	11/04/20	78.45	...	3.15	7 of 10 - Sale <sup>25</sup>
	17.000	466.13	11/04/20	444.55	...	21.58	8 of 10 - Sale <sup>25</sup>
	28.000	761.58	11/04/20	730.80	...	30.78	9 of 10 - Sale <sup>25</sup>
	42.000	1,151.60	11/04/20	1,087.80	...	63.80	10 of 10 - Sale <sup>25</sup>
11/04/20	143.000	3,871.38	Various	3,782.76	...	88.62	Total of 10 transactions
	4 transactions for 11/04/20. Total proceeds and cost reported to the IRS.						
	25.000	668.36	11/04/20	683.46	5.43 W	-9.67	1 of 4 - Sale <sup>25</sup>
	60.000	1,631.95	11/04/20	1,658.25	26.30 W	0.00	2 of 4 - Sale <sup>25</sup>
	9.000	244.79	11/04/20	248.22	3.43 W	0.00	3 of 4 - Sale <sup>25</sup>
	14.000	374.28	11/04/20	384.14	9.86 W	0.00	4 of 4 - Sale <sup>25</sup>
11/04/20	108.000	2,919.38	Various	2,974.07	45.02 W	-9.67	Total of 4 transactions
	13 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	41.000	1,299.09	12/07/20	1,305.85	...	-6.76	1 of 13 - Sale <sup>25</sup>
	22.000	697.08	12/07/20	703.56	...	-6.48	2 of 13 - Sale <sup>25</sup>
	50.000	1,584.26	12/07/20	1,590.00	...	-5.74	3 of 13 - Sale <sup>25</sup>
	50.000	1,584.26	12/07/20	1,587.50	...	-3.24	4 of 13 - Sale <sup>25</sup>
	9.000	285.17	12/07/20	287.53	...	-2.36	5 of 13 - Sale <sup>25</sup>
	10.000	316.85	12/07/20	318.50	...	-1.65	6 of 13 - Sale <sup>25</sup>
	6.000	190.11	12/07/20	191.70	...	-1.59	7 of 13 - Sale <sup>25</sup>
	1.000	31.69	12/07/20	31.91	...	-0.22	8 of 13 - Sale <sup>25</sup>
	1.000	31.69	12/07/20	31.88	...	-0.19	9 of 13 - Sale <sup>25</sup>
	1.000	31.91	12/07/20	31.86	...	0.05	10 of 13 - Sale <sup>25</sup>
	38.000	1,212.46	12/07/20	1,212.20	...	0.26	11 of 13 - Sale <sup>25</sup>
	62.000	1,978.22	12/07/20	1,977.80	...	0.42	12 of 13 - Sale <sup>25</sup>
	250.000	7,867.29	12/07/20	7,812.50	...	54.79	13 of 13 - Sale <sup>25</sup>
12/07/20	541.000	17,110.08	Various	17,082.79	...	27.29	Total of 13 transactions
	18 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	10.000	316.85	12/07/20	319.49	1.06 W	-1.58	1 of 18 - Sale <sup>25</sup>
	1.000	31.47	12/07/20	31.50	0.03 W	0.00	2 of 18 - Sale <sup>25</sup>
	1.000	31.91	12/07/20	32.03	0.12 W	0.00	3 of 18 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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LI AUTO INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 50202M102 / Symbol: (cont'd)							
	99.000	3,158.78	12/07/20	3,168.00	9.22 W	0.00	4 of 18 - Sale <sup>25</sup>
	1.000	31.89	12/07/20	31.91	0.02 W	0.00	5 of 18 - Sale <sup>25</sup>
	24.000	765.46	12/07/20	770.24	4.78 W	0.00	6 of 18 - Sale <sup>25</sup>
	23.000	733.57	12/07/20	736.99	3.42 W	0.00	7 of 18 - Sale <sup>25</sup>
	10.000	318.94	12/07/20	319.93	0.99 W	0.00	8 of 18 - Sale <sup>25</sup>
	25.000	797.35	12/07/20	799.83	2.48 W	0.00	9 of 18 - Sale <sup>25</sup>
	6.000	191.36	12/07/20	191.96	0.60 W	0.00	10 of 18 - Sale <sup>25</sup>
	9.000	287.05	12/07/20	287.93	0.88 W	0.00	11 of 18 - Sale <sup>25</sup>
	1.000	31.89	12/07/20	31.95	0.06 W	0.00	12 of 18 - Sale <sup>25</sup>
	1.000	31.90	12/07/20	31.93	0.03 W	0.00	13 of 18 - Sale <sup>25</sup>
	22.000	702.00	12/07/20	704.86	2.86 W	0.00	14 of 18 - Sale <sup>25</sup>
	2.000	63.37	12/07/20	64.20	0.83 W	0.00	15 of 18 - Sale <sup>25</sup>
	10.000	316.85	12/07/20	319.99	3.14 W	0.00	16 of 18 - Sale <sup>25</sup>
	15.000	475.28	12/07/20	479.99	4.71 W	0.00	17 of 18 - Sale <sup>25</sup>
	23.000	728.76	12/07/20	737.12	8.36 W	0.00	18 of 18 - Sale <sup>25</sup>
12/07/20	283.000	9,014.68	Various	9,059.85	43.59 W	-1.58	Total of 18 transactions
	4 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	23.000	771.53	12/09/20	785.76	...	-14.23	1 of 4 - Sale <sup>25</sup>
	15.000	503.17	12/09/20	511.71	...	-8.54	2 of 4 - Sale <sup>25</sup>
	10.000	335.45	12/09/20	341.14	...	-5.69	3 of 4 - Sale <sup>25</sup>
	2.000	67.09	12/09/20	68.43	...	-1.34	4 of 4 - Sale <sup>25</sup>
12/09/20	50.000	1,677.24	Various	1,707.04	...	-29.80	Total of 4 transactions
	<b>Security total:</b>	<b>46,333.90</b>		<b>46,560.08</b>	<b>201.74 W</b>	<b>-24.44</b>	
LADDER CAPITAL CORP / CUSIP: 505743104 / Symbol:							
	3 transactions for 03/27/20. Total proceeds and cost reported to the IRS.						
	25.000	181.99	03/26/20	170.25	...	11.74	1 of 3 - Sale <sup>25</sup>
	50.000	363.98	03/26/20	344.00	...	19.98	2 of 3 - Sale <sup>25</sup>
	75.000	545.98	03/26/20	477.00	...	68.98	3 of 3 - Sale <sup>25</sup>
03/27/20	150.000	1,091.95	Various	991.25	...	100.70	Total of 3 transactions
04/06/20	100.000	409.99	04/03/20	320.99	...	89.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,501.94</b>		<b>1,312.24</b>	...	<b>189.70</b>	

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(continued)

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LAKELAND INDUSTRIES, INC. COMM ON STOCK / CUSIP: 511795106 / Symbol:							
2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	30.000	471.30	04/16/20	475.99	...	-4.69	1 of 2 - Sale <sup>25</sup>
04/17/20	20.000	314.00	04/16/20	317.33	...	-3.33	2 of 2 - Sale <sup>25</sup>
	50.000	785.30	Various	793.32	...	-8.02	Total of 2 transactions
5 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	75.000	1,735.71	06/24/20	1,830.00	...	-94.29	1 of 5 - Sale <sup>25</sup>
	27.000	624.86	06/24/20	660.42	...	-35.56	2 of 5 - Sale <sup>25</sup>
	23.000	532.29	06/24/20	562.58	...	-30.29	3 of 5 - Sale <sup>25</sup>
	50.000	1,157.14	06/24/20	1,144.91	...	12.23	4 of 5 - Sale <sup>25</sup>
06/25/20	50.000	1,157.14	06/24/20	1,144.89	...	12.25	5 of 5 - Sale <sup>25</sup>
	225.000	5,207.14	Various	5,342.80	...	-135.66	Total of 5 transactions
	<b>Security total:</b>	<b>5,992.44</b>		<b>6,136.12</b>	...	<b>-143.68</b>	
LANDCADIA HOLDINGS II, INC. CL ASS A COMMON STOCK / CUSIP: 51476X105 / Symbol:							
3 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	150.000	2,332.43	06/29/20	2,419.50	58.05 W	-29.02	1 of 3 - Sale <sup>25</sup>
	98.000	1,523.85	06/29/20	1,552.32	28.47 W	0.00	2 of 3 - Sale <sup>25</sup>
06/30/20	2.000	31.10	06/29/20	31.68	0.58 W	0.00	3 of 3 - Sale <sup>25</sup>
	250.000	3,887.38	Various	4,003.50	87.10 W	-29.02	Total of 3 transactions
3 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	25.000	342.75	07/10/20	367.27	...	-24.52	1 of 3 - Sale <sup>25</sup>
	24.000	333.12	07/10/20	352.57	...	-19.45	2 of 3 - Sale <sup>25</sup>
07/10/20	1.000	13.88	07/10/20	14.69	...	-0.81	3 of 3 - Sale <sup>25</sup>
	50.000	689.75	Various	734.53	...	-44.78	Total of 3 transactions
4 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	48.000	605.74	07/14/20	624.50	...	-18.76	1 of 4 - Sale <sup>25</sup>
	25.000	315.49	07/14/20	332.51	...	-17.02	2 of 4 - Sale <sup>25</sup>
	25.000	315.50	07/14/20	332.51	...	-17.01	3 of 4 - Sale <sup>25</sup>
07/14/20	2.000	25.24	07/14/20	26.02	...	-0.78	4 of 4 - Sale <sup>25</sup>
	100.000	1,261.97	Various	1,315.54	...	-53.57	Total of 4 transactions
07/15/20	50.000	650.98	07/15/20	686.03	...	-35.05	Sale <sup>25</sup>
	<b>Security total:</b>	<b>6,490.08</b>		<b>6,739.60</b>	<b>87.10 W</b>	<b>-162.42</b>	

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LATAM AIRLS GROUP S A AMERICAN DEPOSITARY SHARES - SPONSORED / CUSIP: 51817R106 / Symbol:							
03/27/20	125.000	359.99	03/26/20	391.24	...	-31.25	Sale <sup>25</sup>
05/29/20	200.000	223.98	05/28/20	232.00	...	-8.02	Sale <sup>25</sup>
	<b>Security total:</b>	<b>583.97</b>		<b>623.24</b>	...	<b>-39.27</b>	
LIBERTY TRIPADVISOR HOLDINGS, INC. SERIES B COMMON STOCK / CUSIP: 531465201 / Symbol:							
04/27/20	1.000	38.00	04/24/20	47.50	...	-9.50	Sale <sup>25</sup>
LIGHTPATH TECHNOLOGIES, INC. C LASS A COMMON STOCK / CUSIP: 532257805 / Symbol:							
<i>2 transactions for 03/10/20. Total proceeds and cost reported to the IRS.</i>							
	6.000	9.00	03/09/20	10.64	1.64 W	0.00	1 of 2 - Sale <sup>25</sup>
	194.000	279.34	03/09/20	344.16	64.82 W	0.00	2 of 2 - Sale <sup>25</sup>
03/10/20	200.000	288.34	Various	354.80	66.46 W	0.00	Total of 2 transactions
<i>3 transactions for 03/13/20. Total proceeds and cost reported to the IRS.</i>							
	194.000	223.08	03/12/20	280.14	...	-57.06	1 of 3 - Sale <sup>25</sup>
	6.000	6.90	03/12/20	8.30	...	-1.40	2 of 3 - Sale <sup>25</sup>
	50.000	57.49	03/12/20	55.50	...	1.99	3 of 3 - Sale <sup>25</sup>
03/13/20	250.000	287.47	Various	343.94	...	-56.47	Total of 3 transactions
	<b>Security total:</b>	<b>575.81</b>		<b>698.74</b>	<b>66.46 W</b>	<b>-56.47</b>	
LIGHTINTHEBOX HOLDING CO., LTD . / CUSIP: 53225G102 / Symbol:							
<i>3 transactions for 08/18/20. Total proceeds and cost reported to the IRS.</i>							
	800.000	2,257.05	08/18/20	2,280.00	...	-22.95	1 of 3 - Sale <sup>25</sup>
	100.000	282.13	08/18/20	285.00	...	-2.87	2 of 3 - Sale <sup>25</sup>
	100.000	282.13	08/18/20	285.00	...	-2.87	3 of 3 - Sale <sup>25</sup>
08/18/20	1,000.000	2,821.31	Various	2,850.00	...	-28.69	Total of 3 transactions
10/12/20	2.000	5.14	10/09/20	5.42	...	-0.28	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,826.45</b>		<b>2,855.42</b>	...	<b>-28.97</b>	
LIMELIGHT NETWORKS, INC. COMMO N STOCK / CUSIP: 53261M104 / Symbol:							
03/31/20	70.000	400.39	03/30/20	391.90	...	8.49	Sale <sup>25</sup>
<i>2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.</i>							
	500.000	3,680.11	06/25/20	3,709.50	...	-29.39	1 of 2 - Sale <sup>25</sup>
	1,000.000	7,360.21	06/25/20	7,339.10	...	21.11	2 of 2 - Sale <sup>25</sup>
06/25/20	1,500.000	11,040.32	Various	11,048.60	...	-8.28	Total of 2 transactions

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LIMELIGHT NETWORKS, INC. COMMO N STOCK / CUSIP: 53261M104 / Symbol: (cont'd)							
<b>Security total:</b>		<b>11,440.71</b>		<b>11,440.50</b>	...		<b>0.21</b>
LIMINAL BIOSCIENCES INC. COMMO N SHARES / CUSIP: 53272L103 / Symbol:							
07/30/20	30.000	788.68	07/30/20	771.00	...		17.68 Sale <sup>25</sup>
24 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	49.000	1,288.17	07/30/20	1,421.00	132.83 W	0.00	1 of 24 - Sale <sup>25</sup>
	1.000	26.29	07/30/20	29.00	2.71 W	0.00	2 of 24 - Sale <sup>25</sup>
	20.000	525.18	07/30/20	634.22	109.04 W	0.00	3 of 24 - Sale <sup>25</sup>
	9.000	238.05	07/30/20	271.90	33.85 W	0.00	4 of 24 - Sale <sup>25</sup>
	1.000	26.45	07/30/20	30.06	3.61 W	0.00	5 of 24 - Sale <sup>25</sup>
	3.000	79.35	07/30/20	90.17	10.82 W	0.00	6 of 24 - Sale <sup>25</sup>
	6.000	158.70	07/30/20	180.33	21.63 W	0.00	7 of 24 - Sale <sup>25</sup>
	1.000	26.08	07/30/20	30.06	3.98 W	0.00	8 of 24 - Sale <sup>25</sup>
	10.000	260.80	07/30/20	300.55	39.75 W	0.00	9 of 24 - Sale <sup>25</sup>
	4.000	104.32	07/30/20	131.19	26.87 W	0.00	10 of 24 - Sale <sup>25</sup>
	9.000	234.71	07/30/20	279.95	45.24 W	0.00	11 of 24 - Sale <sup>25</sup>
	16.000	417.27	07/30/20	524.75	107.48 W	0.00	12 of 24 - Sale <sup>25</sup>
	22.000	573.74	07/30/20	601.59	27.85 W	0.00	13 of 24 - Sale <sup>25</sup>
	1.000	26.08	07/30/20	31.11	5.03 W	0.00	14 of 24 - Sale <sup>25</sup>
	3.000	78.24	07/30/20	93.32	15.08 W	0.00	15 of 24 - Sale <sup>25</sup>
	4.000	104.32	07/30/20	125.90	21.58 W	0.00	16 of 24 - Sale <sup>25</sup>
	6.000	156.47	07/30/20	186.63	30.16 W	0.00	17 of 24 - Sale <sup>25</sup>
	6.000	156.00	07/30/20	182.85	26.85 W	0.00	18 of 24 - Sale <sup>25</sup>
	1.000	26.00	07/30/20	30.48	4.48 W	0.00	19 of 24 - Sale <sup>25</sup>
	4.000	104.00	07/30/20	133.03	29.03 W	0.00	20 of 24 - Sale <sup>25</sup>
	3.000	78.00	07/30/20	94.70	16.70 W	0.00	21 of 24 - Sale <sup>25</sup>
	16.000	415.98	07/30/20	532.12	116.14 W	0.00	22 of 24 - Sale <sup>25</sup>
	6.000	155.99	07/30/20	189.40	33.41 W	0.00	23 of 24 - Sale <sup>25</sup>
	14.000	363.99	07/30/20	389.28	25.29 W	0.00	24 of 24 - Sale <sup>25</sup>
07/30/20	215.000	5,624.18	Various	6,513.59	889.41 W	0.00	Total of 24 transactions
14 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	16.000	290.55	08/04/20	409.42	...	-118.87	1 of 14 - Sale <sup>25</sup>
	6.000	108.95	08/04/20	144.53	...	-35.58	2 of 14 - Sale <sup>25</sup>
	6.000	108.96	08/04/20	140.14	...	-31.18	3 of 14 - Sale <sup>25</sup>

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LIMINAL BIOSCIENCES INC. COMMO N SHARES / CUSIP: 53272L103 / Symbol: (cont'd)							
	14.000	254.23	08/04/20	284.57	...	-30.34	4 of 14 - Sale <sup>25</sup>
	4.000	72.64	08/04/20	102.35	...	-29.71	5 of 14 - Sale <sup>25</sup>
	6.000	108.96	08/04/20	136.83	...	-27.87	6 of 14 - Sale <sup>25</sup>
	4.000	72.64	08/04/20	94.90	...	-22.26	7 of 14 - Sale <sup>25</sup>
	3.000	54.48	08/04/20	70.07	...	-15.59	8 of 14 - Sale <sup>25</sup>
	2.000	36.32	08/04/20	48.17	...	-11.85	9 of 14 - Sale <sup>25</sup>
	8.000	145.28	08/04/20	156.77	...	-11.49	10 of 14 - Sale <sup>25</sup>
	28.000	508.45	08/04/20	518.56	...	-10.11	11 of 14 - Sale <sup>25</sup>
	1.000	18.16	08/04/20	23.90	...	-5.74	12 of 14 - Sale <sup>25</sup>
	1.000	18.16	08/04/20	23.36	...	-5.20	13 of 14 - Sale <sup>25</sup>
	1.000	18.16	08/04/20	22.81	...	-4.65	14 of 14 - Sale <sup>25</sup>
08/04/20	100.000	1,815.94	Various	2,176.38	...	-360.44	Total of 14 transactions
	<b>Security total:</b>	<b>8,228.80</b>		<b>9,460.97</b>	<b>889.41 W</b>	<b>-342.76</b>	
LINEAGE CELL THERAPEUTICS, INC. / CUSIP: 53566P109 / Symbol:							
04/03/20	200.000	144.98	04/02/20	163.98	...	-19.00	Sale <sup>25</sup>
LIPOCINE INC. COMMON STOCK / CUSIP: 53630X104 / Symbol:							
23 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	200.000	291.97	07/23/20	297.00	...	-5.03	1 of 23 - Sale <sup>25</sup>
	100.000	145.98	07/23/20	149.52	...	-3.54	2 of 23 - Sale <sup>25</sup>
	100.000	145.98	07/23/20	149.52	...	-3.54	3 of 23 - Sale <sup>25</sup>
	100.000	145.98	07/23/20	149.52	...	-3.54	4 of 23 - Sale <sup>25</sup>
	100.000	145.99	07/23/20	149.52	...	-3.53	5 of 23 - Sale <sup>25</sup>
	100.000	145.98	07/23/20	149.51	...	-3.53	6 of 23 - Sale <sup>25</sup>
	100.000	145.99	07/23/20	149.52	...	-3.53	7 of 23 - Sale <sup>25</sup>
	100.000	145.99	07/23/20	149.51	...	-3.52	8 of 23 - Sale <sup>25</sup>
	100.000	145.99	07/23/20	149.51	...	-3.52	9 of 23 - Sale <sup>25</sup>
	100.000	145.98	07/23/20	149.02	...	-3.04	10 of 23 - Sale <sup>25</sup>
	100.000	145.98	07/23/20	149.02	...	-3.04	11 of 23 - Sale <sup>25</sup>
	100.000	145.99	07/23/20	149.01	...	-3.02	12 of 23 - Sale <sup>25</sup>
	100.000	145.98	07/23/20	148.50	...	-2.52	13 of 23 - Sale <sup>25</sup>
	100.000	145.98	07/23/20	148.50	...	-2.52	14 of 23 - Sale <sup>25</sup>
	100.000	145.98	07/23/20	148.50	...	-2.52	15 of 23 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LIPOCINE INC. COMMON STOCK / CUSIP: 53630X104 / Symbol: (cont'd)							
	100.000	145.99	07/23/20	148.50	...	-2.51	16 of 23 - Sale <sup>25</sup>
	100.000	145.99	07/23/20	148.50	...	-2.51	17 of 23 - Sale <sup>25</sup>
	100.000	145.99	07/23/20	148.50	...	-2.51	18 of 23 - Sale <sup>25</sup>
	100.000	145.99	07/23/20	148.50	...	-2.51	19 of 23 - Sale <sup>25</sup>
	100.000	145.98	07/23/20	146.50	...	-0.52	20 of 23 - Sale <sup>25</sup>
	100.000	145.98	07/23/20	146.50	...	-0.52	21 of 23 - Sale <sup>25</sup>
	100.000	145.99	07/23/20	146.50	...	-0.51	22 of 23 - Sale <sup>25</sup>
	100.000	145.99	07/23/20	146.50	...	-0.51	23 of 23 - Sale <sup>25</sup>
07/23/20	2,400.000	3,503.64	Various	3,565.68	...	-62.04	Total of 23 transactions
	11 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	100.000	145.98	07/23/20	146.50	0.52 W	0.00	1 of 11 - Sale <sup>25</sup>
	100.000	145.98	07/23/20	146.50	0.52 W	0.00	2 of 11 - Sale <sup>25</sup>
	100.000	145.99	07/23/20	146.50	0.51 W	0.00	3 of 11 - Sale <sup>25</sup>
	100.000	145.98	07/23/20	146.50	0.52 W	0.00	4 of 11 - Sale <sup>25</sup>
	100.000	145.99	07/23/20	146.50	0.51 W	0.00	5 of 11 - Sale <sup>25</sup>
	100.000	145.98	07/23/20	146.50	0.52 W	0.00	6 of 11 - Sale <sup>25</sup>
	100.000	145.99	07/23/20	146.50	0.51 W	0.00	7 of 11 - Sale <sup>25</sup>
	100.000	145.98	07/23/20	146.50	0.52 W	0.00	8 of 11 - Sale <sup>25</sup>
	100.000	145.98	07/23/20	146.50	0.52 W	0.00	9 of 11 - Sale <sup>25</sup>
	100.000	145.99	07/23/20	146.50	0.51 W	0.00	10 of 11 - Sale <sup>25</sup>
	100.000	145.98	07/23/20	146.50	0.52 W	0.00	11 of 11 - Sale <sup>25</sup>
07/23/20	1,100.000	1,605.82	Various	1,611.50	5.68 W	0.00	Total of 11 transactions
	5 transactions for 11/09/20. Total proceeds and cost reported to the IRS.						
	100.000	146.48	11/06/20	147.00	...	-0.52	1 of 5 - Sale <sup>25</sup>
	40.000	58.59	11/06/20	58.80	...	-0.21	2 of 5 - Sale <sup>25</sup>
	2.000	2.93	11/06/20	2.91	...	0.02	3 of 5 - Sale <sup>25</sup>
	100.000	146.48	11/06/20	146.00	...	0.48	4 of 5 - Sale <sup>25</sup>
	123.000	180.18	11/06/20	179.58	...	0.60	5 of 5 - Sale <sup>25</sup>
11/09/20	365.000	534.66	Various	534.29	...	0.37	Total of 5 transactions
	<b>Security total:</b>	<b>5,644.12</b>		<b>5,711.47</b>	<b>5.68 W</b>	<b>-61.67</b>	

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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LITHIUM AMERICAS CORP. COMMON SHARES / CUSIP: 53680Q207 / Symbol:							
3 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	34.000	433.73	09/30/20	433.50	...	0.23	1 of 3 - Sale <sup>25</sup>
	10.000	127.57	09/30/20	127.00	...	0.57	2 of 3 - Sale <sup>25</sup>
	10.000	127.25	09/30/20	126.50	...	0.75	3 of 3 - Sale <sup>25</sup>
09/30/20	54.000	688.55	Various	687.00	...	1.55	Total of 3 transactions
2 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	61.000	776.24	09/30/20	780.80	4.56 W	0.00	1 of 2 - Sale <sup>25</sup>
	61.000	778.16	09/30/20	779.26	1.10 W	0.00	2 of 2 - Sale <sup>25</sup>
09/30/20	122.000	1,554.40	Various	1,560.06	5.66 W	0.00	Total of 2 transactions
3 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	61.000	898.50	10/06/20	889.66	...	8.84	1 of 3 - Sale <sup>25</sup>
	65.000	957.42	10/06/20	945.19	...	12.23	2 of 3 - Sale <sup>25</sup>
	239.000	3,520.36	10/06/20	3,481.42	...	38.94	3 of 3 - Sale <sup>25</sup>
10/06/20	365.000	5,376.28	Various	5,316.27	...	60.01	Total of 3 transactions
23 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	135.000	1,988.49	10/06/20	2,063.46	74.97 W	0.00	1 of 23 - Sale <sup>25</sup>
	150.000	2,209.43	10/06/20	2,275.07	65.64 W	0.00	2 of 23 - Sale <sup>25</sup>
	75.000	1,104.72	10/06/20	1,125.00	20.28 W	0.00	3 of 23 - Sale <sup>25</sup>
	50.000	736.48	10/06/20	745.00	8.52 W	0.00	4 of 23 - Sale <sup>25</sup>
	50.000	730.99	10/06/20	743.52	12.53 W	0.00	5 of 23 - Sale <sup>25</sup>
	75.000	1,096.48	10/06/20	1,122.78	26.30 W	0.00	6 of 23 - Sale <sup>25</sup>
	135.000	1,973.67	10/06/20	2,059.47	85.80 W	0.00	7 of 23 - Sale <sup>25</sup>
	150.000	2,192.96	10/06/20	2,270.64	77.68 W	0.00	8 of 23 - Sale <sup>25</sup>
	189.000	2,763.13	10/06/20	2,778.30	15.17 W	0.00	9 of 23 - Sale <sup>25</sup>
	11.000	160.82	10/06/20	161.70	0.88 W	0.00	10 of 23 - Sale <sup>25</sup>
	100.000	1,461.97	10/06/20	1,470.00	8.03 W	0.00	11 of 23 - Sale <sup>25</sup>
	40.000	584.79	10/06/20	588.00	3.21 W	0.00	12 of 23 - Sale <sup>25</sup>
	4.000	57.60	10/06/20	60.74	3.14 W	0.00	13 of 23 - Sale <sup>25</sup>
	96.000	1,382.35	10/06/20	1,457.81	75.46 W	0.00	14 of 23 - Sale <sup>25</sup>
	81.000	1,166.37	10/06/20	1,220.50	54.13 W	0.00	15 of 23 - Sale <sup>25</sup>
	35.000	503.98	10/06/20	531.50	27.52 W	0.00	16 of 23 - Sale <sup>25</sup>
	69.000	993.57	10/06/20	1,039.68	46.11 W	0.00	17 of 23 - Sale <sup>25</sup>
	15.000	215.99	10/06/20	223.51	7.52 W	0.00	18 of 23 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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LITHIUM AMERICAS CORP. COMMON SHARES / CUSIP: 53680Q207 / Symbol: (cont'd)							
	40.000	575.98	10/06/20	592.02	16.04 W	0.00	19 of 23 - Sale <sup>25</sup>
	60.000	863.97	10/06/20	894.04	30.07 W	0.00	20 of 23 - Sale <sup>25</sup>
	10.000	144.00	10/06/20	148.01	4.01 W	0.00	21 of 23 - Sale <sup>25</sup>
	80.000	1,151.96	10/06/20	1,170.42	18.46 W	0.00	22 of 23 - Sale <sup>25</sup>
	1.000	14.40	10/06/20	14.62	0.22 W	0.00	23 of 23 - Sale <sup>25</sup>
10/06/20	1,651.000	24,074.10	Various	24,755.79	681.69 W	0.00	Total of 23 transactions
	6 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	60.000	898.18	10/07/20	951.07	...	-52.89	1 of 6 - Sale <sup>25</sup>
	80.000	1,197.57	10/07/20	1,246.46	...	-48.89	2 of 6 - Sale <sup>25</sup>
	40.000	598.79	10/07/20	630.04	...	-31.25	3 of 6 - Sale <sup>25</sup>
	42.000	628.72	10/07/20	658.75	...	-30.03	4 of 6 - Sale <sup>25</sup>
	10.000	149.70	10/07/20	157.51	...	-7.81	5 of 6 - Sale <sup>25</sup>
	1.000	14.97	10/07/20	15.57	...	-0.60	6 of 6 - Sale <sup>25</sup>
10/07/20	233.000	3,487.93	Various	3,659.40	...	-171.47	Total of 6 transactions
	16 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	15.000	224.54	10/07/20	237.77	1.76 W	-11.47	1 of 16 - Sale <sup>25</sup>
	55.000	809.90	10/07/20	828.30	18.40 W	0.00	2 of 16 - Sale <sup>25</sup>
	5.000	74.85	10/07/20	77.65	2.80 W	0.00	3 of 16 - Sale <sup>25</sup>
	7.000	104.79	10/07/20	108.71	3.92 W	0.00	4 of 16 - Sale <sup>25</sup>
	11.000	164.67	10/07/20	170.28	5.61 W	0.00	5 of 16 - Sale <sup>25</sup>
	41.000	613.75	10/07/20	634.69	20.94 W	0.00	6 of 16 - Sale <sup>25</sup>
	48.000	718.54	10/07/20	743.05	24.51 W	0.00	7 of 16 - Sale <sup>25</sup>
	25.000	374.24	10/07/20	387.01	12.77 W	0.00	8 of 16 - Sale <sup>25</sup>
	4.000	59.88	10/07/20	64.74	4.86 W	0.00	9 of 16 - Sale <sup>25</sup>
	27.000	404.18	10/07/20	417.97	13.79 W	0.00	10 of 16 - Sale <sup>25</sup>
	29.000	434.12	10/07/20	469.40	35.28 W	0.00	11 of 16 - Sale <sup>25</sup>
	40.000	598.79	10/07/20	619.21	20.42 W	0.00	12 of 16 - Sale <sup>25</sup>
	35.000	523.94	10/07/20	564.77	40.83 W	0.00	13 of 16 - Sale <sup>25</sup>
	67.000	1,002.96	10/07/20	1,081.11	78.15 W	0.00	14 of 16 - Sale <sup>25</sup>
	69.000	1,032.90	10/07/20	1,105.26	72.36 W	0.00	15 of 16 - Sale <sup>25</sup>
	81.000	1,212.54	10/07/20	1,297.48	84.94 W	0.00	16 of 16 - Sale <sup>25</sup>
10/07/20	559.000	8,354.59	Various	8,807.40	441.34 W	-11.47	Total of 16 transactions

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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LITHIUM AMERICAS CORP. COMMON SHARES / CUSIP: 53680Q207 / Symbol: (cont'd)							
24 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	70.000	1,014.26	10/07/20	1,102.40	...	-88.14	1 of 24 - Sale <sup>25</sup>
	67.000	970.80	10/07/20	1,055.16	...	-84.36	2 of 24 - Sale <sup>25</sup>
	46.000	666.52	10/07/20	729.86	...	-63.34	3 of 24 - Sale <sup>25</sup>
	29.000	420.20	10/07/20	461.58	...	-41.38	4 of 24 - Sale <sup>25</sup>
	30.000	434.68	10/07/20	476.00	...	-41.32	5 of 24 - Sale <sup>25</sup>
	41.000	594.07	10/07/20	623.64	...	-29.57	6 of 24 - Sale <sup>25</sup>
	21.000	304.28	10/07/20	333.19	...	-28.91	7 of 24 - Sale <sup>25</sup>
	29.000	420.20	10/07/20	441.11	...	-20.91	8 of 24 - Sale <sup>25</sup>
	27.000	391.22	10/07/20	410.69	...	-19.47	9 of 24 - Sale <sup>25</sup>
	25.000	362.24	10/07/20	380.27	...	-18.03	10 of 24 - Sale <sup>25</sup>
	21.000	304.28	10/07/20	319.42	...	-15.14	11 of 24 - Sale <sup>25</sup>
	11.000	159.38	10/07/20	173.24	...	-13.86	12 of 24 - Sale <sup>25</sup>
	19.000	275.30	10/07/20	289.00	...	-13.70	13 of 24 - Sale <sup>25</sup>
	19.000	275.30	10/07/20	289.00	...	-13.70	14 of 24 - Sale <sup>25</sup>
	11.000	159.38	10/07/20	167.31	...	-7.93	15 of 24 - Sale <sup>25</sup>
	5.000	72.45	10/07/20	79.33	...	-6.88	16 of 24 - Sale <sup>25</sup>
	4.000	57.96	10/07/20	63.66	...	-5.70	17 of 24 - Sale <sup>25</sup>
	10.000	144.90	10/07/20	150.35	...	-5.45	18 of 24 - Sale <sup>25</sup>
	7.000	101.43	10/07/20	106.82	...	-5.39	19 of 24 - Sale <sup>25</sup>
	5.000	72.45	10/07/20	76.30	...	-3.85	20 of 24 - Sale <sup>25</sup>
	3.000	43.47	10/07/20	45.10	...	-1.63	21 of 24 - Sale <sup>25</sup>
	2.000	29.40	10/08/20	31.16	...	-1.76	22 of 24 - Sale <sup>25</sup>
	1.000	14.70	10/08/20	15.75	...	-1.05	23 of 24 - Sale <sup>25</sup>
	1.000	14.70	10/08/20	15.75	...	-1.05	24 of 24 - Sale <sup>25</sup>
10/08/20	504.000	7,303.57	Various	7,836.09	...	-532.52	Total of 24 transactions
	<b>Security total:</b>	<b>50,839.42</b>		<b>52,622.01</b>	<b>1,128.69 W</b>	<b>-653.90</b>	
LIVEPERSON, INC. COMMON STOCK / CUSIP: 538146101 / Symbol:							
06/26/20	50.000	2,069.09	06/25/20	2,040.50	...	28.59	Sale <sup>25</sup>

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LIVEXLIVE MEDIA, INC. COMMON S TOCK / CUSIP: 53839L208 / Symbol:							
<i>3 transactions for 04/30/20. Total proceeds and cost reported to the IRS.</i>							
	8.000	18.80	04/29/20	18.28	...	0.52	1 of 3 - Sale <sup>25</sup>
	100.000	235.01	04/29/20	230.50	...	4.51	2 of 3 - Sale <sup>25</sup>
	92.000	216.21	04/29/20	210.05	...	6.16	3 of 3 - Sale <sup>25</sup>
04/30/20	200.000	470.02	Various	458.83	...	11.19	Total of 3 transactions
05/01/20	100.000	243.99	04/30/20	270.50	26.51 W	0.00	Sale <sup>25</sup>
05/08/20	100.000	330.41	05/07/20	382.01	...	-51.60	Sale <sup>25</sup>
<i>4 transactions for 07/10/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	43.80	07/10/20	44.60	...	-0.80	1 of 4 - Sale <sup>25</sup>
	10.000	44.10	07/10/20	44.60	...	-0.50	2 of 4 - Sale <sup>25</sup>
	100.000	441.49	07/10/20	440.77	...	0.72	3 of 4 - Sale <sup>25</sup>
	100.000	441.49	07/10/20	440.77	...	0.72	4 of 4 - Sale <sup>25</sup>
07/10/20	220.000	970.88	Various	970.74	...	0.14	Total of 4 transactions
	<b>Security total:</b>	<b>2,015.30</b>		<b>2,082.08</b>	<b>26.51 W</b>	<b>-40.27</b>	
LIVONGO HEALTH, INC. COMMON ST OCK / CUSIP: 539183103 / Symbol:							
04/08/20	15.000	500.23	04/07/20	501.00	...	-0.77	Sale <sup>25</sup>
<i>3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	244.00	06/10/20	253.86	9.86 W	0.00	1 of 3 - Sale <sup>25</sup>
	4.000	243.88	06/10/20	253.86	9.98 W	0.00	2 of 3 - Sale <sup>25</sup>
	7.000	426.65	06/10/20	444.26	17.61 W	0.00	3 of 3 - Sale <sup>25</sup>
06/11/20	15.000	914.53	Various	951.98	37.45 W	0.00	Total of 3 transactions
<i>4 transactions for 07/06/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	323.24	07/06/20	334.86	11.62 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	80.81	07/06/20	83.75	2.94 W	0.00	2 of 4 - Sale <sup>25</sup>
	3.000	236.06	07/06/20	251.23	15.17 W	0.00	3 of 4 - Sale <sup>25</sup>
	4.000	314.75	07/06/20	335.06	20.31 W	0.00	4 of 4 - Sale <sup>25</sup>
07/06/20	12.000	954.86	Various	1,004.90	50.04 W	0.00	Total of 4 transactions
<i>4 transactions for 07/09/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	101.42	07/08/20	99.74	...	1.68	1 of 4 - Sale <sup>25</sup>
	1.000	101.42	07/08/20	99.32	...	2.10	2 of 4 - Sale <sup>25</sup>
	2.000	204.74	07/08/20	198.63	...	6.11	3 of 4 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LIVONGO HEALTH, INC. COMMON ST OCK / CUSIP: 539183103 / Symbol: (cont'd)							
	4.000	405.69	07/08/20	398.82	...	6.87	4 of 4 - Sale <sup>25</sup>
07/09/20	8.000	813.27	Various	796.51	...	16.76	Total of 4 transactions
	5 transactions for 07/09/20. Total proceeds and cost reported to the IRS.						
	2.000	202.85	07/08/20	203.71	0.86 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	101.42	07/09/20	107.69	6.27 W	0.00	2 of 5 - Sale <sup>25</sup>
	4.000	405.69	07/09/20	430.83	25.14 W	0.00	3 of 5 - Sale <sup>25</sup>
	5.000	507.11	07/09/20	513.95	6.84 W	0.00	4 of 5 - Sale <sup>25</sup>
	12.000	1,217.07	07/09/20	1,244.88	27.81 W	0.00	5 of 5 - Sale <sup>25</sup>
07/09/20	24.000	2,434.14	Various	2,501.06	66.92 W	0.00	Total of 5 transactions
07/10/20	1.000	107.50	07/09/20	106.47	...	1.03	Sale <sup>25</sup>
	20 transactions for 07/10/20. Total proceeds and cost reported to the IRS.						
	2.000	215.00	07/09/20	215.06	0.06 W	0.00	1 of 20 - Sale <sup>25</sup>
	1.000	107.50	07/09/20	113.37	5.87 W	0.00	2 of 20 - Sale <sup>25</sup>
	4.000	429.99	07/09/20	453.54	23.55 W	0.00	3 of 20 - Sale <sup>25</sup>
	3.000	322.49	07/09/20	325.40	2.91 W	0.00	4 of 20 - Sale <sup>25</sup>
	2.000	214.99	07/09/20	215.67	0.68 W	0.00	5 of 20 - Sale <sup>25</sup>
	12.000	1,289.97	07/09/20	1,305.39	15.42 W	0.00	6 of 20 - Sale <sup>25</sup>
	2.000	212.22	07/09/20	212.99	0.77 W	0.00	7 of 20 - Sale <sup>25</sup>
	1.000	106.11	07/09/20	112.33	6.22 W	0.00	8 of 20 - Sale <sup>25</sup>
	4.000	424.43	07/09/20	449.41	24.98 W	0.00	9 of 20 - Sale <sup>25</sup>
	3.000	318.32	07/09/20	322.31	3.99 W	0.00	10 of 20 - Sale <sup>25</sup>
	15.000	1,591.63	07/09/20	1,596.97	5.34 W	0.00	11 of 20 - Sale <sup>25</sup>
	2.000	212.22	07/09/20	213.94	1.72 W	0.00	12 of 20 - Sale <sup>25</sup>
	12.000	1,273.29	07/09/20	1,294.98	21.69 W	0.00	13 of 20 - Sale <sup>25</sup>
	1.000	106.11	07/09/20	106.63	0.52 W	0.00	14 of 20 - Sale <sup>25</sup>
	2.000	209.43	07/09/20	214.03	4.60 W	0.00	15 of 20 - Sale <sup>25</sup>
	1.000	104.72	07/09/20	112.85	8.13 W	0.00	16 of 20 - Sale <sup>25</sup>
	2.000	209.43	07/09/20	225.75	16.32 W	0.00	17 of 20 - Sale <sup>25</sup>
	2.000	209.44	07/09/20	229.01	19.57 W	0.00	18 of 20 - Sale <sup>25</sup>
	2.000	209.43	07/09/20	219.18	9.75 W	0.00	19 of 20 - Sale <sup>25</sup>
	1.000	105.25	07/09/20	109.59	4.34 W	0.00	20 of 20 - Sale <sup>25</sup>
07/10/20	74.000	7,871.97	Various	8,048.40	176.43 W	0.00	Total of 20 transactions
07/13/20	5.000	558.58	07/13/20	549.83	...	8.75	Sale <sup>25</sup>
07/13/20	5.000	546.78	07/13/20	549.83	3.05 W	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LIVONGO HEALTH, INC. COMMON STOCK / CUSIP: 539183103 / Symbol: (cont'd)							
3 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	3.000	304.73	07/13/20	299.42	...	5.31	1 of 3 - Sale <sup>25</sup>
	2.000	203.15	07/13/20	196.62	...	6.53	2 of 3 - Sale <sup>25</sup>
	5.000	507.89	07/13/20	489.03	...	18.86	3 of 3 - Sale <sup>25</sup>
07/14/20	10.000	1,015.77	Various	985.07	...	30.70	Total of 3 transactions
07/15/20	2.000	211.08	07/13/20	200.00	...	11.08	Sale <sup>25</sup>
2 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	1.000	101.01	07/13/20	98.22	...	2.79	1 of 2 - Sale <sup>25</sup>
	9.000	909.07	07/13/20	895.57	...	13.50	2 of 2 - Sale <sup>25</sup>
07/16/20	10.000	1,010.08	Various	993.79	...	16.29	Total of 2 transactions
18 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	1.000	101.01	07/13/20	105.83	4.82 W	0.00	1 of 18 - Sale <sup>25</sup>
	2.000	202.02	07/13/20	211.72	9.70 W	0.00	2 of 18 - Sale <sup>25</sup>
	2.000	202.01	07/14/20	225.59	23.58 W	0.00	3 of 18 - Sale <sup>25</sup>
	1.000	101.01	07/14/20	107.35	6.34 W	0.00	4 of 18 - Sale <sup>25</sup>
	2.000	202.02	07/14/20	215.77	13.75 W	0.00	5 of 18 - Sale <sup>25</sup>
	5.000	505.04	07/14/20	518.10	13.06 W	0.00	6 of 18 - Sale <sup>25</sup>
	12.000	1,212.09	07/15/20	1,289.76	77.67 W	0.00	7 of 18 - Sale <sup>25</sup>
	9.000	909.06	07/16/20	931.41	22.35 W	0.00	8 of 18 - Sale <sup>25</sup>
	6.000	606.04	07/16/20	620.94	14.90 W	0.00	9 of 18 - Sale <sup>25</sup>
	1.000	100.00	07/16/20	108.33	8.33 W	0.00	10 of 18 - Sale <sup>25</sup>
	2.000	200.00	07/16/20	216.72	16.72 W	0.00	11 of 18 - Sale <sup>25</sup>
	2.000	200.00	07/16/20	230.60	30.60 W	0.00	12 of 18 - Sale <sup>25</sup>
	1.000	100.00	07/16/20	109.85	9.85 W	0.00	13 of 18 - Sale <sup>25</sup>
	2.000	199.99	07/16/20	220.77	20.78 W	0.00	14 of 18 - Sale <sup>25</sup>
	5.000	499.99	07/16/20	530.61	30.62 W	0.00	15 of 18 - Sale <sup>25</sup>
	12.000	1,199.97	07/16/20	1,319.79	119.82 W	0.00	16 of 18 - Sale <sup>25</sup>
	1.000	100.00	07/16/20	105.99	5.99 W	0.00	17 of 18 - Sale <sup>25</sup>
	9.000	899.97	07/16/20	953.94	53.97 W	0.00	18 of 18 - Sale <sup>25</sup>
07/16/20	75.000	7,540.22	Various	8,023.07	482.85 W	0.00	Total of 18 transactions
07/17/20	5.000	517.67	07/16/20	517.55	...	0.12	Sale <sup>25</sup>
07/17/20	5.000	517.66	07/16/20	529.97	12.31 W	0.00	Sale <sup>25</sup>
07/20/20	5.000	564.73	07/16/20	517.55	...	47.18	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LIVONGO HEALTH, INC. COMMON ST OCK / CUSIP: 539183103 / Symbol: (cont'd)							
4 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	2.000	217.70	07/16/20	216.95	...	0.75	1 of 4 - Sale <sup>25</sup>
	1.000	111.82	07/16/20	110.68	...	1.14	2 of 4 - Sale <sup>25</sup>
	2.000	223.63	07/16/20	221.42	...	2.21	3 of 4 - Sale <sup>25</sup>
	3.000	338.42	07/21/20	337.22	...	1.20	4 of 4 - Sale <sup>25</sup>
07/21/20	8.000	891.57	Various	886.27	...	5.30	Total of 4 transactions
19 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	2.000	223.63	07/16/20	235.30	11.67 W	0.00	1 of 19 - Sale <sup>25</sup>
	1.000	108.85	07/16/20	112.20	3.35 W	0.00	2 of 19 - Sale <sup>25</sup>
	2.000	217.70	07/16/20	225.48	7.78 W	0.00	3 of 19 - Sale <sup>25</sup>
	3.000	326.54	07/17/20	334.06	7.52 W	0.00	4 of 19 - Sale <sup>25</sup>
	7.000	761.93	07/17/20	806.51	44.58 W	0.00	5 of 19 - Sale <sup>25</sup>
	5.000	544.24	07/20/20	581.67	37.43 W	0.00	6 of 19 - Sale <sup>25</sup>
	5.000	544.24	07/20/20	561.73	17.49 W	0.00	7 of 19 - Sale <sup>25</sup>
	2.000	217.70	07/20/20	229.87	12.17 W	0.00	8 of 19 - Sale <sup>25</sup>
	5.000	544.24	07/20/20	557.81	13.57 W	0.00	9 of 19 - Sale <sup>25</sup>
	1.000	108.85	07/20/20	115.09	6.24 W	0.00	10 of 19 - Sale <sup>25</sup>
	4.000	435.39	07/20/20	460.39	25.00 W	0.00	11 of 19 - Sale <sup>25</sup>
	3.000	326.54	07/20/20	327.30	0.76 W	0.00	12 of 19 - Sale <sup>25</sup>
	5.000	544.24	07/20/20	562.78	18.54 W	0.00	13 of 19 - Sale <sup>25</sup>
	2.000	225.62	07/21/20	231.97	6.35 W	0.00	14 of 19 - Sale <sup>25</sup>
	1.000	112.81	07/21/20	113.25	0.44 W	0.00	15 of 19 - Sale <sup>25</sup>
	2.000	225.62	07/21/20	227.58	1.96 W	0.00	16 of 19 - Sale <sup>25</sup>
	7.000	789.66	07/21/20	813.88	24.22 W	0.00	17 of 19 - Sale <sup>25</sup>
	5.000	564.04	07/21/20	586.93	22.89 W	0.00	18 of 19 - Sale <sup>25</sup>
	5.000	564.05	07/21/20	566.99	2.94 W	0.00	19 of 19 - Sale <sup>25</sup>
07/21/20	67.000	7,385.89	Various	7,650.79	264.90 W	0.00	Total of 19 transactions
24 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	2.000	224.78	07/21/20	232.43	7.65 W	0.00	1 of 24 - Sale <sup>25</sup>
	1.000	112.39	07/21/20	113.48	1.09 W	0.00	2 of 24 - Sale <sup>25</sup>
	2.000	224.79	07/21/20	228.04	3.25 W	0.00	3 of 24 - Sale <sup>25</sup>
	1.000	112.39	07/21/20	116.50	4.11 W	0.00	4 of 24 - Sale <sup>25</sup>
	4.000	449.57	07/21/20	463.02	13.45 W	0.00	5 of 24 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LIVONGO HEALTH, INC. COMMON ST OCK / CUSIP: 539183103 / Symbol: (cont'd)							
	1.000	110.21	07/21/20	115.75	5.54 W	0.00	6 of 24 - Sale <sup>25</sup>
	1.000	110.21	07/21/20	119.28	9.07 W	0.00	7 of 24 - Sale <sup>25</sup>
	3.000	330.64	07/21/20	339.88	9.24 W	0.00	8 of 24 - Sale <sup>25</sup>
	4.000	440.85	07/21/20	477.16	36.31 W	0.00	9 of 24 - Sale <sup>25</sup>
	5.000	551.07	07/21/20	583.74	32.67 W	0.00	10 of 24 - Sale <sup>25</sup>
	1.000	110.21	07/21/20	116.48	6.27 W	0.00	11 of 24 - Sale <sup>25</sup>
	5.000	551.07	07/22/20	581.50	30.43 W	0.00	12 of 24 - Sale <sup>25</sup>
	4.000	440.86	07/22/20	469.66	28.80 W	0.00	13 of 24 - Sale <sup>25</sup>
	1.000	108.21	07/22/20	117.42	9.21 W	0.00	14 of 24 - Sale <sup>25</sup>
	2.000	216.42	07/22/20	228.67	12.25 W	0.00	15 of 24 - Sale <sup>25</sup>
	1.000	108.21	07/22/20	111.60	3.39 W	0.00	16 of 24 - Sale <sup>25</sup>
	2.000	216.41	07/22/20	224.27	7.86 W	0.00	17 of 24 - Sale <sup>25</sup>
	1.000	108.21	07/22/20	114.62	6.41 W	0.00	18 of 24 - Sale <sup>25</sup>
	1.000	108.21	07/22/20	116.05	7.84 W	0.00	19 of 24 - Sale <sup>25</sup>
	4.000	432.83	07/22/20	455.49	22.66 W	0.00	20 of 24 - Sale <sup>25</sup>
	1.000	108.21	07/22/20	119.58	11.37 W	0.00	21 of 24 - Sale <sup>25</sup>
	3.000	324.62	07/22/20	340.77	16.15 W	0.00	22 of 24 - Sale <sup>25</sup>
	4.000	432.83	07/22/20	478.35	45.52 W	0.00	23 of 24 - Sale <sup>25</sup>
	5.000	541.03	07/22/20	555.49	14.46 W	0.00	24 of 24 - Sale <sup>25</sup>
07/22/20	59.000	6,474.23	Various	6,819.23	345.00 W	0.00	Total of 24 transactions
8 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	1.000	107.02	07/24/20	112.28	5.26 W	0.00	1 of 8 - Sale <sup>25</sup>
	5.000	535.11	07/24/20	560.51	25.40 W	0.00	2 of 8 - Sale <sup>25</sup>
	1.000	107.02	07/24/20	113.21	6.19 W	0.00	3 of 8 - Sale <sup>25</sup>
	5.000	535.11	07/24/20	562.75	27.64 W	0.00	4 of 8 - Sale <sup>25</sup>
	2.000	214.05	07/24/20	224.44	10.39 W	0.00	5 of 8 - Sale <sup>25</sup>
	2.000	214.05	07/24/20	220.05	6.00 W	0.00	6 of 8 - Sale <sup>25</sup>
	1.000	107.02	07/24/20	115.31	8.29 W	0.00	7 of 8 - Sale <sup>25</sup>
	3.000	321.07	07/24/20	339.88	18.81 W	0.00	8 of 8 - Sale <sup>25</sup>
07/24/20	20.000	2,140.45	Various	2,248.43	107.98 W	0.00	Total of 8 transactions
27 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	0.660	72.71	07/24/20	73.00	0.29 W	0.00	1 of 27 - Sale <sup>25</sup>
	0.340	37.42	07/24/20	37.58	0.16 W	0.00	2 of 27 - Sale <sup>25</sup>
	1.000	110.13	07/24/20	113.63	3.50 W	0.00	3 of 27 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
LIVONGO HEALTH, INC. COMMON ST OCK / CUSIP: 539183103 / Symbol: (cont'd)							
	1.000	110.13	07/24/20	115.06	4.93 W	0.00	4 of 27 - Sale <sup>25</sup>
	4.000	440.52	07/24/20	451.53	11.01 W	0.00	5 of 27 - Sale <sup>25</sup>
	1.000	110.14	07/24/20	118.59	8.45 W	0.00	6 of 27 - Sale <sup>25</sup>
	4.000	440.52	07/24/20	474.39	33.87 W	0.00	7 of 27 - Sale <sup>25</sup>
	0.144	15.51	07/24/20	16.19	0.68 W	0.00	8 of 27 - Sale <sup>25</sup>
	2.000	215.63	07/24/20	227.19	11.56 W	0.00	9 of 27 - Sale <sup>25</sup>
	2.000	215.63	07/24/20	222.80	7.17 W	0.00	10 of 27 - Sale <sup>25</sup>
	2.694	290.44	07/24/20	305.69	15.25 W	0.00	11 of 27 - Sale <sup>25</sup>
	1.000	107.82	07/24/20	114.59	6.77 W	0.00	12 of 27 - Sale <sup>25</sup>
	1.144	123.32	07/24/20	131.16	7.84 W	0.00	13 of 27 - Sale <sup>25</sup>
	5.000	539.09	07/24/20	569.64	30.55 W	0.00	14 of 27 - Sale <sup>25</sup>
	2.856	307.95	07/24/20	321.61	13.66 W	0.00	15 of 27 - Sale <sup>25</sup>
	3.654	393.96	07/24/20	402.33	8.37 W	0.00	16 of 27 - Sale <sup>25</sup>
	1.000	107.82	07/24/20	112.73	4.91 W	0.00	17 of 27 - Sale <sup>25</sup>
	2.306	248.65	07/24/20	259.58	10.93 W	0.00	18 of 27 - Sale <sup>25</sup>
	1.346	145.13	07/24/20	148.56	3.43 W	0.00	19 of 27 - Sale <sup>25</sup>
	0.340	36.64	07/27/20	36.86	0.22 W	0.00	20 of 27 - Sale <sup>25</sup>
	0.660	71.19	07/27/20	71.60	0.41 W	0.00	21 of 27 - Sale <sup>25</sup>
	1.000	107.83	07/27/20	111.51	3.68 W	0.00	22 of 27 - Sale <sup>25</sup>
	1.000	107.83	07/27/20	112.94	5.11 W	0.00	23 of 27 - Sale <sup>25</sup>
	4.000	431.31	07/27/20	443.07	11.76 W	0.00	24 of 27 - Sale <sup>25</sup>
	0.144	15.51	07/27/20	16.76	1.25 W	0.00	25 of 27 - Sale <sup>25</sup>
	1.000	107.82	07/27/20	116.30	8.48 W	0.00	26 of 27 - Sale <sup>25</sup>
	1.856	200.14	07/27/20	212.13	11.99 W	0.00	27 of 27 - Sale <sup>25</sup>
07/27/20	47.144	5,110.79	Various	5,337.02	226.23 W	0.00	Total of 27 transactions
	15 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	0.144	17.92	07/28/20	16.70	...	1.22	1 of 15 - Sale <sup>25</sup>
	1.000	124.61	07/28/20	119.85	...	4.76	2 of 15 - Sale <sup>25</sup>
	2.000	249.21	07/28/20	232.37	...	16.84	3 of 15 - Sale <sup>25</sup>
	3.856	480.51	07/28/20	462.23	...	18.28	4 of 15 - Sale <sup>25</sup>
	0.162	20.29	07/29/20	20.19	...	0.10	5 of 15 - Sale <sup>25</sup>
	0.144	17.98	07/29/20	17.80	...	0.18	6 of 15 - Sale <sup>25</sup>
	0.306	38.27	07/29/20	37.96	...	0.31	7 of 15 - Sale <sup>25</sup>
	1.000	124.61	07/29/20	124.16	...	0.45	8 of 15 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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LIVONGO HEALTH, INC. COMMON ST OCK / CUSIP: 539183103 / Symbol: (cont'd)							
	1.000	124.99	07/29/20	124.49	...	0.50	9 of 15 - Sale <sup>25</sup>
	0.184	22.96	07/29/20	22.38	...	0.58	10 of 15 - Sale <sup>25</sup>
	0.856	107.01	07/29/20	106.19	...	0.82	11 of 15 - Sale <sup>25</sup>
	0.340	42.47	07/29/20	41.27	...	1.20	12 of 15 - Sale <sup>25</sup>
	2.000	249.21	07/29/20	247.98	...	1.23	13 of 15 - Sale <sup>25</sup>
	0.660	82.52	07/29/20	80.17	...	2.35	14 of 15 - Sale <sup>25</sup>
	3.654	456.69	07/29/20	444.10	...	12.59	15 of 15 - Sale <sup>25</sup>
07/30/20	17.306	2,159.25	Various	2,097.84	...	61.41	Total of 15 transactions
	4 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	2.000	249.97	07/29/20	257.01	7.04 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.838	229.68	07/29/20	232.12	2.44 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.162	145.28	07/29/20	145.61	0.33 W	0.00	3 of 4 - Sale <sup>25</sup>
	2.694	336.69	07/29/20	340.68	3.99 W	0.00	4 of 4 - Sale <sup>25</sup>
07/30/20	7.694	961.62	Various	975.42	13.80 W	0.00	Total of 4 transactions
	15 transactions for 07/31/20. Total proceeds and cost reported to the IRS.						
	2.856	351.54	07/29/20	353.44	1.90 W	0.00	1 of 15 - Sale <sup>25</sup>
	1.000	123.08	07/29/20	127.58	4.50 W	0.00	2 of 15 - Sale <sup>25</sup>
	1.144	140.78	07/29/20	146.02	5.24 W	0.00	3 of 15 - Sale <sup>25</sup>
	5.000	615.41	07/29/20	634.59	19.18 W	0.00	4 of 15 - Sale <sup>25</sup>
	1.000	123.08	07/30/20	124.74	1.66 W	0.00	5 of 15 - Sale <sup>25</sup>
	2.000	246.17	07/30/20	255.07	8.90 W	0.00	6 of 15 - Sale <sup>25</sup>
	1.838	226.18	07/30/20	230.33	4.15 W	0.00	7 of 15 - Sale <sup>25</sup>
	2.694	331.56	07/30/20	338.07	6.51 W	0.00	8 of 15 - Sale <sup>25</sup>
	1.000	123.08	07/30/20	129.13	6.05 W	0.00	9 of 15 - Sale <sup>25</sup>
	0.144	17.70	07/30/20	19.09	1.39 W	0.00	10 of 15 - Sale <sup>25</sup>
	1.162	143.07	07/30/20	144.48	1.41 W	0.00	11 of 15 - Sale <sup>25</sup>
	1.000	123.08	07/30/20	132.50	9.42 W	0.00	12 of 15 - Sale <sup>25</sup>
	1.856	228.46	07/30/20	242.19	13.73 W	0.00	13 of 15 - Sale <sup>25</sup>
	2.306	283.85	07/30/20	286.00	2.15 W	0.00	14 of 15 - Sale <sup>25</sup>
	25.000	3,077.07	07/31/20	3,193.22	116.15 W	0.00	15 of 15 - Sale <sup>25</sup>
07/31/20	50.000	6,154.11	Various	6,356.45	202.34 W	0.00	Total of 15 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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LIVONGO HEALTH, INC. COMMON ST OCK / CUSIP: 539183103 / Symbol: (cont'd)							
14 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	1.000	134.70	08/03/20	139.73	5.03 W	0.00	1 of 14 - Sale <sup>25</sup>
	1.000	134.68	08/03/20	139.73	5.05 W	0.00	2 of 14 - Sale <sup>25</sup>
	1.838	247.49	08/03/20	252.74	5.25 W	0.00	3 of 14 - Sale <sup>25</sup>
	0.162	21.87	08/03/20	22.36	0.49 W	0.00	4 of 14 - Sale <sup>25</sup>
	2.531	340.85	08/03/20	348.57	7.72 W	0.00	5 of 14 - Sale <sup>25</sup>
	2.856	384.58	08/03/20	388.28	3.70 W	0.00	6 of 14 - Sale <sup>25</sup>
	1.000	134.65	08/03/20	139.78	5.13 W	0.00	7 of 14 - Sale <sup>25</sup>
	1.000	134.65	08/03/20	136.94	2.29 W	0.00	8 of 14 - Sale <sup>25</sup>
	1.000	134.65	08/03/20	141.33	6.68 W	0.00	9 of 14 - Sale <sup>25</sup>
	1.144	154.01	08/03/20	159.97	5.96 W	0.00	10 of 14 - Sale <sup>25</sup>
	5.000	673.23	08/03/20	695.57	22.34 W	0.00	11 of 14 - Sale <sup>25</sup>
	0.144	19.36	08/03/20	20.85	1.49 W	0.00	12 of 14 - Sale <sup>25</sup>
	1.162	156.51	08/03/20	158.65	2.14 W	0.00	13 of 14 - Sale <sup>25</sup>
	0.162	21.86	08/03/20	23.16	1.30 W	0.00	14 of 14 - Sale <sup>25</sup>
08/03/20	20.000	2,693.09	Various	2,767.66	74.57 W	0.00	Total of 14 transactions
11 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	1.000	142.82	08/04/20	153.91	11.09 W	0.00	1 of 11 - Sale <sup>25</sup>
	1.694	241.91	08/04/20	257.27	15.36 W	0.00	2 of 11 - Sale <sup>25</sup>
	2.306	329.36	08/04/20	335.37	6.01 W	0.00	3 of 11 - Sale <sup>25</sup>
	5.000	714.08	08/04/20	745.67	31.59 W	0.00	4 of 11 - Sale <sup>25</sup>
	15.000	2,152.45	08/04/20	2,237.02	84.57 W	0.00	5 of 11 - Sale <sup>25</sup>
	1.000	143.50	08/04/20	149.03	5.53 W	0.00	6 of 11 - Sale <sup>25</sup>
	1.000	143.50	08/04/20	149.05	5.55 W	0.00	7 of 11 - Sale <sup>25</sup>
	1.838	263.69	08/04/20	269.87	6.18 W	0.00	8 of 11 - Sale <sup>25</sup>
	0.162	23.30	08/04/20	23.87	0.57 W	0.00	9 of 11 - Sale <sup>25</sup>
	1.000	143.50	08/04/20	147.05	3.55 W	0.00	10 of 11 - Sale <sup>25</sup>
	5.000	717.48	08/04/20	743.23	25.75 W	0.00	11 of 11 - Sale <sup>25</sup>
08/04/20	35.000	5,015.59	Various	5,211.34	195.75 W	0.00	Total of 11 transactions
3 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	0.162	21.35	08/05/20	21.33	...	0.02	1 of 3 - Sale <sup>25</sup>
	1.000	131.47	08/05/20	131.44	...	0.03	2 of 3 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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LIVONGO HEALTH, INC. COMMON ST OCK / CUSIP: 539183103 / Symbol: (cont'd)							
08/05/20	1.838	241.60	08/05/20	241.20	...	0.40	3 of 3 - Sale <sup>25</sup>
	3.000	394.42	Various	393.97	...	0.45	Total of 3 transactions
	33 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	1.531	195.27	08/05/20	210.51	15.24 W	0.00	1 of 33 - Sale <sup>25</sup>
	2.856	364.18	08/05/20	387.60	23.42 W	0.00	2 of 33 - Sale <sup>25</sup>
	1.000	127.51	08/05/20	139.54	12.03 W	0.00	3 of 33 - Sale <sup>25</sup>
	1.000	127.51	08/05/20	136.70	9.19 W	0.00	4 of 33 - Sale <sup>25</sup>
	1.000	127.51	08/05/20	141.09	13.58 W	0.00	5 of 33 - Sale <sup>25</sup>
	1.144	145.85	08/05/20	159.70	13.85 W	0.00	6 of 33 - Sale <sup>25</sup>
	5.000	637.53	08/05/20	694.39	56.86 W	0.00	7 of 33 - Sale <sup>25</sup>
	0.144	18.34	08/05/20	20.82	2.48 W	0.00	8 of 33 - Sale <sup>25</sup>
	1.162	148.21	08/05/20	158.37	10.16 W	0.00	9 of 33 - Sale <sup>25</sup>
	0.162	20.70	08/05/20	23.12	2.42 W	0.00	10 of 33 - Sale <sup>25</sup>
	1.000	127.51	08/05/20	145.50	17.99 W	0.00	11 of 33 - Sale <sup>25</sup>
	1.694	215.97	08/05/20	243.03	27.06 W	0.00	12 of 33 - Sale <sup>25</sup>
	2.306	294.05	08/05/20	315.99	21.94 W	0.00	13 of 33 - Sale <sup>25</sup>
	5.000	637.53	08/05/20	703.64	66.11 W	0.00	14 of 33 - Sale <sup>25</sup>
	1.000	131.48	08/05/20	133.42	1.94 W	0.00	15 of 33 - Sale <sup>25</sup>
	1.000	131.48	08/05/20	133.44	1.96 W	0.00	16 of 33 - Sale <sup>25</sup>
	2.000	262.95	08/05/20	267.06	4.11 W	0.00	17 of 33 - Sale <sup>25</sup>
	5.000	657.34	08/05/20	665.20	7.86 W	0.00	18 of 33 - Sale <sup>25</sup>
	13.000	1,709.07	08/05/20	1,735.86	26.79 W	0.00	19 of 33 - Sale <sup>25</sup>
	1.531	201.34	08/05/20	220.09	18.75 W	0.00	20 of 33 - Sale <sup>25</sup>
	2.856	375.49	08/05/20	405.46	29.97 W	0.00	21 of 33 - Sale <sup>25</sup>
	1.000	131.47	08/05/20	145.79	14.32 W	0.00	22 of 33 - Sale <sup>25</sup>
	1.000	131.47	08/05/20	142.95	11.48 W	0.00	23 of 33 - Sale <sup>25</sup>
	1.000	131.47	08/05/20	147.34	15.87 W	0.00	24 of 33 - Sale <sup>25</sup>
	1.144	150.37	08/05/20	166.85	16.48 W	0.00	25 of 33 - Sale <sup>25</sup>
	5.000	657.33	08/05/20	725.66	68.33 W	0.00	26 of 33 - Sale <sup>25</sup>
	0.144	18.91	08/05/20	21.72	2.81 W	0.00	27 of 33 - Sale <sup>25</sup>
	1.162	152.81	08/05/20	165.64	12.83 W	0.00	28 of 33 - Sale <sup>25</sup>
	0.162	21.35	08/05/20	24.14	2.79 W	0.00	29 of 33 - Sale <sup>25</sup>
	1.000	131.47	08/05/20	151.75	20.28 W	0.00	30 of 33 - Sale <sup>25</sup>
	1.694	222.68	08/05/20	253.62	30.94 W	0.00	31 of 33 - Sale <sup>25</sup>

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(continued)

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LIVONGO HEALTH, INC. COMMON ST OCK / CUSIP: 539183103 / Symbol: (cont'd)							
	2.306	303.18	08/05/20	330.41	27.23 W	0.00	32 of 33 - Sale <sup>25</sup>
	5.000	657.33	08/05/20	734.91	77.58 W	0.00	33 of 33 - Sale <sup>25</sup>
08/05/20	72.000	9,366.66	Various	10,051.31	684.65 W	0.00	Total of 33 transactions
	27 transactions for 08/06/20. Total proceeds and cost reported to the IRS.						
	1.000	131.78	08/05/20	131.52	...	0.26	1 of 27 - Sale <sup>25</sup>
	4.000	527.11	08/05/20	526.21	...	0.90	2 of 27 - Sale <sup>25</sup>
	5.000	679.99	08/06/20	773.54	...	-93.55	3 of 27 - Sale <sup>25</sup>
	5.000	679.98	08/06/20	762.59	...	-82.61	4 of 27 - Sale <sup>25</sup>
	17.000	2,311.94	08/06/20	2,358.26	...	-46.32	5 of 27 - Sale <sup>25</sup>
	1.694	230.35	08/06/20	266.13	...	-35.78	6 of 27 - Sale <sup>25</sup>
	2.000	271.99	08/06/20	302.00	...	-30.01	7 of 27 - Sale <sup>25</sup>
	1.000	135.99	08/06/20	159.12	...	-23.13	8 of 27 - Sale <sup>25</sup>
	8.000	1,087.98	08/06/20	1,109.77	...	-21.79	9 of 27 - Sale <sup>25</sup>
	1.144	155.56	08/06/20	175.30	...	-19.74	10 of 27 - Sale <sup>25</sup>
	1.000	136.00	08/06/20	154.71	...	-18.71	11 of 27 - Sale <sup>25</sup>
	1.388	188.71	08/06/20	207.22	...	-18.51	12 of 27 - Sale <sup>25</sup>
	1.000	136.00	08/06/20	153.16	...	-17.16	13 of 27 - Sale <sup>25</sup>
	1.531	208.27	08/06/20	225.24	...	-16.97	14 of 27 - Sale <sup>25</sup>
	1.162	158.08	08/06/20	174.22	...	-16.14	15 of 27 - Sale <sup>25</sup>
	1.000	135.99	08/06/20	150.32	...	-14.33	16 of 27 - Sale <sup>25</sup>
	1.469	199.72	08/06/20	213.41	...	-13.69	17 of 27 - Sale <sup>25</sup>
	13.000	1,767.96	08/06/20	1,779.20	...	-11.24	18 of 27 - Sale <sup>25</sup>
	3.000	407.99	08/06/20	417.57	...	-9.58	19 of 27 - Sale <sup>25</sup>
	0.306	41.64	08/06/20	46.13	...	-4.49	20 of 27 - Sale <sup>25</sup>
	0.162	22.08	08/06/20	25.33	...	-3.25	21 of 27 - Sale <sup>25</sup>
	0.144	19.56	08/06/20	22.77	...	-3.21	22 of 27 - Sale <sup>25</sup>
	5.000	674.98	08/06/20	677.72	...	-2.74	23 of 27 - Sale <sup>25</sup>
	1.694	230.35	08/06/20	231.82	...	-1.47	24 of 27 - Sale <sup>25</sup>
	1.000	136.00	08/06/20	136.75	...	-0.75	25 of 27 - Sale <sup>25</sup>
	1.000	136.00	08/06/20	136.73	...	-0.73	26 of 27 - Sale <sup>25</sup>
	0.306	41.64	08/06/20	41.90	...	-0.26	27 of 27 - Sale <sup>25</sup>
08/06/20	80.000	10,853.64	Various	11,358.64	...	-505.00	Total of 27 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LIVONGO HEALTH, INC. COMMON ST OCK / CUSIP: 539183103 / Symbol: (cont'd)							
42 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
1.000		131.78	08/05/20	131.89	0.11 W	0.00	1 of 42 - Sale <sup>25</sup>
1.000		131.78	08/05/20	131.91	0.13 W	0.00	2 of 42 - Sale <sup>25</sup>
2.000		263.55	08/05/20	264.01	0.46 W	0.00	3 of 42 - Sale <sup>25</sup>
13.000		1,713.10	08/05/20	1,716.14	3.04 W	0.00	4 of 42 - Sale <sup>25</sup>
1.531		201.81	08/05/20	217.81	16.00 W	0.00	5 of 42 - Sale <sup>25</sup>
1.469		193.52	08/05/20	206.29	12.77 W	0.00	6 of 42 - Sale <sup>25</sup>
1.388		182.86	08/06/20	200.50	17.64 W	0.00	7 of 42 - Sale <sup>25</sup>
1.000		131.78	08/06/20	148.32	16.54 W	0.00	8 of 42 - Sale <sup>25</sup>
1.000		131.78	08/06/20	145.48	13.70 W	0.00	9 of 42 - Sale <sup>25</sup>
1.000		131.78	08/06/20	149.87	18.09 W	0.00	10 of 42 - Sale <sup>25</sup>
1.144		150.73	08/06/20	169.75	19.02 W	0.00	11 of 42 - Sale <sup>25</sup>
5.000		658.88	08/06/20	738.33	79.45 W	0.00	12 of 42 - Sale <sup>25</sup>
0.144		18.95	08/06/20	22.08	3.13 W	0.00	13 of 42 - Sale <sup>25</sup>
1.162		153.17	08/06/20	168.59	15.42 W	0.00	14 of 42 - Sale <sup>25</sup>
0.162		21.40	08/06/20	24.55	3.15 W	0.00	15 of 42 - Sale <sup>25</sup>
1.000		131.78	08/06/20	154.28	22.50 W	0.00	16 of 42 - Sale <sup>25</sup>
1.694		223.21	08/06/20	257.91	34.70 W	0.00	17 of 42 - Sale <sup>25</sup>
2.306		303.90	08/06/20	336.26	32.36 W	0.00	18 of 42 - Sale <sup>25</sup>
5.000		658.88	08/06/20	747.58	88.70 W	0.00	19 of 42 - Sale <sup>25</sup>
3.000		395.33	08/06/20	402.00	6.67 W	0.00	20 of 42 - Sale <sup>25</sup>
50.000		6,588.83	08/06/20	6,676.48	87.65 W	0.00	21 of 42 - Sale <sup>25</sup>
1.000		135.00	08/06/20	135.65	0.65 W	0.00	22 of 42 - Sale <sup>25</sup>
1.000		135.00	08/06/20	135.67	0.67 W	0.00	23 of 42 - Sale <sup>25</sup>
1.531		206.74	08/06/20	223.58	16.84 W	0.00	24 of 42 - Sale <sup>25</sup>
1.469		198.25	08/06/20	211.82	13.57 W	0.00	25 of 42 - Sale <sup>25</sup>
1.388		187.33	08/06/20	205.72	18.39 W	0.00	26 of 42 - Sale <sup>25</sup>
1.000		135.00	08/06/20	152.08	17.08 W	0.00	27 of 42 - Sale <sup>25</sup>
1.000		135.00	08/06/20	149.24	14.24 W	0.00	28 of 42 - Sale <sup>25</sup>
1.000		135.00	08/06/20	153.63	18.63 W	0.00	29 of 42 - Sale <sup>25</sup>
1.144		154.41	08/06/20	174.06	19.65 W	0.00	30 of 42 - Sale <sup>25</sup>
5.000		674.98	08/06/20	757.17	82.19 W	0.00	31 of 42 - Sale <sup>25</sup>
0.144		19.42	08/06/20	22.62	3.20 W	0.00	32 of 42 - Sale <sup>25</sup>
1.162		156.92	08/06/20	172.97	16.05 W	0.00	33 of 42 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LIVONGO HEALTH, INC. COMMON ST OCK / CUSIP: 539183103 / Symbol: (cont'd)							
	0.162	21.92	08/06/20	25.16	3.24 W	0.00	34 of 42 - Sale <sup>25</sup>
	1.000	135.00	08/06/20	158.04	23.04 W	0.00	35 of 42 - Sale <sup>25</sup>
	1.694	228.66	08/06/20	264.29	35.63 W	0.00	36 of 42 - Sale <sup>25</sup>
	2.000	269.99	08/06/20	271.55	1.56 W	0.00	37 of 42 - Sale <sup>25</sup>
	2.306	311.33	08/06/20	344.95	33.62 W	0.00	38 of 42 - Sale <sup>25</sup>
	13.000	1,754.96	08/06/20	1,765.12	10.16 W	0.00	39 of 42 - Sale <sup>25</sup>
	3.000	404.99	08/06/20	413.30	8.31 W	0.00	40 of 42 - Sale <sup>25</sup>
	5.000	674.98	08/06/20	766.42	91.44 W	0.00	41 of 42 - Sale <sup>25</sup>
	50.000	6,749.83	08/06/20	6,864.89	115.06 W	0.00	42 of 42 - Sale <sup>25</sup>
08/06/20	190.000	25,343.51	Various	26,377.96	1,034.45 W	0.00	Total of 42 transactions
08/07/20	25.000	3,197.92	08/07/20	3,300.78	...	-102.86	Sale <sup>25</sup>
	<b>Security total:</b>	<b>114,221.81</b>		<b>118,610.09</b>	<b>3,978.72 W</b>	<b>-409.56</b>	

**LOOP INDUSTRIES, INC. COMMON S TOCK / CUSIP: 543518104 / Symbol:**

14 transactions for 12/15/20. Total proceeds and cost reported to the IRS.

	35.000	249.34	12/15/20	263.22	...	-13.88	1 of 14 - Sale <sup>25</sup>
	35.000	249.34	12/15/20	257.61	...	-8.27	2 of 14 - Sale <sup>25</sup>
	14.000	99.73	12/15/20	105.43	...	-5.70	3 of 14 - Sale <sup>25</sup>
	36.000	256.46	12/15/20	261.91	...	-5.45	4 of 14 - Sale <sup>25</sup>
	32.000	227.97	12/15/20	232.97	...	-5.00	5 of 14 - Sale <sup>25</sup>
	15.000	106.86	12/15/20	110.56	...	-3.70	6 of 14 - Sale <sup>25</sup>
	18.000	128.23	12/15/20	131.05	...	-2.82	7 of 14 - Sale <sup>25</sup>
	14.000	99.73	12/15/20	102.34	...	-2.61	8 of 14 - Sale <sup>25</sup>
	8.000	57.68	12/15/20	60.08	...	-2.40	9 of 14 - Sale <sup>25</sup>
	9.000	64.12	12/15/20	65.03	...	-0.91	10 of 14 - Sale <sup>25</sup>
	1.000	7.12	12/15/20	7.51	...	-0.39	11 of 14 - Sale <sup>25</sup>
	30.000	216.32	12/15/20	216.55	...	-0.23	12 of 14 - Sale <sup>25</sup>
	1.000	7.21	12/15/20	7.20	...	0.01	13 of 14 - Sale <sup>25</sup>
	10.000	72.10	12/15/20	71.65	...	0.45	14 of 14 - Sale <sup>25</sup>
12/15/20	258.000	1,842.21	Various	1,893.11	...	-50.90	Total of 14 transactions

9 transactions for 12/15/20. Total proceeds and cost reported to the IRS.

	35.000	249.34	12/15/20	262.34	12.63 W	-0.37	1 of 9 - Sale <sup>25</sup>
	100.000	719.97	12/15/20	735.00	15.03 W	0.00	2 of 9 - Sale <sup>25</sup>
	50.000	358.49	12/15/20	370.77	12.28 W	0.00	3 of 9 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LOOP INDUSTRIES, INC. COMMON S TOCK / CUSIP: 543518104 / Symbol: (cont'd)							
	50.000	358.48	12/15/20	372.51	14.03 W	0.00	4 of 9 - Sale <sup>25</sup>
	50.000	358.48	12/15/20	365.00	6.52 W	0.00	5 of 9 - Sale <sup>25</sup>
	100.000	716.97	12/15/20	725.00	8.03 W	0.00	6 of 9 - Sale <sup>25</sup>
	50.000	358.49	12/15/20	360.00	1.51 W	0.00	7 of 9 - Sale <sup>25</sup>
	14.000	99.73	12/15/20	104.24	4.51 W	0.00	8 of 9 - Sale <sup>25</sup>
	1.000	7.12	12/15/20	7.50	0.38 W	0.00	9 of 9 - Sale <sup>25</sup>
12/15/20	450.000	3,227.07	Various	3,302.36	74.92 W	-0.37	Total of 9 transactions
	7 transactions for 12/16/20. Total proceeds and cost reported to the IRS.						
	1.000	7.63	12/15/20	7.64	...	-0.01	1 of 7 - Sale <sup>25</sup>
	8.000	61.06	12/15/20	61.05	...	0.01	2 of 7 - Sale <sup>25</sup>
	1.000	7.63	12/15/20	7.51	...	0.12	3 of 7 - Sale <sup>25</sup>
	5.000	38.16	12/15/20	37.91	...	0.25	4 of 7 - Sale <sup>25</sup>
	19.000	145.02	12/16/20	152.39	...	-7.37	5 of 7 - Sale <sup>25</sup>
	6.000	45.79	12/16/20	52.52	...	-6.73	6 of 7 - Sale <sup>25</sup>
	1.000	7.65	12/16/20	8.02	...	-0.37	7 of 7 - Sale <sup>25</sup>
12/16/20	41.000	312.94	Various	327.04	...	-14.10	Total of 7 transactions
	<b>Security total:</b>	<b>5,382.22</b>		<b>5,522.51</b>	<b>74.92 W</b>	<b>-65.37</b>	
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
	2 transactions for 04/06/20. Total proceeds and cost reported to the IRS.						
	25.000	110.75	04/03/20	195.37	...	-84.62	1 of 2 - Sale <sup>25</sup>
	25.000	110.75	04/03/20	172.87	...	-62.12	2 of 2 - Sale <sup>25</sup>
04/06/20	50.000	221.50	Various	368.24	...	-146.74	Total of 2 transactions
	4 transactions for 04/06/20. Total proceeds and cost reported to the IRS.						
	50.000	217.55	04/02/20	326.75	109.20 W	0.00	1 of 4 - Sale <sup>25</sup>
	25.000	108.78	04/02/20	163.25	54.47 W	0.00	2 of 4 - Sale <sup>25</sup>
	25.000	108.78	04/03/20	140.90	32.12 W	0.00	3 of 4 - Sale <sup>25</sup>
	50.000	221.50	04/03/20	391.01	169.51 W	0.00	4 of 4 - Sale <sup>25</sup>
04/06/20	150.000	656.61	Various	1,021.91	365.30 W	0.00	Total of 4 transactions
05/20/20	50.000	124.00	04/06/20	391.82	267.82 W	0.00	Sale <sup>25</sup>
	3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	50.000	251.49	06/05/20	569.77	...	-318.28	1 of 3 - Sale <sup>25</sup>
	50.000	251.48	06/05/20	303.00	...	-51.52	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol: (cont'd)							
	50.000	251.49	06/05/20	301.95	...	-50.46	3 of 3 - Sale <sup>25</sup>
06/08/20	150.000	754.46	Various	1,174.72	...	-420.26	Total of 3 transactions
	<b>Security total:</b>	<b>1,756.57</b>		<b>2,956.69</b>	<b>633.12 W</b>	<b>-567.00</b>	
LYFT, INC. CLASS A COMMON STOC K / CUSIP: 55087P104 / Symbol:							
26 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	260.000	7,773.79	08/20/20	7,896.41	...	-122.62	1 of 26 - Sale <sup>25</sup>
	135.000	4,036.39	08/20/20	4,123.33	...	-86.94	2 of 26 - Sale <sup>25</sup>
	158.000	4,724.07	08/20/20	4,797.01	...	-72.94	3 of 26 - Sale <sup>25</sup>
	142.000	4,245.69	08/20/20	4,311.23	...	-65.54	4 of 26 - Sale <sup>25</sup>
	103.000	3,079.62	08/20/20	3,127.16	...	-47.54	5 of 26 - Sale <sup>25</sup>
	100.000	2,989.92	08/20/20	3,036.08	...	-46.16	6 of 26 - Sale <sup>25</sup>
	55.000	1,644.46	08/20/20	1,679.88	...	-35.42	7 of 26 - Sale <sup>25</sup>
	58.000	1,734.15	08/20/20	1,760.93	...	-26.78	8 of 26 - Sale <sup>25</sup>
	41.000	1,225.87	08/20/20	1,252.27	...	-26.40	9 of 26 - Sale <sup>25</sup>
	45.000	1,345.46	08/20/20	1,371.78	...	-26.32	10 of 26 - Sale <sup>25</sup>
	54.000	1,614.56	08/20/20	1,639.48	...	-24.92	11 of 26 - Sale <sup>25</sup>
	37.000	1,106.27	08/20/20	1,130.09	...	-23.82	12 of 26 - Sale <sup>25</sup>
	39.000	1,166.07	08/20/20	1,184.07	...	-18.00	13 of 26 - Sale <sup>25</sup>
	30.000	896.98	08/20/20	914.82	...	-17.84	14 of 26 - Sale <sup>25</sup>
	29.000	867.08	08/20/20	884.32	...	-17.24	15 of 26 - Sale <sup>25</sup>
	20.000	596.98	08/20/20	610.88	...	-13.90	16 of 26 - Sale <sup>25</sup>
	2.000	59.80	08/20/20	61.09	...	-1.29	17 of 26 - Sale <sup>25</sup>
	1.000	29.90	08/20/20	30.80	...	-0.90	18 of 26 - Sale <sup>25</sup>
	1.000	29.90	08/20/20	30.10	...	-0.20	19 of 26 - Sale <sup>25</sup>
	26.000	782.58	08/20/20	781.30	...	1.28	20 of 26 - Sale <sup>25</sup>
	1.000	30.13	08/20/20	28.41	...	1.72	21 of 26 - Sale <sup>25</sup>
	300.000	9,038.77	08/20/20	8,950.68	...	88.09	22 of 26 - Sale <sup>25</sup>
	99.000	2,982.79	08/20/20	2,812.59	...	170.20	23 of 26 - Sale <sup>25</sup>
	100.000	3,012.92	08/20/20	2,841.00	...	171.92	24 of 26 - Sale <sup>25</sup>
	200.000	6,025.84	08/20/20	5,681.96	...	343.88	25 of 26 - Sale <sup>25</sup>
	300.000	9,038.76	08/20/20	8,523.00	...	515.76	26 of 26 - Sale <sup>25</sup>
08/20/20	2,336.000	70,078.75	Various	69,460.67	...	618.08	Total of 26 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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LYFT, INC. CLASS A COMMON STOC K / CUSIP: 55087P104 / Symbol: (cont'd)							
27 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	60.000	1,793.95	08/20/20	1,832.60	12.88 W	-25.77	1 of 27 - Sale <sup>25</sup>
	435.000	13,106.21	08/20/20	13,224.00	117.79 W	0.00	2 of 27 - Sale <sup>25</sup>
	300.000	9,029.76	08/20/20	9,096.23	66.47 W	0.00	3 of 27 - Sale <sup>25</sup>
	39.000	1,173.87	08/20/20	1,182.51	8.64 W	0.00	4 of 27 - Sale <sup>25</sup>
	96.000	2,889.52	08/20/20	2,910.80	21.28 W	0.00	5 of 27 - Sale <sup>25</sup>
	104.000	3,130.32	08/20/20	3,148.24	17.92 W	0.00	6 of 27 - Sale <sup>25</sup>
	61.000	1,836.05	08/20/20	1,846.57	10.52 W	0.00	7 of 27 - Sale <sup>25</sup>
	39.000	1,173.87	08/20/20	1,180.59	6.72 W	0.00	8 of 27 - Sale <sup>25</sup>
	96.000	2,889.52	08/20/20	2,906.08	16.56 W	0.00	9 of 27 - Sale <sup>25</sup>
	30.000	902.98	08/20/20	906.67	3.69 W	0.00	10 of 27 - Sale <sup>25</sup>
	135.000	4,063.39	08/20/20	4,086.66	23.27 W	0.00	11 of 27 - Sale <sup>25</sup>
	74.000	2,227.34	08/20/20	2,236.45	9.11 W	0.00	12 of 27 - Sale <sup>25</sup>
	1.000	30.13	08/20/20	30.57	0.44 W	0.00	13 of 27 - Sale <sup>25</sup>
	39.000	1,175.04	08/20/20	1,192.32	17.28 W	0.00	14 of 27 - Sale <sup>25</sup>
	60.000	1,807.75	08/20/20	1,834.35	26.60 W	0.00	15 of 27 - Sale <sup>25</sup>
	55.000	1,657.11	08/20/20	1,681.49	24.38 W	0.00	16 of 27 - Sale <sup>25</sup>
	135.000	4,067.44	08/20/20	4,127.27	59.83 W	0.00	17 of 27 - Sale <sup>25</sup>
	30.000	903.87	08/20/20	915.69	11.82 W	0.00	18 of 27 - Sale <sup>25</sup>
	29.000	873.75	08/20/20	885.17	11.42 W	0.00	19 of 27 - Sale <sup>25</sup>
	41.000	1,235.30	08/20/20	1,253.47	18.17 W	0.00	20 of 27 - Sale <sup>25</sup>
	1.000	30.14	08/20/20	30.84	0.70 W	0.00	21 of 27 - Sale <sup>25</sup>
	45.000	1,356.26	08/20/20	1,373.54	17.28 W	0.00	22 of 27 - Sale <sup>25</sup>
	54.000	1,627.52	08/20/20	1,641.60	14.08 W	0.00	23 of 27 - Sale <sup>25</sup>
	300.000	9,041.76	08/20/20	9,120.00	78.24 W	0.00	24 of 27 - Sale <sup>25</sup>
	100.000	3,013.92	08/20/20	3,040.00	26.08 W	0.00	25 of 27 - Sale <sup>25</sup>
	200.000	6,027.84	08/20/20	6,080.00	52.16 W	0.00	26 of 27 - Sale <sup>25</sup>
	260.000	7,833.59	08/20/20	7,904.00	70.41 W	0.00	27 of 27 - Sale <sup>25</sup>
08/20/20	2,819.000	84,898.20	Various	85,667.71	743.74 W	-25.77	Total of 27 transactions
	<b>Security total:</b>	<b>154,976.95</b>		<b>155,128.38</b>	<b>743.74 W</b>	<b>592.31</b>	

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
<i>4 transactions for 03/26/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	40.00	03/25/20	21.20	...	18.80	1 of 4 - Sale <sup>25</sup>
	80.000	159.99	03/25/20	84.80	...	75.19	2 of 4 - Sale <sup>25</sup>
	250.000	499.95	03/25/20	347.50	...	152.45	3 of 4 - Sale <sup>25</sup>
	300.000	599.95	03/25/20	366.00	...	233.95	4 of 4 - Sale <sup>25</sup>
03/26/20	650.000	1,299.89	Various	819.50	...	480.39	Total of 4 transactions
04/07/20	200.000	239.98	04/06/20	227.98	...	12.00	Sale <sup>25</sup>
04/08/20	350.000	486.46	04/07/20	462.00	...	24.46	Sale <sup>25</sup>
<i>4 transactions for 04/09/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	204.98	04/08/20	143.00	...	61.98	1 of 4 - Sale <sup>25</sup>
	100.000	204.98	04/08/20	143.00	...	61.98	2 of 4 - Sale <sup>25</sup>
	100.000	204.98	04/08/20	142.90	...	62.08	3 of 4 - Sale <sup>25</sup>
	200.000	409.97	04/08/20	313.34	...	96.63	4 of 4 - Sale <sup>25</sup>
04/09/20	500.000	1,024.91	Various	742.24	...	282.67	Total of 4 transactions
<i>2 transactions for 04/15/20. Total proceeds and cost reported to the IRS.</i>							
	200.000	329.99	04/14/20	363.00	33.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	100.000	165.00	04/14/20	182.00	17.00 W	0.00	2 of 2 - Sale <sup>25</sup>
04/15/20	300.000	494.99	Various	545.00	50.01 W	0.00	Total of 2 transactions
<i>2 transactions for 04/16/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	167.98	04/15/20	188.67	20.69 W	0.00	1 of 2 - Sale <sup>25</sup>
	200.000	335.96	04/15/20	376.35	40.39 W	0.00	2 of 2 - Sale <sup>25</sup>
04/16/20	300.000	503.94	Various	565.02	61.08 W	0.00	Total of 2 transactions
<i>6 transactions for 04/20/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	34.26	04/16/20	37.04	2.78 W	0.00	1 of 6 - Sale <sup>25</sup>
	2.000	3.43	04/16/20	3.70	0.27 W	0.00	2 of 6 - Sale <sup>25</sup>
	178.000	304.94	04/16/20	329.65	24.71 W	0.00	3 of 6 - Sale <sup>25</sup>
	24.000	41.12	04/17/20	42.96	1.84 W	0.00	4 of 6 - Sale <sup>25</sup>
	176.000	301.51	04/17/20	315.04	13.53 W	0.00	5 of 6 - Sale <sup>25</sup>
	100.000	171.31	04/17/20	195.33	24.02 W	0.00	6 of 6 - Sale <sup>25</sup>
04/20/20	500.000	856.57	Various	923.72	67.15 W	0.00	Total of 6 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol: (cont'd)							
3 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	2.000	3.19	04/24/20	3.36	0.17 W	0.00	1 of 3 - Sale <sup>25</sup>
	20.000	31.90	04/24/20	33.72	1.82 W	0.00	2 of 3 - Sale <sup>25</sup>
	53.000	84.53	04/24/20	89.35	4.82 W	0.00	3 of 3 - Sale <sup>25</sup>
04/27/20	75.000	119.62	Various	126.43	6.81 W	0.00	Total of 3 transactions
4 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	53.000	94.33	04/29/20	105.33	...	-11.00	1 of 4 - Sale <sup>25</sup>
	25.000	44.50	04/29/20	49.33	...	-4.83	2 of 4 - Sale <sup>25</sup>
	20.000	35.60	04/29/20	39.75	...	-4.15	3 of 4 - Sale <sup>25</sup>
	2.000	3.56	04/29/20	3.96	...	-0.40	4 of 4 - Sale <sup>25</sup>
04/30/20	100.000	177.99	Various	198.37	...	-20.38	Total of 4 transactions
7 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	100.000	177.99	04/28/20	191.33	6.67 W	-6.67	1 of 7 - Sale <sup>25</sup>
	100.000	177.99	04/28/20	197.38	19.39 W	0.00	2 of 7 - Sale <sup>25</sup>
	25.000	44.50	04/28/20	49.47	4.97 W	0.00	3 of 7 - Sale <sup>25</sup>
	75.000	133.49	04/28/20	156.02	22.53 W	0.00	4 of 7 - Sale <sup>25</sup>
	25.000	44.50	04/28/20	52.00	7.50 W	0.00	5 of 7 - Sale <sup>25</sup>
	24.000	42.72	04/28/20	46.00	3.28 W	0.00	6 of 7 - Sale <sup>25</sup>
	51.000	90.77	04/28/20	97.76	6.99 W	0.00	7 of 7 - Sale <sup>25</sup>
04/30/20	400.000	711.96	Various	789.96	71.33 W	-6.67	Total of 7 transactions
05/05/20	100.000	168.99	05/04/20	182.89	13.90 W	0.00	Sale <sup>25</sup>
6 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	25.000	41.33	05/20/20	46.39	5.06 W	0.00	1 of 6 - Sale <sup>25</sup>
	25.000	41.33	05/20/20	48.92	7.59 W	0.00	2 of 6 - Sale <sup>25</sup>
	75.000	124.01	05/20/20	146.79	22.78 W	0.00	3 of 6 - Sale <sup>25</sup>
	24.000	39.68	05/20/20	43.04	3.36 W	0.00	4 of 6 - Sale <sup>25</sup>
	50.000	82.68	05/20/20	89.51	6.83 W	0.00	5 of 6 - Sale <sup>25</sup>
	51.000	84.32	05/20/20	91.49	7.17 W	0.00	6 of 6 - Sale <sup>25</sup>
05/21/20	250.000	413.35	Various	466.14	52.79 W	0.00	Total of 6 transactions
2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	25.000	84.08	06/03/20	52.44	...	31.64	1 of 2 - Sale <sup>25</sup>
	100.000	336.32	06/03/20	203.40	...	132.92	2 of 2 - Sale <sup>25</sup>
06/08/20	125.000	420.40	Various	255.84	...	164.56	Total of 2 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol: (cont'd)							
7 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1.000	3.05	06/03/20	2.04	...	1.01	1 of 7 - Sale <sup>25</sup>
	25.000	76.24	06/03/20	55.01	...	21.23	2 of 7 - Sale <sup>25</sup>
	24.000	73.20	06/03/20	48.88	...	24.32	3 of 7 - Sale <sup>25</sup>
	75.000	228.73	06/03/20	164.90	...	63.83	4 of 7 - Sale <sup>25</sup>
	50.000	152.49	06/04/20	111.28	...	41.21	5 of 7 - Sale <sup>25</sup>
	50.000	152.49	06/04/20	111.08	...	41.41	6 of 7 - Sale <sup>25</sup>
	100.000	304.98	06/04/20	212.00	...	92.98	7 of 7 - Sale <sup>25</sup>
06/10/20	325.000	991.18	Various	705.19	...	285.99	Total of 7 transactions
3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	14.000	28.42	06/10/20	37.52	9.10 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	2.03	06/10/20	2.68	0.65 W	0.00	2 of 3 - Sale <sup>25</sup>
	185.000	375.53	06/10/20	495.80	120.27 W	0.00	3 of 3 - Sale <sup>25</sup>
06/11/20	200.000	405.98	Various	536.00	130.02 W	0.00	Total of 3 transactions
3 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	1.000	2.64	06/16/20	3.43	0.79 W	0.00	1 of 3 - Sale <sup>25</sup>
	14.000	37.00	06/16/20	47.97	10.97 W	0.00	2 of 3 - Sale <sup>25</sup>
	85.000	224.68	06/16/20	291.25	66.57 W	0.00	3 of 3 - Sale <sup>25</sup>
06/17/20	100.000	264.32	Various	342.65	78.33 W	0.00	Total of 3 transactions
5 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	1.000	2.47	06/29/20	3.31	0.84 W	0.00	1 of 5 - Sale <sup>25</sup>
	14.000	34.65	06/29/20	46.21	11.56 W	0.00	2 of 5 - Sale <sup>25</sup>
	85.000	210.36	06/29/20	280.50	70.14 W	0.00	3 of 5 - Sale <sup>25</sup>
	100.000	247.48	06/29/20	316.69	69.21 W	0.00	4 of 5 - Sale <sup>25</sup>
	300.000	742.45	06/29/20	755.03	12.58 W	0.00	5 of 5 - Sale <sup>25</sup>
06/29/20	500.000	1,237.41	Various	1,401.74	164.33 W	0.00	Total of 5 transactions
11 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	1.000	2.47	07/10/20	3.30	0.83 W	0.00	1 of 11 - Sale <sup>25</sup>
	14.000	34.63	07/10/20	45.96	11.33 W	0.00	2 of 11 - Sale <sup>25</sup>
	85.000	210.22	07/10/20	278.97	68.75 W	0.00	3 of 11 - Sale <sup>25</sup>
	100.000	247.32	07/10/20	314.89	67.57 W	0.00	4 of 11 - Sale <sup>25</sup>
	300.000	741.97	07/10/20	749.61	7.64 W	0.00	5 of 11 - Sale <sup>25</sup>
	1.000	2.47	07/10/20	3.33	0.86 W	0.00	6 of 11 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol: (cont'd)							
	14.000	34.63	07/10/20	46.28	11.65 W	0.00	7 of 11 - Sale <sup>25</sup>
	85.000	210.23	07/10/20	280.95	70.72 W	0.00	8 of 11 - Sale <sup>25</sup>
	100.000	247.33	07/10/20	317.22	69.89 W	0.00	9 of 11 - Sale <sup>25</sup>
	50.000	123.67	07/10/20	126.10	2.43 W	0.00	10 of 11 - Sale <sup>25</sup>
	250.000	618.33	07/10/20	628.00	9.67 W	0.00	11 of 11 - Sale <sup>25</sup>
07/10/20	1,000.000	2,473.27	Various	2,794.61	321.34 W	0.00	Total of 11 transactions
24 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	1.000	2.60	07/15/20	3.44	0.84 W	0.00	1 of 24 - Sale <sup>25</sup>
	14.000	36.40	07/15/20	47.73	11.33 W	0.00	2 of 24 - Sale <sup>25</sup>
	85.000	220.99	07/15/20	289.75	68.76 W	0.00	3 of 24 - Sale <sup>25</sup>
	50.000	130.00	07/15/20	163.78	33.78 W	0.00	4 of 24 - Sale <sup>25</sup>
	20.000	52.00	07/15/20	65.51	13.51 W	0.00	5 of 24 - Sale <sup>25</sup>
	5.000	13.00	07/15/20	16.38	3.38 W	0.00	6 of 24 - Sale <sup>25</sup>
	1.000	2.60	07/15/20	3.28	0.68 W	0.00	7 of 24 - Sale <sup>25</sup>
	24.000	62.40	07/15/20	78.61	16.21 W	0.00	8 of 24 - Sale <sup>25</sup>
	50.000	129.99	07/15/20	131.27	1.28 W	0.00	9 of 24 - Sale <sup>25</sup>
	100.000	259.98	07/15/20	266.54	6.56 W	0.00	10 of 24 - Sale <sup>25</sup>
	150.000	389.97	07/15/20	407.32	17.35 W	0.00	11 of 24 - Sale <sup>25</sup>
	500.000	1,299.91	07/16/20	1,348.35	9.69 W	-38.75	12 of 24 - Sale <sup>25</sup>
	1.000	2.60	07/16/20	3.54	0.94 W	0.00	13 of 24 - Sale <sup>25</sup>
	14.000	36.40	07/16/20	49.08	12.68 W	0.00	14 of 24 - Sale <sup>25</sup>
	61.000	158.58	07/16/20	213.84	55.26 W	0.00	15 of 24 - Sale <sup>25</sup>
	24.000	62.40	07/16/20	84.14	21.74 W	0.00	16 of 24 - Sale <sup>25</sup>
	1.000	2.60	07/16/20	3.38	0.78 W	0.00	17 of 24 - Sale <sup>25</sup>
	5.000	13.00	07/16/20	16.86	3.86 W	0.00	18 of 24 - Sale <sup>25</sup>
	20.000	52.00	07/16/20	67.44	15.44 W	0.00	19 of 24 - Sale <sup>25</sup>
	50.000	129.99	07/16/20	168.62	38.63 W	0.00	20 of 24 - Sale <sup>25</sup>
	24.000	62.40	07/16/20	80.93	18.53 W	0.00	21 of 24 - Sale <sup>25</sup>
	50.000	129.99	07/16/20	136.12	6.13 W	0.00	22 of 24 - Sale <sup>25</sup>
	100.000	259.98	07/16/20	276.23	16.25 W	0.00	23 of 24 - Sale <sup>25</sup>
	150.000	389.97	07/16/20	421.85	31.88 W	0.00	24 of 24 - Sale <sup>25</sup>
07/16/20	1,500.000	3,899.75	Various	4,343.99	405.49 W	-38.75	Total of 24 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol: (cont'd)							
13 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	61.000	161.24	07/22/20	217.93	...	-56.69	1 of 13 - Sale <sup>25</sup>
	50.000	136.16	07/22/20	175.47	...	-39.31	2 of 13 - Sale <sup>25</sup>
	150.000	408.48	07/22/20	442.39	...	-33.91	3 of 13 - Sale <sup>25</sup>
	24.000	63.44	07/22/20	85.74	...	-22.30	4 of 13 - Sale <sup>25</sup>
	24.000	65.36	07/22/20	84.21	...	-18.85	5 of 13 - Sale <sup>25</sup>
	100.000	272.32	07/22/20	289.92	...	-17.60	6 of 13 - Sale <sup>25</sup>
	20.000	54.46	07/22/20	70.17	...	-15.71	7 of 13 - Sale <sup>25</sup>
	14.000	37.00	07/22/20	50.02	...	-13.02	8 of 13 - Sale <sup>25</sup>
	100.000	272.32	07/22/20	283.36	...	-11.04	9 of 13 - Sale <sup>25</sup>
	50.000	136.16	07/22/20	142.97	...	-6.81	10 of 13 - Sale <sup>25</sup>
	5.000	13.62	07/22/20	17.54	...	-3.92	11 of 13 - Sale <sup>25</sup>
	1.000	2.64	07/22/20	3.61	...	-0.97	12 of 13 - Sale <sup>25</sup>
	1.000	2.72	07/22/20	3.52	...	-0.80	13 of 13 - Sale <sup>25</sup>
07/22/20	600.000	1,625.92	Various	1,866.85	...	-240.93	Total of 13 transactions
	<b>Security total:</b>	<b>17,816.88</b>		<b>18,296.12</b>	<b>1,422.58 W</b>	<b>943.34</b>	
MEI PHARMA, INC. COMMON STOCK / CUSIP: 55279B202 / Symbol:							
2 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	200.000	466.74	04/14/20	559.60	...	-92.86	1 of 2 - Sale <sup>25</sup>
	100.000	233.37	04/14/20	298.00	...	-64.63	2 of 2 - Sale <sup>25</sup>
04/14/20	300.000	700.11	Various	857.60	...	-157.49	Total of 2 transactions
MGM RESORTS INTERNATIONAL / CUSIP: 552953101 / Symbol:							
3 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	1.000	13.80	03/24/20	12.35	...	1.45	1 of 3 - Sale <sup>25</sup>
	1.000	13.81	03/24/20	12.35	...	1.46	2 of 3 - Sale <sup>25</sup>
	48.000	662.38	03/24/20	592.71	...	69.67	3 of 3 - Sale <sup>25</sup>
03/25/20	50.000	689.99	Various	617.41	...	72.58	Total of 3 transactions
2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	3.000	44.40	04/16/20	43.65	...	0.75	1 of 2 - Sale <sup>25</sup>
	47.000	694.64	04/16/20	683.85	...	10.79	2 of 2 - Sale <sup>25</sup>
04/17/20	50.000	739.04	Various	727.50	...	11.54	Total of 2 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MGM RESORTS INTERNATIONAL / CUSIP: 552953101 / Symbol: (cont'd)							
04/28/20	20.000	323.80	04/27/20	305.00	...	18.80	Sale <sup>25</sup>
05/12/20	25.000	370.29	05/11/20	369.63	...	0.66	Sale <sup>25</sup>
05/21/20	25.000	401.49	05/21/20	399.75	...	1.74	Sale <sup>25</sup>
05/21/20	25.000	401.49	05/20/20	410.21	8.72 W	0.00	Sale <sup>25</sup>
	4 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	25.000	536.48	06/02/20	451.97	...	84.51	1 of 4 - Sale <sup>25</sup>
	25.000	536.48	06/02/20	445.00	...	91.48	2 of 4 - Sale <sup>25</sup>
	25.000	536.49	06/02/20	443.25	...	93.24	3 of 4 - Sale <sup>25</sup>
	25.000	536.49	06/03/20	489.50	...	46.99	4 of 4 - Sale <sup>25</sup>
06/09/20	100.000	2,145.94	Various	1,829.72	...	316.22	Total of 4 transactions
	2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	50.000	1,072.97	06/04/20	1,073.00	0.03 W	0.00	1 of 2 - Sale <sup>25</sup>
	25.000	536.48	06/08/20	579.46	42.98 W	0.00	2 of 2 - Sale <sup>25</sup>
06/09/20	75.000	1,609.45	Various	1,652.46	43.01 W	0.00	Total of 2 transactions
	3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	25.000	431.24	06/10/20	520.27	89.03 W	0.00	1 of 3 - Sale <sup>25</sup>
	24.000	413.99	06/10/20	508.77	94.78 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	17.25	06/10/20	21.20	3.95 W	0.00	3 of 3 - Sale <sup>25</sup>
06/11/20	50.000	862.48	Various	1,050.24	187.76 W	0.00	Total of 3 transactions
	3 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	13.000	260.79	06/15/20	293.43	32.64 W	0.00	1 of 3 - Sale <sup>25</sup>
	25.000	501.53	06/15/20	518.23	16.70 W	0.00	2 of 3 - Sale <sup>25</sup>
	12.000	240.73	06/15/20	270.85	30.12 W	0.00	3 of 3 - Sale <sup>25</sup>
06/16/20	50.000	1,003.05	Various	1,082.51	79.46 W	0.00	Total of 3 transactions
	2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	12.000	204.59	06/24/20	214.52	...	-9.93	1 of 2 - Sale <sup>25</sup>
	1.000	17.05	06/24/20	21.16	...	-4.11	2 of 2 - Sale <sup>25</sup>
06/24/20	13.000	221.64	Various	235.68	...	-14.04	Total of 2 transactions
	3 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	24.000	409.19	06/24/20	507.78	49.29 W	-49.30	1 of 3 - Sale <sup>25</sup>
	1.000	17.05	06/24/20	19.72	2.67 W	0.00	2 of 3 - Sale <sup>25</sup>
	12.000	204.60	06/24/20	236.63	32.03 W	0.00	3 of 3 - Sale <sup>25</sup>
06/24/20	37.000	630.84	Various	764.13	83.99 W	-49.30	Total of 3 transactions

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MGM RESORTS INTERNATIONAL / CUSIP: 552953101 / Symbol: (cont'd)							
5 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	1.000	16.20	07/10/20	18.87	2.67 W	0.00	1 of 5 - Sale <sup>25</sup>
	12.000	194.40	07/10/20	224.49	30.09 W	0.00	2 of 5 - Sale <sup>25</sup>
	12.000	194.39	07/10/20	226.41	32.02 W	0.00	3 of 5 - Sale <sup>25</sup>
	12.000	194.40	07/10/20	243.66	49.26 W	0.00	4 of 5 - Sale <sup>25</sup>
	13.000	210.59	07/10/20	219.25	8.66 W	0.00	5 of 5 - Sale <sup>25</sup>
07/13/20	50.000	809.98	Various	932.68	122.70 W	0.00	Total of 5 transactions
4 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	100.000	1,768.95	08/06/20	1,763.65	...	5.30	1 of 4 - Sale <sup>25</sup>
	100.000	1,768.95	08/06/20	1,763.65	...	5.30	2 of 4 - Sale <sup>25</sup>
	100.000	1,769.45	08/06/20	1,763.65	...	5.80	3 of 4 - Sale <sup>25</sup>
	150.000	2,653.42	08/06/20	2,645.47	...	7.95	4 of 4 - Sale <sup>25</sup>
08/06/20	450.000	7,960.77	Various	7,936.42	...	24.35	Total of 4 transactions
18 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	17.86	08/06/20	20.31	2.45 W	0.00	1 of 18 - Sale <sup>25</sup>
	12.000	214.32	08/06/20	241.73	27.41 W	0.00	2 of 18 - Sale <sup>25</sup>
	12.000	214.31	08/06/20	243.66	29.35 W	0.00	3 of 18 - Sale <sup>25</sup>
	12.000	214.32	08/06/20	260.90	46.58 W	0.00	4 of 18 - Sale <sup>25</sup>
	13.000	232.17	08/06/20	237.93	5.76 W	0.00	5 of 18 - Sale <sup>25</sup>
	1.000	17.69	08/06/20	20.20	2.51 W	0.00	6 of 18 - Sale <sup>25</sup>
	12.000	212.27	08/06/20	240.39	28.12 W	0.00	7 of 18 - Sale <sup>25</sup>
	12.000	212.27	08/06/20	242.33	30.06 W	0.00	8 of 18 - Sale <sup>25</sup>
	12.000	212.27	08/06/20	259.56	47.29 W	0.00	9 of 18 - Sale <sup>25</sup>
	13.000	229.96	08/06/20	236.49	6.53 W	0.00	10 of 18 - Sale <sup>25</sup>
	100.000	1,768.96	08/06/20	1,774.82	5.86 W	0.00	11 of 18 - Sale <sup>25</sup>
	300.000	5,308.34	08/06/20	5,324.45	16.11 W	0.00	12 of 18 - Sale <sup>25</sup>
	50.000	884.47	08/06/20	887.41	2.94 W	0.00	13 of 18 - Sale <sup>25</sup>
	1.000	17.69	08/06/20	20.43	2.74 W	0.00	14 of 18 - Sale <sup>25</sup>
	12.000	212.28	08/06/20	243.16	30.88 W	0.00	15 of 18 - Sale <sup>25</sup>
	12.000	212.27	08/06/20	245.10	32.83 W	0.00	16 of 18 - Sale <sup>25</sup>
	12.000	212.28	08/06/20	262.33	50.05 W	0.00	17 of 18 - Sale <sup>25</sup>
	13.000	229.96	08/06/20	239.49	9.53 W	0.00	18 of 18 - Sale <sup>25</sup>
08/06/20	600.000	10,623.69	Various	11,000.69	377.00 W	0.00	Total of 18 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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MGM RESORTS INTERNATIONAL / CUSIP: 552953101 / Symbol: (cont'd)							
08/12/20	60.000	1,257.62	08/07/20	1,145.22	...	112.40	Sale <sup>25</sup>
	2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.						
	40.000	838.42	08/10/20	867.74	29.32 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	1,049.47	08/11/20	1,082.69	33.22 W	0.00	2 of 2 - Sale <sup>25</sup>
08/12/20	90.000	1,887.89	Various	1,950.43	62.54 W	0.00	Total of 2 transactions
	12 transactions for 08/14/20. Total proceeds and cost reported to the IRS.						
	12.000	261.35	08/14/20	311.39	...	-50.04	1 of 12 - Sale <sup>25</sup>
	50.000	1,088.98	08/14/20	1,122.14	...	-33.16	2 of 12 - Sale <sup>25</sup>
	12.000	261.35	08/14/20	294.17	...	-32.82	3 of 12 - Sale <sup>25</sup>
	12.000	261.35	08/14/20	292.22	...	-30.87	4 of 12 - Sale <sup>25</sup>
	40.000	871.18	08/14/20	900.46	...	-29.28	5 of 12 - Sale <sup>25</sup>
	13.000	283.13	08/14/20	292.65	...	-9.52	6 of 12 - Sale <sup>25</sup>
	164.000	3,571.84	08/14/20	3,580.46	...	-8.62	7 of 12 - Sale <sup>25</sup>
	50.000	1,088.98	08/14/20	1,091.86	...	-2.88	8 of 12 - Sale <sup>25</sup>
	1.000	21.78	08/14/20	24.52	...	-2.74	9 of 12 - Sale <sup>25</sup>
	11.000	239.57	08/14/20	239.71	...	-0.14	10 of 12 - Sale <sup>25</sup>
	20.000	435.60	08/14/20	435.68	...	-0.08	11 of 12 - Sale <sup>25</sup>
	60.000	1,306.77	08/14/20	1,306.70	...	0.07	12 of 12 - Sale <sup>25</sup>
08/14/20	445.000	9,691.88	Various	9,891.96	...	-200.08	Total of 12 transactions
08/14/20	75.000	1,633.46	08/14/20	1,634.52	0.28 W	-0.78	Sale <sup>25</sup>
	9 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	92.000	2,726.80	12/07/20	2,771.11	...	-44.31	1 of 9 - Sale <sup>25</sup>
	52.000	1,541.25	12/07/20	1,579.84	...	-38.59	2 of 9 - Sale <sup>25</sup>
	40.000	1,185.57	12/07/20	1,206.83	...	-21.26	3 of 9 - Sale <sup>25</sup>
	8.000	237.11	12/07/20	241.70	...	-4.59	4 of 9 - Sale <sup>25</sup>
	10.000	296.39	12/07/20	300.71	...	-4.32	5 of 9 - Sale <sup>25</sup>
	8.000	237.11	12/07/20	240.97	...	-3.86	6 of 9 - Sale <sup>25</sup>
	8.000	237.11	12/07/20	240.57	...	-3.46	7 of 9 - Sale <sup>25</sup>
	12.000	355.67	12/07/20	358.20	...	-2.53	8 of 9 - Sale <sup>25</sup>
	8.000	238.47	12/07/20	238.88	...	-0.41	9 of 9 - Sale <sup>25</sup>
12/07/20	238.000	7,055.48	Various	7,178.81	...	-123.33	Total of 9 transactions

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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MGM RESORTS INTERNATIONAL / CUSIP: 552953101 / Symbol: (cont'd)							
6 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	32.000	953.90	12/07/20	955.52	0.41 W	-1.21	1 of 6 - Sale <sup>25</sup>
	100.000	2,963.92	12/07/20	2,986.00	22.08 W	0.00	2 of 6 - Sale <sup>25</sup>
	100.000	2,963.92	12/07/20	2,986.00	22.08 W	0.00	3 of 6 - Sale <sup>25</sup>
	10.000	296.39	12/07/20	298.60	2.21 W	0.00	4 of 6 - Sale <sup>25</sup>
	8.000	237.11	12/07/20	240.01	2.90 W	0.00	5 of 6 - Sale <sup>25</sup>
	52.000	1,541.24	12/07/20	1,568.88	27.64 W	0.00	6 of 6 - Sale <sup>25</sup>
12/07/20	302.000	8,956.48	Various	9,035.01	77.32 W	-1.21	Total of 6 transactions
	<b>Security total:</b>	<b>59,276.75</b>		<b>60,149.98</b>	<b>1,042.78 W</b>	<b>169.55</b>	
MRC GLOBAL INC. / CUSIP: 55345K103 / Symbol:							
2 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	25.000	143.77	04/29/20	144.75	...	-0.98	1 of 2 - Sale <sup>25</sup>
	75.000	431.30	04/29/20	431.07	...	0.23	2 of 2 - Sale <sup>25</sup>
04/30/20	100.000	575.07	Various	575.82	...	-0.75	Total of 2 transactions
MACY'S INC. / CUSIP: 55616P104 / Symbol:							
3 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	15.000	114.62	06/03/20	118.50	1.29 W	-2.59	1 of 3 - Sale <sup>25</sup>
	90.000	687.74	06/03/20	711.75	24.01 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	38.21	06/03/20	39.59	1.38 W	0.00	3 of 3 - Sale <sup>25</sup>
06/04/20	110.000	840.57	Various	869.84	26.68 W	-2.59	Total of 3 transactions
4 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	63.000	432.17	06/12/20	482.27	50.10 W	0.00	1 of 4 - Sale <sup>25</sup>
	5.000	34.30	06/12/20	38.32	4.02 W	0.00	2 of 4 - Sale <sup>25</sup>
	5.000	34.30	06/12/20	38.23	3.93 W	0.00	3 of 4 - Sale <sup>25</sup>
	27.000	185.22	06/12/20	206.69	21.47 W	0.00	4 of 4 - Sale <sup>25</sup>
06/15/20	100.000	685.99	Various	765.51	79.52 W	0.00	Total of 4 transactions
9 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	10.000	68.00	07/08/20	67.98	...	0.02	1 of 9 - Sale <sup>25</sup>
	25.000	170.99	07/08/20	170.97	...	0.02	2 of 9 - Sale <sup>25</sup>
	40.000	273.59	07/08/20	273.54	...	0.05	3 of 9 - Sale <sup>25</sup>
	35.000	239.39	07/08/20	239.29	...	0.10	4 of 9 - Sale <sup>25</sup>

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MACY'S INC. / CUSIP: 55616P104 / Symbol: (cont'd)							
	100.000	679.97	07/08/20	678.83	...	1.14	5 of 9 - Sale <sup>25</sup>
	75.000	509.98	07/08/20	503.82	...	6.16	6 of 9 - Sale <sup>25</sup>
	100.000	679.97	07/08/20	672.76	...	7.21	7 of 9 - Sale <sup>25</sup>
	50.000	341.75	07/08/20	332.88	...	8.87	8 of 9 - Sale <sup>25</sup>
	150.000	1,019.96	07/08/20	1,009.25	...	10.71	9 of 9 - Sale <sup>25</sup>
07/08/20	585.000	3,983.60	Various	3,949.32	...	34.28	Total of 9 transactions
	15 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	5.000	33.41	07/08/20	37.31	3.90 W	0.00	1 of 15 - Sale <sup>25</sup>
	5.000	33.41	07/08/20	37.22	3.81 W	0.00	2 of 15 - Sale <sup>25</sup>
	27.000	180.42	07/08/20	201.22	20.80 W	0.00	3 of 15 - Sale <sup>25</sup>
	63.000	420.97	07/08/20	469.53	48.56 W	0.00	4 of 15 - Sale <sup>25</sup>
	5.000	34.00	07/08/20	37.29	3.29 W	0.00	5 of 15 - Sale <sup>25</sup>
	5.000	34.00	07/08/20	37.20	3.20 W	0.00	6 of 15 - Sale <sup>25</sup>
	27.000	183.59	07/08/20	201.12	17.53 W	0.00	7 of 15 - Sale <sup>25</sup>
	63.000	428.38	07/08/20	469.29	40.91 W	0.00	8 of 15 - Sale <sup>25</sup>
	65.000	441.98	07/08/20	443.95	1.97 W	0.00	9 of 15 - Sale <sup>25</sup>
	325.000	2,209.92	07/08/20	2,219.20	9.28 W	0.00	10 of 15 - Sale <sup>25</sup>
	25.000	170.99	07/08/20	186.94	15.95 W	0.00	11 of 15 - Sale <sup>25</sup>
	5.000	34.20	07/08/20	37.33	3.13 W	0.00	12 of 15 - Sale <sup>25</sup>
	5.000	34.20	07/08/20	37.24	3.04 W	0.00	13 of 15 - Sale <sup>25</sup>
	27.000	184.67	07/08/20	201.35	16.68 W	0.00	14 of 15 - Sale <sup>25</sup>
	38.000	259.91	07/08/20	283.40	23.49 W	0.00	15 of 15 - Sale <sup>25</sup>
07/08/20	690.000	4,684.05	Various	4,899.59	215.54 W	0.00	Total of 15 transactions
07/09/20	26.000	175.75	07/08/20	179.36	...	-3.61	Sale <sup>25</sup>
	5 transactions for 07/09/20. Total proceeds and cost reported to the IRS.						
	74.000	500.22	07/08/20	510.49	1.39 W	-8.88	1 of 5 - Sale <sup>25</sup>
	25.000	169.24	07/08/20	170.92	1.68 W	0.00	2 of 5 - Sale <sup>25</sup>
	75.000	507.73	07/08/20	516.65	8.92 W	0.00	3 of 5 - Sale <sup>25</sup>
	16.000	108.16	07/08/20	110.21	2.05 W	0.00	4 of 5 - Sale <sup>25</sup>
	9.000	60.84	07/08/20	62.00	1.16 W	0.00	5 of 5 - Sale <sup>25</sup>
07/09/20	199.000	1,346.19	Various	1,370.27	15.20 W	-8.88	Total of 5 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MACY'S INC. / CUSIP: 55616P104 / Symbol: (cont'd)							
4 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	38.000	249.27	07/13/20	273.44	...	-24.17	1 of 4 - Sale <sup>25</sup>
	25.000	163.99	07/13/20	180.39	...	-16.40	2 of 4 - Sale <sup>25</sup>
	22.000	144.32	07/13/20	158.30	...	-13.98	3 of 4 - Sale <sup>25</sup>
	65.000	426.39	07/13/20	429.40	...	-3.01	4 of 4 - Sale <sup>25</sup>
07/13/20	150.000	983.97	Various	1,041.53	...	-57.56	Total of 4 transactions
5 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	60.000	415.25	07/21/20	424.04	...	-8.79	1 of 5 - Sale <sup>25</sup>
	5.000	34.60	07/21/20	37.87	...	-3.27	2 of 5 - Sale <sup>25</sup>
	5.000	34.60	07/21/20	37.83	...	-3.23	3 of 5 - Sale <sup>25</sup>
	5.000	34.60	07/21/20	37.78	...	-3.18	4 of 5 - Sale <sup>25</sup>
	25.000	173.02	07/21/20	175.39	...	-2.37	5 of 5 - Sale <sup>25</sup>
07/21/20	100.000	692.07	Various	712.91	...	-20.84	Total of 5 transactions
4 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	16.000	102.27	07/22/20	104.25	...	-1.98	1 of 4 - Sale <sup>25</sup>
	15.000	95.88	07/22/20	97.59	...	-1.71	2 of 4 - Sale <sup>25</sup>
	10.000	63.92	07/22/20	65.27	...	-1.35	3 of 4 - Sale <sup>25</sup>
	9.000	57.52	07/22/20	58.65	...	-1.13	4 of 4 - Sale <sup>25</sup>
07/22/20	50.000	319.59	Various	325.76	...	-6.17	Total of 4 transactions
	<b>Security total:</b>	<b>13,711.78</b>		<b>14,114.09</b>	<b>336.94 W</b>	<b>-65.37</b>	
MARATHON OIL CORPORATION / CUSIP: 565849106 / Symbol:							
04/15/20	100.000	401.20	04/14/20	429.99	28.79 W	0.00	Sale <sup>25</sup>
2 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	25.000	147.49	04/29/20	162.50	...	-15.01	1 of 2 - Sale <sup>25</sup>
	100.000	589.98	04/29/20	602.58	...	-12.60	2 of 2 - Sale <sup>25</sup>
04/30/20	125.000	737.47	Various	765.08	...	-27.61	Total of 2 transactions
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	49.000	308.69	06/10/20	361.87	...	-53.18	1 of 2 - Sale <sup>25</sup>
	1.000	6.30	06/10/20	8.43	...	-2.13	2 of 2 - Sale <sup>25</sup>
06/11/20	50.000	314.99	Various	370.30	...	-55.31	Total of 2 transactions
06/11/20	50.000	314.98	06/10/20	367.25	1.05 W	-51.22	Sale <sup>25</sup>
07/14/20	250.000	1,323.07	07/14/20	1,312.00	...	11.07	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MARATHON OIL CORPORATION / CUSIP: 565849106 / Symbol: (cont'd)							
08/20/20	375.000	2,078.27	08/20/20	2,087.96	...	-9.69	Sale <sup>25</sup>
	<b>Security total:</b>	<b>5,169.98</b>		<b>5,332.58</b>	<b>29.84 W</b>	<b>-132.76</b>	
MARCHEX, INC. CLASS B COMMON S TOCK / CUSIP: 56624R108 / Symbol:							
05/12/20	3.000	4.98	05/11/20	5.48	...	-0.50	Sale <sup>25</sup>
MARRIOTT INTERNATIONAL CLASS A COMMON STOCK / CUSIP: 571903202 / Symbol:							
06/03/20	5.000	486.65	06/02/20	479.10	...	7.55	Sale <sup>25</sup>
	2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	5.000	494.99	06/02/20	480.00	...	14.99	1 of 2 - Sale <sup>25</sup>
	5.000	494.98	06/02/20	479.10	...	15.88	2 of 2 - Sale <sup>25</sup>
06/04/20	10.000	989.97	Various	959.10	...	30.87	Total of 2 transactions
	<b>Security total:</b>	<b>1,476.62</b>		<b>1,438.20</b>	<b>...</b>	<b>38.42</b>	
MARTIN MIDSTREAM PARTNERS L.P. LIMITED PARTNERSHIP / CUSIP: 573331105 / Symbol:							
04/24/20	100.000	215.15	04/23/20	222.88	...	-7.73	Sale <sup>25</sup>
	2 transactions for 04/24/20. Total proceeds and cost reported to the IRS.						
	100.000	215.15	04/23/20	223.00	1.96 W	-5.89	1 of 2 - Sale <sup>25</sup>
	100.000	215.15	04/23/20	220.00	4.85 W	0.00	2 of 2 - Sale <sup>25</sup>
04/24/20	200.000	430.30	Various	443.00	6.81 W	-5.89	Total of 2 transactions
	2 transactions for 04/29/20. Total proceeds and cost reported to the IRS.						
	25.000	83.78	04/28/20	83.21	...	0.57	1 of 2 - Sale <sup>25</sup>
	100.000	335.09	04/28/20	329.85	...	5.24	2 of 2 - Sale <sup>25</sup>
04/29/20	125.000	418.87	Various	413.06	...	5.81	Total of 2 transactions
	<b>Security total:</b>	<b>1,064.32</b>		<b>1,078.94</b>	<b>6.81 W</b>	<b>-7.81</b>	
MATINAS BIOPHARMA HOLDINGS, IN C. / CUSIP: 576810105 / Symbol:							
	7 transactions for 04/01/20. Total proceeds and cost reported to the IRS.						
	3.000	1.71	03/31/20	1.70	...	0.01	1 of 7 - Sale <sup>25</sup>
	100.000	57.09	03/31/20	56.24	...	0.85	2 of 7 - Sale <sup>25</sup>
	100.000	57.09	03/31/20	56.24	...	0.85	3 of 7 - Sale <sup>25</sup>
	100.000	57.35	03/31/20	56.24	...	1.11	4 of 7 - Sale <sup>25</sup>
	100.000	57.35	03/31/20	56.24	...	1.11	5 of 7 - Sale <sup>25</sup>
	100.000	57.35	03/31/20	56.24	...	1.11	6 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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MATINAS BIOPHARMA HOLDINGS, IN C. / CUSIP: 576810105 / Symbol: (cont'd)							
	197.000	112.46	03/31/20	110.79	...	1.67	7 of 7 - Sale <sup>25</sup>
04/01/20	700.000	400.40	Various	393.69	...	6.71	Total of 7 transactions
MEDICINOVA INC COMMON STOCK / CUSIP: 58468P206 / Symbol:							
04/13/20	50.000	341.34	04/09/20	311.50	...	29.84	Sale <sup>25</sup>
<i>3 transactions for 07/28/20. Total proceeds and cost reported to the IRS.</i>							
	40.000	294.00	07/28/20	301.60	...	-7.60	1 of 3 - Sale <sup>25</sup>
	5.000	36.75	07/28/20	37.70	...	-0.95	2 of 3 - Sale <sup>25</sup>
	5.000	36.75	07/28/20	37.70	...	-0.95	3 of 3 - Sale <sup>25</sup>
07/28/20	50.000	367.50	Various	377.00	...	-9.50	Total of 3 transactions
	<b>Security total:</b>	<b>708.84</b>		<b>688.50</b>	...	<b>20.34</b>	
MEDLEY MANAGEMENT INC / CUSIP: 58503T106 / Symbol:							
03/27/20	300.000	378.02	03/26/20	405.00	...	-26.98	Sale <sup>25</sup>
MENLO THERAPEUTICS INC. COMMON STOCK / CUSIP: 586858102 / Symbol:							
<i>2 transactions for 03/09/20. Total proceeds and cost reported to the IRS.</i>							
	75.000	277.49	03/06/20	307.50	...	-30.01	1 of 2 - Sale <sup>25</sup>
	25.000	92.50	03/06/20	102.50	...	-10.00	2 of 2 - Sale <sup>25</sup>
03/09/20	100.000	369.99	Various	410.00	...	-40.01	Total of 2 transactions
04/28/20	150.000	277.48	04/27/20	271.50	...	5.98	Sale <sup>25</sup>
	<b>Security total:</b>	<b>647.47</b>		<b>681.50</b>	...	<b>-34.03</b>	
MERSANA THERAPEUTICS, INC. COM MON STOCK / CUSIP: 59045L106 / Symbol:							
05/29/20	10.000	228.60	05/27/20	187.28	...	41.32	Sale <sup>25</sup>
06/01/20	10.000	226.00	05/27/20	187.27	...	38.73	Sale <sup>25</sup>
	<b>Security total:</b>	<b>454.60</b>		<b>374.55</b>	...	<b>80.05</b>	
MESA AIR GROUP, INC. COMMON ST OCK / CUSIP: 590479135 / Symbol:							
<i>2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	205.99	04/30/20	229.75	...	-23.76	1 of 2 - Sale <sup>25</sup>
	100.000	411.97	04/30/20	424.83	...	-12.86	2 of 2 - Sale <sup>25</sup>
05/01/20	150.000	617.96	Various	654.58	...	-36.62	Total of 2 transactions

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MESOBLAST LIMITED AMERICAN DEP OSITARY SHARES / CUSIP: 590717104 / Symbol:							
04/13/20	50.000	360.87	04/09/20	390.00	...	-29.13	Sale <sup>25</sup>
	17 transactions for 08/14/20. Total proceeds and cost reported to the IRS.						
	85.000	1,521.46	08/14/20	1,555.64	...	-34.18	1 of 17 - Sale <sup>25</sup>
	69.000	1,235.06	08/14/20	1,262.13	...	-27.07	2 of 17 - Sale <sup>25</sup>
	179.000	3,204.01	08/14/20	3,222.00	...	-17.99	3 of 17 - Sale <sup>25</sup>
	157.000	2,810.22	08/14/20	2,826.00	...	-15.78	4 of 17 - Sale <sup>25</sup>
	33.000	581.78	08/14/20	596.69	...	-14.91	5 of 17 - Sale <sup>25</sup>
	26.000	458.36	08/14/20	470.12	...	-11.76	6 of 17 - Sale <sup>25</sup>
	21.000	375.89	08/14/20	384.34	...	-8.45	7 of 17 - Sale <sup>25</sup>
	15.000	264.45	08/14/20	271.23	...	-6.78	8 of 17 - Sale <sup>25</sup>
	13.000	232.69	08/14/20	237.92	...	-5.23	9 of 17 - Sale <sup>25</sup>
	13.000	232.69	08/14/20	237.14	...	-4.45	10 of 17 - Sale <sup>25</sup>
	19.000	340.09	08/14/20	344.49	...	-4.40	11 of 17 - Sale <sup>25</sup>
	10.000	178.99	08/14/20	182.02	...	-3.03	12 of 17 - Sale <sup>25</sup>
	8.000	143.20	08/14/20	145.93	...	-2.73	13 of 17 - Sale <sup>25</sup>
	9.000	161.10	08/14/20	163.71	...	-2.61	14 of 17 - Sale <sup>25</sup>
	3.000	53.70	08/14/20	54.87	...	-1.17	15 of 17 - Sale <sup>25</sup>
	2.000	35.80	08/14/20	36.40	...	-0.60	16 of 17 - Sale <sup>25</sup>
	1.000	17.63	08/14/20	18.08	...	-0.45	17 of 17 - Sale <sup>25</sup>
08/14/20	663.000	11,847.12	Various	12,008.71	...	-161.59	Total of 17 transactions
	24 transactions for 08/14/20. Total proceeds and cost reported to the IRS.						
	38.000	680.18	08/14/20	691.64	7.84 W	-3.62	1 of 24 - Sale <sup>25</sup>
	199.000	3,532.14	08/14/20	3,552.15	20.01 W	0.00	2 of 24 - Sale <sup>25</sup>
	100.000	1,774.95	08/14/20	1,785.00	10.05 W	0.00	3 of 24 - Sale <sup>25</sup>
	10.000	177.49	08/14/20	178.50	1.01 W	0.00	4 of 24 - Sale <sup>25</sup>
	30.000	532.49	08/14/20	535.50	3.01 W	0.00	5 of 24 - Sale <sup>25</sup>
	69.000	1,224.71	08/14/20	1,238.59	13.88 W	0.00	6 of 24 - Sale <sup>25</sup>
	101.000	1,792.70	08/14/20	1,813.00	20.30 W	0.00	7 of 24 - Sale <sup>25</sup>
	29.000	514.73	08/14/20	520.57	5.84 W	0.00	8 of 24 - Sale <sup>25</sup>
	61.000	1,082.72	08/14/20	1,094.98	12.26 W	0.00	9 of 24 - Sale <sup>25</sup>
	1.000	17.75	08/14/20	17.95	0.20 W	0.00	10 of 24 - Sale <sup>25</sup>
	2.000	35.50	08/14/20	35.90	0.40 W	0.00	11 of 24 - Sale <sup>25</sup>
	10.000	177.49	08/14/20	179.51	2.02 W	0.00	12 of 24 - Sale <sup>25</sup>
	38.000	674.48	08/14/20	682.12	7.64 W	0.00	13 of 24 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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MESOBLAST LIMITED AMERICAN DEP OSITARY SHARES / CUSIP: 590717104 / Symbol: (cont'd)							
	19.000	340.28	08/14/20	342.77	2.49 W	0.00	14 of 24 - Sale <sup>25</sup>
	9.000	161.19	08/14/20	162.90	1.71 W	0.00	15 of 24 - Sale <sup>25</sup>
	69.000	1,235.75	08/14/20	1,255.88	20.13 W	0.00	16 of 24 - Sale <sup>25</sup>
	3.000	53.73	08/14/20	54.60	0.87 W	0.00	17 of 24 - Sale <sup>25</sup>
	85.000	1,521.45	08/14/20	1,547.09	25.64 W	0.00	18 of 24 - Sale <sup>25</sup>
	21.000	375.89	08/14/20	382.23	6.34 W	0.00	19 of 24 - Sale <sup>25</sup>
	13.000	232.69	08/14/20	236.61	3.92 W	0.00	20 of 24 - Sale <sup>25</sup>
	8.000	143.20	08/14/20	145.61	2.41 W	0.00	21 of 24 - Sale <sup>25</sup>
	28.000	501.18	08/14/20	509.63	8.45 W	0.00	22 of 24 - Sale <sup>25</sup>
	1.000	17.90	08/14/20	18.20	0.30 W	0.00	23 of 24 - Sale <sup>25</sup>
	33.000	590.68	08/14/20	600.63	9.95 W	0.00	24 of 24 - Sale <sup>25</sup>
08/14/20	977.000	17,391.27	Various	17,581.56	186.67 W	-3.62	Total of 24 transactions
	<b>Security total:</b>	<b>29,599.26</b>		<b>29,980.27</b>	<b>186.67 W</b>	<b>-194.34</b>	
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
02/24/20	6.000	1,006.29	11/18/19	900.00	...	106.29	Sale <sup>25</sup>
03/13/20	20.000	2,861.13	03/12/20	2,704.00	...	157.13	Sale <sup>25</sup>
03/23/20	10.000	1,340.37	03/20/20	1,373.80	33.43 W	0.00	Sale <sup>25</sup>
04/08/20	5.000	823.59	04/06/20	826.72	3.13 W	0.00	Sale <sup>25</sup>
	3 transactions for 04/16/20. Total proceeds and cost reported to the IRS.						
	2.000	348.00	04/13/20	333.54	...	14.46	1 of 3 - Sale <sup>25</sup>
	3.000	521.35	04/13/20	500.32	...	21.03	2 of 3 - Sale <sup>25</sup>
	5.000	869.83	04/13/20	820.28	...	49.55	3 of 3 - Sale <sup>25</sup>
04/16/20	10.000	1,739.18	Various	1,654.14	...	85.04	Total of 3 transactions
	3 transactions for 08/03/20. Total proceeds and cost reported to the IRS.						
	5.000	1,066.84	08/03/20	1,066.79	...	0.05	1 of 3 - Sale <sup>25</sup>
	5.000	1,067.30	08/03/20	1,066.79	...	0.51	2 of 3 - Sale <sup>25</sup>
	5.000	1,068.07	08/03/20	1,066.78	...	1.29	3 of 3 - Sale <sup>25</sup>
08/03/20	15.000	3,202.21	Various	3,200.36	...	1.85	Total of 3 transactions
	<b>Security total:</b>	<b>10,972.77</b>		<b>10,659.02</b>	<b>36.56 W</b>	<b>350.31</b>	

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol:							
04/03/20	1,000.000	219.88	04/01/20	239.98	...	-20.10	Sale <sup>25</sup>
05/06/20	10.000	11.50	05/05/20	16.39	...	-4.89	Sale <sup>25</sup>
07/13/20	50.000	83.50	07/13/20	83.25	...	0.25	Sale <sup>25</sup>
	8 transactions for 12/23/20. Total proceeds and cost reported to the IRS.						
	99.000	698.91	12/23/20	716.76	...	-17.85	1 of 8 - Sale <sup>25</sup>
	80.000	564.78	12/23/20	579.91	...	-15.13	2 of 8 - Sale <sup>25</sup>
	4.000	28.85	12/23/20	30.32	...	-1.47	3 of 8 - Sale <sup>25</sup>
	2.000	14.43	12/23/20	14.57	...	-0.14	4 of 8 - Sale <sup>25</sup>
	34.000	240.03	12/23/20	239.70	...	0.33	5 of 8 - Sale <sup>25</sup>
	40.000	282.39	12/23/20	281.61	...	0.78	6 of 8 - Sale <sup>25</sup>
	10.000	70.60	12/23/20	69.10	...	1.50	7 of 8 - Sale <sup>25</sup>
	150.000	1,058.95	12/23/20	1,036.34	...	22.61	8 of 8 - Sale <sup>25</sup>
12/23/20	419.000	2,958.94	Various	2,968.31	...	-9.37	Total of 8 transactions
	12 transactions for 12/23/20. Total proceeds and cost reported to the IRS.						
	70.000	494.18	12/23/20	502.60	3.01 W	-5.41	1 of 12 - Sale <sup>25</sup>
	6.000	43.27	12/23/20	44.25	0.33 W	-0.65	2 of 12 - Sale <sup>25</sup>
	4.000	28.85	12/23/20	29.50	0.65 W	0.00	3 of 12 - Sale <sup>25</sup>
	54.000	386.36	12/23/20	393.39	7.03 W	0.00	4 of 12 - Sale <sup>25</sup>
	34.000	242.93	12/23/20	247.69	4.76 W	0.00	5 of 12 - Sale <sup>25</sup>
	2.000	14.29	12/23/20	14.93	0.64 W	0.00	6 of 12 - Sale <sup>25</sup>
	10.000	71.45	12/23/20	74.29	2.84 W	0.00	7 of 12 - Sale <sup>25</sup>
	3.000	21.44	12/23/20	21.96	0.52 W	0.00	8 of 12 - Sale <sup>25</sup>
	1.000	7.06	12/23/20	7.32	0.26 W	0.00	9 of 12 - Sale <sup>25</sup>
	2.000	14.12	12/23/20	14.46	0.34 W	0.00	10 of 12 - Sale <sup>25</sup>
	3.000	21.18	12/23/20	21.25	0.07 W	0.00	11 of 12 - Sale <sup>25</sup>
	10.000	70.60	12/23/20	71.94	1.34 W	0.00	12 of 12 - Sale <sup>25</sup>
12/23/20	199.000	1,415.73	Various	1,443.58	21.79 W	-6.06	Total of 12 transactions
	6 transactions for 12/30/20. Total proceeds and cost reported to the IRS.						
	1.000	6.14	12/23/20	7.50	...	-1.36	1 of 6 - Sale <sup>25</sup>
	24.000	147.36	12/30/20	156.25	...	-8.89	2 of 6 - Sale <sup>25</sup>
	10.000	61.40	12/30/20	65.24	...	-3.84	3 of 6 - Sale <sup>25</sup>
	2.000	12.28	12/30/20	13.12	...	-0.84	4 of 6 - Sale <sup>25</sup>
	3.000	18.42	12/30/20	19.24	...	-0.82	5 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol: (cont'd)							
	1.000	6.16	12/30/20	6.51	...	-0.35	6 of 6 - Sale <sup>25</sup>
12/30/20	41.000	251.76	Various	267.86	...	-16.10	Total of 6 transactions
	<b>Security total:</b>	<b>4,941.31</b>		<b>5,019.37</b>	<b>21.79 W</b>	<b>-56.27</b>	
MIRAGEN THERAPEUTICS, INC. COM MON STOCK / CUSIP: 60463E103 / Symbol:							
03/05/20	200.000	164.05	03/04/20	185.98	...	-21.93	Sale <sup>25</sup>
MITCHAM INDUSTRIES, INC. COMMO N STOCK / CUSIP: 606501104 / Symbol:							
07/15/20	100.000	264.00	07/15/20	268.99	...	-4.99	Sale <sup>25</sup>
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
03/12/20	20.000	455.80	03/11/20	479.58	23.78 W	0.00	Sale <sup>25</sup>
	5 transactions for 03/17/20. Total proceeds and cost reported to the IRS.						
	5.000	152.50	03/13/20	108.76	...	43.74	1 of 5 - Sale <sup>25</sup>
	20.000	609.98	03/13/20	458.80	...	151.18	2 of 5 - Sale <sup>25</sup>
	10.000	304.99	03/16/20	286.50	...	18.49	3 of 5 - Sale <sup>25</sup>
	15.000	457.49	03/16/20	429.00	...	28.49	4 of 5 - Sale <sup>25</sup>
	25.000	762.47	03/16/20	679.77	...	82.70	5 of 5 - Sale <sup>25</sup>
03/17/20	75.000	2,287.43	Various	1,962.83	...	324.60	Total of 5 transactions
	2 transactions for 03/30/20. Total proceeds and cost reported to the IRS.						
	5.000	150.80	03/27/20	148.40	...	2.40	1 of 2 - Sale <sup>25</sup>
	20.000	603.19	03/27/20	593.00	...	10.19	2 of 2 - Sale <sup>25</sup>
03/30/20	25.000	753.99	Various	741.40	...	12.59	Total of 2 transactions
03/30/20	15.000	452.38	03/27/20	464.10	11.72 W	0.00	Sale <sup>25</sup>
	2 transactions for 04/16/20. Total proceeds and cost reported to the IRS.						
	15.000	572.23	04/15/20	588.01	15.78 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	381.49	04/15/20	384.20	2.71 W	0.00	2 of 2 - Sale <sup>25</sup>
04/16/20	25.000	953.72	Various	972.21	18.49 W	0.00	Total of 2 transactions
04/20/20	10.000	447.50	04/17/20	476.20	28.70 W	0.00	Sale <sup>25</sup>
04/22/20	5.000	251.04	04/20/20	249.54	...	1.50	Sale <sup>25</sup>
04/22/20	5.000	251.04	04/20/20	253.44	2.40 W	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
2 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	5.000	315.59	05/08/20	304.00	...	11.59	1 of 2 - Sale <sup>25</sup>
	5.000	315.59	05/08/20	291.00	...	24.59	2 of 2 - Sale <sup>25</sup>
05/14/20	10.000	631.18	Various	595.00	...	36.18	Total of 2 transactions
3 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	5.000	315.59	05/13/20	343.25	27.66 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	315.60	05/13/20	334.90	19.30 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	315.59	05/13/20	332.50	16.91 W	0.00	3 of 3 - Sale <sup>25</sup>
05/14/20	15.000	946.78	Various	1,010.65	63.87 W	0.00	Total of 3 transactions
2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	5.000	394.39	05/15/20	357.06	...	37.33	1 of 2 - Sale <sup>25</sup>
	5.000	394.39	05/15/20	348.70	...	45.69	2 of 2 - Sale <sup>25</sup>
05/18/20	10.000	788.78	Various	705.76	...	83.02	Total of 2 transactions
2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	20.000	1,399.97	05/19/20	1,355.00	...	44.97	1 of 2 - Sale <sup>25</sup>
	20.000	1,399.97	05/19/20	1,354.80	...	45.17	2 of 2 - Sale <sup>25</sup>
05/20/20	40.000	2,799.94	Various	2,709.80	...	90.14	Total of 2 transactions
05/20/20	5.000	349.99	05/19/20	355.66	5.67 W	0.00	Sale <sup>25</sup>
2 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	5.000	339.99	05/20/20	345.97	5.98 W	0.00	1 of 2 - Sale <sup>25</sup>
	20.000	1,359.97	05/20/20	1,361.20	1.23 W	0.00	2 of 2 - Sale <sup>25</sup>
05/21/20	25.000	1,699.96	Various	1,707.17	7.21 W	0.00	Total of 2 transactions
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	5.000	321.49	05/21/20	340.25	18.76 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	642.98	05/21/20	669.15	26.17 W	0.00	2 of 2 - Sale <sup>25</sup>
05/26/20	15.000	964.47	Various	1,009.40	44.93 W	0.00	Total of 2 transactions
06/02/20	10.000	573.32	05/27/20	526.51	...	46.81	Sale <sup>25</sup>
3 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	5.000	286.66	05/29/20	308.78	22.12 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	286.66	05/29/20	303.12	16.46 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	286.66	05/29/20	320.28	33.62 W	0.00	3 of 3 - Sale <sup>25</sup>
06/02/20	15.000	859.98	Various	932.18	72.20 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
3 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	5.000	307.13	06/11/20	348.02	40.89 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	307.13	06/11/20	342.37	35.24 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	307.13	06/11/20	359.52	52.39 W	0.00	3 of 3 - Sale <sup>25</sup>
06/12/20	15.000	921.39	Various	1,049.91	128.52 W	0.00	Total of 3 transactions
4 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	5.000	320.34	06/16/20	359.31	38.97 W	0.00	1 of 4 - Sale <sup>25</sup>
	5.000	320.34	06/16/20	353.66	33.32 W	0.00	2 of 4 - Sale <sup>25</sup>
	5.000	320.34	06/16/20	370.82	50.48 W	0.00	3 of 4 - Sale <sup>25</sup>
	10.000	640.68	06/17/20	656.44	15.76 W	0.00	4 of 4 - Sale <sup>25</sup>
06/19/20	25.000	1,601.70	Various	1,740.23	138.53 W	0.00	Total of 4 transactions
8 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	0.515	31.60	06/30/20	36.46	4.86 W	0.00	1 of 8 - Sale <sup>25</sup>
	4.485	269.78	06/30/20	317.45	47.67 W	0.00	2 of 8 - Sale <sup>25</sup>
	5.000	300.77	06/30/20	348.25	47.48 W	0.00	3 of 8 - Sale <sup>25</sup>
	5.000	300.76	06/30/20	365.42	64.66 W	0.00	4 of 8 - Sale <sup>25</sup>
	0.515	30.99	06/30/20	37.95	6.96 W	0.00	5 of 8 - Sale <sup>25</sup>
	10.000	601.53	06/30/20	658.20	56.67 W	0.00	6 of 8 - Sale <sup>25</sup>
	4.480	269.48	06/30/20	287.82	18.34 W	0.00	7 of 8 - Sale <sup>25</sup>
	0.005	0.29	06/30/20	0.36	0.07 W	0.00	8 of 8 - Sale <sup>25</sup>
07/01/20	30.000	1,805.20	Various	2,051.91	246.71 W	0.00	Total of 8 transactions
3 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	4.480	273.44	07/01/20	323.14	49.70 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.520	31.74	07/01/20	38.70	6.96 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	305.19	07/01/20	354.98	49.79 W	0.00	3 of 3 - Sale <sup>25</sup>
07/02/20	10.000	610.37	Various	716.82	106.45 W	0.00	Total of 3 transactions
9 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	0.515	34.12	07/13/20	41.25	7.13 W	0.00	1 of 9 - Sale <sup>25</sup>
	4.480	296.79	07/13/20	356.12	59.33 W	0.00	2 of 9 - Sale <sup>25</sup>
	5.005	331.57	07/13/20	361.48	29.91 W	0.00	3 of 9 - Sale <sup>25</sup>
	0.005	0.36	07/13/20	0.43	0.07 W	0.00	4 of 9 - Sale <sup>25</sup>
	4.480	326.90	07/13/20	377.27	50.37 W	0.00	5 of 9 - Sale <sup>25</sup>
	1.040	75.89	07/13/20	86.40	10.51 W	0.00	6 of 9 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
	4.475	326.53	07/13/20	352.58	26.05 W	0.00	7 of 9 - Sale <sup>25</sup>
	0.520	37.96	07/13/20	40.97	3.01 W	0.00	8 of 9 - Sale <sup>25</sup>
	4.480	327.00	07/13/20	345.91	18.91 W	0.00	9 of 9 - Sale <sup>25</sup>
07/13/20	25.000	1,757.12	Various	1,962.41	205.29 W	0.00	Total of 9 transactions
	30 transactions for 07/14/20. Total proceeds and cost reported to the IRS.						
	0.005	0.42	07/14/20	0.50	0.08 W	0.00	1 of 30 - Sale <sup>25</sup>
	0.515	44.64	07/14/20	52.37	7.73 W	0.00	2 of 30 - Sale <sup>25</sup>
	1.480	128.26	07/14/20	146.61	18.35 W	0.00	3 of 30 - Sale <sup>25</sup>
	2.000	173.32	07/14/20	198.13	24.81 W	0.00	4 of 30 - Sale <sup>25</sup>
	1.000	86.66	07/14/20	99.06	12.40 W	0.00	5 of 30 - Sale <sup>25</sup>
	0.520	45.06	07/14/20	52.63	7.57 W	0.00	6 of 30 - Sale <sup>25</sup>
	1.040	90.12	07/14/20	101.84	11.72 W	0.00	7 of 30 - Sale <sup>25</sup>
	3.960	343.17	07/14/20	387.20	44.03 W	0.00	8 of 30 - Sale <sup>25</sup>
	4.480	388.23	07/14/20	452.76	64.53 W	0.00	9 of 30 - Sale <sup>25</sup>
	0.520	45.06	07/14/20	48.68	3.62 W	0.00	10 of 30 - Sale <sup>25</sup>
	4.475	387.80	07/14/20	419.05	31.25 W	0.00	11 of 30 - Sale <sup>25</sup>
	5.005	433.71	07/14/20	469.44	35.73 W	0.00	12 of 30 - Sale <sup>25</sup>
	0.005	0.42	07/14/20	0.51	0.09 W	0.00	13 of 30 - Sale <sup>25</sup>
	0.515	44.64	07/14/20	53.06	8.42 W	0.00	14 of 30 - Sale <sup>25</sup>
	1.480	128.25	07/14/20	148.59	20.34 W	0.00	15 of 30 - Sale <sup>25</sup>
	2.000	173.32	07/14/20	200.81	27.49 W	0.00	16 of 30 - Sale <sup>25</sup>
	4.480	388.23	07/14/20	413.15	24.92 W	0.00	17 of 30 - Sale <sup>25</sup>
	16.520	1,431.60	07/14/20	1,453.76	22.16 W	0.00	18 of 30 - Sale <sup>25</sup>
	1.000	86.40	07/14/20	100.80	14.40 W	0.00	19 of 30 - Sale <sup>25</sup>
	0.005	0.42	07/14/20	0.52	0.10 W	0.00	20 of 30 - Sale <sup>25</sup>
	0.515	44.50	07/14/20	53.98	9.48 W	0.00	21 of 30 - Sale <sup>25</sup>
	1.480	127.87	07/14/20	151.25	23.38 W	0.00	22 of 30 - Sale <sup>25</sup>
	2.000	172.80	07/14/20	204.40	31.60 W	0.00	23 of 30 - Sale <sup>25</sup>
	0.520	44.93	07/14/20	53.56	8.63 W	0.00	24 of 30 - Sale <sup>25</sup>
	1.040	89.85	07/14/20	103.71	13.86 W	0.00	25 of 30 - Sale <sup>25</sup>
	3.960	342.14	07/14/20	394.29	52.15 W	0.00	26 of 30 - Sale <sup>25</sup>
	4.480	387.06	07/14/20	460.79	73.73 W	0.00	27 of 30 - Sale <sup>25</sup>
	0.520	44.93	07/14/20	49.61	4.68 W	0.00	28 of 30 - Sale <sup>25</sup>
	4.475	386.64	07/14/20	427.07	40.43 W	0.00	29 of 30 - Sale <sup>25</sup>

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(continued)

03/15/2021

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MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
07/14/20	5.005	432.41	07/14/20	478.41	46.00 W	0.00	30 of 30 - Sale <sup>25</sup>
	75.000	6,492.86	Various	7,176.54	683.68 W	0.00	Total of 30 transactions
	3 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	4.480	365.93	07/14/20	406.44	40.51 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.520	42.47	07/14/20	44.98	2.51 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	409.53	07/15/20	416.65	7.12 W	0.00	3 of 3 - Sale <sup>25</sup>
07/15/20	10.000	817.93	Various	868.07	50.14 W	0.00	Total of 3 transactions
	2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	4.000	367.77	07/17/20	381.28	13.51 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	91.31	07/17/20	95.32	4.01 W	0.00	2 of 2 - Sale <sup>25</sup>
07/17/20	5.000	459.08	Various	476.60	17.52 W	0.00	Total of 2 transactions
	10 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	0.005	0.40	07/21/20	0.50	0.10 W	0.00	1 of 10 - Sale <sup>25</sup>
	0.515	41.62	07/21/20	51.55	9.93 W	0.00	2 of 10 - Sale <sup>25</sup>
	1.000	80.80	07/21/20	96.07	15.27 W	0.00	3 of 10 - Sale <sup>25</sup>
	1.480	119.58	07/21/20	144.25	24.67 W	0.00	4 of 10 - Sale <sup>25</sup>
	2.000	161.59	07/21/20	194.93	33.34 W	0.00	5 of 10 - Sale <sup>25</sup>
	1.000	80.80	07/21/20	94.84	14.04 W	0.00	6 of 10 - Sale <sup>25</sup>
	6.000	484.78	07/21/20	498.05	13.27 W	0.00	7 of 10 - Sale <sup>25</sup>
	1.000	80.80	07/21/20	93.98	13.18 W	0.00	8 of 10 - Sale <sup>25</sup>
	0.040	3.23	07/21/20	3.89	0.66 W	0.00	9 of 10 - Sale <sup>25</sup>
	1.960	158.37	07/21/20	184.19	25.82 W	0.00	10 of 10 - Sale <sup>25</sup>
07/21/20	15.000	1,211.97	Various	1,362.25	150.28 W	0.00	Total of 10 transactions
	22 transactions for 07/24/20. Total proceeds and cost reported to the IRS.						
	0.005	0.35	07/24/20	0.46	0.11 W	0.00	1 of 22 - Sale <sup>25</sup>
	0.515	37.36	07/24/20	47.42	10.06 W	0.00	2 of 22 - Sale <sup>25</sup>
	1.000	72.53	07/24/20	88.05	15.52 W	0.00	3 of 22 - Sale <sup>25</sup>
	1.480	107.34	07/24/20	132.38	25.04 W	0.00	4 of 22 - Sale <sup>25</sup>
	2.000	145.06	07/24/20	178.89	33.83 W	0.00	5 of 22 - Sale <sup>25</sup>
	0.040	2.90	07/24/20	3.57	0.67 W	0.00	6 of 22 - Sale <sup>25</sup>
	0.480	34.81	07/24/20	42.90	8.09 W	0.00	7 of 22 - Sale <sup>25</sup>
	1.000	72.53	07/24/20	86.82	14.29 W	0.00	8 of 22 - Sale <sup>25</sup>
	1.000	72.53	07/24/20	85.96	13.43 W	0.00	9 of 22 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
	1.040	75.43	07/24/20	89.55	14.12 W	0.00	10 of 22 - Sale <sup>25</sup>
	1.960	142.16	07/24/20	168.46	26.30 W	0.00	11 of 22 - Sale <sup>25</sup>
	4.480	324.93	07/24/20	399.77	74.84 W	0.00	12 of 22 - Sale <sup>25</sup>
	0.520	37.71	07/24/20	42.52	4.81 W	0.00	13 of 22 - Sale <sup>25</sup>
	4.475	324.57	07/24/20	366.12	41.55 W	0.00	14 of 22 - Sale <sup>25</sup>
	5.005	363.00	07/24/20	410.24	47.24 W	0.00	15 of 22 - Sale <sup>25</sup>
	4.480	324.93	07/24/20	366.55	41.62 W	0.00	16 of 22 - Sale <sup>25</sup>
	0.520	37.71	07/24/20	40.35	2.64 W	0.00	17 of 22 - Sale <sup>25</sup>
	1.000	72.53	07/24/20	76.79	4.26 W	0.00	18 of 22 - Sale <sup>25</sup>
	4.000	290.11	07/24/20	304.62	14.51 W	0.00	19 of 22 - Sale <sup>25</sup>
	5.000	362.64	07/24/20	371.01	8.37 W	0.00	20 of 22 - Sale <sup>25</sup>
	6.000	435.17	07/24/20	449.93	14.76 W	0.00	21 of 22 - Sale <sup>25</sup>
	54.000	3,916.53	07/24/20	3,929.96	13.43 W	0.00	22 of 22 - Sale <sup>25</sup>
07/24/20	100.000	7,252.83	Various	7,682.32	429.49 W	0.00	Total of 22 transactions
	15 transactions for 07/27/20. Total proceeds and cost reported to the IRS.						
	0.005	0.39	07/27/20	0.50	0.11 W	0.00	1 of 15 - Sale <sup>25</sup>
	0.515	41.05	07/27/20	50.91	9.86 W	0.00	2 of 15 - Sale <sup>25</sup>
	1.000	79.70	07/27/20	94.83	15.13 W	0.00	3 of 15 - Sale <sup>25</sup>
	1.480	117.95	07/27/20	142.41	24.46 W	0.00	4 of 15 - Sale <sup>25</sup>
	2.000	159.40	07/27/20	192.44	33.04 W	0.00	5 of 15 - Sale <sup>25</sup>
	0.040	3.19	07/27/20	3.84	0.65 W	0.00	6 of 15 - Sale <sup>25</sup>
	0.480	38.26	07/27/20	46.16	7.90 W	0.00	7 of 15 - Sale <sup>25</sup>
	1.000	79.70	07/27/20	93.59	13.89 W	0.00	8 of 15 - Sale <sup>25</sup>
	1.000	79.70	07/27/20	92.73	13.03 W	0.00	9 of 15 - Sale <sup>25</sup>
	1.040	82.89	07/27/20	96.60	13.71 W	0.00	10 of 15 - Sale <sup>25</sup>
	1.960	156.21	07/27/20	181.74	25.53 W	0.00	11 of 15 - Sale <sup>25</sup>
	4.480	357.04	07/27/20	430.13	73.09 W	0.00	12 of 15 - Sale <sup>25</sup>
	0.520	41.44	07/27/20	46.05	4.61 W	0.00	13 of 15 - Sale <sup>25</sup>
	4.475	356.66	07/27/20	396.45	39.79 W	0.00	14 of 15 - Sale <sup>25</sup>
	5.005	398.87	07/27/20	444.15	45.28 W	0.00	15 of 15 - Sale <sup>25</sup>
07/27/20	25.000	1,992.45	Various	2,312.53	320.08 W	0.00	Total of 15 transactions
	10 transactions for 07/29/20. Total proceeds and cost reported to the IRS.						
	4.480	345.27	07/27/20	398.23	52.96 W	0.00	1 of 10 - Sale <sup>25</sup>
	0.520	40.08	07/27/20	44.03	3.95 W	0.00	2 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
	1.000	77.07	07/27/20	83.86	6.79 W	0.00	3 of 10 - Sale <sup>25</sup>
	4.000	308.27	07/27/20	332.91	24.64 W	0.00	4 of 10 - Sale <sup>25</sup>
	5.000	385.34	07/27/20	406.37	21.03 W	0.00	5 of 10 - Sale <sup>25</sup>
	5.000	385.35	07/27/20	410.30	24.95 W	0.00	6 of 10 - Sale <sup>25</sup>
	4.000	309.91	07/27/20	319.39	9.48 W	0.00	7 of 10 - Sale <sup>25</sup>
	1.000	77.48	07/27/20	82.06	4.58 W	0.00	8 of 10 - Sale <sup>25</sup>
	10.000	774.78	07/28/20	828.35	53.57 W	0.00	9 of 10 - Sale <sup>25</sup>
	10.000	774.78	07/29/20	784.76	9.98 W	0.00	10 of 10 - Sale <sup>25</sup>
07/29/20	45.000	3,478.33	Various	3,690.26	211.93 W	0.00	Total of 10 transactions
	24 transactions for 08/06/20. Total proceeds and cost reported to the IRS.						
	0.005	0.36	08/05/20	0.47	0.11 W	0.00	1 of 24 - Sale <sup>25</sup>
	0.515	38.29	08/05/20	48.08	9.79 W	0.00	2 of 24 - Sale <sup>25</sup>
	1.000	74.33	08/05/20	89.32	14.99 W	0.00	3 of 24 - Sale <sup>25</sup>
	1.480	110.01	08/05/20	134.26	24.25 W	0.00	4 of 24 - Sale <sup>25</sup>
	2.000	148.67	08/05/20	181.42	32.75 W	0.00	5 of 24 - Sale <sup>25</sup>
	0.040	2.97	08/05/20	3.62	0.65 W	0.00	6 of 24 - Sale <sup>25</sup>
	0.480	35.68	08/05/20	43.51	7.83 W	0.00	7 of 24 - Sale <sup>25</sup>
	1.000	74.33	08/05/20	88.08	13.75 W	0.00	8 of 24 - Sale <sup>25</sup>
	1.000	74.33	08/05/20	87.22	12.89 W	0.00	9 of 24 - Sale <sup>25</sup>
	1.040	77.31	08/05/20	90.87	13.56 W	0.00	10 of 24 - Sale <sup>25</sup>
	1.960	145.69	08/05/20	170.94	25.25 W	0.00	11 of 24 - Sale <sup>25</sup>
	4.480	333.02	08/05/20	405.46	72.44 W	0.00	12 of 24 - Sale <sup>25</sup>
	5.000	371.67	08/05/20	416.19	44.52 W	0.00	13 of 24 - Sale <sup>25</sup>
	30.000	2,230.01	08/05/20	2,233.16	3.15 W	0.00	14 of 24 - Sale <sup>25</sup>
	4.480	333.02	08/05/20	390.52	57.50 W	0.00	15 of 24 - Sale <sup>25</sup>
	0.005	0.36	08/05/20	0.41	0.05 W	0.00	16 of 24 - Sale <sup>25</sup>
	0.520	38.65	08/05/20	43.79	5.14 W	0.00	17 of 24 - Sale <sup>25</sup>
	0.520	38.65	08/05/20	43.13	4.48 W	0.00	18 of 24 - Sale <sup>25</sup>
	4.475	332.65	08/05/20	376.98	44.33 W	0.00	19 of 24 - Sale <sup>25</sup>
	1.000	74.33	08/05/20	81.51	7.18 W	0.00	20 of 24 - Sale <sup>25</sup>
	5.000	371.67	08/05/20	397.76	26.09 W	0.00	21 of 24 - Sale <sup>25</sup>
	1.000	74.33	08/05/20	82.16	7.83 W	0.00	22 of 24 - Sale <sup>25</sup>
	2.000	148.67	08/05/20	160.71	12.04 W	0.00	23 of 24 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
	3.000	223.01	08/05/20	244.58	21.57 W	0.00	24 of 24 - Sale <sup>25</sup>
08/06/20	72.000	5,352.01	Various	5,814.15	462.14 W	0.00	Total of 24 transactions
08/18/20	7.000	472.35	08/18/20	471.09	...	1.26	Sale <sup>25</sup>
	5 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	1.000	67.48	08/18/20	70.94	3.46 W	0.00	1 of 5 - Sale <sup>25</sup>
	3.000	202.44	08/18/20	214.03	11.59 W	0.00	2 of 5 - Sale <sup>25</sup>
	4.000	269.91	08/18/20	274.90	4.99 W	0.00	3 of 5 - Sale <sup>25</sup>
	7.000	472.35	08/18/20	501.98	29.63 W	0.00	4 of 5 - Sale <sup>25</sup>
	3.000	202.43	08/18/20	214.97	12.54 W	0.00	5 of 5 - Sale <sup>25</sup>
08/18/20	18.000	1,214.61	Various	1,276.82	62.21 W	0.00	Total of 5 transactions
	35 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	4.480	308.08	08/19/20	377.38	...	-69.30	1 of 35 - Sale <sup>25</sup>
	4.480	308.08	08/19/20	366.81	...	-58.73	2 of 35 - Sale <sup>25</sup>
	4.475	307.74	08/19/20	353.30	...	-45.56	3 of 35 - Sale <sup>25</sup>
	77.000	5,274.37	08/19/20	5,316.97	...	-42.60	4 of 35 - Sale <sup>25</sup>
	5.000	343.84	08/19/20	384.86	...	-41.02	5 of 35 - Sale <sup>25</sup>
	7.000	479.49	08/19/20	512.92	...	-33.43	6 of 35 - Sale <sup>25</sup>
	2.000	137.54	08/19/20	168.88	...	-31.34	7 of 35 - Sale <sup>25</sup>
	5.000	343.84	08/19/20	371.30	...	-27.46	8 of 35 - Sale <sup>25</sup>
	50.000	3,424.92	08/19/20	3,452.08	...	-27.16	9 of 35 - Sale <sup>25</sup>
	1.960	134.79	08/19/20	158.66	...	-23.87	10 of 35 - Sale <sup>25</sup>
	1.480	101.78	08/19/20	124.99	...	-23.21	11 of 35 - Sale <sup>25</sup>
	3.000	206.30	08/19/20	228.69	...	-22.39	12 of 35 - Sale <sup>25</sup>
	28.000	1,917.95	08/19/20	1,936.09	...	-18.14	13 of 35 - Sale <sup>25</sup>
	1.000	68.77	08/19/20	83.06	...	-14.29	14 of 35 - Sale <sup>25</sup>
	3.000	205.50	08/19/20	219.66	...	-14.16	15 of 35 - Sale <sup>25</sup>
	1.000	68.77	08/19/20	81.82	...	-13.05	16 of 35 - Sale <sup>25</sup>
	1.040	71.52	08/19/20	84.34	...	-12.82	17 of 35 - Sale <sup>25</sup>
	2.000	137.54	08/19/20	150.12	...	-12.58	18 of 35 - Sale <sup>25</sup>
	23.000	1,575.46	08/19/20	1,587.95	...	-12.49	19 of 35 - Sale <sup>25</sup>
	3.000	206.30	08/19/20	218.71	...	-12.41	20 of 35 - Sale <sup>25</sup>
	1.000	68.77	08/19/20	80.95	...	-12.18	21 of 35 - Sale <sup>25</sup>
	0.515	35.42	08/19/20	44.85	...	-9.43	22 of 35 - Sale <sup>25</sup>
	1.000	68.77	08/19/20	76.87	...	-8.10	23 of 35 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
	0.480	33.01	08/19/20	40.50	...	-7.49	24 of 35 - Sale <sup>25</sup>
	1.000	68.77	08/19/20	76.22	...	-7.45	25 of 35 - Sale <sup>25</sup>
	4.000	275.10	08/19/20	281.16	...	-6.06	26 of 35 - Sale <sup>25</sup>
	0.520	35.76	08/19/20	41.04	...	-5.28	27 of 35 - Sale <sup>25</sup>
	9.000	616.48	08/19/20	621.37	...	-4.89	28 of 35 - Sale <sup>25</sup>
	0.520	35.76	08/19/20	40.38	...	-4.62	29 of 35 - Sale <sup>25</sup>
	1.000	68.78	08/19/20	72.50	...	-3.72	30 of 35 - Sale <sup>25</sup>
	3.000	205.50	08/19/20	207.19	...	-1.69	31 of 35 - Sale <sup>25</sup>
	0.040	2.75	08/19/20	3.37	...	-0.62	32 of 35 - Sale <sup>25</sup>
	0.005	0.34	08/19/20	0.45	...	-0.11	33 of 35 - Sale <sup>25</sup>
	0.005	0.34	08/19/20	0.39	...	-0.05	34 of 35 - Sale <sup>25</sup>
	2.000	135.84	08/19/20	134.98	...	0.86	35 of 35 - Sale <sup>25</sup>
08/19/20	252.000	17,273.97	Various	17,900.81	...	-626.84	Total of 35 transactions
	32 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	425.000	28,865.32	08/19/20	29,057.21	61.86 W	-130.03	1 of 32 - Sale <sup>25</sup>
	0.005	0.33	08/19/20	0.44	0.11 W	0.00	2 of 32 - Sale <sup>25</sup>
	0.515	34.99	08/19/20	44.50	9.51 W	0.00	3 of 32 - Sale <sup>25</sup>
	1.000	67.92	08/19/20	82.38	14.46 W	0.00	4 of 32 - Sale <sup>25</sup>
	1.480	100.52	08/19/20	123.98	23.46 W	0.00	5 of 32 - Sale <sup>25</sup>
	2.000	135.84	08/19/20	167.52	31.68 W	0.00	6 of 32 - Sale <sup>25</sup>
	0.040	2.72	08/19/20	3.35	0.63 W	0.00	7 of 32 - Sale <sup>25</sup>
	0.480	32.60	08/19/20	40.17	7.57 W	0.00	8 of 32 - Sale <sup>25</sup>
	1.000	67.92	08/19/20	81.14	13.22 W	0.00	9 of 32 - Sale <sup>25</sup>
	1.000	67.92	08/19/20	80.27	12.35 W	0.00	10 of 32 - Sale <sup>25</sup>
	1.040	70.64	08/19/20	83.64	13.00 W	0.00	11 of 32 - Sale <sup>25</sup>
	1.960	133.12	08/19/20	157.32	24.20 W	0.00	12 of 32 - Sale <sup>25</sup>
	4.480	304.27	08/19/20	374.32	70.05 W	0.00	13 of 32 - Sale <sup>25</sup>
	5.000	339.59	08/19/20	381.45	41.86 W	0.00	14 of 32 - Sale <sup>25</sup>
	3.000	203.76	08/19/20	205.15	1.39 W	0.00	15 of 32 - Sale <sup>25</sup>
	4.480	304.27	08/19/20	363.75	59.48 W	0.00	16 of 32 - Sale <sup>25</sup>
	0.005	0.33	08/19/20	0.38	0.05 W	0.00	17 of 32 - Sale <sup>25</sup>
	0.520	35.32	08/19/20	40.69	5.37 W	0.00	18 of 32 - Sale <sup>25</sup>
	0.520	35.32	08/19/20	40.03	4.71 W	0.00	19 of 32 - Sale <sup>25</sup>
	4.475	303.94	08/19/20	350.25	46.31 W	0.00	20 of 32 - Sale <sup>25</sup>

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(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
	1.000	67.92	08/19/20	75.54	7.62 W	0.00	21 of 32 - Sale <sup>25</sup>
	1.000	67.92	08/19/20	76.19	8.27 W	0.00	22 of 32 - Sale <sup>25</sup>
	2.000	135.84	08/19/20	148.76	12.92 W	0.00	23 of 32 - Sale <sup>25</sup>
	3.000	203.76	08/19/20	226.65	22.89 W	0.00	24 of 32 - Sale <sup>25</sup>
	5.000	339.59	08/19/20	367.89	28.30 W	0.00	25 of 32 - Sale <sup>25</sup>
	1.000	67.92	08/19/20	71.82	3.90 W	0.00	26 of 32 - Sale <sup>25</sup>
	3.000	203.76	08/19/20	216.67	12.91 W	0.00	27 of 32 - Sale <sup>25</sup>
	4.000	271.67	08/19/20	278.43	6.76 W	0.00	28 of 32 - Sale <sup>25</sup>
	3.000	203.76	08/19/20	217.62	13.86 W	0.00	29 of 32 - Sale <sup>25</sup>
	7.000	475.43	08/19/20	508.15	32.72 W	0.00	30 of 32 - Sale <sup>25</sup>
	28.000	1,901.72	08/19/20	1,917.02	15.30 W	0.00	31 of 32 - Sale <sup>25</sup>
	82.000	5,569.31	08/19/20	5,605.51	36.20 W	0.00	32 of 32 - Sale <sup>25</sup>
08/19/20	598.000	40,615.24	Various	41,388.19	642.92 W	-130.03	Total of 32 transactions
08/24/20	50.000	3,176.43	08/24/20	3,200.05	...	-23.62	Sale <sup>25</sup>
08/28/20	10.000	673.08	08/28/20	678.83	...	-5.75	Sale <sup>25</sup>
	4 transactions for 12/02/20. Total proceeds and cost reported to the IRS.						
	20.000	2,866.20	12/01/20	2,960.00	93.80 W	0.00	1 of 4 - Sale <sup>25</sup>
	30.000	4,299.31	12/01/20	4,440.00	140.69 W	0.00	2 of 4 - Sale <sup>25</sup>
	20.000	2,866.20	12/01/20	3,057.80	191.60 W	0.00	3 of 4 - Sale <sup>25</sup>
	30.000	4,299.31	12/01/20	4,446.00	146.69 W	0.00	4 of 4 - Sale <sup>25</sup>
12/02/20	100.000	14,331.02	Various	14,903.80	572.78 W	0.00	Total of 4 transactions
	4 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	20.000	3,123.93	12/03/20	3,240.19	116.26 W	0.00	1 of 4 - Sale <sup>25</sup>
	5.000	780.98	12/03/20	810.25	29.27 W	0.00	2 of 4 - Sale <sup>25</sup>
	20.000	3,123.93	12/03/20	3,337.60	213.67 W	0.00	3 of 4 - Sale <sup>25</sup>
	5.000	780.98	12/03/20	809.95	28.97 W	0.00	4 of 4 - Sale <sup>25</sup>
12/03/20	50.000	7,809.82	Various	8,197.99	388.17 W	0.00	Total of 4 transactions
	2 transactions for 12/15/20. Total proceeds and cost reported to the IRS.						
	10.000	1,475.88	12/15/20	1,532.30	54.46 W	-1.96	1 of 2 - Sale <sup>25</sup>
	2.000	295.18	12/15/20	305.18	10.00 W	0.00	2 of 2 - Sale <sup>25</sup>
12/15/20	12.000	1,771.06	Various	1,837.48	64.46 W	-1.96	Total of 2 transactions
12/17/20	10.000	1,436.30	12/16/20	1,424.89	...	11.41	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
<i>6 transactions for 12/18/20. Total proceeds and cost reported to the IRS.</i>							
	8.652	1,199.99	12/18/20	1,242.86	...	-42.87	1 of 6 - Sale <sup>25</sup>
	5.348	736.31	12/18/20	765.42	...	-29.11	2 of 6 - Sale <sup>25</sup>
	3.000	416.09	12/18/20	431.38	...	-15.29	3 of 6 - Sale <sup>25</sup>
	1.348	186.98	12/18/20	192.42	...	-5.44	4 of 6 - Sale <sup>25</sup>
	0.652	89.75	12/18/20	93.30	...	-3.55	5 of 6 - Sale <sup>25</sup>
	0.652	89.75	12/18/20	93.19	...	-3.44	6 of 6 - Sale <sup>25</sup>
12/18/20	19.652	2,718.87	Various	2,818.57	...	-99.70	Total of 6 transactions
<i>7 transactions for 12/31/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	2,057.15	12/31/20	2,275.27	...	-218.12	1 of 7 - Sale <sup>25</sup>
	8.652	889.91	12/31/20	940.65	...	-50.74	2 of 7 - Sale <sup>25</sup>
	8.348	858.67	12/31/20	909.06	...	-50.39	3 of 7 - Sale <sup>25</sup>
	5.000	514.29	12/31/20	544.67	...	-30.38	4 of 7 - Sale <sup>25</sup>
	5.000	514.29	12/31/20	544.37	...	-30.08	5 of 7 - Sale <sup>25</sup>
	2.000	205.71	12/31/20	216.16	...	-10.45	6 of 7 - Sale <sup>25</sup>
	1.000	102.96	12/31/20	108.72	...	-5.76	7 of 7 - Sale <sup>25</sup>
12/31/20	50.000	5,142.98	Various	5,538.90	...	-395.92	Total of 7 transactions
	<b>Security total:</b>	<b>145,856.27</b>		<b>151,692.85</b>	<b>5,160.27 W</b>	<b>-676.31</b>	
MOGU INC. / CUSIP: 608012100 / Symbol:							
05/29/20	200.000	247.98	05/28/20	313.96	...	-65.98	Sale <sup>25</sup>
<i>3 transactions for 07/07/20. Total proceeds and cost reported to the IRS.</i>							
	145.000	893.15	07/07/20	930.90	...	-37.75	1 of 3 - Sale <sup>25</sup>
	14.000	86.24	07/07/20	88.90	...	-2.66	2 of 3 - Sale <sup>25</sup>
	1.000	6.16	07/07/20	6.36	...	-0.20	3 of 3 - Sale <sup>25</sup>
07/07/20	160.000	985.55	Various	1,026.16	...	-40.61	Total of 3 transactions
	<b>Security total:</b>	<b>1,233.53</b>		<b>1,340.12</b>	...	<b>-106.59</b>	
MOHAWK GROUP HOLDINGS, INC. CO MMON STOCK / CUSIP: 608189106 / Symbol:							
05/04/20	85.000	351.74	04/29/20	263.50	...	88.24	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
<i>5 transactions for 02/24/20. Total proceeds and cost reported to the IRS.</i>							
	45.000	170.09	11/22/19	91.80	...	78.29	1 of 5 - Sale <sup>25</sup>
	55.000	207.89	11/27/19	135.30	...	72.59	2 of 5 - Sale <sup>25</sup>
	45.000	170.09	12/06/19	100.13	...	69.96	3 of 5 - Sale <sup>25</sup>
	35.000	132.29	01/10/20	122.68	...	9.61	4 of 5 - Sale <sup>25</sup>
	100.000	377.98	01/16/20	413.99	...	-36.01	5 of 5 - Sale <sup>25</sup>
02/24/20	280.000	1,058.34	Various	863.90	...	194.44	Total of 5 transactions
04/23/20	250.000	777.98	04/22/20	779.48	0.24 W	-1.26	Sale <sup>25</sup>
05/07/20	10.000	35.73	05/06/20	35.93	0.20 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	85.07	05/14/20	86.40	1.33 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	17.01	05/14/20	17.26	0.25 W	0.00	2 of 2 - Sale <sup>25</sup>
05/15/20	30.000	102.08	Various	103.66	1.58 W	0.00	Total of 2 transactions
<i>4 transactions for 05/28/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	19.56	05/26/20	18.55	...	1.01	1 of 4 - Sale <sup>25</sup>
	10.000	39.12	05/26/20	36.80	...	2.32	2 of 4 - Sale <sup>25</sup>
	25.000	97.81	05/26/20	92.83	...	4.98	3 of 4 - Sale <sup>25</sup>
	60.000	234.74	05/26/20	219.59	...	15.15	4 of 4 - Sale <sup>25</sup>
05/28/20	100.000	391.23	Various	367.77	...	23.46	Total of 4 transactions
05/28/20	50.000	195.62	05/27/20	204.00	8.38 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	193.63	05/27/20	216.38	22.75 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	193.62	05/27/20	208.75	15.13 W	0.00	2 of 2 - Sale <sup>25</sup>
05/29/20	100.000	387.25	Various	425.13	37.88 W	0.00	Total of 2 transactions
<i>11 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	56.70	06/01/20	47.55	...	9.15	1 of 11 - Sale <sup>25</sup>
	20.000	113.40	06/01/20	92.00	...	21.40	2 of 11 - Sale <sup>25</sup>
	20.000	113.40	06/01/20	91.95	...	21.45	3 of 11 - Sale <sup>25</sup>
	40.000	226.79	06/01/20	190.00	...	36.79	4 of 11 - Sale <sup>25</sup>
	10.000	56.70	06/02/20	49.91	...	6.79	5 of 11 - Sale <sup>25</sup>
	50.000	283.49	06/02/20	235.00	...	48.49	6 of 11 - Sale <sup>25</sup>
	50.000	283.49	06/02/20	234.37	...	49.12	7 of 11 - Sale <sup>25</sup>
	1.000	5.67	06/03/20	5.41	...	0.26	8 of 11 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITORY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	5.000	28.35	06/03/20	27.15	...	1.20	9 of 11 - Sale <sup>25</sup>
	100.000	566.98	06/03/20	564.00	...	2.98	10 of 11 - Sale <sup>25</sup>
	44.000	249.47	06/03/20	238.04	...	11.43	11 of 11 - Sale <sup>25</sup>
06/05/20	350.000	1,984.44	Various	1,775.38	...	209.06	Total of 11 transactions
06/05/20	150.000	850.48	06/04/20	926.99	76.51 W	0.00	Sale <sup>25</sup>
06/19/20	100.000	733.23	06/18/20	773.76	40.53 W	0.00	Sale <sup>25</sup>
	2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	100.000	746.98	06/30/20	801.28	54.30 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	373.48	06/30/20	405.88	32.40 W	0.00	2 of 2 - Sale <sup>25</sup>
06/30/20	150.000	1,120.46	Various	1,207.16	86.70 W	0.00	Total of 2 transactions
	4 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	30.000	348.37	07/06/20	379.59	31.22 W	0.00	1 of 4 - Sale <sup>25</sup>
	20.000	232.24	07/06/20	253.06	20.82 W	0.00	2 of 4 - Sale <sup>25</sup>
	32.000	366.40	07/06/20	403.94	37.54 W	0.00	3 of 4 - Sale <sup>25</sup>
	18.000	206.10	07/06/20	227.21	21.11 W	0.00	4 of 4 - Sale <sup>25</sup>
07/06/20	100.000	1,153.11	Various	1,263.80	110.69 W	0.00	Total of 4 transactions
	7 transactions for 07/07/20. Total proceeds and cost reported to the IRS.						
	15.000	194.99	07/07/20	181.31	...	13.68	1 of 7 - Sale <sup>25</sup>
	15.000	194.99	07/07/20	180.30	...	14.69	2 of 7 - Sale <sup>25</sup>
	25.000	324.99	07/07/20	307.00	...	17.99	3 of 7 - Sale <sup>25</sup>
	25.000	324.99	07/07/20	305.69	...	19.30	4 of 7 - Sale <sup>25</sup>
	25.000	324.99	07/07/20	305.19	...	19.80	5 of 7 - Sale <sup>25</sup>
	50.000	649.97	07/07/20	612.50	...	37.47	6 of 7 - Sale <sup>25</sup>
	45.000	584.98	07/07/20	543.38	...	41.60	7 of 7 - Sale <sup>25</sup>
07/07/20	200.000	2,599.90	Various	2,435.37	...	164.53	Total of 7 transactions
	9 transactions for 07/07/20. Total proceeds and cost reported to the IRS.						
	20.000	222.84	07/06/20	254.21	31.37 W	0.00	1 of 9 - Sale <sup>25</sup>
	50.000	557.11	07/06/20	615.90	58.79 W	0.00	2 of 9 - Sale <sup>25</sup>
	8.000	104.00	07/07/20	105.14	1.14 W	0.00	3 of 9 - Sale <sup>25</sup>
	10.000	130.00	07/07/20	130.11	0.11 W	0.00	4 of 9 - Sale <sup>25</sup>
	20.000	259.99	07/07/20	260.22	0.23 W	0.00	5 of 9 - Sale <sup>25</sup>
	32.000	415.99	07/07/20	420.58	4.59 W	0.00	6 of 9 - Sale <sup>25</sup>
	20.000	259.99	07/07/20	270.77	10.78 W	0.00	7 of 9 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	10.000	130.00	07/07/20	131.43	1.43 W	0.00	8 of 9 - Sale <sup>25</sup>
	50.000	649.98	07/07/20	658.17	8.19 W	0.00	9 of 9 - Sale <sup>25</sup>
07/07/20	220.000	2,729.90	Various	2,846.53	116.63 W	0.00	Total of 9 transactions
	14 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	5.000	70.86	07/07/20	66.71	...	4.15	1 of 14 - Sale <sup>25</sup>
	8.000	113.38	07/07/20	107.78	...	5.60	2 of 14 - Sale <sup>25</sup>
	20.000	283.44	07/07/20	277.38	...	6.06	3 of 14 - Sale <sup>25</sup>
	10.000	141.72	07/07/20	134.73	...	6.99	4 of 14 - Sale <sup>25</sup>
	10.000	141.72	07/07/20	129.21	...	12.51	5 of 14 - Sale <sup>25</sup>
	15.000	212.58	07/07/20	193.82	...	18.76	6 of 14 - Sale <sup>25</sup>
	32.000	453.51	07/07/20	431.15	...	22.36	7 of 14 - Sale <sup>25</sup>
	50.000	708.61	07/07/20	674.69	...	33.92	8 of 14 - Sale <sup>25</sup>
	75.000	1,062.91	07/07/20	999.75	...	63.16	9 of 14 - Sale <sup>25</sup>
	10.000	129.20	07/08/20	128.26	...	0.94	10 of 14 - Sale <sup>25</sup>
	50.000	724.98	07/08/20	724.00	...	0.98	11 of 14 - Sale <sup>25</sup>
	15.000	193.79	07/08/20	192.47	...	1.32	12 of 14 - Sale <sup>25</sup>
	25.000	362.49	07/08/20	361.13	...	1.36	13 of 14 - Sale <sup>25</sup>
	50.000	724.98	07/08/20	722.50	...	2.48	14 of 14 - Sale <sup>25</sup>
07/08/20	375.000	5,324.17	Various	5,143.58	...	180.59	Total of 14 transactions
	36 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	25.000	354.31	07/08/20	376.75	22.44 W	0.00	1 of 36 - Sale <sup>25</sup>
	25.000	354.30	07/08/20	362.00	7.70 W	0.00	2 of 36 - Sale <sup>25</sup>
	25.000	362.49	07/08/20	384.44	21.95 W	0.00	3 of 36 - Sale <sup>25</sup>
	25.000	362.49	07/08/20	373.33	10.84 W	0.00	4 of 36 - Sale <sup>25</sup>
	10.000	144.99	07/08/20	146.25	1.26 W	0.00	5 of 36 - Sale <sup>25</sup>
	15.000	217.49	07/08/20	219.45	1.96 W	0.00	6 of 36 - Sale <sup>25</sup>
	5.000	72.50	07/08/20	74.65	2.15 W	0.00	7 of 36 - Sale <sup>25</sup>
	45.000	652.48	07/08/20	672.30	19.82 W	0.00	8 of 36 - Sale <sup>25</sup>
	50.000	724.97	07/08/20	746.75	21.78 W	0.00	9 of 36 - Sale <sup>25</sup>
	25.000	335.74	07/08/20	395.33	59.59 W	0.00	10 of 36 - Sale <sup>25</sup>
	15.000	201.45	07/08/20	230.75	29.30 W	0.00	11 of 36 - Sale <sup>25</sup>
	5.000	67.15	07/08/20	69.95	2.80 W	0.00	12 of 36 - Sale <sup>25</sup>
	10.000	134.30	07/08/20	139.94	5.64 W	0.00	13 of 36 - Sale <sup>25</sup>
	10.000	134.30	07/08/20	136.86	2.56 W	0.00	14 of 36 - Sale <sup>25</sup>

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Account 527125207

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(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	15.000	201.44	07/08/20	205.36	3.92 W	0.00	15 of 36 - Sale <sup>25</sup>
	45.000	604.33	07/08/20	630.01	25.68 W	0.00	16 of 36 - Sale <sup>25</sup>
	50.000	671.49	07/08/20	699.78	28.29 W	0.00	17 of 36 - Sale <sup>25</sup>
	25.000	335.74	07/08/20	339.00	3.26 W	0.00	18 of 36 - Sale <sup>25</sup>
	15.000	200.47	07/08/20	232.69	32.22 W	0.00	19 of 36 - Sale <sup>25</sup>
	25.000	334.12	07/08/20	398.59	64.47 W	0.00	20 of 36 - Sale <sup>25</sup>
	10.000	133.64	07/08/20	135.60	1.96 W	0.00	21 of 36 - Sale <sup>25</sup>
	5.000	64.60	07/08/20	65.65	1.05 W	0.00	22 of 36 - Sale <sup>25</sup>
	10.000	129.20	07/08/20	131.34	2.14 W	0.00	23 of 36 - Sale <sup>25</sup>
	15.000	193.79	07/08/20	197.04	3.25 W	0.00	24 of 36 - Sale <sup>25</sup>
	45.000	581.38	07/08/20	591.32	9.94 W	0.00	25 of 36 - Sale <sup>25</sup>
	15.000	195.15	07/08/20	199.36	4.21 W	0.00	26 of 36 - Sale <sup>25</sup>
	35.000	455.34	07/08/20	480.40	25.06 W	0.00	27 of 36 - Sale <sup>25</sup>
	1.000	13.07	07/08/20	13.20	0.13 W	0.00	28 of 36 - Sale <sup>25</sup>
	9.000	117.54	07/08/20	118.80	1.26 W	0.00	29 of 36 - Sale <sup>25</sup>
	15.000	195.89	07/08/20	228.27	32.38 W	0.00	30 of 36 - Sale <sup>25</sup>
	25.000	326.49	07/08/20	391.21	64.72 W	0.00	31 of 36 - Sale <sup>25</sup>
	1.000	13.06	07/08/20	13.32	0.26 W	0.00	32 of 36 - Sale <sup>25</sup>
	5.000	65.30	07/08/20	66.55	1.25 W	0.00	33 of 36 - Sale <sup>25</sup>
	10.000	130.60	07/08/20	132.96	2.36 W	0.00	34 of 36 - Sale <sup>25</sup>
	10.000	130.60	07/08/20	133.14	2.54 W	0.00	35 of 36 - Sale <sup>25</sup>
	24.000	313.42	07/08/20	319.70	6.28 W	0.00	36 of 36 - Sale <sup>25</sup>
07/08/20	700.000	9,525.62	Various	10,052.04	526.42 W	0.00	Total of 36 transactions
	2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.						
	5.000	67.50	07/08/20	66.23	...	1.27	1 of 2 - Sale <sup>25</sup>
	20.000	269.99	07/08/20	265.02	...	4.97	2 of 2 - Sale <sup>25</sup>
07/09/20	25.000	337.49	Various	331.25	...	6.24	Total of 2 transactions
	95 transactions for 07/09/20. Total proceeds and cost reported to the IRS.						
	5.000	67.50	07/08/20	68.15	0.65 W	0.00	1 of 95 - Sale <sup>25</sup>
	10.000	135.00	07/08/20	135.67	0.67 W	0.00	2 of 95 - Sale <sup>25</sup>
	35.000	472.48	07/08/20	492.31	19.83 W	0.00	3 of 95 - Sale <sup>25</sup>
	1.000	13.50	07/09/20	13.76	0.26 W	0.00	4 of 95 - Sale <sup>25</sup>
	5.000	67.50	07/09/20	78.94	11.44 W	0.00	5 of 95 - Sale <sup>25</sup>
	9.000	121.50	07/09/20	123.93	2.43 W	0.00	6 of 95 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	10.000	134.99	07/09/20	139.11	4.12 W	0.00	7 of 95 - Sale <sup>25</sup>
	25.000	337.49	07/09/20	405.47	67.98 W	0.00	8 of 95 - Sale <sup>25</sup>
	1.000	13.50	07/09/20	13.89	0.39 W	0.00	9 of 95 - Sale <sup>25</sup>
	5.000	67.50	07/09/20	69.40	1.90 W	0.00	10 of 95 - Sale <sup>25</sup>
	10.000	134.99	07/09/20	157.89	22.90 W	0.00	11 of 95 - Sale <sup>25</sup>
	10.000	134.99	07/09/20	138.66	3.67 W	0.00	12 of 95 - Sale <sup>25</sup>
	10.000	135.00	07/09/20	138.84	3.84 W	0.00	13 of 95 - Sale <sup>25</sup>
	8.000	107.99	07/09/20	111.13	3.14 W	0.00	14 of 95 - Sale <sup>25</sup>
	5.000	67.50	07/09/20	70.98	3.48 W	0.00	15 of 95 - Sale <sup>25</sup>
	10.000	134.99	07/09/20	136.97	1.98 W	0.00	16 of 95 - Sale <sup>25</sup>
	16.000	215.99	07/09/20	222.27	6.28 W	0.00	17 of 95 - Sale <sup>25</sup>
	24.000	323.99	07/09/20	340.72	16.73 W	0.00	18 of 95 - Sale <sup>25</sup>
	1.000	13.50	07/09/20	14.20	0.70 W	0.00	19 of 95 - Sale <sup>25</sup>
	5.000	67.36	07/09/20	70.82	3.46 W	0.00	20 of 95 - Sale <sup>25</sup>
	5.000	67.36	07/09/20	68.64	1.28 W	0.00	21 of 95 - Sale <sup>25</sup>
	1.000	13.47	07/09/20	13.86	0.39 W	0.00	22 of 95 - Sale <sup>25</sup>
	9.000	121.25	07/09/20	124.81	3.56 W	0.00	23 of 95 - Sale <sup>25</sup>
	10.000	134.72	07/09/20	140.10	5.38 W	0.00	24 of 95 - Sale <sup>25</sup>
	20.000	269.45	07/09/20	326.32	56.87 W	0.00	25 of 95 - Sale <sup>25</sup>
	5.000	67.51	07/09/20	71.62	4.11 W	0.00	26 of 95 - Sale <sup>25</sup>
	10.000	135.02	07/09/20	138.25	3.23 W	0.00	27 of 95 - Sale <sup>25</sup>
	15.000	202.53	07/09/20	214.87	12.34 W	0.00	28 of 95 - Sale <sup>25</sup>
	1.000	13.50	07/09/20	14.02	0.52 W	0.00	29 of 95 - Sale <sup>25</sup>
	5.000	67.51	07/09/20	81.74	14.23 W	0.00	30 of 95 - Sale <sup>25</sup>
	5.000	67.51	07/09/20	79.58	12.07 W	0.00	31 of 95 - Sale <sup>25</sup>
	5.000	67.51	07/09/20	70.04	2.53 W	0.00	32 of 95 - Sale <sup>25</sup>
	8.000	108.02	07/09/20	112.16	4.14 W	0.00	33 of 95 - Sale <sup>25</sup>
	10.000	135.02	07/09/20	159.17	24.15 W	0.00	34 of 95 - Sale <sup>25</sup>
	10.000	135.02	07/09/20	139.94	4.92 W	0.00	35 of 95 - Sale <sup>25</sup>
	10.000	135.02	07/09/20	140.12	5.10 W	0.00	36 of 95 - Sale <sup>25</sup>
	16.000	216.04	07/09/20	224.32	8.28 W	0.00	37 of 95 - Sale <sup>25</sup>
	1.000	13.50	07/09/20	14.34	0.84 W	0.00	38 of 95 - Sale <sup>25</sup>
	5.000	67.52	07/09/20	71.65	4.13 W	0.00	39 of 95 - Sale <sup>25</sup>
	5.000	67.51	07/09/20	69.47	1.96 W	0.00	40 of 95 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	9.000	121.52	07/09/20	129.01	7.49 W	0.00	41 of 95 - Sale <sup>25</sup>
	5.000	67.52	07/09/20	70.87	3.35 W	0.00	42 of 95 - Sale <sup>25</sup>
	5.000	70.85	07/09/20	74.46	3.61 W	0.00	43 of 95 - Sale <sup>25</sup>
	10.000	141.70	07/09/20	143.93	2.23 W	0.00	44 of 95 - Sale <sup>25</sup>
	15.000	212.54	07/09/20	223.39	10.85 W	0.00	45 of 95 - Sale <sup>25</sup>
	1.000	14.17	07/09/20	14.46	0.29 W	0.00	46 of 95 - Sale <sup>25</sup>
	5.000	70.85	07/09/20	73.04	2.19 W	0.00	47 of 95 - Sale <sup>25</sup>
	5.000	70.85	07/09/20	84.58	13.73 W	0.00	48 of 95 - Sale <sup>25</sup>
	5.000	70.85	07/09/20	82.42	11.57 W	0.00	49 of 95 - Sale <sup>25</sup>
	5.000	70.85	07/09/20	72.90	2.05 W	0.00	50 of 95 - Sale <sup>25</sup>
	9.000	127.53	07/09/20	130.19	2.66 W	0.00	51 of 95 - Sale <sup>25</sup>
	10.000	141.70	07/09/20	164.85	23.15 W	0.00	52 of 95 - Sale <sup>25</sup>
	10.000	141.70	07/09/20	145.62	3.92 W	0.00	53 of 95 - Sale <sup>25</sup>
	20.000	283.39	07/09/20	338.27	54.88 W	0.00	54 of 95 - Sale <sup>25</sup>
	1.000	14.17	07/09/20	15.10	0.93 W	0.00	55 of 95 - Sale <sup>25</sup>
	5.000	70.85	07/09/20	75.43	4.58 W	0.00	56 of 95 - Sale <sup>25</sup>
	5.000	70.85	07/09/20	73.26	2.41 W	0.00	57 of 95 - Sale <sup>25</sup>
	9.000	127.53	07/09/20	135.83	8.30 W	0.00	58 of 95 - Sale <sup>25</sup>
	1.000	14.17	07/09/20	14.78	0.61 W	0.00	59 of 95 - Sale <sup>25</sup>
	5.000	70.85	07/09/20	73.85	3.00 W	0.00	60 of 95 - Sale <sup>25</sup>
	5.000	70.85	07/09/20	73.83	2.98 W	0.00	61 of 95 - Sale <sup>25</sup>
	5.000	70.85	07/09/20	74.65	3.80 W	0.00	62 of 95 - Sale <sup>25</sup>
	8.000	113.36	07/09/20	118.22	4.86 W	0.00	63 of 95 - Sale <sup>25</sup>
	16.000	226.71	07/09/20	236.44	9.73 W	0.00	64 of 95 - Sale <sup>25</sup>
	90.000	1,275.26	07/09/20	1,283.39	8.13 W	0.00	65 of 95 - Sale <sup>25</sup>
	100.000	1,416.96	07/09/20	1,426.75	9.79 W	0.00	66 of 95 - Sale <sup>25</sup>
	150.000	2,125.44	07/09/20	2,132.63	7.19 W	0.00	67 of 95 - Sale <sup>25</sup>
	5.000	70.72	07/09/20	74.70	3.98 W	0.00	68 of 95 - Sale <sup>25</sup>
	10.000	141.45	07/09/20	144.40	2.95 W	0.00	69 of 95 - Sale <sup>25</sup>
	15.000	212.17	07/09/20	224.11	11.94 W	0.00	70 of 95 - Sale <sup>25</sup>
	1.000	14.14	07/09/20	14.51	0.37 W	0.00	71 of 95 - Sale <sup>25</sup>
	5.000	70.72	07/09/20	73.28	2.56 W	0.00	72 of 95 - Sale <sup>25</sup>
	5.000	70.72	07/09/20	84.81	14.09 W	0.00	73 of 95 - Sale <sup>25</sup>
	9.000	127.30	07/09/20	130.61	3.31 W	0.00	74 of 95 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.000	14.14	07/09/20	15.24	1.10 W	0.00	75 of 95 - Sale <sup>25</sup>
	5.000	70.72	07/09/20	76.12	5.40 W	0.00	76 of 95 - Sale <sup>25</sup>
	5.000	70.72	07/09/20	73.95	3.23 W	0.00	77 of 95 - Sale <sup>25</sup>
	9.000	127.30	07/09/20	137.07	9.77 W	0.00	78 of 95 - Sale <sup>25</sup>
	1.000	14.14	07/09/20	14.92	0.78 W	0.00	79 of 95 - Sale <sup>25</sup>
	5.000	70.72	07/09/20	85.27	14.55 W	0.00	80 of 95 - Sale <sup>25</sup>
	5.000	70.72	07/09/20	83.11	12.39 W	0.00	81 of 95 - Sale <sup>25</sup>
	5.000	70.72	07/09/20	73.59	2.87 W	0.00	82 of 95 - Sale <sup>25</sup>
	5.000	70.72	07/09/20	74.54	3.82 W	0.00	83 of 95 - Sale <sup>25</sup>
	5.000	70.72	07/09/20	74.52	3.80 W	0.00	84 of 95 - Sale <sup>25</sup>
	5.000	70.72	07/09/20	75.34	4.62 W	0.00	85 of 95 - Sale <sup>25</sup>
	8.000	113.16	07/09/20	119.32	6.16 W	0.00	86 of 95 - Sale <sup>25</sup>
	10.000	141.45	07/09/20	166.22	24.77 W	0.00	87 of 95 - Sale <sup>25</sup>
	10.000	141.45	07/09/20	147.00	5.55 W	0.00	88 of 95 - Sale <sup>25</sup>
	15.000	212.17	07/09/20	255.77	43.60 W	0.00	89 of 95 - Sale <sup>25</sup>
	16.000	226.32	07/09/20	238.65	12.33 W	0.00	90 of 95 - Sale <sup>25</sup>
	40.000	565.80	07/09/20	575.90	10.10 W	0.00	91 of 95 - Sale <sup>25</sup>
	50.000	706.98	07/09/20	719.88	12.90 W	0.00	92 of 95 - Sale <sup>25</sup>
	100.000	1,415.96	07/09/20	1,428.54	12.58 W	0.00	93 of 95 - Sale <sup>25</sup>
	50.000	702.48	07/09/20	712.25	9.77 W	0.00	94 of 95 - Sale <sup>25</sup>
	50.000	702.47	07/09/20	712.75	10.28 W	0.00	95 of 95 - Sale <sup>25</sup>
07/09/20	1,325.000	18,502.92	Various	19,306.65	803.73 W	0.00	Total of 95 transactions
07/10/20	25.000	356.80	07/09/20	356.37	...	0.43	Sale <sup>25</sup>
9 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	5.000	71.36	07/09/20	75.58	4.22 W	0.00	1 of 9 - Sale <sup>25</sup>
	10.000	142.72	07/09/20	146.15	3.43 W	0.00	2 of 9 - Sale <sup>25</sup>
	10.000	142.72	07/09/20	151.16	8.44 W	0.00	3 of 9 - Sale <sup>25</sup>
	25.000	356.80	07/09/20	359.20	2.40 W	0.00	4 of 9 - Sale <sup>25</sup>
	1.000	14.93	07/09/20	15.40	0.47 W	0.00	5 of 9 - Sale <sup>25</sup>
	5.000	74.65	07/09/20	75.47	0.82 W	0.00	6 of 9 - Sale <sup>25</sup>
	5.000	74.65	07/09/20	76.89	2.24 W	0.00	7 of 9 - Sale <sup>25</sup>
	5.000	74.65	07/09/20	74.72	0.07 W	0.00	8 of 9 - Sale <sup>25</sup>
	9.000	134.37	07/09/20	138.44	4.07 W	0.00	9 of 9 - Sale <sup>25</sup>
07/10/20	75.000	1,086.85	Various	1,113.01	26.16 W	0.00	Total of 9 transactions

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
14 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	5.000	77.50	07/09/20	77.22	...	0.28	1 of 14 - Sale <sup>25</sup>
	10.000	154.99	07/09/20	154.25	...	0.74	2 of 14 - Sale <sup>25</sup>
	1.000	15.50	07/09/20	14.67	...	0.83	3 of 14 - Sale <sup>25</sup>
	3.000	46.50	07/09/20	45.62	...	0.88	4 of 14 - Sale <sup>25</sup>
	5.000	77.50	07/09/20	74.05	...	3.45	5 of 14 - Sale <sup>25</sup>
	15.000	232.49	07/09/20	226.84	...	5.65	6 of 14 - Sale <sup>25</sup>
	22.000	340.99	07/09/20	334.58	...	6.41	7 of 14 - Sale <sup>25</sup>
	9.000	139.50	07/09/20	131.99	...	7.51	8 of 14 - Sale <sup>25</sup>
	25.000	387.49	07/09/20	376.70	...	10.79	9 of 14 - Sale <sup>25</sup>
	25.000	387.49	07/09/20	376.65	...	10.84	10 of 14 - Sale <sup>25</sup>
	25.000	387.49	07/09/20	376.56	...	10.93	11 of 14 - Sale <sup>25</sup>
	50.000	774.97	07/09/20	753.79	...	21.18	12 of 14 - Sale <sup>25</sup>
	25.000	387.48	07/10/20	376.38	...	11.10	13 of 14 - Sale <sup>25</sup>
	50.000	774.97	07/10/20	753.27	...	21.70	14 of 14 - Sale <sup>25</sup>
07/13/20	270.000	4,184.86	Various	4,072.57	...	112.29	Total of 14 transactions
82 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	5.000	77.50	07/09/20	85.58	8.08 W	0.00	1 of 82 - Sale <sup>25</sup>
	5.000	77.50	07/09/20	86.01	8.51 W	0.00	2 of 82 - Sale <sup>25</sup>
	5.000	77.50	07/09/20	86.69	9.19 W	0.00	3 of 82 - Sale <sup>25</sup>
	5.000	77.50	07/09/20	84.52	7.02 W	0.00	4 of 82 - Sale <sup>25</sup>
	5.000	77.50	07/09/20	84.53	7.03 W	0.00	5 of 82 - Sale <sup>25</sup>
	10.000	154.99	07/09/20	173.35	18.36 W	0.00	6 of 82 - Sale <sup>25</sup>
	1.000	15.50	07/09/20	15.65	0.15 W	0.00	7 of 82 - Sale <sup>25</sup>
	4.000	62.00	07/09/20	62.56	0.56 W	0.00	8 of 82 - Sale <sup>25</sup>
	5.000	77.50	07/09/20	86.73	9.23 W	0.00	9 of 82 - Sale <sup>25</sup>
	5.000	77.50	07/09/20	78.17	0.67 W	0.00	10 of 82 - Sale <sup>25</sup>
	5.000	77.50	07/09/20	78.15	0.65 W	0.00	11 of 82 - Sale <sup>25</sup>
	4.000	62.00	07/09/20	62.56	0.56 W	0.00	12 of 82 - Sale <sup>25</sup>
	5.000	77.50	07/09/20	78.97	1.47 W	0.00	13 of 82 - Sale <sup>25</sup>
	16.000	247.99	07/09/20	250.25	2.26 W	0.00	14 of 82 - Sale <sup>25</sup>
	25.000	387.49	07/10/20	393.64	6.15 W	0.00	15 of 82 - Sale <sup>25</sup>
	25.000	387.49	07/10/20	395.39	7.90 W	0.00	16 of 82 - Sale <sup>25</sup>
	1.000	15.50	07/13/20	16.27	0.77 W	0.00	17 of 82 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	5.000	77.50	07/13/20	83.20	5.70 W	0.00	18 of 82 - Sale <sup>25</sup>
	5.000	77.50	07/13/20	79.80	2.30 W	0.00	19 of 82 - Sale <sup>25</sup>
	5.000	77.50	07/13/20	81.22	3.72 W	0.00	20 of 82 - Sale <sup>25</sup>
	5.000	77.50	07/13/20	79.05	1.55 W	0.00	21 of 82 - Sale <sup>25</sup>
	9.000	139.49	07/13/20	146.23	6.74 W	0.00	22 of 82 - Sale <sup>25</sup>
	10.000	154.99	07/13/20	161.39	6.40 W	0.00	23 of 82 - Sale <sup>25</sup>
	10.000	154.99	07/13/20	166.39	11.40 W	0.00	24 of 82 - Sale <sup>25</sup>
	25.000	387.49	07/13/20	397.29	9.80 W	0.00	25 of 82 - Sale <sup>25</sup>
	25.000	387.48	07/13/20	394.88	7.40 W	0.00	26 of 82 - Sale <sup>25</sup>
	5.000	75.00	07/13/20	87.06	12.06 W	0.00	27 of 82 - Sale <sup>25</sup>
	5.000	75.00	07/13/20	87.48	12.48 W	0.00	28 of 82 - Sale <sup>25</sup>
	5.000	75.00	07/13/20	88.17	13.17 W	0.00	29 of 82 - Sale <sup>25</sup>
	10.000	150.00	07/13/20	176.31	26.31 W	0.00	30 of 82 - Sale <sup>25</sup>
	1.000	15.00	07/13/20	16.15	1.15 W	0.00	31 of 82 - Sale <sup>25</sup>
	4.000	60.00	07/13/20	64.56	4.56 W	0.00	32 of 82 - Sale <sup>25</sup>
	4.000	60.00	07/13/20	64.56	4.56 W	0.00	33 of 82 - Sale <sup>25</sup>
	5.000	75.00	07/13/20	87.02	12.02 W	0.00	34 of 82 - Sale <sup>25</sup>
	5.000	75.00	07/13/20	87.03	12.03 W	0.00	35 of 82 - Sale <sup>25</sup>
	5.000	75.00	07/13/20	89.23	14.23 W	0.00	36 of 82 - Sale <sup>25</sup>
	5.000	75.00	07/13/20	80.67	5.67 W	0.00	37 of 82 - Sale <sup>25</sup>
	5.000	75.00	07/13/20	80.65	5.65 W	0.00	38 of 82 - Sale <sup>25</sup>
	16.000	239.99	07/13/20	258.26	18.27 W	0.00	39 of 82 - Sale <sup>25</sup>
	5.000	75.00	07/13/20	78.77	3.77 W	0.00	40 of 82 - Sale <sup>25</sup>
	25.000	374.99	07/13/20	392.65	17.66 W	0.00	41 of 82 - Sale <sup>25</sup>
	25.000	374.99	07/13/20	394.40	19.41 W	0.00	42 of 82 - Sale <sup>25</sup>
	1.000	15.00	07/13/20	16.23	1.23 W	0.00	43 of 82 - Sale <sup>25</sup>
	5.000	75.00	07/13/20	83.00	8.00 W	0.00	44 of 82 - Sale <sup>25</sup>
	5.000	75.00	07/13/20	79.60	4.60 W	0.00	45 of 82 - Sale <sup>25</sup>
	5.000	75.00	07/13/20	81.02	6.02 W	0.00	46 of 82 - Sale <sup>25</sup>
	5.000	75.00	07/13/20	78.85	3.85 W	0.00	47 of 82 - Sale <sup>25</sup>
	9.000	135.00	07/13/20	145.88	10.88 W	0.00	48 of 82 - Sale <sup>25</sup>
	10.000	149.99	07/13/20	161.00	11.01 W	0.00	49 of 82 - Sale <sup>25</sup>
	10.000	149.99	07/13/20	166.00	16.01 W	0.00	50 of 82 - Sale <sup>25</sup>
	25.000	374.99	07/13/20	396.29	21.30 W	0.00	51 of 82 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	20.000	299.99	07/13/20	315.12	15.13 W	0.00	52 of 82 - Sale <sup>25</sup>
	5.000	75.00	07/13/20	78.82	3.82 W	0.00	53 of 82 - Sale <sup>25</sup>
	95.000	1,424.94	07/13/20	1,469.41	44.47 W	0.00	54 of 82 - Sale <sup>25</sup>
	100.000	1,499.94	07/13/20	1,538.75	38.81 W	0.00	55 of 82 - Sale <sup>25</sup>
	50.000	749.97	07/13/20	779.37	29.40 W	0.00	56 of 82 - Sale <sup>25</sup>
	5.000	75.86	07/13/20	90.00	14.14 W	0.00	57 of 82 - Sale <sup>25</sup>
	5.000	75.86	07/13/20	90.42	14.56 W	0.00	58 of 82 - Sale <sup>25</sup>
	5.000	75.86	07/13/20	91.11	15.25 W	0.00	59 of 82 - Sale <sup>25</sup>
	10.000	151.72	07/13/20	182.18	30.46 W	0.00	60 of 82 - Sale <sup>25</sup>
	5.000	75.86	07/13/20	88.46	12.60 W	0.00	61 of 82 - Sale <sup>25</sup>
	5.000	75.86	07/13/20	88.47	12.61 W	0.00	62 of 82 - Sale <sup>25</sup>
	5.000	75.86	07/13/20	90.67	14.81 W	0.00	63 of 82 - Sale <sup>25</sup>
	1.000	14.72	07/13/20	16.44	1.72 W	0.00	64 of 82 - Sale <sup>25</sup>
	4.000	58.89	07/13/20	65.71	6.82 W	0.00	65 of 82 - Sale <sup>25</sup>
	4.000	58.89	07/13/20	65.71	6.82 W	0.00	66 of 82 - Sale <sup>25</sup>
	5.000	73.61	07/13/20	82.11	8.50 W	0.00	67 of 82 - Sale <sup>25</sup>
	5.000	73.61	07/13/20	82.09	8.48 W	0.00	68 of 82 - Sale <sup>25</sup>
	6.000	88.34	07/13/20	98.57	10.23 W	0.00	69 of 82 - Sale <sup>25</sup>
	5.000	70.05	07/13/20	80.20	10.15 W	0.00	70 of 82 - Sale <sup>25</sup>
	10.000	140.10	07/13/20	164.29	24.19 W	0.00	71 of 82 - Sale <sup>25</sup>
	5.000	70.05	07/13/20	79.97	9.92 W	0.00	72 of 82 - Sale <sup>25</sup>
	5.000	70.05	07/13/20	80.12	10.07 W	0.00	73 of 82 - Sale <sup>25</sup>
	10.000	140.10	07/13/20	159.16	19.06 W	0.00	74 of 82 - Sale <sup>25</sup>
	15.000	210.14	07/13/20	237.70	27.56 W	0.00	75 of 82 - Sale <sup>25</sup>
	5.000	70.05	07/13/20	79.48	9.43 W	0.00	76 of 82 - Sale <sup>25</sup>
	10.000	140.09	07/13/20	159.07	18.98 W	0.00	77 of 82 - Sale <sup>25</sup>
	10.000	140.09	07/13/20	162.31	22.22 W	0.00	78 of 82 - Sale <sup>25</sup>
	5.000	70.05	07/13/20	79.84	9.79 W	0.00	79 of 82 - Sale <sup>25</sup>
	5.000	70.05	07/13/20	76.44	6.39 W	0.00	80 of 82 - Sale <sup>25</sup>
	5.000	70.04	07/13/20	77.88	7.84 W	0.00	81 of 82 - Sale <sup>25</sup>
	10.000	140.10	07/13/20	159.69	19.59 W	0.00	82 of 82 - Sale <sup>25</sup>
07/13/20	870.000	13,065.58	Various	13,958.87	893.29 W	0.00	Total of 82 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
33 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
1.000		13.49	07/13/20	15.60	2.11 W	0.00	1 of 33 - Sale <sup>25</sup>
5.000		67.45	07/13/20	77.85	10.40 W	0.00	2 of 33 - Sale <sup>25</sup>
5.000		67.45	07/13/20	75.69	8.24 W	0.00	3 of 33 - Sale <sup>25</sup>
4.000		53.96	07/13/20	62.31	8.35 W	0.00	4 of 33 - Sale <sup>25</sup>
10.000		134.90	07/13/20	152.19	17.29 W	0.00	5 of 33 - Sale <sup>25</sup>
15.000		202.34	07/13/20	214.23	11.89 W	0.00	6 of 33 - Sale <sup>25</sup>
5.000		67.45	07/13/20	70.97	3.52 W	0.00	7 of 33 - Sale <sup>25</sup>
10.000		134.90	07/13/20	138.98	4.08 W	0.00	8 of 33 - Sale <sup>25</sup>
20.000		269.79	07/13/20	283.73	13.94 W	0.00	9 of 33 - Sale <sup>25</sup>
75.000		1,011.71	07/14/20	1,039.35	27.64 W	0.00	10 of 33 - Sale <sup>25</sup>
10.000		136.13	07/14/20	140.98	4.85 W	0.00	11 of 33 - Sale <sup>25</sup>
80.000		1,089.00	07/14/20	1,121.45	32.45 W	0.00	12 of 33 - Sale <sup>25</sup>
10.000		138.45	07/14/20	140.18	1.73 W	0.00	13 of 33 - Sale <sup>25</sup>
5.000		69.22	07/14/20	84.32	15.10 W	0.00	14 of 33 - Sale <sup>25</sup>
5.000		69.22	07/14/20	84.73	15.51 W	0.00	15 of 33 - Sale <sup>25</sup>
5.000		69.22	07/14/20	85.43	16.21 W	0.00	16 of 33 - Sale <sup>25</sup>
5.000		69.22	07/14/20	82.77	13.55 W	0.00	17 of 33 - Sale <sup>25</sup>
10.000		138.45	07/14/20	170.81	32.36 W	0.00	18 of 33 - Sale <sup>25</sup>
10.000		138.45	07/14/20	144.23	5.78 W	0.00	19 of 33 - Sale <sup>25</sup>
50.000		692.23	07/14/20	731.15	38.92 W	0.00	20 of 33 - Sale <sup>25</sup>
1.000		13.84	07/14/20	15.53	1.69 W	0.00	21 of 33 - Sale <sup>25</sup>
4.000		55.38	07/14/20	62.05	6.67 W	0.00	22 of 33 - Sale <sup>25</sup>
4.000		55.38	07/14/20	62.05	6.67 W	0.00	23 of 33 - Sale <sup>25</sup>
5.000		69.22	07/14/20	81.65	12.43 W	0.00	24 of 33 - Sale <sup>25</sup>
5.000		69.22	07/14/20	83.85	14.63 W	0.00	25 of 33 - Sale <sup>25</sup>
5.000		69.22	07/14/20	77.54	8.32 W	0.00	26 of 33 - Sale <sup>25</sup>
5.000		69.22	07/14/20	77.52	8.30 W	0.00	27 of 33 - Sale <sup>25</sup>
5.000		69.22	07/14/20	79.19	9.97 W	0.00	28 of 33 - Sale <sup>25</sup>
6.000		83.07	07/14/20	93.07	10.00 W	0.00	29 of 33 - Sale <sup>25</sup>
10.000		138.45	07/14/20	162.26	23.81 W	0.00	30 of 33 - Sale <sup>25</sup>
5.000		69.22	07/14/20	78.96	9.74 W	0.00	31 of 33 - Sale <sup>25</sup>
5.000		69.22	07/14/20	79.10	9.88 W	0.00	32 of 33 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	15.000	207.68	07/14/20	234.67	26.99 W	0.00	33 of 33 - Sale <sup>25</sup>
07/14/20	415.000	5,671.37	Various	6,104.39	433.02 W	0.00	Total of 33 transactions
	23 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	10.000	133.03	07/14/20	157.27	24.24 W	0.00	1 of 23 - Sale <sup>25</sup>
	10.000	133.03	07/14/20	147.90	14.87 W	0.00	2 of 23 - Sale <sup>25</sup>
	1.000	13.30	07/14/20	16.11	2.81 W	0.00	3 of 23 - Sale <sup>25</sup>
	4.000	53.21	07/14/20	64.35	11.14 W	0.00	4 of 23 - Sale <sup>25</sup>
	5.000	66.51	07/14/20	79.43	12.92 W	0.00	5 of 23 - Sale <sup>25</sup>
	5.000	66.51	07/14/20	80.40	13.89 W	0.00	6 of 23 - Sale <sup>25</sup>
	5.000	66.51	07/14/20	78.23	11.72 W	0.00	7 of 23 - Sale <sup>25</sup>
	10.000	133.02	07/14/20	159.06	26.04 W	0.00	8 of 23 - Sale <sup>25</sup>
	10.000	133.02	07/14/20	158.98	25.96 W	0.00	9 of 23 - Sale <sup>25</sup>
	5.000	66.51	07/14/20	79.79	13.28 W	0.00	10 of 23 - Sale <sup>25</sup>
	5.000	66.51	07/14/20	76.39	9.88 W	0.00	11 of 23 - Sale <sup>25</sup>
	5.000	66.51	07/14/20	77.84	11.33 W	0.00	12 of 23 - Sale <sup>25</sup>
	10.000	133.02	07/14/20	162.22	29.20 W	0.00	13 of 23 - Sale <sup>25</sup>
	10.000	133.02	07/14/20	159.59	26.57 W	0.00	14 of 23 - Sale <sup>25</sup>
	5.000	66.51	07/14/20	74.16	7.65 W	0.00	15 of 23 - Sale <sup>25</sup>
	5.000	66.51	07/14/20	73.72	7.21 W	0.00	16 of 23 - Sale <sup>25</sup>
	20.000	266.05	07/14/20	294.74	28.69 W	0.00	17 of 23 - Sale <sup>25</sup>
	10.000	132.80	07/14/20	144.58	11.78 W	0.00	18 of 23 - Sale <sup>25</sup>
	5.000	66.40	07/14/20	72.09	5.69 W	0.00	19 of 23 - Sale <sup>25</sup>
	8.000	108.66	07/14/20	115.35	6.69 W	0.00	20 of 23 - Sale <sup>25</sup>
	2.000	27.16	07/15/20	28.36	1.20 W	0.00	21 of 23 - Sale <sup>25</sup>
	30.000	407.46	07/15/20	413.58	6.12 W	0.00	22 of 23 - Sale <sup>25</sup>
	10.000	135.10	07/15/20	137.86	2.76 W	0.00	23 of 23 - Sale <sup>25</sup>
07/15/20	190.000	2,540.36	Various	2,852.00	311.64 W	0.00	Total of 23 transactions
	7 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	10.000	125.62	07/16/20	135.22	9.60 W	0.00	1 of 7 - Sale <sup>25</sup>
	20.000	251.24	07/16/20	268.12	16.88 W	0.00	2 of 7 - Sale <sup>25</sup>
	20.000	251.25	07/16/20	268.86	17.61 W	0.00	3 of 7 - Sale <sup>25</sup>
	50.000	628.11	07/16/20	651.28	23.17 W	0.00	4 of 7 - Sale <sup>25</sup>
	5.000	62.81	07/16/20	78.34	15.53 W	0.00	5 of 7 - Sale <sup>25</sup>
	10.000	125.62	07/16/20	130.54	4.92 W	0.00	6 of 7 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	10.000	125.62	07/16/20	128.20	2.58 W	0.00	7 of 7 - Sale <sup>25</sup>
07/16/20	125.000	1,570.27	Various	1,660.56	90.29 W	0.00	Total of 7 transactions
	27 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	0.379	4.44	07/16/20	5.94	1.50 W	0.00	1 of 27 - Sale <sup>25</sup>
	0.006	0.08	07/16/20	0.10	0.02 W	0.00	2 of 27 - Sale <sup>25</sup>
	0.379	4.44	07/16/20	5.39	0.95 W	0.00	3 of 27 - Sale <sup>25</sup>
	4.615	54.03	07/16/20	72.29	18.26 W	0.00	4 of 27 - Sale <sup>25</sup>
	5.000	58.55	07/16/20	79.04	20.49 W	0.00	5 of 27 - Sale <sup>25</sup>
	5.000	58.55	07/16/20	76.37	17.82 W	0.00	6 of 27 - Sale <sup>25</sup>
	5.000	58.55	07/16/20	75.26	16.71 W	0.00	7 of 27 - Sale <sup>25</sup>
	5.000	58.55	07/16/20	77.45	18.90 W	0.00	8 of 27 - Sale <sup>25</sup>
	5.000	58.55	07/16/20	71.15	12.60 W	0.00	9 of 27 - Sale <sup>25</sup>
	10.000	117.10	07/16/20	158.01	40.91 W	0.00	10 of 27 - Sale <sup>25</sup>
	10.000	117.09	07/16/20	131.43	14.34 W	0.00	11 of 27 - Sale <sup>25</sup>
	17.006	199.14	07/16/20	226.92	27.78 W	0.00	12 of 27 - Sale <sup>25</sup>
	32.994	386.75	07/16/20	440.25	53.50 W	0.00	13 of 27 - Sale <sup>25</sup>
	1.000	11.72	07/16/20	14.26	2.54 W	0.00	14 of 27 - Sale <sup>25</sup>
	4.000	46.89	07/16/20	56.93	10.04 W	0.00	15 of 27 - Sale <sup>25</sup>
	4.000	46.89	07/16/20	56.93	10.04 W	0.00	16 of 27 - Sale <sup>25</sup>
	4.621	54.17	07/16/20	65.73	11.56 W	0.00	17 of 27 - Sale <sup>25</sup>
	5.379	63.05	07/16/20	76.56	13.51 W	0.00	18 of 27 - Sale <sup>25</sup>
	0.621	6.92	07/17/20	8.14	1.22 W	0.00	19 of 27 - Sale <sup>25</sup>
	9.379	104.60	07/17/20	129.79	25.19 W	0.00	20 of 27 - Sale <sup>25</sup>
	0.621	6.92	07/17/20	8.35	1.43 W	0.00	21 of 27 - Sale <sup>25</sup>
	5.000	55.76	07/17/20	65.31	9.55 W	0.00	22 of 27 - Sale <sup>25</sup>
	4.379	48.84	07/17/20	57.11	8.27 W	0.00	23 of 27 - Sale <sup>25</sup>
	5.000	55.76	07/17/20	65.08	9.32 W	0.00	24 of 27 - Sale <sup>25</sup>
	9.379	104.60	07/17/20	128.23	23.63 W	0.00	25 of 27 - Sale <sup>25</sup>
	0.621	6.92	07/17/20	8.10	1.18 W	0.00	26 of 27 - Sale <sup>25</sup>
	15.000	167.29	07/17/20	193.00	25.71 W	0.00	27 of 27 - Sale <sup>25</sup>
07/17/20	169.379	1,956.15	Various	2,353.12	396.97 W	0.00	Total of 27 transactions
	16 transactions for 07/20/20. Total proceeds and cost reported to the IRS.						
	10.000	122.49	07/17/20	122.45	...	0.04	1 of 16 - Sale <sup>25</sup>
	5.000	61.25	07/17/20	61.10	...	0.15	2 of 16 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	5.000	61.25	07/17/20	61.03	...	0.22	3 of 16 - Sale <sup>25</sup>
	10.000	122.49	07/17/20	121.77	...	0.72	4 of 16 - Sale <sup>25</sup>
	2.000	24.50	07/17/20	23.33	...	1.17	5 of 16 - Sale <sup>25</sup>
	9.379	114.89	07/17/20	113.71	...	1.18	6 of 16 - Sale <sup>25</sup>
	8.000	98.00	07/17/20	95.23	...	2.77	7 of 16 - Sale <sup>25</sup>
	19.379	237.39	07/17/20	230.84	...	6.55	8 of 16 - Sale <sup>25</sup>
	10.000	122.49	07/17/20	113.43	...	9.06	9 of 16 - Sale <sup>25</sup>
	30.000	367.48	07/17/20	338.15	...	29.33	10 of 16 - Sale <sup>25</sup>
	5.000	63.16	07/20/20	62.54	...	0.62	11 of 16 - Sale <sup>25</sup>
	10.000	122.49	07/20/20	121.79	...	0.70	12 of 16 - Sale <sup>25</sup>
	19.379	237.39	07/20/20	236.44	...	0.95	13 of 16 - Sale <sup>25</sup>
	10.621	134.16	07/20/20	132.76	...	1.40	14 of 16 - Sale <sup>25</sup>
	30.621	375.09	07/20/20	372.07	...	3.02	15 of 16 - Sale <sup>25</sup>
	10.000	122.50	07/20/20	119.46	...	3.04	16 of 16 - Sale <sup>25</sup>
07/20/20	194.379	2,387.02	Various	2,326.10	...	60.92	Total of 16 transactions
<i>71 transactions for 07/20/20. Total proceeds and cost reported to the IRS.</i>							
	0.621	7.60	07/17/20	8.53	0.93 W	0.00	1 of 71 - Sale <sup>25</sup>
	1.000	12.25	07/17/20	13.94	1.69 W	0.00	2 of 71 - Sale <sup>25</sup>
	4.000	49.00	07/17/20	55.65	6.65 W	0.00	3 of 71 - Sale <sup>25</sup>
	5.000	61.25	07/17/20	68.56	7.31 W	0.00	4 of 71 - Sale <sup>25</sup>
	5.000	61.25	07/17/20	69.53	8.28 W	0.00	5 of 71 - Sale <sup>25</sup>
	5.000	61.25	07/17/20	67.36	6.11 W	0.00	6 of 71 - Sale <sup>25</sup>
	10.000	122.50	07/17/20	137.23	14.73 W	0.00	7 of 71 - Sale <sup>25</sup>
	4.379	53.64	07/17/20	60.37	6.73 W	0.00	8 of 71 - Sale <sup>25</sup>
	5.000	61.25	07/17/20	68.91	7.66 W	0.00	9 of 71 - Sale <sup>25</sup>
	10.000	122.50	07/17/20	140.47	17.97 W	0.00	10 of 71 - Sale <sup>25</sup>
	5.000	61.25	07/17/20	63.33	2.08 W	0.00	11 of 71 - Sale <sup>25</sup>
	5.000	61.25	07/17/20	64.78	3.53 W	0.00	12 of 71 - Sale <sup>25</sup>
	5.621	68.85	07/17/20	75.02	6.17 W	0.00	13 of 71 - Sale <sup>25</sup>
	10.000	122.50	07/17/20	131.14	8.64 W	0.00	14 of 71 - Sale <sup>25</sup>
	5.000	61.25	07/17/20	62.55	1.30 W	0.00	15 of 71 - Sale <sup>25</sup>
	10.621	130.10	07/17/20	132.78	2.68 W	0.00	16 of 71 - Sale <sup>25</sup>
	0.621	7.60	07/20/20	7.81	0.21 W	0.00	17 of 71 - Sale <sup>25</sup>
	10.000	122.50	07/20/20	126.98	4.48 W	0.00	18 of 71 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
20.000		244.99	07/20/20	252.36	7.37 W	0.00	19 of 71 - Sale <sup>25</sup>
0.006		0.08	07/20/20	0.09	0.01 W	0.00	20 of 71 - Sale <sup>25</sup>
0.379		4.65	07/20/20	5.93	1.28 W	0.00	21 of 71 - Sale <sup>25</sup>
4.379		53.64	07/20/20	69.13	15.49 W	0.00	22 of 71 - Sale <sup>25</sup>
4.615		56.53	07/20/20	72.19	15.66 W	0.00	23 of 71 - Sale <sup>25</sup>
10.000		122.50	07/20/20	157.79	35.29 W	0.00	24 of 71 - Sale <sup>25</sup>
5.000		61.25	07/20/20	73.97	12.72 W	0.00	25 of 71 - Sale <sup>25</sup>
0.379		4.65	07/20/20	5.65	1.00 W	0.00	26 of 71 - Sale <sup>25</sup>
0.621		7.60	07/20/20	10.23	2.63 W	0.00	27 of 71 - Sale <sup>25</sup>
1.000		12.25	07/20/20	14.93	2.68 W	0.00	28 of 71 - Sale <sup>25</sup>
4.000		49.00	07/20/20	59.59	10.59 W	0.00	29 of 71 - Sale <sup>25</sup>
4.000		49.00	07/20/20	59.59	10.59 W	0.00	30 of 71 - Sale <sup>25</sup>
4.621		56.60	07/20/20	68.80	12.20 W	0.00	31 of 71 - Sale <sup>25</sup>
5.000		61.25	07/20/20	79.76	18.51 W	0.00	32 of 71 - Sale <sup>25</sup>
5.000		61.25	07/20/20	78.65	17.40 W	0.00	33 of 71 - Sale <sup>25</sup>
5.000		61.25	07/20/20	80.84	19.59 W	0.00	34 of 71 - Sale <sup>25</sup>
5.000		61.25	07/20/20	74.54	13.29 W	0.00	35 of 71 - Sale <sup>25</sup>
5.379		65.89	07/20/20	80.14	14.25 W	0.00	36 of 71 - Sale <sup>25</sup>
10.000		122.49	07/20/20	138.21	15.72 W	0.00	37 of 71 - Sale <sup>25</sup>
17.006		208.31	07/20/20	238.44	30.13 W	0.00	38 of 71 - Sale <sup>25</sup>
7.994		97.92	07/20/20	111.98	14.06 W	0.00	39 of 71 - Sale <sup>25</sup>
25.000		306.25	07/20/20	350.22	43.97 W	0.00	40 of 71 - Sale <sup>25</sup>
0.621		7.63	07/20/20	8.53	0.90 W	0.00	41 of 71 - Sale <sup>25</sup>
1.000		12.29	07/20/20	13.94	1.65 W	0.00	42 of 71 - Sale <sup>25</sup>
4.000		49.16	07/20/20	55.64	6.48 W	0.00	43 of 71 - Sale <sup>25</sup>
5.000		61.45	07/20/20	68.55	7.10 W	0.00	44 of 71 - Sale <sup>25</sup>
5.000		61.45	07/20/20	69.52	8.07 W	0.00	45 of 71 - Sale <sup>25</sup>
5.000		61.45	07/20/20	67.35	5.90 W	0.00	46 of 71 - Sale <sup>25</sup>
10.000		122.90	07/20/20	137.21	14.31 W	0.00	47 of 71 - Sale <sup>25</sup>
4.379		53.82	07/20/20	60.36	6.54 W	0.00	48 of 71 - Sale <sup>25</sup>
5.000		61.44	07/20/20	68.90	7.46 W	0.00	49 of 71 - Sale <sup>25</sup>
10.000		122.89	07/20/20	140.45	17.56 W	0.00	50 of 71 - Sale <sup>25</sup>
5.000		63.16	07/20/20	63.32	0.16 W	0.00	51 of 71 - Sale <sup>25</sup>
5.000		63.16	07/20/20	64.77	1.61 W	0.00	52 of 71 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	5.621	71.00	07/20/20	75.01	4.01 W	0.00	53 of 71 - Sale <sup>25</sup>
	10.000	126.32	07/20/20	131.11	4.79 W	0.00	54 of 71 - Sale <sup>25</sup>
	0.006	0.08	07/20/20	0.09	0.01 W	0.00	55 of 71 - Sale <sup>25</sup>
	0.379	4.79	07/20/20	5.92	1.13 W	0.00	56 of 71 - Sale <sup>25</sup>
	0.621	7.84	07/20/20	10.23	2.39 W	0.00	57 of 71 - Sale <sup>25</sup>
	4.379	55.32	07/20/20	69.12	13.80 W	0.00	58 of 71 - Sale <sup>25</sup>
	4.615	58.29	07/20/20	72.18	13.89 W	0.00	59 of 71 - Sale <sup>25</sup>
	5.000	63.16	07/20/20	79.75	16.59 W	0.00	60 of 71 - Sale <sup>25</sup>
	5.000	63.16	07/20/20	78.64	15.48 W	0.00	61 of 71 - Sale <sup>25</sup>
	3.758	47.49	07/20/20	60.76	13.27 W	0.00	62 of 71 - Sale <sup>25</sup>
	10.000	126.32	07/20/20	157.76	31.44 W	0.00	63 of 71 - Sale <sup>25</sup>
	0.379	4.78	07/20/20	5.64	0.86 W	0.00	64 of 71 - Sale <sup>25</sup>
	1.000	12.60	07/20/20	14.93	2.33 W	0.00	65 of 71 - Sale <sup>25</sup>
	4.000	50.41	07/20/20	59.58	9.17 W	0.00	66 of 71 - Sale <sup>25</sup>
	4.000	50.41	07/20/20	59.58	9.17 W	0.00	67 of 71 - Sale <sup>25</sup>
	4.621	58.23	07/20/20	68.79	10.56 W	0.00	68 of 71 - Sale <sup>25</sup>
	1.242	15.65	07/20/20	20.07	4.42 W	0.00	69 of 71 - Sale <sup>25</sup>
	5.000	63.01	07/20/20	74.53	11.52 W	0.00	70 of 71 - Sale <sup>25</sup>
	4.758	59.98	07/20/20	70.88	10.90 W	0.00	71 of 71 - Sale <sup>25</sup>
07/20/20	380.621	4,696.03	Various	5,371.08	675.05 W	0.00	Total of 71 transactions
4 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	0.621	7.90	07/20/20	7.81	...	0.09	1 of 4 - Sale <sup>25</sup>
	10.000	127.30	07/20/20	126.96	...	0.34	2 of 4 - Sale <sup>25</sup>
	20.000	254.59	07/20/20	252.32	...	2.27	3 of 4 - Sale <sup>25</sup>
	28.758	366.08	07/20/20	352.22	...	13.86	4 of 4 - Sale <sup>25</sup>
07/21/20	59.379	755.87	Various	739.31	...	16.56	Total of 4 transactions
91 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	0.621	8.06	07/20/20	9.25	1.19 W	0.00	1 of 91 - Sale <sup>25</sup>
	0.621	8.06	07/20/20	8.82	0.76 W	0.00	2 of 91 - Sale <sup>25</sup>
	8.758	113.71	07/20/20	130.79	17.08 W	0.00	3 of 91 - Sale <sup>25</sup>
	0.379	4.83	07/20/20	5.52	0.69 W	0.00	4 of 91 - Sale <sup>25</sup>
	0.621	7.90	07/20/20	9.27	1.37 W	0.00	5 of 91 - Sale <sup>25</sup>
	0.242	3.07	07/20/20	3.51	0.44 W	0.00	6 of 91 - Sale <sup>25</sup>
	5.000	63.65	07/20/20	70.79	7.14 W	0.00	7 of 91 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	5.000	63.65	07/20/20	73.96	10.31 W	0.00	8 of 91 - Sale <sup>25</sup>
	9.379	119.39	07/20/20	138.50	19.11 W	0.00	9 of 91 - Sale <sup>25</sup>
	0.621	7.90	07/20/20	8.78	0.88 W	0.00	10 of 91 - Sale <sup>25</sup>
	4.379	55.75	07/20/20	61.90	6.15 W	0.00	11 of 91 - Sale <sup>25</sup>
	5.000	63.65	07/20/20	70.56	6.91 W	0.00	12 of 91 - Sale <sup>25</sup>
	15.000	190.94	07/20/20	209.42	18.48 W	0.00	13 of 91 - Sale <sup>25</sup>
	7.994	101.76	07/20/20	111.96	10.20 W	0.00	14 of 91 - Sale <sup>25</sup>
	10.000	127.30	07/20/20	138.20	10.90 W	0.00	15 of 91 - Sale <sup>25</sup>
	17.006	216.48	07/20/20	238.41	21.93 W	0.00	16 of 91 - Sale <sup>25</sup>
	25.000	318.24	07/20/20	350.16	31.92 W	0.00	17 of 91 - Sale <sup>25</sup>
	0.621	7.90	07/20/20	8.55	0.65 W	0.00	18 of 91 - Sale <sup>25</sup>
	1.000	12.73	07/20/20	13.98	1.25 W	0.00	19 of 91 - Sale <sup>25</sup>
	4.000	50.92	07/20/20	55.79	4.87 W	0.00	20 of 91 - Sale <sup>25</sup>
	4.379	55.75	07/20/20	61.05	5.30 W	0.00	21 of 91 - Sale <sup>25</sup>
	5.000	63.65	07/20/20	68.74	5.09 W	0.00	22 of 91 - Sale <sup>25</sup>
	10.000	127.30	07/20/20	137.58	10.28 W	0.00	23 of 91 - Sale <sup>25</sup>
	0.621	7.90	07/20/20	8.85	0.95 W	0.00	24 of 91 - Sale <sup>25</sup>
	5.000	63.65	07/20/20	69.14	5.49 W	0.00	25 of 91 - Sale <sup>25</sup>
	4.379	55.75	07/20/20	61.92	6.17 W	0.00	26 of 91 - Sale <sup>25</sup>
	5.000	63.65	07/20/20	70.70	7.05 W	0.00	27 of 91 - Sale <sup>25</sup>
	10.000	127.29	07/20/20	144.04	16.75 W	0.00	28 of 91 - Sale <sup>25</sup>
	4.379	55.74	07/20/20	57.46	1.72 W	0.00	29 of 91 - Sale <sup>25</sup>
	5.621	71.55	07/20/20	77.59	6.04 W	0.00	30 of 91 - Sale <sup>25</sup>
	0.621	7.90	07/20/20	8.16	0.26 W	0.00	31 of 91 - Sale <sup>25</sup>
	0.621	7.90	07/20/20	9.33	1.43 W	0.00	32 of 91 - Sale <sup>25</sup>
	5.000	63.65	07/20/20	67.16	3.51 W	0.00	33 of 91 - Sale <sup>25</sup>
	10.000	127.29	07/20/20	135.89	8.60 W	0.00	34 of 91 - Sale <sup>25</sup>
	0.006	0.08	07/20/20	0.09	0.01 W	0.00	35 of 91 - Sale <sup>25</sup>
	0.379	4.83	07/20/20	6.10	1.27 W	0.00	36 of 91 - Sale <sup>25</sup>
	0.379	4.83	07/20/20	5.83	1.00 W	0.00	37 of 91 - Sale <sup>25</sup>
	0.621	7.90	07/20/20	10.53	2.63 W	0.00	38 of 91 - Sale <sup>25</sup>
	0.621	7.90	07/20/20	8.90	1.00 W	0.00	39 of 91 - Sale <sup>25</sup>
	1.000	12.73	07/20/20	15.44	2.71 W	0.00	40 of 91 - Sale <sup>25</sup>
	1.242	15.80	07/20/20	20.70	4.90 W	0.00	41 of 91 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	3.758	47.84	07/20/20	62.54	14.70 W	0.00	42 of 91 - Sale <sup>25</sup>
	4.000	50.92	07/20/20	61.61	10.69 W	0.00	43 of 91 - Sale <sup>25</sup>
	4.000	50.91	07/20/20	61.61	10.70 W	0.00	44 of 91 - Sale <sup>25</sup>
	4.379	55.75	07/20/20	71.21	15.46 W	0.00	45 of 91 - Sale <sup>25</sup>
	4.615	58.74	07/20/20	74.39	15.65 W	0.00	46 of 91 - Sale <sup>25</sup>
	4.621	58.82	07/20/20	71.14	12.32 W	0.00	47 of 91 - Sale <sup>25</sup>
	4.758	60.57	07/20/20	73.28	12.71 W	0.00	48 of 91 - Sale <sup>25</sup>
	5.000	63.65	07/20/20	82.14	18.49 W	0.00	49 of 91 - Sale <sup>25</sup>
	5.000	63.65	07/20/20	81.03	17.38 W	0.00	50 of 91 - Sale <sup>25</sup>
	5.000	63.65	07/20/20	77.07	13.42 W	0.00	51 of 91 - Sale <sup>25</sup>
	0.379	4.83	07/20/20	5.71	0.88 W	0.00	52 of 91 - Sale <sup>25</sup>
	10.000	127.30	07/20/20	162.54	35.24 W	0.00	53 of 91 - Sale <sup>25</sup>
	0.242	3.07	07/20/20	3.61	0.54 W	0.00	54 of 91 - Sale <sup>25</sup>
	0.379	4.83	07/20/20	5.66	0.83 W	0.00	55 of 91 - Sale <sup>25</sup>
	0.621	7.90	07/20/20	9.51	1.61 W	0.00	56 of 91 - Sale <sup>25</sup>
	5.000	63.65	07/20/20	72.69	9.04 W	0.00	57 of 91 - Sale <sup>25</sup>
	8.379	106.66	07/20/20	126.19	19.53 W	0.00	58 of 91 - Sale <sup>25</sup>
	9.379	119.40	07/20/20	142.07	22.67 W	0.00	59 of 91 - Sale <sup>25</sup>
	5.000	63.65	07/20/20	75.86	12.21 W	0.00	60 of 91 - Sale <sup>25</sup>
	0.621	7.90	07/20/20	9.02	1.12 W	0.00	61 of 91 - Sale <sup>25</sup>
	4.379	55.74	07/20/20	63.56	7.82 W	0.00	62 of 91 - Sale <sup>25</sup>
	5.000	63.65	07/20/20	72.46	8.81 W	0.00	63 of 91 - Sale <sup>25</sup>
	11.000	140.03	07/20/20	157.76	17.73 W	0.00	64 of 91 - Sale <sup>25</sup>
	4.000	50.92	07/20/20	57.37	6.45 W	0.00	65 of 91 - Sale <sup>25</sup>
	0.621	7.90	07/21/20	8.72	0.82 W	0.00	66 of 91 - Sale <sup>25</sup>
	0.621	7.90	07/21/20	9.02	1.12 W	0.00	67 of 91 - Sale <sup>25</sup>
	1.000	12.73	07/21/20	14.26	1.53 W	0.00	68 of 91 - Sale <sup>25</sup>
	4.000	50.92	07/21/20	56.90	5.98 W	0.00	69 of 91 - Sale <sup>25</sup>
	4.379	55.75	07/21/20	62.26	6.51 W	0.00	70 of 91 - Sale <sup>25</sup>
	5.000	63.65	07/21/20	70.13	6.48 W	0.00	71 of 91 - Sale <sup>25</sup>
	5.000	63.65	07/21/20	70.53	6.88 W	0.00	72 of 91 - Sale <sup>25</sup>
	10.000	127.29	07/21/20	140.35	13.06 W	0.00	73 of 91 - Sale <sup>25</sup>
	0.621	7.90	07/21/20	8.33	0.43 W	0.00	74 of 91 - Sale <sup>25</sup>
	0.379	4.83	07/21/20	5.80	0.97 W	0.00	75 of 91 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	4.379	55.75	07/21/20	63.13	7.38 W	0.00	76 of 91 - Sale <sup>25</sup>
	4.379	55.74	07/21/20	58.68	2.94 W	0.00	77 of 91 - Sale <sup>25</sup>
	5.000	63.65	07/21/20	72.09	8.44 W	0.00	78 of 91 - Sale <sup>25</sup>
	5.000	63.65	07/21/20	68.55	4.90 W	0.00	79 of 91 - Sale <sup>25</sup>
	5.621	71.55	07/21/20	79.15	7.60 W	0.00	80 of 91 - Sale <sup>25</sup>
	10.000	127.29	07/21/20	146.83	19.54 W	0.00	81 of 91 - Sale <sup>25</sup>
	10.000	127.29	07/21/20	138.68	11.39 W	0.00	82 of 91 - Sale <sup>25</sup>
	0.242	3.07	07/21/20	3.70	0.63 W	0.00	83 of 91 - Sale <sup>25</sup>
	0.006	0.08	07/21/20	0.09	0.01 W	0.00	84 of 91 - Sale <sup>25</sup>
	0.379	4.83	07/21/20	6.20	1.37 W	0.00	85 of 91 - Sale <sup>25</sup>
	3.758	47.84	07/21/20	62.13	14.29 W	0.00	86 of 91 - Sale <sup>25</sup>
	4.615	58.74	07/21/20	75.68	16.94 W	0.00	87 of 91 - Sale <sup>25</sup>
	7.994	101.76	07/21/20	114.18	12.42 W	0.00	88 of 91 - Sale <sup>25</sup>
	10.000	127.30	07/21/20	140.98	13.68 W	0.00	89 of 91 - Sale <sup>25</sup>
	17.006	216.48	07/21/20	243.14	26.66 W	0.00	90 of 91 - Sale <sup>25</sup>
	25.000	318.24	07/21/20	357.11	38.87 W	0.00	91 of 91 - Sale <sup>25</sup>
07/21/20	450.621	5,738.74	Various	6,519.97	781.23 W	0.00	Total of 91 transactions
	154 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	0.621	7.68	07/21/20	8.80	1.12 W	0.00	1 of 154 - Sale <sup>25</sup>
	0.621	7.68	07/21/20	9.10	1.42 W	0.00	2 of 154 - Sale <sup>25</sup>
	1.000	12.36	07/21/20	14.39	2.03 W	0.00	3 of 154 - Sale <sup>25</sup>
	4.000	49.46	07/21/20	57.41	7.95 W	0.00	4 of 154 - Sale <sup>25</sup>
	4.379	54.15	07/21/20	62.82	8.67 W	0.00	5 of 154 - Sale <sup>25</sup>
	5.000	61.82	07/21/20	70.77	8.95 W	0.00	6 of 154 - Sale <sup>25</sup>
	5.000	61.82	07/21/20	71.17	9.35 W	0.00	7 of 154 - Sale <sup>25</sup>
	10.000	123.65	07/21/20	141.63	17.98 W	0.00	8 of 154 - Sale <sup>25</sup>
	0.242	2.99	07/21/20	3.74	0.75 W	0.00	9 of 154 - Sale <sup>25</sup>
	0.379	4.69	07/21/20	5.85	1.16 W	0.00	10 of 154 - Sale <sup>25</sup>
	0.621	7.68	07/21/20	8.41	0.73 W	0.00	11 of 154 - Sale <sup>25</sup>
	4.379	54.15	07/21/20	63.68	9.53 W	0.00	12 of 154 - Sale <sup>25</sup>
	4.379	54.15	07/21/20	59.24	5.09 W	0.00	13 of 154 - Sale <sup>25</sup>
	5.000	61.82	07/21/20	72.73	10.91 W	0.00	14 of 154 - Sale <sup>25</sup>
	5.000	61.82	07/21/20	69.19	7.37 W	0.00	15 of 154 - Sale <sup>25</sup>
	5.621	69.50	07/21/20	79.87	10.37 W	0.00	16 of 154 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
10.000		123.65	07/21/20	148.11	24.46 W	0.00	17 of 154 - Sale <sup>25</sup>
10.000		123.64	07/21/20	139.96	16.32 W	0.00	18 of 154 - Sale <sup>25</sup>
0.006		0.08	07/21/20	0.09	0.01 W	0.00	19 of 154 - Sale <sup>25</sup>
0.242		2.99	07/21/20	3.65	0.66 W	0.00	20 of 154 - Sale <sup>25</sup>
0.379		4.69	07/21/20	5.88	1.19 W	0.00	21 of 154 - Sale <sup>25</sup>
0.379		4.69	07/21/20	5.76	1.07 W	0.00	22 of 154 - Sale <sup>25</sup>
0.379		4.69	07/21/20	5.71	1.02 W	0.00	23 of 154 - Sale <sup>25</sup>
0.379		4.69	07/21/20	6.25	1.56 W	0.00	24 of 154 - Sale <sup>25</sup>
0.621		7.68	07/21/20	10.61	2.93 W	0.00	25 of 154 - Sale <sup>25</sup>
0.621		7.68	07/21/20	8.98	1.30 W	0.00	26 of 154 - Sale <sup>25</sup>
0.621		7.68	07/21/20	9.59	1.91 W	0.00	27 of 154 - Sale <sup>25</sup>
1.000		12.36	07/21/20	15.57	3.21 W	0.00	28 of 154 - Sale <sup>25</sup>
1.242		15.35	07/21/20	20.86	5.51 W	0.00	29 of 154 - Sale <sup>25</sup>
3.758		46.47	07/21/20	63.02	16.55 W	0.00	30 of 154 - Sale <sup>25</sup>
3.758		46.47	07/21/20	62.61	16.14 W	0.00	31 of 154 - Sale <sup>25</sup>
4.000		49.46	07/21/20	62.12	12.66 W	0.00	32 of 154 - Sale <sup>25</sup>
4.000		49.46	07/21/20	62.13	12.67 W	0.00	33 of 154 - Sale <sup>25</sup>
4.379		54.15	07/21/20	71.77	17.62 W	0.00	34 of 154 - Sale <sup>25</sup>
4.615		57.06	07/21/20	76.27	19.21 W	0.00	35 of 154 - Sale <sup>25</sup>
4.621		57.13	07/21/20	71.73	14.60 W	0.00	36 of 154 - Sale <sup>25</sup>
4.758		58.84	07/21/20	73.89	15.05 W	0.00	37 of 154 - Sale <sup>25</sup>
5.000		61.82	07/21/20	82.78	20.96 W	0.00	38 of 154 - Sale <sup>25</sup>
5.000		61.82	07/21/20	81.67	19.85 W	0.00	39 of 154 - Sale <sup>25</sup>
5.000		61.82	07/21/20	77.71	15.89 W	0.00	40 of 154 - Sale <sup>25</sup>
5.000		61.82	07/21/20	73.33	11.51 W	0.00	41 of 154 - Sale <sup>25</sup>
5.000		61.82	07/21/20	76.50	14.68 W	0.00	42 of 154 - Sale <sup>25</sup>
6.242		77.17	07/21/20	102.25	25.08 W	0.00	43 of 154 - Sale <sup>25</sup>
8.379		103.60	07/21/20	127.27	23.67 W	0.00	44 of 154 - Sale <sup>25</sup>
9.379		115.97	07/21/20	143.26	27.29 W	0.00	45 of 154 - Sale <sup>25</sup>
0.621		7.68	07/21/20	9.10	1.42 W	0.00	46 of 154 - Sale <sup>25</sup>
4.000		49.46	07/21/20	57.88	8.42 W	0.00	47 of 154 - Sale <sup>25</sup>
4.379		54.15	07/21/20	64.13	9.98 W	0.00	48 of 154 - Sale <sup>25</sup>
5.000		61.82	07/21/20	73.10	11.28 W	0.00	49 of 154 - Sale <sup>25</sup>
11.000		136.01	07/21/20	159.16	23.15 W	0.00	50 of 154 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
7.994		98.83	07/21/20	115.20	16.37 W	0.00	51 of 154 - Sale <sup>25</sup>
10.000		123.64	07/21/20	142.25	18.61 W	0.00	52 of 154 - Sale <sup>25</sup>
17.006		210.26	07/21/20	245.31	35.05 W	0.00	53 of 154 - Sale <sup>25</sup>
0.621		7.72	07/22/20	9.03	1.31 W	0.00	54 of 154 - Sale <sup>25</sup>
0.621		7.72	07/22/20	9.33	1.61 W	0.00	55 of 154 - Sale <sup>25</sup>
1.000		12.44	07/22/20	14.77	2.33 W	0.00	56 of 154 - Sale <sup>25</sup>
4.000		49.76	07/22/20	58.91	9.15 W	0.00	57 of 154 - Sale <sup>25</sup>
4.379		54.48	07/22/20	64.46	9.98 W	0.00	58 of 154 - Sale <sup>25</sup>
5.000		62.20	07/22/20	72.65	10.45 W	0.00	59 of 154 - Sale <sup>25</sup>
5.000		62.20	07/22/20	73.05	10.85 W	0.00	60 of 154 - Sale <sup>25</sup>
10.000		124.40	07/22/20	145.38	20.98 W	0.00	61 of 154 - Sale <sup>25</sup>
0.621		7.72	07/22/20	8.64	0.92 W	0.00	62 of 154 - Sale <sup>25</sup>
4.379		54.48	07/22/20	65.32	10.84 W	0.00	63 of 154 - Sale <sup>25</sup>
4.379		54.48	07/22/20	60.88	6.40 W	0.00	64 of 154 - Sale <sup>25</sup>
5.000		62.20	07/22/20	74.61	12.41 W	0.00	65 of 154 - Sale <sup>25</sup>
5.000		62.20	07/22/20	71.07	8.87 W	0.00	66 of 154 - Sale <sup>25</sup>
5.621		69.92	07/22/20	81.97	12.05 W	0.00	67 of 154 - Sale <sup>25</sup>
9.379		116.67	07/22/20	134.79	18.12 W	0.00	68 of 154 - Sale <sup>25</sup>
10.000		124.40	07/22/20	151.86	27.46 W	0.00	69 of 154 - Sale <sup>25</sup>
25.000		310.99	07/22/20	357.36	46.37 W	0.00	70 of 154 - Sale <sup>25</sup>
0.242		3.00	07/22/20	3.81	0.81 W	0.00	71 of 154 - Sale <sup>25</sup>
0.379		4.72	07/22/20	5.96	1.24 W	0.00	72 of 154 - Sale <sup>25</sup>
0.621		7.72	07/22/20	8.87	1.15 W	0.00	73 of 154 - Sale <sup>25</sup>
0.621		7.72	07/22/20	10.79	3.07 W	0.00	74 of 154 - Sale <sup>25</sup>
2.517		31.31	07/22/20	42.94	11.63 W	0.00	75 of 154 - Sale <sup>25</sup>
4.379		54.48	07/22/20	73.05	18.57 W	0.00	76 of 154 - Sale <sup>25</sup>
5.000		62.20	07/22/20	84.25	22.05 W	0.00	77 of 154 - Sale <sup>25</sup>
5.000		62.19	07/22/20	83.13	20.94 W	0.00	78 of 154 - Sale <sup>25</sup>
6.242		77.64	07/22/20	104.08	26.44 W	0.00	79 of 154 - Sale <sup>25</sup>
0.006		0.07	07/22/20	0.08	0.01 W	0.00	80 of 154 - Sale <sup>25</sup>
0.242		2.90	07/22/20	3.56	0.66 W	0.00	81 of 154 - Sale <sup>25</sup>
0.379		4.55	07/22/20	5.74	1.19 W	0.00	82 of 154 - Sale <sup>25</sup>
0.379		4.55	07/22/20	5.62	1.07 W	0.00	83 of 154 - Sale <sup>25</sup>
0.379		4.55	07/22/20	5.57	1.02 W	0.00	84 of 154 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.379	4.55	07/22/20	6.11	1.56 W	0.00	85 of 154 - Sale <sup>25</sup>
	0.621	7.45	07/22/20	8.75	1.30 W	0.00	86 of 154 - Sale <sup>25</sup>
	0.621	7.45	07/22/20	9.36	1.91 W	0.00	87 of 154 - Sale <sup>25</sup>
	1.000	12.00	07/22/20	15.21	3.21 W	0.00	88 of 154 - Sale <sup>25</sup>
	1.242	14.90	07/22/20	20.37	5.47 W	0.00	89 of 154 - Sale <sup>25</sup>
	1.242	14.90	07/22/20	20.41	5.51 W	0.00	90 of 154 - Sale <sup>25</sup>
	3.758	45.10	07/22/20	61.24	16.14 W	0.00	91 of 154 - Sale <sup>25</sup>
	4.000	48.00	07/22/20	60.66	12.66 W	0.00	92 of 154 - Sale <sup>25</sup>
	4.000	48.00	07/22/20	60.67	12.67 W	0.00	93 of 154 - Sale <sup>25</sup>
	4.615	55.37	07/22/20	74.59	19.22 W	0.00	94 of 154 - Sale <sup>25</sup>
	4.621	55.45	07/22/20	70.05	14.60 W	0.00	95 of 154 - Sale <sup>25</sup>
	4.758	57.10	07/22/20	72.15	15.05 W	0.00	96 of 154 - Sale <sup>25</sup>
	5.000	60.00	07/22/20	75.89	15.89 W	0.00	97 of 154 - Sale <sup>25</sup>
	5.000	60.00	07/22/20	71.51	11.51 W	0.00	98 of 154 - Sale <sup>25</sup>
	5.000	60.00	07/22/20	74.68	14.68 W	0.00	99 of 154 - Sale <sup>25</sup>
	8.379	100.55	07/22/20	124.22	23.67 W	0.00	100 of 154 - Sale <sup>25</sup>
	9.379	112.55	07/22/20	139.84	27.29 W	0.00	101 of 154 - Sale <sup>25</sup>
	0.621	7.45	07/22/20	8.87	1.42 W	0.00	102 of 154 - Sale <sup>25</sup>
	4.000	48.00	07/22/20	56.42	8.42 W	0.00	103 of 154 - Sale <sup>25</sup>
	4.379	52.55	07/22/20	62.53	9.98 W	0.00	104 of 154 - Sale <sup>25</sup>
	5.000	60.00	07/22/20	71.28	11.28 W	0.00	105 of 154 - Sale <sup>25</sup>
	11.000	131.99	07/22/20	155.15	23.16 W	0.00	106 of 154 - Sale <sup>25</sup>
	10.000	120.00	07/22/20	138.61	18.61 W	0.00	107 of 154 - Sale <sup>25</sup>
	7.994	95.92	07/22/20	112.30	16.38 W	0.00	108 of 154 - Sale <sup>25</sup>
	17.006	204.07	07/22/20	239.12	35.05 W	0.00	109 of 154 - Sale <sup>25</sup>
	0.621	7.45	07/22/20	8.76	1.31 W	0.00	110 of 154 - Sale <sup>25</sup>
	0.621	7.45	07/22/20	9.06	1.61 W	0.00	111 of 154 - Sale <sup>25</sup>
	1.000	12.00	07/22/20	14.33	2.33 W	0.00	112 of 154 - Sale <sup>25</sup>
	4.000	48.00	07/22/20	57.14	9.14 W	0.00	113 of 154 - Sale <sup>25</sup>
	4.379	52.55	07/22/20	62.52	9.97 W	0.00	114 of 154 - Sale <sup>25</sup>
	5.000	60.00	07/22/20	70.44	10.44 W	0.00	115 of 154 - Sale <sup>25</sup>
	5.000	60.00	07/22/20	70.84	10.84 W	0.00	116 of 154 - Sale <sup>25</sup>
	10.000	119.99	07/22/20	140.95	20.96 W	0.00	117 of 154 - Sale <sup>25</sup>
	0.621	7.45	07/22/20	8.37	0.92 W	0.00	118 of 154 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
4.379		52.55	07/22/20	63.38	10.83 W	0.00	119 of 154 - Sale <sup>25</sup>
4.379		52.55	07/22/20	58.94	6.39 W	0.00	120 of 154 - Sale <sup>25</sup>
5.000		60.00	07/22/20	72.40	12.40 W	0.00	121 of 154 - Sale <sup>25</sup>
5.000		60.00	07/22/20	68.86	8.86 W	0.00	122 of 154 - Sale <sup>25</sup>
5.621		67.45	07/22/20	79.48	12.03 W	0.00	123 of 154 - Sale <sup>25</sup>
9.379		112.54	07/22/20	130.64	18.10 W	0.00	124 of 154 - Sale <sup>25</sup>
10.000		119.99	07/22/20	147.43	27.44 W	0.00	125 of 154 - Sale <sup>25</sup>
25.000		299.99	07/22/20	346.06	46.07 W	0.00	126 of 154 - Sale <sup>25</sup>
0.242		2.90	07/22/20	3.74	0.84 W	0.00	127 of 154 - Sale <sup>25</sup>
0.379		4.55	07/22/20	5.85	1.30 W	0.00	128 of 154 - Sale <sup>25</sup>
0.621		7.45	07/22/20	8.69	1.24 W	0.00	129 of 154 - Sale <sup>25</sup>
0.621		7.45	07/22/20	10.61	3.16 W	0.00	130 of 154 - Sale <sup>25</sup>
2.517		30.20	07/22/20	42.20	12.00 W	0.00	131 of 154 - Sale <sup>25</sup>
4.379		52.55	07/22/20	71.77	19.22 W	0.00	132 of 154 - Sale <sup>25</sup>
5.000		60.00	07/22/20	82.79	22.79 W	0.00	133 of 154 - Sale <sup>25</sup>
5.000		60.00	07/22/20	81.68	21.68 W	0.00	134 of 154 - Sale <sup>25</sup>
6.242		74.89	07/22/20	102.26	27.37 W	0.00	135 of 154 - Sale <sup>25</sup>
70.000		839.95	07/22/20	850.31	10.36 W	0.00	136 of 154 - Sale <sup>25</sup>
1.242		15.04	07/22/20	20.55	5.51 W	0.00	137 of 154 - Sale <sup>25</sup>
1.242		15.04	07/22/20	20.59	5.55 W	0.00	138 of 154 - Sale <sup>25</sup>
2.517		30.49	07/22/20	38.58	8.09 W	0.00	139 of 154 - Sale <sup>25</sup>
0.242		2.93	07/22/20	3.59	0.66 W	0.00	140 of 154 - Sale <sup>25</sup>
0.379		4.59	07/22/20	5.79	1.20 W	0.00	141 of 154 - Sale <sup>25</sup>
0.379		4.59	07/22/20	5.67	1.08 W	0.00	142 of 154 - Sale <sup>25</sup>
0.379		4.59	07/22/20	5.62	1.03 W	0.00	143 of 154 - Sale <sup>25</sup>
0.621		7.52	07/22/20	8.83	1.31 W	0.00	144 of 154 - Sale <sup>25</sup>
0.621		7.52	07/22/20	9.44	1.92 W	0.00	145 of 154 - Sale <sup>25</sup>
1.000		12.11	07/22/20	15.35	3.24 W	0.00	146 of 154 - Sale <sup>25</sup>
2.483		30.08	07/22/20	38.03	7.95 W	0.00	147 of 154 - Sale <sup>25</sup>
4.000		48.45	07/22/20	61.21	12.76 W	0.00	148 of 154 - Sale <sup>25</sup>
4.000		48.45	07/22/20	61.22	12.77 W	0.00	149 of 154 - Sale <sup>25</sup>
4.621		55.97	07/22/20	70.68	14.71 W	0.00	150 of 154 - Sale <sup>25</sup>
4.758		57.64	07/22/20	72.81	15.17 W	0.00	151 of 154 - Sale <sup>25</sup>
5.000		60.56	07/22/20	72.20	11.64 W	0.00	152 of 154 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	8.138	98.57	07/22/20	122.46	23.89 W	0.00	153 of 154 - Sale <sup>25</sup>
	8.379	101.49	07/22/20	125.37	23.88 W	0.00	154 of 154 - Sale <sup>25</sup>
07/22/20	720.000	8,782.48	Various	10,483.27	1,700.79 W	0.00	Total of 154 transactions
	82 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	0.621	7.61	07/22/20	9.24	1.63 W	0.00	1 of 82 - Sale <sup>25</sup>
	1.000	12.25	07/22/20	15.11	2.86 W	0.00	2 of 82 - Sale <sup>25</sup>
	4.000	49.00	07/22/20	60.26	11.26 W	0.00	3 of 82 - Sale <sup>25</sup>
	4.379	53.65	07/22/20	65.94	12.29 W	0.00	4 of 82 - Sale <sup>25</sup>
	5.000	61.26	07/22/20	74.34	13.08 W	0.00	5 of 82 - Sale <sup>25</sup>
	10.000	122.51	07/22/20	148.76	26.25 W	0.00	6 of 82 - Sale <sup>25</sup>
	0.006	0.08	07/22/20	0.09	0.01 W	0.00	7 of 82 - Sale <sup>25</sup>
	0.379	4.65	07/22/20	6.41	1.76 W	0.00	8 of 82 - Sale <sup>25</sup>
	1.242	15.21	07/22/20	19.48	4.27 W	0.00	9 of 82 - Sale <sup>25</sup>
	3.758	46.04	07/22/20	64.17	18.13 W	0.00	10 of 82 - Sale <sup>25</sup>
	4.615	56.53	07/22/20	78.19	21.66 W	0.00	11 of 82 - Sale <sup>25</sup>
	5.000	61.26	07/22/20	78.58	17.32 W	0.00	12 of 82 - Sale <sup>25</sup>
	0.621	7.61	07/22/20	9.35	1.74 W	0.00	13 of 82 - Sale <sup>25</sup>
	4.000	49.00	07/22/20	59.54	10.54 W	0.00	14 of 82 - Sale <sup>25</sup>
	4.379	53.65	07/22/20	65.95	12.30 W	0.00	15 of 82 - Sale <sup>25</sup>
	5.000	61.25	07/22/20	75.18	13.93 W	0.00	16 of 82 - Sale <sup>25</sup>
	11.000	134.76	07/22/20	163.74	28.98 W	0.00	17 of 82 - Sale <sup>25</sup>
	2.994	36.67	07/22/20	44.39	7.72 W	0.00	18 of 82 - Sale <sup>25</sup>
	10.000	122.51	07/22/20	146.41	23.90 W	0.00	19 of 82 - Sale <sup>25</sup>
	17.006	208.35	07/22/20	252.39	44.04 W	0.00	20 of 82 - Sale <sup>25</sup>
	5.000	62.11	07/22/20	74.15	12.04 W	0.00	21 of 82 - Sale <sup>25</sup>
	0.621	7.71	07/23/20	9.32	1.61 W	0.00	22 of 82 - Sale <sup>25</sup>
	5.000	62.11	07/23/20	72.93	10.82 W	0.00	23 of 82 - Sale <sup>25</sup>
	0.621	7.71	07/23/20	8.63	0.92 W	0.00	24 of 82 - Sale <sup>25</sup>
	4.379	54.40	07/23/20	65.21	10.81 W	0.00	25 of 82 - Sale <sup>25</sup>
	4.379	54.40	07/23/20	60.77	6.37 W	0.00	26 of 82 - Sale <sup>25</sup>
	4.379	54.40	07/23/20	62.83	8.43 W	0.00	27 of 82 - Sale <sup>25</sup>
	5.000	62.11	07/23/20	74.49	12.38 W	0.00	28 of 82 - Sale <sup>25</sup>
	5.000	62.11	07/23/20	70.94	8.83 W	0.00	29 of 82 - Sale <sup>25</sup>
	5.621	69.82	07/23/20	81.83	12.01 W	0.00	30 of 82 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
10.000		124.22	07/23/20	151.61	27.39 W	0.00	31 of 82 - Sale <sup>25</sup>
0.242		3.00	07/23/20	3.85	0.85 W	0.00	32 of 82 - Sale <sup>25</sup>
0.379		4.71	07/23/20	6.02	1.31 W	0.00	33 of 82 - Sale <sup>25</sup>
0.621		7.71	07/23/20	8.97	1.26 W	0.00	34 of 82 - Sale <sup>25</sup>
5.000		62.11	07/23/20	71.90	9.79 W	0.00	35 of 82 - Sale <sup>25</sup>
0.621		7.71	07/23/20	10.89	3.18 W	0.00	36 of 82 - Sale <sup>25</sup>
2.517		31.27	07/23/20	42.24	10.97 W	0.00	37 of 82 - Sale <sup>25</sup>
4.379		54.40	07/23/20	73.74	19.34 W	0.00	38 of 82 - Sale <sup>25</sup>
5.000		62.11	07/23/20	85.04	22.93 W	0.00	39 of 82 - Sale <sup>25</sup>
6.242		77.53	07/23/20	105.08	27.55 W	0.00	40 of 82 - Sale <sup>25</sup>
25.000		310.56	07/23/20	357.32	46.76 W	0.00	41 of 82 - Sale <sup>25</sup>
0.621		7.58	07/23/20	9.16	1.58 W	0.00	42 of 82 - Sale <sup>25</sup>
1.000		12.21	07/23/20	15.00	2.79 W	0.00	43 of 82 - Sale <sup>25</sup>
4.000		48.85	07/23/20	59.81	10.96 W	0.00	44 of 82 - Sale <sup>25</sup>
4.379		53.48	07/23/20	65.44	11.96 W	0.00	45 of 82 - Sale <sup>25</sup>
5.000		61.06	07/23/20	73.77	12.71 W	0.00	46 of 82 - Sale <sup>25</sup>
10.000		122.12	07/23/20	147.62	25.50 W	0.00	47 of 82 - Sale <sup>25</sup>
0.621		7.58	07/23/20	9.14	1.56 W	0.00	48 of 82 - Sale <sup>25</sup>
5.000		61.06	07/23/20	71.51	10.45 W	0.00	49 of 82 - Sale <sup>25</sup>
0.006		0.08	07/23/20	0.09	0.01 W	0.00	50 of 82 - Sale <sup>25</sup>
0.242		2.95	07/23/20	3.78	0.83 W	0.00	51 of 82 - Sale <sup>25</sup>
0.379		4.63	07/23/20	6.36	1.73 W	0.00	52 of 82 - Sale <sup>25</sup>
0.379		4.63	07/23/20	5.91	1.28 W	0.00	53 of 82 - Sale <sup>25</sup>
0.621		7.58	07/23/20	8.45	0.87 W	0.00	54 of 82 - Sale <sup>25</sup>
0.621		7.58	07/23/20	8.79	1.21 W	0.00	55 of 82 - Sale <sup>25</sup>
1.242		15.16	07/23/20	19.34	4.18 W	0.00	56 of 82 - Sale <sup>25</sup>
3.758		45.90	07/23/20	63.75	17.85 W	0.00	57 of 82 - Sale <sup>25</sup>
4.379		53.48	07/23/20	63.96	10.48 W	0.00	58 of 82 - Sale <sup>25</sup>
4.379		53.48	07/23/20	59.52	6.04 W	0.00	59 of 82 - Sale <sup>25</sup>
4.379		53.48	07/23/20	61.58	8.10 W	0.00	60 of 82 - Sale <sup>25</sup>
4.615		56.35	07/23/20	77.67	21.32 W	0.00	61 of 82 - Sale <sup>25</sup>
5.000		61.06	07/23/20	78.01	16.95 W	0.00	62 of 82 - Sale <sup>25</sup>
5.000		61.06	07/23/20	73.07	12.01 W	0.00	63 of 82 - Sale <sup>25</sup>
5.000		61.06	07/23/20	69.52	8.46 W	0.00	64 of 82 - Sale <sup>25</sup>

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Account 527125207

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(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	5.000	61.06	07/23/20	70.48	9.42 W	0.00	65 of 82 - Sale <sup>25</sup>
	5.621	68.64	07/23/20	80.23	11.59 W	0.00	66 of 82 - Sale <sup>25</sup>
	10.000	122.12	07/23/20	148.76	26.64 W	0.00	67 of 82 - Sale <sup>25</sup>
	0.379	4.63	07/23/20	5.80	1.17 W	0.00	68 of 82 - Sale <sup>25</sup>
	0.379	4.63	07/23/20	5.68	1.05 W	0.00	69 of 82 - Sale <sup>25</sup>
	0.621	7.58	07/23/20	8.84	1.26 W	0.00	70 of 82 - Sale <sup>25</sup>
	1.000	12.21	07/23/20	15.38	3.17 W	0.00	71 of 82 - Sale <sup>25</sup>
	1.242	15.16	07/23/20	20.58	5.42 W	0.00	72 of 82 - Sale <sup>25</sup>
	1.242	15.16	07/23/20	20.62	5.46 W	0.00	73 of 82 - Sale <sup>25</sup>
	2.483	30.33	07/23/20	40.91	10.58 W	0.00	74 of 82 - Sale <sup>25</sup>
	2.483	30.33	07/23/20	38.09	7.76 W	0.00	75 of 82 - Sale <sup>25</sup>
	2.517	30.74	07/23/20	42.55	11.81 W	0.00	76 of 82 - Sale <sup>25</sup>
	2.517	30.74	07/23/20	38.64	7.90 W	0.00	77 of 82 - Sale <sup>25</sup>
	4.000	48.85	07/23/20	61.31	12.46 W	0.00	78 of 82 - Sale <sup>25</sup>
	4.000	48.85	07/23/20	61.32	12.47 W	0.00	79 of 82 - Sale <sup>25</sup>
	4.621	56.43	07/23/20	70.79	14.36 W	0.00	80 of 82 - Sale <sup>25</sup>
	4.758	58.12	07/23/20	72.93	14.81 W	0.00	81 of 82 - Sale <sup>25</sup>
	1.517	18.53	07/23/20	22.73	4.20 W	0.00	82 of 82 - Sale <sup>25</sup>
07/23/20	320.000	3,932.59	Various	4,812.17	879.58 W	0.00	Total of 82 transactions
24 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	0.242	2.75	07/23/20	3.59	0.84 W	0.00	1 of 24 - Sale <sup>25</sup>
	0.379	4.32	07/23/20	5.63	1.31 W	0.00	2 of 24 - Sale <sup>25</sup>
	0.621	7.08	07/23/20	9.45	2.37 W	0.00	3 of 24 - Sale <sup>25</sup>
	0.621	7.08	07/23/20	9.27	2.19 W	0.00	4 of 24 - Sale <sup>25</sup>
	4.000	45.60	07/23/20	59.09	13.49 W	0.00	5 of 24 - Sale <sup>25</sup>
	4.379	49.92	07/23/20	65.45	15.53 W	0.00	6 of 24 - Sale <sup>25</sup>
	5.000	57.00	07/23/20	72.33	15.33 W	0.00	7 of 24 - Sale <sup>25</sup>
	5.000	57.00	07/23/20	74.62	17.62 W	0.00	8 of 24 - Sale <sup>25</sup>
	3.758	42.84	07/23/20	62.21	19.37 W	0.00	9 of 24 - Sale <sup>25</sup>
	8.138	92.77	07/23/20	122.66	29.89 W	0.00	10 of 24 - Sale <sup>25</sup>
	6.862	78.23	07/23/20	102.85	24.62 W	0.00	11 of 24 - Sale <sup>25</sup>
	11.000	125.39	07/23/20	162.49	37.10 W	0.00	12 of 24 - Sale <sup>25</sup>
	0.621	7.22	07/23/20	10.71	3.49 W	0.00	13 of 24 - Sale <sup>25</sup>
	2.517	29.28	07/23/20	41.52	12.24 W	0.00	14 of 24 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	4.379	50.95	07/23/20	72.49	21.54 W	0.00	15 of 24 - Sale <sup>25</sup>
	5.000	58.17	07/23/20	83.62	25.45 W	0.00	16 of 24 - Sale <sup>25</sup>
	2.483	28.89	07/23/20	41.10	12.21 W	0.00	17 of 24 - Sale <sup>25</sup>
	2.994	34.83	07/23/20	44.06	9.23 W	0.00	18 of 24 - Sale <sup>25</sup>
	5.000	58.17	07/23/20	72.73	14.56 W	0.00	19 of 24 - Sale <sup>25</sup>
	10.000	116.35	07/23/20	145.27	28.92 W	0.00	20 of 24 - Sale <sup>25</sup>
	17.006	197.86	07/23/20	250.45	52.59 W	0.00	21 of 24 - Sale <sup>25</sup>
	25.000	290.87	07/23/20	350.20	59.33 W	0.00	22 of 24 - Sale <sup>25</sup>
	70.000	814.42	07/23/20	859.98	45.56 W	0.00	23 of 24 - Sale <sup>25</sup>
	180.000	2,094.24	07/23/20	2,184.75	90.51 W	0.00	24 of 24 - Sale <sup>25</sup>
07/24/20	375.000	4,351.23	Various	4,906.52	555.29 W	0.00	Total of 24 transactions
63 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	0.621	7.79	07/28/20	9.33	1.54 W	0.00	1 of 63 - Sale <sup>25</sup>
	1.000	12.54	07/28/20	15.28	2.74 W	0.00	2 of 63 - Sale <sup>25</sup>
	4.000	50.17	07/28/20	60.91	10.74 W	0.00	3 of 63 - Sale <sup>25</sup>
	4.379	54.93	07/28/20	66.65	11.72 W	0.00	4 of 63 - Sale <sup>25</sup>
	5.000	62.71	07/28/20	75.15	12.44 W	0.00	5 of 63 - Sale <sup>25</sup>
	10.000	125.42	07/28/20	150.37	24.95 W	0.00	6 of 63 - Sale <sup>25</sup>
	0.621	7.79	07/28/20	9.31	1.52 W	0.00	7 of 63 - Sale <sup>25</sup>
	5.000	62.71	07/28/20	72.89	10.18 W	0.00	8 of 63 - Sale <sup>25</sup>
	0.006	0.08	07/28/20	0.09	0.01 W	0.00	9 of 63 - Sale <sup>25</sup>
	0.242	3.03	07/28/20	3.85	0.82 W	0.00	10 of 63 - Sale <sup>25</sup>
	0.379	4.76	07/28/20	6.47	1.71 W	0.00	11 of 63 - Sale <sup>25</sup>
	0.379	4.76	07/28/20	6.02	1.26 W	0.00	12 of 63 - Sale <sup>25</sup>
	0.621	7.79	07/28/20	8.62	0.83 W	0.00	13 of 63 - Sale <sup>25</sup>
	0.621	7.79	07/28/20	8.96	1.17 W	0.00	14 of 63 - Sale <sup>25</sup>
	1.242	15.57	07/28/20	19.68	4.11 W	0.00	15 of 63 - Sale <sup>25</sup>
	3.758	47.14	07/28/20	64.78	17.64 W	0.00	16 of 63 - Sale <sup>25</sup>
	4.379	54.92	07/28/20	65.17	10.25 W	0.00	17 of 63 - Sale <sup>25</sup>
	4.379	54.92	07/28/20	60.73	5.81 W	0.00	18 of 63 - Sale <sup>25</sup>
	4.379	54.92	07/28/20	62.78	7.86 W	0.00	19 of 63 - Sale <sup>25</sup>
	4.615	57.88	07/28/20	78.94	21.06 W	0.00	20 of 63 - Sale <sup>25</sup>
	5.000	62.71	07/28/20	79.39	16.68 W	0.00	21 of 63 - Sale <sup>25</sup>
	5.000	62.71	07/28/20	74.45	11.74 W	0.00	22 of 63 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
5.000		62.71	07/28/20	70.90	8.19 W	0.00	23 of 63 - Sale <sup>25</sup>
5.000		62.71	07/28/20	71.86	9.15 W	0.00	24 of 63 - Sale <sup>25</sup>
5.621		70.50	07/28/20	81.78	11.28 W	0.00	25 of 63 - Sale <sup>25</sup>
10.000		125.42	07/28/20	151.51	26.09 W	0.00	26 of 63 - Sale <sup>25</sup>
1.242		15.57	07/28/20	20.92	5.35 W	0.00	27 of 63 - Sale <sup>25</sup>
1.242		15.57	07/28/20	20.96	5.39 W	0.00	28 of 63 - Sale <sup>25</sup>
1.275		16.00	07/28/20	19.93	3.93 W	0.00	29 of 63 - Sale <sup>25</sup>
2.483		31.14	07/28/20	41.58	10.44 W	0.00	30 of 63 - Sale <sup>25</sup>
2.517		31.56	07/28/20	43.24	11.68 W	0.00	31 of 63 - Sale <sup>25</sup>
0.379		4.65	07/28/20	5.91	1.26 W	0.00	32 of 63 - Sale <sup>25</sup>
0.379		4.65	07/28/20	5.79	1.14 W	0.00	33 of 63 - Sale <sup>25</sup>
0.621		7.61	07/28/20	9.01	1.40 W	0.00	34 of 63 - Sale <sup>25</sup>
1.000		12.26	07/28/20	15.66	3.40 W	0.00	35 of 63 - Sale <sup>25</sup>
1.242		15.22	07/28/20	19.40	4.18 W	0.00	36 of 63 - Sale <sup>25</sup>
1.517		18.60	07/28/20	23.14	4.54 W	0.00	37 of 63 - Sale <sup>25</sup>
2.483		30.44	07/28/20	38.77	8.33 W	0.00	38 of 63 - Sale <sup>25</sup>
4.000		49.04	07/28/20	62.41	13.37 W	0.00	39 of 63 - Sale <sup>25</sup>
4.000		49.04	07/28/20	62.42	13.38 W	0.00	40 of 63 - Sale <sup>25</sup>
4.621		56.65	07/28/20	72.06	15.41 W	0.00	41 of 63 - Sale <sup>25</sup>
4.758		58.34	07/28/20	74.23	15.89 W	0.00	42 of 63 - Sale <sup>25</sup>
0.242		2.96	07/29/20	3.93	0.97 W	0.00	43 of 63 - Sale <sup>25</sup>
0.379		4.65	07/29/20	6.16	1.51 W	0.00	44 of 63 - Sale <sup>25</sup>
0.621		7.61	07/29/20	10.31	2.70 W	0.00	45 of 63 - Sale <sup>25</sup>
0.621		7.61	07/29/20	10.13	2.52 W	0.00	46 of 63 - Sale <sup>25</sup>
0.621		7.61	07/29/20	11.43	3.82 W	0.00	47 of 63 - Sale <sup>25</sup>
2.483		30.44	07/29/20	43.96	13.52 W	0.00	48 of 63 - Sale <sup>25</sup>
2.517		30.86	07/29/20	44.42	13.56 W	0.00	49 of 63 - Sale <sup>25</sup>
3.758		46.08	07/29/20	67.43	21.35 W	0.00	50 of 63 - Sale <sup>25</sup>
4.000		49.04	07/29/20	64.64	15.60 W	0.00	51 of 63 - Sale <sup>25</sup>
4.379		53.69	07/29/20	71.53	17.84 W	0.00	52 of 63 - Sale <sup>25</sup>
4.379		53.69	07/29/20	77.54	23.85 W	0.00	53 of 63 - Sale <sup>25</sup>
5.000		61.30	07/29/20	79.27	17.97 W	0.00	54 of 63 - Sale <sup>25</sup>
5.000		61.30	07/29/20	81.56	20.26 W	0.00	55 of 63 - Sale <sup>25</sup>
5.000		61.30	07/29/20	89.39	28.09 W	0.00	56 of 63 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	6.862	84.13	07/29/20	112.37	28.24 W	0.00	57 of 63 - Sale <sup>25</sup>
	8.138	99.76	07/29/20	133.95	34.19 W	0.00	58 of 63 - Sale <sup>25</sup>
	11.000	134.85	07/29/20	177.76	42.91 W	0.00	59 of 63 - Sale <sup>25</sup>
	2.994	36.70	07/29/20	47.51	10.81 W	0.00	60 of 63 - Sale <sup>25</sup>
	5.000	61.30	07/29/20	78.50	17.20 W	0.00	61 of 63 - Sale <sup>25</sup>
	10.000	122.59	07/29/20	156.79	34.20 W	0.00	62 of 63 - Sale <sup>25</sup>
	17.006	208.48	07/29/20	270.05	61.57 W	0.00	63 of 63 - Sale <sup>25</sup>
07/30/20	225.000	2,786.67	Various	3,549.93	763.26 W	0.00	Total of 63 transactions
	3 transactions for 07/31/20. Total proceeds and cost reported to the IRS.						
	25.000	310.05	07/31/20	371.77	61.72 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	62.01	07/31/20	65.00	2.99 W	0.00	2 of 3 - Sale <sup>25</sup>
	70.000	868.15	07/31/20	920.38	52.23 W	0.00	3 of 3 - Sale <sup>25</sup>
07/31/20	100.000	1,240.21	Various	1,357.15	116.94 W	0.00	Total of 3 transactions
	20 transactions for 08/03/20. Total proceeds and cost reported to the IRS.						
	100.000	1,332.97	08/03/20	1,376.04	43.07 W	0.00	1 of 20 - Sale <sup>25</sup>
	0.621	8.27	08/03/20	9.84	1.57 W	0.00	2 of 20 - Sale <sup>25</sup>
	1.000	13.33	08/03/20	16.11	2.78 W	0.00	3 of 20 - Sale <sup>25</sup>
	4.000	53.32	08/03/20	64.21	10.89 W	0.00	4 of 20 - Sale <sup>25</sup>
	4.379	58.37	08/03/20	70.26	11.89 W	0.00	5 of 20 - Sale <sup>25</sup>
	5.000	66.65	08/03/20	79.28	12.63 W	0.00	6 of 20 - Sale <sup>25</sup>
	10.000	133.30	08/03/20	158.63	25.33 W	0.00	7 of 20 - Sale <sup>25</sup>
	0.621	8.27	08/03/20	9.82	1.55 W	0.00	8 of 20 - Sale <sup>25</sup>
	5.000	66.65	08/03/20	77.02	10.37 W	0.00	9 of 20 - Sale <sup>25</sup>
	0.006	0.08	08/03/20	0.09	0.01 W	0.00	10 of 20 - Sale <sup>25</sup>
	0.379	5.05	08/03/20	6.78	1.73 W	0.00	11 of 20 - Sale <sup>25</sup>
	1.242	16.55	08/03/20	20.71	4.16 W	0.00	12 of 20 - Sale <sup>25</sup>
	3.758	50.10	08/03/20	67.88	17.78 W	0.00	13 of 20 - Sale <sup>25</sup>
	4.379	58.37	08/03/20	69.97	11.60 W	0.00	14 of 20 - Sale <sup>25</sup>
	4.615	61.51	08/03/20	82.74	21.23 W	0.00	15 of 20 - Sale <sup>25</sup>
	5.000	66.65	08/03/20	83.51	16.86 W	0.00	16 of 20 - Sale <sup>25</sup>
	75.000	999.73	08/03/20	1,040.27	40.54 W	0.00	17 of 20 - Sale <sup>25</sup>
	3.379	45.72	08/03/20	53.65	7.93 W	0.00	18 of 20 - Sale <sup>25</sup>
	5.000	67.65	08/03/20	79.41	11.76 W	0.00	19 of 20 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
08/03/20	5.621	76.05	08/03/20	90.74	14.69 W	0.00	20 of 20 - Sale <sup>25</sup>
	239.000	3,188.59	Various	3,456.96	268.37 W	0.00	Total of 20 transactions
	74 transactions for 08/04/20. Total proceeds and cost reported to the IRS.						
	0.621	8.57	08/04/20	9.48	0.91 W	0.00	1 of 74 - Sale <sup>25</sup>
	1.000	13.81	08/04/20	16.27	2.46 W	0.00	2 of 74 - Sale <sup>25</sup>
	3.379	46.67	08/04/20	53.16	6.49 W	0.00	3 of 74 - Sale <sup>25</sup>
	4.379	60.49	08/04/20	66.83	6.34 W	0.00	4 of 74 - Sale <sup>25</sup>
	5.000	69.06	08/04/20	77.87	8.81 W	0.00	5 of 74 - Sale <sup>25</sup>
	5.621	77.64	08/04/20	89.61	11.97 W	0.00	6 of 74 - Sale <sup>25</sup>
	0.242	3.34	08/04/20	4.18	0.84 W	0.00	7 of 74 - Sale <sup>25</sup>
	0.379	5.24	08/04/20	6.53	1.29 W	0.00	8 of 74 - Sale <sup>25</sup>
	0.621	8.57	08/04/20	9.80	1.23 W	0.00	9 of 74 - Sale <sup>25</sup>
	1.000	13.81	08/04/20	15.69	1.88 W	0.00	10 of 74 - Sale <sup>25</sup>
	5.000	69.06	08/04/20	78.65	9.59 W	0.00	11 of 74 - Sale <sup>25</sup>
	0.242	3.34	08/04/20	4.33	0.99 W	0.00	12 of 74 - Sale <sup>25</sup>
	0.379	5.24	08/04/20	6.53	1.29 W	0.00	13 of 74 - Sale <sup>25</sup>
	0.379	5.24	08/04/20	6.41	1.17 W	0.00	14 of 74 - Sale <sup>25</sup>
	0.379	5.24	08/04/20	6.78	1.54 W	0.00	15 of 74 - Sale <sup>25</sup>
	0.621	8.57	08/04/20	10.03	1.46 W	0.00	16 of 74 - Sale <sup>25</sup>
	0.621	8.57	08/04/20	11.33	2.76 W	0.00	17 of 74 - Sale <sup>25</sup>
	0.621	8.57	08/04/20	11.15	2.58 W	0.00	18 of 74 - Sale <sup>25</sup>
	1.000	13.81	08/04/20	17.30	3.49 W	0.00	19 of 74 - Sale <sup>25</sup>
	1.242	17.15	08/04/20	22.61	5.46 W	0.00	20 of 74 - Sale <sup>25</sup>
	1.242	17.15	08/04/20	22.65	5.50 W	0.00	21 of 74 - Sale <sup>25</sup>
	1.242	17.15	08/04/20	21.44	4.29 W	0.00	22 of 74 - Sale <sup>25</sup>
	1.275	17.62	08/04/20	21.66	4.04 W	0.00	23 of 74 - Sale <sup>25</sup>
	1.517	20.95	08/04/20	25.62	4.67 W	0.00	24 of 74 - Sale <sup>25</sup>
	2.483	34.30	08/04/20	44.95	10.65 W	0.00	25 of 74 - Sale <sup>25</sup>
	2.483	34.30	08/04/20	42.84	8.54 W	0.00	26 of 74 - Sale <sup>25</sup>
	2.517	34.76	08/04/20	46.67	11.91 W	0.00	27 of 74 - Sale <sup>25</sup>
	4.000	55.25	08/04/20	68.97	13.72 W	0.00	28 of 74 - Sale <sup>25</sup>
	4.000	55.25	08/04/20	68.98	13.73 W	0.00	29 of 74 - Sale <sup>25</sup>
	4.379	60.49	08/04/20	78.71	18.22 W	0.00	30 of 74 - Sale <sup>25</sup>
	4.621	63.82	08/04/20	79.64	15.82 W	0.00	31 of 74 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
4.758		65.72	08/04/20	82.03	16.31 W	0.00	32 of 74 - Sale <sup>25</sup>
5.000		69.06	08/04/20	87.47	18.41 W	0.00	33 of 74 - Sale <sup>25</sup>
5.000		69.06	08/04/20	89.76	20.70 W	0.00	34 of 74 - Sale <sup>25</sup>
6.862		94.78	08/04/20	123.63	28.85 W	0.00	35 of 74 - Sale <sup>25</sup>
7.758		107.16	08/04/20	138.11	30.95 W	0.00	36 of 74 - Sale <sup>25</sup>
8.138		112.40	08/04/20	147.30	34.90 W	0.00	37 of 74 - Sale <sup>25</sup>
1.517		20.95	08/04/20	29.61	8.66 W	0.00	38 of 74 - Sale <sup>25</sup>
2.483		34.30	08/04/20	48.47	14.17 W	0.00	39 of 74 - Sale <sup>25</sup>
3.242		44.77	08/04/20	58.27	13.50 W	0.00	40 of 74 - Sale <sup>25</sup>
3.758		51.91	08/04/20	74.25	22.34 W	0.00	41 of 74 - Sale <sup>25</sup>
4.000		55.25	08/04/20	71.90	16.65 W	0.00	42 of 74 - Sale <sup>25</sup>
0.621		8.57	08/04/20	12.56	3.99 W	0.00	43 of 74 - Sale <sup>25</sup>
2.517		34.76	08/04/20	48.99	14.23 W	0.00	44 of 74 - Sale <sup>25</sup>
2.862		39.53	08/04/20	55.88	16.35 W	0.00	45 of 74 - Sale <sup>25</sup>
5.000		69.06	08/04/20	98.48	29.42 W	0.00	46 of 74 - Sale <sup>25</sup>
10.000		138.12	08/04/20	174.97	36.85 W	0.00	47 of 74 - Sale <sup>25</sup>
14.000		193.37	08/04/20	247.78	54.41 W	0.00	48 of 74 - Sale <sup>25</sup>
2.994		41.35	08/04/20	52.87	11.52 W	0.00	49 of 74 - Sale <sup>25</sup>
3.006		41.52	08/04/20	53.11	11.59 W	0.00	50 of 74 - Sale <sup>25</sup>
5.000		69.06	08/04/20	87.44	18.38 W	0.00	51 of 74 - Sale <sup>25</sup>
25.000		345.32	08/04/20	412.91	67.59 W	0.00	52 of 74 - Sale <sup>25</sup>
14.000		193.38	08/04/20	207.11	13.73 W	0.00	53 of 74 - Sale <sup>25</sup>
5.000		68.81	08/04/20	72.54	3.73 W	0.00	54 of 74 - Sale <sup>25</sup>
39.000		536.72	08/04/20	559.29	22.57 W	0.00	55 of 74 - Sale <sup>25</sup>
56.000		770.67	08/04/20	820.74	50.07 W	0.00	56 of 74 - Sale <sup>25</sup>
50.000		688.10	08/04/20	723.41	35.31 W	0.00	57 of 74 - Sale <sup>25</sup>
0.621		8.42	08/04/20	10.01	1.59 W	0.00	58 of 74 - Sale <sup>25</sup>
1.000		13.57	08/04/20	16.38	2.81 W	0.00	59 of 74 - Sale <sup>25</sup>
4.000		54.28	08/04/20	65.29	11.01 W	0.00	60 of 74 - Sale <sup>25</sup>
4.379		59.42	08/04/20	71.45	12.03 W	0.00	61 of 74 - Sale <sup>25</sup>
5.000		67.85	08/04/20	80.63	12.78 W	0.00	62 of 74 - Sale <sup>25</sup>
10.000		135.70	08/04/20	161.33	25.63 W	0.00	63 of 74 - Sale <sup>25</sup>
0.621		8.42	08/04/20	9.99	1.57 W	0.00	64 of 74 - Sale <sup>25</sup>
5.000		67.85	08/04/20	78.37	10.52 W	0.00	65 of 74 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.006	0.08	08/04/20	0.09	0.01 W	0.00	66 of 74 - Sale <sup>25</sup>
	0.379	5.15	08/04/20	6.89	1.74 W	0.00	67 of 74 - Sale <sup>25</sup>
	1.242	16.85	08/04/20	21.05	4.20 W	0.00	68 of 74 - Sale <sup>25</sup>
	3.758	51.00	08/04/20	68.89	17.89 W	0.00	69 of 74 - Sale <sup>25</sup>
	4.379	59.42	08/04/20	71.16	11.74 W	0.00	70 of 74 - Sale <sup>25</sup>
	4.615	62.62	08/04/20	83.99	21.37 W	0.00	71 of 74 - Sale <sup>25</sup>
	5.000	67.85	08/04/20	84.86	17.01 W	0.00	72 of 74 - Sale <sup>25</sup>
	11.000	149.27	08/04/20	154.34	5.07 W	0.00	73 of 74 - Sale <sup>25</sup>
	39.000	529.21	08/04/20	551.48	22.27 W	0.00	74 of 74 - Sale <sup>25</sup>
08/04/20	450.000	6,183.69	Various	7,161.75	978.06 W	0.00	Total of 74 transactions
<i>64 transactions for 08/11/20. Total proceeds and cost reported to the IRS.</i>							
	15.000	204.93	08/10/20	220.81	15.88 W	0.00	1 of 64 - Sale <sup>25</sup>
	0.621	8.48	08/10/20	9.74	1.26 W	0.00	2 of 64 - Sale <sup>25</sup>
	1.000	13.66	08/10/20	16.68	3.02 W	0.00	3 of 64 - Sale <sup>25</sup>
	3.379	46.17	08/10/20	55.98	9.81 W	0.00	4 of 64 - Sale <sup>25</sup>
	3.379	46.17	08/10/20	54.54	8.37 W	0.00	5 of 64 - Sale <sup>25</sup>
	4.379	59.83	08/10/20	68.61	8.78 W	0.00	6 of 64 - Sale <sup>25</sup>
	5.000	68.31	08/10/20	82.86	14.55 W	0.00	7 of 64 - Sale <sup>25</sup>
	5.000	68.31	08/10/20	79.91	11.60 W	0.00	8 of 64 - Sale <sup>25</sup>
	5.621	76.79	08/10/20	94.62	17.83 W	0.00	9 of 64 - Sale <sup>25</sup>
	5.621	76.79	08/10/20	91.90	15.11 W	0.00	10 of 64 - Sale <sup>25</sup>
	21.000	286.91	08/10/20	309.97	23.06 W	0.00	11 of 64 - Sale <sup>25</sup>
	0.242	3.30	08/10/20	4.29	0.99 W	0.00	12 of 64 - Sale <sup>25</sup>
	0.379	5.18	08/10/20	6.71	1.53 W	0.00	13 of 64 - Sale <sup>25</sup>
	0.621	8.48	08/10/20	10.09	1.61 W	0.00	14 of 64 - Sale <sup>25</sup>
	1.000	13.66	08/10/20	16.16	2.50 W	0.00	15 of 64 - Sale <sup>25</sup>
	5.000	68.31	08/10/20	80.99	12.68 W	0.00	16 of 64 - Sale <sup>25</sup>
	0.242	3.30	08/10/20	4.44	1.14 W	0.00	17 of 64 - Sale <sup>25</sup>
	0.379	5.18	08/10/20	6.71	1.53 W	0.00	18 of 64 - Sale <sup>25</sup>
	0.379	5.18	08/10/20	6.59	1.41 W	0.00	19 of 64 - Sale <sup>25</sup>
	0.379	5.18	08/10/20	6.96	1.78 W	0.00	20 of 64 - Sale <sup>25</sup>
	0.621	8.48	08/10/20	10.32	1.84 W	0.00	21 of 64 - Sale <sup>25</sup>
	0.621	8.48	08/10/20	11.62	3.14 W	0.00	22 of 64 - Sale <sup>25</sup>
	1.000	13.66	08/10/20	17.77	4.11 W	0.00	23 of 64 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.242	16.96	08/10/20	23.19	6.23 W	0.00	24 of 64 - Sale <sup>25</sup>
	1.242	16.96	08/10/20	23.23	6.27 W	0.00	25 of 64 - Sale <sup>25</sup>
	1.242	16.96	08/10/20	22.02	5.06 W	0.00	26 of 64 - Sale <sup>25</sup>
	1.275	17.42	08/10/20	22.25	4.83 W	0.00	27 of 64 - Sale <sup>25</sup>
	1.517	20.72	08/10/20	26.33	5.61 W	0.00	28 of 64 - Sale <sup>25</sup>
	2.483	33.92	08/10/20	46.11	12.19 W	0.00	29 of 64 - Sale <sup>25</sup>
	2.483	33.92	08/10/20	44.00	10.08 W	0.00	30 of 64 - Sale <sup>25</sup>
	2.517	34.39	08/10/20	47.85	13.46 W	0.00	31 of 64 - Sale <sup>25</sup>
	2.758	37.69	08/10/20	50.87	13.18 W	0.00	32 of 64 - Sale <sup>25</sup>
	4.000	54.65	08/10/20	70.84	16.19 W	0.00	33 of 64 - Sale <sup>25</sup>
	4.000	54.65	08/10/20	70.85	16.20 W	0.00	34 of 64 - Sale <sup>25</sup>
	4.621	63.13	08/10/20	81.80	18.67 W	0.00	35 of 64 - Sale <sup>25</sup>
	4.758	65.01	08/10/20	84.26	19.25 W	0.00	36 of 64 - Sale <sup>25</sup>
	5.000	68.31	08/10/20	89.81	21.50 W	0.00	37 of 64 - Sale <sup>25</sup>
	5.000	68.31	08/10/20	92.10	23.79 W	0.00	38 of 64 - Sale <sup>25</sup>
	6.862	93.76	08/10/20	126.84	33.08 W	0.00	39 of 64 - Sale <sup>25</sup>
	8.138	111.18	08/10/20	151.11	39.93 W	0.00	40 of 64 - Sale <sup>25</sup>
	0.621	8.48	08/10/20	11.49	3.01 W	0.00	41 of 64 - Sale <sup>25</sup>
	0.621	8.48	08/10/20	12.90	4.42 W	0.00	42 of 64 - Sale <sup>25</sup>
	1.517	20.72	08/10/20	30.43	9.71 W	0.00	43 of 64 - Sale <sup>25</sup>
	1.621	22.14	08/10/20	30.00	7.86 W	0.00	44 of 64 - Sale <sup>25</sup>
	2.483	33.92	08/10/20	49.80	15.88 W	0.00	45 of 64 - Sale <sup>25</sup>
	2.517	34.39	08/10/20	50.35	15.96 W	0.00	46 of 64 - Sale <sup>25</sup>
	2.862	39.11	08/10/20	57.42	18.31 W	0.00	47 of 64 - Sale <sup>25</sup>
	3.242	44.29	08/10/20	60.02	15.73 W	0.00	48 of 64 - Sale <sup>25</sup>
	3.758	51.35	08/10/20	76.27	24.92 W	0.00	49 of 64 - Sale <sup>25</sup>
	4.000	54.65	08/10/20	74.05	19.40 W	0.00	50 of 64 - Sale <sup>25</sup>
	5.000	68.31	08/10/20	101.17	32.86 W	0.00	51 of 64 - Sale <sup>25</sup>
	7.758	106.00	08/10/20	142.28	36.28 W	0.00	52 of 64 - Sale <sup>25</sup>
	10.000	136.62	08/10/20	180.35	43.73 W	0.00	53 of 64 - Sale <sup>25</sup>
	14.000	191.27	08/10/20	255.31	64.04 W	0.00	54 of 64 - Sale <sup>25</sup>
	2.994	40.90	08/10/20	54.60	13.70 W	0.00	55 of 64 - Sale <sup>25</sup>
	3.006	41.07	08/10/20	54.85	13.78 W	0.00	56 of 64 - Sale <sup>25</sup>
	5.000	68.31	08/10/20	90.33	22.02 W	0.00	57 of 64 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.000	13.66	08/10/20	17.09	3.43 W	0.00	58 of 64 - Sale <sup>25</sup>
	24.000	327.90	08/10/20	410.25	82.35 W	0.00	59 of 64 - Sale <sup>25</sup>
	1.000	13.66	08/10/20	14.97	1.31 W	0.00	60 of 64 - Sale <sup>25</sup>
	5.000	68.31	08/10/20	75.68	7.37 W	0.00	61 of 64 - Sale <sup>25</sup>
	14.000	191.27	08/10/20	215.19	23.92 W	0.00	62 of 64 - Sale <sup>25</sup>
	56.000	765.10	08/10/20	855.91	90.81 W	0.00	63 of 64 - Sale <sup>25</sup>
	13.000	177.61	08/10/20	194.59	16.98 W	0.00	64 of 64 - Sale <sup>25</sup>
08/11/20	325.000	4,440.20	Various	5,457.03	1,016.83 W	0.00	Total of 64 transactions
	2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.						
	10.000	128.70	08/11/20	138.46	9.76 W	0.00	1 of 2 - Sale <sup>25</sup>
	25.000	321.76	08/11/20	342.97	21.21 W	0.00	2 of 2 - Sale <sup>25</sup>
08/12/20	35.000	450.46	Various	481.43	30.97 W	0.00	Total of 2 transactions
	4 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	0.621	8.32	08/12/20	9.86	1.54 W	0.00	1 of 4 - Sale <sup>25</sup>
	4.379	58.69	08/12/20	69.60	10.91 W	0.00	2 of 4 - Sale <sup>25</sup>
	5.000	67.01	08/12/20	79.43	12.42 W	0.00	3 of 4 - Sale <sup>25</sup>
	40.000	536.09	08/12/20	561.45	25.36 W	0.00	4 of 4 - Sale <sup>25</sup>
08/13/20	50.000	670.11	Various	720.34	50.23 W	0.00	Total of 4 transactions
	169 transactions for 08/21/20. Total proceeds and cost reported to the IRS.						
	1.000	14.12	08/21/20	17.02	2.90 W	0.00	1 of 169 - Sale <sup>25</sup>
	4.000	56.49	08/21/20	67.84	11.35 W	0.00	2 of 169 - Sale <sup>25</sup>
	4.379	61.84	08/21/20	74.25	12.41 W	0.00	3 of 169 - Sale <sup>25</sup>
	5.621	79.38	08/21/20	94.27	14.89 W	0.00	4 of 169 - Sale <sup>25</sup>
	0.242	3.41	08/21/20	4.42	1.01 W	0.00	5 of 169 - Sale <sup>25</sup>
	0.379	5.36	08/21/20	6.92	1.56 W	0.00	6 of 169 - Sale <sup>25</sup>
	0.621	8.77	08/21/20	10.39	1.62 W	0.00	7 of 169 - Sale <sup>25</sup>
	0.621	8.77	08/21/20	10.08	1.31 W	0.00	8 of 169 - Sale <sup>25</sup>
	0.621	8.77	08/21/20	10.43	1.66 W	0.00	9 of 169 - Sale <sup>25</sup>
	1.000	14.12	08/21/20	17.23	3.11 W	0.00	10 of 169 - Sale <sup>25</sup>
	1.000	14.12	08/21/20	16.71	2.59 W	0.00	11 of 169 - Sale <sup>25</sup>
	3.379	47.72	08/21/20	57.82	10.10 W	0.00	12 of 169 - Sale <sup>25</sup>
	3.379	47.72	08/21/20	56.38	8.66 W	0.00	13 of 169 - Sale <sup>25</sup>
	4.379	61.84	08/21/20	71.00	9.16 W	0.00	14 of 169 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	5.000	70.61	08/21/20	81.56	10.95 W	0.00	15 of 169 - Sale <sup>25</sup>
	5.000	70.61	08/21/20	85.59	14.98 W	0.00	16 of 169 - Sale <sup>25</sup>
	5.000	70.61	08/21/20	82.64	12.03 W	0.00	17 of 169 - Sale <sup>25</sup>
	5.000	70.61	08/21/20	83.72	13.11 W	0.00	18 of 169 - Sale <sup>25</sup>
	5.621	79.38	08/21/20	97.69	18.31 W	0.00	19 of 169 - Sale <sup>25</sup>
	5.621	79.38	08/21/20	94.97	15.59 W	0.00	20 of 169 - Sale <sup>25</sup>
	0.006	0.09	08/21/20	0.10	0.01 W	0.00	21 of 169 - Sale <sup>25</sup>
	0.242	3.41	08/21/20	4.57	1.16 W	0.00	22 of 169 - Sale <sup>25</sup>
	0.379	5.36	08/21/20	7.13	1.77 W	0.00	23 of 169 - Sale <sup>25</sup>
	0.379	5.36	08/21/20	6.92	1.56 W	0.00	24 of 169 - Sale <sup>25</sup>
	0.379	5.36	08/21/20	6.80	1.44 W	0.00	25 of 169 - Sale <sup>25</sup>
	0.379	5.36	08/21/20	7.17	1.81 W	0.00	26 of 169 - Sale <sup>25</sup>
	0.621	8.77	08/21/20	10.66	1.89 W	0.00	27 of 169 - Sale <sup>25</sup>
	0.621	8.77	08/21/20	11.96	3.19 W	0.00	28 of 169 - Sale <sup>25</sup>
	0.621	8.77	08/21/20	11.83	3.06 W	0.00	29 of 169 - Sale <sup>25</sup>
	0.621	8.77	08/21/20	13.24	4.47 W	0.00	30 of 169 - Sale <sup>25</sup>
	1.000	14.12	08/21/20	18.32	4.20 W	0.00	31 of 169 - Sale <sup>25</sup>
	1.242	17.53	08/21/20	21.84	4.31 W	0.00	32 of 169 - Sale <sup>25</sup>
	1.242	17.53	08/21/20	23.87	6.34 W	0.00	33 of 169 - Sale <sup>25</sup>
	1.242	17.53	08/21/20	23.91	6.38 W	0.00	34 of 169 - Sale <sup>25</sup>
	1.242	17.53	08/21/20	22.70	5.17 W	0.00	35 of 169 - Sale <sup>25</sup>
	1.275	18.01	08/21/20	22.95	4.94 W	0.00	36 of 169 - Sale <sup>25</sup>
	1.517	21.42	08/21/20	27.16	5.74 W	0.00	37 of 169 - Sale <sup>25</sup>
	1.517	21.42	08/21/20	31.26	9.84 W	0.00	38 of 169 - Sale <sup>25</sup>
	1.621	22.89	08/21/20	30.89	8.00 W	0.00	39 of 169 - Sale <sup>25</sup>
	2.483	35.07	08/21/20	47.47	12.40 W	0.00	40 of 169 - Sale <sup>25</sup>
	2.483	35.07	08/21/20	45.36	10.29 W	0.00	41 of 169 - Sale <sup>25</sup>
	2.483	35.07	08/21/20	51.16	16.09 W	0.00	42 of 169 - Sale <sup>25</sup>
	2.517	35.54	08/21/20	49.22	13.68 W	0.00	43 of 169 - Sale <sup>25</sup>
	2.517	35.54	08/21/20	51.72	16.18 W	0.00	44 of 169 - Sale <sup>25</sup>
	2.758	38.95	08/21/20	52.37	13.42 W	0.00	45 of 169 - Sale <sup>25</sup>
	2.862	40.42	08/21/20	58.98	18.56 W	0.00	46 of 169 - Sale <sup>25</sup>
	3.242	45.78	08/21/20	61.78	16.00 W	0.00	47 of 169 - Sale <sup>25</sup>
	3.758	53.08	08/21/20	71.29	18.21 W	0.00	48 of 169 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	3.758	53.08	08/21/20	78.32	25.24 W	0.00	49 of 169 - Sale <sup>25</sup>
	4.000	56.49	08/21/20	73.02	16.53 W	0.00	50 of 169 - Sale <sup>25</sup>
	4.000	56.49	08/21/20	73.03	16.54 W	0.00	51 of 169 - Sale <sup>25</sup>
	4.000	56.49	08/21/20	76.23	19.74 W	0.00	52 of 169 - Sale <sup>25</sup>
	4.379	61.84	08/21/20	73.96	12.12 W	0.00	53 of 169 - Sale <sup>25</sup>
	4.615	65.17	08/21/20	86.93	21.76 W	0.00	54 of 169 - Sale <sup>25</sup>
	4.621	65.25	08/21/20	84.32	19.07 W	0.00	55 of 169 - Sale <sup>25</sup>
	4.758	67.20	08/21/20	86.85	19.65 W	0.00	56 of 169 - Sale <sup>25</sup>
	5.000	70.61	08/21/20	88.05	17.44 W	0.00	57 of 169 - Sale <sup>25</sup>
	5.000	70.61	08/21/20	92.54	21.93 W	0.00	58 of 169 - Sale <sup>25</sup>
	5.000	70.61	08/21/20	94.83	24.22 W	0.00	59 of 169 - Sale <sup>25</sup>
	5.000	70.61	08/21/20	103.90	33.29 W	0.00	60 of 169 - Sale <sup>25</sup>
	6.862	96.91	08/21/20	130.58	33.67 W	0.00	61 of 169 - Sale <sup>25</sup>
	7.758	109.56	08/21/20	146.51	36.95 W	0.00	62 of 169 - Sale <sup>25</sup>
	8.138	114.92	08/21/20	155.54	40.62 W	0.00	63 of 169 - Sale <sup>25</sup>
	2.994	42.28	08/21/20	56.23	13.95 W	0.00	64 of 169 - Sale <sup>25</sup>
	3.006	42.45	08/21/20	56.49	14.04 W	0.00	65 of 169 - Sale <sup>25</sup>
	5.000	70.61	08/21/20	93.06	22.45 W	0.00	66 of 169 - Sale <sup>25</sup>
	10.000	141.22	08/21/20	185.80	44.58 W	0.00	67 of 169 - Sale <sup>25</sup>
	14.000	197.71	08/21/20	262.94	65.23 W	0.00	68 of 169 - Sale <sup>25</sup>
	1.000	14.12	08/21/20	17.64	3.52 W	0.00	69 of 169 - Sale <sup>25</sup>
	24.000	338.92	08/21/20	423.32	84.40 W	0.00	70 of 169 - Sale <sup>25</sup>
	1.000	14.12	08/21/20	15.52	1.40 W	0.00	71 of 169 - Sale <sup>25</sup>
	5.000	70.61	08/21/20	78.41	7.80 W	0.00	72 of 169 - Sale <sup>25</sup>
	10.000	141.22	08/21/20	150.55	9.33 W	0.00	73 of 169 - Sale <sup>25</sup>
	13.000	183.58	08/21/20	201.67	18.09 W	0.00	74 of 169 - Sale <sup>25</sup>
	14.000	197.70	08/21/20	222.82	25.12 W	0.00	75 of 169 - Sale <sup>25</sup>
	15.000	211.83	08/21/20	228.99	17.16 W	0.00	76 of 169 - Sale <sup>25</sup>
	21.000	296.56	08/21/20	321.42	24.86 W	0.00	77 of 169 - Sale <sup>25</sup>
	56.000	790.82	08/21/20	886.41	95.59 W	0.00	78 of 169 - Sale <sup>25</sup>
	11.000	155.34	08/21/20	161.35	6.01 W	0.00	79 of 169 - Sale <sup>25</sup>
	39.000	550.75	08/21/20	576.36	25.61 W	0.00	80 of 169 - Sale <sup>25</sup>
	0.621	8.79	08/21/20	10.30	1.51 W	0.00	81 of 169 - Sale <sup>25</sup>
	4.379	61.98	08/21/20	72.69	10.71 W	0.00	82 of 169 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	5.000	70.76	08/21/20	82.96	12.20 W	0.00	83 of 169 - Sale <sup>25</sup>
	1.000	14.15	08/21/20	17.01	2.86 W	0.00	84 of 169 - Sale <sup>25</sup>
	4.000	56.61	08/21/20	67.78	11.17 W	0.00	85 of 169 - Sale <sup>25</sup>
	4.379	61.98	08/21/20	74.19	12.21 W	0.00	86 of 169 - Sale <sup>25</sup>
	5.621	79.55	08/21/20	94.18	14.63 W	0.00	87 of 169 - Sale <sup>25</sup>
	0.242	3.42	08/21/20	4.42	1.00 W	0.00	88 of 169 - Sale <sup>25</sup>
	0.379	5.37	08/21/20	6.91	1.54 W	0.00	89 of 169 - Sale <sup>25</sup>
	0.621	8.79	08/21/20	10.38	1.59 W	0.00	90 of 169 - Sale <sup>25</sup>
	0.621	8.79	08/21/20	10.07	1.28 W	0.00	91 of 169 - Sale <sup>25</sup>
	0.621	8.79	08/21/20	10.42	1.63 W	0.00	92 of 169 - Sale <sup>25</sup>
	1.000	14.15	08/21/20	17.22	3.07 W	0.00	93 of 169 - Sale <sup>25</sup>
	1.000	14.15	08/21/20	16.70	2.55 W	0.00	94 of 169 - Sale <sup>25</sup>
	3.379	47.82	08/21/20	57.77	9.95 W	0.00	95 of 169 - Sale <sup>25</sup>
	3.379	47.82	08/21/20	56.33	8.51 W	0.00	96 of 169 - Sale <sup>25</sup>
	4.379	61.98	08/21/20	70.94	8.96 W	0.00	97 of 169 - Sale <sup>25</sup>
	5.000	70.76	08/21/20	81.49	10.73 W	0.00	98 of 169 - Sale <sup>25</sup>
	5.000	70.76	08/21/20	85.52	14.76 W	0.00	99 of 169 - Sale <sup>25</sup>
	5.000	70.76	08/21/20	82.57	11.81 W	0.00	100 of 169 - Sale <sup>25</sup>
	5.000	70.76	08/21/20	83.65	12.89 W	0.00	101 of 169 - Sale <sup>25</sup>
	5.621	79.55	08/21/20	97.60	18.05 W	0.00	102 of 169 - Sale <sup>25</sup>
	5.621	79.55	08/21/20	94.88	15.33 W	0.00	103 of 169 - Sale <sup>25</sup>
	0.006	0.09	08/21/20	0.10	0.01 W	0.00	104 of 169 - Sale <sup>25</sup>
	0.242	3.42	08/21/20	4.57	1.15 W	0.00	105 of 169 - Sale <sup>25</sup>
	0.379	5.37	08/21/20	7.12	1.75 W	0.00	106 of 169 - Sale <sup>25</sup>
	0.379	5.37	08/21/20	6.91	1.54 W	0.00	107 of 169 - Sale <sup>25</sup>
	0.379	5.37	08/21/20	6.79	1.42 W	0.00	108 of 169 - Sale <sup>25</sup>
	0.379	5.37	08/21/20	7.16	1.79 W	0.00	109 of 169 - Sale <sup>25</sup>
	0.621	8.79	08/21/20	10.65	1.86 W	0.00	110 of 169 - Sale <sup>25</sup>
	0.621	8.79	08/21/20	11.95	3.16 W	0.00	111 of 169 - Sale <sup>25</sup>
	0.621	8.79	08/21/20	11.82	3.03 W	0.00	112 of 169 - Sale <sup>25</sup>
	0.621	8.79	08/21/20	13.23	4.44 W	0.00	113 of 169 - Sale <sup>25</sup>
	1.000	14.15	08/21/20	18.31	4.16 W	0.00	114 of 169 - Sale <sup>25</sup>
	1.242	17.57	08/21/20	21.83	4.26 W	0.00	115 of 169 - Sale <sup>25</sup>
	1.242	17.57	08/21/20	23.85	6.28 W	0.00	116 of 169 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.242	17.57	08/21/20	23.90	6.33 W	0.00	117 of 169 - Sale <sup>25</sup>
	1.242	17.57	08/21/20	22.68	5.11 W	0.00	118 of 169 - Sale <sup>25</sup>
	1.275	18.05	08/21/20	22.93	4.88 W	0.00	119 of 169 - Sale <sup>25</sup>
	1.517	21.47	08/21/20	27.14	5.67 W	0.00	120 of 169 - Sale <sup>25</sup>
	1.517	21.47	08/21/20	31.24	9.77 W	0.00	121 of 169 - Sale <sup>25</sup>
	1.621	22.94	08/21/20	30.86	7.92 W	0.00	122 of 169 - Sale <sup>25</sup>
	2.483	35.14	08/21/20	47.43	12.29 W	0.00	123 of 169 - Sale <sup>25</sup>
	2.483	35.14	08/21/20	45.32	10.18 W	0.00	124 of 169 - Sale <sup>25</sup>
	2.483	35.14	08/21/20	51.12	15.98 W	0.00	125 of 169 - Sale <sup>25</sup>
	2.517	35.62	08/21/20	49.19	13.57 W	0.00	126 of 169 - Sale <sup>25</sup>
	2.517	35.62	08/21/20	51.69	16.07 W	0.00	127 of 169 - Sale <sup>25</sup>
	2.758	39.04	08/21/20	52.33	13.29 W	0.00	128 of 169 - Sale <sup>25</sup>
	2.862	40.51	08/21/20	58.94	18.43 W	0.00	129 of 169 - Sale <sup>25</sup>
	3.242	45.88	08/21/20	61.73	15.85 W	0.00	130 of 169 - Sale <sup>25</sup>
	3.758	53.19	08/21/20	71.23	18.04 W	0.00	131 of 169 - Sale <sup>25</sup>
	3.758	53.19	08/21/20	78.26	25.07 W	0.00	132 of 169 - Sale <sup>25</sup>
	4.000	56.61	08/21/20	72.96	16.35 W	0.00	133 of 169 - Sale <sup>25</sup>
	4.000	56.61	08/21/20	72.97	16.36 W	0.00	134 of 169 - Sale <sup>25</sup>
	4.000	56.61	08/21/20	76.17	19.56 W	0.00	135 of 169 - Sale <sup>25</sup>
	4.379	61.98	08/21/20	73.90	11.92 W	0.00	136 of 169 - Sale <sup>25</sup>
	4.615	65.31	08/21/20	86.86	21.55 W	0.00	137 of 169 - Sale <sup>25</sup>
	4.621	65.39	08/21/20	84.26	18.87 W	0.00	138 of 169 - Sale <sup>25</sup>
	4.758	67.34	08/21/20	86.78	19.44 W	0.00	139 of 169 - Sale <sup>25</sup>
	5.000	70.76	08/21/20	87.98	17.22 W	0.00	140 of 169 - Sale <sup>25</sup>
	5.000	70.76	08/21/20	92.47	21.71 W	0.00	141 of 169 - Sale <sup>25</sup>
	5.000	70.76	08/21/20	94.76	24.00 W	0.00	142 of 169 - Sale <sup>25</sup>
	5.000	70.76	08/21/20	103.83	33.07 W	0.00	143 of 169 - Sale <sup>25</sup>
	6.862	97.12	08/21/20	130.48	33.36 W	0.00	144 of 169 - Sale <sup>25</sup>
	7.758	109.80	08/21/20	146.40	36.60 W	0.00	145 of 169 - Sale <sup>25</sup>
	8.138	115.17	08/21/20	155.42	40.25 W	0.00	146 of 169 - Sale <sup>25</sup>
	2.994	42.37	08/21/20	56.18	13.81 W	0.00	147 of 169 - Sale <sup>25</sup>
	3.006	42.54	08/21/20	56.45	13.91 W	0.00	148 of 169 - Sale <sup>25</sup>
	5.000	70.76	08/21/20	92.99	22.23 W	0.00	149 of 169 - Sale <sup>25</sup>
	10.000	141.52	08/21/20	185.65	44.13 W	0.00	150 of 169 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	14.000	198.13	08/21/20	262.73	64.60 W	0.00	151 of 169 - Sale <sup>25</sup>
	1.000	14.15	08/21/20	17.63	3.48 W	0.00	152 of 169 - Sale <sup>25</sup>
	24.000	339.65	08/21/20	422.98	83.33 W	0.00	153 of 169 - Sale <sup>25</sup>
	1.000	14.15	08/21/20	15.51	1.36 W	0.00	154 of 169 - Sale <sup>25</sup>
	5.000	70.76	08/21/20	78.34	7.58 W	0.00	155 of 169 - Sale <sup>25</sup>
	10.000	141.52	08/21/20	150.84	9.32 W	0.00	156 of 169 - Sale <sup>25</sup>
	10.000	141.52	08/21/20	150.40	8.88 W	0.00	157 of 169 - Sale <sup>25</sup>
	13.000	183.98	08/21/20	201.49	17.51 W	0.00	158 of 169 - Sale <sup>25</sup>
	14.000	198.13	08/21/20	222.62	24.49 W	0.00	159 of 169 - Sale <sup>25</sup>
	15.000	212.28	08/21/20	224.34	12.06 W	0.00	160 of 169 - Sale <sup>25</sup>
	15.000	212.28	08/21/20	228.77	16.49 W	0.00	161 of 169 - Sale <sup>25</sup>
	21.000	297.20	08/21/20	321.12	23.92 W	0.00	162 of 169 - Sale <sup>25</sup>
	40.000	566.09	08/21/20	589.66	23.57 W	0.00	163 of 169 - Sale <sup>25</sup>
	56.000	792.53	08/21/20	885.60	93.07 W	0.00	164 of 169 - Sale <sup>25</sup>
	11.000	155.68	08/21/20	161.19	5.51 W	0.00	165 of 169 - Sale <sup>25</sup>
	39.000	551.94	08/21/20	575.80	23.86 W	0.00	166 of 169 - Sale <sup>25</sup>
	40.000	566.10	08/21/20	567.50	1.40 W	0.00	167 of 169 - Sale <sup>25</sup>
	30.000	424.57	08/21/20	425.70	1.13 W	0.00	168 of 169 - Sale <sup>25</sup>
	30.000	424.57	08/21/20	425.40	0.83 W	0.00	169 of 169 - Sale <sup>25</sup>
08/21/20	1,025.000	14,493.25	Various	16,977.54	2,484.29 W	0.00	Total of 169 transactions
110 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	0.621	9.00	08/24/20	10.60	1.60 W	0.00	1 of 110 - Sale <sup>25</sup>
	2.379	34.50	08/24/20	40.67	6.17 W	0.00	2 of 110 - Sale <sup>25</sup>
	2.621	38.00	08/24/20	44.41	6.41 W	0.00	3 of 110 - Sale <sup>25</sup>
	4.379	63.50	08/24/20	74.25	10.75 W	0.00	4 of 110 - Sale <sup>25</sup>
	3.000	43.50	08/24/20	51.33	7.83 W	0.00	5 of 110 - Sale <sup>25</sup>
	1.000	14.50	08/24/20	17.13	2.63 W	0.00	6 of 110 - Sale <sup>25</sup>
	0.242	3.50	08/24/20	4.69	1.19 W	0.00	7 of 110 - Sale <sup>25</sup>
	0.379	5.50	08/24/20	6.98	1.48 W	0.00	8 of 110 - Sale <sup>25</sup>
	0.379	5.50	08/24/20	7.35	1.85 W	0.00	9 of 110 - Sale <sup>25</sup>
	0.621	9.00	08/24/20	10.95	1.95 W	0.00	10 of 110 - Sale <sup>25</sup>
	0.621	9.00	08/24/20	12.25	3.25 W	0.00	11 of 110 - Sale <sup>25</sup>
	0.896	12.99	08/24/20	17.56	4.57 W	0.00	12 of 110 - Sale <sup>25</sup>
	1.517	21.99	08/24/20	27.89	5.90 W	0.00	13 of 110 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	3.725	54.01	08/24/20	69.80	15.79 W	0.00	14 of 110 - Sale <sup>25</sup>
	4.758	69.00	08/24/20	89.15	20.15 W	0.00	15 of 110 - Sale <sup>25</sup>
	5.000	72.50	08/24/20	94.96	22.46 W	0.00	16 of 110 - Sale <sup>25</sup>
	6.862	99.50	08/24/20	133.89	34.39 W	0.00	17 of 110 - Sale <sup>25</sup>
	0.621	9.00	08/24/20	12.12	3.12 W	0.00	18 of 110 - Sale <sup>25</sup>
	0.621	9.00	08/24/20	13.53	4.53 W	0.00	19 of 110 - Sale <sup>25</sup>
	1.517	21.99	08/24/20	31.99	10.00 W	0.00	20 of 110 - Sale <sup>25</sup>
	1.621	23.50	08/24/20	31.66	8.16 W	0.00	21 of 110 - Sale <sup>25</sup>
	2.483	36.00	08/24/20	52.36	16.36 W	0.00	22 of 110 - Sale <sup>25</sup>
	2.517	36.49	08/24/20	52.94	16.45 W	0.00	23 of 110 - Sale <sup>25</sup>
	2.758	40.00	08/24/20	53.70	13.70 W	0.00	24 of 110 - Sale <sup>25</sup>
	2.862	41.50	08/24/20	60.36	18.86 W	0.00	25 of 110 - Sale <sup>25</sup>
	3.242	47.00	08/24/20	63.34	16.34 W	0.00	26 of 110 - Sale <sup>25</sup>
	3.758	54.50	08/24/20	80.13	25.63 W	0.00	27 of 110 - Sale <sup>25</sup>
	4.000	58.00	08/24/20	78.16	20.16 W	0.00	28 of 110 - Sale <sup>25</sup>
	5.000	72.50	08/24/20	97.25	24.75 W	0.00	29 of 110 - Sale <sup>25</sup>
	5.000	72.50	08/24/20	106.32	33.82 W	0.00	30 of 110 - Sale <sup>25</sup>
	7.242	105.00	08/24/20	141.91	36.91 W	0.00	31 of 110 - Sale <sup>25</sup>
	7.758	112.49	08/24/20	150.26	37.77 W	0.00	32 of 110 - Sale <sup>25</sup>
	2.994	43.41	08/24/20	57.67	14.26 W	0.00	33 of 110 - Sale <sup>25</sup>
	3.006	43.59	08/24/20	57.95	14.36 W	0.00	34 of 110 - Sale <sup>25</sup>
	5.000	72.50	08/24/20	95.48	22.98 W	0.00	35 of 110 - Sale <sup>25</sup>
	10.000	145.00	08/24/20	190.63	45.63 W	0.00	36 of 110 - Sale <sup>25</sup>
	14.000	202.99	08/24/20	269.71	66.72 W	0.00	37 of 110 - Sale <sup>25</sup>
	1.000	14.50	08/24/20	18.13	3.63 W	0.00	38 of 110 - Sale <sup>25</sup>
	13.000	188.49	08/24/20	235.60	47.11 W	0.00	39 of 110 - Sale <sup>25</sup>
	1.000	14.50	08/24/20	17.51	3.01 W	0.00	40 of 110 - Sale <sup>25</sup>
	1.621	23.50	08/24/20	27.96	4.46 W	0.00	41 of 110 - Sale <sup>25</sup>
	4.000	58.00	08/24/20	69.77	11.77 W	0.00	42 of 110 - Sale <sup>25</sup>
	4.379	63.50	08/24/20	76.37	12.87 W	0.00	43 of 110 - Sale <sup>25</sup>
	0.242	3.50	08/24/20	4.54	1.04 W	0.00	44 of 110 - Sale <sup>25</sup>
	0.379	5.50	08/24/20	7.10	1.60 W	0.00	45 of 110 - Sale <sup>25</sup>
	0.621	9.00	08/24/20	10.68	1.68 W	0.00	46 of 110 - Sale <sup>25</sup>
	0.621	9.00	08/24/20	10.37	1.37 W	0.00	47 of 110 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.621	9.00	08/24/20	10.72	1.72 W	0.00	48 of 110 - Sale <sup>25</sup>
	1.000	14.50	08/24/20	17.72	3.22 W	0.00	49 of 110 - Sale <sup>25</sup>
	1.000	14.50	08/24/20	17.20	2.70 W	0.00	50 of 110 - Sale <sup>25</sup>
	3.379	49.00	08/24/20	59.46	10.46 W	0.00	51 of 110 - Sale <sup>25</sup>
	3.379	49.00	08/24/20	58.02	9.02 W	0.00	52 of 110 - Sale <sup>25</sup>
	4.379	63.50	08/24/20	73.12	9.62 W	0.00	53 of 110 - Sale <sup>25</sup>
	5.000	72.50	08/24/20	83.98	11.48 W	0.00	54 of 110 - Sale <sup>25</sup>
	5.000	72.50	08/24/20	88.01	15.51 W	0.00	55 of 110 - Sale <sup>25</sup>
	5.000	72.50	08/24/20	85.06	12.56 W	0.00	56 of 110 - Sale <sup>25</sup>
	5.000	72.50	08/24/20	86.14	13.64 W	0.00	57 of 110 - Sale <sup>25</sup>
	5.621	81.50	08/24/20	100.39	18.89 W	0.00	58 of 110 - Sale <sup>25</sup>
	5.621	81.50	08/24/20	97.67	16.17 W	0.00	59 of 110 - Sale <sup>25</sup>
	0.006	0.09	08/24/20	0.10	0.01 W	0.00	60 of 110 - Sale <sup>25</sup>
	0.275	3.99	08/24/20	5.16	1.17 W	0.00	61 of 110 - Sale <sup>25</sup>
	0.379	5.50	08/24/20	7.31	1.81 W	0.00	62 of 110 - Sale <sup>25</sup>
	0.379	5.50	08/24/20	7.10	1.60 W	0.00	63 of 110 - Sale <sup>25</sup>
	1.000	14.50	08/24/20	18.81	4.31 W	0.00	64 of 110 - Sale <sup>25</sup>
	1.242	18.00	08/24/20	22.45	4.45 W	0.00	65 of 110 - Sale <sup>25</sup>
	1.242	18.00	08/24/20	24.47	6.47 W	0.00	66 of 110 - Sale <sup>25</sup>
	1.242	18.00	08/24/20	24.52	6.52 W	0.00	67 of 110 - Sale <sup>25</sup>
	1.242	18.00	08/24/20	23.30	5.30 W	0.00	68 of 110 - Sale <sup>25</sup>
	1.275	18.49	08/24/20	23.56	5.07 W	0.00	69 of 110 - Sale <sup>25</sup>
	2.483	36.00	08/24/20	48.67	12.67 W	0.00	70 of 110 - Sale <sup>25</sup>
	2.483	36.00	08/24/20	46.56	10.56 W	0.00	71 of 110 - Sale <sup>25</sup>
	2.517	36.49	08/24/20	50.44	13.95 W	0.00	72 of 110 - Sale <sup>25</sup>
	3.758	54.50	08/24/20	73.10	18.60 W	0.00	73 of 110 - Sale <sup>25</sup>
	4.000	58.00	08/24/20	74.95	16.95 W	0.00	74 of 110 - Sale <sup>25</sup>
	4.379	63.50	08/24/20	76.08	12.58 W	0.00	75 of 110 - Sale <sup>25</sup>
	4.615	66.91	08/24/20	89.15	22.24 W	0.00	76 of 110 - Sale <sup>25</sup>
	4.621	67.00	08/24/20	86.56	19.56 W	0.00	77 of 110 - Sale <sup>25</sup>
	5.000	72.50	08/24/20	90.47	17.97 W	0.00	78 of 110 - Sale <sup>25</sup>
	11.000	159.50	08/24/20	199.89	40.39 W	0.00	79 of 110 - Sale <sup>25</sup>
	9.000	130.50	08/24/20	142.55	12.05 W	0.00	80 of 110 - Sale <sup>25</sup>
	10.000	145.00	08/24/20	156.32	11.32 W	0.00	81 of 110 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	15.000	217.49	08/24/20	232.56	15.07 W	0.00	82 of 110 - Sale <sup>25</sup>
	15.000	217.49	08/24/20	236.99	19.50 W	0.00	83 of 110 - Sale <sup>25</sup>
	40.000	579.98	08/24/20	611.57	31.59 W	0.00	84 of 110 - Sale <sup>25</sup>
	1.000	14.50	08/24/20	16.06	1.56 W	0.00	85 of 110 - Sale <sup>25</sup>
	5.000	72.50	08/24/20	81.08	8.58 W	0.00	86 of 110 - Sale <sup>25</sup>
	7.000	101.50	08/24/20	112.33	10.83 W	0.00	87 of 110 - Sale <sup>25</sup>
	12.000	173.99	08/24/20	190.07	16.08 W	0.00	88 of 110 - Sale <sup>25</sup>
	14.000	202.99	08/24/20	230.29	27.30 W	0.00	89 of 110 - Sale <sup>25</sup>
	56.000	811.97	08/24/20	916.27	104.30 W	0.00	90 of 110 - Sale <sup>25</sup>
	6.000	87.00	08/24/20	96.28	9.28 W	0.00	91 of 110 - Sale <sup>25</sup>
	10.000	145.00	08/24/20	155.88	10.88 W	0.00	92 of 110 - Sale <sup>25</sup>
	11.000	159.50	08/24/20	167.21	7.71 W	0.00	93 of 110 - Sale <sup>25</sup>
	39.000	565.48	08/24/20	597.16	31.68 W	0.00	94 of 110 - Sale <sup>25</sup>
	34.000	492.98	08/24/20	500.99	8.01 W	0.00	95 of 110 - Sale <sup>25</sup>
	8.000	116.00	08/24/20	117.20	1.20 W	0.00	96 of 110 - Sale <sup>25</sup>
	6.000	87.00	08/24/20	88.11	1.11 W	0.00	97 of 110 - Sale <sup>25</sup>
	30.000	434.99	08/24/20	440.63	5.64 W	0.00	98 of 110 - Sale <sup>25</sup>
	30.000	434.99	08/24/20	440.33	5.34 W	0.00	99 of 110 - Sale <sup>25</sup>
	499.000	7,235.27	08/24/20	7,310.35	75.08 W	0.00	100 of 110 - Sale <sup>25</sup>
	803.000	11,643.13	08/24/20	11,763.95	120.82 W	0.00	101 of 110 - Sale <sup>25</sup>
	6.000	87.00	08/24/20	87.72	0.72 W	0.00	102 of 110 - Sale <sup>25</sup>
	84.000	1,217.96	08/24/20	1,228.08	10.12 W	0.00	103 of 110 - Sale <sup>25</sup>
	400.000	5,799.82	08/24/20	5,840.00	40.18 W	0.00	104 of 110 - Sale <sup>25</sup>
	0.621	8.98	08/24/20	10.61	1.63 W	0.00	105 of 110 - Sale <sup>25</sup>
	2.379	34.40	08/24/20	40.72	6.32 W	0.00	106 of 110 - Sale <sup>25</sup>
	2.621	37.90	08/24/20	44.46	6.56 W	0.00	107 of 110 - Sale <sup>25</sup>
	4.379	63.32	08/24/20	74.34	11.02 W	0.00	108 of 110 - Sale <sup>25</sup>
	1.000	14.46	08/24/20	17.15	2.69 W	0.00	109 of 110 - Sale <sup>25</sup>
	3.000	43.38	08/24/20	51.39	8.01 W	0.00	110 of 110 - Sale <sup>25</sup>
08/24/20	2,414.000	35,001.38	Various	36,658.15	1,656.77 W	0.00	Total of 110 transactions
	29 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	0.006	0.10	08/24/20	0.10	...	0.00	1 of 29 - Sale <sup>25</sup>
	14.000	220.49	08/24/20	220.36	...	0.13	2 of 29 - Sale <sup>25</sup>
	1.000	16.73	08/24/20	16.51	...	0.22	3 of 29 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	5.000	83.65	08/24/20	83.33	...	0.32	4 of 29 - Sale <sup>25</sup>
	6.000	100.38	08/24/20	98.98	...	1.40	5 of 29 - Sale <sup>25</sup>
	7.000	117.11	08/24/20	115.48	...	1.63	6 of 29 - Sale <sup>25</sup>
	9.000	150.57	08/24/20	146.60	...	3.97	7 of 29 - Sale <sup>25</sup>
	12.000	200.75	08/24/20	195.48	...	5.27	8 of 29 - Sale <sup>25</sup>
	10.000	167.29	08/24/20	160.38	...	6.91	9 of 29 - Sale <sup>25</sup>
	15.000	250.94	08/24/20	243.75	...	7.19	10 of 29 - Sale <sup>25</sup>
	8.000	133.84	08/24/20	126.10	...	7.74	11 of 29 - Sale <sup>25</sup>
	6.000	100.32	08/24/20	91.17	...	9.15	12 of 29 - Sale <sup>25</sup>
	6.000	100.32	08/24/20	90.66	...	9.66	13 of 29 - Sale <sup>25</sup>
	11.000	184.02	08/24/20	172.16	...	11.86	14 of 29 - Sale <sup>25</sup>
	8.000	133.76	08/24/20	121.20	...	12.56	15 of 29 - Sale <sup>25</sup>
	9.000	150.48	08/24/20	136.67	...	13.81	16 of 29 - Sale <sup>25</sup>
	15.000	250.79	08/24/20	226.36	...	24.43	17 of 29 - Sale <sup>25</sup>
	26.000	434.97	08/24/20	409.23	...	25.74	18 of 29 - Sale <sup>25</sup>
	31.000	518.30	08/24/20	488.63	...	29.67	19 of 29 - Sale <sup>25</sup>
	25.000	417.99	08/24/20	381.14	...	36.85	20 of 29 - Sale <sup>25</sup>
	30.000	501.59	08/24/20	455.94	...	45.65	21 of 29 - Sale <sup>25</sup>
	30.000	501.59	08/24/20	455.64	...	45.95	22 of 29 - Sale <sup>25</sup>
	66.000	1,103.49	08/24/20	999.27	...	104.22	23 of 29 - Sale <sup>25</sup>
	74.000	1,237.24	08/24/20	1,116.69	...	120.55	24 of 29 - Sale <sup>25</sup>
	84.000	1,404.44	08/24/20	1,269.28	...	135.16	25 of 29 - Sale <sup>25</sup>
	200.000	3,345.90	08/24/20	3,018.09	...	327.81	26 of 29 - Sale <sup>25</sup>
	244.000	4,079.56	08/24/20	3,699.15	...	380.41	27 of 29 - Sale <sup>25</sup>
	255.000	4,263.47	08/24/20	3,863.37	...	400.10	28 of 29 - Sale <sup>25</sup>
	737.000	12,322.27	08/24/20	11,165.89	...	1,156.38	29 of 29 - Sale <sup>25</sup>
08/25/20	1,944.006	32,492.35	Various	29,567.61	...	2,924.74	Total of 29 transactions
	79 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	1.000	15.75	08/24/20	17.95	2.20 W	0.00	1 of 79 - Sale <sup>25</sup>
	1.621	25.53	08/24/20	28.67	3.14 W	0.00	2 of 79 - Sale <sup>25</sup>
	4.000	63.00	08/24/20	71.53	8.53 W	0.00	3 of 79 - Sale <sup>25</sup>
	4.379	68.97	08/24/20	78.30	9.33 W	0.00	4 of 79 - Sale <sup>25</sup>
	0.621	9.78	08/24/20	10.95	1.17 W	0.00	5 of 79 - Sale <sup>25</sup>
	0.621	9.78	08/24/20	10.65	0.87 W	0.00	6 of 79 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.000	15.75	08/24/20	18.16	2.41 W	0.00	7 of 79 - Sale <sup>25</sup>
	2.758	43.44	08/24/20	48.14	4.70 W	0.00	8 of 79 - Sale <sup>25</sup>
	3.379	53.22	08/24/20	60.95	7.73 W	0.00	9 of 79 - Sale <sup>25</sup>
	4.379	68.97	08/24/20	75.04	6.07 W	0.00	10 of 79 - Sale <sup>25</sup>
	5.000	78.75	08/24/20	86.18	7.43 W	0.00	11 of 79 - Sale <sup>25</sup>
	5.000	78.75	08/24/20	90.21	11.46 W	0.00	12 of 79 - Sale <sup>25</sup>
	5.621	88.52	08/24/20	102.87	14.35 W	0.00	13 of 79 - Sale <sup>25</sup>
	5.621	88.52	08/24/20	100.14	11.62 W	0.00	14 of 79 - Sale <sup>25</sup>
	0.242	3.80	08/24/20	4.65	0.85 W	0.00	15 of 79 - Sale <sup>25</sup>
	0.379	5.97	08/24/20	7.27	1.30 W	0.00	16 of 79 - Sale <sup>25</sup>
	0.621	9.78	08/24/20	10.99	1.21 W	0.00	17 of 79 - Sale <sup>25</sup>
	1.000	15.75	08/24/20	17.64	1.89 W	0.00	18 of 79 - Sale <sup>25</sup>
	2.242	35.30	08/24/20	39.12	3.82 W	0.00	19 of 79 - Sale <sup>25</sup>
	3.379	53.22	08/24/20	59.51	6.29 W	0.00	20 of 79 - Sale <sup>25</sup>
	5.000	78.75	08/24/20	88.34	9.59 W	0.00	21 of 79 - Sale <sup>25</sup>
	0.275	4.34	08/24/20	5.30	0.96 W	0.00	22 of 79 - Sale <sup>25</sup>
	0.379	5.97	08/24/20	7.48	1.51 W	0.00	23 of 79 - Sale <sup>25</sup>
	0.379	5.97	08/24/20	7.27	1.30 W	0.00	24 of 79 - Sale <sup>25</sup>
	1.242	19.55	08/24/20	23.00	3.45 W	0.00	25 of 79 - Sale <sup>25</sup>
	1.242	19.55	08/24/20	25.02	5.47 W	0.00	26 of 79 - Sale <sup>25</sup>
	1.242	19.55	08/24/20	25.07	5.52 W	0.00	27 of 79 - Sale <sup>25</sup>
	1.242	19.55	08/24/20	23.85	4.30 W	0.00	28 of 79 - Sale <sup>25</sup>
	1.275	20.09	08/24/20	24.12	4.03 W	0.00	29 of 79 - Sale <sup>25</sup>
	2.483	39.11	08/24/20	49.77	10.66 W	0.00	30 of 79 - Sale <sup>25</sup>
	2.483	39.11	08/24/20	47.66	8.55 W	0.00	31 of 79 - Sale <sup>25</sup>
	2.517	39.64	08/24/20	51.55	11.91 W	0.00	32 of 79 - Sale <sup>25</sup>
	3.758	59.19	08/24/20	74.75	15.56 W	0.00	33 of 79 - Sale <sup>25</sup>
	4.379	68.97	08/24/20	78.00	9.03 W	0.00	34 of 79 - Sale <sup>25</sup>
	4.615	72.68	08/24/20	91.18	18.50 W	0.00	35 of 79 - Sale <sup>25</sup>
	4.621	72.78	08/24/20	88.59	15.81 W	0.00	36 of 79 - Sale <sup>25</sup>
	5.000	78.75	08/24/20	92.67	13.92 W	0.00	37 of 79 - Sale <sup>25</sup>
	0.242	3.80	08/24/20	4.80	1.00 W	0.00	38 of 79 - Sale <sup>25</sup>
	0.275	4.34	08/24/20	5.28	0.94 W	0.00	39 of 79 - Sale <sup>25</sup>
	0.379	5.97	08/24/20	7.15	1.18 W	0.00	40 of 79 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.379	5.97	08/24/20	7.52	1.55 W	0.00	41 of 79 - Sale <sup>25</sup>
	0.621	9.78	08/24/20	11.22	1.44 W	0.00	42 of 79 - Sale <sup>25</sup>
	0.621	9.78	08/24/20	12.52	2.74 W	0.00	43 of 79 - Sale <sup>25</sup>
	0.621	9.78	08/24/20	12.39	2.61 W	0.00	44 of 79 - Sale <sup>25</sup>
	0.725	11.41	08/24/20	13.95	2.54 W	0.00	45 of 79 - Sale <sup>25</sup>
	0.896	14.11	08/24/20	17.96	3.85 W	0.00	46 of 79 - Sale <sup>25</sup>
	1.517	23.89	08/24/20	28.56	4.67 W	0.00	47 of 79 - Sale <sup>25</sup>
	1.621	25.53	08/24/20	32.37	6.84 W	0.00	48 of 79 - Sale <sup>25</sup>
	1.758	27.70	08/24/20	35.13	7.43 W	0.00	49 of 79 - Sale <sup>25</sup>
	2.758	43.44	08/24/20	54.91	11.47 W	0.00	50 of 79 - Sale <sup>25</sup>
	3.242	51.05	08/24/20	64.77	13.72 W	0.00	51 of 79 - Sale <sup>25</sup>
	3.725	58.66	08/24/20	71.44	12.78 W	0.00	52 of 79 - Sale <sup>25</sup>
	4.000	63.00	08/24/20	76.71	13.71 W	0.00	53 of 79 - Sale <sup>25</sup>
	4.758	74.94	08/24/20	91.24	16.30 W	0.00	54 of 79 - Sale <sup>25</sup>
	5.000	78.75	08/24/20	97.16	18.41 W	0.00	55 of 79 - Sale <sup>25</sup>
	5.000	78.75	08/24/20	99.45	20.70 W	0.00	56 of 79 - Sale <sup>25</sup>
	6.862	108.08	08/24/20	136.91	28.83 W	0.00	57 of 79 - Sale <sup>25</sup>
	7.242	114.05	08/24/20	145.10	31.05 W	0.00	58 of 79 - Sale <sup>25</sup>
	7.758	122.19	08/24/20	153.69	31.50 W	0.00	59 of 79 - Sale <sup>25</sup>
	0.621	9.78	08/24/20	13.81	4.03 W	0.00	60 of 79 - Sale <sup>25</sup>
	1.517	23.89	08/24/20	32.68	8.79 W	0.00	61 of 79 - Sale <sup>25</sup>
	2.242	35.30	08/24/20	44.81	9.51 W	0.00	62 of 79 - Sale <sup>25</sup>
	2.483	39.11	08/24/20	53.48	14.37 W	0.00	63 of 79 - Sale <sup>25</sup>
	2.517	39.64	08/24/20	54.08	14.44 W	0.00	64 of 79 - Sale <sup>25</sup>
	2.862	45.08	08/24/20	61.65	16.57 W	0.00	65 of 79 - Sale <sup>25</sup>
	3.758	59.19	08/24/20	81.82	22.63 W	0.00	66 of 79 - Sale <sup>25</sup>
	5.000	78.75	08/24/20	108.57	29.82 W	0.00	67 of 79 - Sale <sup>25</sup>
	2.994	47.15	08/24/20	59.02	11.87 W	0.00	68 of 79 - Sale <sup>25</sup>
	3.006	47.35	08/24/20	59.30	11.95 W	0.00	69 of 79 - Sale <sup>25</sup>
	5.000	78.75	08/24/20	97.73	18.98 W	0.00	70 of 79 - Sale <sup>25</sup>
	10.000	157.50	08/24/20	195.13	37.63 W	0.00	71 of 79 - Sale <sup>25</sup>
	14.000	220.49	08/24/20	276.02	55.53 W	0.00	72 of 79 - Sale <sup>25</sup>
	1.000	15.75	08/24/20	18.58	2.83 W	0.00	73 of 79 - Sale <sup>25</sup>
	11.000	173.25	08/24/20	204.84	31.59 W	0.00	74 of 79 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 527125207
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	13.000	204.74	08/24/20	241.46	36.72 W	0.00	75 of 79 - Sale <sup>25</sup>
	10.000	157.50	08/24/20	160.82	3.32 W	0.00	76 of 79 - Sale <sup>25</sup>
	15.000	236.24	08/24/20	239.32	3.08 W	0.00	77 of 79 - Sale <sup>25</sup>
	14.000	234.21	08/24/20	236.60	2.39 W	0.00	78 of 79 - Sale <sup>25</sup>
	56.000	936.85	08/24/20	941.50	4.65 W	0.00	79 of 79 - Sale <sup>25</sup>
08/25/20	330.994	5,281.59	Various	6,103.99	822.40 W	0.00	Total of 79 transactions
	14 transactions for 08/26/20. Total proceeds and cost reported to the IRS.						
	0.621	12.65	08/25/20	12.36	...	0.29	1 of 14 - Sale <sup>25</sup>
	1.000	20.38	08/25/20	19.98	...	0.40	2 of 14 - Sale <sup>25</sup>
	0.758	15.46	08/25/20	14.85	...	0.61	3 of 14 - Sale <sup>25</sup>
	0.621	12.65	08/25/20	11.90	...	0.75	4 of 14 - Sale <sup>25</sup>
	1.000	20.38	08/25/20	19.49	...	0.89	5 of 14 - Sale <sup>25</sup>
	2.379	48.49	08/25/20	47.45	...	1.04	6 of 14 - Sale <sup>25</sup>
	3.000	61.14	08/25/20	59.87	...	1.27	7 of 14 - Sale <sup>25</sup>
	2.621	53.41	08/25/20	51.87	...	1.54	8 of 14 - Sale <sup>25</sup>
	1.621	33.03	08/25/20	31.16	...	1.87	9 of 14 - Sale <sup>25</sup>
	4.379	89.25	08/25/20	86.72	...	2.53	10 of 14 - Sale <sup>25</sup>
	5.621	114.54	08/25/20	111.52	...	3.02	11 of 14 - Sale <sup>25</sup>
	4.000	81.52	08/25/20	77.68	...	3.84	12 of 14 - Sale <sup>25</sup>
	4.379	89.24	08/25/20	85.03	...	4.21	13 of 14 - Sale <sup>25</sup>
	5.000	101.90	08/25/20	93.87	...	8.03	14 of 14 - Sale <sup>25</sup>
08/26/20	37.000	754.04	Various	723.75	...	30.29	Total of 14 transactions
	47 transactions for 08/28/20. Total proceeds and cost reported to the IRS.						
	0.098	1.85	08/25/20	1.81	...	0.04	1 of 47 - Sale <sup>25</sup>
	0.275	5.20	08/25/20	5.15	...	0.05	2 of 47 - Sale <sup>25</sup>
	0.275	5.20	08/25/20	5.13	...	0.07	3 of 47 - Sale <sup>25</sup>
	1.275	24.10	08/25/20	24.01	...	0.09	4 of 47 - Sale <sup>25</sup>
	0.621	11.73	08/25/20	11.60	...	0.13	5 of 47 - Sale <sup>25</sup>
	0.725	13.70	08/25/20	13.53	...	0.17	6 of 47 - Sale <sup>25</sup>
	5.000	94.50	08/25/20	94.30	...	0.20	7 of 47 - Sale <sup>25</sup>
	0.379	7.17	08/25/20	6.93	...	0.24	8 of 47 - Sale <sup>25</sup>
	1.242	23.46	08/25/20	22.90	...	0.56	9 of 47 - Sale <sup>25</sup>
	0.621	11.73	08/25/20	10.94	...	0.79	10 of 47 - Sale <sup>25</sup>
	1.000	18.90	08/25/20	18.08	...	0.82	11 of 47 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.621	11.73	08/25/20	10.87	...	0.86	12 of 47 - Sale <sup>25</sup>
	1.000	18.90	08/25/20	18.01	...	0.89	13 of 47 - Sale <sup>25</sup>
	1.517	28.67	08/25/20	27.69	...	0.98	14 of 47 - Sale <sup>25</sup>
	4.379	82.77	08/25/20	81.77	...	1.00	15 of 47 - Sale <sup>25</sup>
	3.725	70.39	08/25/20	69.31	...	1.08	16 of 47 - Sale <sup>25</sup>
	4.000	75.60	08/25/20	74.42	...	1.18	17 of 47 - Sale <sup>25</sup>
	1.000	18.90	08/25/20	17.56	...	1.34	18 of 47 - Sale <sup>25</sup>
	4.621	87.33	08/25/20	85.94	...	1.39	19 of 47 - Sale <sup>25</sup>
	4.758	89.93	08/25/20	88.52	...	1.41	20 of 47 - Sale <sup>25</sup>
	5.000	94.50	08/25/20	92.24	...	2.26	21 of 47 - Sale <sup>25</sup>
	2.000	37.80	08/25/20	34.70	...	3.10	22 of 47 - Sale <sup>25</sup>
	3.379	63.87	08/25/20	60.66	...	3.21	23 of 47 - Sale <sup>25</sup>
	2.242	42.36	08/25/20	38.94	...	3.42	24 of 47 - Sale <sup>25</sup>
	4.242	80.16	08/25/20	76.16	...	4.00	25 of 47 - Sale <sup>25</sup>
	2.758	52.13	08/25/20	47.92	...	4.21	26 of 47 - Sale <sup>25</sup>
	3.379	63.87	08/25/20	59.22	...	4.65	27 of 47 - Sale <sup>25</sup>
	4.379	82.77	08/25/20	77.62	...	5.15	28 of 47 - Sale <sup>25</sup>
	5.621	106.23	08/25/20	99.67	...	6.56	29 of 47 - Sale <sup>25</sup>
	5.000	94.50	08/25/20	87.91	...	6.59	30 of 47 - Sale <sup>25</sup>
	4.000	75.60	08/25/20	67.28	...	8.32	31 of 47 - Sale <sup>25</sup>
	11.000	207.89	08/25/20	198.55	...	9.34	32 of 47 - Sale <sup>25</sup>
	6.000	113.40	08/25/20	103.49	...	9.91	33 of 47 - Sale <sup>25</sup>
	13.000	245.69	08/25/20	234.03	...	11.66	34 of 47 - Sale <sup>25</sup>
	10.000	188.99	08/25/20	171.32	...	17.67	35 of 47 - Sale <sup>25</sup>
	14.000	264.59	08/25/20	237.59	...	27.00	36 of 47 - Sale <sup>25</sup>
	17.006	321.41	08/25/20	293.81	...	27.60	37 of 47 - Sale <sup>25</sup>
	15.000	283.49	08/25/20	255.08	...	28.41	38 of 47 - Sale <sup>25</sup>
	25.000	472.49	08/25/20	431.93	...	40.56	39 of 47 - Sale <sup>25</sup>
	56.000	1,058.37	08/25/20	945.45	...	112.92	40 of 47 - Sale <sup>25</sup>
	111.000	2,097.84	08/25/20	1,930.02	...	167.82	41 of 47 - Sale <sup>25</sup>
	110.000	2,078.94	08/25/20	1,908.50	...	170.44	42 of 47 - Sale <sup>25</sup>
	159.006	3,005.14	08/25/20	2,671.30	...	333.84	43 of 47 - Sale <sup>25</sup>
	250.000	4,724.87	08/25/20	4,223.75	...	501.12	44 of 47 - Sale <sup>25</sup>
	425.000	8,032.28	08/25/20	7,352.50	...	679.78	45 of 47 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	500.000	9,449.74	08/25/20	8,600.00	...	849.74	46 of 47 - Sale <sup>25</sup>
	10.000	191.80	08/28/20	191.49	...	0.31	47 of 47 - Sale <sup>25</sup>
08/28/20	1,811.144	34,232.48	Various	31,179.60	...	3,052.88	Total of 47 transactions
	332 transactions for 08/28/20. Total proceeds and cost reported to the IRS.						
	0.242	4.57	08/25/20	5.03	0.46 W	0.00	1 of 332 - Sale <sup>25</sup>
	0.379	7.17	08/25/20	7.86	0.69 W	0.00	2 of 332 - Sale <sup>25</sup>
	0.621	11.73	08/25/20	11.94	0.21 W	0.00	3 of 332 - Sale <sup>25</sup>
	1.000	18.90	08/25/20	19.70	0.80 W	0.00	4 of 332 - Sale <sup>25</sup>
	1.000	18.90	08/25/20	19.18	0.28 W	0.00	5 of 332 - Sale <sup>25</sup>
	2.242	42.36	08/25/20	42.57	0.21 W	0.00	6 of 332 - Sale <sup>25</sup>
	2.758	52.13	08/25/20	52.39	0.26 W	0.00	7 of 332 - Sale <sup>25</sup>
	3.379	63.87	08/25/20	66.15	2.28 W	0.00	8 of 332 - Sale <sup>25</sup>
	3.379	63.87	08/25/20	64.71	0.84 W	0.00	9 of 332 - Sale <sup>25</sup>
	4.242	80.16	08/25/20	83.04	2.88 W	0.00	10 of 332 - Sale <sup>25</sup>
	5.000	94.50	08/25/20	96.02	1.52 W	0.00	11 of 332 - Sale <sup>25</sup>
	5.621	106.23	08/25/20	108.79	2.56 W	0.00	12 of 332 - Sale <sup>25</sup>
	0.282	5.32	08/25/20	5.84	0.52 W	0.00	13 of 332 - Sale <sup>25</sup>
	0.379	7.17	08/25/20	8.07	0.90 W	0.00	14 of 332 - Sale <sup>25</sup>
	1.242	23.46	08/25/20	24.91	1.45 W	0.00	15 of 332 - Sale <sup>25</sup>
	1.242	23.46	08/25/20	26.93	3.47 W	0.00	16 of 332 - Sale <sup>25</sup>
	1.242	23.46	08/25/20	26.98	3.52 W	0.00	17 of 332 - Sale <sup>25</sup>
	1.242	23.46	08/25/20	25.76	2.30 W	0.00	18 of 332 - Sale <sup>25</sup>
	1.275	24.10	08/25/20	26.08	1.98 W	0.00	19 of 332 - Sale <sup>25</sup>
	2.483	46.93	08/25/20	53.58	6.65 W	0.00	20 of 332 - Sale <sup>25</sup>
	2.483	46.93	08/25/20	51.48	4.55 W	0.00	21 of 332 - Sale <sup>25</sup>
	2.517	47.57	08/25/20	55.42	7.85 W	0.00	22 of 332 - Sale <sup>25</sup>
	3.758	71.03	08/25/20	80.53	9.50 W	0.00	23 of 332 - Sale <sup>25</sup>
	4.379	82.77	08/25/20	84.73	1.96 W	0.00	24 of 332 - Sale <sup>25</sup>
	4.615	87.21	08/25/20	98.27	11.06 W	0.00	25 of 332 - Sale <sup>25</sup>
	5.000	94.50	08/25/20	100.35	5.85 W	0.00	26 of 332 - Sale <sup>25</sup>
	0.098	1.85	08/25/20	1.97	0.12 W	0.00	27 of 332 - Sale <sup>25</sup>
	0.242	4.57	08/25/20	5.06	0.49 W	0.00	28 of 332 - Sale <sup>25</sup>
	0.275	5.20	08/25/20	5.59	0.39 W	0.00	29 of 332 - Sale <sup>25</sup>
	0.275	5.20	08/25/20	5.57	0.37 W	0.00	30 of 332 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.379	7.17	08/25/20	7.55	0.38 W	0.00	31 of 332 - Sale <sup>25</sup>
	0.379	7.17	08/25/20	7.92	0.75 W	0.00	32 of 332 - Sale <sup>25</sup>
	0.621	11.73	08/25/20	11.87	0.14 W	0.00	33 of 332 - Sale <sup>25</sup>
	0.621	11.73	08/25/20	13.17	1.44 W	0.00	34 of 332 - Sale <sup>25</sup>
	0.621	11.73	08/25/20	13.04	1.31 W	0.00	35 of 332 - Sale <sup>25</sup>
	0.621	11.73	08/25/20	14.46	2.73 W	0.00	36 of 332 - Sale <sup>25</sup>
	0.725	13.70	08/25/20	14.71	1.01 W	0.00	37 of 332 - Sale <sup>25</sup>
	0.896	16.94	08/25/20	18.91	1.97 W	0.00	38 of 332 - Sale <sup>25</sup>
	1.517	28.67	08/25/20	30.15	1.48 W	0.00	39 of 332 - Sale <sup>25</sup>
	1.517	28.67	08/25/20	34.27	5.60 W	0.00	40 of 332 - Sale <sup>25</sup>
	1.621	30.63	08/25/20	34.07	3.44 W	0.00	41 of 332 - Sale <sup>25</sup>
	1.758	33.23	08/25/20	36.97	3.74 W	0.00	42 of 332 - Sale <sup>25</sup>
	2.242	42.36	08/25/20	47.17	4.81 W	0.00	43 of 332 - Sale <sup>25</sup>
	2.483	46.93	08/25/20	56.09	9.16 W	0.00	44 of 332 - Sale <sup>25</sup>
	2.517	47.57	08/25/20	56.72	9.15 W	0.00	45 of 332 - Sale <sup>25</sup>
	2.758	52.13	08/25/20	57.81	5.68 W	0.00	46 of 332 - Sale <sup>25</sup>
	2.862	54.10	08/25/20	64.66	10.56 W	0.00	47 of 332 - Sale <sup>25</sup>
	3.242	61.26	08/25/20	68.18	6.92 W	0.00	48 of 332 - Sale <sup>25</sup>
	3.725	70.39	08/25/20	75.35	4.96 W	0.00	49 of 332 - Sale <sup>25</sup>
	3.758	71.03	08/25/20	85.77	14.74 W	0.00	50 of 332 - Sale <sup>25</sup>
	4.000	75.60	08/25/20	80.91	5.31 W	0.00	51 of 332 - Sale <sup>25</sup>
	4.621	87.33	08/25/20	93.44	6.11 W	0.00	52 of 332 - Sale <sup>25</sup>
	4.758	89.93	08/25/20	96.24	6.31 W	0.00	53 of 332 - Sale <sup>25</sup>
	5.000	94.50	08/25/20	102.41	7.91 W	0.00	54 of 332 - Sale <sup>25</sup>
	5.000	94.50	08/25/20	104.70	10.20 W	0.00	55 of 332 - Sale <sup>25</sup>
	5.000	94.50	08/25/20	113.82	19.32 W	0.00	56 of 332 - Sale <sup>25</sup>
	6.862	129.69	08/25/20	144.12	14.43 W	0.00	57 of 332 - Sale <sup>25</sup>
	7.242	136.86	08/25/20	152.71	15.85 W	0.00	58 of 332 - Sale <sup>25</sup>
	7.758	146.63	08/25/20	161.84	15.21 W	0.00	59 of 332 - Sale <sup>25</sup>
	2.994	56.58	08/25/20	62.17	5.59 W	0.00	60 of 332 - Sale <sup>25</sup>
	3.006	56.82	08/25/20	62.45	5.63 W	0.00	61 of 332 - Sale <sup>25</sup>
	5.000	94.50	08/25/20	102.98	8.48 W	0.00	62 of 332 - Sale <sup>25</sup>
	10.000	188.99	08/25/20	205.63	16.64 W	0.00	63 of 332 - Sale <sup>25</sup>
	14.000	264.59	08/25/20	290.73	26.14 W	0.00	64 of 332 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
1.000		18.90	08/25/20	19.63	0.73 W	0.00	65 of 332 - Sale <sup>25</sup>
11.000		207.89	08/25/20	216.39	8.50 W	0.00	66 of 332 - Sale <sup>25</sup>
13.000		245.69	08/25/20	255.12	9.43 W	0.00	67 of 332 - Sale <sup>25</sup>
0.242		4.57	08/25/20	4.63	0.06 W	0.00	68 of 332 - Sale <sup>25</sup>
0.379		7.17	08/25/20	7.24	0.07 W	0.00	69 of 332 - Sale <sup>25</sup>
0.242		4.57	08/25/20	4.66	0.09 W	0.00	70 of 332 - Sale <sup>25</sup>
0.282		5.32	08/25/20	5.38	0.06 W	0.00	71 of 332 - Sale <sup>25</sup>
0.379		7.17	08/25/20	7.45	0.28 W	0.00	72 of 332 - Sale <sup>25</sup>
0.379		7.17	08/25/20	7.30	0.13 W	0.00	73 of 332 - Sale <sup>25</sup>
0.621		11.73	08/25/20	12.17	0.44 W	0.00	74 of 332 - Sale <sup>25</sup>
0.621		11.73	08/25/20	12.04	0.31 W	0.00	75 of 332 - Sale <sup>25</sup>
0.621		11.73	08/25/20	13.46	1.73 W	0.00	76 of 332 - Sale <sup>25</sup>
0.896		16.94	08/25/20	17.45	0.51 W	0.00	77 of 332 - Sale <sup>25</sup>
1.242		23.46	08/25/20	24.92	1.46 W	0.00	78 of 332 - Sale <sup>25</sup>
1.242		23.46	08/25/20	24.97	1.51 W	0.00	79 of 332 - Sale <sup>25</sup>
1.242		23.46	08/25/20	23.75	0.29 W	0.00	80 of 332 - Sale <sup>25</sup>
1.517		28.67	08/25/20	31.81	3.14 W	0.00	81 of 332 - Sale <sup>25</sup>
1.621		30.63	08/25/20	31.44	0.81 W	0.00	82 of 332 - Sale <sup>25</sup>
1.758		33.23	08/25/20	34.12	0.89 W	0.00	83 of 332 - Sale <sup>25</sup>
2.242		42.36	08/25/20	43.54	1.18 W	0.00	84 of 332 - Sale <sup>25</sup>
2.483		46.93	08/25/20	49.55	2.62 W	0.00	85 of 332 - Sale <sup>25</sup>
2.483		46.93	08/25/20	47.45	0.52 W	0.00	86 of 332 - Sale <sup>25</sup>
2.483		46.93	08/25/20	52.06	5.13 W	0.00	87 of 332 - Sale <sup>25</sup>
2.517		47.57	08/25/20	51.33	3.76 W	0.00	88 of 332 - Sale <sup>25</sup>
2.517		47.57	08/25/20	52.63	5.06 W	0.00	89 of 332 - Sale <sup>25</sup>
2.758		52.13	08/25/20	53.34	1.21 W	0.00	90 of 332 - Sale <sup>25</sup>
2.862		54.10	08/25/20	60.01	5.91 W	0.00	91 of 332 - Sale <sup>25</sup>
3.242		61.26	08/25/20	62.92	1.66 W	0.00	92 of 332 - Sale <sup>25</sup>
3.758		71.03	08/25/20	74.44	3.41 W	0.00	93 of 332 - Sale <sup>25</sup>
3.758		71.03	08/25/20	79.67	8.64 W	0.00	94 of 332 - Sale <sup>25</sup>
4.615		87.21	08/25/20	90.79	3.58 W	0.00	95 of 332 - Sale <sup>25</sup>
5.000		94.50	08/25/20	96.59	2.09 W	0.00	96 of 332 - Sale <sup>25</sup>
5.000		94.50	08/25/20	105.71	11.21 W	0.00	97 of 332 - Sale <sup>25</sup>
6.862		129.69	08/25/20	132.99	3.30 W	0.00	98 of 332 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	7.242	136.86	08/25/20	140.96	4.10 W	0.00	99 of 332 - Sale <sup>25</sup>
	7.758	146.63	08/25/20	149.25	2.62 W	0.00	100 of 332 - Sale <sup>25</sup>
	2.994	56.58	08/25/20	57.31	0.73 W	0.00	101 of 332 - Sale <sup>25</sup>
	3.006	56.82	08/25/20	57.57	0.75 W	0.00	102 of 332 - Sale <sup>25</sup>
	5.000	94.50	08/25/20	94.87	0.37 W	0.00	103 of 332 - Sale <sup>25</sup>
	10.000	188.99	08/25/20	189.41	0.42 W	0.00	104 of 332 - Sale <sup>25</sup>
	14.000	264.59	08/25/20	268.02	3.43 W	0.00	105 of 332 - Sale <sup>25</sup>
	100.000	1,889.95	08/26/20	2,010.00	120.05 W	0.00	106 of 332 - Sale <sup>25</sup>
	100.000	1,889.95	08/26/20	2,010.00	120.05 W	0.00	107 of 332 - Sale <sup>25</sup>
	25.000	472.48	08/26/20	502.50	30.02 W	0.00	108 of 332 - Sale <sup>25</sup>
	10.000	189.00	08/26/20	202.50	13.50 W	0.00	109 of 332 - Sale <sup>25</sup>
	30.000	566.98	08/26/20	607.50	40.52 W	0.00	110 of 332 - Sale <sup>25</sup>
	0.242	4.63	08/28/20	4.68	0.05 W	0.00	111 of 332 - Sale <sup>25</sup>
	0.379	7.27	08/28/20	7.32	0.05 W	0.00	112 of 332 - Sale <sup>25</sup>
	0.242	4.63	08/28/20	4.71	0.08 W	0.00	113 of 332 - Sale <sup>25</sup>
	0.282	5.40	08/28/20	5.44	0.04 W	0.00	114 of 332 - Sale <sup>25</sup>
	0.379	7.27	08/28/20	7.53	0.26 W	0.00	115 of 332 - Sale <sup>25</sup>
	0.379	7.27	08/28/20	7.38	0.11 W	0.00	116 of 332 - Sale <sup>25</sup>
	0.621	11.91	08/28/20	12.30	0.39 W	0.00	117 of 332 - Sale <sup>25</sup>
	0.621	11.91	08/28/20	12.17	0.26 W	0.00	118 of 332 - Sale <sup>25</sup>
	0.621	11.91	08/28/20	13.59	1.68 W	0.00	119 of 332 - Sale <sup>25</sup>
	0.896	17.19	08/28/20	17.63	0.44 W	0.00	120 of 332 - Sale <sup>25</sup>
	1.242	23.81	08/28/20	25.18	1.37 W	0.00	121 of 332 - Sale <sup>25</sup>
	1.242	23.81	08/28/20	25.23	1.42 W	0.00	122 of 332 - Sale <sup>25</sup>
	1.242	23.81	08/28/20	24.01	0.20 W	0.00	123 of 332 - Sale <sup>25</sup>
	1.517	29.10	08/28/20	32.12	3.02 W	0.00	124 of 332 - Sale <sup>25</sup>
	1.621	31.09	08/28/20	31.78	0.69 W	0.00	125 of 332 - Sale <sup>25</sup>
	1.758	33.73	08/28/20	34.49	0.76 W	0.00	126 of 332 - Sale <sup>25</sup>
	2.242	42.99	08/28/20	44.01	1.02 W	0.00	127 of 332 - Sale <sup>25</sup>
	2.483	47.63	08/28/20	50.07	2.44 W	0.00	128 of 332 - Sale <sup>25</sup>
	2.483	47.63	08/28/20	47.97	0.34 W	0.00	129 of 332 - Sale <sup>25</sup>
	2.483	47.63	08/28/20	52.58	4.95 W	0.00	130 of 332 - Sale <sup>25</sup>
	2.517	48.28	08/28/20	51.85	3.57 W	0.00	131 of 332 - Sale <sup>25</sup>
	2.517	48.28	08/28/20	53.15	4.87 W	0.00	132 of 332 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	2.758	52.91	08/28/20	53.92	1.01 W	0.00	133 of 332 - Sale <sup>25</sup>
	2.862	54.90	08/28/20	60.60	5.70 W	0.00	134 of 332 - Sale <sup>25</sup>
	3.242	62.17	08/28/20	63.60	1.43 W	0.00	135 of 332 - Sale <sup>25</sup>
	3.758	72.09	08/28/20	75.22	3.13 W	0.00	136 of 332 - Sale <sup>25</sup>
	3.758	72.09	08/28/20	80.45	8.36 W	0.00	137 of 332 - Sale <sup>25</sup>
	4.615	88.51	08/28/20	91.75	3.24 W	0.00	138 of 332 - Sale <sup>25</sup>
	5.000	95.90	08/28/20	97.63	1.73 W	0.00	139 of 332 - Sale <sup>25</sup>
	5.000	95.90	08/28/20	106.75	10.85 W	0.00	140 of 332 - Sale <sup>25</sup>
	6.862	131.62	08/28/20	134.42	2.80 W	0.00	141 of 332 - Sale <sup>25</sup>
	7.242	138.90	08/28/20	142.47	3.57 W	0.00	142 of 332 - Sale <sup>25</sup>
	7.758	148.81	08/28/20	150.86	2.05 W	0.00	143 of 332 - Sale <sup>25</sup>
	2.994	57.42	08/28/20	57.93	0.51 W	0.00	144 of 332 - Sale <sup>25</sup>
	3.006	57.66	08/28/20	58.19	0.53 W	0.00	145 of 332 - Sale <sup>25</sup>
	5.000	95.90	08/28/20	95.91	0.01 W	0.00	146 of 332 - Sale <sup>25</sup>
	14.000	268.53	08/28/20	270.94	2.41 W	0.00	147 of 332 - Sale <sup>25</sup>
	100.000	1,918.05	08/28/20	2,030.80	112.75 W	0.00	148 of 332 - Sale <sup>25</sup>
	64.138	1,230.19	08/28/20	1,302.51	72.32 W	0.00	149 of 332 - Sale <sup>25</sup>
	10.000	189.94	08/28/20	204.57	14.63 W	0.00	150 of 332 - Sale <sup>25</sup>
	25.000	474.86	08/28/20	507.71	32.85 W	0.00	151 of 332 - Sale <sup>25</sup>
	29.138	553.46	08/28/20	596.11	42.65 W	0.00	152 of 332 - Sale <sup>25</sup>
	35.862	681.18	08/28/20	728.29	47.11 W	0.00	153 of 332 - Sale <sup>25</sup>
	0.862	16.38	08/28/20	17.64	1.26 W	0.00	154 of 332 - Sale <sup>25</sup>
	99.138	1,883.06	08/28/20	1,894.27	11.21 W	0.00	155 of 332 - Sale <sup>25</sup>
	100.000	1,899.44	08/28/20	1,910.75	11.31 W	0.00	156 of 332 - Sale <sup>25</sup>
	100.000	1,899.44	08/28/20	1,910.75	11.31 W	0.00	157 of 332 - Sale <sup>25</sup>
	100.000	1,899.44	08/28/20	1,910.75	11.31 W	0.00	158 of 332 - Sale <sup>25</sup>
	100.000	1,899.44	08/28/20	1,910.75	11.31 W	0.00	159 of 332 - Sale <sup>25</sup>
	100.000	1,899.44	08/28/20	1,910.75	11.31 W	0.00	160 of 332 - Sale <sup>25</sup>
	20.000	379.89	08/28/20	382.15	2.26 W	0.00	161 of 332 - Sale <sup>25</sup>
	0.242	4.59	08/28/20	4.69	0.10 W	0.00	162 of 332 - Sale <sup>25</sup>
	0.379	7.20	08/28/20	7.33	0.13 W	0.00	163 of 332 - Sale <sup>25</sup>
	0.242	4.59	08/28/20	4.72	0.13 W	0.00	164 of 332 - Sale <sup>25</sup>
	0.282	5.35	08/28/20	5.45	0.10 W	0.00	165 of 332 - Sale <sup>25</sup>
	0.379	7.20	08/28/20	7.54	0.34 W	0.00	166 of 332 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.379	7.20	08/28/20	7.39	0.19 W	0.00	167 of 332 - Sale <sup>25</sup>
	0.621	11.79	08/28/20	12.31	0.52 W	0.00	168 of 332 - Sale <sup>25</sup>
	0.621	11.79	08/28/20	12.18	0.39 W	0.00	169 of 332 - Sale <sup>25</sup>
	0.621	11.79	08/28/20	13.60	1.81 W	0.00	170 of 332 - Sale <sup>25</sup>
	0.896	17.02	08/28/20	17.65	0.63 W	0.00	171 of 332 - Sale <sup>25</sup>
	1.242	23.58	08/28/20	25.21	1.63 W	0.00	172 of 332 - Sale <sup>25</sup>
	1.242	23.58	08/28/20	25.26	1.68 W	0.00	173 of 332 - Sale <sup>25</sup>
	1.242	23.58	08/28/20	24.04	0.46 W	0.00	174 of 332 - Sale <sup>25</sup>
	1.517	28.81	08/28/20	32.14	3.33 W	0.00	175 of 332 - Sale <sup>25</sup>
	1.621	30.79	08/28/20	31.81	1.02 W	0.00	176 of 332 - Sale <sup>25</sup>
	1.758	33.40	08/28/20	34.52	1.12 W	0.00	177 of 332 - Sale <sup>25</sup>
	2.242	42.58	08/28/20	44.06	1.48 W	0.00	178 of 332 - Sale <sup>25</sup>
	2.483	47.16	08/28/20	50.12	2.96 W	0.00	179 of 332 - Sale <sup>25</sup>
	2.483	47.16	08/28/20	48.02	0.86 W	0.00	180 of 332 - Sale <sup>25</sup>
	2.483	47.16	08/28/20	52.63	5.47 W	0.00	181 of 332 - Sale <sup>25</sup>
	2.517	47.81	08/28/20	51.89	4.08 W	0.00	182 of 332 - Sale <sup>25</sup>
	2.517	47.81	08/28/20	53.19	5.38 W	0.00	183 of 332 - Sale <sup>25</sup>
	2.758	52.40	08/28/20	53.97	1.57 W	0.00	184 of 332 - Sale <sup>25</sup>
	2.862	54.37	08/28/20	60.66	6.29 W	0.00	185 of 332 - Sale <sup>25</sup>
	3.242	61.57	08/28/20	63.67	2.10 W	0.00	186 of 332 - Sale <sup>25</sup>
	3.758	71.39	08/28/20	75.29	3.90 W	0.00	187 of 332 - Sale <sup>25</sup>
	3.758	71.39	08/28/20	80.52	9.13 W	0.00	188 of 332 - Sale <sup>25</sup>
	4.615	87.65	08/28/20	91.84	4.19 W	0.00	189 of 332 - Sale <sup>25</sup>
	5.000	94.97	08/28/20	97.73	2.76 W	0.00	190 of 332 - Sale <sup>25</sup>
	5.000	94.97	08/28/20	106.85	11.88 W	0.00	191 of 332 - Sale <sup>25</sup>
	6.862	130.35	08/28/20	134.56	4.21 W	0.00	192 of 332 - Sale <sup>25</sup>
	7.242	137.55	08/28/20	142.61	5.06 W	0.00	193 of 332 - Sale <sup>25</sup>
	7.758	147.37	08/28/20	151.01	3.64 W	0.00	194 of 332 - Sale <sup>25</sup>
	2.994	56.87	08/28/20	57.99	1.12 W	0.00	195 of 332 - Sale <sup>25</sup>
	3.006	57.10	08/28/20	58.25	1.15 W	0.00	196 of 332 - Sale <sup>25</sup>
	5.000	94.97	08/28/20	96.01	1.04 W	0.00	197 of 332 - Sale <sup>25</sup>
	14.000	265.92	08/28/20	271.21	5.29 W	0.00	198 of 332 - Sale <sup>25</sup>
	10.000	189.95	08/28/20	205.13	15.18 W	0.00	199 of 332 - Sale <sup>25</sup>
	64.138	1,218.26	08/28/20	1,303.76	85.50 W	0.00	200 of 332 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
100.000		1,899.45	08/28/20	2,032.74	133.29 W	0.00	201 of 332 - Sale <sup>25</sup>
0.862		16.38	08/28/20	17.82	1.44 W	0.00	202 of 332 - Sale <sup>25</sup>
10.000		189.90	08/28/20	206.63	16.73 W	0.00	203 of 332 - Sale <sup>25</sup>
25.000		474.76	08/28/20	512.85	38.09 W	0.00	204 of 332 - Sale <sup>25</sup>
29.138		553.34	08/28/20	602.09	48.75 W	0.00	205 of 332 - Sale <sup>25</sup>
25.862		491.14	08/28/20	530.53	39.39 W	0.00	206 of 332 - Sale <sup>25</sup>
31.138		591.32	08/28/20	601.36	10.04 W	0.00	207 of 332 - Sale <sup>25</sup>
32.000		607.69	08/28/20	618.02	10.33 W	0.00	208 of 332 - Sale <sup>25</sup>
68.000		1,291.35	08/28/20	1,313.29	21.94 W	0.00	209 of 332 - Sale <sup>25</sup>
68.000		1,291.35	08/28/20	1,320.09	28.74 W	0.00	210 of 332 - Sale <sup>25</sup>
100.000		1,899.05	08/28/20	1,941.31	42.26 W	0.00	211 of 332 - Sale <sup>25</sup>
100.000		1,899.04	08/28/20	1,941.31	42.27 W	0.00	212 of 332 - Sale <sup>25</sup>
100.000		1,899.05	08/28/20	1,941.31	42.26 W	0.00	213 of 332 - Sale <sup>25</sup>
10.000		189.90	08/28/20	194.13	4.23 W	0.00	214 of 332 - Sale <sup>25</sup>
5.000		94.95	08/28/20	97.06	2.11 W	0.00	215 of 332 - Sale <sup>25</sup>
90.000		1,709.10	08/28/20	1,747.18	38.08 W	0.00	216 of 332 - Sale <sup>25</sup>
5.000		94.95	08/28/20	96.72	1.77 W	0.00	217 of 332 - Sale <sup>25</sup>
0.242		4.59	08/28/20	4.74	0.15 W	0.00	218 of 332 - Sale <sup>25</sup>
0.379		7.20	08/28/20	7.42	0.22 W	0.00	219 of 332 - Sale <sup>25</sup>
0.242		4.59	08/28/20	4.77	0.18 W	0.00	220 of 332 - Sale <sup>25</sup>
0.282		5.35	08/28/20	5.51	0.16 W	0.00	221 of 332 - Sale <sup>25</sup>
0.379		7.20	08/28/20	7.63	0.43 W	0.00	222 of 332 - Sale <sup>25</sup>
0.379		7.20	08/28/20	7.48	0.28 W	0.00	223 of 332 - Sale <sup>25</sup>
0.621		11.79	08/28/20	12.46	0.67 W	0.00	224 of 332 - Sale <sup>25</sup>
0.621		11.79	08/28/20	12.33	0.54 W	0.00	225 of 332 - Sale <sup>25</sup>
0.621		11.79	08/28/20	13.75	1.96 W	0.00	226 of 332 - Sale <sup>25</sup>
0.896		17.02	08/28/20	17.86	0.84 W	0.00	227 of 332 - Sale <sup>25</sup>
1.242		23.58	08/28/20	25.50	1.92 W	0.00	228 of 332 - Sale <sup>25</sup>
1.242		23.58	08/28/20	25.56	1.98 W	0.00	229 of 332 - Sale <sup>25</sup>
1.242		23.58	08/28/20	24.33	0.75 W	0.00	230 of 332 - Sale <sup>25</sup>
1.517		28.81	08/28/20	32.50	3.69 W	0.00	231 of 332 - Sale <sup>25</sup>
1.621		30.78	08/28/20	32.19	1.41 W	0.00	232 of 332 - Sale <sup>25</sup>
1.758		33.39	08/28/20	34.93	1.54 W	0.00	233 of 332 - Sale <sup>25</sup>
2.242		42.57	08/28/20	44.59	2.02 W	0.00	234 of 332 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	2.483	47.15	08/28/20	50.71	3.56 W	0.00	235 of 332 - Sale <sup>25</sup>
	2.483	47.15	08/28/20	48.61	1.46 W	0.00	236 of 332 - Sale <sup>25</sup>
	2.483	47.15	08/28/20	53.22	6.07 W	0.00	237 of 332 - Sale <sup>25</sup>
	2.517	47.80	08/28/20	52.48	4.68 W	0.00	238 of 332 - Sale <sup>25</sup>
	2.517	47.80	08/28/20	53.78	5.98 W	0.00	239 of 332 - Sale <sup>25</sup>
	2.758	52.38	08/28/20	54.62	2.24 W	0.00	240 of 332 - Sale <sup>25</sup>
	2.862	54.36	08/28/20	61.33	6.97 W	0.00	241 of 332 - Sale <sup>25</sup>
	3.242	61.56	08/28/20	64.44	2.88 W	0.00	242 of 332 - Sale <sup>25</sup>
	3.758	71.37	08/28/20	76.18	4.81 W	0.00	243 of 332 - Sale <sup>25</sup>
	3.758	71.37	08/28/20	81.40	10.03 W	0.00	244 of 332 - Sale <sup>25</sup>
	4.615	87.63	08/28/20	92.93	5.30 W	0.00	245 of 332 - Sale <sup>25</sup>
	5.000	94.95	08/28/20	98.91	3.96 W	0.00	246 of 332 - Sale <sup>25</sup>
	5.000	94.95	08/28/20	108.03	13.08 W	0.00	247 of 332 - Sale <sup>25</sup>
	6.862	130.32	08/28/20	136.17	5.85 W	0.00	248 of 332 - Sale <sup>25</sup>
	7.242	137.52	08/28/20	144.32	6.80 W	0.00	249 of 332 - Sale <sup>25</sup>
	7.758	147.33	08/28/20	152.84	5.51 W	0.00	250 of 332 - Sale <sup>25</sup>
	9.138	173.52	08/28/20	179.17	5.65 W	0.00	251 of 332 - Sale <sup>25</sup>
	10.000	189.90	08/28/20	193.43	3.53 W	0.00	252 of 332 - Sale <sup>25</sup>
	2.994	56.85	08/28/20	58.69	1.84 W	0.00	253 of 332 - Sale <sup>25</sup>
	3.006	57.09	08/28/20	58.96	1.87 W	0.00	254 of 332 - Sale <sup>25</sup>
	4.862	92.34	08/28/20	95.34	3.00 W	0.00	255 of 332 - Sale <sup>25</sup>
	5.000	94.95	08/28/20	97.19	2.24 W	0.00	256 of 332 - Sale <sup>25</sup>
	84.138	1,597.77	08/28/20	1,730.12	132.35 W	0.00	257 of 332 - Sale <sup>25</sup>
	10.000	189.90	08/28/20	207.48	17.58 W	0.00	258 of 332 - Sale <sup>25</sup>
	10.000	189.90	08/28/20	207.53	17.63 W	0.00	259 of 332 - Sale <sup>25</sup>
	15.862	301.23	08/28/20	326.17	24.94 W	0.00	260 of 332 - Sale <sup>25</sup>
	64.138	1,217.98	08/28/20	1,318.87	100.89 W	0.00	261 of 332 - Sale <sup>25</sup>
	10.000	189.90	08/28/20	207.73	17.83 W	0.00	262 of 332 - Sale <sup>25</sup>
	15.862	301.22	08/28/20	327.13	25.91 W	0.00	263 of 332 - Sale <sup>25</sup>
	25.000	474.75	08/28/20	515.59	40.84 W	0.00	264 of 332 - Sale <sup>25</sup>
	25.138	477.37	08/28/20	522.19	44.82 W	0.00	265 of 332 - Sale <sup>25</sup>
	19.000	356.86	08/28/20	367.89	11.03 W	0.00	266 of 332 - Sale <sup>25</sup>
	32.000	601.02	08/28/20	619.61	18.59 W	0.00	267 of 332 - Sale <sup>25</sup>
	54.000	1,014.22	08/28/20	1,050.98	36.76 W	0.00	268 of 332 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.862	16.20	08/28/20	17.86	1.66 W	0.00	269 of 332 - Sale <sup>25</sup>
	4.000	75.13	08/28/20	82.85	7.72 W	0.00	270 of 332 - Sale <sup>25</sup>
	31.138	584.82	08/28/20	602.90	18.08 W	0.00	271 of 332 - Sale <sup>25</sup>
	49.000	920.31	08/28/20	948.77	28.46 W	0.00	272 of 332 - Sale <sup>25</sup>
	6.000	112.69	08/28/20	116.78	4.09 W	0.00	273 of 332 - Sale <sup>25</sup>
	0.896	16.83	08/28/20	17.79	0.96 W	0.00	274 of 332 - Sale <sup>25</sup>
	5.000	93.91	08/28/20	99.16	5.25 W	0.00	275 of 332 - Sale <sup>25</sup>
	5.104	95.86	08/28/20	101.97	6.11 W	0.00	276 of 332 - Sale <sup>25</sup>
	0.242	4.54	08/28/20	4.75	0.21 W	0.00	277 of 332 - Sale <sup>25</sup>
	0.379	7.12	08/28/20	7.44	0.32 W	0.00	278 of 332 - Sale <sup>25</sup>
	0.242	4.54	08/28/20	4.78	0.24 W	0.00	279 of 332 - Sale <sup>25</sup>
	0.282	5.29	08/28/20	5.52	0.23 W	0.00	280 of 332 - Sale <sup>25</sup>
	0.379	7.12	08/28/20	7.65	0.53 W	0.00	281 of 332 - Sale <sup>25</sup>
	0.379	7.12	08/28/20	7.50	0.38 W	0.00	282 of 332 - Sale <sup>25</sup>
	0.621	11.66	08/28/20	12.49	0.83 W	0.00	283 of 332 - Sale <sup>25</sup>
	0.896	16.83	08/28/20	17.90	1.07 W	0.00	284 of 332 - Sale <sup>25</sup>
	1.242	23.32	08/28/20	25.56	2.24 W	0.00	285 of 332 - Sale <sup>25</sup>
	1.242	23.32	08/28/20	25.62	2.30 W	0.00	286 of 332 - Sale <sup>25</sup>
	1.242	23.32	08/28/20	24.39	1.07 W	0.00	287 of 332 - Sale <sup>25</sup>
	2.138	40.15	08/28/20	42.71	2.56 W	0.00	288 of 332 - Sale <sup>25</sup>
	2.483	46.64	08/28/20	50.84	4.20 W	0.00	289 of 332 - Sale <sup>25</sup>
	2.483	46.64	08/28/20	48.74	2.10 W	0.00	290 of 332 - Sale <sup>25</sup>
	2.517	47.27	08/28/20	52.60	5.33 W	0.00	291 of 332 - Sale <sup>25</sup>
	3.758	70.59	08/28/20	76.37	5.78 W	0.00	292 of 332 - Sale <sup>25</sup>
	4.615	86.67	08/28/20	93.16	6.49 W	0.00	293 of 332 - Sale <sup>25</sup>
	6.862	128.89	08/28/20	136.51	7.62 W	0.00	294 of 332 - Sale <sup>25</sup>
	5.000	93.91	08/28/20	97.31	3.40 W	0.00	295 of 332 - Sale <sup>25</sup>
	5.000	93.91	08/28/20	96.97	3.06 W	0.00	296 of 332 - Sale <sup>25</sup>
	8.000	150.25	08/28/20	155.70	5.45 W	0.00	297 of 332 - Sale <sup>25</sup>
	10.000	187.82	08/28/20	194.63	6.81 W	0.00	298 of 332 - Sale <sup>25</sup>
	90.000	1,690.37	08/28/20	1,751.68	61.31 W	0.00	299 of 332 - Sale <sup>25</sup>
	100.000	1,878.19	08/28/20	1,946.26	68.07 W	0.00	300 of 332 - Sale <sup>25</sup>
	100.000	1,878.19	08/28/20	1,946.27	68.08 W	0.00	301 of 332 - Sale <sup>25</sup>
	100.000	1,878.18	08/28/20	1,946.26	68.08 W	0.00	302 of 332 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.621	11.66	08/28/20	12.36	0.70 W	0.00	303 of 332 - Sale <sup>25</sup>
	0.621	11.66	08/28/20	13.78	2.12 W	0.00	304 of 332 - Sale <sup>25</sup>
	1.517	28.49	08/28/20	32.57	4.08 W	0.00	305 of 332 - Sale <sup>25</sup>
	1.621	30.44	08/28/20	32.27	1.83 W	0.00	306 of 332 - Sale <sup>25</sup>
	1.758	33.03	08/28/20	35.02	1.99 W	0.00	307 of 332 - Sale <sup>25</sup>
	1.862	34.98	08/28/20	36.97	1.99 W	0.00	308 of 332 - Sale <sup>25</sup>
	2.242	42.10	08/28/20	44.70	2.60 W	0.00	309 of 332 - Sale <sup>25</sup>
	2.483	46.64	08/28/20	53.35	6.71 W	0.00	310 of 332 - Sale <sup>25</sup>
	2.517	47.27	08/28/20	53.90	6.63 W	0.00	311 of 332 - Sale <sup>25</sup>
	2.862	53.76	08/28/20	61.47	7.71 W	0.00	312 of 332 - Sale <sup>25</sup>
	3.242	60.88	08/28/20	64.60	3.72 W	0.00	313 of 332 - Sale <sup>25</sup>
	3.758	70.59	08/28/20	81.59	11.00 W	0.00	314 of 332 - Sale <sup>25</sup>
	5.000	93.91	08/28/20	108.28	14.37 W	0.00	315 of 332 - Sale <sup>25</sup>
	7.758	145.72	08/28/20	153.23	7.51 W	0.00	316 of 332 - Sale <sup>25</sup>
	2.994	56.23	08/28/20	58.84	2.61 W	0.00	317 of 332 - Sale <sup>25</sup>
	3.006	56.46	08/28/20	59.11	2.65 W	0.00	318 of 332 - Sale <sup>25</sup>
	4.862	91.32	08/28/20	95.58	4.26 W	0.00	319 of 332 - Sale <sup>25</sup>
	5.000	93.91	08/28/20	97.44	3.53 W	0.00	320 of 332 - Sale <sup>25</sup>
	9.138	171.62	08/28/20	179.63	8.01 W	0.00	321 of 332 - Sale <sup>25</sup>
	10.000	187.82	08/28/20	207.98	20.16 W	0.00	322 of 332 - Sale <sup>25</sup>
	10.000	187.82	08/28/20	208.03	20.21 W	0.00	323 of 332 - Sale <sup>25</sup>
	10.000	187.82	08/28/20	208.23	20.41 W	0.00	324 of 332 - Sale <sup>25</sup>
	15.862	297.92	08/28/20	326.96	29.04 W	0.00	325 of 332 - Sale <sup>25</sup>
	15.862	297.92	08/28/20	327.93	30.01 W	0.00	326 of 332 - Sale <sup>25</sup>
	25.000	469.55	08/28/20	516.84	47.29 W	0.00	327 of 332 - Sale <sup>25</sup>
	25.138	472.13	08/28/20	523.44	51.31 W	0.00	328 of 332 - Sale <sup>25</sup>
	64.138	1,204.62	08/28/20	1,322.07	117.45 W	0.00	329 of 332 - Sale <sup>25</sup>
	84.138	1,580.26	08/28/20	1,734.33	154.07 W	0.00	330 of 332 - Sale <sup>25</sup>
	10.000	187.82	08/28/20	193.93	6.11 W	0.00	331 of 332 - Sale <sup>25</sup>
	1,010.000	18,969.67	08/28/20	19,230.40	260.73 W	0.00	332 of 332 - Sale <sup>25</sup>
08/28/20	4,934.856	93,299.43	Various	97,109.32	3,809.89 W	0.00	Total of 332 transactions
	8 transactions for 08/31/20. Total proceeds and cost reported to the IRS.						
	3.006	57.54	08/28/20	57.54	...	0.00	1 of 8 - Sale <sup>25</sup>
	4.862	93.06	08/28/20	93.05	...	0.01	2 of 8 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	2.994	57.30	08/28/20	57.28	...	0.02	3 of 8 - Sale <sup>25</sup>
	9.138	174.89	08/28/20	174.86	...	0.03	4 of 8 - Sale <sup>25</sup>
	5.000	95.70	08/28/20	94.83	...	0.87	5 of 8 - Sale <sup>25</sup>
	10.000	191.40	08/28/20	188.78	...	2.62	6 of 8 - Sale <sup>25</sup>
	14.000	267.96	08/28/20	264.28	...	3.68	7 of 8 - Sale <sup>25</sup>
	31.138	595.96	08/28/20	587.79	...	8.17	8 of 8 - Sale <sup>25</sup>
08/31/20	80.138	1,533.81	Various	1,518.41	...	15.40	Total of 8 transactions
	44 transactions for 08/31/20. Total proceeds and cost reported to the IRS.						
	0.862	15.71	08/28/20	17.41	1.70 W	0.00	1 of 44 - Sale <sup>25</sup>
	4.000	72.89	08/28/20	80.76	7.87 W	0.00	2 of 44 - Sale <sup>25</sup>
	14.000	255.13	08/28/20	263.77	8.64 W	0.00	3 of 44 - Sale <sup>25</sup>
	31.138	567.43	08/28/20	586.65	19.22 W	0.00	4 of 44 - Sale <sup>25</sup>
	10.000	182.23	08/28/20	188.41	6.18 W	0.00	5 of 44 - Sale <sup>25</sup>
	0.242	4.40	08/28/20	4.62	0.22 W	0.00	6 of 44 - Sale <sup>25</sup>
	0.379	6.91	08/28/20	7.24	0.33 W	0.00	7 of 44 - Sale <sup>25</sup>
	0.242	4.40	08/28/20	4.65	0.25 W	0.00	8 of 44 - Sale <sup>25</sup>
	0.282	5.13	08/28/20	5.37	0.24 W	0.00	9 of 44 - Sale <sup>25</sup>
	0.379	6.91	08/28/20	7.45	0.54 W	0.00	10 of 44 - Sale <sup>25</sup>
	0.379	6.91	08/28/20	7.30	0.39 W	0.00	11 of 44 - Sale <sup>25</sup>
	0.621	11.31	08/28/20	12.17	0.86 W	0.00	12 of 44 - Sale <sup>25</sup>
	0.896	16.33	08/28/20	17.43	1.10 W	0.00	13 of 44 - Sale <sup>25</sup>
	1.242	22.63	08/28/20	24.91	2.28 W	0.00	14 of 44 - Sale <sup>25</sup>
	1.242	22.63	08/28/20	24.97	2.34 W	0.00	15 of 44 - Sale <sup>25</sup>
	1.242	22.63	08/28/20	23.74	1.11 W	0.00	16 of 44 - Sale <sup>25</sup>
	2.138	38.96	08/28/20	41.59	2.63 W	0.00	17 of 44 - Sale <sup>25</sup>
	2.483	45.25	08/28/20	49.54	4.29 W	0.00	18 of 44 - Sale <sup>25</sup>
	2.483	45.25	08/28/20	47.44	2.19 W	0.00	19 of 44 - Sale <sup>25</sup>
	2.517	45.87	08/28/20	51.29	5.42 W	0.00	20 of 44 - Sale <sup>25</sup>
	3.758	68.49	08/28/20	74.41	5.92 W	0.00	21 of 44 - Sale <sup>25</sup>
	4.615	84.09	08/28/20	90.75	6.66 W	0.00	22 of 44 - Sale <sup>25</sup>
	2.896	52.78	08/28/20	55.92	3.14 W	0.00	23 of 44 - Sale <sup>25</sup>
	5.104	93.01	08/28/20	99.31	6.30 W	0.00	24 of 44 - Sale <sup>25</sup>
	6.862	125.05	08/28/20	132.93	7.88 W	0.00	25 of 44 - Sale <sup>25</sup>
	0.621	11.88	08/28/20	12.04	0.16 W	0.00	26 of 44 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.621	11.88	08/28/20	13.46	1.58 W	0.00	27 of 44 - Sale <sup>25</sup>
	0.896	17.15	08/28/20	17.32	0.17 W	0.00	28 of 44 - Sale <sup>25</sup>
	1.517	29.03	08/28/20	31.78	2.75 W	0.00	29 of 44 - Sale <sup>25</sup>
	1.621	31.02	08/28/20	31.43	0.41 W	0.00	30 of 44 - Sale <sup>25</sup>
	1.758	33.66	08/28/20	34.10	0.44 W	0.00	31 of 44 - Sale <sup>25</sup>
	1.862	35.64	08/28/20	36.00	0.36 W	0.00	32 of 44 - Sale <sup>25</sup>
	2.242	42.90	08/28/20	43.53	0.63 W	0.00	33 of 44 - Sale <sup>25</sup>
	2.483	47.52	08/28/20	52.05	4.53 W	0.00	34 of 44 - Sale <sup>25</sup>
	2.517	48.17	08/28/20	52.59	4.42 W	0.00	35 of 44 - Sale <sup>25</sup>
	2.862	54.78	08/28/20	59.98	5.20 W	0.00	36 of 44 - Sale <sup>25</sup>
	3.242	62.04	08/28/20	62.91	0.87 W	0.00	37 of 44 - Sale <sup>25</sup>
	3.758	71.93	08/28/20	79.63	7.70 W	0.00	38 of 44 - Sale <sup>25</sup>
	2.104	40.27	08/28/20	40.63	0.36 W	0.00	39 of 44 - Sale <sup>25</sup>
	5.000	95.70	08/28/20	105.67	9.97 W	0.00	40 of 44 - Sale <sup>25</sup>
	7.758	148.49	08/28/20	149.18	0.69 W	0.00	41 of 44 - Sale <sup>25</sup>
	0.862	16.50	08/28/20	17.45	0.95 W	0.00	42 of 44 - Sale <sup>25</sup>
	4.000	76.56	08/28/20	80.91	4.35 W	0.00	43 of 44 - Sale <sup>25</sup>
	1.138	21.77	08/28/20	22.86	1.09 W	0.00	44 of 44 - Sale <sup>25</sup>
08/31/20	146.862	2,719.22	Various	2,863.55	144.33 W	0.00	Total of 44 transactions
	6 transactions for 09/03/20. Total proceeds and cost reported to the IRS.						
	10.000	185.80	08/28/20	200.91	15.11 W	0.00	1 of 6 - Sale <sup>25</sup>
	5.000	92.90	08/28/20	100.46	7.56 W	0.00	2 of 6 - Sale <sup>25</sup>
	10.000	185.80	08/28/20	200.91	15.11 W	0.00	3 of 6 - Sale <sup>25</sup>
	15.862	294.40	08/28/20	318.69	24.29 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.138	21.11	08/28/20	22.86	1.75 W	0.00	5 of 6 - Sale <sup>25</sup>
	58.000	1,076.44	08/28/20	1,165.28	88.84 W	0.00	6 of 6 - Sale <sup>25</sup>
09/03/20	100.000	1,856.45	Various	2,009.11	152.66 W	0.00	Total of 6 transactions
	160 transactions for 09/08/20. Total proceeds and cost reported to the IRS.						
	10.000	170.69	08/28/20	202.76	32.07 W	0.00	1 of 160 - Sale <sup>25</sup>
	10.000	170.70	08/28/20	202.81	32.11 W	0.00	2 of 160 - Sale <sup>25</sup>
	15.862	270.76	08/28/20	319.66	48.90 W	0.00	3 of 160 - Sale <sup>25</sup>
	1.138	19.42	08/28/20	22.93	3.51 W	0.00	4 of 160 - Sale <sup>25</sup>
	63.000	1,075.38	08/28/20	1,265.74	190.36 W	0.00	5 of 160 - Sale <sup>25</sup>
	10.000	170.69	08/28/20	203.01	32.32 W	0.00	6 of 160 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)gross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	23.862	407.32	08/28/20	480.86	73.54 W	0.00	7 of 160 - Sale <sup>25</sup>
	25.138	429.09	08/28/20	510.32	81.23 W	0.00	8 of 160 - Sale <sup>25</sup>
	16.000	273.11	08/28/20	301.45	28.34 W	0.00	9 of 160 - Sale <sup>25</sup>
	25.000	426.74	08/28/20	471.02	44.28 W	0.00	10 of 160 - Sale <sup>25</sup>
	6.000	102.41	08/28/20	113.65	11.24 W	0.00	11 of 160 - Sale <sup>25</sup>
	5.000	85.35	08/28/20	94.71	9.36 W	0.00	12 of 160 - Sale <sup>25</sup>
	3.000	51.21	08/28/20	56.52	5.31 W	0.00	13 of 160 - Sale <sup>25</sup>
	32.000	546.22	08/28/20	602.91	56.69 W	0.00	14 of 160 - Sale <sup>25</sup>
	54.000	921.76	08/28/20	1,022.80	101.04 W	0.00	15 of 160 - Sale <sup>25</sup>
	3.000	51.21	08/28/20	56.82	5.61 W	0.00	16 of 160 - Sale <sup>25</sup>
	97.000	1,655.74	08/28/20	1,837.25	181.51 W	0.00	17 of 160 - Sale <sup>25</sup>
	3.000	51.21	08/28/20	56.82	5.61 W	0.00	18 of 160 - Sale <sup>25</sup>
	100.000	1,706.95	08/28/20	1,894.08	187.13 W	0.00	19 of 160 - Sale <sup>25</sup>
	97.000	1,655.74	08/28/20	1,837.26	181.52 W	0.00	20 of 160 - Sale <sup>25</sup>
	5.000	85.35	08/28/20	94.70	9.35 W	0.00	21 of 160 - Sale <sup>25</sup>
	5.000	85.35	08/28/20	94.36	9.01 W	0.00	22 of 160 - Sale <sup>25</sup>
	10.000	170.69	08/28/20	189.41	18.72 W	0.00	23 of 160 - Sale <sup>25</sup>
	10.000	170.69	08/28/20	188.71	18.02 W	0.00	24 of 160 - Sale <sup>25</sup>
	90.000	1,536.26	08/28/20	1,704.71	168.45 W	0.00	25 of 160 - Sale <sup>25</sup>
	3.000	51.21	08/28/20	56.82	5.61 W	0.00	26 of 160 - Sale <sup>25</sup>
	577.000	9,849.10	08/28/20	10,684.97	835.87 W	0.00	27 of 160 - Sale <sup>25</sup>
	200.000	3,413.90	08/28/20	3,703.63	289.73 W	0.00	28 of 160 - Sale <sup>25</sup>
	100.000	1,706.95	08/28/20	1,851.82	144.87 W	0.00	29 of 160 - Sale <sup>25</sup>
	133.000	2,268.91	08/28/20	2,462.91	194.00 W	0.00	30 of 160 - Sale <sup>25</sup>
	40.000	682.38	08/28/20	730.40	48.02 W	0.00	31 of 160 - Sale <sup>25</sup>
	26.138	446.16	08/31/20	571.67	125.51 W	0.00	32 of 160 - Sale <sup>25</sup>
	1.138	19.42	08/31/20	23.20	3.78 W	0.00	33 of 160 - Sale <sup>25</sup>
	15.862	270.76	08/31/20	323.30	52.54 W	0.00	34 of 160 - Sale <sup>25</sup>
	49.862	851.12	08/31/20	1,016.28	165.16 W	0.00	35 of 160 - Sale <sup>25</sup>
	1.138	19.42	08/31/20	24.96	5.54 W	0.00	36 of 160 - Sale <sup>25</sup>
	9.275	158.32	08/31/20	204.82	46.50 W	0.00	37 of 160 - Sale <sup>25</sup>
	10.000	170.69	08/31/20	220.57	49.88 W	0.00	38 of 160 - Sale <sup>25</sup>
	10.000	170.70	08/31/20	220.61	49.91 W	0.00	39 of 160 - Sale <sup>25</sup>
	15.862	270.76	08/31/20	347.91	77.15 W	0.00	40 of 160 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	23.862	407.32	08/31/20	523.34	116.02 W	0.00	41 of 160 - Sale <sup>25</sup>
	29.862	509.74	08/31/20	653.13	143.39 W	0.00	42 of 160 - Sale <sup>25</sup>
	0.725	12.37	08/31/20	15.98	3.61 W	0.00	43 of 160 - Sale <sup>25</sup>
	6.275	107.12	08/31/20	138.38	31.26 W	0.00	44 of 160 - Sale <sup>25</sup>
	0.242	4.12	08/31/20	4.77	0.65 W	0.00	45 of 160 - Sale <sup>25</sup>
	0.379	6.47	08/31/20	7.48	1.01 W	0.00	46 of 160 - Sale <sup>25</sup>
	0.379	6.47	08/31/20	7.69	1.22 W	0.00	47 of 160 - Sale <sup>25</sup>
	1.242	21.18	08/31/20	25.68	4.50 W	0.00	48 of 160 - Sale <sup>25</sup>
	1.242	21.18	08/31/20	25.74	4.56 W	0.00	49 of 160 - Sale <sup>25</sup>
	1.242	21.18	08/31/20	24.52	3.34 W	0.00	50 of 160 - Sale <sup>25</sup>
	1.902	32.45	08/31/20	37.54	5.09 W	0.00	51 of 160 - Sale <sup>25</sup>
	2.483	42.36	08/31/20	51.10	8.74 W	0.00	52 of 160 - Sale <sup>25</sup>
	2.517	42.94	08/31/20	52.86	9.92 W	0.00	53 of 160 - Sale <sup>25</sup>
	3.758	64.12	08/31/20	76.77	12.65 W	0.00	54 of 160 - Sale <sup>25</sup>
	4.615	78.72	08/31/20	93.64	14.92 W	0.00	55 of 160 - Sale <sup>25</sup>
	0.242	4.12	08/31/20	4.80	0.68 W	0.00	56 of 160 - Sale <sup>25</sup>
	0.282	4.80	08/31/20	5.55	0.75 W	0.00	57 of 160 - Sale <sup>25</sup>
	0.379	6.47	08/31/20	7.54	1.07 W	0.00	58 of 160 - Sale <sup>25</sup>
	0.581	9.91	08/31/20	11.46	1.55 W	0.00	59 of 160 - Sale <sup>25</sup>
	0.621	10.59	08/31/20	12.56	1.97 W	0.00	60 of 160 - Sale <sup>25</sup>
	0.621	10.59	08/31/20	11.86	1.27 W	0.00	61 of 160 - Sale <sup>25</sup>
	0.621	10.59	08/31/20	13.28	2.69 W	0.00	62 of 160 - Sale <sup>25</sup>
	0.896	15.29	08/31/20	17.99	2.70 W	0.00	63 of 160 - Sale <sup>25</sup>
	0.896	15.29	08/31/20	17.06	1.77 W	0.00	64 of 160 - Sale <sup>25</sup>
	1.517	25.88	08/31/20	31.34	5.46 W	0.00	65 of 160 - Sale <sup>25</sup>
	1.621	27.65	08/31/20	30.96	3.31 W	0.00	66 of 160 - Sale <sup>25</sup>
	1.758	30.00	08/31/20	33.59	3.59 W	0.00	67 of 160 - Sale <sup>25</sup>
	1.862	31.77	08/31/20	35.46	3.69 W	0.00	68 of 160 - Sale <sup>25</sup>
	2.104	35.89	08/31/20	40.02	4.13 W	0.00	69 of 160 - Sale <sup>25</sup>
	2.138	36.47	08/31/20	42.93	6.46 W	0.00	70 of 160 - Sale <sup>25</sup>
	2.242	38.24	08/31/20	42.88	4.64 W	0.00	71 of 160 - Sale <sup>25</sup>
	2.483	42.36	08/31/20	51.34	8.98 W	0.00	72 of 160 - Sale <sup>25</sup>
	2.517	42.94	08/31/20	51.86	8.92 W	0.00	73 of 160 - Sale <sup>25</sup>
	2.862	48.83	08/31/20	59.15	10.32 W	0.00	74 of 160 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	2.896	49.41	08/31/20	57.73	8.32 W	0.00	75 of 160 - Sale <sup>25</sup>
	3.242	55.30	08/31/20	61.97	6.67 W	0.00	76 of 160 - Sale <sup>25</sup>
	3.758	64.12	08/31/20	78.55	14.43 W	0.00	77 of 160 - Sale <sup>25</sup>
	5.000	85.30	08/31/20	104.22	18.92 W	0.00	78 of 160 - Sale <sup>25</sup>
	5.104	87.07	08/31/20	102.51	15.44 W	0.00	79 of 160 - Sale <sup>25</sup>
	6.862	117.07	08/31/20	137.23	20.16 W	0.00	80 of 160 - Sale <sup>25</sup>
	7.758	132.35	08/31/20	146.94	14.59 W	0.00	81 of 160 - Sale <sup>25</sup>
	0.862	14.71	08/31/20	17.21	2.50 W	0.00	82 of 160 - Sale <sup>25</sup>
	4.000	68.24	08/31/20	79.75	11.51 W	0.00	83 of 160 - Sale <sup>25</sup>
	5.000	85.29	08/31/20	101.81	16.52 W	0.00	84 of 160 - Sale <sup>25</sup>
	8.138	138.82	08/31/20	165.86	27.04 W	0.00	85 of 160 - Sale <sup>25</sup>
	10.000	170.59	08/31/20	203.61	33.02 W	0.00	86 of 160 - Sale <sup>25</sup>
	10.000	170.59	08/31/20	203.61	33.02 W	0.00	87 of 160 - Sale <sup>25</sup>
	1.138	19.41	08/31/20	22.54	3.13 W	0.00	88 of 160 - Sale <sup>25</sup>
	7.000	119.41	08/31/20	153.10	33.69 W	0.00	89 of 160 - Sale <sup>25</sup>
	18.862	325.23	09/08/20	381.61	56.38 W	0.00	90 of 160 - Sale <sup>25</sup>
	3.000	51.73	09/08/20	56.31	4.58 W	0.00	91 of 160 - Sale <sup>25</sup>
	3.000	51.73	09/08/20	56.61	4.88 W	0.00	92 of 160 - Sale <sup>25</sup>
	3.000	51.73	09/08/20	56.61	4.88 W	0.00	93 of 160 - Sale <sup>25</sup>
	3.000	51.73	09/08/20	56.61	4.88 W	0.00	94 of 160 - Sale <sup>25</sup>
	5.000	86.21	09/08/20	94.36	8.15 W	0.00	95 of 160 - Sale <sup>25</sup>
	6.000	103.45	09/08/20	113.24	9.79 W	0.00	96 of 160 - Sale <sup>25</sup>
	10.000	172.42	09/08/20	188.72	16.30 W	0.00	97 of 160 - Sale <sup>25</sup>
	16.000	275.87	09/08/20	300.34	24.47 W	0.00	98 of 160 - Sale <sup>25</sup>
	25.000	431.05	09/08/20	469.28	38.23 W	0.00	99 of 160 - Sale <sup>25</sup>
	32.000	551.75	09/08/20	600.69	48.94 W	0.00	100 of 160 - Sale <sup>25</sup>
	54.000	931.07	09/08/20	1,019.04	87.97 W	0.00	101 of 160 - Sale <sup>25</sup>
	27.138	467.90	09/08/20	512.13	44.23 W	0.00	102 of 160 - Sale <sup>25</sup>
	97.000	1,672.48	09/08/20	1,830.51	158.03 W	0.00	103 of 160 - Sale <sup>25</sup>
	97.000	1,672.48	09/08/20	1,830.52	158.04 W	0.00	104 of 160 - Sale <sup>25</sup>
	100.000	1,724.21	09/08/20	1,887.13	162.92 W	0.00	105 of 160 - Sale <sup>25</sup>
	5.000	86.80	09/08/20	94.35	7.55 W	0.00	106 of 160 - Sale <sup>25</sup>
	5.000	86.80	09/08/20	94.01	7.21 W	0.00	107 of 160 - Sale <sup>25</sup>
	10.000	173.60	09/08/20	188.02	14.42 W	0.00	108 of 160 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	62.862	1,091.26	09/08/20	1,186.32	95.06 W	0.00	109 of 160 - Sale <sup>25</sup>
	417.138	7,241.33	09/08/20	7,695.63	454.30 W	0.00	110 of 160 - Sale <sup>25</sup>
	19.000	325.66	09/08/20	350.52	24.86 W	0.00	111 of 160 - Sale <sup>25</sup>
	0.242	4.14	09/08/20	4.76	0.62 W	0.00	112 of 160 - Sale <sup>25</sup>
	0.379	6.50	09/08/20	7.46	0.96 W	0.00	113 of 160 - Sale <sup>25</sup>
	0.379	6.50	09/08/20	7.67	1.17 W	0.00	114 of 160 - Sale <sup>25</sup>
	3.385	58.03	09/08/20	68.94	10.91 W	0.00	115 of 160 - Sale <sup>25</sup>
	4.615	79.09	09/08/20	93.37	14.28 W	0.00	116 of 160 - Sale <sup>25</sup>
	2.483	42.56	09/08/20	50.95	8.39 W	0.00	117 of 160 - Sale <sup>25</sup>
	1.144	19.61	09/08/20	23.96	4.35 W	0.00	118 of 160 - Sale <sup>25</sup>
	0.373	6.39	09/08/20	7.60	1.21 W	0.00	119 of 160 - Sale <sup>25</sup>
	0.242	4.14	09/08/20	4.79	0.65 W	0.00	120 of 160 - Sale <sup>25</sup>
	0.282	4.83	09/08/20	5.54	0.71 W	0.00	121 of 160 - Sale <sup>25</sup>
	0.379	6.50	09/08/20	7.52	1.02 W	0.00	122 of 160 - Sale <sup>25</sup>
	0.581	9.95	09/08/20	11.42	1.47 W	0.00	123 of 160 - Sale <sup>25</sup>
	0.621	10.64	09/08/20	12.52	1.88 W	0.00	124 of 160 - Sale <sup>25</sup>
	0.621	10.64	09/08/20	11.82	1.18 W	0.00	125 of 160 - Sale <sup>25</sup>
	0.621	10.64	09/08/20	13.24	2.60 W	0.00	126 of 160 - Sale <sup>25</sup>
	0.896	15.36	09/08/20	17.93	2.57 W	0.00	127 of 160 - Sale <sup>25</sup>
	0.896	15.36	09/08/20	17.00	1.64 W	0.00	128 of 160 - Sale <sup>25</sup>
	1.242	21.28	09/08/20	25.61	4.33 W	0.00	129 of 160 - Sale <sup>25</sup>
	1.242	21.28	09/08/20	25.67	4.39 W	0.00	130 of 160 - Sale <sup>25</sup>
	1.242	21.28	09/08/20	24.45	3.17 W	0.00	131 of 160 - Sale <sup>25</sup>
	1.517	26.00	09/08/20	31.25	5.25 W	0.00	132 of 160 - Sale <sup>25</sup>
	1.621	27.78	09/08/20	30.86	3.08 W	0.00	133 of 160 - Sale <sup>25</sup>
	1.758	30.14	09/08/20	33.48	3.34 W	0.00	134 of 160 - Sale <sup>25</sup>
	1.862	31.92	09/08/20	35.35	3.43 W	0.00	135 of 160 - Sale <sup>25</sup>
	1.902	32.61	09/08/20	37.43	4.82 W	0.00	136 of 160 - Sale <sup>25</sup>
	2.104	36.06	09/08/20	39.90	3.84 W	0.00	137 of 160 - Sale <sup>25</sup>
	2.138	36.64	09/08/20	42.80	6.16 W	0.00	138 of 160 - Sale <sup>25</sup>
	2.242	38.42	09/08/20	42.75	4.33 W	0.00	139 of 160 - Sale <sup>25</sup>
	2.483	42.56	09/08/20	51.19	8.63 W	0.00	140 of 160 - Sale <sup>25</sup>
	1.373	23.53	09/08/20	28.75	5.22 W	0.00	141 of 160 - Sale <sup>25</sup>
	2.517	43.14	09/08/20	51.71	8.57 W	0.00	142 of 160 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	2.862	49.06	09/08/20	58.98	9.92 W	0.00	143 of 160 - Sale <sup>25</sup>
	2.896	49.64	09/08/20	57.55	7.91 W	0.00	144 of 160 - Sale <sup>25</sup>
	3.242	55.56	09/08/20	61.78	6.22 W	0.00	145 of 160 - Sale <sup>25</sup>
	3.758	64.42	09/08/20	78.32	13.90 W	0.00	146 of 160 - Sale <sup>25</sup>
	5.000	85.70	09/08/20	103.92	18.22 W	0.00	147 of 160 - Sale <sup>25</sup>
	5.104	87.48	09/08/20	102.20	14.72 W	0.00	148 of 160 - Sale <sup>25</sup>
	6.862	117.62	09/08/20	136.82	19.20 W	0.00	149 of 160 - Sale <sup>25</sup>
	7.758	132.97	09/08/20	146.48	13.51 W	0.00	150 of 160 - Sale <sup>25</sup>
	0.862	14.78	09/08/20	17.16	2.38 W	0.00	151 of 160 - Sale <sup>25</sup>
	1.413	24.22	09/08/20	28.69	4.47 W	0.00	152 of 160 - Sale <sup>25</sup>
	4.000	68.56	09/08/20	79.51	10.95 W	0.00	153 of 160 - Sale <sup>25</sup>
	10.000	171.39	09/08/20	203.02	31.63 W	0.00	154 of 160 - Sale <sup>25</sup>
	65.862	1,128.82	09/08/20	1,215.07	86.25 W	0.00	155 of 160 - Sale <sup>25</sup>
	74.000	1,268.32	09/08/20	1,365.20	96.88 W	0.00	156 of 160 - Sale <sup>25</sup>
	26.000	445.63	09/08/20	479.66	34.03 W	0.00	157 of 160 - Sale <sup>25</sup>
	43.000	737.00	09/08/20	793.29	56.29 W	0.00	158 of 160 - Sale <sup>25</sup>
	174.000	2,982.26	09/08/20	3,210.07	227.81 W	0.00	159 of 160 - Sale <sup>25</sup>
	1.000	17.14	09/08/20	18.45	1.31 W	0.00	160 of 160 - Sale <sup>25</sup>
09/08/20	3,600.000	61,713.52	Various	68,252.45	6,538.93 W	0.00	Total of 160 transactions
09/09/20	8.000	142.09	09/08/20	147.59	5.50 W	0.00	Sale <sup>25</sup>
09/10/20	40.000	729.18	09/08/20	728.02	...	1.16	Sale <sup>25</sup>
	36 transactions for 09/10/20. Total proceeds and cost reported to the IRS.						
	49.000	893.25	09/08/20	903.99	10.74 W	0.00	1 of 36 - Sale <sup>25</sup>
	133.000	2,424.53	09/08/20	2,455.00	30.47 W	0.00	2 of 36 - Sale <sup>25</sup>
	0.725	13.21	09/08/20	15.93	2.72 W	0.00	3 of 36 - Sale <sup>25</sup>
	1.138	20.74	09/08/20	24.88	4.14 W	0.00	4 of 36 - Sale <sup>25</sup>
	6.275	114.40	09/08/20	137.94	23.54 W	0.00	5 of 36 - Sale <sup>25</sup>
	7.000	127.61	09/08/20	152.69	25.08 W	0.00	6 of 36 - Sale <sup>25</sup>
	9.275	169.09	09/08/20	204.18	35.09 W	0.00	7 of 36 - Sale <sup>25</sup>
	10.000	182.30	09/08/20	219.88	37.58 W	0.00	8 of 36 - Sale <sup>25</sup>
	10.000	182.30	09/08/20	219.91	37.61 W	0.00	9 of 36 - Sale <sup>25</sup>
	15.862	289.16	09/08/20	346.81	57.65 W	0.00	10 of 36 - Sale <sup>25</sup>
	23.862	435.00	09/08/20	521.68	86.68 W	0.00	11 of 36 - Sale <sup>25</sup>
	26.138	476.48	09/08/20	569.85	93.37 W	0.00	12 of 36 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	29.862	544.38	09/08/20	651.05	106.67 W	0.00	13 of 36 - Sale <sup>25</sup>
	1.138	20.74	09/08/20	23.12	2.38 W	0.00	14 of 36 - Sale <sup>25</sup>
	3.587	65.39	09/08/20	72.83	7.44 W	0.00	15 of 36 - Sale <sup>25</sup>
	8.138	148.35	09/08/20	165.38	17.03 W	0.00	16 of 36 - Sale <sup>25</sup>
	10.000	182.29	09/08/20	203.02	20.73 W	0.00	17 of 36 - Sale <sup>25</sup>
	15.862	289.16	09/08/20	322.20	33.04 W	0.00	18 of 36 - Sale <sup>25</sup>
	49.862	908.96	09/08/20	1,012.82	103.86 W	0.00	19 of 36 - Sale <sup>25</sup>
	1.138	20.74	09/08/20	22.47	1.73 W	0.00	20 of 36 - Sale <sup>25</sup>
	18.862	343.85	09/08/20	377.04	33.19 W	0.00	21 of 36 - Sale <sup>25</sup>
	21.275	387.84	09/08/20	394.21	6.37 W	0.00	22 of 36 - Sale <sup>25</sup>
	3.000	54.69	09/08/20	55.98	1.29 W	0.00	23 of 36 - Sale <sup>25</sup>
	0.725	13.21	09/09/20	14.24	1.03 W	0.00	24 of 36 - Sale <sup>25</sup>
	3.275	59.70	09/09/20	64.36	4.66 W	0.00	25 of 36 - Sale <sup>25</sup>
	1.000	18.23	09/09/20	19.52	1.29 W	0.00	26 of 36 - Sale <sup>25</sup>
	3.000	54.43	09/10/20	59.26	4.83 W	0.00	27 of 36 - Sale <sup>25</sup>
	11.725	212.74	09/10/20	231.61	18.87 W	0.00	28 of 36 - Sale <sup>25</sup>
	14.275	259.02	09/10/20	283.43	24.41 W	0.00	29 of 36 - Sale <sup>25</sup>
	32.000	580.62	09/10/20	632.14	51.52 W	0.00	30 of 36 - Sale <sup>25</sup>
	3.000	54.43	09/10/20	59.63	5.20 W	0.00	31 of 36 - Sale <sup>25</sup>
	5.000	90.72	09/10/20	99.40	8.68 W	0.00	32 of 36 - Sale <sup>25</sup>
	6.000	108.87	09/10/20	119.29	10.42 W	0.00	33 of 36 - Sale <sup>25</sup>
	15.275	277.16	09/10/20	303.67	26.51 W	0.00	34 of 36 - Sale <sup>25</sup>
	39.725	720.78	09/10/20	789.68	68.90 W	0.00	35 of 36 - Sale <sup>25</sup>
	5.000	90.73	09/10/20	99.40	8.67 W	0.00	36 of 36 - Sale <sup>25</sup>
09/10/20	595.000	10,835.10	Various	11,848.49	1,013.39 W	0.00	Total of 36 transactions
	5 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	25.000	455.31	09/11/20	480.73	25.42 W	0.00	1 of 5 - Sale <sup>25</sup>
	3.000	54.64	09/11/20	57.68	3.04 W	0.00	2 of 5 - Sale <sup>25</sup>
	45.275	824.58	09/11/20	870.61	46.03 W	0.00	3 of 5 - Sale <sup>25</sup>
	51.725	942.03	09/11/20	994.61	52.58 W	0.00	4 of 5 - Sale <sup>25</sup>
	47.000	855.99	09/11/20	914.44	58.45 W	0.00	5 of 5 - Sale <sup>25</sup>
09/14/20	172.000	3,132.55	Various	3,318.07	185.52 W	0.00	Total of 5 transactions

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	7.725	151.40	09/15/20	166.62	15.22 W	0.00	1 of 2 - Sale <sup>25</sup>
	20.275	397.38	09/15/20	437.31	39.93 W	0.00	2 of 2 - Sale <sup>25</sup>
09/15/20	28.000	548.78	Various	603.93	55.15 W	0.00	Total of 2 transactions
09/22/20	24.000	450.29	09/21/20	485.98	35.69 W	0.00	Sale <sup>25</sup>
14 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	7.000	132.40	09/22/20	145.44	13.04 W	0.00	1 of 14 - Sale <sup>25</sup>
	3.000	56.74	09/22/20	62.42	5.68 W	0.00	2 of 14 - Sale <sup>25</sup>
	1.000	18.91	09/22/20	20.82	1.91 W	0.00	3 of 14 - Sale <sup>25</sup>
	3.000	56.74	09/22/20	63.08	6.34 W	0.00	4 of 14 - Sale <sup>25</sup>
	10.000	189.15	09/22/20	210.30	21.15 W	0.00	5 of 14 - Sale <sup>25</sup>
	27.138	513.30	09/22/20	570.70	57.40 W	0.00	6 of 14 - Sale <sup>25</sup>
	27.138	513.29	09/22/20	567.51	54.22 W	0.00	7 of 14 - Sale <sup>25</sup>
	41.725	789.20	09/22/20	877.44	88.24 W	0.00	8 of 14 - Sale <sup>25</sup>
	1.000	18.92	09/22/20	20.83	1.91 W	0.00	9 of 14 - Sale <sup>25</sup>
	4.000	72.68	09/23/20	79.05	6.37 W	0.00	10 of 14 - Sale <sup>25</sup>
	3.000	54.51	09/23/20	59.17	4.66 W	0.00	11 of 14 - Sale <sup>25</sup>
	2.000	36.34	09/23/20	39.38	3.04 W	0.00	12 of 14 - Sale <sup>25</sup>
	1.000	17.81	09/23/20	19.69	1.88 W	0.00	13 of 14 - Sale <sup>25</sup>
	13.000	231.53	09/23/20	257.81	26.28 W	0.00	14 of 14 - Sale <sup>25</sup>
09/23/20	144.000	2,701.52	Various	2,993.64	292.12 W	0.00	Total of 14 transactions
7 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	5.000	107.50	10/05/20	115.80	8.30 W	0.00	1 of 7 - Sale <sup>25</sup>
	5.000	107.50	10/05/20	115.46	7.96 W	0.00	2 of 7 - Sale <sup>25</sup>
	10.000	215.01	10/05/20	230.92	15.91 W	0.00	3 of 7 - Sale <sup>25</sup>
	11.725	252.09	10/05/20	271.57	19.48 W	0.00	4 of 7 - Sale <sup>25</sup>
	66.275	1,424.99	10/05/20	1,507.04	82.05 W	0.00	5 of 7 - Sale <sup>25</sup>
	70.000	1,505.07	10/05/20	1,591.74	86.67 W	0.00	6 of 7 - Sale <sup>25</sup>
	2.000	43.00	10/05/20	45.48	2.48 W	0.00	7 of 7 - Sale <sup>25</sup>
10/05/20	170.000	3,655.16	Various	3,878.01	222.85 W	0.00	Total of 7 transactions
325 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	1.000	21.31	10/05/20	22.67	1.36 W	0.00	1 of 325 - Sale <sup>25</sup>
	0.242	5.15	10/05/20	5.83	0.68 W	0.00	2 of 325 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

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	0.379	8.08	10/05/20	9.15	1.07 W	0.00	3 of 325 - Sale <sup>25</sup>
	0.242	5.15	10/05/20	5.86	0.71 W	0.00	4 of 325 - Sale <sup>25</sup>
	0.282	6.00	10/05/20	6.79	0.79 W	0.00	5 of 325 - Sale <sup>25</sup>
	0.373	7.95	10/05/20	9.26	1.31 W	0.00	6 of 325 - Sale <sup>25</sup>
	0.379	8.08	10/05/20	9.36	1.28 W	0.00	7 of 325 - Sale <sup>25</sup>
	0.379	8.08	10/05/20	9.21	1.13 W	0.00	8 of 325 - Sale <sup>25</sup>
	0.581	12.38	10/05/20	14.01	1.63 W	0.00	9 of 325 - Sale <sup>25</sup>
	0.621	13.23	10/05/20	15.28	2.05 W	0.00	10 of 325 - Sale <sup>25</sup>
	0.621	13.23	10/05/20	14.58	1.35 W	0.00	11 of 325 - Sale <sup>25</sup>
	0.621	13.23	10/05/20	16.00	2.77 W	0.00	12 of 325 - Sale <sup>25</sup>
	0.896	19.10	10/05/20	21.92	2.82 W	0.00	13 of 325 - Sale <sup>25</sup>
	0.896	19.10	10/05/20	20.99	1.89 W	0.00	14 of 325 - Sale <sup>25</sup>
	1.144	24.38	10/05/20	29.05	4.67 W	0.00	15 of 325 - Sale <sup>25</sup>
	1.242	26.46	10/05/20	31.13	4.67 W	0.00	16 of 325 - Sale <sup>25</sup>
	1.242	26.46	10/05/20	31.19	4.73 W	0.00	17 of 325 - Sale <sup>25</sup>
	1.242	26.46	10/05/20	29.98	3.52 W	0.00	18 of 325 - Sale <sup>25</sup>
	1.373	29.26	10/05/20	34.86	5.60 W	0.00	19 of 325 - Sale <sup>25</sup>
	1.517	32.33	10/05/20	38.00	5.67 W	0.00	20 of 325 - Sale <sup>25</sup>
	1.621	34.54	10/05/20	38.07	3.53 W	0.00	21 of 325 - Sale <sup>25</sup>
	1.758	37.48	10/05/20	41.31	3.83 W	0.00	22 of 325 - Sale <sup>25</sup>
	1.862	39.69	10/05/20	43.64	3.95 W	0.00	23 of 325 - Sale <sup>25</sup>
	1.902	40.54	10/05/20	45.89	5.35 W	0.00	24 of 325 - Sale <sup>25</sup>
	2.104	44.84	10/05/20	49.26	4.42 W	0.00	25 of 325 - Sale <sup>25</sup>
	2.138	45.56	10/05/20	52.31	6.75 W	0.00	26 of 325 - Sale <sup>25</sup>
	2.242	47.77	10/05/20	52.72	4.95 W	0.00	27 of 325 - Sale <sup>25</sup>
	2.483	52.92	10/05/20	62.00	9.08 W	0.00	28 of 325 - Sale <sup>25</sup>
	2.483	52.92	10/05/20	62.24	9.32 W	0.00	29 of 325 - Sale <sup>25</sup>
	2.517	53.64	10/05/20	62.91	9.27 W	0.00	30 of 325 - Sale <sup>25</sup>
	2.862	61.00	10/05/20	71.72	10.72 W	0.00	31 of 325 - Sale <sup>25</sup>
	2.896	61.73	10/05/20	70.44	8.71 W	0.00	32 of 325 - Sale <sup>25</sup>
	3.242	69.09	10/05/20	76.21	7.12 W	0.00	33 of 325 - Sale <sup>25</sup>
	3.385	72.15	10/05/20	84.00	11.85 W	0.00	34 of 325 - Sale <sup>25</sup>
	3.758	80.10	10/05/20	95.05	14.95 W	0.00	35 of 325 - Sale <sup>25</sup>
	4.615	98.35	10/05/20	113.91	15.56 W	0.00	36 of 325 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	5.000	106.56	10/05/20	126.17	19.61 W	0.00	37 of 325 - Sale <sup>25</sup>
	5.104	108.78	10/05/20	124.91	16.13 W	0.00	38 of 325 - Sale <sup>25</sup>
	6.862	146.26	10/05/20	167.36	21.10 W	0.00	39 of 325 - Sale <sup>25</sup>
	7.758	165.36	10/05/20	181.02	15.66 W	0.00	40 of 325 - Sale <sup>25</sup>
	0.862	18.38	10/05/20	21.00	2.62 W	0.00	41 of 325 - Sale <sup>25</sup>
	1.413	30.12	10/05/20	34.98	4.86 W	0.00	42 of 325 - Sale <sup>25</sup>
	4.000	85.25	10/05/20	97.31	12.06 W	0.00	43 of 325 - Sale <sup>25</sup>
	10.000	213.13	10/05/20	247.53	34.40 W	0.00	44 of 325 - Sale <sup>25</sup>
	1.000	21.31	10/05/20	22.90	1.59 W	0.00	45 of 325 - Sale <sup>25</sup>
	19.000	404.95	10/05/20	435.07	30.12 W	0.00	46 of 325 - Sale <sup>25</sup>
	39.138	834.14	10/05/20	896.22	62.08 W	0.00	47 of 325 - Sale <sup>25</sup>
	65.862	1,403.72	10/05/20	1,508.21	104.49 W	0.00	48 of 325 - Sale <sup>25</sup>
	277.862	5,922.06	10/05/20	6,301.66	379.60 W	0.00	49 of 325 - Sale <sup>25</sup>
	17.138	365.25	10/05/20	392.60	27.35 W	0.00	50 of 325 - Sale <sup>25</sup>
	26.000	554.14	10/05/20	595.63	41.49 W	0.00	51 of 325 - Sale <sup>25</sup>
	34.862	743.02	10/05/20	798.67	55.65 W	0.00	52 of 325 - Sale <sup>25</sup>
	174.000	3,708.44	10/05/20	3,986.21	277.77 W	0.00	53 of 325 - Sale <sup>25</sup>
	7.000	149.19	10/05/20	176.28	27.09 W	0.00	54 of 325 - Sale <sup>25</sup>
	25.138	535.76	10/05/20	632.77	97.01 W	0.00	55 of 325 - Sale <sup>25</sup>
	49.000	1,044.33	10/05/20	1,069.14	24.81 W	0.00	56 of 325 - Sale <sup>25</sup>
	133.000	2,834.62	10/05/20	2,903.27	68.65 W	0.00	57 of 325 - Sale <sup>25</sup>
	8.000	170.50	10/05/20	178.30	7.80 W	0.00	58 of 325 - Sale <sup>25</sup>
	25.862	551.20	10/05/20	592.49	41.29 W	0.00	59 of 325 - Sale <sup>25</sup>
	1.000	21.31	10/05/20	25.15	3.84 W	0.00	60 of 325 - Sale <sup>25</sup>
	9.138	194.75	10/05/20	231.56	36.81 W	0.00	61 of 325 - Sale <sup>25</sup>
	10.000	213.13	10/05/20	253.38	40.25 W	0.00	62 of 325 - Sale <sup>25</sup>
	29.862	636.46	10/05/20	751.10	114.64 W	0.00	63 of 325 - Sale <sup>25</sup>
	0.862	18.48	10/06/20	21.56	3.08 W	0.00	64 of 325 - Sale <sup>25</sup>
	1.138	24.38	10/06/20	28.32	3.94 W	0.00	65 of 325 - Sale <sup>25</sup>
	8.275	177.35	10/06/20	207.16	29.81 W	0.00	66 of 325 - Sale <sup>25</sup>
	15.862	339.94	10/06/20	394.72	54.78 W	0.00	67 of 325 - Sale <sup>25</sup>
	23.862	511.39	10/06/20	593.75	82.36 W	0.00	68 of 325 - Sale <sup>25</sup>
	0.725	15.26	10/06/20	18.12	2.86 W	0.00	69 of 325 - Sale <sup>25</sup>
	6.275	132.19	10/06/20	156.89	24.70 W	0.00	70 of 325 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
1.000		21.06	10/06/20	25.03	3.97 W	0.00	71 of 325 - Sale <sup>25</sup>
1.138		23.96	10/06/20	26.56	2.60 W	0.00	72 of 325 - Sale <sup>25</sup>
3.587		75.56	10/06/20	83.66	8.10 W	0.00	73 of 325 - Sale <sup>25</sup>
8.138		171.42	10/06/20	189.96	18.54 W	0.00	74 of 325 - Sale <sup>25</sup>
10.000		210.64	10/06/20	233.23	22.59 W	0.00	75 of 325 - Sale <sup>25</sup>
15.862		334.13	10/06/20	370.11	35.98 W	0.00	76 of 325 - Sale <sup>25</sup>
49.862		1,050.32	10/06/20	1,163.43	113.11 W	0.00	77 of 325 - Sale <sup>25</sup>
1.138		23.96	10/06/20	25.91	1.95 W	0.00	78 of 325 - Sale <sup>25</sup>
18.862		397.32	10/06/20	434.01	36.69 W	0.00	79 of 325 - Sale <sup>25</sup>
3.000		63.19	10/06/20	66.79	3.60 W	0.00	80 of 325 - Sale <sup>25</sup>
25.000		526.61	10/06/20	556.67	30.06 W	0.00	81 of 325 - Sale <sup>25</sup>
45.275		953.70	10/06/20	1,008.13	54.43 W	0.00	82 of 325 - Sale <sup>25</sup>
47.000		990.03	10/06/20	1,057.20	67.17 W	0.00	83 of 325 - Sale <sup>25</sup>
51.725		1,089.55	10/06/20	1,151.73	62.18 W	0.00	84 of 325 - Sale <sup>25</sup>
3.000		63.19	10/06/20	65.04	1.85 W	0.00	85 of 325 - Sale <sup>25</sup>
21.275		448.15	10/06/20	458.47	10.32 W	0.00	86 of 325 - Sale <sup>25</sup>
0.725		15.26	10/06/20	16.43	1.17 W	0.00	87 of 325 - Sale <sup>25</sup>
1.000		21.06	10/06/20	22.54	1.48 W	0.00	88 of 325 - Sale <sup>25</sup>
1.000		21.06	10/06/20	23.16	2.10 W	0.00	89 of 325 - Sale <sup>25</sup>
1.000		21.06	10/06/20	23.16	2.10 W	0.00	90 of 325 - Sale <sup>25</sup>
3.000		63.19	10/06/20	69.43	6.24 W	0.00	91 of 325 - Sale <sup>25</sup>
3.000		63.19	10/06/20	70.09	6.90 W	0.00	92 of 325 - Sale <sup>25</sup>
3.275		68.99	10/06/20	74.26	5.27 W	0.00	93 of 325 - Sale <sup>25</sup>
7.000		147.45	10/06/20	161.79	14.34 W	0.00	94 of 325 - Sale <sup>25</sup>
10.000		210.64	10/06/20	233.65	23.01 W	0.00	95 of 325 - Sale <sup>25</sup>
24.000		505.55	10/06/20	545.69	40.14 W	0.00	96 of 325 - Sale <sup>25</sup>
27.138		571.64	10/06/20	634.08	62.44 W	0.00	97 of 325 - Sale <sup>25</sup>
27.138		571.64	10/06/20	630.90	59.26 W	0.00	98 of 325 - Sale <sup>25</sup>
41.725		878.91	10/06/20	974.89	95.98 W	0.00	99 of 325 - Sale <sup>25</sup>
1.000		21.06	10/06/20	23.13	2.07 W	0.00	100 of 325 - Sale <sup>25</sup>
2.000		42.13	10/06/20	45.54	3.41 W	0.00	101 of 325 - Sale <sup>25</sup>
3.000		63.19	10/06/20	68.58	5.39 W	0.00	102 of 325 - Sale <sup>25</sup>
3.000		63.19	10/06/20	68.95	5.76 W	0.00	103 of 325 - Sale <sup>25</sup>
3.000		63.19	10/06/20	68.41	5.22 W	0.00	104 of 325 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	4.000	84.26	10/06/20	91.37	7.11 W	0.00	105 of 325 - Sale <sup>25</sup>
	5.000	105.32	10/06/20	114.93	9.61 W	0.00	106 of 325 - Sale <sup>25</sup>
	5.000	105.32	10/06/20	114.92	9.60 W	0.00	107 of 325 - Sale <sup>25</sup>
	5.000	105.32	10/06/20	114.55	9.23 W	0.00	108 of 325 - Sale <sup>25</sup>
	5.000	105.32	10/06/20	114.21	8.89 W	0.00	109 of 325 - Sale <sup>25</sup>
	6.000	126.39	10/06/20	137.92	11.53 W	0.00	110 of 325 - Sale <sup>25</sup>
	7.725	162.72	10/06/20	179.37	16.65 W	0.00	111 of 325 - Sale <sup>25</sup>
	10.000	210.64	10/06/20	228.41	17.77 W	0.00	112 of 325 - Sale <sup>25</sup>
	11.725	246.97	10/06/20	268.02	21.05 W	0.00	113 of 325 - Sale <sup>25</sup>
	11.725	246.97	10/06/20	268.63	21.66 W	0.00	114 of 325 - Sale <sup>25</sup>
	13.000	273.84	10/06/20	302.53	28.69 W	0.00	115 of 325 - Sale <sup>25</sup>
	14.275	300.70	10/06/20	327.76	27.06 W	0.00	116 of 325 - Sale <sup>25</sup>
	15.275	321.77	10/06/20	351.11	29.34 W	0.00	117 of 325 - Sale <sup>25</sup>
	19.413	408.93	10/06/20	436.56	27.63 W	0.00	118 of 325 - Sale <sup>25</sup>
	20.275	427.09	10/06/20	470.78	43.69 W	0.00	119 of 325 - Sale <sup>25</sup>
	32.000	674.06	10/06/20	731.52	57.46 W	0.00	120 of 325 - Sale <sup>25</sup>
	39.725	836.78	10/06/20	913.05	76.27 W	0.00	121 of 325 - Sale <sup>25</sup>
	3.138	66.09	10/06/20	70.56	4.47 W	0.00	122 of 325 - Sale <sup>25</sup>
	46.862	987.13	10/06/20	1,053.84	66.71 W	0.00	123 of 325 - Sale <sup>25</sup>
	0.242	5.09	10/06/20	5.81	0.72 W	0.00	124 of 325 - Sale <sup>25</sup>
	0.379	7.99	10/06/20	9.13	1.14 W	0.00	125 of 325 - Sale <sup>25</sup>
	0.242	5.09	10/06/20	5.84	0.75 W	0.00	126 of 325 - Sale <sup>25</sup>
	0.275	5.80	10/06/20	6.72	0.92 W	0.00	127 of 325 - Sale <sup>25</sup>
	0.282	5.93	10/06/20	6.77	0.84 W	0.00	128 of 325 - Sale <sup>25</sup>
	0.373	7.86	10/06/20	9.24	1.38 W	0.00	129 of 325 - Sale <sup>25</sup>
	0.379	7.99	10/06/20	9.34	1.35 W	0.00	130 of 325 - Sale <sup>25</sup>
	0.379	7.99	10/06/20	9.19	1.20 W	0.00	131 of 325 - Sale <sup>25</sup>
	0.581	12.23	10/06/20	13.97	1.74 W	0.00	132 of 325 - Sale <sup>25</sup>
	0.621	13.08	10/06/20	15.24	2.16 W	0.00	133 of 325 - Sale <sup>25</sup>
	0.896	18.88	10/06/20	21.87	2.99 W	0.00	134 of 325 - Sale <sup>25</sup>
	1.144	24.10	10/06/20	28.98	4.88 W	0.00	135 of 325 - Sale <sup>25</sup>
	1.242	26.15	10/06/20	31.05	4.90 W	0.00	136 of 325 - Sale <sup>25</sup>
	1.242	26.15	10/06/20	31.11	4.96 W	0.00	137 of 325 - Sale <sup>25</sup>
	1.242	26.15	10/06/20	29.90	3.75 W	0.00	138 of 325 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.373	28.92	10/06/20	34.78	5.86 W	0.00	139 of 325 - Sale <sup>25</sup>
	1.902	40.07	10/06/20	45.77	5.70 W	0.00	140 of 325 - Sale <sup>25</sup>
	2.483	52.30	10/06/20	61.85	9.55 W	0.00	141 of 325 - Sale <sup>25</sup>
	3.385	71.31	10/06/20	83.79	12.48 W	0.00	142 of 325 - Sale <sup>25</sup>
	4.615	97.20	10/06/20	113.62	16.42 W	0.00	143 of 325 - Sale <sup>25</sup>
	6.862	144.55	10/06/20	166.93	22.38 W	0.00	144 of 325 - Sale <sup>25</sup>
	1.000	21.06	10/06/20	22.61	1.55 W	0.00	145 of 325 - Sale <sup>25</sup>
	2.000	42.13	10/06/20	44.98	2.85 W	0.00	146 of 325 - Sale <sup>25</sup>
	66.862	1,408.42	10/06/20	1,503.61	95.19 W	0.00	147 of 325 - Sale <sup>25</sup>
	0.621	13.08	10/06/20	14.67	1.59 W	0.00	148 of 325 - Sale <sup>25</sup>
	0.621	13.08	10/06/20	16.09	3.01 W	0.00	149 of 325 - Sale <sup>25</sup>
	0.896	18.88	10/06/20	21.11	2.23 W	0.00	150 of 325 - Sale <sup>25</sup>
	1.517	31.95	10/06/20	38.21	6.26 W	0.00	151 of 325 - Sale <sup>25</sup>
	1.621	34.14	10/06/20	38.30	4.16 W	0.00	152 of 325 - Sale <sup>25</sup>
	1.758	37.04	10/06/20	41.55	4.51 W	0.00	153 of 325 - Sale <sup>25</sup>
	1.862	39.23	10/06/20	45.83	6.60 W	0.00	154 of 325 - Sale <sup>25</sup>
	1.862	39.23	10/06/20	43.90	4.67 W	0.00	155 of 325 - Sale <sup>25</sup>
	2.104	44.32	10/06/20	49.55	5.23 W	0.00	156 of 325 - Sale <sup>25</sup>
	2.242	47.22	10/06/20	53.03	5.81 W	0.00	157 of 325 - Sale <sup>25</sup>
	2.483	52.30	10/06/20	62.58	10.28 W	0.00	158 of 325 - Sale <sup>25</sup>
	2.517	53.02	10/06/20	63.26	10.24 W	0.00	159 of 325 - Sale <sup>25</sup>
	2.862	60.29	10/06/20	72.12	11.83 W	0.00	160 of 325 - Sale <sup>25</sup>
	2.896	61.01	10/06/20	70.83	9.82 W	0.00	161 of 325 - Sale <sup>25</sup>
	3.242	68.28	10/06/20	76.65	8.37 W	0.00	162 of 325 - Sale <sup>25</sup>
	3.758	79.17	10/06/20	95.57	16.40 W	0.00	163 of 325 - Sale <sup>25</sup>
	5.000	105.32	10/06/20	126.86	21.54 W	0.00	164 of 325 - Sale <sup>25</sup>
	5.104	107.51	10/06/20	125.61	18.10 W	0.00	165 of 325 - Sale <sup>25</sup>
	7.758	163.43	10/06/20	182.08	18.65 W	0.00	166 of 325 - Sale <sup>25</sup>
	0.862	18.16	10/06/20	21.12	2.96 W	0.00	167 of 325 - Sale <sup>25</sup>
	1.413	29.77	10/06/20	35.17	5.40 W	0.00	168 of 325 - Sale <sup>25</sup>
	4.000	84.26	10/06/20	97.86	13.60 W	0.00	169 of 325 - Sale <sup>25</sup>
	10.000	210.64	10/06/20	248.89	38.25 W	0.00	170 of 325 - Sale <sup>25</sup>
	33.000	695.13	10/06/20	752.91	57.78 W	0.00	171 of 325 - Sale <sup>25</sup>
	4.000	84.26	10/06/20	91.49	7.23 W	0.00	172 of 325 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
6.000		126.39	10/06/20	137.60	11.21 W	0.00	173 of 325 - Sale <sup>25</sup>
190.000		4,002.24	10/06/20	4,335.07	332.83 W	0.00	174 of 325 - Sale <sup>25</sup>
1.000		21.06	10/06/20	23.06	2.00 W	0.00	175 of 325 - Sale <sup>25</sup>
19.000		400.22	10/06/20	438.05	37.83 W	0.00	176 of 325 - Sale <sup>25</sup>
26.000		547.68	10/06/20	599.71	52.03 W	0.00	177 of 325 - Sale <sup>25</sup>
34.862		734.36	10/06/20	804.14	69.78 W	0.00	178 of 325 - Sale <sup>25</sup>
39.138		824.41	10/06/20	902.37	77.96 W	0.00	179 of 325 - Sale <sup>25</sup>
44.862		945.00	10/06/20	1,024.48	79.48 W	0.00	180 of 325 - Sale <sup>25</sup>
65.862		1,387.35	10/06/20	1,518.56	131.21 W	0.00	181 of 325 - Sale <sup>25</sup>
69.275		1,459.25	10/06/20	1,597.93	138.68 W	0.00	182 of 325 - Sale <sup>25</sup>
100.000		2,106.45	10/06/20	2,309.64	203.19 W	0.00	183 of 325 - Sale <sup>25</sup>
7.000		147.45	10/06/20	177.59	30.14 W	0.00	184 of 325 - Sale <sup>25</sup>
25.138		529.51	10/06/20	637.47	107.96 W	0.00	185 of 325 - Sale <sup>25</sup>
49.000		1,032.16	10/06/20	1,078.31	46.15 W	0.00	186 of 325 - Sale <sup>25</sup>
97.000		2,043.25	10/06/20	2,135.57	92.32 W	0.00	187 of 325 - Sale <sup>25</sup>
4.725		99.52	10/06/20	109.12	9.60 W	0.00	188 of 325 - Sale <sup>25</sup>
17.138		361.00	10/06/20	395.81	34.81 W	0.00	189 of 325 - Sale <sup>25</sup>
1.000		21.06	10/06/20	25.44	4.38 W	0.00	190 of 325 - Sale <sup>25</sup>
4.138		87.16	10/06/20	105.25	18.09 W	0.00	191 of 325 - Sale <sup>25</sup>
36.000		758.32	10/06/20	796.18	37.86 W	0.00	192 of 325 - Sale <sup>25</sup>
8.000		168.52	10/06/20	180.60	12.08 W	0.00	193 of 325 - Sale <sup>25</sup>
25.862		544.77	10/06/20	599.92	55.15 W	0.00	194 of 325 - Sale <sup>25</sup>
9.138		189.15	10/06/20	228.24	39.09 W	0.00	195 of 325 - Sale <sup>25</sup>
10.000		206.99	10/06/20	249.75	42.76 W	0.00	196 of 325 - Sale <sup>25</sup>
25.725		532.48	10/06/20	637.69	105.21 W	0.00	197 of 325 - Sale <sup>25</sup>
0.862		17.85	10/06/20	21.15	3.30 W	0.00	198 of 325 - Sale <sup>25</sup>
1.138		23.55	10/06/20	27.77	4.22 W	0.00	199 of 325 - Sale <sup>25</sup>
15.862		328.34	10/06/20	387.10	58.76 W	0.00	200 of 325 - Sale <sup>25</sup>
17.275		357.59	10/06/20	421.55	63.96 W	0.00	201 of 325 - Sale <sup>25</sup>
0.725		15.00	10/06/20	18.04	3.04 W	0.00	202 of 325 - Sale <sup>25</sup>
1.000		20.70	10/06/20	24.92	4.22 W	0.00	203 of 325 - Sale <sup>25</sup>
6.275		129.90	10/06/20	156.17	26.27 W	0.00	204 of 325 - Sale <sup>25</sup>
8.275		171.29	10/06/20	203.18	31.89 W	0.00	205 of 325 - Sale <sup>25</sup>
6.587		136.35	10/06/20	160.73	24.38 W	0.00	206 of 325 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.138	23.55	10/06/20	26.43	2.88 W	0.00	207 of 325 - Sale <sup>25</sup>
	3.587	74.25	10/06/20	83.25	9.00 W	0.00	208 of 325 - Sale <sup>25</sup>
	8.138	168.45	10/06/20	189.02	20.57 W	0.00	209 of 325 - Sale <sup>25</sup>
	10.000	206.99	10/06/20	232.09	25.10 W	0.00	210 of 325 - Sale <sup>25</sup>
	15.862	328.34	10/06/20	368.30	39.96 W	0.00	211 of 325 - Sale <sup>25</sup>
	49.862	1,032.12	10/06/20	1,157.73	125.61 W	0.00	212 of 325 - Sale <sup>25</sup>
	1.138	23.55	10/06/20	25.78	2.23 W	0.00	213 of 325 - Sale <sup>25</sup>
	18.862	390.44	10/06/20	431.86	41.42 W	0.00	214 of 325 - Sale <sup>25</sup>
	25.000	517.48	10/06/20	553.81	36.33 W	0.00	215 of 325 - Sale <sup>25</sup>
	43.551	901.47	10/06/20	964.74	63.27 W	0.00	216 of 325 - Sale <sup>25</sup>
	3.000	62.10	10/06/20	66.45	4.35 W	0.00	217 of 325 - Sale <sup>25</sup>
	45.275	937.17	10/06/20	1,002.95	65.78 W	0.00	218 of 325 - Sale <sup>25</sup>
	43.551	901.48	10/06/20	974.63	73.15 W	0.00	219 of 325 - Sale <sup>25</sup>
	8.174	169.19	10/06/20	181.07	11.88 W	0.00	220 of 325 - Sale <sup>25</sup>
	3.449	71.40	10/06/20	77.19	5.79 W	0.00	221 of 325 - Sale <sup>25</sup>
	3.000	62.10	10/06/20	64.70	2.60 W	0.00	222 of 325 - Sale <sup>25</sup>
	21.275	440.39	10/06/20	456.04	15.65 W	0.00	223 of 325 - Sale <sup>25</sup>
	0.725	15.00	10/06/20	16.35	1.35 W	0.00	224 of 325 - Sale <sup>25</sup>
	1.000	20.70	10/06/20	22.43	1.73 W	0.00	225 of 325 - Sale <sup>25</sup>
	1.000	20.70	10/06/20	23.05	2.35 W	0.00	226 of 325 - Sale <sup>25</sup>
	3.000	62.10	10/06/20	69.09	6.99 W	0.00	227 of 325 - Sale <sup>25</sup>
	3.275	67.80	10/06/20	73.89	6.09 W	0.00	228 of 325 - Sale <sup>25</sup>
	7.000	144.90	10/06/20	160.99	16.09 W	0.00	229 of 325 - Sale <sup>25</sup>
	24.000	496.78	10/06/20	542.94	46.16 W	0.00	230 of 325 - Sale <sup>25</sup>
	32.275	668.07	10/06/20	750.41	82.34 W	0.00	231 of 325 - Sale <sup>25</sup>
	1.000	20.70	10/06/20	23.05	2.35 W	0.00	232 of 325 - Sale <sup>25</sup>
	3.000	62.10	10/06/20	69.75	7.65 W	0.00	233 of 325 - Sale <sup>25</sup>
	10.000	206.99	10/06/20	232.51	25.52 W	0.00	234 of 325 - Sale <sup>25</sup>
	27.138	561.74	10/06/20	630.97	69.23 W	0.00	235 of 325 - Sale <sup>25</sup>
	27.138	561.73	10/06/20	627.79	66.06 W	0.00	236 of 325 - Sale <sup>25</sup>
	9.449	195.59	10/06/20	219.70	24.11 W	0.00	237 of 325 - Sale <sup>25</sup>
	3.000	62.10	10/06/20	68.24	6.14 W	0.00	238 of 325 - Sale <sup>25</sup>
	11.725	242.69	10/06/20	266.68	23.99 W	0.00	239 of 325 - Sale <sup>25</sup>
	27.551	570.29	10/06/20	626.66	56.37 W	0.00	240 of 325 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.000	20.70	10/06/20	23.02	2.32 W	0.00	241 of 325 - Sale <sup>25</sup>
	2.000	41.40	10/06/20	45.31	3.91 W	0.00	242 of 325 - Sale <sup>25</sup>
	3.000	62.10	10/06/20	68.61	6.51 W	0.00	243 of 325 - Sale <sup>25</sup>
	3.000	62.10	10/06/20	68.07	5.97 W	0.00	244 of 325 - Sale <sup>25</sup>
	3.551	73.50	10/06/20	80.94	7.44 W	0.00	245 of 325 - Sale <sup>25</sup>
	4.000	82.80	10/06/20	90.91	8.11 W	0.00	246 of 325 - Sale <sup>25</sup>
	5.000	103.50	10/06/20	114.36	10.86 W	0.00	247 of 325 - Sale <sup>25</sup>
	5.000	103.50	10/06/20	114.35	10.85 W	0.00	248 of 325 - Sale <sup>25</sup>
	6.000	124.20	10/06/20	137.23	13.03 W	0.00	249 of 325 - Sale <sup>25</sup>
	7.725	159.89	10/06/20	178.48	18.59 W	0.00	250 of 325 - Sale <sup>25</sup>
	11.725	242.69	10/06/20	267.29	24.60 W	0.00	251 of 325 - Sale <sup>25</sup>
	13.000	269.09	10/06/20	301.04	31.95 W	0.00	252 of 325 - Sale <sup>25</sup>
	14.275	295.49	10/06/20	326.13	30.64 W	0.00	253 of 325 - Sale <sup>25</sup>
	15.275	316.19	10/06/20	349.36	33.17 W	0.00	254 of 325 - Sale <sup>25</sup>
	20.275	419.69	10/06/20	468.46	48.77 W	0.00	255 of 325 - Sale <sup>25</sup>
	4.449	92.10	10/06/20	101.20	9.10 W	0.00	256 of 325 - Sale <sup>25</sup>
	39.725	822.28	10/06/20	908.50	86.22 W	0.00	257 of 325 - Sale <sup>25</sup>
	0.242	5.00	10/06/20	5.78	0.78 W	0.00	258 of 325 - Sale <sup>25</sup>
	0.379	7.85	10/06/20	9.08	1.23 W	0.00	259 of 325 - Sale <sup>25</sup>
	0.373	7.72	10/06/20	9.19	1.47 W	0.00	260 of 325 - Sale <sup>25</sup>
	0.379	7.85	10/06/20	9.30	1.45 W	0.00	261 of 325 - Sale <sup>25</sup>
	1.138	23.55	10/06/20	28.69	5.14 W	0.00	262 of 325 - Sale <sup>25</sup>
	1.144	23.68	10/06/20	28.85	5.17 W	0.00	263 of 325 - Sale <sup>25</sup>
	2.483	51.40	10/06/20	61.57	10.17 W	0.00	264 of 325 - Sale <sup>25</sup>
	3.385	70.07	10/06/20	83.41	13.34 W	0.00	265 of 325 - Sale <sup>25</sup>
	4.615	95.52	10/06/20	113.10	17.58 W	0.00	266 of 325 - Sale <sup>25</sup>
	1.449	30.00	10/06/20	33.04	3.04 W	0.00	267 of 325 - Sale <sup>25</sup>
	5.000	103.49	10/06/20	113.64	10.15 W	0.00	268 of 325 - Sale <sup>25</sup>
	10.000	206.99	10/06/20	227.27	20.28 W	0.00	269 of 325 - Sale <sup>25</sup>
	10.413	215.54	10/06/20	232.97	17.43 W	0.00	270 of 325 - Sale <sup>25</sup>
	3.138	64.95	10/06/20	70.20	5.25 W	0.00	271 of 325 - Sale <sup>25</sup>
	9.000	186.29	10/06/20	201.36	15.07 W	0.00	272 of 325 - Sale <sup>25</sup>
	46.862	970.02	10/06/20	1,048.48	78.46 W	0.00	273 of 325 - Sale <sup>25</sup>
	0.235	4.87	10/06/20	5.93	1.06 W	0.00	274 of 325 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.242	5.00	10/06/20	5.81	0.81 W	0.00	275 of 325 - Sale <sup>25</sup>
	0.275	5.70	10/06/20	6.69	0.99 W	0.00	276 of 325 - Sale <sup>25</sup>
	0.282	5.83	10/06/20	6.74	0.91 W	0.00	277 of 325 - Sale <sup>25</sup>
	0.379	7.85	10/06/20	9.14	1.29 W	0.00	278 of 325 - Sale <sup>25</sup>
	0.581	12.02	10/06/20	13.91	1.89 W	0.00	279 of 325 - Sale <sup>25</sup>
	0.621	12.85	10/06/20	15.17	2.32 W	0.00	280 of 325 - Sale <sup>25</sup>
	0.621	12.85	10/06/20	14.60	1.75 W	0.00	281 of 325 - Sale <sup>25</sup>
	0.621	12.85	10/06/20	16.02	3.17 W	0.00	282 of 325 - Sale <sup>25</sup>
	0.896	18.55	10/06/20	21.76	3.21 W	0.00	283 of 325 - Sale <sup>25</sup>
	0.896	18.55	10/06/20	21.00	2.45 W	0.00	284 of 325 - Sale <sup>25</sup>
	1.242	25.70	10/06/20	30.91	5.21 W	0.00	285 of 325 - Sale <sup>25</sup>
	1.242	25.70	10/06/20	30.97	5.27 W	0.00	286 of 325 - Sale <sup>25</sup>
	1.242	25.70	10/06/20	29.76	4.06 W	0.00	287 of 325 - Sale <sup>25</sup>
	1.517	31.40	10/06/20	38.04	6.64 W	0.00	288 of 325 - Sale <sup>25</sup>
	1.621	33.55	10/06/20	38.12	4.57 W	0.00	289 of 325 - Sale <sup>25</sup>
	1.758	36.40	10/06/20	41.35	4.95 W	0.00	290 of 325 - Sale <sup>25</sup>
	1.862	38.55	10/06/20	45.62	7.07 W	0.00	291 of 325 - Sale <sup>25</sup>
	1.862	38.55	10/06/20	43.69	5.14 W	0.00	292 of 325 - Sale <sup>25</sup>
	1.902	39.38	10/06/20	45.55	6.17 W	0.00	293 of 325 - Sale <sup>25</sup>
	2.104	43.55	10/06/20	49.31	5.76 W	0.00	294 of 325 - Sale <sup>25</sup>
	2.242	46.40	10/06/20	52.77	6.37 W	0.00	295 of 325 - Sale <sup>25</sup>
	2.483	51.40	10/06/20	62.30	10.90 W	0.00	296 of 325 - Sale <sup>25</sup>
	2.517	52.10	10/06/20	62.97	10.87 W	0.00	297 of 325 - Sale <sup>25</sup>
	2.862	59.25	10/06/20	71.80	12.55 W	0.00	298 of 325 - Sale <sup>25</sup>
	2.896	59.95	10/06/20	70.49	10.54 W	0.00	299 of 325 - Sale <sup>25</sup>
	3.242	67.10	10/06/20	76.28	9.18 W	0.00	300 of 325 - Sale <sup>25</sup>
	3.758	77.80	10/06/20	95.14	17.34 W	0.00	301 of 325 - Sale <sup>25</sup>
	5.000	103.50	10/06/20	126.29	22.79 W	0.00	302 of 325 - Sale <sup>25</sup>
	5.104	105.65	10/06/20	125.03	19.38 W	0.00	303 of 325 - Sale <sup>25</sup>
	6.862	142.05	10/06/20	166.15	24.10 W	0.00	304 of 325 - Sale <sup>25</sup>
	7.758	160.60	10/06/20	181.19	20.59 W	0.00	305 of 325 - Sale <sup>25</sup>
	0.862	17.85	10/06/20	21.03	3.18 W	0.00	306 of 325 - Sale <sup>25</sup>
	1.413	29.25	10/06/20	35.00	5.75 W	0.00	307 of 325 - Sale <sup>25</sup>
	4.000	82.80	10/06/20	97.40	14.60 W	0.00	308 of 325 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	10.000	206.99	10/06/20	247.75	40.76 W	0.00	309 of 325 - Sale <sup>25</sup>
	1.000	20.70	10/06/20	22.50	1.80 W	0.00	310 of 325 - Sale <sup>25</sup>
	1.000	20.70	10/06/20	22.95	2.25 W	0.00	311 of 325 - Sale <sup>25</sup>
	4.000	82.80	10/06/20	91.03	8.23 W	0.00	312 of 325 - Sale <sup>25</sup>
	6.000	124.20	10/06/20	136.91	12.71 W	0.00	313 of 325 - Sale <sup>25</sup>
	9.413	194.84	10/06/20	216.04	21.20 W	0.00	314 of 325 - Sale <sup>25</sup>
	19.000	393.29	10/06/20	435.88	42.59 W	0.00	315 of 325 - Sale <sup>25</sup>
	33.000	683.08	10/06/20	749.13	66.05 W	0.00	316 of 325 - Sale <sup>25</sup>
	34.862	721.63	10/06/20	800.15	78.52 W	0.00	317 of 325 - Sale <sup>25</sup>
	39.138	810.12	10/06/20	897.89	87.77 W	0.00	318 of 325 - Sale <sup>25</sup>
	44.862	928.62	10/06/20	1,019.34	90.72 W	0.00	319 of 325 - Sale <sup>25</sup>
	65.862	1,363.31	10/06/20	1,511.02	147.71 W	0.00	320 of 325 - Sale <sup>25</sup>
	190.000	3,932.87	10/06/20	4,313.31	380.44 W	0.00	321 of 325 - Sale <sup>25</sup>
	2.000	41.40	10/06/20	44.75	3.35 W	0.00	322 of 325 - Sale <sup>25</sup>
	66.862	1,384.01	10/06/20	1,495.96	111.95 W	0.00	323 of 325 - Sale <sup>25</sup>
	16.587	343.34	10/06/20	380.69	37.35 W	0.00	324 of 325 - Sale <sup>25</sup>
	24.413	505.33	10/06/20	560.32	54.99 W	0.00	325 of 325 - Sale <sup>25</sup>
10/06/20	4,426.000	92,963.06	Various	101,713.64	8,750.58 W	0.00	Total of 325 transactions
	18 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	39.000	837.75	10/06/20	897.85	60.10 W	0.00	1 of 18 - Sale <sup>25</sup>
	5.862	125.93	10/06/20	134.85	8.92 W	0.00	2 of 18 - Sale <sup>25</sup>
	44.138	948.11	10/06/20	1,016.57	68.46 W	0.00	3 of 18 - Sale <sup>25</sup>
	7.000	150.36	10/06/20	177.14	26.78 W	0.00	4 of 18 - Sale <sup>25</sup>
	25.138	539.97	10/06/20	635.85	95.88 W	0.00	5 of 18 - Sale <sup>25</sup>
	12.000	257.77	10/06/20	263.30	5.53 W	0.00	6 of 18 - Sale <sup>25</sup>
	55.862	1,199.96	10/06/20	1,286.62	86.66 W	0.00	7 of 18 - Sale <sup>25</sup>
	37.000	794.79	10/06/20	811.85	17.06 W	0.00	8 of 18 - Sale <sup>25</sup>
	63.000	1,353.28	10/06/20	1,382.96	29.68 W	0.00	9 of 18 - Sale <sup>25</sup>
	1.000	21.48	10/06/20	25.28	3.80 W	0.00	10 of 18 - Sale <sup>25</sup>
	4.138	88.88	10/06/20	104.57	15.69 W	0.00	11 of 18 - Sale <sup>25</sup>
	34.000	730.34	10/06/20	742.96	12.62 W	0.00	12 of 18 - Sale <sup>25</sup>
	36.000	773.31	10/06/20	790.26	16.95 W	0.00	13 of 18 - Sale <sup>25</sup>
	4.000	85.92	10/06/20	89.64	3.72 W	0.00	14 of 18 - Sale <sup>25</sup>
	4.725	101.49	10/06/20	108.34	6.85 W	0.00	15 of 18 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	17.138	368.13	10/06/20	392.99	24.86 W	0.00	16 of 18 - Sale <sup>25</sup>
	4.000	85.92	10/06/20	89.56	3.64 W	0.00	17 of 18 - Sale <sup>25</sup>
	1.000	21.48	10/06/20	23.01	1.53 W	0.00	18 of 18 - Sale <sup>25</sup>
10/07/20	395.000	8,484.87	Various	8,973.60	488.73 W	0.00	Total of 18 transactions
	128 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	22.000	480.08	10/07/20	517.72	37.64 W	0.00	1 of 128 - Sale <sup>25</sup>
	9.138	199.40	10/07/20	237.38	37.98 W	0.00	2 of 128 - Sale <sup>25</sup>
	10.000	218.22	10/07/20	259.76	41.54 W	0.00	3 of 128 - Sale <sup>25</sup>
	25.725	561.35	10/07/20	663.44	102.09 W	0.00	4 of 128 - Sale <sup>25</sup>
	0.862	18.82	10/07/20	22.01	3.19 W	0.00	5 of 128 - Sale <sup>25</sup>
	1.138	24.83	10/07/20	28.91	4.08 W	0.00	6 of 128 - Sale <sup>25</sup>
	12.413	270.86	10/07/20	315.32	44.46 W	0.00	7 of 128 - Sale <sup>25</sup>
	15.862	346.14	10/07/20	402.97	56.83 W	0.00	8 of 128 - Sale <sup>25</sup>
	2.862	62.46	10/07/20	68.21	5.75 W	0.00	9 of 128 - Sale <sup>25</sup>
	0.725	15.45	10/08/20	18.84	3.39 W	0.00	10 of 128 - Sale <sup>25</sup>
	1.000	21.32	10/08/20	26.02	4.70 W	0.00	11 of 128 - Sale <sup>25</sup>
	4.862	103.68	10/08/20	124.00	20.32 W	0.00	12 of 128 - Sale <sup>25</sup>
	6.275	133.81	10/08/20	163.07	29.26 W	0.00	13 of 128 - Sale <sup>25</sup>
	6.587	140.46	10/08/20	167.98	27.52 W	0.00	14 of 128 - Sale <sup>25</sup>
	8.275	176.46	10/08/20	212.29	35.83 W	0.00	15 of 128 - Sale <sup>25</sup>
	0.688	14.68	10/08/20	16.74	2.06 W	0.00	16 of 128 - Sale <sup>25</sup>
	3.587	76.49	10/08/20	87.20	10.71 W	0.00	17 of 128 - Sale <sup>25</sup>
	8.138	173.52	10/08/20	197.97	24.45 W	0.00	18 of 128 - Sale <sup>25</sup>
	10.000	213.24	10/08/20	243.10	29.86 W	0.00	19 of 128 - Sale <sup>25</sup>
	49.862	1,063.24	10/08/20	1,212.60	149.36 W	0.00	20 of 128 - Sale <sup>25</sup>
	1.138	24.26	10/08/20	27.68	3.42 W	0.00	21 of 128 - Sale <sup>25</sup>
	15.174	323.56	10/08/20	369.02	45.46 W	0.00	22 of 128 - Sale <sup>25</sup>
	1.138	24.26	10/08/20	27.03	2.77 W	0.00	23 of 128 - Sale <sup>25</sup>
	18.862	402.21	10/08/20	452.62	50.41 W	0.00	24 of 128 - Sale <sup>25</sup>
	25.000	533.09	10/08/20	581.33	48.24 W	0.00	25 of 128 - Sale <sup>25</sup>
	38.688	824.97	10/08/20	899.62	74.65 W	0.00	26 of 128 - Sale <sup>25</sup>
	3.000	63.97	10/08/20	69.75	5.78 W	0.00	27 of 128 - Sale <sup>25</sup>
	4.862	103.68	10/08/20	113.06	9.38 W	0.00	28 of 128 - Sale <sup>25</sup>
	8.174	174.30	10/08/20	190.07	15.77 W	0.00	29 of 128 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	38.688	824.97	10/08/20	908.39	83.42 W	0.00	30 of 128 - Sale <sup>25</sup>
	45.275	965.43	10/08/20	1,052.78	87.35 W	0.00	31 of 128 - Sale <sup>25</sup>
	3.449	73.55	10/08/20	80.98	7.43 W	0.00	32 of 128 - Sale <sup>25</sup>
	4.862	103.68	10/08/20	114.17	10.49 W	0.00	33 of 128 - Sale <sup>25</sup>
	3.000	63.97	10/08/20	68.00	4.03 W	0.00	34 of 128 - Sale <sup>25</sup>
	21.275	453.67	10/08/20	479.45	25.78 W	0.00	35 of 128 - Sale <sup>25</sup>
	0.725	15.45	10/08/20	17.15	1.70 W	0.00	36 of 128 - Sale <sup>25</sup>
	1.000	21.32	10/08/20	23.53	2.21 W	0.00	37 of 128 - Sale <sup>25</sup>
	1.000	21.32	10/08/20	24.15	2.83 W	0.00	38 of 128 - Sale <sup>25</sup>
	3.000	63.97	10/08/20	72.39	8.42 W	0.00	39 of 128 - Sale <sup>25</sup>
	3.275	69.84	10/08/20	77.49	7.65 W	0.00	40 of 128 - Sale <sup>25</sup>
	7.000	149.26	10/08/20	168.69	19.43 W	0.00	41 of 128 - Sale <sup>25</sup>
	24.000	511.76	10/08/20	569.36	57.60 W	0.00	42 of 128 - Sale <sup>25</sup>
	27.413	584.54	10/08/20	667.55	83.01 W	0.00	43 of 128 - Sale <sup>25</sup>
	1.000	21.32	10/08/20	24.15	2.83 W	0.00	44 of 128 - Sale <sup>25</sup>
	3.000	63.97	10/08/20	73.05	9.08 W	0.00	45 of 128 - Sale <sup>25</sup>
	4.862	103.68	10/08/20	118.40	14.72 W	0.00	46 of 128 - Sale <sup>25</sup>
	9.449	201.49	10/08/20	230.10	28.61 W	0.00	47 of 128 - Sale <sup>25</sup>
	10.000	213.24	10/08/20	243.52	30.28 W	0.00	48 of 128 - Sale <sup>25</sup>
	27.138	578.67	10/08/20	660.83	82.16 W	0.00	49 of 128 - Sale <sup>25</sup>
	27.138	578.67	10/08/20	657.66	78.99 W	0.00	50 of 128 - Sale <sup>25</sup>
	2.688	57.33	10/08/20	64.11	6.78 W	0.00	51 of 128 - Sale <sup>25</sup>
	3.000	63.97	10/08/20	71.54	7.57 W	0.00	52 of 128 - Sale <sup>25</sup>
	11.725	250.01	10/08/20	279.59	29.58 W	0.00	53 of 128 - Sale <sup>25</sup>
	4.449	94.87	10/08/20	104.54	9.67 W	0.00	54 of 128 - Sale <sup>25</sup>
	4.688	99.97	10/08/20	110.74	10.77 W	0.00	55 of 128 - Sale <sup>25</sup>
	6.000	127.94	10/08/20	141.73	13.79 W	0.00	56 of 128 - Sale <sup>25</sup>
	14.275	304.40	10/08/20	336.85	32.45 W	0.00	57 of 128 - Sale <sup>25</sup>
	24.862	530.15	10/08/20	584.17	54.02 W	0.00	58 of 128 - Sale <sup>25</sup>
	39.725	847.07	10/08/20	938.31	91.24 W	0.00	59 of 128 - Sale <sup>25</sup>
	0.242	5.15	10/08/20	5.96	0.81 W	0.00	60 of 128 - Sale <sup>25</sup>
	0.379	8.09	10/08/20	9.36	1.27 W	0.00	61 of 128 - Sale <sup>25</sup>
	0.373	7.95	10/08/20	9.47	1.52 W	0.00	62 of 128 - Sale <sup>25</sup>
	0.379	8.09	10/08/20	9.58	1.49 W	0.00	63 of 128 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.765	16.31	10/08/20	19.54	3.23 W	0.00	64 of 128 - Sale <sup>25</sup>
	3.385	72.19	10/08/20	85.96	13.77 W	0.00	65 of 128 - Sale <sup>25</sup>
	4.615	98.40	10/08/20	116.57	18.17 W	0.00	66 of 128 - Sale <sup>25</sup>
	0.312	6.64	10/08/20	7.36	0.72 W	0.00	67 of 128 - Sale <sup>25</sup>
	1.000	21.32	10/08/20	23.77	2.45 W	0.00	68 of 128 - Sale <sup>25</sup>
	2.000	42.65	10/08/20	46.81	4.16 W	0.00	69 of 128 - Sale <sup>25</sup>
	3.000	63.97	10/08/20	70.86	6.89 W	0.00	70 of 128 - Sale <sup>25</sup>
	3.000	63.97	10/08/20	70.32	6.35 W	0.00	71 of 128 - Sale <sup>25</sup>
	3.551	75.71	10/08/20	83.60	7.89 W	0.00	72 of 128 - Sale <sup>25</sup>
	4.000	85.29	10/08/20	93.91	8.62 W	0.00	73 of 128 - Sale <sup>25</sup>
	5.000	106.62	10/08/20	118.10	11.48 W	0.00	74 of 128 - Sale <sup>25</sup>
	7.725	164.72	10/08/20	184.28	19.56 W	0.00	75 of 128 - Sale <sup>25</sup>
	11.725	250.01	10/08/20	276.09	26.08 W	0.00	76 of 128 - Sale <sup>25</sup>
	13.000	277.21	10/08/20	310.80	33.59 W	0.00	77 of 128 - Sale <sup>25</sup>
	15.275	325.73	10/08/20	360.83	35.10 W	0.00	78 of 128 - Sale <sup>25</sup>
	20.275	432.34	10/08/20	483.68	51.34 W	0.00	79 of 128 - Sale <sup>25</sup>
	0.235	5.02	10/08/20	6.11	1.09 W	0.00	80 of 128 - Sale <sup>25</sup>
	0.242	5.15	10/08/20	5.99	0.84 W	0.00	81 of 128 - Sale <sup>25</sup>
	0.275	5.87	10/08/20	6.90	1.03 W	0.00	82 of 128 - Sale <sup>25</sup>
	0.282	6.00	10/08/20	6.95	0.95 W	0.00	83 of 128 - Sale <sup>25</sup>
	0.379	8.09	10/08/20	9.42	1.33 W	0.00	84 of 128 - Sale <sup>25</sup>
	0.581	12.38	10/08/20	14.35	1.97 W	0.00	85 of 128 - Sale <sup>25</sup>
	0.621	13.24	10/08/20	15.64	2.40 W	0.00	86 of 128 - Sale <sup>25</sup>
	0.621	13.24	10/08/20	15.07	1.83 W	0.00	87 of 128 - Sale <sup>25</sup>
	0.621	13.24	10/08/20	16.49	3.25 W	0.00	88 of 128 - Sale <sup>25</sup>
	0.896	19.11	10/08/20	22.43	3.32 W	0.00	89 of 128 - Sale <sup>25</sup>
	0.896	19.11	10/08/20	21.67	2.56 W	0.00	90 of 128 - Sale <sup>25</sup>
	1.138	24.26	10/08/20	29.54	5.28 W	0.00	91 of 128 - Sale <sup>25</sup>
	1.144	24.39	10/08/20	29.71	5.32 W	0.00	92 of 128 - Sale <sup>25</sup>
	1.242	26.47	10/08/20	31.84	5.37 W	0.00	93 of 128 - Sale <sup>25</sup>
	1.242	26.47	10/08/20	31.90	5.43 W	0.00	94 of 128 - Sale <sup>25</sup>
	1.242	26.47	10/08/20	30.69	4.22 W	0.00	95 of 128 - Sale <sup>25</sup>
	1.517	32.35	10/08/20	39.18	6.83 W	0.00	96 of 128 - Sale <sup>25</sup>
	1.621	34.56	10/08/20	39.34	4.78 W	0.00	97 of 128 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.718	36.64	10/08/20	43.90	7.26 W	0.00	98 of 128 - Sale <sup>25</sup>
	1.758	37.50	10/08/20	42.67	5.17 W	0.00	99 of 128 - Sale <sup>25</sup>
	1.862	39.71	10/08/20	47.02	7.31 W	0.00	100 of 128 - Sale <sup>25</sup>
	1.862	39.71	10/08/20	45.09	5.38 W	0.00	101 of 128 - Sale <sup>25</sup>
	1.902	40.57	10/08/20	46.98	6.41 W	0.00	102 of 128 - Sale <sup>25</sup>
	2.104	44.86	10/08/20	50.89	6.03 W	0.00	103 of 128 - Sale <sup>25</sup>
	2.242	47.80	10/08/20	54.45	6.65 W	0.00	104 of 128 - Sale <sup>25</sup>
	2.483	52.95	10/08/20	64.16	11.21 W	0.00	105 of 128 - Sale <sup>25</sup>
	2.517	53.67	10/08/20	64.86	11.19 W	0.00	106 of 128 - Sale <sup>25</sup>
	2.862	61.03	10/08/20	73.94	12.91 W	0.00	107 of 128 - Sale <sup>25</sup>
	2.896	61.76	10/08/20	72.66	10.90 W	0.00	108 of 128 - Sale <sup>25</sup>
	3.242	69.12	10/08/20	78.71	9.59 W	0.00	109 of 128 - Sale <sup>25</sup>
	3.758	80.14	10/08/20	97.96	17.82 W	0.00	110 of 128 - Sale <sup>25</sup>
	5.000	106.62	10/08/20	130.04	23.42 W	0.00	111 of 128 - Sale <sup>25</sup>
	5.104	108.83	10/08/20	128.86	20.03 W	0.00	112 of 128 - Sale <sup>25</sup>
	6.862	146.33	10/08/20	171.30	24.97 W	0.00	113 of 128 - Sale <sup>25</sup>
	7.758	165.44	10/08/20	187.01	21.57 W	0.00	114 of 128 - Sale <sup>25</sup>
	0.862	18.39	10/08/20	21.68	3.29 W	0.00	115 of 128 - Sale <sup>25</sup>
	1.413	30.13	10/08/20	36.06	5.93 W	0.00	116 of 128 - Sale <sup>25</sup>
	4.000	85.29	10/08/20	100.40	15.11 W	0.00	117 of 128 - Sale <sup>25</sup>
	10.000	213.24	10/08/20	255.25	42.01 W	0.00	118 of 128 - Sale <sup>25</sup>
	1.000	21.32	10/08/20	23.25	1.93 W	0.00	119 of 128 - Sale <sup>25</sup>
	20.138	429.41	10/08/20	472.24	42.83 W	0.00	120 of 128 - Sale <sup>25</sup>
	1.449	30.90	10/08/20	34.13	3.23 W	0.00	121 of 128 - Sale <sup>25</sup>
	3.138	66.91	10/08/20	72.55	5.64 W	0.00	122 of 128 - Sale <sup>25</sup>
	5.000	106.62	10/08/20	117.40	10.78 W	0.00	123 of 128 - Sale <sup>25</sup>
	9.000	191.91	10/08/20	208.12	16.21 W	0.00	124 of 128 - Sale <sup>25</sup>
	10.000	213.24	10/08/20	234.78	21.54 W	0.00	125 of 128 - Sale <sup>25</sup>
	10.413	222.04	10/08/20	240.79	18.75 W	0.00	126 of 128 - Sale <sup>25</sup>
	46.862	999.28	10/08/20	1,083.66	84.38 W	0.00	127 of 128 - Sale <sup>25</sup>
	12.000	255.88	10/08/20	281.41	25.53 W	0.00	128 of 128 - Sale <sup>25</sup>
10/08/20	1,000.000	21,373.31	Various	24,021.37	2,648.06 W	0.00	Total of 128 transactions

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
<i>4 transactions for 10/09/20. Total proceeds and cost reported to the IRS.</i>							
	0.862	18.55	10/09/20	20.25	1.70 W	0.00	1 of 4 - Sale <sup>25</sup>
	4.000	86.05	10/09/20	94.14	8.09 W	0.00	2 of 4 - Sale <sup>25</sup>
	6.000	129.07	10/09/20	141.58	12.51 W	0.00	3 of 4 - Sale <sup>25</sup>
	89.138	1,917.49	10/09/20	2,092.95	175.46 W	0.00	4 of 4 - Sale <sup>25</sup>
10/09/20	100.000	2,151.16	Various	2,348.92	197.76 W	0.00	Total of 4 transactions
<i>7 transactions for 10/12/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	21.63	10/09/20	23.73	2.10 W	0.00	1 of 7 - Sale <sup>25</sup>
	19.000	411.05	10/09/20	450.67	39.62 W	0.00	2 of 7 - Sale <sup>25</sup>
	29.275	633.36	10/09/20	694.71	61.35 W	0.00	3 of 7 - Sale <sup>25</sup>
	39.138	846.72	10/09/20	928.35	81.63 W	0.00	4 of 7 - Sale <sup>25</sup>
	44.862	970.57	10/09/20	1,054.26	83.69 W	0.00	5 of 7 - Sale <sup>25</sup>
	65.862	1,424.89	10/09/20	1,562.28	137.39 W	0.00	6 of 7 - Sale <sup>25</sup>
	100.862	2,182.09	10/09/20	2,368.25	186.16 W	0.00	7 of 7 - Sale <sup>25</sup>
10/12/20	300.000	6,490.31	Various	7,082.25	591.94 W	0.00	Total of 7 transactions
<i>95 transactions for 10/14/20. Total proceeds and cost reported to the IRS.</i>							
	9.000	239.29	10/14/20	253.36	14.07 W	0.00	1 of 95 - Sale <sup>25</sup>
	5.587	148.51	10/14/20	162.03	13.52 W	0.00	2 of 95 - Sale <sup>25</sup>
	9.413	250.21	10/14/20	273.00	22.79 W	0.00	3 of 95 - Sale <sup>25</sup>
	50.000	1,329.05	10/14/20	1,421.22	92.17 W	0.00	4 of 95 - Sale <sup>25</sup>
	1.138	30.24	10/14/20	32.16	1.92 W	0.00	5 of 95 - Sale <sup>25</sup>
	39.000	1,036.66	10/14/20	1,103.35	66.69 W	0.00	6 of 95 - Sale <sup>25</sup>
	16.587	440.90	10/14/20	481.05	40.15 W	0.00	7 of 95 - Sale <sup>25</sup>
	24.413	648.92	10/14/20	708.04	59.12 W	0.00	8 of 95 - Sale <sup>25</sup>
	2.000	53.16	10/14/20	56.85	3.69 W	0.00	9 of 95 - Sale <sup>25</sup>
	16.862	448.22	10/14/20	479.30	31.08 W	0.00	10 of 95 - Sale <sup>25</sup>
	4.138	109.98	10/14/20	125.84	15.86 W	0.00	11 of 95 - Sale <sup>25</sup>
	7.000	186.07	10/14/20	212.98	26.91 W	0.00	12 of 95 - Sale <sup>25</sup>
	4.725	125.59	10/14/20	132.87	7.28 W	0.00	13 of 95 - Sale <sup>25</sup>
	35.138	934.00	10/14/20	989.16	55.16 W	0.00	14 of 95 - Sale <sup>25</sup>
	21.000	549.66	10/14/20	637.65	87.99 W	0.00	15 of 95 - Sale <sup>25</sup>
	11.138	291.52	10/14/20	300.85	9.33 W	0.00	16 of 95 - Sale <sup>25</sup>
	12.000	314.09	10/14/20	324.13	10.04 W	0.00	17 of 95 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	55.862	1,462.16	10/14/20	1,569.80	107.64 W	0.00	18 of 95 - Sale <sup>25</sup>
	24.138	631.79	10/14/20	652.23	20.44 W	0.00	19 of 95 - Sale <sup>25</sup>
	25.862	676.93	10/14/20	698.56	21.63 W	0.00	20 of 95 - Sale <sup>25</sup>
	1.000	26.17	10/14/20	30.35	4.18 W	0.00	21 of 95 - Sale <sup>25</sup>
	4.138	108.30	10/14/20	125.55	17.25 W	0.00	22 of 95 - Sale <sup>25</sup>
	22.000	575.83	10/14/20	594.46	18.63 W	0.00	23 of 95 - Sale <sup>25</sup>
	34.000	889.93	10/14/20	915.32	25.39 W	0.00	24 of 95 - Sale <sup>25</sup>
	38.862	1,017.19	10/14/20	1,050.10	32.91 W	0.00	25 of 95 - Sale <sup>25</sup>
	7.413	194.03	10/14/20	228.35	34.32 W	0.00	26 of 95 - Sale <sup>25</sup>
	25.725	673.32	10/14/20	787.65	114.33 W	0.00	27 of 95 - Sale <sup>25</sup>
	14.000	366.44	10/14/20	379.69	13.25 W	0.00	28 of 95 - Sale <sup>25</sup>
	4.000	104.70	10/14/20	110.32	5.62 W	0.00	29 of 95 - Sale <sup>25</sup>
	4.000	104.70	10/14/20	110.24	5.54 W	0.00	30 of 95 - Sale <sup>25</sup>
	1.000	26.17	10/14/20	28.18	2.01 W	0.00	31 of 95 - Sale <sup>25</sup>
	4.725	123.66	10/14/20	132.76	9.10 W	0.00	32 of 95 - Sale <sup>25</sup>
	17.138	448.57	10/14/20	481.58	33.01 W	0.00	33 of 95 - Sale <sup>25</sup>
	22.000	575.84	10/14/20	623.94	48.10 W	0.00	34 of 95 - Sale <sup>25</sup>
	2.587	67.71	10/14/20	79.69	11.98 W	0.00	35 of 95 - Sale <sup>25</sup>
	9.138	239.17	10/14/20	281.50	42.33 W	0.00	36 of 95 - Sale <sup>25</sup>
	0.862	22.57	10/14/20	26.17	3.60 W	0.00	37 of 95 - Sale <sup>25</sup>
	1.138	29.78	10/14/20	34.40	4.62 W	0.00	38 of 95 - Sale <sup>25</sup>
	12.413	324.90	10/14/20	375.27	50.37 W	0.00	39 of 95 - Sale <sup>25</sup>
	15.862	415.19	10/14/20	479.56	64.37 W	0.00	40 of 95 - Sale <sup>25</sup>
	0.725	18.97	10/14/20	22.70	3.73 W	0.00	41 of 95 - Sale <sup>25</sup>
	1.000	26.17	10/14/20	31.35	5.18 W	0.00	42 of 95 - Sale <sup>25</sup>
	4.862	127.27	10/14/20	149.90	22.63 W	0.00	43 of 95 - Sale <sup>25</sup>
	6.275	164.25	10/14/20	196.50	32.25 W	0.00	44 of 95 - Sale <sup>25</sup>
	6.587	172.41	10/14/20	203.06	30.65 W	0.00	45 of 95 - Sale <sup>25</sup>
	8.275	216.60	10/14/20	256.37	39.77 W	0.00	46 of 95 - Sale <sup>25</sup>
	0.688	18.02	10/14/20	20.41	2.39 W	0.00	47 of 95 - Sale <sup>25</sup>
	3.587	93.89	10/14/20	106.30	12.41 W	0.00	48 of 95 - Sale <sup>25</sup>
	5.138	134.48	10/14/20	152.31	17.83 W	0.00	49 of 95 - Sale <sup>25</sup>
	8.138	213.00	10/14/20	241.32	28.32 W	0.00	50 of 95 - Sale <sup>25</sup>
	10.000	261.74	10/14/20	296.36	34.62 W	0.00	51 of 95 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
49.862		1,305.11	10/14/20	1,478.19	173.08 W	0.00	52 of 95 - Sale <sup>25</sup>
2.862		74.92	10/14/20	82.03	7.11 W	0.00	53 of 95 - Sale <sup>25</sup>
1.138		29.78	10/14/20	33.68	3.90 W	0.00	54 of 95 - Sale <sup>25</sup>
10.036		262.69	10/14/20	297.03	34.34 W	0.00	55 of 95 - Sale <sup>25</sup>
1.138		29.78	10/14/20	33.03	3.25 W	0.00	56 of 95 - Sale <sup>25</sup>
18.862		493.71	10/14/20	552.15	58.44 W	0.00	57 of 95 - Sale <sup>25</sup>
3.000		78.52	10/14/20	85.58	7.06 W	0.00	58 of 95 - Sale <sup>25</sup>
3.449		90.28	10/14/20	99.18	8.90 W	0.00	59 of 95 - Sale <sup>25</sup>
4.862		127.27	10/14/20	138.72	11.45 W	0.00	60 of 95 - Sale <sup>25</sup>
4.862		127.27	10/14/20	139.83	12.56 W	0.00	61 of 95 - Sale <sup>25</sup>
8.174		213.95	10/14/20	233.20	19.25 W	0.00	62 of 95 - Sale <sup>25</sup>
25.000		654.36	10/14/20	713.24	58.88 W	0.00	63 of 95 - Sale <sup>25</sup>
38.688		1,012.64	10/14/20	1,103.76	91.12 W	0.00	64 of 95 - Sale <sup>25</sup>
38.688		1,012.64	10/14/20	1,112.53	99.89 W	0.00	65 of 95 - Sale <sup>25</sup>
45.275		1,185.05	10/14/20	1,291.68	106.63 W	0.00	66 of 95 - Sale <sup>25</sup>
3.000		78.52	10/14/20	83.83	5.31 W	0.00	67 of 95 - Sale <sup>25</sup>
21.275		556.86	10/14/20	591.70	34.84 W	0.00	68 of 95 - Sale <sup>25</sup>
0.725		18.97	10/14/20	20.98	2.01 W	0.00	69 of 95 - Sale <sup>25</sup>
1.000		26.17	10/14/20	28.81	2.64 W	0.00	70 of 95 - Sale <sup>25</sup>
3.275		85.73	10/14/20	94.77	9.04 W	0.00	71 of 95 - Sale <sup>25</sup>
7.551		197.64	10/14/20	218.97	21.33 W	0.00	72 of 95 - Sale <sup>25</sup>
0.242		6.38	10/14/20	7.14	0.76 W	0.00	73 of 95 - Sale <sup>25</sup>
0.379		10.02	10/14/20	11.21	1.19 W	0.00	74 of 95 - Sale <sup>25</sup>
0.138		3.64	10/14/20	4.15	0.51 W	0.00	75 of 95 - Sale <sup>25</sup>
0.379		10.02	10/14/20	11.42	1.40 W	0.00	76 of 95 - Sale <sup>25</sup>
1.000		26.42	10/14/20	29.03	2.61 W	0.00	77 of 95 - Sale <sup>25</sup>
1.000		26.42	10/14/20	29.03	2.61 W	0.00	78 of 95 - Sale <sup>25</sup>
3.000		79.26	10/14/20	87.02	7.76 W	0.00	79 of 95 - Sale <sup>25</sup>
3.000		79.26	10/14/20	87.68	8.42 W	0.00	80 of 95 - Sale <sup>25</sup>
4.862		128.46	10/14/20	142.11	13.65 W	0.00	81 of 95 - Sale <sup>25</sup>
7.000		184.93	10/14/20	202.83	17.90 W	0.00	82 of 95 - Sale <sup>25</sup>
9.449		249.64	10/14/20	276.18	26.54 W	0.00	83 of 95 - Sale <sup>25</sup>
10.000		264.19	10/14/20	292.28	28.09 W	0.00	84 of 95 - Sale <sup>25</sup>
16.449		434.58	10/14/20	470.45	35.87 W	0.00	85 of 95 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	27.138	716.96	10/14/20	793.17	76.21 W	0.00	86 of 95 - Sale <sup>25</sup>
	27.138	716.96	10/14/20	790.00	73.04 W	0.00	87 of 95 - Sale <sup>25</sup>
	27.413	724.23	10/14/20	801.23	77.00 W	0.00	88 of 95 - Sale <sup>25</sup>
	2.688	71.03	10/14/20	77.22	6.19 W	0.00	89 of 95 - Sale <sup>25</sup>
	3.000	79.26	10/14/20	86.17	6.91 W	0.00	90 of 95 - Sale <sup>25</sup>
	4.449	117.55	10/14/20	126.24	8.69 W	0.00	91 of 95 - Sale <sup>25</sup>
	11.725	309.76	10/14/20	336.77	27.01 W	0.00	92 of 95 - Sale <sup>25</sup>
	14.275	377.14	10/14/20	406.46	29.32 W	0.00	93 of 95 - Sale <sup>25</sup>
	24.862	656.84	10/14/20	705.41	48.57 W	0.00	94 of 95 - Sale <sup>25</sup>
	9.413	248.68	10/14/20	268.24	19.56 W	0.00	95 of 95 - Sale <sup>25</sup>
10/14/20	1,174.000	30,871.41	Various	33,640.42	2,769.01 W	0.00	Total of 95 transactions
80 transactions for 10/15/20. Total proceeds and cost reported to the IRS.							
	4.688	130.47	10/14/20	133.60	3.13 W	0.00	1 of 80 - Sale <sup>25</sup>
	6.000	166.98	10/14/20	170.99	4.01 W	0.00	2 of 80 - Sale <sup>25</sup>
	30.312	843.54	10/14/20	863.79	20.25 W	0.00	3 of 80 - Sale <sup>25</sup>
	0.373	10.38	10/14/20	11.33	0.95 W	0.00	4 of 80 - Sale <sup>25</sup>
	0.765	21.28	10/14/20	23.34	2.06 W	0.00	5 of 80 - Sale <sup>25</sup>
	1.138	31.66	10/14/20	34.73	3.07 W	0.00	6 of 80 - Sale <sup>25</sup>
	3.385	94.21	10/14/20	102.81	8.60 W	0.00	7 of 80 - Sale <sup>25</sup>
	4.477	124.59	10/14/20	135.37	10.78 W	0.00	8 of 80 - Sale <sup>25</sup>
	0.312	8.67	10/14/20	8.91	0.24 W	0.00	9 of 80 - Sale <sup>25</sup>
	2.551	70.99	10/14/20	72.94	1.95 W	0.00	10 of 80 - Sale <sup>25</sup>
	0.449	12.45	10/14/20	12.85	0.40 W	0.00	11 of 80 - Sale <sup>25</sup>
	1.275	35.35	10/14/20	36.24	0.89 W	0.00	12 of 80 - Sale <sup>25</sup>
	5.000	138.61	10/14/20	142.98	4.37 W	0.00	13 of 80 - Sale <sup>25</sup>
	7.725	214.14	10/14/20	222.72	8.58 W	0.00	14 of 80 - Sale <sup>25</sup>
	15.275	423.46	10/14/20	436.84	13.38 W	0.00	15 of 80 - Sale <sup>25</sup>
	20.275	562.06	10/14/20	584.58	22.52 W	0.00	16 of 80 - Sale <sup>25</sup>
	1.000	27.66	10/14/20	28.75	1.09 W	0.00	17 of 80 - Sale <sup>25</sup>
	2.000	55.32	10/14/20	56.76	1.44 W	0.00	18 of 80 - Sale <sup>25</sup>
	1.725	47.70	10/14/20	49.01	1.31 W	0.00	19 of 80 - Sale <sup>25</sup>
	3.551	98.21	10/14/20	101.28	3.07 W	0.00	20 of 80 - Sale <sup>25</sup>
	4.000	110.64	10/14/20	113.82	3.18 W	0.00	21 of 80 - Sale <sup>25</sup>
	11.725	324.31	10/14/20	334.44	10.13 W	0.00	22 of 80 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
13.000		359.58	10/14/20	375.49	15.91 W	0.00	23 of 80 - Sale <sup>25</sup>
0.235		6.51	10/14/20	7.28	0.77 W	0.00	24 of 80 - Sale <sup>25</sup>
0.242		6.68	10/14/20	7.19	0.51 W	0.00	25 of 80 - Sale <sup>25</sup>
0.275		7.62	10/14/20	8.27	0.65 W	0.00	26 of 80 - Sale <sup>25</sup>
0.282		7.79	10/14/20	8.36	0.57 W	0.00	27 of 80 - Sale <sup>25</sup>
0.379		10.49	10/14/20	11.30	0.81 W	0.00	28 of 80 - Sale <sup>25</sup>
0.581		16.06	10/14/20	17.72	1.66 W	0.00	29 of 80 - Sale <sup>25</sup>
0.581		16.06	10/14/20	17.24	1.18 W	0.00	30 of 80 - Sale <sup>25</sup>
0.621		17.17	10/14/20	18.73	1.56 W	0.00	31 of 80 - Sale <sup>25</sup>
0.621		17.17	10/14/20	18.16	0.99 W	0.00	32 of 80 - Sale <sup>25</sup>
0.896		24.79	10/14/20	26.89	2.10 W	0.00	33 of 80 - Sale <sup>25</sup>
0.896		24.79	10/14/20	26.13	1.34 W	0.00	34 of 80 - Sale <sup>25</sup>
1.138		31.47	10/14/20	35.20	3.73 W	0.00	35 of 80 - Sale <sup>25</sup>
1.144		31.64	10/14/20	35.40	3.76 W	0.00	36 of 80 - Sale <sup>25</sup>
1.242		34.34	10/14/20	38.02	3.68 W	0.00	37 of 80 - Sale <sup>25</sup>
1.242		34.34	10/14/20	38.08	3.74 W	0.00	38 of 80 - Sale <sup>25</sup>
1.242		34.34	10/14/20	36.87	2.53 W	0.00	39 of 80 - Sale <sup>25</sup>
1.413		39.09	10/14/20	41.35	2.26 W	0.00	40 of 80 - Sale <sup>25</sup>
1.621		44.83	10/14/20	47.41	2.58 W	0.00	41 of 80 - Sale <sup>25</sup>
1.758		48.64	10/14/20	51.42	2.78 W	0.00	42 of 80 - Sale <sup>25</sup>
1.862		51.51	10/14/20	56.29	4.78 W	0.00	43 of 80 - Sale <sup>25</sup>
1.862		51.51	10/14/20	54.36	2.85 W	0.00	44 of 80 - Sale <sup>25</sup>
1.902		52.62	10/14/20	56.44	3.82 W	0.00	45 of 80 - Sale <sup>25</sup>
2.104		58.19	10/14/20	61.36	3.17 W	0.00	46 of 80 - Sale <sup>25</sup>
2.896		80.11	10/14/20	87.07	6.96 W	0.00	47 of 80 - Sale <sup>25</sup>
3.242		89.66	10/14/20	94.85	5.19 W	0.00	48 of 80 - Sale <sup>25</sup>
5.104		141.18	10/14/20	154.26	13.08 W	0.00	49 of 80 - Sale <sup>25</sup>
6.862		189.81	10/14/20	205.45	15.64 W	0.00	50 of 80 - Sale <sup>25</sup>
7.758		214.60	10/14/20	225.62	11.02 W	0.00	51 of 80 - Sale <sup>25</sup>
0.621		17.17	10/14/20	19.58	2.41 W	0.00	52 of 80 - Sale <sup>25</sup>
0.828		22.92	10/14/20	24.25	1.33 W	0.00	53 of 80 - Sale <sup>25</sup>
1.517		41.96	10/14/20	46.72	4.76 W	0.00	54 of 80 - Sale <sup>25</sup>
2.483		68.68	10/14/20	76.52	7.84 W	0.00	55 of 80 - Sale <sup>25</sup>
2.862		79.17	10/14/20	88.19	9.02 W	0.00	56 of 80 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	3.758	103.96	10/14/20	116.67	12.71 W	0.00	57 of 80 - Sale <sup>25</sup>
	0.930	25.73	10/14/20	28.81	3.08 W	0.00	58 of 80 - Sale <sup>25</sup>
	2.517	70.47	10/14/20	77.38	6.91 W	0.00	59 of 80 - Sale <sup>25</sup>
	4.070	113.96	10/14/20	126.11	12.15 W	0.00	60 of 80 - Sale <sup>25</sup>
	0.862	24.14	10/14/20	25.97	1.83 W	0.00	61 of 80 - Sale <sup>25</sup>
	1.413	39.56	10/14/20	43.09	3.53 W	0.00	62 of 80 - Sale <sup>25</sup>
	4.000	112.00	10/14/20	120.31	8.31 W	0.00	63 of 80 - Sale <sup>25</sup>
	10.000	279.99	10/14/20	305.01	25.02 W	0.00	64 of 80 - Sale <sup>25</sup>
	1.000	28.00	10/14/20	28.23	0.23 W	0.00	65 of 80 - Sale <sup>25</sup>
	20.138	563.84	10/14/20	572.45	8.61 W	0.00	66 of 80 - Sale <sup>25</sup>
	1.449	40.58	10/14/20	41.35	0.77 W	0.00	67 of 80 - Sale <sup>25</sup>
	5.000	140.00	10/14/20	142.28	2.28 W	0.00	68 of 80 - Sale <sup>25</sup>
	7.138	199.85	10/14/20	200.57	0.72 W	0.00	69 of 80 - Sale <sup>25</sup>
	9.000	251.99	10/14/20	252.91	0.92 W	0.00	70 of 80 - Sale <sup>25</sup>
	10.000	279.99	10/14/20	284.54	4.55 W	0.00	71 of 80 - Sale <sup>25</sup>
	10.413	291.56	10/14/20	292.61	1.05 W	0.00	72 of 80 - Sale <sup>25</sup>
	0.862	24.14	10/14/20	24.51	0.37 W	0.00	73 of 80 - Sale <sup>25</sup>
	0.138	3.86	10/14/20	3.92	0.06 W	0.00	74 of 80 - Sale <sup>25</sup>
	12.000	335.99	10/14/20	342.93	6.94 W	0.00	75 of 80 - Sale <sup>25</sup>
	3.000	84.06	10/14/20	84.75	0.69 W	0.00	76 of 80 - Sale <sup>25</sup>
	3.862	108.22	10/14/20	109.97	1.75 W	0.00	77 of 80 - Sale <sup>25</sup>
	6.000	168.12	10/14/20	171.21	3.09 W	0.00	78 of 80 - Sale <sup>25</sup>
	34.275	960.36	10/14/20	974.05	13.69 W	0.00	79 of 80 - Sale <sup>25</sup>
	6.862	192.28	10/14/20	193.87	1.59 W	0.00	80 of 80 - Sale <sup>25</sup>
10/15/20	358.000	9,967.82	Various	10,359.05	391.23 W	0.00	Total of 80 transactions
	4 transactions for 10/16/20. Total proceeds and cost reported to the IRS.						
	3.138	88.62	10/14/20	88.63	0.01 W	0.00	1 of 4 - Sale <sup>25</sup>
	29.862	843.44	10/14/20	843.63	0.19 W	0.00	2 of 4 - Sale <sup>25</sup>
	40.138	1,133.66	10/14/20	1,135.72	2.06 W	0.00	3 of 4 - Sale <sup>25</sup>
	26.862	758.70	10/14/20	763.38	4.68 W	0.00	4 of 4 - Sale <sup>25</sup>
10/16/20	100.000	2,824.42	Various	2,831.36	6.94 W	0.00	Total of 4 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
2 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	7.000	198.24	10/14/20	198.07	...	0.17	1 of 2 - Sale <sup>25</sup>
	43.000	1,217.79	10/14/20	1,214.57	...	3.22	2 of 2 - Sale <sup>25</sup>
10/19/20	50.000	1,416.03	Various	1,412.64	...	3.39	Total of 2 transactions
14 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	28.000	791.32	10/14/20	795.72	4.40 W	0.00	1 of 14 - Sale <sup>25</sup>
	7.000	197.83	10/14/20	198.07	0.24 W	0.00	2 of 14 - Sale <sup>25</sup>
	1.413	39.23	10/14/20	40.25	1.02 W	0.00	3 of 14 - Sale <sup>25</sup>
	44.862	1,245.39	10/14/20	1,268.06	22.67 W	0.00	4 of 14 - Sale <sup>25</sup>
	3.725	103.40	10/14/20	105.20	1.80 W	0.00	5 of 14 - Sale <sup>25</sup>
	1.000	27.58	10/14/20	28.50	0.92 W	0.00	6 of 14 - Sale <sup>25</sup>
	17.587	485.07	10/14/20	500.97	15.90 W	0.00	7 of 14 - Sale <sup>25</sup>
	29.275	807.46	10/14/20	834.22	26.76 W	0.00	8 of 14 - Sale <sup>25</sup>
	39.138	1,079.48	10/14/20	1,114.87	35.39 W	0.00	9 of 14 - Sale <sup>25</sup>
	65.862	1,816.58	10/14/20	1,876.15	59.57 W	0.00	10 of 14 - Sale <sup>25</sup>
	4.138	114.12	10/14/20	119.24	5.12 W	0.00	11 of 14 - Sale <sup>25</sup>
	1.449	39.97	10/14/20	41.70	1.73 W	0.00	12 of 14 - Sale <sup>25</sup>
	9.413	259.63	10/14/20	270.82	11.19 W	0.00	13 of 14 - Sale <sup>25</sup>
	6.138	169.28	10/14/20	173.04	3.76 W	0.00	14 of 14 - Sale <sup>25</sup>
10/19/20	259.000	7,176.34	Various	7,366.81	190.47 W	0.00	Total of 14 transactions
10 transactions for 10/20/20. Total proceeds and cost reported to the IRS.							
	8.138	223.38	10/14/20	221.24	...	2.14	1 of 10 - Sale <sup>25</sup>
	1.138	31.23	10/15/20	31.07	...	0.16	2 of 10 - Sale <sup>25</sup>
	4.000	109.80	10/15/20	109.46	...	0.34	3 of 10 - Sale <sup>25</sup>
	3.862	106.02	10/15/20	105.47	...	0.55	4 of 10 - Sale <sup>25</sup>
	6.000	164.70	10/15/20	163.90	...	0.80	5 of 10 - Sale <sup>25</sup>
	11.138	305.73	10/15/20	304.14	...	1.59	6 of 10 - Sale <sup>25</sup>
	24.138	662.58	10/15/20	659.37	...	3.21	7 of 10 - Sale <sup>25</sup>
	25.862	709.93	10/15/20	706.20	...	3.73	8 of 10 - Sale <sup>25</sup>
	32.862	902.08	10/15/20	897.70	...	4.38	9 of 10 - Sale <sup>25</sup>
	34.000	933.31	10/15/20	925.37	...	7.94	10 of 10 - Sale <sup>25</sup>
10/20/20	151.138	4,148.76	Various	4,123.92	...	24.84	Total of 10 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
<i>293 transactions for 10/20/20. Total proceeds and cost reported to the IRS.</i>							
33.000		905.86	10/14/20	930.39	24.53 W	0.00	1 of 293 - Sale <sup>25</sup>
1.138		31.23	10/14/20	31.90	0.67 W	0.00	2 of 293 - Sale <sup>25</sup>
39.000		1,070.56	10/14/20	1,094.34	23.78 W	0.00	3 of 293 - Sale <sup>25</sup>
16.587		455.31	10/14/20	477.22	21.91 W	0.00	4 of 293 - Sale <sup>25</sup>
24.413		670.14	10/14/20	702.40	32.26 W	0.00	5 of 293 - Sale <sup>25</sup>
8.000		219.60	10/14/20	225.55	5.95 W	0.00	6 of 293 - Sale <sup>25</sup>
10.862		298.17	10/14/20	306.24	8.07 W	0.00	7 of 293 - Sale <sup>25</sup>
4.138		113.58	10/14/20	124.89	11.31 W	0.00	8 of 293 - Sale <sup>25</sup>
7.000		192.15	10/14/20	211.36	19.21 W	0.00	9 of 293 - Sale <sup>25</sup>
21.000		576.45	10/14/20	641.34	64.89 W	0.00	10 of 293 - Sale <sup>25</sup>
4.725		129.69	10/14/20	131.77	2.08 W	0.00	11 of 293 - Sale <sup>25</sup>
9.000		247.05	10/14/20	251.22	4.17 W	0.00	12 of 293 - Sale <sup>25</sup>
35.138		964.54	10/14/20	981.04	16.50 W	0.00	13 of 293 - Sale <sup>25</sup>
2.000		54.90	10/14/20	56.39	1.49 W	0.00	14 of 293 - Sale <sup>25</sup>
8.862		243.27	10/14/20	249.85	6.58 W	0.00	15 of 293 - Sale <sup>25</sup>
17.000		466.65	10/15/20	482.75	16.10 W	0.00	16 of 293 - Sale <sup>25</sup>
1.000		27.45	10/15/20	30.65	3.20 W	0.00	17 of 293 - Sale <sup>25</sup>
4.138		113.58	10/15/20	126.77	13.19 W	0.00	18 of 293 - Sale <sup>25</sup>
38.862		1,066.78	10/15/20	1,103.57	36.79 W	0.00	19 of 293 - Sale <sup>25</sup>
1.000		27.45	10/15/20	28.77	1.32 W	0.00	20 of 293 - Sale <sup>25</sup>
2.587		71.01	10/15/20	84.41	13.40 W	0.00	21 of 293 - Sale <sup>25</sup>
7.413		203.49	10/15/20	241.89	38.40 W	0.00	22 of 293 - Sale <sup>25</sup>
9.138		250.83	10/15/20	298.18	47.35 W	0.00	23 of 293 - Sale <sup>25</sup>
25.725		706.15	10/15/20	834.62	128.47 W	0.00	24 of 293 - Sale <sup>25</sup>
0.862		23.67	10/15/20	27.75	4.08 W	0.00	25 of 293 - Sale <sup>25</sup>
1.138		31.23	10/15/20	36.48	5.25 W	0.00	26 of 293 - Sale <sup>25</sup>
5.551		152.37	10/15/20	177.94	25.57 W	0.00	27 of 293 - Sale <sup>25</sup>
15.862		435.42	10/15/20	508.51	73.09 W	0.00	28 of 293 - Sale <sup>25</sup>
14.000		384.30	10/15/20	405.25	20.95 W	0.00	29 of 293 - Sale <sup>25</sup>
15.862		435.42	10/15/20	457.57	22.15 W	0.00	30 of 293 - Sale <sup>25</sup>
4.000		109.80	10/15/20	117.62	7.82 W	0.00	31 of 293 - Sale <sup>25</sup>
4.000		109.80	10/15/20	117.54	7.74 W	0.00	32 of 293 - Sale <sup>25</sup>
1.000		27.45	10/15/20	30.01	2.56 W	0.00	33 of 293 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	4.725	129.69	10/15/20	141.39	11.70 W	0.00	34 of 293 - Sale <sup>25</sup>
	17.138	470.43	10/15/20	512.87	42.44 W	0.00	35 of 293 - Sale <sup>25</sup>
	22.000	603.90	10/15/20	664.10	60.20 W	0.00	36 of 293 - Sale <sup>25</sup>
	2.000	54.90	10/15/20	64.12	9.22 W	0.00	37 of 293 - Sale <sup>25</sup>
	4.862	133.47	10/16/20	159.86	26.39 W	0.00	38 of 293 - Sale <sup>25</sup>
	0.725	19.89	10/16/20	24.61	4.72 W	0.00	39 of 293 - Sale <sup>25</sup>
	1.000	27.45	10/16/20	34.00	6.55 W	0.00	40 of 293 - Sale <sup>25</sup>
	4.862	133.47	10/16/20	162.76	29.29 W	0.00	41 of 293 - Sale <sup>25</sup>
	6.275	172.26	10/16/20	213.11	40.85 W	0.00	42 of 293 - Sale <sup>25</sup>
	6.587	180.81	10/16/20	220.49	39.68 W	0.00	43 of 293 - Sale <sup>25</sup>
	8.275	227.16	10/16/20	278.27	51.11 W	0.00	44 of 293 - Sale <sup>25</sup>
	2.413	66.24	10/16/20	77.89	11.65 W	0.00	45 of 293 - Sale <sup>25</sup>
	0.688	18.90	10/19/20	21.47	2.57 W	0.00	46 of 293 - Sale <sup>25</sup>
	1.138	31.23	10/19/20	35.43	4.20 W	0.00	47 of 293 - Sale <sup>25</sup>
	1.174	32.22	10/19/20	36.60	4.38 W	0.00	48 of 293 - Sale <sup>25</sup>
	5.138	141.03	10/19/20	160.24	19.21 W	0.00	49 of 293 - Sale <sup>25</sup>
	8.138	223.38	10/19/20	253.88	30.50 W	0.00	50 of 293 - Sale <sup>25</sup>
	10.000	274.50	10/19/20	311.80	37.30 W	0.00	51 of 293 - Sale <sup>25</sup>
	10.036	275.50	10/19/20	312.52	37.02 W	0.00	52 of 293 - Sale <sup>25</sup>
	49.862	1,368.73	10/19/20	1,555.15	186.42 W	0.00	53 of 293 - Sale <sup>25</sup>
	1.138	31.23	10/19/20	34.78	3.55 W	0.00	54 of 293 - Sale <sup>25</sup>
	18.862	517.78	10/19/20	581.26	63.48 W	0.00	55 of 293 - Sale <sup>25</sup>
	3.000	82.35	10/19/20	90.21	7.86 W	0.00	56 of 293 - Sale <sup>25</sup>
	4.862	133.47	10/19/20	146.22	12.75 W	0.00	57 of 293 - Sale <sup>25</sup>
	8.174	224.38	10/19/20	245.81	21.43 W	0.00	58 of 293 - Sale <sup>25</sup>
	11.239	308.52	10/19/20	338.00	29.48 W	0.00	59 of 293 - Sale <sup>25</sup>
	25.000	686.26	10/19/20	751.83	65.57 W	0.00	60 of 293 - Sale <sup>25</sup>
	38.688	1,062.01	10/19/20	1,163.48	101.47 W	0.00	61 of 293 - Sale <sup>25</sup>
	2.862	78.57	10/19/20	86.45	7.88 W	0.00	62 of 293 - Sale <sup>25</sup>
	3.449	94.68	10/19/20	105.30	10.62 W	0.00	63 of 293 - Sale <sup>25</sup>
	4.862	133.47	10/19/20	148.45	14.98 W	0.00	64 of 293 - Sale <sup>25</sup>
	34.036	934.30	10/19/20	1,031.42	97.12 W	0.00	65 of 293 - Sale <sup>25</sup>
	38.688	1,062.00	10/19/20	1,181.18	119.18 W	0.00	66 of 293 - Sale <sup>25</sup>
	8.964	246.06	10/19/20	265.21	19.15 W	0.00	67 of 293 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.242	6.63	10/19/20	7.51	0.88 W	0.00	68 of 293 - Sale <sup>25</sup>
	0.379	10.41	10/19/20	11.78	1.37 W	0.00	69 of 293 - Sale <sup>25</sup>
	0.138	3.78	10/19/20	4.36	0.58 W	0.00	70 of 293 - Sale <sup>25</sup>
	0.379	10.41	10/19/20	11.99	1.58 W	0.00	71 of 293 - Sale <sup>25</sup>
	3.000	82.35	10/19/20	89.13	6.78 W	0.00	72 of 293 - Sale <sup>25</sup>
	12.312	337.96	10/19/20	364.13	26.17 W	0.00	73 of 293 - Sale <sup>25</sup>
	0.138	3.78	10/19/20	4.24	0.46 W	0.00	74 of 293 - Sale <sup>25</sup>
	0.725	19.89	10/19/20	22.26	2.37 W	0.00	75 of 293 - Sale <sup>25</sup>
	1.000	27.45	10/19/20	30.58	3.13 W	0.00	76 of 293 - Sale <sup>25</sup>
	1.000	27.45	10/19/20	30.55	3.10 W	0.00	77 of 293 - Sale <sup>25</sup>
	3.000	82.35	10/19/20	91.57	9.22 W	0.00	78 of 293 - Sale <sup>25</sup>
	3.275	89.91	10/19/20	100.55	10.64 W	0.00	79 of 293 - Sale <sup>25</sup>
	7.000	192.15	10/19/20	213.47	21.32 W	0.00	80 of 293 - Sale <sup>25</sup>
	7.551	207.27	10/19/20	232.28	25.01 W	0.00	81 of 293 - Sale <sup>25</sup>
	16.449	451.54	10/19/20	495.44	43.90 W	0.00	82 of 293 - Sale <sup>25</sup>
	27.413	752.49	10/19/20	842.87	90.38 W	0.00	83 of 293 - Sale <sup>25</sup>
	1.000	27.10	10/20/20	30.01	2.91 W	0.00	84 of 293 - Sale <sup>25</sup>
	3.000	81.30	10/20/20	90.62	9.32 W	0.00	85 of 293 - Sale <sup>25</sup>
	4.725	128.04	10/20/20	142.71	14.67 W	0.00	86 of 293 - Sale <sup>25</sup>
	9.449	256.09	10/20/20	285.45	29.36 W	0.00	87 of 293 - Sale <sup>25</sup>
	10.000	271.01	10/20/20	302.09	31.08 W	0.00	88 of 293 - Sale <sup>25</sup>
	27.138	735.46	10/20/20	819.78	84.32 W	0.00	89 of 293 - Sale <sup>25</sup>
	27.138	735.46	10/20/20	816.62	81.16 W	0.00	90 of 293 - Sale <sup>25</sup>
	0.138	3.73	10/20/20	4.04	0.31 W	0.00	91 of 293 - Sale <sup>25</sup>
	2.688	72.86	10/20/20	79.86	7.00 W	0.00	92 of 293 - Sale <sup>25</sup>
	3.000	81.30	10/20/20	89.11	7.81 W	0.00	93 of 293 - Sale <sup>25</sup>
	11.725	317.75	10/20/20	348.26	30.51 W	0.00	94 of 293 - Sale <sup>25</sup>
	1.661	45.01	10/20/20	49.73	4.72 W	0.00	95 of 293 - Sale <sup>25</sup>
	4.477	121.33	10/20/20	133.44	12.11 W	0.00	96 of 293 - Sale <sup>25</sup>
	4.688	127.06	10/20/20	131.59	4.53 W	0.00	97 of 293 - Sale <sup>25</sup>
	6.000	162.61	10/20/20	168.41	5.80 W	0.00	98 of 293 - Sale <sup>25</sup>
	30.312	821.48	10/20/20	850.79	29.31 W	0.00	99 of 293 - Sale <sup>25</sup>
	4.449	120.58	10/20/20	130.60	10.02 W	0.00	100 of 293 - Sale <sup>25</sup>
	9.413	255.10	10/20/20	277.48	22.38 W	0.00	101 of 293 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	14.275	386.88	10/20/20	420.47	33.59 W	0.00	102 of 293 - Sale <sup>25</sup>
	24.725	670.06	10/20/20	725.75	55.69 W	0.00	103 of 293 - Sale <sup>25</sup>
	0.235	6.38	10/20/20	7.21	0.83 W	0.00	104 of 293 - Sale <sup>25</sup>
	0.373	10.11	10/20/20	11.15	1.04 W	0.00	105 of 293 - Sale <sup>25</sup>
	0.557	15.09	10/20/20	16.36	1.27 W	0.00	106 of 293 - Sale <sup>25</sup>
	0.581	15.74	10/20/20	17.54	1.80 W	0.00	107 of 293 - Sale <sup>25</sup>
	0.765	20.72	10/20/20	22.97	2.25 W	0.00	108 of 293 - Sale <sup>25</sup>
	1.138	30.83	10/20/20	34.19	3.36 W	0.00	109 of 293 - Sale <sup>25</sup>
	1.138	30.83	10/20/20	34.85	4.02 W	0.00	110 of 293 - Sale <sup>25</sup>
	1.144	31.00	10/20/20	35.05	4.05 W	0.00	111 of 293 - Sale <sup>25</sup>
	1.242	33.65	10/20/20	37.63	3.98 W	0.00	112 of 293 - Sale <sup>25</sup>
	1.242	33.65	10/20/20	37.70	4.05 W	0.00	113 of 293 - Sale <sup>25</sup>
	1.725	46.74	10/20/20	51.55	4.81 W	0.00	114 of 293 - Sale <sup>25</sup>
	0.312	8.44	10/20/20	8.76	0.32 W	0.00	115 of 293 - Sale <sup>25</sup>
	0.449	12.18	10/20/20	12.69	0.51 W	0.00	116 of 293 - Sale <sup>25</sup>
	1.000	27.10	10/20/20	28.44	1.34 W	0.00	117 of 293 - Sale <sup>25</sup>
	1.275	34.56	10/20/20	35.77	1.21 W	0.00	118 of 293 - Sale <sup>25</sup>
	1.725	46.74	10/20/20	48.48	1.74 W	0.00	119 of 293 - Sale <sup>25</sup>
	2.000	54.20	10/20/20	56.14	1.94 W	0.00	120 of 293 - Sale <sup>25</sup>
	2.551	69.13	10/20/20	71.71	2.58 W	0.00	121 of 293 - Sale <sup>25</sup>
	3.551	96.23	10/20/20	100.18	3.95 W	0.00	122 of 293 - Sale <sup>25</sup>
	4.000	108.40	10/20/20	112.58	4.18 W	0.00	123 of 293 - Sale <sup>25</sup>
	5.000	135.51	10/20/20	141.12	5.61 W	0.00	124 of 293 - Sale <sup>25</sup>
	7.725	209.35	10/20/20	219.85	10.50 W	0.00	125 of 293 - Sale <sup>25</sup>
	11.725	317.75	10/20/20	330.80	13.05 W	0.00	126 of 293 - Sale <sup>25</sup>
	13.000	352.31	10/20/20	371.46	19.15 W	0.00	127 of 293 - Sale <sup>25</sup>
	15.275	413.98	10/20/20	431.16	17.18 W	0.00	128 of 293 - Sale <sup>25</sup>
	20.275	549.48	10/20/20	577.05	27.57 W	0.00	129 of 293 - Sale <sup>25</sup>
	0.242	6.55	10/20/20	7.12	0.57 W	0.00	130 of 293 - Sale <sup>25</sup>
	0.275	7.46	10/20/20	8.18	0.72 W	0.00	131 of 293 - Sale <sup>25</sup>
	0.282	7.63	10/20/20	8.27	0.64 W	0.00	132 of 293 - Sale <sup>25</sup>
	0.379	10.28	10/20/20	11.18	0.90 W	0.00	133 of 293 - Sale <sup>25</sup>
	0.581	15.74	10/20/20	17.06	1.32 W	0.00	134 of 293 - Sale <sup>25</sup>
	0.621	16.82	10/20/20	18.54	1.72 W	0.00	135 of 293 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.621	16.82	10/20/20	17.97	1.15 W	0.00	136 of 293 - Sale <sup>25</sup>
	0.621	16.82	10/20/20	19.39	2.57 W	0.00	137 of 293 - Sale <sup>25</sup>
	0.685	18.55	10/20/20	20.12	1.57 W	0.00	138 of 293 - Sale <sup>25</sup>
	0.828	22.45	10/20/20	23.99	1.54 W	0.00	139 of 293 - Sale <sup>25</sup>
	0.896	24.29	10/20/20	26.61	2.32 W	0.00	140 of 293 - Sale <sup>25</sup>
	0.896	24.29	10/20/20	25.85	1.56 W	0.00	141 of 293 - Sale <sup>25</sup>
	0.930	25.20	10/20/20	28.51	3.31 W	0.00	142 of 293 - Sale <sup>25</sup>
	1.413	38.30	10/20/20	40.91	2.61 W	0.00	143 of 293 - Sale <sup>25</sup>
	1.517	41.11	10/20/20	46.25	5.14 W	0.00	144 of 293 - Sale <sup>25</sup>
	1.621	43.92	10/20/20	46.91	2.99 W	0.00	145 of 293 - Sale <sup>25</sup>
	1.758	47.66	10/20/20	50.87	3.21 W	0.00	146 of 293 - Sale <sup>25</sup>
	1.862	50.47	10/20/20	55.71	5.24 W	0.00	147 of 293 - Sale <sup>25</sup>
	1.862	50.47	10/20/20	53.78	3.31 W	0.00	148 of 293 - Sale <sup>25</sup>
	1.902	51.56	10/20/20	55.85	4.29 W	0.00	149 of 293 - Sale <sup>25</sup>
	2.104	57.02	10/20/20	60.71	3.69 W	0.00	150 of 293 - Sale <sup>25</sup>
	2.483	67.29	10/20/20	75.75	8.46 W	0.00	151 of 293 - Sale <sup>25</sup>
	2.517	68.21	10/20/20	75.75	7.54 W	0.00	152 of 293 - Sale <sup>25</sup>
	2.862	77.57	10/20/20	87.30	9.73 W	0.00	153 of 293 - Sale <sup>25</sup>
	2.896	78.49	10/20/20	86.17	7.68 W	0.00	154 of 293 - Sale <sup>25</sup>
	3.242	87.85	10/20/20	93.85	6.00 W	0.00	155 of 293 - Sale <sup>25</sup>
	3.758	101.86	10/20/20	115.50	13.64 W	0.00	156 of 293 - Sale <sup>25</sup>
	4.070	110.30	10/20/20	123.47	13.17 W	0.00	157 of 293 - Sale <sup>25</sup>
	5.104	138.32	10/20/20	152.67	14.35 W	0.00	158 of 293 - Sale <sup>25</sup>
	6.862	185.98	10/20/20	203.32	17.34 W	0.00	159 of 293 - Sale <sup>25</sup>
	7.758	210.26	10/20/20	223.22	12.96 W	0.00	160 of 293 - Sale <sup>25</sup>
	0.862	23.37	10/20/20	25.41	2.04 W	0.00	161 of 293 - Sale <sup>25</sup>
	1.413	38.30	10/20/20	42.18	3.88 W	0.00	162 of 293 - Sale <sup>25</sup>
	4.000	108.40	10/20/20	117.71	9.31 W	0.00	163 of 293 - Sale <sup>25</sup>
	10.000	271.01	10/20/20	298.52	27.51 W	0.00	164 of 293 - Sale <sup>25</sup>
	1.000	27.10	10/20/20	27.58	0.48 W	0.00	165 of 293 - Sale <sup>25</sup>
	19.275	522.38	10/20/20	535.43	13.05 W	0.00	166 of 293 - Sale <sup>25</sup>
	0.413	11.19	10/20/20	11.49	0.30 W	0.00	167 of 293 - Sale <sup>25</sup>
	3.725	100.94	10/20/20	103.48	2.54 W	0.00	168 of 293 - Sale <sup>25</sup>
	7.000	189.71	10/20/20	191.34	1.63 W	0.00	169 of 293 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
28.000		758.83	10/20/20	768.80	9.97 W	0.00	170 of 293 - Sale <sup>25</sup>
40.138		1,087.78	10/20/20	1,097.82	10.04 W	0.00	171 of 293 - Sale <sup>25</sup>
26.862		728.00	10/20/20	738.02	10.02 W	0.00	172 of 293 - Sale <sup>25</sup>
0.138		3.73	10/20/20	3.82	0.09 W	0.00	173 of 293 - Sale <sup>25</sup>
0.862		23.37	10/20/20	23.91	0.54 W	0.00	174 of 293 - Sale <sup>25</sup>
0.862		23.37	10/20/20	23.91	0.54 W	0.00	175 of 293 - Sale <sup>25</sup>
3.138		85.03	10/20/20	85.67	0.64 W	0.00	176 of 293 - Sale <sup>25</sup>
3.862		104.67	10/20/20	107.19	2.52 W	0.00	177 of 293 - Sale <sup>25</sup>
6.000		162.61	10/20/20	166.89	4.28 W	0.00	178 of 293 - Sale <sup>25</sup>
12.000		325.21	10/20/20	334.54	9.33 W	0.00	179 of 293 - Sale <sup>25</sup>
29.862		809.30	10/20/20	815.43	6.13 W	0.00	180 of 293 - Sale <sup>25</sup>
34.275		928.90	10/20/20	949.41	20.51 W	0.00	181 of 293 - Sale <sup>25</sup>
1.449		39.28	10/20/20	40.33	1.05 W	0.00	182 of 293 - Sale <sup>25</sup>
3.000		81.30	10/20/20	82.59	1.29 W	0.00	183 of 293 - Sale <sup>25</sup>
5.000		135.51	10/20/20	138.78	3.27 W	0.00	184 of 293 - Sale <sup>25</sup>
6.862		185.98	10/20/20	188.93	2.95 W	0.00	185 of 293 - Sale <sup>25</sup>
7.138		193.44	10/20/20	195.58	2.14 W	0.00	186 of 293 - Sale <sup>25</sup>
9.000		243.91	10/20/20	246.62	2.71 W	0.00	187 of 293 - Sale <sup>25</sup>
10.000		271.01	10/20/20	277.55	6.54 W	0.00	188 of 293 - Sale <sup>25</sup>
10.413		282.21	10/20/20	285.33	3.12 W	0.00	189 of 293 - Sale <sup>25</sup>
1.000		27.10	10/20/20	28.17	1.07 W	0.00	190 of 293 - Sale <sup>25</sup>
1.413		38.30	10/20/20	39.53	1.23 W	0.00	191 of 293 - Sale <sup>25</sup>
17.587		476.63	10/20/20	495.14	18.51 W	0.00	192 of 293 - Sale <sup>25</sup>
29.275		793.40	10/20/20	824.52	31.12 W	0.00	193 of 293 - Sale <sup>25</sup>
39.138		1,060.67	10/20/20	1,101.89	41.22 W	0.00	194 of 293 - Sale <sup>25</sup>
44.449		1,204.63	10/20/20	1,233.70	29.07 W	0.00	195 of 293 - Sale <sup>25</sup>
65.862		1,784.94	10/20/20	1,854.32	69.38 W	0.00	196 of 293 - Sale <sup>25</sup>
1.275		34.56	10/20/20	36.33	1.77 W	0.00	197 of 293 - Sale <sup>25</sup>
4.138		112.52	10/20/20	124.19	11.67 W	0.00	198 of 293 - Sale <sup>25</sup>
7.000		190.36	10/20/20	210.17	19.81 W	0.00	199 of 293 - Sale <sup>25</sup>
21.000		571.08	10/20/20	637.77	66.69 W	0.00	200 of 293 - Sale <sup>25</sup>
1.000		27.19	10/20/20	30.48	3.29 W	0.00	201 of 293 - Sale <sup>25</sup>
2.587		70.35	10/20/20	83.97	13.62 W	0.00	202 of 293 - Sale <sup>25</sup>
4.138		112.52	10/20/20	126.07	13.55 W	0.00	203 of 293 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	7.413	201.59	10/20/20	240.63	39.04 W	0.00	204 of 293 - Sale <sup>25</sup>
	9.138	248.49	10/20/20	296.63	48.14 W	0.00	205 of 293 - Sale <sup>25</sup>
	25.725	699.56	10/20/20	830.24	130.68 W	0.00	206 of 293 - Sale <sup>25</sup>
	0.862	23.45	10/20/20	27.60	4.15 W	0.00	207 of 293 - Sale <sup>25</sup>
	1.138	30.94	10/20/20	36.29	5.35 W	0.00	208 of 293 - Sale <sup>25</sup>
	2.000	54.39	10/20/20	63.78	9.39 W	0.00	209 of 293 - Sale <sup>25</sup>
	5.551	150.95	10/20/20	176.99	26.04 W	0.00	210 of 293 - Sale <sup>25</sup>
	15.862	431.36	10/20/20	505.81	74.45 W	0.00	211 of 293 - Sale <sup>25</sup>
	4.862	132.23	10/20/20	159.03	26.80 W	0.00	212 of 293 - Sale <sup>25</sup>
	0.725	19.71	10/20/20	24.49	4.78 W	0.00	213 of 293 - Sale <sup>25</sup>
	1.000	27.19	10/20/20	33.83	6.64 W	0.00	214 of 293 - Sale <sup>25</sup>
	4.862	132.23	10/20/20	161.93	29.70 W	0.00	215 of 293 - Sale <sup>25</sup>
	6.275	170.65	10/20/20	212.04	41.39 W	0.00	216 of 293 - Sale <sup>25</sup>
	6.587	179.13	10/20/20	219.37	40.24 W	0.00	217 of 293 - Sale <sup>25</sup>
	8.275	225.04	10/20/20	276.86	51.82 W	0.00	218 of 293 - Sale <sup>25</sup>
	2.413	65.62	10/20/20	77.48	11.86 W	0.00	219 of 293 - Sale <sup>25</sup>
	1.000	27.19	10/20/20	28.60	1.41 W	0.00	220 of 293 - Sale <sup>25</sup>
	14.000	380.72	10/20/20	402.87	22.15 W	0.00	221 of 293 - Sale <sup>25</sup>
	15.862	431.36	10/20/20	454.87	23.51 W	0.00	222 of 293 - Sale <sup>25</sup>
	1.138	30.94	10/20/20	31.71	0.77 W	0.00	223 of 293 - Sale <sup>25</sup>
	1.174	31.92	10/20/20	36.40	4.48 W	0.00	224 of 293 - Sale <sup>25</sup>
	4.000	108.78	10/20/20	116.94	8.16 W	0.00	225 of 293 - Sale <sup>25</sup>
	4.000	108.78	10/20/20	116.86	8.08 W	0.00	226 of 293 - Sale <sup>25</sup>
	4.725	128.48	10/20/20	130.97	2.49 W	0.00	227 of 293 - Sale <sup>25</sup>
	5.964	162.18	10/20/20	185.00	22.82 W	0.00	228 of 293 - Sale <sup>25</sup>
	8.138	221.30	10/20/20	252.50	31.20 W	0.00	229 of 293 - Sale <sup>25</sup>
	9.000	244.75	10/20/20	249.69	4.94 W	0.00	230 of 293 - Sale <sup>25</sup>
	10.000	271.94	10/20/20	310.10	38.16 W	0.00	231 of 293 - Sale <sup>25</sup>
	35.138	955.55	10/20/20	975.05	19.50 W	0.00	232 of 293 - Sale <sup>25</sup>
	39.000	1,060.58	10/20/20	1,087.70	27.12 W	0.00	233 of 293 - Sale <sup>25</sup>
	1.000	27.19	10/20/20	29.84	2.65 W	0.00	234 of 293 - Sale <sup>25</sup>
	4.725	128.48	10/20/20	140.59	12.11 W	0.00	235 of 293 - Sale <sup>25</sup>
	16.587	451.07	10/20/20	474.40	23.33 W	0.00	236 of 293 - Sale <sup>25</sup>
	17.000	462.30	10/20/20	479.86	17.56 W	0.00	237 of 293 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
17.138		466.05	10/20/20	509.96	43.91 W	0.00	238 of 293 - Sale <sup>25</sup>
22.000		598.28	10/20/20	660.36	62.08 W	0.00	239 of 293 - Sale <sup>25</sup>
24.413		663.90	10/20/20	698.25	34.35 W	0.00	240 of 293 - Sale <sup>25</sup>
38.862		1,056.83	10/20/20	1,096.95	40.12 W	0.00	241 of 293 - Sale <sup>25</sup>
1.449		39.41	10/20/20	41.27	1.86 W	0.00	242 of 293 - Sale <sup>25</sup>
2.000		54.39	10/20/20	56.05	1.66 W	0.00	243 of 293 - Sale <sup>25</sup>
2.862		77.84	10/20/20	81.62	3.78 W	0.00	244 of 293 - Sale <sup>25</sup>
8.000		217.55	10/20/20	224.19	6.64 W	0.00	245 of 293 - Sale <sup>25</sup>
8.862		241.01	10/20/20	248.34	7.33 W	0.00	246 of 293 - Sale <sup>25</sup>
9.413		255.98	10/20/20	267.98	12.00 W	0.00	247 of 293 - Sale <sup>25</sup>
10.862		295.39	10/20/20	304.39	9.00 W	0.00	248 of 293 - Sale <sup>25</sup>
33.000		897.41	10/20/20	924.77	27.36 W	0.00	249 of 293 - Sale <sup>25</sup>
6.138		166.91	10/20/20	171.20	4.29 W	0.00	250 of 293 - Sale <sup>25</sup>
0.688		18.72	10/20/20	21.43	2.71 W	0.00	251 of 293 - Sale <sup>25</sup>
1.138		30.94	10/20/20	35.37	4.43 W	0.00	252 of 293 - Sale <sup>25</sup>
5.138		139.72	10/20/20	159.98	20.26 W	0.00	253 of 293 - Sale <sup>25</sup>
10.036		272.93	10/20/20	312.01	39.08 W	0.00	254 of 293 - Sale <sup>25</sup>
43.899		1,193.79	10/20/20	1,366.94	173.15 W	0.00	255 of 293 - Sale <sup>25</sup>
1.138		30.94	10/20/20	34.72	3.78 W	0.00	256 of 293 - Sale <sup>25</sup>
18.862		512.95	10/20/20	580.31	67.36 W	0.00	257 of 293 - Sale <sup>25</sup>
19.101		519.45	10/20/20	573.49	54.04 W	0.00	258 of 293 - Sale <sup>25</sup>
3.000		81.58	10/20/20	89.45	7.87 W	0.00	259 of 293 - Sale <sup>25</sup>
3.449		93.80	10/20/20	104.42	10.62 W	0.00	260 of 293 - Sale <sup>25</sup>
4.862		132.23	10/20/20	144.98	12.75 W	0.00	261 of 293 - Sale <sup>25</sup>
4.862		132.23	10/20/20	147.21	14.98 W	0.00	262 of 293 - Sale <sup>25</sup>
5.899		160.41	10/20/20	175.88	15.47 W	0.00	263 of 293 - Sale <sup>25</sup>
8.174		222.28	10/20/20	243.72	21.44 W	0.00	264 of 293 - Sale <sup>25</sup>
11.239		305.64	10/20/20	335.13	29.49 W	0.00	265 of 293 - Sale <sup>25</sup>
34.036		925.59	10/20/20	1,022.73	97.14 W	0.00	266 of 293 - Sale <sup>25</sup>
38.688		1,052.10	10/20/20	1,153.60	101.50 W	0.00	267 of 293 - Sale <sup>25</sup>
38.688		1,052.11	10/20/20	1,171.31	119.20 W	0.00	268 of 293 - Sale <sup>25</sup>
2.862		77.84	10/20/20	85.72	7.88 W	0.00	269 of 293 - Sale <sup>25</sup>
4.239		115.28	10/20/20	124.35	9.07 W	0.00	270 of 293 - Sale <sup>25</sup>
0.242		6.72	10/20/20	7.50	0.78 W	0.00	271 of 293 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.379	10.56	10/20/20	11.76	1.20 W	0.00	272 of 293 - Sale <sup>25</sup>
	0.138	3.83	10/20/20	4.35	0.52 W	0.00	273 of 293 - Sale <sup>25</sup>
	0.379	10.56	10/20/20	11.97	1.41 W	0.00	274 of 293 - Sale <sup>25</sup>
	3.000	83.52	10/20/20	88.98	5.46 W	0.00	275 of 293 - Sale <sup>25</sup>
	4.725	131.53	10/20/20	139.54	8.01 W	0.00	276 of 293 - Sale <sup>25</sup>
	12.312	342.75	10/20/20	363.51	20.76 W	0.00	277 of 293 - Sale <sup>25</sup>
	0.725	20.17	10/20/20	22.22	2.05 W	0.00	278 of 293 - Sale <sup>25</sup>
	1.000	27.84	10/20/20	30.53	2.69 W	0.00	279 of 293 - Sale <sup>25</sup>
	1.000	27.84	10/20/20	30.50	2.66 W	0.00	280 of 293 - Sale <sup>25</sup>
	3.000	83.52	10/20/20	91.42	7.90 W	0.00	281 of 293 - Sale <sup>25</sup>
	3.275	91.18	10/20/20	100.39	9.21 W	0.00	282 of 293 - Sale <sup>25</sup>
	7.000	194.88	10/20/20	213.12	18.24 W	0.00	283 of 293 - Sale <sup>25</sup>
	7.551	210.21	10/20/20	231.90	21.69 W	0.00	284 of 293 - Sale <sup>25</sup>
	16.449	457.94	10/20/20	494.61	36.67 W	0.00	285 of 293 - Sale <sup>25</sup>
	23.826	663.31	10/20/20	731.39	68.08 W	0.00	286 of 293 - Sale <sup>25</sup>
	0.138	3.83	10/20/20	4.25	0.42 W	0.00	287 of 293 - Sale <sup>25</sup>
	3.587	99.86	10/20/20	110.47	10.61 W	0.00	288 of 293 - Sale <sup>25</sup>
	3.000	83.52	10/20/20	91.82	8.30 W	0.00	289 of 293 - Sale <sup>25</sup>
	4.725	131.53	10/20/20	144.60	13.07 W	0.00	290 of 293 - Sale <sup>25</sup>
	9.449	263.06	10/20/20	289.21	26.15 W	0.00	291 of 293 - Sale <sup>25</sup>
	10.000	278.39	10/20/20	306.08	27.69 W	0.00	292 of 293 - Sale <sup>25</sup>
	9.101	253.39	10/20/20	278.57	25.18 W	0.00	293 of 293 - Sale <sup>25</sup>
10/20/20	2,603.862	71,028.81	Various	76,683.26	5,654.45 W	0.00	Total of 293 transactions
	3 transactions for 10/21/20. Total proceeds and cost reported to the IRS.						
	4.000	112.17	10/20/20	122.43	10.26 W	0.00	1 of 3 - Sale <sup>25</sup>
	14.036	394.31	10/20/20	429.61	35.30 W	0.00	2 of 3 - Sale <sup>25</sup>
	8.964	251.81	10/20/20	273.31	21.50 W	0.00	3 of 3 - Sale <sup>25</sup>
10/21/20	27.000	758.29	Various	825.35	67.06 W	0.00	Total of 3 transactions
	134 transactions for 10/22/20. Total proceeds and cost reported to the IRS.						
	1.000	27.02	10/20/20	30.41	3.39 W	0.00	1 of 134 - Sale <sup>25</sup>
	18.174	491.14	10/20/20	554.13	62.99 W	0.00	2 of 134 - Sale <sup>25</sup>
	2.101	56.79	10/20/20	63.26	6.47 W	0.00	3 of 134 - Sale <sup>25</sup>
	11.725	316.85	10/20/20	352.94	36.09 W	0.00	4 of 134 - Sale <sup>25</sup>
	1.661	44.88	10/20/20	50.39	5.51 W	0.00	5 of 134 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	4.477	120.98	10/20/20	135.22	14.24 W	0.00	6 of 134 - Sale <sup>25</sup>
	3.826	103.40	10/20/20	108.92	5.52 W	0.00	7 of 134 - Sale <sup>25</sup>
	6.000	162.15	10/20/20	170.80	8.65 W	0.00	8 of 134 - Sale <sup>25</sup>
	30.312	819.15	10/20/20	862.88	43.73 W	0.00	9 of 134 - Sale <sup>25</sup>
	0.138	3.72	10/20/20	4.10	0.38 W	0.00	10 of 134 - Sale <sup>25</sup>
	0.899	24.28	10/20/20	27.05	2.77 W	0.00	11 of 134 - Sale <sup>25</sup>
	2.688	72.65	10/20/20	80.93	8.28 W	0.00	12 of 134 - Sale <sup>25</sup>
	0.235	6.36	10/20/20	7.30	0.94 W	0.00	13 of 134 - Sale <sup>25</sup>
	0.373	10.08	10/20/20	11.30	1.22 W	0.00	14 of 134 - Sale <sup>25</sup>
	0.557	15.05	10/20/20	16.59	1.54 W	0.00	15 of 134 - Sale <sup>25</sup>
	0.581	15.69	10/20/20	17.77	2.08 W	0.00	16 of 134 - Sale <sup>25</sup>
	0.765	20.66	10/20/20	23.28	2.62 W	0.00	17 of 134 - Sale <sup>25</sup>
	1.138	30.75	10/20/20	34.65	3.90 W	0.00	18 of 134 - Sale <sup>25</sup>
	1.138	30.75	10/20/20	35.31	4.56 W	0.00	19 of 134 - Sale <sup>25</sup>
	1.144	30.91	10/20/20	35.51	4.60 W	0.00	20 of 134 - Sale <sup>25</sup>
	1.242	33.55	10/20/20	38.12	4.57 W	0.00	21 of 134 - Sale <sup>25</sup>
	1.242	33.55	10/20/20	38.19	4.64 W	0.00	22 of 134 - Sale <sup>25</sup>
	1.725	46.61	10/20/20	52.24	5.63 W	0.00	23 of 134 - Sale <sup>25</sup>
	0.312	8.42	10/20/20	8.89	0.47 W	0.00	24 of 134 - Sale <sup>25</sup>
	0.449	12.14	10/20/20	12.86	0.72 W	0.00	25 of 134 - Sale <sup>25</sup>
	0.862	23.30	10/20/20	24.54	1.24 W	0.00	26 of 134 - Sale <sup>25</sup>
	2.551	68.93	10/20/20	72.72	3.79 W	0.00	27 of 134 - Sale <sup>25</sup>
	4.826	130.42	10/20/20	139.28	8.86 W	0.00	28 of 134 - Sale <sup>25</sup>
	5.000	135.12	10/20/20	143.11	7.99 W	0.00	29 of 134 - Sale <sup>25</sup>
	7.725	208.75	10/20/20	222.93	14.18 W	0.00	30 of 134 - Sale <sup>25</sup>
	15.275	412.81	10/20/20	437.25	24.44 W	0.00	31 of 134 - Sale <sup>25</sup>
	4.449	120.24	10/20/20	132.37	12.13 W	0.00	32 of 134 - Sale <sup>25</sup>
	9.413	254.38	10/20/20	281.24	26.86 W	0.00	33 of 134 - Sale <sup>25</sup>
	14.275	385.78	10/20/20	426.16	40.38 W	0.00	34 of 134 - Sale <sup>25</sup>
	24.725	668.17	10/20/20	735.62	67.45 W	0.00	35 of 134 - Sale <sup>25</sup>
	1.000	27.02	10/20/20	28.82	1.80 W	0.00	36 of 134 - Sale <sup>25</sup>
	1.275	34.47	10/20/20	36.26	1.79 W	0.00	37 of 134 - Sale <sup>25</sup>
	1.725	46.61	10/20/20	49.13	2.52 W	0.00	38 of 134 - Sale <sup>25</sup>
	2.000	54.05	10/20/20	56.90	2.85 W	0.00	39 of 134 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
4.000		108.10	10/20/20	114.10	6.00 W	0.00	40 of 134 - Sale <sup>25</sup>
4.551		122.98	10/20/20	131.75	8.77 W	0.00	41 of 134 - Sale <sup>25</sup>
15.449		417.51	10/20/20	445.56	28.05 W	0.00	42 of 134 - Sale <sup>25</sup>
0.242		6.53	10/20/20	7.22	0.69 W	0.00	43 of 134 - Sale <sup>25</sup>
0.275		7.44	10/20/20	8.31	0.87 W	0.00	44 of 134 - Sale <sup>25</sup>
0.282		7.61	10/20/20	8.40	0.79 W	0.00	45 of 134 - Sale <sup>25</sup>
0.379		10.25	10/20/20	11.35	1.10 W	0.00	46 of 134 - Sale <sup>25</sup>
0.581		15.69	10/20/20	17.32	1.63 W	0.00	47 of 134 - Sale <sup>25</sup>
0.621		16.78	10/20/20	18.82	2.04 W	0.00	48 of 134 - Sale <sup>25</sup>
0.621		16.78	10/20/20	18.25	1.47 W	0.00	49 of 134 - Sale <sup>25</sup>
0.621		16.78	10/20/20	19.67	2.89 W	0.00	50 of 134 - Sale <sup>25</sup>
0.685		18.50	10/20/20	20.43	1.93 W	0.00	51 of 134 - Sale <sup>25</sup>
0.828		22.39	10/20/20	24.36	1.97 W	0.00	52 of 134 - Sale <sup>25</sup>
0.896		24.22	10/20/20	27.01	2.79 W	0.00	53 of 134 - Sale <sup>25</sup>
0.896		24.22	10/20/20	26.25	2.03 W	0.00	54 of 134 - Sale <sup>25</sup>
0.930		25.13	10/20/20	28.93	3.80 W	0.00	55 of 134 - Sale <sup>25</sup>
1.413		38.19	10/20/20	41.54	3.35 W	0.00	56 of 134 - Sale <sup>25</sup>
1.517		40.99	10/20/20	46.93	5.94 W	0.00	57 of 134 - Sale <sup>25</sup>
1.621		43.80	10/20/20	47.64	3.84 W	0.00	58 of 134 - Sale <sup>25</sup>
1.758		47.52	10/20/20	51.66	4.14 W	0.00	59 of 134 - Sale <sup>25</sup>
1.862		50.33	10/20/20	56.55	6.22 W	0.00	60 of 134 - Sale <sup>25</sup>
1.862		50.33	10/20/20	54.62	4.29 W	0.00	61 of 134 - Sale <sup>25</sup>
1.902		51.41	10/20/20	56.70	5.29 W	0.00	62 of 134 - Sale <sup>25</sup>
2.104		56.86	10/20/20	61.65	4.79 W	0.00	63 of 134 - Sale <sup>25</sup>
2.483		67.10	10/20/20	76.87	9.77 W	0.00	64 of 134 - Sale <sup>25</sup>
2.517		68.02	10/20/20	76.88	8.86 W	0.00	65 of 134 - Sale <sup>25</sup>
2.862		77.35	10/20/20	88.59	11.24 W	0.00	66 of 134 - Sale <sup>25</sup>
2.896		78.27	10/20/20	87.47	9.20 W	0.00	67 of 134 - Sale <sup>25</sup>
3.242		87.60	10/20/20	95.30	7.70 W	0.00	68 of 134 - Sale <sup>25</sup>
3.758		101.57	10/20/20	117.19	15.62 W	0.00	69 of 134 - Sale <sup>25</sup>
4.070		109.99	10/20/20	125.30	15.31 W	0.00	70 of 134 - Sale <sup>25</sup>
5.104		137.93	10/20/20	154.96	17.03 W	0.00	71 of 134 - Sale <sup>25</sup>
6.862		185.45	10/20/20	206.40	20.95 W	0.00	72 of 134 - Sale <sup>25</sup>
7.758		209.67	10/20/20	226.71	17.04 W	0.00	73 of 134 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.413	11.16	10/20/20	11.68	0.52 W	0.00	74 of 134 - Sale <sup>25</sup>
	3.725	100.66	10/20/20	105.15	4.49 W	0.00	75 of 134 - Sale <sup>25</sup>
	7.000	189.17	10/20/20	194.48	5.31 W	0.00	76 of 134 - Sale <sup>25</sup>
	0.862	23.30	10/20/20	25.80	2.50 W	0.00	77 of 134 - Sale <sup>25</sup>
	1.413	38.19	10/20/20	42.81	4.62 W	0.00	78 of 134 - Sale <sup>25</sup>
	4.000	108.10	10/20/20	119.51	11.41 W	0.00	79 of 134 - Sale <sup>25</sup>
	10.000	270.24	10/20/20	303.01	32.77 W	0.00	80 of 134 - Sale <sup>25</sup>
	28.000	756.68	10/20/20	781.37	24.69 W	0.00	81 of 134 - Sale <sup>25</sup>
	40.138	1,084.69	10/20/20	1,115.83	31.14 W	0.00	82 of 134 - Sale <sup>25</sup>
	26.862	725.93	10/20/20	750.08	24.15 W	0.00	83 of 134 - Sale <sup>25</sup>
	0.138	3.72	10/20/20	3.88	0.16 W	0.00	84 of 134 - Sale <sup>25</sup>
	0.862	23.30	10/20/20	24.30	1.00 W	0.00	85 of 134 - Sale <sup>25</sup>
	0.862	23.30	10/20/20	24.30	1.00 W	0.00	86 of 134 - Sale <sup>25</sup>
	1.000	27.02	10/20/20	28.03	1.01 W	0.00	87 of 134 - Sale <sup>25</sup>
	2.413	65.21	10/20/20	68.20	2.99 W	0.00	88 of 134 - Sale <sup>25</sup>
	3.862	104.38	10/20/20	108.92	4.54 W	0.00	89 of 134 - Sale <sup>25</sup>
	12.000	324.29	10/20/20	339.93	15.64 W	0.00	90 of 134 - Sale <sup>25</sup>
	19.275	520.90	10/20/20	544.08	23.18 W	0.00	91 of 134 - Sale <sup>25</sup>
	3.551	95.96	10/20/20	101.77	5.81 W	0.00	92 of 134 - Sale <sup>25</sup>
	8.449	228.33	10/20/20	245.23	16.90 W	0.00	93 of 134 - Sale <sup>25</sup>
	11.725	316.85	10/20/20	336.06	19.21 W	0.00	94 of 134 - Sale <sup>25</sup>
	1.413	38.19	10/20/20	39.85	1.66 W	0.00	95 of 134 - Sale <sup>25</sup>
	3.587	96.93	10/20/20	101.56	4.63 W	0.00	96 of 134 - Sale <sup>25</sup>
	2.275	61.49	10/20/20	63.26	1.77 W	0.00	97 of 134 - Sale <sup>25</sup>
	29.862	807.01	10/20/20	830.33	23.32 W	0.00	98 of 134 - Sale <sup>25</sup>
	32.862	888.08	10/20/20	926.66	38.58 W	0.00	99 of 134 - Sale <sup>25</sup>
	41.275	1,115.43	10/20/20	1,166.19	50.76 W	0.00	100 of 134 - Sale <sup>25</sup>
	0.862	23.30	10/20/20	23.98	0.68 W	0.00	101 of 134 - Sale <sup>25</sup>
	1.449	39.17	10/20/20	41.05	1.88 W	0.00	102 of 134 - Sale <sup>25</sup>
	3.000	81.07	10/20/20	84.09	3.02 W	0.00	103 of 134 - Sale <sup>25</sup>
	5.000	135.12	10/20/20	141.27	6.15 W	0.00	104 of 134 - Sale <sup>25</sup>
	6.862	185.45	10/20/20	192.35	6.90 W	0.00	105 of 134 - Sale <sup>25</sup>
	7.138	192.89	10/20/20	199.14	6.25 W	0.00	106 of 134 - Sale <sup>25</sup>
	9.000	243.22	10/20/20	251.11	7.89 W	0.00	107 of 134 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	10.000	270.24	10/20/20	282.54	12.30 W	0.00	108 of 134 - Sale <sup>25</sup>
	10.413	281.40	10/20/20	290.52	9.12 W	0.00	109 of 134 - Sale <sup>25</sup>
	1.413	38.19	10/20/20	41.15	2.96 W	0.00	110 of 134 - Sale <sup>25</sup>
	3.174	85.77	10/20/20	91.74	5.97 W	0.00	111 of 134 - Sale <sup>25</sup>
	17.587	475.27	10/20/20	515.34	40.07 W	0.00	112 of 134 - Sale <sup>25</sup>
	27.826	751.98	10/20/20	815.40	63.42 W	0.00	113 of 134 - Sale <sup>25</sup>
	1.000	27.02	10/20/20	29.30	2.28 W	0.00	114 of 134 - Sale <sup>25</sup>
	5.964	161.17	10/20/20	174.64	13.47 W	0.00	115 of 134 - Sale <sup>25</sup>
	38.036	1,027.91	10/20/20	1,113.83	85.92 W	0.00	116 of 134 - Sale <sup>25</sup>
	4.000	108.09	10/21/20	116.81	8.72 W	0.00	117 of 134 - Sale <sup>25</sup>
	11.000	300.76	10/22/20	311.34	10.58 W	0.00	118 of 134 - Sale <sup>25</sup>
	4.138	113.13	10/22/20	124.42	11.29 W	0.00	119 of 134 - Sale <sup>25</sup>
	7.000	191.39	10/22/20	210.56	19.17 W	0.00	120 of 134 - Sale <sup>25</sup>
	21.000	574.18	10/22/20	638.94	64.76 W	0.00	121 of 134 - Sale <sup>25</sup>
	1.000	27.34	10/22/20	30.54	3.20 W	0.00	122 of 134 - Sale <sup>25</sup>
	2.587	70.73	10/22/20	84.11	13.38 W	0.00	123 of 134 - Sale <sup>25</sup>
	4.138	113.13	10/22/20	126.30	13.17 W	0.00	124 of 134 - Sale <sup>25</sup>
	7.413	202.69	10/22/20	241.05	38.36 W	0.00	125 of 134 - Sale <sup>25</sup>
	9.138	249.84	10/22/20	297.14	47.30 W	0.00	126 of 134 - Sale <sup>25</sup>
	25.725	703.36	10/22/20	831.68	128.32 W	0.00	127 of 134 - Sale <sup>25</sup>
	0.862	23.58	10/22/20	27.65	4.07 W	0.00	128 of 134 - Sale <sup>25</sup>
	1.138	31.11	10/22/20	36.35	5.24 W	0.00	129 of 134 - Sale <sup>25</sup>
	2.000	54.68	10/22/20	63.89	9.21 W	0.00	130 of 134 - Sale <sup>25</sup>
	5.551	151.77	10/22/20	177.30	25.53 W	0.00	131 of 134 - Sale <sup>25</sup>
	15.862	433.71	10/22/20	506.70	72.99 W	0.00	132 of 134 - Sale <sup>25</sup>
	4.862	132.94	10/22/20	159.30	26.36 W	0.00	133 of 134 - Sale <sup>25</sup>
	1.587	43.40	10/22/20	52.94	9.54 W	0.00	134 of 134 - Sale <sup>25</sup>
10/22/20	852.000	23,064.38	Various	24,886.80	1,822.42 W	0.00	Total of 134 transactions
	58 transactions for 10/26/20. Total proceeds and cost reported to the IRS.						
	0.725	19.08	10/22/20	24.53	5.45 W	0.00	1 of 58 - Sale <sup>25</sup>
	1.000	26.33	10/22/20	33.89	7.56 W	0.00	2 of 58 - Sale <sup>25</sup>
	3.275	86.24	10/22/20	109.26	23.02 W	0.00	3 of 58 - Sale <sup>25</sup>
	6.275	165.24	10/22/20	212.39	47.15 W	0.00	4 of 58 - Sale <sup>25</sup>
	6.587	173.44	10/22/20	219.73	46.29 W	0.00	5 of 58 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	8.275	217.90	10/22/20	277.32	59.42 W	0.00	6 of 58 - Sale <sup>25</sup>
	2.413	63.54	10/22/20	77.62	14.08 W	0.00	7 of 58 - Sale <sup>25</sup>
	1.000	26.33	10/22/20	28.66	2.33 W	0.00	8 of 58 - Sale <sup>25</sup>
	14.000	368.63	10/22/20	403.65	35.02 W	0.00	9 of 58 - Sale <sup>25</sup>
	15.862	417.67	10/22/20	455.76	38.09 W	0.00	10 of 58 - Sale <sup>25</sup>
	18.174	478.53	10/22/20	514.38	35.85 W	0.00	11 of 58 - Sale <sup>25</sup>
	29.275	770.85	10/22/20	828.87	58.02 W	0.00	12 of 58 - Sale <sup>25</sup>
	1.138	29.96	10/22/20	31.77	1.81 W	0.00	13 of 58 - Sale <sup>25</sup>
	1.174	30.91	10/22/20	36.47	5.56 W	0.00	14 of 58 - Sale <sup>25</sup>
	4.000	105.32	10/22/20	117.16	11.84 W	0.00	15 of 58 - Sale <sup>25</sup>
	4.000	105.32	10/22/20	117.08	11.76 W	0.00	16 of 58 - Sale <sup>25</sup>
	4.688	123.45	10/22/20	145.65	22.20 W	0.00	17 of 58 - Sale <sup>25</sup>
	4.725	124.40	10/22/20	131.24	6.84 W	0.00	18 of 58 - Sale <sup>25</sup>
	9.000	236.98	10/22/20	250.19	13.21 W	0.00	19 of 58 - Sale <sup>25</sup>
	35.138	925.21	10/22/20	977.00	51.79 W	0.00	20 of 58 - Sale <sup>25</sup>
	39.000	1,026.91	10/22/20	1,089.87	62.96 W	0.00	21 of 58 - Sale <sup>25</sup>
	1.275	33.58	10/22/20	36.52	2.94 W	0.00	22 of 58 - Sale <sup>25</sup>
	5.312	139.86	10/22/20	165.01	25.15 W	0.00	23 of 58 - Sale <sup>25</sup>
	5.964	157.03	10/22/20	185.33	28.30 W	0.00	24 of 58 - Sale <sup>25</sup>
	8.138	214.27	10/22/20	252.95	38.68 W	0.00	25 of 58 - Sale <sup>25</sup>
	11.587	305.09	10/22/20	327.70	22.61 W	0.00	26 of 58 - Sale <sup>25</sup>
	16.587	436.75	10/22/20	475.33	38.58 W	0.00	27 of 58 - Sale <sup>25</sup>
	17.000	447.63	10/22/20	480.81	33.18 W	0.00	28 of 58 - Sale <sup>25</sup>
	24.413	642.82	10/22/20	699.61	56.79 W	0.00	29 of 58 - Sale <sup>25</sup>
	1.000	26.33	10/22/20	29.90	3.57 W	0.00	30 of 58 - Sale <sup>25</sup>
	4.725	124.40	10/22/20	140.86	16.46 W	0.00	31 of 58 - Sale <sup>25</sup>
	17.138	451.25	10/22/20	510.91	59.66 W	0.00	32 of 58 - Sale <sup>25</sup>
	22.000	579.28	10/22/20	661.58	82.30 W	0.00	33 of 58 - Sale <sup>25</sup>
	27.275	718.18	10/22/20	771.41	53.23 W	0.00	34 of 58 - Sale <sup>25</sup>
	1.449	38.16	10/22/20	41.35	3.19 W	0.00	35 of 58 - Sale <sup>25</sup>
	2.862	75.37	10/22/20	81.78	6.41 W	0.00	36 of 58 - Sale <sup>25</sup>
	9.413	247.85	10/22/20	268.51	20.66 W	0.00	37 of 58 - Sale <sup>25</sup>
	14.138	372.26	10/22/20	396.97	24.71 W	0.00	38 of 58 - Sale <sup>25</sup>
	4.000	105.32	10/22/20	112.32	7.00 W	0.00	39 of 58 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.688	18.13	10/22/20	21.47	3.34 W	0.00	40 of 58 - Sale <sup>25</sup>
	5.138	135.28	10/22/20	160.26	24.98 W	0.00	41 of 58 - Sale <sup>25</sup>
	6.551	172.49	10/22/20	204.02	31.53 W	0.00	42 of 58 - Sale <sup>25</sup>
	43.899	1,155.89	10/22/20	1,369.38	213.49 W	0.00	43 of 58 - Sale <sup>25</sup>
	2.000	52.66	10/22/20	56.16	3.50 W	0.00	44 of 58 - Sale <sup>25</sup>
	3.862	101.70	10/22/20	108.45	6.75 W	0.00	45 of 58 - Sale <sup>25</sup>
	8.000	210.65	10/22/20	224.64	13.99 W	0.00	46 of 58 - Sale <sup>25</sup>
	8.862	233.35	10/22/20	248.83	15.48 W	0.00	47 of 58 - Sale <sup>25</sup>
	10.862	286.01	10/22/20	305.00	18.99 W	0.00	48 of 58 - Sale <sup>25</sup>
	6.138	161.61	10/22/20	171.54	9.93 W	0.00	49 of 58 - Sale <sup>25</sup>
	1.138	29.96	10/22/20	35.43	5.47 W	0.00	50 of 58 - Sale <sup>25</sup>
	3.485	91.78	10/22/20	108.55	16.77 W	0.00	51 of 58 - Sale <sup>25</sup>
	1.138	29.96	10/22/20	34.78	4.82 W	0.00	52 of 58 - Sale <sup>25</sup>
	18.862	496.66	10/22/20	581.36	84.70 W	0.00	53 of 58 - Sale <sup>25</sup>
	0.688	18.13	10/22/20	20.57	2.44 W	0.00	54 of 58 - Sale <sup>25</sup>
	5.899	155.31	10/22/20	176.20	20.89 W	0.00	55 of 58 - Sale <sup>25</sup>
	19.101	502.96	10/22/20	574.56	71.60 W	0.00	56 of 58 - Sale <sup>25</sup>
	38.688	1,018.70	10/22/20	1,155.76	137.06 W	0.00	57 of 58 - Sale <sup>25</sup>
	11.000	289.64	10/22/20	308.87	19.23 W	0.00	58 of 58 - Sale <sup>25</sup>
10/26/20	600.000	15,798.54	Various	17,587.17	1,788.63 W	0.00	Total of 58 transactions
10/29/20	1.000	30.70	10/27/20	30.51	...	0.19	Sale <sup>25</sup>
	19 transactions for 10/30/20. Total proceeds and cost reported to the IRS.						
	0.379	11.78	10/27/20	11.78	...	0.00	1 of 19 - Sale <sup>25</sup>
	0.101	3.14	10/27/20	3.12	...	0.02	2 of 19 - Sale <sup>25</sup>
	0.725	22.45	10/27/20	22.25	...	0.20	3 of 19 - Sale <sup>25</sup>
	1.000	30.98	10/27/20	30.57	...	0.41	4 of 19 - Sale <sup>25</sup>
	9.862	306.35	10/27/20	305.54	...	0.81	5 of 19 - Sale <sup>25</sup>
	2.862	88.66	10/27/20	87.74	...	0.92	6 of 19 - Sale <sup>25</sup>
	3.275	101.45	10/27/20	100.53	...	0.92	7 of 19 - Sale <sup>25</sup>
	3.000	93.19	10/27/20	91.54	...	1.65	8 of 19 - Sale <sup>25</sup>
	3.174	98.59	10/27/20	96.84	...	1.75	9 of 19 - Sale <sup>25</sup>
	19.377	601.90	10/27/20	599.51	...	2.39	10 of 19 - Sale <sup>25</sup>
	10.623	329.98	10/27/20	326.27	...	3.71	11 of 19 - Sale <sup>25</sup>
	3.000	92.92	10/27/20	89.10	...	3.82	12 of 19 - Sale <sup>25</sup>

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(continued)

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	4.239	131.30	10/27/20	127.34	...	3.96	13 of 19 - Sale <sup>25</sup>
	2.899	89.78	10/27/20	85.70	...	4.08	14 of 19 - Sale <sup>25</sup>
	8.174	253.90	10/27/20	249.41	...	4.49	15 of 19 - Sale <sup>25</sup>
	11.239	349.12	10/27/20	342.95	...	6.17	16 of 19 - Sale <sup>25</sup>
	4.725	146.34	10/27/20	139.83	...	6.51	17 of 19 - Sale <sup>25</sup>
	23.413	727.27	10/27/20	719.81	...	7.46	18 of 19 - Sale <sup>25</sup>
	9.413	291.56	10/27/20	278.50	...	13.06	19 of 19 - Sale <sup>25</sup>
10/30/20	121.481	3,770.66	Various	3,708.33	...	62.33	Total of 19 transactions
	6 transactions for 10/30/20. Total proceeds and cost reported to the IRS.						
	0.242	7.50	10/27/20	7.52	0.02 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.138	4.28	10/27/20	4.36	0.08 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.379	11.78	10/27/20	11.99	0.21 W	0.00	3 of 6 - Sale <sup>25</sup>
	3.449	106.84	10/27/20	106.85	0.01 W	0.00	4 of 6 - Sale <sup>25</sup>
	4.862	150.61	10/27/20	150.64	0.03 W	0.00	5 of 6 - Sale <sup>25</sup>
	9.449	292.68	10/27/20	292.75	0.07 W	0.00	6 of 6 - Sale <sup>25</sup>
10/30/20	18.519	573.69	Various	574.11	0.42 W	0.00	Total of 6 transactions
11/06/20	5.000	206.95	10/27/20	153.76	...	53.19	Sale <sup>25</sup>
	6 transactions for 11/09/20. Total proceeds and cost reported to the IRS.						
	0.101	4.52	10/27/20	3.12	...	1.40	1 of 6 - Sale <sup>25</sup>
	1.000	44.53	10/27/20	30.54	...	13.99	2 of 6 - Sale <sup>25</sup>
	2.449	109.07	10/27/20	75.32	...	33.75	3 of 6 - Sale <sup>25</sup>
	3.000	133.59	10/27/20	91.54	...	42.05	4 of 6 - Sale <sup>25</sup>
	7.000	311.71	10/27/20	213.40	...	98.31	5 of 6 - Sale <sup>25</sup>
	16.449	732.48	10/27/20	495.28	...	237.20	6 of 6 - Sale <sup>25</sup>
11/09/20	30.000	1,335.90	Various	909.20	...	426.70	Total of 6 transactions
	8 transactions for 11/16/20. Total proceeds and cost reported to the IRS.						
	0.138	6.08	10/27/20	4.25	...	1.83	1 of 8 - Sale <sup>25</sup>
	0.551	24.32	10/27/20	16.86	...	7.46	2 of 8 - Sale <sup>25</sup>
	1.377	61.68	10/27/20	42.15	...	19.53	3 of 8 - Sale <sup>25</sup>
	3.587	158.36	10/27/20	110.51	...	47.85	4 of 8 - Sale <sup>25</sup>
	4.000	176.60	10/27/20	122.95	...	53.65	5 of 8 - Sale <sup>25</sup>
	4.174	186.98	10/27/20	127.79	...	59.19	6 of 8 - Sale <sup>25</sup>
	9.449	423.32	10/27/20	289.31	...	134.01	7 of 8 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
11/16/20	19.725	870.87	10/27/20	605.69	...	265.18	8 of 8 - Sale <sup>25</sup>
	43.000	1,908.21	Various	1,319.51	...	588.70	Total of 8 transactions
	6 transactions for 11/18/20. Total proceeds and cost reported to the IRS.						
	1.661	73.36	10/27/20	51.76	...	21.60	1 of 6 - Sale <sup>25</sup>
	1.623	71.69	10/27/20	49.70	...	21.99	2 of 6 - Sale <sup>25</sup>
	2.862	126.42	10/27/20	89.64	...	36.78	3 of 6 - Sale <sup>25</sup>
	4.477	197.74	10/27/20	138.92	...	58.82	4 of 6 - Sale <sup>25</sup>
	5.000	220.81	10/27/20	156.58	...	64.23	5 of 6 - Sale <sup>25</sup>
	9.377	414.17	10/27/20	287.11	...	127.06	6 of 6 - Sale <sup>25</sup>
11/18/20	25.000	1,104.19	Various	773.71	...	330.48	Total of 6 transactions
	174 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	0.623	34.27	10/27/20	19.09	...	15.18	1 of 174 - Sale <sup>25</sup>
	1.000	55.00	10/27/20	31.24	...	23.76	2 of 174 - Sale <sup>25</sup>
	1.449	79.71	10/27/20	43.84	...	35.87	3 of 174 - Sale <sup>25</sup>
	2.000	110.00	10/27/20	60.49	...	49.51	4 of 174 - Sale <sup>25</sup>
	2.101	115.58	10/27/20	65.00	...	50.58	5 of 174 - Sale <sup>25</sup>
	4.000	219.99	10/27/20	121.66	...	98.33	6 of 174 - Sale <sup>25</sup>
	5.515	303.29	10/27/20	166.81	...	136.48	7 of 174 - Sale <sup>25</sup>
	7.688	422.85	10/27/20	225.21	...	197.64	8 of 174 - Sale <sup>25</sup>
	9.101	500.57	10/27/20	278.66	...	221.91	9 of 174 - Sale <sup>25</sup>
	10.312	567.12	10/27/20	322.91	...	244.21	10 of 174 - Sale <sup>25</sup>
	11.725	644.84	10/27/20	362.62	...	282.22	11 of 174 - Sale <sup>25</sup>
	14.036	771.98	10/27/20	426.21	...	345.77	12 of 174 - Sale <sup>25</sup>
	16.449	904.69	10/27/20	481.84	...	422.85	13 of 174 - Sale <sup>25</sup>
	0.138	7.57	10/28/20	4.08	...	3.49	14 of 174 - Sale <sup>25</sup>
	0.138	7.57	10/28/20	3.86	...	3.71	15 of 174 - Sale <sup>25</sup>
	0.235	12.94	10/28/20	7.27	...	5.67	16 of 174 - Sale <sup>25</sup>
	0.242	13.28	10/28/20	7.18	...	6.10	17 of 174 - Sale <sup>25</sup>
	0.275	15.14	10/28/20	8.27	...	6.87	18 of 174 - Sale <sup>25</sup>
	0.282	15.49	10/28/20	8.36	...	7.13	19 of 174 - Sale <sup>25</sup>
	0.275	15.14	10/28/20	7.75	...	7.39	20 of 174 - Sale <sup>25</sup>
	0.312	17.14	10/28/20	8.85	...	8.29	21 of 174 - Sale <sup>25</sup>
	0.373	20.52	10/28/20	11.25	...	9.27	22 of 174 - Sale <sup>25</sup>
	0.379	20.86	10/28/20	11.29	...	9.57	23 of 174 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.413	22.72	10/28/20	11.61	...	11.11	24 of 174 - Sale <sup>25</sup>
	0.449	24.71	10/28/20	12.80	...	11.91	25 of 174 - Sale <sup>25</sup>
	0.557	30.63	10/28/20	16.52	...	14.11	26 of 174 - Sale <sup>25</sup>
	0.581	31.94	10/28/20	17.70	...	14.24	27 of 174 - Sale <sup>25</sup>
	0.621	34.14	10/28/20	19.56	...	14.58	28 of 174 - Sale <sup>25</sup>
	0.581	31.94	10/28/20	17.24	...	14.70	29 of 174 - Sale <sup>25</sup>
	0.621	34.14	10/28/20	18.73	...	15.41	30 of 174 - Sale <sup>25</sup>
	0.657	36.13	10/28/20	20.22	...	15.91	31 of 174 - Sale <sup>25</sup>
	0.621	34.14	10/28/20	18.16	...	15.98	32 of 174 - Sale <sup>25</sup>
	0.685	37.65	10/28/20	20.33	...	17.32	33 of 174 - Sale <sup>25</sup>
	0.765	42.06	10/28/20	23.19	...	18.87	34 of 174 - Sale <sup>25</sup>
	0.828	45.57	10/28/20	24.24	...	21.33	35 of 174 - Sale <sup>25</sup>
	0.862	47.43	10/28/20	25.65	...	21.78	36 of 174 - Sale <sup>25</sup>
	0.930	51.15	10/28/20	28.77	...	22.38	37 of 174 - Sale <sup>25</sup>
	0.896	49.29	10/28/20	26.88	...	22.41	38 of 174 - Sale <sup>25</sup>
	0.899	49.42	10/28/20	26.94	...	22.48	39 of 174 - Sale <sup>25</sup>
	0.862	47.43	10/28/20	24.43	...	23.00	40 of 174 - Sale <sup>25</sup>
	0.896	49.29	10/28/20	26.12	...	23.17	41 of 174 - Sale <sup>25</sup>
	0.862	47.43	10/28/20	24.20	...	23.23	42 of 174 - Sale <sup>25</sup>
	0.862	47.43	10/28/20	24.20	...	23.23	43 of 174 - Sale <sup>25</sup>
	1.000	55.00	10/28/20	28.99	...	26.01	44 of 174 - Sale <sup>25</sup>
	1.000	55.00	10/28/20	28.68	...	26.32	45 of 174 - Sale <sup>25</sup>
	1.000	55.00	10/28/20	27.91	...	27.09	46 of 174 - Sale <sup>25</sup>
	1.138	62.57	10/28/20	35.16	...	27.41	47 of 174 - Sale <sup>25</sup>
	1.144	62.91	10/28/20	35.37	...	27.54	48 of 174 - Sale <sup>25</sup>
	1.138	62.57	10/28/20	34.50	...	28.07	49 of 174 - Sale <sup>25</sup>
	1.242	68.28	10/28/20	38.04	...	30.24	50 of 174 - Sale <sup>25</sup>
	1.242	68.28	10/28/20	37.96	...	30.32	51 of 174 - Sale <sup>25</sup>
	1.275	70.14	10/28/20	36.07	...	34.07	52 of 174 - Sale <sup>25</sup>
	1.413	77.72	10/28/20	42.56	...	35.16	53 of 174 - Sale <sup>25</sup>
	1.413	77.72	10/28/20	41.33	...	36.39	54 of 174 - Sale <sup>25</sup>
	1.517	83.43	10/28/20	46.67	...	36.76	55 of 174 - Sale <sup>25</sup>
	1.413	77.72	10/28/20	39.67	...	38.05	56 of 174 - Sale <sup>25</sup>
	1.621	89.14	10/28/20	47.41	...	41.73	57 of 174 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.725	94.85	10/28/20	52.02	...	42.83	58 of 174 - Sale <sup>25</sup>
	1.826	100.43	10/28/20	56.27	...	44.16	59 of 174 - Sale <sup>25</sup>
	1.758	96.71	10/28/20	51.41	...	45.30	60 of 174 - Sale <sup>25</sup>
	1.725	94.85	10/28/20	48.88	...	45.97	61 of 174 - Sale <sup>25</sup>
	1.862	102.42	10/28/20	56.28	...	46.14	62 of 174 - Sale <sup>25</sup>
	1.862	102.42	10/28/20	54.35	...	48.07	63 of 174 - Sale <sup>25</sup>
	1.902	104.63	10/28/20	56.43	...	48.20	64 of 174 - Sale <sup>25</sup>
	2.000	110.00	10/28/20	56.61	...	53.39	65 of 174 - Sale <sup>25</sup>
	2.104	115.71	10/28/20	61.34	...	54.37	66 of 174 - Sale <sup>25</sup>
	2.517	138.43	10/28/20	76.44	...	61.99	67 of 174 - Sale <sup>25</sup>
	2.275	125.14	10/28/20	62.97	...	62.17	68 of 174 - Sale <sup>25</sup>
	2.413	132.71	10/28/20	67.90	...	64.81	69 of 174 - Sale <sup>25</sup>
	2.688	147.86	10/28/20	80.59	...	67.27	70 of 174 - Sale <sup>25</sup>
	2.551	140.29	10/28/20	72.40	...	67.89	71 of 174 - Sale <sup>25</sup>
	2.862	157.42	10/28/20	88.09	...	69.33	72 of 174 - Sale <sup>25</sup>
	2.896	159.28	10/28/20	87.05	...	72.23	73 of 174 - Sale <sup>25</sup>
	3.242	178.28	10/28/20	94.83	...	83.45	74 of 174 - Sale <sup>25</sup>
	3.758	206.71	10/28/20	116.65	...	90.06	75 of 174 - Sale <sup>25</sup>
	3.551	195.29	10/28/20	101.32	...	93.97	76 of 174 - Sale <sup>25</sup>
	3.587	197.28	10/28/20	101.12	...	96.16	77 of 174 - Sale <sup>25</sup>
	4.070	223.85	10/28/20	124.59	...	99.26	78 of 174 - Sale <sup>25</sup>
	3.964	218.00	10/28/20	117.83	...	100.17	79 of 174 - Sale <sup>25</sup>
	3.725	204.85	10/28/20	104.50	...	100.35	80 of 174 - Sale <sup>25</sup>
	4.000	219.99	10/28/20	118.81	...	101.18	81 of 174 - Sale <sup>25</sup>
	3.826	210.43	10/28/20	108.43	...	102.00	82 of 174 - Sale <sup>25</sup>
	3.862	212.42	10/28/20	108.44	...	103.98	83 of 174 - Sale <sup>25</sup>
	4.000	219.99	10/28/20	113.52	...	106.47	84 of 174 - Sale <sup>25</sup>
	4.449	244.70	10/28/20	131.80	...	112.90	85 of 174 - Sale <sup>25</sup>
	4.551	250.28	10/28/20	131.09	...	119.19	86 of 174 - Sale <sup>25</sup>
	5.104	280.71	10/28/20	154.22	...	126.49	87 of 174 - Sale <sup>25</sup>
	4.826	265.43	10/28/20	138.67	...	126.76	88 of 174 - Sale <sup>25</sup>
	5.000	274.99	10/28/20	142.48	...	132.51	89 of 174 - Sale <sup>25</sup>
	6.000	329.99	10/28/20	170.04	...	159.95	90 of 174 - Sale <sup>25</sup>
	6.174	339.56	10/28/20	174.97	...	164.59	91 of 174 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	6.862	377.42	10/28/20	205.41	...	172.01	92 of 174 - Sale <sup>25</sup>
	7.000	384.99	10/28/20	193.26	...	191.73	93 of 174 - Sale <sup>25</sup>
	7.758	426.70	10/28/20	225.58	...	201.12	94 of 174 - Sale <sup>25</sup>
	7.725	424.84	10/28/20	221.96	...	202.88	95 of 174 - Sale <sup>25</sup>
	8.138	447.56	10/28/20	241.09	...	206.47	96 of 174 - Sale <sup>25</sup>
	8.449	464.70	10/28/20	244.18	...	220.52	97 of 174 - Sale <sup>25</sup>
	8.725	479.84	10/28/20	242.38	...	237.46	98 of 174 - Sale <sup>25</sup>
	9.413	517.71	10/28/20	279.88	...	237.83	99 of 174 - Sale <sup>25</sup>
	10.000	549.99	10/28/20	301.27	...	248.72	100 of 174 - Sale <sup>25</sup>
	10.312	567.12	10/28/20	306.35	...	260.77	101 of 174 - Sale <sup>25</sup>
	11.725	644.84	10/28/20	334.60	...	310.24	102 of 174 - Sale <sup>25</sup>
	12.000	659.98	10/28/20	338.44	...	321.54	103 of 174 - Sale <sup>25</sup>
	15.275	840.12	10/28/20	435.32	...	404.80	104 of 174 - Sale <sup>25</sup>
	15.449	849.69	10/28/20	443.33	...	406.36	105 of 174 - Sale <sup>25</sup>
	16.587	912.26	10/28/20	491.41	...	420.85	106 of 174 - Sale <sup>25</sup>
	19.275	1,060.12	10/28/20	541.69	...	518.43	107 of 174 - Sale <sup>25</sup>
	19.275	1,060.12	10/28/20	534.54	...	525.58	108 of 174 - Sale <sup>25</sup>
	26.862	1,477.39	10/28/20	746.75	...	730.64	109 of 174 - Sale <sup>25</sup>
	29.862	1,642.39	10/28/20	826.62	...	815.77	110 of 174 - Sale <sup>25</sup>
	32.862	1,807.38	10/28/20	922.58	...	884.80	111 of 174 - Sale <sup>25</sup>
	40.138	2,207.52	10/28/20	1,108.84	...	1,098.68	112 of 174 - Sale <sup>25</sup>
	0.862	47.43	10/29/20	26.54	...	20.89	113 of 174 - Sale <sup>25</sup>
	1.000	55.00	10/29/20	31.21	...	23.79	114 of 174 - Sale <sup>25</sup>
	1.449	79.71	10/29/20	45.34	...	34.37	115 of 174 - Sale <sup>25</sup>
	1.688	92.86	10/29/20	52.72	...	40.14	116 of 174 - Sale <sup>25</sup>
	40.000	2,199.95	10/29/20	1,248.79	...	951.16	117 of 174 - Sale <sup>25</sup>
	2.312	127.13	10/30/20	74.55	...	52.58	118 of 174 - Sale <sup>25</sup>
	6.138	337.56	10/30/20	196.56	...	141.00	119 of 174 - Sale <sup>25</sup>
	7.138	392.56	10/30/20	227.66	...	164.90	120 of 174 - Sale <sup>25</sup>
	9.000	494.99	10/30/20	287.07	...	207.92	121 of 174 - Sale <sup>25</sup>
	10.000	549.99	10/30/20	322.50	...	227.49	122 of 174 - Sale <sup>25</sup>
	10.413	572.70	10/30/20	332.13	...	240.57	123 of 174 - Sale <sup>25</sup>
	0.688	37.86	11/04/20	28.08	...	9.78	124 of 174 - Sale <sup>25</sup>
	0.725	39.85	11/04/20	30.02	...	9.83	125 of 174 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.862	47.43	11/04/20	37.44	...	9.99	126 of 174 - Sale <sup>25</sup>
	0.725	39.85	11/04/20	28.63	...	11.22	127 of 174 - Sale <sup>25</sup>
	1.275	70.14	11/04/20	58.25	...	11.89	128 of 174 - Sale <sup>25</sup>
	1.000	55.00	11/04/20	41.90	...	13.10	129 of 174 - Sale <sup>25</sup>
	1.138	62.57	11/04/20	49.21	...	13.36	130 of 174 - Sale <sup>25</sup>
	1.000	55.00	11/04/20	41.58	...	13.42	131 of 174 - Sale <sup>25</sup>
	1.587	87.28	11/04/20	70.88	...	16.40	132 of 174 - Sale <sup>25</sup>
	1.275	70.14	11/04/20	52.90	...	17.24	133 of 174 - Sale <sup>25</sup>
	1.413	77.72	11/04/20	57.36	...	20.36	134 of 174 - Sale <sup>25</sup>
	2.000	110.00	11/04/20	86.51	...	23.49	135 of 174 - Sale <sup>25</sup>
	2.587	142.28	11/04/20	113.49	...	28.79	136 of 174 - Sale <sup>25</sup>
	2.275	125.14	11/04/20	93.83	...	31.31	137 of 174 - Sale <sup>25</sup>
	3.275	180.14	11/04/20	141.83	...	38.31	138 of 174 - Sale <sup>25</sup>
	2.725	149.85	11/04/20	110.84	...	39.01	139 of 174 - Sale <sup>25</sup>
	3.174	174.56	11/04/20	128.16	...	46.40	140 of 174 - Sale <sup>25</sup>
	3.000	165.00	11/04/20	118.52	...	46.48	141 of 174 - Sale <sup>25</sup>
	4.000	219.99	11/04/20	173.15	...	46.84	142 of 174 - Sale <sup>25</sup>
	3.725	204.85	11/04/20	155.24	...	49.61	143 of 174 - Sale <sup>25</sup>
	4.862	267.42	11/04/20	214.29	...	53.13	144 of 174 - Sale <sup>25</sup>
	4.138	227.57	11/04/20	173.30	...	54.27	145 of 174 - Sale <sup>25</sup>
	4.000	219.99	11/04/20	164.96	...	55.03	146 of 174 - Sale <sup>25</sup>
	4.138	227.57	11/04/20	171.42	...	56.15	147 of 174 - Sale <sup>25</sup>
	5.551	305.28	11/04/20	240.06	...	65.22	148 of 174 - Sale <sup>25</sup>
	5.964	328.00	11/04/20	247.85	...	80.15	149 of 174 - Sale <sup>25</sup>
	7.413	407.71	11/04/20	325.25	...	82.46	150 of 174 - Sale <sup>25</sup>
	8.587	472.27	11/04/20	371.40	...	100.87	151 of 174 - Sale <sup>25</sup>
	9.138	502.56	11/04/20	400.93	...	101.63	152 of 174 - Sale <sup>25</sup>
	11.000	604.99	11/04/20	434.08	...	170.91	153 of 174 - Sale <sup>25</sup>
	16.761	921.82	11/04/20	696.56	...	225.26	154 of 174 - Sale <sup>25</sup>
	16.899	929.40	11/04/20	700.08	...	229.32	155 of 174 - Sale <sup>25</sup>
	21.000	1,154.97	11/04/20	877.46	...	277.51	156 of 174 - Sale <sup>25</sup>
	21.275	1,170.12	11/04/20	886.31	...	283.81	157 of 174 - Sale <sup>25</sup>
	25.725	1,414.82	11/04/20	1,123.86	...	290.96	158 of 174 - Sale <sup>25</sup>
	24.101	1,325.55	11/04/20	998.50	...	327.05	159 of 174 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.000	55.00	11/06/20	48.73	...	6.27	160 of 174 - Sale <sup>25</sup>
	1.000	55.00	11/06/20	48.58	...	6.42	161 of 174 - Sale <sup>25</sup>
	4.000	220.00	11/06/20	194.31	...	25.69	162 of 174 - Sale <sup>25</sup>
	0.725	39.85	11/12/20	39.65	...	0.20	163 of 174 - Sale <sup>25</sup>
	1.000	55.00	11/12/20	54.76	...	0.24	164 of 174 - Sale <sup>25</sup>
	6.275	345.14	11/12/20	343.35	...	1.79	165 of 174 - Sale <sup>25</sup>
	2.587	142.28	11/12/20	140.28	...	2.00	166 of 174 - Sale <sup>25</sup>
	2.413	132.72	11/12/20	127.98	...	4.74	167 of 174 - Sale <sup>25</sup>
	8.275	455.13	11/12/20	450.02	...	5.11	168 of 174 - Sale <sup>25</sup>
	6.725	369.84	11/12/20	330.67	...	39.17	169 of 174 - Sale <sup>25</sup>
	0.449	23.63	11/16/20	21.33	...	2.30	170 of 174 - Sale <sup>25</sup>
	1.000	52.60	11/16/20	47.47	...	5.13	171 of 174 - Sale <sup>25</sup>
	1.000	55.00	11/16/20	46.34	...	8.66	172 of 174 - Sale <sup>25</sup>
	3.551	186.76	11/16/20	168.60	...	18.16	173 of 174 - Sale <sup>25</sup>
	9.000	494.99	11/16/20	427.25	...	67.74	174 of 174 - Sale <sup>25</sup>
11/24/20	925.000	50,861.75	Various	31,077.89	...	19,783.86	Total of 174 transactions
	96 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	1.000	52.60	11/24/20	55.94	3.34 W	0.00	1 of 96 - Sale <sup>25</sup>
	2.413	126.92	11/24/20	135.41	8.49 W	0.00	2 of 96 - Sale <sup>25</sup>
	15.862	834.34	11/24/20	888.55	54.21 W	0.00	3 of 96 - Sale <sup>25</sup>
	25.725	1,353.09	11/24/20	1,430.20	77.11 W	0.00	4 of 96 - Sale <sup>25</sup>
	11.587	609.46	11/24/20	650.22	40.76 W	0.00	5 of 96 - Sale <sup>25</sup>
	1.138	59.84	11/24/20	62.81	2.97 W	0.00	6 of 96 - Sale <sup>25</sup>
	1.174	61.75	11/24/20	68.50	6.75 W	0.00	7 of 96 - Sale <sup>25</sup>
	4.000	210.39	11/24/20	226.30	15.91 W	0.00	8 of 96 - Sale <sup>25</sup>
	4.000	210.39	11/24/20	226.22	15.83 W	0.00	9 of 96 - Sale <sup>25</sup>
	4.688	246.60	11/24/20	273.57	26.97 W	0.00	10 of 96 - Sale <sup>25</sup>
	4.725	248.51	11/24/20	260.15	11.64 W	0.00	11 of 96 - Sale <sup>25</sup>
	5.312	279.38	11/24/20	309.93	30.55 W	0.00	12 of 96 - Sale <sup>25</sup>
	5.964	313.69	11/24/20	348.05	34.36 W	0.00	13 of 96 - Sale <sup>25</sup>
	8.138	428.03	11/24/20	474.98	46.95 W	0.00	14 of 96 - Sale <sup>25</sup>
	9.000	473.39	11/24/20	495.74	22.35 W	0.00	15 of 96 - Sale <sup>25</sup>
	35.138	1,848.20	11/24/20	1,935.69	87.49 W	0.00	16 of 96 - Sale <sup>25</sup>
	35.275	1,855.44	11/24/20	2,062.84	207.40 W	0.00	17 of 96 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
39.000		2,051.35	11/24/20	2,153.94	102.59 W	0.00	18 of 96 - Sale <sup>25</sup>
16.587		872.45	11/24/20	927.88	55.43 W	0.00	19 of 96 - Sale <sup>25</sup>
14.275		750.87	11/24/20	798.58	47.71 W	0.00	20 of 96 - Sale <sup>25</sup>
1.000		52.60	11/24/20	57.18	4.58 W	0.00	21 of 96 - Sale <sup>25</sup>
4.725		248.51	11/24/20	269.77	21.26 W	0.00	22 of 96 - Sale <sup>25</sup>
11.587		609.46	11/24/20	643.84	34.38 W	0.00	23 of 96 - Sale <sup>25</sup>
17.000		894.18	11/24/20	944.63	50.45 W	0.00	24 of 96 - Sale <sup>25</sup>
17.138		901.42	11/24/20	978.49	77.07 W	0.00	25 of 96 - Sale <sup>25</sup>
11.138		585.82	11/24/20	638.81	52.99 W	0.00	26 of 96 - Sale <sup>25</sup>
10.138		533.23	11/24/20	567.11	33.88 W	0.00	27 of 96 - Sale <sup>25</sup>
27.275		1,434.65	11/24/20	1,515.59	80.94 W	0.00	28 of 96 - Sale <sup>25</sup>
10.862		571.34	11/24/20	623.02	51.68 W	0.00	29 of 96 - Sale <sup>25</sup>
1.275		67.08	11/24/20	71.32	4.24 W	0.00	30 of 96 - Sale <sup>25</sup>
1.449		76.23	11/24/20	80.89	4.66 W	0.00	31 of 96 - Sale <sup>25</sup>
2.862		150.55	11/24/20	159.87	9.32 W	0.00	32 of 96 - Sale <sup>25</sup>
4.000		210.40	11/24/20	221.46	11.06 W	0.00	33 of 96 - Sale <sup>25</sup>
9.413		495.12	11/24/20	525.34	30.22 W	0.00	34 of 96 - Sale <sup>25</sup>
11.000		578.59	11/24/20	608.99	30.40 W	0.00	35 of 96 - Sale <sup>25</sup>
14.138		743.62	11/24/20	782.70	39.08 W	0.00	36 of 96 - Sale <sup>25</sup>
0.688		36.21	11/24/20	40.17	3.96 W	0.00	37 of 96 - Sale <sup>25</sup>
1.138		59.84	11/24/20	66.34	6.50 W	0.00	38 of 96 - Sale <sup>25</sup>
3.485		183.33	11/24/20	203.24	19.91 W	0.00	39 of 96 - Sale <sup>25</sup>
5.138		270.23	11/24/20	299.85	29.62 W	0.00	40 of 96 - Sale <sup>25</sup>
6.551		344.56	11/24/20	381.99	37.43 W	0.00	41 of 96 - Sale <sup>25</sup>
8.623		453.57	11/24/20	503.28	49.71 W	0.00	42 of 96 - Sale <sup>25</sup>
3.862		203.15	11/24/20	213.38	10.23 W	0.00	43 of 96 - Sale <sup>25</sup>
2.652		139.50	11/24/20	146.53	7.03 W	0.00	44 of 96 - Sale <sup>25</sup>
10.862		571.35	11/24/20	600.12	28.77 W	0.00	45 of 96 - Sale <sup>25</sup>
2.000		105.20	11/24/20	110.50	5.30 W	0.00	46 of 96 - Sale <sup>25</sup>
5.348		281.29	11/24/20	295.46	14.17 W	0.00	47 of 96 - Sale <sup>25</sup>
8.862		466.15	11/24/20	489.62	23.47 W	0.00	48 of 96 - Sale <sup>25</sup>
1.138		59.84	11/24/20	65.69	5.85 W	0.00	49 of 96 - Sale <sup>25</sup>
6.138		322.83	11/24/20	338.30	15.47 W	0.00	50 of 96 - Sale <sup>25</sup>
18.862		992.13	11/24/20	1,093.83	101.70 W	0.00	51 of 96 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
14.652		770.68	11/24/20	838.81	68.13 W	0.00	52 of 96 - Sale <sup>25</sup>
0.242		12.70	11/24/20	12.94	0.24 W	0.00	53 of 96 - Sale <sup>25</sup>
0.138		7.24	11/24/20	7.45	0.21 W	0.00	54 of 96 - Sale <sup>25</sup>
0.379		19.95	11/24/20	20.50	0.55 W	0.00	55 of 96 - Sale <sup>25</sup>
1.000		52.60	11/24/20	56.84	4.24 W	0.00	56 of 96 - Sale <sup>25</sup>
3.517		184.98	11/24/20	200.18	15.20 W	0.00	57 of 96 - Sale <sup>25</sup>
25.725		1,353.09	11/24/20	1,453.38	100.29 W	0.00	58 of 96 - Sale <sup>25</sup>
1.515		79.66	11/24/20	86.20	6.54 W	0.00	59 of 96 - Sale <sup>25</sup>
0.688		36.21	11/24/20	39.27	3.06 W	0.00	60 of 96 - Sale <sup>25</sup>
4.449		234.03	11/24/20	254.72	20.69 W	0.00	61 of 96 - Sale <sup>25</sup>
5.899		310.25	11/24/20	336.46	26.21 W	0.00	62 of 96 - Sale <sup>25</sup>
38.688		2,034.96	11/24/20	2,206.89	171.93 W	0.00	63 of 96 - Sale <sup>25</sup>
3.449		181.43	11/24/20	184.55	3.12 W	0.00	64 of 96 - Sale <sup>25</sup>
4.862		255.75	11/24/20	260.16	4.41 W	0.00	65 of 96 - Sale <sup>25</sup>
9.449		497.02	11/24/20	505.60	8.58 W	0.00	66 of 96 - Sale <sup>25</sup>
7.000		368.19	11/24/20	398.42	30.23 W	0.00	67 of 96 - Sale <sup>25</sup>
2.413		126.92	11/24/20	137.59	10.67 W	0.00	68 of 96 - Sale <sup>25</sup>
3.831		201.50	11/24/20	218.04	16.54 W	0.00	69 of 96 - Sale <sup>25</sup>
11.587		609.46	11/24/20	660.66	51.20 W	0.00	70 of 96 - Sale <sup>25</sup>
1.138		59.84	11/24/20	63.84	4.00 W	0.00	71 of 96 - Sale <sup>25</sup>
4.725		248.51	11/24/20	264.41	15.90 W	0.00	72 of 96 - Sale <sup>25</sup>
11.307		594.72	11/24/20	633.06	38.34 W	0.00	73 of 96 - Sale <sup>25</sup>
39.000		2,051.35	11/24/20	2,189.09	137.74 W	0.00	74 of 96 - Sale <sup>25</sup>
14.000		736.38	11/24/20	783.86	47.48 W	0.00	75 of 96 - Sale <sup>25</sup>
5.000		262.99	11/24/20	279.95	16.96 W	0.00	76 of 96 - Sale <sup>25</sup>
1.174		61.75	11/24/20	69.55	7.80 W	0.00	77 of 96 - Sale <sup>25</sup>
4.000		210.39	11/24/20	229.91	19.52 W	0.00	78 of 96 - Sale <sup>25</sup>
4.000		210.39	11/24/20	229.83	19.44 W	0.00	79 of 96 - Sale <sup>25</sup>
4.688		246.60	11/24/20	277.80	31.20 W	0.00	80 of 96 - Sale <sup>25</sup>
4.831		254.10	11/24/20	270.48	16.38 W	0.00	81 of 96 - Sale <sup>25</sup>
5.312		279.38	11/24/20	314.72	35.34 W	0.00	82 of 96 - Sale <sup>25</sup>
5.964		313.69	11/24/20	353.42	39.73 W	0.00	83 of 96 - Sale <sup>25</sup>
8.138		428.03	11/24/20	482.32	54.29 W	0.00	84 of 96 - Sale <sup>25</sup>
9.000		473.39	11/24/20	503.85	30.46 W	0.00	85 of 96 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	35.275	1,855.44	11/24/20	2,094.64	239.20 W	0.00	86 of 96 - Sale <sup>25</sup>
	1.031	54.25	11/24/20	58.63	4.38 W	0.00	87 of 96 - Sale <sup>25</sup>
	16.587	872.45	11/24/20	942.83	70.38 W	0.00	88 of 96 - Sale <sup>25</sup>
	10.138	533.23	11/24/20	576.25	43.02 W	0.00	89 of 96 - Sale <sup>25</sup>
	11.587	609.46	11/24/20	654.28	44.82 W	0.00	90 of 96 - Sale <sup>25</sup>
	13.031	685.44	11/24/20	735.85	50.41 W	0.00	91 of 96 - Sale <sup>25</sup>
	13.244	696.62	11/24/20	752.81	56.19 W	0.00	92 of 96 - Sale <sup>25</sup>
	17.000	894.18	11/24/20	959.95	65.77 W	0.00	93 of 96 - Sale <sup>25</sup>
	4.725	248.51	11/24/20	277.81	29.30 W	0.00	94 of 96 - Sale <sup>25</sup>
	11.031	580.24	11/24/20	648.61	68.37 W	0.00	95 of 96 - Sale <sup>25</sup>
	14.244	749.21	11/24/20	815.72	66.51 W	0.00	96 of 96 - Sale <sup>25</sup>
11/24/20	895.000	47,075.83	Various	50,664.94	3,589.11 W	0.00	Total of 96 transactions
	128 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	1.000	49.34	11/24/20	57.66	8.32 W	0.00	1 of 128 - Sale <sup>25</sup>
	1.000	49.34	11/24/20	57.58	8.24 W	0.00	2 of 128 - Sale <sup>25</sup>
	5.106	251.94	11/24/20	293.59	41.65 W	0.00	3 of 128 - Sale <sup>25</sup>
	10.862	535.93	11/24/20	627.38	91.45 W	0.00	4 of 128 - Sale <sup>25</sup>
	11.138	549.52	11/24/20	643.29	93.77 W	0.00	5 of 128 - Sale <sup>25</sup>
	1.275	62.92	11/24/20	71.83	8.91 W	0.00	6 of 128 - Sale <sup>25</sup>
	1.449	71.50	11/24/20	81.47	9.97 W	0.00	7 of 128 - Sale <sup>25</sup>
	2.862	141.22	11/24/20	161.02	19.80 W	0.00	8 of 128 - Sale <sup>25</sup>
	6.894	340.13	11/24/20	384.43	44.30 W	0.00	9 of 128 - Sale <sup>25</sup>
	9.413	464.43	11/24/20	529.12	64.69 W	0.00	10 of 128 - Sale <sup>25</sup>
	0.688	33.97	11/24/20	40.45	6.48 W	0.00	11 of 128 - Sale <sup>25</sup>
	1.138	56.13	11/24/20	66.80	10.67 W	0.00	12 of 128 - Sale <sup>25</sup>
	3.485	171.97	11/24/20	204.64	32.67 W	0.00	13 of 128 - Sale <sup>25</sup>
	5.138	253.49	11/24/20	301.92	48.43 W	0.00	14 of 128 - Sale <sup>25</sup>
	6.551	323.20	11/24/20	384.62	61.42 W	0.00	15 of 128 - Sale <sup>25</sup>
	8.623	425.46	11/24/20	506.74	81.28 W	0.00	16 of 128 - Sale <sup>25</sup>
	1.546	76.28	11/24/20	86.03	9.75 W	0.00	17 of 128 - Sale <sup>25</sup>
	2.652	130.86	11/24/20	147.60	16.74 W	0.00	18 of 128 - Sale <sup>25</sup>
	3.862	190.56	11/24/20	214.93	24.37 W	0.00	19 of 128 - Sale <sup>25</sup>
	4.000	197.35	11/24/20	223.06	25.71 W	0.00	20 of 128 - Sale <sup>25</sup>
	5.348	263.85	11/24/20	297.60	33.75 W	0.00	21 of 128 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	7.244	357.41	11/24/20	403.95	46.54 W	0.00	22 of 128 - Sale <sup>25</sup>
	8.862	437.25	11/24/20	493.17	55.92 W	0.00	23 of 128 - Sale <sup>25</sup>
	10.862	535.93	11/24/20	604.47	68.54 W	0.00	24 of 128 - Sale <sup>25</sup>
	11.000	542.73	11/24/20	613.40	70.67 W	0.00	25 of 128 - Sale <sup>25</sup>
	0.454	22.40	11/24/20	25.27	2.87 W	0.00	26 of 128 - Sale <sup>25</sup>
	1.138	56.13	11/24/20	66.15	10.02 W	0.00	27 of 128 - Sale <sup>25</sup>
	6.138	302.83	11/24/20	340.77	37.94 W	0.00	28 of 128 - Sale <sup>25</sup>
	11.271	556.08	11/24/20	658.10	102.02 W	0.00	29 of 128 - Sale <sup>25</sup>
	0.242	11.92	11/24/20	13.04	1.12 W	0.00	30 of 128 - Sale <sup>25</sup>
	0.138	6.79	11/24/20	7.51	0.72 W	0.00	31 of 128 - Sale <sup>25</sup>
	0.379	18.71	11/24/20	20.65	1.94 W	0.00	32 of 128 - Sale <sup>25</sup>
	1.000	49.34	11/24/20	57.24	7.90 W	0.00	33 of 128 - Sale <sup>25</sup>
	1.515	74.72	11/24/20	86.81	12.09 W	0.00	34 of 128 - Sale <sup>25</sup>
	3.517	173.52	11/24/20	201.60	28.08 W	0.00	35 of 128 - Sale <sup>25</sup>
	25.725	1,269.22	11/24/20	1,463.69	194.47 W	0.00	36 of 128 - Sale <sup>25</sup>
	7.592	374.57	11/24/20	443.29	68.72 W	0.00	37 of 128 - Sale <sup>25</sup>
	4.449	219.52	11/24/20	256.50	36.98 W	0.00	38 of 128 - Sale <sup>25</sup>
	5.899	291.03	11/24/20	338.83	47.80 W	0.00	39 of 128 - Sale <sup>25</sup>
	14.652	722.92	11/24/20	844.70	121.78 W	0.00	40 of 128 - Sale <sup>25</sup>
	34.894	1,721.62	11/24/20	2,004.44	282.82 W	0.00	41 of 128 - Sale <sup>25</sup>
	2.413	119.06	11/24/20	138.56	19.50 W	0.00	42 of 128 - Sale <sup>25</sup>
	3.831	189.01	11/24/20	219.58	30.57 W	0.00	43 of 128 - Sale <sup>25</sup>
	7.000	345.37	11/24/20	401.23	55.86 W	0.00	44 of 128 - Sale <sup>25</sup>
	11.587	571.69	11/24/20	665.31	93.62 W	0.00	45 of 128 - Sale <sup>25</sup>
	0.063	3.10	11/24/20	3.75	0.65 W	0.00	46 of 128 - Sale <sup>25</sup>
	1.138	56.13	11/24/20	64.30	8.17 W	0.00	47 of 128 - Sale <sup>25</sup>
	1.174	57.92	11/24/20	70.02	12.10 W	0.00	48 of 128 - Sale <sup>25</sup>
	4.000	197.36	11/24/20	231.52	34.16 W	0.00	49 of 128 - Sale <sup>25</sup>
	4.000	197.36	11/24/20	231.44	34.08 W	0.00	50 of 128 - Sale <sup>25</sup>
	4.688	231.32	11/24/20	279.68	48.36 W	0.00	51 of 128 - Sale <sup>25</sup>
	4.725	233.11	11/24/20	266.30	33.19 W	0.00	52 of 128 - Sale <sup>25</sup>
	4.831	238.35	11/24/20	272.42	34.07 W	0.00	53 of 128 - Sale <sup>25</sup>
	5.000	246.69	11/24/20	281.96	35.27 W	0.00	54 of 128 - Sale <sup>25</sup>
	9.000	444.05	11/24/20	507.46	63.41 W	0.00	55 of 128 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
11.307		557.86	11/24/20	637.60	79.74 W	0.00	56 of 128 - Sale <sup>25</sup>
14.000		690.74	11/24/20	789.48	98.74 W	0.00	57 of 128 - Sale <sup>25</sup>
39.000		1,924.21	11/24/20	2,204.74	280.53 W	0.00	58 of 128 - Sale <sup>25</sup>
0.688		33.97	11/24/20	39.55	5.58 W	0.00	59 of 128 - Sale <sup>25</sup>
3.795		187.22	11/24/20	217.98	30.76 W	0.00	60 of 128 - Sale <sup>25</sup>
3.449		170.18	11/24/20	185.93	15.75 W	0.00	61 of 128 - Sale <sup>25</sup>
4.862		239.90	11/24/20	262.11	22.21 W	0.00	62 of 128 - Sale <sup>25</sup>
9.449		466.22	11/24/20	509.39	43.17 W	0.00	63 of 128 - Sale <sup>25</sup>
0.688		33.97	11/24/20	42.90	8.93 W	0.00	64 of 128 - Sale <sup>25</sup>
1.138		56.13	11/24/20	70.85	14.72 W	0.00	65 of 128 - Sale <sup>25</sup>
3.485		171.97	11/24/20	217.05	45.08 W	0.00	66 of 128 - Sale <sup>25</sup>
5.138		253.49	11/24/20	320.21	66.72 W	0.00	67 of 128 - Sale <sup>25</sup>
6.551		323.21	11/24/20	407.95	84.74 W	0.00	68 of 128 - Sale <sup>25</sup>
8.623		425.46	11/24/20	537.44	111.98 W	0.00	69 of 128 - Sale <sup>25</sup>
1.000		49.34	11/24/20	61.22	11.88 W	0.00	70 of 128 - Sale <sup>25</sup>
1.000		49.34	11/24/20	61.14	11.80 W	0.00	71 of 128 - Sale <sup>25</sup>
5.106		251.94	11/24/20	311.77	59.83 W	0.00	72 of 128 - Sale <sup>25</sup>
5.249		258.96	11/24/20	312.58	53.62 W	0.00	73 of 128 - Sale <sup>25</sup>
5.964		294.25	11/24/20	355.21	60.96 W	0.00	74 of 128 - Sale <sup>25</sup>
8.138		401.50	11/24/20	484.77	83.27 W	0.00	75 of 128 - Sale <sup>25</sup>
10.862		535.93	11/24/20	666.07	130.14 W	0.00	76 of 128 - Sale <sup>25</sup>
11.138		549.52	11/24/20	682.96	133.44 W	0.00	77 of 128 - Sale <sup>25</sup>
35.275		1,740.44	11/24/20	2,105.27	364.83 W	0.00	78 of 128 - Sale <sup>25</sup>
1.031		50.89	11/24/20	58.94	8.05 W	0.00	79 of 128 - Sale <sup>25</sup>
1.275		62.92	11/24/20	76.38	13.46 W	0.00	80 of 128 - Sale <sup>25</sup>
1.449		71.50	11/24/20	86.64	15.14 W	0.00	81 of 128 - Sale <sup>25</sup>
2.862		141.22	11/24/20	171.22	30.00 W	0.00	82 of 128 - Sale <sup>25</sup>
4.725		233.11	11/24/20	279.23	46.12 W	0.00	83 of 128 - Sale <sup>25</sup>
6.133		302.59	11/24/20	363.84	61.25 W	0.00	84 of 128 - Sale <sup>25</sup>
6.894		340.13	11/24/20	408.98	68.85 W	0.00	85 of 128 - Sale <sup>25</sup>
9.413		464.43	11/24/20	562.64	98.21 W	0.00	86 of 128 - Sale <sup>25</sup>
10.138		500.18	11/24/20	579.30	79.12 W	0.00	87 of 128 - Sale <sup>25</sup>
11.031		544.28	11/24/20	651.93	107.65 W	0.00	88 of 128 - Sale <sup>25</sup>
11.587		571.69	11/24/20	657.77	86.08 W	0.00	89 of 128 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
13.031		642.95	11/24/20	739.77	96.82 W	0.00	90 of 128 - Sale <sup>25</sup>
13.244		653.44	11/24/20	756.79	103.35 W	0.00	91 of 128 - Sale <sup>25</sup>
14.244		702.78	11/24/20	820.02	117.24 W	0.00	92 of 128 - Sale <sup>25</sup>
16.587		818.38	11/24/20	947.83	129.45 W	0.00	93 of 128 - Sale <sup>25</sup>
17.000		838.76	11/24/20	965.07	126.31 W	0.00	94 of 128 - Sale <sup>25</sup>
1.111		54.82	11/24/20	65.80	10.98 W	0.00	95 of 128 - Sale <sup>25</sup>
1.546		76.28	11/24/20	91.38	15.10 W	0.00	96 of 128 - Sale <sup>25</sup>
2.652		130.86	11/24/20	156.78	25.92 W	0.00	97 of 128 - Sale <sup>25</sup>
3.862		190.56	11/24/20	228.30	37.74 W	0.00	98 of 128 - Sale <sup>25</sup>
4.000		197.35	11/24/20	236.91	39.56 W	0.00	99 of 128 - Sale <sup>25</sup>
5.348		263.85	11/24/20	316.11	52.26 W	0.00	100 of 128 - Sale <sup>25</sup>
8.862		437.26	11/24/20	523.85	86.59 W	0.00	101 of 128 - Sale <sup>25</sup>
10.862		535.93	11/24/20	642.07	106.14 W	0.00	102 of 128 - Sale <sup>25</sup>
11.000		542.73	11/24/20	651.47	108.74 W	0.00	103 of 128 - Sale <sup>25</sup>
60.756		2,997.62	11/24/20	3,207.92	210.30 W	0.00	104 of 128 - Sale <sup>25</sup>
0.242		11.93	11/24/20	13.87	1.94 W	0.00	105 of 128 - Sale <sup>25</sup>
0.138		6.80	11/24/20	7.99	1.19 W	0.00	106 of 128 - Sale <sup>25</sup>
0.379		18.73	11/24/20	21.96	3.23 W	0.00	107 of 128 - Sale <sup>25</sup>
22.242		1,098.71	11/24/20	1,342.50	243.79 W	0.00	108 of 128 - Sale <sup>25</sup>
0.454		22.43	11/24/20	26.84	4.41 W	0.00	109 of 128 - Sale <sup>25</sup>
1.138		56.20	11/24/20	70.09	13.89 W	0.00	110 of 128 - Sale <sup>25</sup>
6.138		303.19	11/24/20	362.01	58.82 W	0.00	111 of 128 - Sale <sup>25</sup>
11.271		556.75	11/24/20	697.11	140.36 W	0.00	112 of 128 - Sale <sup>25</sup>
1.000		49.40	11/24/20	60.70	11.30 W	0.00	113 of 128 - Sale <sup>25</sup>
1.515		74.82	11/24/20	92.06	17.24 W	0.00	114 of 128 - Sale <sup>25</sup>
2.413		119.20	11/24/20	146.91	27.71 W	0.00	115 of 128 - Sale <sup>25</sup>
3.483		172.06	11/24/20	210.24	38.18 W	0.00	116 of 128 - Sale <sup>25</sup>
3.517		173.73	11/24/20	213.77	40.04 W	0.00	117 of 128 - Sale <sup>25</sup>
3.831		189.24	11/24/20	232.84	43.60 W	0.00	118 of 128 - Sale <sup>25</sup>
7.000		345.79	11/24/20	425.46	79.67 W	0.00	119 of 128 - Sale <sup>25</sup>
7.756		383.14	11/24/20	472.19	89.05 W	0.00	120 of 128 - Sale <sup>25</sup>
7.592		375.02	11/24/20	469.56	94.54 W	0.00	121 of 128 - Sale <sup>25</sup>
4.449		219.79	11/24/20	271.90	52.11 W	0.00	122 of 128 - Sale <sup>25</sup>
0.792		39.14	11/24/20	48.25	9.11 W	0.00	123 of 128 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	14.652	723.81	11/24/20	895.42	171.61 W	0.00	124 of 128 - Sale <sup>25</sup>
	5.106	252.30	11/24/20	310.99	58.69 W	0.00	125 of 128 - Sale <sup>25</sup>
	4.894	241.80	11/24/20	298.05	56.25 W	0.00	126 of 128 - Sale <sup>25</sup>
	1.000	49.42	11/24/20	60.91	11.49 W	0.00	127 of 128 - Sale <sup>25</sup>
	28.000	1,383.72	11/24/20	1,705.34	321.62 W	0.00	128 of 128 - Sale <sup>25</sup>
11/25/20	900.000	44,413.93	Various	52,146.94	7,733.01 W	0.00	Total of 128 transactions
11/27/20	1.000	54.46	11/24/20	60.91	6.45 W	0.00	Sale <sup>25</sup>
	9 transactions for 11/30/20. Total proceeds and cost reported to the IRS.						
	0.242	12.11	11/25/20	14.36	2.25 W	0.00	1 of 9 - Sale <sup>25</sup>
	0.138	6.90	11/25/20	8.27	1.37 W	0.00	2 of 9 - Sale <sup>25</sup>
	0.379	19.01	11/25/20	22.73	3.72 W	0.00	3 of 9 - Sale <sup>25</sup>
	10.242	513.29	11/25/20	638.82	125.53 W	0.00	4 of 9 - Sale <sup>25</sup>
	0.688	34.50	11/25/20	44.33	9.83 W	0.00	5 of 9 - Sale <sup>25</sup>
	3.831	192.00	11/25/20	227.91	35.91 W	0.00	6 of 9 - Sale <sup>25</sup>
	5.138	257.49	11/25/20	330.87	73.38 W	0.00	7 of 9 - Sale <sup>25</sup>
	0.720	36.08	11/25/20	46.32	10.24 W	0.00	8 of 9 - Sale <sup>25</sup>
	8.623	432.18	11/25/20	555.34	123.16 W	0.00	9 of 9 - Sale <sup>25</sup>
11/30/20	30.000	1,503.56	Various	1,888.95	385.39 W	0.00	Total of 9 transactions
	811 transactions for 12/01/20. Total proceeds and cost reported to the IRS.						
	1.138	55.22	11/25/20	73.21	17.99 W	0.00	1 of 811 - Sale <sup>25</sup>
	3.485	169.18	11/25/20	224.29	55.11 W	0.00	2 of 811 - Sale <sup>25</sup>
	5.831	283.02	11/25/20	375.23	92.21 W	0.00	3 of 811 - Sale <sup>25</sup>
	1.138	55.22	11/25/20	66.66	11.44 W	0.00	4 of 811 - Sale <sup>25</sup>
	1.408	68.36	11/25/20	82.30	13.94 W	0.00	5 of 811 - Sale <sup>25</sup>
	39.000	1,893.01	11/25/20	2,285.71	392.70 W	0.00	6 of 811 - Sale <sup>25</sup>
	3.316	160.97	11/25/20	193.81	32.84 W	0.00	7 of 811 - Sale <sup>25</sup>
	4.831	234.49	11/25/20	282.45	47.96 W	0.00	8 of 811 - Sale <sup>25</sup>
	5.000	242.69	11/25/20	292.34	49.65 W	0.00	9 of 811 - Sale <sup>25</sup>
	7.546	366.27	11/25/20	441.14	74.87 W	0.00	10 of 811 - Sale <sup>25</sup>
	11.307	548.82	11/25/20	661.08	112.26 W	0.00	11 of 811 - Sale <sup>25</sup>
	14.000	679.55	11/25/20	818.55	139.00 W	0.00	12 of 811 - Sale <sup>25</sup>
	0.063	3.05	11/25/20	3.88	0.83 W	0.00	13 of 811 - Sale <sup>25</sup>
	1.000	48.54	11/25/20	63.29	14.75 W	0.00	14 of 811 - Sale <sup>25</sup>
	1.000	48.54	11/25/20	63.21	14.67 W	0.00	15 of 811 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.174	56.98	11/25/20	72.46	15.48 W	0.00	16 of 811 - Sale <sup>25</sup>
	4.000	194.16	11/25/20	239.82	45.66 W	0.00	17 of 811 - Sale <sup>25</sup>
	4.000	194.15	11/25/20	239.74	45.59 W	0.00	18 of 811 - Sale <sup>25</sup>
	4.688	227.57	11/25/20	289.41	61.84 W	0.00	19 of 811 - Sale <sup>25</sup>
	5.106	247.85	11/25/20	322.37	74.52 W	0.00	20 of 811 - Sale <sup>25</sup>
	5.249	254.77	11/25/20	323.48	68.71 W	0.00	21 of 811 - Sale <sup>25</sup>
	5.964	289.47	11/25/20	367.59	78.12 W	0.00	22 of 811 - Sale <sup>25</sup>
	8.138	394.99	11/25/20	501.67	106.68 W	0.00	23 of 811 - Sale <sup>25</sup>
	1.454	70.58	11/25/20	85.00	14.42 W	0.00	24 of 811 - Sale <sup>25</sup>
	10.862	527.24	11/25/20	688.63	161.39 W	0.00	25 of 811 - Sale <sup>25</sup>
	11.138	540.61	11/25/20	706.08	165.47 W	0.00	26 of 811 - Sale <sup>25</sup>
	35.275	1,712.22	11/25/20	2,178.51	466.29 W	0.00	27 of 811 - Sale <sup>25</sup>
	1.031	50.06	11/25/20	61.08	11.02 W	0.00	28 of 811 - Sale <sup>25</sup>
	1.111	53.93	11/25/20	68.10	14.17 W	0.00	29 of 811 - Sale <sup>25</sup>
	1.275	61.90	11/25/20	79.03	17.13 W	0.00	30 of 811 - Sale <sup>25</sup>
	1.449	70.35	11/25/20	89.65	19.30 W	0.00	31 of 811 - Sale <sup>25</sup>
	1.546	75.04	11/25/20	94.59	19.55 W	0.00	32 of 811 - Sale <sup>25</sup>
	2.652	128.74	11/25/20	162.28	33.54 W	0.00	33 of 811 - Sale <sup>25</sup>
	2.862	138.93	11/25/20	177.17	38.24 W	0.00	34 of 811 - Sale <sup>25</sup>
	3.862	187.47	11/25/20	236.32	48.85 W	0.00	35 of 811 - Sale <sup>25</sup>
	4.000	194.16	11/25/20	245.22	51.06 W	0.00	36 of 811 - Sale <sup>25</sup>
	4.725	229.33	11/25/20	289.04	59.71 W	0.00	37 of 811 - Sale <sup>25</sup>
	5.348	259.58	11/25/20	327.22	67.64 W	0.00	38 of 811 - Sale <sup>25</sup>
	6.133	297.68	11/25/20	376.57	78.89 W	0.00	39 of 811 - Sale <sup>25</sup>
	6.894	334.62	11/25/20	423.29	88.67 W	0.00	40 of 811 - Sale <sup>25</sup>
	8.862	430.17	11/25/20	542.25	112.08 W	0.00	41 of 811 - Sale <sup>25</sup>
	9.413	456.90	11/25/20	582.18	125.28 W	0.00	42 of 811 - Sale <sup>25</sup>
	10.138	492.07	11/25/20	600.35	108.28 W	0.00	43 of 811 - Sale <sup>25</sup>
	10.862	527.24	11/25/20	664.63	137.39 W	0.00	44 of 811 - Sale <sup>25</sup>
	11.000	533.93	11/25/20	674.30	140.37 W	0.00	45 of 811 - Sale <sup>25</sup>
	11.031	535.45	11/25/20	674.83	139.38 W	0.00	46 of 811 - Sale <sup>25</sup>
	11.587	562.42	11/25/20	681.82	119.40 W	0.00	47 of 811 - Sale <sup>25</sup>
	13.031	632.53	11/25/20	766.83	134.30 W	0.00	48 of 811 - Sale <sup>25</sup>
	13.244	642.84	11/25/20	784.29	141.45 W	0.00	49 of 811 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	14.244	691.38	11/25/20	849.59	158.21 W	0.00	50 of 811 - Sale <sup>25</sup>
	16.587	805.11	11/25/20	982.27	177.16 W	0.00	51 of 811 - Sale <sup>25</sup>
	17.000	825.16	11/25/20	1,000.36	175.20 W	0.00	52 of 811 - Sale <sup>25</sup>
	0.688	33.41	11/25/20	40.98	7.57 W	0.00	53 of 811 - Sale <sup>25</sup>
	3.795	184.19	11/25/20	225.86	41.67 W	0.00	54 of 811 - Sale <sup>25</sup>
	6.517	316.32	11/25/20	364.84	48.52 W	0.00	55 of 811 - Sale <sup>25</sup>
	2.000	97.08	11/25/20	111.96	14.88 W	0.00	56 of 811 - Sale <sup>25</sup>
	3.449	167.42	11/25/20	193.09	25.67 W	0.00	57 of 811 - Sale <sup>25</sup>
	4.862	236.01	11/25/20	272.21	36.20 W	0.00	58 of 811 - Sale <sup>25</sup>
	0.932	45.26	11/25/20	52.20	6.94 W	0.00	59 of 811 - Sale <sup>25</sup>
	60.756	2,949.03	11/25/20	3,334.07	385.04 W	0.00	60 of 811 - Sale <sup>25</sup>
	0.242	11.72	11/25/20	14.77	3.05 W	0.00	61 of 811 - Sale <sup>25</sup>
	0.138	6.68	11/25/20	8.51	1.83 W	0.00	62 of 811 - Sale <sup>25</sup>
	0.379	18.41	11/25/20	23.38	4.97 W	0.00	63 of 811 - Sale <sup>25</sup>
	1.138	55.22	11/25/20	76.96	21.74 W	0.00	64 of 811 - Sale <sup>25</sup>
	3.485	169.18	11/25/20	235.78	66.60 W	0.00	65 of 811 - Sale <sup>25</sup>
	5.831	283.02	11/25/20	394.45	111.43 W	0.00	66 of 811 - Sale <sup>25</sup>
	10.242	497.11	11/25/20	656.40	159.29 W	0.00	67 of 811 - Sale <sup>25</sup>
	0.063	3.05	11/25/20	4.09	1.04 W	0.00	68 of 811 - Sale <sup>25</sup>
	0.688	33.42	11/25/20	45.51	12.09 W	0.00	69 of 811 - Sale <sup>25</sup>
	0.720	34.94	11/25/20	47.55	12.61 W	0.00	70 of 811 - Sale <sup>25</sup>
	1.000	48.54	11/25/20	66.59	18.05 W	0.00	71 of 811 - Sale <sup>25</sup>
	1.000	48.54	11/25/20	66.50	17.96 W	0.00	72 of 811 - Sale <sup>25</sup>
	1.138	55.22	11/25/20	70.41	15.19 W	0.00	73 of 811 - Sale <sup>25</sup>
	1.174	56.98	11/25/20	76.33	19.35 W	0.00	74 of 811 - Sale <sup>25</sup>
	1.408	68.36	11/25/20	86.94	18.58 W	0.00	75 of 811 - Sale <sup>25</sup>
	1.454	70.58	11/25/20	89.79	19.21 W	0.00	76 of 811 - Sale <sup>25</sup>
	3.316	160.97	11/25/20	204.74	43.77 W	0.00	77 of 811 - Sale <sup>25</sup>
	3.831	185.95	11/25/20	234.48	48.53 W	0.00	78 of 811 - Sale <sup>25</sup>
	4.000	194.16	11/25/20	253.00	58.84 W	0.00	79 of 811 - Sale <sup>25</sup>
	4.000	194.16	11/25/20	252.93	58.77 W	0.00	80 of 811 - Sale <sup>25</sup>
	4.688	227.57	11/25/20	304.86	77.29 W	0.00	81 of 811 - Sale <sup>25</sup>
	4.751	230.62	11/25/20	316.87	86.25 W	0.00	82 of 811 - Sale <sup>25</sup>
	4.831	234.49	11/25/20	298.37	63.88 W	0.00	83 of 811 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)gross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
5.000		242.69	11/25/20	308.83	66.14 W	0.00	84 of 811 - Sale <sup>25</sup>
5.106		247.85	11/25/20	339.20	91.35 W	0.00	85 of 811 - Sale <sup>25</sup>
5.138		249.38	11/25/20	339.69	90.31 W	0.00	86 of 811 - Sale <sup>25</sup>
5.249		254.77	11/25/20	340.78	86.01 W	0.00	87 of 811 - Sale <sup>25</sup>
5.964		289.47	11/25/20	387.25	97.78 W	0.00	88 of 811 - Sale <sup>25</sup>
7.546		366.27	11/25/20	466.01	99.74 W	0.00	89 of 811 - Sale <sup>25</sup>
8.138		394.99	11/25/20	528.50	133.51 W	0.00	90 of 811 - Sale <sup>25</sup>
8.623		418.56	11/25/20	570.14	151.58 W	0.00	91 of 811 - Sale <sup>25</sup>
11.138		540.61	11/25/20	742.80	202.19 W	0.00	92 of 811 - Sale <sup>25</sup>
11.307		548.82	11/25/20	698.35	149.53 W	0.00	93 of 811 - Sale <sup>25</sup>
14.000		679.54	11/25/20	864.69	185.15 W	0.00	94 of 811 - Sale <sup>25</sup>
35.275		1,712.22	11/25/20	2,294.79	582.57 W	0.00	95 of 811 - Sale <sup>25</sup>
39.000		1,893.01	11/25/20	2,414.27	521.26 W	0.00	96 of 811 - Sale <sup>25</sup>
1.000		48.54	11/25/20	63.13	14.59 W	0.00	97 of 811 - Sale <sup>25</sup>
1.515		73.51	11/25/20	95.75	22.24 W	0.00	98 of 811 - Sale <sup>25</sup>
2.413		117.13	11/25/20	152.79	35.66 W	0.00	99 of 811 - Sale <sup>25</sup>
3.483		169.06	11/25/20	218.73	49.67 W	0.00	100 of 811 - Sale <sup>25</sup>
3.517		170.71	11/25/20	222.34	51.63 W	0.00	101 of 811 - Sale <sup>25</sup>
3.831		185.95	11/25/20	242.17	56.22 W	0.00	102 of 811 - Sale <sup>25</sup>
7.000		339.77	11/25/20	442.51	102.74 W	0.00	103 of 811 - Sale <sup>25</sup>
7.756		376.47	11/25/20	491.09	114.62 W	0.00	104 of 811 - Sale <sup>25</sup>
12.000		582.47	11/25/20	753.55	171.08 W	0.00	105 of 811 - Sale <sup>25</sup>
0.454		22.04	11/25/20	27.95	5.91 W	0.00	106 of 811 - Sale <sup>25</sup>
1.000		48.54	11/25/20	58.28	9.74 W	0.00	107 of 811 - Sale <sup>25</sup>
1.138		55.22	11/25/20	72.86	17.64 W	0.00	108 of 811 - Sale <sup>25</sup>
6.138		297.92	11/25/20	376.97	79.05 W	0.00	109 of 811 - Sale <sup>25</sup>
7.592		368.49	11/25/20	488.06	119.57 W	0.00	110 of 811 - Sale <sup>25</sup>
11.271		547.06	11/25/20	724.57	177.51 W	0.00	111 of 811 - Sale <sup>25</sup>
0.792		38.46	11/25/20	50.18	11.72 W	0.00	112 of 811 - Sale <sup>25</sup>
1.000		48.54	11/25/20	63.32	14.78 W	0.00	113 of 811 - Sale <sup>25</sup>
4.449		215.96	11/25/20	282.74	66.78 W	0.00	114 of 811 - Sale <sup>25</sup>
4.894		237.54	11/25/20	309.92	72.38 W	0.00	115 of 811 - Sale <sup>25</sup>
5.106		247.85	11/25/20	323.37	75.52 W	0.00	116 of 811 - Sale <sup>25</sup>
14.652		711.20	11/25/20	931.11	219.91 W	0.00	117 of 811 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
28.000		1,359.08	11/25/20	1,773.00	413.92 W	0.00	118 of 811 - Sale <sup>25</sup>
6.111		296.62	11/25/20	407.99	111.37 W	0.00	119 of 811 - Sale <sup>25</sup>
3.889		188.77	11/25/20	243.40	54.63 W	0.00	120 of 811 - Sale <sup>25</sup>
1.031		50.06	11/25/20	64.80	14.74 W	0.00	121 of 811 - Sale <sup>25</sup>
6.271		304.37	11/25/20	393.90	89.53 W	0.00	122 of 811 - Sale <sup>25</sup>
12.698		616.34	11/25/20	797.66	181.32 W	0.00	123 of 811 - Sale <sup>25</sup>
2.000		97.08	11/30/20	121.46	24.38 W	0.00	124 of 811 - Sale <sup>25</sup>
4.973		241.40	12/01/20	302.87	61.47 W	0.00	125 of 811 - Sale <sup>25</sup>
10.138		492.07	12/01/20	617.38	125.31 W	0.00	126 of 811 - Sale <sup>25</sup>
11.587		562.42	12/01/20	701.28	138.86 W	0.00	127 of 811 - Sale <sup>25</sup>
4.302		208.81	12/01/20	260.38	51.57 W	0.00	128 of 811 - Sale <sup>25</sup>
17.000		825.16	12/01/20	1,028.91	203.75 W	0.00	129 of 811 - Sale <sup>25</sup>
4.725		229.33	12/01/20	296.97	67.64 W	0.00	130 of 811 - Sale <sup>25</sup>
7.302		354.43	12/01/20	458.96	104.53 W	0.00	131 of 811 - Sale <sup>25</sup>
8.729		423.71	12/01/20	528.34	104.63 W	0.00	132 of 811 - Sale <sup>25</sup>
14.244		691.38	12/01/20	873.52	182.14 W	0.00	133 of 811 - Sale <sup>25</sup>
0.271		13.13	12/01/20	17.16	4.03 W	0.00	134 of 811 - Sale <sup>25</sup>
3.729		181.02	12/01/20	233.59	52.57 W	0.00	135 of 811 - Sale <sup>25</sup>
1.005		48.77	12/01/20	63.59	14.82 W	0.00	136 of 811 - Sale <sup>25</sup>
1.111		53.93	12/01/20	69.55	15.62 W	0.00	137 of 811 - Sale <sup>25</sup>
1.449		70.35	12/01/20	91.55	21.20 W	0.00	138 of 811 - Sale <sup>25</sup>
2.862		138.93	12/01/20	180.93	42.00 W	0.00	139 of 811 - Sale <sup>25</sup>
4.000		194.16	12/01/20	250.46	56.30 W	0.00	140 of 811 - Sale <sup>25</sup>
6.133		297.68	12/01/20	384.61	86.93 W	0.00	141 of 811 - Sale <sup>25</sup>
6.133		297.68	12/01/20	383.99	86.31 W	0.00	142 of 811 - Sale <sup>25</sup>
6.894		334.62	12/01/20	432.32	97.70 W	0.00	143 of 811 - Sale <sup>25</sup>
9.413		456.90	12/01/20	594.52	137.62 W	0.00	144 of 811 - Sale <sup>25</sup>
1.546		74.20	12/01/20	94.14	19.94 W	0.00	145 of 811 - Sale <sup>25</sup>
2.652		127.30	12/01/20	161.51	34.21 W	0.00	146 of 811 - Sale <sup>25</sup>
3.862		185.39	12/01/20	235.21	49.82 W	0.00	147 of 811 - Sale <sup>25</sup>
4.867		233.61	12/01/20	296.95	63.34 W	0.00	148 of 811 - Sale <sup>25</sup>
5.348		256.69	12/01/20	325.67	68.98 W	0.00	149 of 811 - Sale <sup>25</sup>
8.862		425.38	12/01/20	539.69	114.31 W	0.00	150 of 811 - Sale <sup>25</sup>
10.862		521.38	12/01/20	661.50	140.12 W	0.00	151 of 811 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
0.688		33.04	12/01/20	40.79	7.75 W	0.00	152 of 811 - Sale <sup>25</sup>
3.795		182.14	12/01/20	224.76	42.62 W	0.00	153 of 811 - Sale <sup>25</sup>
0.932		44.75	12/01/20	51.93	7.18 W	0.00	154 of 811 - Sale <sup>25</sup>
2.000		96.00	12/01/20	111.38	15.38 W	0.00	155 of 811 - Sale <sup>25</sup>
3.449		165.56	12/01/20	192.10	26.54 W	0.00	156 of 811 - Sale <sup>25</sup>
4.862		233.39	12/01/20	270.81	37.42 W	0.00	157 of 811 - Sale <sup>25</sup>
6.517		312.80	12/01/20	362.96	50.16 W	0.00	158 of 811 - Sale <sup>25</sup>
25.756		1,236.26	12/01/20	1,405.94	169.68 W	0.00	159 of 811 - Sale <sup>25</sup>
14.000		671.98	12/01/20	764.22	92.24 W	0.00	160 of 811 - Sale <sup>25</sup>
0.242		11.60	12/01/20	14.70	3.10 W	0.00	161 of 811 - Sale <sup>25</sup>
0.138		6.61	12/01/20	8.47	1.86 W	0.00	162 of 811 - Sale <sup>25</sup>
0.379		18.21	12/01/20	23.27	5.06 W	0.00	163 of 811 - Sale <sup>25</sup>
1.138		54.62	12/01/20	76.63	22.01 W	0.00	164 of 811 - Sale <sup>25</sup>
3.485		167.33	12/01/20	234.77	67.44 W	0.00	165 of 811 - Sale <sup>25</sup>
5.831		279.93	12/01/20	392.77	112.84 W	0.00	166 of 811 - Sale <sup>25</sup>
10.242		491.68	12/01/20	653.45	161.77 W	0.00	167 of 811 - Sale <sup>25</sup>
3.715		178.36	12/01/20	244.56	66.20 W	0.00	168 of 811 - Sale <sup>25</sup>
3.831		183.92	12/01/20	233.37	49.45 W	0.00	169 of 811 - Sale <sup>25</sup>
21.000		1,008.18	12/01/20	1,146.34	138.16 W	0.00	170 of 811 - Sale <sup>25</sup>
0.688		33.05	12/01/20	45.31	12.26 W	0.00	171 of 811 - Sale <sup>25</sup>
0.720		34.56	12/01/20	47.34	12.78 W	0.00	172 of 811 - Sale <sup>25</sup>
4.908		235.63	12/01/20	323.09	87.46 W	0.00	173 of 811 - Sale <sup>25</sup>
5.138		246.65	12/01/20	338.20	91.55 W	0.00	174 of 811 - Sale <sup>25</sup>
38.546		1,850.55	12/01/20	2,375.04	524.49 W	0.00	175 of 811 - Sale <sup>25</sup>
1.138		54.61	12/01/20	70.08	15.47 W	0.00	176 of 811 - Sale <sup>25</sup>
1.408		67.60	12/01/20	86.53	18.93 W	0.00	177 of 811 - Sale <sup>25</sup>
2.000		96.00	12/01/20	122.90	26.90 W	0.00	178 of 811 - Sale <sup>25</sup>
0.454		21.79	12/01/20	27.98	6.19 W	0.00	179 of 811 - Sale <sup>25</sup>
1.000		48.00	12/01/20	61.46	13.46 W	0.00	180 of 811 - Sale <sup>25</sup>
1.316		63.18	12/01/20	80.88	17.70 W	0.00	181 of 811 - Sale <sup>25</sup>
4.831		231.88	12/01/20	296.97	65.09 W	0.00	182 of 811 - Sale <sup>25</sup>
5.000		240.00	12/01/20	307.39	67.39 W	0.00	183 of 811 - Sale <sup>25</sup>
7.546		362.19	12/01/20	463.84	101.65 W	0.00	184 of 811 - Sale <sup>25</sup>
11.307		542.71	12/01/20	695.08	152.37 W	0.00	185 of 811 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
14.000		671.98	12/01/20	860.65	188.67 W	0.00	186 of 811 - Sale <sup>25</sup>
0.454		21.79	12/01/20	27.88	6.09 W	0.00	187 of 811 - Sale <sup>25</sup>
0.546		26.21	12/01/20	34.35	8.14 W	0.00	188 of 811 - Sale <sup>25</sup>
0.063		3.02	12/01/20	4.07	1.05 W	0.00	189 of 811 - Sale <sup>25</sup>
1.000		48.00	12/01/20	66.25	18.25 W	0.00	190 of 811 - Sale <sup>25</sup>
1.000		48.00	12/01/20	66.16	18.16 W	0.00	191 of 811 - Sale <sup>25</sup>
1.174		56.35	12/01/20	75.93	19.58 W	0.00	192 of 811 - Sale <sup>25</sup>
3.454		165.79	12/01/20	217.29	51.50 W	0.00	193 of 811 - Sale <sup>25</sup>
4.000		192.00	12/01/20	251.57	59.57 W	0.00	194 of 811 - Sale <sup>25</sup>
4.688		225.04	12/01/20	303.27	78.23 W	0.00	195 of 811 - Sale <sup>25</sup>
4.751		228.06	12/01/20	315.26	87.20 W	0.00	196 of 811 - Sale <sup>25</sup>
5.106		245.09	12/01/20	337.47	92.38 W	0.00	197 of 811 - Sale <sup>25</sup>
5.249		251.93	12/01/20	339.00	87.07 W	0.00	198 of 811 - Sale <sup>25</sup>
5.964		286.25	12/01/20	385.23	98.98 W	0.00	199 of 811 - Sale <sup>25</sup>
6.111		293.32	12/01/20	405.92	112.60 W	0.00	200 of 811 - Sale <sup>25</sup>
8.138		390.60	12/01/20	525.75	135.15 W	0.00	201 of 811 - Sale <sup>25</sup>
11.138		534.60	12/01/20	739.03	204.43 W	0.00	202 of 811 - Sale <sup>25</sup>
35.275		1,693.17	12/01/20	2,282.84	589.67 W	0.00	203 of 811 - Sale <sup>25</sup>
1.031		49.51	12/01/20	64.46	14.95 W	0.00	204 of 811 - Sale <sup>25</sup>
3.889		186.67	12/01/20	242.08	55.41 W	0.00	205 of 811 - Sale <sup>25</sup>
5.271		252.98	12/01/20	329.29	76.31 W	0.00	206 of 811 - Sale <sup>25</sup>
12.698		609.49	12/01/20	793.36	183.87 W	0.00	207 of 811 - Sale <sup>25</sup>
1.000		48.00	12/01/20	62.79	14.79 W	0.00	208 of 811 - Sale <sup>25</sup>
1.515		72.70	12/01/20	95.24	22.54 W	0.00	209 of 811 - Sale <sup>25</sup>
2.413		115.82	12/01/20	151.97	36.15 W	0.00	210 of 811 - Sale <sup>25</sup>
3.483		167.18	12/01/20	217.56	50.38 W	0.00	211 of 811 - Sale <sup>25</sup>
3.517		168.81	12/01/20	221.15	52.34 W	0.00	212 of 811 - Sale <sup>25</sup>
3.831		183.88	12/01/20	240.87	56.99 W	0.00	213 of 811 - Sale <sup>25</sup>
7.000		335.99	12/01/20	440.14	104.15 W	0.00	214 of 811 - Sale <sup>25</sup>
7.756		372.28	12/01/20	488.46	116.18 W	0.00	215 of 811 - Sale <sup>25</sup>
12.000		575.99	12/01/20	749.48	173.49 W	0.00	216 of 811 - Sale <sup>25</sup>
0.454		21.79	12/01/20	27.79	6.00 W	0.00	217 of 811 - Sale <sup>25</sup>
1.000		48.00	12/01/20	57.94	9.94 W	0.00	218 of 811 - Sale <sup>25</sup>
1.138		54.61	12/01/20	72.48	17.87 W	0.00	219 of 811 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
6.138		294.60	12/01/20	374.89	80.29 W	0.00	220 of 811 - Sale <sup>25</sup>
7.592		364.39	12/01/20	485.49	121.10 W	0.00	221 of 811 - Sale <sup>25</sup>
11.271		540.97	12/01/20	720.75	179.78 W	0.00	222 of 811 - Sale <sup>25</sup>
0.792		38.03	12/01/20	49.91	11.88 W	0.00	223 of 811 - Sale <sup>25</sup>
1.000		48.00	12/01/20	62.98	14.98 W	0.00	224 of 811 - Sale <sup>25</sup>
4.449		213.56	12/01/20	281.23	67.67 W	0.00	225 of 811 - Sale <sup>25</sup>
4.894		234.89	12/01/20	308.26	73.37 W	0.00	226 of 811 - Sale <sup>25</sup>
5.106		245.09	12/01/20	321.64	76.55 W	0.00	227 of 811 - Sale <sup>25</sup>
14.652		703.29	12/01/20	926.15	222.86 W	0.00	228 of 811 - Sale <sup>25</sup>
28.000		1,343.97	12/01/20	1,763.52	419.55 W	0.00	229 of 811 - Sale <sup>25</sup>
1.000		48.00	12/01/20	62.48	14.48 W	0.00	230 of 811 - Sale <sup>25</sup>
2.000		96.00	12/01/20	120.78	24.78 W	0.00	231 of 811 - Sale <sup>25</sup>
0.271		12.99	12/01/20	17.07	4.08 W	0.00	232 of 811 - Sale <sup>25</sup>
1.005		48.23	12/01/20	63.25	15.02 W	0.00	233 of 811 - Sale <sup>25</sup>
1.449		69.56	12/01/20	91.06	21.50 W	0.00	234 of 811 - Sale <sup>25</sup>
2.862		137.39	12/01/20	179.96	42.57 W	0.00	235 of 811 - Sale <sup>25</sup>
3.729		179.01	12/01/20	232.32	53.31 W	0.00	236 of 811 - Sale <sup>25</sup>
4.302		206.49	12/01/20	258.93	52.44 W	0.00	237 of 811 - Sale <sup>25</sup>
4.684		224.81	12/01/20	294.23	69.42 W	0.00	238 of 811 - Sale <sup>25</sup>
4.725		226.78	12/01/20	295.37	68.59 W	0.00	239 of 811 - Sale <sup>25</sup>
4.973		238.71	12/01/20	301.18	62.47 W	0.00	240 of 811 - Sale <sup>25</sup>
7.302		350.49	12/01/20	456.49	106.00 W	0.00	241 of 811 - Sale <sup>25</sup>
8.729		419.00	12/01/20	525.39	106.39 W	0.00	242 of 811 - Sale <sup>25</sup>
10.138		486.60	12/01/20	613.95	127.35 W	0.00	243 of 811 - Sale <sup>25</sup>
11.587		556.16	12/01/20	697.35	141.19 W	0.00	244 of 811 - Sale <sup>25</sup>
14.244		683.69	12/01/20	868.70	185.01 W	0.00	245 of 811 - Sale <sup>25</sup>
17.000		815.98	12/01/20	1,023.15	207.17 W	0.00	246 of 811 - Sale <sup>25</sup>
0.242		11.59	12/01/20	14.69	3.10 W	0.00	247 of 811 - Sale <sup>25</sup>
0.138		6.61	12/01/20	8.47	1.86 W	0.00	248 of 811 - Sale <sup>25</sup>
0.379		18.20	12/01/20	23.26	5.06 W	0.00	249 of 811 - Sale <sup>25</sup>
1.138		54.61	12/01/20	76.62	22.01 W	0.00	250 of 811 - Sale <sup>25</sup>
3.485		167.30	12/01/20	234.74	67.44 W	0.00	251 of 811 - Sale <sup>25</sup>
5.831		279.87	12/01/20	392.72	112.85 W	0.00	252 of 811 - Sale <sup>25</sup>
10.242		491.58	12/01/20	653.37	161.79 W	0.00	253 of 811 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.454	21.79	12/01/20	27.98	6.19 W	0.00	254 of 811 - Sale <sup>25</sup>
	0.688	33.04	12/01/20	45.30	12.26 W	0.00	255 of 811 - Sale <sup>25</sup>
	0.720	34.55	12/01/20	47.33	12.78 W	0.00	256 of 811 - Sale <sup>25</sup>
	1.138	54.61	12/01/20	70.08	15.47 W	0.00	257 of 811 - Sale <sup>25</sup>
	1.316	63.18	12/01/20	80.89	17.71 W	0.00	258 of 811 - Sale <sup>25</sup>
	1.408	67.60	12/01/20	86.53	18.93 W	0.00	259 of 811 - Sale <sup>25</sup>
	2.000	96.00	12/01/20	122.90	26.90 W	0.00	260 of 811 - Sale <sup>25</sup>
	3.377	162.08	12/01/20	207.60	45.52 W	0.00	261 of 811 - Sale <sup>25</sup>
	3.715	178.32	12/01/20	244.52	66.20 W	0.00	262 of 811 - Sale <sup>25</sup>
	3.831	183.88	12/01/20	233.33	49.45 W	0.00	263 of 811 - Sale <sup>25</sup>
	4.908	235.58	12/01/20	323.05	87.47 W	0.00	264 of 811 - Sale <sup>25</sup>
	5.138	246.60	12/01/20	338.16	91.56 W	0.00	265 of 811 - Sale <sup>25</sup>
	11.307	542.71	12/01/20	695.10	152.39 W	0.00	266 of 811 - Sale <sup>25</sup>
	38.546	1,850.16	12/01/20	2,374.71	524.55 W	0.00	267 of 811 - Sale <sup>25</sup>
	1.111	53.33	12/01/20	68.95	15.62 W	0.00	268 of 811 - Sale <sup>25</sup>
	2.408	115.59	12/01/20	146.66	31.07 W	0.00	269 of 811 - Sale <sup>25</sup>
	3.862	185.39	12/01/20	235.21	49.82 W	0.00	270 of 811 - Sale <sup>25</sup>
	4.000	192.00	12/01/20	248.30	56.30 W	0.00	271 of 811 - Sale <sup>25</sup>
	4.729	227.01	12/01/20	296.15	69.14 W	0.00	272 of 811 - Sale <sup>25</sup>
	4.867	233.61	12/01/20	296.96	63.35 W	0.00	273 of 811 - Sale <sup>25</sup>
	6.133	294.37	12/01/20	381.31	86.94 W	0.00	274 of 811 - Sale <sup>25</sup>
	6.133	294.37	12/01/20	380.69	86.32 W	0.00	275 of 811 - Sale <sup>25</sup>
	6.894	330.89	12/01/20	428.60	97.71 W	0.00	276 of 811 - Sale <sup>25</sup>
	10.862	521.38	12/01/20	661.51	140.13 W	0.00	277 of 811 - Sale <sup>25</sup>
	1.546	74.20	12/01/20	94.15	19.95 W	0.00	278 of 811 - Sale <sup>25</sup>
	0.244	11.71	12/01/20	14.86	3.15 W	0.00	279 of 811 - Sale <sup>25</sup>
	5.348	256.69	12/01/20	325.67	68.98 W	0.00	280 of 811 - Sale <sup>25</sup>
	8.862	425.38	12/01/20	539.70	114.32 W	0.00	281 of 811 - Sale <sup>25</sup>
	0.688	33.04	12/01/20	40.79	7.75 W	0.00	282 of 811 - Sale <sup>25</sup>
	3.795	182.14	12/01/20	224.76	42.62 W	0.00	283 of 811 - Sale <sup>25</sup>
	1.000	48.00	12/01/20	55.69	7.69 W	0.00	284 of 811 - Sale <sup>25</sup>
	6.517	312.81	12/01/20	362.97	50.16 W	0.00	285 of 811 - Sale <sup>25</sup>
	0.932	44.75	12/01/20	51.93	7.18 W	0.00	286 of 811 - Sale <sup>25</sup>
	1.000	48.00	12/01/20	55.69	7.69 W	0.00	287 of 811 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	3.449	165.56	12/01/20	192.10	26.54 W	0.00	288 of 811 - Sale <sup>25</sup>
	4.862	233.38	12/01/20	270.81	37.43 W	0.00	289 of 811 - Sale <sup>25</sup>
	9.756	468.28	12/01/20	532.56	64.28 W	0.00	290 of 811 - Sale <sup>25</sup>
	10.000	480.00	12/01/20	545.88	65.88 W	0.00	291 of 811 - Sale <sup>25</sup>
	14.000	671.98	12/01/20	764.24	92.26 W	0.00	292 of 811 - Sale <sup>25</sup>
	21.000	1,007.98	12/01/20	1,146.17	138.19 W	0.00	293 of 811 - Sale <sup>25</sup>
	6.000	287.99	12/01/20	327.53	39.54 W	0.00	294 of 811 - Sale <sup>25</sup>
	0.063	3.02	12/01/20	4.06	1.04 W	0.00	295 of 811 - Sale <sup>25</sup>
	0.454	21.79	12/01/20	27.82	6.03 W	0.00	296 of 811 - Sale <sup>25</sup>
	0.546	26.21	12/01/20	34.26	8.05 W	0.00	297 of 811 - Sale <sup>25</sup>
	1.000	48.00	12/01/20	61.31	13.31 W	0.00	298 of 811 - Sale <sup>25</sup>
	1.174	56.35	12/01/20	75.75	19.40 W	0.00	299 of 811 - Sale <sup>25</sup>
	3.454	165.79	12/01/20	216.78	50.99 W	0.00	300 of 811 - Sale <sup>25</sup>
	4.000	191.99	12/01/20	250.97	58.98 W	0.00	301 of 811 - Sale <sup>25</sup>
	4.688	225.04	12/01/20	302.57	77.53 W	0.00	302 of 811 - Sale <sup>25</sup>
	4.831	231.88	12/01/20	296.25	64.37 W	0.00	303 of 811 - Sale <sup>25</sup>
	5.000	239.99	12/01/20	306.64	66.65 W	0.00	304 of 811 - Sale <sup>25</sup>
	5.249	251.93	12/01/20	338.22	86.29 W	0.00	305 of 811 - Sale <sup>25</sup>
	2.234	107.25	12/01/20	144.00	36.75 W	0.00	306 of 811 - Sale <sup>25</sup>
	7.546	362.20	12/01/20	462.72	100.52 W	0.00	307 of 811 - Sale <sup>25</sup>
	8.138	390.59	12/01/20	524.54	133.95 W	0.00	308 of 811 - Sale <sup>25</sup>
	10.623	509.90	12/01/20	651.48	141.58 W	0.00	309 of 811 - Sale <sup>25</sup>
	0.995	47.77	12/01/20	65.63	17.86 W	0.00	310 of 811 - Sale <sup>25</sup>
	1.000	48.00	12/01/20	66.10	18.10 W	0.00	311 of 811 - Sale <sup>25</sup>
	3.729	179.01	12/01/20	240.35	61.34 W	0.00	312 of 811 - Sale <sup>25</sup>
	35.275	1,693.17	12/01/20	2,277.59	584.42 W	0.00	313 of 811 - Sale <sup>25</sup>
	1.000	48.00	12/01/20	66.01	18.01 W	0.00	314 of 811 - Sale <sup>25</sup>
	4.000	191.99	12/01/20	265.10	73.11 W	0.00	315 of 811 - Sale <sup>25</sup>
	4.111	197.32	12/01/20	271.08	73.76 W	0.00	316 of 811 - Sale <sup>25</sup>
	4.751	228.06	12/01/20	314.55	86.49 W	0.00	317 of 811 - Sale <sup>25</sup>
	11.138	534.59	12/01/20	737.37	202.78 W	0.00	318 of 811 - Sale <sup>25</sup>
	2.111	101.33	12/01/20	139.65	38.32 W	0.00	319 of 811 - Sale <sup>25</sup>
	1.889	90.67	12/01/20	117.07	26.40 W	0.00	320 of 811 - Sale <sup>25</sup>
	1.000	48.00	12/01/20	61.98	13.98 W	0.00	321 of 811 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.000	47.10	12/01/20	61.97	14.87 W	0.00	322 of 811 - Sale <sup>25</sup>
	4.000	188.40	12/01/20	248.83	60.43 W	0.00	323 of 811 - Sale <sup>25</sup>
	1.000	47.10	12/01/20	61.63	14.53 W	0.00	324 of 811 - Sale <sup>25</sup>
	1.031	48.58	12/01/20	63.58	15.00 W	0.00	325 of 811 - Sale <sup>25</sup>
	5.271	248.24	12/01/20	324.82	76.58 W	0.00	326 of 811 - Sale <sup>25</sup>
	8.698	409.66	12/01/20	536.06	126.40 W	0.00	327 of 811 - Sale <sup>25</sup>
	1.000	47.10	12/01/20	61.94	14.84 W	0.00	328 of 811 - Sale <sup>25</sup>
	1.515	71.33	12/01/20	93.95	22.62 W	0.00	329 of 811 - Sale <sup>25</sup>
	3.483	164.05	12/01/20	214.61	50.56 W	0.00	330 of 811 - Sale <sup>25</sup>
	3.517	165.64	12/01/20	218.16	52.52 W	0.00	331 of 811 - Sale <sup>25</sup>
	0.485	22.87	12/01/20	30.11	7.24 W	0.00	332 of 811 - Sale <sup>25</sup>
	7.000	329.69	12/01/20	434.20	104.51 W	0.00	333 of 811 - Sale <sup>25</sup>
	12.000	565.18	12/01/20	739.29	174.11 W	0.00	334 of 811 - Sale <sup>25</sup>
	2.413	113.65	12/01/20	149.93	36.28 W	0.00	335 of 811 - Sale <sup>25</sup>
	3.345	157.57	12/01/20	207.51	49.94 W	0.00	336 of 811 - Sale <sup>25</sup>
	3.242	152.68	12/01/20	201.40	48.72 W	0.00	337 of 811 - Sale <sup>25</sup>
	4.515	212.63	12/01/20	280.48	67.85 W	0.00	338 of 811 - Sale <sup>25</sup>
	2.000	94.20	12/01/20	119.08	24.88 W	0.00	339 of 811 - Sale <sup>25</sup>
	0.454	21.38	12/01/20	27.41	6.03 W	0.00	340 of 811 - Sale <sup>25</sup>
	1.000	47.10	12/01/20	57.09	9.99 W	0.00	341 of 811 - Sale <sup>25</sup>
	3.000	141.29	12/01/20	179.13	37.84 W	0.00	342 of 811 - Sale <sup>25</sup>
	3.031	142.78	12/01/20	182.59	39.81 W	0.00	343 of 811 - Sale <sup>25</sup>
	1.138	53.58	12/01/20	71.51	17.93 W	0.00	344 of 811 - Sale <sup>25</sup>
	3.106	146.30	12/01/20	187.09	40.79 W	0.00	345 of 811 - Sale <sup>25</sup>
	7.592	357.56	12/01/20	479.05	121.49 W	0.00	346 of 811 - Sale <sup>25</sup>
	11.271	530.83	12/01/20	711.19	180.36 W	0.00	347 of 811 - Sale <sup>25</sup>
	0.792	37.32	12/01/20	49.24	11.92 W	0.00	348 of 811 - Sale <sup>25</sup>
	4.449	209.56	12/01/20	277.45	67.89 W	0.00	349 of 811 - Sale <sup>25</sup>
	0.894	42.09	12/01/20	55.54	13.45 W	0.00	350 of 811 - Sale <sup>25</sup>
	5.106	240.50	12/01/20	317.31	76.81 W	0.00	351 of 811 - Sale <sup>25</sup>
	14.652	690.10	12/01/20	913.71	223.61 W	0.00	352 of 811 - Sale <sup>25</sup>
	1.000	47.10	12/01/20	62.13	15.03 W	0.00	353 of 811 - Sale <sup>25</sup>
	4.000	188.40	12/01/20	248.57	60.17 W	0.00	354 of 811 - Sale <sup>25</sup>
	28.000	1,318.77	12/01/20	1,739.74	420.97 W	0.00	355 of 811 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
0.242		11.38	12/01/20	14.45	3.07 W	0.00	356 of 811 - Sale <sup>25</sup>
0.138		6.48	12/01/20	8.33	1.85 W	0.00	357 of 811 - Sale <sup>25</sup>
0.379		17.86	12/01/20	22.88	5.02 W	0.00	358 of 811 - Sale <sup>25</sup>
1.138		53.58	12/01/20	75.48	21.90 W	0.00	359 of 811 - Sale <sup>25</sup>
3.485		164.16	12/01/20	231.26	67.10 W	0.00	360 of 811 - Sale <sup>25</sup>
5.831		274.63	12/01/20	386.90	112.27 W	0.00	361 of 811 - Sale <sup>25</sup>
10.242		482.36	12/01/20	643.14	160.78 W	0.00	362 of 811 - Sale <sup>25</sup>
0.454		21.38	12/01/20	27.53	6.15 W	0.00	363 of 811 - Sale <sup>25</sup>
0.688		32.42	12/01/20	44.62	12.20 W	0.00	364 of 811 - Sale <sup>25</sup>
0.720		33.90	12/01/20	46.61	12.71 W	0.00	365 of 811 - Sale <sup>25</sup>
1.138		53.58	12/01/20	68.94	15.36 W	0.00	366 of 811 - Sale <sup>25</sup>
1.316		62.00	12/01/20	79.58	17.58 W	0.00	367 of 811 - Sale <sup>25</sup>
1.408		66.33	12/01/20	85.12	18.79 W	0.00	368 of 811 - Sale <sup>25</sup>
2.000		94.20	12/01/20	120.90	26.70 W	0.00	369 of 811 - Sale <sup>25</sup>
3.377		159.05	12/01/20	204.23	45.18 W	0.00	370 of 811 - Sale <sup>25</sup>
3.715		174.98	12/01/20	240.81	65.83 W	0.00	371 of 811 - Sale <sup>25</sup>
3.831		180.43	12/01/20	229.50	49.07 W	0.00	372 of 811 - Sale <sup>25</sup>
4.908		231.16	12/01/20	318.15	86.99 W	0.00	373 of 811 - Sale <sup>25</sup>
5.138		241.98	12/01/20	333.03	91.05 W	0.00	374 of 811 - Sale <sup>25</sup>
11.307		532.54	12/01/20	683.81	151.27 W	0.00	375 of 811 - Sale <sup>25</sup>
38.546		1,815.47	12/01/20	2,336.21	520.74 W	0.00	376 of 811 - Sale <sup>25</sup>
0.244		11.49	12/01/20	14.61	3.12 W	0.00	377 of 811 - Sale <sup>25</sup>
0.271		12.74	12/01/20	16.80	4.06 W	0.00	378 of 811 - Sale <sup>25</sup>
1.005		47.32	12/01/20	62.24	14.92 W	0.00	379 of 811 - Sale <sup>25</sup>
1.111		52.33	12/01/20	67.84	15.51 W	0.00	380 of 811 - Sale <sup>25</sup>
1.449		68.26	12/01/20	89.62	21.36 W	0.00	381 of 811 - Sale <sup>25</sup>
1.973		92.94	12/01/20	117.54	24.60 W	0.00	382 of 811 - Sale <sup>25</sup>
2.408		113.43	12/01/20	144.26	30.83 W	0.00	383 of 811 - Sale <sup>25</sup>
2.862		134.81	12/01/20	177.10	42.29 W	0.00	384 of 811 - Sale <sup>25</sup>
3.729		175.65	12/01/20	228.59	52.94 W	0.00	385 of 811 - Sale <sup>25</sup>
3.862		181.91	12/01/20	231.35	49.44 W	0.00	386 of 811 - Sale <sup>25</sup>
4.000		188.40	12/01/20	244.30	55.90 W	0.00	387 of 811 - Sale <sup>25</sup>
4.302		202.62	12/01/20	254.64	52.02 W	0.00	388 of 811 - Sale <sup>25</sup>
4.684		220.59	12/01/20	289.55	68.96 W	0.00	389 of 811 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	4.725	222.52	12/01/20	290.65	68.13 W	0.00	390 of 811 - Sale <sup>25</sup>
	4.729	222.75	12/01/20	291.42	68.67 W	0.00	391 of 811 - Sale <sup>25</sup>
	4.756	224.01	12/01/20	284.89	60.88 W	0.00	392 of 811 - Sale <sup>25</sup>
	4.867	229.24	12/01/20	292.10	62.86 W	0.00	393 of 811 - Sale <sup>25</sup>
	6.133	288.85	12/01/20	375.19	86.34 W	0.00	394 of 811 - Sale <sup>25</sup>
	6.133	288.85	12/01/20	374.57	85.72 W	0.00	395 of 811 - Sale <sup>25</sup>
	6.894	324.69	12/01/20	421.72	97.03 W	0.00	396 of 811 - Sale <sup>25</sup>
	7.302	343.92	12/01/20	449.20	105.28 W	0.00	397 of 811 - Sale <sup>25</sup>
	8.729	411.15	12/01/20	516.67	105.52 W	0.00	398 of 811 - Sale <sup>25</sup>
	10.138	477.47	12/01/20	603.82	126.35 W	0.00	399 of 811 - Sale <sup>25</sup>
	10.862	511.60	12/01/20	650.65	139.05 W	0.00	400 of 811 - Sale <sup>25</sup>
	11.587	545.73	12/01/20	685.78	140.05 W	0.00	401 of 811 - Sale <sup>25</sup>
	14.244	670.87	12/01/20	854.47	183.60 W	0.00	402 of 811 - Sale <sup>25</sup>
	17.000	800.68	12/01/20	1,006.17	205.49 W	0.00	403 of 811 - Sale <sup>25</sup>
	0.063	2.96	12/01/20	4.01	1.05 W	0.00	404 of 811 - Sale <sup>25</sup>
	0.454	21.38	12/01/20	27.48	6.10 W	0.00	405 of 811 - Sale <sup>25</sup>
	0.546	25.71	12/01/20	33.85	8.14 W	0.00	406 of 811 - Sale <sup>25</sup>
	1.000	47.10	12/01/20	60.56	13.46 W	0.00	407 of 811 - Sale <sup>25</sup>
	1.174	55.29	12/01/20	74.87	19.58 W	0.00	408 of 811 - Sale <sup>25</sup>
	3.454	162.68	12/01/20	214.19	51.51 W	0.00	409 of 811 - Sale <sup>25</sup>
	4.000	188.40	12/01/20	247.98	59.58 W	0.00	410 of 811 - Sale <sup>25</sup>
	4.688	220.82	12/01/20	299.06	78.24 W	0.00	411 of 811 - Sale <sup>25</sup>
	4.831	227.53	12/01/20	292.63	65.10 W	0.00	412 of 811 - Sale <sup>25</sup>
	5.000	235.49	12/01/20	302.90	67.41 W	0.00	413 of 811 - Sale <sup>25</sup>
	5.249	247.21	12/01/20	334.29	87.08 W	0.00	414 of 811 - Sale <sup>25</sup>
	7.372	347.22	12/01/20	469.68	122.46 W	0.00	415 of 811 - Sale <sup>25</sup>
	7.546	355.41	12/01/20	457.07	101.66 W	0.00	416 of 811 - Sale <sup>25</sup>
	10.623	500.34	12/01/20	643.53	143.19 W	0.00	417 of 811 - Sale <sup>25</sup>
	0.592	27.87	12/01/20	35.59	7.72 W	0.00	418 of 811 - Sale <sup>25</sup>
	1.546	72.81	12/01/20	93.00	20.19 W	0.00	419 of 811 - Sale <sup>25</sup>
	8.862	417.41	12/01/20	533.06	115.65 W	0.00	420 of 811 - Sale <sup>25</sup>
	0.688	32.42	12/01/20	40.28	7.86 W	0.00	421 of 811 - Sale <sup>25</sup>
	3.795	178.72	12/01/20	221.92	43.20 W	0.00	422 of 811 - Sale <sup>25</sup>
	0.932	43.91	12/01/20	51.23	7.32 W	0.00	423 of 811 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.000	47.10	12/01/20	54.94	7.84 W	0.00	424 of 811 - Sale <sup>25</sup>
	1.000	47.10	12/01/20	54.94	7.84 W	0.00	425 of 811 - Sale <sup>25</sup>
	3.449	162.46	12/01/20	189.52	27.06 W	0.00	426 of 811 - Sale <sup>25</sup>
	4.862	229.01	12/01/20	267.17	38.16 W	0.00	427 of 811 - Sale <sup>25</sup>
	6.517	306.94	12/01/20	358.08	51.14 W	0.00	428 of 811 - Sale <sup>25</sup>
	5.756	271.11	12/01/20	309.90	38.79 W	0.00	429 of 811 - Sale <sup>25</sup>
	4.000	188.68	12/01/20	215.35	26.67 W	0.00	430 of 811 - Sale <sup>25</sup>
	6.000	283.02	12/01/20	323.03	40.01 W	0.00	431 of 811 - Sale <sup>25</sup>
	6.000	283.07	12/01/20	323.04	39.97 W	0.00	432 of 811 - Sale <sup>25</sup>
	4.000	188.72	12/01/20	215.35	26.63 W	0.00	433 of 811 - Sale <sup>25</sup>
	14.000	660.50	12/01/20	753.76	93.26 W	0.00	434 of 811 - Sale <sup>25</sup>
	21.000	990.75	12/01/20	1,130.44	139.69 W	0.00	435 of 811 - Sale <sup>25</sup>
	0.766	36.12	12/01/20	48.58	12.46 W	0.00	436 of 811 - Sale <sup>25</sup>
	0.889	41.94	12/01/20	57.97	16.03 W	0.00	437 of 811 - Sale <sup>25</sup>
	0.995	46.95	12/01/20	64.64	17.69 W	0.00	438 of 811 - Sale <sup>25</sup>
	1.000	47.18	12/01/20	65.10	17.92 W	0.00	439 of 811 - Sale <sup>25</sup>
	1.000	47.18	12/01/20	65.01	17.83 W	0.00	440 of 811 - Sale <sup>25</sup>
	2.234	105.42	12/01/20	141.77	36.35 W	0.00	441 of 811 - Sale <sup>25</sup>
	3.729	175.95	12/01/20	236.62	60.67 W	0.00	442 of 811 - Sale <sup>25</sup>
	4.111	193.95	12/01/20	266.98	73.03 W	0.00	443 of 811 - Sale <sup>25</sup>
	35.275	1,664.25	12/01/20	2,242.36	578.11 W	0.00	444 of 811 - Sale <sup>25</sup>
	2.111	99.60	12/01/20	137.54	37.94 W	0.00	445 of 811 - Sale <sup>25</sup>
	4.000	188.72	12/01/20	261.11	72.39 W	0.00	446 of 811 - Sale <sup>25</sup>
	4.751	224.16	12/01/20	309.80	85.64 W	0.00	447 of 811 - Sale <sup>25</sup>
	10.249	483.52	12/01/20	668.28	184.76 W	0.00	448 of 811 - Sale <sup>25</sup>
	1.000	47.18	12/01/20	60.98	13.80 W	0.00	449 of 811 - Sale <sup>25</sup>
	1.000	47.18	12/01/20	61.87	14.69 W	0.00	450 of 811 - Sale <sup>25</sup>
	1.000	47.18	12/01/20	61.53	14.35 W	0.00	451 of 811 - Sale <sup>25</sup>
	1.031	48.66	12/01/20	63.48	14.82 W	0.00	452 of 811 - Sale <sup>25</sup>
	1.889	89.12	12/01/20	115.18	26.06 W	0.00	453 of 811 - Sale <sup>25</sup>
	4.000	188.72	12/01/20	248.43	59.71 W	0.00	454 of 811 - Sale <sup>25</sup>
	5.271	248.66	12/01/20	324.30	75.64 W	0.00	455 of 811 - Sale <sup>25</sup>
	8.698	410.36	12/01/20	535.20	124.84 W	0.00	456 of 811 - Sale <sup>25</sup>
	0.485	22.90	12/01/20	30.06	7.16 W	0.00	457 of 811 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.000	47.18	12/01/20	61.84	14.66 W	0.00	458 of 811 - Sale <sup>25</sup>
	1.515	71.45	12/01/20	93.80	22.35 W	0.00	459 of 811 - Sale <sup>25</sup>
	2.413	113.85	12/01/20	149.69	35.84 W	0.00	460 of 811 - Sale <sup>25</sup>
	3.242	152.93	12/01/20	201.07	48.14 W	0.00	461 of 811 - Sale <sup>25</sup>
	3.345	157.83	12/01/20	207.17	49.34 W	0.00	462 of 811 - Sale <sup>25</sup>
	3.483	164.33	12/01/20	214.27	49.94 W	0.00	463 of 811 - Sale <sup>25</sup>
	3.517	165.92	12/01/20	217.81	51.89 W	0.00	464 of 811 - Sale <sup>25</sup>
	4.515	212.99	12/01/20	280.04	67.05 W	0.00	465 of 811 - Sale <sup>25</sup>
	7.000	330.25	12/01/20	433.51	103.26 W	0.00	466 of 811 - Sale <sup>25</sup>
	12.000	566.14	12/01/20	738.11	171.97 W	0.00	467 of 811 - Sale <sup>25</sup>
	2.000	94.36	12/01/20	118.88	24.52 W	0.00	468 of 811 - Sale <sup>25</sup>
	0.454	21.42	12/01/20	27.37	5.95 W	0.00	469 of 811 - Sale <sup>25</sup>
	1.000	47.18	12/01/20	56.99	9.81 W	0.00	470 of 811 - Sale <sup>25</sup>
	3.000	141.54	12/01/20	178.84	37.30 W	0.00	471 of 811 - Sale <sup>25</sup>
	3.000	141.54	12/01/20	180.39	38.85 W	0.00	472 of 811 - Sale <sup>25</sup>
	3.031	143.02	12/01/20	182.29	39.27 W	0.00	473 of 811 - Sale <sup>25</sup>
	0.242	11.40	12/01/20	14.37	2.97 W	0.00	474 of 811 - Sale <sup>25</sup>
	0.138	6.50	12/01/20	8.29	1.79 W	0.00	475 of 811 - Sale <sup>25</sup>
	0.379	17.89	12/01/20	22.77	4.88 W	0.00	476 of 811 - Sale <sup>25</sup>
	1.138	53.67	12/01/20	75.14	21.47 W	0.00	477 of 811 - Sale <sup>25</sup>
	3.485	164.44	12/01/20	230.22	65.78 W	0.00	478 of 811 - Sale <sup>25</sup>
	5.831	275.09	12/01/20	385.16	110.07 W	0.00	479 of 811 - Sale <sup>25</sup>
	10.242	483.18	12/01/20	640.09	156.91 W	0.00	480 of 811 - Sale <sup>25</sup>
	0.688	32.48	12/01/20	44.42	11.94 W	0.00	481 of 811 - Sale <sup>25</sup>
	0.720	33.96	12/01/20	46.40	12.44 W	0.00	482 of 811 - Sale <sup>25</sup>
	3.715	175.27	12/01/20	239.70	64.43 W	0.00	483 of 811 - Sale <sup>25</sup>
	3.831	180.74	12/01/20	228.36	47.62 W	0.00	484 of 811 - Sale <sup>25</sup>
	4.908	231.56	12/01/20	316.69	85.13 W	0.00	485 of 811 - Sale <sup>25</sup>
	5.138	242.39	12/01/20	331.49	89.10 W	0.00	486 of 811 - Sale <sup>25</sup>
	30.546	1,441.12	12/01/20	1,842.21	401.09 W	0.00	487 of 811 - Sale <sup>25</sup>
	0.106	5.01	12/01/20	6.37	1.36 W	0.00	488 of 811 - Sale <sup>25</sup>
	1.138	53.67	12/01/20	71.17	17.50 W	0.00	489 of 811 - Sale <sup>25</sup>
	7.592	358.17	12/01/20	476.78	118.61 W	0.00	490 of 811 - Sale <sup>25</sup>
	11.271	531.74	12/01/20	707.82	176.08 W	0.00	491 of 811 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
13.894		655.49	12/01/20	862.26	206.77 W	0.00	492 of 811 - Sale <sup>25</sup>
0.792		37.39	12/01/20	49.00	11.61 W	0.00	493 of 811 - Sale <sup>25</sup>
0.894		42.18	12/01/20	55.28	13.10 W	0.00	494 of 811 - Sale <sup>25</sup>
1.000		47.19	12/01/20	61.83	14.64 W	0.00	495 of 811 - Sale <sup>25</sup>
4.000		188.76	12/01/20	247.37	58.61 W	0.00	496 of 811 - Sale <sup>25</sup>
4.449		209.96	12/01/20	276.12	66.16 W	0.00	497 of 811 - Sale <sup>25</sup>
5.106		240.96	12/01/20	315.78	74.82 W	0.00	498 of 811 - Sale <sup>25</sup>
0.758		35.79	12/01/20	47.07	11.28 W	0.00	499 of 811 - Sale <sup>25</sup>
28.000		1,321.29	12/01/20	1,731.37	410.08 W	0.00	500 of 811 - Sale <sup>25</sup>
0.454		21.43	12/01/20	27.44	6.01 W	0.00	501 of 811 - Sale <sup>25</sup>
1.138		53.69	12/01/20	68.72	15.03 W	0.00	502 of 811 - Sale <sup>25</sup>
1.316		62.12	12/01/20	79.32	17.20 W	0.00	503 of 811 - Sale <sup>25</sup>
1.408		66.45	12/01/20	84.84	18.39 W	0.00	504 of 811 - Sale <sup>25</sup>
2.000		94.38	12/01/20	120.50	26.12 W	0.00	505 of 811 - Sale <sup>25</sup>
3.377		159.35	12/01/20	203.55	44.20 W	0.00	506 of 811 - Sale <sup>25</sup>
8.000		377.51	12/01/20	483.28	105.77 W	0.00	507 of 811 - Sale <sup>25</sup>
11.307		533.55	12/01/20	681.56	148.01 W	0.00	508 of 811 - Sale <sup>25</sup>
1.000		47.19	12/01/20	59.37	12.18 W	0.00	509 of 811 - Sale <sup>25</sup>
0.973		45.93	12/01/20	57.78	11.85 W	0.00	510 of 811 - Sale <sup>25</sup>
10.027		473.15	12/01/20	595.22	122.07 W	0.00	511 of 811 - Sale <sup>25</sup>
0.111		5.24	12/01/20	6.59	1.35 W	0.00	512 of 811 - Sale <sup>25</sup>
3.027		142.82	12/01/20	185.59	42.77 W	0.00	513 of 811 - Sale <sup>25</sup>
4.302		203.01	12/01/20	253.78	50.77 W	0.00	514 of 811 - Sale <sup>25</sup>
8.729		411.93	12/01/20	514.93	103.00 W	0.00	515 of 811 - Sale <sup>25</sup>
11.587		546.77	12/01/20	683.48	136.71 W	0.00	516 of 811 - Sale <sup>25</sup>
14.244		672.15	12/01/20	851.64	179.49 W	0.00	517 of 811 - Sale <sup>25</sup>
17.000		802.21	12/01/20	1,002.79	200.58 W	0.00	518 of 811 - Sale <sup>25</sup>
0.271		12.77	12/01/20	16.75	3.98 W	0.00	519 of 811 - Sale <sup>25</sup>
1.005		47.41	12/01/20	62.04	14.63 W	0.00	520 of 811 - Sale <sup>25</sup>
1.111		52.43	12/01/20	67.62	15.19 W	0.00	521 of 811 - Sale <sup>25</sup>
1.449		68.39	12/01/20	89.33	20.94 W	0.00	522 of 811 - Sale <sup>25</sup>
1.698		80.13	12/01/20	104.12	23.99 W	0.00	523 of 811 - Sale <sup>25</sup>
2.862		135.07	12/01/20	176.53	41.46 W	0.00	524 of 811 - Sale <sup>25</sup>
3.729		175.99	12/01/20	227.85	51.86 W	0.00	525 of 811 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	4.000	188.76	12/01/20	243.50	54.74 W	0.00	526 of 811 - Sale <sup>25</sup>
	4.133	195.03	12/01/20	251.60	56.57 W	0.00	527 of 811 - Sale <sup>25</sup>
	4.684	221.02	12/01/20	288.62	67.60 W	0.00	528 of 811 - Sale <sup>25</sup>
	4.729	223.18	12/01/20	290.48	67.30 W	0.00	529 of 811 - Sale <sup>25</sup>
	6.133	289.40	12/01/20	373.98	84.58 W	0.00	530 of 811 - Sale <sup>25</sup>
	6.894	325.31	12/01/20	420.35	95.04 W	0.00	531 of 811 - Sale <sup>25</sup>
	7.302	344.57	12/01/20	447.75	103.18 W	0.00	532 of 811 - Sale <sup>25</sup>
	0.242	11.40	12/01/20	14.44	3.04 W	0.00	533 of 811 - Sale <sup>25</sup>
	0.138	6.50	12/01/20	8.33	1.83 W	0.00	534 of 811 - Sale <sup>25</sup>
	0.379	17.90	12/01/20	22.89	4.99 W	0.00	535 of 811 - Sale <sup>25</sup>
	1.138	53.69	12/01/20	75.51	21.82 W	0.00	536 of 811 - Sale <sup>25</sup>
	3.485	164.48	12/01/20	231.34	66.86 W	0.00	537 of 811 - Sale <sup>25</sup>
	5.831	275.15	12/01/20	387.04	111.89 W	0.00	538 of 811 - Sale <sup>25</sup>
	10.242	483.29	12/01/20	643.39	160.10 W	0.00	539 of 811 - Sale <sup>25</sup>
	0.063	2.97	12/01/20	4.04	1.07 W	0.00	540 of 811 - Sale <sup>25</sup>
	0.454	21.43	12/01/20	27.67	6.24 W	0.00	541 of 811 - Sale <sup>25</sup>
	0.546	25.76	12/01/20	34.07	8.31 W	0.00	542 of 811 - Sale <sup>25</sup>
	0.688	32.49	12/01/20	44.64	12.15 W	0.00	543 of 811 - Sale <sup>25</sup>
	0.720	33.97	12/01/20	46.63	12.66 W	0.00	544 of 811 - Sale <sup>25</sup>
	0.766	36.13	12/01/20	48.83	12.70 W	0.00	545 of 811 - Sale <sup>25</sup>
	0.889	41.95	12/01/20	58.26	16.31 W	0.00	546 of 811 - Sale <sup>25</sup>
	0.995	46.96	12/01/20	64.96	18.00 W	0.00	547 of 811 - Sale <sup>25</sup>
	1.000	47.19	12/01/20	60.96	13.77 W	0.00	548 of 811 - Sale <sup>25</sup>
	1.000	47.19	12/01/20	65.42	18.23 W	0.00	549 of 811 - Sale <sup>25</sup>
	1.000	47.19	12/01/20	65.33	18.14 W	0.00	550 of 811 - Sale <sup>25</sup>
	1.174	55.39	12/01/20	75.34	19.95 W	0.00	551 of 811 - Sale <sup>25</sup>
	2.111	99.62	12/01/20	138.21	38.59 W	0.00	552 of 811 - Sale <sup>25</sup>
	2.234	105.44	12/01/20	142.48	37.04 W	0.00	553 of 811 - Sale <sup>25</sup>
	3.454	162.99	12/01/20	215.58	52.59 W	0.00	554 of 811 - Sale <sup>25</sup>
	3.715	175.31	12/01/20	240.90	65.59 W	0.00	555 of 811 - Sale <sup>25</sup>
	3.729	175.99	12/01/20	237.82	61.83 W	0.00	556 of 811 - Sale <sup>25</sup>
	3.831	180.77	12/01/20	229.59	48.82 W	0.00	557 of 811 - Sale <sup>25</sup>
	4.000	188.76	12/01/20	249.58	60.82 W	0.00	558 of 811 - Sale <sup>25</sup>
	4.000	188.75	12/01/20	262.39	73.64 W	0.00	559 of 811 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	4.111	193.99	12/01/20	268.30	74.31 W	0.00	560 of 811 - Sale <sup>25</sup>
	4.688	221.24	12/01/20	300.94	79.70 W	0.00	561 of 811 - Sale <sup>25</sup>
	4.751	224.21	12/01/20	311.33	87.12 W	0.00	562 of 811 - Sale <sup>25</sup>
	4.831	227.96	12/01/20	294.57	66.61 W	0.00	563 of 811 - Sale <sup>25</sup>
	4.908	231.61	12/01/20	318.26	86.65 W	0.00	564 of 811 - Sale <sup>25</sup>
	5.000	235.94	12/01/20	304.91	68.97 W	0.00	565 of 811 - Sale <sup>25</sup>
	5.138	242.44	12/01/20	333.14	90.70 W	0.00	566 of 811 - Sale <sup>25</sup>
	5.249	247.68	12/01/20	336.39	88.71 W	0.00	567 of 811 - Sale <sup>25</sup>
	7.372	347.88	12/01/20	472.63	124.75 W	0.00	568 of 811 - Sale <sup>25</sup>
	7.546	356.08	12/01/20	460.09	104.01 W	0.00	569 of 811 - Sale <sup>25</sup>
	10.249	483.62	12/01/20	671.57	187.95 W	0.00	570 of 811 - Sale <sup>25</sup>
	10.623	501.29	12/01/20	647.79	146.50 W	0.00	571 of 811 - Sale <sup>25</sup>
	20.546	969.54	12/01/20	1,245.72	276.18 W	0.00	572 of 811 - Sale <sup>25</sup>
	35.275	1,664.60	12/01/20	2,253.69	589.09 W	0.00	573 of 811 - Sale <sup>25</sup>
	1.000	47.19	12/01/20	61.30	14.11 W	0.00	574 of 811 - Sale <sup>25</sup>
	1.000	47.19	12/01/20	62.19	15.00 W	0.00	575 of 811 - Sale <sup>25</sup>
	1.000	47.19	12/01/20	61.85	14.66 W	0.00	576 of 811 - Sale <sup>25</sup>
	1.031	48.67	12/01/20	63.81	15.14 W	0.00	577 of 811 - Sale <sup>25</sup>
	1.889	89.14	12/01/20	115.79	26.65 W	0.00	578 of 811 - Sale <sup>25</sup>
	4.000	188.75	12/01/20	249.71	60.96 W	0.00	579 of 811 - Sale <sup>25</sup>
	5.271	248.71	12/01/20	325.99	77.28 W	0.00	580 of 811 - Sale <sup>25</sup>
	8.698	410.45	12/01/20	537.99	127.54 W	0.00	581 of 811 - Sale <sup>25</sup>
	0.485	22.91	12/01/20	30.22	7.31 W	0.00	582 of 811 - Sale <sup>25</sup>
	1.000	47.19	12/01/20	62.16	14.97 W	0.00	583 of 811 - Sale <sup>25</sup>
	1.515	71.47	12/01/20	94.29	22.82 W	0.00	584 of 811 - Sale <sup>25</sup>
	2.413	113.87	12/01/20	150.46	36.59 W	0.00	585 of 811 - Sale <sup>25</sup>
	3.242	152.96	12/01/20	202.11	49.15 W	0.00	586 of 811 - Sale <sup>25</sup>
	3.345	157.87	12/01/20	208.25	50.38 W	0.00	587 of 811 - Sale <sup>25</sup>
	3.483	164.36	12/01/20	215.39	51.03 W	0.00	588 of 811 - Sale <sup>25</sup>
	3.517	165.96	12/01/20	218.94	52.98 W	0.00	589 of 811 - Sale <sup>25</sup>
	4.515	213.03	12/01/20	281.49	68.46 W	0.00	590 of 811 - Sale <sup>25</sup>
	7.000	330.32	12/01/20	435.76	105.44 W	0.00	591 of 811 - Sale <sup>25</sup>
	12.000	566.26	12/01/20	741.97	175.71 W	0.00	592 of 811 - Sale <sup>25</sup>
	2.000	94.38	12/01/20	119.52	25.14 W	0.00	593 of 811 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.244	11.51	12/01/20	14.71	3.20 W	0.00	594 of 811 - Sale <sup>25</sup>
	0.454	21.43	12/01/20	27.52	6.09 W	0.00	595 of 811 - Sale <sup>25</sup>
	0.592	27.92	12/01/20	35.83	7.91 W	0.00	596 of 811 - Sale <sup>25</sup>
	1.000	47.19	12/01/20	57.31	10.12 W	0.00	597 of 811 - Sale <sup>25</sup>
	1.546	72.95	12/01/20	93.62	20.67 W	0.00	598 of 811 - Sale <sup>25</sup>
	2.000	94.38	12/01/20	122.95	28.57 W	0.00	599 of 811 - Sale <sup>25</sup>
	2.408	113.64	12/01/20	145.22	31.58 W	0.00	600 of 811 - Sale <sup>25</sup>
	3.000	141.57	12/01/20	179.80	38.23 W	0.00	601 of 811 - Sale <sup>25</sup>
	3.862	182.26	12/01/20	232.90	50.64 W	0.00	602 of 811 - Sale <sup>25</sup>
	4.756	224.43	12/01/20	286.79	62.36 W	0.00	603 of 811 - Sale <sup>25</sup>
	4.867	229.67	12/01/20	294.05	64.38 W	0.00	604 of 811 - Sale <sup>25</sup>
	8.862	418.20	12/01/20	536.61	118.41 W	0.00	605 of 811 - Sale <sup>25</sup>
	10.862	512.58	12/01/20	655.01	142.43 W	0.00	606 of 811 - Sale <sup>25</sup>
	3.000	141.57	12/01/20	181.35	39.78 W	0.00	607 of 811 - Sale <sup>25</sup>
	3.031	143.05	12/01/20	183.26	40.21 W	0.00	608 of 811 - Sale <sup>25</sup>
	0.688	32.49	12/01/20	40.56	8.07 W	0.00	609 of 811 - Sale <sup>25</sup>
	3.795	179.07	12/01/20	223.45	44.38 W	0.00	610 of 811 - Sale <sup>25</sup>
	0.932	44.00	12/01/20	51.61	7.61 W	0.00	611 of 811 - Sale <sup>25</sup>
	1.000	47.19	12/01/20	55.34	8.15 W	0.00	612 of 811 - Sale <sup>25</sup>
	1.000	47.19	12/01/20	55.34	8.15 W	0.00	613 of 811 - Sale <sup>25</sup>
	3.449	162.77	12/01/20	190.90	28.13 W	0.00	614 of 811 - Sale <sup>25</sup>
	4.862	229.45	12/01/20	269.12	39.67 W	0.00	615 of 811 - Sale <sup>25</sup>
	6.517	307.52	12/01/20	360.69	53.17 W	0.00	616 of 811 - Sale <sup>25</sup>
	4.000	188.75	12/01/20	216.67	27.92 W	0.00	617 of 811 - Sale <sup>25</sup>
	4.000	188.75	12/01/20	216.63	27.88 W	0.00	618 of 811 - Sale <sup>25</sup>
	5.756	271.62	12/01/20	312.20	40.58 W	0.00	619 of 811 - Sale <sup>25</sup>
	6.000	283.13	12/01/20	325.01	41.88 W	0.00	620 of 811 - Sale <sup>25</sup>
	6.000	283.13	12/01/20	324.97	41.84 W	0.00	621 of 811 - Sale <sup>25</sup>
	14.000	660.64	12/01/20	758.26	97.62 W	0.00	622 of 811 - Sale <sup>25</sup>
	21.000	990.96	12/01/20	1,137.19	146.23 W	0.00	623 of 811 - Sale <sup>25</sup>
	10.000	471.89	12/01/20	606.31	134.42 W	0.00	624 of 811 - Sale <sup>25</sup>
	0.106	5.01	12/01/20	6.41	1.40 W	0.00	625 of 811 - Sale <sup>25</sup>
	1.138	53.69	12/01/20	71.54	17.85 W	0.00	626 of 811 - Sale <sup>25</sup>
	7.592	358.24	12/01/20	479.22	120.98 W	0.00	627 of 811 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	11.271	531.84	12/01/20	711.43	179.59 W	0.00	628 of 811 - Sale <sup>25</sup>
	13.894	655.63	12/01/20	866.72	211.09 W	0.00	629 of 811 - Sale <sup>25</sup>
	35.000	1,651.61	12/01/20	1,662.50	10.89 W	0.00	630 of 811 - Sale <sup>25</sup>
	26.000	1,226.91	12/01/20	1,235.00	8.09 W	0.00	631 of 811 - Sale <sup>25</sup>
	0.242	10.92	12/01/20	14.34	3.42 W	0.00	632 of 811 - Sale <sup>25</sup>
	0.138	6.22	12/01/20	8.27	2.05 W	0.00	633 of 811 - Sale <sup>25</sup>
	0.379	17.14	12/01/20	22.74	5.60 W	0.00	634 of 811 - Sale <sup>25</sup>
	1.138	51.42	12/01/20	75.06	23.64 W	0.00	635 of 811 - Sale <sup>25</sup>
	3.485	157.54	12/01/20	229.98	72.44 W	0.00	636 of 811 - Sale <sup>25</sup>
	5.831	263.55	12/01/20	384.78	121.23 W	0.00	637 of 811 - Sale <sup>25</sup>
	10.242	462.91	12/01/20	639.40	176.49 W	0.00	638 of 811 - Sale <sup>25</sup>
	0.092	4.15	12/01/20	5.70	1.55 W	0.00	639 of 811 - Sale <sup>25</sup>
	0.454	20.52	12/01/20	27.26	6.74 W	0.00	640 of 811 - Sale <sup>25</sup>
	0.454	20.52	12/01/20	27.49	6.97 W	0.00	641 of 811 - Sale <sup>25</sup>
	0.546	24.68	12/01/20	33.86	9.18 W	0.00	642 of 811 - Sale <sup>25</sup>
	1.000	45.20	12/01/20	60.57	15.37 W	0.00	643 of 811 - Sale <sup>25</sup>
	1.138	51.42	12/01/20	68.27	16.85 W	0.00	644 of 811 - Sale <sup>25</sup>
	1.316	59.50	12/01/20	78.81	19.31 W	0.00	645 of 811 - Sale <sup>25</sup>
	1.408	63.65	12/01/20	84.30	20.65 W	0.00	646 of 811 - Sale <sup>25</sup>
	2.000	90.40	12/01/20	119.72	29.32 W	0.00	647 of 811 - Sale <sup>25</sup>
	3.377	152.63	12/01/20	202.24	49.61 W	0.00	648 of 811 - Sale <sup>25</sup>
	3.454	156.12	12/01/20	214.24	58.12 W	0.00	649 of 811 - Sale <sup>25</sup>
	4.831	218.35	12/01/20	292.70	74.35 W	0.00	650 of 811 - Sale <sup>25</sup>
	5.000	225.99	12/01/20	302.97	76.98 W	0.00	651 of 811 - Sale <sup>25</sup>
	7.546	341.07	12/01/20	457.16	116.09 W	0.00	652 of 811 - Sale <sup>25</sup>
	8.000	361.59	12/01/20	480.17	118.58 W	0.00	653 of 811 - Sale <sup>25</sup>
	10.623	480.16	12/01/20	643.66	163.50 W	0.00	654 of 811 - Sale <sup>25</sup>
	11.307	511.05	12/01/20	677.17	166.12 W	0.00	655 of 811 - Sale <sup>25</sup>
	0.111	5.02	12/01/20	6.55	1.53 W	0.00	656 of 811 - Sale <sup>25</sup>
	0.271	12.23	12/01/20	16.64	4.41 W	0.00	657 of 811 - Sale <sup>25</sup>
	0.973	43.99	12/01/20	57.40	13.41 W	0.00	658 of 811 - Sale <sup>25</sup>
	1.000	45.20	12/01/20	58.98	13.78 W	0.00	659 of 811 - Sale <sup>25</sup>
	1.005	45.41	12/01/20	61.65	16.24 W	0.00	660 of 811 - Sale <sup>25</sup>
	1.111	50.22	12/01/20	67.19	16.97 W	0.00	661 of 811 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.449	65.51	12/01/20	88.77	23.26 W	0.00	662 of 811 - Sale <sup>25</sup>
	1.698	76.75	12/01/20	103.45	26.70 W	0.00	663 of 811 - Sale <sup>25</sup>
	2.862	129.37	12/01/20	175.42	46.05 W	0.00	664 of 811 - Sale <sup>25</sup>
	3.027	136.80	12/01/20	184.42	47.62 W	0.00	665 of 811 - Sale <sup>25</sup>
	3.729	168.57	12/01/20	226.40	57.83 W	0.00	666 of 811 - Sale <sup>25</sup>
	4.000	180.80	12/01/20	241.94	61.14 W	0.00	667 of 811 - Sale <sup>25</sup>
	4.133	186.80	12/01/20	249.99	63.19 W	0.00	668 of 811 - Sale <sup>25</sup>
	4.302	194.45	12/01/20	252.10	57.65 W	0.00	669 of 811 - Sale <sup>25</sup>
	4.684	211.70	12/01/20	286.79	75.09 W	0.00	670 of 811 - Sale <sup>25</sup>
	4.729	213.76	12/01/20	288.64	74.88 W	0.00	671 of 811 - Sale <sup>25</sup>
	6.133	277.20	12/01/20	371.60	94.40 W	0.00	672 of 811 - Sale <sup>25</sup>
	6.894	311.59	12/01/20	417.67	106.08 W	0.00	673 of 811 - Sale <sup>25</sup>
	7.302	330.04	12/01/20	444.91	114.87 W	0.00	674 of 811 - Sale <sup>25</sup>
	8.729	394.56	12/01/20	511.53	116.97 W	0.00	675 of 811 - Sale <sup>25</sup>
	10.027	453.19	12/01/20	591.32	138.13 W	0.00	676 of 811 - Sale <sup>25</sup>
	11.587	523.72	12/01/20	678.98	155.26 W	0.00	677 of 811 - Sale <sup>25</sup>
	14.244	643.81	12/01/20	846.10	202.29 W	0.00	678 of 811 - Sale <sup>25</sup>
	17.000	768.38	12/01/20	996.18	227.80 W	0.00	679 of 811 - Sale <sup>25</sup>
	0.758	34.28	12/01/20	46.78	12.50 W	0.00	680 of 811 - Sale <sup>25</sup>
	0.792	35.81	12/01/20	48.69	12.88 W	0.00	681 of 811 - Sale <sup>25</sup>
	0.894	40.40	12/01/20	54.93	14.53 W	0.00	682 of 811 - Sale <sup>25</sup>
	1.000	45.20	12/01/20	61.44	16.24 W	0.00	683 of 811 - Sale <sup>25</sup>
	4.000	180.80	12/01/20	245.81	65.01 W	0.00	684 of 811 - Sale <sup>25</sup>
	4.449	201.10	12/01/20	274.39	73.29 W	0.00	685 of 811 - Sale <sup>25</sup>
	5.106	230.80	12/01/20	313.79	82.99 W	0.00	686 of 811 - Sale <sup>25</sup>
	28.000	1,265.57	12/01/20	1,720.48	454.91 W	0.00	687 of 811 - Sale <sup>25</sup>
	0.063	2.84	12/01/20	4.01	1.17 W	0.00	688 of 811 - Sale <sup>25</sup>
	0.766	34.61	12/01/20	48.49	13.88 W	0.00	689 of 811 - Sale <sup>25</sup>
	1.174	53.06	12/01/20	74.83	21.77 W	0.00	690 of 811 - Sale <sup>25</sup>
	2.234	100.99	12/01/20	141.50	40.51 W	0.00	691 of 811 - Sale <sup>25</sup>
	3.729	168.56	12/01/20	236.18	67.62 W	0.00	692 of 811 - Sale <sup>25</sup>
	3.908	176.64	12/01/20	242.12	65.48 W	0.00	693 of 811 - Sale <sup>25</sup>
	4.688	211.91	12/01/20	298.88	86.97 W	0.00	694 of 811 - Sale <sup>25</sup>
	5.249	237.24	12/01/20	334.09	96.85 W	0.00	695 of 811 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	7.372	333.21	12/01/20	469.39	136.18 W	0.00	696 of 811 - Sale <sup>25</sup>
	20.817	940.88	12/01/20	1,320.81	379.93 W	0.00	697 of 811 - Sale <sup>25</sup>
	0.688	31.12	12/01/20	44.33	13.21 W	0.00	698 of 811 - Sale <sup>25</sup>
	0.720	32.54	12/01/20	46.31	13.77 W	0.00	699 of 811 - Sale <sup>25</sup>
	0.889	40.18	12/01/20	57.87	17.69 W	0.00	700 of 811 - Sale <sup>25</sup>
	0.995	44.98	12/01/20	64.53	19.55 W	0.00	701 of 811 - Sale <sup>25</sup>
	1.000	45.20	12/01/20	64.98	19.78 W	0.00	702 of 811 - Sale <sup>25</sup>
	1.000	45.20	12/01/20	64.89	19.69 W	0.00	703 of 811 - Sale <sup>25</sup>
	2.111	95.42	12/01/20	137.28	41.86 W	0.00	704 of 811 - Sale <sup>25</sup>
	3.715	167.92	12/01/20	239.27	71.35 W	0.00	705 of 811 - Sale <sup>25</sup>
	3.831	173.15	12/01/20	227.91	54.76 W	0.00	706 of 811 - Sale <sup>25</sup>
	4.000	180.80	12/01/20	260.64	79.84 W	0.00	707 of 811 - Sale <sup>25</sup>
	4.111	185.81	12/01/20	266.50	80.69 W	0.00	708 of 811 - Sale <sup>25</sup>
	4.751	214.75	12/01/20	309.24	94.49 W	0.00	709 of 811 - Sale <sup>25</sup>
	4.908	221.84	12/01/20	316.10	94.26 W	0.00	710 of 811 - Sale <sup>25</sup>
	5.138	232.22	12/01/20	330.89	98.67 W	0.00	711 of 811 - Sale <sup>25</sup>
	10.249	463.23	12/01/20	667.08	203.85 W	0.00	712 of 811 - Sale <sup>25</sup>
	14.459	653.52	12/01/20	917.41	263.89 W	0.00	713 of 811 - Sale <sup>25</sup>
	20.546	928.65	12/01/20	1,236.71	308.06 W	0.00	714 of 811 - Sale <sup>25</sup>
	0.302	13.65	12/01/20	18.55	4.90 W	0.00	715 of 811 - Sale <sup>25</sup>
	1.000	45.20	12/01/20	60.86	15.66 W	0.00	716 of 811 - Sale <sup>25</sup>
	1.000	45.20	12/01/20	61.75	16.55 W	0.00	717 of 811 - Sale <sup>25</sup>
	1.889	85.38	12/01/20	114.96	29.58 W	0.00	718 of 811 - Sale <sup>25</sup>
	4.000	180.80	12/01/20	247.96	67.16 W	0.00	719 of 811 - Sale <sup>25</sup>
	8.698	393.14	12/01/20	534.17	141.03 W	0.00	720 of 811 - Sale <sup>25</sup>
	0.729	32.97	12/01/20	44.74	11.77 W	0.00	721 of 811 - Sale <sup>25</sup>
	1.000	45.20	12/01/20	61.31	16.11 W	0.00	722 of 811 - Sale <sup>25</sup>
	5.271	238.22	12/01/20	323.15	84.93 W	0.00	723 of 811 - Sale <sup>25</sup>
	0.485	21.94	12/01/20	29.96	8.02 W	0.00	724 of 811 - Sale <sup>25</sup>
	1.000	45.20	12/01/20	61.62	16.42 W	0.00	725 of 811 - Sale <sup>25</sup>
	1.515	68.45	12/01/20	93.47	25.02 W	0.00	726 of 811 - Sale <sup>25</sup>
	2.413	109.07	12/01/20	149.16	40.09 W	0.00	727 of 811 - Sale <sup>25</sup>
	3.242	146.51	12/01/20	200.37	53.86 W	0.00	728 of 811 - Sale <sup>25</sup>
	3.345	151.21	12/01/20	206.44	55.23 W	0.00	729 of 811 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	3.483	157.43	12/01/20	213.52	56.09 W	0.00	730 of 811 - Sale <sup>25</sup>
	3.517	158.96	12/01/20	217.04	58.08 W	0.00	731 of 811 - Sale <sup>25</sup>
	4.515	204.05	12/01/20	279.06	75.01 W	0.00	732 of 811 - Sale <sup>25</sup>
	7.000	316.39	12/01/20	431.99	115.60 W	0.00	733 of 811 - Sale <sup>25</sup>
	12.000	542.39	12/01/20	735.51	193.12 W	0.00	734 of 811 - Sale <sup>25</sup>
	0.485	21.94	12/01/20	28.75	6.81 W	0.00	735 of 811 - Sale <sup>25</sup>
	1.515	68.45	12/01/20	89.69	21.24 W	0.00	736 of 811 - Sale <sup>25</sup>
	0.244	11.03	12/01/20	14.58	3.55 W	0.00	737 of 811 - Sale <sup>25</sup>
	0.454	20.52	12/01/20	27.27	6.75 W	0.00	738 of 811 - Sale <sup>25</sup>
	0.592	26.75	12/01/20	35.51	8.76 W	0.00	739 of 811 - Sale <sup>25</sup>
	1.000	45.20	12/01/20	56.77	11.57 W	0.00	740 of 811 - Sale <sup>25</sup>
	1.546	69.88	12/01/20	92.79	22.91 W	0.00	741 of 811 - Sale <sup>25</sup>
	2.000	90.40	12/01/20	121.87	31.47 W	0.00	742 of 811 - Sale <sup>25</sup>
	2.408	108.85	12/01/20	143.93	35.08 W	0.00	743 of 811 - Sale <sup>25</sup>
	3.000	135.60	12/01/20	178.18	42.58 W	0.00	744 of 811 - Sale <sup>25</sup>
	3.862	174.57	12/01/20	230.82	56.25 W	0.00	745 of 811 - Sale <sup>25</sup>
	4.756	214.97	12/01/20	284.23	69.26 W	0.00	746 of 811 - Sale <sup>25</sup>
	4.867	219.99	12/01/20	291.43	71.44 W	0.00	747 of 811 - Sale <sup>25</sup>
	8.862	400.57	12/01/20	531.84	131.27 W	0.00	748 of 811 - Sale <sup>25</sup>
	10.862	490.96	12/01/20	649.16	158.20 W	0.00	749 of 811 - Sale <sup>25</sup>
	3.000	135.60	12/01/20	179.73	44.13 W	0.00	750 of 811 - Sale <sup>25</sup>
	3.031	137.02	12/01/20	181.63	44.61 W	0.00	751 of 811 - Sale <sup>25</sup>
	0.688	31.12	12/01/20	40.18	9.06 W	0.00	752 of 811 - Sale <sup>25</sup>
	3.795	171.51	12/01/20	221.40	49.89 W	0.00	753 of 811 - Sale <sup>25</sup>
	0.932	42.14	12/01/20	51.10	8.96 W	0.00	754 of 811 - Sale <sup>25</sup>
	1.000	45.20	12/01/20	54.80	9.60 W	0.00	755 of 811 - Sale <sup>25</sup>
	1.000	45.20	12/01/20	54.80	9.60 W	0.00	756 of 811 - Sale <sup>25</sup>
	3.449	155.90	12/01/20	189.04	33.14 W	0.00	757 of 811 - Sale <sup>25</sup>
	4.862	219.77	12/01/20	266.50	46.73 W	0.00	758 of 811 - Sale <sup>25</sup>
	6.517	294.56	12/01/20	357.18	62.62 W	0.00	759 of 811 - Sale <sup>25</sup>
	4.000	180.80	12/01/20	214.52	33.72 W	0.00	760 of 811 - Sale <sup>25</sup>
	4.000	180.80	12/01/20	214.48	33.68 W	0.00	761 of 811 - Sale <sup>25</sup>
	5.756	260.17	12/01/20	309.10	48.93 W	0.00	762 of 811 - Sale <sup>25</sup>
	6.000	271.19	12/01/20	321.78	50.59 W	0.00	763 of 811 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	6.000	271.19	12/01/20	321.74	50.55 W	0.00	764 of 811 - Sale <sup>25</sup>
	10.000	451.99	12/01/20	599.42	147.43 W	0.00	765 of 811 - Sale <sup>25</sup>
	0.106	4.80	12/01/20	6.34	1.54 W	0.00	766 of 811 - Sale <sup>25</sup>
	1.138	51.42	12/01/20	70.75	19.33 W	0.00	767 of 811 - Sale <sup>25</sup>
	3.756	169.77	12/01/20	234.51	64.74 W	0.00	768 of 811 - Sale <sup>25</sup>
	14.000	632.78	12/01/20	748.62	115.84 W	0.00	769 of 811 - Sale <sup>25</sup>
	21.000	949.18	12/01/20	1,122.73	173.55 W	0.00	770 of 811 - Sale <sup>25</sup>
	7.515	339.65	12/01/20	469.17	129.52 W	0.00	771 of 811 - Sale <sup>25</sup>
	7.592	343.14	12/01/20	473.99	130.85 W	0.00	772 of 811 - Sale <sup>25</sup>
	13.894	627.98	12/01/20	857.15	229.17 W	0.00	773 of 811 - Sale <sup>25</sup>
	26.000	1,175.17	12/01/20	1,217.09	41.92 W	0.00	774 of 811 - Sale <sup>25</sup>
	35.000	1,581.96	12/01/20	1,638.39	56.43 W	0.00	775 of 811 - Sale <sup>25</sup>
	10.000	451.99	12/01/20	465.00	13.01 W	0.00	776 of 811 - Sale <sup>25</sup>
	50.000	2,259.94	12/01/20	2,312.50	52.56 W	0.00	777 of 811 - Sale <sup>25</sup>
	100.000	4,519.88	12/01/20	4,625.00	105.12 W	0.00	778 of 811 - Sale <sup>25</sup>
	28.000	1,265.56	12/01/20	1,295.00	29.44 W	0.00	779 of 811 - Sale <sup>25</sup>
	0.242	10.92	12/01/20	14.59	3.67 W	0.00	780 of 811 - Sale <sup>25</sup>
	0.138	6.22	12/01/20	8.42	2.20 W	0.00	781 of 811 - Sale <sup>25</sup>
	0.379	17.14	12/01/20	23.14	6.00 W	0.00	782 of 811 - Sale <sup>25</sup>
	1.138	51.42	12/01/20	76.26	24.84 W	0.00	783 of 811 - Sale <sup>25</sup>
	3.485	157.54	12/01/20	233.64	76.10 W	0.00	784 of 811 - Sale <sup>25</sup>
	5.831	263.55	12/01/20	390.91	127.36 W	0.00	785 of 811 - Sale <sup>25</sup>
	10.242	462.91	12/01/20	650.16	187.25 W	0.00	786 of 811 - Sale <sup>25</sup>
	0.454	20.52	12/01/20	27.74	7.22 W	0.00	787 of 811 - Sale <sup>25</sup>
	1.092	49.35	12/01/20	67.30	17.95 W	0.00	788 of 811 - Sale <sup>25</sup>
	1.138	51.42	12/01/20	69.47	18.05 W	0.00	789 of 811 - Sale <sup>25</sup>
	1.316	59.50	12/01/20	80.19	20.69 W	0.00	790 of 811 - Sale <sup>25</sup>
	1.408	63.65	12/01/20	85.78	22.13 W	0.00	791 of 811 - Sale <sup>25</sup>
	2.000	90.40	12/01/20	121.82	31.42 W	0.00	792 of 811 - Sale <sup>25</sup>
	3.377	152.63	12/01/20	205.79	53.16 W	0.00	793 of 811 - Sale <sup>25</sup>
	4.831	218.35	12/01/20	297.78	79.43 W	0.00	794 of 811 - Sale <sup>25</sup>
	5.000	225.99	12/01/20	308.23	82.24 W	0.00	795 of 811 - Sale <sup>25</sup>
	8.000	361.59	12/01/20	488.58	126.99 W	0.00	796 of 811 - Sale <sup>25</sup>
	10.623	480.16	12/01/20	654.82	174.66 W	0.00	797 of 811 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	11.307	511.05	12/01/20	689.06	178.01 W	0.00	798 of 811 - Sale <sup>25</sup>
	0.092	4.15	12/01/20	5.92	1.77 W	0.00	799 of 811 - Sale <sup>25</sup>
	0.454	20.52	12/01/20	28.54	8.02 W	0.00	800 of 811 - Sale <sup>25</sup>
	0.546	24.68	12/01/20	35.11	10.43 W	0.00	801 of 811 - Sale <sup>25</sup>
	1.000	45.20	12/01/20	62.87	17.67 W	0.00	802 of 811 - Sale <sup>25</sup>
	3.454	156.12	12/01/20	222.19	66.07 W	0.00	803 of 811 - Sale <sup>25</sup>
	6.454	291.72	12/01/20	405.86	114.14 W	0.00	804 of 811 - Sale <sup>25</sup>
	0.973	43.99	12/01/20	59.64	15.65 W	0.00	805 of 811 - Sale <sup>25</sup>
	1.000	45.20	12/01/20	61.28	16.08 W	0.00	806 of 811 - Sale <sup>25</sup>
	1.027	46.40	12/01/20	62.90	16.50 W	0.00	807 of 811 - Sale <sup>25</sup>
	0.111	5.02	12/01/20	6.69	1.67 W	0.00	808 of 811 - Sale <sup>25</sup>
	9.000	406.79	12/01/20	542.49	135.70 W	0.00	809 of 811 - Sale <sup>25</sup>
	8.889	401.77	12/01/20	532.44	130.67 W	0.00	810 of 811 - Sale <sup>25</sup>
	17.000	768.39	12/01/20	1,018.30	249.91 W	0.00	811 of 811 - Sale <sup>25</sup>
12/01/20	4,458.000	210,444.69	Various	268,065.51	57,620.82 W	0.00	Total of 811 transactions
<i>130 transactions for 12/02/20. Total proceeds and cost reported to the IRS.</i>							
	4.302	184.38	12/01/20	257.70	73.32 W	0.00	1 of 130 - Sale <sup>25</sup>
	8.000	342.87	12/01/20	479.20	136.33 W	0.00	2 of 130 - Sale <sup>25</sup>
	2.698	115.63	12/01/20	161.61	45.98 W	0.00	3 of 130 - Sale <sup>25</sup>
	0.729	31.26	12/01/20	42.18	10.92 W	0.00	4 of 130 - Sale <sup>25</sup>
	13.271	568.76	12/01/20	778.22	209.46 W	0.00	5 of 130 - Sale <sup>25</sup>
	0.271	11.60	12/02/20	16.18	4.58 W	0.00	6 of 130 - Sale <sup>25</sup>
	0.973	41.72	12/02/20	56.16	14.44 W	0.00	7 of 130 - Sale <sup>25</sup>
	1.005	43.06	12/02/20	59.95	16.89 W	0.00	8 of 130 - Sale <sup>25</sup>
	1.449	62.11	12/02/20	86.30	24.19 W	0.00	9 of 130 - Sale <sup>25</sup>
	1.698	72.77	12/02/20	100.56	27.79 W	0.00	10 of 130 - Sale <sup>25</sup>
	2.862	122.68	12/02/20	170.56	47.88 W	0.00	11 of 130 - Sale <sup>25</sup>
	3.027	129.72	12/02/20	179.28	49.56 W	0.00	12 of 130 - Sale <sup>25</sup>
	3.729	159.84	12/02/20	220.06	60.22 W	0.00	13 of 130 - Sale <sup>25</sup>
	4.271	183.03	12/02/20	251.49	68.46 W	0.00	14 of 130 - Sale <sup>25</sup>
	4.684	200.74	12/02/20	278.83	78.09 W	0.00	15 of 130 - Sale <sup>25</sup>
	4.729	202.70	12/02/20	280.61	77.91 W	0.00	16 of 130 - Sale <sup>25</sup>
	7.302	312.96	12/02/20	432.51	119.55 W	0.00	17 of 130 - Sale <sup>25</sup>
	1.111	47.62	12/02/20	65.30	17.68 W	0.00	18 of 130 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	2.623	112.43	12/02/20	154.47	42.04 W	0.00	19 of 130 - Sale <sup>25</sup>
	4.000	171.43	12/02/20	235.14	63.71 W	0.00	20 of 130 - Sale <sup>25</sup>
	4.133	177.13	12/02/20	242.97	65.84 W	0.00	21 of 130 - Sale <sup>25</sup>
	6.133	262.85	12/02/20	361.18	98.33 W	0.00	22 of 130 - Sale <sup>25</sup>
	0.758	32.51	12/02/20	45.49	12.98 W	0.00	23 of 130 - Sale <sup>25</sup>
	0.792	33.96	12/02/20	47.34	13.38 W	0.00	24 of 130 - Sale <sup>25</sup>
	0.894	38.31	12/02/20	53.41	15.10 W	0.00	25 of 130 - Sale <sup>25</sup>
	1.000	42.86	12/02/20	59.74	16.88 W	0.00	26 of 130 - Sale <sup>25</sup>
	4.000	171.43	12/02/20	239.01	67.58 W	0.00	27 of 130 - Sale <sup>25</sup>
	4.449	190.69	12/02/20	266.83	76.14 W	0.00	28 of 130 - Sale <sup>25</sup>
	5.106	218.84	12/02/20	305.11	86.27 W	0.00	29 of 130 - Sale <sup>25</sup>
	11.000	471.46	12/02/20	657.22	185.76 W	0.00	30 of 130 - Sale <sup>25</sup>
	4.000	171.44	12/02/20	238.99	67.55 W	0.00	31 of 130 - Sale <sup>25</sup>
	0.063	2.69	12/02/20	3.90	1.21 W	0.00	32 of 130 - Sale <sup>25</sup>
	0.766	32.81	12/02/20	47.18	14.37 W	0.00	33 of 130 - Sale <sup>25</sup>
	1.174	50.31	12/02/20	72.83	22.52 W	0.00	34 of 130 - Sale <sup>25</sup>
	2.234	95.76	12/02/20	137.71	41.95 W	0.00	35 of 130 - Sale <sup>25</sup>
	3.729	159.84	12/02/20	229.85	70.01 W	0.00	36 of 130 - Sale <sup>25</sup>
	3.908	167.50	12/02/20	235.48	67.98 W	0.00	37 of 130 - Sale <sup>25</sup>
	4.688	200.94	12/02/20	290.92	89.98 W	0.00	38 of 130 - Sale <sup>25</sup>
	5.249	224.95	12/02/20	325.17	100.22 W	0.00	39 of 130 - Sale <sup>25</sup>
	7.372	315.96	12/02/20	456.87	140.91 W	0.00	40 of 130 - Sale <sup>25</sup>
	5.817	249.30	12/02/20	359.18	109.88 W	0.00	41 of 130 - Sale <sup>25</sup>
	1.000	42.73	12/02/20	61.75	19.02 W	0.00	42 of 130 - Sale <sup>25</sup>
	15.000	641.01	12/02/20	926.27	285.26 W	0.00	43 of 130 - Sale <sup>25</sup>
	13.000	555.54	12/02/20	776.71	221.17 W	0.00	44 of 130 - Sale <sup>25</sup>
	1.000	42.73	12/02/20	61.65	18.92 W	0.00	45 of 130 - Sale <sup>25</sup>
	0.889	37.99	12/02/20	56.27	18.28 W	0.00	46 of 130 - Sale <sup>25</sup>
	0.995	42.53	12/02/20	62.74	20.21 W	0.00	47 of 130 - Sale <sup>25</sup>
	1.000	42.73	12/02/20	63.18	20.45 W	0.00	48 of 130 - Sale <sup>25</sup>
	1.000	42.73	12/02/20	63.09	20.36 W	0.00	49 of 130 - Sale <sup>25</sup>
	2.111	90.21	12/02/20	133.48	43.27 W	0.00	50 of 130 - Sale <sup>25</sup>
	3.604	154.02	12/02/20	225.63	71.61 W	0.00	51 of 130 - Sale <sup>25</sup>
	3.831	163.71	12/02/20	221.02	57.31 W	0.00	52 of 130 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
4.000		170.94	12/02/20	253.44	82.50 W	0.00	53 of 130 - Sale <sup>25</sup>
4.111		175.68	12/02/20	259.11	83.43 W	0.00	54 of 130 - Sale <sup>25</sup>
4.751		203.04	12/02/20	300.70	97.66 W	0.00	55 of 130 - Sale <sup>25</sup>
10.249		437.97	12/02/20	648.65	210.68 W	0.00	56 of 130 - Sale <sup>25</sup>
12.459		532.41	12/02/20	768.10	235.69 W	0.00	57 of 130 - Sale <sup>25</sup>
0.111		4.74	12/02/20	6.95	2.21 W	0.00	58 of 130 - Sale <sup>25</sup>
0.688		29.42	12/02/20	43.09	13.67 W	0.00	59 of 130 - Sale <sup>25</sup>
0.720		30.76	12/02/20	45.01	14.25 W	0.00	60 of 130 - Sale <sup>25</sup>
4.908		209.74	12/02/20	307.27	97.53 W	0.00	61 of 130 - Sale <sup>25</sup>
5.138		219.55	12/02/20	321.65	102.10 W	0.00	62 of 130 - Sale <sup>25</sup>
20.546		878.01	12/02/20	1,199.75	321.74 W	0.00	63 of 130 - Sale <sup>25</sup>
0.302		12.91	12/02/20	18.01	5.10 W	0.00	64 of 130 - Sale <sup>25</sup>
0.729		31.17	12/02/20	43.43	12.26 W	0.00	65 of 130 - Sale <sup>25</sup>
1.000		42.73	12/02/20	59.06	16.33 W	0.00	66 of 130 - Sale <sup>25</sup>
1.000		42.73	12/02/20	59.95	17.22 W	0.00	67 of 130 - Sale <sup>25</sup>
1.000		42.73	12/02/20	59.51	16.78 W	0.00	68 of 130 - Sale <sup>25</sup>
1.889		80.72	12/02/20	111.56	30.84 W	0.00	69 of 130 - Sale <sup>25</sup>
4.000		170.94	12/02/20	240.76	69.82 W	0.00	70 of 130 - Sale <sup>25</sup>
5.271		225.23	12/02/20	313.67	88.44 W	0.00	71 of 130 - Sale <sup>25</sup>
8.698		371.70	12/02/20	518.52	146.82 W	0.00	72 of 130 - Sale <sup>25</sup>
0.485		20.75	12/02/20	29.09	8.34 W	0.00	73 of 130 - Sale <sup>25</sup>
1.000		42.73	12/02/20	59.82	17.09 W	0.00	74 of 130 - Sale <sup>25</sup>
1.515		64.72	12/02/20	90.75	26.03 W	0.00	75 of 130 - Sale <sup>25</sup>
2.413		103.12	12/02/20	144.82	41.70 W	0.00	76 of 130 - Sale <sup>25</sup>
3.242		138.52	12/02/20	194.54	56.02 W	0.00	77 of 130 - Sale <sup>25</sup>
3.345		142.96	12/02/20	200.42	57.46 W	0.00	78 of 130 - Sale <sup>25</sup>
3.483		148.85	12/02/20	207.26	58.41 W	0.00	79 of 130 - Sale <sup>25</sup>
3.517		150.29	12/02/20	210.71	60.42 W	0.00	80 of 130 - Sale <sup>25</sup>
4.515		192.92	12/02/20	270.94	78.02 W	0.00	81 of 130 - Sale <sup>25</sup>
7.000		299.14	12/02/20	419.40	120.26 W	0.00	82 of 130 - Sale <sup>25</sup>
12.000		512.81	12/02/20	713.92	201.11 W	0.00	83 of 130 - Sale <sup>25</sup>
0.485		20.75	12/02/20	27.88	7.13 W	0.00	84 of 130 - Sale <sup>25</sup>
1.000		42.73	12/02/20	57.42	14.69 W	0.00	85 of 130 - Sale <sup>25</sup>
0.515		21.99	12/02/20	29.50	7.51 W	0.00	86 of 130 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
0.242		10.32	12/02/20	13.98	3.66 W	0.00	87 of 130 - Sale <sup>25</sup>
0.244		10.42	12/02/20	14.11	3.69 W	0.00	88 of 130 - Sale <sup>25</sup>
2.000		85.47	12/02/20	118.07	32.60 W	0.00	89 of 130 - Sale <sup>25</sup>
2.408		102.92	12/02/20	139.36	36.44 W	0.00	90 of 130 - Sale <sup>25</sup>
3.862		165.05	12/02/20	223.49	58.44 W	0.00	91 of 130 - Sale <sup>25</sup>
4.867		207.99	12/02/20	282.18	74.19 W	0.00	92 of 130 - Sale <sup>25</sup>
10.862		464.19	12/02/20	628.54	164.35 W	0.00	93 of 130 - Sale <sup>25</sup>
10.000		427.34	12/02/20	577.43	150.09 W	0.00	94 of 130 - Sale <sup>25</sup>
0.454		19.40	12/02/20	26.27	6.87 W	0.00	95 of 130 - Sale <sup>25</sup>
0.592		25.29	12/02/20	34.20	8.91 W	0.00	96 of 130 - Sale <sup>25</sup>
1.000		42.73	12/02/20	54.57	11.84 W	0.00	97 of 130 - Sale <sup>25</sup>
1.546		66.07	12/02/20	89.39	23.32 W	0.00	98 of 130 - Sale <sup>25</sup>
3.000		128.20	12/02/20	171.58	43.38 W	0.00	99 of 130 - Sale <sup>25</sup>
4.515		192.92	12/02/20	259.86	66.94 W	0.00	100 of 130 - Sale <sup>25</sup>
8.862		378.72	12/02/20	512.35	133.63 W	0.00	101 of 130 - Sale <sup>25</sup>
0.106		4.54	12/02/20	6.11	1.57 W	0.00	102 of 130 - Sale <sup>25</sup>
1.138		48.62	12/02/20	68.25	19.63 W	0.00	103 of 130 - Sale <sup>25</sup>
3.000		128.20	12/02/20	173.13	44.93 W	0.00	104 of 130 - Sale <sup>25</sup>
3.031		129.55	12/02/20	174.96	45.41 W	0.00	105 of 130 - Sale <sup>25</sup>
3.756		160.51	12/02/20	226.25	65.74 W	0.00	106 of 130 - Sale <sup>25</sup>
7.515		321.13	12/02/20	452.65	131.52 W	0.00	107 of 130 - Sale <sup>25</sup>
7.592		324.43	12/02/20	457.30	132.87 W	0.00	108 of 130 - Sale <sup>25</sup>
10.894		465.53	12/02/20	648.12	182.59 W	0.00	109 of 130 - Sale <sup>25</sup>
0.688		29.42	12/02/20	38.66	9.24 W	0.00	110 of 130 - Sale <sup>25</sup>
3.795		162.16	12/02/20	213.06	50.90 W	0.00	111 of 130 - Sale <sup>25</sup>
0.932		39.84	12/02/20	49.05	9.21 W	0.00	112 of 130 - Sale <sup>25</sup>
1.000		42.73	12/02/20	52.60	9.87 W	0.00	113 of 130 - Sale <sup>25</sup>
1.000		42.73	12/02/20	52.60	9.87 W	0.00	114 of 130 - Sale <sup>25</sup>
3.449		147.40	12/02/20	181.46	34.06 W	0.00	115 of 130 - Sale <sup>25</sup>
4.862		207.79	12/02/20	255.81	48.02 W	0.00	116 of 130 - Sale <sup>25</sup>
6.517		278.49	12/02/20	342.85	64.36 W	0.00	117 of 130 - Sale <sup>25</sup>
4.000		170.94	12/02/20	205.72	34.78 W	0.00	118 of 130 - Sale <sup>25</sup>
4.000		170.94	12/02/20	205.68	34.74 W	0.00	119 of 130 - Sale <sup>25</sup>
5.756		245.98	12/02/20	296.44	50.46 W	0.00	120 of 130 - Sale <sup>25</sup>

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(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	6.000	256.40	12/02/20	308.59	52.19 W	0.00	121 of 130 - Sale <sup>25</sup>
	6.000	256.40	12/02/20	308.55	52.15 W	0.00	122 of 130 - Sale <sup>25</sup>
	14.000	598.28	12/02/20	717.84	119.56 W	0.00	123 of 130 - Sale <sup>25</sup>
	21.000	897.42	12/02/20	1,076.55	179.13 W	0.00	124 of 130 - Sale <sup>25</sup>
	3.000	128.20	12/02/20	178.33	50.13 W	0.00	125 of 130 - Sale <sup>25</sup>
	10.000	427.34	12/02/20	442.51	15.17 W	0.00	126 of 130 - Sale <sup>25</sup>
	26.000	1,111.09	12/02/20	1,158.62	47.53 W	0.00	127 of 130 - Sale <sup>25</sup>
	26.000	1,111.09	12/02/20	1,144.03	32.94 W	0.00	128 of 130 - Sale <sup>25</sup>
	35.000	1,495.69	12/02/20	1,559.68	63.99 W	0.00	129 of 130 - Sale <sup>25</sup>
	50.000	2,136.70	12/02/20	2,200.06	63.36 W	0.00	130 of 130 - Sale <sup>25</sup>
12/02/20	654.000	27,966.75	Various	36,209.98	8,243.23 W	0.00	Total of 130 transactions
3 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	6.000	277.98	12/02/20	268.21	...	9.77	1 of 3 - Sale <sup>25</sup>
	22.000	999.10	12/02/20	982.33	...	16.77	2 of 3 - Sale <sup>25</sup>
	74.000	3,428.44	12/02/20	3,307.89	...	120.55	3 of 3 - Sale <sup>25</sup>
12/03/20	102.000	4,705.52	Various	4,558.43	...	147.09	Total of 3 transactions
328 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	0.242	11.19	12/02/20	14.20	3.01 W	0.00	1 of 328 - Sale <sup>25</sup>
	0.138	6.38	12/02/20	8.20	1.82 W	0.00	2 of 328 - Sale <sup>25</sup>
	0.379	17.57	12/02/20	22.53	4.96 W	0.00	3 of 328 - Sale <sup>25</sup>
	3.169	146.83	12/02/20	207.37	60.54 W	0.00	4 of 328 - Sale <sup>25</sup>
	5.831	270.14	12/02/20	381.59	111.45 W	0.00	5 of 328 - Sale <sup>25</sup>
	10.242	474.50	12/02/20	633.78	159.28 W	0.00	6 of 328 - Sale <sup>25</sup>
	0.092	4.17	12/02/20	5.77	1.60 W	0.00	7 of 328 - Sale <sup>25</sup>
	0.454	20.62	12/02/20	27.78	7.16 W	0.00	8 of 328 - Sale <sup>25</sup>
	0.454	20.62	12/02/20	27.77	7.15 W	0.00	9 of 328 - Sale <sup>25</sup>
	0.546	24.79	12/02/20	34.18	9.39 W	0.00	10 of 328 - Sale <sup>25</sup>
	1.000	45.41	12/02/20	61.17	15.76 W	0.00	11 of 328 - Sale <sup>25</sup>
	3.454	156.86	12/02/20	216.32	59.46 W	0.00	12 of 328 - Sale <sup>25</sup>
	0.729	33.13	12/02/20	42.65	9.52 W	0.00	13 of 328 - Sale <sup>25</sup>
	2.698	122.53	12/02/20	163.34	40.81 W	0.00	14 of 328 - Sale <sup>25</sup>
	4.302	195.37	12/02/20	260.46	65.09 W	0.00	15 of 328 - Sale <sup>25</sup>
	8.000	363.31	12/02/20	484.33	121.02 W	0.00	16 of 328 - Sale <sup>25</sup>
	13.271	602.67	12/02/20	786.73	184.06 W	0.00	17 of 328 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.111	5.04	12/02/20	6.50	1.46 W	0.00	18 of 328 - Sale <sup>25</sup>
	0.271	12.29	12/02/20	16.35	4.06 W	0.00	19 of 328 - Sale <sup>25</sup>
	0.973	44.20	12/02/20	57.99	13.79 W	0.00	20 of 328 - Sale <sup>25</sup>
	0.973	44.20	12/02/20	56.78	12.58 W	0.00	21 of 328 - Sale <sup>25</sup>
	1.000	45.41	12/02/20	59.58	14.17 W	0.00	22 of 328 - Sale <sup>25</sup>
	1.005	45.63	12/02/20	60.60	14.97 W	0.00	23 of 328 - Sale <sup>25</sup>
	1.027	46.62	12/02/20	61.16	14.54 W	0.00	24 of 328 - Sale <sup>25</sup>
	1.449	65.82	12/02/20	87.23	21.41 W	0.00	25 of 328 - Sale <sup>25</sup>
	1.698	77.11	12/02/20	101.65	24.54 W	0.00	26 of 328 - Sale <sup>25</sup>
	2.862	129.99	12/02/20	172.39	42.40 W	0.00	27 of 328 - Sale <sup>25</sup>
	3.027	137.45	12/02/20	181.22	43.77 W	0.00	28 of 328 - Sale <sup>25</sup>
	3.729	169.37	12/02/20	222.45	53.08 W	0.00	29 of 328 - Sale <sup>25</sup>
	4.684	212.70	12/02/20	281.83	69.13 W	0.00	30 of 328 - Sale <sup>25</sup>
	7.302	331.61	12/02/20	437.19	105.58 W	0.00	31 of 328 - Sale <sup>25</sup>
	8.889	403.68	12/02/20	517.34	113.66 W	0.00	32 of 328 - Sale <sup>25</sup>
	9.000	408.72	12/02/20	527.20	118.48 W	0.00	33 of 328 - Sale <sup>25</sup>
	17.000	772.04	12/02/20	989.41	217.37 W	0.00	34 of 328 - Sale <sup>25</sup>
	1.138	51.67	12/02/20	74.44	22.77 W	0.00	35 of 328 - Sale <sup>25</sup>
	0.316	14.37	12/02/20	20.70	6.33 W	0.00	36 of 328 - Sale <sup>25</sup>
	0.454	20.62	12/02/20	27.02	6.40 W	0.00	37 of 328 - Sale <sup>25</sup>
	1.092	49.59	12/02/20	65.56	15.97 W	0.00	38 of 328 - Sale <sup>25</sup>
	1.138	51.67	12/02/20	67.65	15.98 W	0.00	39 of 328 - Sale <sup>25</sup>
	1.316	59.78	12/02/20	78.08	18.30 W	0.00	40 of 328 - Sale <sup>25</sup>
	1.408	63.96	12/02/20	83.53	19.57 W	0.00	41 of 328 - Sale <sup>25</sup>
	2.000	90.83	12/02/20	118.62	27.79 W	0.00	42 of 328 - Sale <sup>25</sup>
	3.377	153.36	12/02/20	200.39	47.03 W	0.00	43 of 328 - Sale <sup>25</sup>
	4.831	219.39	12/02/20	290.06	70.67 W	0.00	44 of 328 - Sale <sup>25</sup>
	5.000	227.07	12/02/20	300.24	73.17 W	0.00	45 of 328 - Sale <sup>25</sup>
	6.000	272.48	12/02/20	367.71	95.23 W	0.00	46 of 328 - Sale <sup>25</sup>
	8.000	363.31	12/02/20	475.79	112.48 W	0.00	47 of 328 - Sale <sup>25</sup>
	10.623	482.44	12/02/20	637.83	155.39 W	0.00	48 of 328 - Sale <sup>25</sup>
	11.307	513.49	12/02/20	670.99	157.50 W	0.00	49 of 328 - Sale <sup>25</sup>
	0.063	2.86	12/02/20	3.96	1.10 W	0.00	50 of 328 - Sale <sup>25</sup>
	0.766	34.77	12/02/20	47.83	13.06 W	0.00	51 of 328 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.174	53.31	12/02/20	73.82	20.51 W	0.00	52 of 328 - Sale <sup>25</sup>
	2.234	101.47	12/02/20	139.59	38.12 W	0.00	53 of 328 - Sale <sup>25</sup>
	3.729	169.37	12/02/20	232.99	63.62 W	0.00	54 of 328 - Sale <sup>25</sup>
	3.908	177.48	12/02/20	238.76	61.28 W	0.00	55 of 328 - Sale <sup>25</sup>
	4.688	212.92	12/02/20	294.87	81.95 W	0.00	56 of 328 - Sale <sup>25</sup>
	5.249	238.36	12/02/20	329.59	91.23 W	0.00	57 of 328 - Sale <sup>25</sup>
	5.817	264.15	12/02/20	364.06	99.91 W	0.00	58 of 328 - Sale <sup>25</sup>
	6.000	272.48	12/02/20	376.30	103.82 W	0.00	59 of 328 - Sale <sup>25</sup>
	7.372	334.79	12/02/20	463.07	128.28 W	0.00	60 of 328 - Sale <sup>25</sup>
	1.111	50.46	12/02/20	66.23	15.77 W	0.00	61 of 328 - Sale <sup>25</sup>
	2.623	119.13	12/02/20	156.67	37.54 W	0.00	62 of 328 - Sale <sup>25</sup>
	4.000	181.66	12/02/20	238.51	56.85 W	0.00	63 of 328 - Sale <sup>25</sup>
	4.133	187.69	12/02/20	246.45	58.76 W	0.00	64 of 328 - Sale <sup>25</sup>
	4.271	193.94	12/02/20	255.08	61.14 W	0.00	65 of 328 - Sale <sup>25</sup>
	4.729	214.78	12/02/20	284.59	69.81 W	0.00	66 of 328 - Sale <sup>25</sup>
	6.133	278.52	12/02/20	366.34	87.82 W	0.00	67 of 328 - Sale <sup>25</sup>
	0.758	34.44	12/02/20	46.12	11.68 W	0.00	68 of 328 - Sale <sup>25</sup>
	0.792	35.98	12/02/20	48.00	12.02 W	0.00	69 of 328 - Sale <sup>25</sup>
	0.894	40.59	12/02/20	54.16	13.57 W	0.00	70 of 328 - Sale <sup>25</sup>
	1.000	45.41	12/02/20	60.58	15.17 W	0.00	71 of 328 - Sale <sup>25</sup>
	4.000	181.66	12/02/20	242.38	60.72 W	0.00	72 of 328 - Sale <sup>25</sup>
	4.000	181.66	12/02/20	242.35	60.69 W	0.00	73 of 328 - Sale <sup>25</sup>
	4.449	202.06	12/02/20	270.57	68.51 W	0.00	74 of 328 - Sale <sup>25</sup>
	5.106	231.89	12/02/20	309.41	77.52 W	0.00	75 of 328 - Sale <sup>25</sup>
	11.000	499.55	12/02/20	666.46	166.91 W	0.00	76 of 328 - Sale <sup>25</sup>
	1.000	45.41	12/02/20	62.57	17.16 W	0.00	77 of 328 - Sale <sup>25</sup>
	1.000	45.41	12/02/20	62.47	17.06 W	0.00	78 of 328 - Sale <sup>25</sup>
	6.000	272.48	12/02/20	374.81	102.33 W	0.00	79 of 328 - Sale <sup>25</sup>
	9.000	408.73	12/02/20	563.11	154.38 W	0.00	80 of 328 - Sale <sup>25</sup>
	13.000	590.38	12/02/20	787.32	196.94 W	0.00	81 of 328 - Sale <sup>25</sup>
	0.111	5.04	12/02/20	7.05	2.01 W	0.00	82 of 328 - Sale <sup>25</sup>
	0.688	31.26	12/02/20	43.65	12.39 W	0.00	83 of 328 - Sale <sup>25</sup>
	0.720	32.69	12/02/20	45.60	12.91 W	0.00	84 of 328 - Sale <sup>25</sup>
	0.889	40.37	12/02/20	56.99	16.62 W	0.00	85 of 328 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
0.995		45.20	12/02/20	63.55	18.35 W	0.00	86 of 328 - Sale <sup>25</sup>
1.000		45.41	12/02/20	64.00	18.59 W	0.00	87 of 328 - Sale <sup>25</sup>
1.000		45.41	12/02/20	63.91	18.50 W	0.00	88 of 328 - Sale <sup>25</sup>
2.111		95.87	12/02/20	135.21	39.34 W	0.00	89 of 328 - Sale <sup>25</sup>
3.604		163.67	12/02/20	228.57	64.90 W	0.00	90 of 328 - Sale <sup>25</sup>
3.831		173.98	12/02/20	224.14	50.16 W	0.00	91 of 328 - Sale <sup>25</sup>
4.000		181.66	12/02/20	256.70	75.04 W	0.00	92 of 328 - Sale <sup>25</sup>
4.111		186.70	12/02/20	262.47	75.77 W	0.00	93 of 328 - Sale <sup>25</sup>
4.751		215.78	12/02/20	304.58	88.80 W	0.00	94 of 328 - Sale <sup>25</sup>
4.908		222.89	12/02/20	311.28	88.39 W	0.00	95 of 328 - Sale <sup>25</sup>
5.138		233.32	12/02/20	325.84	92.52 W	0.00	96 of 328 - Sale <sup>25</sup>
6.459		293.32	12/02/20	403.46	110.14 W	0.00	97 of 328 - Sale <sup>25</sup>
10.249		465.44	12/02/20	657.01	191.57 W	0.00	98 of 328 - Sale <sup>25</sup>
15.435		700.97	12/02/20	913.89	212.92 W	0.00	99 of 328 - Sale <sup>25</sup>
2.000		90.83	12/02/20	117.02	26.19 W	0.00	100 of 328 - Sale <sup>25</sup>
5.111		232.11	12/02/20	302.37	70.26 W	0.00	101 of 328 - Sale <sup>25</sup>
1.000		45.41	12/02/20	59.83	14.42 W	0.00	102 of 328 - Sale <sup>25</sup>
1.000		45.41	12/02/20	60.72	15.31 W	0.00	103 of 328 - Sale <sup>25</sup>
1.889		85.79	12/02/20	113.01	27.22 W	0.00	104 of 328 - Sale <sup>25</sup>
4.000		181.66	12/02/20	243.82	62.16 W	0.00	105 of 328 - Sale <sup>25</sup>
5.000		227.07	12/02/20	301.90	74.83 W	0.00	106 of 328 - Sale <sup>25</sup>
8.000		361.19	12/02/20	366.14	4.95 W	0.00	107 of 328 - Sale <sup>25</sup>
26.000		1,173.87	12/02/20	1,198.03	24.16 W	0.00	108 of 328 - Sale <sup>25</sup>
13.000		586.94	12/02/20	599.02	12.08 W	0.00	109 of 328 - Sale <sup>25</sup>
2.000		90.30	12/02/20	93.43	3.13 W	0.00	110 of 328 - Sale <sup>25</sup>
1.000		45.15	12/02/20	46.47	1.32 W	0.00	111 of 328 - Sale <sup>25</sup>
50.000		2,257.44	12/02/20	2,323.36	65.92 W	0.00	112 of 328 - Sale <sup>25</sup>
2.000		90.30	12/02/20	92.93	2.63 W	0.00	113 of 328 - Sale <sup>25</sup>
0.242		10.91	12/02/20	13.90	2.99 W	0.00	114 of 328 - Sale <sup>25</sup>
0.138		6.22	12/02/20	8.03	1.81 W	0.00	115 of 328 - Sale <sup>25</sup>
0.379		17.12	12/02/20	22.06	4.94 W	0.00	116 of 328 - Sale <sup>25</sup>
0.316		14.28	12/02/20	20.60	6.32 W	0.00	117 of 328 - Sale <sup>25</sup>
1.138		51.37	12/02/20	74.08	22.71 W	0.00	118 of 328 - Sale <sup>25</sup>
3.169		143.08	12/02/20	203.47	60.39 W	0.00	119 of 328 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	5.831	263.26	12/02/20	374.42	111.16 W	0.00	120 of 328 - Sale <sup>25</sup>
	10.242	462.39	12/02/20	621.18	158.79 W	0.00	121 of 328 - Sale <sup>25</sup>
	0.546	24.65	12/02/20	32.30	7.65 W	0.00	122 of 328 - Sale <sup>25</sup>
	23.000	1,038.42	12/02/20	1,066.44	28.02 W	0.00	123 of 328 - Sale <sup>25</sup>
	0.454	20.50	12/02/20	26.79	6.29 W	0.00	124 of 328 - Sale <sup>25</sup>
	1.138	51.37	12/02/20	67.06	15.69 W	0.00	125 of 328 - Sale <sup>25</sup>
	1.316	59.43	12/02/20	77.40	17.97 W	0.00	126 of 328 - Sale <sup>25</sup>
	1.408	63.58	12/02/20	82.80	19.22 W	0.00	127 of 328 - Sale <sup>25</sup>
	2.000	90.30	12/02/20	117.59	27.29 W	0.00	128 of 328 - Sale <sup>25</sup>
	7.454	336.54	12/02/20	439.49	102.95 W	0.00	129 of 328 - Sale <sup>25</sup>
	11.230	507.00	12/02/20	660.63	153.63 W	0.00	130 of 328 - Sale <sup>25</sup>
	0.077	3.49	12/02/20	4.59	1.10 W	0.00	131 of 328 - Sale <sup>25</sup>
	1.092	49.30	12/02/20	65.65	16.35 W	0.00	132 of 328 - Sale <sup>25</sup>
	3.377	152.46	12/02/20	200.68	48.22 W	0.00	133 of 328 - Sale <sup>25</sup>
	4.831	218.11	12/02/20	290.48	72.37 W	0.00	134 of 328 - Sale <sup>25</sup>
	5.000	225.74	12/02/20	300.67	74.93 W	0.00	135 of 328 - Sale <sup>25</sup>
	10.623	479.62	12/02/20	638.74	159.12 W	0.00	136 of 328 - Sale <sup>25</sup>
	0.302	13.62	12/02/20	18.24	4.62 W	0.00	137 of 328 - Sale <sup>25</sup>
	0.729	32.90	12/02/20	43.99	11.09 W	0.00	138 of 328 - Sale <sup>25</sup>
	1.000	45.10	12/02/20	60.28	15.18 W	0.00	139 of 328 - Sale <sup>25</sup>
	5.271	237.70	12/02/20	317.71	80.01 W	0.00	140 of 328 - Sale <sup>25</sup>
	3.698	166.77	12/02/20	223.28	56.51 W	0.00	141 of 328 - Sale <sup>25</sup>
	0.485	21.89	12/02/20	29.46	7.57 W	0.00	142 of 328 - Sale <sup>25</sup>
	1.000	45.10	12/02/20	60.59	15.49 W	0.00	143 of 328 - Sale <sup>25</sup>
	1.515	68.30	12/02/20	91.91	23.61 W	0.00	144 of 328 - Sale <sup>25</sup>
	2.413	108.83	12/02/20	146.67	37.84 W	0.00	145 of 328 - Sale <sup>25</sup>
	3.242	146.19	12/02/20	197.03	50.84 W	0.00	146 of 328 - Sale <sup>25</sup>
	3.345	150.87	12/02/20	202.99	52.12 W	0.00	147 of 328 - Sale <sup>25</sup>
	3.483	157.08	12/02/20	209.92	52.84 W	0.00	148 of 328 - Sale <sup>25</sup>
	3.517	158.61	12/02/20	213.41	54.80 W	0.00	149 of 328 - Sale <sup>25</sup>
	4.515	203.60	12/02/20	274.40	70.80 W	0.00	150 of 328 - Sale <sup>25</sup>
	7.000	315.69	12/02/20	424.76	109.07 W	0.00	151 of 328 - Sale <sup>25</sup>
	12.000	541.19	12/02/20	723.11	181.92 W	0.00	152 of 328 - Sale <sup>25</sup>
	0.485	21.89	12/02/20	28.25	6.36 W	0.00	153 of 328 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.515	23.20	12/02/20	29.89	6.69 W	0.00	154 of 328 - Sale <sup>25</sup>
	1.000	45.10	12/02/20	58.19	13.09 W	0.00	155 of 328 - Sale <sup>25</sup>
	0.242	10.89	12/02/20	14.17	3.28 W	0.00	156 of 328 - Sale <sup>25</sup>
	0.244	11.00	12/02/20	14.30	3.30 W	0.00	157 of 328 - Sale <sup>25</sup>
	2.000	90.20	12/02/20	119.60	29.40 W	0.00	158 of 328 - Sale <sup>25</sup>
	2.408	108.61	12/02/20	141.20	32.59 W	0.00	159 of 328 - Sale <sup>25</sup>
	3.862	174.19	12/02/20	226.45	52.26 W	0.00	160 of 328 - Sale <sup>25</sup>
	4.867	219.50	12/02/20	285.91	66.41 W	0.00	161 of 328 - Sale <sup>25</sup>
	10.862	489.88	12/02/20	636.85	146.97 W	0.00	162 of 328 - Sale <sup>25</sup>
	8.000	360.79	12/02/20	466.86	106.07 W	0.00	163 of 328 - Sale <sup>25</sup>
	0.454	20.48	12/02/20	26.55	6.07 W	0.00	164 of 328 - Sale <sup>25</sup>
	0.592	26.69	12/02/20	34.56	7.87 W	0.00	165 of 328 - Sale <sup>25</sup>
	1.000	45.10	12/02/20	55.19	10.09 W	0.00	166 of 328 - Sale <sup>25</sup>
	1.546	69.72	12/02/20	90.34	20.62 W	0.00	167 of 328 - Sale <sup>25</sup>
	3.000	135.30	12/02/20	173.43	38.13 W	0.00	168 of 328 - Sale <sup>25</sup>
	4.515	203.60	12/02/20	262.64	59.04 W	0.00	169 of 328 - Sale <sup>25</sup>
	8.862	399.68	12/02/20	517.80	118.12 W	0.00	170 of 328 - Sale <sup>25</sup>
	0.106	4.79	12/02/20	6.18	1.39 W	0.00	171 of 328 - Sale <sup>25</sup>
	1.138	51.31	12/02/20	68.95	17.64 W	0.00	172 of 328 - Sale <sup>25</sup>
	3.000	135.30	12/02/20	174.98	39.68 W	0.00	173 of 328 - Sale <sup>25</sup>
	3.031	136.71	12/02/20	176.82	40.11 W	0.00	174 of 328 - Sale <sup>25</sup>
	3.756	169.39	12/02/20	228.56	59.17 W	0.00	175 of 328 - Sale <sup>25</sup>
	7.515	338.90	12/02/20	457.27	118.37 W	0.00	176 of 328 - Sale <sup>25</sup>
	7.592	342.38	12/02/20	461.96	119.58 W	0.00	177 of 328 - Sale <sup>25</sup>
	10.894	491.30	12/02/20	654.83	163.53 W	0.00	178 of 328 - Sale <sup>25</sup>
	0.688	31.05	12/02/20	39.08	8.03 W	0.00	179 of 328 - Sale <sup>25</sup>
	3.795	171.14	12/02/20	215.40	44.26 W	0.00	180 of 328 - Sale <sup>25</sup>
	0.932	42.05	12/02/20	49.63	7.58 W	0.00	181 of 328 - Sale <sup>25</sup>
	1.000	45.10	12/02/20	53.22	8.12 W	0.00	182 of 328 - Sale <sup>25</sup>
	1.000	45.10	12/02/20	53.22	8.12 W	0.00	183 of 328 - Sale <sup>25</sup>
	3.449	155.56	12/02/20	183.58	28.02 W	0.00	184 of 328 - Sale <sup>25</sup>
	4.862	219.28	12/02/20	258.80	39.52 W	0.00	185 of 328 - Sale <sup>25</sup>
	6.517	293.90	12/02/20	346.86	52.96 W	0.00	186 of 328 - Sale <sup>25</sup>
	3.000	135.30	12/02/20	156.15	20.85 W	0.00	187 of 328 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	4.000	180.40	12/02/20	208.17	27.77 W	0.00	188 of 328 - Sale <sup>25</sup>
	5.756	259.59	12/02/20	299.98	40.39 W	0.00	189 of 328 - Sale <sup>25</sup>
	3.000	135.30	12/02/20	182.88	47.58 W	0.00	190 of 328 - Sale <sup>25</sup>
	3.000	135.30	12/02/20	158.84	23.54 W	0.00	191 of 328 - Sale <sup>25</sup>
	4.000	180.39	12/02/20	211.74	31.35 W	0.00	192 of 328 - Sale <sup>25</sup>
	6.000	270.59	12/02/20	317.65	47.06 W	0.00	193 of 328 - Sale <sup>25</sup>
	14.000	631.38	12/02/20	739.06	107.68 W	0.00	194 of 328 - Sale <sup>25</sup>
	21.000	947.07	12/02/20	1,108.38	161.31 W	0.00	195 of 328 - Sale <sup>25</sup>
	19.000	856.88	12/02/20	875.49	18.61 W	0.00	196 of 328 - Sale <sup>25</sup>
	3.000	135.45	12/02/20	138.23	2.78 W	0.00	197 of 328 - Sale <sup>25</sup>
	0.092	4.15	12/03/20	5.83	1.68 W	0.00	198 of 328 - Sale <sup>25</sup>
	0.454	20.50	12/03/20	28.05	7.55 W	0.00	199 of 328 - Sale <sup>25</sup>
	0.454	20.50	12/03/20	28.04	7.54 W	0.00	200 of 328 - Sale <sup>25</sup>
	0.546	24.65	12/03/20	34.50	9.85 W	0.00	201 of 328 - Sale <sup>25</sup>
	1.000	45.15	12/03/20	61.76	16.61 W	0.00	202 of 328 - Sale <sup>25</sup>
	3.454	155.95	12/03/20	218.35	62.40 W	0.00	203 of 328 - Sale <sup>25</sup>
	6.000	270.89	12/03/20	371.23	100.34 W	0.00	204 of 328 - Sale <sup>25</sup>
	0.729	32.93	12/03/20	43.07	10.14 W	0.00	205 of 328 - Sale <sup>25</sup>
	2.698	121.81	12/03/20	164.92	43.11 W	0.00	206 of 328 - Sale <sup>25</sup>
	4.302	194.23	12/03/20	262.98	68.75 W	0.00	207 of 328 - Sale <sup>25</sup>
	8.000	361.19	12/03/20	489.02	127.83 W	0.00	208 of 328 - Sale <sup>25</sup>
	13.271	599.15	12/03/20	794.50	195.35 W	0.00	209 of 328 - Sale <sup>25</sup>
	0.973	43.95	12/03/20	58.56	14.61 W	0.00	210 of 328 - Sale <sup>25</sup>
	1.000	45.15	12/03/20	60.17	15.02 W	0.00	211 of 328 - Sale <sup>25</sup>
	1.027	46.35	12/03/20	61.77	15.42 W	0.00	212 of 328 - Sale <sup>25</sup>
	6.000	270.89	12/03/20	354.99	84.10 W	0.00	213 of 328 - Sale <sup>25</sup>
	0.063	2.84	12/03/20	3.94	1.10 W	0.00	214 of 328 - Sale <sup>25</sup>
	0.766	34.57	12/03/20	47.70	13.13 W	0.00	215 of 328 - Sale <sup>25</sup>
	1.000	45.15	12/03/20	62.41	17.26 W	0.00	216 of 328 - Sale <sup>25</sup>
	1.000	45.15	12/03/20	62.31	17.16 W	0.00	217 of 328 - Sale <sup>25</sup>
	1.174	53.00	12/03/20	73.63	20.63 W	0.00	218 of 328 - Sale <sup>25</sup>
	2.234	100.88	12/03/20	139.23	38.35 W	0.00	219 of 328 - Sale <sup>25</sup>
	3.729	168.38	12/03/20	232.38	64.00 W	0.00	220 of 328 - Sale <sup>25</sup>
	3.908	176.45	12/03/20	238.12	61.67 W	0.00	221 of 328 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	4.688	211.68	12/03/20	294.10	82.42 W	0.00	222 of 328 - Sale <sup>25</sup>
	5.249	236.97	12/03/20	328.73	91.76 W	0.00	223 of 328 - Sale <sup>25</sup>
	5.817	262.61	12/03/20	363.11	100.50 W	0.00	224 of 328 - Sale <sup>25</sup>
	6.000	270.89	12/03/20	375.32	104.43 W	0.00	225 of 328 - Sale <sup>25</sup>
	1.000	45.15	12/03/20	62.30	17.15 W	0.00	226 of 328 - Sale <sup>25</sup>
	7.372	332.84	12/03/20	461.87	129.03 W	0.00	227 of 328 - Sale <sup>25</sup>
	9.000	406.34	12/03/20	561.63	155.29 W	0.00	228 of 328 - Sale <sup>25</sup>
	0.435	19.64	12/03/20	27.81	8.17 W	0.00	229 of 328 - Sale <sup>25</sup>
	0.995	44.93	12/03/20	63.38	18.45 W	0.00	230 of 328 - Sale <sup>25</sup>
	1.000	45.15	12/03/20	63.84	18.69 W	0.00	231 of 328 - Sale <sup>25</sup>
	1.000	45.15	12/03/20	63.75	18.60 W	0.00	232 of 328 - Sale <sup>25</sup>
	4.111	185.61	12/03/20	261.80	76.19 W	0.00	233 of 328 - Sale <sup>25</sup>
	5.000	225.74	12/03/20	311.53	85.79 W	0.00	234 of 328 - Sale <sup>25</sup>
	6.459	291.61	12/03/20	402.41	110.80 W	0.00	235 of 328 - Sale <sup>25</sup>
	0.111	5.01	12/03/20	6.48	1.47 W	0.00	236 of 328 - Sale <sup>25</sup>
	0.271	12.22	12/03/20	16.30	4.08 W	0.00	237 of 328 - Sale <sup>25</sup>
	0.973	43.95	12/03/20	56.62	12.67 W	0.00	238 of 328 - Sale <sup>25</sup>
	1.005	45.36	12/03/20	60.44	15.08 W	0.00	239 of 328 - Sale <sup>25</sup>
	1.111	50.16	12/03/20	66.04	15.88 W	0.00	240 of 328 - Sale <sup>25</sup>
	1.449	65.43	12/03/20	86.99	21.56 W	0.00	241 of 328 - Sale <sup>25</sup>
	1.698	76.66	12/03/20	101.37	24.71 W	0.00	242 of 328 - Sale <sup>25</sup>
	2.623	118.43	12/03/20	156.24	37.81 W	0.00	243 of 328 - Sale <sup>25</sup>
	2.862	129.23	12/03/20	171.92	42.69 W	0.00	244 of 328 - Sale <sup>25</sup>
	3.000	135.45	12/03/20	175.24	39.79 W	0.00	245 of 328 - Sale <sup>25</sup>
	3.027	136.65	12/03/20	180.73	44.08 W	0.00	246 of 328 - Sale <sup>25</sup>
	3.729	168.38	12/03/20	221.84	53.46 W	0.00	247 of 328 - Sale <sup>25</sup>
	3.133	141.45	12/03/20	186.29	44.84 W	0.00	248 of 328 - Sale <sup>25</sup>
	4.271	192.81	12/03/20	254.38	61.57 W	0.00	249 of 328 - Sale <sup>25</sup>
	4.684	211.46	12/03/20	281.06	69.60 W	0.00	250 of 328 - Sale <sup>25</sup>
	4.729	213.53	12/03/20	283.82	70.29 W	0.00	251 of 328 - Sale <sup>25</sup>
	6.133	276.89	12/03/20	365.33	88.44 W	0.00	252 of 328 - Sale <sup>25</sup>
	7.302	329.68	12/03/20	436.00	106.32 W	0.00	253 of 328 - Sale <sup>25</sup>
	8.889	401.33	12/03/20	515.89	114.56 W	0.00	254 of 328 - Sale <sup>25</sup>
	17.000	767.53	12/03/20	986.62	219.09 W	0.00	255 of 328 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.867	39.19	12/03/20	51.56	12.37 W	0.00	256 of 328 - Sale <sup>25</sup>
	4.133	186.80	12/03/20	245.77	58.97 W	0.00	257 of 328 - Sale <sup>25</sup>
	0.758	34.28	12/03/20	46.00	11.72 W	0.00	258 of 328 - Sale <sup>25</sup>
	0.792	35.81	12/03/20	47.87	12.06 W	0.00	259 of 328 - Sale <sup>25</sup>
	0.894	40.40	12/03/20	54.01	13.61 W	0.00	260 of 328 - Sale <sup>25</sup>
	1.000	45.20	12/03/20	60.42	15.22 W	0.00	261 of 328 - Sale <sup>25</sup>
	4.000	180.80	12/03/20	241.72	60.92 W	0.00	262 of 328 - Sale <sup>25</sup>
	4.000	180.80	12/03/20	241.69	60.89 W	0.00	263 of 328 - Sale <sup>25</sup>
	4.449	201.10	12/03/20	269.84	68.74 W	0.00	264 of 328 - Sale <sup>25</sup>
	5.106	230.80	12/03/20	308.58	77.78 W	0.00	265 of 328 - Sale <sup>25</sup>
	11.000	497.19	12/03/20	664.66	167.47 W	0.00	266 of 328 - Sale <sup>25</sup>
	13.000	587.58	12/03/20	785.19	197.61 W	0.00	267 of 328 - Sale <sup>25</sup>
	0.111	5.02	12/03/20	7.04	2.02 W	0.00	268 of 328 - Sale <sup>25</sup>
	0.454	20.52	12/03/20	29.06	8.54 W	0.00	269 of 328 - Sale <sup>25</sup>
	0.688	31.12	12/03/20	43.58	12.46 W	0.00	270 of 328 - Sale <sup>25</sup>
	0.720	32.54	12/03/20	45.52	12.98 W	0.00	271 of 328 - Sale <sup>25</sup>
	2.000	90.40	12/03/20	116.79	26.39 W	0.00	272 of 328 - Sale <sup>25</sup>
	2.111	95.42	12/03/20	134.97	39.55 W	0.00	273 of 328 - Sale <sup>25</sup>
	3.604	162.90	12/03/20	228.16	65.26 W	0.00	274 of 328 - Sale <sup>25</sup>
	3.831	173.15	12/03/20	223.70	50.55 W	0.00	275 of 328 - Sale <sup>25</sup>
	4.000	180.80	12/03/20	256.24	75.44 W	0.00	276 of 328 - Sale <sup>25</sup>
	4.751	214.75	12/03/20	304.03	89.28 W	0.00	277 of 328 - Sale <sup>25</sup>
	4.908	221.84	12/03/20	310.73	88.89 W	0.00	278 of 328 - Sale <sup>25</sup>
	5.111	231.01	12/03/20	301.79	70.78 W	0.00	279 of 328 - Sale <sup>25</sup>
	5.138	232.22	12/03/20	325.26	93.04 W	0.00	280 of 328 - Sale <sup>25</sup>
	8.000	361.59	12/03/20	468.47	106.88 W	0.00	281 of 328 - Sale <sup>25</sup>
	10.249	463.23	12/03/20	655.84	192.61 W	0.00	282 of 328 - Sale <sup>25</sup>
	15.435	697.64	12/03/20	912.12	214.48 W	0.00	283 of 328 - Sale <sup>25</sup>
	0.302	13.65	12/03/20	18.30	4.65 W	0.00	284 of 328 - Sale <sup>25</sup>
	0.729	32.97	12/03/20	44.13	11.16 W	0.00	285 of 328 - Sale <sup>25</sup>
	1.000	45.20	12/03/20	59.72	14.52 W	0.00	286 of 328 - Sale <sup>25</sup>
	1.000	45.20	12/03/20	60.61	15.41 W	0.00	287 of 328 - Sale <sup>25</sup>
	1.000	45.20	12/03/20	60.48	15.28 W	0.00	288 of 328 - Sale <sup>25</sup>
	1.889	85.38	12/03/20	112.79	27.41 W	0.00	289 of 328 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	3.698	167.14	12/03/20	224.03	56.89 W	0.00	290 of 328 - Sale <sup>25</sup>
	4.000	180.79	12/03/20	243.36	62.57 W	0.00	291 of 328 - Sale <sup>25</sup>
	5.000	225.99	12/03/20	301.33	75.34 W	0.00	292 of 328 - Sale <sup>25</sup>
	5.271	238.22	12/03/20	318.77	80.55 W	0.00	293 of 328 - Sale <sup>25</sup>
	0.485	21.94	12/03/20	29.56	7.62 W	0.00	294 of 328 - Sale <sup>25</sup>
	1.000	45.20	12/03/20	60.79	15.59 W	0.00	295 of 328 - Sale <sup>25</sup>
	1.515	68.45	12/03/20	92.22	23.77 W	0.00	296 of 328 - Sale <sup>25</sup>
	2.413	109.07	12/03/20	147.15	38.08 W	0.00	297 of 328 - Sale <sup>25</sup>
	3.242	146.51	12/03/20	197.68	51.17 W	0.00	298 of 328 - Sale <sup>25</sup>
	3.345	151.21	12/03/20	203.67	52.46 W	0.00	299 of 328 - Sale <sup>25</sup>
	3.483	157.43	12/03/20	210.62	53.19 W	0.00	300 of 328 - Sale <sup>25</sup>
	3.517	158.96	12/03/20	214.12	55.16 W	0.00	301 of 328 - Sale <sup>25</sup>
	3.000	135.60	12/03/20	182.95	47.35 W	0.00	302 of 328 - Sale <sup>25</sup>
	7.000	316.39	12/03/20	426.17	109.78 W	0.00	303 of 328 - Sale <sup>25</sup>
	12.000	542.39	12/03/20	725.52	183.13 W	0.00	304 of 328 - Sale <sup>25</sup>
	1.515	68.45	12/03/20	92.36	23.91 W	0.00	305 of 328 - Sale <sup>25</sup>
	0.485	21.94	12/03/20	28.35	6.41 W	0.00	306 of 328 - Sale <sup>25</sup>
	0.515	23.26	12/03/20	30.00	6.74 W	0.00	307 of 328 - Sale <sup>25</sup>
	1.000	45.20	12/03/20	58.39	13.19 W	0.00	308 of 328 - Sale <sup>25</sup>
	0.242	10.92	12/03/20	14.22	3.30 W	0.00	309 of 328 - Sale <sup>25</sup>
	0.244	11.03	12/03/20	14.35	3.32 W	0.00	310 of 328 - Sale <sup>25</sup>
	0.454	20.52	12/03/20	26.64	6.12 W	0.00	311 of 328 - Sale <sup>25</sup>
	0.592	26.75	12/03/20	34.68	7.93 W	0.00	312 of 328 - Sale <sup>25</sup>
	1.000	45.20	12/03/20	55.39	10.19 W	0.00	313 of 328 - Sale <sup>25</sup>
	1.546	69.88	12/03/20	90.65	20.77 W	0.00	314 of 328 - Sale <sup>25</sup>
	2.000	90.40	12/03/20	120.00	29.60 W	0.00	315 of 328 - Sale <sup>25</sup>
	2.408	108.85	12/03/20	141.68	32.83 W	0.00	316 of 328 - Sale <sup>25</sup>
	3.000	135.60	12/03/20	174.03	38.43 W	0.00	317 of 328 - Sale <sup>25</sup>
	3.862	174.57	12/03/20	227.22	52.65 W	0.00	318 of 328 - Sale <sup>25</sup>
	4.515	204.05	12/03/20	263.55	59.50 W	0.00	319 of 328 - Sale <sup>25</sup>
	4.867	219.99	12/03/20	286.89	66.90 W	0.00	320 of 328 - Sale <sup>25</sup>
	8.862	400.56	12/03/20	519.58	119.02 W	0.00	321 of 328 - Sale <sup>25</sup>
	10.862	490.96	12/03/20	639.03	148.07 W	0.00	322 of 328 - Sale <sup>25</sup>
	0.106	4.80	12/03/20	6.20	1.40 W	0.00	323 of 328 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.138	51.42	12/03/20	69.18	17.76 W	0.00	324 of 328 - Sale <sup>25</sup>
	3.000	135.59	12/03/20	175.58	39.99 W	0.00	325 of 328 - Sale <sup>25</sup>
	3.031	137.02	12/03/20	177.43	40.41 W	0.00	326 of 328 - Sale <sup>25</sup>
	3.756	169.77	12/03/20	229.32	59.55 W	0.00	327 of 328 - Sale <sup>25</sup>
	5.000	225.99	12/03/20	305.26	79.27 W	0.00	328 of 328 - Sale <sup>25</sup>
12/03/20	1,298.000	58,727.04	Various	75,846.71	17,119.67 W	0.00	Total of 328 transactions
	215 transactions for 12/04/20. Total proceeds and cost reported to the IRS.						
	2.515	109.86	12/03/20	153.90	44.04 W	0.00	1 of 215 - Sale <sup>25</sup>
	7.592	331.70	12/03/20	464.62	132.92 W	0.00	2 of 215 - Sale <sup>25</sup>
	9.894	432.28	12/03/20	598.19	165.91 W	0.00	3 of 215 - Sale <sup>25</sup>
	1.000	42.00	12/04/20	58.01	16.01 W	0.00	4 of 215 - Sale <sup>25</sup>
	3.000	126.00	12/04/20	176.58	50.58 W	0.00	5 of 215 - Sale <sup>25</sup>
	0.688	28.91	12/04/20	37.63	8.72 W	0.00	6 of 215 - Sale <sup>25</sup>
	3.795	159.37	12/04/20	207.43	48.06 W	0.00	7 of 215 - Sale <sup>25</sup>
	0.932	39.16	12/04/20	47.67	8.51 W	0.00	8 of 215 - Sale <sup>25</sup>
	1.000	42.00	12/04/20	51.12	9.12 W	0.00	9 of 215 - Sale <sup>25</sup>
	1.000	42.00	12/04/20	51.12	9.12 W	0.00	10 of 215 - Sale <sup>25</sup>
	3.449	144.87	12/04/20	176.34	31.47 W	0.00	11 of 215 - Sale <sup>25</sup>
	4.862	204.21	12/04/20	248.60	44.39 W	0.00	12 of 215 - Sale <sup>25</sup>
	6.517	273.70	12/04/20	333.19	59.49 W	0.00	13 of 215 - Sale <sup>25</sup>
	3.000	126.00	12/04/20	149.85	23.85 W	0.00	14 of 215 - Sale <sup>25</sup>
	3.000	126.00	12/04/20	152.54	26.54 W	0.00	15 of 215 - Sale <sup>25</sup>
	4.000	168.00	12/04/20	199.77	31.77 W	0.00	16 of 215 - Sale <sup>25</sup>
	4.000	168.00	12/04/20	203.35	35.35 W	0.00	17 of 215 - Sale <sup>25</sup>
	5.756	241.75	12/04/20	287.90	46.15 W	0.00	18 of 215 - Sale <sup>25</sup>
	6.000	251.99	12/04/20	305.06	53.07 W	0.00	19 of 215 - Sale <sup>25</sup>
	14.000	587.98	12/04/20	709.68	121.70 W	0.00	20 of 215 - Sale <sup>25</sup>
	21.000	881.98	12/04/20	1,064.31	182.33 W	0.00	21 of 215 - Sale <sup>25</sup>
	13.000	545.99	12/04/20	571.73	25.74 W	0.00	22 of 215 - Sale <sup>25</sup>
	2.000	84.00	12/04/20	89.12	5.12 W	0.00	23 of 215 - Sale <sup>25</sup>
	3.000	126.00	12/04/20	131.77	5.77 W	0.00	24 of 215 - Sale <sup>25</sup>
	6.000	251.99	12/04/20	263.86	11.87 W	0.00	25 of 215 - Sale <sup>25</sup>
	8.000	335.99	12/04/20	348.92	12.93 W	0.00	26 of 215 - Sale <sup>25</sup>
	13.000	545.99	12/04/20	571.03	25.04 W	0.00	27 of 215 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
26.000		1,091.97	12/04/20	1,142.06	50.09 W	0.00	28 of 215 - Sale <sup>25</sup>
42.000		1,763.95	12/04/20	1,861.21	97.26 W	0.00	29 of 215 - Sale <sup>25</sup>
0.242		10.14	12/04/20	13.35	3.21 W	0.00	30 of 215 - Sale <sup>25</sup>
0.138		5.78	12/04/20	7.72	1.94 W	0.00	31 of 215 - Sale <sup>25</sup>
0.379		15.93	12/04/20	21.21	5.28 W	0.00	32 of 215 - Sale <sup>25</sup>
0.316		13.29	12/04/20	19.89	6.60 W	0.00	33 of 215 - Sale <sup>25</sup>
1.138		47.78	12/04/20	71.52	23.74 W	0.00	34 of 215 - Sale <sup>25</sup>
3.169		133.10	12/04/20	196.35	63.25 W	0.00	35 of 215 - Sale <sup>25</sup>
5.831		244.89	12/04/20	361.30	116.41 W	0.00	36 of 215 - Sale <sup>25</sup>
10.242		430.13	12/04/20	598.15	168.02 W	0.00	37 of 215 - Sale <sup>25</sup>
0.546		22.93	12/04/20	31.07	8.14 W	0.00	38 of 215 - Sale <sup>25</sup>
1.000		42.00	12/04/20	44.22	2.22 W	0.00	39 of 215 - Sale <sup>25</sup>
2.000		84.00	12/04/20	88.43	4.43 W	0.00	40 of 215 - Sale <sup>25</sup>
8.000		335.99	12/04/20	353.75	17.76 W	0.00	41 of 215 - Sale <sup>25</sup>
17.000		713.98	12/04/20	750.01	36.03 W	0.00	42 of 215 - Sale <sup>25</sup>
0.077		3.24	12/04/20	4.41	1.17 W	0.00	43 of 215 - Sale <sup>25</sup>
0.454		19.07	12/04/20	25.75	6.68 W	0.00	44 of 215 - Sale <sup>25</sup>
1.092		45.86	12/04/20	63.14	17.28 W	0.00	45 of 215 - Sale <sup>25</sup>
1.138		47.78	12/04/20	64.44	16.66 W	0.00	46 of 215 - Sale <sup>25</sup>
1.316		55.29	12/04/20	74.38	19.09 W	0.00	47 of 215 - Sale <sup>25</sup>
1.408		59.15	12/04/20	79.56	20.41 W	0.00	48 of 215 - Sale <sup>25</sup>
2.000		84.00	12/04/20	112.99	28.99 W	0.00	49 of 215 - Sale <sup>25</sup>
3.377		141.82	12/04/20	192.92	51.10 W	0.00	50 of 215 - Sale <sup>25</sup>
4.831		202.89	12/04/20	279.37	76.48 W	0.00	51 of 215 - Sale <sup>25</sup>
5.000		209.99	12/04/20	289.18	79.19 W	0.00	52 of 215 - Sale <sup>25</sup>
7.454		313.06	12/04/20	422.36	109.30 W	0.00	53 of 215 - Sale <sup>25</sup>
10.623		446.16	12/04/20	614.32	168.16 W	0.00	54 of 215 - Sale <sup>25</sup>
11.230		471.63	12/04/20	634.82	163.19 W	0.00	55 of 215 - Sale <sup>25</sup>
0.092		3.86	12/04/20	5.62	1.76 W	0.00	56 of 215 - Sale <sup>25</sup>
0.454		19.07	12/04/20	27.01	7.94 W	0.00	57 of 215 - Sale <sup>25</sup>
0.454		19.07	12/04/20	27.00	7.93 W	0.00	58 of 215 - Sale <sup>25</sup>
0.546		22.93	12/04/20	33.24	10.31 W	0.00	59 of 215 - Sale <sup>25</sup>
1.000		42.00	12/04/20	59.46	17.46 W	0.00	60 of 215 - Sale <sup>25</sup>
3.454		145.07	12/04/20	210.40	65.33 W	0.00	61 of 215 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
6.000		251.99	12/04/20	357.44	105.45 W	0.00	62 of 215 - Sale <sup>25</sup>
0.729		30.63	12/04/20	41.39	10.76 W	0.00	63 of 215 - Sale <sup>25</sup>
2.698		113.31	12/04/20	158.72	45.41 W	0.00	64 of 215 - Sale <sup>25</sup>
4.302		180.68	12/04/20	253.09	72.41 W	0.00	65 of 215 - Sale <sup>25</sup>
8.000		335.99	12/04/20	470.63	134.64 W	0.00	66 of 215 - Sale <sup>25</sup>
13.271		557.35	12/04/20	763.99	206.64 W	0.00	67 of 215 - Sale <sup>25</sup>
0.973		40.88	12/04/20	56.32	15.44 W	0.00	68 of 215 - Sale <sup>25</sup>
1.000		42.00	12/04/20	57.87	15.87 W	0.00	69 of 215 - Sale <sup>25</sup>
1.027		43.12	12/04/20	59.41	16.29 W	0.00	70 of 215 - Sale <sup>25</sup>
6.000		251.99	12/04/20	264.41	12.42 W	0.00	71 of 215 - Sale <sup>25</sup>
0.063		2.64	12/04/20	3.79	1.15 W	0.00	72 of 215 - Sale <sup>25</sup>
0.435		18.27	12/04/20	26.76	8.49 W	0.00	73 of 215 - Sale <sup>25</sup>
0.766		32.16	12/04/20	45.86	13.70 W	0.00	74 of 215 - Sale <sup>25</sup>
0.995		41.80	12/04/20	61.00	19.20 W	0.00	75 of 215 - Sale <sup>25</sup>
1.000		42.00	12/04/20	60.01	18.01 W	0.00	76 of 215 - Sale <sup>25</sup>
1.000		42.00	12/04/20	59.91	17.91 W	0.00	77 of 215 - Sale <sup>25</sup>
1.000		42.00	12/04/20	59.90	17.90 W	0.00	78 of 215 - Sale <sup>25</sup>
1.000		42.00	12/04/20	61.44	19.44 W	0.00	79 of 215 - Sale <sup>25</sup>
1.000		42.00	12/04/20	61.35	19.35 W	0.00	80 of 215 - Sale <sup>25</sup>
1.174		49.30	12/04/20	70.81	21.51 W	0.00	81 of 215 - Sale <sup>25</sup>
2.234		93.84	12/04/20	133.87	40.03 W	0.00	82 of 215 - Sale <sup>25</sup>
3.729		156.63	12/04/20	223.43	66.80 W	0.00	83 of 215 - Sale <sup>25</sup>
3.908		164.14	12/04/20	228.74	64.60 W	0.00	84 of 215 - Sale <sup>25</sup>
4.111		172.66	12/04/20	251.94	79.28 W	0.00	85 of 215 - Sale <sup>25</sup>
4.688		196.91	12/04/20	282.85	85.94 W	0.00	86 of 215 - Sale <sup>25</sup>
5.000		209.99	12/04/20	299.54	89.55 W	0.00	87 of 215 - Sale <sup>25</sup>
5.249		220.44	12/04/20	316.14	95.70 W	0.00	88 of 215 - Sale <sup>25</sup>
5.817		244.29	12/04/20	349.16	104.87 W	0.00	89 of 215 - Sale <sup>25</sup>
6.000		251.99	12/04/20	360.93	108.94 W	0.00	90 of 215 - Sale <sup>25</sup>
6.459		271.26	12/04/20	386.91	115.65 W	0.00	91 of 215 - Sale <sup>25</sup>
7.372		309.62	12/04/20	444.19	134.57 W	0.00	92 of 215 - Sale <sup>25</sup>
9.000		377.99	12/04/20	540.04	162.05 W	0.00	93 of 215 - Sale <sup>25</sup>
0.111		4.66	12/04/20	6.22	1.56 W	0.00	94 of 215 - Sale <sup>25</sup>
1.889		79.34	12/04/20	105.09	25.75 W	0.00	95 of 215 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	3.000	126.00	12/04/20	168.04	42.04 W	0.00	96 of 215 - Sale <sup>25</sup>
	6.000	251.99	12/04/20	340.60	88.61 W	0.00	97 of 215 - Sale <sup>25</sup>
	17.000	713.98	12/04/20	945.84	231.86 W	0.00	98 of 215 - Sale <sup>25</sup>
	0.271	11.36	12/04/20	15.63	4.27 W	0.00	99 of 215 - Sale <sup>25</sup>
	0.867	36.42	12/04/20	49.39	12.97 W	0.00	100 of 215 - Sale <sup>25</sup>
	0.973	40.88	12/04/20	54.23	13.35 W	0.00	101 of 215 - Sale <sup>25</sup>
	1.005	42.20	12/04/20	57.98	15.78 W	0.00	102 of 215 - Sale <sup>25</sup>
	1.111	46.66	12/04/20	63.32	16.66 W	0.00	103 of 215 - Sale <sup>25</sup>
	1.449	60.87	12/04/20	83.44	22.57 W	0.00	104 of 215 - Sale <sup>25</sup>
	1.698	71.31	12/04/20	97.21	25.90 W	0.00	105 of 215 - Sale <sup>25</sup>
	2.623	110.17	12/04/20	149.82	39.65 W	0.00	106 of 215 - Sale <sup>25</sup>
	2.862	120.21	12/04/20	164.91	44.70 W	0.00	107 of 215 - Sale <sup>25</sup>
	3.027	127.12	12/04/20	173.32	46.20 W	0.00	108 of 215 - Sale <sup>25</sup>
	3.133	131.58	12/04/20	178.62	47.04 W	0.00	109 of 215 - Sale <sup>25</sup>
	3.729	156.63	12/04/20	212.71	56.08 W	0.00	110 of 215 - Sale <sup>25</sup>
	4.133	173.58	12/04/20	235.45	61.87 W	0.00	111 of 215 - Sale <sup>25</sup>
	4.271	179.36	12/04/20	243.92	64.56 W	0.00	112 of 215 - Sale <sup>25</sup>
	4.684	196.71	12/04/20	269.59	72.88 W	0.00	113 of 215 - Sale <sup>25</sup>
	4.729	198.63	12/04/20	272.24	73.61 W	0.00	114 of 215 - Sale <sup>25</sup>
	6.133	257.58	12/04/20	350.32	92.74 W	0.00	115 of 215 - Sale <sup>25</sup>
	7.000	293.99	12/04/20	389.12	95.13 W	0.00	116 of 215 - Sale <sup>25</sup>
	7.302	306.68	12/04/20	418.12	111.44 W	0.00	117 of 215 - Sale <sup>25</sup>
	0.758	31.85	12/04/20	44.11	12.26 W	0.00	118 of 215 - Sale <sup>25</sup>
	0.792	33.27	12/04/20	45.89	12.62 W	0.00	119 of 215 - Sale <sup>25</sup>
	0.894	37.54	12/04/20	51.77	14.23 W	0.00	120 of 215 - Sale <sup>25</sup>
	2.000	84.00	12/04/20	115.86	31.86 W	0.00	121 of 215 - Sale <sup>25</sup>
	4.449	186.86	12/04/20	258.72	71.86 W	0.00	122 of 215 - Sale <sup>25</sup>
	5.106	214.46	12/04/20	295.82	81.36 W	0.00	123 of 215 - Sale <sup>25</sup>
	1.000	42.00	12/04/20	57.87	15.87 W	0.00	124 of 215 - Sale <sup>25</sup>
	2.000	84.00	12/04/20	115.76	31.76 W	0.00	125 of 215 - Sale <sup>25</sup>
	2.000	84.00	12/04/20	115.75	31.75 W	0.00	126 of 215 - Sale <sup>25</sup>
	0.111	4.66	12/04/20	6.76	2.10 W	0.00	127 of 215 - Sale <sup>25</sup>
	0.454	19.07	12/04/20	27.90	8.83 W	0.00	128 of 215 - Sale <sup>25</sup>
	0.688	28.91	12/04/20	41.82	12.91 W	0.00	129 of 215 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.720	30.23	12/04/20	43.68	13.45 W	0.00	130 of 215 - Sale <sup>25</sup>
	2.000	84.00	12/04/20	111.69	27.69 W	0.00	131 of 215 - Sale <sup>25</sup>
	2.111	88.66	12/04/20	129.59	40.93 W	0.00	132 of 215 - Sale <sup>25</sup>
	3.604	151.37	12/04/20	218.97	67.60 W	0.00	133 of 215 - Sale <sup>25</sup>
	3.831	160.89	12/04/20	213.94	53.05 W	0.00	134 of 215 - Sale <sup>25</sup>
	4.000	168.00	12/04/20	246.04	78.04 W	0.00	135 of 215 - Sale <sup>25</sup>
	4.751	199.55	12/04/20	291.92	92.37 W	0.00	136 of 215 - Sale <sup>25</sup>
	4.908	206.13	12/04/20	298.22	92.09 W	0.00	137 of 215 - Sale <sup>25</sup>
	5.111	214.66	12/04/20	288.77	74.11 W	0.00	138 of 215 - Sale <sup>25</sup>
	5.138	215.78	12/04/20	312.16	96.38 W	0.00	139 of 215 - Sale <sup>25</sup>
	5.889	247.33	12/04/20	329.84	82.51 W	0.00	140 of 215 - Sale <sup>25</sup>
	10.249	430.43	12/04/20	629.72	199.29 W	0.00	141 of 215 - Sale <sup>25</sup>
	15.435	648.25	12/04/20	872.78	224.53 W	0.00	142 of 215 - Sale <sup>25</sup>
	4.000	168.00	12/04/20	231.49	63.49 W	0.00	143 of 215 - Sale <sup>25</sup>
	9.000	377.99	12/04/20	520.87	142.88 W	0.00	144 of 215 - Sale <sup>25</sup>
	13.000	545.99	12/04/20	752.06	206.07 W	0.00	145 of 215 - Sale <sup>25</sup>
	2.111	88.66	12/04/20	118.13	29.47 W	0.00	146 of 215 - Sale <sup>25</sup>
	0.302	12.68	12/04/20	17.52	4.84 W	0.00	147 of 215 - Sale <sup>25</sup>
	0.729	30.63	12/04/20	42.23	11.60 W	0.00	148 of 215 - Sale <sup>25</sup>
	1.000	42.00	12/04/20	57.12	15.12 W	0.00	149 of 215 - Sale <sup>25</sup>
	1.000	42.00	12/04/20	58.01	16.01 W	0.00	150 of 215 - Sale <sup>25</sup>
	1.000	42.00	12/04/20	57.88	15.88 W	0.00	151 of 215 - Sale <sup>25</sup>
	1.889	79.34	12/04/20	107.88	28.54 W	0.00	152 of 215 - Sale <sup>25</sup>
	3.698	155.31	12/04/20	214.42	59.11 W	0.00	153 of 215 - Sale <sup>25</sup>
	4.000	168.00	12/04/20	232.97	64.97 W	0.00	154 of 215 - Sale <sup>25</sup>
	5.000	209.99	12/04/20	288.34	78.35 W	0.00	155 of 215 - Sale <sup>25</sup>
	5.271	221.36	12/04/20	305.08	83.72 W	0.00	156 of 215 - Sale <sup>25</sup>
	0.485	20.39	12/04/20	28.30	7.91 W	0.00	157 of 215 - Sale <sup>25</sup>
	1.000	42.00	12/04/20	58.19	16.19 W	0.00	158 of 215 - Sale <sup>25</sup>
	1.515	63.61	12/04/20	88.29	24.68 W	0.00	159 of 215 - Sale <sup>25</sup>
	1.515	63.61	12/04/20	88.43	24.82 W	0.00	160 of 215 - Sale <sup>25</sup>
	2.413	101.35	12/04/20	140.88	39.53 W	0.00	161 of 215 - Sale <sup>25</sup>
	3.000	126.00	12/04/20	175.15	49.15 W	0.00	162 of 215 - Sale <sup>25</sup>
	3.242	136.14	12/04/20	189.26	53.12 W	0.00	163 of 215 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	3.345	140.50	12/04/20	194.97	54.47 W	0.00	164 of 215 - Sale <sup>25</sup>
	3.483	146.29	12/04/20	201.57	55.28 W	0.00	165 of 215 - Sale <sup>25</sup>
	3.517	147.71	12/04/20	204.98	57.27 W	0.00	166 of 215 - Sale <sup>25</sup>
	7.000	293.99	12/04/20	407.98	113.99 W	0.00	167 of 215 - Sale <sup>25</sup>
	12.000	503.99	12/04/20	694.33	190.34 W	0.00	168 of 215 - Sale <sup>25</sup>
	0.485	20.39	12/04/20	27.09	6.70 W	0.00	169 of 215 - Sale <sup>25</sup>
	0.515	21.61	12/04/20	28.66	7.05 W	0.00	170 of 215 - Sale <sup>25</sup>
	1.000	42.00	12/04/20	55.79	13.79 W	0.00	171 of 215 - Sale <sup>25</sup>
	0.242	10.14	12/04/20	13.59	3.45 W	0.00	172 of 215 - Sale <sup>25</sup>
	0.244	10.24	12/04/20	13.71	3.47 W	0.00	173 of 215 - Sale <sup>25</sup>
	0.485	20.39	12/04/20	27.19	6.80 W	0.00	174 of 215 - Sale <sup>25</sup>
	2.000	84.00	12/04/20	114.80	30.80 W	0.00	175 of 215 - Sale <sup>25</sup>
	2.408	101.14	12/04/20	135.42	34.28 W	0.00	176 of 215 - Sale <sup>25</sup>
	3.862	162.21	12/04/20	217.18	54.97 W	0.00	177 of 215 - Sale <sup>25</sup>
	4.515	189.61	12/04/20	251.82	62.21 W	0.00	178 of 215 - Sale <sup>25</sup>
	4.867	204.41	12/04/20	274.24	69.83 W	0.00	179 of 215 - Sale <sup>25</sup>
	10.862	456.20	12/04/20	610.81	154.61 W	0.00	180 of 215 - Sale <sup>25</sup>
	0.106	4.46	12/04/20	5.94	1.48 W	0.00	181 of 215 - Sale <sup>25</sup>
	0.454	19.07	12/04/20	25.44	6.37 W	0.00	182 of 215 - Sale <sup>25</sup>
	1.000	42.00	12/04/20	52.74	10.74 W	0.00	183 of 215 - Sale <sup>25</sup>
	1.546	64.93	12/04/20	86.55	21.62 W	0.00	184 of 215 - Sale <sup>25</sup>
	2.515	105.61	12/04/20	151.03	45.42 W	0.00	185 of 215 - Sale <sup>25</sup>
	3.000	126.00	12/04/20	166.08	40.08 W	0.00	186 of 215 - Sale <sup>25</sup>
	7.592	318.84	12/04/20	455.95	137.11 W	0.00	187 of 215 - Sale <sup>25</sup>
	8.862	372.21	12/04/20	496.11	123.90 W	0.00	188 of 215 - Sale <sup>25</sup>
	0.106	4.46	12/04/20	5.92	1.46 W	0.00	189 of 215 - Sale <sup>25</sup>
	1.138	47.78	12/04/20	66.17	18.39 W	0.00	190 of 215 - Sale <sup>25</sup>
	3.000	126.00	12/04/20	167.64	41.64 W	0.00	191 of 215 - Sale <sup>25</sup>
	3.031	127.32	12/04/20	169.40	42.08 W	0.00	192 of 215 - Sale <sup>25</sup>
	3.756	157.75	12/04/20	219.37	61.62 W	0.00	193 of 215 - Sale <sup>25</sup>
	5.000	209.99	12/04/20	292.02	82.03 W	0.00	194 of 215 - Sale <sup>25</sup>
	9.894	415.53	12/04/20	586.89	171.36 W	0.00	195 of 215 - Sale <sup>25</sup>
	49.000	2,057.95	12/04/20	2,084.95	27.00 W	0.00	196 of 215 - Sale <sup>25</sup>
	1.000	43.01	12/04/20	57.81	14.80 W	0.00	197 of 215 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	3.000	129.31	12/04/20	175.98	46.67 W	0.00	198 of 215 - Sale <sup>25</sup>
	0.205	8.85	12/04/20	11.18	2.33 W	0.00	199 of 215 - Sale <sup>25</sup>
	3.795	163.56	12/04/20	206.68	43.12 W	0.00	200 of 215 - Sale <sup>25</sup>
	0.483	20.88	12/04/20	26.32	5.44 W	0.00	201 of 215 - Sale <sup>25</sup>
	0.932	40.30	12/04/20	47.48	7.18 W	0.00	202 of 215 - Sale <sup>25</sup>
	1.000	43.22	12/04/20	50.92	7.70 W	0.00	203 of 215 - Sale <sup>25</sup>
	1.000	43.22	12/04/20	50.92	7.70 W	0.00	204 of 215 - Sale <sup>25</sup>
	3.449	149.09	12/04/20	175.65	26.56 W	0.00	205 of 215 - Sale <sup>25</sup>
	4.862	210.17	12/04/20	247.63	37.46 W	0.00	206 of 215 - Sale <sup>25</sup>
	6.517	281.69	12/04/20	331.90	50.21 W	0.00	207 of 215 - Sale <sup>25</sup>
	1.000	43.23	12/04/20	49.74	6.51 W	0.00	208 of 215 - Sale <sup>25</sup>
	5.756	248.80	12/04/20	286.75	37.95 W	0.00	209 of 215 - Sale <sup>25</sup>
	3.000	129.00	12/04/20	149.25	20.25 W	0.00	210 of 215 - Sale <sup>25</sup>
	3.000	129.00	12/04/20	151.94	22.94 W	0.00	211 of 215 - Sale <sup>25</sup>
	3.000	129.00	12/04/20	149.23	20.23 W	0.00	212 of 215 - Sale <sup>25</sup>
	4.000	171.99	12/04/20	202.55	30.56 W	0.00	213 of 215 - Sale <sup>25</sup>
	6.000	257.99	12/04/20	303.87	45.88 W	0.00	214 of 215 - Sale <sup>25</sup>
	6.000	257.99	12/04/20	302.96	44.97 W	0.00	215 of 215 - Sale <sup>25</sup>
12/04/20	903.000	38,023.27	Various	48,713.81	10,690.54 W	0.00	Total of 215 transactions
12/07/20	24.000	1,019.51	12/04/20	1,014.02	...	5.49	Sale <sup>25</sup>
425 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	8.000	339.84	12/04/20	403.94	64.10 W	0.00	1 of 425 - Sale <sup>25</sup>
	21.000	892.07	12/04/20	1,060.13	168.06 W	0.00	2 of 425 - Sale <sup>25</sup>
	13.000	552.24	12/04/20	569.14	16.90 W	0.00	3 of 425 - Sale <sup>25</sup>
	2.000	84.96	12/04/20	88.92	3.96 W	0.00	4 of 425 - Sale <sup>25</sup>
	3.000	127.44	12/04/20	131.47	4.03 W	0.00	5 of 425 - Sale <sup>25</sup>
	6.000	254.88	12/04/20	263.27	8.39 W	0.00	6 of 425 - Sale <sup>25</sup>
	8.000	339.84	12/04/20	348.13	8.29 W	0.00	7 of 425 - Sale <sup>25</sup>
	13.000	552.24	12/04/20	569.74	17.50 W	0.00	8 of 425 - Sale <sup>25</sup>
	26.000	1,104.47	12/04/20	1,139.49	35.02 W	0.00	9 of 425 - Sale <sup>25</sup>
	37.000	1,571.75	12/04/20	1,635.98	64.23 W	0.00	10 of 425 - Sale <sup>25</sup>
	5.000	212.40	12/04/20	221.08	8.68 W	0.00	11 of 425 - Sale <sup>25</sup>
	0.242	10.26	12/04/20	13.33	3.07 W	0.00	12 of 425 - Sale <sup>25</sup>
	0.138	5.85	12/04/20	7.71	1.86 W	0.00	13 of 425 - Sale <sup>25</sup>

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(continued)

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NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.379	16.11	12/04/20	21.17	5.06 W	0.00	14 of 425 - Sale <sup>25</sup>
	0.316	13.44	12/04/20	19.86	6.42 W	0.00	15 of 425 - Sale <sup>25</sup>
	1.138	48.33	12/04/20	71.41	23.08 W	0.00	16 of 425 - Sale <sup>25</sup>
	3.169	134.62	12/04/20	196.04	61.42 W	0.00	17 of 425 - Sale <sup>25</sup>
	5.831	247.69	12/04/20	360.72	113.03 W	0.00	18 of 425 - Sale <sup>25</sup>
	10.242	435.06	12/04/20	597.14	162.08 W	0.00	19 of 425 - Sale <sup>25</sup>
	0.546	23.19	12/04/20	31.02	7.83 W	0.00	20 of 425 - Sale <sup>25</sup>
	4.000	169.92	12/04/20	226.25	56.33 W	0.00	21 of 425 - Sale <sup>25</sup>
	1.000	42.48	12/04/20	44.12	1.64 W	0.00	22 of 425 - Sale <sup>25</sup>
	2.000	84.96	12/04/20	88.23	3.27 W	0.00	23 of 425 - Sale <sup>25</sup>
	8.000	339.84	12/04/20	352.96	13.12 W	0.00	24 of 425 - Sale <sup>25</sup>
	17.000	722.15	12/04/20	748.32	26.17 W	0.00	25 of 425 - Sale <sup>25</sup>
	0.077	3.28	12/04/20	4.40	1.12 W	0.00	26 of 425 - Sale <sup>25</sup>
	0.454	19.29	12/04/20	25.70	6.41 W	0.00	27 of 425 - Sale <sup>25</sup>
	1.092	46.38	12/04/20	63.03	16.65 W	0.00	28 of 425 - Sale <sup>25</sup>
	1.138	48.33	12/04/20	64.33	16.00 W	0.00	29 of 425 - Sale <sup>25</sup>
	1.316	55.92	12/04/20	74.25	18.33 W	0.00	30 of 425 - Sale <sup>25</sup>
	1.408	59.82	12/04/20	79.42	19.60 W	0.00	31 of 425 - Sale <sup>25</sup>
	2.000	84.96	12/04/20	112.79	27.83 W	0.00	32 of 425 - Sale <sup>25</sup>
	3.377	143.45	12/04/20	192.59	49.14 W	0.00	33 of 425 - Sale <sup>25</sup>
	3.454	146.73	12/04/20	195.37	48.64 W	0.00	34 of 425 - Sale <sup>25</sup>
	4.831	205.21	12/04/20	278.90	73.69 W	0.00	35 of 425 - Sale <sup>25</sup>
	5.000	212.40	12/04/20	288.69	76.29 W	0.00	36 of 425 - Sale <sup>25</sup>
	10.623	451.27	12/04/20	613.27	162.00 W	0.00	37 of 425 - Sale <sup>25</sup>
	11.230	477.03	12/04/20	633.71	156.68 W	0.00	38 of 425 - Sale <sup>25</sup>
	0.063	2.67	12/04/20	3.78	1.11 W	0.00	39 of 425 - Sale <sup>25</sup>
	0.092	3.90	12/04/20	5.61	1.71 W	0.00	40 of 425 - Sale <sup>25</sup>
	0.454	19.29	12/04/20	26.94	7.65 W	0.00	41 of 425 - Sale <sup>25</sup>
	0.454	19.29	12/04/20	26.93	7.64 W	0.00	42 of 425 - Sale <sup>25</sup>
	0.546	23.19	12/04/20	33.16	9.97 W	0.00	43 of 425 - Sale <sup>25</sup>
	0.766	32.52	12/04/20	45.74	13.22 W	0.00	44 of 425 - Sale <sup>25</sup>
	1.000	42.48	12/04/20	59.31	16.83 W	0.00	45 of 425 - Sale <sup>25</sup>
	1.174	49.87	12/04/20	70.64	20.77 W	0.00	46 of 425 - Sale <sup>25</sup>
	2.234	94.92	12/04/20	133.54	38.62 W	0.00	47 of 425 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)gross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	3.454	146.73	12/04/20	209.88	63.15 W	0.00	48 of 425 - Sale <sup>25</sup>
	3.729	158.42	12/04/20	222.88	64.46 W	0.00	49 of 425 - Sale <sup>25</sup>
	3.908	166.01	12/04/20	228.15	62.14 W	0.00	50 of 425 - Sale <sup>25</sup>
	4.688	199.16	12/04/20	282.15	82.99 W	0.00	51 of 425 - Sale <sup>25</sup>
	5.249	222.96	12/04/20	315.36	92.40 W	0.00	52 of 425 - Sale <sup>25</sup>
	5.817	247.08	12/04/20	348.29	101.21 W	0.00	53 of 425 - Sale <sup>25</sup>
	6.000	254.88	12/04/20	356.55	101.67 W	0.00	54 of 425 - Sale <sup>25</sup>
	6.000	254.88	12/04/20	360.04	105.16 W	0.00	55 of 425 - Sale <sup>25</sup>
	7.372	313.16	12/04/20	443.09	129.93 W	0.00	56 of 425 - Sale <sup>25</sup>
	9.000	382.32	12/04/20	538.70	156.38 W	0.00	57 of 425 - Sale <sup>25</sup>
	0.729	30.98	12/04/20	41.29	10.31 W	0.00	58 of 425 - Sale <sup>25</sup>
	2.698	114.61	12/04/20	158.32	43.71 W	0.00	59 of 425 - Sale <sup>25</sup>
	4.302	182.75	12/04/20	252.45	69.70 W	0.00	60 of 425 - Sale <sup>25</sup>
	8.000	339.84	12/04/20	469.44	129.60 W	0.00	61 of 425 - Sale <sup>25</sup>
	13.271	563.73	12/04/20	762.01	198.28 W	0.00	62 of 425 - Sale <sup>25</sup>
	0.973	41.35	12/04/20	56.17	14.82 W	0.00	63 of 425 - Sale <sup>25</sup>
	1.000	42.48	12/04/20	57.72	15.24 W	0.00	64 of 425 - Sale <sup>25</sup>
	1.027	43.61	12/04/20	59.26	15.65 W	0.00	65 of 425 - Sale <sup>25</sup>
	6.000	254.88	12/04/20	263.52	8.64 W	0.00	66 of 425 - Sale <sup>25</sup>
	0.435	18.48	12/04/20	26.69	8.21 W	0.00	67 of 425 - Sale <sup>25</sup>
	0.995	42.28	12/04/20	60.85	18.57 W	0.00	68 of 425 - Sale <sup>25</sup>
	1.000	42.48	12/04/20	59.86	17.38 W	0.00	69 of 425 - Sale <sup>25</sup>
	1.000	42.48	12/04/20	59.76	17.28 W	0.00	70 of 425 - Sale <sup>25</sup>
	1.000	42.48	12/04/20	59.75	17.27 W	0.00	71 of 425 - Sale <sup>25</sup>
	1.000	42.48	12/04/20	61.29	18.81 W	0.00	72 of 425 - Sale <sup>25</sup>
	1.000	42.48	12/04/20	61.20	18.72 W	0.00	73 of 425 - Sale <sup>25</sup>
	4.111	174.63	12/04/20	251.33	76.70 W	0.00	74 of 425 - Sale <sup>25</sup>
	5.000	212.40	12/04/20	298.80	86.40 W	0.00	75 of 425 - Sale <sup>25</sup>
	6.459	274.37	12/04/20	385.95	111.58 W	0.00	76 of 425 - Sale <sup>25</sup>
	0.111	4.72	12/04/20	6.21	1.49 W	0.00	77 of 425 - Sale <sup>25</sup>
	0.271	11.49	12/04/20	15.59	4.10 W	0.00	78 of 425 - Sale <sup>25</sup>
	0.973	41.35	12/04/20	54.08	12.73 W	0.00	79 of 425 - Sale <sup>25</sup>
	1.005	42.68	12/04/20	57.83	15.15 W	0.00	80 of 425 - Sale <sup>25</sup>
	1.449	61.56	12/04/20	83.22	21.66 W	0.00	81 of 425 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
1.698		72.13	12/04/20	96.96	24.83 W	0.00	82 of 425 - Sale <sup>25</sup>
1.889		80.24	12/04/20	104.80	24.56 W	0.00	83 of 425 - Sale <sup>25</sup>
2.623		111.43	12/04/20	149.43	38.00 W	0.00	84 of 425 - Sale <sup>25</sup>
2.862		121.59	12/04/20	164.49	42.90 W	0.00	85 of 425 - Sale <sup>25</sup>
3.000		127.44	12/04/20	167.59	40.15 W	0.00	86 of 425 - Sale <sup>25</sup>
3.027		128.57	12/04/20	172.87	44.30 W	0.00	87 of 425 - Sale <sup>25</sup>
3.729		158.42	12/04/20	212.16	53.74 W	0.00	88 of 425 - Sale <sup>25</sup>
4.271		181.41	12/04/20	243.28	61.87 W	0.00	89 of 425 - Sale <sup>25</sup>
4.377		185.93	12/04/20	249.36	63.43 W	0.00	90 of 425 - Sale <sup>25</sup>
4.684		198.96	12/04/20	268.89	69.93 W	0.00	91 of 425 - Sale <sup>25</sup>
4.729		200.90	12/04/20	271.54	70.64 W	0.00	92 of 425 - Sale <sup>25</sup>
6.000		254.88	12/04/20	339.71	84.83 W	0.00	93 of 425 - Sale <sup>25</sup>
7.000		297.36	12/04/20	388.08	90.72 W	0.00	94 of 425 - Sale <sup>25</sup>
7.302		310.19	12/04/20	417.03	106.84 W	0.00	95 of 425 - Sale <sup>25</sup>
17.000		722.15	12/04/20	943.31	221.16 W	0.00	96 of 425 - Sale <sup>25</sup>
0.111		4.72	12/04/20	6.74	2.02 W	0.00	97 of 425 - Sale <sup>25</sup>
0.454		19.29	12/04/20	27.81	8.52 W	0.00	98 of 425 - Sale <sup>25</sup>
0.688		29.24	12/04/20	41.69	12.45 W	0.00	99 of 425 - Sale <sup>25</sup>
0.720		30.58	12/04/20	43.54	12.96 W	0.00	100 of 425 - Sale <sup>25</sup>
2.000		84.96	12/04/20	111.29	26.33 W	0.00	101 of 425 - Sale <sup>25</sup>
2.111		89.68	12/04/20	129.17	39.49 W	0.00	102 of 425 - Sale <sup>25</sup>
3.604		153.10	12/04/20	218.25	65.15 W	0.00	103 of 425 - Sale <sup>25</sup>
3.831		162.73	12/04/20	213.18	50.45 W	0.00	104 of 425 - Sale <sup>25</sup>
4.000		169.92	12/04/20	245.24	75.32 W	0.00	105 of 425 - Sale <sup>25</sup>
4.751		201.83	12/04/20	290.97	89.14 W	0.00	106 of 425 - Sale <sup>25</sup>
4.908		208.49	12/04/20	297.25	88.76 W	0.00	107 of 425 - Sale <sup>25</sup>
5.111		217.11	12/04/20	287.75	70.64 W	0.00	108 of 425 - Sale <sup>25</sup>
5.138		218.25	12/04/20	311.13	92.88 W	0.00	109 of 425 - Sale <sup>25</sup>
5.889		250.16	12/04/20	328.67	78.51 W	0.00	110 of 425 - Sale <sup>25</sup>
10.249		435.36	12/04/20	627.69	192.33 W	0.00	111 of 425 - Sale <sup>25</sup>
15.435		655.67	12/04/20	869.71	214.04 W	0.00	112 of 425 - Sale <sup>25</sup>
0.867		36.83	12/04/20	49.21	12.38 W	0.00	113 of 425 - Sale <sup>25</sup>
1.111		47.20	12/04/20	63.10	15.90 W	0.00	114 of 425 - Sale <sup>25</sup>
1.756		74.60	12/04/20	99.95	25.35 W	0.00	115 of 425 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	3.133	133.08	12/04/20	178.00	44.92 W	0.00	116 of 425 - Sale <sup>25</sup>
	4.133	175.56	12/04/20	234.63	59.07 W	0.00	117 of 425 - Sale <sup>25</sup>
	0.758	32.22	12/04/20	43.96	11.74 W	0.00	118 of 425 - Sale <sup>25</sup>
	0.792	33.66	12/04/20	45.74	12.08 W	0.00	119 of 425 - Sale <sup>25</sup>
	0.894	37.97	12/04/20	51.59	13.62 W	0.00	120 of 425 - Sale <sup>25</sup>
	1.000	42.48	12/04/20	57.67	15.19 W	0.00	121 of 425 - Sale <sup>25</sup>
	1.000	42.48	12/04/20	57.68	15.20 W	0.00	122 of 425 - Sale <sup>25</sup>
	2.000	84.96	12/04/20	115.46	30.50 W	0.00	123 of 425 - Sale <sup>25</sup>
	2.000	84.96	12/04/20	115.36	30.40 W	0.00	124 of 425 - Sale <sup>25</sup>
	2.000	84.96	12/04/20	115.35	30.39 W	0.00	125 of 425 - Sale <sup>25</sup>
	4.449	189.00	12/04/20	257.84	68.84 W	0.00	126 of 425 - Sale <sup>25</sup>
	5.106	216.91	12/04/20	294.80	77.89 W	0.00	127 of 425 - Sale <sup>25</sup>
	2.111	89.68	12/04/20	117.61	27.93 W	0.00	128 of 425 - Sale <sup>25</sup>
	0.302	12.83	12/04/20	17.45	4.62 W	0.00	129 of 425 - Sale <sup>25</sup>
	0.729	30.98	12/04/20	42.05	11.07 W	0.00	130 of 425 - Sale <sup>25</sup>
	1.000	42.48	12/04/20	56.87	14.39 W	0.00	131 of 425 - Sale <sup>25</sup>
	1.000	42.48	12/04/20	57.76	15.28 W	0.00	132 of 425 - Sale <sup>25</sup>
	1.000	42.48	12/04/20	57.63	15.15 W	0.00	133 of 425 - Sale <sup>25</sup>
	1.889	80.24	12/04/20	107.40	27.16 W	0.00	134 of 425 - Sale <sup>25</sup>
	3.698	157.09	12/04/20	213.50	56.41 W	0.00	135 of 425 - Sale <sup>25</sup>
	4.000	169.92	12/04/20	231.97	62.05 W	0.00	136 of 425 - Sale <sup>25</sup>
	5.000	212.40	12/04/20	287.10	74.70 W	0.00	137 of 425 - Sale <sup>25</sup>
	5.271	223.89	12/04/20	303.77	79.88 W	0.00	138 of 425 - Sale <sup>25</sup>
	0.485	20.62	12/04/20	28.18	7.56 W	0.00	139 of 425 - Sale <sup>25</sup>
	1.000	42.48	12/04/20	57.94	15.46 W	0.00	140 of 425 - Sale <sup>25</sup>
	1.515	64.34	12/04/20	87.91	23.57 W	0.00	141 of 425 - Sale <sup>25</sup>
	1.515	64.34	12/04/20	88.05	23.71 W	0.00	142 of 425 - Sale <sup>25</sup>
	2.413	102.51	12/04/20	140.28	37.77 W	0.00	143 of 425 - Sale <sup>25</sup>
	3.000	127.44	12/04/20	174.40	46.96 W	0.00	144 of 425 - Sale <sup>25</sup>
	3.242	137.70	12/04/20	188.45	50.75 W	0.00	145 of 425 - Sale <sup>25</sup>
	3.345	142.11	12/04/20	194.14	52.03 W	0.00	146 of 425 - Sale <sup>25</sup>
	3.483	147.96	12/04/20	200.70	52.74 W	0.00	147 of 425 - Sale <sup>25</sup>
	3.517	149.40	12/04/20	204.10	54.70 W	0.00	148 of 425 - Sale <sup>25</sup>
	7.000	297.36	12/04/20	406.24	108.88 W	0.00	149 of 425 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
12.000		509.76	12/04/20	691.34	181.58 W	0.00	150 of 425 - Sale <sup>25</sup>
0.485		20.62	12/04/20	26.97	6.35 W	0.00	151 of 425 - Sale <sup>25</sup>
0.515		21.86	12/04/20	28.53	6.67 W	0.00	152 of 425 - Sale <sup>25</sup>
1.000		42.48	12/04/20	55.54	13.06 W	0.00	153 of 425 - Sale <sup>25</sup>
2.000		84.96	12/04/20	114.30	29.34 W	0.00	154 of 425 - Sale <sup>25</sup>
2.485		105.58	12/04/20	139.43	33.85 W	0.00	155 of 425 - Sale <sup>25</sup>
4.000		169.92	12/04/20	230.49	60.57 W	0.00	156 of 425 - Sale <sup>25</sup>
8.000		339.84	12/04/20	461.00	121.16 W	0.00	157 of 425 - Sale <sup>25</sup>
13.000		552.23	12/04/20	748.82	196.59 W	0.00	158 of 425 - Sale <sup>25</sup>
0.106		4.51	12/04/20	5.91	1.40 W	0.00	159 of 425 - Sale <sup>25</sup>
0.242		10.26	12/04/20	13.52	3.26 W	0.00	160 of 425 - Sale <sup>25</sup>
0.244		10.36	12/04/20	13.64	3.28 W	0.00	161 of 425 - Sale <sup>25</sup>
0.454		19.29	12/04/20	25.30	6.01 W	0.00	162 of 425 - Sale <sup>25</sup>
0.485		20.62	12/04/20	27.04	6.42 W	0.00	163 of 425 - Sale <sup>25</sup>
1.000		42.48	12/04/20	52.44	9.96 W	0.00	164 of 425 - Sale <sup>25</sup>
1.546		65.67	12/04/20	86.09	20.42 W	0.00	165 of 425 - Sale <sup>25</sup>
2.382		101.17	12/04/20	133.48	32.31 W	0.00	166 of 425 - Sale <sup>25</sup>
2.408		102.30	12/04/20	134.71	32.41 W	0.00	167 of 425 - Sale <sup>25</sup>
2.515		106.82	12/04/20	150.28	43.46 W	0.00	168 of 425 - Sale <sup>25</sup>
3.000		127.44	12/04/20	165.18	37.74 W	0.00	169 of 425 - Sale <sup>25</sup>
3.862		164.07	12/04/20	216.03	51.96 W	0.00	170 of 425 - Sale <sup>25</sup>
4.515		191.77	12/04/20	250.47	58.70 W	0.00	171 of 425 - Sale <sup>25</sup>
7.592		322.49	12/04/20	453.68	131.19 W	0.00	172 of 425 - Sale <sup>25</sup>
8.862		376.47	12/04/20	493.46	116.99 W	0.00	173 of 425 - Sale <sup>25</sup>
10.862		461.43	12/04/20	607.57	146.14 W	0.00	174 of 425 - Sale <sup>25</sup>
0.106		4.51	12/04/20	5.89	1.38 W	0.00	175 of 425 - Sale <sup>25</sup>
1.138		48.33	12/04/20	65.83	17.50 W	0.00	176 of 425 - Sale <sup>25</sup>
3.000		127.44	12/04/20	166.74	39.30 W	0.00	177 of 425 - Sale <sup>25</sup>
3.031		128.77	12/04/20	168.49	39.72 W	0.00	178 of 425 - Sale <sup>25</sup>
3.756		159.56	12/04/20	218.25	58.69 W	0.00	179 of 425 - Sale <sup>25</sup>
5.000		212.40	12/04/20	290.53	78.13 W	0.00	180 of 425 - Sale <sup>25</sup>
9.894		420.28	12/04/20	583.93	163.65 W	0.00	181 of 425 - Sale <sup>25</sup>
1.000		42.48	12/04/20	57.55	15.07 W	0.00	182 of 425 - Sale <sup>25</sup>
3.000		127.44	12/04/20	174.92	47.48 W	0.00	183 of 425 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.205	8.72	12/04/20	11.11	2.39 W	0.00	184 of 425 - Sale <sup>25</sup>
	0.483	20.52	12/04/20	26.09	5.57 W	0.00	185 of 425 - Sale <sup>25</sup>
	3.795	161.20	12/04/20	205.34	44.14 W	0.00	186 of 425 - Sale <sup>25</sup>
	0.932	39.61	12/04/20	47.04	7.43 W	0.00	187 of 425 - Sale <sup>25</sup>
	1.000	42.48	12/04/20	50.45	7.97 W	0.00	188 of 425 - Sale <sup>25</sup>
	1.000	42.48	12/04/20	50.45	7.97 W	0.00	189 of 425 - Sale <sup>25</sup>
	2.205	93.68	12/04/20	111.26	17.58 W	0.00	190 of 425 - Sale <sup>25</sup>
	4.862	206.55	12/04/20	245.32	38.77 W	0.00	191 of 425 - Sale <sup>25</sup>
	6.517	276.84	12/04/20	328.81	51.97 W	0.00	192 of 425 - Sale <sup>25</sup>
	25.000	1,061.99	12/04/20	1,082.53	20.54 W	0.00	193 of 425 - Sale <sup>25</sup>
	1.244	52.84	12/04/20	62.70	9.86 W	0.00	194 of 425 - Sale <sup>25</sup>
	1.000	42.48	12/04/20	49.21	6.73 W	0.00	195 of 425 - Sale <sup>25</sup>
	3.000	127.44	12/04/20	148.33	20.89 W	0.00	196 of 425 - Sale <sup>25</sup>
	3.000	127.44	12/04/20	148.35	20.91 W	0.00	197 of 425 - Sale <sup>25</sup>
	3.000	127.44	12/04/20	151.04	23.60 W	0.00	198 of 425 - Sale <sup>25</sup>
	4.000	169.92	12/04/20	201.36	31.44 W	0.00	199 of 425 - Sale <sup>25</sup>
	5.756	244.51	12/04/20	283.73	39.22 W	0.00	200 of 425 - Sale <sup>25</sup>
	6.000	254.88	12/04/20	302.08	47.20 W	0.00	201 of 425 - Sale <sup>25</sup>
	6.000	254.88	12/04/20	301.17	46.29 W	0.00	202 of 425 - Sale <sup>25</sup>
	17.000	722.15	12/04/20	725.90	3.75 W	0.00	203 of 425 - Sale <sup>25</sup>
	50.000	2,123.97	12/04/20	2,137.50	13.53 W	0.00	204 of 425 - Sale <sup>25</sup>
	50.000	2,123.97	12/04/20	2,135.00	11.03 W	0.00	205 of 425 - Sale <sup>25</sup>
	1.000	42.48	12/04/20	43.13	0.65 W	0.00	206 of 425 - Sale <sup>25</sup>
	7.000	297.35	12/04/20	303.09	5.74 W	0.00	207 of 425 - Sale <sup>25</sup>
	8.000	360.90	12/07/20	426.90	66.00 W	0.00	208 of 425 - Sale <sup>25</sup>
	21.000	947.36	12/07/20	1,120.41	173.05 W	0.00	209 of 425 - Sale <sup>25</sup>
	2.000	90.22	12/07/20	94.66	4.44 W	0.00	210 of 425 - Sale <sup>25</sup>
	3.000	135.34	12/07/20	140.08	4.74 W	0.00	211 of 425 - Sale <sup>25</sup>
	6.000	270.67	12/07/20	280.49	9.82 W	0.00	212 of 425 - Sale <sup>25</sup>
	8.000	360.90	12/07/20	371.09	10.19 W	0.00	213 of 425 - Sale <sup>25</sup>
	13.000	586.46	12/07/20	606.45	19.99 W	0.00	214 of 425 - Sale <sup>25</sup>
	13.000	586.46	12/07/20	607.05	20.59 W	0.00	215 of 425 - Sale <sup>25</sup>
	26.000	1,172.92	12/07/20	1,214.12	41.20 W	0.00	216 of 425 - Sale <sup>25</sup>
	0.242	10.90	12/07/20	14.02	3.12 W	0.00	217 of 425 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.138	6.21	12/07/20	8.10	1.89 W	0.00	218 of 425 - Sale <sup>25</sup>
	0.379	17.11	12/07/20	22.26	5.15 W	0.00	219 of 425 - Sale <sup>25</sup>
	0.316	14.27	12/07/20	20.77	6.50 W	0.00	220 of 425 - Sale <sup>25</sup>
	1.138	51.32	12/07/20	74.67	23.35 W	0.00	221 of 425 - Sale <sup>25</sup>
	3.169	142.97	12/07/20	205.14	62.17 W	0.00	222 of 425 - Sale <sup>25</sup>
	5.831	263.04	12/07/20	377.46	114.42 W	0.00	223 of 425 - Sale <sup>25</sup>
	10.242	462.02	12/07/20	626.54	164.52 W	0.00	224 of 425 - Sale <sup>25</sup>
	0.546	24.63	12/07/20	32.59	7.96 W	0.00	225 of 425 - Sale <sup>25</sup>
	2.000	90.22	12/07/20	118.86	28.64 W	0.00	226 of 425 - Sale <sup>25</sup>
	5.000	225.56	12/07/20	235.43	9.87 W	0.00	227 of 425 - Sale <sup>25</sup>
	37.000	1,669.15	12/07/20	1,742.18	73.03 W	0.00	228 of 425 - Sale <sup>25</sup>
	0.092	4.15	12/07/20	5.46	1.31 W	0.00	229 of 425 - Sale <sup>25</sup>
	0.454	20.48	12/07/20	27.00	6.52 W	0.00	230 of 425 - Sale <sup>25</sup>
	2.000	90.22	12/07/20	118.87	28.65 W	0.00	231 of 425 - Sale <sup>25</sup>
	3.454	155.82	12/07/20	205.28	49.46 W	0.00	232 of 425 - Sale <sup>25</sup>
	1.000	45.11	12/07/20	46.99	1.88 W	0.00	233 of 425 - Sale <sup>25</sup>
	2.000	90.22	12/07/20	93.97	3.75 W	0.00	234 of 425 - Sale <sup>25</sup>
	8.000	360.90	12/07/20	375.92	15.02 W	0.00	235 of 425 - Sale <sup>25</sup>
	17.000	766.91	12/07/20	797.12	30.21 W	0.00	236 of 425 - Sale <sup>25</sup>
	0.077	3.48	12/07/20	4.62	1.14 W	0.00	237 of 425 - Sale <sup>25</sup>
	1.046	47.18	12/07/20	62.08	14.90 W	0.00	238 of 425 - Sale <sup>25</sup>
	1.092	49.26	12/07/20	66.11	16.85 W	0.00	239 of 425 - Sale <sup>25</sup>
	1.316	59.38	12/07/20	77.96	18.58 W	0.00	240 of 425 - Sale <sup>25</sup>
	1.408	63.53	12/07/20	83.39	19.86 W	0.00	241 of 425 - Sale <sup>25</sup>
	2.000	90.22	12/07/20	118.43	28.21 W	0.00	242 of 425 - Sale <sup>25</sup>
	3.377	152.34	12/07/20	202.11	49.77 W	0.00	243 of 425 - Sale <sup>25</sup>
	4.831	217.93	12/07/20	292.53	74.60 W	0.00	244 of 425 - Sale <sup>25</sup>
	5.000	225.56	12/07/20	302.79	77.23 W	0.00	245 of 425 - Sale <sup>25</sup>
	10.623	479.24	12/07/20	643.23	163.99 W	0.00	246 of 425 - Sale <sup>25</sup>
	11.230	506.59	12/07/20	665.38	158.79 W	0.00	247 of 425 - Sale <sup>25</sup>
	0.063	2.84	12/07/20	3.96	1.12 W	0.00	248 of 425 - Sale <sup>25</sup>
	0.092	4.15	12/07/20	5.87	1.72 W	0.00	249 of 425 - Sale <sup>25</sup>
	0.454	20.48	12/07/20	28.22	7.74 W	0.00	250 of 425 - Sale <sup>25</sup>
	0.454	20.48	12/07/20	28.21	7.73 W	0.00	251 of 425 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.546	24.63	12/07/20	34.70	10.07 W	0.00	252 of 425 - Sale <sup>25</sup>
	0.766	34.54	12/07/20	47.90	13.36 W	0.00	253 of 425 - Sale <sup>25</sup>
	1.000	45.11	12/07/20	62.13	17.02 W	0.00	254 of 425 - Sale <sup>25</sup>
	1.174	52.96	12/07/20	73.95	20.99 W	0.00	255 of 425 - Sale <sup>25</sup>
	2.234	100.80	12/07/20	139.84	39.04 W	0.00	256 of 425 - Sale <sup>25</sup>
	3.454	155.82	12/07/20	219.62	63.80 W	0.00	257 of 425 - Sale <sup>25</sup>
	3.729	168.24	12/07/20	233.40	65.16 W	0.00	258 of 425 - Sale <sup>25</sup>
	3.908	176.30	12/07/20	239.18	62.88 W	0.00	259 of 425 - Sale <sup>25</sup>
	4.688	211.51	12/07/20	295.38	83.87 W	0.00	260 of 425 - Sale <sup>25</sup>
	5.000	225.56	12/07/20	313.38	87.82 W	0.00	261 of 425 - Sale <sup>25</sup>
	5.249	236.78	12/07/20	330.17	93.39 W	0.00	262 of 425 - Sale <sup>25</sup>
	5.817	262.40	12/07/20	364.70	102.30 W	0.00	263 of 425 - Sale <sup>25</sup>
	6.000	270.67	12/07/20	373.47	102.80 W	0.00	264 of 425 - Sale <sup>25</sup>
	6.000	270.67	12/07/20	376.96	106.29 W	0.00	265 of 425 - Sale <sup>25</sup>
	7.372	332.57	12/07/20	463.88	131.31 W	0.00	266 of 425 - Sale <sup>25</sup>
	0.435	19.62	12/07/20	27.78	8.16 W	0.00	267 of 425 - Sale <sup>25</sup>
	0.995	44.90	12/07/20	63.36	18.46 W	0.00	268 of 425 - Sale <sup>25</sup>
	1.000	45.11	12/07/20	62.38	17.27 W	0.00	269 of 425 - Sale <sup>25</sup>
	1.000	45.11	12/07/20	62.28	17.17 W	0.00	270 of 425 - Sale <sup>25</sup>
	1.000	45.11	12/07/20	62.27	17.16 W	0.00	271 of 425 - Sale <sup>25</sup>
	1.000	45.11	12/07/20	63.81	18.70 W	0.00	272 of 425 - Sale <sup>25</sup>
	1.000	45.11	12/07/20	63.72	18.61 W	0.00	273 of 425 - Sale <sup>25</sup>
	4.000	180.45	12/07/20	249.50	69.05 W	0.00	274 of 425 - Sale <sup>25</sup>
	4.111	185.46	12/07/20	261.70	76.24 W	0.00	275 of 425 - Sale <sup>25</sup>
	5.000	225.56	12/07/20	311.40	85.84 W	0.00	276 of 425 - Sale <sup>25</sup>
	6.459	291.37	12/07/20	402.23	110.86 W	0.00	277 of 425 - Sale <sup>25</sup>
	0.729	32.91	12/07/20	43.13	10.22 W	0.00	278 of 425 - Sale <sup>25</sup>
	2.698	121.71	12/07/20	165.12	43.41 W	0.00	279 of 425 - Sale <sup>25</sup>
	4.302	194.08	12/07/20	263.29	69.21 W	0.00	280 of 425 - Sale <sup>25</sup>
	8.000	360.90	12/07/20	489.60	128.70 W	0.00	281 of 425 - Sale <sup>25</sup>
	13.271	598.67	12/07/20	795.46	196.79 W	0.00	282 of 425 - Sale <sup>25</sup>
	0.027	1.20	12/07/20	1.59	0.39 W	0.00	283 of 425 - Sale <sup>25</sup>
	0.111	5.01	12/07/20	6.49	1.48 W	0.00	284 of 425 - Sale <sup>25</sup>
	0.973	43.91	12/07/20	58.62	14.71 W	0.00	285 of 425 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.973	43.91	12/07/20	56.53	12.62 W	0.00	286 of 425 - Sale <sup>25</sup>
	1.000	45.11	12/07/20	60.24	15.13 W	0.00	287 of 425 - Sale <sup>25</sup>
	1.027	46.32	12/07/20	61.85	15.53 W	0.00	288 of 425 - Sale <sup>25</sup>
	1.889	85.22	12/07/20	109.56	24.34 W	0.00	289 of 425 - Sale <sup>25</sup>
	3.000	135.34	12/07/20	175.15	39.81 W	0.00	290 of 425 - Sale <sup>25</sup>
	6.000	270.67	12/07/20	354.83	84.16 W	0.00	291 of 425 - Sale <sup>25</sup>
	7.000	315.79	12/07/20	405.72	89.93 W	0.00	292 of 425 - Sale <sup>25</sup>
	17.000	766.91	12/07/20	986.15	219.24 W	0.00	293 of 425 - Sale <sup>25</sup>
	6.000	270.67	12/07/20	278.64	7.97 W	0.00	294 of 425 - Sale <sup>25</sup>
	0.111	5.01	12/07/20	7.00	1.99 W	0.00	295 of 425 - Sale <sup>25</sup>
	0.454	20.48	12/07/20	28.91	8.43 W	0.00	296 of 425 - Sale <sup>25</sup>
	0.688	31.06	12/07/20	43.36	12.30 W	0.00	297 of 425 - Sale <sup>25</sup>
	0.720	32.47	12/07/20	45.28	12.81 W	0.00	298 of 425 - Sale <sup>25</sup>
	2.000	90.22	12/07/20	117.44	27.22 W	0.00	299 of 425 - Sale <sup>25</sup>
	2.111	95.23	12/07/20	134.27	39.04 W	0.00	300 of 425 - Sale <sup>25</sup>
	3.604	162.59	12/07/20	226.97	64.38 W	0.00	301 of 425 - Sale <sup>25</sup>
	3.831	172.82	12/07/20	222.46	49.64 W	0.00	302 of 425 - Sale <sup>25</sup>
	4.000	180.45	12/07/20	254.92	74.47 W	0.00	303 of 425 - Sale <sup>25</sup>
	4.751	214.34	12/07/20	302.47	88.13 W	0.00	304 of 425 - Sale <sup>25</sup>
	4.908	221.42	12/07/20	309.13	87.71 W	0.00	305 of 425 - Sale <sup>25</sup>
	5.138	231.77	12/07/20	323.56	91.79 W	0.00	306 of 425 - Sale <sup>25</sup>
	10.249	462.34	12/07/20	652.50	190.16 W	0.00	307 of 425 - Sale <sup>25</sup>
	15.435	696.31	12/07/20	907.08	210.77 W	0.00	308 of 425 - Sale <sup>25</sup>
	0.271	12.21	12/07/20	16.25	4.04 W	0.00	309 of 425 - Sale <sup>25</sup>
	1.005	45.33	12/07/20	60.26	14.93 W	0.00	310 of 425 - Sale <sup>25</sup>
	1.449	65.38	12/07/20	86.73	21.35 W	0.00	311 of 425 - Sale <sup>25</sup>
	1.698	76.60	12/07/20	101.07	24.47 W	0.00	312 of 425 - Sale <sup>25</sup>
	2.623	118.33	12/07/20	155.78	37.45 W	0.00	313 of 425 - Sale <sup>25</sup>
	2.862	129.13	12/07/20	171.42	42.29 W	0.00	314 of 425 - Sale <sup>25</sup>
	3.000	135.34	12/07/20	178.61	43.27 W	0.00	315 of 425 - Sale <sup>25</sup>
	3.729	168.24	12/07/20	221.19	52.95 W	0.00	316 of 425 - Sale <sup>25</sup>
	4.271	192.66	12/07/20	253.62	60.96 W	0.00	317 of 425 - Sale <sup>25</sup>
	4.377	197.45	12/07/20	259.95	62.50 W	0.00	318 of 425 - Sale <sup>25</sup>
	4.684	211.29	12/07/20	280.23	68.94 W	0.00	319 of 425 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	4.729	213.36	12/07/20	282.99	69.63 W	0.00	320 of 425 - Sale <sup>25</sup>
	7.302	329.41	12/07/20	434.70	105.29 W	0.00	321 of 425 - Sale <sup>25</sup>
	2.000	89.64	12/07/20	116.71	27.07 W	0.00	322 of 425 - Sale <sup>25</sup>
	2.111	94.61	12/07/20	123.33	28.72 W	0.00	323 of 425 - Sale <sup>25</sup>
	3.111	139.43	12/07/20	183.59	44.16 W	0.00	324 of 425 - Sale <sup>25</sup>
	5.889	263.94	12/07/20	344.63	80.69 W	0.00	325 of 425 - Sale <sup>25</sup>
	0.302	13.54	12/07/20	18.27	4.73 W	0.00	326 of 425 - Sale <sup>25</sup>
	0.729	32.69	12/07/20	44.03	11.34 W	0.00	327 of 425 - Sale <sup>25</sup>
	1.000	44.82	12/07/20	59.58	14.76 W	0.00	328 of 425 - Sale <sup>25</sup>
	1.000	44.82	12/07/20	60.47	15.65 W	0.00	329 of 425 - Sale <sup>25</sup>
	1.000	44.82	12/07/20	60.34	15.52 W	0.00	330 of 425 - Sale <sup>25</sup>
	1.889	84.66	12/07/20	112.52	27.86 W	0.00	331 of 425 - Sale <sup>25</sup>
	3.698	165.74	12/07/20	223.52	57.78 W	0.00	332 of 425 - Sale <sup>25</sup>
	4.000	179.28	12/07/20	242.81	63.53 W	0.00	333 of 425 - Sale <sup>25</sup>
	5.000	224.09	12/07/20	300.65	76.56 W	0.00	334 of 425 - Sale <sup>25</sup>
	5.271	236.22	12/07/20	318.06	81.84 W	0.00	335 of 425 - Sale <sup>25</sup>
	0.485	21.76	12/07/20	29.50	7.74 W	0.00	336 of 425 - Sale <sup>25</sup>
	1.000	44.82	12/07/20	60.65	15.83 W	0.00	337 of 425 - Sale <sup>25</sup>
	1.515	67.88	12/07/20	92.01	24.13 W	0.00	338 of 425 - Sale <sup>25</sup>
	3.000	134.46	12/07/20	182.23	47.77 W	0.00	339 of 425 - Sale <sup>25</sup>
	3.483	156.11	12/07/20	210.14	54.03 W	0.00	340 of 425 - Sale <sup>25</sup>
	3.517	157.62	12/07/20	213.63	56.01 W	0.00	341 of 425 - Sale <sup>25</sup>
	7.000	313.73	12/07/20	425.20	111.47 W	0.00	342 of 425 - Sale <sup>25</sup>
	12.000	537.83	12/07/20	723.86	186.03 W	0.00	343 of 425 - Sale <sup>25</sup>
	0.867	38.86	12/07/20	51.56	12.70 W	0.00	344 of 425 - Sale <sup>25</sup>
	1.111	49.79	12/07/20	66.11	16.32 W	0.00	345 of 425 - Sale <sup>25</sup>
	1.756	78.70	12/07/20	104.71	26.01 W	0.00	346 of 425 - Sale <sup>25</sup>
	3.133	140.41	12/07/20	186.50	46.09 W	0.00	347 of 425 - Sale <sup>25</sup>
	4.133	185.23	12/07/20	245.84	60.61 W	0.00	348 of 425 - Sale <sup>25</sup>
	0.758	33.99	12/07/20	46.01	12.02 W	0.00	349 of 425 - Sale <sup>25</sup>
	0.792	35.51	12/07/20	47.88	12.37 W	0.00	350 of 425 - Sale <sup>25</sup>
	0.894	40.06	12/07/20	54.01	13.95 W	0.00	351 of 425 - Sale <sup>25</sup>
	1.000	44.82	12/07/20	60.38	15.56 W	0.00	352 of 425 - Sale <sup>25</sup>
	1.000	44.82	12/07/20	60.39	15.57 W	0.00	353 of 425 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	2.000	89.64	12/07/20	120.88	31.24 W	0.00	354 of 425 - Sale <sup>25</sup>
	2.000	89.64	12/07/20	120.78	31.14 W	0.00	355 of 425 - Sale <sup>25</sup>
	2.000	89.64	12/07/20	120.77	31.13 W	0.00	356 of 425 - Sale <sup>25</sup>
	4.449	199.41	12/07/20	269.90	70.49 W	0.00	357 of 425 - Sale <sup>25</sup>
	5.106	228.86	12/07/20	308.64	79.78 W	0.00	358 of 425 - Sale <sup>25</sup>
	0.345	15.48	12/07/20	20.95	5.47 W	0.00	359 of 425 - Sale <sup>25</sup>
	1.515	67.88	12/07/20	92.02	24.14 W	0.00	360 of 425 - Sale <sup>25</sup>
	2.413	108.15	12/07/20	146.60	38.45 W	0.00	361 of 425 - Sale <sup>25</sup>
	3.000	134.46	12/07/20	182.26	47.80 W	0.00	362 of 425 - Sale <sup>25</sup>
	3.242	145.28	12/07/20	196.94	51.66 W	0.00	363 of 425 - Sale <sup>25</sup>
	0.485	21.76	12/07/20	28.24	6.48 W	0.00	364 of 425 - Sale <sup>25</sup>
	0.515	23.06	12/07/20	29.88	6.82 W	0.00	365 of 425 - Sale <sup>25</sup>
	1.000	44.82	12/07/20	58.16	13.34 W	0.00	366 of 425 - Sale <sup>25</sup>
	2.000	89.64	12/07/20	119.54	29.90 W	0.00	367 of 425 - Sale <sup>25</sup>
	2.382	106.74	12/07/20	139.72	32.98 W	0.00	368 of 425 - Sale <sup>25</sup>
	2.485	111.39	12/07/20	145.94	34.55 W	0.00	369 of 425 - Sale <sup>25</sup>
	3.862	173.10	12/07/20	226.15	53.05 W	0.00	370 of 425 - Sale <sup>25</sup>
	1.756	78.71	12/07/20	102.82	24.11 W	0.00	371 of 425 - Sale <sup>25</sup>
	0.106	4.76	12/07/20	6.19	1.43 W	0.00	372 of 425 - Sale <sup>25</sup>
	0.242	10.83	12/07/20	14.15	3.32 W	0.00	373 of 425 - Sale <sup>25</sup>
	0.244	10.93	12/07/20	14.28	3.35 W	0.00	374 of 425 - Sale <sup>25</sup>
	0.454	20.35	12/07/20	26.49	6.14 W	0.00	375 of 425 - Sale <sup>25</sup>
	0.485	21.76	12/07/20	28.32	6.56 W	0.00	376 of 425 - Sale <sup>25</sup>
	1.000	44.82	12/07/20	55.06	10.24 W	0.00	377 of 425 - Sale <sup>25</sup>
	1.546	69.29	12/07/20	90.14	20.85 W	0.00	378 of 425 - Sale <sup>25</sup>
	2.408	107.94	12/07/20	141.02	33.08 W	0.00	379 of 425 - Sale <sup>25</sup>
	2.515	112.70	12/07/20	156.87	44.17 W	0.00	380 of 425 - Sale <sup>25</sup>
	3.000	134.46	12/07/20	173.04	38.58 W	0.00	381 of 425 - Sale <sup>25</sup>
	4.515	202.34	12/07/20	262.31	59.97 W	0.00	382 of 425 - Sale <sup>25</sup>
	7.592	340.25	12/07/20	473.58	133.33 W	0.00	383 of 425 - Sale <sup>25</sup>
	8.862	397.20	12/07/20	516.68	119.48 W	0.00	384 of 425 - Sale <sup>25</sup>
	9.106	408.13	12/07/20	533.21	125.08 W	0.00	385 of 425 - Sale <sup>25</sup>
	0.106	4.76	12/07/20	6.17	1.41 W	0.00	386 of 425 - Sale <sup>25</sup>
	0.650	29.12	12/07/20	39.46	10.34 W	0.00	387 of 425 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.138	50.99	12/07/20	68.81	17.82 W	0.00	388 of 425 - Sale <sup>25</sup>
	3.000	134.46	12/07/20	174.59	40.13 W	0.00	389 of 425 - Sale <sup>25</sup>
	3.031	135.87	12/07/20	176.44	40.57 W	0.00	390 of 425 - Sale <sup>25</sup>
	4.000	179.28	12/07/20	240.97	61.69 W	0.00	391 of 425 - Sale <sup>25</sup>
	8.000	358.55	12/07/20	481.96	123.41 W	0.00	392 of 425 - Sale <sup>25</sup>
	13.000	582.64	12/07/20	782.89	200.25 W	0.00	393 of 425 - Sale <sup>25</sup>
	3.106	139.22	12/07/20	189.87	50.65 W	0.00	394 of 425 - Sale <sup>25</sup>
	5.000	224.09	12/07/20	305.63	81.54 W	0.00	395 of 425 - Sale <sup>25</sup>
	9.894	443.43	12/07/20	613.82	170.39 W	0.00	396 of 425 - Sale <sup>25</sup>
	1.000	44.82	12/07/20	60.57	15.75 W	0.00	397 of 425 - Sale <sup>25</sup>
	3.000	134.46	12/07/20	183.98	49.52 W	0.00	398 of 425 - Sale <sup>25</sup>
	0.205	9.20	12/07/20	11.73	2.53 W	0.00	399 of 425 - Sale <sup>25</sup>
	0.483	21.65	12/07/20	27.55	5.90 W	0.00	400 of 425 - Sale <sup>25</sup>
	3.795	170.07	12/07/20	216.80	46.73 W	0.00	401 of 425 - Sale <sup>25</sup>
	0.932	41.79	12/07/20	49.85	8.06 W	0.00	402 of 425 - Sale <sup>25</sup>
	1.000	44.82	12/07/20	53.47	8.65 W	0.00	403 of 425 - Sale <sup>25</sup>
	1.000	44.82	12/07/20	53.47	8.65 W	0.00	404 of 425 - Sale <sup>25</sup>
	1.244	55.75	12/07/20	66.46	10.71 W	0.00	405 of 425 - Sale <sup>25</sup>
	2.205	98.84	12/07/20	117.92	19.08 W	0.00	406 of 425 - Sale <sup>25</sup>
	4.862	217.92	12/07/20	260.01	42.09 W	0.00	407 of 425 - Sale <sup>25</sup>
	6.517	292.08	12/07/20	348.49	56.41 W	0.00	408 of 425 - Sale <sup>25</sup>
	1.000	44.82	12/07/20	52.23	7.41 W	0.00	409 of 425 - Sale <sup>25</sup>
	3.000	134.46	12/07/20	157.39	22.93 W	0.00	410 of 425 - Sale <sup>25</sup>
	3.000	134.46	12/07/20	157.41	22.95 W	0.00	411 of 425 - Sale <sup>25</sup>
	3.000	134.46	12/07/20	160.10	25.64 W	0.00	412 of 425 - Sale <sup>25</sup>
	4.000	179.27	12/07/20	213.44	34.17 W	0.00	413 of 425 - Sale <sup>25</sup>
	5.000	224.09	12/07/20	266.08	41.99 W	0.00	414 of 425 - Sale <sup>25</sup>
	5.756	257.98	12/07/20	301.12	43.14 W	0.00	415 of 425 - Sale <sup>25</sup>
	6.000	268.91	12/07/20	320.20	51.29 W	0.00	416 of 425 - Sale <sup>25</sup>
	25.000	1,120.47	12/07/20	1,158.04	37.57 W	0.00	417 of 425 - Sale <sup>25</sup>
	1.000	44.82	12/07/20	53.16	8.34 W	0.00	418 of 425 - Sale <sup>25</sup>
	17.000	761.92	12/07/20	776.40	14.48 W	0.00	419 of 425 - Sale <sup>25</sup>
	32.000	1,434.20	12/07/20	1,461.46	27.26 W	0.00	420 of 425 - Sale <sup>25</sup>
	50.000	2,240.94	12/07/20	2,286.03	45.09 W	0.00	421 of 425 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	10.000	448.19	12/07/20	456.21	8.02 W	0.00	422 of 425 - Sale <sup>25</sup>
	1.000	44.82	12/07/20	46.05	1.23 W	0.00	423 of 425 - Sale <sup>25</sup>
	6.000	268.91	12/07/20	277.32	8.41 W	0.00	424 of 425 - Sale <sup>25</sup>
	8.000	358.55	12/07/20	364.96	6.41 W	0.00	425 of 425 - Sale <sup>25</sup>
12/07/20	1,851.000	80,940.30	Various	99,914.25	18,973.95 W	0.00	Total of 425 transactions
	2 transactions for 12/08/20. Total proceeds and cost reported to the IRS.						
	1.000	46.35	12/07/20	45.82	...	0.53	1 of 2 - Sale <sup>25</sup>
	8.000	370.83	12/07/20	369.39	...	1.44	2 of 2 - Sale <sup>25</sup>
12/08/20	9.000	417.18	Various	415.21	...	1.97	Total of 2 transactions
	573 transactions for 12/08/20. Total proceeds and cost reported to the IRS.						
	8.000	370.83	12/07/20	426.00	55.17 W	0.00	1 of 573 - Sale <sup>25</sup>
	21.000	973.43	12/07/20	1,118.05	144.62 W	0.00	2 of 573 - Sale <sup>25</sup>
	1.000	46.35	12/07/20	46.58	0.23 W	0.00	3 of 573 - Sale <sup>25</sup>
	6.000	278.12	12/07/20	279.82	1.70 W	0.00	4 of 573 - Sale <sup>25</sup>
	13.000	602.60	12/07/20	604.99	2.39 W	0.00	5 of 573 - Sale <sup>25</sup>
	1.000	46.35	12/07/20	47.12	0.77 W	0.00	6 of 573 - Sale <sup>25</sup>
	2.000	92.71	12/07/20	92.96	0.25 W	0.00	7 of 573 - Sale <sup>25</sup>
	13.000	602.60	12/07/20	604.29	1.69 W	0.00	8 of 573 - Sale <sup>25</sup>
	26.000	1,205.20	12/07/20	1,208.60	3.40 W	0.00	9 of 573 - Sale <sup>25</sup>
	0.242	11.20	12/07/20	13.95	2.75 W	0.00	10 of 573 - Sale <sup>25</sup>
	0.138	6.38	12/07/20	8.07	1.69 W	0.00	11 of 573 - Sale <sup>25</sup>
	0.379	17.58	12/07/20	22.16	4.58 W	0.00	12 of 573 - Sale <sup>25</sup>
	3.242	150.26	12/07/20	197.45	47.19 W	0.00	13 of 573 - Sale <sup>25</sup>
	1.000	46.35	12/07/20	47.07	0.72 W	0.00	14 of 573 - Sale <sup>25</sup>
	0.316	14.66	12/08/20	21.33	6.67 W	0.00	15 of 573 - Sale <sup>25</sup>
	1.138	52.74	12/08/20	76.68	23.94 W	0.00	16 of 573 - Sale <sup>25</sup>
	3.169	146.90	12/08/20	210.74	63.84 W	0.00	17 of 573 - Sale <sup>25</sup>
	5.831	270.28	12/08/20	387.77	117.49 W	0.00	18 of 573 - Sale <sup>25</sup>
	7.000	324.48	12/08/20	440.61	116.13 W	0.00	19 of 573 - Sale <sup>25</sup>
	0.077	3.58	12/08/20	4.76	1.18 W	0.00	20 of 573 - Sale <sup>25</sup>
	0.092	4.26	12/08/20	5.62	1.36 W	0.00	21 of 573 - Sale <sup>25</sup>
	0.454	21.05	12/08/20	27.81	6.76 W	0.00	22 of 573 - Sale <sup>25</sup>
	0.546	25.31	12/08/20	33.55	8.24 W	0.00	23 of 573 - Sale <sup>25</sup>
	1.046	48.48	12/08/20	63.93	15.45 W	0.00	24 of 573 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.092	50.61	12/08/20	68.04	17.43 W	0.00	25 of 573 - Sale <sup>25</sup>
	1.316	61.02	12/08/20	80.29	19.27 W	0.00	26 of 573 - Sale <sup>25</sup>
	1.408	65.28	12/08/20	85.88	20.60 W	0.00	27 of 573 - Sale <sup>25</sup>
	2.000	92.71	12/08/20	122.40	29.69 W	0.00	28 of 573 - Sale <sup>25</sup>
	2.000	92.71	12/08/20	122.41	29.70 W	0.00	29 of 573 - Sale <sup>25</sup>
	2.000	92.71	12/08/20	121.97	29.26 W	0.00	30 of 573 - Sale <sup>25</sup>
	3.377	156.53	12/08/20	208.08	51.55 W	0.00	31 of 573 - Sale <sup>25</sup>
	3.454	160.11	12/08/20	211.39	51.28 W	0.00	32 of 573 - Sale <sup>25</sup>
	4.831	223.93	12/08/20	301.07	77.14 W	0.00	33 of 573 - Sale <sup>25</sup>
	5.000	231.77	12/08/20	311.63	79.86 W	0.00	34 of 573 - Sale <sup>25</sup>
	10.623	492.42	12/08/20	662.00	169.58 W	0.00	35 of 573 - Sale <sup>25</sup>
	11.230	520.54	12/08/20	685.23	164.69 W	0.00	36 of 573 - Sale <sup>25</sup>
	0.063	2.91	12/08/20	4.07	1.16 W	0.00	37 of 573 - Sale <sup>25</sup>
	0.092	4.26	12/08/20	6.03	1.77 W	0.00	38 of 573 - Sale <sup>25</sup>
	0.111	5.15	12/08/20	7.19	2.04 W	0.00	39 of 573 - Sale <sup>25</sup>
	0.435	20.16	12/08/20	28.55	8.39 W	0.00	40 of 573 - Sale <sup>25</sup>
	0.454	21.05	12/08/20	29.03	7.98 W	0.00	41 of 573 - Sale <sup>25</sup>
	0.454	21.05	12/08/20	29.02	7.97 W	0.00	42 of 573 - Sale <sup>25</sup>
	0.454	21.05	12/08/20	29.72	8.67 W	0.00	43 of 573 - Sale <sup>25</sup>
	0.546	25.31	12/08/20	35.66	10.35 W	0.00	44 of 573 - Sale <sup>25</sup>
	0.688	31.91	12/08/20	44.57	12.66 W	0.00	45 of 573 - Sale <sup>25</sup>
	0.720	33.37	12/08/20	46.56	13.19 W	0.00	46 of 573 - Sale <sup>25</sup>
	0.766	35.49	12/08/20	49.25	13.76 W	0.00	47 of 573 - Sale <sup>25</sup>
	0.995	46.13	12/08/20	65.12	18.99 W	0.00	48 of 573 - Sale <sup>25</sup>
	1.000	46.35	12/08/20	63.90	17.55 W	0.00	49 of 573 - Sale <sup>25</sup>
	1.000	46.35	12/08/20	64.15	17.80 W	0.00	50 of 573 - Sale <sup>25</sup>
	1.000	46.35	12/08/20	64.05	17.70 W	0.00	51 of 573 - Sale <sup>25</sup>
	1.000	46.35	12/08/20	64.04	17.69 W	0.00	52 of 573 - Sale <sup>25</sup>
	1.000	46.35	12/08/20	65.58	19.23 W	0.00	53 of 573 - Sale <sup>25</sup>
	1.000	46.35	12/08/20	65.49	19.14 W	0.00	54 of 573 - Sale <sup>25</sup>
	1.174	54.41	12/08/20	76.02	21.61 W	0.00	55 of 573 - Sale <sup>25</sup>
	2.000	92.71	12/08/20	120.98	28.27 W	0.00	56 of 573 - Sale <sup>25</sup>
	2.000	92.71	12/08/20	120.83	28.12 W	0.00	57 of 573 - Sale <sup>25</sup>
	2.111	97.85	12/08/20	138.00	40.15 W	0.00	58 of 573 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	2.111	97.85	12/08/20	127.68	29.83 W	0.00	59 of 573 - Sale <sup>25</sup>
	2.234	103.57	12/08/20	143.79	40.22 W	0.00	60 of 573 - Sale <sup>25</sup>
	3.111	144.21	12/08/20	190.00	45.79 W	0.00	61 of 573 - Sale <sup>25</sup>
	3.454	160.11	12/08/20	225.73	65.62 W	0.00	62 of 573 - Sale <sup>25</sup>
	3.604	167.06	12/08/20	233.34	66.28 W	0.00	63 of 573 - Sale <sup>25</sup>
	3.729	172.87	12/08/20	239.99	67.12 W	0.00	64 of 573 - Sale <sup>25</sup>
	3.831	177.58	12/08/20	229.23	51.65 W	0.00	65 of 573 - Sale <sup>25</sup>
	3.908	181.15	12/08/20	246.09	64.94 W	0.00	66 of 573 - Sale <sup>25</sup>
	4.000	185.42	12/08/20	256.57	71.15 W	0.00	67 of 573 - Sale <sup>25</sup>
	4.000	185.42	12/08/20	261.99	76.57 W	0.00	68 of 573 - Sale <sup>25</sup>
	4.111	190.56	12/08/20	268.96	78.40 W	0.00	69 of 573 - Sale <sup>25</sup>
	4.688	217.33	12/08/20	303.66	86.33 W	0.00	70 of 573 - Sale <sup>25</sup>
	4.751	220.24	12/08/20	310.87	90.63 W	0.00	71 of 573 - Sale <sup>25</sup>
	4.908	227.51	12/08/20	317.80	90.29 W	0.00	72 of 573 - Sale <sup>25</sup>
	5.000	231.77	12/08/20	322.22	90.45 W	0.00	73 of 573 - Sale <sup>25</sup>
	5.000	231.77	12/08/20	320.24	88.47 W	0.00	74 of 573 - Sale <sup>25</sup>
	5.138	238.15	12/08/20	332.64	94.49 W	0.00	75 of 573 - Sale <sup>25</sup>
	5.249	243.30	12/08/20	339.45	96.15 W	0.00	76 of 573 - Sale <sup>25</sup>
	5.817	269.62	12/08/20	374.98	105.36 W	0.00	77 of 573 - Sale <sup>25</sup>
	5.889	272.98	12/08/20	356.77	83.79 W	0.00	78 of 573 - Sale <sup>25</sup>
	6.000	278.12	12/08/20	384.08	105.96 W	0.00	79 of 573 - Sale <sup>25</sup>
	6.000	278.12	12/08/20	387.57	109.45 W	0.00	80 of 573 - Sale <sup>25</sup>
	6.459	299.39	12/08/20	413.65	114.26 W	0.00	81 of 573 - Sale <sup>25</sup>
	7.372	341.72	12/08/20	476.91	135.19 W	0.00	82 of 573 - Sale <sup>25</sup>
	10.249	475.07	12/08/20	670.62	195.55 W	0.00	83 of 573 - Sale <sup>25</sup>
	15.435	715.47	12/08/20	934.36	218.89 W	0.00	84 of 573 - Sale <sup>25</sup>
	0.302	14.00	12/08/20	18.89	4.89 W	0.00	85 of 573 - Sale <sup>25</sup>
	0.729	33.81	12/08/20	45.53	11.72 W	0.00	86 of 573 - Sale <sup>25</sup>
	1.000	46.35	12/08/20	61.64	15.29 W	0.00	87 of 573 - Sale <sup>25</sup>
	1.000	46.35	12/08/20	62.53	16.18 W	0.00	88 of 573 - Sale <sup>25</sup>
	1.000	46.35	12/08/20	62.40	16.05 W	0.00	89 of 573 - Sale <sup>25</sup>
	1.889	87.56	12/08/20	116.42	28.86 W	0.00	90 of 573 - Sale <sup>25</sup>
	3.698	171.42	12/08/20	231.14	59.72 W	0.00	91 of 573 - Sale <sup>25</sup>
	4.000	185.42	12/08/20	251.05	65.63 W	0.00	92 of 573 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	5.000	231.77	12/08/20	310.96	79.19 W	0.00	93 of 573 - Sale <sup>25</sup>
	5.271	244.31	12/08/20	328.93	84.62 W	0.00	94 of 573 - Sale <sup>25</sup>
	0.345	16.01	12/08/20	21.66	5.65 W	0.00	95 of 573 - Sale <sup>25</sup>
	0.485	22.50	12/08/20	30.50	8.00 W	0.00	96 of 573 - Sale <sup>25</sup>
	1.000	46.35	12/08/20	62.71	16.36 W	0.00	97 of 573 - Sale <sup>25</sup>
	1.515	70.20	12/08/20	95.13	24.93 W	0.00	98 of 573 - Sale <sup>25</sup>
	1.515	70.20	12/08/20	95.14	24.94 W	0.00	99 of 573 - Sale <sup>25</sup>
	2.413	111.85	12/08/20	151.57	39.72 W	0.00	100 of 573 - Sale <sup>25</sup>
	3.000	139.06	12/08/20	188.41	49.35 W	0.00	101 of 573 - Sale <sup>25</sup>
	3.000	139.06	12/08/20	188.44	49.38 W	0.00	102 of 573 - Sale <sup>25</sup>
	3.242	150.26	12/08/20	203.62	53.36 W	0.00	103 of 573 - Sale <sup>25</sup>
	3.483	161.45	12/08/20	217.32	55.87 W	0.00	104 of 573 - Sale <sup>25</sup>
	3.517	163.02	12/08/20	220.88	57.86 W	0.00	105 of 573 - Sale <sup>25</sup>
	7.000	324.48	12/08/20	439.63	115.15 W	0.00	106 of 573 - Sale <sup>25</sup>
	12.000	556.25	12/08/20	748.59	192.34 W	0.00	107 of 573 - Sale <sup>25</sup>
	0.485	22.50	12/08/20	29.24	6.74 W	0.00	108 of 573 - Sale <sup>25</sup>
	0.515	23.85	12/08/20	30.94	7.09 W	0.00	109 of 573 - Sale <sup>25</sup>
	0.729	33.81	12/08/20	44.41	10.60 W	0.00	110 of 573 - Sale <sup>25</sup>
	1.000	46.35	12/08/20	60.22	13.87 W	0.00	111 of 573 - Sale <sup>25</sup>
	2.698	125.06	12/08/20	169.89	44.83 W	0.00	112 of 573 - Sale <sup>25</sup>
	4.302	199.42	12/08/20	270.89	71.47 W	0.00	113 of 573 - Sale <sup>25</sup>
	8.000	370.83	12/08/20	503.74	132.91 W	0.00	114 of 573 - Sale <sup>25</sup>
	13.271	615.14	12/08/20	818.92	203.78 W	0.00	115 of 573 - Sale <sup>25</sup>
	0.027	1.24	12/08/20	1.64	0.40 W	0.00	116 of 573 - Sale <sup>25</sup>
	0.106	4.93	12/08/20	6.41	1.48 W	0.00	117 of 573 - Sale <sup>25</sup>
	0.111	5.15	12/08/20	6.68	1.53 W	0.00	118 of 573 - Sale <sup>25</sup>
	0.242	11.20	12/08/20	14.64	3.44 W	0.00	119 of 573 - Sale <sup>25</sup>
	0.244	11.31	12/08/20	14.79	3.48 W	0.00	120 of 573 - Sale <sup>25</sup>
	0.271	12.54	12/08/20	16.73	4.19 W	0.00	121 of 573 - Sale <sup>25</sup>
	0.454	21.05	12/08/20	27.43	6.38 W	0.00	122 of 573 - Sale <sup>25</sup>
	0.485	22.50	12/08/20	29.32	6.82 W	0.00	123 of 573 - Sale <sup>25</sup>
	0.867	40.19	12/08/20	53.35	13.16 W	0.00	124 of 573 - Sale <sup>25</sup>
	0.973	45.12	12/08/20	60.34	15.22 W	0.00	125 of 573 - Sale <sup>25</sup>
	0.973	45.12	12/08/20	58.25	13.13 W	0.00	126 of 573 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.000	46.35	12/08/20	62.01	15.66 W	0.00	127 of 573 - Sale <sup>25</sup>
	1.000	46.35	12/08/20	57.12	10.77 W	0.00	128 of 573 - Sale <sup>25</sup>
	1.005	46.58	12/08/20	62.03	15.45 W	0.00	129 of 573 - Sale <sup>25</sup>
	1.027	47.59	12/08/20	63.66	16.07 W	0.00	130 of 573 - Sale <sup>25</sup>
	1.111	51.50	12/08/20	68.40	16.90 W	0.00	131 of 573 - Sale <sup>25</sup>
	1.449	67.18	12/08/20	89.29	22.11 W	0.00	132 of 573 - Sale <sup>25</sup>
	1.546	71.66	12/08/20	93.32	21.66 W	0.00	133 of 573 - Sale <sup>25</sup>
	1.698	78.71	12/08/20	104.07	25.36 W	0.00	134 of 573 - Sale <sup>25</sup>
	1.756	81.40	12/08/20	108.33	26.93 W	0.00	135 of 573 - Sale <sup>25</sup>
	1.756	81.40	12/08/20	106.43	25.03 W	0.00	136 of 573 - Sale <sup>25</sup>
	1.889	87.56	12/08/20	112.90	25.34 W	0.00	137 of 573 - Sale <sup>25</sup>
	2.000	92.71	12/08/20	123.66	30.95 W	0.00	138 of 573 - Sale <sup>25</sup>
	2.382	110.40	12/08/20	144.63	34.23 W	0.00	139 of 573 - Sale <sup>25</sup>
	2.408	111.63	12/08/20	145.98	34.35 W	0.00	140 of 573 - Sale <sup>25</sup>
	2.485	115.21	12/08/20	151.07	35.86 W	0.00	141 of 573 - Sale <sup>25</sup>
	2.515	116.56	12/08/20	162.05	45.49 W	0.00	142 of 573 - Sale <sup>25</sup>
	2.623	121.59	12/08/20	160.42	38.83 W	0.00	143 of 573 - Sale <sup>25</sup>
	2.862	132.68	12/08/20	176.48	43.80 W	0.00	144 of 573 - Sale <sup>25</sup>
	3.000	139.06	12/08/20	180.45	41.39 W	0.00	145 of 573 - Sale <sup>25</sup>
	3.000	139.06	12/08/20	183.91	44.85 W	0.00	146 of 573 - Sale <sup>25</sup>
	3.000	139.06	12/08/20	179.22	40.16 W	0.00	147 of 573 - Sale <sup>25</sup>
	3.133	145.22	12/08/20	192.96	47.74 W	0.00	148 of 573 - Sale <sup>25</sup>
	3.729	172.87	12/08/20	227.78	54.91 W	0.00	149 of 573 - Sale <sup>25</sup>
	3.862	179.03	12/08/20	234.12	55.09 W	0.00	150 of 573 - Sale <sup>25</sup>
	4.133	191.58	12/08/20	254.36	62.78 W	0.00	151 of 573 - Sale <sup>25</sup>
	4.271	197.96	12/08/20	261.17	63.21 W	0.00	152 of 573 - Sale <sup>25</sup>
	4.377	202.88	12/08/20	267.69	64.81 W	0.00	153 of 573 - Sale <sup>25</sup>
	4.515	209.27	12/08/20	271.61	62.34 W	0.00	154 of 573 - Sale <sup>25</sup>
	4.684	217.11	12/08/20	288.51	71.40 W	0.00	155 of 573 - Sale <sup>25</sup>
	4.729	219.23	12/08/20	291.34	72.11 W	0.00	156 of 573 - Sale <sup>25</sup>
	6.000	278.12	12/08/20	365.44	87.32 W	0.00	157 of 573 - Sale <sup>25</sup>
	7.000	324.48	12/08/20	418.09	93.61 W	0.00	158 of 573 - Sale <sup>25</sup>
	7.302	338.48	12/08/20	447.61	109.13 W	0.00	159 of 573 - Sale <sup>25</sup>
	7.592	351.91	12/08/20	489.23	137.32 W	0.00	160 of 573 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	8.862	410.80	12/08/20	534.95	124.15 W	0.00	161 of 573 - Sale <sup>25</sup>
	9.106	422.11	12/08/20	551.98	129.87 W	0.00	162 of 573 - Sale <sup>25</sup>
	17.000	788.02	12/08/20	1,016.20	228.18 W	0.00	163 of 573 - Sale <sup>25</sup>
	0.106	4.93	12/08/20	6.39	1.46 W	0.00	164 of 573 - Sale <sup>25</sup>
	0.650	30.12	12/08/20	40.80	10.68 W	0.00	165 of 573 - Sale <sup>25</sup>
	1.138	52.74	12/08/20	71.15	18.41 W	0.00	166 of 573 - Sale <sup>25</sup>
	3.000	139.06	12/08/20	180.77	41.71 W	0.00	167 of 573 - Sale <sup>25</sup>
	3.031	140.52	12/08/20	182.68	42.16 W	0.00	168 of 573 - Sale <sup>25</sup>
	3.106	143.99	12/08/20	196.27	52.28 W	0.00	169 of 573 - Sale <sup>25</sup>
	5.000	231.77	12/08/20	315.94	84.17 W	0.00	170 of 573 - Sale <sup>25</sup>
	9.894	458.61	12/08/20	634.21	175.60 W	0.00	171 of 573 - Sale <sup>25</sup>
	0.758	35.16	12/08/20	47.58	12.42 W	0.00	172 of 573 - Sale <sup>25</sup>
	0.792	36.73	12/08/20	49.51	12.78 W	0.00	173 of 573 - Sale <sup>25</sup>
	0.894	41.43	12/08/20	55.85	14.42 W	0.00	174 of 573 - Sale <sup>25</sup>
	1.000	46.35	12/08/20	62.44	16.09 W	0.00	175 of 573 - Sale <sup>25</sup>
	1.000	46.35	12/08/20	62.45	16.10 W	0.00	176 of 573 - Sale <sup>25</sup>
	1.000	46.35	12/08/20	62.63	16.28 W	0.00	177 of 573 - Sale <sup>25</sup>
	2.000	92.71	12/08/20	125.00	32.29 W	0.00	178 of 573 - Sale <sup>25</sup>
	2.000	92.71	12/08/20	124.90	32.19 W	0.00	179 of 573 - Sale <sup>25</sup>
	2.000	92.71	12/08/20	124.89	32.18 W	0.00	180 of 573 - Sale <sup>25</sup>
	3.000	139.06	12/08/20	190.16	51.10 W	0.00	181 of 573 - Sale <sup>25</sup>
	4.000	185.42	12/08/20	249.21	63.79 W	0.00	182 of 573 - Sale <sup>25</sup>
	4.449	206.24	12/08/20	279.07	72.83 W	0.00	183 of 573 - Sale <sup>25</sup>
	5.106	236.69	12/08/20	319.16	82.47 W	0.00	184 of 573 - Sale <sup>25</sup>
	8.000	370.83	12/08/20	498.45	127.62 W	0.00	185 of 573 - Sale <sup>25</sup>
	13.000	602.60	12/08/20	809.70	207.10 W	0.00	186 of 573 - Sale <sup>25</sup>
	0.205	9.52	12/08/20	12.16	2.64 W	0.00	187 of 573 - Sale <sup>25</sup>
	0.483	22.39	12/08/20	28.55	6.16 W	0.00	188 of 573 - Sale <sup>25</sup>
	3.795	175.90	12/08/20	224.63	48.73 W	0.00	189 of 573 - Sale <sup>25</sup>
	0.932	43.22	12/08/20	51.77	8.55 W	0.00	190 of 573 - Sale <sup>25</sup>
	1.000	46.35	12/08/20	55.53	9.18 W	0.00	191 of 573 - Sale <sup>25</sup>
	1.000	46.35	12/08/20	55.53	9.18 W	0.00	192 of 573 - Sale <sup>25</sup>
	1.244	57.66	12/08/20	69.03	11.37 W	0.00	193 of 573 - Sale <sup>25</sup>
	2.205	102.23	12/08/20	122.47	20.24 W	0.00	194 of 573 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	4.862	225.39	12/08/20	270.04	44.65 W	0.00	195 of 573 - Sale <sup>25</sup>
	6.517	302.08	12/08/20	361.93	59.85 W	0.00	196 of 573 - Sale <sup>25</sup>
	1.000	46.35	12/08/20	54.29	7.94 W	0.00	197 of 573 - Sale <sup>25</sup>
	3.000	139.06	12/08/20	163.57	24.51 W	0.00	198 of 573 - Sale <sup>25</sup>
	3.000	139.06	12/08/20	163.59	24.53 W	0.00	199 of 573 - Sale <sup>25</sup>
	3.000	139.06	12/08/20	166.28	27.22 W	0.00	200 of 573 - Sale <sup>25</sup>
	4.000	185.42	12/08/20	221.69	36.27 W	0.00	201 of 573 - Sale <sup>25</sup>
	4.000	185.42	12/08/20	221.11	35.69 W	0.00	202 of 573 - Sale <sup>25</sup>
	5.756	266.82	12/08/20	312.98	46.16 W	0.00	203 of 573 - Sale <sup>25</sup>
	6.000	278.12	12/08/20	332.57	54.45 W	0.00	204 of 573 - Sale <sup>25</sup>
	1.000	46.35	12/08/20	48.76	2.41 W	0.00	205 of 573 - Sale <sup>25</sup>
	2.000	92.71	12/08/20	97.51	4.80 W	0.00	206 of 573 - Sale <sup>25</sup>
	5.000	231.77	12/08/20	244.27	12.50 W	0.00	207 of 573 - Sale <sup>25</sup>
	6.000	278.13	12/08/20	289.25	11.12 W	0.00	208 of 573 - Sale <sup>25</sup>
	8.000	370.83	12/08/20	390.06	19.23 W	0.00	209 of 573 - Sale <sup>25</sup>
	17.000	788.02	12/08/20	827.17	39.15 W	0.00	210 of 573 - Sale <sup>25</sup>
	37.000	1,715.10	12/08/20	1,807.59	92.49 W	0.00	211 of 573 - Sale <sup>25</sup>
	1.000	45.84	12/08/20	55.00	9.16 W	0.00	212 of 573 - Sale <sup>25</sup>
	1.000	45.84	12/08/20	54.94	9.10 W	0.00	213 of 573 - Sale <sup>25</sup>
	6.000	275.03	12/08/20	284.71	9.68 W	0.00	214 of 573 - Sale <sup>25</sup>
	17.000	779.26	12/08/20	806.68	27.42 W	0.00	215 of 573 - Sale <sup>25</sup>
	25.000	1,145.97	12/08/20	1,202.57	56.60 W	0.00	216 of 573 - Sale <sup>25</sup>
	50.000	2,291.94	12/08/20	2,375.09	83.15 W	0.00	217 of 573 - Sale <sup>25</sup>
	1.000	45.83	12/08/20	47.40	1.57 W	0.00	218 of 573 - Sale <sup>25</sup>
	19.000	870.75	12/08/20	900.64	29.89 W	0.00	219 of 573 - Sale <sup>25</sup>
	8.000	366.63	12/08/20	427.57	60.94 W	0.00	220 of 573 - Sale <sup>25</sup>
	21.000	962.41	12/08/20	1,122.17	159.76 W	0.00	221 of 573 - Sale <sup>25</sup>
	1.000	45.83	12/08/20	46.78	0.95 W	0.00	222 of 573 - Sale <sup>25</sup>
	6.000	274.97	12/08/20	281.00	6.03 W	0.00	223 of 573 - Sale <sup>25</sup>
	13.000	595.78	12/08/20	607.54	11.76 W	0.00	224 of 573 - Sale <sup>25</sup>
	1.000	45.83	12/08/20	47.78	1.95 W	0.00	225 of 573 - Sale <sup>25</sup>
	6.000	274.97	12/08/20	284.41	9.44 W	0.00	226 of 573 - Sale <sup>25</sup>
	6.000	274.97	12/08/20	287.71	12.74 W	0.00	227 of 573 - Sale <sup>25</sup>
	8.000	366.63	12/08/20	378.81	12.18 W	0.00	228 of 573 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
10.000		458.29	12/08/20	473.52	15.23 W	0.00	229 of 573 - Sale <sup>25</sup>
0.242		11.07	12/08/20	13.98	2.91 W	0.00	230 of 573 - Sale <sup>25</sup>
0.138		6.31	12/08/20	8.09	1.78 W	0.00	231 of 573 - Sale <sup>25</sup>
0.379		17.38	12/08/20	22.21	4.83 W	0.00	232 of 573 - Sale <sup>25</sup>
3.242		148.56	12/08/20	197.92	49.36 W	0.00	233 of 573 - Sale <sup>25</sup>
0.316		14.50	12/08/20	21.38	6.88 W	0.00	234 of 573 - Sale <sup>25</sup>
1.138		52.14	12/08/20	76.84	24.70 W	0.00	235 of 573 - Sale <sup>25</sup>
3.169		145.24	12/08/20	211.20	65.96 W	0.00	236 of 573 - Sale <sup>25</sup>
5.831		267.22	12/08/20	388.63	121.41 W	0.00	237 of 573 - Sale <sup>25</sup>
7.000		320.80	12/08/20	441.63	120.83 W	0.00	238 of 573 - Sale <sup>25</sup>
0.077		3.54	12/08/20	4.77	1.23 W	0.00	239 of 573 - Sale <sup>25</sup>
0.092		4.21	12/08/20	5.63	1.42 W	0.00	240 of 573 - Sale <sup>25</sup>
0.454		20.81	12/08/20	27.87	7.06 W	0.00	241 of 573 - Sale <sup>25</sup>
0.546		25.02	12/08/20	33.63	8.61 W	0.00	242 of 573 - Sale <sup>25</sup>
1.046		47.93	12/08/20	64.08	16.15 W	0.00	243 of 573 - Sale <sup>25</sup>
1.316		60.33	12/08/20	80.48	20.15 W	0.00	244 of 573 - Sale <sup>25</sup>
1.408		64.54	12/08/20	86.09	21.55 W	0.00	245 of 573 - Sale <sup>25</sup>
2.000		91.66	12/08/20	122.69	31.03 W	0.00	246 of 573 - Sale <sup>25</sup>
2.000		91.66	12/08/20	122.70	31.04 W	0.00	247 of 573 - Sale <sup>25</sup>
2.000		91.66	12/08/20	122.26	30.60 W	0.00	248 of 573 - Sale <sup>25</sup>
3.377		154.76	12/08/20	208.58	53.82 W	0.00	249 of 573 - Sale <sup>25</sup>
3.454		158.29	12/08/20	211.89	53.60 W	0.00	250 of 573 - Sale <sup>25</sup>
6.546		299.99	12/08/20	408.88	108.89 W	0.00	251 of 573 - Sale <sup>25</sup>
11.230		514.64	12/08/20	686.87	172.23 W	0.00	252 of 573 - Sale <sup>25</sup>
1.000		45.83	12/08/20	47.27	1.44 W	0.00	253 of 573 - Sale <sup>25</sup>
1.000		45.83	12/08/20	47.22	1.39 W	0.00	254 of 573 - Sale <sup>25</sup>
2.000		91.66	12/08/20	93.25	1.59 W	0.00	255 of 573 - Sale <sup>25</sup>
13.000		595.77	12/08/20	606.19	10.42 W	0.00	256 of 573 - Sale <sup>25</sup>
26.000		1,191.55	12/08/20	1,212.40	20.85 W	0.00	257 of 573 - Sale <sup>25</sup>
1.092		50.04	12/08/20	67.99	17.95 W	0.00	258 of 573 - Sale <sup>25</sup>
4.077		186.85	12/08/20	253.86	67.01 W	0.00	259 of 573 - Sale <sup>25</sup>
4.831		221.39	12/08/20	300.81	79.42 W	0.00	260 of 573 - Sale <sup>25</sup>
5.000		229.14	12/08/20	311.36	82.22 W	0.00	261 of 573 - Sale <sup>25</sup>
0.063		2.88	12/08/20	4.07	1.19 W	0.00	262 of 573 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.092	4.21	12/08/20	6.03	1.82 W	0.00	263 of 573 - Sale <sup>25</sup>
	0.435	19.93	12/08/20	28.53	8.60 W	0.00	264 of 573 - Sale <sup>25</sup>
	0.454	20.81	12/08/20	29.00	8.19 W	0.00	265 of 573 - Sale <sup>25</sup>
	0.454	20.81	12/08/20	28.99	8.18 W	0.00	266 of 573 - Sale <sup>25</sup>
	0.454	20.81	12/08/20	29.69	8.88 W	0.00	267 of 573 - Sale <sup>25</sup>
	0.546	25.02	12/08/20	35.63	10.61 W	0.00	268 of 573 - Sale <sup>25</sup>
	0.546	25.02	12/08/20	35.70	10.68 W	0.00	269 of 573 - Sale <sup>25</sup>
	0.766	35.09	12/08/20	49.21	14.12 W	0.00	270 of 573 - Sale <sup>25</sup>
	0.995	45.61	12/08/20	65.07	19.46 W	0.00	271 of 573 - Sale <sup>25</sup>
	1.000	45.83	12/08/20	63.85	18.02 W	0.00	272 of 573 - Sale <sup>25</sup>
	1.000	45.83	12/08/20	64.10	18.27 W	0.00	273 of 573 - Sale <sup>25</sup>
	1.000	45.83	12/08/20	64.00	18.17 W	0.00	274 of 573 - Sale <sup>25</sup>
	1.000	45.83	12/08/20	63.99	18.16 W	0.00	275 of 573 - Sale <sup>25</sup>
	1.000	45.83	12/08/20	65.53	19.70 W	0.00	276 of 573 - Sale <sup>25</sup>
	1.000	45.83	12/08/20	65.44	19.61 W	0.00	277 of 573 - Sale <sup>25</sup>
	1.174	53.80	12/08/20	75.96	22.16 W	0.00	278 of 573 - Sale <sup>25</sup>
	2.234	102.40	12/08/20	143.67	41.27 W	0.00	279 of 573 - Sale <sup>25</sup>
	3.454	158.29	12/08/20	225.54	67.25 W	0.00	280 of 573 - Sale <sup>25</sup>
	3.729	170.91	12/08/20	239.79	68.88 W	0.00	281 of 573 - Sale <sup>25</sup>
	3.908	179.10	12/08/20	245.88	66.78 W	0.00	282 of 573 - Sale <sup>25</sup>
	4.000	183.32	12/08/20	256.35	73.03 W	0.00	283 of 573 - Sale <sup>25</sup>
	4.111	188.40	12/08/20	268.74	80.34 W	0.00	284 of 573 - Sale <sup>25</sup>
	4.688	214.87	12/08/20	303.40	88.53 W	0.00	285 of 573 - Sale <sup>25</sup>
	5.000	229.14	12/08/20	321.95	92.81 W	0.00	286 of 573 - Sale <sup>25</sup>
	5.000	229.14	12/08/20	319.97	90.83 W	0.00	287 of 573 - Sale <sup>25</sup>
	5.249	240.54	12/08/20	339.17	98.63 W	0.00	288 of 573 - Sale <sup>25</sup>
	5.817	266.57	12/08/20	374.67	108.10 W	0.00	289 of 573 - Sale <sup>25</sup>
	6.000	274.97	12/08/20	383.76	108.79 W	0.00	290 of 573 - Sale <sup>25</sup>
	6.000	274.97	12/08/20	387.25	112.28 W	0.00	291 of 573 - Sale <sup>25</sup>
	6.459	296.00	12/08/20	413.30	117.30 W	0.00	292 of 573 - Sale <sup>25</sup>
	7.372	337.85	12/08/20	476.52	138.67 W	0.00	293 of 573 - Sale <sup>25</sup>
	0.111	5.09	12/08/20	7.17	2.08 W	0.00	294 of 573 - Sale <sup>25</sup>
	0.688	31.55	12/08/20	44.46	12.91 W	0.00	295 of 573 - Sale <sup>25</sup>
	0.720	32.99	12/08/20	46.45	13.46 W	0.00	296 of 573 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
2.000		91.66	12/08/20	120.67	29.01 W	0.00	297 of 573 - Sale <sup>25</sup>
2.000		91.66	12/08/20	120.52	28.86 W	0.00	298 of 573 - Sale <sup>25</sup>
2.111		96.75	12/08/20	137.68	40.93 W	0.00	299 of 573 - Sale <sup>25</sup>
2.111		96.75	12/08/20	127.36	30.61 W	0.00	300 of 573 - Sale <sup>25</sup>
3.111		142.57	12/08/20	189.52	46.95 W	0.00	301 of 573 - Sale <sup>25</sup>
3.604		165.17	12/08/20	232.79	67.62 W	0.00	302 of 573 - Sale <sup>25</sup>
3.831		175.56	12/08/20	228.64	53.08 W	0.00	303 of 573 - Sale <sup>25</sup>
4.000		183.32	12/08/20	261.37	78.05 W	0.00	304 of 573 - Sale <sup>25</sup>
4.751		217.75	12/08/20	310.14	92.39 W	0.00	305 of 573 - Sale <sup>25</sup>
4.908		224.93	12/08/20	317.04	92.11 W	0.00	306 of 573 - Sale <sup>25</sup>
5.138		235.45	12/08/20	331.85	96.40 W	0.00	307 of 573 - Sale <sup>25</sup>
5.889		269.89	12/08/20	355.86	85.97 W	0.00	308 of 573 - Sale <sup>25</sup>
9.703		444.67	12/08/20	633.40	188.73 W	0.00	309 of 573 - Sale <sup>25</sup>
15.435		707.37	12/08/20	931.98	224.61 W	0.00	310 of 573 - Sale <sup>25</sup>
1.000		45.83	12/08/20	61.49	15.66 W	0.00	311 of 573 - Sale <sup>25</sup>
1.000		45.83	12/08/20	62.38	16.55 W	0.00	312 of 573 - Sale <sup>25</sup>
1.889		86.57	12/08/20	116.13	29.56 W	0.00	313 of 573 - Sale <sup>25</sup>
3.000		137.49	12/08/20	187.82	50.33 W	0.00	314 of 573 - Sale <sup>25</sup>
0.302		13.84	12/08/20	18.84	5.00 W	0.00	315 of 573 - Sale <sup>25</sup>
0.729		33.43	12/08/20	45.42	11.99 W	0.00	316 of 573 - Sale <sup>25</sup>
1.000		45.83	12/08/20	62.61	16.78 W	0.00	317 of 573 - Sale <sup>25</sup>
1.000		45.83	12/08/20	62.25	16.42 W	0.00	318 of 573 - Sale <sup>25</sup>
3.698		169.47	12/08/20	230.57	61.10 W	0.00	319 of 573 - Sale <sup>25</sup>
5.000		229.14	12/08/20	310.19	81.05 W	0.00	320 of 573 - Sale <sup>25</sup>
5.271		241.54	12/08/20	328.12	86.58 W	0.00	321 of 573 - Sale <sup>25</sup>
6.000		274.97	12/08/20	373.37	98.40 W	0.00	322 of 573 - Sale <sup>25</sup>
0.345		15.83	12/08/20	21.59	5.76 W	0.00	323 of 573 - Sale <sup>25</sup>
0.485		22.25	12/08/20	30.40	8.15 W	0.00	324 of 573 - Sale <sup>25</sup>
1.000		45.83	12/08/20	62.50	16.67 W	0.00	325 of 573 - Sale <sup>25</sup>
1.515		69.41	12/08/20	94.81	25.40 W	0.00	326 of 573 - Sale <sup>25</sup>
1.515		69.41	12/08/20	94.82	25.41 W	0.00	327 of 573 - Sale <sup>25</sup>
2.413		110.59	12/08/20	151.05	40.46 W	0.00	328 of 573 - Sale <sup>25</sup>
3.000		137.49	12/08/20	187.77	50.28 W	0.00	329 of 573 - Sale <sup>25</sup>
3.000		137.49	12/08/20	187.80	50.31 W	0.00	330 of 573 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	3.242	148.56	12/08/20	202.92	54.36 W	0.00	331 of 573 - Sale <sup>25</sup>
	3.483	159.63	12/08/20	216.57	56.94 W	0.00	332 of 573 - Sale <sup>25</sup>
	3.517	161.18	12/08/20	220.12	58.94 W	0.00	333 of 573 - Sale <sup>25</sup>
	6.000	274.97	12/08/20	373.00	98.03 W	0.00	334 of 573 - Sale <sup>25</sup>
	7.000	320.80	12/08/20	438.12	117.32 W	0.00	335 of 573 - Sale <sup>25</sup>
	0.485	22.25	12/08/20	29.14	6.89 W	0.00	336 of 573 - Sale <sup>25</sup>
	0.515	23.58	12/08/20	30.83	7.25 W	0.00	337 of 573 - Sale <sup>25</sup>
	0.729	33.43	12/08/20	44.25	10.82 W	0.00	338 of 573 - Sale <sup>25</sup>
	1.000	45.83	12/08/20	60.01	14.18 W	0.00	339 of 573 - Sale <sup>25</sup>
	2.698	123.64	12/08/20	169.31	45.67 W	0.00	340 of 573 - Sale <sup>25</sup>
	4.302	197.16	12/08/20	269.96	72.80 W	0.00	341 of 573 - Sale <sup>25</sup>
	8.000	366.63	12/08/20	502.02	135.39 W	0.00	342 of 573 - Sale <sup>25</sup>
	13.271	608.17	12/08/20	816.06	207.89 W	0.00	343 of 573 - Sale <sup>25</sup>
	0.111	5.09	12/08/20	6.65	1.56 W	0.00	344 of 573 - Sale <sup>25</sup>
	0.973	44.61	12/08/20	60.13	15.52 W	0.00	345 of 573 - Sale <sup>25</sup>
	1.000	45.83	12/08/20	61.80	15.97 W	0.00	346 of 573 - Sale <sup>25</sup>
	1.027	47.05	12/08/20	63.44	16.39 W	0.00	347 of 573 - Sale <sup>25</sup>
	1.485	68.08	12/08/20	88.41	20.33 W	0.00	348 of 573 - Sale <sup>25</sup>
	1.889	86.57	12/08/20	112.49	25.92 W	0.00	349 of 573 - Sale <sup>25</sup>
	3.000	137.49	12/08/20	179.80	42.31 W	0.00	350 of 573 - Sale <sup>25</sup>
	6.000	274.97	12/08/20	364.15	89.18 W	0.00	351 of 573 - Sale <sup>25</sup>
	17.000	779.09	12/08/20	1,012.53	233.44 W	0.00	352 of 573 - Sale <sup>25</sup>
	0.027	1.22	12/08/20	1.63	0.41 W	0.00	353 of 573 - Sale <sup>25</sup>
	0.973	44.61	12/08/20	58.00	13.39 W	0.00	354 of 573 - Sale <sup>25</sup>
	1.485	68.08	12/08/20	90.35	22.27 W	0.00	355 of 573 - Sale <sup>25</sup>
	1.698	77.82	12/08/20	103.64	25.82 W	0.00	356 of 573 - Sale <sup>25</sup>
	3.000	137.49	12/08/20	183.15	45.66 W	0.00	357 of 573 - Sale <sup>25</sup>
	5.515	252.72	12/08/20	327.96	75.24 W	0.00	358 of 573 - Sale <sup>25</sup>
	7.302	334.64	12/08/20	445.75	111.11 W	0.00	359 of 573 - Sale <sup>25</sup>
	0.031	1.44	12/08/20	1.79	0.35 W	0.00	360 of 573 - Sale <sup>25</sup>
	0.106	4.87	12/08/20	6.38	1.51 W	0.00	361 of 573 - Sale <sup>25</sup>
	0.242	11.07	12/08/20	14.58	3.51 W	0.00	362 of 573 - Sale <sup>25</sup>
	0.244	11.18	12/08/20	14.73	3.55 W	0.00	363 of 573 - Sale <sup>25</sup>
	0.271	12.40	12/08/20	16.66	4.26 W	0.00	364 of 573 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.454	20.81	12/08/20	27.31	6.50 W	0.00	365 of 573 - Sale <sup>25</sup>
	0.485	22.25	12/08/20	29.20	6.95 W	0.00	366 of 573 - Sale <sup>25</sup>
	0.867	39.74	12/08/20	53.13	13.39 W	0.00	367 of 573 - Sale <sup>25</sup>
	1.005	46.05	12/08/20	61.77	15.72 W	0.00	368 of 573 - Sale <sup>25</sup>
	1.111	50.92	12/08/20	68.12	17.20 W	0.00	369 of 573 - Sale <sup>25</sup>
	1.449	66.42	12/08/20	88.92	22.50 W	0.00	370 of 573 - Sale <sup>25</sup>
	1.546	70.85	12/08/20	92.93	22.08 W	0.00	371 of 573 - Sale <sup>25</sup>
	1.756	80.48	12/08/20	107.88	27.40 W	0.00	372 of 573 - Sale <sup>25</sup>
	1.756	80.48	12/08/20	105.99	25.51 W	0.00	373 of 573 - Sale <sup>25</sup>
	2.000	91.66	12/08/20	123.15	31.49 W	0.00	374 of 573 - Sale <sup>25</sup>
	2.244	102.84	12/08/20	136.49	33.65 W	0.00	375 of 573 - Sale <sup>25</sup>
	2.382	109.15	12/08/20	144.02	34.87 W	0.00	376 of 573 - Sale <sup>25</sup>
	2.408	110.37	12/08/20	145.37	35.00 W	0.00	377 of 573 - Sale <sup>25</sup>
	2.485	113.90	12/08/20	150.44	36.54 W	0.00	378 of 573 - Sale <sup>25</sup>
	2.623	120.22	12/08/20	159.76	39.54 W	0.00	379 of 573 - Sale <sup>25</sup>
	2.862	131.18	12/08/20	175.75	44.57 W	0.00	380 of 573 - Sale <sup>25</sup>
	3.133	143.58	12/08/20	192.17	48.59 W	0.00	381 of 573 - Sale <sup>25</sup>
	3.862	177.00	12/08/20	233.14	56.14 W	0.00	382 of 573 - Sale <sup>25</sup>
	4.133	189.40	12/08/20	253.31	63.91 W	0.00	383 of 573 - Sale <sup>25</sup>
	4.271	195.71	12/08/20	260.08	64.37 W	0.00	384 of 573 - Sale <sup>25</sup>
	4.377	200.58	12/08/20	266.58	66.00 W	0.00	385 of 573 - Sale <sup>25</sup>
	4.515	206.89	12/08/20	270.46	63.57 W	0.00	386 of 573 - Sale <sup>25</sup>
	4.684	214.64	12/08/20	287.32	72.68 W	0.00	387 of 573 - Sale <sup>25</sup>
	4.729	216.74	12/08/20	290.14	73.40 W	0.00	388 of 573 - Sale <sup>25</sup>
	8.862	406.14	12/08/20	532.69	126.55 W	0.00	389 of 573 - Sale <sup>25</sup>
	9.106	417.32	12/08/20	549.67	132.35 W	0.00	390 of 573 - Sale <sup>25</sup>
	0.969	44.96	12/08/20	55.03	10.07 W	0.00	391 of 573 - Sale <sup>25</sup>
	2.515	116.72	12/08/20	161.28	44.56 W	0.00	392 of 573 - Sale <sup>25</sup>
	3.000	139.26	12/08/20	178.31	39.05 W	0.00	393 of 573 - Sale <sup>25</sup>
	7.592	352.40	12/08/20	486.92	134.52 W	0.00	394 of 573 - Sale <sup>25</sup>
	0.106	4.93	12/08/20	6.35	1.42 W	0.00	395 of 573 - Sale <sup>25</sup>
	0.650	30.16	12/08/20	40.60	10.44 W	0.00	396 of 573 - Sale <sup>25</sup>
	1.138	52.81	12/08/20	70.80	17.99 W	0.00	397 of 573 - Sale <sup>25</sup>
	3.000	139.26	12/08/20	179.86	40.60 W	0.00	398 of 573 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	3.031	140.71	12/08/20	181.76	41.05 W	0.00	399 of 573 - Sale <sup>25</sup>
	1.000	46.42	12/08/20	62.88	16.46 W	0.00	400 of 573 - Sale <sup>25</sup>
	2.106	97.29	12/08/20	132.44	35.15 W	0.00	401 of 573 - Sale <sup>25</sup>
	5.000	230.96	12/08/20	314.42	83.46 W	0.00	402 of 573 - Sale <sup>25</sup>
	9.894	457.00	12/08/20	631.21	174.21 W	0.00	403 of 573 - Sale <sup>25</sup>
	0.758	35.03	12/08/20	47.35	12.32 W	0.00	404 of 573 - Sale <sup>25</sup>
	0.792	36.60	12/08/20	49.26	12.66 W	0.00	405 of 573 - Sale <sup>25</sup>
	0.894	41.28	12/08/20	55.58	14.30 W	0.00	406 of 573 - Sale <sup>25</sup>
	1.000	46.19	12/08/20	62.14	15.95 W	0.00	407 of 573 - Sale <sup>25</sup>
	1.000	46.19	12/08/20	62.15	15.96 W	0.00	408 of 573 - Sale <sup>25</sup>
	1.000	46.19	12/08/20	62.33	16.14 W	0.00	409 of 573 - Sale <sup>25</sup>
	2.000	92.38	12/08/20	124.39	32.01 W	0.00	410 of 573 - Sale <sup>25</sup>
	2.000	92.38	12/08/20	124.29	31.91 W	0.00	411 of 573 - Sale <sup>25</sup>
	2.000	92.38	12/08/20	124.28	31.90 W	0.00	412 of 573 - Sale <sup>25</sup>
	3.000	138.57	12/08/20	189.25	50.68 W	0.00	413 of 573 - Sale <sup>25</sup>
	4.000	184.76	12/08/20	247.99	63.23 W	0.00	414 of 573 - Sale <sup>25</sup>
	4.449	205.52	12/08/20	277.72	72.20 W	0.00	415 of 573 - Sale <sup>25</sup>
	5.106	235.86	12/08/20	317.61	81.75 W	0.00	416 of 573 - Sale <sup>25</sup>
	8.000	369.53	12/08/20	496.02	126.49 W	0.00	417 of 573 - Sale <sup>25</sup>
	13.000	600.48	12/08/20	805.75	205.27 W	0.00	418 of 573 - Sale <sup>25</sup>
	0.205	9.48	12/08/20	12.10	2.62 W	0.00	419 of 573 - Sale <sup>25</sup>
	0.483	22.31	12/08/20	28.41	6.10 W	0.00	420 of 573 - Sale <sup>25</sup>
	3.795	175.28	12/08/20	223.48	48.20 W	0.00	421 of 573 - Sale <sup>25</sup>
	6.517	301.02	12/08/20	359.95	58.93 W	0.00	422 of 573 - Sale <sup>25</sup>
	0.932	43.07	12/08/20	51.44	8.37 W	0.00	423 of 573 - Sale <sup>25</sup>
	1.000	46.19	12/08/20	55.18	8.99 W	0.00	424 of 573 - Sale <sup>25</sup>
	1.000	46.19	12/08/20	55.18	8.99 W	0.00	425 of 573 - Sale <sup>25</sup>
	1.244	57.46	12/08/20	68.59	11.13 W	0.00	426 of 573 - Sale <sup>25</sup>
	2.205	101.87	12/08/20	121.69	19.82 W	0.00	427 of 573 - Sale <sup>25</sup>
	4.862	224.60	12/08/20	268.32	43.72 W	0.00	428 of 573 - Sale <sup>25</sup>
	1.000	46.19	12/08/20	53.94	7.75 W	0.00	429 of 573 - Sale <sup>25</sup>
	3.000	138.57	12/08/20	162.51	23.94 W	0.00	430 of 573 - Sale <sup>25</sup>
	3.000	138.57	12/08/20	162.53	23.96 W	0.00	431 of 573 - Sale <sup>25</sup>
	3.000	138.57	12/08/20	165.22	26.65 W	0.00	432 of 573 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	4.000	184.76	12/08/20	220.27	35.51 W	0.00	433 of 573 - Sale <sup>25</sup>
	4.000	184.76	12/08/20	219.69	34.93 W	0.00	434 of 573 - Sale <sup>25</sup>
	5.756	265.88	12/08/20	310.94	45.06 W	0.00	435 of 573 - Sale <sup>25</sup>
	6.000	277.15	12/08/20	330.45	53.30 W	0.00	436 of 573 - Sale <sup>25</sup>
	1.000	46.19	12/08/20	48.41	2.22 W	0.00	437 of 573 - Sale <sup>25</sup>
	2.000	92.38	12/08/20	96.80	4.42 W	0.00	438 of 573 - Sale <sup>25</sup>
	5.000	230.96	12/08/20	242.50	11.54 W	0.00	439 of 573 - Sale <sup>25</sup>
	6.000	277.15	12/08/20	289.82	12.67 W	0.00	440 of 573 - Sale <sup>25</sup>
	8.000	369.53	12/08/20	387.23	17.70 W	0.00	441 of 573 - Sale <sup>25</sup>
	37.000	1,709.07	12/08/20	1,794.48	85.41 W	0.00	442 of 573 - Sale <sup>25</sup>
	1.000	46.19	12/08/20	48.95	2.76 W	0.00	443 of 573 - Sale <sup>25</sup>
	1.000	46.19	12/08/20	55.81	9.62 W	0.00	444 of 573 - Sale <sup>25</sup>
	1.000	46.19	12/08/20	55.75	9.56 W	0.00	445 of 573 - Sale <sup>25</sup>
	6.000	277.15	12/08/20	291.02	13.87 W	0.00	446 of 573 - Sale <sup>25</sup>
	10.000	461.91	12/08/20	489.53	27.62 W	0.00	447 of 573 - Sale <sup>25</sup>
	17.000	785.25	12/08/20	820.47	35.22 W	0.00	448 of 573 - Sale <sup>25</sup>
	25.000	1,154.78	12/08/20	1,222.85	68.07 W	0.00	449 of 573 - Sale <sup>25</sup>
	34.000	1,570.50	12/08/20	1,642.64	72.14 W	0.00	450 of 573 - Sale <sup>25</sup>
	8.000	369.53	12/08/20	433.74	64.21 W	0.00	451 of 573 - Sale <sup>25</sup>
	21.000	970.01	12/08/20	1,138.36	168.35 W	0.00	452 of 573 - Sale <sup>25</sup>
	1.000	46.19	12/08/20	47.55	1.36 W	0.00	453 of 573 - Sale <sup>25</sup>
	6.000	277.15	12/08/20	285.63	8.48 W	0.00	454 of 573 - Sale <sup>25</sup>
	13.000	600.48	12/08/20	617.56	17.08 W	0.00	455 of 573 - Sale <sup>25</sup>
	1.000	46.19	12/08/20	48.17	1.98 W	0.00	456 of 573 - Sale <sup>25</sup>
	3.000	138.57	12/08/20	144.37	5.80 W	0.00	457 of 573 - Sale <sup>25</sup>
	6.000	277.15	12/08/20	289.28	12.13 W	0.00	458 of 573 - Sale <sup>25</sup>
	6.000	277.15	12/08/20	289.04	11.89 W	0.00	459 of 573 - Sale <sup>25</sup>
	16.000	739.06	12/08/20	772.21	33.15 W	0.00	460 of 573 - Sale <sup>25</sup>
	19.000	877.63	12/08/20	915.29	37.66 W	0.00	461 of 573 - Sale <sup>25</sup>
	0.242	11.16	12/08/20	14.15	2.99 W	0.00	462 of 573 - Sale <sup>25</sup>
	0.138	6.36	12/08/20	8.19	1.83 W	0.00	463 of 573 - Sale <sup>25</sup>
	0.379	17.52	12/08/20	22.48	4.96 W	0.00	464 of 573 - Sale <sup>25</sup>
	3.242	149.73	12/08/20	200.25	50.52 W	0.00	465 of 573 - Sale <sup>25</sup>
	0.316	14.61	12/08/20	21.61	7.00 W	0.00	466 of 573 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.138	52.55	12/08/20	77.66	25.11 W	0.00	467 of 573 - Sale <sup>25</sup>
	3.169	146.38	12/08/20	213.48	67.10 W	0.00	468 of 573 - Sale <sup>25</sup>
	5.831	269.33	12/08/20	392.84	123.51 W	0.00	469 of 573 - Sale <sup>25</sup>
	7.000	323.34	12/08/20	446.68	123.34 W	0.00	470 of 573 - Sale <sup>25</sup>
	0.077	3.57	12/08/20	4.82	1.25 W	0.00	471 of 573 - Sale <sup>25</sup>
	0.092	4.25	12/08/20	5.70	1.45 W	0.00	472 of 573 - Sale <sup>25</sup>
	0.454	20.97	12/08/20	28.20	7.23 W	0.00	473 of 573 - Sale <sup>25</sup>
	0.546	25.22	12/08/20	34.02	8.80 W	0.00	474 of 573 - Sale <sup>25</sup>
	1.046	48.30	12/08/20	64.83	16.53 W	0.00	475 of 573 - Sale <sup>25</sup>
	1.316	60.80	12/08/20	81.43	20.63 W	0.00	476 of 573 - Sale <sup>25</sup>
	1.408	65.05	12/08/20	87.11	22.06 W	0.00	477 of 573 - Sale <sup>25</sup>
	2.000	92.38	12/08/20	124.13	31.75 W	0.00	478 of 573 - Sale <sup>25</sup>
	2.000	92.38	12/08/20	124.14	31.76 W	0.00	479 of 573 - Sale <sup>25</sup>
	2.000	92.38	12/08/20	123.70	31.32 W	0.00	480 of 573 - Sale <sup>25</sup>
	3.377	155.98	12/08/20	211.01	55.03 W	0.00	481 of 573 - Sale <sup>25</sup>
	3.454	159.55	12/08/20	214.39	54.84 W	0.00	482 of 573 - Sale <sup>25</sup>
	6.546	302.36	12/08/20	413.60	111.24 W	0.00	483 of 573 - Sale <sup>25</sup>
	11.230	518.71	12/08/20	694.97	176.26 W	0.00	484 of 573 - Sale <sup>25</sup>
	2.000	92.38	12/08/20	94.69	2.31 W	0.00	485 of 573 - Sale <sup>25</sup>
	6.000	277.15	12/08/20	284.11	6.96 W	0.00	486 of 573 - Sale <sup>25</sup>
	13.000	600.48	12/08/20	615.57	15.09 W	0.00	487 of 573 - Sale <sup>25</sup>
	1.000	46.19	12/08/20	48.50	2.31 W	0.00	488 of 573 - Sale <sup>25</sup>
	6.000	277.15	12/08/20	292.04	14.89 W	0.00	489 of 573 - Sale <sup>25</sup>
	7.000	323.34	12/08/20	336.51	13.17 W	0.00	490 of 573 - Sale <sup>25</sup>
	8.000	369.53	12/08/20	384.58	15.05 W	0.00	491 of 573 - Sale <sup>25</sup>
	1.092	50.44	12/08/20	68.72	18.28 W	0.00	492 of 573 - Sale <sup>25</sup>
	4.077	188.33	12/08/20	256.60	68.27 W	0.00	493 of 573 - Sale <sup>25</sup>
	4.831	223.14	12/08/20	304.06	80.92 W	0.00	494 of 573 - Sale <sup>25</sup>
	5.000	230.96	12/08/20	314.72	83.76 W	0.00	495 of 573 - Sale <sup>25</sup>
	0.063	2.90	12/08/20	4.11	1.21 W	0.00	496 of 573 - Sale <sup>25</sup>
	0.092	4.25	12/08/20	6.09	1.84 W	0.00	497 of 573 - Sale <sup>25</sup>
	0.454	20.97	12/08/20	29.30	8.33 W	0.00	498 of 573 - Sale <sup>25</sup>
	0.454	20.97	12/08/20	29.29	8.32 W	0.00	499 of 573 - Sale <sup>25</sup>
	0.546	25.22	12/08/20	36.00	10.78 W	0.00	500 of 573 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.766	35.36	12/08/20	49.72	14.36 W	0.00	501 of 573 - Sale <sup>25</sup>
	1.000	46.19	12/08/20	64.52	18.33 W	0.00	502 of 573 - Sale <sup>25</sup>
	1.000	46.19	12/08/20	64.77	18.58 W	0.00	503 of 573 - Sale <sup>25</sup>
	1.174	54.22	12/08/20	76.75	22.53 W	0.00	504 of 573 - Sale <sup>25</sup>
	2.234	103.21	12/08/20	145.17	41.96 W	0.00	505 of 573 - Sale <sup>25</sup>
	3.454	159.55	12/08/20	227.86	68.31 W	0.00	506 of 573 - Sale <sup>25</sup>
	3.729	172.27	12/08/20	242.30	70.03 W	0.00	507 of 573 - Sale <sup>25</sup>
	3.908	180.52	12/08/20	248.51	67.99 W	0.00	508 of 573 - Sale <sup>25</sup>
	4.000	184.76	12/08/20	259.03	74.27 W	0.00	509 of 573 - Sale <sup>25</sup>
	4.688	216.56	12/08/20	306.54	89.98 W	0.00	510 of 573 - Sale <sup>25</sup>
	5.000	230.96	12/08/20	325.31	94.35 W	0.00	511 of 573 - Sale <sup>25</sup>
	5.249	242.44	12/08/20	342.70	100.26 W	0.00	512 of 573 - Sale <sup>25</sup>
	5.817	268.67	12/08/20	378.57	109.90 W	0.00	513 of 573 - Sale <sup>25</sup>
	6.000	277.15	12/08/20	387.79	110.64 W	0.00	514 of 573 - Sale <sup>25</sup>
	6.000	277.15	12/08/20	391.28	114.13 W	0.00	515 of 573 - Sale <sup>25</sup>
	7.372	340.52	12/08/20	481.47	140.95 W	0.00	516 of 573 - Sale <sup>25</sup>
	1.000	46.19	12/08/20	47.94	1.75 W	0.00	517 of 573 - Sale <sup>25</sup>
	1.000	46.19	12/08/20	47.89	1.70 W	0.00	518 of 573 - Sale <sup>25</sup>
	20.000	923.82	12/08/20	946.04	22.22 W	0.00	519 of 573 - Sale <sup>25</sup>
	0.435	20.09	12/08/20	28.82	8.73 W	0.00	520 of 573 - Sale <sup>25</sup>
	0.454	20.97	12/08/20	29.99	9.02 W	0.00	521 of 573 - Sale <sup>25</sup>
	0.546	25.22	12/08/20	36.07	10.85 W	0.00	522 of 573 - Sale <sup>25</sup>
	0.995	45.97	12/08/20	65.74	19.77 W	0.00	523 of 573 - Sale <sup>25</sup>
	1.000	46.19	12/08/20	64.67	18.48 W	0.00	524 of 573 - Sale <sup>25</sup>
	1.000	46.19	12/08/20	64.66	18.47 W	0.00	525 of 573 - Sale <sup>25</sup>
	1.000	46.19	12/08/20	66.20	20.01 W	0.00	526 of 573 - Sale <sup>25</sup>
	1.000	46.19	12/08/20	66.11	19.92 W	0.00	527 of 573 - Sale <sup>25</sup>
	3.297	152.30	12/08/20	217.44	65.14 W	0.00	528 of 573 - Sale <sup>25</sup>
	4.111	189.89	12/08/20	271.50	81.61 W	0.00	529 of 573 - Sale <sup>25</sup>
	5.000	230.96	12/08/20	323.33	92.37 W	0.00	530 of 573 - Sale <sup>25</sup>
	6.459	298.34	12/08/20	417.63	119.29 W	0.00	531 of 573 - Sale <sup>25</sup>
	9.703	448.18	12/08/20	639.92	191.74 W	0.00	532 of 573 - Sale <sup>25</sup>
	0.111	5.13	12/08/20	7.23	2.10 W	0.00	533 of 573 - Sale <sup>25</sup>
	0.688	31.80	12/08/20	44.83	13.03 W	0.00	534 of 573 - Sale <sup>25</sup>

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(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.720	33.25	12/08/20	46.84	13.59 W	0.00	535 of 573 - Sale <sup>25</sup>
	1.454	67.16	12/08/20	95.69	28.53 W	0.00	536 of 573 - Sale <sup>25</sup>
	2.000	92.38	12/08/20	121.75	29.37 W	0.00	537 of 573 - Sale <sup>25</sup>
	2.000	92.38	12/08/20	121.60	29.22 W	0.00	538 of 573 - Sale <sup>25</sup>
	2.111	97.51	12/08/20	138.81	41.30 W	0.00	539 of 573 - Sale <sup>25</sup>
	2.111	97.51	12/08/20	128.49	30.98 W	0.00	540 of 573 - Sale <sup>25</sup>
	3.111	143.70	12/08/20	191.20	47.50 W	0.00	541 of 573 - Sale <sup>25</sup>
	3.604	166.48	12/08/20	234.73	68.25 W	0.00	542 of 573 - Sale <sup>25</sup>
	3.831	176.95	12/08/20	230.71	53.76 W	0.00	543 of 573 - Sale <sup>25</sup>
	4.000	184.77	12/08/20	263.52	78.75 W	0.00	544 of 573 - Sale <sup>25</sup>
	4.908	226.71	12/08/20	319.69	92.98 W	0.00	545 of 573 - Sale <sup>25</sup>
	5.138	237.32	12/08/20	334.62	97.30 W	0.00	546 of 573 - Sale <sup>25</sup>
	5.889	272.02	12/08/20	359.03	87.01 W	0.00	547 of 573 - Sale <sup>25</sup>
	15.435	712.96	12/08/20	940.29	227.33 W	0.00	548 of 573 - Sale <sup>25</sup>
	0.302	13.95	12/08/20	19.00	5.05 W	0.00	549 of 573 - Sale <sup>25</sup>
	0.729	33.69	12/08/20	45.81	12.12 W	0.00	550 of 573 - Sale <sup>25</sup>
	1.000	46.19	12/08/20	62.03	15.84 W	0.00	551 of 573 - Sale <sup>25</sup>
	1.000	46.19	12/08/20	62.92	16.73 W	0.00	552 of 573 - Sale <sup>25</sup>
	1.000	46.19	12/08/20	63.15	16.96 W	0.00	553 of 573 - Sale <sup>25</sup>
	1.000	46.19	12/08/20	62.79	16.60 W	0.00	554 of 573 - Sale <sup>25</sup>
	1.889	87.25	12/08/20	117.15	29.90 W	0.00	555 of 573 - Sale <sup>25</sup>
	3.000	138.57	12/08/20	189.43	50.86 W	0.00	556 of 573 - Sale <sup>25</sup>
	3.698	170.81	12/08/20	232.57	61.76 W	0.00	557 of 573 - Sale <sup>25</sup>
	5.000	230.96	12/08/20	312.89	81.93 W	0.00	558 of 573 - Sale <sup>25</sup>
	5.271	243.46	12/08/20	330.97	87.51 W	0.00	559 of 573 - Sale <sup>25</sup>
	1.000	46.19	12/08/20	63.04	16.85 W	0.00	560 of 573 - Sale <sup>25</sup>
	2.517	116.26	12/08/20	158.88	42.62 W	0.00	561 of 573 - Sale <sup>25</sup>
	3.483	160.89	12/08/20	218.44	57.55 W	0.00	562 of 573 - Sale <sup>25</sup>
	6.000	277.15	12/08/20	376.60	99.45 W	0.00	563 of 573 - Sale <sup>25</sup>
	6.000	277.15	12/08/20	376.24	99.09 W	0.00	564 of 573 - Sale <sup>25</sup>
	0.345	15.95	12/08/20	21.77	5.82 W	0.00	565 of 573 - Sale <sup>25</sup>
	0.485	22.42	12/08/20	30.65	8.23 W	0.00	566 of 573 - Sale <sup>25</sup>
	0.655	30.24	12/08/20	41.32	11.08 W	0.00	567 of 573 - Sale <sup>25</sup>
	1.000	46.19	12/08/20	63.11	16.92 W	0.00	568 of 573 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.515	69.96	12/08/20	95.60	25.64 W	0.00	569 of 573 - Sale <sup>25</sup>
	3.000	138.58	12/08/20	189.33	50.75 W	0.00	570 of 573 - Sale <sup>25</sup>
	7.000	323.34	12/08/20	441.77	118.43 W	0.00	571 of 573 - Sale <sup>25</sup>
	1.758	81.23	12/08/20	110.90	29.67 W	0.00	572 of 573 - Sale <sup>25</sup>
	2.242	103.54	12/08/20	141.37	37.83 W	0.00	573 of 573 - Sale <sup>25</sup>
12/08/20	2,214.000	102,144.40	Various	127,637.50	25,493.10 W	0.00	Total of 573 transactions
127 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	1.000	45.33	12/08/20	62.72	17.39 W	0.00	1 of 127 - Sale <sup>25</sup>
	1.515	68.66	12/08/20	95.00	26.34 W	0.00	2 of 127 - Sale <sup>25</sup>
	3.000	136.00	12/08/20	188.16	52.16 W	0.00	3 of 127 - Sale <sup>25</sup>
	0.485	22.01	12/08/20	29.20	7.19 W	0.00	4 of 127 - Sale <sup>25</sup>
	0.515	23.33	12/08/20	30.89	7.56 W	0.00	5 of 127 - Sale <sup>25</sup>
	0.729	33.07	12/08/20	44.34	11.27 W	0.00	6 of 127 - Sale <sup>25</sup>
	1.000	45.33	12/08/20	60.13	14.80 W	0.00	7 of 127 - Sale <sup>25</sup>
	2.698	122.31	12/08/20	169.64	47.33 W	0.00	8 of 127 - Sale <sup>25</sup>
	4.302	195.03	12/08/20	270.48	75.45 W	0.00	9 of 127 - Sale <sup>25</sup>
	8.000	362.67	12/08/20	502.99	140.32 W	0.00	10 of 127 - Sale <sup>25</sup>
	13.271	601.61	12/08/20	817.67	216.06 W	0.00	11 of 127 - Sale <sup>25</sup>
	0.027	1.21	12/08/20	1.64	0.43 W	0.00	12 of 127 - Sale <sup>25</sup>
	0.111	5.03	12/08/20	6.66	1.63 W	0.00	13 of 127 - Sale <sup>25</sup>
	0.973	44.13	12/08/20	60.25	16.12 W	0.00	14 of 127 - Sale <sup>25</sup>
	0.973	44.13	12/08/20	58.12	13.99 W	0.00	15 of 127 - Sale <sup>25</sup>
	1.000	45.33	12/08/20	61.92	16.59 W	0.00	16 of 127 - Sale <sup>25</sup>
	1.000	45.33	12/08/20	60.95	15.62 W	0.00	17 of 127 - Sale <sup>25</sup>
	1.027	46.54	12/08/20	63.56	17.02 W	0.00	18 of 127 - Sale <sup>25</sup>
	1.485	67.34	12/08/20	88.59	21.25 W	0.00	19 of 127 - Sale <sup>25</sup>
	1.485	67.34	12/08/20	90.53	23.19 W	0.00	20 of 127 - Sale <sup>25</sup>
	1.698	76.98	12/08/20	103.84	26.86 W	0.00	21 of 127 - Sale <sup>25</sup>
	1.889	85.63	12/08/20	112.72	27.09 W	0.00	22 of 127 - Sale <sup>25</sup>
	3.000	136.00	12/08/20	180.16	44.16 W	0.00	23 of 127 - Sale <sup>25</sup>
	3.000	136.00	12/08/20	183.51	47.51 W	0.00	24 of 127 - Sale <sup>25</sup>
	5.515	249.99	12/08/20	328.63	78.64 W	0.00	25 of 127 - Sale <sup>25</sup>
	6.000	272.00	12/08/20	364.88	92.88 W	0.00	26 of 127 - Sale <sup>25</sup>
	7.302	331.03	12/08/20	446.63	115.60 W	0.00	27 of 127 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
17.000		770.68	12/08/20	1,014.59	243.91 W	0.00	28 of 127 - Sale <sup>25</sup>
0.031		1.43	12/08/20	1.79	0.36 W	0.00	29 of 127 - Sale <sup>25</sup>
0.106		4.82	12/08/20	6.39	1.57 W	0.00	30 of 127 - Sale <sup>25</sup>
0.242		10.95	12/08/20	14.60	3.65 W	0.00	31 of 127 - Sale <sup>25</sup>
0.244		11.06	12/08/20	14.75	3.69 W	0.00	32 of 127 - Sale <sup>25</sup>
0.271		12.27	12/08/20	16.68	4.41 W	0.00	33 of 127 - Sale <sup>25</sup>
0.454		20.58	12/08/20	27.34	6.76 W	0.00	34 of 127 - Sale <sup>25</sup>
0.485		22.01	12/08/20	29.23	7.22 W	0.00	35 of 127 - Sale <sup>25</sup>
0.867		39.31	12/08/20	53.19	13.88 W	0.00	36 of 127 - Sale <sup>25</sup>
0.969		43.91	12/08/20	54.53	10.62 W	0.00	37 of 127 - Sale <sup>25</sup>
1.005		45.55	12/08/20	61.84	16.29 W	0.00	38 of 127 - Sale <sup>25</sup>
1.111		50.37	12/08/20	68.20	17.83 W	0.00	39 of 127 - Sale <sup>25</sup>
1.244		56.39	12/08/20	75.75	19.36 W	0.00	40 of 127 - Sale <sup>25</sup>
1.449		65.70	12/08/20	89.02	23.32 W	0.00	41 of 127 - Sale <sup>25</sup>
1.546		70.08	12/08/20	93.04	22.96 W	0.00	42 of 127 - Sale <sup>25</sup>
1.756		79.61	12/08/20	108.00	28.39 W	0.00	43 of 127 - Sale <sup>25</sup>
1.756		79.61	12/08/20	106.11	26.50 W	0.00	44 of 127 - Sale <sup>25</sup>
2.000		90.67	12/08/20	123.29	32.62 W	0.00	45 of 127 - Sale <sup>25</sup>
2.382		107.97	12/08/20	144.19	36.22 W	0.00	46 of 127 - Sale <sup>25</sup>
2.408		109.18	12/08/20	145.54	36.36 W	0.00	47 of 127 - Sale <sup>25</sup>
2.485		112.68	12/08/20	150.62	37.94 W	0.00	48 of 127 - Sale <sup>25</sup>
2.515		113.99	12/08/20	159.97	45.98 W	0.00	49 of 127 - Sale <sup>25</sup>
2.623		118.92	12/08/20	159.94	41.02 W	0.00	50 of 127 - Sale <sup>25</sup>
2.862		129.76	12/08/20	175.95	46.19 W	0.00	51 of 127 - Sale <sup>25</sup>
3.000		136.00	12/08/20	176.75	40.75 W	0.00	52 of 127 - Sale <sup>25</sup>
3.133		142.03	12/08/20	192.39	50.36 W	0.00	53 of 127 - Sale <sup>25</sup>
3.862		175.09	12/08/20	233.42	58.33 W	0.00	54 of 127 - Sale <sup>25</sup>
4.133		187.36	12/08/20	253.61	66.25 W	0.00	55 of 127 - Sale <sup>25</sup>
4.271		193.60	12/08/20	260.39	66.79 W	0.00	56 of 127 - Sale <sup>25</sup>
4.377		198.42	12/08/20	266.90	68.48 W	0.00	57 of 127 - Sale <sup>25</sup>
4.515		204.66	12/08/20	270.79	66.13 W	0.00	58 of 127 - Sale <sup>25</sup>
4.684		212.33	12/08/20	287.66	75.33 W	0.00	59 of 127 - Sale <sup>25</sup>
4.729		214.40	12/08/20	290.48	76.08 W	0.00	60 of 127 - Sale <sup>25</sup>
7.592		344.16	12/08/20	482.98	138.82 W	0.00	61 of 127 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	8.862	401.76	12/08/20	533.33	131.57 W	0.00	62 of 127 - Sale <sup>25</sup>
	9.106	412.82	12/08/20	550.33	137.51 W	0.00	63 of 127 - Sale <sup>25</sup>
	0.106	4.82	12/08/20	6.30	1.48 W	0.00	64 of 127 - Sale <sup>25</sup>
	0.788	35.70	12/08/20	48.59	12.89 W	0.00	65 of 127 - Sale <sup>25</sup>
	3.000	136.00	12/08/20	178.30	42.30 W	0.00	66 of 127 - Sale <sup>25</sup>
	3.031	137.43	12/08/20	180.19	42.76 W	0.00	67 of 127 - Sale <sup>25</sup>
	0.350	15.88	12/08/20	21.68	5.80 W	0.00	68 of 127 - Sale <sup>25</sup>
	0.650	29.46	12/08/20	40.40	10.94 W	0.00	69 of 127 - Sale <sup>25</sup>
	0.894	40.52	12/08/20	56.94	16.42 W	0.00	70 of 127 - Sale <sup>25</sup>
	1.000	45.33	12/08/20	62.56	17.23 W	0.00	71 of 127 - Sale <sup>25</sup>
	2.106	95.48	12/08/20	132.25	36.77 W	0.00	72 of 127 - Sale <sup>25</sup>
	5.000	226.67	12/08/20	313.96	87.29 W	0.00	73 of 127 - Sale <sup>25</sup>
	9.000	408.00	12/08/20	572.92	164.92 W	0.00	74 of 127 - Sale <sup>25</sup>
	0.758	34.38	12/08/20	47.25	12.87 W	0.00	75 of 127 - Sale <sup>25</sup>
	0.792	35.92	12/08/20	49.14	13.22 W	0.00	76 of 127 - Sale <sup>25</sup>
	0.894	40.52	12/08/20	55.46	14.94 W	0.00	77 of 127 - Sale <sup>25</sup>
	1.000	45.33	12/08/20	62.00	16.67 W	0.00	78 of 127 - Sale <sup>25</sup>
	1.000	45.33	12/08/20	62.01	16.68 W	0.00	79 of 127 - Sale <sup>25</sup>
	1.000	45.33	12/08/20	62.19	16.86 W	0.00	80 of 127 - Sale <sup>25</sup>
	2.000	90.67	12/08/20	124.11	33.44 W	0.00	81 of 127 - Sale <sup>25</sup>
	2.000	90.67	12/08/20	124.01	33.34 W	0.00	82 of 127 - Sale <sup>25</sup>
	2.000	90.67	12/08/20	124.00	33.33 W	0.00	83 of 127 - Sale <sup>25</sup>
	3.000	136.00	12/08/20	188.83	52.83 W	0.00	84 of 127 - Sale <sup>25</sup>
	4.000	181.34	12/08/20	247.43	66.09 W	0.00	85 of 127 - Sale <sup>25</sup>
	4.449	201.70	12/08/20	277.09	75.39 W	0.00	86 of 127 - Sale <sup>25</sup>
	5.106	231.49	12/08/20	316.89	85.40 W	0.00	87 of 127 - Sale <sup>25</sup>
	8.000	362.67	12/08/20	494.89	132.22 W	0.00	88 of 127 - Sale <sup>25</sup>
	13.000	589.34	12/08/20	803.92	214.58 W	0.00	89 of 127 - Sale <sup>25</sup>
	0.205	9.31	12/08/20	12.08	2.77 W	0.00	90 of 127 - Sale <sup>25</sup>
	0.483	21.90	12/08/20	28.35	6.45 W	0.00	91 of 127 - Sale <sup>25</sup>
	3.795	172.03	12/08/20	222.94	50.91 W	0.00	92 of 127 - Sale <sup>25</sup>
	0.932	42.27	12/08/20	51.30	9.03 W	0.00	93 of 127 - Sale <sup>25</sup>
	1.000	45.33	12/08/20	55.04	9.71 W	0.00	94 of 127 - Sale <sup>25</sup>
	1.000	45.33	12/08/20	55.04	9.71 W	0.00	95 of 127 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.244	56.39	12/08/20	68.41	12.02 W	0.00	96 of 127 - Sale <sup>25</sup>
	2.205	99.98	12/08/20	121.38	21.40 W	0.00	97 of 127 - Sale <sup>25</sup>
	4.862	220.43	12/08/20	267.63	47.20 W	0.00	98 of 127 - Sale <sup>25</sup>
	6.517	295.44	12/08/20	359.03	63.59 W	0.00	99 of 127 - Sale <sup>25</sup>
	1.000	45.33	12/08/20	53.80	8.47 W	0.00	100 of 127 - Sale <sup>25</sup>
	3.000	136.00	12/08/20	162.09	26.09 W	0.00	101 of 127 - Sale <sup>25</sup>
	3.000	136.00	12/08/20	162.11	26.11 W	0.00	102 of 127 - Sale <sup>25</sup>
	3.000	136.00	12/08/20	164.80	28.80 W	0.00	103 of 127 - Sale <sup>25</sup>
	4.000	181.34	12/08/20	219.71	38.37 W	0.00	104 of 127 - Sale <sup>25</sup>
	5.756	260.94	12/08/20	310.13	49.19 W	0.00	105 of 127 - Sale <sup>25</sup>
	4.000	181.34	12/08/20	221.33	39.99 W	0.00	106 of 127 - Sale <sup>25</sup>
	6.000	272.00	12/08/20	332.90	60.90 W	0.00	107 of 127 - Sale <sup>25</sup>
	8.000	362.67	12/08/20	391.27	28.60 W	0.00	108 of 127 - Sale <sup>25</sup>
	25.000	1,133.35	12/08/20	1,221.46	88.11 W	0.00	109 of 127 - Sale <sup>25</sup>
	4.000	181.34	12/09/20	193.23	11.89 W	0.00	110 of 127 - Sale <sup>25</sup>
	5.000	226.67	12/09/20	241.54	14.87 W	0.00	111 of 127 - Sale <sup>25</sup>
	8.000	362.67	12/09/20	385.70	23.03 W	0.00	112 of 127 - Sale <sup>25</sup>
	1.000	45.33	12/09/20	55.77	10.44 W	0.00	113 of 127 - Sale <sup>25</sup>
	1.000	45.33	12/09/20	55.71	10.38 W	0.00	114 of 127 - Sale <sup>25</sup>
	1.000	45.33	12/09/20	48.37	3.04 W	0.00	115 of 127 - Sale <sup>25</sup>
	1.000	45.33	12/09/20	48.91	3.58 W	0.00	116 of 127 - Sale <sup>25</sup>
	2.000	90.67	12/09/20	96.72	6.05 W	0.00	117 of 127 - Sale <sup>25</sup>
	6.000	272.00	12/09/20	289.57	17.57 W	0.00	118 of 127 - Sale <sup>25</sup>
	6.000	272.00	12/09/20	290.77	18.77 W	0.00	119 of 127 - Sale <sup>25</sup>
	10.000	453.34	12/09/20	489.12	35.78 W	0.00	120 of 127 - Sale <sup>25</sup>
	16.000	725.34	12/09/20	781.96	56.62 W	0.00	121 of 127 - Sale <sup>25</sup>
	9.000	408.01	12/09/20	436.71	28.70 W	0.00	122 of 127 - Sale <sup>25</sup>
	10.000	453.34	12/09/20	479.22	25.88 W	0.00	123 of 127 - Sale <sup>25</sup>
	17.000	770.68	12/09/20	813.82	43.14 W	0.00	124 of 127 - Sale <sup>25</sup>
	8.000	363.83	12/09/20	425.01	61.18 W	0.00	125 of 127 - Sale <sup>25</sup>
	21.000	955.06	12/09/20	1,115.45	160.39 W	0.00	126 of 127 - Sale <sup>25</sup>
	2.000	90.95	12/09/20	92.83	1.88 W	0.00	127 of 127 - Sale <sup>25</sup>
12/09/20	471.000	21,356.74	Various	26,651.91	5,295.17 W	0.00	Total of 127 transactions

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
<i>788 transactions for 12/10/20. Total proceeds and cost reported to the IRS.</i>							
1.000		43.99	12/09/20	46.46	2.47 W	0.00	1 of 788 - Sale <sup>25</sup>
6.000		263.93	12/09/20	279.08	15.15 W	0.00	2 of 788 - Sale <sup>25</sup>
11.000		483.88	12/09/20	510.55	26.67 W	0.00	3 of 788 - Sale <sup>25</sup>
1.000		43.99	12/09/20	47.08	3.09 W	0.00	4 of 788 - Sale <sup>25</sup>
4.000		175.96	12/09/20	188.33	12.37 W	0.00	5 of 788 - Sale <sup>25</sup>
6.000		263.93	12/09/20	282.73	18.80 W	0.00	6 of 788 - Sale <sup>25</sup>
16.000		703.82	12/09/20	754.75	50.93 W	0.00	7 of 788 - Sale <sup>25</sup>
24.000		1,055.73	12/09/20	1,133.32	77.59 W	0.00	8 of 788 - Sale <sup>25</sup>
0.242		10.63	12/09/20	13.86	3.23 W	0.00	9 of 788 - Sale <sup>25</sup>
0.138		6.06	12/09/20	8.03	1.97 W	0.00	10 of 788 - Sale <sup>25</sup>
0.379		16.68	12/09/20	22.03	5.35 W	0.00	11 of 788 - Sale <sup>25</sup>
3.242		142.59	12/09/20	196.39	53.80 W	0.00	12 of 788 - Sale <sup>25</sup>
0.316		13.92	12/09/20	21.24	7.32 W	0.00	13 of 788 - Sale <sup>25</sup>
1.138		50.05	12/09/20	76.31	26.26 W	0.00	14 of 788 - Sale <sup>25</sup>
3.169		139.41	12/09/20	209.71	70.30 W	0.00	15 of 788 - Sale <sup>25</sup>
5.831		256.49	12/09/20	385.90	129.41 W	0.00	16 of 788 - Sale <sup>25</sup>
7.000		307.92	12/09/20	438.34	130.42 W	0.00	17 of 788 - Sale <sup>25</sup>
0.077		3.40	12/09/20	4.72	1.32 W	0.00	18 of 788 - Sale <sup>25</sup>
0.092		4.04	12/09/20	5.59	1.55 W	0.00	19 of 788 - Sale <sup>25</sup>
0.454		19.97	12/09/20	27.66	7.69 W	0.00	20 of 788 - Sale <sup>25</sup>
0.546		24.02	12/09/20	33.37	9.35 W	0.00	21 of 788 - Sale <sup>25</sup>
1.046		46.00	12/09/20	63.59	17.59 W	0.00	22 of 788 - Sale <sup>25</sup>
1.316		57.91	12/09/20	79.87	21.96 W	0.00	23 of 788 - Sale <sup>25</sup>
1.408		61.95	12/09/20	85.43	23.48 W	0.00	24 of 788 - Sale <sup>25</sup>
2.000		87.98	12/09/20	121.75	33.77 W	0.00	25 of 788 - Sale <sup>25</sup>
2.000		87.98	12/09/20	121.76	33.78 W	0.00	26 of 788 - Sale <sup>25</sup>
2.000		87.98	12/09/20	121.32	33.34 W	0.00	27 of 788 - Sale <sup>25</sup>
3.377		148.54	12/09/20	206.99	58.45 W	0.00	28 of 788 - Sale <sup>25</sup>
3.454		151.94	12/09/20	210.27	58.33 W	0.00	29 of 788 - Sale <sup>25</sup>
6.546		287.95	12/09/20	405.80	117.85 W	0.00	30 of 788 - Sale <sup>25</sup>
11.230		493.98	12/09/20	681.59	187.61 W	0.00	31 of 788 - Sale <sup>25</sup>
2.000		87.98	12/09/20	92.31	4.33 W	0.00	32 of 788 - Sale <sup>25</sup>
4.000		175.96	12/09/20	184.64	8.68 W	0.00	33 of 788 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
13.000		571.86	12/09/20	600.08	28.22 W	0.00	34 of 788 - Sale <sup>25</sup>
3.000		131.97	12/09/20	140.80	8.83 W	0.00	35 of 788 - Sale <sup>25</sup>
6.000		263.93	12/09/20	281.89	17.96 W	0.00	36 of 788 - Sale <sup>25</sup>
15.000		659.83	12/09/20	704.73	44.90 W	0.00	37 of 788 - Sale <sup>25</sup>
1.092		48.03	12/09/20	67.31	19.28 W	0.00	38 of 788 - Sale <sup>25</sup>
4.077		179.35	12/09/20	251.34	71.99 W	0.00	39 of 788 - Sale <sup>25</sup>
4.831		212.50	12/09/20	297.83	85.33 W	0.00	40 of 788 - Sale <sup>25</sup>
5.000		219.94	12/09/20	308.26	88.32 W	0.00	41 of 788 - Sale <sup>25</sup>
0.063		2.77	12/09/20	4.03	1.26 W	0.00	42 of 788 - Sale <sup>25</sup>
0.092		4.04	12/09/20	5.97	1.93 W	0.00	43 of 788 - Sale <sup>25</sup>
0.454		19.97	12/09/20	28.72	8.75 W	0.00	44 of 788 - Sale <sup>25</sup>
0.454		19.97	12/09/20	28.71	8.74 W	0.00	45 of 788 - Sale <sup>25</sup>
0.546		24.02	12/09/20	35.29	11.27 W	0.00	46 of 788 - Sale <sup>25</sup>
0.766		33.68	12/09/20	48.74	15.06 W	0.00	47 of 788 - Sale <sup>25</sup>
1.000		43.99	12/09/20	63.23	19.24 W	0.00	48 of 788 - Sale <sup>25</sup>
1.174		51.64	12/09/20	75.24	23.60 W	0.00	49 of 788 - Sale <sup>25</sup>
2.234		98.29	12/09/20	142.28	43.99 W	0.00	50 of 788 - Sale <sup>25</sup>
3.000		131.97	12/09/20	190.40	58.43 W	0.00	51 of 788 - Sale <sup>25</sup>
3.454		151.94	12/09/20	223.40	71.46 W	0.00	52 of 788 - Sale <sup>25</sup>
3.729		164.05	12/09/20	237.48	73.43 W	0.00	53 of 788 - Sale <sup>25</sup>
3.908		171.91	12/09/20	243.46	71.55 W	0.00	54 of 788 - Sale <sup>25</sup>
4.688		206.24	12/09/20	300.49	94.25 W	0.00	55 of 788 - Sale <sup>25</sup>
5.000		219.94	12/09/20	318.85	98.91 W	0.00	56 of 788 - Sale <sup>25</sup>
5.249		230.88	12/09/20	335.92	105.04 W	0.00	57 of 788 - Sale <sup>25</sup>
5.817		255.86	12/09/20	371.06	115.20 W	0.00	58 of 788 - Sale <sup>25</sup>
6.000		263.93	12/09/20	380.04	116.11 W	0.00	59 of 788 - Sale <sup>25</sup>
6.000		263.93	12/09/20	383.53	119.60 W	0.00	60 of 788 - Sale <sup>25</sup>
7.372		324.29	12/09/20	471.95	147.66 W	0.00	61 of 788 - Sale <sup>25</sup>
2.000		87.98	12/09/20	92.12	4.14 W	0.00	62 of 788 - Sale <sup>25</sup>
1.000		43.99	12/09/20	47.21	3.22 W	0.00	63 of 788 - Sale <sup>25</sup>
6.000		263.93	12/09/20	284.29	20.36 W	0.00	64 of 788 - Sale <sup>25</sup>
7.000		307.92	12/09/20	327.47	19.55 W	0.00	65 of 788 - Sale <sup>25</sup>
8.000		351.91	12/09/20	374.25	22.34 W	0.00	66 of 788 - Sale <sup>25</sup>
0.111		4.88	12/09/20	7.07	2.19 W	0.00	67 of 788 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.435	19.13	12/09/20	28.22	9.09 W	0.00	68 of 788 - Sale <sup>25</sup>
	0.454	19.97	12/09/20	29.36	9.39 W	0.00	69 of 788 - Sale <sup>25</sup>
	0.546	24.02	12/09/20	35.31	11.29 W	0.00	70 of 788 - Sale <sup>25</sup>
	0.688	30.28	12/09/20	43.87	13.59 W	0.00	71 of 788 - Sale <sup>25</sup>
	0.720	31.67	12/09/20	45.84	14.17 W	0.00	72 of 788 - Sale <sup>25</sup>
	0.995	43.78	12/09/20	64.36	20.58 W	0.00	73 of 788 - Sale <sup>25</sup>
	1.000	43.99	12/09/20	63.37	19.38 W	0.00	74 of 788 - Sale <sup>25</sup>
	1.000	43.99	12/09/20	63.38	19.39 W	0.00	75 of 788 - Sale <sup>25</sup>
	1.000	43.99	12/09/20	63.28	19.29 W	0.00	76 of 788 - Sale <sup>25</sup>
	1.000	43.99	12/09/20	63.27	19.28 W	0.00	77 of 788 - Sale <sup>25</sup>
	1.000	43.99	12/09/20	64.81	20.82 W	0.00	78 of 788 - Sale <sup>25</sup>
	1.000	43.99	12/09/20	64.72	20.73 W	0.00	79 of 788 - Sale <sup>25</sup>
	1.454	63.96	12/09/20	93.67	29.71 W	0.00	80 of 788 - Sale <sup>25</sup>
	2.111	92.86	12/09/20	135.87	43.01 W	0.00	81 of 788 - Sale <sup>25</sup>
	3.297	145.04	12/09/20	212.86	67.82 W	0.00	82 of 788 - Sale <sup>25</sup>
	3.604	158.54	12/09/20	229.71	71.17 W	0.00	83 of 788 - Sale <sup>25</sup>
	3.831	168.52	12/09/20	225.38	56.86 W	0.00	84 of 788 - Sale <sup>25</sup>
	4.000	175.96	12/09/20	257.95	81.99 W	0.00	85 of 788 - Sale <sup>25</sup>
	4.111	180.84	12/09/20	265.78	84.94 W	0.00	86 of 788 - Sale <sup>25</sup>
	4.908	215.90	12/09/20	312.86	96.96 W	0.00	87 of 788 - Sale <sup>25</sup>
	5.000	219.94	12/09/20	316.37	96.43 W	0.00	88 of 788 - Sale <sup>25</sup>
	5.138	226.00	12/09/20	327.47	101.47 W	0.00	89 of 788 - Sale <sup>25</sup>
	6.459	284.12	12/09/20	408.64	124.52 W	0.00	90 of 788 - Sale <sup>25</sup>
	9.703	426.81	12/09/20	626.42	199.61 W	0.00	91 of 788 - Sale <sup>25</sup>
	14.435	634.98	12/09/20	859.30	224.32 W	0.00	92 of 788 - Sale <sup>25</sup>
	1.000	43.99	12/09/20	46.55	2.56 W	0.00	93 of 788 - Sale <sup>25</sup>
	1.000	43.99	12/09/20	46.50	2.51 W	0.00	94 of 788 - Sale <sup>25</sup>
	20.000	879.78	12/09/20	918.22	38.44 W	0.00	95 of 788 - Sale <sup>25</sup>
	1.000	43.99	12/09/20	59.48	15.49 W	0.00	96 of 788 - Sale <sup>25</sup>
	2.000	87.98	12/09/20	118.87	30.89 W	0.00	97 of 788 - Sale <sup>25</sup>
	2.000	87.98	12/09/20	118.72	30.74 W	0.00	98 of 788 - Sale <sup>25</sup>
	2.111	92.86	12/09/20	125.45	32.59 W	0.00	99 of 788 - Sale <sup>25</sup>
	3.111	136.85	12/09/20	186.72	49.87 W	0.00	100 of 788 - Sale <sup>25</sup>
	5.889	259.05	12/09/20	350.54	91.49 W	0.00	101 of 788 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.302	13.29	12/09/20	18.57	5.28 W	0.00	102 of 788 - Sale <sup>25</sup>
	0.729	32.09	12/09/20	44.76	12.67 W	0.00	103 of 788 - Sale <sup>25</sup>
	1.000	43.99	12/09/20	60.59	16.60 W	0.00	104 of 788 - Sale <sup>25</sup>
	1.000	43.99	12/09/20	61.48	17.49 W	0.00	105 of 788 - Sale <sup>25</sup>
	1.000	43.99	12/09/20	61.71	17.72 W	0.00	106 of 788 - Sale <sup>25</sup>
	1.000	43.99	12/09/20	61.35	17.36 W	0.00	107 of 788 - Sale <sup>25</sup>
	1.889	83.09	12/09/20	114.43	31.34 W	0.00	108 of 788 - Sale <sup>25</sup>
	3.000	131.97	12/09/20	185.11	53.14 W	0.00	109 of 788 - Sale <sup>25</sup>
	3.698	162.67	12/09/20	227.24	64.57 W	0.00	110 of 788 - Sale <sup>25</sup>
	5.000	219.94	12/09/20	305.68	85.74 W	0.00	111 of 788 - Sale <sup>25</sup>
	5.271	231.85	12/09/20	323.37	91.52 W	0.00	112 of 788 - Sale <sup>25</sup>
	1.000	43.99	12/09/20	62.14	18.15 W	0.00	113 of 788 - Sale <sup>25</sup>
	1.515	66.62	12/09/20	94.11	27.49 W	0.00	114 of 788 - Sale <sup>25</sup>
	3.000	131.97	12/09/20	186.41	54.44 W	0.00	115 of 788 - Sale <sup>25</sup>
	0.345	15.19	12/09/20	21.28	6.09 W	0.00	116 of 788 - Sale <sup>25</sup>
	0.485	21.36	12/09/20	29.96	8.60 W	0.00	117 of 788 - Sale <sup>25</sup>
	0.655	28.79	12/09/20	40.37	11.58 W	0.00	118 of 788 - Sale <sup>25</sup>
	1.000	43.99	12/09/20	61.60	17.61 W	0.00	119 of 788 - Sale <sup>25</sup>
	1.000	43.99	12/09/20	61.67	17.68 W	0.00	120 of 788 - Sale <sup>25</sup>
	1.515	66.62	12/09/20	93.41	26.79 W	0.00	121 of 788 - Sale <sup>25</sup>
	1.758	77.35	12/09/20	108.36	31.01 W	0.00	122 of 788 - Sale <sup>25</sup>
	2.242	98.60	12/09/20	138.14	39.54 W	0.00	123 of 788 - Sale <sup>25</sup>
	2.517	110.72	12/09/20	155.25	44.53 W	0.00	124 of 788 - Sale <sup>25</sup>
	3.000	131.97	12/09/20	185.00	53.03 W	0.00	125 of 788 - Sale <sup>25</sup>
	3.483	153.22	12/09/20	213.42	60.20 W	0.00	126 of 788 - Sale <sup>25</sup>
	6.000	263.93	12/09/20	367.95	104.02 W	0.00	127 of 788 - Sale <sup>25</sup>
	6.000	263.93	12/09/20	367.59	103.66 W	0.00	128 of 788 - Sale <sup>25</sup>
	7.000	307.92	12/09/20	431.68	123.76 W	0.00	129 of 788 - Sale <sup>25</sup>
	0.729	32.09	12/09/20	43.91	11.82 W	0.00	130 of 788 - Sale <sup>25</sup>
	1.756	77.25	12/09/20	107.17	29.92 W	0.00	131 of 788 - Sale <sup>25</sup>
	2.698	118.68	12/09/20	168.07	49.39 W	0.00	132 of 788 - Sale <sup>25</sup>
	4.302	189.24	12/09/20	267.97	78.73 W	0.00	133 of 788 - Sale <sup>25</sup>
	8.000	351.91	12/09/20	498.32	146.41 W	0.00	134 of 788 - Sale <sup>25</sup>
	0.485	21.36	12/09/20	28.85	7.49 W	0.00	135 of 788 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.515	22.63	12/09/20	30.52	7.89 W	0.00	136 of 788 - Sale <sup>25</sup>
	1.000	43.99	12/09/20	59.43	15.44 W	0.00	137 of 788 - Sale <sup>25</sup>
	11.515	506.51	12/09/20	701.31	194.80 W	0.00	138 of 788 - Sale <sup>25</sup>
	0.027	1.17	12/09/20	1.62	0.45 W	0.00	139 of 788 - Sale <sup>25</sup>
	0.111	4.88	12/09/20	6.58	1.70 W	0.00	140 of 788 - Sale <sup>25</sup>
	0.271	11.90	12/09/20	16.49	4.59 W	0.00	141 of 788 - Sale <sup>25</sup>
	0.973	42.82	12/09/20	59.56	16.74 W	0.00	142 of 788 - Sale <sup>25</sup>
	0.973	42.82	12/09/20	57.43	14.61 W	0.00	143 of 788 - Sale <sup>25</sup>
	1.000	43.99	12/09/20	61.21	17.22 W	0.00	144 of 788 - Sale <sup>25</sup>
	1.000	43.99	12/09/20	60.24	16.25 W	0.00	145 of 788 - Sale <sup>25</sup>
	1.005	44.20	12/09/20	61.13	16.93 W	0.00	146 of 788 - Sale <sup>25</sup>
	1.027	45.16	12/09/20	62.83	17.67 W	0.00	147 of 788 - Sale <sup>25</sup>
	1.111	48.87	12/09/20	67.41	18.54 W	0.00	148 of 788 - Sale <sup>25</sup>
	1.244	54.72	12/09/20	74.87	20.15 W	0.00	149 of 788 - Sale <sup>25</sup>
	1.449	63.75	12/09/20	87.99	24.24 W	0.00	150 of 788 - Sale <sup>25</sup>
	1.485	65.34	12/09/20	87.54	22.20 W	0.00	151 of 788 - Sale <sup>25</sup>
	1.485	65.34	12/09/20	89.48	24.14 W	0.00	152 of 788 - Sale <sup>25</sup>
	1.698	74.69	12/09/20	102.63	27.94 W	0.00	153 of 788 - Sale <sup>25</sup>
	1.756	77.25	12/09/20	106.75	29.50 W	0.00	154 of 788 - Sale <sup>25</sup>
	1.889	83.09	12/09/20	111.39	28.30 W	0.00	155 of 788 - Sale <sup>25</sup>
	2.618	115.18	12/09/20	158.94	43.76 W	0.00	156 of 788 - Sale <sup>25</sup>
	2.623	115.39	12/09/20	158.08	42.69 W	0.00	157 of 788 - Sale <sup>25</sup>
	2.862	125.91	12/09/20	173.92	48.01 W	0.00	158 of 788 - Sale <sup>25</sup>
	3.000	131.97	12/09/20	178.04	46.07 W	0.00	159 of 788 - Sale <sup>25</sup>
	3.000	131.97	12/09/20	181.38	49.41 W	0.00	160 of 788 - Sale <sup>25</sup>
	4.271	187.86	12/09/20	257.37	69.51 W	0.00	161 of 788 - Sale <sup>25</sup>
	4.377	192.53	12/09/20	263.79	71.26 W	0.00	162 of 788 - Sale <sup>25</sup>
	4.684	206.03	12/09/20	284.34	78.31 W	0.00	163 of 788 - Sale <sup>25</sup>
	4.729	208.04	12/09/20	287.13	79.09 W	0.00	164 of 788 - Sale <sup>25</sup>
	5.515	242.58	12/09/20	324.72	82.14 W	0.00	165 of 788 - Sale <sup>25</sup>
	6.000	263.93	12/09/20	360.63	96.70 W	0.00	166 of 788 - Sale <sup>25</sup>
	7.302	321.21	12/09/20	441.45	120.24 W	0.00	167 of 788 - Sale <sup>25</sup>
	17.000	747.81	12/09/20	1,002.54	254.73 W	0.00	168 of 788 - Sale <sup>25</sup>
	0.515	22.63	12/09/20	31.42	8.79 W	0.00	169 of 788 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.867	38.14	12/09/20	52.90	14.76 W	0.00	170 of 788 - Sale <sup>25</sup>
	2.000	87.98	12/09/20	122.62	34.64 W	0.00	171 of 788 - Sale <sup>25</sup>
	2.382	104.76	12/09/20	143.39	38.63 W	0.00	172 of 788 - Sale <sup>25</sup>
	2.485	109.33	12/09/20	149.79	40.46 W	0.00	173 of 788 - Sale <sup>25</sup>
	2.618	115.18	12/09/20	157.37	42.19 W	0.00	174 of 788 - Sale <sup>25</sup>
	4.133	181.80	12/09/20	252.23	70.43 W	0.00	175 of 788 - Sale <sup>25</sup>
	0.106	4.67	12/09/20	6.36	1.69 W	0.00	176 of 788 - Sale <sup>25</sup>
	0.242	10.63	12/09/20	14.54	3.91 W	0.00	177 of 788 - Sale <sup>25</sup>
	0.244	10.73	12/09/20	14.69	3.96 W	0.00	178 of 788 - Sale <sup>25</sup>
	0.485	21.36	12/09/20	29.12	7.76 W	0.00	179 of 788 - Sale <sup>25</sup>
	1.244	54.72	12/09/20	74.89	20.17 W	0.00	180 of 788 - Sale <sup>25</sup>
	1.756	77.25	12/09/20	105.70	28.45 W	0.00	181 of 788 - Sale <sup>25</sup>
	2.408	105.94	12/09/20	144.97	39.03 W	0.00	182 of 788 - Sale <sup>25</sup>
	4.515	198.59	12/09/20	269.74	71.15 W	0.00	183 of 788 - Sale <sup>25</sup>
	4.894	215.27	12/09/20	293.36	78.09 W	0.00	184 of 788 - Sale <sup>25</sup>
	9.106	400.57	12/09/20	548.20	147.63 W	0.00	185 of 788 - Sale <sup>25</sup>
	0.031	1.38	12/09/20	1.78	0.40 W	0.00	186 of 788 - Sale <sup>25</sup>
	0.454	19.97	12/09/20	27.21	7.24 W	0.00	187 of 788 - Sale <sup>25</sup>
	0.969	42.61	12/09/20	54.25	11.64 W	0.00	188 of 788 - Sale <sup>25</sup>
	1.546	68.01	12/09/20	92.61	24.60 W	0.00	189 of 788 - Sale <sup>25</sup>
	2.515	110.61	12/09/20	159.26	48.65 W	0.00	190 of 788 - Sale <sup>25</sup>
	3.000	131.97	12/09/20	175.90	43.93 W	0.00	191 of 788 - Sale <sup>25</sup>
	3.969	174.57	12/09/20	237.70	63.13 W	0.00	192 of 788 - Sale <sup>25</sup>
	7.592	333.95	12/09/20	480.83	146.88 W	0.00	193 of 788 - Sale <sup>25</sup>
	0.106	4.67	12/09/20	6.27	1.60 W	0.00	194 of 788 - Sale <sup>25</sup>
	0.350	15.40	12/09/20	21.58	6.18 W	0.00	195 of 788 - Sale <sup>25</sup>
	0.650	28.58	12/09/20	40.21	11.63 W	0.00	196 of 788 - Sale <sup>25</sup>
	0.788	34.64	12/09/20	48.37	13.73 W	0.00	197 of 788 - Sale <sup>25</sup>
	0.894	39.32	12/09/20	56.68	17.36 W	0.00	198 of 788 - Sale <sup>25</sup>
	1.000	43.99	12/09/20	62.28	18.29 W	0.00	199 of 788 - Sale <sup>25</sup>
	2.106	92.65	12/09/20	131.66	39.01 W	0.00	200 of 788 - Sale <sup>25</sup>
	3.000	131.97	12/09/20	177.45	45.48 W	0.00	201 of 788 - Sale <sup>25</sup>
	3.031	133.35	12/09/20	179.33	45.98 W	0.00	202 of 788 - Sale <sup>25</sup>
	5.000	219.94	12/09/20	312.54	92.60 W	0.00	203 of 788 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
9.000		395.90	12/09/20	570.36	174.46 W	0.00	204 of 788 - Sale <sup>25</sup>
0.758		33.36	12/09/20	47.04	13.68 W	0.00	205 of 788 - Sale <sup>25</sup>
3.242		142.59	12/09/20	200.96	58.37 W	0.00	206 of 788 - Sale <sup>25</sup>
0.792		34.85	12/09/20	49.03	14.18 W	0.00	207 of 788 - Sale <sup>25</sup>
0.894		39.32	12/09/20	55.34	16.02 W	0.00	208 of 788 - Sale <sup>25</sup>
1.000		43.99	12/09/20	61.87	17.88 W	0.00	209 of 788 - Sale <sup>25</sup>
1.000		43.99	12/09/20	61.88	17.89 W	0.00	210 of 788 - Sale <sup>25</sup>
1.000		43.99	12/09/20	62.06	18.07 W	0.00	211 of 788 - Sale <sup>25</sup>
1.208		53.13	12/09/20	75.05	21.92 W	0.00	212 of 788 - Sale <sup>25</sup>
2.000		87.98	12/09/20	123.84	35.86 W	0.00	213 of 788 - Sale <sup>25</sup>
2.000		87.98	12/09/20	123.74	35.76 W	0.00	214 of 788 - Sale <sup>25</sup>
2.000		87.98	12/09/20	123.73	35.75 W	0.00	215 of 788 - Sale <sup>25</sup>
3.000		131.97	12/09/20	188.43	56.46 W	0.00	216 of 788 - Sale <sup>25</sup>
4.000		175.96	12/09/20	246.89	70.93 W	0.00	217 of 788 - Sale <sup>25</sup>
5.106		224.62	12/09/20	316.20	91.58 W	0.00	218 of 788 - Sale <sup>25</sup>
8.000		351.91	12/09/20	493.82	141.91 W	0.00	219 of 788 - Sale <sup>25</sup>
13.000		571.86	12/09/20	802.18	230.32 W	0.00	220 of 788 - Sale <sup>25</sup>
0.205		9.03	12/09/20	12.05	3.02 W	0.00	221 of 788 - Sale <sup>25</sup>
0.483		21.25	12/09/20	28.29	7.04 W	0.00	222 of 788 - Sale <sup>25</sup>
3.795		166.92	12/09/20	222.43	55.51 W	0.00	223 of 788 - Sale <sup>25</sup>
0.517		22.74	12/09/20	28.40	5.66 W	0.00	224 of 788 - Sale <sup>25</sup>
0.932		41.01	12/09/20	51.26	10.25 W	0.00	225 of 788 - Sale <sup>25</sup>
1.000		43.99	12/09/20	55.00	11.01 W	0.00	226 of 788 - Sale <sup>25</sup>
1.000		43.99	12/09/20	55.00	11.01 W	0.00	227 of 788 - Sale <sup>25</sup>
1.244		54.72	12/09/20	68.36	13.64 W	0.00	228 of 788 - Sale <sup>25</sup>
2.205		97.01	12/09/20	121.28	24.27 W	0.00	229 of 788 - Sale <sup>25</sup>
4.862		213.89	12/09/20	267.41	53.52 W	0.00	230 of 788 - Sale <sup>25</sup>
6.000		263.93	12/09/20	330.29	66.36 W	0.00	231 of 788 - Sale <sup>25</sup>
1.000		43.99	12/09/20	53.76	9.77 W	0.00	232 of 788 - Sale <sup>25</sup>
3.000		131.97	12/09/20	161.96	29.99 W	0.00	233 of 788 - Sale <sup>25</sup>
3.000		131.97	12/09/20	161.98	30.01 W	0.00	234 of 788 - Sale <sup>25</sup>
3.000		131.97	12/09/20	164.67	32.70 W	0.00	235 of 788 - Sale <sup>25</sup>
4.000		175.95	12/09/20	219.53	43.58 W	0.00	236 of 788 - Sale <sup>25</sup>
4.000		175.96	12/09/20	221.15	45.19 W	0.00	237 of 788 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	5.756	253.20	12/09/20	309.88	56.68 W	0.00	238 of 788 - Sale <sup>25</sup>
	6.000	263.93	12/09/20	332.64	68.71 W	0.00	239 of 788 - Sale <sup>25</sup>
	3.000	131.97	12/09/20	146.60	14.63 W	0.00	240 of 788 - Sale <sup>25</sup>
	1.000	43.99	12/09/20	55.69	11.70 W	0.00	241 of 788 - Sale <sup>25</sup>
	1.000	43.99	12/09/20	55.63	11.64 W	0.00	242 of 788 - Sale <sup>25</sup>
	5.000	219.94	12/09/20	244.12	24.18 W	0.00	243 of 788 - Sale <sup>25</sup>
	25.000	1,099.72	12/09/20	1,219.36	119.64 W	0.00	244 of 788 - Sale <sup>25</sup>
	1.000	43.99	12/09/20	48.29	4.30 W	0.00	245 of 788 - Sale <sup>25</sup>
	4.000	175.95	12/09/20	192.89	16.94 W	0.00	246 of 788 - Sale <sup>25</sup>
	5.000	219.94	12/09/20	241.12	21.18 W	0.00	247 of 788 - Sale <sup>25</sup>
	8.000	351.91	12/09/20	385.03	33.12 W	0.00	248 of 788 - Sale <sup>25</sup>
	1.000	43.99	12/09/20	48.88	4.89 W	0.00	249 of 788 - Sale <sup>25</sup>
	1.000	43.99	12/09/20	48.88	4.89 W	0.00	250 of 788 - Sale <sup>25</sup>
	2.000	87.98	12/09/20	96.65	8.67 W	0.00	251 of 788 - Sale <sup>25</sup>
	6.000	263.93	12/09/20	289.37	25.44 W	0.00	252 of 788 - Sale <sup>25</sup>
	1.000	43.99	12/09/20	48.93	4.94 W	0.00	253 of 788 - Sale <sup>25</sup>
	5.000	224.92	12/09/20	244.63	19.71 W	0.00	254 of 788 - Sale <sup>25</sup>
	8.000	359.87	12/10/20	421.18	61.31 W	0.00	255 of 788 - Sale <sup>25</sup>
	12.000	539.81	12/10/20	631.65	91.84 W	0.00	256 of 788 - Sale <sup>25</sup>
	2.000	87.60	12/10/20	94.85	7.25 W	0.00	257 of 788 - Sale <sup>25</sup>
	9.000	394.19	12/10/20	473.74	79.55 W	0.00	258 of 788 - Sale <sup>25</sup>
	6.000	262.79	12/10/20	284.55	21.76 W	0.00	259 of 788 - Sale <sup>25</sup>
	2.000	87.60	12/10/20	91.88	4.28 W	0.00	260 of 788 - Sale <sup>25</sup>
	3.000	131.40	12/10/20	145.73	14.33 W	0.00	261 of 788 - Sale <sup>25</sup>
	6.000	262.79	12/10/20	288.77	25.98 W	0.00	262 of 788 - Sale <sup>25</sup>
	9.000	394.19	12/10/20	433.70	39.51 W	0.00	263 of 788 - Sale <sup>25</sup>
	10.000	437.99	12/10/20	475.88	37.89 W	0.00	264 of 788 - Sale <sup>25</sup>
	16.000	700.78	12/10/20	776.62	75.84 W	0.00	265 of 788 - Sale <sup>25</sup>
	17.000	744.58	12/10/20	808.14	63.56 W	0.00	266 of 788 - Sale <sup>25</sup>
	0.242	10.58	12/10/20	14.09	3.51 W	0.00	267 of 788 - Sale <sup>25</sup>
	0.138	6.03	12/10/20	8.16	2.13 W	0.00	268 of 788 - Sale <sup>25</sup>
	0.379	16.61	12/10/20	22.40	5.79 W	0.00	269 of 788 - Sale <sup>25</sup>
	3.242	141.98	12/10/20	199.51	57.53 W	0.00	270 of 788 - Sale <sup>25</sup>
	0.316	13.86	12/10/20	21.54	7.68 W	0.00	271 of 788 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.138	49.83	12/10/20	77.40	27.57 W	0.00	272 of 788 - Sale <sup>25</sup>
	3.169	138.80	12/10/20	212.75	73.95 W	0.00	273 of 788 - Sale <sup>25</sup>
	5.831	255.39	12/10/20	391.51	136.12 W	0.00	274 of 788 - Sale <sup>25</sup>
	7.000	306.59	12/10/20	445.07	138.48 W	0.00	275 of 788 - Sale <sup>25</sup>
	0.092	4.03	12/10/20	5.68	1.65 W	0.00	276 of 788 - Sale <sup>25</sup>
	0.454	19.89	12/10/20	28.10	8.21 W	0.00	277 of 788 - Sale <sup>25</sup>
	0.546	23.91	12/10/20	33.89	9.98 W	0.00	278 of 788 - Sale <sup>25</sup>
	1.046	45.80	12/10/20	64.60	18.80 W	0.00	279 of 788 - Sale <sup>25</sup>
	1.316	57.65	12/10/20	81.13	23.48 W	0.00	280 of 788 - Sale <sup>25</sup>
	1.408	61.68	12/10/20	86.78	25.10 W	0.00	281 of 788 - Sale <sup>25</sup>
	2.000	87.60	12/10/20	123.67	36.07 W	0.00	282 of 788 - Sale <sup>25</sup>
	2.000	87.60	12/10/20	123.68	36.08 W	0.00	283 of 788 - Sale <sup>25</sup>
	2.000	87.60	12/10/20	123.24	35.64 W	0.00	284 of 788 - Sale <sup>25</sup>
	3.230	141.45	12/10/20	199.12	57.67 W	0.00	285 of 788 - Sale <sup>25</sup>
	3.454	151.28	12/10/20	213.59	62.31 W	0.00	286 of 788 - Sale <sup>25</sup>
	1.000	43.80	12/10/20	47.42	3.62 W	0.00	287 of 788 - Sale <sup>25</sup>
	3.000	131.40	12/10/20	142.12	10.72 W	0.00	288 of 788 - Sale <sup>25</sup>
	6.000	262.79	12/10/20	284.85	22.06 W	0.00	289 of 788 - Sale <sup>25</sup>
	1.000	43.80	12/10/20	48.04	4.24 W	0.00	290 of 788 - Sale <sup>25</sup>
	4.000	175.20	12/10/20	192.17	16.97 W	0.00	291 of 788 - Sale <sup>25</sup>
	6.000	262.79	12/10/20	288.50	25.71 W	0.00	292 of 788 - Sale <sup>25</sup>
	16.000	700.78	12/10/20	770.13	69.35 W	0.00	293 of 788 - Sale <sup>25</sup>
	24.000	1,051.17	12/10/20	1,156.39	105.22 W	0.00	294 of 788 - Sale <sup>25</sup>
	0.077	3.38	12/10/20	4.79	1.41 W	0.00	295 of 788 - Sale <sup>25</sup>
	1.092	47.82	12/10/20	68.36	20.54 W	0.00	296 of 788 - Sale <sup>25</sup>
	3.377	147.90	12/10/20	210.24	62.34 W	0.00	297 of 788 - Sale <sup>25</sup>
	4.077	178.58	12/10/20	255.26	76.68 W	0.00	298 of 788 - Sale <sup>25</sup>
	4.831	211.59	12/10/20	302.48	90.89 W	0.00	299 of 788 - Sale <sup>25</sup>
	5.000	218.99	12/10/20	313.07	94.08 W	0.00	300 of 788 - Sale <sup>25</sup>
	6.546	286.71	12/10/20	412.09	125.38 W	0.00	301 of 788 - Sale <sup>25</sup>
	8.000	350.39	12/10/20	493.25	142.86 W	0.00	302 of 788 - Sale <sup>25</sup>
	0.092	4.03	12/10/20	6.06	2.03 W	0.00	303 of 788 - Sale <sup>25</sup>
	0.454	19.89	12/10/20	29.16	9.27 W	0.00	304 of 788 - Sale <sup>25</sup>
	0.454	19.89	12/10/20	29.15	9.26 W	0.00	305 of 788 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.546	23.91	12/10/20	35.81	11.90 W	0.00	306 of 788 - Sale <sup>25</sup>
	1.000	43.80	12/10/20	64.19	20.39 W	0.00	307 of 788 - Sale <sup>25</sup>
	1.174	51.42	12/10/20	76.37	24.95 W	0.00	308 of 788 - Sale <sup>25</sup>
	1.918	84.01	12/10/20	124.77	40.76 W	0.00	309 of 788 - Sale <sup>25</sup>
	3.454	151.28	12/10/20	226.72	75.44 W	0.00	310 of 788 - Sale <sup>25</sup>
	3.908	171.17	12/10/20	247.22	76.05 W	0.00	311 of 788 - Sale <sup>25</sup>
	6.000	262.79	12/10/20	385.81	123.02 W	0.00	312 of 788 - Sale <sup>25</sup>
	2.000	87.60	12/10/20	94.23	6.63 W	0.00	313 of 788 - Sale <sup>25</sup>
	4.000	175.20	12/10/20	188.48	13.28 W	0.00	314 of 788 - Sale <sup>25</sup>
	13.000	569.39	12/10/20	612.57	43.18 W	0.00	315 of 788 - Sale <sup>25</sup>
	3.000	131.40	12/10/20	143.68	12.28 W	0.00	316 of 788 - Sale <sup>25</sup>
	6.000	262.79	12/10/20	287.66	24.87 W	0.00	317 of 788 - Sale <sup>25</sup>
	15.000	656.98	12/10/20	719.15	62.17 W	0.00	318 of 788 - Sale <sup>25</sup>
	0.063	2.75	12/10/20	4.08	1.33 W	0.00	319 of 788 - Sale <sup>25</sup>
	0.297	13.02	12/10/20	19.46	6.44 W	0.00	320 of 788 - Sale <sup>25</sup>
	0.435	19.05	12/10/20	28.62	9.57 W	0.00	321 of 788 - Sale <sup>25</sup>
	0.454	19.89	12/10/20	29.78	9.89 W	0.00	322 of 788 - Sale <sup>25</sup>
	0.546	23.91	12/10/20	35.80	11.89 W	0.00	323 of 788 - Sale <sup>25</sup>
	0.766	33.53	12/10/20	49.44	15.91 W	0.00	324 of 788 - Sale <sup>25</sup>
	0.995	43.59	12/10/20	65.27	21.68 W	0.00	325 of 788 - Sale <sup>25</sup>
	1.000	43.80	12/10/20	64.28	20.48 W	0.00	326 of 788 - Sale <sup>25</sup>
	1.000	43.80	12/10/20	64.29	20.49 W	0.00	327 of 788 - Sale <sup>25</sup>
	1.000	43.80	12/10/20	64.19	20.39 W	0.00	328 of 788 - Sale <sup>25</sup>
	1.000	43.80	12/10/20	64.18	20.38 W	0.00	329 of 788 - Sale <sup>25</sup>
	1.000	43.80	12/10/20	65.72	21.92 W	0.00	330 of 788 - Sale <sup>25</sup>
	1.000	43.80	12/10/20	65.63	21.83 W	0.00	331 of 788 - Sale <sup>25</sup>
	2.234	97.86	12/10/20	144.31	46.45 W	0.00	332 of 788 - Sale <sup>25</sup>
	2.770	121.34	12/10/20	180.08	58.74 W	0.00	333 of 788 - Sale <sup>25</sup>
	3.000	131.40	12/10/20	193.13	61.73 W	0.00	334 of 788 - Sale <sup>25</sup>
	3.729	163.34	12/10/20	240.88	77.54 W	0.00	335 of 788 - Sale <sup>25</sup>
	4.111	180.06	12/10/20	269.52	89.46 W	0.00	336 of 788 - Sale <sup>25</sup>
	5.000	218.99	12/10/20	323.41	104.42 W	0.00	337 of 788 - Sale <sup>25</sup>
	5.000	218.99	12/10/20	320.93	101.94 W	0.00	338 of 788 - Sale <sup>25</sup>
	5.249	229.89	12/10/20	340.71	110.82 W	0.00	339 of 788 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	5.817	254.76	12/10/20	376.36	121.60 W	0.00	340 of 788 - Sale <sup>25</sup>
	6.000	262.79	12/10/20	389.00	126.21 W	0.00	341 of 788 - Sale <sup>25</sup>
	6.459	282.89	12/10/20	414.52	131.63 W	0.00	342 of 788 - Sale <sup>25</sup>
	7.372	322.89	12/10/20	478.67	155.78 W	0.00	343 of 788 - Sale <sup>25</sup>
	9.703	424.97	12/10/20	635.26	210.29 W	0.00	344 of 788 - Sale <sup>25</sup>
	2.000	87.60	12/10/20	93.94	6.34 W	0.00	345 of 788 - Sale <sup>25</sup>
	1.000	43.80	12/10/20	48.12	4.32 W	0.00	346 of 788 - Sale <sup>25</sup>
	6.000	262.79	12/10/20	289.76	26.97 W	0.00	347 of 788 - Sale <sup>25</sup>
	7.000	306.59	12/10/20	333.85	27.26 W	0.00	348 of 788 - Sale <sup>25</sup>
	8.000	350.39	12/10/20	381.54	31.15 W	0.00	349 of 788 - Sale <sup>25</sup>
	0.111	4.86	12/10/20	7.21	2.35 W	0.00	350 of 788 - Sale <sup>25</sup>
	0.688	30.15	12/10/20	44.71	14.56 W	0.00	351 of 788 - Sale <sup>25</sup>
	0.720	31.53	12/10/20	46.71	15.18 W	0.00	352 of 788 - Sale <sup>25</sup>
	1.000	43.80	12/10/20	60.69	16.89 W	0.00	353 of 788 - Sale <sup>25</sup>
	1.454	63.69	12/10/20	95.43	31.74 W	0.00	354 of 788 - Sale <sup>25</sup>
	2.000	87.60	12/10/20	121.29	33.69 W	0.00	355 of 788 - Sale <sup>25</sup>
	2.000	87.60	12/10/20	121.14	33.54 W	0.00	356 of 788 - Sale <sup>25</sup>
	2.111	92.46	12/10/20	138.43	45.97 W	0.00	357 of 788 - Sale <sup>25</sup>
	2.111	92.46	12/10/20	128.01	35.55 W	0.00	358 of 788 - Sale <sup>25</sup>
	3.000	131.40	12/10/20	197.31	65.91 W	0.00	359 of 788 - Sale <sup>25</sup>
	3.111	136.26	12/10/20	190.49	54.23 W	0.00	360 of 788 - Sale <sup>25</sup>
	3.604	157.85	12/10/20	234.07	76.22 W	0.00	361 of 788 - Sale <sup>25</sup>
	3.831	167.79	12/10/20	230.02	62.23 W	0.00	362 of 788 - Sale <sup>25</sup>
	4.000	175.20	12/10/20	262.79	87.59 W	0.00	363 of 788 - Sale <sup>25</sup>
	4.908	214.97	12/10/20	318.81	103.84 W	0.00	364 of 788 - Sale <sup>25</sup>
	5.138	225.02	12/10/20	333.69	108.67 W	0.00	365 of 788 - Sale <sup>25</sup>
	5.889	257.93	12/10/20	357.67	99.74 W	0.00	366 of 788 - Sale <sup>25</sup>
	14.435	632.23	12/10/20	876.78	244.55 W	0.00	367 of 788 - Sale <sup>25</sup>
	0.271	11.85	12/10/20	16.93	5.08 W	0.00	368 of 788 - Sale <sup>25</sup>
	0.302	13.23	12/10/20	18.93	5.70 W	0.00	369 of 788 - Sale <sup>25</sup>
	0.729	31.95	12/10/20	45.64	13.69 W	0.00	370 of 788 - Sale <sup>25</sup>
	1.000	43.80	12/10/20	61.80	18.00 W	0.00	371 of 788 - Sale <sup>25</sup>
	1.000	43.80	12/10/20	62.69	18.89 W	0.00	372 of 788 - Sale <sup>25</sup>
	1.000	43.80	12/10/20	62.92	19.12 W	0.00	373 of 788 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.889	82.73	12/10/20	116.72	33.99 W	0.00	374 of 788 - Sale <sup>25</sup>
	3.000	131.40	12/10/20	188.74	57.34 W	0.00	375 of 788 - Sale <sup>25</sup>
	3.698	161.97	12/10/20	231.72	69.75 W	0.00	376 of 788 - Sale <sup>25</sup>
	5.000	218.99	12/10/20	311.73	92.74 W	0.00	377 of 788 - Sale <sup>25</sup>
	1.000	43.80	12/10/20	47.76	3.96 W	0.00	378 of 788 - Sale <sup>25</sup>
	1.000	43.80	12/10/20	47.71	3.91 W	0.00	379 of 788 - Sale <sup>25</sup>
	20.000	875.98	12/10/20	942.44	66.46 W	0.00	380 of 788 - Sale <sup>25</sup>
	1.000	43.80	12/10/20	62.36	18.56 W	0.00	381 of 788 - Sale <sup>25</sup>
	5.000	218.99	12/10/20	311.81	92.82 W	0.00	382 of 788 - Sale <sup>25</sup>
	1.000	43.80	12/10/20	63.15	19.35 W	0.00	383 of 788 - Sale <sup>25</sup>
	1.515	66.33	12/10/20	95.42	29.09 W	0.00	384 of 788 - Sale <sup>25</sup>
	3.000	131.40	12/10/20	188.99	57.59 W	0.00	385 of 788 - Sale <sup>25</sup>
	1.485	65.06	12/10/20	92.29	27.23 W	0.00	386 of 788 - Sale <sup>25</sup>
	6.000	262.79	12/10/20	373.12	110.33 W	0.00	387 of 788 - Sale <sup>25</sup>
	6.000	262.79	12/10/20	372.76	109.97 W	0.00	388 of 788 - Sale <sup>25</sup>
	0.485	21.26	12/10/20	28.75	7.49 W	0.00	389 of 788 - Sale <sup>25</sup>
	0.515	22.54	12/10/20	30.43	7.89 W	0.00	390 of 788 - Sale <sup>25</sup>
	1.000	43.80	12/10/20	59.24	15.44 W	0.00	391 of 788 - Sale <sup>25</sup>
	2.515	110.13	12/10/20	152.68	42.55 W	0.00	392 of 788 - Sale <sup>25</sup>
	0.973	42.63	12/10/20	59.37	16.74 W	0.00	393 of 788 - Sale <sup>25</sup>
	1.000	43.80	12/10/20	61.02	17.22 W	0.00	394 of 788 - Sale <sup>25</sup>
	1.027	44.97	12/10/20	62.64	17.67 W	0.00	395 of 788 - Sale <sup>25</sup>
	1.485	65.06	12/10/20	87.87	22.81 W	0.00	396 of 788 - Sale <sup>25</sup>
	6.000	262.79	12/10/20	359.50	96.71 W	0.00	397 of 788 - Sale <sup>25</sup>
	0.345	15.13	12/10/20	21.22	6.09 W	0.00	398 of 788 - Sale <sup>25</sup>
	0.485	21.26	12/10/20	29.86	8.60 W	0.00	399 of 788 - Sale <sup>25</sup>
	0.655	28.67	12/10/20	40.25	11.58 W	0.00	400 of 788 - Sale <sup>25</sup>
	1.000	43.80	12/10/20	61.41	17.61 W	0.00	401 of 788 - Sale <sup>25</sup>
	1.000	43.80	12/10/20	61.48	17.68 W	0.00	402 of 788 - Sale <sup>25</sup>
	1.515	66.33	12/10/20	93.13	26.80 W	0.00	403 of 788 - Sale <sup>25</sup>
	1.758	77.02	12/10/20	108.03	31.01 W	0.00	404 of 788 - Sale <sup>25</sup>
	1.998	87.49	12/10/20	122.03	34.54 W	0.00	405 of 788 - Sale <sup>25</sup>
	2.242	98.18	12/10/20	137.72	39.54 W	0.00	406 of 788 - Sale <sup>25</sup>
	2.517	110.24	12/10/20	154.77	44.53 W	0.00	407 of 788 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	3.000	131.40	12/10/20	184.43	53.03 W	0.00	408 of 788 - Sale <sup>25</sup>
	7.000	306.59	12/10/20	430.36	123.77 W	0.00	409 of 788 - Sale <sup>25</sup>
	0.729	31.95	12/10/20	43.77	11.82 W	0.00	410 of 788 - Sale <sup>25</sup>
	1.756	76.91	12/10/20	106.83	29.92 W	0.00	411 of 788 - Sale <sup>25</sup>
	2.698	118.17	12/10/20	167.56	49.39 W	0.00	412 of 788 - Sale <sup>25</sup>
	4.302	188.42	12/10/20	267.16	78.74 W	0.00	413 of 788 - Sale <sup>25</sup>
	8.000	350.39	12/10/20	496.81	146.42 W	0.00	414 of 788 - Sale <sup>25</sup>
	9.000	394.19	12/10/20	546.46	152.27 W	0.00	415 of 788 - Sale <sup>25</sup>
	0.111	4.86	12/10/20	6.57	1.71 W	0.00	416 of 788 - Sale <sup>25</sup>
	1.515	66.34	12/10/20	89.75	23.41 W	0.00	417 of 788 - Sale <sup>25</sup>
	2.374	104.00	12/10/20	139.82	35.82 W	0.00	418 of 788 - Sale <sup>25</sup>
	0.027	1.16	12/10/20	1.62	0.46 W	0.00	419 of 788 - Sale <sup>25</sup>
	0.271	11.78	12/10/20	16.46	4.68 W	0.00	420 of 788 - Sale <sup>25</sup>
	0.973	42.39	12/10/20	57.29	14.90 W	0.00	421 of 788 - Sale <sup>25</sup>
	1.000	43.55	12/10/20	60.10	16.55 W	0.00	422 of 788 - Sale <sup>25</sup>
	1.005	43.76	12/10/20	60.99	17.23 W	0.00	423 of 788 - Sale <sup>25</sup>
	1.244	54.17	12/10/20	74.70	20.53 W	0.00	424 of 788 - Sale <sup>25</sup>
	1.449	63.11	12/10/20	87.79	24.68 W	0.00	425 of 788 - Sale <sup>25</sup>
	1.485	64.69	12/10/20	87.34	22.65 W	0.00	426 of 788 - Sale <sup>25</sup>
	1.485	64.69	12/10/20	89.28	24.59 W	0.00	427 of 788 - Sale <sup>25</sup>
	1.698	73.94	12/10/20	102.40	28.46 W	0.00	428 of 788 - Sale <sup>25</sup>
	1.889	82.26	12/10/20	111.13	28.87 W	0.00	429 of 788 - Sale <sup>25</sup>
	2.862	124.65	12/10/20	173.52	48.87 W	0.00	430 of 788 - Sale <sup>25</sup>
	3.000	130.65	12/10/20	180.96	50.31 W	0.00	431 of 788 - Sale <sup>25</sup>
	4.169	181.56	12/10/20	252.52	70.96 W	0.00	432 of 788 - Sale <sup>25</sup>
	5.515	240.15	12/10/20	323.95	83.80 W	0.00	433 of 788 - Sale <sup>25</sup>
	7.302	318.00	12/10/20	440.43	122.43 W	0.00	434 of 788 - Sale <sup>25</sup>
	14.626	636.93	12/10/20	860.48	223.55 W	0.00	435 of 788 - Sale <sup>25</sup>
	0.515	22.41	12/10/20	31.16	8.75 W	0.00	436 of 788 - Sale <sup>25</sup>
	0.515	22.41	12/10/20	31.35	8.94 W	0.00	437 of 788 - Sale <sup>25</sup>
	0.867	37.76	12/10/20	52.78	15.02 W	0.00	438 of 788 - Sale <sup>25</sup>
	0.894	38.92	12/10/20	53.67	14.75 W	0.00	439 of 788 - Sale <sup>25</sup>
	1.111	48.38	12/10/20	67.26	18.88 W	0.00	440 of 788 - Sale <sup>25</sup>
	1.244	54.17	12/10/20	74.72	20.55 W	0.00	441 of 788 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.756	76.47	12/10/20	106.50	30.03 W	0.00	442 of 788 - Sale <sup>25</sup>
	1.756	76.47	12/10/20	105.45	28.98 W	0.00	443 of 788 - Sale <sup>25</sup>
	2.000	87.10	12/10/20	122.34	35.24 W	0.00	444 of 788 - Sale <sup>25</sup>
	2.382	103.72	12/10/20	143.06	39.34 W	0.00	445 of 788 - Sale <sup>25</sup>
	2.485	108.24	12/10/20	149.45	41.21 W	0.00	446 of 788 - Sale <sup>25</sup>
	2.618	114.03	12/10/20	158.58	44.55 W	0.00	447 of 788 - Sale <sup>25</sup>
	2.618	114.03	12/10/20	157.01	42.98 W	0.00	448 of 788 - Sale <sup>25</sup>
	2.623	114.24	12/10/20	157.72	43.48 W	0.00	449 of 788 - Sale <sup>25</sup>
	4.133	179.98	12/10/20	251.66	71.68 W	0.00	450 of 788 - Sale <sup>25</sup>
	4.271	185.98	12/10/20	256.78	70.80 W	0.00	451 of 788 - Sale <sup>25</sup>
	4.377	190.61	12/10/20	263.18	72.57 W	0.00	452 of 788 - Sale <sup>25</sup>
	4.729	205.96	12/10/20	286.47	80.51 W	0.00	453 of 788 - Sale <sup>25</sup>
	9.106	396.57	12/10/20	546.94	150.37 W	0.00	454 of 788 - Sale <sup>25</sup>
	1.000	43.55	12/10/20	61.87	18.32 W	0.00	455 of 788 - Sale <sup>25</sup>
	3.000	130.65	12/10/20	184.55	53.90 W	0.00	456 of 788 - Sale <sup>25</sup>
	3.000	130.65	12/10/20	187.86	57.21 W	0.00	457 of 788 - Sale <sup>25</sup>
	0.205	8.94	12/10/20	12.01	3.07 W	0.00	458 of 788 - Sale <sup>25</sup>
	0.483	21.04	12/10/20	28.20	7.16 W	0.00	459 of 788 - Sale <sup>25</sup>
	3.795	165.25	12/10/20	221.72	56.47 W	0.00	460 of 788 - Sale <sup>25</sup>
	0.517	22.51	12/10/20	28.30	5.79 W	0.00	461 of 788 - Sale <sup>25</sup>
	0.932	40.60	12/10/20	51.09	10.49 W	0.00	462 of 788 - Sale <sup>25</sup>
	1.000	43.55	12/10/20	54.81	11.26 W	0.00	463 of 788 - Sale <sup>25</sup>
	1.000	43.55	12/10/20	54.81	11.26 W	0.00	464 of 788 - Sale <sup>25</sup>
	1.244	54.17	12/10/20	68.12	13.95 W	0.00	465 of 788 - Sale <sup>25</sup>
	2.205	96.04	12/10/20	120.86	24.82 W	0.00	466 of 788 - Sale <sup>25</sup>
	4.862	211.75	12/10/20	266.49	54.74 W	0.00	467 of 788 - Sale <sup>25</sup>
	6.000	261.29	12/10/20	329.16	67.87 W	0.00	468 of 788 - Sale <sup>25</sup>
	1.000	43.55	12/10/20	53.57	10.02 W	0.00	469 of 788 - Sale <sup>25</sup>
	3.000	130.65	12/10/20	161.39	30.74 W	0.00	470 of 788 - Sale <sup>25</sup>
	3.000	130.65	12/10/20	161.41	30.76 W	0.00	471 of 788 - Sale <sup>25</sup>
	3.000	130.65	12/10/20	164.10	33.45 W	0.00	472 of 788 - Sale <sup>25</sup>
	4.000	174.20	12/10/20	218.78	44.58 W	0.00	473 of 788 - Sale <sup>25</sup>
	4.000	174.20	12/10/20	220.39	46.19 W	0.00	474 of 788 - Sale <sup>25</sup>
	5.756	250.67	12/10/20	308.80	58.13 W	0.00	475 of 788 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	6.000	261.29	12/10/20	331.51	70.22 W	0.00	476 of 788 - Sale <sup>25</sup>
	1.000	43.55	12/10/20	55.50	11.95 W	0.00	477 of 788 - Sale <sup>25</sup>
	1.000	43.55	12/10/20	55.44	11.89 W	0.00	478 of 788 - Sale <sup>25</sup>
	3.000	130.65	12/10/20	146.03	15.38 W	0.00	479 of 788 - Sale <sup>25</sup>
	5.000	217.74	12/10/20	243.18	25.44 W	0.00	480 of 788 - Sale <sup>25</sup>
	25.000	1,088.72	12/10/20	1,214.64	125.92 W	0.00	481 of 788 - Sale <sup>25</sup>
	2.000	87.10	12/10/20	96.07	8.97 W	0.00	482 of 788 - Sale <sup>25</sup>
	4.000	174.20	12/10/20	192.14	17.94 W	0.00	483 of 788 - Sale <sup>25</sup>
	0.031	1.37	12/10/20	1.78	0.41 W	0.00	484 of 788 - Sale <sup>25</sup>
	0.106	4.63	12/10/20	6.34	1.71 W	0.00	485 of 788 - Sale <sup>25</sup>
	0.242	10.52	12/10/20	14.48	3.96 W	0.00	486 of 788 - Sale <sup>25</sup>
	0.244	10.62	12/10/20	14.63	4.01 W	0.00	487 of 788 - Sale <sup>25</sup>
	0.454	19.77	12/10/20	27.10	7.33 W	0.00	488 of 788 - Sale <sup>25</sup>
	0.485	21.14	12/10/20	29.00	7.86 W	0.00	489 of 788 - Sale <sup>25</sup>
	0.969	42.18	12/10/20	54.01	11.83 W	0.00	490 of 788 - Sale <sup>25</sup>
	1.515	65.96	12/10/20	90.81	24.85 W	0.00	491 of 788 - Sale <sup>25</sup>
	1.546	67.32	12/10/20	92.24	24.92 W	0.00	492 of 788 - Sale <sup>25</sup>
	2.515	109.50	12/10/20	158.66	49.16 W	0.00	493 of 788 - Sale <sup>25</sup>
	3.000	130.65	12/10/20	175.18	44.53 W	0.00	494 of 788 - Sale <sup>25</sup>
	3.969	172.83	12/10/20	236.75	63.92 W	0.00	495 of 788 - Sale <sup>25</sup>
	4.515	196.60	12/10/20	268.66	72.06 W	0.00	496 of 788 - Sale <sup>25</sup>
	4.894	213.12	12/10/20	292.19	79.07 W	0.00	497 of 788 - Sale <sup>25</sup>
	7.592	330.61	12/10/20	479.02	148.41 W	0.00	498 of 788 - Sale <sup>25</sup>
	0.106	4.63	12/10/20	6.25	1.62 W	0.00	499 of 788 - Sale <sup>25</sup>
	0.350	15.25	12/10/20	21.50	6.25 W	0.00	500 of 788 - Sale <sup>25</sup>
	0.650	28.30	12/10/20	40.06	11.76 W	0.00	501 of 788 - Sale <sup>25</sup>
	0.788	34.29	12/10/20	48.18	13.89 W	0.00	502 of 788 - Sale <sup>25</sup>
	0.894	38.92	12/10/20	56.46	17.54 W	0.00	503 of 788 - Sale <sup>25</sup>
	1.000	43.55	12/10/20	62.04	18.49 W	0.00	504 of 788 - Sale <sup>25</sup>
	2.106	91.72	12/10/20	131.16	39.44 W	0.00	505 of 788 - Sale <sup>25</sup>
	3.000	130.65	12/10/20	176.73	46.08 W	0.00	506 of 788 - Sale <sup>25</sup>
	3.031	132.02	12/10/20	178.61	46.59 W	0.00	507 of 788 - Sale <sup>25</sup>
	5.000	217.74	12/10/20	311.35	93.61 W	0.00	508 of 788 - Sale <sup>25</sup>
	9.000	391.94	12/10/20	568.21	176.27 W	0.00	509 of 788 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.758	33.03	12/10/20	46.86	13.83 W	0.00	510 of 788 - Sale <sup>25</sup>
	0.792	34.50	12/10/20	48.84	14.34 W	0.00	511 of 788 - Sale <sup>25</sup>
	0.894	38.92	12/10/20	55.12	16.20 W	0.00	512 of 788 - Sale <sup>25</sup>
	1.000	43.55	12/10/20	61.63	18.08 W	0.00	513 of 788 - Sale <sup>25</sup>
	1.000	43.55	12/10/20	61.64	18.09 W	0.00	514 of 788 - Sale <sup>25</sup>
	1.208	52.59	12/10/20	74.76	22.17 W	0.00	515 of 788 - Sale <sup>25</sup>
	2.000	87.10	12/10/20	123.36	36.26 W	0.00	516 of 788 - Sale <sup>25</sup>
	2.000	87.10	12/10/20	123.26	36.16 W	0.00	517 of 788 - Sale <sup>25</sup>
	2.000	87.10	12/10/20	123.25	36.15 W	0.00	518 of 788 - Sale <sup>25</sup>
	3.242	141.17	12/10/20	200.19	59.02 W	0.00	519 of 788 - Sale <sup>25</sup>
	4.000	174.20	12/10/20	245.93	71.73 W	0.00	520 of 788 - Sale <sup>25</sup>
	5.106	222.37	12/10/20	314.98	92.61 W	0.00	521 of 788 - Sale <sup>25</sup>
	8.000	348.39	12/10/20	491.91	143.52 W	0.00	522 of 788 - Sale <sup>25</sup>
	10.000	435.49	12/10/20	614.67	179.18 W	0.00	523 of 788 - Sale <sup>25</sup>
	8.000	348.39	12/10/20	411.07	62.68 W	0.00	524 of 788 - Sale <sup>25</sup>
	9.000	391.94	12/10/20	473.03	81.09 W	0.00	525 of 788 - Sale <sup>25</sup>
	12.000	522.58	12/10/20	616.48	93.90 W	0.00	526 of 788 - Sale <sup>25</sup>
	6.000	261.29	12/10/20	284.08	22.79 W	0.00	527 of 788 - Sale <sup>25</sup>
	1.000	43.55	12/10/20	48.02	4.47 W	0.00	528 of 788 - Sale <sup>25</sup>
	1.000	43.55	12/10/20	48.61	5.06 W	0.00	529 of 788 - Sale <sup>25</sup>
	1.000	43.55	12/10/20	48.61	5.06 W	0.00	530 of 788 - Sale <sup>25</sup>
	1.000	43.55	12/10/20	48.66	5.11 W	0.00	531 of 788 - Sale <sup>25</sup>
	2.000	87.10	12/10/20	96.11	9.01 W	0.00	532 of 788 - Sale <sup>25</sup>
	2.000	87.10	12/10/20	91.72	4.62 W	0.00	533 of 788 - Sale <sup>25</sup>
	3.000	130.65	12/10/20	143.87	13.22 W	0.00	534 of 788 - Sale <sup>25</sup>
	5.000	217.74	12/10/20	238.31	20.57 W	0.00	535 of 788 - Sale <sup>25</sup>
	6.000	261.29	12/10/20	287.76	26.47 W	0.00	536 of 788 - Sale <sup>25</sup>
	8.000	348.39	12/10/20	382.88	34.49 W	0.00	537 of 788 - Sale <sup>25</sup>
	3.000	130.65	12/10/20	145.49	14.84 W	0.00	538 of 788 - Sale <sup>25</sup>
	6.000	261.29	12/10/20	288.30	27.01 W	0.00	539 of 788 - Sale <sup>25</sup>
	9.000	391.94	12/10/20	436.14	44.20 W	0.00	540 of 788 - Sale <sup>25</sup>
	1.000	43.55	12/10/20	47.46	3.91 W	0.00	541 of 788 - Sale <sup>25</sup>
	7.000	304.84	12/10/20	339.22	34.38 W	0.00	542 of 788 - Sale <sup>25</sup>
	9.000	391.93	12/10/20	432.99	41.06 W	0.00	543 of 788 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.242	10.40	12/10/20	14.03	3.63 W	0.00	544 of 788 - Sale <sup>25</sup>
	0.138	5.93	12/10/20	8.13	2.20 W	0.00	545 of 788 - Sale <sup>25</sup>
	0.379	16.33	12/10/20	22.31	5.98 W	0.00	546 of 788 - Sale <sup>25</sup>
	3.242	139.54	12/10/20	198.70	59.16 W	0.00	547 of 788 - Sale <sup>25</sup>
	0.316	13.62	12/10/20	21.46	7.84 W	0.00	548 of 788 - Sale <sup>25</sup>
	1.138	48.98	12/10/20	77.12	28.14 W	0.00	549 of 788 - Sale <sup>25</sup>
	3.169	136.43	12/10/20	211.96	75.53 W	0.00	550 of 788 - Sale <sup>25</sup>
	5.831	251.01	12/10/20	390.05	139.04 W	0.00	551 of 788 - Sale <sup>25</sup>
	7.000	301.34	12/10/20	443.33	141.99 W	0.00	552 of 788 - Sale <sup>25</sup>
	0.092	3.96	12/10/20	5.65	1.69 W	0.00	553 of 788 - Sale <sup>25</sup>
	0.454	19.55	12/10/20	27.98	8.43 W	0.00	554 of 788 - Sale <sup>25</sup>
	0.546	23.50	12/10/20	33.76	10.26 W	0.00	555 of 788 - Sale <sup>25</sup>
	0.546	23.50	12/10/20	33.51	10.01 W	0.00	556 of 788 - Sale <sup>25</sup>
	1.046	45.02	12/10/20	64.34	19.32 W	0.00	557 of 788 - Sale <sup>25</sup>
	1.408	60.62	12/10/20	86.43	25.81 W	0.00	558 of 788 - Sale <sup>25</sup>
	2.000	86.10	12/10/20	123.17	37.07 W	0.00	559 of 788 - Sale <sup>25</sup>
	2.000	86.10	12/10/20	123.18	37.08 W	0.00	560 of 788 - Sale <sup>25</sup>
	3.454	148.69	12/10/20	212.73	64.04 W	0.00	561 of 788 - Sale <sup>25</sup>
	2.000	86.10	12/10/20	94.35	8.25 W	0.00	562 of 788 - Sale <sup>25</sup>
	10.000	430.49	12/10/20	473.39	42.90 W	0.00	563 of 788 - Sale <sup>25</sup>
	16.000	688.78	12/10/20	756.62	67.84 W	0.00	564 of 788 - Sale <sup>25</sup>
	1.316	56.67	12/10/20	80.81	24.14 W	0.00	565 of 788 - Sale <sup>25</sup>
	1.454	62.59	12/10/20	89.23	26.64 W	0.00	566 of 788 - Sale <sup>25</sup>
	3.230	139.03	12/10/20	198.32	59.29 W	0.00	567 of 788 - Sale <sup>25</sup>
	1.000	43.05	12/10/20	47.17	4.12 W	0.00	568 of 788 - Sale <sup>25</sup>
	3.000	129.15	12/10/20	141.37	12.22 W	0.00	569 of 788 - Sale <sup>25</sup>
	6.000	258.29	12/10/20	283.36	25.07 W	0.00	570 of 788 - Sale <sup>25</sup>
	23.000	990.12	12/10/20	1,102.49	112.37 W	0.00	571 of 788 - Sale <sup>25</sup>
	0.077	3.32	12/10/20	4.77	1.45 W	0.00	572 of 788 - Sale <sup>25</sup>
	1.092	47.01	12/10/20	68.04	21.03 W	0.00	573 of 788 - Sale <sup>25</sup>
	3.377	145.37	12/10/20	209.23	63.86 W	0.00	574 of 788 - Sale <sup>25</sup>
	4.077	175.52	12/10/20	254.04	78.52 W	0.00	575 of 788 - Sale <sup>25</sup>
	4.831	207.96	12/10/20	301.03	93.07 W	0.00	576 of 788 - Sale <sup>25</sup>
	5.000	215.24	12/10/20	311.58	96.34 W	0.00	577 of 788 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	6.546	281.80	12/10/20	410.13	128.33 W	0.00	578 of 788 - Sale <sup>25</sup>
	8.000	344.39	12/10/20	490.86	146.47 W	0.00	579 of 788 - Sale <sup>25</sup>
	0.092	3.96	12/10/20	6.03	2.07 W	0.00	580 of 788 - Sale <sup>25</sup>
	0.454	19.55	12/10/20	29.02	9.47 W	0.00	581 of 788 - Sale <sup>25</sup>
	0.454	19.55	12/10/20	29.01	9.46 W	0.00	582 of 788 - Sale <sup>25</sup>
	0.546	23.50	12/10/20	35.65	12.15 W	0.00	583 of 788 - Sale <sup>25</sup>
	1.000	43.05	12/10/20	63.89	20.84 W	0.00	584 of 788 - Sale <sup>25</sup>
	1.174	50.53	12/10/20	76.01	25.48 W	0.00	585 of 788 - Sale <sup>25</sup>
	1.918	82.57	12/10/20	124.19	41.62 W	0.00	586 of 788 - Sale <sup>25</sup>
	3.454	148.69	12/10/20	225.69	77.00 W	0.00	587 of 788 - Sale <sup>25</sup>
	3.908	168.24	12/10/20	246.05	77.81 W	0.00	588 of 788 - Sale <sup>25</sup>
	6.000	258.29	12/10/20	384.02	125.73 W	0.00	589 of 788 - Sale <sup>25</sup>
	2.000	86.10	12/10/20	93.63	7.53 W	0.00	590 of 788 - Sale <sup>25</sup>
	4.000	172.20	12/10/20	187.28	15.08 W	0.00	591 of 788 - Sale <sup>25</sup>
	13.000	559.64	12/10/20	608.69	49.05 W	0.00	592 of 788 - Sale <sup>25</sup>
	1.000	43.05	12/10/20	47.88	4.83 W	0.00	593 of 788 - Sale <sup>25</sup>
	1.000	43.05	12/10/20	47.74	4.69 W	0.00	594 of 788 - Sale <sup>25</sup>
	1.000	43.05	12/10/20	47.64	4.59 W	0.00	595 of 788 - Sale <sup>25</sup>
	4.000	172.20	12/10/20	190.97	18.77 W	0.00	596 of 788 - Sale <sup>25</sup>
	6.000	258.29	12/10/20	286.71	28.42 W	0.00	597 of 788 - Sale <sup>25</sup>
	16.000	688.78	12/10/20	765.35	76.57 W	0.00	598 of 788 - Sale <sup>25</sup>
	0.063	2.71	12/10/20	4.06	1.35 W	0.00	599 of 788 - Sale <sup>25</sup>
	0.766	32.96	12/10/20	49.18	16.22 W	0.00	600 of 788 - Sale <sup>25</sup>
	1.000	43.05	12/10/20	63.93	20.88 W	0.00	601 of 788 - Sale <sup>25</sup>
	1.000	43.05	12/10/20	63.94	20.89 W	0.00	602 of 788 - Sale <sup>25</sup>
	1.000	43.05	12/10/20	63.84	20.79 W	0.00	603 of 788 - Sale <sup>25</sup>
	1.000	43.05	12/10/20	63.83	20.78 W	0.00	604 of 788 - Sale <sup>25</sup>
	1.000	43.05	12/10/20	63.83	20.78 W	0.00	605 of 788 - Sale <sup>25</sup>
	2.234	96.19	12/10/20	143.53	47.34 W	0.00	606 of 788 - Sale <sup>25</sup>
	2.770	119.26	12/10/20	179.11	59.85 W	0.00	607 of 788 - Sale <sup>25</sup>
	3.000	129.15	12/10/20	192.08	62.93 W	0.00	608 of 788 - Sale <sup>25</sup>
	3.729	160.55	12/10/20	239.58	79.03 W	0.00	609 of 788 - Sale <sup>25</sup>
	5.000	215.24	12/10/20	321.67	106.43 W	0.00	610 of 788 - Sale <sup>25</sup>
	5.000	215.24	12/10/20	319.19	103.95 W	0.00	611 of 788 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	5.249	225.95	12/10/20	338.88	112.93 W	0.00	612 of 788 - Sale <sup>25</sup>
	5.817	250.40	12/10/20	374.33	123.93 W	0.00	613 of 788 - Sale <sup>25</sup>
	6.000	258.29	12/10/20	386.91	128.62 W	0.00	614 of 788 - Sale <sup>25</sup>
	7.372	317.36	12/10/20	476.10	158.74 W	0.00	615 of 788 - Sale <sup>25</sup>
	3.000	129.15	12/10/20	142.63	13.48 W	0.00	616 of 788 - Sale <sup>25</sup>
	6.000	258.29	12/10/20	285.57	27.28 W	0.00	617 of 788 - Sale <sup>25</sup>
	14.000	602.68	12/10/20	666.33	63.65 W	0.00	618 of 788 - Sale <sup>25</sup>
	0.111	4.78	12/10/20	7.17	2.39 W	0.00	619 of 788 - Sale <sup>25</sup>
	0.297	12.80	12/10/20	19.34	6.54 W	0.00	620 of 788 - Sale <sup>25</sup>
	0.435	18.72	12/10/20	28.45	9.73 W	0.00	621 of 788 - Sale <sup>25</sup>
	0.454	19.55	12/10/20	29.60	10.05 W	0.00	622 of 788 - Sale <sup>25</sup>
	0.546	23.50	12/10/20	35.58	12.08 W	0.00	623 of 788 - Sale <sup>25</sup>
	0.688	29.64	12/10/20	44.44	14.80 W	0.00	624 of 788 - Sale <sup>25</sup>
	0.720	30.99	12/10/20	46.42	15.43 W	0.00	625 of 788 - Sale <sup>25</sup>
	0.995	42.84	12/10/20	64.87	22.03 W	0.00	626 of 788 - Sale <sup>25</sup>
	1.000	43.05	12/10/20	65.32	22.27 W	0.00	627 of 788 - Sale <sup>25</sup>
	1.000	43.05	12/10/20	65.23	22.18 W	0.00	628 of 788 - Sale <sup>25</sup>
	1.000	43.05	12/10/20	60.29	17.24 W	0.00	629 of 788 - Sale <sup>25</sup>
	1.454	62.59	12/10/20	94.85	32.26 W	0.00	630 of 788 - Sale <sup>25</sup>
	1.889	81.32	12/10/20	113.66	32.34 W	0.00	631 of 788 - Sale <sup>25</sup>
	2.000	86.10	12/10/20	120.49	34.39 W	0.00	632 of 788 - Sale <sup>25</sup>
	2.111	90.88	12/10/20	137.59	46.71 W	0.00	633 of 788 - Sale <sup>25</sup>
	3.000	129.15	12/10/20	196.11	66.96 W	0.00	634 of 788 - Sale <sup>25</sup>
	3.111	133.93	12/10/20	189.25	55.32 W	0.00	635 of 788 - Sale <sup>25</sup>
	3.604	155.15	12/10/20	232.64	77.49 W	0.00	636 of 788 - Sale <sup>25</sup>
	3.831	164.92	12/10/20	228.49	63.57 W	0.00	637 of 788 - Sale <sup>25</sup>
	4.000	172.20	12/10/20	261.19	88.99 W	0.00	638 of 788 - Sale <sup>25</sup>
	4.111	176.97	12/10/20	267.88	90.91 W	0.00	639 of 788 - Sale <sup>25</sup>
	4.908	211.29	12/10/20	316.85	105.56 W	0.00	640 of 788 - Sale <sup>25</sup>
	5.138	221.17	12/10/20	331.64	110.47 W	0.00	641 of 788 - Sale <sup>25</sup>
	5.459	235.00	12/10/20	348.16	113.16 W	0.00	642 of 788 - Sale <sup>25</sup>
	9.703	417.69	12/10/20	631.39	213.70 W	0.00	643 of 788 - Sale <sup>25</sup>
	14.435	621.41	12/10/20	871.02	249.61 W	0.00	644 of 788 - Sale <sup>25</sup>
	2.000	86.10	12/10/20	93.14	7.04 W	0.00	645 of 788 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.000	43.05	12/10/20	47.72	4.67 W	0.00	646 of 788 - Sale <sup>25</sup>
	6.000	258.29	12/10/20	287.37	29.08 W	0.00	647 of 788 - Sale <sup>25</sup>
	7.000	301.34	12/10/20	331.06	29.72 W	0.00	648 of 788 - Sale <sup>25</sup>
	8.000	344.39	12/10/20	378.35	33.96 W	0.00	649 of 788 - Sale <sup>25</sup>
	0.111	4.78	12/10/20	6.67	1.89 W	0.00	650 of 788 - Sale <sup>25</sup>
	2.111	90.88	12/10/20	126.96	36.08 W	0.00	651 of 788 - Sale <sup>25</sup>
	5.889	253.51	12/10/20	354.73	101.22 W	0.00	652 of 788 - Sale <sup>25</sup>
	0.271	11.65	12/10/20	16.80	5.15 W	0.00	653 of 788 - Sale <sup>25</sup>
	0.302	13.00	12/10/20	18.78	5.78 W	0.00	654 of 788 - Sale <sup>25</sup>
	0.729	31.40	12/10/20	45.27	13.87 W	0.00	655 of 788 - Sale <sup>25</sup>
	1.000	43.05	12/10/20	61.30	18.25 W	0.00	656 of 788 - Sale <sup>25</sup>
	1.000	43.05	12/10/20	62.19	19.14 W	0.00	657 of 788 - Sale <sup>25</sup>
	1.000	43.05	12/10/20	62.42	19.37 W	0.00	658 of 788 - Sale <sup>25</sup>
	1.000	43.05	12/10/20	61.86	18.81 W	0.00	659 of 788 - Sale <sup>25</sup>
	1.889	81.32	12/10/20	115.78	34.46 W	0.00	660 of 788 - Sale <sup>25</sup>
	3.000	129.15	12/10/20	187.24	58.09 W	0.00	661 of 788 - Sale <sup>25</sup>
	3.698	159.19	12/10/20	229.87	70.68 W	0.00	662 of 788 - Sale <sup>25</sup>
	5.000	215.24	12/10/20	309.24	94.00 W	0.00	663 of 788 - Sale <sup>25</sup>
	5.000	215.24	12/10/20	309.32	94.08 W	0.00	664 of 788 - Sale <sup>25</sup>
	1.000	43.05	12/10/20	62.65	19.60 W	0.00	665 of 788 - Sale <sup>25</sup>
	1.515	65.20	12/10/20	94.67	29.47 W	0.00	666 of 788 - Sale <sup>25</sup>
	3.000	129.15	12/10/20	187.49	58.34 W	0.00	667 of 788 - Sale <sup>25</sup>
	0.345	14.87	12/10/20	21.05	6.18 W	0.00	668 of 788 - Sale <sup>25</sup>
	0.485	20.90	12/10/20	29.62	8.72 W	0.00	669 of 788 - Sale <sup>25</sup>
	0.655	28.18	12/10/20	39.92	11.74 W	0.00	670 of 788 - Sale <sup>25</sup>
	1.000	43.05	12/10/20	60.91	17.86 W	0.00	671 of 788 - Sale <sup>25</sup>
	1.000	43.05	12/10/20	60.98	17.93 W	0.00	672 of 788 - Sale <sup>25</sup>
	1.485	63.95	12/10/20	91.55	27.60 W	0.00	673 of 788 - Sale <sup>25</sup>
	1.515	65.20	12/10/20	92.38	27.18 W	0.00	674 of 788 - Sale <sup>25</sup>
	1.758	75.70	12/10/20	107.15	31.45 W	0.00	675 of 788 - Sale <sup>25</sup>
	1.998	85.99	12/10/20	121.04	35.05 W	0.00	676 of 788 - Sale <sup>25</sup>
	2.242	96.50	12/10/20	136.60	40.10 W	0.00	677 of 788 - Sale <sup>25</sup>
	2.517	108.35	12/10/20	153.51	45.16 W	0.00	678 of 788 - Sale <sup>25</sup>
	3.000	129.15	12/10/20	182.93	53.78 W	0.00	679 of 788 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	6.000	258.29	12/10/20	370.13	111.84 W	0.00	680 of 788 - Sale <sup>25</sup>
	6.000	258.29	12/10/20	369.77	111.48 W	0.00	681 of 788 - Sale <sup>25</sup>
	7.000	301.34	12/10/20	426.87	125.53 W	0.00	682 of 788 - Sale <sup>25</sup>
	0.788	33.90	12/10/20	48.51	14.61 W	0.00	683 of 788 - Sale <sup>25</sup>
	2.698	116.14	12/10/20	166.21	50.07 W	0.00	684 of 788 - Sale <sup>25</sup>
	1.000	43.05	12/10/20	47.26	4.21 W	0.00	685 of 788 - Sale <sup>25</sup>
	1.000	43.05	12/10/20	47.21	4.16 W	0.00	686 of 788 - Sale <sup>25</sup>
	20.000	860.97	12/10/20	932.46	71.49 W	0.00	687 of 788 - Sale <sup>25</sup>
	0.729	31.40	12/10/20	43.29	11.89 W	0.00	688 of 788 - Sale <sup>25</sup>
	1.756	75.60	12/10/20	105.69	30.09 W	0.00	689 of 788 - Sale <sup>25</sup>
	2.000	86.10	12/10/20	120.14	34.04 W	0.00	690 of 788 - Sale <sup>25</sup>
	3.515	151.29	12/10/20	215.98	64.69 W	0.00	691 of 788 - Sale <sup>25</sup>
	8.000	344.39	12/10/20	491.62	147.23 W	0.00	692 of 788 - Sale <sup>25</sup>
	9.000	387.43	12/10/20	540.62	153.19 W	0.00	693 of 788 - Sale <sup>25</sup>
	0.485	20.90	12/10/20	28.44	7.54 W	0.00	694 of 788 - Sale <sup>25</sup>
	0.515	22.15	12/10/20	30.09	7.94 W	0.00	695 of 788 - Sale <sup>25</sup>
	1.000	43.05	12/10/20	58.59	15.54 W	0.00	696 of 788 - Sale <sup>25</sup>
	0.515	22.15	12/10/20	30.91	8.76 W	0.00	697 of 788 - Sale <sup>25</sup>
	0.027	1.15	12/10/20	1.61	0.46 W	0.00	698 of 788 - Sale <sup>25</sup>
	0.111	4.78	12/10/20	6.50	1.72 W	0.00	699 of 788 - Sale <sup>25</sup>
	0.973	41.90	12/10/20	58.74	16.84 W	0.00	700 of 788 - Sale <sup>25</sup>
	0.973	41.90	12/10/20	56.90	15.00 W	0.00	701 of 788 - Sale <sup>25</sup>
	1.000	43.05	12/10/20	60.37	17.32 W	0.00	702 of 788 - Sale <sup>25</sup>
	1.027	44.20	12/10/20	61.97	17.77 W	0.00	703 of 788 - Sale <sup>25</sup>
	1.485	63.95	12/10/20	86.91	22.96 W	0.00	704 of 788 - Sale <sup>25</sup>
	1.485	63.95	12/10/20	86.75	22.80 W	0.00	705 of 788 - Sale <sup>25</sup>
	1.515	65.20	12/10/20	88.76	23.56 W	0.00	706 of 788 - Sale <sup>25</sup>
	1.698	73.10	12/10/20	101.73	28.63 W	0.00	707 of 788 - Sale <sup>25</sup>
	1.889	81.32	12/10/20	110.38	29.06 W	0.00	708 of 788 - Sale <sup>25</sup>
	2.374	102.22	12/10/20	138.28	36.06 W	0.00	709 of 788 - Sale <sup>25</sup>
	3.000	129.15	12/10/20	179.76	50.61 W	0.00	710 of 788 - Sale <sup>25</sup>
	3.788	163.05	12/10/20	226.93	63.88 W	0.00	711 of 788 - Sale <sup>25</sup>
	5.515	237.39	12/10/20	321.75	84.36 W	0.00	712 of 788 - Sale <sup>25</sup>
	6.000	258.29	12/10/20	355.61	97.32 W	0.00	713 of 788 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	14.626	629.61	12/10/20	854.65	225.04 W	0.00	714 of 788 - Sale <sup>25</sup>
	0.271	11.65	12/10/20	16.38	4.73 W	0.00	715 of 788 - Sale <sup>25</sup>
	1.000	43.05	12/10/20	59.78	16.73 W	0.00	716 of 788 - Sale <sup>25</sup>
	1.005	43.25	12/10/20	60.66	17.41 W	0.00	717 of 788 - Sale <sup>25</sup>
	1.244	53.55	12/10/20	74.31	20.76 W	0.00	718 of 788 - Sale <sup>25</sup>
	1.481	63.74	12/10/20	89.29	25.55 W	0.00	719 of 788 - Sale <sup>25</sup>
	1.485	63.95	12/10/20	88.81	24.86 W	0.00	720 of 788 - Sale <sup>25</sup>
	3.515	151.30	12/10/20	210.86	59.56 W	0.00	721 of 788 - Sale <sup>25</sup>
	0.515	22.15	12/10/20	30.98	8.83 W	0.00	722 of 788 - Sale <sup>25</sup>
	0.515	22.15	12/10/20	31.17	9.02 W	0.00	723 of 788 - Sale <sup>25</sup>
	0.867	37.33	12/10/20	52.48	15.15 W	0.00	724 of 788 - Sale <sup>25</sup>
	1.000	43.05	12/10/20	59.72	16.67 W	0.00	725 of 788 - Sale <sup>25</sup>
	1.111	47.83	12/10/20	66.88	19.05 W	0.00	726 of 788 - Sale <sup>25</sup>
	1.382	59.48	12/10/20	83.28	23.80 W	0.00	727 of 788 - Sale <sup>25</sup>
	1.449	62.39	12/10/20	87.29	24.90 W	0.00	728 of 788 - Sale <sup>25</sup>
	1.756	75.60	12/10/20	105.89	30.29 W	0.00	729 of 788 - Sale <sup>25</sup>
	2.000	86.10	12/10/20	121.64	35.54 W	0.00	730 of 788 - Sale <sup>25</sup>
	2.485	107.00	12/10/20	148.57	41.57 W	0.00	731 of 788 - Sale <sup>25</sup>
	2.618	112.72	12/10/20	157.66	44.94 W	0.00	732 of 788 - Sale <sup>25</sup>
	2.623	112.92	12/10/20	156.80	43.88 W	0.00	733 of 788 - Sale <sup>25</sup>
	4.133	177.92	12/10/20	250.22	72.30 W	0.00	734 of 788 - Sale <sup>25</sup>
	4.169	179.48	12/10/20	251.07	71.59 W	0.00	735 of 788 - Sale <sup>25</sup>
	4.271	183.84	12/10/20	255.29	71.45 W	0.00	736 of 788 - Sale <sup>25</sup>
	4.377	188.42	12/10/20	261.65	73.23 W	0.00	737 of 788 - Sale <sup>25</sup>
	4.729	203.60	12/10/20	284.82	81.22 W	0.00	738 of 788 - Sale <sup>25</sup>
	1.244	53.55	12/10/20	74.41	20.86 W	0.00	739 of 788 - Sale <sup>25</sup>
	1.382	59.48	12/10/20	82.64	23.16 W	0.00	740 of 788 - Sale <sup>25</sup>
	1.756	75.60	12/10/20	105.02	29.42 W	0.00	741 of 788 - Sale <sup>25</sup>
	2.618	112.72	12/10/20	156.36	43.64 W	0.00	742 of 788 - Sale <sup>25</sup>
	8.000	344.39	12/10/20	478.50	134.11 W	0.00	743 of 788 - Sale <sup>25</sup>
	0.031	1.35	12/10/20	1.77	0.42 W	0.00	744 of 788 - Sale <sup>25</sup>
	0.106	4.57	12/10/20	6.31	1.74 W	0.00	745 of 788 - Sale <sup>25</sup>
	0.242	10.40	12/10/20	14.41	4.01 W	0.00	746 of 788 - Sale <sup>25</sup>
	0.244	10.50	12/10/20	14.56	4.06 W	0.00	747 of 788 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.454	19.55	12/10/20	26.97	7.42 W	0.00	748 of 788 - Sale <sup>25</sup>
	0.485	20.90	12/10/20	28.86	7.96 W	0.00	749 of 788 - Sale <sup>25</sup>
	0.894	38.47	12/10/20	53.40	14.93 W	0.00	750 of 788 - Sale <sup>25</sup>
	0.969	41.70	12/10/20	53.72	12.02 W	0.00	751 of 788 - Sale <sup>25</sup>
	1.106	47.62	12/10/20	66.12	18.50 W	0.00	752 of 788 - Sale <sup>25</sup>
	1.515	65.20	12/10/20	90.35	25.15 W	0.00	753 of 788 - Sale <sup>25</sup>
	1.546	66.55	12/10/20	91.78	25.23 W	0.00	754 of 788 - Sale <sup>25</sup>
	2.515	108.25	12/10/20	157.91	49.66 W	0.00	755 of 788 - Sale <sup>25</sup>
	3.000	129.15	12/10/20	174.28	45.13 W	0.00	756 of 788 - Sale <sup>25</sup>
	3.969	170.84	12/10/20	235.56	64.72 W	0.00	757 of 788 - Sale <sup>25</sup>
	4.515	194.34	12/10/20	267.31	72.97 W	0.00	758 of 788 - Sale <sup>25</sup>
	4.894	210.67	12/10/20	290.72	80.05 W	0.00	759 of 788 - Sale <sup>25</sup>
	7.592	326.81	12/10/20	476.75	149.94 W	0.00	760 of 788 - Sale <sup>25</sup>
	0.106	4.57	12/10/20	6.22	1.65 W	0.00	761 of 788 - Sale <sup>25</sup>
	0.350	15.07	12/10/20	21.40	6.33 W	0.00	762 of 788 - Sale <sup>25</sup>
	0.650	27.97	12/10/20	39.86	11.89 W	0.00	763 of 788 - Sale <sup>25</sup>
	0.788	33.90	12/10/20	47.95	14.05 W	0.00	764 of 788 - Sale <sup>25</sup>
	1.000	43.05	12/10/20	61.74	18.69 W	0.00	765 of 788 - Sale <sup>25</sup>
	2.106	90.67	12/10/20	130.54	39.87 W	0.00	766 of 788 - Sale <sup>25</sup>
	3.000	129.15	12/10/20	175.83	46.68 W	0.00	767 of 788 - Sale <sup>25</sup>
	3.031	130.50	12/10/20	177.70	47.20 W	0.00	768 of 788 - Sale <sup>25</sup>
	4.894	210.67	12/10/20	303.27	92.60 W	0.00	769 of 788 - Sale <sup>25</sup>
	0.106	4.57	12/10/20	6.58	2.01 W	0.00	770 of 788 - Sale <sup>25</sup>
	0.894	38.47	12/10/20	56.15	17.68 W	0.00	771 of 788 - Sale <sup>25</sup>
	9.000	387.44	12/10/20	565.07	177.63 W	0.00	772 of 788 - Sale <sup>25</sup>
	0.758	32.65	12/10/20	46.60	13.95 W	0.00	773 of 788 - Sale <sup>25</sup>
	0.792	34.11	12/10/20	48.57	14.46 W	0.00	774 of 788 - Sale <sup>25</sup>
	0.894	38.47	12/10/20	54.81	16.34 W	0.00	775 of 788 - Sale <sup>25</sup>
	1.000	43.05	12/10/20	61.28	18.23 W	0.00	776 of 788 - Sale <sup>25</sup>
	1.000	43.05	12/10/20	61.29	18.24 W	0.00	777 of 788 - Sale <sup>25</sup>
	1.208	51.99	12/10/20	74.34	22.35 W	0.00	778 of 788 - Sale <sup>25</sup>
	2.000	86.10	12/10/20	122.66	36.56 W	0.00	779 of 788 - Sale <sup>25</sup>
	2.000	86.10	12/10/20	122.56	36.46 W	0.00	780 of 788 - Sale <sup>25</sup>
	2.000	86.10	12/10/20	122.55	36.45 W	0.00	781 of 788 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	3.242	139.54	12/10/20	199.05	59.51 W	0.00	782 of 788 - Sale <sup>25</sup>
	4.000	172.19	12/10/20	244.53	72.34 W	0.00	783 of 788 - Sale <sup>25</sup>
	5.106	219.82	12/10/20	313.20	93.38 W	0.00	784 of 788 - Sale <sup>25</sup>
	8.000	344.39	12/10/20	489.12	144.73 W	0.00	785 of 788 - Sale <sup>25</sup>
	8.000	344.39	12/10/20	488.94	144.55 W	0.00	786 of 788 - Sale <sup>25</sup>
	2.000	86.09	12/10/20	122.24	36.15 W	0.00	787 of 788 - Sale <sup>25</sup>
	2.000	86.10	12/10/20	122.33	36.23 W	0.00	788 of 788 - Sale <sup>25</sup>
12/10/20	2,533.000	110,488.80	Various	144,865.81	34,377.01 W	0.00	Total of 788 transactions
	146 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	1.000	42.00	12/10/20	60.92	18.92 W	0.00	1 of 146 - Sale <sup>25</sup>
	1.000	42.00	12/10/20	61.27	19.27 W	0.00	2 of 146 - Sale <sup>25</sup>
	3.000	126.00	12/10/20	186.06	60.06 W	0.00	3 of 146 - Sale <sup>25</sup>
	0.205	8.62	12/10/20	11.89	3.27 W	0.00	4 of 146 - Sale <sup>25</sup>
	0.483	20.29	12/10/20	27.91	7.62 W	0.00	5 of 146 - Sale <sup>25</sup>
	3.795	159.37	12/10/20	219.45	60.08 W	0.00	6 of 146 - Sale <sup>25</sup>
	0.517	21.71	12/10/20	27.99	6.28 W	0.00	7 of 146 - Sale <sup>25</sup>
	0.932	39.16	12/10/20	50.53	11.37 W	0.00	8 of 146 - Sale <sup>25</sup>
	1.000	42.00	12/10/20	54.21	12.21 W	0.00	9 of 146 - Sale <sup>25</sup>
	1.000	42.00	12/10/20	54.21	12.21 W	0.00	10 of 146 - Sale <sup>25</sup>
	1.068	44.84	12/10/20	57.88	13.04 W	0.00	11 of 146 - Sale <sup>25</sup>
	6.000	251.99	12/10/20	325.57	73.58 W	0.00	12 of 146 - Sale <sup>25</sup>
	1.244	52.24	12/10/20	67.38	15.14 W	0.00	13 of 146 - Sale <sup>25</sup>
	2.205	92.62	12/10/20	119.54	26.92 W	0.00	14 of 146 - Sale <sup>25</sup>
	3.795	159.37	12/10/20	205.70	46.33 W	0.00	15 of 146 - Sale <sup>25</sup>
	1.000	42.00	12/10/20	52.97	10.97 W	0.00	16 of 146 - Sale <sup>25</sup>
	3.000	126.00	12/10/20	159.59	33.59 W	0.00	17 of 146 - Sale <sup>25</sup>
	3.000	126.00	12/10/20	159.61	33.61 W	0.00	18 of 146 - Sale <sup>25</sup>
	3.000	126.00	12/10/20	162.30	36.30 W	0.00	19 of 146 - Sale <sup>25</sup>
	4.000	168.00	12/10/20	216.38	48.38 W	0.00	20 of 146 - Sale <sup>25</sup>
	4.000	168.00	12/10/20	217.99	49.99 W	0.00	21 of 146 - Sale <sup>25</sup>
	5.756	241.75	12/10/20	305.35	63.60 W	0.00	22 of 146 - Sale <sup>25</sup>
	6.000	251.99	12/10/20	327.92	75.93 W	0.00	23 of 146 - Sale <sup>25</sup>
	1.000	42.00	12/10/20	54.90	12.90 W	0.00	24 of 146 - Sale <sup>25</sup>
	1.000	42.00	12/10/20	54.84	12.84 W	0.00	25 of 146 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	2.000	84.00	12/10/20	101.57	17.57 W	0.00	26 of 146 - Sale <sup>25</sup>
	3.000	126.00	12/10/20	144.23	18.23 W	0.00	27 of 146 - Sale <sup>25</sup>
	5.000	209.99	12/10/20	240.19	30.20 W	0.00	28 of 146 - Sale <sup>25</sup>
	25.000	1,049.97	12/10/20	1,199.67	149.70 W	0.00	29 of 146 - Sale <sup>25</sup>
	2.000	84.00	12/10/20	94.87	10.87 W	0.00	30 of 146 - Sale <sup>25</sup>
	4.000	168.00	12/10/20	189.74	21.74 W	0.00	31 of 146 - Sale <sup>25</sup>
	0.242	10.14	12/11/20	13.90	3.76 W	0.00	32 of 146 - Sale <sup>25</sup>
	0.138	5.78	12/11/20	8.06	2.28 W	0.00	33 of 146 - Sale <sup>25</sup>
	0.379	15.93	12/11/20	22.11	6.18 W	0.00	34 of 146 - Sale <sup>25</sup>
	1.242	52.14	12/11/20	75.47	23.33 W	0.00	35 of 146 - Sale <sup>25</sup>
	6.000	251.99	12/11/20	302.22	50.23 W	0.00	36 of 146 - Sale <sup>25</sup>
	9.000	377.99	12/11/20	463.91	85.92 W	0.00	37 of 146 - Sale <sup>25</sup>
	12.000	503.99	12/11/20	604.32	100.33 W	0.00	38 of 146 - Sale <sup>25</sup>
	6.000	251.99	12/11/20	278.00	26.01 W	0.00	39 of 146 - Sale <sup>25</sup>
	1.000	42.00	12/11/20	47.01	5.01 W	0.00	40 of 146 - Sale <sup>25</sup>
	1.000	42.00	12/11/20	47.59	5.59 W	0.00	41 of 146 - Sale <sup>25</sup>
	1.000	42.00	12/11/20	47.60	5.60 W	0.00	42 of 146 - Sale <sup>25</sup>
	1.000	42.00	12/11/20	47.64	5.64 W	0.00	43 of 146 - Sale <sup>25</sup>
	2.000	84.00	12/11/20	94.08	10.08 W	0.00	44 of 146 - Sale <sup>25</sup>
	2.000	84.00	12/11/20	89.69	5.69 W	0.00	45 of 146 - Sale <sup>25</sup>
	3.000	126.00	12/11/20	140.83	14.83 W	0.00	46 of 146 - Sale <sup>25</sup>
	5.000	209.99	12/11/20	233.25	23.26 W	0.00	47 of 146 - Sale <sup>25</sup>
	6.000	251.99	12/11/20	281.68	29.69 W	0.00	48 of 146 - Sale <sup>25</sup>
	8.000	335.99	12/11/20	374.77	38.78 W	0.00	49 of 146 - Sale <sup>25</sup>
	3.000	126.00	12/11/20	142.44	16.44 W	0.00	50 of 146 - Sale <sup>25</sup>
	6.000	251.99	12/11/20	282.22	30.23 W	0.00	51 of 146 - Sale <sup>25</sup>
	1.000	42.00	12/11/20	46.44	4.44 W	0.00	52 of 146 - Sale <sup>25</sup>
	7.000	293.99	12/11/20	332.12	38.13 W	0.00	53 of 146 - Sale <sup>25</sup>
	9.000	377.99	12/11/20	427.02	49.03 W	0.00	54 of 146 - Sale <sup>25</sup>
	9.000	377.99	12/11/20	423.88	45.89 W	0.00	55 of 146 - Sale <sup>25</sup>
	2.000	84.00	12/11/20	121.86	37.86 W	0.00	56 of 146 - Sale <sup>25</sup>
	0.316	13.29	12/11/20	21.34	8.05 W	0.00	57 of 146 - Sale <sup>25</sup>
	1.138	47.78	12/11/20	76.70	28.92 W	0.00	58 of 146 - Sale <sup>25</sup>
	3.169	133.10	12/11/20	210.78	77.68 W	0.00	59 of 146 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	5.831	244.89	12/11/20	387.90	143.01 W	0.00	60 of 146 - Sale <sup>25</sup>
	7.000	293.99	12/11/20	440.74	146.75 W	0.00	61 of 146 - Sale <sup>25</sup>
	0.092	3.86	12/11/20	5.61	1.75 W	0.00	62 of 146 - Sale <sup>25</sup>
	0.454	19.07	12/11/20	27.81	8.74 W	0.00	63 of 146 - Sale <sup>25</sup>
	0.546	22.93	12/11/20	33.56	10.63 W	0.00	64 of 146 - Sale <sup>25</sup>
	0.546	22.93	12/11/20	33.31	10.38 W	0.00	65 of 146 - Sale <sup>25</sup>
	1.046	43.92	12/11/20	63.95	20.03 W	0.00	66 of 146 - Sale <sup>25</sup>
	1.408	59.15	12/11/20	85.91	26.76 W	0.00	67 of 146 - Sale <sup>25</sup>
	2.000	84.00	12/11/20	122.43	38.43 W	0.00	68 of 146 - Sale <sup>25</sup>
	2.000	84.00	12/11/20	122.44	38.44 W	0.00	69 of 146 - Sale <sup>25</sup>
	3.454	145.07	12/11/20	211.45	66.38 W	0.00	70 of 146 - Sale <sup>25</sup>
	2.000	84.00	12/11/20	93.61	9.61 W	0.00	71 of 146 - Sale <sup>25</sup>
	1.000	42.00	12/11/20	46.97	4.97 W	0.00	72 of 146 - Sale <sup>25</sup>
	16.000	671.98	12/11/20	750.71	78.73 W	0.00	73 of 146 - Sale <sup>25</sup>
	1.316	55.29	12/11/20	80.31	25.02 W	0.00	74 of 146 - Sale <sup>25</sup>
	1.454	61.07	12/11/20	88.68	27.61 W	0.00	75 of 146 - Sale <sup>25</sup>
	2.000	84.00	12/11/20	121.96	37.96 W	0.00	76 of 146 - Sale <sup>25</sup>
	3.230	135.64	12/11/20	197.10	61.46 W	0.00	77 of 146 - Sale <sup>25</sup>
	1.000	42.00	12/11/20	46.79	4.79 W	0.00	78 of 146 - Sale <sup>25</sup>
	3.000	126.00	12/11/20	140.23	14.23 W	0.00	79 of 146 - Sale <sup>25</sup>
	6.000	251.99	12/11/20	281.09	29.10 W	0.00	80 of 146 - Sale <sup>25</sup>
	23.000	965.97	12/11/20	1,093.78	127.81 W	0.00	81 of 146 - Sale <sup>25</sup>
	9.000	377.99	12/11/20	422.64	44.65 W	0.00	82 of 146 - Sale <sup>25</sup>
	0.077	3.24	12/11/20	4.76	1.52 W	0.00	83 of 146 - Sale <sup>25</sup>
	1.092	45.86	12/11/20	67.80	21.94 W	0.00	84 of 146 - Sale <sup>25</sup>
	3.377	141.82	12/11/20	208.50	66.68 W	0.00	85 of 146 - Sale <sup>25</sup>
	4.077	171.24	12/11/20	253.16	81.92 W	0.00	86 of 146 - Sale <sup>25</sup>
	4.831	202.89	12/11/20	299.99	97.10 W	0.00	87 of 146 - Sale <sup>25</sup>
	5.000	209.99	12/11/20	310.50	100.51 W	0.00	88 of 146 - Sale <sup>25</sup>
	6.000	251.99	12/11/20	366.85	114.86 W	0.00	89 of 146 - Sale <sup>25</sup>
	6.546	274.92	12/11/20	408.71	133.79 W	0.00	90 of 146 - Sale <sup>25</sup>
	0.092	3.86	12/11/20	6.01	2.15 W	0.00	91 of 146 - Sale <sup>25</sup>
	0.454	19.07	12/11/20	28.92	9.85 W	0.00	92 of 146 - Sale <sup>25</sup>
	0.454	19.07	12/11/20	28.91	9.84 W	0.00	93 of 146 - Sale <sup>25</sup>

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(continued)

03/15/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.546	22.93	12/11/20	35.53	12.60 W	0.00	94 of 146 - Sale <sup>25</sup>
	1.000	42.00	12/11/20	63.67	21.67 W	0.00	95 of 146 - Sale <sup>25</sup>
	1.174	49.30	12/11/20	75.76	26.46 W	0.00	96 of 146 - Sale <sup>25</sup>
	1.918	80.55	12/11/20	123.77	43.22 W	0.00	97 of 146 - Sale <sup>25</sup>
	2.000	84.00	12/11/20	128.88	44.88 W	0.00	98 of 146 - Sale <sup>25</sup>
	3.454	145.07	12/11/20	224.94	79.87 W	0.00	99 of 146 - Sale <sup>25</sup>
	3.908	164.13	12/11/20	245.20	81.07 W	0.00	100 of 146 - Sale <sup>25</sup>
	6.000	251.99	12/11/20	382.72	130.73 W	0.00	101 of 146 - Sale <sup>25</sup>
	2.000	84.00	12/11/20	93.20	9.20 W	0.00	102 of 146 - Sale <sup>25</sup>
	4.000	168.00	12/11/20	186.41	18.41 W	0.00	103 of 146 - Sale <sup>25</sup>
	13.000	545.99	12/11/20	605.87	59.88 W	0.00	104 of 146 - Sale <sup>25</sup>
	1.000	42.00	12/11/20	47.66	5.66 W	0.00	105 of 146 - Sale <sup>25</sup>
	1.000	42.00	12/11/20	47.52	5.52 W	0.00	106 of 146 - Sale <sup>25</sup>
	1.000	42.00	12/11/20	47.42	5.42 W	0.00	107 of 146 - Sale <sup>25</sup>
	4.000	167.99	12/11/20	190.10	22.11 W	0.00	108 of 146 - Sale <sup>25</sup>
	6.000	251.99	12/11/20	285.42	33.43 W	0.00	109 of 146 - Sale <sup>25</sup>
	16.000	671.98	12/11/20	761.89	89.91 W	0.00	110 of 146 - Sale <sup>25</sup>
	0.063	2.64	12/11/20	3.96	1.32 W	0.00	111 of 146 - Sale <sup>25</sup>
	0.766	32.09	12/11/20	48.03	15.94 W	0.00	112 of 146 - Sale <sup>25</sup>
	0.770	32.30	12/11/20	48.65	16.35 W	0.00	113 of 146 - Sale <sup>25</sup>
	2.234	93.67	12/11/20	140.18	46.51 W	0.00	114 of 146 - Sale <sup>25</sup>
	3.729	156.34	12/11/20	233.99	77.65 W	0.00	115 of 146 - Sale <sup>25</sup>
	5.249	220.02	12/11/20	331.01	110.99 W	0.00	116 of 146 - Sale <sup>25</sup>
	1.817	76.15	12/11/20	114.18	38.03 W	0.00	117 of 146 - Sale <sup>25</sup>
	7.372	309.04	12/11/20	465.05	156.01 W	0.00	118 of 146 - Sale <sup>25</sup>
	1.000	41.83	12/11/20	62.43	20.60 W	0.00	119 of 146 - Sale <sup>25</sup>
	1.000	41.83	12/11/20	62.44	20.61 W	0.00	120 of 146 - Sale <sup>25</sup>
	1.000	41.83	12/11/20	62.34	20.51 W	0.00	121 of 146 - Sale <sup>25</sup>
	1.000	41.83	12/11/20	62.33	20.50 W	0.00	122 of 146 - Sale <sup>25</sup>
	1.000	41.83	12/11/20	62.33	20.50 W	0.00	123 of 146 - Sale <sup>25</sup>
	3.000	125.49	12/11/20	187.58	62.09 W	0.00	124 of 146 - Sale <sup>25</sup>
	5.000	209.15	12/11/20	314.18	105.03 W	0.00	125 of 146 - Sale <sup>25</sup>
	5.000	209.15	12/11/20	311.70	102.55 W	0.00	126 of 146 - Sale <sup>25</sup>
	4.000	167.32	12/11/20	251.43	84.11 W	0.00	127 of 146 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	6.000	250.98	12/11/20	377.92	126.94 W	0.00	128 of 146 - Sale <sup>25</sup>
	0.111	4.64	12/11/20	7.00	2.36 W	0.00	129 of 146 - Sale <sup>25</sup>
	0.297	12.43	12/11/20	18.89	6.46 W	0.00	130 of 146 - Sale <sup>25</sup>
	0.435	18.19	12/11/20	27.80	9.61 W	0.00	131 of 146 - Sale <sup>25</sup>
	0.454	18.99	12/11/20	28.92	9.93 W	0.00	132 of 146 - Sale <sup>25</sup>
	0.546	22.84	12/11/20	34.76	11.92 W	0.00	133 of 146 - Sale <sup>25</sup>
	0.995	41.63	12/11/20	63.38	21.75 W	0.00	134 of 146 - Sale <sup>25</sup>
	1.000	41.83	12/11/20	63.82	21.99 W	0.00	135 of 146 - Sale <sup>25</sup>
	1.000	41.83	12/11/20	63.73	21.90 W	0.00	136 of 146 - Sale <sup>25</sup>
	1.454	60.82	12/11/20	92.68	31.86 W	0.00	137 of 146 - Sale <sup>25</sup>
	2.111	88.31	12/11/20	134.42	46.11 W	0.00	138 of 146 - Sale <sup>25</sup>
	3.000	125.49	12/11/20	191.61	66.12 W	0.00	139 of 146 - Sale <sup>25</sup>
	3.604	150.76	12/11/20	227.24	76.48 W	0.00	140 of 146 - Sale <sup>25</sup>
	3.831	160.25	12/11/20	222.74	62.49 W	0.00	141 of 146 - Sale <sup>25</sup>
	4.000	167.33	12/11/20	255.19	87.86 W	0.00	142 of 146 - Sale <sup>25</sup>
	4.111	171.97	12/11/20	261.72	89.75 W	0.00	143 of 146 - Sale <sup>25</sup>
	4.889	204.52	12/11/20	308.29	103.77 W	0.00	144 of 146 - Sale <sup>25</sup>
	5.459	228.35	12/11/20	339.97	111.62 W	0.00	145 of 146 - Sale <sup>25</sup>
	9.703	405.87	12/11/20	616.85	210.98 W	0.00	146 of 146 - Sale <sup>25</sup>
12/11/20	497.000	20,859.11	Various	26,997.35	6,138.24 W	0.00	Total of 146 transactions
817 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	0.688	27.79	12/11/20	43.40	15.61 W	0.00	1 of 817 - Sale <sup>25</sup>
	0.720	29.06	12/11/20	45.34	16.28 W	0.00	2 of 817 - Sale <sup>25</sup>
	1.000	40.37	12/11/20	58.79	18.42 W	0.00	3 of 817 - Sale <sup>25</sup>
	1.889	76.26	12/11/20	110.83	34.57 W	0.00	4 of 817 - Sale <sup>25</sup>
	2.000	80.74	12/11/20	117.49	36.75 W	0.00	5 of 817 - Sale <sup>25</sup>
	3.111	125.59	12/11/20	184.59	59.00 W	0.00	6 of 817 - Sale <sup>25</sup>
	0.019	0.77	12/11/20	1.20	0.43 W	0.00	7 of 817 - Sale <sup>25</sup>
	5.138	207.41	12/11/20	323.94	116.53 W	0.00	8 of 817 - Sale <sup>25</sup>
	14.435	582.73	12/11/20	849.39	266.66 W	0.00	9 of 817 - Sale <sup>25</sup>
	1.000	40.37	12/11/20	45.07	4.70 W	0.00	10 of 817 - Sale <sup>25</sup>
	1.000	40.37	12/11/20	46.10	5.73 W	0.00	11 of 817 - Sale <sup>25</sup>
	14.000	565.16	12/11/20	645.35	80.19 W	0.00	12 of 817 - Sale <sup>25</sup>
	3.000	121.98	12/11/20	138.13	16.15 W	0.00	13 of 817 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
5.000		203.31	12/11/20	230.48	27.17 W	0.00	14 of 817 - Sale <sup>25</sup>
0.111		4.51	12/11/20	6.50	1.99 W	0.00	15 of 817 - Sale <sup>25</sup>
2.111		85.84	12/11/20	123.69	37.85 W	0.00	16 of 817 - Sale <sup>25</sup>
5.889		239.45	12/11/20	345.61	106.16 W	0.00	17 of 817 - Sale <sup>25</sup>
0.271		11.00	12/11/20	16.38	5.38 W	0.00	18 of 817 - Sale <sup>25</sup>
0.302		12.28	12/11/20	18.31	6.03 W	0.00	19 of 817 - Sale <sup>25</sup>
0.729		29.66	12/11/20	44.14	14.48 W	0.00	20 of 817 - Sale <sup>25</sup>
1.000		40.66	12/11/20	59.75	19.09 W	0.00	21 of 817 - Sale <sup>25</sup>
1.000		40.66	12/11/20	60.64	19.98 W	0.00	22 of 817 - Sale <sup>25</sup>
1.000		40.66	12/11/20	60.87	20.21 W	0.00	23 of 817 - Sale <sup>25</sup>
1.000		40.66	12/11/20	60.31	19.65 W	0.00	24 of 817 - Sale <sup>25</sup>
1.889		76.81	12/11/20	112.85	36.04 W	0.00	25 of 817 - Sale <sup>25</sup>
3.000		121.98	12/11/20	182.59	60.61 W	0.00	26 of 817 - Sale <sup>25</sup>
3.698		150.36	12/11/20	224.15	73.79 W	0.00	27 of 817 - Sale <sup>25</sup>
5.000		203.31	12/11/20	301.50	98.19 W	0.00	28 of 817 - Sale <sup>25</sup>
5.000		203.31	12/11/20	301.58	98.27 W	0.00	29 of 817 - Sale <sup>25</sup>
1.000		40.66	12/11/20	61.10	20.44 W	0.00	30 of 817 - Sale <sup>25</sup>
1.515		61.58	12/11/20	92.32	30.74 W	0.00	31 of 817 - Sale <sup>25</sup>
3.000		121.98	12/11/20	182.84	60.86 W	0.00	32 of 817 - Sale <sup>25</sup>
0.345		14.04	12/11/20	20.51	6.47 W	0.00	33 of 817 - Sale <sup>25</sup>
0.485		19.74	12/11/20	28.87	9.13 W	0.00	34 of 817 - Sale <sup>25</sup>
0.655		26.62	12/11/20	38.91	12.29 W	0.00	35 of 817 - Sale <sup>25</sup>
1.000		40.66	12/11/20	59.36	18.70 W	0.00	36 of 817 - Sale <sup>25</sup>
1.000		40.66	12/11/20	59.43	18.77 W	0.00	37 of 817 - Sale <sup>25</sup>
1.485		60.40	12/11/20	89.25	28.85 W	0.00	38 of 817 - Sale <sup>25</sup>
1.515		61.58	12/11/20	90.03	28.45 W	0.00	39 of 817 - Sale <sup>25</sup>
1.758		71.50	12/11/20	104.43	32.93 W	0.00	40 of 817 - Sale <sup>25</sup>
1.998		81.23	12/11/20	117.95	36.72 W	0.00	41 of 817 - Sale <sup>25</sup>
2.242		91.15	12/11/20	133.12	41.97 W	0.00	42 of 817 - Sale <sup>25</sup>
2.517		102.34	12/11/20	149.61	47.27 W	0.00	43 of 817 - Sale <sup>25</sup>
3.000		121.98	12/11/20	178.28	56.30 W	0.00	44 of 817 - Sale <sup>25</sup>
6.000		243.97	12/11/20	360.84	116.87 W	0.00	45 of 817 - Sale <sup>25</sup>
6.000		243.97	12/11/20	360.48	116.51 W	0.00	46 of 817 - Sale <sup>25</sup>
7.000		284.63	12/11/20	416.03	131.40 W	0.00	47 of 817 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	2.485	101.06	12/11/20	149.28	48.22 W	0.00	48 of 817 - Sale <sup>25</sup>
	1.000	40.66	12/11/20	45.02	4.36 W	0.00	49 of 817 - Sale <sup>25</sup>
	7.000	284.64	12/11/20	320.22	35.58 W	0.00	50 of 817 - Sale <sup>25</sup>
	7.000	284.63	12/11/20	320.21	35.58 W	0.00	51 of 817 - Sale <sup>25</sup>
	1.000	40.18	12/11/20	46.17	5.99 W	0.00	52 of 817 - Sale <sup>25</sup>
	6.000	241.10	12/11/20	278.08	36.98 W	0.00	53 of 817 - Sale <sup>25</sup>
	1.000	40.18	12/11/20	45.74	5.56 W	0.00	54 of 817 - Sale <sup>25</sup>
	0.213	8.54	12/11/20	12.76	4.22 W	0.00	55 of 817 - Sale <sup>25</sup>
	0.729	29.31	12/11/20	42.16	12.85 W	0.00	56 of 817 - Sale <sup>25</sup>
	0.788	31.65	12/11/20	47.29	15.64 W	0.00	57 of 817 - Sale <sup>25</sup>
	1.756	70.57	12/11/20	102.97	32.40 W	0.00	58 of 817 - Sale <sup>25</sup>
	3.515	141.23	12/11/20	210.54	69.31 W	0.00	59 of 817 - Sale <sup>25</sup>
	8.000	321.47	12/11/20	479.23	157.76 W	0.00	60 of 817 - Sale <sup>25</sup>
	8.000	321.47	12/11/20	468.17	146.70 W	0.00	61 of 817 - Sale <sup>25</sup>
	1.000	40.18	12/11/20	45.71	5.53 W	0.00	62 of 817 - Sale <sup>25</sup>
	1.000	40.18	12/11/20	45.66	5.48 W	0.00	63 of 817 - Sale <sup>25</sup>
	20.000	803.68	12/11/20	901.49	97.81 W	0.00	64 of 817 - Sale <sup>25</sup>
	0.485	19.51	12/11/20	27.69	8.18 W	0.00	65 of 817 - Sale <sup>25</sup>
	0.515	20.68	12/11/20	30.11	9.43 W	0.00	66 of 817 - Sale <sup>25</sup>
	1.000	40.18	12/11/20	58.52	18.34 W	0.00	67 of 817 - Sale <sup>25</sup>
	1.000	40.18	12/11/20	57.04	16.86 W	0.00	68 of 817 - Sale <sup>25</sup>
	2.000	80.37	12/11/20	117.04	36.67 W	0.00	69 of 817 - Sale <sup>25</sup>
	0.515	20.68	12/11/20	29.29	8.61 W	0.00	70 of 817 - Sale <sup>25</sup>
	0.027	1.07	12/11/20	1.57	0.50 W	0.00	71 of 817 - Sale <sup>25</sup>
	0.111	4.46	12/11/20	6.33	1.87 W	0.00	72 of 817 - Sale <sup>25</sup>
	0.271	10.87	12/11/20	15.96	5.09 W	0.00	73 of 817 - Sale <sup>25</sup>
	0.515	20.68	12/11/20	30.18	9.50 W	0.00	74 of 817 - Sale <sup>25</sup>
	0.515	20.68	12/11/20	30.37	9.69 W	0.00	75 of 817 - Sale <sup>25</sup>
	0.618	24.85	12/11/20	35.97	11.12 W	0.00	76 of 817 - Sale <sup>25</sup>
	0.867	34.84	12/11/20	51.13	16.29 W	0.00	77 of 817 - Sale <sup>25</sup>
	0.973	39.11	12/11/20	57.23	18.12 W	0.00	78 of 817 - Sale <sup>25</sup>
	0.973	39.11	12/11/20	55.39	16.28 W	0.00	79 of 817 - Sale <sup>25</sup>
	1.000	40.18	12/11/20	58.82	18.64 W	0.00	80 of 817 - Sale <sup>25</sup>
	1.000	40.18	12/11/20	58.23	18.05 W	0.00	81 of 817 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
1.000		40.18	12/11/20	58.17	17.99 W	0.00	82 of 817 - Sale <sup>25</sup>
1.005		40.38	12/11/20	59.11	18.73 W	0.00	83 of 817 - Sale <sup>25</sup>
1.027		41.26	12/11/20	60.38	19.12 W	0.00	84 of 817 - Sale <sup>25</sup>
1.111		44.65	12/11/20	65.16	20.51 W	0.00	85 of 817 - Sale <sup>25</sup>
1.244		49.99	12/11/20	72.38	22.39 W	0.00	86 of 817 - Sale <sup>25</sup>
1.382		55.52	12/11/20	81.14	25.62 W	0.00	87 of 817 - Sale <sup>25</sup>
1.382		55.52	12/11/20	80.50	24.98 W	0.00	88 of 817 - Sale <sup>25</sup>
1.449		58.24	12/11/20	85.04	26.80 W	0.00	89 of 817 - Sale <sup>25</sup>
1.481		59.50	12/11/20	87.00	27.50 W	0.00	90 of 817 - Sale <sup>25</sup>
1.485		59.69	12/11/20	84.61	24.92 W	0.00	91 of 817 - Sale <sup>25</sup>
1.485		59.69	12/11/20	84.45	24.76 W	0.00	92 of 817 - Sale <sup>25</sup>
1.485		59.69	12/11/20	86.51	26.82 W	0.00	93 of 817 - Sale <sup>25</sup>
1.515		60.86	12/11/20	86.41	25.55 W	0.00	94 of 817 - Sale <sup>25</sup>
1.698		68.23	12/11/20	99.10	30.87 W	0.00	95 of 817 - Sale <sup>25</sup>
1.756		70.57	12/11/20	103.17	32.60 W	0.00	96 of 817 - Sale <sup>25</sup>
1.889		75.91	12/11/20	107.45	31.54 W	0.00	97 of 817 - Sale <sup>25</sup>
2.000		80.37	12/11/20	118.54	38.17 W	0.00	98 of 817 - Sale <sup>25</sup>
2.374		95.42	12/11/20	134.60	39.18 W	0.00	99 of 817 - Sale <sup>25</sup>
2.485		99.88	12/11/20	144.72	44.84 W	0.00	100 of 817 - Sale <sup>25</sup>
2.618		105.22	12/11/20	153.60	48.38 W	0.00	101 of 817 - Sale <sup>25</sup>
2.623		105.41	12/11/20	152.74	47.33 W	0.00	102 of 817 - Sale <sup>25</sup>
3.000		120.55	12/11/20	175.11	54.56 W	0.00	103 of 817 - Sale <sup>25</sup>
3.515		141.23	12/11/20	205.41	64.18 W	0.00	104 of 817 - Sale <sup>25</sup>
3.788		152.20	12/11/20	221.06	68.86 W	0.00	105 of 817 - Sale <sup>25</sup>
4.133		166.08	12/11/20	243.82	77.74 W	0.00	106 of 817 - Sale <sup>25</sup>
4.169		167.53	12/11/20	244.61	77.08 W	0.00	107 of 817 - Sale <sup>25</sup>
4.271		171.61	12/11/20	248.68	77.07 W	0.00	108 of 817 - Sale <sup>25</sup>
4.377		175.88	12/11/20	254.87	78.99 W	0.00	109 of 817 - Sale <sup>25</sup>
4.729		190.05	12/11/20	277.49	87.44 W	0.00	110 of 817 - Sale <sup>25</sup>
5.515		221.60	12/11/20	313.21	91.61 W	0.00	111 of 817 - Sale <sup>25</sup>
6.000		241.10	12/11/20	346.32	105.22 W	0.00	112 of 817 - Sale <sup>25</sup>
14.626		587.71	12/11/20	832.00	244.29 W	0.00	113 of 817 - Sale <sup>25</sup>
0.031		1.26	12/11/20	1.72	0.46 W	0.00	114 of 817 - Sale <sup>25</sup>
0.106		4.27	12/11/20	6.14	1.87 W	0.00	115 of 817 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.242	9.71	12/11/20	14.02	4.31 W	0.00	116 of 817 - Sale <sup>25</sup>
	0.244	9.80	12/11/20	14.17	4.37 W	0.00	117 of 817 - Sale <sup>25</sup>
	0.454	18.25	12/11/20	26.24	7.99 W	0.00	118 of 817 - Sale <sup>25</sup>
	0.485	19.51	12/11/20	28.08	8.57 W	0.00	119 of 817 - Sale <sup>25</sup>
	0.894	35.91	12/11/20	51.98	16.07 W	0.00	120 of 817 - Sale <sup>25</sup>
	0.969	38.92	12/11/20	52.17	13.25 W	0.00	121 of 817 - Sale <sup>25</sup>
	1.106	44.45	12/11/20	64.35	19.90 W	0.00	122 of 817 - Sale <sup>25</sup>
	1.244	49.99	12/11/20	72.42	22.43 W	0.00	123 of 817 - Sale <sup>25</sup>
	1.515	60.86	12/11/20	87.93	27.07 W	0.00	124 of 817 - Sale <sup>25</sup>
	1.546	62.12	12/11/20	89.31	27.19 W	0.00	125 of 817 - Sale <sup>25</sup>
	1.756	70.57	12/11/20	102.21	31.64 W	0.00	126 of 817 - Sale <sup>25</sup>
	2.000	80.37	12/11/20	116.23	35.86 W	0.00	127 of 817 - Sale <sup>25</sup>
	2.515	101.04	12/11/20	153.89	52.85 W	0.00	128 of 817 - Sale <sup>25</sup>
	3.000	120.55	12/11/20	169.48	48.93 W	0.00	129 of 817 - Sale <sup>25</sup>
	3.969	159.47	12/11/20	229.22	69.75 W	0.00	130 of 817 - Sale <sup>25</sup>
	4.515	181.41	12/11/20	260.10	78.69 W	0.00	131 of 817 - Sale <sup>25</sup>
	4.894	196.65	12/11/20	282.90	86.25 W	0.00	132 of 817 - Sale <sup>25</sup>
	7.592	305.07	12/11/20	464.62	159.55 W	0.00	133 of 817 - Sale <sup>25</sup>
	8.000	321.47	12/11/20	465.71	144.24 W	0.00	134 of 817 - Sale <sup>25</sup>
	0.106	4.27	12/11/20	6.05	1.78 W	0.00	135 of 817 - Sale <sup>25</sup>
	0.106	4.27	12/11/20	6.41	2.14 W	0.00	136 of 817 - Sale <sup>25</sup>
	0.350	14.07	12/11/20	20.84	6.77 W	0.00	137 of 817 - Sale <sup>25</sup>
	0.650	26.11	12/11/20	38.83	12.72 W	0.00	138 of 817 - Sale <sup>25</sup>
	0.788	31.65	12/11/20	46.69	15.04 W	0.00	139 of 817 - Sale <sup>25</sup>
	0.894	35.91	12/11/20	54.73	18.82 W	0.00	140 of 817 - Sale <sup>25</sup>
	1.000	40.18	12/11/20	60.14	19.96 W	0.00	141 of 817 - Sale <sup>25</sup>
	2.106	84.64	12/11/20	127.17	42.53 W	0.00	142 of 817 - Sale <sup>25</sup>
	3.000	120.55	12/11/20	171.03	50.48 W	0.00	143 of 817 - Sale <sup>25</sup>
	3.031	121.82	12/11/20	172.85	51.03 W	0.00	144 of 817 - Sale <sup>25</sup>
	4.894	196.65	12/11/20	295.45	98.80 W	0.00	145 of 817 - Sale <sup>25</sup>
	9.000	361.66	12/11/20	550.68	189.02 W	0.00	146 of 817 - Sale <sup>25</sup>
	0.758	30.48	12/11/20	45.39	14.91 W	0.00	147 of 817 - Sale <sup>25</sup>
	0.792	31.84	12/11/20	47.30	15.46 W	0.00	148 of 817 - Sale <sup>25</sup>
	0.894	35.91	12/11/20	53.39	17.48 W	0.00	149 of 817 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.000	40.18	12/11/20	59.68	19.50 W	0.00	150 of 817 - Sale <sup>25</sup>
	1.000	40.18	12/11/20	59.69	19.51 W	0.00	151 of 817 - Sale <sup>25</sup>
	1.208	48.53	12/11/20	72.41	23.88 W	0.00	152 of 817 - Sale <sup>25</sup>
	2.000	80.37	12/11/20	119.46	39.09 W	0.00	153 of 817 - Sale <sup>25</sup>
	2.000	80.37	12/11/20	119.36	38.99 W	0.00	154 of 817 - Sale <sup>25</sup>
	2.000	80.37	12/11/20	119.35	38.98 W	0.00	155 of 817 - Sale <sup>25</sup>
	3.242	130.26	12/11/20	193.87	63.61 W	0.00	156 of 817 - Sale <sup>25</sup>
	5.106	205.19	12/11/20	305.03	99.84 W	0.00	157 of 817 - Sale <sup>25</sup>
	7.000	281.29	12/11/20	416.79	135.50 W	0.00	158 of 817 - Sale <sup>25</sup>
	1.000	40.18	12/11/20	60.82	20.64 W	0.00	159 of 817 - Sale <sup>25</sup>
	1.000	40.18	12/11/20	61.17	20.99 W	0.00	160 of 817 - Sale <sup>25</sup>
	3.000	120.55	12/11/20	185.76	65.21 W	0.00	161 of 817 - Sale <sup>25</sup>
	0.205	8.25	12/11/20	11.87	3.62 W	0.00	162 of 817 - Sale <sup>25</sup>
	0.483	19.41	12/11/20	27.86	8.45 W	0.00	163 of 817 - Sale <sup>25</sup>
	1.000	40.18	12/11/20	59.99	19.81 W	0.00	164 of 817 - Sale <sup>25</sup>
	2.000	80.37	12/11/20	119.95	39.58 W	0.00	165 of 817 - Sale <sup>25</sup>
	2.000	80.37	12/11/20	120.03	39.66 W	0.00	166 of 817 - Sale <sup>25</sup>
	3.795	152.48	12/11/20	219.08	66.60 W	0.00	167 of 817 - Sale <sup>25</sup>
	4.000	160.74	12/11/20	239.94	79.20 W	0.00	168 of 817 - Sale <sup>25</sup>
	8.000	321.47	12/11/20	479.75	158.28 W	0.00	169 of 817 - Sale <sup>25</sup>
	0.517	20.77	12/11/20	27.94	7.17 W	0.00	170 of 817 - Sale <sup>25</sup>
	0.932	37.47	12/11/20	50.44	12.97 W	0.00	171 of 817 - Sale <sup>25</sup>
	1.000	40.18	12/11/20	54.11	13.93 W	0.00	172 of 817 - Sale <sup>25</sup>
	1.000	40.18	12/11/20	54.11	13.93 W	0.00	173 of 817 - Sale <sup>25</sup>
	1.068	42.90	12/11/20	57.77	14.87 W	0.00	174 of 817 - Sale <sup>25</sup>
	1.244	49.99	12/11/20	67.26	17.27 W	0.00	175 of 817 - Sale <sup>25</sup>
	2.205	88.62	12/11/20	119.32	30.70 W	0.00	176 of 817 - Sale <sup>25</sup>
	3.795	152.48	12/11/20	205.33	52.85 W	0.00	177 of 817 - Sale <sup>25</sup>
	6.000	241.10	12/11/20	324.98	83.88 W	0.00	178 of 817 - Sale <sup>25</sup>
	1.000	40.18	12/11/20	52.87	12.69 W	0.00	179 of 817 - Sale <sup>25</sup>
	3.000	120.55	12/11/20	159.29	38.74 W	0.00	180 of 817 - Sale <sup>25</sup>
	3.000	120.55	12/11/20	159.31	38.76 W	0.00	181 of 817 - Sale <sup>25</sup>
	3.000	120.55	12/11/20	162.00	41.45 W	0.00	182 of 817 - Sale <sup>25</sup>
	4.000	160.74	12/11/20	215.98	55.24 W	0.00	183 of 817 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	4.000	160.74	12/11/20	217.59	56.85 W	0.00	184 of 817 - Sale <sup>25</sup>
	5.756	231.30	12/11/20	304.78	73.48 W	0.00	185 of 817 - Sale <sup>25</sup>
	6.000	241.10	12/11/20	327.33	86.23 W	0.00	186 of 817 - Sale <sup>25</sup>
	1.000	40.18	12/11/20	54.80	14.62 W	0.00	187 of 817 - Sale <sup>25</sup>
	1.000	40.18	12/11/20	54.74	14.56 W	0.00	188 of 817 - Sale <sup>25</sup>
	2.000	80.37	12/11/20	101.37	21.00 W	0.00	189 of 817 - Sale <sup>25</sup>
	3.000	120.55	12/11/20	143.93	23.38 W	0.00	190 of 817 - Sale <sup>25</sup>
	5.000	200.92	12/11/20	239.70	38.78 W	0.00	191 of 817 - Sale <sup>25</sup>
	9.000	361.66	12/11/20	430.99	69.33 W	0.00	192 of 817 - Sale <sup>25</sup>
	9.000	361.66	12/11/20	463.47	101.81 W	0.00	193 of 817 - Sale <sup>25</sup>
	10.000	401.84	12/11/20	503.11	101.27 W	0.00	194 of 817 - Sale <sup>25</sup>
	6.000	241.10	12/11/20	277.71	36.61 W	0.00	195 of 817 - Sale <sup>25</sup>
	1.000	40.18	12/11/20	46.96	6.78 W	0.00	196 of 817 - Sale <sup>25</sup>
	1.000	40.18	12/11/20	47.54	7.36 W	0.00	197 of 817 - Sale <sup>25</sup>
	1.000	40.18	12/11/20	47.55	7.37 W	0.00	198 of 817 - Sale <sup>25</sup>
	1.000	40.18	12/11/20	47.59	7.41 W	0.00	199 of 817 - Sale <sup>25</sup>
	2.000	80.37	12/11/20	93.98	13.61 W	0.00	200 of 817 - Sale <sup>25</sup>
	2.000	80.37	12/11/20	89.59	9.22 W	0.00	201 of 817 - Sale <sup>25</sup>
	3.000	120.55	12/11/20	140.68	20.13 W	0.00	202 of 817 - Sale <sup>25</sup>
	5.000	200.92	12/11/20	233.01	32.09 W	0.00	203 of 817 - Sale <sup>25</sup>
	6.000	241.10	12/11/20	281.39	40.29 W	0.00	204 of 817 - Sale <sup>25</sup>
	8.000	321.47	12/11/20	374.38	52.91 W	0.00	205 of 817 - Sale <sup>25</sup>
	3.000	120.55	12/11/20	142.29	21.74 W	0.00	206 of 817 - Sale <sup>25</sup>
	6.000	241.10	12/11/20	281.93	40.83 W	0.00	207 of 817 - Sale <sup>25</sup>
	4.000	160.74	12/11/20	189.59	28.85 W	0.00	208 of 817 - Sale <sup>25</sup>
	0.242	9.71	12/11/20	13.89	4.18 W	0.00	209 of 817 - Sale <sup>25</sup>
	0.138	5.53	12/11/20	8.06	2.53 W	0.00	210 of 817 - Sale <sup>25</sup>
	0.379	15.24	12/11/20	22.09	6.85 W	0.00	211 of 817 - Sale <sup>25</sup>
	1.242	49.89	12/11/20	75.41	25.52 W	0.00	212 of 817 - Sale <sup>25</sup>
	2.000	80.37	12/11/20	100.62	20.25 W	0.00	213 of 817 - Sale <sup>25</sup>
	6.000	241.10	12/11/20	301.93	60.83 W	0.00	214 of 817 - Sale <sup>25</sup>
	16.000	642.94	12/11/20	767.01	124.07 W	0.00	215 of 817 - Sale <sup>25</sup>
	2.000	80.37	12/11/20	94.77	14.40 W	0.00	216 of 817 - Sale <sup>25</sup>
	4.000	160.74	12/11/20	189.54	28.80 W	0.00	217 of 817 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	2.000	80.37	12/11/20	121.76	41.39 W	0.00	218 of 817 - Sale <sup>25</sup>
	0.316	12.71	12/11/20	21.32	8.61 W	0.00	219 of 817 - Sale <sup>25</sup>
	1.138	45.72	12/11/20	76.65	30.93 W	0.00	220 of 817 - Sale <sup>25</sup>
	3.169	127.35	12/11/20	210.62	83.27 W	0.00	221 of 817 - Sale <sup>25</sup>
	5.831	234.31	12/11/20	387.62	153.31 W	0.00	222 of 817 - Sale <sup>25</sup>
	7.000	281.29	12/11/20	440.40	159.11 W	0.00	223 of 817 - Sale <sup>25</sup>
	0.092	3.69	12/11/20	5.61	1.92 W	0.00	224 of 817 - Sale <sup>25</sup>
	0.454	18.25	12/11/20	27.79	9.54 W	0.00	225 of 817 - Sale <sup>25</sup>
	0.546	21.94	12/11/20	33.53	11.59 W	0.00	226 of 817 - Sale <sup>25</sup>
	0.546	21.94	12/11/20	33.28	11.34 W	0.00	227 of 817 - Sale <sup>25</sup>
	1.046	42.02	12/11/20	63.90	21.88 W	0.00	228 of 817 - Sale <sup>25</sup>
	1.316	52.90	12/11/20	80.24	27.34 W	0.00	229 of 817 - Sale <sup>25</sup>
	1.408	56.59	12/11/20	85.84	29.25 W	0.00	230 of 817 - Sale <sup>25</sup>
	1.454	58.43	12/11/20	88.61	30.18 W	0.00	231 of 817 - Sale <sup>25</sup>
	2.000	80.37	12/11/20	122.33	41.96 W	0.00	232 of 817 - Sale <sup>25</sup>
	2.000	80.37	12/11/20	122.34	41.97 W	0.00	233 of 817 - Sale <sup>25</sup>
	2.000	80.37	12/11/20	121.86	41.49 W	0.00	234 of 817 - Sale <sup>25</sup>
	3.230	129.78	12/11/20	196.94	67.16 W	0.00	235 of 817 - Sale <sup>25</sup>
	3.454	138.80	12/11/20	211.28	72.48 W	0.00	236 of 817 - Sale <sup>25</sup>
	1.000	40.18	12/11/20	46.74	6.56 W	0.00	237 of 817 - Sale <sup>25</sup>
	2.000	80.37	12/11/20	93.51	13.14 W	0.00	238 of 817 - Sale <sup>25</sup>
	3.000	120.55	12/11/20	140.08	19.53 W	0.00	239 of 817 - Sale <sup>25</sup>
	6.000	241.10	12/11/20	280.80	39.70 W	0.00	240 of 817 - Sale <sup>25</sup>
	10.000	401.84	12/11/20	475.06	73.22 W	0.00	241 of 817 - Sale <sup>25</sup>
	1.000	40.18	12/11/20	46.39	6.21 W	0.00	242 of 817 - Sale <sup>25</sup>
	1.000	40.18	12/11/20	46.92	6.74 W	0.00	243 of 817 - Sale <sup>25</sup>
	5.000	200.92	12/11/20	236.99	36.07 W	0.00	244 of 817 - Sale <sup>25</sup>
	7.000	281.29	12/11/20	331.78	50.49 W	0.00	245 of 817 - Sale <sup>25</sup>
	9.000	361.66	12/11/20	423.44	61.78 W	0.00	246 of 817 - Sale <sup>25</sup>
	16.000	642.95	12/11/20	749.93	106.98 W	0.00	247 of 817 - Sale <sup>25</sup>
	2.000	80.37	12/11/20	95.07	14.70 W	0.00	248 of 817 - Sale <sup>25</sup>
	0.077	3.10	12/11/20	4.74	1.64 W	0.00	249 of 817 - Sale <sup>25</sup>
	1.092	43.88	12/11/20	67.51	23.63 W	0.00	250 of 817 - Sale <sup>25</sup>
	3.377	135.70	12/11/20	207.60	71.90 W	0.00	251 of 817 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
4.077		163.84	12/11/20	252.06	88.22 W	0.00	252 of 817 - Sale <sup>25</sup>
4.831		194.12	12/11/20	298.69	104.57 W	0.00	253 of 817 - Sale <sup>25</sup>
5.000		200.92	12/11/20	309.16	108.24 W	0.00	254 of 817 - Sale <sup>25</sup>
6.000		241.10	12/11/20	365.24	124.14 W	0.00	255 of 817 - Sale <sup>25</sup>
6.546		263.04	12/11/20	406.95	143.91 W	0.00	256 of 817 - Sale <sup>25</sup>
0.092		3.69	12/11/20	5.99	2.30 W	0.00	257 of 817 - Sale <sup>25</sup>
0.454		18.25	12/11/20	28.80	10.55 W	0.00	258 of 817 - Sale <sup>25</sup>
0.454		18.25	12/11/20	28.79	10.54 W	0.00	259 of 817 - Sale <sup>25</sup>
0.546		21.94	12/11/20	35.38	13.44 W	0.00	260 of 817 - Sale <sup>25</sup>
1.000		40.18	12/11/20	63.40	23.22 W	0.00	261 of 817 - Sale <sup>25</sup>
1.174		47.17	12/11/20	75.45	28.28 W	0.00	262 of 817 - Sale <sup>25</sup>
1.918		77.07	12/11/20	123.26	46.19 W	0.00	263 of 817 - Sale <sup>25</sup>
2.000		80.37	12/11/20	128.34	47.97 W	0.00	264 of 817 - Sale <sup>25</sup>
3.454		138.80	12/11/20	224.01	85.21 W	0.00	265 of 817 - Sale <sup>25</sup>
3.908		157.04	12/11/20	244.15	87.11 W	0.00	266 of 817 - Sale <sup>25</sup>
6.000		241.11	12/11/20	381.11	140.00 W	0.00	267 of 817 - Sale <sup>25</sup>
2.000		80.37	12/11/20	92.66	12.29 W	0.00	268 of 817 - Sale <sup>25</sup>
4.000		160.74	12/11/20	185.33	24.59 W	0.00	269 of 817 - Sale <sup>25</sup>
13.000		522.39	12/11/20	602.36	79.97 W	0.00	270 of 817 - Sale <sup>25</sup>
1.000		40.18	12/11/20	47.39	7.21 W	0.00	271 of 817 - Sale <sup>25</sup>
3.000		120.55	12/11/20	142.05	21.50 W	0.00	272 of 817 - Sale <sup>25</sup>
11.000		442.03	12/11/20	520.16	78.13 W	0.00	273 of 817 - Sale <sup>25</sup>
9.000		361.66	12/11/20	420.22	58.56 W	0.00	274 of 817 - Sale <sup>25</sup>
0.019		0.76	12/14/20	1.19	0.43 W	0.00	275 of 817 - Sale <sup>25</sup>
0.688		27.23	12/14/20	42.94	15.71 W	0.00	276 of 817 - Sale <sup>25</sup>
0.720		28.47	12/14/20	44.86	16.39 W	0.00	277 of 817 - Sale <sup>25</sup>
5.138		203.20	12/14/20	320.50	117.30 W	0.00	278 of 817 - Sale <sup>25</sup>
6.435		254.51	12/14/20	374.33	119.82 W	0.00	279 of 817 - Sale <sup>25</sup>
0.063		2.49	12/14/20	3.82	1.33 W	0.00	280 of 817 - Sale <sup>25</sup>
0.111		4.39	12/14/20	6.77	2.38 W	0.00	281 of 817 - Sale <sup>25</sup>
0.297		11.76	12/14/20	18.26	6.50 W	0.00	282 of 817 - Sale <sup>25</sup>
0.435		17.20	12/14/20	26.88	9.68 W	0.00	283 of 817 - Sale <sup>25</sup>
0.454		17.96	12/14/20	27.96	10.00 W	0.00	284 of 817 - Sale <sup>25</sup>
0.546		21.59	12/14/20	33.59	12.00 W	0.00	285 of 817 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.766	30.28	12/14/20	46.34	16.06 W	0.00	286 of 817 - Sale <sup>25</sup>
	0.770	30.47	12/14/20	46.93	16.46 W	0.00	287 of 817 - Sale <sup>25</sup>
	0.995	39.36	12/14/20	61.26	21.90 W	0.00	288 of 817 - Sale <sup>25</sup>
	1.000	39.55	12/14/20	60.30	20.75 W	0.00	289 of 817 - Sale <sup>25</sup>
	1.000	39.55	12/14/20	60.31	20.76 W	0.00	290 of 817 - Sale <sup>25</sup>
	1.000	39.55	12/14/20	60.21	20.66 W	0.00	291 of 817 - Sale <sup>25</sup>
	1.000	39.55	12/14/20	60.20	20.65 W	0.00	292 of 817 - Sale <sup>25</sup>
	1.000	39.55	12/14/20	60.20	20.65 W	0.00	293 of 817 - Sale <sup>25</sup>
	1.000	39.55	12/14/20	61.69	22.14 W	0.00	294 of 817 - Sale <sup>25</sup>
	1.000	39.55	12/14/20	61.60	22.05 W	0.00	295 of 817 - Sale <sup>25</sup>
	1.454	57.51	12/14/20	89.59	32.08 W	0.00	296 of 817 - Sale <sup>25</sup>
	1.817	71.85	12/14/20	110.15	38.30 W	0.00	297 of 817 - Sale <sup>25</sup>
	2.111	83.49	12/14/20	129.92	46.43 W	0.00	298 of 817 - Sale <sup>25</sup>
	2.234	88.37	12/14/20	135.21	46.84 W	0.00	299 of 817 - Sale <sup>25</sup>
	3.000	118.65	12/14/20	181.19	62.54 W	0.00	300 of 817 - Sale <sup>25</sup>
	3.000	118.65	12/14/20	185.22	66.57 W	0.00	301 of 817 - Sale <sup>25</sup>
	3.604	142.55	12/14/20	219.56	77.01 W	0.00	302 of 817 - Sale <sup>25</sup>
	3.729	147.50	12/14/20	225.71	78.21 W	0.00	303 of 817 - Sale <sup>25</sup>
	3.831	151.52	12/14/20	214.57	63.05 W	0.00	304 of 817 - Sale <sup>25</sup>
	4.000	158.20	12/14/20	242.91	84.71 W	0.00	305 of 817 - Sale <sup>25</sup>
	4.000	158.20	12/14/20	246.66	88.46 W	0.00	306 of 817 - Sale <sup>25</sup>
	4.111	162.60	12/14/20	252.96	90.36 W	0.00	307 of 817 - Sale <sup>25</sup>
	4.889	193.37	12/14/20	297.86	104.49 W	0.00	308 of 817 - Sale <sup>25</sup>
	5.000	197.76	12/14/20	303.53	105.77 W	0.00	309 of 817 - Sale <sup>25</sup>
	5.000	197.76	12/14/20	301.05	103.29 W	0.00	310 of 817 - Sale <sup>25</sup>
	5.249	207.59	12/14/20	319.36	111.77 W	0.00	311 of 817 - Sale <sup>25</sup>
	5.459	215.90	12/14/20	328.33	112.43 W	0.00	312 of 817 - Sale <sup>25</sup>
	6.000	237.31	12/14/20	365.14	127.83 W	0.00	313 of 817 - Sale <sup>25</sup>
	7.372	291.57	12/14/20	448.68	157.11 W	0.00	314 of 817 - Sale <sup>25</sup>
	9.703	383.76	12/14/20	596.18	212.42 W	0.00	315 of 817 - Sale <sup>25</sup>
	1.000	39.55	12/14/20	45.22	5.67 W	0.00	316 of 817 - Sale <sup>25</sup>
	1.000	39.55	12/14/20	45.12	5.57 W	0.00	317 of 817 - Sale <sup>25</sup>
	4.000	158.21	12/14/20	180.91	22.70 W	0.00	318 of 817 - Sale <sup>25</sup>
	6.000	237.31	12/14/20	271.63	34.32 W	0.00	319 of 817 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	13.000	514.16	12/14/20	589.15	74.99 W	0.00	320 of 817 - Sale <sup>25</sup>
	1.000	40.90	12/14/20	59.00	18.10 W	0.00	321 of 817 - Sale <sup>25</sup>
	1.889	77.27	12/14/20	111.22	33.95 W	0.00	322 of 817 - Sale <sup>25</sup>
	2.000	81.81	12/14/20	117.91	36.10 W	0.00	323 of 817 - Sale <sup>25</sup>
	3.111	127.25	12/14/20	185.24	57.99 W	0.00	324 of 817 - Sale <sup>25</sup>
	8.000	327.23	12/14/20	472.41	145.18 W	0.00	325 of 817 - Sale <sup>25</sup>
	1.000	40.90	12/14/20	45.28	4.38 W	0.00	326 of 817 - Sale <sup>25</sup>
	8.000	327.23	12/14/20	370.44	43.21 W	0.00	327 of 817 - Sale <sup>25</sup>
	0.111	4.54	12/14/20	6.53	1.99 W	0.00	328 of 817 - Sale <sup>25</sup>
	2.111	86.35	12/14/20	124.21	37.86 W	0.00	329 of 817 - Sale <sup>25</sup>
	5.889	240.88	12/14/20	347.07	106.19 W	0.00	330 of 817 - Sale <sup>25</sup>
	0.271	11.07	12/14/20	16.45	5.38 W	0.00	331 of 817 - Sale <sup>25</sup>
	0.302	12.35	12/14/20	18.39	6.04 W	0.00	332 of 817 - Sale <sup>25</sup>
	0.729	29.84	12/14/20	44.32	14.48 W	0.00	333 of 817 - Sale <sup>25</sup>
	1.000	40.90	12/14/20	60.00	19.10 W	0.00	334 of 817 - Sale <sup>25</sup>
	1.000	40.90	12/14/20	60.89	19.99 W	0.00	335 of 817 - Sale <sup>25</sup>
	1.000	40.90	12/14/20	61.12	20.22 W	0.00	336 of 817 - Sale <sup>25</sup>
	1.000	40.90	12/14/20	60.56	19.66 W	0.00	337 of 817 - Sale <sup>25</sup>
	1.889	77.27	12/14/20	113.32	36.05 W	0.00	338 of 817 - Sale <sup>25</sup>
	3.000	122.71	12/14/20	183.34	60.63 W	0.00	339 of 817 - Sale <sup>25</sup>
	3.698	151.26	12/14/20	225.07	73.81 W	0.00	340 of 817 - Sale <sup>25</sup>
	5.000	204.52	12/14/20	302.73	98.21 W	0.00	341 of 817 - Sale <sup>25</sup>
	5.000	204.52	12/14/20	302.81	98.29 W	0.00	342 of 817 - Sale <sup>25</sup>
	1.000	40.90	12/14/20	61.35	20.45 W	0.00	343 of 817 - Sale <sup>25</sup>
	1.515	61.95	12/14/20	92.70	30.75 W	0.00	344 of 817 - Sale <sup>25</sup>
	3.000	122.71	12/14/20	183.58	60.87 W	0.00	345 of 817 - Sale <sup>25</sup>
	0.971	39.72	12/14/20	57.95	18.23 W	0.00	346 of 817 - Sale <sup>25</sup>
	1.000	40.90	12/14/20	59.61	18.71 W	0.00	347 of 817 - Sale <sup>25</sup>
	1.000	40.90	12/14/20	59.67	18.77 W	0.00	348 of 817 - Sale <sup>25</sup>
	1.485	60.76	12/14/20	89.62	28.86 W	0.00	349 of 817 - Sale <sup>25</sup>
	1.515	61.95	12/14/20	90.40	28.45 W	0.00	350 of 817 - Sale <sup>25</sup>
	1.998	81.71	12/14/20	118.44	36.73 W	0.00	351 of 817 - Sale <sup>25</sup>
	2.517	102.95	12/14/20	150.23	47.28 W	0.00	352 of 817 - Sale <sup>25</sup>
	6.000	245.42	12/14/20	362.32	116.90 W	0.00	353 of 817 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	6.000	245.42	12/14/20	361.96	116.54 W	0.00	354 of 817 - Sale <sup>25</sup>
	1.000	40.90	12/14/20	46.64	5.74 W	0.00	355 of 817 - Sale <sup>25</sup>
	3.000	122.71	12/14/20	138.88	16.17 W	0.00	356 of 817 - Sale <sup>25</sup>
	5.000	204.52	12/14/20	231.71	27.19 W	0.00	357 of 817 - Sale <sup>25</sup>
	6.000	245.42	12/14/20	279.82	34.40 W	0.00	358 of 817 - Sale <sup>25</sup>
	0.345	14.13	12/14/20	20.73	6.60 W	0.00	359 of 817 - Sale <sup>25</sup>
	0.485	19.86	12/14/20	29.17	9.31 W	0.00	360 of 817 - Sale <sup>25</sup>
	0.655	26.78	12/14/20	39.31	12.53 W	0.00	361 of 817 - Sale <sup>25</sup>
	1.758	71.93	12/14/20	105.52	33.59 W	0.00	362 of 817 - Sale <sup>25</sup>
	2.242	91.69	12/14/20	134.50	42.81 W	0.00	363 of 817 - Sale <sup>25</sup>
	3.000	122.71	12/14/20	180.14	57.43 W	0.00	364 of 817 - Sale <sup>25</sup>
	6.029	246.61	12/14/20	362.04	115.43 W	0.00	365 of 817 - Sale <sup>25</sup>
	2.485	101.67	12/14/20	150.82	49.15 W	0.00	366 of 817 - Sale <sup>25</sup>
	1.000	40.90	12/14/20	45.64	4.74 W	0.00	367 of 817 - Sale <sup>25</sup>
	1.000	40.90	12/14/20	46.84	5.94 W	0.00	368 of 817 - Sale <sup>25</sup>
	1.000	40.90	12/14/20	47.27	6.37 W	0.00	369 of 817 - Sale <sup>25</sup>
	1.000	40.90	12/14/20	47.43	6.53 W	0.00	370 of 817 - Sale <sup>25</sup>
	7.000	286.33	12/14/20	324.52	38.19 W	0.00	371 of 817 - Sale <sup>25</sup>
	7.000	286.33	12/14/20	324.53	38.20 W	0.00	372 of 817 - Sale <sup>25</sup>
	0.213	8.69	12/14/20	13.01	4.32 W	0.00	373 of 817 - Sale <sup>25</sup>
	0.729	29.84	12/14/20	43.02	13.18 W	0.00	374 of 817 - Sale <sup>25</sup>
	0.788	32.21	12/14/20	48.22	16.01 W	0.00	375 of 817 - Sale <sup>25</sup>
	1.756	71.83	12/14/20	105.04	33.21 W	0.00	376 of 817 - Sale <sup>25</sup>
	3.515	143.76	12/14/20	214.70	70.94 W	0.00	377 of 817 - Sale <sup>25</sup>
	8.000	327.23	12/14/20	488.70	161.47 W	0.00	378 of 817 - Sale <sup>25</sup>
	8.000	327.23	12/14/20	477.64	150.41 W	0.00	379 of 817 - Sale <sup>25</sup>
	7.000	286.33	12/14/20	323.80	37.47 W	0.00	380 of 817 - Sale <sup>25</sup>
	5.000	204.52	12/14/20	237.66	33.14 W	0.00	381 of 817 - Sale <sup>25</sup>
	0.485	19.86	12/14/20	28.01	8.15 W	0.00	382 of 817 - Sale <sup>25</sup>
	0.515	21.05	12/14/20	30.45	9.40 W	0.00	383 of 817 - Sale <sup>25</sup>
	0.515	21.05	12/14/20	29.63	8.58 W	0.00	384 of 817 - Sale <sup>25</sup>
	1.000	40.90	12/14/20	59.19	18.29 W	0.00	385 of 817 - Sale <sup>25</sup>
	1.000	40.90	12/14/20	57.71	16.81 W	0.00	386 of 817 - Sale <sup>25</sup>
	2.000	81.81	12/14/20	118.37	36.56 W	0.00	387 of 817 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.111	4.54	12/14/20	6.41	1.87 W	0.00	388 of 817 - Sale <sup>25</sup>
	0.973	39.81	12/14/20	57.88	18.07 W	0.00	389 of 817 - Sale <sup>25</sup>
	1.000	40.90	12/14/20	59.49	18.59 W	0.00	390 of 817 - Sale <sup>25</sup>
	1.027	41.99	12/14/20	61.06	19.07 W	0.00	391 of 817 - Sale <sup>25</sup>
	1.485	60.76	12/14/20	85.60	24.84 W	0.00	392 of 817 - Sale <sup>25</sup>
	1.485	60.76	12/14/20	85.44	24.68 W	0.00	393 of 817 - Sale <sup>25</sup>
	1.515	61.95	12/14/20	87.42	25.47 W	0.00	394 of 817 - Sale <sup>25</sup>
	1.889	77.27	12/14/20	108.70	31.43 W	0.00	395 of 817 - Sale <sup>25</sup>
	2.000	81.81	12/14/20	114.92	33.11 W	0.00	396 of 817 - Sale <sup>25</sup>
	2.374	97.12	12/14/20	136.17	39.05 W	0.00	397 of 817 - Sale <sup>25</sup>
	6.000	245.42	12/14/20	350.31	104.89 W	0.00	398 of 817 - Sale <sup>25</sup>
	14.626	598.25	12/14/20	841.72	243.47 W	0.00	399 of 817 - Sale <sup>25</sup>
	1.000	40.90	12/14/20	46.38	5.48 W	0.00	400 of 817 - Sale <sup>25</sup>
	1.000	40.90	12/14/20	46.33	5.43 W	0.00	401 of 817 - Sale <sup>25</sup>
	13.000	531.75	12/14/20	594.62	62.87 W	0.00	402 of 817 - Sale <sup>25</sup>
	0.027	1.09	12/14/20	1.59	0.50 W	0.00	403 of 817 - Sale <sup>25</sup>
	0.031	1.29	12/14/20	1.74	0.45 W	0.00	404 of 817 - Sale <sup>25</sup>
	0.106	4.35	12/14/20	6.21	1.86 W	0.00	405 of 817 - Sale <sup>25</sup>
	0.242	9.88	12/14/20	14.17	4.29 W	0.00	406 of 817 - Sale <sup>25</sup>
	0.244	9.98	12/14/20	14.32	4.34 W	0.00	407 of 817 - Sale <sup>25</sup>
	0.271	11.07	12/14/20	16.13	5.06 W	0.00	408 of 817 - Sale <sup>25</sup>
	0.454	18.57	12/14/20	26.52	7.95 W	0.00	409 of 817 - Sale <sup>25</sup>
	0.485	19.86	12/14/20	28.38	8.52 W	0.00	410 of 817 - Sale <sup>25</sup>
	0.515	21.05	12/14/20	30.50	9.45 W	0.00	411 of 817 - Sale <sup>25</sup>
	0.515	21.05	12/14/20	30.69	9.64 W	0.00	412 of 817 - Sale <sup>25</sup>
	0.618	25.29	12/14/20	36.36	11.07 W	0.00	413 of 817 - Sale <sup>25</sup>
	0.867	35.47	12/14/20	51.68	16.21 W	0.00	414 of 817 - Sale <sup>25</sup>
	0.894	36.56	12/14/20	52.54	15.98 W	0.00	415 of 817 - Sale <sup>25</sup>
	0.969	39.62	12/14/20	52.78	13.16 W	0.00	416 of 817 - Sale <sup>25</sup>
	0.973	39.81	12/14/20	56.00	16.19 W	0.00	417 of 817 - Sale <sup>25</sup>
	1.000	40.90	12/14/20	58.86	17.96 W	0.00	418 of 817 - Sale <sup>25</sup>
	1.000	40.90	12/14/20	58.80	17.90 W	0.00	419 of 817 - Sale <sup>25</sup>
	1.005	41.10	12/14/20	59.73	18.63 W	0.00	420 of 817 - Sale <sup>25</sup>
	1.031	42.19	12/14/20	58.91	16.72 W	0.00	421 of 817 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
1.106		45.25	12/14/20	65.05	19.80 W	0.00	422 of 817 - Sale <sup>25</sup>
1.111		45.45	12/14/20	65.85	20.40 W	0.00	423 of 817 - Sale <sup>25</sup>
1.244		50.88	12/14/20	73.15	22.27 W	0.00	424 of 817 - Sale <sup>25</sup>
1.244		50.88	12/14/20	73.19	22.31 W	0.00	425 of 817 - Sale <sup>25</sup>
1.382		56.51	12/14/20	82.00	25.49 W	0.00	426 of 817 - Sale <sup>25</sup>
1.382		56.51	12/14/20	81.36	24.85 W	0.00	427 of 817 - Sale <sup>25</sup>
1.449		59.28	12/14/20	85.94	26.66 W	0.00	428 of 817 - Sale <sup>25</sup>
1.481		60.57	12/14/20	87.93	27.36 W	0.00	429 of 817 - Sale <sup>25</sup>
1.485		60.76	12/14/20	87.44	26.68 W	0.00	430 of 817 - Sale <sup>25</sup>
1.515		61.95	12/14/20	88.88	26.93 W	0.00	431 of 817 - Sale <sup>25</sup>
1.546		63.24	12/14/20	90.28	27.04 W	0.00	432 of 817 - Sale <sup>25</sup>
1.698		69.45	12/14/20	100.16	30.71 W	0.00	433 of 817 - Sale <sup>25</sup>
1.756		71.83	12/14/20	104.27	32.44 W	0.00	434 of 817 - Sale <sup>25</sup>
1.756		71.83	12/14/20	103.31	31.48 W	0.00	435 of 817 - Sale <sup>25</sup>
2.000		81.81	12/14/20	119.79	37.98 W	0.00	436 of 817 - Sale <sup>25</sup>
2.000		81.81	12/14/20	117.48	35.67 W	0.00	437 of 817 - Sale <sup>25</sup>
2.485		101.67	12/14/20	146.27	44.60 W	0.00	438 of 817 - Sale <sup>25</sup>
2.618		107.10	12/14/20	155.24	48.14 W	0.00	439 of 817 - Sale <sup>25</sup>
2.623		107.30	12/14/20	154.38	47.08 W	0.00	440 of 817 - Sale <sup>25</sup>
3.000		122.71	12/14/20	176.99	54.28 W	0.00	441 of 817 - Sale <sup>25</sup>
3.515		143.76	12/14/20	201.82	58.06 W	0.00	442 of 817 - Sale <sup>25</sup>
3.515		143.76	12/14/20	207.61	63.85 W	0.00	443 of 817 - Sale <sup>25</sup>
3.788		154.92	12/14/20	223.43	68.51 W	0.00	444 of 817 - Sale <sup>25</sup>
3.969		162.33	12/14/20	231.71	69.38 W	0.00	445 of 817 - Sale <sup>25</sup>
4.133		169.05	12/14/20	246.40	77.35 W	0.00	446 of 817 - Sale <sup>25</sup>
4.169		170.53	12/14/20	247.22	76.69 W	0.00	447 of 817 - Sale <sup>25</sup>
4.271		174.69	12/14/20	251.35	76.66 W	0.00	448 of 817 - Sale <sup>25</sup>
4.377		179.03	12/14/20	257.61	78.58 W	0.00	449 of 817 - Sale <sup>25</sup>
4.515		184.66	12/14/20	262.93	78.27 W	0.00	450 of 817 - Sale <sup>25</sup>
4.729		193.45	12/14/20	280.45	87.00 W	0.00	451 of 817 - Sale <sup>25</sup>
4.894		200.18	12/14/20	285.96	85.78 W	0.00	452 of 817 - Sale <sup>25</sup>
8.000		327.23	12/14/20	470.72	143.49 W	0.00	453 of 817 - Sale <sup>25</sup>
1.969		80.52	12/14/20	112.74	32.22 W	0.00	454 of 817 - Sale <sup>25</sup>
2.515		102.85	12/14/20	155.84	52.99 W	0.00	455 of 817 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	7.592	310.53	12/14/20	470.49	159.96 W	0.00	456 of 817 - Sale <sup>25</sup>
	0.106	4.35	12/14/20	6.13	1.78 W	0.00	457 of 817 - Sale <sup>25</sup>
	0.106	4.35	12/14/20	6.49	2.14 W	0.00	458 of 817 - Sale <sup>25</sup>
	0.350	14.32	12/14/20	21.11	6.79 W	0.00	459 of 817 - Sale <sup>25</sup>
	0.650	26.58	12/14/20	39.34	12.76 W	0.00	460 of 817 - Sale <sup>25</sup>
	0.788	32.21	12/14/20	47.29	15.08 W	0.00	461 of 817 - Sale <sup>25</sup>
	0.894	36.56	12/14/20	55.43	18.87 W	0.00	462 of 817 - Sale <sup>25</sup>
	1.000	40.90	12/14/20	60.92	20.02 W	0.00	463 of 817 - Sale <sup>25</sup>
	2.106	86.15	12/14/20	128.80	42.65 W	0.00	464 of 817 - Sale <sup>25</sup>
	3.000	122.71	12/14/20	173.35	50.64 W	0.00	465 of 817 - Sale <sup>25</sup>
	3.031	124.00	12/14/20	175.19	51.19 W	0.00	466 of 817 - Sale <sup>25</sup>
	4.894	200.18	12/14/20	299.24	99.06 W	0.00	467 of 817 - Sale <sup>25</sup>
	9.000	368.14	12/14/20	557.64	189.50 W	0.00	468 of 817 - Sale <sup>25</sup>
	0.758	31.02	12/14/20	45.97	14.95 W	0.00	469 of 817 - Sale <sup>25</sup>
	0.792	32.41	12/14/20	47.91	15.50 W	0.00	470 of 817 - Sale <sup>25</sup>
	0.894	36.56	12/14/20	54.09	17.53 W	0.00	471 of 817 - Sale <sup>25</sup>
	1.208	49.40	12/14/20	73.35	23.95 W	0.00	472 of 817 - Sale <sup>25</sup>
	3.242	132.59	12/14/20	196.38	63.79 W	0.00	473 of 817 - Sale <sup>25</sup>
	5.106	208.87	12/14/20	308.97	100.10 W	0.00	474 of 817 - Sale <sup>25</sup>
	0.242	9.88	12/14/20	14.14	4.26 W	0.00	475 of 817 - Sale <sup>25</sup>
	0.138	5.63	12/14/20	8.21	2.58 W	0.00	476 of 817 - Sale <sup>25</sup>
	0.379	15.51	12/14/20	22.48	6.97 W	0.00	477 of 817 - Sale <sup>25</sup>
	1.242	50.78	12/14/20	76.71	25.93 W	0.00	478 of 817 - Sale <sup>25</sup>
	1.000	40.90	12/14/20	61.87	20.97 W	0.00	479 of 817 - Sale <sup>25</sup>
	1.000	40.90	12/14/20	62.22	21.32 W	0.00	480 of 817 - Sale <sup>25</sup>
	3.000	122.71	12/14/20	188.89	66.18 W	0.00	481 of 817 - Sale <sup>25</sup>
	0.205	8.40	12/14/20	12.09	3.69 W	0.00	482 of 817 - Sale <sup>25</sup>
	0.483	19.76	12/14/20	28.37	8.61 W	0.00	483 of 817 - Sale <sup>25</sup>
	1.000	40.90	12/14/20	60.73	19.83 W	0.00	484 of 817 - Sale <sup>25</sup>
	1.000	40.90	12/14/20	60.74	19.84 W	0.00	485 of 817 - Sale <sup>25</sup>
	1.000	40.90	12/14/20	61.04	20.14 W	0.00	486 of 817 - Sale <sup>25</sup>
	2.000	81.81	12/14/20	121.55	39.74 W	0.00	487 of 817 - Sale <sup>25</sup>
	2.000	81.81	12/14/20	121.45	39.64 W	0.00	488 of 817 - Sale <sup>25</sup>
	2.000	81.81	12/14/20	121.44	39.63 W	0.00	489 of 817 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	2.000	81.81	12/14/20	122.04	40.23 W	0.00	490 of 817 - Sale <sup>25</sup>
	2.000	81.81	12/14/20	122.12	40.31 W	0.00	491 of 817 - Sale <sup>25</sup>
	3.795	155.22	12/14/20	223.05	67.83 W	0.00	492 of 817 - Sale <sup>25</sup>
	4.000	163.62	12/14/20	244.11	80.49 W	0.00	493 of 817 - Sale <sup>25</sup>
	7.000	286.33	12/14/20	424.09	137.76 W	0.00	494 of 817 - Sale <sup>25</sup>
	8.000	327.24	12/14/20	488.10	160.86 W	0.00	495 of 817 - Sale <sup>25</sup>
	0.517	21.14	12/14/20	28.48	7.34 W	0.00	496 of 817 - Sale <sup>25</sup>
	0.932	38.14	12/14/20	51.41	13.27 W	0.00	497 of 817 - Sale <sup>25</sup>
	1.000	40.90	12/14/20	55.16	14.26 W	0.00	498 of 817 - Sale <sup>25</sup>
	1.000	40.90	12/14/20	55.16	14.26 W	0.00	499 of 817 - Sale <sup>25</sup>
	1.068	43.67	12/14/20	58.89	15.22 W	0.00	500 of 817 - Sale <sup>25</sup>
	1.244	50.88	12/14/20	68.55	17.67 W	0.00	501 of 817 - Sale <sup>25</sup>
	2.205	90.21	12/14/20	121.62	31.41 W	0.00	502 of 817 - Sale <sup>25</sup>
	3.795	155.22	12/14/20	209.29	54.07 W	0.00	503 of 817 - Sale <sup>25</sup>
	6.000	245.43	12/14/20	331.24	85.81 W	0.00	504 of 817 - Sale <sup>25</sup>
	1.000	40.90	12/14/20	53.92	13.02 W	0.00	505 of 817 - Sale <sup>25</sup>
	3.000	122.71	12/14/20	162.42	39.71 W	0.00	506 of 817 - Sale <sup>25</sup>
	3.000	122.71	12/14/20	162.44	39.73 W	0.00	507 of 817 - Sale <sup>25</sup>
	3.000	122.71	12/14/20	165.13	42.42 W	0.00	508 of 817 - Sale <sup>25</sup>
	4.000	163.62	12/14/20	220.15	56.53 W	0.00	509 of 817 - Sale <sup>25</sup>
	4.000	163.62	12/14/20	221.76	58.14 W	0.00	510 of 817 - Sale <sup>25</sup>
	5.756	235.45	12/14/20	310.79	75.34 W	0.00	511 of 817 - Sale <sup>25</sup>
	6.000	245.43	12/14/20	333.60	88.17 W	0.00	512 of 817 - Sale <sup>25</sup>
	1.000	40.90	12/14/20	55.85	14.95 W	0.00	513 of 817 - Sale <sup>25</sup>
	1.000	40.90	12/14/20	55.79	14.89 W	0.00	514 of 817 - Sale <sup>25</sup>
	2.000	81.81	12/14/20	103.45	21.64 W	0.00	515 of 817 - Sale <sup>25</sup>
	2.000	81.81	12/14/20	102.70	20.89 W	0.00	516 of 817 - Sale <sup>25</sup>
	6.000	245.43	12/14/20	308.19	62.76 W	0.00	517 of 817 - Sale <sup>25</sup>
	3.000	122.71	12/14/20	147.06	24.35 W	0.00	518 of 817 - Sale <sup>25</sup>
	5.000	204.52	12/14/20	244.92	40.40 W	0.00	519 of 817 - Sale <sup>25</sup>
	5.000	204.52	12/14/20	244.91	40.39 W	0.00	520 of 817 - Sale <sup>25</sup>
	9.000	368.14	12/14/20	440.38	72.24 W	0.00	521 of 817 - Sale <sup>25</sup>
	9.000	368.14	12/14/20	473.15	105.01 W	0.00	522 of 817 - Sale <sup>25</sup>
	10.000	409.05	12/14/20	513.87	104.82 W	0.00	523 of 817 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
11.000		449.95	12/14/20	539.16	89.21 W	0.00	524 of 817 - Sale <sup>25</sup>
2.000		81.81	12/14/20	96.92	15.11 W	0.00	525 of 817 - Sale <sup>25</sup>
4.000		163.62	12/14/20	193.84	30.22 W	0.00	526 of 817 - Sale <sup>25</sup>
6.000		245.43	12/14/20	284.17	38.74 W	0.00	527 of 817 - Sale <sup>25</sup>
1.000		40.90	12/14/20	48.04	7.14 W	0.00	528 of 817 - Sale <sup>25</sup>
1.000		40.90	12/14/20	48.62	7.72 W	0.00	529 of 817 - Sale <sup>25</sup>
1.000		40.90	12/14/20	48.63	7.73 W	0.00	530 of 817 - Sale <sup>25</sup>
1.000		40.90	12/14/20	48.67	7.77 W	0.00	531 of 817 - Sale <sup>25</sup>
2.000		81.81	12/14/20	96.13	14.32 W	0.00	532 of 817 - Sale <sup>25</sup>
2.000		81.81	12/14/20	91.74	9.93 W	0.00	533 of 817 - Sale <sup>25</sup>
3.000		122.71	12/14/20	143.91	21.20 W	0.00	534 of 817 - Sale <sup>25</sup>
5.000		204.53	12/14/20	238.39	33.86 W	0.00	535 of 817 - Sale <sup>25</sup>
6.000		245.43	12/14/20	287.85	42.42 W	0.00	536 of 817 - Sale <sup>25</sup>
8.000		327.24	12/14/20	382.99	55.75 W	0.00	537 of 817 - Sale <sup>25</sup>
3.000		122.71	12/14/20	145.52	22.81 W	0.00	538 of 817 - Sale <sup>25</sup>
2.000		81.72	12/14/20	122.37	40.65 W	0.00	539 of 817 - Sale <sup>25</sup>
0.316		12.93	12/14/20	21.42	8.49 W	0.00	540 of 817 - Sale <sup>25</sup>
1.138		46.48	12/14/20	76.99	30.51 W	0.00	541 of 817 - Sale <sup>25</sup>
3.169		129.49	12/14/20	211.58	82.09 W	0.00	542 of 817 - Sale <sup>25</sup>
5.831		238.24	12/14/20	389.40	151.16 W	0.00	543 of 817 - Sale <sup>25</sup>
7.000		286.01	12/14/20	442.53	156.52 W	0.00	544 of 817 - Sale <sup>25</sup>
0.092		3.76	12/14/20	5.64	1.88 W	0.00	545 of 817 - Sale <sup>25</sup>
0.454		18.55	12/14/20	27.92	9.37 W	0.00	546 of 817 - Sale <sup>25</sup>
0.546		22.31	12/14/20	33.70	11.39 W	0.00	547 of 817 - Sale <sup>25</sup>
0.546		22.31	12/14/20	33.45	11.14 W	0.00	548 of 817 - Sale <sup>25</sup>
1.046		42.73	12/14/20	64.22	21.49 W	0.00	549 of 817 - Sale <sup>25</sup>
1.316		53.78	12/14/20	80.64	26.86 W	0.00	550 of 817 - Sale <sup>25</sup>
1.408		57.54	12/14/20	86.27	28.73 W	0.00	551 of 817 - Sale <sup>25</sup>
1.454		59.41	12/14/20	89.05	29.64 W	0.00	552 of 817 - Sale <sup>25</sup>
2.000		81.72	12/14/20	122.94	41.22 W	0.00	553 of 817 - Sale <sup>25</sup>
2.000		81.72	12/14/20	122.95	41.23 W	0.00	554 of 817 - Sale <sup>25</sup>
2.000		81.72	12/14/20	122.47	40.75 W	0.00	555 of 817 - Sale <sup>25</sup>
3.230		131.96	12/14/20	197.92	65.96 W	0.00	556 of 817 - Sale <sup>25</sup>
3.454		141.13	12/14/20	212.33	71.20 W	0.00	557 of 817 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.000	40.86	12/14/20	47.06	6.20 W	0.00	558 of 817 - Sale <sup>25</sup>
	6.000	245.15	12/14/20	283.77	38.62 W	0.00	559 of 817 - Sale <sup>25</sup>
	4.000	163.44	12/14/20	190.81	27.37 W	0.00	560 of 817 - Sale <sup>25</sup>
	1.000	40.86	12/14/20	47.07	6.21 W	0.00	561 of 817 - Sale <sup>25</sup>
	1.000	40.86	12/14/20	47.06	6.20 W	0.00	562 of 817 - Sale <sup>25</sup>
	3.000	122.58	12/14/20	141.03	18.45 W	0.00	563 of 817 - Sale <sup>25</sup>
	6.000	245.15	12/14/20	282.70	37.55 W	0.00	564 of 817 - Sale <sup>25</sup>
	10.000	408.59	12/14/20	478.21	69.62 W	0.00	565 of 817 - Sale <sup>25</sup>
	1.000	40.86	12/14/20	46.71	5.85 W	0.00	566 of 817 - Sale <sup>25</sup>
	5.000	204.29	12/14/20	238.57	34.28 W	0.00	567 of 817 - Sale <sup>25</sup>
	7.000	286.01	12/14/20	333.99	47.98 W	0.00	568 of 817 - Sale <sup>25</sup>
	7.000	286.01	12/14/20	330.30	44.29 W	0.00	569 of 817 - Sale <sup>25</sup>
	9.000	367.73	12/14/20	426.27	58.54 W	0.00	570 of 817 - Sale <sup>25</sup>
	0.077	3.15	12/14/20	4.77	1.62 W	0.00	571 of 817 - Sale <sup>25</sup>
	1.092	44.61	12/14/20	67.85	23.24 W	0.00	572 of 817 - Sale <sup>25</sup>
	3.377	137.97	12/14/20	208.66	70.69 W	0.00	573 of 817 - Sale <sup>25</sup>
	4.077	166.59	12/14/20	253.35	86.76 W	0.00	574 of 817 - Sale <sup>25</sup>
	4.831	197.38	12/14/20	300.22	102.84 W	0.00	575 of 817 - Sale <sup>25</sup>
	5.000	204.30	12/14/20	310.74	106.44 W	0.00	576 of 817 - Sale <sup>25</sup>
	6.000	245.15	12/14/20	367.14	121.99 W	0.00	577 of 817 - Sale <sup>25</sup>
	6.546	267.46	12/14/20	409.02	141.56 W	0.00	578 of 817 - Sale <sup>25</sup>
	0.454	18.55	12/14/20	28.94	10.39 W	0.00	579 of 817 - Sale <sup>25</sup>
	0.546	22.31	12/14/20	34.79	12.48 W	0.00	580 of 817 - Sale <sup>25</sup>
	6.000	245.15	12/14/20	383.00	137.85 W	0.00	581 of 817 - Sale <sup>25</sup>
	2.000	81.72	12/14/20	95.70	13.98 W	0.00	582 of 817 - Sale <sup>25</sup>
	1.000	40.86	12/14/20	47.24	6.38 W	0.00	583 of 817 - Sale <sup>25</sup>
	9.000	367.73	12/14/20	424.68	56.95 W	0.00	584 of 817 - Sale <sup>25</sup>
	0.092	3.76	12/14/20	6.02	2.26 W	0.00	585 of 817 - Sale <sup>25</sup>
	0.454	18.55	12/14/20	28.93	10.38 W	0.00	586 of 817 - Sale <sup>25</sup>
	0.454	18.55	12/14/20	28.93	10.38 W	0.00	587 of 817 - Sale <sup>25</sup>
	0.546	22.31	12/14/20	35.56	13.25 W	0.00	588 of 817 - Sale <sup>25</sup>
	1.174	47.96	12/14/20	75.83	27.87 W	0.00	589 of 817 - Sale <sup>25</sup>
	1.918	78.37	12/14/20	123.89	45.52 W	0.00	590 of 817 - Sale <sup>25</sup>
	2.000	81.72	12/14/20	128.99	47.27 W	0.00	591 of 817 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	3.454	141.13	12/14/20	225.13	84.00 W	0.00	592 of 817 - Sale <sup>25</sup>
	3.908	159.68	12/14/20	245.43	85.75 W	0.00	593 of 817 - Sale <sup>25</sup>
	2.000	81.72	12/14/20	93.31	11.59 W	0.00	594 of 817 - Sale <sup>25</sup>
	4.000	163.44	12/14/20	186.63	23.19 W	0.00	595 of 817 - Sale <sup>25</sup>
	13.000	531.17	12/14/20	606.60	75.43 W	0.00	596 of 817 - Sale <sup>25</sup>
	1.000	40.86	12/14/20	47.72	6.86 W	0.00	597 of 817 - Sale <sup>25</sup>
	3.000	122.58	12/14/20	143.03	20.45 W	0.00	598 of 817 - Sale <sup>25</sup>
	11.000	449.45	12/14/20	523.73	74.28 W	0.00	599 of 817 - Sale <sup>25</sup>
	2.000	81.72	12/14/20	94.03	12.31 W	0.00	600 of 817 - Sale <sup>25</sup>
	0.019	0.78	12/14/20	1.21	0.43 W	0.00	601 of 817 - Sale <sup>25</sup>
	0.688	28.13	12/14/20	43.90	15.77 W	0.00	602 of 817 - Sale <sup>25</sup>
	0.720	29.41	12/14/20	45.87	16.46 W	0.00	603 of 817 - Sale <sup>25</sup>
	5.138	209.92	12/14/20	327.69	117.77 W	0.00	604 of 817 - Sale <sup>25</sup>
	6.435	262.92	12/14/20	383.33	120.41 W	0.00	605 of 817 - Sale <sup>25</sup>
	0.063	2.57	12/14/20	3.90	1.33 W	0.00	606 of 817 - Sale <sup>25</sup>
	0.766	31.28	12/14/20	47.41	16.13 W	0.00	607 of 817 - Sale <sup>25</sup>
	0.770	31.48	12/14/20	48.01	16.53 W	0.00	608 of 817 - Sale <sup>25</sup>
	2.234	91.29	12/14/20	138.34	47.05 W	0.00	609 of 817 - Sale <sup>25</sup>
	2.546	104.03	12/14/20	157.65	53.62 W	0.00	610 of 817 - Sale <sup>25</sup>
	5.249	214.46	12/14/20	326.71	112.25 W	0.00	611 of 817 - Sale <sup>25</sup>
	7.372	301.21	12/14/20	458.99	157.78 W	0.00	612 of 817 - Sale <sup>25</sup>
	7.000	286.01	12/14/20	332.20	46.19 W	0.00	613 of 817 - Sale <sup>25</sup>
	0.111	4.55	12/14/20	6.94	2.39 W	0.00	614 of 817 - Sale <sup>25</sup>
	0.297	12.18	12/14/20	18.71	6.53 W	0.00	615 of 817 - Sale <sup>25</sup>
	0.435	17.82	12/14/20	27.54	9.72 W	0.00	616 of 817 - Sale <sup>25</sup>
	0.454	18.60	12/14/20	28.64	10.04 W	0.00	617 of 817 - Sale <sup>25</sup>
	0.546	22.37	12/14/20	34.42	12.05 W	0.00	618 of 817 - Sale <sup>25</sup>
	0.995	40.78	12/14/20	62.76	21.98 W	0.00	619 of 817 - Sale <sup>25</sup>
	1.000	40.97	12/14/20	61.81	20.84 W	0.00	620 of 817 - Sale <sup>25</sup>
	1.000	40.97	12/14/20	61.82	20.85 W	0.00	621 of 817 - Sale <sup>25</sup>
	1.000	40.97	12/14/20	61.72	20.75 W	0.00	622 of 817 - Sale <sup>25</sup>
	1.000	40.97	12/14/20	61.71	20.74 W	0.00	623 of 817 - Sale <sup>25</sup>
	1.000	40.97	12/14/20	61.71	20.74 W	0.00	624 of 817 - Sale <sup>25</sup>
	1.000	40.97	12/14/20	63.20	22.23 W	0.00	625 of 817 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.000	40.97	12/14/20	63.11	22.14 W	0.00	626 of 817 - Sale <sup>25</sup>
	1.183	48.49	12/14/20	73.41	24.92 W	0.00	627 of 817 - Sale <sup>25</sup>
	1.454	59.57	12/14/20	91.78	32.21 W	0.00	628 of 817 - Sale <sup>25</sup>
	1.817	74.43	12/14/20	112.89	38.46 W	0.00	629 of 817 - Sale <sup>25</sup>
	2.111	86.49	12/14/20	133.11	46.62 W	0.00	630 of 817 - Sale <sup>25</sup>
	3.000	122.91	12/14/20	185.72	62.81 W	0.00	631 of 817 - Sale <sup>25</sup>
	3.000	122.91	12/14/20	189.75	66.84 W	0.00	632 of 817 - Sale <sup>25</sup>
	3.604	147.66	12/14/20	224.99	77.33 W	0.00	633 of 817 - Sale <sup>25</sup>
	3.831	156.95	12/14/20	220.35	63.40 W	0.00	634 of 817 - Sale <sup>25</sup>
	4.000	163.88	12/14/20	248.95	85.07 W	0.00	635 of 817 - Sale <sup>25</sup>
	4.000	163.88	12/14/20	252.70	88.82 W	0.00	636 of 817 - Sale <sup>25</sup>
	4.111	168.43	12/14/20	259.16	90.73 W	0.00	637 of 817 - Sale <sup>25</sup>
	4.889	200.31	12/14/20	305.23	104.92 W	0.00	638 of 817 - Sale <sup>25</sup>
	5.000	204.85	12/14/20	311.07	106.22 W	0.00	639 of 817 - Sale <sup>25</sup>
	5.000	204.85	12/14/20	308.59	103.74 W	0.00	640 of 817 - Sale <sup>25</sup>
	5.459	223.65	12/14/20	336.57	112.92 W	0.00	641 of 817 - Sale <sup>25</sup>
	6.000	245.82	12/14/20	374.19	128.37 W	0.00	642 of 817 - Sale <sup>25</sup>
	9.703	397.53	12/14/20	610.81	213.28 W	0.00	643 of 817 - Sale <sup>25</sup>
	1.000	40.97	12/14/20	46.73	5.76 W	0.00	644 of 817 - Sale <sup>25</sup>
	2.000	81.94	12/14/20	93.47	11.53 W	0.00	645 of 817 - Sale <sup>25</sup>
	6.000	245.82	12/14/20	280.68	34.86 W	0.00	646 of 817 - Sale <sup>25</sup>
	13.000	532.62	12/14/20	608.77	76.15 W	0.00	647 of 817 - Sale <sup>25</sup>
	0.111	4.55	12/14/20	6.54	1.99 W	0.00	648 of 817 - Sale <sup>25</sup>
	1.000	40.97	12/14/20	59.10	18.13 W	0.00	649 of 817 - Sale <sup>25</sup>
	1.889	77.39	12/14/20	111.40	34.01 W	0.00	650 of 817 - Sale <sup>25</sup>
	2.000	81.94	12/14/20	118.10	36.16 W	0.00	651 of 817 - Sale <sup>25</sup>
	2.111	86.49	12/14/20	124.41	37.92 W	0.00	652 of 817 - Sale <sup>25</sup>
	3.111	127.46	12/14/20	185.54	58.08 W	0.00	653 of 817 - Sale <sup>25</sup>
	5.889	241.28	12/14/20	347.64	106.36 W	0.00	654 of 817 - Sale <sup>25</sup>
	8.000	327.77	12/14/20	473.18	145.41 W	0.00	655 of 817 - Sale <sup>25</sup>
	0.271	11.09	12/14/20	16.47	5.38 W	0.00	656 of 817 - Sale <sup>25</sup>
	0.302	12.37	12/14/20	18.42	6.05 W	0.00	657 of 817 - Sale <sup>25</sup>
	0.729	29.88	12/14/20	44.39	14.51 W	0.00	658 of 817 - Sale <sup>25</sup>
	1.000	40.97	12/14/20	60.10	19.13 W	0.00	659 of 817 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.000	40.97	12/14/20	60.99	20.02 W	0.00	660 of 817 - Sale <sup>25</sup>
	1.000	40.97	12/14/20	61.22	20.25 W	0.00	661 of 817 - Sale <sup>25</sup>
	1.000	40.97	12/14/20	60.66	19.69 W	0.00	662 of 817 - Sale <sup>25</sup>
	1.889	77.39	12/14/20	113.50	36.11 W	0.00	663 of 817 - Sale <sup>25</sup>
	3.000	122.91	12/14/20	183.63	60.72 W	0.00	664 of 817 - Sale <sup>25</sup>
	3.698	151.51	12/14/20	225.43	73.92 W	0.00	665 of 817 - Sale <sup>25</sup>
	5.000	204.85	12/14/20	303.21	98.36 W	0.00	666 of 817 - Sale <sup>25</sup>
	5.000	204.85	12/14/20	303.29	98.44 W	0.00	667 of 817 - Sale <sup>25</sup>
	1.000	40.97	12/14/20	61.45	20.48 W	0.00	668 of 817 - Sale <sup>25</sup>
	1.515	62.05	12/14/20	92.85	30.80 W	0.00	669 of 817 - Sale <sup>25</sup>
	3.000	122.91	12/14/20	183.87	60.96 W	0.00	670 of 817 - Sale <sup>25</sup>
	0.345	14.15	12/14/20	20.76	6.61 W	0.00	671 of 817 - Sale <sup>25</sup>
	0.485	19.89	12/14/20	29.21	9.32 W	0.00	672 of 817 - Sale <sup>25</sup>
	0.655	26.82	12/14/20	39.37	12.55 W	0.00	673 of 817 - Sale <sup>25</sup>
	0.971	39.78	12/14/20	58.04	18.26 W	0.00	674 of 817 - Sale <sup>25</sup>
	1.000	40.97	12/14/20	59.71	18.74 W	0.00	675 of 817 - Sale <sup>25</sup>
	1.000	40.97	12/14/20	59.77	18.80 W	0.00	676 of 817 - Sale <sup>25</sup>
	1.485	60.86	12/14/20	89.76	28.90 W	0.00	677 of 817 - Sale <sup>25</sup>
	1.515	62.05	12/14/20	90.55	28.50 W	0.00	678 of 817 - Sale <sup>25</sup>
	1.758	72.05	12/14/20	105.69	33.64 W	0.00	679 of 817 - Sale <sup>25</sup>
	1.998	81.84	12/14/20	118.63	36.79 W	0.00	680 of 817 - Sale <sup>25</sup>
	2.242	91.84	12/14/20	134.71	42.87 W	0.00	681 of 817 - Sale <sup>25</sup>
	2.517	103.12	12/14/20	150.47	47.35 W	0.00	682 of 817 - Sale <sup>25</sup>
	3.000	122.91	12/14/20	180.43	57.52 W	0.00	683 of 817 - Sale <sup>25</sup>
	6.000	245.83	12/14/20	362.90	117.07 W	0.00	684 of 817 - Sale <sup>25</sup>
	6.000	245.83	12/14/20	362.54	116.71 W	0.00	685 of 817 - Sale <sup>25</sup>
	6.029	247.02	12/14/20	362.62	115.60 W	0.00	686 of 817 - Sale <sup>25</sup>
	0.213	8.71	12/14/20	13.03	4.32 W	0.00	687 of 817 - Sale <sup>25</sup>
	0.788	32.26	12/14/20	48.30	16.04 W	0.00	688 of 817 - Sale <sup>25</sup>
	2.485	101.83	12/14/20	151.05	49.22 W	0.00	689 of 817 - Sale <sup>25</sup>
	3.515	143.99	12/14/20	215.04	71.05 W	0.00	690 of 817 - Sale <sup>25</sup>
	7.485	306.69	12/14/20	457.99	151.30 W	0.00	691 of 817 - Sale <sup>25</sup>
	1.000	40.97	12/14/20	45.38	4.41 W	0.00	692 of 817 - Sale <sup>25</sup>
	1.000	40.97	12/14/20	45.74	4.77 W	0.00	693 of 817 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.000	40.97	12/14/20	46.74	5.77 W	0.00	694 of 817 - Sale <sup>25</sup>
	1.000	40.97	12/14/20	46.94	5.97 W	0.00	695 of 817 - Sale <sup>25</sup>
	1.000	40.97	12/14/20	47.37	6.40 W	0.00	696 of 817 - Sale <sup>25</sup>
	1.000	40.97	12/14/20	47.53	6.56 W	0.00	697 of 817 - Sale <sup>25</sup>
	3.000	122.91	12/14/20	139.17	16.26 W	0.00	698 of 817 - Sale <sup>25</sup>
	5.000	204.85	12/14/20	232.19	27.34 W	0.00	699 of 817 - Sale <sup>25</sup>
	6.000	245.83	12/14/20	280.40	34.57 W	0.00	700 of 817 - Sale <sup>25</sup>
	7.000	286.80	12/14/20	325.19	38.39 W	0.00	701 of 817 - Sale <sup>25</sup>
	7.000	286.80	12/14/20	325.20	38.40 W	0.00	702 of 817 - Sale <sup>25</sup>
	8.000	327.77	12/14/20	371.21	43.44 W	0.00	703 of 817 - Sale <sup>25</sup>
	1.000	40.97	12/14/20	46.57	5.60 W	0.00	704 of 817 - Sale <sup>25</sup>
	2.000	81.94	12/14/20	93.35	11.41 W	0.00	705 of 817 - Sale <sup>25</sup>
	0.242	9.90	12/14/20	14.22	4.32 W	0.00	706 of 817 - Sale <sup>25</sup>
	0.138	5.64	12/14/20	8.26	2.62 W	0.00	707 of 817 - Sale <sup>25</sup>
	0.379	15.54	12/14/20	22.60	7.06 W	0.00	708 of 817 - Sale <sup>25</sup>
	1.242	50.87	12/14/20	77.11	26.24 W	0.00	709 of 817 - Sale <sup>25</sup>
	0.485	19.89	12/14/20	28.16	8.27 W	0.00	710 of 817 - Sale <sup>25</sup>
	0.515	21.08	12/14/20	31.60	10.52 W	0.00	711 of 817 - Sale <sup>25</sup>
	0.515	21.08	12/14/20	30.61	9.53 W	0.00	712 of 817 - Sale <sup>25</sup>
	0.515	21.08	12/14/20	29.79	8.71 W	0.00	713 of 817 - Sale <sup>25</sup>
	0.729	29.88	12/14/20	43.25	13.37 W	0.00	714 of 817 - Sale <sup>25</sup>
	1.000	40.97	12/14/20	59.52	18.55 W	0.00	715 of 817 - Sale <sup>25</sup>
	1.000	40.97	12/14/20	58.04	17.07 W	0.00	716 of 817 - Sale <sup>25</sup>
	1.756	71.95	12/14/20	105.61	33.66 W	0.00	717 of 817 - Sale <sup>25</sup>
	2.000	81.94	12/14/20	119.01	37.07 W	0.00	718 of 817 - Sale <sup>25</sup>
	8.000	327.77	12/14/20	480.22	152.45 W	0.00	719 of 817 - Sale <sup>25</sup>
	0.027	1.09	12/14/20	1.60	0.51 W	0.00	720 of 817 - Sale <sup>25</sup>
	0.031	1.29	12/14/20	1.75	0.46 W	0.00	721 of 817 - Sale <sup>25</sup>
	0.106	4.35	12/14/20	6.24	1.89 W	0.00	722 of 817 - Sale <sup>25</sup>
	0.111	4.55	12/14/20	6.45	1.90 W	0.00	723 of 817 - Sale <sup>25</sup>
	0.242	9.90	12/14/20	14.25	4.35 W	0.00	724 of 817 - Sale <sup>25</sup>
	0.244	9.99	12/14/20	14.40	4.41 W	0.00	725 of 817 - Sale <sup>25</sup>
	0.271	11.09	12/14/20	16.22	5.13 W	0.00	726 of 817 - Sale <sup>25</sup>
	0.454	18.60	12/14/20	26.67	8.07 W	0.00	727 of 817 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.485	19.89	12/14/20	28.53	8.64 W	0.00	728 of 817 - Sale <sup>25</sup>
	0.515	21.08	12/14/20	30.66	9.58 W	0.00	729 of 817 - Sale <sup>25</sup>
	0.515	21.08	12/14/20	30.85	9.77 W	0.00	730 of 817 - Sale <sup>25</sup>
	0.618	25.34	12/14/20	36.56	11.22 W	0.00	731 of 817 - Sale <sup>25</sup>
	0.867	35.53	12/14/20	51.96	16.43 W	0.00	732 of 817 - Sale <sup>25</sup>
	0.894	36.62	12/14/20	52.83	16.21 W	0.00	733 of 817 - Sale <sup>25</sup>
	0.969	39.68	12/14/20	53.09	13.41 W	0.00	734 of 817 - Sale <sup>25</sup>
	0.973	39.88	12/14/20	58.20	18.32 W	0.00	735 of 817 - Sale <sup>25</sup>
	0.973	39.88	12/14/20	56.32	16.44 W	0.00	736 of 817 - Sale <sup>25</sup>
	1.000	40.97	12/14/20	59.82	18.85 W	0.00	737 of 817 - Sale <sup>25</sup>
	1.000	40.97	12/14/20	59.19	18.22 W	0.00	738 of 817 - Sale <sup>25</sup>
	1.000	40.97	12/14/20	59.13	18.16 W	0.00	739 of 817 - Sale <sup>25</sup>
	1.005	41.17	12/14/20	60.05	18.88 W	0.00	740 of 817 - Sale <sup>25</sup>
	1.027	42.06	12/14/20	61.40	19.34 W	0.00	741 of 817 - Sale <sup>25</sup>
	1.031	42.26	12/14/20	59.24	16.98 W	0.00	742 of 817 - Sale <sup>25</sup>
	1.106	45.32	12/14/20	65.41	20.09 W	0.00	743 of 817 - Sale <sup>25</sup>
	1.111	45.52	12/14/20	66.20	20.68 W	0.00	744 of 817 - Sale <sup>25</sup>
	1.244	50.97	12/14/20	73.55	22.58 W	0.00	745 of 817 - Sale <sup>25</sup>
	1.244	50.96	12/14/20	73.59	22.63 W	0.00	746 of 817 - Sale <sup>25</sup>
	1.382	56.61	12/14/20	82.45	25.84 W	0.00	747 of 817 - Sale <sup>25</sup>
	1.382	56.61	12/14/20	81.81	25.20 W	0.00	748 of 817 - Sale <sup>25</sup>
	1.449	59.38	12/14/20	86.41	27.03 W	0.00	749 of 817 - Sale <sup>25</sup>
	1.481	60.67	12/14/20	88.40	27.73 W	0.00	750 of 817 - Sale <sup>25</sup>
	1.485	60.86	12/14/20	86.08	25.22 W	0.00	751 of 817 - Sale <sup>25</sup>
	1.485	60.86	12/14/20	85.92	25.06 W	0.00	752 of 817 - Sale <sup>25</sup>
	1.485	60.86	12/14/20	87.92	27.06 W	0.00	753 of 817 - Sale <sup>25</sup>
	1.515	62.05	12/14/20	87.91	25.86 W	0.00	754 of 817 - Sale <sup>25</sup>
	1.515	62.05	12/14/20	89.37	27.32 W	0.00	755 of 817 - Sale <sup>25</sup>
	1.546	63.34	12/14/20	90.77	27.43 W	0.00	756 of 817 - Sale <sup>25</sup>
	1.698	69.57	12/14/20	100.71	31.14 W	0.00	757 of 817 - Sale <sup>25</sup>
	1.756	71.95	12/14/20	104.84	32.89 W	0.00	758 of 817 - Sale <sup>25</sup>
	1.756	71.95	12/14/20	103.88	31.93 W	0.00	759 of 817 - Sale <sup>25</sup>
	1.889	77.39	12/14/20	109.31	31.92 W	0.00	760 of 817 - Sale <sup>25</sup>
	1.969	80.65	12/14/20	113.38	32.73 W	0.00	761 of 817 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	2.000	81.94	12/14/20	115.56	33.62 W	0.00	762 of 817 - Sale <sup>25</sup>
	2.000	81.94	12/14/20	120.43	38.49 W	0.00	763 of 817 - Sale <sup>25</sup>
	2.000	81.94	12/14/20	118.12	36.18 W	0.00	764 of 817 - Sale <sup>25</sup>
	2.374	97.28	12/14/20	136.94	39.66 W	0.00	765 of 817 - Sale <sup>25</sup>
	2.485	101.83	12/14/20	147.07	45.24 W	0.00	766 of 817 - Sale <sup>25</sup>
	2.515	103.02	12/14/20	156.65	53.63 W	0.00	767 of 817 - Sale <sup>25</sup>
	2.618	107.28	12/14/20	156.09	48.81 W	0.00	768 of 817 - Sale <sup>25</sup>
	2.623	107.47	12/14/20	155.22	47.75 W	0.00	769 of 817 - Sale <sup>25</sup>
	3.000	122.91	12/14/20	177.96	55.05 W	0.00	770 of 817 - Sale <sup>25</sup>
	3.515	143.99	12/14/20	202.95	58.96 W	0.00	771 of 817 - Sale <sup>25</sup>
	3.515	143.99	12/14/20	208.74	64.75 W	0.00	772 of 817 - Sale <sup>25</sup>
	3.788	155.18	12/14/20	224.65	69.47 W	0.00	773 of 817 - Sale <sup>25</sup>
	3.969	162.60	12/14/20	232.99	70.39 W	0.00	774 of 817 - Sale <sup>25</sup>
	4.133	169.33	12/14/20	247.73	78.40 W	0.00	775 of 817 - Sale <sup>25</sup>
	4.169	170.81	12/14/20	248.57	77.76 W	0.00	776 of 817 - Sale <sup>25</sup>
	4.271	174.97	12/14/20	252.72	77.75 W	0.00	777 of 817 - Sale <sup>25</sup>
	4.377	179.32	12/14/20	259.02	79.70 W	0.00	778 of 817 - Sale <sup>25</sup>
	4.515	184.96	12/14/20	264.39	79.43 W	0.00	779 of 817 - Sale <sup>25</sup>
	4.729	193.77	12/14/20	281.98	88.21 W	0.00	780 of 817 - Sale <sup>25</sup>
	4.894	200.50	12/14/20	287.53	87.03 W	0.00	781 of 817 - Sale <sup>25</sup>
	6.000	245.83	12/14/20	352.25	106.42 W	0.00	782 of 817 - Sale <sup>25</sup>
	7.592	311.04	12/14/20	472.94	161.90 W	0.00	783 of 817 - Sale <sup>25</sup>
	8.000	327.77	12/14/20	473.30	145.53 W	0.00	784 of 817 - Sale <sup>25</sup>
	14.626	599.22	12/14/20	846.43	247.21 W	0.00	785 of 817 - Sale <sup>25</sup>
	0.106	4.35	12/14/20	6.16	1.81 W	0.00	786 of 817 - Sale <sup>25</sup>
	0.106	4.35	12/14/20	6.52	2.17 W	0.00	787 of 817 - Sale <sup>25</sup>
	0.350	14.35	12/14/20	21.23	6.88 W	0.00	788 of 817 - Sale <sup>25</sup>
	0.650	26.62	12/14/20	39.55	12.93 W	0.00	789 of 817 - Sale <sup>25</sup>
	0.788	32.26	12/14/20	47.55	15.29 W	0.00	790 of 817 - Sale <sup>25</sup>
	0.894	36.62	12/14/20	55.72	19.10 W	0.00	791 of 817 - Sale <sup>25</sup>
	1.000	40.97	12/14/20	61.25	20.28 W	0.00	792 of 817 - Sale <sup>25</sup>
	1.000	40.97	12/14/20	62.20	21.23 W	0.00	793 of 817 - Sale <sup>25</sup>
	1.000	40.97	12/14/20	62.55	21.58 W	0.00	794 of 817 - Sale <sup>25</sup>
	2.106	86.30	12/14/20	129.48	43.18 W	0.00	795 of 817 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	3.000	122.91	12/14/20	174.32	51.41 W	0.00	796 of 817 - Sale <sup>25</sup>
	3.000	122.91	12/14/20	189.86	66.95 W	0.00	797 of 817 - Sale <sup>25</sup>
	3.031	124.20	12/14/20	176.16	51.96 W	0.00	798 of 817 - Sale <sup>25</sup>
	4.894	200.50	12/14/20	300.81	100.31 W	0.00	799 of 817 - Sale <sup>25</sup>
	9.000	368.74	12/14/20	560.53	191.79 W	0.00	800 of 817 - Sale <sup>25</sup>
	0.758	31.07	12/14/20	46.22	15.15 W	0.00	801 of 817 - Sale <sup>25</sup>
	0.792	32.46	12/14/20	48.16	15.70 W	0.00	802 of 817 - Sale <sup>25</sup>
	0.894	36.62	12/14/20	54.38	17.76 W	0.00	803 of 817 - Sale <sup>25</sup>
	1.000	40.97	12/14/20	61.05	20.08 W	0.00	804 of 817 - Sale <sup>25</sup>
	1.000	40.97	12/14/20	61.07	20.10 W	0.00	805 of 817 - Sale <sup>25</sup>
	1.208	49.48	12/14/20	73.74	24.26 W	0.00	806 of 817 - Sale <sup>25</sup>
	2.000	81.94	12/14/20	122.19	40.25 W	0.00	807 of 817 - Sale <sup>25</sup>
	2.000	81.94	12/14/20	122.09	40.15 W	0.00	808 of 817 - Sale <sup>25</sup>
	2.000	81.94	12/14/20	122.08	40.14 W	0.00	809 of 817 - Sale <sup>25</sup>
	3.242	132.81	12/14/20	197.42	64.61 W	0.00	810 of 817 - Sale <sup>25</sup>
	5.106	209.21	12/14/20	310.61	101.40 W	0.00	811 of 817 - Sale <sup>25</sup>
	7.000	286.80	12/14/20	426.33	139.53 W	0.00	812 of 817 - Sale <sup>25</sup>
	1.000	40.97	12/14/20	46.71	5.74 W	0.00	813 of 817 - Sale <sup>25</sup>
	1.000	40.97	12/14/20	46.66	5.69 W	0.00	814 of 817 - Sale <sup>25</sup>
	7.000	286.80	12/14/20	326.05	39.25 W	0.00	815 of 817 - Sale <sup>25</sup>
	13.000	532.63	12/14/20	598.81	66.18 W	0.00	816 of 817 - Sale <sup>25</sup>
	5.000	204.86	12/14/20	239.27	34.41 W	0.00	817 of 817 - Sale <sup>25</sup>
12/14/20	2,244.000	91,112.19	Various	125,479.31	34,367.12 W	0.00	Total of 817 transactions
	387 transactions for 12/15/20. Total proceeds and cost reported to the IRS.						
	0.205	8.61	12/15/20	12.42	3.81 W	0.00	1 of 387 - Sale <sup>25</sup>
	0.483	20.25	12/15/20	29.14	8.89 W	0.00	2 of 387 - Sale <sup>25</sup>
	1.000	41.91	12/15/20	62.64	20.73 W	0.00	3 of 387 - Sale <sup>25</sup>
	2.000	83.82	12/15/20	125.23	41.41 W	0.00	4 of 387 - Sale <sup>25</sup>
	2.000	83.82	12/15/20	125.31	41.49 W	0.00	5 of 387 - Sale <sup>25</sup>
	3.795	159.03	12/15/20	229.10	70.07 W	0.00	6 of 387 - Sale <sup>25</sup>
	4.000	167.64	12/15/20	250.49	82.85 W	0.00	7 of 387 - Sale <sup>25</sup>
	8.000	335.27	12/15/20	500.86	165.59 W	0.00	8 of 387 - Sale <sup>25</sup>
	0.517	21.66	12/15/20	29.31	7.65 W	0.00	9 of 387 - Sale <sup>25</sup>
	0.932	39.07	12/15/20	52.89	13.82 W	0.00	10 of 387 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.000	41.91	12/15/20	56.76	14.85 W	0.00	11 of 387 - Sale <sup>25</sup>
	1.000	41.91	12/15/20	56.76	14.85 W	0.00	12 of 387 - Sale <sup>25</sup>
	1.068	44.74	12/15/20	60.60	15.86 W	0.00	13 of 387 - Sale <sup>25</sup>
	1.244	52.13	12/15/20	70.54	18.41 W	0.00	14 of 387 - Sale <sup>25</sup>
	2.205	92.42	12/15/20	125.14	32.72 W	0.00	15 of 387 - Sale <sup>25</sup>
	3.795	159.03	12/15/20	215.34	56.31 W	0.00	16 of 387 - Sale <sup>25</sup>
	6.000	251.45	12/15/20	340.81	89.36 W	0.00	17 of 387 - Sale <sup>25</sup>
	1.000	41.91	12/15/20	55.52	13.61 W	0.00	18 of 387 - Sale <sup>25</sup>
	3.000	125.73	12/15/20	167.21	41.48 W	0.00	19 of 387 - Sale <sup>25</sup>
	3.000	125.73	12/15/20	167.23	41.50 W	0.00	20 of 387 - Sale <sup>25</sup>
	3.000	125.73	12/15/20	169.92	44.19 W	0.00	21 of 387 - Sale <sup>25</sup>
	4.000	167.64	12/15/20	226.53	58.89 W	0.00	22 of 387 - Sale <sup>25</sup>
	4.000	167.64	12/15/20	228.14	60.50 W	0.00	23 of 387 - Sale <sup>25</sup>
	5.756	241.23	12/15/20	319.97	78.74 W	0.00	24 of 387 - Sale <sup>25</sup>
	6.000	251.45	12/15/20	343.17	91.72 W	0.00	25 of 387 - Sale <sup>25</sup>
	1.000	41.91	12/15/20	57.45	15.54 W	0.00	26 of 387 - Sale <sup>25</sup>
	1.000	41.91	12/15/20	57.39	15.48 W	0.00	27 of 387 - Sale <sup>25</sup>
	2.000	83.82	12/15/20	106.64	22.82 W	0.00	28 of 387 - Sale <sup>25</sup>
	2.000	83.82	12/15/20	105.89	22.07 W	0.00	29 of 387 - Sale <sup>25</sup>
	6.000	251.45	12/15/20	317.76	66.31 W	0.00	30 of 387 - Sale <sup>25</sup>
	2.000	83.82	12/15/20	101.16	17.34 W	0.00	31 of 387 - Sale <sup>25</sup>
	3.000	125.73	12/15/20	151.85	26.12 W	0.00	32 of 387 - Sale <sup>25</sup>
	5.000	209.55	12/15/20	252.90	43.35 W	0.00	33 of 387 - Sale <sup>25</sup>
	9.000	377.18	12/15/20	454.74	77.56 W	0.00	34 of 387 - Sale <sup>25</sup>
	2.000	83.82	12/15/20	125.82	42.00 W	0.00	35 of 387 - Sale <sup>25</sup>
	0.316	13.26	12/15/20	21.96	8.70 W	0.00	36 of 387 - Sale <sup>25</sup>
	1.138	47.68	12/15/20	78.96	31.28 W	0.00	37 of 387 - Sale <sup>25</sup>
	3.169	132.81	12/15/20	217.04	84.23 W	0.00	38 of 387 - Sale <sup>25</sup>
	5.831	244.37	12/15/20	399.45	155.08 W	0.00	39 of 387 - Sale <sup>25</sup>
	7.000	293.36	12/15/20	454.60	161.24 W	0.00	40 of 387 - Sale <sup>25</sup>
	0.546	22.88	12/15/20	34.64	11.76 W	0.00	41 of 387 - Sale <sup>25</sup>
	2.000	83.82	12/15/20	126.38	42.56 W	0.00	42 of 387 - Sale <sup>25</sup>
	9.000	377.18	12/15/20	488.25	111.07 W	0.00	43 of 387 - Sale <sup>25</sup>
	10.000	419.09	12/15/20	530.64	111.55 W	0.00	44 of 387 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	3.000	125.73	12/15/20	151.98	26.25 W	0.00	45 of 387 - Sale <sup>25</sup>
	11.000	461.00	12/15/20	557.62	96.62 W	0.00	46 of 387 - Sale <sup>25</sup>
	2.000	83.82	12/15/20	100.28	16.46 W	0.00	47 of 387 - Sale <sup>25</sup>
	4.000	167.64	12/15/20	200.55	32.91 W	0.00	48 of 387 - Sale <sup>25</sup>
	6.000	251.45	12/15/20	294.23	42.78 W	0.00	49 of 387 - Sale <sup>25</sup>
	1.000	41.91	12/15/20	49.72	7.81 W	0.00	50 of 387 - Sale <sup>25</sup>
	1.000	41.91	12/15/20	50.30	8.39 W	0.00	51 of 387 - Sale <sup>25</sup>
	1.000	41.91	12/15/20	50.31	8.40 W	0.00	52 of 387 - Sale <sup>25</sup>
	1.000	41.91	12/15/20	50.35	8.44 W	0.00	53 of 387 - Sale <sup>25</sup>
	2.000	83.82	12/15/20	99.49	15.67 W	0.00	54 of 387 - Sale <sup>25</sup>
	2.000	83.82	12/15/20	95.10	11.28 W	0.00	55 of 387 - Sale <sup>25</sup>
	3.000	125.73	12/15/20	148.95	23.22 W	0.00	56 of 387 - Sale <sup>25</sup>
	5.000	209.54	12/15/20	246.77	37.23 W	0.00	57 of 387 - Sale <sup>25</sup>
	6.000	251.45	12/15/20	297.91	46.46 W	0.00	58 of 387 - Sale <sup>25</sup>
	8.000	335.27	12/15/20	396.41	61.14 W	0.00	59 of 387 - Sale <sup>25</sup>
	3.000	125.73	12/15/20	150.56	24.83 W	0.00	60 of 387 - Sale <sup>25</sup>
	0.092	3.85	12/15/20	5.79	1.94 W	0.00	61 of 387 - Sale <sup>25</sup>
	0.454	19.03	12/15/20	28.68	9.65 W	0.00	62 of 387 - Sale <sup>25</sup>
	0.546	22.88	12/15/20	34.36	11.48 W	0.00	63 of 387 - Sale <sup>25</sup>
	1.046	43.83	12/15/20	65.97	22.14 W	0.00	64 of 387 - Sale <sup>25</sup>
	1.316	55.17	12/15/20	82.84	27.67 W	0.00	65 of 387 - Sale <sup>25</sup>
	1.408	59.02	12/15/20	88.62	29.60 W	0.00	66 of 387 - Sale <sup>25</sup>
	1.454	60.94	12/15/20	91.48	30.54 W	0.00	67 of 387 - Sale <sup>25</sup>
	2.000	83.82	12/15/20	126.29	42.47 W	0.00	68 of 387 - Sale <sup>25</sup>
	2.000	83.82	12/15/20	125.81	41.99 W	0.00	69 of 387 - Sale <sup>25</sup>
	3.230	135.35	12/15/20	203.32	67.97 W	0.00	70 of 387 - Sale <sup>25</sup>
	3.454	144.76	12/15/20	218.10	73.34 W	0.00	71 of 387 - Sale <sup>25</sup>
	1.000	41.91	12/15/20	48.73	6.82 W	0.00	72 of 387 - Sale <sup>25</sup>
	1.000	41.91	12/15/20	48.74	6.83 W	0.00	73 of 387 - Sale <sup>25</sup>
	1.000	41.91	12/15/20	48.73	6.82 W	0.00	74 of 387 - Sale <sup>25</sup>
	3.000	125.73	12/15/20	146.04	20.31 W	0.00	75 of 387 - Sale <sup>25</sup>
	6.000	251.45	12/15/20	292.73	41.28 W	0.00	76 of 387 - Sale <sup>25</sup>
	6.000	251.45	12/15/20	293.80	42.35 W	0.00	77 of 387 - Sale <sup>25</sup>
	10.000	419.09	12/15/20	494.92	75.83 W	0.00	78 of 387 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
1.000		41.91	12/15/20	49.39	7.48 W	0.00	79 of 387 - Sale <sup>25</sup>
4.000		167.64	12/15/20	197.49	29.85 W	0.00	80 of 387 - Sale <sup>25</sup>
0.077		3.24	12/15/20	4.89	1.65 W	0.00	81 of 387 - Sale <sup>25</sup>
1.092		45.76	12/15/20	69.54	23.78 W	0.00	82 of 387 - Sale <sup>25</sup>
3.377		141.52	12/15/20	213.87	72.35 W	0.00	83 of 387 - Sale <sup>25</sup>
4.077		170.87	12/15/20	259.63	88.76 W	0.00	84 of 387 - Sale <sup>25</sup>
4.831		202.46	12/15/20	307.67	105.21 W	0.00	85 of 387 - Sale <sup>25</sup>
5.000		209.54	12/15/20	318.44	108.90 W	0.00	86 of 387 - Sale <sup>25</sup>
6.000		251.45	12/15/20	376.39	124.94 W	0.00	87 of 387 - Sale <sup>25</sup>
6.546		274.33	12/15/20	419.11	144.78 W	0.00	88 of 387 - Sale <sup>25</sup>
0.092		3.85	12/15/20	6.16	2.31 W	0.00	89 of 387 - Sale <sup>25</sup>
0.454		19.03	12/15/20	29.64	10.61 W	0.00	90 of 387 - Sale <sup>25</sup>
0.454		19.03	12/15/20	29.63	10.60 W	0.00	91 of 387 - Sale <sup>25</sup>
0.454		19.03	12/15/20	29.63	10.60 W	0.00	92 of 387 - Sale <sup>25</sup>
0.546		22.88	12/15/20	35.63	12.75 W	0.00	93 of 387 - Sale <sup>25</sup>
0.546		22.88	12/15/20	36.40	13.52 W	0.00	94 of 387 - Sale <sup>25</sup>
1.174		49.20	12/15/20	77.64	28.44 W	0.00	95 of 387 - Sale <sup>25</sup>
1.918		80.38	12/15/20	126.85	46.47 W	0.00	96 of 387 - Sale <sup>25</sup>
2.000		83.82	12/15/20	132.07	48.25 W	0.00	97 of 387 - Sale <sup>25</sup>
3.454		144.76	12/15/20	230.45	85.69 W	0.00	98 of 387 - Sale <sup>25</sup>
3.908		163.78	12/15/20	251.45	87.67 W	0.00	99 of 387 - Sale <sup>25</sup>
6.000		251.45	12/15/20	392.25	140.80 W	0.00	100 of 387 - Sale <sup>25</sup>
2.000		83.82	12/15/20	96.39	12.57 W	0.00	101 of 387 - Sale <sup>25</sup>
6.000		251.45	12/15/20	289.21	37.76 W	0.00	102 of 387 - Sale <sup>25</sup>
2.000		83.82	12/15/20	98.78	14.96 W	0.00	103 of 387 - Sale <sup>25</sup>
1.000		41.91	12/15/20	48.25	6.34 W	0.00	104 of 387 - Sale <sup>25</sup>
1.000		41.91	12/15/20	48.78	6.87 W	0.00	105 of 387 - Sale <sup>25</sup>
4.000		167.64	12/15/20	197.02	29.38 W	0.00	106 of 387 - Sale <sup>25</sup>
7.000		293.36	12/15/20	344.78	51.42 W	0.00	107 of 387 - Sale <sup>25</sup>
7.000		293.36	12/15/20	341.09	47.73 W	0.00	108 of 387 - Sale <sup>25</sup>
9.000		377.18	12/15/20	440.14	62.96 W	0.00	109 of 387 - Sale <sup>25</sup>
9.000		377.18	12/15/20	438.55	61.37 W	0.00	110 of 387 - Sale <sup>25</sup>
0.019		0.80	12/15/20	1.24	0.44 W	0.00	111 of 387 - Sale <sup>25</sup>
0.688		28.85	12/15/20	44.86	16.01 W	0.00	112 of 387 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.720	30.17	12/15/20	46.87	16.70 W	0.00	113 of 387 - Sale <sup>25</sup>
	5.138	215.31	12/15/20	334.84	119.53 W	0.00	114 of 387 - Sale <sup>25</sup>
	6.435	269.68	12/15/20	392.29	122.61 W	0.00	115 of 387 - Sale <sup>25</sup>
	0.063	2.63	12/15/20	3.99	1.36 W	0.00	116 of 387 - Sale <sup>25</sup>
	0.766	32.09	12/15/20	48.48	16.39 W	0.00	117 of 387 - Sale <sup>25</sup>
	0.770	32.29	12/15/20	49.08	16.79 W	0.00	118 of 387 - Sale <sup>25</sup>
	1.000	41.91	12/15/20	63.09	21.18 W	0.00	119 of 387 - Sale <sup>25</sup>
	1.000	41.91	12/15/20	63.10	21.19 W	0.00	120 of 387 - Sale <sup>25</sup>
	1.000	41.91	12/15/20	63.00	21.09 W	0.00	121 of 387 - Sale <sup>25</sup>
	1.000	41.91	12/15/20	62.99	21.08 W	0.00	122 of 387 - Sale <sup>25</sup>
	1.000	41.91	12/15/20	62.99	21.08 W	0.00	123 of 387 - Sale <sup>25</sup>
	1.183	49.60	12/15/20	74.92	25.32 W	0.00	124 of 387 - Sale <sup>25</sup>
	1.817	76.13	12/15/20	115.21	39.08 W	0.00	125 of 387 - Sale <sup>25</sup>
	2.000	83.82	12/15/20	125.87	42.05 W	0.00	126 of 387 - Sale <sup>25</sup>
	2.234	93.64	12/15/20	141.45	47.81 W	0.00	127 of 387 - Sale <sup>25</sup>
	2.546	106.70	12/15/20	161.19	54.49 W	0.00	128 of 387 - Sale <sup>25</sup>
	3.000	125.73	12/15/20	189.56	63.83 W	0.00	129 of 387 - Sale <sup>25</sup>
	4.000	167.63	12/15/20	254.07	86.44 W	0.00	130 of 387 - Sale <sup>25</sup>
	5.000	209.54	12/15/20	317.46	107.92 W	0.00	131 of 387 - Sale <sup>25</sup>
	5.000	209.54	12/15/20	314.98	105.44 W	0.00	132 of 387 - Sale <sup>25</sup>
	5.249	219.97	12/15/20	334.01	114.04 W	0.00	133 of 387 - Sale <sup>25</sup>
	6.000	251.45	12/15/20	381.87	130.42 W	0.00	134 of 387 - Sale <sup>25</sup>
	7.372	308.96	12/15/20	469.25	160.29 W	0.00	135 of 387 - Sale <sup>25</sup>
	4.000	167.64	12/15/20	192.19	24.55 W	0.00	136 of 387 - Sale <sup>25</sup>
	7.000	293.36	12/15/20	336.37	43.01 W	0.00	137 of 387 - Sale <sup>25</sup>
	1.000	41.91	12/15/20	49.11	7.20 W	0.00	138 of 387 - Sale <sup>25</sup>
	3.000	125.73	12/15/20	147.20	21.47 W	0.00	139 of 387 - Sale <sup>25</sup>
	11.000	460.99	12/15/20	539.03	78.04 W	0.00	140 of 387 - Sale <sup>25</sup>
	2.000	83.82	12/15/20	96.81	12.99 W	0.00	141 of 387 - Sale <sup>25</sup>
	7.000	293.36	12/15/20	341.94	48.58 W	0.00	142 of 387 - Sale <sup>25</sup>
	0.111	4.69	12/15/20	6.63	1.94 W	0.00	143 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	59.95	17.72 W	0.00	144 of 387 - Sale <sup>25</sup>
	1.889	79.78	12/15/20	113.01	33.23 W	0.00	145 of 387 - Sale <sup>25</sup>
	2.000	84.47	12/15/20	119.80	35.33 W	0.00	146 of 387 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	2.111	89.16	12/15/20	126.20	37.04 W	0.00	147 of 387 - Sale <sup>25</sup>
	3.111	131.39	12/15/20	188.18	56.79 W	0.00	148 of 387 - Sale <sup>25</sup>
	5.889	248.71	12/15/20	352.64	103.93 W	0.00	149 of 387 - Sale <sup>25</sup>
	8.000	337.87	12/15/20	479.97	142.10 W	0.00	150 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	60.95	18.72 W	0.00	151 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	61.84	19.61 W	0.00	152 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	62.07	19.84 W	0.00	153 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	61.49	19.26 W	0.00	154 of 387 - Sale <sup>25</sup>
	1.889	79.78	12/15/20	115.11	35.33 W	0.00	155 of 387 - Sale <sup>25</sup>
	3.000	126.70	12/15/20	186.18	59.48 W	0.00	156 of 387 - Sale <sup>25</sup>
	0.111	4.69	12/15/20	7.03	2.34 W	0.00	157 of 387 - Sale <sup>25</sup>
	0.297	12.55	12/15/20	18.96	6.41 W	0.00	158 of 387 - Sale <sup>25</sup>
	0.435	18.37	12/15/20	27.91	9.54 W	0.00	159 of 387 - Sale <sup>25</sup>
	0.454	19.18	12/15/20	29.03	9.85 W	0.00	160 of 387 - Sale <sup>25</sup>
	0.546	23.06	12/15/20	34.88	11.82 W	0.00	161 of 387 - Sale <sup>25</sup>
	0.995	42.03	12/15/20	63.60	21.57 W	0.00	162 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	64.05	21.82 W	0.00	163 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	63.96	21.73 W	0.00	164 of 387 - Sale <sup>25</sup>
	1.454	61.41	12/15/20	93.02	31.61 W	0.00	165 of 387 - Sale <sup>25</sup>
	2.111	89.16	12/15/20	134.90	45.74 W	0.00	166 of 387 - Sale <sup>25</sup>
	3.000	126.70	12/15/20	192.30	65.60 W	0.00	167 of 387 - Sale <sup>25</sup>
	3.459	146.08	12/15/20	216.20	70.12 W	0.00	168 of 387 - Sale <sup>25</sup>
	3.604	152.21	12/15/20	228.05	75.84 W	0.00	169 of 387 - Sale <sup>25</sup>
	3.831	161.79	12/15/20	223.61	61.82 W	0.00	170 of 387 - Sale <sup>25</sup>
	4.000	168.94	12/15/20	256.10	87.16 W	0.00	171 of 387 - Sale <sup>25</sup>
	4.111	173.62	12/15/20	262.65	89.03 W	0.00	172 of 387 - Sale <sup>25</sup>
	4.889	206.48	12/15/20	309.38	102.90 W	0.00	173 of 387 - Sale <sup>25</sup>
	9.703	409.79	12/15/20	619.05	209.26 W	0.00	174 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	47.58	5.35 W	0.00	175 of 387 - Sale <sup>25</sup>
	2.000	84.47	12/15/20	95.17	10.70 W	0.00	176 of 387 - Sale <sup>25</sup>
	6.000	253.40	12/15/20	285.78	32.38 W	0.00	177 of 387 - Sale <sup>25</sup>
	13.000	549.04	12/15/20	619.81	70.77 W	0.00	178 of 387 - Sale <sup>25</sup>
	0.271	11.43	12/15/20	16.71	5.28 W	0.00	179 of 387 - Sale <sup>25</sup>
	0.302	12.76	12/15/20	18.69	5.93 W	0.00	180 of 387 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.729	30.81	12/15/20	45.05	14.24 W	0.00	181 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	61.56	19.33 W	0.00	182 of 387 - Sale <sup>25</sup>
	3.698	156.18	12/15/20	228.74	72.56 W	0.00	183 of 387 - Sale <sup>25</sup>
	4.000	168.94	12/15/20	246.15	77.21 W	0.00	184 of 387 - Sale <sup>25</sup>
	5.000	211.17	12/15/20	307.76	96.59 W	0.00	185 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	62.34	20.11 W	0.00	186 of 387 - Sale <sup>25</sup>
	1.515	63.96	12/15/20	94.21	30.25 W	0.00	187 of 387 - Sale <sup>25</sup>
	3.000	126.70	12/15/20	186.56	59.86 W	0.00	188 of 387 - Sale <sup>25</sup>
	0.345	14.59	12/15/20	21.07	6.48 W	0.00	189 of 387 - Sale <sup>25</sup>
	0.485	20.50	12/15/20	29.64	9.14 W	0.00	190 of 387 - Sale <sup>25</sup>
	0.655	27.65	12/15/20	39.95	12.30 W	0.00	191 of 387 - Sale <sup>25</sup>
	0.971	41.01	12/15/20	58.91	17.90 W	0.00	192 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	60.60	18.37 W	0.00	193 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	60.66	18.43 W	0.00	194 of 387 - Sale <sup>25</sup>
	1.485	62.74	12/15/20	91.09	28.35 W	0.00	195 of 387 - Sale <sup>25</sup>
	1.515	63.96	12/15/20	91.91	27.95 W	0.00	196 of 387 - Sale <sup>25</sup>
	1.758	74.27	12/15/20	107.26	32.99 W	0.00	197 of 387 - Sale <sup>25</sup>
	1.998	84.37	12/15/20	120.42	36.05 W	0.00	198 of 387 - Sale <sup>25</sup>
	2.242	94.67	12/15/20	136.71	42.04 W	0.00	199 of 387 - Sale <sup>25</sup>
	2.517	106.30	12/15/20	152.72	46.42 W	0.00	200 of 387 - Sale <sup>25</sup>
	3.000	126.70	12/15/20	183.11	56.41 W	0.00	201 of 387 - Sale <sup>25</sup>
	6.000	253.40	12/15/20	368.26	114.86 W	0.00	202 of 387 - Sale <sup>25</sup>
	6.000	253.40	12/15/20	367.90	114.50 W	0.00	203 of 387 - Sale <sup>25</sup>
	6.029	254.63	12/15/20	368.01	113.38 W	0.00	204 of 387 - Sale <sup>25</sup>
	0.213	8.97	12/15/20	13.22	4.25 W	0.00	205 of 387 - Sale <sup>25</sup>
	0.788	33.26	12/15/20	49.01	15.75 W	0.00	206 of 387 - Sale <sup>25</sup>
	2.485	104.97	12/15/20	153.27	48.30 W	0.00	207 of 387 - Sale <sup>25</sup>
	3.515	148.43	12/15/20	218.19	69.76 W	0.00	208 of 387 - Sale <sup>25</sup>
	7.485	316.14	12/15/20	464.68	148.54 W	0.00	209 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	46.27	4.04 W	0.00	210 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	46.64	4.41 W	0.00	211 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	47.63	5.40 W	0.00	212 of 387 - Sale <sup>25</sup>
	3.000	126.70	12/15/20	141.85	15.15 W	0.00	213 of 387 - Sale <sup>25</sup>
	3.000	126.70	12/15/20	142.05	15.35 W	0.00	214 of 387 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	5.000	211.17	12/15/20	236.67	25.50 W	0.00	215 of 387 - Sale <sup>25</sup>
	6.000	253.40	12/15/20	285.76	32.36 W	0.00	216 of 387 - Sale <sup>25</sup>
	8.000	337.87	12/15/20	378.36	40.49 W	0.00	217 of 387 - Sale <sup>25</sup>
	0.242	10.20	12/15/20	14.47	4.27 W	0.00	218 of 387 - Sale <sup>25</sup>
	0.138	5.81	12/15/20	8.40	2.59 W	0.00	219 of 387 - Sale <sup>25</sup>
	0.379	16.02	12/15/20	22.99	6.97 W	0.00	220 of 387 - Sale <sup>25</sup>
	1.242	52.44	12/15/20	78.39	25.95 W	0.00	221 of 387 - Sale <sup>25</sup>
	0.485	20.50	12/15/20	28.66	8.16 W	0.00	222 of 387 - Sale <sup>25</sup>
	0.515	21.73	12/15/20	32.13	10.40 W	0.00	223 of 387 - Sale <sup>25</sup>
	0.515	21.73	12/15/20	31.14	9.41 W	0.00	224 of 387 - Sale <sup>25</sup>
	0.515	21.73	12/15/20	30.32	8.59 W	0.00	225 of 387 - Sale <sup>25</sup>
	0.729	30.81	12/15/20	44.01	13.20 W	0.00	226 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	60.55	18.32 W	0.00	227 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	59.08	16.85 W	0.00	228 of 387 - Sale <sup>25</sup>
	1.756	74.17	12/15/20	107.42	33.25 W	0.00	229 of 387 - Sale <sup>25</sup>
	2.000	84.47	12/15/20	121.08	36.61 W	0.00	230 of 387 - Sale <sup>25</sup>
	8.000	337.87	12/15/20	488.49	150.62 W	0.00	231 of 387 - Sale <sup>25</sup>
	0.027	1.13	12/15/20	1.63	0.50 W	0.00	232 of 387 - Sale <sup>25</sup>
	0.111	4.69	12/15/20	6.56	1.87 W	0.00	233 of 387 - Sale <sup>25</sup>
	0.271	11.43	12/15/20	16.50	5.07 W	0.00	234 of 387 - Sale <sup>25</sup>
	0.973	41.11	12/15/20	59.21	18.10 W	0.00	235 of 387 - Sale <sup>25</sup>
	0.973	41.11	12/15/20	57.32	16.21 W	0.00	236 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	60.86	18.63 W	0.00	237 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	60.22	17.99 W	0.00	238 of 387 - Sale <sup>25</sup>
	1.005	42.44	12/15/20	61.09	18.65 W	0.00	239 of 387 - Sale <sup>25</sup>
	1.027	43.36	12/15/20	62.46	19.10 W	0.00	240 of 387 - Sale <sup>25</sup>
	1.244	52.54	12/15/20	74.83	22.29 W	0.00	241 of 387 - Sale <sup>25</sup>
	1.382	58.35	12/15/20	83.87	25.52 W	0.00	242 of 387 - Sale <sup>25</sup>
	1.449	61.21	12/15/20	87.91	26.70 W	0.00	243 of 387 - Sale <sup>25</sup>
	1.481	62.54	12/15/20	89.93	27.39 W	0.00	244 of 387 - Sale <sup>25</sup>
	1.485	62.74	12/15/20	87.62	24.88 W	0.00	245 of 387 - Sale <sup>25</sup>
	1.485	62.74	12/15/20	87.46	24.72 W	0.00	246 of 387 - Sale <sup>25</sup>
	1.485	62.74	12/15/20	89.46	26.72 W	0.00	247 of 387 - Sale <sup>25</sup>
	1.515	63.96	12/15/20	89.48	25.52 W	0.00	248 of 387 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.698	71.71	12/15/20	102.46	30.75 W	0.00	249 of 387 - Sale <sup>25</sup>
	1.889	79.78	12/15/20	111.27	31.49 W	0.00	250 of 387 - Sale <sup>25</sup>
	2.000	84.47	12/15/20	117.63	33.16 W	0.00	251 of 387 - Sale <sup>25</sup>
	2.374	100.28	12/15/20	139.40	39.12 W	0.00	252 of 387 - Sale <sup>25</sup>
	3.000	126.70	12/15/20	181.06	54.36 W	0.00	253 of 387 - Sale <sup>25</sup>
	3.515	148.43	12/15/20	206.59	58.16 W	0.00	254 of 387 - Sale <sup>25</sup>
	3.515	148.43	12/15/20	212.38	63.95 W	0.00	255 of 387 - Sale <sup>25</sup>
	3.788	159.96	12/15/20	228.56	68.60 W	0.00	256 of 387 - Sale <sup>25</sup>
	4.169	176.08	12/15/20	252.88	76.80 W	0.00	257 of 387 - Sale <sup>25</sup>
	6.000	253.40	12/15/20	358.45	105.05 W	0.00	258 of 387 - Sale <sup>25</sup>
	14.626	617.70	12/15/20	861.56	243.86 W	0.00	259 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	47.98	5.75 W	0.00	260 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	48.41	6.18 W	0.00	261 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	48.56	6.33 W	0.00	262 of 387 - Sale <sup>25</sup>
	4.000	168.94	12/15/20	189.96	21.02 W	0.00	263 of 387 - Sale <sup>25</sup>
	7.000	295.64	12/15/20	332.44	36.80 W	0.00	264 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	47.61	5.38 W	0.00	265 of 387 - Sale <sup>25</sup>
	2.000	84.47	12/15/20	95.42	10.95 W	0.00	266 of 387 - Sale <sup>25</sup>
	0.031	1.33	12/15/20	1.78	0.45 W	0.00	267 of 387 - Sale <sup>25</sup>
	0.106	4.49	12/15/20	6.34	1.85 W	0.00	268 of 387 - Sale <sup>25</sup>
	0.242	10.20	12/15/20	14.47	4.27 W	0.00	269 of 387 - Sale <sup>25</sup>
	0.244	10.30	12/15/20	14.63	4.33 W	0.00	270 of 387 - Sale <sup>25</sup>
	0.454	19.18	12/15/20	27.09	7.91 W	0.00	271 of 387 - Sale <sup>25</sup>
	0.485	20.50	12/15/20	28.97	8.47 W	0.00	272 of 387 - Sale <sup>25</sup>
	0.515	21.73	12/15/20	31.13	9.40 W	0.00	273 of 387 - Sale <sup>25</sup>
	0.515	21.73	12/15/20	31.32	9.59 W	0.00	274 of 387 - Sale <sup>25</sup>
	0.618	26.12	12/15/20	37.12	11.00 W	0.00	275 of 387 - Sale <sup>25</sup>
	0.867	36.62	12/15/20	52.74	16.12 W	0.00	276 of 387 - Sale <sup>25</sup>
	0.894	37.75	12/15/20	53.64	15.89 W	0.00	277 of 387 - Sale <sup>25</sup>
	0.969	40.91	12/15/20	53.97	13.06 W	0.00	278 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	60.04	17.81 W	0.00	279 of 387 - Sale <sup>25</sup>
	1.031	43.56	12/15/20	60.18	16.62 W	0.00	280 of 387 - Sale <sup>25</sup>
	1.106	46.72	12/15/20	66.42	19.70 W	0.00	281 of 387 - Sale <sup>25</sup>
	1.111	46.92	12/15/20	67.21	20.29 W	0.00	282 of 387 - Sale <sup>25</sup>

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(continued)

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NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.244	52.54	12/15/20	74.73	22.19 W	0.00	283 of 387 - Sale <sup>25</sup>
	1.382	58.35	12/15/20	83.06	24.71 W	0.00	284 of 387 - Sale <sup>25</sup>
	1.515	63.96	12/15/20	90.75	26.79 W	0.00	285 of 387 - Sale <sup>25</sup>
	1.546	65.29	12/15/20	92.17	26.88 W	0.00	286 of 387 - Sale <sup>25</sup>
	1.756	74.17	12/15/20	106.43	32.26 W	0.00	287 of 387 - Sale <sup>25</sup>
	1.756	74.17	12/15/20	105.47	31.30 W	0.00	288 of 387 - Sale <sup>25</sup>
	1.969	83.14	12/15/20	115.17	32.03 W	0.00	289 of 387 - Sale <sup>25</sup>
	2.000	84.47	12/15/20	122.25	37.78 W	0.00	290 of 387 - Sale <sup>25</sup>
	2.000	84.47	12/15/20	119.94	35.47 W	0.00	291 of 387 - Sale <sup>25</sup>
	2.485	104.97	12/15/20	149.33	44.36 W	0.00	292 of 387 - Sale <sup>25</sup>
	2.515	106.20	12/15/20	158.94	52.74 W	0.00	293 of 387 - Sale <sup>25</sup>
	2.618	110.59	12/15/20	158.47	47.88 W	0.00	294 of 387 - Sale <sup>25</sup>
	2.623	110.79	12/15/20	157.61	46.82 W	0.00	295 of 387 - Sale <sup>25</sup>
	3.969	167.61	12/15/20	236.59	68.98 W	0.00	296 of 387 - Sale <sup>25</sup>
	4.133	174.55	12/15/20	251.49	76.94 W	0.00	297 of 387 - Sale <sup>25</sup>
	4.271	180.36	12/15/20	256.60	76.24 W	0.00	298 of 387 - Sale <sup>25</sup>
	4.377	184.85	12/15/20	263.00	78.15 W	0.00	299 of 387 - Sale <sup>25</sup>
	4.515	190.67	12/15/20	268.50	77.83 W	0.00	300 of 387 - Sale <sup>25</sup>
	4.729	199.74	12/15/20	286.28	86.54 W	0.00	301 of 387 - Sale <sup>25</sup>
	4.894	206.68	12/15/20	291.98	85.30 W	0.00	302 of 387 - Sale <sup>25</sup>
	7.592	320.63	12/15/20	479.84	159.21 W	0.00	303 of 387 - Sale <sup>25</sup>
	8.000	337.87	12/15/20	480.57	142.70 W	0.00	304 of 387 - Sale <sup>25</sup>
	0.106	4.49	12/15/20	6.26	1.77 W	0.00	305 of 387 - Sale <sup>25</sup>
	0.106	4.49	12/15/20	6.62	2.13 W	0.00	306 of 387 - Sale <sup>25</sup>
	0.350	14.79	12/15/20	21.55	6.76 W	0.00	307 of 387 - Sale <sup>25</sup>
	0.650	27.44	12/15/20	40.14	12.70 W	0.00	308 of 387 - Sale <sup>25</sup>
	0.788	33.26	12/15/20	48.27	15.01 W	0.00	309 of 387 - Sale <sup>25</sup>
	0.894	37.75	12/15/20	56.53	18.78 W	0.00	310 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	62.16	19.93 W	0.00	311 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	63.19	20.96 W	0.00	312 of 387 - Sale <sup>25</sup>
	2.106	88.96	12/15/20	131.39	42.43 W	0.00	313 of 387 - Sale <sup>25</sup>
	3.000	126.70	12/15/20	177.05	50.35 W	0.00	314 of 387 - Sale <sup>25</sup>
	3.031	128.03	12/15/20	178.92	50.89 W	0.00	315 of 387 - Sale <sup>25</sup>
	4.894	206.68	12/15/20	305.26	98.58 W	0.00	316 of 387 - Sale <sup>25</sup>

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(continued)

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.000	42.23	12/15/20	63.04	20.81 W	0.00	317 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	63.39	21.16 W	0.00	318 of 387 - Sale <sup>25</sup>
	3.000	126.70	12/15/20	192.38	65.68 W	0.00	319 of 387 - Sale <sup>25</sup>
	8.000	337.87	12/15/20	504.96	167.09 W	0.00	320 of 387 - Sale <sup>25</sup>
	0.758	32.03	12/15/20	46.86	14.83 W	0.00	321 of 387 - Sale <sup>25</sup>
	0.792	33.46	12/15/20	48.83	15.37 W	0.00	322 of 387 - Sale <sup>25</sup>
	0.894	37.75	12/15/20	55.13	17.38 W	0.00	323 of 387 - Sale <sup>25</sup>
	1.208	51.01	12/15/20	74.75	23.74 W	0.00	324 of 387 - Sale <sup>25</sup>
	3.242	136.90	12/15/20	200.14	63.24 W	0.00	325 of 387 - Sale <sup>25</sup>
	5.106	215.66	12/15/20	314.89	99.23 W	0.00	326 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	62.71	20.48 W	0.00	327 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	62.73	20.50 W	0.00	328 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	63.36	21.13 W	0.00	329 of 387 - Sale <sup>25</sup>
	2.000	84.47	12/15/20	125.50	41.03 W	0.00	330 of 387 - Sale <sup>25</sup>
	2.000	84.47	12/15/20	125.41	40.94 W	0.00	331 of 387 - Sale <sup>25</sup>
	2.000	84.47	12/15/20	125.39	40.92 W	0.00	332 of 387 - Sale <sup>25</sup>
	3.000	126.70	12/15/20	189.98	63.28 W	0.00	333 of 387 - Sale <sup>25</sup>
	4.000	168.94	12/15/20	253.36	84.42 W	0.00	334 of 387 - Sale <sup>25</sup>
	7.000	295.64	12/15/20	437.92	142.28 W	0.00	335 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	48.37	6.14 W	0.00	336 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	48.32	6.09 W	0.00	337 of 387 - Sale <sup>25</sup>
	7.000	295.64	12/15/20	337.64	42.00 W	0.00	338 of 387 - Sale <sup>25</sup>
	13.000	549.05	12/15/20	620.34	71.29 W	0.00	339 of 387 - Sale <sup>25</sup>
	5.000	211.17	12/15/20	247.54	36.37 W	0.00	340 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	61.17	18.94 W	0.00	341 of 387 - Sale <sup>25</sup>
	2.000	84.47	12/15/20	126.80	42.33 W	0.00	342 of 387 - Sale <sup>25</sup>
	2.000	84.47	12/15/20	126.88	42.41 W	0.00	343 of 387 - Sale <sup>25</sup>
	5.000	211.17	12/15/20	316.98	105.81 W	0.00	344 of 387 - Sale <sup>25</sup>
	0.205	8.67	12/15/20	12.61	3.94 W	0.00	345 of 387 - Sale <sup>25</sup>
	0.483	20.40	12/15/20	29.59	9.19 W	0.00	346 of 387 - Sale <sup>25</sup>
	2.795	118.03	12/15/20	171.35	53.32 W	0.00	347 of 387 - Sale <sup>25</sup>
	0.517	21.83	12/15/20	29.80	7.97 W	0.00	348 of 387 - Sale <sup>25</sup>
	0.932	39.38	12/15/20	53.77	14.39 W	0.00	349 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	57.70	15.47 W	0.00	350 of 387 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.000	42.23	12/15/20	57.70	15.47 W	0.00	351 of 387 - Sale <sup>25</sup>
	1.068	45.09	12/15/20	61.61	16.52 W	0.00	352 of 387 - Sale <sup>25</sup>
	1.244	52.54	12/15/20	71.71	19.17 W	0.00	353 of 387 - Sale <sup>25</sup>
	2.205	93.14	12/15/20	127.22	34.08 W	0.00	354 of 387 - Sale <sup>25</sup>
	3.795	160.27	12/15/20	218.91	58.64 W	0.00	355 of 387 - Sale <sup>25</sup>
	6.000	253.41	12/15/20	346.46	93.05 W	0.00	356 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	56.46	14.23 W	0.00	357 of 387 - Sale <sup>25</sup>
	2.000	84.47	12/15/20	113.35	28.88 W	0.00	358 of 387 - Sale <sup>25</sup>
	5.756	243.10	12/15/20	325.39	82.29 W	0.00	359 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	56.73	14.50 W	0.00	360 of 387 - Sale <sup>25</sup>
	3.000	126.70	12/15/20	170.20	43.50 W	0.00	361 of 387 - Sale <sup>25</sup>
	3.000	126.70	12/15/20	172.89	46.19 W	0.00	362 of 387 - Sale <sup>25</sup>
	4.000	168.94	12/15/20	230.49	61.55 W	0.00	363 of 387 - Sale <sup>25</sup>
	4.000	168.94	12/15/20	232.10	63.16 W	0.00	364 of 387 - Sale <sup>25</sup>
	6.000	253.41	12/15/20	349.12	95.71 W	0.00	365 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	58.44	16.21 W	0.00	366 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	58.38	16.15 W	0.00	367 of 387 - Sale <sup>25</sup>
	2.000	84.47	12/15/20	108.62	24.15 W	0.00	368 of 387 - Sale <sup>25</sup>
	2.000	84.47	12/15/20	107.87	23.40 W	0.00	369 of 387 - Sale <sup>25</sup>
	6.000	253.41	12/15/20	323.71	70.30 W	0.00	370 of 387 - Sale <sup>25</sup>
	3.000	126.70	12/15/20	154.82	28.12 W	0.00	371 of 387 - Sale <sup>25</sup>
	4.000	168.94	12/15/20	206.28	37.34 W	0.00	372 of 387 - Sale <sup>25</sup>
	2.000	84.47	12/15/20	128.60	44.13 W	0.00	373 of 387 - Sale <sup>25</sup>
	0.316	13.36	12/15/20	22.40	9.04 W	0.00	374 of 387 - Sale <sup>25</sup>
	1.138	48.05	12/15/20	80.54	32.49 W	0.00	375 of 387 - Sale <sup>25</sup>
	3.169	133.85	12/15/20	221.45	87.60 W	0.00	376 of 387 - Sale <sup>25</sup>
	5.831	246.27	12/15/20	407.56	161.29 W	0.00	377 of 387 - Sale <sup>25</sup>
	7.000	295.64	12/15/20	464.34	168.70 W	0.00	378 of 387 - Sale <sup>25</sup>
	0.546	23.06	12/15/20	35.40	12.34 W	0.00	379 of 387 - Sale <sup>25</sup>
	2.000	84.47	12/15/20	129.16	44.69 W	0.00	380 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	55.64	13.41 W	0.00	381 of 387 - Sale <sup>25</sup>
	10.000	422.35	12/15/20	544.55	122.20 W	0.00	382 of 387 - Sale <sup>25</sup>
	1.000	42.23	12/15/20	51.97	9.74 W	0.00	383 of 387 - Sale <sup>25</sup>
	2.000	84.47	12/15/20	103.94	19.47 W	0.00	384 of 387 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	9.000	380.11	12/15/20	467.26	87.15 W	0.00	385 of 387 - Sale <sup>25</sup>
	8.000	337.88	12/15/20	444.33	106.45 W	0.00	386 of 387 - Sale <sup>25</sup>
	2.000	84.47	12/15/20	103.90	19.43 W	0.00	387 of 387 - Sale <sup>25</sup>
12/15/20	1,060.000	44,621.79	Various	60,891.99	16,270.20 W	0.00	Total of 387 transactions
	28 transactions for 12/16/20. Total proceeds and cost reported to the IRS.						
	1.000	45.12	12/15/20	50.95	5.83 W	0.00	1 of 28 - Sale <sup>25</sup>
	4.000	180.49	12/15/20	203.93	23.44 W	0.00	2 of 28 - Sale <sup>25</sup>
	7.000	308.02	12/15/20	356.89	48.87 W	0.00	3 of 28 - Sale <sup>25</sup>
	3.000	132.01	12/15/20	151.28	19.27 W	0.00	4 of 28 - Sale <sup>25</sup>
	2.000	88.24	12/15/20	100.86	12.62 W	0.00	5 of 28 - Sale <sup>25</sup>
	1.000	44.12	12/15/20	50.43	6.31 W	0.00	6 of 28 - Sale <sup>25</sup>
	0.092	4.06	12/15/20	5.82	1.76 W	0.00	7 of 28 - Sale <sup>25</sup>
	0.454	20.03	12/15/20	28.81	8.78 W	0.00	8 of 28 - Sale <sup>25</sup>
	0.546	24.09	12/15/20	34.52	10.43 W	0.00	9 of 28 - Sale <sup>25</sup>
	1.046	46.14	12/15/20	66.27	20.13 W	0.00	10 of 28 - Sale <sup>25</sup>
	1.316	58.07	12/15/20	83.22	25.15 W	0.00	11 of 28 - Sale <sup>25</sup>
	1.408	62.13	12/15/20	89.03	26.90 W	0.00	12 of 28 - Sale <sup>25</sup>
	1.454	64.14	12/15/20	91.90	27.76 W	0.00	13 of 28 - Sale <sup>25</sup>
	2.000	88.24	12/15/20	126.87	38.63 W	0.00	14 of 28 - Sale <sup>25</sup>
	0.230	10.13	12/15/20	14.52	4.39 W	0.00	15 of 28 - Sale <sup>25</sup>
	3.454	152.39	12/15/20	219.10	66.71 W	0.00	16 of 28 - Sale <sup>25</sup>
	2.000	88.98	12/15/20	126.39	37.41 W	0.00	17 of 28 - Sale <sup>25</sup>
	3.000	133.47	12/15/20	189.74	56.27 W	0.00	18 of 28 - Sale <sup>25</sup>
	5.000	222.45	12/15/20	246.65	24.20 W	0.00	19 of 28 - Sale <sup>25</sup>
	1.000	44.42	12/15/20	49.02	4.60 W	0.00	20 of 28 - Sale <sup>25</sup>
	1.000	44.42	12/15/20	49.03	4.61 W	0.00	21 of 28 - Sale <sup>25</sup>
	1.000	44.42	12/15/20	49.02	4.60 W	0.00	22 of 28 - Sale <sup>25</sup>
	3.000	133.26	12/15/20	146.91	13.65 W	0.00	23 of 28 - Sale <sup>25</sup>
	1.000	44.42	12/15/20	49.33	4.91 W	0.00	24 of 28 - Sale <sup>25</sup>
	6.000	266.52	12/15/20	294.48	27.96 W	0.00	25 of 28 - Sale <sup>25</sup>
	1.000	44.42	12/15/20	50.01	5.59 W	0.00	26 of 28 - Sale <sup>25</sup>
	3.000	133.26	12/15/20	149.82	16.56 W	0.00	27 of 28 - Sale <sup>25</sup>
	8.000	355.35	12/15/20	398.74	43.39 W	0.00	28 of 28 - Sale <sup>25</sup>
12/16/20	65.000	2,882.81	Various	3,473.54	590.73 W	0.00	Total of 28 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
19 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	1.000	45.13	12/15/20	50.59	5.46 W	0.00	1 of 19 - Sale <sup>25</sup>
	1.000	45.13	12/15/20	50.60	5.47 W	0.00	2 of 19 - Sale <sup>25</sup>
	1.000	45.13	12/15/20	50.64	5.51 W	0.00	3 of 19 - Sale <sup>25</sup>
	2.000	90.26	12/15/20	100.07	9.81 W	0.00	4 of 19 - Sale <sup>25</sup>
	2.000	90.26	12/15/20	95.68	5.42 W	0.00	5 of 19 - Sale <sup>25</sup>
	5.000	225.64	12/15/20	248.23	22.59 W	0.00	6 of 19 - Sale <sup>25</sup>
	6.000	270.77	12/15/20	299.66	28.89 W	0.00	7 of 19 - Sale <sup>25</sup>
	3.000	135.39	12/15/20	151.43	16.04 W	0.00	8 of 19 - Sale <sup>25</sup>
	4.000	180.51	12/15/20	197.03	16.52 W	0.00	9 of 19 - Sale <sup>25</sup>
	2.000	89.66	12/15/20	98.52	8.86 W	0.00	10 of 19 - Sale <sup>25</sup>
	8.000	358.63	12/15/20	398.26	39.63 W	0.00	11 of 19 - Sale <sup>25</sup>
	3.000	134.49	12/15/20	189.20	54.71 W	0.00	12 of 19 - Sale <sup>25</sup>
	2.000	89.66	12/15/20	99.66	10.00 W	0.00	13 of 19 - Sale <sup>25</sup>
	1.000	44.83	12/15/20	49.73	4.90 W	0.00	14 of 19 - Sale <sup>25</sup>
	4.000	179.31	12/15/20	198.83	19.52 W	0.00	15 of 19 - Sale <sup>25</sup>
	0.077	3.46	12/15/20	4.91	1.45 W	0.00	16 of 19 - Sale <sup>25</sup>
	3.000	134.49	12/15/20	189.31	54.82 W	0.00	17 of 19 - Sale <sup>25</sup>
	3.377	151.38	12/15/20	215.12	63.74 W	0.00	18 of 19 - Sale <sup>25</sup>
	2.546	114.13	12/15/20	163.95	49.82 W	0.00	19 of 19 - Sale <sup>25</sup>
12/17/20	54.000	2,428.26	Various	2,851.42	423.16 W	0.00	Total of 19 transactions
2 transactions for 12/18/20. Total proceeds and cost reported to the IRS.							
	1.000	47.32	12/15/20	46.77	...	0.55	1 of 2 - Sale <sup>25</sup>
	1.000	47.32	12/15/20	46.40	...	0.92	2 of 2 - Sale <sup>25</sup>
12/18/20	2.000	94.64	Various	93.17	...	1.47	Total of 2 transactions
246 transactions for 12/18/20. Total proceeds and cost reported to the IRS.							
	1.092	51.67	12/15/20	69.95	18.28 W	0.00	1 of 246 - Sale <sup>25</sup>
	4.077	192.93	12/15/20	261.14	68.21 W	0.00	2 of 246 - Sale <sup>25</sup>
	4.831	228.60	12/15/20	309.46	80.86 W	0.00	3 of 246 - Sale <sup>25</sup>
	5.000	236.60	12/15/20	320.30	83.70 W	0.00	4 of 246 - Sale <sup>25</sup>
	4.000	189.28	12/15/20	257.59	68.31 W	0.00	5 of 246 - Sale <sup>25</sup>
	0.019	0.90	12/15/20	1.25	0.35 W	0.00	6 of 246 - Sale <sup>25</sup>
	0.092	4.35	12/15/20	6.20	1.85 W	0.00	7 of 246 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
0.454		21.49	12/15/20	29.81	8.32 W	0.00	8 of 246 - Sale <sup>25</sup>
0.454		21.49	12/15/20	29.80	8.31 W	0.00	9 of 246 - Sale <sup>25</sup>
0.454		21.49	12/15/20	29.80	8.31 W	0.00	10 of 246 - Sale <sup>25</sup>
0.546		25.83	12/15/20	35.83	10.00 W	0.00	11 of 246 - Sale <sup>25</sup>
0.546		25.83	12/15/20	36.60	10.77 W	0.00	12 of 246 - Sale <sup>25</sup>
0.688		32.58	12/15/20	45.12	12.54 W	0.00	13 of 246 - Sale <sup>25</sup>
1.174		55.55	12/15/20	78.07	22.52 W	0.00	14 of 246 - Sale <sup>25</sup>
1.918		90.76	12/15/20	127.56	36.80 W	0.00	15 of 246 - Sale <sup>25</sup>
2.000		94.64	12/15/20	132.81	38.17 W	0.00	16 of 246 - Sale <sup>25</sup>
2.292		108.48	12/15/20	150.26	41.78 W	0.00	17 of 246 - Sale <sup>25</sup>
3.454		163.44	12/15/20	231.73	68.29 W	0.00	18 of 246 - Sale <sup>25</sup>
3.908		184.93	12/15/20	252.90	67.97 W	0.00	19 of 246 - Sale <sup>25</sup>
6.000		283.92	12/15/20	394.48	110.56 W	0.00	20 of 246 - Sale <sup>25</sup>
2.000		94.64	12/15/20	97.13	2.49 W	0.00	21 of 246 - Sale <sup>25</sup>
6.000		283.92	12/15/20	291.44	7.52 W	0.00	22 of 246 - Sale <sup>25</sup>
2.000		94.64	12/15/20	99.52	4.88 W	0.00	23 of 246 - Sale <sup>25</sup>
1.000		47.32	12/15/20	48.62	1.30 W	0.00	24 of 246 - Sale <sup>25</sup>
1.000		47.32	12/15/20	49.15	1.83 W	0.00	25 of 246 - Sale <sup>25</sup>
4.000		189.28	12/15/20	198.50	9.22 W	0.00	26 of 246 - Sale <sup>25</sup>
7.000		331.24	12/15/20	347.38	16.14 W	0.00	27 of 246 - Sale <sup>25</sup>
7.000		331.24	12/15/20	343.69	12.45 W	0.00	28 of 246 - Sale <sup>25</sup>
9.000		425.88	12/15/20	443.48	17.60 W	0.00	29 of 246 - Sale <sup>25</sup>
9.000		425.88	12/15/20	441.90	16.02 W	0.00	30 of 246 - Sale <sup>25</sup>
0.720		34.06	12/15/20	47.21	13.15 W	0.00	31 of 246 - Sale <sup>25</sup>
2.845		134.64	12/15/20	186.77	52.13 W	0.00	32 of 246 - Sale <sup>25</sup>
6.435		304.50	12/15/20	395.32	90.82 W	0.00	33 of 246 - Sale <sup>25</sup>
0.063		2.98	12/15/20	4.02	1.04 W	0.00	34 of 246 - Sale <sup>25</sup>
0.766		36.23	12/15/20	48.84	12.61 W	0.00	35 of 246 - Sale <sup>25</sup>
0.770		36.46	12/15/20	49.44	12.98 W	0.00	36 of 246 - Sale <sup>25</sup>
1.183		56.00	12/15/20	75.47	19.47 W	0.00	37 of 246 - Sale <sup>25</sup>
1.817		85.96	12/15/20	116.07	30.11 W	0.00	38 of 246 - Sale <sup>25</sup>
2.234		105.73	12/15/20	142.50	36.77 W	0.00	39 of 246 - Sale <sup>25</sup>
2.546		120.47	12/15/20	162.39	41.92 W	0.00	40 of 246 - Sale <sup>25</sup>
3.000		141.96	12/15/20	190.97	49.01 W	0.00	41 of 246 - Sale <sup>25</sup>

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(continued)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	4.000	189.28	12/15/20	255.96	66.68 W	0.00	42 of 246 - Sale <sup>25</sup>
	5.000	236.60	12/15/20	319.82	83.22 W	0.00	43 of 246 - Sale <sup>25</sup>
	5.249	248.37	12/15/20	336.48	88.11 W	0.00	44 of 246 - Sale <sup>25</sup>
	6.000	283.92	12/15/20	384.70	100.78 W	0.00	45 of 246 - Sale <sup>25</sup>
	7.372	348.85	12/15/20	472.72	123.87 W	0.00	46 of 246 - Sale <sup>25</sup>
	3.000	141.96	12/15/20	180.43	38.47 W	0.00	47 of 246 - Sale <sup>25</sup>
	1.000	47.32	12/15/20	63.56	16.24 W	0.00	48 of 246 - Sale <sup>25</sup>
	1.000	47.32	12/15/20	63.57	16.25 W	0.00	49 of 246 - Sale <sup>25</sup>
	1.000	47.32	12/15/20	63.47	16.15 W	0.00	50 of 246 - Sale <sup>25</sup>
	1.000	47.32	12/15/20	63.46	16.14 W	0.00	51 of 246 - Sale <sup>25</sup>
	1.000	47.32	12/15/20	63.46	16.14 W	0.00	52 of 246 - Sale <sup>25</sup>
	2.000	94.64	12/15/20	126.81	32.17 W	0.00	53 of 246 - Sale <sup>25</sup>
	5.000	236.60	12/15/20	317.34	80.74 W	0.00	54 of 246 - Sale <sup>25</sup>
	4.000	189.28	12/15/20	194.07	4.79 W	0.00	55 of 246 - Sale <sup>25</sup>
	7.000	331.24	12/15/20	339.67	8.43 W	0.00	56 of 246 - Sale <sup>25</sup>
	1.000	47.32	12/15/20	49.58	2.26 W	0.00	57 of 246 - Sale <sup>25</sup>
	3.000	141.96	12/15/20	148.61	6.65 W	0.00	58 of 246 - Sale <sup>25</sup>
	11.000	520.52	12/15/20	544.22	23.70 W	0.00	59 of 246 - Sale <sup>25</sup>
	2.000	94.64	12/15/20	97.75	3.11 W	0.00	60 of 246 - Sale <sup>25</sup>
	7.000	331.24	12/15/20	345.24	14.00 W	0.00	61 of 246 - Sale <sup>25</sup>
	0.111	5.25	12/15/20	6.65	1.40 W	0.00	62 of 246 - Sale <sup>25</sup>
	1.000	47.32	12/15/20	60.14	12.82 W	0.00	63 of 246 - Sale <sup>25</sup>
	1.889	89.39	12/15/20	113.36	23.97 W	0.00	64 of 246 - Sale <sup>25</sup>
	2.000	94.64	12/15/20	120.16	25.52 W	0.00	65 of 246 - Sale <sup>25</sup>
	2.111	99.89	12/15/20	126.58	26.69 W	0.00	66 of 246 - Sale <sup>25</sup>
	3.111	147.21	12/15/20	188.75	41.54 W	0.00	67 of 246 - Sale <sup>25</sup>
	5.000	236.60	12/15/20	300.90	64.30 W	0.00	68 of 246 - Sale <sup>25</sup>
	5.889	278.67	12/15/20	353.72	75.05 W	0.00	69 of 246 - Sale <sup>25</sup>
	1.000	47.32	12/15/20	61.14	13.82 W	0.00	70 of 246 - Sale <sup>25</sup>
	1.000	47.32	12/15/20	62.03	14.71 W	0.00	71 of 246 - Sale <sup>25</sup>
	1.000	47.32	12/15/20	62.26	14.94 W	0.00	72 of 246 - Sale <sup>25</sup>
	1.000	47.32	12/15/20	61.68	14.36 W	0.00	73 of 246 - Sale <sup>25</sup>
	1.889	89.39	12/15/20	115.46	26.07 W	0.00	74 of 246 - Sale <sup>25</sup>
	3.000	141.96	12/15/20	186.73	44.77 W	0.00	75 of 246 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.435	20.58	12/15/20	27.99	7.41 W	0.00	76 of 246 - Sale <sup>25</sup>
	0.454	21.49	12/15/20	29.11	7.62 W	0.00	77 of 246 - Sale <sup>25</sup>
	0.546	25.83	12/15/20	34.98	9.15 W	0.00	78 of 246 - Sale <sup>25</sup>
	0.995	47.09	12/15/20	63.78	16.69 W	0.00	79 of 246 - Sale <sup>25</sup>
	1.000	47.32	12/15/20	64.24	16.92 W	0.00	80 of 246 - Sale <sup>25</sup>
	1.000	47.32	12/15/20	64.15	16.83 W	0.00	81 of 246 - Sale <sup>25</sup>
	3.459	163.67	12/15/20	216.83	53.16 W	0.00	82 of 246 - Sale <sup>25</sup>
	4.111	194.53	12/15/20	263.41	68.88 W	0.00	83 of 246 - Sale <sup>25</sup>
	8.000	378.56	12/15/20	511.87	133.31 W	0.00	84 of 246 - Sale <sup>25</sup>
	0.111	5.25	12/15/20	7.05	1.80 W	0.00	85 of 246 - Sale <sup>25</sup>
	0.297	14.07	12/15/20	19.01	4.94 W	0.00	86 of 246 - Sale <sup>25</sup>
	1.454	68.81	12/15/20	93.26	24.45 W	0.00	87 of 246 - Sale <sup>25</sup>
	1.703	80.57	12/15/20	108.92	28.35 W	0.00	88 of 246 - Sale <sup>25</sup>
	1.889	89.39	12/15/20	119.85	30.46 W	0.00	89 of 246 - Sale <sup>25</sup>
	2.111	99.89	12/15/20	135.25	35.36 W	0.00	90 of 246 - Sale <sup>25</sup>
	3.000	141.96	12/15/20	192.80	50.84 W	0.00	91 of 246 - Sale <sup>25</sup>
	3.604	170.54	12/15/20	228.65	58.11 W	0.00	92 of 246 - Sale <sup>25</sup>
	3.831	181.28	12/15/20	224.25	42.97 W	0.00	93 of 246 - Sale <sup>25</sup>
	4.000	189.28	12/15/20	256.76	67.48 W	0.00	94 of 246 - Sale <sup>25</sup>
	3.000	141.96	12/15/20	190.34	48.38 W	0.00	95 of 246 - Sale <sup>25</sup>
	6.000	283.92	12/15/20	286.78	2.86 W	0.00	96 of 246 - Sale <sup>25</sup>
	13.000	615.16	12/15/20	621.97	6.81 W	0.00	97 of 246 - Sale <sup>25</sup>
	3.000	141.96	12/15/20	185.11	43.15 W	0.00	98 of 246 - Sale <sup>25</sup>
	1.000	47.32	12/15/20	47.75	0.43 W	0.00	99 of 246 - Sale <sup>25</sup>
	2.000	94.64	12/15/20	95.50	0.86 W	0.00	100 of 246 - Sale <sup>25</sup>
	0.242	11.43	12/15/20	14.50	3.07 W	0.00	101 of 246 - Sale <sup>25</sup>
	0.138	6.52	12/15/20	8.42	1.90 W	0.00	102 of 246 - Sale <sup>25</sup>
	0.379	17.95	12/15/20	23.03	5.08 W	0.00	103 of 246 - Sale <sup>25</sup>
	1.242	58.75	12/15/20	78.55	19.80 W	0.00	104 of 246 - Sale <sup>25</sup>
	0.515	24.35	12/15/20	31.47	7.12 W	0.00	105 of 246 - Sale <sup>25</sup>
	1.758	83.21	12/15/20	107.48	24.27 W	0.00	106 of 246 - Sale <sup>25</sup>
	2.242	106.07	12/15/20	136.99	30.92 W	0.00	107 of 246 - Sale <sup>25</sup>
	0.213	10.06	12/15/20	13.25	3.19 W	0.00	108 of 246 - Sale <sup>25</sup>
	0.485	22.97	12/15/20	29.35	6.38 W	0.00	109 of 246 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.515	24.35	12/15/20	32.20	7.85 W	0.00	110 of 246 - Sale <sup>25</sup>
	0.788	37.26	12/15/20	49.11	11.85 W	0.00	111 of 246 - Sale <sup>25</sup>
	2.485	117.61	12/15/20	153.58	35.97 W	0.00	112 of 246 - Sale <sup>25</sup>
	3.515	166.31	12/15/20	218.64	52.33 W	0.00	113 of 246 - Sale <sup>25</sup>
	7.485	354.21	12/15/20	465.62	111.41 W	0.00	114 of 246 - Sale <sup>25</sup>
	1.000	47.32	12/15/20	47.76	0.44 W	0.00	115 of 246 - Sale <sup>25</sup>
	3.000	141.96	12/15/20	142.23	0.27 W	0.00	116 of 246 - Sale <sup>25</sup>
	3.000	141.96	12/15/20	142.43	0.47 W	0.00	117 of 246 - Sale <sup>25</sup>
	5.000	236.60	12/15/20	237.30	0.70 W	0.00	118 of 246 - Sale <sup>25</sup>
	6.000	283.92	12/15/20	286.52	2.60 W	0.00	119 of 246 - Sale <sup>25</sup>
	8.000	378.56	12/15/20	379.37	0.81 W	0.00	120 of 246 - Sale <sup>25</sup>
	0.244	11.54	12/15/20	14.74	3.20 W	0.00	121 of 246 - Sale <sup>25</sup>
	0.485	22.97	12/15/20	28.72	5.75 W	0.00	122 of 246 - Sale <sup>25</sup>
	0.515	24.35	12/15/20	31.20	6.85 W	0.00	123 of 246 - Sale <sup>25</sup>
	0.515	24.35	12/15/20	30.38	6.03 W	0.00	124 of 246 - Sale <sup>25</sup>
	1.000	47.32	12/15/20	60.67	13.35 W	0.00	125 of 246 - Sale <sup>25</sup>
	1.000	47.32	12/15/20	59.20	11.88 W	0.00	126 of 246 - Sale <sup>25</sup>
	1.756	83.10	12/15/20	107.62	24.52 W	0.00	127 of 246 - Sale <sup>25</sup>
	2.000	94.64	12/15/20	121.31	26.67 W	0.00	128 of 246 - Sale <sup>25</sup>
	8.000	378.56	12/15/20	489.42	110.86 W	0.00	129 of 246 - Sale <sup>25</sup>
	0.111	5.25	12/15/20	6.57	1.32 W	0.00	130 of 246 - Sale <sup>25</sup>
	0.973	46.06	12/15/20	59.32	13.26 W	0.00	131 of 246 - Sale <sup>25</sup>
	1.000	47.32	12/15/20	60.98	13.66 W	0.00	132 of 246 - Sale <sup>25</sup>
	1.027	48.58	12/15/20	62.58	14.00 W	0.00	133 of 246 - Sale <sup>25</sup>
	1.485	70.29	12/15/20	87.79	17.50 W	0.00	134 of 246 - Sale <sup>25</sup>
	1.485	70.29	12/15/20	87.63	17.34 W	0.00	135 of 246 - Sale <sup>25</sup>
	1.515	71.67	12/15/20	89.66	17.99 W	0.00	136 of 246 - Sale <sup>25</sup>
	1.889	89.39	12/15/20	111.49	22.10 W	0.00	137 of 246 - Sale <sup>25</sup>
	2.000	94.64	12/15/20	117.86	23.22 W	0.00	138 of 246 - Sale <sup>25</sup>
	2.374	112.36	12/15/20	139.68	27.32 W	0.00	139 of 246 - Sale <sup>25</sup>
	6.000	283.92	12/15/20	359.15	75.23 W	0.00	140 of 246 - Sale <sup>25</sup>
	14.626	692.08	12/15/20	863.25	171.17 W	0.00	141 of 246 - Sale <sup>25</sup>
	0.271	12.80	12/15/20	16.75	3.95 W	0.00	142 of 246 - Sale <sup>25</sup>
	0.302	14.29	12/15/20	18.73	4.44 W	0.00	143 of 246 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.729	34.52	12/15/20	45.15	10.63 W	0.00	144 of 246 - Sale <sup>25</sup>
	1.000	47.32	12/15/20	61.68	14.36 W	0.00	145 of 246 - Sale <sup>25</sup>
	1.000	47.32	12/15/20	61.71	14.39 W	0.00	146 of 246 - Sale <sup>25</sup>
	3.698	174.99	12/15/20	229.28	54.29 W	0.00	147 of 246 - Sale <sup>25</sup>
	5.000	236.60	12/15/20	308.49	71.89 W	0.00	148 of 246 - Sale <sup>25</sup>
	1.000	47.32	12/15/20	62.49	15.17 W	0.00	149 of 246 - Sale <sup>25</sup>
	1.515	71.67	12/15/20	94.44	22.77 W	0.00	150 of 246 - Sale <sup>25</sup>
	3.000	141.96	12/15/20	187.00	45.04 W	0.00	151 of 246 - Sale <sup>25</sup>
	0.140	6.63	12/15/20	8.57	1.94 W	0.00	152 of 246 - Sale <sup>25</sup>
	0.345	16.34	12/15/20	21.12	4.78 W	0.00	153 of 246 - Sale <sup>25</sup>
	0.485	22.97	12/15/20	29.71	6.74 W	0.00	154 of 246 - Sale <sup>25</sup>
	0.971	45.95	12/15/20	59.05	13.10 W	0.00	155 of 246 - Sale <sup>25</sup>
	1.000	47.32	12/15/20	60.75	13.43 W	0.00	156 of 246 - Sale <sup>25</sup>
	1.000	47.32	12/15/20	60.81	13.49 W	0.00	157 of 246 - Sale <sup>25</sup>
	1.485	70.29	12/15/20	91.30	21.01 W	0.00	158 of 246 - Sale <sup>25</sup>
	1.515	71.67	12/15/20	92.13	20.46 W	0.00	159 of 246 - Sale <sup>25</sup>
	1.998	94.53	12/15/20	120.71	26.18 W	0.00	160 of 246 - Sale <sup>25</sup>
	2.517	119.10	12/15/20	153.09	33.99 W	0.00	161 of 246 - Sale <sup>25</sup>
	3.000	141.96	12/15/20	183.55	41.59 W	0.00	162 of 246 - Sale <sup>25</sup>
	6.000	283.92	12/15/20	369.14	85.22 W	0.00	163 of 246 - Sale <sup>25</sup>
	6.000	283.92	12/15/20	368.78	84.86 W	0.00	164 of 246 - Sale <sup>25</sup>
	6.029	285.29	12/15/20	368.89	83.60 W	0.00	165 of 246 - Sale <sup>25</sup>
	0.027	1.26	12/15/20	1.63	0.37 W	0.00	166 of 246 - Sale <sup>25</sup>
	0.271	12.80	12/15/20	16.52	3.72 W	0.00	167 of 246 - Sale <sup>25</sup>
	0.973	46.06	12/15/20	57.38	11.32 W	0.00	168 of 246 - Sale <sup>25</sup>
	1.000	47.32	12/15/20	60.29	12.97 W	0.00	169 of 246 - Sale <sup>25</sup>
	1.005	47.55	12/15/20	61.15	13.60 W	0.00	170 of 246 - Sale <sup>25</sup>
	1.244	58.86	12/15/20	74.91	16.05 W	0.00	171 of 246 - Sale <sup>25</sup>
	1.382	65.38	12/15/20	83.96	18.58 W	0.00	172 of 246 - Sale <sup>25</sup>
	1.449	68.58	12/15/20	88.00	19.42 W	0.00	173 of 246 - Sale <sup>25</sup>
	1.481	70.07	12/15/20	90.02	19.95 W	0.00	174 of 246 - Sale <sup>25</sup>
	1.485	70.29	12/15/20	89.56	19.27 W	0.00	175 of 246 - Sale <sup>25</sup>
	1.698	80.35	12/15/20	102.57	22.22 W	0.00	176 of 246 - Sale <sup>25</sup>
	3.000	141.96	12/15/20	181.26	39.30 W	0.00	177 of 246 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	3.515	166.31	12/15/20	206.82	40.51 W	0.00	178 of 246 - Sale <sup>25</sup>
	3.515	166.31	12/15/20	212.62	46.31 W	0.00	179 of 246 - Sale <sup>25</sup>
	3.788	179.22	12/15/20	228.81	49.59 W	0.00	180 of 246 - Sale <sup>25</sup>
	4.169	197.28	12/15/20	253.16	55.88 W	0.00	181 of 246 - Sale <sup>25</sup>
	1.000	47.32	12/15/20	48.05	0.73 W	0.00	182 of 246 - Sale <sup>25</sup>
	1.000	47.32	12/15/20	48.48	1.16 W	0.00	183 of 246 - Sale <sup>25</sup>
	1.000	47.32	12/15/20	48.63	1.31 W	0.00	184 of 246 - Sale <sup>25</sup>
	4.000	189.28	12/15/20	190.22	0.94 W	0.00	185 of 246 - Sale <sup>25</sup>
	7.000	331.24	12/15/20	332.90	1.66 W	0.00	186 of 246 - Sale <sup>25</sup>
	1.000	47.32	12/15/20	47.68	0.36 W	0.00	187 of 246 - Sale <sup>25</sup>
	2.000	94.64	12/15/20	95.55	0.91 W	0.00	188 of 246 - Sale <sup>25</sup>
	0.515	24.35	12/15/20	31.16	6.81 W	0.00	189 of 246 - Sale <sup>25</sup>
	0.515	24.35	12/15/20	31.35	7.00 W	0.00	190 of 246 - Sale <sup>25</sup>
	0.618	29.26	12/15/20	37.16	7.90 W	0.00	191 of 246 - Sale <sup>25</sup>
	0.867	41.03	12/15/20	52.80	11.77 W	0.00	192 of 246 - Sale <sup>25</sup>
	1.000	47.32	12/15/20	60.11	12.79 W	0.00	193 of 246 - Sale <sup>25</sup>
	1.000	47.32	12/15/20	60.14	12.82 W	0.00	194 of 246 - Sale <sup>25</sup>
	1.111	52.57	12/15/20	67.29	14.72 W	0.00	195 of 246 - Sale <sup>25</sup>
	1.382	65.38	12/15/20	83.15	17.77 W	0.00	196 of 246 - Sale <sup>25</sup>
	1.756	83.10	12/15/20	106.54	23.44 W	0.00	197 of 246 - Sale <sup>25</sup>
	2.000	94.64	12/15/20	122.38	27.74 W	0.00	198 of 246 - Sale <sup>25</sup>
	2.000	94.64	12/15/20	120.07	25.43 W	0.00	199 of 246 - Sale <sup>25</sup>
	2.485	117.61	12/15/20	149.50	31.89 W	0.00	200 of 246 - Sale <sup>25</sup>
	2.618	123.90	12/15/20	158.64	34.74 W	0.00	201 of 246 - Sale <sup>25</sup>
	2.623	124.13	12/15/20	157.78	33.65 W	0.00	202 of 246 - Sale <sup>25</sup>
	4.133	195.57	12/15/20	251.76	56.19 W	0.00	203 of 246 - Sale <sup>25</sup>
	4.271	202.08	12/15/20	256.89	54.81 W	0.00	204 of 246 - Sale <sup>25</sup>
	4.377	207.11	12/15/20	263.29	56.18 W	0.00	205 of 246 - Sale <sup>25</sup>
	4.729	223.79	12/15/20	286.59	62.80 W	0.00	206 of 246 - Sale <sup>25</sup>
	0.106	5.03	12/15/20	6.40	1.37 W	0.00	207 of 246 - Sale <sup>25</sup>
	0.242	11.43	12/15/20	14.61	3.18 W	0.00	208 of 246 - Sale <sup>25</sup>
	0.244	11.54	12/15/20	14.79	3.25 W	0.00	209 of 246 - Sale <sup>25</sup>
	0.244	11.54	12/15/20	14.77	3.23 W	0.00	210 of 246 - Sale <sup>25</sup>
	0.485	22.97	12/15/20	29.25	6.28 W	0.00	211 of 246 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.894	42.29	12/15/20	54.14	11.85 W	0.00	212 of 246 - Sale <sup>25</sup>
	1.000	47.32	12/15/20	60.18	12.86 W	0.00	213 of 246 - Sale <sup>25</sup>
	1.106	52.35	12/15/20	67.05	14.70 W	0.00	214 of 246 - Sale <sup>25</sup>
	1.515	71.67	12/15/20	91.61	19.94 W	0.00	215 of 246 - Sale <sup>25</sup>
	1.756	83.10	12/15/20	106.46	23.36 W	0.00	216 of 246 - Sale <sup>25</sup>
	4.515	213.62	12/15/20	271.05	57.43 W	0.00	217 of 246 - Sale <sup>25</sup>
	4.894	231.57	12/15/20	294.75	63.18 W	0.00	218 of 246 - Sale <sup>25</sup>
	8.000	378.56	12/15/20	485.10	106.54 W	0.00	219 of 246 - Sale <sup>25</sup>
	0.031	1.49	12/15/20	1.80	0.31 W	0.00	220 of 246 - Sale <sup>25</sup>
	0.454	21.48	12/15/20	27.36	5.88 W	0.00	221 of 246 - Sale <sup>25</sup>
	1.546	73.15	12/15/20	93.11	19.96 W	0.00	222 of 246 - Sale <sup>25</sup>
	2.969	140.47	12/15/20	178.77	38.30 W	0.00	223 of 246 - Sale <sup>25</sup>
	0.031	1.49	12/15/20	1.87	0.38 W	0.00	224 of 246 - Sale <sup>25</sup>
	0.969	45.83	12/15/20	55.05	9.22 W	0.00	225 of 246 - Sale <sup>25</sup>
	1.000	47.32	12/15/20	59.46	12.14 W	0.00	226 of 246 - Sale <sup>25</sup>
	1.969	93.15	12/15/20	117.37	24.22 W	0.00	227 of 246 - Sale <sup>25</sup>
	2.515	118.99	12/15/20	161.74	42.75 W	0.00	228 of 246 - Sale <sup>25</sup>
	7.592	359.24	12/15/20	488.31	129.07 W	0.00	229 of 246 - Sale <sup>25</sup>
	0.925	43.78	12/15/20	55.64	11.86 W	0.00	230 of 246 - Sale <sup>25</sup>
	0.106	5.03	12/15/20	6.38	1.35 W	0.00	231 of 246 - Sale <sup>25</sup>
	0.106	5.03	12/15/20	6.74	1.71 W	0.00	232 of 246 - Sale <sup>25</sup>
	0.350	16.57	12/15/20	21.96	5.39 W	0.00	233 of 246 - Sale <sup>25</sup>
	0.650	30.75	12/15/20	40.90	10.15 W	0.00	234 of 246 - Sale <sup>25</sup>
	0.788	37.26	12/15/20	49.19	11.93 W	0.00	235 of 246 - Sale <sup>25</sup>
	0.894	42.29	12/15/20	57.57	15.28 W	0.00	236 of 246 - Sale <sup>25</sup>
	1.000	47.32	12/15/20	63.33	16.01 W	0.00	237 of 246 - Sale <sup>25</sup>
	1.000	47.32	12/15/20	64.36	17.04 W	0.00	238 of 246 - Sale <sup>25</sup>
	2.106	99.67	12/15/20	126.77	27.10 W	0.00	239 of 246 - Sale <sup>25</sup>
	2.106	99.67	12/15/20	133.84	34.17 W	0.00	240 of 246 - Sale <sup>25</sup>
	3.000	141.96	12/15/20	180.55	38.59 W	0.00	241 of 246 - Sale <sup>25</sup>
	4.894	231.57	12/15/20	310.97	79.40 W	0.00	242 of 246 - Sale <sup>25</sup>
	8.000	378.55	12/15/20	514.29	135.74 W	0.00	243 of 246 - Sale <sup>25</sup>
	1.000	47.32	12/17/20	64.80	17.48 W	0.00	244 of 246 - Sale <sup>25</sup>
	1.000	47.32	12/17/20	65.14	17.82 W	0.00	245 of 246 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
12/18/20	2.000	94.63	12/17/20	131.76	37.13 W	0.00	246 of 246 - Sale <sup>25</sup>
	598.000	28,297.32	Various	35,086.86	6,789.54 W	0.00	Total of 246 transactions
	15 transactions for 12/21/20. Total proceeds and cost reported to the IRS.						
	1.000	47.20	12/21/20	47.03	...	0.17	1 of 15 - Sale <sup>25</sup>
	2.000	94.40	12/21/20	94.06	...	0.34	2 of 15 - Sale <sup>25</sup>
	1.000	48.85	12/21/20	48.43	...	0.42	3 of 15 - Sale <sup>25</sup>
	1.000	48.85	12/21/20	48.29	...	0.56	4 of 15 - Sale <sup>25</sup>
	1.000	48.85	12/21/20	48.06	...	0.79	5 of 15 - Sale <sup>25</sup>
	2.000	97.70	12/21/20	96.57	...	1.13	6 of 15 - Sale <sup>25</sup>
	2.000	97.70	12/21/20	96.31	...	1.39	7 of 15 - Sale <sup>25</sup>
	2.000	97.70	12/21/20	95.97	...	1.73	8 of 15 - Sale <sup>25</sup>
	3.000	146.55	12/21/20	144.02	...	2.53	9 of 15 - Sale <sup>25</sup>
	3.000	146.55	12/21/20	143.82	...	2.73	10 of 15 - Sale <sup>25</sup>
	4.000	195.40	12/21/20	191.94	...	3.46	11 of 15 - Sale <sup>25</sup>
	4.000	195.20	12/21/20	191.73	...	3.47	12 of 15 - Sale <sup>25</sup>
	5.000	244.24	12/21/20	239.95	...	4.29	13 of 15 - Sale <sup>25</sup>
	5.000	244.24	12/21/20	239.69	...	4.55	14 of 15 - Sale <sup>25</sup>
	8.000	390.39	12/21/20	380.81	...	9.58	15 of 15 - Sale <sup>25</sup>
12/21/20	44.000	2,143.82	Various	2,106.68	...	37.14	Total of 15 transactions
	360 transactions for 12/21/20. Total proceeds and cost reported to the IRS.						
	1.092	50.59	12/18/20	69.75	19.16 W	0.00	1 of 360 - Sale <sup>25</sup>
	4.000	185.34	12/18/20	256.87	71.53 W	0.00	2 of 360 - Sale <sup>25</sup>
	4.077	188.91	12/18/20	260.41	71.50 W	0.00	3 of 360 - Sale <sup>25</sup>
	4.831	223.83	12/18/20	308.59	84.76 W	0.00	4 of 360 - Sale <sup>25</sup>
	5.000	231.67	12/18/20	319.40	87.73 W	0.00	5 of 360 - Sale <sup>25</sup>
	2.000	92.67	12/18/20	138.41	45.74 W	0.00	6 of 360 - Sale <sup>25</sup>
	3.000	139.00	12/18/20	196.13	57.13 W	0.00	7 of 360 - Sale <sup>25</sup>
	3.000	139.01	12/18/20	196.24	57.23 W	0.00	8 of 360 - Sale <sup>25</sup>
	0.077	3.68	12/18/20	5.09	1.41 W	0.00	9 of 360 - Sale <sup>25</sup>
	0.092	4.38	12/18/20	6.18	1.80 W	0.00	10 of 360 - Sale <sup>25</sup>
	0.454	21.66	12/18/20	29.72	8.06 W	0.00	11 of 360 - Sale <sup>25</sup>
	0.454	21.66	12/18/20	29.71	8.05 W	0.00	12 of 360 - Sale <sup>25</sup>
	0.454	21.66	12/18/20	29.71	8.05 W	0.00	13 of 360 - Sale <sup>25</sup>
	0.546	26.04	12/18/20	35.74	9.70 W	0.00	14 of 360 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.546	26.04	12/18/20	36.51	10.47 W	0.00	15 of 360 - Sale <sup>25</sup>
	1.174	56.00	12/18/20	77.86	21.86 W	0.00	16 of 360 - Sale <sup>25</sup>
	1.918	91.49	12/18/20	127.22	35.73 W	0.00	17 of 360 - Sale <sup>25</sup>
	2.546	121.44	12/18/20	169.84	48.40 W	0.00	18 of 360 - Sale <sup>25</sup>
	3.377	161.08	12/18/20	222.92	61.84 W	0.00	19 of 360 - Sale <sup>25</sup>
	3.454	164.76	12/18/20	231.11	66.35 W	0.00	20 of 360 - Sale <sup>25</sup>
	3.908	186.42	12/18/20	252.20	65.78 W	0.00	21 of 360 - Sale <sup>25</sup>
	6.000	286.20	12/18/20	393.40	107.20 W	0.00	22 of 360 - Sale <sup>25</sup>
	0.019	0.92	12/18/20	1.25	0.33 W	0.00	23 of 360 - Sale <sup>25</sup>
	0.111	5.32	12/18/20	6.63	1.31 W	0.00	24 of 360 - Sale <sup>25</sup>
	0.688	32.98	12/18/20	44.99	12.01 W	0.00	25 of 360 - Sale <sup>25</sup>
	0.720	34.49	12/18/20	47.08	12.59 W	0.00	26 of 360 - Sale <sup>25</sup>
	1.000	47.91	12/18/20	59.96	12.05 W	0.00	27 of 360 - Sale <sup>25</sup>
	1.889	90.50	12/18/20	113.02	22.52 W	0.00	28 of 360 - Sale <sup>25</sup>
	2.000	95.82	12/18/20	132.45	36.63 W	0.00	29 of 360 - Sale <sup>25</sup>
	2.000	95.82	12/18/20	119.80	23.98 W	0.00	30 of 360 - Sale <sup>25</sup>
	2.111	101.14	12/18/20	126.20	25.06 W	0.00	31 of 360 - Sale <sup>25</sup>
	2.292	109.83	12/18/20	149.85	40.02 W	0.00	32 of 360 - Sale <sup>25</sup>
	2.845	136.31	12/18/20	186.25	49.94 W	0.00	33 of 360 - Sale <sup>25</sup>
	3.000	143.73	12/18/20	179.89	36.16 W	0.00	34 of 360 - Sale <sup>25</sup>
	3.111	149.05	12/18/20	188.19	39.14 W	0.00	35 of 360 - Sale <sup>25</sup>
	5.000	239.55	12/18/20	300.00	60.45 W	0.00	36 of 360 - Sale <sup>25</sup>
	5.889	282.14	12/18/20	352.66	70.52 W	0.00	37 of 360 - Sale <sup>25</sup>
	6.435	308.30	12/18/20	394.16	85.86 W	0.00	38 of 360 - Sale <sup>25</sup>
	0.092	4.40	12/18/20	6.09	1.69 W	0.00	39 of 360 - Sale <sup>25</sup>
	0.230	11.00	12/18/20	15.21	4.21 W	0.00	40 of 360 - Sale <sup>25</sup>
	0.316	15.16	12/18/20	23.95	8.79 W	0.00	41 of 360 - Sale <sup>25</sup>
	0.454	21.75	12/18/20	30.18	8.43 W	0.00	42 of 360 - Sale <sup>25</sup>
	0.546	26.16	12/18/20	36.17	10.01 W	0.00	43 of 360 - Sale <sup>25</sup>
	1.000	47.91	12/18/20	60.96	13.05 W	0.00	44 of 360 - Sale <sup>25</sup>
	1.000	47.91	12/18/20	61.85	13.94 W	0.00	45 of 360 - Sale <sup>25</sup>
	1.000	47.91	12/18/20	62.08	14.17 W	0.00	46 of 360 - Sale <sup>25</sup>
	1.000	47.91	12/18/20	61.50	13.59 W	0.00	47 of 360 - Sale <sup>25</sup>
	1.046	50.10	12/18/20	69.43	19.33 W	0.00	48 of 360 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.138	54.51	12/18/20	86.12	31.61 W	0.00	49 of 360 - Sale <sup>25</sup>
	1.316	63.07	12/18/20	87.20	24.13 W	0.00	50 of 360 - Sale <sup>25</sup>
	1.408	67.47	12/18/20	93.29	25.82 W	0.00	51 of 360 - Sale <sup>25</sup>
	1.454	69.66	12/18/20	96.30	26.64 W	0.00	52 of 360 - Sale <sup>25</sup>
	1.889	90.50	12/18/20	115.12	24.62 W	0.00	53 of 360 - Sale <sup>25</sup>
	2.000	95.82	12/18/20	132.91	37.09 W	0.00	54 of 360 - Sale <sup>25</sup>
	2.000	95.82	12/18/20	131.69	35.87 W	0.00	55 of 360 - Sale <sup>25</sup>
	3.000	143.73	12/18/20	197.69	53.96 W	0.00	56 of 360 - Sale <sup>25</sup>
	3.000	143.73	12/18/20	186.19	42.46 W	0.00	57 of 360 - Sale <sup>25</sup>
	3.169	151.83	12/18/20	236.99	85.16 W	0.00	58 of 360 - Sale <sup>25</sup>
	3.454	165.48	12/18/20	229.53	64.05 W	0.00	59 of 360 - Sale <sup>25</sup>
	5.831	279.36	12/18/20	436.16	156.80 W	0.00	60 of 360 - Sale <sup>25</sup>
	7.000	335.37	12/18/20	498.68	163.31 W	0.00	61 of 360 - Sale <sup>25</sup>
	0.546	26.16	12/18/20	38.08	11.92 W	0.00	62 of 360 - Sale <sup>25</sup>
	2.000	95.82	12/18/20	138.97	43.15 W	0.00	63 of 360 - Sale <sup>25</sup>
	0.063	3.01	12/18/20	4.00	0.99 W	0.00	64 of 360 - Sale <sup>25</sup>
	0.766	36.68	12/18/20	48.70	12.02 W	0.00	65 of 360 - Sale <sup>25</sup>
	0.770	36.91	12/18/20	49.30	12.39 W	0.00	66 of 360 - Sale <sup>25</sup>
	1.183	56.70	12/18/20	75.26	18.56 W	0.00	67 of 360 - Sale <sup>25</sup>
	1.817	87.03	12/18/20	115.74	28.71 W	0.00	68 of 360 - Sale <sup>25</sup>
	2.234	107.05	12/18/20	142.10	35.05 W	0.00	69 of 360 - Sale <sup>25</sup>
	2.546	121.98	12/18/20	161.94	39.96 W	0.00	70 of 360 - Sale <sup>25</sup>
	3.000	143.72	12/18/20	191.43	47.71 W	0.00	71 of 360 - Sale <sup>25</sup>
	5.249	251.47	12/18/20	335.53	84.06 W	0.00	72 of 360 - Sale <sup>25</sup>
	7.372	353.20	12/18/20	471.39	118.19 W	0.00	73 of 360 - Sale <sup>25</sup>
	0.111	5.24	12/18/20	7.03	1.79 W	0.00	74 of 360 - Sale <sup>25</sup>
	0.297	14.03	12/18/20	18.95	4.92 W	0.00	75 of 360 - Sale <sup>25</sup>
	0.435	20.53	12/18/20	27.91	7.38 W	0.00	76 of 360 - Sale <sup>25</sup>
	0.454	21.43	12/18/20	29.02	7.59 W	0.00	77 of 360 - Sale <sup>25</sup>
	0.546	25.77	12/18/20	34.89	9.12 W	0.00	78 of 360 - Sale <sup>25</sup>
	0.995	46.97	12/18/20	63.61	16.64 W	0.00	79 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/18/20	63.38	16.18 W	0.00	80 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/18/20	63.39	16.19 W	0.00	81 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/18/20	63.29	16.09 W	0.00	82 of 360 - Sale <sup>25</sup>

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(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.000	47.20	12/18/20	63.28	16.08 W	0.00	83 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/18/20	63.28	16.08 W	0.00	84 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/18/20	64.06	16.86 W	0.00	85 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/18/20	63.97	16.77 W	0.00	86 of 360 - Sale <sup>25</sup>
	1.454	68.63	12/18/20	92.99	24.36 W	0.00	87 of 360 - Sale <sup>25</sup>
	1.703	80.37	12/18/20	108.62	28.25 W	0.00	88 of 360 - Sale <sup>25</sup>
	1.889	89.16	12/18/20	119.51	30.35 W	0.00	89 of 360 - Sale <sup>25</sup>
	2.000	94.40	12/18/20	126.45	32.05 W	0.00	90 of 360 - Sale <sup>25</sup>
	2.111	99.64	12/18/20	134.88	35.24 W	0.00	91 of 360 - Sale <sup>25</sup>
	3.000	141.60	12/18/20	190.43	48.83 W	0.00	92 of 360 - Sale <sup>25</sup>
	3.000	141.60	12/18/20	192.26	50.66 W	0.00	93 of 360 - Sale <sup>25</sup>
	3.000	141.60	12/18/20	189.80	48.20 W	0.00	94 of 360 - Sale <sup>25</sup>
	3.459	163.25	12/18/20	216.21	52.96 W	0.00	95 of 360 - Sale <sup>25</sup>
	3.604	170.11	12/18/20	228.01	57.90 W	0.00	96 of 360 - Sale <sup>25</sup>
	3.831	180.81	12/18/20	223.56	42.75 W	0.00	97 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/18/20	63.81	16.61 W	0.00	98 of 360 - Sale <sup>25</sup>
	4.000	188.80	12/18/20	256.04	67.24 W	0.00	99 of 360 - Sale <sup>25</sup>
	4.111	194.04	12/18/20	262.67	68.63 W	0.00	100 of 360 - Sale <sup>25</sup>
	5.000	235.99	12/18/20	318.92	82.93 W	0.00	101 of 360 - Sale <sup>25</sup>
	5.000	235.99	12/18/20	316.44	80.45 W	0.00	102 of 360 - Sale <sup>25</sup>
	6.000	283.19	12/18/20	383.62	100.43 W	0.00	103 of 360 - Sale <sup>25</sup>
	8.000	377.59	12/18/20	510.43	132.84 W	0.00	104 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/18/20	69.03	21.83 W	0.00	105 of 360 - Sale <sup>25</sup>
	0.205	9.69	12/18/20	13.62	3.93 W	0.00	106 of 360 - Sale <sup>25</sup>
	0.483	22.80	12/18/20	31.96	9.16 W	0.00	107 of 360 - Sale <sup>25</sup>
	0.758	35.80	12/18/20	50.58	14.78 W	0.00	108 of 360 - Sale <sup>25</sup>
	0.792	37.39	12/18/20	52.72	15.33 W	0.00	109 of 360 - Sale <sup>25</sup>
	0.894	42.18	12/18/20	59.51	17.33 W	0.00	110 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/18/20	67.62	20.42 W	0.00	111 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/18/20	67.64	20.44 W	0.00	112 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/18/20	68.27	21.07 W	0.00	113 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/18/20	66.08	18.88 W	0.00	114 of 360 - Sale <sup>25</sup>
	1.208	57.00	12/18/20	80.67	23.67 W	0.00	115 of 360 - Sale <sup>25</sup>
	2.000	94.40	12/18/20	135.31	40.91 W	0.00	116 of 360 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	2.000	94.40	12/18/20	135.22	40.82 W	0.00	117 of 360 - Sale <sup>25</sup>
	2.000	94.40	12/18/20	135.20	40.80 W	0.00	118 of 360 - Sale <sup>25</sup>
	2.000	94.40	12/18/20	136.61	42.21 W	0.00	119 of 360 - Sale <sup>25</sup>
	2.000	94.40	12/18/20	136.69	42.29 W	0.00	120 of 360 - Sale <sup>25</sup>
	2.795	131.90	12/18/20	185.06	53.16 W	0.00	121 of 360 - Sale <sup>25</sup>
	3.000	141.60	12/18/20	204.70	63.10 W	0.00	122 of 360 - Sale <sup>25</sup>
	3.242	153.00	12/18/20	216.05	63.05 W	0.00	123 of 360 - Sale <sup>25</sup>
	4.000	188.79	12/18/20	272.98	84.19 W	0.00	124 of 360 - Sale <sup>25</sup>
	5.000	235.99	12/18/20	341.51	105.52 W	0.00	125 of 360 - Sale <sup>25</sup>
	5.106	241.01	12/18/20	339.94	98.93 W	0.00	126 of 360 - Sale <sup>25</sup>
	7.000	330.39	12/18/20	472.26	141.87 W	0.00	127 of 360 - Sale <sup>25</sup>
	0.517	24.40	12/18/20	32.34	7.94 W	0.00	128 of 360 - Sale <sup>25</sup>
	0.932	44.01	12/18/20	58.34	14.33 W	0.00	129 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/18/20	62.61	15.41 W	0.00	130 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/18/20	62.61	15.41 W	0.00	131 of 360 - Sale <sup>25</sup>
	1.068	50.39	12/18/20	66.85	16.46 W	0.00	132 of 360 - Sale <sup>25</sup>
	1.244	58.71	12/18/20	77.81	19.10 W	0.00	133 of 360 - Sale <sup>25</sup>
	2.205	104.09	12/18/20	138.04	33.95 W	0.00	134 of 360 - Sale <sup>25</sup>
	3.795	179.10	12/18/20	237.52	58.42 W	0.00	135 of 360 - Sale <sup>25</sup>
	6.000	283.19	12/18/20	375.89	92.70 W	0.00	136 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/18/20	61.37	14.17 W	0.00	137 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/18/20	61.64	14.44 W	0.00	138 of 360 - Sale <sup>25</sup>
	2.000	94.40	12/18/20	123.16	28.76 W	0.00	139 of 360 - Sale <sup>25</sup>
	3.000	141.60	12/18/20	184.92	43.32 W	0.00	140 of 360 - Sale <sup>25</sup>
	3.000	141.60	12/18/20	187.61	46.01 W	0.00	141 of 360 - Sale <sup>25</sup>
	4.000	188.79	12/18/20	250.11	61.32 W	0.00	142 of 360 - Sale <sup>25</sup>
	4.000	188.79	12/18/20	251.72	62.93 W	0.00	143 of 360 - Sale <sup>25</sup>
	5.756	271.68	12/18/20	353.63	81.95 W	0.00	144 of 360 - Sale <sup>25</sup>
	6.000	283.19	12/18/20	378.55	95.36 W	0.00	145 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/18/20	63.35	16.15 W	0.00	146 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/18/20	63.29	16.09 W	0.00	147 of 360 - Sale <sup>25</sup>
	2.000	94.40	12/18/20	118.43	24.03 W	0.00	148 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/18/20	60.55	13.35 W	0.00	149 of 360 - Sale <sup>25</sup>
	2.000	94.40	12/18/20	117.68	23.28 W	0.00	150 of 360 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	6.000	283.19	12/18/20	353.14	69.95 W	0.00	151 of 360 - Sale <sup>25</sup>
	8.000	377.59	12/18/20	483.57	105.98 W	0.00	152 of 360 - Sale <sup>25</sup>
	10.000	471.99	12/18/20	593.60	121.61 W	0.00	153 of 360 - Sale <sup>25</sup>
	2.000	94.40	12/18/20	96.77	2.37 W	0.00	154 of 360 - Sale <sup>25</sup>
	4.000	188.79	12/18/20	193.35	4.56 W	0.00	155 of 360 - Sale <sup>25</sup>
	6.000	283.19	12/18/20	290.36	7.17 W	0.00	156 of 360 - Sale <sup>25</sup>
	7.000	330.39	12/18/20	338.41	8.02 W	0.00	157 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/18/20	52.97	5.77 W	0.00	158 of 360 - Sale <sup>25</sup>
	4.000	188.79	12/18/20	212.00	23.21 W	0.00	159 of 360 - Sale <sup>25</sup>
	7.000	330.39	12/18/20	378.85	48.46 W	0.00	160 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/18/20	56.88	9.68 W	0.00	161 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/18/20	53.45	6.25 W	0.00	162 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/18/20	52.05	4.85 W	0.00	163 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/18/20	51.74	4.54 W	0.00	164 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/18/20	51.75	4.55 W	0.00	165 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/18/20	51.74	4.54 W	0.00	166 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/18/20	52.60	5.40 W	0.00	167 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/18/20	52.61	5.41 W	0.00	168 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/18/20	52.65	5.45 W	0.00	169 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/18/20	49.40	2.20 W	0.00	170 of 360 - Sale <sup>25</sup>
	2.000	94.40	12/18/20	113.75	19.35 W	0.00	171 of 360 - Sale <sup>25</sup>
	2.000	94.40	12/18/20	113.71	19.31 W	0.00	172 of 360 - Sale <sup>25</sup>
	2.000	94.40	12/18/20	106.90	12.50 W	0.00	173 of 360 - Sale <sup>25</sup>
	2.000	94.40	12/18/20	104.09	9.69 W	0.00	174 of 360 - Sale <sup>25</sup>
	2.000	94.40	12/18/20	99.70	5.30 W	0.00	175 of 360 - Sale <sup>25</sup>
	2.000	94.40	12/18/20	99.16	4.76 W	0.00	176 of 360 - Sale <sup>25</sup>
	3.000	141.60	12/18/20	169.54	27.94 W	0.00	177 of 360 - Sale <sup>25</sup>
	3.000	141.60	12/18/20	160.69	19.09 W	0.00	178 of 360 - Sale <sup>25</sup>
	3.000	141.60	12/18/20	155.07	13.47 W	0.00	179 of 360 - Sale <sup>25</sup>
	3.000	141.60	12/18/20	148.07	6.47 W	0.00	180 of 360 - Sale <sup>25</sup>
	4.000	188.80	12/18/20	225.90	37.10 W	0.00	181 of 360 - Sale <sup>25</sup>
	5.000	235.99	12/18/20	259.90	23.91 W	0.00	182 of 360 - Sale <sup>25</sup>
	5.000	235.99	12/18/20	258.29	22.30 W	0.00	183 of 360 - Sale <sup>25</sup>
	6.000	283.19	12/18/20	310.80	27.61 W	0.00	184 of 360 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	6.000	283.19	12/18/20	311.73	28.54 W	0.00	185 of 360 - Sale <sup>25</sup>
	9.000	424.79	12/18/20	511.41	86.62 W	0.00	186 of 360 - Sale <sup>25</sup>
	11.000	519.18	12/18/20	542.24	23.06 W	0.00	187 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/18/20	53.28	6.08 W	0.00	188 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/18/20	53.23	6.03 W	0.00	189 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/18/20	52.73	5.53 W	0.00	190 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/18/20	48.44	1.24 W	0.00	191 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/18/20	48.97	1.77 W	0.00	192 of 360 - Sale <sup>25</sup>
	2.000	94.40	12/18/20	103.14	8.74 W	0.00	193 of 360 - Sale <sup>25</sup>
	2.000	94.40	12/18/20	104.28	9.88 W	0.00	194 of 360 - Sale <sup>25</sup>
	2.000	94.40	12/18/20	97.39	2.99 W	0.00	195 of 360 - Sale <sup>25</sup>
	3.000	141.60	12/18/20	157.98	16.38 W	0.00	196 of 360 - Sale <sup>25</sup>
	3.000	141.60	12/18/20	157.46	15.86 W	0.00	197 of 360 - Sale <sup>25</sup>
	4.000	188.79	12/18/20	205.08	16.29 W	0.00	198 of 360 - Sale <sup>25</sup>
	4.000	188.79	12/18/20	197.78	8.99 W	0.00	199 of 360 - Sale <sup>25</sup>
	7.000	330.39	12/18/20	371.98	41.59 W	0.00	200 of 360 - Sale <sup>25</sup>
	7.000	330.39	12/18/20	346.12	15.73 W	0.00	201 of 360 - Sale <sup>25</sup>
	7.000	330.39	12/18/20	342.43	12.04 W	0.00	202 of 360 - Sale <sup>25</sup>
	7.000	330.39	12/18/20	343.98	13.59 W	0.00	203 of 360 - Sale <sup>25</sup>
	8.000	377.59	12/18/20	420.51	42.92 W	0.00	204 of 360 - Sale <sup>25</sup>
	8.000	377.59	12/18/20	416.75	39.16 W	0.00	205 of 360 - Sale <sup>25</sup>
	9.000	424.79	12/18/20	441.86	17.07 W	0.00	206 of 360 - Sale <sup>25</sup>
	9.000	424.79	12/18/20	440.28	15.49 W	0.00	207 of 360 - Sale <sup>25</sup>
	13.000	613.58	12/18/20	684.11	70.53 W	0.00	208 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/18/20	52.04	4.84 W	0.00	209 of 360 - Sale <sup>25</sup>
	4.000	188.79	12/18/20	208.08	19.29 W	0.00	210 of 360 - Sale <sup>25</sup>
	4.000	188.79	12/18/20	190.47	1.68 W	0.00	211 of 360 - Sale <sup>25</sup>
	5.000	235.99	12/18/20	272.07	36.08 W	0.00	212 of 360 - Sale <sup>25</sup>
	13.000	613.58	12/18/20	619.64	6.06 W	0.00	213 of 360 - Sale <sup>25</sup>
	2.000	94.40	12/18/20	95.24	0.84 W	0.00	214 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/21/20	60.98	13.78 W	0.00	215 of 360 - Sale <sup>25</sup>
	0.271	12.77	12/21/20	16.56	3.79 W	0.00	216 of 360 - Sale <sup>25</sup>
	0.302	14.26	12/21/20	18.51	4.25 W	0.00	217 of 360 - Sale <sup>25</sup>
	0.729	34.43	12/21/20	44.62	10.19 W	0.00	218 of 360 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.000	47.20	12/21/20	60.96	13.76 W	0.00	219 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/21/20	60.99	13.79 W	0.00	220 of 360 - Sale <sup>25</sup>
	2.000	94.40	12/21/20	121.97	27.57 W	0.00	221 of 360 - Sale <sup>25</sup>
	3.698	174.54	12/21/20	226.62	52.08 W	0.00	222 of 360 - Sale <sup>25</sup>
	5.000	235.99	12/21/20	304.89	68.90 W	0.00	223 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/21/20	61.77	14.57 W	0.00	224 of 360 - Sale <sup>25</sup>
	1.515	71.48	12/21/20	93.35	21.87 W	0.00	225 of 360 - Sale <sup>25</sup>
	3.000	141.59	12/21/20	184.84	43.25 W	0.00	226 of 360 - Sale <sup>25</sup>
	0.971	45.83	12/21/20	58.35	12.52 W	0.00	227 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/21/20	60.03	12.83 W	0.00	228 of 360 - Sale <sup>25</sup>
	1.000	47.20	12/21/20	60.09	12.89 W	0.00	229 of 360 - Sale <sup>25</sup>
	1.485	70.11	12/21/20	90.23	20.12 W	0.00	230 of 360 - Sale <sup>25</sup>
	1.515	71.48	12/21/20	91.04	19.56 W	0.00	231 of 360 - Sale <sup>25</sup>
	1.998	94.28	12/21/20	119.27	24.99 W	0.00	232 of 360 - Sale <sup>25</sup>
	2.517	118.79	12/21/20	151.28	32.49 W	0.00	233 of 360 - Sale <sup>25</sup>
	4.000	188.80	12/21/20	241.85	53.05 W	0.00	234 of 360 - Sale <sup>25</sup>
	6.000	283.19	12/21/20	364.82	81.63 W	0.00	235 of 360 - Sale <sup>25</sup>
	6.000	283.19	12/21/20	364.46	81.27 W	0.00	236 of 360 - Sale <sup>25</sup>
	0.242	11.40	12/21/20	14.65	3.25 W	0.00	237 of 360 - Sale <sup>25</sup>
	0.138	6.50	12/21/20	8.50	2.00 W	0.00	238 of 360 - Sale <sup>25</sup>
	0.379	17.90	12/21/20	23.26	5.36 W	0.00	239 of 360 - Sale <sup>25</sup>
	1.242	58.60	12/21/20	79.34	20.74 W	0.00	240 of 360 - Sale <sup>25</sup>
	0.140	6.61	12/21/20	8.66	2.05 W	0.00	241 of 360 - Sale <sup>25</sup>
	0.345	16.30	12/21/20	21.34	5.04 W	0.00	242 of 360 - Sale <sup>25</sup>
	0.485	22.91	12/21/20	30.02	7.11 W	0.00	243 of 360 - Sale <sup>25</sup>
	0.515	24.29	12/21/20	31.79	7.50 W	0.00	244 of 360 - Sale <sup>25</sup>
	1.485	70.11	12/21/20	91.73	21.62 W	0.00	245 of 360 - Sale <sup>25</sup>
	2.029	95.77	12/21/20	125.43	29.66 W	0.00	246 of 360 - Sale <sup>25</sup>
	3.000	141.59	12/21/20	185.44	43.85 W	0.00	247 of 360 - Sale <sup>25</sup>
	0.273	13.30	12/21/20	16.74	3.44 W	0.00	248 of 360 - Sale <sup>25</sup>
	0.727	35.44	12/21/20	44.56	9.12 W	0.00	249 of 360 - Sale <sup>25</sup>
	1.515	73.82	12/21/20	92.83	19.01 W	0.00	250 of 360 - Sale <sup>25</sup>
	0.213	10.36	12/21/20	13.28	2.92 W	0.00	251 of 360 - Sale <sup>25</sup>
	0.788	38.38	12/21/20	49.26	10.88 W	0.00	252 of 360 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	2.485	121.14	12/21/20	154.03	32.89 W	0.00	253 of 360 - Sale <sup>25</sup>
	3.515	171.30	12/21/20	219.27	47.97 W	0.00	254 of 360 - Sale <sup>25</sup>
	6.485	316.10	12/21/20	404.59	88.49 W	0.00	255 of 360 - Sale <sup>25</sup>
	0.485	23.69	12/21/20	29.44	5.75 W	0.00	256 of 360 - Sale <sup>25</sup>
	0.515	25.11	12/21/20	32.29	7.18 W	0.00	257 of 360 - Sale <sup>25</sup>
	1.000	48.80	12/21/20	62.38	13.58 W	0.00	258 of 360 - Sale <sup>25</sup>
	0.244	11.90	12/21/20	14.87	2.97 W	0.00	259 of 360 - Sale <sup>25</sup>
	0.485	23.69	12/21/20	28.98	5.29 W	0.00	260 of 360 - Sale <sup>25</sup>
	0.515	25.11	12/21/20	31.47	6.36 W	0.00	261 of 360 - Sale <sup>25</sup>
	0.515	25.11	12/21/20	30.65	5.54 W	0.00	262 of 360 - Sale <sup>25</sup>
	1.000	48.80	12/21/20	61.20	12.40 W	0.00	263 of 360 - Sale <sup>25</sup>
	1.000	48.80	12/21/20	59.73	10.93 W	0.00	264 of 360 - Sale <sup>25</sup>
	1.756	85.69	12/21/20	108.55	22.86 W	0.00	265 of 360 - Sale <sup>25</sup>
	2.000	97.60	12/21/20	122.37	24.77 W	0.00	266 of 360 - Sale <sup>25</sup>
	8.000	390.39	12/21/20	493.66	103.27 W	0.00	267 of 360 - Sale <sup>25</sup>
	0.973	47.50	12/21/20	59.83	12.33 W	0.00	268 of 360 - Sale <sup>25</sup>
	1.000	48.80	12/21/20	61.51	12.71 W	0.00	269 of 360 - Sale <sup>25</sup>
	1.027	50.10	12/21/20	63.13	13.03 W	0.00	270 of 360 - Sale <sup>25</sup>
	2.485	121.28	12/21/20	150.09	28.81 W	0.00	271 of 360 - Sale <sup>25</sup>
	0.111	5.42	12/21/20	6.63	1.21 W	0.00	272 of 360 - Sale <sup>25</sup>
	1.485	72.56	12/21/20	88.58	16.02 W	0.00	273 of 360 - Sale <sup>25</sup>
	1.515	73.98	12/21/20	90.46	16.48 W	0.00	274 of 360 - Sale <sup>25</sup>
	2.000	97.70	12/21/20	119.11	21.41 W	0.00	275 of 360 - Sale <sup>25</sup>
	2.374	115.99	12/21/20	140.94	24.95 W	0.00	276 of 360 - Sale <sup>25</sup>
	3.515	171.68	12/21/20	212.24	40.56 W	0.00	277 of 360 - Sale <sup>25</sup>
	4.000	195.40	12/21/20	238.21	42.81 W	0.00	278 of 360 - Sale <sup>25</sup>
	0.027	1.30	12/21/20	1.64	0.34 W	0.00	279 of 360 - Sale <sup>25</sup>
	0.271	13.22	12/21/20	16.64	3.42 W	0.00	280 of 360 - Sale <sup>25</sup>
	0.973	47.55	12/21/20	57.80	10.25 W	0.00	281 of 360 - Sale <sup>25</sup>
	1.000	48.85	12/21/20	60.72	11.87 W	0.00	282 of 360 - Sale <sup>25</sup>
	1.005	49.08	12/21/20	61.58	12.50 W	0.00	283 of 360 - Sale <sup>25</sup>
	1.244	60.76	12/21/20	75.45	14.69 W	0.00	284 of 360 - Sale <sup>25</sup>
	1.382	67.49	12/21/20	84.55	17.06 W	0.00	285 of 360 - Sale <sup>25</sup>
	1.449	70.79	12/21/20	88.62	17.83 W	0.00	286 of 360 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.481	72.33	12/21/20	90.65	18.32 W	0.00	287 of 360 - Sale <sup>25</sup>
	1.485	72.56	12/21/20	88.27	15.71 W	0.00	288 of 360 - Sale <sup>25</sup>
	1.485	72.56	12/21/20	90.20	17.64 W	0.00	289 of 360 - Sale <sup>25</sup>
	1.698	82.94	12/21/20	103.30	20.36 W	0.00	290 of 360 - Sale <sup>25</sup>
	1.889	92.27	12/21/20	112.30	20.03 W	0.00	291 of 360 - Sale <sup>25</sup>
	2.000	97.70	12/21/20	118.72	21.02 W	0.00	292 of 360 - Sale <sup>25</sup>
	3.000	146.55	12/21/20	182.55	36.00 W	0.00	293 of 360 - Sale <sup>25</sup>
	3.515	171.68	12/21/20	208.33	36.65 W	0.00	294 of 360 - Sale <sup>25</sup>
	3.515	171.68	12/21/20	214.13	42.45 W	0.00	295 of 360 - Sale <sup>25</sup>
	3.788	185.01	12/21/20	230.44	45.43 W	0.00	296 of 360 - Sale <sup>25</sup>
	4.169	203.66	12/21/20	254.96	51.30 W	0.00	297 of 360 - Sale <sup>25</sup>
	8.626	421.35	12/21/20	512.82	91.47 W	0.00	298 of 360 - Sale <sup>25</sup>
	0.244	11.92	12/21/20	14.89	2.97 W	0.00	299 of 360 - Sale <sup>25</sup>
	0.515	25.13	12/21/20	31.35	6.22 W	0.00	300 of 360 - Sale <sup>25</sup>
	0.515	25.13	12/21/20	31.54	6.41 W	0.00	301 of 360 - Sale <sup>25</sup>
	0.618	30.21	12/21/20	37.40	7.19 W	0.00	302 of 360 - Sale <sup>25</sup>
	0.756	36.93	12/21/20	46.12	9.19 W	0.00	303 of 360 - Sale <sup>25</sup>
	0.867	42.36	12/21/20	53.13	10.77 W	0.00	304 of 360 - Sale <sup>25</sup>
	1.000	48.85	12/21/20	60.49	11.64 W	0.00	305 of 360 - Sale <sup>25</sup>
	1.000	48.85	12/21/20	60.52	11.67 W	0.00	306 of 360 - Sale <sup>25</sup>
	1.111	54.27	12/21/20	67.72	13.45 W	0.00	307 of 360 - Sale <sup>25</sup>
	1.382	67.49	12/21/20	83.67	16.18 W	0.00	308 of 360 - Sale <sup>25</sup>
	1.756	85.78	12/21/20	107.20	21.42 W	0.00	309 of 360 - Sale <sup>25</sup>
	2.000	97.70	12/21/20	123.14	25.44 W	0.00	310 of 360 - Sale <sup>25</sup>
	2.000	97.70	12/21/20	120.83	23.13 W	0.00	311 of 360 - Sale <sup>25</sup>
	2.485	121.41	12/21/20	150.45	29.04 W	0.00	312 of 360 - Sale <sup>25</sup>
	2.618	127.91	12/21/20	159.64	31.73 W	0.00	313 of 360 - Sale <sup>25</sup>
	2.623	128.14	12/21/20	158.77	30.63 W	0.00	314 of 360 - Sale <sup>25</sup>
	4.133	201.89	12/21/20	253.33	51.44 W	0.00	315 of 360 - Sale <sup>25</sup>
	4.271	208.61	12/21/20	258.52	49.91 W	0.00	316 of 360 - Sale <sup>25</sup>
	4.377	213.80	12/21/20	264.96	51.16 W	0.00	317 of 360 - Sale <sup>25</sup>
	4.729	231.03	12/21/20	288.39	57.36 W	0.00	318 of 360 - Sale <sup>25</sup>
	1.000	48.85	12/21/20	48.86	0.01 W	0.00	319 of 360 - Sale <sup>25</sup>
	1.000	48.85	12/21/20	49.01	0.16 W	0.00	320 of 360 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.242	11.80	12/21/20	14.69	2.89 W	0.00	321 of 360 - Sale <sup>25</sup>
	0.244	11.92	12/21/20	14.85	2.93 W	0.00	322 of 360 - Sale <sup>25</sup>
	0.894	43.66	12/21/20	54.44	10.78 W	0.00	323 of 360 - Sale <sup>25</sup>
	1.000	48.85	12/21/20	60.95	12.10 W	0.00	324 of 360 - Sale <sup>25</sup>
	1.106	54.04	12/21/20	67.41	13.37 W	0.00	325 of 360 - Sale <sup>25</sup>
	1.515	73.98	12/21/20	92.11	18.13 W	0.00	326 of 360 - Sale <sup>25</sup>
	2.000	97.70	12/21/20	120.74	23.04 W	0.00	327 of 360 - Sale <sup>25</sup>
	8.000	390.79	12/21/20	487.74	96.95 W	0.00	328 of 360 - Sale <sup>25</sup>
	0.031	1.54	12/21/20	1.83	0.29 W	0.00	329 of 360 - Sale <sup>25</sup>
	0.031	1.54	12/21/20	1.90	0.36 W	0.00	330 of 360 - Sale <sup>25</sup>
	0.106	5.19	12/21/20	6.52	1.33 W	0.00	331 of 360 - Sale <sup>25</sup>
	0.454	22.18	12/21/20	27.89	5.71 W	0.00	332 of 360 - Sale <sup>25</sup>
	0.485	23.71	12/21/20	29.82	6.11 W	0.00	333 of 360 - Sale <sup>25</sup>
	0.969	47.31	12/21/20	56.17	8.86 W	0.00	334 of 360 - Sale <sup>25</sup>
	1.000	48.85	12/21/20	61.34	12.49 W	0.00	335 of 360 - Sale <sup>25</sup>
	1.000	48.85	12/21/20	60.62	11.77 W	0.00	336 of 360 - Sale <sup>25</sup>
	1.546	75.52	12/21/20	94.91	19.39 W	0.00	337 of 360 - Sale <sup>25</sup>
	1.969	96.16	12/21/20	119.65	23.49 W	0.00	338 of 360 - Sale <sup>25</sup>
	2.515	122.83	12/21/20	153.89	31.06 W	0.00	339 of 360 - Sale <sup>25</sup>
	2.515	122.83	12/21/20	164.65	41.82 W	0.00	340 of 360 - Sale <sup>25</sup>
	2.969	145.01	12/21/20	182.21	37.20 W	0.00	341 of 360 - Sale <sup>25</sup>
	4.894	239.05	12/21/20	300.42	61.37 W	0.00	342 of 360 - Sale <sup>25</sup>
	7.592	370.84	12/21/20	497.11	126.27 W	0.00	343 of 360 - Sale <sup>25</sup>
	0.106	5.19	12/21/20	6.50	1.31 W	0.00	344 of 360 - Sale <sup>25</sup>
	0.788	38.47	12/21/20	50.11	11.64 W	0.00	345 of 360 - Sale <sup>25</sup>
	0.925	45.19	12/21/20	56.71	11.52 W	0.00	346 of 360 - Sale <sup>25</sup>
	2.106	102.89	12/21/20	129.21	26.32 W	0.00	347 of 360 - Sale <sup>25</sup>
	3.000	146.55	12/21/20	184.03	37.48 W	0.00	348 of 360 - Sale <sup>25</sup>
	0.350	17.11	12/21/20	22.36	5.25 W	0.00	349 of 360 - Sale <sup>25</sup>
	0.650	31.74	12/21/20	41.65	9.91 W	0.00	350 of 360 - Sale <sup>25</sup>
	0.894	43.66	12/21/20	57.82	14.16 W	0.00	351 of 360 - Sale <sup>25</sup>
	1.000	48.85	12/21/20	64.48	15.63 W	0.00	352 of 360 - Sale <sup>25</sup>
	2.106	102.89	12/21/20	136.26	33.37 W	0.00	353 of 360 - Sale <sup>25</sup>
	0.106	5.19	12/21/20	6.90	1.71 W	0.00	354 of 360 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.894	43.66	12/21/20	58.96	15.30 W	0.00	355 of 360 - Sale <sup>25</sup>
	1.000	48.85	12/21/20	65.91	17.06 W	0.00	356 of 360 - Sale <sup>25</sup>
	4.000	195.39	12/21/20	260.38	64.99 W	0.00	357 of 360 - Sale <sup>25</sup>
	8.000	390.78	12/21/20	526.69	135.91 W	0.00	358 of 360 - Sale <sup>25</sup>
	1.000	48.85	12/21/20	66.35	17.50 W	0.00	359 of 360 - Sale <sup>25</sup>
	1.000	48.85	12/21/20	66.84	17.99 W	0.00	360 of 360 - Sale <sup>25</sup>
12/21/20	869.000	41,426.78	Various	51,920.38	10,493.60 W	0.00	Total of 360 transactions
	154 transactions for 12/31/20. Total proceeds and cost reported to the IRS.						
	1.092	53.80	12/30/20	71.93	18.13 W	0.00	1 of 154 - Sale <sup>25</sup>
	4.000	197.09	12/30/20	264.85	67.76 W	0.00	2 of 154 - Sale <sup>25</sup>
	4.077	200.89	12/30/20	268.55	67.66 W	0.00	3 of 154 - Sale <sup>25</sup>
	4.831	238.03	12/30/20	318.24	80.21 W	0.00	4 of 154 - Sale <sup>25</sup>
	5.000	246.36	12/30/20	329.38	83.02 W	0.00	5 of 154 - Sale <sup>25</sup>
	0.019	0.94	12/30/20	1.25	0.31 W	0.00	6 of 154 - Sale <sup>25</sup>
	0.077	3.80	12/30/20	5.14	1.34 W	0.00	7 of 154 - Sale <sup>25</sup>
	0.092	4.53	12/30/20	6.24	1.71 W	0.00	8 of 154 - Sale <sup>25</sup>
	0.454	22.37	12/30/20	30.00	7.63 W	0.00	9 of 154 - Sale <sup>25</sup>
	0.454	22.37	12/30/20	29.99	7.62 W	0.00	10 of 154 - Sale <sup>25</sup>
	0.454	22.37	12/30/20	29.99	7.62 W	0.00	11 of 154 - Sale <sup>25</sup>
	0.546	26.90	12/30/20	36.09	9.19 W	0.00	12 of 154 - Sale <sup>25</sup>
	0.546	26.90	12/30/20	36.86	9.96 W	0.00	13 of 154 - Sale <sup>25</sup>
	0.688	33.92	12/30/20	45.28	11.36 W	0.00	14 of 154 - Sale <sup>25</sup>
	0.720	35.47	12/30/20	47.38	11.91 W	0.00	15 of 154 - Sale <sup>25</sup>
	1.174	57.84	12/30/20	78.59	20.75 W	0.00	16 of 154 - Sale <sup>25</sup>
	1.918	94.50	12/30/20	128.43	33.93 W	0.00	17 of 154 - Sale <sup>25</sup>
	2.000	98.54	12/30/20	142.40	43.86 W	0.00	18 of 154 - Sale <sup>25</sup>
	2.000	98.54	12/30/20	133.29	34.75 W	0.00	19 of 154 - Sale <sup>25</sup>
	2.292	112.95	12/30/20	150.82	37.87 W	0.00	20 of 154 - Sale <sup>25</sup>
	2.546	125.44	12/30/20	171.45	46.01 W	0.00	21 of 154 - Sale <sup>25</sup>
	2.845	140.19	12/30/20	187.45	47.26 W	0.00	22 of 154 - Sale <sup>25</sup>
	3.000	147.82	12/30/20	202.12	54.30 W	0.00	23 of 154 - Sale <sup>25</sup>
	3.000	147.82	12/30/20	202.22	54.40 W	0.00	24 of 154 - Sale <sup>25</sup>
	3.000	147.82	12/30/20	181.15	33.33 W	0.00	25 of 154 - Sale <sup>25</sup>
	3.000	147.82	12/30/20	181.26	33.44 W	0.00	26 of 154 - Sale <sup>25</sup>

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(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	3.377	166.38	12/30/20	225.04	58.66 W	0.00	27 of 154 - Sale <sup>25</sup>
	3.454	170.19	12/30/20	233.28	63.09 W	0.00	28 of 154 - Sale <sup>25</sup>
	3.908	192.56	12/30/20	254.66	62.10 W	0.00	29 of 154 - Sale <sup>25</sup>
	6.000	295.63	12/30/20	397.18	101.55 W	0.00	30 of 154 - Sale <sup>25</sup>
	6.435	317.06	12/30/20	396.87	79.81 W	0.00	31 of 154 - Sale <sup>25</sup>
	2.000	98.54	12/30/20	133.79	35.25 W	0.00	32 of 154 - Sale <sup>25</sup>
	0.111	5.47	12/31/20	6.74	1.27 W	0.00	33 of 154 - Sale <sup>25</sup>
	1.000	49.27	12/31/20	60.92	11.65 W	0.00	34 of 154 - Sale <sup>25</sup>
	1.889	93.07	12/31/20	114.83	21.76 W	0.00	35 of 154 - Sale <sup>25</sup>
	2.000	98.54	12/31/20	121.92	23.38 W	0.00	36 of 154 - Sale <sup>25</sup>
	2.000	98.54	12/31/20	121.72	23.18 W	0.00	37 of 154 - Sale <sup>25</sup>
	2.111	104.01	12/31/20	128.22	24.21 W	0.00	38 of 154 - Sale <sup>25</sup>
	3.111	153.29	12/31/20	191.17	37.88 W	0.00	39 of 154 - Sale <sup>25</sup>
	5.889	290.16	12/31/20	358.30	68.14 W	0.00	40 of 154 - Sale <sup>25</sup>
	0.092	4.53	12/31/20	6.18	1.65 W	0.00	41 of 154 - Sale <sup>25</sup>
	0.230	11.31	12/31/20	15.43	4.12 W	0.00	42 of 154 - Sale <sup>25</sup>
	0.316	15.59	12/31/20	24.25	8.66 W	0.00	43 of 154 - Sale <sup>25</sup>
	0.454	22.37	12/31/20	30.62	8.25 W	0.00	44 of 154 - Sale <sup>25</sup>
	0.546	26.90	12/31/20	36.69	9.79 W	0.00	45 of 154 - Sale <sup>25</sup>
	1.046	51.53	12/31/20	70.43	18.90 W	0.00	46 of 154 - Sale <sup>25</sup>
	1.138	56.06	12/31/20	87.21	31.15 W	0.00	47 of 154 - Sale <sup>25</sup>
	1.316	64.86	12/31/20	88.46	23.60 W	0.00	48 of 154 - Sale <sup>25</sup>
	1.408	69.39	12/31/20	94.64	25.25 W	0.00	49 of 154 - Sale <sup>25</sup>
	1.454	71.64	12/31/20	97.69	26.05 W	0.00	50 of 154 - Sale <sup>25</sup>
	2.000	98.54	12/31/20	134.83	36.29 W	0.00	51 of 154 - Sale <sup>25</sup>
	2.435	119.97	12/31/20	162.79	42.82 W	0.00	52 of 154 - Sale <sup>25</sup>
	3.169	156.15	12/31/20	240.03	83.88 W	0.00	53 of 154 - Sale <sup>25</sup>
	3.454	170.19	12/31/20	232.84	62.65 W	0.00	54 of 154 - Sale <sup>25</sup>
	5.831	287.30	12/31/20	441.74	154.44 W	0.00	55 of 154 - Sale <sup>25</sup>
	7.000	344.90	12/31/20	505.38	160.48 W	0.00	56 of 154 - Sale <sup>25</sup>
	0.565	27.84	12/31/20	38.39	10.55 W	0.00	57 of 154 - Sale <sup>25</sup>
	1.000	49.27	12/31/20	63.01	13.74 W	0.00	58 of 154 - Sale <sup>25</sup>
	1.000	49.27	12/31/20	63.90	14.63 W	0.00	59 of 154 - Sale <sup>25</sup>
	1.000	49.27	12/31/20	64.13	14.86 W	0.00	60 of 154 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.000	49.27	12/31/20	63.55	14.28 W	0.00	61 of 154 - Sale <sup>25</sup>
	1.889	93.07	12/31/20	118.99	25.92 W	0.00	62 of 154 - Sale <sup>25</sup>
	2.000	98.54	12/31/20	135.78	37.24 W	0.00	63 of 154 - Sale <sup>25</sup>
	3.000	147.82	12/31/20	192.33	44.51 W	0.00	64 of 154 - Sale <sup>25</sup>
	0.546	26.90	12/31/20	39.19	12.29 W	0.00	65 of 154 - Sale <sup>25</sup>
	2.000	98.55	12/31/20	143.06	44.51 W	0.00	66 of 154 - Sale <sup>25</sup>
	0.063	3.10	12/31/20	4.13	1.03 W	0.00	67 of 154 - Sale <sup>25</sup>
	0.766	37.72	12/31/20	50.27	12.55 W	0.00	68 of 154 - Sale <sup>25</sup>
	0.770	37.96	12/31/20	50.88	12.92 W	0.00	69 of 154 - Sale <sup>25</sup>
	1.000	49.27	12/31/20	66.57	17.30 W	0.00	70 of 154 - Sale <sup>25</sup>
	1.183	58.31	12/31/20	77.68	19.37 W	0.00	71 of 154 - Sale <sup>25</sup>
	1.817	89.51	12/31/20	119.46	29.95 W	0.00	72 of 154 - Sale <sup>25</sup>
	2.234	110.09	12/31/20	146.67	36.58 W	0.00	73 of 154 - Sale <sup>25</sup>
	2.546	125.45	12/31/20	167.14	41.69 W	0.00	74 of 154 - Sale <sup>25</sup>
	3.000	147.82	12/31/20	197.58	49.76 W	0.00	75 of 154 - Sale <sup>25</sup>
	4.000	197.09	12/31/20	266.16	69.07 W	0.00	76 of 154 - Sale <sup>25</sup>
	5.249	258.62	12/31/20	346.26	87.64 W	0.00	77 of 154 - Sale <sup>25</sup>
	6.000	295.63	12/31/20	400.16	104.53 W	0.00	78 of 154 - Sale <sup>25</sup>
	7.372	363.24	12/31/20	486.47	123.23 W	0.00	79 of 154 - Sale <sup>25</sup>
	0.546	27.06	12/31/20	35.86	8.80 W	0.00	80 of 154 - Sale <sup>25</sup>
	0.995	49.32	12/31/20	65.41	16.09 W	0.00	81 of 154 - Sale <sup>25</sup>
	1.000	49.56	12/31/20	65.59	16.03 W	0.00	82 of 154 - Sale <sup>25</sup>
	1.000	49.56	12/31/20	65.18	15.62 W	0.00	83 of 154 - Sale <sup>25</sup>
	1.000	49.56	12/31/20	65.19	15.63 W	0.00	84 of 154 - Sale <sup>25</sup>
	1.000	49.56	12/31/20	65.09	15.53 W	0.00	85 of 154 - Sale <sup>25</sup>
	1.000	49.56	12/31/20	65.08	15.52 W	0.00	86 of 154 - Sale <sup>25</sup>
	1.000	49.56	12/31/20	65.08	15.52 W	0.00	87 of 154 - Sale <sup>25</sup>
	1.000	49.56	12/31/20	65.86	16.30 W	0.00	88 of 154 - Sale <sup>25</sup>
	2.000	99.12	12/31/20	130.05	30.93 W	0.00	89 of 154 - Sale <sup>25</sup>
	3.000	148.68	12/31/20	195.83	47.15 W	0.00	90 of 154 - Sale <sup>25</sup>
	3.459	171.41	12/31/20	222.44	51.03 W	0.00	91 of 154 - Sale <sup>25</sup>
	5.000	247.79	12/31/20	325.45	77.66 W	0.00	92 of 154 - Sale <sup>25</sup>
	0.435	21.55	12/31/20	28.69	7.14 W	0.00	93 of 154 - Sale <sup>25</sup>
	0.454	22.50	12/31/20	29.84	7.34 W	0.00	94 of 154 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.546	27.06	12/31/20	35.87	8.81 W	0.00	95 of 154 - Sale <sup>25</sup>
	1.000	49.56	12/31/20	65.77	16.21 W	0.00	96 of 154 - Sale <sup>25</sup>
	1.000	49.56	12/31/20	65.61	16.05 W	0.00	97 of 154 - Sale <sup>25</sup>
	3.565	176.68	12/31/20	234.21	57.53 W	0.00	98 of 154 - Sale <sup>25</sup>
	0.297	14.73	12/31/20	19.49	4.76 W	0.00	99 of 154 - Sale <sup>25</sup>
	1.000	49.56	12/31/20	65.89	16.33 W	0.00	100 of 154 - Sale <sup>25</sup>
	1.703	84.39	12/31/20	111.68	27.29 W	0.00	101 of 154 - Sale <sup>25</sup>
	7.000	346.91	12/31/20	459.23	112.32 W	0.00	102 of 154 - Sale <sup>25</sup>
	1.435	71.11	12/31/20	86.32	15.21 W	0.00	103 of 154 - Sale <sup>25</sup>
	1.454	72.06	12/31/20	95.61	23.55 W	0.00	104 of 154 - Sale <sup>25</sup>
	2.000	99.12	12/31/20	131.77	32.65 W	0.00	105 of 154 - Sale <sup>25</sup>
	2.111	104.62	12/31/20	138.68	34.06 W	0.00	106 of 154 - Sale <sup>25</sup>
	4.000	198.23	12/31/20	263.24	65.01 W	0.00	107 of 154 - Sale <sup>25</sup>
	1.000	49.56	12/31/20	59.91	10.35 W	0.00	108 of 154 - Sale <sup>25</sup>
	0.111	5.50	12/31/20	7.20	1.70 W	0.00	109 of 154 - Sale <sup>25</sup>
	1.396	69.18	12/31/20	83.63	14.45 W	0.00	110 of 154 - Sale <sup>25</sup>
	1.889	93.62	12/31/20	122.44	28.82 W	0.00	111 of 154 - Sale <sup>25</sup>
	3.000	148.68	12/31/20	194.45	45.77 W	0.00	112 of 154 - Sale <sup>25</sup>
	3.604	178.61	12/31/20	233.60	54.99 W	0.00	113 of 154 - Sale <sup>25</sup>
	1.000	49.56	12/31/20	70.58	21.02 W	0.00	114 of 154 - Sale <sup>25</sup>
	0.758	37.59	12/31/20	51.75	14.16 W	0.00	115 of 154 - Sale <sup>25</sup>
	0.792	39.26	12/31/20	53.95	14.69 W	0.00	116 of 154 - Sale <sup>25</sup>
	0.894	44.29	12/31/20	60.90	16.61 W	0.00	117 of 154 - Sale <sup>25</sup>
	1.000	49.56	12/31/20	69.17	19.61 W	0.00	118 of 154 - Sale <sup>25</sup>
	1.000	49.56	12/31/20	69.19	19.63 W	0.00	119 of 154 - Sale <sup>25</sup>
	1.000	49.56	12/31/20	69.82	20.26 W	0.00	120 of 154 - Sale <sup>25</sup>
	1.208	59.85	12/31/20	82.55	22.70 W	0.00	121 of 154 - Sale <sup>25</sup>
	2.000	99.12	12/31/20	138.41	39.29 W	0.00	122 of 154 - Sale <sup>25</sup>
	2.000	99.12	12/31/20	138.32	39.20 W	0.00	123 of 154 - Sale <sup>25</sup>
	2.000	99.12	12/31/20	138.30	39.18 W	0.00	124 of 154 - Sale <sup>25</sup>
	3.000	148.67	12/31/20	209.35	60.68 W	0.00	125 of 154 - Sale <sup>25</sup>
	3.000	148.68	12/31/20	209.56	60.88 W	0.00	126 of 154 - Sale <sup>25</sup>
	3.242	160.65	12/31/20	221.08	60.43 W	0.00	127 of 154 - Sale <sup>25</sup>
	4.000	198.23	12/31/20	279.19	80.96 W	0.00	128 of 154 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	5.106	253.06	12/31/20	347.86	94.80 W	0.00	129 of 154 - Sale <sup>25</sup>
	7.000	346.91	12/31/20	483.12	136.21 W	0.00	130 of 154 - Sale <sup>25</sup>
	0.205	10.06	12/31/20	14.02	3.96 W	0.00	131 of 154 - Sale <sup>25</sup>
	0.483	23.67	12/31/20	32.90	9.23 W	0.00	132 of 154 - Sale <sup>25</sup>
	1.000	48.99	12/31/20	68.02	19.03 W	0.00	133 of 154 - Sale <sup>25</sup>
	2.000	97.98	12/31/20	140.49	42.51 W	0.00	134 of 154 - Sale <sup>25</sup>
	2.000	97.98	12/31/20	140.49	42.51 W	0.00	135 of 154 - Sale <sup>25</sup>
	2.000	97.98	12/31/20	140.57	42.59 W	0.00	136 of 154 - Sale <sup>25</sup>
	2.795	136.91	12/31/20	190.49	53.58 W	0.00	137 of 154 - Sale <sup>25</sup>
	0.517	25.32	12/31/20	33.34	8.02 W	0.00	138 of 154 - Sale <sup>25</sup>
	0.932	45.68	12/31/20	60.15	14.47 W	0.00	139 of 154 - Sale <sup>25</sup>
	1.000	48.99	12/31/20	64.55	15.56 W	0.00	140 of 154 - Sale <sup>25</sup>
	1.000	48.99	12/31/20	64.55	15.56 W	0.00	141 of 154 - Sale <sup>25</sup>
	1.068	52.30	12/31/20	68.92	16.62 W	0.00	142 of 154 - Sale <sup>25</sup>
	1.244	60.94	12/31/20	80.23	19.29 W	0.00	143 of 154 - Sale <sup>25</sup>
	2.205	108.04	12/31/20	142.32	34.28 W	0.00	144 of 154 - Sale <sup>25</sup>
	3.795	185.90	12/31/20	244.89	58.99 W	0.00	145 of 154 - Sale <sup>25</sup>
	6.000	293.94	12/31/20	387.54	93.60 W	0.00	146 of 154 - Sale <sup>25</sup>
	1.000	48.99	12/31/20	63.31	14.32 W	0.00	147 of 154 - Sale <sup>25</sup>
	1.000	48.99	12/31/20	63.58	14.59 W	0.00	148 of 154 - Sale <sup>25</sup>
	2.000	97.98	12/31/20	127.04	29.06 W	0.00	149 of 154 - Sale <sup>25</sup>
	2.000	97.98	12/31/20	130.07	32.09 W	0.00	150 of 154 - Sale <sup>25</sup>
	3.000	146.97	12/31/20	190.74	43.77 W	0.00	151 of 154 - Sale <sup>25</sup>
	3.000	146.97	12/31/20	193.43	46.46 W	0.00	152 of 154 - Sale <sup>25</sup>
	4.000	195.97	12/31/20	257.88	61.91 W	0.00	153 of 154 - Sale <sup>25</sup>
	5.756	281.99	12/31/20	364.80	82.81 W	0.00	154 of 154 - Sale <sup>25</sup>
12/31/20	325.000	16,027.96	Various	21,518.62	5,490.66 W	0.00	Total of 154 transactions
	<b>Security total:</b>	<b>1,790,904.49</b>		<b>2,087,101.27</b>	<b>324,655.35 W</b>	<b>28,458.57</b>	
NMI HOLDINGS INC. CLASS A COMM ON STOCK / CUSIP: 629209305 / Symbol:							
01/16/20	10.000	329.30	12/02/19	329.55	...	-0.25	Sale <sup>25</sup>
01/22/20	5.000	165.40	12/02/19	164.78	...	0.62	Sale <sup>25</sup>
02/24/20	5.000	138.10	12/02/19	164.77	26.67 W	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NMI HOLDINGS INC. CLASS A COMM ON STOCK / CUSIP: 629209305 / Symbol: (cont'd)							
2 transactions for 03/05/20. Total proceeds and cost reported to the IRS.							
	5.000	123.06	03/04/20	152.57	...	-29.51	1 of 2 - Sale <sup>25</sup>
	5.000	123.05	03/04/20	125.90	...	-2.85	2 of 2 - Sale <sup>25</sup>
03/05/20	10.000	246.11	Various	278.47	...	-32.36	Total of 2 transactions
	<b>Security total:</b>	<b>878.91</b>		<b>937.57</b>	<b>26.67 W</b>	<b>-31.99</b>	
NN, INC. COMMON STOCK / CUSIP: 629337106 / Symbol:							
04/30/20	100.000	390.99	04/29/20	391.99	...	-1.00	Sale <sup>25</sup>
NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol:							
10 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	100.000	690.47	12/08/20	701.95	11.48 W	0.00	1 of 10 - Sale <sup>25</sup>
	400.000	2,761.89	12/08/20	2,808.00	46.11 W	0.00	2 of 10 - Sale <sup>25</sup>
	500.000	3,452.36	12/08/20	3,520.00	67.64 W	0.00	3 of 10 - Sale <sup>25</sup>
	100.000	693.08	12/08/20	710.48	17.40 W	0.00	4 of 10 - Sale <sup>25</sup>
	100.000	693.08	12/08/20	710.53	17.45 W	0.00	5 of 10 - Sale <sup>25</sup>
	200.000	1,386.17	12/08/20	1,419.05	32.88 W	0.00	6 of 10 - Sale <sup>25</sup>
	2.000	13.86	12/08/20	14.21	0.35 W	0.00	7 of 10 - Sale <sup>25</sup>
	100.000	693.08	12/08/20	708.53	15.45 W	0.00	8 of 10 - Sale <sup>25</sup>
	98.000	679.22	12/08/20	696.32	17.10 W	0.00	9 of 10 - Sale <sup>25</sup>
	175.000	1,212.90	12/08/20	1,241.67	28.77 W	0.00	10 of 10 - Sale <sup>25</sup>
12/08/20	1,775.000	12,276.11	Various	12,530.74	254.63 W	0.00	Total of 10 transactions
6 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	58.000	396.12	12/08/20	420.15	...	-24.03	1 of 6 - Sale <sup>25</sup>
	40.000	273.19	12/08/20	289.78	...	-16.59	2 of 6 - Sale <sup>25</sup>
	15.000	102.45	12/08/20	109.11	...	-6.66	3 of 6 - Sale <sup>25</sup>
	25.000	170.74	12/08/20	177.35	...	-6.61	4 of 6 - Sale <sup>25</sup>
	2.000	13.66	12/08/20	14.49	...	-0.83	5 of 6 - Sale <sup>25</sup>
	2.000	13.66	12/08/20	14.45	...	-0.79	6 of 6 - Sale <sup>25</sup>
12/09/20	142.000	969.82	Various	1,025.33	...	-55.51	Total of 6 transactions
3 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	103.000	703.46	12/08/20	726.69	0.45 W	-22.78	1 of 3 - Sale <sup>25</sup>
	117.000	812.17	12/08/20	825.47	13.30 W	0.00	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
12/09/20	5.000	34.15	12/08/20	35.28	1.13 W	0.00	3 of 3 - Sale <sup>25</sup>
	225.000	1,549.78	Various	1,587.44	14.88 W	-22.78	Total of 3 transactions
	3 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	150.000	916.69	12/11/20	945.49	...	-28.80	1 of 3 - Sale <sup>25</sup>
	58.000	354.36	12/11/20	366.18	...	-11.82	2 of 3 - Sale <sup>25</sup>
	42.000	256.61	12/11/20	264.74	...	-8.13	3 of 3 - Sale <sup>25</sup>
12/11/20	250.000	1,527.66	Various	1,576.41	...	-48.75	Total of 3 transactions
	2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.						
	2.000	19.73	12/23/20	19.63	...	0.10	1 of 2 - Sale <sup>25</sup>
	8.000	78.92	12/23/20	78.60	...	0.32	2 of 2 - Sale <sup>25</sup>
12/23/20	10.000	98.65	Various	98.23	...	0.42	Total of 2 transactions
12/23/20	49.000	483.35	12/23/20	497.57	14.22 W	0.00	Sale <sup>25</sup>
	4 transactions for 12/29/20. Total proceeds and cost reported to the IRS.						
	1.000	8.58	12/23/20	10.15	1.57 W	0.00	1 of 4 - Sale <sup>25</sup>
	2.000	17.16	12/23/20	18.21	1.05 W	0.00	2 of 4 - Sale <sup>25</sup>
	48.000	411.83	12/23/20	436.06	24.23 W	0.00	3 of 4 - Sale <sup>25</sup>
	48.000	411.82	12/23/20	437.02	25.20 W	0.00	4 of 4 - Sale <sup>25</sup>
12/29/20	99.000	849.39	Various	901.44	52.05 W	0.00	Total of 4 transactions
12/30/20	1.000	9.29	12/23/20	9.10	...	0.19	Sale <sup>25</sup>
	2 transactions for 12/30/20. Total proceeds and cost reported to the IRS.						
	49.000	455.19	12/30/20	467.55	12.36 W	0.00	1 of 2 - Sale <sup>25</sup>
	49.000	455.19	12/30/20	467.05	11.86 W	0.00	2 of 2 - Sale <sup>25</sup>
12/30/20	98.000	910.38	Various	934.60	24.22 W	0.00	Total of 2 transactions
	8 transactions for 12/31/20. Total proceeds and cost reported to the IRS.						
	1.000	9.49	12/30/20	9.53	0.04 W	0.00	1 of 8 - Sale <sup>25</sup>
	49.000	464.99	12/30/20	468.66	3.67 W	0.00	2 of 8 - Sale <sup>25</sup>
	50.000	474.48	12/30/20	479.18	4.70 W	0.00	3 of 8 - Sale <sup>25</sup>
	2.000	18.96	12/30/20	19.39	0.43 W	0.00	4 of 8 - Sale <sup>25</sup>
	5.000	47.40	12/30/20	48.48	1.08 W	0.00	5 of 8 - Sale <sup>25</sup>
	67.000	635.13	12/30/20	642.11	6.98 W	0.00	6 of 8 - Sale <sup>25</sup>
	75.000	710.97	12/30/20	722.58	11.61 W	0.00	7 of 8 - Sale <sup>25</sup>
	1.000	9.40	12/30/20	9.63	0.23 W	0.00	8 of 8 - Sale <sup>25</sup>
12/31/20	250.000	2,370.82	Various	2,399.56	28.74 W	0.00	Total of 8 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol: (cont'd)							
<b>Security total:</b>		<b>21,045.25</b>		<b>21,560.42</b>	<b>388.74 W</b>	<b>-126.43</b>	
NATIONAL VISION HOLDINGS, INC. COMMON STOCK / CUSIP: 63845R107 / Symbol:							
05/11/20	20.000	489.93	05/07/20	444.38	...	45.55	Sale <sup>25</sup>
NAUTILUS, INC. / CUSIP: 63910B102 / Symbol:							
03/30/20	200.000	597.96	03/27/20	584.00	...	13.96	Sale <sup>25</sup>
04/14/20	100.000	498.00	04/13/20	486.88	...	11.12	Sale <sup>25</sup>
04/20/20	100.000	485.00	04/17/20	492.99	...	-7.99	Sale <sup>25</sup>
<b>Security total:</b>		<b>1,580.96</b>		<b>1,563.87</b>	<b>...</b>	<b>17.09</b>	
NAVISTAR INTERNATIONAL / CUSIP: 63934E108 / Symbol:							
<i>4 transactions for 10/14/20. Total proceeds and cost reported to the IRS.</i>							
	213.000	7,811.01	10/14/20	7,838.40	...	-27.39	1 of 4 - Sale <sup>25</sup>
	100.000	3,667.15	10/14/20	3,680.00	...	-12.85	2 of 4 - Sale <sup>25</sup>
	25.000	916.79	10/14/20	920.00	...	-3.21	3 of 4 - Sale <sup>25</sup>
	0.686	25.17	10/14/20	25.00	...	0.17	4 of 4 - Sale <sup>25</sup>
10/14/20	338.686	12,420.12	Various	12,463.40	...	-43.28	Total of 4 transactions
NEOGEN CORPORATION COMMON STOCK / CUSIP: 640491106 / Symbol:							
03/31/20	10.000	665.13	03/30/20	645.62	...	19.51	Sale <sup>25</sup>
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
04/15/20	3.000	1,248.88	04/14/20	1,242.73	...	6.15	Sale <sup>25</sup>
<i>2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	871.86	06/09/20	863.87	...	7.99	1 of 2 - Sale <sup>25</sup>
	3.000	1,307.97	06/09/20	1,295.80	...	12.17	2 of 2 - Sale <sup>25</sup>
06/10/20	5.000	2,179.83	Various	2,159.67	...	20.16	Total of 2 transactions
07/14/20	4.000	2,067.99	07/13/20	2,138.24	23.88 W	-46.37	Sale <sup>25</sup>
<i>4 transactions for 07/17/20. Total proceeds and cost reported to the IRS.</i>							
	0.919	449.95	07/16/20	455.62	...	-5.67	1 of 4 - Sale <sup>25</sup>
	0.280	137.00	07/16/20	138.65	...	-1.65	2 of 4 - Sale <sup>25</sup>
	0.080	39.20	07/16/20	39.69	...	-0.49	3 of 4 - Sale <sup>25</sup>
	0.081	39.44	07/16/20	39.92	...	-0.48	4 of 4 - Sale <sup>25</sup>
07/17/20	1.360	665.59	Various	673.88	...	-8.29	Total of 4 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol: (cont'd)							
3 transactions for 10/20/20. Total proceeds and cost reported to the IRS.							
	12.000	5,942.98	10/20/20	5,988.00	...	-45.02	1 of 3 - Sale <sup>25</sup>
	3.000	1,485.75	10/20/20	1,494.00	...	-8.25	2 of 3 - Sale <sup>25</sup>
	2.000	997.39	10/20/20	996.00	...	1.39	3 of 3 - Sale <sup>25</sup>
10/20/20	17.000	8,426.12	Various	8,478.00	...	-51.88	Total of 3 transactions
	<b>Security total:</b>	<b>14,588.41</b>		<b>14,692.52</b>	<b>23.88 W</b>	<b>-80.23</b>	
NETGEAR, INC. COMMON STOCK / CUSIP: 64111Q104 / Symbol:							
5 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	40.000	1,275.17	07/22/20	1,310.00	...	-34.83	1 of 5 - Sale <sup>25</sup>
	4.000	128.00	07/22/20	118.56	...	9.44	2 of 5 - Sale <sup>25</sup>
	7.000	223.99	07/22/20	209.72	...	14.27	3 of 5 - Sale <sup>25</sup>
	19.000	607.98	07/22/20	563.16	...	44.82	4 of 5 - Sale <sup>25</sup>
	30.000	956.37	07/22/20	898.80	...	57.57	5 of 5 - Sale <sup>25</sup>
07/23/20	100.000	3,191.51	Various	3,100.24	...	91.27	Total of 5 transactions
NET ELEMENT, INC. COMMON STOCK / CUSIP: 64111R300 / Symbol:							
3 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	1.000	10.80	07/10/20	11.01	0.21 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	11.34	07/10/20	11.63	0.29 W	0.00	2 of 3 - Sale <sup>25</sup>
	49.000	555.65	07/10/20	559.58	3.93 W	0.00	3 of 3 - Sale <sup>25</sup>
07/10/20	51.000	577.79	Various	582.22	4.43 W	0.00	Total of 3 transactions
3 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	1.000	18.43	07/13/20	19.18	0.75 W	0.00	1 of 3 - Sale <sup>25</sup>
	44.000	810.90	07/13/20	834.69	23.79 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	92.10	07/13/20	94.85	2.75 W	0.00	3 of 3 - Sale <sup>25</sup>
07/13/20	50.000	921.43	Various	948.72	27.29 W	0.00	Total of 3 transactions
2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	1.000	12.00	07/14/20	13.25	1.25 W	0.00	1 of 2 - Sale <sup>25</sup>
	9.000	108.02	07/14/20	117.37	9.35 W	0.00	2 of 2 - Sale <sup>25</sup>
07/14/20	10.000	120.02	Various	130.62	10.60 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NET ELEMENT, INC. COMMON STOCK / CUSIP: 64111R300 / Symbol: (cont'd)							
8 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	13.000	195.26	07/21/20	206.97	11.71 W	0.00	1 of 8 - Sale <sup>25</sup>
	1.000	15.00	07/21/20	15.92	0.92 W	0.00	2 of 8 - Sale <sup>25</sup>
	19.000	284.99	07/21/20	302.49	17.50 W	0.00	3 of 8 - Sale <sup>25</sup>
	1.000	15.00	07/21/20	16.94	1.94 W	0.00	4 of 8 - Sale <sup>25</sup>
	2.000	30.00	07/21/20	32.46	2.46 W	0.00	5 of 8 - Sale <sup>25</sup>
	5.000	75.00	07/21/20	81.20	6.20 W	0.00	6 of 8 - Sale <sup>25</sup>
	8.000	119.99	07/21/20	133.83	13.84 W	0.00	7 of 8 - Sale <sup>25</sup>
	1.000	14.96	07/21/20	16.73	1.77 W	0.00	8 of 8 - Sale <sup>25</sup>
07/21/20	50.000	750.20	Various	806.54	56.34 W	0.00	Total of 8 transactions
9 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	50.000	674.98	08/05/20	740.50	...	-65.52	1 of 9 - Sale <sup>25</sup>
	19.000	256.49	08/05/20	298.89	...	-42.40	2 of 9 - Sale <sup>25</sup>
	13.000	175.50	08/05/20	204.24	...	-28.74	3 of 9 - Sale <sup>25</sup>
	8.000	108.00	08/05/20	132.32	...	-24.32	4 of 9 - Sale <sup>25</sup>
	5.000	67.50	08/05/20	80.25	...	-12.75	5 of 9 - Sale <sup>25</sup>
	2.000	27.00	08/05/20	32.08	...	-5.08	6 of 9 - Sale <sup>25</sup>
	1.000	13.50	08/05/20	16.75	...	-3.25	7 of 9 - Sale <sup>25</sup>
	1.000	13.50	08/05/20	16.58	...	-3.08	8 of 9 - Sale <sup>25</sup>
	1.000	13.50	08/05/20	15.73	...	-2.23	9 of 9 - Sale <sup>25</sup>
08/06/20	100.000	1,349.97	Various	1,537.34	...	-187.37	Total of 9 transactions
	<b>Security total:</b>	<b>3,719.41</b>		<b>4,005.44</b>	<b>98.66 W</b>	<b>-187.37</b>	
NEW GOLD INC. / CUSIP: 644535106 / Symbol:							
07/24/20	2,500.000	3,999.86	07/24/20	4,024.75	...	-24.89	Sale <sup>25</sup>
THE NEW HOME COMPANY INC. / CUSIP: 645370107 / Symbol:							
04/07/20	200.000	278.00	04/06/20	300.00	...	-22.00	Sale <sup>25</sup>
NEW RESIDENTIAL INVESTMENT COR P / CUSIP: 64828T201 / Symbol:							
03/25/20	100.000	499.99	03/24/20	482.00	...	17.99	Sale <sup>25</sup>
3 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	20.000	141.80	03/26/20	130.60	...	11.20	1 of 3 - Sale <sup>25</sup>
	25.000	176.13	03/26/20	163.25	...	12.88	2 of 3 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NEW RESIDENTIAL INVESTMENT COR P / CUSIP: 64828T201 / Symbol: (cont'd)							
	30.000	211.65	03/26/20	195.89	...	15.76	3 of 3 - Sale <sup>25</sup>
03/27/20	75.000	529.58	Various	489.74	...	39.84	Total of 3 transactions
03/27/20	25.000	176.12	03/26/20	193.75	17.63 W	0.00	Sale <sup>25</sup>
04/06/20	75.000	258.74	04/03/20	230.24	...	28.50	Sale <sup>25</sup>
04/06/20	25.000	86.25	04/03/20	94.38	8.13 W	0.00	Sale <sup>25</sup>
7 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	2.000	10.78	04/08/20	10.14	...	0.64	1 of 7 - Sale <sup>25</sup>
	2.000	10.78	04/08/20	10.11	...	0.67	2 of 7 - Sale <sup>25</sup>
	23.000	123.96	04/08/20	116.22	...	7.74	3 of 7 - Sale <sup>25</sup>
	50.000	269.48	04/08/20	256.50	...	12.98	4 of 7 - Sale <sup>25</sup>
	48.000	258.96	04/08/20	243.36	...	15.60	5 of 7 - Sale <sup>25</sup>
	50.000	269.49	04/08/20	253.49	...	16.00	6 of 7 - Sale <sup>25</sup>
	75.000	404.23	04/08/20	354.60	...	49.63	7 of 7 - Sale <sup>25</sup>
04/09/20	250.000	1,347.68	Various	1,244.42	...	103.26	Total of 7 transactions
04/16/20	100.000	484.99	04/15/20	485.99	1.00 W	0.00	Sale <sup>25</sup>
04/22/20	60.000	353.99	04/20/20	304.20	...	49.79	Sale <sup>25</sup>
2 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	40.000	263.60	05/05/20	283.20	19.60 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	65.90	05/05/20	70.70	4.80 W	0.00	2 of 2 - Sale <sup>25</sup>
05/06/20	50.000	329.50	Various	353.90	24.40 W	0.00	Total of 2 transactions
2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	40.000	297.29	05/28/20	337.11	39.82 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	74.32	05/28/20	84.18	9.86 W	0.00	2 of 2 - Sale <sup>25</sup>
05/29/20	50.000	371.61	Various	421.29	49.68 W	0.00	Total of 2 transactions
3 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	40.000	310.58	06/03/20	361.02	50.44 W	0.00	1 of 3 - Sale <sup>25</sup>
	10.000	77.65	06/03/20	90.16	12.51 W	0.00	2 of 3 - Sale <sup>25</sup>
	25.000	194.12	06/03/20	199.44	5.32 W	0.00	3 of 3 - Sale <sup>25</sup>
06/04/20	75.000	582.35	Various	650.62	68.27 W	0.00	Total of 3 transactions
4 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	40.000	307.68	06/23/20	368.04	...	-60.36	1 of 4 - Sale <sup>25</sup>
	10.000	76.92	06/23/20	91.91	...	-14.99	2 of 4 - Sale <sup>25</sup>
	25.000	192.30	06/23/20	203.82	...	-11.52	3 of 4 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NEW RESIDENTIAL INVESTMENT COR P / CUSIP: 64828T201 / Symbol: (cont'd)							
	25.000	192.30	06/23/20	198.50	...	-6.20	4 of 4 - Sale <sup>25</sup>
06/24/20	100.000	769.20	Various	862.27	...	-93.07	Total of 4 transactions
08/13/20	200.000	1,606.40	08/13/20	1,615.54	...	-9.14	Sale <sup>25</sup>
	<b>Security total:</b>	<b>7,396.40</b>		<b>7,428.34</b>	<b>169.11 W</b>	<b>137.17</b>	
NEW SENIOR INVESTMENT GROUP IN C. / CUSIP: 648691103 / Symbol:							
08/07/20	500.000	2,124.89	08/07/20	2,170.00	...	-45.11	Sale <sup>25</sup>
NEW YORK MORTGAGE TRUST, INC. COMMON STOCK / CUSIP: 649604501 / Symbol:							
2 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	200.000	399.98	03/26/20	423.98	24.00 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	100.00	03/26/20	106.35	6.35 W	0.00	2 of 2 - Sale <sup>25</sup>
03/27/20	250.000	499.98	Various	530.33	30.35 W	0.00	Total of 2 transactions
6 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	1.000	1.75	04/07/20	1.75	...	0.00	1 of 6 - Sale <sup>25</sup>
	50.000	87.49	04/07/20	74.85	...	12.64	2 of 6 - Sale <sup>25</sup>
	50.000	87.49	04/07/20	68.50	...	18.99	3 of 6 - Sale <sup>25</sup>
	100.000	174.98	04/07/20	149.00	...	25.98	4 of 6 - Sale <sup>25</sup>
	100.000	174.98	04/07/20	149.00	...	25.98	5 of 6 - Sale <sup>25</sup>
	200.000	349.97	04/07/20	298.00	...	51.97	6 of 6 - Sale <sup>25</sup>
04/08/20	501.000	876.66	Various	741.10	...	135.56	Total of 6 transactions
04/08/20	54.000	94.49	04/07/20	95.04	0.55 W	0.00	Sale <sup>25</sup>
3 transactions for 04/13/20. Total proceeds and cost reported to the IRS.							
	54.000	115.28	04/09/20	136.36	21.08 W	0.00	1 of 3 - Sale <sup>25</sup>
	35.000	74.72	04/09/20	88.03	13.31 W	0.00	2 of 3 - Sale <sup>25</sup>
	61.000	130.23	04/09/20	153.42	23.19 W	0.00	3 of 3 - Sale <sup>25</sup>
04/13/20	150.000	320.23	Various	377.81	57.58 W	0.00	Total of 3 transactions
3 transactions for 04/15/20. Total proceeds and cost reported to the IRS.							
	200.000	400.57	04/14/20	429.40	...	-28.83	1 of 3 - Sale <sup>25</sup>
	150.000	300.43	04/14/20	323.55	...	-23.12	2 of 3 - Sale <sup>25</sup>
	100.000	200.28	04/14/20	214.70	...	-14.42	3 of 3 - Sale <sup>25</sup>
04/15/20	450.000	901.28	Various	967.65	...	-66.37	Total of 3 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NEW YORK MORTGAGE TRUST, INC. COMMON STOCK / CUSIP: 649604501 / Symbol: (cont'd)							
<i>3 transactions for 04/15/20. Total proceeds and cost reported to the IRS.</i>							
	54.000	108.15	04/14/20	137.56	29.41 W	0.00	1 of 3 - Sale <sup>25</sup>
	35.000	70.10	04/14/20	88.80	18.70 W	0.00	2 of 3 - Sale <sup>25</sup>
	61.000	122.17	04/14/20	154.77	32.60 W	0.00	3 of 3 - Sale <sup>25</sup>
04/15/20	150.000	300.42	Various	381.13	80.71 W	0.00	Total of 3 transactions
<i>2 transactions for 05/11/20. Total proceeds and cost reported to the IRS.</i>							
	61.000	117.88	05/08/20	155.06	...	-37.18	1 of 2 - Sale <sup>25</sup>
	35.000	67.64	05/08/20	88.96	...	-21.32	2 of 2 - Sale <sup>25</sup>
05/11/20	96.000	185.52	Various	244.02	...	-58.50	Total of 2 transactions
05/11/20	54.000	104.35	05/08/20	137.82	30.37 W	-3.10	Sale <sup>25</sup>
05/27/20	34.000	74.46	05/26/20	95.45	...	-20.99	Sale <sup>25</sup>
06/01/20	15.000	30.90	05/29/20	41.10	...	-10.20	Sale <sup>25</sup>
<i>5 transactions for 08/05/20. Total proceeds and cost reported to the IRS.</i>							
	261.000	717.70	08/05/20	720.36	...	-2.66	1 of 5 - Sale <sup>25</sup>
	35.000	96.25	08/05/20	96.60	...	-0.35	2 of 5 - Sale <sup>25</sup>
	10.000	27.50	08/05/20	27.60	...	-0.10	3 of 5 - Sale <sup>25</sup>
	5.000	13.75	08/05/20	13.80	...	-0.05	4 of 5 - Sale <sup>25</sup>
	114.000	314.63	08/05/20	314.64	...	-0.01	5 of 5 - Sale <sup>25</sup>
08/05/20	425.000	1,169.83	Various	1,173.00	...	-3.17	Total of 5 transactions
	<b>Security total:</b>	<b>4,558.12</b>		<b>4,784.45</b>	<b>199.56 W</b>	<b>-26.77</b>	
NEWMARK GROUP, INC. CLASS A COMMON STOCK / CUSIP: 65158N102 / Symbol:							
<i>2 transactions for 05/08/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	359.99	05/07/20	397.80	...	-37.81	1 of 2 - Sale <sup>25</sup>
	50.000	180.00	05/07/20	198.90	...	-18.90	2 of 2 - Sale <sup>25</sup>
05/08/20	150.000	539.99	Various	596.70	...	-56.71	Total of 2 transactions
NEWTEK BUSINESS SERVICES CORP. COMMON STOCK (MARYLAND) / CUSIP: 652526203 / Symbol:							
03/26/20	25.000	328.00	03/25/20	377.25	19.70 W	-29.55	Sale <sup>25</sup>
04/08/20	10.000	125.30	04/03/20	116.60	...	8.70	Sale <sup>25</sup>
	<b>Security total:</b>	<b>453.30</b>		<b>493.85</b>	<b>19.70 W</b>	<b>-20.85</b>	

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NEXGEN ENERGY LTD. COMMON SHAR ES / CUSIP: 65340P106 / Symbol:							
2 transactions for 04/03/20. Total proceeds and cost reported to the IRS.							
	299.000	277.64	04/01/20	281.06	...	-3.42	1 of 2 - Sale <sup>25</sup>
	1.000	0.96	04/01/20	0.94	...	0.02	2 of 2 - Sale <sup>25</sup>
04/03/20	300.000	278.60	Various	282.00	...	-3.40	Total of 2 transactions
NEXTDECADE CORPORATION COMMON STOCK / CUSIP: 65342K105 / Symbol:							
09/25/20	100.000	336.99	09/25/20	345.00	...	-8.01	Sale <sup>25</sup>
NIKE, INC. / CUSIP: 654106103 / Symbol:							
06/23/20	10.000	1,017.48	06/22/20	976.47	...	41.01	Sale <sup>25</sup>
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	4.000	296.60	06/09/20	348.28	51.68 W	0.00	1 of 2 - Sale <sup>25</sup>
	11.000	815.63	06/09/20	957.77	142.14 W	0.00	2 of 2 - Sale <sup>25</sup>
06/09/20	15.000	1,112.23	Various	1,306.05	193.82 W	0.00	Total of 2 transactions
3 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	4.000	254.83	06/17/20	310.64	55.81 W	0.00	1 of 3 - Sale <sup>25</sup>
	11.000	700.79	06/17/20	854.28	153.49 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	318.54	06/17/20	321.90	3.36 W	0.00	3 of 3 - Sale <sup>25</sup>
06/18/20	20.000	1,274.16	Various	1,486.82	212.66 W	0.00	Total of 3 transactions
2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	4.000	276.77	06/22/20	325.73	48.96 W	0.00	1 of 2 - Sale <sup>25</sup>
	6.000	415.16	06/22/20	488.60	73.44 W	0.00	2 of 2 - Sale <sup>25</sup>
06/23/20	10.000	691.93	Various	814.33	122.40 W	0.00	Total of 2 transactions
6 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	7.000	473.69	06/29/20	472.80	...	0.89	1 of 6 - Sale <sup>25</sup>
	18.000	1,218.03	06/29/20	1,215.77	...	2.26	2 of 6 - Sale <sup>25</sup>
	10.000	679.59	06/29/20	676.00	...	3.59	3 of 6 - Sale <sup>25</sup>
	5.000	344.69	06/29/20	341.07	...	3.62	4 of 6 - Sale <sup>25</sup>
	5.000	344.70	06/29/20	337.72	...	6.98	5 of 6 - Sale <sup>25</sup>
	24.000	1,634.84	06/29/20	1,622.40	...	12.44	6 of 6 - Sale <sup>25</sup>
06/29/20	69.000	4,695.54	Various	4,665.76	...	29.78	Total of 6 transactions

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
<i>7 transactions for 06/29/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	275.75	06/29/20	319.13	43.38 W	0.00	1 of 7 - Sale <sup>25</sup>
	6.000	413.63	06/29/20	478.70	65.07 W	0.00	2 of 7 - Sale <sup>25</sup>
	5.000	344.69	06/29/20	407.48	62.79 W	0.00	3 of 7 - Sale <sup>25</sup>
	4.000	271.83	06/29/20	313.78	41.95 W	0.00	4 of 7 - Sale <sup>25</sup>
	6.000	407.75	06/29/20	470.67	62.92 W	0.00	5 of 7 - Sale <sup>25</sup>
	5.000	339.79	06/29/20	400.79	61.00 W	0.00	6 of 7 - Sale <sup>25</sup>
	1.000	67.30	06/29/20	67.60	0.30 W	0.00	7 of 7 - Sale <sup>25</sup>
06/29/20	31.000	2,120.74	Various	2,458.15	337.41 W	0.00	Total of 7 transactions
<i>3 transactions for 06/30/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	263.80	06/30/20	301.57	37.77 W	0.00	1 of 3 - Sale <sup>25</sup>
	6.000	395.69	06/30/20	452.35	56.66 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	329.75	06/30/20	385.53	55.78 W	0.00	3 of 3 - Sale <sup>25</sup>
06/30/20	15.000	989.24	Various	1,139.45	150.21 W	0.00	Total of 3 transactions
<i>8 transactions for 07/02/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	251.21	07/01/20	300.13	48.92 W	0.00	1 of 8 - Sale <sup>25</sup>
	6.000	376.82	07/01/20	450.20	73.38 W	0.00	2 of 8 - Sale <sup>25</sup>
	4.000	251.22	07/01/20	306.98	55.76 W	0.00	3 of 8 - Sale <sup>25</sup>
	1.000	62.80	07/01/20	65.89	3.09 W	0.00	4 of 8 - Sale <sup>25</sup>
	1.000	62.80	07/01/20	76.74	13.94 W	0.00	5 of 8 - Sale <sup>25</sup>
	4.000	251.22	07/01/20	262.30	11.08 W	0.00	6 of 8 - Sale <sup>25</sup>
	1.000	62.80	07/01/20	77.80	15.00 W	0.00	7 of 8 - Sale <sup>25</sup>
	4.000	251.20	07/01/20	311.22	60.02 W	0.00	8 of 8 - Sale <sup>25</sup>
07/02/20	25.000	1,570.07	Various	1,851.26	281.19 W	0.00	Total of 8 transactions
<i>18 transactions for 07/08/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	54.72	07/08/20	53.39	...	1.33	1 of 18 - Sale <sup>25</sup>
	1.000	54.72	07/08/20	53.18	...	1.54	2 of 18 - Sale <sup>25</sup>
	15.000	787.48	07/08/20	785.85	...	1.63	3 of 18 - Sale <sup>25</sup>
	1.000	52.50	07/08/20	50.78	...	1.72	4 of 18 - Sale <sup>25</sup>
	4.000	218.87	07/08/20	216.71	...	2.16	5 of 18 - Sale <sup>25</sup>
	5.000	262.49	07/08/20	258.81	...	3.68	6 of 18 - Sale <sup>25</sup>
	25.000	1,367.97	07/08/20	1,360.25	...	7.72	7 of 18 - Sale <sup>25</sup>
	25.000	1,312.47	07/08/20	1,303.75	...	8.72	8 of 18 - Sale <sup>25</sup>

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	16.000	875.50	07/08/20	858.96	...	16.54	9 of 18 - Sale <sup>25</sup>
	9.000	492.47	07/08/20	474.89	...	17.58	10 of 18 - Sale <sup>25</sup>
	65.000	3,412.42	07/08/20	3,384.92	...	27.50	11 of 18 - Sale <sup>25</sup>
	11.000	601.91	07/08/20	573.85	...	28.06	12 of 18 - Sale <sup>25</sup>
	15.000	820.78	07/08/20	788.48	...	32.30	13 of 18 - Sale <sup>25</sup>
	20.000	1,094.37	07/08/20	1,055.33	...	39.04	14 of 18 - Sale <sup>25</sup>
	34.000	1,860.43	07/08/20	1,787.49	...	72.94	15 of 18 - Sale <sup>25</sup>
	90.000	4,924.67	07/08/20	4,846.97	...	77.70	16 of 18 - Sale <sup>25</sup>
	40.000	2,188.75	07/08/20	2,110.80	...	77.95	17 of 18 - Sale <sup>25</sup>
	50.000	2,735.93	07/08/20	2,644.25	...	91.68	18 of 18 - Sale <sup>25</sup>
07/08/20	427.000	23,118.45	Various	22,608.66	...	509.79	Total of 18 transactions
76 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	5.000	243.64	07/08/20	293.40	49.76 W	0.00	1 of 76 - Sale <sup>25</sup>
	4.000	194.92	07/08/20	241.56	46.64 W	0.00	2 of 76 - Sale <sup>25</sup>
	1.000	48.73	07/08/20	49.54	0.81 W	0.00	3 of 76 - Sale <sup>25</sup>
	1.000	48.73	07/08/20	65.53	16.80 W	0.00	4 of 76 - Sale <sup>25</sup>
	4.000	194.91	07/08/20	262.12	67.21 W	0.00	5 of 76 - Sale <sup>25</sup>
	1.000	48.73	07/08/20	64.47	15.74 W	0.00	6 of 76 - Sale <sup>25</sup>
	4.000	194.92	07/08/20	213.18	18.26 W	0.00	7 of 76 - Sale <sup>25</sup>
	5.000	243.64	07/08/20	252.62	8.98 W	0.00	8 of 76 - Sale <sup>25</sup>
	1.000	48.62	07/08/20	67.44	18.82 W	0.00	9 of 76 - Sale <sup>25</sup>
	4.000	194.48	07/08/20	269.79	75.31 W	0.00	10 of 76 - Sale <sup>25</sup>
	5.000	243.09	07/08/20	302.99	59.90 W	0.00	11 of 76 - Sale <sup>25</sup>
	1.000	48.62	07/08/20	66.39	17.77 W	0.00	12 of 76 - Sale <sup>25</sup>
	4.000	194.47	07/08/20	249.22	54.75 W	0.00	13 of 76 - Sale <sup>25</sup>
	1.000	48.62	07/08/20	51.46	2.84 W	0.00	14 of 76 - Sale <sup>25</sup>
	4.000	194.48	07/08/20	220.84	26.36 W	0.00	15 of 76 - Sale <sup>25</sup>
	5.000	243.09	07/08/20	262.20	19.11 W	0.00	16 of 76 - Sale <sup>25</sup>
	1.000	52.50	07/08/20	66.76	14.26 W	0.00	17 of 76 - Sale <sup>25</sup>
	4.000	209.99	07/08/20	267.07	57.08 W	0.00	18 of 76 - Sale <sup>25</sup>
	5.000	262.49	07/08/20	299.60	37.11 W	0.00	19 of 76 - Sale <sup>25</sup>
	1.000	52.50	07/08/20	65.71	13.21 W	0.00	20 of 76 - Sale <sup>25</sup>
	4.000	209.99	07/08/20	246.51	36.52 W	0.00	21 of 76 - Sale <sup>25</sup>
	4.000	209.99	07/08/20	218.12	8.13 W	0.00	22 of 76 - Sale <sup>25</sup>

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	1.000	52.50	07/08/20	52.91	0.41 W	0.00	23 of 76 - Sale <sup>25</sup>
	1.000	52.50	07/08/20	67.18	14.68 W	0.00	24 of 76 - Sale <sup>25</sup>
	1.000	52.50	07/08/20	67.17	14.67 W	0.00	25 of 76 - Sale <sup>25</sup>
	3.000	157.50	07/08/20	201.54	44.04 W	0.00	26 of 76 - Sale <sup>25</sup>
	5.000	262.49	07/08/20	301.66	39.17 W	0.00	27 of 76 - Sale <sup>25</sup>
	1.000	52.50	07/08/20	66.12	13.62 W	0.00	28 of 76 - Sale <sup>25</sup>
	4.000	209.99	07/08/20	248.16	38.17 W	0.00	29 of 76 - Sale <sup>25</sup>
	4.000	209.99	07/08/20	219.77	9.78 W	0.00	30 of 76 - Sale <sup>25</sup>
	1.000	52.50	07/08/20	67.66	15.16 W	0.00	31 of 76 - Sale <sup>25</sup>
	1.000	52.50	07/08/20	53.39	0.89 W	0.00	32 of 76 - Sale <sup>25</sup>
	9.000	472.49	07/08/20	476.82	4.33 W	0.00	33 of 76 - Sale <sup>25</sup>
	1.000	52.50	07/08/20	67.65	15.15 W	0.00	34 of 76 - Sale <sup>25</sup>
	3.000	157.50	07/08/20	202.98	45.48 W	0.00	35 of 76 - Sale <sup>25</sup>
	5.000	262.49	07/08/20	304.07	41.58 W	0.00	36 of 76 - Sale <sup>25</sup>
	1.000	52.50	07/08/20	66.60	14.10 W	0.00	37 of 76 - Sale <sup>25</sup>
	4.000	209.99	07/08/20	250.09	40.10 W	0.00	38 of 76 - Sale <sup>25</sup>
	4.000	210.00	07/08/20	221.70	11.70 W	0.00	39 of 76 - Sale <sup>25</sup>
	20.000	1,049.97	07/08/20	1,059.60	9.63 W	0.00	40 of 76 - Sale <sup>25</sup>
	1.000	51.49	07/08/20	52.98	1.49 W	0.00	41 of 76 - Sale <sup>25</sup>
	1.000	51.49	07/08/20	67.06	15.57 W	0.00	42 of 76 - Sale <sup>25</sup>
	1.000	51.49	07/08/20	67.05	15.56 W	0.00	43 of 76 - Sale <sup>25</sup>
	3.000	154.48	07/08/20	201.18	46.70 W	0.00	44 of 76 - Sale <sup>25</sup>
	5.000	257.47	07/08/20	301.08	43.61 W	0.00	45 of 76 - Sale <sup>25</sup>
	1.000	51.49	07/08/20	66.00	14.51 W	0.00	46 of 76 - Sale <sup>25</sup>
	4.000	205.98	07/08/20	247.70	41.72 W	0.00	47 of 76 - Sale <sup>25</sup>
	4.000	205.98	07/08/20	219.30	13.32 W	0.00	48 of 76 - Sale <sup>25</sup>
	1.000	51.49	07/08/20	52.79	1.30 W	0.00	49 of 76 - Sale <sup>25</sup>
	9.000	463.46	07/08/20	471.43	7.97 W	0.00	50 of 76 - Sale <sup>25</sup>
	20.000	1,029.90	07/08/20	1,047.63	17.73 W	0.00	51 of 76 - Sale <sup>25</sup>
	49.000	2,523.25	07/08/20	2,543.10	19.85 W	0.00	52 of 76 - Sale <sup>25</sup>
	1.000	54.72	07/08/20	67.45	12.73 W	0.00	53 of 76 - Sale <sup>25</sup>
	1.000	54.72	07/08/20	67.44	12.72 W	0.00	54 of 76 - Sale <sup>25</sup>
	3.000	164.16	07/08/20	202.34	38.18 W	0.00	55 of 76 - Sale <sup>25</sup>
	5.000	273.59	07/08/20	303.01	29.42 W	0.00	56 of 76 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 527125207
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	1.000	54.72	07/08/20	66.39	11.67 W	0.00	57 of 76 - Sale <sup>25</sup>
	4.000	218.87	07/08/20	249.24	30.37 W	0.00	58 of 76 - Sale <sup>25</sup>
	4.000	218.87	07/08/20	220.84	1.97 W	0.00	59 of 76 - Sale <sup>25</sup>
	1.000	54.72	07/08/20	66.42	11.70 W	0.00	60 of 76 - Sale <sup>25</sup>
	1.000	54.72	07/08/20	66.40	11.68 W	0.00	61 of 76 - Sale <sup>25</sup>
	3.000	164.16	07/08/20	199.24	35.08 W	0.00	62 of 76 - Sale <sup>25</sup>
	5.000	273.59	07/08/20	297.85	24.26 W	0.00	63 of 76 - Sale <sup>25</sup>
	1.000	54.72	07/08/20	65.35	10.63 W	0.00	64 of 76 - Sale <sup>25</sup>
	4.000	218.87	07/08/20	245.11	26.24 W	0.00	65 of 76 - Sale <sup>25</sup>
	1.000	53.54	07/08/20	66.11	12.57 W	0.00	66 of 76 - Sale <sup>25</sup>
	1.000	53.54	07/08/20	66.09	12.55 W	0.00	67 of 76 - Sale <sup>25</sup>
	3.000	160.62	07/08/20	198.31	37.69 W	0.00	68 of 76 - Sale <sup>25</sup>
	5.000	267.69	07/08/20	296.31	28.62 W	0.00	69 of 76 - Sale <sup>25</sup>
	1.000	53.54	07/08/20	65.04	11.50 W	0.00	70 of 76 - Sale <sup>25</sup>
	4.000	214.15	07/08/20	243.88	29.73 W	0.00	71 of 76 - Sale <sup>25</sup>
	10.000	535.39	07/08/20	548.80	13.41 W	0.00	72 of 76 - Sale <sup>25</sup>
	75.000	4,015.40	07/08/20	4,097.25	81.85 W	0.00	73 of 76 - Sale <sup>25</sup>
	90.000	4,818.48	07/08/20	4,891.50	73.02 W	0.00	74 of 76 - Sale <sup>25</sup>
	10.000	535.39	07/08/20	543.50	8.11 W	0.00	75 of 76 - Sale <sup>25</sup>
	50.000	2,676.93	07/08/20	2,717.50	40.57 W	0.00	76 of 76 - Sale <sup>25</sup>
07/08/20	521.000	27,396.63	Various	29,280.26	1,883.63 W	0.00	Total of 76 transactions
17 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	2.000	112.00	07/08/20	110.78	...	1.22	1 of 17 - Sale <sup>25</sup>
	3.000	168.00	07/08/20	166.18	...	1.82	2 of 17 - Sale <sup>25</sup>
	5.000	282.49	07/08/20	270.15	...	12.34	3 of 17 - Sale <sup>25</sup>
	10.000	559.99	07/08/20	547.50	...	12.49	4 of 17 - Sale <sup>25</sup>
	10.000	565.44	07/08/20	552.71	...	12.73	5 of 17 - Sale <sup>25</sup>
	10.000	559.99	07/08/20	546.50	...	13.49	6 of 17 - Sale <sup>25</sup>
	10.000	565.44	07/08/20	547.41	...	18.03	7 of 17 - Sale <sup>25</sup>
	25.000	1,412.47	07/08/20	1,367.50	...	44.97	8 of 17 - Sale <sup>25</sup>
	50.000	2,824.93	07/08/20	2,737.07	...	87.86	9 of 17 - Sale <sup>25</sup>
	75.000	4,240.78	07/08/20	4,126.60	...	114.18	10 of 17 - Sale <sup>25</sup>
	55.000	3,107.42	07/08/20	2,971.65	...	135.77	11 of 17 - Sale <sup>25</sup>
	90.000	5,088.93	07/08/20	4,926.72	...	162.21	12 of 17 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	7.000	391.99	07/09/20	387.80	...	4.19	13 of 17 - Sale <sup>25</sup>
	33.000	1,847.95	07/09/20	1,828.20	...	19.75	14 of 17 - Sale <sup>25</sup>
	60.000	3,359.91	07/09/20	3,337.68	...	22.23	15 of 17 - Sale <sup>25</sup>
	50.000	2,799.93	07/09/20	2,734.50	...	65.43	16 of 17 - Sale <sup>25</sup>
	90.000	5,039.87	07/09/20	4,968.86	...	71.01	17 of 17 - Sale <sup>25</sup>
07/09/20	585.000	32,927.53	Various	32,127.81	...	799.72	Total of 17 transactions
	55 transactions for 07/09/20. Total proceeds and cost reported to the IRS.						
	1.000	56.54	07/08/20	66.50	9.96 W	0.00	1 of 55 - Sale <sup>25</sup>
	1.000	56.54	07/08/20	66.48	9.94 W	0.00	2 of 55 - Sale <sup>25</sup>
	3.000	169.63	07/08/20	199.48	29.85 W	0.00	3 of 55 - Sale <sup>25</sup>
	5.000	282.72	07/08/20	298.27	15.55 W	0.00	4 of 55 - Sale <sup>25</sup>
	1.000	56.54	07/08/20	65.43	8.89 W	0.00	5 of 55 - Sale <sup>25</sup>
	4.000	226.17	07/08/20	245.45	19.28 W	0.00	6 of 55 - Sale <sup>25</sup>
	1.000	56.50	07/08/20	63.99	7.49 W	0.00	7 of 55 - Sale <sup>25</sup>
	1.000	56.50	07/08/20	63.97	7.47 W	0.00	8 of 55 - Sale <sup>25</sup>
	3.000	169.50	07/08/20	191.94	22.44 W	0.00	9 of 55 - Sale <sup>25</sup>
	5.000	282.49	07/08/20	285.70	3.21 W	0.00	10 of 55 - Sale <sup>25</sup>
	1.000	56.50	07/08/20	62.92	6.42 W	0.00	11 of 55 - Sale <sup>25</sup>
	4.000	225.99	07/08/20	235.40	9.41 W	0.00	12 of 55 - Sale <sup>25</sup>
	1.000	56.00	07/08/20	62.19	6.19 W	0.00	13 of 55 - Sale <sup>25</sup>
	3.000	168.00	07/08/20	186.54	18.54 W	0.00	14 of 55 - Sale <sup>25</sup>
	1.000	56.00	07/08/20	62.22	6.22 W	0.00	15 of 55 - Sale <sup>25</sup>
	1.000	56.00	07/08/20	61.17	5.17 W	0.00	16 of 55 - Sale <sup>25</sup>
	4.000	223.99	07/08/20	228.41	4.42 W	0.00	17 of 55 - Sale <sup>25</sup>
	75.000	4,199.90	07/09/20	4,264.15	64.25 W	0.00	18 of 55 - Sale <sup>25</sup>
	1.000	54.62	07/09/20	63.05	8.43 W	0.00	19 of 55 - Sale <sup>25</sup>
	1.000	54.62	07/09/20	63.07	8.45 W	0.00	20 of 55 - Sale <sup>25</sup>
	3.000	163.87	07/09/20	189.11	25.24 W	0.00	21 of 55 - Sale <sup>25</sup>
	1.000	54.62	07/09/20	62.03	7.41 W	0.00	22 of 55 - Sale <sup>25</sup>
	4.000	218.49	07/09/20	231.84	13.35 W	0.00	23 of 55 - Sale <sup>25</sup>
	15.000	819.36	07/09/20	852.82	33.46 W	0.00	24 of 55 - Sale <sup>25</sup>
	50.000	2,731.18	07/09/20	2,863.08	131.90 W	0.00	25 of 55 - Sale <sup>25</sup>
	4.000	218.49	07/09/20	229.67	11.18 W	0.00	26 of 55 - Sale <sup>25</sup>
	21.000	1,147.10	07/09/20	1,206.38	59.28 W	0.00	27 of 55 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	14.000	764.73	07/09/20	792.26	27.53 W	0.00	28 of 55 - Sale <sup>25</sup>
	9.000	491.62	07/09/20	509.40	17.78 W	0.00	29 of 55 - Sale <sup>25</sup>
	2.000	109.25	07/09/20	113.20	3.95 W	0.00	30 of 55 - Sale <sup>25</sup>
	23.000	1,256.34	07/09/20	1,303.18	46.84 W	0.00	31 of 55 - Sale <sup>25</sup>
	2.000	109.25	07/09/20	113.32	4.07 W	0.00	32 of 55 - Sale <sup>25</sup>
	1.000	55.71	07/09/20	63.73	8.02 W	0.00	33 of 55 - Sale <sup>25</sup>
	1.000	55.71	07/09/20	63.75	8.04 W	0.00	34 of 55 - Sale <sup>25</sup>
	3.000	167.13	07/09/20	191.14	24.01 W	0.00	35 of 55 - Sale <sup>25</sup>
	1.000	55.71	07/09/20	62.71	7.00 W	0.00	36 of 55 - Sale <sup>25</sup>
	4.000	222.83	07/09/20	234.54	11.71 W	0.00	37 of 55 - Sale <sup>25</sup>
	4.000	222.83	07/09/20	232.37	9.54 W	0.00	38 of 55 - Sale <sup>25</sup>
	15.000	835.63	07/09/20	862.94	27.31 W	0.00	39 of 55 - Sale <sup>25</sup>
	21.000	1,169.88	07/09/20	1,220.55	50.67 W	0.00	40 of 55 - Sale <sup>25</sup>
	50.000	2,785.43	07/09/20	2,896.82	111.39 W	0.00	41 of 55 - Sale <sup>25</sup>
	2.000	111.42	07/09/20	114.52	3.10 W	0.00	42 of 55 - Sale <sup>25</sup>
	9.000	501.38	07/09/20	515.35	13.97 W	0.00	43 of 55 - Sale <sup>25</sup>
	14.000	779.92	07/09/20	801.52	21.60 W	0.00	44 of 55 - Sale <sup>25</sup>
	2.000	111.42	07/09/20	115.57	4.15 W	0.00	45 of 55 - Sale <sup>25</sup>
	23.000	1,281.30	07/09/20	1,329.09	47.79 W	0.00	46 of 55 - Sale <sup>25</sup>
	3.000	167.13	07/09/20	167.25	0.12 W	0.00	47 of 55 - Sale <sup>25</sup>
	22.000	1,225.59	07/09/20	1,226.50	0.91 W	0.00	48 of 55 - Sale <sup>25</sup>
	50.000	2,785.42	07/09/20	2,802.50	17.08 W	0.00	49 of 55 - Sale <sup>25</sup>
	1.000	57.05	07/09/20	63.93	6.88 W	0.00	50 of 55 - Sale <sup>25</sup>
	1.000	57.05	07/09/20	63.95	6.90 W	0.00	51 of 55 - Sale <sup>25</sup>
	3.000	171.15	07/09/20	191.74	20.59 W	0.00	52 of 55 - Sale <sup>25</sup>
	1.000	57.05	07/09/20	62.91	5.86 W	0.00	53 of 55 - Sale <sup>25</sup>
	4.000	228.19	07/09/20	235.35	7.16 W	0.00	54 of 55 - Sale <sup>25</sup>
	15.000	855.72	07/09/20	865.96	10.24 W	0.00	55 of 55 - Sale <sup>25</sup>
07/09/20	515.000	28,609.70	Various	29,687.31	1,077.61 W	0.00	Total of 55 transactions
87 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	5.000	276.50	07/09/20	290.69	14.19 W	0.00	1 of 87 - Sale <sup>25</sup>
	2.000	110.52	07/09/20	114.92	4.40 W	0.00	2 of 87 - Sale <sup>25</sup>
	2.000	110.52	07/09/20	115.97	5.45 W	0.00	3 of 87 - Sale <sup>25</sup>
	3.000	165.78	07/09/20	167.85	2.07 W	0.00	4 of 87 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	4.000	221.03	07/09/20	233.18	12.15 W	0.00	5 of 87 - Sale <sup>25</sup>
	9.000	497.33	07/09/20	517.16	19.83 W	0.00	6 of 87 - Sale <sup>25</sup>
	14.000	773.62	07/09/20	804.34	30.72 W	0.00	7 of 87 - Sale <sup>25</sup>
	21.000	1,160.43	07/09/20	1,224.78	64.35 W	0.00	8 of 87 - Sale <sup>25</sup>
	22.000	1,215.69	07/09/20	1,230.93	15.24 W	0.00	9 of 87 - Sale <sup>25</sup>
	23.000	1,270.95	07/09/20	1,333.72	62.77 W	0.00	10 of 87 - Sale <sup>25</sup>
	22.000	1,215.68	07/09/20	1,237.54	21.86 W	0.00	11 of 87 - Sale <sup>25</sup>
	45.000	2,486.64	07/09/20	2,616.20	129.56 W	0.00	12 of 87 - Sale <sup>25</sup>
	3.000	165.74	07/09/20	168.75	3.01 W	0.00	13 of 87 - Sale <sup>25</sup>
	7.000	386.74	07/09/20	393.97	7.23 W	0.00	14 of 87 - Sale <sup>25</sup>
	3.000	165.71	07/09/20	168.85	3.14 W	0.00	15 of 87 - Sale <sup>25</sup>
	7.000	386.67	07/09/20	400.10	13.43 W	0.00	16 of 87 - Sale <sup>25</sup>
	8.000	441.76	07/09/20	457.25	15.49 W	0.00	17 of 87 - Sale <sup>25</sup>
	1.000	53.25	07/10/20	62.00	8.75 W	0.00	18 of 87 - Sale <sup>25</sup>
	1.000	53.25	07/10/20	62.02	8.77 W	0.00	19 of 87 - Sale <sup>25</sup>
	3.000	159.75	07/10/20	185.95	26.20 W	0.00	20 of 87 - Sale <sup>25</sup>
	1.000	53.25	07/10/20	60.98	7.73 W	0.00	21 of 87 - Sale <sup>25</sup>
	4.000	212.99	07/10/20	227.64	14.65 W	0.00	22 of 87 - Sale <sup>25</sup>
	4.000	212.99	07/10/20	232.63	19.64 W	0.00	23 of 87 - Sale <sup>25</sup>
	5.000	266.24	07/10/20	289.79	23.55 W	0.00	24 of 87 - Sale <sup>25</sup>
	21.000	1,118.22	07/10/20	1,221.87	103.65 W	0.00	25 of 87 - Sale <sup>25</sup>
	45.000	2,396.19	07/10/20	2,609.96	213.77 W	0.00	26 of 87 - Sale <sup>25</sup>
	15.000	798.73	07/10/20	837.04	38.31 W	0.00	27 of 87 - Sale <sup>25</sup>
	2.000	106.50	07/10/20	111.67	5.17 W	0.00	28 of 87 - Sale <sup>25</sup>
	9.000	479.24	07/10/20	502.55	23.31 W	0.00	29 of 87 - Sale <sup>25</sup>
	14.000	745.48	07/10/20	781.61	36.13 W	0.00	30 of 87 - Sale <sup>25</sup>
	21.000	1,118.22	07/10/20	1,183.64	65.42 W	0.00	31 of 87 - Sale <sup>25</sup>
	1.000	53.20	07/10/20	56.37	3.17 W	0.00	32 of 87 - Sale <sup>25</sup>
	2.000	106.40	07/10/20	112.72	6.32 W	0.00	33 of 87 - Sale <sup>25</sup>
	1.000	53.20	07/10/20	56.36	3.16 W	0.00	34 of 87 - Sale <sup>25</sup>
	1.000	53.46	07/10/20	62.80	9.34 W	0.00	35 of 87 - Sale <sup>25</sup>
	1.000	53.46	07/10/20	62.82	9.36 W	0.00	36 of 87 - Sale <sup>25</sup>
	3.000	160.37	07/10/20	188.35	27.98 W	0.00	37 of 87 - Sale <sup>25</sup>
	1.000	53.46	07/10/20	61.78	8.32 W	0.00	38 of 87 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	4.000	213.83	07/10/20	230.85	17.02 W	0.00	39 of 87 - Sale <sup>25</sup>
	3.000	161.06	07/10/20	164.22	3.16 W	0.00	40 of 87 - Sale <sup>25</sup>
	3.000	161.06	07/10/20	165.16	4.10 W	0.00	41 of 87 - Sale <sup>25</sup>
	3.000	161.06	07/10/20	165.29	4.23 W	0.00	42 of 87 - Sale <sup>25</sup>
	5.000	268.43	07/10/20	293.80	25.37 W	0.00	43 of 87 - Sale <sup>25</sup>
	7.000	375.81	07/10/20	385.58	9.77 W	0.00	44 of 87 - Sale <sup>25</sup>
	7.000	375.81	07/10/20	391.78	15.97 W	0.00	45 of 87 - Sale <sup>25</sup>
	8.000	429.50	07/10/20	447.89	18.39 W	0.00	46 of 87 - Sale <sup>25</sup>
	10.000	536.87	07/10/20	588.00	51.13 W	0.00	47 of 87 - Sale <sup>25</sup>
	22.000	1,181.11	07/10/20	1,204.34	23.23 W	0.00	48 of 87 - Sale <sup>25</sup>
	22.000	1,181.11	07/10/20	1,210.96	29.85 W	0.00	49 of 87 - Sale <sup>25</sup>
	10.000	536.87	07/10/20	579.66	42.79 W	0.00	50 of 87 - Sale <sup>25</sup>
	1.000	54.39	07/10/20	58.08	3.69 W	0.00	51 of 87 - Sale <sup>25</sup>
	4.000	217.55	07/10/20	233.70	16.15 W	0.00	52 of 87 - Sale <sup>25</sup>
	4.000	217.55	07/10/20	234.06	16.51 W	0.00	53 of 87 - Sale <sup>25</sup>
	20.000	1,087.78	07/10/20	1,167.11	79.33 W	0.00	54 of 87 - Sale <sup>25</sup>
	21.000	1,142.16	07/10/20	1,229.36	87.20 W	0.00	55 of 87 - Sale <sup>25</sup>
	5.000	271.94	07/10/20	279.67	7.73 W	0.00	56 of 87 - Sale <sup>25</sup>
	15.000	815.83	07/10/20	838.64	22.81 W	0.00	57 of 87 - Sale <sup>25</sup>
	3.000	163.17	07/10/20	167.76	4.59 W	0.00	58 of 87 - Sale <sup>25</sup>
	2.000	108.78	07/10/20	113.08	4.30 W	0.00	59 of 87 - Sale <sup>25</sup>
	6.000	326.33	07/10/20	339.22	12.89 W	0.00	60 of 87 - Sale <sup>25</sup>
	9.000	489.50	07/10/20	508.91	19.41 W	0.00	61 of 87 - Sale <sup>25</sup>
	10.000	543.89	07/10/20	570.70	26.81 W	0.00	62 of 87 - Sale <sup>25</sup>
	1.000	54.39	07/10/20	63.90	9.51 W	0.00	63 of 87 - Sale <sup>25</sup>
	1.000	54.39	07/10/20	63.92	9.53 W	0.00	64 of 87 - Sale <sup>25</sup>
	3.000	163.17	07/10/20	191.66	28.49 W	0.00	65 of 87 - Sale <sup>25</sup>
	1.000	54.39	07/10/20	62.88	8.49 W	0.00	66 of 87 - Sale <sup>25</sup>
	4.000	217.55	07/10/20	235.26	17.71 W	0.00	67 of 87 - Sale <sup>25</sup>
	1.000	54.39	07/10/20	57.73	3.34 W	0.00	68 of 87 - Sale <sup>25</sup>
	1.000	54.39	07/10/20	57.72	3.33 W	0.00	69 of 87 - Sale <sup>25</sup>
	2.000	108.77	07/10/20	115.44	6.67 W	0.00	70 of 87 - Sale <sup>25</sup>
	11.000	598.28	07/10/20	634.43	36.15 W	0.00	71 of 87 - Sale <sup>25</sup>
	3.000	163.45	07/10/20	166.84	3.39 W	0.00	72 of 87 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	20.000	1,089.67	07/10/20	1,112.32	22.65 W	0.00	73 of 87 - Sale <sup>25</sup>
	2.000	108.97	07/10/20	111.25	2.28 W	0.00	74 of 87 - Sale <sup>25</sup>
	1.000	54.48	07/10/20	58.53	4.05 W	0.00	75 of 87 - Sale <sup>25</sup>
	3.000	163.45	07/10/20	168.62	5.17 W	0.00	76 of 87 - Sale <sup>25</sup>
	3.000	163.45	07/10/20	168.75	5.30 W	0.00	77 of 87 - Sale <sup>25</sup>
	4.000	217.93	07/10/20	235.51	17.58 W	0.00	78 of 87 - Sale <sup>25</sup>
	5.000	272.42	07/10/20	299.57	27.15 W	0.00	79 of 87 - Sale <sup>25</sup>
	7.000	381.39	07/10/20	393.65	12.26 W	0.00	80 of 87 - Sale <sup>25</sup>
	7.000	381.39	07/10/20	399.85	18.46 W	0.00	81 of 87 - Sale <sup>25</sup>
	8.000	435.87	07/10/20	457.11	21.24 W	0.00	82 of 87 - Sale <sup>25</sup>
	10.000	544.84	07/10/20	599.53	54.69 W	0.00	83 of 87 - Sale <sup>25</sup>
	10.000	544.83	07/10/20	591.19	46.36 W	0.00	84 of 87 - Sale <sup>25</sup>
	15.000	817.26	07/10/20	882.10	64.84 W	0.00	85 of 87 - Sale <sup>25</sup>
	22.000	1,198.64	07/10/20	1,236.33	37.69 W	0.00	86 of 87 - Sale <sup>25</sup>
	5.000	271.60	07/10/20	294.03	22.43 W	0.00	87 of 87 - Sale <sup>25</sup>
07/10/20	710.000	38,549.91	Various	40,672.69	2,122.78 W	0.00	Total of 87 transactions
	36 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	1.000	54.50	07/10/20	58.28	3.78 W	0.00	1 of 36 - Sale <sup>25</sup>
	3.000	163.49	07/13/20	177.08	13.59 W	0.00	2 of 36 - Sale <sup>25</sup>
	14.000	762.98	07/13/20	826.73	63.75 W	0.00	3 of 36 - Sale <sup>25</sup>
	2.000	109.00	07/13/20	118.11	9.11 W	0.00	4 of 36 - Sale <sup>25</sup>
	2.000	109.00	07/13/20	114.10	5.10 W	0.00	5 of 36 - Sale <sup>25</sup>
	3.000	163.50	07/13/20	169.29	5.79 W	0.00	6 of 36 - Sale <sup>25</sup>
	5.000	272.49	07/13/20	282.23	9.74 W	0.00	7 of 36 - Sale <sup>25</sup>
	5.000	272.49	07/13/20	295.26	22.77 W	0.00	8 of 36 - Sale <sup>25</sup>
	15.000	817.48	07/13/20	846.31	28.83 W	0.00	9 of 36 - Sale <sup>25</sup>
	2.000	109.00	07/13/20	115.17	6.17 W	0.00	10 of 36 - Sale <sup>25</sup>
	4.000	217.99	07/13/20	230.34	12.35 W	0.00	11 of 36 - Sale <sup>25</sup>
	9.000	490.49	07/13/20	518.34	27.85 W	0.00	12 of 36 - Sale <sup>25</sup>
	10.000	544.99	07/13/20	581.18	36.19 W	0.00	13 of 36 - Sale <sup>25</sup>
	1.000	54.50	07/13/20	64.97	10.47 W	0.00	14 of 36 - Sale <sup>25</sup>
	1.000	54.50	07/13/20	64.99	10.49 W	0.00	15 of 36 - Sale <sup>25</sup>
	3.000	163.50	07/13/20	194.85	31.35 W	0.00	16 of 36 - Sale <sup>25</sup>
	1.000	54.50	07/13/20	63.94	9.44 W	0.00	17 of 36 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	4.000	217.99	07/13/20	239.53	21.54 W	0.00	18 of 36 - Sale <sup>25</sup>
	1.000	54.50	07/13/20	58.80	4.30 W	0.00	19 of 36 - Sale <sup>25</sup>
	1.000	54.50	07/13/20	58.78	4.28 W	0.00	20 of 36 - Sale <sup>25</sup>
	2.000	109.00	07/13/20	117.58	8.58 W	0.00	21 of 36 - Sale <sup>25</sup>
	3.000	163.49	07/13/20	169.76	6.27 W	0.00	22 of 36 - Sale <sup>25</sup>
	11.000	599.48	07/13/20	646.15	46.67 W	0.00	23 of 36 - Sale <sup>25</sup>
	17.000	926.47	07/13/20	961.99	35.52 W	0.00	24 of 36 - Sale <sup>25</sup>
	2.000	108.08	07/13/20	113.19	5.11 W	0.00	25 of 36 - Sale <sup>25</sup>
	3.000	162.12	07/13/20	169.76	7.64 W	0.00	26 of 36 - Sale <sup>25</sup>
	3.000	162.12	07/13/20	168.04	5.92 W	0.00	27 of 36 - Sale <sup>25</sup>
	3.000	162.12	07/13/20	168.17	6.05 W	0.00	28 of 36 - Sale <sup>25</sup>
	7.000	378.27	07/13/20	392.29	14.02 W	0.00	29 of 36 - Sale <sup>25</sup>
	7.000	378.27	07/13/20	398.49	20.22 W	0.00	30 of 36 - Sale <sup>25</sup>
	3.000	162.11	07/13/20	170.84	8.73 W	0.00	31 of 36 - Sale <sup>25</sup>
	22.000	1,188.85	07/13/20	1,232.07	43.22 W	0.00	32 of 36 - Sale <sup>25</sup>
	5.000	268.90	07/13/20	298.60	29.70 W	0.00	33 of 36 - Sale <sup>25</sup>
	5.000	268.89	07/13/20	284.72	15.83 W	0.00	34 of 36 - Sale <sup>25</sup>
	10.000	537.79	07/13/20	597.59	59.80 W	0.00	35 of 36 - Sale <sup>25</sup>
	5.000	268.89	07/13/20	294.63	25.74 W	0.00	36 of 36 - Sale <sup>25</sup>
07/13/20	195.000	10,586.24	Various	11,262.15	675.91 W	0.00	Total of 36 transactions
20 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	1.000	52.69	07/13/20	58.34	5.65 W	0.00	1 of 20 - Sale <sup>25</sup>
	4.000	210.76	07/13/20	234.74	23.98 W	0.00	2 of 20 - Sale <sup>25</sup>
	5.000	263.44	07/13/20	294.63	31.19 W	0.00	3 of 20 - Sale <sup>25</sup>
	15.000	790.33	07/13/20	879.19	88.86 W	0.00	4 of 20 - Sale <sup>25</sup>
	1.000	51.97	07/14/20	56.91	4.94 W	0.00	5 of 20 - Sale <sup>25</sup>
	2.000	103.94	07/14/20	115.38	11.44 W	0.00	6 of 20 - Sale <sup>25</sup>
	3.000	155.91	07/14/20	173.00	17.09 W	0.00	7 of 20 - Sale <sup>25</sup>
	5.000	259.84	07/14/20	288.11	28.27 W	0.00	8 of 20 - Sale <sup>25</sup>
	14.000	727.56	07/14/20	807.64	80.08 W	0.00	9 of 20 - Sale <sup>25</sup>
	2.000	104.08	07/14/20	111.37	7.29 W	0.00	10 of 20 - Sale <sup>25</sup>
	3.000	156.11	07/14/20	165.20	9.09 W	0.00	11 of 20 - Sale <sup>25</sup>
	5.000	260.19	07/14/20	288.44	28.25 W	0.00	12 of 20 - Sale <sup>25</sup>
	5.000	260.20	07/14/20	275.41	15.21 W	0.00	13 of 20 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	10.000	520.24	07/14/20	550.57	30.33 W	0.00	14 of 20 - Sale <sup>25</sup>
	4.000	208.09	07/14/20	215.63	7.54 W	0.00	15 of 20 - Sale <sup>25</sup>
	1.000	52.51	07/14/20	53.91	1.40 W	0.00	16 of 20 - Sale <sup>25</sup>
	2.000	105.02	07/14/20	112.07	7.05 W	0.00	17 of 20 - Sale <sup>25</sup>
	4.000	210.04	07/14/20	224.15	14.11 W	0.00	18 of 20 - Sale <sup>25</sup>
	9.000	472.58	07/14/20	504.40	31.82 W	0.00	19 of 20 - Sale <sup>25</sup>
	9.000	472.57	07/14/20	509.12	36.55 W	0.00	20 of 20 - Sale <sup>25</sup>
07/14/20	104.000	5,438.07	Various	5,918.21	480.14 W	0.00	Total of 20 transactions
	2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	1.000	55.00	07/15/20	65.61	10.61 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	55.00	07/15/20	65.60	10.60 W	0.00	2 of 2 - Sale <sup>25</sup>
07/15/20	2.000	110.00	Various	131.21	21.21 W	0.00	Total of 2 transactions
	12 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	1.000	52.62	07/15/20	65.59	12.97 W	0.00	1 of 12 - Sale <sup>25</sup>
	1.000	52.62	07/15/20	58.77	6.15 W	0.00	2 of 12 - Sale <sup>25</sup>
	1.000	52.62	07/15/20	65.73	13.11 W	0.00	3 of 12 - Sale <sup>25</sup>
	1.000	52.62	07/15/20	65.77	13.15 W	0.00	4 of 12 - Sale <sup>25</sup>
	1.000	52.62	07/15/20	64.72	12.10 W	0.00	5 of 12 - Sale <sup>25</sup>
	4.000	210.47	07/15/20	242.66	32.19 W	0.00	6 of 12 - Sale <sup>25</sup>
	1.000	52.62	07/15/20	59.52	6.90 W	0.00	7 of 12 - Sale <sup>25</sup>
	1.000	52.62	07/16/20	56.82	4.20 W	0.00	8 of 12 - Sale <sup>25</sup>
	1.000	52.62	07/16/20	56.80	4.18 W	0.00	9 of 12 - Sale <sup>25</sup>
	1.000	52.62	07/16/20	54.61	1.99 W	0.00	10 of 12 - Sale <sup>25</sup>
	2.000	105.23	07/16/20	113.61	8.38 W	0.00	11 of 12 - Sale <sup>25</sup>
	10.000	526.19	07/16/20	567.62	41.43 W	0.00	12 of 12 - Sale <sup>25</sup>
07/16/20	25.000	1,315.47	Various	1,472.22	156.75 W	0.00	Total of 12 transactions
	3 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	1.000	50.28	07/17/20	52.55	2.27 W	0.00	1 of 3 - Sale <sup>25</sup>
	2.000	100.56	07/17/20	104.18	3.62 W	0.00	2 of 3 - Sale <sup>25</sup>
	17.000	854.73	07/17/20	885.52	30.79 W	0.00	3 of 3 - Sale <sup>25</sup>
07/17/20	20.000	1,005.57	Various	1,042.25	36.68 W	0.00	Total of 3 transactions

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
17 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	2.000	77.68	07/20/20	83.83	6.15 W	0.00	1 of 17 - Sale <sup>25</sup>
	2.000	77.68	07/20/20	83.85	6.17 W	0.00	2 of 17 - Sale <sup>25</sup>
	3.000	116.52	07/20/20	124.03	7.51 W	0.00	3 of 17 - Sale <sup>25</sup>
	3.000	116.52	07/20/20	124.16	7.64 W	0.00	4 of 17 - Sale <sup>25</sup>
	7.000	271.89	07/20/20	289.61	17.72 W	0.00	5 of 17 - Sale <sup>25</sup>
	6.000	233.05	07/20/20	253.55	20.50 W	0.00	6 of 17 - Sale <sup>25</sup>
	22.000	854.49	07/20/20	909.36	54.87 W	0.00	7 of 17 - Sale <sup>25</sup>
	1.000	39.05	07/20/20	42.54	3.49 W	0.00	8 of 17 - Sale <sup>25</sup>
	3.000	117.13	07/20/20	126.84	9.71 W	0.00	9 of 17 - Sale <sup>25</sup>
	1.000	39.05	07/20/20	42.26	3.21 W	0.00	10 of 17 - Sale <sup>25</sup>
	1.000	38.85	07/20/20	42.14	3.29 W	0.00	11 of 17 - Sale <sup>25</sup>
	3.000	116.55	07/20/20	126.75	10.20 W	0.00	12 of 17 - Sale <sup>25</sup>
	5.000	194.24	07/20/20	225.12	30.88 W	0.00	13 of 17 - Sale <sup>25</sup>
	6.000	233.09	07/20/20	270.39	37.30 W	0.00	14 of 17 - Sale <sup>25</sup>
	1.000	38.85	07/20/20	45.06	6.21 W	0.00	15 of 17 - Sale <sup>25</sup>
	4.000	155.40	07/20/20	179.20	23.80 W	0.00	16 of 17 - Sale <sup>25</sup>
	5.000	194.24	07/20/20	219.84	25.60 W	0.00	17 of 17 - Sale <sup>25</sup>
07/20/20	75.000	2,914.28	Various	3,188.53	274.25 W	0.00	Total of 17 transactions
44 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	1.000	38.33	07/22/20	44.15	5.82 W	0.00	1 of 44 - Sale <sup>25</sup>
	4.000	153.32	07/22/20	178.95	25.63 W	0.00	2 of 44 - Sale <sup>25</sup>
	4.000	153.31	07/22/20	177.98	24.67 W	0.00	3 of 44 - Sale <sup>25</sup>
	9.000	344.96	07/22/20	399.82	54.86 W	0.00	4 of 44 - Sale <sup>25</sup>
	1.000	37.16	07/22/20	42.33	5.17 W	0.00	5 of 44 - Sale <sup>25</sup>
	6.000	222.99	07/22/20	259.88	36.89 W	0.00	6 of 44 - Sale <sup>25</sup>
	2.000	74.33	07/22/20	86.22	11.89 W	0.00	7 of 44 - Sale <sup>25</sup>
	2.000	74.33	07/22/20	82.07	7.74 W	0.00	8 of 44 - Sale <sup>25</sup>
	3.000	111.49	07/22/20	129.26	17.77 W	0.00	9 of 44 - Sale <sup>25</sup>
	3.000	111.49	07/22/20	121.26	9.77 W	0.00	10 of 44 - Sale <sup>25</sup>
	5.000	185.82	07/22/20	215.22	29.40 W	0.00	11 of 44 - Sale <sup>25</sup>
	5.000	185.82	07/22/20	215.20	29.38 W	0.00	12 of 44 - Sale <sup>25</sup>
	5.000	185.83	07/22/20	202.16	16.33 W	0.00	13 of 44 - Sale <sup>25</sup>
	14.000	520.31	07/22/20	603.54	83.23 W	0.00	14 of 44 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	4.000	148.66	07/22/20	161.69	13.03 W	0.00	15 of 44 - Sale <sup>25</sup>
	1.000	36.87	07/22/20	40.35	3.48 W	0.00	16 of 44 - Sale <sup>25</sup>
	4.000	147.48	07/22/20	161.39	13.91 W	0.00	17 of 44 - Sale <sup>25</sup>
	9.000	331.82	07/22/20	363.20	31.38 W	0.00	18 of 44 - Sale <sup>25</sup>
	1.000	36.87	07/22/20	38.22	1.35 W	0.00	19 of 44 - Sale <sup>25</sup>
	4.000	147.47	07/22/20	154.82	7.35 W	0.00	20 of 44 - Sale <sup>25</sup>
	6.000	221.21	07/22/20	239.12	17.91 W	0.00	21 of 44 - Sale <sup>25</sup>
	1.000	36.95	07/22/20	49.83	12.88 W	0.00	22 of 44 - Sale <sup>25</sup>
	1.000	36.95	07/22/20	49.97	13.02 W	0.00	23 of 44 - Sale <sup>25</sup>
	1.000	36.95	07/22/20	50.01	13.06 W	0.00	24 of 44 - Sale <sup>25</sup>
	1.000	36.95	07/22/20	47.47	10.52 W	0.00	25 of 44 - Sale <sup>25</sup>
	1.000	36.95	07/22/20	47.46	10.51 W	0.00	26 of 44 - Sale <sup>25</sup>
	1.000	36.95	07/22/20	48.96	12.01 W	0.00	27 of 44 - Sale <sup>25</sup>
	4.000	147.80	07/22/20	179.63	31.83 W	0.00	28 of 44 - Sale <sup>25</sup>
	1.000	36.95	07/22/20	43.01	6.06 W	0.00	29 of 44 - Sale <sup>25</sup>
	1.000	36.95	07/22/20	43.76	6.81 W	0.00	30 of 44 - Sale <sup>25</sup>
	1.000	36.95	07/22/20	40.38	3.43 W	0.00	31 of 44 - Sale <sup>25</sup>
	1.000	36.95	07/22/20	41.06	4.11 W	0.00	32 of 44 - Sale <sup>25</sup>
	1.000	36.95	07/22/20	41.04	4.09 W	0.00	33 of 44 - Sale <sup>25</sup>
	1.000	36.95	07/22/20	38.85	1.90 W	0.00	34 of 44 - Sale <sup>25</sup>
	2.000	73.90	07/22/20	82.10	8.20 W	0.00	35 of 44 - Sale <sup>25</sup>
	2.000	73.90	07/22/20	77.34	3.44 W	0.00	36 of 44 - Sale <sup>25</sup>
	9.000	332.54	07/22/20	368.29	35.75 W	0.00	37 of 44 - Sale <sup>25</sup>
	10.000	369.49	07/22/20	410.03	40.54 W	0.00	38 of 44 - Sale <sup>25</sup>
	11.000	406.43	07/22/20	425.38	18.95 W	0.00	39 of 44 - Sale <sup>25</sup>
	1.000	36.95	07/22/20	39.14	2.19 W	0.00	40 of 44 - Sale <sup>25</sup>
	2.000	73.90	07/22/20	79.88	5.98 W	0.00	41 of 44 - Sale <sup>25</sup>
	2.000	73.90	07/22/20	79.90	6.00 W	0.00	42 of 44 - Sale <sup>25</sup>
	6.000	221.69	07/22/20	232.06	10.37 W	0.00	43 of 44 - Sale <sup>25</sup>
	14.000	517.27	07/22/20	551.03	33.76 W	0.00	44 of 44 - Sale <sup>25</sup>
07/22/20	168.000	6,241.04	Various	6,983.41	742.37 W	0.00	Total of 44 transactions
	24 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	1.000	35.28	07/23/20	40.91	5.63 W	0.00	1 of 24 - Sale <sup>25</sup>
	1.000	35.28	07/23/20	41.94	6.66 W	0.00	2 of 24 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	1.000	35.28	07/23/20	41.55	6.27 W	0.00	3 of 24 - Sale <sup>25</sup>
	4.000	141.12	07/23/20	168.57	27.45 W	0.00	4 of 24 - Sale <sup>25</sup>
	4.000	141.12	07/23/20	167.61	26.49 W	0.00	5 of 24 - Sale <sup>25</sup>
	6.000	211.67	07/23/20	251.30	39.63 W	0.00	6 of 24 - Sale <sup>25</sup>
	9.000	317.51	07/23/20	376.47	58.96 W	0.00	7 of 24 - Sale <sup>25</sup>
	1.000	35.28	07/23/20	38.94	3.66 W	0.00	8 of 24 - Sale <sup>25</sup>
	1.000	35.28	07/23/20	39.22	3.94 W	0.00	9 of 24 - Sale <sup>25</sup>
	1.000	35.28	07/23/20	39.03	3.75 W	0.00	10 of 24 - Sale <sup>25</sup>
	3.000	105.84	07/23/20	114.72	8.88 W	0.00	11 of 24 - Sale <sup>25</sup>
	3.000	105.84	07/23/20	114.85	9.01 W	0.00	12 of 24 - Sale <sup>25</sup>
	3.000	105.84	07/23/20	116.92	11.08 W	0.00	13 of 24 - Sale <sup>25</sup>
	3.000	105.84	07/23/20	117.40	11.56 W	0.00	14 of 24 - Sale <sup>25</sup>
	3.000	105.84	07/23/20	124.97	19.13 W	0.00	15 of 24 - Sale <sup>25</sup>
	4.000	141.12	07/23/20	166.74	25.62 W	0.00	16 of 24 - Sale <sup>25</sup>
	5.000	176.39	07/23/20	209.56	33.17 W	0.00	17 of 24 - Sale <sup>25</sup>
	5.000	176.40	07/23/20	204.28	27.88 W	0.00	18 of 24 - Sale <sup>25</sup>
	5.000	176.39	07/23/20	208.08	31.69 W	0.00	19 of 24 - Sale <sup>25</sup>
	6.000	211.67	07/23/20	234.91	23.24 W	0.00	20 of 24 - Sale <sup>25</sup>
	6.000	211.67	07/23/20	251.71	40.04 W	0.00	21 of 24 - Sale <sup>25</sup>
	7.000	246.95	07/23/20	267.86	20.91 W	0.00	22 of 24 - Sale <sup>25</sup>
	8.000	282.23	07/23/20	305.83	23.60 W	0.00	23 of 24 - Sale <sup>25</sup>
	10.000	352.79	07/23/20	416.80	64.01 W	0.00	24 of 24 - Sale <sup>25</sup>
07/23/20	100.000	3,527.91	Various	4,060.17	532.26 W	0.00	Total of 24 transactions
	12 transactions for 07/24/20. Total proceeds and cost reported to the IRS.						
	2.000	60.38	07/23/20	79.70	19.32 W	0.00	1 of 12 - Sale <sup>25</sup>
	4.000	120.76	07/23/20	159.40	38.64 W	0.00	2 of 12 - Sale <sup>25</sup>
	5.000	150.95	07/23/20	198.91	47.96 W	0.00	3 of 12 - Sale <sup>25</sup>
	4.000	120.76	07/23/20	148.69	27.93 W	0.00	4 of 12 - Sale <sup>25</sup>
	2.000	59.10	07/23/20	75.55	16.45 W	0.00	5 of 12 - Sale <sup>25</sup>
	3.000	88.65	07/23/20	111.49	22.84 W	0.00	6 of 12 - Sale <sup>25</sup>
	4.000	118.20	07/23/20	149.53	31.33 W	0.00	7 of 12 - Sale <sup>25</sup>
	1.000	29.55	07/23/20	37.17	7.62 W	0.00	8 of 12 - Sale <sup>25</sup>
	1.000	29.55	07/23/20	37.38	7.83 W	0.00	9 of 12 - Sale <sup>25</sup>
	9.000	265.95	07/23/20	336.54	70.59 W	0.00	10 of 12 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	1.000	29.55	07/23/20	36.90	7.35 W	0.00	11 of 12 - Sale <sup>25</sup>
	4.000	118.20	07/23/20	148.66	30.46 W	0.00	12 of 12 - Sale <sup>25</sup>
07/24/20	40.000	1,191.60	Various	1,519.92	328.32 W	0.00	Total of 12 transactions
	5 transactions for 07/27/20. Total proceeds and cost reported to the IRS.						
	4.000	132.00	07/27/20	131.95	...	0.05	1 of 5 - Sale <sup>25</sup>
	2.000	66.00	07/27/20	65.74	...	0.26	2 of 5 - Sale <sup>25</sup>
	1.000	33.00	07/27/20	32.50	...	0.50	3 of 5 - Sale <sup>25</sup>
	6.000	197.99	07/27/20	197.27	...	0.72	4 of 5 - Sale <sup>25</sup>
	11.000	362.99	07/27/20	361.60	...	1.39	5 of 5 - Sale <sup>25</sup>
07/27/20	24.000	791.98	Various	789.06	...	2.92	Total of 5 transactions
	83 transactions for 07/27/20. Total proceeds and cost reported to the IRS.						
	1.000	33.00	07/27/20	44.03	11.03 W	0.00	1 of 83 - Sale <sup>25</sup>
	1.000	33.00	07/27/20	44.17	11.17 W	0.00	2 of 83 - Sale <sup>25</sup>
	1.000	33.00	07/27/20	44.21	11.21 W	0.00	3 of 83 - Sale <sup>25</sup>
	1.000	33.00	07/27/20	41.67	8.67 W	0.00	4 of 83 - Sale <sup>25</sup>
	1.000	33.00	07/27/20	41.66	8.66 W	0.00	5 of 83 - Sale <sup>25</sup>
	1.000	33.00	07/27/20	43.16	10.16 W	0.00	6 of 83 - Sale <sup>25</sup>
	4.000	132.00	07/27/20	156.43	24.43 W	0.00	7 of 83 - Sale <sup>25</sup>
	1.000	33.00	07/27/20	37.21	4.21 W	0.00	8 of 83 - Sale <sup>25</sup>
	1.000	33.00	07/27/20	37.96	4.96 W	0.00	9 of 83 - Sale <sup>25</sup>
	1.000	33.00	07/27/20	34.58	1.58 W	0.00	10 of 83 - Sale <sup>25</sup>
	1.000	33.00	07/27/20	35.26	2.26 W	0.00	11 of 83 - Sale <sup>25</sup>
	1.000	33.00	07/27/20	35.24	2.24 W	0.00	12 of 83 - Sale <sup>25</sup>
	1.000	33.00	07/27/20	33.05	0.05 W	0.00	13 of 83 - Sale <sup>25</sup>
	1.000	33.00	07/27/20	33.34	0.34 W	0.00	14 of 83 - Sale <sup>25</sup>
	2.000	66.00	07/27/20	70.50	4.50 W	0.00	15 of 83 - Sale <sup>25</sup>
	2.000	66.00	07/27/20	68.28	2.28 W	0.00	16 of 83 - Sale <sup>25</sup>
	2.000	66.00	07/27/20	68.30	2.30 W	0.00	17 of 83 - Sale <sup>25</sup>
	4.000	132.00	07/27/20	134.25	2.25 W	0.00	18 of 83 - Sale <sup>25</sup>
	9.000	296.99	07/27/20	316.10	19.11 W	0.00	19 of 83 - Sale <sup>25</sup>
	10.000	329.99	07/27/20	352.04	22.05 W	0.00	20 of 83 - Sale <sup>25</sup>
	5.000	164.99	07/27/20	170.67	5.68 W	0.00	21 of 83 - Sale <sup>25</sup>
	1.000	33.00	07/27/20	40.23	7.23 W	0.00	22 of 83 - Sale <sup>25</sup>
	1.000	33.00	07/27/20	41.26	8.26 W	0.00	23 of 83 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	1.000	33.00	07/27/20	40.87	7.87 W	0.00	24 of 83 - Sale <sup>25</sup>
	4.000	131.99	07/27/20	165.85	33.86 W	0.00	25 of 83 - Sale <sup>25</sup>
	4.000	131.99	07/27/20	164.89	32.90 W	0.00	26 of 83 - Sale <sup>25</sup>
	6.000	197.99	07/27/20	247.23	49.24 W	0.00	27 of 83 - Sale <sup>25</sup>
	9.000	296.99	07/27/20	370.36	73.37 W	0.00	28 of 83 - Sale <sup>25</sup>
	4.000	132.00	07/27/20	148.04	16.04 W	0.00	29 of 83 - Sale <sup>25</sup>
	1.000	33.00	07/27/20	37.01	4.01 W	0.00	30 of 83 - Sale <sup>25</sup>
	3.000	99.00	07/27/20	112.68	13.68 W	0.00	31 of 83 - Sale <sup>25</sup>
	3.000	99.00	07/27/20	112.76	13.76 W	0.00	32 of 83 - Sale <sup>25</sup>
	8.000	263.99	07/27/20	300.40	36.41 W	0.00	33 of 83 - Sale <sup>25</sup>
	5.000	164.99	07/27/20	185.06	20.07 W	0.00	34 of 83 - Sale <sup>25</sup>
	1.000	33.05	07/27/20	41.03	7.98 W	0.00	35 of 83 - Sale <sup>25</sup>
	2.000	66.10	07/27/20	86.15	20.05 W	0.00	36 of 83 - Sale <sup>25</sup>
	2.000	66.10	07/27/20	83.28	17.18 W	0.00	37 of 83 - Sale <sup>25</sup>
	3.000	99.15	07/27/20	123.09	23.94 W	0.00	38 of 83 - Sale <sup>25</sup>
	4.000	132.20	07/27/20	172.30	40.10 W	0.00	39 of 83 - Sale <sup>25</sup>
	4.000	132.20	07/27/20	161.59	29.39 W	0.00	40 of 83 - Sale <sup>25</sup>
	4.000	132.19	07/27/20	164.99	32.80 W	0.00	41 of 83 - Sale <sup>25</sup>
	5.000	165.24	07/27/20	215.04	49.80 W	0.00	42 of 83 - Sale <sup>25</sup>
	1.000	32.98	07/27/20	41.25	8.27 W	0.00	43 of 83 - Sale <sup>25</sup>
	9.000	296.86	07/27/20	371.32	74.46 W	0.00	44 of 83 - Sale <sup>25</sup>
	1.000	32.98	07/27/20	37.07	4.09 W	0.00	45 of 83 - Sale <sup>25</sup>
	1.000	32.98	07/27/20	37.35	4.37 W	0.00	46 of 83 - Sale <sup>25</sup>
	1.000	32.98	07/27/20	37.17	4.19 W	0.00	47 of 83 - Sale <sup>25</sup>
	3.000	98.95	07/27/20	109.26	10.31 W	0.00	48 of 83 - Sale <sup>25</sup>
	3.000	98.95	07/27/20	111.33	12.38 W	0.00	49 of 83 - Sale <sup>25</sup>
	3.000	98.95	07/27/20	111.80	12.85 W	0.00	50 of 83 - Sale <sup>25</sup>
	3.000	98.95	07/27/20	119.37	20.42 W	0.00	51 of 83 - Sale <sup>25</sup>
	4.000	131.94	07/27/20	145.61	13.67 W	0.00	52 of 83 - Sale <sup>25</sup>
	4.000	131.94	07/27/20	159.28	27.34 W	0.00	53 of 83 - Sale <sup>25</sup>
	5.000	164.92	07/27/20	200.25	35.33 W	0.00	54 of 83 - Sale <sup>25</sup>
	5.000	164.92	07/27/20	194.95	30.03 W	0.00	55 of 83 - Sale <sup>25</sup>
	5.000	164.92	07/27/20	198.77	33.85 W	0.00	56 of 83 - Sale <sup>25</sup>
	6.000	197.90	07/27/20	223.73	25.83 W	0.00	57 of 83 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	6.000	197.91	07/27/20	240.53	42.62 W	0.00	58 of 83 - Sale <sup>25</sup>
	1.000	32.98	07/27/20	40.76	7.78 W	0.00	59 of 83 - Sale <sup>25</sup>
	4.000	131.94	07/27/20	164.12	32.18 W	0.00	60 of 83 - Sale <sup>25</sup>
	10.000	329.85	07/27/20	398.16	68.31 W	0.00	61 of 83 - Sale <sup>25</sup>
	1.000	33.38	07/27/20	44.41	11.03 W	0.00	62 of 83 - Sale <sup>25</sup>
	1.000	33.38	07/27/20	44.55	11.17 W	0.00	63 of 83 - Sale <sup>25</sup>
	1.000	33.38	07/27/20	44.59	11.21 W	0.00	64 of 83 - Sale <sup>25</sup>
	1.000	33.38	07/27/20	42.05	8.67 W	0.00	65 of 83 - Sale <sup>25</sup>
	1.000	33.38	07/27/20	42.04	8.66 W	0.00	66 of 83 - Sale <sup>25</sup>
	1.000	33.38	07/27/20	43.54	10.16 W	0.00	67 of 83 - Sale <sup>25</sup>
	4.000	133.52	07/27/20	157.95	24.43 W	0.00	68 of 83 - Sale <sup>25</sup>
	1.000	33.38	07/27/20	37.59	4.21 W	0.00	69 of 83 - Sale <sup>25</sup>
	1.000	33.38	07/27/20	38.34	4.96 W	0.00	70 of 83 - Sale <sup>25</sup>
	1.000	33.38	07/27/20	34.96	1.58 W	0.00	71 of 83 - Sale <sup>25</sup>
	8.000	267.03	07/27/20	284.68	17.65 W	0.00	72 of 83 - Sale <sup>25</sup>
	9.000	300.41	07/27/20	319.53	19.12 W	0.00	73 of 83 - Sale <sup>25</sup>
	1.000	33.38	07/27/20	35.64	2.26 W	0.00	74 of 83 - Sale <sup>25</sup>
	1.000	33.38	07/27/20	35.62	2.24 W	0.00	75 of 83 - Sale <sup>25</sup>
	1.000	33.38	07/27/20	33.43	0.05 W	0.00	76 of 83 - Sale <sup>25</sup>
	1.000	33.38	07/27/20	33.72	0.34 W	0.00	77 of 83 - Sale <sup>25</sup>
	2.000	66.76	07/27/20	71.17	4.41 W	0.00	78 of 83 - Sale <sup>25</sup>
	2.000	66.76	07/27/20	71.26	4.50 W	0.00	79 of 83 - Sale <sup>25</sup>
	2.000	66.76	07/27/20	69.04	2.28 W	0.00	80 of 83 - Sale <sup>25</sup>
	2.000	66.94	07/27/20	69.06	2.12 W	0.00	81 of 83 - Sale <sup>25</sup>
	4.000	133.88	07/27/20	135.77	1.89 W	0.00	82 of 83 - Sale <sup>25</sup>
	4.000	133.88	07/27/20	138.06	4.18 W	0.00	83 of 83 - Sale <sup>25</sup>
07/27/20	251.000	8,302.82	Various	9,625.30	1,322.48 W	0.00	Total of 83 transactions
	18 transactions for 07/29/20. Total proceeds and cost reported to the IRS.						
	1.000	32.33	07/28/20	39.75	7.42 W	0.00	1 of 18 - Sale <sup>25</sup>
	1.000	32.33	07/28/20	40.78	8.45 W	0.00	2 of 18 - Sale <sup>25</sup>
	1.000	32.33	07/28/20	40.39	8.06 W	0.00	3 of 18 - Sale <sup>25</sup>
	4.000	129.32	07/28/20	163.94	34.62 W	0.00	4 of 18 - Sale <sup>25</sup>
	4.000	129.32	07/28/20	162.98	33.66 W	0.00	5 of 18 - Sale <sup>25</sup>
	5.000	161.64	07/28/20	203.63	41.99 W	0.00	6 of 18 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	9.000	290.96	07/28/20	366.05	75.09 W	0.00	7 of 18 - Sale <sup>25</sup>
	1.000	31.83	07/28/20	40.73	8.90 W	0.00	8 of 18 - Sale <sup>25</sup>
	1.000	31.83	07/28/20	36.53	4.70 W	0.00	9 of 18 - Sale <sup>25</sup>
	1.000	31.83	07/28/20	37.08	5.25 W	0.00	10 of 18 - Sale <sup>25</sup>
	4.000	127.32	07/28/20	146.12	18.80 W	0.00	11 of 18 - Sale <sup>25</sup>
	5.000	159.14	07/28/20	182.67	23.53 W	0.00	12 of 18 - Sale <sup>25</sup>
	8.000	254.63	07/28/20	296.57	41.94 W	0.00	13 of 18 - Sale <sup>25</sup>
	2.000	62.55	07/28/20	74.21	11.66 W	0.00	14 of 18 - Sale <sup>25</sup>
	2.000	62.55	07/28/20	74.16	11.61 W	0.00	15 of 18 - Sale <sup>25</sup>
	1.000	31.27	07/28/20	33.66	2.39 W	0.00	16 of 18 - Sale <sup>25</sup>
	4.000	125.10	07/29/20	165.50	40.40 W	0.00	17 of 18 - Sale <sup>25</sup>
	1.000	31.28	07/29/20	35.94	4.66 W	0.00	18 of 18 - Sale <sup>25</sup>
07/29/20	55.000	1,757.56	Various	2,140.69	383.13 W	0.00	Total of 18 transactions
	16 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	1.000	30.19	07/29/20	38.67	8.48 W	0.00	1 of 16 - Sale <sup>25</sup>
	1.000	30.19	07/29/20	38.96	8.77 W	0.00	2 of 16 - Sale <sup>25</sup>
	2.000	60.39	07/29/20	81.43	21.04 W	0.00	3 of 16 - Sale <sup>25</sup>
	2.000	60.39	07/29/20	78.56	18.17 W	0.00	4 of 16 - Sale <sup>25</sup>
	3.000	90.58	07/29/20	116.01	25.43 W	0.00	5 of 16 - Sale <sup>25</sup>
	4.000	120.78	07/29/20	152.15	31.37 W	0.00	6 of 16 - Sale <sup>25</sup>
	4.000	120.78	07/29/20	155.56	34.78 W	0.00	7 of 16 - Sale <sup>25</sup>
	5.000	150.97	07/29/20	203.25	52.28 W	0.00	8 of 16 - Sale <sup>25</sup>
	9.000	271.75	07/29/20	350.67	78.92 W	0.00	9 of 16 - Sale <sup>25</sup>
	1.000	30.19	07/29/20	34.78	4.59 W	0.00	10 of 16 - Sale <sup>25</sup>
	1.000	30.20	07/29/20	35.06	4.86 W	0.00	11 of 16 - Sale <sup>25</sup>
	1.000	30.19	07/29/20	34.88	4.69 W	0.00	12 of 16 - Sale <sup>25</sup>
	3.000	90.58	07/29/20	102.38	11.80 W	0.00	13 of 16 - Sale <sup>25</sup>
	3.000	90.58	07/29/20	104.45	13.87 W	0.00	14 of 16 - Sale <sup>25</sup>
	4.000	120.78	07/29/20	136.43	15.65 W	0.00	15 of 16 - Sale <sup>25</sup>
	6.000	181.17	07/29/20	209.97	28.80 W	0.00	16 of 16 - Sale <sup>25</sup>
07/30/20	50.000	1,509.71	Various	1,873.21	363.50 W	0.00	Total of 16 transactions
	9 transactions for 07/31/20. Total proceeds and cost reported to the IRS.						
	2.000	59.44	07/31/20	74.35	14.91 W	0.00	1 of 9 - Sale <sup>25</sup>
	3.000	89.16	07/31/20	103.96	14.80 W	0.00	2 of 9 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	4.000	118.88	07/31/20	148.82	29.94 W	0.00	3 of 9 - Sale <sup>25</sup>
	5.000	148.59	07/31/20	187.18	38.59 W	0.00	4 of 9 - Sale <sup>25</sup>
	5.000	148.59	07/31/20	181.88	33.29 W	0.00	5 of 9 - Sale <sup>25</sup>
	5.000	148.59	07/31/20	185.70	37.11 W	0.00	6 of 9 - Sale <sup>25</sup>
	6.000	178.32	07/31/20	224.84	46.52 W	0.00	7 of 9 - Sale <sup>25</sup>
	1.000	29.72	07/31/20	37.18	7.46 W	0.00	8 of 9 - Sale <sup>25</sup>
	1.000	29.72	07/31/20	37.20	7.48 W	0.00	9 of 9 - Sale <sup>25</sup>
07/31/20	32.000	951.01	Various	1,181.11	230.10 W	0.00	Total of 9 transactions
	118 transactions for 08/04/20. Total proceeds and cost reported to the IRS.						
	1.000	38.12	08/04/20	50.74	12.62 W	0.00	1 of 118 - Sale <sup>25</sup>
	1.000	38.12	08/04/20	50.88	12.76 W	0.00	2 of 118 - Sale <sup>25</sup>
	1.000	38.12	08/04/20	50.92	12.80 W	0.00	3 of 118 - Sale <sup>25</sup>
	1.000	38.12	08/04/20	48.38	10.26 W	0.00	4 of 118 - Sale <sup>25</sup>
	1.000	38.12	08/04/20	48.37	10.25 W	0.00	5 of 118 - Sale <sup>25</sup>
	1.000	38.12	08/04/20	49.87	11.75 W	0.00	6 of 118 - Sale <sup>25</sup>
	4.000	152.46	08/04/20	183.27	30.81 W	0.00	7 of 118 - Sale <sup>25</sup>
	1.000	38.12	08/04/20	47.13	9.01 W	0.00	8 of 118 - Sale <sup>25</sup>
	1.000	38.12	08/04/20	48.16	10.04 W	0.00	9 of 118 - Sale <sup>25</sup>
	1.000	38.12	08/04/20	47.77	9.65 W	0.00	10 of 118 - Sale <sup>25</sup>
	1.000	38.12	08/04/20	48.61	10.49 W	0.00	11 of 118 - Sale <sup>25</sup>
	4.000	152.46	08/04/20	193.46	41.00 W	0.00	12 of 118 - Sale <sup>25</sup>
	4.000	152.46	08/04/20	192.50	40.04 W	0.00	13 of 118 - Sale <sup>25</sup>
	5.000	190.58	08/04/20	240.54	49.96 W	0.00	14 of 118 - Sale <sup>25</sup>
	9.000	343.04	08/04/20	432.47	89.43 W	0.00	15 of 118 - Sale <sup>25</sup>
	1.000	38.12	08/04/20	43.92	5.80 W	0.00	16 of 118 - Sale <sup>25</sup>
	1.000	38.11	08/04/20	44.67	6.56 W	0.00	17 of 118 - Sale <sup>25</sup>
	1.000	38.12	08/04/20	44.41	6.29 W	0.00	18 of 118 - Sale <sup>25</sup>
	4.000	152.46	08/04/20	177.64	25.18 W	0.00	19 of 118 - Sale <sup>25</sup>
	5.000	190.57	08/04/20	222.07	31.50 W	0.00	20 of 118 - Sale <sup>25</sup>
	1.000	38.11	08/04/20	47.49	9.38 W	0.00	21 of 118 - Sale <sup>25</sup>
	1.000	38.12	08/04/20	41.29	3.17 W	0.00	22 of 118 - Sale <sup>25</sup>
	1.000	38.12	08/04/20	41.97	3.85 W	0.00	23 of 118 - Sale <sup>25</sup>
	1.000	38.11	08/04/20	41.95	3.84 W	0.00	24 of 118 - Sale <sup>25</sup>
	1.000	38.12	08/04/20	39.76	1.64 W	0.00	25 of 118 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	1.000	38.11	08/04/20	40.05	1.94 W	0.00	26 of 118 - Sale <sup>25</sup>
	2.000	76.23	08/04/20	83.83	7.60 W	0.00	27 of 118 - Sale <sup>25</sup>
	2.000	76.23	08/04/20	83.92	7.69 W	0.00	28 of 118 - Sale <sup>25</sup>
	2.000	76.23	08/04/20	81.70	5.47 W	0.00	29 of 118 - Sale <sup>25</sup>
	2.000	76.23	08/04/20	81.54	5.31 W	0.00	30 of 118 - Sale <sup>25</sup>
	4.000	152.46	08/04/20	191.02	38.56 W	0.00	31 of 118 - Sale <sup>25</sup>
	4.000	152.46	08/04/20	160.73	8.27 W	0.00	32 of 118 - Sale <sup>25</sup>
	8.000	304.92	08/04/20	335.33	30.41 W	0.00	33 of 118 - Sale <sup>25</sup>
	9.000	343.04	08/04/20	418.87	75.83 W	0.00	34 of 118 - Sale <sup>25</sup>
	9.000	343.03	08/04/20	376.51	33.48 W	0.00	35 of 118 - Sale <sup>25</sup>
	4.000	152.46	08/04/20	163.02	10.56 W	0.00	36 of 118 - Sale <sup>25</sup>
	2.000	76.16	08/04/20	98.22	22.06 W	0.00	37 of 118 - Sale <sup>25</sup>
	4.000	152.32	08/04/20	196.18	43.86 W	0.00	38 of 118 - Sale <sup>25</sup>
	1.000	38.08	08/04/20	43.84	5.76 W	0.00	39 of 118 - Sale <sup>25</sup>
	2.000	76.16	08/04/20	88.79	12.63 W	0.00	40 of 118 - Sale <sup>25</sup>
	2.000	76.16	08/04/20	88.84	12.68 W	0.00	41 of 118 - Sale <sup>25</sup>
	4.000	152.32	08/04/20	194.76	42.44 W	0.00	42 of 118 - Sale <sup>25</sup>
	8.000	304.63	08/04/20	350.66	46.03 W	0.00	43 of 118 - Sale <sup>25</sup>
	1.000	38.08	08/04/20	40.98	2.90 W	0.00	44 of 118 - Sale <sup>25</sup>
	1.000	38.08	08/04/20	43.25	5.17 W	0.00	45 of 118 - Sale <sup>25</sup>
	1.000	38.08	08/04/20	49.45	11.37 W	0.00	46 of 118 - Sale <sup>25</sup>
	1.000	38.08	08/04/20	47.47	9.39 W	0.00	47 of 118 - Sale <sup>25</sup>
	1.000	38.08	08/04/20	47.76	9.68 W	0.00	48 of 118 - Sale <sup>25</sup>
	2.000	76.16	08/04/20	96.15	19.99 W	0.00	49 of 118 - Sale <sup>25</sup>
	3.000	114.24	08/04/20	142.40	28.16 W	0.00	50 of 118 - Sale <sup>25</sup>
	4.000	152.32	08/04/20	187.33	35.01 W	0.00	51 of 118 - Sale <sup>25</sup>
	4.000	152.31	08/04/20	190.74	38.43 W	0.00	52 of 118 - Sale <sup>25</sup>
	9.000	342.71	08/04/20	429.83	87.12 W	0.00	53 of 118 - Sale <sup>25</sup>
	1.000	38.08	08/04/20	42.92	4.84 W	0.00	54 of 118 - Sale <sup>25</sup>
	4.000	152.31	08/04/20	171.61	19.30 W	0.00	55 of 118 - Sale <sup>25</sup>
	1.000	38.08	08/04/20	43.60	5.52 W	0.00	56 of 118 - Sale <sup>25</sup>
	1.000	38.08	08/04/20	43.87	5.79 W	0.00	57 of 118 - Sale <sup>25</sup>
	1.000	38.08	08/04/20	43.70	5.62 W	0.00	58 of 118 - Sale <sup>25</sup>
	2.000	76.16	08/04/20	85.89	9.73 W	0.00	59 of 118 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	3.000	114.24	08/04/20	130.90	16.66 W	0.00	60 of 118 - Sale <sup>25</sup>
	6.000	228.47	08/04/20	262.86	34.39 W	0.00	61 of 118 - Sale <sup>25</sup>
	3.000	114.24	08/04/20	131.83	17.59 W	0.00	62 of 118 - Sale <sup>25</sup>
	3.000	114.23	08/04/20	140.18	25.95 W	0.00	63 of 118 - Sale <sup>25</sup>
	2.000	76.16	08/04/20	94.20	18.04 W	0.00	64 of 118 - Sale <sup>25</sup>
	3.000	114.24	08/04/20	140.41	26.17 W	0.00	65 of 118 - Sale <sup>25</sup>
	4.000	152.31	08/04/20	187.46	35.15 W	0.00	66 of 118 - Sale <sup>25</sup>
	5.000	190.39	08/04/20	230.19	39.80 W	0.00	67 of 118 - Sale <sup>25</sup>
	6.000	228.47	08/04/20	282.80	54.33 W	0.00	68 of 118 - Sale <sup>25</sup>
	1.000	38.08	08/04/20	46.64	8.56 W	0.00	69 of 118 - Sale <sup>25</sup>
	2.000	76.16	08/04/20	93.20	17.04 W	0.00	70 of 118 - Sale <sup>25</sup>
	2.000	76.15	08/04/20	93.27	17.12 W	0.00	71 of 118 - Sale <sup>25</sup>
	1.000	36.87	08/04/20	49.63	12.76 W	0.00	72 of 118 - Sale <sup>25</sup>
	1.000	36.87	08/04/20	49.78	12.91 W	0.00	73 of 118 - Sale <sup>25</sup>
	1.000	36.87	08/04/20	49.81	12.94 W	0.00	74 of 118 - Sale <sup>25</sup>
	1.000	36.87	08/04/20	47.27	10.40 W	0.00	75 of 118 - Sale <sup>25</sup>
	1.000	36.87	08/04/20	47.27	10.40 W	0.00	76 of 118 - Sale <sup>25</sup>
	1.000	36.87	08/04/20	48.76	11.89 W	0.00	77 of 118 - Sale <sup>25</sup>
	4.000	147.50	08/04/20	178.87	31.37 W	0.00	78 of 118 - Sale <sup>25</sup>
	1.000	36.87	08/04/20	46.03	9.16 W	0.00	79 of 118 - Sale <sup>25</sup>
	1.000	36.87	08/04/20	47.05	10.18 W	0.00	80 of 118 - Sale <sup>25</sup>
	1.000	36.87	08/04/20	46.67	9.80 W	0.00	81 of 118 - Sale <sup>25</sup>
	1.000	36.87	08/04/20	47.50	10.63 W	0.00	82 of 118 - Sale <sup>25</sup>
	4.000	147.50	08/04/20	189.06	41.56 W	0.00	83 of 118 - Sale <sup>25</sup>
	4.000	147.50	08/04/20	188.10	40.60 W	0.00	84 of 118 - Sale <sup>25</sup>
	5.000	184.37	08/04/20	235.04	50.67 W	0.00	85 of 118 - Sale <sup>25</sup>
	9.000	331.87	08/04/20	422.56	90.69 W	0.00	86 of 118 - Sale <sup>25</sup>
	1.000	36.87	08/04/20	42.82	5.95 W	0.00	87 of 118 - Sale <sup>25</sup>
	1.000	36.87	08/04/20	43.57	6.70 W	0.00	88 of 118 - Sale <sup>25</sup>
	1.000	36.87	08/04/20	43.31	6.44 W	0.00	89 of 118 - Sale <sup>25</sup>
	4.000	147.50	08/04/20	173.24	25.74 W	0.00	90 of 118 - Sale <sup>25</sup>
	5.000	184.37	08/04/20	216.57	32.20 W	0.00	91 of 118 - Sale <sup>25</sup>
	1.000	36.87	08/04/20	44.50	7.63 W	0.00	92 of 118 - Sale <sup>25</sup>
	1.000	36.87	08/04/20	46.39	9.52 W	0.00	93 of 118 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	1.000	36.87	08/04/20	40.19	3.32 W	0.00	94 of 118 - Sale <sup>25</sup>
	1.000	36.87	08/04/20	40.87	4.00 W	0.00	95 of 118 - Sale <sup>25</sup>
	1.000	36.87	08/04/20	40.85	3.98 W	0.00	96 of 118 - Sale <sup>25</sup>
	1.000	36.87	08/04/20	38.66	1.79 W	0.00	97 of 118 - Sale <sup>25</sup>
	1.000	36.88	08/04/20	38.95	2.07 W	0.00	98 of 118 - Sale <sup>25</sup>
	2.000	73.75	08/04/20	81.63	7.88 W	0.00	99 of 118 - Sale <sup>25</sup>
	2.000	73.75	08/04/20	81.72	7.97 W	0.00	100 of 118 - Sale <sup>25</sup>
	2.000	73.75	08/04/20	79.50	5.75 W	0.00	101 of 118 - Sale <sup>25</sup>
	2.000	73.75	08/04/20	79.34	5.59 W	0.00	102 of 118 - Sale <sup>25</sup>
	4.000	147.50	08/04/20	186.62	39.12 W	0.00	103 of 118 - Sale <sup>25</sup>
	4.000	147.50	08/04/20	156.33	8.83 W	0.00	104 of 118 - Sale <sup>25</sup>
	8.000	294.99	08/04/20	326.53	31.54 W	0.00	105 of 118 - Sale <sup>25</sup>
	9.000	331.87	08/04/20	408.97	77.10 W	0.00	106 of 118 - Sale <sup>25</sup>
	9.000	331.87	08/04/20	366.61	34.74 W	0.00	107 of 118 - Sale <sup>25</sup>
	3.000	110.62	08/04/20	118.97	8.35 W	0.00	108 of 118 - Sale <sup>25</sup>
	2.000	72.60	08/04/20	95.74	23.14 W	0.00	109 of 118 - Sale <sup>25</sup>
	4.000	145.19	08/04/20	191.22	46.03 W	0.00	110 of 118 - Sale <sup>25</sup>
	1.000	36.30	08/04/20	42.59	6.29 W	0.00	111 of 118 - Sale <sup>25</sup>
	1.000	36.30	08/04/20	39.48	3.18 W	0.00	112 of 118 - Sale <sup>25</sup>
	1.000	36.30	08/04/20	43.36	7.06 W	0.00	113 of 118 - Sale <sup>25</sup>
	2.000	72.60	08/04/20	87.83	15.23 W	0.00	114 of 118 - Sale <sup>25</sup>
	2.000	72.60	08/04/20	87.88	15.28 W	0.00	115 of 118 - Sale <sup>25</sup>
	4.000	145.19	08/04/20	192.84	47.65 W	0.00	116 of 118 - Sale <sup>25</sup>
	7.000	254.09	08/04/20	303.48	49.39 W	0.00	117 of 118 - Sale <sup>25</sup>
	1.000	36.30	08/04/20	40.50	4.20 W	0.00	118 of 118 - Sale <sup>25</sup>
08/04/20	325.000	12,214.30	Various	14,611.40	2,397.10 W	0.00	Total of 118 transactions
	7 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	1.000	34.80	08/05/20	46.56	11.76 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.000	34.80	08/05/20	44.57	9.77 W	0.00	2 of 7 - Sale <sup>25</sup>
	2.000	69.60	08/05/20	90.36	20.76 W	0.00	3 of 7 - Sale <sup>25</sup>
	2.000	69.60	08/05/20	89.59	19.99 W	0.00	4 of 7 - Sale <sup>25</sup>
	3.000	104.40	08/05/20	133.72	29.32 W	0.00	5 of 7 - Sale <sup>25</sup>
	4.000	139.20	08/05/20	175.75	36.55 W	0.00	6 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
08/05/20	1.000	34.80	08/05/20	40.35	5.55 W	0.00	7 of 7 - Sale <sup>25</sup>
	14.000	487.20	Various	620.90	133.70 W	0.00	Total of 7 transactions
	54 transactions for 08/06/20. Total proceeds and cost reported to the IRS.						
	1.000	37.50	08/06/20	47.53	10.03 W	0.00	1 of 54 - Sale <sup>25</sup>
	2.000	75.00	08/06/20	94.92	19.92 W	0.00	2 of 54 - Sale <sup>25</sup>
	9.000	337.49	08/06/20	427.82	90.33 W	0.00	3 of 54 - Sale <sup>25</sup>
	1.000	37.50	08/06/20	42.69	5.19 W	0.00	4 of 54 - Sale <sup>25</sup>
	2.000	75.00	08/06/20	85.44	10.44 W	0.00	5 of 54 - Sale <sup>25</sup>
	4.000	149.99	08/06/20	170.72	20.73 W	0.00	6 of 54 - Sale <sup>25</sup>
	6.000	224.99	08/06/20	261.52	36.53 W	0.00	7 of 54 - Sale <sup>25</sup>
	1.000	37.50	08/06/20	43.38	5.88 W	0.00	8 of 54 - Sale <sup>25</sup>
	1.000	37.50	08/06/20	43.64	6.14 W	0.00	9 of 54 - Sale <sup>25</sup>
	1.000	37.50	08/06/20	43.48	5.98 W	0.00	10 of 54 - Sale <sup>25</sup>
	3.000	112.50	08/06/20	130.23	17.73 W	0.00	11 of 54 - Sale <sup>25</sup>
	1.000	37.50	08/06/20	45.81	8.31 W	0.00	12 of 54 - Sale <sup>25</sup>
	2.000	75.00	08/06/20	93.75	18.75 W	0.00	13 of 54 - Sale <sup>25</sup>
	3.000	112.50	08/06/20	131.15	18.65 W	0.00	14 of 54 - Sale <sup>25</sup>
	3.000	112.49	08/06/20	139.52	27.03 W	0.00	15 of 54 - Sale <sup>25</sup>
	4.000	149.99	08/06/20	186.57	36.58 W	0.00	16 of 54 - Sale <sup>25</sup>
	6.000	224.99	08/06/20	281.46	56.47 W	0.00	17 of 54 - Sale <sup>25</sup>
	1.000	37.00	08/06/20	50.63	13.63 W	0.00	18 of 54 - Sale <sup>25</sup>
	1.000	37.00	08/06/20	50.78	13.78 W	0.00	19 of 54 - Sale <sup>25</sup>
	1.000	37.00	08/06/20	50.81	13.81 W	0.00	20 of 54 - Sale <sup>25</sup>
	1.000	37.00	08/06/20	48.27	11.27 W	0.00	21 of 54 - Sale <sup>25</sup>
	1.000	37.00	08/06/20	48.27	11.27 W	0.00	22 of 54 - Sale <sup>25</sup>
	1.000	37.00	08/06/20	49.76	12.76 W	0.00	23 of 54 - Sale <sup>25</sup>
	4.000	148.00	08/06/20	182.85	34.85 W	0.00	24 of 54 - Sale <sup>25</sup>
	1.000	37.00	08/06/20	47.03	10.03 W	0.00	25 of 54 - Sale <sup>25</sup>
	1.000	37.00	08/06/20	48.05	11.05 W	0.00	26 of 54 - Sale <sup>25</sup>
	1.000	37.00	08/06/20	47.67	10.67 W	0.00	27 of 54 - Sale <sup>25</sup>
	1.000	37.00	08/06/20	48.50	11.50 W	0.00	28 of 54 - Sale <sup>25</sup>
	4.000	148.00	08/06/20	193.04	45.04 W	0.00	29 of 54 - Sale <sup>25</sup>
	4.000	148.00	08/06/20	192.08	44.08 W	0.00	30 of 54 - Sale <sup>25</sup>
	5.000	184.99	08/06/20	240.02	55.03 W	0.00	31 of 54 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	9.000	332.99	08/06/20	431.52	98.53 W	0.00	32 of 54 - Sale <sup>25</sup>
	1.000	37.00	08/06/20	43.82	6.82 W	0.00	33 of 54 - Sale <sup>25</sup>
	1.000	37.00	08/06/20	44.57	7.57 W	0.00	34 of 54 - Sale <sup>25</sup>
	1.000	37.00	08/06/20	46.43	9.43 W	0.00	35 of 54 - Sale <sup>25</sup>
	2.000	74.00	08/06/20	92.78	18.78 W	0.00	36 of 54 - Sale <sup>25</sup>
	2.000	74.00	08/06/20	92.86	18.86 W	0.00	37 of 54 - Sale <sup>25</sup>
	3.000	111.00	08/06/20	139.78	28.78 W	0.00	38 of 54 - Sale <sup>25</sup>
	4.000	147.99	08/06/20	183.32	35.33 W	0.00	39 of 54 - Sale <sup>25</sup>
	1.000	37.00	08/06/20	44.31	7.31 W	0.00	40 of 54 - Sale <sup>25</sup>
	4.000	147.99	08/06/20	177.23	29.24 W	0.00	41 of 54 - Sale <sup>25</sup>
	5.000	184.99	08/06/20	221.56	36.57 W	0.00	42 of 54 - Sale <sup>25</sup>
	1.000	37.00	08/06/20	45.50	8.50 W	0.00	43 of 54 - Sale <sup>25</sup>
	1.000	37.00	08/06/20	47.39	10.39 W	0.00	44 of 54 - Sale <sup>25</sup>
	1.000	37.00	08/06/20	41.19	4.19 W	0.00	45 of 54 - Sale <sup>25</sup>
	1.000	37.00	08/06/20	41.87	4.87 W	0.00	46 of 54 - Sale <sup>25</sup>
	1.000	37.00	08/06/20	41.85	4.85 W	0.00	47 of 54 - Sale <sup>25</sup>
	1.000	37.00	08/06/20	39.66	2.66 W	0.00	48 of 54 - Sale <sup>25</sup>
	2.000	74.00	08/06/20	83.62	9.62 W	0.00	49 of 54 - Sale <sup>25</sup>
	2.000	73.99	08/06/20	83.72	9.73 W	0.00	50 of 54 - Sale <sup>25</sup>
	4.000	147.99	08/06/20	190.61	42.62 W	0.00	51 of 54 - Sale <sup>25</sup>
	8.000	295.99	08/06/20	334.52	38.53 W	0.00	52 of 54 - Sale <sup>25</sup>
	9.000	332.99	08/06/20	417.95	84.96 W	0.00	53 of 54 - Sale <sup>25</sup>
	9.000	332.99	08/06/20	375.59	42.60 W	0.00	54 of 54 - Sale <sup>25</sup>
08/06/20	150.000	5,574.84	Various	6,829.04	1,254.20 W	0.00	Total of 54 transactions
<i>7 transactions for 08/11/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	42.62	08/07/20	41.33	...	1.29	1 of 7 - Sale <sup>25</sup>
	1.000	42.62	08/07/20	40.23	...	2.39	2 of 7 - Sale <sup>25</sup>
	1.000	42.62	08/07/20	39.12	...	3.50	3 of 7 - Sale <sup>25</sup>
	2.000	85.24	08/07/20	79.85	...	5.39	4 of 7 - Sale <sup>25</sup>
	2.000	85.24	08/07/20	79.69	...	5.55	5 of 7 - Sale <sup>25</sup>
	3.000	127.86	08/07/20	119.50	...	8.36	6 of 7 - Sale <sup>25</sup>
	4.000	170.48	08/07/20	157.03	...	13.45	7 of 7 - Sale <sup>25</sup>
08/11/20	14.000	596.68	Various	556.75	...	39.93	Total of 7 transactions

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
113 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
2.000		85.24	08/07/20	97.24	12.00 W	0.00	1 of 113 - Sale <sup>25</sup>
4.000		170.48	08/07/20	194.23	23.75 W	0.00	2 of 113 - Sale <sup>25</sup>
1.000		42.62	08/07/20	43.34	0.72 W	0.00	3 of 113 - Sale <sup>25</sup>
1.000		42.62	08/07/20	44.11	1.49 W	0.00	4 of 113 - Sale <sup>25</sup>
2.000		85.24	08/07/20	89.33	4.09 W	0.00	5 of 113 - Sale <sup>25</sup>
7.000		298.33	08/07/20	308.74	10.41 W	0.00	6 of 113 - Sale <sup>25</sup>
2.000		85.24	08/07/20	89.37	4.13 W	0.00	7 of 113 - Sale <sup>25</sup>
1.000		42.62	08/07/20	48.89	6.27 W	0.00	8 of 113 - Sale <sup>25</sup>
1.000		42.62	08/07/20	46.90	4.28 W	0.00	9 of 113 - Sale <sup>25</sup>
2.000		85.24	08/07/20	95.02	9.78 W	0.00	10 of 113 - Sale <sup>25</sup>
2.000		85.24	08/07/20	94.25	9.01 W	0.00	11 of 113 - Sale <sup>25</sup>
3.000		127.86	08/07/20	140.71	12.85 W	0.00	12 of 113 - Sale <sup>25</sup>
4.000		170.47	08/07/20	185.07	14.60 W	0.00	13 of 113 - Sale <sup>25</sup>
4.000		170.47	08/07/20	196.17	25.70 W	0.00	14 of 113 - Sale <sup>25</sup>
1.000		42.62	08/10/20	51.51	8.89 W	0.00	15 of 113 - Sale <sup>25</sup>
2.000		85.24	08/10/20	102.88	17.64 W	0.00	16 of 113 - Sale <sup>25</sup>
9.000		383.57	08/10/20	463.65	80.08 W	0.00	17 of 113 - Sale <sup>25</sup>
1.000		42.62	08/10/20	46.67	4.05 W	0.00	18 of 113 - Sale <sup>25</sup>
2.000		85.24	08/10/20	93.40	8.16 W	0.00	19 of 113 - Sale <sup>25</sup>
4.000		170.47	08/10/20	186.65	16.18 W	0.00	20 of 113 - Sale <sup>25</sup>
5.000		213.09	08/10/20	237.84	24.75 W	0.00	21 of 113 - Sale <sup>25</sup>
1.000		42.62	08/10/20	47.03	4.41 W	0.00	22 of 113 - Sale <sup>25</sup>
1.000		42.62	08/10/20	50.67	8.05 W	0.00	23 of 113 - Sale <sup>25</sup>
1.000		42.62	08/10/20	50.46	7.84 W	0.00	24 of 113 - Sale <sup>25</sup>
1.000		42.62	08/10/20	50.72	8.10 W	0.00	25 of 113 - Sale <sup>25</sup>
1.000		42.62	08/10/20	50.56	7.94 W	0.00	26 of 113 - Sale <sup>25</sup>
3.000		127.86	08/10/20	151.47	23.61 W	0.00	27 of 113 - Sale <sup>25</sup>
2.000		85.24	08/10/20	107.91	22.67 W	0.00	28 of 113 - Sale <sup>25</sup>
3.000		127.86	08/10/20	152.39	24.53 W	0.00	29 of 113 - Sale <sup>25</sup>
3.000		127.85	08/10/20	160.77	32.92 W	0.00	30 of 113 - Sale <sup>25</sup>
4.000		170.47	08/10/20	214.90	44.43 W	0.00	31 of 113 - Sale <sup>25</sup>
6.000		255.71	08/10/20	323.95	68.24 W	0.00	32 of 113 - Sale <sup>25</sup>
1.000		42.62	08/10/20	58.15	15.53 W	0.00	33 of 113 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	1.000	42.62	08/10/20	58.30	15.68 W	0.00	34 of 113 - Sale <sup>25</sup>
	1.000	42.62	08/10/20	58.33	15.71 W	0.00	35 of 113 - Sale <sup>25</sup>
	1.000	42.62	08/10/20	55.79	13.17 W	0.00	36 of 113 - Sale <sup>25</sup>
	1.000	42.62	08/10/20	55.79	13.17 W	0.00	37 of 113 - Sale <sup>25</sup>
	1.000	42.62	08/10/20	57.28	14.66 W	0.00	38 of 113 - Sale <sup>25</sup>
	4.000	170.47	08/10/20	212.93	42.46 W	0.00	39 of 113 - Sale <sup>25</sup>
	1.000	42.62	08/10/20	54.55	11.93 W	0.00	40 of 113 - Sale <sup>25</sup>
	1.000	42.62	08/10/20	55.57	12.95 W	0.00	41 of 113 - Sale <sup>25</sup>
	1.000	42.62	08/10/20	55.19	12.57 W	0.00	42 of 113 - Sale <sup>25</sup>
	3.000	127.85	08/10/20	166.40	38.55 W	0.00	43 of 113 - Sale <sup>25</sup>
	4.000	170.47	08/10/20	223.12	52.65 W	0.00	44 of 113 - Sale <sup>25</sup>
	4.000	170.47	08/10/20	222.16	51.69 W	0.00	45 of 113 - Sale <sup>25</sup>
	1.000	42.62	08/10/20	52.83	10.21 W	0.00	46 of 113 - Sale <sup>25</sup>
	1.000	42.62	08/10/20	56.77	14.15 W	0.00	47 of 113 - Sale <sup>25</sup>
	5.000	213.09	08/10/20	281.38	68.29 W	0.00	48 of 113 - Sale <sup>25</sup>
	6.000	255.71	08/10/20	337.31	81.60 W	0.00	49 of 113 - Sale <sup>25</sup>
	1.000	42.62	08/10/20	52.09	9.47 W	0.00	50 of 113 - Sale <sup>25</sup>
	1.000	42.62	08/10/20	52.84	10.22 W	0.00	51 of 113 - Sale <sup>25</sup>
	2.000	85.24	08/10/20	109.32	24.08 W	0.00	52 of 113 - Sale <sup>25</sup>
	2.000	85.23	08/10/20	109.40	24.17 W	0.00	53 of 113 - Sale <sup>25</sup>
	3.000	127.86	08/10/20	164.59	36.73 W	0.00	54 of 113 - Sale <sup>25</sup>
	4.000	170.47	08/10/20	216.41	45.94 W	0.00	55 of 113 - Sale <sup>25</sup>
	1.000	42.44	08/10/20	52.30	9.86 W	0.00	56 of 113 - Sale <sup>25</sup>
	4.000	169.76	08/10/20	209.20	39.44 W	0.00	57 of 113 - Sale <sup>25</sup>
	5.000	212.19	08/10/20	261.52	49.33 W	0.00	58 of 113 - Sale <sup>25</sup>
	1.000	42.44	08/10/20	54.42	11.98 W	0.00	59 of 113 - Sale <sup>25</sup>
	1.000	42.44	08/10/20	53.49	11.05 W	0.00	60 of 113 - Sale <sup>25</sup>
	1.000	42.44	08/10/20	55.38	12.94 W	0.00	61 of 113 - Sale <sup>25</sup>
	1.000	42.44	08/10/20	49.18	6.74 W	0.00	62 of 113 - Sale <sup>25</sup>
	1.000	42.44	08/10/20	49.86	7.42 W	0.00	63 of 113 - Sale <sup>25</sup>
	1.000	42.44	08/10/20	49.84	7.40 W	0.00	64 of 113 - Sale <sup>25</sup>
	2.000	84.88	08/10/20	99.60	14.72 W	0.00	65 of 113 - Sale <sup>25</sup>
	2.000	84.88	08/10/20	99.71	14.83 W	0.00	66 of 113 - Sale <sup>25</sup>
	4.000	169.76	08/10/20	222.58	52.82 W	0.00	67 of 113 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	8.000	339.51	08/10/20	398.45	58.94 W	0.00	68 of 113 - Sale <sup>25</sup>
	9.000	381.95	08/10/20	489.87	107.92 W	0.00	69 of 113 - Sale <sup>25</sup>
	9.000	381.95	08/10/20	447.51	65.56 W	0.00	70 of 113 - Sale <sup>25</sup>
	1.000	42.44	08/10/20	51.27	8.83 W	0.00	71 of 113 - Sale <sup>25</sup>
	2.000	84.88	08/10/20	102.00	17.12 W	0.00	72 of 113 - Sale <sup>25</sup>
	4.000	169.75	08/10/20	203.75	34.00 W	0.00	73 of 113 - Sale <sup>25</sup>
	4.000	169.75	08/10/20	194.60	24.85 W	0.00	74 of 113 - Sale <sup>25</sup>
	1.000	42.44	08/10/20	45.72	3.28 W	0.00	75 of 113 - Sale <sup>25</sup>
	1.000	42.44	08/10/20	46.49	4.05 W	0.00	76 of 113 - Sale <sup>25</sup>
	2.000	84.88	08/10/20	94.09	9.21 W	0.00	77 of 113 - Sale <sup>25</sup>
	2.000	84.88	08/10/20	94.13	9.25 W	0.00	78 of 113 - Sale <sup>25</sup>
	7.000	297.07	08/10/20	325.41	28.34 W	0.00	79 of 113 - Sale <sup>25</sup>
	1.000	42.44	08/10/20	47.66	5.22 W	0.00	80 of 113 - Sale <sup>25</sup>
	1.000	42.44	08/11/20	58.99	16.55 W	0.00	81 of 113 - Sale <sup>25</sup>
	1.000	42.44	08/11/20	59.15	16.71 W	0.00	82 of 113 - Sale <sup>25</sup>
	1.000	42.44	08/11/20	59.17	16.73 W	0.00	83 of 113 - Sale <sup>25</sup>
	1.000	42.44	08/11/20	56.64	14.20 W	0.00	84 of 113 - Sale <sup>25</sup>
	1.000	42.44	08/11/20	56.63	14.19 W	0.00	85 of 113 - Sale <sup>25</sup>
	1.000	42.44	08/11/20	47.75	5.31 W	0.00	86 of 113 - Sale <sup>25</sup>
	1.000	42.44	08/11/20	58.13	15.69 W	0.00	87 of 113 - Sale <sup>25</sup>
	2.000	84.88	08/11/20	96.71	11.83 W	0.00	88 of 113 - Sale <sup>25</sup>
	2.000	84.88	08/11/20	95.94	11.06 W	0.00	89 of 113 - Sale <sup>25</sup>
	3.000	127.32	08/11/20	143.25	15.93 W	0.00	90 of 113 - Sale <sup>25</sup>
	4.000	169.75	08/11/20	216.32	46.57 W	0.00	91 of 113 - Sale <sup>25</sup>
	1.000	42.44	08/11/20	55.39	12.95 W	0.00	92 of 113 - Sale <sup>25</sup>
	4.000	169.75	08/11/20	199.56	29.81 W	0.00	93 of 113 - Sale <sup>25</sup>
	1.000	42.44	08/11/20	52.36	9.92 W	0.00	94 of 113 - Sale <sup>25</sup>
	1.000	42.44	08/11/20	56.42	13.98 W	0.00	95 of 113 - Sale <sup>25</sup>
	1.000	42.44	08/11/20	56.62	14.18 W	0.00	96 of 113 - Sale <sup>25</sup>
	2.000	84.88	08/11/20	104.57	19.69 W	0.00	97 of 113 - Sale <sup>25</sup>
	9.000	381.95	08/11/20	471.26	89.31 W	0.00	98 of 113 - Sale <sup>25</sup>
	1.000	42.44	08/11/20	47.51	5.07 W	0.00	99 of 113 - Sale <sup>25</sup>
	1.000	42.44	08/11/20	51.52	9.08 W	0.00	100 of 113 - Sale <sup>25</sup>
	1.000	42.44	08/11/20	51.30	8.86 W	0.00	101 of 113 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	1.000	42.44	08/11/20	51.56	9.12 W	0.00	102 of 113 - Sale <sup>25</sup>
	1.000	42.44	08/11/20	51.41	8.97 W	0.00	103 of 113 - Sale <sup>25</sup>
	2.000	84.88	08/11/20	95.09	10.21 W	0.00	104 of 113 - Sale <sup>25</sup>
	3.000	127.31	08/11/20	154.01	26.70 W	0.00	105 of 113 - Sale <sup>25</sup>
	4.000	169.75	08/11/20	190.04	20.29 W	0.00	106 of 113 - Sale <sup>25</sup>
	5.000	212.19	08/11/20	242.08	29.89 W	0.00	107 of 113 - Sale <sup>25</sup>
	1.000	42.44	08/11/20	47.87	5.43 W	0.00	108 of 113 - Sale <sup>25</sup>
	2.000	84.88	08/11/20	109.60	24.72 W	0.00	109 of 113 - Sale <sup>25</sup>
	3.000	127.31	08/11/20	154.92	27.61 W	0.00	110 of 113 - Sale <sup>25</sup>
	3.000	127.31	08/11/20	163.32	36.01 W	0.00	111 of 113 - Sale <sup>25</sup>
	4.000	169.75	08/11/20	218.29	48.54 W	0.00	112 of 113 - Sale <sup>25</sup>
	6.000	254.63	08/11/20	329.03	74.40 W	0.00	113 of 113 - Sale <sup>25</sup>
08/11/20	286.000	12,161.98	Various	14,683.74	2,521.76 W	0.00	Total of 113 transactions
	36 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	1.000	46.55	08/13/20	59.07	12.52 W	0.00	1 of 36 - Sale <sup>25</sup>
	2.000	93.10	08/13/20	118.69	25.59 W	0.00	2 of 36 - Sale <sup>25</sup>
	3.000	139.65	08/13/20	178.97	39.32 W	0.00	3 of 36 - Sale <sup>25</sup>
	4.000	186.20	08/13/20	237.67	51.47 W	0.00	4 of 36 - Sale <sup>25</sup>
	1.000	46.55	08/13/20	55.74	9.19 W	0.00	5 of 36 - Sale <sup>25</sup>
	2.000	93.10	08/13/20	110.94	17.84 W	0.00	6 of 36 - Sale <sup>25</sup>
	4.000	186.19	08/13/20	221.64	35.45 W	0.00	7 of 36 - Sale <sup>25</sup>
	4.000	186.19	08/13/20	212.48	26.29 W	0.00	8 of 36 - Sale <sup>25</sup>
	1.000	46.55	08/13/20	50.19	3.64 W	0.00	9 of 36 - Sale <sup>25</sup>
	1.000	46.55	08/13/20	50.96	4.41 W	0.00	10 of 36 - Sale <sup>25</sup>
	1.000	46.55	08/13/20	59.76	13.21 W	0.00	11 of 36 - Sale <sup>25</sup>
	1.000	46.55	08/13/20	61.06	14.51 W	0.00	12 of 36 - Sale <sup>25</sup>
	5.000	232.74	08/13/20	302.84	70.10 W	0.00	13 of 36 - Sale <sup>25</sup>
	6.000	279.29	08/13/20	363.06	83.77 W	0.00	14 of 36 - Sale <sup>25</sup>
	1.000	46.55	08/13/20	56.38	9.83 W	0.00	15 of 36 - Sale <sup>25</sup>
	1.000	46.55	08/13/20	57.13	10.58 W	0.00	16 of 36 - Sale <sup>25</sup>
	1.000	46.55	08/13/20	56.77	10.22 W	0.00	17 of 36 - Sale <sup>25</sup>
	4.000	186.19	08/13/20	227.08	40.89 W	0.00	18 of 36 - Sale <sup>25</sup>
	5.000	232.74	08/13/20	283.88	51.14 W	0.00	19 of 36 - Sale <sup>25</sup>
	1.000	46.55	08/13/20	57.12	10.57 W	0.00	20 of 36 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	1.000	46.55	08/13/20	58.89	12.34 W	0.00	21 of 36 - Sale <sup>25</sup>
	1.000	46.55	08/13/20	57.96	11.41 W	0.00	22 of 36 - Sale <sup>25</sup>
	1.000	46.55	08/13/20	59.85	13.30 W	0.00	23 of 36 - Sale <sup>25</sup>
	1.000	46.55	08/13/20	53.65	7.10 W	0.00	24 of 36 - Sale <sup>25</sup>
	1.000	46.55	08/13/20	54.33	7.78 W	0.00	25 of 36 - Sale <sup>25</sup>
	1.000	46.55	08/13/20	54.31	7.76 W	0.00	26 of 36 - Sale <sup>25</sup>
	2.000	93.10	08/13/20	117.90	24.80 W	0.00	27 of 36 - Sale <sup>25</sup>
	2.000	93.10	08/13/20	117.99	24.89 W	0.00	28 of 36 - Sale <sup>25</sup>
	2.000	93.10	08/13/20	108.54	15.44 W	0.00	29 of 36 - Sale <sup>25</sup>
	2.000	93.09	08/13/20	108.65	15.56 W	0.00	30 of 36 - Sale <sup>25</sup>
	3.000	139.65	08/13/20	177.46	37.81 W	0.00	31 of 36 - Sale <sup>25</sup>
	4.000	186.20	08/13/20	233.58	47.38 W	0.00	32 of 36 - Sale <sup>25</sup>
	4.000	186.19	08/13/20	240.46	54.27 W	0.00	33 of 36 - Sale <sup>25</sup>
	8.000	372.39	08/13/20	434.22	61.83 W	0.00	34 of 36 - Sale <sup>25</sup>
	9.000	418.94	08/13/20	530.11	111.17 W	0.00	35 of 36 - Sale <sup>25</sup>
	9.000	418.93	08/13/20	487.75	68.82 W	0.00	36 of 36 - Sale <sup>25</sup>
08/13/20	100.000	4,654.88	Various	5,717.08	1,062.20 W	0.00	Total of 36 transactions
	89 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	1.000	44.24	08/19/20	60.98	16.74 W	0.00	1 of 89 - Sale <sup>25</sup>
	1.000	44.24	08/19/20	61.13	16.89 W	0.00	2 of 89 - Sale <sup>25</sup>
	1.000	44.24	08/19/20	61.16	16.92 W	0.00	3 of 89 - Sale <sup>25</sup>
	1.000	44.24	08/19/20	58.62	14.38 W	0.00	4 of 89 - Sale <sup>25</sup>
	1.000	44.24	08/19/20	58.62	14.38 W	0.00	5 of 89 - Sale <sup>25</sup>
	1.000	44.24	08/19/20	53.28	9.04 W	0.00	6 of 89 - Sale <sup>25</sup>
	2.000	88.48	08/19/20	106.69	18.21 W	0.00	7 of 89 - Sale <sup>25</sup>
	1.000	44.24	08/19/20	48.48	4.24 W	0.00	8 of 89 - Sale <sup>25</sup>
	1.000	44.24	08/19/20	49.73	5.49 W	0.00	9 of 89 - Sale <sup>25</sup>
	1.000	44.24	08/19/20	60.12	15.88 W	0.00	10 of 89 - Sale <sup>25</sup>
	2.000	88.48	08/19/20	98.06	9.58 W	0.00	11 of 89 - Sale <sup>25</sup>
	2.000	88.48	08/19/20	98.10	9.62 W	0.00	12 of 89 - Sale <sup>25</sup>
	2.000	88.48	08/19/20	100.68	12.20 W	0.00	13 of 89 - Sale <sup>25</sup>
	2.000	88.48	08/19/20	99.91	11.43 W	0.00	14 of 89 - Sale <sup>25</sup>
	3.000	132.72	08/19/20	149.20	16.48 W	0.00	15 of 89 - Sale <sup>25</sup>
	4.000	176.96	08/19/20	224.27	47.31 W	0.00	16 of 89 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	6.000	265.44	08/19/20	290.84	25.40 W	0.00	17 of 89 - Sale <sup>25</sup>
	1.000	44.24	08/19/20	57.38	13.14 W	0.00	18 of 89 - Sale <sup>25</sup>
	4.000	176.96	08/19/20	207.51	30.55 W	0.00	19 of 89 - Sale <sup>25</sup>
	1.000	44.24	08/19/20	54.35	10.11 W	0.00	20 of 89 - Sale <sup>25</sup>
	1.000	44.24	08/19/20	58.40	14.16 W	0.00	21 of 89 - Sale <sup>25</sup>
	1.000	44.24	08/19/20	58.61	14.37 W	0.00	22 of 89 - Sale <sup>25</sup>
	1.000	44.24	08/19/20	56.95	12.71 W	0.00	23 of 89 - Sale <sup>25</sup>
	2.000	88.48	08/19/20	108.54	20.06 W	0.00	24 of 89 - Sale <sup>25</sup>
	2.000	88.48	08/19/20	114.44	25.96 W	0.00	25 of 89 - Sale <sup>25</sup>
	3.000	132.72	08/19/20	172.59	39.87 W	0.00	26 of 89 - Sale <sup>25</sup>
	4.000	176.96	08/19/20	229.17	52.21 W	0.00	27 of 89 - Sale <sup>25</sup>
	9.000	398.16	08/19/20	489.13	90.97 W	0.00	28 of 89 - Sale <sup>25</sup>
	1.000	44.24	08/19/20	49.49	5.25 W	0.00	29 of 89 - Sale <sup>25</sup>
	1.000	44.24	08/19/20	53.50	9.26 W	0.00	30 of 89 - Sale <sup>25</sup>
	1.000	44.24	08/19/20	53.29	9.05 W	0.00	31 of 89 - Sale <sup>25</sup>
	1.000	44.24	08/19/20	53.55	9.31 W	0.00	32 of 89 - Sale <sup>25</sup>
	1.000	44.24	08/19/20	53.39	9.15 W	0.00	33 of 89 - Sale <sup>25</sup>
	2.000	88.48	08/19/20	99.06	10.58 W	0.00	34 of 89 - Sale <sup>25</sup>
	3.000	132.72	08/19/20	159.97	27.25 W	0.00	35 of 89 - Sale <sup>25</sup>
	4.000	176.96	08/19/20	197.99	21.03 W	0.00	36 of 89 - Sale <sup>25</sup>
	5.000	221.20	08/19/20	252.02	30.82 W	0.00	37 of 89 - Sale <sup>25</sup>
	1.000	44.24	08/19/20	49.64	5.40 W	0.00	38 of 89 - Sale <sup>25</sup>
	1.000	44.24	08/19/20	49.86	5.62 W	0.00	39 of 89 - Sale <sup>25</sup>
	2.000	88.48	08/19/20	113.57	25.09 W	0.00	40 of 89 - Sale <sup>25</sup>
	3.000	132.72	08/19/20	160.88	28.16 W	0.00	41 of 89 - Sale <sup>25</sup>
	3.000	132.72	08/19/20	169.29	36.57 W	0.00	42 of 89 - Sale <sup>25</sup>
	4.000	176.97	08/19/20	226.24	49.27 W	0.00	43 of 89 - Sale <sup>25</sup>
	6.000	265.45	08/19/20	340.95	75.50 W	0.00	44 of 89 - Sale <sup>25</sup>
	1.000	43.87	08/19/20	60.91	17.04 W	0.00	45 of 89 - Sale <sup>25</sup>
	1.000	43.87	08/19/20	61.06	17.19 W	0.00	46 of 89 - Sale <sup>25</sup>
	1.000	43.87	08/19/20	61.09	17.22 W	0.00	47 of 89 - Sale <sup>25</sup>
	2.000	87.70	08/19/20	106.07	18.37 W	0.00	48 of 89 - Sale <sup>25</sup>
	1.000	43.85	08/19/20	53.36	9.51 W	0.00	49 of 89 - Sale <sup>25</sup>
	1.000	43.85	08/19/20	53.03	9.18 W	0.00	50 of 89 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	1.000	43.85	08/19/20	58.55	14.70 W	0.00	51 of 89 - Sale <sup>25</sup>
	4.000	175.40	08/19/20	202.97	27.57 W	0.00	52 of 89 - Sale <sup>25</sup>
	1.000	43.85	08/19/20	58.55	14.70 W	0.00	53 of 89 - Sale <sup>25</sup>
	1.000	43.85	08/19/20	53.28	9.43 W	0.00	54 of 89 - Sale <sup>25</sup>
	1.000	43.85	08/19/20	53.28	9.43 W	0.00	55 of 89 - Sale <sup>25</sup>
	1.000	43.85	08/19/20	53.21	9.36 W	0.00	56 of 89 - Sale <sup>25</sup>
	1.000	43.85	08/19/20	47.81	3.96 W	0.00	57 of 89 - Sale <sup>25</sup>
	1.000	43.85	08/19/20	48.58	4.73 W	0.00	58 of 89 - Sale <sup>25</sup>
	1.000	43.85	08/19/20	48.41	4.56 W	0.00	59 of 89 - Sale <sup>25</sup>
	4.000	175.39	08/19/20	193.63	18.24 W	0.00	60 of 89 - Sale <sup>25</sup>
	1.000	43.85	08/19/20	57.38	13.53 W	0.00	61 of 89 - Sale <sup>25</sup>
	1.000	43.85	08/19/20	58.68	14.83 W	0.00	62 of 89 - Sale <sup>25</sup>
	5.000	219.25	08/19/20	290.96	71.71 W	0.00	63 of 89 - Sale <sup>25</sup>
	6.000	263.09	08/19/20	348.80	85.71 W	0.00	64 of 89 - Sale <sup>25</sup>
	1.000	43.85	08/19/20	54.00	10.15 W	0.00	65 of 89 - Sale <sup>25</sup>
	1.000	43.85	08/19/20	54.75	10.90 W	0.00	66 of 89 - Sale <sup>25</sup>
	4.000	175.39	08/19/20	217.57	42.18 W	0.00	67 of 89 - Sale <sup>25</sup>
	1.000	43.83	08/19/20	54.39	10.56 W	0.00	68 of 89 - Sale <sup>25</sup>
	5.000	219.15	08/19/20	272.00	52.85 W	0.00	69 of 89 - Sale <sup>25</sup>
	1.000	43.83	08/19/20	54.74	10.91 W	0.00	70 of 89 - Sale <sup>25</sup>
	3.000	131.49	08/19/20	168.05	36.56 W	0.00	71 of 89 - Sale <sup>25</sup>
	1.000	43.83	08/19/20	56.01	12.18 W	0.00	72 of 89 - Sale <sup>25</sup>
	3.000	131.49	08/19/20	170.32	38.83 W	0.00	73 of 89 - Sale <sup>25</sup>
	1.000	43.83	08/19/20	56.57	12.74 W	0.00	74 of 89 - Sale <sup>25</sup>
	1.000	43.83	08/19/20	56.51	12.68 W	0.00	75 of 89 - Sale <sup>25</sup>
	1.000	43.83	08/19/20	55.58	11.75 W	0.00	76 of 89 - Sale <sup>25</sup>
	1.000	43.83	08/19/20	56.57	12.74 W	0.00	77 of 89 - Sale <sup>25</sup>
	2.000	87.66	08/19/20	113.23	25.57 W	0.00	78 of 89 - Sale <sup>25</sup>
	1.000	43.82	08/19/20	57.47	13.65 W	0.00	79 of 89 - Sale <sup>25</sup>
	1.000	43.82	08/19/20	51.27	7.45 W	0.00	80 of 89 - Sale <sup>25</sup>
	4.000	175.28	08/19/20	230.96	55.68 W	0.00	81 of 89 - Sale <sup>25</sup>
	1.000	43.82	08/19/20	51.90	8.08 W	0.00	82 of 89 - Sale <sup>25</sup>
	9.000	394.37	08/19/20	508.71	114.34 W	0.00	83 of 89 - Sale <sup>25</sup>
	9.000	394.36	08/19/20	466.36	72.00 W	0.00	84 of 89 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	1.000	43.82	08/19/20	51.95	8.13 W	0.00	85 of 89 - Sale <sup>25</sup>
	1.000	43.82	08/19/20	51.93	8.11 W	0.00	86 of 89 - Sale <sup>25</sup>
	2.000	87.64	08/19/20	103.78	16.14 W	0.00	87 of 89 - Sale <sup>25</sup>
	7.000	306.74	08/19/20	363.30	56.56 W	0.00	88 of 89 - Sale <sup>25</sup>
	2.000	87.64	08/19/20	103.91	16.27 W	0.00	89 of 89 - Sale <sup>25</sup>
08/19/20	200.000	8,807.48	Various	10,821.07	2,013.59 W	0.00	Total of 89 transactions
46 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	1.000	40.60	08/20/20	57.61	17.01 W	0.00	1 of 46 - Sale <sup>25</sup>
	1.000	40.60	08/20/20	57.76	17.16 W	0.00	2 of 46 - Sale <sup>25</sup>
	1.000	40.60	08/20/20	57.78	17.18 W	0.00	3 of 46 - Sale <sup>25</sup>
	1.000	40.60	08/20/20	49.75	9.15 W	0.00	4 of 46 - Sale <sup>25</sup>
	1.000	40.60	08/20/20	50.07	9.47 W	0.00	5 of 46 - Sale <sup>25</sup>
	1.000	40.60	08/20/20	55.27	14.67 W	0.00	6 of 46 - Sale <sup>25</sup>
	1.000	40.60	08/20/20	55.26	14.66 W	0.00	7 of 46 - Sale <sup>25</sup>
	2.000	81.20	08/20/20	99.50	18.30 W	0.00	8 of 46 - Sale <sup>25</sup>
	4.000	162.40	08/20/20	189.83	27.43 W	0.00	9 of 46 - Sale <sup>25</sup>
	1.000	40.60	08/20/20	44.80	4.20 W	0.00	10 of 46 - Sale <sup>25</sup>
	1.000	40.60	08/20/20	44.80	4.20 W	0.00	11 of 46 - Sale <sup>25</sup>
	1.000	40.60	08/20/20	46.06	5.46 W	0.00	12 of 46 - Sale <sup>25</sup>
	1.000	40.60	08/20/20	56.44	15.84 W	0.00	13 of 46 - Sale <sup>25</sup>
	2.000	81.20	08/20/20	90.71	9.51 W	0.00	14 of 46 - Sale <sup>25</sup>
	2.000	81.20	08/20/20	90.75	9.55 W	0.00	15 of 46 - Sale <sup>25</sup>
	2.000	81.20	08/20/20	93.33	12.13 W	0.00	16 of 46 - Sale <sup>25</sup>
	2.000	81.20	08/20/20	92.56	11.36 W	0.00	17 of 46 - Sale <sup>25</sup>
	3.000	121.80	08/20/20	138.17	16.37 W	0.00	18 of 46 - Sale <sup>25</sup>
	4.000	162.39	08/20/20	209.57	47.18 W	0.00	19 of 46 - Sale <sup>25</sup>
	1.000	40.60	08/20/20	53.71	13.11 W	0.00	20 of 46 - Sale <sup>25</sup>
	4.000	162.39	08/20/20	192.81	30.42 W	0.00	21 of 46 - Sale <sup>25</sup>
	1.000	40.60	08/20/20	50.68	10.08 W	0.00	22 of 46 - Sale <sup>25</sup>
	1.000	40.60	08/20/20	54.72	14.12 W	0.00	23 of 46 - Sale <sup>25</sup>
	1.000	40.60	08/20/20	54.94	14.34 W	0.00	24 of 46 - Sale <sup>25</sup>
	1.000	40.60	08/20/20	53.28	12.68 W	0.00	25 of 46 - Sale <sup>25</sup>
	2.000	81.20	08/20/20	101.19	19.99 W	0.00	26 of 46 - Sale <sup>25</sup>
	2.000	81.20	08/20/20	107.09	25.89 W	0.00	27 of 46 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	3.000	121.80	08/20/20	161.56	39.76 W	0.00	28 of 46 - Sale <sup>25</sup>
	4.000	162.39	08/20/20	214.47	52.08 W	0.00	29 of 46 - Sale <sup>25</sup>
	9.000	365.39	08/20/20	456.05	90.66 W	0.00	30 of 46 - Sale <sup>25</sup>
	1.000	40.60	08/20/20	45.81	5.21 W	0.00	31 of 46 - Sale <sup>25</sup>
	1.000	40.60	08/20/20	49.82	9.22 W	0.00	32 of 46 - Sale <sup>25</sup>
	1.000	40.60	08/20/20	49.62	9.02 W	0.00	33 of 46 - Sale <sup>25</sup>
	1.000	40.60	08/20/20	49.88	9.28 W	0.00	34 of 46 - Sale <sup>25</sup>
	1.000	40.60	08/20/20	49.71	9.11 W	0.00	35 of 46 - Sale <sup>25</sup>
	2.000	81.20	08/20/20	91.71	10.51 W	0.00	36 of 46 - Sale <sup>25</sup>
	3.000	121.80	08/20/20	148.94	27.14 W	0.00	37 of 46 - Sale <sup>25</sup>
	4.000	162.39	08/20/20	183.29	20.90 W	0.00	38 of 46 - Sale <sup>25</sup>
	5.000	202.99	08/20/20	233.65	30.66 W	0.00	39 of 46 - Sale <sup>25</sup>
	1.000	40.60	08/20/20	45.97	5.37 W	0.00	40 of 46 - Sale <sup>25</sup>
	1.000	40.60	08/20/20	46.18	5.58 W	0.00	41 of 46 - Sale <sup>25</sup>
	2.000	81.20	08/20/20	106.22	25.02 W	0.00	42 of 46 - Sale <sup>25</sup>
	3.000	121.79	08/20/20	149.86	28.07 W	0.00	43 of 46 - Sale <sup>25</sup>
	3.000	121.79	08/20/20	158.26	36.47 W	0.00	44 of 46 - Sale <sup>25</sup>
	4.000	162.39	08/20/20	211.53	49.14 W	0.00	45 of 46 - Sale <sup>25</sup>
	6.000	243.59	08/20/20	318.89	75.30 W	0.00	46 of 46 - Sale <sup>25</sup>
08/20/20	100.000	4,059.90	Various	5,019.86	959.96 W	0.00	Total of 46 transactions
58 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	1.000	37.60	08/24/20	54.60	17.00 W	0.00	1 of 58 - Sale <sup>25</sup>
	1.000	37.60	08/24/20	54.75	17.15 W	0.00	2 of 58 - Sale <sup>25</sup>
	1.000	37.60	08/24/20	54.77	17.17 W	0.00	3 of 58 - Sale <sup>25</sup>
	1.000	37.60	08/24/20	47.02	9.42 W	0.00	4 of 58 - Sale <sup>25</sup>
	1.000	37.60	08/24/20	47.02	9.42 W	0.00	5 of 58 - Sale <sup>25</sup>
	1.000	37.60	08/24/20	46.95	9.35 W	0.00	6 of 58 - Sale <sup>25</sup>
	1.000	37.60	08/24/20	46.74	9.14 W	0.00	7 of 58 - Sale <sup>25</sup>
	1.000	37.60	08/24/20	47.06	9.46 W	0.00	8 of 58 - Sale <sup>25</sup>
	1.000	37.60	08/24/20	52.26	14.66 W	0.00	9 of 58 - Sale <sup>25</sup>
	1.000	37.60	08/24/20	52.25	14.65 W	0.00	10 of 58 - Sale <sup>25</sup>
	2.000	75.20	08/24/20	93.48	18.28 W	0.00	11 of 58 - Sale <sup>25</sup>
	4.000	150.40	08/24/20	177.79	27.39 W	0.00	12 of 58 - Sale <sup>25</sup>
	1.000	37.60	08/24/20	41.55	3.95 W	0.00	13 of 58 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	1.000	37.60	08/24/20	42.32	4.72 W	0.00	14 of 58 - Sale <sup>25</sup>
	1.000	37.60	08/24/20	42.15	4.55 W	0.00	15 of 58 - Sale <sup>25</sup>
	4.000	150.40	08/24/20	168.60	18.20 W	0.00	16 of 58 - Sale <sup>25</sup>
	1.000	37.60	08/24/20	51.12	13.52 W	0.00	17 of 58 - Sale <sup>25</sup>
	1.000	37.60	08/24/20	52.42	14.82 W	0.00	18 of 58 - Sale <sup>25</sup>
	5.000	187.99	08/24/20	259.66	71.67 W	0.00	19 of 58 - Sale <sup>25</sup>
	6.000	225.59	08/24/20	311.25	85.66 W	0.00	20 of 58 - Sale <sup>25</sup>
	1.000	37.60	08/24/20	47.74	10.14 W	0.00	21 of 58 - Sale <sup>25</sup>
	1.000	37.60	08/24/20	48.49	10.89 W	0.00	22 of 58 - Sale <sup>25</sup>
	1.000	37.60	08/24/20	48.15	10.55 W	0.00	23 of 58 - Sale <sup>25</sup>
	4.000	150.40	08/24/20	192.54	42.14 W	0.00	24 of 58 - Sale <sup>25</sup>
	5.000	187.99	08/24/20	240.80	52.81 W	0.00	25 of 58 - Sale <sup>25</sup>
	1.000	37.60	08/24/20	48.50	10.90 W	0.00	26 of 58 - Sale <sup>25</sup>
	1.000	37.60	08/24/20	49.77	12.17 W	0.00	27 of 58 - Sale <sup>25</sup>
	1.000	37.60	08/24/20	50.33	12.73 W	0.00	28 of 58 - Sale <sup>25</sup>
	1.000	37.60	08/24/20	50.33	12.73 W	0.00	29 of 58 - Sale <sup>25</sup>
	1.000	37.60	08/24/20	50.27	12.67 W	0.00	30 of 58 - Sale <sup>25</sup>
	1.000	37.60	08/24/20	49.34	11.74 W	0.00	31 of 58 - Sale <sup>25</sup>
	1.000	37.60	08/24/20	51.24	13.64 W	0.00	32 of 58 - Sale <sup>25</sup>
	1.000	37.60	08/24/20	45.04	7.44 W	0.00	33 of 58 - Sale <sup>25</sup>
	1.000	37.60	08/24/20	45.67	8.07 W	0.00	34 of 58 - Sale <sup>25</sup>
	1.000	37.60	08/24/20	45.72	8.12 W	0.00	35 of 58 - Sale <sup>25</sup>
	1.000	37.60	08/24/20	45.70	8.10 W	0.00	36 of 58 - Sale <sup>25</sup>
	2.000	75.20	08/24/20	100.75	25.55 W	0.00	37 of 58 - Sale <sup>25</sup>
	2.000	75.20	08/24/20	91.32	16.12 W	0.00	38 of 58 - Sale <sup>25</sup>
	2.000	75.20	08/24/20	91.45	16.25 W	0.00	39 of 58 - Sale <sup>25</sup>
	3.000	112.80	08/24/20	149.33	36.53 W	0.00	40 of 58 - Sale <sup>25</sup>
	3.000	112.80	08/24/20	151.60	38.80 W	0.00	41 of 58 - Sale <sup>25</sup>
	4.000	150.39	08/24/20	206.04	55.65 W	0.00	42 of 58 - Sale <sup>25</sup>
	7.000	263.19	08/24/20	319.69	56.50 W	0.00	43 of 58 - Sale <sup>25</sup>
	9.000	338.39	08/24/20	452.65	114.26 W	0.00	44 of 58 - Sale <sup>25</sup>
	9.000	338.39	08/24/20	410.31	71.92 W	0.00	45 of 58 - Sale <sup>25</sup>
	1.000	37.60	08/24/20	41.82	4.22 W	0.00	46 of 58 - Sale <sup>25</sup>
	1.000	37.60	08/24/20	41.82	4.22 W	0.00	47 of 58 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	1.000	37.60	08/24/20	43.08	5.48 W	0.00	48 of 58 - Sale <sup>25</sup>
	1.000	37.60	08/24/20	53.46	15.86 W	0.00	49 of 58 - Sale <sup>25</sup>
	2.000	75.20	08/24/20	84.75	9.55 W	0.00	50 of 58 - Sale <sup>25</sup>
	2.000	75.20	08/24/20	84.79	9.59 W	0.00	51 of 58 - Sale <sup>25</sup>
	2.000	75.19	08/24/20	87.37	12.18 W	0.00	52 of 58 - Sale <sup>25</sup>
	2.000	75.20	08/24/20	86.60	11.40 W	0.00	53 of 58 - Sale <sup>25</sup>
	3.000	112.79	08/24/20	129.23	16.44 W	0.00	54 of 58 - Sale <sup>25</sup>
	4.000	150.39	08/24/20	197.66	47.27 W	0.00	55 of 58 - Sale <sup>25</sup>
	1.000	37.59	08/24/20	50.73	13.14 W	0.00	56 of 58 - Sale <sup>25</sup>
	4.000	150.39	08/24/20	180.90	30.51 W	0.00	57 of 58 - Sale <sup>25</sup>
	1.000	37.60	08/24/20	47.61	10.01 W	0.00	58 of 58 - Sale <sup>25</sup>
08/24/20	125.000	4,699.88	Various	5,956.35	1,256.47 W	0.00	Total of 58 transactions
	3 transactions for 09/08/20. Total proceeds and cost reported to the IRS.						
	0.623	30.92	09/08/20	37.11	6.19 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.377	18.71	09/08/20	22.47	3.76 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.621	80.43	09/08/20	96.75	16.32 W	0.00	3 of 3 - Sale <sup>25</sup>
09/08/20	2.621	130.06	Various	156.33	26.27 W	0.00	Total of 3 transactions
	68 transactions for 09/09/20. Total proceeds and cost reported to the IRS.						
	1.000	43.26	09/09/20	62.26	19.00 W	0.00	1 of 68 - Sale <sup>25</sup>
	1.000	43.26	09/09/20	62.41	19.15 W	0.00	2 of 68 - Sale <sup>25</sup>
	1.000	43.26	09/09/20	62.43	19.17 W	0.00	3 of 68 - Sale <sup>25</sup>
	1.000	43.26	09/09/20	54.68	11.42 W	0.00	4 of 68 - Sale <sup>25</sup>
	1.000	43.26	09/09/20	54.68	11.42 W	0.00	5 of 68 - Sale <sup>25</sup>
	1.000	43.26	09/09/20	54.61	11.35 W	0.00	6 of 68 - Sale <sup>25</sup>
	1.000	43.26	09/09/20	54.40	11.14 W	0.00	7 of 68 - Sale <sup>25</sup>
	1.000	43.26	09/09/20	54.72	11.46 W	0.00	8 of 68 - Sale <sup>25</sup>
	1.000	43.26	09/09/20	59.92	16.66 W	0.00	9 of 68 - Sale <sup>25</sup>
	1.000	43.26	09/09/20	59.91	16.65 W	0.00	10 of 68 - Sale <sup>25</sup>
	2.000	86.52	09/09/20	108.80	22.28 W	0.00	11 of 68 - Sale <sup>25</sup>
	4.000	173.04	09/09/20	208.42	35.38 W	0.00	12 of 68 - Sale <sup>25</sup>
	1.000	43.26	09/09/20	49.21	5.95 W	0.00	13 of 68 - Sale <sup>25</sup>
	1.000	43.26	09/09/20	49.98	6.72 W	0.00	14 of 68 - Sale <sup>25</sup>
	1.000	43.26	09/09/20	49.81	6.55 W	0.00	15 of 68 - Sale <sup>25</sup>
	4.000	173.03	09/09/20	199.23	26.20 W	0.00	16 of 68 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	0.621	26.85	09/09/20	37.29	10.44 W	0.00	17 of 68 - Sale <sup>25</sup>
	1.000	43.26	09/09/20	55.34	12.08 W	0.00	18 of 68 - Sale <sup>25</sup>
	1.000	43.26	09/09/20	59.38	16.12 W	0.00	19 of 68 - Sale <sup>25</sup>
	1.000	43.26	09/09/20	59.60	16.34 W	0.00	20 of 68 - Sale <sup>25</sup>
	1.000	43.26	09/09/20	57.94	14.68 W	0.00	21 of 68 - Sale <sup>25</sup>
	1.000	43.26	09/09/20	58.78	15.52 W	0.00	22 of 68 - Sale <sup>25</sup>
	2.000	86.52	09/09/20	116.41	29.89 W	0.00	23 of 68 - Sale <sup>25</sup>
	3.000	129.78	09/09/20	175.53	45.75 W	0.00	24 of 68 - Sale <sup>25</sup>
	4.000	173.03	09/09/20	233.11	60.08 W	0.00	25 of 68 - Sale <sup>25</sup>
	5.000	216.29	09/09/20	297.95	81.66 W	0.00	26 of 68 - Sale <sup>25</sup>
	6.000	259.55	09/09/20	357.19	97.64 W	0.00	27 of 68 - Sale <sup>25</sup>
	7.379	319.22	09/09/20	408.30	89.08 W	0.00	28 of 68 - Sale <sup>25</sup>
	1.000	43.26	09/09/20	50.47	7.21 W	0.00	29 of 68 - Sale <sup>25</sup>
	1.000	43.26	09/09/20	54.48	11.22 W	0.00	30 of 68 - Sale <sup>25</sup>
	1.000	43.26	09/09/20	54.28	11.02 W	0.00	31 of 68 - Sale <sup>25</sup>
	1.000	43.26	09/09/20	54.54	11.28 W	0.00	32 of 68 - Sale <sup>25</sup>
	1.000	43.26	09/09/20	54.37	11.11 W	0.00	33 of 68 - Sale <sup>25</sup>
	2.000	86.52	09/09/20	101.03	14.51 W	0.00	34 of 68 - Sale <sup>25</sup>
	3.000	129.78	09/09/20	162.91	33.13 W	0.00	35 of 68 - Sale <sup>25</sup>
	4.000	173.04	09/09/20	201.93	28.89 W	0.00	36 of 68 - Sale <sup>25</sup>
	5.000	216.29	09/09/20	256.95	40.66 W	0.00	37 of 68 - Sale <sup>25</sup>
	1.000	43.26	09/09/20	50.63	7.37 W	0.00	38 of 68 - Sale <sup>25</sup>
	1.000	43.26	09/09/20	50.84	7.58 W	0.00	39 of 68 - Sale <sup>25</sup>
	2.000	86.52	09/09/20	115.54	29.02 W	0.00	40 of 68 - Sale <sup>25</sup>
	3.000	129.77	09/09/20	163.84	34.07 W	0.00	41 of 68 - Sale <sup>25</sup>
	3.000	129.78	09/09/20	172.24	42.46 W	0.00	42 of 68 - Sale <sup>25</sup>
	4.000	173.03	09/09/20	230.17	57.14 W	0.00	43 of 68 - Sale <sup>25</sup>
	6.000	259.55	09/09/20	346.85	87.30 W	0.00	44 of 68 - Sale <sup>25</sup>
	0.379	16.41	09/09/20	22.77	6.36 W	0.00	45 of 68 - Sale <sup>25</sup>
	1.000	43.26	09/09/20	55.35	12.09 W	0.00	46 of 68 - Sale <sup>25</sup>
	1.000	43.26	09/09/20	56.10	12.84 W	0.00	47 of 68 - Sale <sup>25</sup>
	1.621	70.10	09/09/20	90.34	20.24 W	0.00	48 of 68 - Sale <sup>25</sup>
	1.000	43.35	09/09/20	53.80	10.45 W	0.00	49 of 68 - Sale <sup>25</sup>
	2.379	103.15	09/09/20	127.98	24.83 W	0.00	50 of 68 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	5.000	216.77	09/09/20	269.06	52.29 W	0.00	51 of 68 - Sale <sup>25</sup>
	1.000	43.35	09/09/20	54.15	10.80 W	0.00	52 of 68 - Sale <sup>25</sup>
	1.000	43.35	09/09/20	55.42	12.07 W	0.00	53 of 68 - Sale <sup>25</sup>
	1.000	43.35	09/09/20	55.98	12.63 W	0.00	54 of 68 - Sale <sup>25</sup>
	1.000	43.36	09/09/20	55.98	12.62 W	0.00	55 of 68 - Sale <sup>25</sup>
	0.621	26.91	09/09/20	34.77	7.86 W	0.00	56 of 68 - Sale <sup>25</sup>
	3.000	130.07	09/09/20	166.28	36.21 W	0.00	57 of 68 - Sale <sup>25</sup>
	3.000	130.07	09/09/20	168.55	38.48 W	0.00	58 of 68 - Sale <sup>25</sup>
	1.000	42.56	09/09/20	55.92	13.36 W	0.00	59 of 68 - Sale <sup>25</sup>
	1.000	42.56	09/09/20	54.99	12.43 W	0.00	60 of 68 - Sale <sup>25</sup>
	1.000	42.56	09/09/20	56.89	14.33 W	0.00	61 of 68 - Sale <sup>25</sup>
	1.000	42.56	09/09/20	50.69	8.13 W	0.00	62 of 68 - Sale <sup>25</sup>
	1.379	58.70	09/09/20	77.28	18.58 W	0.00	63 of 68 - Sale <sup>25</sup>
	4.000	170.24	09/09/20	228.65	58.41 W	0.00	64 of 68 - Sale <sup>25</sup>
	6.621	281.77	09/09/20	339.25	57.48 W	0.00	65 of 68 - Sale <sup>25</sup>
	9.000	383.03	09/09/20	503.51	120.48 W	0.00	66 of 68 - Sale <sup>25</sup>
	0.621	26.41	09/09/20	31.98	5.57 W	0.00	67 of 68 - Sale <sup>25</sup>
	2.379	101.27	09/09/20	122.41	21.14 W	0.00	68 of 68 - Sale <sup>25</sup>
09/09/20	146.000	6,298.03	Various	8,071.46	1,773.43 W	0.00	Total of 68 transactions
35 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	0.621	23.88	09/10/20	26.68	2.80 W	0.00	1 of 35 - Sale <sup>25</sup>
	0.379	14.59	09/10/20	17.76	3.17 W	0.00	2 of 35 - Sale <sup>25</sup>
	4.000	153.54	09/10/20	187.33	33.79 W	0.00	3 of 35 - Sale <sup>25</sup>
	1.000	38.41	09/10/20	46.88	8.47 W	0.00	4 of 35 - Sale <sup>25</sup>
	1.000	38.41	09/10/20	46.86	8.45 W	0.00	5 of 35 - Sale <sup>25</sup>
	2.000	76.83	09/10/20	93.64	16.81 W	0.00	6 of 35 - Sale <sup>25</sup>
	2.000	76.83	09/10/20	93.77	16.94 W	0.00	7 of 35 - Sale <sup>25</sup>
	3.000	115.24	09/10/20	140.49	25.25 W	0.00	8 of 35 - Sale <sup>25</sup>
	1.000	38.41	09/10/20	57.78	19.37 W	0.00	9 of 35 - Sale <sup>25</sup>
	1.000	38.41	09/10/20	57.93	19.52 W	0.00	10 of 35 - Sale <sup>25</sup>
	1.000	38.41	09/10/20	57.95	19.54 W	0.00	11 of 35 - Sale <sup>25</sup>
	1.000	38.42	09/10/20	50.20	11.78 W	0.00	12 of 35 - Sale <sup>25</sup>
	1.000	38.41	09/10/20	50.20	11.79 W	0.00	13 of 35 - Sale <sup>25</sup>
	1.000	38.42	09/10/20	50.13	11.71 W	0.00	14 of 35 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	2.000	76.83	09/10/20	99.84	23.01 W	0.00	15 of 35 - Sale <sup>25</sup>
	0.379	14.57	09/10/20	16.31	1.74 W	0.00	16 of 35 - Sale <sup>25</sup>
	1.000	38.41	09/10/20	43.00	4.59 W	0.00	17 of 35 - Sale <sup>25</sup>
	1.000	38.42	09/10/20	44.26	5.84 W	0.00	18 of 35 - Sale <sup>25</sup>
	2.000	76.83	09/10/20	87.11	10.28 W	0.00	19 of 35 - Sale <sup>25</sup>
	2.000	76.83	09/10/20	87.15	10.32 W	0.00	20 of 35 - Sale <sup>25</sup>
	1.621	62.26	09/10/20	71.73	9.47 W	0.00	21 of 35 - Sale <sup>25</sup>
	1.000	38.29	09/10/20	54.64	16.35 W	0.00	22 of 35 - Sale <sup>25</sup>
	2.000	76.59	09/10/20	89.74	13.15 W	0.00	23 of 35 - Sale <sup>25</sup>
	2.000	76.59	09/10/20	88.96	12.37 W	0.00	24 of 35 - Sale <sup>25</sup>
	1.379	52.82	09/10/20	61.05	8.23 W	0.00	25 of 35 - Sale <sup>25</sup>
	4.000	153.17	09/10/20	202.39	49.22 W	0.00	26 of 35 - Sale <sup>25</sup>
	1.000	38.29	09/10/20	51.92	13.63 W	0.00	27 of 35 - Sale <sup>25</sup>
	4.000	153.18	09/10/20	185.63	32.45 W	0.00	28 of 35 - Sale <sup>25</sup>
	0.377	14.43	09/10/20	18.38	3.95 W	0.00	29 of 35 - Sale <sup>25</sup>
	0.623	23.86	09/10/20	30.35	6.49 W	0.00	30 of 35 - Sale <sup>25</sup>
	1.000	38.29	09/10/20	48.79	10.50 W	0.00	31 of 35 - Sale <sup>25</sup>
	1.621	62.06	09/10/20	79.17	17.11 W	0.00	32 of 35 - Sale <sup>25</sup>
	1.000	38.30	09/10/20	50.31	12.01 W	0.00	33 of 35 - Sale <sup>25</sup>
	1.000	38.29	09/10/20	50.63	12.34 W	0.00	34 of 35 - Sale <sup>25</sup>
	4.000	153.18	09/10/20	192.06	38.88 W	0.00	35 of 35 - Sale <sup>25</sup>
09/10/20	55.000	2,109.70	Various	2,631.02	521.32 W	0.00	Total of 35 transactions
	27 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	0.251	7.70	09/11/20	12.19	4.49 W	0.00	1 of 27 - Sale <sup>25</sup>
	0.749	22.94	09/14/20	35.96	13.02 W	0.00	2 of 27 - Sale <sup>25</sup>
	1.000	30.64	09/14/20	48.03	17.39 W	0.00	3 of 27 - Sale <sup>25</sup>
	1.000	30.64	09/14/20	37.32	6.68 W	0.00	4 of 27 - Sale <sup>25</sup>
	1.000	30.64	09/14/20	38.10	7.46 W	0.00	5 of 27 - Sale <sup>25</sup>
	1.000	30.64	09/14/20	37.92	7.28 W	0.00	6 of 27 - Sale <sup>25</sup>
	4.000	122.57	09/14/20	151.70	29.13 W	0.00	7 of 27 - Sale <sup>25</sup>
	0.621	19.02	09/14/20	29.91	10.89 W	0.00	8 of 27 - Sale <sup>25</sup>
	1.000	30.64	09/14/20	43.45	12.81 W	0.00	9 of 27 - Sale <sup>25</sup>
	1.000	30.64	09/14/20	47.50	16.86 W	0.00	10 of 27 - Sale <sup>25</sup>
	1.000	30.64	09/14/20	47.71	17.07 W	0.00	11 of 27 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	1.000	30.64	09/14/20	46.05	15.41 W	0.00	12 of 27 - Sale <sup>25</sup>
	1.000	30.64	09/14/20	46.90	16.26 W	0.00	13 of 27 - Sale <sup>25</sup>
	2.000	61.28	09/14/20	92.64	31.36 W	0.00	14 of 27 - Sale <sup>25</sup>
	3.000	91.93	09/14/20	139.88	47.95 W	0.00	15 of 27 - Sale <sup>25</sup>
	4.000	122.57	09/14/20	185.58	63.01 W	0.00	16 of 27 - Sale <sup>25</sup>
	5.000	153.21	09/14/20	238.53	85.32 W	0.00	17 of 27 - Sale <sup>25</sup>
	6.000	183.85	09/14/20	285.89	102.04 W	0.00	18 of 27 - Sale <sup>25</sup>
	7.379	226.12	09/14/20	320.61	94.49 W	0.00	19 of 27 - Sale <sup>25</sup>
	1.000	30.64	09/14/20	38.59	7.95 W	0.00	20 of 27 - Sale <sup>25</sup>
	2.000	61.28	09/14/20	77.26	15.98 W	0.00	21 of 27 - Sale <sup>25</sup>
	4.000	122.57	09/14/20	154.39	31.82 W	0.00	22 of 27 - Sale <sup>25</sup>
	4.251	130.28	09/14/20	167.96	37.68 W	0.00	23 of 27 - Sale <sup>25</sup>
	0.749	24.76	09/14/20	31.17	6.41 W	0.00	24 of 27 - Sale <sup>25</sup>
	1.000	33.08	09/14/20	44.72	11.64 W	0.00	25 of 27 - Sale <sup>25</sup>
	1.000	33.08	09/14/20	44.52	11.44 W	0.00	26 of 27 - Sale <sup>25</sup>
	2.251	74.48	09/14/20	100.28	25.80 W	0.00	27 of 27 - Sale <sup>25</sup>
09/14/20	58.251	1,797.12	Various	2,544.76	747.64 W	0.00	Total of 27 transactions
	53 transactions for 09/21/20. Total proceeds and cost reported to the IRS.						
	1.000	28.81	09/21/20	48.32	19.51 W	0.00	1 of 53 - Sale <sup>25</sup>
	1.000	28.81	09/21/20	48.47	19.66 W	0.00	2 of 53 - Sale <sup>25</sup>
	1.000	28.81	09/21/20	48.49	19.68 W	0.00	3 of 53 - Sale <sup>25</sup>
	1.000	28.81	09/21/20	40.73	11.92 W	0.00	4 of 53 - Sale <sup>25</sup>
	1.000	28.81	09/21/20	40.74	11.93 W	0.00	5 of 53 - Sale <sup>25</sup>
	1.000	28.81	09/21/20	40.66	11.85 W	0.00	6 of 53 - Sale <sup>25</sup>
	2.000	57.62	09/21/20	80.91	23.29 W	0.00	7 of 53 - Sale <sup>25</sup>
	0.379	10.93	09/21/20	12.72	1.79 W	0.00	8 of 53 - Sale <sup>25</sup>
	0.621	17.88	09/21/20	20.77	2.89 W	0.00	9 of 53 - Sale <sup>25</sup>
	0.872	25.13	09/21/20	29.73	4.60 W	0.00	10 of 53 - Sale <sup>25</sup>
	1.000	28.81	09/21/20	33.54	4.73 W	0.00	11 of 53 - Sale <sup>25</sup>
	0.379	10.93	09/21/20	17.34	6.41 W	0.00	12 of 53 - Sale <sup>25</sup>
	0.749	21.57	09/21/20	29.94	8.37 W	0.00	13 of 53 - Sale <sup>25</sup>
	1.000	28.81	09/21/20	40.23	11.42 W	0.00	14 of 53 - Sale <sup>25</sup>
	1.000	28.81	09/21/20	40.06	11.25 W	0.00	15 of 53 - Sale <sup>25</sup>
	1.000	28.81	09/21/20	41.04	12.23 W	0.00	16 of 53 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	1.000	28.81	09/21/20	41.79	12.98 W	0.00	17 of 53 - Sale <sup>25</sup>
	1.000	28.81	09/21/20	39.40	10.59 W	0.00	18 of 53 - Sale <sup>25</sup>
	1.621	46.69	09/21/20	67.16	20.47 W	0.00	19 of 53 - Sale <sup>25</sup>
	2.379	68.55	09/21/20	93.71	25.16 W	0.00	20 of 53 - Sale <sup>25</sup>
	5.000	144.05	09/21/20	197.04	52.99 W	0.00	21 of 53 - Sale <sup>25</sup>
	1.000	28.81	09/21/20	36.32	7.51 W	0.00	22 of 53 - Sale <sup>25</sup>
	1.000	28.81	09/21/20	36.53	7.72 W	0.00	23 of 53 - Sale <sup>25</sup>
	1.000	28.81	09/21/20	39.75	10.94 W	0.00	24 of 53 - Sale <sup>25</sup>
	2.000	57.62	09/21/20	86.92	29.30 W	0.00	25 of 53 - Sale <sup>25</sup>
	3.000	86.43	09/21/20	120.92	34.49 W	0.00	26 of 53 - Sale <sup>25</sup>
	3.000	86.43	09/21/20	129.31	42.88 W	0.00	27 of 53 - Sale <sup>25</sup>
	1.000	28.81	09/21/20	41.02	12.21 W	0.00	28 of 53 - Sale <sup>25</sup>
	4.000	115.24	09/21/20	172.94	57.70 W	0.00	29 of 53 - Sale <sup>25</sup>
	6.000	172.86	09/21/20	261.00	88.14 W	0.00	30 of 53 - Sale <sup>25</sup>
	0.379	10.87	09/21/20	14.15	3.28 W	0.00	31 of 53 - Sale <sup>25</sup>
	0.621	17.78	09/21/20	25.83	8.05 W	0.00	32 of 53 - Sale <sup>25</sup>
	0.621	17.78	09/21/20	23.54	5.76 W	0.00	33 of 53 - Sale <sup>25</sup>
	1.000	28.65	09/21/20	41.02	12.37 W	0.00	34 of 53 - Sale <sup>25</sup>
	1.000	28.65	09/21/20	41.58	12.93 W	0.00	35 of 53 - Sale <sup>25</sup>
	1.000	28.65	09/21/20	41.57	12.92 W	0.00	36 of 53 - Sale <sup>25</sup>
	1.000	28.65	09/21/20	42.31	13.66 W	0.00	37 of 53 - Sale <sup>25</sup>
	1.000	28.65	09/21/20	41.38	12.73 W	0.00	38 of 53 - Sale <sup>25</sup>
	1.000	28.65	09/21/20	43.28	14.63 W	0.00	39 of 53 - Sale <sup>25</sup>
	1.000	28.65	09/21/20	37.08	8.43 W	0.00	40 of 53 - Sale <sup>25</sup>
	1.000	28.65	09/21/20	37.42	8.77 W	0.00	41 of 53 - Sale <sup>25</sup>
	1.000	28.64	09/21/20	37.40	8.76 W	0.00	42 of 53 - Sale <sup>25</sup>
	1.379	39.51	09/21/20	58.51	19.00 W	0.00	43 of 53 - Sale <sup>25</sup>
	2.000	57.29	09/21/20	74.71	17.42 W	0.00	44 of 53 - Sale <sup>25</sup>
	2.000	57.29	09/21/20	74.84	17.55 W	0.00	45 of 53 - Sale <sup>25</sup>
	2.379	68.16	09/21/20	90.02	21.86 W	0.00	46 of 53 - Sale <sup>25</sup>
	2.000	57.29	09/21/20	82.04	24.75 W	0.00	47 of 53 - Sale <sup>25</sup>
	3.000	85.94	09/21/20	125.33	39.39 W	0.00	48 of 53 - Sale <sup>25</sup>
	3.000	85.94	09/21/20	112.10	26.16 W	0.00	49 of 53 - Sale <sup>25</sup>
	4.000	114.58	09/21/20	174.21	59.63 W	0.00	50 of 53 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	4.000	114.58	09/21/20	149.59	35.01 W	0.00	51 of 53 - Sale <sup>25</sup>
	6.621	189.65	09/21/20	249.15	59.50 W	0.00	52 of 53 - Sale <sup>25</sup>
	9.000	257.81	09/21/20	381.03	123.22 W	0.00	53 of 53 - Sale <sup>25</sup>
09/21/20	98.000	2,815.20	Various	3,975.59	1,160.39 W	0.00	Total of 53 transactions
09/25/20	0.000	0.01	09/25/20	0.01	...	0.00	Sale <sup>25</sup>
2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	0.097	1.93	09/25/20	2.50	0.57 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.295	5.88	09/25/20	7.50	1.62 W	0.00	2 of 2 - Sale <sup>25</sup>
09/25/20	0.391	7.81	Various	10.00	2.19 W	0.00	Total of 2 transactions
82 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	0.251	6.35	10/01/20	10.85	4.50 W	0.00	1 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	44.81	19.57 W	0.00	2 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	44.96	19.72 W	0.00	3 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	44.98	19.74 W	0.00	4 of 82 - Sale <sup>25</sup>
	0.749	18.89	10/01/20	31.96	13.07 W	0.00	5 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	37.31	12.07 W	0.00	6 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	37.64	12.40 W	0.00	7 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	42.69	17.45 W	0.00	8 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	37.22	11.98 W	0.00	9 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	37.23	11.99 W	0.00	10 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	37.15	11.91 W	0.00	11 of 82 - Sale <sup>25</sup>
	2.000	50.48	10/01/20	73.89	23.41 W	0.00	12 of 82 - Sale <sup>25</sup>
	4.000	100.96	10/01/20	140.08	39.12 W	0.00	13 of 82 - Sale <sup>25</sup>
	0.379	9.57	10/01/20	11.39	1.82 W	0.00	14 of 82 - Sale <sup>25</sup>
	0.621	15.67	10/01/20	18.59	2.92 W	0.00	15 of 82 - Sale <sup>25</sup>
	0.736	18.58	10/01/20	22.41	3.83 W	0.00	16 of 82 - Sale <sup>25</sup>
	0.872	22.01	10/01/20	26.67	4.66 W	0.00	17 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	31.14	5.90 W	0.00	18 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	41.65	16.41 W	0.00	19 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	31.98	6.74 W	0.00	20 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	32.76	7.52 W	0.00	21 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	32.58	7.34 W	0.00	22 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	30.03	4.79 W	0.00	23 of 82 - Sale <sup>25</sup>
	1.379	34.81	10/01/20	43.13	8.32 W	0.00	24 of 82 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

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(continued)

03/15/2021

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	1.621	40.90	10/01/20	50.47	9.57 W	0.00	25 of 82 - Sale <sup>25</sup>
	2.000	50.48	10/01/20	60.92	10.44 W	0.00	26 of 82 - Sale <sup>25</sup>
	2.000	50.48	10/01/20	63.75	13.27 W	0.00	27 of 82 - Sale <sup>25</sup>
	2.000	50.48	10/01/20	62.97	12.49 W	0.00	28 of 82 - Sale <sup>25</sup>
	4.000	100.96	10/01/20	150.42	49.46 W	0.00	29 of 82 - Sale <sup>25</sup>
	4.000	100.96	10/01/20	130.33	29.37 W	0.00	30 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	38.93	13.69 W	0.00	31 of 82 - Sale <sup>25</sup>
	4.000	100.96	10/01/20	133.65	32.69 W	0.00	32 of 82 - Sale <sup>25</sup>
	0.377	9.51	10/01/20	13.49	3.98 W	0.00	33 of 82 - Sale <sup>25</sup>
	0.379	9.57	10/01/20	16.01	6.44 W	0.00	34 of 82 - Sale <sup>25</sup>
	0.621	15.66	10/01/20	26.59	10.93 W	0.00	35 of 82 - Sale <sup>25</sup>
	0.623	15.73	10/01/20	22.25	6.52 W	0.00	36 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	35.80	10.56 W	0.00	37 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	38.11	12.87 W	0.00	38 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	42.16	16.92 W	0.00	39 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	42.37	17.13 W	0.00	40 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	40.71	15.47 W	0.00	41 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	41.56	16.32 W	0.00	42 of 82 - Sale <sup>25</sup>
	1.621	40.90	10/01/20	58.11	17.21 W	0.00	43 of 82 - Sale <sup>25</sup>
	2.000	50.48	10/01/20	81.96	31.48 W	0.00	44 of 82 - Sale <sup>25</sup>
	3.000	75.72	10/01/20	123.85	48.13 W	0.00	45 of 82 - Sale <sup>25</sup>
	4.000	100.96	10/01/20	164.21	63.25 W	0.00	46 of 82 - Sale <sup>25</sup>
	5.000	126.19	10/01/20	211.82	85.63 W	0.00	47 of 82 - Sale <sup>25</sup>
	6.000	151.43	10/01/20	253.84	102.41 W	0.00	48 of 82 - Sale <sup>25</sup>
	7.379	186.25	10/01/20	281.19	94.94 W	0.00	49 of 82 - Sale <sup>25</sup>
	0.140	3.54	10/01/20	5.12	1.58 W	0.00	50 of 82 - Sale <sup>25</sup>
	0.749	18.89	10/01/20	25.35	6.46 W	0.00	51 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	33.25	8.01 W	0.00	52 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	36.94	11.70 W	0.00	53 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	36.74	11.50 W	0.00	54 of 82 - Sale <sup>25</sup>
	2.000	50.48	10/01/20	66.58	16.10 W	0.00	55 of 82 - Sale <sup>25</sup>
	2.251	56.82	10/01/20	82.76	25.94 W	0.00	56 of 82 - Sale <sup>25</sup>
	4.000	100.96	10/01/20	133.02	32.06 W	0.00	57 of 82 - Sale <sup>25</sup>
	4.251	107.30	10/01/20	145.24	37.94 W	0.00	58 of 82 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	0.608	15.35	10/01/20	22.19	6.84 W	0.00	59 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	36.72	11.48 W	0.00	60 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	36.55	11.31 W	0.00	61 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	37.53	12.29 W	0.00	62 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	38.28	13.04 W	0.00	63 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	35.89	10.65 W	0.00	64 of 82 - Sale <sup>25</sup>
	1.621	40.90	10/01/20	61.47	20.57 W	0.00	65 of 82 - Sale <sup>25</sup>
	2.379	60.05	10/01/20	85.36	25.31 W	0.00	66 of 82 - Sale <sup>25</sup>
	5.000	126.20	10/01/20	179.49	53.29 W	0.00	67 of 82 - Sale <sup>25</sup>
	0.392	9.89	10/01/20	14.99	5.10 W	0.00	68 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	32.81	7.57 W	0.00	69 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	33.02	7.78 W	0.00	70 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	36.24	11.00 W	0.00	71 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	37.51	12.27 W	0.00	72 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	37.67	12.43 W	0.00	73 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	38.23	12.99 W	0.00	74 of 82 - Sale <sup>25</sup>
	1.000	25.24	10/01/20	38.22	12.98 W	0.00	75 of 82 - Sale <sup>25</sup>
	2.000	50.48	10/01/20	79.90	29.42 W	0.00	76 of 82 - Sale <sup>25</sup>
	2.000	50.48	10/01/20	75.35	24.87 W	0.00	77 of 82 - Sale <sup>25</sup>
	3.000	75.72	10/01/20	110.39	34.67 W	0.00	78 of 82 - Sale <sup>25</sup>
	3.000	75.72	10/01/20	118.78	43.06 W	0.00	79 of 82 - Sale <sup>25</sup>
	3.000	75.71	10/01/20	115.29	39.58 W	0.00	80 of 82 - Sale <sup>25</sup>
	4.000	100.95	10/01/20	158.90	57.95 W	0.00	81 of 82 - Sale <sup>25</sup>
	6.000	151.43	10/01/20	239.94	88.51 W	0.00	82 of 82 - Sale <sup>25</sup>
10/01/20	145.000	3,659.69	Various	5,394.29	1,734.60 W	0.00	Total of 82 transactions
	97 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	6.000	149.13	10/02/20	246.96	...	-97.83	1 of 97 - Sale <sup>25</sup>
	6.000	149.13	10/02/20	241.39	...	-92.26	2 of 97 - Sale <sup>25</sup>
	5.000	124.27	10/02/20	206.09	...	-81.82	3 of 97 - Sale <sup>25</sup>
	4.000	99.42	10/02/20	159.87	...	-60.45	4 of 97 - Sale <sup>25</sup>
	4.000	99.42	10/02/20	159.62	...	-60.20	5 of 97 - Sale <sup>25</sup>
	4.772	118.60	10/02/20	174.29	...	-55.69	6 of 97 - Sale <sup>25</sup>
	4.000	99.42	10/02/20	152.59	...	-53.17	7 of 97 - Sale <sup>25</sup>
	6.621	164.55	10/02/20	213.36	...	-48.81	8 of 97 - Sale <sup>25</sup>

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	3.000	74.57	10/02/20	119.50	...	-44.93	9 of 97 - Sale <sup>25</sup>
	4.000	99.42	10/02/20	144.10	...	-44.68	10 of 97 - Sale <sup>25</sup>
	3.000	74.56	10/02/20	116.02	...	-41.46	11 of 97 - Sale <sup>25</sup>
	2.392	59.46	10/02/20	95.36	...	-35.90	12 of 97 - Sale <sup>25</sup>
	4.251	105.67	10/02/20	140.37	...	-34.70	13 of 97 - Sale <sup>25</sup>
	4.000	99.42	10/02/20	133.76	...	-34.34	14 of 97 - Sale <sup>25</sup>
	3.392	84.32	10/02/20	117.90	...	-33.58	15 of 97 - Sale <sup>25</sup>
	2.608	64.81	10/02/20	95.67	...	-30.86	16 of 97 - Sale <sup>25</sup>
	2.000	49.71	10/02/20	80.38	...	-30.67	17 of 97 - Sale <sup>25</sup>
	2.000	49.71	10/02/20	79.66	...	-29.95	18 of 97 - Sale <sup>25</sup>
	4.000	99.42	10/02/20	128.43	...	-29.01	19 of 97 - Sale <sup>25</sup>
	4.000	99.42	10/02/20	127.97	...	-28.55	20 of 97 - Sale <sup>25</sup>
	4.000	99.42	10/02/20	127.33	...	-27.91	21 of 97 - Sale <sup>25</sup>
	2.000	49.71	10/02/20	75.83	...	-26.12	22 of 97 - Sale <sup>25</sup>
	4.000	99.42	10/02/20	124.01	...	-24.59	23 of 97 - Sale <sup>25</sup>
	2.251	55.96	10/02/20	80.18	...	-24.22	24 of 97 - Sale <sup>25</sup>
	2.379	59.14	10/02/20	82.64	...	-23.50	25 of 97 - Sale <sup>25</sup>
	3.000	74.56	10/02/20	95.88	...	-21.32	26 of 97 - Sale <sup>25</sup>
	2.000	49.71	10/02/20	70.73	...	-21.02	27 of 97 - Sale <sup>25</sup>
	1.621	40.28	10/02/20	59.62	...	-19.34	28 of 97 - Sale <sup>25</sup>
	2.379	59.14	10/02/20	77.16	...	-18.02	29 of 97 - Sale <sup>25</sup>
	1.000	24.85	10/02/20	41.11	...	-16.26	30 of 97 - Sale <sup>25</sup>
	1.000	24.85	10/02/20	40.95	...	-16.10	31 of 97 - Sale <sup>25</sup>
	1.000	24.85	10/02/20	40.74	...	-15.89	32 of 97 - Sale <sup>25</sup>
	1.608	39.96	10/02/20	55.55	...	-15.59	33 of 97 - Sale <sup>25</sup>
	1.000	24.85	10/02/20	40.41	...	-15.56	34 of 97 - Sale <sup>25</sup>
	1.621	40.28	10/02/20	55.56	...	-15.28	35 of 97 - Sale <sup>25</sup>
	1.000	24.85	10/02/20	40.07	...	-15.22	36 of 97 - Sale <sup>25</sup>
	1.392	34.61	10/02/20	49.58	...	-14.97	37 of 97 - Sale <sup>25</sup>
	1.000	24.85	10/02/20	39.56	...	-14.71	38 of 97 - Sale <sup>25</sup>
	2.000	49.71	10/02/20	64.28	...	-14.57	39 of 97 - Sale <sup>25</sup>
	23.000	571.68	10/02/20	586.06	...	-14.38	40 of 97 - Sale <sup>25</sup>
	2.000	49.71	10/02/20	64.03	...	-14.32	41 of 97 - Sale <sup>25</sup>
	2.000	49.71	10/02/20	63.90	...	-14.19	42 of 97 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	1.000	24.86	10/02/20	38.47	...	-13.61	43 of 97 - Sale <sup>25</sup>
	1.000	24.85	10/02/20	38.46	...	-13.61	44 of 97 - Sale <sup>25</sup>
	1.000	24.86	10/02/20	37.91	...	-13.05	45 of 97 - Sale <sup>25</sup>
	1.000	24.85	10/02/20	37.87	...	-13.02	46 of 97 - Sale <sup>25</sup>
	1.000	24.85	10/02/20	37.75	...	-12.90	47 of 97 - Sale <sup>25</sup>
	1.000	24.85	10/02/20	37.35	...	-12.50	48 of 97 - Sale <sup>25</sup>
	1.000	24.85	10/02/20	37.14	...	-12.29	49 of 97 - Sale <sup>25</sup>
	1.000	24.85	10/02/20	37.06	...	-12.21	50 of 97 - Sale <sup>25</sup>
	0.749	18.60	10/02/20	30.78	...	-12.18	51 of 97 - Sale <sup>25</sup>
	1.000	24.85	10/02/20	36.69	...	-11.84	52 of 97 - Sale <sup>25</sup>
	1.000	24.86	10/02/20	36.48	...	-11.62	53 of 97 - Sale <sup>25</sup>
	1.000	24.86	10/02/20	36.38	...	-11.52	54 of 97 - Sale <sup>25</sup>
	1.000	24.85	10/02/20	35.79	...	-10.94	55 of 97 - Sale <sup>25</sup>
	2.000	49.71	10/02/20	60.59	...	-10.88	56 of 97 - Sale <sup>25</sup>
	1.000	24.85	10/02/20	35.65	...	-10.80	57 of 97 - Sale <sup>25</sup>
	1.000	24.85	10/02/20	35.64	...	-10.79	58 of 97 - Sale <sup>25</sup>
	1.000	24.85	10/02/20	35.59	...	-10.74	59 of 97 - Sale <sup>25</sup>
	1.000	24.85	10/02/20	35.57	...	-10.72	60 of 97 - Sale <sup>25</sup>
	1.000	24.85	10/02/20	35.57	...	-10.72	61 of 97 - Sale <sup>25</sup>
	1.000	24.85	10/02/20	35.40	...	-10.55	62 of 97 - Sale <sup>25</sup>
	0.621	15.43	10/02/20	25.88	...	-10.45	63 of 97 - Sale <sup>25</sup>
	2.000	49.71	10/02/20	59.81	...	-10.10	64 of 97 - Sale <sup>25</sup>
	1.000	24.86	10/02/20	34.74	...	-9.88	65 of 97 - Sale <sup>25</sup>
	1.000	24.85	10/02/20	34.22	...	-9.37	66 of 97 - Sale <sup>25</sup>
	0.608	15.10	10/02/20	24.39	...	-9.29	67 of 97 - Sale <sup>25</sup>
	2.000	49.71	10/02/20	57.76	...	-8.05	68 of 97 - Sale <sup>25</sup>
	1.621	40.28	10/02/20	47.91	...	-7.63	69 of 97 - Sale <sup>25</sup>
	0.608	15.10	10/02/20	22.50	...	-7.40	70 of 97 - Sale <sup>25</sup>
	1.000	24.85	10/02/20	32.10	...	-7.25	71 of 97 - Sale <sup>25</sup>
	1.000	24.85	10/02/20	32.01	...	-7.16	72 of 97 - Sale <sup>25</sup>
	1.000	24.85	10/02/20	32.00	...	-7.15	73 of 97 - Sale <sup>25</sup>
	1.000	24.85	10/02/20	31.67	...	-6.82	74 of 97 - Sale <sup>25</sup>
	1.379	34.28	10/02/20	40.95	...	-6.67	75 of 97 - Sale <sup>25</sup>
	1.000	24.85	10/02/20	31.47	...	-6.62	76 of 97 - Sale <sup>25</sup>

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(continued)

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	0.608	15.12	10/02/20	21.49	...	-6.37	77 of 97 - Sale <sup>25</sup>
	1.000	24.85	10/02/20	31.18	...	-6.33	78 of 97 - Sale <sup>25</sup>
	1.000	24.85	10/02/20	31.00	...	-6.15	79 of 97 - Sale <sup>25</sup>
	0.379	9.43	10/02/20	15.58	...	-6.15	80 of 97 - Sale <sup>25</sup>
	0.749	18.60	10/02/20	24.49	...	-5.89	81 of 97 - Sale <sup>25</sup>
	0.623	15.49	10/02/20	21.26	...	-5.77	82 of 97 - Sale <sup>25</sup>
	1.000	24.85	10/02/20	30.40	...	-5.55	83 of 97 - Sale <sup>25</sup>
	0.392	9.74	10/02/20	15.08	...	-5.34	84 of 97 - Sale <sup>25</sup>
	0.621	15.43	10/02/20	20.18	...	-4.75	85 of 97 - Sale <sup>25</sup>
	1.000	24.85	10/02/20	29.56	...	-4.71	86 of 97 - Sale <sup>25</sup>
	0.608	15.10	10/02/20	19.34	...	-4.24	87 of 97 - Sale <sup>25</sup>
	0.872	21.68	10/02/20	25.29	...	-3.61	88 of 97 - Sale <sup>25</sup>
	1.000	24.85	10/02/20	28.45	...	-3.60	89 of 97 - Sale <sup>25</sup>
	0.377	9.37	10/02/20	12.90	...	-3.53	90 of 97 - Sale <sup>25</sup>
	0.736	18.29	10/02/20	21.25	...	-2.96	91 of 97 - Sale <sup>25</sup>
	0.251	6.24	10/02/20	9.05	...	-2.81	92 of 97 - Sale <sup>25</sup>
	0.392	9.75	10/02/20	12.43	...	-2.68	93 of 97 - Sale <sup>25</sup>
	0.379	9.43	10/02/20	12.10	...	-2.67	94 of 97 - Sale <sup>25</sup>
	0.621	15.43	10/02/20	17.61	...	-2.18	95 of 97 - Sale <sup>25</sup>
	0.140	3.49	10/02/20	4.96	...	-1.47	96 of 97 - Sale <sup>25</sup>
	0.379	9.43	10/02/20	10.79	...	-1.36	97 of 97 - Sale <sup>25</sup>
10/02/20	192.000	4,772.02	Various	6,582.41	...	-1,810.39	Total of 97 transactions
	13 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	9.000	223.69	10/02/20	332.38	12.08 W	-96.61	1 of 13 - Sale <sup>25</sup>
	0.251	6.25	10/02/20	10.34	4.09 W	0.00	2 of 13 - Sale <sup>25</sup>
	1.000	24.85	10/02/20	42.81	17.96 W	0.00	3 of 13 - Sale <sup>25</sup>
	1.000	24.85	10/02/20	42.96	18.11 W	0.00	4 of 13 - Sale <sup>25</sup>
	1.000	24.85	10/02/20	42.98	18.13 W	0.00	5 of 13 - Sale <sup>25</sup>
	0.749	18.62	10/02/20	26.69	8.07 W	0.00	6 of 13 - Sale <sup>25</sup>
	1.000	24.85	10/02/20	35.31	10.46 W	0.00	7 of 13 - Sale <sup>25</sup>
	0.097	2.40	10/02/20	2.82	0.42 W	0.00	8 of 13 - Sale <sup>25</sup>
	0.295	7.32	10/02/20	8.47	1.15 W	0.00	9 of 13 - Sale <sup>25</sup>
	0.229	5.69	10/02/20	8.29	2.60 W	0.00	10 of 13 - Sale <sup>25</sup>
	1.000	24.85	10/02/20	36.90	12.05 W	0.00	11 of 13 - Sale <sup>25</sup>

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(continued)

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	1.000	24.85	10/02/20	35.97	11.12 W	0.00	12 of 13 - Sale <sup>25</sup>
	1.379	34.28	10/02/20	51.06	16.78 W	0.00	13 of 13 - Sale <sup>25</sup>
10/02/20	18.000	447.35	Various	676.98	133.02 W	-96.61	Total of 13 transactions
	13 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	1.000	23.50	10/06/20	41.49	...	-17.99	1 of 13 - Sale <sup>25</sup>
	1.000	23.50	10/06/20	41.47	...	-17.97	2 of 13 - Sale <sup>25</sup>
	1.000	23.50	10/06/20	41.32	...	-17.82	3 of 13 - Sale <sup>25</sup>
	1.379	32.42	10/06/20	49.00	...	-16.58	4 of 13 - Sale <sup>25</sup>
	1.000	23.50	10/06/20	35.45	...	-11.95	5 of 13 - Sale <sup>25</sup>
	1.000	23.50	10/06/20	35.41	...	-11.91	6 of 13 - Sale <sup>25</sup>
	1.000	23.50	10/06/20	34.48	...	-10.98	7 of 13 - Sale <sup>25</sup>
	1.000	23.50	10/06/20	33.82	...	-10.32	8 of 13 - Sale <sup>25</sup>
	0.749	17.60	10/06/20	25.57	...	-7.97	9 of 13 - Sale <sup>25</sup>
	0.251	5.91	10/06/20	9.96	...	-4.05	10 of 13 - Sale <sup>25</sup>
	0.229	5.38	10/06/20	7.95	...	-2.57	11 of 13 - Sale <sup>25</sup>
	0.295	6.92	10/06/20	8.03	...	-1.11	12 of 13 - Sale <sup>25</sup>
	0.097	2.27	10/06/20	2.68	...	-0.41	13 of 13 - Sale <sup>25</sup>
10/06/20	10.000	235.00	Various	366.63	...	-131.63	Total of 13 transactions
	2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.						
	90.000	1,557.85	12/01/20	1,574.10	...	-16.25	1 of 2 - Sale <sup>25</sup>
	5.000	86.55	12/01/20	87.50	...	-0.95	2 of 2 - Sale <sup>25</sup>
12/01/20	95.000	1,644.40	Various	1,661.60	...	-17.20	Total of 2 transactions
	<b>Security total:</b>	<b>285,872.92</b>		<b>316,173.26</b>	<b>29,626.65 W</b>	<b>-673.69</b>	
NLIGHT, INC. COMMON STOCK / CUSIP: 65487K100 / Symbol:							
	2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.						
	25.000	573.73	07/09/20	581.64	...	-7.91	1 of 2 - Sale <sup>25</sup>
	25.000	576.73	07/09/20	578.50	...	-1.77	2 of 2 - Sale <sup>25</sup>
07/09/20	50.000	1,150.46	Various	1,160.14	...	-9.68	Total of 2 transactions
07/09/20	25.000	575.36	07/09/20	578.50	3.14 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,725.82</b>		<b>1,738.64</b>	<b>3.14 W</b>	<b>-9.68</b>	

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NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
06/26/20	200.000	880.56	06/26/20	882.00	1.44 W	0.00	Sale <sup>25</sup>
	2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.						
	50.000	205.14	07/10/20	206.36	1.22 W	0.00	1 of 2 - Sale <sup>25</sup>
	100.000	410.27	07/10/20	414.47	4.20 W	0.00	2 of 2 - Sale <sup>25</sup>
07/10/20	150.000	615.41	Various	620.83	5.42 W	0.00	Total of 2 transactions
	2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	50.000	222.11	07/17/20	222.11	...	0.00	1 of 2 - Sale <sup>25</sup>
	25.000	111.05	07/17/20	110.50	...	0.55	2 of 2 - Sale <sup>25</sup>
07/17/20	75.000	333.16	Various	332.61	...	0.55	Total of 2 transactions
	2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	100.000	444.23	07/17/20	446.20	0.49 W	-1.48	1 of 2 - Sale <sup>25</sup>
	50.000	222.11	07/17/20	222.97	0.86 W	0.00	2 of 2 - Sale <sup>25</sup>
07/17/20	150.000	666.34	Various	669.17	1.35 W	-1.48	Total of 2 transactions
	2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	50.000	222.14	07/21/20	224.35	...	-2.21	1 of 2 - Sale <sup>25</sup>
	25.000	111.07	07/21/20	112.24	...	-1.17	2 of 2 - Sale <sup>25</sup>
07/21/20	75.000	333.21	Various	336.59	...	-3.38	Total of 2 transactions
	8 transactions for 10/29/20. Total proceeds and cost reported to the IRS.						
	523.000	1,741.49	10/29/20	1,752.05	...	-10.56	1 of 8 - Sale <sup>25</sup>
	200.000	667.96	10/29/20	670.00	...	-2.04	2 of 8 - Sale <sup>25</sup>
	13.000	43.29	10/29/20	43.68	...	-0.39	3 of 8 - Sale <sup>25</sup>
	14.000	46.76	10/29/20	46.90	...	-0.14	4 of 8 - Sale <sup>25</sup>
	9.000	30.06	10/29/20	30.15	...	-0.09	5 of 8 - Sale <sup>25</sup>
	2.000	6.66	10/29/20	6.72	...	-0.06	6 of 8 - Sale <sup>25</sup>
	1.000	3.34	10/29/20	3.35	...	-0.01	7 of 8 - Sale <sup>25</sup>
	1.000	3.34	10/29/20	3.35	...	-0.01	8 of 8 - Sale <sup>25</sup>
10/29/20	763.000	2,542.90	Various	2,556.20	...	-13.30	Total of 8 transactions
	2 transactions for 10/29/20. Total proceeds and cost reported to the IRS.						
	100.000	333.99	10/29/20	335.00	0.13 W	-0.88	1 of 2 - Sale <sup>25</sup>
	2.000	6.68	10/29/20	6.70	0.02 W	0.00	2 of 2 - Sale <sup>25</sup>
10/29/20	102.000	340.67	Various	341.70	0.15 W	-0.88	Total of 2 transactions
	<b>Security total:</b>	<b>5,712.25</b>		<b>5,739.10</b>	<b>8.36 W</b>	<b>-18.49</b>	

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NORDSTROM, INC. / CUSIP: 655664100 / Symbol:							
05/18/20	20.000	339.73	05/15/20	329.50	...	10.23	Sale <sup>25</sup>
05/29/20	50.000	820.03	05/21/20	799.00	...	21.03	Sale <sup>25</sup>
05/29/20	25.000	410.02	05/27/20	476.80	66.78 W	0.00	Sale <sup>25</sup>
06/02/20	25.000	416.38	06/01/20	496.03	79.65 W	0.00	Sale <sup>25</sup>
	2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.						
	25.000	447.80	06/11/20	539.53	...	-91.73	1 of 2 - Sale <sup>25</sup>
	25.000	447.81	06/11/20	459.87	...	-12.06	2 of 2 - Sale <sup>25</sup>
06/12/20	50.000	895.61	Various	999.40	...	-103.79	Total of 2 transactions
	<b>Security total:</b>	<b>2,881.77</b>		<b>3,100.73</b>	<b>146.43 W</b>	<b>-72.53</b>	
NOVABAY PHARMACEUTICALS, INC. / CUSIP: 66987P201 / Symbol:							
	4 transactions for 03/23/20. Total proceeds and cost reported to the IRS.						
	100.000	78.38	03/19/20	99.99	21.61 W	0.00	1 of 4 - Sale <sup>25</sup>
	100.000	78.38	03/19/20	119.59	41.21 W	0.00	2 of 4 - Sale <sup>25</sup>
	100.000	78.38	03/19/20	206.21	127.83 W	0.00	3 of 4 - Sale <sup>25</sup>
	200.000	156.76	03/19/20	330.00	173.24 W	0.00	4 of 4 - Sale <sup>25</sup>
03/23/20	500.000	391.90	Various	755.79	363.89 W	0.00	Total of 4 transactions
	4 transactions for 04/02/20. Total proceeds and cost reported to the IRS.						
	100.000	78.99	04/01/20	219.89	...	-140.90	1 of 4 - Sale <sup>25</sup>
	100.000	79.98	04/01/20	172.65	...	-92.67	2 of 4 - Sale <sup>25</sup>
	68.000	53.71	04/01/20	121.50	...	-67.79	3 of 4 - Sale <sup>25</sup>
	32.000	25.60	04/01/20	27.53	...	-1.93	4 of 4 - Sale <sup>25</sup>
04/02/20	300.000	238.28	Various	541.57	...	-303.29	Total of 4 transactions
	2 transactions for 04/02/20. Total proceeds and cost reported to the IRS.						
	100.000	79.99	04/01/20	172.64	63.00 W	-29.65	1 of 2 - Sale <sup>25</sup>
	100.000	79.99	04/01/20	213.85	133.86 W	0.00	2 of 2 - Sale <sup>25</sup>
04/02/20	200.000	159.98	Various	386.49	196.86 W	-29.65	Total of 2 transactions
06/19/20	225.000	202.47	06/18/20	254.25	51.78 W	0.00	Sale <sup>25</sup>
	16 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	200.000	312.01	07/13/20	340.03	...	-28.02	1 of 16 - Sale <sup>25</sup>
	100.000	156.00	07/13/20	170.52	...	-14.52	2 of 16 - Sale <sup>25</sup>
	45.000	70.20	07/13/20	78.09	...	-7.89	3 of 16 - Sale <sup>25</sup>
	50.000	85.24	07/13/20	88.00	...	-2.76	4 of 16 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVBAY PHARMACEUTICALS, INC. / CUSIP: 66987P201 / Symbol: (cont'd)							
	5.000	7.80	07/13/20	8.83	...	-1.03	5 of 16 - Sale <sup>25</sup>
	10.000	18.70	07/13/20	18.00	...	0.70	6 of 16 - Sale <sup>25</sup>
	25.000	46.75	07/13/20	45.01	...	1.74	7 of 16 - Sale <sup>25</sup>
	25.000	46.75	07/13/20	45.00	...	1.75	8 of 16 - Sale <sup>25</sup>
	25.000	46.75	07/13/20	45.00	...	1.75	9 of 16 - Sale <sup>25</sup>
	100.000	186.99	07/13/20	185.00	...	1.99	10 of 16 - Sale <sup>25</sup>
	40.000	74.79	07/13/20	72.02	...	2.77	11 of 16 - Sale <sup>25</sup>
	200.000	373.98	07/13/20	370.00	...	3.98	12 of 16 - Sale <sup>25</sup>
	250.000	467.46	07/13/20	462.50	...	4.96	13 of 16 - Sale <sup>25</sup>
	100.000	186.98	07/13/20	180.05	...	6.93	14 of 16 - Sale <sup>25</sup>
	400.000	747.93	07/13/20	740.00	...	7.93	15 of 16 - Sale <sup>25</sup>
	325.000	607.69	07/13/20	575.25	...	32.44	16 of 16 - Sale <sup>25</sup>
07/13/20	1,900.000	3,436.02	Various	3,423.30	...	12.72	Total of 16 transactions
	13 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	200.000	340.97	07/13/20	352.00	5.52 W	-5.51	1 of 13 - Sale <sup>25</sup>
	100.000	186.99	07/13/20	200.01	13.02 W	0.00	2 of 13 - Sale <sup>25</sup>
	100.000	186.99	07/13/20	200.02	13.03 W	0.00	3 of 13 - Sale <sup>25</sup>
	40.000	74.79	07/13/20	76.01	1.22 W	0.00	4 of 13 - Sale <sup>25</sup>
	25.000	46.75	07/13/20	50.00	3.25 W	0.00	5 of 13 - Sale <sup>25</sup>
	35.000	65.45	07/13/20	66.51	1.06 W	0.00	6 of 13 - Sale <sup>25</sup>
	25.000	46.75	07/13/20	47.50	0.75 W	0.00	7 of 13 - Sale <sup>25</sup>
	100.000	186.98	07/13/20	190.03	3.05 W	0.00	8 of 13 - Sale <sup>25</sup>
	25.000	46.75	07/13/20	47.50	0.75 W	0.00	9 of 13 - Sale <sup>25</sup>
	50.000	89.25	07/13/20	92.50	3.25 W	0.00	10 of 13 - Sale <sup>25</sup>
	45.000	76.72	07/13/20	81.01	4.29 W	0.00	11 of 13 - Sale <sup>25</sup>
	5.000	8.52	07/13/20	9.15	0.63 W	0.00	12 of 13 - Sale <sup>25</sup>
	200.000	340.97	07/13/20	353.00	12.03 W	0.00	13 of 13 - Sale <sup>25</sup>
07/13/20	950.000	1,697.88	Various	1,765.24	61.85 W	-5.51	Total of 13 transactions
	<b>Security total:</b>	<b>6,126.53</b>		<b>7,126.64</b>	<b>674.38 W</b>	<b>-325.73</b>	

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 527125207
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NOVAN, INC. COMMON STOCK / CUSIP: 66988N106 / Symbol:							
03/10/20	300.000	233.96	03/09/20	161.37	...	72.59	Sale <sup>25</sup>
07/10/20	1,000.000	506.07	07/10/20	524.90	18.83 W	0.00	Sale <sup>25</sup>
	12 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	188.000	216.17	07/21/20	300.70	...	-84.53	1 of 12 - Sale <sup>25</sup>
	238.000	273.66	07/21/20	357.12	...	-83.46	2 of 12 - Sale <sup>25</sup>
	105.000	120.73	07/21/20	158.47	...	-37.74	3 of 12 - Sale <sup>25</sup>
	95.000	109.24	07/21/20	143.35	...	-34.11	4 of 12 - Sale <sup>25</sup>
	100.000	114.99	07/21/20	147.53	...	-32.54	5 of 12 - Sale <sup>25</sup>
	88.000	101.19	07/21/20	129.82	...	-28.63	6 of 12 - Sale <sup>25</sup>
	62.000	71.29	07/21/20	99.17	...	-27.88	7 of 12 - Sale <sup>25</sup>
	62.000	71.29	07/21/20	91.48	...	-20.19	8 of 12 - Sale <sup>25</sup>
	50.000	59.99	07/21/20	75.46	...	-15.47	9 of 12 - Sale <sup>25</sup>
	12.000	13.80	07/21/20	18.00	...	-4.20	10 of 12 - Sale <sup>25</sup>
	138.000	151.79	07/21/20	129.39	...	22.40	11 of 12 - Sale <sup>25</sup>
	362.000	398.16	07/21/20	339.42	...	58.74	12 of 12 - Sale <sup>25</sup>
07/21/20	1,500.000	1,702.30	Various	1,989.91	...	-287.61	Total of 12 transactions
	23 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	62.000	68.19	07/21/20	73.71	5.52 W	0.00	1 of 23 - Sale <sup>25</sup>
	438.000	481.73	07/21/20	520.71	38.98 W	0.00	2 of 23 - Sale <sup>25</sup>
	62.000	68.19	07/21/20	72.54	4.35 W	0.00	3 of 23 - Sale <sup>25</sup>
	100.000	109.99	07/21/20	117.00	7.01 W	0.00	4 of 23 - Sale <sup>25</sup>
	338.000	371.75	07/21/20	395.46	23.71 W	0.00	5 of 23 - Sale <sup>25</sup>
	62.000	68.19	07/21/20	78.68	10.49 W	0.00	6 of 23 - Sale <sup>25</sup>
	343.000	377.25	07/21/20	435.27	58.02 W	0.00	7 of 23 - Sale <sup>25</sup>
	62.000	68.19	07/21/20	77.51	9.32 W	0.00	8 of 23 - Sale <sup>25</sup>
	95.000	104.49	07/21/20	120.54	16.05 W	0.00	9 of 23 - Sale <sup>25</sup>
	100.000	109.98	07/21/20	125.00	15.02 W	0.00	10 of 23 - Sale <sup>25</sup>
	88.000	96.79	07/21/20	110.00	13.21 W	0.00	11 of 23 - Sale <sup>25</sup>
	12.000	13.20	07/21/20	15.72	2.52 W	0.00	12 of 23 - Sale <sup>25</sup>
	62.000	68.19	07/21/20	87.37	19.18 W	0.00	13 of 23 - Sale <sup>25</sup>
	95.000	104.49	07/21/20	133.85	29.36 W	0.00	14 of 23 - Sale <sup>25</sup>
	238.000	261.76	07/21/20	311.82	50.06 W	0.00	15 of 23 - Sale <sup>25</sup>
	343.000	377.25	07/21/20	483.34	106.09 W	0.00	16 of 23 - Sale <sup>25</sup>
	62.000	69.12	07/21/20	86.20	17.08 W	0.00	17 of 23 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NOVAN, INC. COMMON STOCK / CUSIP: 66988N106 / Symbol: (cont'd)							
	88.000	98.11	07/21/20	122.33	24.22 W	0.00	18 of 23 - Sale <sup>25</sup>
	100.000	111.49	07/21/20	139.02	27.53 W	0.00	19 of 23 - Sale <sup>25</sup>
	12.000	14.40	07/21/20	17.16	2.76 W	0.00	20 of 23 - Sale <sup>25</sup>
	62.000	74.39	07/21/20	94.82	20.43 W	0.00	21 of 23 - Sale <sup>25</sup>
	188.000	225.57	07/21/20	287.51	61.94 W	0.00	22 of 23 - Sale <sup>25</sup>
	238.000	285.56	07/21/20	340.42	54.86 W	0.00	23 of 23 - Sale <sup>25</sup>
07/21/20	3,250.000	3,628.27	Various	4,245.98	617.71 W	0.00	Total of 23 transactions
	<b>Security total:</b>	<b>6,070.60</b>		<b>6,922.16</b>	<b>636.54 W</b>	<b>-215.02</b>	
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol:							
03/11/20	50.000	504.98	03/10/20	540.00	24.51 W	-10.51	Sale <sup>25</sup>
03/20/20	35.000	393.75	03/18/20	408.68	...	-14.93	Sale <sup>25</sup>
04/28/20	5.000	105.99	04/27/20	105.95	...	0.04	Sale <sup>25</sup>
	2 transactions for 04/28/20. Total proceeds and cost reported to the IRS.						
	15.000	317.99	04/27/20	323.25	5.26 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	106.00	04/27/20	107.80	1.80 W	0.00	2 of 2 - Sale <sup>25</sup>
04/28/20	20.000	423.99	Various	431.05	7.06 W	0.00	Total of 2 transactions
	4 transactions for 05/04/20. Total proceeds and cost reported to the IRS.						
	5.000	84.01	05/01/20	90.70	6.69 W	0.00	1 of 4 - Sale <sup>25</sup>
	15.000	252.02	05/01/20	271.96	19.94 W	0.00	2 of 4 - Sale <sup>25</sup>
	30.000	504.03	05/01/20	533.40	29.37 W	0.00	3 of 4 - Sale <sup>25</sup>
	50.000	840.05	05/01/20	899.56	59.51 W	0.00	4 of 4 - Sale <sup>25</sup>
05/04/20	100.000	1,680.11	Various	1,795.62	115.51 W	0.00	Total of 4 transactions
	5 transactions for 05/14/20. Total proceeds and cost reported to the IRS.						
	5.000	187.55	05/13/20	213.44	25.89 W	0.00	1 of 5 - Sale <sup>25</sup>
	15.000	562.64	05/13/20	640.19	77.55 W	0.00	2 of 5 - Sale <sup>25</sup>
	10.000	375.09	05/13/20	423.96	48.87 W	0.00	3 of 5 - Sale <sup>25</sup>
	10.000	375.09	05/14/20	396.33	21.24 W	0.00	4 of 5 - Sale <sup>25</sup>
	20.000	750.18	05/14/20	788.44	38.26 W	0.00	5 of 5 - Sale <sup>25</sup>
05/14/20	60.000	2,250.55	Various	2,462.36	211.81 W	0.00	Total of 5 transactions

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	15.000	749.98	05/15/20	687.61	...	62.37	1 of 2 - Sale <sup>25</sup>
	10.000	499.99	05/15/20	416.84	...	83.15	2 of 2 - Sale <sup>25</sup>
05/18/20	25.000	1,249.97	Various	1,104.45	...	145.52	Total of 2 transactions
3 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	10.000	528.19	05/18/20	543.79	15.60 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	264.09	05/18/20	294.55	30.46 W	0.00	2 of 3 - Sale <sup>25</sup>
	9.000	475.37	05/19/20	594.27	118.90 W	0.00	3 of 3 - Sale <sup>25</sup>
05/20/20	24.000	1,267.65	Various	1,432.61	164.96 W	0.00	Total of 3 transactions
3 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	1.000	51.90	05/19/20	66.03	14.13 W	0.00	1 of 3 - Sale <sup>25</sup>
	4.000	207.59	05/20/20	226.71	19.12 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	259.49	05/20/20	283.35	23.86 W	0.00	3 of 3 - Sale <sup>25</sup>
05/21/20	10.000	518.98	Various	576.09	57.11 W	0.00	Total of 3 transactions
4 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	1.000	45.16	05/22/20	51.41	6.25 W	0.00	1 of 4 - Sale <sup>25</sup>
	10.000	451.65	05/22/20	511.17	59.52 W	0.00	2 of 4 - Sale <sup>25</sup>
	9.000	406.48	05/22/20	433.29	26.81 W	0.00	3 of 4 - Sale <sup>25</sup>
	10.000	451.65	05/26/20	541.81	90.16 W	0.00	4 of 4 - Sale <sup>25</sup>
05/27/20	30.000	1,354.94	Various	1,537.68	182.74 W	0.00	Total of 4 transactions
3 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	1.000	52.59	05/27/20	45.59	...	7.00	1 of 3 - Sale <sup>25</sup>
	1.000	52.59	05/27/20	45.38	...	7.21	2 of 3 - Sale <sup>25</sup>
	3.000	157.77	05/27/20	135.09	...	22.68	3 of 3 - Sale <sup>25</sup>
06/01/20	5.000	262.95	Various	226.06	...	36.89	Total of 3 transactions
3 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	1.000	49.35	05/27/20	45.03	...	4.32	1 of 3 - Sale <sup>25</sup>
	9.000	444.14	05/27/20	410.35	...	33.79	2 of 3 - Sale <sup>25</sup>
	6.000	296.09	05/29/20	281.04	...	15.05	3 of 3 - Sale <sup>25</sup>
06/02/20	16.000	789.58	Various	736.42	...	53.16	Total of 3 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	4.000	197.39	05/29/20	205.49	8.10 W	0.00	1 of 2 - Sale <sup>25</sup>
	9.000	444.14	05/29/20	532.89	88.75 W	0.00	2 of 2 - Sale <sup>25</sup>
06/02/20	13.000	641.53	Various	738.38	96.85 W	0.00	Total of 2 transactions
4 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	1.000	47.89	05/29/20	52.09	4.20 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	47.88	06/02/20	64.03	16.15 W	0.00	2 of 4 - Sale <sup>25</sup>
	3.000	143.66	06/02/20	164.02	20.36 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	47.81	06/02/20	54.64	6.83 W	0.00	4 of 4 - Sale <sup>25</sup>
06/03/20	6.000	287.24	Various	334.78	47.54 W	0.00	Total of 4 transactions
4 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1.000	45.18	06/08/20	50.42	5.24 W	0.00	1 of 4 - Sale <sup>25</sup>
	9.000	406.61	06/08/20	451.10	44.49 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	45.18	06/08/20	48.94	3.76 W	0.00	3 of 4 - Sale <sup>25</sup>
	4.000	180.71	06/08/20	195.80	15.09 W	0.00	4 of 4 - Sale <sup>25</sup>
06/10/20	15.000	677.68	Various	746.26	68.58 W	0.00	Total of 4 transactions
5 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	1.000	46.50	06/12/20	55.42	8.92 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	46.50	06/12/20	51.51	5.01 W	0.00	2 of 5 - Sale <sup>25</sup>
	4.000	185.99	06/12/20	190.34	4.35 W	0.00	3 of 5 - Sale <sup>25</sup>
	9.000	418.49	06/12/20	436.85	18.36 W	0.00	4 of 5 - Sale <sup>25</sup>
	10.000	464.99	06/12/20	545.76	80.77 W	0.00	5 of 5 - Sale <sup>25</sup>
06/15/20	25.000	1,162.47	Various	1,279.88	117.41 W	0.00	Total of 5 transactions
4 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	1.000	61.54	06/17/20	60.42	...	1.12	1 of 4 - Sale <sup>25</sup>
	1.000	61.54	06/17/20	58.94	...	2.60	2 of 4 - Sale <sup>25</sup>
	1.000	61.54	06/17/20	58.37	...	3.17	3 of 4 - Sale <sup>25</sup>
	9.000	553.85	06/17/20	541.11	...	12.74	4 of 4 - Sale <sup>25</sup>
06/22/20	12.000	738.47	Various	718.84	...	19.63	Total of 4 transactions
11 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	8.000	492.31	06/17/20	512.23	19.92 W	0.00	1 of 11 - Sale <sup>25</sup>
	1.000	61.54	06/17/20	70.31	8.77 W	0.00	2 of 11 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	1.000	61.54	06/17/20	62.01	0.47 W	0.00	3 of 11 - Sale <sup>25</sup>
	3.000	184.62	06/17/20	185.90	1.28 W	0.00	4 of 11 - Sale <sup>25</sup>
	1.000	61.54	06/19/20	73.11	11.57 W	0.00	5 of 11 - Sale <sup>25</sup>
	1.000	61.54	06/19/20	69.20	7.66 W	0.00	6 of 11 - Sale <sup>25</sup>
	4.000	246.15	06/19/20	261.09	14.94 W	0.00	7 of 11 - Sale <sup>25</sup>
	4.000	246.15	06/19/20	271.84	25.69 W	0.00	8 of 11 - Sale <sup>25</sup>
	1.000	61.54	06/19/20	66.64	5.10 W	0.00	9 of 11 - Sale <sup>25</sup>
	8.000	492.31	06/19/20	533.12	40.81 W	0.00	10 of 11 - Sale <sup>25</sup>
	6.000	369.23	06/19/20	436.06	66.83 W	0.00	11 of 11 - Sale <sup>25</sup>
06/22/20	38.000	2,338.47	Various	2,541.51	203.04 W	0.00	Total of 11 transactions
	2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.						
	4.000	426.55	07/07/20	463.39	36.84 W	0.00	1 of 2 - Sale <sup>25</sup>
	3.000	319.91	07/07/20	330.84	10.93 W	0.00	2 of 2 - Sale <sup>25</sup>
07/07/20	7.000	746.46	Various	794.23	47.77 W	0.00	Total of 2 transactions
	4 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	1.000	115.00	07/15/20	111.22	...	3.78	1 of 4 - Sale <sup>25</sup>
	1.000	115.00	07/15/20	109.02	...	5.98	2 of 4 - Sale <sup>25</sup>
	4.000	459.99	07/15/20	444.16	...	15.83	3 of 4 - Sale <sup>25</sup>
	3.000	344.99	07/15/20	326.93	...	18.06	4 of 4 - Sale <sup>25</sup>
07/16/20	9.000	1,034.98	Various	991.33	...	43.65	Total of 4 transactions
	12 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	1.000	115.00	07/15/20	117.32	2.32 W	0.00	1 of 12 - Sale <sup>25</sup>
	1.000	115.00	07/16/20	128.30	13.30 W	0.00	2 of 12 - Sale <sup>25</sup>
	1.000	115.00	07/16/20	124.39	9.39 W	0.00	3 of 12 - Sale <sup>25</sup>
	4.000	459.99	07/16/20	481.86	21.87 W	0.00	4 of 12 - Sale <sup>25</sup>
	1.000	115.00	07/16/20	121.83	6.83 W	0.00	5 of 12 - Sale <sup>25</sup>
	4.000	459.99	07/16/20	487.33	27.34 W	0.00	6 of 12 - Sale <sup>25</sup>
	4.000	459.99	07/16/20	492.61	32.62 W	0.00	7 of 12 - Sale <sup>25</sup>
	3.000	344.99	07/16/20	360.44	15.45 W	0.00	8 of 12 - Sale <sup>25</sup>
	4.000	459.99	07/16/20	486.42	26.43 W	0.00	9 of 12 - Sale <sup>25</sup>
	6.000	689.98	07/16/20	765.86	75.88 W	0.00	10 of 12 - Sale <sup>25</sup>
	4.000	459.99	07/16/20	502.86	42.87 W	0.00	11 of 12 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
07/16/20	8.000	919.97	07/16/20	932.04	12.07 W	0.00	12 of 12 - Sale <sup>25</sup>
	41.000	4,714.89	Various	5,001.26	286.37 W	0.00	Total of 12 transactions
	10 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	1.000	138.29	07/16/20	134.78	...	3.51	1 of 10 - Sale <sup>25</sup>
	1.000	138.28	07/16/20	132.22	...	6.06	2 of 10 - Sale <sup>25</sup>
	1.000	138.29	07/16/20	127.71	...	10.58	3 of 10 - Sale <sup>25</sup>
	2.000	276.52	07/16/20	264.45	...	12.07	4 of 10 - Sale <sup>25</sup>
	2.000	276.59	07/16/20	264.37	...	12.22	5 of 10 - Sale <sup>25</sup>
	3.000	414.89	07/16/20	400.51	...	14.38	6 of 10 - Sale <sup>25</sup>
	4.000	553.14	07/16/20	523.43	...	29.71	7 of 10 - Sale <sup>25</sup>
	1.000	138.24	07/17/20	134.74	...	3.50	8 of 10 - Sale <sup>25</sup>
	2.000	276.47	07/17/20	272.68	...	3.79	9 of 10 - Sale <sup>25</sup>
	6.000	829.42	07/17/20	815.06	...	14.36	10 of 10 - Sale <sup>25</sup>
07/17/20	23.000	3,180.13	Various	3,069.95	...	110.18	Total of 10 transactions
	20 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	1.000	138.29	07/16/20	138.69	0.40 W	0.00	1 of 20 - Sale <sup>25</sup>
	3.000	414.89	07/17/20	420.00	5.11 W	0.00	2 of 20 - Sale <sup>25</sup>
	4.000	553.19	07/17/20	565.83	12.64 W	0.00	3 of 20 - Sale <sup>25</sup>
	1.000	138.30	07/17/20	143.01	4.71 W	0.00	4 of 20 - Sale <sup>25</sup>
	1.000	138.29	07/17/20	147.50	9.21 W	0.00	5 of 20 - Sale <sup>25</sup>
	1.000	138.24	07/17/20	147.49	9.25 W	0.00	6 of 20 - Sale <sup>25</sup>
	1.000	138.24	07/17/20	147.48	9.24 W	0.00	7 of 20 - Sale <sup>25</sup>
	3.000	414.71	07/17/20	442.42	27.71 W	0.00	8 of 20 - Sale <sup>25</sup>
	4.000	552.95	07/17/20	582.18	29.23 W	0.00	9 of 20 - Sale <sup>25</sup>
	3.000	414.71	07/17/20	427.19	12.48 W	0.00	10 of 20 - Sale <sup>25</sup>
	4.000	552.95	07/17/20	575.42	22.47 W	0.00	11 of 20 - Sale <sup>25</sup>
	1.000	138.24	07/17/20	145.40	7.16 W	0.00	12 of 20 - Sale <sup>25</sup>
	1.000	138.24	07/17/20	149.78	11.54 W	0.00	13 of 20 - Sale <sup>25</sup>
	1.000	138.24	07/17/20	149.82	11.58 W	0.00	14 of 20 - Sale <sup>25</sup>
	1.000	138.24	07/17/20	149.81	11.57 W	0.00	15 of 20 - Sale <sup>25</sup>
	7.000	967.65	07/17/20	983.99	16.34 W	0.00	16 of 20 - Sale <sup>25</sup>
	10.000	1,382.36	07/17/20	1,428.00	45.64 W	0.00	17 of 20 - Sale <sup>25</sup>
	2.000	276.47	07/17/20	284.68	8.21 W	0.00	18 of 20 - Sale <sup>25</sup>
	1.000	138.24	07/17/20	151.58	13.34 W	0.00	19 of 20 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	2.000	276.46	07/17/20	303.15	26.69 W	0.00	20 of 20 - Sale <sup>25</sup>
07/17/20	52.000	7,188.90	Various	7,483.42	294.52 W	0.00	Total of 20 transactions
	35 transactions for 07/20/20. Total proceeds and cost reported to the IRS.						
	3.000	408.84	07/17/20	430.59	21.75 W	0.00	1 of 35 - Sale <sup>25</sup>
	4.000	545.13	07/17/20	579.95	34.82 W	0.00	2 of 35 - Sale <sup>25</sup>
	1.000	136.28	07/17/20	146.53	10.25 W	0.00	3 of 35 - Sale <sup>25</sup>
	1.000	136.28	07/17/20	150.91	14.63 W	0.00	4 of 35 - Sale <sup>25</sup>
	1.000	136.29	07/17/20	150.95	14.66 W	0.00	5 of 35 - Sale <sup>25</sup>
	1.000	134.78	07/17/20	150.94	16.16 W	0.00	6 of 35 - Sale <sup>25</sup>
	4.000	539.13	07/17/20	586.71	47.58 W	0.00	7 of 35 - Sale <sup>25</sup>
	3.000	404.35	07/17/20	431.80	27.45 W	0.00	8 of 35 - Sale <sup>25</sup>
	7.000	943.48	07/17/20	991.93	48.45 W	0.00	9 of 35 - Sale <sup>25</sup>
	3.000	404.35	07/17/20	441.54	37.19 W	0.00	10 of 35 - Sale <sup>25</sup>
	4.000	539.13	07/17/20	594.54	55.41 W	0.00	11 of 35 - Sale <sup>25</sup>
	1.000	134.78	07/17/20	150.18	15.40 W	0.00	12 of 35 - Sale <sup>25</sup>
	1.000	134.78	07/17/20	154.56	19.78 W	0.00	13 of 35 - Sale <sup>25</sup>
	1.000	134.78	07/17/20	154.59	19.81 W	0.00	14 of 35 - Sale <sup>25</sup>
	1.000	134.78	07/17/20	153.27	18.49 W	0.00	15 of 35 - Sale <sup>25</sup>
	2.000	269.57	07/17/20	306.55	36.98 W	0.00	16 of 35 - Sale <sup>25</sup>
	2.000	269.57	07/17/20	288.07	18.50 W	0.00	17 of 35 - Sale <sup>25</sup>
	7.000	943.48	07/17/20	1,011.46	67.98 W	0.00	18 of 35 - Sale <sup>25</sup>
	3.000	404.34	07/17/20	419.79	15.45 W	0.00	19 of 35 - Sale <sup>25</sup>
	1.000	134.53	07/20/20	151.61	17.08 W	0.00	20 of 35 - Sale <sup>25</sup>
	4.000	538.11	07/20/20	589.36	51.25 W	0.00	21 of 35 - Sale <sup>25</sup>
	1.000	134.53	07/20/20	143.15	8.62 W	0.00	22 of 35 - Sale <sup>25</sup>
	4.000	538.10	07/20/20	570.81	32.71 W	0.00	23 of 35 - Sale <sup>25</sup>
	3.000	398.99	07/20/20	434.79	35.80 W	0.00	24 of 35 - Sale <sup>25</sup>
	2.000	265.99	07/20/20	285.40	19.41 W	0.00	25 of 35 - Sale <sup>25</sup>
	3.000	398.99	07/20/20	444.67	45.68 W	0.00	26 of 35 - Sale <sup>25</sup>
	2.000	265.99	07/20/20	299.37	33.38 W	0.00	27 of 35 - Sale <sup>25</sup>
	2.000	266.00	07/20/20	298.60	32.60 W	0.00	28 of 35 - Sale <sup>25</sup>
	1.000	133.00	07/20/20	150.85	17.85 W	0.00	29 of 35 - Sale <sup>25</sup>
	1.000	133.00	07/20/20	155.23	22.23 W	0.00	30 of 35 - Sale <sup>25</sup>
	1.000	132.99	07/20/20	155.26	22.27 W	0.00	31 of 35 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	1.000	137.23	07/20/20	150.86	13.63 W	0.00	32 of 35 - Sale <sup>25</sup>
	2.000	274.45	07/20/20	301.72	27.27 W	0.00	33 of 35 - Sale <sup>25</sup>
	6.000	823.36	07/20/20	852.51	29.15 W	0.00	34 of 35 - Sale <sup>25</sup>
	1.000	135.76	07/20/20	142.08	6.32 W	0.00	35 of 35 - Sale <sup>25</sup>
07/20/20	85.000	11,465.14	Various	12,421.13	955.99 W	0.00	Total of 35 transactions
	45 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	1.000	137.29	07/20/20	143.61	6.32 W	0.00	1 of 45 - Sale <sup>25</sup>
	1.000	137.29	07/20/20	152.63	15.34 W	0.00	2 of 45 - Sale <sup>25</sup>
	3.000	411.86	07/20/20	445.10	33.24 W	0.00	3 of 45 - Sale <sup>25</sup>
	1.000	135.12	07/20/20	148.36	13.24 W	0.00	4 of 45 - Sale <sup>25</sup>
	1.000	135.12	07/20/20	144.80	9.68 W	0.00	5 of 45 - Sale <sup>25</sup>
	3.000	405.35	07/20/20	422.10	16.75 W	0.00	6 of 45 - Sale <sup>25</sup>
	3.000	405.35	07/20/20	465.02	59.67 W	0.00	7 of 45 - Sale <sup>25</sup>
	2.000	270.23	07/20/20	312.94	42.71 W	0.00	8 of 45 - Sale <sup>25</sup>
	1.000	135.12	07/20/20	148.40	13.28 W	0.00	9 of 45 - Sale <sup>25</sup>
	2.000	270.23	07/20/20	298.97	28.74 W	0.00	10 of 45 - Sale <sup>25</sup>
	3.000	405.35	07/20/20	455.14	49.79 W	0.00	11 of 45 - Sale <sup>25</sup>
	4.000	540.47	07/20/20	591.83	51.36 W	0.00	12 of 45 - Sale <sup>25</sup>
	2.000	270.23	07/20/20	327.60	57.37 W	0.00	13 of 45 - Sale <sup>25</sup>
	1.000	135.12	07/20/20	165.35	30.23 W	0.00	14 of 45 - Sale <sup>25</sup>
	1.000	135.12	07/20/20	167.49	32.37 W	0.00	15 of 45 - Sale <sup>25</sup>
	1.000	135.11	07/20/20	167.53	32.42 W	0.00	16 of 45 - Sale <sup>25</sup>
	3.000	440.54	07/21/20	503.07	62.53 W	0.00	17 of 45 - Sale <sup>25</sup>
	2.000	293.69	07/21/20	338.31	44.62 W	0.00	18 of 45 - Sale <sup>25</sup>
	1.000	146.85	07/21/20	163.14	16.29 W	0.00	19 of 45 - Sale <sup>25</sup>
	1.000	146.85	07/21/20	161.43	14.58 W	0.00	20 of 45 - Sale <sup>25</sup>
	2.000	293.69	07/21/20	322.87	29.18 W	0.00	21 of 45 - Sale <sup>25</sup>
	1.000	146.85	07/21/20	161.04	14.19 W	0.00	22 of 45 - Sale <sup>25</sup>
	3.000	440.54	07/21/20	476.64	36.10 W	0.00	23 of 45 - Sale <sup>25</sup>
	1.000	146.85	07/21/20	154.12	7.27 W	0.00	24 of 45 - Sale <sup>25</sup>
	1.000	146.85	07/21/20	157.48	10.63 W	0.00	25 of 45 - Sale <sup>25</sup>
	3.000	440.54	07/21/20	460.15	19.61 W	0.00	26 of 45 - Sale <sup>25</sup>
	1.000	146.85	07/21/20	154.12	7.27 W	0.00	27 of 45 - Sale <sup>25</sup>
	6.000	881.08	07/21/20	915.95	34.87 W	0.00	28 of 45 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	1.000	146.84	07/21/20	161.76	14.92 W	0.00	29 of 45 - Sale <sup>25</sup>
	4.000	587.38	07/21/20	645.28	57.90 W	0.00	30 of 45 - Sale <sup>25</sup>
	3.000	419.99	07/21/20	490.23	70.24 W	0.00	31 of 45 - Sale <sup>25</sup>
	2.000	279.99	07/21/20	342.50	62.51 W	0.00	32 of 45 - Sale <sup>25</sup>
	2.000	279.99	07/21/20	329.75	49.76 W	0.00	33 of 45 - Sale <sup>25</sup>
	1.000	140.00	07/21/20	172.80	32.80 W	0.00	34 of 45 - Sale <sup>25</sup>
	1.000	140.00	07/21/20	174.94	34.94 W	0.00	35 of 45 - Sale <sup>25</sup>
	1.000	140.00	07/21/20	174.99	34.99 W	0.00	36 of 45 - Sale <sup>25</sup>
	1.000	140.00	07/21/20	158.86	18.86 W	0.00	37 of 45 - Sale <sup>25</sup>
	1.000	139.99	07/21/20	157.14	17.15 W	0.00	38 of 45 - Sale <sup>25</sup>
	2.000	279.99	07/21/20	314.31	34.32 W	0.00	39 of 45 - Sale <sup>25</sup>
	1.000	140.00	07/21/20	156.75	16.75 W	0.00	40 of 45 - Sale <sup>25</sup>
	3.000	419.99	07/21/20	463.80	43.81 W	0.00	41 of 45 - Sale <sup>25</sup>
	2.000	279.99	07/21/20	313.87	33.88 W	0.00	42 of 45 - Sale <sup>25</sup>
	3.000	419.99	07/21/20	477.49	57.50 W	0.00	43 of 45 - Sale <sup>25</sup>
	1.000	139.86	07/21/20	149.84	9.98 W	0.00	44 of 45 - Sale <sup>25</sup>
	1.000	139.86	07/21/20	153.19	13.33 W	0.00	45 of 45 - Sale <sup>25</sup>
07/21/20	85.000	11,969.40	Various	13,362.69	1,393.29 W	0.00	Total of 45 transactions
	2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	25.000	3,500.15	07/22/20	3,499.47	...	0.68	1 of 2 - Sale <sup>25</sup>
	10.000	1,400.06	07/22/20	1,377.80	...	22.26	2 of 2 - Sale <sup>25</sup>
07/22/20	35.000	4,900.21	Various	4,877.27	...	22.94	Total of 2 transactions
	71 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	3.000	404.99	07/21/20	493.18	88.19 W	0.00	1 of 71 - Sale <sup>25</sup>
	2.000	269.99	07/21/20	344.47	74.48 W	0.00	2 of 71 - Sale <sup>25</sup>
	2.000	269.99	07/21/20	331.72	61.73 W	0.00	3 of 71 - Sale <sup>25</sup>
	1.000	135.00	07/21/20	173.78	38.78 W	0.00	4 of 71 - Sale <sup>25</sup>
	1.000	135.00	07/21/20	175.92	40.92 W	0.00	5 of 71 - Sale <sup>25</sup>
	1.000	135.00	07/21/20	175.98	40.98 W	0.00	6 of 71 - Sale <sup>25</sup>
	1.000	135.00	07/21/20	155.90	20.90 W	0.00	7 of 71 - Sale <sup>25</sup>
	3.000	404.99	07/21/20	442.55	37.56 W	0.00	8 of 71 - Sale <sup>25</sup>
	4.000	539.99	07/21/20	621.83	81.84 W	0.00	9 of 71 - Sale <sup>25</sup>
	1.000	134.99	07/21/20	148.25	13.26 W	0.00	10 of 71 - Sale <sup>25</sup>
	6.000	809.98	07/21/20	880.76	70.78 W	0.00	11 of 71 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	1.000	140.01	07/22/20	156.28	16.27 W	0.00	12 of 71 - Sale <sup>25</sup>
	1.000	140.01	07/22/20	154.57	14.56 W	0.00	13 of 71 - Sale <sup>25</sup>
	2.000	280.01	07/22/20	309.16	29.15 W	0.00	14 of 71 - Sale <sup>25</sup>
	3.000	420.02	07/22/20	501.53	81.51 W	0.00	15 of 71 - Sale <sup>25</sup>
	2.000	280.01	07/22/20	350.04	70.03 W	0.00	16 of 71 - Sale <sup>25</sup>
	2.000	280.01	07/22/20	337.29	57.28 W	0.00	17 of 71 - Sale <sup>25</sup>
	1.000	140.01	07/22/20	176.56	36.55 W	0.00	18 of 71 - Sale <sup>25</sup>
	1.000	140.01	07/22/20	178.70	38.69 W	0.00	19 of 71 - Sale <sup>25</sup>
	1.000	140.01	07/22/20	178.76	38.75 W	0.00	20 of 71 - Sale <sup>25</sup>
	1.000	140.01	07/22/20	154.53	14.52 W	0.00	21 of 71 - Sale <sup>25</sup>
	3.000	420.02	07/22/20	457.15	37.13 W	0.00	22 of 71 - Sale <sup>25</sup>
	1.000	140.01	07/22/20	158.68	18.67 W	0.00	23 of 71 - Sale <sup>25</sup>
	3.000	420.02	07/22/20	450.90	30.88 W	0.00	24 of 71 - Sale <sup>25</sup>
	4.000	560.03	07/22/20	632.96	72.93 W	0.00	25 of 71 - Sale <sup>25</sup>
	1.000	140.01	07/22/20	147.76	7.75 W	0.00	26 of 71 - Sale <sup>25</sup>
	1.000	140.01	07/22/20	151.11	11.10 W	0.00	27 of 71 - Sale <sup>25</sup>
	1.000	140.01	07/22/20	151.04	11.03 W	0.00	28 of 71 - Sale <sup>25</sup>
	2.000	280.01	07/22/20	309.44	29.43 W	0.00	29 of 71 - Sale <sup>25</sup>
	3.000	420.02	07/22/20	470.84	50.82 W	0.00	30 of 71 - Sale <sup>25</sup>
	6.000	840.04	07/22/20	897.46	57.42 W	0.00	31 of 71 - Sale <sup>25</sup>
	3.000	430.97	07/22/20	501.51	70.54 W	0.00	32 of 71 - Sale <sup>25</sup>
	1.000	143.66	07/22/20	156.27	12.61 W	0.00	33 of 71 - Sale <sup>25</sup>
	1.000	143.66	07/22/20	154.56	10.90 W	0.00	34 of 71 - Sale <sup>25</sup>
	2.000	287.32	07/22/20	309.15	21.83 W	0.00	35 of 71 - Sale <sup>25</sup>
	2.000	287.32	07/22/20	352.63	65.31 W	0.00	36 of 71 - Sale <sup>25</sup>
	2.000	287.31	07/22/20	339.88	52.57 W	0.00	37 of 71 - Sale <sup>25</sup>
	1.000	143.66	07/22/20	177.85	34.19 W	0.00	38 of 71 - Sale <sup>25</sup>
	1.000	143.66	07/22/20	179.99	36.33 W	0.00	39 of 71 - Sale <sup>25</sup>
	1.000	143.66	07/22/20	180.05	36.39 W	0.00	40 of 71 - Sale <sup>25</sup>
	1.000	143.66	07/22/20	153.68	10.02 W	0.00	41 of 71 - Sale <sup>25</sup>
	1.000	143.66	07/22/20	156.57	12.91 W	0.00	42 of 71 - Sale <sup>25</sup>
	2.000	287.31	07/22/20	308.85	21.54 W	0.00	43 of 71 - Sale <sup>25</sup>
	2.000	287.31	07/22/20	304.69	17.38 W	0.00	44 of 71 - Sale <sup>25</sup>
	1.000	143.66	07/22/20	153.68	10.02 W	0.00	45 of 71 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	1.000	143.66	07/22/20	162.06	18.40 W	0.00	46 of 71 - Sale <sup>25</sup>
	3.000	430.97	07/22/20	484.87	53.90 W	0.00	47 of 71 - Sale <sup>25</sup>
	1.000	146.00	07/22/20	161.62	15.62 W	0.00	48 of 71 - Sale <sup>25</sup>
	1.000	146.00	07/22/20	151.14	5.14 W	0.00	49 of 71 - Sale <sup>25</sup>
	2.000	291.99	07/22/20	316.21	24.22 W	0.00	50 of 71 - Sale <sup>25</sup>
	3.000	437.99	07/22/20	480.99	43.00 W	0.00	51 of 71 - Sale <sup>25</sup>
	3.000	437.99	07/22/20	501.70	63.71 W	0.00	52 of 71 - Sale <sup>25</sup>
	1.000	146.00	07/22/20	170.01	24.01 W	0.00	53 of 71 - Sale <sup>25</sup>
	2.000	291.99	07/22/20	352.74	60.75 W	0.00	54 of 71 - Sale <sup>25</sup>
	1.000	145.99	07/22/20	156.33	10.34 W	0.00	55 of 71 - Sale <sup>25</sup>
	1.000	146.00	07/22/20	154.62	8.62 W	0.00	56 of 71 - Sale <sup>25</sup>
	2.000	291.39	07/22/20	309.27	17.88 W	0.00	57 of 71 - Sale <sup>25</sup>
	1.000	145.70	07/22/20	154.82	9.12 W	0.00	58 of 71 - Sale <sup>25</sup>
	1.000	145.70	07/22/20	154.75	9.05 W	0.00	59 of 71 - Sale <sup>25</sup>
	6.000	874.18	07/22/20	919.73	45.55 W	0.00	60 of 71 - Sale <sup>25</sup>
	3.000	437.09	07/22/20	497.28	60.19 W	0.00	61 of 71 - Sale <sup>25</sup>
	1.000	145.70	07/22/20	170.81	25.11 W	0.00	62 of 71 - Sale <sup>25</sup>
	1.000	145.70	07/22/20	178.72	33.02 W	0.00	63 of 71 - Sale <sup>25</sup>
	1.000	145.70	07/22/20	180.86	35.16 W	0.00	64 of 71 - Sale <sup>25</sup>
	1.000	145.69	07/22/20	180.92	35.23 W	0.00	65 of 71 - Sale <sup>25</sup>
	1.000	145.70	07/22/20	154.55	8.85 W	0.00	66 of 71 - Sale <sup>25</sup>
	1.000	145.69	07/22/20	157.44	11.75 W	0.00	67 of 71 - Sale <sup>25</sup>
	2.000	291.39	07/22/20	310.59	19.20 W	0.00	68 of 71 - Sale <sup>25</sup>
	1.000	145.70	07/22/20	154.55	8.85 W	0.00	69 of 71 - Sale <sup>25</sup>
	1.000	145.69	07/22/20	162.93	17.24 W	0.00	70 of 71 - Sale <sup>25</sup>
	2.000	291.39	07/22/20	306.43	15.04 W	0.00	71 of 71 - Sale <sup>25</sup>
07/22/20	130.000	18,399.02	Various	20,784.40	2,385.38 W	0.00	Total of 71 transactions
	33 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	1.000	144.18	07/22/20	160.15	15.97 W	0.00	1 of 33 - Sale <sup>25</sup>
	3.000	432.53	07/22/20	487.48	54.95 W	0.00	2 of 33 - Sale <sup>25</sup>
	1.000	144.18	07/22/20	149.67	5.49 W	0.00	3 of 33 - Sale <sup>25</sup>
	2.000	288.35	07/22/20	313.27	24.92 W	0.00	4 of 33 - Sale <sup>25</sup>
	3.000	432.53	07/22/20	476.58	44.05 W	0.00	5 of 33 - Sale <sup>25</sup>
	1.000	144.18	07/22/20	169.61	25.43 W	0.00	6 of 33 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	2.000	288.35	07/22/20	351.95	63.60 W	0.00	7 of 33 - Sale <sup>25</sup>
	1.000	144.18	07/22/20	155.94	11.76 W	0.00	8 of 33 - Sale <sup>25</sup>
	1.000	144.18	07/22/20	154.22	10.04 W	0.00	9 of 33 - Sale <sup>25</sup>
	2.000	288.35	07/22/20	309.46	21.11 W	0.00	10 of 33 - Sale <sup>25</sup>
	1.000	144.18	07/22/20	154.91	10.73 W	0.00	11 of 33 - Sale <sup>25</sup>
	1.000	144.18	07/22/20	154.88	10.70 W	0.00	12 of 33 - Sale <sup>25</sup>
	6.000	865.06	07/22/20	920.56	55.50 W	0.00	13 of 33 - Sale <sup>25</sup>
	3.000	432.52	07/23/20	505.39	72.87 W	0.00	14 of 33 - Sale <sup>25</sup>
	1.000	144.18	07/23/20	173.51	29.33 W	0.00	15 of 33 - Sale <sup>25</sup>
	1.000	144.17	07/23/20	181.42	37.25 W	0.00	16 of 33 - Sale <sup>25</sup>
	1.000	139.73	07/23/20	166.75	27.02 W	0.00	17 of 33 - Sale <sup>25</sup>
	2.000	279.46	07/23/20	346.24	66.78 W	0.00	18 of 33 - Sale <sup>25</sup>
	1.000	139.73	07/23/20	176.48	36.75 W	0.00	19 of 33 - Sale <sup>25</sup>
	1.000	139.73	07/23/20	176.55	36.82 W	0.00	20 of 33 - Sale <sup>25</sup>
	1.000	139.73	07/23/20	153.08	13.35 W	0.00	21 of 33 - Sale <sup>25</sup>
	1.000	139.73	07/23/20	151.35	11.62 W	0.00	22 of 33 - Sale <sup>25</sup>
	1.000	139.73	07/23/20	150.17	10.44 W	0.00	23 of 33 - Sale <sup>25</sup>
	1.000	139.73	07/23/20	153.07	13.34 W	0.00	24 of 33 - Sale <sup>25</sup>
	2.000	279.46	07/23/20	301.84	22.38 W	0.00	25 of 33 - Sale <sup>25</sup>
	1.000	139.73	07/23/20	157.29	17.56 W	0.00	26 of 33 - Sale <sup>25</sup>
	3.000	419.19	07/23/20	478.90	59.71 W	0.00	27 of 33 - Sale <sup>25</sup>
	1.000	139.73	07/23/20	150.17	10.44 W	0.00	28 of 33 - Sale <sup>25</sup>
	1.000	139.73	07/23/20	158.56	18.83 W	0.00	29 of 33 - Sale <sup>25</sup>
	2.000	279.46	07/23/20	297.68	18.22 W	0.00	30 of 33 - Sale <sup>25</sup>
	1.000	139.72	07/23/20	146.81	7.09 W	0.00	31 of 33 - Sale <sup>25</sup>
	2.000	279.46	07/23/20	307.56	28.10 W	0.00	32 of 33 - Sale <sup>25</sup>
	3.000	419.19	07/23/20	468.00	48.81 W	0.00	33 of 33 - Sale <sup>25</sup>
07/23/20	55.000	7,818.54	Various	8,759.50	940.96 W	0.00	Total of 33 transactions
	18 transactions for 07/24/20. Total proceeds and cost reported to the IRS.						
	2.000	262.49	07/24/20	283.75	21.26 W	0.00	1 of 18 - Sale <sup>25</sup>
	1.000	131.25	07/24/20	142.05	10.80 W	0.00	2 of 18 - Sale <sup>25</sup>
	1.000	131.24	07/24/20	142.02	10.78 W	0.00	3 of 18 - Sale <sup>25</sup>
	6.000	787.49	07/24/20	843.41	55.92 W	0.00	4 of 18 - Sale <sup>25</sup>
	1.000	134.88	07/24/20	161.39	26.51 W	0.00	5 of 18 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	2.000	269.76	07/24/20	335.52	65.76 W	0.00	6 of 18 - Sale <sup>25</sup>
	3.000	404.64	07/24/20	475.98	71.34 W	0.00	7 of 18 - Sale <sup>25</sup>
	1.000	134.88	07/24/20	163.70	28.82 W	0.00	8 of 18 - Sale <sup>25</sup>
	1.000	134.88	07/24/20	171.62	36.74 W	0.00	9 of 18 - Sale <sup>25</sup>
	1.000	134.88	07/24/20	171.12	36.24 W	0.00	10 of 18 - Sale <sup>25</sup>
	1.000	134.88	07/24/20	172.33	37.45 W	0.00	11 of 18 - Sale <sup>25</sup>
	1.000	134.88	07/24/20	148.86	13.98 W	0.00	12 of 18 - Sale <sup>25</sup>
	1.000	134.88	07/24/20	147.13	12.25 W	0.00	13 of 18 - Sale <sup>25</sup>
	1.000	134.88	07/24/20	145.95	11.07 W	0.00	14 of 18 - Sale <sup>25</sup>
	1.000	134.88	07/24/20	148.85	13.97 W	0.00	15 of 18 - Sale <sup>25</sup>
	2.000	269.76	07/24/20	293.40	23.64 W	0.00	16 of 18 - Sale <sup>25</sup>
	1.000	134.88	07/24/20	153.07	18.19 W	0.00	17 of 18 - Sale <sup>25</sup>
	3.000	404.63	07/24/20	466.25	61.62 W	0.00	18 of 18 - Sale <sup>25</sup>
07/24/20	30.000	4,010.06	Various	4,566.40	556.34 W	0.00	Total of 18 transactions
	4 transactions for 07/27/20. Total proceeds and cost reported to the IRS.						
	1.000	137.39	07/27/20	148.10	10.71 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	137.38	07/27/20	156.49	19.11 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	137.39	07/27/20	151.71	14.32 W	0.00	3 of 4 - Sale <sup>25</sup>
	2.000	274.77	07/27/20	293.54	18.77 W	0.00	4 of 4 - Sale <sup>25</sup>
07/27/20	5.000	686.93	Various	749.84	62.91 W	0.00	Total of 4 transactions
	8 transactions for 08/04/20. Total proceeds and cost reported to the IRS.						
	1.000	148.00	08/04/20	141.57	...	6.43	1 of 8 - Sale <sup>25</sup>
	5.000	589.98	08/04/20	575.00	...	14.98	2 of 8 - Sale <sup>25</sup>
	2.000	295.99	08/04/20	280.00	...	15.99	3 of 8 - Sale <sup>25</sup>
	10.000	1,479.96	08/04/20	1,445.09	...	34.87	4 of 8 - Sale <sup>25</sup>
	28.000	4,143.89	08/04/20	4,060.00	...	83.89	5 of 8 - Sale <sup>25</sup>
	15.000	2,219.94	08/04/20	2,122.41	...	97.53	6 of 8 - Sale <sup>25</sup>
	22.000	3,255.91	08/04/20	3,096.28	...	159.63	7 of 8 - Sale <sup>25</sup>
	27.000	3,995.89	08/04/20	3,834.00	...	161.89	8 of 8 - Sale <sup>25</sup>
08/04/20	110.000	16,129.56	Various	15,554.35	...	575.21	Total of 8 transactions
	109 transactions for 08/04/20. Total proceeds and cost reported to the IRS.						
	2.000	301.99	08/04/20	345.44	43.45 W	0.00	1 of 109 - Sale <sup>25</sup>
	1.000	151.00	08/04/20	176.14	25.14 W	0.00	2 of 109 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	1.000	150.99	08/04/20	169.18	18.19 W	0.00	3 of 109 - Sale <sup>25</sup>
	1.000	151.00	08/04/20	172.89	21.89 W	0.00	4 of 109 - Sale <sup>25</sup>
	3.000	452.99	08/04/20	535.08	82.09 W	0.00	5 of 109 - Sale <sup>25</sup>
	1.000	150.99	08/04/20	170.22	19.23 W	0.00	6 of 109 - Sale <sup>25</sup>
	1.000	120.55	08/04/20	180.50	59.95 W	0.00	7 of 109 - Sale <sup>25</sup>
	2.000	241.09	08/04/20	373.74	132.65 W	0.00	8 of 109 - Sale <sup>25</sup>
	3.000	361.64	08/04/20	533.31	171.67 W	0.00	9 of 109 - Sale <sup>25</sup>
	1.000	120.55	08/04/20	182.81	62.26 W	0.00	10 of 109 - Sale <sup>25</sup>
	1.000	120.55	08/04/20	190.73	70.18 W	0.00	11 of 109 - Sale <sup>25</sup>
	1.000	120.55	08/04/20	190.23	69.68 W	0.00	12 of 109 - Sale <sup>25</sup>
	1.000	120.55	08/04/20	164.77	44.22 W	0.00	13 of 109 - Sale <sup>25</sup>
	5.000	602.73	08/04/20	816.55	213.82 W	0.00	14 of 109 - Sale <sup>25</sup>
	1.000	120.55	08/04/20	191.05	70.50 W	0.00	15 of 109 - Sale <sup>25</sup>
	1.000	120.55	08/04/20	167.58	47.03 W	0.00	16 of 109 - Sale <sup>25</sup>
	1.000	120.54	08/04/20	165.85	45.31 W	0.00	17 of 109 - Sale <sup>25</sup>
	1.000	120.55	08/04/20	164.67	44.12 W	0.00	18 of 109 - Sale <sup>25</sup>
	1.000	120.54	08/04/20	165.42	44.88 W	0.00	19 of 109 - Sale <sup>25</sup>
	1.000	120.67	08/04/20	167.57	46.90 W	0.00	20 of 109 - Sale <sup>25</sup>
	1.000	120.67	08/04/20	165.42	44.75 W	0.00	21 of 109 - Sale <sup>25</sup>
	3.000	362.00	08/04/20	522.42	160.42 W	0.00	22 of 109 - Sale <sup>25</sup>
	2.000	241.33	08/04/20	345.27	103.94 W	0.00	23 of 109 - Sale <sup>25</sup>
	1.000	120.67	08/04/20	169.10	48.43 W	0.00	24 of 109 - Sale <sup>25</sup>
	1.000	120.67	08/04/20	161.62	40.95 W	0.00	25 of 109 - Sale <sup>25</sup>
	1.000	120.67	08/04/20	170.02	49.35 W	0.00	26 of 109 - Sale <sup>25</sup>
	1.000	120.67	08/04/20	165.23	44.56 W	0.00	27 of 109 - Sale <sup>25</sup>
	2.000	241.33	08/04/20	320.59	79.26 W	0.00	28 of 109 - Sale <sup>25</sup>
	1.000	120.67	08/04/20	174.14	53.47 W	0.00	29 of 109 - Sale <sup>25</sup>
	1.000	120.67	08/04/20	203.79	83.12 W	0.00	30 of 109 - Sale <sup>25</sup>
	2.000	241.33	08/04/20	420.33	179.00 W	0.00	31 of 109 - Sale <sup>25</sup>
	3.000	362.00	08/04/20	603.19	241.19 W	0.00	32 of 109 - Sale <sup>25</sup>
	1.000	120.67	08/04/20	206.10	85.43 W	0.00	33 of 109 - Sale <sup>25</sup>
	1.000	120.67	08/04/20	214.02	93.35 W	0.00	34 of 109 - Sale <sup>25</sup>
	1.000	120.67	08/04/20	213.52	92.85 W	0.00	35 of 109 - Sale <sup>25</sup>
	1.000	120.67	08/04/20	162.03	41.36 W	0.00	36 of 109 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	1.000	120.67	08/04/20	165.73	45.06 W	0.00	37 of 109 - Sale <sup>25</sup>
	1.000	120.67	08/04/20	163.07	42.40 W	0.00	38 of 109 - Sale <sup>25</sup>
	1.000	120.67	08/04/20	186.60	65.93 W	0.00	39 of 109 - Sale <sup>25</sup>
	3.000	362.00	08/04/20	513.61	151.61 W	0.00	40 of 109 - Sale <sup>25</sup>
	1.000	120.67	08/04/20	206.46	85.79 W	0.00	41 of 109 - Sale <sup>25</sup>
	1.000	120.67	08/04/20	182.99	62.32 W	0.00	42 of 109 - Sale <sup>25</sup>
	1.000	120.67	08/04/20	181.27	60.60 W	0.00	43 of 109 - Sale <sup>25</sup>
	1.000	120.67	08/04/20	180.08	59.41 W	0.00	44 of 109 - Sale <sup>25</sup>
	1.000	120.67	08/04/20	180.84	60.17 W	0.00	45 of 109 - Sale <sup>25</sup>
	1.000	120.66	08/04/20	180.18	59.52 W	0.00	46 of 109 - Sale <sup>25</sup>
	4.000	482.66	08/04/20	714.90	232.24 W	0.00	47 of 109 - Sale <sup>25</sup>
	15.000	1,809.98	08/04/20	2,039.40	229.42 W	0.00	48 of 109 - Sale <sup>25</sup>
	1.000	118.00	08/04/20	151.75	33.75 W	0.00	49 of 109 - Sale <sup>25</sup>
	1.000	118.00	08/04/20	153.90	35.90 W	0.00	50 of 109 - Sale <sup>25</sup>
	3.000	353.99	08/04/20	481.42	127.43 W	0.00	51 of 109 - Sale <sup>25</sup>
	2.000	235.99	08/04/20	313.94	77.95 W	0.00	52 of 109 - Sale <sup>25</sup>
	1.000	118.00	08/04/20	153.43	35.43 W	0.00	53 of 109 - Sale <sup>25</sup>
	2.000	235.99	08/04/20	289.26	53.27 W	0.00	54 of 109 - Sale <sup>25</sup>
	1.000	118.00	08/04/20	193.12	75.12 W	0.00	55 of 109 - Sale <sup>25</sup>
	2.000	235.99	08/04/20	399.00	163.01 W	0.00	56 of 109 - Sale <sup>25</sup>
	3.000	353.99	08/04/20	571.19	217.20 W	0.00	57 of 109 - Sale <sup>25</sup>
	1.000	118.00	08/04/20	150.95	32.95 W	0.00	58 of 109 - Sale <sup>25</sup>
	1.000	118.00	08/04/20	159.35	41.35 W	0.00	59 of 109 - Sale <sup>25</sup>
	1.000	118.00	08/04/20	154.56	36.56 W	0.00	60 of 109 - Sale <sup>25</sup>
	1.000	118.00	08/04/20	163.47	45.47 W	0.00	61 of 109 - Sale <sup>25</sup>
	1.000	118.00	08/04/20	202.05	84.05 W	0.00	62 of 109 - Sale <sup>25</sup>
	1.000	118.00	08/04/20	209.97	91.97 W	0.00	63 of 109 - Sale <sup>25</sup>
	1.000	118.00	08/04/20	209.47	91.47 W	0.00	64 of 109 - Sale <sup>25</sup>
	1.000	118.00	08/04/20	157.98	39.98 W	0.00	65 of 109 - Sale <sup>25</sup>
	1.000	118.00	08/04/20	161.68	43.68 W	0.00	66 of 109 - Sale <sup>25</sup>
	1.000	118.00	08/04/20	159.02	41.02 W	0.00	67 of 109 - Sale <sup>25</sup>
	1.000	118.00	08/04/20	182.55	64.55 W	0.00	68 of 109 - Sale <sup>25</sup>
	3.000	353.99	08/04/20	501.47	147.48 W	0.00	69 of 109 - Sale <sup>25</sup>
	1.000	118.00	08/04/20	203.79	85.79 W	0.00	70 of 109 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	1.000	118.00	08/04/20	180.32	62.32 W	0.00	71 of 109 - Sale <sup>25</sup>
	1.000	118.00	08/04/20	178.60	60.60 W	0.00	72 of 109 - Sale <sup>25</sup>
	1.000	118.00	08/04/20	177.41	59.41 W	0.00	73 of 109 - Sale <sup>25</sup>
	1.000	118.00	08/04/20	178.17	60.17 W	0.00	74 of 109 - Sale <sup>25</sup>
	1.000	118.00	08/04/20	177.52	59.52 W	0.00	75 of 109 - Sale <sup>25</sup>
	4.000	471.99	08/04/20	704.24	232.25 W	0.00	76 of 109 - Sale <sup>25</sup>
	10.000	1,179.96	08/04/20	1,332.95	152.99 W	0.00	77 of 109 - Sale <sup>25</sup>
	5.000	589.98	08/04/20	673.92	83.94 W	0.00	78 of 109 - Sale <sup>25</sup>
	15.000	1,769.94	08/04/20	1,792.35	22.41 W	0.00	79 of 109 - Sale <sup>25</sup>
	1.000	148.00	08/04/20	200.12	52.12 W	0.00	80 of 109 - Sale <sup>25</sup>
	2.000	295.99	08/04/20	413.01	117.02 W	0.00	81 of 109 - Sale <sup>25</sup>
	3.000	443.99	08/04/20	592.20	148.21 W	0.00	82 of 109 - Sale <sup>25</sup>
	1.000	148.00	08/04/20	209.05	61.05 W	0.00	83 of 109 - Sale <sup>25</sup>
	1.000	148.00	08/04/20	216.97	68.97 W	0.00	84 of 109 - Sale <sup>25</sup>
	1.000	148.00	08/04/20	216.47	68.47 W	0.00	85 of 109 - Sale <sup>25</sup>
	2.000	295.99	08/04/20	327.95	31.96 W	0.00	86 of 109 - Sale <sup>25</sup>
	1.000	148.00	08/04/20	158.75	10.75 W	0.00	87 of 109 - Sale <sup>25</sup>
	1.000	148.00	08/04/20	160.90	12.90 W	0.00	88 of 109 - Sale <sup>25</sup>
	1.000	148.00	08/04/20	160.43	12.43 W	0.00	89 of 109 - Sale <sup>25</sup>
	3.000	443.99	08/04/20	502.43	58.44 W	0.00	90 of 109 - Sale <sup>25</sup>
	1.000	148.00	08/04/20	157.95	9.95 W	0.00	91 of 109 - Sale <sup>25</sup>
	1.000	148.00	08/04/20	166.35	18.35 W	0.00	92 of 109 - Sale <sup>25</sup>
	1.000	148.00	08/04/20	161.56	13.56 W	0.00	93 of 109 - Sale <sup>25</sup>
	1.000	148.00	08/04/20	170.47	22.47 W	0.00	94 of 109 - Sale <sup>25</sup>
	2.000	295.99	08/04/20	303.27	7.28 W	0.00	95 of 109 - Sale <sup>25</sup>
	2.000	295.99	08/04/20	348.32	52.33 W	0.00	96 of 109 - Sale <sup>25</sup>
	1.000	148.00	08/04/20	215.00	67.00 W	0.00	97 of 109 - Sale <sup>25</sup>
	1.000	148.00	08/04/20	191.53	43.53 W	0.00	98 of 109 - Sale <sup>25</sup>
	1.000	148.00	08/04/20	189.81	41.81 W	0.00	99 of 109 - Sale <sup>25</sup>
	1.000	148.00	08/04/20	188.62	40.62 W	0.00	100 of 109 - Sale <sup>25</sup>
	1.000	148.00	08/04/20	189.38	41.38 W	0.00	101 of 109 - Sale <sup>25</sup>
	1.000	148.00	08/04/20	178.37	30.37 W	0.00	102 of 109 - Sale <sup>25</sup>
	1.000	148.00	08/04/20	169.19	21.19 W	0.00	103 of 109 - Sale <sup>25</sup>
	1.000	148.00	08/04/20	172.89	24.89 W	0.00	104 of 109 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	1.000	148.00	08/04/20	170.23	22.23 W	0.00	105 of 109 - Sale <sup>25</sup>
	1.000	148.00	08/04/20	193.76	45.76 W	0.00	106 of 109 - Sale <sup>25</sup>
	1.000	148.00	08/04/20	188.73	40.73 W	0.00	107 of 109 - Sale <sup>25</sup>
	4.000	591.98	08/04/20	749.09	157.11 W	0.00	108 of 109 - Sale <sup>25</sup>
	5.000	739.98	08/04/20	783.94	43.96 W	0.00	109 of 109 - Sale <sup>25</sup>
08/04/20	199.000	25,326.30	Various	33,101.19	7,774.89 W	0.00	Total of 109 transactions
	26 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	1.000	181.49	08/04/20	179.47	...	2.02	1 of 26 - Sale <sup>25</sup>
	1.000	181.52	08/04/20	179.23	...	2.29	2 of 26 - Sale <sup>25</sup>
	1.000	181.52	08/04/20	178.19	...	3.33	3 of 26 - Sale <sup>25</sup>
	1.000	181.52	08/04/20	176.04	...	5.48	4 of 26 - Sale <sup>25</sup>
	1.000	181.52	08/04/20	175.55	...	5.97	5 of 26 - Sale <sup>25</sup>
	1.000	181.49	08/04/20	175.35	...	6.14	6 of 26 - Sale <sup>25</sup>
	1.000	181.50	08/04/20	170.56	...	10.94	7 of 26 - Sale <sup>25</sup>
	1.000	181.52	08/04/20	168.12	...	13.40	8 of 26 - Sale <sup>25</sup>
	1.000	181.50	08/04/20	166.95	...	14.55	9 of 26 - Sale <sup>25</sup>
	1.000	181.49	08/04/20	162.43	...	19.06	10 of 26 - Sale <sup>25</sup>
	1.000	181.50	08/04/20	161.05	...	20.45	11 of 26 - Sale <sup>25</sup>
	1.000	181.49	08/04/20	160.64	...	20.85	12 of 26 - Sale <sup>25</sup>
	1.000	181.52	08/04/20	159.19	...	22.33	13 of 26 - Sale <sup>25</sup>
	2.000	362.99	08/04/20	338.96	...	24.03	14 of 26 - Sale <sup>25</sup>
	1.000	181.49	08/04/20	154.47	...	27.02	15 of 26 - Sale <sup>25</sup>
	1.000	181.50	08/04/20	153.64	...	27.86	16 of 26 - Sale <sup>25</sup>
	1.000	181.50	08/04/20	152.32	...	29.18	17 of 26 - Sale <sup>25</sup>
	2.000	363.03	08/04/20	331.17	...	31.86	18 of 26 - Sale <sup>25</sup>
	2.000	362.99	08/04/20	315.10	...	47.89	19 of 26 - Sale <sup>25</sup>
	3.000	544.55	08/04/20	486.35	...	58.20	20 of 26 - Sale <sup>25</sup>
	5.000	907.58	08/04/20	831.06	...	76.52	21 of 26 - Sale <sup>25</sup>
	5.000	907.58	08/04/20	739.50	...	168.08	22 of 26 - Sale <sup>25</sup>
	12.000	2,178.18	08/04/20	1,764.00	...	414.18	23 of 26 - Sale <sup>25</sup>
	27.000	4,900.91	08/04/20	3,994.65	...	906.26	24 of 26 - Sale <sup>25</sup>
	50.000	9,075.77	08/04/20	7,400.00	...	1,675.77	25 of 26 - Sale <sup>25</sup>
	52.000	9,438.80	08/04/20	7,748.00	...	1,690.80	26 of 26 - Sale <sup>25</sup>
08/05/20	176.000	31,946.45	Various	26,621.99	...	5,324.46	Total of 26 transactions

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
31 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	1.000	181.52	08/04/20	183.17	1.65 W	0.00	1 of 31 - Sale <sup>25</sup>
	1.000	181.52	08/04/20	224.00	42.48 W	0.00	2 of 31 - Sale <sup>25</sup>
	1.000	181.52	08/04/20	200.53	19.01 W	0.00	3 of 31 - Sale <sup>25</sup>
	1.000	181.52	08/04/20	198.81	17.29 W	0.00	4 of 31 - Sale <sup>25</sup>
	1.000	181.52	08/04/20	197.62	16.10 W	0.00	5 of 31 - Sale <sup>25</sup>
	1.000	181.52	08/04/20	198.38	16.86 W	0.00	6 of 31 - Sale <sup>25</sup>
	1.000	181.52	08/04/20	183.16	1.64 W	0.00	7 of 31 - Sale <sup>25</sup>
	1.000	181.52	08/04/20	187.37	5.85 W	0.00	8 of 31 - Sale <sup>25</sup>
	1.000	181.52	08/04/20	181.89	0.37 W	0.00	9 of 31 - Sale <sup>25</sup>
	1.000	181.52	08/04/20	202.76	21.24 W	0.00	10 of 31 - Sale <sup>25</sup>
	1.000	181.52	08/04/20	197.73	16.21 W	0.00	11 of 31 - Sale <sup>25</sup>
	4.000	726.06	08/04/20	785.11	59.05 W	0.00	12 of 31 - Sale <sup>25</sup>
	1.000	181.50	08/04/20	193.69	12.19 W	0.00	13 of 31 - Sale <sup>25</sup>
	2.000	362.99	08/04/20	400.16	37.17 W	0.00	14 of 31 - Sale <sup>25</sup>
	3.000	544.49	08/04/20	572.92	28.43 W	0.00	15 of 31 - Sale <sup>25</sup>
	1.000	181.50	08/04/20	202.62	21.12 W	0.00	16 of 31 - Sale <sup>25</sup>
	1.000	181.50	08/04/20	210.54	29.04 W	0.00	17 of 31 - Sale <sup>25</sup>
	1.000	181.49	08/04/20	210.04	28.55 W	0.00	18 of 31 - Sale <sup>25</sup>
	1.000	174.08	08/05/20	185.67	11.59 W	0.00	19 of 31 - Sale <sup>25</sup>
	1.000	174.08	08/05/20	219.04	44.96 W	0.00	20 of 31 - Sale <sup>25</sup>
	1.000	174.08	08/05/20	195.57	21.49 W	0.00	21 of 31 - Sale <sup>25</sup>
	1.000	174.08	08/05/20	193.85	19.77 W	0.00	22 of 31 - Sale <sup>25</sup>
	1.000	174.08	08/05/20	192.66	18.58 W	0.00	23 of 31 - Sale <sup>25</sup>
	1.000	174.08	08/05/20	193.42	19.34 W	0.00	24 of 31 - Sale <sup>25</sup>
	1.000	174.08	08/05/20	178.20	4.12 W	0.00	25 of 31 - Sale <sup>25</sup>
	1.000	174.08	08/05/20	182.40	8.32 W	0.00	26 of 31 - Sale <sup>25</sup>
	1.000	174.08	08/05/20	176.93	2.85 W	0.00	27 of 31 - Sale <sup>25</sup>
	1.000	174.08	08/05/20	197.79	23.71 W	0.00	28 of 31 - Sale <sup>25</sup>
	1.000	174.08	08/05/20	194.62	20.54 W	0.00	29 of 31 - Sale <sup>25</sup>
	4.000	696.31	08/05/20	772.69	76.38 W	0.00	30 of 31 - Sale <sup>25</sup>
	10.000	1,740.79	08/05/20	1,784.10	43.31 W	0.00	31 of 31 - Sale <sup>25</sup>
08/05/20	49.000	8,708.23	Various	9,397.44	689.21 W	0.00	Total of 31 transactions

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Robinhood Securities LLC

Account 527125207

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
5 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	169.59	08/06/20	167.58	...	2.01	1 of 5 - Sale <sup>25</sup>
	10.000	1,679.96	08/06/20	1,677.92	...	2.04	2 of 5 - Sale <sup>25</sup>
	1.000	169.60	08/06/20	166.31	...	3.29	3 of 5 - Sale <sup>25</sup>
	41.000	6,887.84	08/06/20	6,701.91	...	185.93	4 of 5 - Sale <sup>25</sup>
	84.000	14,111.67	08/06/20	13,730.75	...	380.92	5 of 5 - Sale <sup>25</sup>
08/06/20	137.000	23,018.66	Various	22,444.47	...	574.19	Total of 5 transactions
48 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	169.59	08/06/20	208.42	38.83 W	0.00	1 of 48 - Sale <sup>25</sup>
	1.000	169.59	08/06/20	184.95	15.36 W	0.00	2 of 48 - Sale <sup>25</sup>
	1.000	169.59	08/06/20	183.23	13.64 W	0.00	3 of 48 - Sale <sup>25</sup>
	1.000	169.59	08/06/20	182.04	12.45 W	0.00	4 of 48 - Sale <sup>25</sup>
	1.000	169.59	08/06/20	182.80	13.21 W	0.00	5 of 48 - Sale <sup>25</sup>
	1.000	169.59	08/06/20	175.05	5.46 W	0.00	6 of 48 - Sale <sup>25</sup>
	1.000	169.59	08/06/20	171.78	2.19 W	0.00	7 of 48 - Sale <sup>25</sup>
	1.000	168.00	08/06/20	187.17	19.17 W	0.00	8 of 48 - Sale <sup>25</sup>
	1.000	168.00	08/06/20	184.00	16.00 W	0.00	9 of 48 - Sale <sup>25</sup>
	4.000	671.98	08/06/20	730.23	58.25 W	0.00	10 of 48 - Sale <sup>25</sup>
	1.000	168.00	08/06/20	205.33	37.33 W	0.00	11 of 48 - Sale <sup>25</sup>
	1.000	168.00	08/06/20	181.86	13.86 W	0.00	12 of 48 - Sale <sup>25</sup>
	1.000	168.00	08/06/20	180.14	12.14 W	0.00	13 of 48 - Sale <sup>25</sup>
	1.000	168.00	08/06/20	178.95	10.95 W	0.00	14 of 48 - Sale <sup>25</sup>
	1.000	168.00	08/06/20	179.71	11.71 W	0.00	15 of 48 - Sale <sup>25</sup>
	1.000	168.00	08/06/20	171.96	3.96 W	0.00	16 of 48 - Sale <sup>25</sup>
	1.000	168.00	08/06/20	168.69	0.69 W	0.00	17 of 48 - Sale <sup>25</sup>
	1.000	168.00	08/06/20	185.67	17.67 W	0.00	18 of 48 - Sale <sup>25</sup>
	4.000	671.98	08/06/20	724.25	52.27 W	0.00	19 of 48 - Sale <sup>25</sup>
	1.000	168.00	08/06/20	184.95	16.95 W	0.00	20 of 48 - Sale <sup>25</sup>
	46.000	7,727.79	08/06/20	7,771.70	43.91 W	0.00	21 of 48 - Sale <sup>25</sup>
	1.000	167.40	08/06/20	205.57	38.17 W	0.00	22 of 48 - Sale <sup>25</sup>
	1.000	167.35	08/06/20	182.10	14.75 W	0.00	23 of 48 - Sale <sup>25</sup>
	1.000	167.35	08/06/20	180.38	13.03 W	0.00	24 of 48 - Sale <sup>25</sup>
	1.000	167.35	08/06/20	179.19	11.84 W	0.00	25 of 48 - Sale <sup>25</sup>
	1.000	167.35	08/06/20	179.95	12.60 W	0.00	26 of 48 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	1.000	167.34	08/06/20	172.20	4.86 W	0.00	27 of 48 - Sale <sup>25</sup>
	1.000	167.35	08/06/20	168.93	1.58 W	0.00	28 of 48 - Sale <sup>25</sup>
	1.000	167.34	08/06/20	185.91	18.57 W	0.00	29 of 48 - Sale <sup>25</sup>
	1.000	167.35	08/06/20	185.19	17.84 W	0.00	30 of 48 - Sale <sup>25</sup>
	4.000	669.38	08/06/20	725.23	55.85 W	0.00	31 of 48 - Sale <sup>25</sup>
	1.000	167.34	08/06/20	169.19	1.85 W	0.00	32 of 48 - Sale <sup>25</sup>
	1.000	167.30	08/06/20	169.19	1.89 W	0.00	33 of 48 - Sale <sup>25</sup>
	44.000	7,359.71	08/06/20	7,444.57	84.86 W	0.00	34 of 48 - Sale <sup>25</sup>
	23.000	3,847.12	08/06/20	3,869.52	22.40 W	0.00	35 of 48 - Sale <sup>25</sup>
	1.000	167.27	08/06/20	206.39	39.12 W	0.00	36 of 48 - Sale <sup>25</sup>
	1.000	167.27	08/06/20	182.97	15.70 W	0.00	37 of 48 - Sale <sup>25</sup>
	1.000	167.27	08/06/20	181.25	13.98 W	0.00	38 of 48 - Sale <sup>25</sup>
	1.000	167.27	08/06/20	180.06	12.79 W	0.00	39 of 48 - Sale <sup>25</sup>
	1.000	167.27	08/06/20	180.82	13.55 W	0.00	40 of 48 - Sale <sup>25</sup>
	1.000	167.26	08/06/20	173.08	5.82 W	0.00	41 of 48 - Sale <sup>25</sup>
	1.000	167.27	08/06/20	169.80	2.53 W	0.00	42 of 48 - Sale <sup>25</sup>
	1.000	167.26	08/06/20	186.79	19.53 W	0.00	43 of 48 - Sale <sup>25</sup>
	1.000	167.27	08/06/20	186.06	18.79 W	0.00	44 of 48 - Sale <sup>25</sup>
	4.000	669.06	08/06/20	728.73	59.67 W	0.00	45 of 48 - Sale <sup>25</sup>
	1.000	167.26	08/06/20	170.07	2.81 W	0.00	46 of 48 - Sale <sup>25</sup>
	1.000	167.27	08/06/20	170.11	2.84 W	0.00	47 of 48 - Sale <sup>25</sup>
	3.000	501.79	08/06/20	504.66	2.87 W	0.00	48 of 48 - Sale <sup>25</sup>
08/06/20	172.000	28,834.70	Various	29,760.79	926.09 W	0.00	Total of 48 transactions
	2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	3.000	537.74	08/10/20	533.79	...	3.95	1 of 2 - Sale <sup>25</sup>
	7.000	1,254.72	08/10/20	1,245.50	...	9.22	2 of 2 - Sale <sup>25</sup>
08/10/20	10.000	1,792.46	Various	1,779.29	...	13.17	Total of 2 transactions
	23 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	1.000	173.57	08/07/20	175.12	1.55 W	0.00	1 of 23 - Sale <sup>25</sup>
	6.000	1,041.39	08/07/20	1,051.19	9.80 W	0.00	2 of 23 - Sale <sup>25</sup>
	1.000	172.50	08/10/20	179.93	7.43 W	0.00	3 of 23 - Sale <sup>25</sup>
	2.000	343.99	08/10/20	359.86	15.87 W	0.00	4 of 23 - Sale <sup>25</sup>
	7.000	1,203.97	08/10/20	1,238.15	34.18 W	0.00	5 of 23 - Sale <sup>25</sup>
	12.000	2,150.95	08/10/20	2,168.86	17.91 W	0.00	6 of 23 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	3.000	537.74	08/10/20	550.28	12.54 W	0.00	7 of 23 - Sale <sup>25</sup>
	2.000	354.59	08/10/20	366.86	12.27 W	0.00	8 of 23 - Sale <sup>25</sup>
	18.000	3,191.33	08/10/20	3,284.53	93.20 W	0.00	9 of 23 - Sale <sup>25</sup>
	3.000	530.99	08/10/20	542.92	11.93 W	0.00	10 of 23 - Sale <sup>25</sup>
	1.000	177.00	08/10/20	221.12	44.12 W	0.00	11 of 23 - Sale <sup>25</sup>
	1.000	176.99	08/10/20	197.70	20.71 W	0.00	12 of 23 - Sale <sup>25</sup>
	1.000	177.00	08/10/20	195.98	18.98 W	0.00	13 of 23 - Sale <sup>25</sup>
	1.000	176.99	08/10/20	194.79	17.80 W	0.00	14 of 23 - Sale <sup>25</sup>
	1.000	177.00	08/10/20	195.55	18.55 W	0.00	15 of 23 - Sale <sup>25</sup>
	1.000	177.00	08/10/20	187.82	10.82 W	0.00	16 of 23 - Sale <sup>25</sup>
	1.000	177.00	08/10/20	184.53	7.53 W	0.00	17 of 23 - Sale <sup>25</sup>
	1.000	176.99	08/10/20	201.53	24.54 W	0.00	18 of 23 - Sale <sup>25</sup>
	1.000	177.00	08/10/20	200.79	23.79 W	0.00	19 of 23 - Sale <sup>25</sup>
	4.000	707.98	08/10/20	787.67	79.69 W	0.00	20 of 23 - Sale <sup>25</sup>
	1.000	176.99	08/10/20	183.55	6.56 W	0.00	21 of 23 - Sale <sup>25</sup>
	6.000	1,061.97	08/10/20	1,101.80	39.83 W	0.00	22 of 23 - Sale <sup>25</sup>
	2.000	353.99	08/10/20	365.95	11.96 W	0.00	23 of 23 - Sale <sup>25</sup>
08/10/20	77.000	13,594.92	Various	14,136.48	541.56 W	0.00	Total of 23 transactions
	6 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	1.000	150.20	08/10/20	184.81	34.61 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	150.20	08/10/20	184.84	34.64 W	0.00	2 of 6 - Sale <sup>25</sup>
	3.000	450.59	08/10/20	548.87	98.28 W	0.00	3 of 6 - Sale <sup>25</sup>
	1.000	150.19	08/11/20	169.09	18.90 W	0.00	4 of 6 - Sale <sup>25</sup>
	2.000	300.39	08/11/20	339.19	38.80 W	0.00	5 of 6 - Sale <sup>25</sup>
	2.000	300.39	08/11/20	333.09	32.70 W	0.00	6 of 6 - Sale <sup>25</sup>
08/11/20	10.000	1,501.96	Various	1,759.89	257.93 W	0.00	Total of 6 transactions
	11 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	1.000	135.01	08/13/20	134.37	...	0.64	1 of 11 - Sale <sup>25</sup>
	4.000	534.03	08/13/20	531.96	...	2.07	2 of 11 - Sale <sup>25</sup>
	3.000	412.85	08/13/20	409.94	...	2.91	3 of 11 - Sale <sup>25</sup>
	2.000	275.23	08/13/20	265.27	...	9.96	4 of 11 - Sale <sup>25</sup>
	3.000	412.85	08/13/20	392.04	...	20.81	5 of 11 - Sale <sup>25</sup>
	5.000	688.08	08/13/20	656.26	...	31.82	6 of 11 - Sale <sup>25</sup>
	6.000	825.70	08/13/20	790.07	...	35.63	7 of 11 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	12.000	1,620.08	08/13/20	1,584.33	...	35.75	8 of 11 - Sale <sup>25</sup>
	4.000	550.47	08/13/20	511.45	...	39.02	9 of 11 - Sale <sup>25</sup>
	8.000	1,100.93	08/13/20	1,023.94	...	76.99	10 of 11 - Sale <sup>25</sup>
	41.000	5,535.27	08/13/20	5,403.60	...	131.67	11 of 11 - Sale <sup>25</sup>
08/13/20	89.000	12,090.50	Various	11,703.23	...	387.27	Total of 11 transactions
	104 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	1.000	137.62	08/13/20	176.79	39.17 W	0.00	1 of 104 - Sale <sup>25</sup>
	1.000	137.62	08/13/20	153.38	15.76 W	0.00	2 of 104 - Sale <sup>25</sup>
	1.000	137.62	08/13/20	151.65	14.03 W	0.00	3 of 104 - Sale <sup>25</sup>
	1.000	137.62	08/13/20	150.47	12.85 W	0.00	4 of 104 - Sale <sup>25</sup>
	1.000	137.62	08/13/20	151.22	13.60 W	0.00	5 of 104 - Sale <sup>25</sup>
	1.000	137.62	08/13/20	143.49	5.87 W	0.00	6 of 104 - Sale <sup>25</sup>
	1.000	137.62	08/13/20	140.20	2.58 W	0.00	7 of 104 - Sale <sup>25</sup>
	12.000	1,651.39	08/13/20	1,654.18	2.79 W	0.00	8 of 104 - Sale <sup>25</sup>
	1.000	137.62	08/13/20	156.34	18.72 W	0.00	9 of 104 - Sale <sup>25</sup>
	4.000	550.46	08/13/20	606.87	56.41 W	0.00	10 of 104 - Sale <sup>25</sup>
	1.000	135.01	08/13/20	170.96	35.95 W	0.00	11 of 104 - Sale <sup>25</sup>
	1.000	135.01	08/13/20	147.56	12.55 W	0.00	12 of 104 - Sale <sup>25</sup>
	1.000	135.01	08/13/20	145.82	10.81 W	0.00	13 of 104 - Sale <sup>25</sup>
	1.000	135.01	08/13/20	144.65	9.64 W	0.00	14 of 104 - Sale <sup>25</sup>
	1.000	135.01	08/13/20	145.39	10.38 W	0.00	15 of 104 - Sale <sup>25</sup>
	1.000	135.01	08/13/20	155.59	20.58 W	0.00	16 of 104 - Sale <sup>25</sup>
	1.000	135.01	08/13/20	137.67	2.66 W	0.00	17 of 104 - Sale <sup>25</sup>
	1.000	135.01	08/13/20	138.35	3.34 W	0.00	18 of 104 - Sale <sup>25</sup>
	6.000	810.04	08/13/20	830.60	20.56 W	0.00	19 of 104 - Sale <sup>25</sup>
	1.000	135.01	08/13/20	166.40	31.39 W	0.00	20 of 104 - Sale <sup>25</sup>
	1.000	135.01	08/13/20	166.44	31.43 W	0.00	21 of 104 - Sale <sup>25</sup>
	3.000	405.02	08/13/20	493.67	88.65 W	0.00	22 of 104 - Sale <sup>25</sup>
	1.000	135.01	08/13/20	150.69	15.68 W	0.00	23 of 104 - Sale <sup>25</sup>
	2.000	270.01	08/13/20	275.55	5.54 W	0.00	24 of 104 - Sale <sup>25</sup>
	2.000	270.01	08/13/20	302.39	32.38 W	0.00	25 of 104 - Sale <sup>25</sup>
	2.000	270.01	08/13/20	296.29	26.28 W	0.00	26 of 104 - Sale <sup>25</sup>
	1.000	135.01	08/13/20	158.71	23.70 W	0.00	27 of 104 - Sale <sup>25</sup>
	4.000	540.03	08/13/20	616.37	76.34 W	0.00	28 of 104 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	9.000	1,215.05	08/13/20	1,259.91	44.86 W	0.00	29 of 104 - Sale <sup>25</sup>
	6.000	810.04	08/13/20	839.94	29.90 W	0.00	30 of 104 - Sale <sup>25</sup>
	1.000	135.01	08/13/20	175.94	40.93 W	0.00	31 of 104 - Sale <sup>25</sup>
	1.000	135.01	08/13/20	152.54	17.53 W	0.00	32 of 104 - Sale <sup>25</sup>
	1.000	135.01	08/13/20	150.80	15.79 W	0.00	33 of 104 - Sale <sup>25</sup>
	1.000	135.01	08/13/20	149.63	14.62 W	0.00	34 of 104 - Sale <sup>25</sup>
	1.000	135.01	08/13/20	150.37	15.36 W	0.00	35 of 104 - Sale <sup>25</sup>
	1.000	135.01	08/13/20	160.57	25.56 W	0.00	36 of 104 - Sale <sup>25</sup>
	1.000	135.01	08/13/20	142.65	7.64 W	0.00	37 of 104 - Sale <sup>25</sup>
	1.000	135.01	08/13/20	163.69	28.68 W	0.00	38 of 104 - Sale <sup>25</sup>
	4.000	540.02	08/13/20	636.30	96.28 W	0.00	39 of 104 - Sale <sup>25</sup>
	1.000	135.01	08/13/20	143.33	8.32 W	0.00	40 of 104 - Sale <sup>25</sup>
	6.000	810.04	08/13/20	860.50	50.46 W	0.00	41 of 104 - Sale <sup>25</sup>
	1.000	135.01	08/13/20	171.38	36.37 W	0.00	42 of 104 - Sale <sup>25</sup>
	1.000	135.01	08/13/20	171.42	36.41 W	0.00	43 of 104 - Sale <sup>25</sup>
	3.000	405.01	08/13/20	508.62	103.61 W	0.00	44 of 104 - Sale <sup>25</sup>
	1.000	135.01	08/13/20	155.67	20.66 W	0.00	45 of 104 - Sale <sup>25</sup>
	2.000	270.01	08/13/20	285.52	15.51 W	0.00	46 of 104 - Sale <sup>25</sup>
	2.000	270.01	08/13/20	312.36	42.35 W	0.00	47 of 104 - Sale <sup>25</sup>
	2.000	270.01	08/13/20	306.26	36.25 W	0.00	48 of 104 - Sale <sup>25</sup>
	4.000	540.02	08/13/20	579.90	39.88 W	0.00	49 of 104 - Sale <sup>25</sup>
	1.000	133.50	08/13/20	173.92	40.42 W	0.00	50 of 104 - Sale <sup>25</sup>
	1.000	133.50	08/13/20	150.52	17.02 W	0.00	51 of 104 - Sale <sup>25</sup>
	1.000	133.50	08/13/20	148.78	15.28 W	0.00	52 of 104 - Sale <sup>25</sup>
	1.000	133.50	08/13/20	147.61	14.11 W	0.00	53 of 104 - Sale <sup>25</sup>
	1.000	133.50	08/13/20	148.35	14.85 W	0.00	54 of 104 - Sale <sup>25</sup>
	1.000	133.49	08/13/20	158.55	25.06 W	0.00	55 of 104 - Sale <sup>25</sup>
	1.000	133.50	08/13/20	140.63	7.13 W	0.00	56 of 104 - Sale <sup>25</sup>
	1.000	133.49	08/13/20	161.67	28.18 W	0.00	57 of 104 - Sale <sup>25</sup>
	2.000	266.99	08/13/20	314.12	47.13 W	0.00	58 of 104 - Sale <sup>25</sup>
	2.000	267.01	08/13/20	314.12	47.11 W	0.00	59 of 104 - Sale <sup>25</sup>
	1.000	133.51	08/13/20	141.31	7.80 W	0.00	60 of 104 - Sale <sup>25</sup>
	6.000	801.04	08/13/20	848.40	47.36 W	0.00	61 of 104 - Sale <sup>25</sup>
	1.000	133.51	08/13/20	169.36	35.85 W	0.00	62 of 104 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	1.000	133.51	08/13/20	169.40	35.89 W	0.00	63 of 104 - Sale <sup>25</sup>
	3.000	400.52	08/13/20	502.58	102.06 W	0.00	64 of 104 - Sale <sup>25</sup>
	1.000	133.51	08/13/20	153.65	20.14 W	0.00	65 of 104 - Sale <sup>25</sup>
	2.000	267.01	08/13/20	281.49	14.48 W	0.00	66 of 104 - Sale <sup>25</sup>
	2.000	267.01	08/13/20	308.33	41.32 W	0.00	67 of 104 - Sale <sup>25</sup>
	2.000	267.01	08/13/20	302.23	35.22 W	0.00	68 of 104 - Sale <sup>25</sup>
	4.000	534.03	08/13/20	571.84	37.81 W	0.00	69 of 104 - Sale <sup>25</sup>
	5.000	667.53	08/13/20	689.87	22.34 W	0.00	70 of 104 - Sale <sup>25</sup>
	6.000	801.04	08/13/20	827.84	26.80 W	0.00	71 of 104 - Sale <sup>25</sup>
	1.000	133.51	08/13/20	175.57	42.06 W	0.00	72 of 104 - Sale <sup>25</sup>
	1.000	133.51	08/13/20	152.17	18.66 W	0.00	73 of 104 - Sale <sup>25</sup>
	1.000	133.51	08/13/20	150.43	16.92 W	0.00	74 of 104 - Sale <sup>25</sup>
	1.000	133.51	08/13/20	149.26	15.75 W	0.00	75 of 104 - Sale <sup>25</sup>
	1.000	133.51	08/13/20	150.00	16.49 W	0.00	76 of 104 - Sale <sup>25</sup>
	1.000	133.51	08/13/20	160.21	26.70 W	0.00	77 of 104 - Sale <sup>25</sup>
	1.000	133.50	08/13/20	142.28	8.78 W	0.00	78 of 104 - Sale <sup>25</sup>
	1.000	133.51	08/13/20	163.34	29.83 W	0.00	79 of 104 - Sale <sup>25</sup>
	2.000	267.01	08/13/20	317.44	50.43 W	0.00	80 of 104 - Sale <sup>25</sup>
	4.000	534.02	08/13/20	540.62	6.60 W	0.00	81 of 104 - Sale <sup>25</sup>
	1.000	133.31	08/13/20	175.35	42.04 W	0.00	82 of 104 - Sale <sup>25</sup>
	1.000	133.31	08/13/20	151.95	18.64 W	0.00	83 of 104 - Sale <sup>25</sup>
	1.000	133.31	08/13/20	150.21	16.90 W	0.00	84 of 104 - Sale <sup>25</sup>
	1.000	133.31	08/13/20	149.04	15.73 W	0.00	85 of 104 - Sale <sup>25</sup>
	1.000	133.31	08/13/20	149.78	16.47 W	0.00	86 of 104 - Sale <sup>25</sup>
	1.000	133.31	08/13/20	159.99	26.68 W	0.00	87 of 104 - Sale <sup>25</sup>
	1.000	133.31	08/13/20	142.07	8.76 W	0.00	88 of 104 - Sale <sup>25</sup>
	1.000	133.31	08/13/20	163.12	29.81 W	0.00	89 of 104 - Sale <sup>25</sup>
	2.000	266.61	08/13/20	313.69	47.08 W	0.00	90 of 104 - Sale <sup>25</sup>
	2.000	266.61	08/13/20	317.00	50.39 W	0.00	91 of 104 - Sale <sup>25</sup>
	1.000	133.31	08/13/20	141.09	7.78 W	0.00	92 of 104 - Sale <sup>25</sup>
	6.000	799.84	08/13/20	847.10	47.26 W	0.00	93 of 104 - Sale <sup>25</sup>
	1.000	133.31	08/13/20	169.14	35.83 W	0.00	94 of 104 - Sale <sup>25</sup>
	1.000	133.31	08/13/20	169.18	35.87 W	0.00	95 of 104 - Sale <sup>25</sup>
	3.000	399.92	08/13/20	501.93	102.01 W	0.00	96 of 104 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	1.000	133.31	08/13/20	153.43	20.12 W	0.00	97 of 104 - Sale <sup>25</sup>
	2.000	266.61	08/13/20	281.06	14.45 W	0.00	98 of 104 - Sale <sup>25</sup>
	2.000	266.61	08/13/20	307.90	41.29 W	0.00	99 of 104 - Sale <sup>25</sup>
	2.000	266.61	08/13/20	301.80	35.19 W	0.00	100 of 104 - Sale <sup>25</sup>
	4.000	533.22	08/13/20	570.97	37.75 W	0.00	101 of 104 - Sale <sup>25</sup>
	4.000	533.23	08/13/20	539.75	6.52 W	0.00	102 of 104 - Sale <sup>25</sup>
	5.000	666.53	08/13/20	688.79	22.26 W	0.00	103 of 104 - Sale <sup>25</sup>
	6.000	799.84	08/13/20	826.54	26.70 W	0.00	104 of 104 - Sale <sup>25</sup>
08/13/20	215.000	28,914.04	Various	31,901.76	2,987.72 W	0.00	Total of 104 transactions
	52 transactions for 08/14/20. Total proceeds and cost reported to the IRS.						
	1.000	140.70	08/14/20	181.84	41.14 W	0.00	1 of 52 - Sale <sup>25</sup>
	1.000	145.90	08/14/20	187.63	41.73 W	0.00	2 of 52 - Sale <sup>25</sup>
	1.000	145.90	08/14/20	165.13	19.23 W	0.00	3 of 52 - Sale <sup>25</sup>
	1.000	145.90	08/14/20	163.39	17.49 W	0.00	4 of 52 - Sale <sup>25</sup>
	1.000	145.90	08/14/20	162.22	16.32 W	0.00	5 of 52 - Sale <sup>25</sup>
	1.000	145.90	08/14/20	162.96	17.06 W	0.00	6 of 52 - Sale <sup>25</sup>
	1.000	145.90	08/14/20	173.17	27.27 W	0.00	7 of 52 - Sale <sup>25</sup>
	1.000	145.90	08/14/20	155.25	9.35 W	0.00	8 of 52 - Sale <sup>25</sup>
	1.000	145.90	08/14/20	176.30	30.40 W	0.00	9 of 52 - Sale <sup>25</sup>
	2.000	291.79	08/14/20	340.07	48.28 W	0.00	10 of 52 - Sale <sup>25</sup>
	2.000	291.79	08/14/20	343.38	51.59 W	0.00	11 of 52 - Sale <sup>25</sup>
	1.000	145.90	08/14/20	154.27	8.37 W	0.00	12 of 52 - Sale <sup>25</sup>
	6.000	875.38	08/14/20	926.22	50.84 W	0.00	13 of 52 - Sale <sup>25</sup>
	1.000	145.90	08/14/20	182.32	36.42 W	0.00	14 of 52 - Sale <sup>25</sup>
	1.000	145.90	08/14/20	182.36	36.46 W	0.00	15 of 52 - Sale <sup>25</sup>
	3.000	437.69	08/14/20	541.49	103.80 W	0.00	16 of 52 - Sale <sup>25</sup>
	1.000	145.90	08/14/20	166.61	20.71 W	0.00	17 of 52 - Sale <sup>25</sup>
	2.000	291.79	08/14/20	307.44	15.65 W	0.00	18 of 52 - Sale <sup>25</sup>
	2.000	291.79	08/14/20	334.28	42.49 W	0.00	19 of 52 - Sale <sup>25</sup>
	2.000	291.79	08/14/20	328.18	36.39 W	0.00	20 of 52 - Sale <sup>25</sup>
	4.000	583.58	08/14/20	623.72	40.14 W	0.00	21 of 52 - Sale <sup>25</sup>
	4.000	583.58	08/14/20	592.49	8.91 W	0.00	22 of 52 - Sale <sup>25</sup>
	5.000	729.48	08/14/20	754.73	25.25 W	0.00	23 of 52 - Sale <sup>25</sup>
	6.000	875.38	08/14/20	905.66	30.28 W	0.00	24 of 52 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	28.000	4,085.09	08/14/20	4,101.81	16.72 W	0.00	25 of 52 - Sale <sup>25</sup>
	100.000	14,589.66	08/14/20	14,649.32	59.66 W	0.00	26 of 52 - Sale <sup>25</sup>
	2.000	291.80	08/14/20	292.99	1.19 W	0.00	27 of 52 - Sale <sup>25</sup>
	1.000	144.78	08/14/20	187.59	42.81 W	0.00	28 of 52 - Sale <sup>25</sup>
	1.000	144.78	08/14/20	165.09	20.31 W	0.00	29 of 52 - Sale <sup>25</sup>
	1.000	144.78	08/14/20	163.35	18.57 W	0.00	30 of 52 - Sale <sup>25</sup>
	1.000	144.78	08/14/20	162.18	17.40 W	0.00	31 of 52 - Sale <sup>25</sup>
	1.000	144.78	08/14/20	162.92	18.14 W	0.00	32 of 52 - Sale <sup>25</sup>
	1.000	144.78	08/14/20	173.13	28.35 W	0.00	33 of 52 - Sale <sup>25</sup>
	1.000	144.78	08/14/20	155.21	10.43 W	0.00	34 of 52 - Sale <sup>25</sup>
	1.000	144.78	08/14/20	176.26	31.48 W	0.00	35 of 52 - Sale <sup>25</sup>
	2.000	289.55	08/14/20	340.00	50.45 W	0.00	36 of 52 - Sale <sup>25</sup>
	2.000	289.55	08/14/20	343.31	53.76 W	0.00	37 of 52 - Sale <sup>25</sup>
	1.000	144.78	08/14/20	154.23	9.45 W	0.00	38 of 52 - Sale <sup>25</sup>
	6.000	868.66	08/14/20	926.00	57.34 W	0.00	39 of 52 - Sale <sup>25</sup>
	1.000	144.78	08/14/20	182.28	37.50 W	0.00	40 of 52 - Sale <sup>25</sup>
	1.000	144.78	08/14/20	182.32	37.54 W	0.00	41 of 52 - Sale <sup>25</sup>
	3.000	434.33	08/14/20	541.38	107.05 W	0.00	42 of 52 - Sale <sup>25</sup>
	1.000	144.78	08/14/20	166.57	21.79 W	0.00	43 of 52 - Sale <sup>25</sup>
	2.000	289.55	08/14/20	307.37	17.82 W	0.00	44 of 52 - Sale <sup>25</sup>
	2.000	289.55	08/14/20	334.21	44.66 W	0.00	45 of 52 - Sale <sup>25</sup>
	2.000	289.55	08/14/20	328.11	38.56 W	0.00	46 of 52 - Sale <sup>25</sup>
	4.000	579.10	08/14/20	623.58	44.48 W	0.00	47 of 52 - Sale <sup>25</sup>
	3.000	434.33	08/14/20	444.26	9.93 W	0.00	48 of 52 - Sale <sup>25</sup>
	5.000	723.88	08/14/20	754.55	30.67 W	0.00	49 of 52 - Sale <sup>25</sup>
	6.000	868.65	08/14/20	905.44	36.79 W	0.00	50 of 52 - Sale <sup>25</sup>
	1.000	144.63	08/14/20	148.09	3.46 W	0.00	51 of 52 - Sale <sup>25</sup>
	18.000	2,603.27	08/14/20	2,636.22	32.95 W	0.00	52 of 52 - Sale <sup>25</sup>
08/14/20	249.000	36,244.05	Various	37,918.88	1,674.83 W	0.00	Total of 52 transactions
26 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	1.000	152.90	08/14/20	151.13	...	1.77	1 of 26 - Sale <sup>25</sup>
	1.000	152.46	08/14/20	148.46	...	4.00	2 of 26 - Sale <sup>25</sup>
	1.000	152.46	08/14/20	148.31	...	4.15	3 of 26 - Sale <sup>25</sup>
	1.000	152.46	08/14/20	148.01	...	4.45	4 of 26 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	1.000	152.46	08/14/20	146.66	...	5.80	5 of 26 - Sale <sup>25</sup>
	1.000	152.46	08/14/20	146.27	...	6.19	6 of 26 - Sale <sup>25</sup>
	1.000	152.46	08/14/20	145.84	...	6.62	7 of 26 - Sale <sup>25</sup>
	1.000	152.90	08/14/20	146.03	...	6.87	8 of 26 - Sale <sup>25</sup>
	4.000	611.58	08/14/20	604.54	...	7.04	9 of 26 - Sale <sup>25</sup>
	1.000	152.46	08/14/20	145.10	...	7.36	10 of 26 - Sale <sup>25</sup>
	1.000	152.90	08/14/20	145.06	...	7.84	11 of 26 - Sale <sup>25</sup>
	2.000	305.79	08/14/20	296.62	...	9.17	12 of 26 - Sale <sup>25</sup>
	6.000	917.38	08/14/20	906.79	...	10.59	13 of 26 - Sale <sup>25</sup>
	2.000	298.49	08/14/20	287.19	...	11.30	14 of 26 - Sale <sup>25</sup>
	3.000	457.37	08/14/20	444.85	...	12.52	15 of 26 - Sale <sup>25</sup>
	2.000	304.91	08/14/20	292.03	...	12.88	16 of 26 - Sale <sup>25</sup>
	2.000	305.79	08/14/20	290.39	...	15.40	17 of 26 - Sale <sup>25</sup>
	2.000	305.79	08/14/20	290.32	...	15.47	18 of 26 - Sale <sup>25</sup>
	3.000	457.37	08/14/20	439.99	...	17.38	19 of 26 - Sale <sup>25</sup>
	2.000	307.99	08/14/20	290.19	...	17.80	20 of 26 - Sale <sup>25</sup>
	5.000	762.29	08/14/20	725.00	...	37.29	21 of 26 - Sale <sup>25</sup>
	10.000	1,492.47	08/14/20	1,435.97	...	56.50	22 of 26 - Sale <sup>25</sup>
	8.000	1,231.96	08/14/20	1,161.57	...	70.39	23 of 26 - Sale <sup>25</sup>
	18.000	2,744.23	08/14/20	2,642.95	...	101.28	24 of 26 - Sale <sup>25</sup>
	48.000	7,163.84	08/14/20	6,964.65	...	199.19	25 of 26 - Sale <sup>25</sup>
	40.000	5,969.86	08/14/20	5,743.86	...	226.00	26 of 26 - Sale <sup>25</sup>
08/17/20	167.000	25,163.03	Various	24,287.78	...	875.25	Total of 26 transactions
	47 transactions for 08/17/20. Total proceeds and cost reported to the IRS.						
	1.000	154.00	08/14/20	187.41	33.41 W	0.00	1 of 47 - Sale <sup>25</sup>
	1.000	154.00	08/14/20	164.91	10.91 W	0.00	2 of 47 - Sale <sup>25</sup>
	1.000	154.00	08/14/20	163.17	9.17 W	0.00	3 of 47 - Sale <sup>25</sup>
	1.000	154.00	08/14/20	162.00	8.00 W	0.00	4 of 47 - Sale <sup>25</sup>
	1.000	154.00	08/14/20	162.74	8.74 W	0.00	5 of 47 - Sale <sup>25</sup>
	1.000	154.00	08/14/20	172.95	18.95 W	0.00	6 of 47 - Sale <sup>25</sup>
	1.000	154.00	08/14/20	155.03	1.03 W	0.00	7 of 47 - Sale <sup>25</sup>
	1.000	154.00	08/14/20	176.08	22.08 W	0.00	8 of 47 - Sale <sup>25</sup>
	2.000	307.99	08/14/20	339.65	31.66 W	0.00	9 of 47 - Sale <sup>25</sup>
	2.000	307.99	08/14/20	342.96	34.97 W	0.00	10 of 47 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	1.000	153.99	08/14/20	154.05	0.06 W	0.00	11 of 47 - Sale <sup>25</sup>
	2.000	307.99	08/14/20	308.31	0.32 W	0.00	12 of 47 - Sale <sup>25</sup>
	1.000	152.90	08/14/20	178.41	25.51 W	0.00	13 of 47 - Sale <sup>25</sup>
	1.000	152.90	08/14/20	155.91	3.01 W	0.00	14 of 47 - Sale <sup>25</sup>
	1.000	152.90	08/14/20	154.17	1.27 W	0.00	15 of 47 - Sale <sup>25</sup>
	1.000	152.90	08/14/20	153.00	0.10 W	0.00	16 of 47 - Sale <sup>25</sup>
	1.000	152.90	08/14/20	153.74	0.84 W	0.00	17 of 47 - Sale <sup>25</sup>
	1.000	152.90	08/14/20	163.95	11.05 W	0.00	18 of 47 - Sale <sup>25</sup>
	1.000	152.90	08/14/20	167.08	14.18 W	0.00	19 of 47 - Sale <sup>25</sup>
	2.000	305.79	08/14/20	321.66	15.87 W	0.00	20 of 47 - Sale <sup>25</sup>
	2.000	305.79	08/14/20	324.97	19.18 W	0.00	21 of 47 - Sale <sup>25</sup>
	4.000	611.58	08/14/20	618.23	6.65 W	0.00	22 of 47 - Sale <sup>25</sup>
	1.000	152.89	08/14/20	182.50	29.61 W	0.00	23 of 47 - Sale <sup>25</sup>
	1.000	152.90	08/14/20	182.54	29.64 W	0.00	24 of 47 - Sale <sup>25</sup>
	3.000	458.68	08/14/20	542.05	83.37 W	0.00	25 of 47 - Sale <sup>25</sup>
	1.000	152.90	08/14/20	166.79	13.89 W	0.00	26 of 47 - Sale <sup>25</sup>
	2.000	305.79	08/14/20	307.82	2.03 W	0.00	27 of 47 - Sale <sup>25</sup>
	2.000	305.79	08/14/20	334.66	28.87 W	0.00	28 of 47 - Sale <sup>25</sup>
	2.000	305.79	08/14/20	328.56	22.77 W	0.00	29 of 47 - Sale <sup>25</sup>
	4.000	611.59	08/14/20	624.48	12.89 W	0.00	30 of 47 - Sale <sup>25</sup>
	1.000	152.46	08/14/20	170.51	18.05 W	0.00	31 of 47 - Sale <sup>25</sup>
	1.000	152.46	08/14/20	156.05	3.59 W	0.00	32 of 47 - Sale <sup>25</sup>
	1.000	152.46	08/14/20	159.18	6.72 W	0.00	33 of 47 - Sale <sup>25</sup>
	2.000	304.91	08/14/20	305.87	0.96 W	0.00	34 of 47 - Sale <sup>25</sup>
	2.000	304.91	08/14/20	309.18	4.27 W	0.00	35 of 47 - Sale <sup>25</sup>
	1.000	152.46	08/14/20	174.61	22.15 W	0.00	36 of 47 - Sale <sup>25</sup>
	1.000	152.46	08/14/20	174.64	22.18 W	0.00	37 of 47 - Sale <sup>25</sup>
	3.000	457.37	08/14/20	518.37	61.00 W	0.00	38 of 47 - Sale <sup>25</sup>
	1.000	152.46	08/14/20	158.89	6.43 W	0.00	39 of 47 - Sale <sup>25</sup>
	1.000	152.46	08/14/20	156.39	3.93 W	0.00	40 of 47 - Sale <sup>25</sup>
	2.000	304.91	08/14/20	318.87	13.96 W	0.00	41 of 47 - Sale <sup>25</sup>
	1.000	152.46	08/14/20	156.44	3.98 W	0.00	42 of 47 - Sale <sup>25</sup>
	1.000	152.46	08/17/20	157.10	4.64 W	0.00	43 of 47 - Sale <sup>25</sup>
	6.000	914.74	08/17/20	923.28	8.54 W	0.00	44 of 47 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	13.000	1,981.95	08/17/20	2,000.44	18.49 W	0.00	45 of 47 - Sale <sup>25</sup>
	75.000	11,434.30	08/17/20	11,541.00	106.70 W	0.00	46 of 47 - Sale <sup>25</sup>
	1.000	153.69	08/17/20	170.53	16.84 W	0.00	47 of 47 - Sale <sup>25</sup>
08/17/20	159.000	24,278.67	Various	25,101.13	822.46 W	0.00	Total of 47 transactions
	18 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	1.000	142.65	08/18/20	147.06	4.41 W	0.00	1 of 18 - Sale <sup>25</sup>
	1.000	142.66	08/18/20	150.19	7.53 W	0.00	2 of 18 - Sale <sup>25</sup>
	2.000	285.31	08/18/20	287.90	2.59 W	0.00	3 of 18 - Sale <sup>25</sup>
	2.000	285.31	08/18/20	291.21	5.90 W	0.00	4 of 18 - Sale <sup>25</sup>
	1.000	142.65	08/18/20	165.62	22.97 W	0.00	5 of 18 - Sale <sup>25</sup>
	1.000	142.66	08/18/20	165.65	22.99 W	0.00	6 of 18 - Sale <sup>25</sup>
	2.000	285.31	08/18/20	327.61	42.30 W	0.00	7 of 18 - Sale <sup>25</sup>
	1.000	143.36	08/18/20	164.74	21.38 W	0.00	8 of 18 - Sale <sup>25</sup>
	1.000	143.36	08/18/20	150.84	7.48 W	0.00	9 of 18 - Sale <sup>25</sup>
	1.000	143.36	08/18/20	148.34	4.98 W	0.00	10 of 18 - Sale <sup>25</sup>
	1.000	143.36	08/18/20	148.39	5.03 W	0.00	11 of 18 - Sale <sup>25</sup>
	2.000	286.71	08/18/20	302.78	16.07 W	0.00	12 of 18 - Sale <sup>25</sup>
	1.000	143.36	08/18/20	149.05	5.69 W	0.00	13 of 18 - Sale <sup>25</sup>
	6.000	860.14	08/18/20	875.00	14.86 W	0.00	14 of 18 - Sale <sup>25</sup>
	12.000	1,720.28	08/18/20	1,749.99	29.71 W	0.00	15 of 18 - Sale <sup>25</sup>
	1.000	143.36	08/18/20	145.87	2.51 W	0.00	16 of 18 - Sale <sup>25</sup>
	24.000	3,440.56	08/18/20	3,500.87	60.31 W	0.00	17 of 18 - Sale <sup>25</sup>
	50.000	7,167.82	08/18/20	7,377.14	209.32 W	0.00	18 of 18 - Sale <sup>25</sup>
08/18/20	110.000	15,762.22	Various	16,248.25	486.03 W	0.00	Total of 18 transactions
	7 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	1.000	141.28	08/19/20	144.49	3.21 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.000	141.28	08/19/20	158.78	17.50 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	141.28	08/19/20	146.35	5.07 W	0.00	3 of 7 - Sale <sup>25</sup>
	1.000	141.28	08/19/20	149.47	8.19 W	0.00	4 of 7 - Sale <sup>25</sup>
	2.000	282.55	08/19/20	286.46	3.91 W	0.00	5 of 7 - Sale <sup>25</sup>
	2.000	282.55	08/19/20	289.77	7.22 W	0.00	6 of 7 - Sale <sup>25</sup>
	1.000	141.27	08/19/20	164.91	23.64 W	0.00	7 of 7 - Sale <sup>25</sup>
08/19/20	9.000	1,271.49	Various	1,340.23	68.74 W	0.00	Total of 7 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
8 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	1.000	146.26	08/20/20	169.48	23.22 W	0.00	1 of 8 - Sale <sup>25</sup>
	1.000	146.26	08/20/20	167.87	21.61 W	0.00	2 of 8 - Sale <sup>25</sup>
	2.000	292.51	08/20/20	335.27	42.76 W	0.00	3 of 8 - Sale <sup>25</sup>
	1.000	146.26	08/20/20	153.97	7.71 W	0.00	4 of 8 - Sale <sup>25</sup>
	1.000	146.25	08/20/20	151.47	5.22 W	0.00	5 of 8 - Sale <sup>25</sup>
	1.000	146.26	08/20/20	151.52	5.26 W	0.00	6 of 8 - Sale <sup>25</sup>
	2.000	292.51	08/20/20	309.04	16.53 W	0.00	7 of 8 - Sale <sup>25</sup>
	1.000	146.25	08/20/20	152.17	5.92 W	0.00	8 of 8 - Sale <sup>25</sup>
08/20/20	10.000	1,462.56	Various	1,590.79	128.23 W	0.00	Total of 8 transactions
28 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	2.000	275.83	08/21/20	281.99	6.16 W	0.00	1 of 28 - Sale <sup>25</sup>
	1.000	137.92	08/21/20	141.48	3.56 W	0.00	2 of 28 - Sale <sup>25</sup>
	1.000	137.92	08/21/20	141.51	3.59 W	0.00	3 of 28 - Sale <sup>25</sup>
	3.000	413.75	08/21/20	424.43	10.68 W	0.00	4 of 28 - Sale <sup>25</sup>
	7.000	965.42	08/21/20	990.59	25.17 W	0.00	5 of 28 - Sale <sup>25</sup>
	12.000	1,655.00	08/21/20	1,697.71	42.71 W	0.00	6 of 28 - Sale <sup>25</sup>
	1.000	137.92	08/21/20	156.15	18.23 W	0.00	7 of 28 - Sale <sup>25</sup>
	1.000	137.92	08/21/20	143.72	5.80 W	0.00	8 of 28 - Sale <sup>25</sup>
	1.000	137.92	08/21/20	146.84	8.92 W	0.00	9 of 28 - Sale <sup>25</sup>
	2.000	275.83	08/21/20	281.21	5.38 W	0.00	10 of 28 - Sale <sup>25</sup>
	2.000	275.83	08/21/20	284.52	8.69 W	0.00	11 of 28 - Sale <sup>25</sup>
	17.000	2,344.58	08/21/20	2,399.77	55.19 W	0.00	12 of 28 - Sale <sup>25</sup>
	50.000	6,895.84	08/21/20	7,141.82	245.98 W	0.00	13 of 28 - Sale <sup>25</sup>
	1.000	137.93	08/21/20	141.86	3.93 W	0.00	14 of 28 - Sale <sup>25</sup>
	1.000	137.93	08/21/20	162.34	24.41 W	0.00	15 of 28 - Sale <sup>25</sup>
	1.000	137.93	08/21/20	161.92	23.99 W	0.00	16 of 28 - Sale <sup>25</sup>
	1.000	137.93	08/21/20	160.31	22.38 W	0.00	17 of 28 - Sale <sup>25</sup>
	2.000	275.85	08/21/20	320.16	44.31 W	0.00	18 of 28 - Sale <sup>25</sup>
	1.000	137.93	08/21/20	146.41	8.48 W	0.00	19 of 28 - Sale <sup>25</sup>
	1.000	137.93	08/21/20	143.92	5.99 W	0.00	20 of 28 - Sale <sup>25</sup>
	1.000	137.93	08/21/20	143.96	6.03 W	0.00	21 of 28 - Sale <sup>25</sup>
	2.000	275.85	08/21/20	293.93	18.08 W	0.00	22 of 28 - Sale <sup>25</sup>
	1.000	137.93	08/21/20	144.62	6.69 W	0.00	23 of 28 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	1.000	137.93	08/21/20	142.26	4.33 W	0.00	24 of 28 - Sale <sup>25</sup>
	2.000	275.85	08/21/20	283.56	7.71 W	0.00	25 of 28 - Sale <sup>25</sup>
	3.000	413.78	08/21/20	426.78	13.00 W	0.00	26 of 28 - Sale <sup>25</sup>
	7.000	965.47	08/21/20	995.81	30.34 W	0.00	27 of 28 - Sale <sup>25</sup>
	2.000	276.27	08/21/20	284.02	7.75 W	0.00	28 of 28 - Sale <sup>25</sup>
08/21/20	127.000	17,516.12	Various	18,183.60	667.48 W	0.00	Total of 28 transactions
	24 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	21.000	2,514.91	08/24/20	2,619.25	...	-104.34	1 of 24 - Sale <sup>25</sup>
	100.000	11,975.77	08/24/20	12,050.00	...	-74.23	2 of 24 - Sale <sup>25</sup>
	2.000	239.52	08/24/20	283.93	...	-44.41	3 of 24 - Sale <sup>25</sup>
	51.000	6,107.64	08/24/20	6,145.50	...	-37.86	4 of 24 - Sale <sup>25</sup>
	1.000	119.76	08/24/20	144.21	...	-24.45	5 of 24 - Sale <sup>25</sup>
	1.000	119.76	08/24/20	143.79	...	-24.03	6 of 24 - Sale <sup>25</sup>
	1.000	119.76	08/24/20	142.18	...	-22.42	7 of 24 - Sale <sup>25</sup>
	5.000	598.79	08/24/20	620.37	...	-21.58	8 of 24 - Sale <sup>25</sup>
	26.000	3,113.70	08/24/20	3,133.00	...	-19.30	9 of 24 - Sale <sup>25</sup>
	2.000	239.52	08/24/20	257.70	...	-18.18	10 of 24 - Sale <sup>25</sup>
	1.000	119.76	08/24/20	137.24	...	-17.48	11 of 24 - Sale <sup>25</sup>
	3.000	359.27	08/24/20	372.23	...	-12.96	12 of 24 - Sale <sup>25</sup>
	1.000	119.76	08/24/20	128.28	...	-8.52	13 of 24 - Sale <sup>25</sup>
	1.000	119.76	08/24/20	127.97	...	-8.21	14 of 24 - Sale <sup>25</sup>
	2.000	239.52	08/24/20	246.82	...	-7.30	15 of 24 - Sale <sup>25</sup>
	1.000	119.76	08/24/20	126.49	...	-6.73	16 of 24 - Sale <sup>25</sup>
	1.000	119.76	08/24/20	125.83	...	-6.07	17 of 24 - Sale <sup>25</sup>
	1.000	119.76	08/24/20	125.79	...	-6.03	18 of 24 - Sale <sup>25</sup>
	1.000	119.76	08/24/20	125.20	...	-5.44	19 of 24 - Sale <sup>25</sup>
	1.000	119.76	08/24/20	124.85	...	-5.09	20 of 24 - Sale <sup>25</sup>
	1.000	119.76	08/24/20	124.73	...	-4.97	21 of 24 - Sale <sup>25</sup>
	2.000	239.52	08/24/20	243.42	...	-3.90	22 of 24 - Sale <sup>25</sup>
	1.000	119.76	08/24/20	121.02	...	-1.26	23 of 24 - Sale <sup>25</sup>
	87.000	10,435.41	08/24/20	10,383.45	...	51.96	24 of 24 - Sale <sup>25</sup>
08/24/20	314.000	37,620.45	Various	38,053.25	...	-432.80	Total of 24 transactions

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
76 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	8.000	958.06	08/24/20	992.60	4.93 W	-29.61	1 of 76 - Sale <sup>25</sup>
	1.000	120.14	08/24/20	137.58	17.44 W	0.00	2 of 76 - Sale <sup>25</sup>
	2.000	240.28	08/24/20	244.08	3.80 W	0.00	3 of 76 - Sale <sup>25</sup>
	1.000	120.10	08/24/20	125.15	5.05 W	0.00	4 of 76 - Sale <sup>25</sup>
	1.000	120.10	08/24/20	128.27	8.17 W	0.00	5 of 76 - Sale <sup>25</sup>
	2.000	240.19	08/24/20	247.39	7.20 W	0.00	6 of 76 - Sale <sup>25</sup>
	1.000	120.10	08/24/20	122.94	2.84 W	0.00	7 of 76 - Sale <sup>25</sup>
	3.000	360.29	08/24/20	368.73	8.44 W	0.00	8 of 76 - Sale <sup>25</sup>
	2.000	240.19	08/24/20	245.89	5.70 W	0.00	9 of 76 - Sale <sup>25</sup>
	5.000	600.49	08/24/20	614.73	14.24 W	0.00	10 of 76 - Sale <sup>25</sup>
	14.000	1,681.36	08/24/20	1,716.35	34.99 W	0.00	11 of 76 - Sale <sup>25</sup>
	3.000	360.29	08/24/20	367.79	7.50 W	0.00	12 of 76 - Sale <sup>25</sup>
	11.000	1,321.07	08/24/20	1,366.97	45.90 W	0.00	13 of 76 - Sale <sup>25</sup>
	5.000	600.49	08/24/20	621.35	20.86 W	0.00	14 of 76 - Sale <sup>25</sup>
	1.000	120.10	08/24/20	136.79	16.69 W	0.00	15 of 76 - Sale <sup>25</sup>
	1.000	120.10	08/24/20	124.40	4.30 W	0.00	16 of 76 - Sale <sup>25</sup>
	1.000	120.10	08/24/20	127.52	7.42 W	0.00	17 of 76 - Sale <sup>25</sup>
	2.000	240.19	08/24/20	242.50	2.31 W	0.00	18 of 76 - Sale <sup>25</sup>
	2.000	240.19	08/24/20	245.90	5.71 W	0.00	19 of 76 - Sale <sup>25</sup>
	1.000	120.10	08/24/20	143.76	23.66 W	0.00	20 of 76 - Sale <sup>25</sup>
	1.000	120.10	08/24/20	143.34	23.24 W	0.00	21 of 76 - Sale <sup>25</sup>
	1.000	120.10	08/24/20	141.73	21.63 W	0.00	22 of 76 - Sale <sup>25</sup>
	2.000	240.19	08/24/20	283.01	42.82 W	0.00	23 of 76 - Sale <sup>25</sup>
	1.000	120.10	08/24/20	127.83	7.73 W	0.00	24 of 76 - Sale <sup>25</sup>
	1.000	120.10	08/24/20	125.34	5.24 W	0.00	25 of 76 - Sale <sup>25</sup>
	1.000	120.10	08/24/20	125.38	5.28 W	0.00	26 of 76 - Sale <sup>25</sup>
	2.000	240.19	08/24/20	256.78	16.59 W	0.00	27 of 76 - Sale <sup>25</sup>
	1.000	120.10	08/24/20	126.04	5.94 W	0.00	28 of 76 - Sale <sup>25</sup>
	23.000	2,762.22	08/24/20	2,858.20	95.98 W	0.00	29 of 76 - Sale <sup>25</sup>
	1.000	119.95	08/24/20	123.28	3.33 W	0.00	30 of 76 - Sale <sup>25</sup>
	1.000	119.95	08/24/20	123.68	3.73 W	0.00	31 of 76 - Sale <sup>25</sup>
	1.000	119.95	08/24/20	122.19	2.24 W	0.00	32 of 76 - Sale <sup>25</sup>
	2.000	239.89	08/24/20	246.41	6.52 W	0.00	33 of 76 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	2.000	239.89	08/24/20	246.45	6.56 W	0.00	34 of 76 - Sale <sup>25</sup>
	2.000	239.89	08/24/20	244.40	4.51 W	0.00	35 of 76 - Sale <sup>25</sup>
	3.000	359.84	08/24/20	371.05	11.21 W	0.00	36 of 76 - Sale <sup>25</sup>
	3.000	359.84	08/24/20	366.49	6.65 W	0.00	37 of 76 - Sale <sup>25</sup>
	3.000	359.84	08/24/20	365.55	5.71 W	0.00	38 of 76 - Sale <sup>25</sup>
	5.000	599.74	08/24/20	610.99	11.25 W	0.00	39 of 76 - Sale <sup>25</sup>
	5.000	599.74	08/24/20	617.61	17.87 W	0.00	40 of 76 - Sale <sup>25</sup>
	7.000	839.63	08/24/20	865.79	26.16 W	0.00	41 of 76 - Sale <sup>25</sup>
	11.000	1,319.42	08/24/20	1,358.75	39.33 W	0.00	42 of 76 - Sale <sup>25</sup>
	14.000	1,679.26	08/24/20	1,705.89	26.63 W	0.00	43 of 76 - Sale <sup>25</sup>
	11.000	1,319.42	08/24/20	1,366.96	47.54 W	0.00	44 of 76 - Sale <sup>25</sup>
	1.000	119.95	08/24/20	136.69	16.74 W	0.00	45 of 76 - Sale <sup>25</sup>
	1.000	119.95	08/24/20	124.30	4.35 W	0.00	46 of 76 - Sale <sup>25</sup>
	1.000	119.95	08/24/20	127.42	7.47 W	0.00	47 of 76 - Sale <sup>25</sup>
	2.000	239.89	08/24/20	242.31	2.42 W	0.00	48 of 76 - Sale <sup>25</sup>
	2.000	239.89	08/24/20	245.71	5.82 W	0.00	49 of 76 - Sale <sup>25</sup>
	1.000	119.95	08/24/20	143.66	23.71 W	0.00	50 of 76 - Sale <sup>25</sup>
	1.000	119.95	08/24/20	143.24	23.29 W	0.00	51 of 76 - Sale <sup>25</sup>
	1.000	119.95	08/24/20	141.63	21.68 W	0.00	52 of 76 - Sale <sup>25</sup>
	2.000	239.89	08/24/20	282.82	42.93 W	0.00	53 of 76 - Sale <sup>25</sup>
	1.000	119.95	08/24/20	127.73	7.78 W	0.00	54 of 76 - Sale <sup>25</sup>
	1.000	119.95	08/24/20	125.24	5.29 W	0.00	55 of 76 - Sale <sup>25</sup>
	1.000	119.95	08/24/20	125.28	5.33 W	0.00	56 of 76 - Sale <sup>25</sup>
	2.000	239.89	08/24/20	256.59	16.70 W	0.00	57 of 76 - Sale <sup>25</sup>
	1.000	119.95	08/24/20	125.94	5.99 W	0.00	58 of 76 - Sale <sup>25</sup>
	22.000	2,638.83	08/24/20	2,731.81	92.98 W	0.00	59 of 76 - Sale <sup>25</sup>
	1.000	119.94	08/24/20	124.64	4.70 W	0.00	60 of 76 - Sale <sup>25</sup>
	1.000	119.95	08/24/20	120.47	0.52 W	0.00	61 of 76 - Sale <sup>25</sup>
	5.000	598.79	08/24/20	623.36	24.57 W	0.00	62 of 76 - Sale <sup>25</sup>
	5.000	598.79	08/24/20	623.36	24.57 W	0.00	63 of 76 - Sale <sup>25</sup>
	1.000	119.76	08/24/20	124.82	5.06 W	0.00	64 of 76 - Sale <sup>25</sup>
	1.000	119.76	08/24/20	123.83	4.07 W	0.00	65 of 76 - Sale <sup>25</sup>
	1.000	119.76	08/24/20	124.23	4.47 W	0.00	66 of 76 - Sale <sup>25</sup>
	1.000	119.76	08/24/20	122.74	2.98 W	0.00	67 of 76 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	2.000	239.52	08/24/20	247.52	8.00 W	0.00	68 of 76 - Sale <sup>25</sup>
	2.000	239.52	08/24/20	247.56	8.04 W	0.00	69 of 76 - Sale <sup>25</sup>
	2.000	239.52	08/24/20	245.51	5.99 W	0.00	70 of 76 - Sale <sup>25</sup>
	3.000	359.27	08/24/20	372.71	13.44 W	0.00	71 of 76 - Sale <sup>25</sup>
	3.000	359.27	08/24/20	368.15	8.88 W	0.00	72 of 76 - Sale <sup>25</sup>
	5.000	598.79	08/24/20	613.75	14.96 W	0.00	73 of 76 - Sale <sup>25</sup>
	7.000	838.31	08/24/20	869.66	31.35 W	0.00	74 of 76 - Sale <sup>25</sup>
	3.000	359.27	08/24/20	367.21	7.94 W	0.00	75 of 76 - Sale <sup>25</sup>
	14.000	1,676.61	08/24/20	1,713.63	37.02 W	0.00	76 of 76 - Sale <sup>25</sup>
08/24/20	268.000	32,147.86	Various	33,357.35	1,179.88 W	-29.61	Total of 76 transactions
08/25/20	5.000	577.80	08/25/20	606.32	28.52 W	0.00	Sale <sup>25</sup>
	3 transactions for 08/26/20. Total proceeds and cost reported to the IRS.						
	0.595	69.53	08/26/20	73.94	4.41 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.001	0.15	08/26/20	0.16	0.01 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.999	233.49	08/26/20	248.65	15.16 W	0.00	3 of 3 - Sale <sup>25</sup>
08/26/20	2.595	303.17	Various	322.75	19.58 W	0.00	Total of 3 transactions
	6 transactions for 08/28/20. Total proceeds and cost reported to the IRS.						
	1.000	108.21	08/28/20	112.53	4.32 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	108.21	08/28/20	111.53	3.32 W	0.00	2 of 6 - Sale <sup>25</sup>
	1.000	108.21	08/28/20	111.94	3.73 W	0.00	3 of 6 - Sale <sup>25</sup>
	2.000	216.41	08/28/20	222.93	6.52 W	0.00	4 of 6 - Sale <sup>25</sup>
	2.405	260.27	08/28/20	270.30	10.03 W	0.00	5 of 6 - Sale <sup>25</sup>
	2.595	280.76	08/28/20	290.46	9.70 W	0.00	6 of 6 - Sale <sup>25</sup>
08/28/20	10.000	1,082.07	Various	1,119.69	37.62 W	0.00	Total of 6 transactions
	4 transactions for 08/31/20. Total proceeds and cost reported to the IRS.						
	7.000	762.16	08/31/20	790.87	...	-28.71	1 of 4 - Sale <sup>25</sup>
	2.000	217.76	08/31/20	225.04	...	-7.28	2 of 4 - Sale <sup>25</sup>
	0.697	75.86	08/31/20	77.66	...	-1.80	3 of 4 - Sale <sup>25</sup>
	0.387	42.14	08/31/20	43.15	...	-1.01	4 of 4 - Sale <sup>25</sup>
08/31/20	10.084	1,097.92	Various	1,136.72	...	-38.80	Total of 4 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	0.102	11.10	08/31/20	11.46		0.00	1 of 2 - Sale <sup>25</sup>
	0.303	33.02	08/31/20	34.27	0.36 W	0.00	2 of 2 - Sale <sup>25</sup>
08/31/20	0.405	44.12	Various	45.73	1.25 W	0.00	Total of 2 transactions
					1.61 W		
8 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	0.008	0.91	08/31/20	0.92	...	-0.01	1 of 8 - Sale <sup>25</sup>
	0.001	0.11	08/31/20	0.11	...	0.00	2 of 8 - Sale <sup>25</sup>
	0.009	1.02	09/02/20	0.95	...	0.07	3 of 8 - Sale <sup>25</sup>
	1.000	111.25	09/02/20	103.60	...	7.65	4 of 8 - Sale <sup>25</sup>
	1.898	211.15	09/02/20	196.60	...	14.55	5 of 8 - Sale <sup>25</sup>
	2.000	222.49	09/02/20	207.24	...	15.25	6 of 8 - Sale <sup>25</sup>
	5.000	556.23	09/02/20	518.08	...	38.15	7 of 8 - Sale <sup>25</sup>
	11.092	1,233.92	09/02/20	1,145.43	...	88.49	8 of 8 - Sale <sup>25</sup>
09/03/20	21.008	2,337.08	Various	2,172.93	...	164.15	Total of 8 transactions
6 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	2.142	233.69	09/15/20	241.92	...	-8.23	1 of 6 - Sale <sup>25</sup>
	1.472	160.58	09/15/20	166.23	...	-5.65	2 of 6 - Sale <sup>25</sup>
	1.000	109.28	09/15/20	112.94	...	-3.66	3 of 6 - Sale <sup>25</sup>
	0.363	39.71	09/15/20	41.01	...	-1.30	4 of 6 - Sale <sup>25</sup>
	0.073	7.94	09/15/20	8.19	...	-0.25	5 of 6 - Sale <sup>25</sup>
	0.001	0.07	09/15/20	0.07	...	0.00	6 of 6 - Sale <sup>25</sup>
09/15/20	5.050	551.27	Various	570.36	...	-19.09	Total of 6 transactions
2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	0.858	106.59	09/16/20	107.81	...	-1.22	1 of 2 - Sale <sup>25</sup>
	0.142	17.63	09/16/20	18.07	...	-0.44	2 of 2 - Sale <sup>25</sup>
09/16/20	1.000	124.22	Various	125.88	...	-1.66	Total of 2 transactions
09/17/20	1.000	114.33	09/17/20	119.76	...	-5.43	Sale <sup>25</sup>
09/25/20	3.000	336.97	09/24/20	344.11	...	-7.14	Sale <sup>25</sup>
13 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	1.595	173.45	09/24/20	185.93	...	-12.48	1 of 13 - Sale <sup>25</sup>
	2.000	217.53	09/24/20	229.41	...	-11.88	2 of 13 - Sale <sup>25</sup>
	2.405	261.61	09/24/20	272.20	...	-10.59	3 of 13 - Sale <sup>25</sup>
	2.595	282.22	09/24/20	292.53	...	-10.31	4 of 13 - Sale <sup>25</sup>

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(continued)

03/15/2021

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NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
	2.000	217.53	09/24/20	224.52	...	-6.99	5 of 13 - Sale <sup>25</sup>
	0.595	64.69	09/24/20	69.24	...	-4.55	6 of 13 - Sale <sup>25</sup>
	1.000	108.77	09/24/20	113.32	...	-4.55	7 of 13 - Sale <sup>25</sup>
	1.000	108.77	09/24/20	112.73	...	-3.96	8 of 13 - Sale <sup>25</sup>
	1.000	108.76	09/24/20	112.32	...	-3.56	9 of 13 - Sale <sup>25</sup>
	0.404	43.94	09/24/20	47.09	...	-3.15	10 of 13 - Sale <sup>25</sup>
	0.303	32.98	09/24/20	34.30	...	-1.32	11 of 13 - Sale <sup>25</sup>
	0.102	11.09	09/24/20	11.48	...	-0.39	12 of 13 - Sale <sup>25</sup>
	0.001	0.14	09/24/20	0.15	...	-0.01	13 of 13 - Sale <sup>25</sup>
09/28/20	15.000	1,631.48	Various	1,705.22	...	-73.74	Total of 13 transactions
	2 transactions for 11/10/20. Total proceeds and cost reported to the IRS.						
	0.013	1.00	11/10/20	1.00	...	0.00	1 of 2 - Sale <sup>25</sup>
	18.000	1,427.72	11/10/20	1,416.60	...	11.12	2 of 2 - Sale <sup>25</sup>
11/10/20	18.013	1,428.72	Various	1,417.60	...	11.12	Total of 2 transactions
11/16/20	1.000	87.28	11/16/20	87.93	0.65 W	0.00	Sale <sup>25</sup>
	3 transactions for 12/01/20. Total proceeds and cost reported to the IRS.						
	1.000	132.45	12/01/20	132.40	...	0.05	1 of 3 - Sale <sup>25</sup>
	0.828	109.61	12/01/20	109.00	...	0.61	2 of 3 - Sale <sup>25</sup>
	4.000	529.82	12/01/20	527.00	...	2.82	3 of 3 - Sale <sup>25</sup>
12/01/20	5.828	771.88	Various	768.40	...	3.48	Total of 3 transactions
	3 transactions for 12/02/20. Total proceeds and cost reported to the IRS.						
	25.000	3,139.05	12/02/20	3,150.54	11.49 W	0.00	1 of 3 - Sale <sup>25</sup>
	20.000	2,511.25	12/02/20	2,530.20	18.95 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	628.20	12/02/20	634.85	6.65 W	0.00	3 of 3 - Sale <sup>25</sup>
12/02/20	50.000	6,278.50	Various	6,315.59	37.09 W	0.00	Total of 3 transactions
	8 transactions for 12/04/20. Total proceeds and cost reported to the IRS.						
	4.000	514.18	12/04/20	521.32	7.14 W	0.00	1 of 8 - Sale <sup>25</sup>
	20.000	2,570.89	12/04/20	2,589.19	18.30 W	0.00	2 of 8 - Sale <sup>25</sup>
	20.000	2,570.89	12/04/20	2,598.95	28.06 W	0.00	3 of 8 - Sale <sup>25</sup>
	1.000	128.54	12/04/20	130.33	1.79 W	0.00	4 of 8 - Sale <sup>25</sup>
	5.000	642.73	12/04/20	645.00	2.27 W	0.00	5 of 8 - Sale <sup>25</sup>
	10.000	1,285.47	12/04/20	1,311.15	25.68 W	0.00	6 of 8 - Sale <sup>25</sup>
	1.000	128.55	12/04/20	131.12	2.57 W	0.00	7 of 8 - Sale <sup>25</sup>

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(continued)

03/15/2021

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NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
12/04/20	9.000	1,156.92	12/04/20	1,178.23	21.31 W	0.00	8 of 8 - Sale <sup>25</sup>
	70.000	8,998.17	Various	9,105.29	107.12 W	0.00	Total of 8 transactions
	8 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	10.000	1,187.97	12/08/20	1,232.47	...	-44.50	1 of 8 - Sale <sup>25</sup>
	10.000	1,187.97	12/08/20	1,232.06	...	-44.09	2 of 8 - Sale <sup>25</sup>
	5.000	593.99	12/08/20	622.06	...	-28.07	3 of 8 - Sale <sup>25</sup>
	4.000	475.19	12/08/20	494.51	...	-19.32	4 of 8 - Sale <sup>25</sup>
	5.000	593.98	12/08/20	611.49	...	-17.51	5 of 8 - Sale <sup>25</sup>
	5.000	593.98	12/08/20	603.75	...	-9.77	6 of 8 - Sale <sup>25</sup>
	5.000	593.99	12/08/20	603.58	...	-9.59	7 of 8 - Sale <sup>25</sup>
	1.000	118.80	12/08/20	123.63	...	-4.83	8 of 8 - Sale <sup>25</sup>
12/09/20	45.000	5,345.87	Various	5,523.55	...	-177.68	Total of 8 transactions
	9 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	9.000	1,069.17	12/08/20	1,101.31	24.99 W	-7.15	1 of 9 - Sale <sup>25</sup>
	10.000	1,189.47	12/08/20	1,214.03	24.56 W	0.00	2 of 9 - Sale <sup>25</sup>
	1.000	118.91	12/08/20	121.79	2.88 W	0.00	3 of 9 - Sale <sup>25</sup>
	4.000	475.63	12/08/20	487.14	11.51 W	0.00	4 of 9 - Sale <sup>25</sup>
	5.000	594.53	12/08/20	602.27	7.74 W	0.00	5 of 9 - Sale <sup>25</sup>
	5.000	594.53	12/08/20	612.84	18.31 W	0.00	6 of 9 - Sale <sup>25</sup>
	10.000	1,189.06	12/08/20	1,214.03	24.97 W	0.00	7 of 9 - Sale <sup>25</sup>
	1.000	118.80	12/08/20	122.57	3.77 W	0.00	8 of 9 - Sale <sup>25</sup>
	5.000	593.99	12/08/20	612.84	18.85 W	0.00	9 of 9 - Sale <sup>25</sup>
12/09/20	50.000	5,944.09	Various	6,088.82	137.58 W	-7.15	Total of 9 transactions
	4 transactions for 12/14/20. Total proceeds and cost reported to the IRS.						
	5.000	651.47	12/14/20	669.10	...	-17.63	1 of 4 - Sale <sup>25</sup>
	4.000	521.17	12/14/20	534.48	...	-13.31	2 of 4 - Sale <sup>25</sup>
	2.000	260.59	12/14/20	266.82	...	-6.23	3 of 4 - Sale <sup>25</sup>
	1.000	130.29	12/14/20	133.82	...	-3.53	4 of 4 - Sale <sup>25</sup>
12/14/20	12.000	1,563.52	Various	1,604.22	...	-40.70	Total of 4 transactions
12/30/20	1.000	119.78	12/29/20	124.87	...	-5.09	Sale <sup>25</sup>
	<b>Security total:</b>	<b>514,826.43</b>		<b>534,125.92</b>	<b>26,795.47 W</b>	<b>7,495.98</b>	
NUTANIX, INC. CLASS A COMMON S TOCK / CUSIP: 67059N108 / Symbol:							
07/17/20	10.000	224.62	07/17/20	218.97	...	5.65	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol:							
06/18/20	5.000	1,836.97	06/16/20	1,806.62	...	30.35	Sale <sup>25</sup>
NXT-ID, INC. COMMON STOCK / CUSIP: 67091J206 / Symbol:							
<i>23 transactions for 08/05/20. Total proceeds and cost reported to the IRS.</i>							
	2,000.000	1,379.73	08/05/20	1,501.64	...	-121.91	1 of 23 - Sale <sup>25</sup>
	1,500.000	1,034.79	08/05/20	1,088.53	...	-53.74	2 of 23 - Sale <sup>25</sup>
	2,700.000	1,862.62	08/05/20	1,911.33	...	-48.71	3 of 23 - Sale <sup>25</sup>
	700.000	482.91	08/05/20	525.16	...	-42.25	4 of 23 - Sale <sup>25</sup>
	442.000	304.92	08/05/20	331.86	...	-26.94	5 of 23 - Sale <sup>25</sup>
	300.000	206.96	08/05/20	225.25	...	-18.29	6 of 23 - Sale <sup>25</sup>
	258.000	177.99	08/05/20	193.55	...	-15.56	7 of 23 - Sale <sup>25</sup>
	200.000	137.97	08/05/20	153.03	...	-15.06	8 of 23 - Sale <sup>25</sup>
	200.000	137.97	08/05/20	153.02	...	-15.05	9 of 23 - Sale <sup>25</sup>
	200.000	137.97	08/05/20	150.16	...	-12.19	10 of 23 - Sale <sup>25</sup>
	100.000	68.99	08/05/20	76.61	...	-7.62	11 of 23 - Sale <sup>25</sup>
	100.000	68.99	08/05/20	76.61	...	-7.62	12 of 23 - Sale <sup>25</sup>
	100.000	68.99	08/05/20	76.51	...	-7.52	13 of 23 - Sale <sup>25</sup>
	100.000	68.99	08/05/20	76.51	...	-7.52	14 of 23 - Sale <sup>25</sup>
	100.000	68.99	08/05/20	75.08	...	-6.09	15 of 23 - Sale <sup>25</sup>
	100.000	68.99	08/05/20	75.08	...	-6.09	16 of 23 - Sale <sup>25</sup>
	100.000	68.99	08/05/20	75.08	...	-6.09	17 of 23 - Sale <sup>25</sup>
	49.000	35.27	08/05/20	35.04	...	0.23	18 of 23 - Sale <sup>25</sup>
	200.000	143.98	08/05/20	143.60	...	0.38	19 of 23 - Sale <sup>25</sup>
	451.000	324.66	08/05/20	322.51	...	2.15	20 of 23 - Sale <sup>25</sup>
	500.000	359.94	08/05/20	357.55	...	2.39	21 of 23 - Sale <sup>25</sup>
	1,300.000	935.82	08/05/20	933.40	...	2.42	22 of 23 - Sale <sup>25</sup>
	2,500.000	1,799.66	08/05/20	1,791.25	...	8.41	23 of 23 - Sale <sup>25</sup>
08/05/20	14,200.000	9,946.09	Various	10,348.36	...	-402.27	Total of 23 transactions
<i>8 transactions for 08/05/20. Total proceeds and cost reported to the IRS.</i>							
	5,000.000	3,435.86	08/05/20	3,691.95	256.09 W	0.00	1 of 8 - Sale <sup>25</sup>
	1,500.000	1,030.75	08/05/20	1,057.43	26.68 W	0.00	2 of 8 - Sale <sup>25</sup>
	200.000	138.60	08/05/20	150.05	11.45 W	0.00	3 of 8 - Sale <sup>25</sup>
	100.000	69.30	08/05/20	75.02	5.72 W	0.00	4 of 8 - Sale <sup>25</sup>
	100.000	69.30	08/05/20	75.02	5.72 W	0.00	5 of 8 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NXT-ID, INC. COMMON STOCK / CUSIP: 67091J206 / Symbol: (cont'd)							
	200.000	138.60	08/05/20	150.04	11.44 W	0.00	6 of 8 - Sale <sup>25</sup>
	100.000	69.20	08/05/20	75.02	5.82 W	0.00	7 of 8 - Sale <sup>25</sup>
	100.000	69.20	08/05/20	75.02	5.82 W	0.00	8 of 8 - Sale <sup>25</sup>
08/05/20	7,300.000	5,020.81	Various	5,349.55	328.74 W	0.00	Total of 8 transactions
	<b>Security total:</b>	<b>14,966.90</b>		<b>15,697.91</b>	<b>328.74 W</b>	<b>-402.27</b>	
OCCIDENTAL PETROLEUM CORPORATI ON / CUSIP: 674599105 / Symbol:							
04/21/20	50.000	598.98	04/20/20	626.50	...	-27.52	Sale <sup>25</sup>
	3 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	1.000	21.75	06/05/20	20.55	...	1.20	1 of 3 - Sale <sup>25</sup>
	3.000	65.25	06/05/20	61.65	...	3.60	2 of 3 - Sale <sup>25</sup>
	46.000	1,000.47	06/05/20	945.30	...	55.17	3 of 3 - Sale <sup>25</sup>
06/09/20	50.000	1,087.47	Various	1,027.50	...	59.97	Total of 3 transactions
06/25/20	50.000	924.97	06/25/20	941.39	...	-16.42	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,611.42</b>		<b>2,595.39</b>	...	<b>16.03</b>	
OCULAR THERAPEUTIX, INC. COMMO N STOCK / CUSIP: 67576A100 / Symbol:							
	15 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	400.000	4,187.86	10/07/20	4,252.00	...	-64.14	1 of 15 - Sale <sup>25</sup>
	400.000	4,187.86	10/07/20	4,252.00	...	-64.14	2 of 15 - Sale <sup>25</sup>
	30.000	314.09	10/07/20	332.43	...	-18.34	3 of 15 - Sale <sup>25</sup>
	30.000	314.09	10/07/20	332.42	...	-18.33	4 of 15 - Sale <sup>25</sup>
	30.000	314.09	10/07/20	329.11	...	-15.02	5 of 15 - Sale <sup>25</sup>
	92.000	963.21	10/07/20	977.03	...	-13.82	6 of 15 - Sale <sup>25</sup>
	20.000	209.39	10/07/20	221.62	...	-12.23	7 of 15 - Sale <sup>25</sup>
	75.000	785.22	10/07/20	797.25	...	-12.03	8 of 15 - Sale <sup>25</sup>
	30.000	314.09	10/07/20	325.81	...	-11.72	9 of 15 - Sale <sup>25</sup>
	20.000	209.39	10/07/20	219.61	...	-10.22	10 of 15 - Sale <sup>25</sup>
	12.000	125.64	10/07/20	134.41	...	-8.77	11 of 15 - Sale <sup>25</sup>
	20.000	209.39	10/07/20	217.22	...	-7.83	12 of 15 - Sale <sup>25</sup>
	38.000	397.85	10/07/20	403.55	...	-5.70	13 of 15 - Sale <sup>25</sup>
	4.000	41.88	10/07/20	44.81	...	-2.93	14 of 15 - Sale <sup>25</sup>
	4.000	41.88	10/07/20	44.81	...	-2.93	15 of 15 - Sale <sup>25</sup>
10/07/20	1,205.000	12,615.93	Various	12,884.08	...	-268.15	Total of 15 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCULAR THERAPEUTIX, INC. COMMO N STOCK / CUSIP: 67576A100 / Symbol: (cont'd)							
17 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	100.000	1,051.96	10/07/20	1,061.99	10.03 W	0.00	1 of 17 - Sale <sup>25</sup>
	20.000	210.00	10/07/20	214.40	4.40 W	0.00	2 of 17 - Sale <sup>25</sup>
	80.000	839.97	10/07/20	857.62	17.65 W	0.00	3 of 17 - Sale <sup>25</sup>
	20.000	209.99	10/07/20	216.80	6.81 W	0.00	4 of 17 - Sale <sup>25</sup>
	80.000	839.98	10/07/20	849.59	9.61 W	0.00	5 of 17 - Sale <sup>25</sup>
	20.000	209.99	10/07/20	212.40	2.41 W	0.00	6 of 17 - Sale <sup>25</sup>
	80.000	839.97	10/07/20	867.24	27.27 W	0.00	7 of 17 - Sale <sup>25</sup>
	20.000	209.99	10/07/20	219.21	9.22 W	0.00	8 of 17 - Sale <sup>25</sup>
	30.000	315.30	10/07/20	322.20	6.90 W	0.00	9 of 17 - Sale <sup>25</sup>
	50.000	524.98	10/07/20	537.00	12.02 W	0.00	10 of 17 - Sale <sup>25</sup>
	20.000	209.99	10/07/20	214.81	4.82 W	0.00	11 of 17 - Sale <sup>25</sup>
	30.000	314.99	10/07/20	328.82	13.83 W	0.00	12 of 17 - Sale <sup>25</sup>
	20.000	210.00	10/07/20	219.22	9.22 W	0.00	13 of 17 - Sale <sup>25</sup>
	30.000	314.99	10/07/20	328.82	13.83 W	0.00	14 of 17 - Sale <sup>25</sup>
	20.000	209.99	10/07/20	221.62	11.63 W	0.00	15 of 17 - Sale <sup>25</sup>
	30.000	314.99	10/07/20	325.50	10.51 W	0.00	16 of 17 - Sale <sup>25</sup>
	20.000	209.99	10/07/20	217.20	7.21 W	0.00	17 of 17 - Sale <sup>25</sup>
10/07/20	670.000	7,037.07	Various	7,214.44	177.37 W	0.00	Total of 17 transactions
	<b>Security total:</b>	<b>19,653.00</b>		<b>20,098.52</b>	<b>177.37 W</b>	<b>-268.15</b>	
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
04/08/20	1,000.000	309.88	04/07/20	319.90	10.02 W	0.00	Sale <sup>25</sup>
3 transactions for 04/16/20. Total proceeds and cost reported to the IRS.							
	700.000	312.81	04/16/20	377.67	...	-64.86	1 of 3 - Sale <sup>25</sup>
	200.000	89.38	04/16/20	107.90	...	-18.52	2 of 3 - Sale <sup>25</sup>
	100.000	44.69	04/16/20	53.94	...	-9.25	3 of 3 - Sale <sup>25</sup>
04/16/20	1,000.000	446.88	Various	539.51	...	-92.63	Total of 3 transactions
6 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	100.000	24.72	07/20/20	24.95	0.23 W	0.00	1 of 6 - Sale <sup>25</sup>
	2,400.000	591.30	07/20/20	598.80	7.50 W	0.00	2 of 6 - Sale <sup>25</sup>
	100.000	24.64	07/20/20	25.43	0.79 W	0.00	3 of 6 - Sale <sup>25</sup>
	2,150.000	529.71	07/20/20	541.80	12.09 W	0.00	4 of 6 - Sale <sup>25</sup>
	250.000	61.85	07/20/20	63.78	1.93 W	0.00	5 of 6 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
07/20/20	250.000	61.84	07/20/20	62.43	0.59 W	0.00	6 of 6 - Sale <sup>25</sup>
	5,250.000	1,294.06	Various	1,317.19	23.13 W	0.00	Total of 6 transactions
	24 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	50.000	21.27	07/21/20	21.25	...	0.02	1 of 24 - Sale <sup>25</sup>
	1.000	0.42	07/21/20	0.37	...	0.05	2 of 24 - Sale <sup>25</sup>
	50.000	16.99	07/21/20	16.84	...	0.15	3 of 24 - Sale <sup>25</sup>
	50.000	16.99	07/21/20	16.17	...	0.82	4 of 24 - Sale <sup>25</sup>
	150.000	50.98	07/21/20	49.08	...	1.90	5 of 24 - Sale <sup>25</sup>
	35.000	14.87	07/21/20	12.91	...	1.96	6 of 24 - Sale <sup>25</sup>
	50.000	21.24	07/21/20	18.03	...	3.21	7 of 24 - Sale <sup>25</sup>
	50.000	21.24	07/21/20	17.75	...	3.49	8 of 24 - Sale <sup>25</sup>
	100.000	32.98	07/21/20	29.19	...	3.79	9 of 24 - Sale <sup>25</sup>
	75.000	31.86	07/21/20	27.68	...	4.18	10 of 24 - Sale <sup>25</sup>
	250.000	84.97	07/21/20	80.45	...	4.52	11 of 24 - Sale <sup>25</sup>
	94.000	39.94	07/21/20	34.69	...	5.25	12 of 24 - Sale <sup>25</sup>
	100.000	42.48	07/21/20	35.82	...	6.66	13 of 24 - Sale <sup>25</sup>
	106.000	45.03	07/21/20	37.84	...	7.19	14 of 24 - Sale <sup>25</sup>
	500.000	169.94	07/21/20	161.30	...	8.64	15 of 24 - Sale <sup>25</sup>
	144.000	61.25	07/21/20	51.41	...	9.84	16 of 24 - Sale <sup>25</sup>
	400.000	169.93	07/21/20	141.78	...	28.15	17 of 24 - Sale <sup>25</sup>
	545.000	231.54	07/21/20	201.14	...	30.40	18 of 24 - Sale <sup>25</sup>
	900.000	296.79	07/21/20	258.41	...	38.38	19 of 24 - Sale <sup>25</sup>
	1,000.000	329.77	07/21/20	287.03	...	42.74	20 of 24 - Sale <sup>25</sup>
	650.000	276.13	07/21/20	231.39	...	44.74	21 of 24 - Sale <sup>25</sup>
	700.000	297.37	07/21/20	248.05	...	49.32	22 of 24 - Sale <sup>25</sup>
	800.000	339.86	07/21/20	284.76	...	55.10	23 of 24 - Sale <sup>25</sup>
	1,250.000	531.03	07/21/20	442.93	...	88.10	24 of 24 - Sale <sup>25</sup>
07/21/20	8,050.000	3,144.87	Various	2,706.27	...	438.60	Total of 24 transactions
	77 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	500.000	164.89	07/21/20	166.46	1.57 W	0.00	1 of 77 - Sale <sup>25</sup>
	750.000	247.33	07/21/20	250.22	2.89 W	0.00	2 of 77 - Sale <sup>25</sup>
	50.000	16.49	07/21/20	16.78	0.29 W	0.00	3 of 77 - Sale <sup>25</sup>
	900.000	296.79	07/21/20	300.20	3.41 W	0.00	4 of 77 - Sale <sup>25</sup>
	100.000	32.79	07/21/20	33.18	0.39 W	0.00	5 of 77 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	200.000	65.57	07/21/20	67.13	1.56 W	0.00	6 of 77 - Sale <sup>25</sup>
	250.000	81.97	07/21/20	82.57	0.60 W	0.00	7 of 77 - Sale <sup>25</sup>
	500.000	163.94	07/21/20	165.54	1.60 W	0.00	8 of 77 - Sale <sup>25</sup>
	50.000	16.39	07/21/20	17.29	0.90 W	0.00	9 of 77 - Sale <sup>25</sup>
	650.000	213.12	07/21/20	223.50	10.38 W	0.00	10 of 77 - Sale <sup>25</sup>
	900.000	295.08	07/21/20	309.41	14.33 W	0.00	11 of 77 - Sale <sup>25</sup>
	400.000	131.15	07/21/20	136.00	4.85 W	0.00	12 of 77 - Sale <sup>25</sup>
	2,700.000	885.26	07/21/20	917.73	32.47 W	0.00	13 of 77 - Sale <sup>25</sup>
	50.000	16.99	07/21/20	17.39	0.40 W	0.00	14 of 77 - Sale <sup>25</sup>
	650.000	220.92	07/21/20	226.83	5.91 W	0.00	15 of 77 - Sale <sup>25</sup>
	800.000	271.90	07/21/20	279.14	7.24 W	0.00	16 of 77 - Sale <sup>25</sup>
	50.000	16.99	07/21/20	17.67	0.68 W	0.00	17 of 77 - Sale <sup>25</sup>
	100.000	33.99	07/21/20	35.12	1.13 W	0.00	18 of 77 - Sale <sup>25</sup>
	400.000	135.95	07/21/20	138.97	3.02 W	0.00	19 of 77 - Sale <sup>25</sup>
	1,250.000	424.84	07/21/20	434.15	9.31 W	0.00	20 of 77 - Sale <sup>25</sup>
	700.000	237.91	07/21/20	243.13	5.22 W	0.00	21 of 77 - Sale <sup>25</sup>
	35.000	11.90	07/21/20	12.67	0.77 W	0.00	22 of 77 - Sale <sup>25</sup>
	1.000	0.34	07/21/20	0.36	0.02 W	0.00	23 of 77 - Sale <sup>25</sup>
	75.000	25.49	07/21/20	27.15	1.66 W	0.00	24 of 77 - Sale <sup>25</sup>
	639.000	217.18	07/21/20	231.34	14.16 W	0.00	25 of 77 - Sale <sup>25</sup>
	500.000	169.94	07/21/20	175.00	5.06 W	0.00	26 of 77 - Sale <sup>25</sup>
	156.000	66.36	07/21/20	67.88	1.52 W	0.00	27 of 77 - Sale <sup>25</sup>
	51.000	21.69	07/21/20	22.19	0.50 W	0.00	28 of 77 - Sale <sup>25</sup>
	43.000	18.29	07/21/20	18.71	0.42 W	0.00	29 of 77 - Sale <sup>25</sup>
	7.000	2.98	07/21/20	3.04	0.06 W	0.00	30 of 77 - Sale <sup>25</sup>
	43.000	18.20	07/21/20	18.69	0.49 W	0.00	31 of 77 - Sale <sup>25</sup>
	51.000	21.59	07/21/20	22.18	0.59 W	0.00	32 of 77 - Sale <sup>25</sup>
	149.000	63.07	07/21/20	64.78	1.71 W	0.00	33 of 77 - Sale <sup>25</sup>
	1,950.000	825.37	07/21/20	828.75	3.38 W	0.00	34 of 77 - Sale <sup>25</sup>
	7.000	2.96	07/21/20	3.11	0.15 W	0.00	35 of 77 - Sale <sup>25</sup>
	193.000	81.69	07/21/20	84.07	2.38 W	0.00	36 of 77 - Sale <sup>25</sup>
	200.000	84.65	07/21/20	87.12	2.47 W	0.00	37 of 77 - Sale <sup>25</sup>
	400.000	169.31	07/21/20	174.28	4.97 W	0.00	38 of 77 - Sale <sup>25</sup>
	400.000	169.31	07/21/20	174.28	4.97 W	0.00	39 of 77 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	400.000	169.31	07/21/20	174.28	4.97 W	0.00	40 of 77 - Sale <sup>25</sup>
	300.000	126.98	07/21/20	130.71	3.73 W	0.00	41 of 77 - Sale <sup>25</sup>
	350.000	148.14	07/21/20	152.50	4.36 W	0.00	42 of 77 - Sale <sup>25</sup>
	50.000	21.16	07/21/20	23.75	2.59 W	0.00	43 of 77 - Sale <sup>25</sup>
	900.000	380.95	07/21/20	428.76	47.81 W	0.00	44 of 77 - Sale <sup>25</sup>
	1,050.000	444.44	07/21/20	502.64	58.20 W	0.00	45 of 77 - Sale <sup>25</sup>
	7.000	2.90	07/21/20	3.36	0.46 W	0.00	46 of 77 - Sale <sup>25</sup>
	43.000	17.84	07/21/20	21.08	3.24 W	0.00	47 of 77 - Sale <sup>25</sup>
	51.000	21.16	07/21/20	25.00	3.84 W	0.00	48 of 77 - Sale <sup>25</sup>
	149.000	61.82	07/21/20	73.03	11.21 W	0.00	49 of 77 - Sale <sup>25</sup>
	7.000	2.90	07/21/20	3.18	0.28 W	0.00	50 of 77 - Sale <sup>25</sup>
	193.000	80.07	07/21/20	85.93	5.86 W	0.00	51 of 77 - Sale <sup>25</sup>
	200.000	82.97	07/21/20	89.05	6.08 W	0.00	52 of 77 - Sale <sup>25</sup>
	400.000	165.95	07/21/20	178.13	12.18 W	0.00	53 of 77 - Sale <sup>25</sup>
	400.000	165.95	07/21/20	178.13	12.18 W	0.00	54 of 77 - Sale <sup>25</sup>
	133.000	55.18	07/21/20	59.23	4.05 W	0.00	55 of 77 - Sale <sup>25</sup>
	1,943.000	806.09	07/21/20	844.49	38.40 W	0.00	56 of 77 - Sale <sup>25</sup>
	300.000	124.47	07/21/20	133.60	9.13 W	0.00	57 of 77 - Sale <sup>25</sup>
	207.000	85.88	07/21/20	92.19	6.31 W	0.00	58 of 77 - Sale <sup>25</sup>
	267.000	110.77	07/21/20	118.90	8.13 W	0.00	59 of 77 - Sale <sup>25</sup>
	50.000	20.74	07/21/20	24.23	3.49 W	0.00	60 of 77 - Sale <sup>25</sup>
	143.000	59.33	07/21/20	63.69	4.36 W	0.00	61 of 77 - Sale <sup>25</sup>
	507.000	210.35	07/21/20	246.41	36.06 W	0.00	62 of 77 - Sale <sup>25</sup>
	250.000	91.10	07/21/20	121.51	30.41 W	0.00	63 of 77 - Sale <sup>25</sup>
	143.000	52.11	07/21/20	61.80	9.69 W	0.00	64 of 77 - Sale <sup>25</sup>
	357.000	130.08	07/21/20	155.09	25.01 W	0.00	65 of 77 - Sale <sup>25</sup>
	250.000	91.10	07/21/20	108.59	17.49 W	0.00	66 of 77 - Sale <sup>25</sup>
	33.000	12.23	07/21/20	14.27	2.04 W	0.00	67 of 77 - Sale <sup>25</sup>
	10.000	3.70	07/21/20	4.33	0.63 W	0.00	68 of 77 - Sale <sup>25</sup>
	257.000	95.21	07/21/20	111.54	16.33 W	0.00	69 of 77 - Sale <sup>25</sup>
	7.000	2.59	07/21/20	3.11	0.52 W	0.00	70 of 77 - Sale <sup>25</sup>
	43.000	15.93	07/21/20	19.52	3.59 W	0.00	71 of 77 - Sale <sup>25</sup>
	51.000	18.89	07/21/20	23.15	4.26 W	0.00	72 of 77 - Sale <sup>25</sup>
	149.000	55.20	07/21/20	67.62	12.42 W	0.00	73 of 77 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	143.000	52.98	07/21/20	62.07	9.09 W	0.00	74 of 77 - Sale <sup>25</sup>
	256.000	94.85	07/21/20	101.98	7.13 W	0.00	75 of 77 - Sale <sup>25</sup>
	244.000	90.37	07/21/20	97.20	6.83 W	0.00	76 of 77 - Sale <sup>25</sup>
	307.000	113.71	07/21/20	122.42	8.71 W	0.00	77 of 77 - Sale <sup>25</sup>
07/21/20	27,400.000	10,225.98	Various	10,820.48	594.50 W	0.00	Total of 77 transactions
	30 transactions for 07/24/20. Total proceeds and cost reported to the IRS.						
	7.000	2.28	07/24/20	2.63	0.35 W	0.00	1 of 30 - Sale <sup>25</sup>
	50.000	16.32	07/24/20	20.29	3.97 W	0.00	2 of 30 - Sale <sup>25</sup>
	107.000	34.92	07/24/20	43.44	8.52 W	0.00	3 of 30 - Sale <sup>25</sup>
	133.000	43.41	07/24/20	48.74	5.33 W	0.00	4 of 30 - Sale <sup>25</sup>
	143.000	46.67	07/24/20	52.41	5.74 W	0.00	5 of 30 - Sale <sup>25</sup>
	143.000	46.67	07/24/20	57.74	11.07 W	0.00	6 of 30 - Sale <sup>25</sup>
	193.000	62.99	07/24/20	70.71	7.72 W	0.00	7 of 30 - Sale <sup>25</sup>
	200.000	65.27	07/24/20	73.28	8.01 W	0.00	8 of 30 - Sale <sup>25</sup>
	207.000	67.56	07/24/20	75.86	8.30 W	0.00	9 of 30 - Sale <sup>25</sup>
	250.000	81.59	07/24/20	114.41	32.82 W	0.00	10 of 30 - Sale <sup>25</sup>
	267.000	87.14	07/24/20	97.84	10.70 W	0.00	11 of 30 - Sale <sup>25</sup>
	300.000	97.91	07/24/20	109.93	12.02 W	0.00	12 of 30 - Sale <sup>25</sup>
	357.000	116.52	07/24/20	144.96	28.44 W	0.00	13 of 30 - Sale <sup>25</sup>
	400.000	130.55	07/24/20	146.58	16.03 W	0.00	14 of 30 - Sale <sup>25</sup>
	400.000	130.55	07/24/20	146.58	16.03 W	0.00	15 of 30 - Sale <sup>25</sup>
	507.000	165.47	07/24/20	206.41	40.94 W	0.00	16 of 30 - Sale <sup>25</sup>
	1,136.000	370.76	07/24/20	404.15	33.39 W	0.00	17 of 30 - Sale <sup>25</sup>
	7.000	2.28	07/24/20	2.85	0.57 W	0.00	18 of 30 - Sale <sup>25</sup>
	10.000	3.26	07/24/20	3.96	0.70 W	0.00	19 of 30 - Sale <sup>25</sup>
	33.000	10.77	07/24/20	13.03	2.26 W	0.00	20 of 30 - Sale <sup>25</sup>
	43.000	14.03	07/24/20	17.90	3.87 W	0.00	21 of 30 - Sale <sup>25</sup>
	51.000	16.65	07/24/20	21.24	4.59 W	0.00	22 of 30 - Sale <sup>25</sup>
	143.000	46.67	07/24/20	57.60	10.93 W	0.00	23 of 30 - Sale <sup>25</sup>
	143.000	46.67	07/24/20	56.69	10.02 W	0.00	24 of 30 - Sale <sup>25</sup>
	149.000	48.63	07/24/20	62.02	13.39 W	0.00	25 of 30 - Sale <sup>25</sup>
	244.000	79.64	07/24/20	88.06	8.42 W	0.00	26 of 30 - Sale <sup>25</sup>
	256.000	83.55	07/24/20	92.35	8.80 W	0.00	27 of 30 - Sale <sup>25</sup>
	257.000	83.88	07/24/20	101.89	18.01 W	0.00	28 of 30 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	307.000	100.20	07/24/20	110.91	10.71 W	0.00	29 of 30 - Sale <sup>25</sup>
	3,357.000	1,095.64	07/24/20	1,117.55	21.91 W	0.00	30 of 30 - Sale <sup>25</sup>
07/24/20	9,800.000	3,198.45	Various	3,562.01	363.56 W	0.00	Total of 30 transactions
	74 transactions for 07/27/20. Total proceeds and cost reported to the IRS.						
	500.000	262.43	07/24/20	207.15	...	55.28	1 of 74 - Sale <sup>25</sup>
	1,000.000	615.76	07/27/20	698.87	...	-83.11	2 of 74 - Sale <sup>25</sup>
	267.000	180.19	07/27/20	228.47	...	-48.28	3 of 74 - Sale <sup>25</sup>
	500.000	307.89	07/27/20	347.53	...	-39.64	4 of 74 - Sale <sup>25</sup>
	250.000	168.72	07/27/20	207.88	...	-39.16	5 of 74 - Sale <sup>25</sup>
	143.000	96.51	07/27/20	131.02	...	-34.51	6 of 74 - Sale <sup>25</sup>
	100.000	61.58	07/27/20	92.03	...	-30.45	7 of 74 - Sale <sup>25</sup>
	107.000	72.21	07/27/20	98.35	...	-26.14	8 of 74 - Sale <sup>25</sup>
	100.000	61.58	07/27/20	86.96	...	-25.38	9 of 74 - Sale <sup>25</sup>
	82.000	50.49	07/27/20	75.46	...	-24.97	10 of 74 - Sale <sup>25</sup>
	125.000	84.36	07/27/20	106.98	...	-22.62	11 of 74 - Sale <sup>25</sup>
	111.000	74.91	07/27/20	97.52	...	-22.61	12 of 74 - Sale <sup>25</sup>
	86.000	52.96	07/27/20	75.05	...	-22.09	13 of 74 - Sale <sup>25</sup>
	225.000	142.85	07/27/20	164.84	...	-21.99	14 of 74 - Sale <sup>25</sup>
	125.000	84.36	07/27/20	105.89	...	-21.53	15 of 74 - Sale <sup>25</sup>
	68.000	41.87	07/27/20	63.03	...	-21.16	16 of 74 - Sale <sup>25</sup>
	82.000	55.34	07/27/20	72.04	...	-16.70	17 of 74 - Sale <sup>25</sup>
	89.000	60.06	07/27/20	76.16	...	-16.10	18 of 74 - Sale <sup>25</sup>
	88.000	59.39	07/27/20	75.29	...	-15.90	19 of 74 - Sale <sup>25</sup>
	500.000	309.23	07/27/20	324.73	...	-15.50	20 of 74 - Sale <sup>25</sup>
	89.000	60.06	07/27/20	75.32	...	-15.26	21 of 74 - Sale <sup>25</sup>
	86.000	58.04	07/27/20	72.56	...	-14.52	22 of 74 - Sale <sup>25</sup>
	125.000	84.36	07/27/20	98.84	...	-14.48	23 of 74 - Sale <sup>25</sup>
	75.000	50.61	07/27/20	64.16	...	-13.55	24 of 74 - Sale <sup>25</sup>
	46.000	28.33	07/27/20	40.51	...	-12.18	25 of 74 - Sale <sup>25</sup>
	150.000	95.23	07/27/20	106.27	...	-11.04	26 of 74 - Sale <sup>25</sup>
	500.000	337.44	07/27/20	348.02	...	-10.58	27 of 74 - Sale <sup>25</sup>
	50.000	33.74	07/27/20	43.84	...	-10.10	28 of 74 - Sale <sup>25</sup>
	290.000	179.35	07/27/20	188.21	...	-8.86	29 of 74 - Sale <sup>25</sup>
	100.000	61.57	07/27/20	70.11	...	-8.54	30 of 74 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	200.000	123.69	07/27/20	132.15	...	-8.46	31 of 74 - Sale <sup>25</sup>
	61.000	41.17	07/27/20	49.18	...	-8.01	32 of 74 - Sale <sup>25</sup>
	32.000	21.60	07/27/20	29.04	...	-7.44	33 of 74 - Sale <sup>25</sup>
	39.000	26.32	07/27/20	33.01	...	-6.69	34 of 74 - Sale <sup>25</sup>
	39.000	26.32	07/27/20	32.97	...	-6.65	35 of 74 - Sale <sup>25</sup>
	39.000	26.32	07/27/20	32.92	...	-6.60	36 of 74 - Sale <sup>25</sup>
	18.000	11.08	07/27/20	15.66	...	-4.58	37 of 74 - Sale <sup>25</sup>
	22.000	14.85	07/27/20	18.83	...	-3.98	38 of 74 - Sale <sup>25</sup>
	14.000	9.45	07/27/20	12.93	...	-3.48	39 of 74 - Sale <sup>25</sup>
	25.000	15.87	07/27/20	18.42	...	-2.55	40 of 74 - Sale <sup>25</sup>
	10.000	6.75	07/27/20	9.22	...	-2.47	41 of 74 - Sale <sup>25</sup>
	25.000	15.40	07/27/20	17.59	...	-2.19	42 of 74 - Sale <sup>25</sup>
	12.000	8.10	07/27/20	10.27	...	-2.17	43 of 74 - Sale <sup>25</sup>
	11.000	7.42	07/27/20	9.40	...	-1.98	44 of 74 - Sale <sup>25</sup>
	11.000	7.42	07/27/20	9.32	...	-1.90	45 of 74 - Sale <sup>25</sup>
	11.000	7.42	07/27/20	9.31	...	-1.89	46 of 74 - Sale <sup>25</sup>
	10.000	6.75	07/27/20	8.56	...	-1.81	47 of 74 - Sale <sup>25</sup>
	7.000	4.72	07/27/20	6.14	...	-1.42	48 of 74 - Sale <sup>25</sup>
	9.000	5.57	07/27/20	5.90	...	-0.33	49 of 74 - Sale <sup>25</sup>
	1.000	0.67	07/27/20	0.92	...	-0.25	50 of 74 - Sale <sup>25</sup>
	1.000	0.67	07/27/20	0.86	...	-0.19	51 of 74 - Sale <sup>25</sup>
	1.000	0.62	07/27/20	0.67	...	-0.05	52 of 74 - Sale <sup>25</sup>
	100.000	69.99	07/27/20	69.93	...	0.06	53 of 74 - Sale <sup>25</sup>
	7.000	3.67	07/27/20	3.49	...	0.18	54 of 74 - Sale <sup>25</sup>
	7.000	5.07	07/27/20	4.40	...	0.67	55 of 74 - Sale <sup>25</sup>
	64.000	33.59	07/27/20	31.23	...	2.36	56 of 74 - Sale <sup>25</sup>
	43.000	31.17	07/27/20	27.41	...	3.76	57 of 74 - Sale <sup>25</sup>
	51.000	36.97	07/27/20	32.51	...	4.46	58 of 74 - Sale <sup>25</sup>
	125.000	65.61	07/27/20	60.89	...	4.72	59 of 74 - Sale <sup>25</sup>
	123.000	64.56	07/27/20	58.71	...	5.85	60 of 74 - Sale <sup>25</sup>
	193.000	101.30	07/27/20	94.18	...	7.12	61 of 74 - Sale <sup>25</sup>
	200.000	104.97	07/27/20	97.61	...	7.36	62 of 74 - Sale <sup>25</sup>
	149.000	108.00	07/27/20	94.95	...	13.05	63 of 74 - Sale <sup>25</sup>
	400.000	209.95	07/27/20	195.24	...	14.71	64 of 74 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	143.000	103.66	07/27/20	88.30	...	15.36	65 of 74 - Sale <sup>25</sup>
	500.000	349.93	07/27/20	332.96	...	16.97	66 of 74 - Sale <sup>25</sup>
	1,300.000	909.82	07/27/20	887.41	...	22.41	67 of 74 - Sale <sup>25</sup>
	513.000	269.26	07/27/20	244.75	...	24.51	68 of 74 - Sale <sup>25</sup>
	243.000	176.14	07/27/20	150.05	...	26.09	69 of 74 - Sale <sup>25</sup>
	500.000	362.43	07/27/20	332.97	...	29.46	70 of 74 - Sale <sup>25</sup>
	244.000	176.87	07/27/20	141.99	...	34.88	71 of 74 - Sale <sup>25</sup>
	256.000	185.57	07/27/20	148.93	...	36.64	72 of 74 - Sale <sup>25</sup>
	307.000	222.53	07/27/20	178.76	...	43.77	73 of 74 - Sale <sup>25</sup>
	1,057.000	766.18	07/27/20	585.50	...	180.68	74 of 74 - Sale <sup>25</sup>
07/27/20	13,182.000	8,576.84	Various	8,768.53	...	-191.69	Total of 74 transactions
225 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	168.000	113.38	07/27/20	154.43	36.65 W	-4.40	1 of 225 - Sale <sup>25</sup>
	89.000	46.71	07/27/20	53.52	6.81 W	0.00	2 of 225 - Sale <sup>25</sup>
	133.000	69.81	07/27/20	79.97	10.16 W	0.00	3 of 225 - Sale <sup>25</sup>
	211.000	110.75	07/27/20	126.87	16.12 W	0.00	4 of 225 - Sale <sup>25</sup>
	267.000	140.14	07/27/20	160.54	20.40 W	0.00	5 of 225 - Sale <sup>25</sup>
	300.000	157.46	07/27/20	180.38	22.92 W	0.00	6 of 225 - Sale <sup>25</sup>
	50.000	26.24	07/27/20	32.15	5.91 W	0.00	7 of 225 - Sale <sup>25</sup>
	118.000	61.93	07/27/20	71.25	9.32 W	0.00	8 of 225 - Sale <sup>25</sup>
	143.000	75.06	07/27/20	86.35	11.29 W	0.00	9 of 225 - Sale <sup>25</sup>
	182.000	95.53	07/27/20	126.48	30.95 W	0.00	10 of 225 - Sale <sup>25</sup>
	507.000	266.11	07/27/20	326.74	60.63 W	0.00	11 of 225 - Sale <sup>25</sup>
	32.000	16.80	07/27/20	20.43	3.63 W	0.00	12 of 225 - Sale <sup>25</sup>
	68.000	35.69	07/27/20	47.69	12.00 W	0.00	13 of 225 - Sale <sup>25</sup>
	107.000	56.16	07/27/20	69.51	13.35 W	0.00	14 of 225 - Sale <sup>25</sup>
	143.000	75.06	07/27/20	92.58	17.52 W	0.00	15 of 225 - Sale <sup>25</sup>
	143.000	75.05	07/27/20	92.44	17.39 W	0.00	16 of 225 - Sale <sup>25</sup>
	357.000	187.38	07/27/20	231.93	44.55 W	0.00	17 of 225 - Sale <sup>25</sup>
	1.000	0.52	07/27/20	0.65	0.13 W	0.00	18 of 225 - Sale <sup>25</sup>
	10.000	5.25	07/27/20	6.53	1.28 W	0.00	19 of 225 - Sale <sup>25</sup>
	14.000	7.35	07/27/20	9.15	1.80 W	0.00	20 of 225 - Sale <sup>25</sup>
	200.000	139.97	07/27/20	150.50	10.53 W	0.00	21 of 225 - Sale <sup>25</sup>
	11.000	7.70	07/27/20	8.28	0.58 W	0.00	22 of 225 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	89.000	62.29	07/27/20	67.01	4.72 W	0.00	23 of 225 - Sale <sup>25</sup>
	44.000	30.79	07/27/20	33.13	2.34 W	0.00	24 of 225 - Sale <sup>25</sup>
	89.000	62.29	07/27/20	67.01	4.72 W	0.00	25 of 225 - Sale <sup>25</sup>
	267.000	186.86	07/27/20	201.02	14.16 W	0.00	26 of 225 - Sale <sup>25</sup>
	39.000	27.29	07/27/20	29.37	2.08 W	0.00	27 of 225 - Sale <sup>25</sup>
	211.000	147.67	07/27/20	158.88	11.21 W	0.00	28 of 225 - Sale <sup>25</sup>
	50.000	34.99	07/27/20	38.65	3.66 W	0.00	29 of 225 - Sale <sup>25</sup>
	50.000	34.99	07/27/20	40.73	5.74 W	0.00	30 of 225 - Sale <sup>25</sup>
	68.000	47.59	07/27/20	59.36	11.77 W	0.00	31 of 225 - Sale <sup>25</sup>
	118.000	82.59	07/27/20	91.50	8.91 W	0.00	32 of 225 - Sale <sup>25</sup>
	143.000	100.08	07/27/20	110.87	10.79 W	0.00	33 of 225 - Sale <sup>25</sup>
	132.000	92.38	07/27/20	108.09	15.71 W	0.00	34 of 225 - Sale <sup>25</sup>
	182.000	127.38	07/27/20	157.70	30.32 W	0.00	35 of 225 - Sale <sup>25</sup>
	507.000	354.84	07/27/20	413.70	58.86 W	0.00	36 of 225 - Sale <sup>25</sup>
	11.000	7.59	07/27/20	9.01	1.42 W	0.00	37 of 225 - Sale <sup>25</sup>
	189.000	130.39	07/27/20	155.20	24.81 W	0.00	38 of 225 - Sale <sup>25</sup>
	1.000	0.69	07/27/20	0.83	0.14 W	0.00	39 of 225 - Sale <sup>25</sup>
	10.000	6.90	07/27/20	8.24	1.34 W	0.00	40 of 225 - Sale <sup>25</sup>
	11.000	7.59	07/27/20	8.24	0.65 W	0.00	41 of 225 - Sale <sup>25</sup>
	14.000	9.66	07/27/20	11.55	1.89 W	0.00	42 of 225 - Sale <sup>25</sup>
	32.000	22.08	07/27/20	25.91	3.83 W	0.00	43 of 225 - Sale <sup>25</sup>
	39.000	26.90	07/27/20	29.24	2.34 W	0.00	44 of 225 - Sale <sup>25</sup>
	44.000	30.35	07/27/20	32.98	2.63 W	0.00	45 of 225 - Sale <sup>25</sup>
	89.000	61.40	07/27/20	66.70	5.30 W	0.00	46 of 225 - Sale <sup>25</sup>
	89.000	61.40	07/27/20	66.70	5.30 W	0.00	47 of 225 - Sale <sup>25</sup>
	107.000	73.82	07/27/20	87.87	14.05 W	0.00	48 of 225 - Sale <sup>25</sup>
	143.000	98.65	07/27/20	116.98	18.33 W	0.00	49 of 225 - Sale <sup>25</sup>
	200.000	137.97	07/27/20	149.81	11.84 W	0.00	50 of 225 - Sale <sup>25</sup>
	211.000	145.56	07/27/20	158.15	12.59 W	0.00	51 of 225 - Sale <sup>25</sup>
	267.000	184.19	07/27/20	200.10	15.91 W	0.00	52 of 225 - Sale <sup>25</sup>
	168.000	115.90	07/27/20	137.96	22.06 W	0.00	53 of 225 - Sale <sup>25</sup>
	125.000	86.23	07/27/20	87.05	0.82 W	0.00	54 of 225 - Sale <sup>25</sup>
	50.000	34.49	07/27/20	39.11	4.62 W	0.00	55 of 225 - Sale <sup>25</sup>
	111.000	76.58	07/27/20	87.07	10.49 W	0.00	56 of 225 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	50.000	34.49	07/27/20	41.19	6.70 W	0.00	57 of 225 - Sale <sup>25</sup>
	7.000	4.83	07/27/20	5.49	0.66 W	0.00	58 of 225 - Sale <sup>25</sup>
	143.000	98.66	07/27/20	112.16	13.50 W	0.00	59 of 225 - Sale <sup>25</sup>
	100.000	68.99	07/27/20	82.50	13.51 W	0.00	60 of 225 - Sale <sup>25</sup>
	289.000	199.38	07/27/20	238.42	39.04 W	0.00	61 of 225 - Sale <sup>25</sup>
	68.000	46.06	07/27/20	59.98	13.92 W	0.00	62 of 225 - Sale <sup>25</sup>
	132.000	89.41	07/27/20	109.28	19.87 W	0.00	63 of 225 - Sale <sup>25</sup>
	182.000	123.28	07/27/20	159.34	36.06 W	0.00	64 of 225 - Sale <sup>25</sup>
	118.000	79.93	07/27/20	97.35	17.42 W	0.00	65 of 225 - Sale <sup>25</sup>
	11.000	7.45	07/27/20	9.54	2.09 W	0.00	66 of 225 - Sale <sup>25</sup>
	149.000	100.93	07/27/20	129.52	28.59 W	0.00	67 of 225 - Sale <sup>25</sup>
	1.000	0.68	07/27/20	0.88	0.20 W	0.00	68 of 225 - Sale <sup>25</sup>
	7.000	4.74	07/27/20	5.83	1.09 W	0.00	69 of 225 - Sale <sup>25</sup>
	10.000	6.77	07/27/20	8.72	1.95 W	0.00	70 of 225 - Sale <sup>25</sup>
	11.000	7.45	07/27/20	8.77	1.32 W	0.00	71 of 225 - Sale <sup>25</sup>
	14.000	9.48	07/27/20	12.22	2.74 W	0.00	72 of 225 - Sale <sup>25</sup>
	32.000	21.68	07/27/20	27.45	5.77 W	0.00	73 of 225 - Sale <sup>25</sup>
	39.000	26.42	07/27/20	31.12	4.70 W	0.00	74 of 225 - Sale <sup>25</sup>
	39.000	26.42	07/27/20	34.05	7.63 W	0.00	75 of 225 - Sale <sup>25</sup>
	40.000	27.09	07/27/20	34.77	7.68 W	0.00	76 of 225 - Sale <sup>25</sup>
	44.000	29.80	07/27/20	35.10	5.30 W	0.00	77 of 225 - Sale <sup>25</sup>
	50.000	33.87	07/27/20	41.52	7.65 W	0.00	78 of 225 - Sale <sup>25</sup>
	50.000	33.87	07/27/20	43.60	9.73 W	0.00	79 of 225 - Sale <sup>25</sup>
	89.000	60.29	07/27/20	70.98	10.69 W	0.00	80 of 225 - Sale <sup>25</sup>
	89.000	60.29	07/27/20	70.98	10.69 W	0.00	81 of 225 - Sale <sup>25</sup>
	100.000	67.74	07/27/20	87.31	19.57 W	0.00	82 of 225 - Sale <sup>25</sup>
	107.000	72.48	07/27/20	93.02	20.54 W	0.00	83 of 225 - Sale <sup>25</sup>
	111.000	75.19	07/27/20	92.41	17.22 W	0.00	84 of 225 - Sale <sup>25</sup>
	125.000	84.67	07/27/20	93.07	8.40 W	0.00	85 of 225 - Sale <sup>25</sup>
	143.000	96.86	07/27/20	123.86	27.00 W	0.00	86 of 225 - Sale <sup>25</sup>
	143.000	96.86	07/27/20	119.03	22.17 W	0.00	87 of 225 - Sale <sup>25</sup>
	168.000	113.80	07/27/20	146.04	32.24 W	0.00	88 of 225 - Sale <sup>25</sup>
	200.000	135.47	07/27/20	159.44	23.97 W	0.00	89 of 225 - Sale <sup>25</sup>
	211.000	142.92	07/27/20	168.31	25.39 W	0.00	90 of 225 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	267.000	180.86	07/27/20	212.96	32.10 W	0.00	91 of 225 - Sale <sup>25</sup>
	250.000	169.34	07/27/20	214.52	45.18 W	0.00	92 of 225 - Sale <sup>25</sup>
	2,000.000	1,354.72	07/27/20	1,446.00	91.28 W	0.00	93 of 225 - Sale <sup>25</sup>
	100.000	68.29	07/27/20	87.25	18.96 W	0.00	94 of 225 - Sale <sup>25</sup>
	68.000	46.44	07/27/20	63.21	16.77 W	0.00	95 of 225 - Sale <sup>25</sup>
	18.000	12.29	07/27/20	15.71	3.42 W	0.00	96 of 225 - Sale <sup>25</sup>
	86.000	58.72	07/27/20	75.29	16.57 W	0.00	97 of 225 - Sale <sup>25</sup>
	182.000	124.29	07/27/20	167.99	43.70 W	0.00	98 of 225 - Sale <sup>25</sup>
	1.000	0.68	07/27/20	0.92	0.24 W	0.00	99 of 225 - Sale <sup>25</sup>
	10.000	6.75	07/27/20	9.20	2.45 W	0.00	100 of 225 - Sale <sup>25</sup>
	11.000	7.43	07/27/20	10.06	2.63 W	0.00	101 of 225 - Sale <sup>25</sup>
	11.000	7.43	07/27/20	9.29	1.86 W	0.00	102 of 225 - Sale <sup>25</sup>
	14.000	9.45	07/27/20	12.89	3.44 W	0.00	103 of 225 - Sale <sup>25</sup>
	32.000	21.60	07/27/20	28.97	7.37 W	0.00	104 of 225 - Sale <sup>25</sup>
	40.000	27.00	07/27/20	36.68	9.68 W	0.00	105 of 225 - Sale <sup>25</sup>
	44.000	29.70	07/27/20	37.20	7.50 W	0.00	106 of 225 - Sale <sup>25</sup>
	89.000	60.08	07/27/20	75.21	15.13 W	0.00	107 of 225 - Sale <sup>25</sup>
	89.000	60.08	07/27/20	75.21	15.13 W	0.00	108 of 225 - Sale <sup>25</sup>
	107.000	72.23	07/27/20	98.10	25.87 W	0.00	109 of 225 - Sale <sup>25</sup>
	125.000	84.38	07/27/20	105.65	21.27 W	0.00	110 of 225 - Sale <sup>25</sup>
	46.000	31.05	07/27/20	40.27	9.22 W	0.00	111 of 225 - Sale <sup>25</sup>
	143.000	96.53	07/27/20	130.66	34.13 W	0.00	112 of 225 - Sale <sup>25</sup>
	149.000	100.58	07/27/20	136.60	36.02 W	0.00	113 of 225 - Sale <sup>25</sup>
	168.000	113.41	07/27/20	154.02	40.61 W	0.00	114 of 225 - Sale <sup>25</sup>
	200.000	135.01	07/27/20	168.95	33.94 W	0.00	115 of 225 - Sale <sup>25</sup>
	267.000	180.25	07/27/20	225.65	45.40 W	0.00	116 of 225 - Sale <sup>25</sup>
	7.000	4.73	07/27/20	6.08	1.35 W	0.00	117 of 225 - Sale <sup>25</sup>
	39.000	26.33	07/27/20	32.50	6.17 W	0.00	118 of 225 - Sale <sup>25</sup>
	50.000	33.75	07/27/20	43.30	9.55 W	0.00	119 of 225 - Sale <sup>25</sup>
	82.000	55.35	07/27/20	71.17	15.82 W	0.00	120 of 225 - Sale <sup>25</sup>
	86.000	58.06	07/27/20	71.66	13.60 W	0.00	121 of 225 - Sale <sup>25</sup>
	111.000	74.94	07/27/20	96.35	21.41 W	0.00	122 of 225 - Sale <sup>25</sup>
	125.000	84.38	07/27/20	97.51	13.13 W	0.00	123 of 225 - Sale <sup>25</sup>
	18.000	12.60	07/27/20	15.51	2.91 W	0.00	124 of 225 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
39.000		27.29	07/27/20	33.81	6.52 W	0.00	125 of 225 - Sale <sup>25</sup>
46.000		32.19	07/27/20	40.10	7.91 W	0.00	126 of 225 - Sale <sup>25</sup>
50.000		34.99	07/27/20	43.30	8.31 W	0.00	127 of 225 - Sale <sup>25</sup>
61.000		42.69	07/27/20	50.42	7.73 W	0.00	128 of 225 - Sale <sup>25</sup>
68.000		47.59	07/27/20	62.43	14.84 W	0.00	129 of 225 - Sale <sup>25</sup>
86.000		60.19	07/27/20	74.31	14.12 W	0.00	130 of 225 - Sale <sup>25</sup>
100.000		69.99	07/27/20	86.71	16.72 W	0.00	131 of 225 - Sale <sup>25</sup>
100.000		69.99	07/27/20	86.10	16.11 W	0.00	132 of 225 - Sale <sup>25</sup>
182.000		127.37	07/27/20	165.89	38.52 W	0.00	133 of 225 - Sale <sup>25</sup>
250.000		174.97	07/27/20	213.03	38.06 W	0.00	134 of 225 - Sale <sup>25</sup>
2,000.000		1,399.73	07/27/20	1,434.08	34.35 W	0.00	135 of 225 - Sale <sup>25</sup>
1.000		0.67	07/27/20	0.91	0.24 W	0.00	136 of 225 - Sale <sup>25</sup>
7.000		4.71	07/27/20	6.05	1.34 W	0.00	137 of 225 - Sale <sup>25</sup>
10.000		6.73	07/27/20	9.16	2.43 W	0.00	138 of 225 - Sale <sup>25</sup>
11.000		7.40	07/27/20	10.02	2.62 W	0.00	139 of 225 - Sale <sup>25</sup>
11.000		7.40	07/27/20	9.25	1.85 W	0.00	140 of 225 - Sale <sup>25</sup>
14.000		9.42	07/27/20	12.84	3.42 W	0.00	141 of 225 - Sale <sup>25</sup>
32.000		21.53	07/27/20	28.85	7.32 W	0.00	142 of 225 - Sale <sup>25</sup>
39.000		26.24	07/27/20	32.35	6.11 W	0.00	143 of 225 - Sale <sup>25</sup>
40.000		26.91	07/27/20	36.54	9.63 W	0.00	144 of 225 - Sale <sup>25</sup>
44.000		29.61	07/27/20	37.04	7.43 W	0.00	145 of 225 - Sale <sup>25</sup>
50.000		33.64	07/27/20	43.12	9.48 W	0.00	146 of 225 - Sale <sup>25</sup>
82.000		55.17	07/27/20	70.87	15.70 W	0.00	147 of 225 - Sale <sup>25</sup>
86.000		57.87	07/27/20	71.34	13.47 W	0.00	148 of 225 - Sale <sup>25</sup>
89.000		59.89	07/27/20	74.88	14.99 W	0.00	149 of 225 - Sale <sup>25</sup>
89.000		59.88	07/27/20	74.88	15.00 W	0.00	150 of 225 - Sale <sup>25</sup>
107.000		72.00	07/27/20	97.71	25.71 W	0.00	151 of 225 - Sale <sup>25</sup>
111.000		74.69	07/27/20	95.94	21.25 W	0.00	152 of 225 - Sale <sup>25</sup>
125.000		84.11	07/27/20	105.20	21.09 W	0.00	153 of 225 - Sale <sup>25</sup>
125.000		84.11	07/27/20	97.06	12.95 W	0.00	154 of 225 - Sale <sup>25</sup>
143.000		96.22	07/27/20	130.14	33.92 W	0.00	155 of 225 - Sale <sup>25</sup>
149.000		100.26	07/27/20	136.06	35.80 W	0.00	156 of 225 - Sale <sup>25</sup>
168.000		113.04	07/27/20	153.40	40.36 W	0.00	157 of 225 - Sale <sup>25</sup>
200.000		134.57	07/27/20	168.22	33.65 W	0.00	158 of 225 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	267.000	179.66	07/27/20	224.67	45.01 W	0.00	159 of 225 - Sale <sup>25</sup>
	39.000	26.24	07/27/20	32.41	6.17 W	0.00	160 of 225 - Sale <sup>25</sup>
	61.000	41.04	07/27/20	48.30	7.26 W	0.00	161 of 225 - Sale <sup>25</sup>
	11.000	7.40	07/27/20	9.15	1.75 W	0.00	162 of 225 - Sale <sup>25</sup>
	89.000	59.89	07/27/20	74.06	14.17 W	0.00	163 of 225 - Sale <sup>25</sup>
	11.000	7.40	07/27/20	9.16	1.76 W	0.00	164 of 225 - Sale <sup>25</sup>
	39.000	26.24	07/27/20	32.45	6.21 W	0.00	165 of 225 - Sale <sup>25</sup>
	100.000	67.29	07/27/20	68.22	0.93 W	0.00	166 of 225 - Sale <sup>25</sup>
	250.000	168.22	07/27/20	204.31	36.09 W	0.00	167 of 225 - Sale <sup>25</sup>
	1,900.000	1,278.45	07/27/20	1,299.17	20.72 W	0.00	168 of 225 - Sale <sup>25</sup>
	18.000	12.11	07/27/20	15.83	3.72 W	0.00	169 of 225 - Sale <sup>25</sup>
	46.000	30.95	07/27/20	40.93	9.98 W	0.00	170 of 225 - Sale <sup>25</sup>
	68.000	45.75	07/27/20	63.65	17.90 W	0.00	171 of 225 - Sale <sup>25</sup>
	86.000	57.87	07/27/20	75.85	17.98 W	0.00	172 of 225 - Sale <sup>25</sup>
	100.000	67.29	07/27/20	87.89	20.60 W	0.00	173 of 225 - Sale <sup>25</sup>
	182.000	122.46	07/27/20	169.16	46.70 W	0.00	174 of 225 - Sale <sup>25</sup>
	2,000.000	1,345.73	07/27/20	1,435.60	89.87 W	0.00	175 of 225 - Sale <sup>25</sup>
	1.000	0.71	07/27/20	0.93	0.22 W	0.00	176 of 225 - Sale <sup>25</sup>
	10.000	7.08	07/27/20	9.35	2.27 W	0.00	177 of 225 - Sale <sup>25</sup>
	11.000	7.79	07/27/20	10.23	2.44 W	0.00	178 of 225 - Sale <sup>25</sup>
	14.000	9.91	07/27/20	13.11	3.20 W	0.00	179 of 225 - Sale <sup>25</sup>
	32.000	22.66	07/27/20	29.46	6.80 W	0.00	180 of 225 - Sale <sup>25</sup>
	40.000	28.32	07/27/20	37.31	8.99 W	0.00	181 of 225 - Sale <sup>25</sup>
	107.000	75.76	07/27/20	99.75	23.99 W	0.00	182 of 225 - Sale <sup>25</sup>
	143.000	101.25	07/27/20	132.88	31.63 W	0.00	183 of 225 - Sale <sup>25</sup>
	149.000	105.50	07/27/20	138.91	33.41 W	0.00	184 of 225 - Sale <sup>25</sup>
	168.000	118.95	07/27/20	156.62	37.67 W	0.00	185 of 225 - Sale <sup>25</sup>
	125.000	88.51	07/27/20	107.53	19.02 W	0.00	186 of 225 - Sale <sup>25</sup>
	11.000	7.70	07/27/20	9.46	1.76 W	0.00	187 of 225 - Sale <sup>25</sup>
	1.000	0.70	07/27/20	0.86	0.16 W	0.00	188 of 225 - Sale <sup>25</sup>
	75.000	52.49	07/27/20	64.52	12.03 W	0.00	189 of 225 - Sale <sup>25</sup>
	12.000	8.40	07/27/20	10.33	1.93 W	0.00	190 of 225 - Sale <sup>25</sup>
	88.000	61.59	07/27/20	75.72	14.13 W	0.00	191 of 225 - Sale <sup>25</sup>
	10.000	7.00	07/27/20	8.61	1.61 W	0.00	192 of 225 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	7.000	4.90	07/27/20	6.18	1.28 W	0.00	193 of 225 - Sale <sup>25</sup>
	11.000	7.70	07/27/20	9.36	1.66 W	0.00	194 of 225 - Sale <sup>25</sup>
	11.000	7.70	07/27/20	9.37	1.67 W	0.00	195 of 225 - Sale <sup>25</sup>
	39.000	27.29	07/27/20	33.10	5.81 W	0.00	196 of 225 - Sale <sup>25</sup>
	39.000	27.29	07/27/20	33.16	5.87 W	0.00	197 of 225 - Sale <sup>25</sup>
	39.000	27.29	07/27/20	33.20	5.91 W	0.00	198 of 225 - Sale <sup>25</sup>
	22.000	15.40	07/27/20	18.94	3.54 W	0.00	199 of 225 - Sale <sup>25</sup>
	50.000	34.99	07/27/20	44.08	9.09 W	0.00	200 of 225 - Sale <sup>25</sup>
	61.000	42.69	07/27/20	49.47	6.78 W	0.00	201 of 225 - Sale <sup>25</sup>
	82.000	57.39	07/27/20	72.44	15.05 W	0.00	202 of 225 - Sale <sup>25</sup>
	86.000	60.19	07/27/20	72.98	12.79 W	0.00	203 of 225 - Sale <sup>25</sup>
	89.000	62.29	07/27/20	76.59	14.30 W	0.00	204 of 225 - Sale <sup>25</sup>
	89.000	62.29	07/27/20	75.76	13.47 W	0.00	205 of 225 - Sale <sup>25</sup>
	111.000	77.69	07/27/20	98.06	20.37 W	0.00	206 of 225 - Sale <sup>25</sup>
	125.000	87.48	07/27/20	107.59	20.11 W	0.00	207 of 225 - Sale <sup>25</sup>
	125.000	87.48	07/27/20	99.45	11.97 W	0.00	208 of 225 - Sale <sup>25</sup>
	250.000	174.97	07/27/20	209.10	34.13 W	0.00	209 of 225 - Sale <sup>25</sup>
	267.000	186.86	07/27/20	229.77	42.91 W	0.00	210 of 225 - Sale <sup>25</sup>
	650.000	454.91	07/27/20	455.59	0.68 W	0.00	211 of 225 - Sale <sup>25</sup>
	225.000	157.47	07/27/20	163.15	5.68 W	0.00	212 of 225 - Sale <sup>25</sup>
	325.000	227.46	07/27/20	236.89	9.43 W	0.00	213 of 225 - Sale <sup>25</sup>
	500.000	349.93	07/27/20	364.66	14.73 W	0.00	214 of 225 - Sale <sup>25</sup>
	18.000	12.60	07/27/20	16.85	4.25 W	0.00	215 of 225 - Sale <sup>25</sup>
	46.000	32.19	07/27/20	43.55	11.36 W	0.00	216 of 225 - Sale <sup>25</sup>
	68.000	47.59	07/27/20	67.52	19.93 W	0.00	217 of 225 - Sale <sup>25</sup>
	86.000	60.19	07/27/20	80.73	20.54 W	0.00	218 of 225 - Sale <sup>25</sup>
	100.000	69.99	07/27/20	93.57	23.58 W	0.00	219 of 225 - Sale <sup>25</sup>
	182.000	127.37	07/27/20	179.51	52.14 W	0.00	220 of 225 - Sale <sup>25</sup>
	200.000	139.97	07/27/20	148.12	8.15 W	0.00	221 of 225 - Sale <sup>25</sup>
	11.000	7.42	07/27/20	10.09	2.67 W	0.00	222 of 225 - Sale <sup>25</sup>
	40.000	26.99	07/27/20	36.79	9.80 W	0.00	223 of 225 - Sale <sup>25</sup>
	149.000	100.55	07/27/20	136.96	36.41 W	0.00	224 of 225 - Sale <sup>25</sup>
	2,000.000	1,349.73	07/27/20	1,479.87	130.14 W	0.00	225 of 225 - Sale <sup>25</sup>
07/27/20	32,343.000	21,695.02	Various	25,190.73	3,491.31 W	-4.40	Total of 225 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
<i>9 transactions for 07/29/20. Total proceeds and cost reported to the IRS.</i>							
	149.000	83.54	07/27/20	130.13	...	-46.59	1 of 9 - Sale <sup>25</sup>
	255.000	142.98	07/27/20	176.99	...	-34.01	2 of 9 - Sale <sup>25</sup>
	45.000	25.23	07/27/20	39.30	...	-14.07	3 of 9 - Sale <sup>25</sup>
	100.000	56.07	07/27/20	68.96	...	-12.89	4 of 9 - Sale <sup>25</sup>
	40.000	22.43	07/27/20	34.96	...	-12.53	5 of 9 - Sale <sup>25</sup>
	30.000	16.82	07/27/20	26.23	...	-9.41	6 of 9 - Sale <sup>25</sup>
	11.000	6.17	07/27/20	9.59	...	-3.42	7 of 9 - Sale <sup>25</sup>
	20.000	11.21	07/27/20	13.87	...	-2.66	8 of 9 - Sale <sup>25</sup>
	75.000	42.06	07/28/20	70.15	...	-28.09	9 of 9 - Sale <sup>25</sup>
07/29/20	725.000	406.51	Various	570.18	...	-163.67	Total of 9 transactions
	<b>Security total:</b>	<b>49,298.49</b>		<b>53,794.80</b>	<b>4,482.52 W</b>	<b>-13.79</b>	
OFFICE DEPOT, INC. COMMON STOC K / CUSIP: 676220106 / Symbol:							
03/30/20	500.000	779.92	03/27/20	769.95	...	9.97	Sale <sup>25</sup>
05/07/20	10.000	20.23	05/06/20	22.00	1.77 W	0.00	Sale <sup>25</sup>
<i>6 transactions for 05/18/20. Total proceeds and cost reported to the IRS.</i>							
	40.000	90.00	05/15/20	100.80	...	-10.80	1 of 6 - Sale <sup>25</sup>
	50.000	112.49	05/15/20	119.00	...	-6.51	2 of 6 - Sale <sup>25</sup>
	10.000	22.50	05/15/20	24.77	...	-2.27	3 of 6 - Sale <sup>25</sup>
	30.000	67.50	05/15/20	66.30	...	1.20	4 of 6 - Sale <sup>25</sup>
	40.000	90.00	05/15/20	88.40	...	1.60	5 of 6 - Sale <sup>25</sup>
	60.000	134.99	05/15/20	132.60	...	2.39	6 of 6 - Sale <sup>25</sup>
05/18/20	230.000	517.48	Various	531.87	...	-14.39	Total of 6 transactions
<i>3 transactions for 05/18/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	224.99	05/15/20	239.00	5.60 W	-8.41	1 of 3 - Sale <sup>25</sup>
	10.000	22.50	05/15/20	23.87	1.37 W	0.00	2 of 3 - Sale <sup>25</sup>
	10.000	22.50	05/15/20	23.47	0.97 W	0.00	3 of 3 - Sale <sup>25</sup>
05/18/20	120.000	269.99	Various	286.34	7.94 W	-8.41	Total of 3 transactions
	<b>Security total:</b>	<b>1,587.62</b>		<b>1,610.16</b>	<b>9.71 W</b>	<b>-12.83</b>	
OKTA, INC. CLASS A COMMON STOC K / CUSIP: 679295105 / Symbol:							
06/26/20	10.000	2,034.95	06/25/20	2,038.66	...	-3.71	Sale <sup>25</sup>

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(continued)

03/15/2021

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OLLIE'S BARGAIN OUTLET HOLDING S, INC. COMMON STOCK / CUSIP: 681116109 / Symbol:							
<i>9 transactions for 12/03/20. Total proceeds and cost reported to the IRS.</i>							
	196.000	15,415.03	12/03/20	15,597.68	...	-182.65	1 of 9 - Sale <sup>25</sup>
	38.000	3,011.43	12/03/20	3,024.04	...	-12.61	2 of 9 - Sale <sup>25</sup>
	25.000	1,981.20	12/03/20	1,989.50	...	-8.30	3 of 9 - Sale <sup>25</sup>
	10.000	792.48	12/03/20	795.80	...	-3.32	4 of 9 - Sale <sup>25</sup>
	10.000	792.48	12/03/20	795.80	...	-3.32	5 of 9 - Sale <sup>25</sup>
	10.000	792.48	12/03/20	795.80	...	-3.32	6 of 9 - Sale <sup>25</sup>
	5.000	396.25	12/03/20	397.90	...	-1.65	7 of 9 - Sale <sup>25</sup>
	5.000	396.25	12/03/20	397.90	...	-1.65	8 of 9 - Sale <sup>25</sup>
	1.000	79.25	12/03/20	79.58	...	-0.33	9 of 9 - Sale <sup>25</sup>
12/03/20	300.000	23,656.85	Various	23,874.00	...	-217.15	Total of 9 transactions
ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol:							
03/16/20	500.000	147.44	03/13/20	164.00	...	-16.56	Sale <sup>25</sup>
<i>9 transactions for 08/17/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	52.00	08/17/20	51.01	...	0.99	1 of 9 - Sale <sup>25</sup>
	200.000	207.99	08/17/20	204.03	...	3.96	2 of 9 - Sale <sup>25</sup>
	220.000	228.79	08/17/20	224.40	...	4.39	3 of 9 - Sale <sup>25</sup>
	260.000	270.39	08/17/20	265.24	...	5.15	4 of 9 - Sale <sup>25</sup>
	300.000	311.99	08/17/20	306.00	...	5.99	5 of 9 - Sale <sup>25</sup>
	390.000	405.58	08/17/20	397.86	...	7.72	6 of 9 - Sale <sup>25</sup>
	490.000	509.58	08/17/20	499.80	...	9.78	7 of 9 - Sale <sup>25</sup>
	900.000	935.96	08/17/20	922.50	...	13.46	8 of 9 - Sale <sup>25</sup>
	2,190.000	2,277.50	08/17/20	2,233.80	...	43.70	9 of 9 - Sale <sup>25</sup>
08/17/20	5,000.000	5,199.78	Various	5,104.64	...	95.14	Total of 9 transactions
<i>3 transactions for 08/17/20. Total proceeds and cost reported to the IRS.</i>							
	390.000	397.74	08/17/20	397.80	0.06 W	0.00	1 of 3 - Sale <sup>25</sup>
	200.000	203.97	08/17/20	204.00	0.03 W	0.00	2 of 3 - Sale <sup>25</sup>
	310.000	316.15	08/17/20	316.20	0.05 W	0.00	3 of 3 - Sale <sup>25</sup>
08/17/20	900.000	917.86	Various	918.00	0.14 W	0.00	Total of 3 transactions
08/20/20	725.000	735.77	08/20/20	732.25	...	3.52	Sale <sup>25</sup>
	<b>Security total:</b>	<b>7,000.85</b>		<b>6,918.89</b>	<b>0.14 W</b>	<b>82.10</b>	

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(continued)

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ONCOCYTE CORPORATION / CUSIP: 68235C107 / Symbol:							
3 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	300.000	543.15	08/04/20	603.05	...	-59.90	1 of 3 - Sale <sup>25</sup>
	100.000	180.99	08/04/20	201.01	...	-20.02	2 of 3 - Sale <sup>25</sup>
	100.000	180.98	08/04/20	191.00	...	-10.02	3 of 3 - Sale <sup>25</sup>
08/04/20	500.000	905.12	Various	995.06	...	-89.94	Total of 3 transactions
2 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	400.000	723.93	08/04/20	764.00	30.05 W	-10.02	1 of 2 - Sale <sup>25</sup>
	100.000	180.99	08/04/20	191.00	10.01 W	0.00	2 of 2 - Sale <sup>25</sup>
08/04/20	500.000	904.92	Various	955.00	40.06 W	-10.02	Total of 2 transactions
	<b>Security total:</b>	<b>1,810.04</b>		<b>1,950.06</b>	<b>40.06 W</b>	<b>-99.96</b>	
1LIFE HEALTHCARE, INC. COMMON STOCK / CUSIP: 68269G107 / Symbol:							
05/08/20	25.000	632.11	05/07/20	615.25	...	16.86	Sale <sup>25</sup>
2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	5.000	165.04	05/29/20	158.63	...	6.41	1 of 2 - Sale <sup>25</sup>
	15.000	495.14	05/29/20	448.05	...	47.09	2 of 2 - Sale <sup>25</sup>
06/02/20	20.000	660.18	Various	606.68	...	53.50	Total of 2 transactions
06/29/20	50.000	1,666.96	06/29/20	1,678.00	5.52 W	-5.52	Sale <sup>25</sup>
4 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	14.000	546.40	07/09/20	559.03	...	-12.63	1 of 4 - Sale <sup>25</sup>
	8.000	312.23	07/09/20	319.45	...	-7.22	2 of 4 - Sale <sup>25</sup>
	2.000	78.06	07/09/20	80.04	...	-1.98	3 of 4 - Sale <sup>25</sup>
	1.000	39.16	07/09/20	39.93	...	-0.77	4 of 4 - Sale <sup>25</sup>
07/09/20	25.000	975.85	Various	998.45	...	-22.60	Total of 4 transactions
	<b>Security total:</b>	<b>3,935.10</b>		<b>3,898.38</b>	<b>5.52 W</b>	<b>42.24</b>	
ONESMART INTERNATIONAL EDUCATI ON GROUP LIMITED / CUSIP: 68276W103 / Symbol:							
16 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	143.000	905.15	08/18/20	915.95	...	-10.80	1 of 16 - Sale <sup>25</sup>
	100.000	632.97	08/18/20	641.52	...	-8.55	2 of 16 - Sale <sup>25</sup>
	100.000	632.97	08/18/20	641.51	...	-8.54	3 of 16 - Sale <sup>25</sup>
	66.000	417.76	08/18/20	422.09	...	-4.33	4 of 16 - Sale <sup>25</sup>
	47.000	297.50	08/18/20	301.52	...	-4.02	5 of 16 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ONESMART INTERNATIONAL EDUCATI ON GROUP LIMITED / CUSIP: 68276W103 / Symbol: (cont'd)							
	34.000	215.21	08/18/20	217.44	...	-2.23	6 of 16 - Sale <sup>25</sup>
	100.000	632.98	08/18/20	634.52	...	-1.54	7 of 16 - Sale <sup>25</sup>
	75.000	474.73	08/18/20	475.89	...	-1.16	8 of 16 - Sale <sup>25</sup>
	10.000	63.30	08/18/20	64.15	...	-0.85	9 of 16 - Sale <sup>25</sup>
	40.000	253.20	08/18/20	253.81	...	-0.61	10 of 16 - Sale <sup>25</sup>
	25.000	158.24	08/18/20	158.63	...	-0.39	11 of 16 - Sale <sup>25</sup>
	25.000	158.24	08/18/20	158.63	...	-0.39	12 of 16 - Sale <sup>25</sup>
	16.000	101.28	08/18/20	101.52	...	-0.24	13 of 16 - Sale <sup>25</sup>
	13.000	82.29	08/18/20	82.49	...	-0.20	14 of 16 - Sale <sup>25</sup>
	5.000	31.65	08/18/20	31.73	...	-0.08	15 of 16 - Sale <sup>25</sup>
	1.000	6.33	08/18/20	6.35	...	-0.02	16 of 16 - Sale <sup>25</sup>
08/18/20	800.000	5,063.80	Various	5,107.75	...	-43.95	Total of 16 transactions
	13 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	100.000	632.98	08/18/20	634.52	0.39 W	-1.15	1 of 13 - Sale <sup>25</sup>
	100.000	532.48	08/18/20	537.00	4.52 W	0.00	2 of 13 - Sale <sup>25</sup>
	100.000	532.48	08/18/20	537.00	4.52 W	0.00	3 of 13 - Sale <sup>25</sup>
	100.000	532.48	08/18/20	537.00	4.52 W	0.00	4 of 13 - Sale <sup>25</sup>
	75.000	399.36	08/18/20	402.75	3.39 W	0.00	5 of 13 - Sale <sup>25</sup>
	100.000	532.47	08/18/20	537.00	4.53 W	0.00	6 of 13 - Sale <sup>25</sup>
	268.000	1,427.03	08/18/20	1,439.16	12.13 W	0.00	7 of 13 - Sale <sup>25</sup>
	100.000	532.47	08/18/20	537.99	5.52 W	0.00	8 of 13 - Sale <sup>25</sup>
	10.000	53.25	08/18/20	53.80	0.55 W	0.00	9 of 13 - Sale <sup>25</sup>
	47.000	250.26	08/18/20	252.86	2.60 W	0.00	10 of 13 - Sale <sup>25</sup>
	100.000	532.48	08/18/20	537.99	5.51 W	0.00	11 of 13 - Sale <sup>25</sup>
	63.000	398.77	08/18/20	399.75	0.98 W	0.00	12 of 13 - Sale <sup>25</sup>
	37.000	234.20	08/18/20	234.77	0.57 W	0.00	13 of 13 - Sale <sup>25</sup>
08/18/20	1,200.000	6,590.71	Various	6,641.59	49.73 W	-1.15	Total of 13 transactions
	3 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	25.000	153.74	08/18/20	152.89	...	0.85	1 of 3 - Sale <sup>25</sup>
	37.000	227.55	08/18/20	226.27	...	1.28	2 of 3 - Sale <sup>25</sup>
	63.000	387.44	08/18/20	385.28	...	2.16	3 of 3 - Sale <sup>25</sup>
08/19/20	125.000	768.73	Various	764.44	...	4.29	Total of 3 transactions
	<b>Security total:</b>	<b>12,423.24</b>		<b>12,513.78</b>	<b>49.73 W</b>	<b>-40.81</b>	

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ONTRAK, INC. COMMON STOCK / CUSIP: 683373104 / Symbol:							
3 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	100.000	6,416.90	08/19/20	6,460.00	43.10 W	0.00	1 of 3 - Sale <sup>25</sup>
	300.000	19,250.68	08/19/20	19,362.00	111.32 W	0.00	2 of 3 - Sale <sup>25</sup>
	15.000	962.54	08/19/20	968.10	5.56 W	0.00	3 of 3 - Sale <sup>25</sup>
08/19/20	415.000	26,630.12	Various	26,790.10	159.98 W	0.00	Total of 3 transactions
7 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	85.000	6,574.64	08/20/20	6,778.99	...	-204.35	1 of 7 - Sale <sup>25</sup>
	97.000	7,195.29	08/20/20	7,378.89	...	-183.60	2 of 7 - Sale <sup>25</sup>
	100.000	7,734.86	08/20/20	7,817.11	...	-82.25	3 of 7 - Sale <sup>25</sup>
	39.000	2,892.95	08/20/20	2,966.77	...	-73.82	4 of 7 - Sale <sup>25</sup>
	50.000	3,757.16	08/20/20	3,798.55	...	-41.39	5 of 7 - Sale <sup>25</sup>
	14.000	1,038.50	08/20/20	1,065.00	...	-26.50	6 of 7 - Sale <sup>25</sup>
	15.000	1,160.23	08/20/20	1,172.56	...	-12.33	7 of 7 - Sale <sup>25</sup>
08/20/20	400.000	30,353.63	Various	30,977.87	...	-624.24	Total of 7 transactions
08/20/20	100.000	7,417.82	08/20/20	7,613.10	165.99 W	-29.29	Sale <sup>25</sup>
	<b>Security total:</b>	<b>64,401.57</b>		<b>65,381.07</b>	<b>325.97 W</b>	<b>-653.53</b>	
OPEN LENDING CORPORATION CLASS A COMMON STOCK / CUSIP: 68373J104 / Symbol:							
07/14/20	50.000	867.98	07/14/20	867.75	...	0.23	Sale <sup>25</sup>
OPGEN, INC. COMMON STOCK / CUSIP: 68373L307 / Symbol:							
03/04/20	100.000	336.69	03/03/20	388.00	51.31 W	0.00	Sale <sup>25</sup>
03/16/20	100.000	378.99	03/16/20	517.30	...	-138.31	Sale <sup>25</sup>
	<b>Security total:</b>	<b>715.68</b>		<b>905.30</b>	<b>51.31 W</b>	<b>-138.31</b>	
OPKO HEALTH, INC. COMMON STOCK / CUSIP: 68375N103 / Symbol:							
2 transactions for 03/12/20. Total proceeds and cost reported to the IRS.							
	243.000	398.49	03/11/20	446.93	...	-48.44	1 of 2 - Sale <sup>25</sup>
	7.000	11.48	03/11/20	12.88	...	-1.40	2 of 2 - Sale <sup>25</sup>
03/12/20	250.000	409.97	Various	459.81	...	-49.84	Total of 2 transactions
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	100.000	250.25	06/17/20	255.72	5.47 W	0.00	1 of 2 - Sale <sup>25</sup>
	100.000	250.25	06/19/20	254.73	4.48 W	0.00	2 of 2 - Sale <sup>25</sup>
06/19/20	200.000	500.50	Various	510.45	9.95 W	0.00	Total of 2 transactions

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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OPKO HEALTH, INC. COMMON STOCK / CUSIP: 68375N103 / Symbol: (cont'd)							
<i>5 transactions for 07/01/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	342.26	06/30/20	346.20	3.94 W	0.00	1 of 5 - Sale <sup>25</sup>
	50.000	171.13	06/30/20	172.61	1.48 W	0.00	2 of 5 - Sale <sup>25</sup>
	4.000	13.69	06/30/20	14.18	0.49 W	0.00	3 of 5 - Sale <sup>25</sup>
	46.000	157.44	06/30/20	163.06	5.62 W	0.00	4 of 5 - Sale <sup>25</sup>
	25.000	85.56	06/30/20	87.50	1.94 W	0.00	5 of 5 - Sale <sup>25</sup>
07/01/20	225.000	770.08	Various	783.55	13.47 W	0.00	Total of 5 transactions
<i>2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	390.25	07/08/20	397.67	7.42 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	195.13	07/08/20	198.35	3.22 W	0.00	2 of 2 - Sale <sup>25</sup>
07/08/20	150.000	585.38	Various	596.02	10.64 W	0.00	Total of 2 transactions
<i>5 transactions for 07/09/20. Total proceeds and cost reported to the IRS.</i>							
	75.000	304.70	07/09/20	312.08	7.38 W	0.00	1 of 5 - Sale <sup>25</sup>
	4.000	16.25	07/09/20	16.84	0.59 W	0.00	2 of 5 - Sale <sup>25</sup>
	21.000	85.32	07/09/20	88.39	3.07 W	0.00	3 of 5 - Sale <sup>25</sup>
	25.000	99.57	07/09/20	104.11	4.54 W	0.00	4 of 5 - Sale <sup>25</sup>
	25.000	99.57	07/09/20	105.22	5.65 W	0.00	5 of 5 - Sale <sup>25</sup>
07/09/20	150.000	605.41	Various	626.64	21.23 W	0.00	Total of 5 transactions
<i>3 transactions for 07/21/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	145.49	07/21/20	150.58	...	-5.09	1 of 3 - Sale <sup>25</sup>
	21.000	122.22	07/21/20	124.81	...	-2.59	2 of 3 - Sale <sup>25</sup>
	4.000	23.28	07/21/20	23.78	...	-0.50	3 of 3 - Sale <sup>25</sup>
07/21/20	50.000	290.99	Various	299.17	...	-8.18	Total of 3 transactions
<i>4 transactions for 07/21/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	290.99	07/21/20	294.79	1.90 W	-1.90	1 of 4 - Sale <sup>25</sup>
	25.000	146.00	07/21/20	150.85	4.85 W	0.00	2 of 4 - Sale <sup>25</sup>
	25.000	146.00	07/21/20	151.46	5.46 W	0.00	3 of 4 - Sale <sup>25</sup>
	50.000	291.99	07/21/20	301.22	9.23 W	0.00	4 of 4 - Sale <sup>25</sup>
07/21/20	150.000	874.98	Various	898.32	21.44 W	-1.90	Total of 4 transactions
<i>5 transactions for 07/29/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	145.62	07/29/20	151.10	5.48 W	0.00	1 of 5 - Sale <sup>25</sup>
	25.000	145.62	07/29/20	151.71	6.09 W	0.00	2 of 5 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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OPKO HEALTH, INC. COMMON STOCK / CUSIP: 68375N103 / Symbol: (cont'd)							
	25.000	145.62	07/29/20	148.15	2.53 W	0.00	3 of 5 - Sale <sup>25</sup>
	25.000	145.61	07/29/20	150.79	5.18 W	0.00	4 of 5 - Sale <sup>25</sup>
	50.000	291.25	07/29/20	301.73	10.48 W	0.00	5 of 5 - Sale <sup>25</sup>
07/29/20	150.000	873.72	Various	903.48	29.76 W	0.00	Total of 5 transactions
	14 transactions for 08/28/20. Total proceeds and cost reported to the IRS.						
	10.000	32.60	08/28/20	35.96	3.36 W	0.00	1 of 14 - Sale <sup>25</sup>
	15.000	48.90	08/28/20	53.95	5.05 W	0.00	2 of 14 - Sale <sup>25</sup>
	14.000	45.64	08/28/20	50.69	5.05 W	0.00	3 of 14 - Sale <sup>25</sup>
	11.000	35.86	08/28/20	39.83	3.97 W	0.00	4 of 14 - Sale <sup>25</sup>
	25.000	81.49	08/28/20	86.97	5.48 W	0.00	5 of 14 - Sale <sup>25</sup>
	25.000	81.50	08/28/20	89.61	8.11 W	0.00	6 of 14 - Sale <sup>25</sup>
	20.000	65.20	08/28/20	71.19	5.99 W	0.00	7 of 14 - Sale <sup>25</sup>
	10.000	32.60	08/28/20	36.86	4.26 W	0.00	8 of 14 - Sale <sup>25</sup>
	14.000	45.64	08/28/20	51.95	6.31 W	0.00	9 of 14 - Sale <sup>25</sup>
	15.000	48.90	08/28/20	55.30	6.40 W	0.00	10 of 14 - Sale <sup>25</sup>
	30.000	97.79	08/28/20	106.79	9.00 W	0.00	11 of 14 - Sale <sup>25</sup>
	31.000	101.05	08/28/20	103.85	2.80 W	0.00	12 of 14 - Sale <sup>25</sup>
	100.000	325.97	08/28/20	335.00	9.03 W	0.00	13 of 14 - Sale <sup>25</sup>
	12.000	39.12	08/28/20	39.60	0.48 W	0.00	14 of 14 - Sale <sup>25</sup>
08/28/20	332.000	1,082.26	Various	1,157.55	75.29 W	0.00	Total of 14 transactions
	7 transactions for 09/08/20. Total proceeds and cost reported to the IRS.						
	11.000	35.86	09/08/20	38.80	2.94 W	0.00	1 of 7 - Sale <sup>25</sup>
	25.000	81.50	09/08/20	84.65	3.15 W	0.00	2 of 7 - Sale <sup>25</sup>
	14.000	45.64	09/08/20	48.53	2.89 W	0.00	3 of 7 - Sale <sup>25</sup>
	25.000	81.50	09/08/20	87.28	5.78 W	0.00	4 of 7 - Sale <sup>25</sup>
	5.000	16.31	09/08/20	17.33	1.02 W	0.00	5 of 7 - Sale <sup>25</sup>
	10.000	32.73	09/08/20	36.06	3.33 W	0.00	6 of 7 - Sale <sup>25</sup>
	15.000	49.10	09/08/20	54.10	5.00 W	0.00	7 of 7 - Sale <sup>25</sup>
09/08/20	105.000	342.64	Various	366.75	24.11 W	0.00	Total of 7 transactions
	17 transactions for 09/09/20. Total proceeds and cost reported to the IRS.						
	649.000	2,037.93	09/08/20	2,063.82	...	-25.89	1 of 17 - Sale <sup>25</sup>
	30.000	94.20	09/08/20	102.28	...	-8.08	2 of 17 - Sale <sup>25</sup>
	14.000	43.96	09/08/20	49.84	...	-5.88	3 of 17 - Sale <sup>25</sup>

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(continued)

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OPKO HEALTH, INC. COMMON STOCK / CUSIP: 68375N103 / Symbol: (cont'd)							
	15.000	47.10	09/08/20	52.70	...	-5.60	4 of 17 - Sale <sup>25</sup>
	25.000	78.50	09/08/20	83.51	...	-5.01	5 of 17 - Sale <sup>25</sup>
	10.000	31.40	09/08/20	35.13	...	-3.73	6 of 17 - Sale <sup>25</sup>
	62.000	194.69	09/08/20	198.37	...	-3.68	7 of 17 - Sale <sup>25</sup>
	70.000	219.81	09/08/20	222.60	...	-2.79	8 of 17 - Sale <sup>25</sup>
	11.000	34.54	09/08/20	37.14	...	-2.60	9 of 17 - Sale <sup>25</sup>
	14.000	43.96	09/08/20	46.42	...	-2.46	10 of 17 - Sale <sup>25</sup>
	25.000	78.50	09/08/20	80.88	...	-2.38	11 of 17 - Sale <sup>25</sup>
	38.000	119.33	09/08/20	121.58	...	-2.25	12 of 17 - Sale <sup>25</sup>
	31.000	97.35	09/08/20	99.18	...	-1.83	13 of 17 - Sale <sup>25</sup>
	5.000	15.70	09/08/20	16.57	...	-0.87	14 of 17 - Sale <sup>25</sup>
	1.000	3.14	09/08/20	3.41	...	-0.27	15 of 17 - Sale <sup>25</sup>
	12.000	39.01	09/08/20	38.64	...	0.37	16 of 17 - Sale <sup>25</sup>
	339.000	1,102.03	09/08/20	1,078.02	...	24.01	17 of 17 - Sale <sup>25</sup>
09/09/20	1,351.000	4,281.15	Various	4,330.09	...	-48.94	Total of 17 transactions
	10 transactions for 09/09/20. Total proceeds and cost reported to the IRS.						
	11.000	35.76	09/08/20	37.92	2.16 W	0.00	1 of 10 - Sale <sup>25</sup>
	14.000	45.51	09/08/20	50.83	5.32 W	0.00	2 of 10 - Sale <sup>25</sup>
	25.000	81.27	09/08/20	82.65	1.38 W	0.00	3 of 10 - Sale <sup>25</sup>
	1.000	3.25	09/08/20	3.48	0.23 W	0.00	4 of 10 - Sale <sup>25</sup>
	5.000	16.25	09/08/20	16.92	0.67 W	0.00	5 of 10 - Sale <sup>25</sup>
	14.000	45.51	09/08/20	47.41	1.90 W	0.00	6 of 10 - Sale <sup>25</sup>
	25.000	81.27	09/08/20	85.28	4.01 W	0.00	7 of 10 - Sale <sup>25</sup>
	30.000	97.52	09/08/20	104.40	6.88 W	0.00	8 of 10 - Sale <sup>25</sup>
	31.000	100.78	09/08/20	101.38	0.60 W	0.00	9 of 10 - Sale <sup>25</sup>
	100.000	325.08	09/08/20	327.03	1.95 W	0.00	10 of 10 - Sale <sup>25</sup>
09/09/20	256.000	832.20	Various	857.30	25.10 W	0.00	Total of 10 transactions
10/13/20	11.000	45.93	10/12/20	45.10	...	0.83	Sale <sup>25</sup>
	2 transactions for 10/19/20. Total proceeds and cost reported to the IRS.						
	100.000	463.16	10/19/20	460.00	...	3.16	1 of 2 - Sale <sup>25</sup>
	100.000	463.17	10/19/20	460.00	...	3.17	2 of 2 - Sale <sup>25</sup>
10/19/20	200.000	926.33	Various	920.00	...	6.33	Total of 2 transactions
10/19/20	294.000	1,361.71	10/19/20	1,387.68	25.97 W	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OPKO HEALTH, INC. COMMON STOCK / CUSIP: 68375N103 / Symbol: (cont'd)							
<i>5 transactions for 10/26/20. Total proceeds and cost reported to the IRS.</i>							
	29.000	125.63	10/26/20	125.57	...	0.06	1 of 5 - Sale <sup>25</sup>
	106.000	459.20	10/26/20	458.98	...	0.22	2 of 5 - Sale <sup>25</sup>
	271.000	1,174.00	10/26/20	1,173.43	...	0.57	3 of 5 - Sale <sup>25</sup>
	400.000	1,732.83	10/26/20	1,732.00	...	0.83	4 of 5 - Sale <sup>25</sup>
	400.000	1,732.83	10/26/20	1,732.00	...	0.83	5 of 5 - Sale <sup>25</sup>
10/26/20	1,206.000	5,224.49	Various	5,221.98	...	2.51	Total of 5 transactions
10/26/20	294.000	1,273.63	10/26/20	1,298.99	11.39 W	-13.97	Sale <sup>25</sup>
<i>3 transactions for 10/30/20. Total proceeds and cost reported to the IRS.</i>							
	108.000	376.07	10/30/20	389.31	...	-13.24	1 of 3 - Sale <sup>25</sup>
	17.000	59.20	10/30/20	61.31	...	-2.11	2 of 3 - Sale <sup>25</sup>
	7.000	24.37	10/30/20	25.03	...	-0.66	3 of 3 - Sale <sup>25</sup>
10/30/20	132.000	459.64	Various	475.65	...	-16.01	Total of 3 transactions
	<b>Security total:</b>	<b>20,741.01</b>		<b>21,138.53</b>	<b>268.35 W</b>	<b>-129.17</b>	
ORASURE TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 68554V108 / Symbol:							
<i>2 transactions for 10/26/20. Total proceeds and cost reported to the IRS.</i>							
	383.000	6,217.40	10/26/20	6,300.35	...	-82.95	1 of 2 - Sale <sup>25</sup>
	117.000	1,899.31	10/26/20	1,924.65	...	-25.34	2 of 2 - Sale <sup>25</sup>
10/26/20	500.000	8,116.71	Various	8,225.00	...	-108.29	Total of 2 transactions
ORCHARD THERAPEUTICS PLC AMERI CAN DEPOSITARY SHARES / CUSIP: 68570P101 / Symbol:							
<i>8 transactions for 08/24/20. Total proceeds and cost reported to the IRS.</i>							
	197.000	1,112.03	08/24/20	1,115.02	...	-2.99	1 of 8 - Sale <sup>25</sup>
	179.000	1,010.43	08/24/20	1,013.14	...	-2.71	2 of 8 - Sale <sup>25</sup>
	160.000	903.18	08/24/20	905.60	...	-2.42	3 of 8 - Sale <sup>25</sup>
	140.000	790.28	08/24/20	792.40	...	-2.12	4 of 8 - Sale <sup>25</sup>
	121.000	683.03	08/24/20	684.86	...	-1.83	5 of 8 - Sale <sup>25</sup>
	103.000	581.42	08/24/20	582.98	...	-1.56	6 of 8 - Sale <sup>25</sup>
	50.000	282.24	08/24/20	283.00	...	-0.76	7 of 8 - Sale <sup>25</sup>
	50.000	282.24	08/24/20	283.00	...	-0.76	8 of 8 - Sale <sup>25</sup>
08/24/20	1,000.000	5,644.85	Various	5,660.00	...	-15.15	Total of 8 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORGANIGRAM HOLDINGS INC. COMMO N SHARES / CUSIP: 68620P101 / Symbol:							
06/01/20	2.000	3.44	05/29/20	3.50	...	-0.06	Sale <sup>25</sup>
OUTLOOK THERAPEUTICS, INC. COM MON STOCK / CUSIP: 69012T206 / Symbol:							
07/23/20	500.000	815.42	07/23/20	819.50	4.08 W	0.00	Sale <sup>25</sup>
2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	500.000	695.97	08/14/20	713.58	...	-17.61	1 of 2 - Sale <sup>25</sup>
	500.000	695.97	08/14/20	709.50	...	-13.53	2 of 2 - Sale <sup>25</sup>
08/14/20	1,000.000	1,391.94	Various	1,423.08	...	-31.14	Total of 2 transactions
	<b>Security total:</b>	<b>2,207.36</b>		<b>2,242.58</b>	<b>4.08 W</b>	<b>-31.14</b>	
OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol:							
2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	75.000	307.48	03/18/20	250.96	...	56.52	1 of 2 - Sale <sup>25</sup>
	50.000	204.99	03/19/20	198.50	...	6.49	2 of 2 - Sale <sup>25</sup>
03/20/20	125.000	512.47	Various	449.46	...	63.01	Total of 2 transactions
03/24/20	100.000	539.97	03/23/20	434.00	...	105.97	Sale <sup>25</sup>
03/25/20	50.000	276.51	03/24/20	287.50	10.99 W	0.00	Sale <sup>25</sup>
3 transactions for 04/13/20. Total proceeds and cost reported to the IRS.							
	21.000	144.69	04/08/20	124.78	...	19.91	1 of 3 - Sale <sup>25</sup>
	29.000	199.80	04/08/20	172.32	...	27.48	2 of 3 - Sale <sup>25</sup>
	50.000	344.48	04/08/20	308.10	...	36.38	3 of 3 - Sale <sup>25</sup>
04/13/20	100.000	688.97	Various	605.20	...	83.77	Total of 3 transactions
04/21/20	100.000	1,047.96	04/16/20	885.21	...	162.75	Sale <sup>25</sup>
4 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	100.000	1,160.96	04/23/20	772.10	...	388.86	1 of 4 - Sale <sup>25</sup>
	50.000	580.48	04/24/20	489.45	...	91.03	2 of 4 - Sale <sup>25</sup>
	100.000	1,160.96	04/24/20	943.00	...	217.96	3 of 4 - Sale <sup>25</sup>
	50.000	580.48	04/27/20	553.39	...	27.09	4 of 4 - Sale <sup>25</sup>
04/28/20	300.000	3,482.88	Various	2,757.94	...	724.94	Total of 4 transactions
2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	49.000	627.72	04/30/20	642.88	15.16 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	12.81	04/30/20	13.12	0.31 W	0.00	2 of 2 - Sale <sup>25</sup>
05/01/20	50.000	640.53	Various	656.00	15.47 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol: (cont'd)							
3 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	2.000	27.70	05/04/20	27.13	...	0.57	1 of 3 - Sale <sup>25</sup>
	2.000	27.70	05/04/20	27.13	...	0.57	2 of 3 - Sale <sup>25</sup>
	16.000	221.60	05/04/20	217.06	...	4.54	3 of 3 - Sale <sup>25</sup>
05/05/20	20.000	277.00	Various	271.32	...	5.68	Total of 3 transactions
4 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	1.000	19.34	05/11/20	17.42	...	1.92	1 of 4 - Sale <sup>25</sup>
	29.000	560.86	05/11/20	505.16	...	55.70	2 of 4 - Sale <sup>25</sup>
	20.000	386.80	05/12/20	367.55	...	19.25	3 of 4 - Sale <sup>25</sup>
	30.000	580.21	05/13/20	579.32	...	0.89	4 of 4 - Sale <sup>25</sup>
05/14/20	80.000	1,547.21	Various	1,469.45	...	77.76	Total of 4 transactions
2 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	10.000	193.40	05/12/20	202.27	8.87 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	193.40	05/12/20	204.80	11.40 W	0.00	2 of 2 - Sale <sup>25</sup>
05/14/20	20.000	386.80	Various	407.07	20.27 W	0.00	Total of 2 transactions
05/19/20	1.000	15.33	05/18/20	17.96	2.63 W	0.00	Sale <sup>25</sup>
3 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	10.000	180.00	05/19/20	171.90	...	8.10	1 of 3 - Sale <sup>25</sup>
	9.000	161.99	05/19/20	152.43	...	9.56	2 of 3 - Sale <sup>25</sup>
	15.000	269.99	05/19/20	240.75	...	29.24	3 of 3 - Sale <sup>25</sup>
05/20/20	34.000	611.98	Various	565.08	...	46.90	Total of 3 transactions
05/20/20	1.000	18.00	05/19/20	18.68	0.68 W	0.00	Sale <sup>25</sup>
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	23.00	06/04/20	24.08	1.08 W	0.00	1 of 2 - Sale <sup>25</sup>
	49.000	1,126.97	06/04/20	1,146.60	19.63 W	0.00	2 of 2 - Sale <sup>25</sup>
06/05/20	50.000	1,149.97	Various	1,170.68	20.71 W	0.00	Total of 2 transactions
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1.000	23.30	06/09/20	24.15	0.85 W	0.00	1 of 2 - Sale <sup>25</sup>
	49.000	1,141.67	06/09/20	1,150.06	8.39 W	0.00	2 of 2 - Sale <sup>25</sup>
06/10/20	50.000	1,164.97	Various	1,174.21	9.24 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol: (cont'd)							
2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	1.000	21.27	06/11/20	23.67	2.40 W	0.00	1 of 2 - Sale <sup>25</sup>
06/12/20	49.000	1,042.21	06/11/20	1,126.57	84.36 W	0.00	2 of 2 - Sale <sup>25</sup>
	50.000	1,063.48	Various	1,150.24	86.76 W	0.00	Total of 2 transactions
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	5.000	111.42	06/15/20	103.65	...	7.77	1 of 2 - Sale <sup>25</sup>
06/19/20	24.000	534.83	06/15/20	523.84	...	10.99	2 of 2 - Sale <sup>25</sup>
	29.000	646.25	Various	627.49	...	18.76	Total of 2 transactions
5 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	1.000	22.28	06/15/20	22.51	0.23 W	0.00	1 of 5 - Sale <sup>25</sup>
	25.000	557.12	06/15/20	557.41	0.29 W	0.00	2 of 5 - Sale <sup>25</sup>
	7.000	155.99	06/17/20	163.73	7.74 W	0.00	3 of 5 - Sale <sup>25</sup>
	38.000	846.82	06/17/20	889.20	42.38 W	0.00	4 of 5 - Sale <sup>25</sup>
06/19/20	50.000	1,114.24	06/17/20	1,161.71	47.47 W	0.00	5 of 5 - Sale <sup>25</sup>
	121.000	2,696.45	Various	2,794.56	98.11 W	0.00	Total of 5 transactions
4 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	1.000	26.66	06/19/20	25.36	...	1.30	1 of 4 - Sale <sup>25</sup>
	7.000	186.59	06/19/20	183.66	...	2.93	2 of 4 - Sale <sup>25</sup>
	17.000	453.16	06/19/20	446.20	...	6.96	3 of 4 - Sale <sup>25</sup>
	25.000	666.40	06/19/20	628.58	...	37.82	4 of 4 - Sale <sup>25</sup>
06/22/20	50.000	1,332.81	Various	1,283.80	...	49.01	Total of 4 transactions
10 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	21.000	580.47	06/26/20	605.54	25.07 W	0.00	1 of 10 - Sale <sup>25</sup>
	4.000	110.57	06/26/20	114.68	4.11 W	0.00	2 of 10 - Sale <sup>25</sup>
	25.000	691.98	06/26/20	716.73	24.75 W	0.00	3 of 10 - Sale <sup>25</sup>
	21.000	592.82	06/26/20	614.87	22.05 W	0.00	4 of 10 - Sale <sup>25</sup>
	21.000	592.82	06/26/20	620.00	27.18 W	0.00	5 of 10 - Sale <sup>25</sup>
	4.000	112.92	06/26/20	117.43	4.51 W	0.00	6 of 10 - Sale <sup>25</sup>
	25.000	705.73	06/26/20	733.00	27.27 W	0.00	7 of 10 - Sale <sup>25</sup>
	29.000	818.65	06/26/20	821.57	2.92 W	0.00	8 of 10 - Sale <sup>25</sup>
	200.000	5,645.87	06/26/20	5,666.00	20.13 W	0.00	9 of 10 - Sale <sup>25</sup>
	200.000	5,645.86	06/26/20	5,665.98	20.12 W	0.00	10 of 10 - Sale <sup>25</sup>
06/26/20	550.000	15,497.69	Various	15,675.80	178.11 W	0.00	Total of 10 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol: (cont'd)							
4 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	20.000	554.38	06/29/20	569.94	15.56 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	28.00	06/29/20	29.49	1.49 W	0.00	2 of 4 - Sale <sup>25</sup>
	3.000	84.00	06/29/20	88.76	4.76 W	0.00	3 of 4 - Sale <sup>25</sup>
	21.000	587.98	06/29/20	624.84	36.86 W	0.00	4 of 4 - Sale <sup>25</sup>
06/29/20	45.000	1,254.36	Various	1,313.03	58.67 W	0.00	Total of 4 transactions
8 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	1.000	44.24	07/07/20	46.13	1.89 W	0.00	1 of 8 - Sale <sup>25</sup>
	9.000	398.12	07/07/20	414.82	16.70 W	0.00	2 of 8 - Sale <sup>25</sup>
	16.000	709.10	07/07/20	737.45	28.35 W	0.00	3 of 8 - Sale <sup>25</sup>
	20.000	886.38	07/07/20	902.01	15.63 W	0.00	4 of 8 - Sale <sup>25</sup>
	29.000	1,285.25	07/07/20	1,307.92	22.67 W	0.00	5 of 8 - Sale <sup>25</sup>
	15.000	664.79	07/07/20	671.71	6.92 W	0.00	6 of 8 - Sale <sup>25</sup>
	1.000	43.89	07/07/20	44.78	0.89 W	0.00	7 of 8 - Sale <sup>25</sup>
	9.000	394.74	07/07/20	403.03	8.29 W	0.00	8 of 8 - Sale <sup>25</sup>
07/07/20	100.000	4,426.51	Various	4,527.85	101.34 W	0.00	Total of 8 transactions
07/08/20	5.000	203.16	07/08/20	204.75	1.59 W	0.00	Sale <sup>25</sup>
4 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	50.000	2,497.43	07/10/20	2,491.53	...	5.90	1 of 4 - Sale <sup>25</sup>
	25.000	1,248.72	07/10/20	1,208.77	...	39.95	2 of 4 - Sale <sup>25</sup>
	25.000	1,248.72	07/10/20	1,202.27	...	46.45	3 of 4 - Sale <sup>25</sup>
	25.000	1,248.72	07/10/20	1,202.27	...	46.45	4 of 4 - Sale <sup>25</sup>
07/10/20	125.000	6,243.59	Various	6,104.84	...	138.75	Total of 4 transactions
5 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	25.000	1,248.72	07/10/20	1,266.51	17.79 W	0.00	1 of 5 - Sale <sup>25</sup>
	25.000	1,221.47	07/10/20	1,266.52	45.05 W	0.00	2 of 5 - Sale <sup>25</sup>
	75.000	3,664.42	07/10/20	3,729.04	64.62 W	0.00	3 of 5 - Sale <sup>25</sup>
	25.000	1,212.97	07/10/20	1,243.01	30.04 W	0.00	4 of 5 - Sale <sup>25</sup>
	10.000	485.19	07/10/20	492.01	6.82 W	0.00	5 of 5 - Sale <sup>25</sup>
07/10/20	160.000	7,832.77	Various	7,997.09	164.32 W	0.00	Total of 5 transactions
07/13/20	5.000	245.50	07/13/20	254.00	8.50 W	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol: (cont'd)							
2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	18.000	823.16	07/15/20	828.82	5.66 W	0.00	1 of 2 - Sale <sup>25</sup>
	7.000	320.12	07/15/20	325.40	5.28 W	0.00	2 of 2 - Sale <sup>25</sup>
07/15/20	25.000	1,143.28	Various	1,154.22	10.94 W	0.00	Total of 2 transactions
07/16/20	25.000	1,117.47	07/15/20	1,174.70	57.23 W	0.00	Sale <sup>25</sup>
4 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	1.000	52.00	07/20/20	49.58	...	2.42	1 of 4 - Sale <sup>25</sup>
	5.000	259.99	07/20/20	256.24	...	3.75	2 of 4 - Sale <sup>25</sup>
	10.000	519.99	07/20/20	513.46	...	6.53	3 of 4 - Sale <sup>25</sup>
	9.000	467.99	07/20/20	448.37	...	19.62	4 of 4 - Sale <sup>25</sup>
07/20/20	25.000	1,299.97	Various	1,267.65	...	32.32	Total of 4 transactions
3 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	1.000	51.40	07/20/20	53.92	2.52 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	256.99	07/20/20	266.03	9.04 W	0.00	2 of 3 - Sale <sup>25</sup>
	19.000	976.58	07/20/20	1,029.48	52.90 W	0.00	3 of 3 - Sale <sup>25</sup>
07/21/20	25.000	1,284.97	Various	1,349.43	64.46 W	0.00	Total of 3 transactions
7 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	2.000	109.41	07/22/20	112.29	2.88 W	0.00	1 of 7 - Sale <sup>25</sup>
	1.000	54.71	07/22/20	55.97	1.26 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	53.95	07/22/20	56.28	2.33 W	0.00	3 of 7 - Sale <sup>25</sup>
	2.000	107.90	07/22/20	111.95	4.05 W	0.00	4 of 7 - Sale <sup>25</sup>
	7.000	377.64	07/22/20	393.12	15.48 W	0.00	5 of 7 - Sale <sup>25</sup>
	9.000	485.54	07/22/20	506.19	20.65 W	0.00	6 of 7 - Sale <sup>25</sup>
	6.000	323.69	07/22/20	339.31	15.62 W	0.00	7 of 7 - Sale <sup>25</sup>
07/22/20	28.000	1,512.84	Various	1,575.11	62.27 W	0.00	Total of 7 transactions
2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	3.000	169.38	07/22/20	168.97	...	0.41	1 of 2 - Sale <sup>25</sup>
	22.000	1,242.08	07/22/20	1,217.30	...	24.78	2 of 2 - Sale <sup>25</sup>
07/23/20	25.000	1,411.46	Various	1,386.27	...	25.19	Total of 2 transactions
6 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	7.000	392.97	07/23/20	396.83	3.86 W	0.00	1 of 6 - Sale <sup>25</sup>
	18.000	1,010.49	07/23/20	1,020.41	9.92 W	0.00	2 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol: (cont'd)							
	1.000	56.14	07/23/20	57.75	1.61 W	0.00	3 of 6 - Sale <sup>25</sup>
	2.000	112.28	07/23/20	115.28	3.00 W	0.00	4 of 6 - Sale <sup>25</sup>
	7.000	392.97	07/23/20	404.47	11.50 W	0.00	5 of 6 - Sale <sup>25</sup>
	15.000	842.08	07/23/20	859.82	17.74 W	0.00	6 of 6 - Sale <sup>25</sup>
07/23/20	50.000	2,806.93	Various	2,854.56	47.63 W	0.00	Total of 6 transactions
	5 transactions for 07/24/20. Total proceeds and cost reported to the IRS.						
	2.000	102.26	07/23/20	108.74	6.48 W	0.00	1 of 5 - Sale <sup>25</sup>
	5.000	255.65	07/23/20	268.83	13.18 W	0.00	2 of 5 - Sale <sup>25</sup>
	13.000	664.70	07/23/20	718.26	53.56 W	0.00	3 of 5 - Sale <sup>25</sup>
	25.000	1,278.27	07/23/20	1,353.99	75.72 W	0.00	4 of 5 - Sale <sup>25</sup>
	5.000	246.85	07/23/20	276.25	29.40 W	0.00	5 of 5 - Sale <sup>25</sup>
07/24/20	50.000	2,547.73	Various	2,726.07	178.34 W	0.00	Total of 5 transactions
	3 transactions for 07/27/20. Total proceeds and cost reported to the IRS.						
	7.000	391.99	07/24/20	385.64	...	6.35	1 of 3 - Sale <sup>25</sup>
	25.000	1,386.59	07/24/20	1,353.79	...	32.80	2 of 3 - Sale <sup>25</sup>
	18.000	1,007.97	07/24/20	974.73	...	33.24	3 of 3 - Sale <sup>25</sup>
07/27/20	50.000	2,786.55	Various	2,714.16	...	72.39	Total of 3 transactions
07/29/20	21.000	1,209.36	07/27/20	1,214.00	4.64 W	0.00	Sale <sup>25</sup>
	28 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	1.000	76.91	07/27/20	61.29	...	15.62	1 of 28 - Sale <sup>25</sup>
	3.000	196.82	07/27/20	172.83	...	23.99	2 of 28 - Sale <sup>25</sup>
	4.000	262.43	07/27/20	231.24	...	31.19	3 of 28 - Sale <sup>25</sup>
	3.000	221.99	07/27/20	183.87	...	38.12	4 of 28 - Sale <sup>25</sup>
	3.000	223.80	07/27/20	183.55	...	40.25	5 of 28 - Sale <sup>25</sup>
	3.000	223.79	07/27/20	170.17	...	53.62	6 of 28 - Sale <sup>25</sup>
	7.000	459.26	07/27/20	400.89	...	58.37	7 of 28 - Sale <sup>25</sup>
	5.000	372.99	07/27/20	290.54	...	82.45	8 of 28 - Sale <sup>25</sup>
	7.000	522.19	07/27/20	417.55	...	104.64	9 of 28 - Sale <sup>25</sup>
	7.000	522.19	07/27/20	403.27	...	118.92	10 of 28 - Sale <sup>25</sup>
	10.000	745.98	07/27/20	570.90	...	175.08	11 of 28 - Sale <sup>25</sup>
	17.000	1,257.97	07/27/20	1,041.91	...	216.06	12 of 28 - Sale <sup>25</sup>
	15.000	1,118.97	07/27/20	888.15	...	230.82	13 of 28 - Sale <sup>25</sup>
	15.000	1,124.97	07/27/20	864.14	...	260.83	14 of 28 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol: (cont'd)							
	1.000	75.83	07/29/20	63.61	...	12.22	15 of 28 - Sale <sup>25</sup>
	1.000	75.83	07/29/20	63.44	...	12.39	16 of 28 - Sale <sup>25</sup>
	1.000	75.83	07/29/20	63.16	...	12.67	17 of 28 - Sale <sup>25</sup>
	1.000	75.83	07/29/20	62.09	...	13.74	18 of 28 - Sale <sup>25</sup>
	1.000	75.83	07/29/20	62.05	...	13.78	19 of 28 - Sale <sup>25</sup>
	1.000	76.91	07/29/20	62.02	...	14.89	20 of 28 - Sale <sup>25</sup>
	1.000	76.91	07/29/20	61.79	...	15.12	21 of 28 - Sale <sup>25</sup>
	2.000	151.66	07/29/20	125.71	...	25.95	22 of 28 - Sale <sup>25</sup>
	2.000	151.66	07/29/20	124.54	...	27.12	23 of 28 - Sale <sup>25</sup>
	3.000	230.72	07/29/20	186.83	...	43.89	24 of 28 - Sale <sup>25</sup>
	5.000	384.54	07/29/20	306.51	...	78.03	25 of 28 - Sale <sup>25</sup>
	7.000	530.79	07/29/20	441.29	...	89.50	26 of 28 - Sale <sup>25</sup>
	9.000	682.44	07/29/20	568.12	...	114.32	27 of 28 - Sale <sup>25</sup>
	14.000	1,076.71	07/29/20	868.77	...	207.94	28 of 28 - Sale <sup>25</sup>
07/30/20	149.000	11,071.75	Various	8,940.23	...	2,131.52	Total of 28 transactions
22 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	1.000	74.71	07/29/20	63.43	...	11.28	1 of 22 - Sale <sup>25</sup>
	2.000	149.42	07/29/20	130.40	...	19.02	2 of 22 - Sale <sup>25</sup>
	2.000	149.42	07/29/20	129.76	...	19.66	3 of 22 - Sale <sup>25</sup>
	4.000	298.84	07/29/20	267.57	...	31.27	4 of 22 - Sale <sup>25</sup>
	4.000	298.84	07/29/20	259.36	...	39.48	5 of 22 - Sale <sup>25</sup>
	6.000	448.25	07/29/20	389.30	...	58.95	6 of 22 - Sale <sup>25</sup>
	7.000	522.96	07/29/20	453.89	...	69.07	7 of 22 - Sale <sup>25</sup>
	14.000	1,045.93	07/29/20	899.52	...	146.41	8 of 22 - Sale <sup>25</sup>
	1.000	75.00	07/30/20	74.97	...	0.03	9 of 22 - Sale <sup>25</sup>
	11.000	824.98	07/30/20	824.66	...	0.32	10 of 22 - Sale <sup>25</sup>
	1.000	77.00	07/30/20	73.67	...	3.33	11 of 22 - Sale <sup>25</sup>
	7.000	538.99	07/30/20	535.35	...	3.64	12 of 22 - Sale <sup>25</sup>
	1.000	74.71	07/30/20	68.27	...	6.44	13 of 22 - Sale <sup>25</sup>
	2.000	149.42	07/30/20	139.80	...	9.62	14 of 22 - Sale <sup>25</sup>
	4.000	307.99	07/30/20	293.80	...	14.19	15 of 22 - Sale <sup>25</sup>
	3.000	224.13	07/30/20	209.07	...	15.06	16 of 22 - Sale <sup>25</sup>
	20.000	1,494.18	07/30/20	1,473.42	...	20.76	17 of 22 - Sale <sup>25</sup>
	5.000	373.55	07/30/20	346.47	...	27.08	18 of 22 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol: (cont'd)							
	7.000	522.96	07/30/20	478.12	...	44.84	19 of 22 - Sale <sup>25</sup>
	20.000	1,539.96	07/30/20	1,495.00	...	44.96	20 of 22 - Sale <sup>25</sup>
	7.000	522.96	07/30/20	474.89	...	48.07	21 of 22 - Sale <sup>25</sup>
	15.000	1,120.64	07/30/20	1,071.51	...	49.13	22 of 22 - Sale <sup>25</sup>
07/31/20	144.000	10,834.84	Various	10,152.23	...	682.61	Total of 22 transactions
	77 transactions for 07/31/20. Total proceeds and cost reported to the IRS.						
	5.000	384.99	07/30/20	396.65	11.66 W	0.00	1 of 77 - Sale <sup>25</sup>
	13.000	1,000.98	07/30/20	1,008.41	7.43 W	0.00	2 of 77 - Sale <sup>25</sup>
	5.000	374.99	07/30/20	376.61	1.62 W	0.00	3 of 77 - Sale <sup>25</sup>
	5.000	374.99	07/30/20	386.51	11.52 W	0.00	4 of 77 - Sale <sup>25</sup>
	8.000	599.99	07/30/20	604.32	4.33 W	0.00	5 of 77 - Sale <sup>25</sup>
	5.000	374.99	07/30/20	376.46	1.47 W	0.00	6 of 77 - Sale <sup>25</sup>
	5.000	374.99	07/30/20	390.92	15.93 W	0.00	7 of 77 - Sale <sup>25</sup>
	8.000	599.98	07/30/20	611.37	11.39 W	0.00	8 of 77 - Sale <sup>25</sup>
	2.000	150.00	07/30/20	151.76	1.76 W	0.00	9 of 77 - Sale <sup>25</sup>
	5.000	369.29	07/30/20	380.87	11.58 W	0.00	10 of 77 - Sale <sup>25</sup>
	35.000	2,585.04	07/30/20	2,655.79	70.75 W	0.00	11 of 77 - Sale <sup>25</sup>
	2.000	147.72	07/30/20	157.93	10.21 W	0.00	12 of 77 - Sale <sup>25</sup>
	8.000	590.87	07/30/20	617.63	26.76 W	0.00	13 of 77 - Sale <sup>25</sup>
	3.000	221.57	07/30/20	244.58	23.01 W	0.00	14 of 77 - Sale <sup>25</sup>
	2.000	147.72	07/30/20	158.44	10.72 W	0.00	15 of 77 - Sale <sup>25</sup>
	20.000	1,477.16	07/30/20	1,566.80	89.64 W	0.00	16 of 77 - Sale <sup>25</sup>
	25.000	1,846.45	07/30/20	1,917.50	71.05 W	0.00	17 of 77 - Sale <sup>25</sup>
	2.000	149.35	07/31/20	164.85	15.50 W	0.00	18 of 77 - Sale <sup>25</sup>
	5.000	373.37	07/31/20	398.18	24.81 W	0.00	19 of 77 - Sale <sup>25</sup>
	8.000	597.38	07/31/20	645.32	47.94 W	0.00	20 of 77 - Sale <sup>25</sup>
	10.000	746.72	07/31/20	793.41	46.69 W	0.00	21 of 77 - Sale <sup>25</sup>
	25.000	1,826.96	07/31/20	1,983.54	156.58 W	0.00	22 of 77 - Sale <sup>25</sup>
	3.000	219.23	07/31/20	253.86	34.63 W	0.00	23 of 77 - Sale <sup>25</sup>
	2.000	146.16	07/31/20	164.62	18.46 W	0.00	24 of 77 - Sale <sup>25</sup>
	20.000	1,461.56	07/31/20	1,628.61	167.05 W	0.00	25 of 77 - Sale <sup>25</sup>
	25.000	1,826.96	07/31/20	1,994.77	167.81 W	0.00	26 of 77 - Sale <sup>25</sup>
	2.000	146.16	07/31/20	165.24	19.08 W	0.00	27 of 77 - Sale <sup>25</sup>
	5.000	365.39	07/31/20	399.17	33.78 W	0.00	28 of 77 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol: (cont'd)							
	8.000	584.63	07/31/20	646.91	62.28 W	0.00	29 of 77 - Sale <sup>25</sup>
	10.000	730.78	07/31/20	795.40	64.62 W	0.00	30 of 77 - Sale <sup>25</sup>
	25.000	1,826.95	07/31/20	1,871.78	44.83 W	0.00	31 of 77 - Sale <sup>25</sup>
	2.000	146.04	07/31/20	168.82	22.78 W	0.00	32 of 77 - Sale <sup>25</sup>
	3.000	219.05	07/31/20	259.24	40.19 W	0.00	33 of 77 - Sale <sup>25</sup>
	5.000	365.09	07/31/20	408.13	43.04 W	0.00	34 of 77 - Sale <sup>25</sup>
	8.000	584.14	07/31/20	661.24	77.10 W	0.00	35 of 77 - Sale <sup>25</sup>
	2.000	146.04	07/31/20	168.20	22.16 W	0.00	36 of 77 - Sale <sup>25</sup>
	10.000	730.18	07/31/20	813.32	83.14 W	0.00	37 of 77 - Sale <sup>25</sup>
	20.000	1,460.35	07/31/20	1,664.45	204.10 W	0.00	38 of 77 - Sale <sup>25</sup>
	25.000	1,825.44	07/31/20	2,028.33	202.89 W	0.00	39 of 77 - Sale <sup>25</sup>
	25.000	1,825.44	07/31/20	2,039.56	214.12 W	0.00	40 of 77 - Sale <sup>25</sup>
	25.000	1,825.44	07/31/20	1,886.83	61.39 W	0.00	41 of 77 - Sale <sup>25</sup>
	100.000	7,301.75	07/31/20	7,368.00	66.25 W	0.00	42 of 77 - Sale <sup>25</sup>
	2.000	147.90	07/31/20	171.38	23.48 W	0.00	43 of 77 - Sale <sup>25</sup>
	3.000	221.84	07/31/20	263.09	41.25 W	0.00	44 of 77 - Sale <sup>25</sup>
	5.000	369.74	07/31/20	414.54	44.80 W	0.00	45 of 77 - Sale <sup>25</sup>
	8.000	591.59	07/31/20	671.50	79.91 W	0.00	46 of 77 - Sale <sup>25</sup>
	2.000	147.90	07/31/20	170.76	22.86 W	0.00	47 of 77 - Sale <sup>25</sup>
	10.000	739.48	07/31/20	826.14	86.66 W	0.00	48 of 77 - Sale <sup>25</sup>
	20.000	1,478.96	07/31/20	1,690.10	211.14 W	0.00	49 of 77 - Sale <sup>25</sup>
	25.000	1,848.71	07/31/20	2,060.39	211.68 W	0.00	50 of 77 - Sale <sup>25</sup>
	25.000	1,848.71	07/31/20	2,071.62	222.91 W	0.00	51 of 77 - Sale <sup>25</sup>
	25.000	1,848.71	07/31/20	1,918.89	70.18 W	0.00	52 of 77 - Sale <sup>25</sup>
	75.000	5,546.11	07/31/20	5,622.19	76.08 W	0.00	53 of 77 - Sale <sup>25</sup>
	2.000	146.83	07/31/20	171.18	24.35 W	0.00	54 of 77 - Sale <sup>25</sup>
	3.000	220.24	07/31/20	262.80	42.56 W	0.00	55 of 77 - Sale <sup>25</sup>
	5.000	367.07	07/31/20	414.05	46.98 W	0.00	56 of 77 - Sale <sup>25</sup>
	8.000	587.31	07/31/20	670.71	83.40 W	0.00	57 of 77 - Sale <sup>25</sup>
	2.000	146.83	07/31/20	170.56	23.73 W	0.00	58 of 77 - Sale <sup>25</sup>
	10.000	734.14	07/31/20	827.66	93.52 W	0.00	59 of 77 - Sale <sup>25</sup>
	20.000	1,468.28	07/31/20	1,688.14	219.86 W	0.00	60 of 77 - Sale <sup>25</sup>
	25.000	1,835.35	07/31/20	2,057.93	222.58 W	0.00	61 of 77 - Sale <sup>25</sup>
	25.000	1,835.35	07/31/20	1,862.81	27.46 W	0.00	62 of 77 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol: (cont'd)							
	10.000	734.14	07/31/20	824.95	90.81 W	0.00	63 of 77 - Sale <sup>25</sup>
	15.000	1,101.21	07/31/20	1,241.19	139.98 W	0.00	64 of 77 - Sale <sup>25</sup>
	25.000	1,835.35	07/31/20	1,915.90	80.55 W	0.00	65 of 77 - Sale <sup>25</sup>
	3.000	220.50	07/31/20	265.01	44.51 W	0.00	66 of 77 - Sale <sup>25</sup>
	2.000	147.00	07/31/20	167.09	20.09 W	0.00	67 of 77 - Sale <sup>25</sup>
	2.000	147.00	07/31/20	172.65	25.65 W	0.00	68 of 77 - Sale <sup>25</sup>
	3.000	220.49	07/31/20	250.64	30.15 W	0.00	69 of 77 - Sale <sup>25</sup>
	8.000	587.99	07/31/20	676.60	88.61 W	0.00	70 of 77 - Sale <sup>25</sup>
	2.000	147.00	07/31/20	172.03	25.03 W	0.00	71 of 77 - Sale <sup>25</sup>
	5.000	367.49	07/31/20	415.27	47.78 W	0.00	72 of 77 - Sale <sup>25</sup>
	75.000	5,512.36	07/31/20	5,637.33	124.97 W	0.00	73 of 77 - Sale <sup>25</sup>
	5.000	377.49	07/31/20	417.36	39.87 W	0.00	74 of 77 - Sale <sup>25</sup>
	10.000	754.98	07/31/20	848.32	93.34 W	0.00	75 of 77 - Sale <sup>25</sup>
	15.000	1,132.47	07/31/20	1,265.74	133.27 W	0.00	76 of 77 - Sale <sup>25</sup>
	20.000	1,509.97	07/31/20	1,729.46	219.49 W	0.00	77 of 77 - Sale <sup>25</sup>
07/31/20	1,031.000	75,978.77	Various	81,380.31	5,401.54 W	0.00	Total of 77 transactions
	2 transactions for 08/03/20. Total proceeds and cost reported to the IRS.						
	10.000	830.02	07/31/20	845.26	15.24 W	0.00	1 of 2 - Sale <sup>25</sup>
	15.000	1,245.04	07/31/20	1,271.65	26.61 W	0.00	2 of 2 - Sale <sup>25</sup>
08/03/20	25.000	2,075.06	Various	2,116.91	41.85 W	0.00	Total of 2 transactions
	5 transactions for 08/04/20. Total proceeds and cost reported to the IRS.						
	1.000	80.87	07/31/20	76.54	...	4.33	1 of 5 - Sale <sup>25</sup>
	4.000	323.49	07/31/20	312.89	...	10.60	2 of 5 - Sale <sup>25</sup>
	21.000	1,695.92	07/31/20	1,658.10	...	37.82	3 of 5 - Sale <sup>25</sup>
	11.000	889.61	07/31/20	841.98	...	47.63	4 of 5 - Sale <sup>25</sup>
	13.000	1,050.89	07/31/20	995.07	...	55.82	5 of 5 - Sale <sup>25</sup>
08/04/20	50.000	4,040.78	Various	3,884.58	...	156.20	Total of 5 transactions
	23 transactions for 08/04/20. Total proceeds and cost reported to the IRS.						
	2.000	161.75	07/31/20	171.56	9.81 W	0.00	1 of 23 - Sale <sup>25</sup>
	3.000	242.62	07/31/20	271.71	29.09 W	0.00	2 of 23 - Sale <sup>25</sup>
	2.000	161.52	07/31/20	177.12	15.60 W	0.00	3 of 23 - Sale <sup>25</sup>
	3.000	242.27	07/31/20	257.36	15.09 W	0.00	4 of 23 - Sale <sup>25</sup>
	8.000	646.06	07/31/20	694.49	48.43 W	0.00	5 of 23 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol: (cont'd)							
	2.000	161.52	07/31/20	176.50	14.98 W	0.00	6 of 23 - Sale <sup>25</sup>
	5.000	403.79	07/31/20	426.45	22.66 W	0.00	7 of 23 - Sale <sup>25</sup>
	10.000	807.58	08/03/20	846.08	38.50 W	0.00	8 of 23 - Sale <sup>25</sup>
	15.000	1,211.37	08/03/20	1,269.84	58.47 W	0.00	9 of 23 - Sale <sup>25</sup>
	5.000	403.79	08/03/20	456.62	52.83 W	0.00	10 of 23 - Sale <sup>25</sup>
	10.000	807.58	08/03/20	926.84	119.26 W	0.00	11 of 23 - Sale <sup>25</sup>
	15.000	1,211.37	08/03/20	1,383.52	172.15 W	0.00	12 of 23 - Sale <sup>25</sup>
	20.000	1,615.16	08/03/20	1,886.49	271.33 W	0.00	13 of 23 - Sale <sup>25</sup>
	50.000	4,037.91	08/03/20	4,250.82	212.91 W	0.00	14 of 23 - Sale <sup>25</sup>
	2.000	161.48	08/04/20	171.17	9.69 W	0.00	15 of 23 - Sale <sup>25</sup>
	2.000	161.48	08/04/20	176.96	15.48 W	0.00	16 of 23 - Sale <sup>25</sup>
	3.000	242.21	08/04/20	271.13	28.92 W	0.00	17 of 23 - Sale <sup>25</sup>
	3.000	242.21	08/04/20	257.13	14.92 W	0.00	18 of 23 - Sale <sup>25</sup>
	8.000	645.91	08/04/20	693.87	47.96 W	0.00	19 of 23 - Sale <sup>25</sup>
	2.000	161.48	08/04/20	176.34	14.86 W	0.00	20 of 23 - Sale <sup>25</sup>
	5.000	403.69	08/04/20	426.06	22.37 W	0.00	21 of 23 - Sale <sup>25</sup>
	10.000	807.38	08/04/20	822.04	14.66 W	0.00	22 of 23 - Sale <sup>25</sup>
	15.000	1,211.07	08/04/20	1,236.81	25.74 W	0.00	23 of 23 - Sale <sup>25</sup>
08/04/20	200.000	16,151.20	Various	17,426.91	1,275.71 W	0.00	Total of 23 transactions
47 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	5.000	464.35	08/05/20	494.90	30.55 W	0.00	1 of 47 - Sale <sup>25</sup>
	5.000	464.29	08/05/20	494.90	30.61 W	0.00	2 of 47 - Sale <sup>25</sup>
	15.000	1,392.87	08/05/20	1,485.42	92.55 W	0.00	3 of 47 - Sale <sup>25</sup>
	5.000	464.29	08/06/20	521.36	57.07 W	0.00	4 of 47 - Sale <sup>25</sup>
	5.000	464.29	08/06/20	536.35	72.06 W	0.00	5 of 47 - Sale <sup>25</sup>
	15.000	1,392.87	08/06/20	1,577.73	184.86 W	0.00	6 of 47 - Sale <sup>25</sup>
	10.000	928.58	08/06/20	1,053.76	125.18 W	0.00	7 of 47 - Sale <sup>25</sup>
	15.000	1,392.87	08/06/20	1,605.25	212.38 W	0.00	8 of 47 - Sale <sup>25</sup>
	25.000	2,321.44	08/06/20	2,442.71	121.27 W	0.00	9 of 47 - Sale <sup>25</sup>
	2.000	185.72	08/06/20	196.41	10.69 W	0.00	10 of 47 - Sale <sup>25</sup>
	2.000	185.72	08/06/20	202.20	16.48 W	0.00	11 of 47 - Sale <sup>25</sup>
	3.000	278.57	08/06/20	309.00	30.43 W	0.00	12 of 47 - Sale <sup>25</sup>
	3.000	278.57	08/06/20	295.00	16.43 W	0.00	13 of 47 - Sale <sup>25</sup>
	8.000	742.86	08/06/20	794.84	51.98 W	0.00	14 of 47 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol: (cont'd)							
	2.000	185.71	08/06/20	201.58	15.87 W	0.00	15 of 47 - Sale <sup>25</sup>
	5.000	464.29	08/06/20	489.17	24.88 W	0.00	16 of 47 - Sale <sup>25</sup>
	25.000	2,321.44	08/06/20	2,440.45	119.01 W	0.00	17 of 47 - Sale <sup>25</sup>
	10.000	931.28	08/06/20	939.66	8.38 W	0.00	18 of 47 - Sale <sup>25</sup>
	15.000	1,396.92	08/06/20	1,413.24	16.32 W	0.00	19 of 47 - Sale <sup>25</sup>
	5.000	465.64	08/06/20	493.05	27.41 W	0.00	20 of 47 - Sale <sup>25</sup>
	5.000	465.64	08/06/20	493.11	27.47 W	0.00	21 of 47 - Sale <sup>25</sup>
	15.000	1,396.91	08/06/20	1,480.05	83.14 W	0.00	22 of 47 - Sale <sup>25</sup>
	5.000	462.49	08/06/20	519.57	57.08 W	0.00	23 of 47 - Sale <sup>25</sup>
	4.000	369.99	08/06/20	419.30	49.31 W	0.00	24 of 47 - Sale <sup>25</sup>
	5.000	462.49	08/06/20	534.56	72.07 W	0.00	25 of 47 - Sale <sup>25</sup>
	11.000	1,017.47	08/06/20	1,153.06	135.59 W	0.00	26 of 47 - Sale <sup>25</sup>
	9.000	832.48	08/06/20	959.93	127.45 W	0.00	27 of 47 - Sale <sup>25</sup>
	10.000	923.67	08/06/20	1,050.18	126.51 W	0.00	28 of 47 - Sale <sup>25</sup>
	6.000	554.21	08/06/20	639.95	85.74 W	0.00	29 of 47 - Sale <sup>25</sup>
	2.000	188.02	08/06/20	201.25	13.23 W	0.00	30 of 47 - Sale <sup>25</sup>
	3.000	282.02	08/06/20	316.27	34.25 W	0.00	31 of 47 - Sale <sup>25</sup>
	3.000	282.02	08/06/20	302.27	20.25 W	0.00	32 of 47 - Sale <sup>25</sup>
	7.000	658.05	08/06/20	712.44	54.39 W	0.00	33 of 47 - Sale <sup>25</sup>
	25.000	2,350.20	08/06/20	2,503.27	153.07 W	0.00	34 of 47 - Sale <sup>25</sup>
	1.000	92.96	08/06/20	100.80	7.84 W	0.00	35 of 47 - Sale <sup>25</sup>
	2.000	185.92	08/06/20	205.08	19.16 W	0.00	36 of 47 - Sale <sup>25</sup>
	2.000	185.92	08/06/20	204.47	18.55 W	0.00	37 of 47 - Sale <sup>25</sup>
	5.000	464.79	08/06/20	496.38	31.59 W	0.00	38 of 47 - Sale <sup>25</sup>
	10.000	929.58	08/06/20	951.37	21.79 W	0.00	39 of 47 - Sale <sup>25</sup>
	15.000	1,394.37	08/06/20	1,430.81	36.44 W	0.00	40 of 47 - Sale <sup>25</sup>
	4.000	371.83	08/06/20	426.51	54.68 W	0.00	41 of 47 - Sale <sup>25</sup>
	5.000	464.79	08/06/20	498.91	34.12 W	0.00	42 of 47 - Sale <sup>25</sup>
	5.000	464.79	08/06/20	498.96	34.17 W	0.00	43 of 47 - Sale <sup>25</sup>
	5.000	464.79	08/06/20	528.57	63.78 W	0.00	44 of 47 - Sale <sup>25</sup>
	6.000	557.74	08/06/20	639.75	82.01 W	0.00	45 of 47 - Sale <sup>25</sup>
	15.000	1,394.36	08/06/20	1,497.63	103.27 W	0.00	46 of 47 - Sale <sup>25</sup>
	25.000	2,323.94	08/06/20	2,476.49	152.55 W	0.00	47 of 47 - Sale <sup>25</sup>
08/06/20	390.000	36,264.01	Various	39,227.92	2,963.91 W	0.00	Total of 47 transactions

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol: (cont'd)							
40 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
1.000		100.65	08/07/20	118.36	17.71 W	0.00	1 of 40 - Sale <sup>25</sup>
2.000		201.29	08/07/20	234.27	32.98 W	0.00	2 of 40 - Sale <sup>25</sup>
2.000		201.29	08/07/20	240.20	38.91 W	0.00	3 of 40 - Sale <sup>25</sup>
3.000		301.94	08/07/20	365.82	63.88 W	0.00	4 of 40 - Sale <sup>25</sup>
3.000		301.94	08/07/20	351.82	49.88 W	0.00	5 of 40 - Sale <sup>25</sup>
7.000		704.53	08/07/20	828.05	123.52 W	0.00	6 of 40 - Sale <sup>25</sup>
2.000		201.29	08/07/20	239.59	38.30 W	0.00	7 of 40 - Sale <sup>25</sup>
5.000		503.24	08/07/20	584.20	80.96 W	0.00	8 of 40 - Sale <sup>25</sup>
10.000		1,006.47	08/07/20	1,127.01	120.54 W	0.00	9 of 40 - Sale <sup>25</sup>
15.000		1,509.71	08/07/20	1,694.27	184.56 W	0.00	10 of 40 - Sale <sup>25</sup>
4.000		402.59	08/07/20	496.77	94.18 W	0.00	11 of 40 - Sale <sup>25</sup>
5.000		503.24	08/07/20	614.24	111.00 W	0.00	12 of 40 - Sale <sup>25</sup>
5.000		503.24	08/07/20	624.68	121.44 W	0.00	13 of 40 - Sale <sup>25</sup>
5.000		503.24	08/07/20	586.73	83.49 W	0.00	14 of 40 - Sale <sup>25</sup>
5.000		503.24	08/07/20	586.78	83.54 W	0.00	15 of 40 - Sale <sup>25</sup>
5.000		503.24	08/07/20	616.39	113.15 W	0.00	16 of 40 - Sale <sup>25</sup>
6.000		603.88	08/07/20	748.87	144.99 W	0.00	17 of 40 - Sale <sup>25</sup>
6.000		603.88	08/07/20	745.15	141.27 W	0.00	18 of 40 - Sale <sup>25</sup>
9.000		905.83	08/07/20	1,122.15	216.32 W	0.00	19 of 40 - Sale <sup>25</sup>
10.000		1,006.47	08/07/20	1,231.73	225.26 W	0.00	20 of 40 - Sale <sup>25</sup>
15.000		1,509.71	08/07/20	1,761.11	251.40 W	0.00	21 of 40 - Sale <sup>25</sup>
25.000		2,516.18	08/07/20	2,916.13	399.95 W	0.00	22 of 40 - Sale <sup>25</sup>
25.000		2,516.18	08/07/20	2,942.05	425.87 W	0.00	23 of 40 - Sale <sup>25</sup>
20.000		2,012.95	08/07/20	2,231.60	218.65 W	0.00	24 of 40 - Sale <sup>25</sup>
3.000		301.94	08/07/20	333.47	31.53 W	0.00	25 of 40 - Sale <sup>25</sup>
27.000		2,717.48	08/07/20	2,996.46	278.98 W	0.00	26 of 40 - Sale <sup>25</sup>
1.000		101.05	08/10/20	119.84	18.79 W	0.00	27 of 40 - Sale <sup>25</sup>
2.000		202.11	08/10/20	237.24	35.13 W	0.00	28 of 40 - Sale <sup>25</sup>
2.000		202.10	08/10/20	243.17	41.07 W	0.00	29 of 40 - Sale <sup>25</sup>
3.000		303.16	08/10/20	370.27	67.11 W	0.00	30 of 40 - Sale <sup>25</sup>
3.000		303.16	08/10/20	356.27	53.11 W	0.00	31 of 40 - Sale <sup>25</sup>
7.000		707.37	08/10/20	838.43	131.06 W	0.00	32 of 40 - Sale <sup>25</sup>
2.000		202.11	08/10/20	242.56	40.45 W	0.00	33 of 40 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol: (cont'd)							
	5.000	505.26	08/10/20	591.61	86.35 W	0.00	34 of 40 - Sale <sup>25</sup>
	10.000	1,010.52	08/10/20	1,141.84	131.32 W	0.00	35 of 40 - Sale <sup>25</sup>
	15.000	1,515.79	08/10/20	1,716.51	200.72 W	0.00	36 of 40 - Sale <sup>25</sup>
	5.000	486.15	08/10/20	615.63	129.48 W	0.00	37 of 40 - Sale <sup>25</sup>
	5.000	486.15	08/10/20	626.07	139.92 W	0.00	38 of 40 - Sale <sup>25</sup>
	6.000	583.39	08/10/20	750.54	167.15 W	0.00	39 of 40 - Sale <sup>25</sup>
	9.000	875.07	08/10/20	1,124.64	249.57 W	0.00	40 of 40 - Sale <sup>25</sup>
08/10/20	300.000	30,129.03	Various	35,312.52	5,183.49 W	0.00	Total of 40 transactions
	4 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	5.000	445.49	08/11/20	587.34	141.85 W	0.00	1 of 4 - Sale <sup>25</sup>
	5.000	445.49	08/11/20	587.39	141.90 W	0.00	2 of 4 - Sale <sup>25</sup>
	5.000	445.49	08/11/20	587.65	142.16 W	0.00	3 of 4 - Sale <sup>25</sup>
	10.000	890.98	08/11/20	1,232.96	341.98 W	0.00	4 of 4 - Sale <sup>25</sup>
08/11/20	25.000	2,227.45	Various	2,995.34	767.89 W	0.00	Total of 4 transactions
	10 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	4.000	378.39	08/13/20	473.30	94.91 W	0.00	1 of 10 - Sale <sup>25</sup>
	5.000	472.99	08/13/20	587.05	114.06 W	0.00	2 of 10 - Sale <sup>25</sup>
	6.000	567.59	08/13/20	709.95	142.36 W	0.00	3 of 10 - Sale <sup>25</sup>
	10.000	945.98	08/13/20	1,115.40	169.42 W	0.00	4 of 10 - Sale <sup>25</sup>
	25.000	2,364.94	08/13/20	2,769.45	404.51 W	0.00	5 of 10 - Sale <sup>25</sup>
	25.000	2,364.94	08/13/20	2,795.37	430.43 W	0.00	6 of 10 - Sale <sup>25</sup>
	2.000	189.20	08/13/20	210.58	21.38 W	0.00	7 of 10 - Sale <sup>25</sup>
	20.000	1,891.95	08/13/20	2,114.25	222.30 W	0.00	8 of 10 - Sale <sup>25</sup>
	2.000	189.20	08/13/20	210.23	21.03 W	0.00	9 of 10 - Sale <sup>25</sup>
	1.000	94.60	08/13/20	105.29	10.69 W	0.00	10 of 10 - Sale <sup>25</sup>
08/13/20	100.000	9,459.78	Various	11,090.87	1,631.09 W	0.00	Total of 10 transactions
	86 transactions for 08/17/20. Total proceeds and cost reported to the IRS.						
	2.000	211.80	08/17/20	231.30	19.50 W	0.00	1 of 86 - Sale <sup>25</sup>
	1.000	105.90	08/17/20	124.02	18.12 W	0.00	2 of 86 - Sale <sup>25</sup>
	2.000	211.79	08/17/20	245.59	33.80 W	0.00	3 of 86 - Sale <sup>25</sup>
	2.000	211.80	08/17/20	251.53	39.73 W	0.00	4 of 86 - Sale <sup>25</sup>
	3.000	317.69	08/17/20	382.80	65.11 W	0.00	5 of 86 - Sale <sup>25</sup>
	3.000	317.69	08/17/20	368.80	51.11 W	0.00	6 of 86 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol: (cont'd)							
	7.000	741.28	08/17/20	867.67	126.39 W	0.00	7 of 86 - Sale <sup>25</sup>
	2.000	211.80	08/17/20	250.91	39.11 W	0.00	8 of 86 - Sale <sup>25</sup>
	5.000	529.49	08/17/20	612.50	83.01 W	0.00	9 of 86 - Sale <sup>25</sup>
	10.000	1,058.97	08/17/20	1,183.62	124.65 W	0.00	10 of 86 - Sale <sup>25</sup>
	15.000	1,588.46	08/17/20	1,779.17	190.71 W	0.00	11 of 86 - Sale <sup>25</sup>
	5.000	529.49	08/17/20	655.63	126.14 W	0.00	12 of 86 - Sale <sup>25</sup>
	5.000	529.49	08/17/20	666.07	136.58 W	0.00	13 of 86 - Sale <sup>25</sup>
	6.000	635.38	08/17/20	798.53	163.15 W	0.00	14 of 86 - Sale <sup>25</sup>
	9.000	953.08	08/17/20	1,196.64	243.56 W	0.00	15 of 86 - Sale <sup>25</sup>
	23.000	2,435.64	08/17/20	2,657.94	222.30 W	0.00	16 of 86 - Sale <sup>25</sup>
	1.000	114.45	08/17/20	130.57	16.12 W	0.00	17 of 86 - Sale <sup>25</sup>
	2.000	228.89	08/17/20	258.69	29.80 W	0.00	18 of 86 - Sale <sup>25</sup>
	2.000	228.89	08/17/20	264.62	35.73 W	0.00	19 of 86 - Sale <sup>25</sup>
	3.000	343.34	08/17/20	402.45	59.11 W	0.00	20 of 86 - Sale <sup>25</sup>
	3.000	343.34	08/17/20	388.45	45.11 W	0.00	21 of 86 - Sale <sup>25</sup>
	7.000	801.13	08/17/20	913.52	112.39 W	0.00	22 of 86 - Sale <sup>25</sup>
	2.000	228.89	08/17/20	264.00	35.11 W	0.00	23 of 86 - Sale <sup>25</sup>
	5.000	572.24	08/17/20	645.24	73.00 W	0.00	24 of 86 - Sale <sup>25</sup>
	10.000	1,144.47	08/17/20	1,249.12	104.65 W	0.00	25 of 86 - Sale <sup>25</sup>
	15.000	1,716.71	08/17/20	1,877.41	160.70 W	0.00	26 of 86 - Sale <sup>25</sup>
	4.000	457.79	08/17/20	544.70	86.91 W	0.00	27 of 86 - Sale <sup>25</sup>
	5.000	572.24	08/17/20	704.08	131.84 W	0.00	28 of 86 - Sale <sup>25</sup>
	5.000	572.24	08/17/20	704.13	131.89 W	0.00	29 of 86 - Sale <sup>25</sup>
	5.000	572.24	08/17/20	704.39	132.15 W	0.00	30 of 86 - Sale <sup>25</sup>
	5.000	572.24	08/17/20	676.29	104.05 W	0.00	31 of 86 - Sale <sup>25</sup>
	5.000	572.24	08/17/20	688.37	116.13 W	0.00	32 of 86 - Sale <sup>25</sup>
	5.000	572.24	08/17/20	698.81	126.57 W	0.00	33 of 86 - Sale <sup>25</sup>
	6.000	686.68	08/17/20	817.04	130.36 W	0.00	34 of 86 - Sale <sup>25</sup>
	6.000	686.68	08/17/20	837.83	151.15 W	0.00	35 of 86 - Sale <sup>25</sup>
	9.000	1,030.03	08/17/20	1,255.58	225.55 W	0.00	36 of 86 - Sale <sup>25</sup>
	10.000	1,144.47	08/17/20	1,466.44	321.97 W	0.00	37 of 86 - Sale <sup>25</sup>
	10.000	1,144.47	08/17/20	1,293.88	149.41 W	0.00	38 of 86 - Sale <sup>25</sup>
	25.000	2,861.18	08/17/20	3,215.67	354.49 W	0.00	39 of 86 - Sale <sup>25</sup>
	25.000	2,861.19	08/17/20	3,241.59	380.40 W	0.00	40 of 86 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol: (cont'd)							
	1.000	114.45	08/17/20	123.14	8.69 W	0.00	41 of 86 - Sale <sup>25</sup>
	2.000	228.89	08/17/20	246.27	17.38 W	0.00	42 of 86 - Sale <sup>25</sup>
	2.000	228.89	08/17/20	245.92	17.03 W	0.00	43 of 86 - Sale <sup>25</sup>
	2.000	228.90	08/17/20	244.39	15.49 W	0.00	44 of 86 - Sale <sup>25</sup>
	20.000	2,288.95	08/17/20	2,471.23	182.28 W	0.00	45 of 86 - Sale <sup>25</sup>
	23.000	2,632.29	08/17/20	2,808.57	176.28 W	0.00	46 of 86 - Sale <sup>25</sup>
	1.000	114.83	08/17/20	131.51	16.68 W	0.00	47 of 86 - Sale <sup>25</sup>
	2.000	229.66	08/17/20	260.58	30.92 W	0.00	48 of 86 - Sale <sup>25</sup>
	2.000	229.66	08/17/20	266.51	36.85 W	0.00	49 of 86 - Sale <sup>25</sup>
	3.000	344.48	08/17/20	405.28	60.80 W	0.00	50 of 86 - Sale <sup>25</sup>
	3.000	344.48	08/17/20	391.28	46.80 W	0.00	51 of 86 - Sale <sup>25</sup>
	7.000	803.79	08/17/20	920.12	116.33 W	0.00	52 of 86 - Sale <sup>25</sup>
	2.000	229.65	08/17/20	265.89	36.24 W	0.00	53 of 86 - Sale <sup>25</sup>
	5.000	574.14	08/17/20	649.95	75.81 W	0.00	54 of 86 - Sale <sup>25</sup>
	10.000	1,148.28	08/17/20	1,258.55	110.27 W	0.00	55 of 86 - Sale <sup>25</sup>
	15.000	1,722.41	08/17/20	1,891.55	169.14 W	0.00	56 of 86 - Sale <sup>25</sup>
	4.000	459.31	08/17/20	548.47	89.16 W	0.00	57 of 86 - Sale <sup>25</sup>
	5.000	574.14	08/17/20	708.79	134.65 W	0.00	58 of 86 - Sale <sup>25</sup>
	5.000	574.14	08/17/20	708.84	134.70 W	0.00	59 of 86 - Sale <sup>25</sup>
	5.000	574.14	08/17/20	709.10	134.96 W	0.00	60 of 86 - Sale <sup>25</sup>
	5.000	574.14	08/17/20	681.00	106.86 W	0.00	61 of 86 - Sale <sup>25</sup>
	6.000	688.96	08/17/20	822.69	133.73 W	0.00	62 of 86 - Sale <sup>25</sup>
	10.000	1,148.27	08/17/20	1,475.87	327.60 W	0.00	63 of 86 - Sale <sup>25</sup>
	10.000	1,148.27	08/17/20	1,303.31	155.04 W	0.00	64 of 86 - Sale <sup>25</sup>
	5.000	589.99	08/17/20	688.63	98.64 W	0.00	65 of 86 - Sale <sup>25</sup>
	5.000	589.99	08/17/20	699.07	109.08 W	0.00	66 of 86 - Sale <sup>25</sup>
	6.000	707.98	08/17/20	838.15	130.17 W	0.00	67 of 86 - Sale <sup>25</sup>
	9.000	1,061.97	08/17/20	1,256.05	194.08 W	0.00	68 of 86 - Sale <sup>25</sup>
	23.000	2,713.94	08/17/20	2,983.47	269.53 W	0.00	69 of 86 - Sale <sup>25</sup>
	25.000	2,949.93	08/17/20	3,216.99	267.06 W	0.00	70 of 86 - Sale <sup>25</sup>
	2.000	235.99	08/17/20	259.43	23.44 W	0.00	71 of 86 - Sale <sup>25</sup>
	1.000	118.00	08/17/20	123.19	5.19 W	0.00	72 of 86 - Sale <sup>25</sup>
	2.000	235.99	08/17/20	246.38	10.39 W	0.00	73 of 86 - Sale <sup>25</sup>
	2.000	235.99	08/17/20	246.03	10.04 W	0.00	74 of 86 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol: (cont'd)							
	20.000	2,359.94	08/17/20	2,472.28	112.34 W	0.00	75 of 86 - Sale <sup>25</sup>
	2.000	235.99	08/17/20	266.42	30.43 W	0.00	76 of 86 - Sale <sup>25</sup>
	3.000	353.99	08/17/20	414.05	60.06 W	0.00	77 of 86 - Sale <sup>25</sup>
	3.000	353.99	08/17/20	400.05	46.06 W	0.00	78 of 86 - Sale <sup>25</sup>
	4.000	471.99	08/17/20	537.47	65.48 W	0.00	79 of 86 - Sale <sup>25</sup>
	2.000	236.00	08/17/20	250.99	14.99 W	0.00	80 of 86 - Sale <sup>25</sup>
	23.000	2,713.94	08/17/20	2,884.53	170.59 W	0.00	81 of 86 - Sale <sup>25</sup>
	3.000	353.99	08/17/20	404.61	50.62 W	0.00	82 of 86 - Sale <sup>25</sup>
	1.000	118.00	08/17/20	134.93	16.93 W	0.00	83 of 86 - Sale <sup>25</sup>
	2.000	236.00	08/17/20	273.35	37.35 W	0.00	84 of 86 - Sale <sup>25</sup>
	2.000	235.99	08/17/20	272.74	36.75 W	0.00	85 of 86 - Sale <sup>25</sup>
	5.000	589.99	08/17/20	667.06	77.07 W	0.00	86 of 86 - Sale <sup>25</sup>
08/17/20	575.000	65,522.73	Various	74,590.27	9,067.54 W	0.00	Total of 86 transactions
	6 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	6.000	694.00	08/18/20	767.79	73.79 W	0.00	1 of 6 - Sale <sup>25</sup>
	5.000	581.34	08/18/20	638.59	57.25 W	0.00	2 of 6 - Sale <sup>25</sup>
	9.000	1,046.40	08/18/20	1,151.69	105.29 W	0.00	3 of 6 - Sale <sup>25</sup>
	1.000	116.27	08/18/20	128.41	12.14 W	0.00	4 of 6 - Sale <sup>25</sup>
	4.000	465.07	08/18/20	513.92	48.85 W	0.00	5 of 6 - Sale <sup>25</sup>
	1.000	116.26	08/18/20	150.22	33.96 W	0.00	6 of 6 - Sale <sup>25</sup>
08/18/20	26.000	3,019.34	Various	3,350.62	331.28 W	0.00	Total of 6 transactions
	16 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	2.000	249.66	08/19/20	319.05	69.39 W	0.00	1 of 16 - Sale <sup>25</sup>
	1.000	124.83	08/19/20	159.52	34.69 W	0.00	2 of 16 - Sale <sup>25</sup>
	4.000	499.17	08/19/20	596.21	97.04 W	0.00	3 of 16 - Sale <sup>25</sup>
	5.000	623.97	08/19/20	768.47	144.50 W	0.00	4 of 16 - Sale <sup>25</sup>
	5.000	623.97	08/19/20	768.52	144.55 W	0.00	5 of 16 - Sale <sup>25</sup>
	5.000	623.97	08/19/20	768.78	144.81 W	0.00	6 of 16 - Sale <sup>25</sup>
	5.000	623.97	08/19/20	740.68	116.71 W	0.00	7 of 16 - Sale <sup>25</sup>
	5.000	623.97	08/19/20	732.46	108.49 W	0.00	8 of 16 - Sale <sup>25</sup>
	5.000	623.97	08/19/20	742.90	118.93 W	0.00	9 of 16 - Sale <sup>25</sup>
	6.000	748.76	08/19/20	894.31	145.55 W	0.00	10 of 16 - Sale <sup>25</sup>
	6.000	748.76	08/19/20	890.75	141.99 W	0.00	11 of 16 - Sale <sup>25</sup>
	6.000	748.76	08/19/20	957.14	208.38 W	0.00	12 of 16 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol: (cont'd)							
	9.000	1,123.14	08/19/20	1,334.95	211.81 W	0.00	13 of 16 - Sale <sup>25</sup>
	10.000	1,247.93	08/19/20	1,422.67	174.74 W	0.00	14 of 16 - Sale <sup>25</sup>
	1.000	124.79	08/19/20	138.48	13.69 W	0.00	15 of 16 - Sale <sup>25</sup>
	25.000	3,119.82	08/19/20	3,436.15	316.33 W	0.00	16 of 16 - Sale <sup>25</sup>
08/19/20	100.000	12,479.44	Various	14,671.04	2,191.60 W	0.00	Total of 16 transactions
	103 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	5.000	606.95	08/19/20	674.74	67.79 W	0.00	1 of 103 - Sale <sup>25</sup>
	1.000	121.39	08/19/20	140.16	18.77 W	0.00	2 of 103 - Sale <sup>25</sup>
	2.000	242.78	08/19/20	276.89	34.11 W	0.00	3 of 103 - Sale <sup>25</sup>
	2.000	242.78	08/19/20	283.81	41.03 W	0.00	4 of 103 - Sale <sup>25</sup>
	3.000	364.17	08/19/20	429.75	65.58 W	0.00	5 of 103 - Sale <sup>25</sup>
	3.000	364.17	08/19/20	415.75	51.58 W	0.00	6 of 103 - Sale <sup>25</sup>
	3.000	364.17	08/19/20	420.31	56.14 W	0.00	7 of 103 - Sale <sup>25</sup>
	4.000	485.56	08/19/20	558.40	72.84 W	0.00	8 of 103 - Sale <sup>25</sup>
	2.000	242.78	08/19/20	283.21	40.43 W	0.00	9 of 103 - Sale <sup>25</sup>
	5.000	606.95	08/19/20	693.22	86.27 W	0.00	10 of 103 - Sale <sup>25</sup>
	6.000	728.35	08/19/20	813.17	84.82 W	0.00	11 of 103 - Sale <sup>25</sup>
	2.000	242.78	08/19/20	269.90	27.12 W	0.00	12 of 103 - Sale <sup>25</sup>
	17.000	2,063.64	08/19/20	2,294.13	230.49 W	0.00	13 of 103 - Sale <sup>25</sup>
	1.000	121.39	08/19/20	128.42	7.03 W	0.00	14 of 103 - Sale <sup>25</sup>
	2.000	242.78	08/19/20	256.85	14.07 W	0.00	15 of 103 - Sale <sup>25</sup>
	2.000	242.78	08/19/20	256.50	13.72 W	0.00	16 of 103 - Sale <sup>25</sup>
	2.000	242.78	08/19/20	261.45	18.67 W	0.00	17 of 103 - Sale <sup>25</sup>
	20.000	2,427.82	08/19/20	2,576.94	149.12 W	0.00	18 of 103 - Sale <sup>25</sup>
	23.000	2,791.99	08/19/20	3,004.88	212.89 W	0.00	19 of 103 - Sale <sup>25</sup>
	1.000	121.39	08/19/20	135.39	14.00 W	0.00	20 of 103 - Sale <sup>25</sup>
	4.000	485.56	08/19/20	541.85	56.29 W	0.00	21 of 103 - Sale <sup>25</sup>
	5.000	606.95	08/19/20	673.50	66.55 W	0.00	22 of 103 - Sale <sup>25</sup>
	9.000	1,092.52	08/19/20	1,214.54	122.02 W	0.00	23 of 103 - Sale <sup>25</sup>
	1.000	121.39	08/19/20	157.21	35.82 W	0.00	24 of 103 - Sale <sup>25</sup>
	1.000	121.39	08/19/20	157.94	36.55 W	0.00	25 of 103 - Sale <sup>25</sup>
	1.000	121.39	08/19/20	144.95	23.56 W	0.00	26 of 103 - Sale <sup>25</sup>
	2.000	242.78	08/19/20	315.89	73.11 W	0.00	27 of 103 - Sale <sup>25</sup>
	4.000	485.56	08/19/20	590.04	104.48 W	0.00	28 of 103 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol: (cont'd)							
	5.000	606.96	08/19/20	760.75	153.79 W	0.00	29 of 103 - Sale <sup>25</sup>
	5.000	606.95	08/19/20	760.80	153.85 W	0.00	30 of 103 - Sale <sup>25</sup>
	5.000	606.96	08/19/20	761.06	154.10 W	0.00	31 of 103 - Sale <sup>25</sup>
	5.000	606.95	08/19/20	732.96	126.01 W	0.00	32 of 103 - Sale <sup>25</sup>
	6.000	728.35	08/19/20	947.88	219.53 W	0.00	33 of 103 - Sale <sup>25</sup>
	6.000	728.35	08/19/20	885.05	156.70 W	0.00	34 of 103 - Sale <sup>25</sup>
	10.000	1,213.91	08/19/20	1,407.24	193.33 W	0.00	35 of 103 - Sale <sup>25</sup>
	25.000	3,046.68	08/20/20	3,056.25	7.56 W	-2.01	36 of 103 - Sale <sup>25</sup>
	1.000	121.11	08/20/20	140.07	18.96 W	0.00	37 of 103 - Sale <sup>25</sup>
	2.000	242.21	08/20/20	276.71	34.50 W	0.00	38 of 103 - Sale <sup>25</sup>
	2.000	242.21	08/20/20	283.63	41.42 W	0.00	39 of 103 - Sale <sup>25</sup>
	3.000	363.32	08/20/20	429.48	66.16 W	0.00	40 of 103 - Sale <sup>25</sup>
	3.000	363.32	08/20/20	415.48	52.16 W	0.00	41 of 103 - Sale <sup>25</sup>
	3.000	363.32	08/20/20	420.04	56.72 W	0.00	42 of 103 - Sale <sup>25</sup>
	4.000	484.43	08/20/20	558.04	73.61 W	0.00	43 of 103 - Sale <sup>25</sup>
	2.000	242.21	08/20/20	283.03	40.82 W	0.00	44 of 103 - Sale <sup>25</sup>
	5.000	605.54	08/20/20	692.77	87.23 W	0.00	45 of 103 - Sale <sup>25</sup>
	6.000	726.64	08/20/20	812.62	85.98 W	0.00	46 of 103 - Sale <sup>25</sup>
	2.000	242.21	08/20/20	269.72	27.51 W	0.00	47 of 103 - Sale <sup>25</sup>
	4.000	484.43	08/20/20	571.99	87.56 W	0.00	48 of 103 - Sale <sup>25</sup>
	5.000	605.54	08/20/20	725.43	119.89 W	0.00	49 of 103 - Sale <sup>25</sup>
	5.000	605.54	08/20/20	674.29	68.75 W	0.00	50 of 103 - Sale <sup>25</sup>
	6.000	726.64	08/20/20	869.79	143.15 W	0.00	51 of 103 - Sale <sup>25</sup>
	9.000	1,089.97	08/20/20	1,303.51	213.54 W	0.00	52 of 103 - Sale <sup>25</sup>
	17.000	2,058.82	08/20/20	2,292.59	233.77 W	0.00	53 of 103 - Sale <sup>25</sup>
	1.000	121.11	08/20/20	134.99	13.88 W	0.00	54 of 103 - Sale <sup>25</sup>
	1.000	121.11	08/20/20	128.33	7.22 W	0.00	55 of 103 - Sale <sup>25</sup>
	2.000	242.21	08/20/20	256.67	14.46 W	0.00	56 of 103 - Sale <sup>25</sup>
	2.000	242.22	08/20/20	256.32	14.10 W	0.00	57 of 103 - Sale <sup>25</sup>
	2.000	242.21	08/20/20	261.27	19.06 W	0.00	58 of 103 - Sale <sup>25</sup>
	18.000	2,179.94	08/20/20	2,350.01	170.07 W	0.00	59 of 103 - Sale <sup>25</sup>
	20.000	2,422.15	08/20/20	2,575.12	152.97 W	0.00	60 of 103 - Sale <sup>25</sup>
	25.000	3,027.68	08/20/20	3,348.83	321.15 W	0.00	61 of 103 - Sale <sup>25</sup>
	1.000	121.87	08/20/20	141.21	19.34 W	0.00	62 of 103 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol: (cont'd)							
	2.000	243.73	08/20/20	279.00	35.27 W	0.00	63 of 103 - Sale <sup>25</sup>
	2.000	243.73	08/20/20	285.92	42.19 W	0.00	64 of 103 - Sale <sup>25</sup>
	3.000	365.60	08/20/20	432.91	67.31 W	0.00	65 of 103 - Sale <sup>25</sup>
	3.000	365.60	08/20/20	418.91	53.31 W	0.00	66 of 103 - Sale <sup>25</sup>
	3.000	365.60	08/20/20	423.47	57.87 W	0.00	67 of 103 - Sale <sup>25</sup>
	4.000	487.47	08/20/20	562.61	75.14 W	0.00	68 of 103 - Sale <sup>25</sup>
	2.000	243.73	08/20/20	285.32	41.59 W	0.00	69 of 103 - Sale <sup>25</sup>
	5.000	609.34	08/20/20	698.48	89.14 W	0.00	70 of 103 - Sale <sup>25</sup>
	1.000	121.87	08/20/20	136.25	14.38 W	0.00	71 of 103 - Sale <sup>25</sup>
	4.000	487.47	08/20/20	545.29	57.82 W	0.00	72 of 103 - Sale <sup>25</sup>
	5.000	609.34	08/20/20	677.80	68.46 W	0.00	73 of 103 - Sale <sup>25</sup>
	9.000	1,096.80	08/20/20	1,222.27	125.47 W	0.00	74 of 103 - Sale <sup>25</sup>
	1.000	121.87	08/20/20	158.07	36.20 W	0.00	75 of 103 - Sale <sup>25</sup>
	1.000	121.87	08/20/20	158.80	36.93 W	0.00	76 of 103 - Sale <sup>25</sup>
	1.000	121.87	08/20/20	145.81	23.94 W	0.00	77 of 103 - Sale <sup>25</sup>
	2.000	243.73	08/20/20	317.61	73.88 W	0.00	78 of 103 - Sale <sup>25</sup>
	4.000	487.47	08/20/20	593.48	106.01 W	0.00	79 of 103 - Sale <sup>25</sup>
	5.000	609.34	08/20/20	765.04	155.70 W	0.00	80 of 103 - Sale <sup>25</sup>
	5.000	609.34	08/20/20	765.10	155.76 W	0.00	81 of 103 - Sale <sup>25</sup>
	5.000	609.34	08/20/20	765.35	156.01 W	0.00	82 of 103 - Sale <sup>25</sup>
	5.000	609.34	08/20/20	737.26	127.92 W	0.00	83 of 103 - Sale <sup>25</sup>
	6.000	731.20	08/20/20	953.03	221.83 W	0.00	84 of 103 - Sale <sup>25</sup>
	6.000	731.20	08/20/20	890.20	159.00 W	0.00	85 of 103 - Sale <sup>25</sup>
	10.000	1,218.67	08/20/20	1,415.83	197.16 W	0.00	86 of 103 - Sale <sup>25</sup>
	5.000	609.34	08/20/20	657.53	48.19 W	0.00	87 of 103 - Sale <sup>25</sup>
	6.000	731.20	08/20/20	819.48	88.28 W	0.00	88 of 103 - Sale <sup>25</sup>
	4.000	487.47	08/20/20	576.56	89.09 W	0.00	89 of 103 - Sale <sup>25</sup>
	5.000	609.34	08/20/20	731.14	121.80 W	0.00	90 of 103 - Sale <sup>25</sup>
	5.000	609.34	08/20/20	680.00	70.66 W	0.00	91 of 103 - Sale <sup>25</sup>
	6.000	731.20	08/20/20	876.65	145.45 W	0.00	92 of 103 - Sale <sup>25</sup>
	9.000	1,096.80	08/20/20	1,313.79	216.99 W	0.00	93 of 103 - Sale <sup>25</sup>
	2.000	243.73	08/20/20	272.01	28.28 W	0.00	94 of 103 - Sale <sup>25</sup>
	17.000	2,071.74	08/20/20	2,312.02	240.28 W	0.00	95 of 103 - Sale <sup>25</sup>
	1.000	121.87	08/20/20	136.13	14.26 W	0.00	96 of 103 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol: (cont'd)							
	20.000	2,437.34	08/20/20	2,597.97	160.63 W	0.00	97 of 103 - Sale <sup>25</sup>
	25.000	3,046.68	08/20/20	3,377.40	330.72 W	0.00	98 of 103 - Sale <sup>25</sup>
	1.000	121.87	08/20/20	129.47	7.60 W	0.00	99 of 103 - Sale <sup>25</sup>
	2.000	243.73	08/20/20	258.96	15.23 W	0.00	100 of 103 - Sale <sup>25</sup>
	2.000	243.73	08/20/20	258.60	14.87 W	0.00	101 of 103 - Sale <sup>25</sup>
	2.000	243.73	08/20/20	263.56	19.83 W	0.00	102 of 103 - Sale <sup>25</sup>
	18.000	2,193.61	08/20/20	2,370.57	176.96 W	0.00	103 of 103 - Sale <sup>25</sup>
08/20/20	575.000	69,876.25	Various	79,019.37	9,141.11 W	-2.01	Total of 103 transactions
	27 transactions for 08/21/20. Total proceeds and cost reported to the IRS.						
	6.000	733.37	08/20/20	954.73	...	-221.36	1 of 27 - Sale <sup>25</sup>
	9.000	1,100.06	08/20/20	1,224.82	...	-124.76	2 of 27 - Sale <sup>25</sup>
	5.000	611.15	08/20/20	699.89	...	-88.74	3 of 27 - Sale <sup>25</sup>
	4.000	488.92	08/20/20	563.74	...	-74.82	4 of 27 - Sale <sup>25</sup>
	2.000	244.46	08/20/20	318.18	...	-73.72	5 of 27 - Sale <sup>25</sup>
	5.000	611.14	08/20/20	679.21	...	-68.07	6 of 27 - Sale <sup>25</sup>
	3.000	366.69	08/20/20	433.76	...	-67.07	7 of 27 - Sale <sup>25</sup>
	3.000	366.69	08/20/20	424.32	...	-57.63	8 of 27 - Sale <sup>25</sup>
	4.000	488.92	08/20/20	546.42	...	-57.50	9 of 27 - Sale <sup>25</sup>
	3.000	366.69	08/20/20	419.76	...	-53.07	10 of 27 - Sale <sup>25</sup>
	2.000	244.46	08/20/20	286.49	...	-42.03	11 of 27 - Sale <sup>25</sup>
	2.000	244.46	08/20/20	285.89	...	-41.43	12 of 27 - Sale <sup>25</sup>
	1.000	122.23	08/20/20	159.08	...	-36.85	13 of 27 - Sale <sup>25</sup>
	1.000	122.23	08/20/20	158.35	...	-36.12	14 of 27 - Sale <sup>25</sup>
	2.000	244.46	08/20/20	279.57	...	-35.11	15 of 27 - Sale <sup>25</sup>
	1.000	122.23	08/20/20	153.29	...	-31.06	16 of 27 - Sale <sup>25</sup>
	1.000	122.23	08/20/20	141.49	...	-19.26	17 of 27 - Sale <sup>25</sup>
	1.000	122.23	08/20/20	136.53	...	-14.30	18 of 27 - Sale <sup>25</sup>
	10.000	1,222.29	08/21/20	1,434.06	...	-211.77	19 of 27 - Sale <sup>25</sup>
	6.000	733.37	08/21/20	901.14	...	-167.77	20 of 27 - Sale <sup>25</sup>
	5.000	611.14	08/21/20	774.46	...	-163.32	21 of 27 - Sale <sup>25</sup>
	5.000	611.14	08/21/20	774.21	...	-163.07	22 of 27 - Sale <sup>25</sup>
	5.000	611.15	08/21/20	746.37	...	-135.22	23 of 27 - Sale <sup>25</sup>
	4.000	488.92	08/21/20	619.32	...	-130.40	24 of 27 - Sale <sup>25</sup>
	4.000	488.92	08/21/20	600.77	...	-111.85	25 of 27 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol: (cont'd)							
	5.000	611.14	08/21/20	666.64	...	-55.50	26 of 27 - Sale <sup>25</sup>
	1.000	122.23	08/21/20	147.63	...	-25.40	27 of 27 - Sale <sup>25</sup>
08/21/20	100.000	12,222.92	Various	14,530.12	...	-2,307.20	Total of 27 transactions
	6 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	1.000	108.62	08/24/20	130.96	...	-22.34	1 of 6 - Sale <sup>25</sup>
	9.000	977.55	08/25/20	1,204.74	...	-227.19	2 of 6 - Sale <sup>25</sup>
	5.000	543.08	08/25/20	670.55	...	-127.47	3 of 6 - Sale <sup>25</sup>
	4.000	434.47	08/25/20	528.09	...	-93.62	4 of 6 - Sale <sup>25</sup>
	5.000	543.09	08/25/20	622.32	...	-79.23	5 of 6 - Sale <sup>25</sup>
	1.000	108.62	08/25/20	133.99	...	-25.37	6 of 6 - Sale <sup>25</sup>
08/25/20	25.000	2,715.43	Various	3,290.65	...	-575.22	Total of 6 transactions
	5 transactions for 08/31/20. Total proceeds and cost reported to the IRS.						
	4.408	392.54	08/31/20	501.01	...	-108.47	1 of 5 - Sale <sup>25</sup>
	7.592	676.06	08/31/20	784.16	...	-108.10	2 of 5 - Sale <sup>25</sup>
	4.408	392.54	08/31/20	455.30	...	-62.76	3 of 5 - Sale <sup>25</sup>
	0.592	52.75	08/31/20	67.27	...	-14.52	4 of 5 - Sale <sup>25</sup>
	0.592	52.71	08/31/20	61.29	...	-8.58	5 of 5 - Sale <sup>25</sup>
08/31/20	17.592	1,566.60	Various	1,869.03	...	-302.43	Total of 5 transactions
09/08/20	1.886	128.26	09/08/20	155.66	...	-27.40	Sale <sup>25</sup>
	3 transactions for 09/09/20. Total proceeds and cost reported to the IRS.						
	21.478	1,465.27	09/09/20	1,758.72	...	-293.45	1 of 3 - Sale <sup>25</sup>
	7.522	513.15	09/09/20	622.73	...	-109.58	2 of 3 - Sale <sup>25</sup>
	2.000	136.44	09/09/20	165.59	...	-29.15	3 of 3 - Sale <sup>25</sup>
09/09/20	31.000	2,114.86	Various	2,547.04	...	-432.18	Total of 3 transactions
09/10/20	1.000	76.30	09/09/20	82.48	...	-6.18	Sale <sup>25</sup>
	12 transactions for 09/11/20. Total proceeds and cost reported to the IRS.						
	16.478	1,207.88	09/09/20	1,273.45	...	-65.57	1 of 12 - Sale <sup>25</sup>
	2.252	165.07	09/09/20	185.74	...	-20.67	2 of 12 - Sale <sup>25</sup>
	1.000	73.30	09/09/20	83.51	...	-10.21	3 of 12 - Sale <sup>25</sup>
	0.270	19.80	09/09/20	22.26	...	-2.46	4 of 12 - Sale <sup>25</sup>
	4.748	348.04	09/10/20	426.52	...	-78.48	5 of 12 - Sale <sup>25</sup>
	3.252	238.38	09/10/20	293.92	...	-55.54	6 of 12 - Sale <sup>25</sup>
	2.000	146.60	09/10/20	179.93	...	-33.33	7 of 12 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol: (cont'd)							
	2.000	146.60	09/10/20	179.57	...	-32.97	8 of 12 - Sale <sup>25</sup>
	1.252	91.77	09/10/20	112.57	...	-20.80	9 of 12 - Sale <sup>25</sup>
	1.000	73.30	09/10/20	89.95	...	-16.65	10 of 12 - Sale <sup>25</sup>
	0.748	54.83	09/10/20	69.02	...	-14.19	11 of 12 - Sale <sup>25</sup>
	0.270	19.78	09/10/20	24.17	...	-4.39	12 of 12 - Sale <sup>25</sup>
09/11/20	35.270	2,585.35	Various	2,940.61	...	-355.26	Total of 12 transactions
	<i>7 transactions for 09/18/20. Total proceeds and cost reported to the IRS.</i>						
	9.000	652.14	09/17/20	723.03	...	-70.89	1 of 7 - Sale <sup>25</sup>
	3.252	235.64	09/17/20	261.27	...	-25.63	2 of 7 - Sale <sup>25</sup>
	1.000	70.91	09/17/20	80.12	...	-9.21	3 of 7 - Sale <sup>25</sup>
	1.000	72.46	09/17/20	72.53	...	-0.07	4 of 7 - Sale <sup>25</sup>
	0.748	54.20	09/17/20	53.03	...	1.17	5 of 7 - Sale <sup>25</sup>
	10.000	724.61	09/18/20	723.83	...	0.78	6 of 7 - Sale <sup>25</sup>
	8.000	579.68	09/18/20	571.06	...	8.62	7 of 7 - Sale <sup>25</sup>
09/18/20	33.000	2,389.64	Various	2,484.87	...	-95.23	Total of 7 transactions
	<b>Security total:</b>	<b>443,853.47</b>		<b>482,578.96</b>	<b>39,199.91 W</b>	<b>474.42</b>	
OWENS & MINOR, INC. / CUSIP: 690732102 / Symbol:							
03/31/20	50.000	456.00	03/30/20	414.38	...	41.62	Sale <sup>25</sup>
05/12/20	50.000	368.19	05/11/20	365.50	...	2.69	Sale <sup>25</sup>
	<b>Security total:</b>	<b>824.19</b>		<b>779.88</b>	...	<b>44.31</b>	
PBF LOGISTICS LP / CUSIP: 69318Q104 / Symbol:							
04/01/20	50.000	314.25	03/31/20	323.50	...	-9.25	Sale <sup>25</sup>
PG&E CORPORATION / CUSIP: 69331C108 / Symbol:							
03/27/20	50.000	461.00	03/25/20	451.40	...	9.60	Sale <sup>25</sup>
05/06/20	25.000	291.00	05/04/20	273.25	...	17.75	Sale <sup>25</sup>
	<b>Security total:</b>	<b>752.00</b>		<b>724.65</b>	...	<b>27.35</b>	

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 527125207
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PACIFIC ETHANOL, INC. COMMON S TOCK / CUSIP: 69423U305 / Symbol:							
<i>2 transactions for 03/23/20. Total proceeds and cost reported to the IRS.</i>							
	800.000	231.90	03/20/20	315.92	...	-84.02	1 of 2 - Sale <sup>25</sup>
	200.000	57.98	03/20/20	81.98	...	-24.00	2 of 2 - Sale <sup>25</sup>
03/23/20	1,000.000	289.88	Various	397.90	...	-108.02	Total of 2 transactions
05/06/20	1,000.000	369.89	05/05/20	405.00	...	-35.11	Sale <sup>25</sup>
<i>3 transactions for 08/05/20. Total proceeds and cost reported to the IRS.</i>							
	610.000	1,402.89	08/05/20	1,439.64	...	-36.75	1 of 3 - Sale <sup>25</sup>
	390.000	896.93	08/05/20	920.43	...	-23.50	2 of 3 - Sale <sup>25</sup>
	275.000	635.20	08/05/20	613.25	...	21.95	3 of 3 - Sale <sup>25</sup>
08/05/20	1,275.000	2,935.02	Various	2,973.32	...	-38.30	Total of 3 transactions
08/05/20	2,500.000	5,774.57	08/05/20	5,825.00	20.17 W	-30.26	Sale <sup>25</sup>
	<b>Security total:</b>	<b>9,369.36</b>		<b>9,601.22</b>	<b>20.17 W</b>	<b>-211.69</b>	
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
12/09/20	100.000	2,798.22	12/08/20	2,860.00	61.78 W	0.00	Sale <sup>25</sup>
12/31/20	100.000	2,410.41	12/31/20	2,477.28	66.87 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>5,208.63</b>		<b>5,337.28</b>	<b>128.65 W</b>	<b>0.00</b>	
PALO ALTO NETWORKS, INC / CUSIP: 697435105 / Symbol:							
06/26/20	10.000	2,242.02	06/25/20	2,264.84	...	-22.82	Sale <sup>25</sup>
PAR PACIFIC HOLDINGS, INC. COM MON STOCK / CUSIP: 69888T207 / Symbol:							
05/01/20	50.000	472.50	04/28/20	391.00	...	81.50	Sale <sup>25</sup>
PARK HOTELS & RESORTS INC. COM MON STOCK / CUSIP: 700517105 / Symbol:							
<i>2 transactions for 05/08/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	212.78	05/07/20	210.00	...	2.78	1 of 2 - Sale <sup>25</sup>
	50.000	425.54	05/07/20	419.75	...	5.79	2 of 2 - Sale <sup>25</sup>
05/08/20	75.000	638.32	Various	629.75	...	8.57	Total of 2 transactions
05/14/20	100.000	677.47	05/13/20	681.00	1.77 W	-1.76	Sale <sup>25</sup>
05/19/20	50.000	425.00	05/18/20	425.27	...	-0.27	Sale <sup>25</sup>
07/10/20	25.000	240.53	07/10/20	239.70	...	0.83	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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PARK HOTELS & RESORTS INC. COM MON STOCK / CUSIP: 700517105 / Symbol: (cont'd)							
4 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	100.000	960.10	07/10/20	966.99	...	-6.89	1 of 4 - Sale <sup>25</sup>
	75.000	720.07	07/10/20	722.90	...	-2.83	2 of 4 - Sale <sup>25</sup>
	25.000	240.02	07/10/20	240.75	...	-0.73	3 of 4 - Sale <sup>25</sup>
	25.000	240.02	07/10/20	239.70	...	0.32	4 of 4 - Sale <sup>25</sup>
07/13/20	225.000	2,160.21	Various	2,170.34	...	-10.13	Total of 4 transactions
	<b>Security total:</b>	<b>4,141.53</b>		<b>4,146.06</b>	<b>1.77 W</b>	<b>-2.76</b>	
PARTY CITY HOLDCO INC. / CUSIP: 702149105 / Symbol:							
05/04/20	500.000	284.94	05/01/20	327.05	42.11 W	0.00	Sale <sup>25</sup>
2 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	100.000	59.25	05/05/20	69.33	10.08 W	0.00	1 of 2 - Sale <sup>25</sup>
	400.000	237.00	05/05/20	283.49	46.49 W	0.00	2 of 2 - Sale <sup>25</sup>
05/06/20	500.000	296.25	Various	352.82	56.57 W	0.00	Total of 2 transactions
2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	1,000.000	539.87	05/18/20	581.00	...	-41.13	1 of 2 - Sale <sup>25</sup>
	1.000	0.54	05/18/20	0.57	...	-0.03	2 of 2 - Sale <sup>25</sup>
05/19/20	1,001.000	540.41	Various	581.57	...	-41.16	Total of 2 transactions
4 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	500.000	269.93	05/18/20	278.15	0.82 W	-7.40	1 of 4 - Sale <sup>25</sup>
	50.000	26.99	05/18/20	33.18	6.19 W	0.00	2 of 4 - Sale <sup>25</sup>
	100.000	53.99	05/18/20	64.81	10.82 W	0.00	3 of 4 - Sale <sup>25</sup>
	350.000	188.95	05/18/20	235.39	46.44 W	0.00	4 of 4 - Sale <sup>25</sup>
05/19/20	1,000.000	539.86	Various	611.53	64.27 W	-7.40	Total of 4 transactions
3 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	150.000	176.98	05/28/20	184.88	...	-7.90	1 of 3 - Sale <sup>25</sup>
	100.000	116.22	05/28/20	120.81	...	-4.59	2 of 3 - Sale <sup>25</sup>
	50.000	59.00	05/28/20	61.19	...	-2.19	3 of 3 - Sale <sup>25</sup>
06/01/20	300.000	352.20	Various	366.88	...	-14.68	Total of 3 transactions
3 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	200.000	331.97	06/12/20	394.54	62.57 W	0.00	1 of 3 - Sale <sup>25</sup>
	39.000	64.74	06/12/20	72.40	7.66 W	0.00	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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PARTY CITY HOLDCO INC. / CUSIP: 702149105 / Symbol: (cont'd)							
06/15/20	11.000	18.26	06/12/20	20.42	2.16 W	0.00	3 of 3 - Sale <sup>25</sup>
	250.000	414.97	Various	487.36	72.39 W	0.00	Total of 3 transactions
	7 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	200.000	286.43	07/08/20	344.13	57.70 W	0.00	1 of 7 - Sale <sup>25</sup>
	11.000	15.75	07/08/20	17.65	1.90 W	0.00	2 of 7 - Sale <sup>25</sup>
	39.000	55.85	07/08/20	62.56	6.71 W	0.00	3 of 7 - Sale <sup>25</sup>
	400.000	572.86	07/08/20	587.12	14.26 W	0.00	4 of 7 - Sale <sup>25</sup>
	100.000	143.21	07/08/20	147.50	4.29 W	0.00	5 of 7 - Sale <sup>25</sup>
	100.000	143.22	07/08/20	147.50	4.28 W	0.00	6 of 7 - Sale <sup>25</sup>
	150.000	214.82	07/08/20	221.99	7.17 W	0.00	7 of 7 - Sale <sup>25</sup>
07/08/20	1,000.000	1,432.14	Various	1,528.45	96.31 W	0.00	Total of 7 transactions
	5 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	200.000	258.01	07/15/20	323.26	65.25 W	0.00	1 of 5 - Sale <sup>25</sup>
	11.000	14.19	07/15/20	16.51	2.32 W	0.00	2 of 5 - Sale <sup>25</sup>
	39.000	50.31	07/15/20	58.49	8.18 W	0.00	3 of 5 - Sale <sup>25</sup>
	100.000	129.00	07/15/20	136.35	7.35 W	0.00	4 of 5 - Sale <sup>25</sup>
	150.000	193.51	07/15/20	203.02	9.51 W	0.00	5 of 5 - Sale <sup>25</sup>
07/15/20	500.000	645.02	Various	737.63	92.61 W	0.00	Total of 5 transactions
	5 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	100.000	146.98	07/23/20	145.29	...	1.69	1 of 5 - Sale <sup>25</sup>
	100.000	146.98	07/23/20	145.28	...	1.70	2 of 5 - Sale <sup>25</sup>
	150.000	220.48	07/23/20	218.67	...	1.81	3 of 5 - Sale <sup>25</sup>
	150.000	220.48	07/23/20	216.84	...	3.64	4 of 5 - Sale <sup>25</sup>
	2,500.000	3,674.63	07/23/20	3,525.00	...	149.63	5 of 5 - Sale <sup>25</sup>
07/23/20	3,000.000	4,409.55	Various	4,251.08	...	158.47	Total of 5 transactions
	25 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	200.000	293.97	07/23/20	347.25	53.28 W	0.00	1 of 25 - Sale <sup>25</sup>
	11.000	16.17	07/23/20	17.83	1.66 W	0.00	2 of 25 - Sale <sup>25</sup>
	39.000	57.32	07/23/20	63.17	5.85 W	0.00	3 of 25 - Sale <sup>25</sup>
	100.000	146.98	07/23/20	148.35	1.37 W	0.00	4 of 25 - Sale <sup>25</sup>
	150.000	220.48	07/23/20	221.01	0.53 W	0.00	5 of 25 - Sale <sup>25</sup>
	1,500.000	2,204.77	07/23/20	2,219.85	15.08 W	0.00	6 of 25 - Sale <sup>25</sup>
	100.000	146.99	07/23/20	148.00	1.01 W	0.00	7 of 25 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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PARTY CITY HOLDCO INC. / CUSIP: 702149105 / Symbol: (cont'd)							
	100.000	146.98	07/23/20	148.00	1.02 W	0.00	8 of 25 - Sale <sup>25</sup>
	100.000	146.99	07/23/20	148.00	1.01 W	0.00	9 of 25 - Sale <sup>25</sup>
	100.000	146.98	07/23/20	148.00	1.02 W	0.00	10 of 25 - Sale <sup>25</sup>
	400.000	587.94	07/23/20	592.00	4.06 W	0.00	11 of 25 - Sale <sup>25</sup>
	200.000	293.97	07/23/20	296.00	2.03 W	0.00	12 of 25 - Sale <sup>25</sup>
	100.000	147.21	07/23/20	148.35	1.14 W	0.00	13 of 25 - Sale <sup>25</sup>
	200.000	294.43	07/23/20	349.28	54.85 W	0.00	14 of 25 - Sale <sup>25</sup>
	11.000	16.19	07/23/20	17.94	1.75 W	0.00	15 of 25 - Sale <sup>25</sup>
	39.000	57.41	07/23/20	63.57	6.16 W	0.00	16 of 25 - Sale <sup>25</sup>
	50.000	73.61	07/23/20	74.18	0.57 W	0.00	17 of 25 - Sale <sup>25</sup>
	100.000	147.21	07/23/20	149.37	2.16 W	0.00	18 of 25 - Sale <sup>25</sup>
	1,000.000	1,472.15	07/23/20	1,487.75	15.60 W	0.00	19 of 25 - Sale <sup>25</sup>
	100.000	147.21	07/23/20	151.78	4.57 W	0.00	20 of 25 - Sale <sup>25</sup>
	100.000	147.22	07/23/20	151.79	4.57 W	0.00	21 of 25 - Sale <sup>25</sup>
	100.000	147.21	07/23/20	151.78	4.57 W	0.00	22 of 25 - Sale <sup>25</sup>
	100.000	147.21	07/23/20	151.79	4.58 W	0.00	23 of 25 - Sale <sup>25</sup>
	100.000	147.22	07/23/20	151.78	4.56 W	0.00	24 of 25 - Sale <sup>25</sup>
	500.000	736.08	07/23/20	758.88	22.80 W	0.00	25 of 25 - Sale <sup>25</sup>
07/23/20	5,500.000	8,089.90	Various	8,305.70	215.80 W	0.00	Total of 25 transactions
	9 transactions for 08/14/20. Total proceeds and cost reported to the IRS.						
	655.000	1,748.82	08/14/20	1,827.45	...	-78.63	1 of 9 - Sale <sup>25</sup>
	100.000	266.99	08/14/20	288.22	...	-21.23	2 of 9 - Sale <sup>25</sup>
	100.000	266.99	08/14/20	288.22	...	-21.23	3 of 9 - Sale <sup>25</sup>
	100.000	266.99	08/14/20	288.22	...	-21.23	4 of 9 - Sale <sup>25</sup>
	99.000	264.32	08/14/20	285.34	...	-21.02	5 of 9 - Sale <sup>25</sup>
	97.000	258.99	08/14/20	279.58	...	-20.59	6 of 9 - Sale <sup>25</sup>
	4.000	10.68	08/14/20	11.52	...	-0.84	7 of 9 - Sale <sup>25</sup>
	1.000	2.67	08/14/20	2.88	...	-0.21	8 of 9 - Sale <sup>25</sup>
	1,830.000	4,867.68	08/14/20	4,831.20	...	36.48	9 of 9 - Sale <sup>25</sup>
08/14/20	2,986.000	7,954.13	Various	8,102.63	...	-148.50	Total of 9 transactions
	158 transactions for 08/14/20. Total proceeds and cost reported to the IRS.						
	100.000	266.99	08/14/20	287.72	1.24 W	-19.49	1 of 158 - Sale <sup>25</sup>
	200.000	517.98	08/14/20	580.39	62.41 W	0.00	2 of 158 - Sale <sup>25</sup>
	11.000	28.49	08/14/20	30.65	2.16 W	0.00	3 of 158 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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PARTY CITY HOLDCO INC. / CUSIP: 702149105 / Symbol: (cont'd)							
	39.000	101.01	08/14/20	108.64	7.63 W	0.00	4 of 158 - Sale <sup>25</sup>
	175.000	453.23	08/14/20	461.62	8.39 W	0.00	5 of 158 - Sale <sup>25</sup>
	300.000	776.97	08/14/20	791.36	14.39 W	0.00	6 of 158 - Sale <sup>25</sup>
	75.000	193.87	08/14/20	198.70	4.83 W	0.00	7 of 158 - Sale <sup>25</sup>
	25.000	64.62	08/14/20	65.95	1.33 W	0.00	8 of 158 - Sale <sup>25</sup>
	50.000	129.25	08/14/20	131.96	2.71 W	0.00	9 of 158 - Sale <sup>25</sup>
	25.000	64.62	08/14/20	66.23	1.61 W	0.00	10 of 158 - Sale <sup>25</sup>
	24.000	62.04	08/14/20	63.34	1.30 W	0.00	11 of 158 - Sale <sup>25</sup>
	76.000	196.45	08/14/20	200.57	4.12 W	0.00	12 of 158 - Sale <sup>25</sup>
	24.000	62.04	08/14/20	63.44	1.40 W	0.00	13 of 158 - Sale <sup>25</sup>
	100.000	258.49	08/14/20	264.33	5.84 W	0.00	14 of 158 - Sale <sup>25</sup>
	100.000	258.49	08/14/20	264.33	5.84 W	0.00	15 of 158 - Sale <sup>25</sup>
	100.000	257.99	08/14/20	264.33	6.34 W	0.00	16 of 158 - Sale <sup>25</sup>
	100.000	257.99	08/14/20	264.33	6.34 W	0.00	17 of 158 - Sale <sup>25</sup>
	100.000	257.99	08/14/20	264.33	6.34 W	0.00	18 of 158 - Sale <sup>25</sup>
	4.000	10.32	08/14/20	10.57	0.25 W	0.00	19 of 158 - Sale <sup>25</sup>
	100.000	257.99	08/14/20	264.33	6.34 W	0.00	20 of 158 - Sale <sup>25</sup>
	97.000	250.25	08/14/20	256.40	6.15 W	0.00	21 of 158 - Sale <sup>25</sup>
	3.000	7.74	08/14/20	8.82	1.08 W	0.00	22 of 158 - Sale <sup>25</sup>
	100.000	257.99	08/14/20	294.06	36.07 W	0.00	23 of 158 - Sale <sup>25</sup>
	97.000	250.25	08/14/20	285.23	34.98 W	0.00	24 of 158 - Sale <sup>25</sup>
	3.000	7.74	08/14/20	8.47	0.73 W	0.00	25 of 158 - Sale <sup>25</sup>
	3.000	7.74	08/14/20	8.47	0.73 W	0.00	26 of 158 - Sale <sup>25</sup>
	11.000	28.38	08/14/20	31.07	2.69 W	0.00	27 of 158 - Sale <sup>25</sup>
	33.000	85.14	08/14/20	93.20	8.06 W	0.00	28 of 158 - Sale <sup>25</sup>
	56.000	144.47	08/14/20	148.07	3.60 W	0.00	29 of 158 - Sale <sup>25</sup>
	94.000	242.51	08/14/20	248.55	6.04 W	0.00	30 of 158 - Sale <sup>25</sup>
	100.000	257.99	08/14/20	264.41	6.42 W	0.00	31 of 158 - Sale <sup>25</sup>
	25.000	64.50	08/14/20	66.10	1.60 W	0.00	32 of 158 - Sale <sup>25</sup>
	75.000	193.49	08/14/20	200.56	7.07 W	0.00	33 of 158 - Sale <sup>25</sup>
	100.000	257.99	08/14/20	267.41	9.42 W	0.00	34 of 158 - Sale <sup>25</sup>
	200.000	515.96	08/14/20	534.82	18.86 W	0.00	35 of 158 - Sale <sup>25</sup>
	100.000	257.99	08/14/20	267.41	9.42 W	0.00	36 of 158 - Sale <sup>25</sup>
	6.000	15.48	08/14/20	16.04	0.56 W	0.00	37 of 158 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
PARTY CITY HOLDCO INC. / CUSIP: 702149105 / Symbol: (cont'd)							
	100.000	257.98	08/14/20	267.42	9.44 W	0.00	38 of 158 - Sale <sup>25</sup>
	81.000	208.96	08/14/20	216.61	7.65 W	0.00	39 of 158 - Sale <sup>25</sup>
	19.000	49.02	08/14/20	50.81	1.79 W	0.00	40 of 158 - Sale <sup>25</sup>
	19.000	49.02	08/14/20	50.81	1.79 W	0.00	41 of 158 - Sale <sup>25</sup>
	81.000	208.97	08/14/20	216.61	7.64 W	0.00	42 of 158 - Sale <sup>25</sup>
	6.000	15.48	08/14/20	16.05	0.57 W	0.00	43 of 158 - Sale <sup>25</sup>
	13.000	33.54	08/14/20	34.76	1.22 W	0.00	44 of 158 - Sale <sup>25</sup>
	81.000	208.97	08/14/20	216.62	7.65 W	0.00	45 of 158 - Sale <sup>25</sup>
	19.000	49.02	08/14/20	50.81	1.79 W	0.00	46 of 158 - Sale <sup>25</sup>
	81.000	208.97	08/14/20	216.60	7.63 W	0.00	47 of 158 - Sale <sup>25</sup>
	24.000	61.91	08/14/20	64.38	2.47 W	0.00	48 of 158 - Sale <sup>25</sup>
	24.000	61.92	08/14/20	64.48	2.56 W	0.00	49 of 158 - Sale <sup>25</sup>
	25.000	64.50	08/14/20	67.04	2.54 W	0.00	50 of 158 - Sale <sup>25</sup>
	25.000	64.50	08/14/20	67.32	2.82 W	0.00	51 of 158 - Sale <sup>25</sup>
	50.000	128.99	08/14/20	134.14	5.15 W	0.00	52 of 158 - Sale <sup>25</sup>
	75.000	193.49	08/14/20	201.97	8.48 W	0.00	53 of 158 - Sale <sup>25</sup>
	76.000	196.06	08/14/20	203.89	7.83 W	0.00	54 of 158 - Sale <sup>25</sup>
	19.000	49.02	08/14/20	50.81	1.79 W	0.00	55 of 158 - Sale <sup>25</sup>
	100.000	257.98	08/14/20	268.69	10.71 W	0.00	56 of 158 - Sale <sup>25</sup>
	100.000	257.98	08/14/20	268.69	10.71 W	0.00	57 of 158 - Sale <sup>25</sup>
	100.000	257.98	08/14/20	269.19	11.21 W	0.00	58 of 158 - Sale <sup>25</sup>
	1.000	2.58	08/14/20	2.69	0.11 W	0.00	59 of 158 - Sale <sup>25</sup>
	175.000	451.47	08/14/20	468.38	16.91 W	0.00	60 of 158 - Sale <sup>25</sup>
	300.000	773.94	08/14/20	802.94	29.00 W	0.00	61 of 158 - Sale <sup>25</sup>
	4.000	10.32	08/14/20	10.76	0.44 W	0.00	62 of 158 - Sale <sup>25</sup>
	97.000	250.24	08/14/20	261.12	10.88 W	0.00	63 of 158 - Sale <sup>25</sup>
	99.000	255.40	08/14/20	266.50	11.10 W	0.00	64 of 158 - Sale <sup>25</sup>
	100.000	257.98	08/14/20	269.19	11.21 W	0.00	65 of 158 - Sale <sup>25</sup>
	100.000	257.98	08/14/20	269.19	11.21 W	0.00	66 of 158 - Sale <sup>25</sup>
	3.000	8.04	08/14/20	9.00	0.96 W	0.00	67 of 158 - Sale <sup>25</sup>
	67.000	179.55	08/14/20	201.04	21.49 W	0.00	68 of 158 - Sale <sup>25</sup>
	100.000	267.98	08/14/20	300.07	32.09 W	0.00	69 of 158 - Sale <sup>25</sup>
	29.000	77.71	08/14/20	87.02	9.31 W	0.00	70 of 158 - Sale <sup>25</sup>
	3.000	7.98	08/14/20	8.88	0.90 W	0.00	71 of 158 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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PARTY CITY HOLDCO INC. / CUSIP: 702149105 / Symbol: (cont'd)							
	1.000	2.66	08/14/20	3.00	0.34 W	0.00	72 of 158 - Sale <sup>25</sup>
	71.000	188.85	08/14/20	210.22	21.37 W	0.00	73 of 158 - Sale <sup>25</sup>
	67.000	178.21	08/14/20	198.37	20.16 W	0.00	74 of 158 - Sale <sup>25</sup>
	29.000	77.14	08/14/20	85.87	8.73 W	0.00	75 of 158 - Sale <sup>25</sup>
	3.000	7.98	08/14/20	8.65	0.67 W	0.00	76 of 158 - Sale <sup>25</sup>
	3.000	7.98	08/14/20	8.65	0.67 W	0.00	77 of 158 - Sale <sup>25</sup>
	11.000	29.26	08/14/20	31.73	2.47 W	0.00	78 of 158 - Sale <sup>25</sup>
	33.000	87.78	08/14/20	95.18	7.40 W	0.00	79 of 158 - Sale <sup>25</sup>
	1.000	2.66	08/14/20	2.75	0.09 W	0.00	80 of 158 - Sale <sup>25</sup>
	4.000	10.64	08/14/20	11.00	0.36 W	0.00	81 of 158 - Sale <sup>25</sup>
	6.000	15.96	08/14/20	16.40	0.44 W	0.00	82 of 158 - Sale <sup>25</sup>
	6.000	15.96	08/14/20	16.41	0.45 W	0.00	83 of 158 - Sale <sup>25</sup>
	13.000	34.58	08/14/20	35.54	0.96 W	0.00	84 of 158 - Sale <sup>25</sup>
	19.000	50.54	08/14/20	51.95	1.41 W	0.00	85 of 158 - Sale <sup>25</sup>
	19.000	50.54	08/14/20	51.95	1.41 W	0.00	86 of 158 - Sale <sup>25</sup>
	19.000	50.54	08/14/20	51.95	1.41 W	0.00	87 of 158 - Sale <sup>25</sup>
	19.000	50.54	08/14/20	51.95	1.41 W	0.00	88 of 158 - Sale <sup>25</sup>
	24.000	63.84	08/14/20	65.83	1.99 W	0.00	89 of 158 - Sale <sup>25</sup>
	24.000	63.84	08/14/20	65.92	2.08 W	0.00	90 of 158 - Sale <sup>25</sup>
	25.000	66.50	08/14/20	67.60	1.10 W	0.00	91 of 158 - Sale <sup>25</sup>
	25.000	66.50	08/14/20	68.54	2.04 W	0.00	92 of 158 - Sale <sup>25</sup>
	25.000	66.50	08/14/20	68.82	2.32 W	0.00	93 of 158 - Sale <sup>25</sup>
	50.000	133.00	08/14/20	137.15	4.15 W	0.00	94 of 158 - Sale <sup>25</sup>
	56.000	148.96	08/14/20	151.44	2.48 W	0.00	95 of 158 - Sale <sup>25</sup>
	75.000	199.49	08/14/20	205.07	5.58 W	0.00	96 of 158 - Sale <sup>25</sup>
	75.000	199.49	08/14/20	206.48	6.99 W	0.00	97 of 158 - Sale <sup>25</sup>
	76.000	202.15	08/14/20	208.47	6.32 W	0.00	98 of 158 - Sale <sup>25</sup>
	81.000	215.45	08/14/20	221.49	6.04 W	0.00	99 of 158 - Sale <sup>25</sup>
	81.000	215.45	08/14/20	221.48	6.03 W	0.00	100 of 158 - Sale <sup>25</sup>
	81.000	215.45	08/14/20	221.49	6.04 W	0.00	101 of 158 - Sale <sup>25</sup>
	81.000	215.45	08/14/20	221.47	6.02 W	0.00	102 of 158 - Sale <sup>25</sup>
	94.000	250.03	08/14/20	254.20	4.17 W	0.00	103 of 158 - Sale <sup>25</sup>
	97.000	258.01	08/14/20	266.96	8.95 W	0.00	104 of 158 - Sale <sup>25</sup>
	99.000	263.33	08/14/20	272.46	9.13 W	0.00	105 of 158 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PARTY CITY HOLDCO INC. / CUSIP: 702149105 / Symbol: (cont'd)							
	100.000	265.99	08/14/20	270.42	4.43 W	0.00	106 of 158 - Sale <sup>25</sup>
	100.000	265.99	08/14/20	273.42	7.43 W	0.00	107 of 158 - Sale <sup>25</sup>
	100.000	265.99	08/14/20	273.42	7.43 W	0.00	108 of 158 - Sale <sup>25</sup>
	100.000	265.99	08/14/20	273.44	7.45 W	0.00	109 of 158 - Sale <sup>25</sup>
	100.000	265.99	08/14/20	274.71	8.72 W	0.00	110 of 158 - Sale <sup>25</sup>
	100.000	265.99	08/14/20	274.71	8.72 W	0.00	111 of 158 - Sale <sup>25</sup>
	100.000	265.99	08/14/20	275.21	9.22 W	0.00	112 of 158 - Sale <sup>25</sup>
	100.000	265.99	08/14/20	275.21	9.22 W	0.00	113 of 158 - Sale <sup>25</sup>
	100.000	265.99	08/14/20	275.21	9.22 W	0.00	114 of 158 - Sale <sup>25</sup>
	175.000	465.49	08/14/20	478.91	13.42 W	0.00	115 of 158 - Sale <sup>25</sup>
	200.000	531.98	08/14/20	546.86	14.88 W	0.00	116 of 158 - Sale <sup>25</sup>
	300.000	797.98	08/14/20	821.00	23.02 W	0.00	117 of 158 - Sale <sup>25</sup>
	1.000	2.66	08/14/20	3.13	0.47 W	0.00	118 of 158 - Sale <sup>25</sup>
	3.000	7.98	08/14/20	9.27	1.29 W	0.00	119 of 158 - Sale <sup>25</sup>
	29.000	77.14	08/14/20	90.22	13.08 W	0.00	120 of 158 - Sale <sup>25</sup>
	71.000	188.85	08/14/20	219.46	30.61 W	0.00	121 of 158 - Sale <sup>25</sup>
	95.000	252.69	08/14/20	265.05	12.36 W	0.00	122 of 158 - Sale <sup>25</sup>
	29.000	77.43	08/14/20	89.64	12.21 W	0.00	123 of 158 - Sale <sup>25</sup>
	67.000	178.88	08/14/20	207.09	28.21 W	0.00	124 of 158 - Sale <sup>25</sup>
	3.000	8.01	08/14/20	9.04	1.03 W	0.00	125 of 158 - Sale <sup>25</sup>
	3.000	8.01	08/14/20	9.04	1.03 W	0.00	126 of 158 - Sale <sup>25</sup>
	11.000	29.37	08/14/20	33.16	3.79 W	0.00	127 of 158 - Sale <sup>25</sup>
	33.000	88.11	08/14/20	99.47	11.36 W	0.00	128 of 158 - Sale <sup>25</sup>
	6.000	16.02	08/14/20	17.18	1.16 W	0.00	129 of 158 - Sale <sup>25</sup>
	6.000	16.02	08/14/20	17.19	1.17 W	0.00	130 of 158 - Sale <sup>25</sup>
	13.000	34.71	08/14/20	37.23	2.52 W	0.00	131 of 158 - Sale <sup>25</sup>
	19.000	50.73	08/14/20	54.42	3.69 W	0.00	132 of 158 - Sale <sup>25</sup>
	19.000	50.73	08/14/20	54.42	3.69 W	0.00	133 of 158 - Sale <sup>25</sup>
	19.000	50.73	08/14/20	54.42	3.69 W	0.00	134 of 158 - Sale <sup>25</sup>
	19.000	50.73	08/14/20	54.42	3.69 W	0.00	135 of 158 - Sale <sup>25</sup>
	24.000	64.08	08/14/20	68.95	4.87 W	0.00	136 of 158 - Sale <sup>25</sup>
	24.000	64.08	08/14/20	69.04	4.96 W	0.00	137 of 158 - Sale <sup>25</sup>
	25.000	66.75	08/14/20	70.85	4.10 W	0.00	138 of 158 - Sale <sup>25</sup>
	25.000	66.75	08/14/20	71.79	5.04 W	0.00	139 of 158 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PARTY CITY HOLDCO INC. / CUSIP: 702149105 / Symbol: (cont'd)							
	25.000	66.75	08/14/20	72.07	5.32 W	0.00	140 of 158 - Sale <sup>25</sup>
	50.000	133.50	08/14/20	143.65	10.15 W	0.00	141 of 158 - Sale <sup>25</sup>
	56.000	149.52	08/14/20	158.72	9.20 W	0.00	142 of 158 - Sale <sup>25</sup>
	75.000	200.24	08/14/20	214.83	14.59 W	0.00	143 of 158 - Sale <sup>25</sup>
	75.000	200.24	08/14/20	216.24	16.00 W	0.00	144 of 158 - Sale <sup>25</sup>
	76.000	202.91	08/14/20	218.36	15.45 W	0.00	145 of 158 - Sale <sup>25</sup>
	81.000	216.26	08/14/20	232.03	15.77 W	0.00	146 of 158 - Sale <sup>25</sup>
	81.000	216.26	08/14/20	232.02	15.76 W	0.00	147 of 158 - Sale <sup>25</sup>
	81.000	216.26	08/14/20	232.03	15.77 W	0.00	148 of 158 - Sale <sup>25</sup>
	81.000	216.26	08/14/20	232.01	15.75 W	0.00	149 of 158 - Sale <sup>25</sup>
	94.000	250.97	08/14/20	266.43	15.46 W	0.00	150 of 158 - Sale <sup>25</sup>
	100.000	266.99	08/14/20	283.43	16.44 W	0.00	151 of 158 - Sale <sup>25</sup>
	100.000	266.99	08/14/20	286.43	19.44 W	0.00	152 of 158 - Sale <sup>25</sup>
	100.000	266.99	08/14/20	286.43	19.44 W	0.00	153 of 158 - Sale <sup>25</sup>
	100.000	266.99	08/14/20	286.45	19.46 W	0.00	154 of 158 - Sale <sup>25</sup>
	100.000	266.99	08/14/20	287.72	20.73 W	0.00	155 of 158 - Sale <sup>25</sup>
	175.000	467.24	08/14/20	501.67	34.43 W	0.00	156 of 158 - Sale <sup>25</sup>
	200.000	533.98	08/14/20	572.88	38.90 W	0.00	157 of 158 - Sale <sup>25</sup>
	300.000	800.98	08/14/20	860.02	59.04 W	0.00	158 of 158 - Sale <sup>25</sup>
08/14/20	10,489.000	27,559.26	Various	28,957.55	1,378.80 W	-19.49	Total of 158 transactions
42 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	300.000	600.65	08/19/20	667.62	...	-66.97	1 of 42 - Sale <sup>25</sup>
	200.000	400.43	08/19/20	444.62	...	-44.19	2 of 42 - Sale <sup>25</sup>
	175.000	350.38	08/19/20	389.44	...	-39.06	3 of 42 - Sale <sup>25</sup>
	71.000	142.15	08/19/20	174.64	...	-32.49	4 of 42 - Sale <sup>25</sup>
	67.000	134.14	08/19/20	164.13	...	-29.99	5 of 42 - Sale <sup>25</sup>
	100.000	200.22	08/19/20	223.59	...	-23.37	6 of 42 - Sale <sup>25</sup>
	100.000	200.21	08/19/20	222.32	...	-22.11	7 of 42 - Sale <sup>25</sup>
	100.000	200.21	08/19/20	222.30	...	-22.09	8 of 42 - Sale <sup>25</sup>
	100.000	200.21	08/19/20	222.30	...	-22.09	9 of 42 - Sale <sup>25</sup>
	100.000	200.21	08/19/20	219.30	...	-19.09	10 of 42 - Sale <sup>25</sup>
	75.000	150.16	08/19/20	168.15	...	-17.99	11 of 42 - Sale <sup>25</sup>
	94.000	188.20	08/19/20	206.15	...	-17.95	12 of 42 - Sale <sup>25</sup>
	81.000	162.17	08/19/20	180.09	...	-17.92	13 of 42 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PARTY CITY HOLDCO INC. / CUSIP: 702149105 / Symbol: (cont'd)							
	81.000	162.17	08/19/20	180.09	...	-17.92	14 of 42 - Sale <sup>25</sup>
	81.000	162.17	08/19/20	180.08	...	-17.91	15 of 42 - Sale <sup>25</sup>
	81.000	162.18	08/19/20	180.07	...	-17.89	16 of 42 - Sale <sup>25</sup>
	76.000	152.17	08/19/20	169.62	...	-17.45	17 of 42 - Sale <sup>25</sup>
	75.000	150.16	08/19/20	166.73	...	-16.57	18 of 42 - Sale <sup>25</sup>
	95.000	190.20	08/19/20	205.08	...	-14.88	19 of 42 - Sale <sup>25</sup>
	29.000	58.06	08/19/20	71.91	...	-13.85	20 of 42 - Sale <sup>25</sup>
	29.000	58.06	08/19/20	71.04	...	-12.98	21 of 42 - Sale <sup>25</sup>
	33.000	66.07	08/19/20	78.30	...	-12.23	22 of 42 - Sale <sup>25</sup>
	50.000	100.11	08/19/20	111.58	...	-11.47	23 of 42 - Sale <sup>25</sup>
	56.000	112.12	08/19/20	122.80	...	-10.68	24 of 42 - Sale <sup>25</sup>
	25.000	50.05	08/19/20	56.03	...	-5.98	25 of 42 - Sale <sup>25</sup>
	25.000	50.05	08/19/20	55.75	...	-5.70	26 of 42 - Sale <sup>25</sup>
	24.000	48.05	08/19/20	53.65	...	-5.60	27 of 42 - Sale <sup>25</sup>
	24.000	48.05	08/19/20	53.56	...	-5.51	28 of 42 - Sale <sup>25</sup>
	25.000	50.05	08/19/20	54.81	...	-4.76	29 of 42 - Sale <sup>25</sup>
	19.000	38.04	08/19/20	42.23	...	-4.19	30 of 42 - Sale <sup>25</sup>
	19.000	38.04	08/19/20	42.23	...	-4.19	31 of 42 - Sale <sup>25</sup>
	19.000	38.04	08/19/20	42.23	...	-4.19	32 of 42 - Sale <sup>25</sup>
	19.000	38.04	08/19/20	42.23	...	-4.19	33 of 42 - Sale <sup>25</sup>
	11.000	22.02	08/19/20	26.10	...	-4.08	34 of 42 - Sale <sup>25</sup>
	13.000	26.03	08/19/20	28.89	...	-2.86	35 of 42 - Sale <sup>25</sup>
	6.000	12.01	08/19/20	13.41	...	-1.40	36 of 42 - Sale <sup>25</sup>
	3.000	6.01	08/19/20	7.38	...	-1.37	37 of 42 - Sale <sup>25</sup>
	6.000	12.01	08/19/20	13.34	...	-1.33	38 of 42 - Sale <sup>25</sup>
	6.000	12.01	08/19/20	13.33	...	-1.32	39 of 42 - Sale <sup>25</sup>
	3.000	6.01	08/19/20	7.12	...	-1.11	40 of 42 - Sale <sup>25</sup>
	3.000	6.01	08/19/20	7.12	...	-1.11	41 of 42 - Sale <sup>25</sup>
	1.000	2.00	08/19/20	2.50	...	-0.50	42 of 42 - Sale <sup>25</sup>
08/19/20	2,500.000	5,005.33	Various	5,603.86	...	-598.53	Total of 42 transactions
11/04/20	7.000	15.54	11/04/20	15.61	...	-0.07	Sale <sup>25</sup>
11/04/20	8.000	17.76	11/04/20	17.84	0.08 W	0.00	Sale <sup>25</sup>
11/11/20	8.000	24.80	11/10/20	25.68	...	-0.88	Sale <sup>25</sup>
	<b>Security total:</b>	<b>57,582.06</b>		<b>60,273.24</b>	<b>2,018.94 W</b>	<b>-672.24</b>	

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PAYPAL HOLDINGS, INC. COMMON S TOCK / CUSIP: 70450Y103 / Symbol:							
4 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	2.000	385.66	07/30/20	389.92	...	-4.26	1 of 4 - Sale <sup>25</sup>
	3.000	578.50	07/30/20	579.16	...	-0.66	2 of 4 - Sale <sup>25</sup>
	2.000	385.66	07/30/20	386.05	...	-0.39	3 of 4 - Sale <sup>25</sup>
	7.000	1,340.19	07/30/20	1,339.66	...	0.53	4 of 4 - Sale <sup>25</sup>
07/30/20	14.000	2,690.01	Various	2,694.79	...	-4.78	Total of 4 transactions
3 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	2.000	383.18	07/30/20	387.20	4.02 W	0.00	1 of 3 - Sale <sup>25</sup>
	2.000	382.91	07/30/20	386.78	3.87 W	0.00	2 of 3 - Sale <sup>25</sup>
	3.000	574.36	07/30/20	574.44	0.08 W	0.00	3 of 3 - Sale <sup>25</sup>
07/30/20	7.000	1,340.45	Various	1,348.42	7.97 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>4,030.46</b>		<b>4,043.21</b>	<b>7.97 W</b>	<b>-4.78</b>	
PAYSIGN, INC. COMMON STOCK / CUSIP: 70451A104 / Symbol:							
04/02/20	90.000	377.83	04/01/20	383.85	...	-6.02	Sale <sup>25</sup>
7 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	150.000	1,619.95	06/25/20	1,649.42	...	-29.47	1 of 7 - Sale <sup>25</sup>
	50.000	539.98	06/25/20	562.25	...	-22.27	2 of 7 - Sale <sup>25</sup>
	50.000	530.98	06/25/20	545.23	...	-14.25	3 of 7 - Sale <sup>25</sup>
	50.000	539.98	06/25/20	546.67	...	-6.69	4 of 7 - Sale <sup>25</sup>
	100.000	1,079.97	06/25/20	1,085.00	...	-5.03	5 of 7 - Sale <sup>25</sup>
	200.000	2,159.93	06/25/20	2,129.98	...	29.95	6 of 7 - Sale <sup>25</sup>
	400.000	4,319.85	06/25/20	4,259.96	...	59.89	7 of 7 - Sale <sup>25</sup>
06/25/20	1,000.000	10,790.64	Various	10,778.51	...	12.13	Total of 7 transactions
19 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	180.000	1,802.58	06/25/20	1,809.00	6.42 W	0.00	1 of 19 - Sale <sup>25</sup>
	20.000	200.29	06/25/20	203.45	3.16 W	0.00	2 of 19 - Sale <sup>25</sup>
	50.000	496.48	06/25/20	510.40	13.92 W	0.00	3 of 19 - Sale <sup>25</sup>
	50.000	496.48	06/25/20	508.92	12.44 W	0.00	4 of 19 - Sale <sup>25</sup>
	20.000	198.19	06/25/20	206.02	7.83 W	0.00	5 of 19 - Sale <sup>25</sup>
	50.000	495.48	06/25/20	521.06	25.58 W	0.00	6 of 19 - Sale <sup>25</sup>
	80.000	792.77	06/25/20	814.28	21.51 W	0.00	7 of 19 - Sale <sup>25</sup>
	50.000	495.48	06/25/20	507.14	11.66 W	0.00	8 of 19 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PAYSIGN, INC. COMMON STOCK / CUSIP: 70451A104 / Symbol: (cont'd)							
	50.000	495.49	06/25/20	522.72	27.23 W	0.00	9 of 19 - Sale <sup>25</sup>
	150.000	1,486.45	06/25/20	1,530.84	44.39 W	0.00	10 of 19 - Sale <sup>25</sup>
	20.000	215.99	06/25/20	220.83	4.84 W	0.00	11 of 19 - Sale <sup>25</sup>
	50.000	539.98	06/25/20	558.08	18.10 W	0.00	12 of 19 - Sale <sup>25</sup>
	50.000	539.98	06/25/20	544.15	4.17 W	0.00	13 of 19 - Sale <sup>25</sup>
	50.000	539.98	06/25/20	559.73	19.75 W	0.00	14 of 19 - Sale <sup>25</sup>
	80.000	863.97	06/25/20	873.50	9.53 W	0.00	15 of 19 - Sale <sup>25</sup>
	150.000	1,619.95	06/25/20	1,641.87	21.92 W	0.00	16 of 19 - Sale <sup>25</sup>
	20.000	215.99	06/25/20	221.84	5.85 W	0.00	17 of 19 - Sale <sup>25</sup>
	50.000	539.98	06/25/20	560.60	20.62 W	0.00	18 of 19 - Sale <sup>25</sup>
	80.000	863.97	06/25/20	877.53	13.56 W	0.00	19 of 19 - Sale <sup>25</sup>
06/25/20	1,250.000	12,899.48	Various	13,191.96	292.48 W	0.00	Total of 19 transactions
	3 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	50.000	514.98	06/25/20	556.19	...	-41.21	1 of 3 - Sale <sup>25</sup>
	30.000	308.99	06/25/20	327.13	...	-18.14	2 of 3 - Sale <sup>25</sup>
	20.000	205.99	06/25/20	220.55	...	-14.56	3 of 3 - Sale <sup>25</sup>
06/26/20	100.000	1,029.96	Various	1,103.87	...	-73.91	Total of 3 transactions
	3 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	91.000	737.07	08/13/20	787.15	11.56 W	-38.52	1 of 3 - Sale <sup>25</sup>
	9.000	94.14	08/13/20	94.30	0.16 W	0.00	2 of 3 - Sale <sup>25</sup>
	9.000	72.90	08/13/20	78.01	5.11 W	0.00	3 of 3 - Sale <sup>25</sup>
08/13/20	109.000	904.11	Various	959.46	16.83 W	-38.52	Total of 3 transactions
	2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.						
	21.000	153.95	08/13/20	187.96	...	-34.01	1 of 2 - Sale <sup>25</sup>
	9.000	65.98	08/13/20	80.71	...	-14.73	2 of 2 - Sale <sup>25</sup>
08/14/20	30.000	219.93	Various	268.67	...	-48.74	Total of 2 transactions
	<b>Security total:</b>	<b>26,221.95</b>		<b>26,686.32</b>	<b>309.31 W</b>	<b>-155.06</b>	
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol:							
03/31/20	20.000	558.93	03/30/20	571.80	12.87 W	0.00	Sale <sup>25</sup>
04/06/20	5.000	142.70	04/03/20	141.73	...	0.97	Sale <sup>25</sup>
04/06/20	20.000	570.78	04/03/20	579.80	9.02 W	0.00	Sale <sup>25</sup>
04/14/20	20.000	632.58	04/13/20	631.37	...	1.21	Sale <sup>25</sup>
06/18/20	15.000	763.93	06/17/20	768.60	4.67 W	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol: (cont'd)							
2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	2.000	112.70	06/29/20	112.52	...	0.18	1 of 2 - Sale <sup>25</sup>
	4.000	225.44	06/29/20	225.05	...	0.39	2 of 2 - Sale <sup>25</sup>
06/29/20	6.000	338.14	Various	337.57	...	0.57	Total of 2 transactions
3 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	3.000	181.08	07/01/20	183.48	2.40 W	0.00	1 of 3 - Sale <sup>25</sup>
	6.000	362.16	07/01/20	366.97	4.81 W	0.00	2 of 3 - Sale <sup>25</sup>
	6.000	362.17	07/01/20	365.10	2.93 W	0.00	3 of 3 - Sale <sup>25</sup>
07/01/20	15.000	905.41	Various	915.55	10.14 W	0.00	Total of 3 transactions
07/07/20	1.000	61.62	07/07/20	62.50	0.88 W	0.00	Sale <sup>25</sup>
5 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	1.000	64.10	07/09/20	65.20	1.10 W	0.00	1 of 5 - Sale <sup>25</sup>
	2.000	128.20	07/09/20	130.24	2.04 W	0.00	2 of 5 - Sale <sup>25</sup>
	6.000	384.59	07/09/20	390.73	6.14 W	0.00	3 of 5 - Sale <sup>25</sup>
	6.000	384.59	07/09/20	388.85	4.26 W	0.00	4 of 5 - Sale <sup>25</sup>
	10.000	640.98	07/09/20	643.20	2.22 W	0.00	5 of 5 - Sale <sup>25</sup>
07/09/20	25.000	1,602.46	Various	1,618.22	15.76 W	0.00	Total of 5 transactions
8 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	1.000	63.73	07/20/20	61.60	...	2.13	1 of 8 - Sale <sup>25</sup>
	1.000	63.73	07/20/20	61.52	...	2.21	2 of 8 - Sale <sup>25</sup>
	2.000	127.46	07/20/20	123.04	...	4.42	3 of 8 - Sale <sup>25</sup>
	5.000	318.64	07/20/20	307.62	...	11.02	4 of 8 - Sale <sup>25</sup>
	5.000	318.64	07/20/20	303.61	...	15.03	5 of 8 - Sale <sup>25</sup>
	6.000	382.37	07/20/20	367.26	...	15.11	6 of 8 - Sale <sup>25</sup>
	5.000	319.36	07/20/20	303.61	...	15.75	7 of 8 - Sale <sup>25</sup>
	10.000	643.99	07/20/20	619.05	...	24.94	8 of 8 - Sale <sup>25</sup>
07/20/20	35.000	2,237.92	Various	2,147.31	...	90.61	Total of 8 transactions
2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	5.000	316.10	07/20/20	314.49	...	1.61	1 of 2 - Sale <sup>25</sup>
	10.000	632.18	07/20/20	619.05	...	13.13	2 of 2 - Sale <sup>25</sup>
07/21/20	15.000	948.28	Various	933.54	...	14.74	Total of 2 transactions

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol: (cont'd)							
3 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	5.000	317.35	07/21/20	317.65	0.30 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	317.56	07/21/20	317.65	0.09 W	0.00	2 of 3 - Sale <sup>25</sup>
	15.000	944.82	07/21/20	952.95	8.13 W	0.00	3 of 3 - Sale <sup>25</sup>
07/21/20	25.000	1,579.73	Various	1,588.25	8.52 W	0.00	Total of 3 transactions
2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	5.000	317.76	07/22/20	317.09	...	0.67	1 of 2 - Sale <sup>25</sup>
	5.000	317.75	07/22/20	316.88	...	0.87	2 of 2 - Sale <sup>25</sup>
07/22/20	10.000	635.51	Various	633.97	...	1.54	Total of 2 transactions
07/22/20	15.000	953.27	07/22/20	958.50	5.23 W	0.00	Sale <sup>25</sup>
2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	10.000	683.57	07/31/20	683.00	...	0.57	1 of 2 - Sale <sup>25</sup>
	25.000	1,708.94	07/31/20	1,707.13	...	1.81	2 of 2 - Sale <sup>25</sup>
07/31/20	35.000	2,392.51	Various	2,390.13	...	2.38	Total of 2 transactions
2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	1.000	68.36	07/31/20	68.65	0.29 W	0.00	1 of 2 - Sale <sup>25</sup>
	14.000	957.01	07/31/20	961.08	4.07 W	0.00	2 of 2 - Sale <sup>25</sup>
07/31/20	15.000	1,025.37	Various	1,029.73	4.36 W	0.00	Total of 2 transactions
4 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	1.000	68.51	08/19/20	67.68	...	0.83	1 of 4 - Sale <sup>25</sup>
	14.000	959.11	08/19/20	947.53	...	11.58	2 of 4 - Sale <sup>25</sup>
	35.000	2,397.79	08/19/20	2,358.65	...	39.14	3 of 4 - Sale <sup>25</sup>
	50.000	3,427.93	08/19/20	3,369.50	...	58.43	4 of 4 - Sale <sup>25</sup>
08/20/20	100.000	6,853.34	Various	6,743.36	...	109.98	Total of 4 transactions
09/11/20	1.000	93.23	09/10/20	97.28	4.05 W	0.00	Sale <sup>25</sup>
09/29/20	0.128	13.02	09/29/20	13.52	0.50 W	0.00	Sale <sup>25</sup>
3 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	0.128	12.76	09/29/20	13.37	0.61 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.872	86.93	09/29/20	91.22	4.29 W	0.00	2 of 3 - Sale <sup>25</sup>
	11.000	1,096.55	09/29/20	1,106.16	9.61 W	0.00	3 of 3 - Sale <sup>25</sup>
09/30/20	12.000	1,196.24	Various	1,210.75	14.51 W	0.00	Total of 3 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol: (cont'd)							
<i>10 transactions for 10/02/20. Total proceeds and cost reported to the IRS.</i>							
	0.128	13.81	10/02/20	14.62	0.81 W	0.00	1 of 10 - Sale <sup>25</sup>
	0.872	94.13	10/02/20	99.73	5.60 W	0.00	2 of 10 - Sale <sup>25</sup>
	4.000	431.77	10/02/20	441.30	9.53 W	0.00	3 of 10 - Sale <sup>25</sup>
	1.000	106.59	10/02/20	110.32	3.73 W	0.00	4 of 10 - Sale <sup>25</sup>
	0.128	13.64	10/02/20	14.82	1.18 W	0.00	5 of 10 - Sale <sup>25</sup>
	0.872	92.95	10/02/20	101.04	8.09 W	0.00	6 of 10 - Sale <sup>25</sup>
	4.000	426.37	10/02/20	447.33	20.96 W	0.00	7 of 10 - Sale <sup>25</sup>
	6.000	639.56	10/02/20	661.94	22.38 W	0.00	8 of 10 - Sale <sup>25</sup>
	37.000	3,943.93	10/02/20	4,049.65	105.72 W	0.00	9 of 10 - Sale <sup>25</sup>
	1.000	106.74	10/02/20	113.18	6.44 W	0.00	10 of 10 - Sale <sup>25</sup>
10/02/20	55.000	5,869.49	Various	6,053.93	184.44 W	0.00	Total of 10 transactions
<i>7 transactions for 10/05/20. Total proceeds and cost reported to the IRS.</i>							
	51.000	5,645.57	10/05/20	5,645.70	0.11 W	-0.02	1 of 7 - Sale <sup>25</sup>
	0.128	14.17	10/05/20	15.35	1.18 W	0.00	2 of 7 - Sale <sup>25</sup>
	0.872	96.53	10/05/20	104.62	8.09 W	0.00	3 of 7 - Sale <sup>25</sup>
	1.000	110.70	10/05/20	117.14	6.44 W	0.00	4 of 7 - Sale <sup>25</sup>
	4.000	442.79	10/05/20	463.76	20.97 W	0.00	5 of 7 - Sale <sup>25</sup>
	6.000	664.18	10/05/20	686.58	22.40 W	0.00	6 of 7 - Sale <sup>25</sup>
	37.000	4,095.80	10/05/20	4,201.62	105.82 W	0.00	7 of 7 - Sale <sup>25</sup>
10/05/20	100.000	11,069.74	Various	11,234.77	165.01 W	-0.02	Total of 7 transactions
<i>3 transactions for 10/14/20. Total proceeds and cost reported to the IRS.</i>							
	0.872	115.17	10/14/20	122.89	...	-7.72	1 of 3 - Sale <sup>25</sup>
	1.000	132.08	10/14/20	135.38	...	-3.30	2 of 3 - Sale <sup>25</sup>
	0.128	16.90	10/14/20	18.03	...	-1.13	3 of 3 - Sale <sup>25</sup>
10/14/20	2.000	264.15	Various	276.30	...	-12.15	Total of 3 transactions
<i>4 transactions for 10/26/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	475.14	10/26/20	495.77	...	-20.63	1 of 4 - Sale <sup>25</sup>
	5.000	593.92	10/26/20	612.17	...	-18.25	2 of 4 - Sale <sup>25</sup>
	5.000	593.92	10/26/20	607.80	...	-13.88	3 of 4 - Sale <sup>25</sup>
	1.000	118.78	10/26/20	125.14	...	-6.36	4 of 4 - Sale <sup>25</sup>
10/26/20	15.000	1,781.76	Various	1,840.88	...	-59.12	Total of 4 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol: (cont'd)							
<i>2 transactions for 10/28/20. Total proceeds and cost reported to the IRS.</i>							
	32.000	3,866.38	10/26/20	3,886.72	...	-20.34	1 of 2 - Sale <sup>25</sup>
	18.000	2,174.84	10/26/20	2,134.85	...	39.99	2 of 2 - Sale <sup>25</sup>
10/28/20	50.000	6,041.22	Various	6,021.57	...	19.65	Total of 2 transactions
10/29/20	25.000	2,959.87	10/26/20	2,965.06	...	-5.19	Sale <sup>25</sup>
	<b>Security total:</b>	<b>51,491.20</b>		<b>51,765.99</b>	<b>439.96 W</b>	<b>165.17</b>	
PEMBINA PIPELINE CORPORATION / CUSIP: 706327103 / Symbol:							
06/17/20	25.000	645.98	06/15/20	618.21	...	27.77	Sale <sup>25</sup>
PENN NATIONAL GAMING, INC. COM MON STOCK / CUSIP: 707569109 / Symbol:							
03/25/20	35.000	497.00	03/24/20	488.05	...	8.95	Sale <sup>25</sup>
04/09/20	25.000	343.50	04/08/20	323.00	...	20.50	Sale <sup>25</sup>
04/28/20	20.000	327.20	04/27/20	326.00	...	1.20	Sale <sup>25</sup>
05/08/20	45.000	804.13	05/07/20	833.40	29.27 W	0.00	Sale <sup>25</sup>
05/12/20	20.000	374.23	05/11/20	400.01	25.78 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	84.16	05/15/20	80.04	...	4.12	1 of 2 - Sale <sup>25</sup>
	6.000	126.24	05/15/20	121.62	...	4.62	2 of 2 - Sale <sup>25</sup>
05/18/20	10.000	210.40	Various	201.66	...	8.74	Total of 2 transactions
05/20/20	10.000	249.85	05/19/20	243.61	...	6.24	Sale <sup>25</sup>
<i>3 transactions for 05/21/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	81.00	05/20/20	85.12	4.12 W	0.00	1 of 3 - Sale <sup>25</sup>
	2.000	54.00	05/20/20	56.74	2.74 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	135.00	05/20/20	138.66	3.66 W	0.00	3 of 3 - Sale <sup>25</sup>
05/21/20	10.000	270.00	Various	280.52	10.52 W	0.00	Total of 3 transactions
05/29/20	5.000	160.19	05/27/20	159.21	...	0.98	Sale <sup>25</sup>
<i>5 transactions for 05/29/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	64.07	05/27/20	64.96	0.89 W	0.00	1 of 5 - Sale <sup>25</sup>
	3.000	96.11	05/27/20	97.45	1.34 W	0.00	2 of 5 - Sale <sup>25</sup>
	15.000	480.56	05/27/20	485.98	5.42 W	0.00	3 of 5 - Sale <sup>25</sup>
	5.000	160.19	05/27/20	162.01	1.82 W	0.00	4 of 5 - Sale <sup>25</sup>
	20.000	640.75	05/28/20	686.99	46.24 W	0.00	5 of 5 - Sale <sup>25</sup>
05/29/20	45.000	1,441.68	Various	1,497.39	55.71 W	0.00	Total of 5 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PENN NATIONAL GAMING, INC. COM MON STOCK / CUSIP: 707569109 / Symbol: (cont'd)							
<i>4 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	75.96	06/04/20	72.33	...	3.63	1 of 4 - Sale <sup>25</sup>
	3.000	113.94	06/04/20	108.50	...	5.44	2 of 4 - Sale <sup>25</sup>
	5.000	189.89	06/04/20	180.42	...	9.47	3 of 4 - Sale <sup>25</sup>
	15.000	569.68	06/04/20	541.22	...	28.46	4 of 4 - Sale <sup>25</sup>
06/05/20	25.000	949.47	Various	902.47	...	47.00	Total of 4 transactions
06/05/20	5.000	189.90	06/04/20	190.74	0.84 W	0.00	Sale <sup>25</sup>
<i>3 transactions for 06/23/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	181.05	06/23/20	184.99	3.94 W	0.00	1 of 3 - Sale <sup>25</sup>
	15.000	543.14	06/23/20	587.13	43.99 W	0.00	2 of 3 - Sale <sup>25</sup>
	30.000	1,086.26	06/23/20	1,104.90	18.64 W	0.00	3 of 3 - Sale <sup>25</sup>
06/23/20	50.000	1,810.45	Various	1,877.02	66.57 W	0.00	Total of 3 transactions
<i>2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	173.92	06/23/20	187.19	13.27 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	347.83	06/23/20	395.82	47.99 W	0.00	2 of 2 - Sale <sup>25</sup>
06/24/20	15.000	521.75	Various	583.01	61.26 W	0.00	Total of 2 transactions
<i>4 transactions for 06/30/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	151.15	06/30/20	167.42	16.27 W	0.00	1 of 4 - Sale <sup>25</sup>
	10.000	302.29	06/30/20	356.29	54.00 W	0.00	2 of 4 - Sale <sup>25</sup>
	5.000	151.15	06/30/20	168.81	17.66 W	0.00	3 of 4 - Sale <sup>25</sup>
	30.000	906.87	06/30/20	943.54	36.67 W	0.00	4 of 4 - Sale <sup>25</sup>
06/30/20	50.000	1,511.46	Various	1,636.06	124.60 W	0.00	Total of 4 transactions
07/09/20	25.000	752.98	07/09/20	730.97	...	22.01	Sale <sup>25</sup>
<i>16 transactions for 07/09/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	150.60	07/09/20	162.47	11.87 W	0.00	1 of 16 - Sale <sup>25</sup>
	10.000	301.19	07/09/20	346.39	45.20 W	0.00	2 of 16 - Sale <sup>25</sup>
	5.000	150.60	07/09/20	163.86	13.26 W	0.00	3 of 16 - Sale <sup>25</sup>
	30.000	903.58	07/09/20	913.84	10.26 W	0.00	4 of 16 - Sale <sup>25</sup>
	25.000	752.98	07/09/20	758.25	5.27 W	0.00	5 of 16 - Sale <sup>25</sup>
	250.000	7,529.82	07/09/20	7,584.53	54.71 W	0.00	6 of 16 - Sale <sup>25</sup>
	50.000	1,505.97	07/09/20	1,518.41	12.44 W	0.00	7 of 16 - Sale <sup>25</sup>
	5.000	150.35	07/09/20	163.71	13.36 W	0.00	8 of 16 - Sale <sup>25</sup>
	10.000	300.69	07/09/20	348.88	48.19 W	0.00	9 of 16 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PENN NATIONAL GAMING, INC. COM MON STOCK / CUSIP: 707569109 / Symbol: (cont'd)							
	5.000	150.35	07/09/20	165.10	14.75 W	0.00	10 of 16 - Sale <sup>25</sup>
	25.000	751.73	07/09/20	764.48	12.75 W	0.00	11 of 16 - Sale <sup>25</sup>
	25.000	751.73	07/09/20	764.67	12.94 W	0.00	12 of 16 - Sale <sup>25</sup>
	30.000	902.08	07/09/20	921.30	19.22 W	0.00	13 of 16 - Sale <sup>25</sup>
	25.000	751.99	07/09/20	761.44	9.45 W	0.00	14 of 16 - Sale <sup>25</sup>
	25.000	746.98	07/09/20	761.44	14.46 W	0.00	15 of 16 - Sale <sup>25</sup>
	25.000	746.98	07/09/20	756.44	9.46 W	0.00	16 of 16 - Sale <sup>25</sup>
07/09/20	550.000	16,547.62	Various	16,855.21	307.59 W	0.00	Total of 16 transactions
	8 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	1.000	33.75	07/14/20	30.38	...	3.37	1 of 8 - Sale <sup>25</sup>
	1.000	33.75	07/14/20	30.38	...	3.37	2 of 8 - Sale <sup>25</sup>
	2.000	67.50	07/14/20	60.76	...	6.74	3 of 8 - Sale <sup>25</sup>
	3.000	101.25	07/14/20	91.14	...	10.11	4 of 8 - Sale <sup>25</sup>
	8.000	269.98	07/14/20	243.35	...	26.63	5 of 8 - Sale <sup>25</sup>
	35.000	1,181.22	07/14/20	1,064.66	...	116.56	6 of 8 - Sale <sup>25</sup>
	25.000	843.73	07/15/20	837.97	...	5.76	7 of 8 - Sale <sup>25</sup>
	25.000	843.72	07/15/20	837.68	...	6.04	8 of 8 - Sale <sup>25</sup>
07/15/20	100.000	3,374.90	Various	3,196.32	...	178.58	Total of 8 transactions
07/15/20	15.000	506.24	07/15/20	515.49	9.25 W	0.00	Sale <sup>25</sup>
	6 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	35.000	1,216.22	07/16/20	1,235.24	19.02 W	0.00	1 of 6 - Sale <sup>25</sup>
	40.000	1,389.96	07/16/20	1,412.90	22.94 W	0.00	2 of 6 - Sale <sup>25</sup>
	5.000	173.75	07/16/20	189.41	15.66 W	0.00	3 of 6 - Sale <sup>25</sup>
	5.000	173.74	07/16/20	200.15	26.41 W	0.00	4 of 6 - Sale <sup>25</sup>
	10.000	347.49	07/16/20	354.59	7.10 W	0.00	5 of 6 - Sale <sup>25</sup>
	5.000	173.75	07/16/20	200.33	26.58 W	0.00	6 of 6 - Sale <sup>25</sup>
07/16/20	100.000	3,474.91	Various	3,592.62	117.71 W	0.00	Total of 6 transactions
	6 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	5.000	172.55	07/16/20	188.35	15.80 W	0.00	1 of 6 - Sale <sup>25</sup>
	15.000	517.66	07/16/20	528.45	10.79 W	0.00	2 of 6 - Sale <sup>25</sup>
	30.000	1,035.32	07/16/20	1,060.82	25.50 W	0.00	3 of 6 - Sale <sup>25</sup>
	10.000	345.10	07/16/20	352.50	7.40 W	0.00	4 of 6 - Sale <sup>25</sup>
	15.000	517.66	07/16/20	526.77	9.11 W	0.00	5 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

Table with columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), 7- Gain or loss(-) & Loss not allowed (X) also not reported (Z), Additional information. Rows include PENN NATIONAL GAMING, INC. COM MON STOCK / CUSIP: 707569109 / Symbol: (cont'd) with various transaction details.

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PENN NATIONAL GAMING, INC. COM MON STOCK / CUSIP: 707569109 / Symbol: (cont'd)							
	5.000	180.85	07/21/20	198.12	17.27 W	0.00	32 of 60 - Sale <sup>25</sup>
	6.000	217.01	07/21/20	223.90	6.89 W	0.00	33 of 60 - Sale <sup>25</sup>
	10.000	361.69	07/21/20	370.59	8.90 W	0.00	34 of 60 - Sale <sup>25</sup>
	15.000	542.54	07/21/20	553.90	11.36 W	0.00	35 of 60 - Sale <sup>25</sup>
	15.000	542.53	07/21/20	558.13	15.60 W	0.00	36 of 60 - Sale <sup>25</sup>
	24.000	868.06	07/21/20	896.15	28.09 W	0.00	37 of 60 - Sale <sup>25</sup>
	25.000	904.22	07/21/20	926.67	22.45 W	0.00	38 of 60 - Sale <sup>25</sup>
	10.000	361.69	07/21/20	370.79	9.10 W	0.00	39 of 60 - Sale <sup>25</sup>
	10.000	361.69	07/21/20	368.67	6.98 W	0.00	40 of 60 - Sale <sup>25</sup>
	25.000	904.23	07/21/20	922.82	18.59 W	0.00	41 of 60 - Sale <sup>25</sup>
	40.000	1,446.76	07/21/20	1,477.71	30.95 W	0.00	42 of 60 - Sale <sup>25</sup>
	5.000	179.99	07/21/20	197.88	17.89 W	0.00	43 of 60 - Sale <sup>25</sup>
	5.000	180.00	07/21/20	208.63	28.63 W	0.00	44 of 60 - Sale <sup>25</sup>
	5.000	179.99	07/21/20	208.80	28.81 W	0.00	45 of 60 - Sale <sup>25</sup>
	5.000	180.00	07/21/20	198.02	18.02 W	0.00	46 of 60 - Sale <sup>25</sup>
	5.000	179.99	07/21/20	185.20	5.21 W	0.00	47 of 60 - Sale <sup>25</sup>
	3.000	108.00	07/21/20	111.09	3.09 W	0.00	48 of 60 - Sale <sup>25</sup>
	25.000	899.98	07/21/20	924.84	24.86 W	0.00	49 of 60 - Sale <sup>25</sup>
	25.000	899.97	07/21/20	919.86	19.89 W	0.00	50 of 60 - Sale <sup>25</sup>
	10.000	359.69	07/21/20	369.59	9.90 W	0.00	51 of 60 - Sale <sup>25</sup>
	12.000	431.63	07/21/20	444.38	12.75 W	0.00	52 of 60 - Sale <sup>25</sup>
	5.000	179.84	07/21/20	185.20	5.36 W	0.00	53 of 60 - Sale <sup>25</sup>
	6.000	215.82	07/21/20	223.79	7.97 W	0.00	54 of 60 - Sale <sup>25</sup>
	15.000	539.53	07/21/20	553.61	14.08 W	0.00	55 of 60 - Sale <sup>25</sup>
	6.000	215.81	07/21/20	223.92	8.11 W	0.00	56 of 60 - Sale <sup>25</sup>
	25.000	899.23	07/21/20	926.20	26.97 W	0.00	57 of 60 - Sale <sup>25</sup>
	18.000	647.44	07/21/20	671.77	24.33 W	0.00	58 of 60 - Sale <sup>25</sup>
	15.000	539.54	07/21/20	557.85	18.31 W	0.00	59 of 60 - Sale <sup>25</sup>
	10.000	359.69	07/21/20	368.94	9.25 W	0.00	60 of 60 - Sale <sup>25</sup>
07/21/20	825.000	29,689.71	Various	30,704.02	1,014.31 W	0.00	Total of 60 transactions
	2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	15.000	516.93	07/23/20	529.53	12.60 W	0.00	1 of 2 - Sale <sup>25</sup>
	35.000	1,206.16	07/23/20	1,236.64	30.48 W	0.00	2 of 2 - Sale <sup>25</sup>
07/23/20	50.000	1,723.09	Various	1,766.17	43.08 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PENN NATIONAL GAMING, INC. COM MON STOCK / CUSIP: 707569109 / Symbol: (cont'd)							
14 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	5.000	166.50	07/23/20	173.21	6.71 W	0.00	1 of 14 - Sale <sup>25</sup>
	5.000	166.50	07/23/20	173.88	7.38 W	0.00	2 of 14 - Sale <sup>25</sup>
	2.000	66.60	07/23/20	69.34	2.74 W	0.00	3 of 14 - Sale <sup>25</sup>
	5.000	172.06	07/24/20	190.23	18.17 W	0.00	4 of 14 - Sale <sup>25</sup>
	5.000	172.05	07/24/20	200.97	28.92 W	0.00	5 of 14 - Sale <sup>25</sup>
	5.000	172.05	07/24/20	201.15	29.10 W	0.00	6 of 14 - Sale <sup>25</sup>
	5.000	172.06	07/24/20	190.36	18.30 W	0.00	7 of 14 - Sale <sup>25</sup>
	5.000	172.05	07/24/20	177.55	5.50 W	0.00	8 of 14 - Sale <sup>25</sup>
	3.000	103.23	07/24/20	106.13	2.90 W	0.00	9 of 14 - Sale <sup>25</sup>
	3.000	103.23	07/24/20	106.49	3.26 W	0.00	10 of 14 - Sale <sup>25</sup>
	9.000	309.70	07/24/20	319.78	10.08 W	0.00	11 of 14 - Sale <sup>25</sup>
	10.000	344.11	07/24/20	351.66	7.55 W	0.00	12 of 14 - Sale <sup>25</sup>
	25.000	860.28	07/24/20	886.57	26.29 W	0.00	13 of 14 - Sale <sup>25</sup>
	25.000	860.28	07/24/20	881.59	21.31 W	0.00	14 of 14 - Sale <sup>25</sup>
07/24/20	112.000	3,840.70	Various	4,028.91	188.21 W	0.00	Total of 14 transactions
9 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	5.000	168.25	07/31/20	174.26	6.01 W	0.00	1 of 9 - Sale <sup>25</sup>
	6.000	201.89	07/31/20	210.65	8.76 W	0.00	2 of 9 - Sale <sup>25</sup>
	6.000	201.89	07/31/20	210.78	8.89 W	0.00	3 of 9 - Sale <sup>25</sup>
	12.000	403.79	07/31/20	420.00	16.21 W	0.00	4 of 9 - Sale <sup>25</sup>
	15.000	504.74	07/31/20	520.77	16.03 W	0.00	5 of 9 - Sale <sup>25</sup>
	18.000	605.68	07/31/20	632.36	26.68 W	0.00	6 of 9 - Sale <sup>25</sup>
	25.000	841.23	07/31/20	871.45	30.22 W	0.00	7 of 9 - Sale <sup>25</sup>
	3.000	100.95	07/31/20	104.53	3.58 W	0.00	8 of 9 - Sale <sup>25</sup>
	10.000	336.49	07/31/20	347.69	11.20 W	0.00	9 of 9 - Sale <sup>25</sup>
07/31/20	100.000	3,364.91	Various	3,492.49	127.58 W	0.00	Total of 9 transactions
29 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	3.000	130.44	08/06/20	135.51	5.07 W	0.00	1 of 29 - Sale <sup>25</sup>
	10.000	434.79	08/06/20	448.75	13.96 W	0.00	2 of 29 - Sale <sup>25</sup>
	15.000	652.18	08/06/20	671.85	19.67 W	0.00	3 of 29 - Sale <sup>25</sup>
	22.000	956.54	08/06/20	986.06	29.52 W	0.00	4 of 29 - Sale <sup>25</sup>
	5.000	217.39	08/06/20	237.92	20.53 W	0.00	5 of 29 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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PENN NATIONAL GAMING, INC. COM MON STOCK / CUSIP: 707569109 / Symbol: (cont'd)							
	5.000	217.39	08/06/20	248.67	31.28 W	0.00	6 of 29 - Sale <sup>25</sup>
	5.000	217.39	08/06/20	248.85	31.46 W	0.00	7 of 29 - Sale <sup>25</sup>
	5.000	217.39	08/06/20	238.05	20.66 W	0.00	8 of 29 - Sale <sup>25</sup>
	2.000	86.96	08/06/20	90.64	3.68 W	0.00	9 of 29 - Sale <sup>25</sup>
	5.000	217.39	08/06/20	226.46	9.07 W	0.00	10 of 29 - Sale <sup>25</sup>
	5.000	217.39	08/06/20	227.13	9.74 W	0.00	11 of 29 - Sale <sup>25</sup>
	5.000	217.39	08/06/20	225.25	7.86 W	0.00	12 of 29 - Sale <sup>25</sup>
	5.000	217.40	08/06/20	225.76	8.36 W	0.00	13 of 29 - Sale <sup>25</sup>
	6.000	260.87	08/06/20	272.46	11.59 W	0.00	14 of 29 - Sale <sup>25</sup>
	6.000	260.87	08/06/20	272.59	11.72 W	0.00	15 of 29 - Sale <sup>25</sup>
	12.000	521.75	08/06/20	543.61	21.86 W	0.00	16 of 29 - Sale <sup>25</sup>
	15.000	652.19	08/06/20	675.28	23.09 W	0.00	17 of 29 - Sale <sup>25</sup>
	18.000	782.62	08/06/20	817.78	35.16 W	0.00	18 of 29 - Sale <sup>25</sup>
	25.000	1,086.97	08/06/20	1,128.97	42.00 W	0.00	19 of 29 - Sale <sup>25</sup>
	3.000	130.44	08/06/20	134.75	4.31 W	0.00	20 of 29 - Sale <sup>25</sup>
	3.000	130.44	08/06/20	135.11	4.67 W	0.00	21 of 29 - Sale <sup>25</sup>
	3.000	130.44	08/06/20	135.43	4.99 W	0.00	22 of 29 - Sale <sup>25</sup>
	9.000	391.31	08/06/20	405.63	14.32 W	0.00	23 of 29 - Sale <sup>25</sup>
	10.000	434.79	08/06/20	447.05	12.26 W	0.00	24 of 29 - Sale <sup>25</sup>
	10.000	434.79	08/06/20	450.70	15.91 W	0.00	25 of 29 - Sale <sup>25</sup>
	13.000	565.23	08/06/20	582.67	17.44 W	0.00	26 of 29 - Sale <sup>25</sup>
	25.000	1,086.98	08/06/20	1,125.04	38.06 W	0.00	27 of 29 - Sale <sup>25</sup>
	25.000	1,086.97	08/06/20	1,120.06	33.09 W	0.00	28 of 29 - Sale <sup>25</sup>
	75.000	3,260.92	08/06/20	3,296.25	35.33 W	0.00	29 of 29 - Sale <sup>25</sup>
08/06/20	350.000	15,217.62	Various	15,754.28	536.66 W	0.00	Total of 29 transactions
	4 transactions for 08/07/20. Total proceeds and cost reported to the IRS.						
	3.000	142.88	08/07/20	151.41	8.53 W	0.00	1 of 4 - Sale <sup>25</sup>
	10.000	476.26	08/07/20	501.76	25.50 W	0.00	2 of 4 - Sale <sup>25</sup>
	15.000	714.40	08/07/20	751.37	36.97 W	0.00	3 of 4 - Sale <sup>25</sup>
	22.000	1,047.78	08/07/20	1,102.68	54.90 W	0.00	4 of 4 - Sale <sup>25</sup>
08/07/20	50.000	2,381.32	Various	2,507.22	125.90 W	0.00	Total of 4 transactions
	4 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	5.000	226.49	08/10/20	248.07	21.58 W	0.00	1 of 4 - Sale <sup>25</sup>
	5.000	226.49	08/10/20	258.82	32.33 W	0.00	2 of 4 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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PENN NATIONAL GAMING, INC. COM MON STOCK / CUSIP: 707569109 / Symbol: (cont'd)							
	5.000	226.50	08/10/20	259.01	32.51 W	0.00	3 of 4 - Sale <sup>25</sup>
	5.000	226.49	08/10/20	248.20	21.71 W	0.00	4 of 4 - Sale <sup>25</sup>
08/10/20	20.000	905.97	Various	1,014.10	108.13 W	0.00	Total of 4 transactions
	14 transactions for 08/12/20. Total proceeds and cost reported to the IRS.						
	2.000	100.06	08/10/20	94.18	...	5.88	1 of 14 - Sale <sup>25</sup>
	2.000	99.91	08/10/20	93.84	...	6.07	2 of 14 - Sale <sup>25</sup>
	3.000	149.86	08/10/20	140.79	...	9.07	3 of 14 - Sale <sup>25</sup>
	3.000	150.09	08/10/20	140.77	...	9.32	4 of 14 - Sale <sup>25</sup>
	3.000	149.86	08/10/20	140.52	...	9.34	5 of 14 - Sale <sup>25</sup>
	5.000	250.14	08/10/20	235.99	...	14.15	6 of 14 - Sale <sup>25</sup>
	5.000	250.14	08/10/20	235.32	...	14.82	7 of 14 - Sale <sup>25</sup>
	5.000	250.14	08/10/20	234.11	...	16.03	8 of 14 - Sale <sup>25</sup>
	22.000	1,098.98	08/10/20	1,033.56	...	65.42	9 of 14 - Sale <sup>25</sup>
	1.000	49.89	08/11/20	49.45	...	0.44	10 of 14 - Sale <sup>25</sup>
	2.000	99.91	08/11/20	98.91	...	1.00	11 of 14 - Sale <sup>25</sup>
	4.000	199.56	08/11/20	197.81	...	1.75	12 of 14 - Sale <sup>25</sup>
	6.000	299.72	08/11/20	296.59	...	3.13	13 of 14 - Sale <sup>25</sup>
	12.000	599.45	08/11/20	588.47	...	10.98	14 of 14 - Sale <sup>25</sup>
08/12/20	75.000	3,747.71	Various	3,580.31	...	167.40	Total of 14 transactions
	2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.						
	3.000	149.67	08/12/20	155.54	5.87 W	0.00	1 of 2 - Sale <sup>25</sup>
	17.000	848.14	08/12/20	883.65	35.51 W	0.00	2 of 2 - Sale <sup>25</sup>
08/12/20	20.000	997.81	Various	1,039.19	41.38 W	0.00	Total of 2 transactions
	4 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	4.000	211.99	08/12/20	207.56	...	4.43	1 of 4 - Sale <sup>25</sup>
	3.000	159.00	08/12/20	154.52	...	4.48	2 of 4 - Sale <sup>25</sup>
	5.000	264.99	08/12/20	259.24	...	5.75	3 of 4 - Sale <sup>25</sup>
	13.000	688.98	08/12/20	668.33	...	20.65	4 of 4 - Sale <sup>25</sup>
08/13/20	25.000	1,324.96	Various	1,289.65	...	35.31	Total of 4 transactions
	9 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	10.000	529.99	08/13/20	540.56	10.57 W	0.00	1 of 9 - Sale <sup>25</sup>
	15.000	794.98	08/13/20	815.29	20.31 W	0.00	2 of 9 - Sale <sup>25</sup>
	3.000	159.00	08/13/20	166.82	7.82 W	0.00	3 of 9 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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PENN NATIONAL GAMING, INC. COM MON STOCK / CUSIP: 707569109 / Symbol: (cont'd)							
	3.000	159.00	08/13/20	167.14	8.14 W	0.00	4 of 9 - Sale <sup>25</sup>
	9.000	476.98	08/13/20	500.77	23.79 W	0.00	5 of 9 - Sale <sup>25</sup>
	10.000	529.99	08/13/20	555.72	25.73 W	0.00	6 of 9 - Sale <sup>25</sup>
	25.000	1,324.97	08/13/20	1,384.34	59.37 W	0.00	7 of 9 - Sale <sup>25</sup>
	10.000	534.89	08/13/20	559.51	24.62 W	0.00	8 of 9 - Sale <sup>25</sup>
	15.000	802.33	08/13/20	822.47	20.14 W	0.00	9 of 9 - Sale <sup>25</sup>
08/13/20	100.000	5,312.13	Various	5,512.62	200.49 W	0.00	Total of 9 transactions
08/18/20	1.000	53.92	08/18/20	55.59	1.67 W	0.00	Sale <sup>25</sup>
	22 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	5.000	272.89	08/19/20	294.08	21.19 W	0.00	1 of 22 - Sale <sup>25</sup>
	5.000	272.89	08/19/20	304.83	31.94 W	0.00	2 of 22 - Sale <sup>25</sup>
	5.000	272.89	08/19/20	305.01	32.12 W	0.00	3 of 22 - Sale <sup>25</sup>
	5.000	272.90	08/19/20	294.21	21.31 W	0.00	4 of 22 - Sale <sup>25</sup>
	3.000	163.74	08/19/20	172.03	8.29 W	0.00	5 of 22 - Sale <sup>25</sup>
	3.000	163.74	08/19/20	169.37	5.63 W	0.00	6 of 22 - Sale <sup>25</sup>
	17.000	927.84	08/19/20	962.01	34.17 W	0.00	7 of 22 - Sale <sup>25</sup>
	7.000	382.05	08/19/20	384.80	2.75 W	0.00	8 of 22 - Sale <sup>25</sup>
	1.000	54.47	08/19/20	56.17	1.70 W	0.00	9 of 22 - Sale <sup>25</sup>
	3.000	163.41	08/19/20	171.32	7.91 W	0.00	10 of 22 - Sale <sup>25</sup>
	3.000	163.41	08/19/20	171.64	8.23 W	0.00	11 of 22 - Sale <sup>25</sup>
	9.000	490.23	08/19/20	514.29	24.06 W	0.00	12 of 22 - Sale <sup>25</sup>
	10.000	544.70	08/19/20	570.50	25.80 W	0.00	13 of 22 - Sale <sup>25</sup>
	10.000	544.70	08/19/20	555.57	10.87 W	0.00	14 of 22 - Sale <sup>25</sup>
	10.000	544.70	08/19/20	570.73	26.03 W	0.00	15 of 22 - Sale <sup>25</sup>
	10.000	544.69	08/19/20	569.62	24.93 W	0.00	16 of 22 - Sale <sup>25</sup>
	15.000	817.04	08/19/20	854.47	37.43 W	0.00	17 of 22 - Sale <sup>25</sup>
	15.000	817.05	08/19/20	837.81	20.76 W	0.00	18 of 22 - Sale <sup>25</sup>
	15.000	817.04	08/19/20	837.64	20.60 W	0.00	19 of 22 - Sale <sup>25</sup>
	22.000	1,198.33	08/19/20	1,253.90	55.57 W	0.00	20 of 22 - Sale <sup>25</sup>
	25.000	1,361.74	08/19/20	1,421.87	60.13 W	0.00	21 of 22 - Sale <sup>25</sup>
	52.000	2,832.42	08/19/20	2,858.49	26.07 W	0.00	22 of 22 - Sale <sup>25</sup>
08/19/20	250.000	13,622.87	Various	14,130.36	507.49 W	0.00	Total of 22 transactions

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(continued)

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PENN NATIONAL GAMING, INC. COM MON STOCK / CUSIP: 707569109 / Symbol: (cont'd)							
23 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	25.000	1,402.00	08/19/20	1,427.63	...	-25.63	1 of 23 - Sale <sup>25</sup>
	10.000	560.80	08/19/20	573.03	...	-12.23	2 of 23 - Sale <sup>25</sup>
	9.000	504.72	08/19/20	516.36	...	-11.64	3 of 23 - Sale <sup>25</sup>
	10.000	560.80	08/19/20	571.93	...	-11.13	4 of 23 - Sale <sup>25</sup>
	3.000	168.24	08/19/20	172.33	...	-4.09	5 of 23 - Sale <sup>25</sup>
	3.000	168.24	08/19/20	172.01	...	-3.77	6 of 23 - Sale <sup>25</sup>
	1.000	56.08	08/19/20	56.40	...	-0.32	7 of 23 - Sale <sup>25</sup>
	15.000	841.20	08/19/20	841.10	...	0.10	8 of 23 - Sale <sup>25</sup>
	10.000	560.80	08/19/20	557.87	...	2.93	9 of 23 - Sale <sup>25</sup>
	7.000	392.56	08/19/20	385.65	...	6.91	10 of 23 - Sale <sup>25</sup>
	52.000	2,916.16	08/19/20	2,870.47	...	45.69	11 of 23 - Sale <sup>25</sup>
	170.000	9,533.61	08/20/20	9,586.28	...	-52.67	12 of 23 - Sale <sup>25</sup>
	22.000	1,233.86	08/20/20	1,266.73	...	-32.87	13 of 23 - Sale <sup>25</sup>
	5.000	280.42	08/20/20	307.38	...	-26.96	14 of 23 - Sale <sup>25</sup>
	5.000	280.42	08/20/20	307.20	...	-26.78	15 of 23 - Sale <sup>25</sup>
	15.000	841.27	08/20/20	863.22	...	-21.95	16 of 23 - Sale <sup>25</sup>
	17.000	953.44	08/20/20	970.07	...	-16.63	17 of 23 - Sale <sup>25</sup>
	5.000	280.42	08/20/20	296.57	...	-16.15	18 of 23 - Sale <sup>25</sup>
	5.000	280.42	08/20/20	296.24	...	-15.82	19 of 23 - Sale <sup>25</sup>
	10.000	560.85	08/20/20	576.33	...	-15.48	20 of 23 - Sale <sup>25</sup>
	3.000	168.25	08/20/20	173.45	...	-5.20	21 of 23 - Sale <sup>25</sup>
	10.000	560.84	08/20/20	564.37	...	-3.53	22 of 23 - Sale <sup>25</sup>
	3.000	168.25	08/20/20	170.79	...	-2.54	23 of 23 - Sale <sup>25</sup>
08/20/20	415.000	23,273.65	Various	23,523.41	...	-249.76	Total of 23 transactions
11 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	15.000	841.20	08/19/20	841.26	0.04 W	-0.02	1 of 11 - Sale <sup>25</sup>
	5.000	280.40	08/19/20	294.69	14.29 W	0.00	2 of 11 - Sale <sup>25</sup>
	5.000	280.40	08/19/20	305.44	25.04 W	0.00	3 of 11 - Sale <sup>25</sup>
	5.000	280.40	08/19/20	305.62	25.22 W	0.00	4 of 11 - Sale <sup>25</sup>
	5.000	280.40	08/19/20	294.81	14.41 W	0.00	5 of 11 - Sale <sup>25</sup>
	3.000	168.24	08/19/20	172.39	4.15 W	0.00	6 of 11 - Sale <sup>25</sup>
	3.000	168.24	08/19/20	169.73	1.49 W	0.00	7 of 11 - Sale <sup>25</sup>
	17.000	953.36	08/19/20	964.07	10.71 W	0.00	8 of 11 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PENN NATIONAL GAMING, INC. COM MON STOCK / CUSIP: 707569109 / Symbol: (cont'd)							
	10.000	560.80	08/19/20	572.80	12.00 W	0.00	9 of 11 - Sale <sup>25</sup>
	15.000	841.20	08/19/20	857.93	16.73 W	0.00	10 of 11 - Sale <sup>25</sup>
	22.000	1,233.76	08/19/20	1,258.97	25.21 W	0.00	11 of 11 - Sale <sup>25</sup>
08/20/20	105.000	5,888.40	Various	6,037.71	149.29 W	-0.02	Total of 11 transactions
09/30/20	0.072	5.09	09/29/20	5.00	...	0.09	Sale <sup>25</sup>
10/06/20	25.000	1,751.96	10/06/20	1,744.99	...	6.97	Sale <sup>25</sup>
	2 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	40.000	2,710.83	10/08/20	2,720.00	...	-9.17	1 of 2 - Sale <sup>25</sup>
	10.000	677.71	10/08/20	680.00	...	-2.29	2 of 2 - Sale <sup>25</sup>
10/08/20	50.000	3,388.54	Various	3,400.00	...	-11.46	Total of 2 transactions
10/08/20	100.000	6,777.07	10/08/20	6,797.94	18.78 W	-2.09	Sale <sup>25</sup>
	5 transactions for 10/28/20. Total proceeds and cost reported to the IRS.						
	25.000	1,416.63	10/27/20	1,459.72	...	-43.09	1 of 5 - Sale <sup>25</sup>
	25.000	1,416.62	10/27/20	1,458.97	...	-42.35	2 of 5 - Sale <sup>25</sup>
	25.000	1,416.63	10/27/20	1,457.71	...	-41.08	3 of 5 - Sale <sup>25</sup>
	11.000	623.31	10/27/20	640.85	...	-17.54	4 of 5 - Sale <sup>25</sup>
	3.684	208.77	10/27/20	214.77	...	-6.00	5 of 5 - Sale <sup>25</sup>
10/28/20	89.684	5,081.96	Various	5,232.02	...	-150.06	Total of 5 transactions
11/04/20	0.279	16.35	11/04/20	17.06	...	-0.71	Sale <sup>25</sup>
	<b>Security total:</b>	<b>166,134.65</b>		<b>170,004.13</b>	<b>3,959.35 W</b>	<b>89.87</b>	
THE PENNANT GROUP, INC. COMMON STOCK / CUSIP: 70805E109 / Symbol:							
08/12/20	1.000	33.87	08/12/20	34.03	...	-0.16	Sale <sup>25</sup>
PENNANTPARK INVESTMENT CORPORATION COMMON STOCK / CUSIP: 708062104 / Symbol:							
	3 transactions for 03/26/20. Total proceeds and cost reported to the IRS.						
	125.000	313.73	03/25/20	359.88	...	-46.15	1 of 3 - Sale <sup>25</sup>
	25.000	62.75	03/25/20	71.75	...	-9.00	2 of 3 - Sale <sup>25</sup>
	10.000	25.10	03/25/20	28.70	...	-3.60	3 of 3 - Sale <sup>25</sup>
03/26/20	160.000	401.58	Various	460.33	...	-58.75	Total of 3 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PENNEY J C CORP INC COMMON STO CK / CUSIP: 708160106 / Symbol:							
<i>2 transactions for 04/16/20. Total proceeds and cost reported to the IRS.</i>							
	350.000	84.00	04/15/20	83.44	...	0.56	1 of 2 - Sale <sup>25</sup>
	1,000.000	239.99	04/15/20	239.00	...	0.99	2 of 2 - Sale <sup>25</sup>
04/16/20	1,350.000	323.99	Various	322.44	...	1.55	Total of 2 transactions
PENNYMAC MORTGAGE INVESTMENT T RUST / CUSIP: 70931T103 / Symbol:							
03/26/20	40.000	559.98	03/25/20	412.80	...	147.18	Sale <sup>25</sup>
PERSHING SQUARE TONTINE HOLDIN GS, LTD. / CUSIP: 71531R109 / Symbol:							
10/08/20	250.000	5,657.36	10/08/20	5,675.71	...	-18.35	Sale <sup>25</sup>
<i>2 transactions for 10/08/20. Total proceeds and cost reported to the IRS.</i>							
	150.000	3,394.42	10/08/20	3,405.00	0.71 W	-9.87	1 of 2 - Sale <sup>25</sup>
	450.000	10,213.73	10/08/20	10,215.00	1.27 W	0.00	2 of 2 - Sale <sup>25</sup>
10/08/20	600.000	13,608.15	Various	13,620.00	1.98 W	-9.87	Total of 2 transactions
<i>4 transactions for 10/09/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	225.89	10/08/20	225.53	...	0.36	1 of 4 - Sale <sup>25</sup>
	100.000	2,258.94	10/09/20	2,261.78	...	-2.84	2 of 4 - Sale <sup>25</sup>
	90.000	2,033.04	10/09/20	2,035.60	...	-2.56	3 of 4 - Sale <sup>25</sup>
	10.000	225.80	10/09/20	226.86	...	-1.06	4 of 4 - Sale <sup>25</sup>
10/09/20	210.000	4,743.67	Various	4,749.77	...	-6.10	Total of 4 transactions
	<b>Security total:</b>	<b>24,009.18</b>		<b>24,045.48</b>	<b>1.98 W</b>	<b>-34.32</b>	
PFIZER INC. / CUSIP: 717081103 / Symbol:							
05/06/20	10.000	389.92	05/05/20	384.87	...	5.05	Sale <sup>25</sup>
<i>5 transactions for 06/03/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	179.16	06/02/20	179.60	...	-0.44	1 of 5 - Sale <sup>25</sup>
	20.000	717.99	06/02/20	718.40	...	-0.41	2 of 5 - Sale <sup>25</sup>
	50.000	1,794.97	06/02/20	1,795.00	...	-0.03	3 of 5 - Sale <sup>25</sup>
	20.000	717.99	06/02/20	717.36	...	0.63	4 of 5 - Sale <sup>25</sup>
	30.000	1,076.98	06/02/20	1,076.05	...	0.93	5 of 5 - Sale <sup>25</sup>
06/03/20	125.000	4,487.09	Various	4,486.41	...	0.68	Total of 5 transactions
07/01/20	7.000	236.89	07/01/20	240.09	3.20 W	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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PFIZER INC. / CUSIP: 717081103 / Symbol: (cont'd)							
<i>2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.</i>							
	7.000	249.28	07/13/20	246.79	...	2.49	1 of 2 - Sale <sup>25</sup>
	18.000	641.00	07/13/20	626.37	...	14.63	2 of 2 - Sale <sup>25</sup>
07/13/20	25.000	890.28	Various	873.16	...	17.12	Total of 2 transactions
<i>2 transactions for 07/28/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	38.91	07/28/20	38.82	...	0.09	1 of 2 - Sale <sup>25</sup>
	14.000	544.72	07/28/20	543.55	...	1.17	2 of 2 - Sale <sup>25</sup>
07/28/20	15.000	583.63	Various	582.37	...	1.26	Total of 2 transactions
<i>3 transactions for 07/29/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	965.29	07/28/20	979.63	...	-14.34	1 of 3 - Sale <sup>25</sup>
	35.000	1,351.40	07/28/20	1,358.88	...	-7.48	2 of 3 - Sale <sup>25</sup>
	10.000	386.11	07/29/20	387.35	...	-1.24	3 of 3 - Sale <sup>25</sup>
07/29/20	70.000	2,702.80	Various	2,725.86	...	-23.06	Total of 3 transactions
11/11/20	36.000	1,375.52	11/11/20	1,377.00	1.48 W	0.00	Sale <sup>25</sup>
<i>7 transactions for 12/02/20. Total proceeds and cost reported to the IRS.</i>							
	6.000	244.33	12/01/20	238.15	...	6.18	1 of 7 - Sale <sup>25</sup>
	14.000	570.09	12/01/20	555.40	...	14.69	2 of 7 - Sale <sup>25</sup>
	16.000	651.53	12/01/20	635.05	...	16.48	3 of 7 - Sale <sup>25</sup>
	214.000	8,714.27	12/01/20	8,485.10	...	229.17	4 of 7 - Sale <sup>25</sup>
	250.000	10,180.21	12/01/20	9,950.00	...	230.21	5 of 7 - Sale <sup>25</sup>
	250.000	10,180.22	12/01/20	9,945.00	...	235.22	6 of 7 - Sale <sup>25</sup>
	12.000	491.30	12/02/20	489.00	...	2.30	7 of 7 - Sale <sup>25</sup>
12/02/20	762.000	31,031.95	Various	30,297.70	...	734.25	Total of 7 transactions
<i>2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.</i>							
	88.000	3,581.95	12/02/20	3,586.00	4.05 W	0.00	1 of 2 - Sale <sup>25</sup>
	12.000	488.45	12/02/20	488.50	0.05 W	0.00	2 of 2 - Sale <sup>25</sup>
12/02/20	100.000	4,070.40	Various	4,074.50	4.10 W	0.00	Total of 2 transactions
<i>12 transactions for 12/03/20. Total proceeds and cost reported to the IRS.</i>							
	21.000	834.31	12/03/20	838.87	4.56 W	0.00	1 of 12 - Sale <sup>25</sup>
	12.000	476.75	12/03/20	478.85	2.10 W	0.00	2 of 12 - Sale <sup>25</sup>
	67.000	2,661.84	12/03/20	2,676.38	14.54 W	0.00	3 of 12 - Sale <sup>25</sup>
	150.000	5,959.34	12/03/20	5,985.00	25.66 W	0.00	4 of 12 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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PFIZER INC. / CUSIP: 717081103 / Symbol: (cont'd)							
	5.000	199.50	12/03/20	200.69	1.19 W	0.00	5 of 12 - Sale <sup>25</sup>
	12.000	478.79	12/03/20	481.14	2.35 W	0.00	6 of 12 - Sale <sup>25</sup>
	16.000	638.38	12/03/20	642.19	3.81 W	0.00	7 of 12 - Sale <sup>25</sup>
	50.000	1,994.95	12/03/20	2,004.55	9.60 W	0.00	8 of 12 - Sale <sup>25</sup>
	67.000	2,673.23	12/03/20	2,689.18	15.95 W	0.00	9 of 12 - Sale <sup>25</sup>
	18.000	718.18	12/03/20	721.64	3.46 W	0.00	10 of 12 - Sale <sup>25</sup>
	24.000	957.58	12/03/20	962.43	4.85 W	0.00	11 of 12 - Sale <sup>25</sup>
	58.000	2,314.14	12/03/20	2,327.01	12.87 W	0.00	12 of 12 - Sale <sup>25</sup>
12/03/20	500.000	19,906.99	Various	20,007.93	100.94 W	0.00	Total of 12 transactions
	4 transactions for 12/04/20. Total proceeds and cost reported to the IRS.						
	12.000	481.13	12/03/20	480.91	...	0.22	1 of 4 - Sale <sup>25</sup>
	24.000	962.25	12/03/20	961.97	...	0.28	2 of 4 - Sale <sup>25</sup>
	18.000	721.69	12/03/20	721.30	...	0.39	3 of 4 - Sale <sup>25</sup>
	50.000	2,004.70	12/03/20	2,003.60	...	1.10	4 of 4 - Sale <sup>25</sup>
12/04/20	104.000	4,169.77	Various	4,167.78	...	1.99	Total of 4 transactions
	4 transactions for 12/04/20. Total proceeds and cost reported to the IRS.						
	5.000	200.47	12/03/20	200.59	0.12 W	0.00	1 of 4 - Sale <sup>25</sup>
	16.000	641.50	12/03/20	641.89	0.39 W	0.00	2 of 4 - Sale <sup>25</sup>
	58.000	2,325.45	12/03/20	2,325.91	0.46 W	0.00	3 of 4 - Sale <sup>25</sup>
	67.000	2,686.30	12/03/20	2,687.91	1.61 W	0.00	4 of 4 - Sale <sup>25</sup>
12/04/20	146.000	5,853.72	Various	5,856.30	2.58 W	0.00	Total of 4 transactions
	8 transactions for 12/08/20. Total proceeds and cost reported to the IRS.						
	5.000	212.49	12/07/20	206.12	...	6.37	1 of 8 - Sale <sup>25</sup>
	16.000	679.98	12/07/20	659.59	...	20.39	2 of 8 - Sale <sup>25</sup>
	29.000	1,232.47	12/07/20	1,195.50	...	36.97	3 of 8 - Sale <sup>25</sup>
	2.000	85.00	12/08/20	84.62	...	0.38	4 of 8 - Sale <sup>25</sup>
	2.000	85.00	12/08/20	83.93	...	1.07	5 of 8 - Sale <sup>25</sup>
	8.000	339.99	12/08/20	338.59	...	1.40	6 of 8 - Sale <sup>25</sup>
	8.000	339.99	12/08/20	338.46	...	1.53	7 of 8 - Sale <sup>25</sup>
	28.000	1,189.97	12/08/20	1,182.27	...	7.70	8 of 8 - Sale <sup>25</sup>
12/08/20	98.000	4,164.89	Various	4,089.08	...	75.81	Total of 8 transactions

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2020 1099-B\* OMB No. 1545-0715

(continued)

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PFIZER INC. / CUSIP: 717081103 / Symbol: (cont'd)							
<i>3 transactions for 12/08/20. Total proceeds and cost reported to the IRS.</i>							
	48.000	2,039.95	12/08/20	2,049.98	10.03 W	0.00	1 of 3 - Sale <sup>25</sup>
	52.000	2,209.95	12/08/20	2,220.40	10.45 W	0.00	2 of 3 - Sale <sup>25</sup>
	100.000	4,249.89	12/08/20	4,270.00	20.11 W	0.00	3 of 3 - Sale <sup>25</sup>
12/08/20	200.000	8,499.79	Various	8,540.38	40.59 W	0.00	Total of 3 transactions
<i>8 transactions for 12/09/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	128.00	12/08/20	128.58	0.58 W	0.00	1 of 8 - Sale <sup>25</sup>
	45.000	1,897.83	12/08/20	1,928.65	30.82 W	0.00	2 of 8 - Sale <sup>25</sup>
	52.000	2,193.04	12/08/20	2,228.25	35.21 W	0.00	3 of 8 - Sale <sup>25</sup>
	50.000	2,108.70	12/08/20	2,120.06	11.36 W	0.00	4 of 8 - Sale <sup>25</sup>
	49.000	2,066.52	12/08/20	2,107.05	40.53 W	0.00	5 of 8 - Sale <sup>25</sup>
	2.000	84.35	12/09/20	85.86	1.51 W	0.00	6 of 8 - Sale <sup>25</sup>
	1.000	42.18	12/09/20	42.94	0.76 W	0.00	7 of 8 - Sale <sup>25</sup>
	1.000	42.17	12/09/20	42.96	0.79 W	0.00	8 of 8 - Sale <sup>25</sup>
12/09/20	203.000	8,562.79	Various	8,684.35	121.56 W	0.00	Total of 8 transactions
<i>3 transactions for 12/10/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	125.94	12/09/20	127.80	1.86 W	0.00	1 of 3 - Sale <sup>25</sup>
	10.000	419.82	12/09/20	428.45	8.63 W	0.00	2 of 3 - Sale <sup>25</sup>
	22.000	923.59	12/09/20	942.59	19.00 W	0.00	3 of 3 - Sale <sup>25</sup>
12/10/20	35.000	1,469.35	Various	1,498.84	29.49 W	0.00	Total of 3 transactions
<i>14 transactions for 12/11/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	412.34	12/11/20	418.63	6.29 W	0.00	1 of 14 - Sale <sup>25</sup>
	38.000	1,566.89	12/11/20	1,573.39	6.50 W	0.00	2 of 14 - Sale <sup>25</sup>
	52.000	2,144.17	12/11/20	2,176.47	32.30 W	0.00	3 of 14 - Sale <sup>25</sup>
	12.000	494.81	12/11/20	496.75	1.94 W	0.00	4 of 14 - Sale <sup>25</sup>
	38.000	1,566.89	12/11/20	1,595.81	28.92 W	0.00	5 of 14 - Sale <sup>25</sup>
	2.000	82.47	12/11/20	84.21	1.74 W	0.00	6 of 14 - Sale <sup>25</sup>
	3.000	123.70	12/11/20	125.76	2.06 W	0.00	7 of 14 - Sale <sup>25</sup>
	10.000	412.34	12/11/20	421.63	9.29 W	0.00	8 of 14 - Sale <sup>25</sup>
	22.000	907.14	12/11/20	927.60	20.46 W	0.00	9 of 14 - Sale <sup>25</sup>
	1.000	41.23	12/11/20	42.09	0.86 W	0.00	10 of 14 - Sale <sup>25</sup>
	2.000	82.47	12/11/20	84.11	1.64 W	0.00	11 of 14 - Sale <sup>25</sup>
	9.000	371.11	12/11/20	379.15	8.04 W	0.00	12 of 14 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PFIZER INC. / CUSIP: 717081103 / Symbol: (cont'd)							
	1.000	41.23	12/11/20	42.06		0.00	13 of 14 - Sale <sup>25</sup>
	2.000	82.47	12/11/20	82.60	0.83 W	0.00	14 of 14 - Sale <sup>25</sup>
12/11/20	202.000	8,329.26	Various	8,450.26	121.00 W	0.00	Total of 14 transactions
	16 transactions for 12/14/20. Total proceeds and cost reported to the IRS.						
	52.000	2,075.89	12/14/20	2,114.19	...	-38.30	1 of 16 - Sale <sup>25</sup>
	22.000	872.55	12/14/20	896.82	...	-24.27	2 of 16 - Sale <sup>25</sup>
	15.000	594.92	12/14/20	608.93	...	-14.01	3 of 16 - Sale <sup>25</sup>
	10.000	396.61	12/14/20	407.63	...	-11.02	4 of 16 - Sale <sup>25</sup>
	10.000	396.61	12/14/20	405.31	...	-8.70	5 of 16 - Sale <sup>25</sup>
	25.000	991.53	12/14/20	1,000.15	...	-8.62	6 of 16 - Sale <sup>25</sup>
	9.000	359.29	12/14/20	367.75	...	-8.46	7 of 16 - Sale <sup>25</sup>
	12.000	475.93	12/14/20	479.96	...	-4.03	8 of 16 - Sale <sup>25</sup>
	13.000	518.97	12/14/20	522.70	...	-3.73	9 of 16 - Sale <sup>25</sup>
	3.000	118.98	12/14/20	121.56	...	-2.58	10 of 16 - Sale <sup>25</sup>
	48.000	1,916.22	12/14/20	1,918.46	...	-2.24	11 of 16 - Sale <sup>25</sup>
	2.000	79.32	12/14/20	81.32	...	-2.00	12 of 16 - Sale <sup>25</sup>
	2.000	79.84	12/14/20	81.68	...	-1.84	13 of 16 - Sale <sup>25</sup>
	1.000	39.66	12/14/20	40.70	...	-1.04	14 of 16 - Sale <sup>25</sup>
	1.000	39.92	12/14/20	40.80	...	-0.88	15 of 16 - Sale <sup>25</sup>
	2.000	79.84	12/14/20	80.07	...	-0.23	16 of 16 - Sale <sup>25</sup>
12/14/20	227.000	9,036.08	Various	9,168.03	...	-131.95	Total of 16 transactions
	12 transactions for 12/14/20. Total proceeds and cost reported to the IRS.						
	38.000	1,517.00	12/14/20	1,547.70	15.15 W	-15.55	1 of 12 - Sale <sup>25</sup>
	10.000	397.32	12/14/20	404.29	6.97 W	0.00	2 of 12 - Sale <sup>25</sup>
	13.000	516.50	12/14/20	519.62	3.12 W	0.00	3 of 12 - Sale <sup>25</sup>
	52.000	2,066.04	12/14/20	2,101.90	35.86 W	0.00	4 of 12 - Sale <sup>25</sup>
	3.000	119.76	12/14/20	121.96	2.20 W	0.00	5 of 12 - Sale <sup>25</sup>
	10.000	399.21	12/14/20	408.97	9.76 W	0.00	6 of 12 - Sale <sup>25</sup>
	22.000	878.26	12/14/20	899.76	21.50 W	0.00	7 of 12 - Sale <sup>25</sup>
	1.000	39.92	12/14/20	40.83	0.91 W	0.00	8 of 12 - Sale <sup>25</sup>
	2.000	79.84	12/14/20	81.58	1.74 W	0.00	9 of 12 - Sale <sup>25</sup>
	10.000	399.21	12/14/20	406.65	7.44 W	0.00	10 of 12 - Sale <sup>25</sup>
	12.000	479.05	12/14/20	481.56	2.51 W	0.00	11 of 12 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PFIZER INC. / CUSIP: 717081103 / Symbol: (cont'd)							
	25.000	998.02	12/14/20	1,003.48	5.46 W	0.00	12 of 12 - Sale <sup>25</sup>
12/14/20	198.000	7,890.13	Various	8,018.30	112.62 W	-15.55	Total of 12 transactions
	3 transactions for 12/15/20. Total proceeds and cost reported to the IRS.						
	3.000	115.09	12/15/20	117.91	...	-2.82	1 of 3 - Sale <sup>25</sup>
	0.753	28.90	12/15/20	29.59	...	-0.69	2 of 3 - Sale <sup>25</sup>
	0.001	0.02	12/15/20	0.02	...	0.00	3 of 3 - Sale <sup>25</sup>
12/15/20	3.754	144.01	Various	147.52	...	-3.51	Total of 3 transactions
	<b>Security total:</b>	<b>123,795.26</b>		<b>123,670.73</b>	<b>537.56 W</b>	<b>662.09</b>	
PHOENIX TREE HOLDINGS LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTING TEN (10) CLASS / CUSIP: 719156101 / Symbol:							
	22 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	114.000	912.56	08/25/20	1,020.69	...	-108.13	1 of 22 - Sale <sup>25</sup>
	80.000	640.77	08/25/20	702.67	...	-61.90	2 of 22 - Sale <sup>25</sup>
	56.000	448.27	08/25/20	491.87	...	-43.60	3 of 22 - Sale <sup>25</sup>
	41.000	327.58	08/25/20	362.18	...	-34.60	4 of 22 - Sale <sup>25</sup>
	38.000	303.99	08/25/20	337.58	...	-33.59	5 of 22 - Sale <sup>25</sup>
	37.000	295.99	08/25/20	324.99	...	-29.00	6 of 22 - Sale <sup>25</sup>
	23.000	184.11	08/25/20	206.86	...	-22.75	7 of 22 - Sale <sup>25</sup>
	21.000	167.99	08/25/20	185.51	...	-17.52	8 of 22 - Sale <sup>25</sup>
	13.000	103.87	08/25/20	114.84	...	-10.97	9 of 22 - Sale <sup>25</sup>
	4.000	32.02	08/25/20	36.14	...	-4.12	10 of 22 - Sale <sup>25</sup>
	1.000	8.01	08/25/20	9.00	...	-0.99	11 of 22 - Sale <sup>25</sup>
	1.000	8.00	08/25/20	8.98	...	-0.98	12 of 22 - Sale <sup>25</sup>
	1.000	8.01	08/25/20	8.98	...	-0.97	13 of 22 - Sale <sup>25</sup>
	1.000	7.99	08/25/20	8.83	...	-0.84	14 of 22 - Sale <sup>25</sup>
	1.000	8.00	08/25/20	8.78	...	-0.78	15 of 22 - Sale <sup>25</sup>
	5.000	45.75	08/25/20	45.15	...	0.60	16 of 22 - Sale <sup>25</sup>
	7.000	64.05	08/25/20	63.21	...	0.84	17 of 22 - Sale <sup>25</sup>
	15.000	137.24	08/25/20	135.60	...	1.64	18 of 22 - Sale <sup>25</sup>
	29.000	265.34	08/25/20	263.61	...	1.73	19 of 22 - Sale <sup>25</sup>
	50.000	457.48	08/25/20	452.50	...	4.98	20 of 22 - Sale <sup>25</sup>
	56.000	512.38	08/25/20	506.80	...	5.58	21 of 22 - Sale <sup>25</sup>
	88.000	805.17	08/25/20	794.64	...	10.53	22 of 22 - Sale <sup>25</sup>
08/25/20	682.000	5,744.57	Various	6,089.41	...	-344.84	Total of 22 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PHOENIX TREE HOLDINGS LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTING TEN (10) CLASS / CUSIP: 719156101 / Symbol: (cont'd)							
<i>11 transactions for 08/25/20. Total proceeds and cost reported to the IRS.</i>							
	45.000	359.55	08/25/20	397.53	22.79 W	-15.19	1 of 11 - Sale <sup>25</sup>
	100.000	914.97	08/25/20	920.00	5.03 W	0.00	2 of 11 - Sale <sup>25</sup>
	62.000	567.28	08/25/20	570.40	3.12 W	0.00	3 of 11 - Sale <sup>25</sup>
	38.000	347.70	08/25/20	351.51	3.81 W	0.00	4 of 11 - Sale <sup>25</sup>
	38.000	305.77	08/25/20	340.11	34.34 W	0.00	5 of 11 - Sale <sup>25</sup>
	62.000	498.89	08/25/20	551.82	52.93 W	0.00	6 of 11 - Sale <sup>25</sup>
	62.000	498.89	08/25/20	551.82	52.93 W	0.00	7 of 11 - Sale <sup>25</sup>
	288.000	2,317.42	08/25/20	2,548.80	231.38 W	0.00	8 of 11 - Sale <sup>25</sup>
	1.000	8.00	08/25/20	8.85	0.85 W	0.00	9 of 11 - Sale <sup>25</sup>
	1.000	8.00	08/25/20	8.83	0.83 W	0.00	10 of 11 - Sale <sup>25</sup>
	1.000	8.00	08/25/20	8.83	0.83 W	0.00	11 of 11 - Sale <sup>25</sup>
08/25/20	698.000	5,834.47	Various	6,258.50	408.84 W	-15.19	Total of 11 transactions
	<b>Security total:</b>	<b>11,579.04</b>		<b>12,347.91</b>	<b>408.84 W</b>	<b>-360.03</b>	
PIEDMONT LITHIUM LIMITED AMERI CAN DEPOSITARY RECEIPTS / CUSIP: 720162106 / Symbol:							
09/28/20	0.241	10.63	09/28/20	10.00	...	0.63	Sale <sup>25</sup>
<i>3 transactions for 09/28/20. Total proceeds and cost reported to the IRS.</i>							
	1.271	55.78	09/28/20	56.00	0.22 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.271	44.11	09/28/20	48.45	4.34 W	0.00	2 of 3 - Sale <sup>25</sup>
	14.729	511.23	09/28/20	558.97	47.74 W	0.00	3 of 3 - Sale <sup>25</sup>
09/28/20	17.271	611.12	Various	663.42	52.30 W	0.00	Total of 3 transactions
<i>2 transactions for 10/05/20. Total proceeds and cost reported to the IRS.</i>							
	1.271	43.98	10/05/20	48.68	4.70 W	0.00	1 of 2 - Sale <sup>25</sup>
	8.729	302.02	10/05/20	332.85	30.83 W	0.00	2 of 2 - Sale <sup>25</sup>
10/05/20	10.000	346.00	Various	381.53	35.53 W	0.00	Total of 2 transactions
<i>7 transactions for 10/06/20. Total proceeds and cost reported to the IRS.</i>							
	1.271	58.10	10/06/20	57.66	...	0.44	1 of 7 - Sale <sup>25</sup>
	2.729	124.75	10/06/20	123.37	...	1.38	2 of 7 - Sale <sup>25</sup>
	4.000	182.84	10/06/20	181.30	...	1.54	3 of 7 - Sale <sup>25</sup>
	2.000	91.42	10/06/20	89.74	...	1.68	4 of 7 - Sale <sup>25</sup>
	54.000	2,377.36	10/06/20	2,374.38	...	2.98	5 of 7 - Sale <sup>25</sup>
	6.000	274.26	10/06/20	269.49	...	4.77	6 of 7 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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PIEDMONT LITHIUM LIMITED AMERI CAN DEPOSITARY RECEIPTS / CUSIP: 720162106 / Symbol: (cont'd)							
	58.000	2,651.23	10/06/20	2,552.00	...	99.23	7 of 7 - Sale <sup>25</sup>
10/06/20	128.000	5,759.96	Various	5,647.94	...	112.02	Total of 7 transactions
	24 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	1.271	55.96	10/06/20	57.70	1.74 W	0.00	1 of 24 - Sale <sup>25</sup>
	2.729	120.14	10/06/20	123.43	3.29 W	0.00	2 of 24 - Sale <sup>25</sup>
	6.000	264.15	10/06/20	269.64	5.49 W	0.00	3 of 24 - Sale <sup>25</sup>
	6.000	264.15	10/06/20	285.01	20.86 W	0.00	4 of 24 - Sale <sup>25</sup>
	2.000	88.05	10/06/20	89.79	1.74 W	0.00	5 of 24 - Sale <sup>25</sup>
	4.000	176.10	10/06/20	181.40	5.30 W	0.00	6 of 24 - Sale <sup>25</sup>
	6.000	274.26	10/06/20	284.86	10.60 W	0.00	7 of 24 - Sale <sup>25</sup>
	60.000	2,742.65	10/06/20	2,865.00	122.35 W	0.00	8 of 24 - Sale <sup>25</sup>
	6.000	277.80	10/06/20	296.45	18.65 W	0.00	9 of 24 - Sale <sup>25</sup>
	44.000	2,037.23	10/06/20	2,185.98	148.75 W	0.00	10 of 24 - Sale <sup>25</sup>
	1.000	43.32	10/06/20	46.04	2.72 W	0.00	11 of 24 - Sale <sup>25</sup>
	3.000	129.96	10/06/20	141.39	11.43 W	0.00	12 of 24 - Sale <sup>25</sup>
	6.000	259.92	10/06/20	281.15	21.23 W	0.00	13 of 24 - Sale <sup>25</sup>
	15.000	649.78	10/06/20	686.84	37.06 W	0.00	14 of 24 - Sale <sup>25</sup>
	5.000	216.59	10/06/20	236.90	20.31 W	0.00	15 of 24 - Sale <sup>25</sup>
	2.000	81.78	10/06/20	92.76	10.98 W	0.00	16 of 24 - Sale <sup>25</sup>
	1.000	43.04	10/06/20	46.22	3.18 W	0.00	17 of 24 - Sale <sup>25</sup>
	2.000	86.09	10/06/20	97.98	11.89 W	0.00	18 of 24 - Sale <sup>25</sup>
	3.000	129.13	10/06/20	141.93	12.80 W	0.00	19 of 24 - Sale <sup>25</sup>
	5.000	215.22	10/06/20	237.81	22.59 W	0.00	20 of 24 - Sale <sup>25</sup>
	6.000	258.27	10/06/20	282.23	23.96 W	0.00	21 of 24 - Sale <sup>25</sup>
	15.000	645.66	10/06/20	689.56	43.90 W	0.00	22 of 24 - Sale <sup>25</sup>
	34.000	1,463.51	10/06/20	1,593.95	130.44 W	0.00	23 of 24 - Sale <sup>25</sup>
	34.000	1,463.51	10/06/20	1,479.00	15.49 W	0.00	24 of 24 - Sale <sup>25</sup>
10/06/20	270.000	11,986.27	Various	12,693.02	706.75 W	0.00	Total of 24 transactions
10/07/20	125.000	5,671.10	10/07/20	5,625.00	...	46.10	Sale <sup>25</sup>
	73 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	25.000	1,167.99	10/07/20	1,312.66	144.67 W	0.00	1 of 73 - Sale <sup>25</sup>
	1.000	45.90	10/07/20	50.68	4.78 W	0.00	2 of 73 - Sale <sup>25</sup>
	2.000	91.80	10/07/20	106.89	15.09 W	0.00	3 of 73 - Sale <sup>25</sup>
	3.000	137.70	10/07/20	155.30	17.60 W	0.00	4 of 73 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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PIEDMONT LITHIUM LIMITED AMERI CAN DEPOSITARY RECEIPTS / CUSIP: 720162106 / Symbol: (cont'd)							
	5.000	229.49	10/07/20	260.09	30.60 W	0.00	5 of 73 - Sale <sup>25</sup>
	6.000	275.39	10/07/20	308.96	33.57 W	0.00	6 of 73 - Sale <sup>25</sup>
	9.000	413.09	10/07/20	462.03	48.94 W	0.00	7 of 73 - Sale <sup>25</sup>
	15.000	688.48	10/07/20	756.40	67.92 W	0.00	8 of 73 - Sale <sup>25</sup>
	2.000	91.80	10/07/20	95.91	4.11 W	0.00	9 of 73 - Sale <sup>25</sup>
	2.000	91.80	10/07/20	92.91	1.11 W	0.00	10 of 73 - Sale <sup>25</sup>
	20.000	917.98	10/07/20	1,035.74	117.76 W	0.00	11 of 73 - Sale <sup>25</sup>
	30.000	1,376.96	10/07/20	1,393.67	16.71 W	0.00	12 of 73 - Sale <sup>25</sup>
	5.000	229.50	10/07/20	258.93	29.43 W	0.00	13 of 73 - Sale <sup>25</sup>
	1.000	44.71	10/07/20	50.28	5.57 W	0.00	14 of 73 - Sale <sup>25</sup>
	2.000	89.43	10/07/20	106.09	16.66 W	0.00	15 of 73 - Sale <sup>25</sup>
	2.000	89.43	10/07/20	95.11	5.68 W	0.00	16 of 73 - Sale <sup>25</sup>
	2.000	89.43	10/07/20	92.11	2.68 W	0.00	17 of 73 - Sale <sup>25</sup>
	3.000	134.14	10/07/20	154.10	19.96 W	0.00	18 of 73 - Sale <sup>25</sup>
	5.000	223.57	10/07/20	258.10	34.53 W	0.00	19 of 73 - Sale <sup>25</sup>
	6.000	268.28	10/07/20	306.57	38.29 W	0.00	20 of 73 - Sale <sup>25</sup>
	9.000	402.42	10/07/20	458.44	56.02 W	0.00	21 of 73 - Sale <sup>25</sup>
	15.000	670.71	10/07/20	750.42	79.71 W	0.00	22 of 73 - Sale <sup>25</sup>
	21.000	938.99	10/07/20	967.20	28.21 W	0.00	23 of 73 - Sale <sup>25</sup>
	3.000	134.14	10/07/20	138.17	4.03 W	0.00	24 of 73 - Sale <sup>25</sup>
	5.000	223.57	10/07/20	256.93	33.36 W	0.00	25 of 73 - Sale <sup>25</sup>
	6.000	268.28	10/07/20	276.34	8.06 W	0.00	26 of 73 - Sale <sup>25</sup>
	20.000	894.28	10/07/20	1,027.76	133.48 W	0.00	27 of 73 - Sale <sup>25</sup>
	25.000	1,117.86	10/07/20	1,137.50	19.64 W	0.00	28 of 73 - Sale <sup>25</sup>
	1.000	45.37	10/07/20	50.57	5.20 W	0.00	29 of 73 - Sale <sup>25</sup>
	2.000	90.74	10/07/20	106.66	15.92 W	0.00	30 of 73 - Sale <sup>25</sup>
	2.000	90.74	10/07/20	95.68	4.94 W	0.00	31 of 73 - Sale <sup>25</sup>
	2.000	90.74	10/07/20	92.68	1.94 W	0.00	32 of 73 - Sale <sup>25</sup>
	3.000	136.11	10/07/20	154.96	18.85 W	0.00	33 of 73 - Sale <sup>25</sup>
	3.000	136.11	10/07/20	139.03	2.92 W	0.00	34 of 73 - Sale <sup>25</sup>
	5.000	226.84	10/07/20	259.53	32.69 W	0.00	35 of 73 - Sale <sup>25</sup>
	5.000	226.84	10/07/20	258.36	31.52 W	0.00	36 of 73 - Sale <sup>25</sup>
	6.000	272.21	10/07/20	308.29	36.08 W	0.00	37 of 73 - Sale <sup>25</sup>
	6.000	272.21	10/07/20	278.06	5.85 W	0.00	38 of 73 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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PIEDMONT LITHIUM LIMITED AMERI CAN DEPOSITARY RECEIPTS / CUSIP: 720162106 / Symbol: (cont'd)							
	9.000	408.32	10/07/20	461.02	52.70 W	0.00	39 of 73 - Sale <sup>25</sup>
	15.000	680.53	10/07/20	754.71	74.18 W	0.00	40 of 73 - Sale <sup>25</sup>
	20.000	907.38	10/07/20	1,033.48	126.10 W	0.00	41 of 73 - Sale <sup>25</sup>
	21.000	952.75	10/07/20	973.21	20.46 W	0.00	42 of 73 - Sale <sup>25</sup>
	25.000	1,134.22	10/07/20	1,144.64	10.42 W	0.00	43 of 73 - Sale <sup>25</sup>
	1.000	43.15	10/07/20	49.95	6.80 W	0.00	44 of 73 - Sale <sup>25</sup>
	2.000	86.30	10/07/20	105.42	19.12 W	0.00	45 of 73 - Sale <sup>25</sup>
	2.000	86.30	10/07/20	94.44	8.14 W	0.00	46 of 73 - Sale <sup>25</sup>
	2.000	86.30	10/07/20	91.44	5.14 W	0.00	47 of 73 - Sale <sup>25</sup>
	3.000	129.45	10/07/20	153.10	23.65 W	0.00	48 of 73 - Sale <sup>25</sup>
	3.000	129.45	10/07/20	137.17	7.72 W	0.00	49 of 73 - Sale <sup>25</sup>
	5.000	215.74	10/07/20	256.44	40.70 W	0.00	50 of 73 - Sale <sup>25</sup>
	5.000	215.74	10/07/20	255.27	39.53 W	0.00	51 of 73 - Sale <sup>25</sup>
	6.000	258.89	10/07/20	304.58	45.69 W	0.00	52 of 73 - Sale <sup>25</sup>
	6.000	258.89	10/07/20	274.35	15.46 W	0.00	53 of 73 - Sale <sup>25</sup>
	9.000	388.34	10/07/20	455.45	67.11 W	0.00	54 of 73 - Sale <sup>25</sup>
	15.000	647.23	10/07/20	745.43	98.20 W	0.00	55 of 73 - Sale <sup>25</sup>
	20.000	862.98	10/07/20	1,021.10	158.12 W	0.00	56 of 73 - Sale <sup>25</sup>
	21.000	906.13	10/07/20	960.21	54.08 W	0.00	57 of 73 - Sale <sup>25</sup>
	1.000	43.14	10/07/20	51.55	8.41 W	0.00	58 of 73 - Sale <sup>25</sup>
	2.000	86.29	10/07/20	108.62	22.33 W	0.00	59 of 73 - Sale <sup>25</sup>
	2.000	86.29	10/07/20	97.64	11.35 W	0.00	60 of 73 - Sale <sup>25</sup>
	2.000	86.29	10/07/20	94.64	8.35 W	0.00	61 of 73 - Sale <sup>25</sup>
	3.000	129.43	10/07/20	157.90	28.47 W	0.00	62 of 73 - Sale <sup>25</sup>
	3.000	129.43	10/07/20	141.97	12.54 W	0.00	63 of 73 - Sale <sup>25</sup>
	5.000	215.72	10/07/20	264.45	48.73 W	0.00	64 of 73 - Sale <sup>25</sup>
	6.000	258.87	10/07/20	314.19	55.32 W	0.00	65 of 73 - Sale <sup>25</sup>
	6.000	258.87	10/07/20	283.96	25.09 W	0.00	66 of 73 - Sale <sup>25</sup>
	9.000	388.30	10/07/20	469.86	81.56 W	0.00	67 of 73 - Sale <sup>25</sup>
	15.000	647.16	10/07/20	769.45	122.29 W	0.00	68 of 73 - Sale <sup>25</sup>
	21.000	906.03	10/07/20	993.83	87.80 W	0.00	69 of 73 - Sale <sup>25</sup>
	25.000	1,078.61	10/07/20	1,129.17	50.56 W	0.00	70 of 73 - Sale <sup>25</sup>
	5.000	215.72	10/07/20	263.28	47.56 W	0.00	71 of 73 - Sale <sup>25</sup>
	20.000	862.88	10/07/20	1,053.12	190.24 W	0.00	72 of 73 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PIEDMONT LITHIUM LIMITED AMERI CAN DEPOSITARY RECEIPTS / CUSIP: 720162106 / Symbol: (cont'd)							
10/07/20	25.000	1,078.61	10/07/20	1,118.75	40.14 W	0.00	73 of 73 - Sale <sup>25</sup>
	625.000	27,804.76	Various	30,692.90	2,888.14 W	0.00	Total of 73 transactions
	19 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	20.000	759.98	10/08/20	1,038.99	...	-279.01	1 of 19 - Sale <sup>25</sup>
	15.000	569.99	10/08/20	759.46	...	-189.47	2 of 19 - Sale <sup>25</sup>
	24.000	911.98	10/08/20	1,068.00	...	-156.02	3 of 19 - Sale <sup>25</sup>
	18.000	683.98	10/08/20	839.86	...	-155.88	4 of 19 - Sale <sup>25</sup>
	25.000	949.98	10/08/20	1,101.08	...	-151.10	5 of 19 - Sale <sup>25</sup>
	9.000	341.99	10/08/20	463.86	...	-121.87	6 of 19 - Sale <sup>25</sup>
	6.000	227.99	10/08/20	310.19	...	-82.20	7 of 19 - Sale <sup>25</sup>
	5.000	189.99	10/08/20	261.12	...	-71.13	8 of 19 - Sale <sup>25</sup>
	5.000	189.99	10/08/20	259.75	...	-69.76	9 of 19 - Sale <sup>25</sup>
	15.000	569.98	10/08/20	636.57	...	-66.59	10 of 19 - Sale <sup>25</sup>
	6.000	227.99	10/08/20	280.02	...	-52.03	11 of 19 - Sale <sup>25</sup>
	3.000	114.00	10/08/20	155.90	...	-41.90	12 of 19 - Sale <sup>25</sup>
	2.000	76.00	10/08/20	107.29	...	-31.29	13 of 19 - Sale <sup>25</sup>
	3.000	114.00	10/08/20	140.00	...	-26.00	14 of 19 - Sale <sup>25</sup>
	3.000	114.00	10/08/20	140.00	...	-26.00	15 of 19 - Sale <sup>25</sup>
	2.000	76.00	10/08/20	96.31	...	-20.31	16 of 19 - Sale <sup>25</sup>
	2.000	76.00	10/08/20	93.31	...	-17.31	17 of 19 - Sale <sup>25</sup>
	1.000	38.00	10/08/20	50.89	...	-12.89	18 of 19 - Sale <sup>25</sup>
	1.000	38.00	10/08/20	44.50	...	-6.50	19 of 19 - Sale <sup>25</sup>
10/08/20	165.000	6,269.84	Various	7,847.10	...	-1,577.26	Total of 19 transactions
	18 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	100.000	3,924.22	10/07/20	4,298.00	56.07 W	-317.71	1 of 18 - Sale <sup>25</sup>
	1.000	39.24	10/07/20	51.38	12.14 W	0.00	2 of 18 - Sale <sup>25</sup>
	2.000	78.48	10/07/20	108.27	29.79 W	0.00	3 of 18 - Sale <sup>25</sup>
	2.000	78.48	10/07/20	97.29	18.81 W	0.00	4 of 18 - Sale <sup>25</sup>
	2.000	78.48	10/07/20	94.29	15.81 W	0.00	5 of 18 - Sale <sup>25</sup>
	3.000	117.73	10/07/20	157.38	39.65 W	0.00	6 of 18 - Sale <sup>25</sup>
	5.000	196.21	10/07/20	263.58	67.37 W	0.00	7 of 18 - Sale <sup>25</sup>
	6.000	235.45	10/07/20	313.14	77.69 W	0.00	8 of 18 - Sale <sup>25</sup>
	9.000	353.18	10/07/20	468.29	115.11 W	0.00	9 of 18 - Sale <sup>25</sup>
	15.000	588.63	10/07/20	766.84	178.21 W	0.00	10 of 18 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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PIEDMONT LITHIUM LIMITED AMERI CAN DEPOSITARY RECEIPTS / CUSIP: 720162106 / Symbol: (cont'd)							
	18.000	706.36	10/07/20	848.72	142.36 W	0.00	11 of 18 - Sale <sup>25</sup>
	25.000	981.06	10/07/20	1,124.81	143.75 W	0.00	12 of 18 - Sale <sup>25</sup>
	3.000	117.73	10/07/20	141.48	23.75 W	0.00	13 of 18 - Sale <sup>25</sup>
	3.000	117.73	10/07/20	141.48	23.75 W	0.00	14 of 18 - Sale <sup>25</sup>
	5.000	196.21	10/07/20	262.46	66.25 W	0.00	15 of 18 - Sale <sup>25</sup>
	6.000	235.45	10/07/20	282.97	47.52 W	0.00	16 of 18 - Sale <sup>25</sup>
	20.000	784.85	10/07/20	1,049.84	264.99 W	0.00	17 of 18 - Sale <sup>25</sup>
	25.000	981.06	10/07/20	1,114.64	133.58 W	0.00	18 of 18 - Sale <sup>25</sup>
10/08/20	250.000	9,810.55	Various	11,584.86	1,456.60 W	-317.71	Total of 18 transactions
	<b>Security total:</b>	<b>68,270.23</b>		<b>75,145.77</b>	<b>5,139.32 W</b>	<b>-1,736.22</b>	
PIERIS PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 720795103 / Symbol:							
06/01/20	25.000	88.10	05/29/20	90.25	...	-2.15	Sale <sup>25</sup>
PING IDENTITY HOLDING CORP. / CUSIP: 72341T103 / Symbol:							
07/09/20	15.000	497.55	07/09/20	513.88	...	-16.33	Sale <sup>25</sup>
PINTEREST, INC. CLASS A COMMON STOCK / CUSIP: 72352L106 / Symbol:							
2 transactions for 10/21/20. Total proceeds and cost reported to the IRS.							
	7.000	357.21	10/20/20	317.37	...	39.84	1 of 2 - Sale <sup>25</sup>
	20.000	1,017.63	10/20/20	906.76	...	110.87	2 of 2 - Sale <sup>25</sup>
10/21/20	27.000	1,374.84	Various	1,224.13	...	150.71	Total of 2 transactions
8 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	10.000	514.99	10/20/20	484.00	...	30.99	1 of 8 - Sale <sup>25</sup>
	26.000	1,338.97	10/20/20	1,256.58	...	82.39	2 of 8 - Sale <sup>25</sup>
	23.000	1,184.47	10/20/20	1,042.78	...	141.69	3 of 8 - Sale <sup>25</sup>
	64.000	3,295.92	10/20/20	3,097.60	...	198.32	4 of 8 - Sale <sup>25</sup>
	75.000	3,862.41	10/20/20	3,622.50	...	239.91	5 of 8 - Sale <sup>25</sup>
	2.000	103.00	10/21/20	101.69	...	1.31	6 of 8 - Sale <sup>25</sup>
	32.000	1,647.96	10/22/20	1,612.80	...	35.16	7 of 8 - Sale <sup>25</sup>
	67.000	3,450.42	10/22/20	3,380.15	...	70.27	8 of 8 - Sale <sup>25</sup>
10/26/20	299.000	15,398.14	Various	14,598.10	...	800.04	Total of 8 transactions
	<b>Security total:</b>	<b>16,772.98</b>		<b>15,822.23</b>	<b>...</b>	<b>950.75</b>	

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(continued)

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PITNEY BOWES INC. / CUSIP: 724479100 / Symbol:							
<i>8 transactions for 08/03/20. Total proceeds and cost reported to the IRS.</i>							
	15.000	74.25	08/03/20	73.88	...	0.37	1 of 8 - Sale <sup>25</sup>
	45.000	222.74	08/03/20	221.73	...	1.01	2 of 8 - Sale <sup>25</sup>
	15.000	74.25	08/03/20	69.53	...	4.72	3 of 8 - Sale <sup>25</sup>
	100.000	494.98	08/03/20	490.00	...	4.98	4 of 8 - Sale <sup>25</sup>
	200.000	989.95	08/03/20	975.48	...	14.47	5 of 8 - Sale <sup>25</sup>
	50.000	247.49	08/03/20	231.50	...	15.99	6 of 8 - Sale <sup>25</sup>
	475.000	2,351.14	08/03/20	2,327.50	...	23.64	7 of 8 - Sale <sup>25</sup>
	100.000	494.98	08/03/20	465.74	...	29.24	8 of 8 - Sale <sup>25</sup>
08/03/20	1,000.000	4,949.78	Various	4,855.36	...	94.42	Total of 8 transactions
<i>3 transactions for 08/03/20. Total proceeds and cost reported to the IRS.</i>							
	250.000	1,237.44	08/03/20	1,262.50	25.06 W	0.00	1 of 3 - Sale <sup>25</sup>
	650.000	3,217.34	08/03/20	3,263.00	45.66 W	0.00	2 of 3 - Sale <sup>25</sup>
	100.000	494.98	08/03/20	510.00	15.02 W	0.00	3 of 3 - Sale <sup>25</sup>
08/03/20	1,000.000	4,949.76	Various	5,035.50	85.74 W	0.00	Total of 3 transactions
<i>3 transactions for 08/05/20. Total proceeds and cost reported to the IRS.</i>							
	650.000	3,491.64	08/05/20	3,581.46	...	-89.82	1 of 3 - Sale <sup>25</sup>
	250.000	1,342.94	08/05/20	1,384.99	...	-42.05	2 of 3 - Sale <sup>25</sup>
	100.000	537.18	08/05/20	558.99	...	-21.81	3 of 3 - Sale <sup>25</sup>
08/05/20	1,000.000	5,371.76	Various	5,525.44	...	-153.68	Total of 3 transactions
	<b>Security total:</b>	<b>15,271.30</b>		<b>15,416.30</b>	<b>85.74 W</b>	<b>-59.26</b>	
PLANTRONICS, INC. / CUSIP: 727493108 / Symbol:							
<i>3 transactions for 07/08/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	15.64	07/08/20	15.59	...	0.05	1 of 3 - Sale <sup>25</sup>
	50.000	782.24	07/08/20	779.93	...	2.31	2 of 3 - Sale <sup>25</sup>
	99.000	1,548.84	07/08/20	1,544.00	...	4.84	3 of 3 - Sale <sup>25</sup>
07/08/20	150.000	2,346.72	Various	2,339.52	...	7.20	Total of 3 transactions
07/08/20	99.000	1,533.61	07/08/20	1,543.26	9.65 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	36.61	08/28/20	37.26	...	-0.65	1 of 2 - Sale <sup>25</sup>
	1.000	12.20	08/28/20	12.42	...	-0.22	2 of 2 - Sale <sup>25</sup>
08/28/20	4.000	48.81	Various	49.68	...	-0.87	Total of 2 transactions

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PLANTRONICS, INC. / CUSIP: 727493108 / Symbol: (cont'd)							
	<b>Security total:</b>	<b>3,929.14</b>		<b>3,932.46</b>		<b>9.65 W</b>	<b>6.33</b>
PLAYAGS, INC. / CUSIP: 72814N104 / Symbol:							
	2 transactions for 04/02/20. Total proceeds and cost reported to the IRS.						
	100.000	179.99	04/01/20	200.75	20.76 W	0.00	1 of 2 - Sale <sup>25</sup>
	100.000	179.99	04/01/20	200.75	20.76 W	0.00	2 of 2 - Sale <sup>25</sup>
04/02/20	200.000	359.98	Various	401.50	41.52 W	0.00	Total of 2 transactions
	4 transactions for 04/13/20. Total proceeds and cost reported to the IRS.						
	99.000	295.00	04/09/20	316.56	...	-21.56	1 of 4 - Sale <sup>25</sup>
	62.000	184.75	04/09/20	195.77	...	-11.02	2 of 4 - Sale <sup>25</sup>
	38.000	113.23	04/09/20	121.51	...	-8.28	3 of 4 - Sale <sup>25</sup>
	1.000	2.98	04/09/20	3.20	...	-0.22	4 of 4 - Sale <sup>25</sup>
04/13/20	200.000	595.96	Various	637.04	...	-41.08	Total of 4 transactions
05/26/20	18.000	92.22	05/22/20	83.66	...	8.56	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,048.16</b>		<b>1,122.20</b>	<b>41.52 W</b>	<b>-32.52</b>	
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
06/05/20	100.000	498.49	06/04/20	456.50	...	41.99	Sale <sup>25</sup>
06/23/20	75.000	491.61	06/23/20	513.58	21.97 W	0.00	Sale <sup>25</sup>
	2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	75.000	536.41	06/24/20	577.55	41.14 W	0.00	1 of 2 - Sale <sup>25</sup>
	25.000	178.80	06/24/20	185.19	6.39 W	0.00	2 of 2 - Sale <sup>25</sup>
06/24/20	100.000	715.21	Various	762.74	47.53 W	0.00	Total of 2 transactions
	2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	25.000	181.80	06/24/20	195.01	13.21 W	0.00	1 of 2 - Sale <sup>25</sup>
	75.000	545.41	06/24/20	607.02	61.61 W	0.00	2 of 2 - Sale <sup>25</sup>
06/25/20	100.000	727.21	Various	802.03	74.82 W	0.00	Total of 2 transactions
	4 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	75.000	606.91	06/29/20	678.53	...	-71.62	1 of 4 - Sale <sup>25</sup>
	25.000	202.30	06/29/20	218.85	...	-16.55	2 of 4 - Sale <sup>25</sup>
	49.000	396.51	06/29/20	403.06	...	-6.55	3 of 4 - Sale <sup>25</sup>
	1.000	8.09	06/29/20	8.22	...	-0.13	4 of 4 - Sale <sup>25</sup>
06/29/20	150.000	1,213.81	Various	1,308.66	...	-94.85	Total of 4 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
<i>4 transactions for 06/29/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	202.55	06/29/20	216.71	14.16 W	0.00	1 of 4 - Sale <sup>25</sup>
	75.000	607.65	06/29/20	672.11	64.46 W	0.00	2 of 4 - Sale <sup>25</sup>
	49.000	396.99	06/29/20	398.86	1.87 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	8.11	06/29/20	8.14	0.03 W	0.00	4 of 4 - Sale <sup>25</sup>
06/29/20	150.000	1,215.30	Various	1,295.82	80.52 W	0.00	Total of 4 transactions
08/19/20	100.000	1,394.95	08/19/20	1,378.77	...	16.18	Sale <sup>25</sup>
<i>4 transactions for 08/19/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	689.57	08/19/20	709.53	0.80 W	-19.16	1 of 4 - Sale <sup>25</sup>
	100.000	1,394.95	08/19/20	1,407.00	12.05 W	0.00	2 of 4 - Sale <sup>25</sup>
	50.000	697.48	08/19/20	703.50	6.02 W	0.00	3 of 4 - Sale <sup>25</sup>
	50.000	689.58	08/19/20	703.50	13.92 W	0.00	4 of 4 - Sale <sup>25</sup>
08/19/20	250.000	3,471.58	Various	3,523.53	32.79 W	-19.16	Total of 4 transactions
08/21/20	12.000	158.28	08/21/20	160.04	...	-1.76	Sale <sup>25</sup>
<i>6 transactions for 08/24/20. Total proceeds and cost reported to the IRS.</i>							
	33.000	395.67	08/24/20	403.04	...	-7.37	1 of 6 - Sale <sup>25</sup>
	17.000	203.91	08/24/20	207.63	...	-3.72	2 of 6 - Sale <sup>25</sup>
	50.000	599.73	08/24/20	602.77	...	-3.04	3 of 6 - Sale <sup>25</sup>
	33.000	395.82	08/24/20	397.84	...	-2.02	4 of 6 - Sale <sup>25</sup>
	5.000	59.50	08/24/20	60.30	...	-0.80	5 of 6 - Sale <sup>25</sup>
	2.000	23.98	08/24/20	24.67	...	-0.69	6 of 6 - Sale <sup>25</sup>
08/24/20	140.000	1,678.61	Various	1,696.25	...	-17.64	Total of 6 transactions
12/31/20	49.000	1,670.40	12/31/20	1,664.04	...	6.36	Sale <sup>25</sup>
12/31/20	1.000	34.09	12/30/20	34.31	0.22 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>13,269.54</b>		<b>13,596.27</b>	<b>257.85 W</b>	<b>-68.88</b>	
PLURALSIGHT, INC. CLASS A COMM ON STOCK / CUSIP: 72941B106 / Symbol:							
<i>2 transactions for 04/08/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	278.86	04/03/20	239.50	...	39.36	1 of 2 - Sale <sup>25</sup>
	50.000	557.71	04/03/20	478.44	...	79.27	2 of 2 - Sale <sup>25</sup>
04/08/20	75.000	836.57	Various	717.94	...	118.63	Total of 2 transactions
PRELUDE THERAPEUTICS INCORPORATED COMMON STOCK / CUSIP: 74065P101 / Symbol:							
10/08/20	3.000	134.39	10/07/20	144.52	...	-10.13	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PREVAIL THERAPEUTICS INC. COMM ON STOCK / CUSIP: 74140Y101 / Symbol:							
05/13/20	10.000	170.10	05/12/20	184.90	...	-14.80	Sale <sup>25</sup>
PROGYNY, INC. COMMON STOCK / CUSIP: 74340E103 / Symbol:							
05/14/20	10.000	247.90	05/13/20	273.30	...	-25.40	Sale <sup>25</sup>
PROSHARES ULTRASHORT FINANCIAL S / CUSIP: 74347B748 / Symbol:							
5 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	100.000	1,328.46	07/09/20	1,348.51	...	-20.05	1 of 5 - Sale <sup>25</sup>
	25.000	332.12	07/09/20	335.88	...	-3.76	2 of 5 - Sale <sup>25</sup>
	25.000	332.99	07/09/20	334.20	...	-1.21	3 of 5 - Sale <sup>25</sup>
	100.000	1,331.96	07/09/20	1,327.51	...	4.45	4 of 5 - Sale <sup>25</sup>
	500.000	6,629.84	07/09/20	6,620.00	...	9.84	5 of 5 - Sale <sup>25</sup>
07/09/20	750.000	9,955.37	Various	9,966.10	...	-10.73	Total of 5 transactions
07/09/20	500.000	6,629.84	07/09/20	6,634.90	2.52 W	-2.54	Sale <sup>25</sup>
	<b>Security total:</b>	<b>16,585.21</b>		<b>16,601.00</b>	<b>2.52 W</b>	<b>-13.27</b>	
PROSHARES ULTRA VIX SHORT-TERM FUTURES ETF / CUSIP: 74347W148 / Symbol:							
07/09/20	25.000	788.73	07/09/20	802.00	...	-13.27	Sale <sup>25</sup>
PROSHARES ULTRA BLOOMBERG CRUD E OIL / CUSIP: 74347Y888 / Symbol:							
06/23/20	25.000	777.02	06/22/20	772.75	...	4.27	Sale <sup>25</sup>
PUMA BIOTECHNOLOGY INC COMMON STOCK / CUSIP: 74587V107 / Symbol:							
07/30/20	100.000	1,032.97	07/30/20	1,045.00	...	-12.03	Sale <sup>25</sup>
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol:							
27 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	1.000	82.45	12/21/20	82.45	...	0.00	1 of 27 - Sale <sup>25</sup>
	2.000	164.90	12/21/20	164.90	...	0.00	2 of 27 - Sale <sup>25</sup>
	1.000	82.45	12/21/20	82.24	...	0.21	3 of 27 - Sale <sup>25</sup>
	6.000	494.69	12/21/20	494.37	...	0.32	4 of 27 - Sale <sup>25</sup>
	2.000	164.90	12/21/20	164.49	...	0.41	5 of 27 - Sale <sup>25</sup>
	3.000	247.35	12/21/20	246.90	...	0.45	6 of 27 - Sale <sup>25</sup>
	3.000	247.34	12/21/20	246.74	...	0.60	7 of 27 - Sale <sup>25</sup>
	1.000	84.33	12/21/20	83.70	...	0.63	8 of 27 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	2.000	168.66	12/21/20	167.90	...	0.76	9 of 27 - Sale <sup>25</sup>
	3.000	252.98	12/21/20	252.20	...	0.78	10 of 27 - Sale <sup>25</sup>
	8.000	674.62	12/21/20	673.68	...	0.94	11 of 27 - Sale <sup>25</sup>
	1.000	84.33	12/21/20	83.24	...	1.09	12 of 27 - Sale <sup>25</sup>
	1.000	84.33	12/21/20	83.01	...	1.32	13 of 27 - Sale <sup>25</sup>
	3.000	247.34	12/21/20	245.88	...	1.46	14 of 27 - Sale <sup>25</sup>
	7.000	577.13	12/21/20	575.47	...	1.66	15 of 27 - Sale <sup>25</sup>
	5.000	412.24	12/21/20	410.19	...	2.05	16 of 27 - Sale <sup>25</sup>
	3.000	252.98	12/21/20	250.84	...	2.14	17 of 27 - Sale <sup>25</sup>
	1.000	82.50	12/21/20	80.18	...	2.32	18 of 27 - Sale <sup>25</sup>
	3.000	247.35	12/21/20	244.50	...	2.85	19 of 27 - Sale <sup>25</sup>
	3.000	252.98	12/21/20	249.75	...	3.23	20 of 27 - Sale <sup>25</sup>
	7.000	577.14	12/21/20	573.70	...	3.44	21 of 27 - Sale <sup>25</sup>
	5.000	412.50	12/21/20	400.36	...	12.14	22 of 27 - Sale <sup>25</sup>
	5.000	412.49	12/21/20	395.31	...	17.18	23 of 27 - Sale <sup>25</sup>
	5.000	412.49	12/21/20	395.06	...	17.43	24 of 27 - Sale <sup>25</sup>
	5.000	412.49	12/21/20	393.25	...	19.24	25 of 27 - Sale <sup>25</sup>
	10.000	828.38	12/21/20	802.63	...	25.75	26 of 27 - Sale <sup>25</sup>
	7.000	577.49	12/21/20	550.20	...	27.29	27 of 27 - Sale <sup>25</sup>
12/21/20	103.000	8,538.83	Various	8,393.14	...	145.69	Total of 27 transactions
104 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	15.000	1,164.41	12/21/20	1,185.00	20.59 W	0.00	1 of 104 - Sale <sup>25</sup>
	10.000	776.28	12/21/20	779.90	3.62 W	0.00	2 of 104 - Sale <sup>25</sup>
	12.000	989.98	12/21/20	1,000.05	10.07 W	0.00	3 of 104 - Sale <sup>25</sup>
	10.000	824.98	12/21/20	831.85	6.87 W	0.00	4 of 104 - Sale <sup>25</sup>
	10.000	824.98	12/21/20	840.00	15.02 W	0.00	5 of 104 - Sale <sup>25</sup>
	10.000	824.98	12/21/20	837.50	12.52 W	0.00	6 of 104 - Sale <sup>25</sup>
	10.000	824.98	12/21/20	835.00	10.02 W	0.00	7 of 104 - Sale <sup>25</sup>
	10.000	824.98	12/21/20	832.50	7.52 W	0.00	8 of 104 - Sale <sup>25</sup>
	10.000	824.98	12/21/20	830.00	5.02 W	0.00	9 of 104 - Sale <sup>25</sup>
	10.000	824.98	12/21/20	827.50	2.52 W	0.00	10 of 104 - Sale <sup>25</sup>
	10.000	824.98	12/21/20	825.00	0.02 W	0.00	11 of 104 - Sale <sup>25</sup>
	5.000	412.49	12/21/20	412.90	0.41 W	0.00	12 of 104 - Sale <sup>25</sup>
	7.000	577.14	12/21/20	583.71	6.57 W	0.00	13 of 104 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

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QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	12.000	989.37	12/21/20	1,002.47	13.10 W	0.00	14 of 104 - Sale <sup>25</sup>
	3.000	247.34	12/21/20	252.61	5.27 W	0.00	15 of 104 - Sale <sup>25</sup>
	3.000	247.34	12/21/20	250.16	2.82 W	0.00	16 of 104 - Sale <sup>25</sup>
	6.000	494.69	12/21/20	510.01	15.32 W	0.00	17 of 104 - Sale <sup>25</sup>
	3.000	247.34	12/21/20	251.07	3.73 W	0.00	18 of 104 - Sale <sup>25</sup>
	3.000	247.34	12/21/20	253.52	6.18 W	0.00	19 of 104 - Sale <sup>25</sup>
	3.000	247.34	12/21/20	255.91	8.57 W	0.00	20 of 104 - Sale <sup>25</sup>
	4.000	329.79	12/21/20	334.75	4.96 W	0.00	21 of 104 - Sale <sup>25</sup>
	1.000	82.45	12/21/20	85.15	2.70 W	0.00	22 of 104 - Sale <sup>25</sup>
	1.000	82.45	12/21/20	84.15	1.70 W	0.00	23 of 104 - Sale <sup>25</sup>
	2.000	164.90	12/21/20	168.80	3.90 W	0.00	24 of 104 - Sale <sup>25</sup>
	3.000	247.34	12/21/20	255.46	8.12 W	0.00	25 of 104 - Sale <sup>25</sup>
	8.000	659.59	12/21/20	677.24	17.65 W	0.00	26 of 104 - Sale <sup>25</sup>
	10.000	824.48	12/21/20	849.04	24.56 W	0.00	27 of 104 - Sale <sup>25</sup>
	1.000	82.45	12/21/20	83.55	1.10 W	0.00	28 of 104 - Sale <sup>25</sup>
	2.000	164.90	12/21/20	167.10	2.20 W	0.00	29 of 104 - Sale <sup>25</sup>
	3.000	247.34	12/21/20	251.42	4.08 W	0.00	30 of 104 - Sale <sup>25</sup>
	7.000	577.14	12/21/20	586.62	9.48 W	0.00	31 of 104 - Sale <sup>25</sup>
	7.000	577.14	12/21/20	583.14	6.00 W	0.00	32 of 104 - Sale <sup>25</sup>
	9.000	742.03	12/21/20	756.49	14.46 W	0.00	33 of 104 - Sale <sup>25</sup>
	3.000	247.34	12/21/20	249.16	1.82 W	0.00	34 of 104 - Sale <sup>25</sup>
	3.000	247.34	12/21/20	252.44	5.10 W	0.00	35 of 104 - Sale <sup>25</sup>
	3.000	247.34	12/21/20	251.68	4.34 W	0.00	36 of 104 - Sale <sup>25</sup>
	5.000	412.24	12/21/20	415.67	3.43 W	0.00	37 of 104 - Sale <sup>25</sup>
	7.000	577.14	12/21/20	581.38	4.24 W	0.00	38 of 104 - Sale <sup>25</sup>
	1.000	82.45	12/21/20	83.64	1.19 W	0.00	39 of 104 - Sale <sup>25</sup>
	1.000	82.45	12/21/20	85.10	2.65 W	0.00	40 of 104 - Sale <sup>25</sup>
	1.000	82.45	12/21/20	84.10	1.65 W	0.00	41 of 104 - Sale <sup>25</sup>
	2.000	164.90	12/21/20	167.29	2.39 W	0.00	42 of 104 - Sale <sup>25</sup>
	2.000	164.90	12/21/20	168.70	3.80 W	0.00	43 of 104 - Sale <sup>25</sup>
	3.000	247.34	12/21/20	250.93	3.59 W	0.00	44 of 104 - Sale <sup>25</sup>
	3.000	247.34	12/21/20	253.38	6.04 W	0.00	45 of 104 - Sale <sup>25</sup>
	3.000	247.34	12/21/20	255.77	8.43 W	0.00	46 of 104 - Sale <sup>25</sup>
	3.000	247.34	12/21/20	255.32	7.98 W	0.00	47 of 104 - Sale <sup>25</sup>

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(continued)

03/15/2021

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QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	3.000	247.34	12/21/20	252.02	4.68 W	0.00	48 of 104 - Sale <sup>25</sup>
	4.000	329.79	12/21/20	334.56	4.77 W	0.00	49 of 104 - Sale <sup>25</sup>
	6.000	494.69	12/21/20	502.75	8.06 W	0.00	50 of 104 - Sale <sup>25</sup>
	8.000	659.59	12/21/20	676.85	17.26 W	0.00	51 of 104 - Sale <sup>25</sup>
	10.000	824.48	12/21/20	848.56	24.08 W	0.00	52 of 104 - Sale <sup>25</sup>
	1.000	82.45	12/21/20	83.40	0.95 W	0.00	53 of 104 - Sale <sup>25</sup>
	2.000	164.90	12/21/20	166.80	1.90 W	0.00	54 of 104 - Sale <sup>25</sup>
	3.000	247.34	12/21/20	250.98	3.64 W	0.00	55 of 104 - Sale <sup>25</sup>
	3.000	247.34	12/21/20	248.72	1.38 W	0.00	56 of 104 - Sale <sup>25</sup>
	6.000	494.69	12/21/20	503.44	8.75 W	0.00	57 of 104 - Sale <sup>25</sup>
	7.000	577.14	12/21/20	585.58	8.44 W	0.00	58 of 104 - Sale <sup>25</sup>
	7.000	577.13	12/21/20	582.10	4.97 W	0.00	59 of 104 - Sale <sup>25</sup>
	3.000	247.34	12/21/20	252.00	4.66 W	0.00	60 of 104 - Sale <sup>25</sup>
	3.000	247.34	12/21/20	251.24	3.90 W	0.00	61 of 104 - Sale <sup>25</sup>
	5.000	412.24	12/21/20	414.93	2.69 W	0.00	62 of 104 - Sale <sup>25</sup>
	7.000	577.14	12/21/20	580.34	3.20 W	0.00	63 of 104 - Sale <sup>25</sup>
	1.000	82.45	12/21/20	83.19	0.74 W	0.00	64 of 104 - Sale <sup>25</sup>
	1.000	82.45	12/21/20	84.65	2.20 W	0.00	65 of 104 - Sale <sup>25</sup>
	2.000	164.90	12/21/20	166.39	1.49 W	0.00	66 of 104 - Sale <sup>25</sup>
	3.000	247.34	12/21/20	249.59	2.25 W	0.00	67 of 104 - Sale <sup>25</sup>
	3.000	247.34	12/21/20	252.04	4.70 W	0.00	68 of 104 - Sale <sup>25</sup>
	3.000	247.34	12/21/20	254.43	7.09 W	0.00	69 of 104 - Sale <sup>25</sup>
	3.000	247.34	12/21/20	253.98	6.64 W	0.00	70 of 104 - Sale <sup>25</sup>
	4.000	329.79	12/21/20	332.77	2.98 W	0.00	71 of 104 - Sale <sup>25</sup>
	6.000	494.69	12/21/20	500.06	5.37 W	0.00	72 of 104 - Sale <sup>25</sup>
	8.000	659.59	12/21/20	675.26	15.67 W	0.00	73 of 104 - Sale <sup>25</sup>
	2.000	164.90	12/21/20	168.82	3.92 W	0.00	74 of 104 - Sale <sup>25</sup>
	8.000	659.58	12/21/20	673.26	13.68 W	0.00	75 of 104 - Sale <sup>25</sup>
	1.000	82.45	12/21/20	83.65	1.20 W	0.00	76 of 104 - Sale <sup>25</sup>
	2.000	164.90	12/21/20	167.80	2.90 W	0.00	77 of 104 - Sale <sup>25</sup>
	3.000	247.34	12/21/20	250.68	3.34 W	0.00	78 of 104 - Sale <sup>25</sup>
	3.000	247.34	12/21/20	248.14	0.80 W	0.00	79 of 104 - Sale <sup>25</sup>
	6.000	494.69	12/21/20	497.75	3.06 W	0.00	80 of 104 - Sale <sup>25</sup>
	7.000	577.14	12/21/20	578.94	1.80 W	0.00	81 of 104 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 527125207
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	3.000	247.34	12/21/20	249.16	1.82 W	0.00	82 of 104 - Sale <sup>25</sup>
	3.000	247.34	12/21/20	248.40	1.06 W	0.00	83 of 104 - Sale <sup>25</sup>
	1.000	82.45	12/21/20	85.00	2.55 W	0.00	84 of 104 - Sale <sup>25</sup>
	10.000	824.48	12/21/20	847.52	23.04 W	0.00	85 of 104 - Sale <sup>25</sup>
	8.000	659.58	12/21/20	676.02	16.44 W	0.00	86 of 104 - Sale <sup>25</sup>
	3.000	247.34	12/21/20	252.28	4.94 W	0.00	87 of 104 - Sale <sup>25</sup>
	5.000	412.24	12/21/20	415.41	3.17 W	0.00	88 of 104 - Sale <sup>25</sup>
	7.000	577.13	12/21/20	582.77	5.64 W	0.00	89 of 104 - Sale <sup>25</sup>
	10.000	824.48	12/21/20	830.02	5.54 W	0.00	90 of 104 - Sale <sup>25</sup>
	2.000	164.90	12/21/20	167.00	2.10 W	0.00	91 of 104 - Sale <sup>25</sup>
	3.000	247.34	12/21/20	251.26	3.92 W	0.00	92 of 104 - Sale <sup>25</sup>
	1.000	82.45	12/21/20	83.50	1.05 W	0.00	93 of 104 - Sale <sup>25</sup>
	2.000	164.90	12/21/20	168.50	3.60 W	0.00	94 of 104 - Sale <sup>25</sup>
	7.000	577.14	12/21/20	586.26	9.12 W	0.00	95 of 104 - Sale <sup>25</sup>
	10.000	824.48	12/21/20	840.02	15.54 W	0.00	96 of 104 - Sale <sup>25</sup>
	1.000	82.45	12/21/20	83.69	1.24 W	0.00	97 of 104 - Sale <sup>25</sup>
	9.000	742.04	12/21/20	754.57	12.53 W	0.00	98 of 104 - Sale <sup>25</sup>
	2.000	164.89	12/21/20	167.38	2.49 W	0.00	99 of 104 - Sale <sup>25</sup>
	1.000	84.33	12/21/20	84.70	0.37 W	0.00	100 of 104 - Sale <sup>25</sup>
	2.000	168.66	12/21/20	168.92	0.26 W	0.00	101 of 104 - Sale <sup>25</sup>
	3.000	252.98	12/21/20	254.59	1.61 W	0.00	102 of 104 - Sale <sup>25</sup>
	3.000	252.98	12/21/20	254.14	1.16 W	0.00	103 of 104 - Sale <sup>25</sup>
	8.000	674.63	12/21/20	675.67	1.04 W	0.00	104 of 104 - Sale <sup>25</sup>
12/21/20	508.000	41,799.87	Various	42,416.58	616.71 W	0.00	Total of 104 transactions
48 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	1.000	115.51	12/21/20	82.77	...	32.74	1 of 48 - Sale <sup>25</sup>
	1.000	115.51	12/21/20	82.66	...	32.85	2 of 48 - Sale <sup>25</sup>
	2.000	231.01	12/21/20	178.84	...	52.17	3 of 48 - Sale <sup>25</sup>
	2.000	231.01	12/21/20	165.06	...	65.95	4 of 48 - Sale <sup>25</sup>
	2.000	231.01	12/21/20	164.60	...	66.41	5 of 48 - Sale <sup>25</sup>
	3.000	346.52	12/21/20	278.58	...	67.94	6 of 48 - Sale <sup>25</sup>
	3.000	346.52	12/21/20	249.02	...	97.50	7 of 48 - Sale <sup>25</sup>
	3.000	346.52	12/21/20	248.81	...	97.71	8 of 48 - Sale <sup>25</sup>
	3.000	346.52	12/21/20	248.36	...	98.16	9 of 48 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	3.000	346.52	12/21/20	248.26	...	98.26	10 of 48 - Sale <sup>25</sup>
	3.000	346.52	12/21/20	248.00	...	98.52	11 of 48 - Sale <sup>25</sup>
	3.000	346.52	12/21/20	246.75	...	99.77	12 of 48 - Sale <sup>25</sup>
	4.000	462.03	12/21/20	349.54	...	112.49	13 of 48 - Sale <sup>25</sup>
	5.000	577.54	12/21/20	458.75	...	118.79	14 of 48 - Sale <sup>25</sup>
	5.000	577.54	12/21/20	433.45	...	144.09	15 of 48 - Sale <sup>25</sup>
	5.000	577.54	12/21/20	415.05	...	162.49	16 of 48 - Sale <sup>25</sup>
	10.000	1,155.08	12/21/20	970.00	...	185.08	17 of 48 - Sale <sup>25</sup>
	10.000	1,155.08	12/21/20	970.00	...	185.08	18 of 48 - Sale <sup>25</sup>
	6.000	693.04	12/21/20	507.00	...	186.04	19 of 48 - Sale <sup>25</sup>
	6.000	693.04	12/21/20	496.54	...	196.50	20 of 48 - Sale <sup>25</sup>
	20.000	2,310.15	12/21/20	2,100.00	...	210.15	21 of 48 - Sale <sup>25</sup>
	10.000	1,155.07	12/21/20	915.00	...	240.07	22 of 48 - Sale <sup>25</sup>
	10.000	1,155.07	12/21/20	912.50	...	242.57	23 of 48 - Sale <sup>25</sup>
	10.000	1,155.07	12/21/20	910.00	...	245.07	24 of 48 - Sale <sup>25</sup>
	8.000	924.06	12/21/20	660.24	...	263.82	25 of 48 - Sale <sup>25</sup>
	25.000	2,887.69	12/21/20	2,606.25	...	281.44	26 of 48 - Sale <sup>25</sup>
	10.000	1,155.07	12/21/20	870.00	...	285.07	27 of 48 - Sale <sup>25</sup>
	10.000	1,155.07	12/21/20	867.50	...	287.57	28 of 48 - Sale <sup>25</sup>
	25.000	2,887.68	12/21/20	2,600.00	...	287.68	29 of 48 - Sale <sup>25</sup>
	10.000	1,155.07	12/21/20	865.00	...	290.07	30 of 48 - Sale <sup>25</sup>
	11.000	1,270.58	12/21/20	905.30	...	365.28	31 of 48 - Sale <sup>25</sup>
	15.000	1,732.61	12/21/20	1,280.55	...	452.06	32 of 48 - Sale <sup>25</sup>
	23.000	2,656.67	12/21/20	1,895.20	...	761.47	33 of 48 - Sale <sup>25</sup>
	24.000	2,772.18	12/21/20	1,992.00	...	780.18	34 of 48 - Sale <sup>25</sup>
	25.000	2,887.68	12/21/20	2,061.25	...	826.43	35 of 48 - Sale <sup>25</sup>
	34.000	3,927.26	12/21/20	2,798.20	...	1,129.06	36 of 48 - Sale <sup>25</sup>
	10.000	1,150.02	12/23/20	1,149.70	...	0.32	37 of 48 - Sale <sup>25</sup>
	25.000	2,875.06	12/23/20	2,874.25	...	0.81	38 of 48 - Sale <sup>25</sup>
	25.000	2,874.93	12/23/20	2,868.77	...	6.16	39 of 48 - Sale <sup>25</sup>
	25.000	2,875.06	12/23/20	2,868.77	...	6.29	40 of 48 - Sale <sup>25</sup>
	50.000	5,687.87	12/23/20	5,675.00	...	12.87	41 of 48 - Sale <sup>25</sup>
	3.000	349.51	12/23/20	315.00	...	34.51	42 of 48 - Sale <sup>25</sup>
	50.000	5,687.87	12/23/20	5,650.00	...	37.87	43 of 48 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	50.000	5,687.87	12/23/20	5,650.00	...	37.87	44 of 48 - Sale <sup>25</sup>
	7.000	815.51	12/23/20	735.00	...	80.51	45 of 48 - Sale <sup>25</sup>
	50.000	5,750.11	12/23/20	5,500.00	...	250.11	46 of 48 - Sale <sup>25</sup>
	50.000	5,750.12	12/23/20	5,397.50	...	352.62	47 of 48 - Sale <sup>25</sup>
	40.000	4,600.09	12/23/20	4,200.00	...	400.09	48 of 48 - Sale <sup>25</sup>
12/23/20	735.000	84,531.58	Various	74,165.02	...	10,366.56	Total of 48 transactions
86 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	50.000	5,749.86	12/23/20	5,850.00	100.14 W	0.00	1 of 86 - Sale <sup>25</sup>
	25.000	2,874.93	12/23/20	2,896.25	21.32 W	0.00	2 of 86 - Sale <sup>25</sup>
	25.000	2,874.93	12/23/20	2,925.00	50.07 W	0.00	3 of 86 - Sale <sup>25</sup>
	5.000	574.99	12/23/20	587.50	12.51 W	0.00	4 of 86 - Sale <sup>25</sup>
	50.000	5,687.87	12/23/20	5,837.64	149.77 W	0.00	5 of 86 - Sale <sup>25</sup>
	4.000	455.03	12/23/20	461.41	6.38 W	0.00	6 of 86 - Sale <sup>25</sup>
	4.000	455.03	12/23/20	464.01	8.98 W	0.00	7 of 86 - Sale <sup>25</sup>
	21.000	2,388.90	12/23/20	2,411.91	23.01 W	0.00	8 of 86 - Sale <sup>25</sup>
	5.000	568.79	12/23/20	582.51	13.72 W	0.00	9 of 86 - Sale <sup>25</sup>
	21.000	2,388.90	12/23/20	2,436.06	47.16 W	0.00	10 of 86 - Sale <sup>25</sup>
	24.000	2,730.18	12/23/20	2,736.00	5.82 W	0.00	11 of 86 - Sale <sup>25</sup>
	6.000	682.54	12/23/20	686.73	4.19 W	0.00	12 of 86 - Sale <sup>25</sup>
	10.000	1,137.57	12/23/20	1,157.50	19.93 W	0.00	13 of 86 - Sale <sup>25</sup>
	10.000	1,137.57	12/23/20	1,158.50	20.93 W	0.00	14 of 86 - Sale <sup>25</sup>
	20.000	2,275.15	12/23/20	2,335.00	59.85 W	0.00	15 of 86 - Sale <sup>25</sup>
	10.000	1,137.57	12/23/20	1,147.50	9.93 W	0.00	16 of 86 - Sale <sup>25</sup>
	10.000	1,137.57	12/23/20	1,145.00	7.43 W	0.00	17 of 86 - Sale <sup>25</sup>
	10.000	1,137.58	12/23/20	1,142.50	4.92 W	0.00	18 of 86 - Sale <sup>25</sup>
	50.000	5,603.38	12/23/20	5,849.77	246.39 W	0.00	19 of 86 - Sale <sup>25</sup>
	4.000	448.27	12/23/20	461.38	13.11 W	0.00	20 of 86 - Sale <sup>25</sup>
	4.000	448.27	12/23/20	463.98	15.71 W	0.00	21 of 86 - Sale <sup>25</sup>
	21.000	2,353.42	12/23/20	2,411.76	58.34 W	0.00	22 of 86 - Sale <sup>25</sup>
	21.000	2,353.42	12/23/20	2,435.91	82.49 W	0.00	23 of 86 - Sale <sup>25</sup>
	5.000	560.34	12/23/20	581.72	21.38 W	0.00	24 of 86 - Sale <sup>25</sup>
	5.000	560.34	12/23/20	578.47	18.13 W	0.00	25 of 86 - Sale <sup>25</sup>
	6.000	672.41	12/23/20	685.79	13.38 W	0.00	26 of 86 - Sale <sup>25</sup>
	10.000	1,120.68	12/23/20	1,155.93	35.25 W	0.00	27 of 86 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	24.000	2,689.63	12/23/20	2,732.22	42.59 W	0.00	28 of 86 - Sale <sup>25</sup>
	5.000	560.34	12/23/20	577.96	17.62 W	0.00	29 of 86 - Sale <sup>25</sup>
	5.000	560.34	12/23/20	569.96	9.62 W	0.00	30 of 86 - Sale <sup>25</sup>
	10.000	1,120.68	12/23/20	1,144.93	24.25 W	0.00	31 of 86 - Sale <sup>25</sup>
	10.000	1,120.68	12/23/20	1,142.43	21.75 W	0.00	32 of 86 - Sale <sup>25</sup>
	20.000	2,241.35	12/23/20	2,329.85	88.50 W	0.00	33 of 86 - Sale <sup>25</sup>
	2.000	224.14	12/23/20	227.68	3.54 W	0.00	34 of 86 - Sale <sup>25</sup>
	3.000	336.20	12/23/20	341.53	5.33 W	0.00	35 of 86 - Sale <sup>25</sup>
	45.000	5,043.04	12/23/20	5,100.75	57.71 W	0.00	36 of 86 - Sale <sup>25</sup>
	23.000	2,577.56	12/23/20	2,604.75	27.19 W	0.00	37 of 86 - Sale <sup>25</sup>
	27.000	3,025.82	12/23/20	3,057.75	31.93 W	0.00	38 of 86 - Sale <sup>25</sup>
	45.000	5,043.04	12/23/20	5,073.75	30.71 W	0.00	39 of 86 - Sale <sup>25</sup>
	50.000	5,462.37	12/23/20	5,821.39	359.02 W	0.00	40 of 86 - Sale <sup>25</sup>
	4.000	436.99	12/23/20	458.71	21.72 W	0.00	41 of 86 - Sale <sup>25</sup>
	4.000	436.99	12/23/20	461.31	24.32 W	0.00	42 of 86 - Sale <sup>25</sup>
	21.000	2,294.20	12/23/20	2,397.74	103.54 W	0.00	43 of 86 - Sale <sup>25</sup>
	21.000	2,294.20	12/23/20	2,421.89	127.69 W	0.00	44 of 86 - Sale <sup>25</sup>
	1.000	109.25	12/23/20	116.03	6.78 W	0.00	45 of 86 - Sale <sup>25</sup>
	4.000	436.99	12/23/20	464.10	27.11 W	0.00	46 of 86 - Sale <sup>25</sup>
	5.000	546.24	12/23/20	576.88	30.64 W	0.00	47 of 86 - Sale <sup>25</sup>
	6.000	655.48	12/23/20	683.88	28.40 W	0.00	48 of 86 - Sale <sup>25</sup>
	10.000	1,092.47	12/23/20	1,152.75	60.28 W	0.00	49 of 86 - Sale <sup>25</sup>
	24.000	2,621.94	12/23/20	2,724.59	102.65 W	0.00	50 of 86 - Sale <sup>25</sup>
	5.000	546.24	12/23/20	573.87	27.63 W	0.00	51 of 86 - Sale <sup>25</sup>
	5.000	546.24	12/23/20	565.87	19.63 W	0.00	52 of 86 - Sale <sup>25</sup>
	10.000	1,092.47	12/23/20	1,136.75	44.28 W	0.00	53 of 86 - Sale <sup>25</sup>
	10.000	1,092.47	12/23/20	1,134.25	41.78 W	0.00	54 of 86 - Sale <sup>25</sup>
	20.000	2,184.95	12/23/20	2,313.50	128.55 W	0.00	55 of 86 - Sale <sup>25</sup>
	2.000	218.49	12/23/20	225.54	7.05 W	0.00	56 of 86 - Sale <sup>25</sup>
	3.000	327.74	12/23/20	338.33	10.59 W	0.00	57 of 86 - Sale <sup>25</sup>
	45.000	4,916.14	12/23/20	5,052.71	136.57 W	0.00	58 of 86 - Sale <sup>25</sup>
	23.000	2,512.69	12/23/20	2,568.69	56.00 W	0.00	59 of 86 - Sale <sup>25</sup>
	27.000	2,949.68	12/23/20	3,015.43	65.75 W	0.00	60 of 86 - Sale <sup>25</sup>
	5.000	550.54	12/23/20	585.05	34.51 W	0.00	61 of 86 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	45.000	4,954.83	12/23/20	4,973.06	18.23 W	0.00	62 of 86 - Sale <sup>25</sup>
	5.000	550.54	12/23/20	592.55	42.01 W	0.00	63 of 86 - Sale <sup>25</sup>
	1.000	110.11	12/23/20	117.56	7.45 W	0.00	64 of 86 - Sale <sup>25</sup>
	4.000	440.43	12/23/20	472.23	31.80 W	0.00	65 of 86 - Sale <sup>25</sup>
	40.000	4,404.30	12/23/20	4,792.30	388.00 W	0.00	66 of 86 - Sale <sup>25</sup>
	1.000	110.11	12/23/20	119.68	9.57 W	0.00	67 of 86 - Sale <sup>25</sup>
	4.000	440.43	12/23/20	475.92	35.49 W	0.00	68 of 86 - Sale <sup>25</sup>
	4.000	440.43	12/23/20	478.71	38.28 W	0.00	69 of 86 - Sale <sup>25</sup>
	20.000	2,202.15	12/23/20	2,356.61	154.46 W	0.00	70 of 86 - Sale <sup>25</sup>
	21.000	2,312.26	12/23/20	2,498.59	186.33 W	0.00	71 of 86 - Sale <sup>25</sup>
	5.000	550.54	12/23/20	594.39	43.85 W	0.00	72 of 86 - Sale <sup>25</sup>
	5.000	550.54	12/23/20	591.38	40.84 W	0.00	73 of 86 - Sale <sup>25</sup>
	6.000	660.64	12/23/20	704.90	44.26 W	0.00	74 of 86 - Sale <sup>25</sup>
	10.000	1,101.07	12/23/20	1,187.78	86.71 W	0.00	75 of 86 - Sale <sup>25</sup>
	24.000	2,642.58	12/23/20	2,808.65	166.07 W	0.00	76 of 86 - Sale <sup>25</sup>
	2.000	220.21	12/23/20	232.05	11.84 W	0.00	77 of 86 - Sale <sup>25</sup>
	3.000	330.32	12/23/20	348.09	17.77 W	0.00	78 of 86 - Sale <sup>25</sup>
	5.000	550.54	12/23/20	582.13	31.59 W	0.00	79 of 86 - Sale <sup>25</sup>
	10.000	1,101.07	12/23/20	1,169.28	68.21 W	0.00	80 of 86 - Sale <sup>25</sup>
	10.000	1,101.07	12/23/20	1,166.78	65.71 W	0.00	81 of 86 - Sale <sup>25</sup>
	20.000	2,202.15	12/23/20	2,378.55	176.40 W	0.00	82 of 86 - Sale <sup>25</sup>
	5.000	550.54	12/23/20	570.67	20.13 W	0.00	83 of 86 - Sale <sup>25</sup>
	45.000	4,954.83	12/23/20	5,163.07	208.24 W	0.00	84 of 86 - Sale <sup>25</sup>
	2.000	220.22	12/23/20	228.27	8.05 W	0.00	85 of 86 - Sale <sup>25</sup>
	18.000	1,981.93	12/23/20	2,054.43	72.50 W	0.00	86 of 86 - Sale <sup>25</sup>
12/23/20	1,275.000	142,066.92	Various	146,936.18	4,869.26 W	0.00	Total of 86 transactions
	83 transactions for 12/29/20. Total proceeds and cost reported to the IRS.						
	5.000	506.49	12/23/20	570.68	64.19 W	0.00	1 of 83 - Sale <sup>25</sup>
	3.000	303.89	12/23/20	342.25	38.36 W	0.00	2 of 83 - Sale <sup>25</sup>
	17.000	1,722.06	12/23/20	1,935.19	213.13 W	0.00	3 of 83 - Sale <sup>25</sup>
	33.000	3,342.82	12/23/20	3,689.57	346.75 W	0.00	4 of 83 - Sale <sup>25</sup>
	5.000	506.49	12/23/20	591.01	84.52 W	0.00	5 of 83 - Sale <sup>25</sup>
	5.000	506.49	12/23/20	598.51	92.02 W	0.00	6 of 83 - Sale <sup>25</sup>
	12.000	1,215.57	12/23/20	1,340.46	124.89 W	0.00	7 of 83 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	28.000	2,836.33	12/23/20	3,388.00	551.67 W	0.00	8 of 83 - Sale <sup>25</sup>
	7.000	709.08	12/23/20	843.85	134.77 W	0.00	9 of 83 - Sale <sup>25</sup>
	1.000	101.30	12/23/20	118.30	17.00 W	0.00	10 of 83 - Sale <sup>25</sup>
	4.000	405.19	12/23/20	475.20	70.01 W	0.00	11 of 83 - Sale <sup>25</sup>
	4.000	405.19	12/23/20	478.89	73.70 W	0.00	12 of 83 - Sale <sup>25</sup>
	5.000	506.49	12/23/20	602.75	96.26 W	0.00	13 of 83 - Sale <sup>25</sup>
	9.000	911.68	12/23/20	1,077.51	165.83 W	0.00	14 of 83 - Sale <sup>25</sup>
	20.000	2,025.95	12/23/20	2,371.46	345.51 W	0.00	15 of 83 - Sale <sup>25</sup>
	9.000	911.68	12/23/20	1,076.60	164.92 W	0.00	16 of 83 - Sale <sup>25</sup>
	1.000	101.30	12/23/20	120.32	19.02 W	0.00	17 of 83 - Sale <sup>25</sup>
	3.000	303.89	12/23/20	358.87	54.98 W	0.00	18 of 83 - Sale <sup>25</sup>
	4.000	405.19	12/23/20	481.28	76.09 W	0.00	19 of 83 - Sale <sup>25</sup>
	6.000	607.79	12/23/20	706.02	98.23 W	0.00	20 of 83 - Sale <sup>25</sup>
	3.000	303.89	12/23/20	358.26	54.37 W	0.00	21 of 83 - Sale <sup>25</sup>
	6.000	607.79	12/23/20	708.76	100.97 W	0.00	22 of 83 - Sale <sup>25</sup>
	18.000	1,823.36	12/23/20	2,118.05	294.69 W	0.00	23 of 83 - Sale <sup>25</sup>
	3.000	303.89	12/23/20	351.81	47.92 W	0.00	24 of 83 - Sale <sup>25</sup>
	5.000	506.49	12/23/20	597.35	90.86 W	0.00	25 of 83 - Sale <sup>25</sup>
	5.000	506.49	12/23/20	594.34	87.85 W	0.00	26 of 83 - Sale <sup>25</sup>
	7.000	709.08	12/23/20	835.60	126.52 W	0.00	27 of 83 - Sale <sup>25</sup>
	10.000	1,012.98	12/23/20	1,175.21	162.23 W	0.00	28 of 83 - Sale <sup>25</sup>
	20.000	2,025.95	12/23/20	2,390.40	364.45 W	0.00	29 of 83 - Sale <sup>25</sup>
	2.000	202.60	12/23/20	233.44	30.84 W	0.00	30 of 83 - Sale <sup>25</sup>
	3.000	303.89	12/23/20	350.17	46.28 W	0.00	31 of 83 - Sale <sup>25</sup>
	5.000	506.49	12/23/20	585.59	79.10 W	0.00	32 of 83 - Sale <sup>25</sup>
	7.000	709.08	12/23/20	821.60	112.52 W	0.00	33 of 83 - Sale <sup>25</sup>
	33.000	3,342.82	12/23/20	3,809.11	466.29 W	0.00	34 of 83 - Sale <sup>25</sup>
	5.000	506.49	12/23/20	574.13	67.64 W	0.00	35 of 83 - Sale <sup>25</sup>
	9.000	911.68	12/23/20	1,033.45	121.77 W	0.00	36 of 83 - Sale <sup>25</sup>
	12.000	1,215.57	12/23/20	1,385.13	169.56 W	0.00	37 of 83 - Sale <sup>25</sup>
	1.000	100.13	12/23/20	114.83	14.70 W	0.00	38 of 83 - Sale <sup>25</sup>
	3.000	300.40	12/29/20	340.28	39.88 W	0.00	39 of 83 - Sale <sup>25</sup>
	5.000	500.66	12/29/20	567.39	66.73 W	0.00	40 of 83 - Sale <sup>25</sup>
	14.000	1,401.86	12/29/20	1,556.07	154.21 W	0.00	41 of 83 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
17.000		1,702.25	12/29/20	1,924.01	221.76 W	0.00	42 of 83 - Sale <sup>25</sup>
2.000		200.27	12/29/20	209.33	9.06 W	0.00	43 of 83 - Sale <sup>25</sup>
8.000		801.06	12/29/20	837.34	36.28 W	0.00	44 of 83 - Sale <sup>25</sup>
5.000		500.66	12/29/20	588.67	88.01 W	0.00	45 of 83 - Sale <sup>25</sup>
12.000		1,201.59	12/29/20	1,334.85	133.26 W	0.00	46 of 83 - Sale <sup>25</sup>
19.000		1,902.52	12/29/20	2,115.41	212.89 W	0.00	47 of 83 - Sale <sup>25</sup>
5.000		500.66	12/29/20	596.17	95.51 W	0.00	48 of 83 - Sale <sup>25</sup>
9.000		901.19	12/29/20	1,084.79	183.60 W	0.00	49 of 83 - Sale <sup>25</sup>
1.000		100.13	12/29/20	118.40	18.27 W	0.00	50 of 83 - Sale <sup>25</sup>
4.000		400.53	12/29/20	475.63	75.10 W	0.00	51 of 83 - Sale <sup>25</sup>
5.000		500.66	12/29/20	603.29	102.63 W	0.00	52 of 83 - Sale <sup>25</sup>
7.000		700.93	12/29/20	844.60	143.67 W	0.00	53 of 83 - Sale <sup>25</sup>
14.000		1,401.86	12/29/20	1,661.53	259.67 W	0.00	54 of 83 - Sale <sup>25</sup>
19.000		1,902.52	12/29/20	2,301.05	398.53 W	0.00	55 of 83 - Sale <sup>25</sup>
4.000		400.53	12/29/20	479.55	79.02 W	0.00	56 of 83 - Sale <sup>25</sup>
6.000		600.80	12/29/20	712.42	111.62 W	0.00	57 of 83 - Sale <sup>25</sup>
6.000		600.80	12/29/20	718.72	117.92 W	0.00	58 of 83 - Sale <sup>25</sup>
9.000		901.19	12/29/20	1,078.99	177.80 W	0.00	59 of 83 - Sale <sup>25</sup>
1.000		100.13	12/29/20	120.49	20.36 W	0.00	60 of 83 - Sale <sup>25</sup>
3.000		300.40	12/29/20	359.37	58.97 W	0.00	61 of 83 - Sale <sup>25</sup>
3.000		300.40	12/29/20	359.38	58.98 W	0.00	62 of 83 - Sale <sup>25</sup>
4.000		400.53	12/29/20	481.95	81.42 W	0.00	63 of 83 - Sale <sup>25</sup>
4.000		400.53	12/29/20	471.35	70.82 W	0.00	64 of 83 - Sale <sup>25</sup>
6.000		600.80	12/29/20	707.03	106.23 W	0.00	65 of 83 - Sale <sup>25</sup>
4.000		400.53	12/29/20	471.35	70.82 W	0.00	66 of 83 - Sale <sup>25</sup>
3.000		300.40	12/29/20	357.17	56.77 W	0.00	67 of 83 - Sale <sup>25</sup>
5.000		500.66	12/29/20	595.53	94.87 W	0.00	68 of 83 - Sale <sup>25</sup>
5.000		500.66	12/29/20	592.53	91.87 W	0.00	69 of 83 - Sale <sup>25</sup>
6.000		600.80	12/29/20	706.58	105.78 W	0.00	70 of 83 - Sale <sup>25</sup>
7.000		700.93	12/29/20	833.07	132.14 W	0.00	71 of 83 - Sale <sup>25</sup>
10.000		1,001.33	12/29/20	1,173.06	171.73 W	0.00	72 of 83 - Sale <sup>25</sup>
14.000		1,401.86	12/29/20	1,668.21	266.35 W	0.00	73 of 83 - Sale <sup>25</sup>
2.000		200.26	12/29/20	231.95	31.69 W	0.00	74 of 83 - Sale <sup>25</sup>
3.000		300.40	12/29/20	349.59	49.19 W	0.00	75 of 83 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	3.000	300.40	12/29/20	347.95	47.55 W	0.00	76 of 83 - Sale <sup>25</sup>
	5.000	500.66	12/29/20	581.87	81.21 W	0.00	77 of 83 - Sale <sup>25</sup>
	6.000	600.80	12/29/20	712.66	111.86 W	0.00	78 of 83 - Sale <sup>25</sup>
	7.000	700.93	12/29/20	816.40	115.47 W	0.00	79 of 83 - Sale <sup>25</sup>
	10.000	1,001.33	12/29/20	1,167.78	166.45 W	0.00	80 of 83 - Sale <sup>25</sup>
	14.000	1,401.85	12/29/20	1,605.59	203.74 W	0.00	81 of 83 - Sale <sup>25</sup>
	6.000	600.80	12/29/20	687.78	86.98 W	0.00	82 of 83 - Sale <sup>25</sup>
	19.000	1,902.52	12/29/20	2,177.97	275.45 W	0.00	83 of 83 - Sale <sup>25</sup>
12/29/20	659.000	66,376.52	Various	76,929.05	10,552.53 W	0.00	Total of 83 transactions
	201 transactions for 12/30/20. Total proceeds and cost reported to the IRS.						
	5.000	509.98	12/29/20	571.90	61.92 W	0.00	1 of 201 - Sale <sup>25</sup>
	1.000	102.00	12/29/20	114.95	12.95 W	0.00	2 of 201 - Sale <sup>25</sup>
	3.000	305.99	12/29/20	340.63	34.64 W	0.00	3 of 201 - Sale <sup>25</sup>
	5.000	509.99	12/29/20	568.89	58.90 W	0.00	4 of 201 - Sale <sup>25</sup>
	5.000	509.99	12/29/20	567.98	57.99 W	0.00	5 of 201 - Sale <sup>25</sup>
	1.000	102.00	12/29/20	114.38	12.38 W	0.00	6 of 201 - Sale <sup>25</sup>
	9.000	917.98	12/29/20	1,024.02	106.04 W	0.00	7 of 201 - Sale <sup>25</sup>
	11.000	1,121.97	12/29/20	1,224.90	102.93 W	0.00	8 of 201 - Sale <sup>25</sup>
	14.000	1,427.96	12/29/20	1,557.71	129.75 W	0.00	9 of 201 - Sale <sup>25</sup>
	17.000	1,733.96	12/29/20	1,926.01	192.05 W	0.00	10 of 201 - Sale <sup>25</sup>
	19.000	1,937.95	12/29/20	2,117.64	179.69 W	0.00	11 of 201 - Sale <sup>25</sup>
	2.000	204.00	12/29/20	209.56	5.56 W	0.00	12 of 201 - Sale <sup>25</sup>
	8.000	815.98	12/29/20	838.28	22.30 W	0.00	13 of 201 - Sale <sup>25</sup>
	1.000	102.00	12/29/20	111.61	9.61 W	0.00	14 of 201 - Sale <sup>25</sup>
	4.000	407.99	12/29/20	472.41	64.42 W	0.00	15 of 201 - Sale <sup>25</sup>
	1.000	102.00	12/29/20	118.10	16.10 W	0.00	16 of 201 - Sale <sup>25</sup>
	5.000	509.99	12/29/20	598.01	88.02 W	0.00	17 of 201 - Sale <sup>25</sup>
	5.000	509.99	12/29/20	607.38	97.39 W	0.00	18 of 201 - Sale <sup>25</sup>
	9.000	917.98	12/29/20	1,088.10	170.12 W	0.00	19 of 201 - Sale <sup>25</sup>
	5.000	509.98	12/29/20	614.88	104.90 W	0.00	20 of 201 - Sale <sup>25</sup>
	1.000	102.00	12/29/20	122.97	20.97 W	0.00	21 of 201 - Sale <sup>25</sup>
	1.000	102.00	12/29/20	120.27	18.27 W	0.00	22 of 201 - Sale <sup>25</sup>
	4.000	407.99	12/29/20	483.10	75.11 W	0.00	23 of 201 - Sale <sup>25</sup>
	5.000	509.99	12/29/20	612.63	102.64 W	0.00	24 of 201 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	7.000	713.98	12/29/20	857.67	143.69 W	0.00	25 of 201 - Sale <sup>25</sup>
	8.000	815.98	12/29/20	983.80	167.82 W	0.00	26 of 201 - Sale <sup>25</sup>
	1.000	102.00	12/29/20	120.55	18.55 W	0.00	27 of 201 - Sale <sup>25</sup>
	4.000	407.99	12/29/20	487.02	79.03 W	0.00	28 of 201 - Sale <sup>25</sup>
	6.000	611.98	12/29/20	723.62	111.64 W	0.00	29 of 201 - Sale <sup>25</sup>
	1.000	102.00	12/29/20	121.76	19.76 W	0.00	30 of 201 - Sale <sup>25</sup>
	13.000	1,325.97	12/29/20	1,567.12	241.15 W	0.00	31 of 201 - Sale <sup>25</sup>
	1.000	102.00	12/29/20	122.36	20.36 W	0.00	32 of 201 - Sale <sup>25</sup>
	3.000	305.99	12/29/20	364.97	58.98 W	0.00	33 of 201 - Sale <sup>25</sup>
	3.000	305.99	12/29/20	364.98	58.99 W	0.00	34 of 201 - Sale <sup>25</sup>
	4.000	407.99	12/29/20	489.42	81.43 W	0.00	35 of 201 - Sale <sup>25</sup>
	4.000	407.99	12/29/20	478.82	70.83 W	0.00	36 of 201 - Sale <sup>25</sup>
	4.000	407.99	12/29/20	478.82	70.83 W	0.00	37 of 201 - Sale <sup>25</sup>
	5.000	509.99	12/29/20	595.87	85.88 W	0.00	38 of 201 - Sale <sup>25</sup>
	6.000	611.99	12/29/20	729.92	117.93 W	0.00	39 of 201 - Sale <sup>25</sup>
	6.000	611.99	12/29/20	718.23	106.24 W	0.00	40 of 201 - Sale <sup>25</sup>
	8.000	815.98	12/29/20	974.04	158.06 W	0.00	41 of 201 - Sale <sup>25</sup>
	3.000	305.99	12/29/20	359.77	53.78 W	0.00	42 of 201 - Sale <sup>25</sup>
	5.000	509.99	12/29/20	590.86	80.87 W	0.00	43 of 201 - Sale <sup>25</sup>
	6.000	611.98	12/29/20	711.78	99.80 W	0.00	44 of 201 - Sale <sup>25</sup>
	3.000	305.99	12/29/20	360.08	54.09 W	0.00	45 of 201 - Sale <sup>25</sup>
	5.000	509.99	12/29/20	599.87	89.88 W	0.00	46 of 201 - Sale <sup>25</sup>
	5.000	509.99	12/29/20	596.87	86.88 W	0.00	47 of 201 - Sale <sup>25</sup>
	7.000	713.98	12/29/20	839.14	125.16 W	0.00	48 of 201 - Sale <sup>25</sup>
	3.000	305.99	12/29/20	352.93	46.94 W	0.00	49 of 201 - Sale <sup>25</sup>
	6.000	611.99	12/29/20	717.86	105.87 W	0.00	50 of 201 - Sale <sup>25</sup>
	11.000	1,121.97	12/29/20	1,320.27	198.30 W	0.00	51 of 201 - Sale <sup>25</sup>
	2.000	204.00	12/29/20	233.69	29.69 W	0.00	52 of 201 - Sale <sup>25</sup>
	3.000	305.99	12/29/20	352.19	46.20 W	0.00	53 of 201 - Sale <sup>25</sup>
	3.000	305.99	12/29/20	350.55	44.56 W	0.00	54 of 201 - Sale <sup>25</sup>
	5.000	509.99	12/29/20	586.21	76.22 W	0.00	55 of 201 - Sale <sup>25</sup>
	7.000	713.98	12/29/20	823.52	109.54 W	0.00	56 of 201 - Sale <sup>25</sup>
	7.000	713.98	12/29/20	822.47	108.49 W	0.00	57 of 201 - Sale <sup>25</sup>
	13.000	1,325.97	12/29/20	1,502.19	176.22 W	0.00	58 of 201 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	1.000	103.32	12/30/20	118.90	15.58 W	0.00	59 of 201 - Sale <sup>25</sup>
	1.000	103.32	12/30/20	116.24	12.92 W	0.00	60 of 201 - Sale <sup>25</sup>
	1.000	103.32	12/30/20	116.81	13.49 W	0.00	61 of 201 - Sale <sup>25</sup>
	4.000	413.27	12/30/20	461.83	48.56 W	0.00	62 of 201 - Sale <sup>25</sup>
	5.000	516.59	12/30/20	581.22	64.63 W	0.00	63 of 201 - Sale <sup>25</sup>
	5.000	516.59	12/30/20	578.20	61.61 W	0.00	64 of 201 - Sale <sup>25</sup>
	9.000	929.85	12/30/20	1,040.78	110.93 W	0.00	65 of 201 - Sale <sup>25</sup>
	4.000	413.27	12/30/20	473.43	60.16 W	0.00	66 of 201 - Sale <sup>25</sup>
	15.000	1,549.76	12/30/20	1,775.36	225.60 W	0.00	67 of 201 - Sale <sup>25</sup>
	6.000	619.78	12/30/20	710.14	90.36 W	0.00	68 of 201 - Sale <sup>25</sup>
	1.000	103.30	12/30/20	115.10	11.80 W	0.00	69 of 201 - Sale <sup>25</sup>
	3.000	309.89	12/30/20	345.14	35.25 W	0.00	70 of 201 - Sale <sup>25</sup>
	14.000	1,446.16	12/30/20	1,578.75	132.59 W	0.00	71 of 201 - Sale <sup>25</sup>
	13.000	1,342.87	12/30/20	1,468.44	125.57 W	0.00	72 of 201 - Sale <sup>25</sup>
	17.000	1,756.06	12/30/20	1,951.55	195.49 W	0.00	73 of 201 - Sale <sup>25</sup>
	1.000	101.17	12/30/20	112.96	11.79 W	0.00	74 of 201 - Sale <sup>25</sup>
	5.000	505.84	12/30/20	564.79	58.95 W	0.00	75 of 201 - Sale <sup>25</sup>
	11.000	1,112.84	12/30/20	1,241.43	128.59 W	0.00	76 of 201 - Sale <sup>25</sup>
	8.000	809.34	12/30/20	850.30	40.96 W	0.00	77 of 201 - Sale <sup>25</sup>
	1.000	101.17	12/30/20	112.50	11.33 W	0.00	78 of 201 - Sale <sup>25</sup>
	1.000	101.17	12/30/20	118.99	17.82 W	0.00	79 of 201 - Sale <sup>25</sup>
	3.000	303.50	12/30/20	367.09	63.59 W	0.00	80 of 201 - Sale <sup>25</sup>
	4.000	404.67	12/30/20	475.96	71.29 W	0.00	81 of 201 - Sale <sup>25</sup>
	5.000	505.84	12/30/20	602.44	96.60 W	0.00	82 of 201 - Sale <sup>25</sup>
	9.000	910.51	12/30/20	1,096.08	185.57 W	0.00	83 of 201 - Sale <sup>25</sup>
	1.000	101.17	12/30/20	105.67	4.50 W	0.00	84 of 201 - Sale <sup>25</sup>
	1.000	98.17	12/30/20	105.66	7.49 W	0.00	85 of 201 - Sale <sup>25</sup>
	1.000	98.17	12/30/20	120.92	22.75 W	0.00	86 of 201 - Sale <sup>25</sup>
	2.000	196.35	12/30/20	238.86	42.51 W	0.00	87 of 201 - Sale <sup>25</sup>
	5.000	490.86	12/30/20	604.65	113.79 W	0.00	88 of 201 - Sale <sup>25</sup>
	7.000	687.21	12/30/20	843.34	156.13 W	0.00	89 of 201 - Sale <sup>25</sup>
	8.000	785.38	12/30/20	967.42	182.04 W	0.00	90 of 201 - Sale <sup>25</sup>
	1.000	98.40	12/30/20	120.48	22.08 W	0.00	91 of 201 - Sale <sup>25</sup>
	1.000	98.40	12/30/20	116.90	18.50 W	0.00	92 of 201 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	1.000	98.40	12/30/20	117.18	18.78 W	0.00	93 of 201 - Sale <sup>25</sup>
	1.000	98.40	12/30/20	118.39	19.99 W	0.00	94 of 201 - Sale <sup>25</sup>
	1.000	98.40	12/30/20	118.99	20.59 W	0.00	95 of 201 - Sale <sup>25</sup>
	3.000	295.19	12/30/20	354.87	59.68 W	0.00	96 of 201 - Sale <sup>25</sup>
	3.000	295.19	12/30/20	354.88	59.69 W	0.00	97 of 201 - Sale <sup>25</sup>
	4.000	393.59	12/30/20	476.64	83.05 W	0.00	98 of 201 - Sale <sup>25</sup>
	4.000	393.59	12/30/20	469.64	76.05 W	0.00	99 of 201 - Sale <sup>25</sup>
	4.000	393.59	12/30/20	473.56	79.97 W	0.00	100 of 201 - Sale <sup>25</sup>
	4.000	393.59	12/30/20	475.96	82.37 W	0.00	101 of 201 - Sale <sup>25</sup>
	4.000	393.59	12/30/20	465.36	71.77 W	0.00	102 of 201 - Sale <sup>25</sup>
	4.000	393.59	12/30/20	465.36	71.77 W	0.00	103 of 201 - Sale <sup>25</sup>
	5.000	491.99	12/30/20	579.04	87.05 W	0.00	104 of 201 - Sale <sup>25</sup>
	5.000	491.99	12/30/20	574.02	82.03 W	0.00	105 of 201 - Sale <sup>25</sup>
	6.000	590.39	12/30/20	703.43	113.04 W	0.00	106 of 201 - Sale <sup>25</sup>
	6.000	590.38	12/30/20	709.72	119.34 W	0.00	107 of 201 - Sale <sup>25</sup>
	6.000	590.39	12/30/20	698.03	107.64 W	0.00	108 of 201 - Sale <sup>25</sup>
	6.000	590.38	12/30/20	691.59	101.21 W	0.00	109 of 201 - Sale <sup>25</sup>
	8.000	787.18	12/30/20	947.11	159.93 W	0.00	110 of 201 - Sale <sup>25</sup>
	13.000	1,279.17	12/30/20	1,523.36	244.19 W	0.00	111 of 201 - Sale <sup>25</sup>
	1.000	98.40	12/30/20	116.56	18.16 W	0.00	112 of 201 - Sale <sup>25</sup>
	2.000	196.80	12/30/20	233.11	36.31 W	0.00	113 of 201 - Sale <sup>25</sup>
	3.000	295.20	12/30/20	349.53	54.33 W	0.00	114 of 201 - Sale <sup>25</sup>
	1.000	98.40	12/30/20	116.61	18.21 W	0.00	115 of 201 - Sale <sup>25</sup>
	4.000	393.59	12/30/20	466.05	72.46 W	0.00	116 of 201 - Sale <sup>25</sup>
	4.000	393.58	12/30/20	467.22	73.64 W	0.00	117 of 201 - Sale <sup>25</sup>
	1.000	98.40	12/30/20	116.21	17.81 W	0.00	118 of 201 - Sale <sup>25</sup>
	1.000	98.40	12/30/20	111.75	13.35 W	0.00	119 of 201 - Sale <sup>25</sup>
	1.000	98.40	12/30/20	112.32	13.92 W	0.00	120 of 201 - Sale <sup>25</sup>
	2.000	196.80	12/30/20	227.35	30.55 W	0.00	121 of 201 - Sale <sup>25</sup>
	3.000	295.19	12/30/20	350.58	55.39 W	0.00	122 of 201 - Sale <sup>25</sup>
	3.000	295.19	12/30/20	343.43	48.24 W	0.00	123 of 201 - Sale <sup>25</sup>
	3.000	295.19	12/30/20	342.69	47.50 W	0.00	124 of 201 - Sale <sup>25</sup>
	3.000	295.19	12/30/20	341.05	45.86 W	0.00	125 of 201 - Sale <sup>25</sup>
	4.000	393.59	12/30/20	443.88	50.29 W	0.00	126 of 201 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	4.000	393.59	12/30/20	464.82	71.23 W	0.00	127 of 201 - Sale <sup>25</sup>
	5.000	491.99	12/30/20	570.37	78.38 W	0.00	128 of 201 - Sale <sup>25</sup>
	5.000	491.99	12/30/20	558.78	66.79 W	0.00	129 of 201 - Sale <sup>25</sup>
	5.000	491.99	12/30/20	555.76	63.77 W	0.00	130 of 201 - Sale <sup>25</sup>
	6.000	590.39	12/30/20	698.85	108.46 W	0.00	131 of 201 - Sale <sup>25</sup>
	7.000	688.78	12/30/20	801.35	112.57 W	0.00	132 of 201 - Sale <sup>25</sup>
	7.000	688.78	12/30/20	800.30	111.52 W	0.00	133 of 201 - Sale <sup>25</sup>
	9.000	885.58	12/30/20	1,000.40	114.82 W	0.00	134 of 201 - Sale <sup>25</sup>
	11.000	1,082.38	12/30/20	1,285.43	203.05 W	0.00	135 of 201 - Sale <sup>25</sup>
	13.000	1,279.17	12/30/20	1,461.01	181.84 W	0.00	136 of 201 - Sale <sup>25</sup>
	1.000	98.40	12/30/20	114.41	16.01 W	0.00	137 of 201 - Sale <sup>25</sup>
	1.000	98.40	12/30/20	113.87	15.47 W	0.00	138 of 201 - Sale <sup>25</sup>
	1.000	98.40	12/30/20	110.63	12.23 W	0.00	139 of 201 - Sale <sup>25</sup>
	3.000	295.19	12/30/20	331.74	36.55 W	0.00	140 of 201 - Sale <sup>25</sup>
	5.000	491.99	12/30/20	541.50	49.51 W	0.00	141 of 201 - Sale <sup>25</sup>
	17.000	1,672.76	12/30/20	1,875.62	202.86 W	0.00	142 of 201 - Sale <sup>25</sup>
	3.000	295.19	12/30/20	341.61	46.42 W	0.00	143 of 201 - Sale <sup>25</sup>
	6.000	590.39	12/30/20	683.35	92.96 W	0.00	144 of 201 - Sale <sup>25</sup>
	15.000	1,475.97	12/30/20	1,708.07	232.10 W	0.00	145 of 201 - Sale <sup>25</sup>
	1.000	98.40	12/30/20	110.66	12.26 W	0.00	146 of 201 - Sale <sup>25</sup>
	2.000	196.79	12/30/20	221.32	24.53 W	0.00	147 of 201 - Sale <sup>25</sup>
	9.000	885.58	12/30/20	975.07	89.49 W	0.00	148 of 201 - Sale <sup>25</sup>
	13.000	1,279.17	12/30/20	1,410.88	131.71 W	0.00	149 of 201 - Sale <sup>25</sup>
	1.000	98.40	12/30/20	110.33	11.93 W	0.00	150 of 201 - Sale <sup>25</sup>
	2.000	196.80	12/30/20	233.65	36.85 W	0.00	151 of 201 - Sale <sup>25</sup>
	3.000	295.19	12/30/20	332.37	37.18 W	0.00	152 of 201 - Sale <sup>25</sup>
	11.000	1,082.37	12/30/20	1,217.59	135.22 W	0.00	153 of 201 - Sale <sup>25</sup>
	7.000	688.78	12/30/20	728.84	40.06 W	0.00	154 of 201 - Sale <sup>25</sup>
	1.000	97.35	12/30/20	104.12	6.77 W	0.00	155 of 201 - Sale <sup>25</sup>
	1.000	97.35	12/30/20	116.66	19.31 W	0.00	156 of 201 - Sale <sup>25</sup>
	2.000	194.70	12/30/20	233.32	38.62 W	0.00	157 of 201 - Sale <sup>25</sup>
	5.000	486.74	12/30/20	590.80	104.06 W	0.00	158 of 201 - Sale <sup>25</sup>
	6.000	584.09	12/30/20	716.75	132.66 W	0.00	159 of 201 - Sale <sup>25</sup>
	1.000	97.35	12/30/20	121.25	23.90 W	0.00	160 of 201 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	1.000	97.35	12/30/20	120.58	23.23 W	0.00	161 of 201 - Sale <sup>25</sup>
	1.000	97.35	12/30/20	117.00	19.65 W	0.00	162 of 201 - Sale <sup>25</sup>
	1.000	97.35	12/30/20	117.28	19.93 W	0.00	163 of 201 - Sale <sup>25</sup>
	1.000	97.35	12/30/20	118.49	21.14 W	0.00	164 of 201 - Sale <sup>25</sup>
	1.000	97.35	12/30/20	119.09	21.74 W	0.00	165 of 201 - Sale <sup>25</sup>
	2.000	194.70	12/30/20	239.51	44.81 W	0.00	166 of 201 - Sale <sup>25</sup>
	3.000	292.04	12/30/20	357.36	65.32 W	0.00	167 of 201 - Sale <sup>25</sup>
	3.000	292.04	12/30/20	359.09	67.05 W	0.00	168 of 201 - Sale <sup>25</sup>
	3.000	292.04	12/30/20	355.18	63.14 W	0.00	169 of 201 - Sale <sup>25</sup>
	3.000	292.04	12/30/20	355.19	63.15 W	0.00	170 of 201 - Sale <sup>25</sup>
	3.000	292.04	12/30/20	349.33	57.29 W	0.00	171 of 201 - Sale <sup>25</sup>
	4.000	389.39	12/30/20	477.05	87.66 W	0.00	172 of 201 - Sale <sup>25</sup>
	4.000	389.39	12/30/20	470.05	80.66 W	0.00	173 of 201 - Sale <sup>25</sup>
	4.000	389.39	12/30/20	473.97	84.58 W	0.00	174 of 201 - Sale <sup>25</sup>
	4.000	389.39	12/30/20	476.37	86.98 W	0.00	175 of 201 - Sale <sup>25</sup>
	5.000	486.74	12/30/20	606.29	119.55 W	0.00	176 of 201 - Sale <sup>25</sup>
	6.000	584.09	12/30/20	704.04	119.95 W	0.00	177 of 201 - Sale <sup>25</sup>
	6.000	584.08	12/30/20	710.34	126.26 W	0.00	178 of 201 - Sale <sup>25</sup>
	6.000	584.09	12/30/20	698.64	114.55 W	0.00	179 of 201 - Sale <sup>25</sup>
	7.000	681.43	12/30/20	845.63	164.20 W	0.00	180 of 201 - Sale <sup>25</sup>
	8.000	778.78	12/30/20	970.04	191.26 W	0.00	181 of 201 - Sale <sup>25</sup>
	8.000	778.78	12/30/20	947.93	169.15 W	0.00	182 of 201 - Sale <sup>25</sup>
	13.000	1,265.52	12/30/20	1,524.69	259.17 W	0.00	183 of 201 - Sale <sup>25</sup>
	1.000	97.35	12/30/20	103.00	5.65 W	0.00	184 of 201 - Sale <sup>25</sup>
	1.000	97.35	12/30/20	105.99	8.64 W	0.00	185 of 201 - Sale <sup>25</sup>
	1.000	97.35	12/30/20	116.23	18.88 W	0.00	186 of 201 - Sale <sup>25</sup>
	1.000	97.35	12/30/20	116.45	19.10 W	0.00	187 of 201 - Sale <sup>25</sup>
	1.000	97.35	12/30/20	116.50	19.15 W	0.00	188 of 201 - Sale <sup>25</sup>
	2.000	194.69	12/30/20	232.89	38.20 W	0.00	189 of 201 - Sale <sup>25</sup>
	3.000	292.04	12/30/20	349.20	57.16 W	0.00	190 of 201 - Sale <sup>25</sup>
	3.000	292.04	12/30/20	350.10	58.06 W	0.00	191 of 201 - Sale <sup>25</sup>
	4.000	389.39	12/30/20	464.93	75.54 W	0.00	192 of 201 - Sale <sup>25</sup>
	4.000	389.39	12/30/20	465.62	76.23 W	0.00	193 of 201 - Sale <sup>25</sup>
	5.000	486.74	12/30/20	578.50	91.76 W	0.00	194 of 201 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	5.000	486.74	12/30/20	573.48	86.74 W	0.00	195 of 201 - Sale <sup>25</sup>
	6.000	584.08	12/30/20	690.95	106.87 W	0.00	196 of 201 - Sale <sup>25</sup>
	1.000	97.35	12/30/20	116.56	19.21 W	0.00	197 of 201 - Sale <sup>25</sup>
	1.000	97.35	12/30/20	115.96	18.61 W	0.00	198 of 201 - Sale <sup>25</sup>
	3.000	292.04	12/30/20	349.84	57.80 W	0.00	199 of 201 - Sale <sup>25</sup>
	4.000	389.39	12/30/20	463.83	74.44 W	0.00	200 of 201 - Sale <sup>25</sup>
	11.000	1,070.82	12/30/20	1,282.70	211.88 W	0.00	201 of 201 - Sale <sup>25</sup>
12/30/20	960.000	96,047.96	Various	111,426.68	15,378.72 W	0.00	Total of 201 transactions
12/31/20	12.000	1,024.52	12/31/20	1,024.20	...	0.32	Sale <sup>25</sup>
	688 transactions for 12/31/20. Total proceeds and cost reported to the IRS.						
	1.000	93.11	12/31/20	112.27	19.16 W	0.00	1 of 688 - Sale <sup>25</sup>
	2.000	186.21	12/31/20	218.17	31.96 W	0.00	2 of 688 - Sale <sup>25</sup>
	3.000	279.32	12/31/20	329.67	50.35 W	0.00	3 of 688 - Sale <sup>25</sup>
	3.000	279.32	12/31/20	328.93	49.61 W	0.00	4 of 688 - Sale <sup>25</sup>
	3.000	279.32	12/31/20	327.29	47.97 W	0.00	5 of 688 - Sale <sup>25</sup>
	5.000	465.54	12/31/20	559.43	93.89 W	0.00	6 of 688 - Sale <sup>25</sup>
	5.000	465.54	12/31/20	547.43	81.89 W	0.00	7 of 688 - Sale <sup>25</sup>
	7.000	651.76	12/31/20	769.24	117.48 W	0.00	8 of 688 - Sale <sup>25</sup>
	7.000	651.76	12/31/20	768.19	116.43 W	0.00	9 of 688 - Sale <sup>25</sup>
	7.000	651.76	12/31/20	754.58	102.82 W	0.00	10 of 688 - Sale <sup>25</sup>
	1.000	93.11	12/31/20	107.16	14.05 W	0.00	11 of 688 - Sale <sup>25</sup>
	1.000	93.11	12/31/20	107.73	14.62 W	0.00	12 of 688 - Sale <sup>25</sup>
	1.000	93.11	12/31/20	106.04	12.93 W	0.00	13 of 688 - Sale <sup>25</sup>
	3.000	279.32	12/31/20	317.98	38.66 W	0.00	14 of 688 - Sale <sup>25</sup>
	3.000	279.32	12/31/20	311.14	31.82 W	0.00	15 of 688 - Sale <sup>25</sup>
	4.000	372.43	12/31/20	425.53	53.10 W	0.00	16 of 688 - Sale <sup>25</sup>
	5.000	465.54	12/31/20	535.84	70.30 W	0.00	17 of 688 - Sale <sup>25</sup>
	5.000	465.54	12/31/20	532.82	67.28 W	0.00	18 of 688 - Sale <sup>25</sup>
	9.000	837.97	12/31/20	959.11	121.14 W	0.00	19 of 688 - Sale <sup>25</sup>
	6.000	558.65	12/31/20	646.79	88.14 W	0.00	20 of 688 - Sale <sup>25</sup>
	17.000	1,582.83	12/31/20	1,797.63	214.80 W	0.00	21 of 688 - Sale <sup>25</sup>
	1.000	93.11	12/31/20	109.82	16.71 W	0.00	22 of 688 - Sale <sup>25</sup>
	1.000	93.11	12/31/20	109.28	16.17 W	0.00	23 of 688 - Sale <sup>25</sup>
	1.000	93.11	12/31/20	105.83	12.72 W	0.00	24 of 688 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	1.000	93.11	12/31/20	105.84	12.73 W	0.00	25 of 688 - Sale <sup>25</sup>
	2.000	186.22	12/31/20	206.94	20.72 W	0.00	26 of 688 - Sale <sup>25</sup>
	9.000	837.97	12/31/20	931.62	93.65 W	0.00	27 of 688 - Sale <sup>25</sup>
	13.000	1,210.40	12/31/20	1,348.12	137.72 W	0.00	28 of 688 - Sale <sup>25</sup>
	3.000	279.32	12/31/20	327.13	47.81 W	0.00	29 of 688 - Sale <sup>25</sup>
	14.000	1,303.50	12/31/20	1,526.61	223.11 W	0.00	30 of 688 - Sale <sup>25</sup>
	5.000	465.51	12/31/20	545.32	79.81 W	0.00	31 of 688 - Sale <sup>25</sup>
	1.000	93.10	12/31/20	109.04	15.94 W	0.00	32 of 688 - Sale <sup>25</sup>
	1.000	89.36	12/31/20	109.06	19.70 W	0.00	33 of 688 - Sale <sup>25</sup>
	1.000	89.36	12/31/20	103.56	14.20 W	0.00	34 of 688 - Sale <sup>25</sup>
	3.000	268.09	12/31/20	311.08	42.99 W	0.00	35 of 688 - Sale <sup>25</sup>
	5.000	446.82	12/31/20	517.96	71.14 W	0.00	36 of 688 - Sale <sup>25</sup>
	1.000	89.36	12/31/20	102.83	13.47 W	0.00	37 of 688 - Sale <sup>25</sup>
	1.000	89.36	12/31/20	110.21	20.85 W	0.00	38 of 688 - Sale <sup>25</sup>
	1.000	89.36	12/31/20	114.81	25.45 W	0.00	39 of 688 - Sale <sup>25</sup>
	2.000	178.73	12/31/20	218.65	39.92 W	0.00	40 of 688 - Sale <sup>25</sup>
	2.000	178.73	12/31/20	220.42	41.69 W	0.00	41 of 688 - Sale <sup>25</sup>
	2.000	178.73	12/31/20	226.61	47.88 W	0.00	42 of 688 - Sale <sup>25</sup>
	3.000	268.09	12/31/20	338.02	69.93 W	0.00	43 of 688 - Sale <sup>25</sup>
	3.000	268.09	12/31/20	339.75	71.66 W	0.00	44 of 688 - Sale <sup>25</sup>
	5.000	446.81	12/31/20	558.56	111.75 W	0.00	45 of 688 - Sale <sup>25</sup>
	6.000	536.18	12/31/20	619.16	82.98 W	0.00	46 of 688 - Sale <sup>25</sup>
	6.000	536.18	12/31/20	678.06	141.88 W	0.00	47 of 688 - Sale <sup>25</sup>
	7.000	625.54	12/31/20	676.36	50.82 W	0.00	48 of 688 - Sale <sup>25</sup>
	1.000	87.99	12/31/20	97.67	9.68 W	0.00	49 of 688 - Sale <sup>25</sup>
	1.000	87.99	12/31/20	113.84	25.85 W	0.00	50 of 688 - Sale <sup>25</sup>
	1.000	87.99	12/31/20	113.17	25.18 W	0.00	51 of 688 - Sale <sup>25</sup>
	1.000	87.99	12/31/20	109.58	21.59 W	0.00	52 of 688 - Sale <sup>25</sup>
	1.000	87.99	12/31/20	109.87	21.88 W	0.00	53 of 688 - Sale <sup>25</sup>
	4.000	351.97	12/31/20	455.38	103.41 W	0.00	54 of 688 - Sale <sup>25</sup>
	4.000	351.97	12/31/20	447.40	95.43 W	0.00	55 of 688 - Sale <sup>25</sup>
	4.000	351.97	12/31/20	440.40	88.43 W	0.00	56 of 688 - Sale <sup>25</sup>
	5.000	439.97	12/31/20	549.64	109.67 W	0.00	57 of 688 - Sale <sup>25</sup>
	7.000	615.95	12/31/20	793.74	177.79 W	0.00	58 of 688 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	8.000	703.94	12/31/20	910.74	206.80 W	0.00	59 of 688 - Sale <sup>25</sup>
	13.000	1,143.91	12/31/20	1,428.32	284.41 W	0.00	60 of 688 - Sale <sup>25</sup>
	1.000	87.99	12/31/20	110.19	22.20 W	0.00	61 of 688 - Sale <sup>25</sup>
	1.000	87.99	12/31/20	111.34	23.35 W	0.00	62 of 688 - Sale <sup>25</sup>
	2.000	175.99	12/31/20	222.50	46.51 W	0.00	63 of 688 - Sale <sup>25</sup>
	3.000	263.98	12/31/20	333.73	69.75 W	0.00	64 of 688 - Sale <sup>25</sup>
	4.000	351.97	12/31/20	445.37	93.40 W	0.00	65 of 688 - Sale <sup>25</sup>
	6.000	527.96	12/31/20	667.45	139.49 W	0.00	66 of 688 - Sale <sup>25</sup>
	8.000	703.95	12/31/20	890.74	186.79 W	0.00	67 of 688 - Sale <sup>25</sup>
	1.000	87.99	12/31/20	112.45	24.46 W	0.00	68 of 688 - Sale <sup>25</sup>
	1.000	87.99	12/31/20	113.14	25.15 W	0.00	69 of 688 - Sale <sup>25</sup>
	3.000	263.98	12/31/20	339.44	75.46 W	0.00	70 of 688 - Sale <sup>25</sup>
	1.000	87.99	12/31/20	111.40	23.41 W	0.00	71 of 688 - Sale <sup>25</sup>
	1.000	87.99	12/31/20	108.53	20.54 W	0.00	72 of 688 - Sale <sup>25</sup>
	3.000	263.98	12/31/20	326.25	62.27 W	0.00	73 of 688 - Sale <sup>25</sup>
	3.000	263.98	12/31/20	324.03	60.05 W	0.00	74 of 688 - Sale <sup>25</sup>
	4.000	351.98	12/31/20	434.16	82.18 W	0.00	75 of 688 - Sale <sup>25</sup>
	6.000	527.96	12/31/20	652.48	124.52 W	0.00	76 of 688 - Sale <sup>25</sup>
	1.000	88.00	12/31/20	95.30	7.30 W	0.00	77 of 688 - Sale <sup>25</sup>
	1.000	87.99	12/31/20	98.30	10.31 W	0.00	78 of 688 - Sale <sup>25</sup>
	1.000	87.30	12/31/20	106.95	19.65 W	0.00	79 of 688 - Sale <sup>25</sup>
	1.000	87.30	12/31/20	107.00	19.70 W	0.00	80 of 688 - Sale <sup>25</sup>
	1.000	87.30	12/31/20	107.06	19.76 W	0.00	81 of 688 - Sale <sup>25</sup>
	1.000	87.30	12/31/20	106.46	19.16 W	0.00	82 of 688 - Sale <sup>25</sup>
	1.000	87.30	12/31/20	107.01	19.71 W	0.00	83 of 688 - Sale <sup>25</sup>
	2.000	174.61	12/31/20	212.40	37.79 W	0.00	84 of 688 - Sale <sup>25</sup>
	2.000	174.61	12/31/20	213.90	39.29 W	0.00	85 of 688 - Sale <sup>25</sup>
	2.000	174.61	12/31/20	213.26	38.65 W	0.00	86 of 688 - Sale <sup>25</sup>
	3.000	261.91	12/31/20	320.71	58.80 W	0.00	87 of 688 - Sale <sup>25</sup>
	3.000	261.91	12/31/20	321.61	59.70 W	0.00	88 of 688 - Sale <sup>25</sup>
	3.000	261.91	12/31/20	321.35	59.44 W	0.00	89 of 688 - Sale <sup>25</sup>
	4.000	349.21	12/31/20	427.63	78.42 W	0.00	90 of 688 - Sale <sup>25</sup>
	4.000	349.21	12/31/20	425.85	76.64 W	0.00	91 of 688 - Sale <sup>25</sup>
	5.000	436.51	12/31/20	525.99	89.48 W	0.00	92 of 688 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	6.000	523.82	12/31/20	633.98	110.16 W	0.00	93 of 688 - Sale <sup>25</sup>
	11.000	960.33	12/31/20	1,178.25	217.92 W	0.00	94 of 688 - Sale <sup>25</sup>
	2.000	174.61	12/31/20	207.46	32.85 W	0.00	95 of 688 - Sale <sup>25</sup>
	3.000	261.91	12/31/20	319.58	57.67 W	0.00	96 of 688 - Sale <sup>25</sup>
	3.000	261.91	12/31/20	313.60	51.69 W	0.00	97 of 688 - Sale <sup>25</sup>
	3.000	261.91	12/31/20	312.86	50.95 W	0.00	98 of 688 - Sale <sup>25</sup>
	3.000	261.91	12/31/20	311.22	49.31 W	0.00	99 of 688 - Sale <sup>25</sup>
	4.000	349.21	12/31/20	407.24	58.03 W	0.00	100 of 688 - Sale <sup>25</sup>
	5.000	436.51	12/31/20	520.64	84.13 W	0.00	101 of 688 - Sale <sup>25</sup>
	6.000	523.82	12/31/20	614.64	90.82 W	0.00	102 of 688 - Sale <sup>25</sup>
	7.000	611.12	12/31/20	731.73	120.61 W	0.00	103 of 688 - Sale <sup>25</sup>
	7.000	611.12	12/31/20	730.68	119.56 W	0.00	104 of 688 - Sale <sup>25</sup>
	7.000	611.12	12/31/20	717.07	105.95 W	0.00	105 of 688 - Sale <sup>25</sup>
	1.000	87.30	12/31/20	101.48	14.18 W	0.00	106 of 688 - Sale <sup>25</sup>
	1.000	87.30	12/31/20	101.47	14.17 W	0.00	107 of 688 - Sale <sup>25</sup>
	1.000	87.30	12/31/20	102.04	14.74 W	0.00	108 of 688 - Sale <sup>25</sup>
	1.000	87.30	12/31/20	100.35	13.05 W	0.00	109 of 688 - Sale <sup>25</sup>
	1.000	87.30	12/31/20	97.83	10.53 W	0.00	110 of 688 - Sale <sup>25</sup>
	2.000	174.61	12/31/20	195.56	20.95 W	0.00	111 of 688 - Sale <sup>25</sup>
	3.000	261.91	12/31/20	300.92	39.01 W	0.00	112 of 688 - Sale <sup>25</sup>
	3.000	261.91	12/31/20	294.08	32.17 W	0.00	113 of 688 - Sale <sup>25</sup>
	4.000	349.21	12/31/20	402.78	53.57 W	0.00	114 of 688 - Sale <sup>25</sup>
	5.000	436.52	12/31/20	504.38	67.86 W	0.00	115 of 688 - Sale <sup>25</sup>
	9.000	785.73	12/31/20	907.92	122.19 W	0.00	116 of 688 - Sale <sup>25</sup>
	17.000	1,484.15	12/31/20	1,700.94	216.79 W	0.00	117 of 688 - Sale <sup>25</sup>
	1.000	87.31	12/31/20	104.13	16.82 W	0.00	118 of 688 - Sale <sup>25</sup>
	1.000	87.30	12/31/20	103.59	16.29 W	0.00	119 of 688 - Sale <sup>25</sup>
	1.000	86.14	12/31/20	99.22	13.08 W	0.00	120 of 688 - Sale <sup>25</sup>
	1.000	86.14	12/31/20	99.23	13.09 W	0.00	121 of 688 - Sale <sup>25</sup>
	1.000	86.14	12/31/20	100.70	14.56 W	0.00	122 of 688 - Sale <sup>25</sup>
	2.000	172.27	12/31/20	201.66	29.39 W	0.00	123 of 688 - Sale <sup>25</sup>
	8.000	689.10	12/31/20	775.24	86.14 W	0.00	124 of 688 - Sale <sup>25</sup>
	13.000	1,119.78	12/31/20	1,262.22	142.44 W	0.00	125 of 688 - Sale <sup>25</sup>
	1.000	86.14	12/31/20	102.44	16.30 W	0.00	126 of 688 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	3.000	258.41	12/31/20	307.31	48.90 W	0.00	127 of 688 - Sale <sup>25</sup>
	1.000	86.13	12/31/20	102.46	16.33 W	0.00	128 of 688 - Sale <sup>25</sup>
	14.000	1,205.91	12/31/20	1,434.11	228.20 W	0.00	129 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	106.20	20.20 W	0.00	130 of 688 - Sale <sup>25</sup>
	4.000	344.00	12/31/20	409.85	65.85 W	0.00	131 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	100.58	14.58 W	0.00	132 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	99.72	13.72 W	0.00	133 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	107.10	21.10 W	0.00	134 of 688 - Sale <sup>25</sup>
	2.000	171.99	12/31/20	212.42	40.43 W	0.00	135 of 688 - Sale <sup>25</sup>
	2.000	172.00	12/31/20	214.19	42.19 W	0.00	136 of 688 - Sale <sup>25</sup>
	5.000	429.99	12/31/20	502.39	72.40 W	0.00	137 of 688 - Sale <sup>25</sup>
	2.000	171.99	12/31/20	217.20	45.21 W	0.00	138 of 688 - Sale <sup>25</sup>
	6.000	515.99	12/31/20	600.48	84.49 W	0.00	139 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	108.60	22.60 W	0.00	140 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	111.70	25.70 W	0.00	141 of 688 - Sale <sup>25</sup>
	2.000	172.00	12/31/20	220.38	48.38 W	0.00	142 of 688 - Sale <sup>25</sup>
	3.000	257.99	12/31/20	328.68	70.69 W	0.00	143 of 688 - Sale <sup>25</sup>
	3.000	257.99	12/31/20	330.41	72.42 W	0.00	144 of 688 - Sale <sup>25</sup>
	4.000	343.99	12/31/20	448.41	104.42 W	0.00	145 of 688 - Sale <sup>25</sup>
	2.000	172.00	12/31/20	217.20	45.20 W	0.00	146 of 688 - Sale <sup>25</sup>
	6.000	515.99	12/31/20	659.38	143.39 W	0.00	147 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	95.93	9.93 W	0.00	148 of 688 - Sale <sup>25</sup>
	7.000	601.99	12/31/20	654.57	52.58 W	0.00	149 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	112.10	26.10 W	0.00	150 of 688 - Sale <sup>25</sup>
	6.000	515.99	12/31/20	672.60	156.61 W	0.00	151 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	111.43	25.43 W	0.00	152 of 688 - Sale <sup>25</sup>
	2.000	171.99	12/31/20	224.20	52.21 W	0.00	153 of 688 - Sale <sup>25</sup>
	4.000	343.99	12/31/20	440.43	96.44 W	0.00	154 of 688 - Sale <sup>25</sup>
	7.000	601.98	12/31/20	781.54	179.56 W	0.00	155 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	107.84	21.84 W	0.00	156 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	108.13	22.13 W	0.00	157 of 688 - Sale <sup>25</sup>
	4.000	343.99	12/31/20	433.43	89.44 W	0.00	158 of 688 - Sale <sup>25</sup>
	9.000	773.98	12/31/20	973.15	199.17 W	0.00	159 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	108.45	22.45 W	0.00	160 of 688 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	4.000	343.99	12/31/20	438.40	94.41 W	0.00	161 of 688 - Sale <sup>25</sup>
	5.000	429.99	12/31/20	540.92	110.93 W	0.00	162 of 688 - Sale <sup>25</sup>
	4.000	343.99	12/31/20	432.51	88.52 W	0.00	163 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	109.50	23.50 W	0.00	164 of 688 - Sale <sup>25</sup>
	2.000	171.99	12/31/20	219.00	47.01 W	0.00	165 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	109.50	23.50 W	0.00	166 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	109.50	23.50 W	0.00	167 of 688 - Sale <sup>25</sup>
	5.000	429.99	12/31/20	546.99	117.00 W	0.00	168 of 688 - Sale <sup>25</sup>
	4.000	343.99	12/31/20	437.99	94.00 W	0.00	169 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	110.61	24.61 W	0.00	170 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	111.30	25.30 W	0.00	171 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	109.56	23.56 W	0.00	172 of 688 - Sale <sup>25</sup>
	2.000	172.00	12/31/20	218.81	46.81 W	0.00	173 of 688 - Sale <sup>25</sup>
	3.000	257.99	12/31/20	328.20	70.21 W	0.00	174 of 688 - Sale <sup>25</sup>
	3.000	257.99	12/31/20	333.91	75.92 W	0.00	175 of 688 - Sale <sup>25</sup>
	2.000	171.99	12/31/20	213.81	41.82 W	0.00	176 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	109.40	23.40 W	0.00	177 of 688 - Sale <sup>25</sup>
	6.000	515.99	12/31/20	641.42	125.43 W	0.00	178 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	106.69	20.69 W	0.00	179 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	106.91	20.91 W	0.00	180 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	105.05	19.05 W	0.00	181 of 688 - Sale <sup>25</sup>
	3.000	258.00	12/31/20	318.50	60.50 W	0.00	182 of 688 - Sale <sup>25</sup>
	4.000	343.99	12/31/20	426.78	82.79 W	0.00	183 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	93.45	7.45 W	0.00	184 of 688 - Sale <sup>25</sup>
	1.000	85.99	12/31/20	96.46	10.47 W	0.00	185 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	105.04	19.04 W	0.00	186 of 688 - Sale <sup>25</sup>
	3.000	257.99	12/31/20	312.14	54.15 W	0.00	187 of 688 - Sale <sup>25</sup>
	2.000	172.00	12/31/20	207.79	35.79 W	0.00	188 of 688 - Sale <sup>25</sup>
	4.000	343.99	12/31/20	417.44	73.45 W	0.00	189 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	105.65	19.65 W	0.00	190 of 688 - Sale <sup>25</sup>
	2.000	172.00	12/31/20	208.72	36.72 W	0.00	191 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	105.70	19.70 W	0.00	192 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	105.76	19.76 W	0.00	193 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	105.16	19.16 W	0.00	194 of 688 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	2.000	172.00	12/31/20	211.29	39.29 W	0.00	195 of 688 - Sale <sup>25</sup>
	3.000	257.99	12/31/20	316.80	58.81 W	0.00	196 of 688 - Sale <sup>25</sup>
	3.000	257.99	12/31/20	317.70	59.71 W	0.00	197 of 688 - Sale <sup>25</sup>
	3.000	257.99	12/31/20	317.44	59.45 W	0.00	198 of 688 - Sale <sup>25</sup>
	4.000	343.99	12/31/20	422.42	78.43 W	0.00	199 of 688 - Sale <sup>25</sup>
	4.000	343.99	12/31/20	420.64	76.65 W	0.00	200 of 688 - Sale <sup>25</sup>
	5.000	429.99	12/31/20	529.05	99.06 W	0.00	201 of 688 - Sale <sup>25</sup>
	5.000	430.00	12/31/20	529.06	99.06 W	0.00	202 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	105.71	19.71 W	0.00	203 of 688 - Sale <sup>25</sup>
	2.000	172.00	12/31/20	210.65	38.65 W	0.00	204 of 688 - Sale <sup>25</sup>
	2.000	172.00	12/31/20	206.46	34.46 W	0.00	205 of 688 - Sale <sup>25</sup>
	3.000	257.99	12/31/20	315.67	57.68 W	0.00	206 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	105.81	19.81 W	0.00	207 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	103.23	17.23 W	0.00	208 of 688 - Sale <sup>25</sup>
	2.000	172.00	12/31/20	204.85	32.85 W	0.00	209 of 688 - Sale <sup>25</sup>
	3.000	257.99	12/31/20	308.95	50.96 W	0.00	210 of 688 - Sale <sup>25</sup>
	3.000	257.99	12/31/20	307.31	49.32 W	0.00	211 of 688 - Sale <sup>25</sup>
	4.000	343.99	12/31/20	402.03	58.04 W	0.00	212 of 688 - Sale <sup>25</sup>
	5.000	429.99	12/31/20	514.13	84.14 W	0.00	213 of 688 - Sale <sup>25</sup>
	6.000	515.99	12/31/20	606.82	90.83 W	0.00	214 of 688 - Sale <sup>25</sup>
	7.000	601.98	12/31/20	722.61	120.63 W	0.00	215 of 688 - Sale <sup>25</sup>
	7.000	601.99	12/31/20	721.56	119.57 W	0.00	216 of 688 - Sale <sup>25</sup>
	7.000	601.98	12/31/20	707.95	105.97 W	0.00	217 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	100.08	14.08 W	0.00	218 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	100.07	14.07 W	0.00	219 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	100.64	14.64 W	0.00	220 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	98.95	12.95 W	0.00	221 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	96.38	10.38 W	0.00	222 of 688 - Sale <sup>25</sup>
	3.000	257.99	12/31/20	296.71	38.72 W	0.00	223 of 688 - Sale <sup>25</sup>
	3.000	257.99	12/31/20	289.87	31.88 W	0.00	224 of 688 - Sale <sup>25</sup>
	4.000	343.99	12/31/20	397.17	53.18 W	0.00	225 of 688 - Sale <sup>25</sup>
	5.000	429.99	12/31/20	497.36	67.37 W	0.00	226 of 688 - Sale <sup>25</sup>
	9.000	773.98	12/31/20	895.29	121.31 W	0.00	227 of 688 - Sale <sup>25</sup>
	17.000	1,461.96	12/31/20	1,677.09	215.13 W	0.00	228 of 688 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	1.000	86.00	12/31/20	96.37	10.37 W	0.00	229 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	96.43	10.43 W	0.00	230 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	102.72	16.72 W	0.00	231 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	102.19	16.19 W	0.00	232 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	98.83	12.83 W	0.00	233 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	98.84	12.84 W	0.00	234 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	100.31	14.31 W	0.00	235 of 688 - Sale <sup>25</sup>
	2.000	172.00	12/31/20	200.89	28.89 W	0.00	236 of 688 - Sale <sup>25</sup>
	8.000	687.98	12/31/20	772.14	84.16 W	0.00	237 of 688 - Sale <sup>25</sup>
	13.000	1,117.97	12/31/20	1,257.19	139.22 W	0.00	238 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	102.05	16.05 W	0.00	239 of 688 - Sale <sup>25</sup>
	1.000	85.99	12/31/20	102.08	16.09 W	0.00	240 of 688 - Sale <sup>25</sup>
	3.000	257.99	12/31/20	306.15	48.16 W	0.00	241 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	102.21	16.21 W	0.00	242 of 688 - Sale <sup>25</sup>
	14.000	1,203.97	12/31/20	1,428.70	224.73 W	0.00	243 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	105.95	19.95 W	0.00	244 of 688 - Sale <sup>25</sup>
	3.000	257.99	12/31/20	306.64	48.65 W	0.00	245 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	101.58	15.58 W	0.00	246 of 688 - Sale <sup>25</sup>
	5.000	429.99	12/31/20	507.40	77.41 W	0.00	247 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	100.72	14.72 W	0.00	248 of 688 - Sale <sup>25</sup>
	2.000	171.99	12/31/20	214.43	42.44 W	0.00	249 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	108.10	22.10 W	0.00	250 of 688 - Sale <sup>25</sup>
	6.000	515.99	12/31/20	606.49	90.50 W	0.00	251 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	108.10	22.10 W	0.00	252 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	109.60	23.60 W	0.00	253 of 688 - Sale <sup>25</sup>
	1.000	86.00	12/31/20	108.09	22.09 W	0.00	254 of 688 - Sale <sup>25</sup>
	2.000	171.99	12/31/20	219.21	47.22 W	0.00	255 of 688 - Sale <sup>25</sup>
	2.000	172.00	12/31/20	219.20	47.20 W	0.00	256 of 688 - Sale <sup>25</sup>
	2.000	171.99	12/31/20	221.80	49.81 W	0.00	257 of 688 - Sale <sup>25</sup>
	0.350	29.95	12/31/20	38.39	8.44 W	0.00	258 of 688 - Sale <sup>25</sup>
	0.650	55.58	12/31/20	71.31	15.73 W	0.00	259 of 688 - Sale <sup>25</sup>
	1.000	85.51	12/31/20	111.50	25.99 W	0.00	260 of 688 - Sale <sup>25</sup>
	1.000	85.51	12/31/20	111.90	26.39 W	0.00	261 of 688 - Sale <sup>25</sup>
	1.000	85.51	12/31/20	111.23	25.72 W	0.00	262 of 688 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	2.000	171.02	12/31/20	219.98	48.96 W	0.00	263 of 688 - Sale <sup>25</sup>
	2.000	171.02	12/31/20	223.81	52.79 W	0.00	264 of 688 - Sale <sup>25</sup>
	3.000	256.52	12/31/20	329.09	72.57 W	0.00	265 of 688 - Sale <sup>25</sup>
	3.000	256.52	12/31/20	328.09	71.57 W	0.00	266 of 688 - Sale <sup>25</sup>
	3.000	256.52	12/31/20	329.82	73.30 W	0.00	267 of 688 - Sale <sup>25</sup>
	4.000	342.03	12/31/20	447.62	105.59 W	0.00	268 of 688 - Sale <sup>25</sup>
	4.000	342.03	12/31/20	439.64	97.61 W	0.00	269 of 688 - Sale <sup>25</sup>
	4.000	342.03	12/31/20	432.64	90.61 W	0.00	270 of 688 - Sale <sup>25</sup>
	6.000	513.05	12/31/20	671.41	158.36 W	0.00	271 of 688 - Sale <sup>25</sup>
	7.000	598.56	12/31/20	780.16	181.60 W	0.00	272 of 688 - Sale <sup>25</sup>
	1.000	85.51	12/31/20	95.73	10.22 W	0.00	273 of 688 - Sale <sup>25</sup>
	7.000	598.56	12/31/20	653.18	54.62 W	0.00	274 of 688 - Sale <sup>25</sup>
	0.350	29.93	12/31/20	37.67	7.74 W	0.00	275 of 688 - Sale <sup>25</sup>
	0.650	55.58	12/31/20	69.91	14.33 W	0.00	276 of 688 - Sale <sup>25</sup>
	1.000	85.51	12/31/20	107.83	22.32 W	0.00	277 of 688 - Sale <sup>25</sup>
	1.000	85.51	12/31/20	108.15	22.64 W	0.00	278 of 688 - Sale <sup>25</sup>
	1.000	85.51	12/31/20	109.20	23.69 W	0.00	279 of 688 - Sale <sup>25</sup>
	1.000	85.51	12/31/20	109.20	23.69 W	0.00	280 of 688 - Sale <sup>25</sup>
	1.000	85.51	12/31/20	109.20	23.69 W	0.00	281 of 688 - Sale <sup>25</sup>
	1.000	85.51	12/31/20	109.10	23.59 W	0.00	282 of 688 - Sale <sup>25</sup>
	1.000	85.51	12/31/20	110.31	24.80 W	0.00	283 of 688 - Sale <sup>25</sup>
	1.000	85.51	12/31/20	111.00	25.49 W	0.00	284 of 688 - Sale <sup>25</sup>
	0.350	29.93	12/31/20	38.24	8.31 W	0.00	285 of 688 - Sale <sup>25</sup>
	2.000	171.02	12/31/20	218.41	47.39 W	0.00	286 of 688 - Sale <sup>25</sup>
	2.000	171.01	12/31/20	218.21	47.20 W	0.00	287 of 688 - Sale <sup>25</sup>
	3.000	256.52	12/31/20	327.31	70.79 W	0.00	288 of 688 - Sale <sup>25</sup>
	3.000	256.51	12/31/20	333.02	76.51 W	0.00	289 of 688 - Sale <sup>25</sup>
	4.000	342.03	12/31/20	431.32	89.29 W	0.00	290 of 688 - Sale <sup>25</sup>
	4.000	342.03	12/31/20	437.21	95.18 W	0.00	291 of 688 - Sale <sup>25</sup>
	4.000	342.03	12/31/20	436.80	94.77 W	0.00	292 of 688 - Sale <sup>25</sup>
	5.000	427.54	12/31/20	539.43	111.89 W	0.00	293 of 688 - Sale <sup>25</sup>
	5.000	427.54	12/31/20	545.50	117.96 W	0.00	294 of 688 - Sale <sup>25</sup>
	9.000	769.57	12/31/20	970.47	200.90 W	0.00	295 of 688 - Sale <sup>25</sup>
	0.650	55.58	12/31/20	71.02	15.44 W	0.00	296 of 688 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	1.000	85.51	12/31/20	106.61	21.10 W	0.00	297 of 688 - Sale <sup>25</sup>
	1.000	85.51	12/31/20	106.39	20.88 W	0.00	298 of 688 - Sale <sup>25</sup>
	1.000	85.51	12/31/20	104.75	19.24 W	0.00	299 of 688 - Sale <sup>25</sup>
	1.000	85.51	12/31/20	104.74	19.23 W	0.00	300 of 688 - Sale <sup>25</sup>
	1.000	85.51	12/31/20	105.35	19.84 W	0.00	301 of 688 - Sale <sup>25</sup>
	1.350	115.44	12/31/20	142.21	26.77 W	0.00	302 of 688 - Sale <sup>25</sup>
	2.000	171.02	12/31/20	213.22	42.20 W	0.00	303 of 688 - Sale <sup>25</sup>
	2.000	171.02	12/31/20	207.19	36.17 W	0.00	304 of 688 - Sale <sup>25</sup>
	2.000	171.01	12/31/20	208.12	37.11 W	0.00	305 of 688 - Sale <sup>25</sup>
	3.000	256.52	12/31/20	317.60	61.08 W	0.00	306 of 688 - Sale <sup>25</sup>
	3.000	256.52	12/31/20	311.25	54.73 W	0.00	307 of 688 - Sale <sup>25</sup>
	4.000	342.03	12/31/20	425.59	83.56 W	0.00	308 of 688 - Sale <sup>25</sup>
	4.000	342.03	12/31/20	416.25	74.22 W	0.00	309 of 688 - Sale <sup>25</sup>
	6.000	513.05	12/31/20	639.63	126.58 W	0.00	310 of 688 - Sale <sup>25</sup>
	1.000	85.51	12/31/20	93.15	7.64 W	0.00	311 of 688 - Sale <sup>25</sup>
	1.000	85.50	12/31/20	96.17	10.67 W	0.00	312 of 688 - Sale <sup>25</sup>
	0.650	55.30	12/31/20	68.35	13.05 W	0.00	313 of 688 - Sale <sup>25</sup>
	1.000	85.08	12/31/20	105.20	20.12 W	0.00	314 of 688 - Sale <sup>25</sup>
	1.000	85.08	12/31/20	105.26	20.18 W	0.00	315 of 688 - Sale <sup>25</sup>
	1.000	85.08	12/31/20	104.66	19.58 W	0.00	316 of 688 - Sale <sup>25</sup>
	1.000	85.08	12/31/20	105.31	20.23 W	0.00	317 of 688 - Sale <sup>25</sup>
	1.000	85.08	12/31/20	105.21	20.13 W	0.00	318 of 688 - Sale <sup>25</sup>
	1.000	85.08	12/31/20	102.73	17.65 W	0.00	319 of 688 - Sale <sup>25</sup>
	2.000	170.17	12/31/20	209.65	39.48 W	0.00	320 of 688 - Sale <sup>25</sup>
	2.000	170.17	12/31/20	205.46	35.29 W	0.00	321 of 688 - Sale <sup>25</sup>
	2.350	199.95	12/31/20	240.84	40.89 W	0.00	322 of 688 - Sale <sup>25</sup>
	3.000	255.25	12/31/20	315.31	60.06 W	0.00	323 of 688 - Sale <sup>25</sup>
	3.000	255.25	12/31/20	316.21	60.96 W	0.00	324 of 688 - Sale <sup>25</sup>
	3.000	255.25	12/31/20	315.95	60.70 W	0.00	325 of 688 - Sale <sup>25</sup>
	3.000	255.25	12/31/20	314.18	58.93 W	0.00	326 of 688 - Sale <sup>25</sup>
	4.000	340.33	12/31/20	420.43	80.10 W	0.00	327 of 688 - Sale <sup>25</sup>
	4.000	340.33	12/31/20	418.65	78.32 W	0.00	328 of 688 - Sale <sup>25</sup>
	5.000	425.42	12/31/20	526.56	101.14 W	0.00	329 of 688 - Sale <sup>25</sup>
	5.000	425.41	12/31/20	526.56	101.15 W	0.00	330 of 688 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	7.000	595.58	12/31/20	719.13	123.55 W	0.00	331 of 688 - Sale <sup>25</sup>
	0.650	55.30	12/31/20	66.45	11.15 W	0.00	332 of 688 - Sale <sup>25</sup>
	1.000	85.08	12/31/20	99.33	14.25 W	0.00	333 of 688 - Sale <sup>25</sup>
	1.000	85.08	12/31/20	99.32	14.24 W	0.00	334 of 688 - Sale <sup>25</sup>
	2.000	170.17	12/31/20	203.35	33.18 W	0.00	335 of 688 - Sale <sup>25</sup>
	3.000	255.25	12/31/20	305.07	49.82 W	0.00	336 of 688 - Sale <sup>25</sup>
	4.000	340.33	12/31/20	399.04	58.71 W	0.00	337 of 688 - Sale <sup>25</sup>
	5.000	425.42	12/31/20	510.39	84.97 W	0.00	338 of 688 - Sale <sup>25</sup>
	5.000	425.42	12/31/20	493.62	68.20 W	0.00	339 of 688 - Sale <sup>25</sup>
	6.000	510.50	12/31/20	602.33	91.83 W	0.00	340 of 688 - Sale <sup>25</sup>
	7.000	595.58	12/31/20	716.32	120.74 W	0.00	341 of 688 - Sale <sup>25</sup>
	7.000	595.58	12/31/20	702.72	107.14 W	0.00	342 of 688 - Sale <sup>25</sup>
	8.350	710.44	12/31/20	824.39	113.95 W	0.00	343 of 688 - Sale <sup>25</sup>
	0.650	55.30	12/31/20	64.11	8.81 W	0.00	344 of 688 - Sale <sup>25</sup>
	1.000	85.08	12/31/20	99.79	14.71 W	0.00	345 of 688 - Sale <sup>25</sup>
	1.000	85.08	12/31/20	98.10	13.02 W	0.00	346 of 688 - Sale <sup>25</sup>
	1.000	85.08	12/31/20	95.53	10.45 W	0.00	347 of 688 - Sale <sup>25</sup>
	1.000	85.08	12/31/20	95.52	10.44 W	0.00	348 of 688 - Sale <sup>25</sup>
	1.000	85.08	12/31/20	95.58	10.50 W	0.00	349 of 688 - Sale <sup>25</sup>
	3.000	255.25	12/31/20	294.17	38.92 W	0.00	350 of 688 - Sale <sup>25</sup>
	3.000	255.25	12/31/20	287.33	32.08 W	0.00	351 of 688 - Sale <sup>25</sup>
	4.000	340.33	12/31/20	393.78	53.45 W	0.00	352 of 688 - Sale <sup>25</sup>
	7.350	625.36	12/31/20	704.56	79.20 W	0.00	353 of 688 - Sale <sup>25</sup>
	8.000	680.67	12/31/20	765.36	84.69 W	0.00	354 of 688 - Sale <sup>25</sup>
	17.000	1,446.42	12/31/20	1,662.68	216.26 W	0.00	355 of 688 - Sale <sup>25</sup>
	1.000	85.09	12/31/20	101.87	16.78 W	0.00	356 of 688 - Sale <sup>25</sup>
	1.000	85.08	12/31/20	101.34	16.26 W	0.00	357 of 688 - Sale <sup>25</sup>
	1.000	85.35	12/31/20	98.13	12.78 W	0.00	358 of 688 - Sale <sup>25</sup>
	1.000	85.35	12/31/20	98.14	12.79 W	0.00	359 of 688 - Sale <sup>25</sup>
	1.000	85.35	12/31/20	99.61	14.26 W	0.00	360 of 688 - Sale <sup>25</sup>
	1.000	85.35	12/31/20	100.88	15.53 W	0.00	361 of 688 - Sale <sup>25</sup>
	2.000	170.69	12/31/20	199.49	28.80 W	0.00	362 of 688 - Sale <sup>25</sup>
	5.000	426.74	12/31/20	503.91	77.17 W	0.00	363 of 688 - Sale <sup>25</sup>
	5.650	482.22	12/31/20	542.46	60.24 W	0.00	364 of 688 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	3.350	285.91	12/31/20	336.28	50.37 W	0.00	365 of 688 - Sale <sup>25</sup>
	0.350	29.88	12/31/20	37.58	7.70 W	0.00	366 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	100.02	14.64 W	0.00	367 of 688 - Sale <sup>25</sup>
	2.000	170.76	12/31/20	213.04	42.28 W	0.00	368 of 688 - Sale <sup>25</sup>
	2.650	226.25	12/31/20	266.02	39.77 W	0.00	369 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	101.35	15.97 W	0.00	370 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	101.39	16.01 W	0.00	371 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	101.51	16.13 W	0.00	372 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	105.25	19.87 W	0.00	373 of 688 - Sale <sup>25</sup>
	3.000	256.13	12/31/20	304.06	47.93 W	0.00	374 of 688 - Sale <sup>25</sup>
	3.000	256.13	12/31/20	304.55	48.42 W	0.00	375 of 688 - Sale <sup>25</sup>
	14.000	1,195.29	12/31/20	1,418.93	223.64 W	0.00	376 of 688 - Sale <sup>25</sup>
	0.350	29.88	12/31/20	38.26	8.38 W	0.00	377 of 688 - Sale <sup>25</sup>
	0.650	55.50	12/31/20	69.75	14.25 W	0.00	378 of 688 - Sale <sup>25</sup>
	0.650	55.50	12/31/20	71.11	15.61 W	0.00	379 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	107.29	21.91 W	0.00	380 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	107.30	21.92 W	0.00	381 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	108.80	23.42 W	0.00	382 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	111.19	25.81 W	0.00	383 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	111.59	26.21 W	0.00	384 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	110.92	25.54 W	0.00	385 of 688 - Sale <sup>25</sup>
	2.000	170.76	12/31/20	217.62	46.86 W	0.00	386 of 688 - Sale <sup>25</sup>
	2.000	170.76	12/31/20	217.60	46.84 W	0.00	387 of 688 - Sale <sup>25</sup>
	2.000	170.76	12/31/20	220.21	49.45 W	0.00	388 of 688 - Sale <sup>25</sup>
	2.000	170.76	12/31/20	219.36	48.60 W	0.00	389 of 688 - Sale <sup>25</sup>
	2.000	170.76	12/31/20	223.19	52.43 W	0.00	390 of 688 - Sale <sup>25</sup>
	2.350	200.64	12/31/20	253.45	52.81 W	0.00	391 of 688 - Sale <sup>25</sup>
	3.000	256.13	12/31/20	328.17	72.04 W	0.00	392 of 688 - Sale <sup>25</sup>
	3.000	256.13	12/31/20	327.17	71.04 W	0.00	393 of 688 - Sale <sup>25</sup>
	3.000	256.13	12/31/20	328.90	72.77 W	0.00	394 of 688 - Sale <sup>25</sup>
	4.000	341.51	12/31/20	446.39	104.88 W	0.00	395 of 688 - Sale <sup>25</sup>
	4.000	341.51	12/31/20	438.41	96.90 W	0.00	396 of 688 - Sale <sup>25</sup>
	6.000	512.27	12/31/20	669.56	157.29 W	0.00	397 of 688 - Sale <sup>25</sup>
	7.000	597.65	12/31/20	778.00	180.35 W	0.00	398 of 688 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	0.350	29.88	12/31/20	37.52	7.64 W	0.00	399 of 688 - Sale <sup>25</sup>
	0.350	29.88	12/31/20	38.04	8.16 W	0.00	400 of 688 - Sale <sup>25</sup>
	0.650	55.50	12/31/20	69.65	14.15 W	0.00	401 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	107.42	22.04 W	0.00	402 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	107.74	22.36 W	0.00	403 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	108.79	23.41 W	0.00	404 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	108.79	23.41 W	0.00	405 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	108.79	23.41 W	0.00	406 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	108.69	23.31 W	0.00	407 of 688 - Sale <sup>25</sup>
	1.650	140.87	12/31/20	177.80	36.93 W	0.00	408 of 688 - Sale <sup>25</sup>
	2.000	170.76	12/31/20	217.59	46.83 W	0.00	409 of 688 - Sale <sup>25</sup>
	4.000	341.51	12/31/20	429.69	88.18 W	0.00	410 of 688 - Sale <sup>25</sup>
	4.000	341.51	12/31/20	435.58	94.07 W	0.00	411 of 688 - Sale <sup>25</sup>
	4.000	341.51	12/31/20	435.17	93.66 W	0.00	412 of 688 - Sale <sup>25</sup>
	5.000	426.89	12/31/20	537.39	110.50 W	0.00	413 of 688 - Sale <sup>25</sup>
	5.000	426.89	12/31/20	543.46	116.57 W	0.00	414 of 688 - Sale <sup>25</sup>
	9.000	768.40	12/31/20	966.80	198.40 W	0.00	415 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	95.32	9.94 W	0.00	416 of 688 - Sale <sup>25</sup>
	7.000	597.64	12/31/20	650.32	52.68 W	0.00	417 of 688 - Sale <sup>25</sup>
	0.350	29.88	12/31/20	38.23	8.35 W	0.00	418 of 688 - Sale <sup>25</sup>
	0.650	55.50	12/31/20	71.02	15.52 W	0.00	419 of 688 - Sale <sup>25</sup>
	0.650	55.50	12/31/20	68.63	13.13 W	0.00	420 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	110.30	24.92 W	0.00	421 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	110.99	25.61 W	0.00	422 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	106.60	21.22 W	0.00	423 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	106.38	21.00 W	0.00	424 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	104.74	19.36 W	0.00	425 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	104.73	19.35 W	0.00	426 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	105.34	19.96 W	0.00	427 of 688 - Sale <sup>25</sup>
	1.350	115.26	12/31/20	142.19	26.93 W	0.00	428 of 688 - Sale <sup>25</sup>
	1.350	115.26	12/31/20	142.45	27.19 W	0.00	429 of 688 - Sale <sup>25</sup>
	2.000	170.76	12/31/20	218.20	47.44 W	0.00	430 of 688 - Sale <sup>25</sup>
	2.000	170.76	12/31/20	213.20	42.44 W	0.00	431 of 688 - Sale <sup>25</sup>
	2.000	170.76	12/31/20	207.17	36.41 W	0.00	432 of 688 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 527125207
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
2.000		170.76	12/31/20	208.11	37.35 W	0.00	433 of 688 - Sale <sup>25</sup>
2.650		226.25	12/31/20	289.11	62.86 W	0.00	434 of 688 - Sale <sup>25</sup>
3.000		256.13	12/31/20	333.01	76.88 W	0.00	435 of 688 - Sale <sup>25</sup>
3.000		256.13	12/31/20	317.58	61.45 W	0.00	436 of 688 - Sale <sup>25</sup>
3.000		256.13	12/31/20	311.23	55.10 W	0.00	437 of 688 - Sale <sup>25</sup>
3.000		256.13	12/31/20	316.56	60.43 W	0.00	438 of 688 - Sale <sup>25</sup>
4.000		341.51	12/31/20	425.56	84.05 W	0.00	439 of 688 - Sale <sup>25</sup>
4.000		341.51	12/31/20	416.22	74.71 W	0.00	440 of 688 - Sale <sup>25</sup>
6.000		512.27	12/31/20	639.58	127.31 W	0.00	441 of 688 - Sale <sup>25</sup>
1.000		85.38	12/31/20	93.14	7.76 W	0.00	442 of 688 - Sale <sup>25</sup>
1.000		85.38	12/31/20	96.17	10.79 W	0.00	443 of 688 - Sale <sup>25</sup>
1.000		85.38	12/31/20	105.62	20.24 W	0.00	444 of 688 - Sale <sup>25</sup>
1.000		85.38	12/31/20	105.68	20.30 W	0.00	445 of 688 - Sale <sup>25</sup>
1.000		85.38	12/31/20	105.08	19.70 W	0.00	446 of 688 - Sale <sup>25</sup>
2.650		226.25	12/31/20	279.65	53.40 W	0.00	447 of 688 - Sale <sup>25</sup>
3.000		256.13	12/31/20	317.46	61.33 W	0.00	448 of 688 - Sale <sup>25</sup>
3.000		256.13	12/31/20	317.20	61.07 W	0.00	449 of 688 - Sale <sup>25</sup>
4.000		341.51	12/31/20	420.32	78.81 W	0.00	450 of 688 - Sale <sup>25</sup>
4.350		371.39	12/31/20	459.92	88.53 W	0.00	451 of 688 - Sale <sup>25</sup>
5.000		426.89	12/31/20	528.64	101.75 W	0.00	452 of 688 - Sale <sup>25</sup>
0.650		55.50	12/31/20	68.66	13.16 W	0.00	453 of 688 - Sale <sup>25</sup>
0.650		55.50	12/31/20	66.66	11.16 W	0.00	454 of 688 - Sale <sup>25</sup>
1.000		85.38	12/31/20	105.63	20.25 W	0.00	455 of 688 - Sale <sup>25</sup>
1.000		85.38	12/31/20	105.53	20.15 W	0.00	456 of 688 - Sale <sup>25</sup>
1.000		85.38	12/31/20	103.05	17.67 W	0.00	457 of 688 - Sale <sup>25</sup>
2.000		170.76	12/31/20	210.28	39.52 W	0.00	458 of 688 - Sale <sup>25</sup>
2.000		170.76	12/31/20	206.09	35.33 W	0.00	459 of 688 - Sale <sup>25</sup>
2.000		170.76	12/31/20	203.98	33.22 W	0.00	460 of 688 - Sale <sup>25</sup>
2.350		200.64	12/31/20	241.58	40.94 W	0.00	461 of 688 - Sale <sup>25</sup>
3.000		256.13	12/31/20	315.13	59.00 W	0.00	462 of 688 - Sale <sup>25</sup>
3.000		256.13	12/31/20	306.02	49.89 W	0.00	463 of 688 - Sale <sup>25</sup>
5.000		426.89	12/31/20	511.97	85.08 W	0.00	464 of 688 - Sale <sup>25</sup>
5.350		456.77	12/31/20	538.77	82.00 W	0.00	465 of 688 - Sale <sup>25</sup>
7.000		597.64	12/31/20	721.35	123.71 W	0.00	466 of 688 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	7.000	597.64	12/31/20	718.54	120.90 W	0.00	467 of 688 - Sale <sup>25</sup>
	7.000	597.64	12/31/20	704.94	107.30 W	0.00	468 of 688 - Sale <sup>25</sup>
	0.650	55.50	12/31/20	65.79	10.29 W	0.00	469 of 688 - Sale <sup>25</sup>
	0.650	55.50	12/31/20	64.64	9.14 W	0.00	470 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	100.15	14.77 W	0.00	471 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	100.14	14.76 W	0.00	472 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	100.61	15.23 W	0.00	473 of 688 - Sale <sup>25</sup>
	3.350	286.01	12/31/20	332.53	46.52 W	0.00	474 of 688 - Sale <sup>25</sup>
	4.000	341.51	12/31/20	402.31	60.80 W	0.00	475 of 688 - Sale <sup>25</sup>
	5.000	426.89	12/31/20	497.70	70.81 W	0.00	476 of 688 - Sale <sup>25</sup>
	8.350	712.90	12/31/20	831.21	118.31 W	0.00	477 of 688 - Sale <sup>25</sup>
	0.650	55.50	12/31/20	64.23	8.73 W	0.00	478 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	98.47	13.09 W	0.00	479 of 688 - Sale <sup>25</sup>
	3.000	256.13	12/31/20	295.27	39.14 W	0.00	480 of 688 - Sale <sup>25</sup>
	15.350	1,310.54	12/31/20	1,506.93	196.39 W	0.00	481 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	96.30	10.92 W	0.00	482 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	96.29	10.91 W	0.00	483 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	96.35	10.97 W	0.00	484 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	98.63	13.25 W	0.00	485 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	98.64	13.26 W	0.00	486 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	100.11	14.73 W	0.00	487 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	101.38	16.00 W	0.00	488 of 688 - Sale <sup>25</sup>
	1.650	140.87	12/31/20	162.64	21.77 W	0.00	489 of 688 - Sale <sup>25</sup>
	2.000	170.75	12/31/20	200.50	29.75 W	0.00	490 of 688 - Sale <sup>25</sup>
	3.000	256.13	12/31/20	289.63	33.50 W	0.00	491 of 688 - Sale <sup>25</sup>
	3.350	286.01	12/31/20	337.97	51.96 W	0.00	492 of 688 - Sale <sup>25</sup>
	5.000	426.89	12/31/20	506.42	79.53 W	0.00	493 of 688 - Sale <sup>25</sup>
	5.650	482.38	12/31/20	545.29	62.91 W	0.00	494 of 688 - Sale <sup>25</sup>
	7.350	627.52	12/31/20	710.20	82.68 W	0.00	495 of 688 - Sale <sup>25</sup>
	8.000	683.02	12/31/20	771.49	88.47 W	0.00	496 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	102.63	17.25 W	0.00	497 of 688 - Sale <sup>25</sup>
	1.000	85.38	12/31/20	102.11	16.73 W	0.00	498 of 688 - Sale <sup>25</sup>
	5.000	426.89	12/31/20	429.25	2.36 W	0.00	499 of 688 - Sale <sup>25</sup>
	0.350	28.35	12/31/20	37.27	8.92 W	0.00	500 of 688 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	0.350	28.35	12/31/20	37.95	9.60 W	0.00	501 of 688 - Sale <sup>25</sup>
	0.350	28.35	12/31/20	38.09	9.74 W	0.00	502 of 688 - Sale <sup>25</sup>
	0.650	52.66	12/31/20	69.18	16.52 W	0.00	503 of 688 - Sale <sup>25</sup>
	0.650	52.66	12/31/20	70.53	17.87 W	0.00	504 of 688 - Sale <sup>25</sup>
	1.000	81.01	12/31/20	99.14	18.13 W	0.00	505 of 688 - Sale <sup>25</sup>
	1.000	81.01	12/31/20	106.41	25.40 W	0.00	506 of 688 - Sale <sup>25</sup>
	1.000	81.01	12/31/20	106.42	25.41 W	0.00	507 of 688 - Sale <sup>25</sup>
	1.000	81.01	12/31/20	107.92	26.91 W	0.00	508 of 688 - Sale <sup>25</sup>
	2.000	162.02	12/31/20	211.28	49.26 W	0.00	509 of 688 - Sale <sup>25</sup>
	2.000	162.02	12/31/20	215.86	53.84 W	0.00	510 of 688 - Sale <sup>25</sup>
	2.000	162.02	12/31/20	215.84	53.82 W	0.00	511 of 688 - Sale <sup>25</sup>
	2.000	162.02	12/31/20	218.45	56.43 W	0.00	512 of 688 - Sale <sup>25</sup>
	2.650	214.67	12/31/20	263.70	49.03 W	0.00	513 of 688 - Sale <sup>25</sup>
	3.000	243.02	12/31/20	325.54	82.52 W	0.00	514 of 688 - Sale <sup>25</sup>
	3.000	243.02	12/31/20	324.54	81.52 W	0.00	515 of 688 - Sale <sup>25</sup>
	3.000	243.02	12/31/20	326.27	83.25 W	0.00	516 of 688 - Sale <sup>25</sup>
	1.000	81.01	12/31/20	100.47	19.46 W	0.00	517 of 688 - Sale <sup>25</sup>
	1.000	81.01	12/31/20	100.51	19.50 W	0.00	518 of 688 - Sale <sup>25</sup>
	1.000	81.01	12/31/20	100.63	19.62 W	0.00	519 of 688 - Sale <sup>25</sup>
	1.000	81.01	12/31/20	104.37	23.36 W	0.00	520 of 688 - Sale <sup>25</sup>
	3.000	243.02	12/31/20	301.43	58.41 W	0.00	521 of 688 - Sale <sup>25</sup>
	3.000	243.02	12/31/20	301.92	58.90 W	0.00	522 of 688 - Sale <sup>25</sup>
	14.000	1,134.11	12/31/20	1,406.64	272.53 W	0.00	523 of 688 - Sale <sup>25</sup>
	0.350	28.35	12/31/20	37.21	8.86 W	0.00	524 of 688 - Sale <sup>25</sup>
	0.650	52.66	12/31/20	69.08	16.42 W	0.00	525 of 688 - Sale <sup>25</sup>
	1.000	81.01	12/31/20	110.31	29.30 W	0.00	526 of 688 - Sale <sup>25</sup>
	1.000	81.01	12/31/20	110.71	29.70 W	0.00	527 of 688 - Sale <sup>25</sup>
	1.000	81.01	12/31/20	110.04	29.03 W	0.00	528 of 688 - Sale <sup>25</sup>
	1.000	81.01	12/31/20	106.54	25.53 W	0.00	529 of 688 - Sale <sup>25</sup>
	1.650	133.66	12/31/20	179.52	45.86 W	0.00	530 of 688 - Sale <sup>25</sup>
	1.650	133.66	12/31/20	176.36	42.70 W	0.00	531 of 688 - Sale <sup>25</sup>
	2.000	162.02	12/31/20	221.43	59.41 W	0.00	532 of 688 - Sale <sup>25</sup>
	2.350	190.37	12/31/20	251.38	61.01 W	0.00	533 of 688 - Sale <sup>25</sup>
	3.350	271.38	12/31/20	357.11	85.73 W	0.00	534 of 688 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	4.000	324.03	12/31/20	442.88	118.85 W	0.00	535 of 688 - Sale <sup>25</sup>
	4.000	324.03	12/31/20	434.90	110.87 W	0.00	536 of 688 - Sale <sup>25</sup>
	4.000	324.03	12/31/20	426.18	102.15 W	0.00	537 of 688 - Sale <sup>25</sup>
	6.000	486.05	12/31/20	664.29	178.24 W	0.00	538 of 688 - Sale <sup>25</sup>
	7.000	567.06	12/31/20	771.85	204.79 W	0.00	539 of 688 - Sale <sup>25</sup>
	9.000	729.07	12/31/20	958.90	229.83 W	0.00	540 of 688 - Sale <sup>25</sup>
	0.350	28.35	12/31/20	36.50	8.15 W	0.00	541 of 688 - Sale <sup>25</sup>
	0.350	28.35	12/31/20	36.69	8.34 W	0.00	542 of 688 - Sale <sup>25</sup>
	0.350	28.35	12/31/20	35.69	7.34 W	0.00	543 of 688 - Sale <sup>25</sup>
	0.650	52.66	12/31/20	68.14	15.48 W	0.00	544 of 688 - Sale <sup>25</sup>
	1.000	81.01	12/31/20	103.32	22.31 W	0.00	545 of 688 - Sale <sup>25</sup>
	1.000	81.01	12/31/20	104.37	23.36 W	0.00	546 of 688 - Sale <sup>25</sup>
	1.000	81.01	12/31/20	104.37	23.36 W	0.00	547 of 688 - Sale <sup>25</sup>
	1.000	81.01	12/31/20	104.37	23.36 W	0.00	548 of 688 - Sale <sup>25</sup>
	1.000	81.01	12/31/20	104.27	23.26 W	0.00	549 of 688 - Sale <sup>25</sup>
	1.000	81.01	12/31/20	105.88	24.87 W	0.00	550 of 688 - Sale <sup>25</sup>
	1.000	81.01	12/31/20	106.57	25.56 W	0.00	551 of 688 - Sale <sup>25</sup>
	1.000	81.01	12/31/20	102.18	21.17 W	0.00	552 of 688 - Sale <sup>25</sup>
	1.650	133.66	12/31/20	170.04	36.38 W	0.00	553 of 688 - Sale <sup>25</sup>
	2.000	162.02	12/31/20	208.75	46.73 W	0.00	554 of 688 - Sale <sup>25</sup>
	2.000	162.02	12/31/20	209.36	47.34 W	0.00	555 of 688 - Sale <sup>25</sup>
	2.000	162.02	12/31/20	204.36	42.34 W	0.00	556 of 688 - Sale <sup>25</sup>
	2.650	214.67	12/31/20	277.40	62.73 W	0.00	557 of 688 - Sale <sup>25</sup>
	3.000	243.02	12/31/20	319.76	76.74 W	0.00	558 of 688 - Sale <sup>25</sup>
	4.000	324.03	12/31/20	417.91	93.88 W	0.00	559 of 688 - Sale <sup>25</sup>
	4.000	324.03	12/31/20	417.50	93.47 W	0.00	560 of 688 - Sale <sup>25</sup>
	5.000	405.04	12/31/20	521.37	116.33 W	0.00	561 of 688 - Sale <sup>25</sup>
	6.000	486.05	12/31/20	613.07	127.02 W	0.00	562 of 688 - Sale <sup>25</sup>
	1.000	81.01	12/31/20	90.90	9.89 W	0.00	563 of 688 - Sale <sup>25</sup>
	7.000	567.06	12/31/20	619.40	52.34 W	0.00	564 of 688 - Sale <sup>25</sup>
	0.650	52.66	12/31/20	66.40	13.74 W	0.00	565 of 688 - Sale <sup>25</sup>
	0.650	52.66	12/31/20	65.88	13.22 W	0.00	566 of 688 - Sale <sup>25</sup>
	1.000	81.01	12/31/20	100.51	19.50 W	0.00	567 of 688 - Sale <sup>25</sup>
	1.000	81.01	12/31/20	100.50	19.49 W	0.00	568 of 688 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	1.000	81.01	12/31/20	101.11	20.10 W	0.00	569 of 688 - Sale <sup>25</sup>
	1.000	81.01	12/31/20	101.39	20.38 W	0.00	570 of 688 - Sale <sup>25</sup>
	1.350	109.36	12/31/20	136.48	27.12 W	0.00	571 of 688 - Sale <sup>25</sup>
	1.350	109.36	12/31/20	136.74	27.38 W	0.00	572 of 688 - Sale <sup>25</sup>
	1.350	109.36	12/31/20	137.15	27.79 W	0.00	573 of 688 - Sale <sup>25</sup>
	2.000	162.02	12/31/20	198.71	36.69 W	0.00	574 of 688 - Sale <sup>25</sup>
	2.000	162.02	12/31/20	199.65	37.63 W	0.00	575 of 688 - Sale <sup>25</sup>
	2.650	214.67	12/31/20	268.45	53.78 W	0.00	576 of 688 - Sale <sup>25</sup>
	3.000	243.02	12/31/20	304.90	61.88 W	0.00	577 of 688 - Sale <sup>25</sup>
	3.000	243.02	12/31/20	298.55	55.53 W	0.00	578 of 688 - Sale <sup>25</sup>
	3.000	243.02	12/31/20	303.88	60.86 W	0.00	579 of 688 - Sale <sup>25</sup>
	4.000	324.03	12/31/20	408.65	84.62 W	0.00	580 of 688 - Sale <sup>25</sup>
	4.000	324.03	12/31/20	399.31	75.28 W	0.00	581 of 688 - Sale <sup>25</sup>
	1.000	81.01	12/31/20	88.91	7.90 W	0.00	582 of 688 - Sale <sup>25</sup>
	1.000	81.01	12/31/20	91.94	10.93 W	0.00	583 of 688 - Sale <sup>25</sup>
	0.350	28.35	12/31/20	35.56	7.21 W	0.00	584 of 688 - Sale <sup>25</sup>
	1.000	81.01	12/31/20	101.57	20.56 W	0.00	585 of 688 - Sale <sup>25</sup>
	1.000	81.01	12/31/20	100.97	19.96 W	0.00	586 of 688 - Sale <sup>25</sup>
	1.650	133.66	12/31/20	167.83	34.17 W	0.00	587 of 688 - Sale <sup>25</sup>
	4.000	324.03	12/31/20	403.89	79.86 W	0.00	588 of 688 - Sale <sup>25</sup>
	0.650	52.66	12/31/20	66.02	13.36 W	0.00	589 of 688 - Sale <sup>25</sup>
	0.650	52.65	12/31/20	64.02	11.37 W	0.00	590 of 688 - Sale <sup>25</sup>
	1.000	81.01	12/31/20	101.58	20.57 W	0.00	591 of 688 - Sale <sup>25</sup>
	1.000	81.01	12/31/20	101.48	20.47 W	0.00	592 of 688 - Sale <sup>25</sup>
	1.000	81.01	12/31/20	99.00	17.99 W	0.00	593 of 688 - Sale <sup>25</sup>
	2.000	162.02	12/31/20	202.18	40.16 W	0.00	594 of 688 - Sale <sup>25</sup>
	2.000	162.02	12/31/20	197.99	35.97 W	0.00	595 of 688 - Sale <sup>25</sup>
	2.350	190.37	12/31/20	232.07	41.70 W	0.00	596 of 688 - Sale <sup>25</sup>
	2.350	190.37	12/31/20	231.12	40.75 W	0.00	597 of 688 - Sale <sup>25</sup>
	2.650	214.67	12/31/20	269.47	54.80 W	0.00	598 of 688 - Sale <sup>25</sup>
	3.000	243.02	12/31/20	302.99	59.97 W	0.00	599 of 688 - Sale <sup>25</sup>
	4.350	352.38	12/31/20	442.32	89.94 W	0.00	600 of 688 - Sale <sup>25</sup>
	5.000	405.04	12/31/20	508.40	103.36 W	0.00	601 of 688 - Sale <sup>25</sup>
	7.000	567.05	12/31/20	693.02	125.97 W	0.00	602 of 688 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	7.000	567.05	12/31/20	690.21	123.16 W	0.00	603 of 688 - Sale <sup>25</sup>
	0.650	52.66	12/31/20	63.53	10.87 W	0.00	604 of 688 - Sale <sup>25</sup>
	1.000	81.01	12/31/20	96.68	15.67 W	0.00	605 of 688 - Sale <sup>25</sup>
	1.000	81.01	12/31/20	96.67	15.66 W	0.00	606 of 688 - Sale <sup>25</sup>
	2.000	162.02	12/31/20	197.04	35.02 W	0.00	607 of 688 - Sale <sup>25</sup>
	2.650	214.67	12/31/20	262.15	47.48 W	0.00	608 of 688 - Sale <sup>25</sup>
	3.000	243.02	12/31/20	295.62	52.60 W	0.00	609 of 688 - Sale <sup>25</sup>
	3.350	271.38	12/31/20	321.87	50.49 W	0.00	610 of 688 - Sale <sup>25</sup>
	4.000	324.03	12/31/20	388.44	64.41 W	0.00	611 of 688 - Sale <sup>25</sup>
	5.000	405.04	12/31/20	480.36	75.32 W	0.00	612 of 688 - Sale <sup>25</sup>
	5.350	433.39	12/31/20	520.22	86.83 W	0.00	613 of 688 - Sale <sup>25</sup>
	7.000	567.05	12/31/20	680.67	113.62 W	0.00	614 of 688 - Sale <sup>25</sup>
	0.650	52.65	12/31/20	62.38	9.73 W	0.00	615 of 688 - Sale <sup>25</sup>
	0.650	52.65	12/31/20	61.97	9.32 W	0.00	616 of 688 - Sale <sup>25</sup>
	1.000	81.01	12/31/20	97.14	16.13 W	0.00	617 of 688 - Sale <sup>25</sup>
	1.000	81.01	12/31/20	95.00	13.99 W	0.00	618 of 688 - Sale <sup>25</sup>
	3.000	243.02	12/31/20	284.87	41.85 W	0.00	619 of 688 - Sale <sup>25</sup>
	3.350	271.37	12/31/20	320.92	49.55 W	0.00	620 of 688 - Sale <sup>25</sup>
	5.000	405.04	12/31/20	480.39	75.35 W	0.00	621 of 688 - Sale <sup>25</sup>
	15.350	1,243.47	12/31/20	1,453.71	210.24 W	0.00	622 of 688 - Sale <sup>25</sup>
	1.650	132.00	12/31/20	155.83	23.83 W	0.00	623 of 688 - Sale <sup>25</sup>
	2.350	187.99	12/31/20	217.18	29.19 W	0.00	624 of 688 - Sale <sup>25</sup>
	0.650	52.00	12/31/20	60.07	8.07 W	0.00	625 of 688 - Sale <sup>25</sup>
	1.000	80.00	12/31/20	92.16	12.16 W	0.00	626 of 688 - Sale <sup>25</sup>
	1.000	80.00	12/31/20	92.15	12.15 W	0.00	627 of 688 - Sale <sup>25</sup>
	1.000	80.00	12/31/20	92.21	12.21 W	0.00	628 of 688 - Sale <sup>25</sup>
	2.350	187.99	12/31/20	216.90	28.91 W	0.00	629 of 688 - Sale <sup>25</sup>
	4.350	347.99	12/31/20	402.32	54.33 W	0.00	630 of 688 - Sale <sup>25</sup>
	5.650	451.99	12/31/20	521.49	69.50 W	0.00	631 of 688 - Sale <sup>25</sup>
	0.350	28.00	12/31/20	33.07	5.07 W	0.00	632 of 688 - Sale <sup>25</sup>
	5.650	451.99	12/31/20	521.92	69.93 W	0.00	633 of 688 - Sale <sup>25</sup>
	3.000	239.99	12/31/20	277.47	37.48 W	0.00	634 of 688 - Sale <sup>25</sup>
	0.350	28.00	12/31/20	33.87	5.87 W	0.00	635 of 688 - Sale <sup>25</sup>
	0.650	52.00	12/31/20	61.42	9.42 W	0.00	636 of 688 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	1.000	80.00	12/31/20	94.51	14.51 W	0.00	637 of 688 - Sale <sup>25</sup>
	1.000	80.00	12/31/20	95.98	15.98 W	0.00	638 of 688 - Sale <sup>25</sup>
	1.000	80.00	12/31/20	97.25	17.25 W	0.00	639 of 688 - Sale <sup>25</sup>
	2.000	160.00	12/31/20	192.25	32.25 W	0.00	640 of 688 - Sale <sup>25</sup>
	5.000	399.98	12/31/20	485.78	85.80 W	0.00	641 of 688 - Sale <sup>25</sup>
	0.350	28.00	12/31/20	37.44	9.44 W	0.00	642 of 688 - Sale <sup>25</sup>
	0.350	28.00	12/31/20	38.12	10.12 W	0.00	643 of 688 - Sale <sup>25</sup>
	0.350	28.00	12/31/20	38.26	10.26 W	0.00	644 of 688 - Sale <sup>25</sup>
	0.650	52.00	12/31/20	69.50	17.50 W	0.00	645 of 688 - Sale <sup>25</sup>
	0.650	52.00	12/31/20	70.85	18.85 W	0.00	646 of 688 - Sale <sup>25</sup>
	1.000	80.00	12/31/20	99.63	19.63 W	0.00	647 of 688 - Sale <sup>25</sup>
	1.000	80.00	12/31/20	106.90	26.90 W	0.00	648 of 688 - Sale <sup>25</sup>
	1.000	80.00	12/31/20	106.91	26.91 W	0.00	649 of 688 - Sale <sup>25</sup>
	1.000	80.00	12/31/20	108.41	28.41 W	0.00	650 of 688 - Sale <sup>25</sup>
	1.000	80.00	12/31/20	110.80	30.80 W	0.00	651 of 688 - Sale <sup>25</sup>
	1.000	80.00	12/31/20	111.20	31.20 W	0.00	652 of 688 - Sale <sup>25</sup>
	1.000	80.00	12/31/20	110.53	30.53 W	0.00	653 of 688 - Sale <sup>25</sup>
	1.650	132.00	12/31/20	180.34	48.34 W	0.00	654 of 688 - Sale <sup>25</sup>
	2.000	160.00	12/31/20	212.26	52.26 W	0.00	655 of 688 - Sale <sup>25</sup>
	2.000	160.00	12/31/20	216.84	56.84 W	0.00	656 of 688 - Sale <sup>25</sup>
	2.000	160.00	12/31/20	216.82	56.82 W	0.00	657 of 688 - Sale <sup>25</sup>
	2.000	160.00	12/31/20	219.43	59.43 W	0.00	658 of 688 - Sale <sup>25</sup>
	2.000	160.00	12/31/20	222.41	62.41 W	0.00	659 of 688 - Sale <sup>25</sup>
	2.350	187.99	12/31/20	256.66	68.67 W	0.00	660 of 688 - Sale <sup>25</sup>
	2.650	212.00	12/31/20	265.01	53.01 W	0.00	661 of 688 - Sale <sup>25</sup>
	3.000	239.99	12/31/20	291.03	51.04 W	0.00	662 of 688 - Sale <sup>25</sup>
	3.000	239.99	12/31/20	327.02	87.03 W	0.00	663 of 688 - Sale <sup>25</sup>
	3.000	239.99	12/31/20	326.02	86.03 W	0.00	664 of 688 - Sale <sup>25</sup>
	3.000	239.99	12/31/20	327.75	87.76 W	0.00	665 of 688 - Sale <sup>25</sup>
	4.000	319.99	12/31/20	444.85	124.86 W	0.00	666 of 688 - Sale <sup>25</sup>
	6.000	479.99	12/31/20	667.24	187.25 W	0.00	667 of 688 - Sale <sup>25</sup>
	7.000	559.98	12/31/20	775.29	215.31 W	0.00	668 of 688 - Sale <sup>25</sup>
	1.000	80.00	12/31/20	98.75	18.75 W	0.00	669 of 688 - Sale <sup>25</sup>
	1.000	80.00	12/31/20	98.23	18.23 W	0.00	670 of 688 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol: (cont'd)							
	1.000	80.00	12/31/20	100.96	20.96 W	0.00	671 of 688 - Sale <sup>25</sup>
	1.000	80.00	12/31/20	101.00	21.00 W	0.00	672 of 688 - Sale <sup>25</sup>
	1.000	80.00	12/31/20	101.12	21.12 W	0.00	673 of 688 - Sale <sup>25</sup>
	1.000	80.00	12/31/20	104.86	24.86 W	0.00	674 of 688 - Sale <sup>25</sup>
	3.000	239.99	12/31/20	302.91	62.92 W	0.00	675 of 688 - Sale <sup>25</sup>
	3.000	239.99	12/31/20	303.40	63.41 W	0.00	676 of 688 - Sale <sup>25</sup>
	14.000	1,119.97	12/31/20	1,413.53	293.56 W	0.00	677 of 688 - Sale <sup>25</sup>
	5.000	399.99	12/31/20	409.86	9.87 W	0.00	678 of 688 - Sale <sup>25</sup>
	0.350	28.00	12/31/20	37.38	9.38 W	0.00	679 of 688 - Sale <sup>25</sup>
	0.650	52.00	12/31/20	69.40	17.40 W	0.00	680 of 688 - Sale <sup>25</sup>
	1.000	80.00	12/31/20	107.03	27.03 W	0.00	681 of 688 - Sale <sup>25</sup>
	1.650	132.00	12/31/20	180.21	48.21 W	0.00	682 of 688 - Sale <sup>25</sup>
	1.650	132.00	12/31/20	177.18	45.18 W	0.00	683 of 688 - Sale <sup>25</sup>
	2.350	187.99	12/31/20	252.53	64.54 W	0.00	684 of 688 - Sale <sup>25</sup>
	6.350	507.98	12/31/20	679.68	171.70 W	0.00	685 of 688 - Sale <sup>25</sup>
	2.650	211.99	12/31/20	283.65	71.66 W	0.00	686 of 688 - Sale <sup>25</sup>
	3.350	267.99	12/31/20	358.75	90.76 W	0.00	687 of 688 - Sale <sup>25</sup>
	4.000	319.99	12/31/20	428.15	108.16 W	0.00	688 of 688 - Sale <sup>25</sup>
12/31/20	1,973.350	168,499.34	Various	204,980.68	36,481.34 W	0.00	Total of 688 transactions
	<b>Security total:</b>	<b>608,885.54</b>		<b>666,271.53</b>	<b>67,898.56 W</b>	<b>10,512.57</b>	
RTW RETAILWINDS INC COMMON STO CK / CUSIP: 74980D100 / Symbol:							
04/17/20	1,000.000	249.98	04/17/20	365.60	...	-115.62	Sale <sup>25</sup>
RED LIONS HOTEL CORPORATION / CUSIP: 756764106 / Symbol:							
04/13/20	250.000	397.72	04/08/20	422.50	...	-24.78	Sale <sup>25</sup>
06/05/20	300.000	851.94	06/04/20	708.81	...	143.13	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,249.66</b>		<b>1,131.31</b>	...	<b>118.35</b>	
REDHILL BIOPHARMA LTD. AMERICA N DEPOSITARY SHARES / CUSIP: 757468103 / Symbol:							
2 transactions for 04/03/20. Total proceeds and cost reported to the IRS.							
	50.000	250.99	04/02/20	261.76	...	-10.77	1 of 2 - Sale <sup>25</sup>
	50.000	250.99	04/02/20	259.53	...	-8.54	2 of 2 - Sale <sup>25</sup>
04/03/20	100.000	501.98	Various	521.29	...	-19.31	Total of 2 transactions

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(continued)

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REGIONAL HEALTH PROPERTIES, IN C. / CUSIP: 75903M309 / Symbol:							
04/01/20	250.000	327.50	03/31/20	349.98	...	-22.48	Sale <sup>25</sup>
REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol:							
<i>2 transactions for 05/05/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	21.15	05/04/20	19.44	...	1.71	1 of 2 - Sale <sup>25</sup>
	200.000	169.19	05/04/20	154.90	...	14.29	2 of 2 - Sale <sup>25</sup>
05/05/20	225.000	190.34	Various	174.34	...	16.00	Total of 2 transactions
05/07/20	100.000	82.99	05/06/20	74.80	...	8.19	Sale <sup>25</sup>
<i>7 transactions for 05/12/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	22.50	05/11/20	14.10	...	8.40	1 of 7 - Sale <sup>25</sup>
	10.000	22.50	05/11/20	14.10	...	8.40	2 of 7 - Sale <sup>25</sup>
	10.000	22.50	05/11/20	14.10	...	8.40	3 of 7 - Sale <sup>25</sup>
	40.000	90.00	05/11/20	56.40	...	33.60	4 of 7 - Sale <sup>25</sup>
	100.000	224.98	05/11/20	157.99	...	66.99	5 of 7 - Sale <sup>25</sup>
	100.000	224.98	05/11/20	152.00	...	72.98	6 of 7 - Sale <sup>25</sup>
	230.000	517.46	05/11/20	324.30	...	193.16	7 of 7 - Sale <sup>25</sup>
05/12/20	500.000	1,124.92	Various	732.99	...	391.93	Total of 7 transactions
<i>2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	180.00	05/12/20	249.99	69.99 W	0.00	1 of 2 - Sale <sup>25</sup>
	100.000	180.00	05/12/20	249.99	69.99 W	0.00	2 of 2 - Sale <sup>25</sup>
05/12/20	200.000	360.00	Various	499.98	139.98 W	0.00	Total of 2 transactions
<i>3 transactions for 05/14/20. Total proceeds and cost reported to the IRS.</i>							
	97.000	137.73	05/13/20	237.64	99.91 W	0.00	1 of 3 - Sale <sup>25</sup>
	3.000	4.27	05/13/20	7.35	3.08 W	0.00	2 of 3 - Sale <sup>25</sup>
	100.000	142.49	05/13/20	244.99	102.50 W	0.00	3 of 3 - Sale <sup>25</sup>
05/14/20	200.000	284.49	Various	489.98	205.49 W	0.00	Total of 3 transactions
<i>2 transactions for 05/27/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	148.99	05/26/20	193.00	...	-44.01	1 of 2 - Sale <sup>25</sup>
	50.000	148.99	05/26/20	141.75	...	7.24	2 of 2 - Sale <sup>25</sup>
05/27/20	100.000	297.98	Various	334.75	...	-36.77	Total of 2 transactions
<i>3 transactions for 05/27/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	8.94	05/22/20	9.74	0.80 W	0.00	1 of 3 - Sale <sup>25</sup>
	50.000	148.99	05/22/20	162.25	13.26 W	0.00	2 of 3 - Sale <sup>25</sup>

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REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol: (cont'd)							
05/27/20	97.000	289.04	05/22/20	315.25	26.21 W	0.00	3 of 3 - Sale <sup>25</sup>
	150.000	446.97	Various	487.24	40.27 W	0.00	Total of 3 transactions
	3 transactions for 05/29/20. Total proceeds and cost reported to the IRS.						
	97.000	238.12	05/27/20	330.31	...	-92.19	1 of 3 - Sale <sup>25</sup>
	50.000	122.75	05/27/20	170.01	...	-47.26	2 of 3 - Sale <sup>25</sup>
	3.000	7.36	05/27/20	10.20	...	-2.84	3 of 3 - Sale <sup>25</sup>
05/29/20	150.000	368.23	Various	510.52	...	-142.29	Total of 3 transactions
	9 transactions for 07/31/20. Total proceeds and cost reported to the IRS.						
	26.000	45.49	07/31/20	43.68	...	1.81	1 of 9 - Sale <sup>25</sup>
	26.000	45.50	07/31/20	43.68	...	1.82	2 of 9 - Sale <sup>25</sup>
	35.000	61.24	07/31/20	58.80	...	2.44	3 of 9 - Sale <sup>25</sup>
	24.000	42.00	07/31/20	37.80	...	4.20	4 of 9 - Sale <sup>25</sup>
	90.000	157.49	07/31/20	151.20	...	6.29	5 of 9 - Sale <sup>25</sup>
	174.000	304.47	07/31/20	292.32	...	12.15	6 of 9 - Sale <sup>25</sup>
	399.000	698.18	07/31/20	670.32	...	27.86	7 of 9 - Sale <sup>25</sup>
	250.000	437.45	07/31/20	393.75	...	43.70	8 of 9 - Sale <sup>25</sup>
	476.000	832.92	07/31/20	749.70	...	83.22	9 of 9 - Sale <sup>25</sup>
07/31/20	1,500.000	2,624.74	Various	2,441.25	...	183.49	Total of 9 transactions
	27 transactions for 07/31/20. Total proceeds and cost reported to the IRS.						
	300.000	524.95	07/31/20	540.00	15.05 W	0.00	1 of 27 - Sale <sup>25</sup>
	200.000	349.97	07/31/20	360.00	10.03 W	0.00	2 of 27 - Sale <sup>25</sup>
	1,180.000	2,064.81	07/31/20	2,135.80	70.99 W	0.00	3 of 27 - Sale <sup>25</sup>
	120.000	209.99	07/31/20	223.22	13.23 W	0.00	4 of 27 - Sale <sup>25</sup>
	20.000	35.00	07/31/20	37.20	2.20 W	0.00	5 of 27 - Sale <sup>25</sup>
	180.000	314.96	07/31/20	334.83	19.87 W	0.00	6 of 27 - Sale <sup>25</sup>
	100.000	174.98	07/31/20	188.53	13.55 W	0.00	7 of 27 - Sale <sup>25</sup>
	80.000	139.98	07/31/20	150.82	10.84 W	0.00	8 of 27 - Sale <sup>25</sup>
	220.000	384.96	07/31/20	416.96	32.00 W	0.00	9 of 27 - Sale <sup>25</sup>
	100.000	169.49	07/31/20	176.52	7.03 W	0.00	10 of 27 - Sale <sup>25</sup>
	100.000	169.49	07/31/20	176.52	7.03 W	0.00	11 of 27 - Sale <sup>25</sup>
	100.000	169.49	07/31/20	176.51	7.02 W	0.00	12 of 27 - Sale <sup>25</sup>
	100.000	169.49	07/31/20	176.52	7.03 W	0.00	13 of 27 - Sale <sup>25</sup>
	100.000	169.49	07/31/20	176.51	7.02 W	0.00	14 of 27 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol: (cont'd)							
	120.000	191.37	07/31/20	219.03	27.66 W	0.00	15 of 27 - Sale <sup>25</sup>
	170.000	271.11	07/31/20	310.32	39.21 W	0.00	16 of 27 - Sale <sup>25</sup>
	460.000	733.58	07/31/20	816.57	82.99 W	0.00	17 of 27 - Sale <sup>25</sup>
	10.000	15.95	07/31/20	18.45	2.50 W	0.00	18 of 27 - Sale <sup>25</sup>
	20.000	31.89	07/31/20	36.90	5.01 W	0.00	19 of 27 - Sale <sup>25</sup>
	40.000	63.79	07/31/20	75.22	11.43 W	0.00	20 of 27 - Sale <sup>25</sup>
	80.000	127.58	07/31/20	149.64	22.06 W	0.00	21 of 27 - Sale <sup>25</sup>
	100.000	159.47	07/31/20	187.05	27.58 W	0.00	22 of 27 - Sale <sup>25</sup>
	20.000	31.90	07/31/20	36.81	4.91 W	0.00	23 of 27 - Sale <sup>25</sup>
	180.000	287.05	07/31/20	344.78	57.73 W	0.00	24 of 27 - Sale <sup>25</sup>
	20.000	31.90	07/31/20	36.80	4.90 W	0.00	25 of 27 - Sale <sup>25</sup>
	80.000	127.58	07/31/20	147.22	19.64 W	0.00	26 of 27 - Sale <sup>25</sup>
	100.000	159.47	07/31/20	184.03	24.56 W	0.00	27 of 27 - Sale <sup>25</sup>
07/31/20	4,300.000	7,279.69	Various	7,832.76	553.07 W	0.00	Total of 27 transactions
	23 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	180.000	331.94	08/10/20	400.63	...	-68.69	1 of 23 - Sale <sup>25</sup>
	240.000	442.77	08/10/20	502.94	...	-60.17	2 of 23 - Sale <sup>25</sup>
	140.000	261.07	08/10/20	303.19	...	-42.12	3 of 23 - Sale <sup>25</sup>
	100.000	184.42	08/10/20	222.06	...	-37.64	4 of 23 - Sale <sup>25</sup>
	100.000	184.41	08/10/20	218.08	...	-33.67	5 of 23 - Sale <sup>25</sup>
	170.000	313.62	08/10/20	344.35	...	-30.73	6 of 23 - Sale <sup>25</sup>
	80.000	147.53	08/10/20	174.46	...	-26.93	7 of 23 - Sale <sup>25</sup>
	60.000	110.65	08/10/20	133.23	...	-22.58	8 of 23 - Sale <sup>25</sup>
	100.000	184.42	08/10/20	204.55	...	-20.13	9 of 23 - Sale <sup>25</sup>
	100.000	184.41	08/10/20	204.54	...	-20.13	10 of 23 - Sale <sup>25</sup>
	50.000	90.25	08/10/20	107.28	...	-17.03	11 of 23 - Sale <sup>25</sup>
	80.000	147.53	08/10/20	163.63	...	-16.10	12 of 23 - Sale <sup>25</sup>
	40.000	73.77	08/10/20	87.63	...	-13.86	13 of 23 - Sale <sup>25</sup>
	40.000	73.77	08/10/20	86.22	...	-12.45	14 of 23 - Sale <sup>25</sup>
	40.000	73.79	08/10/20	85.83	...	-12.04	15 of 23 - Sale <sup>25</sup>
	20.000	36.88	08/10/20	44.40	...	-7.52	16 of 23 - Sale <sup>25</sup>
	20.000	36.88	08/10/20	43.11	...	-6.23	17 of 23 - Sale <sup>25</sup>
	20.000	36.88	08/10/20	43.01	...	-6.13	18 of 23 - Sale <sup>25</sup>
	20.000	36.88	08/10/20	43.01	...	-6.13	19 of 23 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol: (cont'd)							
	20.000	36.88	08/10/20	42.71	...	-5.83	20 of 23 - Sale <sup>25</sup>
	10.000	18.44	08/10/20	21.55	...	-3.11	21 of 23 - Sale <sup>25</sup>
	10.000	18.65	08/10/20	21.36	...	-2.71	22 of 23 - Sale <sup>25</sup>
	10.000	18.44	08/10/20	20.86	...	-2.42	23 of 23 - Sale <sup>25</sup>
08/10/20	1,650.000	3,044.28	Various	3,518.63	...	-474.35	Total of 23 transactions
	6 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	120.000	223.78	08/10/20	259.86	28.56 W	-7.52	1 of 6 - Sale <sup>25</sup>
	80.000	154.79	08/10/20	160.42	5.63 W	0.00	2 of 6 - Sale <sup>25</sup>
	100.000	193.48	08/10/20	200.53	7.05 W	0.00	3 of 6 - Sale <sup>25</sup>
	100.000	193.48	08/10/20	200.52	7.04 W	0.00	4 of 6 - Sale <sup>25</sup>
	220.000	425.66	08/10/20	465.39	39.73 W	0.00	5 of 6 - Sale <sup>25</sup>
	240.000	447.56	08/10/20	507.70	60.14 W	0.00	6 of 6 - Sale <sup>25</sup>
08/10/20	860.000	1,638.75	Various	1,794.42	148.15 W	-7.52	Total of 6 transactions
08/14/20	5.000	7.23	08/13/20	9.45	...	-2.22	Sale <sup>25</sup>
	<b>Security total:</b>	<b>17,750.61</b>		<b>18,901.11</b>	<b>1,086.96 W</b>	<b>-63.54</b>	
RESMED INC. / CUSIP: 761152107 / Symbol:							
06/25/20	25.000	4,614.55	06/25/20	4,642.65	5.62 W	-22.48	Sale <sup>25</sup>
	2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.						
	3.000	568.45	06/30/20	577.25	...	-8.80	1 of 2 - Sale <sup>25</sup>
	2.000	379.26	06/30/20	384.84	...	-5.58	2 of 2 - Sale <sup>25</sup>
07/01/20	5.000	947.71	Various	962.09	...	-14.38	Total of 2 transactions
	<b>Security total:</b>	<b>5,562.26</b>		<b>5,604.74</b>	<b>5.62 W</b>	<b>-36.86</b>	
RETRACTABLE TECHNOLOGIES, INC / CUSIP: 76129W105 / Symbol:							
	10 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	200.000	1,979.93	08/13/20	2,001.99	...	-22.06	1 of 10 - Sale <sup>25</sup>
	78.000	772.17	08/13/20	785.87	...	-13.70	2 of 10 - Sale <sup>25</sup>
	190.000	1,880.94	08/13/20	1,894.30	...	-13.36	3 of 10 - Sale <sup>25</sup>
	100.000	989.97	08/13/20	1,001.00	...	-11.03	4 of 10 - Sale <sup>25</sup>
	46.000	455.38	08/13/20	463.69	...	-8.31	5 of 10 - Sale <sup>25</sup>
	32.000	316.79	08/13/20	322.57	...	-5.78	6 of 10 - Sale <sup>25</sup>
	22.000	217.79	08/13/20	221.77	...	-3.98	7 of 10 - Sale <sup>25</sup>
	25.000	239.25	08/13/20	242.01	...	-2.76	8 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

Table with columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), 7- Gain or loss(-) & Loss not allowed (X) also not reported (Z), Additional information. Rows include RETRACTABLE TECHNOLOGIES, INC / CUSIP: 76129W105 / Symbol: (cont'd) with various transaction dates and amounts.

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Account 527125207

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
RETRACTABLE TECHNOLOGIES, INC / CUSIP: 76129W105 / Symbol: (cont'd)							
	15.000	137.22	10/12/20	142.50	5.28 W	0.00	22 of 28 - Sale <sup>25</sup>
	40.000	365.92	10/12/20	385.19	19.27 W	0.00	23 of 28 - Sale <sup>25</sup>
	45.000	411.65	10/12/20	433.35	21.70 W	0.00	24 of 28 - Sale <sup>25</sup>
	15.000	137.22	10/12/20	144.45	7.23 W	0.00	25 of 28 - Sale <sup>25</sup>
	40.000	365.92	10/12/20	385.20	19.28 W	0.00	26 of 28 - Sale <sup>25</sup>
	60.000	548.88	10/12/20	577.79	28.91 W	0.00	27 of 28 - Sale <sup>25</sup>
	35.000	320.18	10/12/20	337.05	16.87 W	0.00	28 of 28 - Sale <sup>25</sup>
10/12/20	1,450.000	13,361.74	Various	13,800.94	439.20 W	0.00	Total of 28 transactions
10/13/20	97.000	878.80	10/12/20	913.76	34.96 W	0.00	Sale <sup>25</sup>
	27 transactions for 10/14/20. Total proceeds and cost reported to the IRS.						
	3.000	27.33	10/13/20	28.05	...	-0.72	1 of 27 - Sale <sup>25</sup>
	3.000	27.33	10/13/20	28.05	...	-0.72	2 of 27 - Sale <sup>25</sup>
	1.000	9.13	10/13/20	9.10	...	0.03	3 of 27 - Sale <sup>25</sup>
	2.000	18.26	10/13/20	18.20	...	0.06	4 of 27 - Sale <sup>25</sup>
	72.000	655.89	10/13/20	650.88	...	5.01	5 of 27 - Sale <sup>25</sup>
	60.000	547.01	10/14/20	577.92	...	-30.91	6 of 27 - Sale <sup>25</sup>
	45.000	410.26	10/14/20	433.46	...	-23.20	7 of 27 - Sale <sup>25</sup>
	70.000	638.18	10/14/20	660.86	...	-22.68	8 of 27 - Sale <sup>25</sup>
	35.000	319.09	10/14/20	337.42	...	-18.33	9 of 27 - Sale <sup>25</sup>
	27.000	246.15	10/14/20	260.07	...	-13.92	10 of 27 - Sale <sup>25</sup>
	27.000	246.16	10/14/20	260.07	...	-13.91	11 of 27 - Sale <sup>25</sup>
	30.000	273.51	10/14/20	286.53	...	-13.02	12 of 27 - Sale <sup>25</sup>
	22.000	200.57	10/14/20	211.90	...	-11.33	13 of 27 - Sale <sup>25</sup>
	22.000	200.57	10/14/20	210.12	...	-9.55	14 of 27 - Sale <sup>25</sup>
	27.000	246.16	10/14/20	254.91	...	-8.75	15 of 27 - Sale <sup>25</sup>
	22.000	200.57	10/14/20	208.59	...	-8.02	16 of 27 - Sale <sup>25</sup>
	15.000	136.75	10/14/20	144.49	...	-7.74	17 of 27 - Sale <sup>25</sup>
	13.000	118.52	10/14/20	125.47	...	-6.95	18 of 27 - Sale <sup>25</sup>
	13.000	118.52	10/14/20	125.23	...	-6.71	19 of 27 - Sale <sup>25</sup>
	13.000	118.52	10/14/20	125.22	...	-6.70	20 of 27 - Sale <sup>25</sup>
	15.000	136.75	10/14/20	142.84	...	-6.09	21 of 27 - Sale <sup>25</sup>
	13.000	118.52	10/14/20	124.16	...	-5.64	22 of 27 - Sale <sup>25</sup>
	13.000	118.52	10/14/20	123.27	...	-4.75	23 of 27 - Sale <sup>25</sup>
	11.000	100.29	10/14/20	104.30	...	-4.01	24 of 27 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RETRACTABLE TECHNOLOGIES, INC / CUSIP: 76129W105 / Symbol: (cont'd)							
	3.000	27.35	10/14/20	28.41	...	-1.06	25 of 27 - Sale <sup>25</sup>
	2.000	18.23	10/14/20	19.10	...	-0.87	26 of 27 - Sale <sup>25</sup>
	2.000	18.23	10/14/20	18.94	...	-0.71	27 of 27 - Sale <sup>25</sup>
10/14/20	581.000	5,296.37	Various	5,517.56	...	-221.19	Total of 27 transactions
	38 transactions for 10/14/20. Total proceeds and cost reported to the IRS.						
	57.000	519.25	10/13/20	533.01	2.66 W	-11.10	1 of 38 - Sale <sup>25</sup>
	1.000	9.13	10/13/20	9.19	0.06 W	0.00	2 of 38 - Sale <sup>25</sup>
	2.000	18.26	10/13/20	18.38	0.12 W	0.00	3 of 38 - Sale <sup>25</sup>
	5.000	45.65	10/13/20	47.60	1.95 W	0.00	4 of 38 - Sale <sup>25</sup>
	35.000	319.54	10/13/20	333.56	14.02 W	0.00	5 of 38 - Sale <sup>25</sup>
	57.000	520.39	10/13/20	543.23	22.84 W	0.00	6 of 38 - Sale <sup>25</sup>
	5.000	45.65	10/13/20	47.65	2.00 W	0.00	7 of 38 - Sale <sup>25</sup>
	30.000	273.89	10/13/20	285.91	12.02 W	0.00	8 of 38 - Sale <sup>25</sup>
	35.000	319.53	10/13/20	333.55	14.02 W	0.00	9 of 38 - Sale <sup>25</sup>
	3.000	27.39	10/13/20	28.59	1.20 W	0.00	10 of 38 - Sale <sup>25</sup>
	27.000	246.50	10/13/20	253.81	7.31 W	0.00	11 of 38 - Sale <sup>25</sup>
	5.000	45.65	10/13/20	47.15	1.50 W	0.00	12 of 38 - Sale <sup>25</sup>
	22.000	200.85	10/13/20	207.69	6.84 W	0.00	13 of 38 - Sale <sup>25</sup>
	70.000	639.09	10/13/20	658.03	18.94 W	0.00	14 of 38 - Sale <sup>25</sup>
	3.000	27.39	10/13/20	28.32	0.93 W	0.00	15 of 38 - Sale <sup>25</sup>
	5.000	45.65	10/13/20	47.20	1.55 W	0.00	16 of 38 - Sale <sup>25</sup>
	13.000	118.68	10/13/20	122.73	4.05 W	0.00	17 of 38 - Sale <sup>25</sup>
	22.000	200.85	10/13/20	207.69	6.84 W	0.00	18 of 38 - Sale <sup>25</sup>
	57.000	520.39	10/13/20	538.12	17.73 W	0.00	19 of 38 - Sale <sup>25</sup>
	30.000	273.89	10/13/20	283.22	9.33 W	0.00	20 of 38 - Sale <sup>25</sup>
	13.000	118.69	10/13/20	122.73	4.04 W	0.00	21 of 38 - Sale <sup>25</sup>
	35.000	319.54	10/13/20	333.56	14.02 W	0.00	22 of 38 - Sale <sup>25</sup>
	22.000	200.86	10/13/20	209.48	8.62 W	0.00	23 of 38 - Sale <sup>25</sup>
	13.000	118.69	10/13/20	123.79	5.10 W	0.00	24 of 38 - Sale <sup>25</sup>
	27.000	246.50	10/13/20	257.09	10.59 W	0.00	25 of 38 - Sale <sup>25</sup>
	60.000	547.79	10/13/20	571.31	23.52 W	0.00	26 of 38 - Sale <sup>25</sup>
	15.000	136.94	10/13/20	142.83	5.89 W	0.00	27 of 38 - Sale <sup>25</sup>
	13.000	118.68	10/13/20	123.79	5.11 W	0.00	28 of 38 - Sale <sup>25</sup>
	27.000	246.50	10/13/20	257.09	10.59 W	0.00	29 of 38 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RETRACTABLE TECHNOLOGIES, INC / CUSIP: 76129W105 / Symbol: (cont'd)							
	45.000	410.84	10/13/20	428.50	17.66 W	0.00	30 of 38 - Sale <sup>25</sup>
	3.000	27.33	10/13/20	28.02	0.69 W	0.00	31 of 38 - Sale <sup>25</sup>
	27.000	245.96	10/13/20	251.39	5.43 W	0.00	32 of 38 - Sale <sup>25</sup>
	70.000	637.68	10/13/20	651.74	14.06 W	0.00	33 of 38 - Sale <sup>25</sup>
	2.000	18.22	10/13/20	18.68	0.46 W	0.00	34 of 38 - Sale <sup>25</sup>
	13.000	118.42	10/13/20	121.57	3.15 W	0.00	35 of 38 - Sale <sup>25</sup>
	22.000	200.41	10/13/20	205.72	5.31 W	0.00	36 of 38 - Sale <sup>25</sup>
	15.000	136.64	10/13/20	140.88	4.24 W	0.00	37 of 38 - Sale <sup>25</sup>
	13.000	118.43	10/13/20	123.78	5.35 W	0.00	38 of 38 - Sale <sup>25</sup>
10/14/20	919.000	8,385.74	Various	8,686.58	289.74 W	-11.10	Total of 38 transactions
	<b>Security total:</b>	<b>44,313.66</b>		<b>45,451.19</b>	<b>811.63 W</b>	<b>-325.90</b>	

**RISE EDUCATION CAYMAN LTD AMER ICAN DEPOSITARY SHARES / CUSIP: 76761L102 / Symbol:**

180 transactions for 08/18/20. Total proceeds and cost reported to the IRS.

1.000	6.35	08/17/20	5.98	...	0.37	1 of 180 - Sale <sup>25</sup>
1.000	6.35	08/17/20	5.98	...	0.37	2 of 180 - Sale <sup>25</sup>
1.000	6.35	08/17/20	5.98	...	0.37	3 of 180 - Sale <sup>25</sup>
2.000	12.70	08/17/20	11.96	...	0.74	4 of 180 - Sale <sup>25</sup>
2.000	12.70	08/17/20	11.96	...	0.74	5 of 180 - Sale <sup>25</sup>
3.000	19.05	08/17/20	17.94	...	1.11	6 of 180 - Sale <sup>25</sup>
10.000	63.10	08/17/20	60.00	...	3.10	7 of 180 - Sale <sup>25</sup>
12.000	75.72	08/17/20	72.00	...	3.72	8 of 180 - Sale <sup>25</sup>
12.000	76.20	08/17/20	71.76	...	4.44	9 of 180 - Sale <sup>25</sup>
18.000	114.30	08/17/20	107.64	...	6.66	10 of 180 - Sale <sup>25</sup>
20.000	127.00	08/17/20	119.60	...	7.40	11 of 180 - Sale <sup>25</sup>
25.000	158.74	08/17/20	150.00	...	8.74	12 of 180 - Sale <sup>25</sup>
43.000	273.05	08/17/20	257.14	...	15.91	13 of 180 - Sale <sup>25</sup>
45.000	285.75	08/17/20	269.10	...	16.65	14 of 180 - Sale <sup>25</sup>
50.000	317.49	08/17/20	300.00	...	17.49	15 of 180 - Sale <sup>25</sup>
59.000	371.68	08/17/20	354.00	...	17.68	16 of 180 - Sale <sup>25</sup>
48.000	304.79	08/17/20	287.04	...	17.75	17 of 180 - Sale <sup>25</sup>
45.000	285.74	08/17/20	267.75	...	17.99	18 of 180 - Sale <sup>25</sup>
67.000	425.43	08/17/20	400.66	...	24.77	19 of 180 - Sale <sup>25</sup>
100.000	634.97	08/17/20	600.00	...	34.97	20 of 180 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RISE EDUCATION CAYMAN LTD AMER ICAN DEPOSITARY SHARES / CUSIP: 76761L102 / Symbol: (cont'd)							
100.000		634.97	08/17/20	600.00	...	34.97	21 of 180 - Sale <sup>25</sup>
100.000		634.97	08/17/20	600.00	...	34.97	22 of 180 - Sale <sup>25</sup>
100.000		634.97	08/17/20	600.00	...	34.97	23 of 180 - Sale <sup>25</sup>
100.000		635.97	08/17/20	598.00	...	37.97	24 of 180 - Sale <sup>25</sup>
125.000		793.72	08/17/20	743.75	...	49.97	25 of 180 - Sale <sup>25</sup>
188.000		1,193.75	08/17/20	1,128.00	...	65.75	26 of 180 - Sale <sup>25</sup>
200.000		1,269.95	08/17/20	1,200.00	...	69.95	27 of 180 - Sale <sup>25</sup>
200.000		1,269.94	08/17/20	1,190.00	...	79.94	28 of 180 - Sale <sup>25</sup>
461.000		2,927.23	08/17/20	2,766.00	...	161.23	29 of 180 - Sale <sup>25</sup>
483.000		3,066.93	08/17/20	2,898.00	...	168.93	30 of 180 - Sale <sup>25</sup>
500.000		3,174.87	08/17/20	3,000.00	...	174.87	31 of 180 - Sale <sup>25</sup>
2,242.000		14,236.12	08/17/20	13,452.00	...	784.12	32 of 180 - Sale <sup>25</sup>
79.000		667.52	08/18/20	798.00	...	-130.48	33 of 180 - Sale <sup>25</sup>
92.000		777.37	08/18/20	889.83	...	-112.46	34 of 180 - Sale <sup>25</sup>
71.000		514.73	08/18/20	608.49	...	-93.76	35 of 180 - Sale <sup>25</sup>
98.000		828.07	08/18/20	916.40	...	-88.33	36 of 180 - Sale <sup>25</sup>
53.000		447.83	08/18/20	535.81	...	-87.98	37 of 180 - Sale <sup>25</sup>
49.000		414.03	08/18/20	494.96	...	-80.93	38 of 180 - Sale <sup>25</sup>
50.000		362.49	08/18/20	434.46	...	-71.97	39 of 180 - Sale <sup>25</sup>
30.000		253.49	08/18/20	319.47	...	-65.98	40 of 180 - Sale <sup>25</sup>
43.000		363.33	08/18/20	429.17	...	-65.84	41 of 180 - Sale <sup>25</sup>
42.000		304.49	08/18/20	362.02	...	-57.53	42 of 180 - Sale <sup>25</sup>
100.000		724.97	08/18/20	781.89	...	-56.92	43 of 180 - Sale <sup>25</sup>
100.000		724.97	08/18/20	781.89	...	-56.92	44 of 180 - Sale <sup>25</sup>
100.000		724.97	08/18/20	781.88	...	-56.91	45 of 180 - Sale <sup>25</sup>
44.000		371.78	08/18/20	428.62	...	-56.84	46 of 180 - Sale <sup>25</sup>
43.000		311.74	08/18/20	367.68	...	-55.94	47 of 180 - Sale <sup>25</sup>
96.000		695.97	08/18/20	750.61	...	-54.64	48 of 180 - Sale <sup>25</sup>
34.000		246.49	08/18/20	292.03	...	-45.54	49 of 180 - Sale <sup>25</sup>
29.000		245.04	08/18/20	290.04	...	-45.00	50 of 180 - Sale <sup>25</sup>
29.000		210.24	08/18/20	252.28	...	-42.04	51 of 180 - Sale <sup>25</sup>
27.000		195.74	08/18/20	234.90	...	-39.16	52 of 180 - Sale <sup>25</sup>
29.000		210.24	08/18/20	248.53	...	-38.29	53 of 180 - Sale <sup>25</sup>
22.000		185.89	08/18/20	222.42	...	-36.53	54 of 180 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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RISE EDUCATION CAYMAN LTD AMER ICAN DEPOSITARY SHARES / CUSIP: 76761L102 / Symbol: (cont'd)							
21.000		177.44	08/18/20	212.30	...	-34.86	55 of 180 - Sale <sup>25</sup>
21.000		177.44	08/18/20	212.29	...	-34.85	56 of 180 - Sale <sup>25</sup>
21.000		177.44	08/18/20	212.13	...	-34.69	57 of 180 - Sale <sup>25</sup>
15.000		126.75	08/18/20	159.94	...	-33.19	58 of 180 - Sale <sup>25</sup>
57.000		413.22	08/18/20	445.67	...	-32.45	59 of 180 - Sale <sup>25</sup>
22.000		159.49	08/18/20	189.63	...	-30.14	60 of 180 - Sale <sup>25</sup>
22.000		159.49	08/18/20	189.00	...	-29.51	61 of 180 - Sale <sup>25</sup>
21.000		152.24	08/18/20	179.98	...	-27.74	62 of 180 - Sale <sup>25</sup>
16.000		135.19	08/18/20	161.75	...	-26.56	63 of 180 - Sale <sup>25</sup>
10.000		84.50	08/18/20	106.58	...	-22.08	64 of 180 - Sale <sup>25</sup>
15.000		108.75	08/18/20	130.50	...	-21.75	65 of 180 - Sale <sup>25</sup>
12.000		101.40	08/18/20	121.31	...	-19.91	66 of 180 - Sale <sup>25</sup>
35.000		253.75	08/18/20	273.66	...	-19.91	67 of 180 - Sale <sup>25</sup>
11.000		92.95	08/18/20	111.61	...	-18.66	68 of 180 - Sale <sup>25</sup>
14.000		101.50	08/18/20	119.70	...	-18.20	69 of 180 - Sale <sup>25</sup>
30.000		217.49	08/18/20	234.56	...	-17.07	70 of 180 - Sale <sup>25</sup>
10.000		84.50	08/18/20	101.22	...	-16.72	71 of 180 - Sale <sup>25</sup>
10.000		84.50	08/18/20	101.06	...	-16.56	72 of 180 - Sale <sup>25</sup>
12.000		87.00	08/18/20	102.59	...	-15.59	73 of 180 - Sale <sup>25</sup>
7.000		59.15	08/18/20	74.53	...	-15.38	74 of 180 - Sale <sup>25</sup>
9.000		76.05	08/18/20	90.98	...	-14.93	75 of 180 - Sale <sup>25</sup>
10.000		72.50	08/18/20	86.59	...	-14.09	76 of 180 - Sale <sup>25</sup>
8.000		67.60	08/18/20	80.97	...	-13.37	77 of 180 - Sale <sup>25</sup>
8.000		67.60	08/18/20	80.24	...	-12.64	78 of 180 - Sale <sup>25</sup>
7.000		59.15	08/18/20	70.74	...	-11.59	79 of 180 - Sale <sup>25</sup>
8.000		58.00	08/18/20	68.41	...	-10.41	80 of 180 - Sale <sup>25</sup>
18.000		130.50	08/18/20	140.74	...	-10.24	81 of 180 - Sale <sup>25</sup>
6.000		50.70	08/18/20	60.73	...	-10.03	82 of 180 - Sale <sup>25</sup>
6.000		50.70	08/18/20	60.65	...	-9.95	83 of 180 - Sale <sup>25</sup>
4.000		33.80	08/18/20	42.60	...	-8.80	84 of 180 - Sale <sup>25</sup>
6.000		43.50	08/18/20	52.07	...	-8.57	85 of 180 - Sale <sup>25</sup>
5.000		42.25	08/18/20	50.55	...	-8.30	86 of 180 - Sale <sup>25</sup>
5.000		42.25	08/18/20	50.45	...	-8.20	87 of 180 - Sale <sup>25</sup>
5.000		42.25	08/18/20	50.30	...	-8.05	88 of 180 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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RISE EDUCATION CAYMAN LTD AMER ICAN DEPOSITARY SHARES / CUSIP: 76761L102 / Symbol: (cont'd)							
	5.000	36.25	08/18/20	43.09	...	-6.84	89 of 180 - Sale <sup>25</sup>
	3.000	25.35	08/18/20	31.95	...	-6.60	90 of 180 - Sale <sup>25</sup>
	3.000	25.35	08/18/20	30.36	...	-5.01	91 of 180 - Sale <sup>25</sup>
	3.000	25.35	08/18/20	30.33	...	-4.98	92 of 180 - Sale <sup>25</sup>
	3.000	25.35	08/18/20	29.94	...	-4.59	93 of 180 - Sale <sup>25</sup>
	2.000	16.90	08/18/20	20.29	...	-3.39	94 of 180 - Sale <sup>25</sup>
	2.000	16.90	08/18/20	20.20	...	-3.30	95 of 180 - Sale <sup>25</sup>
	2.000	14.50	08/18/20	17.18	...	-2.68	96 of 180 - Sale <sup>25</sup>
	2.000	14.50	08/18/20	17.10	...	-2.60	97 of 180 - Sale <sup>25</sup>
	1.000	8.45	08/18/20	10.66	...	-2.21	98 of 180 - Sale <sup>25</sup>
	1.000	8.45	08/18/20	10.12	...	-1.67	99 of 180 - Sale <sup>25</sup>
	1.000	8.45	08/18/20	10.11	...	-1.66	100 of 180 - Sale <sup>25</sup>
	1.000	8.45	08/18/20	10.11	...	-1.66	101 of 180 - Sale <sup>25</sup>
	1.000	8.45	08/18/20	10.11	...	-1.66	102 of 180 - Sale <sup>25</sup>
	1.000	8.45	08/18/20	10.06	...	-1.61	103 of 180 - Sale <sup>25</sup>
	1.000	9.50	08/18/20	9.36	...	0.14	104 of 180 - Sale <sup>25</sup>
	1.000	9.50	08/18/20	9.36	...	0.14	105 of 180 - Sale <sup>25</sup>
	1.000	9.50	08/18/20	9.32	...	0.18	106 of 180 - Sale <sup>25</sup>
	3.000	28.50	08/18/20	28.09	...	0.41	107 of 180 - Sale <sup>25</sup>
	1.000	9.50	08/18/20	8.88	...	0.62	108 of 180 - Sale <sup>25</sup>
	4.000	38.00	08/18/20	37.25	...	0.75	109 of 180 - Sale <sup>25</sup>
	1.000	9.50	08/18/20	8.70	...	0.80	110 of 180 - Sale <sup>25</sup>
	1.000	9.50	08/18/20	8.67	...	0.83	111 of 180 - Sale <sup>25</sup>
	1.000	9.50	08/18/20	8.66	...	0.84	112 of 180 - Sale <sup>25</sup>
	1.000	9.50	08/18/20	8.66	...	0.84	113 of 180 - Sale <sup>25</sup>
	1.000	9.50	08/18/20	8.66	...	0.84	114 of 180 - Sale <sup>25</sup>
	1.000	9.50	08/18/20	8.57	...	0.93	115 of 180 - Sale <sup>25</sup>
	1.000	9.50	08/18/20	8.56	...	0.94	116 of 180 - Sale <sup>25</sup>
	2.000	19.00	08/18/20	17.75	...	1.25	117 of 180 - Sale <sup>25</sup>
	2.000	19.00	08/18/20	17.39	...	1.61	118 of 180 - Sale <sup>25</sup>
	2.000	19.00	08/18/20	17.39	...	1.61	119 of 180 - Sale <sup>25</sup>
	2.000	19.00	08/18/20	17.32	...	1.68	120 of 180 - Sale <sup>25</sup>
	9.000	85.50	08/18/20	83.82	...	1.68	121 of 180 - Sale <sup>25</sup>
	2.000	19.00	08/18/20	17.10	...	1.90	122 of 180 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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RISE EDUCATION CAYMAN LTD AMER ICAN DEPOSITARY SHARES / CUSIP: 76761L102 / Symbol: (cont'd)							
	18.000	134.99	08/18/20	132.52	...	2.47	123 of 180 - Sale <sup>25</sup>
	3.000	28.50	08/18/20	25.98	...	2.52	124 of 180 - Sale <sup>25</sup>
	9.000	85.50	08/18/20	82.89	...	2.61	125 of 180 - Sale <sup>25</sup>
	3.000	28.50	08/18/20	25.68	...	2.82	126 of 180 - Sale <sup>25</sup>
	2.000	19.00	08/18/20	16.08	...	2.92	127 of 180 - Sale <sup>25</sup>
	3.000	28.50	08/18/20	25.41	...	3.09	128 of 180 - Sale <sup>25</sup>
	8.000	76.00	08/18/20	72.90	...	3.10	129 of 180 - Sale <sup>25</sup>
	18.000	170.99	08/18/20	167.66	...	3.33	130 of 180 - Sale <sup>25</sup>
	27.000	256.49	08/18/20	252.85	...	3.64	131 of 180 - Sale <sup>25</sup>
	6.000	57.00	08/18/20	53.26	...	3.74	132 of 180 - Sale <sup>25</sup>
	5.000	47.50	08/18/20	43.48	...	4.02	133 of 180 - Sale <sup>25</sup>
	4.000	38.00	08/18/20	33.89	...	4.11	134 of 180 - Sale <sup>25</sup>
	4.000	38.00	08/18/20	33.89	...	4.11	135 of 180 - Sale <sup>25</sup>
	5.000	47.50	08/18/20	43.30	...	4.20	136 of 180 - Sale <sup>25</sup>
	7.000	66.50	08/18/20	62.17	...	4.33	137 of 180 - Sale <sup>25</sup>
	5.000	47.50	08/18/20	42.86	...	4.64	138 of 180 - Sale <sup>25</sup>
	5.000	47.50	08/18/20	42.86	...	4.64	139 of 180 - Sale <sup>25</sup>
	6.000	57.00	08/18/20	51.97	...	5.03	140 of 180 - Sale <sup>25</sup>
	7.000	66.50	08/18/20	60.87	...	5.63	141 of 180 - Sale <sup>25</sup>
	43.000	408.48	08/18/20	402.62	...	5.86	142 of 180 - Sale <sup>25</sup>
	8.000	76.00	08/18/20	69.28	...	6.72	143 of 180 - Sale <sup>25</sup>
	11.000	104.49	08/18/20	97.70	...	6.79	144 of 180 - Sale <sup>25</sup>
	10.000	95.00	08/18/20	86.81	...	8.19	145 of 180 - Sale <sup>25</sup>
	10.000	95.00	08/18/20	86.61	...	8.39	146 of 180 - Sale <sup>25</sup>
	6.000	57.00	08/18/20	47.89	...	9.11	147 of 180 - Sale <sup>25</sup>
	11.000	104.50	08/18/20	95.27	...	9.23	148 of 180 - Sale <sup>25</sup>
	10.000	95.00	08/18/20	85.53	...	9.47	149 of 180 - Sale <sup>25</sup>
	10.000	95.00	08/18/20	85.53	...	9.47	150 of 180 - Sale <sup>25</sup>
	124.000	891.52	08/18/20	880.40	...	11.12	151 of 180 - Sale <sup>25</sup>
	12.000	114.00	08/18/20	102.87	...	11.13	152 of 180 - Sale <sup>25</sup>
	14.000	132.99	08/18/20	121.52	...	11.47	153 of 180 - Sale <sup>25</sup>
	17.000	161.49	08/18/20	147.84	...	13.65	154 of 180 - Sale <sup>25</sup>
	10.000	95.00	08/18/20	80.41	...	14.59	155 of 180 - Sale <sup>25</sup>
	18.000	170.99	08/18/20	155.92	...	15.07	156 of 180 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RISE EDUCATION CAYMAN LTD AMER ICAN DEPOSITARY SHARES / CUSIP: 76761L102 / Symbol: (cont'd)							
	21.000	199.49	08/18/20	182.65	...	16.84	157 of 180 - Sale <sup>25</sup>
	66.000	494.98	08/18/20	477.82	...	17.16	158 of 180 - Sale <sup>25</sup>
	21.000	199.49	08/18/20	182.33	...	17.16	159 of 180 - Sale <sup>25</sup>
	16.000	151.99	08/18/20	134.63	...	17.36	160 of 180 - Sale <sup>25</sup>
	196.000	1,409.18	08/18/20	1,391.60	...	17.58	161 of 180 - Sale <sup>25</sup>
	22.000	208.99	08/18/20	190.53	...	18.46	162 of 180 - Sale <sup>25</sup>
	20.000	189.99	08/18/20	171.51	...	18.48	163 of 180 - Sale <sup>25</sup>
	21.000	199.49	08/18/20	179.65	...	19.84	164 of 180 - Sale <sup>25</sup>
	22.000	208.99	08/18/20	188.29	...	20.70	165 of 180 - Sale <sup>25</sup>
	15.000	142.50	08/18/20	120.62	...	21.88	166 of 180 - Sale <sup>25</sup>
	31.000	294.49	08/18/20	269.60	...	24.89	167 of 180 - Sale <sup>25</sup>
	42.000	398.98	08/18/20	372.83	...	26.15	168 of 180 - Sale <sup>25</sup>
	33.000	313.49	08/18/20	284.18	...	29.31	169 of 180 - Sale <sup>25</sup>
	29.000	275.49	08/18/20	243.99	...	31.50	170 of 180 - Sale <sup>25</sup>
	40.000	379.99	08/18/20	347.86	...	32.13	171 of 180 - Sale <sup>25</sup>
	42.000	398.99	08/18/20	365.25	...	33.74	172 of 180 - Sale <sup>25</sup>
	41.000	389.48	08/18/20	355.09	...	34.39	173 of 180 - Sale <sup>25</sup>
	24.000	227.99	08/18/20	192.97	...	35.02	174 of 180 - Sale <sup>25</sup>
	50.000	475.00	08/18/20	434.83	...	40.17	175 of 180 - Sale <sup>25</sup>
	37.000	351.49	08/18/20	310.80	...	40.69	176 of 180 - Sale <sup>25</sup>
	52.000	493.98	08/18/20	444.79	...	49.19	177 of 180 - Sale <sup>25</sup>
	90.000	854.95	08/18/20	769.82	...	85.13	178 of 180 - Sale <sup>25</sup>
	388.000	3,685.86	08/18/20	3,259.20	...	426.66	179 of 180 - Sale <sup>25</sup>
	400.000	3,799.85	08/18/20	3,360.00	...	439.85	180 of 180 - Sale <sup>25</sup>
08/18/20	9,356.000	68,008.98	Various	66,482.33	...	1,526.65	Total of 180 transactions
	722 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	184.000	1,159.15	08/17/20	1,186.80	27.65 W	0.00	1 of 722 - Sale <sup>25</sup>
	1.000	6.30	08/17/20	6.45	0.15 W	0.00	2 of 722 - Sale <sup>25</sup>
	240.000	1,511.93	08/17/20	1,548.00	36.07 W	0.00	3 of 722 - Sale <sup>25</sup>
	48.000	302.39	08/17/20	316.81	14.42 W	0.00	4 of 722 - Sale <sup>25</sup>
	27.000	170.09	08/17/20	178.21	8.12 W	0.00	5 of 722 - Sale <sup>25</sup>
	55.000	346.48	08/17/20	363.57	17.09 W	0.00	6 of 722 - Sale <sup>25</sup>
	101.000	853.42	08/18/20	941.41	1.74 W	-86.25	7 of 722 - Sale <sup>25</sup>
	27.000	190.48	08/18/20	214.67	24.19 W	0.00	8 of 722 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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RISE EDUCATION CAYMAN LTD AMER ICAN DEPOSITARY SHARES / CUSIP: 76761L102 / Symbol: (cont'd)							
	30.000	211.64	08/18/20	238.82	27.18 W	0.00	9 of 722 - Sale <sup>25</sup>
	48.000	338.63	08/18/20	381.62	42.99 W	0.00	10 of 722 - Sale <sup>25</sup>
	1.000	7.05	08/18/20	7.80	0.75 W	0.00	11 of 722 - Sale <sup>25</sup>
	54.000	380.95	08/18/20	421.21	40.26 W	0.00	12 of 722 - Sale <sup>25</sup>
	240.000	1,693.13	08/18/20	1,872.07	178.94 W	0.00	13 of 722 - Sale <sup>25</sup>
	25.000	176.37	08/18/20	199.02	22.65 W	0.00	14 of 722 - Sale <sup>25</sup>
	284.000	2,003.55	08/18/20	2,172.60	169.05 W	0.00	15 of 722 - Sale <sup>25</sup>
	27.000	190.34	08/18/20	230.74	40.40 W	0.00	16 of 722 - Sale <sup>25</sup>
	30.000	211.49	08/18/20	256.68	45.19 W	0.00	17 of 722 - Sale <sup>25</sup>
	48.000	338.39	08/18/20	410.19	71.80 W	0.00	18 of 722 - Sale <sup>25</sup>
	1.000	7.05	08/18/20	8.40	1.35 W	0.00	19 of 722 - Sale <sup>25</sup>
	54.000	380.68	08/18/20	453.36	72.68 W	0.00	20 of 722 - Sale <sup>25</sup>
	240.000	1,691.93	08/18/20	2,014.94	323.01 W	0.00	21 of 722 - Sale <sup>25</sup>
	5.000	35.25	08/18/20	38.25	3.00 W	0.00	22 of 722 - Sale <sup>25</sup>
	20.000	140.99	08/18/20	171.12	30.13 W	0.00	23 of 722 - Sale <sup>25</sup>
	5.000	35.25	08/18/20	42.78	7.53 W	0.00	24 of 722 - Sale <sup>25</sup>
	95.000	669.72	08/18/20	783.30	113.58 W	0.00	25 of 722 - Sale <sup>25</sup>
	189.000	1,332.40	08/18/20	1,558.35	225.95 W	0.00	26 of 722 - Sale <sup>25</sup>
	9.000	63.45	08/18/20	68.85	5.40 W	0.00	27 of 722 - Sale <sup>25</sup>
	2.000	14.11	08/18/20	18.29	4.18 W	0.00	28 of 722 - Sale <sup>25</sup>
	27.000	190.47	08/18/20	246.95	56.48 W	0.00	29 of 722 - Sale <sup>25</sup>
	25.000	176.37	08/18/20	228.91	52.54 W	0.00	30 of 722 - Sale <sup>25</sup>
	46.000	324.52	08/18/20	420.71	96.19 W	0.00	31 of 722 - Sale <sup>25</sup>
	5.000	35.27	08/18/20	45.78	10.51 W	0.00	32 of 722 - Sale <sup>25</sup>
	1.000	7.05	08/18/20	9.00	1.95 W	0.00	33 of 722 - Sale <sup>25</sup>
	40.000	282.19	08/18/20	359.84	77.65 W	0.00	34 of 722 - Sale <sup>25</sup>
	54.000	380.96	08/18/20	485.78	104.82 W	0.00	35 of 722 - Sale <sup>25</sup>
	2.000	14.11	08/18/20	17.99	3.88 W	0.00	36 of 722 - Sale <sup>25</sup>
	5.000	35.27	08/18/20	45.78	10.51 W	0.00	37 of 722 - Sale <sup>25</sup>
	20.000	141.09	08/18/20	183.13	42.04 W	0.00	38 of 722 - Sale <sup>25</sup>
	73.000	515.00	08/18/20	656.70	141.70 W	0.00	39 of 722 - Sale <sup>25</sup>
	100.000	705.47	08/18/20	899.58	194.11 W	0.00	40 of 722 - Sale <sup>25</sup>
	25.000	176.24	08/18/20	224.90	48.66 W	0.00	41 of 722 - Sale <sup>25</sup>
	5.000	35.25	08/18/20	41.25	6.00 W	0.00	42 of 722 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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RISE EDUCATION CAYMAN LTD AMER ICAN DEPOSITARY SHARES / CUSIP: 76761L102 / Symbol: (cont'd)							
	70.000	493.48	08/18/20	619.19	125.71 W	0.00	43 of 722 - Sale <sup>25</sup>
	2.000	14.10	08/18/20	17.69	3.59 W	0.00	44 of 722 - Sale <sup>25</sup>
	2.000	14.10	08/18/20	19.48	5.38 W	0.00	45 of 722 - Sale <sup>25</sup>
	4.000	28.20	08/18/20	39.01	10.81 W	0.00	46 of 722 - Sale <sup>25</sup>
	27.000	190.34	08/18/20	263.03	72.69 W	0.00	47 of 722 - Sale <sup>25</sup>
	46.000	324.29	08/18/20	448.09	123.80 W	0.00	48 of 722 - Sale <sup>25</sup>
	9.000	63.45	08/18/20	74.25	10.80 W	0.00	49 of 722 - Sale <sup>25</sup>
	23.000	162.14	08/18/20	203.45	41.31 W	0.00	50 of 722 - Sale <sup>25</sup>
	189.000	1,332.40	08/18/20	1,671.80	339.40 W	0.00	51 of 722 - Sale <sup>25</sup>
	5.000	35.25	08/18/20	48.76	13.51 W	0.00	52 of 722 - Sale <sup>25</sup>
	5.000	35.25	08/18/20	48.76	13.51 W	0.00	53 of 722 - Sale <sup>25</sup>
	20.000	140.99	08/18/20	195.04	54.05 W	0.00	54 of 722 - Sale <sup>25</sup>
	21.000	148.04	08/18/20	204.78	56.74 W	0.00	55 of 722 - Sale <sup>25</sup>
	1.000	7.05	08/18/20	9.60	2.55 W	0.00	56 of 722 - Sale <sup>25</sup>
	2.000	14.10	08/18/20	19.18	5.08 W	0.00	57 of 722 - Sale <sup>25</sup>
	40.000	281.99	08/18/20	383.65	101.66 W	0.00	58 of 722 - Sale <sup>25</sup>
	52.000	366.59	08/18/20	498.74	132.15 W	0.00	59 of 722 - Sale <sup>25</sup>
	54.000	380.69	08/18/20	517.92	137.23 W	0.00	60 of 722 - Sale <sup>25</sup>
	21.000	148.04	08/18/20	201.41	53.37 W	0.00	61 of 722 - Sale <sup>25</sup>
	25.000	176.24	08/18/20	239.91	63.67 W	0.00	62 of 722 - Sale <sup>25</sup>
	100.000	704.97	08/18/20	959.11	254.14 W	0.00	63 of 722 - Sale <sup>25</sup>
	5.000	35.25	08/18/20	44.25	9.00 W	0.00	64 of 722 - Sale <sup>25</sup>
	70.000	493.48	08/18/20	661.21	167.73 W	0.00	65 of 722 - Sale <sup>25</sup>
	479.000	3,376.82	08/18/20	3,664.35	287.53 W	0.00	66 of 722 - Sale <sup>25</sup>
	91.000	641.52	08/18/20	696.15	54.63 W	0.00	67 of 722 - Sale <sup>25</sup>
	2.000	14.10	08/18/20	20.68	6.58 W	0.00	68 of 722 - Sale <sup>25</sup>
	4.000	28.20	08/18/20	41.41	13.21 W	0.00	69 of 722 - Sale <sup>25</sup>
	7.000	49.35	08/18/20	72.46	23.11 W	0.00	70 of 722 - Sale <sup>25</sup>
	27.000	190.34	08/18/20	279.24	88.90 W	0.00	71 of 722 - Sale <sup>25</sup>
	46.000	324.29	08/18/20	475.70	151.41 W	0.00	72 of 722 - Sale <sup>25</sup>
	2.000	14.10	08/18/20	18.89	4.79 W	0.00	73 of 722 - Sale <sup>25</sup>
	12.000	84.59	08/18/20	113.35	28.76 W	0.00	74 of 722 - Sale <sup>25</sup>
	1.000	7.05	08/18/20	9.45	2.40 W	0.00	75 of 722 - Sale <sup>25</sup>
	9.000	63.45	08/18/20	79.65	16.20 W	0.00	76 of 722 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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RISE EDUCATION CAYMAN LTD AMER ICAN DEPOSITARY SHARES / CUSIP: 76761L102 / Symbol: (cont'd)							
10.000		70.50	08/18/20	94.46	23.96 W	0.00	77 of 722 - Sale <sup>25</sup>
189.000		1,332.40	08/18/20	1,785.25	452.85 W	0.00	78 of 722 - Sale <sup>25</sup>
5.000		35.25	08/18/20	51.76	16.51 W	0.00	79 of 722 - Sale <sup>25</sup>
5.000		35.25	08/18/20	51.76	16.51 W	0.00	80 of 722 - Sale <sup>25</sup>
14.000		98.70	08/18/20	144.93	46.23 W	0.00	81 of 722 - Sale <sup>25</sup>
20.000		140.99	08/18/20	207.05	66.06 W	0.00	82 of 722 - Sale <sup>25</sup>
1.000		7.05	08/18/20	10.20	3.15 W	0.00	83 of 722 - Sale <sup>25</sup>
2.000		14.10	08/18/20	20.38	6.28 W	0.00	84 of 722 - Sale <sup>25</sup>
21.000		148.04	08/18/20	214.02	65.98 W	0.00	85 of 722 - Sale <sup>25</sup>
25.000		176.24	08/18/20	254.92	78.68 W	0.00	86 of 722 - Sale <sup>25</sup>
40.000		281.99	08/18/20	407.66	125.67 W	0.00	87 of 722 - Sale <sup>25</sup>
52.000		366.58	08/18/20	529.95	163.37 W	0.00	88 of 722 - Sale <sup>25</sup>
54.000		380.68	08/18/20	550.33	169.65 W	0.00	89 of 722 - Sale <sup>25</sup>
100.000		704.97	08/18/20	1,019.14	314.17 W	0.00	90 of 722 - Sale <sup>25</sup>
5.000		35.25	08/18/20	47.25	12.00 W	0.00	91 of 722 - Sale <sup>25</sup>
70.000		493.48	08/18/20	703.23	209.75 W	0.00	92 of 722 - Sale <sup>25</sup>
86.000		606.28	08/18/20	709.52	103.24 W	0.00	93 of 722 - Sale <sup>25</sup>
98.000		690.87	08/18/20	808.53	117.66 W	0.00	94 of 722 - Sale <sup>25</sup>
100.000		704.97	08/18/20	825.03	120.06 W	0.00	95 of 722 - Sale <sup>25</sup>
7.000		49.38	08/18/20	57.75	8.37 W	0.00	96 of 722 - Sale <sup>25</sup>
195.000		1,375.67	08/18/20	1,608.80	233.13 W	0.00	97 of 722 - Sale <sup>25</sup>
2.000		14.11	08/18/20	16.50	2.39 W	0.00	98 of 722 - Sale <sup>25</sup>
64.000		451.50	08/18/20	528.02	76.52 W	0.00	99 of 722 - Sale <sup>25</sup>
2.000		14.12	08/18/20	20.50	6.38 W	0.00	100 of 722 - Sale <sup>25</sup>
3.000		21.18	08/18/20	30.78	9.60 W	0.00	101 of 722 - Sale <sup>25</sup>
4.000		28.24	08/18/20	41.05	12.81 W	0.00	102 of 722 - Sale <sup>25</sup>
27.000		190.61	08/18/20	276.82	86.21 W	0.00	103 of 722 - Sale <sup>25</sup>
46.000		324.75	08/18/20	471.57	146.82 W	0.00	104 of 722 - Sale <sup>25</sup>
18.000		127.07	08/18/20	136.09	9.02 W	0.00	105 of 722 - Sale <sup>25</sup>
4.000		28.24	08/18/20	41.05	12.81 W	0.00	106 of 722 - Sale <sup>25</sup>
1.000		7.06	08/18/20	9.36	2.30 W	0.00	107 of 722 - Sale <sup>25</sup>
2.000		14.12	08/18/20	18.71	4.59 W	0.00	108 of 722 - Sale <sup>25</sup>
10.000		70.60	08/18/20	93.56	22.96 W	0.00	109 of 722 - Sale <sup>25</sup>
12.000		84.72	08/18/20	112.28	27.56 W	0.00	110 of 722 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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RISE EDUCATION CAYMAN LTD AMER ICAN DEPOSITARY SHARES / CUSIP: 76761L102 / Symbol: (cont'd)							
	71.000	501.23	08/18/20	664.28	163.05 W	0.00	111 of 722 - Sale <sup>25</sup>
	100.000	705.97	08/18/20	935.60	229.63 W	0.00	112 of 722 - Sale <sup>25</sup>
	5.000	35.25	08/18/20	51.31	16.06 W	0.00	113 of 722 - Sale <sup>25</sup>
	5.000	35.25	08/18/20	51.31	16.06 W	0.00	114 of 722 - Sale <sup>25</sup>
	14.000	98.70	08/18/20	143.67	44.97 W	0.00	115 of 722 - Sale <sup>25</sup>
	20.000	141.00	08/18/20	205.26	64.26 W	0.00	116 of 722 - Sale <sup>25</sup>
	29.000	204.44	08/18/20	292.95	88.51 W	0.00	117 of 722 - Sale <sup>25</sup>
	9.000	63.45	08/18/20	78.84	15.39 W	0.00	118 of 722 - Sale <sup>25</sup>
	18.000	126.90	08/18/20	168.41	41.51 W	0.00	119 of 722 - Sale <sup>25</sup>
	1.000	7.05	08/18/20	10.11	3.06 W	0.00	120 of 722 - Sale <sup>25</sup>
	2.000	14.10	08/18/20	20.20	6.10 W	0.00	121 of 722 - Sale <sup>25</sup>
	21.000	148.05	08/18/20	212.14	64.09 W	0.00	122 of 722 - Sale <sup>25</sup>
	25.000	176.24	08/18/20	252.54	76.30 W	0.00	123 of 722 - Sale <sup>25</sup>
	25.000	176.25	08/18/20	252.68	76.43 W	0.00	124 of 722 - Sale <sup>25</sup>
	40.000	281.99	08/18/20	404.07	122.08 W	0.00	125 of 722 - Sale <sup>25</sup>
	52.000	366.59	08/18/20	525.29	158.70 W	0.00	126 of 722 - Sale <sup>25</sup>
	100.000	704.97	08/18/20	1,010.17	305.20 W	0.00	127 of 722 - Sale <sup>25</sup>
	5.000	35.25	08/18/20	46.80	11.55 W	0.00	128 of 722 - Sale <sup>25</sup>
	29.000	204.44	08/18/20	288.74	84.30 W	0.00	129 of 722 - Sale <sup>25</sup>
	59.000	415.94	08/18/20	481.47	65.53 W	0.00	130 of 722 - Sale <sup>25</sup>
	41.000	289.04	08/18/20	408.21	119.17 W	0.00	131 of 722 - Sale <sup>25</sup>
	27.000	190.34	08/18/20	220.30	29.96 W	0.00	132 of 722 - Sale <sup>25</sup>
	73.000	514.64	08/18/20	595.65	81.01 W	0.00	133 of 722 - Sale <sup>25</sup>
	75.000	528.73	08/18/20	611.98	83.25 W	0.00	134 of 722 - Sale <sup>25</sup>
	25.000	176.24	08/18/20	203.99	27.75 W	0.00	135 of 722 - Sale <sup>25</sup>
	2.000	14.80	08/18/20	20.48	5.68 W	0.00	136 of 722 - Sale <sup>25</sup>
	27.000	199.79	08/18/20	276.56	76.77 W	0.00	137 of 722 - Sale <sup>25</sup>
	46.000	340.38	08/18/20	471.12	130.74 W	0.00	138 of 722 - Sale <sup>25</sup>
	3.000	21.60	08/18/20	30.75	9.15 W	0.00	139 of 722 - Sale <sup>25</sup>
	4.000	28.80	08/18/20	41.01	12.21 W	0.00	140 of 722 - Sale <sup>25</sup>
	4.000	28.80	08/18/20	41.01	12.21 W	0.00	141 of 722 - Sale <sup>25</sup>
	1.000	7.20	08/18/20	9.35	2.15 W	0.00	142 of 722 - Sale <sup>25</sup>
	2.000	14.40	08/18/20	16.49	2.09 W	0.00	143 of 722 - Sale <sup>25</sup>
	2.000	14.40	08/18/20	18.69	4.29 W	0.00	144 of 722 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RISE EDUCATION CAYMAN LTD AMER ICAN DEPOSITARY SHARES / CUSIP: 76761L102 / Symbol: (cont'd)							
	7.000	50.40	08/18/20	57.72	7.32 W	0.00	145 of 722 - Sale <sup>25</sup>
	7.000	50.40	08/18/20	65.42	15.02 W	0.00	146 of 722 - Sale <sup>25</sup>
	10.000	72.00	08/18/20	93.46	21.46 W	0.00	147 of 722 - Sale <sup>25</sup>
	12.000	86.40	08/18/20	112.16	25.76 W	0.00	148 of 722 - Sale <sup>25</sup>
	18.000	129.59	08/18/20	135.92	6.33 W	0.00	149 of 722 - Sale <sup>25</sup>
	25.000	179.99	08/18/20	206.26	26.27 W	0.00	150 of 722 - Sale <sup>25</sup>
	64.000	460.78	08/18/20	527.72	66.94 W	0.00	151 of 722 - Sale <sup>25</sup>
	71.000	511.18	08/18/20	663.60	152.42 W	0.00	152 of 722 - Sale <sup>25</sup>
	195.000	1,403.94	08/18/20	1,607.88	203.94 W	0.00	153 of 722 - Sale <sup>25</sup>
	5.000	36.00	08/18/20	51.31	15.31 W	0.00	154 of 722 - Sale <sup>25</sup>
	5.000	36.00	08/18/20	51.31	15.31 W	0.00	155 of 722 - Sale <sup>25</sup>
	14.000	100.80	08/18/20	143.67	42.87 W	0.00	156 of 722 - Sale <sup>25</sup>
	20.000	143.99	08/18/20	205.26	61.27 W	0.00	157 of 722 - Sale <sup>25</sup>
	1.000	7.20	08/18/20	10.11	2.91 W	0.00	158 of 722 - Sale <sup>25</sup>
	2.000	14.40	08/18/20	20.20	5.80 W	0.00	159 of 722 - Sale <sup>25</sup>
	21.000	151.19	08/18/20	212.14	60.95 W	0.00	160 of 722 - Sale <sup>25</sup>
	25.000	179.99	08/18/20	252.55	72.56 W	0.00	161 of 722 - Sale <sup>25</sup>
	25.000	179.99	08/18/20	252.68	72.69 W	0.00	162 of 722 - Sale <sup>25</sup>
	29.000	208.79	08/18/20	292.96	84.17 W	0.00	163 of 722 - Sale <sup>25</sup>
	40.000	287.99	08/18/20	404.08	116.09 W	0.00	164 of 722 - Sale <sup>25</sup>
	52.000	374.38	08/18/20	525.30	150.92 W	0.00	165 of 722 - Sale <sup>25</sup>
	100.000	719.97	08/18/20	1,010.20	290.23 W	0.00	166 of 722 - Sale <sup>25</sup>
	5.000	36.00	08/18/20	46.80	10.80 W	0.00	167 of 722 - Sale <sup>25</sup>
	9.000	64.80	08/18/20	78.84	14.04 W	0.00	168 of 722 - Sale <sup>25</sup>
	18.000	129.60	08/18/20	168.41	38.81 W	0.00	169 of 722 - Sale <sup>25</sup>
	11.000	79.19	08/18/20	109.53	30.34 W	0.00	170 of 722 - Sale <sup>25</sup>
	93.000	669.58	08/18/20	869.21	199.63 W	0.00	171 of 722 - Sale <sup>25</sup>
	7.000	50.40	08/18/20	69.70	19.30 W	0.00	172 of 722 - Sale <sup>25</sup>
	18.000	129.59	08/18/20	179.22	49.63 W	0.00	173 of 722 - Sale <sup>25</sup>
	33.000	237.59	08/18/20	269.30	31.71 W	0.00	174 of 722 - Sale <sup>25</sup>
	34.000	244.79	08/18/20	338.52	93.73 W	0.00	175 of 722 - Sale <sup>25</sup>
	1.000	7.20	08/18/20	8.21	1.01 W	0.00	176 of 722 - Sale <sup>25</sup>
	7.000	50.40	08/18/20	57.48	7.08 W	0.00	177 of 722 - Sale <sup>25</sup>
	18.000	129.60	08/18/20	147.79	18.19 W	0.00	178 of 722 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RISE EDUCATION CAYMAN LTD AMER ICAN DEPOSITARY SHARES / CUSIP: 76761L102 / Symbol: (cont'd)							
	2.000	14.40	08/18/20	16.42	2.02 W	0.00	179 of 722 - Sale <sup>25</sup>
	1.000	7.20	08/18/20	8.21	1.01 W	0.00	180 of 722 - Sale <sup>25</sup>
	3.000	21.60	08/18/20	24.63	3.03 W	0.00	181 of 722 - Sale <sup>25</sup>
	24.000	172.79	08/18/20	197.03	24.24 W	0.00	182 of 722 - Sale <sup>25</sup>
	73.000	525.58	08/18/20	599.31	73.73 W	0.00	183 of 722 - Sale <sup>25</sup>
	22.000	158.17	08/18/20	180.62	22.45 W	0.00	184 of 722 - Sale <sup>25</sup>
	50.000	359.49	08/18/20	410.50	51.01 W	0.00	185 of 722 - Sale <sup>25</sup>
	2.000	14.38	08/18/20	19.88	5.50 W	0.00	186 of 722 - Sale <sup>25</sup>
	3.000	21.57	08/18/20	30.45	8.88 W	0.00	187 of 722 - Sale <sup>25</sup>
	4.000	28.76	08/18/20	40.61	11.85 W	0.00	188 of 722 - Sale <sup>25</sup>
	4.000	28.76	08/18/20	40.61	11.85 W	0.00	189 of 722 - Sale <sup>25</sup>
	27.000	194.12	08/18/20	268.47	74.35 W	0.00	190 of 722 - Sale <sup>25</sup>
	46.000	330.73	08/18/20	457.34	126.61 W	0.00	191 of 722 - Sale <sup>25</sup>
	25.000	179.74	08/18/20	205.25	25.51 W	0.00	192 of 722 - Sale <sup>25</sup>
	17.000	122.22	08/18/20	138.56	16.34 W	0.00	193 of 722 - Sale <sup>25</sup>
	8.000	57.52	08/18/20	65.21	7.69 W	0.00	194 of 722 - Sale <sup>25</sup>
	50.000	359.49	08/18/20	407.29	47.80 W	0.00	195 of 722 - Sale <sup>25</sup>
	2.000	14.38	08/18/20	19.70	5.32 W	0.00	196 of 722 - Sale <sup>25</sup>
	3.000	21.57	08/18/20	30.18	8.61 W	0.00	197 of 722 - Sale <sup>25</sup>
	4.000	28.76	08/18/20	40.25	11.49 W	0.00	198 of 722 - Sale <sup>25</sup>
	4.000	28.76	08/18/20	40.25	11.49 W	0.00	199 of 722 - Sale <sup>25</sup>
	5.000	35.95	08/18/20	50.81	14.86 W	0.00	200 of 722 - Sale <sup>25</sup>
	5.000	35.95	08/18/20	50.81	14.86 W	0.00	201 of 722 - Sale <sup>25</sup>
	14.000	100.66	08/18/20	142.27	41.61 W	0.00	202 of 722 - Sale <sup>25</sup>
	20.000	143.79	08/18/20	203.27	59.48 W	0.00	203 of 722 - Sale <sup>25</sup>
	27.000	194.12	08/18/20	266.05	71.93 W	0.00	204 of 722 - Sale <sup>25</sup>
	46.000	330.73	08/18/20	453.21	122.48 W	0.00	205 of 722 - Sale <sup>25</sup>
	1.000	7.19	08/18/20	10.01	2.82 W	0.00	206 of 722 - Sale <sup>25</sup>
	2.000	14.38	08/18/20	20.00	5.62 W	0.00	207 of 722 - Sale <sup>25</sup>
	21.000	150.98	08/18/20	210.05	59.07 W	0.00	208 of 722 - Sale <sup>25</sup>
	25.000	179.74	08/18/20	250.06	70.32 W	0.00	209 of 722 - Sale <sup>25</sup>
	25.000	179.74	08/18/20	250.19	70.45 W	0.00	210 of 722 - Sale <sup>25</sup>
	29.000	208.50	08/18/20	290.07	81.57 W	0.00	211 of 722 - Sale <sup>25</sup>
	40.000	287.59	08/18/20	400.09	112.50 W	0.00	212 of 722 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RISE EDUCATION CAYMAN LTD AMER ICAN DEPOSITARY SHARES / CUSIP: 76761L102 / Symbol: (cont'd)							
	52.000	373.86	08/18/20	520.12	146.26 W	0.00	213 of 722 - Sale <sup>25</sup>
	100.000	718.97	08/18/20	1,000.23	281.26 W	0.00	214 of 722 - Sale <sup>25</sup>
	17.000	122.23	08/18/20	138.48	16.25 W	0.00	215 of 722 - Sale <sup>25</sup>
	2.000	14.38	08/18/20	16.29	1.91 W	0.00	216 of 722 - Sale <sup>25</sup>
	7.000	50.33	08/18/20	57.02	6.69 W	0.00	217 of 722 - Sale <sup>25</sup>
	63.000	452.95	08/18/20	513.19	60.24 W	0.00	218 of 722 - Sale <sup>25</sup>
	128.000	920.28	08/18/20	1,042.67	122.39 W	0.00	219 of 722 - Sale <sup>25</sup>
	1.000	7.19	08/18/20	9.25	2.06 W	0.00	220 of 722 - Sale <sup>25</sup>
	1.000	7.19	08/18/20	8.11	0.92 W	0.00	221 of 722 - Sale <sup>25</sup>
	1.000	7.19	08/18/20	8.11	0.92 W	0.00	222 of 722 - Sale <sup>25</sup>
	2.000	14.38	08/18/20	18.49	4.11 W	0.00	223 of 722 - Sale <sup>25</sup>
	2.000	14.38	08/18/20	16.22	1.84 W	0.00	224 of 722 - Sale <sup>25</sup>
	3.000	21.57	08/18/20	24.33	2.76 W	0.00	225 of 722 - Sale <sup>25</sup>
	5.000	35.95	08/18/20	46.30	10.35 W	0.00	226 of 722 - Sale <sup>25</sup>
	7.000	50.33	08/18/20	64.72	14.39 W	0.00	227 of 722 - Sale <sup>25</sup>
	7.000	50.33	08/18/20	69.00	18.67 W	0.00	228 of 722 - Sale <sup>25</sup>
	7.000	50.33	08/18/20	56.78	6.45 W	0.00	229 of 722 - Sale <sup>25</sup>
	7.000	50.33	08/18/20	56.43	6.10 W	0.00	230 of 722 - Sale <sup>25</sup>
	9.000	64.71	08/18/20	77.94	13.23 W	0.00	231 of 722 - Sale <sup>25</sup>
	10.000	71.90	08/18/20	92.46	20.56 W	0.00	232 of 722 - Sale <sup>25</sup>
	11.000	79.09	08/18/20	108.44	29.35 W	0.00	233 of 722 - Sale <sup>25</sup>
	12.000	86.28	08/18/20	110.96	24.68 W	0.00	234 of 722 - Sale <sup>25</sup>
	17.000	122.23	08/18/20	137.04	14.81 W	0.00	235 of 722 - Sale <sup>25</sup>
	18.000	129.41	08/18/20	134.13	4.72 W	0.00	236 of 722 - Sale <sup>25</sup>
	18.000	129.41	08/18/20	166.61	37.20 W	0.00	237 of 722 - Sale <sup>25</sup>
	18.000	129.41	08/18/20	177.43	48.02 W	0.00	238 of 722 - Sale <sup>25</sup>
	18.000	129.41	08/18/20	145.99	16.58 W	0.00	239 of 722 - Sale <sup>25</sup>
	22.000	158.17	08/18/20	178.65	20.48 W	0.00	240 of 722 - Sale <sup>25</sup>
	24.000	172.55	08/18/20	194.64	22.09 W	0.00	241 of 722 - Sale <sup>25</sup>
	25.000	179.74	08/18/20	203.01	23.27 W	0.00	242 of 722 - Sale <sup>25</sup>
	33.000	237.26	08/18/20	266.01	28.75 W	0.00	243 of 722 - Sale <sup>25</sup>
	34.000	244.45	08/18/20	335.13	90.68 W	0.00	244 of 722 - Sale <sup>25</sup>
	50.000	359.49	08/18/20	406.01	46.52 W	0.00	245 of 722 - Sale <sup>25</sup>
	1.000	7.19	08/18/20	8.15	0.96 W	0.00	246 of 722 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RISE EDUCATION CAYMAN LTD AMER ICAN DEPOSITARY SHARES / CUSIP: 76761L102 / Symbol: (cont'd)							
	71.000	510.47	08/18/20	656.52	146.05 W	0.00	247 of 722 - Sale <sup>25</sup>
	73.000	524.85	08/18/20	592.03	67.18 W	0.00	248 of 722 - Sale <sup>25</sup>
	93.000	668.64	08/18/20	859.93	191.29 W	0.00	249 of 722 - Sale <sup>25</sup>
	1.000	7.19	08/18/20	8.06	0.87 W	0.00	250 of 722 - Sale <sup>25</sup>
	50.000	359.49	08/18/20	402.80	43.31 W	0.00	251 of 722 - Sale <sup>25</sup>
	2.000	14.38	08/18/20	19.42	5.04 W	0.00	252 of 722 - Sale <sup>25</sup>
	3.000	21.57	08/18/20	29.76	8.19 W	0.00	253 of 722 - Sale <sup>25</sup>
	4.000	28.76	08/18/20	39.69	10.93 W	0.00	254 of 722 - Sale <sup>25</sup>
	4.000	28.76	08/18/20	39.69	10.93 W	0.00	255 of 722 - Sale <sup>25</sup>
	5.000	35.95	08/18/20	50.11	14.16 W	0.00	256 of 722 - Sale <sup>25</sup>
	5.000	35.95	08/18/20	50.11	14.16 W	0.00	257 of 722 - Sale <sup>25</sup>
	14.000	100.66	08/18/20	140.31	39.65 W	0.00	258 of 722 - Sale <sup>25</sup>
	20.000	143.79	08/18/20	200.48	56.69 W	0.00	259 of 722 - Sale <sup>25</sup>
	27.000	194.12	08/18/20	262.28	68.16 W	0.00	260 of 722 - Sale <sup>25</sup>
	46.000	330.73	08/18/20	446.78	116.05 W	0.00	261 of 722 - Sale <sup>25</sup>
	1.000	7.19	08/18/20	9.87	2.68 W	0.00	262 of 722 - Sale <sup>25</sup>
	2.000	14.38	08/18/20	19.72	5.34 W	0.00	263 of 722 - Sale <sup>25</sup>
	21.000	150.98	08/18/20	207.12	56.14 W	0.00	264 of 722 - Sale <sup>25</sup>
	25.000	179.74	08/18/20	246.57	66.83 W	0.00	265 of 722 - Sale <sup>25</sup>
	29.000	208.50	08/18/20	286.02	77.52 W	0.00	266 of 722 - Sale <sup>25</sup>
	40.000	287.59	08/18/20	394.50	106.91 W	0.00	267 of 722 - Sale <sup>25</sup>
	52.000	373.87	08/18/20	512.86	138.99 W	0.00	268 of 722 - Sale <sup>25</sup>
	100.000	718.97	08/18/20	986.26	267.29 W	0.00	269 of 722 - Sale <sup>25</sup>
	25.000	179.74	08/18/20	246.70	66.96 W	0.00	270 of 722 - Sale <sup>25</sup>
	2.000	14.38	08/18/20	16.01	1.63 W	0.00	271 of 722 - Sale <sup>25</sup>
	7.000	50.33	08/18/20	56.04	5.71 W	0.00	272 of 722 - Sale <sup>25</sup>
	17.000	122.23	08/18/20	136.10	13.87 W	0.00	273 of 722 - Sale <sup>25</sup>
	21.000	150.98	08/18/20	168.13	17.15 W	0.00	274 of 722 - Sale <sup>25</sup>
	128.000	920.28	08/18/20	1,024.79	104.51 W	0.00	275 of 722 - Sale <sup>25</sup>
	40.000	287.59	08/18/20	320.25	32.66 W	0.00	276 of 722 - Sale <sup>25</sup>
	1.000	7.19	08/18/20	8.01	0.82 W	0.00	277 of 722 - Sale <sup>25</sup>
	1.000	7.19	08/18/20	9.11	1.92 W	0.00	278 of 722 - Sale <sup>25</sup>
	2.000	14.38	08/18/20	16.01	1.63 W	0.00	279 of 722 - Sale <sup>25</sup>
	2.000	14.38	08/18/20	18.21	3.83 W	0.00	280 of 722 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RISE EDUCATION CAYMAN LTD AMER ICAN DEPOSITARY SHARES / CUSIP: 76761L102 / Symbol: (cont'd)							
	7.000	50.33	08/18/20	63.74	13.41 W	0.00	281 of 722 - Sale <sup>25</sup>
	10.000	71.90	08/18/20	91.06	19.16 W	0.00	282 of 722 - Sale <sup>25</sup>
	12.000	86.28	08/18/20	109.28	23.00 W	0.00	283 of 722 - Sale <sup>25</sup>
	18.000	129.42	08/18/20	131.62	2.20 W	0.00	284 of 722 - Sale <sup>25</sup>
	71.000	510.47	08/18/20	646.60	136.13 W	0.00	285 of 722 - Sale <sup>25</sup>
	65.000	467.32	08/18/20	591.95	124.63 W	0.00	286 of 722 - Sale <sup>25</sup>
	1.000	7.19	08/18/20	7.97	0.78 W	0.00	287 of 722 - Sale <sup>25</sup>
	1.000	7.19	08/18/20	7.97	0.78 W	0.00	288 of 722 - Sale <sup>25</sup>
	2.000	14.38	08/18/20	15.94	1.56 W	0.00	289 of 722 - Sale <sup>25</sup>
	5.000	35.95	08/18/20	45.60	9.65 W	0.00	290 of 722 - Sale <sup>25</sup>
	7.000	50.33	08/18/20	68.02	17.69 W	0.00	291 of 722 - Sale <sup>25</sup>
	7.000	50.33	08/18/20	55.80	5.47 W	0.00	292 of 722 - Sale <sup>25</sup>
	9.000	64.71	08/18/20	76.68	11.97 W	0.00	293 of 722 - Sale <sup>25</sup>
	11.000	79.09	08/18/20	106.90	27.81 W	0.00	294 of 722 - Sale <sup>25</sup>
	18.000	129.41	08/18/20	164.10	34.69 W	0.00	295 of 722 - Sale <sup>25</sup>
	18.000	129.41	08/18/20	174.92	45.51 W	0.00	296 of 722 - Sale <sup>25</sup>
	18.000	129.41	08/18/20	143.48	14.07 W	0.00	297 of 722 - Sale <sup>25</sup>
	8.000	57.52	08/18/20	63.76	6.24 W	0.00	298 of 722 - Sale <sup>25</sup>
	33.000	237.26	08/18/20	261.40	24.14 W	0.00	299 of 722 - Sale <sup>25</sup>
	34.000	244.45	08/18/20	330.38	85.93 W	0.00	300 of 722 - Sale <sup>25</sup>
	28.000	201.31	08/18/20	254.99	53.68 W	0.00	301 of 722 - Sale <sup>25</sup>
	11.000	79.09	08/18/20	87.68	8.59 W	0.00	302 of 722 - Sale <sup>25</sup>
	2.000	14.38	08/18/20	19.14	4.76 W	0.00	303 of 722 - Sale <sup>25</sup>
	5.000	35.95	08/18/20	49.41	13.46 W	0.00	304 of 722 - Sale <sup>25</sup>
	5.000	35.95	08/18/20	49.41	13.46 W	0.00	305 of 722 - Sale <sup>25</sup>
	14.000	100.66	08/18/20	138.35	37.69 W	0.00	306 of 722 - Sale <sup>25</sup>
	20.000	143.79	08/18/20	197.69	53.90 W	0.00	307 of 722 - Sale <sup>25</sup>
	43.000	309.15	08/18/20	411.63	102.48 W	0.00	308 of 722 - Sale <sup>25</sup>
	3.000	21.57	08/18/20	29.34	7.77 W	0.00	309 of 722 - Sale <sup>25</sup>
	4.000	28.76	08/18/20	39.13	10.37 W	0.00	310 of 722 - Sale <sup>25</sup>
	4.000	28.76	08/18/20	39.13	10.37 W	0.00	311 of 722 - Sale <sup>25</sup>
	27.000	194.12	08/18/20	258.51	64.39 W	0.00	312 of 722 - Sale <sup>25</sup>
	3.000	21.57	08/18/20	28.72	7.15 W	0.00	313 of 722 - Sale <sup>25</sup>
	1.000	7.19	08/18/20	9.73	2.54 W	0.00	314 of 722 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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RISE EDUCATION CAYMAN LTD AMER ICAN DEPOSITARY SHARES / CUSIP: 76761L102 / Symbol: (cont'd)							
	4.000	28.76	08/18/20	38.89	10.13 W	0.00	315 of 722 - Sale <sup>25</sup>
	25.000	179.74	08/18/20	243.08	63.34 W	0.00	316 of 722 - Sale <sup>25</sup>
	29.000	208.50	08/18/20	281.97	73.47 W	0.00	317 of 722 - Sale <sup>25</sup>
	18.000	129.41	08/18/20	175.01	45.60 W	0.00	318 of 722 - Sale <sup>25</sup>
	3.000	21.57	08/18/20	23.91	2.34 W	0.00	319 of 722 - Sale <sup>25</sup>
	5.000	35.95	08/18/20	39.85	3.90 W	0.00	320 of 722 - Sale <sup>25</sup>
	1.000	7.19	08/18/20	7.98	0.79 W	0.00	321 of 722 - Sale <sup>25</sup>
	73.000	524.85	08/18/20	581.83	56.98 W	0.00	322 of 722 - Sale <sup>25</sup>
	1.000	7.18	08/18/20	7.92	0.74 W	0.00	323 of 722 - Sale <sup>25</sup>
	7.000	50.26	08/18/20	55.45	5.19 W	0.00	324 of 722 - Sale <sup>25</sup>
	17.000	122.06	08/18/20	134.66	12.60 W	0.00	325 of 722 - Sale <sup>25</sup>
	21.000	150.77	08/18/20	167.60	16.83 W	0.00	326 of 722 - Sale <sup>25</sup>
	25.000	179.49	08/18/20	199.52	20.03 W	0.00	327 of 722 - Sale <sup>25</sup>
	50.000	358.99	08/18/20	399.02	40.03 W	0.00	328 of 722 - Sale <sup>25</sup>
	50.000	358.98	08/18/20	395.81	36.83 W	0.00	329 of 722 - Sale <sup>25</sup>
	8.000	57.44	08/18/20	81.22	23.78 W	0.00	330 of 722 - Sale <sup>25</sup>
	2.000	15.00	08/18/20	19.86	4.86 W	0.00	331 of 722 - Sale <sup>25</sup>
	2.000	15.00	08/18/20	19.24	4.24 W	0.00	332 of 722 - Sale <sup>25</sup>
	3.000	22.50	08/18/20	28.87	6.37 W	0.00	333 of 722 - Sale <sup>25</sup>
	3.000	22.50	08/18/20	29.49	6.99 W	0.00	334 of 722 - Sale <sup>25</sup>
	4.000	30.00	08/18/20	39.33	9.33 W	0.00	335 of 722 - Sale <sup>25</sup>
	4.000	30.00	08/18/20	39.33	9.33 W	0.00	336 of 722 - Sale <sup>25</sup>
	5.000	37.50	08/18/20	49.66	12.16 W	0.00	337 of 722 - Sale <sup>25</sup>
	5.000	37.50	08/18/20	49.66	12.16 W	0.00	338 of 722 - Sale <sup>25</sup>
	12.000	90.00	08/18/20	119.19	29.19 W	0.00	339 of 722 - Sale <sup>25</sup>
	20.000	149.99	08/18/20	198.69	48.70 W	0.00	340 of 722 - Sale <sup>25</sup>
	27.000	202.49	08/18/20	259.86	57.37 W	0.00	341 of 722 - Sale <sup>25</sup>
	43.000	322.49	08/18/20	413.79	91.30 W	0.00	342 of 722 - Sale <sup>25</sup>
	2.000	15.00	08/18/20	19.82	4.82 W	0.00	343 of 722 - Sale <sup>25</sup>
	10.000	75.00	08/18/20	99.13	24.13 W	0.00	344 of 722 - Sale <sup>25</sup>
	16.000	119.99	08/18/20	156.37	36.38 W	0.00	345 of 722 - Sale <sup>25</sup>
	21.000	157.49	08/18/20	208.18	50.69 W	0.00	346 of 722 - Sale <sup>25</sup>
	25.000	187.49	08/18/20	247.95	60.46 W	0.00	347 of 722 - Sale <sup>25</sup>
	29.000	217.49	08/18/20	283.42	65.93 W	0.00	348 of 722 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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RISE EDUCATION CAYMAN LTD AMER ICAN DEPOSITARY SHARES / CUSIP: 76761L102 / Symbol: (cont'd)							
	52.000	389.98	08/18/20	515.46	125.48 W	0.00	349 of 722 - Sale <sup>25</sup>
	100.000	749.97	08/18/20	991.27	241.30 W	0.00	350 of 722 - Sale <sup>25</sup>
	17.000	127.50	08/18/20	136.95	9.45 W	0.00	351 of 722 - Sale <sup>25</sup>
	86.000	644.97	08/18/20	692.84	47.87 W	0.00	352 of 722 - Sale <sup>25</sup>
	1.000	7.50	08/18/20	8.06	0.56 W	0.00	353 of 722 - Sale <sup>25</sup>
	1.000	7.50	08/18/20	9.16	1.66 W	0.00	354 of 722 - Sale <sup>25</sup>
	1.000	7.50	08/18/20	8.02	0.52 W	0.00	355 of 722 - Sale <sup>25</sup>
	1.000	7.50	08/18/20	8.02	0.52 W	0.00	356 of 722 - Sale <sup>25</sup>
	2.000	15.00	08/18/20	16.11	1.11 W	0.00	357 of 722 - Sale <sup>25</sup>
	2.000	15.00	08/18/20	16.11	1.11 W	0.00	358 of 722 - Sale <sup>25</sup>
	2.000	15.00	08/18/20	18.31	3.31 W	0.00	359 of 722 - Sale <sup>25</sup>
	2.000	15.00	08/18/20	16.04	1.04 W	0.00	360 of 722 - Sale <sup>25</sup>
	5.000	37.50	08/18/20	45.85	8.35 W	0.00	361 of 722 - Sale <sup>25</sup>
	7.000	52.50	08/18/20	56.39	3.89 W	0.00	362 of 722 - Sale <sup>25</sup>
	7.000	52.50	08/18/20	64.09	11.59 W	0.00	363 of 722 - Sale <sup>25</sup>
	7.000	52.50	08/18/20	68.37	15.87 W	0.00	364 of 722 - Sale <sup>25</sup>
	7.000	52.50	08/18/20	56.15	3.65 W	0.00	365 of 722 - Sale <sup>25</sup>
	8.000	60.00	08/18/20	64.16	4.16 W	0.00	366 of 722 - Sale <sup>25</sup>
	9.000	67.50	08/18/20	77.13	9.63 W	0.00	367 of 722 - Sale <sup>25</sup>
	10.000	75.00	08/18/20	91.56	16.56 W	0.00	368 of 722 - Sale <sup>25</sup>
	11.000	82.50	08/18/20	107.45	24.95 W	0.00	369 of 722 - Sale <sup>25</sup>
	11.000	82.50	08/18/20	88.23	5.73 W	0.00	370 of 722 - Sale <sup>25</sup>
	12.000	90.00	08/18/20	109.88	19.88 W	0.00	371 of 722 - Sale <sup>25</sup>
	18.000	134.99	08/18/20	165.01	30.02 W	0.00	372 of 722 - Sale <sup>25</sup>
	18.000	134.99	08/18/20	175.83	40.84 W	0.00	373 of 722 - Sale <sup>25</sup>
	18.000	134.99	08/18/20	144.38	9.39 W	0.00	374 of 722 - Sale <sup>25</sup>
	21.000	157.49	08/18/20	169.19	11.70 W	0.00	375 of 722 - Sale <sup>25</sup>
	28.000	209.99	08/18/20	256.39	46.40 W	0.00	376 of 722 - Sale <sup>25</sup>
	33.000	247.49	08/18/20	263.05	15.56 W	0.00	377 of 722 - Sale <sup>25</sup>
	34.000	254.99	08/18/20	332.08	77.09 W	0.00	378 of 722 - Sale <sup>25</sup>
	40.000	299.99	08/18/20	322.25	22.26 W	0.00	379 of 722 - Sale <sup>25</sup>
	65.000	487.48	08/18/20	595.22	107.74 W	0.00	380 of 722 - Sale <sup>25</sup>
	71.000	532.48	08/18/20	650.15	117.67 W	0.00	381 of 722 - Sale <sup>25</sup>
	42.000	314.99	08/18/20	338.36	23.37 W	0.00	382 of 722 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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RISE EDUCATION CAYMAN LTD AMER ICAN DEPOSITARY SHARES / CUSIP: 76761L102 / Symbol: (cont'd)							
	1.000	7.50	08/18/20	9.78	2.28 W	0.00	383 of 722 - Sale <sup>25</sup>
	4.000	30.00	08/18/20	39.09	9.09 W	0.00	384 of 722 - Sale <sup>25</sup>
	9.000	67.50	08/18/20	87.96	20.46 W	0.00	385 of 722 - Sale <sup>25</sup>
	18.000	134.99	08/18/20	175.92	40.93 W	0.00	386 of 722 - Sale <sup>25</sup>
	5.000	37.50	08/18/20	40.10	2.60 W	0.00	387 of 722 - Sale <sup>25</sup>
	63.000	472.48	08/18/20	505.27	32.79 W	0.00	388 of 722 - Sale <sup>25</sup>
	1.000	7.50	08/18/20	8.03	0.53 W	0.00	389 of 722 - Sale <sup>25</sup>
	3.000	22.50	08/18/20	24.06	1.56 W	0.00	390 of 722 - Sale <sup>25</sup>
	10.000	75.00	08/18/20	80.21	5.21 W	0.00	391 of 722 - Sale <sup>25</sup>
	15.000	112.50	08/18/20	120.62	8.12 W	0.00	392 of 722 - Sale <sup>25</sup>
	21.000	157.49	08/18/20	168.87	11.38 W	0.00	393 of 722 - Sale <sup>25</sup>
	50.000	374.99	08/18/20	402.01	27.02 W	0.00	394 of 722 - Sale <sup>25</sup>
	2.000	15.00	08/18/20	19.34	4.34 W	0.00	395 of 722 - Sale <sup>25</sup>
	2.000	15.00	08/18/20	18.72	3.72 W	0.00	396 of 722 - Sale <sup>25</sup>
	3.000	22.50	08/18/20	28.09	5.59 W	0.00	397 of 722 - Sale <sup>25</sup>
	3.000	22.50	08/18/20	28.71	6.21 W	0.00	398 of 722 - Sale <sup>25</sup>
	4.000	30.00	08/18/20	38.29	8.29 W	0.00	399 of 722 - Sale <sup>25</sup>
	4.000	30.00	08/18/20	38.29	8.29 W	0.00	400 of 722 - Sale <sup>25</sup>
	5.000	37.50	08/18/20	48.36	10.86 W	0.00	401 of 722 - Sale <sup>25</sup>
	5.000	37.50	08/18/20	48.36	10.86 W	0.00	402 of 722 - Sale <sup>25</sup>
	12.000	90.00	08/18/20	116.07	26.07 W	0.00	403 of 722 - Sale <sup>25</sup>
	20.000	149.99	08/18/20	193.50	43.51 W	0.00	404 of 722 - Sale <sup>25</sup>
	27.000	202.49	08/18/20	252.84	50.35 W	0.00	405 of 722 - Sale <sup>25</sup>
	43.000	322.49	08/18/20	402.61	80.12 W	0.00	406 of 722 - Sale <sup>25</sup>
	2.000	15.00	08/18/20	19.30	4.30 W	0.00	407 of 722 - Sale <sup>25</sup>
	8.000	60.00	08/18/20	81.70	21.70 W	0.00	408 of 722 - Sale <sup>25</sup>
	10.000	75.00	08/18/20	96.53	21.53 W	0.00	409 of 722 - Sale <sup>25</sup>
	16.000	119.99	08/18/20	152.22	32.23 W	0.00	410 of 722 - Sale <sup>25</sup>
	21.000	157.49	08/18/20	202.73	45.24 W	0.00	411 of 722 - Sale <sup>25</sup>
	25.000	187.49	08/18/20	241.45	53.96 W	0.00	412 of 722 - Sale <sup>25</sup>
	29.000	217.49	08/18/20	275.88	58.39 W	0.00	413 of 722 - Sale <sup>25</sup>
	52.000	389.98	08/18/20	501.95	111.97 W	0.00	414 of 722 - Sale <sup>25</sup>
	100.000	749.97	08/18/20	965.28	215.31 W	0.00	415 of 722 - Sale <sup>25</sup>
	1.000	7.50	08/18/20	7.98	0.48 W	0.00	416 of 722 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RISE EDUCATION CAYMAN LTD AMER ICAN DEPOSITARY SHARES / CUSIP: 76761L102 / Symbol: (cont'd)							
	7.000	52.50	08/18/20	55.87	3.37 W	0.00	417 of 722 - Sale <sup>25</sup>
	10.000	75.00	08/18/20	80.41	5.41 W	0.00	418 of 722 - Sale <sup>25</sup>
	17.000	127.49	08/18/20	135.68	8.19 W	0.00	419 of 722 - Sale <sup>25</sup>
	17.000	127.49	08/18/20	132.53	5.04 W	0.00	420 of 722 - Sale <sup>25</sup>
	50.000	374.98	08/18/20	398.82	23.84 W	0.00	421 of 722 - Sale <sup>25</sup>
	86.000	644.98	08/18/20	670.49	25.51 W	0.00	422 of 722 - Sale <sup>25</sup>
	1.000	7.50	08/18/20	7.80	0.30 W	0.00	423 of 722 - Sale <sup>25</sup>
	1.000	7.50	08/18/20	8.90	1.40 W	0.00	424 of 722 - Sale <sup>25</sup>
	2.000	15.00	08/18/20	15.59	0.59 W	0.00	425 of 722 - Sale <sup>25</sup>
	2.000	15.00	08/18/20	15.59	0.59 W	0.00	426 of 722 - Sale <sup>25</sup>
	2.000	15.00	08/18/20	17.79	2.79 W	0.00	427 of 722 - Sale <sup>25</sup>
	5.000	37.50	08/18/20	44.55	7.05 W	0.00	428 of 722 - Sale <sup>25</sup>
	7.000	52.50	08/18/20	54.57	2.07 W	0.00	429 of 722 - Sale <sup>25</sup>
	7.000	52.50	08/18/20	62.27	9.77 W	0.00	430 of 722 - Sale <sup>25</sup>
	9.000	67.50	08/18/20	74.79	7.29 W	0.00	431 of 722 - Sale <sup>25</sup>
	10.000	75.00	08/18/20	88.96	13.96 W	0.00	432 of 722 - Sale <sup>25</sup>
	10.000	75.00	08/18/20	95.07	20.07 W	0.00	433 of 722 - Sale <sup>25</sup>
	12.000	90.00	08/18/20	106.76	16.76 W	0.00	434 of 722 - Sale <sup>25</sup>
	18.000	134.99	08/18/20	160.34	25.35 W	0.00	435 of 722 - Sale <sup>25</sup>
	21.000	157.49	08/18/20	163.74	6.25 W	0.00	436 of 722 - Sale <sup>25</sup>
	28.000	209.99	08/18/20	249.11	39.12 W	0.00	437 of 722 - Sale <sup>25</sup>
	40.000	299.99	08/18/20	311.85	11.86 W	0.00	438 of 722 - Sale <sup>25</sup>
	42.000	314.99	08/18/20	327.44	12.45 W	0.00	439 of 722 - Sale <sup>25</sup>
	65.000	487.48	08/18/20	578.32	90.84 W	0.00	440 of 722 - Sale <sup>25</sup>
	71.000	532.48	08/18/20	631.69	99.21 W	0.00	441 of 722 - Sale <sup>25</sup>
	1.000	7.50	08/18/20	9.51	2.01 W	0.00	442 of 722 - Sale <sup>25</sup>
	1.000	7.50	08/18/20	7.76	0.26 W	0.00	443 of 722 - Sale <sup>25</sup>
	6.000	45.00	08/18/20	46.57	1.57 W	0.00	444 of 722 - Sale <sup>25</sup>
	7.000	52.50	08/18/20	66.55	14.05 W	0.00	445 of 722 - Sale <sup>25</sup>
	18.000	134.99	08/18/20	171.16	36.17 W	0.00	446 of 722 - Sale <sup>25</sup>
	33.000	247.49	08/18/20	254.47	6.98 W	0.00	447 of 722 - Sale <sup>25</sup>
	34.000	254.99	08/18/20	323.24	68.25 W	0.00	448 of 722 - Sale <sup>25</sup>
	1.000	7.50	08/18/20	9.52	2.02 W	0.00	449 of 722 - Sale <sup>25</sup>
	4.000	30.00	08/18/20	38.05	8.05 W	0.00	450 of 722 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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RISE EDUCATION CAYMAN LTD AMER ICAN DEPOSITARY SHARES / CUSIP: 76761L102 / Symbol: (cont'd)							
	9.000	67.50	08/18/20	85.62	18.12 W	0.00	451 of 722 - Sale <sup>25</sup>
	18.000	134.99	08/18/20	171.24	36.25 W	0.00	452 of 722 - Sale <sup>25</sup>
	1.000	7.50	08/18/20	7.76	0.26 W	0.00	453 of 722 - Sale <sup>25</sup>
	1.000	7.50	08/18/20	7.76	0.26 W	0.00	454 of 722 - Sale <sup>25</sup>
	2.000	15.00	08/18/20	15.52	0.52 W	0.00	455 of 722 - Sale <sup>25</sup>
	5.000	37.50	08/18/20	38.80	1.30 W	0.00	456 of 722 - Sale <sup>25</sup>
	8.000	60.00	08/18/20	62.08	2.08 W	0.00	457 of 722 - Sale <sup>25</sup>
	11.000	82.50	08/18/20	85.37	2.87 W	0.00	458 of 722 - Sale <sup>25</sup>
	18.000	134.99	08/18/20	139.71	4.72 W	0.00	459 of 722 - Sale <sup>25</sup>
	22.000	164.99	08/18/20	170.72	5.73 W	0.00	460 of 722 - Sale <sup>25</sup>
	1.000	7.50	08/18/20	7.77	0.27 W	0.00	461 of 722 - Sale <sup>25</sup>
	3.000	22.50	08/18/20	23.28	0.78 W	0.00	462 of 722 - Sale <sup>25</sup>
	10.000	75.00	08/18/20	77.61	2.61 W	0.00	463 of 722 - Sale <sup>25</sup>
	21.000	157.49	08/18/20	163.42	5.93 W	0.00	464 of 722 - Sale <sup>25</sup>
	10.000	74.99	08/18/20	77.80	2.81 W	0.00	465 of 722 - Sale <sup>25</sup>
	41.000	307.48	08/18/20	318.17	10.69 W	0.00	466 of 722 - Sale <sup>25</sup>
	14.000	105.00	08/18/20	108.92	3.92 W	0.00	467 of 722 - Sale <sup>25</sup>
	1.000	9.51	08/18/20	9.67	0.16 W	0.00	468 of 722 - Sale <sup>25</sup>
	1.000	9.50	08/18/20	9.67	0.17 W	0.00	469 of 722 - Sale <sup>25</sup>
	5.000	47.50	08/18/20	48.36	0.86 W	0.00	470 of 722 - Sale <sup>25</sup>
	5.000	47.50	08/18/20	48.36	0.86 W	0.00	471 of 722 - Sale <sup>25</sup>
	12.000	114.00	08/18/20	116.07	2.07 W	0.00	472 of 722 - Sale <sup>25</sup>
	20.000	190.00	08/18/20	193.51	3.51 W	0.00	473 of 722 - Sale <sup>25</sup>
	3.000	28.50	08/18/20	28.71	0.21 W	0.00	474 of 722 - Sale <sup>25</sup>
	4.000	38.00	08/18/20	38.29	0.29 W	0.00	475 of 722 - Sale <sup>25</sup>
	4.000	38.00	08/18/20	38.29	0.29 W	0.00	476 of 722 - Sale <sup>25</sup>
	2.000	19.00	08/18/20	19.30	0.30 W	0.00	477 of 722 - Sale <sup>25</sup>
	8.000	76.00	08/18/20	81.70	5.70 W	0.00	478 of 722 - Sale <sup>25</sup>
	10.000	95.00	08/18/20	96.53	1.53 W	0.00	479 of 722 - Sale <sup>25</sup>
	21.000	199.49	08/18/20	202.74	3.25 W	0.00	480 of 722 - Sale <sup>25</sup>
	22.000	208.99	08/18/20	212.48	3.49 W	0.00	481 of 722 - Sale <sup>25</sup>
	52.000	493.98	08/18/20	501.97	7.99 W	0.00	482 of 722 - Sale <sup>25</sup>
	100.000	949.96	08/18/20	965.31	15.35 W	0.00	483 of 722 - Sale <sup>25</sup>
	16.000	152.00	08/18/20	152.23	0.23 W	0.00	484 of 722 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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RISE EDUCATION CAYMAN LTD AMER ICAN DEPOSITARY SHARES / CUSIP: 76761L102 / Symbol: (cont'd)							
	3.000	28.50	08/18/20	28.98	0.48 W	0.00	485 of 722 - Sale <sup>25</sup>
	29.000	275.50	08/18/20	275.89	0.39 W	0.00	486 of 722 - Sale <sup>25</sup>
	1.000	9.50	08/18/20	10.42	0.92 W	0.00	487 of 722 - Sale <sup>25</sup>
	4.000	38.00	08/18/20	41.65	3.65 W	0.00	488 of 722 - Sale <sup>25</sup>
	9.000	85.50	08/18/20	93.72	8.22 W	0.00	489 of 722 - Sale <sup>25</sup>
	18.000	170.99	08/18/20	187.45	16.46 W	0.00	490 of 722 - Sale <sup>25</sup>
	1.000	9.50	08/18/20	9.80	0.30 W	0.00	491 of 722 - Sale <sup>25</sup>
	1.000	9.50	08/18/20	10.41	0.91 W	0.00	492 of 722 - Sale <sup>25</sup>
	2.000	19.00	08/18/20	19.59	0.59 W	0.00	493 of 722 - Sale <sup>25</sup>
	5.000	47.50	08/18/20	49.05	1.55 W	0.00	494 of 722 - Sale <sup>25</sup>
	7.000	66.50	08/18/20	68.57	2.07 W	0.00	495 of 722 - Sale <sup>25</sup>
	7.000	66.50	08/18/20	72.85	6.35 W	0.00	496 of 722 - Sale <sup>25</sup>
	10.000	95.00	08/18/20	97.96	2.96 W	0.00	497 of 722 - Sale <sup>25</sup>
	10.000	95.00	08/18/20	104.07	9.07 W	0.00	498 of 722 - Sale <sup>25</sup>
	12.000	114.00	08/18/20	117.56	3.56 W	0.00	499 of 722 - Sale <sup>25</sup>
	18.000	170.99	08/18/20	176.55	5.56 W	0.00	500 of 722 - Sale <sup>25</sup>
	18.000	170.99	08/18/20	187.37	16.38 W	0.00	501 of 722 - Sale <sup>25</sup>
	28.000	265.99	08/18/20	274.32	8.33 W	0.00	502 of 722 - Sale <sup>25</sup>
	34.000	322.99	08/18/20	353.85	30.86 W	0.00	503 of 722 - Sale <sup>25</sup>
	65.000	617.48	08/18/20	636.84	19.36 W	0.00	504 of 722 - Sale <sup>25</sup>
	71.000	674.48	08/18/20	695.61	21.13 W	0.00	505 of 722 - Sale <sup>25</sup>
	1.000	9.05	08/18/20	9.60	0.55 W	0.00	506 of 722 - Sale <sup>25</sup>
	1.000	9.05	08/18/20	10.21	1.16 W	0.00	507 of 722 - Sale <sup>25</sup>
	2.000	18.10	08/18/20	19.19	1.09 W	0.00	508 of 722 - Sale <sup>25</sup>
	5.000	45.25	08/18/20	48.05	2.80 W	0.00	509 of 722 - Sale <sup>25</sup>
	7.000	63.35	08/18/20	67.17	3.82 W	0.00	510 of 722 - Sale <sup>25</sup>
	7.000	63.35	08/18/20	71.45	8.10 W	0.00	511 of 722 - Sale <sup>25</sup>
	10.000	90.50	08/18/20	95.96	5.46 W	0.00	512 of 722 - Sale <sup>25</sup>
	10.000	90.50	08/18/20	102.07	11.57 W	0.00	513 of 722 - Sale <sup>25</sup>
	12.000	108.60	08/18/20	115.16	6.56 W	0.00	514 of 722 - Sale <sup>25</sup>
	18.000	162.89	08/18/20	172.96	10.07 W	0.00	515 of 722 - Sale <sup>25</sup>
	18.000	162.89	08/18/20	183.78	20.89 W	0.00	516 of 722 - Sale <sup>25</sup>
	28.000	253.39	08/18/20	268.73	15.34 W	0.00	517 of 722 - Sale <sup>25</sup>
	34.000	307.69	08/18/20	347.06	39.37 W	0.00	518 of 722 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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RISE EDUCATION CAYMAN LTD AMER ICAN DEPOSITARY SHARES / CUSIP: 76761L102 / Symbol: (cont'd)							
65.000		588.23	08/18/20	623.86	35.63 W	0.00	519 of 722 - Sale <sup>25</sup>
71.000		642.53	08/18/20	681.43	38.90 W	0.00	520 of 722 - Sale <sup>25</sup>
411.000		3,719.41	08/18/20	3,822.30	102.89 W	0.00	521 of 722 - Sale <sup>25</sup>
444.000		4,018.05	08/18/20	4,129.20	111.15 W	0.00	522 of 722 - Sale <sup>25</sup>
1.000		9.05	08/18/20	9.85	0.80 W	0.00	523 of 722 - Sale <sup>25</sup>
2.000		18.10	08/18/20	19.69	1.59 W	0.00	524 of 722 - Sale <sup>25</sup>
7.000		63.35	08/18/20	68.92	5.57 W	0.00	525 of 722 - Sale <sup>25</sup>
10.000		90.50	08/18/20	98.46	7.96 W	0.00	526 of 722 - Sale <sup>25</sup>
12.000		108.60	08/18/20	118.16	9.56 W	0.00	527 of 722 - Sale <sup>25</sup>
53.000		479.63	08/18/20	521.95	42.32 W	0.00	528 of 722 - Sale <sup>25</sup>
71.000		642.53	08/18/20	699.20	56.67 W	0.00	529 of 722 - Sale <sup>25</sup>
1.000		9.05	08/18/20	10.46	1.41 W	0.00	530 of 722 - Sale <sup>25</sup>
5.000		45.25	08/18/20	49.30	4.05 W	0.00	531 of 722 - Sale <sup>25</sup>
7.000		63.35	08/18/20	73.20	9.85 W	0.00	532 of 722 - Sale <sup>25</sup>
10.000		90.50	08/18/20	104.57	14.07 W	0.00	533 of 722 - Sale <sup>25</sup>
12.000		108.60	08/18/20	118.18	9.58 W	0.00	534 of 722 - Sale <sup>25</sup>
18.000		162.89	08/18/20	177.47	14.58 W	0.00	535 of 722 - Sale <sup>25</sup>
18.000		162.89	08/18/20	188.29	25.40 W	0.00	536 of 722 - Sale <sup>25</sup>
28.000		253.39	08/18/20	275.74	22.35 W	0.00	537 of 722 - Sale <sup>25</sup>
34.000		307.69	08/18/20	355.57	47.88 W	0.00	538 of 722 - Sale <sup>25</sup>
267.000		2,416.26	08/18/20	2,549.94	133.68 W	0.00	539 of 722 - Sale <sup>25</sup>
144.000		1,303.15	08/18/20	1,375.25	72.10 W	0.00	540 of 722 - Sale <sup>25</sup>
444.000		4,018.05	08/18/20	4,240.35	222.30 W	0.00	541 of 722 - Sale <sup>25</sup>
199.000		1,800.89	08/18/20	1,850.70	49.81 W	0.00	542 of 722 - Sale <sup>25</sup>
2.000		18.10	08/18/20	20.19	2.09 W	0.00	543 of 722 - Sale <sup>25</sup>
11.000		99.55	08/18/20	111.06	11.51 W	0.00	544 of 722 - Sale <sup>25</sup>
1.000		9.05	08/18/20	10.06	1.01 W	0.00	545 of 722 - Sale <sup>25</sup>
1.000		9.05	08/18/20	10.06	1.01 W	0.00	546 of 722 - Sale <sup>25</sup>
7.000		63.35	08/18/20	70.39	7.04 W	0.00	547 of 722 - Sale <sup>25</sup>
9.000		81.44	08/18/20	90.63	9.19 W	0.00	548 of 722 - Sale <sup>25</sup>
10.000		90.50	08/18/20	100.56	10.06 W	0.00	549 of 722 - Sale <sup>25</sup>
12.000		108.59	08/18/20	120.70	12.11 W	0.00	550 of 722 - Sale <sup>25</sup>
28.000		253.39	08/18/20	281.63	28.24 W	0.00	551 of 722 - Sale <sup>25</sup>
53.000		479.63	08/18/20	533.09	53.46 W	0.00	552 of 722 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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RISE EDUCATION CAYMAN LTD AMER ICAN DEPOSITARY SHARES / CUSIP: 76761L102 / Symbol: (cont'd)							
	71.000	642.52	08/18/20	714.12	71.60 W	0.00	553 of 722 - Sale <sup>25</sup>
	8.000	72.40	08/18/20	79.84	7.44 W	0.00	554 of 722 - Sale <sup>25</sup>
	1.000	8.70	08/18/20	9.66	0.96 W	0.00	555 of 722 - Sale <sup>25</sup>
	1.000	8.70	08/18/20	10.26	1.56 W	0.00	556 of 722 - Sale <sup>25</sup>
	5.000	43.50	08/18/20	48.30	4.80 W	0.00	557 of 722 - Sale <sup>25</sup>
	7.000	60.90	08/18/20	71.80	10.90 W	0.00	558 of 722 - Sale <sup>25</sup>
	10.000	87.00	08/18/20	102.57	15.57 W	0.00	559 of 722 - Sale <sup>25</sup>
	18.000	156.61	08/18/20	184.70	28.09 W	0.00	560 of 722 - Sale <sup>25</sup>
	34.000	295.81	08/18/20	348.78	52.97 W	0.00	561 of 722 - Sale <sup>25</sup>
	44.000	382.82	08/18/20	411.43	28.61 W	0.00	562 of 722 - Sale <sup>25</sup>
	180.000	1,566.07	08/18/20	1,746.12	180.05 W	0.00	563 of 722 - Sale <sup>25</sup>
	32.000	278.41	08/18/20	305.94	27.53 W	0.00	564 of 722 - Sale <sup>25</sup>
	43.000	374.12	08/18/20	411.10	36.98 W	0.00	565 of 722 - Sale <sup>25</sup>
	100.000	871.98	08/18/20	925.07	53.09 W	0.00	566 of 722 - Sale <sup>25</sup>
	12.000	104.64	08/18/20	111.01	6.37 W	0.00	567 of 722 - Sale <sup>25</sup>
	238.000	2,075.31	08/18/20	2,201.66	126.35 W	0.00	568 of 722 - Sale <sup>25</sup>
	79.000	688.86	08/18/20	733.17	44.31 W	0.00	569 of 722 - Sale <sup>25</sup>
	100.000	871.98	08/18/20	930.07	58.09 W	0.00	570 of 722 - Sale <sup>25</sup>
	1.000	8.72	08/18/20	9.81	1.09 W	0.00	571 of 722 - Sale <sup>25</sup>
	1.000	8.72	08/18/20	9.81	1.09 W	0.00	572 of 722 - Sale <sup>25</sup>
	2.000	17.44	08/18/20	19.69	2.25 W	0.00	573 of 722 - Sale <sup>25</sup>
	6.000	52.32	08/18/20	58.85	6.53 W	0.00	574 of 722 - Sale <sup>25</sup>
	7.000	61.04	08/18/20	68.64	7.60 W	0.00	575 of 722 - Sale <sup>25</sup>
	10.000	87.20	08/18/20	98.06	10.86 W	0.00	576 of 722 - Sale <sup>25</sup>
	11.000	95.92	08/18/20	108.31	12.39 W	0.00	577 of 722 - Sale <sup>25</sup>
	12.000	104.64	08/18/20	117.71	13.07 W	0.00	578 of 722 - Sale <sup>25</sup>
	27.000	235.43	08/18/20	251.12	15.69 W	0.00	579 of 722 - Sale <sup>25</sup>
	53.000	462.15	08/18/20	519.86	57.71 W	0.00	580 of 722 - Sale <sup>25</sup>
	71.000	619.10	08/18/20	696.40	77.30 W	0.00	581 of 722 - Sale <sup>25</sup>
	199.000	1,735.24	08/18/20	1,801.01	65.77 W	0.00	582 of 722 - Sale <sup>25</sup>
	1.000	8.72	08/18/20	9.76	1.04 W	0.00	583 of 722 - Sale <sup>25</sup>
	1.000	8.72	08/18/20	10.36	1.64 W	0.00	584 of 722 - Sale <sup>25</sup>
	5.000	43.60	08/18/20	48.80	5.20 W	0.00	585 of 722 - Sale <sup>25</sup>
	8.000	69.76	08/18/20	77.84	8.08 W	0.00	586 of 722 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RISE EDUCATION CAYMAN LTD AMER ICAN DEPOSITARY SHARES / CUSIP: 76761L102 / Symbol: (cont'd)							
	9.000	78.48	08/18/20	88.39	9.91 W	0.00	587 of 722 - Sale <sup>25</sup>
	10.000	87.19	08/18/20	103.57	16.38 W	0.00	588 of 722 - Sale <sup>25</sup>
	15.000	130.79	08/18/20	155.41	24.62 W	0.00	589 of 722 - Sale <sup>25</sup>
	22.000	191.83	08/18/20	215.79	23.96 W	0.00	590 of 722 - Sale <sup>25</sup>
	3.000	26.55	08/18/20	31.44	4.89 W	0.00	591 of 722 - Sale <sup>25</sup>
	7.000	61.95	08/18/20	73.34	11.39 W	0.00	592 of 722 - Sale <sup>25</sup>
	12.000	106.20	08/18/20	113.41	7.21 W	0.00	593 of 722 - Sale <sup>25</sup>
	32.000	283.19	08/18/20	312.97	29.78 W	0.00	594 of 722 - Sale <sup>25</sup>
	34.000	300.89	08/18/20	356.25	55.36 W	0.00	595 of 722 - Sale <sup>25</sup>
	43.000	380.54	08/18/20	420.54	40.00 W	0.00	596 of 722 - Sale <sup>25</sup>
	44.000	389.39	08/18/20	421.09	31.70 W	0.00	597 of 722 - Sale <sup>25</sup>
	100.000	884.96	08/18/20	945.09	60.13 W	0.00	598 of 722 - Sale <sup>25</sup>
	180.000	1,592.94	08/18/20	1,785.65	192.71 W	0.00	599 of 722 - Sale <sup>25</sup>
	45.000	398.23	08/18/20	425.29	27.06 W	0.00	600 of 722 - Sale <sup>25</sup>
	85.000	752.22	08/18/20	803.32	51.10 W	0.00	601 of 722 - Sale <sup>25</sup>
	50.000	442.50	08/18/20	472.55	30.05 W	0.00	602 of 722 - Sale <sup>25</sup>
	42.000	370.85	08/18/20	398.20	27.35 W	0.00	603 of 722 - Sale <sup>25</sup>
	58.000	512.12	08/18/20	548.15	36.03 W	0.00	604 of 722 - Sale <sup>25</sup>
	27.000	238.40	08/18/20	256.53	18.13 W	0.00	605 of 722 - Sale <sup>25</sup>
	37.000	326.70	08/18/20	350.79	24.09 W	0.00	606 of 722 - Sale <sup>25</sup>
	100.000	882.97	08/18/20	950.09	67.12 W	0.00	607 of 722 - Sale <sup>25</sup>
	101.000	891.80	08/18/20	934.30	42.50 W	0.00	608 of 722 - Sale <sup>25</sup>
	1.000	8.83	08/18/20	10.04	1.21 W	0.00	609 of 722 - Sale <sup>25</sup>
	1.000	8.83	08/18/20	10.04	1.21 W	0.00	610 of 722 - Sale <sup>25</sup>
	2.000	17.66	08/18/20	20.15	2.49 W	0.00	611 of 722 - Sale <sup>25</sup>
	10.000	88.30	08/18/20	100.36	12.06 W	0.00	612 of 722 - Sale <sup>25</sup>
	11.000	97.13	08/18/20	110.84	13.71 W	0.00	613 of 722 - Sale <sup>25</sup>
	12.000	105.95	08/18/20	120.46	14.51 W	0.00	614 of 722 - Sale <sup>25</sup>
	98.000	865.30	08/18/20	909.49	44.19 W	0.00	615 of 722 - Sale <sup>25</sup>
	1.000	8.83	08/18/20	10.04	1.21 W	0.00	616 of 722 - Sale <sup>25</sup>
	6.000	52.98	08/18/20	60.23	7.25 W	0.00	617 of 722 - Sale <sup>25</sup>
	6.000	52.98	08/18/20	60.31	7.33 W	0.00	618 of 722 - Sale <sup>25</sup>
	7.000	61.81	08/18/20	70.25	8.44 W	0.00	619 of 722 - Sale <sup>25</sup>
	12.000	105.96	08/18/20	120.47	14.51 W	0.00	620 of 722 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RISE EDUCATION CAYMAN LTD AMER ICAN DEPOSITARY SHARES / CUSIP: 76761L102 / Symbol: (cont'd)							
	22.000	194.25	08/18/20	220.86	26.61 W	0.00	621 of 722 - Sale <sup>25</sup>
	53.000	467.97	08/18/20	532.06	64.09 W	0.00	622 of 722 - Sale <sup>25</sup>
	58.000	512.12	08/18/20	582.25	70.13 W	0.00	623 of 722 - Sale <sup>25</sup>
	1.000	8.83	08/18/20	9.99	1.16 W	0.00	624 of 722 - Sale <sup>25</sup>
	1.000	8.83	08/18/20	10.59	1.76 W	0.00	625 of 722 - Sale <sup>25</sup>
	3.000	26.49	08/18/20	30.15	3.66 W	0.00	626 of 722 - Sale <sup>25</sup>
	3.000	26.49	08/18/20	31.74	5.25 W	0.00	627 of 722 - Sale <sup>25</sup>
	5.000	44.15	08/18/20	49.95	5.80 W	0.00	628 of 722 - Sale <sup>25</sup>
	7.000	61.81	08/18/20	74.04	12.23 W	0.00	629 of 722 - Sale <sup>25</sup>
	8.000	70.64	08/18/20	79.68	9.04 W	0.00	630 of 722 - Sale <sup>25</sup>
	10.000	88.30	08/18/20	105.88	17.58 W	0.00	631 of 722 - Sale <sup>25</sup>
	15.000	132.44	08/18/20	158.87	26.43 W	0.00	632 of 722 - Sale <sup>25</sup>
	34.000	300.20	08/18/20	359.66	59.46 W	0.00	633 of 722 - Sale <sup>25</sup>
	44.000	388.50	08/18/20	425.50	37.00 W	0.00	634 of 722 - Sale <sup>25</sup>
	5.000	44.15	08/18/20	50.10	5.95 W	0.00	635 of 722 - Sale <sup>25</sup>
	100.000	881.97	08/18/20	1,002.06	120.09 W	0.00	636 of 722 - Sale <sup>25</sup>
	51.000	449.81	08/18/20	511.05	61.24 W	0.00	637 of 722 - Sale <sup>25</sup>
	5.000	44.05	08/18/20	50.10	6.05 W	0.00	638 of 722 - Sale <sup>25</sup>
	2.000	17.60	08/18/20	19.10	1.50 W	0.00	639 of 722 - Sale <sup>25</sup>
	12.000	105.60	08/18/20	114.61	9.01 W	0.00	640 of 722 - Sale <sup>25</sup>
	32.000	281.59	08/18/20	316.18	34.59 W	0.00	641 of 722 - Sale <sup>25</sup>
	43.000	378.39	08/18/20	424.85	46.46 W	0.00	642 of 722 - Sale <sup>25</sup>
	100.000	879.96	08/18/20	955.13	75.17 W	0.00	643 of 722 - Sale <sup>25</sup>
	19.000	167.19	08/18/20	190.40	23.21 W	0.00	644 of 722 - Sale <sup>25</sup>
	22.000	193.59	08/18/20	210.57	16.98 W	0.00	645 of 722 - Sale <sup>25</sup>
	43.000	378.39	08/18/20	410.71	32.32 W	0.00	646 of 722 - Sale <sup>25</sup>
	50.000	439.99	08/18/20	477.55	37.56 W	0.00	647 of 722 - Sale <sup>25</sup>
	85.000	747.97	08/18/20	811.85	63.88 W	0.00	648 of 722 - Sale <sup>25</sup>
	22.000	203.49	08/18/20	221.10	17.61 W	0.00	649 of 722 - Sale <sup>25</sup>
	36.000	332.99	08/18/20	360.72	27.73 W	0.00	650 of 722 - Sale <sup>25</sup>
	42.000	388.48	08/18/20	422.11	33.63 W	0.00	651 of 722 - Sale <sup>25</sup>
	5.000	46.25	08/18/20	50.25	4.00 W	0.00	652 of 722 - Sale <sup>25</sup>
	10.000	92.10	08/18/20	100.51	8.41 W	0.00	653 of 722 - Sale <sup>25</sup>
	6.000	55.26	08/18/20	60.42	5.16 W	0.00	654 of 722 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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RISE EDUCATION CAYMAN LTD AMER ICAN DEPOSITARY SHARES / CUSIP: 76761L102 / Symbol: (cont'd)							
2.000		18.40	08/18/20	20.34	1.94 W	0.00	655 of 722 - Sale <sup>25</sup>
12.000		110.40	08/18/20	122.05	11.65 W	0.00	656 of 722 - Sale <sup>25</sup>
14.000		128.80	08/18/20	142.40	13.60 W	0.00	657 of 722 - Sale <sup>25</sup>
29.000		266.79	08/18/20	304.53	37.74 W	0.00	658 of 722 - Sale <sup>25</sup>
43.000		395.58	08/18/20	437.38	41.80 W	0.00	659 of 722 - Sale <sup>25</sup>
100.000		919.96	08/18/20	1,017.17	97.21 W	0.00	660 of 722 - Sale <sup>25</sup>
29.000		266.79	08/18/20	295.54	28.75 W	0.00	661 of 722 - Sale <sup>25</sup>
71.000		653.18	08/18/20	723.60	70.42 W	0.00	662 of 722 - Sale <sup>25</sup>
2.000		18.40	08/18/20	20.42	2.02 W	0.00	663 of 722 - Sale <sup>25</sup>
21.000		193.19	08/18/20	214.02	20.83 W	0.00	664 of 722 - Sale <sup>25</sup>
22.000		202.39	08/18/20	224.66	22.27 W	0.00	665 of 722 - Sale <sup>25</sup>
5.000		46.00	08/18/20	51.20	5.20 W	0.00	666 of 722 - Sale <sup>25</sup>
6.000		55.20	08/18/20	61.80	6.60 W	0.00	667 of 722 - Sale <sup>25</sup>
10.000		92.00	08/18/20	102.81	10.81 W	0.00	668 of 722 - Sale <sup>25</sup>
22.000		202.39	08/18/20	225.29	22.90 W	0.00	669 of 722 - Sale <sup>25</sup>
34.000		312.79	08/18/20	347.15	34.36 W	0.00	670 of 722 - Sale <sup>25</sup>
42.000		386.39	08/18/20	430.11	43.72 W	0.00	671 of 722 - Sale <sup>25</sup>
406.000		3,735.05	08/18/20	3,832.64	97.59 W	0.00	672 of 722 - Sale <sup>25</sup>
30.000		275.99	08/18/20	283.20	7.21 W	0.00	673 of 722 - Sale <sup>25</sup>
79.000		726.77	08/18/20	795.54	68.77 W	0.00	674 of 722 - Sale <sup>25</sup>
1.000		9.20	08/18/20	10.61	1.41 W	0.00	675 of 722 - Sale <sup>25</sup>
1.000		9.20	08/18/20	10.61	1.41 W	0.00	676 of 722 - Sale <sup>25</sup>
1.000		9.20	08/18/20	10.61	1.41 W	0.00	677 of 722 - Sale <sup>25</sup>
2.000		18.40	08/18/20	21.29	2.89 W	0.00	678 of 722 - Sale <sup>25</sup>
10.000		92.00	08/18/20	106.06	14.06 W	0.00	679 of 722 - Sale <sup>25</sup>
11.000		101.20	08/18/20	117.11	15.91 W	0.00	680 of 722 - Sale <sup>25</sup>
12.000		110.40	08/18/20	127.31	16.91 W	0.00	681 of 722 - Sale <sup>25</sup>
15.000		138.00	08/18/20	151.07	13.07 W	0.00	682 of 722 - Sale <sup>25</sup>
21.000		193.19	08/18/20	222.78	29.59 W	0.00	683 of 722 - Sale <sup>25</sup>
27.000		248.39	08/18/20	271.92	23.53 W	0.00	684 of 722 - Sale <sup>25</sup>
98.000		901.57	08/18/20	965.37	63.80 W	0.00	685 of 722 - Sale <sup>25</sup>
101.000		929.17	08/18/20	991.88	62.71 W	0.00	686 of 722 - Sale <sup>25</sup>
3.000		27.60	08/18/20	31.83	4.23 W	0.00	687 of 722 - Sale <sup>25</sup>
7.000		64.40	08/18/20	74.24	9.84 W	0.00	688 of 722 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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RISE EDUCATION CAYMAN LTD AMER ICAN DEPOSITARY SHARES / CUSIP: 76761L102 / Symbol: (cont'd)							
	37.000	340.39	08/18/20	392.54	52.15 W	0.00	689 of 722 - Sale <sup>25</sup>
	53.000	487.58	08/18/20	562.29	74.71 W	0.00	690 of 722 - Sale <sup>25</sup>
	1.000	9.20	08/18/20	10.56	1.36 W	0.00	691 of 722 - Sale <sup>25</sup>
	1.000	9.20	08/18/20	11.16	1.96 W	0.00	692 of 722 - Sale <sup>25</sup>
	3.000	27.60	08/18/20	31.86	4.26 W	0.00	693 of 722 - Sale <sup>25</sup>
	3.000	27.60	08/18/20	33.45	5.85 W	0.00	694 of 722 - Sale <sup>25</sup>
	4.000	36.80	08/18/20	44.60	7.80 W	0.00	695 of 722 - Sale <sup>25</sup>
	5.000	46.00	08/18/20	52.80	6.80 W	0.00	696 of 722 - Sale <sup>25</sup>
	6.000	55.20	08/18/20	63.65	8.45 W	0.00	697 of 722 - Sale <sup>25</sup>
	6.000	55.20	08/18/20	63.73	8.53 W	0.00	698 of 722 - Sale <sup>25</sup>
	7.000	64.40	08/18/20	78.03	13.63 W	0.00	699 of 722 - Sale <sup>25</sup>
	8.000	73.60	08/18/20	84.24	10.64 W	0.00	700 of 722 - Sale <sup>25</sup>
	9.000	82.80	08/18/20	95.48	12.68 W	0.00	701 of 722 - Sale <sup>25</sup>
	10.000	92.00	08/18/20	111.58	19.58 W	0.00	702 of 722 - Sale <sup>25</sup>
	15.000	137.99	08/18/20	167.43	29.44 W	0.00	703 of 722 - Sale <sup>25</sup>
	22.000	202.39	08/18/20	233.41	31.02 W	0.00	704 of 722 - Sale <sup>25</sup>
	5.000	46.00	08/18/20	52.95	6.95 W	0.00	705 of 722 - Sale <sup>25</sup>
	21.000	193.19	08/18/20	222.62	29.43 W	0.00	706 of 722 - Sale <sup>25</sup>
	30.000	275.99	08/18/20	334.46	58.47 W	0.00	707 of 722 - Sale <sup>25</sup>
	44.000	404.78	08/18/20	450.60	45.82 W	0.00	708 of 722 - Sale <sup>25</sup>
	49.000	450.78	08/18/20	519.44	68.66 W	0.00	709 of 722 - Sale <sup>25</sup>
	79.000	726.77	08/18/20	837.47	110.70 W	0.00	710 of 722 - Sale <sup>25</sup>
	2.000	18.40	08/18/20	21.20	2.80 W	0.00	711 of 722 - Sale <sup>25</sup>
	5.000	46.00	08/18/20	53.05	7.05 W	0.00	712 of 722 - Sale <sup>25</sup>
	8.000	73.60	08/18/20	84.97	11.37 W	0.00	713 of 722 - Sale <sup>25</sup>
	1.000	9.20	08/18/20	10.62	1.42 W	0.00	714 of 722 - Sale <sup>25</sup>
	3.000	27.60	08/18/20	31.44	3.84 W	0.00	715 of 722 - Sale <sup>25</sup>
	10.000	92.00	08/18/20	106.22	14.22 W	0.00	716 of 722 - Sale <sup>25</sup>
	43.000	395.59	08/18/20	450.66	55.07 W	0.00	717 of 722 - Sale <sup>25</sup>
	100.000	919.96	08/18/20	944.00	24.04 W	0.00	718 of 722 - Sale <sup>25</sup>
	50.000	423.00	08/18/20	478.53	55.53 W	0.00	719 of 722 - Sale <sup>25</sup>
	15.000	126.75	08/18/20	143.57	16.82 W	0.00	720 of 722 - Sale <sup>25</sup>
	27.000	228.14	08/18/20	258.43	30.29 W	0.00	721 of 722 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RISE EDUCATION CAYMAN LTD AMER ICAN DEPOSITARY SHARES / CUSIP: 76761L102 / Symbol: (cont'd)							
	29.000	245.04	08/18/20	277.54	32.50 W	0.00	722 of 722 - Sale <sup>25</sup>
08/18/20	21,662.000	171,442.62	Various	198,565.28	27,036.41 W	-86.25	Total of 722 transactions
08/28/20	2.000	13.04	08/28/20	14.78	...	-1.74	Sale <sup>25</sup>
	<b>Security total:</b>	<b>239,464.64</b>		<b>265,062.39</b>	<b>27,036.41 W</b>	<b>1,438.66</b>	
RITCHIE BROS. AUCTIONEERS INCO RPORATED / CUSIP: 767744105 / Symbol:							
06/01/20	12.000	517.28	05/29/20	519.46	...	-2.18	Sale <sup>25</sup>
RITE AID CORPORATION / CUSIP: 767754872 / Symbol:							
3 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	50.000	821.98	06/25/20	821.94	...	0.04	1 of 3 - Sale <sup>25</sup>
	100.000	1,643.95	06/25/20	1,633.87	...	10.08	2 of 3 - Sale <sup>25</sup>
	100.000	1,643.95	06/25/20	1,584.87	...	59.08	3 of 3 - Sale <sup>25</sup>
06/25/20	250.000	4,109.88	Various	4,040.68	...	69.20	Total of 3 transactions
2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	100.000	1,643.95	06/25/20	1,646.74	2.79 W	0.00	1 of 2 - Sale <sup>25</sup>
	150.000	2,465.92	06/25/20	2,468.99	3.07 W	0.00	2 of 2 - Sale <sup>25</sup>
06/25/20	250.000	4,109.87	Various	4,115.73	5.86 W	0.00	Total of 2 transactions
2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	2.000	34.39	06/29/20	34.70	0.31 W	0.00	1 of 2 - Sale <sup>25</sup>
	48.000	825.47	06/29/20	833.74	8.27 W	0.00	2 of 2 - Sale <sup>25</sup>
06/29/20	50.000	859.86	Various	868.44	8.58 W	0.00	Total of 2 transactions
3 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	50.000	914.47	07/06/20	920.22	...	-5.75	1 of 3 - Sale <sup>25</sup>
	40.000	732.08	07/06/20	735.87	...	-3.79	2 of 3 - Sale <sup>25</sup>
	50.000	914.48	07/06/20	909.02	...	5.46	3 of 3 - Sale <sup>25</sup>
07/07/20	140.000	2,561.03	Various	2,565.11	...	-4.08	Total of 3 transactions
5 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	48.000	877.89	07/06/20	879.95	1.63 W	-0.43	1 of 5 - Sale <sup>25</sup>
	2.000	36.58	07/06/20	36.63	0.05 W	0.00	2 of 5 - Sale <sup>25</sup>
	50.000	893.98	07/06/20	905.83	11.85 W	0.00	3 of 5 - Sale <sup>25</sup>
	50.000	893.98	07/06/20	905.45	11.47 W	0.00	4 of 5 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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RITE AID CORPORATION / CUSIP: 767754872 / Symbol: (cont'd)							
07/07/20	50.000	893.97	07/06/20	909.02	15.05 W	0.00	5 of 5 - Sale <sup>25</sup>
	200.000	3,596.40	Various	3,636.88	40.05 W	-0.43	Total of 5 transactions
	4 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	10.000	181.11	07/06/20	183.97	...	-2.86	1 of 4 - Sale <sup>25</sup>
	50.000	905.53	07/08/20	943.54	...	-38.01	2 of 4 - Sale <sup>25</sup>
	38.000	688.21	07/08/20	707.29	...	-19.08	3 of 4 - Sale <sup>25</sup>
	2.000	36.22	07/08/20	37.19	...	-0.97	4 of 4 - Sale <sup>25</sup>
07/08/20	100.000	1,811.07	Various	1,871.99	...	-60.92	Total of 4 transactions
	2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.						
	25.000	247.50	09/25/20	247.47	...	0.03	1 of 2 - Sale <sup>25</sup>
	54.000	534.59	09/25/20	533.46	...	1.13	2 of 2 - Sale <sup>25</sup>
09/25/20	79.000	782.09	Various	780.93	...	1.16	Total of 2 transactions
	2 transactions for 10/27/20. Total proceeds and cost reported to the IRS.						
	465.000	4,678.24	10/26/20	4,826.36	...	-148.12	1 of 2 - Sale <sup>25</sup>
	35.000	352.13	10/26/20	363.27	...	-11.14	2 of 2 - Sale <sup>25</sup>
10/27/20	500.000	5,030.37	Various	5,189.63	...	-159.26	Total of 2 transactions
10/27/20	500.000	5,035.37	10/26/20	5,100.00	64.63 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>27,895.94</b>		<b>28,169.39</b>	<b>119.12 W</b>	<b>-154.33</b>	
RITTER PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 767836307 / Symbol:							
	4 transactions for 03/24/20. Total proceeds and cost reported to the IRS.						
	500.000	204.94	03/20/20	141.90	...	63.04	1 of 4 - Sale <sup>25</sup>
	230.000	94.28	03/23/20	105.34	...	-11.06	2 of 4 - Sale <sup>25</sup>
	170.000	69.68	03/23/20	77.86	...	-8.18	3 of 4 - Sale <sup>25</sup>
	50.000	20.49	03/23/20	25.41	...	-4.92	4 of 4 - Sale <sup>25</sup>
03/24/20	950.000	389.39	Various	350.51	...	38.88	Total of 4 transactions
03/24/20	50.000	20.49	03/23/20	23.00	2.51 W	0.00	Sale <sup>25</sup>
	4 transactions for 05/06/20. Total proceeds and cost reported to the IRS.						
	500.000	260.04	05/05/20	425.01	...	-164.97	1 of 4 - Sale <sup>25</sup>
	500.000	267.69	05/05/20	354.85	...	-87.16	2 of 4 - Sale <sup>25</sup>
	500.000	267.68	05/05/20	319.40	...	-51.72	3 of 4 - Sale <sup>25</sup>
	1,000.000	535.38	05/05/20	410.30	...	125.08	4 of 4 - Sale <sup>25</sup>
05/06/20	2,500.000	1,330.79	Various	1,509.56	...	-178.77	Total of 4 transactions

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RITTER PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 767836307 / Symbol: (cont'd)							
2 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	500.000	267.69	05/05/20	323.40	33.42 W	-22.29	1 of 2 - Sale <sup>25</sup>
	500.000	267.69	05/05/20	339.00	71.31 W	0.00	2 of 2 - Sale <sup>25</sup>
05/06/20	1,000.000	535.38	Various	662.40	104.73 W	-22.29	Total of 2 transactions
3 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	100.000	64.42	05/12/20	79.81	...	-15.39	1 of 3 - Sale <sup>25</sup>
	100.000	64.41	05/12/20	79.64	...	-15.23	2 of 3 - Sale <sup>25</sup>
	100.000	64.42	05/12/20	78.93	...	-14.51	3 of 3 - Sale <sup>25</sup>
05/13/20	300.000	193.25	Various	238.38	...	-45.13	Total of 3 transactions
	<b>Security total:</b>	<b>2,469.30</b>		<b>2,783.85</b>	<b>107.24 W</b>	<b>-207.31</b>	
ROCHE HOLDINGS AG BASEL AMERIC AN DEPOSITARY SHARES / CUSIP: 771195104 / Symbol:							
2 transactions for 04/03/20. Total proceeds and cost reported to the IRS.							
	15.000	618.29	04/02/20	621.30	...	-3.01	1 of 2 - Sale <sup>25</sup>
	5.000	206.09	04/02/20	206.55	...	-0.46	2 of 2 - Sale <sup>25</sup>
04/03/20	20.000	824.38	Various	827.85	...	-3.47	Total of 2 transactions
2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	2.000	87.94	06/02/20	87.14	...	0.80	1 of 2 - Sale <sup>25</sup>
	13.000	572.24	06/02/20	566.41	...	5.83	2 of 2 - Sale <sup>25</sup>
06/03/20	15.000	660.18	Various	653.55	...	6.63	Total of 2 transactions
	<b>Security total:</b>	<b>1,484.56</b>		<b>1,481.40</b>	...	<b>3.16</b>	
ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol:							
08/10/20	10.000	217.20	08/10/20	216.60	...	0.60	Sale <sup>25</sup>
2 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	99.000	2,362.63	09/14/20	2,366.10	...	-3.47	1 of 2 - Sale <sup>25</sup>
	1.000	23.86	09/14/20	23.90	...	-0.04	2 of 2 - Sale <sup>25</sup>
09/14/20	100.000	2,386.49	Various	2,390.00	...	-3.51	Total of 2 transactions
	<b>Security total:</b>	<b>2,603.69</b>		<b>2,606.60</b>	...	<b>-2.91</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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ROCKWELL MEDICAL, INC. (DE) CO MMON STOCK / CUSIP: 774374102 / Symbol:							
3 transactions for 03/18/20. Total proceeds and cost reported to the IRS.							
	100.000	191.99	03/17/20	214.00	...	-22.01	1 of 3 - Sale <sup>25</sup>
	20.000	38.40	03/17/20	42.80	...	-4.40	2 of 3 - Sale <sup>25</sup>
	5.000	9.60	03/17/20	10.69	...	-1.09	3 of 3 - Sale <sup>25</sup>
03/18/20	125.000	239.99	Various	267.49	...	-27.50	Total of 3 transactions
07/13/20	250.000	457.52	07/13/20	459.95	...	-2.43	Sale <sup>25</sup>
	<b>Security total:</b>	<b>697.51</b>		<b>727.44</b>	...	<b>-29.93</b>	
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
03/23/20	10.000	875.98	03/23/20	872.14	...	3.84	Sale <sup>25</sup>
04/01/20	10.000	835.58	03/31/20	913.90	...	-78.32	Sale <sup>25</sup>
3 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	20.000	3,342.32	08/05/20	3,367.80	25.48 W	0.00	1 of 3 - Sale <sup>25</sup>
	20.000	3,166.13	08/05/20	3,240.08	73.95 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	791.53	08/05/20	803.65	12.12 W	0.00	3 of 3 - Sale <sup>25</sup>
08/05/20	45.000	7,299.98	Various	7,411.53	111.55 W	0.00	Total of 3 transactions
6 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	2.000	310.71	08/05/20	323.40	12.69 W	0.00	1 of 6 - Sale <sup>25</sup>
	8.000	1,242.85	08/05/20	1,293.58	50.73 W	0.00	2 of 6 - Sale <sup>25</sup>
	5.000	776.78	08/05/20	806.59	29.81 W	0.00	3 of 6 - Sale <sup>25</sup>
	5.000	776.78	08/06/20	800.78	24.00 W	0.00	4 of 6 - Sale <sup>25</sup>
	5.000	776.78	08/06/20	794.42	17.64 W	0.00	5 of 6 - Sale <sup>25</sup>
	75.000	11,651.74	08/06/20	11,734.50	82.76 W	0.00	6 of 6 - Sale <sup>25</sup>
08/06/20	100.000	15,535.64	Various	15,753.27	217.63 W	0.00	Total of 6 transactions
2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	50.000	7,447.34	08/19/20	7,442.65	...	4.69	1 of 2 - Sale <sup>25</sup>
	25.000	3,723.67	08/19/20	3,695.59	...	28.08	2 of 2 - Sale <sup>25</sup>
08/19/20	75.000	11,171.01	Various	11,138.24	...	32.77	Total of 2 transactions
26 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	120.000	17,807.82	08/19/20	17,838.00	5.11 W	-25.07	1 of 26 - Sale <sup>25</sup>
	2.000	297.99	08/19/20	306.13	8.14 W	0.00	2 of 26 - Sale <sup>25</sup>
	5.000	744.98	08/19/20	763.41	18.43 W	0.00	3 of 26 - Sale <sup>25</sup>
	8.000	1,191.97	08/19/20	1,224.49	32.52 W	0.00	4 of 26 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

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ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol: (cont'd)							
	5.000	744.99	08/19/20	757.60	12.61 W	0.00	5 of 26 - Sale <sup>25</sup>
	5.000	744.73	08/19/20	751.24	6.51 W	0.00	6 of 26 - Sale <sup>25</sup>
	2.000	297.89	08/19/20	303.64	5.75 W	0.00	7 of 26 - Sale <sup>25</sup>
	5.000	744.73	08/19/20	757.17	12.44 W	0.00	8 of 26 - Sale <sup>25</sup>
	8.000	1,191.57	08/19/20	1,214.52	22.95 W	0.00	9 of 26 - Sale <sup>25</sup>
	5.000	744.73	08/19/20	751.36	6.63 W	0.00	10 of 26 - Sale <sup>25</sup>
	2.000	297.89	08/19/20	304.75	6.86 W	0.00	11 of 26 - Sale <sup>25</sup>
	5.000	744.73	08/19/20	759.94	15.21 W	0.00	12 of 26 - Sale <sup>25</sup>
	8.000	1,191.57	08/19/20	1,218.95	27.38 W	0.00	13 of 26 - Sale <sup>25</sup>
	5.000	744.73	08/19/20	754.01	9.28 W	0.00	14 of 26 - Sale <sup>25</sup>
	5.000	744.73	08/19/20	754.13	9.40 W	0.00	15 of 26 - Sale <sup>25</sup>
	75.000	11,171.01	08/19/20	11,212.49	41.48 W	0.00	16 of 26 - Sale <sup>25</sup>
	15.000	2,234.20	08/19/20	2,242.50	8.30 W	0.00	17 of 26 - Sale <sup>25</sup>
	15.000	2,234.20	08/19/20	2,242.50	8.30 W	0.00	18 of 26 - Sale <sup>25</sup>
	2.000	296.80	08/19/20	304.16	7.36 W	0.00	19 of 26 - Sale <sup>25</sup>
	5.000	741.99	08/19/20	758.46	16.47 W	0.00	20 of 26 - Sale <sup>25</sup>
	8.000	1,187.19	08/19/20	1,216.58	29.39 W	0.00	21 of 26 - Sale <sup>25</sup>
	5.000	741.99	08/19/20	752.53	10.54 W	0.00	22 of 26 - Sale <sup>25</sup>
	5.000	741.99	08/19/20	752.65	10.66 W	0.00	23 of 26 - Sale <sup>25</sup>
	15.000	2,225.98	08/19/20	2,238.05	12.07 W	0.00	24 of 26 - Sale <sup>25</sup>
	15.000	2,225.98	08/19/20	2,238.05	12.07 W	0.00	25 of 26 - Sale <sup>25</sup>
	75.000	11,129.89	08/19/20	11,190.23	60.34 W	0.00	26 of 26 - Sale <sup>25</sup>
08/19/20	425.000	63,166.27	Various	63,607.54	416.20 W	-25.07	Total of 26 transactions
	10 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	75.000	11,130.49	08/20/20	11,229.34	...	-98.85	1 of 10 - Sale <sup>25</sup>
	8.000	1,187.25	08/20/20	1,220.75	...	-33.50	2 of 10 - Sale <sup>25</sup>
	15.000	2,226.10	08/20/20	2,245.87	...	-19.77	3 of 10 - Sale <sup>25</sup>
	15.000	2,226.10	08/20/20	2,245.87	...	-19.77	4 of 10 - Sale <sup>25</sup>
	5.000	742.03	08/20/20	761.07	...	-19.04	5 of 10 - Sale <sup>25</sup>
	20.000	2,968.13	08/20/20	2,983.43	...	-15.30	6 of 10 - Sale <sup>25</sup>
	5.000	742.03	08/20/20	755.26	...	-13.23	7 of 10 - Sale <sup>25</sup>
	5.000	742.03	08/20/20	755.14	...	-13.11	8 of 10 - Sale <sup>25</sup>
	1.000	148.41	08/20/20	152.60	...	-4.19	9 of 10 - Sale <sup>25</sup>

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(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol: (cont'd)							
	1.000	148.41	08/20/20	152.60	...	-4.19	10 of 10 - Sale <sup>25</sup>
08/20/20	150.000	22,260.98	Various	22,501.93	...	-240.95	Total of 10 transactions
08/26/20	0.334	55.50	08/26/20	55.08	...	0.42	Sale <sup>25</sup>
	<b>Security total:</b>	<b>121,200.94</b>		<b>122,253.63</b>	<b>745.38 W</b>	<b>-307.31</b>	
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol:							
10/01/20	100.000	155.00	10/01/20	155.00	...	0.00	Sale <sup>25</sup>
	2 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	12.000	18.36	10/02/20	17.64	...	0.72	1 of 2 - Sale <sup>25</sup>
	175.000	267.74	10/02/20	266.00	...	1.74	2 of 2 - Sale <sup>25</sup>
10/02/20	187.000	286.10	Various	283.64	...	2.46	Total of 2 transactions
10/02/20	388.000	593.62	10/02/20	597.52	3.90 W	0.00	Sale <sup>25</sup>
	2 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	150.000	286.48	10/06/20	288.01	1.53 W	0.00	1 of 2 - Sale <sup>25</sup>
	100.000	191.99	10/06/20	192.00	0.01 W	0.00	2 of 2 - Sale <sup>25</sup>
10/06/20	250.000	478.47	Various	480.01	1.54 W	0.00	Total of 2 transactions
	11 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	2.000	5.37	10/08/20	5.20	...	0.17	1 of 11 - Sale <sup>25</sup>
	13.000	34.91	10/08/20	33.80	...	1.11	2 of 11 - Sale <sup>25</sup>
	15.000	40.05	10/08/20	38.85	...	1.20	3 of 11 - Sale <sup>25</sup>
	100.000	267.99	10/08/20	260.01	...	7.98	4 of 11 - Sale <sup>25</sup>
	138.000	369.83	10/08/20	360.19	...	9.64	5 of 11 - Sale <sup>25</sup>
	1,000.000	2,689.92	10/08/20	2,680.00	...	9.92	6 of 11 - Sale <sup>25</sup>
	150.000	401.99	10/08/20	391.53	...	10.46	7 of 11 - Sale <sup>25</sup>
	553.000	1,476.40	10/08/20	1,437.80	...	38.60	8 of 11 - Sale <sup>25</sup>
	612.000	1,640.11	10/08/20	1,591.20	...	48.91	9 of 11 - Sale <sup>25</sup>
	4,985.000	13,310.06	10/08/20	13,210.25	...	99.81	10 of 11 - Sale <sup>25</sup>
	3,432.000	9,163.52	10/08/20	8,923.20	...	240.32	11 of 11 - Sale <sup>25</sup>
10/08/20	11,000.000	29,400.15	Various	28,932.03	...	468.12	Total of 11 transactions
	5 transactions for 10/09/20. Total proceeds and cost reported to the IRS.						
	7.000	19.95	10/08/20	18.76	...	1.19	1 of 5 - Sale <sup>25</sup>
	18.000	52.56	10/08/20	48.24	...	4.32	2 of 5 - Sale <sup>25</sup>
	115.000	335.78	10/08/20	308.20	...	27.58	3 of 5 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	527.000	1,538.74	10/08/20	1,412.36	...	126.38	4 of 5 - Sale <sup>25</sup>
	840.000	2,452.64	10/08/20	2,251.20	...	201.44	5 of 5 - Sale <sup>25</sup>
10/09/20	1,507.000	4,399.67	Various	4,038.76	...	360.91	Total of 5 transactions
	23 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	1.000	2.81	10/08/20	2.70	...	0.11	1 of 23 - Sale <sup>25</sup>
	2.000	5.62	10/08/20	5.40	...	0.22	2 of 23 - Sale <sup>25</sup>
	5.000	14.05	10/08/20	13.50	...	0.55	3 of 23 - Sale <sup>25</sup>
	6.000	16.86	10/08/20	16.20	...	0.66	4 of 23 - Sale <sup>25</sup>
	25.000	70.00	10/08/20	66.75	...	3.25	5 of 23 - Sale <sup>25</sup>
	25.000	70.00	10/08/20	66.75	...	3.25	6 of 23 - Sale <sup>25</sup>
	38.000	107.15	10/08/20	102.98	...	4.17	7 of 23 - Sale <sup>25</sup>
	40.000	112.40	10/08/20	108.00	...	4.40	8 of 23 - Sale <sup>25</sup>
	41.000	114.79	10/08/20	109.47	...	5.32	9 of 23 - Sale <sup>25</sup>
	50.000	140.99	10/08/20	135.50	...	5.49	10 of 23 - Sale <sup>25</sup>
	50.000	139.99	10/08/20	133.50	...	6.49	11 of 23 - Sale <sup>25</sup>
	77.000	217.13	10/08/20	207.90	...	9.23	12 of 23 - Sale <sup>25</sup>
	250.000	707.45	10/08/20	675.00	...	32.45	13 of 23 - Sale <sup>25</sup>
	419.000	1,181.50	10/08/20	1,135.49	...	46.01	14 of 23 - Sale <sup>25</sup>
	359.000	1,005.13	10/08/20	958.53	...	46.60	15 of 23 - Sale <sup>25</sup>
	493.000	1,390.16	10/08/20	1,336.03	...	54.13	16 of 23 - Sale <sup>25</sup>
	500.000	1,399.91	10/08/20	1,335.00	...	64.91	17 of 23 - Sale <sup>25</sup>
	493.000	1,390.17	10/08/20	1,321.24	...	68.93	18 of 23 - Sale <sup>25</sup>
	507.000	1,419.50	10/08/20	1,348.62	...	70.88	19 of 23 - Sale <sup>25</sup>
	680.000	1,917.47	10/08/20	1,836.00	...	81.47	20 of 23 - Sale <sup>25</sup>
	939.000	2,629.03	10/08/20	2,535.30	...	93.73	21 of 23 - Sale <sup>25</sup>
	30.000	78.60	10/12/20	77.41	...	1.19	22 of 23 - Sale <sup>25</sup>
	65.000	170.29	10/12/20	167.71	...	2.58	23 of 23 - Sale <sup>25</sup>
10/12/20	5,095.000	14,301.00	Various	13,694.98	...	606.02	Total of 23 transactions
	32 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	493.000	1,311.29	10/08/20	1,311.38	0.09 W	0.00	1 of 32 - Sale <sup>25</sup>
	7.000	18.62	10/09/20	20.58	1.96 W	0.00	2 of 32 - Sale <sup>25</sup>
	1,001.000	2,662.48	10/09/20	2,882.88	220.40 W	0.00	3 of 32 - Sale <sup>25</sup>
	1,999.000	5,316.98	10/09/20	5,757.12	440.14 W	0.00	4 of 32 - Sale <sup>25</sup>
	2.000	5.32	10/12/20	5.70	0.38 W	0.00	5 of 32 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	1,498.000	3,984.41	10/12/20	4,269.30	284.89 W	0.00	6 of 32 - Sale <sup>25</sup>
	375.000	937.43	10/12/20	975.07	37.64 W	0.00	7 of 32 - Sale <sup>25</sup>
	1.000	2.62	10/12/20	2.80	0.18 W	0.00	8 of 32 - Sale <sup>25</sup>
	10.000	26.20	10/12/20	28.00	1.80 W	0.00	9 of 32 - Sale <sup>25</sup>
	7.000	18.34	10/12/20	20.02	1.68 W	0.00	10 of 32 - Sale <sup>25</sup>
	827.000	2,166.67	10/12/20	2,315.75	149.08 W	0.00	11 of 32 - Sale <sup>25</sup>
	10.000	26.20	10/12/20	28.00	1.80 W	0.00	12 of 32 - Sale <sup>25</sup>
	23.000	60.26	10/12/20	64.40	4.14 W	0.00	13 of 32 - Sale <sup>25</sup>
	130.000	340.59	10/12/20	364.03	23.44 W	0.00	14 of 32 - Sale <sup>25</sup>
	147.000	385.13	10/12/20	411.63	26.50 W	0.00	15 of 32 - Sale <sup>25</sup>
	1,250.000	3,262.28	10/12/20	3,500.22	237.94 W	0.00	16 of 32 - Sale <sup>25</sup>
	4.000	10.44	10/12/20	11.24	0.80 W	0.00	17 of 32 - Sale <sup>25</sup>
	13.000	33.93	10/12/20	36.53	2.60 W	0.00	18 of 32 - Sale <sup>25</sup>
	2.000	5.22	10/12/20	5.62	0.40 W	0.00	19 of 32 - Sale <sup>25</sup>
	1.000	2.61	10/12/20	2.81	0.20 W	0.00	20 of 32 - Sale <sup>25</sup>
	25.000	65.25	10/12/20	70.25	5.00 W	0.00	21 of 32 - Sale <sup>25</sup>
	3.000	7.83	10/12/20	8.43	0.60 W	0.00	22 of 32 - Sale <sup>25</sup>
	500.000	1,304.90	10/12/20	1,405.10	100.20 W	0.00	23 of 32 - Sale <sup>25</sup>
	24.000	62.64	10/12/20	67.44	4.80 W	0.00	24 of 32 - Sale <sup>25</sup>
	30.000	78.29	10/12/20	84.31	6.02 W	0.00	25 of 32 - Sale <sup>25</sup>
	2.000	5.22	10/12/20	5.56	0.34 W	0.00	26 of 32 - Sale <sup>25</sup>
	8.000	20.88	10/12/20	22.24	1.36 W	0.00	27 of 32 - Sale <sup>25</sup>
	99.000	258.37	10/12/20	275.24	16.87 W	0.00	28 of 32 - Sale <sup>25</sup>
	5.000	13.05	10/12/20	13.90	0.85 W	0.00	29 of 32 - Sale <sup>25</sup>
	10.000	26.10	10/12/20	27.80	1.70 W	0.00	30 of 32 - Sale <sup>25</sup>
	500.000	1,304.90	10/12/20	1,390.09	85.19 W	0.00	31 of 32 - Sale <sup>25</sup>
	24.000	62.64	10/12/20	66.72	4.08 W	0.00	32 of 32 - Sale <sup>25</sup>
10/12/20	9,030.000	23,787.09	Various	25,450.16	1,663.07 W	0.00	Total of 32 transactions
	53 transactions for 10/13/20. Total proceeds and cost reported to the IRS.						
	500.000	1,179.96	10/13/20	1,280.20	...	-100.24	1 of 53 - Sale <sup>25</sup>
	500.000	1,179.96	10/13/20	1,265.19	...	-85.23	2 of 53 - Sale <sup>25</sup>
	351.000	828.32	10/13/20	888.15	...	-59.83	3 of 53 - Sale <sup>25</sup>
	306.000	722.11	10/13/20	780.41	...	-58.30	4 of 53 - Sale <sup>25</sup>
	250.000	589.98	10/13/20	640.09	...	-50.11	5 of 53 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
311.000		733.94	10/13/20	783.86	...	-49.92	6 of 53 - Sale <sup>25</sup>
267.000		624.76	10/13/20	670.31	...	-45.55	7 of 53 - Sale <sup>25</sup>
248.000		585.26	10/13/20	627.51	...	-42.25	8 of 53 - Sale <sup>25</sup>
200.000		471.96	10/13/20	510.07	...	-38.11	9 of 53 - Sale <sup>25</sup>
198.000		477.14	10/13/20	510.91	...	-33.77	10 of 53 - Sale <sup>25</sup>
370.000		873.13	10/13/20	903.01	...	-29.88	11 of 53 - Sale <sup>25</sup>
145.000		342.19	10/13/20	366.90	...	-24.71	12 of 53 - Sale <sup>25</sup>
130.000		306.79	10/13/20	328.95	...	-22.16	13 of 53 - Sale <sup>25</sup>
119.000		280.83	10/13/20	301.11	...	-20.28	14 of 53 - Sale <sup>25</sup>
100.000		235.99	10/13/20	253.04	...	-17.05	15 of 53 - Sale <sup>25</sup>
99.000		233.63	10/13/20	250.51	...	-16.88	16 of 53 - Sale <sup>25</sup>
90.000		212.39	10/13/20	227.73	...	-15.34	17 of 53 - Sale <sup>25</sup>
88.000		207.67	10/13/20	221.79	...	-14.12	18 of 53 - Sale <sup>25</sup>
82.000		197.60	10/13/20	211.59	...	-13.99	19 of 53 - Sale <sup>25</sup>
56.000		132.16	10/13/20	141.15	...	-8.99	20 of 53 - Sale <sup>25</sup>
30.000		70.80	10/13/20	76.82	...	-6.02	21 of 53 - Sale <sup>25</sup>
31.000		73.16	10/13/20	79.06	...	-5.90	22 of 53 - Sale <sup>25</sup>
32.000		75.52	10/13/20	81.30	...	-5.78	23 of 53 - Sale <sup>25</sup>
25.000		59.00	10/13/20	64.00	...	-5.00	24 of 53 - Sale <sup>25</sup>
29.000		68.44	10/13/20	73.38	...	-4.94	25 of 53 - Sale <sup>25</sup>
24.000		56.64	10/13/20	61.44	...	-4.80	26 of 53 - Sale <sup>25</sup>
24.000		56.64	10/13/20	60.72	...	-4.08	27 of 53 - Sale <sup>25</sup>
20.000		48.20	10/13/20	51.61	...	-3.41	28 of 53 - Sale <sup>25</sup>
13.000		30.68	10/13/20	33.28	...	-2.60	29 of 53 - Sale <sup>25</sup>
10.000		23.60	10/13/20	25.30	...	-1.70	30 of 53 - Sale <sup>25</sup>
10.000		23.60	10/13/20	25.20	...	-1.60	31 of 53 - Sale <sup>25</sup>
7.000		16.52	10/13/20	18.06	...	-1.54	32 of 53 - Sale <sup>25</sup>
9.000		21.24	10/13/20	22.77	...	-1.53	33 of 53 - Sale <sup>25</sup>
8.000		18.88	10/13/20	20.40	...	-1.52	34 of 53 - Sale <sup>25</sup>
8.000		18.88	10/13/20	20.24	...	-1.36	35 of 53 - Sale <sup>25</sup>
7.000		16.52	10/13/20	17.71	...	-1.19	36 of 53 - Sale <sup>25</sup>
5.000		12.05	10/13/20	12.90	...	-0.85	37 of 53 - Sale <sup>25</sup>
5.000		11.80	10/13/20	12.65	...	-0.85	38 of 53 - Sale <sup>25</sup>
5.000		11.80	10/13/20	12.65	...	-0.85	39 of 53 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	5.000	11.80	10/13/20	12.65	...	-0.85	40 of 53 - Sale <sup>25</sup>
	4.000	9.44	10/13/20	10.24	...	-0.80	41 of 53 - Sale <sup>25</sup>
	5.000	11.80	10/13/20	12.60	...	-0.80	42 of 53 - Sale <sup>25</sup>
	3.000	7.08	10/13/20	7.68	...	-0.60	43 of 53 - Sale <sup>25</sup>
	3.000	7.08	10/13/20	7.59	...	-0.51	44 of 53 - Sale <sup>25</sup>
	3.000	7.08	10/13/20	7.59	...	-0.51	45 of 53 - Sale <sup>25</sup>
	5.000	11.80	10/13/20	12.20	...	-0.40	46 of 53 - Sale <sup>25</sup>
	2.000	4.72	10/13/20	5.12	...	-0.40	47 of 53 - Sale <sup>25</sup>
	2.000	4.72	10/13/20	5.06	...	-0.34	48 of 53 - Sale <sup>25</sup>
	1.000	2.36	10/13/20	2.56	...	-0.20	49 of 53 - Sale <sup>25</sup>
	1.000	2.36	10/13/20	2.53	...	-0.17	50 of 53 - Sale <sup>25</sup>
	1.000	2.36	10/13/20	2.52	...	-0.16	51 of 53 - Sale <sup>25</sup>
	1.000	2.34	10/13/20	2.50	...	-0.16	52 of 53 - Sale <sup>25</sup>
	1.000	2.34	10/13/20	2.50	...	-0.16	53 of 53 - Sale <sup>25</sup>
10/13/20	4,749.000	11,217.02	Various	12,025.31	...	-808.29	Total of 53 transactions
	25 transactions for 10/13/20. Total proceeds and cost reported to the IRS.						
	400.000	963.93	10/13/20	1,032.14	45.53 W	-22.68	1 of 25 - Sale <sup>25</sup>
	250.000	602.46	10/13/20	652.55	50.09 W	0.00	2 of 25 - Sale <sup>25</sup>
	32.000	77.11	10/13/20	82.89	5.78 W	0.00	3 of 25 - Sale <sup>25</sup>
	119.000	286.77	10/13/20	307.04	20.27 W	0.00	4 of 25 - Sale <sup>25</sup>
	1.000	2.41	10/13/20	2.57	0.16 W	0.00	5 of 25 - Sale <sup>25</sup>
	10.000	24.10	10/13/20	25.70	1.60 W	0.00	6 of 25 - Sale <sup>25</sup>
	370.000	891.63	10/13/20	921.44	29.81 W	0.00	7 of 25 - Sale <sup>25</sup>
	5.000	12.05	10/13/20	12.45	0.40 W	0.00	8 of 25 - Sale <sup>25</sup>
	7.000	16.87	10/13/20	18.41	1.54 W	0.00	9 of 25 - Sale <sup>25</sup>
	88.000	212.07	10/13/20	226.18	14.11 W	0.00	10 of 25 - Sale <sup>25</sup>
	311.000	749.46	10/13/20	799.36	49.90 W	0.00	11 of 25 - Sale <sup>25</sup>
	451.000	1,086.83	10/13/20	1,163.66	76.83 W	0.00	12 of 25 - Sale <sup>25</sup>
	5.000	12.05	10/13/20	12.85	0.80 W	0.00	13 of 25 - Sale <sup>25</sup>
	146.000	351.83	10/13/20	375.26	23.43 W	0.00	14 of 25 - Sale <sup>25</sup>
	248.000	597.64	10/13/20	637.42	39.78 W	0.00	15 of 25 - Sale <sup>25</sup>
	3.000	7.23	10/13/20	7.71	0.48 W	0.00	16 of 25 - Sale <sup>25</sup>
	29.000	69.89	10/13/20	74.54	4.65 W	0.00	17 of 25 - Sale <sup>25</sup>
	7.000	16.87	10/13/20	17.99	1.12 W	0.00	18 of 25 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITORY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	9.000	21.69	10/13/20	23.13	1.44 W	0.00	19 of 25 - Sale <sup>25</sup>
	3.000	7.23	10/13/20	7.71	0.48 W	0.00	20 of 25 - Sale <sup>25</sup>
	5.000	12.05	10/13/20	12.85	0.80 W	0.00	21 of 25 - Sale <sup>25</sup>
	5.000	12.05	10/13/20	12.85	0.80 W	0.00	22 of 25 - Sale <sup>25</sup>
	1.000	2.41	10/13/20	2.57	0.16 W	0.00	23 of 25 - Sale <sup>25</sup>
	130.000	313.28	10/13/20	334.14	20.86 W	0.00	24 of 25 - Sale <sup>25</sup>
	147.000	354.24	10/13/20	377.83	23.59 W	0.00	25 of 25 - Sale <sup>25</sup>
10/13/20	2,782.000	6,704.15	Various	7,141.24	414.41 W	-22.68	Total of 25 transactions
	9 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	3.000	5.52	12/02/20	5.25	...	0.27	1 of 9 - Sale <sup>25</sup>
	3.000	5.52	12/02/20	5.16	...	0.36	2 of 9 - Sale <sup>25</sup>
	38.000	69.91	12/02/20	65.74	...	4.17	3 of 9 - Sale <sup>25</sup>
	198.000	364.29	12/02/20	352.44	...	11.85	4 of 9 - Sale <sup>25</sup>
	185.000	340.37	12/02/20	320.05	...	20.32	5 of 9 - Sale <sup>25</sup>
	262.000	482.04	12/02/20	453.26	...	28.78	6 of 9 - Sale <sup>25</sup>
	489.000	899.68	12/02/20	855.75	...	43.93	7 of 9 - Sale <sup>25</sup>
	495.000	910.72	12/02/20	856.35	...	54.37	8 of 9 - Sale <sup>25</sup>
	680.000	1,251.09	12/02/20	1,108.40	...	142.69	9 of 9 - Sale <sup>25</sup>
12/03/20	2,353.000	4,329.14	Various	4,022.40	...	306.74	Total of 9 transactions
	2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	250.000	459.96	12/03/20	487.50	27.54 W	0.00	1 of 2 - Sale <sup>25</sup>
	397.000	730.41	12/03/20	770.18	39.77 W	0.00	2 of 2 - Sale <sup>25</sup>
12/03/20	647.000	1,190.37	Various	1,257.68	67.31 W	0.00	Total of 2 transactions
	5 transactions for 12/04/20. Total proceeds and cost reported to the IRS.						
	22.000	43.34	12/03/20	43.34	...	0.00	1 of 5 - Sale <sup>25</sup>
	78.000	153.65	12/03/20	145.86	...	7.79	2 of 5 - Sale <sup>25</sup>
	100.000	196.98	12/03/20	187.00	...	9.98	3 of 5 - Sale <sup>25</sup>
	100.000	196.98	12/03/20	186.00	...	10.98	4 of 5 - Sale <sup>25</sup>
	75.000	147.74	12/04/20	147.00	...	0.74	5 of 5 - Sale <sup>25</sup>
12/04/20	375.000	738.69	Various	709.20	...	29.49	Total of 5 transactions
	49 transactions for 12/04/20. Total proceeds and cost reported to the IRS.						
	103.000	202.89	12/03/20	211.17	8.28 W	0.00	1 of 49 - Sale <sup>25</sup>
	147.000	289.57	12/03/20	298.43	8.86 W	0.00	2 of 49 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
275.000		541.70	12/03/20	555.55	13.85 W	0.00	3 of 49 - Sale <sup>25</sup>
100.000		196.98	12/03/20	198.02	1.04 W	0.00	4 of 49 - Sale <sup>25</sup>
50.000		108.24	12/04/20	118.02	9.78 W	0.00	5 of 49 - Sale <sup>25</sup>
50.000		108.24	12/04/20	125.02	16.78 W	0.00	6 of 49 - Sale <sup>25</sup>
53.000		114.74	12/04/20	134.11	19.37 W	0.00	7 of 49 - Sale <sup>25</sup>
147.000		318.23	12/04/20	369.01	50.78 W	0.00	8 of 49 - Sale <sup>25</sup>
25.000		54.12	12/04/20	60.26	6.14 W	0.00	9 of 49 - Sale <sup>25</sup>
225.000		487.08	12/04/20	551.33	64.25 W	0.00	10 of 49 - Sale <sup>25</sup>
75.000		162.36	12/04/20	184.53	22.17 W	0.00	11 of 49 - Sale <sup>25</sup>
25.000		54.12	12/04/20	61.25	7.13 W	0.00	12 of 49 - Sale <sup>25</sup>
50.000		112.49	12/04/20	128.78	16.29 W	0.00	13 of 49 - Sale <sup>25</sup>
53.000		119.24	12/04/20	145.51	26.27 W	0.00	14 of 49 - Sale <sup>25</sup>
147.000		330.72	12/04/20	400.64	69.92 W	0.00	15 of 49 - Sale <sup>25</sup>
50.000		112.49	12/04/20	135.28	22.79 W	0.00	16 of 49 - Sale <sup>25</sup>
35.000		78.75	12/04/20	92.95	14.20 W	0.00	17 of 49 - Sale <sup>25</sup>
35.000		79.79	12/04/20	92.94	13.15 W	0.00	18 of 49 - Sale <sup>25</sup>
25.000		57.00	12/04/20	64.14	7.14 W	0.00	19 of 49 - Sale <sup>25</sup>
70.000		159.59	12/04/20	183.09	23.50 W	0.00	20 of 49 - Sale <sup>25</sup>
155.000		353.37	12/04/20	403.86	50.49 W	0.00	21 of 49 - Sale <sup>25</sup>
5.000		11.40	12/04/20	13.38	1.98 W	0.00	22 of 49 - Sale <sup>25</sup>
50.000		113.99	12/04/20	135.29	21.30 W	0.00	23 of 49 - Sale <sup>25</sup>
53.000		120.83	12/04/20	152.41	31.58 W	0.00	24 of 49 - Sale <sup>25</sup>
58.000		132.23	12/04/20	165.63	33.40 W	0.00	25 of 49 - Sale <sup>25</sup>
49.000		111.72	12/04/20	139.92	28.20 W	0.00	26 of 49 - Sale <sup>25</sup>
10.000		23.00	12/04/20	28.56	5.56 W	0.00	27 of 49 - Sale <sup>25</sup>
25.000		57.50	12/04/20	66.63	9.13 W	0.00	28 of 49 - Sale <sup>25</sup>
15.000		34.50	12/04/20	41.34	6.84 W	0.00	29 of 49 - Sale <sup>25</sup>
30.000		68.99	12/04/20	85.67	16.68 W	0.00	30 of 49 - Sale <sup>25</sup>
35.000		80.49	12/04/20	97.50	17.01 W	0.00	31 of 49 - Sale <sup>25</sup>
50.000		114.99	12/04/20	141.79	26.80 W	0.00	32 of 49 - Sale <sup>25</sup>
20.000		46.00	12/04/20	54.71	8.71 W	0.00	33 of 49 - Sale <sup>25</sup>
25.000		57.50	12/04/20	66.14	8.64 W	0.00	34 of 49 - Sale <sup>25</sup>
50.000		114.99	12/04/20	134.79	19.80 W	0.00	35 of 49 - Sale <sup>25</sup>
155.000		356.47	12/04/20	416.29	59.82 W	0.00	36 of 49 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	5.000	11.50	12/04/20	13.68	2.18 W	0.00	37 of 49 - Sale <sup>25</sup>
	10.000	23.00	12/04/20	29.36	6.36 W	0.00	38 of 49 - Sale <sup>25</sup>
	20.000	46.00	12/04/20	53.51	7.51 W	0.00	39 of 49 - Sale <sup>25</sup>
	50.000	114.98	12/04/20	138.30	23.32 W	0.00	40 of 49 - Sale <sup>25</sup>
	43.000	90.94	12/04/20	123.66	32.72 W	0.00	41 of 49 - Sale <sup>25</sup>
	57.000	120.54	12/04/20	162.78	42.24 W	0.00	42 of 49 - Sale <sup>25</sup>
	1.000	2.11	12/04/20	2.82	0.71 W	0.00	43 of 49 - Sale <sup>25</sup>
	19.000	40.18	12/04/20	53.49	13.31 W	0.00	44 of 49 - Sale <sup>25</sup>
	10.000	21.15	12/04/20	27.96	6.81 W	0.00	45 of 49 - Sale <sup>25</sup>
	25.000	52.87	12/04/20	69.40	16.53 W	0.00	46 of 49 - Sale <sup>25</sup>
	30.000	63.44	12/04/20	84.47	21.03 W	0.00	47 of 49 - Sale <sup>25</sup>
	30.000	63.45	12/04/20	83.88	20.43 W	0.00	48 of 49 - Sale <sup>25</sup>
	25.000	52.87	12/04/20	65.13	12.26 W	0.00	49 of 49 - Sale <sup>25</sup>
12/04/20	2,850.000	6,189.34	Various	7,162.38	973.04 W	0.00	Total of 49 transactions
	60 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	15.000	31.95	12/07/20	39.69	7.74 W	0.00	1 of 60 - Sale <sup>25</sup>
	20.000	42.60	12/07/20	52.51	9.91 W	0.00	2 of 60 - Sale <sup>25</sup>
	25.000	53.25	12/07/20	68.15	14.90 W	0.00	3 of 60 - Sale <sup>25</sup>
	35.000	74.54	12/07/20	93.66	19.12 W	0.00	4 of 60 - Sale <sup>25</sup>
	122.000	259.84	12/07/20	314.26	54.42 W	0.00	5 of 60 - Sale <sup>25</sup>
	20.000	42.60	12/07/20	51.52	8.92 W	0.00	6 of 60 - Sale <sup>25</sup>
	10.000	21.30	12/07/20	25.76	4.46 W	0.00	7 of 60 - Sale <sup>25</sup>
	2.000	4.26	12/07/20	5.25	0.99 W	0.00	8 of 60 - Sale <sup>25</sup>
	3.000	6.39	12/07/20	7.73	1.34 W	0.00	9 of 60 - Sale <sup>25</sup>
	20.000	42.60	12/07/20	51.31	8.71 W	0.00	10 of 60 - Sale <sup>25</sup>
	25.000	53.25	12/07/20	63.39	10.14 W	0.00	11 of 60 - Sale <sup>25</sup>
	50.000	106.49	12/07/20	129.30	22.81 W	0.00	12 of 60 - Sale <sup>25</sup>
	3.000	6.39	12/07/20	7.88	1.49 W	0.00	13 of 60 - Sale <sup>25</sup>
	37.000	78.80	12/07/20	98.29	19.49 W	0.00	14 of 60 - Sale <sup>25</sup>
	10.000	21.30	12/07/20	28.26	6.96 W	0.00	15 of 60 - Sale <sup>25</sup>
	13.000	27.69	12/07/20	34.53	6.84 W	0.00	16 of 60 - Sale <sup>25</sup>
	43.000	91.58	12/07/20	126.89	35.31 W	0.00	17 of 60 - Sale <sup>25</sup>
	47.000	100.10	12/07/20	137.76	37.66 W	0.00	18 of 60 - Sale <sup>25</sup>
	1.000	2.13	12/07/20	2.90	0.77 W	0.00	19 of 60 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
10.000		21.30	12/07/20	29.31	8.01 W	0.00	20 of 60 - Sale <sup>25</sup>
10.000		21.30	12/07/20	28.71	7.41 W	0.00	21 of 60 - Sale <sup>25</sup>
19.000		40.47	12/07/20	54.92	14.45 W	0.00	22 of 60 - Sale <sup>25</sup>
25.000		53.24	12/07/20	71.28	18.04 W	0.00	23 of 60 - Sale <sup>25</sup>
30.000		63.89	12/07/20	86.73	22.84 W	0.00	24 of 60 - Sale <sup>25</sup>
30.000		63.89	12/07/20	86.13	22.24 W	0.00	25 of 60 - Sale <sup>25</sup>
25.000		53.24	12/07/20	67.01	13.77 W	0.00	26 of 60 - Sale <sup>25</sup>
250.000		532.45	12/07/20	547.50	15.05 W	0.00	27 of 60 - Sale <sup>25</sup>
5.000		10.65	12/07/20	13.18	2.53 W	0.00	28 of 60 - Sale <sup>25</sup>
15.000		31.95	12/07/20	40.59	8.64 W	0.00	29 of 60 - Sale <sup>25</sup>
20.000		42.60	12/07/20	53.71	11.11 W	0.00	30 of 60 - Sale <sup>25</sup>
25.000		53.25	12/07/20	69.65	16.40 W	0.00	31 of 60 - Sale <sup>25</sup>
35.000		74.55	12/07/20	95.77	21.22 W	0.00	32 of 60 - Sale <sup>25</sup>
1.000		2.13	12/07/20	2.95	0.82 W	0.00	33 of 60 - Sale <sup>25</sup>
2.000		4.26	12/07/20	5.35	1.09 W	0.00	34 of 60 - Sale <sup>25</sup>
3.000		6.39	12/07/20	7.88	1.49 W	0.00	35 of 60 - Sale <sup>25</sup>
3.000		6.39	12/07/20	8.03	1.64 W	0.00	36 of 60 - Sale <sup>25</sup>
10.000		21.30	12/07/20	26.26	4.96 W	0.00	37 of 60 - Sale <sup>25</sup>
10.000		21.30	12/07/20	28.76	7.46 W	0.00	38 of 60 - Sale <sup>25</sup>
10.000		21.30	12/07/20	29.81	8.51 W	0.00	39 of 60 - Sale <sup>25</sup>
10.000		21.30	12/07/20	29.21	7.91 W	0.00	40 of 60 - Sale <sup>25</sup>
13.000		27.69	12/07/20	35.18	7.49 W	0.00	41 of 60 - Sale <sup>25</sup>
19.000		40.47	12/07/20	55.87	15.40 W	0.00	42 of 60 - Sale <sup>25</sup>
20.000		42.60	12/07/20	52.52	9.92 W	0.00	43 of 60 - Sale <sup>25</sup>
20.000		42.60	12/07/20	52.31	9.71 W	0.00	44 of 60 - Sale <sup>25</sup>
25.000		53.25	12/07/20	64.64	11.39 W	0.00	45 of 60 - Sale <sup>25</sup>
30.000		63.90	12/07/20	88.24	24.34 W	0.00	46 of 60 - Sale <sup>25</sup>
30.000		63.90	12/07/20	87.64	23.74 W	0.00	47 of 60 - Sale <sup>25</sup>
37.000		78.81	12/07/20	100.15	21.34 W	0.00	48 of 60 - Sale <sup>25</sup>
43.000		91.59	12/07/20	129.05	37.46 W	0.00	49 of 60 - Sale <sup>25</sup>
47.000		100.11	12/07/20	140.12	40.01 W	0.00	50 of 60 - Sale <sup>25</sup>
50.000		106.50	12/07/20	131.81	25.31 W	0.00	51 of 60 - Sale <sup>25</sup>
117.000		249.20	12/07/20	307.25	58.05 W	0.00	52 of 60 - Sale <sup>25</sup>
25.000		53.25	12/07/20	72.29	19.04 W	0.00	53 of 60 - Sale <sup>25</sup>

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(continued)

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	25.000	53.25	12/07/20	68.02	14.77 W	0.00	54 of 60 - Sale <sup>25</sup>
	250.000	532.47	12/07/20	557.55	25.08 W	0.00	55 of 60 - Sale <sup>25</sup>
	200.000	425.98	12/07/20	434.00	8.02 W	0.00	56 of 60 - Sale <sup>25</sup>
	185.000	394.03	12/07/20	399.60	5.57 W	0.00	57 of 60 - Sale <sup>25</sup>
	1.000	2.13	12/07/20	2.16	0.03 W	0.00	58 of 60 - Sale <sup>25</sup>
	100.000	212.99	12/07/20	216.00	3.01 W	0.00	59 of 60 - Sale <sup>25</sup>
	214.000	455.80	12/07/20	462.24	6.44 W	0.00	60 of 60 - Sale <sup>25</sup>
12/07/20	2,500.000	5,324.73	Various	6,178.42	853.69 W	0.00	Total of 60 transactions
	121 transactions for 12/08/20. Total proceeds and cost reported to the IRS.						
	4.000	7.72	12/07/20	10.78	3.06 W	0.00	1 of 121 - Sale <sup>25</sup>
	1.000	1.93	12/07/20	2.70	0.77 W	0.00	2 of 121 - Sale <sup>25</sup>
	20.000	38.60	12/07/20	53.92	15.32 W	0.00	3 of 121 - Sale <sup>25</sup>
	25.000	48.25	12/07/20	66.16	17.91 W	0.00	4 of 121 - Sale <sup>25</sup>
	3.000	5.79	12/07/20	7.61	1.82 W	0.00	5 of 121 - Sale <sup>25</sup>
	5.000	9.65	12/07/20	12.73	3.08 W	0.00	6 of 121 - Sale <sup>25</sup>
	10.000	19.30	12/07/20	26.46	7.16 W	0.00	7 of 121 - Sale <sup>25</sup>
	10.000	19.30	12/07/20	25.36	6.06 W	0.00	8 of 121 - Sale <sup>25</sup>
	15.000	28.95	12/07/20	39.24	10.29 W	0.00	9 of 121 - Sale <sup>25</sup>
	20.000	38.60	12/07/20	51.91	13.31 W	0.00	10 of 121 - Sale <sup>25</sup>
	20.000	38.60	12/07/20	50.72	12.12 W	0.00	11 of 121 - Sale <sup>25</sup>
	20.000	38.60	12/07/20	50.92	12.32 W	0.00	12 of 121 - Sale <sup>25</sup>
	25.000	48.25	12/07/20	62.39	14.14 W	0.00	13 of 121 - Sale <sup>25</sup>
	117.000	225.80	12/07/20	296.73	70.93 W	0.00	14 of 121 - Sale <sup>25</sup>
	1.000	1.93	12/08/20	2.85	0.92 W	0.00	15 of 121 - Sale <sup>25</sup>
	2.000	3.86	12/08/20	5.15	1.29 W	0.00	16 of 121 - Sale <sup>25</sup>
	3.000	5.79	12/08/20	7.73	1.94 W	0.00	17 of 121 - Sale <sup>25</sup>
	10.000	19.30	12/08/20	27.76	8.46 W	0.00	18 of 121 - Sale <sup>25</sup>
	10.000	19.30	12/08/20	28.81	9.51 W	0.00	19 of 121 - Sale <sup>25</sup>
	13.000	25.09	12/08/20	33.88	8.79 W	0.00	20 of 121 - Sale <sup>25</sup>
	15.000	28.95	12/08/20	42.62	13.67 W	0.00	21 of 121 - Sale <sup>25</sup>
	19.000	36.67	12/08/20	53.97	17.30 W	0.00	22 of 121 - Sale <sup>25</sup>
	20.000	38.60	12/08/20	50.31	11.71 W	0.00	23 of 121 - Sale <sup>25</sup>
	30.000	57.90	12/08/20	76.09	18.19 W	0.00	24 of 121 - Sale <sup>25</sup>
	37.000	71.41	12/08/20	96.45	25.04 W	0.00	25 of 121 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	43.000	82.99	12/08/20	124.75	41.76 W	0.00	26 of 121 - Sale <sup>25</sup>
	47.000	90.71	12/08/20	135.42	44.71 W	0.00	27 of 121 - Sale <sup>25</sup>
	10.000	19.30	12/08/20	28.11	8.81 W	0.00	28 of 121 - Sale <sup>25</sup>
	15.000	28.95	12/08/20	42.47	13.52 W	0.00	29 of 121 - Sale <sup>25</sup>
	25.000	48.25	12/08/20	69.54	21.29 W	0.00	30 of 121 - Sale <sup>25</sup>
	30.000	57.90	12/08/20	84.34	26.44 W	0.00	31 of 121 - Sale <sup>25</sup>
	25.000	48.25	12/08/20	65.27	17.02 W	0.00	32 of 121 - Sale <sup>25</sup>
	145.000	279.83	12/08/20	307.45	27.62 W	0.00	33 of 121 - Sale <sup>25</sup>
	105.000	202.64	12/08/20	221.58	18.94 W	0.00	34 of 121 - Sale <sup>25</sup>
	145.000	279.82	12/08/20	297.26	17.44 W	0.00	35 of 121 - Sale <sup>25</sup>
	50.000	94.49	12/08/20	97.51	3.02 W	0.00	36 of 121 - Sale <sup>25</sup>
	1.000	1.89	12/08/20	1.94	0.05 W	0.00	37 of 121 - Sale <sup>25</sup>
	5.000	9.45	12/08/20	9.75	0.30 W	0.00	38 of 121 - Sale <sup>25</sup>
	100.000	188.98	12/08/20	194.01	5.03 W	0.00	39 of 121 - Sale <sup>25</sup>
	159.000	300.48	12/08/20	308.47	7.99 W	0.00	40 of 121 - Sale <sup>25</sup>
	185.000	349.62	12/08/20	358.92	9.30 W	0.00	41 of 121 - Sale <sup>25</sup>
	19.000	35.91	12/08/20	36.67	0.76 W	0.00	42 of 121 - Sale <sup>25</sup>
	3.000	5.67	12/08/20	5.79	0.12 W	0.00	43 of 121 - Sale <sup>25</sup>
	1.000	1.89	12/08/20	2.67	0.78 W	0.00	44 of 121 - Sale <sup>25</sup>
	3.000	5.67	12/08/20	7.52	1.85 W	0.00	45 of 121 - Sale <sup>25</sup>
	4.000	7.56	12/08/20	10.66	3.10 W	0.00	46 of 121 - Sale <sup>25</sup>
	5.000	9.45	12/08/20	12.58	3.13 W	0.00	47 of 121 - Sale <sup>25</sup>
	10.000	18.90	12/08/20	26.16	7.26 W	0.00	48 of 121 - Sale <sup>25</sup>
	10.000	18.90	12/08/20	25.06	6.16 W	0.00	49 of 121 - Sale <sup>25</sup>
	15.000	28.35	12/08/20	38.79	10.44 W	0.00	50 of 121 - Sale <sup>25</sup>
	17.000	32.13	12/08/20	41.92	9.79 W	0.00	51 of 121 - Sale <sup>25</sup>
	20.000	37.80	12/08/20	53.32	15.52 W	0.00	52 of 121 - Sale <sup>25</sup>
	20.000	37.80	12/08/20	51.31	13.51 W	0.00	53 of 121 - Sale <sup>25</sup>
	20.000	37.80	12/08/20	50.12	12.32 W	0.00	54 of 121 - Sale <sup>25</sup>
	25.000	47.25	12/08/20	65.41	18.16 W	0.00	55 of 121 - Sale <sup>25</sup>
	117.000	221.11	12/08/20	293.23	72.12 W	0.00	56 of 121 - Sale <sup>25</sup>
	33.000	62.36	12/08/20	63.70	1.34 W	0.00	57 of 121 - Sale <sup>25</sup>
	8.000	15.12	12/08/20	19.72	4.60 W	0.00	58 of 121 - Sale <sup>25</sup>
	20.000	37.80	12/08/20	50.32	12.52 W	0.00	59 of 121 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	2.000	3.78	12/08/20	5.09	1.31 W	0.00	60 of 121 - Sale <sup>25</sup>
	3.000	5.67	12/08/20	7.64	1.97 W	0.00	61 of 121 - Sale <sup>25</sup>
	10.000	18.90	12/08/20	27.46	8.56 W	0.00	62 of 121 - Sale <sup>25</sup>
	13.000	24.57	12/08/20	33.49	8.92 W	0.00	63 of 121 - Sale <sup>25</sup>
	20.000	37.80	12/08/20	49.71	11.91 W	0.00	64 of 121 - Sale <sup>25</sup>
	30.000	56.69	12/08/20	75.19	18.50 W	0.00	65 of 121 - Sale <sup>25</sup>
	35.000	66.14	12/08/20	100.49	34.35 W	0.00	66 of 121 - Sale <sup>25</sup>
	37.000	69.92	12/08/20	95.34	25.42 W	0.00	67 of 121 - Sale <sup>25</sup>
	1.000	1.89	12/08/20	2.81	0.92 W	0.00	68 of 121 - Sale <sup>25</sup>
	8.000	15.12	12/08/20	22.89	7.77 W	0.00	69 of 121 - Sale <sup>25</sup>
	10.000	18.90	12/08/20	28.41	9.51 W	0.00	70 of 121 - Sale <sup>25</sup>
	10.000	18.90	12/08/20	27.71	8.81 W	0.00	71 of 121 - Sale <sup>25</sup>
	15.000	28.35	12/08/20	42.02	13.67 W	0.00	72 of 121 - Sale <sup>25</sup>
	15.000	28.35	12/08/20	41.87	13.52 W	0.00	73 of 121 - Sale <sup>25</sup>
	19.000	35.91	12/08/20	53.21	17.30 W	0.00	74 of 121 - Sale <sup>25</sup>
	25.000	47.24	12/08/20	68.54	21.30 W	0.00	75 of 121 - Sale <sup>25</sup>
	30.000	56.69	12/08/20	83.14	26.45 W	0.00	76 of 121 - Sale <sup>25</sup>
	47.000	88.82	12/08/20	133.54	44.72 W	0.00	77 of 121 - Sale <sup>25</sup>
	25.000	47.24	12/08/20	64.27	17.03 W	0.00	78 of 121 - Sale <sup>25</sup>
	45.000	85.04	12/08/20	90.46	5.42 W	0.00	79 of 121 - Sale <sup>25</sup>
	105.000	198.43	12/08/20	217.39	18.96 W	0.00	80 of 121 - Sale <sup>25</sup>
	145.000	274.02	12/08/20	301.67	27.65 W	0.00	81 of 121 - Sale <sup>25</sup>
	20.000	38.60	12/08/20	41.81	3.21 W	0.00	82 of 121 - Sale <sup>25</sup>
	1.000	1.93	12/08/20	2.09	0.16 W	0.00	83 of 121 - Sale <sup>25</sup>
	12.000	23.16	12/08/20	25.08	1.92 W	0.00	84 of 121 - Sale <sup>25</sup>
	5.000	9.65	12/08/20	10.45	0.80 W	0.00	85 of 121 - Sale <sup>25</sup>
	15.000	28.95	12/08/20	31.35	2.40 W	0.00	86 of 121 - Sale <sup>25</sup>
	1.000	1.93	12/08/20	2.09	0.16 W	0.00	87 of 121 - Sale <sup>25</sup>
	3.000	5.79	12/08/20	6.27	0.48 W	0.00	88 of 121 - Sale <sup>25</sup>
	1.000	1.92	12/08/20	2.02	0.10 W	0.00	89 of 121 - Sale <sup>25</sup>
	5.000	9.60	12/08/20	10.15	0.55 W	0.00	90 of 121 - Sale <sup>25</sup>
	50.000	95.99	12/08/20	101.52	5.53 W	0.00	91 of 121 - Sale <sup>25</sup>
	59.000	113.27	12/08/20	119.19	5.92 W	0.00	92 of 121 - Sale <sup>25</sup>
	43.000	82.55	12/08/20	89.89	7.34 W	0.00	93 of 121 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	100.000	191.98	12/08/20	202.03	10.05 W	0.00	94 of 121 - Sale <sup>25</sup>
	185.000	355.17	12/08/20	373.75	18.58 W	0.00	95 of 121 - Sale <sup>25</sup>
	5.000	9.60	12/08/20	10.10	0.50 W	0.00	96 of 121 - Sale <sup>25</sup>
	21.000	40.32	12/08/20	42.43	2.11 W	0.00	97 of 121 - Sale <sup>25</sup>
	37.000	71.03	12/08/20	74.75	3.72 W	0.00	98 of 121 - Sale <sup>25</sup>
	15.000	28.80	12/08/20	30.30	1.50 W	0.00	99 of 121 - Sale <sup>25</sup>
	1.000	1.92	12/08/20	2.75	0.83 W	0.00	100 of 121 - Sale <sup>25</sup>
	3.000	5.76	12/08/20	6.03	0.27 W	0.00	101 of 121 - Sale <sup>25</sup>
	19.000	36.48	12/08/20	38.19	1.71 W	0.00	102 of 121 - Sale <sup>25</sup>
	22.000	42.24	12/08/20	44.45	2.21 W	0.00	103 of 121 - Sale <sup>25</sup>
	1.000	1.92	12/08/20	2.75	0.83 W	0.00	104 of 121 - Sale <sup>25</sup>
	3.000	5.76	12/08/20	8.23	2.47 W	0.00	105 of 121 - Sale <sup>25</sup>
	3.000	5.76	12/08/20	7.76	2.00 W	0.00	106 of 121 - Sale <sup>25</sup>
	5.000	9.60	12/08/20	12.98	3.38 W	0.00	107 of 121 - Sale <sup>25</sup>
	8.000	15.36	12/08/20	20.36	5.00 W	0.00	108 of 121 - Sale <sup>25</sup>
	10.000	19.20	12/08/20	26.96	7.76 W	0.00	109 of 121 - Sale <sup>25</sup>
	10.000	19.20	12/08/20	25.86	6.66 W	0.00	110 of 121 - Sale <sup>25</sup>
	15.000	28.80	12/08/20	39.99	11.19 W	0.00	111 of 121 - Sale <sup>25</sup>
	17.000	32.63	12/08/20	43.28	10.65 W	0.00	112 of 121 - Sale <sup>25</sup>
	20.000	38.40	12/08/20	54.92	16.52 W	0.00	113 of 121 - Sale <sup>25</sup>
	20.000	38.40	12/08/20	52.91	14.51 W	0.00	114 of 121 - Sale <sup>25</sup>
	20.000	38.40	12/08/20	51.72	13.32 W	0.00	115 of 121 - Sale <sup>25</sup>
	20.000	38.39	12/08/20	51.92	13.53 W	0.00	116 of 121 - Sale <sup>25</sup>
	25.000	48.00	12/08/20	67.41	19.41 W	0.00	117 of 121 - Sale <sup>25</sup>
	117.000	224.61	12/08/20	302.61	78.00 W	0.00	118 of 121 - Sale <sup>25</sup>
	20.000	38.40	12/08/20	51.31	12.91 W	0.00	119 of 121 - Sale <sup>25</sup>
	30.000	57.59	12/08/20	77.60	20.01 W	0.00	120 of 121 - Sale <sup>25</sup>
	33.000	63.35	12/08/20	66.35	3.00 W	0.00	121 of 121 - Sale <sup>25</sup>
12/08/20	3,545.000	6,771.94	Various	8,188.94	1,417.00 W	0.00	Total of 121 transactions
4 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	185.000	342.23	12/08/20	379.33	...	-37.10	1 of 4 - Sale <sup>25</sup>
	59.000	109.14	12/08/20	120.98	...	-11.84	2 of 4 - Sale <sup>25</sup>
	5.000	9.25	12/08/20	10.30	...	-1.05	3 of 4 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
12/09/20	1.000	1.85	12/08/20	2.05	...	-0.20	4 of 4 - Sale <sup>25</sup>
	250.000	462.47	Various	512.66	...	-50.19	Total of 4 transactions
	30 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	50.000	92.50	12/08/20	103.03	3.16 W	-7.37	1 of 30 - Sale <sup>25</sup>
	1.000	1.85	12/08/20	2.88	1.03 W	0.00	2 of 30 - Sale <sup>25</sup>
	2.000	3.70	12/08/20	5.23	1.53 W	0.00	3 of 30 - Sale <sup>25</sup>
	3.000	5.55	12/08/20	7.85	2.30 W	0.00	4 of 30 - Sale <sup>25</sup>
	8.000	14.80	12/08/20	23.45	8.65 W	0.00	5 of 30 - Sale <sup>25</sup>
	10.000	18.50	12/08/20	28.16	9.66 W	0.00	6 of 30 - Sale <sup>25</sup>
	10.000	18.50	12/08/20	29.11	10.61 W	0.00	7 of 30 - Sale <sup>25</sup>
	10.000	18.50	12/08/20	28.41	9.91 W	0.00	8 of 30 - Sale <sup>25</sup>
	13.000	24.05	12/08/20	34.40	10.35 W	0.00	9 of 30 - Sale <sup>25</sup>
	15.000	27.75	12/08/20	43.07	15.32 W	0.00	10 of 30 - Sale <sup>25</sup>
	15.000	27.75	12/08/20	42.92	15.17 W	0.00	11 of 30 - Sale <sup>25</sup>
	19.000	35.15	12/08/20	54.54	19.39 W	0.00	12 of 30 - Sale <sup>25</sup>
	25.000	46.25	12/08/20	70.30	24.05 W	0.00	13 of 30 - Sale <sup>25</sup>
	30.000	55.50	12/08/20	85.25	29.75 W	0.00	14 of 30 - Sale <sup>25</sup>
	35.000	64.75	12/08/20	102.95	38.20 W	0.00	15 of 30 - Sale <sup>25</sup>
	37.000	68.45	12/08/20	97.94	29.49 W	0.00	16 of 30 - Sale <sup>25</sup>
	47.000	86.95	12/08/20	136.84	49.89 W	0.00	17 of 30 - Sale <sup>25</sup>
	25.000	46.25	12/08/20	66.03	19.78 W	0.00	18 of 30 - Sale <sup>25</sup>
	50.000	92.49	12/08/20	107.03	14.54 W	0.00	19 of 30 - Sale <sup>25</sup>
	145.000	268.24	12/08/20	311.85	43.61 W	0.00	20 of 30 - Sale <sup>25</sup>
	1.000	1.85	12/08/20	2.11	0.26 W	0.00	21 of 30 - Sale <sup>25</sup>
	1.000	1.85	12/08/20	2.11	0.26 W	0.00	22 of 30 - Sale <sup>25</sup>
	3.000	5.55	12/08/20	6.33	0.78 W	0.00	23 of 30 - Sale <sup>25</sup>
	5.000	9.25	12/08/20	10.55	1.30 W	0.00	24 of 30 - Sale <sup>25</sup>
	12.000	22.20	12/08/20	25.32	3.12 W	0.00	25 of 30 - Sale <sup>25</sup>
	15.000	27.75	12/08/20	31.65	3.90 W	0.00	26 of 30 - Sale <sup>25</sup>
	20.000	37.00	12/08/20	42.21	5.21 W	0.00	27 of 30 - Sale <sup>25</sup>
	43.000	79.54	12/08/20	91.19	11.65 W	0.00	28 of 30 - Sale <sup>25</sup>
	45.000	83.25	12/08/20	93.17	9.92 W	0.00	29 of 30 - Sale <sup>25</sup>
	55.000	101.74	12/08/20	117.18	15.44 W	0.00	30 of 30 - Sale <sup>25</sup>
12/09/20	750.000	1,387.46	Various	1,803.06	408.23 W	-7.37	Total of 30 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
55 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
117.000		204.73	12/10/20	286.25	...	-81.52	1 of 55 - Sale <sup>25</sup>
47.000		82.24	12/10/20	133.54	...	-51.30	2 of 55 - Sale <sup>25</sup>
145.000		253.72	12/10/20	301.68	...	-47.96	3 of 55 - Sale <sup>25</sup>
35.000		61.24	12/10/20	100.49	...	-39.25	4 of 55 - Sale <sup>25</sup>
30.000		52.49	12/10/20	83.15	...	-30.66	5 of 55 - Sale <sup>25</sup>
37.000		64.74	12/10/20	95.34	...	-30.60	6 of 55 - Sale <sup>25</sup>
25.000		43.74	12/10/20	68.55	...	-24.81	7 of 55 - Sale <sup>25</sup>
30.000		52.49	12/10/20	73.41	...	-20.92	8 of 55 - Sale <sup>25</sup>
25.000		43.75	12/10/20	64.28	...	-20.53	9 of 55 - Sale <sup>25</sup>
25.000		43.75	12/10/20	63.91	...	-20.16	10 of 55 - Sale <sup>25</sup>
19.000		33.25	12/10/20	53.21	...	-19.96	11 of 55 - Sale <sup>25</sup>
20.000		35.00	12/10/20	52.12	...	-17.12	12 of 55 - Sale <sup>25</sup>
55.000		96.24	12/10/20	113.33	...	-17.09	13 of 55 - Sale <sup>25</sup>
50.000		87.49	12/10/20	103.53	...	-16.04	14 of 55 - Sale <sup>25</sup>
15.000		26.25	12/10/20	42.02	...	-15.77	15 of 55 - Sale <sup>25</sup>
15.000		26.25	12/10/20	41.87	...	-15.62	16 of 55 - Sale <sup>25</sup>
20.000		35.00	12/10/20	50.11	...	-15.11	17 of 55 - Sale <sup>25</sup>
20.000		35.00	12/10/20	49.13	...	-14.13	18 of 55 - Sale <sup>25</sup>
20.000		35.00	12/10/20	48.92	...	-13.92	19 of 55 - Sale <sup>25</sup>
20.000		35.00	12/10/20	48.51	...	-13.51	20 of 55 - Sale <sup>25</sup>
15.000		26.25	12/10/20	37.89	...	-11.64	21 of 55 - Sale <sup>25</sup>
45.000		78.74	12/10/20	90.01	...	-11.27	22 of 55 - Sale <sup>25</sup>
17.000		29.75	12/10/20	40.91	...	-11.16	23 of 55 - Sale <sup>25</sup>
10.000		17.50	12/10/20	28.41	...	-10.91	24 of 55 - Sale <sup>25</sup>
13.000		22.75	12/10/20	33.49	...	-10.74	25 of 55 - Sale <sup>25</sup>
10.000		17.50	12/10/20	27.71	...	-10.21	26 of 55 - Sale <sup>25</sup>
10.000		17.50	12/10/20	27.46	...	-9.96	27 of 55 - Sale <sup>25</sup>
8.000		14.00	12/10/20	22.89	...	-8.89	28 of 55 - Sale <sup>25</sup>
10.000		17.50	12/10/20	25.56	...	-8.06	29 of 55 - Sale <sup>25</sup>
59.000		103.24	12/10/20	110.93	...	-7.69	30 of 55 - Sale <sup>25</sup>
10.000		17.50	12/10/20	24.46	...	-6.96	31 of 55 - Sale <sup>25</sup>
20.000		35.00	12/10/20	40.81	...	-5.81	32 of 55 - Sale <sup>25</sup>
8.000		14.00	12/10/20	19.24	...	-5.24	33 of 55 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol: (cont'd)							
	39.000	68.24	12/10/20	73.34	...	-5.10	34 of 55 - Sale <sup>25</sup>
	37.000	64.74	12/10/20	69.58	...	-4.84	35 of 55 - Sale <sup>25</sup>
	33.000	57.74	12/10/20	61.74	...	-4.00	36 of 55 - Sale <sup>25</sup>
	5.000	8.75	12/10/20	12.28	...	-3.53	37 of 55 - Sale <sup>25</sup>
	12.000	21.00	12/10/20	24.48	...	-3.48	38 of 55 - Sale <sup>25</sup>
	12.000	21.00	12/10/20	24.47	...	-3.47	39 of 55 - Sale <sup>25</sup>
	22.000	38.49	12/10/20	41.37	...	-2.88	40 of 55 - Sale <sup>25</sup>
	21.000	36.74	12/10/20	39.49	...	-2.75	41 of 55 - Sale <sup>25</sup>
	3.000	5.25	12/10/20	7.81	...	-2.56	42 of 55 - Sale <sup>25</sup>
	3.000	5.25	12/10/20	7.64	...	-2.39	43 of 55 - Sale <sup>25</sup>
	19.000	33.25	12/10/20	35.53	...	-2.28	44 of 55 - Sale <sup>25</sup>
	3.000	5.25	12/10/20	7.34	...	-2.09	45 of 55 - Sale <sup>25</sup>
	15.000	26.25	12/10/20	28.20	...	-1.95	46 of 55 - Sale <sup>25</sup>
	2.000	3.50	12/10/20	5.09	...	-1.59	47 of 55 - Sale <sup>25</sup>
	5.000	8.75	12/10/20	10.20	...	-1.45	48 of 55 - Sale <sup>25</sup>
	1.000	1.75	12/10/20	2.81	...	-1.06	49 of 55 - Sale <sup>25</sup>
	1.000	1.75	12/10/20	2.61	...	-0.86	50 of 55 - Sale <sup>25</sup>
	1.000	1.75	12/10/20	2.61	...	-0.86	51 of 55 - Sale <sup>25</sup>
	5.000	8.75	12/10/20	9.40	...	-0.65	52 of 55 - Sale <sup>25</sup>
	3.000	5.25	12/10/20	5.61	...	-0.36	53 of 55 - Sale <sup>25</sup>
	1.000	1.75	12/10/20	2.04	...	-0.29	54 of 55 - Sale <sup>25</sup>
	2.000	3.50	12/10/20	3.74	...	-0.24	55 of 55 - Sale <sup>25</sup>
12/10/20	1,250.000	2,187.30	Various	2,880.50	...	-693.20	Total of 55 transactions
	5 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	43.000	71.37	12/11/20	82.17	...	-10.80	1 of 5 - Sale <sup>25</sup>
	15.000	24.90	12/11/20	27.76	...	-2.86	2 of 5 - Sale <sup>25</sup>
	3.000	4.98	12/11/20	5.70	...	-0.72	3 of 5 - Sale <sup>25</sup>
	3.000	4.98	12/11/20	5.70	...	-0.72	4 of 5 - Sale <sup>25</sup>
	1.000	1.66	12/11/20	1.90	...	-0.24	5 of 5 - Sale <sup>25</sup>
12/11/20	65.000	107.89	Various	123.23	...	-15.34	Total of 5 transactions
	<b>Security total:</b>	<b>120,011.60</b>		<b>125,637.12</b>	<b>5,802.19 W</b>	<b>176.67</b>	

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RUBIUS THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 78116T103 / Symbol:							
<i>142 transactions for 12/14/20. Total proceeds and cost reported to the IRS.</i>							
99.000		784.05	12/14/20	924.19	...	-140.14	1 of 142 - Sale <sup>25</sup>
100.000		792.97	12/14/20	914.12	...	-121.15	2 of 142 - Sale <sup>25</sup>
90.000		712.77	12/14/20	833.51	...	-120.74	3 of 142 - Sale <sup>25</sup>
67.000		530.62	12/14/20	648.59	...	-117.97	4 of 142 - Sale <sup>25</sup>
58.000		459.34	12/14/20	564.36	...	-105.02	5 of 142 - Sale <sup>25</sup>
89.000		705.74	12/14/20	810.46	...	-104.72	6 of 142 - Sale <sup>25</sup>
56.000		464.78	12/14/20	569.38	...	-104.60	7 of 142 - Sale <sup>25</sup>
55.000		456.48	12/14/20	560.03	...	-103.55	8 of 142 - Sale <sup>25</sup>
50.000		397.00	12/14/20	499.11	...	-102.11	9 of 142 - Sale <sup>25</sup>
42.000		332.62	12/14/20	433.33	...	-100.71	10 of 142 - Sale <sup>25</sup>
82.000		650.24	12/14/20	749.58	...	-99.34	11 of 142 - Sale <sup>25</sup>
46.000		365.23	12/14/20	457.79	...	-92.56	12 of 142 - Sale <sup>25</sup>
51.000		403.90	12/14/20	493.70	...	-89.80	13 of 142 - Sale <sup>25</sup>
63.000		499.56	12/14/20	586.61	...	-87.05	14 of 142 - Sale <sup>25</sup>
69.000		547.15	12/14/20	630.75	...	-83.60	15 of 142 - Sale <sup>25</sup>
50.000		395.98	12/14/20	479.52	...	-83.54	16 of 142 - Sale <sup>25</sup>
44.000		365.19	12/14/20	447.35	...	-82.16	17 of 142 - Sale <sup>25</sup>
34.000		282.19	12/14/20	361.68	...	-79.49	18 of 142 - Sale <sup>25</sup>
59.000		467.85	12/14/20	546.41	...	-78.56	19 of 142 - Sale <sup>25</sup>
46.000		364.31	12/14/20	441.17	...	-76.86	20 of 142 - Sale <sup>25</sup>
45.000		356.39	12/14/20	430.70	...	-74.31	21 of 142 - Sale <sup>25</sup>
42.000		332.63	12/14/20	406.58	...	-73.95	22 of 142 - Sale <sup>25</sup>
49.000		388.06	12/14/20	460.71	...	-72.65	23 of 142 - Sale <sup>25</sup>
44.000		348.47	12/14/20	419.78	...	-71.31	24 of 142 - Sale <sup>25</sup>
41.000		324.71	12/14/20	393.21	...	-68.50	25 of 142 - Sale <sup>25</sup>
42.000		332.63	12/14/20	400.68	...	-68.05	26 of 142 - Sale <sup>25</sup>
57.000		451.99	12/14/20	519.34	...	-67.35	27 of 142 - Sale <sup>25</sup>
50.000		396.49	12/14/20	455.58	...	-59.09	28 of 142 - Sale <sup>25</sup>
33.000		261.35	12/14/20	319.45	...	-58.10	29 of 142 - Sale <sup>25</sup>
39.000		308.87	12/14/20	366.60	...	-57.73	30 of 142 - Sale <sup>25</sup>
35.000		277.19	12/14/20	333.91	...	-56.72	31 of 142 - Sale <sup>25</sup>
40.000		316.79	12/14/20	371.99	...	-55.20	32 of 142 - Sale <sup>25</sup>
29.000		240.69	12/14/20	294.85	...	-54.16	33 of 142 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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RUBIUS THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 78116T103 / Symbol: (cont'd)							
23.000		190.89	12/14/20	244.90	...	-54.01	34 of 142 - Sale <sup>25</sup>
27.000		224.09	12/14/20	276.95	...	-52.86	35 of 142 - Sale <sup>25</sup>
19.000		150.47	12/14/20	200.21	...	-49.74	36 of 142 - Sale <sup>25</sup>
28.000		232.40	12/14/20	280.90	...	-48.50	37 of 142 - Sale <sup>25</sup>
41.000		325.12	12/14/20	373.56	...	-48.44	38 of 142 - Sale <sup>25</sup>
35.000		277.55	12/14/20	325.89	...	-48.34	39 of 142 - Sale <sup>25</sup>
18.000		142.55	12/14/20	189.70	...	-47.15	40 of 142 - Sale <sup>25</sup>
20.000		158.39	12/14/20	200.81	...	-42.42	41 of 142 - Sale <sup>25</sup>
25.000		197.99	12/14/20	239.51	...	-41.52	42 of 142 - Sale <sup>25</sup>
24.000		190.07	12/14/20	231.13	...	-41.06	43 of 142 - Sale <sup>25</sup>
21.000		166.73	12/14/20	206.89	...	-40.16	44 of 142 - Sale <sup>25</sup>
17.000		141.09	12/14/20	181.18	...	-40.09	45 of 142 - Sale <sup>25</sup>
26.000		205.91	12/14/20	245.24	...	-39.33	46 of 142 - Sale <sup>25</sup>
20.000		158.39	12/14/20	196.61	...	-38.22	47 of 142 - Sale <sup>25</sup>
25.000		197.99	12/14/20	235.80	...	-37.81	48 of 142 - Sale <sup>25</sup>
31.000		245.82	12/14/20	282.30	...	-36.48	49 of 142 - Sale <sup>25</sup>
18.000		142.91	12/14/20	179.16	...	-36.25	50 of 142 - Sale <sup>25</sup>
20.000		158.39	12/14/20	193.59	...	-35.20	51 of 142 - Sale <sup>25</sup>
29.000		229.96	12/14/20	264.81	...	-34.85	52 of 142 - Sale <sup>25</sup>
16.000		132.79	12/14/20	163.33	...	-30.54	53 of 142 - Sale <sup>25</sup>
16.000		132.80	12/14/20	162.69	...	-29.89	54 of 142 - Sale <sup>25</sup>
17.000		141.09	12/14/20	170.55	...	-29.46	55 of 142 - Sale <sup>25</sup>
16.000		132.79	12/14/20	162.13	...	-29.34	56 of 142 - Sale <sup>25</sup>
20.000		158.39	12/14/20	187.45	...	-29.06	57 of 142 - Sale <sup>25</sup>
24.000		190.55	12/14/20	219.50	...	-28.95	58 of 142 - Sale <sup>25</sup>
11.000		87.11	12/14/20	116.03	...	-28.92	59 of 142 - Sale <sup>25</sup>
11.000		87.12	12/14/20	116.01	...	-28.89	60 of 142 - Sale <sup>25</sup>
16.000		132.80	12/14/20	161.33	...	-28.53	61 of 142 - Sale <sup>25</sup>
26.000		206.43	12/14/20	234.82	...	-28.39	62 of 142 - Sale <sup>25</sup>
16.000		132.80	12/14/20	160.50	...	-27.70	63 of 142 - Sale <sup>25</sup>
16.000		127.03	12/14/20	154.36	...	-27.33	64 of 142 - Sale <sup>25</sup>
10.000		79.19	12/14/20	105.49	...	-26.30	65 of 142 - Sale <sup>25</sup>
10.000		79.20	12/14/20	105.48	...	-26.28	66 of 142 - Sale <sup>25</sup>
21.000		166.52	12/14/20	191.76	...	-25.24	67 of 142 - Sale <sup>25</sup>

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Account 527125207

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(continued)

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RUBIUS THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 78116T103 / Symbol: (cont'd)							
14.000		110.87	12/14/20	135.80	...	-24.93	68 of 142 - Sale <sup>25</sup>
15.000		118.80	12/14/20	143.71	...	-24.91	69 of 142 - Sale <sup>25</sup>
18.000		142.74	12/14/20	167.60	...	-24.86	70 of 142 - Sale <sup>25</sup>
17.000		134.80	12/14/20	158.30	...	-23.50	71 of 142 - Sale <sup>25</sup>
10.000		83.00	12/14/20	106.38	...	-23.38	72 of 142 - Sale <sup>25</sup>
13.000		102.96	12/14/20	126.09	...	-23.13	73 of 142 - Sale <sup>25</sup>
11.000		87.34	12/14/20	109.81	...	-22.47	74 of 142 - Sale <sup>25</sup>
13.000		102.96	12/14/20	125.19	...	-22.23	75 of 142 - Sale <sup>25</sup>
18.000		142.73	12/14/20	164.54	...	-21.81	76 of 142 - Sale <sup>25</sup>
13.000		102.96	12/14/20	124.66	...	-21.70	77 of 142 - Sale <sup>25</sup>
13.000		102.95	12/14/20	124.54	...	-21.59	78 of 142 - Sale <sup>25</sup>
14.000		110.87	12/14/20	132.05	...	-21.18	79 of 142 - Sale <sup>25</sup>
11.000		87.12	12/14/20	107.59	...	-20.47	80 of 142 - Sale <sup>25</sup>
11.000		91.30	12/14/20	111.45	...	-20.15	81 of 142 - Sale <sup>25</sup>
11.000		91.30	12/14/20	110.36	...	-19.06	82 of 142 - Sale <sup>25</sup>
10.000		79.20	12/14/20	97.70	...	-18.50	83 of 142 - Sale <sup>25</sup>
7.000		55.44	12/14/20	73.77	...	-18.33	84 of 142 - Sale <sup>25</sup>
10.000		79.20	12/14/20	97.01	...	-17.81	85 of 142 - Sale <sup>25</sup>
10.000		79.20	12/14/20	97.00	...	-17.80	86 of 142 - Sale <sup>25</sup>
16.000		127.04	12/14/20	144.51	...	-17.47	87 of 142 - Sale <sup>25</sup>
11.000		87.12	12/14/20	103.63	...	-16.51	88 of 142 - Sale <sup>25</sup>
9.000		71.28	12/14/20	87.11	...	-15.83	89 of 142 - Sale <sup>25</sup>
6.000		47.52	12/14/20	63.29	...	-15.77	90 of 142 - Sale <sup>25</sup>
6.000		47.52	12/14/20	63.23	...	-15.71	91 of 142 - Sale <sup>25</sup>
10.000		79.20	12/14/20	94.41	...	-15.21	92 of 142 - Sale <sup>25</sup>
8.000		63.36	12/14/20	77.44	...	-14.08	93 of 142 - Sale <sup>25</sup>
6.000		49.80	12/14/20	63.83	...	-14.03	94 of 142 - Sale <sup>25</sup>
7.000		58.10	12/14/20	71.09	...	-12.99	95 of 142 - Sale <sup>25</sup>
7.000		55.44	12/14/20	67.76	...	-12.32	96 of 142 - Sale <sup>25</sup>
7.000		55.44	12/14/20	67.76	...	-12.32	97 of 142 - Sale <sup>25</sup>
8.000		63.36	12/14/20	75.12	...	-11.76	98 of 142 - Sale <sup>25</sup>
8.000		63.36	12/14/20	74.79	...	-11.43	99 of 142 - Sale <sup>25</sup>
9.000		71.37	12/14/20	81.95	...	-10.58	100 of 142 - Sale <sup>25</sup>
6.000		47.52	12/14/20	58.08	...	-10.56	101 of 142 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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RUBIUS THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 78116T103 / Symbol: (cont'd)							
	5.000	39.70	12/14/20	49.26	...	-9.56	102 of 142 - Sale <sup>25</sup>
	5.000	39.60	12/14/20	48.50	...	-8.90	103 of 142 - Sale <sup>25</sup>
	5.000	41.50	12/14/20	50.16	...	-8.66	104 of 142 - Sale <sup>25</sup>
	5.000	39.60	12/14/20	48.15	...	-8.55	105 of 142 - Sale <sup>25</sup>
	5.000	39.60	12/14/20	47.90	...	-8.30	106 of 142 - Sale <sup>25</sup>
	4.000	31.76	12/14/20	39.80	...	-8.04	107 of 142 - Sale <sup>25</sup>
	6.000	47.58	12/14/20	55.57	...	-7.99	108 of 142 - Sale <sup>25</sup>
	4.000	31.68	12/14/20	39.46	...	-7.78	109 of 142 - Sale <sup>25</sup>
	4.000	31.76	12/14/20	39.48	...	-7.72	110 of 142 - Sale <sup>25</sup>
	5.000	39.60	12/14/20	47.26	...	-7.66	111 of 142 - Sale <sup>25</sup>
	3.000	24.90	12/14/20	31.97	...	-7.07	112 of 142 - Sale <sup>25</sup>
	5.000	39.65	12/14/20	45.66	...	-6.01	113 of 142 - Sale <sup>25</sup>
	5.000	39.65	12/14/20	45.53	...	-5.88	114 of 142 - Sale <sup>25</sup>
	3.000	23.82	12/14/20	29.61	...	-5.79	115 of 142 - Sale <sup>25</sup>
	2.000	16.60	12/14/20	21.29	...	-4.69	116 of 142 - Sale <sup>25</sup>
	4.000	31.76	12/14/20	36.42	...	-4.66	117 of 142 - Sale <sup>25</sup>
	2.000	15.84	12/14/20	19.40	...	-3.56	118 of 142 - Sale <sup>25</sup>
	2.000	15.84	12/14/20	18.60	...	-2.76	119 of 142 - Sale <sup>25</sup>
	2.000	15.86	12/14/20	18.62	...	-2.76	120 of 142 - Sale <sup>25</sup>
	2.000	15.86	12/14/20	18.62	...	-2.76	121 of 142 - Sale <sup>25</sup>
	2.000	15.86	12/14/20	18.30	...	-2.44	122 of 142 - Sale <sup>25</sup>
	1.000	7.92	12/14/20	10.32	...	-2.40	123 of 142 - Sale <sup>25</sup>
	2.000	15.86	12/14/20	18.22	...	-2.36	124 of 142 - Sale <sup>25</sup>
	2.000	15.86	12/14/20	18.21	...	-2.35	125 of 142 - Sale <sup>25</sup>
	1.000	7.94	12/14/20	9.85	...	-1.91	126 of 142 - Sale <sup>25</sup>
	1.000	7.92	12/14/20	9.70	...	-1.78	127 of 142 - Sale <sup>25</sup>
	1.000	8.30	12/14/20	10.03	...	-1.73	128 of 142 - Sale <sup>25</sup>
	1.000	7.92	12/14/20	9.57	...	-1.65	129 of 142 - Sale <sup>25</sup>
	1.000	7.92	12/14/20	9.39	...	-1.47	130 of 142 - Sale <sup>25</sup>
	1.000	7.92	12/14/20	9.34	...	-1.42	131 of 142 - Sale <sup>25</sup>
	1.000	7.92	12/14/20	9.33	...	-1.41	132 of 142 - Sale <sup>25</sup>
	1.000	7.93	12/14/20	9.11	...	-1.18	133 of 142 - Sale <sup>25</sup>
	1.000	7.94	12/14/20	9.08	...	-1.14	134 of 142 - Sale <sup>25</sup>
	10.000	97.60	12/14/20	97.00	...	0.60	135 of 142 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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RUBIUS THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 78116T103 / Symbol: (cont'd)							
	10.000	98.20	12/14/20	95.00	...	3.20	136 of 142 - Sale <sup>25</sup>
	457.000	4,460.16	12/14/20	4,455.75	...	4.41	137 of 142 - Sale <sup>25</sup>
	25.000	250.50	12/14/20	238.50	...	12.00	138 of 142 - Sale <sup>25</sup>
	100.000	981.97	12/14/20	950.00	...	31.97	139 of 142 - Sale <sup>25</sup>
	100.000	1,005.96	12/14/20	954.00	...	51.96	140 of 142 - Sale <sup>25</sup>
	310.000	3,107.64	12/14/20	2,957.40	...	150.24	141 of 142 - Sale <sup>25</sup>
	565.000	5,548.11	12/14/20	5,390.10	...	158.01	142 of 142 - Sale <sup>25</sup>
12/14/20	4,543.000	39,230.23	Various	43,632.60	...	-4,402.37	Total of 142 transactions
	363 transactions for 12/14/20. Total proceeds and cost reported to the IRS.						
	39.000	323.69	12/14/20	415.64	58.94 W	-33.01	1 of 363 - Sale <sup>25</sup>
	207.000	2,032.66	12/14/20	2,090.70	58.04 W	0.00	2 of 363 - Sale <sup>25</sup>
	43.000	424.61	12/14/20	434.30	9.69 W	0.00	3 of 363 - Sale <sup>25</sup>
	55.000	543.11	12/14/20	568.17	25.06 W	0.00	4 of 363 - Sale <sup>25</sup>
	100.000	987.47	12/14/20	1,033.04	45.57 W	0.00	5 of 363 - Sale <sup>25</sup>
	52.000	513.48	12/14/20	537.18	23.70 W	0.00	6 of 363 - Sale <sup>25</sup>
	43.000	424.61	12/14/20	432.15	7.54 W	0.00	7 of 363 - Sale <sup>25</sup>
	250.000	2,468.66	12/14/20	2,500.00	31.34 W	0.00	8 of 363 - Sale <sup>25</sup>
	203.000	2,004.56	12/14/20	2,019.85	15.29 W	0.00	9 of 363 - Sale <sup>25</sup>
	47.000	464.11	12/14/20	467.65	3.54 W	0.00	10 of 363 - Sale <sup>25</sup>
	11.000	107.36	12/14/20	113.91	6.55 W	0.00	11 of 363 - Sale <sup>25</sup>
	43.000	419.67	12/14/20	435.39	15.72 W	0.00	12 of 363 - Sale <sup>25</sup>
	44.000	429.42	12/14/20	455.65	26.23 W	0.00	13 of 363 - Sale <sup>25</sup>
	52.000	507.50	12/14/20	538.50	31.00 W	0.00	14 of 363 - Sale <sup>25</sup>
	100.000	975.97	12/14/20	1,035.57	59.60 W	0.00	15 of 363 - Sale <sup>25</sup>
	43.000	419.67	12/14/20	431.09	11.42 W	0.00	16 of 363 - Sale <sup>25</sup>
	207.000	2,020.25	12/14/20	2,064.90	44.65 W	0.00	17 of 363 - Sale <sup>25</sup>
	4.000	39.04	12/14/20	39.50	0.46 W	0.00	18 of 363 - Sale <sup>25</sup>
	43.000	419.67	12/14/20	426.79	7.12 W	0.00	19 of 363 - Sale <sup>25</sup>
	203.000	1,981.21	12/14/20	2,004.69	23.48 W	0.00	20 of 363 - Sale <sup>25</sup>
	43.000	419.67	12/14/20	422.49	2.82 W	0.00	21 of 363 - Sale <sup>25</sup>
	3.000	29.28	12/14/20	30.15	0.87 W	0.00	22 of 363 - Sale <sup>25</sup>
	100.000	975.96	12/14/20	1,005.00	29.04 W	0.00	23 of 363 - Sale <sup>25</sup>
	62.000	605.10	12/14/20	623.10	18.00 W	0.00	24 of 363 - Sale <sup>25</sup>
	9.000	87.84	12/14/20	90.00	2.16 W	0.00	25 of 363 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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RUBIUS THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 78116T103 / Symbol: (cont'd)							
200.000		1,951.93	12/14/20	2,000.00	48.07 W	0.00	26 of 363 - Sale <sup>25</sup>
41.000		400.15	12/14/20	410.00	9.85 W	0.00	27 of 363 - Sale <sup>25</sup>
250.000		2,439.91	12/14/20	2,487.50	47.59 W	0.00	28 of 363 - Sale <sup>25</sup>
250.000		2,439.91	12/14/20	2,475.00	35.09 W	0.00	29 of 363 - Sale <sup>25</sup>
250.000		2,439.91	12/14/20	2,462.50	22.59 W	0.00	30 of 363 - Sale <sup>25</sup>
11.000		99.00	12/14/20	112.70	13.70 W	0.00	31 of 363 - Sale <sup>25</sup>
43.000		386.99	12/14/20	430.67	43.68 W	0.00	32 of 363 - Sale <sup>25</sup>
44.000		395.98	12/14/20	450.83	54.85 W	0.00	33 of 363 - Sale <sup>25</sup>
57.000		512.98	12/14/20	584.02	71.04 W	0.00	34 of 363 - Sale <sup>25</sup>
43.000		386.99	12/14/20	440.58	53.59 W	0.00	35 of 363 - Sale <sup>25</sup>
2.000		18.00	12/14/20	20.49	2.49 W	0.00	36 of 363 - Sale <sup>25</sup>
50.000		450.49	12/14/20	512.31	61.82 W	0.00	37 of 363 - Sale <sup>25</sup>
43.000		387.41	12/14/20	424.22	36.81 W	0.00	38 of 363 - Sale <sup>25</sup>
7.000		63.07	12/14/20	68.71	5.64 W	0.00	39 of 363 - Sale <sup>25</sup>
60.000		539.98	12/14/20	588.94	48.96 W	0.00	40 of 363 - Sale <sup>25</sup>
140.000		1,259.96	12/14/20	1,374.20	114.24 W	0.00	41 of 363 - Sale <sup>25</sup>
43.000		386.99	12/14/20	419.92	32.93 W	0.00	42 of 363 - Sale <sup>25</sup>
57.000		512.98	12/14/20	553.79	40.81 W	0.00	43 of 363 - Sale <sup>25</sup>
100.000		899.97	12/14/20	971.57	71.60 W	0.00	44 of 363 - Sale <sup>25</sup>
4.000		36.00	12/14/20	38.86	2.86 W	0.00	45 of 363 - Sale <sup>25</sup>
46.000		413.99	12/14/20	446.92	32.93 W	0.00	46 of 363 - Sale <sup>25</sup>
3.000		27.00	12/14/20	29.52	2.52 W	0.00	47 of 363 - Sale <sup>25</sup>
43.000		386.98	12/14/20	413.47	26.49 W	0.00	48 of 363 - Sale <sup>25</sup>
4.000		36.00	12/14/20	39.36	3.36 W	0.00	49 of 363 - Sale <sup>25</sup>
9.000		81.00	12/14/20	88.11	7.11 W	0.00	50 of 363 - Sale <sup>25</sup>
41.000		368.99	12/14/20	401.40	32.41 W	0.00	51 of 363 - Sale <sup>25</sup>
42.000		377.99	12/14/20	409.10	31.11 W	0.00	52 of 363 - Sale <sup>25</sup>
58.000		521.98	12/14/20	570.74	48.76 W	0.00	53 of 363 - Sale <sup>25</sup>
100.000		899.97	12/14/20	984.04	84.07 W	0.00	54 of 363 - Sale <sup>25</sup>
200.000		1,799.94	12/14/20	1,958.07	158.13 W	0.00	55 of 363 - Sale <sup>25</sup>
2.000		18.00	12/14/20	19.18	1.18 W	0.00	56 of 363 - Sale <sup>25</sup>
208.000		1,871.93	12/14/20	2,015.59	143.66 W	0.00	57 of 363 - Sale <sup>25</sup>
250.000		2,249.92	12/14/20	2,410.09	160.17 W	0.00	58 of 363 - Sale <sup>25</sup>
40.000		359.99	12/14/20	383.61	23.62 W	0.00	59 of 363 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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RUBIUS THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 78116T103 / Symbol: (cont'd)							
	200.000	1,799.93	12/14/20	1,938.08	138.15 W	0.00	60 of 363 - Sale <sup>25</sup>
	8.000	72.00	12/14/20	77.52	5.52 W	0.00	61 of 363 - Sale <sup>25</sup>
	42.000	377.99	12/14/20	445.86	67.87 W	0.00	62 of 363 - Sale <sup>25</sup>
	1.000	9.00	12/14/20	10.62	1.62 W	0.00	63 of 363 - Sale <sup>25</sup>
	2.000	18.00	12/14/20	21.69	3.69 W	0.00	64 of 363 - Sale <sup>25</sup>
	11.000	99.00	12/14/20	119.30	20.30 W	0.00	65 of 363 - Sale <sup>25</sup>
	27.000	242.99	12/14/20	282.31	39.32 W	0.00	66 of 363 - Sale <sup>25</sup>
	43.000	386.99	12/14/20	466.39	79.40 W	0.00	67 of 363 - Sale <sup>25</sup>
	44.000	395.99	12/14/20	477.25	81.26 W	0.00	68 of 363 - Sale <sup>25</sup>
	50.000	449.98	12/14/20	541.82	91.84 W	0.00	69 of 363 - Sale <sup>25</sup>
	57.000	512.98	12/14/20	618.24	105.26 W	0.00	70 of 363 - Sale <sup>25</sup>
	7.000	63.00	12/14/20	72.49	9.49 W	0.00	71 of 363 - Sale <sup>25</sup>
	16.000	143.99	12/14/20	166.50	22.51 W	0.00	72 of 363 - Sale <sup>25</sup>
	27.000	242.99	12/14/20	278.53	35.54 W	0.00	73 of 363 - Sale <sup>25</sup>
	60.000	539.98	12/14/20	621.96	81.98 W	0.00	74 of 363 - Sale <sup>25</sup>
	140.000	1,259.95	12/14/20	1,451.24	191.29 W	0.00	75 of 363 - Sale <sup>25</sup>
	16.000	143.99	12/14/20	164.25	20.26 W	0.00	76 of 363 - Sale <sup>25</sup>
	57.000	512.98	12/14/20	582.31	69.33 W	0.00	77 of 363 - Sale <sup>25</sup>
	82.000	737.97	12/14/20	837.71	99.74 W	0.00	78 of 363 - Sale <sup>25</sup>
	4.000	36.00	12/14/20	40.74	4.74 W	0.00	79 of 363 - Sale <sup>25</sup>
	18.000	161.99	12/14/20	183.35	21.36 W	0.00	80 of 363 - Sale <sup>25</sup>
	27.000	242.99	12/14/20	272.32	29.33 W	0.00	81 of 363 - Sale <sup>25</sup>
	46.000	413.99	12/14/20	468.55	54.56 W	0.00	82 of 363 - Sale <sup>25</sup>
	3.000	27.00	12/14/20	30.36	3.36 W	0.00	83 of 363 - Sale <sup>25</sup>
	4.000	36.00	12/14/20	40.48	4.48 W	0.00	84 of 363 - Sale <sup>25</sup>
	16.000	143.99	12/14/20	158.34	14.35 W	0.00	85 of 363 - Sale <sup>25</sup>
	477.000	4,292.85	12/14/20	4,426.56	133.71 W	0.00	86 of 363 - Sale <sup>25</sup>
	2.000	18.00	12/14/20	18.58	0.58 W	0.00	87 of 363 - Sale <sup>25</sup>
	55.000	494.98	12/14/20	509.85	14.87 W	0.00	88 of 363 - Sale <sup>25</sup>
	50.000	449.98	12/14/20	462.50	12.52 W	0.00	89 of 363 - Sale <sup>25</sup>
	100.000	899.97	12/14/20	925.00	25.03 W	0.00	90 of 363 - Sale <sup>25</sup>
	100.000	899.97	12/14/20	945.00	45.03 W	0.00	91 of 363 - Sale <sup>25</sup>
	238.000	2,141.93	12/14/20	2,249.10	107.17 W	0.00	92 of 363 - Sale <sup>25</sup>
	37.000	329.29	12/14/20	363.32	34.03 W	0.00	93 of 363 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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RUBIUS THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 78116T103 / Symbol: (cont'd)							
	58.000	516.18	12/14/20	569.51	53.33 W	0.00	94 of 363 - Sale <sup>25</sup>
	9.000	80.10	12/14/20	87.92	7.82 W	0.00	95 of 363 - Sale <sup>25</sup>
	41.000	364.89	12/14/20	400.53	35.64 W	0.00	96 of 363 - Sale <sup>25</sup>
	42.000	373.79	12/14/20	408.20	34.41 W	0.00	97 of 363 - Sale <sup>25</sup>
	63.000	560.68	12/14/20	618.61	57.93 W	0.00	98 of 363 - Sale <sup>25</sup>
	145.000	1,290.44	12/14/20	1,402.03	111.59 W	0.00	99 of 363 - Sale <sup>25</sup>
	200.000	1,779.94	12/14/20	1,953.83	173.89 W	0.00	100 of 363 - Sale <sup>25</sup>
	13.000	115.70	12/14/20	125.70	10.00 W	0.00	101 of 363 - Sale <sup>25</sup>
	50.000	444.98	12/14/20	483.46	38.48 W	0.00	102 of 363 - Sale <sup>25</sup>
	42.000	373.79	12/14/20	404.00	30.21 W	0.00	103 of 363 - Sale <sup>25</sup>
	100.000	889.97	12/14/20	961.92	71.95 W	0.00	104 of 363 - Sale <sup>25</sup>
	100.000	888.97	12/14/20	961.92	72.95 W	0.00	105 of 363 - Sale <sup>25</sup>
	2.000	17.76	12/14/20	19.14	1.38 W	0.00	106 of 363 - Sale <sup>25</sup>
	39.000	346.31	12/14/20	377.10	30.79 W	0.00	107 of 363 - Sale <sup>25</sup>
	40.000	355.19	12/14/20	382.76	27.57 W	0.00	108 of 363 - Sale <sup>25</sup>
	8.000	71.04	12/14/20	76.95	5.91 W	0.00	109 of 363 - Sale <sup>25</sup>
	11.000	97.68	12/14/20	106.60	8.92 W	0.00	110 of 363 - Sale <sup>25</sup>
	1.000	8.88	12/14/20	10.57	1.69 W	0.00	111 of 363 - Sale <sup>25</sup>
	8.000	71.04	12/14/20	77.12	6.08 W	0.00	112 of 363 - Sale <sup>25</sup>
	11.000	97.68	12/14/20	118.75	21.07 W	0.00	113 of 363 - Sale <sup>25</sup>
	18.000	159.83	12/14/20	194.34	34.51 W	0.00	114 of 363 - Sale <sup>25</sup>
	42.000	372.95	12/14/20	443.77	70.82 W	0.00	115 of 363 - Sale <sup>25</sup>
	44.000	390.70	12/14/20	475.06	84.36 W	0.00	116 of 363 - Sale <sup>25</sup>
	150.000	1,331.95	12/14/20	1,446.11	114.16 W	0.00	117 of 363 - Sale <sup>25</sup>
	2.000	17.76	12/14/20	21.59	3.83 W	0.00	118 of 363 - Sale <sup>25</sup>
	16.000	142.07	12/14/20	172.59	30.52 W	0.00	119 of 363 - Sale <sup>25</sup>
	39.000	346.31	12/14/20	421.07	74.76 W	0.00	120 of 363 - Sale <sup>25</sup>
	43.000	381.83	12/14/20	464.25	82.42 W	0.00	121 of 363 - Sale <sup>25</sup>
	7.000	62.16	12/14/20	72.14	9.98 W	0.00	122 of 363 - Sale <sup>25</sup>
	16.000	142.07	12/14/20	165.71	23.64 W	0.00	123 of 363 - Sale <sup>25</sup>
	16.000	142.07	12/14/20	165.06	22.99 W	0.00	124 of 363 - Sale <sup>25</sup>
	27.000	239.75	12/14/20	280.97	41.22 W	0.00	125 of 363 - Sale <sup>25</sup>
	34.000	301.91	12/14/20	366.75	64.84 W	0.00	126 of 363 - Sale <sup>25</sup>
	44.000	390.71	12/14/20	453.92	63.21 W	0.00	127 of 363 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RUBIUS THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 78116T103 / Symbol: (cont'd)							
	56.000	497.26	12/14/20	577.72	80.46 W	0.00	128 of 363 - Sale <sup>25</sup>
	16.000	142.07	12/14/20	164.26	22.19 W	0.00	129 of 363 - Sale <sup>25</sup>
	84.000	745.89	12/14/20	866.57	120.68 W	0.00	130 of 363 - Sale <sup>25</sup>
	11.000	97.68	12/14/20	112.93	15.25 W	0.00	131 of 363 - Sale <sup>25</sup>
	16.000	142.07	12/14/20	163.46	21.39 W	0.00	132 of 363 - Sale <sup>25</sup>
	16.000	142.08	12/14/20	162.66	20.58 W	0.00	133 of 363 - Sale <sup>25</sup>
	57.000	506.14	12/14/20	579.48	73.34 W	0.00	134 of 363 - Sale <sup>25</sup>
	3.000	26.64	12/14/20	30.21	3.57 W	0.00	135 of 363 - Sale <sup>25</sup>
	4.000	35.52	12/14/20	40.54	5.02 W	0.00	136 of 363 - Sale <sup>25</sup>
	4.000	35.52	12/14/20	40.28	4.76 W	0.00	137 of 363 - Sale <sup>25</sup>
	16.000	142.08	12/14/20	157.55	15.47 W	0.00	138 of 363 - Sale <sup>25</sup>
	16.000	142.07	12/14/20	147.69	5.62 W	0.00	139 of 363 - Sale <sup>25</sup>
	18.000	159.83	12/14/20	182.46	22.63 W	0.00	140 of 363 - Sale <sup>25</sup>
	27.000	239.75	12/14/20	270.98	31.23 W	0.00	141 of 363 - Sale <sup>25</sup>
	46.000	408.47	12/14/20	466.26	57.79 W	0.00	142 of 363 - Sale <sup>25</sup>
	66.000	586.06	12/14/20	670.98	84.92 W	0.00	143 of 363 - Sale <sup>25</sup>
	26.000	230.87	12/14/20	239.99	9.12 W	0.00	144 of 363 - Sale <sup>25</sup>
	1.000	8.78	12/14/20	9.18	0.40 W	0.00	145 of 363 - Sale <sup>25</sup>
	45.000	392.18	12/14/20	413.11	20.93 W	0.00	146 of 363 - Sale <sup>25</sup>
	120.000	1,045.76	12/14/20	1,101.64	55.88 W	0.00	147 of 363 - Sale <sup>25</sup>
	2.000	17.36	12/14/20	18.38	1.02 W	0.00	148 of 363 - Sale <sup>25</sup>
	20.000	173.59	12/14/20	196.39	22.80 W	0.00	149 of 363 - Sale <sup>25</sup>
	50.000	433.98	12/14/20	457.52	23.54 W	0.00	150 of 363 - Sale <sup>25</sup>
	55.000	477.38	12/14/20	504.37	26.99 W	0.00	151 of 363 - Sale <sup>25</sup>
	100.000	867.97	12/14/20	915.03	47.06 W	0.00	152 of 363 - Sale <sup>25</sup>
	100.000	867.97	12/14/20	935.03	67.06 W	0.00	153 of 363 - Sale <sup>25</sup>
	238.000	2,065.77	12/14/20	2,225.37	159.60 W	0.00	154 of 363 - Sale <sup>25</sup>
	269.000	2,334.84	12/14/20	2,469.50	134.66 W	0.00	155 of 363 - Sale <sup>25</sup>
	4.000	35.60	12/14/20	39.46	3.86 W	0.00	156 of 363 - Sale <sup>25</sup>
	34.000	302.59	12/14/20	332.50	29.91 W	0.00	157 of 363 - Sale <sup>25</sup>
	37.000	329.28	12/14/20	361.85	32.57 W	0.00	158 of 363 - Sale <sup>25</sup>
	5.000	44.50	12/14/20	48.90	4.40 W	0.00	159 of 363 - Sale <sup>25</sup>
	9.000	80.10	12/14/20	87.56	7.46 W	0.00	160 of 363 - Sale <sup>25</sup>
	58.000	516.18	12/14/20	567.20	51.02 W	0.00	161 of 363 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RUBIUS THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 78116T103 / Symbol: (cont'd)							
33.000		293.69	12/14/20	321.07	27.38 W	0.00	162 of 363 - Sale <sup>25</sup>
2.000		17.80	12/14/20	19.10	1.30 W	0.00	163 of 363 - Sale <sup>25</sup>
8.000		71.20	12/14/20	76.79	5.59 W	0.00	164 of 363 - Sale <sup>25</sup>
11.000		97.90	12/14/20	106.38	8.48 W	0.00	165 of 363 - Sale <sup>25</sup>
13.000		115.70	12/14/20	125.18	9.48 W	0.00	166 of 363 - Sale <sup>25</sup>
20.000		177.99	12/14/20	192.42	14.43 W	0.00	167 of 363 - Sale <sup>25</sup>
39.000		347.09	12/14/20	376.32	29.23 W	0.00	168 of 363 - Sale <sup>25</sup>
40.000		355.99	12/14/20	381.96	25.97 W	0.00	169 of 363 - Sale <sup>25</sup>
41.000		364.89	12/14/20	398.90	34.01 W	0.00	170 of 363 - Sale <sup>25</sup>
42.000		373.79	12/14/20	406.53	32.74 W	0.00	171 of 363 - Sale <sup>25</sup>
42.000		373.79	12/14/20	402.33	28.54 W	0.00	172 of 363 - Sale <sup>25</sup>
50.000		444.98	12/14/20	481.47	36.49 W	0.00	173 of 363 - Sale <sup>25</sup>
100.000		889.97	12/14/20	957.94	67.97 W	0.00	174 of 363 - Sale <sup>25</sup>
100.000		889.97	12/14/20	958.94	68.97 W	0.00	175 of 363 - Sale <sup>25</sup>
145.000		1,290.45	12/14/20	1,396.27	105.82 W	0.00	176 of 363 - Sale <sup>25</sup>
167.000		1,486.25	12/14/20	1,624.80	138.55 W	0.00	177 of 363 - Sale <sup>25</sup>
5.000		44.50	12/14/20	48.51	4.01 W	0.00	178 of 363 - Sale <sup>25</sup>
1.000		8.90	12/14/20	10.62	1.72 W	0.00	179 of 363 - Sale <sup>25</sup>
1.000		8.90	12/14/20	9.33	0.43 W	0.00	180 of 363 - Sale <sup>25</sup>
2.000		17.80	12/14/20	21.69	3.89 W	0.00	181 of 363 - Sale <sup>25</sup>
3.000		26.70	12/14/20	30.36	3.66 W	0.00	182 of 363 - Sale <sup>25</sup>
4.000		35.60	12/14/20	40.74	5.14 W	0.00	183 of 363 - Sale <sup>25</sup>
4.000		35.60	12/14/20	40.48	4.88 W	0.00	184 of 363 - Sale <sup>25</sup>
7.000		62.30	12/14/20	72.49	10.19 W	0.00	185 of 363 - Sale <sup>25</sup>
8.000		71.20	12/14/20	77.52	6.32 W	0.00	186 of 363 - Sale <sup>25</sup>
11.000		97.90	12/14/20	119.30	21.40 W	0.00	187 of 363 - Sale <sup>25</sup>
11.000		97.90	12/14/20	113.48	15.58 W	0.00	188 of 363 - Sale <sup>25</sup>
16.000		142.39	12/14/20	173.40	31.01 W	0.00	189 of 363 - Sale <sup>25</sup>
16.000		142.39	12/14/20	166.52	24.13 W	0.00	190 of 363 - Sale <sup>25</sup>
16.000		142.39	12/14/20	165.87	23.48 W	0.00	191 of 363 - Sale <sup>25</sup>
16.000		142.39	12/14/20	165.07	22.68 W	0.00	192 of 363 - Sale <sup>25</sup>
16.000		142.39	12/14/20	164.27	21.88 W	0.00	193 of 363 - Sale <sup>25</sup>
16.000		142.40	12/14/20	163.46	21.06 W	0.00	194 of 363 - Sale <sup>25</sup>
16.000		142.39	12/14/20	158.35	15.96 W	0.00	195 of 363 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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RUBIUS THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 78116T103 / Symbol: (cont'd)							
16.000		142.40	12/14/20	148.50	6.10 W	0.00	196 of 363 - Sale <sup>25</sup>
18.000		160.19	12/14/20	195.25	35.06 W	0.00	197 of 363 - Sale <sup>25</sup>
18.000		160.19	12/14/20	183.37	23.18 W	0.00	198 of 363 - Sale <sup>25</sup>
24.000		213.59	12/14/20	225.48	11.89 W	0.00	199 of 363 - Sale <sup>25</sup>
26.000		231.39	12/14/20	241.30	9.91 W	0.00	200 of 363 - Sale <sup>25</sup>
27.000		240.29	12/14/20	282.33	42.04 W	0.00	201 of 363 - Sale <sup>25</sup>
27.000		240.29	12/14/20	272.34	32.05 W	0.00	202 of 363 - Sale <sup>25</sup>
34.000		302.59	12/14/20	368.46	65.87 W	0.00	203 of 363 - Sale <sup>25</sup>
39.000		347.09	12/14/20	423.03	75.94 W	0.00	204 of 363 - Sale <sup>25</sup>
42.000		373.79	12/14/20	445.88	72.09 W	0.00	205 of 363 - Sale <sup>25</sup>
43.000		382.68	12/14/20	466.41	83.73 W	0.00	206 of 363 - Sale <sup>25</sup>
44.000		391.58	12/14/20	477.28	85.70 W	0.00	207 of 363 - Sale <sup>25</sup>
44.000		391.59	12/14/20	456.13	64.54 W	0.00	208 of 363 - Sale <sup>25</sup>
46.000		409.39	12/14/20	468.57	59.18 W	0.00	209 of 363 - Sale <sup>25</sup>
56.000		498.38	12/14/20	580.54	82.16 W	0.00	210 of 363 - Sale <sup>25</sup>
57.000		507.28	12/14/20	582.35	75.07 W	0.00	211 of 363 - Sale <sup>25</sup>
66.000		587.38	12/14/20	674.30	86.92 W	0.00	212 of 363 - Sale <sup>25</sup>
84.000		747.57	12/14/20	870.80	123.23 W	0.00	213 of 363 - Sale <sup>25</sup>
125.000		1,112.46	12/14/20	1,211.38	98.92 W	0.00	214 of 363 - Sale <sup>25</sup>
2.000		17.26	12/14/20	18.26	1.00 W	0.00	215 of 363 - Sale <sup>25</sup>
4.000		34.52	12/14/20	38.34	3.82 W	0.00	216 of 363 - Sale <sup>25</sup>
11.000		94.92	12/14/20	104.50	9.58 W	0.00	217 of 363 - Sale <sup>25</sup>
20.000		172.60	12/14/20	195.20	22.60 W	0.00	218 of 363 - Sale <sup>25</sup>
21.000		181.22	12/14/20	190.79	9.57 W	0.00	219 of 363 - Sale <sup>25</sup>
50.000		431.48	12/14/20	454.54	23.06 W	0.00	220 of 363 - Sale <sup>25</sup>
55.000		474.63	12/14/20	501.09	26.46 W	0.00	221 of 363 - Sale <sup>25</sup>
100.000		862.97	12/14/20	909.06	46.09 W	0.00	222 of 363 - Sale <sup>25</sup>
100.000		862.97	12/14/20	929.06	66.09 W	0.00	223 of 363 - Sale <sup>25</sup>
120.000		1,035.56	12/14/20	1,090.28	54.72 W	0.00	224 of 363 - Sale <sup>25</sup>
238.000		2,053.86	12/14/20	2,211.16	157.30 W	0.00	225 of 363 - Sale <sup>25</sup>
269.000		2,321.39	12/14/20	2,453.44	132.05 W	0.00	226 of 363 - Sale <sup>25</sup>
10.000		86.30	12/14/20	94.90	8.60 W	0.00	227 of 363 - Sale <sup>25</sup>
14.000		121.80	12/14/20	132.86	11.06 W	0.00	228 of 363 - Sale <sup>25</sup>
13.000		113.10	12/14/20	123.36	10.26 W	0.00	229 of 363 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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RUBIUS THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 78116T103 / Symbol: (cont'd)							
11.000		95.70	12/14/20	104.39	8.69 W	0.00	230 of 363 - Sale <sup>25</sup>
12.000		104.40	12/14/20	113.89	9.49 W	0.00	231 of 363 - Sale <sup>25</sup>
5.000		43.50	12/14/20	47.45	3.95 W	0.00	232 of 363 - Sale <sup>25</sup>
9.000		78.03	12/14/20	84.95	6.92 W	0.00	233 of 363 - Sale <sup>25</sup>
33.000		286.10	12/14/20	311.51	25.41 W	0.00	234 of 363 - Sale <sup>25</sup>
58.000		502.84	12/14/20	550.40	47.56 W	0.00	235 of 363 - Sale <sup>25</sup>
100.000		866.97	12/14/20	943.96	76.99 W	0.00	236 of 363 - Sale <sup>25</sup>
33.000		286.10	12/14/20	311.50	25.40 W	0.00	237 of 363 - Sale <sup>25</sup>
67.000		580.87	12/14/20	632.46	51.59 W	0.00	238 of 363 - Sale <sup>25</sup>
8.000		69.36	12/14/20	75.52	6.16 W	0.00	239 of 363 - Sale <sup>25</sup>
42.000		364.12	12/14/20	394.36	30.24 W	0.00	240 of 363 - Sale <sup>25</sup>
25.000		216.74	12/14/20	233.49	16.75 W	0.00	241 of 363 - Sale <sup>25</sup>
5.000		43.35	12/14/20	46.70	3.35 W	0.00	242 of 363 - Sale <sup>25</sup>
2.000		17.34	12/14/20	18.52	1.18 W	0.00	243 of 363 - Sale <sup>25</sup>
5.000		43.35	12/14/20	47.06	3.71 W	0.00	244 of 363 - Sale <sup>25</sup>
8.000		69.36	12/14/20	74.47	5.11 W	0.00	245 of 363 - Sale <sup>25</sup>
10.000		86.70	12/14/20	94.01	7.31 W	0.00	246 of 363 - Sale <sup>25</sup>
11.000		95.37	12/14/20	103.19	7.82 W	0.00	247 of 363 - Sale <sup>25</sup>
20.000		173.39	12/14/20	186.63	13.24 W	0.00	248 of 363 - Sale <sup>25</sup>
39.000		338.12	12/14/20	365.02	26.90 W	0.00	249 of 363 - Sale <sup>25</sup>
40.000		346.79	12/14/20	370.37	23.58 W	0.00	250 of 363 - Sale <sup>25</sup>
65.000		563.53	12/14/20	603.83	40.30 W	0.00	251 of 363 - Sale <sup>25</sup>
100.000		866.97	12/14/20	929.97	63.00 W	0.00	252 of 363 - Sale <sup>25</sup>
65.000		563.52	12/14/20	610.44	46.92 W	0.00	253 of 363 - Sale <sup>25</sup>
13.000		112.71	12/14/20	121.41	8.70 W	0.00	254 of 363 - Sale <sup>25</sup>
35.000		303.44	12/14/20	325.14	21.70 W	0.00	255 of 363 - Sale <sup>25</sup>
42.000		364.13	12/14/20	390.16	26.03 W	0.00	256 of 363 - Sale <sup>25</sup>
50.000		433.48	12/14/20	466.99	33.51 W	0.00	257 of 363 - Sale <sup>25</sup>
115.000		997.01	12/14/20	1,074.08	77.07 W	0.00	258 of 363 - Sale <sup>25</sup>
1.000		8.70	12/14/20	10.32	1.62 W	0.00	259 of 363 - Sale <sup>25</sup>
8.000		69.60	12/14/20	75.12	5.52 W	0.00	260 of 363 - Sale <sup>25</sup>
11.000		95.70	12/14/20	116.00	20.30 W	0.00	261 of 363 - Sale <sup>25</sup>
17.000		147.89	12/14/20	179.31	31.42 W	0.00	262 of 363 - Sale <sup>25</sup>
42.000		365.38	12/14/20	433.29	67.91 W	0.00	263 of 363 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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RUBIUS THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 78116T103 / Symbol: (cont'd)							
	50.000	434.98	12/14/20	469.57	34.59 W	0.00	264 of 363 - Sale <sup>25</sup>
	10.000	87.00	12/14/20	105.48	18.48 W	0.00	265 of 363 - Sale <sup>25</sup>
	17.000	147.89	12/14/20	179.31	31.42 W	0.00	266 of 363 - Sale <sup>25</sup>
	17.000	147.89	12/14/20	179.30	31.41 W	0.00	267 of 363 - Sale <sup>25</sup>
	18.000	156.59	12/14/20	189.86	33.27 W	0.00	268 of 363 - Sale <sup>25</sup>
	39.000	339.29	12/14/20	411.34	72.05 W	0.00	269 of 363 - Sale <sup>25</sup>
	2.000	17.40	12/14/20	21.09	3.69 W	0.00	270 of 363 - Sale <sup>25</sup>
	7.000	60.90	12/14/20	70.39	9.49 W	0.00	271 of 363 - Sale <sup>25</sup>
	16.000	139.20	12/14/20	168.61	29.41 W	0.00	272 of 363 - Sale <sup>25</sup>
	16.000	139.20	12/14/20	161.73	22.53 W	0.00	273 of 363 - Sale <sup>25</sup>
	16.000	139.19	12/14/20	161.08	21.89 W	0.00	274 of 363 - Sale <sup>25</sup>
	26.000	226.19	12/14/20	274.23	48.04 W	0.00	275 of 363 - Sale <sup>25</sup>
	27.000	234.89	12/14/20	274.24	39.35 W	0.00	276 of 363 - Sale <sup>25</sup>
	34.000	295.79	12/14/20	358.27	62.48 W	0.00	277 of 363 - Sale <sup>25</sup>
	44.000	382.79	12/14/20	442.94	60.15 W	0.00	278 of 363 - Sale <sup>25</sup>
	56.000	487.18	12/14/20	563.76	76.58 W	0.00	279 of 363 - Sale <sup>25</sup>
	29.000	252.29	12/14/20	291.94	39.65 W	0.00	280 of 363 - Sale <sup>25</sup>
	4.000	34.74	12/14/20	39.54	4.80 W	0.00	281 of 363 - Sale <sup>25</sup>
	11.000	95.53	12/14/20	110.18	14.65 W	0.00	282 of 363 - Sale <sup>25</sup>
	16.000	138.95	12/14/20	160.28	21.33 W	0.00	283 of 363 - Sale <sup>25</sup>
	16.000	138.95	12/14/20	159.48	20.53 W	0.00	284 of 363 - Sale <sup>25</sup>
	16.000	138.96	12/14/20	158.66	19.70 W	0.00	285 of 363 - Sale <sup>25</sup>
	18.000	156.32	12/14/20	177.98	21.66 W	0.00	286 of 363 - Sale <sup>25</sup>
	26.000	225.81	12/14/20	254.46	28.65 W	0.00	287 of 363 - Sale <sup>25</sup>
	46.000	399.49	12/14/20	454.78	55.29 W	0.00	288 of 363 - Sale <sup>25</sup>
	57.000	495.03	12/14/20	565.27	70.24 W	0.00	289 of 363 - Sale <sup>25</sup>
	66.000	573.19	12/14/20	654.52	81.33 W	0.00	290 of 363 - Sale <sup>25</sup>
	55.000	477.66	12/14/20	553.69	76.03 W	0.00	291 of 363 - Sale <sup>25</sup>
	1.000	8.69	12/14/20	9.79	1.10 W	0.00	292 of 363 - Sale <sup>25</sup>
	1.000	8.69	12/14/20	9.02	0.33 W	0.00	293 of 363 - Sale <sup>25</sup>
	3.000	26.07	12/14/20	29.43	3.36 W	0.00	294 of 363 - Sale <sup>25</sup>
	4.000	34.76	12/14/20	39.24	4.48 W	0.00	295 of 363 - Sale <sup>25</sup>
	10.000	86.90	12/14/20	90.46	3.56 W	0.00	296 of 363 - Sale <sup>25</sup>
	16.000	139.04	12/14/20	153.40	14.36 W	0.00	297 of 363 - Sale <sup>25</sup>

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Account 527125207

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(continued)

03/15/2021

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RUBIUS THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 78116T103 / Symbol: (cont'd)							
	16.000	139.03	12/14/20	143.54	4.51 W	0.00	298 of 363 - Sale <sup>25</sup>
	24.000	208.55	12/14/20	218.05	9.50 W	0.00	299 of 363 - Sale <sup>25</sup>
	26.000	225.93	12/14/20	233.25	7.32 W	0.00	300 of 363 - Sale <sup>25</sup>
	11.000	95.59	12/14/20	99.50	3.91 W	0.00	301 of 363 - Sale <sup>25</sup>
	89.000	773.38	12/14/20	805.09	31.71 W	0.00	302 of 363 - Sale <sup>25</sup>
	2.000	17.38	12/14/20	18.18	0.80 W	0.00	303 of 363 - Sale <sup>25</sup>
	19.000	165.10	12/14/20	175.77	10.67 W	0.00	304 of 363 - Sale <sup>25</sup>
	31.000	269.38	12/14/20	280.43	11.05 W	0.00	305 of 363 - Sale <sup>25</sup>
	50.000	434.48	12/14/20	452.56	18.08 W	0.00	306 of 363 - Sale <sup>25</sup>
	55.000	477.93	12/14/20	498.91	20.98 W	0.00	307 of 363 - Sale <sup>25</sup>
	100.000	868.97	12/14/20	905.09	36.12 W	0.00	308 of 363 - Sale <sup>25</sup>
	100.000	868.97	12/14/20	925.09	56.12 W	0.00	309 of 363 - Sale <sup>25</sup>
	269.000	2,337.52	12/14/20	2,442.76	105.24 W	0.00	310 of 363 - Sale <sup>25</sup>
	173.000	1,503.32	12/14/20	1,600.41	97.09 W	0.00	311 of 363 - Sale <sup>25</sup>
	4.000	34.36	12/14/20	39.02	4.66 W	0.00	312 of 363 - Sale <sup>25</sup>
	5.000	42.95	12/14/20	47.95	5.00 W	0.00	313 of 363 - Sale <sup>25</sup>
	9.000	77.31	12/14/20	86.12	8.81 W	0.00	314 of 363 - Sale <sup>25</sup>
	10.000	85.90	12/14/20	96.60	10.70 W	0.00	315 of 363 - Sale <sup>25</sup>
	11.000	94.49	12/14/20	106.38	11.89 W	0.00	316 of 363 - Sale <sup>25</sup>
	11.000	94.49	12/14/20	105.49	11.00 W	0.00	317 of 363 - Sale <sup>25</sup>
	12.000	103.08	12/14/20	115.09	12.01 W	0.00	318 of 363 - Sale <sup>25</sup>
	13.000	111.67	12/14/20	124.66	12.99 W	0.00	319 of 363 - Sale <sup>25</sup>
	14.000	120.26	12/14/20	134.26	14.00 W	0.00	320 of 363 - Sale <sup>25</sup>
	20.000	171.79	12/14/20	198.60	26.81 W	0.00	321 of 363 - Sale <sup>25</sup>
	33.000	283.46	12/14/20	315.81	32.35 W	0.00	322 of 363 - Sale <sup>25</sup>
	42.000	360.76	12/14/20	401.94	41.18 W	0.00	323 of 363 - Sale <sup>25</sup>
	46.000	395.13	12/14/20	435.20	40.07 W	0.00	324 of 363 - Sale <sup>25</sup>
	58.000	498.20	12/14/20	557.96	59.76 W	0.00	325 of 363 - Sale <sup>25</sup>
	6.000	51.54	12/14/20	57.42	5.88 W	0.00	326 of 363 - Sale <sup>25</sup>
	58.000	498.19	12/14/20	555.05	56.86 W	0.00	327 of 363 - Sale <sup>25</sup>
	67.000	575.50	12/14/20	641.19	65.69 W	0.00	328 of 363 - Sale <sup>25</sup>
	8.000	68.72	12/14/20	76.56	7.84 W	0.00	329 of 363 - Sale <sup>25</sup>
	5.000	42.95	12/14/20	47.60	4.65 W	0.00	330 of 363 - Sale <sup>25</sup>
	27.000	231.93	12/14/20	258.38	26.45 W	0.00	331 of 363 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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RUBIUS THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 78116T103 / Symbol: (cont'd)							
	24.000	206.15	12/14/20	228.48	22.33 W	0.00	332 of 363 - Sale <sup>25</sup>
	5.000	42.95	12/14/20	47.35	4.40 W	0.00	333 of 363 - Sale <sup>25</sup>
	13.000	111.67	12/14/20	123.76	12.09 W	0.00	334 of 363 - Sale <sup>25</sup>
	25.000	214.74	12/14/20	236.75	22.01 W	0.00	335 of 363 - Sale <sup>25</sup>
	28.000	240.51	12/14/20	265.16	24.65 W	0.00	336 of 363 - Sale <sup>25</sup>
	13.000	111.67	12/14/20	123.23	11.56 W	0.00	337 of 363 - Sale <sup>25</sup>
	35.000	300.64	12/14/20	330.05	29.41 W	0.00	338 of 363 - Sale <sup>25</sup>
	42.000	360.77	12/14/20	396.05	35.28 W	0.00	339 of 363 - Sale <sup>25</sup>
	50.000	429.49	12/14/20	474.01	44.52 W	0.00	340 of 363 - Sale <sup>25</sup>
	44.000	377.94	12/14/20	414.92	36.98 W	0.00	341 of 363 - Sale <sup>25</sup>
	87.000	747.30	12/14/20	824.78	77.48 W	0.00	342 of 363 - Sale <sup>25</sup>
	1.000	8.30	12/14/20	10.43	2.13 W	0.00	343 of 363 - Sale <sup>25</sup>
	2.000	16.60	12/14/20	18.80	2.20 W	0.00	344 of 363 - Sale <sup>25</sup>
	5.000	41.50	12/14/20	47.76	6.26 W	0.00	345 of 363 - Sale <sup>25</sup>
	8.000	66.40	12/14/20	75.59	9.19 W	0.00	346 of 363 - Sale <sup>25</sup>
	8.000	66.40	12/14/20	76.00	9.60 W	0.00	347 of 363 - Sale <sup>25</sup>
	10.000	83.00	12/14/20	95.41	12.41 W	0.00	348 of 363 - Sale <sup>25</sup>
	11.000	91.30	12/14/20	104.73	13.43 W	0.00	349 of 363 - Sale <sup>25</sup>
	11.000	91.29	12/14/20	117.21	25.92 W	0.00	350 of 363 - Sale <sup>25</sup>
	6.000	49.80	12/14/20	63.95	14.15 W	0.00	351 of 363 - Sale <sup>25</sup>
	20.000	165.99	12/14/20	189.44	23.45 W	0.00	352 of 363 - Sale <sup>25</sup>
	39.000	323.69	12/14/20	370.49	46.80 W	0.00	353 of 363 - Sale <sup>25</sup>
	40.000	331.99	12/14/20	375.98	43.99 W	0.00	354 of 363 - Sale <sup>25</sup>
	42.000	348.58	12/14/20	437.93	89.35 W	0.00	355 of 363 - Sale <sup>25</sup>
	50.000	414.98	12/14/20	475.09	60.11 W	0.00	356 of 363 - Sale <sup>25</sup>
	21.000	174.29	12/14/20	198.03	23.74 W	0.00	357 of 363 - Sale <sup>25</sup>
	65.000	539.48	12/14/20	619.57	80.09 W	0.00	358 of 363 - Sale <sup>25</sup>
	100.000	829.97	12/14/20	944.00	114.03 W	0.00	359 of 363 - Sale <sup>25</sup>
	10.000	83.00	12/14/20	106.58	23.58 W	0.00	360 of 363 - Sale <sup>25</sup>
	11.000	91.30	12/14/20	117.24	25.94 W	0.00	361 of 363 - Sale <sup>25</sup>
	17.000	141.09	12/14/20	181.19	40.10 W	0.00	362 of 363 - Sale <sup>25</sup>
	18.000	149.39	12/14/20	191.85	42.46 W	0.00	363 of 363 - Sale <sup>25</sup>
12/14/20	16,991.000	152,534.96	Various	165,585.85	13,017.88 W	-33.01	Total of 363 transactions
	<b>Security total:</b>	<b>191,765.19</b>		<b>209,218.45</b>	<b>13,017.88 W</b>	<b>-4,435.38</b>	

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(continued)

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RUMBLEON, INC. CLASS B COMMON STOCK / CUSIP: 781386305 / Symbol:							
05/20/20	23.371	201.22	05/19/20	226.02	...	-24.80	Sale <sup>25</sup>
05/20/20	13.629	117.35	05/19/20	131.81	1.06 W	-13.40	Sale <sup>25</sup>
05/26/20	1.000	7.52	05/19/20	10.73	...	-3.21	Sale <sup>25</sup>
	<b>Security total:</b>	<b>326.09</b>		<b>368.56</b>	<b>1.06 W</b>	<b>-41.41</b>	
RYMAN HOSPITALITY PROPERTIES, INC / CUSIP: 78377T107 / Symbol:							
03/31/20	20.000	739.89	03/30/20	689.99	...	49.90	Sale <sup>25</sup>
SCWORX CORP. COMMON STOCK / CUSIP: 78396V109 / Symbol:							
	2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	25.000	162.49	04/16/20	151.50	...	10.99	1 of 2 - Sale <sup>25</sup>
	75.000	487.48	04/16/20	434.99	...	52.49	2 of 2 - Sale <sup>25</sup>
04/17/20	100.000	649.97	Various	586.49	...	63.48	Total of 2 transactions
SM ENERGY COMPANY / CUSIP: 78454L100 / Symbol:							
08/03/20	235.000	667.35	07/31/20	683.85	...	-16.50	Sale <sup>25</sup>
SABRE CORPORATION COMMON STOCK / CUSIP: 78573M104 / Symbol:							
03/20/20	1.000	4.05	03/19/20	3.86	...	0.19	Sale <sup>25</sup>
	5 transactions for 03/20/20. Total proceeds and cost reported to the IRS.						
	75.000	303.74	03/18/20	359.91	56.17 W	0.00	1 of 5 - Sale <sup>25</sup>
	4.000	16.20	03/19/20	18.44	2.24 W	0.00	2 of 5 - Sale <sup>25</sup>
	3.000	12.15	03/19/20	13.83	1.68 W	0.00	3 of 5 - Sale <sup>25</sup>
	2.000	8.10	03/19/20	9.22	1.12 W	0.00	4 of 5 - Sale <sup>25</sup>
	5.000	20.25	03/19/20	23.04	2.79 W	0.00	5 of 5 - Sale <sup>25</sup>
03/20/20	89.000	360.44	Various	424.44	64.00 W	0.00	Total of 5 transactions
	7 transactions for 03/25/20. Total proceeds and cost reported to the IRS.						
	2.000	10.74	03/20/20	7.92	...	2.82	1 of 7 - Sale <sup>25</sup>
	3.000	16.11	03/20/20	11.88	...	4.23	2 of 7 - Sale <sup>25</sup>
	4.000	21.48	03/20/20	15.84	...	5.64	3 of 7 - Sale <sup>25</sup>
	5.000	26.85	03/20/20	19.79	...	7.06	4 of 7 - Sale <sup>25</sup>
	25.000	134.24	03/20/20	85.00	...	49.24	5 of 7 - Sale <sup>25</sup>
	61.000	327.55	03/20/20	253.08	...	74.47	6 of 7 - Sale <sup>25</sup>

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SABRE CORPORATION COMMON STOCK / CUSIP: 78573M104 / Symbol: (cont'd)							
03/25/20	100.000	536.97	03/23/20	418.00	...	118.97	7 of 7 - Sale <sup>25</sup>
	200.000	1,073.94	Various	811.51	...	262.43	Total of 7 transactions
	4 transactions for 03/27/20. Total proceeds and cost reported to the IRS.						
	100.000	579.97	03/25/20	597.99	18.02 W	0.00	1 of 4 - Sale <sup>25</sup>
	4.000	23.20	03/26/20	27.60	4.40 W	0.00	2 of 4 - Sale <sup>25</sup>
	42.000	243.60	03/26/20	297.31	53.71 W	0.00	3 of 4 - Sale <sup>25</sup>
	4.000	23.20	03/26/20	28.32	5.12 W	0.00	4 of 4 - Sale <sup>25</sup>
03/27/20	150.000	869.97	Various	951.22	81.25 W	0.00	Total of 4 transactions
	3 transactions for 04/01/20. Total proceeds and cost reported to the IRS.						
	42.000	225.53	03/31/20	301.93	76.40 W	0.00	1 of 3 - Sale <sup>25</sup>
	54.000	289.96	03/31/20	328.86	38.90 W	0.00	2 of 3 - Sale <sup>25</sup>
	4.000	21.48	03/31/20	28.04	6.56 W	0.00	3 of 3 - Sale <sup>25</sup>
04/01/20	100.000	536.97	Various	658.83	121.86 W	0.00	Total of 3 transactions
	3 transactions for 04/13/20. Total proceeds and cost reported to the IRS.						
	4.000	23.08	04/03/20	22.88	...	0.20	1 of 3 - Sale <sup>25</sup>
	54.000	311.56	04/03/20	278.59	...	32.97	2 of 3 - Sale <sup>25</sup>
	46.000	265.41	04/03/20	204.18	...	61.23	3 of 3 - Sale <sup>25</sup>
04/13/20	104.000	600.05	Various	505.65	...	94.40	Total of 3 transactions
	6 transactions for 04/13/20. Total proceeds and cost reported to the IRS.						
	42.000	242.33	04/03/20	262.83	20.50 W	0.00	1 of 6 - Sale <sup>25</sup>
	4.000	23.08	04/03/20	24.32	1.24 W	0.00	2 of 6 - Sale <sup>25</sup>
	50.000	288.49	04/08/20	319.50	31.01 W	0.00	3 of 6 - Sale <sup>25</sup>
	50.000	288.48	04/08/20	319.44	30.96 W	0.00	4 of 6 - Sale <sup>25</sup>
	50.000	288.49	04/09/20	362.44	73.95 W	0.00	5 of 6 - Sale <sup>25</sup>
	25.000	144.24	04/09/20	180.47	36.23 W	0.00	6 of 6 - Sale <sup>25</sup>
04/13/20	221.000	1,275.11	Various	1,469.00	193.89 W	0.00	Total of 6 transactions
	7 transactions for 04/14/20. Total proceeds and cost reported to the IRS.						
	42.000	251.36	04/13/20	262.00	10.64 W	0.00	1 of 7 - Sale <sup>25</sup>
	4.000	23.94	04/13/20	24.24	0.30 W	0.00	2 of 7 - Sale <sup>25</sup>
	4.000	23.94	04/13/20	25.48	1.54 W	0.00	3 of 7 - Sale <sup>25</sup>
	50.000	299.23	04/13/20	318.51	19.28 W	0.00	4 of 7 - Sale <sup>25</sup>
	46.000	275.07	04/13/20	292.92	17.85 W	0.00	5 of 7 - Sale <sup>25</sup>
	4.000	23.92	04/13/20	28.80	4.88 W	0.00	6 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SABRE CORPORATION COMMON STOCK / CUSIP: 78573M104 / Symbol: (cont'd)							
	50.000	298.98	04/13/20	361.39	62.41 W	0.00	7 of 7 - Sale <sup>25</sup>
04/14/20	200.000	1,196.44	Various	1,313.34	116.90 W	0.00	Total of 7 transactions
	11 transactions for 04/21/20. Total proceeds and cost reported to the IRS.						
	12.000	67.21	04/16/20	89.39	22.18 W	0.00	1 of 11 - Sale <sup>25</sup>
	29.000	162.43	04/16/20	185.12	22.69 W	0.00	2 of 11 - Sale <sup>25</sup>
	9.000	50.41	04/16/20	68.21	17.80 W	0.00	3 of 11 - Sale <sup>25</sup>
	5.000	28.00	04/16/20	31.62	3.62 W	0.00	4 of 11 - Sale <sup>25</sup>
	8.000	44.81	04/17/20	50.18	5.37 W	0.00	5 of 11 - Sale <sup>25</sup>
	4.000	22.40	04/17/20	24.38	1.98 W	0.00	6 of 11 - Sale <sup>25</sup>
	33.000	184.83	04/17/20	211.38	26.55 W	0.00	7 of 11 - Sale <sup>25</sup>
	4.000	22.40	04/17/20	25.62	3.22 W	0.00	8 of 11 - Sale <sup>25</sup>
	17.000	95.22	04/17/20	108.90	13.68 W	0.00	9 of 11 - Sale <sup>25</sup>
	46.000	257.65	04/17/20	294.76	37.11 W	0.00	10 of 11 - Sale <sup>25</sup>
	33.000	184.83	04/17/20	239.85	55.02 W	0.00	11 of 11 - Sale <sup>25</sup>
04/21/20	200.000	1,120.19	Various	1,329.41	209.22 W	0.00	Total of 11 transactions
	22 transactions for 04/24/20. Total proceeds and cost reported to the IRS.						
	5.000	28.15	04/23/20	32.36	4.21 W	0.00	1 of 22 - Sale <sup>25</sup>
	29.000	163.26	04/23/20	189.40	26.14 W	0.00	2 of 22 - Sale <sup>25</sup>
	8.000	45.04	04/23/20	51.36	6.32 W	0.00	3 of 22 - Sale <sup>25</sup>
	4.000	22.52	04/23/20	24.98	2.46 W	0.00	4 of 22 - Sale <sup>25</sup>
	4.000	22.52	04/23/20	26.21	3.69 W	0.00	5 of 22 - Sale <sup>25</sup>
	17.000	95.70	04/23/20	111.41	15.71 W	0.00	6 of 22 - Sale <sup>25</sup>
	33.000	185.78	04/23/20	216.26	30.48 W	0.00	7 of 22 - Sale <sup>25</sup>
	46.000	258.51	04/23/20	301.55	43.04 W	0.00	8 of 22 - Sale <sup>25</sup>
	9.000	50.58	04/23/20	69.54	18.96 W	0.00	9 of 22 - Sale <sup>25</sup>
	12.000	67.44	04/23/20	91.17	23.73 W	0.00	10 of 22 - Sale <sup>25</sup>
	12.000	67.43	04/23/20	89.00	21.57 W	0.00	11 of 22 - Sale <sup>25</sup>
	4.000	22.48	04/23/20	27.88	5.40 W	0.00	12 of 22 - Sale <sup>25</sup>
	17.000	95.53	04/23/20	118.95	23.42 W	0.00	13 of 22 - Sale <sup>25</sup>
	5.000	28.10	04/23/20	32.90	4.80 W	0.00	14 of 22 - Sale <sup>25</sup>
	29.000	162.97	04/23/20	192.57	29.60 W	0.00	15 of 22 - Sale <sup>25</sup>
	8.000	44.96	04/23/20	52.23	7.27 W	0.00	16 of 22 - Sale <sup>25</sup>
	4.000	22.48	04/23/20	25.42	2.94 W	0.00	17 of 22 - Sale <sup>25</sup>
	4.000	22.48	04/23/20	26.65	4.17 W	0.00	18 of 22 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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SABRE CORPORATION COMMON STOCK / CUSIP: 78573M104 / Symbol: (cont'd)							
	17.000	95.54	04/23/20	113.27	17.73 W	0.00	19 of 22 - Sale <sup>25</sup>
	29.000	162.97	04/23/20	193.55	30.58 W	0.00	20 of 22 - Sale <sup>25</sup>
	33.000	185.45	04/23/20	219.86	34.41 W	0.00	21 of 22 - Sale <sup>25</sup>
	21.000	118.01	04/23/20	155.52	37.51 W	0.00	22 of 22 - Sale <sup>25</sup>
04/24/20	350.000	1,967.90	Various	2,362.04	394.14 W	0.00	Total of 22 transactions
05/01/20	17.000	113.73	04/24/20	110.26	...	3.47	Sale <sup>25</sup>
10 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	9.000	60.21	04/24/20	68.91	8.70 W	0.00	1 of 10 - Sale <sup>25</sup>
	12.000	80.28	04/24/20	90.33	10.05 W	0.00	2 of 10 - Sale <sup>25</sup>
	12.000	80.28	04/24/20	88.17	7.89 W	0.00	3 of 10 - Sale <sup>25</sup>
	29.000	194.00	04/28/20	218.39	24.39 W	0.00	4 of 10 - Sale <sup>25</sup>
	4.000	26.76	04/28/20	31.44	4.68 W	0.00	5 of 10 - Sale <sup>25</sup>
	17.000	113.72	04/28/20	134.09	20.37 W	0.00	6 of 10 - Sale <sup>25</sup>
	5.000	33.45	04/29/20	40.39	6.94 W	0.00	7 of 10 - Sale <sup>25</sup>
	8.000	53.52	04/29/20	64.22	10.70 W	0.00	8 of 10 - Sale <sup>25</sup>
	4.000	26.76	04/29/20	31.42	4.66 W	0.00	9 of 10 - Sale <sup>25</sup>
	33.000	220.76	04/29/20	269.33	48.57 W	0.00	10 of 10 - Sale <sup>25</sup>
05/01/20	133.000	889.74	Various	1,036.69	146.95 W	0.00	Total of 10 transactions
3 transactions for 05/07/20. Total proceeds and cost reported to the IRS.							
	4.000	26.37	05/06/20	31.13	4.76 W	0.00	1 of 3 - Sale <sup>25</sup>
	17.000	112.06	05/06/20	132.31	20.25 W	0.00	2 of 3 - Sale <sup>25</sup>
	29.000	191.15	05/06/20	226.04	34.89 W	0.00	3 of 3 - Sale <sup>25</sup>
05/07/20	50.000	329.58	Various	389.48	59.90 W	0.00	Total of 3 transactions
4 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	8.000	52.24	05/18/20	58.38	6.14 W	0.00	1 of 4 - Sale <sup>25</sup>
	9.000	58.77	05/18/20	68.46	9.69 W	0.00	2 of 4 - Sale <sup>25</sup>
	12.000	78.36	05/18/20	89.73	11.37 W	0.00	3 of 4 - Sale <sup>25</sup>
	21.000	137.13	05/18/20	176.95	39.82 W	0.00	4 of 4 - Sale <sup>25</sup>
05/19/20	50.000	326.50	Various	393.52	67.02 W	0.00	Total of 4 transactions
05/29/20	4.000	28.93	05/19/20	28.51	...	0.42	Sale <sup>25</sup>
11 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	29.000	209.71	05/19/20	212.02	2.31 W	0.00	1 of 11 - Sale <sup>25</sup>
	17.000	122.93	05/19/20	130.36	7.43 W	0.00	2 of 11 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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SABRE CORPORATION COMMON STOCK / CUSIP: 78573M104 / Symbol: (cont'd)							
	5.000	36.16	05/22/20	42.89	6.73 W	0.00	3 of 11 - Sale <sup>25</sup>
	8.000	57.85	05/22/20	68.22	10.37 W	0.00	4 of 11 - Sale <sup>25</sup>
	4.000	28.93	05/22/20	33.42	4.49 W	0.00	5 of 11 - Sale <sup>25</sup>
	4.000	28.92	05/22/20	34.65	5.73 W	0.00	6 of 11 - Sale <sup>25</sup>
	4.000	28.93	05/22/20	33.44	4.51 W	0.00	7 of 11 - Sale <sup>25</sup>
	25.000	180.78	05/22/20	216.50	35.72 W	0.00	8 of 11 - Sale <sup>25</sup>
	4.000	28.92	05/26/20	37.76	8.84 W	0.00	9 of 11 - Sale <sup>25</sup>
	4.000	28.93	05/26/20	36.63	7.70 W	0.00	10 of 11 - Sale <sup>25</sup>
	17.000	122.93	05/26/20	155.72	32.79 W	0.00	11 of 11 - Sale <sup>25</sup>
05/29/20	121.000	874.99	Various	1,001.61	126.62 W	0.00	Total of 11 transactions
	4 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	16.000	134.07	06/03/20	145.84	...	-11.77	1 of 4 - Sale <sup>25</sup>
	4.000	33.52	06/03/20	40.81	...	-7.29	2 of 4 - Sale <sup>25</sup>
	8.000	67.04	06/03/20	70.07	...	-3.03	3 of 4 - Sale <sup>25</sup>
	21.000	175.97	06/03/20	176.15	...	-0.18	4 of 4 - Sale <sup>25</sup>
06/04/20	49.000	410.60	Various	432.87	...	-22.27	Total of 4 transactions
	5 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	9.000	75.42	06/02/20	77.98	2.56 W	0.00	1 of 5 - Sale <sup>25</sup>
	12.000	100.56	06/02/20	102.42	1.86 W	0.00	2 of 5 - Sale <sup>25</sup>
	29.000	243.01	06/02/20	254.94	11.93 W	0.00	3 of 5 - Sale <sup>25</sup>
	17.000	142.46	06/03/20	171.10	26.96 W	-1.68	4 of 5 - Sale <sup>25</sup>
	8.000	67.04	06/03/20	71.49	4.45 W	0.00	5 of 5 - Sale <sup>25</sup>
06/04/20	75.000	628.49	Various	677.93	47.76 W	-1.68	Total of 5 transactions
	7 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	1.000	10.30	06/03/20	9.12	...	1.18	1 of 7 - Sale <sup>25</sup>
	25.000	257.48	06/04/20	258.72	...	-1.24	2 of 7 - Sale <sup>25</sup>
	4.000	41.20	06/04/20	41.41	...	-0.21	3 of 7 - Sale <sup>25</sup>
	5.000	51.50	06/04/20	51.33	...	0.17	4 of 7 - Sale <sup>25</sup>
	8.000	82.40	06/04/20	81.73	...	0.67	5 of 7 - Sale <sup>25</sup>
	4.000	41.20	06/04/20	40.17	...	1.03	6 of 7 - Sale <sup>25</sup>
	3.000	30.90	06/04/20	27.23	...	3.67	7 of 7 - Sale <sup>25</sup>
06/08/20	50.000	514.98	Various	509.71	...	5.27	Total of 7 transactions

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(continued)

03/15/2021

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SABRE CORPORATION COMMON STOCK / CUSIP: 78573M104 / Symbol: (cont'd)							
<i>10 transactions for 06/09/20. Total proceeds and cost reported to the IRS.</i>							
	17.000	164.55	06/04/20	184.43	...	-19.88	1 of 10 - Sale <sup>25</sup>
	16.000	154.87	06/04/20	169.68	...	-14.81	2 of 10 - Sale <sup>25</sup>
	4.000	38.72	06/04/20	44.52	...	-5.80	3 of 10 - Sale <sup>25</sup>
	4.000	38.72	06/04/20	43.38	...	-4.66	4 of 10 - Sale <sup>25</sup>
	4.000	38.72	06/04/20	40.19	...	-1.47	5 of 10 - Sale <sup>25</sup>
	4.000	38.72	06/04/20	37.33	...	1.39	6 of 10 - Sale <sup>25</sup>
	8.000	77.44	06/04/20	75.81	...	1.63	7 of 10 - Sale <sup>25</sup>
	9.000	87.12	06/04/20	82.84	...	4.28	8 of 10 - Sale <sup>25</sup>
	9.000	87.12	06/04/20	81.67	...	5.45	9 of 10 - Sale <sup>25</sup>
	25.000	241.99	06/04/20	233.28	...	8.71	10 of 10 - Sale <sup>25</sup>
06/09/20	100.000	967.97	Various	993.13	...	-25.16	Total of 10 transactions
07/15/20	15.000	127.67	07/15/20	123.60	...	4.07	Sale <sup>25</sup>
07/15/20	75.000	617.97	07/15/20	618.00	0.03 W	0.00	Sale <sup>25</sup>
<i>7 transactions for 07/16/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	207.99	07/15/20	214.23	...	-6.24	1 of 7 - Sale <sup>25</sup>
	15.000	124.66	07/15/20	129.28	...	-4.62	2 of 7 - Sale <sup>25</sup>
	25.000	207.99	07/15/20	211.96	...	-3.97	3 of 7 - Sale <sup>25</sup>
	15.000	124.80	07/15/20	128.39	...	-3.59	4 of 7 - Sale <sup>25</sup>
	8.000	66.49	07/15/20	68.55	...	-2.06	5 of 7 - Sale <sup>25</sup>
	2.000	16.64	07/15/20	17.14	...	-0.50	6 of 7 - Sale <sup>25</sup>
	60.000	499.18	07/15/20	494.39	...	4.79	7 of 7 - Sale <sup>25</sup>
07/16/20	150.000	1,247.75	Various	1,263.94	...	-16.19	Total of 7 transactions
<i>2 transactions for 07/16/20. Total proceeds and cost reported to the IRS.</i>							
	35.000	291.19	07/15/20	294.36	2.27 W	-0.90	1 of 2 - Sale <sup>25</sup>
	40.000	332.79	07/15/20	336.42	3.63 W	0.00	2 of 2 - Sale <sup>25</sup>
07/16/20	75.000	623.98	Various	630.78	5.90 W	-0.90	Total of 2 transactions
<i>20 transactions for 08/19/20. Total proceeds and cost reported to the IRS.</i>							
	140.000	988.36	08/19/20	1,000.74	...	-12.38	1 of 20 - Sale <sup>25</sup>
	150.000	1,058.96	08/19/20	1,063.50	...	-4.54	2 of 20 - Sale <sup>25</sup>
	50.000	352.99	08/19/20	357.41	...	-4.42	3 of 20 - Sale <sup>25</sup>
	35.000	247.09	08/19/20	250.18	...	-3.09	4 of 20 - Sale <sup>25</sup>
	100.000	705.97	08/19/20	709.00	...	-3.03	5 of 20 - Sale <sup>25</sup>

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(continued)

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SABRE CORPORATION COMMON STOCK / CUSIP: 78573M104 / Symbol: (cont'd)							
	100.000	705.97	08/19/20	709.00	...	-3.03	6 of 20 - Sale <sup>25</sup>
	100.000	705.97	08/19/20	709.00	...	-3.03	7 of 20 - Sale <sup>25</sup>
	100.000	705.97	08/19/20	709.00	...	-3.03	8 of 20 - Sale <sup>25</sup>
	100.000	705.97	08/19/20	709.00	...	-3.03	9 of 20 - Sale <sup>25</sup>
	100.000	705.98	08/19/20	709.00	...	-3.02	10 of 20 - Sale <sup>25</sup>
	25.000	176.49	08/19/20	178.70	...	-2.21	11 of 20 - Sale <sup>25</sup>
	25.000	178.74	08/19/20	177.50	...	1.24	12 of 20 - Sale <sup>25</sup>
	14.000	100.10	08/19/20	96.78	...	3.32	13 of 20 - Sale <sup>25</sup>
	100.000	714.97	08/19/20	710.00	...	4.97	14 of 20 - Sale <sup>25</sup>
	100.000	714.97	08/19/20	710.00	...	4.97	15 of 20 - Sale <sup>25</sup>
	100.000	714.97	08/19/20	710.00	...	4.97	16 of 20 - Sale <sup>25</sup>
	400.000	2,859.89	08/19/20	2,847.36	...	12.53	17 of 20 - Sale <sup>25</sup>
	61.000	436.13	08/19/20	417.10	...	19.03	18 of 20 - Sale <sup>25</sup>
	100.000	714.97	08/19/20	683.77	...	31.20	19 of 20 - Sale <sup>25</sup>
	86.000	614.87	08/19/20	579.21	...	35.66	20 of 20 - Sale <sup>25</sup>
08/19/20	1,986.000	14,109.33	Various	14,036.25	...	73.08	Total of 20 transactions
	5 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	14.000	93.24	08/18/20	100.10	6.86 W	0.00	1 of 5 - Sale <sup>25</sup>
	14.000	100.10	08/19/20	101.15	1.05 W	0.00	2 of 5 - Sale <sup>25</sup>
	25.000	173.75	08/19/20	175.20	1.45 W	0.00	3 of 5 - Sale <sup>25</sup>
	35.000	243.25	08/19/20	245.28	2.03 W	0.00	4 of 5 - Sale <sup>25</sup>
	190.000	1,320.45	08/19/20	1,331.50	11.05 W	0.00	5 of 5 - Sale <sup>25</sup>
08/19/20	278.000	1,930.79	Various	1,953.23	22.44 W	0.00	Total of 5 transactions
	18 transactions for 12/10/20. Total proceeds and cost reported to the IRS.						
	20.000	237.00	12/10/20	242.00	5.00 W	0.00	1 of 18 - Sale <sup>25</sup>
	100.000	1,184.96	12/10/20	1,210.00	25.04 W	0.00	2 of 18 - Sale <sup>25</sup>
	50.000	591.48	12/10/20	605.00	13.52 W	0.00	3 of 18 - Sale <sup>25</sup>
	10.000	118.20	12/10/20	121.00	2.80 W	0.00	4 of 18 - Sale <sup>25</sup>
	70.000	827.37	12/10/20	847.00	19.63 W	0.00	5 of 18 - Sale <sup>25</sup>
	11.000	130.24	12/10/20	135.74	5.50 W	0.00	6 of 18 - Sale <sup>25</sup>
	9.000	106.56	12/10/20	111.06	4.50 W	0.00	7 of 18 - Sale <sup>25</sup>
	5.000	59.20	12/10/20	61.80	2.60 W	0.00	8 of 18 - Sale <sup>25</sup>
	100.000	1,183.95	12/10/20	1,234.04	50.09 W	0.00	9 of 18 - Sale <sup>25</sup>
	10.000	118.10	12/10/20	123.70	5.60 W	0.00	10 of 18 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SABRE CORPORATION COMMON STOCK / CUSIP: 78573M104 / Symbol: (cont'd)							
	45.000	531.43	12/10/20	556.22	24.79 W	0.00	11 of 18 - Sale <sup>25</sup>
	70.000	826.67	12/10/20	865.93	39.26 W	0.00	12 of 18 - Sale <sup>25</sup>
	10.000	118.20	12/10/20	125.80	7.60 W	0.00	13 of 18 - Sale <sup>25</sup>
	5.000	59.05	12/10/20	63.00	3.95 W	0.00	14 of 18 - Sale <sup>25</sup>
	9.000	106.29	12/10/20	113.22	6.93 W	0.00	15 of 18 - Sale <sup>25</sup>
	1.000	11.81	12/10/20	12.58	0.77 W	0.00	16 of 18 - Sale <sup>25</sup>
	25.000	295.24	12/10/20	315.77	20.53 W	0.00	17 of 18 - Sale <sup>25</sup>
	100.000	1,180.95	12/10/20	1,258.09	77.14 W	0.00	18 of 18 - Sale <sup>25</sup>
12/10/20	650.000	7,686.70	Various	8,001.95	315.25 W	0.00	Total of 18 transactions
	72 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	10.000	116.53	12/10/20	126.40	9.87 W	0.00	1 of 72 - Sale <sup>25</sup>
	20.000	233.06	12/10/20	252.62	19.56 W	0.00	2 of 72 - Sale <sup>25</sup>
	70.000	815.70	12/10/20	884.86	69.16 W	0.00	3 of 72 - Sale <sup>25</sup>
	1.000	11.65	12/10/20	12.84	1.19 W	0.00	4 of 72 - Sale <sup>25</sup>
	3.000	34.96	12/10/20	38.52	3.56 W	0.00	5 of 72 - Sale <sup>25</sup>
	9.000	104.88	12/10/20	115.56	10.68 W	0.00	6 of 72 - Sale <sup>25</sup>
	10.000	116.53	12/10/20	128.30	11.77 W	0.00	7 of 72 - Sale <sup>25</sup>
	28.000	326.28	12/10/20	359.56	33.28 W	0.00	8 of 72 - Sale <sup>25</sup>
	49.000	570.99	12/10/20	629.23	58.24 W	0.00	9 of 72 - Sale <sup>25</sup>
	10.000	112.50	12/10/20	130.57	18.07 W	0.00	10 of 72 - Sale <sup>25</sup>
	20.000	224.99	12/10/20	260.96	35.97 W	0.00	11 of 72 - Sale <sup>25</sup>
	70.000	787.47	12/10/20	914.06	126.59 W	0.00	12 of 72 - Sale <sup>25</sup>
	5.000	56.25	12/10/20	64.30	8.05 W	0.00	13 of 72 - Sale <sup>25</sup>
	25.000	281.24	12/10/20	322.28	41.04 W	0.00	14 of 72 - Sale <sup>25</sup>
	20.000	224.99	12/10/20	256.83	31.84 W	0.00	15 of 72 - Sale <sup>25</sup>
	10.000	112.50	12/10/20	139.57	27.07 W	0.00	16 of 72 - Sale <sup>25</sup>
	20.000	224.99	12/10/20	278.97	53.98 W	0.00	17 of 72 - Sale <sup>25</sup>
	20.000	224.99	12/10/20	279.17	54.18 W	0.00	18 of 72 - Sale <sup>25</sup>
	1.000	11.25	12/10/20	13.34	2.09 W	0.00	19 of 72 - Sale <sup>25</sup>
	3.000	33.75	12/10/20	40.01	6.26 W	0.00	20 of 72 - Sale <sup>25</sup>
	9.000	101.25	12/10/20	120.03	18.78 W	0.00	21 of 72 - Sale <sup>25</sup>
	10.000	112.50	12/10/20	133.27	20.77 W	0.00	22 of 72 - Sale <sup>25</sup>
	28.000	314.99	12/10/20	373.48	58.49 W	0.00	23 of 72 - Sale <sup>25</sup>
	49.000	551.24	12/10/20	653.59	102.35 W	0.00	24 of 72 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SABRE CORPORATION COMMON STOCK / CUSIP: 78573M104 / Symbol: (cont'd)							
	50.000	562.49	12/10/20	699.42	136.93 W	0.00	25 of 72 - Sale <sup>25</sup>
	50.000	562.49	12/10/20	609.00	46.51 W	0.00	26 of 72 - Sale <sup>25</sup>
	100.000	1,124.97	12/10/20	1,218.00	93.03 W	0.00	27 of 72 - Sale <sup>25</sup>
	250.000	2,812.43	12/10/20	3,047.50	235.07 W	0.00	28 of 72 - Sale <sup>25</sup>
	10.000	110.60	12/11/20	139.97	29.37 W	0.00	29 of 72 - Sale <sup>25</sup>
	20.000	221.19	12/11/20	279.78	58.59 W	0.00	30 of 72 - Sale <sup>25</sup>
	20.000	221.19	12/11/20	279.98	58.79 W	0.00	31 of 72 - Sale <sup>25</sup>
	5.000	55.30	12/11/20	64.50	9.20 W	0.00	32 of 72 - Sale <sup>25</sup>
	20.000	221.19	12/11/20	257.64	36.45 W	0.00	33 of 72 - Sale <sup>25</sup>
	25.000	276.49	12/11/20	323.29	46.80 W	0.00	34 of 72 - Sale <sup>25</sup>
	7.000	77.42	12/11/20	93.50	16.08 W	0.00	35 of 72 - Sale <sup>25</sup>
	1.000	11.06	12/11/20	13.37	2.31 W	0.00	36 of 72 - Sale <sup>25</sup>
	3.000	33.18	12/11/20	40.09	6.91 W	0.00	37 of 72 - Sale <sup>25</sup>
	3.000	33.18	12/11/20	40.11	6.93 W	0.00	38 of 72 - Sale <sup>25</sup>
	9.000	99.54	12/11/20	120.35	20.81 W	0.00	39 of 72 - Sale <sup>25</sup>
	11.000	121.66	12/11/20	147.12	25.46 W	0.00	40 of 72 - Sale <sup>25</sup>
	28.000	309.67	12/11/20	374.47	64.80 W	0.00	41 of 72 - Sale <sup>25</sup>
	50.000	552.98	12/11/20	700.93	147.95 W	0.00	42 of 72 - Sale <sup>25</sup>
	38.000	420.26	12/11/20	508.01	87.75 W	0.00	43 of 72 - Sale <sup>25</sup>
	5.000	55.30	12/11/20	61.05	5.75 W	0.00	44 of 72 - Sale <sup>25</sup>
	45.000	497.68	12/11/20	549.01	51.33 W	0.00	45 of 72 - Sale <sup>25</sup>
	55.000	608.28	12/11/20	671.02	62.74 W	0.00	46 of 72 - Sale <sup>25</sup>
	45.000	497.68	12/11/20	548.56	50.88 W	0.00	47 of 72 - Sale <sup>25</sup>
	55.000	608.28	12/11/20	671.02	62.74 W	0.00	48 of 72 - Sale <sup>25</sup>
	100.000	1,105.96	12/11/20	1,219.03	113.07 W	0.00	49 of 72 - Sale <sup>25</sup>
	2.000	21.96	12/11/20	23.88	1.92 W	0.00	50 of 72 - Sale <sup>25</sup>
	2.000	21.96	12/11/20	23.88	1.92 W	0.00	51 of 72 - Sale <sup>25</sup>
	50.000	548.98	12/11/20	602.01	53.03 W	0.00	52 of 72 - Sale <sup>25</sup>
	9.000	98.82	12/11/20	126.18	27.36 W	0.00	53 of 72 - Sale <sup>25</sup>
	41.000	450.16	12/11/20	493.24	43.08 W	0.00	54 of 72 - Sale <sup>25</sup>
	3.000	32.94	12/11/20	42.05	9.11 W	0.00	55 of 72 - Sale <sup>25</sup>
	11.000	120.78	12/11/20	154.10	33.32 W	0.00	56 of 72 - Sale <sup>25</sup>
	3.000	32.94	12/11/20	42.06	9.12 W	0.00	57 of 72 - Sale <sup>25</sup>
	7.000	76.86	12/11/20	98.12	21.26 W	0.00	58 of 72 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SABRE CORPORATION COMMON STOCK / CUSIP: 78573M104 / Symbol: (cont'd)							
	17.000	186.65	12/11/20	238.33	51.68 W	0.00	59 of 72 - Sale <sup>25</sup>
	19.000	208.61	12/11/20	245.15	36.54 W	0.00	60 of 72 - Sale <sup>25</sup>
	2.000	21.96	12/11/20	26.77	4.81 W	0.00	61 of 72 - Sale <sup>25</sup>
	7.000	76.86	12/11/20	93.64	16.78 W	0.00	62 of 72 - Sale <sup>25</sup>
	1.000	10.98	12/11/20	12.90	1.92 W	0.00	63 of 72 - Sale <sup>25</sup>
	5.000	54.90	12/11/20	64.60	9.70 W	0.00	64 of 72 - Sale <sup>25</sup>
	25.000	274.49	12/11/20	323.80	49.31 W	0.00	65 of 72 - Sale <sup>25</sup>
	1.000	10.98	12/11/20	13.37	2.39 W	0.00	66 of 72 - Sale <sup>25</sup>
	1.000	10.98	12/11/20	13.38	2.40 W	0.00	67 of 72 - Sale <sup>25</sup>
	3.000	32.94	12/11/20	40.14	7.20 W	0.00	68 of 72 - Sale <sup>25</sup>
	9.000	98.81	12/11/20	120.44	21.63 W	0.00	69 of 72 - Sale <sup>25</sup>
	27.000	296.44	12/11/20	361.38	64.94 W	0.00	70 of 72 - Sale <sup>25</sup>
	1.000	10.98	12/11/20	13.38	2.40 W	0.00	71 of 72 - Sale <sup>25</sup>
	8.000	87.84	12/11/20	107.08	19.24 W	0.00	72 of 72 - Sale <sup>25</sup>
12/11/20	1,759.000	19,694.77	Various	22,484.92	2,790.15 W	0.00	Total of 72 transactions
10 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	100.000	1,077.01	12/14/20	1,193.07	...	-116.06	1 of 10 - Sale <sup>25</sup>
	55.000	592.35	12/14/20	657.84	...	-65.49	2 of 10 - Sale <sup>25</sup>
	50.000	538.51	12/14/20	593.03	...	-54.52	3 of 10 - Sale <sup>25</sup>
	46.000	495.42	12/14/20	549.27	...	-53.85	4 of 10 - Sale <sup>25</sup>
	45.000	484.65	12/14/20	537.78	...	-53.13	5 of 10 - Sale <sup>25</sup>
	41.000	441.57	12/14/20	485.88	...	-44.31	6 of 10 - Sale <sup>25</sup>
	9.000	96.93	12/14/20	124.56	...	-27.63	7 of 10 - Sale <sup>25</sup>
	9.000	96.93	12/14/20	107.65	...	-10.72	8 of 10 - Sale <sup>25</sup>
	2.000	21.54	12/14/20	23.52	...	-1.98	9 of 10 - Sale <sup>25</sup>
	2.000	21.54	12/14/20	23.52	...	-1.98	10 of 10 - Sale <sup>25</sup>
12/14/20	359.000	3,866.45	Various	4,296.12	...	-429.67	Total of 10 transactions
5 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	45.000	484.65	12/14/20	538.23	4.76 W	-48.82	1 of 5 - Sale <sup>25</sup>
	50.000	538.50	12/14/20	688.95	150.45 W	0.00	2 of 5 - Sale <sup>25</sup>
	3.000	32.31	12/14/20	39.40	7.09 W	0.00	3 of 5 - Sale <sup>25</sup>
	38.000	409.26	12/14/20	498.91	89.65 W	0.00	4 of 5 - Sale <sup>25</sup>
	5.000	53.85	12/14/20	59.85	6.00 W	0.00	5 of 5 - Sale <sup>25</sup>
12/14/20	141.000	1,518.57	Various	1,825.34	257.95 W	-48.82	Total of 5 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SABRE CORPORATION COMMON STOCK / CUSIP: 78573M104 / Symbol: (cont'd)							
<i>18 transactions for 12/21/20. Total proceeds and cost reported to the IRS.</i>							
	27.000	303.46	12/21/20	369.19	...	-65.73	1 of 18 - Sale <sup>25</sup>
	17.000	191.07	12/21/20	243.24	...	-52.17	2 of 18 - Sale <sup>25</sup>
	25.000	280.98	12/21/20	331.02	...	-50.04	3 of 18 - Sale <sup>25</sup>
	19.000	213.55	12/21/20	250.64	...	-37.09	4 of 18 - Sale <sup>25</sup>
	11.000	123.64	12/21/20	157.27	...	-33.63	5 of 18 - Sale <sup>25</sup>
	9.000	101.16	12/21/20	123.04	...	-21.88	6 of 18 - Sale <sup>25</sup>
	7.000	78.68	12/21/20	100.14	...	-21.46	7 of 18 - Sale <sup>25</sup>
	8.000	89.92	12/21/20	109.38	...	-19.46	8 of 18 - Sale <sup>25</sup>
	7.000	78.68	12/21/20	95.66	...	-16.98	9 of 18 - Sale <sup>25</sup>
	5.000	56.20	12/21/20	66.04	...	-9.84	10 of 18 - Sale <sup>25</sup>
	3.000	33.72	12/21/20	42.93	...	-9.21	11 of 18 - Sale <sup>25</sup>
	3.000	33.72	12/21/20	42.92	...	-9.20	12 of 18 - Sale <sup>25</sup>
	3.000	33.72	12/21/20	41.00	...	-7.28	13 of 18 - Sale <sup>25</sup>
	2.000	22.48	12/21/20	27.35	...	-4.87	14 of 18 - Sale <sup>25</sup>
	1.000	11.24	12/21/20	13.67	...	-2.43	15 of 18 - Sale <sup>25</sup>
	1.000	11.24	12/21/20	13.67	...	-2.43	16 of 18 - Sale <sup>25</sup>
	1.000	11.24	12/21/20	13.66	...	-2.42	17 of 18 - Sale <sup>25</sup>
	1.000	11.24	12/21/20	13.19	...	-1.95	18 of 18 - Sale <sup>25</sup>
12/21/20	150.000	1,685.94	Various	2,054.01	...	-368.07	Total of 18 transactions
	<b>Security total:</b>	<b>67,200.49</b>		<b>72,691.15</b>	<b>5,021.23 W</b>	<b>-469.43</b>	
SANCHEZ MIDSTREAM PARTNERS LP / CUSIP: 79971C201 / Symbol:							
04/01/20	500.000	244.94	03/31/20	223.90	...	21.04	Sale <sup>25</sup>
SEA LIMITED AMERICAN DEPOSITAR Y SHARES, EACH REPRESENTING ON E CLASS A ORDINARY SHARE / CUSIP: 81141R100 / Symbol:							
02/24/20	10.000	490.00	02/19/20	503.40	...	-13.40	Sale <sup>25</sup>
04/23/20	25.000	1,343.20	04/22/20	1,338.67	...	4.53	Sale <sup>25</sup>
<i>4 transactions for 05/27/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	159.84	05/19/20	144.14	...	15.70	1 of 4 - Sale <sup>25</sup>
	5.000	399.59	05/19/20	360.17	...	39.42	2 of 4 - Sale <sup>25</sup>
	3.000	239.75	05/21/20	220.12	...	19.63	3 of 4 - Sale <sup>25</sup>
	5.000	399.59	05/22/20	394.48	...	5.11	4 of 4 - Sale <sup>25</sup>
05/27/20	15.000	1,198.77	Various	1,118.91	...	79.86	Total of 4 transactions

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

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(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SEA LIMITED AMERICAN DEPOSITAR Y SHARES, EACH REPRESENTING ON E CLASS A ORDINARY SHARE / CUSIP: 81141R100 / Symbol: (cont'd)							
4 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	7.000	610.96	06/01/20	574.22	...	36.74	1 of 4 - Sale <sup>25</sup>
	5.000	433.75	06/02/20	423.10	...	10.65	2 of 4 - Sale <sup>25</sup>
	5.000	433.96	06/02/20	423.10	...	10.86	3 of 4 - Sale <sup>25</sup>
	8.000	698.23	06/02/20	672.34	...	25.89	4 of 4 - Sale <sup>25</sup>
06/03/20	25.000	2,176.90	Various	2,092.76	...	84.14	Total of 4 transactions
2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	10.000	909.97	06/12/20	935.75	25.78 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	455.00	06/12/20	467.87	12.87 W	0.00	2 of 2 - Sale <sup>25</sup>
06/15/20	15.000	1,364.97	Various	1,403.62	38.65 W	0.00	Total of 2 transactions
06/16/20	7.000	692.53	06/15/20	690.97	...	1.56	Sale <sup>25</sup>
2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	5.000	622.94	07/08/20	623.70	...	-0.76	1 of 2 - Sale <sup>25</sup>
	2.000	249.97	07/08/20	249.68	...	0.29	2 of 2 - Sale <sup>25</sup>
07/08/20	7.000	872.91	Various	873.38	...	-0.47	Total of 2 transactions
7 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	3.000	375.14	07/08/20	382.25	7.11 W	0.00	1 of 7 - Sale <sup>25</sup>
	2.000	250.09	07/08/20	254.83	4.74 W	0.00	2 of 7 - Sale <sup>25</sup>
	3.000	374.96	07/08/20	382.24	7.28 W	0.00	3 of 7 - Sale <sup>25</sup>
	2.000	249.38	07/08/20	254.22	4.84 W	0.00	4 of 7 - Sale <sup>25</sup>
	3.000	374.08	07/08/20	381.33	7.25 W	0.00	5 of 7 - Sale <sup>25</sup>
	3.000	374.08	07/08/20	381.50	7.42 W	0.00	6 of 7 - Sale <sup>25</sup>
	2.000	249.38	07/08/20	249.48	0.10 W	0.00	7 of 7 - Sale <sup>25</sup>
07/08/20	18.000	2,247.11	Various	2,285.85	38.74 W	0.00	Total of 7 transactions
4 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	2.000	213.39	07/23/20	222.90	9.51 W	0.00	1 of 4 - Sale <sup>25</sup>
	3.000	320.09	07/23/20	334.34	14.25 W	0.00	2 of 4 - Sale <sup>25</sup>
	3.000	320.09	07/23/20	334.51	14.42 W	0.00	3 of 4 - Sale <sup>25</sup>
	2.000	213.40	07/23/20	218.16	4.76 W	0.00	4 of 4 - Sale <sup>25</sup>
07/24/20	10.000	1,066.97	Various	1,109.91	42.94 W	0.00	Total of 4 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SEA LIMITED AMERICAN DEPOSITAR Y SHARES, EACH REPRESENTING ON E CLASS A ORDINARY SHARE / CUSIP: 81141R100 / Symbol: (cont'd)							
11 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	2.000	269.69	08/17/20	277.28	...	-7.59	1 of 11 - Sale <sup>25</sup>
	2.000	269.69	08/17/20	275.64	...	-5.95	2 of 11 - Sale <sup>25</sup>
	1.000	134.84	08/17/20	138.63	...	-3.79	3 of 11 - Sale <sup>25</sup>
	1.000	134.85	08/17/20	137.84	...	-2.99	4 of 11 - Sale <sup>25</sup>
	1.000	134.85	08/17/20	137.81	...	-2.96	5 of 11 - Sale <sup>25</sup>
	2.000	267.83	08/17/20	270.52	...	-2.69	6 of 11 - Sale <sup>25</sup>
	1.000	134.85	08/17/20	137.09	...	-2.24	7 of 11 - Sale <sup>25</sup>
	15.000	2,008.76	08/17/20	2,006.25	...	2.51	8 of 11 - Sale <sup>25</sup>
	5.000	669.59	08/17/20	666.35	...	3.24	9 of 11 - Sale <sup>25</sup>
	10.000	1,339.17	08/17/20	1,326.00	...	13.17	10 of 11 - Sale <sup>25</sup>
	25.000	3,335.92	08/17/20	3,315.00	...	20.92	11 of 11 - Sale <sup>25</sup>
08/17/20	65.000	8,700.04	Various	8,688.41	...	11.63	Total of 11 transactions
9 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	2.000	265.98	08/17/20	274.71	8.73 W	0.00	1 of 9 - Sale <sup>25</sup>
	3.000	398.98	08/17/20	412.05	13.07 W	0.00	2 of 9 - Sale <sup>25</sup>
	3.000	398.98	08/17/20	412.22	13.24 W	0.00	3 of 9 - Sale <sup>25</sup>
	2.000	265.98	08/17/20	269.96	3.98 W	0.00	4 of 9 - Sale <sup>25</sup>
	2.000	267.83	08/17/20	273.77	5.94 W	0.00	5 of 9 - Sale <sup>25</sup>
	1.000	133.92	08/17/20	136.86	2.94 W	0.00	6 of 9 - Sale <sup>25</sup>
	2.000	267.83	08/17/20	273.73	5.90 W	0.00	7 of 9 - Sale <sup>25</sup>
	1.000	133.92	08/17/20	137.68	3.76 W	0.00	8 of 9 - Sale <sup>25</sup>
	2.000	267.83	08/17/20	275.37	7.54 W	0.00	9 of 9 - Sale <sup>25</sup>
08/17/20	18.000	2,401.25	Various	2,466.35	65.10 W	0.00	Total of 9 transactions
	<b>Security total:</b>	<b>22,554.65</b>		<b>22,572.23</b>	<b>185.43 W</b>	<b>167.85</b>	
SELECTA BIOSCIENCES, INC. COMM ON STOCK / CUSIP: 816212104 / Symbol:							
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	250.000	668.75	06/16/20	682.50	...	-13.75	1 of 2 - Sale <sup>25</sup>
	75.000	200.63	06/16/20	205.40	...	-4.77	2 of 2 - Sale <sup>25</sup>
06/17/20	325.000	869.38	Various	887.90	...	-18.52	Total of 2 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol:							
3 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	400.000	298.82	03/25/20	415.96	...	-117.14	1 of 3 - Sale <sup>25</sup>
	250.000	186.77	03/25/20	256.95	...	-70.18	2 of 3 - Sale <sup>25</sup>
	350.000	261.47	03/25/20	297.50	...	-36.03	3 of 3 - Sale <sup>25</sup>
03/25/20	1,000.000	747.06	Various	970.41	...	-223.35	Total of 3 transactions
SERITAGE GROWTH PROPERTIES CLA SS A COMMON SHARES OF BENEFICI AL INTEREST, PAR VALUE \$0.01 / CUSIP: 81752R100 / Symbol:							
04/01/20	50.000	359.50	03/31/20	460.21	...	-100.71	Sale <sup>25</sup>
SERVICE PROPERTIES TRUST COMM O N STOCK / CUSIP: 81761L102 / Symbol:							
04/20/20	100.000	557.98	04/17/20	591.50	33.52 W	0.00	Sale <sup>25</sup>
04/30/20	20.000	127.60	04/29/20	146.10	18.50 W	0.00	Sale <sup>25</sup>
2 transactions for 05/11/20. Total proceeds and cost reported to the IRS.							
	20.000	121.80	05/07/20	144.21	22.41 W	0.00	1 of 2 - Sale <sup>25</sup>
	80.000	487.18	05/07/20	529.64	42.46 W	0.00	2 of 2 - Sale <sup>25</sup>
05/11/20	100.000	608.98	Various	673.85	64.87 W	0.00	Total of 2 transactions
2 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	20.000	89.10	05/13/20	119.21	30.11 W	0.00	1 of 2 - Sale <sup>25</sup>
	80.000	356.39	05/13/20	429.65	73.26 W	0.00	2 of 2 - Sale <sup>25</sup>
05/14/20	100.000	445.49	Various	548.86	103.37 W	0.00	Total of 2 transactions
2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	20.000	111.21	05/18/20	141.48	30.27 W	0.00	1 of 2 - Sale <sup>25</sup>
	55.000	305.83	05/18/20	356.62	50.79 W	0.00	2 of 2 - Sale <sup>25</sup>
05/19/20	75.000	417.04	Various	498.10	81.06 W	0.00	Total of 2 transactions
3 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	20.000	125.63	05/21/20	161.71	36.08 W	0.00	1 of 3 - Sale <sup>25</sup>
	30.000	188.44	05/21/20	224.87	36.43 W	0.00	2 of 3 - Sale <sup>25</sup>
	25.000	157.03	05/21/20	187.20	30.17 W	0.00	3 of 3 - Sale <sup>25</sup>
05/22/20	75.000	471.10	Various	573.78	102.68 W	0.00	Total of 3 transactions
4 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	20.000	223.39	06/04/20	215.48	...	7.91	1 of 4 - Sale <sup>25</sup>
	25.000	279.24	06/04/20	254.42	...	24.82	2 of 4 - Sale <sup>25</sup>
	30.000	335.09	06/04/20	305.52	...	29.57	3 of 4 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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SERVICE PROPERTIES TRUST COMMON STOCK / CUSIP: 81761L102 / Symbol: (cont'd)							
	25.000	279.24	06/04/20	247.34	...	31.90	4 of 4 - Sale <sup>25</sup>
06/10/20	100.000	1,116.96	Various	1,022.76	...	94.20	Total of 4 transactions
06/10/20	50.000	558.48	06/05/20	576.50	18.02 W	0.00	Sale <sup>25</sup>
	4 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	50.000	362.00	06/29/20	386.77	...	-24.77	1 of 4 - Sale <sup>25</sup>
	500.000	3,619.95	06/29/20	3,629.90	...	-9.95	2 of 4 - Sale <sup>25</sup>
	50.000	362.00	06/29/20	368.76	...	-6.76	3 of 4 - Sale <sup>25</sup>
	400.000	2,895.96	06/29/20	2,899.96	...	-4.00	4 of 4 - Sale <sup>25</sup>
06/29/20	1,000.000	7,239.91	Various	7,285.39	...	-45.48	Total of 4 transactions
	2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	50.000	358.00	06/29/20	382.27	24.27 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	357.99	06/29/20	364.25	6.26 W	0.00	2 of 2 - Sale <sup>25</sup>
06/29/20	100.000	715.99	Various	746.52	30.53 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>12,259.53</b>		<b>12,663.36</b>	<b>452.55 W</b>	<b>48.72</b>	
SHIFT TECHNOLOGIES, INC. CLASS A COMMON STOCK / CUSIP: 82452T107 / Symbol:							
	4 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	250.000	2,379.94	12/07/20	2,400.00	...	-20.06	1 of 4 - Sale <sup>25</sup>
	71.000	675.90	12/07/20	678.05	...	-2.15	2 of 4 - Sale <sup>25</sup>
	50.000	475.99	12/07/20	477.50	...	-1.51	3 of 4 - Sale <sup>25</sup>
	29.000	276.08	12/07/20	276.95	...	-0.87	4 of 4 - Sale <sup>25</sup>
12/07/20	400.000	3,807.91	Various	3,832.50	...	-24.59	Total of 4 transactions
12/07/20	250.000	2,379.94	12/07/20	2,412.50	13.02 W	-19.54	Sale <sup>25</sup>
	2 transactions for 12/08/20. Total proceeds and cost reported to the IRS.						
	50.000	461.14	12/07/20	479.01	...	-17.87	1 of 2 - Sale <sup>25</sup>
	50.000	461.14	12/07/20	479.01	...	-17.87	2 of 2 - Sale <sup>25</sup>
12/08/20	100.000	922.28	Various	958.02	...	-35.74	Total of 2 transactions
	<b>Security total:</b>	<b>7,110.13</b>		<b>7,203.02</b>	<b>13.02 W</b>	<b>-79.87</b>	
SHOPIFY INC. CLASS A SUBORDINATE VOTING SHARES / CUSIP: 82509L107 / Symbol:							
04/06/20	3.000	1,113.09	04/02/20	1,017.10	...	95.99	Sale <sup>25</sup>
04/07/20	3.000	1,204.47	04/06/20	1,146.83	...	57.64	Sale <sup>25</sup>
04/21/20	2.000	1,269.97	04/20/20	1,259.81	...	10.16	Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

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SHOPIFY INC. CLASS A SUBORDINA TE VOTING SHARES / CUSIP: 82509L107 / Symbol: (cont'd)							
2 transactions for 05/07/20. Total proceeds and cost reported to the IRS.							
	2.000	1,436.97	05/06/20	1,403.14	...	33.83	1 of 2 - Sale <sup>25</sup>
	3.000	2,155.45	05/06/20	2,065.56	...	89.89	2 of 2 - Sale <sup>25</sup>
05/07/20	5.000	3,592.42	Various	3,468.70	...	123.72	Total of 2 transactions
05/29/20	2.000	1,513.55	05/27/20	1,421.94	...	91.61	Sale <sup>25</sup>
06/01/20	1.000	753.07	05/27/20	710.97	...	42.10	Sale <sup>25</sup>
06/02/20	2.000	1,489.96	05/27/20	1,421.93	...	68.03	Sale <sup>25</sup>
3 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	1.000	894.14	06/18/20	851.28	...	42.86	1 of 3 - Sale <sup>25</sup>
	2.000	1,788.28	06/18/20	1,704.24	...	84.04	2 of 3 - Sale <sup>25</sup>
	2.000	1,788.29	06/19/20	1,758.00	...	30.29	3 of 3 - Sale <sup>25</sup>
06/24/20	5.000	4,470.71	Various	4,313.52	...	157.19	Total of 3 transactions
2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	2.000	1,828.44	06/25/20	1,829.33	0.89 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	1,823.85	06/25/20	1,829.33	5.48 W	0.00	2 of 2 - Sale <sup>25</sup>
06/25/20	4.000	3,652.29	Various	3,658.66	6.37 W	0.00	Total of 2 transactions
06/30/20	2.000	1,877.84	06/30/20	1,872.25	...	5.59	Sale <sup>25</sup>
3 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	1.000	972.38	07/14/20	972.34	...	0.04	1 of 3 - Sale <sup>25</sup>
	3.000	2,917.13	07/14/20	2,908.78	...	8.35	2 of 3 - Sale <sup>25</sup>
	1.000	972.38	07/14/20	960.04	...	12.34	3 of 3 - Sale <sup>25</sup>
07/14/20	5.000	4,861.89	Various	4,841.16	...	20.73	Total of 3 transactions
3 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	0.005	4.56	07/15/20	4.55	...	0.01	1 of 3 - Sale <sup>25</sup>
	0.578	552.84	07/15/20	551.17	...	1.67	2 of 3 - Sale <sup>25</sup>
	1.998	1,910.88	07/15/20	1,908.00	...	2.88	3 of 3 - Sale <sup>25</sup>
07/15/20	2.581	2,468.28	Various	2,463.72	...	4.56	Total of 3 transactions
5 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	0.144	139.71	07/14/20	140.35	0.64 W	0.00	1 of 5 - Sale <sup>25</sup>
	2.581	2,497.27	07/14/20	2,513.44	16.17 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.144	135.90	07/14/20	141.25	5.35 W	0.00	3 of 5 - Sale <sup>25</sup>
	2.265	2,131.66	07/14/20	2,205.60	73.94 W	0.00	4 of 5 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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SHOPIFY INC. CLASS A SUBORDINATE VOTING SHARES / CUSIP: 82509L107 / Symbol: (cont'd)							
	0.055	52.95	07/15/20	54.50	1.55 W	0.00	5 of 5 - Sale <sup>25</sup>
07/15/20	5.190	4,957.49	Various	5,055.14	97.65 W	0.00	Total of 5 transactions
	2 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	0.089	83.23	07/16/20	86.30	3.07 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.198	1,120.03	07/16/20	1,156.11	36.08 W	0.00	2 of 2 - Sale <sup>25</sup>
07/16/20	1.287	1,203.26	Various	1,242.41	39.15 W	0.00	Total of 2 transactions
07/21/20	0.628	597.47	07/21/20	620.49	23.02 W	0.00	Sale <sup>25</sup>
	5 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	0.055	51.39	07/23/20	53.10	1.71 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.089	82.63	07/23/20	85.96	3.33 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.218	202.47	07/23/20	211.10	8.63 W	0.00	3 of 5 - Sale <sup>25</sup>
	0.439	407.71	07/23/20	423.32	15.61 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.198	1,111.99	07/23/20	1,151.56	39.57 W	0.00	5 of 5 - Sale <sup>25</sup>
07/23/20	2.000	1,856.19	Various	1,925.04	68.85 W	0.00	Total of 5 transactions
	4 transactions for 07/24/20. Total proceeds and cost reported to the IRS.						
	1.198	1,113.26	07/24/20	1,157.63	...	-44.37	1 of 4 - Sale <sup>25</sup>
	0.439	408.17	07/24/20	425.54	...	-17.37	2 of 4 - Sale <sup>25</sup>
	0.218	202.70	07/24/20	212.20	...	-9.50	3 of 4 - Sale <sup>25</sup>
	0.591	548.71	07/24/20	551.07	...	-2.36	4 of 4 - Sale <sup>25</sup>
07/24/20	2.446	2,272.84	Various	2,346.44	...	-73.60	Total of 4 transactions
	3 transactions for 07/24/20. Total proceeds and cost reported to the IRS.						
	0.409	380.45	07/24/20	397.11	13.44 W	-3.22	1 of 3 - Sale <sup>25</sup>
	0.055	51.45	07/24/20	53.38	1.93 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.089	82.72	07/24/20	86.41	3.69 W	0.00	3 of 3 - Sale <sup>25</sup>
07/24/20	0.554	514.62	Various	536.90	19.06 W	-3.22	Total of 3 transactions
	3 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	0.330	343.63	07/29/20	361.38	...	-17.75	1 of 3 - Sale <sup>25</sup>
	0.089	92.58	07/29/20	97.44	...	-4.86	2 of 3 - Sale <sup>25</sup>
	0.055	57.58	07/29/20	60.24	...	-2.66	3 of 3 - Sale <sup>25</sup>
07/30/20	0.475	493.79	Various	519.06	...	-25.27	Total of 3 transactions
	<b>Security total:</b>	<b>40,163.20</b>		<b>39,842.07</b>	<b>254.10 W</b>	<b>575.23</b>	

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SIENTRA, INC. COMMON STOCK / CUSIP: 82621J105 / Symbol:							
03/26/20	150.000	337.48	03/25/20	356.99	...	-19.51	Sale <sup>25</sup>
SIMON PROPERTY GROUP, INC. / CUSIP: 828806109 / Symbol:							
05/19/20	8.000	455.68	05/18/20	458.93	3.25 W	0.00	Sale <sup>25</sup>
	2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.						
	8.000	464.13	05/28/20	495.82	31.69 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	116.03	05/28/20	123.14	7.11 W	0.00	2 of 2 - Sale <sup>25</sup>
05/29/20	10.000	580.16	Various	618.96	38.80 W	0.00	Total of 2 transactions
	4 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	2.000	143.80	06/02/20	135.07	...	8.73	1 of 4 - Sale <sup>25</sup>
	8.000	575.19	06/02/20	543.53	...	31.66	2 of 4 - Sale <sup>25</sup>
	10.000	718.98	06/03/20	692.62	...	26.36	3 of 4 - Sale <sup>25</sup>
	15.000	1,078.47	06/03/20	1,015.95	...	62.52	4 of 4 - Sale <sup>25</sup>
06/04/20	35.000	2,516.44	Various	2,387.17	...	129.27	Total of 4 transactions
	4 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	2.000	179.98	06/05/20	185.22	5.24 W	0.00	1 of 4 - Sale <sup>25</sup>
	2.000	179.90	06/05/20	185.22	5.32 W	0.00	2 of 4 - Sale <sup>25</sup>
	7.000	629.56	06/05/20	648.27	18.71 W	0.00	3 of 4 - Sale <sup>25</sup>
	4.000	359.64	06/05/20	370.44	10.80 W	0.00	4 of 4 - Sale <sup>25</sup>
06/09/20	15.000	1,349.08	Various	1,389.15	40.07 W	0.00	Total of 4 transactions
	4 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	7.000	503.98	06/10/20	596.56	...	-92.58	1 of 4 - Sale <sup>25</sup>
	4.000	287.99	06/10/20	341.00	...	-53.01	2 of 4 - Sale <sup>25</sup>
	2.000	144.00	06/10/20	170.42	...	-26.42	3 of 4 - Sale <sup>25</sup>
	2.000	144.00	06/10/20	170.34	...	-26.34	4 of 4 - Sale <sup>25</sup>
06/11/20	15.000	1,079.97	Various	1,278.32	...	-198.35	Total of 4 transactions
	3 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	1.000	68.45	08/24/20	68.40	...	0.05	1 of 3 - Sale <sup>25</sup>
	5.000	342.27	08/24/20	342.00	...	0.27	2 of 3 - Sale <sup>25</sup>
	494.000	33,815.96	08/24/20	33,789.60	...	26.36	3 of 3 - Sale <sup>25</sup>
08/24/20	500.000	34,226.68	Various	34,200.00	...	26.68	Total of 3 transactions
	<b>Security total:</b>	<b>40,208.01</b>		<b>40,332.53</b>	<b>82.12 W</b>	<b>-42.40</b>	

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SIMULATIONS PLUS, INC. COMMON STOCK / CUSIP: 829214105 / Symbol:							
2 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	12.000	615.95	05/28/20	571.91	...	44.04	1 of 2 - Sale <sup>25</sup>
	3.000	153.99	05/29/20	155.72	...	-1.73	2 of 2 - Sale <sup>25</sup>
06/01/20	15.000	769.94	Various	727.63	...	42.31	Total of 2 transactions
SINTX TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 829392307 / Symbol:							
03/13/20	600.000	246.05	03/12/20	372.00	125.95 W	0.00	Sale <sup>25</sup>
2 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	600.000	248.33	03/27/20	455.95	...	-207.62	1 of 2 - Sale <sup>25</sup>
	150.000	62.08	03/27/20	82.50	...	-20.42	2 of 2 - Sale <sup>25</sup>
03/30/20	750.000	310.41	Various	538.45	...	-228.04	Total of 2 transactions
07/14/20	250.000	487.62	07/13/20	507.50	...	-19.88	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,044.08</b>		<b>1,417.95</b>	<b>125.95 W</b>	<b>-247.92</b>	
SKYLINE CHAMPION CORPORATION C OMMON STOCK / CUSIP: 830830105 / Symbol:							
2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	4.000	111.66	07/22/20	111.23	...	0.43	1 of 2 - Sale <sup>25</sup>
	46.000	1,284.05	07/22/20	1,280.14	...	3.91	2 of 2 - Sale <sup>25</sup>
07/22/20	50.000	1,395.71	Various	1,391.37	...	4.34	Total of 2 transactions
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
06/19/20	25.000	829.73	06/16/20	802.95	...	26.78	Sale <sup>25</sup>
06/19/20	10.000	331.89	06/18/20	340.20	8.31 W	0.00	Sale <sup>25</sup>
2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	10.000	325.49	06/25/20	337.07	11.58 W	0.00	1 of 2 - Sale <sup>25</sup>
	65.000	2,115.69	06/25/20	2,136.94	21.25 W	0.00	2 of 2 - Sale <sup>25</sup>
06/25/20	75.000	2,441.18	Various	2,474.01	32.83 W	0.00	Total of 2 transactions
06/26/20	10.000	329.72	06/26/20	340.64	10.92 W	0.00	Sale <sup>25</sup>
2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	15.000	463.04	06/29/20	472.26	9.22 W	0.00	1 of 2 - Sale <sup>25</sup>
	35.000	1,080.43	06/29/20	1,101.70	21.27 W	0.00	2 of 2 - Sale <sup>25</sup>
06/29/20	50.000	1,543.47	Various	1,573.96	30.49 W	0.00	Total of 2 transactions
07/08/20	15.000	503.54	07/08/20	495.55	...	7.99	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol: (cont'd)							
4 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	10.000	335.69	07/08/20	338.02	2.33 W	0.00	1 of 4 - Sale <sup>25</sup>
	15.000	512.46	07/08/20	520.72	8.26 W	0.00	2 of 4 - Sale <sup>25</sup>
	5.000	170.82	07/08/20	173.54	2.72 W	0.00	3 of 4 - Sale <sup>25</sup>
	30.000	1,024.47	07/08/20	1,041.23	16.76 W	0.00	4 of 4 - Sale <sup>25</sup>
07/08/20	60.000	2,043.44	Various	2,073.51	30.07 W	0.00	Total of 4 transactions
3 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	20.000	643.78	07/14/20	653.53	...	-9.75	1 of 3 - Sale <sup>25</sup>
	15.000	482.84	07/14/20	490.03	...	-7.19	2 of 3 - Sale <sup>25</sup>
	5.000	160.95	07/14/20	163.31	...	-2.36	3 of 3 - Sale <sup>25</sup>
07/14/20	40.000	1,287.57	Various	1,306.87	...	-19.30	Total of 3 transactions
07/14/20	10.000	321.89	07/14/20	323.51	0.32 W	-1.30	Sale <sup>25</sup>
2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	10.000	289.82	07/31/20	295.49	5.67 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	57.96	07/31/20	58.32	0.36 W	0.00	2 of 2 - Sale <sup>25</sup>
07/31/20	12.000	347.78	Various	353.81	6.03 W	0.00	Total of 2 transactions
2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	5.000	149.90	08/20/20	149.88	...	0.02	1 of 2 - Sale <sup>25</sup>
	50.000	1,498.96	08/20/20	1,498.50	...	0.46	2 of 2 - Sale <sup>25</sup>
08/20/20	55.000	1,648.86	Various	1,648.38	...	0.48	Total of 2 transactions
8 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	2.000	59.96	08/20/20	61.09	1.13 W	0.00	1 of 8 - Sale <sup>25</sup>
	2.000	59.96	08/20/20	60.32	0.36 W	0.00	2 of 8 - Sale <sup>25</sup>
	8.000	239.83	08/20/20	244.38	4.55 W	0.00	3 of 8 - Sale <sup>25</sup>
	33.000	989.32	08/20/20	989.34	0.02 W	0.00	4 of 8 - Sale <sup>25</sup>
	2.000	60.09	08/20/20	60.48	0.39 W	0.00	5 of 8 - Sale <sup>25</sup>
	2.000	60.09	08/20/20	61.25	1.16 W	0.00	6 of 8 - Sale <sup>25</sup>
	8.000	240.34	08/20/20	245.03	4.69 W	0.00	7 of 8 - Sale <sup>25</sup>
	30.000	901.28	08/20/20	901.82	0.54 W	0.00	8 of 8 - Sale <sup>25</sup>
08/20/20	87.000	2,610.87	Various	2,623.71	12.84 W	0.00	Total of 8 transactions

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol: (cont'd)							
6 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	208.000	6,128.05	08/20/20	6,246.24	...	-118.19	1 of 6 - Sale <sup>25</sup>
	30.000	883.85	08/20/20	901.44	...	-17.59	2 of 6 - Sale <sup>25</sup>
	8.000	235.69	08/20/20	244.93	...	-9.24	3 of 6 - Sale <sup>25</sup>
	2.000	58.92	08/20/20	60.45	...	-1.53	4 of 6 - Sale <sup>25</sup>
	1.000	29.46	08/20/20	30.61	...	-1.15	5 of 6 - Sale <sup>25</sup>
	1.000	29.46	08/20/20	30.61	...	-1.15	6 of 6 - Sale <sup>25</sup>
08/21/20	250.000	7,365.43	Various	7,514.28	...	-148.85	Total of 6 transactions
	<b>Security total:</b>	<b>21,605.37</b>		<b>21,871.38</b>	<b>131.81 W</b>	<b>-134.20</b>	
SLEEP NUMBER CORPORATION COMMO N STOCK / CUSIP: 83125X103 / Symbol:							
5 transactions for 10/15/20. Total proceeds and cost reported to the IRS.							
	25.000	1,548.85	10/14/20	1,582.00	...	-33.15	1 of 5 - Sale <sup>25</sup>
	25.000	1,548.85	10/14/20	1,581.25	...	-32.40	2 of 5 - Sale <sup>25</sup>
	15.000	929.31	10/14/20	949.05	...	-19.74	3 of 5 - Sale <sup>25</sup>
	12.000	743.45	10/14/20	759.60	...	-16.15	4 of 5 - Sale <sup>25</sup>
	10.000	619.54	10/14/20	632.50	...	-12.96	5 of 5 - Sale <sup>25</sup>
10/15/20	87.000	5,390.00	Various	5,504.40	...	-114.40	Total of 5 transactions
SMITH & WESSON BRANDS, INC. CO MMON STOCK / CUSIP: 831754106 / Symbol:							
06/25/20	100.000	1,808.10	06/25/20	1,829.97	16.40 W	-5.47	Sale <sup>25</sup>
07/14/20	25.000	527.52	07/14/20	532.47	...	-4.95	Sale <sup>25</sup>
2 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	20.000	442.79	07/16/20	451.77	...	-8.98	1 of 2 - Sale <sup>25</sup>
	30.000	664.18	07/16/20	668.10	...	-3.92	2 of 2 - Sale <sup>25</sup>
07/16/20	50.000	1,106.97	Various	1,119.87	...	-12.90	Total of 2 transactions
	<b>Security total:</b>	<b>3,442.59</b>		<b>3,482.31</b>	<b>16.40 W</b>	<b>-23.32</b>	
SMILEDIRECTCLUB, INC. CLASS A COMMON STOCK / CUSIP: 83192H106 / Symbol:							
03/18/20	50.000	243.25	03/17/20	275.31	32.06 W	0.00	Sale <sup>25</sup>
2 transactions for 04/06/20. Total proceeds and cost reported to the IRS.							
	50.000	199.48	04/03/20	188.88	...	10.60	1 of 2 - Sale <sup>25</sup>
	50.000	199.49	04/03/20	188.75	...	10.74	2 of 2 - Sale <sup>25</sup>
04/06/20	100.000	398.97	Various	377.63	...	21.34	Total of 2 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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SMILEDIRECTCLUB, INC. CLASS A COMMON STOCK / CUSIP: 83192H106 / Symbol: (cont'd)							
04/06/20	50.000	199.49	04/03/20	220.81	21.32 W	0.00	Sale <sup>25</sup>
		2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.					
	50.000	370.00	04/30/20	408.82	...	-38.82	1 of 2 - Sale <sup>25</sup>
	50.000	369.99	04/30/20	387.50	...	-17.51	2 of 2 - Sale <sup>25</sup>
05/01/20	100.000	739.99	Various	796.32	...	-56.33	Total of 2 transactions
	<b>Security total:</b>	<b>1,581.70</b>		<b>1,670.07</b>	<b>53.38 W</b>	<b>-34.99</b>	
SNAP INC. / CUSIP: 83304A106 / Symbol:							
		2 transactions for 06/22/20. Total proceeds and cost reported to the IRS.					
	25.000	578.26	06/22/20	587.88	...	-9.62	1 of 2 - Sale <sup>25</sup>
	25.000	578.26	06/22/20	584.71	...	-6.45	2 of 2 - Sale <sup>25</sup>
06/22/20	50.000	1,156.52	Various	1,172.59	...	-16.07	Total of 2 transactions
SOFTBANK GROUP AMERICAN DEPOSITARY RECEIPTS - UNSPONSORED / CUSIP: 83404D109 / Symbol:							
03/26/20	30.000	525.58	03/25/20	551.70	...	-26.12	Sale <sup>25</sup>
SONIM TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 83548F101 / Symbol:							
04/01/20	250.000	191.22	04/01/20	249.98	...	-58.76	Sale <sup>25</sup>
SONOCO PRODUCTS COMPANY / CUSIP: 835495102 / Symbol:							
		2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.					
	5.000	253.52	06/15/20	250.20	...	3.32	1 of 2 - Sale <sup>25</sup>
	20.000	1,014.08	06/15/20	991.50	...	22.58	2 of 2 - Sale <sup>25</sup>
06/17/20	25.000	1,267.60	Various	1,241.70	...	25.90	Total of 2 transactions
SORRENTO THERAPEUTICS, INC. COMMON STOCK / CUSIP: 83587F202 / Symbol:							
		4 transactions for 05/19/20. Total proceeds and cost reported to the IRS.					
	10.000	66.30	05/15/20	73.70	7.40 W	0.00	1 of 4 - Sale <sup>25</sup>
	5.000	33.15	05/18/20	49.10	15.95 W	0.00	2 of 4 - Sale <sup>25</sup>
	5.000	33.15	05/18/20	49.10	15.95 W	0.00	3 of 4 - Sale <sup>25</sup>
	30.000	198.90	05/18/20	272.40	73.50 W	0.00	4 of 4 - Sale <sup>25</sup>
05/19/20	50.000	331.50	Various	444.30	112.80 W	0.00	Total of 4 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
3 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	5.000	24.30	06/08/20	40.63	16.33 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	24.30	06/08/20	40.62	16.32 W	0.00	2 of 3 - Sale <sup>25</sup>
	30.000	145.80	06/08/20	221.55	75.75 W	0.00	3 of 3 - Sale <sup>25</sup>
06/09/20	40.000	194.40	Various	302.80	108.40 W	0.00	Total of 3 transactions
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	50.000	245.00	06/15/20	244.94	...	0.06	1 of 2 - Sale <sup>25</sup>
	60.000	294.01	06/15/20	293.93	...	0.08	2 of 2 - Sale <sup>25</sup>
06/16/20	110.000	539.01	Various	538.87	...	0.14	Total of 2 transactions
3 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	5.000	24.50	06/15/20	40.82	16.32 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	24.50	06/15/20	40.81	16.31 W	0.00	2 of 3 - Sale <sup>25</sup>
	30.000	147.00	06/15/20	222.71	75.71 W	0.00	3 of 3 - Sale <sup>25</sup>
06/16/20	40.000	196.00	Various	304.34	108.34 W	0.00	Total of 3 transactions
6 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	5.000	25.26	06/23/20	41.92	16.66 W	0.00	1 of 6 - Sale <sup>25</sup>
	5.000	25.26	06/23/20	41.91	16.65 W	0.00	2 of 6 - Sale <sup>25</sup>
	30.000	151.53	06/23/20	229.31	77.78 W	0.00	3 of 6 - Sale <sup>25</sup>
	60.000	303.06	06/23/20	307.19	4.13 W	0.00	4 of 6 - Sale <sup>25</sup>
	50.000	252.55	06/23/20	257.00	4.45 W	0.00	5 of 6 - Sale <sup>25</sup>
	100.000	505.11	06/23/20	514.00	8.89 W	0.00	6 of 6 - Sale <sup>25</sup>
06/23/20	250.000	1,262.77	Various	1,391.33	128.56 W	0.00	Total of 6 transactions
06/24/20	60.000	332.98	06/24/20	331.13	...	1.85	Sale <sup>25</sup>
6 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	5.000	27.75	06/24/20	43.91	16.16 W	0.00	1 of 6 - Sale <sup>25</sup>
	5.000	27.75	06/24/20	43.90	16.15 W	0.00	2 of 6 - Sale <sup>25</sup>
	30.000	166.49	06/24/20	241.28	74.79 W	0.00	3 of 6 - Sale <sup>25</sup>
	50.000	277.49	06/24/20	280.89	3.40 W	0.00	4 of 6 - Sale <sup>25</sup>
	100.000	554.97	06/24/20	567.89	12.92 W	0.00	5 of 6 - Sale <sup>25</sup>
	250.000	1,387.44	06/24/20	1,397.50	10.06 W	0.00	6 of 6 - Sale <sup>25</sup>
06/24/20	440.000	2,441.89	Various	2,575.37	133.48 W	0.00	Total of 6 transactions

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
7 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	50.000	308.55	06/24/20	282.90	...	25.65	1 of 7 - Sale <sup>25</sup>
	60.000	370.26	06/24/20	335.40	...	34.86	2 of 7 - Sale <sup>25</sup>
	100.000	617.10	06/24/20	571.92	...	45.18	3 of 7 - Sale <sup>25</sup>
	100.000	617.10	06/24/20	568.02	...	49.08	4 of 7 - Sale <sup>25</sup>
	150.000	925.66	06/24/20	874.54	...	51.12	5 of 7 - Sale <sup>25</sup>
	160.000	987.22	06/24/20	926.40	...	60.82	6 of 7 - Sale <sup>25</sup>
	300.000	1,851.04	06/24/20	1,761.00	...	90.04	7 of 7 - Sale <sup>25</sup>
06/25/20	920.000	5,676.93	Various	5,320.18	...	356.75	Total of 7 transactions
7 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	5.000	30.86	06/24/20	44.11	13.25 W	0.00	1 of 7 - Sale <sup>25</sup>
	5.000	30.86	06/24/20	44.10	13.24 W	0.00	2 of 7 - Sale <sup>25</sup>
	30.000	185.13	06/24/20	242.49	57.36 W	0.00	3 of 7 - Sale <sup>25</sup>
	5.000	30.85	06/24/20	42.20	11.35 W	0.00	4 of 7 - Sale <sup>25</sup>
	5.000	30.85	06/24/20	42.19	11.34 W	0.00	5 of 7 - Sale <sup>25</sup>
	30.000	185.10	06/24/20	231.06	45.96 W	0.00	6 of 7 - Sale <sup>25</sup>
	500.000	3,085.08	06/25/20	3,164.95	79.87 W	0.00	7 of 7 - Sale <sup>25</sup>
06/25/20	580.000	3,578.73	Various	3,811.10	232.37 W	0.00	Total of 7 transactions
4 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	5.000	34.97	07/01/20	45.59	10.62 W	0.00	1 of 4 - Sale <sup>25</sup>
	5.000	34.97	07/01/20	45.58	10.61 W	0.00	2 of 4 - Sale <sup>25</sup>
	30.000	209.84	07/01/20	251.42	41.58 W	0.00	3 of 4 - Sale <sup>25</sup>
	35.000	244.81	07/01/20	245.30	0.49 W	0.00	4 of 4 - Sale <sup>25</sup>
07/06/20	75.000	524.59	Various	587.89	63.30 W	0.00	Total of 4 transactions
5 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	250.000	1,853.67	07/09/20	1,904.62	50.95 W	0.00	1 of 5 - Sale <sup>25</sup>
	25.000	191.99	07/09/20	195.87	3.88 W	0.00	2 of 5 - Sale <sup>25</sup>
	25.000	191.99	07/09/20	196.71	4.72 W	0.00	3 of 5 - Sale <sup>25</sup>
	100.000	767.97	07/09/20	786.84	18.87 W	0.00	4 of 5 - Sale <sup>25</sup>
	50.000	383.99	07/09/20	395.49	11.50 W	0.00	5 of 5 - Sale <sup>25</sup>
07/09/20	450.000	3,389.61	Various	3,479.53	89.92 W	0.00	Total of 5 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
6 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	5.000	40.25	07/10/20	51.87	11.62 W	0.00	1 of 6 - Sale <sup>25</sup>
	5.000	40.25	07/10/20	51.86	11.61 W	0.00	2 of 6 - Sale <sup>25</sup>
	25.000	201.24	07/10/20	240.90	39.66 W	0.00	3 of 6 - Sale <sup>25</sup>
	15.000	120.75	07/10/20	126.15	5.40 W	0.00	4 of 6 - Sale <sup>25</sup>
	5.000	40.25	07/10/20	48.27	8.02 W	0.00	5 of 6 - Sale <sup>25</sup>
	20.000	160.99	07/10/20	165.66	4.67 W	0.00	6 of 6 - Sale <sup>25</sup>
07/10/20	75.000	603.73	Various	684.71	80.98 W	0.00	Total of 6 transactions
07/14/20	10.000	79.15	07/14/20	78.93	...	0.22	Sale <sup>25</sup>
2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	15.000	114.75	07/14/20	115.55	0.80 W	0.00	1 of 2 - Sale <sup>25</sup>
	15.000	114.75	07/14/20	118.39	3.64 W	0.00	2 of 2 - Sale <sup>25</sup>
07/14/20	30.000	229.50	Various	233.94	4.44 W	0.00	Total of 2 transactions
4 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	4.000	26.36	07/15/20	28.24	1.88 W	0.00	1 of 4 - Sale <sup>25</sup>
	46.000	303.13	07/15/20	325.85	22.72 W	0.00	2 of 4 - Sale <sup>25</sup>
	25.000	164.74	07/15/20	176.72	11.98 W	0.00	3 of 4 - Sale <sup>25</sup>
	50.000	329.49	07/15/20	354.69	25.20 W	0.00	4 of 4 - Sale <sup>25</sup>
07/15/20	125.000	823.72	Various	885.50	61.78 W	0.00	Total of 4 transactions
3 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	15.000	117.45	07/20/20	128.46	11.01 W	0.00	1 of 3 - Sale <sup>25</sup>
	25.000	195.74	07/20/20	215.59	19.85 W	0.00	2 of 3 - Sale <sup>25</sup>
	60.000	469.79	07/20/20	516.83	47.04 W	0.00	3 of 3 - Sale <sup>25</sup>
07/21/20	100.000	782.98	Various	860.88	77.90 W	0.00	Total of 3 transactions
6 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	1.000	8.56	07/30/20	8.61	0.05 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	8.56	07/30/20	8.72	0.16 W	0.00	2 of 6 - Sale <sup>25</sup>
	4.000	34.24	07/30/20	34.86	0.62 W	0.00	3 of 6 - Sale <sup>25</sup>
	19.000	162.64	07/30/20	165.77	3.13 W	0.00	4 of 6 - Sale <sup>25</sup>
	25.000	214.00	07/30/20	218.97	4.97 W	0.00	5 of 6 - Sale <sup>25</sup>
	50.000	428.00	07/30/20	437.93	9.93 W	0.00	6 of 6 - Sale <sup>25</sup>
07/30/20	100.000	856.00	Various	874.86	18.86 W	0.00	Total of 6 transactions

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
<i>7 transactions for 07/31/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	250.00	07/31/20	259.72	9.72 W	0.00	1 of 7 - Sale <sup>25</sup>
	25.000	250.00	07/31/20	259.72	9.72 W	0.00	2 of 7 - Sale <sup>25</sup>
	50.000	498.98	07/31/20	521.50	22.52 W	0.00	3 of 7 - Sale <sup>25</sup>
	5.000	49.90	07/31/20	63.32	13.42 W	0.00	4 of 7 - Sale <sup>25</sup>
	5.000	49.90	07/31/20	63.31	13.41 W	0.00	5 of 7 - Sale <sup>25</sup>
	25.000	249.49	07/31/20	298.15	48.66 W	0.00	6 of 7 - Sale <sup>25</sup>
	15.000	149.69	07/31/20	160.50	10.81 W	0.00	7 of 7 - Sale <sup>25</sup>
07/31/20	150.000	1,497.96	Various	1,626.22	128.26 W	0.00	Total of 7 transactions
<i>8 transactions for 08/04/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	11.55	08/04/20	11.22	...	0.33	1 of 8 - Sale <sup>25</sup>
	4.000	48.04	08/04/20	46.56	...	1.48	2 of 8 - Sale <sup>25</sup>
	11.000	127.05	08/04/20	125.54	...	1.51	3 of 8 - Sale <sup>25</sup>
	4.000	48.04	08/04/20	45.65	...	2.39	4 of 8 - Sale <sup>25</sup>
	20.000	231.00	08/04/20	228.07	...	2.93	5 of 8 - Sale <sup>25</sup>
	9.000	108.09	08/04/20	104.85	...	3.24	6 of 8 - Sale <sup>25</sup>
	14.000	161.70	08/04/20	157.13	...	4.57	7 of 8 - Sale <sup>25</sup>
	31.000	372.30	08/04/20	361.58	...	10.72	8 of 8 - Sale <sup>25</sup>
08/04/20	94.000	1,107.77	Various	1,080.60	...	27.17	Total of 8 transactions
<i>15 transactions for 08/04/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	57.75	08/04/20	63.87	6.12 W	0.00	1 of 15 - Sale <sup>25</sup>
	6.000	72.06	08/04/20	73.31	1.25 W	0.00	2 of 15 - Sale <sup>25</sup>
	20.000	240.20	08/04/20	244.58	4.38 W	0.00	3 of 15 - Sale <sup>25</sup>
	25.000	300.24	08/04/20	305.11	4.87 W	0.00	4 of 15 - Sale <sup>25</sup>
	6.000	72.06	08/04/20	77.00	4.94 W	0.00	5 of 15 - Sale <sup>25</sup>
	15.000	180.15	08/04/20	191.61	11.46 W	0.00	6 of 15 - Sale <sup>25</sup>
	30.000	360.29	08/04/20	376.32	16.03 W	0.00	7 of 15 - Sale <sup>25</sup>
	19.000	227.25	08/04/20	242.10	14.85 W	0.00	8 of 15 - Sale <sup>25</sup>
	60.000	717.64	08/04/20	763.92	46.28 W	0.00	9 of 15 - Sale <sup>25</sup>
	1.000	11.96	08/04/20	12.00	0.04 W	0.00	10 of 15 - Sale <sup>25</sup>
	1.000	11.96	08/04/20	12.11	0.15 W	0.00	11 of 15 - Sale <sup>25</sup>
	4.000	47.84	08/04/20	48.41	0.57 W	0.00	12 of 15 - Sale <sup>25</sup>
	19.000	227.25	08/04/20	230.14	2.89 W	0.00	13 of 15 - Sale <sup>25</sup>

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Account 527125207

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

Table with columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), 7- Gain or loss(-) & Loss not allowed (X) also not reported (Z), Additional information. Rows include transactions for SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd) with dates 08/04/20 and 08/05/20.

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
15.000		200.25	08/06/20	220.82	20.57 W	0.00	25 of 71 - Sale <sup>25</sup>
20.000		266.99	08/06/20	284.39	17.40 W	0.00	26 of 71 - Sale <sup>25</sup>
25.000		333.74	08/06/20	354.88	21.14 W	0.00	27 of 71 - Sale <sup>25</sup>
29.000		387.14	08/06/20	419.07	31.93 W	0.00	28 of 71 - Sale <sup>25</sup>
30.000		400.49	08/06/20	436.03	35.54 W	0.00	29 of 71 - Sale <sup>25</sup>
1.000		13.35	08/06/20	13.46	0.11 W	0.00	30 of 71 - Sale <sup>25</sup>
1.000		13.35	08/06/20	13.57	0.22 W	0.00	31 of 71 - Sale <sup>25</sup>
4.000		53.40	08/06/20	54.25	0.85 W	0.00	32 of 71 - Sale <sup>25</sup>
19.000		253.64	08/06/20	257.87	4.23 W	0.00	33 of 71 - Sale <sup>25</sup>
21.000		280.34	08/06/20	285.72	5.38 W	0.00	34 of 71 - Sale <sup>25</sup>
21.000		280.34	08/06/20	302.84	22.50 W	0.00	35 of 71 - Sale <sup>25</sup>
25.000		333.74	08/06/20	340.15	6.41 W	0.00	36 of 71 - Sale <sup>25</sup>
25.000		333.74	08/06/20	358.98	25.24 W	0.00	37 of 71 - Sale <sup>25</sup>
25.000		333.74	08/06/20	358.98	25.24 W	0.00	38 of 71 - Sale <sup>25</sup>
29.000		387.14	08/06/20	410.90	23.76 W	0.00	39 of 71 - Sale <sup>25</sup>
64.000		854.38	08/06/20	858.88	4.50 W	0.00	40 of 71 - Sale <sup>25</sup>
150.000		2,002.46	08/06/20	2,037.00	34.54 W	0.00	41 of 71 - Sale <sup>25</sup>
5.000		66.55	08/06/20	83.91	17.36 W	0.00	42 of 71 - Sale <sup>25</sup>
5.000		66.55	08/06/20	83.90	17.35 W	0.00	43 of 71 - Sale <sup>25</sup>
5.000		66.55	08/06/20	76.61	10.06 W	0.00	44 of 71 - Sale <sup>25</sup>
25.000		332.74	08/06/20	401.15	68.41 W	0.00	45 of 71 - Sale <sup>25</sup>
6.000		79.86	08/06/20	89.53	9.67 W	0.00	46 of 71 - Sale <sup>25</sup>
15.000		199.64	08/06/20	222.96	23.32 W	0.00	47 of 71 - Sale <sup>25</sup>
19.000		252.88	08/06/20	282.75	29.87 W	0.00	48 of 71 - Sale <sup>25</sup>
45.000		598.93	08/06/20	669.17	70.24 W	0.00	49 of 71 - Sale <sup>25</sup>
6.000		79.86	08/06/20	85.84	5.98 W	0.00	50 of 71 - Sale <sup>25</sup>
10.000		133.10	08/06/20	142.95	9.85 W	0.00	51 of 71 - Sale <sup>25</sup>
15.000		199.64	08/06/20	214.36	14.72 W	0.00	52 of 71 - Sale <sup>25</sup>
15.000		199.64	08/06/20	222.30	22.66 W	0.00	53 of 71 - Sale <sup>25</sup>
29.000		385.98	08/06/20	421.95	35.97 W	0.00	54 of 71 - Sale <sup>25</sup>
10.000		133.10	08/06/20	143.50	10.40 W	0.00	55 of 71 - Sale <sup>25</sup>
15.000		199.64	08/06/20	214.88	15.24 W	0.00	56 of 71 - Sale <sup>25</sup>
10.000		133.10	08/06/20	144.60	11.50 W	0.00	57 of 71 - Sale <sup>25</sup>
30.000		399.29	08/06/20	443.24	43.95 W	0.00	58 of 71 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	13.31	08/06/20	13.70	0.39 W	0.00	59 of 71 - Sale <sup>25</sup>
	1.000	13.31	08/06/20	13.81	0.50 W	0.00	60 of 71 - Sale <sup>25</sup>
	4.000	53.24	08/06/20	55.21	1.97 W	0.00	61 of 71 - Sale <sup>25</sup>
	4.000	53.24	08/06/20	55.25	2.01 W	0.00	62 of 71 - Sale <sup>25</sup>
	14.000	186.33	08/06/20	202.64	16.31 W	0.00	63 of 71 - Sale <sup>25</sup>
	15.000	199.64	08/06/20	208.17	8.53 W	0.00	64 of 71 - Sale <sup>25</sup>
	21.000	279.50	08/06/20	292.13	12.63 W	0.00	65 of 71 - Sale <sup>25</sup>
	25.000	332.74	08/06/20	347.79	15.05 W	0.00	66 of 71 - Sale <sup>25</sup>
	15.000	199.64	08/06/20	216.74	17.10 W	0.00	67 of 71 - Sale <sup>25</sup>
	21.000	279.50	08/06/20	308.73	29.23 W	0.00	68 of 71 - Sale <sup>25</sup>
	25.000	332.74	08/06/20	365.99	33.25 W	0.00	69 of 71 - Sale <sup>25</sup>
	25.000	332.74	08/06/20	365.99	33.25 W	0.00	70 of 71 - Sale <sup>25</sup>
	64.000	851.81	08/06/20	876.82	25.01 W	0.00	71 of 71 - Sale <sup>25</sup>
08/06/20	1,400.000	18,624.49	Various	20,105.56	1,481.07 W	0.00	Total of 71 transactions
	78 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	50.000	807.99	08/10/20	876.01	68.02 W	0.00	1 of 78 - Sale <sup>25</sup>
	50.000	807.99	08/10/20	877.52	69.53 W	0.00	2 of 78 - Sale <sup>25</sup>
	50.000	807.98	08/10/20	886.01	78.03 W	0.00	3 of 78 - Sale <sup>25</sup>
	5.000	80.80	08/10/20	110.36	29.56 W	0.00	4 of 78 - Sale <sup>25</sup>
	5.000	80.80	08/10/20	110.35	29.55 W	0.00	5 of 78 - Sale <sup>25</sup>
	5.000	80.80	08/10/20	103.06	22.26 W	0.00	6 of 78 - Sale <sup>25</sup>
	25.000	403.99	08/10/20	533.41	129.42 W	0.00	7 of 78 - Sale <sup>25</sup>
	6.000	96.96	08/10/20	121.27	24.31 W	0.00	8 of 78 - Sale <sup>25</sup>
	15.000	242.40	08/10/20	302.32	59.92 W	0.00	9 of 78 - Sale <sup>25</sup>
	19.000	307.03	08/10/20	383.27	76.24 W	0.00	10 of 78 - Sale <sup>25</sup>
	20.000	323.19	08/10/20	403.22	80.03 W	0.00	11 of 78 - Sale <sup>25</sup>
	25.000	403.99	08/10/20	509.52	105.53 W	0.00	12 of 78 - Sale <sup>25</sup>
	6.000	96.96	08/10/20	118.90	21.94 W	0.00	13 of 78 - Sale <sup>25</sup>
	10.000	161.59	08/10/20	198.05	36.46 W	0.00	14 of 78 - Sale <sup>25</sup>
	15.000	242.40	08/10/20	297.02	54.62 W	0.00	15 of 78 - Sale <sup>25</sup>
	15.000	242.40	08/10/20	304.96	62.56 W	0.00	16 of 78 - Sale <sup>25</sup>
	29.000	468.63	08/10/20	581.75	113.12 W	0.00	17 of 78 - Sale <sup>25</sup>
	4.000	59.40	08/11/20	62.26	2.86 W	0.00	18 of 78 - Sale <sup>25</sup>
	10.000	148.50	08/11/20	158.66	10.16 W	0.00	19 of 78 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
1.000		14.85	08/11/20	15.87	1.02 W	0.00	20 of 78 - Sale <sup>25</sup>
10.000		148.50	08/11/20	158.90	10.40 W	0.00	21 of 78 - Sale <sup>25</sup>
10.000		148.50	08/11/20	160.00	11.50 W	0.00	22 of 78 - Sale <sup>25</sup>
29.000		430.64	08/11/20	473.14	42.50 W	0.00	23 of 78 - Sale <sup>25</sup>
1.000		14.85	08/11/20	16.55	1.70 W	0.00	24 of 78 - Sale <sup>25</sup>
1.000		14.85	08/11/20	15.48	0.63 W	0.00	25 of 78 - Sale <sup>25</sup>
1.000		14.85	08/11/20	15.59	0.74 W	0.00	26 of 78 - Sale <sup>25</sup>
4.000		59.40	08/11/20	62.33	2.93 W	0.00	27 of 78 - Sale <sup>25</sup>
4.000		59.40	08/11/20	62.37	2.97 W	0.00	28 of 78 - Sale <sup>25</sup>
14.000		207.89	08/11/20	227.57	19.68 W	0.00	29 of 78 - Sale <sup>25</sup>
15.000		222.74	08/11/20	234.88	12.14 W	0.00	30 of 78 - Sale <sup>25</sup>
15.000		222.74	08/11/20	243.45	20.71 W	0.00	31 of 78 - Sale <sup>25</sup>
21.000		311.84	08/11/20	329.52	17.68 W	0.00	32 of 78 - Sale <sup>25</sup>
21.000		311.84	08/11/20	346.12	34.28 W	0.00	33 of 78 - Sale <sup>25</sup>
25.000		371.24	08/11/20	392.30	21.06 W	0.00	34 of 78 - Sale <sup>25</sup>
25.000		371.24	08/11/20	410.50	39.26 W	0.00	35 of 78 - Sale <sup>25</sup>
25.000		371.24	08/11/20	410.50	39.26 W	0.00	36 of 78 - Sale <sup>25</sup>
48.000		712.78	08/11/20	743.08	30.30 W	0.00	37 of 78 - Sale <sup>25</sup>
16.000		237.59	08/11/20	249.45	11.86 W	0.00	38 of 78 - Sale <sup>25</sup>
50.000		742.48	08/11/20	831.02	88.54 W	0.00	39 of 78 - Sale <sup>25</sup>
50.000		742.47	08/11/20	832.53	90.06 W	0.00	40 of 78 - Sale <sup>25</sup>
5.000		74.25	08/11/20	105.86	31.61 W	0.00	41 of 78 - Sale <sup>25</sup>
5.000		74.25	08/11/20	105.85	31.60 W	0.00	42 of 78 - Sale <sup>25</sup>
5.000		74.25	08/11/20	98.56	24.31 W	0.00	43 of 78 - Sale <sup>25</sup>
25.000		371.24	08/11/20	510.92	139.68 W	0.00	44 of 78 - Sale <sup>25</sup>
6.000		89.10	08/11/20	115.87	26.77 W	0.00	45 of 78 - Sale <sup>25</sup>
15.000		222.74	08/11/20	288.82	66.08 W	0.00	46 of 78 - Sale <sup>25</sup>
19.000		282.14	08/11/20	366.18	84.04 W	0.00	47 of 78 - Sale <sup>25</sup>
20.000		296.99	08/11/20	385.23	88.24 W	0.00	48 of 78 - Sale <sup>25</sup>
25.000		371.24	08/11/20	487.03	115.79 W	0.00	49 of 78 - Sale <sup>25</sup>
6.000		89.10	08/11/20	113.50	24.40 W	0.00	50 of 78 - Sale <sup>25</sup>
10.000		148.49	08/11/20	189.06	40.57 W	0.00	51 of 78 - Sale <sup>25</sup>
15.000		222.74	08/11/20	283.52	60.78 W	0.00	52 of 78 - Sale <sup>25</sup>
15.000		222.74	08/11/20	291.46	68.72 W	0.00	53 of 78 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	29.000	430.63	08/11/20	555.66	125.03 W	0.00	54 of 78 - Sale <sup>25</sup>
	50.000	742.48	08/11/20	841.03	98.55 W	0.00	55 of 78 - Sale <sup>25</sup>
	50.000	742.47	08/11/20	763.00	20.53 W	0.00	56 of 78 - Sale <sup>25</sup>
	7.000	103.95	08/11/20	106.82	2.87 W	0.00	57 of 78 - Sale <sup>25</sup>
	1.000	14.85	08/11/20	16.28	1.43 W	0.00	58 of 78 - Sale <sup>25</sup>
	1.000	14.85	08/11/20	16.96	2.11 W	0.00	59 of 78 - Sale <sup>25</sup>
	4.000	59.40	08/11/20	63.90	4.50 W	0.00	60 of 78 - Sale <sup>25</sup>
	10.000	148.50	08/11/20	162.76	14.26 W	0.00	61 of 78 - Sale <sup>25</sup>
	10.000	148.50	08/11/20	163.00	14.50 W	0.00	62 of 78 - Sale <sup>25</sup>
	10.000	148.50	08/11/20	164.10	15.60 W	0.00	63 of 78 - Sale <sup>25</sup>
	29.000	430.64	08/11/20	485.04	54.40 W	0.00	64 of 78 - Sale <sup>25</sup>
	1.000	14.85	08/11/20	15.89	1.04 W	0.00	65 of 78 - Sale <sup>25</sup>
	1.000	14.85	08/11/20	16.00	1.15 W	0.00	66 of 78 - Sale <sup>25</sup>
	4.000	59.40	08/11/20	63.97	4.57 W	0.00	67 of 78 - Sale <sup>25</sup>
	4.000	59.40	08/11/20	64.01	4.61 W	0.00	68 of 78 - Sale <sup>25</sup>
	9.000	133.64	08/11/20	144.01	10.37 W	0.00	69 of 78 - Sale <sup>25</sup>
	14.000	207.89	08/11/20	233.32	25.43 W	0.00	70 of 78 - Sale <sup>25</sup>
	15.000	222.74	08/11/20	241.04	18.30 W	0.00	71 of 78 - Sale <sup>25</sup>
	15.000	222.74	08/11/20	249.61	26.87 W	0.00	72 of 78 - Sale <sup>25</sup>
	21.000	311.84	08/11/20	338.14	26.30 W	0.00	73 of 78 - Sale <sup>25</sup>
	21.000	311.84	08/11/20	354.74	42.90 W	0.00	74 of 78 - Sale <sup>25</sup>
	25.000	371.24	08/11/20	402.56	31.32 W	0.00	75 of 78 - Sale <sup>25</sup>
	25.000	371.24	08/11/20	420.76	49.52 W	0.00	76 of 78 - Sale <sup>25</sup>
	25.000	371.24	08/11/20	420.76	49.52 W	0.00	77 of 78 - Sale <sup>25</sup>
	48.000	712.77	08/11/20	762.78	50.01 W	0.00	78 of 78 - Sale <sup>25</sup>
08/11/20	1,350.000	20,505.45	Various	23,577.07	3,071.62 W	0.00	Total of 78 transactions
	10 transactions for 08/14/20. Total proceeds and cost reported to the IRS.						
	5.000	61.50	08/14/20	94.26	32.76 W	0.00	1 of 10 - Sale <sup>25</sup>
	5.000	61.50	08/14/20	94.25	32.75 W	0.00	2 of 10 - Sale <sup>25</sup>
	5.000	61.50	08/14/20	86.96	25.46 W	0.00	3 of 10 - Sale <sup>25</sup>
	25.000	307.52	08/14/20	452.93	145.41 W	0.00	4 of 10 - Sale <sup>25</sup>
	6.000	73.80	08/14/20	101.95	28.15 W	0.00	5 of 10 - Sale <sup>25</sup>
	12.000	147.61	08/14/20	203.44	55.83 W	0.00	6 of 10 - Sale <sup>25</sup>
	15.000	184.51	08/14/20	254.03	69.52 W	0.00	7 of 10 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	50.000	615.03	08/14/20	715.04	100.01 W	0.00	8 of 10 - Sale <sup>25</sup>
	50.000	615.04	08/14/20	716.56	101.52 W	0.00	9 of 10 - Sale <sup>25</sup>
	7.000	86.10	08/14/20	92.90	6.80 W	0.00	10 of 10 - Sale <sup>25</sup>
08/14/20	180.000	2,214.11	Various	2,812.32	598.21 W	0.00	Total of 10 transactions
	2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.						
	50.000	599.98	08/17/20	595.00	...	4.98	1 of 2 - Sale <sup>25</sup>
	900.000	10,799.63	08/17/20	10,620.00	...	179.63	2 of 2 - Sale <sup>25</sup>
08/17/20	950.000	11,399.61	Various	11,215.00	...	184.61	Total of 2 transactions
	208 transactions for 08/17/20. Total proceeds and cost reported to the IRS.						
	7.000	78.68	08/17/20	110.41	31.73 W	0.00	1 of 208 - Sale <sup>25</sup>
	20.000	224.79	08/17/20	315.24	90.45 W	0.00	2 of 208 - Sale <sup>25</sup>
	25.000	280.99	08/17/20	399.54	118.55 W	0.00	3 of 208 - Sale <sup>25</sup>
	4.000	44.96	08/17/20	63.73	18.77 W	0.00	4 of 208 - Sale <sup>25</sup>
	15.000	168.60	08/17/20	231.03	62.43 W	0.00	5 of 208 - Sale <sup>25</sup>
	29.000	325.95	08/17/20	454.18	128.23 W	0.00	6 of 208 - Sale <sup>25</sup>
	5.000	56.20	08/17/20	89.56	33.36 W	0.00	7 of 208 - Sale <sup>25</sup>
	5.000	56.20	08/17/20	89.55	33.35 W	0.00	8 of 208 - Sale <sup>25</sup>
	5.000	56.20	08/17/20	82.26	26.06 W	0.00	9 of 208 - Sale <sup>25</sup>
	25.000	280.99	08/17/20	429.41	148.42 W	0.00	10 of 208 - Sale <sup>25</sup>
	6.000	67.44	08/17/20	96.31	28.87 W	0.00	11 of 208 - Sale <sup>25</sup>
	12.000	134.88	08/17/20	192.15	57.27 W	0.00	12 of 208 - Sale <sup>25</sup>
	15.000	168.60	08/17/20	239.92	71.32 W	0.00	13 of 208 - Sale <sup>25</sup>
	6.000	67.44	08/17/20	92.56	25.12 W	0.00	14 of 208 - Sale <sup>25</sup>
	10.000	112.40	08/17/20	154.17	41.77 W	0.00	15 of 208 - Sale <sup>25</sup>
	11.000	123.64	08/17/20	175.35	51.71 W	0.00	16 of 208 - Sale <sup>25</sup>
	1.000	11.24	08/17/20	12.79	1.55 W	0.00	17 of 208 - Sale <sup>25</sup>
	1.000	11.24	08/17/20	13.47	2.23 W	0.00	18 of 208 - Sale <sup>25</sup>
	4.000	44.96	08/17/20	49.94	4.98 W	0.00	19 of 208 - Sale <sup>25</sup>
	10.000	112.40	08/17/20	127.86	15.46 W	0.00	20 of 208 - Sale <sup>25</sup>
	10.000	112.40	08/17/20	128.10	15.70 W	0.00	21 of 208 - Sale <sup>25</sup>
	10.000	112.40	08/17/20	129.20	16.80 W	0.00	22 of 208 - Sale <sup>25</sup>
	29.000	325.95	08/17/20	383.84	57.89 W	0.00	23 of 208 - Sale <sup>25</sup>
	50.000	561.99	08/17/20	666.55	104.56 W	0.00	24 of 208 - Sale <sup>25</sup>
	50.000	561.98	08/17/20	668.00	106.02 W	0.00	25 of 208 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	50.000	561.99	08/17/20	669.51	107.52 W	0.00	26 of 208 - Sale <sup>25</sup>
	1.000	11.24	08/17/20	12.40	1.16 W	0.00	27 of 208 - Sale <sup>25</sup>
	1.000	11.24	08/17/20	12.51	1.27 W	0.00	28 of 208 - Sale <sup>25</sup>
	4.000	44.96	08/17/20	50.01	5.05 W	0.00	29 of 208 - Sale <sup>25</sup>
	4.000	44.96	08/17/20	50.05	5.09 W	0.00	30 of 208 - Sale <sup>25</sup>
	7.000	78.68	08/17/20	82.39	3.71 W	0.00	31 of 208 - Sale <sup>25</sup>
	7.000	78.68	08/17/20	86.32	7.64 W	0.00	32 of 208 - Sale <sup>25</sup>
	9.000	101.16	08/17/20	112.61	11.45 W	0.00	33 of 208 - Sale <sup>25</sup>
	14.000	157.36	08/17/20	184.47	27.11 W	0.00	34 of 208 - Sale <sup>25</sup>
	15.000	168.60	08/17/20	188.70	20.10 W	0.00	35 of 208 - Sale <sup>25</sup>
	15.000	168.60	08/17/20	197.27	28.67 W	0.00	36 of 208 - Sale <sup>25</sup>
	21.000	236.03	08/17/20	264.86	28.83 W	0.00	37 of 208 - Sale <sup>25</sup>
	21.000	236.03	08/17/20	281.46	45.43 W	0.00	38 of 208 - Sale <sup>25</sup>
	25.000	280.99	08/17/20	315.32	34.33 W	0.00	39 of 208 - Sale <sup>25</sup>
	25.000	280.99	08/17/20	333.52	52.53 W	0.00	40 of 208 - Sale <sup>25</sup>
	25.000	280.99	08/17/20	333.52	52.53 W	0.00	41 of 208 - Sale <sup>25</sup>
	48.000	539.51	08/17/20	595.28	55.77 W	0.00	42 of 208 - Sale <sup>25</sup>
	50.000	561.98	08/17/20	588.52	26.54 W	0.00	43 of 208 - Sale <sup>25</sup>
	243.000	2,731.24	08/17/20	2,760.44	29.20 W	0.00	44 of 208 - Sale <sup>25</sup>
	5.000	58.20	08/17/20	92.36	34.16 W	0.00	45 of 208 - Sale <sup>25</sup>
	5.000	58.20	08/17/20	92.35	34.15 W	0.00	46 of 208 - Sale <sup>25</sup>
	5.000	58.20	08/17/20	85.06	26.86 W	0.00	47 of 208 - Sale <sup>25</sup>
	25.000	290.99	08/17/20	443.42	152.43 W	0.00	48 of 208 - Sale <sup>25</sup>
	6.000	69.84	08/17/20	99.67	29.83 W	0.00	49 of 208 - Sale <sup>25</sup>
	7.000	81.48	08/17/20	114.33	32.85 W	0.00	50 of 208 - Sale <sup>25</sup>
	12.000	139.67	08/17/20	198.87	59.20 W	0.00	51 of 208 - Sale <sup>25</sup>
	15.000	174.59	08/17/20	248.32	73.73 W	0.00	52 of 208 - Sale <sup>25</sup>
	20.000	232.79	08/17/20	326.45	93.66 W	0.00	53 of 208 - Sale <sup>25</sup>
	25.000	290.99	08/17/20	413.55	122.56 W	0.00	54 of 208 - Sale <sup>25</sup>
	4.000	46.56	08/17/20	65.97	19.41 W	0.00	55 of 208 - Sale <sup>25</sup>
	6.000	69.84	08/17/20	95.92	26.08 W	0.00	56 of 208 - Sale <sup>25</sup>
	10.000	116.40	08/17/20	159.77	43.37 W	0.00	57 of 208 - Sale <sup>25</sup>
	11.000	128.04	08/17/20	181.51	53.47 W	0.00	58 of 208 - Sale <sup>25</sup>
	15.000	174.59	08/17/20	239.43	64.84 W	0.00	59 of 208 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
29.000		337.55	08/17/20	470.43	132.88 W	0.00	60 of 208 - Sale <sup>25</sup>
1.000		11.64	08/17/20	13.35	1.71 W	0.00	61 of 208 - Sale <sup>25</sup>
4.000		46.56	08/17/20	52.18	5.62 W	0.00	62 of 208 - Sale <sup>25</sup>
10.000		116.40	08/17/20	133.46	17.06 W	0.00	63 of 208 - Sale <sup>25</sup>
10.000		116.39	08/17/20	133.70	17.31 W	0.00	64 of 208 - Sale <sup>25</sup>
50.000		581.97	08/17/20	694.56	112.59 W	0.00	65 of 208 - Sale <sup>25</sup>
2.000		23.16	08/17/20	37.06	13.90 W	0.00	66 of 208 - Sale <sup>25</sup>
3.000		34.74	08/17/20	55.60	20.86 W	0.00	67 of 208 - Sale <sup>25</sup>
5.000		57.90	08/17/20	92.65	34.75 W	0.00	68 of 208 - Sale <sup>25</sup>
5.000		57.90	08/17/20	85.36	27.46 W	0.00	69 of 208 - Sale <sup>25</sup>
25.000		289.49	08/17/20	444.93	155.44 W	0.00	70 of 208 - Sale <sup>25</sup>
7.000		81.06	08/17/20	114.75	33.69 W	0.00	71 of 208 - Sale <sup>25</sup>
10.000		115.79	08/17/20	166.15	50.36 W	0.00	72 of 208 - Sale <sup>25</sup>
20.000		231.59	08/17/20	327.66	96.07 W	0.00	73 of 208 - Sale <sup>25</sup>
25.000		289.49	08/17/20	415.06	125.57 W	0.00	74 of 208 - Sale <sup>25</sup>
6.000		69.48	08/17/20	100.03	30.55 W	0.00	75 of 208 - Sale <sup>25</sup>
12.000		138.96	08/17/20	199.60	60.64 W	0.00	76 of 208 - Sale <sup>25</sup>
5.000		57.90	08/17/20	83.08	25.18 W	0.00	77 of 208 - Sale <sup>25</sup>
4.000		46.32	08/17/20	66.21	19.89 W	0.00	78 of 208 - Sale <sup>25</sup>
6.000		69.48	08/17/20	96.28	26.80 W	0.00	79 of 208 - Sale <sup>25</sup>
10.000		115.80	08/17/20	160.37	44.57 W	0.00	80 of 208 - Sale <sup>25</sup>
11.000		127.38	08/17/20	182.17	54.79 W	0.00	81 of 208 - Sale <sup>25</sup>
15.000		173.69	08/17/20	240.34	66.65 W	0.00	82 of 208 - Sale <sup>25</sup>
29.000		335.81	08/17/20	472.17	136.36 W	0.00	83 of 208 - Sale <sup>25</sup>
1.000		11.58	08/17/20	13.93	2.35 W	0.00	84 of 208 - Sale <sup>25</sup>
1.000		11.58	08/17/20	13.41	1.83 W	0.00	85 of 208 - Sale <sup>25</sup>
4.000		46.32	08/17/20	52.42	6.10 W	0.00	86 of 208 - Sale <sup>25</sup>
10.000		115.80	08/17/20	133.80	18.00 W	0.00	87 of 208 - Sale <sup>25</sup>
10.000		115.80	08/17/20	134.06	18.26 W	0.00	88 of 208 - Sale <sup>25</sup>
10.000		115.79	08/17/20	134.31	18.52 W	0.00	89 of 208 - Sale <sup>25</sup>
29.000		335.81	08/17/20	397.19	61.38 W	0.00	90 of 208 - Sale <sup>25</sup>
50.000		578.98	08/17/20	691.01	112.03 W	0.00	91 of 208 - Sale <sup>25</sup>
50.000		578.98	08/17/20	692.52	113.54 W	0.00	92 of 208 - Sale <sup>25</sup>
50.000		578.98	08/17/20	697.58	118.60 W	0.00	93 of 208 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	11.58	08/17/20	12.86	1.28 W	0.00	94 of 208 - Sale <sup>25</sup>
	1.000	11.58	08/17/20	12.97	1.39 W	0.00	95 of 208 - Sale <sup>25</sup>
	4.000	46.32	08/17/20	51.85	5.53 W	0.00	96 of 208 - Sale <sup>25</sup>
	4.000	46.32	08/17/20	51.89	5.57 W	0.00	97 of 208 - Sale <sup>25</sup>
	7.000	81.06	08/17/20	85.61	4.55 W	0.00	98 of 208 - Sale <sup>25</sup>
	14.000	162.11	08/17/20	190.91	28.80 W	0.00	99 of 208 - Sale <sup>25</sup>
	15.000	173.69	08/17/20	195.60	21.91 W	0.00	100 of 208 - Sale <sup>25</sup>
	15.000	173.69	08/17/20	204.17	30.48 W	0.00	101 of 208 - Sale <sup>25</sup>
	21.000	243.17	08/17/20	274.53	31.36 W	0.00	102 of 208 - Sale <sup>25</sup>
	25.000	289.49	08/17/20	326.83	37.34 W	0.00	103 of 208 - Sale <sup>25</sup>
	25.000	289.48	08/17/20	345.03	55.55 W	0.00	104 of 208 - Sale <sup>25</sup>
	5.000	57.90	08/17/20	69.01	11.11 W	0.00	105 of 208 - Sale <sup>25</sup>
	50.000	578.98	08/17/20	611.53	32.55 W	0.00	106 of 208 - Sale <sup>25</sup>
	1.000	11.58	08/17/20	13.80	2.22 W	0.00	107 of 208 - Sale <sup>25</sup>
	7.000	81.06	08/17/20	89.54	8.48 W	0.00	108 of 208 - Sale <sup>25</sup>
	9.000	104.22	08/17/20	116.75	12.53 W	0.00	109 of 208 - Sale <sup>25</sup>
	21.000	243.17	08/17/20	291.13	47.96 W	0.00	110 of 208 - Sale <sup>25</sup>
	19.000	220.01	08/17/20	262.22	42.21 W	0.00	111 of 208 - Sale <sup>25</sup>
	48.000	555.82	08/17/20	617.36	61.54 W	0.00	112 of 208 - Sale <sup>25</sup>
	243.000	2,813.84	08/17/20	2,872.27	58.43 W	0.00	113 of 208 - Sale <sup>25</sup>
	50.000	578.98	08/17/20	584.98	6.00 W	0.00	114 of 208 - Sale <sup>25</sup>
	2.000	24.00	08/17/20	37.46	13.46 W	0.00	115 of 208 - Sale <sup>25</sup>
	3.000	36.00	08/17/20	56.20	20.20 W	0.00	116 of 208 - Sale <sup>25</sup>
	5.000	60.00	08/17/20	93.65	33.65 W	0.00	117 of 208 - Sale <sup>25</sup>
	5.000	60.00	08/17/20	86.36	26.36 W	0.00	118 of 208 - Sale <sup>25</sup>
	25.000	299.99	08/17/20	449.94	149.95 W	0.00	119 of 208 - Sale <sup>25</sup>
	5.000	60.00	08/17/20	84.08	24.08 W	0.00	120 of 208 - Sale <sup>25</sup>
	6.000	72.00	08/17/20	101.23	29.23 W	0.00	121 of 208 - Sale <sup>25</sup>
	7.000	84.00	08/17/20	116.15	32.15 W	0.00	122 of 208 - Sale <sup>25</sup>
	10.000	120.00	08/17/20	168.16	48.16 W	0.00	123 of 208 - Sale <sup>25</sup>
	12.000	144.00	08/17/20	202.00	58.00 W	0.00	124 of 208 - Sale <sup>25</sup>
	20.000	239.99	08/17/20	331.67	91.68 W	0.00	125 of 208 - Sale <sup>25</sup>
	25.000	299.99	08/17/20	420.07	120.08 W	0.00	126 of 208 - Sale <sup>25</sup>
	4.000	48.00	08/17/20	67.01	19.01 W	0.00	127 of 208 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	6.000	72.00	08/17/20	97.48	25.48 W	0.00	128 of 208 - Sale <sup>25</sup>
	10.000	120.00	08/17/20	162.37	42.37 W	0.00	129 of 208 - Sale <sup>25</sup>
	11.000	132.00	08/17/20	184.37	52.37 W	0.00	130 of 208 - Sale <sup>25</sup>
	15.000	179.99	08/17/20	243.35	63.36 W	0.00	131 of 208 - Sale <sup>25</sup>
	29.000	347.99	08/17/20	477.98	129.99 W	0.00	132 of 208 - Sale <sup>25</sup>
	1.000	12.00	08/17/20	14.13	2.13 W	0.00	133 of 208 - Sale <sup>25</sup>
	1.000	12.00	08/17/20	13.61	1.61 W	0.00	134 of 208 - Sale <sup>25</sup>
	4.000	48.00	08/17/20	53.22	5.22 W	0.00	135 of 208 - Sale <sup>25</sup>
	10.000	120.00	08/17/20	135.80	15.80 W	0.00	136 of 208 - Sale <sup>25</sup>
	10.000	120.00	08/17/20	136.06	16.06 W	0.00	137 of 208 - Sale <sup>25</sup>
	10.000	120.00	08/17/20	136.32	16.32 W	0.00	138 of 208 - Sale <sup>25</sup>
	29.000	347.99	08/17/20	403.00	55.01 W	0.00	139 of 208 - Sale <sup>25</sup>
	50.000	599.98	08/17/20	701.03	101.05 W	0.00	140 of 208 - Sale <sup>25</sup>
	50.000	599.98	08/17/20	702.54	102.56 W	0.00	141 of 208 - Sale <sup>25</sup>
	50.000	599.98	08/17/20	707.60	107.62 W	0.00	142 of 208 - Sale <sup>25</sup>
	1.000	12.00	08/17/20	13.06	1.06 W	0.00	143 of 208 - Sale <sup>25</sup>
	1.000	12.00	08/17/20	13.17	1.17 W	0.00	144 of 208 - Sale <sup>25</sup>
	1.000	12.00	08/17/20	14.00	2.00 W	0.00	145 of 208 - Sale <sup>25</sup>
	4.000	48.00	08/17/20	52.65	4.65 W	0.00	146 of 208 - Sale <sup>25</sup>
	4.000	48.00	08/17/20	52.69	4.69 W	0.00	147 of 208 - Sale <sup>25</sup>
	5.000	60.00	08/17/20	70.01	10.01 W	0.00	148 of 208 - Sale <sup>25</sup>
	7.000	84.00	08/17/20	87.01	3.01 W	0.00	149 of 208 - Sale <sup>25</sup>
	7.000	84.00	08/17/20	90.94	6.94 W	0.00	150 of 208 - Sale <sup>25</sup>
	9.000	108.00	08/17/20	118.55	10.55 W	0.00	151 of 208 - Sale <sup>25</sup>
	14.000	167.99	08/17/20	193.72	25.73 W	0.00	152 of 208 - Sale <sup>25</sup>
	15.000	179.99	08/17/20	198.61	18.62 W	0.00	153 of 208 - Sale <sup>25</sup>
	15.000	179.99	08/17/20	207.18	27.19 W	0.00	154 of 208 - Sale <sup>25</sup>
	19.000	227.99	08/17/20	266.03	38.04 W	0.00	155 of 208 - Sale <sup>25</sup>
	21.000	251.99	08/17/20	278.74	26.75 W	0.00	156 of 208 - Sale <sup>25</sup>
	21.000	251.99	08/17/20	295.34	43.35 W	0.00	157 of 208 - Sale <sup>25</sup>
	25.000	299.99	08/17/20	331.84	31.85 W	0.00	158 of 208 - Sale <sup>25</sup>
	25.000	299.99	08/17/20	350.05	50.06 W	0.00	159 of 208 - Sale <sup>25</sup>
	48.000	575.98	08/17/20	626.98	51.00 W	0.00	160 of 208 - Sale <sup>25</sup>
	50.000	599.98	08/17/20	621.55	21.57 W	0.00	161 of 208 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	243.000	2,915.90	08/17/20	2,920.97	5.07 W	0.00	162 of 208 - Sale <sup>25</sup>
	100.000	1,199.96	08/17/20	1,205.00	5.04 W	0.00	163 of 208 - Sale <sup>25</sup>
	84.000	1,007.97	08/17/20	1,012.20	4.23 W	0.00	164 of 208 - Sale <sup>25</sup>
	16.000	191.99	08/17/20	192.80	0.81 W	0.00	165 of 208 - Sale <sup>25</sup>
	100.000	1,199.96	08/17/20	1,205.00	5.04 W	0.00	166 of 208 - Sale <sup>25</sup>
	25.000	299.99	08/17/20	301.25	1.26 W	0.00	167 of 208 - Sale <sup>25</sup>
	43.000	515.98	08/17/20	518.15	2.17 W	0.00	168 of 208 - Sale <sup>25</sup>
	132.000	1,583.95	08/17/20	1,590.60	6.65 W	0.00	169 of 208 - Sale <sup>25</sup>
	2.000	24.41	08/17/20	37.45	13.04 W	0.00	170 of 208 - Sale <sup>25</sup>
	3.000	36.61	08/17/20	56.19	19.58 W	0.00	171 of 208 - Sale <sup>25</sup>
	5.000	61.02	08/17/20	93.62	32.60 W	0.00	172 of 208 - Sale <sup>25</sup>
	5.000	61.02	08/17/20	86.33	25.31 W	0.00	173 of 208 - Sale <sup>25</sup>
	25.000	305.12	08/17/20	449.83	144.71 W	0.00	174 of 208 - Sale <sup>25</sup>
	7.000	85.43	08/17/20	116.12	30.69 W	0.00	175 of 208 - Sale <sup>25</sup>
	8.000	97.64	08/17/20	134.49	36.85 W	0.00	176 of 208 - Sale <sup>25</sup>
	20.000	244.09	08/17/20	331.58	87.49 W	0.00	177 of 208 - Sale <sup>25</sup>
	25.000	305.12	08/17/20	419.95	114.83 W	0.00	178 of 208 - Sale <sup>25</sup>
	5.000	61.00	08/17/20	84.06	23.06 W	0.00	179 of 208 - Sale <sup>25</sup>
	6.000	73.20	08/17/20	101.20	28.00 W	0.00	180 of 208 - Sale <sup>25</sup>
	2.000	24.40	08/17/20	33.62	9.22 W	0.00	181 of 208 - Sale <sup>25</sup>
	12.000	146.39	08/17/20	201.94	55.55 W	0.00	182 of 208 - Sale <sup>25</sup>
	4.000	48.80	08/17/20	66.99	18.19 W	0.00	183 of 208 - Sale <sup>25</sup>
	6.000	73.20	08/17/20	97.45	24.25 W	0.00	184 of 208 - Sale <sup>25</sup>
	10.000	122.00	08/17/20	162.32	40.32 W	0.00	185 of 208 - Sale <sup>25</sup>
	11.000	134.20	08/17/20	184.31	50.11 W	0.00	186 of 208 - Sale <sup>25</sup>
	15.000	182.99	08/17/20	243.28	60.29 W	0.00	187 of 208 - Sale <sup>25</sup>
	29.000	353.79	08/17/20	477.85	124.06 W	0.00	188 of 208 - Sale <sup>25</sup>
	1.000	12.20	08/17/20	14.13	1.93 W	0.00	189 of 208 - Sale <sup>25</sup>
	1.000	12.20	08/17/20	13.61	1.41 W	0.00	190 of 208 - Sale <sup>25</sup>
	4.000	48.80	08/17/20	53.22	4.42 W	0.00	191 of 208 - Sale <sup>25</sup>
	10.000	122.00	08/17/20	135.80	13.80 W	0.00	192 of 208 - Sale <sup>25</sup>
	10.000	122.00	08/17/20	136.06	14.06 W	0.00	193 of 208 - Sale <sup>25</sup>
	10.000	121.99	08/17/20	136.32	14.33 W	0.00	194 of 208 - Sale <sup>25</sup>
	29.000	353.79	08/17/20	403.01	49.22 W	0.00	195 of 208 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	50.000	609.97	08/17/20	701.05	91.08 W	0.00	196 of 208 - Sale <sup>25</sup>
	50.000	609.98	08/17/20	702.56	92.58 W	0.00	197 of 208 - Sale <sup>25</sup>
	50.000	609.97	08/17/20	707.62	97.65 W	0.00	198 of 208 - Sale <sup>25</sup>
	22.000	268.39	08/17/20	273.49	5.10 W	0.00	199 of 208 - Sale <sup>25</sup>
	1.000	12.19	08/17/20	13.06	0.87 W	0.00	200 of 208 - Sale <sup>25</sup>
	1.000	12.19	08/17/20	13.17	0.98 W	0.00	201 of 208 - Sale <sup>25</sup>
	3.000	36.57	08/17/20	39.82	3.25 W	0.00	202 of 208 - Sale <sup>25</sup>
	4.000	48.76	08/17/20	52.65	3.89 W	0.00	203 of 208 - Sale <sup>25</sup>
	4.000	48.76	08/17/20	52.69	3.93 W	0.00	204 of 208 - Sale <sup>25</sup>
	7.000	85.33	08/17/20	87.01	1.68 W	0.00	205 of 208 - Sale <sup>25</sup>
	15.000	182.84	08/17/20	198.62	15.78 W	0.00	206 of 208 - Sale <sup>25</sup>
	28.000	341.31	08/17/20	348.08	6.77 W	0.00	207 of 208 - Sale <sup>25</sup>
	3.000	36.56	08/17/20	40.93	4.37 W	0.00	208 of 208 - Sale <sup>25</sup>
08/17/20	4,178.000	48,993.94	Various	57,161.89	8,167.95 W	0.00	Total of 208 transactions
	88 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	1.000	11.15	08/18/20	13.39	2.24 W	0.00	1 of 88 - Sale <sup>25</sup>
	5.000	55.75	08/18/20	66.96	11.21 W	0.00	2 of 88 - Sale <sup>25</sup>
	7.000	78.05	08/18/20	86.67	8.62 W	0.00	3 of 88 - Sale <sup>25</sup>
	9.000	100.35	08/18/20	113.06	12.71 W	0.00	4 of 88 - Sale <sup>25</sup>
	14.000	156.09	08/18/20	185.19	29.10 W	0.00	5 of 88 - Sale <sup>25</sup>
	15.000	167.24	08/18/20	198.04	30.80 W	0.00	6 of 88 - Sale <sup>25</sup>
	19.000	211.84	08/18/20	240.62	28.78 W	0.00	7 of 88 - Sale <sup>25</sup>
	19.000	211.84	08/18/20	254.45	42.61 W	0.00	8 of 88 - Sale <sup>25</sup>
	21.000	234.14	08/18/20	265.94	31.80 W	0.00	9 of 88 - Sale <sup>25</sup>
	21.000	234.14	08/18/20	282.54	48.40 W	0.00	10 of 88 - Sale <sup>25</sup>
	25.000	278.74	08/18/20	334.81	56.07 W	0.00	11 of 88 - Sale <sup>25</sup>
	48.000	535.18	08/18/20	597.72	62.54 W	0.00	12 of 88 - Sale <sup>25</sup>
	53.000	590.93	08/18/20	606.34	15.41 W	0.00	13 of 88 - Sale <sup>25</sup>
	243.000	2,709.37	08/18/20	2,772.84	63.47 W	0.00	14 of 88 - Sale <sup>25</sup>
	16.000	178.39	08/18/20	183.21	4.82 W	0.00	15 of 88 - Sale <sup>25</sup>
	36.000	401.39	08/18/20	412.21	10.82 W	0.00	16 of 88 - Sale <sup>25</sup>
	47.000	524.03	08/18/20	538.17	14.14 W	0.00	17 of 88 - Sale <sup>25</sup>
	84.000	936.57	08/18/20	961.83	25.26 W	0.00	18 of 88 - Sale <sup>25</sup>
	25.000	278.74	08/18/20	286.26	7.52 W	0.00	19 of 88 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	43.000	479.43	08/18/20	492.37	12.94 W	0.00	20 of 88 - Sale <sup>25</sup>
	64.000	713.58	08/18/20	732.83	19.25 W	0.00	21 of 88 - Sale <sup>25</sup>
	68.000	758.17	08/18/20	778.63	20.46 W	0.00	22 of 88 - Sale <sup>25</sup>
	2.000	22.30	08/18/20	35.84	13.54 W	0.00	23 of 88 - Sale <sup>25</sup>
	3.000	33.45	08/18/20	53.78	20.33 W	0.00	24 of 88 - Sale <sup>25</sup>
	5.000	55.75	08/18/20	89.60	33.85 W	0.00	25 of 88 - Sale <sup>25</sup>
	5.000	55.75	08/18/20	82.31	26.56 W	0.00	26 of 88 - Sale <sup>25</sup>
	25.000	278.74	08/18/20	429.71	150.97 W	0.00	27 of 88 - Sale <sup>25</sup>
	2.000	22.30	08/18/20	32.02	9.72 W	0.00	28 of 88 - Sale <sup>25</sup>
	5.000	55.75	08/18/20	80.06	24.31 W	0.00	29 of 88 - Sale <sup>25</sup>
	6.000	66.89	08/18/20	96.40	29.51 W	0.00	30 of 88 - Sale <sup>25</sup>
	7.000	78.05	08/18/20	110.49	32.44 W	0.00	31 of 88 - Sale <sup>25</sup>
	8.000	89.20	08/18/20	128.05	38.85 W	0.00	32 of 88 - Sale <sup>25</sup>
	4.000	44.60	08/18/20	64.12	19.52 W	0.00	33 of 88 - Sale <sup>25</sup>
	20.000	222.99	08/18/20	315.49	92.50 W	0.00	34 of 88 - Sale <sup>25</sup>
	25.000	278.74	08/18/20	399.83	121.09 W	0.00	35 of 88 - Sale <sup>25</sup>
	8.000	89.20	08/18/20	128.23	39.03 W	0.00	36 of 88 - Sale <sup>25</sup>
	4.000	44.60	08/18/20	63.79	19.19 W	0.00	37 of 88 - Sale <sup>25</sup>
	6.000	66.90	08/18/20	92.65	25.75 W	0.00	38 of 88 - Sale <sup>25</sup>
	10.000	111.50	08/18/20	154.32	42.82 W	0.00	39 of 88 - Sale <sup>25</sup>
	11.000	122.65	08/18/20	175.51	52.86 W	0.00	40 of 88 - Sale <sup>25</sup>
	15.000	167.24	08/18/20	231.29	64.05 W	0.00	41 of 88 - Sale <sup>25</sup>
	29.000	323.34	08/18/20	454.66	131.32 W	0.00	42 of 88 - Sale <sup>25</sup>
	1.000	11.15	08/18/20	13.33	2.18 W	0.00	43 of 88 - Sale <sup>25</sup>
	1.000	11.15	08/18/20	12.81	1.66 W	0.00	44 of 88 - Sale <sup>25</sup>
	4.000	44.60	08/18/20	50.02	5.42 W	0.00	45 of 88 - Sale <sup>25</sup>
	10.000	111.50	08/18/20	127.80	16.30 W	0.00	46 of 88 - Sale <sup>25</sup>
	10.000	111.50	08/18/20	128.06	16.56 W	0.00	47 of 88 - Sale <sup>25</sup>
	10.000	111.50	08/18/20	128.33	16.83 W	0.00	48 of 88 - Sale <sup>25</sup>
	29.000	323.34	08/18/20	379.82	56.48 W	0.00	49 of 88 - Sale <sup>25</sup>
	50.000	557.48	08/18/20	661.08	103.60 W	0.00	50 of 88 - Sale <sup>25</sup>
	50.000	557.48	08/18/20	662.58	105.10 W	0.00	51 of 88 - Sale <sup>25</sup>
	50.000	557.48	08/18/20	667.65	110.17 W	0.00	52 of 88 - Sale <sup>25</sup>
	1.000	11.15	08/18/20	12.27	1.12 W	0.00	53 of 88 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	11.15	08/18/20	12.38	1.23 W	0.00	54 of 88 - Sale <sup>25</sup>
	3.000	33.45	08/18/20	37.45	4.00 W	0.00	55 of 88 - Sale <sup>25</sup>
	3.000	33.45	08/18/20	38.57	5.12 W	0.00	56 of 88 - Sale <sup>25</sup>
	4.000	44.60	08/18/20	49.49	4.89 W	0.00	57 of 88 - Sale <sup>25</sup>
	4.000	44.60	08/18/20	49.53	4.93 W	0.00	58 of 88 - Sale <sup>25</sup>
	7.000	78.05	08/18/20	81.48	3.43 W	0.00	59 of 88 - Sale <sup>25</sup>
	10.000	111.49	08/18/20	134.79	23.30 W	0.00	60 of 88 - Sale <sup>25</sup>
	15.000	167.24	08/18/20	186.78	19.54 W	0.00	61 of 88 - Sale <sup>25</sup>
	19.000	211.83	08/18/20	245.38	33.55 W	0.00	62 of 88 - Sale <sup>25</sup>
	21.000	234.14	08/18/20	271.20	37.06 W	0.00	63 of 88 - Sale <sup>25</sup>
	22.000	245.29	08/18/20	255.90	10.61 W	0.00	64 of 88 - Sale <sup>25</sup>
	28.000	312.19	08/18/20	325.97	13.78 W	0.00	65 of 88 - Sale <sup>25</sup>
	64.000	713.57	08/18/20	732.82	19.25 W	0.00	66 of 88 - Sale <sup>25</sup>
	1.000	11.15	08/18/20	13.54	2.39 W	0.00	67 of 88 - Sale <sup>25</sup>
	3.000	33.45	08/18/20	34.80	1.35 W	0.00	68 of 88 - Sale <sup>25</sup>
	4.000	44.60	08/18/20	53.51	8.91 W	0.00	69 of 88 - Sale <sup>25</sup>
	5.000	55.75	08/18/20	67.71	11.96 W	0.00	70 of 88 - Sale <sup>25</sup>
	7.000	78.05	08/18/20	87.72	9.67 W	0.00	71 of 88 - Sale <sup>25</sup>
	9.000	100.35	08/18/20	114.41	14.06 W	0.00	72 of 88 - Sale <sup>25</sup>
	15.000	167.24	08/18/20	200.30	33.06 W	0.00	73 of 88 - Sale <sup>25</sup>
	19.000	211.84	08/18/20	257.31	45.47 W	0.00	74 of 88 - Sale <sup>25</sup>
	21.000	234.14	08/18/20	285.70	51.56 W	0.00	75 of 88 - Sale <sup>25</sup>
	25.000	278.74	08/18/20	338.56	59.82 W	0.00	76 of 88 - Sale <sup>25</sup>
	47.000	524.03	08/18/20	545.23	21.20 W	0.00	77 of 88 - Sale <sup>25</sup>
	48.000	535.18	08/18/20	604.93	69.75 W	0.00	78 of 88 - Sale <sup>25</sup>
	53.000	590.93	08/18/20	614.30	23.37 W	0.00	79 of 88 - Sale <sup>25</sup>
	243.000	2,709.36	08/18/20	2,809.31	99.95 W	0.00	80 of 88 - Sale <sup>25</sup>
	16.000	178.39	08/18/20	185.30	6.91 W	0.00	81 of 88 - Sale <sup>25</sup>
	17.000	189.54	08/18/20	196.87	7.33 W	0.00	82 of 88 - Sale <sup>25</sup>
	36.000	401.39	08/18/20	416.90	15.51 W	0.00	83 of 88 - Sale <sup>25</sup>
	81.000	903.12	08/18/20	938.04	34.92 W	0.00	84 of 88 - Sale <sup>25</sup>
	25.000	278.74	08/18/20	289.52	10.78 W	0.00	85 of 88 - Sale <sup>25</sup>
	35.000	390.24	08/18/20	405.33	15.09 W	0.00	86 of 88 - Sale <sup>25</sup>
	43.000	479.44	08/18/20	497.98	18.54 W	0.00	87 of 88 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
08/18/20	47.000	524.03	08/18/20	544.30	20.27 W	0.00	88 of 88 - Sale <sup>25</sup>
	2,300.000	25,644.13	Various	28,389.29	2,745.16 W	0.00	Total of 88 transactions
	27 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	3.000	33.39	08/18/20	33.30	...	0.09	1 of 27 - Sale <sup>25</sup>
	8.000	89.04	08/18/20	88.65	...	0.39	2 of 27 - Sale <sup>25</sup>
	7.000	77.91	08/18/20	77.28	...	0.63	3 of 27 - Sale <sup>25</sup>
	16.000	178.07	08/18/20	177.31	...	0.76	4 of 27 - Sale <sup>25</sup>
	17.000	189.20	08/18/20	188.38	...	0.82	5 of 27 - Sale <sup>25</sup>
	25.000	278.24	08/18/20	277.03	...	1.21	6 of 27 - Sale <sup>25</sup>
	47.000	523.09	08/18/20	521.75	...	1.34	7 of 27 - Sale <sup>25</sup>
	35.000	389.54	08/18/20	387.84	...	1.70	8 of 27 - Sale <sup>25</sup>
	35.000	389.54	08/18/20	387.84	...	1.70	9 of 27 - Sale <sup>25</sup>
	36.000	400.67	08/18/20	398.91	...	1.76	10 of 27 - Sale <sup>25</sup>
	53.000	589.87	08/18/20	587.82	...	2.05	11 of 27 - Sale <sup>25</sup>
	22.000	244.85	08/18/20	242.71	...	2.14	12 of 27 - Sale <sup>25</sup>
	47.000	523.09	08/18/20	520.82	...	2.27	13 of 27 - Sale <sup>25</sup>
	28.000	311.63	08/18/20	309.18	...	2.45	14 of 27 - Sale <sup>25</sup>
	47.000	523.09	08/18/20	519.88	...	3.21	15 of 27 - Sale <sup>25</sup>
	81.000	901.50	08/18/20	897.57	...	3.93	16 of 27 - Sale <sup>25</sup>
	33.000	367.28	08/18/20	358.08	...	9.20	17 of 27 - Sale <sup>25</sup>
	64.000	712.30	08/18/20	694.45	...	17.85	18 of 27 - Sale <sup>25</sup>
	196.000	2,181.41	08/18/20	2,148.42	...	32.99	19 of 27 - Sale <sup>25</sup>
	100.000	1,112.96	08/18/20	1,074.00	...	38.96	20 of 27 - Sale <sup>25</sup>
	189.000	2,103.50	08/18/20	2,022.30	...	81.20	21 of 27 - Sale <sup>25</sup>
	200.000	2,225.92	08/18/20	2,140.00	...	85.92	22 of 27 - Sale <sup>25</sup>
	301.000	3,350.02	08/18/20	3,220.70	...	129.32	23 of 27 - Sale <sup>25</sup>
	310.000	3,450.18	08/18/20	3,317.00	...	133.18	24 of 27 - Sale <sup>25</sup>
	400.000	4,451.85	08/18/20	4,296.00	...	155.85	25 of 27 - Sale <sup>25</sup>
	500.000	5,564.81	08/18/20	5,370.00	...	194.81	26 of 27 - Sale <sup>25</sup>
	550.000	6,121.29	08/18/20	5,857.50	...	263.79	27 of 27 - Sale <sup>25</sup>
08/19/20	3,350.000	37,284.24	Various	36,114.72	...	1,169.52	Total of 27 transactions
	132 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	2.000	22.26	08/18/20	34.64	12.38 W	0.00	1 of 132 - Sale <sup>25</sup>
	3.000	33.39	08/18/20	51.98	18.59 W	0.00	2 of 132 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	5.000	55.65	08/18/20	86.60	30.95 W	0.00	3 of 132 - Sale <sup>25</sup>
	5.000	55.65	08/18/20	79.31	23.66 W	0.00	4 of 132 - Sale <sup>25</sup>
	25.000	278.24	08/18/20	414.72	136.48 W	0.00	5 of 132 - Sale <sup>25</sup>
	2.000	22.26	08/18/20	30.82	8.56 W	0.00	6 of 132 - Sale <sup>25</sup>
	4.000	44.52	08/18/20	61.72	17.20 W	0.00	7 of 132 - Sale <sup>25</sup>
	5.000	55.65	08/18/20	77.06	21.41 W	0.00	8 of 132 - Sale <sup>25</sup>
	6.000	66.78	08/18/20	92.81	26.03 W	0.00	9 of 132 - Sale <sup>25</sup>
	7.000	77.91	08/18/20	106.29	28.38 W	0.00	10 of 132 - Sale <sup>25</sup>
	8.000	89.04	08/18/20	123.25	34.21 W	0.00	11 of 132 - Sale <sup>25</sup>
	8.000	89.04	08/18/20	123.43	34.39 W	0.00	12 of 132 - Sale <sup>25</sup>
	20.000	222.59	08/18/20	303.50	80.91 W	0.00	13 of 132 - Sale <sup>25</sup>
	25.000	278.24	08/18/20	384.84	106.60 W	0.00	14 of 132 - Sale <sup>25</sup>
	4.000	44.52	08/18/20	61.39	16.87 W	0.00	15 of 132 - Sale <sup>25</sup>
	6.000	66.78	08/18/20	89.05	22.27 W	0.00	16 of 132 - Sale <sup>25</sup>
	10.000	111.30	08/18/20	148.32	37.02 W	0.00	17 of 132 - Sale <sup>25</sup>
	11.000	122.43	08/18/20	168.91	46.48 W	0.00	18 of 132 - Sale <sup>25</sup>
	15.000	166.94	08/18/20	222.30	55.36 W	0.00	19 of 132 - Sale <sup>25</sup>
	29.000	322.76	08/18/20	437.27	114.51 W	0.00	20 of 132 - Sale <sup>25</sup>
	1.000	11.13	08/18/20	12.73	1.60 W	0.00	21 of 132 - Sale <sup>25</sup>
	1.000	11.13	08/18/20	12.21	1.08 W	0.00	22 of 132 - Sale <sup>25</sup>
	4.000	44.52	08/18/20	47.62	3.10 W	0.00	23 of 132 - Sale <sup>25</sup>
	10.000	111.30	08/18/20	121.80	10.50 W	0.00	24 of 132 - Sale <sup>25</sup>
	10.000	111.30	08/18/20	122.06	10.76 W	0.00	25 of 132 - Sale <sup>25</sup>
	10.000	111.30	08/18/20	122.33	11.03 W	0.00	26 of 132 - Sale <sup>25</sup>
	29.000	322.76	08/18/20	362.43	39.67 W	0.00	27 of 132 - Sale <sup>25</sup>
	50.000	556.48	08/18/20	631.10	74.62 W	0.00	28 of 132 - Sale <sup>25</sup>
	50.000	556.48	08/18/20	632.60	76.12 W	0.00	29 of 132 - Sale <sup>25</sup>
	50.000	556.48	08/18/20	637.67	81.19 W	0.00	30 of 132 - Sale <sup>25</sup>
	1.000	11.13	08/18/20	11.67	0.54 W	0.00	31 of 132 - Sale <sup>25</sup>
	1.000	11.13	08/18/20	11.78	0.65 W	0.00	32 of 132 - Sale <sup>25</sup>
	1.000	11.13	08/18/20	12.94	1.81 W	0.00	33 of 132 - Sale <sup>25</sup>
	3.000	33.39	08/18/20	35.65	2.26 W	0.00	34 of 132 - Sale <sup>25</sup>
	3.000	33.39	08/18/20	36.77	3.38 W	0.00	35 of 132 - Sale <sup>25</sup>
	4.000	44.52	08/18/20	47.09	2.57 W	0.00	36 of 132 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
4.000		44.52	08/18/20	47.13	2.61 W	0.00	37 of 132 - Sale <sup>25</sup>
4.000		44.52	08/18/20	51.11	6.59 W	0.00	38 of 132 - Sale <sup>25</sup>
5.000		55.65	08/18/20	64.71	9.06 W	0.00	39 of 132 - Sale <sup>25</sup>
7.000		77.91	08/18/20	83.52	5.61 W	0.00	40 of 132 - Sale <sup>25</sup>
9.000		100.17	08/18/20	109.01	8.84 W	0.00	41 of 132 - Sale <sup>25</sup>
10.000		111.30	08/18/20	128.80	17.50 W	0.00	42 of 132 - Sale <sup>25</sup>
15.000		166.94	08/18/20	177.79	10.85 W	0.00	43 of 132 - Sale <sup>25</sup>
15.000		166.94	08/18/20	191.31	24.37 W	0.00	44 of 132 - Sale <sup>25</sup>
19.000		211.46	08/18/20	234.00	22.54 W	0.00	45 of 132 - Sale <sup>25</sup>
19.000		211.46	08/18/20	245.92	34.46 W	0.00	46 of 132 - Sale <sup>25</sup>
21.000		233.72	08/18/20	258.61	24.89 W	0.00	47 of 132 - Sale <sup>25</sup>
21.000		233.72	08/18/20	273.11	39.39 W	0.00	48 of 132 - Sale <sup>25</sup>
25.000		278.24	08/18/20	323.57	45.33 W	0.00	49 of 132 - Sale <sup>25</sup>
48.000		534.22	08/18/20	576.15	41.93 W	0.00	50 of 132 - Sale <sup>25</sup>
2.000		22.78	08/19/20	35.04	12.26 W	0.00	51 of 132 - Sale <sup>25</sup>
3.000		34.17	08/19/20	52.58	18.41 W	0.00	52 of 132 - Sale <sup>25</sup>
5.000		56.95	08/19/20	87.95	31.00 W	0.00	53 of 132 - Sale <sup>25</sup>
5.000		56.95	08/19/20	80.66	23.71 W	0.00	54 of 132 - Sale <sup>25</sup>
25.000		284.77	08/19/20	421.48	136.71 W	0.00	55 of 132 - Sale <sup>25</sup>
2.000		22.78	08/19/20	31.36	8.58 W	0.00	56 of 132 - Sale <sup>25</sup>
4.000		45.56	08/19/20	62.80	17.24 W	0.00	57 of 132 - Sale <sup>25</sup>
5.000		56.95	08/19/20	78.41	21.46 W	0.00	58 of 132 - Sale <sup>25</sup>
6.000		68.34	08/19/20	94.43	26.09 W	0.00	59 of 132 - Sale <sup>25</sup>
7.000		79.74	08/19/20	108.18	28.44 W	0.00	60 of 132 - Sale <sup>25</sup>
8.000		91.13	08/19/20	125.41	34.28 W	0.00	61 of 132 - Sale <sup>25</sup>
8.000		91.13	08/19/20	125.59	34.46 W	0.00	62 of 132 - Sale <sup>25</sup>
20.000		227.81	08/19/20	308.91	81.10 W	0.00	63 of 132 - Sale <sup>25</sup>
25.000		284.77	08/19/20	391.60	106.83 W	0.00	64 of 132 - Sale <sup>25</sup>
4.000		45.56	08/19/20	62.47	16.91 W	0.00	65 of 132 - Sale <sup>25</sup>
6.000		68.34	08/19/20	90.67	22.33 W	0.00	66 of 132 - Sale <sup>25</sup>
10.000		113.91	08/19/20	151.02	37.11 W	0.00	67 of 132 - Sale <sup>25</sup>
11.000		125.30	08/19/20	171.88	46.58 W	0.00	68 of 132 - Sale <sup>25</sup>
15.000		170.86	08/19/20	226.36	55.50 W	0.00	69 of 132 - Sale <sup>25</sup>
29.000		330.33	08/19/20	445.11	114.78 W	0.00	70 of 132 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	11.39	08/19/20	13.00	1.61 W	0.00	71 of 132 - Sale <sup>25</sup>
	10.000	113.91	08/19/20	124.50	10.59 W	0.00	72 of 132 - Sale <sup>25</sup>
	10.000	113.91	08/19/20	129.22	15.31 W	0.00	73 of 132 - Sale <sup>25</sup>
	29.000	330.33	08/19/20	370.27	39.94 W	0.00	74 of 132 - Sale <sup>25</sup>
	50.000	569.54	08/19/20	644.62	75.08 W	0.00	75 of 132 - Sale <sup>25</sup>
	1.000	11.39	08/19/20	12.49	1.10 W	0.00	76 of 132 - Sale <sup>25</sup>
	4.000	45.56	08/19/20	48.73	3.17 W	0.00	77 of 132 - Sale <sup>25</sup>
	10.000	113.91	08/19/20	124.85	10.94 W	0.00	78 of 132 - Sale <sup>25</sup>
	10.000	113.91	08/19/20	125.12	11.21 W	0.00	79 of 132 - Sale <sup>25</sup>
	40.000	455.63	08/19/20	517.25	61.62 W	0.00	80 of 132 - Sale <sup>25</sup>
	50.000	569.54	08/19/20	651.62	82.08 W	0.00	81 of 132 - Sale <sup>25</sup>
	1.000	11.39	08/19/20	11.95	0.56 W	0.00	82 of 132 - Sale <sup>25</sup>
	1.000	11.39	08/19/20	12.06	0.67 W	0.00	83 of 132 - Sale <sup>25</sup>
	3.000	34.17	08/19/20	36.49	2.32 W	0.00	84 of 132 - Sale <sup>25</sup>
	3.000	34.17	08/19/20	37.61	3.44 W	0.00	85 of 132 - Sale <sup>25</sup>
	4.000	45.56	08/19/20	48.20	2.64 W	0.00	86 of 132 - Sale <sup>25</sup>
	4.000	45.56	08/19/20	48.24	2.68 W	0.00	87 of 132 - Sale <sup>25</sup>
	4.000	45.56	08/19/20	52.22	6.66 W	0.00	88 of 132 - Sale <sup>25</sup>
	10.000	113.91	08/19/20	131.59	17.68 W	0.00	89 of 132 - Sale <sup>25</sup>
	15.000	170.86	08/19/20	181.98	11.12 W	0.00	90 of 132 - Sale <sup>25</sup>
	19.000	216.43	08/19/20	239.31	22.88 W	0.00	91 of 132 - Sale <sup>25</sup>
	21.000	239.21	08/19/20	264.47	25.26 W	0.00	92 of 132 - Sale <sup>25</sup>
	1.000	11.25	08/19/20	13.01	1.76 W	0.00	93 of 132 - Sale <sup>25</sup>
	1.000	11.25	08/19/20	13.02	1.77 W	0.00	94 of 132 - Sale <sup>25</sup>
	4.000	45.00	08/19/20	52.06	7.06 W	0.00	95 of 132 - Sale <sup>25</sup>
	9.000	101.25	08/19/20	117.13	15.88 W	0.00	96 of 132 - Sale <sup>25</sup>
	8.000	90.00	08/19/20	105.63	15.63 W	0.00	97 of 132 - Sale <sup>25</sup>
	1.000	11.25	08/19/20	13.20	1.95 W	0.00	98 of 132 - Sale <sup>25</sup>
	5.000	56.25	08/19/20	66.01	9.76 W	0.00	99 of 132 - Sale <sup>25</sup>
	14.000	157.50	08/19/20	171.69	14.19 W	0.00	100 of 132 - Sale <sup>25</sup>
	19.000	213.74	08/19/20	250.87	37.13 W	0.00	101 of 132 - Sale <sup>25</sup>
	21.000	236.24	08/19/20	278.58	42.34 W	0.00	102 of 132 - Sale <sup>25</sup>
	17.000	191.24	08/19/20	224.45	33.21 W	0.00	103 of 132 - Sale <sup>25</sup>
	2.000	22.30	08/19/20	34.92	12.62 W	0.00	104 of 132 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	3.000	33.45	08/19/20	52.40	18.95 W	0.00	105 of 132 - Sale <sup>25</sup>
	5.000	55.75	08/19/20	87.64	31.89 W	0.00	106 of 132 - Sale <sup>25</sup>
	5.000	55.75	08/19/20	80.35	24.60 W	0.00	107 of 132 - Sale <sup>25</sup>
	25.000	278.74	08/19/20	419.93	141.19 W	0.00	108 of 132 - Sale <sup>25</sup>
	2.000	22.30	08/19/20	31.24	8.94 W	0.00	109 of 132 - Sale <sup>25</sup>
	4.000	44.60	08/19/20	62.55	17.95 W	0.00	110 of 132 - Sale <sup>25</sup>
	5.000	55.75	08/19/20	78.10	22.35 W	0.00	111 of 132 - Sale <sup>25</sup>
	6.000	66.90	08/19/20	94.06	27.16 W	0.00	112 of 132 - Sale <sup>25</sup>
	7.000	78.05	08/19/20	107.74	29.69 W	0.00	113 of 132 - Sale <sup>25</sup>
	8.000	89.20	08/19/20	124.91	35.71 W	0.00	114 of 132 - Sale <sup>25</sup>
	8.000	89.20	08/19/20	125.09	35.89 W	0.00	115 of 132 - Sale <sup>25</sup>
	20.000	222.99	08/19/20	307.67	84.68 W	0.00	116 of 132 - Sale <sup>25</sup>
	25.000	278.74	08/19/20	390.05	111.31 W	0.00	117 of 132 - Sale <sup>25</sup>
	4.000	44.60	08/19/20	62.22	17.62 W	0.00	118 of 132 - Sale <sup>25</sup>
	6.000	66.90	08/19/20	90.30	23.40 W	0.00	119 of 132 - Sale <sup>25</sup>
	10.000	111.49	08/19/20	150.40	38.91 W	0.00	120 of 132 - Sale <sup>25</sup>
	11.000	122.64	08/19/20	171.20	48.56 W	0.00	121 of 132 - Sale <sup>25</sup>
	15.000	167.24	08/19/20	225.43	58.19 W	0.00	122 of 132 - Sale <sup>25</sup>
	29.000	323.33	08/19/20	443.32	119.99 W	0.00	123 of 132 - Sale <sup>25</sup>
	7.000	78.05	08/19/20	84.91	6.86 W	0.00	124 of 132 - Sale <sup>25</sup>
	9.000	100.34	08/19/20	110.80	10.46 W	0.00	125 of 132 - Sale <sup>25</sup>
	34.000	379.09	08/19/20	414.88	35.79 W	0.00	126 of 132 - Sale <sup>25</sup>
	1.000	10.90	08/19/20	12.55	1.65 W	0.00	127 of 132 - Sale <sup>25</sup>
	10.000	109.01	08/19/20	119.98	10.97 W	0.00	128 of 132 - Sale <sup>25</sup>
	10.000	109.01	08/19/20	124.70	15.69 W	0.00	129 of 132 - Sale <sup>25</sup>
	20.000	218.02	08/19/20	249.58	31.56 W	0.00	130 of 132 - Sale <sup>25</sup>
	29.000	316.13	08/19/20	357.16	41.03 W	0.00	131 of 132 - Sale <sup>25</sup>
	50.000	545.05	08/19/20	622.01	76.96 W	0.00	132 of 132 - Sale <sup>25</sup>
08/19/20	1,620.000	18,150.14	Various	22,240.84	4,090.70 W	0.00	Total of 132 transactions
55 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	2.000	20.44	08/21/20	33.08	12.64 W	0.00	1 of 55 - Sale <sup>25</sup>
	3.000	30.66	08/21/20	49.64	18.98 W	0.00	2 of 55 - Sale <sup>25</sup>
	5.000	51.10	08/21/20	83.04	31.94 W	0.00	3 of 55 - Sale <sup>25</sup>
	5.000	51.10	08/21/20	75.75	24.65 W	0.00	4 of 55 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
25.000		255.52	08/21/20	396.94	141.42 W	0.00	5 of 55 - Sale <sup>25</sup>
2.000		20.44	08/21/20	29.40	8.96 W	0.00	6 of 55 - Sale <sup>25</sup>
4.000		40.88	08/21/20	58.87	17.99 W	0.00	7 of 55 - Sale <sup>25</sup>
5.000		51.10	08/21/20	73.50	22.40 W	0.00	8 of 55 - Sale <sup>25</sup>
6.000		61.32	08/21/20	88.54	27.22 W	0.00	9 of 55 - Sale <sup>25</sup>
7.000		71.54	08/21/20	101.30	29.76 W	0.00	10 of 55 - Sale <sup>25</sup>
8.000		81.77	08/21/20	117.55	35.78 W	0.00	11 of 55 - Sale <sup>25</sup>
8.000		81.77	08/21/20	117.73	35.96 W	0.00	12 of 55 - Sale <sup>25</sup>
20.000		204.41	08/21/20	289.28	84.87 W	0.00	13 of 55 - Sale <sup>25</sup>
25.000		255.52	08/21/20	367.06	111.54 W	0.00	14 of 55 - Sale <sup>25</sup>
4.000		40.88	08/21/20	58.54	17.66 W	0.00	15 of 55 - Sale <sup>25</sup>
6.000		61.32	08/21/20	84.78	23.46 W	0.00	16 of 55 - Sale <sup>25</sup>
10.000		102.21	08/21/20	141.21	39.00 W	0.00	17 of 55 - Sale <sup>25</sup>
11.000		112.43	08/21/20	161.09	48.66 W	0.00	18 of 55 - Sale <sup>25</sup>
15.000		153.31	08/21/20	211.64	58.33 W	0.00	19 of 55 - Sale <sup>25</sup>
29.000		296.40	08/21/20	416.66	120.26 W	0.00	20 of 55 - Sale <sup>25</sup>
1.000		10.22	08/21/20	11.33	1.11 W	0.00	21 of 55 - Sale <sup>25</sup>
4.000		40.88	08/21/20	44.09	3.21 W	0.00	22 of 55 - Sale <sup>25</sup>
10.000		102.21	08/21/20	113.24	11.03 W	0.00	23 of 55 - Sale <sup>25</sup>
10.000		102.21	08/21/20	113.51	11.30 W	0.00	24 of 55 - Sale <sup>25</sup>
20.000		204.41	08/21/20	235.41	31.00 W	0.00	25 of 55 - Sale <sup>25</sup>
50.000		511.03	08/21/20	593.58	82.55 W	0.00	26 of 55 - Sale <sup>25</sup>
1.000		10.22	08/21/20	10.79	0.57 W	0.00	27 of 55 - Sale <sup>25</sup>
1.000		10.22	08/21/20	10.90	0.68 W	0.00	28 of 55 - Sale <sup>25</sup>
1.000		10.22	08/21/20	11.99	1.77 W	0.00	29 of 55 - Sale <sup>25</sup>
1.000		10.22	08/21/20	12.00	1.78 W	0.00	30 of 55 - Sale <sup>25</sup>
1.000		10.22	08/21/20	12.18	1.96 W	0.00	31 of 55 - Sale <sup>25</sup>
3.000		30.66	08/21/20	33.01	2.35 W	0.00	32 of 55 - Sale <sup>25</sup>
3.000		30.66	08/21/20	34.13	3.47 W	0.00	33 of 55 - Sale <sup>25</sup>
4.000		40.88	08/21/20	43.56	2.68 W	0.00	34 of 55 - Sale <sup>25</sup>
4.000		40.88	08/21/20	43.60	2.72 W	0.00	35 of 55 - Sale <sup>25</sup>
4.000		40.88	08/21/20	47.58	6.70 W	0.00	36 of 55 - Sale <sup>25</sup>
4.000		40.88	08/21/20	47.98	7.10 W	0.00	37 of 55 - Sale <sup>25</sup>
5.000		51.10	08/21/20	60.91	9.81 W	0.00	38 of 55 - Sale <sup>25</sup>

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	8.000	81.77	08/21/20	97.47	15.70 W	0.00	39 of 55 - Sale <sup>25</sup>
	9.000	91.99	08/21/20	107.95	15.96 W	0.00	40 of 55 - Sale <sup>25</sup>
	10.000	102.21	08/21/20	119.98	17.77 W	0.00	41 of 55 - Sale <sup>25</sup>
	14.000	143.09	08/21/20	157.41	14.32 W	0.00	42 of 55 - Sale <sup>25</sup>
	15.000	153.31	08/21/20	164.57	11.26 W	0.00	43 of 55 - Sale <sup>25</sup>
	17.000	173.75	08/21/20	207.12	33.37 W	0.00	44 of 55 - Sale <sup>25</sup>
	19.000	194.19	08/21/20	217.25	23.06 W	0.00	45 of 55 - Sale <sup>25</sup>
	19.000	194.20	08/21/20	231.50	37.30 W	0.00	46 of 55 - Sale <sup>25</sup>
	20.000	204.41	08/21/20	225.65	21.24 W	0.00	47 of 55 - Sale <sup>25</sup>
	21.000	214.64	08/21/20	240.09	25.45 W	0.00	48 of 55 - Sale <sup>25</sup>
	21.000	214.64	08/21/20	257.17	42.53 W	0.00	49 of 55 - Sale <sup>25</sup>
	6.000	61.56	08/21/20	67.64	6.08 W	0.00	50 of 55 - Sale <sup>25</sup>
	10.000	102.60	08/21/20	114.37	11.77 W	0.00	51 of 55 - Sale <sup>25</sup>
	15.000	153.89	08/21/20	176.32	22.43 W	0.00	52 of 55 - Sale <sup>25</sup>
	7.000	71.82	08/21/20	79.24	7.42 W	0.00	53 of 55 - Sale <sup>25</sup>
	8.000	82.08	08/21/20	91.14	9.06 W	0.00	54 of 55 - Sale <sup>25</sup>
	9.000	92.33	08/21/20	103.52	11.19 W	0.00	55 of 55 - Sale <sup>25</sup>
08/21/20	555.000	5,674.60	Various	7,093.78	1,419.18 W	0.00	Total of 55 transactions
	54 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	2.000	16.22	08/25/20	29.24	13.02 W	0.00	1 of 54 - Sale <sup>25</sup>
	3.000	24.33	08/25/20	43.88	19.55 W	0.00	2 of 54 - Sale <sup>25</sup>
	5.000	40.56	08/25/20	73.44	32.88 W	0.00	3 of 54 - Sale <sup>25</sup>
	5.000	40.56	08/25/20	66.15	25.59 W	0.00	4 of 54 - Sale <sup>25</sup>
	25.000	202.77	08/25/20	348.92	146.15 W	0.00	5 of 54 - Sale <sup>25</sup>
	2.000	16.22	08/25/20	25.56	9.34 W	0.00	6 of 54 - Sale <sup>25</sup>
	4.000	32.44	08/25/20	51.19	18.75 W	0.00	7 of 54 - Sale <sup>25</sup>
	5.000	40.55	08/25/20	63.90	23.35 W	0.00	8 of 54 - Sale <sup>25</sup>
	6.000	48.67	08/25/20	77.02	28.35 W	0.00	9 of 54 - Sale <sup>25</sup>
	7.000	56.78	08/25/20	87.86	31.08 W	0.00	10 of 54 - Sale <sup>25</sup>
	8.000	64.89	08/25/20	102.18	37.29 W	0.00	11 of 54 - Sale <sup>25</sup>
	8.000	64.89	08/25/20	102.36	37.47 W	0.00	12 of 54 - Sale <sup>25</sup>
	20.000	162.22	08/25/20	250.87	88.65 W	0.00	13 of 54 - Sale <sup>25</sup>
	25.000	202.77	08/25/20	319.04	116.27 W	0.00	14 of 54 - Sale <sup>25</sup>
	4.000	32.44	08/25/20	50.86	18.42 W	0.00	15 of 54 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	6.000	48.67	08/25/20	73.26	24.59 W	0.00	16 of 54 - Sale <sup>25</sup>
	10.000	81.11	08/25/20	122.00	40.89 W	0.00	17 of 54 - Sale <sup>25</sup>
	11.000	89.22	08/25/20	139.96	50.74 W	0.00	18 of 54 - Sale <sup>25</sup>
	15.000	121.67	08/25/20	182.83	61.16 W	0.00	19 of 54 - Sale <sup>25</sup>
	29.000	235.22	08/25/20	360.96	125.74 W	0.00	20 of 54 - Sale <sup>25</sup>
	1.000	8.11	08/25/20	9.95	1.84 W	0.00	21 of 54 - Sale <sup>25</sup>
	5.000	40.56	08/25/20	49.25	8.69 W	0.00	22 of 54 - Sale <sup>25</sup>
	10.000	81.11	08/25/20	98.69	17.58 W	0.00	23 of 54 - Sale <sup>25</sup>
	14.000	113.55	08/25/20	136.01	22.46 W	0.00	24 of 54 - Sale <sup>25</sup>
	20.000	162.22	08/25/20	197.56	35.34 W	0.00	25 of 54 - Sale <sup>25</sup>
	50.000	405.55	08/25/20	491.96	86.41 W	0.00	26 of 54 - Sale <sup>25</sup>
	1.000	8.11	08/25/20	9.41	1.30 W	0.00	27 of 54 - Sale <sup>25</sup>
	4.000	32.44	08/25/20	36.41	3.97 W	0.00	28 of 54 - Sale <sup>25</sup>
	10.000	81.11	08/25/20	94.03	12.92 W	0.00	29 of 54 - Sale <sup>25</sup>
	10.000	81.11	08/25/20	94.30	13.19 W	0.00	30 of 54 - Sale <sup>25</sup>
	15.000	121.66	08/25/20	147.75	26.09 W	0.00	31 of 54 - Sale <sup>25</sup>
	50.000	405.55	08/25/20	497.55	92.00 W	0.00	32 of 54 - Sale <sup>25</sup>
	1.000	8.11	08/25/20	8.87	0.76 W	0.00	33 of 54 - Sale <sup>25</sup>
	1.000	8.11	08/25/20	8.98	0.87 W	0.00	34 of 54 - Sale <sup>25</sup>
	1.000	8.11	08/25/20	10.07	1.96 W	0.00	35 of 54 - Sale <sup>25</sup>
	1.000	8.11	08/25/20	10.08	1.97 W	0.00	36 of 54 - Sale <sup>25</sup>
	2.000	16.22	08/25/20	20.53	4.31 W	0.00	37 of 54 - Sale <sup>25</sup>
	3.000	24.33	08/25/20	27.25	2.92 W	0.00	38 of 54 - Sale <sup>25</sup>
	3.000	24.33	08/25/20	28.37	4.04 W	0.00	39 of 54 - Sale <sup>25</sup>
	4.000	32.44	08/25/20	35.88	3.44 W	0.00	40 of 54 - Sale <sup>25</sup>
	4.000	32.44	08/25/20	35.92	3.48 W	0.00	41 of 54 - Sale <sup>25</sup>
	4.000	32.44	08/25/20	39.90	7.46 W	0.00	42 of 54 - Sale <sup>25</sup>
	4.000	32.45	08/25/20	40.30	7.85 W	0.00	43 of 54 - Sale <sup>25</sup>
	8.000	64.89	08/25/20	82.10	17.21 W	0.00	44 of 54 - Sale <sup>25</sup>
	9.000	73.00	08/25/20	90.66	17.66 W	0.00	45 of 54 - Sale <sup>25</sup>
	10.000	81.11	08/25/20	100.77	19.66 W	0.00	46 of 54 - Sale <sup>25</sup>
	15.000	121.67	08/25/20	135.76	14.09 W	0.00	47 of 54 - Sale <sup>25</sup>
	19.000	154.11	08/25/20	180.76	26.65 W	0.00	48 of 54 - Sale <sup>25</sup>
	21.000	170.34	08/25/20	199.75	29.41 W	0.00	49 of 54 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	8.11	08/25/20	10.31	2.20 W	0.00	50 of 54 - Sale <sup>25</sup>
	2.000	16.22	08/25/20	20.63	4.41 W	0.00	51 of 54 - Sale <sup>25</sup>
	5.000	40.56	08/25/20	51.56	11.00 W	0.00	52 of 54 - Sale <sup>25</sup>
	15.000	121.67	08/25/20	154.69	33.02 W	0.00	53 of 54 - Sale <sup>25</sup>
	1.000	8.11	08/25/20	10.57	2.46 W	0.00	54 of 54 - Sale <sup>25</sup>
08/25/20	524.000	4,250.16	Various	5,738.06	1,487.90 W	0.00	Total of 54 transactions
	41 transactions for 08/26/20. Total proceeds and cost reported to the IRS.						
	5.000	43.12	08/26/20	53.72	10.60 W	0.00	1 of 41 - Sale <sup>25</sup>
	2.000	17.25	08/26/20	30.58	13.33 W	0.00	2 of 41 - Sale <sup>25</sup>
	3.000	25.87	08/26/20	45.89	20.02 W	0.00	3 of 41 - Sale <sup>25</sup>
	5.000	43.12	08/26/20	76.78	33.66 W	0.00	4 of 41 - Sale <sup>25</sup>
	5.000	43.12	08/26/20	69.49	26.37 W	0.00	5 of 41 - Sale <sup>25</sup>
	25.000	215.60	08/26/20	365.65	150.05 W	0.00	6 of 41 - Sale <sup>25</sup>
	7.000	60.37	08/26/20	92.54	32.17 W	0.00	7 of 41 - Sale <sup>25</sup>
	20.000	172.48	08/26/20	264.25	91.77 W	0.00	8 of 41 - Sale <sup>25</sup>
	25.000	215.60	08/26/20	335.77	120.17 W	0.00	9 of 41 - Sale <sup>25</sup>
	2.000	17.25	08/26/20	26.90	9.65 W	0.00	10 of 41 - Sale <sup>25</sup>
	4.000	34.50	08/26/20	53.87	19.37 W	0.00	11 of 41 - Sale <sup>25</sup>
	5.000	43.12	08/26/20	67.25	24.13 W	0.00	12 of 41 - Sale <sup>25</sup>
	6.000	51.74	08/26/20	81.03	29.29 W	0.00	13 of 41 - Sale <sup>25</sup>
	8.000	68.99	08/26/20	107.53	38.54 W	0.00	14 of 41 - Sale <sup>25</sup>
	8.000	68.99	08/26/20	107.71	38.72 W	0.00	15 of 41 - Sale <sup>25</sup>
	4.000	34.50	08/26/20	53.54	19.04 W	0.00	16 of 41 - Sale <sup>25</sup>
	6.000	51.74	08/26/20	77.27	25.53 W	0.00	17 of 41 - Sale <sup>25</sup>
	10.000	86.24	08/26/20	128.69	42.45 W	0.00	18 of 41 - Sale <sup>25</sup>
	11.000	94.87	08/26/20	147.32	52.45 W	0.00	19 of 41 - Sale <sup>25</sup>
	15.000	129.36	08/26/20	192.86	63.50 W	0.00	20 of 41 - Sale <sup>25</sup>
	29.000	250.10	08/26/20	380.35	130.25 W	0.00	21 of 41 - Sale <sup>25</sup>
	1.000	8.62	08/26/20	10.62	2.00 W	0.00	22 of 41 - Sale <sup>25</sup>
	4.000	34.50	08/26/20	39.09	4.59 W	0.00	23 of 41 - Sale <sup>25</sup>
	5.000	43.12	08/26/20	52.59	9.47 W	0.00	24 of 41 - Sale <sup>25</sup>
	5.000	43.12	08/26/20	50.36	7.24 W	0.00	25 of 41 - Sale <sup>25</sup>
	10.000	86.24	08/26/20	99.57	13.33 W	0.00	26 of 41 - Sale <sup>25</sup>
	10.000	86.24	08/26/20	105.38	19.14 W	0.00	27 of 41 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	14.000	120.74	08/26/20	145.38	24.64 W	0.00	28 of 41 - Sale <sup>25</sup>
	15.000	129.36	08/26/20	154.13	24.77 W	0.00	29 of 41 - Sale <sup>25</sup>
	15.000	129.36	08/26/20	157.79	28.43 W	0.00	30 of 41 - Sale <sup>25</sup>
	20.000	172.48	08/26/20	210.93	38.45 W	0.00	31 of 41 - Sale <sup>25</sup>
	50.000	431.21	08/26/20	525.40	94.19 W	0.00	32 of 41 - Sale <sup>25</sup>
	50.000	431.21	08/26/20	530.98	99.77 W	0.00	33 of 41 - Sale <sup>25</sup>
	6.000	51.74	08/26/20	58.76	7.02 W	0.00	34 of 41 - Sale <sup>25</sup>
	7.000	60.37	08/26/20	68.88	8.51 W	0.00	35 of 41 - Sale <sup>25</sup>
	8.000	68.99	08/26/20	79.30	10.31 W	0.00	36 of 41 - Sale <sup>25</sup>
	9.000	77.62	08/26/20	90.21	12.59 W	0.00	37 of 41 - Sale <sup>25</sup>
	11.000	94.86	08/26/20	118.17	23.31 W	0.00	38 of 41 - Sale <sup>25</sup>
	14.000	120.74	08/26/20	137.24	16.50 W	0.00	39 of 41 - Sale <sup>25</sup>
	20.000	172.48	08/26/20	196.84	24.36 W	0.00	40 of 41 - Sale <sup>25</sup>
	21.000	181.11	08/26/20	226.91	45.80 W	0.00	41 of 41 - Sale <sup>25</sup>
08/26/20	500.000	4,312.04	Various	5,817.52	1,505.48 W	0.00	Total of 41 transactions
	35 transactions for 08/31/20. Total proceeds and cost reported to the IRS.						
	2.000	15.98	08/31/20	29.33	13.35 W	0.00	1 of 35 - Sale <sup>25</sup>
	3.000	23.98	08/31/20	44.01	20.03 W	0.00	2 of 35 - Sale <sup>25</sup>
	5.000	39.96	08/31/20	73.65	33.69 W	0.00	3 of 35 - Sale <sup>25</sup>
	5.000	39.96	08/31/20	66.36	26.40 W	0.00	4 of 35 - Sale <sup>25</sup>
	25.000	199.79	08/31/20	350.00	150.21 W	0.00	5 of 35 - Sale <sup>25</sup>
	3.000	23.98	08/31/20	38.44	14.46 W	0.00	6 of 35 - Sale <sup>25</sup>
	7.000	55.94	08/31/20	88.16	32.22 W	0.00	7 of 35 - Sale <sup>25</sup>
	20.000	159.83	08/31/20	251.73	91.90 W	0.00	8 of 35 - Sale <sup>25</sup>
	25.000	199.79	08/31/20	320.13	120.34 W	0.00	9 of 35 - Sale <sup>25</sup>
	1.000	7.99	08/31/20	9.30	1.31 W	0.00	10 of 35 - Sale <sup>25</sup>
	5.000	39.96	08/31/20	46.45	6.49 W	0.00	11 of 35 - Sale <sup>25</sup>
	10.000	79.92	08/31/20	93.17	13.25 W	0.00	12 of 35 - Sale <sup>25</sup>
	1.000	7.99	08/31/20	8.76	0.77 W	0.00	13 of 35 - Sale <sup>25</sup>
	1.000	7.99	08/31/20	8.87	0.88 W	0.00	14 of 35 - Sale <sup>25</sup>
	1.000	7.99	08/31/20	9.96	1.97 W	0.00	15 of 35 - Sale <sup>25</sup>
	1.000	7.99	08/31/20	9.97	1.98 W	0.00	16 of 35 - Sale <sup>25</sup>
	1.000	7.99	08/31/20	10.20	2.21 W	0.00	17 of 35 - Sale <sup>25</sup>
	1.000	7.99	08/31/20	10.46	2.47 W	0.00	18 of 35 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 527125207
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	15.98	08/31/20	20.31	4.33 W	0.00	19 of 35 - Sale <sup>25</sup>
	2.000	15.98	08/31/20	20.41	4.43 W	0.00	20 of 35 - Sale <sup>25</sup>
	3.000	23.97	08/31/20	26.91	2.94 W	0.00	21 of 35 - Sale <sup>25</sup>
	3.000	23.98	08/31/20	28.03	4.05 W	0.00	22 of 35 - Sale <sup>25</sup>
	4.000	31.97	08/31/20	35.43	3.46 W	0.00	23 of 35 - Sale <sup>25</sup>
	4.000	31.97	08/31/20	35.47	3.50 W	0.00	24 of 35 - Sale <sup>25</sup>
	4.000	31.97	08/31/20	39.45	7.48 W	0.00	25 of 35 - Sale <sup>25</sup>
	4.000	31.97	08/31/20	39.84	7.87 W	0.00	26 of 35 - Sale <sup>25</sup>
	5.000	39.96	08/31/20	50.99	11.03 W	0.00	27 of 35 - Sale <sup>25</sup>
	5.000	39.96	08/31/20	50.59	10.63 W	0.00	28 of 35 - Sale <sup>25</sup>
	8.000	63.93	08/31/20	81.20	17.27 W	0.00	29 of 35 - Sale <sup>25</sup>
	9.000	71.92	08/31/20	89.64	17.72 W	0.00	30 of 35 - Sale <sup>25</sup>
	10.000	79.92	08/31/20	99.64	19.72 W	0.00	31 of 35 - Sale <sup>25</sup>
	15.000	119.87	08/31/20	134.06	14.19 W	0.00	32 of 35 - Sale <sup>25</sup>
	15.000	119.88	08/31/20	152.99	33.11 W	0.00	33 of 35 - Sale <sup>25</sup>
	19.000	151.84	08/31/20	178.62	26.78 W	0.00	34 of 35 - Sale <sup>25</sup>
	21.000	167.83	08/31/20	197.37	29.54 W	0.00	35 of 35 - Sale <sup>25</sup>
08/31/20	250.000	1,997.92	Various	2,749.90	751.98 W	0.00	Total of 35 transactions
09/09/20	5.000	31.85	09/09/20	56.08	24.23 W	0.00	Sale <sup>25</sup>
	89 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	2.000	13.54	09/14/20	23.75	10.21 W	0.00	1 of 89 - Sale <sup>25</sup>
	4.000	27.08	09/14/20	47.57	20.49 W	0.00	2 of 89 - Sale <sup>25</sup>
	5.000	33.85	09/14/20	59.38	25.53 W	0.00	3 of 89 - Sale <sup>25</sup>
	6.000	40.62	09/14/20	71.59	30.97 W	0.00	4 of 89 - Sale <sup>25</sup>
	8.000	54.17	09/14/20	95.12	40.95 W	0.00	5 of 89 - Sale <sup>25</sup>
	4.000	27.08	09/14/20	47.24	20.16 W	0.00	6 of 89 - Sale <sup>25</sup>
	6.000	40.62	09/14/20	67.83	27.21 W	0.00	7 of 89 - Sale <sup>25</sup>
	10.000	67.71	09/14/20	112.95	45.24 W	0.00	8 of 89 - Sale <sup>25</sup>
	11.000	74.48	09/14/20	130.00	55.52 W	0.00	9 of 89 - Sale <sup>25</sup>
	15.000	101.56	09/14/20	169.25	67.69 W	0.00	10 of 89 - Sale <sup>25</sup>
	29.000	196.35	09/14/20	334.70	138.35 W	0.00	11 of 89 - Sale <sup>25</sup>
	1.000	6.77	09/14/20	9.05	2.28 W	0.00	12 of 89 - Sale <sup>25</sup>
	5.000	33.85	09/14/20	44.72	10.87 W	0.00	13 of 89 - Sale <sup>25</sup>
	5.000	33.85	09/14/20	44.73	10.88 W	0.00	14 of 89 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
10.000		67.71	09/14/20	83.83	16.12 W	0.00	15 of 89 - Sale <sup>25</sup>
10.000		67.71	09/14/20	89.64	21.93 W	0.00	16 of 89 - Sale <sup>25</sup>
14.000		94.79	09/14/20	123.34	28.55 W	0.00	17 of 89 - Sale <sup>25</sup>
15.000		101.56	09/14/20	130.52	28.96 W	0.00	18 of 89 - Sale <sup>25</sup>
20.000		135.41	09/14/20	179.45	44.04 W	0.00	19 of 89 - Sale <sup>25</sup>
50.000		338.53	09/14/20	446.69	108.16 W	0.00	20 of 89 - Sale <sup>25</sup>
3.000		20.31	09/14/20	26.84	6.53 W	0.00	21 of 89 - Sale <sup>25</sup>
2.000		13.54	09/14/20	27.59	14.05 W	0.00	22 of 89 - Sale <sup>25</sup>
3.000		20.31	09/14/20	41.39	21.08 W	0.00	23 of 89 - Sale <sup>25</sup>
5.000		33.85	09/14/20	69.29	35.44 W	0.00	24 of 89 - Sale <sup>25</sup>
5.000		33.85	09/14/20	62.00	28.15 W	0.00	25 of 89 - Sale <sup>25</sup>
25.000		169.27	09/14/20	328.21	158.94 W	0.00	26 of 89 - Sale <sup>25</sup>
3.000		20.31	09/14/20	35.82	15.51 W	0.00	27 of 89 - Sale <sup>25</sup>
5.000		33.85	09/14/20	59.83	25.98 W	0.00	28 of 89 - Sale <sup>25</sup>
7.000		47.39	09/14/20	82.06	34.67 W	0.00	29 of 89 - Sale <sup>25</sup>
20.000		135.41	09/14/20	234.30	98.89 W	0.00	30 of 89 - Sale <sup>25</sup>
25.000		169.27	09/14/20	298.34	129.07 W	0.00	31 of 89 - Sale <sup>25</sup>
1.000		6.77	09/14/20	8.43	1.66 W	0.00	32 of 89 - Sale <sup>25</sup>
4.000		27.08	09/14/20	33.07	5.99 W	0.00	33 of 89 - Sale <sup>25</sup>
5.000		33.85	09/14/20	42.84	8.99 W	0.00	34 of 89 - Sale <sup>25</sup>
5.000		33.85	09/14/20	42.09	8.24 W	0.00	35 of 89 - Sale <sup>25</sup>
7.000		47.39	09/14/20	63.10	15.71 W	0.00	36 of 89 - Sale <sup>25</sup>
10.000		67.71	09/14/20	84.45	16.74 W	0.00	37 of 89 - Sale <sup>25</sup>
50.000		338.53	09/14/20	455.77	117.24 W	0.00	38 of 89 - Sale <sup>25</sup>
1.000		6.77	09/14/20	7.89	1.12 W	0.00	39 of 89 - Sale <sup>25</sup>
1.000		6.77	09/14/20	8.00	1.23 W	0.00	40 of 89 - Sale <sup>25</sup>
1.000		6.77	09/14/20	9.09	2.32 W	0.00	41 of 89 - Sale <sup>25</sup>
1.000		6.77	09/14/20	9.10	2.33 W	0.00	42 of 89 - Sale <sup>25</sup>
1.000		6.77	09/14/20	9.33	2.56 W	0.00	43 of 89 - Sale <sup>25</sup>
1.000		6.77	09/14/20	9.59	2.82 W	0.00	44 of 89 - Sale <sup>25</sup>
2.000		13.54	09/14/20	18.57	5.03 W	0.00	45 of 89 - Sale <sup>25</sup>
2.000		13.54	09/14/20	18.67	5.13 W	0.00	46 of 89 - Sale <sup>25</sup>
3.000		20.31	09/14/20	24.30	3.99 W	0.00	47 of 89 - Sale <sup>25</sup>
3.000		20.31	09/14/20	25.41	5.10 W	0.00	48 of 89 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	4.000	27.08	09/14/20	31.94	4.86 W	0.00	49 of 89 - Sale <sup>25</sup>
	4.000	27.08	09/14/20	31.98	4.90 W	0.00	50 of 89 - Sale <sup>25</sup>
	4.000	27.08	09/14/20	35.96	8.88 W	0.00	51 of 89 - Sale <sup>25</sup>
	4.000	27.08	09/14/20	36.35	9.27 W	0.00	52 of 89 - Sale <sup>25</sup>
	5.000	33.85	09/14/20	46.63	12.78 W	0.00	53 of 89 - Sale <sup>25</sup>
	5.000	33.85	09/14/20	46.23	12.38 W	0.00	54 of 89 - Sale <sup>25</sup>
	6.000	40.62	09/14/20	49.74	9.12 W	0.00	55 of 89 - Sale <sup>25</sup>
	7.000	47.40	09/14/20	58.35	10.95 W	0.00	56 of 89 - Sale <sup>25</sup>
	8.000	54.17	09/14/20	67.27	13.10 W	0.00	57 of 89 - Sale <sup>25</sup>
	8.000	54.17	09/14/20	74.23	20.06 W	0.00	58 of 89 - Sale <sup>25</sup>
	9.000	60.94	09/14/20	76.67	15.73 W	0.00	59 of 89 - Sale <sup>25</sup>
	9.000	60.94	09/14/20	81.80	20.86 W	0.00	60 of 89 - Sale <sup>25</sup>
	10.000	67.71	09/14/20	90.92	23.21 W	0.00	61 of 89 - Sale <sup>25</sup>
	11.000	74.48	09/14/20	101.63	27.15 W	0.00	62 of 89 - Sale <sup>25</sup>
	14.000	94.79	09/14/20	116.18	21.39 W	0.00	63 of 89 - Sale <sup>25</sup>
	15.000	101.56	09/14/20	120.99	19.43 W	0.00	64 of 89 - Sale <sup>25</sup>
	15.000	101.56	09/14/20	139.91	38.35 W	0.00	65 of 89 - Sale <sup>25</sup>
	19.000	128.65	09/14/20	162.06	33.41 W	0.00	66 of 89 - Sale <sup>25</sup>
	20.000	135.41	09/14/20	166.76	31.35 W	0.00	67 of 89 - Sale <sup>25</sup>
	21.000	142.18	09/14/20	195.32	53.14 W	0.00	68 of 89 - Sale <sup>25</sup>
	21.000	142.19	09/14/20	179.06	36.87 W	0.00	69 of 89 - Sale <sup>25</sup>
	18.000	121.88	09/14/20	128.16	6.28 W	0.00	70 of 89 - Sale <sup>25</sup>
	5.000	33.86	09/14/20	35.50	1.64 W	0.00	71 of 89 - Sale <sup>25</sup>
	2.000	14.10	09/14/20	23.71	9.61 W	0.00	72 of 89 - Sale <sup>25</sup>
	4.000	28.20	09/14/20	47.49	19.29 W	0.00	73 of 89 - Sale <sup>25</sup>
	5.000	35.25	09/14/20	59.28	24.03 W	0.00	74 of 89 - Sale <sup>25</sup>
	6.000	42.30	09/14/20	71.47	29.17 W	0.00	75 of 89 - Sale <sup>25</sup>
	8.000	56.40	09/14/20	94.95	38.55 W	0.00	76 of 89 - Sale <sup>25</sup>
	4.000	28.20	09/14/20	47.16	18.96 W	0.00	77 of 89 - Sale <sup>25</sup>
	6.000	42.30	09/14/20	67.71	25.41 W	0.00	78 of 89 - Sale <sup>25</sup>
	10.000	70.50	09/14/20	112.74	42.24 W	0.00	79 of 89 - Sale <sup>25</sup>
	11.000	77.55	09/14/20	129.77	52.22 W	0.00	80 of 89 - Sale <sup>25</sup>
	15.000	105.75	09/14/20	168.94	63.19 W	0.00	81 of 89 - Sale <sup>25</sup>
	29.000	204.45	09/14/20	334.10	129.65 W	0.00	82 of 89 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	7.000	49.35	09/14/20	61.53	12.18 W	0.00	83 of 89 - Sale <sup>25</sup>
	10.000	70.50	09/14/20	83.62	13.12 W	0.00	84 of 89 - Sale <sup>25</sup>
	15.000	105.75	09/14/20	130.21	24.46 W	0.00	85 of 89 - Sale <sup>25</sup>
	1.000	7.05	09/14/20	9.03	1.98 W	0.00	86 of 89 - Sale <sup>25</sup>
	7.000	49.35	09/14/20	61.52	12.17 W	0.00	87 of 89 - Sale <sup>25</sup>
	10.000	70.50	09/14/20	89.43	18.93 W	0.00	88 of 89 - Sale <sup>25</sup>
	50.000	352.50	09/14/20	445.66	93.16 W	0.00	89 of 89 - Sale <sup>25</sup>
09/14/20	873.000	5,966.63	Various	8,558.68	2,592.05 W	0.00	Total of 89 transactions
	11 transactions for 09/15/20. Total proceeds and cost reported to the IRS.						
	1.000	7.05	09/14/20	9.18	2.13 W	0.00	1 of 11 - Sale <sup>25</sup>
	5.000	35.25	09/14/20	45.87	10.62 W	0.00	2 of 11 - Sale <sup>25</sup>
	20.000	141.00	09/14/20	184.04	43.04 W	0.00	3 of 11 - Sale <sup>25</sup>
	2.000	14.10	09/14/20	29.41	15.31 W	0.00	4 of 11 - Sale <sup>25</sup>
	3.000	21.15	09/14/20	44.12	22.97 W	0.00	5 of 11 - Sale <sup>25</sup>
	5.000	35.25	09/14/20	73.84	38.59 W	0.00	6 of 11 - Sale <sup>25</sup>
	5.000	35.25	09/14/20	66.55	31.30 W	0.00	7 of 11 - Sale <sup>25</sup>
	25.000	176.24	09/14/20	350.93	174.69 W	0.00	8 of 11 - Sale <sup>25</sup>
	7.000	49.35	09/14/20	88.43	39.08 W	0.00	9 of 11 - Sale <sup>25</sup>
	3.000	21.15	09/14/20	29.57	8.42 W	0.00	10 of 11 - Sale <sup>25</sup>
	4.000	28.20	09/14/20	39.42	11.22 W	0.00	11 of 11 - Sale <sup>25</sup>
09/15/20	80.000	563.99	Various	961.36	397.37 W	0.00	Total of 11 transactions
	17 transactions for 09/16/20. Total proceeds and cost reported to the IRS.						
	3.000	24.99	09/16/20	38.08	13.09 W	0.00	1 of 17 - Sale <sup>25</sup>
	3.000	24.99	09/16/20	38.76	13.77 W	0.00	2 of 17 - Sale <sup>25</sup>
	2.000	16.66	09/16/20	25.89	9.23 W	0.00	3 of 17 - Sale <sup>25</sup>
	17.000	141.61	09/16/20	215.81	74.20 W	0.00	4 of 17 - Sale <sup>25</sup>
	25.000	208.26	09/16/20	322.82	114.56 W	0.00	5 of 17 - Sale <sup>25</sup>
	3.000	25.11	09/16/20	38.84	13.73 W	0.00	6 of 17 - Sale <sup>25</sup>
	1.000	8.37	09/16/20	9.41	1.04 W	0.00	7 of 17 - Sale <sup>25</sup>
	4.000	33.48	09/16/20	36.99	3.51 W	0.00	8 of 17 - Sale <sup>25</sup>
	5.000	41.85	09/16/20	47.74	5.89 W	0.00	9 of 17 - Sale <sup>25</sup>
	5.000	41.85	09/16/20	46.99	5.14 W	0.00	10 of 17 - Sale <sup>25</sup>
	7.000	58.59	09/16/20	69.96	11.37 W	0.00	11 of 17 - Sale <sup>25</sup>
	10.000	83.70	09/16/20	94.24	10.54 W	0.00	12 of 17 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	50.000	418.48	09/16/20	504.74	86.26 W	0.00	13 of 17 - Sale <sup>25</sup>
	11.000	92.06	09/16/20	112.40	20.34 W	0.00	14 of 17 - Sale <sup>25</sup>
	14.000	117.18	09/16/20	129.89	12.71 W	0.00	15 of 17 - Sale <sup>25</sup>
	19.000	159.02	09/16/20	177.03	18.01 W	0.00	16 of 17 - Sale <sup>25</sup>
	21.000	175.76	09/16/20	215.89	40.13 W	0.00	17 of 17 - Sale <sup>25</sup>
09/16/20	200.000	1,671.96	Various	2,125.48	453.52 W	0.00	Total of 17 transactions
	13 transactions for 09/17/20. Total proceeds and cost reported to the IRS.						
	3.000	27.99	09/16/20	27.53	...	0.46	1 of 13 - Sale <sup>25</sup>
	1.000	9.96	09/16/20	9.32	...	0.64	2 of 13 - Sale <sup>25</sup>
	1.000	9.96	09/16/20	8.98	...	0.98	3 of 13 - Sale <sup>25</sup>
	1.000	9.96	09/16/20	8.87	...	1.09	4 of 13 - Sale <sup>25</sup>
	6.000	55.98	09/16/20	54.85	...	1.13	5 of 13 - Sale <sup>25</sup>
	10.000	93.30	09/16/20	91.58	...	1.72	6 of 13 - Sale <sup>25</sup>
	4.000	39.85	09/16/20	35.90	...	3.95	7 of 13 - Sale <sup>25</sup>
	4.000	39.85	09/16/20	35.86	...	3.99	8 of 13 - Sale <sup>25</sup>
	6.000	59.77	09/16/20	55.62	...	4.15	9 of 13 - Sale <sup>25</sup>
	9.000	89.65	09/16/20	85.48	...	4.17	10 of 13 - Sale <sup>25</sup>
	7.000	69.73	09/16/20	65.20	...	4.53	11 of 13 - Sale <sup>25</sup>
	8.000	79.69	09/16/20	75.10	...	4.59	12 of 13 - Sale <sup>25</sup>
	9.000	89.65	09/16/20	81.41	...	8.24	13 of 13 - Sale <sup>25</sup>
09/17/20	69.000	675.34	Various	635.70	...	39.64	Total of 13 transactions
	37 transactions for 09/17/20. Total proceeds and cost reported to the IRS.						
	2.000	19.92	09/16/20	31.00	11.08 W	0.00	1 of 37 - Sale <sup>25</sup>
	3.000	29.88	09/16/20	46.51	16.63 W	0.00	2 of 37 - Sale <sup>25</sup>
	5.000	49.81	09/16/20	77.82	28.01 W	0.00	3 of 37 - Sale <sup>25</sup>
	21.000	209.19	09/16/20	311.50	102.31 W	0.00	4 of 37 - Sale <sup>25</sup>
	2.000	19.92	09/16/20	25.30	5.38 W	0.00	5 of 37 - Sale <sup>25</sup>
	4.000	39.85	09/16/20	50.67	10.82 W	0.00	6 of 37 - Sale <sup>25</sup>
	5.000	49.81	09/16/20	63.26	13.45 W	0.00	7 of 37 - Sale <sup>25</sup>
	6.000	59.77	09/16/20	76.25	16.48 W	0.00	8 of 37 - Sale <sup>25</sup>
	8.000	79.69	09/16/20	101.32	21.63 W	0.00	9 of 37 - Sale <sup>25</sup>
	4.000	39.84	09/16/20	50.34	10.50 W	0.00	10 of 37 - Sale <sup>25</sup>
	1.000	9.96	09/16/20	12.59	2.63 W	0.00	11 of 37 - Sale <sup>25</sup>
	15.000	149.43	09/16/20	180.88	31.45 W	0.00	12 of 37 - Sale <sup>25</sup>

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(continued)

03/15/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	29.000	288.89	09/16/20	357.18	68.29 W	0.00	13 of 37 - Sale <sup>25</sup>
	1.000	9.33	09/16/20	9.98	0.65 W	0.00	14 of 37 - Sale <sup>25</sup>
	5.000	46.65	09/16/20	49.85	3.20 W	0.00	15 of 37 - Sale <sup>25</sup>
	6.000	55.98	09/16/20	72.49	16.51 W	0.00	16 of 37 - Sale <sup>25</sup>
	10.000	93.30	09/16/20	120.70	27.40 W	0.00	17 of 37 - Sale <sup>25</sup>
	10.000	93.30	09/16/20	125.94	32.64 W	0.00	18 of 37 - Sale <sup>25</sup>
	20.000	186.60	09/16/20	199.96	13.36 W	0.00	19 of 37 - Sale <sup>25</sup>
	1.000	9.33	09/16/20	9.83	0.50 W	0.00	20 of 37 - Sale <sup>25</sup>
	7.000	65.31	09/16/20	67.10	1.79 W	0.00	21 of 37 - Sale <sup>25</sup>
	7.000	65.31	09/16/20	67.09	1.78 W	0.00	22 of 37 - Sale <sup>25</sup>
	10.000	93.30	09/16/20	97.39	4.09 W	0.00	23 of 37 - Sale <sup>25</sup>
	15.000	139.95	09/16/20	142.15	2.20 W	0.00	24 of 37 - Sale <sup>25</sup>
	50.000	466.49	09/16/20	485.45	18.96 W	0.00	25 of 37 - Sale <sup>25</sup>
	1.000	9.33	09/16/20	10.17	0.84 W	0.00	26 of 37 - Sale <sup>25</sup>
	1.000	9.33	09/16/20	10.18	0.85 W	0.00	27 of 37 - Sale <sup>25</sup>
	2.000	18.66	09/16/20	20.72	2.06 W	0.00	28 of 37 - Sale <sup>25</sup>
	3.000	27.99	09/16/20	28.64	0.65 W	0.00	29 of 37 - Sale <sup>25</sup>
	4.000	37.32	09/16/20	40.26	2.94 W	0.00	30 of 37 - Sale <sup>25</sup>
	4.000	37.32	09/16/20	40.65	3.33 W	0.00	31 of 37 - Sale <sup>25</sup>
	8.000	74.64	09/16/20	82.83	8.19 W	0.00	32 of 37 - Sale <sup>25</sup>
	9.000	83.97	09/16/20	91.47	7.50 W	0.00	33 of 37 - Sale <sup>25</sup>
	10.000	93.30	09/16/20	101.67	8.37 W	0.00	34 of 37 - Sale <sup>25</sup>
	7.000	65.30	09/16/20	72.82	7.52 W	0.00	35 of 37 - Sale <sup>25</sup>
	19.000	177.26	09/16/20	182.48	5.22 W	0.00	36 of 37 - Sale <sup>25</sup>
	21.000	195.92	09/16/20	201.64	5.72 W	0.00	37 of 37 - Sale <sup>25</sup>
09/17/20	336.000	3,201.15	Various	3,716.08	514.93 W	0.00	Total of 37 transactions
	14 transactions for 09/18/20. Total proceeds and cost reported to the IRS.						
	1.000	8.98	09/16/20	8.90	...	0.08	1 of 14 - Sale <sup>25</sup>
	1.000	8.98	09/16/20	8.75	...	0.23	2 of 14 - Sale <sup>25</sup>
	5.000	44.90	09/16/20	44.45	...	0.45	3 of 14 - Sale <sup>25</sup>
	20.000	179.62	09/16/20	178.36	...	1.26	4 of 14 - Sale <sup>25</sup>
	3.000	26.94	09/16/20	25.40	...	1.54	5 of 14 - Sale <sup>25</sup>
	10.000	89.81	09/16/20	86.59	...	3.22	6 of 14 - Sale <sup>25</sup>
	7.000	62.87	09/16/20	59.54	...	3.33	7 of 14 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	7.000	62.87	09/16/20	59.53	...	3.34	8 of 14 - Sale <sup>25</sup>
	5.000	44.90	09/16/20	40.87	...	4.03	9 of 14 - Sale <sup>25</sup>
	19.000	170.63	09/16/20	161.97	...	8.66	10 of 14 - Sale <sup>25</sup>
	15.000	134.71	09/16/20	125.95	...	8.76	11 of 14 - Sale <sup>25</sup>
	21.000	188.60	09/16/20	178.97	...	9.63	12 of 14 - Sale <sup>25</sup>
	18.000	161.65	09/16/20	147.51	...	14.14	13 of 14 - Sale <sup>25</sup>
	50.000	449.04	09/16/20	431.46	...	17.58	14 of 14 - Sale <sup>25</sup>
09/18/20	182.000	1,634.50	Various	1,558.25	...	76.25	Total of 14 transactions
	57 transactions for 09/18/20. Total proceeds and cost reported to the IRS.						
	1.000	8.98	09/16/20	10.41	1.43 W	0.00	1 of 57 - Sale <sup>25</sup>
	1.000	8.98	09/16/20	10.67	1.69 W	0.00	2 of 57 - Sale <sup>25</sup>
	2.000	17.96	09/16/20	20.82	2.86 W	0.00	3 of 57 - Sale <sup>25</sup>
	5.000	44.90	09/16/20	52.01	7.11 W	0.00	4 of 57 - Sale <sup>25</sup>
	5.000	44.90	09/16/20	51.61	6.71 W	0.00	5 of 57 - Sale <sup>25</sup>
	8.000	71.85	09/16/20	83.22	11.37 W	0.00	6 of 57 - Sale <sup>25</sup>
	4.000	35.92	09/16/20	59.35	23.43 W	0.00	7 of 57 - Sale <sup>25</sup>
	5.000	44.90	09/16/20	70.55	25.65 W	0.00	8 of 57 - Sale <sup>25</sup>
	1.000	8.98	09/16/20	13.43	4.45 W	0.00	9 of 57 - Sale <sup>25</sup>
	2.000	17.96	09/16/20	27.68	9.72 W	0.00	10 of 57 - Sale <sup>25</sup>
	3.000	26.94	09/16/20	41.53	14.59 W	0.00	11 of 57 - Sale <sup>25</sup>
	5.000	44.90	09/16/20	69.51	24.61 W	0.00	12 of 57 - Sale <sup>25</sup>
	21.000	188.60	09/16/20	276.61	88.01 W	0.00	13 of 57 - Sale <sup>25</sup>
	2.000	17.96	09/16/20	21.98	4.02 W	0.00	14 of 57 - Sale <sup>25</sup>
	4.000	35.92	09/16/20	44.02	8.10 W	0.00	15 of 57 - Sale <sup>25</sup>
	5.000	44.90	09/16/20	54.95	10.05 W	0.00	16 of 57 - Sale <sup>25</sup>
	6.000	53.88	09/16/20	83.30	29.42 W	0.00	17 of 57 - Sale <sup>25</sup>
	6.000	53.88	09/16/20	66.28	12.40 W	0.00	18 of 57 - Sale <sup>25</sup>
	8.000	71.85	09/16/20	88.03	16.18 W	0.00	19 of 57 - Sale <sup>25</sup>
	1.000	8.98	09/16/20	10.40	1.42 W	0.00	20 of 57 - Sale <sup>25</sup>
	2.000	17.96	09/16/20	25.83	7.87 W	0.00	21 of 57 - Sale <sup>25</sup>
	3.000	26.94	09/16/20	37.99	11.05 W	0.00	22 of 57 - Sale <sup>25</sup>
	3.000	26.94	09/16/20	38.67	11.73 W	0.00	23 of 57 - Sale <sup>25</sup>
	3.000	26.94	09/16/20	38.63	11.69 W	0.00	24 of 57 - Sale <sup>25</sup>
	17.000	152.67	09/16/20	215.30	62.63 W	0.00	25 of 57 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	25.000	224.52	09/16/20	322.06	97.54 W	0.00	26 of 57 - Sale <sup>25</sup>
	3.000	26.94	09/16/20	33.32	6.38 W	0.00	27 of 57 - Sale <sup>25</sup>
	4.000	35.92	09/16/20	44.42	8.50 W	0.00	28 of 57 - Sale <sup>25</sup>
	1.000	8.98	09/16/20	9.34	0.36 W	0.00	29 of 57 - Sale <sup>25</sup>
	4.000	35.92	09/16/20	36.71	0.79 W	0.00	30 of 57 - Sale <sup>25</sup>
	5.000	44.90	09/16/20	47.39	2.49 W	0.00	31 of 57 - Sale <sup>25</sup>
	5.000	44.90	09/16/20	46.64	1.74 W	0.00	32 of 57 - Sale <sup>25</sup>
	7.000	62.87	09/16/20	69.47	6.60 W	0.00	33 of 57 - Sale <sup>25</sup>
	10.000	89.81	09/16/20	93.54	3.73 W	0.00	34 of 57 - Sale <sup>25</sup>
	50.000	449.04	09/16/20	501.26	52.22 W	0.00	35 of 57 - Sale <sup>25</sup>
	11.000	98.79	09/16/20	111.64	12.85 W	0.00	36 of 57 - Sale <sup>25</sup>
	14.000	125.73	09/16/20	128.91	3.18 W	0.00	37 of 57 - Sale <sup>25</sup>
	19.000	170.63	09/16/20	175.71	5.08 W	0.00	38 of 57 - Sale <sup>25</sup>
	21.000	188.60	09/16/20	214.43	25.83 W	0.00	39 of 57 - Sale <sup>25</sup>
	1.000	8.98	09/16/20	10.88	1.90 W	0.00	40 of 57 - Sale <sup>25</sup>
	4.000	35.92	09/16/20	43.50	7.58 W	0.00	41 of 57 - Sale <sup>25</sup>
	6.000	53.88	09/16/20	66.01	12.13 W	0.00	42 of 57 - Sale <sup>25</sup>
	10.000	89.81	09/16/20	115.14	25.33 W	0.00	43 of 57 - Sale <sup>25</sup>
	10.000	89.81	09/16/20	109.90	20.09 W	0.00	44 of 57 - Sale <sup>25</sup>
	14.000	125.73	09/16/20	144.85	19.12 W	0.00	45 of 57 - Sale <sup>25</sup>
	29.000	260.44	09/16/20	307.54	47.10 W	0.00	46 of 57 - Sale <sup>25</sup>
	1.000	8.98	09/16/20	9.09	0.11 W	0.00	47 of 57 - Sale <sup>25</sup>
	1.000	8.98	09/16/20	9.10	0.12 W	0.00	48 of 57 - Sale <sup>25</sup>
	4.000	35.92	09/16/20	35.94	0.02 W	0.00	49 of 57 - Sale <sup>25</sup>
	4.000	35.92	09/16/20	36.33	0.41 W	0.00	50 of 57 - Sale <sup>25</sup>
	8.000	71.85	09/16/20	74.19	2.34 W	0.00	51 of 57 - Sale <sup>25</sup>
	9.000	80.83	09/16/20	81.75	0.92 W	0.00	52 of 57 - Sale <sup>25</sup>
	10.000	89.81	09/16/20	90.87	1.06 W	0.00	53 of 57 - Sale <sup>25</sup>
	2.000	17.96	09/17/20	22.19	4.23 W	0.00	54 of 57 - Sale <sup>25</sup>
	7.000	62.87	09/17/20	77.98	15.11 W	0.00	55 of 57 - Sale <sup>25</sup>
	143.000	1,284.24	09/17/20	1,439.29	155.05 W	0.00	56 of 57 - Sale <sup>25</sup>
	3.000	26.94	09/17/20	30.00	3.06 W	0.00	57 of 57 - Sale <sup>25</sup>
09/18/20	568.000	5,101.01	Various	6,052.17	951.16 W	0.00	Total of 57 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
28 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	2.000	18.25	09/21/20	28.22	9.97 W	0.00	1 of 28 - Sale <sup>25</sup>
	3.000	27.38	09/21/20	42.34	14.96 W	0.00	2 of 28 - Sale <sup>25</sup>
	5.000	45.62	09/21/20	70.86	25.24 W	0.00	3 of 28 - Sale <sup>25</sup>
	8.000	73.00	09/21/20	107.53	34.53 W	0.00	4 of 28 - Sale <sup>25</sup>
	4.000	36.50	09/21/20	60.43	23.93 W	0.00	5 of 28 - Sale <sup>25</sup>
	5.000	45.63	09/21/20	71.90	26.27 W	0.00	6 of 28 - Sale <sup>25</sup>
	1.000	9.12	09/21/20	13.70	4.58 W	0.00	7 of 28 - Sale <sup>25</sup>
	1.000	9.12	09/21/20	10.68	1.56 W	0.00	8 of 28 - Sale <sup>25</sup>
	1.000	9.13	09/21/20	10.94	1.81 W	0.00	9 of 28 - Sale <sup>25</sup>
	2.000	18.25	09/21/20	21.36	3.11 W	0.00	10 of 28 - Sale <sup>25</sup>
	5.000	45.63	09/21/20	53.36	7.73 W	0.00	11 of 28 - Sale <sup>25</sup>
	5.000	45.62	09/21/20	52.96	7.34 W	0.00	12 of 28 - Sale <sup>25</sup>
	8.000	73.00	09/21/20	85.37	12.37 W	0.00	13 of 28 - Sale <sup>25</sup>
	13.000	118.55	09/21/20	174.73	56.18 W	0.00	14 of 28 - Sale <sup>25</sup>
	2.000	18.24	09/21/20	22.52	4.28 W	0.00	15 of 28 - Sale <sup>25</sup>
	4.000	36.48	09/21/20	45.10	8.62 W	0.00	16 of 28 - Sale <sup>25</sup>
	5.000	45.60	09/21/20	56.30	10.70 W	0.00	17 of 28 - Sale <sup>25</sup>
	6.000	54.72	09/21/20	84.92	30.20 W	0.00	18 of 28 - Sale <sup>25</sup>
	6.000	54.72	09/21/20	67.90	13.18 W	0.00	19 of 28 - Sale <sup>25</sup>
	8.000	72.96	09/21/20	90.18	17.22 W	0.00	20 of 28 - Sale <sup>25</sup>
	1.000	9.12	09/21/20	10.67	1.55 W	0.00	21 of 28 - Sale <sup>25</sup>
	2.000	18.24	09/21/20	26.37	8.13 W	0.00	22 of 28 - Sale <sup>25</sup>
	3.000	27.36	09/21/20	38.80	11.44 W	0.00	23 of 28 - Sale <sup>25</sup>
	3.000	27.36	09/21/20	39.48	12.12 W	0.00	24 of 28 - Sale <sup>25</sup>
	3.000	27.35	09/21/20	39.44	12.09 W	0.00	25 of 28 - Sale <sup>25</sup>
	17.000	155.03	09/21/20	219.88	64.85 W	0.00	26 of 28 - Sale <sup>25</sup>
	25.000	227.99	09/21/20	328.79	100.80 W	0.00	27 of 28 - Sale <sup>25</sup>
	2.000	18.24	09/21/20	22.75	4.51 W	0.00	28 of 28 - Sale <sup>25</sup>
09/21/20	150.000	1,368.21	Various	1,897.48	529.27 W	0.00	Total of 28 transactions
23 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	8.000	81.20	09/28/20	92.13	10.93 W	0.00	1 of 23 - Sale <sup>25</sup>
	2.000	20.30	09/28/20	24.55	4.25 W	0.00	2 of 23 - Sale <sup>25</sup>
	3.000	30.30	09/28/20	36.83	6.53 W	0.00	3 of 23 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	7.000	70.70	09/28/20	77.65	6.95 W	0.00	4 of 23 - Sale <sup>25</sup>
	40.000	403.99	09/28/20	447.78	43.79 W	0.00	5 of 23 - Sale <sup>25</sup>
	1.000	10.10	09/28/20	10.51	0.41 W	0.00	6 of 23 - Sale <sup>25</sup>
	4.000	40.40	09/28/20	41.39	0.99 W	0.00	7 of 23 - Sale <sup>25</sup>
	5.000	50.50	09/28/20	53.24	2.74 W	0.00	8 of 23 - Sale <sup>25</sup>
	5.000	50.50	09/28/20	52.49	1.99 W	0.00	9 of 23 - Sale <sup>25</sup>
	10.000	101.00	09/28/20	105.23	4.23 W	0.00	10 of 23 - Sale <sup>25</sup>
	10.000	101.00	09/28/20	111.94	10.94 W	0.00	11 of 23 - Sale <sup>25</sup>
	11.000	111.10	09/28/20	124.50	13.40 W	0.00	12 of 23 - Sale <sup>25</sup>
	14.000	141.40	09/28/20	145.28	3.88 W	0.00	13 of 23 - Sale <sup>25</sup>
	19.000	191.89	09/28/20	197.93	6.04 W	0.00	14 of 23 - Sale <sup>25</sup>
	21.000	212.10	09/28/20	238.98	26.88 W	0.00	15 of 23 - Sale <sup>25</sup>
	2.000	20.18	09/28/20	23.45	3.27 W	0.00	16 of 23 - Sale <sup>25</sup>
	6.000	60.54	09/28/20	68.79	8.25 W	0.00	17 of 23 - Sale <sup>25</sup>
	1.000	10.09	09/28/20	12.00	1.91 W	0.00	18 of 23 - Sale <sup>25</sup>
	4.000	40.36	09/28/20	47.98	7.62 W	0.00	19 of 23 - Sale <sup>25</sup>
	10.000	100.89	09/28/20	126.33	25.44 W	0.00	20 of 23 - Sale <sup>25</sup>
	27.000	272.42	09/28/20	316.55	44.13 W	0.00	21 of 23 - Sale <sup>25</sup>
	5.000	50.50	09/28/20	61.11	10.61 W	0.00	22 of 23 - Sale <sup>25</sup>
	1.000	10.10	09/28/20	12.22	2.12 W	0.00	23 of 23 - Sale <sup>25</sup>
09/28/20	216.000	2,181.56	Various	2,428.86	247.30 W	0.00	Total of 23 transactions
	8 transactions for 09/29/20. Total proceeds and cost reported to the IRS.						
	1.000	12.03	09/28/20	10.24	...	1.79	1 of 8 - Sale <sup>25</sup>
	1.000	12.03	09/28/20	10.23	...	1.80	2 of 8 - Sale <sup>25</sup>
	1.000	12.03	09/28/20	10.23	...	1.80	3 of 8 - Sale <sup>25</sup>
	4.000	48.12	09/28/20	40.89	...	7.23	4 of 8 - Sale <sup>25</sup>
	4.000	48.12	09/28/20	40.50	...	7.62	5 of 8 - Sale <sup>25</sup>
	8.000	96.25	09/28/20	83.30	...	12.95	6 of 8 - Sale <sup>25</sup>
	9.000	108.28	09/28/20	92.03	...	16.25	7 of 8 - Sale <sup>25</sup>
	9.000	108.28	09/28/20	92.00	...	16.28	8 of 8 - Sale <sup>25</sup>
09/29/20	37.000	445.14	Various	379.42	...	65.72	Total of 8 transactions
	19 transactions for 09/29/20. Total proceeds and cost reported to the IRS.						
	10.000	120.31	09/28/20	121.29	0.98 W	0.00	1 of 19 - Sale <sup>25</sup>
	2.000	24.06	09/28/20	24.47	0.41 W	0.00	2 of 19 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	7.000	84.22	09/28/20	85.95	1.73 W	0.00	3 of 19 - Sale <sup>25</sup>
	94.000	1,130.90	09/29/20	1,225.22	94.32 W	0.00	4 of 19 - Sale <sup>25</sup>
	2.000	24.06	09/29/20	33.97	9.91 W	0.00	5 of 19 - Sale <sup>25</sup>
	3.000	36.09	09/29/20	50.96	14.87 W	0.00	6 of 19 - Sale <sup>25</sup>
	5.000	60.15	09/29/20	85.23	25.08 W	0.00	7 of 19 - Sale <sup>25</sup>
	8.000	96.25	09/29/20	130.52	34.27 W	0.00	8 of 19 - Sale <sup>25</sup>
	4.000	48.12	09/29/20	71.92	23.80 W	0.00	9 of 19 - Sale <sup>25</sup>
	5.000	60.16	09/29/20	86.26	26.10 W	0.00	10 of 19 - Sale <sup>25</sup>
	1.000	12.03	09/29/20	16.58	4.55 W	0.00	11 of 19 - Sale <sup>25</sup>
	1.000	12.03	09/29/20	13.56	1.53 W	0.00	12 of 19 - Sale <sup>25</sup>
	1.000	12.03	09/29/20	13.81	1.78 W	0.00	13 of 19 - Sale <sup>25</sup>
	2.000	24.06	09/29/20	27.11	3.05 W	0.00	14 of 19 - Sale <sup>25</sup>
	3.000	36.09	09/29/20	40.39	4.30 W	0.00	15 of 19 - Sale <sup>25</sup>
	5.000	60.16	09/29/20	67.73	7.57 W	0.00	16 of 19 - Sale <sup>25</sup>
	8.000	96.25	09/29/20	108.36	12.11 W	0.00	17 of 19 - Sale <sup>25</sup>
	3.000	36.09	09/29/20	39.06	2.97 W	0.00	18 of 19 - Sale <sup>25</sup>
	49.000	589.52	09/29/20	641.08	51.56 W	0.00	19 of 19 - Sale <sup>25</sup>
09/29/20	213.000	2,562.58	Various	2,883.47	320.89 W	0.00	Total of 19 transactions
	57 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	2.000	21.46	10/05/20	31.61	10.15 W	0.00	1 of 57 - Sale <sup>25</sup>
	3.000	32.19	10/05/20	47.42	15.23 W	0.00	2 of 57 - Sale <sup>25</sup>
	5.000	53.65	10/05/20	79.33	25.68 W	0.00	3 of 57 - Sale <sup>25</sup>
	8.000	85.85	10/05/20	121.07	35.22 W	0.00	4 of 57 - Sale <sup>25</sup>
	13.000	139.50	10/05/20	197.23	57.73 W	0.00	5 of 57 - Sale <sup>25</sup>
	4.000	42.92	10/05/20	67.20	24.28 W	0.00	6 of 57 - Sale <sup>25</sup>
	5.000	53.65	10/05/20	80.35	26.70 W	0.00	7 of 57 - Sale <sup>25</sup>
	1.000	10.73	10/05/20	15.40	4.67 W	0.00	8 of 57 - Sale <sup>25</sup>
	2.000	21.46	10/05/20	25.98	4.52 W	0.00	9 of 57 - Sale <sup>25</sup>
	4.000	42.92	10/05/20	52.02	9.10 W	0.00	10 of 57 - Sale <sup>25</sup>
	5.000	53.65	10/05/20	64.95	11.30 W	0.00	11 of 57 - Sale <sup>25</sup>
	6.000	64.38	10/05/20	95.30	30.92 W	0.00	12 of 57 - Sale <sup>25</sup>
	6.000	64.38	10/05/20	78.28	13.90 W	0.00	13 of 57 - Sale <sup>25</sup>
	8.000	85.85	10/05/20	104.02	18.17 W	0.00	14 of 57 - Sale <sup>25</sup>
	10.000	107.31	10/05/20	109.48	2.17 W	0.00	15 of 57 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	1.000	10.73	10/05/20	12.40	1.67 W	0.00	16 of 57 - Sale <sup>25</sup>
	1.000	10.73	10/05/20	12.76	2.03 W	0.00	17 of 57 - Sale <sup>25</sup>
	1.000	10.73	10/05/20	12.97	2.24 W	0.00	18 of 57 - Sale <sup>25</sup>
	2.000	21.46	10/05/20	29.83	8.37 W	0.00	19 of 57 - Sale <sup>25</sup>
	2.000	21.46	10/05/20	24.97	3.51 W	0.00	20 of 57 - Sale <sup>25</sup>
	3.000	32.19	10/05/20	43.99	11.80 W	0.00	21 of 57 - Sale <sup>25</sup>
	3.000	32.19	10/05/20	44.67	12.48 W	0.00	22 of 57 - Sale <sup>25</sup>
	3.000	32.19	10/05/20	44.64	12.45 W	0.00	23 of 57 - Sale <sup>25</sup>
	4.000	42.92	10/05/20	51.02	8.10 W	0.00	24 of 57 - Sale <sup>25</sup>
	5.000	53.65	10/05/20	64.86	11.21 W	0.00	25 of 57 - Sale <sup>25</sup>
	6.000	64.38	10/05/20	73.35	8.97 W	0.00	26 of 57 - Sale <sup>25</sup>
	8.000	85.85	10/05/20	97.73	11.88 W	0.00	27 of 57 - Sale <sup>25</sup>
	10.000	107.31	10/05/20	133.94	26.63 W	0.00	28 of 57 - Sale <sup>25</sup>
	17.000	182.42	10/05/20	249.30	66.88 W	0.00	29 of 57 - Sale <sup>25</sup>
	25.000	268.27	10/05/20	372.05	103.78 W	0.00	30 of 57 - Sale <sup>25</sup>
	27.000	289.73	10/05/20	337.08	47.35 W	0.00	31 of 57 - Sale <sup>25</sup>
	2.000	21.46	10/05/20	26.21	4.75 W	0.00	32 of 57 - Sale <sup>25</sup>
	2.000	21.46	10/05/20	25.95	4.49 W	0.00	33 of 57 - Sale <sup>25</sup>
	3.000	32.19	10/05/20	39.08	6.89 W	0.00	34 of 57 - Sale <sup>25</sup>
	1.000	10.73	10/05/20	11.26	0.53 W	0.00	35 of 57 - Sale <sup>25</sup>
	2.000	21.46	10/05/20	22.11	0.65 W	0.00	36 of 57 - Sale <sup>25</sup>
	4.000	42.92	10/05/20	44.39	1.47 W	0.00	37 of 57 - Sale <sup>25</sup>
	5.000	53.65	10/05/20	56.99	3.34 W	0.00	38 of 57 - Sale <sup>25</sup>
	5.000	53.65	10/05/20	56.24	2.59 W	0.00	39 of 57 - Sale <sup>25</sup>
	7.000	75.12	10/05/20	82.90	7.78 W	0.00	40 of 57 - Sale <sup>25</sup>
	7.000	75.12	10/05/20	77.68	2.56 W	0.00	41 of 57 - Sale <sup>25</sup>
	10.000	107.31	10/05/20	119.44	12.13 W	0.00	42 of 57 - Sale <sup>25</sup>
	10.000	107.31	10/05/20	112.73	5.42 W	0.00	43 of 57 - Sale <sup>25</sup>
	40.000	429.23	10/05/20	477.79	48.56 W	0.00	44 of 57 - Sale <sup>25</sup>
	1.000	10.73	10/05/20	12.38	1.65 W	0.00	45 of 57 - Sale <sup>25</sup>
	1.000	10.73	10/05/20	12.63	1.90 W	0.00	46 of 57 - Sale <sup>25</sup>
	2.000	21.46	10/05/20	24.64	3.18 W	0.00	47 of 57 - Sale <sup>25</sup>
	2.000	21.46	10/05/20	24.75	3.29 W	0.00	48 of 57 - Sale <sup>25</sup>
	3.000	32.19	10/05/20	36.85	4.66 W	0.00	49 of 57 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	5.000	53.65	10/05/20	61.82		0.00	50 of 57 - Sale <sup>25</sup>
	8.000	85.85	10/05/20	98.91	8.17 W	0.00	51 of 57 - Sale <sup>25</sup>
	11.000	118.04	10/05/20	132.75	13.06 W	0.00	52 of 57 - Sale <sup>25</sup>
	14.000	150.23	10/05/20	155.78	14.71 W	0.00	53 of 57 - Sale <sup>25</sup>
	19.000	203.88	10/05/20	212.19	5.55 W	0.00	54 of 57 - Sale <sup>25</sup>
	21.000	225.34	10/05/20	254.73	8.31 W	0.00	55 of 57 - Sale <sup>25</sup>
	21.000	225.35	10/05/20	249.95	29.39 W	0.00	56 of 57 - Sale <sup>25</sup>
	94.000	1,008.69	10/05/20	1,114.22	24.60 W	0.00	57 of 57 - Sale <sup>25</sup>
10/06/20	500.000	5,365.32	Various	6,319.57	105.53 W	0.00	Total of 57 transactions
	24 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	94.000	1,059.35	10/08/20	1,174.31		-114.96	1 of 24 - Sale <sup>25</sup>
	469.000	5,285.47	10/08/20	5,332.53	...	-47.06	2 of 24 - Sale <sup>25</sup>
	28.000	315.55	10/08/20	347.82	...	-32.27	3 of 24 - Sale <sup>25</sup>
	21.000	236.66	10/08/20	268.16	...	-31.50	4 of 24 - Sale <sup>25</sup>
	21.000	236.66	10/08/20	263.37	...	-26.71	5 of 24 - Sale <sup>25</sup>
	11.000	123.97	10/08/20	139.78	...	-15.81	6 of 24 - Sale <sup>25</sup>
	8.000	90.16	10/08/20	104.02	...	-13.86	7 of 24 - Sale <sup>25</sup>
	10.000	112.70	10/08/20	125.83	...	-13.13	8 of 24 - Sale <sup>25</sup>
	19.000	214.12	10/08/20	224.34	...	-10.22	9 of 24 - Sale <sup>25</sup>
	5.000	56.35	10/08/20	65.02	...	-8.67	10 of 24 - Sale <sup>25</sup>
	14.000	157.78	10/08/20	164.73	...	-6.95	11 of 24 - Sale <sup>25</sup>
	10.000	112.70	10/08/20	119.12	...	-6.42	12 of 24 - Sale <sup>25</sup>
	3.000	33.81	10/08/20	38.77	...	-4.96	13 of 24 - Sale <sup>25</sup>
	5.000	56.35	10/08/20	60.19	...	-3.84	14 of 24 - Sale <sup>25</sup>
	2.000	22.54	10/08/20	26.03	...	-3.49	15 of 24 - Sale <sup>25</sup>
	2.000	22.54	10/08/20	25.92	...	-3.38	16 of 24 - Sale <sup>25</sup>
	3.000	33.81	10/08/20	37.08	...	-3.27	17 of 24 - Sale <sup>25</sup>
	7.000	78.89	10/08/20	82.15	...	-3.26	18 of 24 - Sale <sup>25</sup>
	5.000	56.35	10/08/20	59.44	...	-3.09	19 of 24 - Sale <sup>25</sup>
	1.000	11.27	10/08/20	13.27	...	-2.00	20 of 24 - Sale <sup>25</sup>
	4.000	45.08	10/08/20	46.95	...	-1.87	21 of 24 - Sale <sup>25</sup>
	1.000	11.27	10/08/20	13.02	...	-1.75	22 of 24 - Sale <sup>25</sup>
	2.000	22.54	10/08/20	23.39	...	-0.85	23 of 24 - Sale <sup>25</sup>

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Account 527125207

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
10/08/20	1.000	11.27	10/08/20	11.90	...	-0.63	24 of 24 - Sale <sup>25</sup>
	746.000	8,407.19	Various	8,767.14	...	-359.95	Total of 24 transactions
	36 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	40.000	450.79	10/08/20	503.36	47.31 W	-5.26	1 of 36 - Sale <sup>25</sup>
	2.000	22.54	10/08/20	32.89	10.35 W	0.00	2 of 36 - Sale <sup>25</sup>
	3.000	33.81	10/08/20	49.34	15.53 W	0.00	3 of 36 - Sale <sup>25</sup>
	5.000	56.35	10/08/20	82.53	26.18 W	0.00	4 of 36 - Sale <sup>25</sup>
	8.000	90.16	10/08/20	126.18	36.02 W	0.00	5 of 36 - Sale <sup>25</sup>
	13.000	146.51	10/08/20	205.54	59.03 W	0.00	6 of 36 - Sale <sup>25</sup>
	4.000	45.08	10/08/20	69.76	24.68 W	0.00	7 of 36 - Sale <sup>25</sup>
	5.000	56.35	10/08/20	83.55	27.20 W	0.00	8 of 36 - Sale <sup>25</sup>
	1.000	11.27	10/08/20	16.04	4.77 W	0.00	9 of 36 - Sale <sup>25</sup>
	2.000	22.54	10/08/20	27.26	4.72 W	0.00	10 of 36 - Sale <sup>25</sup>
	4.000	45.08	10/08/20	54.58	9.50 W	0.00	11 of 36 - Sale <sup>25</sup>
	5.000	56.35	10/08/20	68.15	11.80 W	0.00	12 of 36 - Sale <sup>25</sup>
	6.000	67.62	10/08/20	99.14	31.52 W	0.00	13 of 36 - Sale <sup>25</sup>
	6.000	67.62	10/08/20	82.12	14.50 W	0.00	14 of 36 - Sale <sup>25</sup>
	8.000	90.16	10/08/20	109.13	18.97 W	0.00	15 of 36 - Sale <sup>25</sup>
	10.000	112.70	10/08/20	115.87	3.17 W	0.00	16 of 36 - Sale <sup>25</sup>
	1.000	11.27	10/08/20	13.04	1.77 W	0.00	17 of 36 - Sale <sup>25</sup>
	1.000	11.27	10/08/20	13.40	2.13 W	0.00	18 of 36 - Sale <sup>25</sup>
	1.000	11.27	10/08/20	13.61	2.34 W	0.00	19 of 36 - Sale <sup>25</sup>
	2.000	22.54	10/08/20	31.11	8.57 W	0.00	20 of 36 - Sale <sup>25</sup>
	2.000	22.54	10/08/20	26.25	3.71 W	0.00	21 of 36 - Sale <sup>25</sup>
	3.000	33.81	10/08/20	45.91	12.10 W	0.00	22 of 36 - Sale <sup>25</sup>
	3.000	33.81	10/08/20	46.59	12.78 W	0.00	23 of 36 - Sale <sup>25</sup>
	3.000	33.81	10/08/20	46.56	12.75 W	0.00	24 of 36 - Sale <sup>25</sup>
	4.000	45.08	10/08/20	53.58	8.50 W	0.00	25 of 36 - Sale <sup>25</sup>
	5.000	56.35	10/08/20	68.06	11.71 W	0.00	26 of 36 - Sale <sup>25</sup>
	6.000	67.62	10/08/20	77.19	9.57 W	0.00	27 of 36 - Sale <sup>25</sup>
	8.000	90.16	10/08/20	102.84	12.68 W	0.00	28 of 36 - Sale <sup>25</sup>
	10.000	112.70	10/08/20	140.33	27.63 W	0.00	29 of 36 - Sale <sup>25</sup>
	17.000	191.58	10/08/20	260.17	68.59 W	0.00	30 of 36 - Sale <sup>25</sup>
	25.000	281.74	10/08/20	388.03	106.29 W	0.00	31 of 36 - Sale <sup>25</sup>

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(continued)

03/15/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	27.000	304.28	10/08/20	354.34	50.06 W	0.00	32 of 36 - Sale <sup>25</sup>
	2.000	22.54	10/08/20	27.49	4.95 W	0.00	33 of 36 - Sale <sup>25</sup>
	2.000	22.54	10/08/20	27.23	4.69 W	0.00	34 of 36 - Sale <sup>25</sup>
	3.000	33.81	10/08/20	41.00	7.19 W	0.00	35 of 36 - Sale <sup>25</sup>
	7.000	78.89	10/08/20	87.37	8.48 W	0.00	36 of 36 - Sale <sup>25</sup>
10/08/20	254.000	2,862.54	Various	3,589.54	721.74 W	-5.26	Total of 36 transactions
	36 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	2.000	22.40	10/09/20	32.96	...	-10.56	1 of 36 - Sale <sup>25</sup>
	25.000	279.99	10/12/20	385.01	...	-105.02	2 of 36 - Sale <sup>25</sup>
	17.000	190.39	10/12/20	258.12	...	-67.73	3 of 36 - Sale <sup>25</sup>
	13.000	145.59	10/12/20	203.97	...	-58.38	4 of 36 - Sale <sup>25</sup>
	27.000	302.38	10/12/20	351.08	...	-48.70	5 of 36 - Sale <sup>25</sup>
	36.000	403.17	10/12/20	448.66	...	-45.49	6 of 36 - Sale <sup>25</sup>
	8.000	89.60	10/12/20	125.21	...	-35.61	7 of 36 - Sale <sup>25</sup>
	6.000	67.20	10/12/20	98.41	...	-31.21	8 of 36 - Sale <sup>25</sup>
	10.000	111.99	10/12/20	139.12	...	-27.13	9 of 36 - Sale <sup>25</sup>
	5.000	56.00	10/12/20	82.94	...	-26.94	10 of 36 - Sale <sup>25</sup>
	5.000	56.00	10/12/20	81.92	...	-25.92	11 of 36 - Sale <sup>25</sup>
	4.000	44.80	10/12/20	69.28	...	-24.48	12 of 36 - Sale <sup>25</sup>
	8.000	89.60	10/12/20	108.16	...	-18.56	13 of 36 - Sale <sup>25</sup>
	3.000	33.60	10/12/20	48.98	...	-15.38	14 of 36 - Sale <sup>25</sup>
	6.000	67.20	10/12/20	81.39	...	-14.19	15 of 36 - Sale <sup>25</sup>
	3.000	33.60	10/12/20	46.23	...	-12.63	16 of 36 - Sale <sup>25</sup>
	3.000	33.60	10/12/20	46.20	...	-12.60	17 of 36 - Sale <sup>25</sup>
	8.000	89.60	10/12/20	101.87	...	-12.27	18 of 36 - Sale <sup>25</sup>
	3.000	33.60	10/12/20	45.55	...	-11.95	19 of 36 - Sale <sup>25</sup>
	5.000	56.00	10/12/20	67.54	...	-11.54	20 of 36 - Sale <sup>25</sup>
	5.000	56.00	10/12/20	67.45	...	-11.45	21 of 36 - Sale <sup>25</sup>
	4.000	44.80	10/12/20	54.10	...	-9.30	22 of 36 - Sale <sup>25</sup>
	6.000	67.20	10/12/20	76.46	...	-9.26	23 of 36 - Sale <sup>25</sup>
	2.000	22.40	10/12/20	30.87	...	-8.47	24 of 36 - Sale <sup>25</sup>
	4.000	44.80	10/12/20	53.10	...	-8.30	25 of 36 - Sale <sup>25</sup>
	7.000	78.40	10/12/20	86.52	...	-8.12	26 of 36 - Sale <sup>25</sup>
	3.000	33.60	10/12/20	40.64	...	-7.04	27 of 36 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
	2.000	22.40	10/12/20	27.25	...	-4.85	28 of 36 - Sale <sup>25</sup>
	1.000	11.20	10/12/20	15.92	...	-4.72	29 of 36 - Sale <sup>25</sup>
	2.000	22.40	10/12/20	27.02	...	-4.62	30 of 36 - Sale <sup>25</sup>
	2.000	22.40	10/12/20	26.99	...	-4.59	31 of 36 - Sale <sup>25</sup>
	2.000	22.40	10/12/20	26.01	...	-3.61	32 of 36 - Sale <sup>25</sup>
	10.000	111.99	10/12/20	114.66	...	-2.67	33 of 36 - Sale <sup>25</sup>
	1.000	11.20	10/12/20	13.49	...	-2.29	34 of 36 - Sale <sup>25</sup>
	1.000	11.20	10/12/20	13.28	...	-2.08	35 of 36 - Sale <sup>25</sup>
	1.000	11.20	10/12/20	12.92	...	-1.72	36 of 36 - Sale <sup>25</sup>
10/12/20	250.000	2,799.90	Various	3,509.28	...	-709.38	Total of 36 transactions
12/14/20	100.000	749.47	12/14/20	750.99	...	-1.52	Sale <sup>25</sup>
	<b>Security total:</b>	<b>273,531.19</b>		<b>307,272.86</b>	<b>34,587.43 W</b>	<b>845.76</b>	
SOUTHWEST AIRLINES CO. / CUSIP: 844741108 / Symbol:							
	4 transactions for 05/22/20. Total proceeds and cost reported to the IRS.						
	1.000	28.50	05/15/20	24.09	...	4.41	1 of 4 - Sale <sup>25</sup>
	20.000	569.98	05/15/20	481.50	...	88.48	2 of 4 - Sale <sup>25</sup>
	9.000	256.49	05/18/20	237.39	...	19.10	3 of 4 - Sale <sup>25</sup>
	20.000	569.99	05/19/20	555.40	...	14.59	4 of 4 - Sale <sup>25</sup>
05/22/20	50.000	1,424.96	Various	1,298.38	...	126.58	Total of 4 transactions
	2 transactions for 05/22/20. Total proceeds and cost reported to the IRS.						
	25.000	712.48	05/20/20	726.75	14.27 W	0.00	1 of 2 - Sale <sup>25</sup>
	25.000	712.48	05/20/20	729.75	17.27 W	0.00	2 of 2 - Sale <sup>25</sup>
05/22/20	50.000	1,424.96	Various	1,456.50	31.54 W	0.00	Total of 2 transactions
05/27/20	20.000	639.98	05/22/20	590.39	...	49.59	Sale <sup>25</sup>
	2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.						
	5.000	172.50	06/01/20	171.00	...	1.50	1 of 2 - Sale <sup>25</sup>
	19.000	655.48	06/01/20	652.09	...	3.39	2 of 2 - Sale <sup>25</sup>
06/02/20	24.000	827.98	Various	823.09	...	4.89	Total of 2 transactions
	3 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	1.000	37.98	06/01/20	34.32	...	3.66	1 of 3 - Sale <sup>25</sup>
	5.000	189.89	06/02/20	176.73	...	13.16	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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SOUTHWEST AIRLINES CO. / CUSIP: 844741108 / Symbol: (cont'd)							
06/05/20	44.000	1,671.08	06/02/20	1,524.91	...	146.17	3 of 3 - Sale <sup>25</sup>
	50.000	1,898.95	Various	1,735.96	...	162.99	Total of 3 transactions
	2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	10.000	379.79	06/04/20	394.90	15.11 W	0.00	1 of 2 - Sale <sup>25</sup>
	15.000	569.68	06/04/20	592.50	22.82 W	0.00	2 of 2 - Sale <sup>25</sup>
06/05/20	25.000	949.47	Various	987.40	37.93 W	0.00	Total of 2 transactions
	2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	10.000	322.49	06/10/20	384.17	61.68 W	0.00	1 of 2 - Sale <sup>25</sup>
	10.000	322.49	06/10/20	384.26	61.77 W	0.00	2 of 2 - Sale <sup>25</sup>
06/11/20	20.000	644.98	Various	768.43	123.45 W	0.00	Total of 2 transactions
	3 transactions for 06/12/20. Total proceeds and cost reported to the IRS.						
	10.000	359.86	06/11/20	412.06	52.20 W	0.00	1 of 3 - Sale <sup>25</sup>
	10.000	359.86	06/11/20	412.14	52.28 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	179.92	06/11/20	182.80	2.88 W	0.00	3 of 3 - Sale <sup>25</sup>
06/12/20	25.000	899.64	Various	1,007.00	107.36 W	0.00	Total of 3 transactions
	3 transactions for 06/18/20. Total proceeds and cost reported to the IRS.						
	10.000	358.61	06/17/20	411.99	53.38 W	0.00	1 of 3 - Sale <sup>25</sup>
	10.000	358.60	06/17/20	412.07	53.47 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	179.30	06/17/20	182.78	3.48 W	0.00	3 of 3 - Sale <sup>25</sup>
06/18/20	25.000	896.51	Various	1,006.84	110.33 W	0.00	Total of 3 transactions
	4 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	10.000	325.51	06/25/20	382.23	56.72 W	0.00	1 of 4 - Sale <sup>25</sup>
	10.000	325.50	06/25/20	382.33	56.83 W	0.00	2 of 4 - Sale <sup>25</sup>
	5.000	162.75	06/25/20	167.91	5.16 W	0.00	3 of 4 - Sale <sup>25</sup>
	25.000	813.77	06/25/20	822.13	8.36 W	0.00	4 of 4 - Sale <sup>25</sup>
06/25/20	50.000	1,627.53	Various	1,754.60	127.07 W	0.00	Total of 4 transactions
	4 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	10.000	345.40	06/29/20	409.86	...	-64.46	1 of 4 - Sale <sup>25</sup>
	10.000	345.39	06/29/20	409.75	...	-64.36	2 of 4 - Sale <sup>25</sup>
	25.000	872.52	06/29/20	887.88	...	-15.36	3 of 4 - Sale <sup>25</sup>
	5.000	172.69	06/29/20	181.66	...	-8.97	4 of 4 - Sale <sup>25</sup>
06/29/20	50.000	1,736.00	Various	1,889.15	...	-153.15	Total of 4 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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SOUTHWEST AIRLINES CO. / CUSIP: 844741108 / Symbol: (cont'd)							
8 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	10.000	339.99	06/29/20	396.08	56.09 W	0.00	1 of 8 - Sale <sup>25</sup>
	10.000	339.99	06/29/20	396.19	56.20 W	0.00	2 of 8 - Sale <sup>25</sup>
	5.000	170.00	06/29/20	174.84	4.84 W	0.00	3 of 8 - Sale <sup>25</sup>
	25.000	849.98	06/29/20	856.77	6.79 W	0.00	4 of 8 - Sale <sup>25</sup>
	10.000	349.23	06/29/20	407.68	58.45 W	0.00	5 of 8 - Sale <sup>25</sup>
	10.000	349.23	06/29/20	407.79	58.56 W	0.00	6 of 8 - Sale <sup>25</sup>
	5.000	174.62	06/29/20	180.63	6.01 W	0.00	7 of 8 - Sale <sup>25</sup>
	25.000	873.07	06/29/20	885.76	12.69 W	0.00	8 of 8 - Sale <sup>25</sup>
06/29/20	100.000	3,446.11	Various	3,705.74	259.63 W	0.00	Total of 8 transactions
	<b>Security total:</b>	<b>16,417.07</b>		<b>17,023.48</b>	<b>797.31 W</b>	<b>190.90</b>	
SOUTHWESTERN ENERGY COMPANY / CUSIP: 845467109 / Symbol:							
03/17/20	200.000	373.98	03/16/20	417.42	...	-43.44	Sale <sup>25</sup>
09/16/20	11.000	26.95	09/16/20	26.95	...	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>400.93</b>		<b>444.37</b>	<b>...</b>	<b>-43.44</b>	
SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol:							
2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	20.000	288.40	07/09/20	291.00	2.60 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	72.10	07/09/20	72.75	0.65 W	0.00	2 of 2 - Sale <sup>25</sup>
07/09/20	25.000	360.50	Various	363.75	3.25 W	0.00	Total of 2 transactions
37 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	10.000	169.10	07/10/20	171.90	2.80 W	0.00	1 of 37 - Sale <sup>25</sup>
	5.000	84.50	07/10/20	85.95	1.45 W	0.00	2 of 37 - Sale <sup>25</sup>
	10.000	169.00	07/10/20	171.90	2.90 W	0.00	3 of 37 - Sale <sup>25</sup>
	25.000	421.24	07/10/20	426.50	5.26 W	0.00	4 of 37 - Sale <sup>25</sup>
	5.000	84.25	07/10/20	86.95	2.70 W	0.00	5 of 37 - Sale <sup>25</sup>
	10.000	168.50	07/10/20	173.80	5.30 W	0.00	6 of 37 - Sale <sup>25</sup>
	10.000	168.49	07/10/20	173.90	5.41 W	0.00	7 of 37 - Sale <sup>25</sup>
	17.000	290.52	07/10/20	292.41	1.89 W	0.00	8 of 37 - Sale <sup>25</sup>
	1.000	17.09	07/10/20	17.20	0.11 W	0.00	9 of 37 - Sale <sup>25</sup>
	5.000	85.45	07/10/20	87.65	2.20 W	0.00	10 of 37 - Sale <sup>25</sup>
	7.000	119.63	07/10/20	120.40	0.77 W	0.00	11 of 37 - Sale <sup>25</sup>

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SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol: (cont'd)							
	10.000	170.89	07/10/20	175.20	4.31 W	0.00	12 of 37 - Sale <sup>25</sup>
	10.000	170.90	07/10/20	175.31	4.41 W	0.00	13 of 37 - Sale <sup>25</sup>
	25.000	427.24	07/10/20	436.50	9.26 W	0.00	14 of 37 - Sale <sup>25</sup>
	25.000	427.23	07/10/20	453.13	25.90 W	0.00	15 of 37 - Sale <sup>25</sup>
	1.000	16.76	07/10/20	18.42	1.66 W	0.00	16 of 37 - Sale <sup>25</sup>
	5.000	83.80	07/10/20	93.73	9.93 W	0.00	17 of 37 - Sale <sup>25</sup>
	7.000	117.32	07/10/20	128.90	11.58 W	0.00	18 of 37 - Sale <sup>25</sup>
	10.000	167.59	07/10/20	187.36	19.77 W	0.00	19 of 37 - Sale <sup>25</sup>
	10.000	167.60	07/10/20	187.46	19.86 W	0.00	20 of 37 - Sale <sup>25</sup>
	17.000	284.91	07/10/20	313.08	28.17 W	0.00	21 of 37 - Sale <sup>25</sup>
	25.000	418.99	07/10/20	466.88	47.89 W	0.00	22 of 37 - Sale <sup>25</sup>
	25.000	418.98	07/10/20	483.53	64.55 W	0.00	23 of 37 - Sale <sup>25</sup>
	24.000	403.08	07/10/20	439.32	36.24 W	0.00	24 of 37 - Sale <sup>25</sup>
	1.000	16.76	07/10/20	18.30	1.54 W	0.00	25 of 37 - Sale <sup>25</sup>
	1.000	16.76	07/10/20	18.54	1.78 W	0.00	26 of 37 - Sale <sup>25</sup>
	3.000	50.28	07/10/20	56.60	6.32 W	0.00	27 of 37 - Sale <sup>25</sup>
	7.000	117.32	07/10/20	129.74	12.42 W	0.00	28 of 37 - Sale <sup>25</sup>
	10.000	167.60	07/10/20	188.57	20.97 W	0.00	29 of 37 - Sale <sup>25</sup>
	10.000	167.59	07/10/20	188.65	21.06 W	0.00	30 of 37 - Sale <sup>25</sup>
	17.000	284.91	07/10/20	315.12	30.21 W	0.00	31 of 37 - Sale <sup>25</sup>
	1.000	16.11	07/10/20	18.86	2.75 W	0.00	32 of 37 - Sale <sup>25</sup>
	1.000	16.11	07/10/20	18.75	2.64 W	0.00	33 of 37 - Sale <sup>25</sup>
	25.000	402.87	07/10/20	466.89	64.02 W	0.00	34 of 37 - Sale <sup>25</sup>
	23.000	370.64	07/10/20	444.87	74.23 W	0.00	35 of 37 - Sale <sup>25</sup>
	23.000	368.92	07/10/20	420.21	51.29 W	0.00	36 of 37 - Sale <sup>25</sup>
	2.000	32.08	07/10/20	38.68	6.60 W	0.00	37 of 37 - Sale <sup>25</sup>
07/10/20	423.000	7,081.01	Various	7,691.16	610.15 W	0.00	Total of 37 transactions
	28 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	1.000	18.02	07/13/20	20.87	2.85 W	0.00	1 of 28 - Sale <sup>25</sup>
	1.000	18.02	07/13/20	20.90	2.88 W	0.00	2 of 28 - Sale <sup>25</sup>
	1.000	18.02	07/13/20	21.14	3.12 W	0.00	3 of 28 - Sale <sup>25</sup>
	1.000	18.02	07/13/20	22.11	4.09 W	0.00	4 of 28 - Sale <sup>25</sup>
	1.000	18.02	07/13/20	22.00	3.98 W	0.00	5 of 28 - Sale <sup>25</sup>
	3.000	54.07	07/13/20	64.40	10.33 W	0.00	6 of 28 - Sale <sup>25</sup>

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(continued)

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SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol: (cont'd)							
	7.000	126.17	07/13/20	147.94	21.77 W	0.00	7 of 28 - Sale <sup>25</sup>
	10.000	180.25	07/13/20	214.57	34.32 W	0.00	8 of 28 - Sale <sup>25</sup>
	10.000	180.25	07/13/20	214.66	34.41 W	0.00	9 of 28 - Sale <sup>25</sup>
	17.000	306.42	07/13/20	359.33	52.91 W	0.00	10 of 28 - Sale <sup>25</sup>
	23.000	414.58	07/13/20	504.18	89.60 W	0.00	11 of 28 - Sale <sup>25</sup>
	2.000	38.27	07/13/20	44.55	6.28 W	0.00	12 of 28 - Sale <sup>25</sup>
	2.000	38.27	07/13/20	46.03	7.76 W	0.00	13 of 28 - Sale <sup>25</sup>
	23.000	440.09	07/13/20	527.68	87.59 W	0.00	14 of 28 - Sale <sup>25</sup>
	23.000	440.09	07/13/20	504.73	64.64 W	0.00	15 of 28 - Sale <sup>25</sup>
	1.000	19.13	07/13/20	22.58	3.45 W	0.00	16 of 28 - Sale <sup>25</sup>
	1.000	19.13	07/13/20	22.61	3.48 W	0.00	17 of 28 - Sale <sup>25</sup>
	1.000	19.13	07/13/20	22.85	3.72 W	0.00	18 of 28 - Sale <sup>25</sup>
	5.000	95.65	07/13/20	114.20	18.55 W	0.00	19 of 28 - Sale <sup>25</sup>
	17.000	325.21	07/13/20	388.32	63.11 W	0.00	20 of 28 - Sale <sup>25</sup>
	2.000	36.05	07/13/20	44.54	8.49 W	0.00	21 of 28 - Sale <sup>25</sup>
	3.000	54.07	07/13/20	67.78	13.71 W	0.00	22 of 28 - Sale <sup>25</sup>
	1.000	18.02	07/13/20	21.97	3.95 W	0.00	23 of 28 - Sale <sup>25</sup>
	1.000	18.02	07/13/20	21.86	3.84 W	0.00	24 of 28 - Sale <sup>25</sup>
	3.000	54.08	07/13/20	63.97	9.89 W	0.00	25 of 28 - Sale <sup>25</sup>
	3.000	54.08	07/13/20	65.33	11.25 W	0.00	26 of 28 - Sale <sup>25</sup>
	7.000	126.17	07/13/20	149.18	23.01 W	0.00	27 of 28 - Sale <sup>25</sup>
	10.000	180.24	07/13/20	213.21	32.97 W	0.00	28 of 28 - Sale <sup>25</sup>
07/13/20	180.000	3,327.54	Various	3,953.49	625.95 W	0.00	Total of 28 transactions
26 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	2.000	27.48	07/14/20	34.44	6.96 W	0.00	1 of 26 - Sale <sup>25</sup>
	2.000	27.48	07/14/20	35.92	8.44 W	0.00	2 of 26 - Sale <sup>25</sup>
	3.000	41.22	07/14/20	50.67	9.45 W	0.00	3 of 26 - Sale <sup>25</sup>
	20.000	274.79	07/14/20	359.51	84.72 W	0.00	4 of 26 - Sale <sup>25</sup>
	23.000	316.01	07/14/20	411.43	95.42 W	0.00	5 of 26 - Sale <sup>25</sup>
	1.000	13.74	07/15/20	17.41	3.67 W	0.00	6 of 26 - Sale <sup>25</sup>
	1.000	13.74	07/15/20	17.44	3.70 W	0.00	7 of 26 - Sale <sup>25</sup>
	1.000	13.74	07/15/20	17.68	3.94 W	0.00	8 of 26 - Sale <sup>25</sup>
	2.000	27.48	07/15/20	36.41	8.93 W	0.00	9 of 26 - Sale <sup>25</sup>
	3.000	41.22	07/15/20	55.59	14.37 W	0.00	10 of 26 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol: (cont'd)							
	5.000	68.69	07/15/20	88.35	19.66 W	0.00	11 of 26 - Sale <sup>25</sup>
	17.000	233.57	07/15/20	300.43	66.86 W	0.00	12 of 26 - Sale <sup>25</sup>
	20.000	274.79	07/15/20	335.41	60.62 W	0.00	13 of 26 - Sale <sup>25</sup>
	1.000	13.74	07/15/20	17.91	4.17 W	0.00	14 of 26 - Sale <sup>25</sup>
	1.000	13.74	07/15/20	17.80	4.06 W	0.00	15 of 26 - Sale <sup>25</sup>
	2.000	27.48	07/15/20	35.42	7.94 W	0.00	16 of 26 - Sale <sup>25</sup>
	3.000	41.22	07/15/20	51.77	10.55 W	0.00	17 of 26 - Sale <sup>25</sup>
	7.000	96.18	07/15/20	120.73	24.55 W	0.00	18 of 26 - Sale <sup>25</sup>
	10.000	137.40	07/15/20	172.57	35.17 W	0.00	19 of 26 - Sale <sup>25</sup>
	1.000	13.74	07/15/20	17.71	3.97 W	0.00	20 of 26 - Sale <sup>25</sup>
	10.000	141.15	07/15/20	180.06	38.91 W	0.00	21 of 26 - Sale <sup>25</sup>
	2.000	28.23	07/15/20	34.64	6.41 W	0.00	22 of 26 - Sale <sup>25</sup>
	2.000	28.23	07/15/20	36.12	7.89 W	0.00	23 of 26 - Sale <sup>25</sup>
	3.000	42.34	07/15/20	50.97	8.63 W	0.00	24 of 26 - Sale <sup>25</sup>
	10.000	141.15	07/15/20	180.76	39.61 W	0.00	25 of 26 - Sale <sup>25</sup>
	23.000	324.63	07/15/20	413.74	89.11 W	0.00	26 of 26 - Sale <sup>25</sup>
07/15/20	175.000	2,423.18	Various	3,090.89	667.71 W	0.00	Total of 26 transactions
	23 transactions for 07/27/20. Total proceeds and cost reported to the IRS.						
	1.000	13.30	07/27/20	17.27	3.97 W	0.00	1 of 23 - Sale <sup>25</sup>
	1.000	13.30	07/27/20	17.30	4.00 W	0.00	2 of 23 - Sale <sup>25</sup>
	1.000	13.30	07/27/20	17.54	4.24 W	0.00	3 of 23 - Sale <sup>25</sup>
	2.000	26.60	07/27/20	36.13	9.53 W	0.00	4 of 23 - Sale <sup>25</sup>
	3.000	39.90	07/27/20	55.17	15.27 W	0.00	5 of 23 - Sale <sup>25</sup>
	5.000	66.50	07/27/20	87.66	21.16 W	0.00	6 of 23 - Sale <sup>25</sup>
	7.000	93.10	07/27/20	119.75	26.65 W	0.00	7 of 23 - Sale <sup>25</sup>
	9.000	119.70	07/27/20	154.05	34.35 W	0.00	8 of 23 - Sale <sup>25</sup>
	17.000	226.10	07/27/20	298.06	71.96 W	0.00	9 of 23 - Sale <sup>25</sup>
	20.000	266.00	07/27/20	332.62	66.62 W	0.00	10 of 23 - Sale <sup>25</sup>
	1.000	13.30	07/27/20	17.13	3.83 W	0.00	11 of 23 - Sale <sup>25</sup>
	1.000	13.30	07/27/20	17.14	3.84 W	0.00	12 of 23 - Sale <sup>25</sup>
	1.000	13.30	07/27/20	17.15	3.85 W	0.00	13 of 23 - Sale <sup>25</sup>
	1.000	13.30	07/27/20	17.15	3.85 W	0.00	14 of 23 - Sale <sup>25</sup>
	3.000	39.90	07/27/20	52.62	12.72 W	0.00	15 of 23 - Sale <sup>25</sup>
	1.000	13.30	07/27/20	17.82	4.52 W	0.00	16 of 23 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol: (cont'd)							
	1.000	13.30	07/27/20	17.71	4.41 W	0.00	17 of 23 - Sale <sup>25</sup>
	1.000	13.30	07/27/20	17.62	4.32 W	0.00	18 of 23 - Sale <sup>25</sup>
	2.000	26.60	07/27/20	35.24	8.64 W	0.00	19 of 23 - Sale <sup>25</sup>
	2.000	26.60	07/27/20	33.71	7.11 W	0.00	20 of 23 - Sale <sup>25</sup>
	3.000	39.90	07/27/20	52.57	12.67 W	0.00	21 of 23 - Sale <sup>25</sup>
	7.000	93.10	07/27/20	122.79	29.69 W	0.00	22 of 23 - Sale <sup>25</sup>
	10.000	133.01	07/27/20	176.11	43.10 W	0.00	23 of 23 - Sale <sup>25</sup>
07/27/20	100.000	1,330.01	Various	1,730.31	400.30 W	0.00	Total of 23 transactions
	20 transactions for 07/31/20. Total proceeds and cost reported to the IRS.						
	2.000	24.20	07/31/20	32.65	8.45 W	0.00	1 of 20 - Sale <sup>25</sup>
	3.000	36.30	07/31/20	45.77	9.47 W	0.00	2 of 20 - Sale <sup>25</sup>
	20.000	241.99	07/31/20	325.09	83.10 W	0.00	3 of 20 - Sale <sup>25</sup>
	1.000	12.10	07/31/20	16.35	4.25 W	0.00	4 of 20 - Sale <sup>25</sup>
	1.000	12.10	07/31/20	16.38	4.28 W	0.00	5 of 20 - Sale <sup>25</sup>
	1.000	12.10	07/31/20	16.62	4.52 W	0.00	6 of 20 - Sale <sup>25</sup>
	2.000	24.20	07/31/20	34.29	10.09 W	0.00	7 of 20 - Sale <sup>25</sup>
	3.000	36.30	07/31/20	52.41	16.11 W	0.00	8 of 20 - Sale <sup>25</sup>
	5.000	60.49	07/31/20	83.06	22.57 W	0.00	9 of 20 - Sale <sup>25</sup>
	17.000	205.69	07/31/20	282.41	76.72 W	0.00	10 of 20 - Sale <sup>25</sup>
	20.000	241.99	07/31/20	314.22	72.23 W	0.00	11 of 20 - Sale <sup>25</sup>
	3.000	36.08	07/31/20	49.29	13.21 W	0.00	12 of 20 - Sale <sup>25</sup>
	1.000	12.02	07/31/20	16.02	4.00 W	0.00	13 of 20 - Sale <sup>25</sup>
	1.000	12.03	07/31/20	16.03	4.00 W	0.00	14 of 20 - Sale <sup>25</sup>
	1.000	12.02	07/31/20	16.04	4.02 W	0.00	15 of 20 - Sale <sup>25</sup>
	1.000	12.03	07/31/20	16.04	4.01 W	0.00	16 of 20 - Sale <sup>25</sup>
	1.000	12.02	07/31/20	16.71	4.69 W	0.00	17 of 20 - Sale <sup>25</sup>
	1.000	12.03	07/31/20	16.60	4.57 W	0.00	18 of 20 - Sale <sup>25</sup>
	7.000	84.17	07/31/20	111.98	27.81 W	0.00	19 of 20 - Sale <sup>25</sup>
	9.000	108.23	07/31/20	144.06	35.83 W	0.00	20 of 20 - Sale <sup>25</sup>
07/31/20	100.000	1,208.09	Various	1,622.02	413.93 W	0.00	Total of 20 transactions
	34 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	16.000	214.39	08/24/20	286.46	...	-72.07	1 of 34 - Sale <sup>25</sup>
	20.000	267.99	08/24/20	340.05	...	-72.06	2 of 34 - Sale <sup>25</sup>
	15.000	200.99	08/24/20	266.48	...	-65.49	3 of 34 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol: (cont'd)							
	10.000	133.99	08/24/20	179.20	...	-45.21	4 of 34 - Sale <sup>25</sup>
	9.000	120.60	08/24/20	156.35	...	-35.75	5 of 34 - Sale <sup>25</sup>
	7.000	93.80	08/24/20	124.05	...	-30.25	6 of 34 - Sale <sup>25</sup>
	7.000	93.80	08/24/20	121.54	...	-27.74	7 of 34 - Sale <sup>25</sup>
	5.000	67.00	08/24/20	89.52	...	-22.52	8 of 34 - Sale <sup>25</sup>
	5.000	67.00	08/24/20	88.83	...	-21.83	9 of 34 - Sale <sup>25</sup>
	1,000.000	13,419.58	08/24/20	13,440.41	...	-20.83	10 of 34 - Sale <sup>25</sup>
	3.000	40.20	08/24/20	56.28	...	-16.08	11 of 34 - Sale <sup>25</sup>
	3.000	40.20	08/24/20	53.50	...	-13.30	12 of 34 - Sale <sup>25</sup>
	3.000	40.20	08/24/20	53.38	...	-13.18	13 of 34 - Sale <sup>25</sup>
	3.000	40.20	08/24/20	50.30	...	-10.10	14 of 34 - Sale <sup>25</sup>
	2.000	26.80	08/24/20	36.87	...	-10.07	15 of 34 - Sale <sup>25</sup>
	2.000	26.80	08/24/20	35.67	...	-8.87	16 of 34 - Sale <sup>25</sup>
	2.000	26.80	08/24/20	35.62	...	-8.82	17 of 34 - Sale <sup>25</sup>
	2.000	26.80	08/24/20	34.33	...	-7.53	18 of 34 - Sale <sup>25</sup>
	1.000	13.40	08/24/20	18.09	...	-4.69	19 of 34 - Sale <sup>25</sup>
	1.000	13.40	08/24/20	17.97	...	-4.57	20 of 34 - Sale <sup>25</sup>
	1.000	13.40	08/24/20	17.91	...	-4.51	21 of 34 - Sale <sup>25</sup>
	1.000	13.40	08/24/20	17.90	...	-4.50	22 of 34 - Sale <sup>25</sup>
	1.000	13.40	08/24/20	17.81	...	-4.41	23 of 34 - Sale <sup>25</sup>
	1.000	13.40	08/24/20	17.67	...	-4.27	24 of 34 - Sale <sup>25</sup>
	1.000	13.40	08/24/20	17.64	...	-4.24	25 of 34 - Sale <sup>25</sup>
	1.000	13.40	08/24/20	17.42	...	-4.02	26 of 34 - Sale <sup>25</sup>
	1.000	13.40	08/24/20	17.41	...	-4.01	27 of 34 - Sale <sup>25</sup>
	1.000	13.40	08/24/20	17.39	...	-3.99	28 of 34 - Sale <sup>25</sup>
	1.000	13.40	08/24/20	17.39	...	-3.99	29 of 34 - Sale <sup>25</sup>
	255.000	3,416.88	08/24/20	3,417.11	...	-0.23	30 of 34 - Sale <sup>25</sup>
	93.000	1,246.16	08/24/20	1,246.24	...	-0.08	31 of 34 - Sale <sup>25</sup>
	67.000	897.77	08/24/20	897.83	...	-0.06	32 of 34 - Sale <sup>25</sup>
	10.000	134.20	08/24/20	134.00	...	0.20	33 of 34 - Sale <sup>25</sup>
	490.000	6,575.59	08/24/20	6,566.20	...	9.39	34 of 34 - Sale <sup>25</sup>
08/24/20	2,040.000	27,365.14	Various	27,904.82	...	-539.68	Total of 34 transactions

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol: (cont'd)							
34 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
2,017.000		27,026.97	08/24/20	27,229.50	167.38 W	-35.15	1 of 34 - Sale <sup>25</sup>
7.000		93.87	08/24/20	124.19	30.32 W	0.00	2 of 34 - Sale <sup>25</sup>
1.000		13.41	08/24/20	17.82	4.41 W	0.00	3 of 34 - Sale <sup>25</sup>
2.000		26.82	08/24/20	35.64	8.82 W	0.00	4 of 34 - Sale <sup>25</sup>
2.000		26.82	08/24/20	34.11	7.29 W	0.00	5 of 34 - Sale <sup>25</sup>
3.000		40.23	08/24/20	53.17	12.94 W	0.00	6 of 34 - Sale <sup>25</sup>
10.000		134.10	08/24/20	178.10	44.00 W	0.00	7 of 34 - Sale <sup>25</sup>
5.000		67.05	08/24/20	88.28	21.23 W	0.00	8 of 34 - Sale <sup>25</sup>
2.000		26.82	08/24/20	35.45	8.63 W	0.00	9 of 34 - Sale <sup>25</sup>
3.000		40.23	08/24/20	49.97	9.74 W	0.00	10 of 34 - Sale <sup>25</sup>
3.000		40.23	08/24/20	53.71	13.48 W	0.00	11 of 34 - Sale <sup>25</sup>
7.000		93.87	08/24/20	124.82	30.95 W	0.00	12 of 34 - Sale <sup>25</sup>
15.000		201.14	08/24/20	264.82	63.68 W	0.00	13 of 34 - Sale <sup>25</sup>
1.000		13.41	08/24/20	17.75	4.34 W	0.00	14 of 34 - Sale <sup>25</sup>
1.000		13.41	08/24/20	17.78	4.37 W	0.00	15 of 34 - Sale <sup>25</sup>
1.000		13.41	08/24/20	18.01	4.60 W	0.00	16 of 34 - Sale <sup>25</sup>
20.000		268.18	08/24/20	342.23	74.05 W	0.00	17 of 34 - Sale <sup>25</sup>
1.000		13.41	08/24/20	18.02	4.61 W	0.00	18 of 34 - Sale <sup>25</sup>
1.000		13.41	08/24/20	17.50	4.09 W	0.00	19 of 34 - Sale <sup>25</sup>
1.000		13.41	08/24/20	17.50	4.09 W	0.00	20 of 34 - Sale <sup>25</sup>
2.000		26.82	08/24/20	37.09	10.27 W	0.00	21 of 34 - Sale <sup>25</sup>
3.000		40.23	08/24/20	56.61	16.38 W	0.00	22 of 34 - Sale <sup>25</sup>
5.000		67.05	08/24/20	90.07	23.02 W	0.00	23 of 34 - Sale <sup>25</sup>
7.000		93.87	08/24/20	122.31	28.44 W	0.00	24 of 34 - Sale <sup>25</sup>
9.000		120.68	08/24/20	157.33	36.65 W	0.00	25 of 34 - Sale <sup>25</sup>
16.000		214.55	08/24/20	288.21	73.66 W	0.00	26 of 34 - Sale <sup>25</sup>
1.000		13.40	08/24/20	17.52	4.12 W	0.00	27 of 34 - Sale <sup>25</sup>
1.000		13.40	08/24/20	17.51	4.11 W	0.00	28 of 34 - Sale <sup>25</sup>
1.000		13.40	08/24/20	18.19	4.79 W	0.00	29 of 34 - Sale <sup>25</sup>
1.000		13.40	08/24/20	18.07	4.67 W	0.00	30 of 34 - Sale <sup>25</sup>
1.000		13.40	08/24/20	17.91	4.51 W	0.00	31 of 34 - Sale <sup>25</sup>
2.000		26.80	08/24/20	35.82	9.02 W	0.00	32 of 34 - Sale <sup>25</sup>
93.000		1,246.16	08/24/20	1,255.50	9.34 W	0.00	33 of 34 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol: (cont'd)							
	255.000	3,416.89	08/24/20	3,442.50	25.61 W	0.00	34 of 34 - Sale <sup>25</sup>
08/24/20	2,500.000	33,500.25	Various	34,313.01	777.61 W	-35.15	Total of 34 transactions
09/14/20	100.000	1,677.45	09/11/20	1,537.04	...	140.41	Sale <sup>25</sup>
	<b>Security total:</b>	<b>78,273.17</b>		<b>82,206.49</b>	<b>3,498.90 W</b>	<b>-434.42</b>	
SPARTAN MOTORS, INC. COMMON STOCK / CUSIP: 846819100 / Symbol:							
2 transactions for 05/11/20. Total proceeds and cost reported to the IRS.							
	5.000	85.00	05/07/20	77.48	...	7.52	1 of 2 - Sale <sup>25</sup>
	20.000	340.00	05/07/20	309.90	...	30.10	2 of 2 - Sale <sup>25</sup>
05/11/20	25.000	425.00	Various	387.38	...	37.62	Total of 2 transactions
SPECTRUM PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 84763A108 / Symbol:							
3 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	1.839	8.02	09/25/20	8.00	...	0.02	1 of 3 - Sale <sup>25</sup>
	72.000	314.03	09/25/20	313.92	...	0.11	2 of 3 - Sale <sup>25</sup>
	107.000	466.68	09/25/20	466.52	...	0.16	3 of 3 - Sale <sup>25</sup>
09/25/20	180.839	788.73	Various	788.44	...	0.29	Total of 3 transactions
SPHERIX INCORPORATED COMMON STOCK / CUSIP: 84842R502 / Symbol:							
2 transactions for 03/09/20. Total proceeds and cost reported to the IRS.							
	200.000	719.96	03/06/20	350.00	...	369.96	1 of 2 - Sale <sup>25</sup>
	400.000	1,439.92	03/06/20	700.00	...	739.92	2 of 2 - Sale <sup>25</sup>
03/09/20	600.000	2,159.88	Various	1,050.00	...	1,109.88	Total of 2 transactions
03/10/20	200.000	465.98	03/09/20	644.00	178.02 W	0.00	Sale <sup>25</sup>
2 transactions for 03/11/20. Total proceeds and cost reported to the IRS.							
	200.000	343.98	03/10/20	536.02	...	-192.04	1 of 2 - Sale <sup>25</sup>
	50.000	85.99	03/10/20	89.50	...	-3.51	2 of 2 - Sale <sup>25</sup>
03/11/20	250.000	429.97	Various	625.52	...	-195.55	Total of 2 transactions
	<b>Security total:</b>	<b>3,055.83</b>		<b>2,319.52</b>	<b>178.02 W</b>	<b>914.33</b>	

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol:							
04/20/20	50.000	642.97	04/16/20	638.50	...	4.47	Sale <sup>25</sup>
04/20/20	25.000	321.49	04/17/20	333.00	11.51 W	0.00	Sale <sup>25</sup>
3 transactions for 04/22/20. Total proceeds and cost reported to the IRS.							
	25.000	311.53	04/17/20	333.00	21.47 W	0.00	1 of 3 - Sale <sup>25</sup>
	25.000	311.53	04/20/20	334.76	23.23 W	0.00	2 of 3 - Sale <sup>25</sup>
	50.000	623.07	04/20/20	646.50	23.43 W	0.00	3 of 3 - Sale <sup>25</sup>
04/22/20	100.000	1,246.13	Various	1,314.26	68.13 W	0.00	Total of 3 transactions
2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	25.000	356.26	04/27/20	332.20	...	24.06	1 of 2 - Sale <sup>25</sup>
	25.000	356.27	04/27/20	330.44	...	25.83	2 of 2 - Sale <sup>25</sup>
05/01/20	50.000	712.53	Various	662.64	...	49.89	Total of 2 transactions
05/08/20	50.000	507.98	05/07/20	523.37	15.39 W	0.00	Sale <sup>25</sup>
05/11/20	50.000	512.48	05/08/20	528.83	16.35 W	0.00	Sale <sup>25</sup>
05/13/20	25.000	215.50	05/12/20	258.90	43.40 W	0.00	Sale <sup>25</sup>
2 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	25.000	187.75	05/13/20	246.40	58.65 W	0.00	1 of 2 - Sale <sup>25</sup>
	25.000	187.75	05/13/20	211.17	23.42 W	0.00	2 of 2 - Sale <sup>25</sup>
05/14/20	50.000	375.50	Various	457.57	82.07 W	0.00	Total of 2 transactions
05/15/20	50.000	405.61	05/14/20	373.93	...	31.68	Sale <sup>25</sup>
2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	25.000	202.80	05/14/20	245.62	42.82 W	0.00	1 of 2 - Sale <sup>25</sup>
	25.000	202.81	05/14/20	210.39	7.58 W	0.00	2 of 2 - Sale <sup>25</sup>
05/15/20	50.000	405.61	Various	456.01	50.40 W	0.00	Total of 2 transactions
2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	25.000	249.75	05/18/20	285.79	36.04 W	0.00	1 of 2 - Sale <sup>25</sup>
	25.000	249.75	05/18/20	250.55	0.80 W	0.00	2 of 2 - Sale <sup>25</sup>
05/19/20	50.000	499.50	Various	536.34	36.84 W	0.00	Total of 2 transactions
4 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	25.000	308.81	05/22/20	290.29	...	18.52	1 of 4 - Sale <sup>25</sup>
	25.000	308.80	05/22/20	255.05	...	53.75	2 of 4 - Sale <sup>25</sup>
	25.000	308.80	05/26/20	307.47	...	1.33	3 of 4 - Sale <sup>25</sup>
	25.000	308.81	05/26/20	302.22	...	6.59	4 of 4 - Sale <sup>25</sup>
05/29/20	100.000	1,235.22	Various	1,155.03	...	80.19	Total of 4 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol: (cont'd)							
06/02/20	50.000	725.48	06/01/20	683.38	...	42.10	Sale <sup>25</sup>
06/02/20	49.000	710.97	06/02/20	714.18	3.21 W	0.00	Sale <sup>25</sup>
5 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	22.49	06/02/20	14.57	...	7.92	1 of 5 - Sale <sup>25</sup>
	22.000	494.77	06/03/20	342.44	...	152.33	2 of 5 - Sale <sup>25</sup>
	25.000	562.24	06/03/20	394.19	...	168.05	3 of 5 - Sale <sup>25</sup>
	27.000	607.21	06/03/20	420.27	...	186.94	4 of 5 - Sale <sup>25</sup>
	25.000	562.23	06/04/20	526.38	...	35.85	5 of 5 - Sale <sup>25</sup>
06/05/20	100.000	2,248.94	Various	1,697.85	...	551.09	Total of 5 transactions
06/09/20	35.000	797.99	06/08/20	819.70	21.71 W	0.00	Sale <sup>25</sup>
7 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	15.25	06/10/20	19.58	4.33 W	0.00	1 of 7 - Sale <sup>25</sup>
	24.000	365.99	06/10/20	469.93	103.94 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	15.25	06/10/20	24.25	9.00 W	0.00	3 of 7 - Sale <sup>25</sup>
	10.000	152.49	06/10/20	205.42	52.93 W	0.00	4 of 7 - Sale <sup>25</sup>
	14.000	213.49	06/10/20	278.92	65.43 W	0.00	5 of 7 - Sale <sup>25</sup>
	25.000	381.24	06/10/20	501.63	120.39 W	0.00	6 of 7 - Sale <sup>25</sup>
	25.000	381.24	06/10/20	507.38	126.14 W	0.00	7 of 7 - Sale <sup>25</sup>
06/11/20	100.000	1,524.95	Various	2,007.11	482.16 W	0.00	Total of 7 transactions
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	1.000	19.72	06/16/20	30.03	10.31 W	0.00	1 of 2 - Sale <sup>25</sup>
	24.000	473.16	06/16/20	608.66	135.50 W	0.00	2 of 2 - Sale <sup>25</sup>
06/17/20	25.000	492.88	Various	638.69	145.81 W	0.00	Total of 2 transactions
8 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	10.000	171.02	06/24/20	225.01	53.99 W	0.00	1 of 8 - Sale <sup>25</sup>
	1.000	17.10	06/24/20	22.26	5.16 W	0.00	2 of 8 - Sale <sup>25</sup>
	14.000	239.43	06/24/20	306.33	66.90 W	0.00	3 of 8 - Sale <sup>25</sup>
	25.000	427.55	06/24/20	550.58	123.03 W	0.00	4 of 8 - Sale <sup>25</sup>
	1.000	17.10	06/24/20	27.53	10.43 W	0.00	5 of 8 - Sale <sup>25</sup>
	24.000	410.45	06/24/20	548.72	138.27 W	0.00	6 of 8 - Sale <sup>25</sup>
	24.000	410.45	06/24/20	534.31	123.86 W	0.00	7 of 8 - Sale <sup>25</sup>
	1.000	17.10	06/24/20	17.22	0.12 W	0.00	8 of 8 - Sale <sup>25</sup>
06/24/20	100.000	1,710.20	Various	2,231.96	521.76 W	0.00	Total of 8 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol: (cont'd)							
4 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	10.000	170.00	06/29/20	224.17	54.17 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	17.00	06/29/20	22.18	5.18 W	0.00	2 of 4 - Sale <sup>25</sup>
	14.000	237.99	06/29/20	305.15	67.16 W	0.00	3 of 4 - Sale <sup>25</sup>
	25.000	424.99	06/29/20	548.46	123.47 W	0.00	4 of 4 - Sale <sup>25</sup>
06/29/20	50.000	849.98	Various	1,099.96	249.98 W	0.00	Total of 4 transactions
4 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	1.000	16.50	07/08/20	26.93	10.43 W	0.00	1 of 4 - Sale <sup>25</sup>
	24.000	395.99	07/08/20	534.21	138.22 W	0.00	2 of 4 - Sale <sup>25</sup>
	24.000	395.99	07/08/20	519.80	123.81 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	16.50	07/08/20	16.62	0.12 W	0.00	4 of 4 - Sale <sup>25</sup>
07/08/20	50.000	824.98	Various	1,097.56	272.58 W	0.00	Total of 4 transactions
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	1.000	16.84	07/10/20	16.84	...	0.00	1 of 2 - Sale <sup>25</sup>
	200.000	3,368.91	07/10/20	3,367.70	...	1.21	2 of 2 - Sale <sup>25</sup>
07/10/20	201.000	3,385.75	Various	3,384.54	...	1.21	Total of 2 transactions
19 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	10.000	168.44	07/10/20	219.67	51.23 W	0.00	1 of 19 - Sale <sup>25</sup>
	1.000	16.84	07/10/20	21.73	4.89 W	0.00	2 of 19 - Sale <sup>25</sup>
	14.000	235.82	07/10/20	298.86	63.04 W	0.00	3 of 19 - Sale <sup>25</sup>
	25.000	421.11	07/10/20	537.22	116.11 W	0.00	4 of 19 - Sale <sup>25</sup>
	1.000	16.84	07/10/20	27.15	10.31 W	0.00	5 of 19 - Sale <sup>25</sup>
	24.000	404.27	07/10/20	539.50	135.23 W	0.00	6 of 19 - Sale <sup>25</sup>
	24.000	404.27	07/10/20	525.09	120.82 W	0.00	7 of 19 - Sale <sup>25</sup>
	10.000	168.40	07/10/20	219.62	51.22 W	0.00	8 of 19 - Sale <sup>25</sup>
	1.000	16.84	07/10/20	21.73	4.89 W	0.00	9 of 19 - Sale <sup>25</sup>
	14.000	235.75	07/10/20	298.78	63.03 W	0.00	10 of 19 - Sale <sup>25</sup>
	25.000	420.99	07/10/20	537.07	116.08 W	0.00	11 of 19 - Sale <sup>25</sup>
	1.000	16.84	07/10/20	27.19	10.35 W	0.00	12 of 19 - Sale <sup>25</sup>
	24.000	404.15	07/10/20	540.31	136.16 W	0.00	13 of 19 - Sale <sup>25</sup>
	24.000	404.14	07/10/20	525.90	121.76 W	0.00	14 of 19 - Sale <sup>25</sup>
	1.000	16.84	07/10/20	16.88	0.04 W	0.00	15 of 19 - Sale <sup>25</sup>
	10.000	172.41	07/10/20	220.01	47.60 W	0.00	16 of 19 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol: (cont'd)							
	1.000	17.25	07/10/20	21.77	4.52 W	0.00	17 of 19 - Sale <sup>25</sup>
	14.000	241.38	07/10/20	299.33	57.95 W	0.00	18 of 19 - Sale <sup>25</sup>
	25.000	431.02	07/10/20	538.04	107.02 W	0.00	19 of 19 - Sale <sup>25</sup>
07/10/20	249.000	4,213.60	Various	5,435.85	1,222.25 W	0.00	Total of 19 transactions
	8 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	1.000	16.85	07/13/20	27.23	10.38 W	0.00	1 of 8 - Sale <sup>25</sup>
	24.000	404.43	07/13/20	541.16	136.73 W	0.00	2 of 8 - Sale <sup>25</sup>
	10.000	168.51	07/13/20	216.35	47.84 W	0.00	3 of 8 - Sale <sup>25</sup>
	15.000	252.76	07/13/20	329.23	76.47 W	0.00	4 of 8 - Sale <sup>25</sup>
	1.000	16.86	07/13/20	21.15	4.29 W	0.00	5 of 8 - Sale <sup>25</sup>
	14.000	236.06	07/13/20	294.20	58.14 W	0.00	6 of 8 - Sale <sup>25</sup>
	9.000	151.75	07/13/20	197.53	45.78 W	0.00	7 of 8 - Sale <sup>25</sup>
	1.000	16.86	07/13/20	16.92	0.06 W	0.00	8 of 8 - Sale <sup>25</sup>
07/13/20	75.000	1,264.08	Various	1,643.77	379.69 W	0.00	Total of 8 transactions
	2 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	1.000	18.12	07/14/20	18.06	...	0.06	1 of 2 - Sale <sup>25</sup>
	25.000	453.01	07/14/20	450.00	...	3.01	2 of 2 - Sale <sup>25</sup>
07/16/20	26.000	471.13	Various	468.06	...	3.07	Total of 2 transactions
	12 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	1.000	18.12	07/14/20	28.32	10.20 W	0.00	1 of 12 - Sale <sup>25</sup>
	24.000	434.89	07/14/20	567.29	132.40 W	0.00	2 of 12 - Sale <sup>25</sup>
	1.000	18.12	07/14/20	22.46	4.34 W	0.00	3 of 12 - Sale <sup>25</sup>
	24.000	434.89	07/14/20	533.30	98.41 W	0.00	4 of 12 - Sale <sup>25</sup>
	10.000	181.20	07/14/20	228.04	46.84 W	0.00	5 of 12 - Sale <sup>25</sup>
	15.000	271.81	07/14/20	346.77	74.96 W	0.00	6 of 12 - Sale <sup>25</sup>
	1.000	18.12	07/14/20	22.29	4.17 W	0.00	7 of 12 - Sale <sup>25</sup>
	9.000	163.08	07/14/20	207.78	44.70 W	0.00	8 of 12 - Sale <sup>25</sup>
	14.000	253.69	07/14/20	310.14	56.45 W	0.00	9 of 12 - Sale <sup>25</sup>
	25.000	453.01	07/15/20	465.96	12.95 W	0.00	10 of 12 - Sale <sup>25</sup>
	100.000	1,812.05	07/15/20	1,911.85	99.80 W	0.00	11 of 12 - Sale <sup>25</sup>
	125.000	2,265.06	07/15/20	2,401.06	136.00 W	0.00	12 of 12 - Sale <sup>25</sup>
07/16/20	349.000	6,324.04	Various	7,045.26	721.22 W	0.00	Total of 12 transactions

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Account 527125207

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol: (cont'd)							
10 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	1.000	16.92	07/22/20	27.22	10.30 W	0.00	1 of 10 - Sale <sup>25</sup>
	24.000	406.07	07/22/20	540.80	134.73 W	0.00	2 of 10 - Sale <sup>25</sup>
	10.000	169.19	07/22/20	217.01	47.82 W	0.00	3 of 10 - Sale <sup>25</sup>
	1.000	16.92	07/22/20	21.36	4.44 W	0.00	4 of 10 - Sale <sup>25</sup>
	1.000	16.92	07/22/20	21.19	4.27 W	0.00	5 of 10 - Sale <sup>25</sup>
	9.000	152.28	07/22/20	197.85	45.57 W	0.00	6 of 10 - Sale <sup>25</sup>
	14.000	236.87	07/22/20	294.68	57.81 W	0.00	7 of 10 - Sale <sup>25</sup>
	15.000	253.79	07/22/20	330.21	76.42 W	0.00	8 of 10 - Sale <sup>25</sup>
	24.000	406.07	07/22/20	506.81	100.74 W	0.00	9 of 10 - Sale <sup>25</sup>
	1.000	16.92	07/22/20	17.53	0.61 W	0.00	10 of 10 - Sale <sup>25</sup>
07/22/20	100.000	1,691.95	Various	2,174.66	482.71 W	0.00	Total of 10 transactions
07/23/20	1.000	17.31	07/23/20	18.62	...	-1.31	Sale <sup>25</sup>
2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	100.000	1,731.00	07/23/20	1,852.65	93.67 W	-27.98	1 of 2 - Sale <sup>25</sup>
	24.000	415.44	07/23/20	433.11	17.67 W	0.00	2 of 2 - Sale <sup>25</sup>
07/23/20	124.000	2,146.44	Various	2,285.76	111.34 W	-27.98	Total of 2 transactions
16 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	24.000	368.66	08/03/20	508.98	...	-140.32	1 of 16 - Sale <sup>25</sup>
	24.000	368.66	08/03/20	474.99	...	-106.33	2 of 16 - Sale <sup>25</sup>
	15.000	230.41	08/03/20	310.32	...	-79.91	3 of 16 - Sale <sup>25</sup>
	14.000	215.05	08/03/20	276.13	...	-61.08	4 of 16 - Sale <sup>25</sup>
	10.000	153.61	08/03/20	203.76	...	-50.15	5 of 16 - Sale <sup>25</sup>
	65.000	998.45	08/03/20	1,047.02	...	-48.57	6 of 16 - Sale <sup>25</sup>
	9.000	138.25	08/03/20	185.91	...	-47.66	7 of 16 - Sale <sup>25</sup>
	24.000	368.67	08/03/20	400.36	...	-31.69	8 of 16 - Sale <sup>25</sup>
	15.000	230.41	08/03/20	248.30	...	-17.89	9 of 16 - Sale <sup>25</sup>
	15.000	230.41	08/03/20	241.55	...	-11.14	10 of 16 - Sale <sup>25</sup>
	1.000	15.36	08/03/20	25.89	...	-10.53	11 of 16 - Sale <sup>25</sup>
	1.000	15.36	08/03/20	20.03	...	-4.67	12 of 16 - Sale <sup>25</sup>
	1.000	15.36	08/03/20	19.86	...	-4.50	13 of 16 - Sale <sup>25</sup>
	5.000	76.80	08/03/20	80.54	...	-3.74	14 of 16 - Sale <sup>25</sup>
	1.000	15.36	08/03/20	16.33	...	-0.97	15 of 16 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol: (cont'd)							
	1.000	15.36	08/03/20	16.21	...	-0.85	16 of 16 - Sale <sup>25</sup>
08/03/20	225.000	3,456.18	Various	4,076.18	...	-620.00	Total of 16 transactions
	2 transactions for 08/04/20. Total proceeds and cost reported to the IRS.						
	77.000	1,284.32	08/04/20	1,392.56	...	-108.24	1 of 2 - Sale <sup>25</sup>
	23.000	383.63	08/04/20	404.91	...	-21.28	2 of 2 - Sale <sup>25</sup>
08/04/20	100.000	1,667.95	Various	1,797.47	...	-129.52	Total of 2 transactions
10/20/20	50.000	855.66	10/20/20	855.99	...	-0.33	Sale <sup>25</sup>
	<b>Security total:</b>	<b>42,460.98</b>		<b>47,414.93</b>	<b>4,938.51 W</b>	<b>-15.44</b>	
SPORTSMAN'S WAREHOUSE HOLDINGS , INC. COMMON STOCK / CUSIP: 84920Y106 / Symbol:							
06/02/20	50.000	585.58	05/29/20	558.00	...	27.58	Sale <sup>25</sup>
SQUARE, INC. / CUSIP: 852234103 / Symbol:							
04/16/20	10.000	586.68	04/13/20	594.50	...	-7.82	Sale <sup>25</sup>
06/18/20	5.000	487.88	06/17/20	489.39	1.51 W	0.00	Sale <sup>25</sup>
	2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	5.000	523.64	06/25/20	531.06	7.42 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	209.46	06/25/20	211.82	2.36 W	0.00	2 of 2 - Sale <sup>25</sup>
06/25/20	7.000	733.10	Various	742.88	9.78 W	0.00	Total of 2 transactions
06/26/20	9.000	933.95	06/26/20	924.91	...	9.04	Sale <sup>25</sup>
	4 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	3.000	311.32	06/26/20	319.87	8.55 W	0.00	1 of 4 - Sale <sup>25</sup>
	2.000	207.54	06/26/20	213.41	5.87 W	0.00	2 of 4 - Sale <sup>25</sup>
	2.000	207.55	06/26/20	212.80	5.25 W	0.00	3 of 4 - Sale <sup>25</sup>
	3.000	311.32	06/26/20	315.66	4.34 W	0.00	4 of 4 - Sale <sup>25</sup>
06/26/20	10.000	1,037.73	Various	1,061.74	24.01 W	0.00	Total of 4 transactions
	6 transactions for 07/14/20. Total proceeds and cost reported to the IRS.						
	3.000	359.55	07/13/20	368.08	...	-8.53	1 of 6 - Sale <sup>25</sup>
	3.000	355.43	07/13/20	361.82	...	-6.39	2 of 6 - Sale <sup>25</sup>
	2.000	239.69	07/13/20	245.55	...	-5.86	3 of 6 - Sale <sup>25</sup>
	5.000	592.39	07/13/20	595.80	...	-3.41	4 of 6 - Sale <sup>25</sup>
	1.000	119.85	07/13/20	122.47	...	-2.62	5 of 6 - Sale <sup>25</sup>
	4.000	479.40	07/13/20	476.64	...	2.76	6 of 6 - Sale <sup>25</sup>
07/14/20	18.000	2,146.31	Various	2,170.36	...	-24.05	Total of 6 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SQUARE, INC. / CUSIP: 852234103 / Symbol: (cont'd)							
3 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	2.000	236.95	07/13/20	243.57	3.31 W	-3.31	1 of 3 - Sale <sup>25</sup>
	2.000	236.96	07/13/20	244.19	7.23 W	0.00	2 of 3 - Sale <sup>25</sup>
	3.000	355.43	07/13/20	366.03	10.60 W	0.00	3 of 3 - Sale <sup>25</sup>
07/14/20	7.000	829.34	Various	853.79	21.14 W	-3.31	Total of 3 transactions
12/21/20	0.021	5.01	12/18/20	5.00	...	0.01	Sale <sup>25</sup>
12/21/20	0.064	14.97	12/18/20	15.00	0.03 W	0.00	Sale <sup>25</sup>
3 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	10.000	2,201.75	12/30/20	2,202.97	...	-1.22	1 of 3 - Sale <sup>25</sup>
	0.064	14.06	12/30/20	14.09	...	-0.03	2 of 3 - Sale <sup>25</sup>
	24.936	5,490.31	12/30/20	5,488.32	...	1.99	3 of 3 - Sale <sup>25</sup>
12/30/20	35.000	7,706.12	Various	7,705.38	...	0.74	Total of 3 transactions
	<b>Security total:</b>	<b>14,481.09</b>		<b>14,562.95</b>	<b>56.47 W</b>	<b>-25.39</b>	
STAGE STORES INC / CUSIP: 85254C305 / Symbol:							
2 transactions for 03/05/20. Total proceeds and cost reported to the IRS.							
	200.000	123.99	03/04/20	131.84	...	-7.85	1 of 2 - Sale <sup>25</sup>
	200.000	124.00	03/04/20	129.98	...	-5.98	2 of 2 - Sale <sup>25</sup>
03/05/20	400.000	247.99	Various	261.82	...	-13.83	Total of 2 transactions
STARBUCKS CORPORATION COMMON S TOCK / CUSIP: 855244109 / Symbol:							
04/01/20	10.000	633.70	03/31/20	663.60	29.90 W	0.00	Sale <sup>25</sup>
2 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	10.000	756.79	04/22/20	782.90	...	-26.11	1 of 2 - Sale <sup>25</sup>
	40.000	3,027.14	04/22/20	3,012.00	...	15.14	2 of 2 - Sale <sup>25</sup>
04/23/20	50.000	3,783.93	Various	3,794.90	...	-10.97	Total of 2 transactions
4 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	2.000	154.68	05/29/20	155.62	...	-0.94	1 of 4 - Sale <sup>25</sup>
	2.000	154.69	05/29/20	155.62	...	-0.93	2 of 4 - Sale <sup>25</sup>
	2.000	154.69	05/29/20	155.62	...	-0.93	3 of 4 - Sale <sup>25</sup>
	1.000	77.34	05/29/20	77.81	...	-0.47	4 of 4 - Sale <sup>25</sup>
06/01/20	7.000	541.40	Various	544.67	...	-3.27	Total of 4 transactions
	<b>Security total:</b>	<b>4,959.03</b>		<b>5,003.17</b>	<b>29.90 W</b>	<b>-14.24</b>	

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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STEIN MART INC COMMON STOCK / CUSIP: 858375108 / Symbol:							
6 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	25.000	6.00	04/17/20	6.17	0.17 W	0.00	1 of 6 - Sale <sup>25</sup>
	75.000	17.99	04/17/20	18.51	0.52 W	0.00	2 of 6 - Sale <sup>25</sup>
	25.000	6.00	04/17/20	6.34	0.34 W	0.00	3 of 6 - Sale <sup>25</sup>
	75.000	17.99	04/17/20	18.51	0.52 W	0.00	4 of 6 - Sale <sup>25</sup>
	100.000	23.99	04/17/20	24.68	0.69 W	0.00	5 of 6 - Sale <sup>25</sup>
	700.000	167.91	04/17/20	172.69	4.78 W	0.00	6 of 6 - Sale <sup>25</sup>
04/20/20	1,000.000	239.88	Various	246.90	7.02 W	0.00	Total of 6 transactions
13 transactions for 05/04/20. Total proceeds and cost reported to the IRS.							
	25.000	7.75	04/30/20	8.31	0.56 W	0.00	1 of 13 - Sale <sup>25</sup>
	75.000	23.24	04/30/20	24.44	1.20 W	0.00	2 of 13 - Sale <sup>25</sup>
	75.000	23.24	04/30/20	24.44	1.20 W	0.00	3 of 13 - Sale <sup>25</sup>
	100.000	30.99	04/30/20	32.58	1.59 W	0.00	4 of 13 - Sale <sup>25</sup>
	700.000	216.92	04/30/20	228.01	11.09 W	0.00	5 of 13 - Sale <sup>25</sup>
	25.000	7.75	04/30/20	7.97	0.22 W	0.00	6 of 13 - Sale <sup>25</sup>
	625.000	191.80	05/01/20	228.59	30.90 W	-5.89	7 of 13 - Sale <sup>25</sup>
	100.000	30.98	05/01/20	34.99	4.01 W	0.00	8 of 13 - Sale <sup>25</sup>
	25.000	7.72	05/01/20	9.31	1.59 W	0.00	9 of 13 - Sale <sup>25</sup>
	75.000	23.17	05/01/20	27.44	4.27 W	0.00	10 of 13 - Sale <sup>25</sup>
	75.000	23.16	05/01/20	27.44	4.28 W	0.00	11 of 13 - Sale <sup>25</sup>
	69.000	21.32	05/01/20	25.24	3.92 W	0.00	12 of 13 - Sale <sup>25</sup>
	31.000	9.51	05/01/20	11.34	1.83 W	0.00	13 of 13 - Sale <sup>25</sup>
05/04/20	2,000.000	617.55	Various	690.10	66.66 W	-5.89	Total of 13 transactions
9 transactions for 05/08/20. Total proceeds and cost reported to the IRS.							
	525.000	158.75	05/07/20	206.20	...	-47.45	1 of 9 - Sale <sup>25</sup>
	100.000	30.24	05/07/20	37.40	...	-7.16	2 of 9 - Sale <sup>25</sup>
	75.000	22.68	05/07/20	29.32	...	-6.64	3 of 9 - Sale <sup>25</sup>
	75.000	22.68	05/07/20	29.31	...	-6.63	4 of 9 - Sale <sup>25</sup>
	69.000	20.86	05/07/20	26.96	...	-6.10	5 of 9 - Sale <sup>25</sup>
	75.000	22.68	05/07/20	26.23	...	-3.55	6 of 9 - Sale <sup>25</sup>
	31.000	9.37	05/07/20	12.18	...	-2.81	7 of 9 - Sale <sup>25</sup>
	25.000	7.56	05/07/20	9.94	...	-2.38	8 of 9 - Sale <sup>25</sup>

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(continued)

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STEIN MART INC COMMON STOCK / CUSIP: 858375108 / Symbol: (cont'd)							
	25.000	7.56	05/07/20	8.57	...	-1.01	9 of 9 - Sale <sup>25</sup>
05/08/20	1,000.000	302.38	Various	386.11	...	-83.73	Total of 9 transactions
07/09/20	350.000	117.42	07/08/20	140.00	...	-22.58	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,277.23</b>		<b>1,463.11</b>	<b>73.68 W</b>	<b>-112.20</b>	
SUNCOKE ENERGY INC / CUSIP: 86722A103 / Symbol:							
05/11/20	35.000	112.18	05/08/20	123.73	...	-11.55	Sale <sup>25</sup>
SUPER LEAGUE GAMING, INC. COMM ON STOCK / CUSIP: 86804F202 / Symbol:							
3 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	28.000	155.12	05/12/20	105.84	...	49.28	1 of 3 - Sale <sup>25</sup>
	50.000	274.99	05/12/20	193.50	...	81.49	2 of 3 - Sale <sup>25</sup>
	72.000	396.00	05/12/20	272.16	...	123.84	3 of 3 - Sale <sup>25</sup>
05/13/20	150.000	826.11	Various	571.50	...	254.61	Total of 3 transactions
05/14/20	80.000	291.58	05/13/20	408.80	...	-117.22	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,117.69</b>		<b>980.30</b>	...	<b>137.39</b>	
SURGERY PARTNERS, INC. COMMON STOCK / CUSIP: 86881A100 / Symbol:							
08/05/20	18.000	334.62	08/05/20	337.95	...	-3.33	Sale <sup>25</sup>
SUTTER ROCK CAPITAL CORP. COMM ON STOCK / CUSIP: 86944Q100 / Symbol:							
06/17/20	25.000	223.75	06/16/20	224.50	...	-0.75	Sale <sup>25</sup>
SYNOPSISYS, INC. COMMON STOCK / CUSIP: 871607107 / Symbol:							
6 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	32.000	6,511.85	08/19/20	6,590.23	...	-78.38	1 of 6 - Sale <sup>25</sup>
	33.000	6,715.34	08/19/20	6,763.68	...	-48.34	2 of 6 - Sale <sup>25</sup>
	18.000	3,662.92	08/19/20	3,689.28	...	-26.36	3 of 6 - Sale <sup>25</sup>
	4.000	813.98	08/19/20	826.54	...	-12.56	4 of 6 - Sale <sup>25</sup>
	2.000	407.00	08/19/20	415.76	...	-8.76	5 of 6 - Sale <sup>25</sup>
	5.000	1,017.48	08/19/20	1,024.65	...	-7.17	6 of 6 - Sale <sup>25</sup>
08/19/20	94.000	19,128.57	Various	19,310.14	...	-181.57	Total of 6 transactions
4 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	14.000	2,848.94	08/19/20	2,889.80	5.84 W	-35.02	1 of 4 - Sale <sup>25</sup>
	14.000	2,855.24	08/19/20	2,876.02	20.78 W	0.00	2 of 4 - Sale <sup>25</sup>

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(continued)

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SYNOPSISYS, INC. COMMON STOCK / CUSIP: 871607107 / Symbol: (cont'd)							
	4.000	815.78	08/19/20	822.60	6.82 W	0.00	3 of 4 - Sale <sup>25</sup>
	32.000	6,526.25	08/19/20	6,557.76	31.51 W	0.00	4 of 4 - Sale <sup>25</sup>
08/19/20	64.000	13,046.21	Various	13,146.18	64.95 W	-35.02	Total of 4 transactions
	<b>Security total:</b>	<b>32,174.78</b>		<b>32,456.32</b>	<b>64.95 W</b>	<b>-216.59</b>	
TPG RE FINANCE TRUST, INC. COM MON STOCK / CUSIP: 87266M107 / Symbol:							
04/06/20	150.000	479.98	04/03/20	376.49	...	103.49	Sale <sup>25</sup>
TARGET CORPORATION / CUSIP: 87612E106 / Symbol:							
4 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	8.000	1,237.65	08/19/20	1,239.31	...	-1.66	1 of 4 - Sale <sup>25</sup>
	2.000	309.41	08/19/20	309.81	...	-0.40	2 of 4 - Sale <sup>25</sup>
	1.000	154.71	08/19/20	154.90	...	-0.19	3 of 4 - Sale <sup>25</sup>
	2.000	309.41	08/19/20	308.85	...	0.56	4 of 4 - Sale <sup>25</sup>
08/19/20	13.000	2,011.18	Various	2,012.87	...	-1.69	Total of 4 transactions
2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	36.000	5,548.91	08/19/20	5,556.24	1.63 W	-5.70	1 of 2 - Sale <sup>25</sup>
	5.000	770.73	08/19/20	771.70	0.97 W	0.00	2 of 2 - Sale <sup>25</sup>
08/19/20	41.000	6,319.64	Various	6,327.94	2.60 W	-5.70	Total of 2 transactions
	<b>Security total:</b>	<b>8,330.82</b>		<b>8,340.81</b>	<b>2.60 W</b>	<b>-7.39</b>	
TARGA RESOURCES CORP. / CUSIP: 87612G101 / Symbol:							
05/08/20	25.000	342.75	05/07/20	332.75	...	10.00	Sale <sup>25</sup>
TARGET HOSPITALITY CORP. COMM O N STOCK / CUSIP: 87615L107 / Symbol:							
06/09/20	100.000	363.99	06/08/20	400.00	...	-36.01	Sale <sup>25</sup>
3 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	300.000	440.96	07/10/20	449.13	...	-8.17	1 of 3 - Sale <sup>25</sup>
	100.000	146.99	07/10/20	149.71	...	-2.72	2 of 3 - Sale <sup>25</sup>
	100.000	146.99	07/10/20	149.71	...	-2.72	3 of 3 - Sale <sup>25</sup>
07/13/20	500.000	734.94	Various	748.55	...	-13.61	Total of 3 transactions
	<b>Security total:</b>	<b>1,098.93</b>		<b>1,148.55</b>	...	<b>-49.62</b>	

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Robinhood Securities LLC

Account 527125207

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

Table with columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), 7- Loss not allowed (X) also not reported (Z), Additional information. Rows include Taronis Technologies Inc and Tattooed Chef, Inc with multiple transaction entries.

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TATTOOED CHEF, INC CLASS A COM MON STOCK / CUSIP: 87663X102 / Symbol: (cont'd)							
	18.000	352.43	12/15/20	416.47	64.04 W	0.00	22 of 29 - Sale <sup>25</sup>
	1.000	19.58	12/15/20	22.95	3.37 W	0.00	23 of 29 - Sale <sup>25</sup>
	25.000	489.48	12/15/20	574.05	84.57 W	0.00	24 of 29 - Sale <sup>25</sup>
	6.000	117.48	12/15/20	132.04	14.56 W	0.00	25 of 29 - Sale <sup>25</sup>
	10.000	194.85	12/15/20	214.32	19.47 W	0.00	26 of 29 - Sale <sup>25</sup>
	5.000	97.43	12/15/20	108.61	11.18 W	0.00	27 of 29 - Sale <sup>25</sup>
	1.000	19.48	12/15/20	21.52	2.04 W	0.00	28 of 29 - Sale <sup>25</sup>
	4.000	77.94	12/15/20	87.08	9.14 W	0.00	29 of 29 - Sale <sup>25</sup>
12/15/20	651.000	13,106.26	Various	14,228.96	1,122.70 W	0.00	Total of 29 transactions
	4 transactions for 12/16/20. Total proceeds and cost reported to the IRS.						
	1.000	18.69	12/15/20	21.49	2.80 W	0.00	1 of 4 - Sale <sup>25</sup>
	9.000	168.21	12/16/20	187.40	19.19 W	0.00	2 of 4 - Sale <sup>25</sup>
	8.000	149.52	12/16/20	162.50	12.98 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	18.49	12/16/20	20.31	1.82 W	0.00	4 of 4 - Sale <sup>25</sup>
12/16/20	19.000	354.91	Various	391.70	36.79 W	0.00	Total of 4 transactions
	5 transactions for 12/18/20. Total proceeds and cost reported to the IRS.						
	3.000	66.33	12/18/20	74.10	7.77 W	0.00	1 of 5 - Sale <sup>25</sup>
	29.000	641.17	12/18/20	696.60	55.43 W	0.00	2 of 5 - Sale <sup>25</sup>
	18.000	398.15	12/18/20	444.62	46.47 W	0.00	3 of 5 - Sale <sup>25</sup>
	5.000	110.59	12/18/20	123.50	12.91 W	0.00	4 of 5 - Sale <sup>25</sup>
	2.000	44.24	12/18/20	50.79	6.55 W	0.00	5 of 5 - Sale <sup>25</sup>
12/18/20	57.000	1,260.48	Various	1,389.61	129.13 W	0.00	Total of 5 transactions
	11 transactions for 12/21/20. Total proceeds and cost reported to the IRS.						
	18.000	432.89	12/18/20	457.14	24.25 W	0.00	1 of 11 - Sale <sup>25</sup>
	1.000	24.05	12/18/20	25.26	1.21 W	0.00	2 of 11 - Sale <sup>25</sup>
	2.000	48.10	12/18/20	50.60	2.50 W	0.00	3 of 11 - Sale <sup>25</sup>
	4.000	96.20	12/18/20	101.04	4.84 W	0.00	4 of 11 - Sale <sup>25</sup>
	2.000	48.10	12/21/20	55.74	7.64 W	0.00	5 of 11 - Sale <sup>25</sup>
	4.000	96.20	12/21/20	109.97	13.77 W	0.00	6 of 11 - Sale <sup>25</sup>
	19.000	456.93	12/21/20	522.39	65.46 W	0.00	7 of 11 - Sale <sup>25</sup>
	4.000	96.62	12/21/20	110.23	13.61 W	0.00	8 of 11 - Sale <sup>25</sup>
	1.000	25.28	12/21/20	27.56	2.28 W	0.00	9 of 11 - Sale <sup>25</sup>
	9.000	227.56	12/21/20	251.68	24.12 W	0.00	10 of 11 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TATTOOED CHEF, INC CLASS A COM MON STOCK / CUSIP: 87663X102 / Symbol: (cont'd)							
	15.000	379.27	12/21/20	419.46	40.19 W	0.00	11 of 11 - Sale <sup>25</sup>
12/21/20	79.000	1,931.20	Various	2,131.07	199.87 W	0.00	Total of 11 transactions
	28 transactions for 12/23/20. Total proceeds and cost reported to the IRS.						
	1.000	25.30	12/23/20	26.75	1.45 W	0.00	1 of 28 - Sale <sup>25</sup>
	2.000	50.61	12/23/20	53.58	2.97 W	0.00	2 of 28 - Sale <sup>25</sup>
	4.000	101.22	12/23/20	107.00	5.78 W	0.00	3 of 28 - Sale <sup>25</sup>
	18.000	455.48	12/23/20	483.97	28.49 W	0.00	4 of 28 - Sale <sup>25</sup>
	1.000	25.30	12/23/20	28.34	3.04 W	0.00	5 of 28 - Sale <sup>25</sup>
	1.000	25.30	12/23/20	29.30	4.00 W	0.00	6 of 28 - Sale <sup>25</sup>
	1.000	25.30	12/23/20	27.58	2.28 W	0.00	7 of 28 - Sale <sup>25</sup>
	1.000	25.30	12/23/20	27.36	2.06 W	0.00	8 of 28 - Sale <sup>25</sup>
	2.000	50.61	12/23/20	57.63	7.02 W	0.00	9 of 28 - Sale <sup>25</sup>
	3.000	75.91	12/23/20	84.39	8.48 W	0.00	10 of 28 - Sale <sup>25</sup>
	4.000	101.22	12/23/20	115.93	14.71 W	0.00	11 of 28 - Sale <sup>25</sup>
	5.000	126.52	12/23/20	140.61	14.09 W	0.00	12 of 28 - Sale <sup>25</sup>
	6.000	151.83	12/23/20	174.66	22.83 W	0.00	13 of 28 - Sale <sup>25</sup>
	8.000	202.43	12/23/20	217.30	14.87 W	0.00	14 of 28 - Sale <sup>25</sup>
	9.000	227.74	12/23/20	249.05	21.31 W	0.00	15 of 28 - Sale <sup>25</sup>
	18.000	455.48	12/23/20	521.44	65.96 W	0.00	16 of 28 - Sale <sup>25</sup>
	18.000	455.48	12/23/20	523.76	68.28 W	0.00	17 of 28 - Sale <sup>25</sup>
	18.000	455.48	12/23/20	506.19	50.71 W	0.00	18 of 28 - Sale <sup>25</sup>
	29.000	733.83	12/23/20	796.09	62.26 W	0.00	19 of 28 - Sale <sup>25</sup>
	1.000	25.30	12/23/20	28.91	3.61 W	0.00	20 of 28 - Sale <sup>25</sup>
	6.000	151.83	12/23/20	167.80	15.97 W	0.00	21 of 28 - Sale <sup>25</sup>
	9.000	227.74	12/23/20	247.38	19.64 W	0.00	22 of 28 - Sale <sup>25</sup>
	25.000	632.61	12/23/20	723.07	90.46 W	0.00	23 of 28 - Sale <sup>25</sup>
	4.000	100.84	12/23/20	111.30	10.46 W	0.00	24 of 28 - Sale <sup>25</sup>
	5.000	126.04	12/23/20	138.88	12.84 W	0.00	25 of 28 - Sale <sup>25</sup>
	1.000	25.21	12/23/20	27.49	2.28 W	0.00	26 of 28 - Sale <sup>25</sup>
	10.000	252.09	12/23/20	287.15	35.06 W	0.00	27 of 28 - Sale <sup>25</sup>
	4.000	102.76	12/23/20	114.86	12.10 W	0.00	28 of 28 - Sale <sup>25</sup>
12/23/20	214.000	5,414.76	Various	6,017.77	603.01 W	0.00	Total of 28 transactions

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TATTOOED CHEF, INC CLASS A COM MON STOCK / CUSIP: 87663X102 / Symbol: (cont'd)							
4 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	1.000	22.51	12/23/20	28.72	6.21 W	0.00	1 of 4 - Sale <sup>25</sup>
	2.000	45.02	12/23/20	57.24	12.22 W	0.00	2 of 4 - Sale <sup>25</sup>
	2.000	45.02	12/23/20	56.41	11.39 W	0.00	3 of 4 - Sale <sup>25</sup>
	4.000	90.04	12/23/20	112.98	22.94 W	0.00	4 of 4 - Sale <sup>25</sup>
12/29/20	9.000	202.59	Various	255.35	52.76 W	0.00	Total of 4 transactions
16 transactions for 12/30/20. Total proceeds and cost reported to the IRS.							
	1.000	23.98	12/23/20	28.20	4.22 W	0.00	1 of 16 - Sale <sup>25</sup>
	1.000	23.98	12/30/20	25.04	1.06 W	0.00	2 of 16 - Sale <sup>25</sup>
	2.000	47.97	12/30/20	50.16	2.19 W	0.00	3 of 16 - Sale <sup>25</sup>
	4.000	95.94	12/30/20	100.16	4.22 W	0.00	4 of 16 - Sale <sup>25</sup>
	18.000	431.73	12/30/20	453.19	21.46 W	0.00	5 of 16 - Sale <sup>25</sup>
	1.000	23.98	12/30/20	26.63	2.65 W	0.00	6 of 16 - Sale <sup>25</sup>
	1.000	23.98	12/30/20	26.99	3.01 W	0.00	7 of 16 - Sale <sup>25</sup>
	1.000	23.99	12/30/20	25.87	1.88 W	0.00	8 of 16 - Sale <sup>25</sup>
	1.000	23.99	12/30/20	27.59	3.60 W	0.00	9 of 16 - Sale <sup>25</sup>
	1.000	23.98	12/30/20	25.87	1.89 W	0.00	10 of 16 - Sale <sup>25</sup>
	3.000	71.96	12/30/20	77.89	5.93 W	0.00	11 of 16 - Sale <sup>25</sup>
	6.000	143.91	12/30/20	164.40	20.49 W	0.00	12 of 16 - Sale <sup>25</sup>
	9.000	215.86	12/30/20	236.47	20.61 W	0.00	13 of 16 - Sale <sup>25</sup>
	15.000	359.77	12/30/20	394.10	34.33 W	0.00	14 of 16 - Sale <sup>25</sup>
	18.000	431.73	12/30/20	490.66	58.93 W	0.00	15 of 16 - Sale <sup>25</sup>
	18.000	431.73	12/30/20	492.98	61.25 W	0.00	16 of 16 - Sale <sup>25</sup>
12/30/20	100.000	2,398.48	Various	2,646.20	247.72 W	0.00	Total of 16 transactions
40 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	23.000	552.57	12/30/20	639.02	...	-86.45	1 of 40 - Sale <sup>25</sup>
	29.000	696.71	12/30/20	748.11	...	-51.40	2 of 40 - Sale <sup>25</sup>
	15.000	360.37	12/30/20	404.74	...	-44.37	3 of 40 - Sale <sup>25</sup>
	9.000	215.36	12/30/20	237.12	...	-21.76	4 of 40 - Sale <sup>25</sup>
	6.000	143.58	12/30/20	160.96	...	-17.38	5 of 40 - Sale <sup>25</sup>
	4.000	96.10	12/30/20	111.37	...	-15.27	6 of 40 - Sale <sup>25</sup>
	5.000	120.12	12/30/20	134.92	...	-14.80	7 of 40 - Sale <sup>25</sup>
	5.000	119.65	12/30/20	133.66	...	-14.01	8 of 40 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TATTOOED CHEF, INC CLASS A COM MON STOCK / CUSIP: 87663X102 / Symbol: (cont'd)							
	8.000	192.20	12/30/20	204.07	...	-11.87	9 of 40 - Sale <sup>25</sup>
	2.000	47.86	12/30/20	55.57	...	-7.71	10 of 40 - Sale <sup>25</sup>
	3.000	72.07	12/30/20	79.43	...	-7.36	11 of 40 - Sale <sup>25</sup>
	3.000	72.07	12/30/20	79.40	...	-7.33	12 of 40 - Sale <sup>25</sup>
	2.000	48.05	12/30/20	55.35	...	-7.30	13 of 40 - Sale <sup>25</sup>
	2.000	47.86	12/30/20	53.56	...	-5.70	14 of 40 - Sale <sup>25</sup>
	1.000	24.02	12/30/20	27.77	...	-3.75	15 of 40 - Sale <sup>25</sup>
	1.000	23.93	12/30/20	26.45	...	-2.52	16 of 40 - Sale <sup>25</sup>
	1.000	24.02	12/30/20	25.71	...	-1.69	17 of 40 - Sale <sup>25</sup>
	18.000	430.72	12/31/20	497.82	...	-67.10	18 of 40 - Sale <sup>25</sup>
	15.000	358.93	12/31/20	400.07	...	-41.14	19 of 40 - Sale <sup>25</sup>
	10.000	239.29	12/31/20	278.88	...	-39.59	20 of 40 - Sale <sup>25</sup>
	18.000	430.73	12/31/20	460.35	...	-29.62	21 of 40 - Sale <sup>25</sup>
	4.000	95.72	12/31/20	120.47	...	-24.75	22 of 40 - Sale <sup>25</sup>
	9.000	215.36	12/31/20	240.05	...	-24.69	23 of 40 - Sale <sup>25</sup>
	4.000	95.72	12/31/20	109.63	...	-13.91	24 of 40 - Sale <sup>25</sup>
	2.000	47.86	12/31/20	60.99	...	-13.13	25 of 40 - Sale <sup>25</sup>
	2.000	47.86	12/31/20	60.15	...	-12.29	26 of 40 - Sale <sup>25</sup>
	3.000	71.79	12/31/20	83.40	...	-11.61	27 of 40 - Sale <sup>25</sup>
	2.000	47.49	12/31/20	55.32	...	-7.83	28 of 40 - Sale <sup>25</sup>
	1.000	23.93	12/31/20	30.59	...	-6.66	29 of 40 - Sale <sup>25</sup>
	4.000	95.72	12/31/20	101.75	...	-6.03	30 of 40 - Sale <sup>25</sup>
	1.000	23.93	12/31/20	28.60	...	-4.67	31 of 40 - Sale <sup>25</sup>
	1.000	23.93	12/31/20	27.98	...	-4.05	32 of 40 - Sale <sup>25</sup>
	1.000	23.74	12/31/20	27.67	...	-3.93	33 of 40 - Sale <sup>25</sup>
	1.000	23.93	12/31/20	27.39	...	-3.46	34 of 40 - Sale <sup>25</sup>
	1.000	23.75	12/31/20	26.99	...	-3.24	35 of 40 - Sale <sup>25</sup>
	2.000	47.86	12/31/20	50.96	...	-3.10	36 of 40 - Sale <sup>25</sup>
	1.000	23.93	12/31/20	27.03	...	-3.10	37 of 40 - Sale <sup>25</sup>
	1.000	23.93	12/31/20	27.00	...	-3.07	38 of 40 - Sale <sup>25</sup>
	1.000	23.93	12/31/20	26.26	...	-2.33	39 of 40 - Sale <sup>25</sup>
	1.000	23.93	12/31/20	25.44	...	-1.51	40 of 40 - Sale <sup>25</sup>
12/31/20	222.000	5,320.52	Various	5,972.00	...	-651.48	Total of 40 transactions
12/31/20	6.000	144.15	12/30/20	156.11	5.98 W	-5.98	Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TATTOOED CHEF, INC CLASS A COM MON STOCK / CUSIP: 87663X102 / Symbol: (cont'd)							
<b>Security total:</b>		<b>40,275.07</b>		<b>43,511.91</b>	<b>2,579.38 W</b>		<b>-657.46</b>
TELADOC HEALTH, INC. / CUSIP: 87918A105 / Symbol:							
04/08/20	10.000	1,399.96	04/07/20	1,410.00	...	-10.04	Sale <sup>25</sup>
06/02/20	2.000	334.19	06/01/20	341.77	7.58 W	0.00	Sale <sup>25</sup>
06/12/20	8.000	1,405.75	06/10/20	1,384.74	...	21.01	Sale <sup>25</sup>
06/12/20	2.000	351.44	06/10/20	353.77	2.33 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	436.50	07/01/20	398.16	...	38.34	1 of 2 - Sale <sup>25</sup>
	8.000	1,746.00	07/01/20	1,583.30	...	162.70	2 of 2 - Sale <sup>25</sup>
07/07/20	10.000	2,182.50	Various	1,981.46	...	201.04	Total of 2 transactions
<i>2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	222.33	07/01/20	197.91	...	24.42	1 of 2 - Sale <sup>25</sup>
	9.000	1,992.24	07/01/20	1,781.21	...	211.03	2 of 2 - Sale <sup>25</sup>
07/08/20	10.000	2,214.57	Various	1,979.12	...	235.45	Total of 2 transactions
07/13/20	5.000	1,173.43	07/13/20	1,179.69	6.26 W	0.00	Sale <sup>25</sup>
07/31/20	5.000	1,169.52	07/31/20	1,164.45	...	5.07	Sale <sup>25</sup>
<i>2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	1,155.96	07/31/20	1,170.71	14.75 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	1,169.52	07/31/20	1,188.03	18.51 W	0.00	2 of 2 - Sale <sup>25</sup>
07/31/20	10.000	2,325.48	Various	2,358.74	33.26 W	0.00	Total of 2 transactions
<i>5 transactions for 08/10/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	951.08	08/10/20	947.24	...	3.84	1 of 5 - Sale <sup>25</sup>
	25.000	4,741.89	08/10/20	4,736.71	...	5.18	2 of 5 - Sale <sup>25</sup>
	5.000	951.08	08/10/20	929.00	...	22.08	3 of 5 - Sale <sup>25</sup>
	25.000	4,741.89	08/10/20	4,684.69	...	57.20	4 of 5 - Sale <sup>25</sup>
	15.000	2,853.23	08/10/20	2,786.17	...	67.06	5 of 5 - Sale <sup>25</sup>
08/10/20	75.000	14,239.17	Various	14,083.81	...	155.36	Total of 5 transactions
<i>10 transactions for 08/10/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	4,741.90	08/10/20	4,844.50	102.60 W	0.00	1 of 10 - Sale <sup>25</sup>
	24.000	4,552.21	08/10/20	4,652.64	100.43 W	0.00	2 of 10 - Sale <sup>25</sup>
	1.000	189.68	08/10/20	193.55	3.87 W	0.00	3 of 10 - Sale <sup>25</sup>
	21.000	4,038.42	08/10/20	4,055.29	16.87 W	0.00	4 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TELADOC HEALTH, INC. / CUSIP: 87918A105 / Symbol: (cont'd)							
	4.000	769.22	08/10/20	771.70	2.48 W	0.00	5 of 10 - Sale <sup>25</sup>
	1.000	193.78	08/10/20	196.38	2.60 W	0.00	6 of 10 - Sale <sup>25</sup>
	24.000	4,650.61	08/10/20	4,720.67	70.06 W	0.00	7 of 10 - Sale <sup>25</sup>
	20.000	3,852.91	08/10/20	3,866.27	13.36 W	0.00	8 of 10 - Sale <sup>25</sup>
	4.000	770.54	08/10/20	772.52	1.98 W	0.00	9 of 10 - Sale <sup>25</sup>
	1.000	192.63	08/10/20	193.31	0.68 W	0.00	10 of 10 - Sale <sup>25</sup>
08/10/20	125.000	23,951.90	Various	24,266.83	314.93 W	0.00	Total of 10 transactions
	3 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	3.000	550.48	08/10/20	587.61	37.13 W	0.00	1 of 3 - Sale <sup>25</sup>
	3.000	550.48	08/10/20	587.61	37.13 W	0.00	2 of 3 - Sale <sup>25</sup>
	2.000	367.00	08/10/20	391.74	24.74 W	0.00	3 of 3 - Sale <sup>25</sup>
08/11/20	8.000	1,467.96	Various	1,566.96	99.00 W	0.00	Total of 3 transactions
	5 transactions for 08/12/20. Total proceeds and cost reported to the IRS.						
	2.000	364.87	08/10/20	391.73	26.86 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	182.44	08/11/20	186.74	4.30 W	0.00	2 of 5 - Sale <sup>25</sup>
	10.000	1,824.35	08/11/20	1,848.08	23.73 W	0.00	3 of 5 - Sale <sup>25</sup>
	14.000	2,554.10	08/11/20	2,618.83	64.73 W	0.00	4 of 5 - Sale <sup>25</sup>
	3.000	547.31	08/11/20	554.42	7.11 W	0.00	5 of 5 - Sale <sup>25</sup>
08/12/20	30.000	5,473.07	Various	5,599.80	126.73 W	0.00	Total of 5 transactions
	14 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	105.000	22,962.97	08/19/20	23,021.23	...	-58.26	1 of 14 - Sale <sup>25</sup>
	11.000	2,405.65	08/19/20	2,462.61	...	-56.96	2 of 14 - Sale <sup>25</sup>
	3.000	650.44	08/19/20	694.54	...	-44.10	3 of 14 - Sale <sup>25</sup>
	3.000	650.45	08/19/20	694.54	...	-44.09	4 of 14 - Sale <sup>25</sup>
	2.000	433.63	08/19/20	463.02	...	-29.39	5 of 14 - Sale <sup>25</sup>
	10.000	2,186.95	08/19/20	2,216.23	...	-29.28	6 of 14 - Sale <sup>25</sup>
	7.000	1,517.71	08/19/20	1,538.66	...	-20.95	7 of 14 - Sale <sup>25</sup>
	3.000	650.44	08/19/20	671.30	...	-20.86	8 of 14 - Sale <sup>25</sup>
	1.000	216.82	08/19/20	232.57	...	-15.75	9 of 14 - Sale <sup>25</sup>
	1.000	216.86	08/19/20	232.57	...	-15.71	10 of 14 - Sale <sup>25</sup>
	4.000	867.26	08/19/20	878.54	...	-11.28	11 of 14 - Sale <sup>25</sup>
	3.000	656.09	08/19/20	664.86	...	-8.77	12 of 14 - Sale <sup>25</sup>
	1.000	218.69	08/19/20	223.55	...	-4.86	13 of 14 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TELADOC HEALTH, INC. / CUSIP: 87918A105 / Symbol: (cont'd)							
	1.000	216.81	08/19/20	219.83	...	-3.02	14 of 14 - Sale <sup>25</sup>
08/19/20	155.000	33,850.77	Various	34,214.05	...	-363.28	Total of 14 transactions
	8 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	2.000	437.39	08/19/20	464.03	26.64 W	0.00	1 of 8 - Sale <sup>25</sup>
	2.000	437.39	08/19/20	461.91	24.52 W	0.00	2 of 8 - Sale <sup>25</sup>
	3.000	656.09	08/19/20	692.88	36.79 W	0.00	3 of 8 - Sale <sup>25</sup>
	3.000	656.09	08/19/20	692.88	36.79 W	0.00	4 of 8 - Sale <sup>25</sup>
	3.000	656.08	08/19/20	669.63	13.55 W	0.00	5 of 8 - Sale <sup>25</sup>
	1.000	218.69	08/19/20	219.27	0.58 W	0.00	6 of 8 - Sale <sup>25</sup>
	4.000	874.78	08/19/20	876.32	1.54 W	0.00	7 of 8 - Sale <sup>25</sup>
	7.000	1,530.87	08/19/20	1,534.78	3.91 W	0.00	8 of 8 - Sale <sup>25</sup>
08/19/20	25.000	5,467.38	Various	5,611.70	144.32 W	0.00	Total of 8 transactions
10/02/20	0.009	1.98	10/01/20	2.00	...	-0.02	Sale <sup>25</sup>
	<b>Security total:</b>	<b>97,009.07</b>		<b>97,498.89</b>	<b>734.41 W</b>	<b>244.59</b>	
TELLURIAN INC. COMMON STOCK / CUSIP: 87968A104 / Symbol:							
	10 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	76.000	112.47	07/21/20	117.02	4.55 W	0.00	1 of 10 - Sale <sup>25</sup>
	15.000	22.20	07/21/20	23.10	0.90 W	0.00	2 of 10 - Sale <sup>25</sup>
	100.000	146.99	07/21/20	153.98	6.99 W	0.00	3 of 10 - Sale <sup>25</sup>
	59.000	86.72	07/21/20	90.85	4.13 W	0.00	4 of 10 - Sale <sup>25</sup>
	15.000	22.50	07/21/20	23.70	1.20 W	0.00	5 of 10 - Sale <sup>25</sup>
	59.000	88.49	07/21/20	93.81	5.32 W	0.00	6 of 10 - Sale <sup>25</sup>
	76.000	113.99	07/21/20	120.07	6.08 W	0.00	7 of 10 - Sale <sup>25</sup>
	100.000	149.98	07/21/20	158.99	9.01 W	0.00	8 of 10 - Sale <sup>25</sup>
	250.000	374.96	07/21/20	380.00	5.04 W	0.00	9 of 10 - Sale <sup>25</sup>
	250.000	374.96	07/21/20	382.50	7.54 W	0.00	10 of 10 - Sale <sup>25</sup>
07/21/20	1,000.000	1,493.26	Various	1,544.02	50.76 W	0.00	Total of 10 transactions
	18 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	450.000	571.44	07/22/20	609.73	...	-38.29	1 of 18 - Sale <sup>25</sup>
	200.000	253.97	07/22/20	273.01	...	-19.04	2 of 18 - Sale <sup>25</sup>
	91.000	115.56	07/22/20	129.67	...	-14.11	3 of 18 - Sale <sup>25</sup>
	150.000	190.48	07/22/20	201.75	...	-11.27	4 of 18 - Sale <sup>25</sup>
	76.000	96.51	07/22/20	107.53	...	-11.02	5 of 18 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TELLURIAN INC. COMMON STOCK / CUSIP: 87968A104 / Symbol: (cont'd)							
	100.000	126.98	07/22/20	135.51	...	-8.53	6 of 18 - Sale <sup>25</sup>
	100.000	126.98	07/22/20	135.51	...	-8.53	7 of 18 - Sale <sup>25</sup>
	50.000	63.49	07/22/20	71.25	...	-7.76	8 of 18 - Sale <sup>25</sup>
	100.000	126.99	07/22/20	134.50	...	-7.51	9 of 18 - Sale <sup>25</sup>
	50.000	63.49	07/22/20	68.25	...	-4.76	10 of 18 - Sale <sup>25</sup>
	50.000	63.50	07/22/20	67.75	...	-4.25	11 of 18 - Sale <sup>25</sup>
	50.000	63.50	07/22/20	67.75	...	-4.25	12 of 18 - Sale <sup>25</sup>
	15.000	19.05	07/22/20	21.23	...	-2.18	13 of 18 - Sale <sup>25</sup>
	9.000	11.43	07/22/20	12.83	...	-1.40	14 of 18 - Sale <sup>25</sup>
	9.000	11.43	07/22/20	12.82	...	-1.39	15 of 18 - Sale <sup>25</sup>
	50.000	64.49	07/22/20	63.99	...	0.50	16 of 18 - Sale <sup>25</sup>
	100.000	128.99	07/22/20	127.98	...	1.01	17 of 18 - Sale <sup>25</sup>
	100.000	128.99	07/22/20	127.98	...	1.01	18 of 18 - Sale <sup>25</sup>
07/22/20	1,750.000	2,227.27	Various	2,369.04	...	-141.77	Total of 18 transactions
	10 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	15.000	19.35	07/22/20	20.40	1.05 W	0.00	1 of 10 - Sale <sup>25</sup>
	9.000	11.61	07/22/20	12.33	0.72 W	0.00	2 of 10 - Sale <sup>25</sup>
	76.000	98.03	07/22/20	103.34	5.31 W	0.00	3 of 10 - Sale <sup>25</sup>
	100.000	128.99	07/22/20	136.99	8.00 W	0.00	4 of 10 - Sale <sup>25</sup>
	50.000	64.50	07/22/20	68.50	4.00 W	0.00	5 of 10 - Sale <sup>25</sup>
	150.000	193.48	07/22/20	194.99	1.51 W	0.00	6 of 10 - Sale <sup>25</sup>
	100.000	128.99	07/22/20	130.00	1.01 W	0.00	7 of 10 - Sale <sup>25</sup>
	200.000	257.98	07/22/20	261.99	4.01 W	0.00	8 of 10 - Sale <sup>25</sup>
	50.000	64.50	07/22/20	65.50	1.00 W	0.00	9 of 10 - Sale <sup>25</sup>
	500.000	634.92	07/22/20	639.90	4.98 W	0.00	10 of 10 - Sale <sup>25</sup>
07/22/20	1,250.000	1,602.35	Various	1,633.94	31.59 W	0.00	Total of 10 transactions
	<b>Security total:</b>	<b>5,322.88</b>		<b>5,547.00</b>	<b>82.35 W</b>	<b>-141.77</b>	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
	2 transactions for 03/17/20. Total proceeds and cost reported to the IRS.						
	2.000	813.31	03/16/20	910.98	97.67 W	0.00	1 of 2 - Sale <sup>25</sup>
	3.000	1,299.44	03/16/20	1,366.46	67.02 W	0.00	2 of 2 - Sale <sup>25</sup>
03/17/20	5.000	2,112.75	Various	2,277.44	164.69 W	0.00	Total of 2 transactions
03/19/20	5.000	2,124.95	03/18/20	1,946.83	...	178.12	Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
2 transactions for 03/19/20. Total proceeds and cost reported to the IRS.							
	2.000	849.98	03/17/20	954.39	104.41 W	0.00	1 of 2 - Sale <sup>25</sup>
	3.000	1,274.97	03/17/20	1,352.10	77.13 W	0.00	2 of 2 - Sale <sup>25</sup>
03/19/20	5.000	2,124.95	Various	2,306.49	181.54 W	0.00	Total of 2 transactions
2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	2.000	889.98	03/19/20	962.09	72.11 W	0.00	1 of 2 - Sale <sup>25</sup>
	3.000	1,334.97	03/19/20	1,363.65	28.68 W	0.00	2 of 2 - Sale <sup>25</sup>
03/20/20	5.000	2,224.95	Various	2,325.74	100.79 W	0.00	Total of 2 transactions
3 transactions for 04/03/20. Total proceeds and cost reported to the IRS.							
	2.000	978.44	04/02/20	966.67	...	11.77	1 of 3 - Sale <sup>25</sup>
	1.000	489.22	04/02/20	463.12	...	26.10	2 of 3 - Sale <sup>25</sup>
	2.000	978.43	04/02/20	907.11	...	71.32	3 of 3 - Sale <sup>25</sup>
04/03/20	5.000	2,446.09	Various	2,336.90	...	109.19	Total of 3 transactions
2 transactions for 04/03/20. Total proceeds and cost reported to the IRS.							
	2.000	978.44	04/02/20	1,019.66	41.22 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	489.22	04/02/20	510.50	21.28 W	0.00	2 of 2 - Sale <sup>25</sup>
04/03/20	3.000	1,467.66	Various	1,530.16	62.50 W	0.00	Total of 2 transactions
4 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	1.000	539.99	04/03/20	493.89	...	46.10	1 of 4 - Sale <sup>25</sup>
	1.000	539.99	04/03/20	472.61	...	67.38	2 of 4 - Sale <sup>25</sup>
	2.000	1,079.97	04/03/20	986.44	...	93.53	3 of 4 - Sale <sup>25</sup>
	2.000	1,079.97	04/06/20	1,025.56	...	54.41	4 of 4 - Sale <sup>25</sup>
04/08/20	6.000	3,239.92	Various	2,978.50	...	261.42	Total of 4 transactions
04/08/20	1.000	539.98	04/07/20	542.24	2.26 W	0.00	Sale <sup>25</sup>
2 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	1.000	728.03	04/22/20	703.26	...	24.77	1 of 2 - Sale <sup>25</sup>
	4.000	2,912.13	04/22/20	2,804.00	...	108.13	2 of 2 - Sale <sup>25</sup>
04/23/20	5.000	3,640.16	Various	3,507.26	...	132.90	Total of 2 transactions
04/29/20	2.000	1,577.14	04/28/20	1,596.94	19.80 W	0.00	Sale <sup>25</sup>
05/04/20	2.000	1,468.01	05/01/20	1,527.56	59.55 W	0.00	Sale <sup>25</sup>

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(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
3 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	1.000	797.30	05/01/20	712.19	...	85.11	1 of 3 - Sale <sup>25</sup>
	2.000	1,594.58	05/01/20	1,485.59	...	108.99	2 of 3 - Sale <sup>25</sup>
	2.000	1,598.51	05/01/20	1,424.37	...	174.14	3 of 3 - Sale <sup>25</sup>
05/15/20	5.000	3,990.39	Various	3,622.15	...	368.24	Total of 3 transactions
2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	1.000	810.24	05/01/20	712.19	...	98.05	1 of 2 - Sale <sup>25</sup>
	1.000	810.25	05/01/20	712.03	...	98.22	2 of 2 - Sale <sup>25</sup>
05/18/20	2.000	1,620.49	Various	1,424.22	...	196.27	Total of 2 transactions
4 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	1.000	809.28	05/01/20	716.40	...	92.88	1 of 4 - Sale <sup>25</sup>
	1.000	809.42	05/01/20	716.40	...	93.02	2 of 4 - Sale <sup>25</sup>
	1.000	809.43	05/01/20	716.22	...	93.21	3 of 4 - Sale <sup>25</sup>
	1.000	809.28	05/14/20	772.54	...	36.74	4 of 4 - Sale <sup>25</sup>
05/19/20	4.000	3,237.41	Various	2,921.56	...	315.85	Total of 4 transactions
05/26/20	1.000	822.61	05/14/20	772.54	...	50.07	Sale <sup>25</sup>
05/27/20	3.000	2,396.52	05/14/20	2,317.60	...	78.92	Sale <sup>25</sup>
05/27/20	1.000	798.84	05/18/20	810.14	11.30 W	0.00	Sale <sup>25</sup>
3 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	1.000	817.84	05/27/20	812.62	...	5.22	1 of 3 - Sale <sup>25</sup>
	1.000	817.38	05/27/20	801.33	...	16.05	2 of 3 - Sale <sup>25</sup>
	1.000	817.84	05/27/20	801.32	...	16.52	3 of 3 - Sale <sup>25</sup>
05/28/20	3.000	2,453.06	Various	2,415.27	...	37.79	Total of 3 transactions
3 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	1.000	872.06	05/27/20	801.32	...	70.74	1 of 3 - Sale <sup>25</sup>
	2.000	1,744.12	05/29/20	1,638.26	...	105.86	2 of 3 - Sale <sup>25</sup>
	2.000	1,744.12	06/01/20	1,732.40	...	11.72	3 of 3 - Sale <sup>25</sup>
06/02/20	5.000	4,360.30	Various	4,171.98	...	188.32	Total of 3 transactions
06/23/20	2.000	2,013.78	06/22/20	1,993.28	...	20.50	Sale <sup>25</sup>
06/23/20	1.000	1,006.89	06/23/20	1,006.91	0.02 W	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	1.000	972.83	06/25/20	974.68	1.85 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	972.84	06/25/20	974.65	1.81 W	0.00	2 of 2 - Sale <sup>25</sup>
06/25/20	2.000	1,945.67	Various	1,949.33	3.66 W	0.00	Total of 2 transactions
11 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	2.000	1,982.85	06/29/20	1,976.50	...	6.35	1 of 11 - Sale <sup>25</sup>
	1.000	971.25	06/29/20	961.12	...	10.13	2 of 11 - Sale <sup>25</sup>
	1.000	971.25	06/29/20	961.07	...	10.18	3 of 11 - Sale <sup>25</sup>
	1.000	1,000.03	06/29/20	988.25	...	11.78	4 of 11 - Sale <sup>25</sup>
	1.000	1,000.02	06/29/20	987.97	...	12.05	5 of 11 - Sale <sup>25</sup>
	1.000	991.43	06/29/20	959.27	...	32.16	6 of 11 - Sale <sup>25</sup>
	3.000	2,913.76	06/29/20	2,877.80	...	35.96	7 of 11 - Sale <sup>25</sup>
	2.000	1,982.69	06/29/20	1,918.53	...	64.16	8 of 11 - Sale <sup>25</sup>
	5.000	4,870.16	06/29/20	4,796.32	...	73.84	9 of 11 - Sale <sup>25</sup>
	3.000	2,960.20	06/29/20	2,877.79	...	82.41	10 of 11 - Sale <sup>25</sup>
	4.000	3,954.69	06/29/20	3,837.06	...	117.63	11 of 11 - Sale <sup>25</sup>
06/29/20	24.000	23,598.33	Various	23,141.68	...	456.65	Total of 11 transactions
07/07/20	2.000	2,812.23	06/29/20	1,975.94	...	836.29	Sale <sup>25</sup>
4 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	3.000	4,012.15	06/29/20	2,963.91	...	1,048.24	1 of 4 - Sale <sup>25</sup>
	2.000	2,674.77	06/30/20	2,169.09	...	505.68	2 of 4 - Sale <sup>25</sup>
	3.000	3,958.50	06/30/20	3,252.57	...	705.93	3 of 4 - Sale <sup>25</sup>
	1.000	1,375.85	07/08/20	1,369.03	...	6.82	4 of 4 - Sale <sup>25</sup>
07/08/20	9.000	12,021.27	Various	9,754.60	...	2,266.67	Total of 4 transactions
07/08/20	2.000	2,638.99	07/06/20	2,640.34	1.35 W	0.00	Sale <sup>25</sup>
7 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	1.000	1,378.83	07/09/20	1,386.96	8.13 W	0.00	1 of 7 - Sale <sup>25</sup>
	6.000	8,272.99	07/09/20	8,317.74	44.75 W	0.00	2 of 7 - Sale <sup>25</sup>
	3.000	4,136.50	07/09/20	4,160.18	23.68 W	0.00	3 of 7 - Sale <sup>25</sup>
	1.000	1,373.11	07/09/20	1,384.17	11.06 W	0.00	4 of 7 - Sale <sup>25</sup>
	4.000	5,492.43	07/09/20	5,533.99	41.56 W	0.00	5 of 7 - Sale <sup>25</sup>
	2.000	2,752.99	07/09/20	2,772.37	19.38 W	0.00	6 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	3.000	4,131.21	07/09/20	4,159.86	28.65 W	0.00	7 of 7 - Sale <sup>25</sup>
07/09/20	20.000	27,538.06	Various	27,715.27	177.21 W	0.00	Total of 7 transactions
07/10/20	1.000	1,530.47	07/10/20	1,526.05	...	4.42	Sale <sup>25</sup>
	4 transactions for 07/10/20. Total proceeds and cost reported to the IRS.						
	1.000	1,530.47	07/10/20	1,541.83	11.36 W	0.00	1 of 4 - Sale <sup>25</sup>
	3.000	4,591.39	07/10/20	4,627.80	36.41 W	0.00	2 of 4 - Sale <sup>25</sup>
	2.000	3,061.05	07/10/20	3,083.80	22.75 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	1,530.52	07/10/20	1,543.46	12.94 W	0.00	4 of 4 - Sale <sup>25</sup>
07/10/20	7.000	10,713.43	Various	10,796.89	83.46 W	0.00	Total of 4 transactions
	9 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	1.000	1,724.97	07/10/20	1,539.39	...	185.58	1 of 9 - Sale <sup>25</sup>
	2.000	3,449.94	07/10/20	3,080.34	...	369.60	2 of 9 - Sale <sup>25</sup>
	2.000	3,449.94	07/10/20	3,075.17	...	374.77	3 of 9 - Sale <sup>25</sup>
	1.000	1,599.96	07/13/20	1,597.70	...	2.26	4 of 9 - Sale <sup>25</sup>
	1.000	1,584.97	07/13/20	1,580.06	...	4.91	5 of 9 - Sale <sup>25</sup>
	1.000	1,599.97	07/13/20	1,591.80	...	8.17	6 of 9 - Sale <sup>25</sup>
	1.000	1,599.96	07/13/20	1,589.82	...	10.14	7 of 9 - Sale <sup>25</sup>
	2.000	3,199.93	07/13/20	3,156.62	...	43.31	8 of 9 - Sale <sup>25</sup>
	5.000	7,999.82	07/13/20	7,924.71	...	75.11	9 of 9 - Sale <sup>25</sup>
07/13/20	16.000	26,209.46	Various	25,135.61	...	1,073.85	Total of 9 transactions
	28 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	1.000	1,749.96	07/13/20	1,777.12	27.16 W	0.00	1 of 28 - Sale <sup>25</sup>
	2.000	3,499.92	07/13/20	3,552.72	52.80 W	0.00	2 of 28 - Sale <sup>25</sup>
	1.000	1,749.96	07/13/20	1,773.94	23.98 W	0.00	3 of 28 - Sale <sup>25</sup>
	1.000	1,584.96	07/13/20	1,590.94	5.98 W	0.00	4 of 28 - Sale <sup>25</sup>
	2.000	3,169.93	07/13/20	3,180.35	10.42 W	0.00	5 of 28 - Sale <sup>25</sup>
	1.000	1,584.96	07/13/20	1,587.75	2.79 W	0.00	6 of 28 - Sale <sup>25</sup>
	1.000	1,584.96	07/13/20	1,589.73	4.77 W	0.00	7 of 28 - Sale <sup>25</sup>
	1.000	1,584.96	07/13/20	1,604.35	19.39 W	0.00	8 of 28 - Sale <sup>25</sup>
	1.000	1,583.11	07/13/20	1,594.62	11.51 W	0.00	9 of 28 - Sale <sup>25</sup>
	1.000	1,583.11	07/13/20	1,596.60	13.49 W	0.00	10 of 28 - Sale <sup>25</sup>
	1.000	1,561.69	07/13/20	1,594.39	32.70 W	0.00	11 of 28 - Sale <sup>25</sup>
	1.000	1,561.69	07/13/20	1,586.51	24.82 W	0.00	12 of 28 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	1.000	1,561.69	07/13/20	1,588.49	26.80 W	0.00	13 of 28 - Sale <sup>25</sup>
	2.000	3,123.38	07/13/20	3,150.00	26.62 W	0.00	14 of 28 - Sale <sup>25</sup>
	5.000	7,808.46	07/13/20	7,875.00	66.54 W	0.00	15 of 28 - Sale <sup>25</sup>
	5.000	7,605.78	07/13/20	7,777.50	171.72 W	0.00	16 of 28 - Sale <sup>25</sup>
	4.000	6,074.03	07/13/20	6,222.00	147.97 W	0.00	17 of 28 - Sale <sup>25</sup>
	1.000	1,491.66	07/13/20	1,555.50	63.84 W	0.00	18 of 28 - Sale <sup>25</sup>
	1.000	1,491.65	07/13/20	1,540.71	49.06 W	0.00	19 of 28 - Sale <sup>25</sup>
	1.000	1,491.46	07/13/20	1,540.72	49.26 W	0.00	20 of 28 - Sale <sup>25</sup>
	1.000	1,491.26	07/13/20	1,540.71	49.45 W	0.00	21 of 28 - Sale <sup>25</sup>
	4.000	5,963.95	07/13/20	6,173.45	209.50 W	0.00	22 of 28 - Sale <sup>25</sup>
	2.000	2,981.97	07/13/20	3,081.43	99.46 W	0.00	23 of 28 - Sale <sup>25</sup>
	1.000	1,452.97	07/13/20	1,554.04	101.07 W	0.00	24 of 28 - Sale <sup>25</sup>
	1.000	1,452.97	07/13/20	1,539.26	86.29 W	0.00	25 of 28 - Sale <sup>25</sup>
	1.000	1,452.96	07/13/20	1,539.46	86.50 W	0.00	26 of 28 - Sale <sup>25</sup>
	1.000	1,452.96	07/13/20	1,539.65	86.69 W	0.00	27 of 28 - Sale <sup>25</sup>
	1.000	1,452.97	07/13/20	1,539.93	86.96 W	0.00	28 of 28 - Sale <sup>25</sup>
07/13/20	46.000	71,149.33	Various	72,786.87	1,637.54 W	0.00	Total of 28 transactions
	42 transactions for 07/14/20. Total proceeds and cost reported to the IRS.						
	1.000	1,522.07	07/14/20	1,607.25	85.18 W	0.00	1 of 42 - Sale <sup>25</sup>
	1.000	1,498.19	07/14/20	1,609.12	110.93 W	0.00	2 of 42 - Sale <sup>25</sup>
	0.529	791.96	07/14/20	827.69	35.73 W	0.00	3 of 42 - Sale <sup>25</sup>
	1.471	2,204.41	07/14/20	2,288.69	84.28 W	0.00	4 of 42 - Sale <sup>25</sup>
	0.529	792.03	07/14/20	822.23	30.20 W	0.00	5 of 42 - Sale <sup>25</sup>
	0.471	709.42	07/14/20	732.24	22.82 W	0.00	6 of 42 - Sale <sup>25</sup>
	0.529	795.54	07/14/20	839.42	43.88 W	0.00	7 of 42 - Sale <sup>25</sup>
	1.000	1,504.97	07/14/20	1,602.07	97.10 W	0.00	8 of 42 - Sale <sup>25</sup>
	1.000	1,504.97	07/14/20	1,587.29	82.32 W	0.00	9 of 42 - Sale <sup>25</sup>
	1.000	1,504.97	07/14/20	1,587.50	82.53 W	0.00	10 of 42 - Sale <sup>25</sup>
	1.000	1,504.97	07/14/20	1,587.69	82.72 W	0.00	11 of 42 - Sale <sup>25</sup>
	0.471	709.42	07/14/20	761.27	51.85 W	0.00	12 of 42 - Sale <sup>25</sup>
	0.529	795.54	07/14/20	852.74	57.20 W	0.00	13 of 42 - Sale <sup>25</sup>
	0.471	709.42	07/14/20	759.87	50.45 W	0.00	14 of 42 - Sale <sup>25</sup>
	0.529	795.54	07/14/20	865.74	70.20 W	0.00	15 of 42 - Sale <sup>25</sup>
	0.471	709.42	07/14/20	774.27	64.85 W	0.00	16 of 42 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.529	795.54	07/14/20	845.35	49.81 W	0.00	17 of 42 - Sale <sup>25</sup>
	1.000	1,504.97	07/14/20	1,588.88	83.91 W	0.00	18 of 42 - Sale <sup>25</sup>
	0.471	709.42	07/14/20	747.74	38.32 W	0.00	19 of 42 - Sale <sup>25</sup>
	0.529	795.55	07/14/20	838.44	42.89 W	0.00	20 of 42 - Sale <sup>25</sup>
	1.000	1,504.97	07/14/20	1,520.98	16.01 W	0.00	21 of 42 - Sale <sup>25</sup>
	0.471	714.61	07/14/20	739.79	25.18 W	0.00	22 of 42 - Sale <sup>25</sup>
	0.529	801.36	07/14/20	855.33	53.97 W	0.00	23 of 42 - Sale <sup>25</sup>
	0.471	714.61	07/14/20	759.63	45.02 W	0.00	24 of 42 - Sale <sup>25</sup>
	0.529	801.36	07/14/20	844.40	43.04 W	0.00	25 of 42 - Sale <sup>25</sup>
	1.000	1,515.98	07/14/20	1,596.71	80.73 W	0.00	26 of 42 - Sale <sup>25</sup>
	1.000	1,515.98	07/14/20	1,596.92	80.94 W	0.00	27 of 42 - Sale <sup>25</sup>
	1.000	1,515.98	07/14/20	1,597.10	81.12 W	0.00	28 of 42 - Sale <sup>25</sup>
	0.471	707.63	07/14/20	765.71	58.08 W	0.00	29 of 42 - Sale <sup>25</sup>
	0.471	707.63	07/14/20	764.31	56.68 W	0.00	30 of 42 - Sale <sup>25</sup>
	0.471	707.63	07/14/20	778.72	71.09 W	0.00	31 of 42 - Sale <sup>25</sup>
	0.529	793.53	07/14/20	857.72	64.19 W	0.00	32 of 42 - Sale <sup>25</sup>
	0.529	793.54	07/14/20	870.72	77.18 W	0.00	33 of 42 - Sale <sup>25</sup>
	0.529	793.53	07/14/20	850.33	56.80 W	0.00	34 of 42 - Sale <sup>25</sup>
	1.000	1,501.17	07/14/20	1,598.30	97.13 W	0.00	35 of 42 - Sale <sup>25</sup>
	0.471	704.71	07/14/20	752.19	47.48 W	0.00	36 of 42 - Sale <sup>25</sup>
	0.529	790.25	07/14/20	843.41	53.16 W	0.00	37 of 42 - Sale <sup>25</sup>
	0.471	714.61	07/14/20	740.50	25.89 W	0.00	38 of 42 - Sale <sup>25</sup>
	0.529	801.36	07/14/20	856.13	54.77 W	0.00	39 of 42 - Sale <sup>25</sup>
	1.000	1,515.96	07/14/20	1,533.49	17.53 W	0.00	40 of 42 - Sale <sup>25</sup>
	0.471	714.61	07/14/20	760.12	45.51 W	0.00	41 of 42 - Sale <sup>25</sup>
	0.529	801.35	07/14/20	844.57	43.22 W	0.00	42 of 42 - Sale <sup>25</sup>
07/14/20	28.529	42,990.68	Various	45,452.57	2,461.89 W	0.00	Total of 42 transactions
07/15/20	0.000	0.02	07/14/20	0.02	...	0.00	Sale <sup>25</sup>
20 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	0.302	455.70	07/14/20	494.01	38.31 W	0.00	1 of 20 - Sale <sup>25</sup>
	0.471	712.07	07/14/20	751.92	39.85 W	0.00	2 of 20 - Sale <sup>25</sup>
	0.529	798.51	07/14/20	843.56	45.05 W	0.00	3 of 20 - Sale <sup>25</sup>
	1.000	1,510.58	07/14/20	1,595.32	84.74 W	0.00	4 of 20 - Sale <sup>25</sup>
	1.000	1,510.58	07/14/20	1,595.50	84.92 W	0.00	5 of 20 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.013	19.63	07/14/20	21.56	1.93 W	0.00	6 of 20 - Sale <sup>25</sup>
	0.170	256.33	07/14/20	281.80	25.47 W	0.00	7 of 20 - Sale <sup>25</sup>
	0.069	102.76	07/14/20	115.28	12.52 W	0.00	8 of 20 - Sale <sup>25</sup>
	0.001	0.95	07/14/20	1.07	0.12 W	0.00	9 of 20 - Sale <sup>25</sup>
	0.445	658.44	07/14/20	738.82	80.38 W	0.00	10 of 20 - Sale <sup>25</sup>
	0.471	696.76	07/14/20	781.25	84.49 W	0.00	11 of 20 - Sale <sup>25</sup>
	0.471	696.76	07/14/20	795.66	98.90 W	0.00	12 of 20 - Sale <sup>25</sup>
	0.529	781.34	07/14/20	889.71	108.37 W	0.00	13 of 20 - Sale <sup>25</sup>
	0.529	781.34	07/14/20	869.33	87.99 W	0.00	14 of 20 - Sale <sup>25</sup>
	0.554	818.70	07/14/20	905.18	86.48 W	0.00	15 of 20 - Sale <sup>25</sup>
	0.001	0.95	07/14/20	1.05	0.10 W	0.00	16 of 20 - Sale <sup>25</sup>
	0.402	603.36	07/15/20	633.46	30.10 W	0.00	17 of 20 - Sale <sup>25</sup>
	0.044	65.81	07/15/20	69.10	3.29 W	0.00	18 of 20 - Sale <sup>25</sup>
	0.471	708.01	07/15/20	745.15	37.14 W	0.00	19 of 20 - Sale <sup>25</sup>
	0.485	728.14	07/15/20	766.28	38.14 W	0.00	20 of 20 - Sale <sup>25</sup>
07/15/20	7.956	11,906.72	Various	12,895.01	988.29 W	0.00	Total of 20 transactions
	53 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	0.461	681.38	07/15/20	730.77	49.39 W	0.00	1 of 53 - Sale <sup>25</sup>
	0.471	696.06	07/15/20	723.56	27.50 W	0.00	2 of 53 - Sale <sup>25</sup>
	0.044	64.70	07/15/20	69.25	4.55 W	0.00	3 of 53 - Sale <sup>25</sup>
	1.000	1,476.62	07/15/20	1,497.57	20.95 W	0.00	4 of 53 - Sale <sup>25</sup>
	0.257	379.88	07/15/20	410.25	30.37 W	0.00	5 of 53 - Sale <sup>25</sup>
	0.214	315.85	07/15/20	343.67	27.82 W	0.00	6 of 53 - Sale <sup>25</sup>
	0.529	780.56	07/15/20	841.50	60.94 W	0.00	7 of 53 - Sale <sup>25</sup>
	0.044	64.86	07/15/20	70.56	5.70 W	0.00	8 of 53 - Sale <sup>25</sup>
	0.067	99.18	07/15/20	108.37	9.19 W	0.00	9 of 53 - Sale <sup>25</sup>
	0.214	315.35	07/15/20	343.09	27.74 W	0.00	10 of 53 - Sale <sup>25</sup>
	0.214	316.19	07/15/20	346.76	30.57 W	0.00	11 of 53 - Sale <sup>25</sup>
	0.485	715.87	07/15/20	785.18	69.31 W	0.00	12 of 53 - Sale <sup>25</sup>
	0.009	13.35	07/15/20	14.33	0.98 W	0.00	13 of 53 - Sale <sup>25</sup>
	0.324	488.27	07/15/20	527.43	39.16 W	0.00	14 of 53 - Sale <sup>25</sup>
	0.183	275.49	07/15/20	298.91	23.42 W	0.00	15 of 53 - Sale <sup>25</sup>
	0.462	696.51	07/15/20	755.78	59.27 W	0.00	16 of 53 - Sale <sup>25</sup>
	0.319	468.42	07/16/20	507.44	39.02 W	0.00	17 of 53 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.002	2.37	07/16/20	2.57	0.20 W	0.00	18 of 53 - Sale <sup>25</sup>
	0.001	0.94	07/16/20	1.09	0.15 W	0.00	19 of 53 - Sale <sup>25</sup>
	0.013	19.06	07/16/20	21.51	2.45 W	0.00	20 of 53 - Sale <sup>25</sup>
	0.069	101.95	07/16/20	117.22	15.27 W	0.00	21 of 53 - Sale <sup>25</sup>
	0.170	248.93	07/16/20	281.12	32.19 W	0.00	22 of 53 - Sale <sup>25</sup>
	0.218	319.22	07/16/20	346.32	27.10 W	0.00	23 of 53 - Sale <sup>25</sup>
	0.252	369.98	07/16/20	432.87	62.89 W	0.00	24 of 53 - Sale <sup>25</sup>
	0.302	442.54	07/16/20	492.79	50.25 W	0.00	25 of 53 - Sale <sup>25</sup>
	0.445	653.49	07/16/20	751.50	98.01 W	0.00	26 of 53 - Sale <sup>25</sup>
	0.471	691.51	07/16/20	794.66	103.15 W	0.00	27 of 53 - Sale <sup>25</sup>
	0.529	775.46	07/16/20	841.43	65.97 W	0.00	28 of 53 - Sale <sup>25</sup>
	0.529	775.45	07/16/20	904.74	129.29 W	0.00	29 of 53 - Sale <sup>25</sup>
	0.001	0.95	07/16/20	1.05	0.10 W	0.00	30 of 53 - Sale <sup>25</sup>
	0.044	64.85	07/16/20	69.30	4.45 W	0.00	31 of 53 - Sale <sup>25</sup>
	0.219	324.40	07/16/20	369.88	45.48 W	0.00	32 of 53 - Sale <sup>25</sup>
	0.529	782.37	07/16/20	869.13	86.76 W	0.00	33 of 53 - Sale <sup>25</sup>
	0.554	819.78	07/16/20	904.97	85.19 W	0.00	34 of 53 - Sale <sup>25</sup>
	0.636	941.01	07/16/20	952.86	11.85 W	0.00	35 of 53 - Sale <sup>25</sup>
	0.044	64.85	07/16/20	68.04	3.19 W	0.00	36 of 53 - Sale <sup>25</sup>
	0.402	594.44	07/16/20	623.61	29.17 W	0.00	37 of 53 - Sale <sup>25</sup>
	0.471	697.67	07/16/20	733.72	36.05 W	0.00	38 of 53 - Sale <sup>25</sup>
	0.485	717.52	07/16/20	754.53	37.01 W	0.00	39 of 53 - Sale <sup>25</sup>
	0.068	101.43	07/16/20	102.59	1.16 W	0.00	40 of 53 - Sale <sup>25</sup>
	0.018	26.18	07/16/20	26.92	0.74 W	0.00	41 of 53 - Sale <sup>25</sup>
	0.296	442.04	07/16/20	443.52	1.48 W	0.00	42 of 53 - Sale <sup>25</sup>
	0.083	124.23	07/16/20	134.75	10.52 W	0.00	43 of 53 - Sale <sup>25</sup>
	0.257	384.47	07/16/20	410.67	26.20 W	0.00	44 of 53 - Sale <sup>25</sup>
	0.009	13.06	07/16/20	14.06	1.00 W	0.00	45 of 53 - Sale <sup>25</sup>
	0.052	77.44	07/16/20	83.94	6.50 W	0.00	46 of 53 - Sale <sup>25</sup>
	0.131	193.29	07/16/20	212.36	19.07 W	0.00	47 of 53 - Sale <sup>25</sup>
	0.485	715.31	07/16/20	785.96	70.65 W	0.00	48 of 53 - Sale <sup>25</sup>
	0.044	64.81	07/16/20	70.64	5.83 W	0.00	49 of 53 - Sale <sup>25</sup>
	0.067	99.10	07/16/20	108.48	9.38 W	0.00	50 of 53 - Sale <sup>25</sup>
	0.080	118.47	07/16/20	129.11	10.64 W	0.00	51 of 53 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.454	669.67	07/16/20	697.42	27.75 W	0.00	52 of 53 - Sale <sup>25</sup>
	0.461	680.85	07/16/20	731.53	50.68 W	0.00	53 of 53 - Sale <sup>25</sup>
07/16/20	14.186	20,967.63	Various	22,661.28	1,693.65 W	0.00	Total of 53 transactions
	13 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	0.133	200.90	07/16/20	214.32	13.42 W	0.00	1 of 13 - Sale <sup>25</sup>
	0.214	322.44	07/16/20	344.02	21.58 W	0.00	2 of 13 - Sale <sup>25</sup>
	0.252	380.22	07/16/20	401.95	21.73 W	0.00	3 of 13 - Sale <sup>25</sup>
	0.276	416.49	07/16/20	440.42	23.93 W	0.00	4 of 13 - Sale <sup>25</sup>
	0.001	0.85	07/16/20	0.90	0.05 W	0.00	5 of 13 - Sale <sup>25</sup>
	0.183	275.24	07/16/20	294.18	18.94 W	0.00	6 of 13 - Sale <sup>25</sup>
	0.233	351.27	07/16/20	375.48	24.21 W	0.00	7 of 13 - Sale <sup>25</sup>
	0.271	407.88	07/16/20	433.98	26.10 W	0.00	8 of 13 - Sale <sup>25</sup>
	0.037	55.24	07/16/20	59.06	3.82 W	0.00	9 of 13 - Sale <sup>25</sup>
	0.001	1.05	07/16/20	1.12	0.07 W	0.00	10 of 13 - Sale <sup>25</sup>
	0.191	287.93	07/16/20	308.24	20.31 W	0.00	11 of 13 - Sale <sup>25</sup>
	0.158	238.36	07/16/20	254.21	15.85 W	0.00	12 of 13 - Sale <sup>25</sup>
	0.016	24.22	07/16/20	25.82	1.60 W	0.00	13 of 13 - Sale <sup>25</sup>
07/17/20	1.966	2,962.09	Various	3,153.70	191.61 W	0.00	Total of 13 transactions
	15 transactions for 07/20/20. Total proceeds and cost reported to the IRS.						
	0.000	0.01	07/20/20	0.01	...	0.00	1 of 15 - Sale <sup>25</sup>
	0.001	0.92	07/20/20	0.92	...	0.00	2 of 15 - Sale <sup>25</sup>
	0.001	1.16	07/20/20	1.16	...	0.00	3 of 15 - Sale <sup>25</sup>
	0.005	8.14	07/20/20	8.10	...	0.04	4 of 15 - Sale <sup>25</sup>
	0.001	2.37	07/20/20	2.29	...	0.08	5 of 15 - Sale <sup>25</sup>
	0.002	2.63	07/20/20	2.54	...	0.09	6 of 15 - Sale <sup>25</sup>
	0.037	61.15	07/20/20	60.89	...	0.26	7 of 15 - Sale <sup>25</sup>
	0.084	140.63	07/20/20	140.18	...	0.45	8 of 15 - Sale <sup>25</sup>
	0.016	26.33	07/20/20	25.38	...	0.95	9 of 15 - Sale <sup>25</sup>
	0.134	224.02	07/20/20	223.00	...	1.02	10 of 15 - Sale <sup>25</sup>
	0.044	70.87	07/20/20	68.99	...	1.88	11 of 15 - Sale <sup>25</sup>
	0.189	304.92	07/20/20	296.79	...	8.13	12 of 15 - Sale <sup>25</sup>
	0.471	762.44	07/20/20	743.93	...	18.51	13 of 15 - Sale <sup>25</sup>
	0.485	784.13	07/20/20	765.03	...	19.10	14 of 15 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.382	617.25	07/20/20	596.41	...	20.84	15 of 15 - Sale <sup>25</sup>
07/20/20	1.851	3,006.97	Various	2,935.62	...	71.35	Total of 15 transactions
	180 transactions for 07/20/20. Total proceeds and cost reported to the IRS.						
	0.002	2.41	07/16/20	2.59	0.18 W	0.00	1 of 180 - Sale <sup>25</sup>
	0.218	325.52	07/16/20	349.78	24.26 W	0.00	2 of 180 - Sale <sup>25</sup>
	0.233	348.30	07/16/20	374.31	26.01 W	0.00	3 of 180 - Sale <sup>25</sup>
	0.145	216.24	07/16/20	232.03	15.79 W	0.00	4 of 180 - Sale <sup>25</sup>
	0.163	243.24	07/16/20	266.60	23.36 W	0.00	5 of 180 - Sale <sup>25</sup>
	0.001	0.96	07/16/20	1.13	0.17 W	0.00	6 of 180 - Sale <sup>25</sup>
	0.013	19.43	07/16/20	22.13	2.70 W	0.00	7 of 180 - Sale <sup>25</sup>
	0.069	103.90	07/16/20	120.53	16.63 W	0.00	8 of 180 - Sale <sup>25</sup>
	0.170	253.72	07/16/20	289.22	35.50 W	0.00	9 of 180 - Sale <sup>25</sup>
	0.133	199.11	07/16/20	218.32	19.21 W	0.00	10 of 180 - Sale <sup>25</sup>
	0.302	451.05	07/16/20	507.19	56.14 W	0.00	11 of 180 - Sale <sup>25</sup>
	0.312	467.01	07/16/20	541.82	74.81 W	0.00	12 of 180 - Sale <sup>25</sup>
	0.133	200.14	07/16/20	230.94	30.80 W	0.00	13 of 180 - Sale <sup>25</sup>
	0.117	176.06	07/16/20	203.02	26.96 W	0.00	14 of 180 - Sale <sup>25</sup>
	0.233	350.00	07/16/20	409.69	59.69 W	0.00	15 of 180 - Sale <sup>25</sup>
	0.354	532.46	07/16/20	614.14	81.68 W	0.00	16 of 180 - Sale <sup>25</sup>
	0.035	52.17	07/16/20	61.19	9.02 W	0.00	17 of 180 - Sale <sup>25</sup>
	0.296	444.48	07/16/20	519.80	75.32 W	0.00	18 of 180 - Sale <sup>25</sup>
	0.173	259.89	07/17/20	297.67	37.78 W	0.00	19 of 180 - Sale <sup>25</sup>
	0.217	326.88	07/17/20	383.49	56.61 W	0.00	20 of 180 - Sale <sup>25</sup>
	0.046	69.53	07/20/20	79.30	9.77 W	0.00	21 of 180 - Sale <sup>25</sup>
	0.118	176.71	07/20/20	195.22	18.51 W	0.00	22 of 180 - Sale <sup>25</sup>
	0.529	794.49	07/20/20	883.22	88.73 W	0.00	23 of 180 - Sale <sup>25</sup>
	0.001	0.97	07/20/20	1.07	0.10 W	0.00	24 of 180 - Sale <sup>25</sup>
	0.044	65.85	07/20/20	70.50	4.65 W	0.00	25 of 180 - Sale <sup>25</sup>
	0.436	655.78	07/20/20	724.81	69.03 W	0.00	26 of 180 - Sale <sup>25</sup>
	0.519	779.69	07/20/20	791.65	11.96 W	0.00	27 of 180 - Sale <sup>25</sup>
	0.117	175.28	07/20/20	177.91	2.63 W	0.00	28 of 180 - Sale <sup>25</sup>
	0.213	319.18	07/20/20	335.46	16.28 W	0.00	29 of 180 - Sale <sup>25</sup>
	0.009	13.25	07/20/20	14.29	1.04 W	0.00	30 of 180 - Sale <sup>25</sup>
	0.052	78.60	07/20/20	85.32	6.72 W	0.00	31 of 180 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.083		124.49	07/20/20	135.35	10.86 W	0.00	32 of 180 - Sale <sup>25</sup>
0.131		196.20	07/20/20	215.80	19.60 W	0.00	33 of 180 - Sale <sup>25</sup>
0.257		385.28	07/20/20	412.53	27.25 W	0.00	34 of 180 - Sale <sup>25</sup>
0.485		726.05	07/20/20	798.67	72.62 W	0.00	35 of 180 - Sale <sup>25</sup>
0.018		26.24	07/20/20	27.05	0.81 W	0.00	36 of 180 - Sale <sup>25</sup>
0.068		102.47	07/20/20	103.90	1.43 W	0.00	37 of 180 - Sale <sup>25</sup>
0.296		442.98	07/20/20	445.65	2.67 W	0.00	38 of 180 - Sale <sup>25</sup>
0.011		16.10	07/20/20	16.80	0.70 W	0.00	39 of 180 - Sale <sup>25</sup>
0.001		0.91	07/20/20	0.93	0.02 W	0.00	40 of 180 - Sale <sup>25</sup>
0.076		122.98	07/20/20	126.80	3.82 W	0.00	41 of 180 - Sale <sup>25</sup>
0.271		437.78	07/20/20	449.44	11.66 W	0.00	42 of 180 - Sale <sup>25</sup>
0.133		215.56	07/20/20	221.87	6.31 W	0.00	43 of 180 - Sale <sup>25</sup>
0.214		345.98	07/20/20	356.14	10.16 W	0.00	44 of 180 - Sale <sup>25</sup>
0.252		407.98	07/20/20	416.26	8.28 W	0.00	45 of 180 - Sale <sup>25</sup>
0.276		447.02	07/20/20	456.21	9.19 W	0.00	46 of 180 - Sale <sup>25</sup>
0.042		68.20	07/20/20	68.53	0.33 W	0.00	47 of 180 - Sale <sup>25</sup>
0.044		71.05	07/20/20	74.54	3.49 W	0.00	48 of 180 - Sale <sup>25</sup>
0.067		108.64	07/20/20	114.44	5.80 W	0.00	49 of 180 - Sale <sup>25</sup>
0.080		129.86	07/20/20	136.21	6.35 W	0.00	50 of 180 - Sale <sup>25</sup>
0.461		746.36	07/20/20	772.43	26.07 W	0.00	51 of 180 - Sale <sup>25</sup>
0.001		1.12	07/20/20	1.16	0.04 W	0.00	52 of 180 - Sale <sup>25</sup>
0.037		59.29	07/20/20	61.17	1.88 W	0.00	53 of 180 - Sale <sup>25</sup>
0.107		172.44	07/20/20	177.86	5.42 W	0.00	54 of 180 - Sale <sup>25</sup>
0.191		309.44	07/20/20	319.62	10.18 W	0.00	55 of 180 - Sale <sup>25</sup>
0.233		377.02	07/20/20	388.91	11.89 W	0.00	56 of 180 - Sale <sup>25</sup>
0.071		114.49	07/20/20	117.83	3.34 W	0.00	57 of 180 - Sale <sup>25</sup>
0.002		2.61	07/20/20	2.79	0.18 W	0.00	58 of 180 - Sale <sup>25</sup>
0.060		96.35	07/20/20	102.90	6.55 W	0.00	59 of 180 - Sale <sup>25</sup>
0.145		233.81	07/20/20	249.39	15.58 W	0.00	60 of 180 - Sale <sup>25</sup>
0.016		26.01	07/20/20	27.59	1.58 W	0.00	61 of 180 - Sale <sup>25</sup>
0.088		141.67	07/20/20	150.31	8.64 W	0.00	62 of 180 - Sale <sup>25</sup>
0.044		71.65	07/20/20	77.20	5.55 W	0.00	63 of 180 - Sale <sup>25</sup>
0.158		255.62	07/20/20	275.37	19.75 W	0.00	64 of 180 - Sale <sup>25</sup>
0.162		261.78	07/20/20	286.08	24.30 W	0.00	65 of 180 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.189		304.95	07/20/20	327.22	22.27 W	0.00	66 of 180 - Sale <sup>25</sup>
0.001		1.23	07/20/20	1.35	0.12 W	0.00	67 of 180 - Sale <sup>25</sup>
0.010		15.78	07/20/20	17.29	1.51 W	0.00	68 of 180 - Sale <sup>25</sup>
0.001		1.03	07/20/20	1.22	0.19 W	0.00	69 of 180 - Sale <sup>25</sup>
0.013		20.72	07/20/20	23.85	3.13 W	0.00	70 of 180 - Sale <sup>25</sup>
0.035		55.37	07/20/20	65.52	10.15 W	0.00	71 of 180 - Sale <sup>25</sup>
0.069		110.84	07/20/20	129.73	18.89 W	0.00	72 of 180 - Sale <sup>25</sup>
0.117		186.79	07/20/20	217.56	30.77 W	0.00	73 of 180 - Sale <sup>25</sup>
0.133		212.33	07/20/20	247.46	35.13 W	0.00	74 of 180 - Sale <sup>25</sup>
0.123		196.83	07/20/20	218.65	21.82 W	0.00	75 of 180 - Sale <sup>25</sup>
0.170		270.65	07/20/20	311.67	41.02 W	0.00	76 of 180 - Sale <sup>25</sup>
0.233		371.43	07/20/20	438.69	67.26 W	0.00	77 of 180 - Sale <sup>25</sup>
0.296		471.69	07/20/20	556.63	84.94 W	0.00	78 of 180 - Sale <sup>25</sup>
0.302		481.15	07/20/20	547.10	65.95 W	0.00	79 of 180 - Sale <sup>25</sup>
0.312		498.17	07/20/20	583.14	84.97 W	0.00	80 of 180 - Sale <sup>25</sup>
0.354		565.06	07/20/20	658.26	93.20 W	0.00	81 of 180 - Sale <sup>25</sup>
0.173		275.80	07/20/20	319.21	43.41 W	0.00	82 of 180 - Sale <sup>25</sup>
0.217		346.89	07/20/20	410.57	63.68 W	0.00	83 of 180 - Sale <sup>25</sup>
0.078		125.14	07/20/20	139.43	14.29 W	0.00	84 of 180 - Sale <sup>25</sup>
0.083		132.58	07/20/20	146.15	13.57 W	0.00	85 of 180 - Sale <sup>25</sup>
0.257		410.32	07/20/20	445.93	35.61 W	0.00	86 of 180 - Sale <sup>25</sup>
0.001		1.03	07/20/20	1.15	0.12 W	0.00	87 of 180 - Sale <sup>25</sup>
0.044		69.88	07/20/20	75.96	6.08 W	0.00	88 of 180 - Sale <sup>25</sup>
0.046		73.79	07/20/20	85.06	11.27 W	0.00	89 of 180 - Sale <sup>25</sup>
0.117		186.68	07/20/20	193.11	6.43 W	0.00	90 of 180 - Sale <sup>25</sup>
0.118		187.52	07/20/20	209.86	22.34 W	0.00	91 of 180 - Sale <sup>25</sup>
0.436		695.91	07/20/20	779.13	83.22 W	0.00	92 of 180 - Sale <sup>25</sup>
0.519		827.41	07/20/20	856.24	28.83 W	0.00	93 of 180 - Sale <sup>25</sup>
0.529		843.12	07/20/20	949.04	105.92 W	0.00	94 of 180 - Sale <sup>25</sup>
0.213		339.91	07/20/20	363.13	23.22 W	0.00	95 of 180 - Sale <sup>25</sup>
0.009		13.75	07/20/20	15.29	1.54 W	0.00	96 of 180 - Sale <sup>25</sup>
0.001		0.98	07/20/20	1.09	0.11 W	0.00	97 of 180 - Sale <sup>25</sup>
0.001		1.97	07/20/20	2.18	0.21 W	0.00	98 of 180 - Sale <sup>25</sup>
0.000		0.08	07/20/20	0.09	0.01 W	0.00	99 of 180 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.001	0.91	07/20/20	1.00	0.09 W	0.00	100 of 180 - Sale <sup>25</sup>
	0.002	2.58	07/20/20	2.75	0.17 W	0.00	101 of 180 - Sale <sup>25</sup>
	0.060	95.22	07/20/20	101.63	6.41 W	0.00	102 of 180 - Sale <sup>25</sup>
	0.145	231.06	07/20/20	246.32	15.26 W	0.00	103 of 180 - Sale <sup>25</sup>
	0.153	245.10	07/20/20	263.92	18.82 W	0.00	104 of 180 - Sale <sup>25</sup>
	0.001	0.90	07/20/20	0.92	0.02 W	0.00	105 of 180 - Sale <sup>25</sup>
	0.001	1.11	07/20/20	1.15	0.04 W	0.00	106 of 180 - Sale <sup>25</sup>
	0.037	58.59	07/20/20	60.39	1.80 W	0.00	107 of 180 - Sale <sup>25</sup>
	0.076	121.53	07/20/20	125.19	3.66 W	0.00	108 of 180 - Sale <sup>25</sup>
	0.107	170.41	07/20/20	175.59	5.18 W	0.00	109 of 180 - Sale <sup>25</sup>
	0.191	305.80	07/20/20	315.55	9.75 W	0.00	110 of 180 - Sale <sup>25</sup>
	0.233	372.59	07/20/20	383.96	11.37 W	0.00	111 of 180 - Sale <sup>25</sup>
	0.271	432.63	07/20/20	443.69	11.06 W	0.00	112 of 180 - Sale <sup>25</sup>
	0.009	14.14	07/20/20	15.16	1.02 W	0.00	113 of 180 - Sale <sup>25</sup>
	0.016	25.71	07/20/20	27.25	1.54 W	0.00	114 of 180 - Sale <sup>25</sup>
	0.041	66.27	07/20/20	72.39	6.12 W	0.00	115 of 180 - Sale <sup>25</sup>
	0.052	83.89	07/20/20	90.50	6.61 W	0.00	116 of 180 - Sale <sup>25</sup>
	0.071	113.14	07/20/20	116.32	3.18 W	0.00	117 of 180 - Sale <sup>25</sup>
	0.088	140.00	07/20/20	148.45	8.45 W	0.00	118 of 180 - Sale <sup>25</sup>
	0.133	213.03	07/20/20	219.04	6.01 W	0.00	119 of 180 - Sale <sup>25</sup>
	0.214	341.90	07/20/20	351.59	9.69 W	0.00	120 of 180 - Sale <sup>25</sup>
	0.252	403.18	07/20/20	410.90	7.72 W	0.00	121 of 180 - Sale <sup>25</sup>
	0.276	441.76	07/20/20	450.33	8.57 W	0.00	122 of 180 - Sale <sup>25</sup>
	0.485	774.90	07/20/20	846.44	71.54 W	0.00	123 of 180 - Sale <sup>25</sup>
	0.011	17.19	07/20/20	17.86	0.67 W	0.00	124 of 180 - Sale <sup>25</sup>
	0.018	28.00	07/20/20	28.77	0.77 W	0.00	125 of 180 - Sale <sup>25</sup>
	0.042	67.39	07/20/20	67.63	0.24 W	0.00	126 of 180 - Sale <sup>25</sup>
	0.044	70.21	07/20/20	73.61	3.40 W	0.00	127 of 180 - Sale <sup>25</sup>
	0.067	107.36	07/20/20	113.01	5.65 W	0.00	128 of 180 - Sale <sup>25</sup>
	0.068	109.36	07/20/20	110.64	1.28 W	0.00	129 of 180 - Sale <sup>25</sup>
	0.080	128.34	07/20/20	134.50	6.16 W	0.00	130 of 180 - Sale <sup>25</sup>
	0.296	472.77	07/20/20	474.78	2.01 W	0.00	131 of 180 - Sale <sup>25</sup>
	0.461	737.59	07/20/20	762.62	25.03 W	0.00	132 of 180 - Sale <sup>25</sup>
	0.001	1.22	07/20/20	1.33	0.11 W	0.00	133 of 180 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.005	7.54	07/20/20	8.11	0.57 W	0.00	134 of 180 - Sale <sup>25</sup>
	0.010	15.65	07/20/20	17.12	1.47 W	0.00	135 of 180 - Sale <sup>25</sup>
	0.044	71.03	07/20/20	76.42	5.39 W	0.00	136 of 180 - Sale <sup>25</sup>
	0.123	197.87	07/20/20	219.25	21.38 W	0.00	137 of 180 - Sale <sup>25</sup>
	0.162	259.52	07/20/20	283.23	23.71 W	0.00	138 of 180 - Sale <sup>25</sup>
	0.165	264.59	07/20/20	303.89	39.30 W	0.00	139 of 180 - Sale <sup>25</sup>
	0.189	302.30	07/20/20	323.90	21.60 W	0.00	140 of 180 - Sale <sup>25</sup>
	0.302	483.70	07/20/20	548.57	64.87 W	0.00	141 of 180 - Sale <sup>25</sup>
	0.001	1.03	07/20/20	1.23	0.20 W	0.00	142 of 180 - Sale <sup>25</sup>
	0.002	3.15	07/20/20	3.69	0.54 W	0.00	143 of 180 - Sale <sup>25</sup>
	0.005	7.50	07/20/20	8.69	1.19 W	0.00	144 of 180 - Sale <sup>25</sup>
	0.013	20.83	07/20/20	24.13	3.30 W	0.00	145 of 180 - Sale <sup>25</sup>
	0.069	111.43	07/20/20	131.23	19.80 W	0.00	146 of 180 - Sale <sup>25</sup>
	0.312	500.81	07/20/20	589.86	89.05 W	0.00	147 of 180 - Sale <sup>25</sup>
	0.021	33.85	07/20/20	39.79	5.94 W	0.00	148 of 180 - Sale <sup>25</sup>
	0.117	188.52	07/20/20	218.58	30.06 W	0.00	149 of 180 - Sale <sup>25</sup>
	0.131	211.15	07/20/20	244.95	33.80 W	0.00	150 of 180 - Sale <sup>25</sup>
	0.354	570.29	07/20/20	661.33	91.04 W	0.00	151 of 180 - Sale <sup>25</sup>
	0.032	50.98	07/20/20	60.12	9.14 W	0.00	152 of 180 - Sale <sup>25</sup>
	0.212	341.70	07/20/20	402.16	60.46 W	0.00	153 of 180 - Sale <sup>25</sup>
	0.296	477.02	07/20/20	560.93	83.91 W	0.00	154 of 180 - Sale <sup>25</sup>
	0.003	5.07	07/20/20	5.91	0.84 W	0.00	155 of 180 - Sale <sup>25</sup>
	0.011	17.89	07/20/20	20.88	2.99 W	0.00	156 of 180 - Sale <sup>25</sup>
	0.173	282.11	07/20/20	321.94	39.83 W	0.00	157 of 180 - Sale <sup>25</sup>
	0.207	336.93	07/20/20	393.13	56.20 W	0.00	158 of 180 - Sale <sup>25</sup>
	0.078	128.00	07/20/20	140.67	12.67 W	0.00	159 of 180 - Sale <sup>25</sup>
	0.083	135.61	07/20/20	147.46	11.85 W	0.00	160 of 180 - Sale <sup>25</sup>
	0.257	419.70	07/20/20	449.99	30.29 W	0.00	161 of 180 - Sale <sup>25</sup>
	0.046	75.47	07/20/20	85.79	10.32 W	0.00	162 of 180 - Sale <sup>25</sup>
	0.155	252.33	07/20/20	280.12	27.79 W	0.00	163 of 180 - Sale <sup>25</sup>
	0.002	2.63	07/20/20	2.77	0.14 W	0.00	164 of 180 - Sale <sup>25</sup>
	0.060	97.18	07/20/20	102.43	5.25 W	0.00	165 of 180 - Sale <sup>25</sup>
	0.145	235.83	07/20/20	248.26	12.43 W	0.00	166 of 180 - Sale <sup>25</sup>
	0.153	250.16	07/20/20	265.98	15.82 W	0.00	167 of 180 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.076	124.05	07/20/20	126.22	2.17 W	0.00	168 of 180 - Sale <sup>25</sup>
	0.107	173.93	07/20/20	177.02	3.09 W	0.00	169 of 180 - Sale <sup>25</sup>
	0.094	153.25	07/20/20	155.99	2.74 W	0.00	170 of 180 - Sale <sup>25</sup>
	0.271	441.57	07/20/20	447.33	5.76 W	0.00	171 of 180 - Sale <sup>25</sup>
	0.040	66.80	07/20/20	72.14	5.34 W	0.00	172 of 180 - Sale <sup>25</sup>
	0.000	0.08	07/20/20	0.09	0.01 W	0.00	173 of 180 - Sale <sup>25</sup>
	0.001	0.95	07/20/20	1.01	0.06 W	0.00	174 of 180 - Sale <sup>25</sup>
	0.001	1.03	07/20/20	1.10	0.07 W	0.00	175 of 180 - Sale <sup>25</sup>
	0.001	2.06	07/20/20	2.20	0.14 W	0.00	176 of 180 - Sale <sup>25</sup>
	0.009	14.38	07/20/20	15.43	1.05 W	0.00	177 of 180 - Sale <sup>25</sup>
	0.118	196.14	07/20/20	211.85	15.71 W	0.00	178 of 180 - Sale <sup>25</sup>
	0.374	623.84	07/20/20	677.67	53.83 W	0.00	179 of 180 - Sale <sup>25</sup>
	0.241	402.82	07/20/20	435.26	32.44 W	0.00	180 of 180 - Sale <sup>25</sup>
07/20/20	24.449	38,600.56	Various	42,101.03	3,500.47 W	0.00	Total of 180 transactions
	53 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	0.072	113.79	07/20/20	130.56	16.77 W	0.00	1 of 53 - Sale <sup>25</sup>
	0.001	1.01	07/20/20	1.16	0.15 W	0.00	2 of 53 - Sale <sup>25</sup>
	0.044	68.79	07/20/20	76.70	7.91 W	0.00	3 of 53 - Sale <sup>25</sup>
	0.117	183.75	07/20/20	195.08	11.33 W	0.00	4 of 53 - Sale <sup>25</sup>
	0.082	129.37	07/20/20	148.54	19.17 W	0.00	5 of 53 - Sale <sup>25</sup>
	0.519	814.45	07/20/20	865.01	50.56 W	0.00	6 of 53 - Sale <sup>25</sup>
	0.213	334.59	07/20/20	366.74	32.15 W	0.00	7 of 53 - Sale <sup>25</sup>
	0.107	168.01	07/20/20	179.66	11.65 W	0.00	8 of 53 - Sale <sup>25</sup>
	0.009	13.89	07/20/20	15.43	1.54 W	0.00	9 of 53 - Sale <sup>25</sup>
	0.041	65.09	07/20/20	73.61	8.52 W	0.00	10 of 53 - Sale <sup>25</sup>
	0.047	74.51	07/20/20	79.41	4.90 W	0.00	11 of 53 - Sale <sup>25</sup>
	0.052	82.40	07/20/20	92.05	9.65 W	0.00	12 of 53 - Sale <sup>25</sup>
	0.133	209.24	07/20/20	222.97	13.73 W	0.00	13 of 53 - Sale <sup>25</sup>
	0.485	761.12	07/20/20	860.77	99.65 W	0.00	14 of 53 - Sale <sup>25</sup>
	0.163	255.23	07/20/20	270.74	15.51 W	0.00	15 of 53 - Sale <sup>25</sup>
	0.166	261.32	07/20/20	279.58	18.26 W	0.00	16 of 53 - Sale <sup>25</sup>
	0.252	396.01	07/20/20	419.98	23.97 W	0.00	17 of 53 - Sale <sup>25</sup>
	0.016	25.25	07/20/20	27.85	2.60 W	0.00	18 of 53 - Sale <sup>25</sup>
	0.071	111.13	07/20/20	118.98	7.85 W	0.00	19 of 53 - Sale <sup>25</sup>

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(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.088	137.51	07/20/20	151.74	14.23 W	0.00	20 of 53 - Sale <sup>25</sup>
	0.114	178.67	07/20/20	189.71	11.04 W	0.00	21 of 53 - Sale <sup>25</sup>
	0.068	107.42	07/20/20	113.22	5.80 W	0.00	22 of 53 - Sale <sup>25</sup>
	0.132	207.75	07/20/20	217.38	9.63 W	0.00	23 of 53 - Sale <sup>25</sup>
	0.090	141.02	07/20/20	150.61	9.59 W	0.00	24 of 53 - Sale <sup>25</sup>
	0.001	1.88	07/20/20	2.01	0.13 W	0.00	25 of 53 - Sale <sup>25</sup>
	0.001	1.01	07/21/20	1.28	0.27 W	0.00	26 of 53 - Sale <sup>25</sup>
	0.001	1.19	07/21/20	1.38	0.19 W	0.00	27 of 53 - Sale <sup>25</sup>
	0.002	3.08	07/21/20	3.82	0.74 W	0.00	28 of 53 - Sale <sup>25</sup>
	0.005	7.34	07/21/20	9.01	1.67 W	0.00	29 of 53 - Sale <sup>25</sup>
	0.005	7.38	07/21/20	8.44	1.06 W	0.00	30 of 53 - Sale <sup>25</sup>
	0.010	15.32	07/21/20	17.80	2.48 W	0.00	31 of 53 - Sale <sup>25</sup>
	0.013	20.40	07/21/20	25.05	4.65 W	0.00	32 of 53 - Sale <sup>25</sup>
	0.021	33.01	07/21/20	41.13	8.12 W	0.00	33 of 53 - Sale <sup>25</sup>
	0.044	69.55	07/21/20	79.53	9.98 W	0.00	34 of 53 - Sale <sup>25</sup>
	0.069	109.10	07/21/20	136.10	27.00 W	0.00	35 of 53 - Sale <sup>25</sup>
	0.117	183.86	07/21/20	226.06	42.20 W	0.00	36 of 53 - Sale <sup>25</sup>
	0.123	193.75	07/21/20	227.91	34.16 W	0.00	37 of 53 - Sale <sup>25</sup>
	0.131	205.93	07/21/20	253.32	47.39 W	0.00	38 of 53 - Sale <sup>25</sup>
	0.162	254.10	07/21/20	294.58	40.48 W	0.00	39 of 53 - Sale <sup>25</sup>
	0.165	259.07	07/21/20	315.47	56.40 W	0.00	40 of 53 - Sale <sup>25</sup>
	0.166	260.97	07/21/20	325.63	64.66 W	0.00	41 of 53 - Sale <sup>25</sup>
	0.189	296.00	07/21/20	337.13	41.13 W	0.00	42 of 53 - Sale <sup>25</sup>
	0.302	473.62	07/21/20	569.74	96.12 W	0.00	43 of 53 - Sale <sup>25</sup>
	0.312	490.37	07/21/20	611.78	121.41 W	0.00	44 of 53 - Sale <sup>25</sup>
	0.354	556.20	07/21/20	683.94	127.74 W	0.00	45 of 53 - Sale <sup>25</sup>
	0.011	16.88	07/21/20	18.67	1.79 W	0.00	46 of 53 - Sale <sup>25</sup>
	0.018	27.50	07/21/20	30.09	2.59 W	0.00	47 of 53 - Sale <sup>25</sup>
	0.042	66.20	07/21/20	70.80	4.60 W	0.00	48 of 53 - Sale <sup>25</sup>
	0.044	68.97	07/21/20	76.92	7.95 W	0.00	49 of 53 - Sale <sup>25</sup>
	0.067	105.45	07/21/20	118.06	12.61 W	0.00	50 of 53 - Sale <sup>25</sup>
	0.072	113.72	07/21/20	121.71	7.99 W	0.00	51 of 53 - Sale <sup>25</sup>
	0.080	126.05	07/21/20	140.52	14.47 W	0.00	52 of 53 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.461	724.46	07/21/20	797.30	72.84 W	0.00	53 of 53 - Sale <sup>25</sup>
07/21/20	6.072	9,533.68	Various	10,792.66	1,258.98 W	0.00	Total of 53 transactions
	335 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	0.046	72.40	07/21/20	85.03	12.63 W	0.00	1 of 335 - Sale <sup>25</sup>
	0.286	453.90	07/21/20	532.64	78.74 W	0.00	2 of 335 - Sale <sup>25</sup>
	0.004	5.72	07/21/20	6.67	0.95 W	0.00	3 of 335 - Sale <sup>25</sup>
	0.002	2.57	07/21/20	2.69	0.12 W	0.00	4 of 335 - Sale <sup>25</sup>
	0.003	4.95	07/21/20	5.74	0.79 W	0.00	5 of 335 - Sale <sup>25</sup>
	0.005	7.50	07/21/20	8.48	0.98 W	0.00	6 of 335 - Sale <sup>25</sup>
	0.006	9.78	07/21/20	11.42	1.64 W	0.00	7 of 335 - Sale <sup>25</sup>
	0.032	50.42	07/21/20	59.05	8.63 W	0.00	8 of 335 - Sale <sup>25</sup>
	0.044	70.66	07/21/20	79.93	9.27 W	0.00	9 of 335 - Sale <sup>25</sup>
	0.060	95.01	07/21/20	99.31	4.30 W	0.00	10 of 335 - Sale <sup>25</sup>
	0.123	196.07	07/21/20	220.93	24.86 W	0.00	11 of 335 - Sale <sup>25</sup>
	0.145	230.56	07/21/20	240.68	10.12 W	0.00	12 of 335 - Sale <sup>25</sup>
	0.153	244.57	07/21/20	257.93	13.36 W	0.00	13 of 335 - Sale <sup>25</sup>
	0.011	17.49	07/21/20	20.31	2.82 W	0.00	14 of 335 - Sale <sup>25</sup>
	0.107	170.68	07/21/20	180.62	9.94 W	0.00	15 of 335 - Sale <sup>25</sup>
	0.173	275.80	07/21/20	312.86	37.06 W	0.00	16 of 335 - Sale <sup>25</sup>
	0.207	329.40	07/21/20	382.29	52.89 W	0.00	17 of 335 - Sale <sup>25</sup>
	0.009	14.11	07/21/20	15.51	1.40 W	0.00	18 of 335 - Sale <sup>25</sup>
	0.016	25.65	07/21/20	28.00	2.35 W	0.00	19 of 335 - Sale <sup>25</sup>
	0.041	66.13	07/21/20	73.98	7.85 W	0.00	20 of 335 - Sale <sup>25</sup>
	0.047	75.69	07/21/20	79.83	4.14 W	0.00	21 of 335 - Sale <sup>25</sup>
	0.052	83.71	07/21/20	92.52	8.81 W	0.00	22 of 335 - Sale <sup>25</sup>
	0.071	112.90	07/21/20	119.62	6.72 W	0.00	23 of 335 - Sale <sup>25</sup>
	0.076	121.27	07/21/20	122.23	0.96 W	0.00	24 of 335 - Sale <sup>25</sup>
	0.088	139.70	07/21/20	152.53	12.83 W	0.00	25 of 335 - Sale <sup>25</sup>
	0.094	149.83	07/21/20	151.06	1.23 W	0.00	26 of 335 - Sale <sup>25</sup>
	0.107	170.04	07/21/20	171.42	1.38 W	0.00	27 of 335 - Sale <sup>25</sup>
	0.114	181.51	07/21/20	190.73	9.22 W	0.00	28 of 335 - Sale <sup>25</sup>
	0.133	212.57	07/21/20	224.16	11.59 W	0.00	29 of 335 - Sale <sup>25</sup>
	0.163	259.30	07/21/20	272.20	12.90 W	0.00	30 of 335 - Sale <sup>25</sup>
	0.166	265.48	07/21/20	281.07	15.59 W	0.00	31 of 335 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.252	402.31	07/21/20	422.24	19.93 W	0.00	32 of 335 - Sale <sup>25</sup>
	0.271	431.70	07/21/20	433.12	1.42 W	0.00	33 of 335 - Sale <sup>25</sup>
	0.485	773.24	07/21/20	865.12	91.88 W	0.00	34 of 335 - Sale <sup>25</sup>
	0.000	0.08	07/21/20	0.09	0.01 W	0.00	35 of 335 - Sale <sup>25</sup>
	0.001	0.91	07/21/20	0.96	0.05 W	0.00	36 of 335 - Sale <sup>25</sup>
	0.001	0.98	07/21/20	1.04	0.06 W	0.00	37 of 335 - Sale <sup>25</sup>
	0.001	1.03	07/21/20	1.17	0.14 W	0.00	38 of 335 - Sale <sup>25</sup>
	0.001	1.91	07/21/20	2.02	0.11 W	0.00	39 of 335 - Sale <sup>25</sup>
	0.001	1.97	07/21/20	2.09	0.12 W	0.00	40 of 335 - Sale <sup>25</sup>
	0.009	13.75	07/21/20	14.66	0.91 W	0.00	41 of 335 - Sale <sup>25</sup>
	0.044	69.88	07/21/20	77.09	7.21 W	0.00	42 of 335 - Sale <sup>25</sup>
	0.068	109.13	07/21/20	113.83	4.70 W	0.00	43 of 335 - Sale <sup>25</sup>
	0.072	115.53	07/21/20	131.13	15.60 W	0.00	44 of 335 - Sale <sup>25</sup>
	0.078	125.14	07/21/20	136.55	11.41 W	0.00	45 of 335 - Sale <sup>25</sup>
	0.082	131.43	07/21/20	149.28	17.85 W	0.00	46 of 335 - Sale <sup>25</sup>
	0.083	132.58	07/21/20	143.10	10.52 W	0.00	47 of 335 - Sale <sup>25</sup>
	0.090	143.26	07/21/20	151.41	8.15 W	0.00	48 of 335 - Sale <sup>25</sup>
	0.117	186.68	07/21/20	196.13	9.45 W	0.00	49 of 335 - Sale <sup>25</sup>
	0.132	211.05	07/21/20	218.56	7.51 W	0.00	50 of 335 - Sale <sup>25</sup>
	0.257	410.32	07/21/20	436.49	26.17 W	0.00	51 of 335 - Sale <sup>25</sup>
	0.519	827.42	07/21/20	869.66	42.24 W	0.00	52 of 335 - Sale <sup>25</sup>
	0.040	63.83	07/21/20	68.53	4.70 W	0.00	53 of 335 - Sale <sup>25</sup>
	0.046	73.79	07/21/20	83.37	9.58 W	0.00	54 of 335 - Sale <sup>25</sup>
	0.118	187.52	07/21/20	201.35	13.83 W	0.00	55 of 335 - Sale <sup>25</sup>
	0.155	246.69	07/21/20	272.00	25.31 W	0.00	56 of 335 - Sale <sup>25</sup>
	0.211	337.08	07/21/20	365.57	28.49 W	0.00	57 of 335 - Sale <sup>25</sup>
	0.241	385.13	07/21/20	413.70	28.57 W	0.00	58 of 335 - Sale <sup>25</sup>
	0.374	596.43	07/21/20	644.27	47.84 W	0.00	59 of 335 - Sale <sup>25</sup>
	0.002	2.84	07/21/20	3.08	0.24 W	0.00	60 of 335 - Sale <sup>25</sup>
	0.001	1.21	07/21/20	1.40	0.19 W	0.00	61 of 335 - Sale <sup>25</sup>
	0.010	15.57	07/21/20	17.98	2.41 W	0.00	62 of 335 - Sale <sup>25</sup>
	0.066	104.64	07/21/20	118.53	13.89 W	0.00	63 of 335 - Sale <sup>25</sup>
	0.101	161.10	07/21/20	192.62	31.52 W	0.00	64 of 335 - Sale <sup>25</sup>
	0.123	196.83	07/21/20	230.19	33.36 W	0.00	65 of 335 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.162	258.15	07/21/20	297.58	39.43 W	0.00	66 of 335 - Sale <sup>25</sup>
	0.002	2.57	07/21/20	2.68	0.11 W	0.00	67 of 335 - Sale <sup>25</sup>
	0.003	4.95	07/21/20	5.72	0.77 W	0.00	68 of 335 - Sale <sup>25</sup>
	0.004	5.72	07/21/20	6.64	0.92 W	0.00	69 of 335 - Sale <sup>25</sup>
	0.006	9.78	07/21/20	11.38	1.60 W	0.00	70 of 335 - Sale <sup>25</sup>
	0.032	50.41	07/21/20	58.86	8.45 W	0.00	71 of 335 - Sale <sup>25</sup>
	0.046	72.77	07/21/20	85.12	12.35 W	0.00	72 of 335 - Sale <sup>25</sup>
	0.060	95.01	07/21/20	98.96	3.95 W	0.00	73 of 335 - Sale <sup>25</sup>
	0.064	102.00	07/21/20	107.19	5.19 W	0.00	74 of 335 - Sale <sup>25</sup>
	0.145	230.56	07/21/20	239.83	9.27 W	0.00	75 of 335 - Sale <sup>25</sup>
	0.286	456.19	07/21/20	533.23	77.04 W	0.00	76 of 335 - Sale <sup>25</sup>
	0.001	1.03	07/21/20	1.29	0.26 W	0.00	77 of 335 - Sale <sup>25</sup>
	0.002	3.13	07/21/20	3.85	0.72 W	0.00	78 of 335 - Sale <sup>25</sup>
	0.005	7.46	07/21/20	9.10	1.64 W	0.00	79 of 335 - Sale <sup>25</sup>
	0.013	20.72	07/21/20	25.30	4.58 W	0.00	80 of 335 - Sale <sup>25</sup>
	0.021	33.54	07/21/20	41.53	7.99 W	0.00	81 of 335 - Sale <sup>25</sup>
	0.069	110.84	07/21/20	137.43	26.59 W	0.00	82 of 335 - Sale <sup>25</sup>
	0.117	186.79	07/21/20	228.29	41.50 W	0.00	83 of 335 - Sale <sup>25</sup>
	0.131	209.21	07/21/20	255.81	46.60 W	0.00	84 of 335 - Sale <sup>25</sup>
	0.165	263.20	07/21/20	318.61	55.41 W	0.00	85 of 335 - Sale <sup>25</sup>
	0.166	265.12	07/21/20	328.79	63.67 W	0.00	86 of 335 - Sale <sup>25</sup>
	0.201	320.06	07/21/20	382.80	62.74 W	0.00	87 of 335 - Sale <sup>25</sup>
	0.312	498.17	07/21/20	617.72	119.55 W	0.00	88 of 335 - Sale <sup>25</sup>
	0.354	565.05	07/21/20	690.68	125.63 W	0.00	89 of 335 - Sale <sup>25</sup>
	0.011	17.15	07/21/20	18.88	1.73 W	0.00	90 of 335 - Sale <sup>25</sup>
	0.018	27.94	07/21/20	30.43	2.49 W	0.00	91 of 335 - Sale <sup>25</sup>
	0.042	67.25	07/21/20	71.60	4.35 W	0.00	92 of 335 - Sale <sup>25</sup>
	0.044	70.06	07/21/20	77.75	7.69 W	0.00	93 of 335 - Sale <sup>25</sup>
	0.067	107.13	07/21/20	119.34	12.21 W	0.00	94 of 335 - Sale <sup>25</sup>
	0.072	115.53	07/21/20	123.09	7.56 W	0.00	95 of 335 - Sale <sup>25</sup>
	0.080	128.05	07/21/20	142.04	13.99 W	0.00	96 of 335 - Sale <sup>25</sup>
	0.461	735.98	07/21/20	806.08	70.10 W	0.00	97 of 335 - Sale <sup>25</sup>
	0.005	7.50	07/22/20	8.53	1.03 W	0.00	98 of 335 - Sale <sup>25</sup>
	0.017	26.71	07/22/20	30.42	3.71 W	0.00	99 of 335 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.089		142.57	07/22/20	151.46	8.89 W	0.00	100 of 335 - Sale <sup>25</sup>
0.028		43.94	07/22/20	50.07	6.13 W	0.00	101 of 335 - Sale <sup>25</sup>
0.123		196.07	07/22/20	222.53	26.46 W	0.00	102 of 335 - Sale <sup>25</sup>
0.011		17.49	07/22/20	20.46	2.97 W	0.00	103 of 335 - Sale <sup>25</sup>
0.058		92.46	07/22/20	105.65	13.19 W	0.00	104 of 335 - Sale <sup>25</sup>
0.207		329.40	07/22/20	384.98	55.58 W	0.00	105 of 335 - Sale <sup>25</sup>
0.107		170.68	07/22/20	182.02	11.34 W	0.00	106 of 335 - Sale <sup>25</sup>
0.115		183.33	07/22/20	209.47	26.14 W	0.00	107 of 335 - Sale <sup>25</sup>
0.009		14.11	07/22/20	15.63	1.52 W	0.00	108 of 335 - Sale <sup>25</sup>
0.016		25.65	07/22/20	28.21	2.56 W	0.00	109 of 335 - Sale <sup>25</sup>
0.041		66.12	07/22/20	74.52	8.40 W	0.00	110 of 335 - Sale <sup>25</sup>
0.047		75.69	07/22/20	80.45	4.76 W	0.00	111 of 335 - Sale <sup>25</sup>
0.052		83.71	07/22/20	93.21	9.50 W	0.00	112 of 335 - Sale <sup>25</sup>
0.071		112.90	07/22/20	120.54	7.64 W	0.00	113 of 335 - Sale <sup>25</sup>
0.076		121.27	07/22/20	123.22	1.95 W	0.00	114 of 335 - Sale <sup>25</sup>
0.088		139.69	07/22/20	153.67	13.98 W	0.00	115 of 335 - Sale <sup>25</sup>
0.094		149.82	07/22/20	152.28	2.46 W	0.00	116 of 335 - Sale <sup>25</sup>
0.107		170.04	07/22/20	172.81	2.77 W	0.00	117 of 335 - Sale <sup>25</sup>
0.114		181.51	07/22/20	192.22	10.71 W	0.00	118 of 335 - Sale <sup>25</sup>
0.133		212.56	07/22/20	225.89	13.33 W	0.00	119 of 335 - Sale <sup>25</sup>
0.163		259.28	07/22/20	274.31	15.03 W	0.00	120 of 335 - Sale <sup>25</sup>
0.166		265.47	07/22/20	283.23	17.76 W	0.00	121 of 335 - Sale <sup>25</sup>
0.252		402.30	07/22/20	425.53	23.23 W	0.00	122 of 335 - Sale <sup>25</sup>
0.271		431.68	07/22/20	436.64	4.96 W	0.00	123 of 335 - Sale <sup>25</sup>
0.485		773.21	07/22/20	871.43	98.22 W	0.00	124 of 335 - Sale <sup>25</sup>
0.166		264.25	07/22/20	283.28	19.03 W	0.00	125 of 335 - Sale <sup>25</sup>
0.018		28.13	07/22/20	29.79	1.66 W	0.00	126 of 335 - Sale <sup>25</sup>
0.000		0.08	07/22/20	0.09	0.01 W	0.00	127 of 335 - Sale <sup>25</sup>
0.001		0.91	07/22/20	0.96	0.05 W	0.00	128 of 335 - Sale <sup>25</sup>
0.001		0.98	07/22/20	1.05	0.07 W	0.00	129 of 335 - Sale <sup>25</sup>
0.001		1.03	07/22/20	1.17	0.14 W	0.00	130 of 335 - Sale <sup>25</sup>
0.001		1.97	07/22/20	2.10	0.13 W	0.00	131 of 335 - Sale <sup>25</sup>
0.009		13.75	07/22/20	14.76	1.01 W	0.00	132 of 335 - Sale <sup>25</sup>
0.044		69.88	07/22/20	77.60	7.72 W	0.00	133 of 335 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.044		70.01	07/22/20	74.10	4.09 W	0.00	134 of 335 - Sale <sup>25</sup>
0.072		115.52	07/22/20	131.96	16.44 W	0.00	135 of 335 - Sale <sup>25</sup>
0.074		117.92	07/22/20	126.32	8.40 W	0.00	136 of 335 - Sale <sup>25</sup>
0.078		125.14	07/22/20	137.46	12.32 W	0.00	137 of 335 - Sale <sup>25</sup>
0.082		131.43	07/22/20	150.24	18.81 W	0.00	138 of 335 - Sale <sup>25</sup>
0.083		132.58	07/22/20	144.06	11.48 W	0.00	139 of 335 - Sale <sup>25</sup>
0.001		1.91	07/22/20	2.04	0.13 W	0.00	140 of 335 - Sale <sup>25</sup>
0.068		109.12	07/22/20	114.65	5.53 W	0.00	141 of 335 - Sale <sup>25</sup>
0.090		143.25	07/22/20	152.49	9.24 W	0.00	142 of 335 - Sale <sup>25</sup>
0.117		186.67	07/22/20	197.53	10.86 W	0.00	143 of 335 - Sale <sup>25</sup>
0.132		211.04	07/22/20	220.15	9.11 W	0.00	144 of 335 - Sale <sup>25</sup>
0.475		757.37	07/22/20	801.76	44.39 W	0.00	145 of 335 - Sale <sup>25</sup>
0.040		63.83	07/22/20	69.01	5.18 W	0.00	146 of 335 - Sale <sup>25</sup>
0.046		73.79	07/22/20	83.92	10.13 W	0.00	147 of 335 - Sale <sup>25</sup>
0.118		187.52	07/22/20	202.76	15.24 W	0.00	148 of 335 - Sale <sup>25</sup>
0.142		226.30	07/22/20	247.15	20.85 W	0.00	149 of 335 - Sale <sup>25</sup>
0.155		246.68	07/22/20	273.86	27.18 W	0.00	150 of 335 - Sale <sup>25</sup>
0.241		385.11	07/22/20	416.59	31.48 W	0.00	151 of 335 - Sale <sup>25</sup>
0.374		596.41	07/22/20	648.75	52.34 W	0.00	152 of 335 - Sale <sup>25</sup>
0.050		80.01	07/22/20	87.76	7.75 W	0.00	153 of 335 - Sale <sup>25</sup>
0.002		2.57	07/22/20	2.72	0.15 W	0.00	154 of 335 - Sale <sup>25</sup>
0.003		4.95	07/22/20	5.78	0.83 W	0.00	155 of 335 - Sale <sup>25</sup>
0.004		5.72	07/22/20	6.71	0.99 W	0.00	156 of 335 - Sale <sup>25</sup>
0.006		9.78	07/22/20	11.50	1.72 W	0.00	157 of 335 - Sale <sup>25</sup>
0.032		50.41	07/22/20	59.50	9.09 W	0.00	158 of 335 - Sale <sup>25</sup>
0.046		72.76	07/22/20	86.03	13.27 W	0.00	159 of 335 - Sale <sup>25</sup>
0.060		95.01	07/22/20	100.15	5.14 W	0.00	160 of 335 - Sale <sup>25</sup>
0.064		102.00	07/22/20	108.47	6.47 W	0.00	161 of 335 - Sale <sup>25</sup>
0.145		230.56	07/22/20	242.73	12.17 W	0.00	162 of 335 - Sale <sup>25</sup>
0.286		456.18	07/22/20	538.96	82.78 W	0.00	163 of 335 - Sale <sup>25</sup>
0.066		104.64	07/22/20	119.84	15.20 W	0.00	164 of 335 - Sale <sup>25</sup>
0.159		253.29	07/22/20	295.17	41.88 W	0.00	165 of 335 - Sale <sup>25</sup>
0.001		1.03	07/22/20	1.30	0.27 W	0.00	166 of 335 - Sale <sup>25</sup>
0.001		1.21	07/22/20	1.42	0.21 W	0.00	167 of 335 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.002	3.13	07/22/20	3.89	0.76 W	0.00	168 of 335 - Sale <sup>25</sup>
	0.005	7.46	07/22/20	9.19	1.73 W	0.00	169 of 335 - Sale <sup>25</sup>
	0.010	15.56	07/22/20	18.17	2.61 W	0.00	170 of 335 - Sale <sup>25</sup>
	0.013	20.72	07/22/20	25.57	4.85 W	0.00	171 of 335 - Sale <sup>25</sup>
	0.021	33.54	07/22/20	41.95	8.41 W	0.00	172 of 335 - Sale <sup>25</sup>
	0.069	110.84	07/22/20	138.82	27.98 W	0.00	173 of 335 - Sale <sup>25</sup>
	0.101	161.09	07/22/20	194.64	33.55 W	0.00	174 of 335 - Sale <sup>25</sup>
	0.117	186.78	07/22/20	230.64	43.86 W	0.00	175 of 335 - Sale <sup>25</sup>
	0.123	196.82	07/22/20	232.66	35.84 W	0.00	176 of 335 - Sale <sup>25</sup>
	0.131	209.20	07/22/20	258.44	49.24 W	0.00	177 of 335 - Sale <sup>25</sup>
	0.003	4.85	07/22/20	5.65	0.80 W	0.00	178 of 335 - Sale <sup>25</sup>
	0.165	263.19	07/22/20	321.91	58.72 W	0.00	179 of 335 - Sale <sup>25</sup>
	0.166	265.11	07/22/20	332.12	67.01 W	0.00	180 of 335 - Sale <sup>25</sup>
	0.201	320.05	07/22/20	386.82	66.77 W	0.00	181 of 335 - Sale <sup>25</sup>
	0.312	498.15	07/22/20	623.98	125.83 W	0.00	182 of 335 - Sale <sup>25</sup>
	0.354	565.04	07/22/20	697.78	132.74 W	0.00	183 of 335 - Sale <sup>25</sup>
	0.002	2.84	07/22/20	3.11	0.27 W	0.00	184 of 335 - Sale <sup>25</sup>
	0.011	17.15	07/22/20	19.10	1.95 W	0.00	185 of 335 - Sale <sup>25</sup>
	0.018	27.94	07/22/20	30.78	2.84 W	0.00	186 of 335 - Sale <sup>25</sup>
	0.019	30.75	07/22/20	33.74	2.99 W	0.00	187 of 335 - Sale <sup>25</sup>
	0.042	67.25	07/22/20	72.44	5.19 W	0.00	188 of 335 - Sale <sup>25</sup>
	0.072	115.52	07/22/20	124.54	9.02 W	0.00	189 of 335 - Sale <sup>25</sup>
	0.041	64.60	07/22/20	71.57	6.97 W	0.00	190 of 335 - Sale <sup>25</sup>
	0.127	202.12	07/22/20	224.02	21.90 W	0.00	191 of 335 - Sale <sup>25</sup>
	0.002	3.68	07/22/20	4.09	0.41 W	0.00	192 of 335 - Sale <sup>25</sup>
	0.000	0.16	07/22/20	0.18	0.02 W	0.00	193 of 335 - Sale <sup>25</sup>
	0.002	2.57	07/22/20	2.72	0.15 W	0.00	194 of 335 - Sale <sup>25</sup>
	0.003	4.95	07/22/20	5.78	0.83 W	0.00	195 of 335 - Sale <sup>25</sup>
	0.004	5.71	07/22/20	6.71	1.00 W	0.00	196 of 335 - Sale <sup>25</sup>
	0.006	9.78	07/22/20	11.50	1.72 W	0.00	197 of 335 - Sale <sup>25</sup>
	0.032	50.40	07/22/20	59.51	9.11 W	0.00	198 of 335 - Sale <sup>25</sup>
	0.046	72.74	07/22/20	86.04	13.30 W	0.00	199 of 335 - Sale <sup>25</sup>
	0.060	94.98	07/22/20	100.15	5.17 W	0.00	200 of 335 - Sale <sup>25</sup>
	0.064	101.97	07/22/20	108.48	6.51 W	0.00	201 of 335 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.145		230.49	07/22/20	242.74	12.25 W	0.00	202 of 335 - Sale <sup>25</sup>
0.286		456.04	07/22/20	538.98	82.94 W	0.00	203 of 335 - Sale <sup>25</sup>
0.001		1.03	07/22/20	1.30	0.27 W	0.00	204 of 335 - Sale <sup>25</sup>
0.001		1.21	07/22/20	1.42	0.21 W	0.00	205 of 335 - Sale <sup>25</sup>
0.002		3.13	07/22/20	3.89	0.76 W	0.00	206 of 335 - Sale <sup>25</sup>
0.003		4.85	07/22/20	5.65	0.80 W	0.00	207 of 335 - Sale <sup>25</sup>
0.005		7.45	07/22/20	9.19	1.74 W	0.00	208 of 335 - Sale <sup>25</sup>
0.005		7.50	07/22/20	8.53	1.03 W	0.00	209 of 335 - Sale <sup>25</sup>
0.010		15.56	07/22/20	18.18	2.62 W	0.00	210 of 335 - Sale <sup>25</sup>
0.013		20.72	07/22/20	25.58	4.86 W	0.00	211 of 335 - Sale <sup>25</sup>
0.017		26.70	07/22/20	30.42	3.72 W	0.00	212 of 335 - Sale <sup>25</sup>
0.021		33.53	07/22/20	41.95	8.42 W	0.00	213 of 335 - Sale <sup>25</sup>
0.028		43.93	07/22/20	50.07	6.14 W	0.00	214 of 335 - Sale <sup>25</sup>
0.066		104.60	07/22/20	119.84	15.24 W	0.00	215 of 335 - Sale <sup>25</sup>
0.069		110.80	07/22/20	138.82	28.02 W	0.00	216 of 335 - Sale <sup>25</sup>
0.089		142.52	07/22/20	151.46	8.94 W	0.00	217 of 335 - Sale <sup>25</sup>
0.101		161.04	07/22/20	194.65	33.61 W	0.00	218 of 335 - Sale <sup>25</sup>
0.117		186.73	07/22/20	230.66	43.93 W	0.00	219 of 335 - Sale <sup>25</sup>
0.123		196.00	07/22/20	222.54	26.54 W	0.00	220 of 335 - Sale <sup>25</sup>
0.123		196.76	07/22/20	232.67	35.91 W	0.00	221 of 335 - Sale <sup>25</sup>
0.131		209.14	07/22/20	258.45	49.31 W	0.00	222 of 335 - Sale <sup>25</sup>
0.159		253.21	07/22/20	295.18	41.97 W	0.00	223 of 335 - Sale <sup>25</sup>
0.165		263.11	07/22/20	321.92	58.81 W	0.00	224 of 335 - Sale <sup>25</sup>
0.166		265.03	07/22/20	332.14	67.11 W	0.00	225 of 335 - Sale <sup>25</sup>
0.201		319.95	07/22/20	386.83	66.88 W	0.00	226 of 335 - Sale <sup>25</sup>
0.312		498.00	07/22/20	624.01	126.01 W	0.00	227 of 335 - Sale <sup>25</sup>
0.354		564.86	07/22/20	697.81	132.95 W	0.00	228 of 335 - Sale <sup>25</sup>
0.011		17.49	07/22/20	20.46	2.97 W	0.00	229 of 335 - Sale <sup>25</sup>
0.058		92.43	07/22/20	105.66	13.23 W	0.00	230 of 335 - Sale <sup>25</sup>
0.107		170.62	07/22/20	182.03	11.41 W	0.00	231 of 335 - Sale <sup>25</sup>
0.115		183.28	07/22/20	209.48	26.20 W	0.00	232 of 335 - Sale <sup>25</sup>
0.207		329.29	07/22/20	384.98	55.69 W	0.00	233 of 335 - Sale <sup>25</sup>
0.009		14.11	07/22/20	15.63	1.52 W	0.00	234 of 335 - Sale <sup>25</sup>
0.016		25.64	07/22/20	28.21	2.57 W	0.00	235 of 335 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.041	66.10	07/22/20	74.53	8.43 W	0.00	236 of 335 - Sale <sup>25</sup>
	0.047	75.67	07/22/20	80.46	4.79 W	0.00	237 of 335 - Sale <sup>25</sup>
	0.052	83.68	07/22/20	93.21	9.53 W	0.00	238 of 335 - Sale <sup>25</sup>
	0.071	112.86	07/22/20	120.54	7.68 W	0.00	239 of 335 - Sale <sup>25</sup>
	0.076	121.23	07/22/20	123.23	2.00 W	0.00	240 of 335 - Sale <sup>25</sup>
	0.088	139.65	07/22/20	153.68	14.03 W	0.00	241 of 335 - Sale <sup>25</sup>
	0.094	149.78	07/22/20	152.29	2.51 W	0.00	242 of 335 - Sale <sup>25</sup>
	0.107	169.98	07/22/20	172.81	2.83 W	0.00	243 of 335 - Sale <sup>25</sup>
	0.114	181.45	07/22/20	192.23	10.78 W	0.00	244 of 335 - Sale <sup>25</sup>
	0.133	212.49	07/22/20	225.90	13.41 W	0.00	245 of 335 - Sale <sup>25</sup>
	0.163	259.21	07/22/20	274.33	15.12 W	0.00	246 of 335 - Sale <sup>25</sup>
	0.166	265.38	07/22/20	283.24	17.86 W	0.00	247 of 335 - Sale <sup>25</sup>
	0.252	402.17	07/22/20	425.55	23.38 W	0.00	248 of 335 - Sale <sup>25</sup>
	0.271	431.55	07/22/20	436.67	5.12 W	0.00	249 of 335 - Sale <sup>25</sup>
	0.485	772.97	07/22/20	871.47	98.50 W	0.00	250 of 335 - Sale <sup>25</sup>
	0.000	0.08	07/22/20	0.09	0.01 W	0.00	251 of 335 - Sale <sup>25</sup>
	0.001	0.91	07/22/20	0.96	0.05 W	0.00	252 of 335 - Sale <sup>25</sup>
	0.001	0.98	07/22/20	1.05	0.07 W	0.00	253 of 335 - Sale <sup>25</sup>
	0.001	1.03	07/22/20	1.17	0.14 W	0.00	254 of 335 - Sale <sup>25</sup>
	0.001	1.91	07/22/20	2.04	0.13 W	0.00	255 of 335 - Sale <sup>25</sup>
	0.001	1.97	07/22/20	2.10	0.13 W	0.00	256 of 335 - Sale <sup>25</sup>
	0.009	13.74	07/22/20	14.76	1.02 W	0.00	257 of 335 - Sale <sup>25</sup>
	0.018	28.12	07/22/20	29.79	1.67 W	0.00	258 of 335 - Sale <sup>25</sup>
	0.044	69.86	07/22/20	77.60	7.74 W	0.00	259 of 335 - Sale <sup>25</sup>
	0.044	69.99	07/22/20	74.11	4.12 W	0.00	260 of 335 - Sale <sup>25</sup>
	0.068	109.09	07/22/20	114.66	5.57 W	0.00	261 of 335 - Sale <sup>25</sup>
	0.072	115.49	07/22/20	131.97	16.48 W	0.00	262 of 335 - Sale <sup>25</sup>
	0.074	117.89	07/22/20	126.33	8.44 W	0.00	263 of 335 - Sale <sup>25</sup>
	0.078	125.10	07/22/20	137.46	12.36 W	0.00	264 of 335 - Sale <sup>25</sup>
	0.082	131.39	07/22/20	150.24	18.85 W	0.00	265 of 335 - Sale <sup>25</sup>
	0.083	132.54	07/22/20	144.06	11.52 W	0.00	266 of 335 - Sale <sup>25</sup>
	0.090	143.21	07/22/20	152.50	9.29 W	0.00	267 of 335 - Sale <sup>25</sup>
	0.117	186.61	07/22/20	197.54	10.93 W	0.00	268 of 335 - Sale <sup>25</sup>
	0.132	210.98	07/22/20	220.17	9.19 W	0.00	269 of 335 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.166	264.17	07/22/20	283.30	19.13 W	0.00	270 of 335 - Sale <sup>25</sup>
	0.475	757.14	07/22/20	801.80	44.66 W	0.00	271 of 335 - Sale <sup>25</sup>
	0.000	0.16	07/22/20	0.18	0.02 W	0.00	272 of 335 - Sale <sup>25</sup>
	0.002	2.84	07/22/20	3.11	0.27 W	0.00	273 of 335 - Sale <sup>25</sup>
	0.002	3.69	07/22/20	4.10	0.41 W	0.00	274 of 335 - Sale <sup>25</sup>
	0.011	17.14	07/22/20	19.10	1.96 W	0.00	275 of 335 - Sale <sup>25</sup>
	0.018	27.93	07/22/20	30.78	2.85 W	0.00	276 of 335 - Sale <sup>25</sup>
	0.019	30.74	07/22/20	33.74	3.00 W	0.00	277 of 335 - Sale <sup>25</sup>
	0.040	63.81	07/22/20	69.02	5.21 W	0.00	278 of 335 - Sale <sup>25</sup>
	0.041	64.58	07/22/20	71.58	7.00 W	0.00	279 of 335 - Sale <sup>25</sup>
	0.042	67.23	07/22/20	72.44	5.21 W	0.00	280 of 335 - Sale <sup>25</sup>
	0.044	70.04	07/22/20	77.75	7.71 W	0.00	281 of 335 - Sale <sup>25</sup>
	0.046	73.76	07/22/20	83.92	10.16 W	0.00	282 of 335 - Sale <sup>25</sup>
	0.050	79.99	07/22/20	87.77	7.78 W	0.00	283 of 335 - Sale <sup>25</sup>
	0.067	107.09	07/22/20	119.34	12.25 W	0.00	284 of 335 - Sale <sup>25</sup>
	0.072	115.49	07/22/20	124.55	9.06 W	0.00	285 of 335 - Sale <sup>25</sup>
	0.080	128.01	07/22/20	142.04	14.03 W	0.00	286 of 335 - Sale <sup>25</sup>
	0.118	187.46	07/22/20	202.77	15.31 W	0.00	287 of 335 - Sale <sup>25</sup>
	0.127	202.14	07/22/20	224.11	21.97 W	0.00	288 of 335 - Sale <sup>25</sup>
	0.142	226.23	07/22/20	247.16	20.93 W	0.00	289 of 335 - Sale <sup>25</sup>
	0.155	246.61	07/22/20	273.88	27.27 W	0.00	290 of 335 - Sale <sup>25</sup>
	0.241	384.99	07/22/20	416.61	31.62 W	0.00	291 of 335 - Sale <sup>25</sup>
	0.292	465.16	07/22/20	509.65	44.49 W	0.00	292 of 335 - Sale <sup>25</sup>
	0.374	596.23	07/22/20	648.78	52.55 W	0.00	293 of 335 - Sale <sup>25</sup>
	0.851	1,356.70	07/22/20	1,357.19	0.49 W	0.00	294 of 335 - Sale <sup>25</sup>
	3.000	4,783.28	07/22/20	4,788.00	4.72 W	0.00	295 of 335 - Sale <sup>25</sup>
	5.000	7,972.12	07/22/20	7,975.00	2.88 W	0.00	296 of 335 - Sale <sup>25</sup>
	0.002	2.58	07/22/20	2.73	0.15 W	0.00	297 of 335 - Sale <sup>25</sup>
	0.003	4.97	07/22/20	5.79	0.82 W	0.00	298 of 335 - Sale <sup>25</sup>
	0.004	5.73	07/22/20	6.73	1.00 W	0.00	299 of 335 - Sale <sup>25</sup>
	0.006	9.81	07/22/20	11.52	1.71 W	0.00	300 of 335 - Sale <sup>25</sup>
	0.032	50.57	07/22/20	59.64	9.07 W	0.00	301 of 335 - Sale <sup>25</sup>
	0.046	73.00	07/22/20	86.24	13.24 W	0.00	302 of 335 - Sale <sup>25</sup>
	0.060	95.31	07/22/20	100.40	5.09 W	0.00	303 of 335 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.064	102.32	07/22/20	108.75	6.43 W	0.00	304 of 335 - Sale <sup>25</sup>
	0.145	231.29	07/22/20	243.35	12.06 W	0.00	305 of 335 - Sale <sup>25</sup>
	0.286	457.62	07/22/20	540.19	82.57 W	0.00	306 of 335 - Sale <sup>25</sup>
	0.005	7.52	07/22/20	8.55	1.03 W	0.00	307 of 335 - Sale <sup>25</sup>
	0.017	26.80	07/22/20	30.49	3.69 W	0.00	308 of 335 - Sale <sup>25</sup>
	0.028	44.08	07/22/20	50.18	6.10 W	0.00	309 of 335 - Sale <sup>25</sup>
	0.066	104.97	07/22/20	120.12	15.15 W	0.00	310 of 335 - Sale <sup>25</sup>
	0.089	143.02	07/22/20	151.84	8.82 W	0.00	311 of 335 - Sale <sup>25</sup>
	0.123	196.68	07/22/20	223.07	26.39 W	0.00	312 of 335 - Sale <sup>25</sup>
	0.027	43.70	07/22/20	50.88	7.18 W	0.00	313 of 335 - Sale <sup>25</sup>
	0.001	1.03	07/22/20	1.30	0.27 W	0.00	314 of 335 - Sale <sup>25</sup>
	0.001	1.22	07/22/20	1.42	0.20 W	0.00	315 of 335 - Sale <sup>25</sup>
	0.003	4.87	07/22/20	5.66	0.79 W	0.00	316 of 335 - Sale <sup>25</sup>
	0.005	7.49	07/22/20	9.21	1.72 W	0.00	317 of 335 - Sale <sup>25</sup>
	0.010	15.64	07/22/20	18.22	2.58 W	0.00	318 of 335 - Sale <sup>25</sup>
	0.013	20.83	07/22/20	25.63	4.80 W	0.00	319 of 335 - Sale <sup>25</sup>
	0.069	111.39	07/22/20	139.12	27.73 W	0.00	320 of 335 - Sale <sup>25</sup>
	0.101	161.90	07/22/20	195.08	33.18 W	0.00	321 of 335 - Sale <sup>25</sup>
	0.123	197.81	07/22/20	233.20	35.39 W	0.00	322 of 335 - Sale <sup>25</sup>
	0.132	210.78	07/22/20	244.98	34.20 W	0.00	323 of 335 - Sale <sup>25</sup>
	0.165	264.50	07/22/20	322.62	58.12 W	0.00	324 of 335 - Sale <sup>25</sup>
	0.201	321.65	07/22/20	387.68	66.03 W	0.00	325 of 335 - Sale <sup>25</sup>
	0.177	283.78	07/22/20	354.46	70.68 W	0.00	326 of 335 - Sale <sup>25</sup>
	0.002	3.12	07/22/20	3.89	0.77 W	0.00	327 of 335 - Sale <sup>25</sup>
	0.021	33.50	07/22/20	42.04	8.54 W	0.00	328 of 335 - Sale <sup>25</sup>
	0.117	186.56	07/22/20	231.15	44.59 W	0.00	329 of 335 - Sale <sup>25</sup>
	0.131	208.95	07/22/20	259.00	50.05 W	0.00	330 of 335 - Sale <sup>25</sup>
	0.166	264.79	07/22/20	332.85	68.06 W	0.00	331 of 335 - Sale <sup>25</sup>
	0.135	215.53	07/22/20	270.88	55.35 W	0.00	332 of 335 - Sale <sup>25</sup>
	0.354	564.36	07/22/20	699.32	134.96 W	0.00	333 of 335 - Sale <sup>25</sup>
	0.011	17.47	07/22/20	20.50	3.03 W	0.00	334 of 335 - Sale <sup>25</sup>
	0.062	98.71	07/22/20	115.77	17.06 W	0.00	335 of 335 - Sale <sup>25</sup>
07/22/20	39.461	62,936.74	Various	68,959.27	6,022.53 W	0.00	Total of 335 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	0.851	1,361.41	07/22/20	1,360.80	...	0.61	1 of 2 - Sale <sup>25</sup>
	5.000	7,999.83	07/22/20	7,996.21	...	3.62	2 of 2 - Sale <sup>25</sup>
07/23/20	5.851	9,361.24	Various	9,357.01	...	4.23	Total of 2 transactions
463 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	0.058	92.75	07/22/20	105.91	13.16 W	0.00	1 of 463 - Sale <sup>25</sup>
	0.107	171.22	07/22/20	182.49	11.27 W	0.00	2 of 463 - Sale <sup>25</sup>
	0.115	183.91	07/22/20	209.96	26.05 W	0.00	3 of 463 - Sale <sup>25</sup>
	0.145	231.29	07/22/20	270.08	38.79 W	0.00	4 of 463 - Sale <sup>25</sup>
	0.009	14.16	07/22/20	15.67	1.51 W	0.00	5 of 463 - Sale <sup>25</sup>
	0.016	25.73	07/22/20	28.28	2.55 W	0.00	6 of 463 - Sale <sup>25</sup>
	0.041	66.33	07/22/20	74.71	8.38 W	0.00	7 of 463 - Sale <sup>25</sup>
	0.047	75.93	07/22/20	80.66	4.73 W	0.00	8 of 463 - Sale <sup>25</sup>
	0.052	83.97	07/22/20	93.44	9.47 W	0.00	9 of 463 - Sale <sup>25</sup>
	0.071	113.25	07/22/20	120.84	7.59 W	0.00	10 of 463 - Sale <sup>25</sup>
	0.076	121.65	07/22/20	123.55	1.90 W	0.00	11 of 463 - Sale <sup>25</sup>
	0.088	140.14	07/22/20	154.05	13.91 W	0.00	12 of 463 - Sale <sup>25</sup>
	0.094	150.30	07/22/20	152.68	2.38 W	0.00	13 of 463 - Sale <sup>25</sup>
	0.107	170.57	07/22/20	173.27	2.70 W	0.00	14 of 463 - Sale <sup>25</sup>
	0.114	182.08	07/22/20	192.71	10.63 W	0.00	15 of 463 - Sale <sup>25</sup>
	0.133	213.23	07/22/20	226.47	13.24 W	0.00	16 of 463 - Sale <sup>25</sup>
	0.163	260.11	07/22/20	275.01	14.90 W	0.00	17 of 463 - Sale <sup>25</sup>
	0.166	266.31	07/22/20	283.95	17.64 W	0.00	18 of 463 - Sale <sup>25</sup>
	0.252	403.57	07/22/20	426.62	23.05 W	0.00	19 of 463 - Sale <sup>25</sup>
	0.271	433.05	07/22/20	437.82	4.77 W	0.00	20 of 463 - Sale <sup>25</sup>
	0.485	775.66	07/22/20	873.53	97.87 W	0.00	21 of 463 - Sale <sup>25</sup>
	0.000	0.08	07/22/20	0.09	0.01 W	0.00	22 of 463 - Sale <sup>25</sup>
	0.001	0.91	07/22/20	0.96	0.05 W	0.00	23 of 463 - Sale <sup>25</sup>
	0.001	0.99	07/22/20	1.05	0.06 W	0.00	24 of 463 - Sale <sup>25</sup>
	0.001	1.03	07/22/20	1.17	0.14 W	0.00	25 of 463 - Sale <sup>25</sup>
	0.001	1.92	07/22/20	2.05	0.13 W	0.00	26 of 463 - Sale <sup>25</sup>
	0.001	1.97	07/22/20	2.10	0.13 W	0.00	27 of 463 - Sale <sup>25</sup>
	0.009	13.79	07/22/20	14.80	1.01 W	0.00	28 of 463 - Sale <sup>25</sup>
	0.018	28.22	07/22/20	29.87	1.65 W	0.00	29 of 463 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.044		70.10	07/22/20	77.79	7.69 W	0.00	30 of 463 - Sale <sup>25</sup>
0.044		70.24	07/22/20	74.30	4.06 W	0.00	31 of 463 - Sale <sup>25</sup>
0.068		109.47	07/22/20	114.95	5.48 W	0.00	32 of 463 - Sale <sup>25</sup>
0.072		115.89	07/22/20	132.27	16.38 W	0.00	33 of 463 - Sale <sup>25</sup>
0.074		118.30	07/22/20	126.64	8.34 W	0.00	34 of 463 - Sale <sup>25</sup>
0.078		125.53	07/22/20	137.79	12.26 W	0.00	35 of 463 - Sale <sup>25</sup>
0.082		131.84	07/22/20	150.58	18.74 W	0.00	36 of 463 - Sale <sup>25</sup>
0.083		133.00	07/22/20	144.41	11.41 W	0.00	37 of 463 - Sale <sup>25</sup>
0.090		143.71	07/22/20	152.88	9.17 W	0.00	38 of 463 - Sale <sup>25</sup>
0.117		187.26	07/22/20	198.04	10.78 W	0.00	39 of 463 - Sale <sup>25</sup>
0.132		211.71	07/22/20	220.73	9.02 W	0.00	40 of 463 - Sale <sup>25</sup>
0.166		265.09	07/22/20	284.00	18.91 W	0.00	41 of 463 - Sale <sup>25</sup>
0.475		759.77	07/22/20	803.81	44.04 W	0.00	42 of 463 - Sale <sup>25</sup>
0.000		0.16	07/22/20	0.18	0.02 W	0.00	43 of 463 - Sale <sup>25</sup>
0.002		2.85	07/22/20	3.11	0.26 W	0.00	44 of 463 - Sale <sup>25</sup>
0.002		3.70	07/22/20	4.11	0.41 W	0.00	45 of 463 - Sale <sup>25</sup>
0.011		17.20	07/22/20	19.15	1.95 W	0.00	46 of 463 - Sale <sup>25</sup>
0.018		28.03	07/22/20	30.86	2.83 W	0.00	47 of 463 - Sale <sup>25</sup>
0.019		30.85	07/22/20	33.82	2.97 W	0.00	48 of 463 - Sale <sup>25</sup>
0.040		64.03	07/22/20	69.19	5.16 W	0.00	49 of 463 - Sale <sup>25</sup>
0.041		64.81	07/22/20	71.76	6.95 W	0.00	50 of 463 - Sale <sup>25</sup>
0.042		67.46	07/22/20	72.61	5.15 W	0.00	51 of 463 - Sale <sup>25</sup>
0.044		70.28	07/22/20	77.93	7.65 W	0.00	52 of 463 - Sale <sup>25</sup>
0.046		74.02	07/22/20	84.12	10.10 W	0.00	53 of 463 - Sale <sup>25</sup>
0.050		80.27	07/22/20	87.98	7.71 W	0.00	54 of 463 - Sale <sup>25</sup>
0.067		107.46	07/22/20	119.63	12.17 W	0.00	55 of 463 - Sale <sup>25</sup>
0.072		115.89	07/22/20	124.86	8.97 W	0.00	56 of 463 - Sale <sup>25</sup>
0.080		128.45	07/22/20	142.38	13.93 W	0.00	57 of 463 - Sale <sup>25</sup>
0.118		188.11	07/22/20	203.27	15.16 W	0.00	58 of 463 - Sale <sup>25</sup>
0.127		202.84	07/22/20	224.65	21.81 W	0.00	59 of 463 - Sale <sup>25</sup>
0.142		227.02	07/22/20	247.76	20.74 W	0.00	60 of 463 - Sale <sup>25</sup>
0.155		247.46	07/22/20	274.53	27.07 W	0.00	61 of 463 - Sale <sup>25</sup>
0.241		386.33	07/22/20	417.64	31.31 W	0.00	62 of 463 - Sale <sup>25</sup>
0.292		466.78	07/22/20	510.89	44.11 W	0.00	63 of 463 - Sale <sup>25</sup>

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(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.374	598.30	07/22/20	650.36	52.06 W	0.00	64 of 463 - Sale <sup>25</sup>
	3.000	4,799.89	07/22/20	4,800.71	0.82 W	0.00	65 of 463 - Sale <sup>25</sup>
	0.002	2.57	07/23/20	2.75	0.18 W	0.00	66 of 463 - Sale <sup>25</sup>
	0.003	4.94	07/23/20	5.82	0.88 W	0.00	67 of 463 - Sale <sup>25</sup>
	0.004	5.70	07/23/20	6.77	1.07 W	0.00	68 of 463 - Sale <sup>25</sup>
	0.006	9.75	07/23/20	11.58	1.83 W	0.00	69 of 463 - Sale <sup>25</sup>
	0.032	50.27	07/23/20	59.95	9.68 W	0.00	70 of 463 - Sale <sup>25</sup>
	0.046	72.56	07/23/20	86.67	14.11 W	0.00	71 of 463 - Sale <sup>25</sup>
	0.060	94.74	07/23/20	100.97	6.23 W	0.00	72 of 463 - Sale <sup>25</sup>
	0.064	101.71	07/23/20	109.37	7.66 W	0.00	73 of 463 - Sale <sup>25</sup>
	0.145	229.91	07/23/20	244.73	14.82 W	0.00	74 of 463 - Sale <sup>25</sup>
	0.286	454.89	07/23/20	542.94	88.05 W	0.00	75 of 463 - Sale <sup>25</sup>
	0.001	1.02	07/23/20	1.31	0.29 W	0.00	76 of 463 - Sale <sup>25</sup>
	0.001	1.21	07/23/20	1.42	0.21 W	0.00	77 of 463 - Sale <sup>25</sup>
	0.002	3.12	07/23/20	3.92	0.80 W	0.00	78 of 463 - Sale <sup>25</sup>
	0.003	4.83	07/23/20	5.68	0.85 W	0.00	79 of 463 - Sale <sup>25</sup>
	0.005	7.44	07/23/20	9.24	1.80 W	0.00	80 of 463 - Sale <sup>25</sup>
	0.005	7.48	07/23/20	8.60	1.12 W	0.00	81 of 463 - Sale <sup>25</sup>
	0.010	15.52	07/23/20	18.29	2.77 W	0.00	82 of 463 - Sale <sup>25</sup>
	0.013	20.67	07/23/20	25.71	5.04 W	0.00	83 of 463 - Sale <sup>25</sup>
	0.017	26.64	07/23/20	30.65	4.01 W	0.00	84 of 463 - Sale <sup>25</sup>
	0.021	33.44	07/23/20	42.38	8.94 W	0.00	85 of 463 - Sale <sup>25</sup>
	0.027	43.44	07/23/20	51.14	7.70 W	0.00	86 of 463 - Sale <sup>25</sup>
	0.028	43.82	07/23/20	50.44	6.62 W	0.00	87 of 463 - Sale <sup>25</sup>
	0.058	92.20	07/23/20	106.47	14.27 W	0.00	88 of 463 - Sale <sup>25</sup>
	0.066	104.34	07/23/20	120.75	16.41 W	0.00	89 of 463 - Sale <sup>25</sup>
	0.069	110.52	07/23/20	139.58	29.06 W	0.00	90 of 463 - Sale <sup>25</sup>
	0.089	142.16	07/23/20	152.69	10.53 W	0.00	91 of 463 - Sale <sup>25</sup>
	0.101	160.64	07/23/20	195.75	35.11 W	0.00	92 of 463 - Sale <sup>25</sup>
	0.107	170.20	07/23/20	183.51	13.31 W	0.00	93 of 463 - Sale <sup>25</sup>
	0.115	182.82	07/23/20	211.06	28.24 W	0.00	94 of 463 - Sale <sup>25</sup>
	0.117	186.26	07/23/20	233.09	46.83 W	0.00	95 of 463 - Sale <sup>25</sup>
	0.123	195.51	07/23/20	224.25	28.74 W	0.00	96 of 463 - Sale <sup>25</sup>
	0.123	196.27	07/23/20	234.02	37.75 W	0.00	97 of 463 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.131	208.61	07/23/20	261.17	52.56 W	0.00	98 of 463 - Sale <sup>25</sup>
	0.132	209.14	07/23/20	245.86	36.72 W	0.00	99 of 463 - Sale <sup>25</sup>
	0.135	215.18	07/23/20	273.12	57.94 W	0.00	100 of 463 - Sale <sup>25</sup>
	0.145	229.91	07/23/20	271.46	41.55 W	0.00	101 of 463 - Sale <sup>25</sup>
	0.165	262.45	07/23/20	323.72	61.27 W	0.00	102 of 463 - Sale <sup>25</sup>
	0.166	264.37	07/23/20	335.61	71.24 W	0.00	103 of 463 - Sale <sup>25</sup>
	0.177	281.57	07/23/20	355.64	74.07 W	0.00	104 of 463 - Sale <sup>25</sup>
	0.201	319.15	07/23/20	389.01	69.86 W	0.00	105 of 463 - Sale <sup>25</sup>
	0.354	563.45	07/23/20	705.18	141.73 W	0.00	106 of 463 - Sale <sup>25</sup>
	0.009	14.07	07/23/20	15.75	1.68 W	0.00	107 of 463 - Sale <sup>25</sup>
	0.011	17.44	07/23/20	20.68	3.24 W	0.00	108 of 463 - Sale <sup>25</sup>
	0.016	25.58	07/23/20	28.44	2.86 W	0.00	109 of 463 - Sale <sup>25</sup>
	0.041	65.94	07/23/20	75.11	9.17 W	0.00	110 of 463 - Sale <sup>25</sup>
	0.047	75.48	07/23/20	81.12	5.64 W	0.00	111 of 463 - Sale <sup>25</sup>
	0.052	83.47	07/23/20	93.95	10.48 W	0.00	112 of 463 - Sale <sup>25</sup>
	0.062	98.55	07/23/20	116.80	18.25 W	0.00	113 of 463 - Sale <sup>25</sup>
	0.071	112.58	07/23/20	121.52	8.94 W	0.00	114 of 463 - Sale <sup>25</sup>
	0.076	120.93	07/23/20	124.28	3.35 W	0.00	115 of 463 - Sale <sup>25</sup>
	0.088	139.30	07/23/20	154.89	15.59 W	0.00	116 of 463 - Sale <sup>25</sup>
	0.094	149.40	07/23/20	153.58	4.18 W	0.00	117 of 463 - Sale <sup>25</sup>
	0.107	169.56	07/23/20	174.30	4.74 W	0.00	118 of 463 - Sale <sup>25</sup>
	0.114	181.00	07/23/20	193.80	12.80 W	0.00	119 of 463 - Sale <sup>25</sup>
	0.133	211.96	07/23/20	227.75	15.79 W	0.00	120 of 463 - Sale <sup>25</sup>
	0.163	258.55	07/23/20	276.56	18.01 W	0.00	121 of 463 - Sale <sup>25</sup>
	0.166	264.72	07/23/20	285.54	20.82 W	0.00	122 of 463 - Sale <sup>25</sup>
	0.252	401.17	07/23/20	429.04	27.87 W	0.00	123 of 463 - Sale <sup>25</sup>
	0.271	430.47	07/23/20	440.41	9.94 W	0.00	124 of 463 - Sale <sup>25</sup>
	0.485	771.03	07/23/20	878.18	107.15 W	0.00	125 of 463 - Sale <sup>25</sup>
	0.000	0.08	07/23/20	0.09	0.01 W	0.00	126 of 463 - Sale <sup>25</sup>
	0.001	0.90	07/23/20	0.96	0.06 W	0.00	127 of 463 - Sale <sup>25</sup>
	0.001	0.98	07/23/20	1.05	0.07 W	0.00	128 of 463 - Sale <sup>25</sup>
	0.001	1.02	07/23/20	1.18	0.16 W	0.00	129 of 463 - Sale <sup>25</sup>
	0.001	1.91	07/23/20	2.06	0.15 W	0.00	130 of 463 - Sale <sup>25</sup>
	0.001	1.96	07/23/20	2.12	0.16 W	0.00	131 of 463 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.009	13.71	07/23/20	14.88	1.17 W	0.00	132 of 463 - Sale <sup>25</sup>
	0.018	28.05	07/23/20	30.04	1.99 W	0.00	133 of 463 - Sale <sup>25</sup>
	0.044	69.68	07/23/20	78.21	8.53 W	0.00	134 of 463 - Sale <sup>25</sup>
	0.044	69.82	07/23/20	74.72	4.90 W	0.00	135 of 463 - Sale <sup>25</sup>
	0.068	108.82	07/23/20	115.60	6.78 W	0.00	136 of 463 - Sale <sup>25</sup>
	0.072	115.20	07/23/20	132.96	17.76 W	0.00	137 of 463 - Sale <sup>25</sup>
	0.074	117.59	07/23/20	127.35	9.76 W	0.00	138 of 463 - Sale <sup>25</sup>
	0.078	124.78	07/23/20	138.54	13.76 W	0.00	139 of 463 - Sale <sup>25</sup>
	0.082	131.06	07/23/20	151.37	20.31 W	0.00	140 of 463 - Sale <sup>25</sup>
	0.083	132.20	07/23/20	145.20	13.00 W	0.00	141 of 463 - Sale <sup>25</sup>
	0.090	142.85	07/23/20	153.74	10.89 W	0.00	142 of 463 - Sale <sup>25</sup>
	0.117	186.14	07/23/20	199.16	13.02 W	0.00	143 of 463 - Sale <sup>25</sup>
	0.132	210.45	07/23/20	222.00	11.55 W	0.00	144 of 463 - Sale <sup>25</sup>
	0.166	263.51	07/23/20	285.59	22.08 W	0.00	145 of 463 - Sale <sup>25</sup>
	0.475	755.24	07/23/20	808.36	53.12 W	0.00	146 of 463 - Sale <sup>25</sup>
	0.000	0.16	07/23/20	0.19	0.03 W	0.00	147 of 463 - Sale <sup>25</sup>
	0.002	2.83	07/23/20	3.12	0.29 W	0.00	148 of 463 - Sale <sup>25</sup>
	0.002	3.68	07/23/20	4.13	0.45 W	0.00	149 of 463 - Sale <sup>25</sup>
	0.011	17.10	07/23/20	19.26	2.16 W	0.00	150 of 463 - Sale <sup>25</sup>
	0.018	27.86	07/23/20	31.03	3.17 W	0.00	151 of 463 - Sale <sup>25</sup>
	0.019	30.66	07/23/20	34.00	3.34 W	0.00	152 of 463 - Sale <sup>25</sup>
	0.040	63.65	07/23/20	69.58	5.93 W	0.00	153 of 463 - Sale <sup>25</sup>
	0.041	64.42	07/23/20	72.15	7.73 W	0.00	154 of 463 - Sale <sup>25</sup>
	0.042	67.06	07/23/20	73.01	5.95 W	0.00	155 of 463 - Sale <sup>25</sup>
	0.044	69.86	07/23/20	78.35	8.49 W	0.00	156 of 463 - Sale <sup>25</sup>
	0.046	73.58	07/23/20	84.56	10.98 W	0.00	157 of 463 - Sale <sup>25</sup>
	0.050	79.79	07/23/20	88.46	8.67 W	0.00	158 of 463 - Sale <sup>25</sup>
	0.067	106.82	07/23/20	120.28	13.46 W	0.00	159 of 463 - Sale <sup>25</sup>
	0.072	115.20	07/23/20	125.55	10.35 W	0.00	160 of 463 - Sale <sup>25</sup>
	0.080	127.69	07/23/20	143.15	15.46 W	0.00	161 of 463 - Sale <sup>25</sup>
	0.118	186.99	07/23/20	204.40	17.41 W	0.00	162 of 463 - Sale <sup>25</sup>
	0.127	201.64	07/23/20	225.87	24.23 W	0.00	163 of 463 - Sale <sup>25</sup>
	0.142	225.66	07/23/20	249.12	23.46 W	0.00	164 of 463 - Sale <sup>25</sup>
	0.155	245.99	07/23/20	276.02	30.03 W	0.00	165 of 463 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.241	384.03	07/23/20	419.96	35.93 W	0.00	166 of 463 - Sale <sup>25</sup>
	0.292	463.99	07/23/20	513.68	49.69 W	0.00	167 of 463 - Sale <sup>25</sup>
	0.374	594.73	07/23/20	653.94	59.21 W	0.00	168 of 463 - Sale <sup>25</sup>
	3.000	4,771.27	07/23/20	4,829.48	58.21 W	0.00	169 of 463 - Sale <sup>25</sup>
	2.851	4,534.14	07/23/20	4,588.67	54.53 W	0.00	170 of 463 - Sale <sup>25</sup>
	0.002	2.53	07/23/20	2.72	0.19 W	0.00	171 of 463 - Sale <sup>25</sup>
	0.003	4.87	07/23/20	5.77	0.90 W	0.00	172 of 463 - Sale <sup>25</sup>
	0.004	5.62	07/23/20	6.72	1.10 W	0.00	173 of 463 - Sale <sup>25</sup>
	0.006	9.62	07/23/20	11.49	1.87 W	0.00	174 of 463 - Sale <sup>25</sup>
	0.032	49.57	07/23/20	59.49	9.92 W	0.00	175 of 463 - Sale <sup>25</sup>
	0.046	71.55	07/23/20	86.01	14.46 W	0.00	176 of 463 - Sale <sup>25</sup>
	0.060	93.42	07/23/20	100.11	6.69 W	0.00	177 of 463 - Sale <sup>25</sup>
	0.064	100.30	07/23/20	108.44	8.14 W	0.00	178 of 463 - Sale <sup>25</sup>
	0.145	226.71	07/23/20	242.63	15.92 W	0.00	179 of 463 - Sale <sup>25</sup>
	0.286	448.58	07/23/20	538.79	90.21 W	0.00	180 of 463 - Sale <sup>25</sup>
	0.001	1.01	07/23/20	1.30	0.29 W	0.00	181 of 463 - Sale <sup>25</sup>
	0.001	1.19	07/23/20	1.41	0.22 W	0.00	182 of 463 - Sale <sup>25</sup>
	0.003	4.77	07/23/20	5.64	0.87 W	0.00	183 of 463 - Sale <sup>25</sup>
	0.005	7.33	07/23/20	9.17	1.84 W	0.00	184 of 463 - Sale <sup>25</sup>
	0.005	7.37	07/23/20	8.53	1.16 W	0.00	185 of 463 - Sale <sup>25</sup>
	0.010	15.31	07/23/20	18.15	2.84 W	0.00	186 of 463 - Sale <sup>25</sup>
	0.013	20.38	07/23/20	25.52	5.14 W	0.00	187 of 463 - Sale <sup>25</sup>
	0.017	26.27	07/23/20	30.40	4.13 W	0.00	188 of 463 - Sale <sup>25</sup>
	0.027	42.83	07/23/20	50.74	7.91 W	0.00	189 of 463 - Sale <sup>25</sup>
	0.028	43.21	07/23/20	50.04	6.83 W	0.00	190 of 463 - Sale <sup>25</sup>
	0.066	102.89	07/23/20	119.80	16.91 W	0.00	191 of 463 - Sale <sup>25</sup>
	0.069	108.99	07/23/20	138.58	29.59 W	0.00	192 of 463 - Sale <sup>25</sup>
	0.089	140.19	07/23/20	151.39	11.20 W	0.00	193 of 463 - Sale <sup>25</sup>
	0.101	158.41	07/23/20	194.28	35.87 W	0.00	194 of 463 - Sale <sup>25</sup>
	0.123	192.80	07/23/20	222.47	29.67 W	0.00	195 of 463 - Sale <sup>25</sup>
	0.123	193.54	07/23/20	232.23	38.69 W	0.00	196 of 463 - Sale <sup>25</sup>
	0.132	206.24	07/23/20	243.95	37.71 W	0.00	197 of 463 - Sale <sup>25</sup>
	0.165	258.80	07/23/20	321.32	62.52 W	0.00	198 of 463 - Sale <sup>25</sup>
	0.177	277.65	07/23/20	353.07	75.42 W	0.00	199 of 463 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.201		314.71	07/23/20	386.10	71.39 W	0.00	200 of 463 - Sale <sup>25</sup>
0.002		3.02	07/23/20	3.86	0.84 W	0.00	201 of 463 - Sale <sup>25</sup>
0.021		32.42	07/23/20	41.77	9.35 W	0.00	202 of 463 - Sale <sup>25</sup>
0.058		89.39	07/23/20	104.79	15.40 W	0.00	203 of 463 - Sale <sup>25</sup>
0.107		165.01	07/23/20	180.41	15.40 W	0.00	204 of 463 - Sale <sup>25</sup>
0.115		177.25	07/23/20	207.73	30.48 W	0.00	205 of 463 - Sale <sup>25</sup>
0.117		180.59	07/23/20	229.70	49.11 W	0.00	206 of 463 - Sale <sup>25</sup>
0.131		202.26	07/23/20	257.38	55.12 W	0.00	207 of 463 - Sale <sup>25</sup>
0.135		208.63	07/23/20	269.21	60.58 W	0.00	208 of 463 - Sale <sup>25</sup>
0.145		222.91	07/23/20	267.27	44.36 W	0.00	209 of 463 - Sale <sup>25</sup>
0.166		256.32	07/23/20	330.80	74.48 W	0.00	210 of 463 - Sale <sup>25</sup>
0.354		546.29	07/23/20	694.93	148.64 W	0.00	211 of 463 - Sale <sup>25</sup>
0.009		13.65	07/23/20	15.50	1.85 W	0.00	212 of 463 - Sale <sup>25</sup>
0.011		16.91	07/23/20	20.37	3.46 W	0.00	213 of 463 - Sale <sup>25</sup>
0.016		24.80	07/23/20	27.98	3.18 W	0.00	214 of 463 - Sale <sup>25</sup>
0.041		63.93	07/23/20	73.91	9.98 W	0.00	215 of 463 - Sale <sup>25</sup>
0.047		73.18	07/23/20	79.75	6.57 W	0.00	216 of 463 - Sale <sup>25</sup>
0.052		80.93	07/23/20	92.43	11.50 W	0.00	217 of 463 - Sale <sup>25</sup>
0.062		95.55	07/23/20	115.01	19.46 W	0.00	218 of 463 - Sale <sup>25</sup>
0.071		109.15	07/23/20	119.47	10.32 W	0.00	219 of 463 - Sale <sup>25</sup>
0.076		117.25	07/23/20	122.08	4.83 W	0.00	220 of 463 - Sale <sup>25</sup>
0.088		135.06	07/23/20	152.36	17.30 W	0.00	221 of 463 - Sale <sup>25</sup>
0.094		144.85	07/23/20	150.86	6.01 W	0.00	222 of 463 - Sale <sup>25</sup>
0.107		164.39	07/23/20	171.21	6.82 W	0.00	223 of 463 - Sale <sup>25</sup>
0.114		175.48	07/23/20	190.50	15.02 W	0.00	224 of 463 - Sale <sup>25</sup>
0.133		205.51	07/23/20	223.90	18.39 W	0.00	225 of 463 - Sale <sup>25</sup>
0.163		250.68	07/23/20	271.86	21.18 W	0.00	226 of 463 - Sale <sup>25</sup>
0.166		256.66	07/23/20	280.72	24.06 W	0.00	227 of 463 - Sale <sup>25</sup>
0.252		388.95	07/23/20	421.74	32.79 W	0.00	228 of 463 - Sale <sup>25</sup>
0.271		417.36	07/23/20	432.58	15.22 W	0.00	229 of 463 - Sale <sup>25</sup>
0.485		747.55	07/23/20	864.16	116.61 W	0.00	230 of 463 - Sale <sup>25</sup>
0.000		0.08	07/23/20	0.09	0.01 W	0.00	231 of 463 - Sale <sup>25</sup>
0.001		0.88	07/23/20	0.95	0.07 W	0.00	232 of 463 - Sale <sup>25</sup>
0.001		0.95	07/23/20	1.03	0.08 W	0.00	233 of 463 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.001	0.99	07/23/20	1.17	0.18 W	0.00	234 of 463 - Sale <sup>25</sup>
	0.001	1.90	07/23/20	2.09	0.19 W	0.00	235 of 463 - Sale <sup>25</sup>
	0.009	13.29	07/23/20	14.63	1.34 W	0.00	236 of 463 - Sale <sup>25</sup>
	0.018	27.20	07/23/20	29.53	2.33 W	0.00	237 of 463 - Sale <sup>25</sup>
	0.044	67.56	07/23/20	76.95	9.39 W	0.00	238 of 463 - Sale <sup>25</sup>
	0.044	67.69	07/23/20	73.45	5.76 W	0.00	239 of 463 - Sale <sup>25</sup>
	0.057	87.87	07/23/20	93.95	6.08 W	0.00	240 of 463 - Sale <sup>25</sup>
	0.068	105.50	07/23/20	113.62	8.12 W	0.00	241 of 463 - Sale <sup>25</sup>
	0.072	111.69	07/23/20	130.86	19.17 W	0.00	242 of 463 - Sale <sup>25</sup>
	0.074	114.01	07/23/20	125.21	11.20 W	0.00	243 of 463 - Sale <sup>25</sup>
	0.078	120.98	07/23/20	136.27	15.29 W	0.00	244 of 463 - Sale <sup>25</sup>
	0.082	127.06	07/23/20	148.98	21.92 W	0.00	245 of 463 - Sale <sup>25</sup>
	0.083	128.18	07/23/20	142.80	14.62 W	0.00	246 of 463 - Sale <sup>25</sup>
	0.117	180.47	07/23/20	195.77	15.30 W	0.00	247 of 463 - Sale <sup>25</sup>
	0.166	255.48	07/23/20	280.79	25.31 W	0.00	248 of 463 - Sale <sup>25</sup>
	0.475	732.24	07/23/20	794.61	62.37 W	0.00	249 of 463 - Sale <sup>25</sup>
	0.001	1.85	07/23/20	2.03	0.18 W	0.00	250 of 463 - Sale <sup>25</sup>
	0.075	116.17	07/23/20	124.49	8.32 W	0.00	251 of 463 - Sale <sup>25</sup>
	0.090	138.50	07/23/20	151.46	12.96 W	0.00	252 of 463 - Sale <sup>25</sup>
	0.000	0.16	07/23/20	0.19	0.03 W	0.00	253 of 463 - Sale <sup>25</sup>
	0.002	2.74	07/23/20	3.07	0.33 W	0.00	254 of 463 - Sale <sup>25</sup>
	0.002	3.57	07/23/20	4.07	0.50 W	0.00	255 of 463 - Sale <sup>25</sup>
	0.011	16.58	07/23/20	18.99	2.41 W	0.00	256 of 463 - Sale <sup>25</sup>
	0.018	27.01	07/23/20	30.59	3.58 W	0.00	257 of 463 - Sale <sup>25</sup>
	0.019	29.73	07/23/20	33.52	3.79 W	0.00	258 of 463 - Sale <sup>25</sup>
	0.040	61.71	07/23/20	68.56	6.85 W	0.00	259 of 463 - Sale <sup>25</sup>
	0.041	62.46	07/23/20	71.12	8.66 W	0.00	260 of 463 - Sale <sup>25</sup>
	0.042	65.02	07/23/20	71.94	6.92 W	0.00	261 of 463 - Sale <sup>25</sup>
	0.044	67.74	07/23/20	77.24	9.50 W	0.00	262 of 463 - Sale <sup>25</sup>
	0.046	71.34	07/23/20	83.38	12.04 W	0.00	263 of 463 - Sale <sup>25</sup>
	0.050	77.36	07/23/20	87.18	9.82 W	0.00	264 of 463 - Sale <sup>25</sup>
	0.067	103.57	07/23/20	118.58	15.01 W	0.00	265 of 463 - Sale <sup>25</sup>
	0.072	111.69	07/23/20	123.71	12.02 W	0.00	266 of 463 - Sale <sup>25</sup>
	0.080	123.80	07/23/20	141.11	17.31 W	0.00	267 of 463 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.118	181.29	07/23/20	201.41	20.12 W	0.00	268 of 463 - Sale <sup>25</sup>
	0.127	195.50	07/23/20	222.64	27.14 W	0.00	269 of 463 - Sale <sup>25</sup>
	0.142	218.79	07/23/20	245.52	26.73 W	0.00	270 of 463 - Sale <sup>25</sup>
	0.155	238.50	07/23/20	272.09	33.59 W	0.00	271 of 463 - Sale <sup>25</sup>
	0.241	372.33	07/23/20	413.82	41.49 W	0.00	272 of 463 - Sale <sup>25</sup>
	0.292	449.86	07/23/20	506.27	56.41 W	0.00	273 of 463 - Sale <sup>25</sup>
	0.374	576.62	07/23/20	644.44	67.82 W	0.00	274 of 463 - Sale <sup>25</sup>
	2.851	4,396.07	07/23/20	4,517.02	120.95 W	0.00	275 of 463 - Sale <sup>25</sup>
	0.002	2.47	07/23/20	2.68	0.21 W	0.00	276 of 463 - Sale <sup>25</sup>
	0.003	4.76	07/23/20	5.69	0.93 W	0.00	277 of 463 - Sale <sup>25</sup>
	0.004	5.50	07/23/20	6.63	1.13 W	0.00	278 of 463 - Sale <sup>25</sup>
	0.006	9.40	07/23/20	11.32	1.92 W	0.00	279 of 463 - Sale <sup>25</sup>
	0.032	48.47	07/23/20	58.66	10.19 W	0.00	280 of 463 - Sale <sup>25</sup>
	0.046	69.96	07/23/20	84.81	14.85 W	0.00	281 of 463 - Sale <sup>25</sup>
	0.060	91.34	07/23/20	98.54	7.20 W	0.00	282 of 463 - Sale <sup>25</sup>
	0.064	98.06	07/23/20	106.75	8.69 W	0.00	283 of 463 - Sale <sup>25</sup>
	0.145	221.66	07/23/20	238.81	17.15 W	0.00	284 of 463 - Sale <sup>25</sup>
	0.286	438.57	07/23/20	531.23	92.66 W	0.00	285 of 463 - Sale <sup>25</sup>
	0.001	0.99	07/23/20	1.28	0.29 W	0.00	286 of 463 - Sale <sup>25</sup>
	0.001	1.16	07/23/20	1.39	0.23 W	0.00	287 of 463 - Sale <sup>25</sup>
	0.002	3.01	07/23/20	3.86	0.85 W	0.00	288 of 463 - Sale <sup>25</sup>
	0.003	4.66	07/23/20	5.56	0.90 W	0.00	289 of 463 - Sale <sup>25</sup>
	0.005	7.17	07/23/20	9.05	1.88 W	0.00	290 of 463 - Sale <sup>25</sup>
	0.005	7.21	07/23/20	8.41	1.20 W	0.00	291 of 463 - Sale <sup>25</sup>
	0.010	14.96	07/23/20	17.89	2.93 W	0.00	292 of 463 - Sale <sup>25</sup>
	0.013	19.92	07/23/20	25.18	5.26 W	0.00	293 of 463 - Sale <sup>25</sup>
	0.017	25.68	07/23/20	29.95	4.27 W	0.00	294 of 463 - Sale <sup>25</sup>
	0.021	32.24	07/23/20	41.77	9.53 W	0.00	295 of 463 - Sale <sup>25</sup>
	0.027	41.88	07/23/20	50.02	8.14 W	0.00	296 of 463 - Sale <sup>25</sup>
	0.028	42.25	07/23/20	49.31	7.06 W	0.00	297 of 463 - Sale <sup>25</sup>
	0.058	88.89	07/23/20	104.79	15.90 W	0.00	298 of 463 - Sale <sup>25</sup>
	0.066	100.60	07/23/20	118.07	17.47 W	0.00	299 of 463 - Sale <sup>25</sup>
	0.069	106.56	07/23/20	136.74	30.18 W	0.00	300 of 463 - Sale <sup>25</sup>
	0.089	137.06	07/23/20	149.02	11.96 W	0.00	301 of 463 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.101		154.87	07/23/20	191.61	36.74 W	0.00	302 of 463 - Sale <sup>25</sup>
0.107		164.09	07/23/20	180.40	16.31 W	0.00	303 of 463 - Sale <sup>25</sup>
0.115		176.25	07/23/20	207.72	31.47 W	0.00	304 of 463 - Sale <sup>25</sup>
0.117		179.57	07/23/20	229.69	50.12 W	0.00	305 of 463 - Sale <sup>25</sup>
0.123		188.50	07/23/20	219.22	30.72 W	0.00	306 of 463 - Sale <sup>25</sup>
0.123		189.22	07/23/20	228.97	39.75 W	0.00	307 of 463 - Sale <sup>25</sup>
0.131		201.12	07/23/20	257.37	56.25 W	0.00	308 of 463 - Sale <sup>25</sup>
0.132		201.63	07/23/20	240.47	38.84 W	0.00	309 of 463 - Sale <sup>25</sup>
0.135		207.46	07/23/20	269.20	61.74 W	0.00	310 of 463 - Sale <sup>25</sup>
0.145		221.66	07/23/20	267.25	45.59 W	0.00	311 of 463 - Sale <sup>25</sup>
0.165		253.03	07/23/20	316.96	63.93 W	0.00	312 of 463 - Sale <sup>25</sup>
0.166		254.88	07/23/20	330.78	75.90 W	0.00	313 of 463 - Sale <sup>25</sup>
0.177		271.46	07/23/20	348.40	76.94 W	0.00	314 of 463 - Sale <sup>25</sup>
0.201		307.69	07/23/20	380.80	73.11 W	0.00	315 of 463 - Sale <sup>25</sup>
0.354		543.22	07/23/20	694.89	151.67 W	0.00	316 of 463 - Sale <sup>25</sup>
0.009		13.57	07/23/20	15.49	1.92 W	0.00	317 of 463 - Sale <sup>25</sup>
0.011		16.82	07/23/20	20.37	3.55 W	0.00	318 of 463 - Sale <sup>25</sup>
0.016		24.66	07/23/20	27.98	3.32 W	0.00	319 of 463 - Sale <sup>25</sup>
0.041		63.57	07/23/20	73.91	10.34 W	0.00	320 of 463 - Sale <sup>25</sup>
0.047		72.77	07/23/20	79.75	6.98 W	0.00	321 of 463 - Sale <sup>25</sup>
0.052		80.48	07/23/20	92.43	11.95 W	0.00	322 of 463 - Sale <sup>25</sup>
0.062		95.02	07/23/20	115.01	19.99 W	0.00	323 of 463 - Sale <sup>25</sup>
0.071		108.54	07/23/20	119.46	10.92 W	0.00	324 of 463 - Sale <sup>25</sup>
0.076		116.59	07/23/20	122.07	5.48 W	0.00	325 of 463 - Sale <sup>25</sup>
0.088		134.30	07/23/20	152.35	18.05 W	0.00	326 of 463 - Sale <sup>25</sup>
0.094		144.04	07/23/20	150.85	6.81 W	0.00	327 of 463 - Sale <sup>25</sup>
0.107		163.47	07/23/20	171.20	7.73 W	0.00	328 of 463 - Sale <sup>25</sup>
0.114		174.50	07/23/20	190.49	15.99 W	0.00	329 of 463 - Sale <sup>25</sup>
0.133		204.35	07/23/20	223.88	19.53 W	0.00	330 of 463 - Sale <sup>25</sup>
0.163		249.27	07/23/20	271.85	22.58 W	0.00	331 of 463 - Sale <sup>25</sup>
0.166		255.22	07/23/20	280.70	25.48 W	0.00	332 of 463 - Sale <sup>25</sup>
0.252		386.77	07/23/20	421.71	34.94 W	0.00	333 of 463 - Sale <sup>25</sup>
0.271		415.02	07/23/20	432.55	17.53 W	0.00	334 of 463 - Sale <sup>25</sup>
0.485		743.36	07/23/20	864.12	120.76 W	0.00	335 of 463 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.000	0.08	07/23/20	0.09	0.01 W	0.00	336 of 463 - Sale <sup>25</sup>
	0.001	0.87	07/23/20	0.95	0.08 W	0.00	337 of 463 - Sale <sup>25</sup>
	0.001	0.94	07/23/20	1.03	0.09 W	0.00	338 of 463 - Sale <sup>25</sup>
	0.001	0.99	07/23/20	1.17	0.18 W	0.00	339 of 463 - Sale <sup>25</sup>
	0.001	1.89	07/23/20	2.09	0.20 W	0.00	340 of 463 - Sale <sup>25</sup>
	0.009	13.22	07/23/20	14.63	1.41 W	0.00	341 of 463 - Sale <sup>25</sup>
	0.018	27.04	07/23/20	29.52	2.48 W	0.00	342 of 463 - Sale <sup>25</sup>
	0.044	67.18	07/23/20	76.95	9.77 W	0.00	343 of 463 - Sale <sup>25</sup>
	0.044	67.31	07/23/20	73.45	6.14 W	0.00	344 of 463 - Sale <sup>25</sup>
	0.057	87.38	07/23/20	93.94	6.56 W	0.00	345 of 463 - Sale <sup>25</sup>
	0.068	104.91	07/23/20	113.62	8.71 W	0.00	346 of 463 - Sale <sup>25</sup>
	0.072	111.06	07/23/20	130.85	19.79 W	0.00	347 of 463 - Sale <sup>25</sup>
	0.074	113.37	07/23/20	125.20	11.83 W	0.00	348 of 463 - Sale <sup>25</sup>
	0.078	120.30	07/23/20	136.27	15.97 W	0.00	349 of 463 - Sale <sup>25</sup>
	0.082	126.35	07/23/20	148.98	22.63 W	0.00	350 of 463 - Sale <sup>25</sup>
	0.083	127.46	07/23/20	142.79	15.33 W	0.00	351 of 463 - Sale <sup>25</sup>
	0.117	179.46	07/23/20	195.76	16.30 W	0.00	352 of 463 - Sale <sup>25</sup>
	0.166	254.05	07/23/20	280.78	26.73 W	0.00	353 of 463 - Sale <sup>25</sup>
	0.475	728.13	07/23/20	794.56	66.43 W	0.00	354 of 463 - Sale <sup>25</sup>
	0.149	228.62	07/23/20	232.78	4.16 W	0.00	355 of 463 - Sale <sup>25</sup>
	2.851	4,371.41	07/23/20	4,450.34	78.93 W	0.00	356 of 463 - Sale <sup>25</sup>
	0.002	2.44	07/23/20	2.67	0.23 W	0.00	357 of 463 - Sale <sup>25</sup>
	0.003	4.69	07/23/20	5.66	0.97 W	0.00	358 of 463 - Sale <sup>25</sup>
	0.004	5.42	07/23/20	6.59	1.17 W	0.00	359 of 463 - Sale <sup>25</sup>
	0.006	9.27	07/23/20	11.27	2.00 W	0.00	360 of 463 - Sale <sup>25</sup>
	0.032	47.78	07/23/20	58.36	10.58 W	0.00	361 of 463 - Sale <sup>25</sup>
	0.046	68.96	07/23/20	84.38	15.42 W	0.00	362 of 463 - Sale <sup>25</sup>
	0.060	90.04	07/23/20	97.99	7.95 W	0.00	363 of 463 - Sale <sup>25</sup>
	0.064	96.66	07/23/20	106.16	9.50 W	0.00	364 of 463 - Sale <sup>25</sup>
	0.145	218.49	07/23/20	237.47	18.98 W	0.00	365 of 463 - Sale <sup>25</sup>
	0.286	432.31	07/23/20	528.58	96.27 W	0.00	366 of 463 - Sale <sup>25</sup>
	0.001	0.97	07/23/20	1.27	0.30 W	0.00	367 of 463 - Sale <sup>25</sup>
	0.001	1.15	07/23/20	1.39	0.24 W	0.00	368 of 463 - Sale <sup>25</sup>
	0.002	2.96	07/23/20	3.84	0.88 W	0.00	369 of 463 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.003	4.59	07/23/20	5.53	0.94 W	0.00	370 of 463 - Sale <sup>25</sup>
	0.005	7.07	07/23/20	9.01	1.94 W	0.00	371 of 463 - Sale <sup>25</sup>
	0.005	7.11	07/23/20	8.36	1.25 W	0.00	372 of 463 - Sale <sup>25</sup>
	0.010	14.75	07/23/20	17.80	3.05 W	0.00	373 of 463 - Sale <sup>25</sup>
	0.013	19.64	07/23/20	25.06	5.42 W	0.00	374 of 463 - Sale <sup>25</sup>
	0.017	25.31	07/23/20	29.80	4.49 W	0.00	375 of 463 - Sale <sup>25</sup>
	0.021	31.78	07/23/20	41.58	9.80 W	0.00	376 of 463 - Sale <sup>25</sup>
	0.027	41.28	07/23/20	49.76	8.48 W	0.00	377 of 463 - Sale <sup>25</sup>
	0.028	41.64	07/23/20	49.05	7.41 W	0.00	378 of 463 - Sale <sup>25</sup>
	0.058	87.62	07/23/20	104.25	16.63 W	0.00	379 of 463 - Sale <sup>25</sup>
	0.066	99.16	07/23/20	117.46	18.30 W	0.00	380 of 463 - Sale <sup>25</sup>
	0.069	105.04	07/23/20	136.10	31.06 W	0.00	381 of 463 - Sale <sup>25</sup>
	0.089	135.10	07/23/20	148.19	13.09 W	0.00	382 of 463 - Sale <sup>25</sup>
	0.101	152.66	07/23/20	190.68	38.02 W	0.00	383 of 463 - Sale <sup>25</sup>
	0.107	161.75	07/23/20	179.41	17.66 W	0.00	384 of 463 - Sale <sup>25</sup>
	0.115	173.74	07/23/20	206.66	32.92 W	0.00	385 of 463 - Sale <sup>25</sup>
	0.117	177.01	07/23/20	228.61	51.60 W	0.00	386 of 463 - Sale <sup>25</sup>
	0.123	185.81	07/23/20	218.08	32.27 W	0.00	387 of 463 - Sale <sup>25</sup>
	0.123	186.52	07/23/20	227.83	41.31 W	0.00	388 of 463 - Sale <sup>25</sup>
	0.131	198.25	07/23/20	256.16	57.91 W	0.00	389 of 463 - Sale <sup>25</sup>
	0.132	198.76	07/23/20	239.26	40.50 W	0.00	390 of 463 - Sale <sup>25</sup>
	0.135	204.50	07/23/20	267.95	63.45 W	0.00	391 of 463 - Sale <sup>25</sup>
	0.145	218.49	07/23/20	265.91	47.42 W	0.00	392 of 463 - Sale <sup>25</sup>
	0.165	249.42	07/23/20	315.43	66.01 W	0.00	393 of 463 - Sale <sup>25</sup>
	0.166	251.24	07/23/20	329.24	78.00 W	0.00	394 of 463 - Sale <sup>25</sup>
	0.177	267.59	07/23/20	346.77	79.18 W	0.00	395 of 463 - Sale <sup>25</sup>
	0.201	303.30	07/23/20	378.95	75.65 W	0.00	396 of 463 - Sale <sup>25</sup>
	0.354	535.47	07/23/20	691.62	156.15 W	0.00	397 of 463 - Sale <sup>25</sup>
	0.009	13.37	07/23/20	15.41	2.04 W	0.00	398 of 463 - Sale <sup>25</sup>
	0.011	16.58	07/23/20	20.27	3.69 W	0.00	399 of 463 - Sale <sup>25</sup>
	0.041	62.66	07/23/20	73.53	10.87 W	0.00	400 of 463 - Sale <sup>25</sup>
	0.047	71.73	07/23/20	79.31	7.58 W	0.00	401 of 463 - Sale <sup>25</sup>
	0.052	79.33	07/23/20	91.94	12.61 W	0.00	402 of 463 - Sale <sup>25</sup>
	0.062	93.66	07/23/20	114.43	20.77 W	0.00	403 of 463 - Sale <sup>25</sup>

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(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.076		114.92	07/23/20	121.36	6.44 W	0.00	404 of 463 - Sale <sup>25</sup>
0.094		141.32	07/23/20	155.45	14.13 W	0.00	405 of 463 - Sale <sup>25</sup>
0.094		141.98	07/23/20	149.98	8.00 W	0.00	406 of 463 - Sale <sup>25</sup>
0.107		161.14	07/23/20	170.21	9.07 W	0.00	407 of 463 - Sale <sup>25</sup>
0.133		201.44	07/23/20	222.65	21.21 W	0.00	408 of 463 - Sale <sup>25</sup>
0.166		251.58	07/23/20	279.16	27.58 W	0.00	409 of 463 - Sale <sup>25</sup>
0.271		409.10	07/23/20	430.04	20.94 W	0.00	410 of 463 - Sale <sup>25</sup>
0.485		732.75	07/23/20	859.63	126.88 W	0.00	411 of 463 - Sale <sup>25</sup>
0.001		1.81	07/23/20	2.01	0.20 W	0.00	412 of 463 - Sale <sup>25</sup>
0.075		113.87	07/23/20	123.14	9.27 W	0.00	413 of 463 - Sale <sup>25</sup>
0.090		135.76	07/23/20	149.85	14.09 W	0.00	414 of 463 - Sale <sup>25</sup>
0.000		0.16	07/23/20	0.19	0.03 W	0.00	415 of 463 - Sale <sup>25</sup>
0.002		2.69	07/23/20	3.04	0.35 W	0.00	416 of 463 - Sale <sup>25</sup>
0.002		3.50	07/23/20	4.03	0.53 W	0.00	417 of 463 - Sale <sup>25</sup>
0.011		16.25	07/23/20	18.80	2.55 W	0.00	418 of 463 - Sale <sup>25</sup>
0.018		26.48	07/23/20	30.28	3.80 W	0.00	419 of 463 - Sale <sup>25</sup>
0.019		29.14	07/23/20	33.18	4.04 W	0.00	420 of 463 - Sale <sup>25</sup>
0.040		60.49	07/23/20	67.85	7.36 W	0.00	421 of 463 - Sale <sup>25</sup>
0.041		61.22	07/23/20	70.39	9.17 W	0.00	422 of 463 - Sale <sup>25</sup>
0.042		63.73	07/23/20	71.18	7.45 W	0.00	423 of 463 - Sale <sup>25</sup>
0.044		66.39	07/23/20	76.45	10.06 W	0.00	424 of 463 - Sale <sup>25</sup>
0.046		69.92	07/23/20	82.55	12.63 W	0.00	425 of 463 - Sale <sup>25</sup>
0.050		75.83	07/23/20	86.28	10.45 W	0.00	426 of 463 - Sale <sup>25</sup>
0.067		101.52	07/23/20	117.38	15.86 W	0.00	427 of 463 - Sale <sup>25</sup>
0.072		109.48	07/23/20	122.41	12.93 W	0.00	428 of 463 - Sale <sup>25</sup>
0.080		121.35	07/23/20	139.67	18.32 W	0.00	429 of 463 - Sale <sup>25</sup>
0.118		177.70	07/23/20	199.31	21.61 W	0.00	430 of 463 - Sale <sup>25</sup>
0.127		191.63	07/23/20	220.37	28.74 W	0.00	431 of 463 - Sale <sup>25</sup>
0.142		214.46	07/23/20	242.98	28.52 W	0.00	432 of 463 - Sale <sup>25</sup>
0.155		233.78	07/23/20	269.32	35.54 W	0.00	433 of 463 - Sale <sup>25</sup>
0.241		364.96	07/23/20	409.50	44.54 W	0.00	434 of 463 - Sale <sup>25</sup>
0.292		440.96	07/23/20	501.05	60.09 W	0.00	435 of 463 - Sale <sup>25</sup>
0.374		565.20	07/23/20	637.74	72.54 W	0.00	436 of 463 - Sale <sup>25</sup>
2.851		4,309.04	07/23/20	4,465.98	156.94 W	0.00	437 of 463 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.016	24.31	07/23/20	28.02	3.71 W	0.00	438 of 463 - Sale <sup>25</sup>
	0.071	106.99	07/23/20	119.60	12.61 W	0.00	439 of 463 - Sale <sup>25</sup>
	0.088	132.38	07/23/20	152.53	20.15 W	0.00	440 of 463 - Sale <sup>25</sup>
	0.114	172.01	07/23/20	190.72	18.71 W	0.00	441 of 463 - Sale <sup>25</sup>
	0.159	239.93	07/23/20	265.71	25.78 W	0.00	442 of 463 - Sale <sup>25</sup>
	0.163	245.72	07/23/20	272.19	26.47 W	0.00	443 of 463 - Sale <sup>25</sup>
	0.018	26.66	07/23/20	29.56	2.90 W	0.00	444 of 463 - Sale <sup>25</sup>
	0.054	81.33	07/23/20	91.22	9.89 W	0.00	445 of 463 - Sale <sup>25</sup>
	0.166	250.42	07/23/20	281.12	30.70 W	0.00	446 of 463 - Sale <sup>25</sup>
	0.002	2.46	07/23/20	2.66	0.20 W	0.00	447 of 463 - Sale <sup>25</sup>
	0.003	4.73	07/23/20	5.64	0.91 W	0.00	448 of 463 - Sale <sup>25</sup>
	0.004	5.46	07/23/20	6.56	1.10 W	0.00	449 of 463 - Sale <sup>25</sup>
	0.006	9.33	07/23/20	11.23	1.90 W	0.00	450 of 463 - Sale <sup>25</sup>
	0.032	48.11	07/23/20	58.15	10.04 W	0.00	451 of 463 - Sale <sup>25</sup>
	0.046	69.44	07/23/20	84.08	14.64 W	0.00	452 of 463 - Sale <sup>25</sup>
	0.060	90.67	07/23/20	97.59	6.92 W	0.00	453 of 463 - Sale <sup>25</sup>
	0.064	97.34	07/23/20	105.74	8.40 W	0.00	454 of 463 - Sale <sup>25</sup>
	0.145	220.03	07/23/20	236.52	16.49 W	0.00	455 of 463 - Sale <sup>25</sup>
	0.286	435.34	07/23/20	526.69	91.35 W	0.00	456 of 463 - Sale <sup>25</sup>
	0.005	7.16	07/23/20	8.32	1.16 W	0.00	457 of 463 - Sale <sup>25</sup>
	0.017	25.49	07/23/20	29.69	4.20 W	0.00	458 of 463 - Sale <sup>25</sup>
	0.027	41.57	07/23/20	49.58	8.01 W	0.00	459 of 463 - Sale <sup>25</sup>
	0.028	41.93	07/23/20	48.87	6.94 W	0.00	460 of 463 - Sale <sup>25</sup>
	0.066	99.85	07/23/20	117.03	17.18 W	0.00	461 of 463 - Sale <sup>25</sup>
	0.089	136.05	07/23/20	147.60	11.55 W	0.00	462 of 463 - Sale <sup>25</sup>
	0.123	187.11	07/23/20	217.26	30.15 W	0.00	463 of 463 - Sale <sup>25</sup>
07/23/20	57.996	90,301.02	Various	99,523.66	9,222.64 W	0.00	Total of 463 transactions
	174 transactions for 07/24/20. Total proceeds and cost reported to the IRS.						
	0.001	0.91	07/23/20	1.27	0.36 W	0.00	1 of 174 - Sale <sup>25</sup>
	0.001	1.07	07/23/20	1.38	0.31 W	0.00	2 of 174 - Sale <sup>25</sup>
	0.002	2.76	07/23/20	3.83	1.07 W	0.00	3 of 174 - Sale <sup>25</sup>
	0.003	4.28	07/23/20	5.51	1.23 W	0.00	4 of 174 - Sale <sup>25</sup>
	0.005	6.58	07/23/20	8.98	2.40 W	0.00	5 of 174 - Sale <sup>25</sup>
	0.010	13.74	07/23/20	17.74	4.00 W	0.00	6 of 174 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.013	18.30	07/23/20	24.97	6.67 W	0.00	7 of 174 - Sale <sup>25</sup>
	0.021	29.61	07/23/20	41.44	11.83 W	0.00	8 of 174 - Sale <sup>25</sup>
	0.058	81.65	07/23/20	103.87	22.22 W	0.00	9 of 174 - Sale <sup>25</sup>
	0.069	97.87	07/23/20	135.64	37.77 W	0.00	10 of 174 - Sale <sup>25</sup>
	0.101	142.25	07/23/20	190.01	47.76 W	0.00	11 of 174 - Sale <sup>25</sup>
	0.107	150.72	07/23/20	178.70	27.98 W	0.00	12 of 174 - Sale <sup>25</sup>
	0.115	161.89	07/23/20	205.90	44.01 W	0.00	13 of 174 - Sale <sup>25</sup>
	0.117	164.94	07/23/20	227.84	62.90 W	0.00	14 of 174 - Sale <sup>25</sup>
	0.123	173.81	07/23/20	227.02	53.21 W	0.00	15 of 174 - Sale <sup>25</sup>
	0.131	184.73	07/23/20	255.30	70.57 W	0.00	16 of 174 - Sale <sup>25</sup>
	0.132	185.20	07/23/20	238.39	53.19 W	0.00	17 of 174 - Sale <sup>25</sup>
	0.135	190.55	07/23/20	267.06	76.51 W	0.00	18 of 174 - Sale <sup>25</sup>
	0.145	203.59	07/23/20	264.96	61.37 W	0.00	19 of 174 - Sale <sup>25</sup>
	0.165	232.41	07/23/20	314.34	81.93 W	0.00	20 of 174 - Sale <sup>25</sup>
	0.166	234.11	07/23/20	328.14	94.03 W	0.00	21 of 174 - Sale <sup>25</sup>
	0.177	249.34	07/23/20	345.60	96.26 W	0.00	22 of 174 - Sale <sup>25</sup>
	0.201	282.62	07/23/20	377.62	95.00 W	0.00	23 of 174 - Sale <sup>25</sup>
	0.354	498.96	07/23/20	689.28	190.32 W	0.00	24 of 174 - Sale <sup>25</sup>
	0.009	12.46	07/23/20	15.36	2.90 W	0.00	25 of 174 - Sale <sup>25</sup>
	0.011	15.45	07/23/20	20.20	4.75 W	0.00	26 of 174 - Sale <sup>25</sup>
	0.041	58.39	07/23/20	73.26	14.87 W	0.00	27 of 174 - Sale <sup>25</sup>
	0.047	66.84	07/23/20	79.00	12.16 W	0.00	28 of 174 - Sale <sup>25</sup>
	0.052	73.92	07/23/20	91.59	17.67 W	0.00	29 of 174 - Sale <sup>25</sup>
	0.062	87.27	07/23/20	114.02	26.75 W	0.00	30 of 174 - Sale <sup>25</sup>
	0.076	107.09	07/23/20	120.86	13.77 W	0.00	31 of 174 - Sale <sup>25</sup>
	0.094	131.68	07/23/20	154.83	23.15 W	0.00	32 of 174 - Sale <sup>25</sup>
	0.094	132.30	07/23/20	149.36	17.06 W	0.00	33 of 174 - Sale <sup>25</sup>
	0.107	150.15	07/23/20	169.50	19.35 W	0.00	34 of 174 - Sale <sup>25</sup>
	0.133	187.70	07/23/20	221.77	34.07 W	0.00	35 of 174 - Sale <sup>25</sup>
	0.166	234.42	07/23/20	278.05	43.63 W	0.00	36 of 174 - Sale <sup>25</sup>
	0.271	381.20	07/23/20	428.25	47.05 W	0.00	37 of 174 - Sale <sup>25</sup>
	0.485	682.78	07/23/20	856.43	173.65 W	0.00	38 of 174 - Sale <sup>25</sup>
	0.000	0.07	07/23/20	0.08	0.01 W	0.00	39 of 174 - Sale <sup>25</sup>
	0.001	0.80	07/23/20	0.93	0.13 W	0.00	40 of 174 - Sale <sup>25</sup>

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.001	0.87	07/23/20	1.02	0.15 W	0.00	41 of 174 - Sale <sup>25</sup>
	0.001	0.91	07/23/20	1.15	0.24 W	0.00	42 of 174 - Sale <sup>25</sup>
	0.001	1.69	07/23/20	2.00	0.31 W	0.00	43 of 174 - Sale <sup>25</sup>
	0.001	1.74	07/23/20	2.06	0.32 W	0.00	44 of 174 - Sale <sup>25</sup>
	0.009	12.14	07/23/20	14.38	2.24 W	0.00	45 of 174 - Sale <sup>25</sup>
	0.020	28.36	07/23/20	33.52	5.16 W	0.00	46 of 174 - Sale <sup>25</sup>
	0.044	61.71	07/23/20	75.71	14.00 W	0.00	47 of 174 - Sale <sup>25</sup>
	0.044	61.83	07/23/20	72.20	10.37 W	0.00	48 of 174 - Sale <sup>25</sup>
	0.057	80.26	07/23/20	92.31	12.05 W	0.00	49 of 174 - Sale <sup>25</sup>
	0.068	96.36	07/23/20	111.67	15.31 W	0.00	50 of 174 - Sale <sup>25</sup>
	0.072	102.01	07/23/20	128.79	26.78 W	0.00	51 of 174 - Sale <sup>25</sup>
	0.075	106.11	07/23/20	122.64	16.53 W	0.00	52 of 174 - Sale <sup>25</sup>
	0.078	110.50	07/23/20	134.04	23.54 W	0.00	53 of 174 - Sale <sup>25</sup>
	0.082	116.06	07/23/20	146.63	30.57 W	0.00	54 of 174 - Sale <sup>25</sup>
	0.083	117.07	07/23/20	140.42	23.35 W	0.00	55 of 174 - Sale <sup>25</sup>
	0.090	126.50	07/23/20	149.26	22.76 W	0.00	56 of 174 - Sale <sup>25</sup>
	0.117	164.84	07/23/20	192.43	27.59 W	0.00	57 of 174 - Sale <sup>25</sup>
	0.475	668.80	07/23/20	781.04	112.24 W	0.00	58 of 174 - Sale <sup>25</sup>
	0.044	61.87	07/23/20	76.16	14.29 W	0.00	59 of 174 - Sale <sup>25</sup>
	0.046	65.16	07/23/20	82.25	17.09 W	0.00	60 of 174 - Sale <sup>25</sup>
	0.067	94.60	07/23/20	116.94	22.34 W	0.00	61 of 174 - Sale <sup>25</sup>
	0.080	113.07	07/23/20	139.14	26.07 W	0.00	62 of 174 - Sale <sup>25</sup>
	0.151	212.60	07/23/20	261.85	49.25 W	0.00	63 of 174 - Sale <sup>25</sup>
	0.292	410.88	07/23/20	499.12	88.24 W	0.00	64 of 174 - Sale <sup>25</sup>
	0.149	209.99	07/23/20	228.53	18.54 W	0.00	65 of 174 - Sale <sup>25</sup>
	2.851	4,015.16	07/23/20	4,369.12	353.96 W	0.00	66 of 174 - Sale <sup>25</sup>
	0.002	2.51	07/23/20	3.06	0.55 W	0.00	67 of 174 - Sale <sup>25</sup>
	0.004	5.23	07/23/20	6.50	1.27 W	0.00	68 of 174 - Sale <sup>25</sup>
	0.010	14.32	07/23/20	17.28	2.96 W	0.00	69 of 174 - Sale <sup>25</sup>
	0.019	27.15	07/23/20	33.36	6.21 W	0.00	70 of 174 - Sale <sup>25</sup>
	0.040	56.37	07/23/20	68.22	11.85 W	0.00	71 of 174 - Sale <sup>25</sup>
	0.050	70.66	07/23/20	86.73	16.07 W	0.00	72 of 174 - Sale <sup>25</sup>
	0.118	165.58	07/23/20	200.40	34.82 W	0.00	73 of 174 - Sale <sup>25</sup>
	0.142	199.83	07/23/20	244.29	44.46 W	0.00	74 of 174 - Sale <sup>25</sup>

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.241	340.07	07/23/20	411.72	71.65 W	0.00	75 of 174 - Sale <sup>25</sup>
	0.374	526.66	07/23/20	641.18	114.52 W	0.00	76 of 174 - Sale <sup>25</sup>
	0.000	0.15	07/24/20	0.17	0.02 W	0.00	77 of 174 - Sale <sup>25</sup>
	0.002	3.32	07/24/20	3.74	0.42 W	0.00	78 of 174 - Sale <sup>25</sup>
	0.015	21.36	07/24/20	23.99	2.63 W	0.00	79 of 174 - Sale <sup>25</sup>
	0.127	182.10	07/24/20	204.59	22.49 W	0.00	80 of 174 - Sale <sup>25</sup>
	0.856	1,229.39	07/24/20	1,234.33	4.94 W	0.00	81 of 174 - Sale <sup>25</sup>
	1.995	2,865.42	07/24/20	2,876.91	11.49 W	0.00	82 of 174 - Sale <sup>25</sup>
	0.005	7.23	07/24/20	7.88	0.65 W	0.00	83 of 174 - Sale <sup>25</sup>
	0.011	16.34	07/24/20	17.80	1.46 W	0.00	84 of 174 - Sale <sup>25</sup>
	0.114	163.55	07/24/20	176.56	13.01 W	0.00	85 of 174 - Sale <sup>25</sup>
	0.159	228.13	07/24/20	245.96	17.83 W	0.00	86 of 174 - Sale <sup>25</sup>
	0.163	233.64	07/24/20	251.96	18.32 W	0.00	87 of 174 - Sale <sup>25</sup>
	0.011	15.45	07/24/20	17.46	2.01 W	0.00	88 of 174 - Sale <sup>25</sup>
	0.018	25.18	07/24/20	28.10	2.92 W	0.00	89 of 174 - Sale <sup>25</sup>
	0.026	36.85	07/24/20	41.36	4.51 W	0.00	90 of 174 - Sale <sup>25</sup>
	0.042	60.59	07/24/20	65.93	5.34 W	0.00	91 of 174 - Sale <sup>25</sup>
	0.062	89.49	07/24/20	97.47	7.98 W	0.00	92 of 174 - Sale <sup>25</sup>
	0.001	0.93	07/24/20	1.28	0.35 W	0.00	93 of 174 - Sale <sup>25</sup>
	0.001	1.09	07/24/20	1.40	0.31 W	0.00	94 of 174 - Sale <sup>25</sup>
	0.002	2.32	07/24/20	2.51	0.19 W	0.00	95 of 174 - Sale <sup>25</sup>
	0.002	2.82	07/24/20	3.88	1.06 W	0.00	96 of 174 - Sale <sup>25</sup>
	0.003	4.37	07/24/20	5.59	1.22 W	0.00	97 of 174 - Sale <sup>25</sup>
	0.003	4.47	07/24/20	5.36	0.89 W	0.00	98 of 174 - Sale <sup>25</sup>
	0.004	5.15	07/24/20	6.23	1.08 W	0.00	99 of 174 - Sale <sup>25</sup>
	0.005	6.72	07/24/20	9.10	2.38 W	0.00	100 of 174 - Sale <sup>25</sup>
	0.006	8.82	07/24/20	10.68	1.86 W	0.00	101 of 174 - Sale <sup>25</sup>
	0.010	14.04	07/24/20	17.98	3.94 W	0.00	102 of 174 - Sale <sup>25</sup>
	0.013	18.69	07/24/20	25.29	6.60 W	0.00	103 of 174 - Sale <sup>25</sup>
	0.021	30.24	07/24/20	41.95	11.71 W	0.00	104 of 174 - Sale <sup>25</sup>
	0.032	45.46	07/24/20	55.32	9.86 W	0.00	105 of 174 - Sale <sup>25</sup>
	0.046	65.62	07/24/20	80.00	14.38 W	0.00	106 of 174 - Sale <sup>25</sup>
	0.058	83.38	07/24/20	105.27	21.89 W	0.00	107 of 174 - Sale <sup>25</sup>
	0.060	85.67	07/24/20	92.26	6.59 W	0.00	108 of 174 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.064		91.98	07/24/20	100.02	8.04 W	0.00	109 of 174 - Sale <sup>25</sup>
0.069		99.95	07/24/20	137.33	37.38 W	0.00	110 of 174 - Sale <sup>25</sup>
0.101		145.27	07/24/20	192.46	47.19 W	0.00	111 of 174 - Sale <sup>25</sup>
0.107		153.91	07/24/20	181.29	27.38 W	0.00	112 of 174 - Sale <sup>25</sup>
0.115		165.32	07/24/20	208.69	43.37 W	0.00	113 of 174 - Sale <sup>25</sup>
0.117		168.43	07/24/20	230.68	62.25 W	0.00	114 of 174 - Sale <sup>25</sup>
0.123		177.49	07/24/20	230.01	52.52 W	0.00	115 of 174 - Sale <sup>25</sup>
0.131		188.65	07/24/20	258.48	69.83 W	0.00	116 of 174 - Sale <sup>25</sup>
0.132		189.13	07/24/20	241.58	52.45 W	0.00	117 of 174 - Sale <sup>25</sup>
0.135		194.59	07/24/20	270.34	75.75 W	0.00	118 of 174 - Sale <sup>25</sup>
0.145		207.91	07/24/20	223.59	15.68 W	0.00	119 of 174 - Sale <sup>25</sup>
0.145		207.91	07/24/20	268.47	60.56 W	0.00	120 of 174 - Sale <sup>25</sup>
0.165		237.33	07/24/20	318.34	81.01 W	0.00	121 of 174 - Sale <sup>25</sup>
0.166		239.07	07/24/20	332.17	93.10 W	0.00	122 of 174 - Sale <sup>25</sup>
0.177		254.62	07/24/20	349.89	95.27 W	0.00	123 of 174 - Sale <sup>25</sup>
0.201		288.60	07/24/20	382.48	93.88 W	0.00	124 of 174 - Sale <sup>25</sup>
0.286		411.36	07/24/20	501.11	89.75 W	0.00	125 of 174 - Sale <sup>25</sup>
0.354		509.53	07/24/20	697.86	188.33 W	0.00	126 of 174 - Sale <sup>25</sup>
0.005		6.76	07/24/20	7.89	1.13 W	0.00	127 of 174 - Sale <sup>25</sup>
0.009		12.73	07/24/20	15.58	2.85 W	0.00	128 of 174 - Sale <sup>25</sup>
0.011		15.77	07/24/20	20.46	4.69 W	0.00	129 of 174 - Sale <sup>25</sup>
0.017		24.09	07/24/20	28.19	4.10 W	0.00	130 of 174 - Sale <sup>25</sup>
0.027		39.28	07/24/20	47.14	7.86 W	0.00	131 of 174 - Sale <sup>25</sup>
0.028		39.62	07/24/20	46.41	6.79 W	0.00	132 of 174 - Sale <sup>25</sup>
0.041		59.63	07/24/20	74.26	14.63 W	0.00	133 of 174 - Sale <sup>25</sup>
0.047		68.25	07/24/20	80.15	11.90 W	0.00	134 of 174 - Sale <sup>25</sup>
0.052		75.49	07/24/20	92.86	17.37 W	0.00	135 of 174 - Sale <sup>25</sup>
0.062		89.12	07/24/20	115.52	26.40 W	0.00	136 of 174 - Sale <sup>25</sup>
0.066		94.36	07/24/20	111.17	16.81 W	0.00	137 of 174 - Sale <sup>25</sup>
0.076		109.36	07/24/20	122.70	13.34 W	0.00	138 of 174 - Sale <sup>25</sup>
0.089		128.56	07/24/20	139.61	11.05 W	0.00	139 of 174 - Sale <sup>25</sup>
0.094		134.47	07/24/20	157.10	22.63 W	0.00	140 of 174 - Sale <sup>25</sup>
0.094		135.10	07/24/20	151.64	16.54 W	0.00	141 of 174 - Sale <sup>25</sup>
0.107		153.33	07/24/20	172.08	18.75 W	0.00	142 of 174 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.123	176.80	07/24/20	206.26	29.46 W	0.00	143 of 174 - Sale <sup>25</sup>
	0.133	191.68	07/24/20	225.00	33.32 W	0.00	144 of 174 - Sale <sup>25</sup>
	0.166	239.38	07/24/20	282.08	42.70 W	0.00	145 of 174 - Sale <sup>25</sup>
	0.271	389.27	07/24/20	434.81	45.54 W	0.00	146 of 174 - Sale <sup>25</sup>
	0.485	697.25	07/24/20	868.18	170.93 W	0.00	147 of 174 - Sale <sup>25</sup>
	0.000	0.07	07/24/20	0.08	0.01 W	0.00	148 of 174 - Sale <sup>25</sup>
	0.001	0.82	07/24/20	0.94	0.12 W	0.00	149 of 174 - Sale <sup>25</sup>
	0.001	0.89	07/24/20	1.03	0.14 W	0.00	150 of 174 - Sale <sup>25</sup>
	0.001	0.93	07/24/20	1.16	0.23 W	0.00	151 of 174 - Sale <sup>25</sup>
	0.001	1.72	07/24/20	2.03	0.31 W	0.00	152 of 174 - Sale <sup>25</sup>
	0.001	1.77	07/24/20	2.09	0.32 W	0.00	153 of 174 - Sale <sup>25</sup>
	0.009	12.40	07/24/20	14.59	2.19 W	0.00	154 of 174 - Sale <sup>25</sup>
	0.016	23.13	07/24/20	26.75	3.62 W	0.00	155 of 174 - Sale <sup>25</sup>
	0.020	28.96	07/24/20	34.00	5.04 W	0.00	156 of 174 - Sale <sup>25</sup>
	0.044	63.02	07/24/20	76.77	13.75 W	0.00	157 of 174 - Sale <sup>25</sup>
	0.044	63.14	07/24/20	73.26	10.12 W	0.00	158 of 174 - Sale <sup>25</sup>
	0.054	78.21	07/24/20	87.59	9.38 W	0.00	159 of 174 - Sale <sup>25</sup>
	0.057	81.96	07/24/20	93.69	11.73 W	0.00	160 of 174 - Sale <sup>25</sup>
	0.068	98.40	07/24/20	113.33	14.93 W	0.00	161 of 174 - Sale <sup>25</sup>
	0.072	104.17	07/24/20	130.55	26.38 W	0.00	162 of 174 - Sale <sup>25</sup>
	0.075	108.35	07/24/20	124.46	16.11 W	0.00	163 of 174 - Sale <sup>25</sup>
	0.078	112.84	07/24/20	135.94	23.10 W	0.00	164 of 174 - Sale <sup>25</sup>
	0.082	118.51	07/24/20	148.62	30.11 W	0.00	165 of 174 - Sale <sup>25</sup>
	0.083	119.55	07/24/20	142.44	22.89 W	0.00	166 of 174 - Sale <sup>25</sup>
	0.088	125.97	07/24/20	145.63	19.66 W	0.00	167 of 174 - Sale <sup>25</sup>
	0.090	129.18	07/24/20	151.44	22.26 W	0.00	168 of 174 - Sale <sup>25</sup>
	0.117	168.33	07/24/20	195.26	26.93 W	0.00	169 of 174 - Sale <sup>25</sup>
	0.475	682.96	07/24/20	792.54	109.58 W	0.00	170 of 174 - Sale <sup>25</sup>
	0.018	25.37	07/24/20	28.17	2.80 W	0.00	171 of 174 - Sale <sup>25</sup>
	0.054	77.38	07/24/20	86.97	9.59 W	0.00	172 of 174 - Sale <sup>25</sup>
	0.166	238.29	07/24/20	268.06	29.77 W	0.00	173 of 174 - Sale <sup>25</sup>
	0.265	381.67	07/24/20	460.44	78.77 W	0.00	174 of 174 - Sale <sup>25</sup>
07/24/20	20.585	29,301.20	Various	34,535.42	5,234.22 W	0.00	Total of 174 transactions

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
3 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	0.000	0.08	07/27/20	0.08	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.494	713.85	07/27/20	709.84	...	4.01	2 of 3 - Sale <sup>25</sup>
	0.565	818.06	07/27/20	811.17	...	6.89	3 of 3 - Sale <sup>25</sup>
07/27/20	1.059	1,531.99	Various	1,521.09	...	10.90	Total of 3 transactions
110 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	0.011	16.34	07/24/20	17.81	1.47 W	0.00	1 of 110 - Sale <sup>25</sup>
	0.114	163.57	07/24/20	176.61	13.04 W	0.00	2 of 110 - Sale <sup>25</sup>
	0.159	228.15	07/24/20	246.03	17.88 W	0.00	3 of 110 - Sale <sup>25</sup>
	0.163	233.66	07/24/20	252.03	18.37 W	0.00	4 of 110 - Sale <sup>25</sup>
	0.002	2.56	07/24/20	3.11	0.55 W	0.00	5 of 110 - Sale <sup>25</sup>
	0.004	5.34	07/24/20	6.61	1.27 W	0.00	6 of 110 - Sale <sup>25</sup>
	0.010	14.61	07/24/20	17.58	2.97 W	0.00	7 of 110 - Sale <sup>25</sup>
	0.019	27.71	07/24/20	33.93	6.22 W	0.00	8 of 110 - Sale <sup>25</sup>
	0.026	37.90	07/24/20	45.89	7.99 W	0.00	9 of 110 - Sale <sup>25</sup>
	0.040	57.52	07/24/20	69.38	11.86 W	0.00	10 of 110 - Sale <sup>25</sup>
	0.044	63.14	07/24/20	77.44	14.30 W	0.00	11 of 110 - Sale <sup>25</sup>
	0.046	66.49	07/24/20	83.60	17.11 W	0.00	12 of 110 - Sale <sup>25</sup>
	0.050	72.11	07/24/20	88.19	16.08 W	0.00	13 of 110 - Sale <sup>25</sup>
	0.067	96.54	07/24/20	118.90	22.36 W	0.00	14 of 110 - Sale <sup>25</sup>
	0.080	115.39	07/24/20	141.49	26.10 W	0.00	15 of 110 - Sale <sup>25</sup>
	0.118	168.98	07/24/20	203.84	34.86 W	0.00	16 of 110 - Sale <sup>25</sup>
	0.142	203.94	07/24/20	248.44	44.50 W	0.00	17 of 110 - Sale <sup>25</sup>
	0.151	216.97	07/24/20	266.26	49.29 W	0.00	18 of 110 - Sale <sup>25</sup>
	0.241	347.05	07/24/20	418.77	71.72 W	0.00	19 of 110 - Sale <sup>25</sup>
	0.374	537.47	07/24/20	652.10	114.63 W	0.00	20 of 110 - Sale <sup>25</sup>
	0.011	15.46	07/24/20	17.47	2.01 W	0.00	21 of 110 - Sale <sup>25</sup>
	0.018	25.18	07/24/20	28.10	2.92 W	0.00	22 of 110 - Sale <sup>25</sup>
	0.006	8.41	07/24/20	9.44	1.03 W	0.00	23 of 110 - Sale <sup>25</sup>
	0.042	60.60	07/24/20	65.95	5.35 W	0.00	24 of 110 - Sale <sup>25</sup>
	0.062	89.50	07/24/20	97.49	7.99 W	0.00	25 of 110 - Sale <sup>25</sup>
	0.000	0.15	07/24/20	0.17	0.02 W	0.00	26 of 110 - Sale <sup>25</sup>
	0.002	3.31	07/24/20	3.75	0.44 W	0.00	27 of 110 - Sale <sup>25</sup>
	0.015	21.25	07/24/20	24.01	2.76 W	0.00	28 of 110 - Sale <sup>25</sup>

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(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.020		28.28	07/24/20	31.93	3.65 W	0.00	29 of 110 - Sale <sup>25</sup>
0.127		181.23	07/24/20	204.75	23.52 W	0.00	30 of 110 - Sale <sup>25</sup>
0.149		213.13	07/24/20	232.88	19.75 W	0.00	31 of 110 - Sale <sup>25</sup>
0.856		1,223.52	07/24/20	1,235.41	11.89 W	0.00	32 of 110 - Sale <sup>25</sup>
0.936		1,338.45	07/24/20	1,351.43	12.98 W	0.00	33 of 110 - Sale <sup>25</sup>
2.851		4,075.24	07/24/20	4,452.36	377.12 W	0.00	34 of 110 - Sale <sup>25</sup>
0.001		0.93	07/27/20	1.27	0.34 W	0.00	35 of 110 - Sale <sup>25</sup>
0.001		1.10	07/27/20	1.40	0.30 W	0.00	36 of 110 - Sale <sup>25</sup>
0.002		2.34	07/27/20	2.50	0.16 W	0.00	37 of 110 - Sale <sup>25</sup>
0.002		2.84	07/27/20	3.86	1.02 W	0.00	38 of 110 - Sale <sup>25</sup>
0.003		4.40	07/27/20	5.57	1.17 W	0.00	39 of 110 - Sale <sup>25</sup>
0.003		4.50	07/27/20	5.33	0.83 W	0.00	40 of 110 - Sale <sup>25</sup>
0.004		5.19	07/27/20	6.21	1.02 W	0.00	41 of 110 - Sale <sup>25</sup>
0.005		6.77	07/27/20	9.07	2.30 W	0.00	42 of 110 - Sale <sup>25</sup>
0.006		8.89	07/27/20	10.63	1.74 W	0.00	43 of 110 - Sale <sup>25</sup>
0.010		14.14	07/27/20	17.91	3.77 W	0.00	44 of 110 - Sale <sup>25</sup>
0.013		18.83	07/27/20	25.19	6.36 W	0.00	45 of 110 - Sale <sup>25</sup>
0.021		30.47	07/27/20	41.80	11.33 W	0.00	46 of 110 - Sale <sup>25</sup>
0.024		34.46	07/27/20	47.35	12.89 W	0.00	47 of 110 - Sale <sup>25</sup>
0.032		45.80	07/27/20	55.09	9.29 W	0.00	48 of 110 - Sale <sup>25</sup>
0.046		66.11	07/27/20	79.67	13.56 W	0.00	49 of 110 - Sale <sup>25</sup>
0.060		86.31	07/27/20	91.83	5.52 W	0.00	50 of 110 - Sale <sup>25</sup>
0.064		92.67	07/27/20	99.56	6.89 W	0.00	51 of 110 - Sale <sup>25</sup>
0.069		100.69	07/27/20	136.83	36.14 W	0.00	52 of 110 - Sale <sup>25</sup>
0.101		146.35	07/27/20	191.73	45.38 W	0.00	53 of 110 - Sale <sup>25</sup>
0.117		169.69	07/27/20	229.84	60.15 W	0.00	54 of 110 - Sale <sup>25</sup>
0.123		178.81	07/27/20	229.11	50.30 W	0.00	55 of 110 - Sale <sup>25</sup>
0.131		190.06	07/27/20	257.53	67.47 W	0.00	56 of 110 - Sale <sup>25</sup>
0.132		190.54	07/27/20	240.63	50.09 W	0.00	57 of 110 - Sale <sup>25</sup>
0.135		196.04	07/27/20	269.36	73.32 W	0.00	58 of 110 - Sale <sup>25</sup>
0.145		209.46	07/27/20	222.54	13.08 W	0.00	59 of 110 - Sale <sup>25</sup>
0.165		239.10	07/27/20	317.15	78.05 W	0.00	60 of 110 - Sale <sup>25</sup>
0.177		256.53	07/27/20	348.62	92.09 W	0.00	61 of 110 - Sale <sup>25</sup>
0.201		290.76	07/27/20	381.03	90.27 W	0.00	62 of 110 - Sale <sup>25</sup>

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(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.286	414.43	07/27/20	499.05	84.62 W	0.00	63 of 110 - Sale <sup>25</sup>
	0.354	513.34	07/27/20	695.30	181.96 W	0.00	64 of 110 - Sale <sup>25</sup>
	0.005	7.29	07/27/20	7.85	0.56 W	0.00	65 of 110 - Sale <sup>25</sup>
	0.058	88.77	07/27/20	105.95	17.18 W	0.00	66 of 110 - Sale <sup>25</sup>
	0.093	142.03	07/27/20	169.48	27.45 W	0.00	67 of 110 - Sale <sup>25</sup>
	0.142	218.12	07/27/20	286.32	68.20 W	0.00	68 of 110 - Sale <sup>25</sup>
	0.145	221.36	07/27/20	270.16	48.80 W	0.00	69 of 110 - Sale <sup>25</sup>
	0.107	163.82	07/27/20	182.55	18.73 W	0.00	70 of 110 - Sale <sup>25</sup>
	0.022	33.98	07/27/20	40.56	6.58 W	0.00	71 of 110 - Sale <sup>25</sup>
	0.005	7.20	07/27/20	7.95	0.75 W	0.00	72 of 110 - Sale <sup>25</sup>
	0.011	16.79	07/27/20	20.59	3.80 W	0.00	73 of 110 - Sale <sup>25</sup>
	0.017	25.64	07/27/20	28.38	2.74 W	0.00	74 of 110 - Sale <sup>25</sup>
	0.027	41.81	07/27/20	47.46	5.65 W	0.00	75 of 110 - Sale <sup>25</sup>
	0.028	42.18	07/27/20	46.74	4.56 W	0.00	76 of 110 - Sale <sup>25</sup>
	0.041	63.47	07/27/20	74.74	11.27 W	0.00	77 of 110 - Sale <sup>25</sup>
	0.062	94.86	07/27/20	116.25	21.39 W	0.00	78 of 110 - Sale <sup>25</sup>
	0.066	100.43	07/27/20	111.94	11.51 W	0.00	79 of 110 - Sale <sup>25</sup>
	0.076	116.40	07/27/20	123.59	7.19 W	0.00	80 of 110 - Sale <sup>25</sup>
	0.089	136.84	07/27/20	140.66	3.82 W	0.00	81 of 110 - Sale <sup>25</sup>
	0.094	143.81	07/27/20	152.74	8.93 W	0.00	82 of 110 - Sale <sup>25</sup>
	0.107	163.21	07/27/20	173.33	10.12 W	0.00	83 of 110 - Sale <sup>25</sup>
	0.123	188.19	07/27/20	207.71	19.52 W	0.00	84 of 110 - Sale <sup>25</sup>
	0.271	414.35	07/27/20	437.99	23.64 W	0.00	85 of 110 - Sale <sup>25</sup>
	0.297	453.97	07/27/20	534.54	80.57 W	0.00	86 of 110 - Sale <sup>25</sup>
	0.009	13.55	07/27/20	15.90	2.35 W	0.00	87 of 110 - Sale <sup>25</sup>
	0.047	72.65	07/27/20	81.89	9.24 W	0.00	88 of 110 - Sale <sup>25</sup>
	0.052	80.35	07/27/20	94.77	14.42 W	0.00	89 of 110 - Sale <sup>25</sup>
	0.129	197.98	07/27/20	223.89	25.91 W	0.00	90 of 110 - Sale <sup>25</sup>
	0.133	204.02	07/27/20	229.86	25.84 W	0.00	91 of 110 - Sale <sup>25</sup>
	0.188	288.19	07/27/20	343.98	55.79 W	0.00	92 of 110 - Sale <sup>25</sup>
	0.037	56.82	07/27/20	64.27	7.45 W	0.00	93 of 110 - Sale <sup>25</sup>
	0.094	143.14	07/27/20	160.54	17.40 W	0.00	94 of 110 - Sale <sup>25</sup>
	0.001	0.87	07/27/20	0.96	0.09 W	0.00	95 of 110 - Sale <sup>25</sup>
	0.001	0.94	07/27/20	1.05	0.11 W	0.00	96 of 110 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.001	0.99	07/27/20	1.18	0.19 W	0.00	97 of 110 - Sale <sup>25</sup>
	0.001	1.89	07/27/20	2.14	0.25 W	0.00	98 of 110 - Sale <sup>25</sup>
	0.009	13.19	07/27/20	14.90	1.71 W	0.00	99 of 110 - Sale <sup>25</sup>
	0.016	24.62	07/27/20	27.34	2.72 W	0.00	100 of 110 - Sale <sup>25</sup>
	0.020	30.82	07/27/20	34.74	3.92 W	0.00	101 of 110 - Sale <sup>25</sup>
	0.044	67.08	07/27/20	78.38	11.30 W	0.00	102 of 110 - Sale <sup>25</sup>
	0.044	67.20	07/27/20	74.87	7.67 W	0.00	103 of 110 - Sale <sup>25</sup>
	0.054	83.25	07/27/20	89.59	6.34 W	0.00	104 of 110 - Sale <sup>25</sup>
	0.072	110.88	07/27/20	133.22	22.34 W	0.00	105 of 110 - Sale <sup>25</sup>
	0.078	120.11	07/27/20	138.83	18.72 W	0.00	106 of 110 - Sale <sup>25</sup>
	0.082	126.15	07/27/20	151.65	25.50 W	0.00	107 of 110 - Sale <sup>25</sup>
	0.083	127.25	07/27/20	145.50	18.25 W	0.00	108 of 110 - Sale <sup>25</sup>
	0.088	134.08	07/27/20	148.85	14.77 W	0.00	109 of 110 - Sale <sup>25</sup>
	0.274	419.57	07/27/20	467.49	47.92 W	0.00	110 of 110 - Sale <sup>25</sup>
07/27/20	12.829	18,750.81	Various	21,459.38	2,708.57 W	0.00	Total of 110 transactions
117 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	0.001	1.60	07/27/20	1.82	0.22 W	0.00	1 of 117 - Sale <sup>25</sup>
	0.011	17.06	07/27/20	18.49	1.43 W	0.00	2 of 117 - Sale <sup>25</sup>
	0.114	170.70	07/27/20	183.38	12.68 W	0.00	3 of 117 - Sale <sup>25</sup>
	0.159	238.10	07/27/20	255.48	17.38 W	0.00	4 of 117 - Sale <sup>25</sup>
	0.163	243.85	07/27/20	261.71	17.86 W	0.00	5 of 117 - Sale <sup>25</sup>
	0.002	2.67	07/27/20	3.21	0.54 W	0.00	6 of 117 - Sale <sup>25</sup>
	0.004	5.57	07/27/20	6.83	1.26 W	0.00	7 of 117 - Sale <sup>25</sup>
	0.010	15.25	07/27/20	18.19	2.94 W	0.00	8 of 117 - Sale <sup>25</sup>
	0.019	28.92	07/27/20	35.08	6.16 W	0.00	9 of 117 - Sale <sup>25</sup>
	0.026	39.55	07/27/20	47.46	7.91 W	0.00	10 of 117 - Sale <sup>25</sup>
	0.040	60.03	07/27/20	71.77	11.74 W	0.00	11 of 117 - Sale <sup>25</sup>
	0.044	65.89	07/27/20	80.05	14.16 W	0.00	12 of 117 - Sale <sup>25</sup>
	0.046	69.39	07/27/20	86.36	16.97 W	0.00	13 of 117 - Sale <sup>25</sup>
	0.050	75.25	07/27/20	91.17	15.92 W	0.00	14 of 117 - Sale <sup>25</sup>
	0.067	100.75	07/27/20	122.90	22.15 W	0.00	15 of 117 - Sale <sup>25</sup>
	0.080	120.42	07/27/20	146.27	25.85 W	0.00	16 of 117 - Sale <sup>25</sup>
	0.118	176.35	07/27/20	210.84	34.49 W	0.00	17 of 117 - Sale <sup>25</sup>
	0.142	212.83	07/27/20	256.88	44.05 W	0.00	18 of 117 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.151		226.42	07/27/20	275.24	48.82 W	0.00	19 of 117 - Sale <sup>25</sup>
0.241		362.19	07/27/20	433.15	70.96 W	0.00	20 of 117 - Sale <sup>25</sup>
0.374		560.90	07/27/20	674.36	113.46 W	0.00	21 of 117 - Sale <sup>25</sup>
0.000		0.15	07/27/20	0.17	0.02 W	0.00	22 of 117 - Sale <sup>25</sup>
0.002		3.47	07/27/20	3.90	0.43 W	0.00	23 of 117 - Sale <sup>25</sup>
0.006		8.78	07/27/20	9.79	1.01 W	0.00	24 of 117 - Sale <sup>25</sup>
0.011		16.13	07/27/20	18.11	1.98 W	0.00	25 of 117 - Sale <sup>25</sup>
0.015		22.30	07/27/20	25.02	2.72 W	0.00	26 of 117 - Sale <sup>25</sup>
0.018		26.28	07/27/20	29.14	2.86 W	0.00	27 of 117 - Sale <sup>25</sup>
0.020		29.68	07/27/20	33.27	3.59 W	0.00	28 of 117 - Sale <sup>25</sup>
0.042		63.24	07/27/20	68.46	5.22 W	0.00	29 of 117 - Sale <sup>25</sup>
0.062		93.40	07/27/20	101.19	7.79 W	0.00	30 of 117 - Sale <sup>25</sup>
0.127		190.17	07/27/20	213.29	23.12 W	0.00	31 of 117 - Sale <sup>25</sup>
0.001		1.80	07/27/20	2.10	0.30 W	0.00	32 of 117 - Sale <sup>25</sup>
0.057		85.48	07/27/20	97.03	11.55 W	0.00	33 of 117 - Sale <sup>25</sup>
0.066		98.27	07/27/20	106.75	8.48 W	0.00	34 of 117 - Sale <sup>25</sup>
0.068		102.63	07/27/20	117.34	14.71 W	0.00	35 of 117 - Sale <sup>25</sup>
0.075		113.01	07/27/20	128.88	15.87 W	0.00	36 of 117 - Sale <sup>25</sup>
0.090		134.73	07/27/20	156.70	21.97 W	0.00	37 of 117 - Sale <sup>25</sup>
0.117		175.56	07/27/20	202.12	26.56 W	0.00	38 of 117 - Sale <sup>25</sup>
0.200		299.60	07/27/20	345.06	45.46 W	0.00	39 of 117 - Sale <sup>25</sup>
0.018		26.45	07/27/20	29.20	2.75 W	0.00	40 of 117 - Sale <sup>25</sup>
0.054		80.71	07/27/20	90.13	9.42 W	0.00	41 of 117 - Sale <sup>25</sup>
0.166		248.52	07/27/20	277.77	29.25 W	0.00	42 of 117 - Sale <sup>25</sup>
0.265		398.05	07/27/20	475.99	77.94 W	0.00	43 of 117 - Sale <sup>25</sup>
0.084		125.37	07/27/20	137.85	12.48 W	0.00	44 of 117 - Sale <sup>25</sup>
2.092		3,137.88	07/27/20	3,449.95	312.07 W	0.00	45 of 117 - Sale <sup>25</sup>
0.554		831.63	07/27/20	851.99	20.36 W	0.00	46 of 117 - Sale <sup>25</sup>
0.759		1,138.37	07/27/20	1,256.10	117.73 W	0.00	47 of 117 - Sale <sup>25</sup>
0.046		68.43	07/27/20	83.31	14.88 W	0.00	48 of 117 - Sale <sup>25</sup>
0.271		405.81	07/27/20	417.29	11.48 W	0.00	49 of 117 - Sale <sup>25</sup>
0.001		0.79	07/27/20	1.08	0.29 W	0.00	50 of 117 - Sale <sup>25</sup>
0.001		0.97	07/27/20	1.32	0.35 W	0.00	51 of 117 - Sale <sup>25</sup>
0.001		1.14	07/27/20	1.46	0.32 W	0.00	52 of 117 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.002	2.42	07/27/20	2.63	0.21 W	0.00	53 of 117 - Sale <sup>25</sup>
	0.002	2.94	07/27/20	4.02	1.08 W	0.00	54 of 117 - Sale <sup>25</sup>
	0.003	4.56	07/27/20	5.82	1.26 W	0.00	55 of 117 - Sale <sup>25</sup>
	0.003	4.66	07/27/20	5.58	0.92 W	0.00	56 of 117 - Sale <sup>25</sup>
	0.004	5.38	07/27/20	6.50	1.12 W	0.00	57 of 117 - Sale <sup>25</sup>
	0.005	7.01	07/27/20	9.45	2.44 W	0.00	58 of 117 - Sale <sup>25</sup>
	0.006	9.20	07/27/20	11.12	1.92 W	0.00	59 of 117 - Sale <sup>25</sup>
	0.010	14.64	07/27/20	18.69	4.05 W	0.00	60 of 117 - Sale <sup>25</sup>
	0.013	19.49	07/27/20	26.23	6.74 W	0.00	61 of 117 - Sale <sup>25</sup>
	0.032	47.41	07/27/20	57.62	10.21 W	0.00	62 of 117 - Sale <sup>25</sup>
	0.060	89.35	07/27/20	96.60	7.25 W	0.00	63 of 117 - Sale <sup>25</sup>
	0.064	95.93	07/27/20	104.67	8.74 W	0.00	64 of 117 - Sale <sup>25</sup>
	0.069	104.24	07/27/20	142.39	38.15 W	0.00	65 of 117 - Sale <sup>25</sup>
	0.101	151.50	07/27/20	199.81	48.31 W	0.00	66 of 117 - Sale <sup>25</sup>
	0.117	175.66	07/27/20	239.20	63.54 W	0.00	67 of 117 - Sale <sup>25</sup>
	0.123	185.11	07/27/20	238.98	53.87 W	0.00	68 of 117 - Sale <sup>25</sup>
	0.131	196.75	07/27/20	268.02	71.27 W	0.00	69 of 117 - Sale <sup>25</sup>
	0.132	197.25	07/27/20	251.14	53.89 W	0.00	70 of 117 - Sale <sup>25</sup>
	0.135	202.94	07/27/20	280.18	77.24 W	0.00	71 of 117 - Sale <sup>25</sup>
	0.145	216.83	07/27/20	234.10	17.27 W	0.00	72 of 117 - Sale <sup>25</sup>
	0.165	247.52	07/27/20	330.35	82.83 W	0.00	73 of 117 - Sale <sup>25</sup>
	0.177	265.55	07/27/20	362.77	97.22 W	0.00	74 of 117 - Sale <sup>25</sup>
	0.201	300.99	07/27/20	397.08	96.09 W	0.00	75 of 117 - Sale <sup>25</sup>
	0.286	429.02	07/27/20	521.93	92.91 W	0.00	76 of 117 - Sale <sup>25</sup>
	0.031	46.43	07/27/20	47.76	1.33 W	0.00	77 of 117 - Sale <sup>25</sup>
	0.836	1,254.39	07/27/20	1,290.21	35.82 W	0.00	78 of 117 - Sale <sup>25</sup>
	0.100	153.68	07/27/20	154.36	0.68 W	0.00	79 of 117 - Sale <sup>25</sup>
	0.144	221.61	07/28/20	298.93	77.32 W	0.00	80 of 117 - Sale <sup>25</sup>
	0.021	32.30	07/28/20	44.11	11.81 W	0.00	81 of 117 - Sale <sup>25</sup>
	0.022	34.09	07/28/20	41.18	7.09 W	0.00	82 of 117 - Sale <sup>25</sup>
	0.024	36.53	07/28/20	49.96	13.43 W	0.00	83 of 117 - Sale <sup>25</sup>
	0.058	89.05	07/28/20	107.54	18.49 W	0.00	84 of 117 - Sale <sup>25</sup>
	0.093	142.48	07/28/20	172.03	29.55 W	0.00	85 of 117 - Sale <sup>25</sup>
	0.107	164.38	07/28/20	185.54	21.16 W	0.00	86 of 117 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.142	218.80	07/28/20	290.23	71.43 W	0.00	87 of 117 - Sale <sup>25</sup>
	0.145	222.05	07/28/20	274.13	52.08 W	0.00	88 of 117 - Sale <sup>25</sup>
	0.209	321.77	07/28/20	434.12	112.35 W	0.00	89 of 117 - Sale <sup>25</sup>
	0.005	7.22	07/28/20	8.08	0.86 W	0.00	90 of 117 - Sale <sup>25</sup>
	0.009	13.59	07/28/20	16.14	2.55 W	0.00	91 of 117 - Sale <sup>25</sup>
	0.011	16.85	07/28/20	20.90	4.05 W	0.00	92 of 117 - Sale <sup>25</sup>
	0.017	25.73	07/28/20	28.85	3.12 W	0.00	93 of 117 - Sale <sup>25</sup>
	0.027	41.95	07/28/20	48.22	6.27 W	0.00	94 of 117 - Sale <sup>25</sup>
	0.028	42.32	07/28/20	47.51	5.19 W	0.00	95 of 117 - Sale <sup>25</sup>
	0.037	57.02	07/28/20	65.31	8.29 W	0.00	96 of 117 - Sale <sup>25</sup>
	0.041	63.68	07/28/20	75.89	12.21 W	0.00	97 of 117 - Sale <sup>25</sup>
	0.047	72.90	07/28/20	83.22	10.32 W	0.00	98 of 117 - Sale <sup>25</sup>
	0.052	80.62	07/28/20	96.23	15.61 W	0.00	99 of 117 - Sale <sup>25</sup>
	0.062	95.18	07/28/20	117.98	22.80 W	0.00	100 of 117 - Sale <sup>25</sup>
	0.066	100.78	07/28/20	113.77	12.99 W	0.00	101 of 117 - Sale <sup>25</sup>
	0.076	116.80	07/28/20	125.71	8.91 W	0.00	102 of 117 - Sale <sup>25</sup>
	0.089	137.30	07/28/20	143.15	5.85 W	0.00	103 of 117 - Sale <sup>25</sup>
	0.094	143.62	07/28/20	163.14	19.52 W	0.00	104 of 117 - Sale <sup>25</sup>
	0.094	144.29	07/28/20	155.36	11.07 W	0.00	105 of 117 - Sale <sup>25</sup>
	0.107	163.76	07/28/20	176.30	12.54 W	0.00	106 of 117 - Sale <sup>25</sup>
	0.123	188.83	07/28/20	211.14	22.31 W	0.00	107 of 117 - Sale <sup>25</sup>
	0.129	198.66	07/28/20	227.50	28.84 W	0.00	108 of 117 - Sale <sup>25</sup>
	0.133	204.72	07/28/20	233.58	28.86 W	0.00	109 of 117 - Sale <sup>25</sup>
	0.188	289.17	07/28/20	349.23	60.06 W	0.00	110 of 117 - Sale <sup>25</sup>
	0.271	415.76	07/28/20	445.54	29.78 W	0.00	111 of 117 - Sale <sup>25</sup>
	0.297	455.52	07/28/20	542.81	87.29 W	0.00	112 of 117 - Sale <sup>25</sup>
	0.005	7.73	07/28/20	8.40	0.67 W	0.00	113 of 117 - Sale <sup>25</sup>
	0.012	18.89	07/28/20	21.56	2.67 W	0.00	114 of 117 - Sale <sup>25</sup>
	0.016	24.71	07/28/20	27.79	3.08 W	0.00	115 of 117 - Sale <sup>25</sup>
	0.054	83.53	07/28/20	91.11	7.58 W	0.00	116 of 117 - Sale <sup>25</sup>
	0.088	134.54	07/28/20	151.30	16.76 W	0.00	117 of 117 - Sale <sup>25</sup>
07/28/20	13.244	19,982.07	Various	23,013.10	3,031.03 W	0.00	Total of 117 transactions

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
<i>58 transactions for 07/29/20. Total proceeds and cost reported to the IRS.</i>							
0.011		17.08	07/28/20	18.92	1.84 W	0.00	1 of 58 - Sale <sup>25</sup>
0.114		170.91	07/28/20	187.70	16.79 W	0.00	2 of 58 - Sale <sup>25</sup>
0.159		238.40	07/28/20	261.51	23.11 W	0.00	3 of 58 - Sale <sup>25</sup>
0.163		244.15	07/28/20	267.88	23.73 W	0.00	4 of 58 - Sale <sup>25</sup>
0.004		5.58	07/28/20	6.97	1.39 W	0.00	5 of 58 - Sale <sup>25</sup>
0.026		39.60	07/28/20	48.46	8.86 W	0.00	6 of 58 - Sale <sup>25</sup>
0.044		65.97	07/28/20	81.72	15.75 W	0.00	7 of 58 - Sale <sup>25</sup>
0.046		69.48	07/28/20	88.12	18.64 W	0.00	8 of 58 - Sale <sup>25</sup>
0.062		93.28	07/28/20	113.75	20.47 W	0.00	9 of 58 - Sale <sup>25</sup>
0.067		100.87	07/28/20	125.45	24.58 W	0.00	10 of 58 - Sale <sup>25</sup>
0.080		120.57	07/28/20	149.32	28.75 W	0.00	11 of 58 - Sale <sup>25</sup>
0.151		226.71	07/28/20	280.97	54.26 W	0.00	12 of 58 - Sale <sup>25</sup>
0.374		561.60	07/28/20	688.55	126.95 W	0.00	13 of 58 - Sale <sup>25</sup>
0.001		1.60	07/28/20	1.86	0.26 W	0.00	14 of 58 - Sale <sup>25</sup>
0.001		0.85	07/28/20	0.96	0.11 W	0.00	15 of 58 - Sale <sup>25</sup>
0.001		0.93	07/28/20	1.06	0.13 W	0.00	16 of 58 - Sale <sup>25</sup>
0.001		0.97	07/28/20	1.18	0.21 W	0.00	17 of 58 - Sale <sup>25</sup>
0.001		1.85	07/28/20	2.15	0.30 W	0.00	18 of 58 - Sale <sup>25</sup>
0.008		11.77	07/28/20	13.58	1.81 W	0.00	19 of 58 - Sale <sup>25</sup>
0.009		12.94	07/28/20	14.97	2.03 W	0.00	20 of 58 - Sale <sup>25</sup>
0.044		65.80	07/28/20	78.68	12.88 W	0.00	21 of 58 - Sale <sup>25</sup>
0.044		65.93	07/28/20	75.18	9.25 W	0.00	22 of 58 - Sale <sup>25</sup>
0.072		108.78	07/28/20	133.73	24.95 W	0.00	23 of 58 - Sale <sup>25</sup>
0.078		117.83	07/28/20	139.38	21.55 W	0.00	24 of 58 - Sale <sup>25</sup>
0.082		123.76	07/28/20	152.23	28.47 W	0.00	25 of 58 - Sale <sup>25</sup>
0.083		124.84	07/28/20	146.09	21.25 W	0.00	26 of 58 - Sale <sup>25</sup>
0.274		411.60	07/28/20	469.41	57.81 W	0.00	27 of 58 - Sale <sup>25</sup>
0.002		2.67	07/29/20	3.21	0.54 W	0.00	28 of 58 - Sale <sup>25</sup>
0.010		15.25	07/29/20	18.21	2.96 W	0.00	29 of 58 - Sale <sup>25</sup>
0.019		28.92	07/29/20	35.12	6.20 W	0.00	30 of 58 - Sale <sup>25</sup>
0.040		60.03	07/29/20	71.85	11.82 W	0.00	31 of 58 - Sale <sup>25</sup>
0.050		75.25	07/29/20	91.27	16.02 W	0.00	32 of 58 - Sale <sup>25</sup>
0.055		83.18	07/29/20	99.56	16.38 W	0.00	33 of 58 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.142	212.83	07/29/20	257.16	44.33 W	0.00	34 of 58 - Sale <sup>25</sup>
	0.241	362.18	07/29/20	433.62	71.44 W	0.00	35 of 58 - Sale <sup>25</sup>
	0.000	0.15	07/29/20	0.17	0.02 W	0.00	36 of 58 - Sale <sup>25</sup>
	0.002	3.47	07/29/20	3.90	0.43 W	0.00	37 of 58 - Sale <sup>25</sup>
	0.006	8.78	07/29/20	9.80	1.02 W	0.00	38 of 58 - Sale <sup>25</sup>
	0.011	16.13	07/29/20	18.13	2.00 W	0.00	39 of 58 - Sale <sup>25</sup>
	0.015	22.30	07/29/20	25.05	2.75 W	0.00	40 of 58 - Sale <sup>25</sup>
	0.018	26.28	07/29/20	29.17	2.89 W	0.00	41 of 58 - Sale <sup>25</sup>
	0.020	29.68	07/29/20	33.31	3.63 W	0.00	42 of 58 - Sale <sup>25</sup>
	0.042	63.24	07/29/20	68.54	5.30 W	0.00	43 of 58 - Sale <sup>25</sup>
	0.062	93.40	07/29/20	101.31	7.91 W	0.00	44 of 58 - Sale <sup>25</sup>
	0.127	190.17	07/29/20	213.53	23.36 W	0.00	45 of 58 - Sale <sup>25</sup>
	0.137	206.05	07/29/20	237.59	31.54 W	0.00	46 of 58 - Sale <sup>25</sup>
	0.001	1.80	07/29/20	2.10	0.30 W	0.00	47 of 58 - Sale <sup>25</sup>
	0.057	85.48	07/29/20	97.14	11.66 W	0.00	48 of 58 - Sale <sup>25</sup>
	0.066	98.27	07/29/20	106.88	8.61 W	0.00	49 of 58 - Sale <sup>25</sup>
	0.068	102.63	07/29/20	117.47	14.84 W	0.00	50 of 58 - Sale <sup>25</sup>
	0.075	113.01	07/29/20	129.02	16.01 W	0.00	51 of 58 - Sale <sup>25</sup>
	0.090	134.73	07/29/20	156.87	22.14 W	0.00	52 of 58 - Sale <sup>25</sup>
	0.117	175.56	07/29/20	202.34	26.78 W	0.00	53 of 58 - Sale <sup>25</sup>
	0.062	93.55	07/29/20	107.86	14.31 W	0.00	54 of 58 - Sale <sup>25</sup>
	0.018	26.46	07/29/20	29.24	2.78 W	0.00	55 of 58 - Sale <sup>25</sup>
	0.054	80.71	07/29/20	90.23	9.52 W	0.00	56 of 58 - Sale <sup>25</sup>
	0.166	248.53	07/29/20	278.10	29.57 W	0.00	57 of 58 - Sale <sup>25</sup>
	0.226	339.28	07/29/20	406.15	66.87 W	0.00	58 of 58 - Sale <sup>25</sup>
07/29/20	4.000	6,003.62	Various	7,023.68	1,020.06 W	0.00	Total of 58 transactions
	10 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	0.084	123.63	07/29/20	138.41	14.78 W	0.00	1 of 10 - Sale <sup>25</sup>
	0.039	57.96	07/29/20	70.54	12.58 W	0.00	2 of 10 - Sale <sup>25</sup>
	0.877	1,297.54	07/29/20	1,452.57	155.03 W	0.00	3 of 10 - Sale <sup>25</sup>
	1.000	1,479.12	07/29/20	1,673.30	194.18 W	0.00	4 of 10 - Sale <sup>25</sup>
	0.046	67.49	07/30/20	82.34	14.85 W	0.00	5 of 10 - Sale <sup>25</sup>
	0.156	230.37	07/30/20	280.85	50.48 W	0.00	6 of 10 - Sale <sup>25</sup>
	0.215	317.64	07/30/20	349.54	31.90 W	0.00	7 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.271	400.21	07/30/20	411.52	11.31 W	0.00	8 of 10 - Sale <sup>25</sup>
	0.554	820.14	07/30/20	840.16	20.02 W	0.00	9 of 10 - Sale <sup>25</sup>
	0.759	1,122.66	07/30/20	1,239.90	117.24 W	0.00	10 of 10 - Sale <sup>25</sup>
07/30/20	4.000	5,916.76	Various	6,539.13	622.37 W	0.00	Total of 10 transactions
08/03/20	0.100	150.25	08/03/20	150.14	...	0.11	Sale <sup>25</sup>
191 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	0.002	2.42	08/03/20	2.60	0.18 W	0.00	1 of 191 - Sale <sup>25</sup>
	0.003	4.66	08/03/20	5.52	0.86 W	0.00	2 of 191 - Sale <sup>25</sup>
	0.004	5.38	08/03/20	6.43	1.05 W	0.00	3 of 191 - Sale <sup>25</sup>
	0.006	9.21	08/03/20	11.00	1.79 W	0.00	4 of 191 - Sale <sup>25</sup>
	0.032	47.47	08/03/20	57.01	9.54 W	0.00	5 of 191 - Sale <sup>25</sup>
	0.040	59.33	08/03/20	63.30	3.97 W	0.00	6 of 191 - Sale <sup>25</sup>
	0.130	195.66	08/03/20	235.22	39.56 W	0.00	7 of 191 - Sale <sup>25</sup>
	0.145	217.08	08/03/20	231.29	14.21 W	0.00	8 of 191 - Sale <sup>25</sup>
	0.020	30.13	08/03/20	32.14	2.01 W	0.00	9 of 191 - Sale <sup>25</sup>
	0.064	96.04	08/03/20	103.43	7.39 W	0.00	10 of 191 - Sale <sup>25</sup>
	0.075	113.05	08/03/20	142.30	29.25 W	0.00	11 of 191 - Sale <sup>25</sup>
	0.001	0.97	08/03/20	1.30	0.33 W	0.00	12 of 191 - Sale <sup>25</sup>
	0.001	1.14	08/03/20	1.44	0.30 W	0.00	13 of 191 - Sale <sup>25</sup>
	0.003	4.57	08/03/20	5.76	1.19 W	0.00	14 of 191 - Sale <sup>25</sup>
	0.005	7.02	08/03/20	9.36	2.34 W	0.00	15 of 191 - Sale <sup>25</sup>
	0.010	14.66	08/03/20	18.50	3.84 W	0.00	16 of 191 - Sale <sup>25</sup>
	0.013	19.51	08/03/20	25.98	6.47 W	0.00	17 of 191 - Sale <sup>25</sup>
	0.056	84.43	08/03/20	106.30	21.87 W	0.00	18 of 191 - Sale <sup>25</sup>
	0.069	104.36	08/03/20	141.06	36.70 W	0.00	19 of 191 - Sale <sup>25</sup>
	0.075	112.76	08/03/20	154.06	41.30 W	0.00	20 of 191 - Sale <sup>25</sup>
	0.101	151.68	08/03/20	197.88	46.20 W	0.00	21 of 191 - Sale <sup>25</sup>
	0.123	185.33	08/03/20	236.62	51.29 W	0.00	22 of 191 - Sale <sup>25</sup>
	0.165	247.82	08/03/20	327.20	79.38 W	0.00	23 of 191 - Sale <sup>25</sup>
	0.177	265.87	08/03/20	359.40	93.53 W	0.00	24 of 191 - Sale <sup>25</sup>
	0.201	301.36	08/03/20	393.25	91.89 W	0.00	25 of 191 - Sale <sup>25</sup>
	0.001	0.79	08/03/20	1.08	0.29 W	0.00	26 of 191 - Sale <sup>25</sup>
	0.002	2.94	08/03/20	4.01	1.07 W	0.00	27 of 191 - Sale <sup>25</sup>
	0.060	90.42	08/03/20	124.32	33.90 W	0.00	28 of 191 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.117		175.88	08/03/20	238.49	62.61 W	0.00	29 of 191 - Sale <sup>25</sup>
0.131		196.98	08/03/20	267.21	70.23 W	0.00	30 of 191 - Sale <sup>25</sup>
0.021		31.58	08/03/20	43.22	11.64 W	0.00	31 of 191 - Sale <sup>25</sup>
0.022		32.49	08/03/20	40.11	7.62 W	0.00	32 of 191 - Sale <sup>25</sup>
0.024		35.72	08/03/20	48.96	13.24 W	0.00	33 of 191 - Sale <sup>25</sup>
0.142		213.92	08/03/20	284.21	70.29 W	0.00	34 of 191 - Sale <sup>25</sup>
0.144		216.66	08/03/20	292.83	76.17 W	0.00	35 of 191 - Sale <sup>25</sup>
0.209		314.59	08/03/20	425.28	110.69 W	0.00	36 of 191 - Sale <sup>25</sup>
0.031		46.49	08/03/20	47.57	1.08 W	0.00	37 of 191 - Sale <sup>25</sup>
0.836		1,255.90	08/03/20	1,285.08	29.18 W	0.00	38 of 191 - Sale <sup>25</sup>
0.011		16.95	08/03/20	18.89	1.94 W	0.00	39 of 191 - Sale <sup>25</sup>
0.114		169.66	08/03/20	187.46	17.80 W	0.00	40 of 191 - Sale <sup>25</sup>
0.159		236.65	08/03/20	261.16	24.51 W	0.00	41 of 191 - Sale <sup>25</sup>
0.163		242.36	08/03/20	267.53	25.17 W	0.00	42 of 191 - Sale <sup>25</sup>
0.004		5.54	08/03/20	6.96	1.42 W	0.00	43 of 191 - Sale <sup>25</sup>
0.026		39.31	08/03/20	48.40	9.09 W	0.00	44 of 191 - Sale <sup>25</sup>
0.044		65.49	08/03/20	81.63	16.14 W	0.00	45 of 191 - Sale <sup>25</sup>
0.046		68.97	08/03/20	88.02	19.05 W	0.00	46 of 191 - Sale <sup>25</sup>
0.062		92.60	08/03/20	113.62	21.02 W	0.00	47 of 191 - Sale <sup>25</sup>
0.067		100.13	08/03/20	125.31	25.18 W	0.00	48 of 191 - Sale <sup>25</sup>
0.080		119.69	08/03/20	149.15	29.46 W	0.00	49 of 191 - Sale <sup>25</sup>
0.151		225.04	08/03/20	280.64	55.60 W	0.00	50 of 191 - Sale <sup>25</sup>
0.374		557.47	08/03/20	687.73	130.26 W	0.00	51 of 191 - Sale <sup>25</sup>
0.002		2.65	08/03/20	3.21	0.56 W	0.00	52 of 191 - Sale <sup>25</sup>
0.010		15.16	08/03/20	18.21	3.05 W	0.00	53 of 191 - Sale <sup>25</sup>
0.019		28.74	08/03/20	35.11	6.37 W	0.00	54 of 191 - Sale <sup>25</sup>
0.040		59.66	08/03/20	71.84	12.18 W	0.00	55 of 191 - Sale <sup>25</sup>
0.050		74.79	08/03/20	91.25	16.46 W	0.00	56 of 191 - Sale <sup>25</sup>
0.055		82.67	08/03/20	99.55	16.88 W	0.00	57 of 191 - Sale <sup>25</sup>
0.142		211.53	08/03/20	257.11	45.58 W	0.00	58 of 191 - Sale <sup>25</sup>
0.241		359.97	08/03/20	433.55	73.58 W	0.00	59 of 191 - Sale <sup>25</sup>
0.000		0.15	08/03/20	0.17	0.02 W	0.00	60 of 191 - Sale <sup>25</sup>
0.001		1.59	08/03/20	1.86	0.27 W	0.00	61 of 191 - Sale <sup>25</sup>
0.002		3.45	08/03/20	3.90	0.45 W	0.00	62 of 191 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.006	8.72	08/03/20	9.80	1.08 W	0.00	63 of 191 - Sale <sup>25</sup>
	0.011	16.03	08/03/20	18.13	2.10 W	0.00	64 of 191 - Sale <sup>25</sup>
	0.015	22.17	08/03/20	25.05	2.88 W	0.00	65 of 191 - Sale <sup>25</sup>
	0.018	26.12	08/03/20	29.16	3.04 W	0.00	66 of 191 - Sale <sup>25</sup>
	0.020	29.50	08/03/20	33.30	3.80 W	0.00	67 of 191 - Sale <sup>25</sup>
	0.022	33.09	08/03/20	40.37	7.28 W	0.00	68 of 191 - Sale <sup>25</sup>
	0.042	62.86	08/03/20	68.53	5.67 W	0.00	69 of 191 - Sale <sup>25</sup>
	0.058	86.42	08/03/20	105.43	19.01 W	0.00	70 of 191 - Sale <sup>25</sup>
	0.062	92.82	08/03/20	101.29	8.47 W	0.00	71 of 191 - Sale <sup>25</sup>
	0.084	124.60	08/03/20	140.13	15.53 W	0.00	72 of 191 - Sale <sup>25</sup>
	0.093	138.28	08/03/20	168.65	30.37 W	0.00	73 of 191 - Sale <sup>25</sup>
	0.107	159.53	08/03/20	181.64	22.11 W	0.00	74 of 191 - Sale <sup>25</sup>
	0.123	183.25	08/03/20	228.63	45.38 W	0.00	75 of 191 - Sale <sup>25</sup>
	0.127	189.00	08/03/20	213.48	24.48 W	0.00	76 of 191 - Sale <sup>25</sup>
	0.001	0.85	08/03/20	0.96	0.11 W	0.00	77 of 191 - Sale <sup>25</sup>
	0.001	0.92	08/03/20	1.05	0.13 W	0.00	78 of 191 - Sale <sup>25</sup>
	0.001	0.96	08/03/20	1.18	0.22 W	0.00	79 of 191 - Sale <sup>25</sup>
	0.001	1.79	08/03/20	2.10	0.31 W	0.00	80 of 191 - Sale <sup>25</sup>
	0.001	1.84	08/03/20	2.15	0.31 W	0.00	81 of 191 - Sale <sup>25</sup>
	0.005	7.01	08/03/20	7.91	0.90 W	0.00	82 of 191 - Sale <sup>25</sup>
	0.008	11.68	08/03/20	13.56	1.88 W	0.00	83 of 191 - Sale <sup>25</sup>
	0.009	12.85	08/03/20	14.96	2.11 W	0.00	84 of 191 - Sale <sup>25</sup>
	0.009	13.19	08/03/20	15.82	2.63 W	0.00	85 of 191 - Sale <sup>25</sup>
	0.011	16.35	08/03/20	20.50	4.15 W	0.00	86 of 191 - Sale <sup>25</sup>
	0.017	24.97	08/03/20	28.24	3.27 W	0.00	87 of 191 - Sale <sup>25</sup>
	0.027	40.72	08/03/20	47.23	6.51 W	0.00	88 of 191 - Sale <sup>25</sup>
	0.028	41.07	08/03/20	46.51	5.44 W	0.00	89 of 191 - Sale <sup>25</sup>
	0.037	55.34	08/03/20	63.95	8.61 W	0.00	90 of 191 - Sale <sup>25</sup>
	0.041	61.81	08/03/20	74.38	12.57 W	0.00	91 of 191 - Sale <sup>25</sup>
	0.044	65.32	08/03/20	78.59	13.27 W	0.00	92 of 191 - Sale <sup>25</sup>
	0.044	65.44	08/03/20	75.08	9.64 W	0.00	93 of 191 - Sale <sup>25</sup>
	0.047	70.75	08/03/20	81.49	10.74 W	0.00	94 of 191 - Sale <sup>25</sup>
	0.052	78.24	08/03/20	94.32	16.08 W	0.00	95 of 191 - Sale <sup>25</sup>
	0.057	84.95	08/03/20	97.12	12.17 W	0.00	96 of 191 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.062	92.38	08/03/20	115.73	23.35 W	0.00	97 of 191 - Sale <sup>25</sup>
	0.062	92.97	08/03/20	107.83	14.86 W	0.00	98 of 191 - Sale <sup>25</sup>
	0.040	59.21	08/03/20	64.78	5.57 W	0.00	99 of 191 - Sale <sup>25</sup>
	0.066	97.80	08/03/20	111.38	13.58 W	0.00	100 of 191 - Sale <sup>25</sup>
	0.068	102.00	08/03/20	117.44	15.44 W	0.00	101 of 191 - Sale <sup>25</sup>
	0.072	107.98	08/03/20	133.57	25.59 W	0.00	102 of 191 - Sale <sup>25</sup>
	0.075	112.32	08/03/20	128.99	16.67 W	0.00	103 of 191 - Sale <sup>25</sup>
	0.076	113.35	08/03/20	122.94	9.59 W	0.00	104 of 191 - Sale <sup>25</sup>
	0.078	116.97	08/03/20	139.21	22.24 W	0.00	105 of 191 - Sale <sup>25</sup>
	0.082	122.85	08/03/20	152.05	29.20 W	0.00	106 of 191 - Sale <sup>25</sup>
	0.083	123.92	08/03/20	145.91	21.99 W	0.00	107 of 191 - Sale <sup>25</sup>
	0.089	133.26	08/03/20	139.90	6.64 W	0.00	108 of 191 - Sale <sup>25</sup>
	0.090	133.90	08/03/20	156.84	22.94 W	0.00	109 of 191 - Sale <sup>25</sup>
	0.094	139.39	08/03/20	159.74	20.35 W	0.00	110 of 191 - Sale <sup>25</sup>
	0.094	140.04	08/03/20	151.94	11.90 W	0.00	111 of 191 - Sale <sup>25</sup>
	0.107	158.94	08/03/20	172.42	13.48 W	0.00	112 of 191 - Sale <sup>25</sup>
	0.117	174.48	08/03/20	202.30	27.82 W	0.00	113 of 191 - Sale <sup>25</sup>
	0.123	183.26	08/03/20	206.66	23.40 W	0.00	114 of 191 - Sale <sup>25</sup>
	0.129	192.80	08/03/20	222.79	29.99 W	0.00	115 of 191 - Sale <sup>25</sup>
	0.133	198.68	08/03/20	228.72	30.04 W	0.00	116 of 191 - Sale <sup>25</sup>
	0.137	204.79	08/03/20	237.55	32.76 W	0.00	117 of 191 - Sale <sup>25</sup>
	0.188	280.64	08/03/20	342.37	61.73 W	0.00	118 of 191 - Sale <sup>25</sup>
	0.271	403.50	08/03/20	435.68	32.18 W	0.00	119 of 191 - Sale <sup>25</sup>
	0.274	408.57	08/03/20	468.81	60.24 W	0.00	120 of 191 - Sale <sup>25</sup>
	0.297	442.09	08/03/20	532.00	89.91 W	0.00	121 of 191 - Sale <sup>25</sup>
	0.004	5.54	08/03/20	6.78	1.24 W	0.00	122 of 191 - Sale <sup>25</sup>
	0.026	38.37	08/03/20	42.08	3.71 W	0.00	123 of 191 - Sale <sup>25</sup>
	0.005	7.48	08/03/20	8.22	0.74 W	0.00	124 of 191 - Sale <sup>25</sup>
	0.012	18.29	08/03/20	21.11	2.82 W	0.00	125 of 191 - Sale <sup>25</sup>
	0.016	23.92	08/03/20	27.20	3.28 W	0.00	126 of 191 - Sale <sup>25</sup>
	0.018	26.23	08/03/20	29.23	3.00 W	0.00	127 of 191 - Sale <sup>25</sup>
	0.054	80.02	08/03/20	90.21	10.19 W	0.00	128 of 191 - Sale <sup>25</sup>
	0.054	80.87	08/03/20	89.13	8.26 W	0.00	129 of 191 - Sale <sup>25</sup>
	0.088	130.25	08/03/20	148.11	17.86 W	0.00	130 of 191 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.166	246.39	08/03/20	278.03	31.64 W	0.00	131 of 191 - Sale <sup>25</sup>
	0.226	336.37	08/03/20	406.07	69.70 W	0.00	132 of 191 - Sale <sup>25</sup>
	0.001	1.13	08/03/20	1.43	0.30 W	0.00	133 of 191 - Sale <sup>25</sup>
	0.002	2.40	08/03/20	2.58	0.18 W	0.00	134 of 191 - Sale <sup>25</sup>
	0.003	4.52	08/03/20	5.72	1.20 W	0.00	135 of 191 - Sale <sup>25</sup>
	0.003	4.62	08/03/20	5.49	0.87 W	0.00	136 of 191 - Sale <sup>25</sup>
	0.004	5.33	08/03/20	6.39	1.06 W	0.00	137 of 191 - Sale <sup>25</sup>
	0.006	9.12	08/03/20	10.93	1.81 W	0.00	138 of 191 - Sale <sup>25</sup>
	0.010	14.52	08/03/20	18.38	3.86 W	0.00	139 of 191 - Sale <sup>25</sup>
	0.020	29.85	08/03/20	31.90	2.05 W	0.00	140 of 191 - Sale <sup>25</sup>
	0.032	47.03	08/03/20	56.64	9.61 W	0.00	141 of 191 - Sale <sup>25</sup>
	0.040	58.78	08/03/20	62.83	4.05 W	0.00	142 of 191 - Sale <sup>25</sup>
	0.046	67.88	08/03/20	82.83	14.95 W	0.00	143 of 191 - Sale <sup>25</sup>
	0.056	83.64	08/03/20	105.64	22.00 W	0.00	144 of 191 - Sale <sup>25</sup>
	0.064	95.15	08/03/20	102.68	7.53 W	0.00	145 of 191 - Sale <sup>25</sup>
	0.073	108.25	08/03/20	143.41	35.16 W	0.00	146 of 191 - Sale <sup>25</sup>
	0.075	112.00	08/03/20	141.41	29.41 W	0.00	147 of 191 - Sale <sup>25</sup>
	0.101	150.27	08/03/20	196.70	46.43 W	0.00	148 of 191 - Sale <sup>25</sup>
	0.123	183.60	08/03/20	235.17	51.57 W	0.00	149 of 191 - Sale <sup>25</sup>
	0.130	193.84	08/03/20	233.69	39.85 W	0.00	150 of 191 - Sale <sup>25</sup>
	0.145	215.07	08/03/20	229.60	14.53 W	0.00	151 of 191 - Sale <sup>25</sup>
	0.156	231.69	08/03/20	282.52	50.83 W	0.00	152 of 191 - Sale <sup>25</sup>
	0.201	298.54	08/03/20	390.88	92.34 W	0.00	153 of 191 - Sale <sup>25</sup>
	0.035	52.75	08/03/20	64.21	11.46 W	0.00	154 of 191 - Sale <sup>25</sup>
	0.676	1,005.55	08/03/20	1,126.51	120.96 W	0.00	155 of 191 - Sale <sup>25</sup>
	0.201	298.65	08/03/20	335.60	36.95 W	0.00	156 of 191 - Sale <sup>25</sup>
	1.000	1,483.23	08/03/20	1,684.18	200.95 W	0.00	157 of 191 - Sale <sup>25</sup>
	0.215	318.50	08/03/20	351.85	33.35 W	0.00	158 of 191 - Sale <sup>25</sup>
	0.271	401.29	08/03/20	414.43	13.14 W	0.00	159 of 191 - Sale <sup>25</sup>
	0.554	822.35	08/03/20	846.13	23.78 W	0.00	160 of 191 - Sale <sup>25</sup>
	0.759	1,125.68	08/03/20	1,248.05	122.37 W	0.00	161 of 191 - Sale <sup>25</sup>
	0.011	16.88	08/03/20	18.89	2.01 W	0.00	162 of 191 - Sale <sup>25</sup>
	0.114	168.95	08/03/20	187.46	18.51 W	0.00	163 of 191 - Sale <sup>25</sup>
	0.159	235.66	08/03/20	261.16	25.50 W	0.00	164 of 191 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.163	241.34	08/03/20	267.53	26.19 W	0.00	165 of 191 - Sale <sup>25</sup>
	0.026	39.15	08/03/20	48.40	9.25 W	0.00	166 of 191 - Sale <sup>25</sup>
	0.044	65.21	08/03/20	81.63	16.42 W	0.00	167 of 191 - Sale <sup>25</sup>
	0.046	68.68	08/03/20	88.02	19.34 W	0.00	168 of 191 - Sale <sup>25</sup>
	0.067	99.71	08/03/20	125.31	25.60 W	0.00	169 of 191 - Sale <sup>25</sup>
	0.080	119.19	08/03/20	149.15	29.96 W	0.00	170 of 191 - Sale <sup>25</sup>
	0.116	172.89	08/03/20	216.51	43.62 W	0.00	171 of 191 - Sale <sup>25</sup>
	0.001	0.78	08/03/20	1.08	0.30 W	0.00	172 of 191 - Sale <sup>25</sup>
	0.001	0.96	08/03/20	1.29	0.33 W	0.00	173 of 191 - Sale <sup>25</sup>
	0.002	2.91	08/03/20	3.99	1.08 W	0.00	174 of 191 - Sale <sup>25</sup>
	0.005	6.94	08/03/20	9.31	2.37 W	0.00	175 of 191 - Sale <sup>25</sup>
	0.013	19.29	08/03/20	25.84	6.55 W	0.00	176 of 191 - Sale <sup>25</sup>
	0.060	89.39	08/03/20	123.66	34.27 W	0.00	177 of 191 - Sale <sup>25</sup>
	0.069	103.17	08/03/20	140.30	37.13 W	0.00	178 of 191 - Sale <sup>25</sup>
	0.075	111.47	08/03/20	153.24	41.77 W	0.00	179 of 191 - Sale <sup>25</sup>
	0.092	136.96	08/03/20	181.92	44.96 W	0.00	180 of 191 - Sale <sup>25</sup>
	0.117	173.86	08/03/20	237.20	63.34 W	0.00	181 of 191 - Sale <sup>25</sup>
	0.131	194.72	08/03/20	265.77	71.05 W	0.00	182 of 191 - Sale <sup>25</sup>
	0.177	262.83	08/03/20	357.46	94.63 W	0.00	183 of 191 - Sale <sup>25</sup>
	0.021	31.22	08/03/20	42.99	11.77 W	0.00	184 of 191 - Sale <sup>25</sup>
	0.022	32.12	08/03/20	39.87	7.75 W	0.00	185 of 191 - Sale <sup>25</sup>
	0.024	35.31	08/03/20	48.69	13.38 W	0.00	186 of 191 - Sale <sup>25</sup>
	0.142	211.46	08/03/20	282.64	71.18 W	0.00	187 of 191 - Sale <sup>25</sup>
	0.144	214.18	08/03/20	291.25	77.07 W	0.00	188 of 191 - Sale <sup>25</sup>
	0.209	310.98	08/03/20	422.98	112.00 W	0.00	189 of 191 - Sale <sup>25</sup>
	0.031	45.95	08/03/20	47.23	1.28 W	0.00	190 of 191 - Sale <sup>25</sup>
	0.836	1,241.50	08/03/20	1,275.90	34.40 W	0.00	191 of 191 - Sale <sup>25</sup>
08/03/20	17.929	26,713.64	Various	31,391.84	4,678.20 W	0.00	Total of 191 transactions
	154 transactions for 08/04/20. Total proceeds and cost reported to the IRS.						
	0.004	5.60	08/04/20	7.03	1.43 W	0.00	1 of 154 - Sale <sup>25</sup>
	0.034	51.96	08/04/20	64.83	12.87 W	0.00	2 of 154 - Sale <sup>25</sup>
	0.062	93.57	08/04/20	114.90	21.33 W	0.00	3 of 154 - Sale <sup>25</sup>
	0.374	563.34	08/04/20	695.44	132.10 W	0.00	4 of 154 - Sale <sup>25</sup>
	0.002	2.68	08/04/20	3.25	0.57 W	0.00	5 of 154 - Sale <sup>25</sup>

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(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.010		15.32	08/04/20	18.42	3.10 W	0.00	6 of 154 - Sale <sup>25</sup>
0.019		29.05	08/04/20	35.51	6.46 W	0.00	7 of 154 - Sale <sup>25</sup>
0.040		60.29	08/04/20	72.67	12.38 W	0.00	8 of 154 - Sale <sup>25</sup>
0.050		75.58	08/04/20	92.28	16.70 W	0.00	9 of 154 - Sale <sup>25</sup>
0.055		83.54	08/04/20	100.70	17.16 W	0.00	10 of 154 - Sale <sup>25</sup>
0.142		213.75	08/04/20	260.03	46.28 W	0.00	11 of 154 - Sale <sup>25</sup>
0.241		363.76	08/04/20	438.52	74.76 W	0.00	12 of 154 - Sale <sup>25</sup>
0.000		0.16	08/04/20	0.18	0.02 W	0.00	13 of 154 - Sale <sup>25</sup>
0.001		1.60	08/04/20	1.88	0.28 W	0.00	14 of 154 - Sale <sup>25</sup>
0.002		3.48	08/04/20	3.95	0.47 W	0.00	15 of 154 - Sale <sup>25</sup>
0.006		8.82	08/04/20	9.92	1.10 W	0.00	16 of 154 - Sale <sup>25</sup>
0.011		16.20	08/04/20	18.35	2.15 W	0.00	17 of 154 - Sale <sup>25</sup>
0.015		22.40	08/04/20	25.35	2.95 W	0.00	18 of 154 - Sale <sup>25</sup>
0.018		26.39	08/04/20	29.52	3.13 W	0.00	19 of 154 - Sale <sup>25</sup>
0.020		29.81	08/04/20	33.70	3.89 W	0.00	20 of 154 - Sale <sup>25</sup>
0.022		33.44	08/04/20	40.83	7.39 W	0.00	21 of 154 - Sale <sup>25</sup>
0.042		63.52	08/04/20	69.39	5.87 W	0.00	22 of 154 - Sale <sup>25</sup>
0.058		87.33	08/04/20	106.63	19.30 W	0.00	23 of 154 - Sale <sup>25</sup>
0.062		93.80	08/04/20	102.58	8.78 W	0.00	24 of 154 - Sale <sup>25</sup>
0.084		125.91	08/04/20	141.85	15.94 W	0.00	25 of 154 - Sale <sup>25</sup>
0.093		139.73	08/04/20	170.56	30.83 W	0.00	26 of 154 - Sale <sup>25</sup>
0.107		161.21	08/04/20	183.85	22.64 W	0.00	27 of 154 - Sale <sup>25</sup>
0.123		185.18	08/04/20	231.17	45.99 W	0.00	28 of 154 - Sale <sup>25</sup>
0.127		190.99	08/04/20	216.10	25.11 W	0.00	29 of 154 - Sale <sup>25</sup>
0.005		7.08	08/04/20	8.01	0.93 W	0.00	30 of 154 - Sale <sup>25</sup>
0.017		25.23	08/04/20	28.58	3.35 W	0.00	31 of 154 - Sale <sup>25</sup>
0.028		41.50	08/04/20	47.08	5.58 W	0.00	32 of 154 - Sale <sup>25</sup>
0.037		56.07	08/04/20	63.33	7.26 W	0.00	33 of 154 - Sale <sup>25</sup>
0.089		134.66	08/04/20	141.73	7.07 W	0.00	34 of 154 - Sale <sup>25</sup>
0.001		1.13	08/04/20	1.43	0.30 W	0.00	35 of 154 - Sale <sup>25</sup>
0.002		2.39	08/04/20	2.59	0.20 W	0.00	36 of 154 - Sale <sup>25</sup>
0.003		4.51	08/04/20	5.73	1.22 W	0.00	37 of 154 - Sale <sup>25</sup>
0.003		4.61	08/04/20	5.50	0.89 W	0.00	38 of 154 - Sale <sup>25</sup>
0.004		5.32	08/04/20	6.40	1.08 W	0.00	39 of 154 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.006	9.10	08/04/20	10.95	1.85 W	0.00	40 of 154 - Sale <sup>25</sup>
	0.010	14.47	08/04/20	18.41	3.94 W	0.00	41 of 154 - Sale <sup>25</sup>
	0.020	29.76	08/04/20	31.97	2.21 W	0.00	42 of 154 - Sale <sup>25</sup>
	0.032	46.88	08/04/20	56.75	9.87 W	0.00	43 of 154 - Sale <sup>25</sup>
	0.040	58.60	08/04/20	62.97	4.37 W	0.00	44 of 154 - Sale <sup>25</sup>
	0.046	67.67	08/04/20	82.99	15.32 W	0.00	45 of 154 - Sale <sup>25</sup>
	0.056	83.39	08/04/20	105.85	22.46 W	0.00	46 of 154 - Sale <sup>25</sup>
	0.064	94.86	08/04/20	102.91	8.05 W	0.00	47 of 154 - Sale <sup>25</sup>
	0.075	111.66	08/04/20	141.67	30.01 W	0.00	48 of 154 - Sale <sup>25</sup>
	0.086	127.22	08/04/20	167.34	40.12 W	0.00	49 of 154 - Sale <sup>25</sup>
	0.123	183.04	08/04/20	235.62	52.58 W	0.00	50 of 154 - Sale <sup>25</sup>
	0.130	193.26	08/04/20	234.16	40.90 W	0.00	51 of 154 - Sale <sup>25</sup>
	0.145	214.41	08/04/20	230.11	15.70 W	0.00	52 of 154 - Sale <sup>25</sup>
	0.156	230.99	08/04/20	283.08	52.09 W	0.00	53 of 154 - Sale <sup>25</sup>
	0.015	22.65	08/04/20	29.72	7.07 W	0.00	54 of 154 - Sale <sup>25</sup>
	0.144	213.31	08/04/20	280.04	66.73 W	0.00	55 of 154 - Sale <sup>25</sup>
	0.008	11.64	08/04/20	13.56	1.92 W	0.00	56 of 154 - Sale <sup>25</sup>
	0.009	13.15	08/04/20	15.83	2.68 W	0.00	57 of 154 - Sale <sup>25</sup>
	0.011	16.30	08/04/20	20.51	4.21 W	0.00	58 of 154 - Sale <sup>25</sup>
	0.027	40.60	08/04/20	47.24	6.64 W	0.00	59 of 154 - Sale <sup>25</sup>
	0.037	55.17	08/04/20	63.97	8.80 W	0.00	60 of 154 - Sale <sup>25</sup>
	0.041	61.63	08/04/20	74.40	12.77 W	0.00	61 of 154 - Sale <sup>25</sup>
	0.047	70.55	08/04/20	81.52	10.97 W	0.00	62 of 154 - Sale <sup>25</sup>
	0.052	78.02	08/04/20	94.35	16.33 W	0.00	63 of 154 - Sale <sup>25</sup>
	0.062	92.11	08/04/20	115.76	23.65 W	0.00	64 of 154 - Sale <sup>25</sup>
	0.066	97.52	08/04/20	111.42	13.90 W	0.00	65 of 154 - Sale <sup>25</sup>
	0.076	113.02	08/04/20	122.98	9.96 W	0.00	66 of 154 - Sale <sup>25</sup>
	0.006	9.45	08/04/20	11.16	1.71 W	0.00	67 of 154 - Sale <sup>25</sup>
	0.086	127.41	08/04/20	144.15	16.74 W	0.00	68 of 154 - Sale <sup>25</sup>
	0.094	138.99	08/04/20	159.79	20.80 W	0.00	69 of 154 - Sale <sup>25</sup>
	0.094	139.64	08/04/20	151.99	12.35 W	0.00	70 of 154 - Sale <sup>25</sup>
	0.107	158.48	08/04/20	172.47	13.99 W	0.00	71 of 154 - Sale <sup>25</sup>
	0.129	192.24	08/04/20	222.86	30.62 W	0.00	72 of 154 - Sale <sup>25</sup>
	0.133	198.11	08/04/20	228.80	30.69 W	0.00	73 of 154 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.188		279.83	08/04/20	342.48	62.65 W	0.00	74 of 154 - Sale <sup>25</sup>
0.271		402.33	08/04/20	435.83	33.50 W	0.00	75 of 154 - Sale <sup>25</sup>
0.297		440.81	08/04/20	532.16	91.35 W	0.00	76 of 154 - Sale <sup>25</sup>
0.001		0.84	08/04/20	0.96	0.12 W	0.00	77 of 154 - Sale <sup>25</sup>
0.001		0.91	08/04/20	1.05	0.14 W	0.00	78 of 154 - Sale <sup>25</sup>
0.001		0.95	08/04/20	1.18	0.23 W	0.00	79 of 154 - Sale <sup>25</sup>
0.001		1.77	08/04/20	2.10	0.33 W	0.00	80 of 154 - Sale <sup>25</sup>
0.001		1.82	08/04/20	2.15	0.33 W	0.00	81 of 154 - Sale <sup>25</sup>
0.004		5.49	08/04/20	6.79	1.30 W	0.00	82 of 154 - Sale <sup>25</sup>
0.009		12.72	08/04/20	14.96	2.24 W	0.00	83 of 154 - Sale <sup>25</sup>
0.026		38.06	08/04/20	42.18	4.12 W	0.00	84 of 154 - Sale <sup>25</sup>
0.040		58.59	08/04/20	64.80	6.21 W	0.00	85 of 154 - Sale <sup>25</sup>
0.044		64.64	08/04/20	78.61	13.97 W	0.00	86 of 154 - Sale <sup>25</sup>
0.044		64.76	08/04/20	75.11	10.35 W	0.00	87 of 154 - Sale <sup>25</sup>
0.057		84.07	08/04/20	97.15	13.08 W	0.00	88 of 154 - Sale <sup>25</sup>
0.062		92.01	08/04/20	107.87	15.86 W	0.00	89 of 154 - Sale <sup>25</sup>
0.068		100.94	08/04/20	117.48	16.54 W	0.00	90 of 154 - Sale <sup>25</sup>
0.072		106.86	08/04/20	133.61	26.75 W	0.00	91 of 154 - Sale <sup>25</sup>
0.075		111.15	08/04/20	129.03	17.88 W	0.00	92 of 154 - Sale <sup>25</sup>
0.078		115.75	08/04/20	139.25	23.50 W	0.00	93 of 154 - Sale <sup>25</sup>
0.082		121.57	08/04/20	152.09	30.52 W	0.00	94 of 154 - Sale <sup>25</sup>
0.077		113.26	08/04/20	134.80	21.54 W	0.00	95 of 154 - Sale <sup>25</sup>
0.090		132.51	08/04/20	156.89	24.38 W	0.00	96 of 154 - Sale <sup>25</sup>
0.117		172.67	08/04/20	202.37	29.70 W	0.00	97 of 154 - Sale <sup>25</sup>
0.137		202.66	08/04/20	237.62	34.96 W	0.00	98 of 154 - Sale <sup>25</sup>
0.274		404.33	08/04/20	468.97	64.64 W	0.00	99 of 154 - Sale <sup>25</sup>
0.005		7.42	08/04/20	8.24	0.82 W	0.00	100 of 154 - Sale <sup>25</sup>
0.012		18.14	08/04/20	21.16	3.02 W	0.00	101 of 154 - Sale <sup>25</sup>
0.016		23.73	08/04/20	27.27	3.54 W	0.00	102 of 154 - Sale <sup>25</sup>
0.018		26.02	08/04/20	29.30	3.28 W	0.00	103 of 154 - Sale <sup>25</sup>
0.054		79.38	08/04/20	90.43	11.05 W	0.00	104 of 154 - Sale <sup>25</sup>
0.054		80.23	08/04/20	89.36	9.13 W	0.00	105 of 154 - Sale <sup>25</sup>
0.088		129.22	08/04/20	148.48	19.26 W	0.00	106 of 154 - Sale <sup>25</sup>
0.166		244.43	08/04/20	278.73	34.30 W	0.00	107 of 154 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.226	333.70	08/04/20	407.03	73.33 W	0.00	108 of 154 - Sale <sup>25</sup>
	0.057	84.34	08/04/20	111.24	26.90 W	0.00	109 of 154 - Sale <sup>25</sup>
	0.073	107.34	08/04/20	143.25	35.91 W	0.00	110 of 154 - Sale <sup>25</sup>
	0.035	52.31	08/04/20	64.14	11.83 W	0.00	111 of 154 - Sale <sup>25</sup>
	0.159	234.18	08/04/20	264.95	30.77 W	0.00	112 of 154 - Sale <sup>25</sup>
	0.676	997.14	08/04/20	1,125.04	127.90 W	0.00	113 of 154 - Sale <sup>25</sup>
	0.011	16.88	08/04/20	18.70	1.82 W	0.00	114 of 154 - Sale <sup>25</sup>
	0.114	168.92	08/04/20	185.55	16.63 W	0.00	115 of 154 - Sale <sup>25</sup>
	0.159	235.62	08/04/20	258.50	22.88 W	0.00	116 of 154 - Sale <sup>25</sup>
	0.163	241.30	08/04/20	264.81	23.51 W	0.00	117 of 154 - Sale <sup>25</sup>
	0.026	39.14	08/04/20	47.96	8.82 W	0.00	118 of 154 - Sale <sup>25</sup>
	0.044	65.20	08/04/20	80.90	15.70 W	0.00	119 of 154 - Sale <sup>25</sup>
	0.046	68.67	08/04/20	87.25	18.58 W	0.00	120 of 154 - Sale <sup>25</sup>
	0.067	99.70	08/04/20	124.19	24.49 W	0.00	121 of 154 - Sale <sup>25</sup>
	0.080	119.17	08/04/20	147.80	28.63 W	0.00	122 of 154 - Sale <sup>25</sup>
	0.116	172.86	08/04/20	214.56	41.70 W	0.00	123 of 154 - Sale <sup>25</sup>
	0.005	6.94	08/04/20	9.23	2.29 W	0.00	124 of 154 - Sale <sup>25</sup>
	0.013	19.29	08/04/20	25.62	6.33 W	0.00	125 of 154 - Sale <sup>25</sup>
	0.063	93.67	08/04/20	126.36	32.69 W	0.00	126 of 154 - Sale <sup>25</sup>
	0.092	136.94	08/04/20	180.38	43.44 W	0.00	127 of 154 - Sale <sup>25</sup>
	0.001	0.78	08/04/20	1.07	0.29 W	0.00	128 of 154 - Sale <sup>25</sup>
	0.001	0.96	08/04/20	1.28	0.32 W	0.00	129 of 154 - Sale <sup>25</sup>
	0.002	2.91	08/04/20	3.96	1.05 W	0.00	130 of 154 - Sale <sup>25</sup>
	0.060	89.30	08/04/20	122.65	33.35 W	0.00	131 of 154 - Sale <sup>25</sup>
	0.006	9.46	08/04/20	12.77	3.31 W	0.00	132 of 154 - Sale <sup>25</sup>
	0.075	111.37	08/04/20	151.98	40.61 W	0.00	133 of 154 - Sale <sup>25</sup>
	0.117	173.70	08/04/20	235.24	61.54 W	0.00	134 of 154 - Sale <sup>25</sup>
	0.131	194.54	08/04/20	263.58	69.04 W	0.00	135 of 154 - Sale <sup>25</sup>
	0.177	262.58	08/04/20	354.49	91.91 W	0.00	136 of 154 - Sale <sup>25</sup>
	0.021	31.19	08/04/20	42.63	11.44 W	0.00	137 of 154 - Sale <sup>25</sup>
	0.022	32.09	08/04/20	39.51	7.42 W	0.00	138 of 154 - Sale <sup>25</sup>
	0.024	35.27	08/04/20	48.29	13.02 W	0.00	139 of 154 - Sale <sup>25</sup>
	0.142	211.27	08/04/20	280.26	68.99 W	0.00	140 of 154 - Sale <sup>25</sup>
	0.144	213.98	08/04/20	288.84	74.86 W	0.00	141 of 154 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.209	310.69	08/04/20	419.48	108.79 W	0.00	142 of 154 - Sale <sup>25</sup>
	0.043	63.21	08/04/20	70.38	7.17 W	0.00	143 of 154 - Sale <sup>25</sup>
	1.000	1,483.16	08/04/20	1,668.77	185.61 W	0.00	144 of 154 - Sale <sup>25</sup>
	0.026	38.52	08/04/20	39.19	0.67 W	0.00	145 of 154 - Sale <sup>25</sup>
	0.215	318.48	08/04/20	348.54	30.06 W	0.00	146 of 154 - Sale <sup>25</sup>
	0.271	401.27	08/04/20	410.25	8.98 W	0.00	147 of 154 - Sale <sup>25</sup>
	0.554	822.32	08/04/20	837.58	15.26 W	0.00	148 of 154 - Sale <sup>25</sup>
	0.759	1,125.62	08/04/20	1,236.34	110.72 W	0.00	149 of 154 - Sale <sup>25</sup>
	0.004	5.51	08/04/20	6.94	1.43 W	0.00	150 of 154 - Sale <sup>25</sup>
	0.034	51.16	08/04/20	64.05	12.89 W	0.00	151 of 154 - Sale <sup>25</sup>
	0.121	178.76	08/04/20	221.45	42.69 W	0.00	152 of 154 - Sale <sup>25</sup>
	0.005	7.39	08/04/20	7.60	0.21 W	0.00	153 of 154 - Sale <sup>25</sup>
	0.836	1,240.34	08/04/20	1,275.45	35.11 W	0.00	154 of 154 - Sale <sup>25</sup>
08/04/20	14.000	20,795.22	Various	24,237.56	3,442.34 W	0.00	Total of 154 transactions
	147 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	0.062	92.02	08/05/20	113.04	21.02 W	0.00	1 of 147 - Sale <sup>25</sup>
	0.253	375.43	08/05/20	463.67	88.24 W	0.00	2 of 147 - Sale <sup>25</sup>
	0.001	1.12	08/05/20	1.42	0.30 W	0.00	3 of 147 - Sale <sup>25</sup>
	0.002	2.39	08/05/20	2.58	0.19 W	0.00	4 of 147 - Sale <sup>25</sup>
	0.002	2.64	08/05/20	3.20	0.56 W	0.00	5 of 147 - Sale <sup>25</sup>
	0.003	4.50	08/05/20	5.71	1.21 W	0.00	6 of 147 - Sale <sup>25</sup>
	0.003	4.60	08/05/20	5.47	0.87 W	0.00	7 of 147 - Sale <sup>25</sup>
	0.004	5.31	08/05/20	6.37	1.06 W	0.00	8 of 147 - Sale <sup>25</sup>
	0.006	9.08	08/05/20	10.90	1.82 W	0.00	9 of 147 - Sale <sup>25</sup>
	0.010	14.46	08/05/20	18.35	3.89 W	0.00	10 of 147 - Sale <sup>25</sup>
	0.010	15.06	08/05/20	18.11	3.05 W	0.00	11 of 147 - Sale <sup>25</sup>
	0.015	22.57	08/05/20	29.56	6.99 W	0.00	12 of 147 - Sale <sup>25</sup>
	0.019	28.56	08/05/20	34.93	6.37 W	0.00	13 of 147 - Sale <sup>25</sup>
	0.020	29.72	08/05/20	31.83	2.11 W	0.00	14 of 147 - Sale <sup>25</sup>
	0.032	46.83	08/05/20	56.54	9.71 W	0.00	15 of 147 - Sale <sup>25</sup>
	0.040	58.53	08/05/20	62.70	4.17 W	0.00	16 of 147 - Sale <sup>25</sup>
	0.040	59.29	08/05/20	71.47	12.18 W	0.00	17 of 147 - Sale <sup>25</sup>
	0.046	67.59	08/05/20	82.68	15.09 W	0.00	18 of 147 - Sale <sup>25</sup>
	0.050	74.32	08/05/20	90.77	16.45 W	0.00	19 of 147 - Sale <sup>25</sup>

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(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.055		82.16	08/05/20	99.04	16.88 W	0.00	20 of 147 - Sale <sup>25</sup>
0.056		83.29	08/05/20	105.47	22.18 W	0.00	21 of 147 - Sale <sup>25</sup>
0.064		94.74	08/05/20	102.47	7.73 W	0.00	22 of 147 - Sale <sup>25</sup>
0.075		111.52	08/05/20	141.15	29.63 W	0.00	23 of 147 - Sale <sup>25</sup>
0.086		127.07	08/05/20	166.75	39.68 W	0.00	24 of 147 - Sale <sup>25</sup>
0.123		182.83	08/05/20	234.78	51.95 W	0.00	25 of 147 - Sale <sup>25</sup>
0.130		193.02	08/05/20	233.27	40.25 W	0.00	26 of 147 - Sale <sup>25</sup>
0.142		210.20	08/05/20	255.77	45.57 W	0.00	27 of 147 - Sale <sup>25</sup>
0.109		161.49	08/05/20	211.64	50.15 W	0.00	28 of 147 - Sale <sup>25</sup>
0.145		214.16	08/05/20	229.13	14.97 W	0.00	29 of 147 - Sale <sup>25</sup>
0.156		230.71	08/05/20	282.02	51.31 W	0.00	30 of 147 - Sale <sup>25</sup>
0.241		357.72	08/05/20	431.27	73.55 W	0.00	31 of 147 - Sale <sup>25</sup>
0.034		51.13	08/05/20	66.96	15.83 W	0.00	32 of 147 - Sale <sup>25</sup>
0.000		0.15	08/05/20	0.17	0.02 W	0.00	33 of 147 - Sale <sup>25</sup>
0.001		1.58	08/05/20	1.85	0.27 W	0.00	34 of 147 - Sale <sup>25</sup>
0.002		3.43	08/05/20	3.89	0.46 W	0.00	35 of 147 - Sale <sup>25</sup>
0.006		8.68	08/05/20	9.74	1.06 W	0.00	36 of 147 - Sale <sup>25</sup>
0.011		15.94	08/05/20	18.03	2.09 W	0.00	37 of 147 - Sale <sup>25</sup>
0.015		22.04	08/05/20	24.90	2.86 W	0.00	38 of 147 - Sale <sup>25</sup>
0.018		25.97	08/05/20	29.00	3.03 W	0.00	39 of 147 - Sale <sup>25</sup>
0.020		29.33	08/05/20	33.10	3.77 W	0.00	40 of 147 - Sale <sup>25</sup>
0.022		32.90	08/05/20	40.16	7.26 W	0.00	41 of 147 - Sale <sup>25</sup>
0.042		62.51	08/05/20	68.12	5.61 W	0.00	42 of 147 - Sale <sup>25</sup>
0.058		85.94	08/05/20	104.89	18.95 W	0.00	43 of 147 - Sale <sup>25</sup>
0.062		92.31	08/05/20	100.71	8.40 W	0.00	44 of 147 - Sale <sup>25</sup>
0.084		123.91	08/05/20	139.34	15.43 W	0.00	45 of 147 - Sale <sup>25</sup>
0.093		137.51	08/05/20	167.78	30.27 W	0.00	46 of 147 - Sale <sup>25</sup>
0.107		158.65	08/05/20	180.64	21.99 W	0.00	47 of 147 - Sale <sup>25</sup>
0.123		182.23	08/05/20	227.48	45.25 W	0.00	48 of 147 - Sale <sup>25</sup>
0.127		187.95	08/05/20	212.30	24.35 W	0.00	49 of 147 - Sale <sup>25</sup>
0.005		6.97	08/05/20	7.87	0.90 W	0.00	50 of 147 - Sale <sup>25</sup>
0.017		24.83	08/05/20	28.08	3.25 W	0.00	51 of 147 - Sale <sup>25</sup>
0.028		40.84	08/05/20	46.26	5.42 W	0.00	52 of 147 - Sale <sup>25</sup>
0.037		55.17	08/05/20	62.21	7.04 W	0.00	53 of 147 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.089		132.51	08/05/20	139.04	6.53 W	0.00	54 of 147 - Sale <sup>25</sup>
0.011		16.27	08/05/20	20.40	4.13 W	0.00	55 of 147 - Sale <sup>25</sup>
0.027		40.50	08/05/20	46.96	6.46 W	0.00	56 of 147 - Sale <sup>25</sup>
0.041		61.48	08/05/20	73.98	12.50 W	0.00	57 of 147 - Sale <sup>25</sup>
0.062		91.89	08/05/20	115.14	23.25 W	0.00	58 of 147 - Sale <sup>25</sup>
0.066		97.29	08/05/20	110.77	13.48 W	0.00	59 of 147 - Sale <sup>25</sup>
0.076		112.76	08/05/20	122.22	9.46 W	0.00	60 of 147 - Sale <sup>25</sup>
0.086		127.11	08/05/20	143.29	16.18 W	0.00	61 of 147 - Sale <sup>25</sup>
0.094		139.30	08/05/20	151.05	11.75 W	0.00	62 of 147 - Sale <sup>25</sup>
0.107		158.10	08/05/20	171.40	13.30 W	0.00	63 of 147 - Sale <sup>25</sup>
0.160		236.88	08/05/20	285.04	48.16 W	0.00	64 of 147 - Sale <sup>25</sup>
0.271		401.38	08/05/20	433.13	31.75 W	0.00	65 of 147 - Sale <sup>25</sup>
0.011		16.88	08/05/20	18.66	1.78 W	0.00	66 of 147 - Sale <sup>25</sup>
0.114		168.92	08/05/20	185.17	16.25 W	0.00	67 of 147 - Sale <sup>25</sup>
0.159		235.61	08/05/20	257.96	22.35 W	0.00	68 of 147 - Sale <sup>25</sup>
0.163		241.30	08/05/20	264.27	22.97 W	0.00	69 of 147 - Sale <sup>25</sup>
0.026		39.14	08/05/20	47.87	8.73 W	0.00	70 of 147 - Sale <sup>25</sup>
0.044		65.20	08/05/20	80.75	15.55 W	0.00	71 of 147 - Sale <sup>25</sup>
0.046		68.67	08/05/20	87.09	18.42 W	0.00	72 of 147 - Sale <sup>25</sup>
0.067		99.70	08/05/20	123.96	24.26 W	0.00	73 of 147 - Sale <sup>25</sup>
0.080		119.17	08/05/20	147.53	28.36 W	0.00	74 of 147 - Sale <sup>25</sup>
0.116		172.86	08/05/20	214.17	41.31 W	0.00	75 of 147 - Sale <sup>25</sup>
0.001		0.96	08/05/20	1.27	0.31 W	0.00	76 of 147 - Sale <sup>25</sup>
0.005		6.94	08/05/20	9.21	2.27 W	0.00	77 of 147 - Sale <sup>25</sup>
0.006		9.47	08/05/20	12.76	3.29 W	0.00	78 of 147 - Sale <sup>25</sup>
0.013		19.29	08/05/20	25.57	6.28 W	0.00	79 of 147 - Sale <sup>25</sup>
0.057		84.85	08/05/20	111.56	26.71 W	0.00	80 of 147 - Sale <sup>25</sup>
0.063		93.68	08/05/20	126.16	32.48 W	0.00	81 of 147 - Sale <sup>25</sup>
0.073		107.99	08/05/20	143.66	35.67 W	0.00	82 of 147 - Sale <sup>25</sup>
0.092		136.94	08/05/20	180.07	43.13 W	0.00	83 of 147 - Sale <sup>25</sup>
0.152		225.18	08/05/20	303.45	78.27 W	0.00	84 of 147 - Sale <sup>25</sup>
0.001		0.84	08/05/20	0.96	0.12 W	0.00	85 of 147 - Sale <sup>25</sup>
0.001		0.91	08/05/20	1.05	0.14 W	0.00	86 of 147 - Sale <sup>25</sup>
0.001		0.96	08/05/20	1.18	0.22 W	0.00	87 of 147 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.001	1.83	08/05/20	2.16	0.33 W	0.00	88 of 147 - Sale <sup>25</sup>
	0.006	9.43	08/05/20	11.12	1.69 W	0.00	89 of 147 - Sale <sup>25</sup>
	0.008	11.63	08/05/20	13.52	1.89 W	0.00	90 of 147 - Sale <sup>25</sup>
	0.009	12.79	08/05/20	15.00	2.21 W	0.00	91 of 147 - Sale <sup>25</sup>
	0.009	13.13	08/05/20	15.78	2.65 W	0.00	92 of 147 - Sale <sup>25</sup>
	0.037	55.09	08/05/20	63.77	8.68 W	0.00	93 of 147 - Sale <sup>25</sup>
	0.044	65.03	08/05/20	78.86	13.83 W	0.00	94 of 147 - Sale <sup>25</sup>
	0.044	65.16	08/05/20	75.36	10.20 W	0.00	95 of 147 - Sale <sup>25</sup>
	0.047	70.44	08/05/20	81.25	10.81 W	0.00	96 of 147 - Sale <sup>25</sup>
	0.052	77.90	08/05/20	94.06	16.16 W	0.00	97 of 147 - Sale <sup>25</sup>
	0.049	73.00	08/05/20	85.34	12.34 W	0.00	98 of 147 - Sale <sup>25</sup>
	0.072	107.51	08/05/20	134.02	26.51 W	0.00	99 of 147 - Sale <sup>25</sup>
	0.077	113.95	08/05/20	135.23	21.28 W	0.00	100 of 147 - Sale <sup>25</sup>
	0.078	116.46	08/05/20	139.69	23.23 W	0.00	101 of 147 - Sale <sup>25</sup>
	0.082	122.31	08/05/20	152.55	30.24 W	0.00	102 of 147 - Sale <sup>25</sup>
	0.094	138.78	08/05/20	159.27	20.49 W	0.00	103 of 147 - Sale <sup>25</sup>
	0.129	191.96	08/05/20	222.15	30.19 W	0.00	104 of 147 - Sale <sup>25</sup>
	0.133	197.82	08/05/20	228.06	30.24 W	0.00	105 of 147 - Sale <sup>25</sup>
	0.137	203.07	08/05/20	244.76	41.69 W	0.00	106 of 147 - Sale <sup>25</sup>
	0.137	203.90	08/05/20	238.40	34.50 W	0.00	107 of 147 - Sale <sup>25</sup>
	0.188	279.42	08/05/20	341.44	62.02 W	0.00	108 of 147 - Sale <sup>25</sup>
	0.274	406.79	08/05/20	470.52	63.73 W	0.00	109 of 147 - Sale <sup>25</sup>
	0.001	1.78	08/05/20	2.11	0.33 W	0.00	110 of 147 - Sale <sup>25</sup>
	0.004	5.53	08/05/20	6.81	1.28 W	0.00	111 of 147 - Sale <sup>25</sup>
	0.026	38.30	08/05/20	42.33	4.03 W	0.00	112 of 147 - Sale <sup>25</sup>
	0.035	52.64	08/05/20	64.34	11.70 W	0.00	113 of 147 - Sale <sup>25</sup>
	0.040	58.96	08/05/20	65.03	6.07 W	0.00	114 of 147 - Sale <sup>25</sup>
	0.057	84.60	08/05/20	97.47	12.87 W	0.00	115 of 147 - Sale <sup>25</sup>
	0.013	19.57	08/05/20	22.88	3.31 W	0.00	116 of 147 - Sale <sup>25</sup>
	0.068	101.57	08/05/20	117.86	16.29 W	0.00	117 of 147 - Sale <sup>25</sup>
	0.075	111.85	08/05/20	129.45	17.60 W	0.00	118 of 147 - Sale <sup>25</sup>
	0.090	133.34	08/05/20	157.40	24.06 W	0.00	119 of 147 - Sale <sup>25</sup>
	0.117	173.75	08/05/20	203.03	29.28 W	0.00	120 of 147 - Sale <sup>25</sup>
	0.159	235.65	08/05/20	265.85	30.20 W	0.00	121 of 147 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.676	1,003.39	08/05/20	1,128.84	125.45 W	0.00	122 of 147 - Sale <sup>25</sup>
	0.005	7.47	08/05/20	8.27	0.80 W	0.00	123 of 147 - Sale <sup>25</sup>
	0.012	18.26	08/05/20	21.23	2.97 W	0.00	124 of 147 - Sale <sup>25</sup>
	0.016	23.88	08/05/20	27.36	3.48 W	0.00	125 of 147 - Sale <sup>25</sup>
	0.018	26.18	08/05/20	29.40	3.22 W	0.00	126 of 147 - Sale <sup>25</sup>
	0.054	79.88	08/05/20	90.73	10.85 W	0.00	127 of 147 - Sale <sup>25</sup>
	0.054	80.73	08/05/20	89.67	8.94 W	0.00	128 of 147 - Sale <sup>25</sup>
	0.088	130.03	08/05/20	148.97	18.94 W	0.00	129 of 147 - Sale <sup>25</sup>
	0.166	245.97	08/05/20	279.67	33.70 W	0.00	130 of 147 - Sale <sup>25</sup>
	0.226	335.80	08/05/20	408.31	72.51 W	0.00	131 of 147 - Sale <sup>25</sup>
	0.001	0.78	08/05/20	1.07	0.29 W	0.00	132 of 147 - Sale <sup>25</sup>
	0.002	2.91	08/05/20	3.95	1.04 W	0.00	133 of 147 - Sale <sup>25</sup>
	0.025	37.60	08/05/20	50.63	13.03 W	0.00	134 of 147 - Sale <sup>25</sup>
	0.060	89.39	08/05/20	122.45	33.06 W	0.00	135 of 147 - Sale <sup>25</sup>
	0.075	111.47	08/05/20	151.72	40.25 W	0.00	136 of 147 - Sale <sup>25</sup>
	0.117	173.86	08/05/20	234.84	60.98 W	0.00	137 of 147 - Sale <sup>25</sup>
	0.131	194.73	08/05/20	263.14	68.41 W	0.00	138 of 147 - Sale <sup>25</sup>
	0.021	31.22	08/05/20	42.55	11.33 W	0.00	139 of 147 - Sale <sup>25</sup>
	0.022	32.12	08/05/20	39.44	7.32 W	0.00	140 of 147 - Sale <sup>25</sup>
	0.024	35.31	08/05/20	48.21	12.90 W	0.00	141 of 147 - Sale <sup>25</sup>
	0.142	211.47	08/05/20	279.77	68.30 W	0.00	142 of 147 - Sale <sup>25</sup>
	0.144	214.18	08/05/20	288.35	74.17 W	0.00	143 of 147 - Sale <sup>25</sup>
	0.209	310.98	08/05/20	418.77	107.79 W	0.00	144 of 147 - Sale <sup>25</sup>
	0.026	38.56	08/05/20	42.80	4.24 W	0.00	145 of 147 - Sale <sup>25</sup>
	0.017	24.71	08/05/20	27.52	2.81 W	0.00	146 of 147 - Sale <sup>25</sup>
	0.983	1,459.84	08/05/20	1,642.38	182.54 W	0.00	147 of 147 - Sale <sup>25</sup>
08/05/20	11.000	16,319.49	Various	19,399.20	3,079.71 W	0.00	Total of 147 transactions
	163 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	0.004	5.17	08/10/20	6.69	1.52 W	0.00	1 of 163 - Sale <sup>25</sup>
	0.034	48.00	08/10/20	61.70	13.70 W	0.00	2 of 163 - Sale <sup>25</sup>
	0.121	167.73	08/10/20	213.27	45.54 W	0.00	3 of 163 - Sale <sup>25</sup>
	0.159	220.90	08/10/20	279.92	59.02 W	0.00	4 of 163 - Sale <sup>25</sup>
	0.017	23.17	08/10/20	26.65	3.48 W	0.00	5 of 163 - Sale <sup>25</sup>
	0.165	229.50	08/10/20	256.48	26.98 W	0.00	6 of 163 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.005		6.93	08/10/20	7.26	0.33 W	0.00	7 of 163 - Sale <sup>25</sup>
0.026		36.14	08/10/20	37.43	1.29 W	0.00	8 of 163 - Sale <sup>25</sup>
0.050		69.33	08/10/20	77.49	8.16 W	0.00	9 of 163 - Sale <sup>25</sup>
0.271		376.47	08/10/20	391.88	15.41 W	0.00	10 of 163 - Sale <sup>25</sup>
0.554		771.50	08/10/20	799.94	28.44 W	0.00	11 of 163 - Sale <sup>25</sup>
0.759		1,056.06	08/10/20	1,184.82	128.76 W	0.00	12 of 163 - Sale <sup>25</sup>
0.836		1,163.69	08/10/20	1,218.69	55.00 W	0.00	13 of 163 - Sale <sup>25</sup>
0.062		86.43	08/11/20	108.15	21.72 W	0.00	14 of 163 - Sale <sup>25</sup>
0.095		131.75	08/11/20	165.78	34.03 W	0.00	15 of 163 - Sale <sup>25</sup>
0.005		7.37	08/11/20	9.12	1.75 W	0.00	16 of 163 - Sale <sup>25</sup>
0.040		55.69	08/11/20	68.32	12.63 W	0.00	17 of 163 - Sale <sup>25</sup>
0.055		77.17	08/11/20	94.67	17.50 W	0.00	18 of 163 - Sale <sup>25</sup>
0.241		336.00	08/11/20	412.26	76.26 W	0.00	19 of 163 - Sale <sup>25</sup>
0.011		16.64	08/11/20	17.59	0.95 W	0.00	20 of 163 - Sale <sup>25</sup>
0.114		166.53	08/11/20	174.44	7.91 W	0.00	21 of 163 - Sale <sup>25</sup>
0.159		232.28	08/11/20	243.00	10.72 W	0.00	22 of 163 - Sale <sup>25</sup>
0.163		237.88	08/11/20	248.94	11.06 W	0.00	23 of 163 - Sale <sup>25</sup>
0.026		38.59	08/11/20	45.38	6.79 W	0.00	24 of 163 - Sale <sup>25</sup>
0.044		64.28	08/11/20	76.61	12.33 W	0.00	25 of 163 - Sale <sup>25</sup>
0.067		98.28	08/11/20	117.62	19.34 W	0.00	26 of 163 - Sale <sup>25</sup>
0.074		108.01	08/11/20	128.67	20.66 W	0.00	27 of 163 - Sale <sup>25</sup>
0.001		1.11	08/11/20	1.36	0.25 W	0.00	28 of 163 - Sale <sup>25</sup>
0.002		2.36	08/11/20	2.43	0.07 W	0.00	29 of 163 - Sale <sup>25</sup>
0.002		2.60	08/11/20	3.03	0.43 W	0.00	30 of 163 - Sale <sup>25</sup>
0.003		4.45	08/11/20	5.44	0.99 W	0.00	31 of 163 - Sale <sup>25</sup>
0.003		4.54	08/11/20	5.19	0.65 W	0.00	32 of 163 - Sale <sup>25</sup>
0.004		5.24	08/11/20	6.04	0.80 W	0.00	33 of 163 - Sale <sup>25</sup>
0.006		8.97	08/11/20	10.34	1.37 W	0.00	34 of 163 - Sale <sup>25</sup>
0.010		14.28	08/11/20	17.46	3.18 W	0.00	35 of 163 - Sale <sup>25</sup>
0.010		14.88	08/11/20	17.18	2.30 W	0.00	36 of 163 - Sale <sup>25</sup>
0.015		22.29	08/11/20	28.17	5.88 W	0.00	37 of 163 - Sale <sup>25</sup>
0.019		28.21	08/11/20	33.17	4.96 W	0.00	38 of 163 - Sale <sup>25</sup>
0.020		29.36	08/11/20	30.00	0.64 W	0.00	39 of 163 - Sale <sup>25</sup>
0.032		46.25	08/11/20	53.65	7.40 W	0.00	40 of 163 - Sale <sup>25</sup>

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(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.040		57.81	08/11/20	59.08	1.27 W	0.00	41 of 163 - Sale <sup>25</sup>
0.046		66.76	08/11/20	78.51	11.75 W	0.00	42 of 163 - Sale <sup>25</sup>
0.050		73.41	08/11/20	86.18	12.77 W	0.00	43 of 163 - Sale <sup>25</sup>
0.056		82.27	08/11/20	100.33	18.06 W	0.00	44 of 163 - Sale <sup>25</sup>
0.064		93.58	08/11/20	96.62	3.04 W	0.00	45 of 163 - Sale <sup>25</sup>
0.075		110.15	08/11/20	134.27	24.12 W	0.00	46 of 163 - Sale <sup>25</sup>
0.086		125.50	08/11/20	158.90	33.40 W	0.00	47 of 163 - Sale <sup>25</sup>
0.109		159.52	08/11/20	201.67	42.15 W	0.00	48 of 163 - Sale <sup>25</sup>
0.123		180.58	08/11/20	223.49	42.91 W	0.00	49 of 163 - Sale <sup>25</sup>
0.130		190.65	08/11/20	221.35	30.70 W	0.00	50 of 163 - Sale <sup>25</sup>
0.137		199.88	08/11/20	233.74	33.86 W	0.00	51 of 163 - Sale <sup>25</sup>
0.145		211.53	08/11/20	215.90	4.37 W	0.00	52 of 163 - Sale <sup>25</sup>
0.156		227.88	08/11/20	267.78	39.90 W	0.00	53 of 163 - Sale <sup>25</sup>
0.034		50.13	08/11/20	63.77	13.64 W	0.00	54 of 163 - Sale <sup>25</sup>
0.000		0.15	08/11/20	0.16	0.01 W	0.00	55 of 163 - Sale <sup>25</sup>
0.001		1.55	08/11/20	1.75	0.20 W	0.00	56 of 163 - Sale <sup>25</sup>
0.002		3.36	08/11/20	3.68	0.32 W	0.00	57 of 163 - Sale <sup>25</sup>
0.006		8.50	08/11/20	9.19	0.69 W	0.00	58 of 163 - Sale <sup>25</sup>
0.011		15.63	08/11/20	17.04	1.41 W	0.00	59 of 163 - Sale <sup>25</sup>
0.015		21.61	08/11/20	23.53	1.92 W	0.00	60 of 163 - Sale <sup>25</sup>
0.018		25.46	08/11/20	27.38	1.92 W	0.00	61 of 163 - Sale <sup>25</sup>
0.020		28.75	08/11/20	31.27	2.52 W	0.00	62 of 163 - Sale <sup>25</sup>
0.022		32.26	08/11/20	38.11	5.85 W	0.00	63 of 163 - Sale <sup>25</sup>
0.042		61.28	08/11/20	64.22	2.94 W	0.00	64 of 163 - Sale <sup>25</sup>
0.058		84.25	08/11/20	99.53	15.28 W	0.00	65 of 163 - Sale <sup>25</sup>
0.062		90.49	08/11/20	94.95	4.46 W	0.00	66 of 163 - Sale <sup>25</sup>
0.084		121.47	08/11/20	131.61	10.14 W	0.00	67 of 163 - Sale <sup>25</sup>
0.093		134.80	08/11/20	159.20	24.40 W	0.00	68 of 163 - Sale <sup>25</sup>
0.107		155.52	08/11/20	170.74	15.22 W	0.00	69 of 163 - Sale <sup>25</sup>
0.123		178.65	08/11/20	216.11	37.46 W	0.00	70 of 163 - Sale <sup>25</sup>
0.127		184.25	08/11/20	200.57	16.32 W	0.00	71 of 163 - Sale <sup>25</sup>
0.005		6.83	08/11/20	7.43	0.60 W	0.00	72 of 163 - Sale <sup>25</sup>
0.011		15.94	08/11/20	19.38	3.44 W	0.00	73 of 163 - Sale <sup>25</sup>
0.017		24.34	08/11/20	26.53	2.19 W	0.00	74 of 163 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.027		39.69	08/11/20	44.42	4.73 W	0.00	75 of 163 - Sale <sup>25</sup>
0.028		40.04	08/11/20	43.72	3.68 W	0.00	76 of 163 - Sale <sup>25</sup>
0.037		54.09	08/11/20	58.77	4.68 W	0.00	77 of 163 - Sale <sup>25</sup>
0.041		60.25	08/11/20	70.13	9.88 W	0.00	78 of 163 - Sale <sup>25</sup>
0.062		90.06	08/11/20	109.38	19.32 W	0.00	79 of 163 - Sale <sup>25</sup>
0.066		95.35	08/11/20	104.67	9.32 W	0.00	80 of 163 - Sale <sup>25</sup>
0.076		110.50	08/11/20	115.15	4.65 W	0.00	81 of 163 - Sale <sup>25</sup>
0.086		124.57	08/11/20	135.32	10.75 W	0.00	82 of 163 - Sale <sup>25</sup>
0.089		129.91	08/11/20	130.78	0.87 W	0.00	83 of 163 - Sale <sup>25</sup>
0.094		136.52	08/11/20	142.32	5.80 W	0.00	84 of 163 - Sale <sup>25</sup>
0.107		154.94	08/11/20	161.49	6.55 W	0.00	85 of 163 - Sale <sup>25</sup>
0.160		232.14	08/11/20	270.18	38.04 W	0.00	86 of 163 - Sale <sup>25</sup>
0.271		393.36	08/11/20	407.97	14.61 W	0.00	87 of 163 - Sale <sup>25</sup>
0.006		9.40	08/11/20	11.44	2.04 W	0.00	88 of 163 - Sale <sup>25</sup>
0.046		67.23	08/11/20	83.87	16.64 W	0.00	89 of 163 - Sale <sup>25</sup>
0.116		169.26	08/11/20	206.07	36.81 W	0.00	90 of 163 - Sale <sup>25</sup>
0.001		0.94	08/11/20	1.22	0.28 W	0.00	91 of 163 - Sale <sup>25</sup>
0.005		6.79	08/11/20	8.88	2.09 W	0.00	92 of 163 - Sale <sup>25</sup>
0.006		9.27	08/11/20	12.31	3.04 W	0.00	93 of 163 - Sale <sup>25</sup>
0.013		18.88	08/11/20	24.66	5.78 W	0.00	94 of 163 - Sale <sup>25</sup>
0.057		83.08	08/11/20	107.58	24.50 W	0.00	95 of 163 - Sale <sup>25</sup>
0.063		91.73	08/11/20	121.77	30.04 W	0.00	96 of 163 - Sale <sup>25</sup>
0.073		105.74	08/11/20	138.60	32.86 W	0.00	97 of 163 - Sale <sup>25</sup>
0.092		134.08	08/11/20	173.65	39.57 W	0.00	98 of 163 - Sale <sup>25</sup>
0.152		220.49	08/11/20	292.90	72.41 W	0.00	99 of 163 - Sale <sup>25</sup>
0.009		12.86	08/11/20	15.17	2.31 W	0.00	100 of 163 - Sale <sup>25</sup>
0.035		51.14	08/11/20	60.61	9.47 W	0.00	101 of 163 - Sale <sup>25</sup>
0.137		198.83	08/11/20	235.25	36.42 W	0.00	102 of 163 - Sale <sup>25</sup>
0.188		273.59	08/11/20	328.35	54.76 W	0.00	103 of 163 - Sale <sup>25</sup>
0.001		0.77	08/11/20	1.07	0.30 W	0.00	104 of 163 - Sale <sup>25</sup>
0.002		2.85	08/11/20	3.96	1.11 W	0.00	105 of 163 - Sale <sup>25</sup>
0.025		36.81	08/11/20	50.74	13.93 W	0.00	106 of 163 - Sale <sup>25</sup>
0.060		87.51	08/11/20	122.71	35.20 W	0.00	107 of 163 - Sale <sup>25</sup>
0.075		109.13	08/11/20	152.05	42.92 W	0.00	108 of 163 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.117		170.20	08/11/20	235.35	65.15 W	0.00	109 of 163 - Sale <sup>25</sup>
0.131		190.63	08/11/20	263.71	73.08 W	0.00	110 of 163 - Sale <sup>25</sup>
0.021		30.56	08/11/20	42.64	12.08 W	0.00	111 of 163 - Sale <sup>25</sup>
0.022		31.44	08/11/20	39.53	8.09 W	0.00	112 of 163 - Sale <sup>25</sup>
0.024		34.56	08/11/20	48.31	13.75 W	0.00	113 of 163 - Sale <sup>25</sup>
0.142		207.01	08/11/20	280.39	73.38 W	0.00	114 of 163 - Sale <sup>25</sup>
0.144		209.67	08/11/20	288.98	79.31 W	0.00	115 of 163 - Sale <sup>25</sup>
0.209		304.44	08/11/20	419.69	115.25 W	0.00	116 of 163 - Sale <sup>25</sup>
0.001		0.83	08/11/20	0.97	0.14 W	0.00	117 of 163 - Sale <sup>25</sup>
0.001		0.90	08/11/20	1.06	0.16 W	0.00	118 of 163 - Sale <sup>25</sup>
0.001		0.94	08/11/20	1.18	0.24 W	0.00	119 of 163 - Sale <sup>25</sup>
0.001		1.74	08/11/20	2.12	0.38 W	0.00	120 of 163 - Sale <sup>25</sup>
0.001		1.79	08/11/20	2.17	0.38 W	0.00	121 of 163 - Sale <sup>25</sup>
0.004		5.41	08/11/20	6.82	1.41 W	0.00	122 of 163 - Sale <sup>25</sup>
0.006		9.24	08/11/20	11.15	1.91 W	0.00	123 of 163 - Sale <sup>25</sup>
0.008		11.39	08/11/20	13.56	2.17 W	0.00	124 of 163 - Sale <sup>25</sup>
0.009		12.53	08/11/20	15.04	2.51 W	0.00	125 of 163 - Sale <sup>25</sup>
0.013		19.16	08/11/20	22.94	3.78 W	0.00	126 of 163 - Sale <sup>25</sup>
0.017		24.19	08/11/20	27.60	3.41 W	0.00	127 of 163 - Sale <sup>25</sup>
0.017		25.14	08/11/20	31.08	5.94 W	0.00	128 of 163 - Sale <sup>25</sup>
0.026		37.49	08/11/20	42.44	4.95 W	0.00	129 of 163 - Sale <sup>25</sup>
0.026		37.74	08/11/20	42.91	5.17 W	0.00	130 of 163 - Sale <sup>25</sup>
0.035		51.53	08/11/20	64.50	12.97 W	0.00	131 of 163 - Sale <sup>25</sup>
0.037		53.94	08/11/20	63.95	10.01 W	0.00	132 of 163 - Sale <sup>25</sup>
0.040		57.72	08/11/20	65.21	7.49 W	0.00	133 of 163 - Sale <sup>25</sup>
0.044		63.68	08/11/20	79.07	15.39 W	0.00	134 of 163 - Sale <sup>25</sup>
0.044		63.80	08/11/20	75.56	11.76 W	0.00	135 of 163 - Sale <sup>25</sup>
0.047		68.97	08/11/20	81.47	12.50 W	0.00	136 of 163 - Sale <sup>25</sup>
0.049		71.48	08/11/20	85.57	14.09 W	0.00	137 of 163 - Sale <sup>25</sup>
0.057		82.82	08/11/20	97.72	14.90 W	0.00	138 of 163 - Sale <sup>25</sup>
0.068		99.43	08/11/20	118.16	18.73 W	0.00	139 of 163 - Sale <sup>25</sup>
0.072		105.26	08/11/20	134.36	29.10 W	0.00	140 of 163 - Sale <sup>25</sup>
0.075		109.49	08/11/20	129.78	20.29 W	0.00	141 of 163 - Sale <sup>25</sup>
0.077		111.57	08/11/20	135.59	24.02 W	0.00	142 of 163 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.078	114.03	08/11/20	140.05	26.02 W	0.00	143 of 163 - Sale <sup>25</sup>
	0.082	119.76	08/11/20	152.93	33.17 W	0.00	144 of 163 - Sale <sup>25</sup>
	0.090	130.54	08/11/20	157.80	27.26 W	0.00	145 of 163 - Sale <sup>25</sup>
	0.094	135.88	08/11/20	159.71	23.83 W	0.00	146 of 163 - Sale <sup>25</sup>
	0.117	170.10	08/11/20	203.55	33.45 W	0.00	147 of 163 - Sale <sup>25</sup>
	0.129	187.95	08/11/20	222.75	34.80 W	0.00	148 of 163 - Sale <sup>25</sup>
	0.133	193.69	08/11/20	228.68	34.99 W	0.00	149 of 163 - Sale <sup>25</sup>
	0.137	199.64	08/11/20	239.04	39.40 W	0.00	150 of 163 - Sale <sup>25</sup>
	0.159	230.69	08/11/20	266.55	35.86 W	0.00	151 of 163 - Sale <sup>25</sup>
	0.274	398.30	08/11/20	471.80	73.50 W	0.00	152 of 163 - Sale <sup>25</sup>
	0.641	931.74	08/11/20	1,073.60	141.86 W	0.00	153 of 163 - Sale <sup>25</sup>
	0.676	982.27	08/11/20	1,131.80	149.53 W	0.00	154 of 163 - Sale <sup>25</sup>
	0.005	7.31	08/11/20	8.29	0.98 W	0.00	155 of 163 - Sale <sup>25</sup>
	0.012	17.87	08/11/20	21.28	3.41 W	0.00	156 of 163 - Sale <sup>25</sup>
	0.016	23.38	08/11/20	27.43	4.05 W	0.00	157 of 163 - Sale <sup>25</sup>
	0.018	25.63	08/11/20	29.48	3.85 W	0.00	158 of 163 - Sale <sup>25</sup>
	0.054	78.20	08/11/20	90.96	12.76 W	0.00	159 of 163 - Sale <sup>25</sup>
	0.054	79.04	08/11/20	89.91	10.87 W	0.00	160 of 163 - Sale <sup>25</sup>
	0.088	127.29	08/11/20	149.35	22.06 W	0.00	161 of 163 - Sale <sup>25</sup>
	0.166	240.79	08/11/20	280.39	39.60 W	0.00	162 of 163 - Sale <sup>25</sup>
	0.226	328.73	08/11/20	409.30	80.57 W	0.00	163 of 163 - Sale <sup>25</sup>
08/11/20	13.499	19,422.09	Various	22,642.59	3,220.50 W	0.00	Total of 163 transactions
	25 transactions for 08/12/20. Total proceeds and cost reported to the IRS.						
	0.011	17.85	08/11/20	17.73	...	0.12	1 of 25 - Sale <sup>25</sup>
	0.005	7.82	08/11/20	7.68	...	0.14	2 of 25 - Sale <sup>25</sup>
	0.026	40.77	08/11/20	39.60	...	1.17	3 of 25 - Sale <sup>25</sup>
	0.114	178.65	08/11/20	175.88	...	2.77	4 of 25 - Sale <sup>25</sup>
	0.163	255.20	08/11/20	251.01	...	4.19	5 of 25 - Sale <sup>25</sup>
	0.159	249.18	08/11/20	244.85	...	4.33	6 of 25 - Sale <sup>25</sup>
	0.204	320.94	08/11/20	312.06	...	8.88	7 of 25 - Sale <sup>25</sup>
	0.271	424.70	08/11/20	414.47	...	10.23	8 of 25 - Sale <sup>25</sup>
	0.350	549.38	08/11/20	530.59	...	18.79	9 of 25 - Sale <sup>25</sup>
	0.836	1,312.77	08/11/20	1,288.51	...	24.26	10 of 25 - Sale <sup>25</sup>
	0.000	0.16	08/12/20	0.16	...	0.00	11 of 25 - Sale <sup>25</sup>

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Account 527125207

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.002	2.53	08/12/20	2.47	...	0.06	12 of 25 - Sale <sup>25</sup>
	0.042	66.19	08/12/20	65.69	...	0.50	13 of 25 - Sale <sup>25</sup>
	0.062	97.74	08/12/20	97.13	...	0.61	14 of 25 - Sale <sup>25</sup>
	0.020	31.49	08/12/20	30.50	...	0.99	15 of 25 - Sale <sup>25</sup>
	0.076	119.36	08/12/20	117.81	...	1.55	16 of 25 - Sale <sup>25</sup>
	0.094	147.46	08/12/20	145.61	...	1.85	17 of 25 - Sale <sup>25</sup>
	0.040	62.02	08/12/20	60.07	...	1.95	18 of 25 - Sale <sup>25</sup>
	0.107	167.35	08/12/20	165.22	...	2.13	19 of 25 - Sale <sup>25</sup>
	0.064	100.39	08/12/20	98.22	...	2.17	20 of 25 - Sale <sup>25</sup>
	0.089	140.31	08/12/20	133.90	...	6.41	21 of 25 - Sale <sup>25</sup>
	0.145	226.92	08/12/20	219.51	...	7.41	22 of 25 - Sale <sup>25</sup>
	0.271	424.88	08/12/20	417.44	...	7.44	23 of 25 - Sale <sup>25</sup>
	1.000	1,569.76	08/12/20	1,538.00	...	31.76	24 of 25 - Sale <sup>25</sup>
	4.159	6,528.23	08/12/20	6,396.14	...	132.09	25 of 25 - Sale <sup>25</sup>
08/12/20	8.308	13,042.05	Various	12,770.25	...	271.80	Total of 25 transactions
145 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	0.004	5.83	08/11/20	6.96	1.13 W	0.00	1 of 145 - Sale <sup>25</sup>
	0.034	54.14	08/11/20	64.22	10.08 W	0.00	2 of 145 - Sale <sup>25</sup>
	0.121	189.20	08/11/20	222.09	32.89 W	0.00	3 of 145 - Sale <sup>25</sup>
	0.159	249.18	08/11/20	291.53	42.35 W	0.00	4 of 145 - Sale <sup>25</sup>
	0.017	26.13	08/11/20	27.87	1.74 W	0.00	5 of 145 - Sale <sup>25</sup>
	0.050	78.21	08/11/20	81.14	2.93 W	0.00	6 of 145 - Sale <sup>25</sup>
	0.165	258.87	08/11/20	268.53	9.66 W	0.00	7 of 145 - Sale <sup>25</sup>
	0.342	537.23	08/11/20	564.83	27.60 W	0.00	8 of 145 - Sale <sup>25</sup>
	0.759	1,191.35	08/11/20	1,240.42	49.07 W	0.00	9 of 145 - Sale <sup>25</sup>
	0.062	97.50	08/11/20	113.34	15.84 W	0.00	10 of 145 - Sale <sup>25</sup>
	0.095	148.63	08/11/20	173.68	25.05 W	0.00	11 of 145 - Sale <sup>25</sup>
	0.005	8.31	08/11/20	9.56	1.25 W	0.00	12 of 145 - Sale <sup>25</sup>
	0.040	62.83	08/11/20	71.66	8.83 W	0.00	13 of 145 - Sale <sup>25</sup>
	0.055	87.05	08/11/20	99.30	12.25 W	0.00	14 of 145 - Sale <sup>25</sup>
	0.241	379.04	08/11/20	432.42	53.38 W	0.00	15 of 145 - Sale <sup>25</sup>
	0.026	41.39	08/11/20	45.71	4.32 W	0.00	16 of 145 - Sale <sup>25</sup>
	0.044	68.96	08/11/20	77.17	8.21 W	0.00	17 of 145 - Sale <sup>25</sup>
	0.067	105.44	08/11/20	118.48	13.04 W	0.00	18 of 145 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.074		115.87	08/11/20	129.61	13.74 W	0.00	19 of 145 - Sale <sup>25</sup>
0.002		2.79	08/11/20	3.06	0.27 W	0.00	20 of 145 - Sale <sup>25</sup>
0.010		15.96	08/11/20	17.31	1.35 W	0.00	21 of 145 - Sale <sup>25</sup>
0.019		30.27	08/11/20	33.42	3.15 W	0.00	22 of 145 - Sale <sup>25</sup>
0.046		71.62	08/11/20	79.09	7.47 W	0.00	23 of 145 - Sale <sup>25</sup>
0.050		78.75	08/11/20	86.82	8.07 W	0.00	24 of 145 - Sale <sup>25</sup>
0.082		128.13	08/11/20	139.71	11.58 W	0.00	25 of 145 - Sale <sup>25</sup>
0.137		214.43	08/11/20	235.48	21.05 W	0.00	26 of 145 - Sale <sup>25</sup>
0.156		244.46	08/11/20	269.75	25.29 W	0.00	27 of 145 - Sale <sup>25</sup>
0.001		1.19	08/12/20	1.38	0.19 W	0.00	28 of 145 - Sale <sup>25</sup>
0.003		4.77	08/12/20	5.51	0.74 W	0.00	29 of 145 - Sale <sup>25</sup>
0.003		4.87	08/12/20	5.27	0.40 W	0.00	30 of 145 - Sale <sup>25</sup>
0.004		5.63	08/12/20	6.13	0.50 W	0.00	31 of 145 - Sale <sup>25</sup>
0.006		9.63	08/12/20	10.50	0.87 W	0.00	32 of 145 - Sale <sup>25</sup>
0.010		15.32	08/12/20	17.70	2.38 W	0.00	33 of 145 - Sale <sup>25</sup>
0.015		23.91	08/12/20	28.55	4.64 W	0.00	34 of 145 - Sale <sup>25</sup>
0.032		49.62	08/12/20	54.44	4.82 W	0.00	35 of 145 - Sale <sup>25</sup>
0.034		54.14	08/12/20	64.97	10.83 W	0.00	36 of 145 - Sale <sup>25</sup>
0.049		76.39	08/12/20	83.90	7.51 W	0.00	37 of 145 - Sale <sup>25</sup>
0.056		88.26	08/12/20	101.73	13.47 W	0.00	38 of 145 - Sale <sup>25</sup>
0.075		118.17	08/12/20	136.15	17.98 W	0.00	39 of 145 - Sale <sup>25</sup>
0.086		134.64	08/12/20	161.05	26.41 W	0.00	40 of 145 - Sale <sup>25</sup>
0.109		171.12	08/12/20	204.39	33.27 W	0.00	41 of 145 - Sale <sup>25</sup>
0.123		193.72	08/12/20	226.57	32.85 W	0.00	42 of 145 - Sale <sup>25</sup>
0.001		1.67	08/12/20	1.79	0.12 W	0.00	43 of 145 - Sale <sup>25</sup>
0.002		3.63	08/12/20	3.76	0.13 W	0.00	44 of 145 - Sale <sup>25</sup>
0.006		9.19	08/12/20	9.40	0.21 W	0.00	45 of 145 - Sale <sup>25</sup>
0.011		16.88	08/12/20	17.41	0.53 W	0.00	46 of 145 - Sale <sup>25</sup>
0.015		23.34	08/12/20	24.05	0.71 W	0.00	47 of 145 - Sale <sup>25</sup>
0.018		27.50	08/12/20	27.99	0.49 W	0.00	48 of 145 - Sale <sup>25</sup>
0.020		31.06	08/12/20	31.97	0.91 W	0.00	49 of 145 - Sale <sup>25</sup>
0.022		34.84	08/12/20	38.88	4.04 W	0.00	50 of 145 - Sale <sup>25</sup>
0.058		91.00	08/12/20	101.56	10.56 W	0.00	51 of 145 - Sale <sup>25</sup>
0.084		131.20	08/12/20	134.54	3.34 W	0.00	52 of 145 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.093		145.60	08/12/20	162.44	16.84 W	0.00	53 of 145 - Sale <sup>25</sup>
0.107		167.99	08/12/20	174.49	6.50 W	0.00	54 of 145 - Sale <sup>25</sup>
0.123		192.96	08/12/20	220.40	27.44 W	0.00	55 of 145 - Sale <sup>25</sup>
0.127		199.02	08/12/20	205.00	5.98 W	0.00	56 of 145 - Sale <sup>25</sup>
0.005		7.38	08/12/20	7.60	0.22 W	0.00	57 of 145 - Sale <sup>25</sup>
0.007		11.64	08/12/20	12.32	0.68 W	0.00	58 of 145 - Sale <sup>25</sup>
0.017		26.29	08/12/20	27.12	0.83 W	0.00	59 of 145 - Sale <sup>25</sup>
0.028		43.25	08/12/20	44.68	1.43 W	0.00	60 of 145 - Sale <sup>25</sup>
0.037		58.42	08/12/20	60.07	1.65 W	0.00	61 of 145 - Sale <sup>25</sup>
0.066		102.99	08/12/20	106.95	3.96 W	0.00	62 of 145 - Sale <sup>25</sup>
0.086		134.55	08/12/20	138.32	3.77 W	0.00	63 of 145 - Sale <sup>25</sup>
0.006		10.15	08/12/20	11.67	1.52 W	0.00	64 of 145 - Sale <sup>25</sup>
0.046		72.62	08/12/20	85.49	12.87 W	0.00	65 of 145 - Sale <sup>25</sup>
0.106		166.40	08/12/20	191.27	24.87 W	0.00	66 of 145 - Sale <sup>25</sup>
0.011		17.22	08/12/20	19.76	2.54 W	0.00	67 of 145 - Sale <sup>25</sup>
0.020		31.23	08/12/20	33.06	1.83 W	0.00	68 of 145 - Sale <sup>25</sup>
0.041		65.08	08/12/20	71.58	6.50 W	0.00	69 of 145 - Sale <sup>25</sup>
0.062		97.27	08/12/20	111.54	14.27 W	0.00	70 of 145 - Sale <sup>25</sup>
0.160		250.74	08/12/20	275.78	25.04 W	0.00	71 of 145 - Sale <sup>25</sup>
0.010		16.42	08/12/20	19.40	2.98 W	0.00	72 of 145 - Sale <sup>25</sup>
0.001		0.83	08/12/20	1.11	0.28 W	0.00	73 of 145 - Sale <sup>25</sup>
0.001		1.01	08/12/20	1.27	0.26 W	0.00	74 of 145 - Sale <sup>25</sup>
0.002		3.08	08/12/20	4.12	1.04 W	0.00	75 of 145 - Sale <sup>25</sup>
0.005		7.34	08/12/20	9.28	1.94 W	0.00	76 of 145 - Sale <sup>25</sup>
0.006		10.01	08/12/20	12.85	2.84 W	0.00	77 of 145 - Sale <sup>25</sup>
0.013		20.40	08/12/20	25.76	5.36 W	0.00	78 of 145 - Sale <sup>25</sup>
0.025		39.76	08/12/20	52.89	13.13 W	0.00	79 of 145 - Sale <sup>25</sup>
0.057		89.74	08/12/20	112.42	22.68 W	0.00	80 of 145 - Sale <sup>25</sup>
0.060		94.52	08/12/20	127.81	33.29 W	0.00	81 of 145 - Sale <sup>25</sup>
0.063		99.08	08/12/20	127.11	28.03 W	0.00	82 of 145 - Sale <sup>25</sup>
0.073		114.21	08/12/20	144.76	30.55 W	0.00	83 of 145 - Sale <sup>25</sup>
0.075		117.87	08/12/20	158.40	40.53 W	0.00	84 of 145 - Sale <sup>25</sup>
0.092		144.82	08/12/20	181.46	36.64 W	0.00	85 of 145 - Sale <sup>25</sup>
0.117		183.84	08/12/20	245.27	61.43 W	0.00	86 of 145 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.131		205.90	08/12/20	274.82	68.92 W	0.00	87 of 145 - Sale <sup>25</sup>
0.152		238.15	08/12/20	305.74	67.59 W	0.00	88 of 145 - Sale <sup>25</sup>
0.021		33.01	08/12/20	44.42	11.41 W	0.00	89 of 145 - Sale <sup>25</sup>
0.022		33.96	08/12/20	41.36	7.40 W	0.00	90 of 145 - Sale <sup>25</sup>
0.024		37.33	08/12/20	50.33	13.00 W	0.00	91 of 145 - Sale <sup>25</sup>
0.142		223.60	08/12/20	292.46	68.86 W	0.00	92 of 145 - Sale <sup>25</sup>
0.144		226.47	08/12/20	301.20	74.73 W	0.00	93 of 145 - Sale <sup>25</sup>
0.209		328.83	08/12/20	437.43	108.60 W	0.00	94 of 145 - Sale <sup>25</sup>
0.001		0.89	08/12/20	1.01	0.12 W	0.00	95 of 145 - Sale <sup>25</sup>
0.001		0.97	08/12/20	1.11	0.14 W	0.00	96 of 145 - Sale <sup>25</sup>
0.001		1.01	08/12/20	1.23	0.22 W	0.00	97 of 145 - Sale <sup>25</sup>
0.001		1.88	08/12/20	2.22	0.34 W	0.00	98 of 145 - Sale <sup>25</sup>
0.001		1.94	08/12/20	2.28	0.34 W	0.00	99 of 145 - Sale <sup>25</sup>
0.004		5.84	08/12/20	7.14	1.30 W	0.00	100 of 145 - Sale <sup>25</sup>
0.006		9.98	08/12/20	11.68	1.70 W	0.00	101 of 145 - Sale <sup>25</sup>
0.008		12.30	08/12/20	14.22	1.92 W	0.00	102 of 145 - Sale <sup>25</sup>
0.009		13.53	08/12/20	15.77	2.24 W	0.00	103 of 145 - Sale <sup>25</sup>
0.009		13.89	08/12/20	15.92	2.03 W	0.00	104 of 145 - Sale <sup>25</sup>
0.013		20.69	08/12/20	24.06	3.37 W	0.00	105 of 145 - Sale <sup>25</sup>
0.017		26.13	08/12/20	29.01	2.88 W	0.00	106 of 145 - Sale <sup>25</sup>
0.017		27.15	08/12/20	32.54	5.39 W	0.00	107 of 145 - Sale <sup>25</sup>
0.026		40.50	08/12/20	44.63	4.13 W	0.00	108 of 145 - Sale <sup>25</sup>
0.026		40.77	08/12/20	45.11	4.34 W	0.00	109 of 145 - Sale <sup>25</sup>
0.035		55.24	08/12/20	63.59	8.35 W	0.00	110 of 145 - Sale <sup>25</sup>
0.035		55.66	08/12/20	67.51	11.85 W	0.00	111 of 145 - Sale <sup>25</sup>
0.037		58.27	08/12/20	67.10	8.83 W	0.00	112 of 145 - Sale <sup>25</sup>
0.040		62.35	08/12/20	68.57	6.22 W	0.00	113 of 145 - Sale <sup>25</sup>
0.044		68.78	08/12/20	82.78	14.00 W	0.00	114 of 145 - Sale <sup>25</sup>
0.044		68.91	08/12/20	79.28	10.37 W	0.00	115 of 145 - Sale <sup>25</sup>
0.047		74.50	08/12/20	85.49	10.99 W	0.00	116 of 145 - Sale <sup>25</sup>
0.049		77.20	08/12/20	89.73	12.53 W	0.00	117 of 145 - Sale <sup>25</sup>
0.057		89.45	08/12/20	102.54	13.09 W	0.00	118 of 145 - Sale <sup>25</sup>
0.068		107.40	08/12/20	123.96	16.56 W	0.00	119 of 145 - Sale <sup>25</sup>
0.072		113.70	08/12/20	140.50	26.80 W	0.00	120 of 145 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.075	118.26	08/12/20	136.16	17.90 W	0.00	121 of 145 - Sale <sup>25</sup>
	0.077	120.51	08/12/20	142.09	21.58 W	0.00	122 of 145 - Sale <sup>25</sup>
	0.078	123.16	08/12/20	146.69	23.53 W	0.00	123 of 145 - Sale <sup>25</sup>
	0.082	129.35	08/12/20	159.91	30.56 W	0.00	124 of 145 - Sale <sup>25</sup>
	0.090	141.00	08/12/20	165.40	24.40 W	0.00	125 of 145 - Sale <sup>25</sup>
	0.094	146.77	08/12/20	167.63	20.86 W	0.00	126 of 145 - Sale <sup>25</sup>
	0.117	183.73	08/12/20	213.46	29.73 W	0.00	127 of 145 - Sale <sup>25</sup>
	0.129	203.01	08/12/20	233.71	30.70 W	0.00	128 of 145 - Sale <sup>25</sup>
	0.133	209.21	08/12/20	239.96	30.75 W	0.00	129 of 145 - Sale <sup>25</sup>
	0.137	214.77	08/12/20	246.84	32.07 W	0.00	130 of 145 - Sale <sup>25</sup>
	0.137	215.64	08/12/20	250.67	35.03 W	0.00	131 of 145 - Sale <sup>25</sup>
	0.159	249.18	08/12/20	279.99	30.81 W	0.00	132 of 145 - Sale <sup>25</sup>
	0.188	295.51	08/12/20	344.29	48.78 W	0.00	133 of 145 - Sale <sup>25</sup>
	0.274	430.22	08/12/20	495.01	64.79 W	0.00	134 of 145 - Sale <sup>25</sup>
	0.641	1,006.40	08/12/20	1,127.90	121.50 W	0.00	135 of 145 - Sale <sup>25</sup>
	0.676	1,060.98	08/12/20	1,189.04	128.06 W	0.00	136 of 145 - Sale <sup>25</sup>
	0.005	7.90	08/12/20	8.72	0.82 W	0.00	137 of 145 - Sale <sup>25</sup>
	0.012	19.30	08/12/20	22.32	3.02 W	0.00	138 of 145 - Sale <sup>25</sup>
	0.016	25.25	08/12/20	28.79	3.54 W	0.00	139 of 145 - Sale <sup>25</sup>
	0.018	27.69	08/12/20	30.98	3.29 W	0.00	140 of 145 - Sale <sup>25</sup>
	0.054	84.46	08/12/20	95.51	11.05 W	0.00	141 of 145 - Sale <sup>25</sup>
	0.054	85.37	08/12/20	94.51	9.14 W	0.00	142 of 145 - Sale <sup>25</sup>
	0.088	137.49	08/12/20	156.77	19.28 W	0.00	143 of 145 - Sale <sup>25</sup>
	0.166	260.08	08/12/20	294.42	34.34 W	0.00	144 of 145 - Sale <sup>25</sup>
	0.226	355.07	08/12/20	428.45	73.38 W	0.00	145 of 145 - Sale <sup>25</sup>
08/12/20	10.692	16,783.48	Various	19,252.16	2,468.68 W	0.00	Total of 145 transactions
	18 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	0.018	27.67	08/12/20	27.63	...	0.04	1 of 18 - Sale <sup>25</sup>
	0.006	9.21	08/12/20	9.04	...	0.17	2 of 18 - Sale <sup>25</sup>
	0.011	16.92	08/12/20	16.75	...	0.17	3 of 18 - Sale <sup>25</sup>
	0.015	23.40	08/12/20	23.14	...	0.26	4 of 18 - Sale <sup>25</sup>
	0.050	78.42	08/12/20	78.07	...	0.35	5 of 18 - Sale <sup>25</sup>
	0.020	31.14	08/12/20	30.75	...	0.39	6 of 18 - Sale <sup>25</sup>
	0.107	168.44	08/12/20	167.89	...	0.55	7 of 18 - Sale <sup>25</sup>

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(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.759	1,194.54	08/12/20	1,193.64	...	0.90	8 of 18 - Sale <sup>25</sup>
	0.165	259.56	08/12/20	258.37	...	1.19	9 of 18 - Sale <sup>25</sup>
	0.077	121.91	08/12/20	120.46	...	1.45	10 of 18 - Sale <sup>25</sup>
	0.001	1.24	08/13/20	1.23	...	0.01	11 of 18 - Sale <sup>25</sup>
	0.002	3.75	08/13/20	3.73	...	0.02	12 of 18 - Sale <sup>25</sup>
	0.005	7.62	08/13/20	7.53	...	0.09	13 of 18 - Sale <sup>25</sup>
	0.037	60.33	08/13/20	60.21	...	0.12	14 of 18 - Sale <sup>25</sup>
	0.017	27.15	08/13/20	26.93	...	0.22	15 of 18 - Sale <sup>25</sup>
	0.086	138.95	08/13/20	138.63	...	0.32	16 of 18 - Sale <sup>25</sup>
	0.049	79.96	08/13/20	79.16	...	0.80	17 of 18 - Sale <sup>25</sup>
	0.084	135.50	08/13/20	133.54	...	1.96	18 of 18 - Sale <sup>25</sup>
08/13/20	1.507	2,385.71	Various	2,376.70	...	9.01	Total of 18 transactions
	244 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	0.004	5.87	08/12/20	6.89	1.02 W	0.00	1 of 244 - Sale <sup>25</sup>
	0.034	54.48	08/12/20	63.51	9.03 W	0.00	2 of 244 - Sale <sup>25</sup>
	0.121	190.38	08/12/20	219.62	29.24 W	0.00	3 of 244 - Sale <sup>25</sup>
	0.159	250.73	08/12/20	288.27	37.54 W	0.00	4 of 244 - Sale <sup>25</sup>
	0.026	41.65	08/12/20	45.17	3.52 W	0.00	5 of 244 - Sale <sup>25</sup>
	0.044	69.38	08/12/20	76.26	6.88 W	0.00	6 of 244 - Sale <sup>25</sup>
	0.062	98.11	08/12/20	112.07	13.96 W	0.00	7 of 244 - Sale <sup>25</sup>
	0.067	106.09	08/12/20	117.10	11.01 W	0.00	8 of 244 - Sale <sup>25</sup>
	0.074	116.60	08/12/20	128.10	11.50 W	0.00	9 of 244 - Sale <sup>25</sup>
	0.095	149.55	08/12/20	171.73	22.18 W	0.00	10 of 244 - Sale <sup>25</sup>
	0.002	2.81	08/12/20	3.03	0.22 W	0.00	11 of 244 - Sale <sup>25</sup>
	0.005	8.36	08/12/20	9.45	1.09 W	0.00	12 of 244 - Sale <sup>25</sup>
	0.006	10.22	08/12/20	11.54	1.32 W	0.00	13 of 244 - Sale <sup>25</sup>
	0.010	16.06	08/12/20	17.10	1.04 W	0.00	14 of 244 - Sale <sup>25</sup>
	0.010	16.52	08/12/20	19.18	2.66 W	0.00	15 of 244 - Sale <sup>25</sup>
	0.019	30.46	08/12/20	33.02	2.56 W	0.00	16 of 244 - Sale <sup>25</sup>
	0.040	63.22	08/12/20	70.83	7.61 W	0.00	17 of 244 - Sale <sup>25</sup>
	0.046	72.06	08/12/20	78.15	6.09 W	0.00	18 of 244 - Sale <sup>25</sup>
	0.046	73.07	08/12/20	84.54	11.47 W	0.00	19 of 244 - Sale <sup>25</sup>
	0.050	79.24	08/12/20	85.79	6.55 W	0.00	20 of 244 - Sale <sup>25</sup>
	0.055	87.60	08/12/20	98.17	10.57 W	0.00	21 of 244 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.082		128.93	08/12/20	138.04	9.11 W	0.00	22 of 244 - Sale <sup>25</sup>
0.106		167.44	08/12/20	189.09	21.65 W	0.00	23 of 244 - Sale <sup>25</sup>
0.137		215.76	08/12/20	232.67	16.91 W	0.00	24 of 244 - Sale <sup>25</sup>
0.156		245.99	08/12/20	266.55	20.56 W	0.00	25 of 244 - Sale <sup>25</sup>
0.241		381.40	08/12/20	427.46	46.06 W	0.00	26 of 244 - Sale <sup>25</sup>
0.001		1.20	08/12/20	1.37	0.17 W	0.00	27 of 244 - Sale <sup>25</sup>
0.003		4.80	08/12/20	5.45	0.65 W	0.00	28 of 244 - Sale <sup>25</sup>
0.003		4.90	08/12/20	5.21	0.31 W	0.00	29 of 244 - Sale <sup>25</sup>
0.004		5.66	08/12/20	6.05	0.39 W	0.00	30 of 244 - Sale <sup>25</sup>
0.006		9.69	08/12/20	10.37	0.68 W	0.00	31 of 244 - Sale <sup>25</sup>
0.009		14.49	08/12/20	17.85	3.36 W	0.00	32 of 244 - Sale <sup>25</sup>
0.010		15.41	08/12/20	17.50	2.09 W	0.00	33 of 244 - Sale <sup>25</sup>
0.015		24.06	08/12/20	28.24	4.18 W	0.00	34 of 244 - Sale <sup>25</sup>
0.017		26.30	08/12/20	27.53	1.23 W	0.00	35 of 244 - Sale <sup>25</sup>
0.032		49.93	08/12/20	53.79	3.86 W	0.00	36 of 244 - Sale <sup>25</sup>
0.034		54.48	08/12/20	64.26	9.78 W	0.00	37 of 244 - Sale <sup>25</sup>
0.049		76.87	08/12/20	82.90	6.03 W	0.00	38 of 244 - Sale <sup>25</sup>
0.056		88.81	08/12/20	100.57	11.76 W	0.00	39 of 244 - Sale <sup>25</sup>
0.057		90.30	08/12/20	111.24	20.94 W	0.00	40 of 244 - Sale <sup>25</sup>
0.073		114.92	08/12/20	143.27	28.35 W	0.00	41 of 244 - Sale <sup>25</sup>
0.075		118.91	08/12/20	134.60	15.69 W	0.00	42 of 244 - Sale <sup>25</sup>
0.086		135.48	08/12/20	159.29	23.81 W	0.00	43 of 244 - Sale <sup>25</sup>
0.109		172.19	08/12/20	202.15	29.96 W	0.00	44 of 244 - Sale <sup>25</sup>
0.123		194.93	08/12/20	224.04	29.11 W	0.00	45 of 244 - Sale <sup>25</sup>
0.001		1.68	08/12/20	1.77	0.09 W	0.00	46 of 244 - Sale <sup>25</sup>
0.002		3.65	08/12/20	3.71	0.06 W	0.00	47 of 244 - Sale <sup>25</sup>
0.006		9.24	08/12/20	9.28	0.04 W	0.00	48 of 244 - Sale <sup>25</sup>
0.011		16.98	08/12/20	17.19	0.21 W	0.00	49 of 244 - Sale <sup>25</sup>
0.015		23.49	08/12/20	23.75	0.26 W	0.00	50 of 244 - Sale <sup>25</sup>
0.020		31.25	08/12/20	31.56	0.31 W	0.00	51 of 244 - Sale <sup>25</sup>
0.022		35.06	08/12/20	38.43	3.37 W	0.00	52 of 244 - Sale <sup>25</sup>
0.050		78.70	08/12/20	80.12	1.42 W	0.00	53 of 244 - Sale <sup>25</sup>
0.058		91.57	08/12/20	100.37	8.80 W	0.00	54 of 244 - Sale <sup>25</sup>
0.084		132.02	08/12/20	132.83	0.81 W	0.00	55 of 244 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.093		146.51	08/12/20	160.54	14.03 W	0.00	56 of 244 - Sale <sup>25</sup>
0.107		169.03	08/12/20	172.29	3.26 W	0.00	57 of 244 - Sale <sup>25</sup>
0.123		194.16	08/12/20	217.88	23.72 W	0.00	58 of 244 - Sale <sup>25</sup>
0.127		200.26	08/12/20	202.39	2.13 W	0.00	59 of 244 - Sale <sup>25</sup>
0.165		260.48	08/12/20	265.14	4.66 W	0.00	60 of 244 - Sale <sup>25</sup>
0.342		540.58	08/12/20	557.80	17.22 W	0.00	61 of 244 - Sale <sup>25</sup>
0.759		1,198.77	08/12/20	1,224.83	26.06 W	0.00	62 of 244 - Sale <sup>25</sup>
0.005		7.43	08/12/20	7.50	0.07 W	0.00	63 of 244 - Sale <sup>25</sup>
0.007		11.71	08/12/20	12.17	0.46 W	0.00	64 of 244 - Sale <sup>25</sup>
0.011		17.32	08/12/20	19.53	2.21 W	0.00	65 of 244 - Sale <sup>25</sup>
0.017		26.45	08/12/20	26.78	0.33 W	0.00	66 of 244 - Sale <sup>25</sup>
0.020		31.43	08/12/20	32.65	1.22 W	0.00	67 of 244 - Sale <sup>25</sup>
0.028		43.52	08/12/20	44.11	0.59 W	0.00	68 of 244 - Sale <sup>25</sup>
0.037		58.79	08/12/20	59.31	0.52 W	0.00	69 of 244 - Sale <sup>25</sup>
0.041		65.49	08/12/20	70.73	5.24 W	0.00	70 of 244 - Sale <sup>25</sup>
0.062		97.88	08/12/20	110.27	12.39 W	0.00	71 of 244 - Sale <sup>25</sup>
0.066		103.63	08/12/20	105.60	1.97 W	0.00	72 of 244 - Sale <sup>25</sup>
0.086		135.39	08/12/20	136.56	1.17 W	0.00	73 of 244 - Sale <sup>25</sup>
0.160		252.30	08/12/20	272.50	20.20 W	0.00	74 of 244 - Sale <sup>25</sup>
0.004		5.85	08/12/20	6.74	0.89 W	0.00	75 of 244 - Sale <sup>25</sup>
0.034		54.29	08/12/20	62.09	7.80 W	0.00	76 of 244 - Sale <sup>25</sup>
0.121		189.71	08/12/20	214.67	24.96 W	0.00	77 of 244 - Sale <sup>25</sup>
0.159		249.84	08/12/20	281.75	31.91 W	0.00	78 of 244 - Sale <sup>25</sup>
0.026		41.50	08/12/20	44.09	2.59 W	0.00	79 of 244 - Sale <sup>25</sup>
0.044		69.14	08/12/20	74.46	5.32 W	0.00	80 of 244 - Sale <sup>25</sup>
0.062		97.77	08/12/20	109.52	11.75 W	0.00	81 of 244 - Sale <sup>25</sup>
0.067		105.72	08/12/20	114.34	8.62 W	0.00	82 of 244 - Sale <sup>25</sup>
0.074		116.18	08/12/20	125.06	8.88 W	0.00	83 of 244 - Sale <sup>25</sup>
0.095		149.02	08/12/20	167.84	18.82 W	0.00	84 of 244 - Sale <sup>25</sup>
0.002		2.80	08/12/20	2.96	0.16 W	0.00	85 of 244 - Sale <sup>25</sup>
0.005		8.33	08/12/20	9.23	0.90 W	0.00	86 of 244 - Sale <sup>25</sup>
0.006		10.18	08/12/20	11.27	1.09 W	0.00	87 of 244 - Sale <sup>25</sup>
0.010		16.00	08/12/20	16.68	0.68 W	0.00	88 of 244 - Sale <sup>25</sup>
0.010		16.46	08/12/20	18.75	2.29 W	0.00	89 of 244 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.019		30.35	08/12/20	32.22	1.87 W	0.00	90 of 244 - Sale <sup>25</sup>
0.040		62.99	08/12/20	69.18	6.19 W	0.00	91 of 244 - Sale <sup>25</sup>
0.046		71.81	08/12/20	76.28	4.47 W	0.00	92 of 244 - Sale <sup>25</sup>
0.046		72.82	08/12/20	82.64	9.82 W	0.00	93 of 244 - Sale <sup>25</sup>
0.050		78.96	08/12/20	83.73	4.77 W	0.00	94 of 244 - Sale <sup>25</sup>
0.055		87.29	08/12/20	95.89	8.60 W	0.00	95 of 244 - Sale <sup>25</sup>
0.082		128.47	08/12/20	134.69	6.22 W	0.00	96 of 244 - Sale <sup>25</sup>
0.106		166.85	08/12/20	184.73	17.88 W	0.00	97 of 244 - Sale <sup>25</sup>
0.137		215.00	08/12/20	227.06	12.06 W	0.00	98 of 244 - Sale <sup>25</sup>
0.156		245.12	08/12/20	260.14	15.02 W	0.00	99 of 244 - Sale <sup>25</sup>
0.241		380.05	08/12/20	417.54	37.49 W	0.00	100 of 244 - Sale <sup>25</sup>
0.001		1.19	08/12/20	1.34	0.15 W	0.00	101 of 244 - Sale <sup>25</sup>
0.003		4.78	08/12/20	5.33	0.55 W	0.00	102 of 244 - Sale <sup>25</sup>
0.003		4.89	08/12/20	5.09	0.20 W	0.00	103 of 244 - Sale <sup>25</sup>
0.004		5.64	08/12/20	5.90	0.26 W	0.00	104 of 244 - Sale <sup>25</sup>
0.006		9.65	08/12/20	10.11	0.46 W	0.00	105 of 244 - Sale <sup>25</sup>
0.009		14.43	08/12/20	17.47	3.04 W	0.00	106 of 244 - Sale <sup>25</sup>
0.010		15.36	08/12/20	17.10	1.74 W	0.00	107 of 244 - Sale <sup>25</sup>
0.015		23.98	08/12/20	27.62	3.64 W	0.00	108 of 244 - Sale <sup>25</sup>
0.017		26.20	08/12/20	26.84	0.64 W	0.00	109 of 244 - Sale <sup>25</sup>
0.032		49.75	08/12/20	52.49	2.74 W	0.00	110 of 244 - Sale <sup>25</sup>
0.034		54.29	08/12/20	62.84	8.55 W	0.00	111 of 244 - Sale <sup>25</sup>
0.049		76.60	08/12/20	80.90	4.30 W	0.00	112 of 244 - Sale <sup>25</sup>
0.056		88.49	08/12/20	98.25	9.76 W	0.00	113 of 244 - Sale <sup>25</sup>
0.057		89.98	08/12/20	108.89	18.91 W	0.00	114 of 244 - Sale <sup>25</sup>
0.073		114.52	08/12/20	140.28	25.76 W	0.00	115 of 244 - Sale <sup>25</sup>
0.075		118.48	08/12/20	131.50	13.02 W	0.00	116 of 244 - Sale <sup>25</sup>
0.086		135.00	08/12/20	155.76	20.76 W	0.00	117 of 244 - Sale <sup>25</sup>
0.109		171.58	08/12/20	197.67	26.09 W	0.00	118 of 244 - Sale <sup>25</sup>
0.123		194.24	08/12/20	218.97	24.73 W	0.00	119 of 244 - Sale <sup>25</sup>
0.001		0.83	08/12/20	1.09	0.26 W	0.00	120 of 244 - Sale <sup>25</sup>
0.001		1.01	08/12/20	1.25	0.24 W	0.00	121 of 244 - Sale <sup>25</sup>
0.001		1.68	08/12/20	1.73	0.05 W	0.00	122 of 244 - Sale <sup>25</sup>
0.002		3.08	08/12/20	4.06	0.98 W	0.00	123 of 244 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.005	7.36	08/12/20	9.13	1.77 W	0.00	124 of 244 - Sale <sup>25</sup>
	0.006	10.04	08/12/20	12.65	2.61 W	0.00	125 of 244 - Sale <sup>25</sup>
	0.013	20.45	08/12/20	25.35	4.90 W	0.00	126 of 244 - Sale <sup>25</sup>
	0.022	34.93	08/12/20	37.52	2.59 W	0.00	127 of 244 - Sale <sup>25</sup>
	0.025	39.87	08/12/20	52.10	12.23 W	0.00	128 of 244 - Sale <sup>25</sup>
	0.058	91.25	08/12/20	97.99	6.74 W	0.00	129 of 244 - Sale <sup>25</sup>
	0.060	94.77	08/12/20	125.92	31.15 W	0.00	130 of 244 - Sale <sup>25</sup>
	0.063	99.34	08/12/20	125.13	25.79 W	0.00	131 of 244 - Sale <sup>25</sup>
	0.075	118.18	08/12/20	156.05	37.87 W	0.00	132 of 244 - Sale <sup>25</sup>
	0.083	130.78	08/12/20	160.83	30.05 W	0.00	133 of 244 - Sale <sup>25</sup>
	0.093	145.99	08/12/20	156.72	10.73 W	0.00	134 of 244 - Sale <sup>25</sup>
	0.117	184.33	08/12/20	241.60	57.27 W	0.00	135 of 244 - Sale <sup>25</sup>
	0.123	193.48	08/12/20	212.83	19.35 W	0.00	136 of 244 - Sale <sup>25</sup>
	0.131	206.45	08/12/20	270.71	64.26 W	0.00	137 of 244 - Sale <sup>25</sup>
	0.152	238.79	08/12/20	300.99	62.20 W	0.00	138 of 244 - Sale <sup>25</sup>
	0.342	538.67	08/12/20	543.73	5.06 W	0.00	139 of 244 - Sale <sup>25</sup>
	0.021	33.10	08/12/20	43.76	10.66 W	0.00	140 of 244 - Sale <sup>25</sup>
	0.022	34.05	08/12/20	40.68	6.63 W	0.00	141 of 244 - Sale <sup>25</sup>
	0.024	37.43	08/12/20	49.59	12.16 W	0.00	142 of 244 - Sale <sup>25</sup>
	0.142	224.20	08/12/20	288.00	63.80 W	0.00	143 of 244 - Sale <sup>25</sup>
	0.144	227.08	08/12/20	296.68	69.60 W	0.00	144 of 244 - Sale <sup>25</sup>
	0.209	329.71	08/12/20	430.87	101.16 W	0.00	145 of 244 - Sale <sup>25</sup>
	0.001	0.89	08/12/20	0.99	0.10 W	0.00	146 of 244 - Sale <sup>25</sup>
	0.001	0.97	08/12/20	1.09	0.12 W	0.00	147 of 244 - Sale <sup>25</sup>
	0.001	1.01	08/12/20	1.21	0.20 W	0.00	148 of 244 - Sale <sup>25</sup>
	0.001	1.89	08/12/20	2.18	0.29 W	0.00	149 of 244 - Sale <sup>25</sup>
	0.001	1.94	08/12/20	2.24	0.30 W	0.00	150 of 244 - Sale <sup>25</sup>
	0.004	5.86	08/12/20	7.03	1.17 W	0.00	151 of 244 - Sale <sup>25</sup>
	0.006	10.00	08/12/20	11.48	1.48 W	0.00	152 of 244 - Sale <sup>25</sup>
	0.008	12.33	08/12/20	13.97	1.64 W	0.00	153 of 244 - Sale <sup>25</sup>
	0.009	13.57	08/12/20	15.50	1.93 W	0.00	154 of 244 - Sale <sup>25</sup>
	0.009	13.93	08/12/20	15.64	1.71 W	0.00	155 of 244 - Sale <sup>25</sup>
	0.013	20.75	08/12/20	23.65	2.90 W	0.00	156 of 244 - Sale <sup>25</sup>
	0.017	26.20	08/12/20	28.49	2.29 W	0.00	157 of 244 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.017	27.22	08/12/20	32.00	4.78 W	0.00	158 of 244 - Sale <sup>25</sup>
	0.026	40.61	08/12/20	43.82	3.21 W	0.00	159 of 244 - Sale <sup>25</sup>
	0.026	40.88	08/12/20	44.30	3.42 W	0.00	160 of 244 - Sale <sup>25</sup>
	0.035	55.39	08/12/20	62.49	7.10 W	0.00	161 of 244 - Sale <sup>25</sup>
	0.035	55.81	08/12/20	66.40	10.59 W	0.00	162 of 244 - Sale <sup>25</sup>
	0.037	58.42	08/12/20	65.93	7.51 W	0.00	163 of 244 - Sale <sup>25</sup>
	0.040	62.51	08/12/20	67.32	4.81 W	0.00	164 of 244 - Sale <sup>25</sup>
	0.044	68.96	08/12/20	81.41	12.45 W	0.00	165 of 244 - Sale <sup>25</sup>
	0.044	69.09	08/12/20	77.90	8.81 W	0.00	166 of 244 - Sale <sup>25</sup>
	0.047	74.70	08/12/20	84.00	9.30 W	0.00	167 of 244 - Sale <sup>25</sup>
	0.049	77.41	08/12/20	88.19	10.78 W	0.00	168 of 244 - Sale <sup>25</sup>
	0.057	89.69	08/12/20	100.76	11.07 W	0.00	169 of 244 - Sale <sup>25</sup>
	0.068	107.69	08/12/20	121.82	14.13 W	0.00	170 of 244 - Sale <sup>25</sup>
	0.072	114.00	08/12/20	138.23	24.23 W	0.00	171 of 244 - Sale <sup>25</sup>
	0.075	118.58	08/12/20	133.81	15.23 W	0.00	172 of 244 - Sale <sup>25</sup>
	0.077	120.83	08/12/20	139.69	18.86 W	0.00	173 of 244 - Sale <sup>25</sup>
	0.078	123.49	08/12/20	144.23	20.74 W	0.00	174 of 244 - Sale <sup>25</sup>
	0.082	129.70	08/12/20	157.33	27.63 W	0.00	175 of 244 - Sale <sup>25</sup>
	0.090	141.37	08/12/20	162.58	21.21 W	0.00	176 of 244 - Sale <sup>25</sup>
	0.094	147.16	08/12/20	164.70	17.54 W	0.00	177 of 244 - Sale <sup>25</sup>
	0.117	184.22	08/12/20	209.79	25.57 W	0.00	178 of 244 - Sale <sup>25</sup>
	0.129	203.56	08/12/20	229.66	26.10 W	0.00	179 of 244 - Sale <sup>25</sup>
	0.133	209.77	08/12/20	235.78	26.01 W	0.00	180 of 244 - Sale <sup>25</sup>
	0.137	215.34	08/12/20	242.55	27.21 W	0.00	181 of 244 - Sale <sup>25</sup>
	0.137	216.22	08/12/20	246.37	30.15 W	0.00	182 of 244 - Sale <sup>25</sup>
	0.159	249.85	08/12/20	275.02	25.17 W	0.00	183 of 244 - Sale <sup>25</sup>
	0.188	296.30	08/12/20	338.40	42.10 W	0.00	184 of 244 - Sale <sup>25</sup>
	0.274	431.38	08/12/20	486.43	55.05 W	0.00	185 of 244 - Sale <sup>25</sup>
	0.641	1,009.10	08/12/20	1,107.82	98.72 W	0.00	186 of 244 - Sale <sup>25</sup>
	0.676	1,063.82	08/12/20	1,167.87	104.05 W	0.00	187 of 244 - Sale <sup>25</sup>
	0.005	7.92	08/12/20	8.56	0.64 W	0.00	188 of 244 - Sale <sup>25</sup>
	0.012	19.36	08/12/20	21.94	2.58 W	0.00	189 of 244 - Sale <sup>25</sup>
	0.016	25.32	08/12/20	28.28	2.96 W	0.00	190 of 244 - Sale <sup>25</sup>
	0.018	27.76	08/12/20	30.42	2.66 W	0.00	191 of 244 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.054	84.69	08/12/20	93.83	9.14 W	0.00	192 of 244 - Sale <sup>25</sup>
	0.054	85.60	08/12/20	92.80	7.20 W	0.00	193 of 244 - Sale <sup>25</sup>
	0.088	137.86	08/12/20	154.03	16.17 W	0.00	194 of 244 - Sale <sup>25</sup>
	0.166	260.78	08/12/20	289.24	28.46 W	0.00	195 of 244 - Sale <sup>25</sup>
	0.226	356.01	08/12/20	421.36	65.35 W	0.00	196 of 244 - Sale <sup>25</sup>
	0.005	7.87	08/13/20	8.09	0.22 W	0.00	197 of 244 - Sale <sup>25</sup>
	0.007	12.02	08/13/20	12.35	0.33 W	0.00	198 of 244 - Sale <sup>25</sup>
	0.027	43.43	08/13/20	43.53	0.10 W	0.00	199 of 244 - Sale <sup>25</sup>
	0.066	106.36	08/13/20	107.18	0.82 W	0.00	200 of 244 - Sale <sup>25</sup>
	0.004	6.02	08/13/20	6.96	0.94 W	0.00	201 of 244 - Sale <sup>25</sup>
	0.034	55.91	08/13/20	64.19	8.28 W	0.00	202 of 244 - Sale <sup>25</sup>
	0.121	195.40	08/13/20	222.00	26.60 W	0.00	203 of 244 - Sale <sup>25</sup>
	0.159	257.33	08/13/20	291.41	34.08 W	0.00	204 of 244 - Sale <sup>25</sup>
	0.026	42.75	08/13/20	45.70	2.95 W	0.00	205 of 244 - Sale <sup>25</sup>
	0.044	71.21	08/13/20	77.13	5.92 W	0.00	206 of 244 - Sale <sup>25</sup>
	0.062	100.70	08/13/20	113.29	12.59 W	0.00	207 of 244 - Sale <sup>25</sup>
	0.067	108.89	08/13/20	118.42	9.53 W	0.00	208 of 244 - Sale <sup>25</sup>
	0.074	119.67	08/13/20	129.55	9.88 W	0.00	209 of 244 - Sale <sup>25</sup>
	0.095	153.49	08/13/20	173.60	20.11 W	0.00	210 of 244 - Sale <sup>25</sup>
	0.002	2.88	08/13/20	3.07	0.19 W	0.00	211 of 244 - Sale <sup>25</sup>
	0.005	8.58	08/13/20	9.55	0.97 W	0.00	212 of 244 - Sale <sup>25</sup>
	0.006	10.49	08/13/20	11.67	1.18 W	0.00	213 of 244 - Sale <sup>25</sup>
	0.010	16.48	08/13/20	17.30	0.82 W	0.00	214 of 244 - Sale <sup>25</sup>
	0.010	16.96	08/13/20	19.39	2.43 W	0.00	215 of 244 - Sale <sup>25</sup>
	0.019	31.26	08/13/20	33.39	2.13 W	0.00	216 of 244 - Sale <sup>25</sup>
	0.040	64.88	08/13/20	71.62	6.74 W	0.00	217 of 244 - Sale <sup>25</sup>
	0.046	73.96	08/13/20	79.05	5.09 W	0.00	218 of 244 - Sale <sup>25</sup>
	0.046	75.00	08/13/20	85.45	10.45 W	0.00	219 of 244 - Sale <sup>25</sup>
	0.050	81.33	08/13/20	86.79	5.46 W	0.00	220 of 244 - Sale <sup>25</sup>
	0.055	89.90	08/13/20	99.26	9.36 W	0.00	221 of 244 - Sale <sup>25</sup>
	0.082	132.33	08/13/20	139.66	7.33 W	0.00	222 of 244 - Sale <sup>25</sup>
	0.106	171.85	08/13/20	191.18	19.33 W	0.00	223 of 244 - Sale <sup>25</sup>
	0.137	221.44	08/13/20	235.37	13.93 W	0.00	224 of 244 - Sale <sup>25</sup>
	0.156	252.46	08/13/20	269.61	17.15 W	0.00	225 of 244 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.241	391.44	08/13/20	432.24	40.80 W	0.00	226 of 244 - Sale <sup>25</sup>
	0.013	20.90	08/13/20	21.57	0.67 W	0.00	227 of 244 - Sale <sup>25</sup>
	0.011	17.78	08/13/20	20.14	2.36 W	0.00	228 of 244 - Sale <sup>25</sup>
	0.015	24.38	08/13/20	25.51	1.13 W	0.00	229 of 244 - Sale <sup>25</sup>
	0.041	67.21	08/13/20	73.02	5.81 W	0.00	230 of 244 - Sale <sup>25</sup>
	0.062	100.46	08/13/20	113.69	13.23 W	0.00	231 of 244 - Sale <sup>25</sup>
	0.160	258.95	08/13/20	281.33	22.38 W	0.00	232 of 244 - Sale <sup>25</sup>
	0.001	1.23	08/13/20	1.38	0.15 W	0.00	233 of 244 - Sale <sup>25</sup>
	0.003	4.93	08/13/20	5.49	0.56 W	0.00	234 of 244 - Sale <sup>25</sup>
	0.003	5.03	08/13/20	5.24	0.21 W	0.00	235 of 244 - Sale <sup>25</sup>
	0.004	5.81	08/13/20	6.08	0.27 W	0.00	236 of 244 - Sale <sup>25</sup>
	0.004	6.09	08/13/20	6.24	0.15 W	0.00	237 of 244 - Sale <sup>25</sup>
	0.006	9.94	08/13/20	10.42	0.48 W	0.00	238 of 244 - Sale <sup>25</sup>
	0.010	15.82	08/13/20	17.59	1.77 W	0.00	239 of 244 - Sale <sup>25</sup>
	0.032	51.24	08/13/20	54.08	2.84 W	0.00	240 of 244 - Sale <sup>25</sup>
	0.049	78.89	08/13/20	83.35	4.46 W	0.00	241 of 244 - Sale <sup>25</sup>
	0.056	91.15	08/13/20	101.08	9.93 W	0.00	242 of 244 - Sale <sup>25</sup>
	0.057	91.89	08/13/20	103.43	11.54 W	0.00	243 of 244 - Sale <sup>25</sup>
	0.075	122.04	08/13/20	135.30	13.26 W	0.00	244 of 244 - Sale <sup>25</sup>
08/13/20	16.177	25,602.22	Various	28,544.93	2,942.71 W	0.00	Total of 244 transactions
92 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	0.004	6.12	08/14/20	7.06	0.94 W	0.00	1 of 92 - Sale <sup>25</sup>
	0.034	56.84	08/14/20	65.07	8.23 W	0.00	2 of 92 - Sale <sup>25</sup>
	0.076	125.17	08/14/20	141.80	16.63 W	0.00	3 of 92 - Sale <sup>25</sup>
	0.009	15.11	08/14/20	18.14	3.03 W	0.00	4 of 92 - Sale <sup>25</sup>
	0.015	25.11	08/14/20	28.72	3.61 W	0.00	5 of 92 - Sale <sup>25</sup>
	0.034	56.84	08/14/20	65.34	8.50 W	0.00	6 of 92 - Sale <sup>25</sup>
	0.057	94.21	08/14/20	113.03	18.82 W	0.00	7 of 92 - Sale <sup>25</sup>
	0.067	109.97	08/14/20	123.23	13.26 W	0.00	8 of 92 - Sale <sup>25</sup>
	0.073	119.91	08/14/20	145.55	25.64 W	0.00	9 of 92 - Sale <sup>25</sup>
	0.086	141.35	08/14/20	161.97	20.62 W	0.00	10 of 92 - Sale <sup>25</sup>
	0.109	179.65	08/14/20	205.56	25.91 W	0.00	11 of 92 - Sale <sup>25</sup>
	0.001	0.87	08/14/20	1.13	0.26 W	0.00	12 of 92 - Sale <sup>25</sup>
	0.001	1.06	08/14/20	1.30	0.24 W	0.00	13 of 92 - Sale <sup>25</sup>

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(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.001	1.76	08/14/20	1.80	0.04 W	0.00	14 of 92 - Sale <sup>25</sup>
	0.002	3.23	08/14/20	4.21	0.98 W	0.00	15 of 92 - Sale <sup>25</sup>
	0.005	7.70	08/14/20	9.47	1.77 W	0.00	16 of 92 - Sale <sup>25</sup>
	0.006	10.51	08/14/20	13.11	2.60 W	0.00	17 of 92 - Sale <sup>25</sup>
	0.013	21.41	08/14/20	26.29	4.88 W	0.00	18 of 92 - Sale <sup>25</sup>
	0.022	36.58	08/14/20	39.13	2.55 W	0.00	19 of 92 - Sale <sup>25</sup>
	0.025	41.74	08/14/20	53.93	12.19 W	0.00	20 of 92 - Sale <sup>25</sup>
	0.058	95.54	08/14/20	102.18	6.64 W	0.00	21 of 92 - Sale <sup>25</sup>
	0.060	99.23	08/14/20	130.28	31.05 W	0.00	22 of 92 - Sale <sup>25</sup>
	0.063	104.02	08/14/20	129.70	25.68 W	0.00	23 of 92 - Sale <sup>25</sup>
	0.075	123.75	08/14/20	161.49	37.74 W	0.00	24 of 92 - Sale <sup>25</sup>
	0.083	136.93	08/14/20	166.85	29.92 W	0.00	25 of 92 - Sale <sup>25</sup>
	0.093	152.86	08/14/20	163.44	10.58 W	0.00	26 of 92 - Sale <sup>25</sup>
	0.117	193.01	08/14/20	250.08	57.07 W	0.00	27 of 92 - Sale <sup>25</sup>
	0.123	202.58	08/14/20	221.73	19.15 W	0.00	28 of 92 - Sale <sup>25</sup>
	0.131	216.17	08/14/20	280.21	64.04 W	0.00	29 of 92 - Sale <sup>25</sup>
	0.152	250.03	08/14/20	311.98	61.95 W	0.00	30 of 92 - Sale <sup>25</sup>
	0.342	564.03	08/14/20	568.52	4.49 W	0.00	31 of 92 - Sale <sup>25</sup>
	0.021	34.65	08/14/20	45.28	10.63 W	0.00	32 of 92 - Sale <sup>25</sup>
	0.022	35.65	08/14/20	42.25	6.60 W	0.00	33 of 92 - Sale <sup>25</sup>
	0.024	39.19	08/14/20	51.31	12.12 W	0.00	34 of 92 - Sale <sup>25</sup>
	0.142	234.76	08/14/20	298.32	63.56 W	0.00	35 of 92 - Sale <sup>25</sup>
	0.144	237.77	08/14/20	307.12	69.35 W	0.00	36 of 92 - Sale <sup>25</sup>
	0.209	345.23	08/14/20	446.04	100.81 W	0.00	37 of 92 - Sale <sup>25</sup>
	0.001	0.94	08/14/20	1.04	0.10 W	0.00	38 of 92 - Sale <sup>25</sup>
	0.001	1.02	08/14/20	1.13	0.11 W	0.00	39 of 92 - Sale <sup>25</sup>
	0.001	1.06	08/14/20	1.26	0.20 W	0.00	40 of 92 - Sale <sup>25</sup>
	0.001	1.98	08/14/20	2.26	0.28 W	0.00	41 of 92 - Sale <sup>25</sup>
	0.001	2.03	08/14/20	2.33	0.30 W	0.00	42 of 92 - Sale <sup>25</sup>
	0.004	6.14	08/14/20	7.30	1.16 W	0.00	43 of 92 - Sale <sup>25</sup>
	0.005	8.00	08/14/20	8.21	0.21 W	0.00	44 of 92 - Sale <sup>25</sup>
	0.006	10.47	08/14/20	11.94	1.47 W	0.00	45 of 92 - Sale <sup>25</sup>
	0.007	12.22	08/14/20	12.54	0.32 W	0.00	46 of 92 - Sale <sup>25</sup>
	0.008	12.91	08/14/20	14.54	1.63 W	0.00	47 of 92 - Sale <sup>25</sup>

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.009		14.20	08/14/20	16.12	1.92 W	0.00	48 of 92 - Sale <sup>25</sup>
0.009		14.58	08/14/20	16.28	1.70 W	0.00	49 of 92 - Sale <sup>25</sup>
0.013		21.73	08/14/20	24.60	2.87 W	0.00	50 of 92 - Sale <sup>25</sup>
0.017		27.44	08/14/20	29.70	2.26 W	0.00	51 of 92 - Sale <sup>25</sup>
0.017		28.50	08/14/20	33.26	4.76 W	0.00	52 of 92 - Sale <sup>25</sup>
0.026		42.52	08/14/20	45.69	3.17 W	0.00	53 of 92 - Sale <sup>25</sup>
0.026		42.80	08/14/20	46.18	3.38 W	0.00	54 of 92 - Sale <sup>25</sup>
0.027		44.15	08/14/20	44.21	0.06 W	0.00	55 of 92 - Sale <sup>25</sup>
0.035		57.99	08/14/20	65.03	7.04 W	0.00	56 of 92 - Sale <sup>25</sup>
0.035		58.44	08/14/20	68.97	10.53 W	0.00	57 of 92 - Sale <sup>25</sup>
0.037		61.17	08/14/20	68.62	7.45 W	0.00	58 of 92 - Sale <sup>25</sup>
0.040		65.46	08/14/20	70.20	4.74 W	0.00	59 of 92 - Sale <sup>25</sup>
0.044		72.21	08/14/20	84.59	12.38 W	0.00	60 of 92 - Sale <sup>25</sup>
0.044		72.35	08/14/20	81.08	8.73 W	0.00	61 of 92 - Sale <sup>25</sup>
0.047		78.21	08/14/20	87.43	9.22 W	0.00	62 of 92 - Sale <sup>25</sup>
0.049		81.06	08/14/20	91.75	10.69 W	0.00	63 of 92 - Sale <sup>25</sup>
0.057		93.92	08/14/20	104.89	10.97 W	0.00	64 of 92 - Sale <sup>25</sup>
0.066		108.12	08/14/20	108.83	0.71 W	0.00	65 of 92 - Sale <sup>25</sup>
0.068		112.76	08/14/20	126.77	14.01 W	0.00	66 of 92 - Sale <sup>25</sup>
0.072		119.37	08/14/20	143.48	24.11 W	0.00	67 of 92 - Sale <sup>25</sup>
0.075		124.16	08/14/20	139.27	15.11 W	0.00	68 of 92 - Sale <sup>25</sup>
0.077		126.52	08/14/20	145.25	18.73 W	0.00	69 of 92 - Sale <sup>25</sup>
0.078		129.31	08/14/20	149.91	20.60 W	0.00	70 of 92 - Sale <sup>25</sup>
0.082		135.81	08/14/20	163.30	27.49 W	0.00	71 of 92 - Sale <sup>25</sup>
0.090		148.03	08/14/20	169.09	21.06 W	0.00	72 of 92 - Sale <sup>25</sup>
0.094		154.09	08/14/20	171.48	17.39 W	0.00	73 of 92 - Sale <sup>25</sup>
0.117		192.89	08/14/20	218.26	25.37 W	0.00	74 of 92 - Sale <sup>25</sup>
0.129		213.14	08/14/20	239.02	25.88 W	0.00	75 of 92 - Sale <sup>25</sup>
0.133		219.64	08/14/20	245.43	25.79 W	0.00	76 of 92 - Sale <sup>25</sup>
0.137		225.48	08/14/20	252.46	26.98 W	0.00	77 of 92 - Sale <sup>25</sup>
0.137		226.40	08/14/20	256.32	29.92 W	0.00	78 of 92 - Sale <sup>25</sup>
0.159		261.61	08/14/20	286.51	24.90 W	0.00	79 of 92 - Sale <sup>25</sup>
0.188		310.25	08/14/20	352.04	41.79 W	0.00	80 of 92 - Sale <sup>25</sup>
0.274		451.68	08/14/20	506.27	54.59 W	0.00	81 of 92 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.641	1,056.60	08/14/20	1,154.25	97.65 W	0.00	82 of 92 - Sale <sup>25</sup>
	0.676	1,113.89	08/14/20	1,216.82	102.93 W	0.00	83 of 92 - Sale <sup>25</sup>
	0.005	8.29	08/14/20	8.92	0.63 W	0.00	84 of 92 - Sale <sup>25</sup>
	0.012	20.27	08/14/20	22.83	2.56 W	0.00	85 of 92 - Sale <sup>25</sup>
	0.016	26.51	08/14/20	29.44	2.93 W	0.00	86 of 92 - Sale <sup>25</sup>
	0.018	29.07	08/14/20	31.70	2.63 W	0.00	87 of 92 - Sale <sup>25</sup>
	0.054	88.67	08/14/20	97.73	9.06 W	0.00	88 of 92 - Sale <sup>25</sup>
	0.054	89.63	08/14/20	96.73	7.10 W	0.00	89 of 92 - Sale <sup>25</sup>
	0.088	144.35	08/14/20	160.37	16.02 W	0.00	90 of 92 - Sale <sup>25</sup>
	0.166	273.05	08/14/20	301.24	28.19 W	0.00	91 of 92 - Sale <sup>25</sup>
	0.226	372.78	08/14/20	437.75	64.97 W	0.00	92 of 92 - Sale <sup>25</sup>
08/14/20	7.000	11,536.41	Various	13,175.24	1,638.83 W	0.00	Total of 92 transactions
08/17/20	0.004	6.72	08/17/20	6.69	...	0.03	Sale <sup>25</sup>
339 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	0.045	77.42	08/17/20	86.52	9.10 W	0.00	1 of 339 - Sale <sup>25</sup>
	0.159	275.65	08/17/20	307.10	31.45 W	0.00	2 of 339 - Sale <sup>25</sup>
	0.026	45.79	08/17/20	48.30	2.51 W	0.00	3 of 339 - Sale <sup>25</sup>
	0.044	76.28	08/17/20	81.47	5.19 W	0.00	4 of 339 - Sale <sup>25</sup>
	0.062	107.86	08/17/20	119.43	11.57 W	0.00	5 of 339 - Sale <sup>25</sup>
	0.067	116.64	08/17/20	125.06	8.42 W	0.00	6 of 339 - Sale <sup>25</sup>
	0.074	128.19	08/17/20	136.84	8.65 W	0.00	7 of 339 - Sale <sup>25</sup>
	0.095	164.42	08/17/20	182.96	18.54 W	0.00	8 of 339 - Sale <sup>25</sup>
	0.002	3.09	08/17/20	3.25	0.16 W	0.00	9 of 339 - Sale <sup>25</sup>
	0.005	9.19	08/17/20	10.07	0.88 W	0.00	10 of 339 - Sale <sup>25</sup>
	0.006	11.23	08/17/20	12.31	1.08 W	0.00	11 of 339 - Sale <sup>25</sup>
	0.010	17.66	08/17/20	18.31	0.65 W	0.00	12 of 339 - Sale <sup>25</sup>
	0.010	18.16	08/17/20	20.42	2.26 W	0.00	13 of 339 - Sale <sup>25</sup>
	0.019	33.48	08/17/20	35.29	1.81 W	0.00	14 of 339 - Sale <sup>25</sup>
	0.040	69.50	08/17/20	75.58	6.08 W	0.00	15 of 339 - Sale <sup>25</sup>
	0.046	79.23	08/17/20	83.56	4.33 W	0.00	16 of 339 - Sale <sup>25</sup>
	0.046	80.34	08/17/20	90.02	9.68 W	0.00	17 of 339 - Sale <sup>25</sup>
	0.050	87.12	08/17/20	91.75	4.63 W	0.00	18 of 339 - Sale <sup>25</sup>
	0.055	96.30	08/17/20	104.75	8.45 W	0.00	19 of 339 - Sale <sup>25</sup>
	0.082	141.75	08/17/20	147.72	5.97 W	0.00	20 of 339 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.106		184.08	08/17/20	201.66	17.58 W	0.00	21 of 339 - Sale <sup>25</sup>
0.137		237.21	08/17/20	248.88	11.67 W	0.00	22 of 339 - Sale <sup>25</sup>
0.156		270.43	08/17/20	285.01	14.58 W	0.00	23 of 339 - Sale <sup>25</sup>
0.241		419.31	08/17/20	456.12	36.81 W	0.00	24 of 339 - Sale <sup>25</sup>
0.003		5.39	08/17/20	5.55	0.16 W	0.00	25 of 339 - Sale <sup>25</sup>
0.004		6.22	08/17/20	6.43	0.21 W	0.00	26 of 339 - Sale <sup>25</sup>
0.004		6.52	08/17/20	6.61	0.09 W	0.00	27 of 339 - Sale <sup>25</sup>
0.006		10.65	08/17/20	11.03	0.38 W	0.00	28 of 339 - Sale <sup>25</sup>
0.013		22.39	08/17/20	22.84	0.45 W	0.00	29 of 339 - Sale <sup>25</sup>
0.017		30.05	08/17/20	32.81	2.76 W	0.00	30 of 339 - Sale <sup>25</sup>
0.032		54.89	08/17/20	57.21	2.32 W	0.00	31 of 339 - Sale <sup>25</sup>
0.049		84.51	08/17/20	88.16	3.65 W	0.00	32 of 339 - Sale <sup>25</sup>
0.011		19.05	08/17/20	21.23	2.18 W	0.00	33 of 339 - Sale <sup>25</sup>
0.015		26.12	08/17/20	27.00	0.88 W	0.00	34 of 339 - Sale <sup>25</sup>
0.041		72.00	08/17/20	77.12	5.12 W	0.00	35 of 339 - Sale <sup>25</sup>
0.062		107.61	08/17/20	119.81	12.20 W	0.00	36 of 339 - Sale <sup>25</sup>
0.160		277.38	08/17/20	297.12	19.74 W	0.00	37 of 339 - Sale <sup>25</sup>
0.004		6.45	08/17/20	7.33	0.88 W	0.00	38 of 339 - Sale <sup>25</sup>
0.034		59.89	08/17/20	67.54	7.65 W	0.00	39 of 339 - Sale <sup>25</sup>
0.076		131.89	08/17/20	147.23	15.34 W	0.00	40 of 339 - Sale <sup>25</sup>
0.001		1.32	08/17/20	1.46	0.14 W	0.00	41 of 339 - Sale <sup>25</sup>
0.003		5.28	08/17/20	5.79	0.51 W	0.00	42 of 339 - Sale <sup>25</sup>
0.010		16.95	08/17/20	18.55	1.60 W	0.00	43 of 339 - Sale <sup>25</sup>
0.013		23.33	08/17/20	26.30	2.97 W	0.00	44 of 339 - Sale <sup>25</sup>
0.015		26.46	08/17/20	29.80	3.34 W	0.00	45 of 339 - Sale <sup>25</sup>
0.056		97.63	08/17/20	106.61	8.98 W	0.00	46 of 339 - Sale <sup>25</sup>
0.057		98.43	08/17/20	109.01	10.58 W	0.00	47 of 339 - Sale <sup>25</sup>
0.058		100.68	08/17/20	109.91	9.23 W	0.00	48 of 339 - Sale <sup>25</sup>
0.067		115.87	08/17/20	128.00	12.13 W	0.00	49 of 339 - Sale <sup>25</sup>
0.086		148.93	08/17/20	168.11	19.18 W	0.00	50 of 339 - Sale <sup>25</sup>
0.034		61.20	08/17/20	69.73	8.53 W	0.00	51 of 339 - Sale <sup>25</sup>
0.035		61.30	08/17/20	73.49	12.19 W	0.00	52 of 339 - Sale <sup>25</sup>
0.057		101.44	08/17/20	120.30	18.86 W	0.00	53 of 339 - Sale <sup>25</sup>
0.096		169.60	08/17/20	192.38	22.78 W	0.00	54 of 339 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.002		2.87	08/17/20	3.44	0.57 W	0.00	55 of 339 - Sale <sup>25</sup>
0.004		6.59	08/17/20	7.48	0.89 W	0.00	56 of 339 - Sale <sup>25</sup>
0.034		61.19	08/17/20	68.89	7.70 W	0.00	57 of 339 - Sale <sup>25</sup>
0.045		79.09	08/17/20	88.25	9.16 W	0.00	58 of 339 - Sale <sup>25</sup>
0.076		134.74	08/17/20	150.18	15.44 W	0.00	59 of 339 - Sale <sup>25</sup>
0.159		281.60	08/17/20	313.27	31.67 W	0.00	60 of 339 - Sale <sup>25</sup>
0.026		46.78	08/17/20	49.33	2.55 W	0.00	61 of 339 - Sale <sup>25</sup>
0.044		77.93	08/17/20	83.18	5.25 W	0.00	62 of 339 - Sale <sup>25</sup>
0.062		110.19	08/17/20	121.85	11.66 W	0.00	63 of 339 - Sale <sup>25</sup>
0.067		119.16	08/17/20	127.67	8.51 W	0.00	64 of 339 - Sale <sup>25</sup>
0.074		130.95	08/17/20	139.70	8.75 W	0.00	65 of 339 - Sale <sup>25</sup>
0.095		167.97	08/17/20	186.63	18.66 W	0.00	66 of 339 - Sale <sup>25</sup>
0.002		3.16	08/17/20	3.32	0.16 W	0.00	67 of 339 - Sale <sup>25</sup>
0.005		9.39	08/17/20	10.28	0.89 W	0.00	68 of 339 - Sale <sup>25</sup>
0.006		11.48	08/17/20	12.57	1.09 W	0.00	69 of 339 - Sale <sup>25</sup>
0.010		18.04	08/17/20	18.70	0.66 W	0.00	70 of 339 - Sale <sup>25</sup>
0.010		18.55	08/17/20	20.83	2.28 W	0.00	71 of 339 - Sale <sup>25</sup>
0.019		34.21	08/17/20	36.04	1.83 W	0.00	72 of 339 - Sale <sup>25</sup>
0.040		71.00	08/17/20	77.13	6.13 W	0.00	73 of 339 - Sale <sup>25</sup>
0.046		80.94	08/17/20	85.33	4.39 W	0.00	74 of 339 - Sale <sup>25</sup>
0.046		82.07	08/17/20	91.81	9.74 W	0.00	75 of 339 - Sale <sup>25</sup>
0.050		89.00	08/17/20	93.70	4.70 W	0.00	76 of 339 - Sale <sup>25</sup>
0.055		98.38	08/17/20	106.91	8.53 W	0.00	77 of 339 - Sale <sup>25</sup>
0.082		144.81	08/17/20	150.89	6.08 W	0.00	78 of 339 - Sale <sup>25</sup>
0.106		188.05	08/17/20	205.78	17.73 W	0.00	79 of 339 - Sale <sup>25</sup>
0.137		242.33	08/17/20	254.18	11.85 W	0.00	80 of 339 - Sale <sup>25</sup>
0.156		276.27	08/17/20	291.06	14.79 W	0.00	81 of 339 - Sale <sup>25</sup>
0.241		428.37	08/17/20	465.50	37.13 W	0.00	82 of 339 - Sale <sup>25</sup>
0.001		1.35	08/17/20	1.49	0.14 W	0.00	83 of 339 - Sale <sup>25</sup>
0.003		5.39	08/17/20	5.91	0.52 W	0.00	84 of 339 - Sale <sup>25</sup>
0.003		5.51	08/17/20	5.67	0.16 W	0.00	85 of 339 - Sale <sup>25</sup>
0.004		6.36	08/17/20	6.57	0.21 W	0.00	86 of 339 - Sale <sup>25</sup>
0.004		6.66	08/17/20	6.76	0.10 W	0.00	87 of 339 - Sale <sup>25</sup>
0.006		10.88	08/17/20	11.27	0.39 W	0.00	88 of 339 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

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(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.009	16.27	08/17/20	19.31	3.04 W	0.00	89 of 339 - Sale <sup>25</sup>
	0.010	17.31	08/17/20	18.93	1.62 W	0.00	90 of 339 - Sale <sup>25</sup>
	0.013	22.87	08/17/20	23.34	0.47 W	0.00	91 of 339 - Sale <sup>25</sup>
	0.013	23.84	08/17/20	26.83	2.99 W	0.00	92 of 339 - Sale <sup>25</sup>
	0.015	27.03	08/17/20	30.39	3.36 W	0.00	93 of 339 - Sale <sup>25</sup>
	0.017	30.70	08/17/20	33.48	2.78 W	0.00	94 of 339 - Sale <sup>25</sup>
	0.032	56.08	08/17/20	58.44	2.36 W	0.00	95 of 339 - Sale <sup>25</sup>
	0.034	61.19	08/17/20	69.76	8.57 W	0.00	96 of 339 - Sale <sup>25</sup>
	0.035	61.28	08/17/20	73.52	12.24 W	0.00	97 of 339 - Sale <sup>25</sup>
	0.037	64.93	08/17/20	77.88	12.95 W	0.00	98 of 339 - Sale <sup>25</sup>
	0.049	86.33	08/17/20	90.05	3.72 W	0.00	99 of 339 - Sale <sup>25</sup>
	0.056	99.74	08/17/20	108.80	9.06 W	0.00	100 of 339 - Sale <sup>25</sup>
	0.057	100.55	08/17/20	111.21	10.66 W	0.00	101 of 339 - Sale <sup>25</sup>
	0.057	101.42	08/17/20	120.35	18.93 W	0.00	102 of 339 - Sale <sup>25</sup>
	0.058	102.85	08/17/20	112.16	9.31 W	0.00	103 of 339 - Sale <sup>25</sup>
	0.067	118.38	08/17/20	130.59	12.21 W	0.00	104 of 339 - Sale <sup>25</sup>
	0.086	152.16	08/17/20	171.45	19.29 W	0.00	105 of 339 - Sale <sup>25</sup>
	0.096	169.55	08/17/20	192.46	22.91 W	0.00	106 of 339 - Sale <sup>25</sup>
	0.001	0.93	08/17/20	1.20	0.27 W	0.00	107 of 339 - Sale <sup>25</sup>
	0.001	1.14	08/17/20	1.38	0.24 W	0.00	108 of 339 - Sale <sup>25</sup>
	0.001	1.89	08/17/20	1.93	0.04 W	0.00	109 of 339 - Sale <sup>25</sup>
	0.002	3.48	08/17/20	4.46	0.98 W	0.00	110 of 339 - Sale <sup>25</sup>
	0.005	8.29	08/17/20	10.07	1.78 W	0.00	111 of 339 - Sale <sup>25</sup>
	0.006	11.32	08/17/20	13.93	2.61 W	0.00	112 of 339 - Sale <sup>25</sup>
	0.013	23.05	08/17/20	27.95	4.90 W	0.00	113 of 339 - Sale <sup>25</sup>
	0.022	39.38	08/17/20	41.95	2.57 W	0.00	114 of 339 - Sale <sup>25</sup>
	0.025	44.93	08/17/20	57.16	12.23 W	0.00	115 of 339 - Sale <sup>25</sup>
	0.058	102.85	08/17/20	109.56	6.71 W	0.00	116 of 339 - Sale <sup>25</sup>
	0.060	106.82	08/17/20	137.95	31.13 W	0.00	117 of 339 - Sale <sup>25</sup>
	0.063	111.97	08/17/20	137.73	25.76 W	0.00	118 of 339 - Sale <sup>25</sup>
	0.075	133.21	08/17/20	171.05	37.84 W	0.00	119 of 339 - Sale <sup>25</sup>
	0.083	147.40	08/17/20	177.43	30.03 W	0.00	120 of 339 - Sale <sup>25</sup>
	0.093	164.55	08/17/20	175.25	10.70 W	0.00	121 of 339 - Sale <sup>25</sup>
	0.117	207.76	08/17/20	264.99	57.23 W	0.00	122 of 339 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.123		218.07	08/17/20	237.39	19.32 W	0.00	123 of 339 - Sale <sup>25</sup>
0.131		232.70	08/17/20	296.91	64.21 W	0.00	124 of 339 - Sale <sup>25</sup>
0.152		269.14	08/17/20	331.30	62.16 W	0.00	125 of 339 - Sale <sup>25</sup>
0.342		607.15	08/17/20	612.10	4.95 W	0.00	126 of 339 - Sale <sup>25</sup>
0.021		37.30	08/17/20	47.96	10.66 W	0.00	127 of 339 - Sale <sup>25</sup>
0.022		38.38	08/17/20	45.01	6.63 W	0.00	128 of 339 - Sale <sup>25</sup>
0.024		42.19	08/17/20	54.34	12.15 W	0.00	129 of 339 - Sale <sup>25</sup>
0.142		252.70	08/17/20	316.45	63.75 W	0.00	130 of 339 - Sale <sup>25</sup>
0.144		255.94	08/17/20	325.49	69.55 W	0.00	131 of 339 - Sale <sup>25</sup>
0.209		371.62	08/17/20	472.72	101.10 W	0.00	132 of 339 - Sale <sup>25</sup>
0.001		1.01	08/17/20	1.11	0.10 W	0.00	133 of 339 - Sale <sup>25</sup>
0.001		1.09	08/17/20	1.20	0.11 W	0.00	134 of 339 - Sale <sup>25</sup>
0.001		1.14	08/17/20	1.34	0.20 W	0.00	135 of 339 - Sale <sup>25</sup>
0.001		2.13	08/17/20	2.41	0.28 W	0.00	136 of 339 - Sale <sup>25</sup>
0.001		2.19	08/17/20	2.49	0.30 W	0.00	137 of 339 - Sale <sup>25</sup>
0.004		6.60	08/17/20	7.77	1.17 W	0.00	138 of 339 - Sale <sup>25</sup>
0.005		8.61	08/17/20	8.83	0.22 W	0.00	139 of 339 - Sale <sup>25</sup>
0.006		11.27	08/17/20	12.75	1.48 W	0.00	140 of 339 - Sale <sup>25</sup>
0.007		13.15	08/17/20	13.48	0.33 W	0.00	141 of 339 - Sale <sup>25</sup>
0.008		13.90	08/17/20	15.54	1.64 W	0.00	142 of 339 - Sale <sup>25</sup>
0.009		15.29	08/17/20	17.22	1.93 W	0.00	143 of 339 - Sale <sup>25</sup>
0.009		15.70	08/17/20	17.41	1.71 W	0.00	144 of 339 - Sale <sup>25</sup>
0.011		19.46	08/17/20	21.65	2.19 W	0.00	145 of 339 - Sale <sup>25</sup>
0.013		23.39	08/17/20	26.28	2.89 W	0.00	146 of 339 - Sale <sup>25</sup>
0.015		26.68	08/17/20	27.58	0.90 W	0.00	147 of 339 - Sale <sup>25</sup>
0.017		29.53	08/17/20	31.82	2.29 W	0.00	148 of 339 - Sale <sup>25</sup>
0.017		30.68	08/17/20	35.47	4.79 W	0.00	149 of 339 - Sale <sup>25</sup>
0.026		45.77	08/17/20	48.97	3.20 W	0.00	150 of 339 - Sale <sup>25</sup>
0.026		46.07	08/17/20	49.49	3.42 W	0.00	151 of 339 - Sale <sup>25</sup>
0.027		47.53	08/17/20	47.62	0.09 W	0.00	152 of 339 - Sale <sup>25</sup>
0.035		62.43	08/17/20	69.51	7.08 W	0.00	153 of 339 - Sale <sup>25</sup>
0.035		62.91	08/17/20	73.48	10.57 W	0.00	154 of 339 - Sale <sup>25</sup>
0.037		65.85	08/17/20	73.35	7.50 W	0.00	155 of 339 - Sale <sup>25</sup>
0.040		70.46	08/17/20	75.25	4.79 W	0.00	156 of 339 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.041		73.55	08/17/20	78.73	5.18 W	0.00	157 of 339 - Sale <sup>25</sup>
0.044		77.73	08/17/20	90.17	12.44 W	0.00	158 of 339 - Sale <sup>25</sup>
0.044		77.88	08/17/20	86.67	8.79 W	0.00	159 of 339 - Sale <sup>25</sup>
0.047		84.19	08/17/20	93.48	9.29 W	0.00	160 of 339 - Sale <sup>25</sup>
0.049		87.25	08/17/20	98.01	10.76 W	0.00	161 of 339 - Sale <sup>25</sup>
0.057		101.09	08/17/20	112.14	11.05 W	0.00	162 of 339 - Sale <sup>25</sup>
0.062		109.93	08/17/20	122.21	12.28 W	0.00	163 of 339 - Sale <sup>25</sup>
0.066		116.39	08/17/20	117.19	0.80 W	0.00	164 of 339 - Sale <sup>25</sup>
0.068		121.38	08/17/20	135.48	14.10 W	0.00	165 of 339 - Sale <sup>25</sup>
0.072		128.50	08/17/20	152.70	24.20 W	0.00	166 of 339 - Sale <sup>25</sup>
0.075		133.66	08/17/20	148.87	15.21 W	0.00	167 of 339 - Sale <sup>25</sup>
0.077		136.19	08/17/20	155.03	18.84 W	0.00	168 of 339 - Sale <sup>25</sup>
0.078		139.19	08/17/20	159.90	20.71 W	0.00	169 of 339 - Sale <sup>25</sup>
0.082		146.19	08/17/20	173.79	27.60 W	0.00	170 of 339 - Sale <sup>25</sup>
0.090		159.35	08/17/20	180.53	21.18 W	0.00	171 of 339 - Sale <sup>25</sup>
0.094		165.87	08/17/20	183.39	17.52 W	0.00	172 of 339 - Sale <sup>25</sup>
0.117		207.63	08/17/20	233.16	25.53 W	0.00	173 of 339 - Sale <sup>25</sup>
0.129		229.43	08/17/20	255.49	26.06 W	0.00	174 of 339 - Sale <sup>25</sup>
0.133		236.43	08/17/20	262.40	25.97 W	0.00	175 of 339 - Sale <sup>25</sup>
0.137		242.71	08/17/20	269.88	27.17 W	0.00	176 of 339 - Sale <sup>25</sup>
0.137		243.70	08/17/20	273.81	30.11 W	0.00	177 of 339 - Sale <sup>25</sup>
0.159		281.60	08/17/20	306.72	25.12 W	0.00	178 of 339 - Sale <sup>25</sup>
0.160		283.37	08/17/20	303.33	19.96 W	0.00	179 of 339 - Sale <sup>25</sup>
0.188		333.97	08/17/20	376.01	42.04 W	0.00	180 of 339 - Sale <sup>25</sup>
0.274		486.21	08/17/20	541.17	54.96 W	0.00	181 of 339 - Sale <sup>25</sup>
0.641		1,137.37	08/17/20	1,235.88	98.51 W	0.00	182 of 339 - Sale <sup>25</sup>
0.676		1,199.05	08/17/20	1,302.89	103.84 W	0.00	183 of 339 - Sale <sup>25</sup>
0.005		8.93	08/17/20	9.56	0.63 W	0.00	184 of 339 - Sale <sup>25</sup>
0.012		21.82	08/17/20	24.39	2.57 W	0.00	185 of 339 - Sale <sup>25</sup>
0.016		28.53	08/17/20	31.49	2.96 W	0.00	186 of 339 - Sale <sup>25</sup>
0.018		31.29	08/17/20	33.94	2.65 W	0.00	187 of 339 - Sale <sup>25</sup>
0.054		95.45	08/17/20	104.59	9.14 W	0.00	188 of 339 - Sale <sup>25</sup>
0.054		96.48	08/17/20	103.65	7.17 W	0.00	189 of 339 - Sale <sup>25</sup>
0.088		155.38	08/17/20	171.52	16.14 W	0.00	190 of 339 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.166		293.93	08/17/20	322.34	28.41 W	0.00	191 of 339 - Sale <sup>25</sup>
0.226		401.27	08/17/20	466.55	65.28 W	0.00	192 of 339 - Sale <sup>25</sup>
6.816		12,091.18	08/17/20	12,100.27	9.09 W	0.00	193 of 339 - Sale <sup>25</sup>
0.004		6.62	08/17/20	7.52	0.90 W	0.00	194 of 339 - Sale <sup>25</sup>
0.034		61.50	08/17/20	69.30	7.80 W	0.00	195 of 339 - Sale <sup>25</sup>
0.045		79.49	08/17/20	88.78	9.29 W	0.00	196 of 339 - Sale <sup>25</sup>
0.076		135.41	08/17/20	151.08	15.67 W	0.00	197 of 339 - Sale <sup>25</sup>
0.159		283.02	08/17/20	315.17	32.15 W	0.00	198 of 339 - Sale <sup>25</sup>
0.026		47.01	08/17/20	49.65	2.64 W	0.00	199 of 339 - Sale <sup>25</sup>
0.044		78.32	08/17/20	83.70	5.38 W	0.00	200 of 339 - Sale <sup>25</sup>
0.062		110.75	08/17/20	122.60	11.85 W	0.00	201 of 339 - Sale <sup>25</sup>
0.067		119.76	08/17/20	128.47	8.71 W	0.00	202 of 339 - Sale <sup>25</sup>
0.074		131.61	08/17/20	140.59	8.98 W	0.00	203 of 339 - Sale <sup>25</sup>
0.095		168.81	08/17/20	187.76	18.95 W	0.00	204 of 339 - Sale <sup>25</sup>
0.002		3.17	08/17/20	3.34	0.17 W	0.00	205 of 339 - Sale <sup>25</sup>
0.005		9.44	08/17/20	10.34	0.90 W	0.00	206 of 339 - Sale <sup>25</sup>
0.006		11.53	08/17/20	12.64	1.11 W	0.00	207 of 339 - Sale <sup>25</sup>
0.010		18.13	08/17/20	18.82	0.69 W	0.00	208 of 339 - Sale <sup>25</sup>
0.010		18.65	08/17/20	20.96	2.31 W	0.00	209 of 339 - Sale <sup>25</sup>
0.019		34.38	08/17/20	36.27	1.89 W	0.00	210 of 339 - Sale <sup>25</sup>
0.040		71.36	08/17/20	77.61	6.25 W	0.00	211 of 339 - Sale <sup>25</sup>
0.046		81.34	08/17/20	85.87	4.53 W	0.00	212 of 339 - Sale <sup>25</sup>
0.046		82.48	08/17/20	92.37	9.89 W	0.00	213 of 339 - Sale <sup>25</sup>
0.050		89.45	08/17/20	94.30	4.85 W	0.00	214 of 339 - Sale <sup>25</sup>
0.055		98.88	08/17/20	107.58	8.70 W	0.00	215 of 339 - Sale <sup>25</sup>
0.082		145.53	08/17/20	151.86	6.33 W	0.00	216 of 339 - Sale <sup>25</sup>
0.106		189.00	08/17/20	207.05	18.05 W	0.00	217 of 339 - Sale <sup>25</sup>
0.137		243.55	08/17/20	255.81	12.26 W	0.00	218 of 339 - Sale <sup>25</sup>
0.156		277.66	08/17/20	292.93	15.27 W	0.00	219 of 339 - Sale <sup>25</sup>
0.241		430.52	08/17/20	468.38	37.86 W	0.00	220 of 339 - Sale <sup>25</sup>
0.001		1.35	08/17/20	1.50	0.15 W	0.00	221 of 339 - Sale <sup>25</sup>
0.002		2.88	08/17/20	3.45	0.57 W	0.00	222 of 339 - Sale <sup>25</sup>
0.003		5.42	08/17/20	5.95	0.53 W	0.00	223 of 339 - Sale <sup>25</sup>
0.003		5.54	08/17/20	5.71	0.17 W	0.00	224 of 339 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.004		6.39	08/17/20	6.61	0.22 W	0.00	225 of 339 - Sale <sup>25</sup>
0.004		6.70	08/17/20	6.81	0.11 W	0.00	226 of 339 - Sale <sup>25</sup>
0.006		10.93	08/17/20	11.34	0.41 W	0.00	227 of 339 - Sale <sup>25</sup>
0.009		16.35	08/17/20	19.42	3.07 W	0.00	228 of 339 - Sale <sup>25</sup>
0.010		17.40	08/17/20	19.05	1.65 W	0.00	229 of 339 - Sale <sup>25</sup>
0.013		22.99	08/17/20	23.50	0.51 W	0.00	230 of 339 - Sale <sup>25</sup>
0.013		23.96	08/17/20	26.99	3.03 W	0.00	231 of 339 - Sale <sup>25</sup>
0.015		27.16	08/17/20	30.57	3.41 W	0.00	232 of 339 - Sale <sup>25</sup>
0.017		30.85	08/17/20	33.68	2.83 W	0.00	233 of 339 - Sale <sup>25</sup>
0.032		56.36	08/17/20	58.81	2.45 W	0.00	234 of 339 - Sale <sup>25</sup>
0.034		61.50	08/17/20	70.17	8.67 W	0.00	235 of 339 - Sale <sup>25</sup>
0.035		61.59	08/17/20	73.93	12.34 W	0.00	236 of 339 - Sale <sup>25</sup>
0.037		65.26	08/17/20	78.32	13.06 W	0.00	237 of 339 - Sale <sup>25</sup>
0.049		86.77	08/17/20	90.64	3.87 W	0.00	238 of 339 - Sale <sup>25</sup>
0.056		100.24	08/17/20	109.47	9.23 W	0.00	239 of 339 - Sale <sup>25</sup>
0.057		101.06	08/17/20	111.89	10.83 W	0.00	240 of 339 - Sale <sup>25</sup>
0.057		101.92	08/17/20	121.03	19.11 W	0.00	241 of 339 - Sale <sup>25</sup>
0.058		103.37	08/17/20	112.85	9.48 W	0.00	242 of 339 - Sale <sup>25</sup>
0.067		118.97	08/17/20	131.38	12.41 W	0.00	243 of 339 - Sale <sup>25</sup>
0.086		152.92	08/17/20	172.47	19.55 W	0.00	244 of 339 - Sale <sup>25</sup>
0.096		170.40	08/17/20	193.60	23.20 W	0.00	245 of 339 - Sale <sup>25</sup>
0.001		0.94	08/17/20	1.21	0.27 W	0.00	246 of 339 - Sale <sup>25</sup>
0.001		1.15	08/17/20	1.39	0.24 W	0.00	247 of 339 - Sale <sup>25</sup>
0.001		1.90	08/17/20	1.94	0.04 W	0.00	248 of 339 - Sale <sup>25</sup>
0.002		3.49	08/17/20	4.48	0.99 W	0.00	249 of 339 - Sale <sup>25</sup>
0.005		8.34	08/17/20	10.13	1.79 W	0.00	250 of 339 - Sale <sup>25</sup>
0.006		11.37	08/17/20	14.00	2.63 W	0.00	251 of 339 - Sale <sup>25</sup>
0.013		23.17	08/17/20	28.11	4.94 W	0.00	252 of 339 - Sale <sup>25</sup>
0.022		39.57	08/17/20	42.21	2.64 W	0.00	253 of 339 - Sale <sup>25</sup>
0.025		45.16	08/17/20	57.47	12.31 W	0.00	254 of 339 - Sale <sup>25</sup>
0.058		103.36	08/17/20	110.25	6.89 W	0.00	255 of 339 - Sale <sup>25</sup>
0.060		107.35	08/17/20	138.67	31.32 W	0.00	256 of 339 - Sale <sup>25</sup>
0.063		112.53	08/17/20	138.48	25.95 W	0.00	257 of 339 - Sale <sup>25</sup>
0.075		133.88	08/17/20	171.95	38.07 W	0.00	258 of 339 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.083	148.14	08/17/20	178.43	30.29 W	0.00	259 of 339 - Sale <sup>25</sup>
	0.093	165.37	08/17/20	176.36	10.99 W	0.00	260 of 339 - Sale <sup>25</sup>
	0.117	208.81	08/17/20	266.39	57.58 W	0.00	261 of 339 - Sale <sup>25</sup>
	0.123	219.17	08/17/20	238.86	19.69 W	0.00	262 of 339 - Sale <sup>25</sup>
	0.131	233.87	08/17/20	298.47	64.60 W	0.00	263 of 339 - Sale <sup>25</sup>
	0.152	270.49	08/17/20	333.12	62.63 W	0.00	264 of 339 - Sale <sup>25</sup>
	0.342	610.19	08/17/20	616.19	6.00 W	0.00	265 of 339 - Sale <sup>25</sup>
	0.023	40.71	08/17/20	51.80	11.09 W	0.00	266 of 339 - Sale <sup>25</sup>
	0.144	257.23	08/17/20	327.21	69.98 W	0.00	267 of 339 - Sale <sup>25</sup>
	0.021	38.38	08/17/20	48.95	10.57 W	0.00	268 of 339 - Sale <sup>25</sup>
	0.022	39.49	08/17/20	46.02	6.53 W	0.00	269 of 339 - Sale <sup>25</sup>
	0.024	43.41	08/17/20	55.46	12.05 W	0.00	270 of 339 - Sale <sup>25</sup>
	0.142	260.00	08/17/20	323.13	63.13 W	0.00	271 of 339 - Sale <sup>25</sup>
	0.187	340.68	08/17/20	429.95	89.27 W	0.00	272 of 339 - Sale <sup>25</sup>
	0.001	1.04	08/17/20	1.13	0.09 W	0.00	273 of 339 - Sale <sup>25</sup>
	0.001	1.12	08/17/20	1.23	0.11 W	0.00	274 of 339 - Sale <sup>25</sup>
	0.001	1.18	08/17/20	1.37	0.19 W	0.00	275 of 339 - Sale <sup>25</sup>
	0.001	2.25	08/17/20	2.55	0.30 W	0.00	276 of 339 - Sale <sup>25</sup>
	0.006	10.86	08/17/20	12.23	1.37 W	0.00	277 of 339 - Sale <sup>25</sup>
	0.006	11.60	08/17/20	13.05	1.45 W	0.00	278 of 339 - Sale <sup>25</sup>
	0.008	14.30	08/17/20	15.91	1.61 W	0.00	279 of 339 - Sale <sup>25</sup>
	0.009	15.73	08/17/20	17.62	1.89 W	0.00	280 of 339 - Sale <sup>25</sup>
	0.009	16.15	08/17/20	17.82	1.67 W	0.00	281 of 339 - Sale <sup>25</sup>
	0.013	24.06	08/17/20	26.90	2.84 W	0.00	282 of 339 - Sale <sup>25</sup>
	0.017	31.57	08/17/20	36.29	4.72 W	0.00	283 of 339 - Sale <sup>25</sup>
	0.035	64.23	08/17/20	71.16	6.93 W	0.00	284 of 339 - Sale <sup>25</sup>
	0.037	67.75	08/17/20	75.09	7.34 W	0.00	285 of 339 - Sale <sup>25</sup>
	0.044	79.97	08/17/20	92.22	12.25 W	0.00	286 of 339 - Sale <sup>25</sup>
	0.044	80.13	08/17/20	88.73	8.60 W	0.00	287 of 339 - Sale <sup>25</sup>
	0.047	86.62	08/17/20	95.71	9.09 W	0.00	288 of 339 - Sale <sup>25</sup>
	0.049	89.77	08/17/20	100.32	10.55 W	0.00	289 of 339 - Sale <sup>25</sup>
	0.057	104.01	08/17/20	114.82	10.81 W	0.00	290 of 339 - Sale <sup>25</sup>
	0.068	124.88	08/17/20	138.69	13.81 W	0.00	291 of 339 - Sale <sup>25</sup>
	0.072	132.21	08/17/20	156.09	23.88 W	0.00	292 of 339 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.075		137.51	08/17/20	152.40	14.89 W	0.00	293 of 339 - Sale <sup>25</sup>
0.077		140.12	08/17/20	158.63	18.51 W	0.00	294 of 339 - Sale <sup>25</sup>
0.078		143.21	08/17/20	163.58	20.37 W	0.00	295 of 339 - Sale <sup>25</sup>
0.082		150.41	08/17/20	177.65	27.24 W	0.00	296 of 339 - Sale <sup>25</sup>
0.094		170.66	08/17/20	187.78	17.12 W	0.00	297 of 339 - Sale <sup>25</sup>
0.117		213.63	08/17/20	238.65	25.02 W	0.00	298 of 339 - Sale <sup>25</sup>
0.129		236.06	08/17/20	261.56	25.50 W	0.00	299 of 339 - Sale <sup>25</sup>
0.133		243.26	08/17/20	268.65	25.39 W	0.00	300 of 339 - Sale <sup>25</sup>
0.137		249.72	08/17/20	276.30	26.58 W	0.00	301 of 339 - Sale <sup>25</sup>
0.137		250.74	08/17/20	280.25	29.51 W	0.00	302 of 339 - Sale <sup>25</sup>
0.188		343.61	08/17/20	384.84	41.23 W	0.00	303 of 339 - Sale <sup>25</sup>
0.274		500.24	08/17/20	554.02	53.78 W	0.00	304 of 339 - Sale <sup>25</sup>
0.001		2.19	08/17/20	2.47	0.28 W	0.00	305 of 339 - Sale <sup>25</sup>
0.004		6.80	08/17/20	7.96	1.16 W	0.00	306 of 339 - Sale <sup>25</sup>
0.026		47.09	08/17/20	50.26	3.17 W	0.00	307 of 339 - Sale <sup>25</sup>
0.035		64.72	08/17/20	75.25	10.53 W	0.00	308 of 339 - Sale <sup>25</sup>
0.040		72.49	08/17/20	77.24	4.75 W	0.00	309 of 339 - Sale <sup>25</sup>
0.057		103.92	08/17/20	112.59	8.67 W	0.00	310 of 339 - Sale <sup>25</sup>
0.084		153.08	08/17/20	172.77	19.69 W	0.00	311 of 339 - Sale <sup>25</sup>
0.514		938.43	08/17/20	1,017.04	78.61 W	0.00	312 of 339 - Sale <sup>25</sup>
0.080		146.23	08/17/20	158.86	12.63 W	0.00	313 of 339 - Sale <sup>25</sup>
0.105		191.32	08/17/20	207.35	16.03 W	0.00	314 of 339 - Sale <sup>25</sup>
0.003		6.00	08/17/20	6.41	0.41 W	0.00	315 of 339 - Sale <sup>25</sup>
0.005		8.86	08/17/20	9.08	0.22 W	0.00	316 of 339 - Sale <sup>25</sup>
0.007		13.53	08/17/20	13.86	0.33 W	0.00	317 of 339 - Sale <sup>25</sup>
0.011		20.02	08/17/20	22.21	2.19 W	0.00	318 of 339 - Sale <sup>25</sup>
0.015		27.45	08/17/20	28.35	0.90 W	0.00	319 of 339 - Sale <sup>25</sup>
0.017		30.39	08/17/20	32.67	2.28 W	0.00	320 of 339 - Sale <sup>25</sup>
0.026		47.40	08/17/20	50.82	3.42 W	0.00	321 of 339 - Sale <sup>25</sup>
0.027		48.90	08/17/20	48.98	0.08 W	0.00	322 of 339 - Sale <sup>25</sup>
0.041		75.67	08/17/20	80.84	5.17 W	0.00	323 of 339 - Sale <sup>25</sup>
0.062		113.10	08/17/20	125.37	12.27 W	0.00	324 of 339 - Sale <sup>25</sup>
0.066		119.75	08/17/20	120.53	0.78 W	0.00	325 of 339 - Sale <sup>25</sup>
0.079		143.50	08/17/20	155.92	12.42 W	0.00	326 of 339 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.641	1,170.20	08/17/20	1,268.54	98.34 W	0.00	327 of 339 - Sale <sup>25</sup>
	0.156	285.55	08/17/20	305.63	20.08 W	0.00	328 of 339 - Sale <sup>25</sup>
	0.005	9.18	08/17/20	9.83	0.65 W	0.00	329 of 339 - Sale <sup>25</sup>
	0.012	22.45	08/17/20	25.06	2.61 W	0.00	330 of 339 - Sale <sup>25</sup>
	0.016	29.36	08/17/20	32.37	3.01 W	0.00	331 of 339 - Sale <sup>25</sup>
	0.018	32.19	08/17/20	34.90	2.71 W	0.00	332 of 339 - Sale <sup>25</sup>
	0.054	98.21	08/17/20	107.53	9.32 W	0.00	333 of 339 - Sale <sup>25</sup>
	0.054	99.26	08/17/20	106.61	7.35 W	0.00	334 of 339 - Sale <sup>25</sup>
	0.088	159.87	08/17/20	176.30	16.43 W	0.00	335 of 339 - Sale <sup>25</sup>
	0.166	302.42	08/17/20	331.39	28.97 W	0.00	336 of 339 - Sale <sup>25</sup>
	0.226	412.86	08/17/20	478.90	66.04 W	0.00	337 of 339 - Sale <sup>25</sup>
	1.939	3,539.43	08/17/20	3,548.57	9.14 W	0.00	338 of 339 - Sale <sup>25</sup>
	0.876	1,599.69	08/17/20	1,604.48	4.79 W	0.00	339 of 339 - Sale <sup>25</sup>
08/17/20	30.702	54,818.48	Various	59,171.07	4,352.59 W	0.00	Total of 339 transactions
08/18/20	1.996	3,792.99	08/18/20	3,785.41	...	7.58	Sale <sup>25</sup>
152 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	1.000	1,878.16	08/18/20	1,885.83	7.67 W	0.00	1 of 152 - Sale <sup>25</sup>
	1.000	1,878.16	08/18/20	1,885.82	7.66 W	0.00	2 of 152 - Sale <sup>25</sup>
	0.004	7.06	08/18/20	7.94	0.88 W	0.00	3 of 152 - Sale <sup>25</sup>
	0.034	65.53	08/18/20	73.15	7.62 W	0.00	4 of 152 - Sale <sup>25</sup>
	0.045	84.70	08/18/20	93.76	9.06 W	0.00	5 of 152 - Sale <sup>25</sup>
	0.076	144.30	08/18/20	159.58	15.28 W	0.00	6 of 152 - Sale <sup>25</sup>
	0.159	301.59	08/18/20	332.93	31.34 W	0.00	7 of 152 - Sale <sup>25</sup>
	0.026	50.10	08/18/20	52.61	2.51 W	0.00	8 of 152 - Sale <sup>25</sup>
	0.044	83.46	08/18/20	88.61	5.15 W	0.00	9 of 152 - Sale <sup>25</sup>
	0.062	118.01	08/18/20	129.55	11.54 W	0.00	10 of 152 - Sale <sup>25</sup>
	0.067	127.61	08/18/20	135.98	8.37 W	0.00	11 of 152 - Sale <sup>25</sup>
	0.074	140.25	08/18/20	148.85	8.60 W	0.00	12 of 152 - Sale <sup>25</sup>
	0.095	179.89	08/18/20	198.35	18.46 W	0.00	13 of 152 - Sale <sup>25</sup>
	0.002	3.38	08/18/20	3.54	0.16 W	0.00	14 of 152 - Sale <sup>25</sup>
	0.005	10.06	08/18/20	10.93	0.87 W	0.00	15 of 152 - Sale <sup>25</sup>
	0.006	12.29	08/18/20	13.37	1.08 W	0.00	16 of 152 - Sale <sup>25</sup>
	0.010	19.32	08/18/20	19.96	0.64 W	0.00	17 of 152 - Sale <sup>25</sup>
	0.010	19.87	08/18/20	22.13	2.26 W	0.00	18 of 152 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.019		36.63	08/18/20	38.42	1.79 W	0.00	19 of 152 - Sale <sup>25</sup>
0.040		76.04	08/18/20	82.09	6.05 W	0.00	20 of 152 - Sale <sup>25</sup>
0.046		86.68	08/18/20	90.98	4.30 W	0.00	21 of 152 - Sale <sup>25</sup>
0.046		87.90	08/18/20	97.55	9.65 W	0.00	22 of 152 - Sale <sup>25</sup>
0.050		95.32	08/18/20	99.91	4.59 W	0.00	23 of 152 - Sale <sup>25</sup>
0.055		105.37	08/18/20	113.78	8.41 W	0.00	24 of 152 - Sale <sup>25</sup>
0.082		155.08	08/18/20	161.00	5.92 W	0.00	25 of 152 - Sale <sup>25</sup>
0.106		201.40	08/18/20	218.91	17.51 W	0.00	26 of 152 - Sale <sup>25</sup>
0.137		259.53	08/18/20	271.09	11.56 W	0.00	27 of 152 - Sale <sup>25</sup>
0.156		295.88	08/18/20	310.36	14.48 W	0.00	28 of 152 - Sale <sup>25</sup>
0.241		458.77	08/18/20	495.39	36.62 W	0.00	29 of 152 - Sale <sup>25</sup>
0.001		1.44	08/18/20	1.59	0.15 W	0.00	30 of 152 - Sale <sup>25</sup>
0.002		3.07	08/18/20	3.63	0.56 W	0.00	31 of 152 - Sale <sup>25</sup>
0.003		5.78	08/18/20	6.29	0.51 W	0.00	32 of 152 - Sale <sup>25</sup>
0.003		5.90	08/18/20	6.05	0.15 W	0.00	33 of 152 - Sale <sup>25</sup>
0.004		6.81	08/18/20	7.01	0.20 W	0.00	34 of 152 - Sale <sup>25</sup>
0.004		7.14	08/18/20	7.23	0.09 W	0.00	35 of 152 - Sale <sup>25</sup>
0.006		11.65	08/18/20	12.03	0.38 W	0.00	36 of 152 - Sale <sup>25</sup>
0.009		17.42	08/18/20	20.45	3.03 W	0.00	37 of 152 - Sale <sup>25</sup>
0.010		18.54	08/18/20	20.14	1.60 W	0.00	38 of 152 - Sale <sup>25</sup>
0.013		24.49	08/18/20	24.94	0.45 W	0.00	39 of 152 - Sale <sup>25</sup>
0.013		25.53	08/18/20	28.49	2.96 W	0.00	40 of 152 - Sale <sup>25</sup>
0.015		28.94	08/18/20	32.28	3.34 W	0.00	41 of 152 - Sale <sup>25</sup>
0.017		32.87	08/18/20	35.62	2.75 W	0.00	42 of 152 - Sale <sup>25</sup>
0.032		60.06	08/18/20	62.34	2.28 W	0.00	43 of 152 - Sale <sup>25</sup>
0.034		65.53	08/18/20	74.02	8.49 W	0.00	44 of 152 - Sale <sup>25</sup>
0.035		65.63	08/18/20	77.79	12.16 W	0.00	45 of 152 - Sale <sup>25</sup>
0.037		69.54	08/18/20	82.41	12.87 W	0.00	46 of 152 - Sale <sup>25</sup>
0.049		92.46	08/18/20	96.08	3.62 W	0.00	47 of 152 - Sale <sup>25</sup>
0.056		106.82	08/18/20	115.76	8.94 W	0.00	48 of 152 - Sale <sup>25</sup>
0.057		107.69	08/18/20	118.23	10.54 W	0.00	49 of 152 - Sale <sup>25</sup>
0.057		108.61	08/18/20	127.43	18.82 W	0.00	50 of 152 - Sale <sup>25</sup>
0.058		110.15	08/18/20	119.33	9.18 W	0.00	51 of 152 - Sale <sup>25</sup>
0.067		126.78	08/18/20	138.85	12.07 W	0.00	52 of 152 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.086	162.96	08/18/20	182.07	19.11 W	0.00	53 of 152 - Sale <sup>25</sup>
	0.096	181.58	08/18/20	204.29	22.71 W	0.00	54 of 152 - Sale <sup>25</sup>
	0.001	1.22	08/18/20	1.46	0.24 W	0.00	55 of 152 - Sale <sup>25</sup>
	0.002	3.72	08/18/20	4.70	0.98 W	0.00	56 of 152 - Sale <sup>25</sup>
	0.005	8.88	08/18/20	10.65	1.77 W	0.00	57 of 152 - Sale <sup>25</sup>
	0.006	12.12	08/18/20	14.72	2.60 W	0.00	58 of 152 - Sale <sup>25</sup>
	0.013	24.69	08/18/20	29.56	4.87 W	0.00	59 of 152 - Sale <sup>25</sup>
	0.025	48.12	08/18/20	60.30	12.18 W	0.00	60 of 152 - Sale <sup>25</sup>
	0.059	111.55	08/18/20	140.17	28.62 W	0.00	61 of 152 - Sale <sup>25</sup>
	0.060	114.40	08/18/20	145.42	31.02 W	0.00	62 of 152 - Sale <sup>25</sup>
	0.063	119.92	08/18/20	145.54	25.62 W	0.00	63 of 152 - Sale <sup>25</sup>
	0.075	142.66	08/18/20	180.35	37.69 W	0.00	64 of 152 - Sale <sup>25</sup>
	0.083	157.86	08/18/20	187.73	29.87 W	0.00	65 of 152 - Sale <sup>25</sup>
	0.152	288.25	08/18/20	350.10	61.85 W	0.00	66 of 152 - Sale <sup>25</sup>
	0.001	1.00	08/18/20	1.27	0.27 W	0.00	67 of 152 - Sale <sup>25</sup>
	0.001	2.02	08/18/20	2.07	0.05 W	0.00	68 of 152 - Sale <sup>25</sup>
	0.022	42.14	08/18/20	44.90	2.76 W	0.00	69 of 152 - Sale <sup>25</sup>
	0.058	110.06	08/18/20	117.28	7.22 W	0.00	70 of 152 - Sale <sup>25</sup>
	0.072	137.55	08/18/20	173.65	36.10 W	0.00	71 of 152 - Sale <sup>25</sup>
	0.093	176.08	08/18/20	187.61	11.53 W	0.00	72 of 152 - Sale <sup>25</sup>
	0.117	222.33	08/18/20	280.59	58.26 W	0.00	73 of 152 - Sale <sup>25</sup>
	0.123	233.36	08/18/20	253.76	20.40 W	0.00	74 of 152 - Sale <sup>25</sup>
	0.342	649.71	08/18/20	657.68	7.97 W	0.00	75 of 152 - Sale <sup>25</sup>
	0.021	39.92	08/18/20	50.61	10.69 W	0.00	76 of 152 - Sale <sup>25</sup>
	0.022	41.07	08/18/20	47.73	6.66 W	0.00	77 of 152 - Sale <sup>25</sup>
	0.023	43.35	08/18/20	54.57	11.22 W	0.00	78 of 152 - Sale <sup>25</sup>
	0.024	45.15	08/18/20	57.34	12.19 W	0.00	79 of 152 - Sale <sup>25</sup>
	0.142	270.42	08/18/20	334.37	63.95 W	0.00	80 of 152 - Sale <sup>25</sup>
	0.144	273.88	08/18/20	344.70	70.82 W	0.00	81 of 152 - Sale <sup>25</sup>
	0.187	354.33	08/18/20	444.68	90.35 W	0.00	82 of 152 - Sale <sup>25</sup>
	0.001	1.08	08/18/20	1.17	0.09 W	0.00	83 of 152 - Sale <sup>25</sup>
	0.001	1.17	08/18/20	1.28	0.11 W	0.00	84 of 152 - Sale <sup>25</sup>
	0.001	1.22	08/18/20	1.42	0.20 W	0.00	85 of 152 - Sale <sup>25</sup>
	0.001	2.28	08/18/20	2.56	0.28 W	0.00	86 of 152 - Sale <sup>25</sup>

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(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.001		2.34	08/18/20	2.65	0.31 W	0.00	87 of 152 - Sale <sup>25</sup>
0.004		7.07	08/18/20	8.25	1.18 W	0.00	88 of 152 - Sale <sup>25</sup>
0.006		11.30	08/18/20	12.70	1.40 W	0.00	89 of 152 - Sale <sup>25</sup>
0.006		12.06	08/18/20	13.55	1.49 W	0.00	90 of 152 - Sale <sup>25</sup>
0.008		14.87	08/18/20	16.53	1.66 W	0.00	91 of 152 - Sale <sup>25</sup>
0.009		16.36	08/18/20	18.30	1.94 W	0.00	92 of 152 - Sale <sup>25</sup>
0.009		16.80	08/18/20	18.52	1.72 W	0.00	93 of 152 - Sale <sup>25</sup>
0.013		25.03	08/18/20	27.94	2.91 W	0.00	94 of 152 - Sale <sup>25</sup>
0.017		31.60	08/18/20	33.98	2.38 W	0.00	95 of 152 - Sale <sup>25</sup>
0.017		32.84	08/18/20	37.65	4.81 W	0.00	96 of 152 - Sale <sup>25</sup>
0.026		48.98	08/18/20	52.30	3.32 W	0.00	97 of 152 - Sale <sup>25</sup>
0.026		49.30	08/18/20	52.87	3.57 W	0.00	98 of 152 - Sale <sup>25</sup>
0.035		66.80	08/18/20	73.94	7.14 W	0.00	99 of 152 - Sale <sup>25</sup>
0.035		67.32	08/18/20	78.05	10.73 W	0.00	100 of 152 - Sale <sup>25</sup>
0.037		70.47	08/18/20	78.02	7.55 W	0.00	101 of 152 - Sale <sup>25</sup>
0.040		75.40	08/18/20	80.38	4.98 W	0.00	102 of 152 - Sale <sup>25</sup>
0.044		83.18	08/18/20	95.68	12.50 W	0.00	103 of 152 - Sale <sup>25</sup>
0.044		83.34	08/18/20	92.19	8.85 W	0.00	104 of 152 - Sale <sup>25</sup>
0.047		90.09	08/18/20	99.46	9.37 W	0.00	105 of 152 - Sale <sup>25</sup>
0.049		93.37	08/18/20	104.20	10.83 W	0.00	106 of 152 - Sale <sup>25</sup>
0.057		108.08	08/18/20	117.08	9.00 W	0.00	107 of 152 - Sale <sup>25</sup>
0.057		108.18	08/18/20	119.32	11.14 W	0.00	108 of 152 - Sale <sup>25</sup>
0.068		129.89	08/18/20	144.09	14.20 W	0.00	109 of 152 - Sale <sup>25</sup>
0.072		137.50	08/18/20	161.80	24.30 W	0.00	110 of 152 - Sale <sup>25</sup>
0.075		143.02	08/18/20	158.35	15.33 W	0.00	111 of 152 - Sale <sup>25</sup>
0.077		145.74	08/18/20	164.69	18.95 W	0.00	112 of 152 - Sale <sup>25</sup>
0.078		148.95	08/18/20	169.77	20.82 W	0.00	113 of 152 - Sale <sup>25</sup>
0.079		149.25	08/18/20	162.12	12.87 W	0.00	114 of 152 - Sale <sup>25</sup>
0.080		152.09	08/18/20	165.19	13.10 W	0.00	115 of 152 - Sale <sup>25</sup>
0.082		156.44	08/18/20	184.15	27.71 W	0.00	116 of 152 - Sale <sup>25</sup>
0.084		159.22	08/18/20	179.39	20.17 W	0.00	117 of 152 - Sale <sup>25</sup>
0.094		177.50	08/18/20	195.16	17.66 W	0.00	118 of 152 - Sale <sup>25</sup>
0.105		198.98	08/18/20	215.62	16.64 W	0.00	119 of 152 - Sale <sup>25</sup>
0.117		222.19	08/18/20	247.89	25.70 W	0.00	120 of 152 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.129	245.52	08/18/20	271.76	26.24 W	0.00	121 of 152 - Sale <sup>25</sup>
	0.133	253.01	08/18/20	279.17	26.16 W	0.00	122 of 152 - Sale <sup>25</sup>
	0.137	259.73	08/18/20	287.10	27.37 W	0.00	123 of 152 - Sale <sup>25</sup>
	0.137	260.79	08/18/20	291.09	30.30 W	0.00	124 of 152 - Sale <sup>25</sup>
	0.188	357.38	08/18/20	399.70	42.32 W	0.00	125 of 152 - Sale <sup>25</sup>
	0.274	520.29	08/18/20	575.66	55.37 W	0.00	126 of 152 - Sale <sup>25</sup>
	0.493	935.67	08/18/20	1,014.11	78.44 W	0.00	127 of 152 - Sale <sup>25</sup>
	0.514	976.03	08/18/20	1,057.62	81.59 W	0.00	128 of 152 - Sale <sup>25</sup>
	0.003	6.21	08/18/20	6.62	0.41 W	0.00	129 of 152 - Sale <sup>25</sup>
	0.005	9.17	08/18/20	9.40	0.23 W	0.00	130 of 152 - Sale <sup>25</sup>
	0.007	14.01	08/18/20	14.35	0.34 W	0.00	131 of 152 - Sale <sup>25</sup>
	0.011	20.72	08/18/20	22.93	2.21 W	0.00	132 of 152 - Sale <sup>25</sup>
	0.015	28.42	08/18/20	29.34	0.92 W	0.00	133 of 152 - Sale <sup>25</sup>
	0.027	50.61	08/18/20	50.74	0.13 W	0.00	134 of 152 - Sale <sup>25</sup>
	0.041	78.33	08/18/20	83.57	5.24 W	0.00	135 of 152 - Sale <sup>25</sup>
	0.062	117.07	08/18/20	129.45	12.38 W	0.00	136 of 152 - Sale <sup>25</sup>
	0.066	123.95	08/18/20	124.84	0.89 W	0.00	137 of 152 - Sale <sup>25</sup>
	0.148	280.09	08/18/20	303.08	22.99 W	0.00	138 of 152 - Sale <sup>25</sup>
	0.156	295.57	08/18/20	315.92	20.35 W	0.00	139 of 152 - Sale <sup>25</sup>
	0.005	9.51	08/18/20	10.17	0.66 W	0.00	140 of 152 - Sale <sup>25</sup>
	0.012	23.23	08/18/20	25.87	2.64 W	0.00	141 of 152 - Sale <sup>25</sup>
	0.016	30.39	08/18/20	33.42	3.03 W	0.00	142 of 152 - Sale <sup>25</sup>
	0.018	33.32	08/18/20	36.06	2.74 W	0.00	143 of 152 - Sale <sup>25</sup>
	0.054	101.65	08/18/20	111.06	9.41 W	0.00	144 of 152 - Sale <sup>25</sup>
	0.054	102.74	08/18/20	110.19	7.45 W	0.00	145 of 152 - Sale <sup>25</sup>
	0.088	165.48	08/18/20	182.06	16.58 W	0.00	146 of 152 - Sale <sup>25</sup>
	0.166	313.02	08/18/20	342.28	29.26 W	0.00	147 of 152 - Sale <sup>25</sup>
	0.226	427.34	08/18/20	493.76	66.42 W	0.00	148 of 152 - Sale <sup>25</sup>
	0.004	6.91	08/18/20	6.94	0.03 W	0.00	149 of 152 - Sale <sup>25</sup>
	0.876	1,655.80	08/18/20	1,662.09	6.29 W	0.00	150 of 152 - Sale <sup>25</sup>
	1.000	1,889.27	08/18/20	1,898.66	9.39 W	0.00	151 of 152 - Sale <sup>25</sup>
	1.939	3,663.58	08/18/20	3,676.04	12.46 W	0.00	152 of 152 - Sale <sup>25</sup>
08/18/20	15.004	28,401.60	Various	30,413.49	2,011.89 W	0.00	Total of 152 transactions

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Robinhood Securities LLC

Account 527125207

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
243 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
0.004		7.39	08/20/20	8.29	0.90 W	0.00	1 of 243 - Sale <sup>25</sup>
0.034		68.64	08/20/20	76.43	7.79 W	0.00	2 of 243 - Sale <sup>25</sup>
0.045		88.72	08/20/20	98.00	9.28 W	0.00	3 of 243 - Sale <sup>25</sup>
0.076		151.13	08/20/20	166.80	15.67 W	0.00	4 of 243 - Sale <sup>25</sup>
0.159		315.88	08/20/20	348.02	32.14 W	0.00	5 of 243 - Sale <sup>25</sup>
0.026		52.47	08/20/20	55.12	2.65 W	0.00	6 of 243 - Sale <sup>25</sup>
0.044		87.41	08/20/20	92.78	5.37 W	0.00	7 of 243 - Sale <sup>25</sup>
0.062		123.61	08/20/20	135.46	11.85 W	0.00	8 of 243 - Sale <sup>25</sup>
0.067		133.66	08/20/20	142.37	8.71 W	0.00	9 of 243 - Sale <sup>25</sup>
0.074		146.89	08/20/20	155.86	8.97 W	0.00	10 of 243 - Sale <sup>25</sup>
0.095		188.41	08/20/20	207.35	18.94 W	0.00	11 of 243 - Sale <sup>25</sup>
0.002		3.54	08/20/20	3.71	0.17 W	0.00	12 of 243 - Sale <sup>25</sup>
0.005		10.53	08/20/20	11.43	0.90 W	0.00	13 of 243 - Sale <sup>25</sup>
0.006		12.87	08/20/20	13.99	1.12 W	0.00	14 of 243 - Sale <sup>25</sup>
0.010		20.23	08/20/20	20.93	0.70 W	0.00	15 of 243 - Sale <sup>25</sup>
0.010		20.81	08/20/20	23.13	2.32 W	0.00	16 of 243 - Sale <sup>25</sup>
0.019		38.37	08/20/20	40.26	1.89 W	0.00	17 of 243 - Sale <sup>25</sup>
0.040		79.64	08/20/20	85.89	6.25 W	0.00	18 of 243 - Sale <sup>25</sup>
0.046		90.79	08/20/20	95.32	4.53 W	0.00	19 of 243 - Sale <sup>25</sup>
0.046		92.06	08/20/20	101.94	9.88 W	0.00	20 of 243 - Sale <sup>25</sup>
0.050		99.83	08/20/20	104.67	4.84 W	0.00	21 of 243 - Sale <sup>25</sup>
0.055		110.36	08/20/20	119.05	8.69 W	0.00	22 of 243 - Sale <sup>25</sup>
0.082		162.43	08/20/20	168.76	6.33 W	0.00	23 of 243 - Sale <sup>25</sup>
0.106		210.94	08/20/20	228.99	18.05 W	0.00	24 of 243 - Sale <sup>25</sup>
0.137		271.83	08/20/20	284.07	12.24 W	0.00	25 of 243 - Sale <sup>25</sup>
0.156		309.90	08/20/20	325.16	15.26 W	0.00	26 of 243 - Sale <sup>25</sup>
0.241		480.50	08/20/20	518.34	37.84 W	0.00	27 of 243 - Sale <sup>25</sup>
0.001		1.51	08/20/20	1.66	0.15 W	0.00	28 of 243 - Sale <sup>25</sup>
0.002		3.21	08/20/20	3.78	0.57 W	0.00	29 of 243 - Sale <sup>25</sup>
0.003		6.05	08/20/20	6.57	0.52 W	0.00	30 of 243 - Sale <sup>25</sup>
0.003		6.18	08/20/20	6.34	0.16 W	0.00	31 of 243 - Sale <sup>25</sup>
0.004		7.13	08/20/20	7.35	0.22 W	0.00	32 of 243 - Sale <sup>25</sup>
0.004		7.47	08/20/20	7.58	0.11 W	0.00	33 of 243 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.006	12.20	08/20/20	12.61	0.41 W	0.00	34 of 243 - Sale <sup>25</sup>
	0.009	18.25	08/20/20	21.33	3.08 W	0.00	35 of 243 - Sale <sup>25</sup>
	0.010	19.42	08/20/20	21.07	1.65 W	0.00	36 of 243 - Sale <sup>25</sup>
	0.013	25.65	08/20/20	26.17	0.52 W	0.00	37 of 243 - Sale <sup>25</sup>
	0.013	26.74	08/20/20	29.77	3.03 W	0.00	38 of 243 - Sale <sup>25</sup>
	0.015	30.32	08/20/20	33.73	3.41 W	0.00	39 of 243 - Sale <sup>25</sup>
	0.017	34.43	08/20/20	37.27	2.84 W	0.00	40 of 243 - Sale <sup>25</sup>
	0.032	62.90	08/20/20	65.34	2.44 W	0.00	41 of 243 - Sale <sup>25</sup>
	0.034	68.64	08/20/20	77.30	8.66 W	0.00	42 of 243 - Sale <sup>25</sup>
	0.035	68.74	08/20/20	81.07	12.33 W	0.00	43 of 243 - Sale <sup>25</sup>
	0.037	72.83	08/20/20	85.89	13.06 W	0.00	44 of 243 - Sale <sup>25</sup>
	0.049	96.84	08/20/20	100.71	3.87 W	0.00	45 of 243 - Sale <sup>25</sup>
	0.056	111.88	08/20/20	121.10	9.22 W	0.00	46 of 243 - Sale <sup>25</sup>
	0.057	112.80	08/20/20	123.62	10.82 W	0.00	47 of 243 - Sale <sup>25</sup>
	0.057	113.76	08/20/20	132.87	19.11 W	0.00	48 of 243 - Sale <sup>25</sup>
	0.058	115.37	08/20/20	124.84	9.47 W	0.00	49 of 243 - Sale <sup>25</sup>
	0.067	132.78	08/20/20	145.19	12.41 W	0.00	50 of 243 - Sale <sup>25</sup>
	0.086	170.68	08/20/20	190.22	19.54 W	0.00	51 of 243 - Sale <sup>25</sup>
	0.096	190.19	08/20/20	213.38	23.19 W	0.00	52 of 243 - Sale <sup>25</sup>
	0.001	1.05	08/20/20	1.32	0.27 W	0.00	53 of 243 - Sale <sup>25</sup>
	0.001	1.28	08/20/20	1.52	0.24 W	0.00	54 of 243 - Sale <sup>25</sup>
	0.001	2.12	08/20/20	2.17	0.05 W	0.00	55 of 243 - Sale <sup>25</sup>
	0.002	3.90	08/20/20	4.89	0.99 W	0.00	56 of 243 - Sale <sup>25</sup>
	0.005	9.30	08/20/20	11.10	1.80 W	0.00	57 of 243 - Sale <sup>25</sup>
	0.006	12.69	08/20/20	15.33	2.64 W	0.00	58 of 243 - Sale <sup>25</sup>
	0.013	25.86	08/20/20	30.79	4.93 W	0.00	59 of 243 - Sale <sup>25</sup>
	0.022	44.17	08/20/20	47.04	2.87 W	0.00	60 of 243 - Sale <sup>25</sup>
	0.025	50.40	08/20/20	62.71	12.31 W	0.00	61 of 243 - Sale <sup>25</sup>
	0.058	115.36	08/20/20	122.87	7.51 W	0.00	62 of 243 - Sale <sup>25</sup>
	0.059	116.84	08/20/20	145.75	28.91 W	0.00	63 of 243 - Sale <sup>25</sup>
	0.060	119.82	08/20/20	151.14	31.32 W	0.00	64 of 243 - Sale <sup>25</sup>
	0.063	125.60	08/20/20	151.53	25.93 W	0.00	65 of 243 - Sale <sup>25</sup>
	0.072	144.19	08/20/20	180.65	36.46 W	0.00	66 of 243 - Sale <sup>25</sup>
	0.075	149.42	08/20/20	187.49	38.07 W	0.00	67 of 243 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.083	165.34	08/20/20	195.63	30.29 W	0.00	68 of 243 - Sale <sup>25</sup>
	0.093	184.58	08/20/20	196.57	11.99 W	0.00	69 of 243 - Sale <sup>25</sup>
	0.117	233.06	08/20/20	291.90	58.84 W	0.00	70 of 243 - Sale <sup>25</sup>
	0.123	244.62	08/20/20	265.63	21.01 W	0.00	71 of 243 - Sale <sup>25</sup>
	0.152	301.91	08/20/20	364.51	62.60 W	0.00	72 of 243 - Sale <sup>25</sup>
	0.342	681.05	08/20/20	690.73	9.68 W	0.00	73 of 243 - Sale <sup>25</sup>
	0.023	45.44	08/20/20	56.77	11.33 W	0.00	74 of 243 - Sale <sup>25</sup>
	0.144	287.09	08/20/20	358.64	71.55 W	0.00	75 of 243 - Sale <sup>25</sup>
	0.021	41.84	08/20/20	52.64	10.80 W	0.00	76 of 243 - Sale <sup>25</sup>
	0.022	43.05	08/20/20	49.82	6.77 W	0.00	77 of 243 - Sale <sup>25</sup>
	0.024	47.33	08/20/20	59.64	12.31 W	0.00	78 of 243 - Sale <sup>25</sup>
	0.142	283.47	08/20/20	348.12	64.65 W	0.00	79 of 243 - Sale <sup>25</sup>
	0.187	371.43	08/20/20	462.71	91.28 W	0.00	80 of 243 - Sale <sup>25</sup>
	0.009	17.61	08/20/20	19.37	1.76 W	0.00	81 of 243 - Sale <sup>25</sup>
	0.017	34.42	08/20/20	39.32	4.90 W	0.00	82 of 243 - Sale <sup>25</sup>
	0.035	70.03	08/20/20	77.34	7.31 W	0.00	83 of 243 - Sale <sup>25</sup>
	0.041	81.58	08/20/20	90.11	8.53 W	0.00	84 of 243 - Sale <sup>25</sup>
	0.047	94.44	08/20/20	104.05	9.61 W	0.00	85 of 243 - Sale <sup>25</sup>
	0.133	265.22	08/20/20	292.04	26.82 W	0.00	86 of 243 - Sale <sup>25</sup>
	0.137	272.26	08/20/20	300.31	28.05 W	0.00	87 of 243 - Sale <sup>25</sup>
	0.188	374.63	08/20/20	417.88	43.25 W	0.00	88 of 243 - Sale <sup>25</sup>
	0.996	1,982.74	08/20/20	1,995.34	12.60 W	0.00	89 of 243 - Sale <sup>25</sup>
	0.001	1.13	08/20/20	1.22	0.09 W	0.00	90 of 243 - Sale <sup>25</sup>
	0.001	1.23	08/20/20	1.33	0.10 W	0.00	91 of 243 - Sale <sup>25</sup>
	0.001	1.28	08/20/20	1.48	0.20 W	0.00	92 of 243 - Sale <sup>25</sup>
	0.001	2.39	08/20/20	2.66	0.27 W	0.00	93 of 243 - Sale <sup>25</sup>
	0.001	2.46	08/20/20	2.76	0.30 W	0.00	94 of 243 - Sale <sup>25</sup>
	0.003	6.54	08/20/20	6.94	0.40 W	0.00	95 of 243 - Sale <sup>25</sup>
	0.004	7.41	08/20/20	8.58	1.17 W	0.00	96 of 243 - Sale <sup>25</sup>
	0.005	9.66	08/20/20	9.88	0.22 W	0.00	97 of 243 - Sale <sup>25</sup>
	0.006	11.84	08/20/20	13.23	1.39 W	0.00	98 of 243 - Sale <sup>25</sup>
	0.006	12.65	08/20/20	14.12	1.47 W	0.00	99 of 243 - Sale <sup>25</sup>
	0.007	14.76	08/20/20	15.08	0.32 W	0.00	100 of 243 - Sale <sup>25</sup>
	0.008	15.60	08/20/20	17.24	1.64 W	0.00	101 of 243 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.009		17.16	08/20/20	19.07	1.91 W	0.00	102 of 243 - Sale <sup>25</sup>
0.011		21.83	08/20/20	24.01	2.18 W	0.00	103 of 243 - Sale <sup>25</sup>
0.013		26.24	08/20/20	29.12	2.88 W	0.00	104 of 243 - Sale <sup>25</sup>
0.015		29.94	08/20/20	30.82	0.88 W	0.00	105 of 243 - Sale <sup>25</sup>
0.017		33.14	08/20/20	35.48	2.34 W	0.00	106 of 243 - Sale <sup>25</sup>
0.026		51.35	08/20/20	54.61	3.26 W	0.00	107 of 243 - Sale <sup>25</sup>
0.026		51.70	08/20/20	55.20	3.50 W	0.00	108 of 243 - Sale <sup>25</sup>
0.027		53.32	08/20/20	53.39	0.07 W	0.00	109 of 243 - Sale <sup>25</sup>
0.035		70.58	08/20/20	81.22	10.64 W	0.00	110 of 243 - Sale <sup>25</sup>
0.037		73.89	08/20/20	81.34	7.45 W	0.00	111 of 243 - Sale <sup>25</sup>
0.040		79.06	08/20/20	83.94	4.88 W	0.00	112 of 243 - Sale <sup>25</sup>
0.041		82.53	08/20/20	87.66	5.13 W	0.00	113 of 243 - Sale <sup>25</sup>
0.044		87.22	08/20/20	99.60	12.38 W	0.00	114 of 243 - Sale <sup>25</sup>
0.044		87.38	08/20/20	96.12	8.74 W	0.00	115 of 243 - Sale <sup>25</sup>
0.049		97.90	08/20/20	108.60	10.70 W	0.00	116 of 243 - Sale <sup>25</sup>
0.057		113.33	08/20/20	122.18	8.85 W	0.00	117 of 243 - Sale <sup>25</sup>
0.057		113.43	08/20/20	124.43	11.00 W	0.00	118 of 243 - Sale <sup>25</sup>
0.062		123.35	08/20/20	135.57	12.22 W	0.00	119 of 243 - Sale <sup>25</sup>
0.066		130.59	08/20/20	131.31	0.72 W	0.00	120 of 243 - Sale <sup>25</sup>
0.068		136.19	08/20/20	150.22	14.03 W	0.00	121 of 243 - Sale <sup>25</sup>
0.072		144.18	08/20/20	168.29	24.11 W	0.00	122 of 243 - Sale <sup>25</sup>
0.075		149.97	08/20/20	165.10	15.13 W	0.00	123 of 243 - Sale <sup>25</sup>
0.077		152.81	08/20/20	171.57	18.76 W	0.00	124 of 243 - Sale <sup>25</sup>
0.078		156.18	08/20/20	176.80	20.62 W	0.00	125 of 243 - Sale <sup>25</sup>
0.079		156.49	08/20/20	169.16	12.67 W	0.00	126 of 243 - Sale <sup>25</sup>
0.080		159.48	08/20/20	172.37	12.89 W	0.00	127 of 243 - Sale <sup>25</sup>
0.082		164.03	08/20/20	191.53	27.50 W	0.00	128 of 243 - Sale <sup>25</sup>
0.084		166.95	08/20/20	186.90	19.95 W	0.00	129 of 243 - Sale <sup>25</sup>
0.088		175.83	08/20/20	193.53	17.70 W	0.00	130 of 243 - Sale <sup>25</sup>
0.094		186.11	08/20/20	203.54	17.43 W	0.00	131 of 243 - Sale <sup>25</sup>
0.105		208.64	08/20/20	225.01	16.37 W	0.00	132 of 243 - Sale <sup>25</sup>
0.117		232.97	08/20/20	258.38	25.41 W	0.00	133 of 243 - Sale <sup>25</sup>
0.137		273.44	08/20/20	303.39	29.95 W	0.00	134 of 243 - Sale <sup>25</sup>
0.148		295.10	08/20/20	317.71	22.61 W	0.00	135 of 243 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.156		311.41	08/20/20	331.37	19.96 W	0.00	136 of 243 - Sale <sup>25</sup>
0.274		545.54	08/20/20	600.22	54.68 W	0.00	137 of 243 - Sale <sup>25</sup>
0.493		981.08	08/20/20	1,058.26	77.18 W	0.00	138 of 243 - Sale <sup>25</sup>
0.514		1,023.41	08/20/20	1,103.69	80.28 W	0.00	139 of 243 - Sale <sup>25</sup>
0.005		10.02	08/20/20	10.66	0.64 W	0.00	140 of 243 - Sale <sup>25</sup>
0.012		24.48	08/20/20	27.09	2.61 W	0.00	141 of 243 - Sale <sup>25</sup>
0.016		32.02	08/20/20	35.01	2.99 W	0.00	142 of 243 - Sale <sup>25</sup>
0.018		35.11	08/20/20	37.80	2.69 W	0.00	143 of 243 - Sale <sup>25</sup>
0.054		107.10	08/20/20	116.37	9.27 W	0.00	144 of 243 - Sale <sup>25</sup>
0.054		108.25	08/20/20	115.56	7.31 W	0.00	145 of 243 - Sale <sup>25</sup>
0.088		174.35	08/20/20	190.70	16.35 W	0.00	146 of 243 - Sale <sup>25</sup>
0.166		329.80	08/20/20	358.64	28.84 W	0.00	147 of 243 - Sale <sup>25</sup>
0.045		90.39	08/20/20	103.61	13.22 W	0.00	148 of 243 - Sale <sup>25</sup>
0.181		359.74	08/20/20	412.48	52.74 W	0.00	149 of 243 - Sale <sup>25</sup>
0.819		1,630.21	08/20/20	1,633.88	3.67 W	0.00	150 of 243 - Sale <sup>25</sup>
0.004		7.39	08/20/20	8.29	0.90 W	0.00	151 of 243 - Sale <sup>25</sup>
0.034		68.64	08/20/20	76.36	7.72 W	0.00	152 of 243 - Sale <sup>25</sup>
0.045		88.71	08/20/20	97.91	9.20 W	0.00	153 of 243 - Sale <sup>25</sup>
0.076		151.13	08/20/20	166.65	15.52 W	0.00	154 of 243 - Sale <sup>25</sup>
0.159		315.88	08/20/20	347.71	31.83 W	0.00	155 of 243 - Sale <sup>25</sup>
0.026		52.47	08/20/20	55.07	2.60 W	0.00	156 of 243 - Sale <sup>25</sup>
0.044		87.41	08/20/20	92.70	5.29 W	0.00	157 of 243 - Sale <sup>25</sup>
0.062		123.60	08/20/20	135.33	11.73 W	0.00	158 of 243 - Sale <sup>25</sup>
0.067		133.66	08/20/20	142.24	8.58 W	0.00	159 of 243 - Sale <sup>25</sup>
0.074		146.89	08/20/20	155.72	8.83 W	0.00	160 of 243 - Sale <sup>25</sup>
0.095		188.41	08/20/20	207.16	18.75 W	0.00	161 of 243 - Sale <sup>25</sup>
0.002		3.54	08/20/20	3.71	0.17 W	0.00	162 of 243 - Sale <sup>25</sup>
0.005		10.53	08/20/20	11.42	0.89 W	0.00	163 of 243 - Sale <sup>25</sup>
0.006		12.87	08/20/20	13.98	1.11 W	0.00	164 of 243 - Sale <sup>25</sup>
0.010		20.23	08/20/20	20.91	0.68 W	0.00	165 of 243 - Sale <sup>25</sup>
0.010		20.81	08/20/20	23.11	2.30 W	0.00	166 of 243 - Sale <sup>25</sup>
0.019		38.37	08/20/20	40.22	1.85 W	0.00	167 of 243 - Sale <sup>25</sup>
0.040		79.64	08/20/20	85.81	6.17 W	0.00	168 of 243 - Sale <sup>25</sup>
0.046		90.79	08/20/20	95.23	4.44 W	0.00	169 of 243 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.046		92.06	08/20/20	101.85	9.79 W	0.00	170 of 243 - Sale <sup>25</sup>
0.050		99.83	08/20/20	104.57	4.74 W	0.00	171 of 243 - Sale <sup>25</sup>
0.055		110.36	08/20/20	118.94	8.58 W	0.00	172 of 243 - Sale <sup>25</sup>
0.082		162.43	08/20/20	168.60	6.17 W	0.00	173 of 243 - Sale <sup>25</sup>
0.106		210.94	08/20/20	228.78	17.84 W	0.00	174 of 243 - Sale <sup>25</sup>
0.137		271.82	08/20/20	283.79	11.97 W	0.00	175 of 243 - Sale <sup>25</sup>
0.156		309.90	08/20/20	324.85	14.95 W	0.00	176 of 243 - Sale <sup>25</sup>
0.241		480.50	08/20/20	517.87	37.37 W	0.00	177 of 243 - Sale <sup>25</sup>
0.001		1.51	08/20/20	1.66	0.15 W	0.00	178 of 243 - Sale <sup>25</sup>
0.002		3.21	08/20/20	3.78	0.57 W	0.00	179 of 243 - Sale <sup>25</sup>
0.003		6.05	08/20/20	6.56	0.51 W	0.00	180 of 243 - Sale <sup>25</sup>
0.003		6.18	08/20/20	6.33	0.15 W	0.00	181 of 243 - Sale <sup>25</sup>
0.004		7.13	08/20/20	7.34	0.21 W	0.00	182 of 243 - Sale <sup>25</sup>
0.004		7.47	08/20/20	7.58	0.11 W	0.00	183 of 243 - Sale <sup>25</sup>
0.006		12.20	08/20/20	12.60	0.40 W	0.00	184 of 243 - Sale <sup>25</sup>
0.009		18.25	08/20/20	21.31	3.06 W	0.00	185 of 243 - Sale <sup>25</sup>
0.010		19.42	08/20/20	21.05	1.63 W	0.00	186 of 243 - Sale <sup>25</sup>
0.013		25.65	08/20/20	26.15	0.50 W	0.00	187 of 243 - Sale <sup>25</sup>
0.013		26.74	08/20/20	29.74	3.00 W	0.00	188 of 243 - Sale <sup>25</sup>
0.015		30.32	08/20/20	33.70	3.38 W	0.00	189 of 243 - Sale <sup>25</sup>
0.017		34.43	08/20/20	37.24	2.81 W	0.00	190 of 243 - Sale <sup>25</sup>
0.032		62.90	08/20/20	65.28	2.38 W	0.00	191 of 243 - Sale <sup>25</sup>
0.034		68.64	08/20/20	77.23	8.59 W	0.00	192 of 243 - Sale <sup>25</sup>
0.035		68.74	08/20/20	81.00	12.26 W	0.00	193 of 243 - Sale <sup>25</sup>
0.037		72.83	08/20/20	85.82	12.99 W	0.00	194 of 243 - Sale <sup>25</sup>
0.049		96.84	08/20/20	100.62	3.78 W	0.00	195 of 243 - Sale <sup>25</sup>
0.056		111.88	08/20/20	120.99	9.11 W	0.00	196 of 243 - Sale <sup>25</sup>
0.057		112.79	08/20/20	123.50	10.71 W	0.00	197 of 243 - Sale <sup>25</sup>
0.057		113.76	08/20/20	132.76	19.00 W	0.00	198 of 243 - Sale <sup>25</sup>
0.058		115.37	08/20/20	124.72	9.35 W	0.00	199 of 243 - Sale <sup>25</sup>
0.067		132.78	08/20/20	145.06	12.28 W	0.00	200 of 243 - Sale <sup>25</sup>
0.086		170.68	08/20/20	190.05	19.37 W	0.00	201 of 243 - Sale <sup>25</sup>
0.096		190.18	08/20/20	213.19	23.01 W	0.00	202 of 243 - Sale <sup>25</sup>
0.001		1.05	08/20/20	1.32	0.27 W	0.00	203 of 243 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.001	1.28	08/20/20	1.52	0.24 W	0.00	204 of 243 - Sale <sup>25</sup>
	0.001	2.12	08/20/20	2.17	0.05 W	0.00	205 of 243 - Sale <sup>25</sup>
	0.002	3.90	08/20/20	4.89	0.99 W	0.00	206 of 243 - Sale <sup>25</sup>
	0.005	9.30	08/20/20	11.09	1.79 W	0.00	207 of 243 - Sale <sup>25</sup>
	0.006	12.69	08/20/20	15.32	2.63 W	0.00	208 of 243 - Sale <sup>25</sup>
	0.013	25.86	08/20/20	30.76	4.90 W	0.00	209 of 243 - Sale <sup>25</sup>
	0.022	44.17	08/20/20	46.99	2.82 W	0.00	210 of 243 - Sale <sup>25</sup>
	0.025	50.40	08/20/20	62.66	12.26 W	0.00	211 of 243 - Sale <sup>25</sup>
	0.058	115.36	08/20/20	122.76	7.40 W	0.00	212 of 243 - Sale <sup>25</sup>
	0.059	116.83	08/20/20	145.63	28.80 W	0.00	213 of 243 - Sale <sup>25</sup>
	0.060	119.82	08/20/20	151.02	31.20 W	0.00	214 of 243 - Sale <sup>25</sup>
	0.063	125.60	08/20/20	151.40	25.80 W	0.00	215 of 243 - Sale <sup>25</sup>
	0.072	144.18	08/20/20	180.50	36.32 W	0.00	216 of 243 - Sale <sup>25</sup>
	0.075	149.42	08/20/20	187.34	37.92 W	0.00	217 of 243 - Sale <sup>25</sup>
	0.083	165.34	08/20/20	195.47	30.13 W	0.00	218 of 243 - Sale <sup>25</sup>
	0.093	184.58	08/20/20	196.38	11.80 W	0.00	219 of 243 - Sale <sup>25</sup>
	0.117	233.05	08/20/20	291.66	58.61 W	0.00	220 of 243 - Sale <sup>25</sup>
	0.123	244.61	08/20/20	265.38	20.77 W	0.00	221 of 243 - Sale <sup>25</sup>
	0.152	301.90	08/20/20	364.20	62.30 W	0.00	222 of 243 - Sale <sup>25</sup>
	0.342	681.04	08/20/20	690.05	9.01 W	0.00	223 of 243 - Sale <sup>25</sup>
	0.021	41.84	08/20/20	52.60	10.76 W	0.00	224 of 243 - Sale <sup>25</sup>
	0.022	43.05	08/20/20	49.78	6.73 W	0.00	225 of 243 - Sale <sup>25</sup>
	0.023	45.44	08/20/20	56.72	11.28 W	0.00	226 of 243 - Sale <sup>25</sup>
	0.024	47.33	08/20/20	59.59	12.26 W	0.00	227 of 243 - Sale <sup>25</sup>
	0.142	283.46	08/20/20	347.83	64.37 W	0.00	228 of 243 - Sale <sup>25</sup>
	0.144	287.09	08/20/20	358.36	71.27 W	0.00	229 of 243 - Sale <sup>25</sup>
	0.187	371.42	08/20/20	462.33	90.91 W	0.00	230 of 243 - Sale <sup>25</sup>
	0.009	17.61	08/20/20	19.35	1.74 W	0.00	231 of 243 - Sale <sup>25</sup>
	0.017	34.42	08/20/20	39.28	4.86 W	0.00	232 of 243 - Sale <sup>25</sup>
	0.035	70.02	08/20/20	77.27	7.25 W	0.00	233 of 243 - Sale <sup>25</sup>
	0.041	81.58	08/20/20	90.03	8.45 W	0.00	234 of 243 - Sale <sup>25</sup>
	0.047	94.44	08/20/20	103.96	9.52 W	0.00	235 of 243 - Sale <sup>25</sup>
	0.133	265.21	08/20/20	291.77	26.56 W	0.00	236 of 243 - Sale <sup>25</sup>
	0.137	272.25	08/20/20	300.04	27.79 W	0.00	237 of 243 - Sale <sup>25</sup>

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Account 527125207

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.188	374.61	08/20/20	417.50	42.89 W	0.00	238 of 243 - Sale <sup>25</sup>
	0.004	7.28	08/20/20	7.30	0.02 W	0.00	239 of 243 - Sale <sup>25</sup>
	0.876	1,744.04	08/20/20	1,748.61	4.57 W	0.00	240 of 243 - Sale <sup>25</sup>
	0.996	1,982.69	08/20/20	1,993.34	10.65 W	0.00	241 of 243 - Sale <sup>25</sup>
	1.000	1,989.96	08/20/20	1,997.39	7.43 W	0.00	242 of 243 - Sale <sup>25</sup>
	1.120	2,228.61	08/20/20	2,233.61	5.00 W	0.00	243 of 243 - Sale <sup>25</sup>
08/20/20	20.000	39,801.73	Various	43,020.49	3,218.76 W	0.00	Total of 243 transactions
	155 transactions for 08/21/20. Total proceeds and cost reported to the IRS.						
	0.004	7.74	08/21/20	8.65	0.91 W	0.00	1 of 155 - Sale <sup>25</sup>
	0.034	71.84	08/21/20	79.67	7.83 W	0.00	2 of 155 - Sale <sup>25</sup>
	0.045	92.86	08/21/20	102.20	9.34 W	0.00	3 of 155 - Sale <sup>25</sup>
	0.076	158.20	08/21/20	173.95	15.75 W	0.00	4 of 155 - Sale <sup>25</sup>
	0.159	330.64	08/21/20	362.95	32.31 W	0.00	5 of 155 - Sale <sup>25</sup>
	0.026	54.93	08/21/20	57.61	2.68 W	0.00	6 of 155 - Sale <sup>25</sup>
	0.044	91.50	08/21/20	96.92	5.42 W	0.00	7 of 155 - Sale <sup>25</sup>
	0.062	129.38	08/21/20	141.30	11.92 W	0.00	8 of 155 - Sale <sup>25</sup>
	0.067	139.91	08/21/20	148.69	8.78 W	0.00	9 of 155 - Sale <sup>25</sup>
	0.074	153.76	08/21/20	162.81	9.05 W	0.00	10 of 155 - Sale <sup>25</sup>
	0.095	197.21	08/21/20	216.25	19.04 W	0.00	11 of 155 - Sale <sup>25</sup>
	0.002	3.71	08/21/20	3.88	0.17 W	0.00	12 of 155 - Sale <sup>25</sup>
	0.005	11.03	08/21/20	11.93	0.90 W	0.00	13 of 155 - Sale <sup>25</sup>
	0.006	13.47	08/21/20	14.60	1.13 W	0.00	14 of 155 - Sale <sup>25</sup>
	0.010	21.18	08/21/20	21.89	0.71 W	0.00	15 of 155 - Sale <sup>25</sup>
	0.010	21.79	08/21/20	24.12	2.33 W	0.00	16 of 155 - Sale <sup>25</sup>
	0.019	40.16	08/21/20	42.07	1.91 W	0.00	17 of 155 - Sale <sup>25</sup>
	0.040	83.36	08/21/20	89.66	6.30 W	0.00	18 of 155 - Sale <sup>25</sup>
	0.046	95.03	08/21/20	99.61	4.58 W	0.00	19 of 155 - Sale <sup>25</sup>
	0.046	96.36	08/21/20	106.29	9.93 W	0.00	20 of 155 - Sale <sup>25</sup>
	0.050	104.50	08/21/20	109.39	4.89 W	0.00	21 of 155 - Sale <sup>25</sup>
	0.055	115.51	08/21/20	124.26	8.75 W	0.00	22 of 155 - Sale <sup>25</sup>
	0.082	170.02	08/21/20	176.44	6.42 W	0.00	23 of 155 - Sale <sup>25</sup>
	0.106	220.80	08/21/20	238.96	18.16 W	0.00	24 of 155 - Sale <sup>25</sup>
	0.137	284.53	08/21/20	296.91	12.38 W	0.00	25 of 155 - Sale <sup>25</sup>
	0.156	324.38	08/21/20	339.80	15.42 W	0.00	26 of 155 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.241	502.95	08/21/20	541.06	38.11 W	0.00	27 of 155 - Sale <sup>25</sup>
	0.001	1.58	08/21/20	1.73	0.15 W	0.00	28 of 155 - Sale <sup>25</sup>
	0.002	3.36	08/21/20	3.94	0.58 W	0.00	29 of 155 - Sale <sup>25</sup>
	0.003	6.33	08/21/20	6.85	0.52 W	0.00	30 of 155 - Sale <sup>25</sup>
	0.003	6.47	08/21/20	6.63	0.16 W	0.00	31 of 155 - Sale <sup>25</sup>
	0.004	7.47	08/21/20	7.69	0.22 W	0.00	32 of 155 - Sale <sup>25</sup>
	0.004	7.82	08/21/20	7.95	0.13 W	0.00	33 of 155 - Sale <sup>25</sup>
	0.006	12.77	08/21/20	13.19	0.42 W	0.00	34 of 155 - Sale <sup>25</sup>
	0.009	19.10	08/21/20	22.19	3.09 W	0.00	35 of 155 - Sale <sup>25</sup>
	0.010	20.33	08/21/20	21.99	1.66 W	0.00	36 of 155 - Sale <sup>25</sup>
	0.013	26.85	08/21/20	27.39	0.54 W	0.00	37 of 155 - Sale <sup>25</sup>
	0.013	27.99	08/21/20	31.03	3.04 W	0.00	38 of 155 - Sale <sup>25</sup>
	0.015	31.73	08/21/20	35.16	3.43 W	0.00	39 of 155 - Sale <sup>25</sup>
	0.017	36.04	08/21/20	38.90	2.86 W	0.00	40 of 155 - Sale <sup>25</sup>
	0.032	65.84	08/21/20	68.32	2.48 W	0.00	41 of 155 - Sale <sup>25</sup>
	0.034	71.84	08/21/20	80.54	8.70 W	0.00	42 of 155 - Sale <sup>25</sup>
	0.035	71.95	08/21/20	84.32	12.37 W	0.00	43 of 155 - Sale <sup>25</sup>
	0.037	76.24	08/21/20	89.34	13.10 W	0.00	44 of 155 - Sale <sup>25</sup>
	0.049	101.37	08/21/20	105.30	3.93 W	0.00	45 of 155 - Sale <sup>25</sup>
	0.056	117.11	08/21/20	126.39	9.28 W	0.00	46 of 155 - Sale <sup>25</sup>
	0.057	118.06	08/21/20	128.95	10.89 W	0.00	47 of 155 - Sale <sup>25</sup>
	0.057	119.07	08/21/20	138.25	19.18 W	0.00	48 of 155 - Sale <sup>25</sup>
	0.058	120.76	08/21/20	130.29	9.53 W	0.00	49 of 155 - Sale <sup>25</sup>
	0.067	138.99	08/21/20	151.47	12.48 W	0.00	50 of 155 - Sale <sup>25</sup>
	0.086	178.65	08/21/20	198.28	19.63 W	0.00	51 of 155 - Sale <sup>25</sup>
	0.096	199.07	08/21/20	222.37	23.30 W	0.00	52 of 155 - Sale <sup>25</sup>
	0.001	1.10	08/21/20	1.37	0.27 W	0.00	53 of 155 - Sale <sup>25</sup>
	0.001	1.34	08/21/20	1.58	0.24 W	0.00	54 of 155 - Sale <sup>25</sup>
	0.001	2.22	08/21/20	2.27	0.05 W	0.00	55 of 155 - Sale <sup>25</sup>
	0.002	4.08	08/21/20	5.08	1.00 W	0.00	56 of 155 - Sale <sup>25</sup>
	0.005	9.74	08/21/20	11.54	1.80 W	0.00	57 of 155 - Sale <sup>25</sup>
	0.006	13.29	08/21/20	15.94	2.65 W	0.00	58 of 155 - Sale <sup>25</sup>
	0.013	27.07	08/21/20	32.01	4.94 W	0.00	59 of 155 - Sale <sup>25</sup>
	0.022	46.23	08/21/20	49.12	2.89 W	0.00	60 of 155 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.025		52.76	08/21/20	65.10	12.34 W	0.00	61 of 155 - Sale <sup>25</sup>
0.058		120.75	08/21/20	128.33	7.58 W	0.00	62 of 155 - Sale <sup>25</sup>
0.059		122.29	08/21/20	151.27	28.98 W	0.00	63 of 155 - Sale <sup>25</sup>
0.060		125.42	08/21/20	156.80	31.38 W	0.00	64 of 155 - Sale <sup>25</sup>
0.063		131.47	08/21/20	157.46	25.99 W	0.00	65 of 155 - Sale <sup>25</sup>
0.072		150.92	08/21/20	187.46	36.54 W	0.00	66 of 155 - Sale <sup>25</sup>
0.075		156.40	08/21/20	194.55	38.15 W	0.00	67 of 155 - Sale <sup>25</sup>
0.083		173.07	08/21/20	203.45	30.38 W	0.00	68 of 155 - Sale <sup>25</sup>
0.093		193.20	08/21/20	205.28	12.08 W	0.00	69 of 155 - Sale <sup>25</sup>
0.117		243.94	08/21/20	302.91	58.97 W	0.00	70 of 155 - Sale <sup>25</sup>
0.123		256.04	08/21/20	277.19	21.15 W	0.00	71 of 155 - Sale <sup>25</sup>
0.152		316.01	08/21/20	378.77	62.76 W	0.00	72 of 155 - Sale <sup>25</sup>
0.342		712.86	08/21/20	722.92	10.06 W	0.00	73 of 155 - Sale <sup>25</sup>
0.021		43.80	08/21/20	54.62	10.82 W	0.00	74 of 155 - Sale <sup>25</sup>
0.022		45.06	08/21/20	51.86	6.80 W	0.00	75 of 155 - Sale <sup>25</sup>
0.023		47.56	08/21/20	58.91	11.35 W	0.00	76 of 155 - Sale <sup>25</sup>
0.024		49.54	08/21/20	61.87	12.33 W	0.00	77 of 155 - Sale <sup>25</sup>
0.142		296.70	08/21/20	361.51	64.81 W	0.00	78 of 155 - Sale <sup>25</sup>
0.144		300.51	08/21/20	372.22	71.71 W	0.00	79 of 155 - Sale <sup>25</sup>
0.187		388.77	08/21/20	480.25	91.48 W	0.00	80 of 155 - Sale <sup>25</sup>
0.001		1.18	08/21/20	1.27	0.09 W	0.00	81 of 155 - Sale <sup>25</sup>
0.001		1.28	08/21/20	1.38	0.10 W	0.00	82 of 155 - Sale <sup>25</sup>
0.001		1.34	08/21/20	1.54	0.20 W	0.00	83 of 155 - Sale <sup>25</sup>
0.001		2.50	08/21/20	2.77	0.27 W	0.00	84 of 155 - Sale <sup>25</sup>
0.001		2.57	08/21/20	2.87	0.30 W	0.00	85 of 155 - Sale <sup>25</sup>
0.003		6.84	08/21/20	7.25	0.41 W	0.00	86 of 155 - Sale <sup>25</sup>
0.004		7.75	08/21/20	8.94	1.19 W	0.00	87 of 155 - Sale <sup>25</sup>
0.005		10.11	08/21/20	10.35	0.24 W	0.00	88 of 155 - Sale <sup>25</sup>
0.006		12.39	08/21/20	13.80	1.41 W	0.00	89 of 155 - Sale <sup>25</sup>
0.006		13.24	08/21/20	14.73	1.49 W	0.00	90 of 155 - Sale <sup>25</sup>
0.007		15.45	08/21/20	15.79	0.34 W	0.00	91 of 155 - Sale <sup>25</sup>
0.008		16.32	08/21/20	17.98	1.66 W	0.00	92 of 155 - Sale <sup>25</sup>
0.009		17.95	08/21/20	19.89	1.94 W	0.00	93 of 155 - Sale <sup>25</sup>
0.009		18.43	08/21/20	20.20	1.77 W	0.00	94 of 155 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.011		22.85	08/21/20	25.06	2.21 W	0.00	95 of 155 - Sale <sup>25</sup>
0.013		27.46	08/21/20	30.38	2.92 W	0.00	96 of 155 - Sale <sup>25</sup>
0.015		31.33	08/21/20	32.26	0.93 W	0.00	97 of 155 - Sale <sup>25</sup>
0.017		34.68	08/21/20	37.07	2.39 W	0.00	98 of 155 - Sale <sup>25</sup>
0.017		36.03	08/21/20	40.94	4.91 W	0.00	99 of 155 - Sale <sup>25</sup>
0.026		53.74	08/21/20	57.08	3.34 W	0.00	100 of 155 - Sale <sup>25</sup>
0.026		54.10	08/21/20	57.68	3.58 W	0.00	101 of 155 - Sale <sup>25</sup>
0.027		55.80	08/21/20	55.95	0.15 W	0.00	102 of 155 - Sale <sup>25</sup>
0.035		73.30	08/21/20	80.65	7.35 W	0.00	103 of 155 - Sale <sup>25</sup>
0.035		73.86	08/21/20	84.61	10.75 W	0.00	104 of 155 - Sale <sup>25</sup>
0.037		77.32	08/21/20	84.88	7.56 W	0.00	105 of 155 - Sale <sup>25</sup>
0.040		82.73	08/21/20	87.73	5.00 W	0.00	106 of 155 - Sale <sup>25</sup>
0.041		85.39	08/21/20	93.96	8.57 W	0.00	107 of 155 - Sale <sup>25</sup>
0.041		86.36	08/21/20	91.61	5.25 W	0.00	108 of 155 - Sale <sup>25</sup>
0.044		91.26	08/21/20	103.78	12.52 W	0.00	109 of 155 - Sale <sup>25</sup>
0.044		91.44	08/21/20	100.31	8.87 W	0.00	110 of 155 - Sale <sup>25</sup>
0.047		98.85	08/21/20	108.52	9.67 W	0.00	111 of 155 - Sale <sup>25</sup>
0.049		102.44	08/21/20	113.29	10.85 W	0.00	112 of 155 - Sale <sup>25</sup>
0.057		118.59	08/21/20	127.61	9.02 W	0.00	113 of 155 - Sale <sup>25</sup>
0.057		118.70	08/21/20	129.87	11.17 W	0.00	114 of 155 - Sale <sup>25</sup>
0.062		129.07	08/21/20	141.48	12.41 W	0.00	115 of 155 - Sale <sup>25</sup>
0.066		136.65	08/21/20	137.57	0.92 W	0.00	116 of 155 - Sale <sup>25</sup>
0.068		142.51	08/21/20	156.75	14.24 W	0.00	117 of 155 - Sale <sup>25</sup>
0.072		150.87	08/21/20	175.20	24.33 W	0.00	118 of 155 - Sale <sup>25</sup>
0.075		156.93	08/21/20	172.29	15.36 W	0.00	119 of 155 - Sale <sup>25</sup>
0.077		159.91	08/21/20	178.90	18.99 W	0.00	120 of 155 - Sale <sup>25</sup>
0.078		163.43	08/21/20	184.29	20.86 W	0.00	121 of 155 - Sale <sup>25</sup>
0.079		163.76	08/21/20	176.67	12.91 W	0.00	122 of 155 - Sale <sup>25</sup>
0.080		166.88	08/21/20	180.01	13.13 W	0.00	123 of 155 - Sale <sup>25</sup>
0.082		171.64	08/21/20	199.39	27.75 W	0.00	124 of 155 - Sale <sup>25</sup>
0.084		174.70	08/21/20	194.90	20.20 W	0.00	125 of 155 - Sale <sup>25</sup>
0.088		183.99	08/21/20	201.96	17.97 W	0.00	126 of 155 - Sale <sup>25</sup>
0.094		194.75	08/21/20	212.47	17.72 W	0.00	127 of 155 - Sale <sup>25</sup>
0.105		218.33	08/21/20	235.02	16.69 W	0.00	128 of 155 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.117	243.79	08/21/20	269.56	25.77 W	0.00	129 of 155 - Sale <sup>25</sup>
	0.133	277.60	08/21/20	304.57	26.97 W	0.00	130 of 155 - Sale <sup>25</sup>
	0.137	284.98	08/21/20	313.18	28.20 W	0.00	131 of 155 - Sale <sup>25</sup>
	0.137	286.14	08/21/20	316.50	30.36 W	0.00	132 of 155 - Sale <sup>25</sup>
	0.148	308.80	08/21/20	331.86	23.06 W	0.00	133 of 155 - Sale <sup>25</sup>
	0.156	325.87	08/21/20	346.31	20.44 W	0.00	134 of 155 - Sale <sup>25</sup>
	0.188	392.12	08/21/20	435.58	43.46 W	0.00	135 of 155 - Sale <sup>25</sup>
	0.274	570.87	08/21/20	626.38	55.51 W	0.00	136 of 155 - Sale <sup>25</sup>
	0.493	1,026.61	08/21/20	1,105.30	78.69 W	0.00	137 of 155 - Sale <sup>25</sup>
	0.514	1,070.92	08/21/20	1,152.76	81.84 W	0.00	138 of 155 - Sale <sup>25</sup>
	0.005	10.48	08/21/20	11.14	0.66 W	0.00	139 of 155 - Sale <sup>25</sup>
	0.012	25.62	08/21/20	28.26	2.64 W	0.00	140 of 155 - Sale <sup>25</sup>
	0.016	33.50	08/21/20	36.54	3.04 W	0.00	141 of 155 - Sale <sup>25</sup>
	0.018	36.74	08/21/20	39.48	2.74 W	0.00	142 of 155 - Sale <sup>25</sup>
	0.045	94.59	08/21/20	107.95	13.36 W	0.00	143 of 155 - Sale <sup>25</sup>
	0.054	112.07	08/21/20	121.51	9.44 W	0.00	144 of 155 - Sale <sup>25</sup>
	0.054	113.28	08/21/20	120.75	7.47 W	0.00	145 of 155 - Sale <sup>25</sup>
	0.088	182.44	08/21/20	199.06	16.62 W	0.00	146 of 155 - Sale <sup>25</sup>
	0.166	345.11	08/21/20	374.46	29.35 W	0.00	147 of 155 - Sale <sup>25</sup>
	0.181	376.56	08/21/20	429.85	53.29 W	0.00	148 of 155 - Sale <sup>25</sup>
	0.004	7.62	08/21/20	7.65	0.03 W	0.00	149 of 155 - Sale <sup>25</sup>
	0.819	1,706.39	08/21/20	1,712.56	6.17 W	0.00	150 of 155 - Sale <sup>25</sup>
	0.876	1,825.54	08/21/20	1,832.78	7.24 W	0.00	151 of 155 - Sale <sup>25</sup>
	0.996	2,075.33	08/21/20	2,089.02	13.69 W	0.00	152 of 155 - Sale <sup>25</sup>
	1.000	2,082.95	08/21/20	2,093.43	10.48 W	0.00	153 of 155 - Sale <sup>25</sup>
	1.120	2,332.75	08/21/20	2,341.16	8.41 W	0.00	154 of 155 - Sale <sup>25</sup>
	1.000	2,082.95	08/21/20	2,085.99	3.04 W	0.00	155 of 155 - Sale <sup>25</sup>
08/21/20	15.000	31,244.30	Various	33,294.46	2,050.16 W	0.00	Total of 155 transactions
		2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.					
	0.027	53.31	08/24/20	53.19	...	0.12	1 of 2 - Sale <sup>25</sup>
	0.816	1,622.94	08/24/20	1,620.79	...	2.15	2 of 2 - Sale <sup>25</sup>
08/24/20	0.842	1,676.25	Various	1,673.98	...	2.27	Total of 2 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
376 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
0.004		7.40	08/24/20	8.34	0.94 W	0.00	1 of 376 - Sale <sup>25</sup>
0.034		68.67	08/24/20	76.81	8.14 W	0.00	2 of 376 - Sale <sup>25</sup>
0.045		88.76	08/24/20	98.50	9.74 W	0.00	3 of 376 - Sale <sup>25</sup>
0.076		151.21	08/24/20	167.65	16.44 W	0.00	4 of 376 - Sale <sup>25</sup>
0.159		316.05	08/24/20	349.78	33.73 W	0.00	5 of 376 - Sale <sup>25</sup>
0.026		52.50	08/24/20	55.42	2.92 W	0.00	6 of 376 - Sale <sup>25</sup>
0.044		87.46	08/24/20	93.27	5.81 W	0.00	7 of 376 - Sale <sup>25</sup>
0.062		123.67	08/24/20	136.15	12.48 W	0.00	8 of 376 - Sale <sup>25</sup>
0.067		133.73	08/24/20	143.11	9.38 W	0.00	9 of 376 - Sale <sup>25</sup>
0.074		146.97	08/24/20	156.68	9.71 W	0.00	10 of 376 - Sale <sup>25</sup>
0.095		188.51	08/24/20	208.40	19.89 W	0.00	11 of 376 - Sale <sup>25</sup>
0.002		3.54	08/24/20	3.73	0.19 W	0.00	12 of 376 - Sale <sup>25</sup>
0.005		10.54	08/24/20	11.49	0.95 W	0.00	13 of 376 - Sale <sup>25</sup>
0.006		12.88	08/24/20	14.07	1.19 W	0.00	14 of 376 - Sale <sup>25</sup>
0.010		20.24	08/24/20	21.05	0.81 W	0.00	15 of 376 - Sale <sup>25</sup>
0.010		20.82	08/24/20	23.25	2.43 W	0.00	16 of 376 - Sale <sup>25</sup>
0.019		38.39	08/24/20	40.47	2.08 W	0.00	17 of 376 - Sale <sup>25</sup>
0.040		79.68	08/24/20	86.34	6.66 W	0.00	18 of 376 - Sale <sup>25</sup>
0.046		90.84	08/24/20	95.83	4.99 W	0.00	19 of 376 - Sale <sup>25</sup>
0.046		92.11	08/24/20	102.46	10.35 W	0.00	20 of 376 - Sale <sup>25</sup>
0.050		99.89	08/24/20	105.23	5.34 W	0.00	21 of 376 - Sale <sup>25</sup>
0.055		110.42	08/24/20	119.66	9.24 W	0.00	22 of 376 - Sale <sup>25</sup>
0.082		162.52	08/24/20	169.67	7.15 W	0.00	23 of 376 - Sale <sup>25</sup>
0.106		211.05	08/24/20	230.17	19.12 W	0.00	24 of 376 - Sale <sup>25</sup>
0.137		271.97	08/24/20	285.57	13.60 W	0.00	25 of 376 - Sale <sup>25</sup>
0.156		310.06	08/24/20	326.88	16.82 W	0.00	26 of 376 - Sale <sup>25</sup>
0.241		480.76	08/24/20	521.03	40.27 W	0.00	27 of 376 - Sale <sup>25</sup>
0.001		1.51	08/24/20	1.67	0.16 W	0.00	28 of 376 - Sale <sup>25</sup>
0.002		3.22	08/24/20	3.81	0.59 W	0.00	29 of 376 - Sale <sup>25</sup>
0.003		6.05	08/24/20	6.60	0.55 W	0.00	30 of 376 - Sale <sup>25</sup>
0.003		6.18	08/24/20	6.37	0.19 W	0.00	31 of 376 - Sale <sup>25</sup>
0.004		7.14	08/24/20	7.39	0.25 W	0.00	32 of 376 - Sale <sup>25</sup>
0.004		7.48	08/24/20	7.64	0.16 W	0.00	33 of 376 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.006	12.21	08/24/20	12.68	0.47 W	0.00	34 of 376 - Sale <sup>25</sup>
	0.009	18.26	08/24/20	21.43	3.17 W	0.00	35 of 376 - Sale <sup>25</sup>
	0.010	19.43	08/24/20	21.18	1.75 W	0.00	36 of 376 - Sale <sup>25</sup>
	0.013	25.67	08/24/20	26.32	0.65 W	0.00	37 of 376 - Sale <sup>25</sup>
	0.013	26.76	08/24/20	29.92	3.16 W	0.00	38 of 376 - Sale <sup>25</sup>
	0.015	30.33	08/24/20	33.90	3.57 W	0.00	39 of 376 - Sale <sup>25</sup>
	0.017	34.45	08/24/20	37.47	3.02 W	0.00	40 of 376 - Sale <sup>25</sup>
	0.032	62.93	08/24/20	65.70	2.77 W	0.00	41 of 376 - Sale <sup>25</sup>
	0.034	68.67	08/24/20	77.68	9.01 W	0.00	42 of 376 - Sale <sup>25</sup>
	0.035	68.78	08/24/20	81.46	12.68 W	0.00	43 of 376 - Sale <sup>25</sup>
	0.037	72.87	08/24/20	86.30	13.43 W	0.00	44 of 376 - Sale <sup>25</sup>
	0.049	96.89	08/24/20	101.26	4.37 W	0.00	45 of 376 - Sale <sup>25</sup>
	0.056	111.94	08/24/20	121.72	9.78 W	0.00	46 of 376 - Sale <sup>25</sup>
	0.057	112.85	08/24/20	124.25	11.40 W	0.00	47 of 376 - Sale <sup>25</sup>
	0.057	113.82	08/24/20	133.51	19.69 W	0.00	48 of 376 - Sale <sup>25</sup>
	0.058	115.43	08/24/20	125.48	10.05 W	0.00	49 of 376 - Sale <sup>25</sup>
	0.067	132.85	08/24/20	145.93	13.08 W	0.00	50 of 376 - Sale <sup>25</sup>
	0.086	170.77	08/24/20	191.17	20.40 W	0.00	51 of 376 - Sale <sup>25</sup>
	0.096	190.29	08/24/20	214.44	24.15 W	0.00	52 of 376 - Sale <sup>25</sup>
	0.001	1.05	08/24/20	1.32	0.27 W	0.00	53 of 376 - Sale <sup>25</sup>
	0.001	1.28	08/24/20	1.53	0.25 W	0.00	54 of 376 - Sale <sup>25</sup>
	0.001	2.12	08/24/20	2.18	0.06 W	0.00	55 of 376 - Sale <sup>25</sup>
	0.002	3.90	08/24/20	4.92	1.02 W	0.00	56 of 376 - Sale <sup>25</sup>
	0.005	9.31	08/24/20	11.15	1.84 W	0.00	57 of 376 - Sale <sup>25</sup>
	0.006	12.70	08/24/20	15.41	2.71 W	0.00	58 of 376 - Sale <sup>25</sup>
	0.013	25.87	08/24/20	30.93	5.06 W	0.00	59 of 376 - Sale <sup>25</sup>
	0.022	44.19	08/24/20	47.28	3.09 W	0.00	60 of 376 - Sale <sup>25</sup>
	0.025	50.43	08/24/20	63.00	12.57 W	0.00	61 of 376 - Sale <sup>25</sup>
	0.058	115.42	08/24/20	123.52	8.10 W	0.00	62 of 376 - Sale <sup>25</sup>
	0.059	116.90	08/24/20	146.40	29.50 W	0.00	63 of 376 - Sale <sup>25</sup>
	0.060	119.88	08/24/20	151.80	31.92 W	0.00	64 of 376 - Sale <sup>25</sup>
	0.063	125.66	08/24/20	152.22	26.56 W	0.00	65 of 376 - Sale <sup>25</sup>
	0.072	144.26	08/24/20	181.45	37.19 W	0.00	66 of 376 - Sale <sup>25</sup>
	0.075	149.50	08/24/20	188.32	38.82 W	0.00	67 of 376 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.083		165.43	08/24/20	196.56	31.13 W	0.00	68 of 376 - Sale <sup>25</sup>
0.093		184.67	08/24/20	197.59	12.92 W	0.00	69 of 376 - Sale <sup>25</sup>
0.117		233.18	08/24/20	293.20	60.02 W	0.00	70 of 376 - Sale <sup>25</sup>
0.123		244.74	08/24/20	267.00	22.26 W	0.00	71 of 376 - Sale <sup>25</sup>
0.152		302.06	08/24/20	366.18	64.12 W	0.00	72 of 376 - Sale <sup>25</sup>
0.342		681.40	08/24/20	694.53	13.13 W	0.00	73 of 376 - Sale <sup>25</sup>
0.021		41.87	08/24/20	52.87	11.00 W	0.00	74 of 376 - Sale <sup>25</sup>
0.022		43.07	08/24/20	50.07	7.00 W	0.00	75 of 376 - Sale <sup>25</sup>
0.023		45.46	08/24/20	57.02	11.56 W	0.00	76 of 376 - Sale <sup>25</sup>
0.024		47.35	08/24/20	59.89	12.54 W	0.00	77 of 376 - Sale <sup>25</sup>
0.142		283.61	08/24/20	349.70	66.09 W	0.00	78 of 376 - Sale <sup>25</sup>
0.144		287.25	08/24/20	360.25	73.00 W	0.00	79 of 376 - Sale <sup>25</sup>
0.187		371.62	08/24/20	464.77	93.15 W	0.00	80 of 376 - Sale <sup>25</sup>
0.001		1.13	08/24/20	1.23	0.10 W	0.00	81 of 376 - Sale <sup>25</sup>
0.001		1.23	08/24/20	1.33	0.10 W	0.00	82 of 376 - Sale <sup>25</sup>
0.001		1.28	08/24/20	1.49	0.21 W	0.00	83 of 376 - Sale <sup>25</sup>
0.001		2.46	08/24/20	2.77	0.31 W	0.00	84 of 376 - Sale <sup>25</sup>
0.006		11.49	08/24/20	12.71	1.22 W	0.00	85 of 376 - Sale <sup>25</sup>
0.006		12.65	08/24/20	14.20	1.55 W	0.00	86 of 376 - Sale <sup>25</sup>
0.008		15.60	08/24/20	17.33	1.73 W	0.00	87 of 376 - Sale <sup>25</sup>
0.009		17.16	08/24/20	19.18	2.02 W	0.00	88 of 376 - Sale <sup>25</sup>
0.037		73.90	08/24/20	81.80	7.90 W	0.00	89 of 376 - Sale <sup>25</sup>
0.044		87.24	08/24/20	100.14	12.90 W	0.00	90 of 376 - Sale <sup>25</sup>
0.072		144.21	08/24/20	169.19	24.98 W	0.00	91 of 376 - Sale <sup>25</sup>
0.077		152.85	08/24/20	172.53	19.68 W	0.00	92 of 376 - Sale <sup>25</sup>
0.078		156.22	08/24/20	177.78	21.56 W	0.00	93 of 376 - Sale <sup>25</sup>
0.082		164.07	08/24/20	192.55	28.48 W	0.00	94 of 376 - Sale <sup>25</sup>
0.088		175.87	08/24/20	194.64	18.77 W	0.00	95 of 376 - Sale <sup>25</sup>
0.094		186.16	08/24/20	204.72	18.56 W	0.00	96 of 376 - Sale <sup>25</sup>
0.001		2.39	08/24/20	2.64	0.25 W	0.00	97 of 376 - Sale <sup>25</sup>
0.003		6.54	08/24/20	6.91	0.37 W	0.00	98 of 376 - Sale <sup>25</sup>
0.004		7.41	08/24/20	8.56	1.15 W	0.00	99 of 376 - Sale <sup>25</sup>
0.005		9.66	08/24/20	9.85	0.19 W	0.00	100 of 376 - Sale <sup>25</sup>
0.006		11.84	08/24/20	13.19	1.35 W	0.00	101 of 376 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.007		14.76	08/24/20	15.02	0.26 W	0.00	102 of 376 - Sale <sup>25</sup>
0.009		17.61	08/24/20	19.29	1.68 W	0.00	103 of 376 - Sale <sup>25</sup>
0.011		21.83	08/24/20	23.92	2.09 W	0.00	104 of 376 - Sale <sup>25</sup>
0.013		26.23	08/24/20	29.02	2.79 W	0.00	105 of 376 - Sale <sup>25</sup>
0.015		29.93	08/24/20	30.71	0.78 W	0.00	106 of 376 - Sale <sup>25</sup>
0.017		33.13	08/24/20	35.35	2.22 W	0.00	107 of 376 - Sale <sup>25</sup>
0.017		34.42	08/24/20	39.15	4.73 W	0.00	108 of 376 - Sale <sup>25</sup>
0.026		51.34	08/24/20	54.42	3.08 W	0.00	109 of 376 - Sale <sup>25</sup>
0.026		51.68	08/24/20	55.00	3.32 W	0.00	110 of 376 - Sale <sup>25</sup>
0.035		70.02	08/24/20	77.02	7.00 W	0.00	111 of 376 - Sale <sup>25</sup>
0.035		70.56	08/24/20	80.95	10.39 W	0.00	112 of 376 - Sale <sup>25</sup>
0.038		75.87	08/24/20	83.19	7.32 W	0.00	113 of 376 - Sale <sup>25</sup>
0.040		79.04	08/24/20	83.63	4.59 W	0.00	114 of 376 - Sale <sup>25</sup>
0.041		81.58	08/24/20	89.73	8.15 W	0.00	115 of 376 - Sale <sup>25</sup>
0.041		82.50	08/24/20	87.33	4.83 W	0.00	116 of 376 - Sale <sup>25</sup>
0.047		94.44	08/24/20	103.63	9.19 W	0.00	117 of 376 - Sale <sup>25</sup>
0.049		97.87	08/24/20	108.22	10.35 W	0.00	118 of 376 - Sale <sup>25</sup>
0.057		113.29	08/24/20	121.73	8.44 W	0.00	119 of 376 - Sale <sup>25</sup>
0.057		113.40	08/24/20	123.99	10.59 W	0.00	120 of 376 - Sale <sup>25</sup>
0.062		123.31	08/24/20	135.09	11.78 W	0.00	121 of 376 - Sale <sup>25</sup>
0.066		130.55	08/24/20	130.81	0.26 W	0.00	122 of 376 - Sale <sup>25</sup>
0.068		136.15	08/24/20	149.70	13.55 W	0.00	123 of 376 - Sale <sup>25</sup>
0.075		149.92	08/24/20	164.52	14.60 W	0.00	124 of 376 - Sale <sup>25</sup>
0.079		156.45	08/24/20	168.56	12.11 W	0.00	125 of 376 - Sale <sup>25</sup>
0.080		159.43	08/24/20	171.75	12.32 W	0.00	126 of 376 - Sale <sup>25</sup>
0.084		166.90	08/24/20	186.24	19.34 W	0.00	127 of 376 - Sale <sup>25</sup>
0.105		208.58	08/24/20	224.20	15.62 W	0.00	128 of 376 - Sale <sup>25</sup>
0.117		232.91	08/24/20	257.48	24.57 W	0.00	129 of 376 - Sale <sup>25</sup>
0.133		265.21	08/24/20	290.82	25.61 W	0.00	130 of 376 - Sale <sup>25</sup>
0.137		272.26	08/24/20	299.06	26.80 W	0.00	131 of 376 - Sale <sup>25</sup>
0.137		273.36	08/24/20	302.32	28.96 W	0.00	132 of 376 - Sale <sup>25</sup>
0.148		295.01	08/24/20	316.56	21.55 W	0.00	133 of 376 - Sale <sup>25</sup>
0.156		311.32	08/24/20	330.17	18.85 W	0.00	134 of 376 - Sale <sup>25</sup>
0.188		374.61	08/24/20	416.16	41.55 W	0.00	135 of 376 - Sale <sup>25</sup>

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(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.274	545.38	08/24/20	598.10	52.72 W	0.00	136 of 376 - Sale <sup>25</sup>
	0.493	980.78	08/24/20	1,054.46	73.68 W	0.00	137 of 376 - Sale <sup>25</sup>
	0.514	1,023.11	08/24/20	1,099.72	76.61 W	0.00	138 of 376 - Sale <sup>25</sup>
	0.005	10.01	08/24/20	10.62	0.61 W	0.00	139 of 376 - Sale <sup>25</sup>
	0.012	24.47	08/24/20	26.99	2.52 W	0.00	140 of 376 - Sale <sup>25</sup>
	0.016	32.01	08/24/20	34.88	2.87 W	0.00	141 of 376 - Sale <sup>25</sup>
	0.018	35.10	08/24/20	37.66	2.56 W	0.00	142 of 376 - Sale <sup>25</sup>
	0.045	90.37	08/24/20	103.26	12.89 W	0.00	143 of 376 - Sale <sup>25</sup>
	0.054	107.07	08/24/20	115.96	8.89 W	0.00	144 of 376 - Sale <sup>25</sup>
	0.054	108.22	08/24/20	115.14	6.92 W	0.00	145 of 376 - Sale <sup>25</sup>
	0.088	174.30	08/24/20	190.02	15.72 W	0.00	146 of 376 - Sale <sup>25</sup>
	0.166	329.71	08/24/20	357.37	27.66 W	0.00	147 of 376 - Sale <sup>25</sup>
	0.181	359.75	08/24/20	411.20	51.45 W	0.00	148 of 376 - Sale <sup>25</sup>
	0.004	7.39	08/24/20	8.33	0.94 W	0.00	149 of 376 - Sale <sup>25</sup>
	0.034	68.64	08/24/20	76.78	8.14 W	0.00	150 of 376 - Sale <sup>25</sup>
	0.045	88.71	08/24/20	98.46	9.75 W	0.00	151 of 376 - Sale <sup>25</sup>
	0.076	151.13	08/24/20	167.58	16.45 W	0.00	152 of 376 - Sale <sup>25</sup>
	0.159	315.88	08/24/20	349.61	33.73 W	0.00	153 of 376 - Sale <sup>25</sup>
	0.026	52.47	08/24/20	55.39	2.92 W	0.00	154 of 376 - Sale <sup>25</sup>
	0.044	87.41	08/24/20	93.22	5.81 W	0.00	155 of 376 - Sale <sup>25</sup>
	0.062	123.60	08/24/20	136.09	12.49 W	0.00	156 of 376 - Sale <sup>25</sup>
	0.067	133.66	08/24/20	143.04	9.38 W	0.00	157 of 376 - Sale <sup>25</sup>
	0.074	146.89	08/24/20	156.60	9.71 W	0.00	158 of 376 - Sale <sup>25</sup>
	0.095	188.41	08/24/20	208.30	19.89 W	0.00	159 of 376 - Sale <sup>25</sup>
	0.002	3.54	08/24/20	3.73	0.19 W	0.00	160 of 376 - Sale <sup>25</sup>
	0.005	10.53	08/24/20	11.48	0.95 W	0.00	161 of 376 - Sale <sup>25</sup>
	0.006	12.87	08/24/20	14.06	1.19 W	0.00	162 of 376 - Sale <sup>25</sup>
	0.010	20.23	08/24/20	21.04	0.81 W	0.00	163 of 376 - Sale <sup>25</sup>
	0.010	20.81	08/24/20	23.24	2.43 W	0.00	164 of 376 - Sale <sup>25</sup>
	0.019	38.37	08/24/20	40.45	2.08 W	0.00	165 of 376 - Sale <sup>25</sup>
	0.040	79.64	08/24/20	86.30	6.66 W	0.00	166 of 376 - Sale <sup>25</sup>
	0.046	90.79	08/24/20	95.78	4.99 W	0.00	167 of 376 - Sale <sup>25</sup>
	0.046	92.06	08/24/20	102.41	10.35 W	0.00	168 of 376 - Sale <sup>25</sup>
	0.050	99.83	08/24/20	105.17	5.34 W	0.00	169 of 376 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.055	110.36	08/24/20	119.60	9.24 W	0.00	170 of 376 - Sale <sup>25</sup>
	0.082	162.43	08/24/20	169.58	7.15 W	0.00	171 of 376 - Sale <sup>25</sup>
	0.106	210.94	08/24/20	230.07	19.13 W	0.00	172 of 376 - Sale <sup>25</sup>
	0.137	271.82	08/24/20	285.43	13.61 W	0.00	173 of 376 - Sale <sup>25</sup>
	0.156	309.90	08/24/20	326.73	16.83 W	0.00	174 of 376 - Sale <sup>25</sup>
	0.241	480.50	08/24/20	520.78	40.28 W	0.00	175 of 376 - Sale <sup>25</sup>
	0.001	1.51	08/24/20	1.67	0.16 W	0.00	176 of 376 - Sale <sup>25</sup>
	0.002	3.21	08/24/20	3.80	0.59 W	0.00	177 of 376 - Sale <sup>25</sup>
	0.003	6.05	08/24/20	6.60	0.55 W	0.00	178 of 376 - Sale <sup>25</sup>
	0.003	6.18	08/24/20	6.37	0.19 W	0.00	179 of 376 - Sale <sup>25</sup>
	0.004	7.13	08/24/20	7.38	0.25 W	0.00	180 of 376 - Sale <sup>25</sup>
	0.004	7.47	08/24/20	7.63	0.16 W	0.00	181 of 376 - Sale <sup>25</sup>
	0.006	12.20	08/24/20	12.67	0.47 W	0.00	182 of 376 - Sale <sup>25</sup>
	0.009	18.25	08/24/20	21.42	3.17 W	0.00	183 of 376 - Sale <sup>25</sup>
	0.010	19.42	08/24/20	21.17	1.75 W	0.00	184 of 376 - Sale <sup>25</sup>
	0.013	25.65	08/24/20	26.31	0.66 W	0.00	185 of 376 - Sale <sup>25</sup>
	0.013	26.74	08/24/20	29.90	3.16 W	0.00	186 of 376 - Sale <sup>25</sup>
	0.015	30.32	08/24/20	33.89	3.57 W	0.00	187 of 376 - Sale <sup>25</sup>
	0.017	34.43	08/24/20	37.45	3.02 W	0.00	188 of 376 - Sale <sup>25</sup>
	0.032	62.90	08/24/20	65.67	2.77 W	0.00	189 of 376 - Sale <sup>25</sup>
	0.034	68.64	08/24/20	77.65	9.01 W	0.00	190 of 376 - Sale <sup>25</sup>
	0.035	68.74	08/24/20	81.42	12.68 W	0.00	191 of 376 - Sale <sup>25</sup>
	0.037	72.83	08/24/20	86.26	13.43 W	0.00	192 of 376 - Sale <sup>25</sup>
	0.049	96.84	08/24/20	101.21	4.37 W	0.00	193 of 376 - Sale <sup>25</sup>
	0.056	111.88	08/24/20	121.66	9.78 W	0.00	194 of 376 - Sale <sup>25</sup>
	0.057	112.79	08/24/20	124.20	11.41 W	0.00	195 of 376 - Sale <sup>25</sup>
	0.057	113.76	08/24/20	133.45	19.69 W	0.00	196 of 376 - Sale <sup>25</sup>
	0.058	115.37	08/24/20	125.42	10.05 W	0.00	197 of 376 - Sale <sup>25</sup>
	0.067	132.78	08/24/20	145.87	13.09 W	0.00	198 of 376 - Sale <sup>25</sup>
	0.086	170.68	08/24/20	191.08	20.40 W	0.00	199 of 376 - Sale <sup>25</sup>
	0.096	190.19	08/24/20	214.34	24.15 W	0.00	200 of 376 - Sale <sup>25</sup>
	0.001	1.28	08/24/20	1.53	0.25 W	0.00	201 of 376 - Sale <sup>25</sup>
	0.002	3.90	08/24/20	4.92	1.02 W	0.00	202 of 376 - Sale <sup>25</sup>
	0.005	9.30	08/24/20	11.14	1.84 W	0.00	203 of 376 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.006	12.69	08/24/20	15.40	2.71 W	0.00	204 of 376 - Sale <sup>25</sup>
	0.013	25.86	08/24/20	30.92	5.06 W	0.00	205 of 376 - Sale <sup>25</sup>
	0.025	50.40	08/24/20	62.98	12.58 W	0.00	206 of 376 - Sale <sup>25</sup>
	0.055	109.56	08/24/20	137.22	27.66 W	0.00	207 of 376 - Sale <sup>25</sup>
	0.060	119.82	08/24/20	151.75	31.93 W	0.00	208 of 376 - Sale <sup>25</sup>
	0.063	125.60	08/24/20	152.16	26.56 W	0.00	209 of 376 - Sale <sup>25</sup>
	0.075	149.42	08/24/20	188.25	38.83 W	0.00	210 of 376 - Sale <sup>25</sup>
	0.083	165.34	08/24/20	196.48	31.14 W	0.00	211 of 376 - Sale <sup>25</sup>
	0.152	301.90	08/24/20	366.04	64.14 W	0.00	212 of 376 - Sale <sup>25</sup>
	0.004	7.28	08/24/20	7.31	0.03 W	0.00	213 of 376 - Sale <sup>25</sup>
	0.004	7.28	08/24/20	7.31	0.03 W	0.00	214 of 376 - Sale <sup>25</sup>
	0.876	1,744.05	08/24/20	1,751.31	7.26 W	0.00	215 of 376 - Sale <sup>25</sup>
	0.996	1,982.70	08/24/20	1,996.41	13.71 W	0.00	216 of 376 - Sale <sup>25</sup>
	1.000	1,989.97	08/24/20	2,000.48	10.51 W	0.00	217 of 376 - Sale <sup>25</sup>
	1.000	1,989.97	08/24/20	1,993.04	3.07 W	0.00	218 of 376 - Sale <sup>25</sup>
	1.120	2,228.62	08/24/20	2,237.06	8.44 W	0.00	219 of 376 - Sale <sup>25</sup>
	0.004	7.45	08/24/20	8.41	0.96 W	0.00	220 of 376 - Sale <sup>25</sup>
	0.034	69.15	08/24/20	77.47	8.32 W	0.00	221 of 376 - Sale <sup>25</sup>
	0.045	89.38	08/24/20	99.36	9.98 W	0.00	222 of 376 - Sale <sup>25</sup>
	0.076	152.27	08/24/20	169.11	16.84 W	0.00	223 of 376 - Sale <sup>25</sup>
	0.159	318.26	08/24/20	352.79	34.53 W	0.00	224 of 376 - Sale <sup>25</sup>
	0.026	52.87	08/24/20	55.92	3.05 W	0.00	225 of 376 - Sale <sup>25</sup>
	0.044	88.07	08/24/20	94.10	6.03 W	0.00	226 of 376 - Sale <sup>25</sup>
	0.062	124.54	08/24/20	137.34	12.80 W	0.00	227 of 376 - Sale <sup>25</sup>
	0.067	134.67	08/24/20	144.39	9.72 W	0.00	228 of 376 - Sale <sup>25</sup>
	0.074	148.00	08/24/20	158.08	10.08 W	0.00	229 of 376 - Sale <sup>25</sup>
	0.095	189.83	08/24/20	210.20	20.37 W	0.00	230 of 376 - Sale <sup>25</sup>
	0.002	3.57	08/24/20	3.77	0.20 W	0.00	231 of 376 - Sale <sup>25</sup>
	0.005	10.61	08/24/20	11.59	0.98 W	0.00	232 of 376 - Sale <sup>25</sup>
	0.006	12.97	08/24/20	14.19	1.22 W	0.00	233 of 376 - Sale <sup>25</sup>
	0.010	20.39	08/24/20	21.25	0.86 W	0.00	234 of 376 - Sale <sup>25</sup>
	0.010	20.97	08/24/20	23.45	2.48 W	0.00	235 of 376 - Sale <sup>25</sup>
	0.019	38.66	08/24/20	40.83	2.17 W	0.00	236 of 376 - Sale <sup>25</sup>
	0.040	80.24	08/24/20	87.10	6.86 W	0.00	237 of 376 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.046		91.47	08/24/20	96.69	5.22 W	0.00	238 of 376 - Sale <sup>25</sup>
0.046		92.76	08/24/20	103.34	10.58 W	0.00	239 of 376 - Sale <sup>25</sup>
0.050		100.58	08/24/20	106.18	5.60 W	0.00	240 of 376 - Sale <sup>25</sup>
0.055		111.19	08/24/20	120.71	9.52 W	0.00	241 of 376 - Sale <sup>25</sup>
0.082		163.65	08/24/20	171.22	7.57 W	0.00	242 of 376 - Sale <sup>25</sup>
0.106		212.53	08/24/20	232.20	19.67 W	0.00	243 of 376 - Sale <sup>25</sup>
0.137		273.87	08/24/20	288.17	14.30 W	0.00	244 of 376 - Sale <sup>25</sup>
0.156		312.23	08/24/20	329.85	17.62 W	0.00	245 of 376 - Sale <sup>25</sup>
0.241		484.12	08/24/20	525.62	41.50 W	0.00	246 of 376 - Sale <sup>25</sup>
0.001		1.52	08/24/20	1.69	0.17 W	0.00	247 of 376 - Sale <sup>25</sup>
0.002		3.24	08/24/20	3.84	0.60 W	0.00	248 of 376 - Sale <sup>25</sup>
0.003		6.10	08/24/20	6.66	0.56 W	0.00	249 of 376 - Sale <sup>25</sup>
0.003		6.23	08/24/20	6.43	0.20 W	0.00	250 of 376 - Sale <sup>25</sup>
0.004		7.19	08/24/20	7.45	0.26 W	0.00	251 of 376 - Sale <sup>25</sup>
0.004		7.53	08/24/20	7.71	0.18 W	0.00	252 of 376 - Sale <sup>25</sup>
0.006		12.29	08/24/20	12.80	0.51 W	0.00	253 of 376 - Sale <sup>25</sup>
0.009		18.39	08/24/20	21.60	3.21 W	0.00	254 of 376 - Sale <sup>25</sup>
0.010		19.57	08/24/20	21.37	1.80 W	0.00	255 of 376 - Sale <sup>25</sup>
0.013		25.85	08/24/20	26.57	0.72 W	0.00	256 of 376 - Sale <sup>25</sup>
0.013		26.94	08/24/20	30.17	3.23 W	0.00	257 of 376 - Sale <sup>25</sup>
0.015		30.54	08/24/20	34.19	3.65 W	0.00	258 of 376 - Sale <sup>25</sup>
0.017		34.69	08/24/20	37.80	3.11 W	0.00	259 of 376 - Sale <sup>25</sup>
0.032		63.37	08/24/20	66.30	2.93 W	0.00	260 of 376 - Sale <sup>25</sup>
0.034		69.15	08/24/20	78.34	9.19 W	0.00	261 of 376 - Sale <sup>25</sup>
0.035		69.26	08/24/20	82.11	12.85 W	0.00	262 of 376 - Sale <sup>25</sup>
0.037		73.38	08/24/20	87.00	13.62 W	0.00	263 of 376 - Sale <sup>25</sup>
0.049		97.57	08/24/20	102.19	4.62 W	0.00	264 of 376 - Sale <sup>25</sup>
0.056		112.72	08/24/20	122.79	10.07 W	0.00	265 of 376 - Sale <sup>25</sup>
0.057		113.64	08/24/20	125.34	11.70 W	0.00	266 of 376 - Sale <sup>25</sup>
0.057		114.62	08/24/20	134.59	19.97 W	0.00	267 of 376 - Sale <sup>25</sup>
0.058		116.24	08/24/20	126.58	10.34 W	0.00	268 of 376 - Sale <sup>25</sup>
0.067		133.78	08/24/20	147.21	13.43 W	0.00	269 of 376 - Sale <sup>25</sup>
0.086		171.96	08/24/20	192.80	20.84 W	0.00	270 of 376 - Sale <sup>25</sup>
0.096		191.62	08/24/20	216.25	24.63 W	0.00	271 of 376 - Sale <sup>25</sup>

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(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.001	1.06	08/24/20	1.33	0.27 W	0.00	272 of 376 - Sale <sup>25</sup>
	0.001	1.29	08/24/20	1.54	0.25 W	0.00	273 of 376 - Sale <sup>25</sup>
	0.001	2.14	08/24/20	2.20	0.06 W	0.00	274 of 376 - Sale <sup>25</sup>
	0.002	3.93	08/24/20	4.96	1.03 W	0.00	275 of 376 - Sale <sup>25</sup>
	0.004	7.33	08/24/20	9.19	1.86 W	0.00	276 of 376 - Sale <sup>25</sup>
	0.005	9.37	08/24/20	11.24	1.87 W	0.00	277 of 376 - Sale <sup>25</sup>
	0.006	12.79	08/24/20	15.53	2.74 W	0.00	278 of 376 - Sale <sup>25</sup>
	0.013	26.05	08/24/20	31.18	5.13 W	0.00	279 of 376 - Sale <sup>25</sup>
	0.022	44.50	08/24/20	47.70	3.20 W	0.00	280 of 376 - Sale <sup>25</sup>
	0.025	50.78	08/24/20	63.49	12.71 W	0.00	281 of 376 - Sale <sup>25</sup>
	0.055	110.38	08/24/20	138.32	27.94 W	0.00	282 of 376 - Sale <sup>25</sup>
	0.058	116.23	08/24/20	124.62	8.39 W	0.00	283 of 376 - Sale <sup>25</sup>
	0.060	120.72	08/24/20	152.96	32.24 W	0.00	284 of 376 - Sale <sup>25</sup>
	0.063	126.54	08/24/20	153.42	26.88 W	0.00	285 of 376 - Sale <sup>25</sup>
	0.072	145.27	08/24/20	182.83	37.56 W	0.00	286 of 376 - Sale <sup>25</sup>
	0.075	150.55	08/24/20	189.75	39.20 W	0.00	287 of 376 - Sale <sup>25</sup>
	0.083	166.59	08/24/20	198.15	31.56 W	0.00	288 of 376 - Sale <sup>25</sup>
	0.093	185.97	08/24/20	199.35	13.38 W	0.00	289 of 376 - Sale <sup>25</sup>
	0.117	234.81	08/24/20	295.42	60.61 W	0.00	290 of 376 - Sale <sup>25</sup>
	0.123	246.46	08/24/20	269.34	22.88 W	0.00	291 of 376 - Sale <sup>25</sup>
	0.152	304.18	08/24/20	369.08	64.90 W	0.00	292 of 376 - Sale <sup>25</sup>
	0.342	686.17	08/24/20	701.03	14.86 W	0.00	293 of 376 - Sale <sup>25</sup>
	0.021	42.16	08/24/20	53.26	11.10 W	0.00	294 of 376 - Sale <sup>25</sup>
	0.022	43.38	08/24/20	50.48	7.10 W	0.00	295 of 376 - Sale <sup>25</sup>
	0.023	45.78	08/24/20	57.45	11.67 W	0.00	296 of 376 - Sale <sup>25</sup>
	0.024	47.68	08/24/20	60.34	12.66 W	0.00	297 of 376 - Sale <sup>25</sup>
	0.142	285.59	08/24/20	352.40	66.81 W	0.00	298 of 376 - Sale <sup>25</sup>
	0.144	289.25	08/24/20	362.98	73.73 W	0.00	299 of 376 - Sale <sup>25</sup>
	0.187	374.21	08/24/20	468.31	94.10 W	0.00	300 of 376 - Sale <sup>25</sup>
	0.001	1.14	08/24/20	1.24	0.10 W	0.00	301 of 376 - Sale <sup>25</sup>
	0.001	1.24	08/24/20	1.34	0.10 W	0.00	302 of 376 - Sale <sup>25</sup>
	0.001	1.29	08/24/20	1.50	0.21 W	0.00	303 of 376 - Sale <sup>25</sup>
	0.001	2.40	08/24/20	2.66	0.26 W	0.00	304 of 376 - Sale <sup>25</sup>
	0.001	2.47	08/24/20	2.79	0.32 W	0.00	305 of 376 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.003	6.59	08/24/20	6.97	0.38 W	0.00	306 of 376 - Sale <sup>25</sup>
	0.004	7.46	08/24/20	8.63	1.17 W	0.00	307 of 376 - Sale <sup>25</sup>
	0.005	9.73	08/24/20	9.95	0.22 W	0.00	308 of 376 - Sale <sup>25</sup>
	0.006	11.57	08/24/20	12.82	1.25 W	0.00	309 of 376 - Sale <sup>25</sup>
	0.006	11.93	08/24/20	13.31	1.38 W	0.00	310 of 376 - Sale <sup>25</sup>
	0.006	12.74	08/24/20	14.32	1.58 W	0.00	311 of 376 - Sale <sup>25</sup>
	0.007	14.87	08/24/20	15.16	0.29 W	0.00	312 of 376 - Sale <sup>25</sup>
	0.008	15.71	08/24/20	17.48	1.77 W	0.00	313 of 376 - Sale <sup>25</sup>
	0.009	17.28	08/24/20	19.34	2.06 W	0.00	314 of 376 - Sale <sup>25</sup>
	0.009	17.74	08/24/20	19.47	1.73 W	0.00	315 of 376 - Sale <sup>25</sup>
	0.011	21.99	08/24/20	24.14	2.15 W	0.00	316 of 376 - Sale <sup>25</sup>
	0.013	26.43	08/24/20	29.29	2.86 W	0.00	317 of 376 - Sale <sup>25</sup>
	0.015	30.16	08/24/20	31.01	0.85 W	0.00	318 of 376 - Sale <sup>25</sup>
	0.017	33.38	08/24/20	35.68	2.30 W	0.00	319 of 376 - Sale <sup>25</sup>
	0.017	34.68	08/24/20	39.49	4.81 W	0.00	320 of 376 - Sale <sup>25</sup>
	0.026	51.73	08/24/20	54.94	3.21 W	0.00	321 of 376 - Sale <sup>25</sup>
	0.026	52.07	08/24/20	55.52	3.45 W	0.00	322 of 376 - Sale <sup>25</sup>
	0.035	70.55	08/24/20	77.73	7.18 W	0.00	323 of 376 - Sale <sup>25</sup>
	0.035	71.09	08/24/20	81.66	10.57 W	0.00	324 of 376 - Sale <sup>25</sup>
	0.037	74.42	08/24/20	82.51	8.09 W	0.00	325 of 376 - Sale <sup>25</sup>
	0.038	76.44	08/24/20	83.96	7.52 W	0.00	326 of 376 - Sale <sup>25</sup>
	0.040	79.63	08/24/20	84.42	4.79 W	0.00	327 of 376 - Sale <sup>25</sup>
	0.041	82.19	08/24/20	90.55	8.36 W	0.00	328 of 376 - Sale <sup>25</sup>
	0.041	83.12	08/24/20	88.16	5.04 W	0.00	329 of 376 - Sale <sup>25</sup>
	0.044	87.85	08/24/20	100.97	13.12 W	0.00	330 of 376 - Sale <sup>25</sup>
	0.047	95.15	08/24/20	104.58	9.43 W	0.00	331 of 376 - Sale <sup>25</sup>
	0.049	98.61	08/24/20	109.21	10.60 W	0.00	332 of 376 - Sale <sup>25</sup>
	0.057	114.15	08/24/20	122.87	8.72 W	0.00	333 of 376 - Sale <sup>25</sup>
	0.057	114.25	08/24/20	125.13	10.88 W	0.00	334 of 376 - Sale <sup>25</sup>
	0.062	124.24	08/24/20	136.33	12.09 W	0.00	335 of 376 - Sale <sup>25</sup>
	0.066	131.54	08/24/20	132.13	0.59 W	0.00	336 of 376 - Sale <sup>25</sup>
	0.068	137.18	08/24/20	151.07	13.89 W	0.00	337 of 376 - Sale <sup>25</sup>
	0.072	145.22	08/24/20	170.57	25.35 W	0.00	338 of 376 - Sale <sup>25</sup>
	0.075	151.05	08/24/20	166.03	14.98 W	0.00	339 of 376 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.077		153.92	08/24/20	173.99	20.07 W	0.00	340 of 376 - Sale <sup>25</sup>
0.078		157.31	08/24/20	179.26	21.95 W	0.00	341 of 376 - Sale <sup>25</sup>
0.079		157.63	08/24/20	170.13	12.50 W	0.00	342 of 376 - Sale <sup>25</sup>
0.080		160.63	08/24/20	173.36	12.73 W	0.00	343 of 376 - Sale <sup>25</sup>
0.082		165.21	08/24/20	194.11	28.90 W	0.00	344 of 376 - Sale <sup>25</sup>
0.084		168.16	08/24/20	187.92	19.76 W	0.00	345 of 376 - Sale <sup>25</sup>
0.088		177.10	08/24/20	196.32	19.22 W	0.00	346 of 376 - Sale <sup>25</sup>
0.094		187.46	08/24/20	206.49	19.03 W	0.00	347 of 376 - Sale <sup>25</sup>
0.105		210.15	08/24/20	226.30	16.15 W	0.00	348 of 376 - Sale <sup>25</sup>
0.117		234.66	08/24/20	259.82	25.16 W	0.00	349 of 376 - Sale <sup>25</sup>
0.133		267.21	08/24/20	293.49	26.28 W	0.00	350 of 376 - Sale <sup>25</sup>
0.137		274.31	08/24/20	301.80	27.49 W	0.00	351 of 376 - Sale <sup>25</sup>
0.137		275.42	08/24/20	305.07	29.65 W	0.00	352 of 376 - Sale <sup>25</sup>
0.148		297.23	08/24/20	319.53	22.30 W	0.00	353 of 376 - Sale <sup>25</sup>
0.156		313.67	08/24/20	333.31	19.64 W	0.00	354 of 376 - Sale <sup>25</sup>
0.188		377.44	08/24/20	419.94	42.50 W	0.00	355 of 376 - Sale <sup>25</sup>
0.274		549.49	08/24/20	603.59	54.10 W	0.00	356 of 376 - Sale <sup>25</sup>
0.493		988.17	08/24/20	1,064.34	76.17 W	0.00	357 of 376 - Sale <sup>25</sup>
0.514		1,030.82	08/24/20	1,110.02	79.20 W	0.00	358 of 376 - Sale <sup>25</sup>
0.005		10.09	08/24/20	10.72	0.63 W	0.00	359 of 376 - Sale <sup>25</sup>
0.012		24.66	08/24/20	27.24	2.58 W	0.00	360 of 376 - Sale <sup>25</sup>
0.016		32.25	08/24/20	35.20	2.95 W	0.00	361 of 376 - Sale <sup>25</sup>
0.018		35.36	08/24/20	38.01	2.65 W	0.00	362 of 376 - Sale <sup>25</sup>
0.045		91.05	08/24/20	104.17	13.12 W	0.00	363 of 376 - Sale <sup>25</sup>
0.054		107.88	08/24/20	117.04	9.16 W	0.00	364 of 376 - Sale <sup>25</sup>
0.054		109.03	08/24/20	116.23	7.20 W	0.00	365 of 376 - Sale <sup>25</sup>
0.088		175.61	08/24/20	191.77	16.16 W	0.00	366 of 376 - Sale <sup>25</sup>
0.166		332.19	08/24/20	360.69	28.50 W	0.00	367 of 376 - Sale <sup>25</sup>
0.181		362.46	08/24/20	414.82	52.36 W	0.00	368 of 376 - Sale <sup>25</sup>
0.004		7.33	08/24/20	7.38	0.05 W	0.00	369 of 376 - Sale <sup>25</sup>
0.004		7.33	08/24/20	7.38	0.05 W	0.00	370 of 376 - Sale <sup>25</sup>
0.876		1,757.17	08/24/20	1,768.85	11.68 W	0.00	371 of 376 - Sale <sup>25</sup>
0.996		1,997.62	08/24/20	2,016.36	18.74 W	0.00	372 of 376 - Sale <sup>25</sup>
1.000		2,004.95	08/24/20	2,020.50	15.55 W	0.00	373 of 376 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	1.000	2,004.95	08/24/20	2,013.06	8.11 W	0.00	374 of 376 - Sale <sup>25</sup>
	1.120	2,245.40	08/24/20	2,259.48	14.08 W	0.00	375 of 376 - Sale <sup>25</sup>
	0.842	1,688.88	08/24/20	1,693.13	4.25 W	0.00	376 of 376 - Sale <sup>25</sup>
08/24/20	32.158	64,222.75	Various	69,074.37	4,851.62 W	0.00	Total of 376 transactions
	85 transactions for 08/26/20. Total proceeds and cost reported to the IRS.						
	0.006	12.02	08/26/20	13.28	1.26 W	0.00	1 of 85 - Sale <sup>25</sup>
	0.004	7.78	08/26/20	8.76	0.98 W	0.00	2 of 85 - Sale <sup>25</sup>
	0.034	72.25	08/26/20	80.73	8.48 W	0.00	3 of 85 - Sale <sup>25</sup>
	0.039	81.36	08/26/20	90.25	8.89 W	0.00	4 of 85 - Sale <sup>25</sup>
	0.076	159.08	08/26/20	176.29	17.21 W	0.00	5 of 85 - Sale <sup>25</sup>
	0.159	332.49	08/26/20	367.79	35.30 W	0.00	6 of 85 - Sale <sup>25</sup>
	0.068	143.42	08/26/20	158.48	15.06 W	0.00	7 of 85 - Sale <sup>25</sup>
	0.001	2.81	08/26/20	3.11	0.30 W	0.00	8 of 85 - Sale <sup>25</sup>
	0.025	52.08	08/26/20	57.60	5.52 W	0.00	9 of 85 - Sale <sup>25</sup>
	0.026	55.22	08/26/20	58.45	3.23 W	0.00	10 of 85 - Sale <sup>25</sup>
	0.044	91.98	08/26/20	98.32	6.34 W	0.00	11 of 85 - Sale <sup>25</sup>
	0.062	130.06	08/26/20	143.29	13.23 W	0.00	12 of 85 - Sale <sup>25</sup>
	0.067	140.64	08/26/20	150.83	10.19 W	0.00	13 of 85 - Sale <sup>25</sup>
	0.074	154.57	08/26/20	165.16	10.59 W	0.00	14 of 85 - Sale <sup>25</sup>
	0.002	3.73	08/26/20	3.94	0.21 W	0.00	15 of 85 - Sale <sup>25</sup>
	0.005	11.08	08/26/20	12.10	1.02 W	0.00	16 of 85 - Sale <sup>25</sup>
	0.006	13.55	08/26/20	14.81	1.26 W	0.00	17 of 85 - Sale <sup>25</sup>
	0.010	21.29	08/26/20	22.22	0.93 W	0.00	18 of 85 - Sale <sup>25</sup>
	0.010	21.90	08/26/20	24.45	2.55 W	0.00	19 of 85 - Sale <sup>25</sup>
	0.019	40.37	08/26/20	42.68	2.31 W	0.00	20 of 85 - Sale <sup>25</sup>
	0.040	83.80	08/26/20	90.94	7.14 W	0.00	21 of 85 - Sale <sup>25</sup>
	0.046	95.53	08/26/20	101.07	5.54 W	0.00	22 of 85 - Sale <sup>25</sup>
	0.046	96.87	08/26/20	107.77	10.90 W	0.00	23 of 85 - Sale <sup>25</sup>
	0.050	105.05	08/26/20	111.00	5.95 W	0.00	24 of 85 - Sale <sup>25</sup>
	0.055	116.12	08/26/20	126.03	9.91 W	0.00	25 of 85 - Sale <sup>25</sup>
	0.082	170.92	08/26/20	179.05	8.13 W	0.00	26 of 85 - Sale <sup>25</sup>
	0.106	221.97	08/26/20	242.37	20.40 W	0.00	27 of 85 - Sale <sup>25</sup>
	0.137	286.03	08/26/20	301.28	15.25 W	0.00	28 of 85 - Sale <sup>25</sup>
	0.156	326.09	08/26/20	344.79	18.70 W	0.00	29 of 85 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.241	505.61	08/26/20	548.78	43.17 W	0.00	30 of 85 - Sale <sup>25</sup>
	0.001	1.59	08/26/20	1.76	0.17 W	0.00	31 of 85 - Sale <sup>25</sup>
	0.002	3.38	08/26/20	3.99	0.61 W	0.00	32 of 85 - Sale <sup>25</sup>
	0.003	6.37	08/26/20	6.95	0.58 W	0.00	33 of 85 - Sale <sup>25</sup>
	0.003	6.50	08/26/20	6.72	0.22 W	0.00	34 of 85 - Sale <sup>25</sup>
	0.004	7.50	08/26/20	7.79	0.29 W	0.00	35 of 85 - Sale <sup>25</sup>
	0.004	7.86	08/26/20	8.07	0.21 W	0.00	36 of 85 - Sale <sup>25</sup>
	0.006	12.84	08/26/20	13.39	0.55 W	0.00	37 of 85 - Sale <sup>25</sup>
	0.009	19.20	08/26/20	22.48	3.28 W	0.00	38 of 85 - Sale <sup>25</sup>
	0.010	20.43	08/26/20	22.30	1.87 W	0.00	39 of 85 - Sale <sup>25</sup>
	0.013	27.00	08/26/20	27.80	0.80 W	0.00	40 of 85 - Sale <sup>25</sup>
	0.013	28.14	08/26/20	31.46	3.32 W	0.00	41 of 85 - Sale <sup>25</sup>
	0.015	31.90	08/26/20	35.66	3.76 W	0.00	42 of 85 - Sale <sup>25</sup>
	0.017	36.23	08/26/20	39.46	3.23 W	0.00	43 of 85 - Sale <sup>25</sup>
	0.018	37.47	08/26/20	43.85	6.38 W	0.00	44 of 85 - Sale <sup>25</sup>
	0.032	66.19	08/26/20	69.34	3.15 W	0.00	45 of 85 - Sale <sup>25</sup>
	0.034	72.23	08/26/20	81.65	9.42 W	0.00	46 of 85 - Sale <sup>25</sup>
	0.037	76.64	08/26/20	90.51	13.87 W	0.00	47 of 85 - Sale <sup>25</sup>
	0.049	101.90	08/26/20	106.86	4.96 W	0.00	48 of 85 - Sale <sup>25</sup>
	0.056	117.73	08/26/20	128.19	10.46 W	0.00	49 of 85 - Sale <sup>25</sup>
	0.057	118.69	08/26/20	130.78	12.09 W	0.00	50 of 85 - Sale <sup>25</sup>
	0.058	121.40	08/26/20	132.14	10.74 W	0.00	51 of 85 - Sale <sup>25</sup>
	0.067	139.72	08/26/20	153.62	13.90 W	0.00	52 of 85 - Sale <sup>25</sup>
	0.086	179.60	08/26/20	201.04	21.44 W	0.00	53 of 85 - Sale <sup>25</sup>
	0.096	200.12	08/26/20	225.42	25.30 W	0.00	54 of 85 - Sale <sup>25</sup>
	0.035	72.88	08/26/20	85.84	12.96 W	0.00	55 of 85 - Sale <sup>25</sup>
	0.039	82.86	08/26/20	96.70	13.84 W	0.00	56 of 85 - Sale <sup>25</sup>
	0.001	1.11	08/26/20	1.38	0.27 W	0.00	57 of 85 - Sale <sup>25</sup>
	0.001	1.36	08/26/20	1.61	0.25 W	0.00	58 of 85 - Sale <sup>25</sup>
	0.001	2.25	08/26/20	2.31	0.06 W	0.00	59 of 85 - Sale <sup>25</sup>
	0.002	4.14	08/26/20	5.17	1.03 W	0.00	60 of 85 - Sale <sup>25</sup>
	0.004	7.71	08/26/20	9.59	1.88 W	0.00	61 of 85 - Sale <sup>25</sup>
	0.005	9.86	08/26/20	11.75	1.89 W	0.00	62 of 85 - Sale <sup>25</sup>
	0.006	13.46	08/26/20	16.22	2.76 W	0.00	63 of 85 - Sale <sup>25</sup>

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(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.013	27.42	08/26/20	32.59	5.17 W	0.00	64 of 85 - Sale <sup>25</sup>
	0.022	46.83	08/26/20	50.10	3.27 W	0.00	65 of 85 - Sale <sup>25</sup>
	0.025	53.44	08/26/20	66.23	12.79 W	0.00	66 of 85 - Sale <sup>25</sup>
	0.055	116.16	08/26/20	144.27	28.11 W	0.00	67 of 85 - Sale <sup>25</sup>
	0.058	122.32	08/26/20	130.89	8.57 W	0.00	68 of 85 - Sale <sup>25</sup>
	0.060	127.05	08/26/20	159.47	32.42 W	0.00	69 of 85 - Sale <sup>25</sup>
	0.063	133.17	08/26/20	160.25	27.08 W	0.00	70 of 85 - Sale <sup>25</sup>
	0.072	152.88	08/26/20	190.66	37.78 W	0.00	71 of 85 - Sale <sup>25</sup>
	0.075	158.43	08/26/20	197.86	39.43 W	0.00	72 of 85 - Sale <sup>25</sup>
	0.083	175.31	08/26/20	207.13	31.82 W	0.00	73 of 85 - Sale <sup>25</sup>
	0.093	195.71	08/26/20	209.37	13.66 W	0.00	74 of 85 - Sale <sup>25</sup>
	0.117	247.10	08/26/20	308.08	60.98 W	0.00	75 of 85 - Sale <sup>25</sup>
	0.123	259.36	08/26/20	282.62	23.26 W	0.00	76 of 85 - Sale <sup>25</sup>
	0.152	320.11	08/26/20	385.47	65.36 W	0.00	77 of 85 - Sale <sup>25</sup>
	0.342	722.11	08/26/20	738.02	15.91 W	0.00	78 of 85 - Sale <sup>25</sup>
	0.012	25.40	08/26/20	29.39	3.99 W	0.00	79 of 85 - Sale <sup>25</sup>
	0.021	44.37	08/26/20	55.53	11.16 W	0.00	80 of 85 - Sale <sup>25</sup>
	0.023	48.18	08/26/20	59.92	11.74 W	0.00	81 of 85 - Sale <sup>25</sup>
	0.024	50.18	08/26/20	62.91	12.73 W	0.00	82 of 85 - Sale <sup>25</sup>
	0.142	300.55	08/26/20	367.81	67.26 W	0.00	83 of 85 - Sale <sup>25</sup>
	0.144	304.40	08/26/20	378.58	74.18 W	0.00	84 of 85 - Sale <sup>25</sup>
	0.187	393.81	08/26/20	488.49	94.68 W	0.00	85 of 85 - Sale <sup>25</sup>
08/26/20	4.386	9,216.22	Various	10,373.06	1,156.84 W	0.00	Total of 85 transactions
	250 transactions for 08/28/20. Total proceeds and cost reported to the IRS.						
	0.010	21.87	08/28/20	24.91	3.04 W	0.00	1 of 250 - Sale <sup>25</sup>
	0.001	1.30	08/28/20	1.39	0.09 W	0.00	2 of 250 - Sale <sup>25</sup>
	0.001	1.40	08/28/20	1.50	0.10 W	0.00	3 of 250 - Sale <sup>25</sup>
	0.001	1.47	08/28/20	1.67	0.20 W	0.00	4 of 250 - Sale <sup>25</sup>
	0.001	2.81	08/28/20	3.12	0.31 W	0.00	5 of 250 - Sale <sup>25</sup>
	0.006	13.16	08/28/20	14.34	1.18 W	0.00	6 of 250 - Sale <sup>25</sup>
	0.006	14.49	08/28/20	15.99	1.50 W	0.00	7 of 250 - Sale <sup>25</sup>
	0.008	17.86	08/28/20	19.54	1.68 W	0.00	8 of 250 - Sale <sup>25</sup>
	0.009	19.65	08/28/20	21.61	1.96 W	0.00	9 of 250 - Sale <sup>25</sup>
	0.037	84.63	08/28/20	92.27	7.64 W	0.00	10 of 250 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.038		86.93	08/28/20	93.99	7.06 W	0.00	11 of 250 - Sale <sup>25</sup>
0.044		99.90	08/28/20	112.49	12.59 W	0.00	12 of 250 - Sale <sup>25</sup>
0.072		165.14	08/28/20	189.62	24.48 W	0.00	13 of 250 - Sale <sup>25</sup>
0.074		168.13	08/28/20	183.17	15.04 W	0.00	14 of 250 - Sale <sup>25</sup>
0.077		175.03	08/28/20	194.18	19.15 W	0.00	15 of 250 - Sale <sup>25</sup>
0.078		178.88	08/28/20	199.90	21.02 W	0.00	16 of 250 - Sale <sup>25</sup>
0.082		187.87	08/28/20	215.79	27.92 W	0.00	17 of 250 - Sale <sup>25</sup>
0.088		201.39	08/28/20	219.56	18.17 W	0.00	18 of 250 - Sale <sup>25</sup>
0.094		213.17	08/28/20	231.09	17.92 W	0.00	19 of 250 - Sale <sup>25</sup>
0.274		624.86	08/28/20	675.68	50.82 W	0.00	20 of 250 - Sale <sup>25</sup>
0.001		2.73	08/28/20	2.99	0.26 W	0.00	21 of 250 - Sale <sup>25</sup>
0.003		7.49	08/28/20	7.86	0.37 W	0.00	22 of 250 - Sale <sup>25</sup>
0.004		8.49	08/28/20	9.65	1.16 W	0.00	23 of 250 - Sale <sup>25</sup>
0.005		11.07	08/28/20	11.27	0.20 W	0.00	24 of 250 - Sale <sup>25</sup>
0.006		13.57	08/28/20	14.93	1.36 W	0.00	25 of 250 - Sale <sup>25</sup>
0.007		16.91	08/28/20	17.17	0.26 W	0.00	26 of 250 - Sale <sup>25</sup>
0.009		20.18	08/28/20	21.88	1.70 W	0.00	27 of 250 - Sale <sup>25</sup>
0.011		25.01	08/28/20	27.12	2.11 W	0.00	28 of 250 - Sale <sup>25</sup>
0.013		30.06	08/28/20	32.88	2.82 W	0.00	29 of 250 - Sale <sup>25</sup>
0.015		34.29	08/28/20	35.10	0.81 W	0.00	30 of 250 - Sale <sup>25</sup>
0.017		37.96	08/28/20	40.21	2.25 W	0.00	31 of 250 - Sale <sup>25</sup>
0.017		39.43	08/28/20	44.19	4.76 W	0.00	32 of 250 - Sale <sup>25</sup>
0.026		58.82	08/28/20	61.95	3.13 W	0.00	33 of 250 - Sale <sup>25</sup>
0.026		59.21	08/28/20	62.59	3.38 W	0.00	34 of 250 - Sale <sup>25</sup>
0.035		80.23	08/28/20	87.30	7.07 W	0.00	35 of 250 - Sale <sup>25</sup>
0.035		80.84	08/28/20	91.31	10.47 W	0.00	36 of 250 - Sale <sup>25</sup>
0.040		90.55	08/28/20	95.22	4.67 W	0.00	37 of 250 - Sale <sup>25</sup>
0.041		94.52	08/28/20	99.44	4.92 W	0.00	38 of 250 - Sale <sup>25</sup>
0.049		112.13	08/28/20	122.59	10.46 W	0.00	39 of 250 - Sale <sup>25</sup>
0.057		129.80	08/28/20	138.35	8.55 W	0.00	40 of 250 - Sale <sup>25</sup>
0.057		129.92	08/28/20	140.63	10.71 W	0.00	41 of 250 - Sale <sup>25</sup>
0.062		141.28	08/28/20	153.19	11.91 W	0.00	42 of 250 - Sale <sup>25</sup>
0.064		145.07	08/28/20	158.61	13.54 W	0.00	43 of 250 - Sale <sup>25</sup>
0.066		149.58	08/28/20	149.97	0.39 W	0.00	44 of 250 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.068		155.99	08/28/20	169.68	13.69 W	0.00	45 of 250 - Sale <sup>25</sup>
0.075		171.77	08/28/20	186.52	14.75 W	0.00	46 of 250 - Sale <sup>25</sup>
0.079		179.25	08/28/20	191.51	12.26 W	0.00	47 of 250 - Sale <sup>25</sup>
0.080		182.66	08/28/20	195.15	12.49 W	0.00	48 of 250 - Sale <sup>25</sup>
0.084		191.22	08/28/20	210.73	19.51 W	0.00	49 of 250 - Sale <sup>25</sup>
0.105		238.98	08/28/20	254.81	15.83 W	0.00	50 of 250 - Sale <sup>25</sup>
0.117		266.85	08/28/20	291.66	24.81 W	0.00	51 of 250 - Sale <sup>25</sup>
0.089		202.26	08/28/20	219.50	17.24 W	0.00	52 of 250 - Sale <sup>25</sup>
0.137		311.93	08/28/20	339.01	27.08 W	0.00	53 of 250 - Sale <sup>25</sup>
0.148		338.00	08/28/20	359.86	21.86 W	0.00	54 of 250 - Sale <sup>25</sup>
0.156		356.69	08/28/20	375.86	19.17 W	0.00	55 of 250 - Sale <sup>25</sup>
0.188		429.20	08/28/20	471.15	41.95 W	0.00	56 of 250 - Sale <sup>25</sup>
0.493		1,123.71	08/28/20	1,198.41	74.70 W	0.00	57 of 250 - Sale <sup>25</sup>
0.514		1,172.20	08/28/20	1,249.87	77.67 W	0.00	58 of 250 - Sale <sup>25</sup>
0.041		93.49	08/28/20	101.70	8.21 W	0.00	59 of 250 - Sale <sup>25</sup>
0.047		108.23	08/28/20	117.49	9.26 W	0.00	60 of 250 - Sale <sup>25</sup>
0.045		101.62	08/28/20	110.24	8.62 W	0.00	61 of 250 - Sale <sup>25</sup>
0.005		11.48	08/28/20	12.09	0.61 W	0.00	62 of 250 - Sale <sup>25</sup>
0.012		28.05	08/28/20	30.58	2.53 W	0.00	63 of 250 - Sale <sup>25</sup>
0.016		36.68	08/28/20	39.57	2.89 W	0.00	64 of 250 - Sale <sup>25</sup>
0.018		40.22	08/28/20	42.81	2.59 W	0.00	65 of 250 - Sale <sup>25</sup>
0.045		103.56	08/28/20	116.52	12.96 W	0.00	66 of 250 - Sale <sup>25</sup>
0.054		122.70	08/28/20	131.67	8.97 W	0.00	67 of 250 - Sale <sup>25</sup>
0.054		124.02	08/28/20	131.03	7.01 W	0.00	68 of 250 - Sale <sup>25</sup>
0.088		199.74	08/28/20	215.59	15.85 W	0.00	69 of 250 - Sale <sup>25</sup>
0.166		377.85	08/28/20	405.76	27.91 W	0.00	70 of 250 - Sale <sup>25</sup>
0.181		412.27	08/28/20	464.00	51.73 W	0.00	71 of 250 - Sale <sup>25</sup>
0.004		8.34	08/28/20	8.37	0.03 W	0.00	72 of 250 - Sale <sup>25</sup>
0.105		238.79	08/28/20	239.82	1.03 W	0.00	73 of 250 - Sale <sup>25</sup>
1.120		2,554.03	08/28/20	2,564.15	10.12 W	0.00	74 of 250 - Sale <sup>25</sup>
0.225		505.70	08/28/20	508.52	2.82 W	0.00	75 of 250 - Sale <sup>25</sup>
0.004		8.22	08/28/20	8.27	0.05 W	0.00	76 of 250 - Sale <sup>25</sup>
0.225		504.92	08/28/20	509.06	4.14 W	0.00	77 of 250 - Sale <sup>25</sup>
0.547		1,229.00	08/28/20	1,236.06	7.06 W	0.00	78 of 250 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
1.000		2,247.75	08/28/20	2,262.90	15.15 W	0.00	79 of 250 - Sale <sup>25</sup>
0.225		504.62	08/28/20	509.22	4.60 W	0.00	80 of 250 - Sale <sup>25</sup>
0.000		1.00	08/28/20	1.01	0.01 W	0.00	81 of 250 - Sale <sup>25</sup>
0.004		8.27	08/28/20	9.27	1.00 W	0.00	82 of 250 - Sale <sup>25</sup>
0.006		12.77	08/28/20	14.07	1.30 W	0.00	83 of 250 - Sale <sup>25</sup>
0.034		76.74	08/28/20	85.48	8.74 W	0.00	84 of 250 - Sale <sup>25</sup>
0.039		86.42	08/28/20	95.61	9.19 W	0.00	85 of 250 - Sale <sup>25</sup>
0.076		168.97	08/28/20	186.76	17.79 W	0.00	86 of 250 - Sale <sup>25</sup>
0.159		353.16	08/28/20	389.67	36.51 W	0.00	87 of 250 - Sale <sup>25</sup>
0.001		2.99	08/28/20	3.30	0.31 W	0.00	88 of 250 - Sale <sup>25</sup>
0.025		55.33	08/28/20	61.04	5.71 W	0.00	89 of 250 - Sale <sup>25</sup>
0.026		58.67	08/28/20	62.10	3.43 W	0.00	90 of 250 - Sale <sup>25</sup>
0.044		97.73	08/28/20	104.40	6.67 W	0.00	91 of 250 - Sale <sup>25</sup>
0.062		138.19	08/28/20	151.90	13.71 W	0.00	92 of 250 - Sale <sup>25</sup>
0.067		149.43	08/28/20	160.14	10.71 W	0.00	93 of 250 - Sale <sup>25</sup>
0.068		152.33	08/28/20	167.91	15.58 W	0.00	94 of 250 - Sale <sup>25</sup>
0.074		164.23	08/28/20	175.38	11.15 W	0.00	95 of 250 - Sale <sup>25</sup>
0.002		3.96	08/28/20	4.18	0.22 W	0.00	96 of 250 - Sale <sup>25</sup>
0.005		11.78	08/28/20	12.84	1.06 W	0.00	97 of 250 - Sale <sup>25</sup>
0.006		14.39	08/28/20	15.70	1.31 W	0.00	98 of 250 - Sale <sup>25</sup>
0.010		22.62	08/28/20	23.63	1.01 W	0.00	99 of 250 - Sale <sup>25</sup>
0.010		23.27	08/28/20	25.90	2.63 W	0.00	100 of 250 - Sale <sup>25</sup>
0.019		42.90	08/28/20	45.35	2.45 W	0.00	101 of 250 - Sale <sup>25</sup>
0.040		89.04	08/28/20	96.49	7.45 W	0.00	102 of 250 - Sale <sup>25</sup>
0.046		101.50	08/28/20	107.39	5.89 W	0.00	103 of 250 - Sale <sup>25</sup>
0.046		102.93	08/28/20	114.18	11.25 W	0.00	104 of 250 - Sale <sup>25</sup>
0.050		111.61	08/28/20	117.95	6.34 W	0.00	105 of 250 - Sale <sup>25</sup>
0.055		123.38	08/28/20	133.71	10.33 W	0.00	106 of 250 - Sale <sup>25</sup>
0.082		181.60	08/28/20	190.35	8.75 W	0.00	107 of 250 - Sale <sup>25</sup>
0.106		235.84	08/28/20	257.05	21.21 W	0.00	108 of 250 - Sale <sup>25</sup>
0.137		303.90	08/28/20	320.20	16.30 W	0.00	109 of 250 - Sale <sup>25</sup>
0.156		346.47	08/28/20	366.36	19.89 W	0.00	110 of 250 - Sale <sup>25</sup>
0.241		537.21	08/28/20	582.22	45.01 W	0.00	111 of 250 - Sale <sup>25</sup>
0.001		1.69	08/28/20	1.86	0.17 W	0.00	112 of 250 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.002	3.59	08/28/20	4.22	0.63 W	0.00	113 of 250 - Sale <sup>25</sup>
	0.003	6.76	08/28/20	7.37	0.61 W	0.00	114 of 250 - Sale <sup>25</sup>
	0.003	6.91	08/28/20	7.15	0.24 W	0.00	115 of 250 - Sale <sup>25</sup>
	0.004	7.97	08/28/20	8.29	0.32 W	0.00	116 of 250 - Sale <sup>25</sup>
	0.004	8.36	08/28/20	8.60	0.24 W	0.00	117 of 250 - Sale <sup>25</sup>
	0.006	13.64	08/28/20	14.24	0.60 W	0.00	118 of 250 - Sale <sup>25</sup>
	0.009	20.40	08/28/20	23.75	3.35 W	0.00	119 of 250 - Sale <sup>25</sup>
	0.010	21.71	08/28/20	23.66	1.95 W	0.00	120 of 250 - Sale <sup>25</sup>
	0.013	28.68	08/28/20	29.58	0.90 W	0.00	121 of 250 - Sale <sup>25</sup>
	0.013	29.90	08/28/20	33.32	3.42 W	0.00	122 of 250 - Sale <sup>25</sup>
	0.015	33.89	08/28/20	37.77	3.88 W	0.00	123 of 250 - Sale <sup>25</sup>
	0.017	38.50	08/28/20	41.86	3.36 W	0.00	124 of 250 - Sale <sup>25</sup>
	0.018	39.82	08/28/20	46.33	6.51 W	0.00	125 of 250 - Sale <sup>25</sup>
	0.032	70.32	08/28/20	73.72	3.40 W	0.00	126 of 250 - Sale <sup>25</sup>
	0.034	76.74	08/28/20	86.42	9.68 W	0.00	127 of 250 - Sale <sup>25</sup>
	0.035	76.85	08/28/20	90.08	13.23 W	0.00	128 of 250 - Sale <sup>25</sup>
	0.037	81.43	08/28/20	95.58	14.15 W	0.00	129 of 250 - Sale <sup>25</sup>
	0.039	87.37	08/28/20	101.51	14.14 W	0.00	130 of 250 - Sale <sup>25</sup>
	0.049	108.27	08/28/20	113.60	5.33 W	0.00	131 of 250 - Sale <sup>25</sup>
	0.056	125.08	08/28/20	135.97	10.89 W	0.00	132 of 250 - Sale <sup>25</sup>
	0.057	126.11	08/28/20	138.63	12.52 W	0.00	133 of 250 - Sale <sup>25</sup>
	0.058	128.98	08/28/20	140.17	11.19 W	0.00	134 of 250 - Sale <sup>25</sup>
	0.067	148.45	08/28/20	162.86	14.41 W	0.00	135 of 250 - Sale <sup>25</sup>
	0.086	190.82	08/28/20	212.91	22.09 W	0.00	136 of 250 - Sale <sup>25</sup>
	0.096	212.63	08/28/20	238.66	26.03 W	0.00	137 of 250 - Sale <sup>25</sup>
	0.001	1.17	08/28/20	1.45	0.28 W	0.00	138 of 250 - Sale <sup>25</sup>
	0.001	1.43	08/28/20	1.69	0.26 W	0.00	139 of 250 - Sale <sup>25</sup>
	0.001	2.37	08/28/20	2.44	0.07 W	0.00	140 of 250 - Sale <sup>25</sup>
	0.002	4.36	08/28/20	5.41	1.05 W	0.00	141 of 250 - Sale <sup>25</sup>
	0.004	8.13	08/28/20	10.04	1.91 W	0.00	142 of 250 - Sale <sup>25</sup>
	0.005	10.40	08/28/20	12.33	1.93 W	0.00	143 of 250 - Sale <sup>25</sup>
	0.006	14.19	08/28/20	17.00	2.81 W	0.00	144 of 250 - Sale <sup>25</sup>
	0.013	28.91	08/28/20	34.18	5.27 W	0.00	145 of 250 - Sale <sup>25</sup>
	0.022	49.38	08/28/20	52.82	3.44 W	0.00	146 of 250 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.025	56.35	08/28/20	69.34	12.99 W	0.00	147 of 250 - Sale <sup>25</sup>
	0.055	122.49	08/28/20	151.02	28.53 W	0.00	148 of 250 - Sale <sup>25</sup>
	0.058	128.98	08/28/20	137.99	9.01 W	0.00	149 of 250 - Sale <sup>25</sup>
	0.060	133.96	08/28/20	166.84	32.88 W	0.00	150 of 250 - Sale <sup>25</sup>
	0.063	140.42	08/28/20	167.98	27.56 W	0.00	151 of 250 - Sale <sup>25</sup>
	0.072	161.20	08/28/20	199.53	38.33 W	0.00	152 of 250 - Sale <sup>25</sup>
	0.075	167.06	08/28/20	207.06	40.00 W	0.00	153 of 250 - Sale <sup>25</sup>
	0.083	184.86	08/28/20	217.31	32.45 W	0.00	154 of 250 - Sale <sup>25</sup>
	0.093	206.36	08/28/20	220.73	14.37 W	0.00	155 of 250 - Sale <sup>25</sup>
	0.117	260.56	08/28/20	322.43	61.87 W	0.00	156 of 250 - Sale <sup>25</sup>
	0.123	273.48	08/28/20	297.68	24.20 W	0.00	157 of 250 - Sale <sup>25</sup>
	0.152	337.53	08/28/20	404.05	66.52 W	0.00	158 of 250 - Sale <sup>25</sup>
	0.342	761.42	08/28/20	779.94	18.52 W	0.00	159 of 250 - Sale <sup>25</sup>
	0.010	21.34	08/28/20	24.46	3.12 W	0.00	160 of 250 - Sale <sup>25</sup>
	0.012	26.79	08/28/20	30.87	4.08 W	0.00	161 of 250 - Sale <sup>25</sup>
	0.021	46.78	08/28/20	58.10	11.32 W	0.00	162 of 250 - Sale <sup>25</sup>
	0.023	50.80	08/28/20	62.71	11.91 W	0.00	163 of 250 - Sale <sup>25</sup>
	0.024	52.91	08/28/20	65.82	12.91 W	0.00	164 of 250 - Sale <sup>25</sup>
	0.142	316.91	08/28/20	385.26	68.35 W	0.00	165 of 250 - Sale <sup>25</sup>
	0.144	320.97	08/28/20	396.25	75.28 W	0.00	166 of 250 - Sale <sup>25</sup>
	0.187	415.25	08/28/20	511.35	96.10 W	0.00	167 of 250 - Sale <sup>25</sup>
	0.001	1.26	08/28/20	1.36	0.10 W	0.00	168 of 250 - Sale <sup>25</sup>
	0.001	1.37	08/28/20	1.48	0.11 W	0.00	169 of 250 - Sale <sup>25</sup>
	0.001	1.43	08/28/20	1.64	0.21 W	0.00	170 of 250 - Sale <sup>25</sup>
	0.001	2.67	08/28/20	2.94	0.27 W	0.00	171 of 250 - Sale <sup>25</sup>
	0.001	2.75	08/28/20	3.06	0.31 W	0.00	172 of 250 - Sale <sup>25</sup>
	0.003	7.31	08/28/20	7.70	0.39 W	0.00	173 of 250 - Sale <sup>25</sup>
	0.004	8.28	08/28/20	9.47	1.19 W	0.00	174 of 250 - Sale <sup>25</sup>
	0.005	10.80	08/28/20	11.04	0.24 W	0.00	175 of 250 - Sale <sup>25</sup>
	0.006	12.84	08/28/20	14.06	1.22 W	0.00	176 of 250 - Sale <sup>25</sup>
	0.006	13.24	08/28/20	14.64	1.40 W	0.00	177 of 250 - Sale <sup>25</sup>
	0.006	14.14	08/28/20	15.69	1.55 W	0.00	178 of 250 - Sale <sup>25</sup>
	0.007	16.50	08/28/20	16.81	0.31 W	0.00	179 of 250 - Sale <sup>25</sup>
	0.008	17.43	08/28/20	19.17	1.74 W	0.00	180 of 250 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.009	19.18	08/28/20	21.20	2.02 W	0.00	181 of 250 - Sale <sup>25</sup>
	0.009	19.69	08/28/20	21.45	1.76 W	0.00	182 of 250 - Sale <sup>25</sup>
	0.011	24.40	08/28/20	26.60	2.20 W	0.00	183 of 250 - Sale <sup>25</sup>
	0.013	29.33	08/28/20	32.25	2.92 W	0.00	184 of 250 - Sale <sup>25</sup>
	0.015	33.46	08/28/20	34.39	0.93 W	0.00	185 of 250 - Sale <sup>25</sup>
	0.017	37.04	08/28/20	39.42	2.38 W	0.00	186 of 250 - Sale <sup>25</sup>
	0.017	38.48	08/28/20	43.37	4.89 W	0.00	187 of 250 - Sale <sup>25</sup>
	0.026	57.40	08/28/20	60.72	3.32 W	0.00	188 of 250 - Sale <sup>25</sup>
	0.026	57.78	08/28/20	61.36	3.58 W	0.00	189 of 250 - Sale <sup>25</sup>
	0.035	78.29	08/28/20	85.63	7.34 W	0.00	190 of 250 - Sale <sup>25</sup>
	0.035	78.89	08/28/20	89.63	10.74 W	0.00	191 of 250 - Sale <sup>25</sup>
	0.037	82.58	08/28/20	90.50	7.92 W	0.00	192 of 250 - Sale <sup>25</sup>
	0.038	84.83	08/28/20	92.18	7.35 W	0.00	193 of 250 - Sale <sup>25</sup>
	0.040	88.36	08/28/20	93.34	4.98 W	0.00	194 of 250 - Sale <sup>25</sup>
	0.041	91.20	08/28/20	99.73	8.53 W	0.00	195 of 250 - Sale <sup>25</sup>
	0.041	92.24	08/28/20	97.47	5.23 W	0.00	196 of 250 - Sale <sup>25</sup>
	0.044	97.48	08/28/20	110.40	12.92 W	0.00	197 of 250 - Sale <sup>25</sup>
	0.045	99.13	08/28/20	108.09	8.96 W	0.00	198 of 250 - Sale <sup>25</sup>
	0.047	105.59	08/28/20	115.21	9.62 W	0.00	199 of 250 - Sale <sup>25</sup>
	0.049	109.42	08/28/20	120.26	10.84 W	0.00	200 of 250 - Sale <sup>25</sup>
	0.057	126.66	08/28/20	135.65	8.99 W	0.00	201 of 250 - Sale <sup>25</sup>
	0.057	126.78	08/28/20	137.93	11.15 W	0.00	202 of 250 - Sale <sup>25</sup>
	0.062	137.86	08/28/20	150.25	12.39 W	0.00	203 of 250 - Sale <sup>25</sup>
	0.064	141.56	08/28/20	155.59	14.03 W	0.00	204 of 250 - Sale <sup>25</sup>
	0.066	145.96	08/28/20	146.85	0.89 W	0.00	205 of 250 - Sale <sup>25</sup>
	0.068	152.22	08/28/20	166.43	14.21 W	0.00	206 of 250 - Sale <sup>25</sup>
	0.072	161.15	08/28/20	186.18	25.03 W	0.00	207 of 250 - Sale <sup>25</sup>
	0.074	164.06	08/28/20	179.67	15.61 W	0.00	208 of 250 - Sale <sup>25</sup>
	0.075	167.62	08/28/20	182.94	15.32 W	0.00	209 of 250 - Sale <sup>25</sup>
	0.077	170.80	08/28/20	190.53	19.73 W	0.00	210 of 250 - Sale <sup>25</sup>
	0.078	174.56	08/28/20	196.18	21.62 W	0.00	211 of 250 - Sale <sup>25</sup>
	0.079	174.91	08/28/20	187.77	12.86 W	0.00	212 of 250 - Sale <sup>25</sup>
	0.080	178.25	08/28/20	191.35	13.10 W	0.00	213 of 250 - Sale <sup>25</sup>
	0.082	183.33	08/28/20	211.88	28.55 W	0.00	214 of 250 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.084		186.60	08/28/20	206.75	20.15 W	0.00	215 of 250 - Sale <sup>25</sup>
0.088		196.53	08/28/20	215.37	18.84 W	0.00	216 of 250 - Sale <sup>25</sup>
0.089		197.37	08/28/20	215.29	17.92 W	0.00	217 of 250 - Sale <sup>25</sup>
0.094		208.02	08/28/20	226.65	18.63 W	0.00	218 of 250 - Sale <sup>25</sup>
0.105		233.20	08/28/20	249.83	16.63 W	0.00	219 of 250 - Sale <sup>25</sup>
0.117		260.39	08/28/20	286.10	25.71 W	0.00	220 of 250 - Sale <sup>25</sup>
0.137		304.39	08/28/20	332.51	28.12 W	0.00	221 of 250 - Sale <sup>25</sup>
0.148		329.83	08/28/20	352.82	22.99 W	0.00	222 of 250 - Sale <sup>25</sup>
0.156		348.06	08/28/20	368.43	20.37 W	0.00	223 of 250 - Sale <sup>25</sup>
0.188		418.83	08/28/20	462.21	43.38 W	0.00	224 of 250 - Sale <sup>25</sup>
0.274		609.75	08/28/20	662.66	52.91 W	0.00	225 of 250 - Sale <sup>25</sup>
0.493		1,096.54	08/28/20	1,174.99	78.45 W	0.00	226 of 250 - Sale <sup>25</sup>
0.514		1,143.86	08/28/20	1,225.44	81.58 W	0.00	227 of 250 - Sale <sup>25</sup>
0.005		11.20	08/28/20	11.84	0.64 W	0.00	228 of 250 - Sale <sup>25</sup>
0.012		27.36	08/28/20	29.98	2.62 W	0.00	229 of 250 - Sale <sup>25</sup>
0.016		35.78	08/28/20	38.80	3.02 W	0.00	230 of 250 - Sale <sup>25</sup>
0.018		39.24	08/28/20	41.96	2.72 W	0.00	231 of 250 - Sale <sup>25</sup>
0.045		101.03	08/28/20	114.34	13.31 W	0.00	232 of 250 - Sale <sup>25</sup>
0.054		119.71	08/28/20	129.09	9.38 W	0.00	233 of 250 - Sale <sup>25</sup>
0.054		120.99	08/28/20	128.41	7.42 W	0.00	234 of 250 - Sale <sup>25</sup>
0.088		194.87	08/28/20	211.38	16.51 W	0.00	235 of 250 - Sale <sup>25</sup>
0.166		368.62	08/28/20	397.79	29.17 W	0.00	236 of 250 - Sale <sup>25</sup>
0.181		402.20	08/28/20	455.31	53.11 W	0.00	237 of 250 - Sale <sup>25</sup>
0.000		0.99	08/28/20	1.00	0.01 W	0.00	238 of 250 - Sale <sup>25</sup>
0.004		8.13	08/28/20	8.19	0.06 W	0.00	239 of 250 - Sale <sup>25</sup>
0.004		8.13	08/28/20	8.21	0.08 W	0.00	240 of 250 - Sale <sup>25</sup>
0.105		232.96	08/28/20	234.79	1.83 W	0.00	241 of 250 - Sale <sup>25</sup>
0.225		499.47	08/28/20	505.78	6.31 W	0.00	242 of 250 - Sale <sup>25</sup>
0.225		499.77	08/28/20	505.63	5.86 W	0.00	243 of 250 - Sale <sup>25</sup>
0.225		500.45	08/28/20	504.99	4.54 W	0.00	244 of 250 - Sale <sup>25</sup>
0.547		1,216.46	08/28/20	1,230.91	14.45 W	0.00	245 of 250 - Sale <sup>25</sup>
0.547		1,216.46	08/28/20	1,227.69	11.23 W	0.00	246 of 250 - Sale <sup>25</sup>
0.842		1,874.08	08/28/20	1,884.76	10.68 W	0.00	247 of 250 - Sale <sup>25</sup>
1.000		2,224.82	08/28/20	2,240.56	15.74 W	0.00	248 of 250 - Sale <sup>25</sup>

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	1.000	2,224.82	08/28/20	2,247.59	22.77 W	0.00	249 of 250 - Sale <sup>25</sup>
	1.120	2,491.63	08/28/20	2,510.29	18.66 W	0.00	250 of 250 - Sale <sup>25</sup>
08/28/20	23.225	52,054.38	Various	55,220.88	3,166.50 W	0.00	Total of 250 transactions
	138 transactions for 08/31/20. Total proceeds and cost reported to the IRS.						
	0.006	2.79	08/31/20	4.05	1.26 W	0.00	1 of 138 - Sale <sup>25</sup>
	0.023	11.30	08/31/20	16.64	5.34 W	0.00	2 of 138 - Sale <sup>25</sup>
	0.004	1.80	08/31/20	2.78	0.98 W	0.00	3 of 138 - Sale <sup>25</sup>
	0.034	16.76	08/31/20	25.25	8.49 W	0.00	4 of 138 - Sale <sup>25</sup>
	0.016	7.57	08/31/20	11.14	3.57 W	0.00	5 of 138 - Sale <sup>25</sup>
	0.076	36.90	08/31/20	54.14	17.24 W	0.00	6 of 138 - Sale <sup>25</sup>
	0.159	77.12	08/31/20	112.48	35.36 W	0.00	7 of 138 - Sale <sup>25</sup>
	0.001	0.65	08/31/20	0.95	0.30 W	0.00	8 of 138 - Sale <sup>25</sup>
	0.025	12.08	08/31/20	17.61	5.53 W	0.00	9 of 138 - Sale <sup>25</sup>
	0.026	12.81	08/31/20	16.05	3.24 W	0.00	10 of 138 - Sale <sup>25</sup>
	0.044	21.34	08/31/20	27.69	6.35 W	0.00	11 of 138 - Sale <sup>25</sup>
	0.062	30.18	08/31/20	43.44	13.26 W	0.00	12 of 138 - Sale <sup>25</sup>
	0.067	32.63	08/31/20	42.86	10.23 W	0.00	13 of 138 - Sale <sup>25</sup>
	0.068	33.26	08/31/20	48.35	15.09 W	0.00	14 of 138 - Sale <sup>25</sup>
	0.074	35.86	08/31/20	46.48	10.62 W	0.00	15 of 138 - Sale <sup>25</sup>
	0.005	2.57	08/31/20	3.59	1.02 W	0.00	16 of 138 - Sale <sup>25</sup>
	0.040	19.44	08/31/20	26.60	7.16 W	0.00	17 of 138 - Sale <sup>25</sup>
	0.055	26.94	08/31/20	36.87	9.93 W	0.00	18 of 138 - Sale <sup>25</sup>
	0.001	0.60	08/31/20	0.74	0.14 W	0.00	19 of 138 - Sale <sup>25</sup>
	0.241	117.32	08/31/20	160.58	43.26 W	0.00	20 of 138 - Sale <sup>25</sup>
	0.000	0.08	08/31/20	0.10	0.02 W	0.00	21 of 138 - Sale <sup>25</sup>
	0.002	0.86	08/31/20	1.07	0.21 W	0.00	22 of 138 - Sale <sup>25</sup>
	0.006	3.14	08/31/20	4.41	1.27 W	0.00	23 of 138 - Sale <sup>25</sup>
	0.010	4.93	08/31/20	5.88	0.95 W	0.00	24 of 138 - Sale <sup>25</sup>
	0.010	5.07	08/31/20	7.64	2.57 W	0.00	25 of 138 - Sale <sup>25</sup>
	0.019	9.35	08/31/20	11.68	2.33 W	0.00	26 of 138 - Sale <sup>25</sup>
	0.046	22.13	08/31/20	27.73	5.60 W	0.00	27 of 138 - Sale <sup>25</sup>
	0.046	22.44	08/31/20	33.39	10.95 W	0.00	28 of 138 - Sale <sup>25</sup>
	0.050	24.33	08/31/20	30.35	6.02 W	0.00	29 of 138 - Sale <sup>25</sup>
	0.082	39.59	08/31/20	47.82	8.23 W	0.00	30 of 138 - Sale <sup>25</sup>

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.106		51.41	08/31/20	71.94	20.53 W	0.00	31 of 138 - Sale <sup>25</sup>
0.135		65.57	08/31/20	80.84	15.27 W	0.00	32 of 138 - Sale <sup>25</sup>
0.156		75.53	08/31/20	94.42	18.89 W	0.00	33 of 138 - Sale <sup>25</sup>
0.001		0.37	08/31/20	0.53	0.16 W	0.00	34 of 138 - Sale <sup>25</sup>
0.002		0.78	08/31/20	1.40	0.62 W	0.00	35 of 138 - Sale <sup>25</sup>
0.003		1.47	08/31/20	2.06	0.59 W	0.00	36 of 138 - Sale <sup>25</sup>
0.003		1.51	08/31/20	1.73	0.22 W	0.00	37 of 138 - Sale <sup>25</sup>
0.004		1.74	08/31/20	2.04	0.30 W	0.00	38 of 138 - Sale <sup>25</sup>
0.004		1.82	08/31/20	2.04	0.22 W	0.00	39 of 138 - Sale <sup>25</sup>
0.006		2.97	08/31/20	3.53	0.56 W	0.00	40 of 138 - Sale <sup>25</sup>
0.009		4.45	08/31/20	7.74	3.29 W	0.00	41 of 138 - Sale <sup>25</sup>
0.010		4.73	08/31/20	6.62	1.89 W	0.00	42 of 138 - Sale <sup>25</sup>
0.013		6.25	08/31/20	7.07	0.82 W	0.00	43 of 138 - Sale <sup>25</sup>
0.013		6.52	08/31/20	9.85	3.33 W	0.00	44 of 138 - Sale <sup>25</sup>
0.015		7.39	08/31/20	11.17	3.78 W	0.00	45 of 138 - Sale <sup>25</sup>
0.017		8.39	08/31/20	11.64	3.25 W	0.00	46 of 138 - Sale <sup>25</sup>
0.018		8.68	08/31/20	15.08	6.40 W	0.00	47 of 138 - Sale <sup>25</sup>
0.032		15.33	08/31/20	18.53	3.20 W	0.00	48 of 138 - Sale <sup>25</sup>
0.034		16.73	08/31/20	26.19	9.46 W	0.00	49 of 138 - Sale <sup>25</sup>
0.035		16.75	08/31/20	29.76	13.01 W	0.00	50 of 138 - Sale <sup>25</sup>
0.037		17.75	08/31/20	31.67	13.92 W	0.00	51 of 138 - Sale <sup>25</sup>
0.039		19.04	08/31/20	32.93	13.89 W	0.00	52 of 138 - Sale <sup>25</sup>
0.049		23.60	08/31/20	28.62	5.02 W	0.00	53 of 138 - Sale <sup>25</sup>
0.056		27.27	08/31/20	37.80	10.53 W	0.00	54 of 138 - Sale <sup>25</sup>
0.057		27.49	08/31/20	39.65	12.16 W	0.00	55 of 138 - Sale <sup>25</sup>
0.058		28.12	08/31/20	38.94	10.82 W	0.00	56 of 138 - Sale <sup>25</sup>
0.067		32.36	08/31/20	46.34	13.98 W	0.00	57 of 138 - Sale <sup>25</sup>
0.086		41.60	08/31/20	63.14	21.54 W	0.00	58 of 138 - Sale <sup>25</sup>
0.096		46.35	08/31/20	71.77	25.42 W	0.00	59 of 138 - Sale <sup>25</sup>
0.001		0.26	08/31/20	0.53	0.27 W	0.00	60 of 138 - Sale <sup>25</sup>
0.004		1.77	08/31/20	3.66	1.89 W	0.00	61 of 138 - Sale <sup>25</sup>
0.063		30.41	08/31/20	33.40	2.99 W	0.00	62 of 138 - Sale <sup>25</sup>
0.072		35.14	08/31/20	73.01	37.87 W	0.00	63 of 138 - Sale <sup>25</sup>
0.117		56.80	08/31/20	117.92	61.12 W	0.00	64 of 138 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.033	16.21	08/31/20	22.71	6.50 W	0.00	65 of 138 - Sale <sup>25</sup>
	0.280	135.57	08/31/20	150.01	14.44 W	0.00	66 of 138 - Sale <sup>25</sup>
	0.001	0.31	08/31/20	0.57	0.26 W	0.00	67 of 138 - Sale <sup>25</sup>
	0.001	0.52	08/31/20	0.58	0.06 W	0.00	68 of 138 - Sale <sup>25</sup>
	0.002	0.95	08/31/20	2.00	1.05 W	0.00	69 of 138 - Sale <sup>25</sup>
	0.005	2.27	08/31/20	4.19	1.92 W	0.00	70 of 138 - Sale <sup>25</sup>
	0.006	3.09	08/31/20	5.89	2.80 W	0.00	71 of 138 - Sale <sup>25</sup>
	0.013	6.30	08/31/20	11.54	5.24 W	0.00	72 of 138 - Sale <sup>25</sup>
	0.022	10.76	08/31/20	14.15	3.39 W	0.00	73 of 138 - Sale <sup>25</sup>
	0.025	12.28	08/31/20	25.21	12.93 W	0.00	74 of 138 - Sale <sup>25</sup>
	0.055	26.70	08/31/20	55.09	28.39 W	0.00	75 of 138 - Sale <sup>25</sup>
	0.058	28.12	08/31/20	36.98	8.86 W	0.00	76 of 138 - Sale <sup>25</sup>
	0.060	29.20	08/31/20	61.93	32.73 W	0.00	77 of 138 - Sale <sup>25</sup>
	0.063	30.61	08/31/20	58.01	27.40 W	0.00	78 of 138 - Sale <sup>25</sup>
	0.075	36.42	08/31/20	76.23	39.81 W	0.00	79 of 138 - Sale <sup>25</sup>
	0.083	40.30	08/31/20	72.54	32.24 W	0.00	80 of 138 - Sale <sup>25</sup>
	0.093	44.99	08/31/20	59.12	14.13 W	0.00	81 of 138 - Sale <sup>25</sup>
	0.089	43.40	08/31/20	60.80	17.40 W	0.00	82 of 138 - Sale <sup>25</sup>
	0.152	73.58	08/31/20	139.72	66.14 W	0.00	83 of 138 - Sale <sup>25</sup>
	0.023	11.07	08/31/20	22.93	11.86 W	0.00	84 of 138 - Sale <sup>25</sup>
	0.144	69.97	08/31/20	144.89	74.92 W	0.00	85 of 138 - Sale <sup>25</sup>
	0.167	80.99	08/31/20	166.54	85.55 W	0.00	86 of 138 - Sale <sup>25</sup>
	0.018	8.71	08/31/20	18.42	9.71 W	0.00	87 of 138 - Sale <sup>25</sup>
	0.020	9.54	08/31/20	19.72	10.18 W	0.00	88 of 138 - Sale <sup>25</sup>
	0.003	1.49	08/31/20	3.15	1.66 W	0.00	89 of 138 - Sale <sup>25</sup>
	0.024	11.53	08/31/20	24.50	12.97 W	0.00	90 of 138 - Sale <sup>25</sup>
	0.083	40.37	08/31/20	80.51	40.14 W	0.00	91 of 138 - Sale <sup>25</sup>
	0.029	14.21	08/31/20	28.31	14.10 W	0.00	92 of 138 - Sale <sup>25</sup>
	0.053	25.47	08/31/20	33.90	8.43 W	0.00	93 of 138 - Sale <sup>25</sup>
	0.126	61.01	08/31/20	80.69	19.68 W	0.00	94 of 138 - Sale <sup>25</sup>
	0.010	4.65	08/31/20	7.79	3.14 W	0.00	95 of 138 - Sale <sup>25</sup>
	0.012	5.84	08/31/20	9.94	4.10 W	0.00	96 of 138 - Sale <sup>25</sup>
	0.030	14.51	08/31/20	28.90	14.39 W	0.00	97 of 138 - Sale <sup>25</sup>
	0.001	0.28	08/31/20	0.38	0.10 W	0.00	98 of 138 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.001	0.30	08/31/20	0.41	0.11 W	0.00	99 of 138 - Sale <sup>25</sup>
	0.001	0.31	08/31/20	0.52	0.21 W	0.00	100 of 138 - Sale <sup>25</sup>
	0.001	0.58	08/31/20	0.85	0.27 W	0.00	101 of 138 - Sale <sup>25</sup>
	0.001	0.60	08/31/20	0.91	0.31 W	0.00	102 of 138 - Sale <sup>25</sup>
	0.004	1.81	08/31/20	3.00	1.19 W	0.00	103 of 138 - Sale <sup>25</sup>
	0.006	2.80	08/31/20	4.03	1.23 W	0.00	104 of 138 - Sale <sup>25</sup>
	0.006	2.89	08/31/20	4.29	1.40 W	0.00	105 of 138 - Sale <sup>25</sup>
	0.006	3.08	08/31/20	4.64	1.56 W	0.00	106 of 138 - Sale <sup>25</sup>
	0.008	3.80	08/31/20	5.55	1.75 W	0.00	107 of 138 - Sale <sup>25</sup>
	0.009	4.18	08/31/20	6.21	2.03 W	0.00	108 of 138 - Sale <sup>25</sup>
	0.013	6.39	08/31/20	9.33	2.94 W	0.00	109 of 138 - Sale <sup>25</sup>
	0.017	8.07	08/31/20	10.48	2.41 W	0.00	110 of 138 - Sale <sup>25</sup>
	0.026	12.51	08/31/20	15.87	3.36 W	0.00	111 of 138 - Sale <sup>25</sup>
	0.026	12.60	08/31/20	16.22	3.62 W	0.00	112 of 138 - Sale <sup>25</sup>
	0.035	17.20	08/31/20	27.99	10.79 W	0.00	113 of 138 - Sale <sup>25</sup>
	0.037	18.00	08/31/20	25.98	7.98 W	0.00	114 of 138 - Sale <sup>25</sup>
	0.038	18.49	08/31/20	25.90	7.41 W	0.00	115 of 138 - Sale <sup>25</sup>
	0.040	19.26	08/31/20	24.30	5.04 W	0.00	116 of 138 - Sale <sup>25</sup>
	0.044	21.25	08/31/20	34.24	12.99 W	0.00	117 of 138 - Sale <sup>25</sup>
	0.049	23.85	08/31/20	34.77	10.92 W	0.00	118 of 138 - Sale <sup>25</sup>
	0.057	27.61	08/31/20	36.69	9.08 W	0.00	119 of 138 - Sale <sup>25</sup>
	0.057	27.64	08/31/20	38.87	11.23 W	0.00	120 of 138 - Sale <sup>25</sup>
	0.064	30.86	08/31/20	44.98	14.12 W	0.00	121 of 138 - Sale <sup>25</sup>
	0.068	33.18	08/31/20	47.50	14.32 W	0.00	122 of 138 - Sale <sup>25</sup>
	0.072	35.13	08/31/20	60.27	25.14 W	0.00	123 of 138 - Sale <sup>25</sup>
	0.074	35.77	08/31/20	51.49	15.72 W	0.00	124 of 138 - Sale <sup>25</sup>
	0.075	36.54	08/31/20	51.97	15.43 W	0.00	125 of 138 - Sale <sup>25</sup>
	0.077	37.23	08/31/20	57.08	19.85 W	0.00	126 of 138 - Sale <sup>25</sup>
	0.078	38.05	08/31/20	59.79	21.74 W	0.00	127 of 138 - Sale <sup>25</sup>
	0.079	38.13	08/31/20	51.11	12.98 W	0.00	128 of 138 - Sale <sup>25</sup>
	0.080	38.86	08/31/20	52.08	13.22 W	0.00	129 of 138 - Sale <sup>25</sup>
	0.082	39.97	08/31/20	68.64	28.67 W	0.00	130 of 138 - Sale <sup>25</sup>
	0.084	40.68	08/31/20	60.95	20.27 W	0.00	131 of 138 - Sale <sup>25</sup>
	0.088	42.84	08/31/20	61.81	18.97 W	0.00	132 of 138 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.094	45.35	08/31/20	64.12	18.77 W	0.00	133 of 138 - Sale <sup>25</sup>
	0.105	50.84	08/31/20	67.62	16.78 W	0.00	134 of 138 - Sale <sup>25</sup>
	0.117	56.77	08/31/20	82.65	25.88 W	0.00	135 of 138 - Sale <sup>25</sup>
	0.274	132.93	08/31/20	186.24	53.31 W	0.00	136 of 138 - Sale <sup>25</sup>
	0.440	213.58	08/31/20	284.32	70.74 W	0.00	137 of 138 - Sale <sup>25</sup>
	0.514	249.36	08/31/20	331.71	82.35 W	0.00	138 of 138 - Sale <sup>25</sup>
08/31/20	7.521	3,648.70	Various	5,435.14	1,786.44 W	0.00	Total of 138 transactions
	35 transactions for 09/02/20. Total proceeds and cost reported to the IRS.						
	0.020	8.68	08/31/20	13.08	4.40 W	0.00	1 of 35 - Sale <sup>25</sup>
	0.003	1.14	08/31/20	1.72	0.58 W	0.00	2 of 35 - Sale <sup>25</sup>
	0.003	1.44	08/31/20	2.05	0.61 W	0.00	3 of 35 - Sale <sup>25</sup>
	0.005	2.12	08/31/20	2.69	0.57 W	0.00	4 of 35 - Sale <sup>25</sup>
	0.007	3.24	08/31/20	4.05	0.81 W	0.00	5 of 35 - Sale <sup>25</sup>
	0.009	3.87	08/31/20	6.23	2.36 W	0.00	6 of 35 - Sale <sup>25</sup>
	0.011	4.79	08/31/20	7.74	2.95 W	0.00	7 of 35 - Sale <sup>25</sup>
	0.015	6.57	08/31/20	8.52	1.95 W	0.00	8 of 35 - Sale <sup>25</sup>
	0.017	7.56	08/31/20	13.62	6.06 W	0.00	9 of 35 - Sale <sup>25</sup>
	0.035	15.38	08/31/20	25.10	9.72 W	0.00	10 of 35 - Sale <sup>25</sup>
	0.041	17.91	08/31/20	29.22	11.31 W	0.00	11 of 35 - Sale <sup>25</sup>
	0.041	18.12	08/31/20	26.15	8.03 W	0.00	12 of 35 - Sale <sup>25</sup>
	0.045	19.47	08/31/20	31.45	11.98 W	0.00	13 of 35 - Sale <sup>25</sup>
	0.047	20.74	08/31/20	33.57	12.83 W	0.00	14 of 35 - Sale <sup>25</sup>
	0.062	27.08	08/31/20	43.66	16.58 W	0.00	15 of 35 - Sale <sup>25</sup>
	0.066	28.67	08/31/20	34.00	5.33 W	0.00	16 of 35 - Sale <sup>25</sup>
	0.089	38.77	08/31/20	62.69	23.92 W	0.00	17 of 35 - Sale <sup>25</sup>
	0.137	59.79	08/31/20	97.17	37.38 W	0.00	18 of 35 - Sale <sup>25</sup>
	0.156	68.37	08/31/20	99.33	30.96 W	0.00	19 of 35 - Sale <sup>25</sup>
	0.188	82.26	08/31/20	138.39	56.13 W	0.00	20 of 35 - Sale <sup>25</sup>
	0.005	2.20	08/31/20	3.18	0.98 W	0.00	21 of 35 - Sale <sup>25</sup>
	0.012	5.37	08/31/20	8.83	3.46 W	0.00	22 of 35 - Sale <sup>25</sup>
	0.016	7.03	08/31/20	11.14	4.11 W	0.00	23 of 35 - Sale <sup>25</sup>
	0.018	7.71	08/31/20	11.62	3.91 W	0.00	24 of 35 - Sale <sup>25</sup>
	0.045	19.84	08/31/20	36.23	16.39 W	0.00	25 of 35 - Sale <sup>25</sup>
	0.054	23.51	08/31/20	36.53	13.02 W	0.00	26 of 35 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.054	23.76	08/31/20	34.87	11.11 W	0.00	27 of 35 - Sale <sup>25</sup>
	0.088	38.27	08/31/20	60.71	22.44 W	0.00	28 of 35 - Sale <sup>25</sup>
	0.166	72.40	08/31/20	112.79	40.39 W	0.00	29 of 35 - Sale <sup>25</sup>
	0.181	79.00	08/31/20	144.34	65.34 W	0.00	30 of 35 - Sale <sup>25</sup>
	0.004	1.60	08/31/20	1.91	0.31 W	0.00	31 of 35 - Sale <sup>25</sup>
	0.547	238.94	08/31/20	290.39	51.45 W	0.00	32 of 35 - Sale <sup>25</sup>
	0.806	352.08	08/31/20	420.02	67.94 W	0.00	33 of 35 - Sale <sup>25</sup>
	0.842	368.10	08/31/20	435.80	67.70 W	0.00	34 of 35 - Sale <sup>25</sup>
	1.000	437.00	08/31/20	520.41	83.41 W	0.00	35 of 35 - Sale <sup>25</sup>
09/02/20	4.835	2,112.78	Various	2,809.20	696.42 W	0.00	Total of 35 transactions
343 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	0.024	8.38	09/03/20	10.35	1.97 W	0.00	1 of 343 - Sale <sup>25</sup>
	0.105	36.86	09/03/20	45.60	8.74 W	0.00	2 of 343 - Sale <sup>25</sup>
	0.171	60.30	09/03/20	75.07	14.77 W	0.00	3 of 343 - Sale <sup>25</sup>
	0.290	102.24	09/03/20	126.26	24.02 W	0.00	4 of 343 - Sale <sup>25</sup>
	0.004	1.31	09/03/20	2.53	1.22 W	0.00	5 of 343 - Sale <sup>25</sup>
	0.006	2.02	09/03/20	3.66	1.64 W	0.00	6 of 343 - Sale <sup>25</sup>
	0.016	5.48	09/03/20	10.08	4.60 W	0.00	7 of 343 - Sale <sup>25</sup>
	0.023	8.19	09/03/20	15.07	6.88 W	0.00	8 of 343 - Sale <sup>25</sup>
	0.034	12.14	09/03/20	22.91	10.77 W	0.00	9 of 343 - Sale <sup>25</sup>
	0.076	26.74	09/03/20	48.99	22.25 W	0.00	10 of 343 - Sale <sup>25</sup>
	0.159	55.88	09/03/20	101.72	45.84 W	0.00	11 of 343 - Sale <sup>25</sup>
	0.001	0.47	09/03/20	0.86	0.39 W	0.00	12 of 343 - Sale <sup>25</sup>
	0.025	8.75	09/03/20	15.93	7.18 W	0.00	13 of 343 - Sale <sup>25</sup>
	0.026	9.28	09/03/20	14.26	4.98 W	0.00	14 of 343 - Sale <sup>25</sup>
	0.044	15.46	09/03/20	24.72	9.26 W	0.00	15 of 343 - Sale <sup>25</sup>
	0.062	21.87	09/03/20	39.23	17.36 W	0.00	16 of 343 - Sale <sup>25</sup>
	0.067	23.64	09/03/20	38.31	14.67 W	0.00	17 of 343 - Sale <sup>25</sup>
	0.068	24.10	09/03/20	43.71	19.61 W	0.00	18 of 343 - Sale <sup>25</sup>
	0.074	25.99	09/03/20	41.48	15.49 W	0.00	19 of 343 - Sale <sup>25</sup>
	0.000	0.06	09/03/20	0.09	0.03 W	0.00	20 of 343 - Sale <sup>25</sup>
	0.001	0.43	09/03/20	0.65	0.22 W	0.00	21 of 343 - Sale <sup>25</sup>
	0.002	0.63	09/03/20	0.95	0.32 W	0.00	22 of 343 - Sale <sup>25</sup>
	0.005	1.86	09/03/20	3.23	1.37 W	0.00	23 of 343 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.006	2.28	09/03/20	3.97	1.69 W	0.00	24 of 343 - Sale <sup>25</sup>
	0.010	3.58	09/03/20	5.20	1.62 W	0.00	25 of 343 - Sale <sup>25</sup>
	0.010	3.68	09/03/20	6.94	3.26 W	0.00	26 of 343 - Sale <sup>25</sup>
	0.019	6.79	09/03/20	10.39	3.60 W	0.00	27 of 343 - Sale <sup>25</sup>
	0.040	14.09	09/03/20	23.89	9.80 W	0.00	28 of 343 - Sale <sup>25</sup>
	0.046	16.06	09/03/20	24.67	8.61 W	0.00	29 of 343 - Sale <sup>25</sup>
	0.046	16.29	09/03/20	30.29	14.00 W	0.00	30 of 343 - Sale <sup>25</sup>
	0.050	17.66	09/03/20	26.99	9.33 W	0.00	31 of 343 - Sale <sup>25</sup>
	0.055	19.52	09/03/20	33.12	13.60 W	0.00	32 of 343 - Sale <sup>25</sup>
	0.082	28.73	09/03/20	42.36	13.63 W	0.00	33 of 343 - Sale <sup>25</sup>
	0.106	37.32	09/03/20	64.85	27.53 W	0.00	34 of 343 - Sale <sup>25</sup>
	0.135	47.60	09/03/20	71.80	24.20 W	0.00	35 of 343 - Sale <sup>25</sup>
	0.156	54.82	09/03/20	84.00	29.18 W	0.00	36 of 343 - Sale <sup>25</sup>
	0.241	85.00	09/03/20	144.21	59.21 W	0.00	37 of 343 - Sale <sup>25</sup>
	0.001	0.27	09/03/20	0.48	0.21 W	0.00	38 of 343 - Sale <sup>25</sup>
	0.002	0.57	09/03/20	1.30	0.73 W	0.00	39 of 343 - Sale <sup>25</sup>
	0.003	1.07	09/03/20	1.86	0.79 W	0.00	40 of 343 - Sale <sup>25</sup>
	0.003	1.09	09/03/20	1.52	0.43 W	0.00	41 of 343 - Sale <sup>25</sup>
	0.004	1.26	09/03/20	1.80	0.54 W	0.00	42 of 343 - Sale <sup>25</sup>
	0.004	1.32	09/03/20	1.79	0.47 W	0.00	43 of 343 - Sale <sup>25</sup>
	0.006	2.16	09/03/20	3.12	0.96 W	0.00	44 of 343 - Sale <sup>25</sup>
	0.009	3.23	09/03/20	7.12	3.89 W	0.00	45 of 343 - Sale <sup>25</sup>
	0.010	3.44	09/03/20	5.97	2.53 W	0.00	46 of 343 - Sale <sup>25</sup>
	0.013	4.54	09/03/20	6.21	1.67 W	0.00	47 of 343 - Sale <sup>25</sup>
	0.013	4.73	09/03/20	8.95	4.22 W	0.00	48 of 343 - Sale <sup>25</sup>
	0.015	5.36	09/03/20	10.15	4.79 W	0.00	49 of 343 - Sale <sup>25</sup>
	0.017	6.09	09/03/20	10.48	4.39 W	0.00	50 of 343 - Sale <sup>25</sup>
	0.018	6.30	09/03/20	13.88	7.58 W	0.00	51 of 343 - Sale <sup>25</sup>
	0.032	11.13	09/03/20	16.42	5.29 W	0.00	52 of 343 - Sale <sup>25</sup>
	0.034	12.14	09/03/20	23.88	11.74 W	0.00	53 of 343 - Sale <sup>25</sup>
	0.035	12.16	09/03/20	27.45	15.29 W	0.00	54 of 343 - Sale <sup>25</sup>
	0.037	12.88	09/03/20	29.22	16.34 W	0.00	55 of 343 - Sale <sup>25</sup>
	0.039	13.82	09/03/20	30.31	16.49 W	0.00	56 of 343 - Sale <sup>25</sup>
	0.049	17.13	09/03/20	25.37	8.24 W	0.00	57 of 343 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.056		19.79	09/03/20	34.04	14.25 W	0.00	58 of 343 - Sale <sup>25</sup>
0.057		19.95	09/03/20	35.86	15.91 W	0.00	59 of 343 - Sale <sup>25</sup>
0.058		20.41	09/03/20	35.06	14.65 W	0.00	60 of 343 - Sale <sup>25</sup>
0.067		23.49	09/03/20	41.88	18.39 W	0.00	61 of 343 - Sale <sup>25</sup>
0.086		30.19	09/03/20	57.40	27.21 W	0.00	62 of 343 - Sale <sup>25</sup>
0.096		33.64	09/03/20	65.38	31.74 W	0.00	63 of 343 - Sale <sup>25</sup>
0.001		0.19	09/03/20	0.49	0.30 W	0.00	64 of 343 - Sale <sup>25</sup>
0.004		1.29	09/03/20	3.42	2.13 W	0.00	65 of 343 - Sale <sup>25</sup>
0.063		22.07	09/03/20	29.20	7.13 W	0.00	66 of 343 - Sale <sup>25</sup>
0.072		25.51	09/03/20	68.16	42.65 W	0.00	67 of 343 - Sale <sup>25</sup>
0.117		41.23	09/03/20	110.08	68.85 W	0.00	68 of 343 - Sale <sup>25</sup>
0.230		80.89	09/03/20	107.94	27.05 W	0.00	69 of 343 - Sale <sup>25</sup>
0.000		0.16	09/03/20	0.20	0.04 W	0.00	70 of 343 - Sale <sup>25</sup>
0.004		1.29	09/03/20	1.61	0.32 W	0.00	71 of 343 - Sale <sup>25</sup>
0.054		18.89	09/03/20	23.51	4.62 W	0.00	72 of 343 - Sale <sup>25</sup>
0.225		79.03	09/03/20	100.17	21.14 W	0.00	73 of 343 - Sale <sup>25</sup>
0.225		79.08	09/03/20	99.78	20.70 W	0.00	74 of 343 - Sale <sup>25</sup>
0.547		192.48	09/03/20	239.82	47.34 W	0.00	75 of 343 - Sale <sup>25</sup>
1.000		352.02	09/03/20	440.85	88.83 W	0.00	76 of 343 - Sale <sup>25</sup>
0.001		0.23	09/03/20	0.52	0.29 W	0.00	77 of 343 - Sale <sup>25</sup>
0.001		0.37	09/03/20	0.49	0.12 W	0.00	78 of 343 - Sale <sup>25</sup>
0.002		0.69	09/03/20	0.95	0.26 W	0.00	79 of 343 - Sale <sup>25</sup>
0.002		0.69	09/03/20	1.84	1.15 W	0.00	80 of 343 - Sale <sup>25</sup>
0.003		0.92	09/03/20	1.63	0.71 W	0.00	81 of 343 - Sale <sup>25</sup>
0.005		1.65	09/03/20	3.81	2.16 W	0.00	82 of 343 - Sale <sup>25</sup>
0.006		2.25	09/03/20	5.37	3.12 W	0.00	83 of 343 - Sale <sup>25</sup>
0.013		4.57	09/03/20	10.48	5.91 W	0.00	84 of 343 - Sale <sup>25</sup>
0.020		6.99	09/03/20	12.41	5.42 W	0.00	85 of 343 - Sale <sup>25</sup>
0.022		7.81	09/03/20	12.35	4.54 W	0.00	86 of 343 - Sale <sup>25</sup>
0.025		8.92	09/03/20	23.15	14.23 W	0.00	87 of 343 - Sale <sup>25</sup>
0.033		11.77	09/03/20	19.99	8.22 W	0.00	88 of 343 - Sale <sup>25</sup>
0.050		17.52	09/03/20	22.65	5.13 W	0.00	89 of 343 - Sale <sup>25</sup>
0.055		19.38	09/03/20	50.60	31.22 W	0.00	90 of 343 - Sale <sup>25</sup>
0.058		20.41	09/03/20	32.25	11.84 W	0.00	91 of 343 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.060	21.20	09/03/20	57.03	35.83 W	0.00	92 of 343 - Sale <sup>25</sup>
	0.063	22.22	09/03/20	52.87	30.65 W	0.00	93 of 343 - Sale <sup>25</sup>
	0.075	26.43	09/03/20	70.11	43.68 W	0.00	94 of 343 - Sale <sup>25</sup>
	0.083	29.25	09/03/20	65.77	36.52 W	0.00	95 of 343 - Sale <sup>25</sup>
	0.089	31.50	09/03/20	53.51	22.01 W	0.00	96 of 343 - Sale <sup>25</sup>
	0.093	32.65	09/03/20	51.56	18.91 W	0.00	97 of 343 - Sale <sup>25</sup>
	0.152	53.41	09/03/20	127.36	73.95 W	0.00	98 of 343 - Sale <sup>25</sup>
	0.003	1.08	09/03/20	2.90	1.82 W	0.00	99 of 343 - Sale <sup>25</sup>
	0.010	3.38	09/03/20	7.01	3.63 W	0.00	100 of 343 - Sale <sup>25</sup>
	0.012	4.24	09/03/20	8.96	4.72 W	0.00	101 of 343 - Sale <sup>25</sup>
	0.018	6.32	09/03/20	16.95	10.63 W	0.00	102 of 343 - Sale <sup>25</sup>
	0.020	6.92	09/03/20	18.12	11.20 W	0.00	103 of 343 - Sale <sup>25</sup>
	0.023	8.04	09/03/20	21.07	13.03 W	0.00	104 of 343 - Sale <sup>25</sup>
	0.024	8.37	09/03/20	22.57	14.20 W	0.00	105 of 343 - Sale <sup>25</sup>
	0.029	10.31	09/03/20	25.92	15.61 W	0.00	106 of 343 - Sale <sup>25</sup>
	0.030	10.53	09/03/20	26.46	15.93 W	0.00	107 of 343 - Sale <sup>25</sup>
	0.083	29.30	09/03/20	73.72	44.42 W	0.00	108 of 343 - Sale <sup>25</sup>
	0.144	50.79	09/03/20	133.13	82.34 W	0.00	109 of 343 - Sale <sup>25</sup>
	0.167	58.78	09/03/20	152.93	94.15 W	0.00	110 of 343 - Sale <sup>25</sup>
	0.001	0.20	09/03/20	0.33	0.13 W	0.00	111 of 343 - Sale <sup>25</sup>
	0.001	0.22	09/03/20	0.36	0.14 W	0.00	112 of 343 - Sale <sup>25</sup>
	0.001	0.23	09/03/20	0.47	0.24 W	0.00	113 of 343 - Sale <sup>25</sup>
	0.001	0.42	09/03/20	0.75	0.33 W	0.00	114 of 343 - Sale <sup>25</sup>
	0.001	0.43	09/03/20	0.81	0.38 W	0.00	115 of 343 - Sale <sup>25</sup>
	0.004	1.31	09/03/20	2.69	1.38 W	0.00	116 of 343 - Sale <sup>25</sup>
	0.006	2.03	09/03/20	3.56	1.53 W	0.00	117 of 343 - Sale <sup>25</sup>
	0.006	2.09	09/03/20	3.80	1.71 W	0.00	118 of 343 - Sale <sup>25</sup>
	0.006	2.24	09/03/20	4.12	1.88 W	0.00	119 of 343 - Sale <sup>25</sup>
	0.008	2.76	09/03/20	4.91	2.15 W	0.00	120 of 343 - Sale <sup>25</sup>
	0.009	3.03	09/03/20	5.51	2.48 W	0.00	121 of 343 - Sale <sup>25</sup>
	0.013	4.64	09/03/20	8.26	3.62 W	0.00	122 of 343 - Sale <sup>25</sup>
	0.026	9.08	09/03/20	13.77	4.69 W	0.00	123 of 343 - Sale <sup>25</sup>
	0.035	12.48	09/03/20	25.10	12.62 W	0.00	124 of 343 - Sale <sup>25</sup>
	0.037	13.07	09/03/20	22.96	9.89 W	0.00	125 of 343 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.038		13.42	09/03/20	22.79	9.37 W	0.00	126 of 343 - Sale <sup>25</sup>
0.040		13.98	09/03/20	21.07	7.09 W	0.00	127 of 343 - Sale <sup>25</sup>
0.044		15.42	09/03/20	30.67	15.25 W	0.00	128 of 343 - Sale <sup>25</sup>
0.049		17.31	09/03/20	30.76	13.45 W	0.00	129 of 343 - Sale <sup>25</sup>
0.057		20.04	09/03/20	32.05	12.01 W	0.00	130 of 343 - Sale <sup>25</sup>
0.057		20.06	09/03/20	34.22	14.16 W	0.00	131 of 343 - Sale <sup>25</sup>
0.064		22.40	09/03/20	39.79	17.39 W	0.00	132 of 343 - Sale <sup>25</sup>
0.068		24.08	09/03/20	41.93	17.85 W	0.00	133 of 343 - Sale <sup>25</sup>
0.072		25.50	09/03/20	54.37	28.87 W	0.00	134 of 343 - Sale <sup>25</sup>
0.074		25.96	09/03/20	45.47	19.51 W	0.00	135 of 343 - Sale <sup>25</sup>
0.075		26.52	09/03/20	45.83	19.31 W	0.00	136 of 343 - Sale <sup>25</sup>
0.077		27.02	09/03/20	50.83	23.81 W	0.00	137 of 343 - Sale <sup>25</sup>
0.078		27.62	09/03/20	53.40	25.78 W	0.00	138 of 343 - Sale <sup>25</sup>
0.082		29.01	09/03/20	61.92	32.91 W	0.00	139 of 343 - Sale <sup>25</sup>
0.084		29.52	09/03/20	54.11	24.59 W	0.00	140 of 343 - Sale <sup>25</sup>
0.088		31.09	09/03/20	54.61	23.52 W	0.00	141 of 343 - Sale <sup>25</sup>
0.094		32.91	09/03/20	56.50	23.59 W	0.00	142 of 343 - Sale <sup>25</sup>
0.117		41.20	09/03/20	73.11	31.91 W	0.00	143 of 343 - Sale <sup>25</sup>
0.274		96.48	09/03/20	163.89	67.41 W	0.00	144 of 343 - Sale <sup>25</sup>
0.273		96.17	09/03/20	153.96	57.79 W	0.00	145 of 343 - Sale <sup>25</sup>
0.017		5.86	09/03/20	9.13	3.27 W	0.00	146 of 343 - Sale <sup>25</sup>
0.026		9.15	09/03/20	14.10	4.95 W	0.00	147 of 343 - Sale <sup>25</sup>
0.012		4.40	09/03/20	7.05	2.65 W	0.00	148 of 343 - Sale <sup>25</sup>
0.079		27.69	09/03/20	44.70	17.01 W	0.00	149 of 343 - Sale <sup>25</sup>
0.080		28.21	09/03/20	45.55	17.34 W	0.00	150 of 343 - Sale <sup>25</sup>
0.105		36.91	09/03/20	59.07	22.16 W	0.00	151 of 343 - Sale <sup>25</sup>
0.440		155.07	09/03/20	248.42	93.35 W	0.00	152 of 343 - Sale <sup>25</sup>
0.241		84.86	09/03/20	135.84	50.98 W	0.00	153 of 343 - Sale <sup>25</sup>
0.040		14.08	09/03/20	22.57	8.49 W	0.00	154 of 343 - Sale <sup>25</sup>
0.126		44.23	09/03/20	70.43	26.20 W	0.00	155 of 343 - Sale <sup>25</sup>
0.004		1.29	09/08/20	2.50	1.21 W	0.00	156 of 343 - Sale <sup>25</sup>
0.006		1.99	09/08/20	3.62	1.63 W	0.00	157 of 343 - Sale <sup>25</sup>
0.016		5.39	09/08/20	9.97	4.58 W	0.00	158 of 343 - Sale <sup>25</sup>
0.023		8.05	09/08/20	14.91	6.86 W	0.00	159 of 343 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.034	11.93	09/08/20	22.67	10.74 W	0.00	160 of 343 - Sale <sup>25</sup>
	0.076	26.28	09/08/20	48.45	22.17 W	0.00	161 of 343 - Sale <sup>25</sup>
	0.159	54.93	09/08/20	100.60	45.67 W	0.00	162 of 343 - Sale <sup>25</sup>
	0.001	0.46	09/08/20	0.85	0.39 W	0.00	163 of 343 - Sale <sup>25</sup>
	0.025	8.61	09/08/20	15.76	7.15 W	0.00	164 of 343 - Sale <sup>25</sup>
	0.026	9.12	09/08/20	14.08	4.96 W	0.00	165 of 343 - Sale <sup>25</sup>
	0.044	15.20	09/08/20	24.41	9.21 W	0.00	166 of 343 - Sale <sup>25</sup>
	0.062	21.49	09/08/20	38.79	17.30 W	0.00	167 of 343 - Sale <sup>25</sup>
	0.067	23.24	09/08/20	37.84	14.60 W	0.00	168 of 343 - Sale <sup>25</sup>
	0.068	23.69	09/08/20	43.23	19.54 W	0.00	169 of 343 - Sale <sup>25</sup>
	0.074	25.54	09/08/20	40.96	15.42 W	0.00	170 of 343 - Sale <sup>25</sup>
	0.000	0.06	09/08/20	0.09	0.03 W	0.00	171 of 343 - Sale <sup>25</sup>
	0.001	0.43	09/08/20	0.64	0.21 W	0.00	172 of 343 - Sale <sup>25</sup>
	0.002	0.62	09/08/20	0.93	0.31 W	0.00	173 of 343 - Sale <sup>25</sup>
	0.005	1.83	09/08/20	3.20	1.37 W	0.00	174 of 343 - Sale <sup>25</sup>
	0.006	2.24	09/08/20	3.92	1.68 W	0.00	175 of 343 - Sale <sup>25</sup>
	0.010	3.52	09/08/20	5.13	1.61 W	0.00	176 of 343 - Sale <sup>25</sup>
	0.010	3.62	09/08/20	6.87	3.25 W	0.00	177 of 343 - Sale <sup>25</sup>
	0.019	6.67	09/08/20	10.25	3.58 W	0.00	178 of 343 - Sale <sup>25</sup>
	0.040	13.85	09/08/20	23.61	9.76 W	0.00	179 of 343 - Sale <sup>25</sup>
	0.046	15.79	09/08/20	24.35	8.56 W	0.00	180 of 343 - Sale <sup>25</sup>
	0.046	16.01	09/08/20	29.96	13.95 W	0.00	181 of 343 - Sale <sup>25</sup>
	0.050	17.36	09/08/20	26.64	9.28 W	0.00	182 of 343 - Sale <sup>25</sup>
	0.055	19.19	09/08/20	32.73	13.54 W	0.00	183 of 343 - Sale <sup>25</sup>
	0.082	28.24	09/08/20	41.79	13.55 W	0.00	184 of 343 - Sale <sup>25</sup>
	0.106	36.68	09/08/20	64.10	27.42 W	0.00	185 of 343 - Sale <sup>25</sup>
	0.135	46.78	09/08/20	70.85	24.07 W	0.00	186 of 343 - Sale <sup>25</sup>
	0.156	53.89	09/08/20	82.91	29.02 W	0.00	187 of 343 - Sale <sup>25</sup>
	0.241	83.55	09/08/20	142.52	58.97 W	0.00	188 of 343 - Sale <sup>25</sup>
	0.001	0.26	09/08/20	0.47	0.21 W	0.00	189 of 343 - Sale <sup>25</sup>
	0.002	0.56	09/08/20	1.29	0.73 W	0.00	190 of 343 - Sale <sup>25</sup>
	0.003	1.05	09/08/20	1.84	0.79 W	0.00	191 of 343 - Sale <sup>25</sup>
	0.003	1.07	09/08/20	1.50	0.43 W	0.00	192 of 343 - Sale <sup>25</sup>
	0.004	1.24	09/08/20	1.78	0.54 W	0.00	193 of 343 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.004	1.30	09/08/20	1.77	0.47 W	0.00	194 of 343 - Sale <sup>25</sup>
	0.006	2.12	09/08/20	3.08	0.96 W	0.00	195 of 343 - Sale <sup>25</sup>
	0.009	3.17	09/08/20	7.05	3.88 W	0.00	196 of 343 - Sale <sup>25</sup>
	0.010	3.38	09/08/20	5.90	2.52 W	0.00	197 of 343 - Sale <sup>25</sup>
	0.013	4.46	09/08/20	6.12	1.66 W	0.00	198 of 343 - Sale <sup>25</sup>
	0.013	4.65	09/08/20	8.86	4.21 W	0.00	199 of 343 - Sale <sup>25</sup>
	0.015	5.27	09/08/20	10.05	4.78 W	0.00	200 of 343 - Sale <sup>25</sup>
	0.017	5.99	09/08/20	10.36	4.37 W	0.00	201 of 343 - Sale <sup>25</sup>
	0.018	6.19	09/08/20	13.75	7.56 W	0.00	202 of 343 - Sale <sup>25</sup>
	0.032	10.94	09/08/20	16.20	5.26 W	0.00	203 of 343 - Sale <sup>25</sup>
	0.034	11.93	09/08/20	23.64	11.71 W	0.00	204 of 343 - Sale <sup>25</sup>
	0.035	11.95	09/08/20	27.21	15.26 W	0.00	205 of 343 - Sale <sup>25</sup>
	0.037	12.66	09/08/20	28.97	16.31 W	0.00	206 of 343 - Sale <sup>25</sup>
	0.039	13.59	09/08/20	30.04	16.45 W	0.00	207 of 343 - Sale <sup>25</sup>
	0.049	16.84	09/08/20	25.03	8.19 W	0.00	208 of 343 - Sale <sup>25</sup>
	0.056	19.45	09/08/20	33.65	14.20 W	0.00	209 of 343 - Sale <sup>25</sup>
	0.057	19.61	09/08/20	35.46	15.85 W	0.00	210 of 343 - Sale <sup>25</sup>
	0.058	20.06	09/08/20	34.65	14.59 W	0.00	211 of 343 - Sale <sup>25</sup>
	0.067	23.09	09/08/20	41.41	18.32 W	0.00	212 of 343 - Sale <sup>25</sup>
	0.086	29.68	09/08/20	56.80	27.12 W	0.00	213 of 343 - Sale <sup>25</sup>
	0.096	33.07	09/08/20	64.71	31.64 W	0.00	214 of 343 - Sale <sup>25</sup>
	0.001	0.18	09/08/20	0.48	0.30 W	0.00	215 of 343 - Sale <sup>25</sup>
	0.001	0.22	09/08/20	0.51	0.29 W	0.00	216 of 343 - Sale <sup>25</sup>
	0.001	0.37	09/08/20	0.49	0.12 W	0.00	217 of 343 - Sale <sup>25</sup>
	0.002	0.67	09/08/20	0.93	0.26 W	0.00	218 of 343 - Sale <sup>25</sup>
	0.002	0.68	09/08/20	1.83	1.15 W	0.00	219 of 343 - Sale <sup>25</sup>
	0.003	0.90	09/08/20	1.61	0.71 W	0.00	220 of 343 - Sale <sup>25</sup>
	0.004	1.27	09/08/20	3.39	2.12 W	0.00	221 of 343 - Sale <sup>25</sup>
	0.005	1.62	09/08/20	3.77	2.15 W	0.00	222 of 343 - Sale <sup>25</sup>
	0.006	2.21	09/08/20	5.32	3.11 W	0.00	223 of 343 - Sale <sup>25</sup>
	0.013	4.50	09/08/20	10.39	5.89 W	0.00	224 of 343 - Sale <sup>25</sup>
	0.020	6.87	09/08/20	12.27	5.40 W	0.00	225 of 343 - Sale <sup>25</sup>
	0.022	7.68	09/08/20	12.20	4.52 W	0.00	226 of 343 - Sale <sup>25</sup>
	0.025	8.76	09/08/20	22.97	14.21 W	0.00	227 of 343 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.033	11.57	09/08/20	19.75	8.18 W	0.00	228 of 343 - Sale <sup>25</sup>
	0.050	17.22	09/08/20	22.30	5.08 W	0.00	229 of 343 - Sale <sup>25</sup>
	0.055	19.05	09/08/20	50.21	31.16 W	0.00	230 of 343 - Sale <sup>25</sup>
	0.058	20.06	09/08/20	31.84	11.78 W	0.00	231 of 343 - Sale <sup>25</sup>
	0.060	20.83	09/08/20	56.60	35.77 W	0.00	232 of 343 - Sale <sup>25</sup>
	0.063	21.70	09/08/20	28.76	7.06 W	0.00	233 of 343 - Sale <sup>25</sup>
	0.063	21.84	09/08/20	52.42	30.58 W	0.00	234 of 343 - Sale <sup>25</sup>
	0.072	25.07	09/08/20	67.65	42.58 W	0.00	235 of 343 - Sale <sup>25</sup>
	0.075	25.98	09/08/20	69.59	43.61 W	0.00	236 of 343 - Sale <sup>25</sup>
	0.083	28.75	09/08/20	65.19	36.44 W	0.00	237 of 343 - Sale <sup>25</sup>
	0.089	30.97	09/08/20	52.88	21.91 W	0.00	238 of 343 - Sale <sup>25</sup>
	0.093	32.09	09/08/20	50.91	18.82 W	0.00	239 of 343 - Sale <sup>25</sup>
	0.117	40.52	09/08/20	109.25	68.73 W	0.00	240 of 343 - Sale <sup>25</sup>
	0.152	52.50	09/08/20	126.29	73.79 W	0.00	241 of 343 - Sale <sup>25</sup>
	0.230	79.51	09/08/20	106.32	26.81 W	0.00	242 of 343 - Sale <sup>25</sup>
	0.003	1.06	09/08/20	2.88	1.82 W	0.00	243 of 343 - Sale <sup>25</sup>
	0.010	3.32	09/08/20	6.94	3.62 W	0.00	244 of 343 - Sale <sup>25</sup>
	0.012	4.17	09/08/20	8.87	4.70 W	0.00	245 of 343 - Sale <sup>25</sup>
	0.018	6.21	09/08/20	16.82	10.61 W	0.00	246 of 343 - Sale <sup>25</sup>
	0.020	6.80	09/08/20	17.98	11.18 W	0.00	247 of 343 - Sale <sup>25</sup>
	0.023	7.90	09/08/20	20.91	13.01 W	0.00	248 of 343 - Sale <sup>25</sup>
	0.024	8.23	09/08/20	22.40	14.17 W	0.00	249 of 343 - Sale <sup>25</sup>
	0.029	10.14	09/08/20	25.72	15.58 W	0.00	250 of 343 - Sale <sup>25</sup>
	0.030	10.35	09/08/20	26.25	15.90 W	0.00	251 of 343 - Sale <sup>25</sup>
	0.083	28.80	09/08/20	73.14	44.34 W	0.00	252 of 343 - Sale <sup>25</sup>
	0.144	49.92	09/08/20	132.11	82.19 W	0.00	253 of 343 - Sale <sup>25</sup>
	0.167	57.78	09/08/20	151.76	93.98 W	0.00	254 of 343 - Sale <sup>25</sup>
	0.001	0.20	09/08/20	0.33	0.13 W	0.00	255 of 343 - Sale <sup>25</sup>
	0.001	0.21	09/08/20	0.35	0.14 W	0.00	256 of 343 - Sale <sup>25</sup>
	0.001	0.22	09/08/20	0.46	0.24 W	0.00	257 of 343 - Sale <sup>25</sup>
	0.001	0.41	09/08/20	0.74	0.33 W	0.00	258 of 343 - Sale <sup>25</sup>
	0.001	0.43	09/08/20	0.81	0.38 W	0.00	259 of 343 - Sale <sup>25</sup>
	0.004	1.29	09/08/20	2.66	1.37 W	0.00	260 of 343 - Sale <sup>25</sup>
	0.006	2.00	09/08/20	3.52	1.52 W	0.00	261 of 343 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.006	2.06	09/08/20	3.76	1.70 W	0.00	262 of 343 - Sale <sup>25</sup>
	0.006	2.20	09/08/20	4.07	1.87 W	0.00	263 of 343 - Sale <sup>25</sup>
	0.008	2.71	09/08/20	4.85	2.14 W	0.00	264 of 343 - Sale <sup>25</sup>
	0.009	2.98	09/08/20	5.45	2.47 W	0.00	265 of 343 - Sale <sup>25</sup>
	0.012	4.32	09/08/20	6.96	2.64 W	0.00	266 of 343 - Sale <sup>25</sup>
	0.013	4.56	09/08/20	8.17	3.61 W	0.00	267 of 343 - Sale <sup>25</sup>
	0.017	5.76	09/08/20	9.01	3.25 W	0.00	268 of 343 - Sale <sup>25</sup>
	0.026	8.93	09/08/20	13.59	4.66 W	0.00	269 of 343 - Sale <sup>25</sup>
	0.026	8.99	09/08/20	13.91	4.92 W	0.00	270 of 343 - Sale <sup>25</sup>
	0.035	12.27	09/08/20	24.85	12.58 W	0.00	271 of 343 - Sale <sup>25</sup>
	0.037	12.84	09/08/20	22.70	9.86 W	0.00	272 of 343 - Sale <sup>25</sup>
	0.038	13.19	09/08/20	22.52	9.33 W	0.00	273 of 343 - Sale <sup>25</sup>
	0.040	13.74	09/08/20	20.79	7.05 W	0.00	274 of 343 - Sale <sup>25</sup>
	0.040	13.85	09/08/20	22.30	8.45 W	0.00	275 of 343 - Sale <sup>25</sup>
	0.044	15.16	09/08/20	30.37	15.21 W	0.00	276 of 343 - Sale <sup>25</sup>
	0.049	17.02	09/08/20	30.42	13.40 W	0.00	277 of 343 - Sale <sup>25</sup>
	0.057	19.70	09/08/20	31.65	11.95 W	0.00	278 of 343 - Sale <sup>25</sup>
	0.057	19.72	09/08/20	33.82	14.10 W	0.00	279 of 343 - Sale <sup>25</sup>
	0.064	22.02	09/08/20	39.34	17.32 W	0.00	280 of 343 - Sale <sup>25</sup>
	0.068	23.67	09/08/20	41.45	17.78 W	0.00	281 of 343 - Sale <sup>25</sup>
	0.072	25.06	09/08/20	53.86	28.80 W	0.00	282 of 343 - Sale <sup>25</sup>
	0.074	25.52	09/08/20	44.95	19.43 W	0.00	283 of 343 - Sale <sup>25</sup>
	0.075	26.07	09/08/20	45.30	19.23 W	0.00	284 of 343 - Sale <sup>25</sup>
	0.077	26.56	09/08/20	50.30	23.74 W	0.00	285 of 343 - Sale <sup>25</sup>
	0.078	27.15	09/08/20	52.85	25.70 W	0.00	286 of 343 - Sale <sup>25</sup>
	0.079	27.20	09/08/20	44.14	16.94 W	0.00	287 of 343 - Sale <sup>25</sup>
	0.080	27.72	09/08/20	44.98	17.26 W	0.00	288 of 343 - Sale <sup>25</sup>
	0.082	28.51	09/08/20	61.34	32.83 W	0.00	289 of 343 - Sale <sup>25</sup>
	0.084	29.02	09/08/20	53.53	24.51 W	0.00	290 of 343 - Sale <sup>25</sup>
	0.088	30.57	09/08/20	54.00	23.43 W	0.00	291 of 343 - Sale <sup>25</sup>
	0.094	32.35	09/08/20	55.85	23.50 W	0.00	292 of 343 - Sale <sup>25</sup>
	0.105	36.27	09/08/20	58.32	22.05 W	0.00	293 of 343 - Sale <sup>25</sup>
	0.117	40.50	09/08/20	72.29	31.79 W	0.00	294 of 343 - Sale <sup>25</sup>
	0.126	43.53	09/08/20	69.60	26.07 W	0.00	295 of 343 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.241	83.39	09/08/20	134.13	50.74 W	0.00	296 of 343 - Sale <sup>25</sup>
	0.273	94.52	09/08/20	152.03	57.51 W	0.00	297 of 343 - Sale <sup>25</sup>
	0.274	94.83	09/08/20	161.96	67.13 W	0.00	298 of 343 - Sale <sup>25</sup>
	0.440	152.37	09/08/20	245.28	92.91 W	0.00	299 of 343 - Sale <sup>25</sup>
	0.003	1.14	09/08/20	1.74	0.60 W	0.00	300 of 343 - Sale <sup>25</sup>
	0.005	1.68	09/08/20	2.24	0.56 W	0.00	301 of 343 - Sale <sup>25</sup>
	0.007	2.57	09/08/20	3.37	0.80 W	0.00	302 of 343 - Sale <sup>25</sup>
	0.009	3.06	09/08/20	5.41	2.35 W	0.00	303 of 343 - Sale <sup>25</sup>
	0.011	3.80	09/08/20	6.73	2.93 W	0.00	304 of 343 - Sale <sup>25</sup>
	0.015	5.20	09/08/20	7.14	1.94 W	0.00	305 of 343 - Sale <sup>25</sup>
	0.017	5.98	09/08/20	12.03	6.05 W	0.00	306 of 343 - Sale <sup>25</sup>
	0.035	12.18	09/08/20	21.86	9.68 W	0.00	307 of 343 - Sale <sup>25</sup>
	0.041	14.18	09/08/20	25.45	11.27 W	0.00	308 of 343 - Sale <sup>25</sup>
	0.041	14.35	09/08/20	22.33	7.98 W	0.00	309 of 343 - Sale <sup>25</sup>
	0.045	15.42	09/08/20	27.35	11.93 W	0.00	310 of 343 - Sale <sup>25</sup>
	0.047	16.42	09/08/20	29.20	12.78 W	0.00	311 of 343 - Sale <sup>25</sup>
	0.062	21.44	09/08/20	37.96	16.52 W	0.00	312 of 343 - Sale <sup>25</sup>
	0.064	22.03	09/08/20	27.13	5.10 W	0.00	313 of 343 - Sale <sup>25</sup>
	0.089	30.70	09/08/20	54.53	23.83 W	0.00	314 of 343 - Sale <sup>25</sup>
	0.137	47.34	09/08/20	84.58	37.24 W	0.00	315 of 343 - Sale <sup>25</sup>
	0.156	54.13	09/08/20	84.93	30.80 W	0.00	316 of 343 - Sale <sup>25</sup>
	0.188	65.14	09/08/20	121.08	55.94 W	0.00	317 of 343 - Sale <sup>25</sup>
	0.005	1.74	09/08/20	2.72	0.98 W	0.00	318 of 343 - Sale <sup>25</sup>
	0.012	4.26	09/08/20	7.70	3.44 W	0.00	319 of 343 - Sale <sup>25</sup>
	0.016	5.57	09/08/20	9.66	4.09 W	0.00	320 of 343 - Sale <sup>25</sup>
	0.018	6.10	09/08/20	9.99	3.89 W	0.00	321 of 343 - Sale <sup>25</sup>
	0.045	15.71	09/08/20	32.06	16.35 W	0.00	322 of 343 - Sale <sup>25</sup>
	0.054	18.62	09/08/20	31.58	12.96 W	0.00	323 of 343 - Sale <sup>25</sup>
	0.054	18.82	09/08/20	29.87	11.05 W	0.00	324 of 343 - Sale <sup>25</sup>
	0.088	30.31	09/08/20	52.66	22.35 W	0.00	325 of 343 - Sale <sup>25</sup>
	0.166	57.33	09/08/20	97.55	40.22 W	0.00	326 of 343 - Sale <sup>25</sup>
	0.181	62.55	09/08/20	127.71	65.16 W	0.00	327 of 343 - Sale <sup>25</sup>
	0.000	0.15	09/08/20	0.19	0.04 W	0.00	328 of 343 - Sale <sup>25</sup>
	0.004	1.27	09/08/20	1.58	0.31 W	0.00	329 of 343 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.024	8.24	09/08/20	10.19	1.95 W	0.00	330 of 343 - Sale <sup>25</sup>
	0.054	18.57	09/08/20	23.13	4.56 W	0.00	331 of 343 - Sale <sup>25</sup>
	0.105	36.23	09/08/20	44.86	8.63 W	0.00	332 of 343 - Sale <sup>25</sup>
	0.171	59.27	09/08/20	73.87	14.60 W	0.00	333 of 343 - Sale <sup>25</sup>
	0.225	77.68	09/08/20	98.59	20.91 W	0.00	334 of 343 - Sale <sup>25</sup>
	0.225	77.73	09/08/20	98.20	20.47 W	0.00	335 of 343 - Sale <sup>25</sup>
	0.290	100.50	09/08/20	124.22	23.72 W	0.00	336 of 343 - Sale <sup>25</sup>
	0.547	189.19	09/08/20	235.97	46.78 W	0.00	337 of 343 - Sale <sup>25</sup>
	1.000	346.02	09/08/20	433.83	87.81 W	0.00	338 of 343 - Sale <sup>25</sup>
	0.004	1.27	09/08/20	1.57	0.30 W	0.00	339 of 343 - Sale <sup>25</sup>
	0.547	189.19	09/08/20	240.09	50.90 W	0.00	340 of 343 - Sale <sup>25</sup>
	0.806	278.78	09/08/20	345.90	67.12 W	0.00	341 of 343 - Sale <sup>25</sup>
	0.842	291.47	09/08/20	358.31	66.84 W	0.00	342 of 343 - Sale <sup>25</sup>
	1.000	346.02	09/08/20	428.41	82.39 W	0.00	343 of 343 - Sale <sup>25</sup>
09/08/20	25.190	8,777.41	Various	14,364.10	5,586.69 W	0.00	Total of 343 transactions
141 transactions for 09/09/20. Total proceeds and cost reported to the IRS.							
	0.004	1.30	09/08/20	2.52	1.22 W	0.00	1 of 141 - Sale <sup>25</sup>
	0.006	2.01	09/08/20	3.66	1.65 W	0.00	2 of 141 - Sale <sup>25</sup>
	0.016	5.45	09/08/20	10.09	4.64 W	0.00	3 of 141 - Sale <sup>25</sup>
	0.023	8.15	09/08/20	15.09	6.94 W	0.00	4 of 141 - Sale <sup>25</sup>
	0.034	12.08	09/08/20	22.94	10.86 W	0.00	5 of 141 - Sale <sup>25</sup>
	0.076	26.59	09/08/20	49.05	22.46 W	0.00	6 of 141 - Sale <sup>25</sup>
	0.159	55.58	09/08/20	101.84	46.26 W	0.00	7 of 141 - Sale <sup>25</sup>
	0.001	0.47	09/08/20	0.87	0.40 W	0.00	8 of 141 - Sale <sup>25</sup>
	0.025	8.71	09/08/20	15.95	7.24 W	0.00	9 of 141 - Sale <sup>25</sup>
	0.026	9.23	09/08/20	14.29	5.06 W	0.00	10 of 141 - Sale <sup>25</sup>
	0.044	15.38	09/08/20	24.75	9.37 W	0.00	11 of 141 - Sale <sup>25</sup>
	0.062	21.75	09/08/20	39.28	17.53 W	0.00	12 of 141 - Sale <sup>25</sup>
	0.067	23.52	09/08/20	38.37	14.85 W	0.00	13 of 141 - Sale <sup>25</sup>
	0.068	23.97	09/08/20	43.77	19.80 W	0.00	14 of 141 - Sale <sup>25</sup>
	0.074	25.84	09/08/20	41.54	15.70 W	0.00	15 of 141 - Sale <sup>25</sup>
	0.000	0.06	09/08/20	0.09	0.03 W	0.00	16 of 141 - Sale <sup>25</sup>
	0.001	0.43	09/08/20	0.65	0.22 W	0.00	17 of 141 - Sale <sup>25</sup>
	0.002	0.62	09/08/20	0.94	0.32 W	0.00	18 of 141 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.005	1.85	09/08/20	3.24	1.39 W	0.00	19 of 141 - Sale <sup>25</sup>
	0.006	2.26	09/08/20	3.97	1.71 W	0.00	20 of 141 - Sale <sup>25</sup>
	0.010	3.56	09/08/20	5.21	1.65 W	0.00	21 of 141 - Sale <sup>25</sup>
	0.010	3.66	09/08/20	6.95	3.29 W	0.00	22 of 141 - Sale <sup>25</sup>
	0.019	6.75	09/08/20	10.40	3.65 W	0.00	23 of 141 - Sale <sup>25</sup>
	0.040	14.01	09/08/20	23.92	9.91 W	0.00	24 of 141 - Sale <sup>25</sup>
	0.046	15.97	09/08/20	24.70	8.73 W	0.00	25 of 141 - Sale <sup>25</sup>
	0.046	16.20	09/08/20	30.32	14.12 W	0.00	26 of 141 - Sale <sup>25</sup>
	0.050	17.57	09/08/20	27.03	9.46 W	0.00	27 of 141 - Sale <sup>25</sup>
	0.055	19.42	09/08/20	33.16	13.74 W	0.00	28 of 141 - Sale <sup>25</sup>
	0.082	28.58	09/08/20	42.43	13.85 W	0.00	29 of 141 - Sale <sup>25</sup>
	0.106	37.11	09/08/20	64.93	27.82 W	0.00	30 of 141 - Sale <sup>25</sup>
	0.135	47.34	09/08/20	71.91	24.57 W	0.00	31 of 141 - Sale <sup>25</sup>
	0.156	54.53	09/08/20	84.13	29.60 W	0.00	32 of 141 - Sale <sup>25</sup>
	0.241	84.54	09/08/20	144.41	59.87 W	0.00	33 of 141 - Sale <sup>25</sup>
	0.001	0.27	09/08/20	0.48	0.21 W	0.00	34 of 141 - Sale <sup>25</sup>
	0.002	0.57	09/08/20	1.30	0.73 W	0.00	35 of 141 - Sale <sup>25</sup>
	0.003	1.06	09/08/20	1.87	0.81 W	0.00	36 of 141 - Sale <sup>25</sup>
	0.003	1.09	09/08/20	1.53	0.44 W	0.00	37 of 141 - Sale <sup>25</sup>
	0.004	1.25	09/08/20	1.81	0.56 W	0.00	38 of 141 - Sale <sup>25</sup>
	0.004	1.32	09/08/20	1.80	0.48 W	0.00	39 of 141 - Sale <sup>25</sup>
	0.006	2.15	09/08/20	3.13	0.98 W	0.00	40 of 141 - Sale <sup>25</sup>
	0.009	3.21	09/08/20	7.13	3.92 W	0.00	41 of 141 - Sale <sup>25</sup>
	0.010	3.42	09/08/20	5.97	2.55 W	0.00	42 of 141 - Sale <sup>25</sup>
	0.013	4.51	09/08/20	6.22	1.71 W	0.00	43 of 141 - Sale <sup>25</sup>
	0.013	4.70	09/08/20	8.97	4.27 W	0.00	44 of 141 - Sale <sup>25</sup>
	0.015	5.33	09/08/20	10.17	4.84 W	0.00	45 of 141 - Sale <sup>25</sup>
	0.017	6.06	09/08/20	10.49	4.43 W	0.00	46 of 141 - Sale <sup>25</sup>
	0.018	6.27	09/08/20	13.89	7.62 W	0.00	47 of 141 - Sale <sup>25</sup>
	0.032	11.07	09/08/20	16.45	5.38 W	0.00	48 of 141 - Sale <sup>25</sup>
	0.034	12.08	09/08/20	23.92	11.84 W	0.00	49 of 141 - Sale <sup>25</sup>
	0.035	12.09	09/08/20	27.48	15.39 W	0.00	50 of 141 - Sale <sup>25</sup>
	0.037	12.81	09/08/20	29.26	16.45 W	0.00	51 of 141 - Sale <sup>25</sup>
	0.039	13.75	09/08/20	30.35	16.60 W	0.00	52 of 141 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.049	17.04	09/08/20	25.41	8.37 W	0.00	53 of 141 - Sale <sup>25</sup>
	0.056	19.68	09/08/20	34.09	14.41 W	0.00	54 of 141 - Sale <sup>25</sup>
	0.057	19.85	09/08/20	35.91	16.06 W	0.00	55 of 141 - Sale <sup>25</sup>
	0.058	20.30	09/08/20	35.11	14.81 W	0.00	56 of 141 - Sale <sup>25</sup>
	0.067	23.36	09/08/20	41.93	18.57 W	0.00	57 of 141 - Sale <sup>25</sup>
	0.086	30.03	09/08/20	57.47	27.44 W	0.00	58 of 141 - Sale <sup>25</sup>
	0.096	33.46	09/08/20	65.46	32.00 W	0.00	59 of 141 - Sale <sup>25</sup>
	0.001	0.18	09/08/20	0.49	0.31 W	0.00	60 of 141 - Sale <sup>25</sup>
	0.001	0.23	09/08/20	0.52	0.29 W	0.00	61 of 141 - Sale <sup>25</sup>
	0.001	0.37	09/08/20	0.50	0.13 W	0.00	62 of 141 - Sale <sup>25</sup>
	0.002	0.68	09/08/20	0.95	0.27 W	0.00	63 of 141 - Sale <sup>25</sup>
	0.002	0.69	09/08/20	1.84	1.15 W	0.00	64 of 141 - Sale <sup>25</sup>
	0.003	0.91	09/08/20	1.63	0.72 W	0.00	65 of 141 - Sale <sup>25</sup>
	0.004	1.28	09/08/20	3.41	2.13 W	0.00	66 of 141 - Sale <sup>25</sup>
	0.005	1.64	09/08/20	3.80	2.16 W	0.00	67 of 141 - Sale <sup>25</sup>
	0.006	2.23	09/08/20	5.37	3.14 W	0.00	68 of 141 - Sale <sup>25</sup>
	0.013	4.55	09/08/20	10.49	5.94 W	0.00	69 of 141 - Sale <sup>25</sup>
	0.020	6.95	09/08/20	12.43	5.48 W	0.00	70 of 141 - Sale <sup>25</sup>
	0.022	7.77	09/08/20	12.37	4.60 W	0.00	71 of 141 - Sale <sup>25</sup>
	0.025	8.87	09/08/20	23.17	14.30 W	0.00	72 of 141 - Sale <sup>25</sup>
	0.033	11.71	09/08/20	20.01	8.30 W	0.00	73 of 141 - Sale <sup>25</sup>
	0.050	17.42	09/08/20	22.69	5.27 W	0.00	74 of 141 - Sale <sup>25</sup>
	0.055	19.28	09/08/20	50.64	31.36 W	0.00	75 of 141 - Sale <sup>25</sup>
	0.058	20.30	09/08/20	32.29	11.99 W	0.00	76 of 141 - Sale <sup>25</sup>
	0.060	21.08	09/08/20	57.08	36.00 W	0.00	77 of 141 - Sale <sup>25</sup>
	0.063	21.95	09/08/20	29.25	7.30 W	0.00	78 of 141 - Sale <sup>25</sup>
	0.063	22.10	09/08/20	52.91	30.81 W	0.00	79 of 141 - Sale <sup>25</sup>
	0.072	25.37	09/08/20	68.22	42.85 W	0.00	80 of 141 - Sale <sup>25</sup>
	0.075	26.29	09/08/20	70.18	43.89 W	0.00	81 of 141 - Sale <sup>25</sup>
	0.083	29.09	09/08/20	65.84	36.75 W	0.00	82 of 141 - Sale <sup>25</sup>
	0.089	31.33	09/08/20	53.58	22.25 W	0.00	83 of 141 - Sale <sup>25</sup>
	0.093	32.48	09/08/20	51.64	19.16 W	0.00	84 of 141 - Sale <sup>25</sup>
	0.117	41.00	09/08/20	110.17	69.17 W	0.00	85 of 141 - Sale <sup>25</sup>
	0.152	53.12	09/08/20	127.47	74.35 W	0.00	86 of 141 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.230	80.45	09/08/20	108.12	27.67 W	0.00	87 of 141 - Sale <sup>25</sup>
	0.003	1.08	09/08/20	2.91	1.83 W	0.00	88 of 141 - Sale <sup>25</sup>
	0.010	3.36	09/08/20	7.01	3.65 W	0.00	89 of 141 - Sale <sup>25</sup>
	0.012	4.22	09/08/20	8.96	4.74 W	0.00	90 of 141 - Sale <sup>25</sup>
	0.018	6.29	09/08/20	16.96	10.67 W	0.00	91 of 141 - Sale <sup>25</sup>
	0.020	6.89	09/08/20	18.14	11.25 W	0.00	92 of 141 - Sale <sup>25</sup>
	0.023	7.99	09/08/20	21.09	13.10 W	0.00	93 of 141 - Sale <sup>25</sup>
	0.024	8.33	09/08/20	22.59	14.26 W	0.00	94 of 141 - Sale <sup>25</sup>
	0.029	10.26	09/08/20	25.95	15.69 W	0.00	95 of 141 - Sale <sup>25</sup>
	0.030	10.47	09/08/20	26.49	16.02 W	0.00	96 of 141 - Sale <sup>25</sup>
	0.083	29.14	09/08/20	73.79	44.65 W	0.00	97 of 141 - Sale <sup>25</sup>
	0.144	50.51	09/08/20	133.24	82.73 W	0.00	98 of 141 - Sale <sup>25</sup>
	0.167	58.46	09/08/20	153.07	94.61 W	0.00	99 of 141 - Sale <sup>25</sup>
	0.001	0.20	09/08/20	0.33	0.13 W	0.00	100 of 141 - Sale <sup>25</sup>
	0.001	0.22	09/08/20	0.36	0.14 W	0.00	101 of 141 - Sale <sup>25</sup>
	0.001	0.23	09/08/20	0.47	0.24 W	0.00	102 of 141 - Sale <sup>25</sup>
	0.001	0.42	09/08/20	0.75	0.33 W	0.00	103 of 141 - Sale <sup>25</sup>
	0.001	0.43	09/08/20	0.82	0.39 W	0.00	104 of 141 - Sale <sup>25</sup>
	0.004	1.30	09/08/20	2.69	1.39 W	0.00	105 of 141 - Sale <sup>25</sup>
	0.006	2.02	09/08/20	3.56	1.54 W	0.00	106 of 141 - Sale <sup>25</sup>
	0.006	2.08	09/08/20	3.81	1.73 W	0.00	107 of 141 - Sale <sup>25</sup>
	0.006	2.23	09/08/20	4.12	1.89 W	0.00	108 of 141 - Sale <sup>25</sup>
	0.008	2.74	09/08/20	4.91	2.17 W	0.00	109 of 141 - Sale <sup>25</sup>
	0.009	3.02	09/08/20	5.52	2.50 W	0.00	110 of 141 - Sale <sup>25</sup>
	0.013	4.62	09/08/20	8.27	3.65 W	0.00	111 of 141 - Sale <sup>25</sup>
	0.017	5.83	09/08/20	9.14	3.31 W	0.00	112 of 141 - Sale <sup>25</sup>
	0.026	9.03	09/08/20	13.79	4.76 W	0.00	113 of 141 - Sale <sup>25</sup>
	0.026	9.09	09/08/20	14.11	5.02 W	0.00	114 of 141 - Sale <sup>25</sup>
	0.035	12.41	09/08/20	25.13	12.72 W	0.00	115 of 141 - Sale <sup>25</sup>
	0.037	13.00	09/08/20	22.99	9.99 W	0.00	116 of 141 - Sale <sup>25</sup>
	0.038	13.35	09/08/20	22.82	9.47 W	0.00	117 of 141 - Sale <sup>25</sup>
	0.040	13.91	09/08/20	21.10	7.19 W	0.00	118 of 141 - Sale <sup>25</sup>
	0.044	15.34	09/08/20	30.71	15.37 W	0.00	119 of 141 - Sale <sup>25</sup>
	0.049	17.22	09/08/20	30.80	13.58 W	0.00	120 of 141 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.057	19.93	09/08/20	32.10	12.17 W	0.00	121 of 141 - Sale <sup>25</sup>
	0.057	19.95	09/08/20	34.26	14.31 W	0.00	122 of 141 - Sale <sup>25</sup>
	0.064	22.28	09/08/20	39.84	17.56 W	0.00	123 of 141 - Sale <sup>25</sup>
	0.068	23.95	09/08/20	41.99	18.04 W	0.00	124 of 141 - Sale <sup>25</sup>
	0.072	25.36	09/08/20	54.43	29.07 W	0.00	125 of 141 - Sale <sup>25</sup>
	0.074	25.82	09/08/20	45.52	19.70 W	0.00	126 of 141 - Sale <sup>25</sup>
	0.075	26.38	09/08/20	45.89	19.51 W	0.00	127 of 141 - Sale <sup>25</sup>
	0.077	26.88	09/08/20	50.91	24.03 W	0.00	128 of 141 - Sale <sup>25</sup>
	0.078	27.47	09/08/20	53.46	25.99 W	0.00	129 of 141 - Sale <sup>25</sup>
	0.079	27.53	09/08/20	44.76	17.23 W	0.00	130 of 141 - Sale <sup>25</sup>
	0.080	28.05	09/08/20	45.61	17.56 W	0.00	131 of 141 - Sale <sup>25</sup>
	0.082	28.85	09/08/20	61.99	33.14 W	0.00	132 of 141 - Sale <sup>25</sup>
	0.084	29.36	09/08/20	54.19	24.83 W	0.00	133 of 141 - Sale <sup>25</sup>
	0.088	30.93	09/08/20	54.69	23.76 W	0.00	134 of 141 - Sale <sup>25</sup>
	0.094	32.74	09/08/20	56.59	23.85 W	0.00	135 of 141 - Sale <sup>25</sup>
	0.105	36.70	09/08/20	59.14	22.44 W	0.00	136 of 141 - Sale <sup>25</sup>
	0.117	40.98	09/08/20	73.21	32.23 W	0.00	137 of 141 - Sale <sup>25</sup>
	0.241	84.37	09/08/20	136.02	51.65 W	0.00	138 of 141 - Sale <sup>25</sup>
	0.273	95.64	09/08/20	154.17	58.53 W	0.00	139 of 141 - Sale <sup>25</sup>
	0.274	95.95	09/08/20	164.11	68.16 W	0.00	140 of 141 - Sale <sup>25</sup>
	0.073	25.53	09/08/20	41.19	15.66 W	0.00	141 of 141 - Sale <sup>25</sup>
09/09/20	7.000	2,450.88	Various	4,577.53	2,126.65 W	0.00	Total of 141 transactions
	46 transactions for 09/10/20. Total proceeds and cost reported to the IRS.						
	0.012	4.78	09/08/20	7.06	2.28 W	0.00	1 of 46 - Sale <sup>25</sup>
	0.040	15.32	09/08/20	22.61	7.29 W	0.00	2 of 46 - Sale <sup>25</sup>
	0.126	48.15	09/08/20	70.58	22.43 W	0.00	3 of 46 - Sale <sup>25</sup>
	0.367	140.65	09/08/20	207.54	66.89 W	0.00	4 of 46 - Sale <sup>25</sup>
	0.003	1.26	09/08/20	1.76	0.50 W	0.00	5 of 46 - Sale <sup>25</sup>
	0.005	1.86	09/08/20	2.28	0.42 W	0.00	6 of 46 - Sale <sup>25</sup>
	0.007	2.84	09/08/20	3.42	0.58 W	0.00	7 of 46 - Sale <sup>25</sup>
	0.009	3.39	09/08/20	5.48	2.09 W	0.00	8 of 46 - Sale <sup>25</sup>
	0.011	4.20	09/08/20	6.81	2.61 W	0.00	9 of 46 - Sale <sup>25</sup>
	0.015	5.76	09/08/20	7.26	1.50 W	0.00	10 of 46 - Sale <sup>25</sup>
	0.017	6.62	09/08/20	12.17	5.55 W	0.00	11 of 46 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.035		13.47	09/08/20	22.13	8.66 W	0.00	12 of 46 - Sale <sup>25</sup>
0.041		15.69	09/08/20	25.78	10.09 W	0.00	13 of 46 - Sale <sup>25</sup>
0.041		15.87	09/08/20	22.65	6.78 W	0.00	14 of 46 - Sale <sup>25</sup>
0.045		17.06	09/08/20	27.70	10.64 W	0.00	15 of 46 - Sale <sup>25</sup>
0.047		18.17	09/08/20	29.57	11.40 W	0.00	16 of 46 - Sale <sup>25</sup>
0.062		23.72	09/08/20	38.45	14.73 W	0.00	17 of 46 - Sale <sup>25</sup>
0.064		24.37	09/08/20	27.63	3.26 W	0.00	18 of 46 - Sale <sup>25</sup>
0.089		33.96	09/08/20	55.22	21.26 W	0.00	19 of 46 - Sale <sup>25</sup>
0.137		52.37	09/08/20	85.65	33.28 W	0.00	20 of 46 - Sale <sup>25</sup>
0.156		59.89	09/08/20	86.16	26.27 W	0.00	21 of 46 - Sale <sup>25</sup>
0.188		72.06	09/08/20	122.56	50.50 W	0.00	22 of 46 - Sale <sup>25</sup>
0.005		1.93	09/08/20	2.76	0.83 W	0.00	23 of 46 - Sale <sup>25</sup>
0.012		4.71	09/08/20	7.79	3.08 W	0.00	24 of 46 - Sale <sup>25</sup>
0.016		6.16	09/08/20	9.78	3.62 W	0.00	25 of 46 - Sale <sup>25</sup>
0.018		6.75	09/08/20	10.13	3.38 W	0.00	26 of 46 - Sale <sup>25</sup>
0.045		17.38	09/08/20	32.42	15.04 W	0.00	27 of 46 - Sale <sup>25</sup>
0.054		20.60	09/08/20	32.00	11.40 W	0.00	28 of 46 - Sale <sup>25</sup>
0.054		20.82	09/08/20	30.29	9.47 W	0.00	29 of 46 - Sale <sup>25</sup>
0.088		33.53	09/08/20	53.34	19.81 W	0.00	30 of 46 - Sale <sup>25</sup>
0.166		63.42	09/08/20	98.85	35.43 W	0.00	31 of 46 - Sale <sup>25</sup>
0.181		69.20	09/08/20	129.13	59.93 W	0.00	32 of 46 - Sale <sup>25</sup>
0.000		0.17	09/08/20	0.20	0.03 W	0.00	33 of 46 - Sale <sup>25</sup>
0.004		1.40	09/08/20	1.60	0.20 W	0.00	34 of 46 - Sale <sup>25</sup>
0.024		9.11	09/08/20	10.38	1.27 W	0.00	35 of 46 - Sale <sup>25</sup>
0.054		20.54	09/08/20	23.55	3.01 W	0.00	36 of 46 - Sale <sup>25</sup>
0.105		40.08	09/08/20	45.68	5.60 W	0.00	37 of 46 - Sale <sup>25</sup>
0.171		65.57	09/08/20	75.21	9.64 W	0.00	38 of 46 - Sale <sup>25</sup>
0.225		85.93	09/08/20	100.35	14.42 W	0.00	39 of 46 - Sale <sup>25</sup>
0.225		85.99	09/08/20	99.96	13.97 W	0.00	40 of 46 - Sale <sup>25</sup>
0.290		111.17	09/08/20	126.49	15.32 W	0.00	41 of 46 - Sale <sup>25</sup>
0.547		209.29	09/08/20	240.26	30.97 W	0.00	42 of 46 - Sale <sup>25</sup>
1.000		382.78	09/08/20	441.67	58.89 W	0.00	43 of 46 - Sale <sup>25</sup>
0.198		75.97	09/08/20	88.70	12.73 W	0.00	44 of 46 - Sale <sup>25</sup>
0.348		135.21	09/08/20	155.68	20.47 W	0.00	45 of 46 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.652	252.97	09/08/20	284.30	31.33 W	0.00	46 of 46 - Sale <sup>25</sup>
09/10/20	6.000	2,302.14	Various	2,990.99	688.85 W	0.00	Total of 46 transactions
09/11/20	3.000	1,119.90	09/08/20	1,061.59	...	58.31	Sale <sup>25</sup>
4 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	0.004	1.36	09/08/20	1.59	0.23 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.806	300.76	09/08/20	352.22	51.46 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.842	314.45	09/08/20	364.92	50.47 W	0.00	3 of 4 - Sale <sup>25</sup>
	0.348	130.02	09/08/20	151.95	21.93 W	0.00	4 of 4 - Sale <sup>25</sup>
09/11/20	2.000	746.59	Various	870.68	124.09 W	0.00	Total of 4 transactions
09/14/20	1.000	409.28	09/08/20	353.86	...	55.42	Sale <sup>25</sup>
09/15/20	5.000	2,244.16	09/08/20	1,769.31	...	474.85	Sale <sup>25</sup>
104 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	0.004	1.67	09/08/20	2.52	0.85 W	0.00	1 of 104 - Sale <sup>25</sup>
	0.006	2.58	09/08/20	3.66	1.08 W	0.00	2 of 104 - Sale <sup>25</sup>
	0.016	6.99	09/08/20	10.11	3.12 W	0.00	3 of 104 - Sale <sup>25</sup>
	0.023	10.44	09/08/20	15.11	4.67 W	0.00	4 of 104 - Sale <sup>25</sup>
	0.034	15.48	09/08/20	22.97	7.49 W	0.00	5 of 104 - Sale <sup>25</sup>
	0.076	34.09	09/08/20	49.12	15.03 W	0.00	6 of 104 - Sale <sup>25</sup>
	0.159	71.25	09/08/20	101.98	30.73 W	0.00	7 of 104 - Sale <sup>25</sup>
	0.001	0.60	09/08/20	0.87	0.27 W	0.00	8 of 104 - Sale <sup>25</sup>
	0.025	11.16	09/08/20	15.97	4.81 W	0.00	9 of 104 - Sale <sup>25</sup>
	0.026	11.84	09/08/20	14.32	2.48 W	0.00	10 of 104 - Sale <sup>25</sup>
	0.044	19.72	09/08/20	24.79	5.07 W	0.00	11 of 104 - Sale <sup>25</sup>
	0.062	27.88	09/08/20	39.33	11.45 W	0.00	12 of 104 - Sale <sup>25</sup>
	0.067	30.15	09/08/20	38.43	8.28 W	0.00	13 of 104 - Sale <sup>25</sup>
	0.068	30.73	09/08/20	43.83	13.10 W	0.00	14 of 104 - Sale <sup>25</sup>
	0.074	33.13	09/08/20	41.61	8.48 W	0.00	15 of 104 - Sale <sup>25</sup>
	0.000	0.07	09/08/20	0.09	0.02 W	0.00	16 of 104 - Sale <sup>25</sup>
	0.001	0.55	09/08/20	0.65	0.10 W	0.00	17 of 104 - Sale <sup>25</sup>
	0.002	0.80	09/08/20	0.94	0.14 W	0.00	18 of 104 - Sale <sup>25</sup>
	0.005	2.38	09/08/20	3.25	0.87 W	0.00	19 of 104 - Sale <sup>25</sup>
	0.006	2.90	09/08/20	3.98	1.08 W	0.00	20 of 104 - Sale <sup>25</sup>
	0.010	4.56	09/08/20	5.22	0.66 W	0.00	21 of 104 - Sale <sup>25</sup>
	0.010	4.69	09/08/20	6.96	2.27 W	0.00	22 of 104 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.019		8.65	09/08/20	10.42	1.77 W	0.00	23 of 104 - Sale <sup>25</sup>
0.040		17.96	09/08/20	23.96	6.00 W	0.00	24 of 104 - Sale <sup>25</sup>
0.046		20.48	09/08/20	24.74	4.26 W	0.00	25 of 104 - Sale <sup>25</sup>
0.046		20.76	09/08/20	30.36	9.60 W	0.00	26 of 104 - Sale <sup>25</sup>
0.050		22.52	09/08/20	27.07	4.55 W	0.00	27 of 104 - Sale <sup>25</sup>
0.055		24.89	09/08/20	33.21	8.32 W	0.00	28 of 104 - Sale <sup>25</sup>
0.082		36.64	09/08/20	42.50	5.86 W	0.00	29 of 104 - Sale <sup>25</sup>
0.106		47.58	09/08/20	65.03	17.45 W	0.00	30 of 104 - Sale <sup>25</sup>
0.135		60.68	09/08/20	72.03	11.35 W	0.00	31 of 104 - Sale <sup>25</sup>
0.156		69.90	09/08/20	84.26	14.36 W	0.00	32 of 104 - Sale <sup>25</sup>
0.241		108.37	09/08/20	144.62	36.25 W	0.00	33 of 104 - Sale <sup>25</sup>
0.001		0.34	09/08/20	0.48	0.14 W	0.00	34 of 104 - Sale <sup>25</sup>
0.002		0.72	09/08/20	1.30	0.58 W	0.00	35 of 104 - Sale <sup>25</sup>
0.003		1.36	09/08/20	1.88	0.52 W	0.00	36 of 104 - Sale <sup>25</sup>
0.003		1.39	09/08/20	1.53	0.14 W	0.00	37 of 104 - Sale <sup>25</sup>
0.004		1.61	09/08/20	1.82	0.21 W	0.00	38 of 104 - Sale <sup>25</sup>
0.004		1.69	09/08/20	1.80	0.11 W	0.00	39 of 104 - Sale <sup>25</sup>
0.006		2.75	09/08/20	3.13	0.38 W	0.00	40 of 104 - Sale <sup>25</sup>
0.009		4.12	09/08/20	7.14	3.02 W	0.00	41 of 104 - Sale <sup>25</sup>
0.010		4.38	09/08/20	5.98	1.60 W	0.00	42 of 104 - Sale <sup>25</sup>
0.013		5.79	09/08/20	6.24	0.45 W	0.00	43 of 104 - Sale <sup>25</sup>
0.013		6.03	09/08/20	8.99	2.96 W	0.00	44 of 104 - Sale <sup>25</sup>
0.015		6.84	09/08/20	10.19	3.35 W	0.00	45 of 104 - Sale <sup>25</sup>
0.017		7.77	09/08/20	10.50	2.73 W	0.00	46 of 104 - Sale <sup>25</sup>
0.018		8.03	09/08/20	13.90	5.87 W	0.00	47 of 104 - Sale <sup>25</sup>
0.032		14.19	09/08/20	16.47	2.28 W	0.00	48 of 104 - Sale <sup>25</sup>
0.034		15.48	09/08/20	23.95	8.47 W	0.00	49 of 104 - Sale <sup>25</sup>
0.035		15.50	09/08/20	27.51	12.01 W	0.00	50 of 104 - Sale <sup>25</sup>
0.037		16.43	09/08/20	29.30	12.87 W	0.00	51 of 104 - Sale <sup>25</sup>
0.039		17.63	09/08/20	30.38	12.75 W	0.00	52 of 104 - Sale <sup>25</sup>
0.049		21.84	09/08/20	25.45	3.61 W	0.00	53 of 104 - Sale <sup>25</sup>
0.056		25.23	09/08/20	34.14	8.91 W	0.00	54 of 104 - Sale <sup>25</sup>
0.057		25.44	09/08/20	35.95	10.51 W	0.00	55 of 104 - Sale <sup>25</sup>
0.058		26.02	09/08/20	35.16	9.14 W	0.00	56 of 104 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.067		29.95	09/08/20	41.99	12.04 W	0.00	57 of 104 - Sale <sup>25</sup>
0.086		38.50	09/08/20	57.54	19.04 W	0.00	58 of 104 - Sale <sup>25</sup>
0.096		42.90	09/08/20	65.55	22.65 W	0.00	59 of 104 - Sale <sup>25</sup>
0.001		0.24	09/08/20	0.49	0.25 W	0.00	60 of 104 - Sale <sup>25</sup>
0.001		0.29	09/08/20	0.52	0.23 W	0.00	61 of 104 - Sale <sup>25</sup>
0.001		0.48	09/08/20	0.50	0.02 W	0.00	62 of 104 - Sale <sup>25</sup>
0.002		0.87	09/08/20	0.95	0.08 W	0.00	63 of 104 - Sale <sup>25</sup>
0.002		0.88	09/08/20	1.84	0.96 W	0.00	64 of 104 - Sale <sup>25</sup>
0.003		1.17	09/08/20	1.63	0.46 W	0.00	65 of 104 - Sale <sup>25</sup>
0.004		1.64	09/08/20	3.41	1.77 W	0.00	66 of 104 - Sale <sup>25</sup>
0.005		2.10	09/08/20	3.80	1.70 W	0.00	67 of 104 - Sale <sup>25</sup>
0.006		2.86	09/08/20	5.38	2.52 W	0.00	68 of 104 - Sale <sup>25</sup>
0.013		5.83	09/08/20	10.50	4.67 W	0.00	69 of 104 - Sale <sup>25</sup>
0.020		8.91	09/08/20	12.45	3.54 W	0.00	70 of 104 - Sale <sup>25</sup>
0.022		9.96	09/08/20	12.39	2.43 W	0.00	71 of 104 - Sale <sup>25</sup>
0.025		11.37	09/08/20	23.19	11.82 W	0.00	72 of 104 - Sale <sup>25</sup>
0.033		15.01	09/08/20	20.03	5.02 W	0.00	73 of 104 - Sale <sup>25</sup>
0.050		22.34	09/08/20	22.74	0.40 W	0.00	74 of 104 - Sale <sup>25</sup>
0.055		24.71	09/08/20	50.68	25.97 W	0.00	75 of 104 - Sale <sup>25</sup>
0.058		26.02	09/08/20	32.34	6.32 W	0.00	76 of 104 - Sale <sup>25</sup>
0.060		27.02	09/08/20	57.13	30.11 W	0.00	77 of 104 - Sale <sup>25</sup>
0.063		28.14	09/08/20	29.31	1.17 W	0.00	78 of 104 - Sale <sup>25</sup>
0.063		28.33	09/08/20	52.96	24.63 W	0.00	79 of 104 - Sale <sup>25</sup>
0.072		32.52	09/08/20	68.28	35.76 W	0.00	80 of 104 - Sale <sup>25</sup>
0.075		33.70	09/08/20	70.25	36.55 W	0.00	81 of 104 - Sale <sup>25</sup>
0.083		37.29	09/08/20	65.91	28.62 W	0.00	82 of 104 - Sale <sup>25</sup>
0.089		40.17	09/08/20	53.66	13.49 W	0.00	83 of 104 - Sale <sup>25</sup>
0.093		41.63	09/08/20	51.72	10.09 W	0.00	84 of 104 - Sale <sup>25</sup>
0.117		52.56	09/08/20	110.28	57.72 W	0.00	85 of 104 - Sale <sup>25</sup>
0.152		68.09	09/08/20	127.60	59.51 W	0.00	86 of 104 - Sale <sup>25</sup>
0.230		103.13	09/08/20	108.32	5.19 W	0.00	87 of 104 - Sale <sup>25</sup>
0.003		1.38	09/08/20	2.91	1.53 W	0.00	88 of 104 - Sale <sup>25</sup>
0.010		4.31	09/08/20	7.02	2.71 W	0.00	89 of 104 - Sale <sup>25</sup>
0.012		5.40	09/08/20	8.97	3.57 W	0.00	90 of 104 - Sale <sup>25</sup>

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(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.012	5.61	09/08/20	6.67	1.06 W	0.00	91 of 104 - Sale <sup>25</sup>
	0.018	8.06	09/08/20	16.97	8.91 W	0.00	92 of 104 - Sale <sup>25</sup>
	0.020	8.83	09/08/20	18.15	9.32 W	0.00	93 of 104 - Sale <sup>25</sup>
	0.023	10.25	09/08/20	21.11	10.86 W	0.00	94 of 104 - Sale <sup>25</sup>
	0.024	10.67	09/08/20	22.61	11.94 W	0.00	95 of 104 - Sale <sup>25</sup>
	0.029	13.15	09/08/20	25.97	12.82 W	0.00	96 of 104 - Sale <sup>25</sup>
	0.030	13.43	09/08/20	26.52	13.09 W	0.00	97 of 104 - Sale <sup>25</sup>
	0.040	17.96	09/08/20	21.34	3.38 W	0.00	98 of 104 - Sale <sup>25</sup>
	0.083	37.36	09/08/20	73.86	36.50 W	0.00	99 of 104 - Sale <sup>25</sup>
	0.126	56.45	09/08/20	66.58	10.13 W	0.00	100 of 104 - Sale <sup>25</sup>
	0.144	64.75	09/08/20	133.37	68.62 W	0.00	101 of 104 - Sale <sup>25</sup>
	0.167	74.94	09/08/20	153.22	78.28 W	0.00	102 of 104 - Sale <sup>25</sup>
	0.367	164.91	09/08/20	195.86	30.95 W	0.00	103 of 104 - Sale <sup>25</sup>
	0.034	15.41	09/08/20	21.28	5.87 W	0.00	104 of 104 - Sale <sup>25</sup>
09/15/20	5.000	2,244.15	Various	3,322.85	1,078.70 W	0.00	Total of 104 transactions
23 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	0.000	0.20	09/08/20	0.19	...	0.01	1 of 23 - Sale <sup>25</sup>
	0.005	2.16	09/08/20	2.12	...	0.04	2 of 23 - Sale <sup>25</sup>
	0.007	3.30	09/08/20	3.18	...	0.12	3 of 23 - Sale <sup>25</sup>
	0.004	1.64	09/08/20	1.51	...	0.13	4 of 23 - Sale <sup>25</sup>
	0.004	1.64	09/08/20	1.48	...	0.16	5 of 23 - Sale <sup>25</sup>
	0.024	10.59	09/08/20	9.63	...	0.96	6 of 23 - Sale <sup>25</sup>
	0.054	23.87	09/08/20	21.84	...	2.03	7 of 23 - Sale <sup>25</sup>
	0.064	28.32	09/08/20	25.60	...	2.72	8 of 23 - Sale <sup>25</sup>
	0.105	46.58	09/08/20	42.35	...	4.23	9 of 23 - Sale <sup>25</sup>
	0.171	76.20	09/08/20	69.76	...	6.44	10 of 23 - Sale <sup>25</sup>
	0.198	89.06	09/08/20	82.39	...	6.67	11 of 23 - Sale <sup>25</sup>
	0.198	88.28	09/08/20	80.90	...	7.38	12 of 23 - Sale <sup>25</sup>
	0.225	100.75	09/08/20	93.22	...	7.53	13 of 23 - Sale <sup>25</sup>
	0.225	100.81	09/08/20	92.82	...	7.99	14 of 23 - Sale <sup>25</sup>
	0.290	129.20	09/08/20	117.26	...	11.94	15 of 23 - Sale <sup>25</sup>
	0.348	156.31	09/08/20	144.19	...	12.12	16 of 23 - Sale <sup>25</sup>
	0.348	156.31	09/08/20	142.73	...	13.58	17 of 23 - Sale <sup>25</sup>
	0.348	156.31	09/08/20	141.98	...	14.33	18 of 23 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.806	361.57	09/08/20	334.25	...	27.32	19 of 23 - Sale <sup>25</sup>
	0.842	378.02	09/08/20	346.13	...	31.89	20 of 23 - Sale <sup>25</sup>
	0.652	292.46	09/08/20	260.07	...	32.39	21 of 23 - Sale <sup>25</sup>
	1.000	448.77	09/08/20	409.89	...	38.88	22 of 23 - Sale <sup>25</sup>
	5.000	2,248.59	09/08/20	1,754.99	...	493.60	23 of 23 - Sale <sup>25</sup>
09/16/20	10.918	4,900.94	Various	4,178.48	...	722.46	Total of 23 transactions
	67 transactions for 09/16/20. Total proceeds and cost reported to the IRS.						
	0.001	0.25	09/08/20	0.33	0.08 W	0.00	1 of 67 - Sale <sup>25</sup>
	0.001	0.27	09/08/20	0.36	0.09 W	0.00	2 of 67 - Sale <sup>25</sup>
	0.001	0.29	09/08/20	0.47	0.18 W	0.00	3 of 67 - Sale <sup>25</sup>
	0.001	0.53	09/08/20	0.75	0.22 W	0.00	4 of 67 - Sale <sup>25</sup>
	0.001	0.55	09/08/20	0.82	0.27 W	0.00	5 of 67 - Sale <sup>25</sup>
	0.004	1.66	09/08/20	2.70	1.04 W	0.00	6 of 67 - Sale <sup>25</sup>
	0.006	2.57	09/08/20	3.57	1.00 W	0.00	7 of 67 - Sale <sup>25</sup>
	0.006	2.65	09/08/20	3.82	1.17 W	0.00	8 of 67 - Sale <sup>25</sup>
	0.006	2.83	09/08/20	4.12	1.29 W	0.00	9 of 67 - Sale <sup>25</sup>
	0.008	3.49	09/08/20	4.92	1.43 W	0.00	10 of 67 - Sale <sup>25</sup>
	0.009	3.83	09/08/20	5.53	1.70 W	0.00	11 of 67 - Sale <sup>25</sup>
	0.013	5.86	09/08/20	8.28	2.42 W	0.00	12 of 67 - Sale <sup>25</sup>
	0.017	7.41	09/08/20	9.15	1.74 W	0.00	13 of 67 - Sale <sup>25</sup>
	0.026	11.48	09/08/20	13.82	2.34 W	0.00	14 of 67 - Sale <sup>25</sup>
	0.026	11.55	09/08/20	14.14	2.59 W	0.00	15 of 67 - Sale <sup>25</sup>
	0.035	15.77	09/08/20	25.17	9.40 W	0.00	16 of 67 - Sale <sup>25</sup>
	0.037	16.51	09/08/20	23.02	6.51 W	0.00	17 of 67 - Sale <sup>25</sup>
	0.038	16.96	09/08/20	22.85	5.89 W	0.00	18 of 67 - Sale <sup>25</sup>
	0.040	17.67	09/08/20	21.13	3.46 W	0.00	19 of 67 - Sale <sup>25</sup>
	0.044	19.49	09/08/20	30.75	11.26 W	0.00	20 of 67 - Sale <sup>25</sup>
	0.049	21.88	09/08/20	30.84	8.96 W	0.00	21 of 67 - Sale <sup>25</sup>
	0.057	25.33	09/08/20	32.15	6.82 W	0.00	22 of 67 - Sale <sup>25</sup>
	0.057	25.35	09/08/20	34.31	8.96 W	0.00	23 of 67 - Sale <sup>25</sup>
	0.064	28.30	09/08/20	39.89	11.59 W	0.00	24 of 67 - Sale <sup>25</sup>
	0.068	30.44	09/08/20	42.06	11.62 W	0.00	25 of 67 - Sale <sup>25</sup>
	0.072	32.22	09/08/20	54.49	22.27 W	0.00	26 of 67 - Sale <sup>25</sup>
	0.073	32.44	09/08/20	41.26	8.82 W	0.00	27 of 67 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.074		32.80	09/08/20	45.58	12.78 W	0.00	28 of 67 - Sale <sup>25</sup>
0.075		33.51	09/08/20	45.95	12.44 W	0.00	29 of 67 - Sale <sup>25</sup>
0.077		34.15	09/08/20	50.98	16.83 W	0.00	30 of 67 - Sale <sup>25</sup>
0.078		34.90	09/08/20	53.53	18.63 W	0.00	31 of 67 - Sale <sup>25</sup>
0.079		34.97	09/08/20	44.82	9.85 W	0.00	32 of 67 - Sale <sup>25</sup>
0.080		35.64	09/08/20	45.68	10.04 W	0.00	33 of 67 - Sale <sup>25</sup>
0.082		36.66	09/08/20	62.06	25.40 W	0.00	34 of 67 - Sale <sup>25</sup>
0.084		37.31	09/08/20	54.27	16.96 W	0.00	35 of 67 - Sale <sup>25</sup>
0.054		24.02	09/08/20	33.48	9.46 W	0.00	36 of 67 - Sale <sup>25</sup>
0.094		41.59	09/08/20	56.67	15.08 W	0.00	37 of 67 - Sale <sup>25</sup>
0.105		46.63	09/08/20	59.23	12.60 W	0.00	38 of 67 - Sale <sup>25</sup>
0.117		52.06	09/08/20	73.31	21.25 W	0.00	39 of 67 - Sale <sup>25</sup>
0.241		107.20	09/08/20	136.24	29.04 W	0.00	40 of 67 - Sale <sup>25</sup>
0.273		121.51	09/08/20	154.41	32.90 W	0.00	41 of 67 - Sale <sup>25</sup>
0.274		121.92	09/08/20	164.36	42.44 W	0.00	42 of 67 - Sale <sup>25</sup>
0.003		1.46	09/08/20	1.65	0.19 W	0.00	43 of 67 - Sale <sup>25</sup>
0.009		3.94	09/08/20	5.20	1.26 W	0.00	44 of 67 - Sale <sup>25</sup>
0.011		4.88	09/08/20	6.46	1.58 W	0.00	45 of 67 - Sale <sup>25</sup>
0.015		6.69	09/08/20	6.78	0.09 W	0.00	46 of 67 - Sale <sup>25</sup>
0.017		7.69	09/08/20	11.62	3.93 W	0.00	47 of 67 - Sale <sup>25</sup>
0.035		15.65	09/08/20	21.01	5.36 W	0.00	48 of 67 - Sale <sup>25</sup>
0.041		18.24	09/08/20	24.48	6.24 W	0.00	49 of 67 - Sale <sup>25</sup>
0.041		18.44	09/08/20	21.33	2.89 W	0.00	50 of 67 - Sale <sup>25</sup>
0.045		19.82	09/08/20	26.28	6.46 W	0.00	51 of 67 - Sale <sup>25</sup>
0.047		21.11	09/08/20	28.06	6.95 W	0.00	52 of 67 - Sale <sup>25</sup>
0.062		27.56	09/08/20	36.48	8.92 W	0.00	53 of 67 - Sale <sup>25</sup>
0.089		39.46	09/08/20	52.40	12.94 W	0.00	54 of 67 - Sale <sup>25</sup>
0.137		60.86	09/08/20	81.30	20.44 W	0.00	55 of 67 - Sale <sup>25</sup>
0.156		69.59	09/08/20	81.18	11.59 W	0.00	56 of 67 - Sale <sup>25</sup>
0.188		83.74	09/08/20	116.58	32.84 W	0.00	57 of 67 - Sale <sup>25</sup>
0.005		2.24	09/08/20	2.60	0.36 W	0.00	58 of 67 - Sale <sup>25</sup>
0.012		5.47	09/08/20	7.40	1.93 W	0.00	59 of 67 - Sale <sup>25</sup>
0.016		7.16	09/08/20	9.27	2.11 W	0.00	60 of 67 - Sale <sup>25</sup>
0.018		7.85	09/08/20	9.57	1.72 W	0.00	61 of 67 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.045	20.20	09/08/20	30.98	10.78 W	0.00	62 of 67 - Sale <sup>25</sup>
	0.054	23.94	09/08/20	30.29	6.35 W	0.00	63 of 67 - Sale <sup>25</sup>
	0.054	24.19	09/08/20	28.56	4.37 W	0.00	64 of 67 - Sale <sup>25</sup>
	0.088	38.96	09/08/20	50.55	11.59 W	0.00	65 of 67 - Sale <sup>25</sup>
	0.166	73.70	09/08/20	93.58	19.88 W	0.00	66 of 67 - Sale <sup>25</sup>
	0.181	80.42	09/08/20	123.38	42.96 W	0.00	67 of 67 - Sale <sup>25</sup>
09/16/20	4.082	1,815.71	Various	2,458.26	642.55 W	0.00	Total of 67 transactions
	13 transactions for 09/21/20. Total proceeds and cost reported to the IRS.						
	0.001	0.53	09/08/20	0.53	...	0.00	1 of 13 - Sale <sup>25</sup>
	0.002	0.77	09/08/20	0.76	...	0.01	2 of 13 - Sale <sup>25</sup>
	0.004	1.55	09/08/20	1.47	...	0.08	3 of 13 - Sale <sup>25</sup>
	0.003	1.34	09/08/20	1.23	...	0.11	4 of 13 - Sale <sup>25</sup>
	0.006	2.65	09/08/20	2.53	...	0.12	5 of 13 - Sale <sup>25</sup>
	0.010	4.39	09/08/20	4.23	...	0.16	6 of 13 - Sale <sup>25</sup>
	0.004	1.62	09/08/20	1.43	...	0.19	7 of 13 - Sale <sup>25</sup>
	0.032	13.66	09/08/20	13.37	...	0.29	8 of 13 - Sale <sup>25</sup>
	0.049	21.03	09/08/20	20.69	...	0.34	9 of 13 - Sale <sup>25</sup>
	0.013	5.57	09/08/20	4.97	...	0.60	10 of 13 - Sale <sup>25</sup>
	0.082	35.28	09/08/20	34.51	...	0.77	11 of 13 - Sale <sup>25</sup>
	1.000	442.04	09/08/20	351.00	...	91.04	12 of 13 - Sale <sup>25</sup>
	3.000	1,330.89	09/08/20	1,053.00	...	277.89	13 of 13 - Sale <sup>25</sup>
09/21/20	4.205	1,861.32	Various	1,489.72	...	371.60	Total of 13 transactions
	39 transactions for 09/21/20. Total proceeds and cost reported to the IRS.						
	0.004	1.65	09/08/20	2.15	0.50 W	0.00	1 of 39 - Sale <sup>25</sup>
	0.006	2.55	09/08/20	3.09	0.54 W	0.00	2 of 39 - Sale <sup>25</sup>
	0.016	6.91	09/08/20	8.59	1.68 W	0.00	3 of 39 - Sale <sup>25</sup>
	0.023	10.32	09/08/20	12.84	2.52 W	0.00	4 of 39 - Sale <sup>25</sup>
	0.034	15.30	09/08/20	19.60	4.30 W	0.00	5 of 39 - Sale <sup>25</sup>
	0.076	33.69	09/08/20	41.69	8.00 W	0.00	6 of 39 - Sale <sup>25</sup>
	0.159	70.42	09/08/20	86.45	16.03 W	0.00	7 of 39 - Sale <sup>25</sup>
	0.001	0.60	09/08/20	0.74	0.14 W	0.00	8 of 39 - Sale <sup>25</sup>
	0.025	11.03	09/08/20	13.54	2.51 W	0.00	9 of 39 - Sale <sup>25</sup>
	0.026	11.70	09/08/20	11.74	0.04 W	0.00	10 of 39 - Sale <sup>25</sup>
	0.044	19.49	09/08/20	20.49	1.00 W	0.00	11 of 39 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.062	27.56	09/08/20	33.25	5.69 W	0.00	12 of 39 - Sale <sup>25</sup>
	0.067	29.80	09/08/20	31.86	2.06 W	0.00	13 of 39 - Sale <sup>25</sup>
	0.068	30.37	09/08/20	37.13	6.76 W	0.00	14 of 39 - Sale <sup>25</sup>
	0.074	32.75	09/08/20	34.39	1.64 W	0.00	15 of 39 - Sale <sup>25</sup>
	0.018	7.78	09/08/20	8.79	1.01 W	0.00	16 of 39 - Sale <sup>25</sup>
	0.055	24.60	09/08/20	27.78	3.18 W	0.00	17 of 39 - Sale <sup>25</sup>
	0.241	107.11	09/08/20	121.00	13.89 W	0.00	18 of 39 - Sale <sup>25</sup>
	0.000	0.07	09/08/20	0.08	0.01 W	0.00	19 of 39 - Sale <sup>25</sup>
	0.005	2.29	09/08/20	2.73	0.44 W	0.00	20 of 39 - Sale <sup>25</sup>
	0.006	2.80	09/08/20	3.35	0.55 W	0.00	21 of 39 - Sale <sup>25</sup>
	0.010	4.52	09/08/20	5.94	1.42 W	0.00	22 of 39 - Sale <sup>25</sup>
	0.019	8.33	09/08/20	8.54	0.21 W	0.00	23 of 39 - Sale <sup>25</sup>
	0.022	9.72	09/08/20	11.26	1.54 W	0.00	24 of 39 - Sale <sup>25</sup>
	0.046	19.72	09/08/20	20.27	0.55 W	0.00	25 of 39 - Sale <sup>25</sup>
	0.046	19.99	09/08/20	25.84	5.85 W	0.00	26 of 39 - Sale <sup>25</sup>
	0.050	21.68	09/08/20	22.16	0.48 W	0.00	27 of 39 - Sale <sup>25</sup>
	0.106	45.82	09/08/20	54.66	8.84 W	0.00	28 of 39 - Sale <sup>25</sup>
	0.135	58.43	09/08/20	58.80	0.37 W	0.00	29 of 39 - Sale <sup>25</sup>
	0.156	67.31	09/08/20	69.02	1.71 W	0.00	30 of 39 - Sale <sup>25</sup>
	0.001	0.33	09/08/20	0.41	0.08 W	0.00	31 of 39 - Sale <sup>25</sup>
	0.002	0.70	09/08/20	1.15	0.45 W	0.00	32 of 39 - Sale <sup>25</sup>
	0.003	1.31	09/08/20	1.59	0.28 W	0.00	33 of 39 - Sale <sup>25</sup>
	0.009	3.96	09/08/20	6.24	2.28 W	0.00	34 of 39 - Sale <sup>25</sup>
	0.010	4.16	09/08/20	4.95	0.79 W	0.00	35 of 39 - Sale <sup>25</sup>
	0.017	7.48	09/08/20	8.80	1.32 W	0.00	36 of 39 - Sale <sup>25</sup>
	0.037	15.82	09/08/20	25.72	9.90 W	0.00	37 of 39 - Sale <sup>25</sup>
	0.056	24.31	09/08/20	28.65	4.34 W	0.00	38 of 39 - Sale <sup>25</sup>
	0.058	25.06	09/08/20	29.49	4.43 W	0.00	39 of 39 - Sale <sup>25</sup>
09/21/20	1.795	787.44	Various	904.77	117.33 W	0.00	Total of 39 transactions
	73 transactions for 09/22/20. Total proceeds and cost reported to the IRS.						
	0.001	0.33	09/08/20	0.33	...	0.00	1 of 73 - Sale <sup>25</sup>
	0.000	0.06	09/08/20	0.06	...	0.00	2 of 73 - Sale <sup>25</sup>
	0.006	2.47	09/08/20	2.46	...	0.01	3 of 73 - Sale <sup>25</sup>
	0.000	0.07	09/08/20	0.06	...	0.01	4 of 73 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.003	1.31	09/08/20	1.30	...	0.01	5 of 73 - Sale <sup>25</sup>
	0.005	2.27	09/08/20	2.21	...	0.06	6 of 73 - Sale <sup>25</sup>
	0.026	11.02	09/08/20	10.96	...	0.06	7 of 73 - Sale <sup>25</sup>
	0.006	2.78	09/08/20	2.71	...	0.07	8 of 73 - Sale <sup>25</sup>
	0.001	0.46	09/08/20	0.38	...	0.08	9 of 73 - Sale <sup>25</sup>
	0.012	5.33	09/08/20	5.23	...	0.10	10 of 73 - Sale <sup>25</sup>
	0.002	0.84	09/08/20	0.73	...	0.11	11 of 73 - Sale <sup>25</sup>
	0.003	1.40	09/08/20	1.29	...	0.11	12 of 73 - Sale <sup>25</sup>
	0.005	2.15	09/08/20	2.04	...	0.11	13 of 73 - Sale <sup>25</sup>
	0.010	4.14	09/08/20	4.01	...	0.13	14 of 73 - Sale <sup>25</sup>
	0.040	16.97	09/08/20	16.73	...	0.24	15 of 73 - Sale <sup>25</sup>
	0.062	26.69	09/08/20	26.44	...	0.25	16 of 73 - Sale <sup>25</sup>
	0.017	7.43	09/08/20	7.10	...	0.33	17 of 73 - Sale <sup>25</sup>
	0.040	17.08	09/08/20	16.75	...	0.33	18 of 73 - Sale <sup>25</sup>
	0.022	9.66	09/08/20	9.05	...	0.61	19 of 73 - Sale <sup>25</sup>
	0.018	7.54	09/08/20	6.87	...	0.67	20 of 73 - Sale <sup>25</sup>
	0.054	23.24	09/08/20	22.53	...	0.71	21 of 73 - Sale <sup>25</sup>
	0.041	17.72	09/08/20	16.74	...	0.98	22 of 73 - Sale <sup>25</sup>
	0.056	24.16	09/08/20	23.12	...	1.04	23 of 73 - Sale <sup>25</sup>
	0.058	24.91	09/08/20	23.79	...	1.12	24 of 73 - Sale <sup>25</sup>
	0.106	45.54	09/08/20	44.24	...	1.30	25 of 73 - Sale <sup>25</sup>
	0.015	6.43	09/08/20	5.11	...	1.32	26 of 73 - Sale <sup>25</sup>
	0.126	53.70	09/08/20	52.14	...	1.56	27 of 73 - Sale <sup>25</sup>
	0.019	8.28	09/08/20	6.65	...	1.63	28 of 73 - Sale <sup>25</sup>
	0.055	23.83	09/08/20	21.70	...	2.13	29 of 73 - Sale <sup>25</sup>
	0.026	11.33	09/08/20	8.85	...	2.48	30 of 73 - Sale <sup>25</sup>
	0.156	66.85	09/08/20	63.84	...	3.01	31 of 73 - Sale <sup>25</sup>
	0.367	156.85	09/08/20	153.67	...	3.18	32 of 73 - Sale <sup>25</sup>
	0.044	18.87	09/08/20	15.67	...	3.20	33 of 73 - Sale <sup>25</sup>
	0.046	19.60	09/08/20	15.79	...	3.81	34 of 73 - Sale <sup>25</sup>
	0.050	21.55	09/08/20	17.24	...	4.31	35 of 73 - Sale <sup>25</sup>
	0.050	21.38	09/08/20	17.02	...	4.36	36 of 73 - Sale <sup>25</sup>
	0.067	28.86	09/08/20	24.49	...	4.37	37 of 73 - Sale <sup>25</sup>
	0.063	26.94	09/08/20	22.11	...	4.83	38 of 73 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.074	31.71	09/08/20	26.29	...	5.42	39 of 73 - Sale <sup>25</sup>
	0.084	35.86	09/08/20	28.09	...	7.77	40 of 73 - Sale <sup>25</sup>
	0.241	103.74	09/08/20	94.54	...	9.20	41 of 73 - Sale <sup>25</sup>
	0.135	58.09	09/08/20	45.53	...	12.56	42 of 73 - Sale <sup>25</sup>
	0.156	66.91	09/08/20	53.72	...	13.19	43 of 73 - Sale <sup>25</sup>
	0.230	98.72	09/08/20	81.93	...	16.79	44 of 73 - Sale <sup>25</sup>
	6.039	2,580.46	09/08/20	2,016.92	...	563.54	45 of 73 - Sale <sup>25</sup>
	10.000	4,281.25	09/08/20	3,339.99	...	941.26	46 of 73 - Sale <sup>25</sup>
	0.001	0.24	09/09/20	0.24	...	0.00	47 of 73 - Sale <sup>25</sup>
	0.001	0.26	09/09/20	0.26	...	0.00	48 of 73 - Sale <sup>25</sup>
	0.057	24.30	09/09/20	24.29	...	0.01	49 of 73 - Sale <sup>25</sup>
	0.001	0.57	09/09/20	0.48	...	0.09	50 of 73 - Sale <sup>25</sup>
	0.038	16.26	09/09/20	16.15	...	0.11	51 of 73 - Sale <sup>25</sup>
	0.004	1.58	09/09/20	1.45	...	0.13	52 of 73 - Sale <sup>25</sup>
	0.010	4.46	09/09/20	4.11	...	0.35	53 of 73 - Sale <sup>25</sup>
	0.033	14.26	09/09/20	13.62	...	0.64	54 of 73 - Sale <sup>25</sup>
	0.274	116.86	09/09/20	116.20	...	0.66	55 of 73 - Sale <sup>25</sup>
	0.016	6.64	09/09/20	5.68	...	0.96	56 of 73 - Sale <sup>25</sup>
	0.022	9.46	09/09/20	8.13	...	1.33	57 of 73 - Sale <sup>25</sup>
	0.045	19.10	09/09/20	17.74	...	1.36	58 of 73 - Sale <sup>25</sup>
	0.023	9.92	09/09/20	8.49	...	1.43	59 of 73 - Sale <sup>25</sup>
	0.034	14.71	09/09/20	13.16	...	1.55	60 of 73 - Sale <sup>25</sup>
	0.089	38.16	09/09/20	36.48	...	1.68	61 of 73 - Sale <sup>25</sup>
	0.025	10.60	09/09/20	8.90	...	1.70	62 of 73 - Sale <sup>25</sup>
	0.046	19.73	09/09/20	17.73	...	2.00	63 of 73 - Sale <sup>25</sup>
	0.057	24.28	09/09/20	22.24	...	2.04	64 of 73 - Sale <sup>25</sup>
	0.080	34.16	09/09/20	31.74	...	2.42	65 of 73 - Sale <sup>25</sup>
	0.058	24.72	09/09/20	21.21	...	3.51	66 of 73 - Sale <sup>25</sup>
	0.105	44.69	09/09/20	40.99	...	3.70	67 of 73 - Sale <sup>25</sup>
	0.068	29.19	09/09/20	24.35	...	4.84	68 of 73 - Sale <sup>25</sup>
	0.076	32.38	09/09/20	27.52	...	4.86	69 of 73 - Sale <sup>25</sup>
	0.093	39.55	09/09/20	33.92	...	5.63	70 of 73 - Sale <sup>25</sup>
	0.241	102.75	09/09/20	94.31	...	8.44	71 of 73 - Sale <sup>25</sup>
	0.273	116.47	09/09/20	106.88	...	9.59	72 of 73 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.159	67.68	09/09/20	56.82	...	10.86	73 of 73 - Sale <sup>25</sup>
09/22/20	20.570	8,799.76	Various	7,111.51	...	1,688.25	Total of 73 transactions
	198 transactions for 09/22/20. Total proceeds and cost reported to the IRS.						
	0.004	1.60	09/08/20	1.74	0.14 W	0.00	1 of 198 - Sale <sup>25</sup>
	0.016	6.69	09/08/20	6.88	0.19 W	0.00	2 of 198 - Sale <sup>25</sup>
	0.023	10.00	09/08/20	10.29	0.29 W	0.00	3 of 198 - Sale <sup>25</sup>
	0.034	14.82	09/08/20	15.82	1.00 W	0.00	4 of 198 - Sale <sup>25</sup>
	0.076	32.63	09/08/20	33.37	0.74 W	0.00	5 of 198 - Sale <sup>25</sup>
	0.159	68.20	09/08/20	69.05	0.85 W	0.00	6 of 198 - Sale <sup>25</sup>
	0.001	0.58	09/08/20	0.59	0.01 W	0.00	7 of 198 - Sale <sup>25</sup>
	0.025	10.69	09/08/20	10.82	0.13 W	0.00	8 of 198 - Sale <sup>25</sup>
	0.068	29.42	09/08/20	29.63	0.21 W	0.00	9 of 198 - Sale <sup>25</sup>
	0.010	4.49	09/08/20	4.91	0.42 W	0.00	10 of 198 - Sale <sup>25</sup>
	0.046	19.88	09/08/20	21.30	1.42 W	0.00	11 of 198 - Sale <sup>25</sup>
	0.002	0.69	09/08/20	0.99	0.30 W	0.00	12 of 198 - Sale <sup>25</sup>
	0.009	3.94	09/08/20	5.34	1.40 W	0.00	13 of 198 - Sale <sup>25</sup>
	0.037	15.73	09/08/20	22.12	6.39 W	0.00	14 of 198 - Sale <sup>25</sup>
	0.013	5.77	09/08/20	7.45	1.68 W	0.00	15 of 198 - Sale <sup>25</sup>
	0.015	6.55	09/08/20	8.44	1.89 W	0.00	16 of 198 - Sale <sup>25</sup>
	0.018	7.69	09/08/20	11.85	4.16 W	0.00	17 of 198 - Sale <sup>25</sup>
	0.034	14.82	09/08/20	19.99	5.17 W	0.00	18 of 198 - Sale <sup>25</sup>
	0.035	14.84	09/08/20	23.55	8.71 W	0.00	19 of 198 - Sale <sup>25</sup>
	0.039	16.87	09/08/20	25.87	9.00 W	0.00	20 of 198 - Sale <sup>25</sup>
	0.057	24.35	09/08/20	29.44	5.09 W	0.00	21 of 198 - Sale <sup>25</sup>
	0.067	28.67	09/08/20	34.33	5.66 W	0.00	22 of 198 - Sale <sup>25</sup>
	0.086	36.85	09/08/20	47.69	10.84 W	0.00	23 of 198 - Sale <sup>25</sup>
	0.096	41.06	09/08/20	54.57	13.51 W	0.00	24 of 198 - Sale <sup>25</sup>
	0.001	0.23	09/08/20	0.43	0.20 W	0.00	25 of 198 - Sale <sup>25</sup>
	0.001	0.28	09/08/20	0.45	0.17 W	0.00	26 of 198 - Sale <sup>25</sup>
	0.002	0.84	09/08/20	1.61	0.77 W	0.00	27 of 198 - Sale <sup>25</sup>
	0.003	1.12	09/08/20	1.33	0.21 W	0.00	28 of 198 - Sale <sup>25</sup>
	0.004	1.57	09/08/20	2.99	1.42 W	0.00	29 of 198 - Sale <sup>25</sup>
	0.005	2.01	09/08/20	3.26	1.25 W	0.00	30 of 198 - Sale <sup>25</sup>
	0.006	2.74	09/08/20	4.65	1.91 W	0.00	31 of 198 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.013	5.58	09/08/20	9.01	3.43 W	0.00	32 of 198 - Sale <sup>25</sup>
	0.020	8.53	09/08/20	10.17	1.64 W	0.00	33 of 198 - Sale <sup>25</sup>
	0.022	9.54	09/08/20	9.84	0.30 W	0.00	34 of 198 - Sale <sup>25</sup>
	0.025	10.88	09/08/20	20.28	9.40 W	0.00	35 of 198 - Sale <sup>25</sup>
	0.033	14.36	09/08/20	16.19	1.83 W	0.00	36 of 198 - Sale <sup>25</sup>
	0.055	23.65	09/08/20	44.36	20.71 W	0.00	37 of 198 - Sale <sup>25</sup>
	0.058	24.91	09/08/20	25.68	0.77 W	0.00	38 of 198 - Sale <sup>25</sup>
	0.060	25.87	09/08/20	50.22	24.35 W	0.00	39 of 198 - Sale <sup>25</sup>
	0.063	27.12	09/08/20	45.71	18.59 W	0.00	40 of 198 - Sale <sup>25</sup>
	0.072	31.13	09/08/20	59.96	28.83 W	0.00	41 of 198 - Sale <sup>25</sup>
	0.075	32.26	09/08/20	61.63	29.37 W	0.00	42 of 198 - Sale <sup>25</sup>
	0.083	35.70	09/08/20	56.37	20.67 W	0.00	43 of 198 - Sale <sup>25</sup>
	0.089	38.45	09/08/20	43.38	4.93 W	0.00	44 of 198 - Sale <sup>25</sup>
	0.093	39.85	09/08/20	41.07	1.22 W	0.00	45 of 198 - Sale <sup>25</sup>
	0.117	50.32	09/08/20	96.84	46.52 W	0.00	46 of 198 - Sale <sup>25</sup>
	0.152	65.18	09/08/20	110.18	45.00 W	0.00	47 of 198 - Sale <sup>25</sup>
	0.013	5.65	09/08/20	10.63	4.98 W	0.00	48 of 198 - Sale <sup>25</sup>
	0.023	9.81	09/08/20	18.49	8.68 W	0.00	49 of 198 - Sale <sup>25</sup>
	0.144	61.98	09/08/20	116.81	54.83 W	0.00	50 of 198 - Sale <sup>25</sup>
	0.167	71.74	09/08/20	134.05	62.31 W	0.00	51 of 198 - Sale <sup>25</sup>
	0.003	1.31	09/08/20	2.56	1.25 W	0.00	52 of 198 - Sale <sup>25</sup>
	0.010	4.10	09/08/20	5.91	1.81 W	0.00	53 of 198 - Sale <sup>25</sup>
	0.012	5.14	09/08/20	7.59	2.45 W	0.00	54 of 198 - Sale <sup>25</sup>
	0.018	7.66	09/08/20	14.91	7.25 W	0.00	55 of 198 - Sale <sup>25</sup>
	0.007	2.78	09/08/20	5.26	2.48 W	0.00	56 of 198 - Sale <sup>25</sup>
	0.024	10.15	09/08/20	19.88	9.73 W	0.00	57 of 198 - Sale <sup>25</sup>
	0.029	12.51	09/08/20	22.61	10.10 W	0.00	58 of 198 - Sale <sup>25</sup>
	0.030	12.77	09/08/20	23.08	10.31 W	0.00	59 of 198 - Sale <sup>25</sup>
	0.037	15.85	09/08/20	18.91	3.06 W	0.00	60 of 198 - Sale <sup>25</sup>
	0.054	23.05	09/08/20	27.50	4.45 W	0.00	61 of 198 - Sale <sup>25</sup>
	0.083	35.53	09/08/20	64.30	28.77 W	0.00	62 of 198 - Sale <sup>25</sup>
	0.038	16.19	09/08/20	18.78	2.59 W	0.00	63 of 198 - Sale <sup>25</sup>
	0.002	1.00	09/08/20	1.16	0.16 W	0.00	64 of 198 - Sale <sup>25</sup>
	0.023	10.00	09/08/20	11.59	1.59 W	0.00	65 of 198 - Sale <sup>25</sup>

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(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.001	0.24	09/08/20	0.27	0.03 W	0.00	66 of 198 - Sale <sup>25</sup>
	0.001	0.26	09/08/20	0.30	0.04 W	0.00	67 of 198 - Sale <sup>25</sup>
	0.001	0.28	09/08/20	0.40	0.12 W	0.00	68 of 198 - Sale <sup>25</sup>
	0.001	0.51	09/08/20	0.62	0.11 W	0.00	69 of 198 - Sale <sup>25</sup>
	0.001	0.53	09/08/20	0.68	0.15 W	0.00	70 of 198 - Sale <sup>25</sup>
	0.004	1.59	09/08/20	2.28	0.69 W	0.00	71 of 198 - Sale <sup>25</sup>
	0.006	2.47	09/08/20	2.93	0.46 W	0.00	72 of 198 - Sale <sup>25</sup>
	0.006	2.54	09/08/20	3.16	0.62 W	0.00	73 of 198 - Sale <sup>25</sup>
	0.006	2.72	09/08/20	3.41	0.69 W	0.00	74 of 198 - Sale <sup>25</sup>
	0.008	3.35	09/08/20	4.05	0.70 W	0.00	75 of 198 - Sale <sup>25</sup>
	0.009	3.68	09/08/20	4.58	0.90 W	0.00	76 of 198 - Sale <sup>25</sup>
	0.013	5.63	09/08/20	6.82	1.19 W	0.00	77 of 198 - Sale <sup>25</sup>
	0.017	7.11	09/08/20	7.30	0.19 W	0.00	78 of 198 - Sale <sup>25</sup>
	0.026	11.10	09/08/20	11.26	0.16 W	0.00	79 of 198 - Sale <sup>25</sup>
	0.035	15.15	09/08/20	21.24	6.09 W	0.00	80 of 198 - Sale <sup>25</sup>
	0.038	16.29	09/08/20	18.62	2.33 W	0.00	81 of 198 - Sale <sup>25</sup>
	0.044	18.72	09/08/20	25.89	7.17 W	0.00	82 of 198 - Sale <sup>25</sup>
	0.049	21.02	09/08/20	25.39	4.37 W	0.00	83 of 198 - Sale <sup>25</sup>
	0.057	24.33	09/08/20	25.84	1.51 W	0.00	84 of 198 - Sale <sup>25</sup>
	0.057	24.35	09/08/20	27.99	3.64 W	0.00	85 of 198 - Sale <sup>25</sup>
	0.064	27.19	09/08/20	32.84	5.65 W	0.00	86 of 198 - Sale <sup>25</sup>
	0.068	29.24	09/08/20	34.47	5.23 W	0.00	87 of 198 - Sale <sup>25</sup>
	0.072	30.95	09/08/20	46.46	15.51 W	0.00	88 of 198 - Sale <sup>25</sup>
	0.073	31.16	09/08/20	33.18	2.02 W	0.00	89 of 198 - Sale <sup>25</sup>
	0.074	31.51	09/08/20	37.41	5.90 W	0.00	90 of 198 - Sale <sup>25</sup>
	0.075	32.19	09/08/20	37.60	5.41 W	0.00	91 of 198 - Sale <sup>25</sup>
	0.077	32.81	09/08/20	42.47	9.66 W	0.00	92 of 198 - Sale <sup>25</sup>
	0.078	33.53	09/08/20	44.84	11.31 W	0.00	93 of 198 - Sale <sup>25</sup>
	0.079	33.59	09/08/20	36.11	2.52 W	0.00	94 of 198 - Sale <sup>25</sup>
	0.080	34.24	09/08/20	36.80	2.56 W	0.00	95 of 198 - Sale <sup>25</sup>
	0.082	35.21	09/08/20	52.92	17.71 W	0.00	96 of 198 - Sale <sup>25</sup>
	0.084	35.84	09/08/20	44.97	9.13 W	0.00	97 of 198 - Sale <sup>25</sup>
	0.030	12.75	09/08/20	14.78	2.03 W	0.00	98 of 198 - Sale <sup>25</sup>
	0.105	44.79	09/08/20	47.61	2.82 W	0.00	99 of 198 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.117	50.01	09/08/20	60.34	10.33 W	0.00	100 of 198 - Sale <sup>25</sup>
	0.241	102.98	09/08/20	109.53	6.55 W	0.00	101 of 198 - Sale <sup>25</sup>
	0.273	116.72	09/08/20	124.13	7.41 W	0.00	102 of 198 - Sale <sup>25</sup>
	0.274	117.11	09/08/20	133.98	16.87 W	0.00	103 of 198 - Sale <sup>25</sup>
	0.034	14.67	09/08/20	17.34	2.67 W	0.00	104 of 198 - Sale <sup>25</sup>
	0.009	3.78	09/08/20	4.22	0.44 W	0.00	105 of 198 - Sale <sup>25</sup>
	0.011	4.69	09/08/20	5.24	0.55 W	0.00	106 of 198 - Sale <sup>25</sup>
	0.017	7.39	09/08/20	9.71	2.32 W	0.00	107 of 198 - Sale <sup>25</sup>
	0.035	15.04	09/08/20	17.11	2.07 W	0.00	108 of 198 - Sale <sup>25</sup>
	0.041	17.52	09/08/20	19.93	2.41 W	0.00	109 of 198 - Sale <sup>25</sup>
	0.045	19.04	09/08/20	21.34	2.30 W	0.00	110 of 198 - Sale <sup>25</sup>
	0.047	20.28	09/08/20	22.80	2.52 W	0.00	111 of 198 - Sale <sup>25</sup>
	0.062	26.48	09/08/20	29.62	3.14 W	0.00	112 of 198 - Sale <sup>25</sup>
	0.089	37.91	09/08/20	42.57	4.66 W	0.00	113 of 198 - Sale <sup>25</sup>
	0.137	58.46	09/08/20	66.14	7.68 W	0.00	114 of 198 - Sale <sup>25</sup>
	0.188	80.44	09/08/20	95.72	15.28 W	0.00	115 of 198 - Sale <sup>25</sup>
	0.012	5.26	09/08/20	6.04	0.78 W	0.00	116 of 198 - Sale <sup>25</sup>
	0.016	6.87	09/08/20	7.48	0.61 W	0.00	117 of 198 - Sale <sup>25</sup>
	0.018	7.54	09/08/20	7.61	0.07 W	0.00	118 of 198 - Sale <sup>25</sup>
	0.045	19.41	09/08/20	25.95	6.54 W	0.00	119 of 198 - Sale <sup>25</sup>
	0.054	22.99	09/08/20	24.32	1.33 W	0.00	120 of 198 - Sale <sup>25</sup>
	0.088	37.43	09/08/20	40.84	3.41 W	0.00	121 of 198 - Sale <sup>25</sup>
	0.166	70.80	09/08/20	75.22	4.42 W	0.00	122 of 198 - Sale <sup>25</sup>
	0.181	77.25	09/08/20	103.34	26.09 W	0.00	123 of 198 - Sale <sup>25</sup>
	0.000	0.01	09/09/20	0.02	0.01 W	0.00	124 of 198 - Sale <sup>25</sup>
	0.002	0.69	09/09/20	0.87	0.18 W	0.00	125 of 198 - Sale <sup>25</sup>
	0.009	3.91	09/09/20	4.63	0.72 W	0.00	126 of 198 - Sale <sup>25</sup>
	0.037	15.61	09/09/20	19.29	3.68 W	0.00	127 of 198 - Sale <sup>25</sup>
	0.013	5.73	09/09/20	6.42	0.69 W	0.00	128 of 198 - Sale <sup>25</sup>
	0.015	6.50	09/09/20	7.26	0.76 W	0.00	129 of 198 - Sale <sup>25</sup>
	0.018	7.63	09/09/20	10.47	2.84 W	0.00	130 of 198 - Sale <sup>25</sup>
	0.034	14.71	09/09/20	17.33	2.62 W	0.00	131 of 198 - Sale <sup>25</sup>
	0.035	14.73	09/09/20	20.89	6.16 W	0.00	132 of 198 - Sale <sup>25</sup>
	0.039	16.74	09/09/20	22.85	6.11 W	0.00	133 of 198 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.057	24.17	09/09/20	25.07	0.90 W	0.00	134 of 198 - Sale <sup>25</sup>
	0.067	28.45	09/09/20	29.19	0.74 W	0.00	135 of 198 - Sale <sup>25</sup>
	0.086	36.57	09/09/20	41.08	4.51 W	0.00	136 of 198 - Sale <sup>25</sup>
	0.096	40.75	09/09/20	47.21	6.46 W	0.00	137 of 198 - Sale <sup>25</sup>
	0.001	0.22	09/09/20	0.39	0.17 W	0.00	138 of 198 - Sale <sup>25</sup>
	0.001	0.27	09/09/20	0.40	0.13 W	0.00	139 of 198 - Sale <sup>25</sup>
	0.002	0.84	09/09/20	1.46	0.62 W	0.00	140 of 198 - Sale <sup>25</sup>
	0.004	1.56	09/09/20	2.71	1.15 W	0.00	141 of 198 - Sale <sup>25</sup>
	0.005	1.99	09/09/20	2.90	0.91 W	0.00	142 of 198 - Sale <sup>25</sup>
	0.006	2.72	09/09/20	4.16	1.44 W	0.00	143 of 198 - Sale <sup>25</sup>
	0.013	5.54	09/09/20	8.01	2.47 W	0.00	144 of 198 - Sale <sup>25</sup>
	0.025	10.80	09/09/20	18.33	7.53 W	0.00	145 of 198 - Sale <sup>25</sup>
	0.055	23.48	09/09/20	40.12	16.64 W	0.00	146 of 198 - Sale <sup>25</sup>
	0.060	25.67	09/09/20	45.58	19.91 W	0.00	147 of 198 - Sale <sup>25</sup>
	0.063	26.91	09/09/20	40.84	13.93 W	0.00	148 of 198 - Sale <sup>25</sup>
	0.072	30.90	09/09/20	54.38	23.48 W	0.00	149 of 198 - Sale <sup>25</sup>
	0.075	32.02	09/09/20	55.84	23.82 W	0.00	150 of 198 - Sale <sup>25</sup>
	0.083	35.43	09/09/20	49.96	14.53 W	0.00	151 of 198 - Sale <sup>25</sup>
	0.117	49.94	09/09/20	87.81	37.87 W	0.00	152 of 198 - Sale <sup>25</sup>
	0.152	64.69	09/09/20	98.49	33.80 W	0.00	153 of 198 - Sale <sup>25</sup>
	0.003	1.11	09/09/20	1.15	0.04 W	0.00	154 of 198 - Sale <sup>25</sup>
	0.020	8.46	09/09/20	8.83	0.37 W	0.00	155 of 198 - Sale <sup>25</sup>
	0.001	0.27	09/09/20	0.35	0.08 W	0.00	156 of 198 - Sale <sup>25</sup>
	0.001	0.53	09/09/20	0.60	0.07 W	0.00	157 of 198 - Sale <sup>25</sup>
	0.002	1.00	09/09/20	1.01	0.01 W	0.00	158 of 198 - Sale <sup>25</sup>
	0.003	1.31	09/09/20	2.36	1.05 W	0.00	159 of 198 - Sale <sup>25</sup>
	0.006	2.46	09/09/20	2.55	0.09 W	0.00	160 of 198 - Sale <sup>25</sup>
	0.006	2.54	09/09/20	2.78	0.24 W	0.00	161 of 198 - Sale <sup>25</sup>
	0.006	2.71	09/09/20	2.99	0.28 W	0.00	162 of 198 - Sale <sup>25</sup>
	0.006	2.77	09/09/20	4.82	2.05 W	0.00	163 of 198 - Sale <sup>25</sup>
	0.008	3.34	09/09/20	3.54	0.20 W	0.00	164 of 198 - Sale <sup>25</sup>
	0.009	3.68	09/09/20	4.02	0.34 W	0.00	165 of 198 - Sale <sup>25</sup>
	0.009	3.90	09/09/20	4.30	0.40 W	0.00	166 of 198 - Sale <sup>25</sup>
	0.010	4.09	09/09/20	5.29	1.20 W	0.00	167 of 198 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.012	5.13	09/09/20	6.81	1.68 W	0.00	168 of 198 - Sale <sup>25</sup>
	0.013	5.61	09/09/20	9.75	4.14 W	0.00	169 of 198 - Sale <sup>25</sup>
	0.013	5.62	09/09/20	5.97	0.35 W	0.00	170 of 198 - Sale <sup>25</sup>
	0.018	7.66	09/09/20	13.76	6.10 W	0.00	171 of 198 - Sale <sup>25</sup>
	0.023	9.74	09/09/20	16.96	7.22 W	0.00	172 of 198 - Sale <sup>25</sup>
	0.023	9.98	09/09/20	10.07	0.09 W	0.00	173 of 198 - Sale <sup>25</sup>
	0.024	10.14	09/09/20	18.35	8.21 W	0.00	174 of 198 - Sale <sup>25</sup>
	0.029	12.49	09/09/20	20.72	8.23 W	0.00	175 of 198 - Sale <sup>25</sup>
	0.030	12.72	09/09/20	12.84	0.12 W	0.00	176 of 198 - Sale <sup>25</sup>
	0.030	12.76	09/09/20	21.15	8.39 W	0.00	177 of 198 - Sale <sup>25</sup>
	0.037	15.83	09/09/20	16.51	0.68 W	0.00	178 of 198 - Sale <sup>25</sup>
	0.038	16.16	09/09/20	16.33	0.17 W	0.00	179 of 198 - Sale <sup>25</sup>
	0.044	18.68	09/09/20	23.05	4.37 W	0.00	180 of 198 - Sale <sup>25</sup>
	0.049	20.97	09/09/20	22.19	1.22 W	0.00	181 of 198 - Sale <sup>25</sup>
	0.054	23.02	09/09/20	24.02	1.00 W	0.00	182 of 198 - Sale <sup>25</sup>
	0.064	27.13	09/09/20	28.71	1.58 W	0.00	183 of 198 - Sale <sup>25</sup>
	0.068	29.17	09/09/20	30.03	0.86 W	0.00	184 of 198 - Sale <sup>25</sup>
	0.072	30.88	09/09/20	41.76	10.88 W	0.00	185 of 198 - Sale <sup>25</sup>
	0.074	31.44	09/09/20	32.63	1.19 W	0.00	186 of 198 - Sale <sup>25</sup>
	0.075	32.13	09/09/20	32.71	0.58 W	0.00	187 of 198 - Sale <sup>25</sup>
	0.077	32.73	09/09/20	37.48	4.75 W	0.00	188 of 198 - Sale <sup>25</sup>
	0.078	33.45	09/09/20	39.75	6.30 W	0.00	189 of 198 - Sale <sup>25</sup>
	0.082	35.14	09/09/20	47.58	12.44 W	0.00	190 of 198 - Sale <sup>25</sup>
	0.083	35.49	09/09/20	58.94	23.45 W	0.00	191 of 198 - Sale <sup>25</sup>
	0.117	49.91	09/09/20	52.75	2.84 W	0.00	192 of 198 - Sale <sup>25</sup>
	0.144	61.52	09/09/20	107.12	45.60 W	0.00	193 of 198 - Sale <sup>25</sup>
	0.167	71.20	09/09/20	122.83	51.63 W	0.00	194 of 198 - Sale <sup>25</sup>
	0.001	0.51	09/09/20	0.55	0.04 W	0.00	195 of 198 - Sale <sup>25</sup>
	0.004	1.59	09/09/20	2.05	0.46 W	0.00	196 of 198 - Sale <sup>25</sup>
	0.035	15.12	09/09/20	19.00	3.88 W	0.00	197 of 198 - Sale <sup>25</sup>
	0.075	31.87	09/09/20	35.36	3.49 W	0.00	198 of 198 - Sale <sup>25</sup>
09/22/20	9.346	3,996.25	Various	5,277.95	1,281.70 W	0.00	Total of 198 transactions

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
<i>29 transactions for 09/23/20. Total proceeds and cost reported to the IRS.</i>							
	0.000	0.19	09/09/20	0.19	...	0.00	1 of 29 - Sale <sup>25</sup>
	0.054	20.92	09/09/20	20.92	...	0.00	2 of 29 - Sale <sup>25</sup>
	0.003	1.05	09/09/20	0.99	...	0.06	3 of 29 - Sale <sup>25</sup>
	0.002	0.94	09/09/20	0.86	...	0.08	4 of 29 - Sale <sup>25</sup>
	0.008	3.14	09/09/20	3.05	...	0.09	5 of 29 - Sale <sup>25</sup>
	0.006	2.31	09/09/20	2.19	...	0.12	6 of 29 - Sale <sup>25</sup>
	0.013	5.29	09/09/20	5.15	...	0.14	7 of 29 - Sale <sup>25</sup>
	0.034	13.57	09/09/20	13.41	...	0.16	8 of 29 - Sale <sup>25</sup>
	0.020	7.96	09/09/20	7.60	...	0.36	9 of 29 - Sale <sup>25</sup>
	0.018	6.86	09/09/20	6.49	...	0.37	10 of 29 - Sale <sup>25</sup>
	0.017	6.68	09/09/20	6.25	...	0.43	11 of 29 - Sale <sup>25</sup>
	0.053	21.22	09/09/20	20.73	...	0.49	12 of 29 - Sale <sup>25</sup>
	0.049	19.73	09/09/20	19.13	...	0.60	13 of 29 - Sale <sup>25</sup>
	0.037	14.89	09/09/20	14.20	...	0.69	14 of 29 - Sale <sup>25</sup>
	0.064	25.52	09/09/20	24.75	...	0.77	15 of 29 - Sale <sup>25</sup>
	0.023	9.39	09/09/20	8.61	...	0.78	16 of 29 - Sale <sup>25</sup>
	0.026	10.42	09/09/20	9.62	...	0.80	17 of 29 - Sale <sup>25</sup>
	0.030	11.97	09/09/20	10.99	...	0.98	18 of 29 - Sale <sup>25</sup>
	0.054	21.66	09/09/20	20.66	...	1.00	19 of 29 - Sale <sup>25</sup>
	0.057	22.74	09/09/20	21.54	...	1.20	20 of 29 - Sale <sup>25</sup>
	0.038	15.21	09/09/20	13.98	...	1.23	21 of 29 - Sale <sup>25</sup>
	0.117	46.95	09/09/20	45.46	...	1.49	22 of 29 - Sale <sup>25</sup>
	0.074	29.58	09/09/20	28.04	...	1.54	23 of 29 - Sale <sup>25</sup>
	0.068	27.44	09/09/20	25.78	...	1.66	24 of 29 - Sale <sup>25</sup>
	0.067	26.77	09/09/20	25.04	...	1.73	25 of 29 - Sale <sup>25</sup>
	0.075	30.22	09/09/20	28.02	...	2.20	26 of 29 - Sale <sup>25</sup>
	7.769	3,020.65	09/09/20	2,829.33	...	191.32	27 of 29 - Sale <sup>25</sup>
	1.000	388.79	09/10/20	383.04	...	5.75	28 of 29 - Sale <sup>25</sup>
	5.000	1,943.94	09/10/20	1,925.00	...	18.94	29 of 29 - Sale <sup>25</sup>
09/23/20	14.775	5,756.00	Various	5,521.02	...	234.98	Total of 29 transactions
<i>512 transactions for 09/23/20. Total proceeds and cost reported to the IRS.</i>							
	0.000	0.01	09/09/20	0.02	0.01 W	0.00	1 of 512 - Sale <sup>25</sup>
	0.002	0.65	09/09/20	0.77	0.12 W	0.00	2 of 512 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.009		3.68	09/09/20	4.06	0.38 W	0.00	3 of 512 - Sale <sup>25</sup>
0.037		14.68	09/09/20	17.01	2.33 W	0.00	4 of 512 - Sale <sup>25</sup>
0.013		5.39	09/09/20	5.58	0.19 W	0.00	5 of 512 - Sale <sup>25</sup>
0.015		6.11	09/09/20	6.31	0.20 W	0.00	6 of 512 - Sale <sup>25</sup>
0.018		7.18	09/09/20	9.36	2.18 W	0.00	7 of 512 - Sale <sup>25</sup>
0.034		13.84	09/09/20	15.18	1.34 W	0.00	8 of 512 - Sale <sup>25</sup>
0.035		13.86	09/09/20	18.74	4.88 W	0.00	9 of 512 - Sale <sup>25</sup>
0.039		15.75	09/09/20	20.41	4.66 W	0.00	10 of 512 - Sale <sup>25</sup>
0.086		34.40	09/09/20	35.74	1.34 W	0.00	11 of 512 - Sale <sup>25</sup>
0.096		38.34	09/09/20	41.26	2.92 W	0.00	12 of 512 - Sale <sup>25</sup>
0.001		0.21	09/09/20	0.36	0.15 W	0.00	13 of 512 - Sale <sup>25</sup>
0.001		0.26	09/09/20	0.36	0.10 W	0.00	14 of 512 - Sale <sup>25</sup>
0.002		0.79	09/09/20	1.33	0.54 W	0.00	15 of 512 - Sale <sup>25</sup>
0.004		1.47	09/09/20	2.48	1.01 W	0.00	16 of 512 - Sale <sup>25</sup>
0.005		1.88	09/09/20	2.61	0.73 W	0.00	17 of 512 - Sale <sup>25</sup>
0.006		2.56	09/09/20	3.76	1.20 W	0.00	18 of 512 - Sale <sup>25</sup>
0.013		5.21	09/09/20	7.20	1.99 W	0.00	19 of 512 - Sale <sup>25</sup>
0.025		10.16	09/09/20	16.75	6.59 W	0.00	20 of 512 - Sale <sup>25</sup>
0.055		22.08	09/09/20	36.69	14.61 W	0.00	21 of 512 - Sale <sup>25</sup>
0.060		24.15	09/09/20	41.84	17.69 W	0.00	22 of 512 - Sale <sup>25</sup>
0.063		25.32	09/09/20	36.91	11.59 W	0.00	23 of 512 - Sale <sup>25</sup>
0.072		29.06	09/09/20	49.87	20.81 W	0.00	24 of 512 - Sale <sup>25</sup>
0.075		30.12	09/09/20	51.16	21.04 W	0.00	25 of 512 - Sale <sup>25</sup>
0.083		33.33	09/09/20	44.79	11.46 W	0.00	26 of 512 - Sale <sup>25</sup>
0.117		46.98	09/09/20	80.52	33.54 W	0.00	27 of 512 - Sale <sup>25</sup>
0.152		60.85	09/09/20	89.05	28.20 W	0.00	28 of 512 - Sale <sup>25</sup>
0.001		0.26	09/09/20	0.31	0.05 W	0.00	29 of 512 - Sale <sup>25</sup>
0.001		0.49	09/09/20	0.52	0.03 W	0.00	30 of 512 - Sale <sup>25</sup>
0.003		1.23	09/09/20	2.17	0.94 W	0.00	31 of 512 - Sale <sup>25</sup>
0.006		2.39	09/09/20	2.41	0.02 W	0.00	32 of 512 - Sale <sup>25</sup>
0.006		2.55	09/09/20	2.59	0.04 W	0.00	33 of 512 - Sale <sup>25</sup>
0.006		2.60	09/09/20	4.41	1.81 W	0.00	34 of 512 - Sale <sup>25</sup>
0.009		3.46	09/09/20	3.48	0.02 W	0.00	35 of 512 - Sale <sup>25</sup>
0.009		3.66	09/09/20	3.73	0.07 W	0.00	36 of 512 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.010	3.85	09/09/20	4.69	0.84 W	0.00	37 of 512 - Sale <sup>25</sup>
	0.012	4.83	09/09/20	6.06	1.23 W	0.00	38 of 512 - Sale <sup>25</sup>
	0.013	5.28	09/09/20	8.93	3.65 W	0.00	39 of 512 - Sale <sup>25</sup>
	0.018	7.20	09/09/20	12.64	5.44 W	0.00	40 of 512 - Sale <sup>25</sup>
	0.023	9.16	09/09/20	15.53	6.37 W	0.00	41 of 512 - Sale <sup>25</sup>
	0.024	9.54	09/09/20	16.87	7.33 W	0.00	42 of 512 - Sale <sup>25</sup>
	0.029	11.75	09/09/20	18.90	7.15 W	0.00	43 of 512 - Sale <sup>25</sup>
	0.030	12.00	09/09/20	19.28	7.28 W	0.00	44 of 512 - Sale <sup>25</sup>
	0.044	17.57	09/09/20	20.33	2.76 W	0.00	45 of 512 - Sale <sup>25</sup>
	0.072	29.05	09/09/20	37.26	8.21 W	0.00	46 of 512 - Sale <sup>25</sup>
	0.077	30.79	09/09/20	32.71	1.92 W	0.00	47 of 512 - Sale <sup>25</sup>
	0.078	31.47	09/09/20	34.87	3.40 W	0.00	48 of 512 - Sale <sup>25</sup>
	0.082	33.05	09/09/20	42.45	9.40 W	0.00	49 of 512 - Sale <sup>25</sup>
	0.083	33.39	09/09/20	53.76	20.37 W	0.00	50 of 512 - Sale <sup>25</sup>
	0.144	57.87	09/09/20	98.14	40.27 W	0.00	51 of 512 - Sale <sup>25</sup>
	0.167	66.98	09/09/20	112.44	45.46 W	0.00	52 of 512 - Sale <sup>25</sup>
	0.020	7.60	09/09/20	7.66	0.06 W	0.00	53 of 512 - Sale <sup>25</sup>
	0.034	13.35	09/09/20	15.17	1.82 W	0.00	54 of 512 - Sale <sup>25</sup>
	0.009	3.44	09/09/20	3.66	0.22 W	0.00	55 of 512 - Sale <sup>25</sup>
	0.011	4.26	09/09/20	4.54	0.28 W	0.00	56 of 512 - Sale <sup>25</sup>
	0.017	6.72	09/09/20	8.62	1.90 W	0.00	57 of 512 - Sale <sup>25</sup>
	0.035	13.68	09/09/20	14.88	1.20 W	0.00	58 of 512 - Sale <sup>25</sup>
	0.041	15.94	09/09/20	17.34	1.40 W	0.00	59 of 512 - Sale <sup>25</sup>
	0.045	17.32	09/09/20	18.53	1.21 W	0.00	60 of 512 - Sale <sup>25</sup>
	0.047	18.45	09/09/20	19.80	1.35 W	0.00	61 of 512 - Sale <sup>25</sup>
	0.062	24.09	09/09/20	25.71	1.62 W	0.00	62 of 512 - Sale <sup>25</sup>
	0.089	34.49	09/09/20	36.97	2.48 W	0.00	63 of 512 - Sale <sup>25</sup>
	0.137	53.19	09/09/20	57.50	4.31 W	0.00	64 of 512 - Sale <sup>25</sup>
	0.188	73.19	09/09/20	83.83	10.64 W	0.00	65 of 512 - Sale <sup>25</sup>
	0.012	4.78	09/09/20	5.26	0.48 W	0.00	66 of 512 - Sale <sup>25</sup>
	0.016	6.25	09/09/20	6.47	0.22 W	0.00	67 of 512 - Sale <sup>25</sup>
	0.045	17.66	09/09/20	23.08	5.42 W	0.00	68 of 512 - Sale <sup>25</sup>
	0.088	34.05	09/09/20	35.31	1.26 W	0.00	69 of 512 - Sale <sup>25</sup>
	0.166	64.42	09/09/20	64.76	0.34 W	0.00	70 of 512 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.181	70.29	09/09/20	91.92	21.63 W	0.00	71 of 512 - Sale <sup>25</sup>
	0.000	0.01	09/10/20	0.02	0.01 W	0.00	72 of 512 - Sale <sup>25</sup>
	0.002	0.63	09/10/20	0.75	0.12 W	0.00	73 of 512 - Sale <sup>25</sup>
	0.009	3.57	09/10/20	3.95	0.38 W	0.00	74 of 512 - Sale <sup>25</sup>
	0.037	14.23	09/10/20	16.59	2.36 W	0.00	75 of 512 - Sale <sup>25</sup>
	0.013	5.22	09/10/20	5.42	0.20 W	0.00	76 of 512 - Sale <sup>25</sup>
	0.015	5.92	09/10/20	6.13	0.21 W	0.00	77 of 512 - Sale <sup>25</sup>
	0.018	6.96	09/10/20	9.15	2.19 W	0.00	78 of 512 - Sale <sup>25</sup>
	0.034	13.41	09/10/20	14.77	1.36 W	0.00	79 of 512 - Sale <sup>25</sup>
	0.035	13.43	09/10/20	18.33	4.90 W	0.00	80 of 512 - Sale <sup>25</sup>
	0.039	15.27	09/10/20	19.96	4.69 W	0.00	81 of 512 - Sale <sup>25</sup>
	0.086	33.35	09/10/20	34.75	1.40 W	0.00	82 of 512 - Sale <sup>25</sup>
	0.096	37.16	09/10/20	40.15	2.99 W	0.00	83 of 512 - Sale <sup>25</sup>
	0.001	0.20	09/10/20	0.36	0.16 W	0.00	84 of 512 - Sale <sup>25</sup>
	0.001	0.25	09/10/20	0.35	0.10 W	0.00	85 of 512 - Sale <sup>25</sup>
	0.002	0.76	09/10/20	1.30	0.54 W	0.00	86 of 512 - Sale <sup>25</sup>
	0.004	1.42	09/10/20	2.43	1.01 W	0.00	87 of 512 - Sale <sup>25</sup>
	0.005	1.82	09/10/20	2.55	0.73 W	0.00	88 of 512 - Sale <sup>25</sup>
	0.006	2.48	09/10/20	3.68	1.20 W	0.00	89 of 512 - Sale <sup>25</sup>
	0.013	5.05	09/10/20	7.05	2.00 W	0.00	90 of 512 - Sale <sup>25</sup>
	0.025	9.85	09/10/20	16.46	6.61 W	0.00	91 of 512 - Sale <sup>25</sup>
	0.055	21.40	09/10/20	36.05	14.65 W	0.00	92 of 512 - Sale <sup>25</sup>
	0.060	23.41	09/10/20	41.14	17.73 W	0.00	93 of 512 - Sale <sup>25</sup>
	0.063	24.54	09/10/20	36.17	11.63 W	0.00	94 of 512 - Sale <sup>25</sup>
	0.072	28.17	09/10/20	49.03	20.86 W	0.00	95 of 512 - Sale <sup>25</sup>
	0.075	29.19	09/10/20	50.29	21.10 W	0.00	96 of 512 - Sale <sup>25</sup>
	0.083	32.30	09/10/20	43.82	11.52 W	0.00	97 of 512 - Sale <sup>25</sup>
	0.117	45.53	09/10/20	79.16	33.63 W	0.00	98 of 512 - Sale <sup>25</sup>
	0.152	58.98	09/10/20	87.29	28.31 W	0.00	99 of 512 - Sale <sup>25</sup>
	0.001	0.25	09/10/20	0.30	0.05 W	0.00	100 of 512 - Sale <sup>25</sup>
	0.001	0.48	09/10/20	0.51	0.03 W	0.00	101 of 512 - Sale <sup>25</sup>
	0.003	1.19	09/10/20	2.14	0.95 W	0.00	102 of 512 - Sale <sup>25</sup>
	0.006	2.31	09/10/20	2.34	0.03 W	0.00	103 of 512 - Sale <sup>25</sup>
	0.006	2.47	09/10/20	2.52	0.05 W	0.00	104 of 512 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.006	2.52	09/10/20	4.34	1.82 W	0.00	105 of 512 - Sale <sup>25</sup>
	0.009	3.35	09/10/20	3.38	0.03 W	0.00	106 of 512 - Sale <sup>25</sup>
	0.009	3.55	09/10/20	3.63	0.08 W	0.00	107 of 512 - Sale <sup>25</sup>
	0.010	3.73	09/10/20	4.58	0.85 W	0.00	108 of 512 - Sale <sup>25</sup>
	0.012	4.68	09/10/20	5.92	1.24 W	0.00	109 of 512 - Sale <sup>25</sup>
	0.013	5.12	09/10/20	8.78	3.66 W	0.00	110 of 512 - Sale <sup>25</sup>
	0.018	6.98	09/10/20	12.43	5.45 W	0.00	111 of 512 - Sale <sup>25</sup>
	0.023	8.88	09/10/20	15.26	6.38 W	0.00	112 of 512 - Sale <sup>25</sup>
	0.024	9.25	09/10/20	16.59	7.34 W	0.00	113 of 512 - Sale <sup>25</sup>
	0.029	11.39	09/10/20	18.56	7.17 W	0.00	114 of 512 - Sale <sup>25</sup>
	0.030	11.63	09/10/20	18.93	7.30 W	0.00	115 of 512 - Sale <sup>25</sup>
	0.044	17.03	09/10/20	19.83	2.80 W	0.00	116 of 512 - Sale <sup>25</sup>
	0.072	28.16	09/10/20	36.42	8.26 W	0.00	117 of 512 - Sale <sup>25</sup>
	0.077	29.85	09/10/20	31.82	1.97 W	0.00	118 of 512 - Sale <sup>25</sup>
	0.078	30.50	09/10/20	33.96	3.46 W	0.00	119 of 512 - Sale <sup>25</sup>
	0.082	32.04	09/10/20	41.50	9.46 W	0.00	120 of 512 - Sale <sup>25</sup>
	0.083	32.36	09/10/20	52.79	20.43 W	0.00	121 of 512 - Sale <sup>25</sup>
	0.144	56.09	09/10/20	96.46	40.37 W	0.00	122 of 512 - Sale <sup>25</sup>
	0.167	64.92	09/10/20	110.50	45.58 W	0.00	123 of 512 - Sale <sup>25</sup>
	0.001	0.47	09/10/20	0.51	0.04 W	0.00	124 of 512 - Sale <sup>25</sup>
	0.004	1.45	09/10/20	1.91	0.46 W	0.00	125 of 512 - Sale <sup>25</sup>
	0.035	13.79	09/10/20	17.69	3.90 W	0.00	126 of 512 - Sale <sup>25</sup>
	0.075	29.06	09/10/20	32.60	3.54 W	0.00	127 of 512 - Sale <sup>25</sup>
	17.819	6,927.75	09/10/20	6,940.43	12.68 W	0.00	128 of 512 - Sale <sup>25</sup>
	11.000	4,276.67	09/14/20	4,452.36	175.69 W	0.00	129 of 512 - Sale <sup>25</sup>
	5.000	1,943.94	09/15/20	2,293.55	349.61 W	0.00	130 of 512 - Sale <sup>25</sup>
	5.000	1,943.94	09/18/20	2,200.00	256.06 W	0.00	131 of 512 - Sale <sup>25</sup>
	0.916	356.28	09/21/20	389.00	32.72 W	0.00	132 of 512 - Sale <sup>25</sup>
	15.000	5,831.83	09/21/20	6,345.00	513.17 W	0.00	133 of 512 - Sale <sup>25</sup>
	33.000	12,830.01	09/23/20	13,278.87	448.86 W	0.00	134 of 512 - Sale <sup>25</sup>
	0.000	0.01	09/23/20	0.02	0.01 W	0.00	135 of 512 - Sale <sup>25</sup>
	0.002	0.62	09/23/20	0.75	0.13 W	0.00	136 of 512 - Sale <sup>25</sup>
	0.009	3.54	09/23/20	3.98	0.44 W	0.00	137 of 512 - Sale <sup>25</sup>
	0.037	14.14	09/23/20	16.71	2.57 W	0.00	138 of 512 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.013	5.19	09/23/20	5.47	0.28 W	0.00	139 of 512 - Sale <sup>25</sup>
	0.015	5.89	09/23/20	6.18	0.29 W	0.00	140 of 512 - Sale <sup>25</sup>
	0.018	6.92	09/23/20	9.21	2.29 W	0.00	141 of 512 - Sale <sup>25</sup>
	0.034	13.33	09/23/20	14.88	1.55 W	0.00	142 of 512 - Sale <sup>25</sup>
	0.035	13.35	09/23/20	18.44	5.09 W	0.00	143 of 512 - Sale <sup>25</sup>
	0.039	15.17	09/23/20	20.08	4.91 W	0.00	144 of 512 - Sale <sup>25</sup>
	0.086	33.14	09/23/20	35.02	1.88 W	0.00	145 of 512 - Sale <sup>25</sup>
	0.096	36.93	09/23/20	40.45	3.52 W	0.00	146 of 512 - Sale <sup>25</sup>
	0.001	0.20	09/23/20	0.37	0.17 W	0.00	147 of 512 - Sale <sup>25</sup>
	0.001	0.25	09/23/20	0.35	0.10 W	0.00	148 of 512 - Sale <sup>25</sup>
	0.002	0.76	09/23/20	1.31	0.55 W	0.00	149 of 512 - Sale <sup>25</sup>
	0.004	1.41	09/23/20	2.44	1.03 W	0.00	150 of 512 - Sale <sup>25</sup>
	0.005	1.81	09/23/20	2.56	0.75 W	0.00	151 of 512 - Sale <sup>25</sup>
	0.006	2.46	09/23/20	3.70	1.24 W	0.00	152 of 512 - Sale <sup>25</sup>
	0.013	5.02	09/23/20	7.09	2.07 W	0.00	153 of 512 - Sale <sup>25</sup>
	0.025	9.79	09/23/20	16.54	6.75 W	0.00	154 of 512 - Sale <sup>25</sup>
	0.055	21.27	09/23/20	36.23	14.96 W	0.00	155 of 512 - Sale <sup>25</sup>
	0.060	23.27	09/23/20	41.33	18.06 W	0.00	156 of 512 - Sale <sup>25</sup>
	0.063	24.39	09/23/20	36.37	11.98 W	0.00	157 of 512 - Sale <sup>25</sup>
	0.072	28.00	09/23/20	49.26	21.26 W	0.00	158 of 512 - Sale <sup>25</sup>
	0.075	29.02	09/23/20	50.53	21.51 W	0.00	159 of 512 - Sale <sup>25</sup>
	0.083	32.11	09/23/20	44.09	11.98 W	0.00	160 of 512 - Sale <sup>25</sup>
	0.117	45.25	09/23/20	79.54	34.29 W	0.00	161 of 512 - Sale <sup>25</sup>
	0.152	58.62	09/23/20	87.78	29.16 W	0.00	162 of 512 - Sale <sup>25</sup>
	0.001	0.25	09/23/20	0.30	0.05 W	0.00	163 of 512 - Sale <sup>25</sup>
	0.001	0.48	09/23/20	0.51	0.03 W	0.00	164 of 512 - Sale <sup>25</sup>
	0.003	1.19	09/23/20	2.15	0.96 W	0.00	165 of 512 - Sale <sup>25</sup>
	0.006	2.30	09/23/20	2.36	0.06 W	0.00	166 of 512 - Sale <sup>25</sup>
	0.006	2.46	09/23/20	2.54	0.08 W	0.00	167 of 512 - Sale <sup>25</sup>
	0.006	2.51	09/23/20	4.37	1.86 W	0.00	168 of 512 - Sale <sup>25</sup>
	0.009	3.33	09/23/20	3.41	0.08 W	0.00	169 of 512 - Sale <sup>25</sup>
	0.009	3.53	09/23/20	3.66	0.13 W	0.00	170 of 512 - Sale <sup>25</sup>
	0.010	3.71	09/23/20	4.61	0.90 W	0.00	171 of 512 - Sale <sup>25</sup>
	0.012	4.65	09/23/20	5.96	1.31 W	0.00	172 of 512 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.013	5.08	09/23/20	8.82	3.74 W	0.00	173 of 512 - Sale <sup>25</sup>
	0.018	6.94	09/23/20	12.49	5.55 W	0.00	174 of 512 - Sale <sup>25</sup>
	0.023	8.82	09/23/20	15.33	6.51 W	0.00	175 of 512 - Sale <sup>25</sup>
	0.024	9.19	09/23/20	16.66	7.47 W	0.00	176 of 512 - Sale <sup>25</sup>
	0.029	11.32	09/23/20	18.65	7.33 W	0.00	177 of 512 - Sale <sup>25</sup>
	0.030	11.56	09/23/20	19.03	7.47 W	0.00	178 of 512 - Sale <sup>25</sup>
	0.044	16.93	09/23/20	19.98	3.05 W	0.00	179 of 512 - Sale <sup>25</sup>
	0.072	27.99	09/23/20	36.65	8.66 W	0.00	180 of 512 - Sale <sup>25</sup>
	0.077	29.67	09/23/20	32.06	2.39 W	0.00	181 of 512 - Sale <sup>25</sup>
	0.078	30.32	09/23/20	34.22	3.90 W	0.00	182 of 512 - Sale <sup>25</sup>
	0.082	31.84	09/23/20	41.76	9.92 W	0.00	183 of 512 - Sale <sup>25</sup>
	0.083	32.16	09/23/20	53.06	20.90 W	0.00	184 of 512 - Sale <sup>25</sup>
	0.144	55.75	09/23/20	96.92	41.17 W	0.00	185 of 512 - Sale <sup>25</sup>
	0.167	64.52	09/23/20	111.04	46.52 W	0.00	186 of 512 - Sale <sup>25</sup>
	0.001	0.46	09/23/20	0.51	0.05 W	0.00	187 of 512 - Sale <sup>25</sup>
	0.004	1.44	09/23/20	1.92	0.48 W	0.00	188 of 512 - Sale <sup>25</sup>
	0.035	13.70	09/23/20	17.80	4.10 W	0.00	189 of 512 - Sale <sup>25</sup>
	0.075	28.88	09/23/20	32.84	3.96 W	0.00	190 of 512 - Sale <sup>25</sup>
	0.020	7.56	09/23/20	7.73	0.17 W	0.00	191 of 512 - Sale <sup>25</sup>
	0.034	13.27	09/23/20	15.28	2.01 W	0.00	192 of 512 - Sale <sup>25</sup>
	0.009	3.42	09/23/20	3.69	0.27 W	0.00	193 of 512 - Sale <sup>25</sup>
	0.011	4.24	09/23/20	4.58	0.34 W	0.00	194 of 512 - Sale <sup>25</sup>
	0.017	6.68	09/23/20	8.68	2.00 W	0.00	195 of 512 - Sale <sup>25</sup>
	0.035	13.60	09/23/20	14.99	1.39 W	0.00	196 of 512 - Sale <sup>25</sup>
	0.041	15.84	09/23/20	17.47	1.63 W	0.00	197 of 512 - Sale <sup>25</sup>
	0.045	17.22	09/23/20	18.68	1.46 W	0.00	198 of 512 - Sale <sup>25</sup>
	0.047	18.34	09/23/20	19.95	1.61 W	0.00	199 of 512 - Sale <sup>25</sup>
	0.062	23.94	09/23/20	25.91	1.97 W	0.00	200 of 512 - Sale <sup>25</sup>
	0.089	34.28	09/23/20	37.26	2.98 W	0.00	201 of 512 - Sale <sup>25</sup>
	0.137	52.87	09/23/20	57.94	5.07 W	0.00	202 of 512 - Sale <sup>25</sup>
	0.188	72.74	09/23/20	84.43	11.69 W	0.00	203 of 512 - Sale <sup>25</sup>
	0.012	4.75	09/23/20	5.30	0.55 W	0.00	204 of 512 - Sale <sup>25</sup>
	0.016	6.22	09/23/20	6.52	0.30 W	0.00	205 of 512 - Sale <sup>25</sup>
	0.045	17.55	09/23/20	23.22	5.67 W	0.00	206 of 512 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.088	33.85	09/23/20	35.59	1.74 W	0.00	207 of 512 - Sale <sup>25</sup>
	0.166	64.02	09/23/20	65.29	1.27 W	0.00	208 of 512 - Sale <sup>25</sup>
	0.181	69.86	09/23/20	92.50	22.64 W	0.00	209 of 512 - Sale <sup>25</sup>
	3.576	1,381.85	09/23/20	1,404.37	22.52 W	0.00	210 of 512 - Sale <sup>25</sup>
	14.243	5,503.69	09/23/20	5,593.32	89.63 W	0.00	211 of 512 - Sale <sup>25</sup>
	11.000	4,250.61	09/23/20	4,487.69	237.08 W	0.00	212 of 512 - Sale <sup>25</sup>
	5.000	1,932.09	09/23/20	2,309.61	377.52 W	0.00	213 of 512 - Sale <sup>25</sup>
	5.000	1,932.10	09/23/20	2,216.06	283.96 W	0.00	214 of 512 - Sale <sup>25</sup>
	0.916	354.11	09/23/20	391.94	37.83 W	0.00	215 of 512 - Sale <sup>25</sup>
	6.841	2,643.42	09/23/20	2,915.63	272.21 W	0.00	216 of 512 - Sale <sup>25</sup>
	1.000	381.81	09/23/20	420.71	38.90 W	0.00	217 of 512 - Sale <sup>25</sup>
	7.159	2,733.45	09/23/20	3,011.95	278.50 W	0.00	218 of 512 - Sale <sup>25</sup>
	16.841	6,430.02	09/23/20	6,738.05	308.03 W	0.00	219 of 512 - Sale <sup>25</sup>
	0.000	0.01	09/23/20	0.02	0.01 W	0.00	220 of 512 - Sale <sup>25</sup>
	0.002	0.62	09/23/20	0.75	0.13 W	0.00	221 of 512 - Sale <sup>25</sup>
	0.009	3.50	09/23/20	3.98	0.48 W	0.00	222 of 512 - Sale <sup>25</sup>
	0.037	13.97	09/23/20	16.68	2.71 W	0.00	223 of 512 - Sale <sup>25</sup>
	0.013	5.13	09/23/20	5.46	0.33 W	0.00	224 of 512 - Sale <sup>25</sup>
	0.015	5.82	09/23/20	6.16	0.34 W	0.00	225 of 512 - Sale <sup>25</sup>
	0.018	6.83	09/23/20	9.19	2.36 W	0.00	226 of 512 - Sale <sup>25</sup>
	0.034	13.17	09/23/20	14.85	1.68 W	0.00	227 of 512 - Sale <sup>25</sup>
	0.035	13.19	09/23/20	18.41	5.22 W	0.00	228 of 512 - Sale <sup>25</sup>
	0.039	14.99	09/23/20	20.05	5.06 W	0.00	229 of 512 - Sale <sup>25</sup>
	0.086	32.75	09/23/20	34.94	2.19 W	0.00	230 of 512 - Sale <sup>25</sup>
	0.096	36.49	09/23/20	40.36	3.87 W	0.00	231 of 512 - Sale <sup>25</sup>
	0.001	0.20	09/23/20	0.37	0.17 W	0.00	232 of 512 - Sale <sup>25</sup>
	0.001	0.25	09/23/20	0.35	0.10 W	0.00	233 of 512 - Sale <sup>25</sup>
	0.002	0.75	09/23/20	1.31	0.56 W	0.00	234 of 512 - Sale <sup>25</sup>
	0.004	1.40	09/23/20	2.44	1.04 W	0.00	235 of 512 - Sale <sup>25</sup>
	0.005	1.78	09/23/20	2.55	0.77 W	0.00	236 of 512 - Sale <sup>25</sup>
	0.006	2.44	09/23/20	3.70	1.26 W	0.00	237 of 512 - Sale <sup>25</sup>
	0.013	4.96	09/23/20	7.08	2.12 W	0.00	238 of 512 - Sale <sup>25</sup>
	0.025	9.67	09/23/20	16.51	6.84 W	0.00	239 of 512 - Sale <sup>25</sup>
	0.055	21.02	09/23/20	36.18	15.16 W	0.00	240 of 512 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.060	22.99	09/23/20	41.27	18.28 W	0.00	241 of 512 - Sale <sup>25</sup>
	0.063	24.10	09/23/20	36.31	12.21 W	0.00	242 of 512 - Sale <sup>25</sup>
	0.072	27.66	09/23/20	49.19	21.53 W	0.00	243 of 512 - Sale <sup>25</sup>
	0.075	28.67	09/23/20	50.46	21.79 W	0.00	244 of 512 - Sale <sup>25</sup>
	0.083	31.72	09/23/20	44.01	12.29 W	0.00	245 of 512 - Sale <sup>25</sup>
	0.117	44.72	09/23/20	79.44	34.72 W	0.00	246 of 512 - Sale <sup>25</sup>
	0.152	57.93	09/23/20	87.64	29.71 W	0.00	247 of 512 - Sale <sup>25</sup>
	0.001	0.25	09/23/20	0.30	0.05 W	0.00	248 of 512 - Sale <sup>25</sup>
	0.001	0.47	09/23/20	0.51	0.04 W	0.00	249 of 512 - Sale <sup>25</sup>
	0.003	1.17	09/23/20	2.14	0.97 W	0.00	250 of 512 - Sale <sup>25</sup>
	0.006	2.27	09/23/20	2.35	0.08 W	0.00	251 of 512 - Sale <sup>25</sup>
	0.006	2.43	09/23/20	2.53	0.10 W	0.00	252 of 512 - Sale <sup>25</sup>
	0.006	2.48	09/23/20	4.36	1.88 W	0.00	253 of 512 - Sale <sup>25</sup>
	0.009	3.29	09/23/20	3.40	0.11 W	0.00	254 of 512 - Sale <sup>25</sup>
	0.009	3.49	09/23/20	3.65	0.16 W	0.00	255 of 512 - Sale <sup>25</sup>
	0.010	3.66	09/23/20	4.60	0.94 W	0.00	256 of 512 - Sale <sup>25</sup>
	0.012	4.60	09/23/20	5.95	1.35 W	0.00	257 of 512 - Sale <sup>25</sup>
	0.013	5.02	09/23/20	8.81	3.79 W	0.00	258 of 512 - Sale <sup>25</sup>
	0.018	6.86	09/23/20	12.47	5.61 W	0.00	259 of 512 - Sale <sup>25</sup>
	0.023	8.72	09/23/20	15.31	6.59 W	0.00	260 of 512 - Sale <sup>25</sup>
	0.024	9.08	09/23/20	16.64	7.56 W	0.00	261 of 512 - Sale <sup>25</sup>
	0.029	11.19	09/23/20	18.62	7.43 W	0.00	262 of 512 - Sale <sup>25</sup>
	0.030	11.42	09/23/20	19.00	7.58 W	0.00	263 of 512 - Sale <sup>25</sup>
	0.044	16.73	09/23/20	19.94	3.21 W	0.00	264 of 512 - Sale <sup>25</sup>
	0.072	27.65	09/23/20	36.58	8.93 W	0.00	265 of 512 - Sale <sup>25</sup>
	0.077	29.31	09/23/20	31.98	2.67 W	0.00	266 of 512 - Sale <sup>25</sup>
	0.078	29.96	09/23/20	34.15	4.19 W	0.00	267 of 512 - Sale <sup>25</sup>
	0.082	31.46	09/23/20	41.69	10.23 W	0.00	268 of 512 - Sale <sup>25</sup>
	0.083	31.78	09/23/20	52.99	21.21 W	0.00	269 of 512 - Sale <sup>25</sup>
	0.144	55.08	09/23/20	96.79	41.71 W	0.00	270 of 512 - Sale <sup>25</sup>
	0.167	63.75	09/23/20	110.89	47.14 W	0.00	271 of 512 - Sale <sup>25</sup>
	0.001	0.46	09/23/20	0.51	0.05 W	0.00	272 of 512 - Sale <sup>25</sup>
	0.004	1.42	09/23/20	1.92	0.50 W	0.00	273 of 512 - Sale <sup>25</sup>
	0.035	13.54	09/23/20	17.77	4.23 W	0.00	274 of 512 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.075	28.53	09/23/20	32.77	4.24 W	0.00	275 of 512 - Sale <sup>25</sup>
	0.020	7.47	09/23/20	7.71	0.24 W	0.00	276 of 512 - Sale <sup>25</sup>
	0.034	13.11	09/23/20	15.25	2.14 W	0.00	277 of 512 - Sale <sup>25</sup>
	0.009	3.38	09/23/20	3.68	0.30 W	0.00	278 of 512 - Sale <sup>25</sup>
	0.011	4.19	09/23/20	4.57	0.38 W	0.00	279 of 512 - Sale <sup>25</sup>
	0.017	6.60	09/23/20	8.67	2.07 W	0.00	280 of 512 - Sale <sup>25</sup>
	0.035	13.44	09/23/20	14.96	1.52 W	0.00	281 of 512 - Sale <sup>25</sup>
	0.041	15.65	09/23/20	17.43	1.78 W	0.00	282 of 512 - Sale <sup>25</sup>
	0.045	17.01	09/23/20	18.64	1.63 W	0.00	283 of 512 - Sale <sup>25</sup>
	0.047	18.12	09/23/20	19.91	1.79 W	0.00	284 of 512 - Sale <sup>25</sup>
	0.062	23.66	09/23/20	25.86	2.20 W	0.00	285 of 512 - Sale <sup>25</sup>
	0.089	33.87	09/23/20	37.18	3.31 W	0.00	286 of 512 - Sale <sup>25</sup>
	0.137	52.24	09/23/20	57.81	5.57 W	0.00	287 of 512 - Sale <sup>25</sup>
	0.188	71.88	09/23/20	84.26	12.38 W	0.00	288 of 512 - Sale <sup>25</sup>
	0.012	4.70	09/23/20	5.29	0.59 W	0.00	289 of 512 - Sale <sup>25</sup>
	0.016	6.14	09/23/20	6.50	0.36 W	0.00	290 of 512 - Sale <sup>25</sup>
	0.045	17.34	09/23/20	23.18	5.84 W	0.00	291 of 512 - Sale <sup>25</sup>
	0.088	33.44	09/23/20	35.50	2.06 W	0.00	292 of 512 - Sale <sup>25</sup>
	0.166	63.26	09/23/20	65.14	1.88 W	0.00	293 of 512 - Sale <sup>25</sup>
	0.181	69.02	09/23/20	92.33	23.31 W	0.00	294 of 512 - Sale <sup>25</sup>
	3.576	1,365.37	09/23/20	1,401.08	35.71 W	0.00	295 of 512 - Sale <sup>25</sup>
	14.243	5,438.06	09/23/20	5,580.23	142.17 W	0.00	296 of 512 - Sale <sup>25</sup>
	11.000	4,199.93	09/23/20	4,477.58	277.65 W	0.00	297 of 512 - Sale <sup>25</sup>
	1.598	610.15	09/23/20	736.70	126.55 W	0.00	298 of 512 - Sale <sup>25</sup>
	16.159	6,169.76	09/23/20	6,449.15	279.39 W	0.00	299 of 512 - Sale <sup>25</sup>
	3.402	1,298.91	09/23/20	1,570.02	271.11 W	0.00	300 of 512 - Sale <sup>25</sup>
	0.598	228.33	09/23/20	264.80	36.47 W	0.00	301 of 512 - Sale <sup>25</sup>
	1.000	381.81	09/23/20	446.79	64.98 W	0.00	302 of 512 - Sale <sup>25</sup>
	3.402	1,298.91	09/23/20	1,519.98	221.07 W	0.00	303 of 512 - Sale <sup>25</sup>
	0.916	349.88	09/23/20	395.22	45.34 W	0.00	304 of 512 - Sale <sup>25</sup>
	6.841	2,611.91	09/23/20	2,940.13	328.22 W	0.00	305 of 512 - Sale <sup>25</sup>
	20.841	7,957.27	09/23/20	8,127.92	170.65 W	0.00	306 of 512 - Sale <sup>25</sup>
	0.000	0.01	09/23/20	0.02	0.01 W	0.00	307 of 512 - Sale <sup>25</sup>
	0.002	0.62	09/23/20	0.75	0.13 W	0.00	308 of 512 - Sale <sup>25</sup>

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(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.009	3.50	09/23/20	4.01	0.51 W	0.00	309 of 512 - Sale <sup>25</sup>
	0.037	13.97	09/23/20	16.80	2.83 W	0.00	310 of 512 - Sale <sup>25</sup>
	0.033	12.63	09/23/20	13.59	0.96 W	0.00	311 of 512 - Sale <sup>25</sup>
	0.013	5.13	09/23/20	5.50	0.37 W	0.00	312 of 512 - Sale <sup>25</sup>
	0.015	5.81	09/23/20	6.21	0.40 W	0.00	313 of 512 - Sale <sup>25</sup>
	0.018	6.83	09/23/20	9.25	2.42 W	0.00	314 of 512 - Sale <sup>25</sup>
	0.034	13.16	09/23/20	14.96	1.80 W	0.00	315 of 512 - Sale <sup>25</sup>
	0.035	13.18	09/23/20	18.52	5.34 W	0.00	316 of 512 - Sale <sup>25</sup>
	0.039	14.99	09/23/20	20.18	5.19 W	0.00	317 of 512 - Sale <sup>25</sup>
	0.053	20.10	09/23/20	21.62	1.52 W	0.00	318 of 512 - Sale <sup>25</sup>
	0.096	36.47	09/23/20	40.67	4.20 W	0.00	319 of 512 - Sale <sup>25</sup>
	0.001	0.20	09/23/20	0.37	0.17 W	0.00	320 of 512 - Sale <sup>25</sup>
	0.001	0.25	09/23/20	0.35	0.10 W	0.00	321 of 512 - Sale <sup>25</sup>
	0.002	0.75	09/23/20	1.31	0.56 W	0.00	322 of 512 - Sale <sup>25</sup>
	0.004	1.40	09/23/20	2.45	1.05 W	0.00	323 of 512 - Sale <sup>25</sup>
	0.005	1.78	09/23/20	2.57	0.79 W	0.00	324 of 512 - Sale <sup>25</sup>
	0.006	2.43	09/23/20	3.72	1.29 W	0.00	325 of 512 - Sale <sup>25</sup>
	0.013	4.96	09/23/20	7.12	2.16 W	0.00	326 of 512 - Sale <sup>25</sup>
	0.025	9.67	09/23/20	16.59	6.92 W	0.00	327 of 512 - Sale <sup>25</sup>
	0.055	21.01	09/23/20	36.36	15.35 W	0.00	328 of 512 - Sale <sup>25</sup>
	0.060	22.98	09/23/20	41.46	18.48 W	0.00	329 of 512 - Sale <sup>25</sup>
	0.063	24.09	09/23/20	36.51	12.42 W	0.00	330 of 512 - Sale <sup>25</sup>
	0.072	27.65	09/23/20	49.43	21.78 W	0.00	331 of 512 - Sale <sup>25</sup>
	0.075	28.66	09/23/20	50.70	22.04 W	0.00	332 of 512 - Sale <sup>25</sup>
	0.083	31.71	09/23/20	44.28	12.57 W	0.00	333 of 512 - Sale <sup>25</sup>
	0.117	44.70	09/23/20	79.81	35.11 W	0.00	334 of 512 - Sale <sup>25</sup>
	0.152	57.90	09/23/20	88.12	30.22 W	0.00	335 of 512 - Sale <sup>25</sup>
	0.001	0.25	09/23/20	0.30	0.05 W	0.00	336 of 512 - Sale <sup>25</sup>
	0.001	0.47	09/23/20	0.52	0.05 W	0.00	337 of 512 - Sale <sup>25</sup>
	0.003	1.17	09/23/20	2.15	0.98 W	0.00	338 of 512 - Sale <sup>25</sup>
	0.006	2.27	09/23/20	2.37	0.10 W	0.00	339 of 512 - Sale <sup>25</sup>
	0.006	2.43	09/23/20	2.55	0.12 W	0.00	340 of 512 - Sale <sup>25</sup>
	0.006	2.48	09/23/20	4.38	1.90 W	0.00	341 of 512 - Sale <sup>25</sup>
	0.009	3.29	09/23/20	3.43	0.14 W	0.00	342 of 512 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.009	3.49	09/23/20	3.68	0.19 W	0.00	343 of 512 - Sale <sup>25</sup>
	0.010	3.66	09/23/20	4.63	0.97 W	0.00	344 of 512 - Sale <sup>25</sup>
	0.012	4.60	09/23/20	5.99	1.39 W	0.00	345 of 512 - Sale <sup>25</sup>
	0.013	5.02	09/23/20	8.86	3.84 W	0.00	346 of 512 - Sale <sup>25</sup>
	0.018	6.85	09/23/20	12.52	5.67 W	0.00	347 of 512 - Sale <sup>25</sup>
	0.023	8.71	09/23/20	15.38	6.67 W	0.00	348 of 512 - Sale <sup>25</sup>
	0.024	9.08	09/23/20	16.72	7.64 W	0.00	349 of 512 - Sale <sup>25</sup>
	0.029	11.18	09/23/20	18.71	7.53 W	0.00	350 of 512 - Sale <sup>25</sup>
	0.030	11.42	09/23/20	19.10	7.68 W	0.00	351 of 512 - Sale <sup>25</sup>
	0.044	16.72	09/23/20	20.08	3.36 W	0.00	352 of 512 - Sale <sup>25</sup>
	0.072	27.64	09/23/20	36.82	9.18 W	0.00	353 of 512 - Sale <sup>25</sup>
	0.077	29.30	09/23/20	32.23	2.93 W	0.00	354 of 512 - Sale <sup>25</sup>
	0.078	29.94	09/23/20	34.40	4.46 W	0.00	355 of 512 - Sale <sup>25</sup>
	0.082	31.45	09/23/20	41.96	10.51 W	0.00	356 of 512 - Sale <sup>25</sup>
	0.083	31.77	09/23/20	53.25	21.48 W	0.00	357 of 512 - Sale <sup>25</sup>
	0.144	55.06	09/23/20	97.25	42.19 W	0.00	358 of 512 - Sale <sup>25</sup>
	0.167	63.73	09/23/20	111.43	47.70 W	0.00	359 of 512 - Sale <sup>25</sup>
	0.001	0.46	09/23/20	0.51	0.05 W	0.00	360 of 512 - Sale <sup>25</sup>
	0.004	1.42	09/23/20	1.93	0.51 W	0.00	361 of 512 - Sale <sup>25</sup>
	0.035	13.53	09/23/20	17.88	4.35 W	0.00	362 of 512 - Sale <sup>25</sup>
	0.075	28.52	09/23/20	33.01	4.49 W	0.00	363 of 512 - Sale <sup>25</sup>
	0.020	7.47	09/23/20	7.77	0.30 W	0.00	364 of 512 - Sale <sup>25</sup>
	0.034	13.10	09/23/20	15.36	2.26 W	0.00	365 of 512 - Sale <sup>25</sup>
	0.009	3.38	09/23/20	3.71	0.33 W	0.00	366 of 512 - Sale <sup>25</sup>
	0.011	4.19	09/23/20	4.60	0.41 W	0.00	367 of 512 - Sale <sup>25</sup>
	0.017	6.60	09/23/20	8.73	2.13 W	0.00	368 of 512 - Sale <sup>25</sup>
	0.035	13.43	09/23/20	15.07	1.64 W	0.00	369 of 512 - Sale <sup>25</sup>
	0.041	15.65	09/23/20	17.56	1.91 W	0.00	370 of 512 - Sale <sup>25</sup>
	0.045	17.01	09/23/20	18.78	1.77 W	0.00	371 of 512 - Sale <sup>25</sup>
	0.047	18.11	09/23/20	20.06	1.95 W	0.00	372 of 512 - Sale <sup>25</sup>
	0.062	23.65	09/23/20	26.06	2.41 W	0.00	373 of 512 - Sale <sup>25</sup>
	0.089	33.86	09/23/20	37.47	3.61 W	0.00	374 of 512 - Sale <sup>25</sup>
	0.137	52.21	09/23/20	58.24	6.03 W	0.00	375 of 512 - Sale <sup>25</sup>
	0.188	71.85	09/23/20	84.86	13.01 W	0.00	376 of 512 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.012	4.69	09/23/20	5.32	0.63 W	0.00	377 of 512 - Sale <sup>25</sup>
	0.016	6.14	09/23/20	6.55	0.41 W	0.00	378 of 512 - Sale <sup>25</sup>
	0.045	17.33	09/23/20	23.32	5.99 W	0.00	379 of 512 - Sale <sup>25</sup>
	0.088	33.43	09/23/20	35.78	2.35 W	0.00	380 of 512 - Sale <sup>25</sup>
	0.166	63.23	09/23/20	65.67	2.44 W	0.00	381 of 512 - Sale <sup>25</sup>
	0.181	68.99	09/23/20	92.91	23.92 W	0.00	382 of 512 - Sale <sup>25</sup>
	3.576	1,364.78	09/23/20	1,412.48	47.70 W	0.00	383 of 512 - Sale <sup>25</sup>
	14.243	5,435.72	09/23/20	5,625.64	189.92 W	0.00	384 of 512 - Sale <sup>25</sup>
	11.000	4,198.11	09/23/20	4,512.64	314.53 W	0.00	385 of 512 - Sale <sup>25</sup>
	1.598	609.88	09/23/20	741.79	131.91 W	0.00	386 of 512 - Sale <sup>25</sup>
	1.000	381.65	09/23/20	423.90	42.25 W	0.00	387 of 512 - Sale <sup>25</sup>
	7.159	2,732.28	09/23/20	3,034.78	302.50 W	0.00	388 of 512 - Sale <sup>25</sup>
	11.159	4,258.86	09/23/20	4,489.21	230.35 W	0.00	389 of 512 - Sale <sup>25</sup>
	16.841	6,427.25	09/23/20	6,791.75	364.50 W	0.00	390 of 512 - Sale <sup>25</sup>
	3.402	1,298.35	09/23/20	1,580.87	282.52 W	0.00	391 of 512 - Sale <sup>25</sup>
	0.598	228.24	09/23/20	266.71	38.47 W	0.00	392 of 512 - Sale <sup>25</sup>
	1.000	381.65	09/23/20	449.98	68.33 W	0.00	393 of 512 - Sale <sup>25</sup>
	3.402	1,298.35	09/23/20	1,530.83	232.48 W	0.00	394 of 512 - Sale <sup>25</sup>
	0.916	349.73	09/23/20	398.14	48.41 W	0.00	395 of 512 - Sale <sup>25</sup>
	6.841	2,610.78	09/23/20	2,961.94	351.16 W	0.00	396 of 512 - Sale <sup>25</sup>
	5.000	1,908.23	09/23/20	2,011.45	103.22 W	0.00	397 of 512 - Sale <sup>25</sup>
	19.841	7,572.19	09/23/20	7,801.18	228.99 W	0.00	398 of 512 - Sale <sup>25</sup>
	0.076	29.01	09/23/20	29.89	0.88 W	0.00	399 of 512 - Sale <sup>25</sup>
	0.004	1.71	09/23/20	1.77	0.06 W	0.00	400 of 512 - Sale <sup>25</sup>
	0.000	0.01	09/23/20	0.02	0.01 W	0.00	401 of 512 - Sale <sup>25</sup>
	0.002	0.61	09/23/20	0.75	0.14 W	0.00	402 of 512 - Sale <sup>25</sup>
	0.009	3.46	09/23/20	4.00	0.54 W	0.00	403 of 512 - Sale <sup>25</sup>
	0.037	13.80	09/23/20	16.77	2.97 W	0.00	404 of 512 - Sale <sup>25</sup>
	0.013	5.07	09/23/20	5.49	0.42 W	0.00	405 of 512 - Sale <sup>25</sup>
	0.015	5.74	09/23/20	6.20	0.46 W	0.00	406 of 512 - Sale <sup>25</sup>
	0.018	6.75	09/23/20	9.24	2.49 W	0.00	407 of 512 - Sale <sup>25</sup>
	0.033	12.48	09/23/20	13.57	1.09 W	0.00	408 of 512 - Sale <sup>25</sup>
	0.034	13.00	09/23/20	14.94	1.94 W	0.00	409 of 512 - Sale <sup>25</sup>
	0.035	13.02	09/23/20	18.50	5.48 W	0.00	410 of 512 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.039		14.80	09/23/20	20.15	5.35 W	0.00	411 of 512 - Sale <sup>25</sup>
0.053		19.86	09/23/20	21.59	1.73 W	0.00	412 of 512 - Sale <sup>25</sup>
0.096		36.03	09/23/20	40.61	4.58 W	0.00	413 of 512 - Sale <sup>25</sup>
0.001		0.20	09/23/20	0.37	0.17 W	0.00	414 of 512 - Sale <sup>25</sup>
0.001		0.24	09/23/20	0.35	0.11 W	0.00	415 of 512 - Sale <sup>25</sup>
0.002		0.74	09/23/20	1.31	0.57 W	0.00	416 of 512 - Sale <sup>25</sup>
0.004		1.38	09/23/20	2.44	1.06 W	0.00	417 of 512 - Sale <sup>25</sup>
0.005		1.76	09/23/20	2.57	0.81 W	0.00	418 of 512 - Sale <sup>25</sup>
0.006		2.40	09/23/20	3.72	1.32 W	0.00	419 of 512 - Sale <sup>25</sup>
0.013		4.90	09/23/20	7.11	2.21 W	0.00	420 of 512 - Sale <sup>25</sup>
0.025		9.55	09/23/20	16.57	7.02 W	0.00	421 of 512 - Sale <sup>25</sup>
0.055		20.76	09/23/20	36.33	15.57 W	0.00	422 of 512 - Sale <sup>25</sup>
0.060		22.70	09/23/20	41.42	18.72 W	0.00	423 of 512 - Sale <sup>25</sup>
0.063		23.79	09/23/20	36.47	12.68 W	0.00	424 of 512 - Sale <sup>25</sup>
0.072		27.31	09/23/20	49.39	22.08 W	0.00	425 of 512 - Sale <sup>25</sup>
0.075		28.31	09/23/20	50.65	22.34 W	0.00	426 of 512 - Sale <sup>25</sup>
0.083		31.32	09/23/20	44.23	12.91 W	0.00	427 of 512 - Sale <sup>25</sup>
0.117		44.15	09/23/20	79.73	35.58 W	0.00	428 of 512 - Sale <sup>25</sup>
0.152		57.19	09/23/20	88.02	30.83 W	0.00	429 of 512 - Sale <sup>25</sup>
0.001		0.24	09/23/20	0.30	0.06 W	0.00	430 of 512 - Sale <sup>25</sup>
0.001		0.47	09/23/20	0.52	0.05 W	0.00	431 of 512 - Sale <sup>25</sup>
0.003		1.16	09/23/20	2.15	0.99 W	0.00	432 of 512 - Sale <sup>25</sup>
0.006		2.24	09/23/20	2.37	0.13 W	0.00	433 of 512 - Sale <sup>25</sup>
0.006		2.40	09/23/20	2.54	0.14 W	0.00	434 of 512 - Sale <sup>25</sup>
0.006		2.45	09/23/20	4.37	1.92 W	0.00	435 of 512 - Sale <sup>25</sup>
0.009		3.25	09/23/20	3.42	0.17 W	0.00	436 of 512 - Sale <sup>25</sup>
0.009		3.44	09/23/20	3.67	0.23 W	0.00	437 of 512 - Sale <sup>25</sup>
0.010		3.62	09/23/20	4.63	1.01 W	0.00	438 of 512 - Sale <sup>25</sup>
0.012		4.54	09/23/20	5.98	1.44 W	0.00	439 of 512 - Sale <sup>25</sup>
0.013		4.96	09/23/20	8.85	3.89 W	0.00	440 of 512 - Sale <sup>25</sup>
0.018		6.77	09/23/20	12.51	5.74 W	0.00	441 of 512 - Sale <sup>25</sup>
0.023		8.61	09/23/20	15.37	6.76 W	0.00	442 of 512 - Sale <sup>25</sup>
0.024		8.97	09/23/20	16.70	7.73 W	0.00	443 of 512 - Sale <sup>25</sup>
0.029		11.04	09/23/20	18.69	7.65 W	0.00	444 of 512 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.030		11.28	09/23/20	19.08	7.80 W	0.00	445 of 512 - Sale <sup>25</sup>
0.044		16.52	09/23/20	20.05	3.53 W	0.00	446 of 512 - Sale <sup>25</sup>
0.072		27.31	09/23/20	36.78	9.47 W	0.00	447 of 512 - Sale <sup>25</sup>
0.077		28.94	09/23/20	32.18	3.24 W	0.00	448 of 512 - Sale <sup>25</sup>
0.078		29.58	09/23/20	34.35	4.77 W	0.00	449 of 512 - Sale <sup>25</sup>
0.082		31.06	09/23/20	41.91	10.85 W	0.00	450 of 512 - Sale <sup>25</sup>
0.083		31.38	09/23/20	53.19	21.81 W	0.00	451 of 512 - Sale <sup>25</sup>
0.144		54.39	09/23/20	97.16	42.77 W	0.00	452 of 512 - Sale <sup>25</sup>
0.167		62.95	09/23/20	111.32	48.37 W	0.00	453 of 512 - Sale <sup>25</sup>
0.001		0.45	09/23/20	0.51	0.06 W	0.00	454 of 512 - Sale <sup>25</sup>
0.004		1.40	09/23/20	1.93	0.53 W	0.00	455 of 512 - Sale <sup>25</sup>
0.035		13.37	09/23/20	17.86	4.49 W	0.00	456 of 512 - Sale <sup>25</sup>
0.075		28.17	09/23/20	32.96	4.79 W	0.00	457 of 512 - Sale <sup>25</sup>
0.020		7.37	09/23/20	7.75	0.38 W	0.00	458 of 512 - Sale <sup>25</sup>
0.034		12.94	09/23/20	15.34	2.40 W	0.00	459 of 512 - Sale <sup>25</sup>
0.009		3.34	09/23/20	3.70	0.36 W	0.00	460 of 512 - Sale <sup>25</sup>
0.011		4.13	09/23/20	4.59	0.46 W	0.00	461 of 512 - Sale <sup>25</sup>
0.017		6.52	09/23/20	8.72	2.20 W	0.00	462 of 512 - Sale <sup>25</sup>
0.035		13.27	09/23/20	15.05	1.78 W	0.00	463 of 512 - Sale <sup>25</sup>
0.041		15.45	09/23/20	17.53	2.08 W	0.00	464 of 512 - Sale <sup>25</sup>
0.045		16.80	09/23/20	18.75	1.95 W	0.00	465 of 512 - Sale <sup>25</sup>
0.047		17.89	09/23/20	20.03	2.14 W	0.00	466 of 512 - Sale <sup>25</sup>
0.062		23.36	09/23/20	26.02	2.66 W	0.00	467 of 512 - Sale <sup>25</sup>
0.089		33.44	09/23/20	37.41	3.97 W	0.00	468 of 512 - Sale <sup>25</sup>
0.137		51.58	09/23/20	58.16	6.58 W	0.00	469 of 512 - Sale <sup>25</sup>
0.188		70.97	09/23/20	84.73	13.76 W	0.00	470 of 512 - Sale <sup>25</sup>
0.012		4.64	09/23/20	5.32	0.68 W	0.00	471 of 512 - Sale <sup>25</sup>
0.016		6.06	09/23/20	6.54	0.48 W	0.00	472 of 512 - Sale <sup>25</sup>
0.045		17.12	09/23/20	23.29	6.17 W	0.00	473 of 512 - Sale <sup>25</sup>
0.088		33.02	09/23/20	35.72	2.70 W	0.00	474 of 512 - Sale <sup>25</sup>
0.166		62.46	09/23/20	65.57	3.11 W	0.00	475 of 512 - Sale <sup>25</sup>
0.181		68.15	09/23/20	92.80	24.65 W	0.00	476 of 512 - Sale <sup>25</sup>
1.576		594.15	09/23/20	621.49	27.34 W	0.00	477 of 512 - Sale <sup>25</sup>
1.000		377.00	09/23/20	394.34	17.34 W	0.00	478 of 512 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	1.000	376.99	09/23/20	394.34	17.35 W	0.00	479 of 512 - Sale <sup>25</sup>
	1.000	376.99	09/23/20	394.33	17.34 W	0.00	480 of 512 - Sale <sup>25</sup>
	9.000	3,392.92	09/23/20	3,549.00	156.08 W	0.00	481 of 512 - Sale <sup>25</sup>
	4.243	1,599.50	09/23/20	1,673.07	73.57 W	0.00	482 of 512 - Sale <sup>25</sup>
	2.838	1,069.78	09/23/20	1,162.30	92.52 W	0.00	483 of 512 - Sale <sup>25</sup>
	0.920	346.65	09/23/20	357.86	11.21 W	0.00	484 of 512 - Sale <sup>25</sup>
	1.000	376.99	09/23/20	409.09	32.10 W	0.00	485 of 512 - Sale <sup>25</sup>
	1.000	377.00	09/23/20	409.09	32.09 W	0.00	486 of 512 - Sale <sup>25</sup>
	6.162	2,323.13	09/23/20	2,520.97	197.84 W	0.00	487 of 512 - Sale <sup>25</sup>
	1.598	602.44	09/23/20	739.96	137.52 W	0.00	488 of 512 - Sale <sup>25</sup>
	1.000	376.99	09/23/20	422.75	45.76 W	0.00	489 of 512 - Sale <sup>25</sup>
	1.240	467.35	09/23/20	524.07	56.72 W	0.00	490 of 512 - Sale <sup>25</sup>
	1.000	377.00	09/23/20	422.75	45.75 W	0.00	491 of 512 - Sale <sup>25</sup>
	4.920	1,854.61	09/23/20	2,079.74	225.13 W	0.00	492 of 512 - Sale <sup>25</sup>
	7.081	2,669.29	09/23/20	2,847.38	178.09 W	0.00	493 of 512 - Sale <sup>25</sup>
	4.000	1,507.97	09/23/20	1,606.57	98.60 W	0.00	494 of 512 - Sale <sup>25</sup>
	3.402	1,282.51	09/23/20	1,575.27	292.76 W	0.00	495 of 512 - Sale <sup>25</sup>
	0.081	30.35	09/23/20	36.09	5.74 W	0.00	496 of 512 - Sale <sup>25</sup>
	0.598	225.45	09/23/20	265.72	40.27 W	0.00	497 of 512 - Sale <sup>25</sup>
	5.760	2,171.60	09/23/20	2,313.61	142.01 W	0.00	498 of 512 - Sale <sup>25</sup>
	11.159	4,206.91	09/23/20	4,470.83	263.92 W	0.00	499 of 512 - Sale <sup>25</sup>
	0.920	346.64	09/23/20	412.01	65.37 W	0.00	500 of 512 - Sale <sup>25</sup>
	2.081	784.33	09/23/20	932.24	147.91 W	0.00	501 of 512 - Sale <sup>25</sup>
	1.000	377.00	09/23/20	448.09	71.09 W	0.00	502 of 512 - Sale <sup>25</sup>
	0.321	121.20	09/23/20	144.05	22.85 W	0.00	503 of 512 - Sale <sup>25</sup>
	0.679	255.80	09/23/20	293.51	37.71 W	0.00	504 of 512 - Sale <sup>25</sup>
	0.238	89.67	09/23/20	102.89	13.22 W	0.00	505 of 512 - Sale <sup>25</sup>
	4.762	1,795.28	09/23/20	2,052.89	257.61 W	0.00	506 of 512 - Sale <sup>25</sup>
	2.079	783.64	09/23/20	896.08	112.44 W	0.00	507 of 512 - Sale <sup>25</sup>
	0.921	347.33	09/23/20	368.89	21.56 W	0.00	508 of 512 - Sale <sup>25</sup>
	4.079	1,537.63	09/23/20	1,633.08	95.45 W	0.00	509 of 512 - Sale <sup>25</sup>
	6.921	2,609.28	09/23/20	2,708.25	98.97 W	0.00	510 of 512 - Sale <sup>25</sup>
	6.000	2,261.95	09/23/20	2,346.25	84.30 W	0.00	511 of 512 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
09/23/20	5.000	1,884.95	09/23/20	1,955.21	70.26 W	0.00	512 of 512 - Sale <sup>25</sup>
	476.306	182,216.80	Various	196,917.48	14,700.68 W	0.00	Total of 512 transactions
	77 transactions for 09/24/20. Total proceeds and cost reported to the IRS.						
	0.000	0.01	09/23/20	0.02	0.01 W	0.00	1 of 77 - Sale <sup>25</sup>
	0.002	0.63	09/23/20	0.75	0.12 W	0.00	2 of 77 - Sale <sup>25</sup>
	0.009	3.60	09/23/20	4.01	0.41 W	0.00	3 of 77 - Sale <sup>25</sup>
	0.037	14.38	09/23/20	16.82	2.44 W	0.00	4 of 77 - Sale <sup>25</sup>
	0.013	5.28	09/23/20	5.51	0.23 W	0.00	5 of 77 - Sale <sup>25</sup>
	0.015	5.98	09/23/20	6.23	0.25 W	0.00	6 of 77 - Sale <sup>25</sup>
	0.018	7.03	09/23/20	9.26	2.23 W	0.00	7 of 77 - Sale <sup>25</sup>
	0.033	13.00	09/23/20	13.62	0.62 W	0.00	8 of 77 - Sale <sup>25</sup>
	0.034	13.55	09/23/20	14.99	1.44 W	0.00	9 of 77 - Sale <sup>25</sup>
	0.035	13.57	09/23/20	18.55	4.98 W	0.00	10 of 77 - Sale <sup>25</sup>
	0.039	15.42	09/23/20	20.21	4.79 W	0.00	11 of 77 - Sale <sup>25</sup>
	0.053	20.69	09/23/20	21.67	0.98 W	0.00	12 of 77 - Sale <sup>25</sup>
	0.096	37.54	09/23/20	40.75	3.21 W	0.00	13 of 77 - Sale <sup>25</sup>
	0.001	0.21	09/23/20	0.37	0.16 W	0.00	14 of 77 - Sale <sup>25</sup>
	0.001	0.25	09/23/20	0.35	0.10 W	0.00	15 of 77 - Sale <sup>25</sup>
	0.002	0.77	09/23/20	1.31	0.54 W	0.00	16 of 77 - Sale <sup>25</sup>
	0.004	1.44	09/23/20	2.44	1.00 W	0.00	17 of 77 - Sale <sup>25</sup>
	0.005	1.84	09/23/20	2.58	0.74 W	0.00	18 of 77 - Sale <sup>25</sup>
	0.006	2.51	09/23/20	3.73	1.22 W	0.00	19 of 77 - Sale <sup>25</sup>
	0.013	5.10	09/23/20	7.13	2.03 W	0.00	20 of 77 - Sale <sup>25</sup>
	0.025	9.95	09/23/20	16.61	6.66 W	0.00	21 of 77 - Sale <sup>25</sup>
	0.055	21.62	09/23/20	36.41	14.79 W	0.00	22 of 77 - Sale <sup>25</sup>
	0.060	23.65	09/23/20	41.51	17.86 W	0.00	23 of 77 - Sale <sup>25</sup>
	0.063	24.79	09/23/20	36.57	11.78 W	0.00	24 of 77 - Sale <sup>25</sup>
	0.072	28.46	09/23/20	49.50	21.04 W	0.00	25 of 77 - Sale <sup>25</sup>
	0.075	29.49	09/23/20	50.76	21.27 W	0.00	26 of 77 - Sale <sup>25</sup>
	0.083	32.64	09/23/20	44.36	11.72 W	0.00	27 of 77 - Sale <sup>25</sup>
	0.117	46.00	09/23/20	79.91	33.91 W	0.00	28 of 77 - Sale <sup>25</sup>
	0.152	59.59	09/23/20	88.25	28.66 W	0.00	29 of 77 - Sale <sup>25</sup>
	0.001	0.25	09/23/20	0.30	0.05 W	0.00	30 of 77 - Sale <sup>25</sup>
	0.001	0.48	09/23/20	0.52	0.04 W	0.00	31 of 77 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.003	1.21	09/23/20	2.15	0.94 W	0.00	32 of 77 - Sale <sup>25</sup>
	0.006	2.34	09/23/20	2.38	0.04 W	0.00	33 of 77 - Sale <sup>25</sup>
	0.006	2.50	09/23/20	2.55	0.05 W	0.00	34 of 77 - Sale <sup>25</sup>
	0.006	2.55	09/23/20	4.38	1.83 W	0.00	35 of 77 - Sale <sup>25</sup>
	0.009	3.39	09/23/20	3.43	0.04 W	0.00	36 of 77 - Sale <sup>25</sup>
	0.009	3.59	09/23/20	3.69	0.10 W	0.00	37 of 77 - Sale <sup>25</sup>
	0.010	3.77	09/23/20	4.64	0.87 W	0.00	38 of 77 - Sale <sup>25</sup>
	0.012	4.73	09/23/20	6.00	1.27 W	0.00	39 of 77 - Sale <sup>25</sup>
	0.013	5.17	09/23/20	8.87	3.70 W	0.00	40 of 77 - Sale <sup>25</sup>
	0.018	7.05	09/23/20	12.54	5.49 W	0.00	41 of 77 - Sale <sup>25</sup>
	0.023	8.97	09/23/20	15.40	6.43 W	0.00	42 of 77 - Sale <sup>25</sup>
	0.024	9.34	09/23/20	16.73	7.39 W	0.00	43 of 77 - Sale <sup>25</sup>
	0.029	11.51	09/23/20	18.74	7.23 W	0.00	44 of 77 - Sale <sup>25</sup>
	0.030	11.75	09/23/20	19.12	7.37 W	0.00	45 of 77 - Sale <sup>25</sup>
	0.044	17.21	09/23/20	20.11	2.90 W	0.00	46 of 77 - Sale <sup>25</sup>
	0.072	28.45	09/23/20	36.89	8.44 W	0.00	47 of 77 - Sale <sup>25</sup>
	0.077	30.15	09/23/20	32.30	2.15 W	0.00	48 of 77 - Sale <sup>25</sup>
	0.078	30.82	09/23/20	34.47	3.65 W	0.00	49 of 77 - Sale <sup>25</sup>
	0.082	32.37	09/23/20	42.04	9.67 W	0.00	50 of 77 - Sale <sup>25</sup>
	0.083	32.69	09/23/20	53.31	20.62 W	0.00	51 of 77 - Sale <sup>25</sup>
	0.144	56.67	09/23/20	97.38	40.71 W	0.00	52 of 77 - Sale <sup>25</sup>
	0.167	65.59	09/23/20	111.57	45.98 W	0.00	53 of 77 - Sale <sup>25</sup>
	0.001	0.47	09/23/20	0.51	0.04 W	0.00	54 of 77 - Sale <sup>25</sup>
	0.004	1.46	09/23/20	1.94	0.48 W	0.00	55 of 77 - Sale <sup>25</sup>
	0.035	13.93	09/23/20	17.91	3.98 W	0.00	56 of 77 - Sale <sup>25</sup>
	0.075	29.35	09/23/20	33.08	3.73 W	0.00	57 of 77 - Sale <sup>25</sup>
	0.020	7.68	09/23/20	7.78	0.10 W	0.00	58 of 77 - Sale <sup>25</sup>
	0.034	13.49	09/23/20	15.40	1.91 W	0.00	59 of 77 - Sale <sup>25</sup>
	0.009	3.48	09/23/20	3.71	0.23 W	0.00	60 of 77 - Sale <sup>25</sup>
	0.011	4.31	09/23/20	4.61	0.30 W	0.00	61 of 77 - Sale <sup>25</sup>
	0.017	6.79	09/23/20	8.75	1.96 W	0.00	62 of 77 - Sale <sup>25</sup>
	0.035	13.82	09/23/20	15.10	1.28 W	0.00	63 of 77 - Sale <sup>25</sup>
	0.041	16.10	09/23/20	17.60	1.50 W	0.00	64 of 77 - Sale <sup>25</sup>
	0.045	17.50	09/23/20	18.82	1.32 W	0.00	65 of 77 - Sale <sup>25</sup>

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(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.047	18.64	09/23/20	20.10	1.46 W	0.00	66 of 77 - Sale <sup>25</sup>
	0.062	24.34	09/23/20	26.11	1.77 W	0.00	67 of 77 - Sale <sup>25</sup>
	0.089	34.85	09/23/20	37.55	2.70 W	0.00	68 of 77 - Sale <sup>25</sup>
	0.137	53.74	09/23/20	58.36	4.62 W	0.00	69 of 77 - Sale <sup>25</sup>
	0.188	73.94	09/23/20	85.01	11.07 W	0.00	70 of 77 - Sale <sup>25</sup>
	0.012	4.83	09/23/20	5.33	0.50 W	0.00	71 of 77 - Sale <sup>25</sup>
	0.016	6.32	09/23/20	6.57	0.25 W	0.00	72 of 77 - Sale <sup>25</sup>
	0.045	17.84	09/23/20	23.36	5.52 W	0.00	73 of 77 - Sale <sup>25</sup>
	0.088	34.40	09/23/20	35.85	1.45 W	0.00	74 of 77 - Sale <sup>25</sup>
	0.166	65.08	09/23/20	65.82	0.74 W	0.00	75 of 77 - Sale <sup>25</sup>
	0.181	71.01	09/23/20	93.08	22.07 W	0.00	76 of 77 - Sale <sup>25</sup>
	1.576	619.04	09/23/20	623.87	4.83 W	0.00	77 of 77 - Sale <sup>25</sup>
09/24/20	5.000	1,963.95	Various	2,409.91	445.96 W	0.00	Total of 77 transactions
	4 transactions for 09/25/20. Total proceeds and cost reported to the IRS.						
	1.000	401.51	09/23/20	395.84	...	5.67	1 of 4 - Sale <sup>25</sup>
	1.000	401.52	09/23/20	395.85	...	5.67	2 of 4 - Sale <sup>25</sup>
	1.000	401.51	09/23/20	395.84	...	5.67	3 of 4 - Sale <sup>25</sup>
	2.000	803.45	09/23/20	791.68	...	11.77	4 of 4 - Sale <sup>25</sup>
09/25/20	5.000	2,007.99	Various	1,979.21	...	28.78	Total of 4 transactions
	8 transactions for 09/28/20. Total proceeds and cost reported to the IRS.						
	1.000	418.99	09/23/20	410.60	...	8.39	1 of 8 - Sale <sup>25</sup>
	1.000	418.99	09/23/20	410.59	...	8.40	2 of 8 - Sale <sup>25</sup>
	2.243	939.82	09/23/20	920.90	...	18.92	3 of 8 - Sale <sup>25</sup>
	2.838	1,188.97	09/23/20	1,166.59	...	22.38	4 of 8 - Sale <sup>25</sup>
	3.920	1,642.24	09/23/20	1,609.37	...	32.87	5 of 8 - Sale <sup>25</sup>
	2.000	837.98	09/23/20	791.69	...	46.29	6 of 8 - Sale <sup>25</sup>
	4.243	1,777.69	09/23/20	1,679.47	...	98.22	7 of 8 - Sale <sup>25</sup>
	5.000	2,095.84	09/23/20	1,979.21	...	116.63	8 of 8 - Sale <sup>25</sup>
09/28/20	22.243	9,320.52	Various	8,968.42	...	352.10	Total of 8 transactions
	3 transactions for 09/28/20. Total proceeds and cost reported to the IRS.						
	1.598	669.64	09/23/20	742.38	72.74 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	419.04	09/23/20	424.26	5.22 W	0.00	2 of 3 - Sale <sup>25</sup>

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(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.159	66.70	09/23/20	67.53	0.83 W	0.00	3 of 3 - Sale <sup>25</sup>
09/28/20	2.757	1,155.38	Various	1,234.17	78.79 W	0.00	Total of 3 transactions
	9 transactions for 09/29/20. Total proceeds and cost reported to the IRS.						
	0.004	1.91	09/23/20	1.76	...	0.15	1 of 9 - Sale <sup>25</sup>
	1.000	425.08	09/23/20	424.25	...	0.83	2 of 9 - Sale <sup>25</sup>
	1.081	459.29	09/23/20	458.40	...	0.89	3 of 9 - Sale <sup>25</sup>
	2.920	1,241.01	09/23/20	1,238.64	...	2.37	4 of 9 - Sale <sup>25</sup>
	0.076	32.37	09/23/20	29.65	...	2.72	5 of 9 - Sale <sup>25</sup>
	2.000	851.63	09/23/20	848.53	...	3.10	6 of 9 - Sale <sup>25</sup>
	0.920	391.54	09/23/20	359.24	...	32.30	7 of 9 - Sale <sup>25</sup>
	1.920	817.35	09/23/20	748.68	...	68.67	8 of 9 - Sale <sup>25</sup>
	5.081	2,163.35	09/23/20	2,050.76	...	112.59	9 of 9 - Sale <sup>25</sup>
09/29/20	15.000	6,383.53	Various	6,159.91	...	223.62	Total of 9 transactions
09/29/20	3.000	1,252.62	09/23/20	1,390.66	138.04 W	0.00	Sale <sup>25</sup>
	4 transactions for 09/30/20. Total proceeds and cost reported to the IRS.						
	0.920	396.31	09/23/20	369.78	...	26.53	1 of 4 - Sale <sup>25</sup>
	1.920	824.08	09/23/20	771.93	...	52.15	2 of 4 - Sale <sup>25</sup>
	2.000	858.64	09/23/20	805.30	...	53.34	3 of 4 - Sale <sup>25</sup>
	2.081	896.70	09/23/20	836.67	...	60.03	4 of 4 - Sale <sup>25</sup>
09/30/20	6.920	2,975.73	Various	2,783.68	...	192.05	Total of 4 transactions
	10 transactions for 09/30/20. Total proceeds and cost reported to the IRS.						
	0.402	172.57	09/23/20	186.34	13.77 W	0.00	1 of 10 - Sale <sup>25</sup>
	0.081	34.56	09/23/20	36.13	1.57 W	0.00	2 of 10 - Sale <sup>25</sup>
	0.598	256.74	09/23/20	266.03	9.29 W	0.00	3 of 10 - Sale <sup>25</sup>
	0.321	138.56	09/23/20	144.05	5.49 W	0.00	4 of 10 - Sale <sup>25</sup>
	0.920	396.31	09/23/20	412.02	15.71 W	0.00	5 of 10 - Sale <sup>25</sup>
	1.000	431.01	09/23/20	448.09	17.08 W	0.00	6 of 10 - Sale <sup>25</sup>
	2.081	896.70	09/23/20	932.26	35.56 W	0.00	7 of 10 - Sale <sup>25</sup>
	0.238	102.51	09/23/20	102.89	0.38 W	0.00	8 of 10 - Sale <sup>25</sup>
	0.679	292.45	09/23/20	293.51	1.06 W	0.00	9 of 10 - Sale <sup>25</sup>
	1.762	759.50	09/23/20	759.65	0.15 W	0.00	10 of 10 - Sale <sup>25</sup>
09/30/20	8.081	3,480.91	Various	3,580.97	100.06 W	0.00	Total of 10 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.							
	1.000	446.83	09/23/20	431.09	...	15.74	1 of 2 - Sale <sup>25</sup>
	3.000	1,340.49	09/23/20	1,293.29	...	47.20	2 of 2 - Sale <sup>25</sup>
10/01/20	4.000	1,787.32	Various	1,724.38	...	62.94	Total of 2 transactions
9 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	0.921	386.21	09/23/20	368.90	...	17.31	1 of 9 - Sale <sup>25</sup>
	0.921	395.42	09/23/20	370.05	...	25.37	2 of 9 - Sale <sup>25</sup>
	1.000	429.77	09/23/20	401.65	...	28.12	3 of 9 - Sale <sup>25</sup>
	2.920	1,223.83	09/23/20	1,172.63	...	51.20	4 of 9 - Sale <sup>25</sup>
	4.079	1,709.74	09/23/20	1,633.11	...	76.63	5 of 9 - Sale <sup>25</sup>
	3.000	1,257.57	09/23/20	1,173.16	...	84.41	6 of 9 - Sale <sup>25</sup>
	6.000	2,515.14	09/23/20	2,346.30	...	168.84	7 of 9 - Sale <sup>25</sup>
	6.921	2,901.36	09/23/20	2,708.31	...	193.05	8 of 9 - Sale <sup>25</sup>
	11.159	4,677.82	09/23/20	4,470.93	...	206.89	9 of 9 - Sale <sup>25</sup>
10/02/20	36.921	15,496.86	Various	14,645.04	...	851.82	Total of 9 transactions
80 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	1.079	462.95	09/23/20	465.01	2.06 W	0.00	1 of 80 - Sale <sup>25</sup>
	0.000	0.01	09/29/20	0.02	0.01 W	0.00	2 of 80 - Sale <sup>25</sup>
	0.002	0.68	09/29/20	0.81	0.13 W	0.00	3 of 80 - Sale <sup>25</sup>
	0.009	3.84	09/29/20	4.33	0.49 W	0.00	4 of 80 - Sale <sup>25</sup>
	0.037	15.34	09/29/20	18.07	2.73 W	0.00	5 of 80 - Sale <sup>25</sup>
	0.013	5.63	09/29/20	5.97	0.34 W	0.00	6 of 80 - Sale <sup>25</sup>
	0.015	6.39	09/29/20	6.75	0.36 W	0.00	7 of 80 - Sale <sup>25</sup>
	0.018	7.50	09/29/20	9.87	2.37 W	0.00	8 of 80 - Sale <sup>25</sup>
	0.033	13.87	09/29/20	14.75	0.88 W	0.00	9 of 80 - Sale <sup>25</sup>
	0.034	14.46	09/29/20	16.17	1.71 W	0.00	10 of 80 - Sale <sup>25</sup>
	0.035	14.48	09/29/20	19.73	5.25 W	0.00	11 of 80 - Sale <sup>25</sup>
	0.039	16.46	09/29/20	21.56	5.10 W	0.00	12 of 80 - Sale <sup>25</sup>
	0.053	22.08	09/29/20	23.47	1.39 W	0.00	13 of 80 - Sale <sup>25</sup>
	0.096	40.06	09/29/20	44.02	3.96 W	0.00	14 of 80 - Sale <sup>25</sup>
	0.001	0.22	09/29/20	0.39	0.17 W	0.00	15 of 80 - Sale <sup>25</sup>
	0.001	0.27	09/29/20	0.37	0.10 W	0.00	16 of 80 - Sale <sup>25</sup>
	0.002	0.82	09/29/20	1.38	0.56 W	0.00	17 of 80 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.004		1.53	09/29/20	2.56	1.03 W	0.00	18 of 80 - Sale <sup>25</sup>
0.005		1.96	09/29/20	2.74	0.78 W	0.00	19 of 80 - Sale <sup>25</sup>
0.006		2.67	09/29/20	3.94	1.27 W	0.00	20 of 80 - Sale <sup>25</sup>
0.013		5.45	09/29/20	7.58	2.13 W	0.00	21 of 80 - Sale <sup>25</sup>
0.025		10.62	09/29/20	17.48	6.86 W	0.00	22 of 80 - Sale <sup>25</sup>
0.055		23.08	09/29/20	38.30	15.22 W	0.00	23 of 80 - Sale <sup>25</sup>
0.060		25.24	09/29/20	43.57	18.33 W	0.00	24 of 80 - Sale <sup>25</sup>
0.063		26.46	09/29/20	38.73	12.27 W	0.00	25 of 80 - Sale <sup>25</sup>
0.072		30.37	09/29/20	51.98	21.61 W	0.00	26 of 80 - Sale <sup>25</sup>
0.075		31.48	09/29/20	53.33	21.85 W	0.00	27 of 80 - Sale <sup>25</sup>
0.083		34.83	09/29/20	47.20	12.37 W	0.00	28 of 80 - Sale <sup>25</sup>
0.117		49.09	09/29/20	83.92	34.83 W	0.00	29 of 80 - Sale <sup>25</sup>
0.152		63.60	09/29/20	93.44	29.84 W	0.00	30 of 80 - Sale <sup>25</sup>
0.001		0.27	09/29/20	0.32	0.05 W	0.00	31 of 80 - Sale <sup>25</sup>
0.001		0.52	09/29/20	0.57	0.05 W	0.00	32 of 80 - Sale <sup>25</sup>
0.003		1.29	09/29/20	2.25	0.96 W	0.00	33 of 80 - Sale <sup>25</sup>
0.006		2.49	09/29/20	2.58	0.09 W	0.00	34 of 80 - Sale <sup>25</sup>
0.006		2.66	09/29/20	2.76	0.10 W	0.00	35 of 80 - Sale <sup>25</sup>
0.006		2.72	09/29/20	4.60	1.88 W	0.00	36 of 80 - Sale <sup>25</sup>
0.009		3.61	09/29/20	3.72	0.11 W	0.00	37 of 80 - Sale <sup>25</sup>
0.009		3.83	09/29/20	4.00	0.17 W	0.00	38 of 80 - Sale <sup>25</sup>
0.010		4.02	09/29/20	4.97	0.95 W	0.00	39 of 80 - Sale <sup>25</sup>
0.012		5.05	09/29/20	6.41	1.36 W	0.00	40 of 80 - Sale <sup>25</sup>
0.013		5.52	09/29/20	9.32	3.80 W	0.00	41 of 80 - Sale <sup>25</sup>
0.018		7.53	09/29/20	13.16	5.63 W	0.00	42 of 80 - Sale <sup>25</sup>
0.023		9.57	09/29/20	16.18	6.61 W	0.00	43 of 80 - Sale <sup>25</sup>
0.024		9.97	09/29/20	17.54	7.57 W	0.00	44 of 80 - Sale <sup>25</sup>
0.029		12.28	09/29/20	19.74	7.46 W	0.00	45 of 80 - Sale <sup>25</sup>
0.030		12.54	09/29/20	20.14	7.60 W	0.00	46 of 80 - Sale <sup>25</sup>
0.044		18.37	09/29/20	21.61	3.24 W	0.00	47 of 80 - Sale <sup>25</sup>
0.072		30.36	09/29/20	39.37	9.01 W	0.00	48 of 80 - Sale <sup>25</sup>
0.077		32.18	09/29/20	34.93	2.75 W	0.00	49 of 80 - Sale <sup>25</sup>
0.078		32.89	09/29/20	37.15	4.26 W	0.00	50 of 80 - Sale <sup>25</sup>
0.082		34.54	09/29/20	44.86	10.32 W	0.00	51 of 80 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.083	34.89	09/29/20	56.16	21.27 W	0.00	52 of 80 - Sale <sup>25</sup>
	0.144	60.48	09/29/20	102.31	41.83 W	0.00	53 of 80 - Sale <sup>25</sup>
	0.167	70.00	09/29/20	117.28	47.28 W	0.00	54 of 80 - Sale <sup>25</sup>
	0.001	0.50	09/29/20	0.55	0.05 W	0.00	55 of 80 - Sale <sup>25</sup>
	0.004	1.56	09/29/20	2.07	0.51 W	0.00	56 of 80 - Sale <sup>25</sup>
	0.035	14.86	09/29/20	19.12	4.26 W	0.00	57 of 80 - Sale <sup>25</sup>
	0.075	31.33	09/29/20	35.64	4.31 W	0.00	58 of 80 - Sale <sup>25</sup>
	0.020	8.20	09/29/20	8.45	0.25 W	0.00	59 of 80 - Sale <sup>25</sup>
	0.034	14.39	09/29/20	16.57	2.18 W	0.00	60 of 80 - Sale <sup>25</sup>
	0.009	3.71	09/29/20	4.01	0.30 W	0.00	61 of 80 - Sale <sup>25</sup>
	0.011	4.60	09/29/20	4.98	0.38 W	0.00	62 of 80 - Sale <sup>25</sup>
	0.017	7.25	09/29/20	9.35	2.10 W	0.00	63 of 80 - Sale <sup>25</sup>
	0.035	14.75	09/29/20	16.31	1.56 W	0.00	64 of 80 - Sale <sup>25</sup>
	0.041	17.18	09/29/20	19.00	1.82 W	0.00	65 of 80 - Sale <sup>25</sup>
	0.045	18.68	09/29/20	20.35	1.67 W	0.00	66 of 80 - Sale <sup>25</sup>
	0.047	19.89	09/29/20	21.72	1.83 W	0.00	67 of 80 - Sale <sup>25</sup>
	0.062	25.98	09/29/20	28.23	2.25 W	0.00	68 of 80 - Sale <sup>25</sup>
	0.089	37.19	09/29/20	40.58	3.39 W	0.00	69 of 80 - Sale <sup>25</sup>
	0.137	57.35	09/29/20	63.04	5.69 W	0.00	70 of 80 - Sale <sup>25</sup>
	0.188	78.91	09/29/20	91.45	12.54 W	0.00	71 of 80 - Sale <sup>25</sup>
	0.012	5.16	09/29/20	5.75	0.59 W	0.00	72 of 80 - Sale <sup>25</sup>
	0.016	6.74	09/29/20	7.12	0.38 W	0.00	73 of 80 - Sale <sup>25</sup>
	0.045	19.04	09/29/20	24.91	5.87 W	0.00	74 of 80 - Sale <sup>25</sup>
	0.088	36.72	09/29/20	38.85	2.13 W	0.00	75 of 80 - Sale <sup>25</sup>
	0.166	69.45	09/29/20	71.49	2.04 W	0.00	76 of 80 - Sale <sup>25</sup>
	0.181	75.78	09/29/20	99.26	23.48 W	0.00	77 of 80 - Sale <sup>25</sup>
	0.402	168.50	09/29/20	185.41	16.91 W	0.00	78 of 80 - Sale <sup>25</sup>
	1.576	660.67	09/29/20	677.80	17.13 W	0.00	79 of 80 - Sale <sup>25</sup>
	1.598	669.88	09/29/20	755.89	86.01 W	0.00	80 of 80 - Sale <sup>25</sup>
10/02/20	8.079	3,397.29	Various	3,987.27	589.98 W	0.00	Total of 80 transactions
	12 transactions for 10/05/20. Total proceeds and cost reported to the IRS.						
	0.005	2.14	09/29/20	2.11	...	0.03	1 of 12 - Sale <sup>25</sup>
	0.084	36.13	09/29/20	35.71	...	0.42	2 of 12 - Sale <sup>25</sup>
	0.238	102.77	09/29/20	101.94	...	0.83	3 of 12 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.679	293.20	09/29/20	290.79	...	2.41	4 of 12 - Sale <sup>25</sup>
	1.079	466.11	09/29/20	462.66	...	3.45	5 of 12 - Sale <sup>25</sup>
	1.757	759.22	09/29/20	750.48	...	8.74	6 of 12 - Sale <sup>25</sup>
	0.159	67.27	10/02/20	66.06	...	1.21	7 of 12 - Sale <sup>25</sup>
	1.000	422.61	10/02/20	419.99	...	2.62	8 of 12 - Sale <sup>25</sup>
	1.000	422.61	10/02/20	415.01	...	7.60	9 of 12 - Sale <sup>25</sup>
	11.000	4,648.72	10/02/20	4,621.77	...	26.95	10 of 12 - Sale <sup>25</sup>
	6.841	2,891.00	10/02/20	2,837.92	...	53.08	11 of 12 - Sale <sup>25</sup>
	15.000	6,339.16	10/02/20	6,240.01	...	99.15	12 of 12 - Sale <sup>25</sup>
10/05/20	38.841	16,450.94	Various	16,244.45	...	206.49	Total of 12 transactions
	194 transactions for 10/05/20. Total proceeds and cost reported to the IRS.						
	1.402	594.45	09/29/20	663.15	68.70 W	0.00	1 of 194 - Sale <sup>25</sup>
	1.598	677.58	09/29/20	755.10	77.52 W	0.00	2 of 194 - Sale <sup>25</sup>
	0.081	34.13	09/29/20	35.94	1.81 W	0.00	3 of 194 - Sale <sup>25</sup>
	0.598	253.57	09/29/20	264.65	11.08 W	0.00	4 of 194 - Sale <sup>25</sup>
	0.920	389.88	09/29/20	408.34	18.46 W	0.00	5 of 194 - Sale <sup>25</sup>
	0.402	170.44	09/29/20	178.51	8.07 W	0.00	6 of 194 - Sale <sup>25</sup>
	0.321	138.91	09/29/20	142.76	3.85 W	0.00	7 of 194 - Sale <sup>25</sup>
	1.000	432.11	09/29/20	444.08	11.97 W	0.00	8 of 194 - Sale <sup>25</sup>
	1.679	725.31	09/29/20	745.42	20.11 W	0.00	9 of 194 - Sale <sup>25</sup>
	0.159	68.77	09/29/20	68.80	0.03 W	0.00	10 of 194 - Sale <sup>25</sup>
	1.000	432.06	09/29/20	432.22	0.16 W	0.00	11 of 194 - Sale <sup>25</sup>
	2.000	864.13	09/29/20	882.10	17.97 W	0.00	12 of 194 - Sale <sup>25</sup>
	15.000	6,387.73	09/29/20	6,404.99	17.26 W	0.00	13 of 194 - Sale <sup>25</sup>
	11.000	4,638.58	09/29/20	4,697.00	58.42 W	0.00	14 of 194 - Sale <sup>25</sup>
	0.000	0.01	10/01/20	0.02	0.01 W	0.00	15 of 194 - Sale <sup>25</sup>
	0.002	0.68	10/01/20	0.84	0.16 W	0.00	16 of 194 - Sale <sup>25</sup>
	0.009	3.87	10/01/20	4.51	0.64 W	0.00	17 of 194 - Sale <sup>25</sup>
	0.037	15.43	10/01/20	18.78	3.35 W	0.00	18 of 194 - Sale <sup>25</sup>
	0.013	5.67	10/01/20	6.23	0.56 W	0.00	19 of 194 - Sale <sup>25</sup>
	0.015	6.42	10/01/20	7.04	0.62 W	0.00	20 of 194 - Sale <sup>25</sup>
	0.018	7.55	10/01/20	10.22	2.67 W	0.00	21 of 194 - Sale <sup>25</sup>
	0.033	13.96	10/01/20	15.39	1.43 W	0.00	22 of 194 - Sale <sup>25</sup>
	0.034	14.54	10/01/20	16.83	2.29 W	0.00	23 of 194 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.035		14.57	10/01/20	20.40	5.83 W	0.00	24 of 194 - Sale <sup>25</sup>
0.039		16.56	10/01/20	22.32	5.76 W	0.00	25 of 194 - Sale <sup>25</sup>
0.053		22.21	10/01/20	24.49	2.28 W	0.00	26 of 194 - Sale <sup>25</sup>
0.096		40.30	10/01/20	45.87	5.57 W	0.00	27 of 194 - Sale <sup>25</sup>
0.001		0.22	10/01/20	0.40	0.18 W	0.00	28 of 194 - Sale <sup>25</sup>
0.001		0.27	10/01/20	0.38	0.11 W	0.00	29 of 194 - Sale <sup>25</sup>
0.002		0.83	10/01/20	1.42	0.59 W	0.00	30 of 194 - Sale <sup>25</sup>
0.004		1.54	10/01/20	2.63	1.09 W	0.00	31 of 194 - Sale <sup>25</sup>
0.005		1.97	10/01/20	2.83	0.86 W	0.00	32 of 194 - Sale <sup>25</sup>
0.006		2.69	10/01/20	4.07	1.38 W	0.00	33 of 194 - Sale <sup>25</sup>
0.013		5.48	10/01/20	7.83	2.35 W	0.00	34 of 194 - Sale <sup>25</sup>
0.025		10.68	10/01/20	17.97	7.29 W	0.00	35 of 194 - Sale <sup>25</sup>
0.055		23.22	10/01/20	39.36	16.14 W	0.00	36 of 194 - Sale <sup>25</sup>
0.060		25.39	10/01/20	44.73	19.34 W	0.00	37 of 194 - Sale <sup>25</sup>
0.063		26.61	10/01/20	39.95	13.34 W	0.00	38 of 194 - Sale <sup>25</sup>
0.072		30.55	10/01/20	53.38	22.83 W	0.00	39 of 194 - Sale <sup>25</sup>
0.075		31.66	10/01/20	54.78	23.12 W	0.00	40 of 194 - Sale <sup>25</sup>
0.083		35.04	10/01/20	48.80	13.76 W	0.00	41 of 194 - Sale <sup>25</sup>
0.117		49.39	10/01/20	86.18	36.79 W	0.00	42 of 194 - Sale <sup>25</sup>
0.152		63.98	10/01/20	96.37	32.39 W	0.00	43 of 194 - Sale <sup>25</sup>
0.001		0.27	10/01/20	0.33	0.06 W	0.00	44 of 194 - Sale <sup>25</sup>
0.001		0.52	10/01/20	0.59	0.07 W	0.00	45 of 194 - Sale <sup>25</sup>
0.003		1.30	10/01/20	2.31	1.01 W	0.00	46 of 194 - Sale <sup>25</sup>
0.006		2.51	10/01/20	2.70	0.19 W	0.00	47 of 194 - Sale <sup>25</sup>
0.006		2.68	10/01/20	2.89	0.21 W	0.00	48 of 194 - Sale <sup>25</sup>
0.006		2.74	10/01/20	4.73	1.99 W	0.00	49 of 194 - Sale <sup>25</sup>
0.009		3.63	10/01/20	3.89	0.26 W	0.00	50 of 194 - Sale <sup>25</sup>
0.009		3.85	10/01/20	4.18	0.33 W	0.00	51 of 194 - Sale <sup>25</sup>
0.010		4.05	10/01/20	5.16	1.11 W	0.00	52 of 194 - Sale <sup>25</sup>
0.012		5.08	10/01/20	6.64	1.56 W	0.00	53 of 194 - Sale <sup>25</sup>
0.013		5.55	10/01/20	9.57	4.02 W	0.00	54 of 194 - Sale <sup>25</sup>
0.018		7.57	10/01/20	13.50	5.93 W	0.00	55 of 194 - Sale <sup>25</sup>
0.023		9.63	10/01/20	16.62	6.99 W	0.00	56 of 194 - Sale <sup>25</sup>
0.024		10.03	10/01/20	18.00	7.97 W	0.00	57 of 194 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.029		12.35	10/01/20	20.31	7.96 W	0.00	58 of 194 - Sale <sup>25</sup>
0.030		12.61	10/01/20	20.72	8.11 W	0.00	59 of 194 - Sale <sup>25</sup>
0.044		18.48	10/01/20	22.45	3.97 W	0.00	60 of 194 - Sale <sup>25</sup>
0.072		30.54	10/01/20	40.77	10.23 W	0.00	61 of 194 - Sale <sup>25</sup>
0.077		32.37	10/01/20	36.41	4.04 W	0.00	62 of 194 - Sale <sup>25</sup>
0.078		33.09	10/01/20	38.66	5.57 W	0.00	63 of 194 - Sale <sup>25</sup>
0.082		34.75	10/01/20	46.45	11.70 W	0.00	64 of 194 - Sale <sup>25</sup>
0.083		35.10	10/01/20	57.77	22.67 W	0.00	65 of 194 - Sale <sup>25</sup>
0.144		60.84	10/01/20	105.09	44.25 W	0.00	66 of 194 - Sale <sup>25</sup>
0.167		70.41	10/01/20	120.50	50.09 W	0.00	67 of 194 - Sale <sup>25</sup>
0.001		0.51	10/01/20	0.58	0.07 W	0.00	68 of 194 - Sale <sup>25</sup>
0.004		1.57	10/01/20	2.14	0.57 W	0.00	69 of 194 - Sale <sup>25</sup>
0.035		14.95	10/01/20	19.81	4.86 W	0.00	70 of 194 - Sale <sup>25</sup>
0.075		31.51	10/01/20	37.08	5.57 W	0.00	71 of 194 - Sale <sup>25</sup>
0.020		8.25	10/01/20	8.83	0.58 W	0.00	72 of 194 - Sale <sup>25</sup>
0.034		14.48	10/01/20	17.24	2.76 W	0.00	73 of 194 - Sale <sup>25</sup>
0.009		3.73	10/01/20	4.18	0.45 W	0.00	74 of 194 - Sale <sup>25</sup>
0.011		4.63	10/01/20	5.19	0.56 W	0.00	75 of 194 - Sale <sup>25</sup>
0.017		7.29	10/01/20	9.68	2.39 W	0.00	76 of 194 - Sale <sup>25</sup>
0.035		14.84	10/01/20	16.99	2.15 W	0.00	77 of 194 - Sale <sup>25</sup>
0.041		17.29	10/01/20	19.80	2.51 W	0.00	78 of 194 - Sale <sup>25</sup>
0.045		18.79	10/01/20	21.21	2.42 W	0.00	79 of 194 - Sale <sup>25</sup>
0.047		20.01	10/01/20	22.64	2.63 W	0.00	80 of 194 - Sale <sup>25</sup>
0.062		26.13	10/01/20	29.42	3.29 W	0.00	81 of 194 - Sale <sup>25</sup>
0.089		37.41	10/01/20	42.29	4.88 W	0.00	82 of 194 - Sale <sup>25</sup>
0.137		57.69	10/01/20	65.68	7.99 W	0.00	83 of 194 - Sale <sup>25</sup>
0.188		79.38	10/01/20	95.09	15.71 W	0.00	84 of 194 - Sale <sup>25</sup>
0.012		5.19	10/01/20	5.98	0.79 W	0.00	85 of 194 - Sale <sup>25</sup>
0.016		6.78	10/01/20	7.43	0.65 W	0.00	86 of 194 - Sale <sup>25</sup>
0.045		19.15	10/01/20	25.78	6.63 W	0.00	87 of 194 - Sale <sup>25</sup>
0.088		36.93	10/01/20	40.54	3.61 W	0.00	88 of 194 - Sale <sup>25</sup>
0.166		69.87	10/01/20	74.69	4.82 W	0.00	89 of 194 - Sale <sup>25</sup>
0.181		76.23	10/01/20	102.75	26.52 W	0.00	90 of 194 - Sale <sup>25</sup>
1.000		421.69	10/01/20	487.50	65.81 W	0.00	91 of 194 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.402	169.51	10/01/20	193.17	23.66 W	0.00	92 of 194 - Sale <sup>25</sup>
	1.576	664.59	10/01/20	708.22	43.63 W	0.00	93 of 194 - Sale <sup>25</sup>
	1.598	673.87	10/01/20	786.75	112.88 W	0.00	94 of 194 - Sale <sup>25</sup>
	0.402	169.51	10/01/20	196.38	26.87 W	0.00	95 of 194 - Sale <sup>25</sup>
	0.598	252.18	10/01/20	291.87	39.69 W	0.00	96 of 194 - Sale <sup>25</sup>
	1.000	421.69	10/02/20	463.36	41.67 W	0.00	97 of 194 - Sale <sup>25</sup>
	0.081	33.94	10/02/20	35.20	1.26 W	0.00	98 of 194 - Sale <sup>25</sup>
	0.402	169.51	10/02/20	174.83	5.32 W	0.00	99 of 194 - Sale <sup>25</sup>
	0.598	252.18	10/02/20	259.17	6.99 W	0.00	100 of 194 - Sale <sup>25</sup>
	0.920	387.74	10/02/20	399.92	12.18 W	0.00	101 of 194 - Sale <sup>25</sup>
	1.000	421.69	10/02/20	426.83	5.14 W	0.00	102 of 194 - Sale <sup>25</sup>
	0.679	286.13	10/02/20	289.62	3.49 W	0.00	103 of 194 - Sale <sup>25</sup>
	0.321	135.56	10/02/20	137.21	1.65 W	0.00	104 of 194 - Sale <sup>25</sup>
	0.000	0.01	10/02/20	0.02	0.01 W	0.00	105 of 194 - Sale <sup>25</sup>
	0.002	0.68	10/02/20	0.83	0.15 W	0.00	106 of 194 - Sale <sup>25</sup>
	0.009	3.88	10/02/20	4.44	0.56 W	0.00	107 of 194 - Sale <sup>25</sup>
	0.037	15.47	10/02/20	18.53	3.06 W	0.00	108 of 194 - Sale <sup>25</sup>
	0.013	5.68	10/02/20	6.13	0.45 W	0.00	109 of 194 - Sale <sup>25</sup>
	0.015	6.44	10/02/20	6.94	0.50 W	0.00	110 of 194 - Sale <sup>25</sup>
	0.018	7.56	10/02/20	10.09	2.53 W	0.00	111 of 194 - Sale <sup>25</sup>
	0.033	13.99	10/02/20	15.16	1.17 W	0.00	112 of 194 - Sale <sup>25</sup>
	0.034	14.58	10/02/20	16.60	2.02 W	0.00	113 of 194 - Sale <sup>25</sup>
	0.035	14.60	10/02/20	20.16	5.56 W	0.00	114 of 194 - Sale <sup>25</sup>
	0.039	16.60	10/02/20	22.05	5.45 W	0.00	115 of 194 - Sale <sup>25</sup>
	0.053	22.26	10/02/20	24.13	1.87 W	0.00	116 of 194 - Sale <sup>25</sup>
	0.096	40.39	10/02/20	45.22	4.83 W	0.00	117 of 194 - Sale <sup>25</sup>
	0.001	0.22	10/02/20	0.40	0.18 W	0.00	118 of 194 - Sale <sup>25</sup>
	0.001	0.27	10/02/20	0.38	0.11 W	0.00	119 of 194 - Sale <sup>25</sup>
	0.002	0.83	10/02/20	1.40	0.57 W	0.00	120 of 194 - Sale <sup>25</sup>
	0.004	1.55	10/02/20	2.61	1.06 W	0.00	121 of 194 - Sale <sup>25</sup>
	0.005	1.98	10/02/20	2.80	0.82 W	0.00	122 of 194 - Sale <sup>25</sup>
	0.006	2.70	10/02/20	4.03	1.33 W	0.00	123 of 194 - Sale <sup>25</sup>
	0.013	5.49	10/02/20	7.74	2.25 W	0.00	124 of 194 - Sale <sup>25</sup>
	0.025	10.70	10/02/20	17.80	7.10 W	0.00	125 of 194 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.055	23.27	10/02/20	38.98	15.71 W	0.00	126 of 194 - Sale <sup>25</sup>
	0.060	25.45	10/02/20	44.32	18.87 W	0.00	127 of 194 - Sale <sup>25</sup>
	0.063	26.67	10/02/20	39.52	12.85 W	0.00	128 of 194 - Sale <sup>25</sup>
	0.072	30.62	10/02/20	52.89	22.27 W	0.00	129 of 194 - Sale <sup>25</sup>
	0.075	31.73	10/02/20	54.27	22.54 W	0.00	130 of 194 - Sale <sup>25</sup>
	0.083	35.11	10/02/20	48.23	13.12 W	0.00	131 of 194 - Sale <sup>25</sup>
	0.117	49.49	10/02/20	85.37	35.88 W	0.00	132 of 194 - Sale <sup>25</sup>
	0.152	64.12	10/02/20	95.33	31.21 W	0.00	133 of 194 - Sale <sup>25</sup>
	0.001	0.27	10/02/20	0.33	0.06 W	0.00	134 of 194 - Sale <sup>25</sup>
	0.001	0.52	10/02/20	0.58	0.06 W	0.00	135 of 194 - Sale <sup>25</sup>
	0.003	1.30	10/02/20	2.28	0.98 W	0.00	136 of 194 - Sale <sup>25</sup>
	0.006	2.51	10/02/20	2.66	0.15 W	0.00	137 of 194 - Sale <sup>25</sup>
	0.006	2.69	10/02/20	2.85	0.16 W	0.00	138 of 194 - Sale <sup>25</sup>
	0.006	2.74	10/02/20	4.68	1.94 W	0.00	139 of 194 - Sale <sup>25</sup>
	0.009	3.64	10/02/20	3.84	0.20 W	0.00	140 of 194 - Sale <sup>25</sup>
	0.009	3.86	10/02/20	4.12	0.26 W	0.00	141 of 194 - Sale <sup>25</sup>
	0.010	4.05	10/02/20	5.09	1.04 W	0.00	142 of 194 - Sale <sup>25</sup>
	0.012	5.09	10/02/20	6.55	1.46 W	0.00	143 of 194 - Sale <sup>25</sup>
	0.013	5.56	10/02/20	9.48	3.92 W	0.00	144 of 194 - Sale <sup>25</sup>
	0.018	7.59	10/02/20	13.38	5.79 W	0.00	145 of 194 - Sale <sup>25</sup>
	0.023	9.65	10/02/20	16.46	6.81 W	0.00	146 of 194 - Sale <sup>25</sup>
	0.024	10.05	10/02/20	17.84	7.79 W	0.00	147 of 194 - Sale <sup>25</sup>
	0.029	12.38	10/02/20	20.11	7.73 W	0.00	148 of 194 - Sale <sup>25</sup>
	0.030	12.64	10/02/20	20.52	7.88 W	0.00	149 of 194 - Sale <sup>25</sup>
	0.044	18.52	10/02/20	22.15	3.63 W	0.00	150 of 194 - Sale <sup>25</sup>
	0.072	30.61	10/02/20	40.28	9.67 W	0.00	151 of 194 - Sale <sup>25</sup>
	0.077	32.44	10/02/20	35.89	3.45 W	0.00	152 of 194 - Sale <sup>25</sup>
	0.078	33.16	10/02/20	38.12	4.96 W	0.00	153 of 194 - Sale <sup>25</sup>
	0.082	34.82	10/02/20	45.88	11.06 W	0.00	154 of 194 - Sale <sup>25</sup>
	0.083	35.17	10/02/20	57.20	22.03 W	0.00	155 of 194 - Sale <sup>25</sup>
	0.144	60.97	10/02/20	104.10	43.13 W	0.00	156 of 194 - Sale <sup>25</sup>
	0.167	70.57	10/02/20	119.36	48.79 W	0.00	157 of 194 - Sale <sup>25</sup>
	0.001	0.51	10/02/20	0.57	0.06 W	0.00	158 of 194 - Sale <sup>25</sup>
	0.004	1.57	10/02/20	2.11	0.54 W	0.00	159 of 194 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.035	14.99	10/02/20	19.57	4.58 W	0.00	160 of 194 - Sale <sup>25</sup>
	0.075	31.58	10/02/20	36.57	4.99 W	0.00	161 of 194 - Sale <sup>25</sup>
	0.020	8.27	10/02/20	8.69	0.42 W	0.00	162 of 194 - Sale <sup>25</sup>
	0.034	14.51	10/02/20	17.00	2.49 W	0.00	163 of 194 - Sale <sup>25</sup>
	0.009	3.74	10/02/20	4.12	0.38 W	0.00	164 of 194 - Sale <sup>25</sup>
	0.011	4.64	10/02/20	5.11	0.47 W	0.00	165 of 194 - Sale <sup>25</sup>
	0.017	7.31	10/02/20	9.57	2.26 W	0.00	166 of 194 - Sale <sup>25</sup>
	0.035	14.87	10/02/20	16.75	1.88 W	0.00	167 of 194 - Sale <sup>25</sup>
	0.041	17.32	10/02/20	19.52	2.20 W	0.00	168 of 194 - Sale <sup>25</sup>
	0.045	18.83	10/02/20	20.90	2.07 W	0.00	169 of 194 - Sale <sup>25</sup>
	0.047	20.06	10/02/20	22.32	2.26 W	0.00	170 of 194 - Sale <sup>25</sup>
	0.062	26.19	10/02/20	29.00	2.81 W	0.00	171 of 194 - Sale <sup>25</sup>
	0.089	37.49	10/02/20	41.68	4.19 W	0.00	172 of 194 - Sale <sup>25</sup>
	0.137	57.82	10/02/20	64.75	6.93 W	0.00	173 of 194 - Sale <sup>25</sup>
	0.188	79.56	10/02/20	93.81	14.25 W	0.00	174 of 194 - Sale <sup>25</sup>
	0.012	5.20	10/02/20	5.89	0.69 W	0.00	175 of 194 - Sale <sup>25</sup>
	0.016	6.80	10/02/20	7.32	0.52 W	0.00	176 of 194 - Sale <sup>25</sup>
	0.045	19.19	10/02/20	25.47	6.28 W	0.00	177 of 194 - Sale <sup>25</sup>
	0.088	37.02	10/02/20	39.95	2.93 W	0.00	178 of 194 - Sale <sup>25</sup>
	0.166	70.02	10/02/20	73.55	3.53 W	0.00	179 of 194 - Sale <sup>25</sup>
	0.181	76.40	10/02/20	101.52	25.12 W	0.00	180 of 194 - Sale <sup>25</sup>
	0.402	169.88	10/02/20	193.63	23.75 W	0.00	181 of 194 - Sale <sup>25</sup>
	1.000	422.61	10/02/20	480.66	58.05 W	0.00	182 of 194 - Sale <sup>25</sup>
	0.598	252.73	10/02/20	287.78	35.05 W	0.00	183 of 194 - Sale <sup>25</sup>
	0.402	169.88	10/02/20	190.42	20.54 W	0.00	184 of 194 - Sale <sup>25</sup>
	1.000	422.61	10/02/20	456.52	33.91 W	0.00	185 of 194 - Sale <sup>25</sup>
	0.081	34.02	10/02/20	34.65	0.63 W	0.00	186 of 194 - Sale <sup>25</sup>
	0.402	169.88	10/02/20	172.08	2.20 W	0.00	187 of 194 - Sale <sup>25</sup>
	0.598	252.73	10/02/20	255.08	2.35 W	0.00	188 of 194 - Sale <sup>25</sup>
	0.920	388.59	10/02/20	393.64	5.05 W	0.00	189 of 194 - Sale <sup>25</sup>
	1.576	666.05	10/02/20	697.45	31.40 W	0.00	190 of 194 - Sale <sup>25</sup>
	1.598	675.34	10/02/20	775.82	100.48 W	0.00	191 of 194 - Sale <sup>25</sup>
	0.321	135.86	10/02/20	137.21	1.35 W	0.00	192 of 194 - Sale <sup>25</sup>
	0.679	286.75	10/02/20	289.61	2.86 W	0.00	193 of 194 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
10/05/20	2.000	845.22	10/02/20	847.67	2.45 W	0.00	194 of 194 - Sale <sup>25</sup>
	66.159	28,050.47	Various	30,100.45	2,049.98 W	0.00	Total of 194 transactions
	96 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	0.321	134.21	10/06/20	136.46	2.25 W	0.00	1 of 96 - Sale <sup>25</sup>
	0.679	283.27	10/06/20	288.03	4.76 W	0.00	2 of 96 - Sale <sup>25</sup>
	0.000	0.01	10/06/20	0.02	0.01 W	0.00	3 of 96 - Sale <sup>25</sup>
	0.002	0.66	10/06/20	0.82	0.16 W	0.00	4 of 96 - Sale <sup>25</sup>
	0.009	3.75	10/06/20	4.37	0.62 W	0.00	5 of 96 - Sale <sup>25</sup>
	0.037	14.96	10/06/20	18.25	3.29 W	0.00	6 of 96 - Sale <sup>25</sup>
	0.013	5.49	10/06/20	6.03	0.54 W	0.00	7 of 96 - Sale <sup>25</sup>
	0.015	6.23	10/06/20	6.82	0.59 W	0.00	8 of 96 - Sale <sup>25</sup>
	0.018	7.31	10/06/20	9.96	2.65 W	0.00	9 of 96 - Sale <sup>25</sup>
	0.033	13.52	10/06/20	14.90	1.38 W	0.00	10 of 96 - Sale <sup>25</sup>
	0.034	14.09	10/06/20	16.33	2.24 W	0.00	11 of 96 - Sale <sup>25</sup>
	0.035	14.12	10/06/20	19.90	5.78 W	0.00	12 of 96 - Sale <sup>25</sup>
	0.039	16.05	10/06/20	21.75	5.70 W	0.00	13 of 96 - Sale <sup>25</sup>
	0.053	21.53	10/06/20	23.73	2.20 W	0.00	14 of 96 - Sale <sup>25</sup>
	0.096	39.06	10/06/20	44.49	5.43 W	0.00	15 of 96 - Sale <sup>25</sup>
	0.001	0.22	10/06/20	0.40	0.18 W	0.00	16 of 96 - Sale <sup>25</sup>
	0.001	0.26	10/06/20	0.38	0.12 W	0.00	17 of 96 - Sale <sup>25</sup>
	0.002	0.80	10/06/20	1.38	0.58 W	0.00	18 of 96 - Sale <sup>25</sup>
	0.004	1.49	10/06/20	2.58	1.09 W	0.00	19 of 96 - Sale <sup>25</sup>
	0.005	1.91	10/06/20	2.76	0.85 W	0.00	20 of 96 - Sale <sup>25</sup>
	0.006	2.61	10/06/20	3.98	1.37 W	0.00	21 of 96 - Sale <sup>25</sup>
	0.013	5.31	10/06/20	7.64	2.33 W	0.00	22 of 96 - Sale <sup>25</sup>
	0.025	10.35	10/06/20	17.61	7.26 W	0.00	23 of 96 - Sale <sup>25</sup>
	0.055	22.50	10/06/20	38.56	16.06 W	0.00	24 of 96 - Sale <sup>25</sup>
	0.060	24.61	10/06/20	43.86	19.25 W	0.00	25 of 96 - Sale <sup>25</sup>
	0.063	25.79	10/06/20	39.04	13.25 W	0.00	26 of 96 - Sale <sup>25</sup>
	0.072	29.61	10/06/20	52.34	22.73 W	0.00	27 of 96 - Sale <sup>25</sup>
	0.075	30.68	10/06/20	53.70	23.02 W	0.00	28 of 96 - Sale <sup>25</sup>
	0.083	33.95	10/06/20	47.60	13.65 W	0.00	29 of 96 - Sale <sup>25</sup>
	0.117	47.86	10/06/20	84.48	36.62 W	0.00	30 of 96 - Sale <sup>25</sup>
	0.152	62.00	10/06/20	94.17	32.17 W	0.00	31 of 96 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.001	0.26	10/06/20	0.33	0.07 W	0.00	32 of 96 - Sale <sup>25</sup>
	0.001	0.50	10/06/20	0.57	0.07 W	0.00	33 of 96 - Sale <sup>25</sup>
	0.003	1.26	10/06/20	2.25	0.99 W	0.00	34 of 96 - Sale <sup>25</sup>
	0.006	2.43	10/06/20	2.62	0.19 W	0.00	35 of 96 - Sale <sup>25</sup>
	0.006	2.60	10/06/20	2.80	0.20 W	0.00	36 of 96 - Sale <sup>25</sup>
	0.006	2.65	10/06/20	4.63	1.98 W	0.00	37 of 96 - Sale <sup>25</sup>
	0.009	3.52	10/06/20	3.78	0.26 W	0.00	38 of 96 - Sale <sup>25</sup>
	0.009	3.73	10/06/20	4.05	0.32 W	0.00	39 of 96 - Sale <sup>25</sup>
	0.010	3.92	10/06/20	5.02	1.10 W	0.00	40 of 96 - Sale <sup>25</sup>
	0.012	4.92	10/06/20	6.46	1.54 W	0.00	41 of 96 - Sale <sup>25</sup>
	0.013	5.38	10/06/20	9.38	4.00 W	0.00	42 of 96 - Sale <sup>25</sup>
	0.018	7.34	10/06/20	13.24	5.90 W	0.00	43 of 96 - Sale <sup>25</sup>
	0.023	9.33	10/06/20	16.29	6.96 W	0.00	44 of 96 - Sale <sup>25</sup>
	0.024	9.72	10/06/20	17.66	7.94 W	0.00	45 of 96 - Sale <sup>25</sup>
	0.029	11.97	10/06/20	19.89	7.92 W	0.00	46 of 96 - Sale <sup>25</sup>
	0.030	12.22	10/06/20	20.29	8.07 W	0.00	47 of 96 - Sale <sup>25</sup>
	0.044	17.91	10/06/20	21.81	3.90 W	0.00	48 of 96 - Sale <sup>25</sup>
	0.072	29.60	10/06/20	39.73	10.13 W	0.00	49 of 96 - Sale <sup>25</sup>
	0.077	31.37	10/06/20	35.31	3.94 W	0.00	50 of 96 - Sale <sup>25</sup>
	0.078	32.06	10/06/20	37.52	5.46 W	0.00	51 of 96 - Sale <sup>25</sup>
	0.082	33.67	10/06/20	45.26	11.59 W	0.00	52 of 96 - Sale <sup>25</sup>
	0.083	34.01	10/06/20	56.57	22.56 W	0.00	53 of 96 - Sale <sup>25</sup>
	0.144	58.96	10/06/20	103.00	44.04 W	0.00	54 of 96 - Sale <sup>25</sup>
	0.167	68.24	10/06/20	118.09	49.85 W	0.00	55 of 96 - Sale <sup>25</sup>
	0.001	0.49	10/06/20	0.56	0.07 W	0.00	56 of 96 - Sale <sup>25</sup>
	0.004	1.52	10/06/20	2.09	0.57 W	0.00	57 of 96 - Sale <sup>25</sup>
	0.035	14.49	10/06/20	19.30	4.81 W	0.00	58 of 96 - Sale <sup>25</sup>
	0.075	30.54	10/06/20	36.00	5.46 W	0.00	59 of 96 - Sale <sup>25</sup>
	0.020	7.99	10/06/20	8.54	0.55 W	0.00	60 of 96 - Sale <sup>25</sup>
	0.034	14.03	10/06/20	16.74	2.71 W	0.00	61 of 96 - Sale <sup>25</sup>
	0.009	3.62	10/06/20	4.05	0.43 W	0.00	62 of 96 - Sale <sup>25</sup>
	0.011	4.48	10/06/20	5.02	0.54 W	0.00	63 of 96 - Sale <sup>25</sup>
	0.017	7.07	10/06/20	9.44	2.37 W	0.00	64 of 96 - Sale <sup>25</sup>
	0.035	14.38	10/06/20	16.48	2.10 W	0.00	65 of 96 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.041	16.75	10/06/20	19.21	2.46 W	0.00	66 of 96 - Sale <sup>25</sup>
	0.045	18.21	10/06/20	20.56	2.35 W	0.00	67 of 96 - Sale <sup>25</sup>
	0.047	19.39	10/06/20	21.95	2.56 W	0.00	68 of 96 - Sale <sup>25</sup>
	0.062	25.32	10/06/20	28.53	3.21 W	0.00	69 of 96 - Sale <sup>25</sup>
	0.089	36.25	10/06/20	41.01	4.76 W	0.00	70 of 96 - Sale <sup>25</sup>
	0.137	55.91	10/06/20	63.71	7.80 W	0.00	71 of 96 - Sale <sup>25</sup>
	0.188	76.93	10/06/20	92.37	15.44 W	0.00	72 of 96 - Sale <sup>25</sup>
	0.012	5.03	10/06/20	5.79	0.76 W	0.00	73 of 96 - Sale <sup>25</sup>
	0.016	6.57	10/06/20	7.19	0.62 W	0.00	74 of 96 - Sale <sup>25</sup>
	0.045	18.56	10/06/20	25.13	6.57 W	0.00	75 of 96 - Sale <sup>25</sup>
	0.088	35.79	10/06/20	39.28	3.49 W	0.00	76 of 96 - Sale <sup>25</sup>
	0.166	67.71	10/06/20	72.29	4.58 W	0.00	77 of 96 - Sale <sup>25</sup>
	0.181	73.88	10/06/20	100.14	26.26 W	0.00	78 of 96 - Sale <sup>25</sup>
	0.402	164.27	10/06/20	190.57	26.30 W	0.00	79 of 96 - Sale <sup>25</sup>
	1.000	408.66	10/06/20	473.05	64.39 W	0.00	80 of 96 - Sale <sup>25</sup>
	0.598	244.39	10/06/20	283.23	38.84 W	0.00	81 of 96 - Sale <sup>25</sup>
	0.402	164.27	10/06/20	187.36	23.09 W	0.00	82 of 96 - Sale <sup>25</sup>
	1.000	408.66	10/06/20	448.91	40.25 W	0.00	83 of 96 - Sale <sup>25</sup>
	1.174	479.79	10/06/20	510.62	30.83 W	0.00	84 of 96 - Sale <sup>25</sup>
	0.081	32.89	10/06/20	33.95	1.06 W	0.00	85 of 96 - Sale <sup>25</sup>
	0.402	164.27	10/06/20	174.41	10.14 W	0.00	86 of 96 - Sale <sup>25</sup>
	0.402	164.27	10/06/20	168.60	4.33 W	0.00	87 of 96 - Sale <sup>25</sup>
	0.598	244.39	10/06/20	249.90	5.51 W	0.00	88 of 96 - Sale <sup>25</sup>
	0.920	375.76	10/06/20	385.68	9.92 W	0.00	89 of 96 - Sale <sup>25</sup>
	1.598	653.04	10/06/20	761.98	108.94 W	0.00	90 of 96 - Sale <sup>25</sup>
	0.321	131.37	10/06/20	134.42	3.05 W	0.00	91 of 96 - Sale <sup>25</sup>
	0.321	131.37	10/06/20	135.32	3.95 W	0.00	92 of 96 - Sale <sup>25</sup>
	0.679	277.28	10/06/20	283.74	6.46 W	0.00	93 of 96 - Sale <sup>25</sup>
	0.679	277.28	10/06/20	285.64	8.36 W	0.00	94 of 96 - Sale <sup>25</sup>
	2.000	817.32	10/06/20	830.35	13.03 W	0.00	95 of 96 - Sale <sup>25</sup>
	9.000	3,677.92	10/06/20	3,725.55	47.63 W	0.00	96 of 96 - Sale <sup>25</sup>
10/06/20	26.000	10,633.89	Various	11,607.55	973.66 W	0.00	Total of 96 transactions

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
7 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	2.000	835.23	10/06/20	834.03	...	1.20	1 of 7 - Sale <sup>25</sup>
	1.000	417.61	10/06/20	414.00	...	3.61	2 of 7 - Sale <sup>25</sup>
	9.000	3,758.54	10/06/20	3,742.13	...	16.41	3 of 7 - Sale <sup>25</sup>
	6.000	2,505.69	10/06/20	2,478.00	...	27.69	4 of 7 - Sale <sup>25</sup>
	10.000	4,176.15	10/06/20	4,140.00	...	36.15	5 of 7 - Sale <sup>25</sup>
	13.000	5,428.99	10/06/20	5,382.00	...	46.99	6 of 7 - Sale <sup>25</sup>
	20.000	8,352.29	10/06/20	8,290.01	...	62.28	7 of 7 - Sale <sup>25</sup>
10/07/20	61.000	25,474.50	Various	25,280.17	...	194.33	Total of 7 transactions
172 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	0.000	0.01	10/06/20	0.02	0.01 W	0.00	1 of 172 - Sale <sup>25</sup>
	0.002	0.68	10/06/20	0.82	0.14 W	0.00	2 of 172 - Sale <sup>25</sup>
	0.009	3.87	10/06/20	4.38	0.51 W	0.00	3 of 172 - Sale <sup>25</sup>
	0.037	15.46	10/06/20	18.31	2.85 W	0.00	4 of 172 - Sale <sup>25</sup>
	0.013	5.68	10/06/20	6.06	0.38 W	0.00	5 of 172 - Sale <sup>25</sup>
	0.015	6.44	10/06/20	6.84	0.40 W	0.00	6 of 172 - Sale <sup>25</sup>
	0.018	7.56	10/06/20	10.00	2.44 W	0.00	7 of 172 - Sale <sup>25</sup>
	0.033	13.98	10/06/20	14.97	0.99 W	0.00	8 of 172 - Sale <sup>25</sup>
	0.034	14.57	10/06/20	16.40	1.83 W	0.00	9 of 172 - Sale <sup>25</sup>
	0.035	14.59	10/06/20	19.96	5.37 W	0.00	10 of 172 - Sale <sup>25</sup>
	0.039	16.59	10/06/20	21.82	5.23 W	0.00	11 of 172 - Sale <sup>25</sup>
	0.053	22.25	10/06/20	23.82	1.57 W	0.00	12 of 172 - Sale <sup>25</sup>
	0.096	40.37	10/06/20	44.66	4.29 W	0.00	13 of 172 - Sale <sup>25</sup>
	0.001	0.22	10/06/20	0.40	0.18 W	0.00	14 of 172 - Sale <sup>25</sup>
	0.001	0.27	10/06/20	0.38	0.11 W	0.00	15 of 172 - Sale <sup>25</sup>
	0.002	0.83	10/06/20	1.38	0.55 W	0.00	16 of 172 - Sale <sup>25</sup>
	0.004	1.54	10/06/20	2.59	1.05 W	0.00	17 of 172 - Sale <sup>25</sup>
	0.005	1.97	10/06/20	2.77	0.80 W	0.00	18 of 172 - Sale <sup>25</sup>
	0.006	2.69	10/06/20	3.99	1.30 W	0.00	19 of 172 - Sale <sup>25</sup>
	0.013	5.49	10/06/20	7.66	2.17 W	0.00	20 of 172 - Sale <sup>25</sup>
	0.025	10.70	10/06/20	17.66	6.96 W	0.00	21 of 172 - Sale <sup>25</sup>
	0.055	23.26	10/06/20	38.66	15.40 W	0.00	22 of 172 - Sale <sup>25</sup>
	0.060	25.43	10/06/20	43.97	18.54 W	0.00	23 of 172 - Sale <sup>25</sup>
	0.063	26.66	10/06/20	39.16	12.50 W	0.00	24 of 172 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.072		30.61	10/06/20	52.47	21.86 W	0.00	25 of 172 - Sale <sup>25</sup>
0.075		31.72	10/06/20	53.84	22.12 W	0.00	26 of 172 - Sale <sup>25</sup>
0.083		35.10	10/06/20	47.76	12.66 W	0.00	27 of 172 - Sale <sup>25</sup>
0.117		49.47	10/06/20	84.69	35.22 W	0.00	28 of 172 - Sale <sup>25</sup>
0.152		64.09	10/06/20	94.45	30.36 W	0.00	29 of 172 - Sale <sup>25</sup>
0.001		0.27	10/06/20	0.33	0.06 W	0.00	30 of 172 - Sale <sup>25</sup>
0.001		0.52	10/06/20	0.58	0.06 W	0.00	31 of 172 - Sale <sup>25</sup>
0.003		1.30	10/06/20	2.25	0.95 W	0.00	32 of 172 - Sale <sup>25</sup>
0.006		2.51	10/06/20	2.63	0.12 W	0.00	33 of 172 - Sale <sup>25</sup>
0.006		2.68	10/06/20	2.81	0.13 W	0.00	34 of 172 - Sale <sup>25</sup>
0.006		2.74	10/06/20	4.65	1.91 W	0.00	35 of 172 - Sale <sup>25</sup>
0.009		3.64	10/06/20	3.80	0.16 W	0.00	36 of 172 - Sale <sup>25</sup>
0.009		3.86	10/06/20	4.07	0.21 W	0.00	37 of 172 - Sale <sup>25</sup>
0.010		4.05	10/06/20	5.04	0.99 W	0.00	38 of 172 - Sale <sup>25</sup>
0.012		5.09	10/06/20	6.48	1.39 W	0.00	39 of 172 - Sale <sup>25</sup>
0.013		5.56	10/06/20	9.40	3.84 W	0.00	40 of 172 - Sale <sup>25</sup>
0.018		7.58	10/06/20	13.27	5.69 W	0.00	41 of 172 - Sale <sup>25</sup>
0.023		9.64	10/06/20	16.33	6.69 W	0.00	42 of 172 - Sale <sup>25</sup>
0.024		10.05	10/06/20	17.70	7.65 W	0.00	43 of 172 - Sale <sup>25</sup>
0.029		12.38	10/06/20	19.95	7.57 W	0.00	44 of 172 - Sale <sup>25</sup>
0.030		12.64	10/06/20	20.35	7.71 W	0.00	45 of 172 - Sale <sup>25</sup>
0.044		18.51	10/06/20	21.89	3.38 W	0.00	46 of 172 - Sale <sup>25</sup>
0.072		30.60	10/06/20	39.86	9.26 W	0.00	47 of 172 - Sale <sup>25</sup>
0.077		32.43	10/06/20	35.45	3.02 W	0.00	48 of 172 - Sale <sup>25</sup>
0.078		33.14	10/06/20	37.67	4.53 W	0.00	49 of 172 - Sale <sup>25</sup>
0.082		34.81	10/06/20	45.42	10.61 W	0.00	50 of 172 - Sale <sup>25</sup>
0.083		35.16	10/06/20	56.73	21.57 W	0.00	51 of 172 - Sale <sup>25</sup>
0.144		60.94	10/06/20	103.26	42.32 W	0.00	52 of 172 - Sale <sup>25</sup>
0.167		70.53	10/06/20	118.40	47.87 W	0.00	53 of 172 - Sale <sup>25</sup>
0.001		0.51	10/06/20	0.56	0.05 W	0.00	54 of 172 - Sale <sup>25</sup>
0.004		1.57	10/06/20	2.10	0.53 W	0.00	55 of 172 - Sale <sup>25</sup>
0.035		14.98	10/06/20	19.37	4.39 W	0.00	56 of 172 - Sale <sup>25</sup>
0.075		31.57	10/06/20	36.14	4.57 W	0.00	57 of 172 - Sale <sup>25</sup>
0.020		8.26	10/06/20	8.58	0.32 W	0.00	58 of 172 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.034	14.50	10/06/20	16.80	2.30 W	0.00	59 of 172 - Sale <sup>25</sup>
	0.009	3.74	10/06/20	4.06	0.32 W	0.00	60 of 172 - Sale <sup>25</sup>
	0.011	4.63	10/06/20	5.04	0.41 W	0.00	61 of 172 - Sale <sup>25</sup>
	0.017	7.31	10/06/20	9.47	2.16 W	0.00	62 of 172 - Sale <sup>25</sup>
	0.035	14.86	10/06/20	16.55	1.69 W	0.00	63 of 172 - Sale <sup>25</sup>
	0.041	17.32	10/06/20	19.29	1.97 W	0.00	64 of 172 - Sale <sup>25</sup>
	0.045	18.82	10/06/20	20.64	1.82 W	0.00	65 of 172 - Sale <sup>25</sup>
	0.047	20.05	10/06/20	22.04	1.99 W	0.00	66 of 172 - Sale <sup>25</sup>
	0.062	26.18	10/06/20	28.65	2.47 W	0.00	67 of 172 - Sale <sup>25</sup>
	0.089	37.47	10/06/20	41.18	3.71 W	0.00	68 of 172 - Sale <sup>25</sup>
	0.137	57.79	10/06/20	63.96	6.17 W	0.00	69 of 172 - Sale <sup>25</sup>
	0.188	79.52	10/06/20	92.72	13.20 W	0.00	70 of 172 - Sale <sup>25</sup>
	0.012	5.19	10/06/20	5.81	0.62 W	0.00	71 of 172 - Sale <sup>25</sup>
	0.016	6.79	10/06/20	7.22	0.43 W	0.00	72 of 172 - Sale <sup>25</sup>
	0.045	19.18	10/06/20	25.21	6.03 W	0.00	73 of 172 - Sale <sup>25</sup>
	0.088	37.00	10/06/20	39.44	2.44 W	0.00	74 of 172 - Sale <sup>25</sup>
	0.166	69.99	10/06/20	72.59	2.60 W	0.00	75 of 172 - Sale <sup>25</sup>
	0.181	76.37	10/06/20	100.47	24.10 W	0.00	76 of 172 - Sale <sup>25</sup>
	0.402	169.80	10/06/20	191.31	21.51 W	0.00	77 of 172 - Sale <sup>25</sup>
	1.000	422.42	10/06/20	474.89	52.47 W	0.00	78 of 172 - Sale <sup>25</sup>
	0.174	73.52	10/06/20	82.76	9.24 W	0.00	79 of 172 - Sale <sup>25</sup>
	0.424	177.05	10/06/20	201.57	24.52 W	0.00	80 of 172 - Sale <sup>25</sup>
	0.402	167.87	10/06/20	188.10	20.23 W	0.00	81 of 172 - Sale <sup>25</sup>
	1.000	417.62	10/06/20	450.75	33.13 W	0.00	82 of 172 - Sale <sup>25</sup>
	0.081	33.62	10/06/20	34.10	0.48 W	0.00	83 of 172 - Sale <sup>25</sup>
	0.402	167.87	10/06/20	175.15	7.28 W	0.00	84 of 172 - Sale <sup>25</sup>
	0.402	167.87	10/06/20	169.34	1.47 W	0.00	85 of 172 - Sale <sup>25</sup>
	0.598	249.75	10/06/20	251.00	1.25 W	0.00	86 of 172 - Sale <sup>25</sup>
	0.920	384.00	10/06/20	387.38	3.38 W	0.00	87 of 172 - Sale <sup>25</sup>
	1.174	490.31	10/06/20	512.78	22.47 W	0.00	88 of 172 - Sale <sup>25</sup>
	1.598	667.36	10/06/20	764.93	97.57 W	0.00	89 of 172 - Sale <sup>25</sup>
	0.321	134.25	10/06/20	135.02	0.77 W	0.00	90 of 172 - Sale <sup>25</sup>
	0.321	134.25	10/06/20	135.92	1.67 W	0.00	91 of 172 - Sale <sup>25</sup>
	0.679	283.36	10/06/20	285.00	1.64 W	0.00	92 of 172 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.679	283.36	10/06/20	286.89	3.53 W	0.00	93 of 172 - Sale <sup>25</sup>
	0.000	0.01	10/06/20	0.02	0.01 W	0.00	94 of 172 - Sale <sup>25</sup>
	0.002	0.67	10/06/20	0.81	0.14 W	0.00	95 of 172 - Sale <sup>25</sup>
	0.009	3.83	10/06/20	4.31	0.48 W	0.00	96 of 172 - Sale <sup>25</sup>
	0.037	15.28	10/06/20	18.02	2.74 W	0.00	97 of 172 - Sale <sup>25</sup>
	0.013	5.61	10/06/20	5.95	0.34 W	0.00	98 of 172 - Sale <sup>25</sup>
	0.015	6.36	10/06/20	6.71	0.35 W	0.00	99 of 172 - Sale <sup>25</sup>
	0.018	7.47	10/06/20	9.86	2.39 W	0.00	100 of 172 - Sale <sup>25</sup>
	0.033	13.82	10/06/20	14.71	0.89 W	0.00	101 of 172 - Sale <sup>25</sup>
	0.034	14.40	10/06/20	16.13	1.73 W	0.00	102 of 172 - Sale <sup>25</sup>
	0.035	14.43	10/06/20	19.69	5.26 W	0.00	103 of 172 - Sale <sup>25</sup>
	0.039	16.40	10/06/20	21.51	5.11 W	0.00	104 of 172 - Sale <sup>25</sup>
	0.053	22.00	10/06/20	23.40	1.40 W	0.00	105 of 172 - Sale <sup>25</sup>
	0.096	39.91	10/06/20	43.90	3.99 W	0.00	106 of 172 - Sale <sup>25</sup>
	0.001	0.22	10/06/20	0.40	0.18 W	0.00	107 of 172 - Sale <sup>25</sup>
	0.001	0.27	10/06/20	0.38	0.11 W	0.00	108 of 172 - Sale <sup>25</sup>
	0.002	0.82	10/06/20	1.36	0.54 W	0.00	109 of 172 - Sale <sup>25</sup>
	0.004	1.53	10/06/20	2.57	1.04 W	0.00	110 of 172 - Sale <sup>25</sup>
	0.005	1.95	10/06/20	2.74	0.79 W	0.00	111 of 172 - Sale <sup>25</sup>
	0.006	2.66	10/06/20	3.94	1.28 W	0.00	112 of 172 - Sale <sup>25</sup>
	0.013	5.43	10/06/20	7.56	2.13 W	0.00	113 of 172 - Sale <sup>25</sup>
	0.025	10.58	10/06/20	17.46	6.88 W	0.00	114 of 172 - Sale <sup>25</sup>
	0.055	22.99	10/06/20	38.22	15.23 W	0.00	115 of 172 - Sale <sup>25</sup>
	0.060	25.15	10/06/20	43.50	18.35 W	0.00	116 of 172 - Sale <sup>25</sup>
	0.063	26.36	10/06/20	38.66	12.30 W	0.00	117 of 172 - Sale <sup>25</sup>
	0.072	30.26	10/06/20	51.89	21.63 W	0.00	118 of 172 - Sale <sup>25</sup>
	0.075	31.36	10/06/20	53.24	21.88 W	0.00	119 of 172 - Sale <sup>25</sup>
	0.083	34.70	10/06/20	47.10	12.40 W	0.00	120 of 172 - Sale <sup>25</sup>
	0.117	48.91	10/06/20	83.76	34.85 W	0.00	121 of 172 - Sale <sup>25</sup>
	0.152	63.36	10/06/20	93.24	29.88 W	0.00	122 of 172 - Sale <sup>25</sup>
	0.001	0.27	10/06/20	0.33	0.06 W	0.00	123 of 172 - Sale <sup>25</sup>
	0.001	0.52	10/06/20	0.57	0.05 W	0.00	124 of 172 - Sale <sup>25</sup>
	0.003	1.28	10/06/20	2.22	0.94 W	0.00	125 of 172 - Sale <sup>25</sup>
	0.006	2.48	10/06/20	2.59	0.11 W	0.00	126 of 172 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.006	2.65	10/06/20	2.76	0.11 W	0.00	127 of 172 - Sale <sup>25</sup>
	0.006	2.71	10/06/20	4.60	1.89 W	0.00	128 of 172 - Sale <sup>25</sup>
	0.009	3.60	10/06/20	3.73	0.13 W	0.00	129 of 172 - Sale <sup>25</sup>
	0.009	3.82	10/06/20	4.00	0.18 W	0.00	130 of 172 - Sale <sup>25</sup>
	0.010	4.01	10/06/20	4.97	0.96 W	0.00	131 of 172 - Sale <sup>25</sup>
	0.012	5.03	10/06/20	6.38	1.35 W	0.00	132 of 172 - Sale <sup>25</sup>
	0.013	5.49	10/06/20	9.29	3.80 W	0.00	133 of 172 - Sale <sup>25</sup>
	0.018	7.50	10/06/20	13.13	5.63 W	0.00	134 of 172 - Sale <sup>25</sup>
	0.023	9.54	10/06/20	16.15	6.61 W	0.00	135 of 172 - Sale <sup>25</sup>
	0.024	9.93	10/06/20	17.51	7.58 W	0.00	136 of 172 - Sale <sup>25</sup>
	0.029	12.23	10/06/20	19.71	7.48 W	0.00	137 of 172 - Sale <sup>25</sup>
	0.030	12.49	10/06/20	20.11	7.62 W	0.00	138 of 172 - Sale <sup>25</sup>
	0.044	18.30	10/06/20	21.54	3.24 W	0.00	139 of 172 - Sale <sup>25</sup>
	0.072	30.25	10/06/20	39.28	9.03 W	0.00	140 of 172 - Sale <sup>25</sup>
	0.077	32.06	10/06/20	34.84	2.78 W	0.00	141 of 172 - Sale <sup>25</sup>
	0.078	32.77	10/06/20	37.05	4.28 W	0.00	142 of 172 - Sale <sup>25</sup>
	0.082	34.41	10/06/20	44.77	10.36 W	0.00	143 of 172 - Sale <sup>25</sup>
	0.083	34.76	10/06/20	56.07	21.31 W	0.00	144 of 172 - Sale <sup>25</sup>
	0.144	60.25	10/06/20	102.12	41.87 W	0.00	145 of 172 - Sale <sup>25</sup>
	0.167	69.73	10/06/20	117.08	47.35 W	0.00	146 of 172 - Sale <sup>25</sup>
	0.001	0.50	10/06/20	0.55	0.05 W	0.00	147 of 172 - Sale <sup>25</sup>
	0.004	1.55	10/06/20	2.07	0.52 W	0.00	148 of 172 - Sale <sup>25</sup>
	0.035	14.81	10/06/20	19.09	4.28 W	0.00	149 of 172 - Sale <sup>25</sup>
	0.075	31.21	10/06/20	35.55	4.34 W	0.00	150 of 172 - Sale <sup>25</sup>
	0.020	8.17	10/06/20	8.43	0.26 W	0.00	151 of 172 - Sale <sup>25</sup>
	0.034	14.34	10/06/20	16.53	2.19 W	0.00	152 of 172 - Sale <sup>25</sup>
	0.009	3.70	10/06/20	3.99	0.29 W	0.00	153 of 172 - Sale <sup>25</sup>
	0.011	4.58	10/06/20	4.96	0.38 W	0.00	154 of 172 - Sale <sup>25</sup>
	0.017	7.22	10/06/20	9.33	2.11 W	0.00	155 of 172 - Sale <sup>25</sup>
	0.035	14.70	10/06/20	16.28	1.58 W	0.00	156 of 172 - Sale <sup>25</sup>
	0.041	17.12	10/06/20	18.96	1.84 W	0.00	157 of 172 - Sale <sup>25</sup>
	0.045	18.61	10/06/20	20.29	1.68 W	0.00	158 of 172 - Sale <sup>25</sup>
	0.047	19.82	10/06/20	21.66	1.84 W	0.00	159 of 172 - Sale <sup>25</sup>
	0.062	25.88	10/06/20	28.15	2.27 W	0.00	160 of 172 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.089	37.05	10/06/20	40.48	3.43 W	0.00	161 of 172 - Sale <sup>25</sup>
	0.137	57.14	10/06/20	62.88	5.74 W	0.00	162 of 172 - Sale <sup>25</sup>
	0.188	78.62	10/06/20	91.23	12.61 W	0.00	163 of 172 - Sale <sup>25</sup>
	0.012	5.14	10/06/20	5.72	0.58 W	0.00	164 of 172 - Sale <sup>25</sup>
	0.016	6.72	10/06/20	7.10	0.38 W	0.00	165 of 172 - Sale <sup>25</sup>
	0.045	18.96	10/06/20	24.85	5.89 W	0.00	166 of 172 - Sale <sup>25</sup>
	0.088	36.58	10/06/20	38.74	2.16 W	0.00	167 of 172 - Sale <sup>25</sup>
	0.166	69.19	10/06/20	71.28	2.09 W	0.00	168 of 172 - Sale <sup>25</sup>
	0.181	75.50	10/06/20	99.03	23.53 W	0.00	169 of 172 - Sale <sup>25</sup>
	0.402	167.87	10/06/20	188.13	20.26 W	0.00	170 of 172 - Sale <sup>25</sup>
	1.000	417.61	10/06/20	466.97	49.36 W	0.00	171 of 172 - Sale <sup>25</sup>
	0.174	72.69	10/06/20	81.39	8.70 W	0.00	172 of 172 - Sale <sup>25</sup>
10/07/20	19.000	7,958.71	Various	9,288.47	1,329.76 W	0.00	Total of 172 transactions
	6 transactions for 10/19/20. Total proceeds and cost reported to the IRS.						
	0.424	185.03	10/19/20	210.22	25.19 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.402	175.43	10/19/20	196.29	20.86 W	0.00	2 of 6 - Sale <sup>25</sup>
	1.000	436.42	10/19/20	471.13	34.71 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.402	175.43	10/19/20	183.34	7.91 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.174	512.38	10/19/20	536.71	24.33 W	0.00	5 of 6 - Sale <sup>25</sup>
	1.598	697.41	10/19/20	797.51	100.10 W	0.00	6 of 6 - Sale <sup>25</sup>
10/19/20	5.000	2,182.10	Various	2,395.20	213.10 W	0.00	Total of 6 transactions
	150 transactions for 10/20/20. Total proceeds and cost reported to the IRS.						
	0.081	34.00	10/19/20	35.72	1.72 W	0.00	1 of 150 - Sale <sup>25</sup>
	0.321	135.81	10/19/20	141.93	6.12 W	0.00	2 of 150 - Sale <sup>25</sup>
	0.598	252.64	10/19/20	263.07	10.43 W	0.00	3 of 150 - Sale <sup>25</sup>
	0.000	0.01	10/19/20	0.02	0.01 W	0.00	4 of 150 - Sale <sup>25</sup>
	0.002	0.68	10/19/20	0.84	0.16 W	0.00	5 of 150 - Sale <sup>25</sup>
	0.009	3.87	10/19/20	4.45	0.58 W	0.00	6 of 150 - Sale <sup>25</sup>
	0.037	15.46	10/19/20	18.59	3.13 W	0.00	7 of 150 - Sale <sup>25</sup>
	0.013	5.68	10/19/20	6.16	0.48 W	0.00	8 of 150 - Sale <sup>25</sup>
	0.015	6.44	10/19/20	6.95	0.51 W	0.00	9 of 150 - Sale <sup>25</sup>
	0.018	7.56	10/19/20	10.14	2.58 W	0.00	10 of 150 - Sale <sup>25</sup>
	0.033	13.98	10/19/20	15.22	1.24 W	0.00	11 of 150 - Sale <sup>25</sup>
	0.034	14.57	10/19/20	16.66	2.09 W	0.00	12 of 150 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

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(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.035	14.59	10/19/20	20.22	5.63 W	0.00	13 of 150 - Sale <sup>25</sup>
	0.039	16.59	10/19/20	22.11	5.52 W	0.00	14 of 150 - Sale <sup>25</sup>
	0.053	22.25	10/19/20	24.21	1.96 W	0.00	15 of 150 - Sale <sup>25</sup>
	0.096	40.37	10/19/20	45.37	5.00 W	0.00	16 of 150 - Sale <sup>25</sup>
	0.001	0.22	10/19/20	0.41	0.19 W	0.00	17 of 150 - Sale <sup>25</sup>
	0.001	0.27	10/19/20	0.39	0.12 W	0.00	18 of 150 - Sale <sup>25</sup>
	0.002	0.83	10/19/20	1.39	0.56 W	0.00	19 of 150 - Sale <sup>25</sup>
	0.004	1.54	10/19/20	2.62	1.08 W	0.00	20 of 150 - Sale <sup>25</sup>
	0.005	1.97	10/19/20	2.81	0.84 W	0.00	21 of 150 - Sale <sup>25</sup>
	0.006	2.69	10/19/20	4.04	1.35 W	0.00	22 of 150 - Sale <sup>25</sup>
	0.013	5.49	10/19/20	7.76	2.27 W	0.00	23 of 150 - Sale <sup>25</sup>
	0.025	10.70	10/19/20	17.85	7.15 W	0.00	24 of 150 - Sale <sup>25</sup>
	0.055	23.26	10/19/20	39.07	15.81 W	0.00	25 of 150 - Sale <sup>25</sup>
	0.060	25.44	10/19/20	44.42	18.98 W	0.00	26 of 150 - Sale <sup>25</sup>
	0.063	26.66	10/19/20	39.63	12.97 W	0.00	27 of 150 - Sale <sup>25</sup>
	0.072	30.61	10/19/20	53.00	22.39 W	0.00	28 of 150 - Sale <sup>25</sup>
	0.075	31.72	10/19/20	54.39	22.67 W	0.00	29 of 150 - Sale <sup>25</sup>
	0.083	35.10	10/19/20	48.38	13.28 W	0.00	30 of 150 - Sale <sup>25</sup>
	0.117	49.47	10/19/20	85.56	36.09 W	0.00	31 of 150 - Sale <sup>25</sup>
	0.152	64.09	10/19/20	95.57	31.48 W	0.00	32 of 150 - Sale <sup>25</sup>
	0.001	0.52	10/19/20	0.58	0.06 W	0.00	33 of 150 - Sale <sup>25</sup>
	0.003	1.30	10/19/20	2.27	0.97 W	0.00	34 of 150 - Sale <sup>25</sup>
	0.006	2.68	10/19/20	2.86	0.18 W	0.00	35 of 150 - Sale <sup>25</sup>
	0.006	2.74	10/19/20	4.70	1.96 W	0.00	36 of 150 - Sale <sup>25</sup>
	0.009	3.64	10/19/20	3.86	0.22 W	0.00	37 of 150 - Sale <sup>25</sup>
	0.010	4.05	10/19/20	5.11	1.06 W	0.00	38 of 150 - Sale <sup>25</sup>
	0.012	5.09	10/19/20	6.56	1.47 W	0.00	39 of 150 - Sale <sup>25</sup>
	0.013	5.56	10/19/20	9.50	3.94 W	0.00	40 of 150 - Sale <sup>25</sup>
	0.018	7.59	10/19/20	13.40	5.81 W	0.00	41 of 150 - Sale <sup>25</sup>
	0.023	9.65	10/19/20	16.50	6.85 W	0.00	42 of 150 - Sale <sup>25</sup>
	0.024	10.05	10/19/20	17.88	7.83 W	0.00	43 of 150 - Sale <sup>25</sup>
	0.029	12.38	10/19/20	20.17	7.79 W	0.00	44 of 150 - Sale <sup>25</sup>
	0.030	12.64	10/19/20	20.57	7.93 W	0.00	45 of 150 - Sale <sup>25</sup>
	0.072	30.60	10/19/20	40.40	9.80 W	0.00	46 of 150 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.076		32.06	10/19/20	42.41	10.35 W	0.00	47 of 150 - Sale <sup>25</sup>
0.077		32.43	10/19/20	36.02	3.59 W	0.00	48 of 150 - Sale <sup>25</sup>
0.078		33.14	10/19/20	38.25	5.11 W	0.00	49 of 150 - Sale <sup>25</sup>
0.083		35.16	10/19/20	57.35	22.19 W	0.00	50 of 150 - Sale <sup>25</sup>
0.144		60.95	10/19/20	104.34	43.39 W	0.00	51 of 150 - Sale <sup>25</sup>
0.167		70.54	10/19/20	119.65	49.11 W	0.00	52 of 150 - Sale <sup>25</sup>
0.402		169.81	10/19/20	175.52	5.71 W	0.00	53 of 150 - Sale <sup>25</sup>
0.598		252.64	10/19/20	261.15	8.51 W	0.00	54 of 150 - Sale <sup>25</sup>
0.321		135.81	10/19/20	139.97	4.16 W	0.00	55 of 150 - Sale <sup>25</sup>
0.321		135.81	10/19/20	140.87	5.06 W	0.00	56 of 150 - Sale <sup>25</sup>
0.679		286.64	10/19/20	295.44	8.80 W	0.00	57 of 150 - Sale <sup>25</sup>
0.679		286.64	10/19/20	297.33	10.69 W	0.00	58 of 150 - Sale <sup>25</sup>
0.001		0.27	10/19/20	0.34	0.07 W	0.00	59 of 150 - Sale <sup>25</sup>
0.006		2.51	10/19/20	2.69	0.18 W	0.00	60 of 150 - Sale <sup>25</sup>
0.007		2.75	10/19/20	3.64	0.89 W	0.00	61 of 150 - Sale <sup>25</sup>
0.009		3.86	10/19/20	4.14	0.28 W	0.00	62 of 150 - Sale <sup>25</sup>
0.044		18.51	10/19/20	22.21	3.70 W	0.00	63 of 150 - Sale <sup>25</sup>
0.001		0.51	10/19/20	0.57	0.06 W	0.00	64 of 150 - Sale <sup>25</sup>
0.004		1.57	10/19/20	2.13	0.56 W	0.00	65 of 150 - Sale <sup>25</sup>
0.035		14.98	10/19/20	19.63	4.65 W	0.00	66 of 150 - Sale <sup>25</sup>
0.075		31.57	10/19/20	36.70	5.13 W	0.00	67 of 150 - Sale <sup>25</sup>
0.020		8.26	10/19/20	8.73	0.47 W	0.00	68 of 150 - Sale <sup>25</sup>
0.034		14.50	10/19/20	17.06	2.56 W	0.00	69 of 150 - Sale <sup>25</sup>
0.009		3.74	10/19/20	4.12	0.38 W	0.00	70 of 150 - Sale <sup>25</sup>
0.011		4.63	10/19/20	5.13	0.50 W	0.00	71 of 150 - Sale <sup>25</sup>
0.017		7.31	10/19/20	9.60	2.29 W	0.00	72 of 150 - Sale <sup>25</sup>
0.035		14.87	10/19/20	16.82	1.95 W	0.00	73 of 150 - Sale <sup>25</sup>
0.041		17.32	10/19/20	19.59	2.27 W	0.00	74 of 150 - Sale <sup>25</sup>
0.045		18.82	10/19/20	20.97	2.15 W	0.00	75 of 150 - Sale <sup>25</sup>
0.047		20.05	10/19/20	22.39	2.34 W	0.00	76 of 150 - Sale <sup>25</sup>
0.062		26.18	10/19/20	29.10	2.92 W	0.00	77 of 150 - Sale <sup>25</sup>
0.089		37.48	10/19/20	41.84	4.36 W	0.00	78 of 150 - Sale <sup>25</sup>
0.137		57.80	10/19/20	64.98	7.18 W	0.00	79 of 150 - Sale <sup>25</sup>
0.188		79.53	10/19/20	94.12	14.59 W	0.00	80 of 150 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.084	35.44	10/19/20	38.39	2.95 W	0.00	81 of 150 - Sale <sup>25</sup>
	0.004	1.57	10/19/20	1.69	0.12 W	0.00	82 of 150 - Sale <sup>25</sup>
	0.012	5.20	10/19/20	5.91	0.71 W	0.00	83 of 150 - Sale <sup>25</sup>
	0.016	6.79	10/19/20	7.34	0.55 W	0.00	84 of 150 - Sale <sup>25</sup>
	0.045	19.18	10/19/20	25.55	6.37 W	0.00	85 of 150 - Sale <sup>25</sup>
	0.166	69.99	10/19/20	73.83	3.84 W	0.00	86 of 150 - Sale <sup>25</sup>
	0.181	76.37	10/19/20	101.81	25.44 W	0.00	87 of 150 - Sale <sup>25</sup>
	0.402	169.81	10/19/20	194.31	24.50 W	0.00	88 of 150 - Sale <sup>25</sup>
	1.000	422.45	10/19/20	482.36	59.91 W	0.00	89 of 150 - Sale <sup>25</sup>
	0.174	73.53	10/19/20	84.07	10.54 W	0.00	90 of 150 - Sale <sup>25</sup>
	0.424	179.10	10/19/20	208.77	29.67 W	0.00	91 of 150 - Sale <sup>25</sup>
	0.402	169.81	10/19/20	194.91	25.10 W	0.00	92 of 150 - Sale <sup>25</sup>
	1.000	422.46	10/19/20	467.71	45.25 W	0.00	93 of 150 - Sale <sup>25</sup>
	1.174	495.99	10/19/20	532.71	36.72 W	0.00	94 of 150 - Sale <sup>25</sup>
	0.000	0.01	10/20/20	0.02	0.01 W	0.00	95 of 150 - Sale <sup>25</sup>
	0.002	0.68	10/20/20	0.85	0.17 W	0.00	96 of 150 - Sale <sup>25</sup>
	0.009	3.86	10/20/20	4.51	0.65 W	0.00	97 of 150 - Sale <sup>25</sup>
	0.037	15.39	10/20/20	18.81	3.42 W	0.00	98 of 150 - Sale <sup>25</sup>
	0.013	5.65	10/20/20	6.24	0.59 W	0.00	99 of 150 - Sale <sup>25</sup>
	0.015	6.40	10/20/20	7.04	0.64 W	0.00	100 of 150 - Sale <sup>25</sup>
	0.018	7.52	10/20/20	10.25	2.73 W	0.00	101 of 150 - Sale <sup>25</sup>
	0.033	13.91	10/20/20	15.42	1.51 W	0.00	102 of 150 - Sale <sup>25</sup>
	0.034	14.50	10/20/20	16.87	2.37 W	0.00	103 of 150 - Sale <sup>25</sup>
	0.035	14.52	10/20/20	20.43	5.91 W	0.00	104 of 150 - Sale <sup>25</sup>
	0.039	16.51	10/20/20	22.35	5.84 W	0.00	105 of 150 - Sale <sup>25</sup>
	0.053	22.14	10/20/20	24.53	2.39 W	0.00	106 of 150 - Sale <sup>25</sup>
	0.096	40.17	10/20/20	45.95	5.78 W	0.00	107 of 150 - Sale <sup>25</sup>
	0.001	0.22	10/20/20	0.42	0.20 W	0.00	108 of 150 - Sale <sup>25</sup>
	0.001	0.27	10/20/20	0.40	0.13 W	0.00	109 of 150 - Sale <sup>25</sup>
	0.002	0.82	10/20/20	1.40	0.58 W	0.00	110 of 150 - Sale <sup>25</sup>
	0.004	1.54	10/20/20	2.65	1.11 W	0.00	111 of 150 - Sale <sup>25</sup>
	0.005	1.97	10/20/20	2.84	0.87 W	0.00	112 of 150 - Sale <sup>25</sup>
	0.006	2.68	10/20/20	4.08	1.40 W	0.00	113 of 150 - Sale <sup>25</sup>
	0.013	5.46	10/20/20	7.84	2.38 W	0.00	114 of 150 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.025	10.65	10/20/20	18.00	7.35 W	0.00	115 of 150 - Sale <sup>25</sup>
	0.055	23.14	10/20/20	39.40	16.26 W	0.00	116 of 150 - Sale <sup>25</sup>
	0.060	25.31	10/20/20	44.78	19.47 W	0.00	117 of 150 - Sale <sup>25</sup>
	0.063	26.53	10/20/20	40.01	13.48 W	0.00	118 of 150 - Sale <sup>25</sup>
	0.072	30.46	10/20/20	53.44	22.98 W	0.00	119 of 150 - Sale <sup>25</sup>
	0.075	31.56	10/20/20	54.84	23.28 W	0.00	120 of 150 - Sale <sup>25</sup>
	0.083	34.93	10/20/20	48.88	13.95 W	0.00	121 of 150 - Sale <sup>25</sup>
	0.117	49.23	10/20/20	86.27	37.04 W	0.00	122 of 150 - Sale <sup>25</sup>
	0.152	63.77	10/20/20	96.49	32.72 W	0.00	123 of 150 - Sale <sup>25</sup>
	0.001	0.52	10/20/20	0.59	0.07 W	0.00	124 of 150 - Sale <sup>25</sup>
	0.003	1.29	10/20/20	2.29	1.00 W	0.00	125 of 150 - Sale <sup>25</sup>
	0.006	2.67	10/20/20	2.90	0.23 W	0.00	126 of 150 - Sale <sup>25</sup>
	0.006	2.73	10/20/20	4.74	2.01 W	0.00	127 of 150 - Sale <sup>25</sup>
	0.009	3.62	10/20/20	3.91	0.29 W	0.00	128 of 150 - Sale <sup>25</sup>
	0.010	4.03	10/20/20	5.17	1.14 W	0.00	129 of 150 - Sale <sup>25</sup>
	0.012	5.06	10/20/20	6.63	1.57 W	0.00	130 of 150 - Sale <sup>25</sup>
	0.013	5.53	10/20/20	9.58	4.05 W	0.00	131 of 150 - Sale <sup>25</sup>
	0.018	7.55	10/20/20	13.50	5.95 W	0.00	132 of 150 - Sale <sup>25</sup>
	0.023	9.60	10/20/20	16.63	7.03 W	0.00	133 of 150 - Sale <sup>25</sup>
	0.024	10.00	10/20/20	18.02	8.02 W	0.00	134 of 150 - Sale <sup>25</sup>
	0.029	12.32	10/20/20	20.34	8.02 W	0.00	135 of 150 - Sale <sup>25</sup>
	0.030	12.57	10/20/20	20.75	8.18 W	0.00	136 of 150 - Sale <sup>25</sup>
	0.072	30.45	10/20/20	40.84	10.39 W	0.00	137 of 150 - Sale <sup>25</sup>
	0.076	31.90	10/20/20	42.87	10.97 W	0.00	138 of 150 - Sale <sup>25</sup>
	0.077	32.27	10/20/20	36.49	4.22 W	0.00	139 of 150 - Sale <sup>25</sup>
	0.078	32.98	10/20/20	38.73	5.75 W	0.00	140 of 150 - Sale <sup>25</sup>
	0.083	34.99	10/20/20	57.86	22.87 W	0.00	141 of 150 - Sale <sup>25</sup>
	0.144	60.65	10/20/20	105.21	44.56 W	0.00	142 of 150 - Sale <sup>25</sup>
	0.167	70.19	10/20/20	120.66	50.47 W	0.00	143 of 150 - Sale <sup>25</sup>
	0.081	33.84	10/20/20	36.21	2.37 W	0.00	144 of 150 - Sale <sup>25</sup>
	0.321	135.14	10/20/20	143.87	8.73 W	0.00	145 of 150 - Sale <sup>25</sup>
	0.402	168.97	10/20/20	177.96	8.99 W	0.00	146 of 150 - Sale <sup>25</sup>
	0.598	251.39	10/20/20	266.69	15.30 W	0.00	147 of 150 - Sale <sup>25</sup>
	0.598	251.39	10/20/20	264.77	13.38 W	0.00	148 of 150 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.402	168.97	10/20/20	180.15	11.18 W	0.00	149 of 150 - Sale <sup>25</sup>
	1.598	671.76	10/20/20	784.86	113.10 W	0.00	150 of 150 - Sale <sup>25</sup>
10/20/20	18.000	7,591.57	Various	8,984.70	1,393.13 W	0.00	Total of 150 transactions
10/21/20	0.595	253.71	10/20/20	257.71	4.00 W	0.00	Sale <sup>25</sup>
	34 transactions for 10/22/20. Total proceeds and cost reported to the IRS.						
	0.001	0.28	10/22/20	0.35	0.07 W	0.00	1 of 34 - Sale <sup>25</sup>
	0.006	2.56	10/22/20	2.75	0.19 W	0.00	2 of 34 - Sale <sup>25</sup>
	0.007	2.79	10/22/20	3.70	0.91 W	0.00	3 of 34 - Sale <sup>25</sup>
	0.009	3.92	10/22/20	4.23	0.31 W	0.00	4 of 34 - Sale <sup>25</sup>
	0.044	18.82	10/22/20	22.65	3.83 W	0.00	5 of 34 - Sale <sup>25</sup>
	0.001	0.52	10/22/20	0.58	0.06 W	0.00	6 of 34 - Sale <sup>25</sup>
	0.004	1.60	10/22/20	2.17	0.57 W	0.00	7 of 34 - Sale <sup>25</sup>
	0.035	15.23	10/22/20	19.99	4.76 W	0.00	8 of 34 - Sale <sup>25</sup>
	0.075	32.10	10/22/20	37.46	5.36 W	0.00	9 of 34 - Sale <sup>25</sup>
	0.020	8.40	10/22/20	8.93	0.53 W	0.00	10 of 34 - Sale <sup>25</sup>
	0.034	14.75	10/22/20	17.41	2.66 W	0.00	11 of 34 - Sale <sup>25</sup>
	0.009	3.80	10/22/20	4.21	0.41 W	0.00	12 of 34 - Sale <sup>25</sup>
	0.011	4.71	10/22/20	5.24	0.53 W	0.00	13 of 34 - Sale <sup>25</sup>
	0.017	7.43	10/22/20	9.77	2.34 W	0.00	14 of 34 - Sale <sup>25</sup>
	0.035	15.12	10/22/20	17.17	2.05 W	0.00	15 of 34 - Sale <sup>25</sup>
	0.041	17.61	10/22/20	20.00	2.39 W	0.00	16 of 34 - Sale <sup>25</sup>
	0.045	19.14	10/22/20	21.43	2.29 W	0.00	17 of 34 - Sale <sup>25</sup>
	0.047	20.39	10/22/20	22.87	2.48 W	0.00	18 of 34 - Sale <sup>25</sup>
	0.062	26.62	10/22/20	29.73	3.11 W	0.00	19 of 34 - Sale <sup>25</sup>
	0.089	38.11	10/22/20	42.74	4.63 W	0.00	20 of 34 - Sale <sup>25</sup>
	0.137	58.77	10/22/20	66.36	7.59 W	0.00	21 of 34 - Sale <sup>25</sup>
	0.188	80.86	10/22/20	96.03	15.17 W	0.00	22 of 34 - Sale <sup>25</sup>
	0.004	1.59	10/22/20	1.72	0.13 W	0.00	23 of 34 - Sale <sup>25</sup>
	0.012	5.28	10/22/20	6.03	0.75 W	0.00	24 of 34 - Sale <sup>25</sup>
	0.016	6.91	10/22/20	7.51	0.60 W	0.00	25 of 34 - Sale <sup>25</sup>
	0.045	19.51	10/22/20	26.01	6.50 W	0.00	26 of 34 - Sale <sup>25</sup>
	0.084	36.03	10/22/20	39.24	3.21 W	0.00	27 of 34 - Sale <sup>25</sup>
	0.166	71.17	10/22/20	75.51	4.34 W	0.00	28 of 34 - Sale <sup>25</sup>
	0.181	77.65	10/22/20	103.64	25.99 W	0.00	29 of 34 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.890	382.43	10/22/20	438.48	56.05 W	0.00	30 of 34 - Sale <sup>25</sup>
	0.084	36.00	10/22/20	37.34	1.34 W	0.00	31 of 34 - Sale <sup>25</sup>
	0.321	138.09	10/22/20	143.22	5.13 W	0.00	32 of 34 - Sale <sup>25</sup>
	0.321	138.09	10/22/20	144.12	6.03 W	0.00	33 of 34 - Sale <sup>25</sup>
	0.679	291.46	10/22/20	304.21	12.75 W	0.00	34 of 34 - Sale <sup>25</sup>
10/22/20	3.720	1,597.74	Various	1,782.80	185.06 W	0.00	Total of 34 transactions
100 transactions for 10/29/20. Total proceeds and cost reported to the IRS.							
	0.000	0.01	10/29/20	0.02	0.01 W	0.00	1 of 100 - Sale <sup>25</sup>
	0.002	0.67	10/29/20	0.83	0.16 W	0.00	2 of 100 - Sale <sup>25</sup>
	0.009	3.80	10/29/20	4.40	0.60 W	0.00	3 of 100 - Sale <sup>25</sup>
	0.037	15.16	10/29/20	18.37	3.21 W	0.00	4 of 100 - Sale <sup>25</sup>
	0.013	5.57	10/29/20	6.08	0.51 W	0.00	5 of 100 - Sale <sup>25</sup>
	0.015	6.31	10/29/20	6.86	0.55 W	0.00	6 of 100 - Sale <sup>25</sup>
	0.018	7.41	10/29/20	10.04	2.63 W	0.00	7 of 100 - Sale <sup>25</sup>
	0.033	13.71	10/29/20	15.03	1.32 W	0.00	8 of 100 - Sale <sup>25</sup>
	0.034	14.28	10/29/20	16.46	2.18 W	0.00	9 of 100 - Sale <sup>25</sup>
	0.035	14.31	10/29/20	20.02	5.71 W	0.00	10 of 100 - Sale <sup>25</sup>
	0.039	16.26	10/29/20	21.88	5.62 W	0.00	11 of 100 - Sale <sup>25</sup>
	0.053	21.82	10/29/20	23.91	2.09 W	0.00	12 of 100 - Sale <sup>25</sup>
	0.096	39.58	10/29/20	44.82	5.24 W	0.00	13 of 100 - Sale <sup>25</sup>
	0.001	0.22	10/29/20	0.42	0.20 W	0.00	14 of 100 - Sale <sup>25</sup>
	0.001	0.27	10/29/20	0.39	0.12 W	0.00	15 of 100 - Sale <sup>25</sup>
	0.002	0.81	10/29/20	1.38	0.57 W	0.00	16 of 100 - Sale <sup>25</sup>
	0.004	1.51	10/29/20	2.60	1.09 W	0.00	17 of 100 - Sale <sup>25</sup>
	0.005	1.94	10/29/20	2.78	0.84 W	0.00	18 of 100 - Sale <sup>25</sup>
	0.006	2.64	10/29/20	4.01	1.37 W	0.00	19 of 100 - Sale <sup>25</sup>
	0.013	5.38	10/29/20	7.69	2.31 W	0.00	20 of 100 - Sale <sup>25</sup>
	0.025	10.49	10/29/20	17.70	7.21 W	0.00	21 of 100 - Sale <sup>25</sup>
	0.055	22.80	10/29/20	38.75	15.95 W	0.00	22 of 100 - Sale <sup>25</sup>
	0.060	24.94	10/29/20	44.07	19.13 W	0.00	23 of 100 - Sale <sup>25</sup>
	0.063	26.14	10/29/20	39.26	13.12 W	0.00	24 of 100 - Sale <sup>25</sup>
	0.072	30.01	10/29/20	52.58	22.57 W	0.00	25 of 100 - Sale <sup>25</sup>
	0.075	31.10	10/29/20	53.95	22.85 W	0.00	26 of 100 - Sale <sup>25</sup>
	0.083	34.41	10/29/20	47.89	13.48 W	0.00	27 of 100 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.117	48.50	10/29/20	84.88	36.38 W	0.00	28 of 100 - Sale <sup>25</sup>
	0.152	62.83	10/29/20	94.69	31.86 W	0.00	29 of 100 - Sale <sup>25</sup>
	0.001	0.27	10/29/20	0.33	0.06 W	0.00	30 of 100 - Sale <sup>25</sup>
	0.001	0.51	10/29/20	0.57	0.06 W	0.00	31 of 100 - Sale <sup>25</sup>
	0.003	1.27	10/29/20	2.25	0.98 W	0.00	32 of 100 - Sale <sup>25</sup>
	0.006	2.46	10/29/20	2.62	0.16 W	0.00	33 of 100 - Sale <sup>25</sup>
	0.006	2.63	10/29/20	2.83	0.20 W	0.00	34 of 100 - Sale <sup>25</sup>
	0.006	2.69	10/29/20	4.66	1.97 W	0.00	35 of 100 - Sale <sup>25</sup>
	0.007	2.69	10/29/20	3.57	0.88 W	0.00	36 of 100 - Sale <sup>25</sup>
	0.009	3.57	10/29/20	3.81	0.24 W	0.00	37 of 100 - Sale <sup>25</sup>
	0.009	3.78	10/29/20	4.04	0.26 W	0.00	38 of 100 - Sale <sup>25</sup>
	0.010	3.97	10/29/20	5.06	1.09 W	0.00	39 of 100 - Sale <sup>25</sup>
	0.012	4.99	10/29/20	6.49	1.50 W	0.00	40 of 100 - Sale <sup>25</sup>
	0.013	5.45	10/29/20	9.43	3.98 W	0.00	41 of 100 - Sale <sup>25</sup>
	0.018	7.44	10/29/20	13.28	5.84 W	0.00	42 of 100 - Sale <sup>25</sup>
	0.023	9.46	10/29/20	16.36	6.90 W	0.00	43 of 100 - Sale <sup>25</sup>
	0.024	9.85	10/29/20	17.73	7.88 W	0.00	44 of 100 - Sale <sup>25</sup>
	0.029	12.13	10/29/20	19.99	7.86 W	0.00	45 of 100 - Sale <sup>25</sup>
	0.030	12.39	10/29/20	20.40	8.01 W	0.00	46 of 100 - Sale <sup>25</sup>
	0.044	18.15	10/29/20	21.73	3.58 W	0.00	47 of 100 - Sale <sup>25</sup>
	0.072	30.00	10/29/20	39.98	9.98 W	0.00	48 of 100 - Sale <sup>25</sup>
	0.076	31.43	10/29/20	41.97	10.54 W	0.00	49 of 100 - Sale <sup>25</sup>
	0.077	31.79	10/29/20	35.58	3.79 W	0.00	50 of 100 - Sale <sup>25</sup>
	0.078	32.49	10/29/20	37.80	5.31 W	0.00	51 of 100 - Sale <sup>25</sup>
	0.083	34.47	10/29/20	56.87	22.40 W	0.00	52 of 100 - Sale <sup>25</sup>
	0.144	59.75	10/29/20	103.49	43.74 W	0.00	53 of 100 - Sale <sup>25</sup>
	0.167	69.15	10/29/20	118.68	49.53 W	0.00	54 of 100 - Sale <sup>25</sup>
	0.001	0.50	10/29/20	0.55	0.05 W	0.00	55 of 100 - Sale <sup>25</sup>
	0.004	1.54	10/29/20	2.09	0.55 W	0.00	56 of 100 - Sale <sup>25</sup>
	0.035	14.69	10/29/20	19.25	4.56 W	0.00	57 of 100 - Sale <sup>25</sup>
	0.075	30.95	10/29/20	35.89	4.94 W	0.00	58 of 100 - Sale <sup>25</sup>
	0.020	8.10	10/29/20	8.52	0.42 W	0.00	59 of 100 - Sale <sup>25</sup>
	0.034	14.22	10/29/20	16.69	2.47 W	0.00	60 of 100 - Sale <sup>25</sup>
	0.009	3.66	10/29/20	4.02	0.36 W	0.00	61 of 100 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.011	4.54	10/29/20	5.01	0.47 W	0.00	62 of 100 - Sale <sup>25</sup>
	0.017	7.16	10/29/20	9.40	2.24 W	0.00	63 of 100 - Sale <sup>25</sup>
	0.025	10.42	10/29/20	11.59	1.17 W	0.00	64 of 100 - Sale <sup>25</sup>
	0.035	14.57	10/29/20	16.43	1.86 W	0.00	65 of 100 - Sale <sup>25</sup>
	0.062	25.66	10/29/20	28.42	2.76 W	0.00	66 of 100 - Sale <sup>25</sup>
	0.137	56.66	10/29/20	63.48	6.82 W	0.00	67 of 100 - Sale <sup>25</sup>
	0.188	77.96	10/29/20	92.07	14.11 W	0.00	68 of 100 - Sale <sup>25</sup>
	0.110	45.43	10/29/20	51.38	5.95 W	0.00	69 of 100 - Sale <sup>25</sup>
	0.402	166.47	10/29/20	188.70	22.23 W	0.00	70 of 100 - Sale <sup>25</sup>
	0.174	72.09	10/29/20	81.65	9.56 W	0.00	71 of 100 - Sale <sup>25</sup>
	0.424	175.58	10/29/20	202.86	27.28 W	0.00	72 of 100 - Sale <sup>25</sup>
	0.402	166.48	10/29/20	189.30	22.82 W	0.00	73 of 100 - Sale <sup>25</sup>
	1.000	414.15	10/29/20	453.75	39.60 W	0.00	74 of 100 - Sale <sup>25</sup>
	0.081	33.34	10/29/20	35.25	1.91 W	0.00	75 of 100 - Sale <sup>25</sup>
	0.321	133.14	10/29/20	140.05	6.91 W	0.00	76 of 100 - Sale <sup>25</sup>
	0.402	166.47	10/29/20	173.20	6.73 W	0.00	77 of 100 - Sale <sup>25</sup>
	0.598	247.67	10/29/20	259.60	11.93 W	0.00	78 of 100 - Sale <sup>25</sup>
	0.598	247.67	10/29/20	257.68	10.01 W	0.00	79 of 100 - Sale <sup>25</sup>
	1.174	486.24	10/29/20	516.33	30.09 W	0.00	80 of 100 - Sale <sup>25</sup>
	0.402	166.47	10/29/20	175.38	8.91 W	0.00	81 of 100 - Sale <sup>25</sup>
	1.598	661.82	10/29/20	765.90	104.08 W	0.00	82 of 100 - Sale <sup>25</sup>
	0.595	246.30	10/29/20	246.94	0.64 W	0.00	83 of 100 - Sale <sup>25</sup>
	0.041	16.98	10/29/20	19.40	2.42 W	0.00	84 of 100 - Sale <sup>25</sup>
	0.045	18.45	10/29/20	20.78	2.33 W	0.00	85 of 100 - Sale <sup>25</sup>
	0.047	19.65	10/29/20	22.18	2.53 W	0.00	86 of 100 - Sale <sup>25</sup>
	0.064	26.32	10/29/20	29.70	3.38 W	0.00	87 of 100 - Sale <sup>25</sup>
	0.004	1.53	10/29/20	1.67	0.14 W	0.00	88 of 100 - Sale <sup>25</sup>
	0.012	5.09	10/29/20	5.85	0.76 W	0.00	89 of 100 - Sale <sup>25</sup>
	0.016	6.66	10/29/20	7.27	0.61 W	0.00	90 of 100 - Sale <sup>25</sup>
	0.045	18.81	10/29/20	25.35	6.54 W	0.00	91 of 100 - Sale <sup>25</sup>
	0.084	34.74	10/29/20	38.02	3.28 W	0.00	92 of 100 - Sale <sup>25</sup>
	0.166	68.62	10/29/20	73.10	4.48 W	0.00	93 of 100 - Sale <sup>25</sup>
	0.181	74.87	10/29/20	101.01	26.14 W	0.00	94 of 100 - Sale <sup>25</sup>
	0.890	368.72	10/29/20	425.53	56.81 W	0.00	95 of 100 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.084	34.71	10/29/20	36.12	1.41 W	0.00	96 of 100 - Sale <sup>25</sup>
	0.321	133.14	10/29/20	138.54	5.40 W	0.00	97 of 100 - Sale <sup>25</sup>
	0.321	133.14	10/29/20	139.44	6.30 W	0.00	98 of 100 - Sale <sup>25</sup>
	0.679	281.01	10/29/20	294.34	13.33 W	0.00	99 of 100 - Sale <sup>25</sup>
	1.000	414.15	10/29/20	415.00	0.85 W	0.00	100 of 100 - Sale <sup>25</sup>
10/29/20	15.000	6,212.20	Various	7,115.96	903.76 W	0.00	Total of 100 transactions
<i>73 transactions for 10/30/20. Total proceeds and cost reported to the IRS.</i>							
	0.000	0.01	10/30/20	0.02	0.01 W	0.00	1 of 73 - Sale <sup>25</sup>
	0.002	0.63	10/30/20	0.79	0.16 W	0.00	2 of 73 - Sale <sup>25</sup>
	0.009	3.58	10/30/20	4.20	0.62 W	0.00	3 of 73 - Sale <sup>25</sup>
	0.037	14.27	10/30/20	17.57	3.30 W	0.00	4 of 73 - Sale <sup>25</sup>
	0.013	5.24	10/30/20	5.78	0.54 W	0.00	5 of 73 - Sale <sup>25</sup>
	0.015	5.94	10/30/20	6.53	0.59 W	0.00	6 of 73 - Sale <sup>25</sup>
	0.018	6.98	10/30/20	9.65	2.67 W	0.00	7 of 73 - Sale <sup>25</sup>
	0.033	12.90	10/30/20	14.31	1.41 W	0.00	8 of 73 - Sale <sup>25</sup>
	0.034	13.45	10/30/20	15.71	2.26 W	0.00	9 of 73 - Sale <sup>25</sup>
	0.035	13.47	10/30/20	19.27	5.80 W	0.00	10 of 73 - Sale <sup>25</sup>
	0.039	15.31	10/30/20	21.03	5.72 W	0.00	11 of 73 - Sale <sup>25</sup>
	0.053	20.54	10/30/20	22.76	2.22 W	0.00	12 of 73 - Sale <sup>25</sup>
	0.096	37.27	10/30/20	42.74	5.47 W	0.00	13 of 73 - Sale <sup>25</sup>
	0.001	0.21	10/30/20	0.41	0.20 W	0.00	14 of 73 - Sale <sup>25</sup>
	0.001	0.25	10/30/20	0.37	0.12 W	0.00	15 of 73 - Sale <sup>25</sup>
	0.002	0.76	10/30/20	1.34	0.58 W	0.00	16 of 73 - Sale <sup>25</sup>
	0.004	1.43	10/30/20	2.52	1.09 W	0.00	17 of 73 - Sale <sup>25</sup>
	0.005	1.82	10/30/20	2.67	0.85 W	0.00	18 of 73 - Sale <sup>25</sup>
	0.006	2.49	10/30/20	3.87	1.38 W	0.00	19 of 73 - Sale <sup>25</sup>
	0.013	5.07	10/30/20	7.41	2.34 W	0.00	20 of 73 - Sale <sup>25</sup>
	0.025	9.88	10/30/20	17.15	7.27 W	0.00	21 of 73 - Sale <sup>25</sup>
	0.055	21.47	10/30/20	37.55	16.08 W	0.00	22 of 73 - Sale <sup>25</sup>
	0.060	23.48	10/30/20	42.76	19.28 W	0.00	23 of 73 - Sale <sup>25</sup>
	0.063	24.61	10/30/20	37.89	13.28 W	0.00	24 of 73 - Sale <sup>25</sup>
	0.072	28.25	10/30/20	51.00	22.75 W	0.00	25 of 73 - Sale <sup>25</sup>
	0.075	29.28	10/30/20	52.32	23.04 W	0.00	26 of 73 - Sale <sup>25</sup>
	0.083	32.40	10/30/20	46.09	13.69 W	0.00	27 of 73 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.117		45.66	10/30/20	82.34	36.68 W	0.00	28 of 73 - Sale <sup>25</sup>
0.152		59.15	10/30/20	91.39	32.24 W	0.00	29 of 73 - Sale <sup>25</sup>
0.001		0.25	10/30/20	0.31	0.06 W	0.00	30 of 73 - Sale <sup>25</sup>
0.001		0.48	10/30/20	0.54	0.06 W	0.00	31 of 73 - Sale <sup>25</sup>
0.003		1.20	10/30/20	2.19	0.99 W	0.00	32 of 73 - Sale <sup>25</sup>
0.006		2.32	10/30/20	2.49	0.17 W	0.00	33 of 73 - Sale <sup>25</sup>
0.006		2.48	10/30/20	2.69	0.21 W	0.00	34 of 73 - Sale <sup>25</sup>
0.006		2.53	10/30/20	4.52	1.99 W	0.00	35 of 73 - Sale <sup>25</sup>
0.007		2.54	10/30/20	3.43	0.89 W	0.00	36 of 73 - Sale <sup>25</sup>
0.009		3.36	10/30/20	3.62	0.26 W	0.00	37 of 73 - Sale <sup>25</sup>
0.009		3.56	10/30/20	3.85	0.29 W	0.00	38 of 73 - Sale <sup>25</sup>
0.010		3.74	10/30/20	4.85	1.11 W	0.00	39 of 73 - Sale <sup>25</sup>
0.012		4.69	10/30/20	6.22	1.53 W	0.00	40 of 73 - Sale <sup>25</sup>
0.013		5.13	10/30/20	9.14	4.01 W	0.00	41 of 73 - Sale <sup>25</sup>
0.018		7.00	10/30/20	12.89	5.89 W	0.00	42 of 73 - Sale <sup>25</sup>
0.023		8.90	10/30/20	15.86	6.96 W	0.00	43 of 73 - Sale <sup>25</sup>
0.024		9.27	10/30/20	17.21	7.94 W	0.00	44 of 73 - Sale <sup>25</sup>
0.029		11.42	10/30/20	19.36	7.94 W	0.00	45 of 73 - Sale <sup>25</sup>
0.030		11.66	10/30/20	19.75	8.09 W	0.00	46 of 73 - Sale <sup>25</sup>
0.044		17.08	10/30/20	20.77	3.69 W	0.00	47 of 73 - Sale <sup>25</sup>
0.072		28.24	10/30/20	38.40	10.16 W	0.00	48 of 73 - Sale <sup>25</sup>
0.076		29.60	10/30/20	40.32	10.72 W	0.00	49 of 73 - Sale <sup>25</sup>
0.077		29.93	10/30/20	33.92	3.99 W	0.00	50 of 73 - Sale <sup>25</sup>
0.078		30.59	10/30/20	36.10	5.51 W	0.00	51 of 73 - Sale <sup>25</sup>
0.083		32.45	10/30/20	55.06	22.61 W	0.00	52 of 73 - Sale <sup>25</sup>
0.144		56.25	10/30/20	100.35	44.10 W	0.00	53 of 73 - Sale <sup>25</sup>
0.167		65.11	10/30/20	115.06	49.95 W	0.00	54 of 73 - Sale <sup>25</sup>
0.001		0.47	10/30/20	0.52	0.05 W	0.00	55 of 73 - Sale <sup>25</sup>
0.004		1.45	10/30/20	2.01	0.56 W	0.00	56 of 73 - Sale <sup>25</sup>
0.035		13.83	10/30/20	18.47	4.64 W	0.00	57 of 73 - Sale <sup>25</sup>
0.075		29.14	10/30/20	34.27	5.13 W	0.00	58 of 73 - Sale <sup>25</sup>
0.020		7.63	10/30/20	8.10	0.47 W	0.00	59 of 73 - Sale <sup>25</sup>
0.034		13.39	10/30/20	15.94	2.55 W	0.00	60 of 73 - Sale <sup>25</sup>
0.009		3.45	10/30/20	3.83	0.38 W	0.00	61 of 73 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.011	4.28	10/30/20	4.77	0.49 W	0.00	62 of 73 - Sale <sup>25</sup>
	0.017	6.74	10/30/20	9.03	2.29 W	0.00	63 of 73 - Sale <sup>25</sup>
	0.025	9.81	10/30/20	11.04	1.23 W	0.00	64 of 73 - Sale <sup>25</sup>
	0.035	13.72	10/30/20	15.67	1.95 W	0.00	65 of 73 - Sale <sup>25</sup>
	0.041	15.98	10/30/20	18.51	2.53 W	0.00	66 of 73 - Sale <sup>25</sup>
	0.045	17.38	10/30/20	19.82	2.44 W	0.00	67 of 73 - Sale <sup>25</sup>
	0.047	18.51	10/30/20	21.15	2.64 W	0.00	68 of 73 - Sale <sup>25</sup>
	0.062	24.16	10/30/20	27.08	2.92 W	0.00	69 of 73 - Sale <sup>25</sup>
	0.064	24.78	10/30/20	28.32	3.54 W	0.00	70 of 73 - Sale <sup>25</sup>
	0.137	53.35	10/30/20	60.51	7.16 W	0.00	71 of 73 - Sale <sup>25</sup>
	0.188	73.40	10/30/20	87.98	14.58 W	0.00	72 of 73 - Sale <sup>25</sup>
	0.084	32.71	10/30/20	36.20	3.49 W	0.00	73 of 73 - Sale <sup>25</sup>
10/30/20	3.000	1,169.76	Various	1,649.56	479.80 W	0.00	Total of 73 transactions
	10 transactions for 11/02/20. Total proceeds and cost reported to the IRS.						
	0.004	1.49	10/30/20	1.59	0.10 W	0.00	1 of 10 - Sale <sup>25</sup>
	0.012	4.96	10/30/20	5.59	0.63 W	0.00	2 of 10 - Sale <sup>25</sup>
	0.016	6.48	10/30/20	6.92	0.44 W	0.00	3 of 10 - Sale <sup>25</sup>
	0.045	18.31	10/30/20	24.36	6.05 W	0.00	4 of 10 - Sale <sup>25</sup>
	0.166	66.79	10/30/20	69.50	2.71 W	0.00	5 of 10 - Sale <sup>25</sup>
	0.181	72.88	10/30/20	97.08	24.20 W	0.00	6 of 10 - Sale <sup>25</sup>
	0.110	44.22	10/30/20	49.00	4.78 W	0.00	7 of 10 - Sale <sup>25</sup>
	0.402	162.04	10/30/20	179.97	17.93 W	0.00	8 of 10 - Sale <sup>25</sup>
	0.890	358.91	10/30/20	406.19	47.28 W	0.00	9 of 10 - Sale <sup>25</sup>
	0.174	70.17	10/30/20	77.87	7.70 W	0.00	10 of 10 - Sale <sup>25</sup>
11/02/20	2.000	806.25	Various	918.07	111.82 W	0.00	Total of 10 transactions
	26 transactions for 11/04/20. Total proceeds and cost reported to the IRS.						
	0.006	2.70	10/30/20	2.69	...	0.01	1 of 26 - Sale <sup>25</sup>
	0.009	3.88	10/30/20	3.86	...	0.02	2 of 26 - Sale <sup>25</sup>
	0.006	2.53	10/30/20	2.50	...	0.03	3 of 26 - Sale <sup>25</sup>
	0.009	3.66	10/30/20	3.63	...	0.03	4 of 26 - Sale <sup>25</sup>
	0.004	1.57	10/30/20	1.54	...	0.03	5 of 26 - Sale <sup>25</sup>
	0.016	6.83	10/30/20	6.68	...	0.15	6 of 26 - Sale <sup>25</sup>
	0.020	8.30	10/30/20	8.11	...	0.19	7 of 26 - Sale <sup>25</sup>
	0.174	73.89	10/30/20	73.44	...	0.45	8 of 26 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.081	34.11	10/30/20	33.50	...	0.61	9 of 26 - Sale <sup>25</sup>
	0.084	35.49	10/30/20	34.30	...	1.19	10 of 26 - Sale <sup>25</sup>
	0.424	179.97	10/30/20	178.39	...	1.58	11 of 26 - Sale <sup>25</sup>
	0.321	136.23	10/30/20	133.06	...	3.17	12 of 26 - Sale <sup>25</sup>
	0.166	70.33	10/30/20	66.96	...	3.37	13 of 26 - Sale <sup>25</sup>
	0.402	170.21	10/30/20	166.65	...	3.56	14 of 26 - Sale <sup>25</sup>
	0.321	136.13	10/30/20	132.45	...	3.68	15 of 26 - Sale <sup>25</sup>
	0.402	170.64	10/30/20	166.09	...	4.55	16 of 26 - Sale <sup>25</sup>
	0.321	136.13	10/30/20	131.55	...	4.58	17 of 26 - Sale <sup>25</sup>
	0.402	170.34	10/30/20	164.47	...	5.87	18 of 26 - Sale <sup>25</sup>
	1.174	497.52	10/30/20	490.82	...	6.70	19 of 26 - Sale <sup>25</sup>
	0.598	253.42	10/30/20	246.61	...	6.81	20 of 26 - Sale <sup>25</sup>
	0.679	287.32	10/30/20	279.60	...	7.72	21 of 26 - Sale <sup>25</sup>
	0.598	253.42	10/30/20	244.69	...	8.73	22 of 26 - Sale <sup>25</sup>
	0.595	251.83	10/30/20	234.02	...	17.81	23 of 26 - Sale <sup>25</sup>
	1.000	424.51	10/30/20	396.04	...	28.47	24 of 26 - Sale <sup>25</sup>
	1.000	423.46	10/30/20	393.27	...	30.19	25 of 26 - Sale <sup>25</sup>
	3.000	1,273.53	10/30/20	1,177.28	...	96.25	26 of 26 - Sale <sup>25</sup>
11/04/20	11.811	5,007.95	Various	4,772.20	...	235.75	Total of 26 transactions
	79 transactions for 11/04/20. Total proceeds and cost reported to the IRS.						
	0.424	179.66	10/30/20	193.65	13.99 W	0.00	1 of 79 - Sale <sup>25</sup>
	0.402	170.34	10/30/20	180.56	10.22 W	0.00	2 of 79 - Sale <sup>25</sup>
	1.000	423.76	10/30/20	432.02	8.26 W	0.00	3 of 79 - Sale <sup>25</sup>
	1.598	676.69	10/30/20	731.19	54.50 W	0.00	4 of 79 - Sale <sup>25</sup>
	0.000	0.01	10/30/20	0.02	0.01 W	0.00	5 of 79 - Sale <sup>25</sup>
	0.002	0.69	10/30/20	0.79	0.10 W	0.00	6 of 79 - Sale <sup>25</sup>
	0.009	3.89	10/30/20	4.20	0.31 W	0.00	7 of 79 - Sale <sup>25</sup>
	0.037	15.54	10/30/20	17.60	2.06 W	0.00	8 of 79 - Sale <sup>25</sup>
	0.013	5.70	10/30/20	5.79	0.09 W	0.00	9 of 79 - Sale <sup>25</sup>
	0.015	6.47	10/30/20	6.54	0.07 W	0.00	10 of 79 - Sale <sup>25</sup>
	0.018	7.60	10/30/20	9.66	2.06 W	0.00	11 of 79 - Sale <sup>25</sup>
	0.033	14.05	10/30/20	14.34	0.29 W	0.00	12 of 79 - Sale <sup>25</sup>
	0.034	14.64	10/30/20	15.74	1.10 W	0.00	13 of 79 - Sale <sup>25</sup>
	0.035	14.66	10/30/20	19.30	4.64 W	0.00	14 of 79 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.039	16.67	10/30/20	21.07	4.40 W	0.00	15 of 79 - Sale <sup>25</sup>
	0.053	22.36	10/30/20	22.81	0.45 W	0.00	16 of 79 - Sale <sup>25</sup>
	0.096	40.57	10/30/20	42.82	2.25 W	0.00	17 of 79 - Sale <sup>25</sup>
	0.001	0.22	10/30/20	0.41	0.19 W	0.00	18 of 79 - Sale <sup>25</sup>
	0.001	0.27	10/30/20	0.37	0.10 W	0.00	19 of 79 - Sale <sup>25</sup>
	0.002	0.83	10/30/20	1.35	0.52 W	0.00	20 of 79 - Sale <sup>25</sup>
	0.004	1.55	10/30/20	2.52	0.97 W	0.00	21 of 79 - Sale <sup>25</sup>
	0.005	1.98	10/30/20	2.68	0.70 W	0.00	22 of 79 - Sale <sup>25</sup>
	0.006	2.71	10/30/20	3.87	1.16 W	0.00	23 of 79 - Sale <sup>25</sup>
	0.013	5.52	10/30/20	7.42	1.90 W	0.00	24 of 79 - Sale <sup>25</sup>
	0.025	10.75	10/30/20	17.17	6.42 W	0.00	25 of 79 - Sale <sup>25</sup>
	0.055	23.37	10/30/20	37.60	14.23 W	0.00	26 of 79 - Sale <sup>25</sup>
	0.060	25.56	10/30/20	42.81	17.25 W	0.00	27 of 79 - Sale <sup>25</sup>
	0.063	26.79	10/30/20	37.95	11.16 W	0.00	28 of 79 - Sale <sup>25</sup>
	0.072	30.76	10/30/20	51.07	20.31 W	0.00	29 of 79 - Sale <sup>25</sup>
	0.075	31.88	10/30/20	52.38	20.50 W	0.00	30 of 79 - Sale <sup>25</sup>
	0.083	35.27	10/30/20	46.16	10.89 W	0.00	31 of 79 - Sale <sup>25</sup>
	0.117	49.72	10/30/20	82.45	32.73 W	0.00	32 of 79 - Sale <sup>25</sup>
	0.152	64.40	10/30/20	91.53	27.13 W	0.00	33 of 79 - Sale <sup>25</sup>
	0.001	0.27	10/30/20	0.31	0.04 W	0.00	34 of 79 - Sale <sup>25</sup>
	0.001	0.52	10/30/20	0.54	0.02 W	0.00	35 of 79 - Sale <sup>25</sup>
	0.003	1.30	10/30/20	2.19	0.89 W	0.00	36 of 79 - Sale <sup>25</sup>
	0.006	2.76	10/30/20	4.53	1.77 W	0.00	37 of 79 - Sale <sup>25</sup>
	0.007	2.76	10/30/20	3.43	0.67 W	0.00	38 of 79 - Sale <sup>25</sup>
	0.010	4.07	10/30/20	4.86	0.79 W	0.00	39 of 79 - Sale <sup>25</sup>
	0.012	5.11	10/30/20	6.24	1.13 W	0.00	40 of 79 - Sale <sup>25</sup>
	0.013	5.59	10/30/20	9.15	3.56 W	0.00	41 of 79 - Sale <sup>25</sup>
	0.018	7.62	10/30/20	12.91	5.29 W	0.00	42 of 79 - Sale <sup>25</sup>
	0.023	9.69	10/30/20	15.88	6.19 W	0.00	43 of 79 - Sale <sup>25</sup>
	0.024	10.10	10/30/20	17.23	7.13 W	0.00	44 of 79 - Sale <sup>25</sup>
	0.029	12.44	10/30/20	19.39	6.95 W	0.00	45 of 79 - Sale <sup>25</sup>
	0.030	12.70	10/30/20	19.78	7.08 W	0.00	46 of 79 - Sale <sup>25</sup>
	0.044	18.60	10/30/20	20.81	2.21 W	0.00	47 of 79 - Sale <sup>25</sup>
	0.072	30.75	10/30/20	38.47	7.72 W	0.00	48 of 79 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.076	32.22	10/30/20	40.38	8.16 W	0.00	49 of 79 - Sale <sup>25</sup>
	0.077	32.59	10/30/20	33.99	1.40 W	0.00	50 of 79 - Sale <sup>25</sup>
	0.078	33.31	10/30/20	36.17	2.86 W	0.00	51 of 79 - Sale <sup>25</sup>
	0.083	35.33	10/30/20	55.14	19.81 W	0.00	52 of 79 - Sale <sup>25</sup>
	0.144	61.24	10/30/20	100.48	39.24 W	0.00	53 of 79 - Sale <sup>25</sup>
	0.167	70.88	10/30/20	115.20	44.32 W	0.00	54 of 79 - Sale <sup>25</sup>
	0.001	0.51	10/30/20	0.52	0.01 W	0.00	55 of 79 - Sale <sup>25</sup>
	0.004	1.58	10/30/20	2.01	0.43 W	0.00	56 of 79 - Sale <sup>25</sup>
	0.035	15.05	10/30/20	18.50	3.45 W	0.00	57 of 79 - Sale <sup>25</sup>
	0.075	31.73	10/30/20	34.34	2.61 W	0.00	58 of 79 - Sale <sup>25</sup>
	0.034	14.58	10/30/20	15.97	1.39 W	0.00	59 of 79 - Sale <sup>25</sup>
	0.009	3.76	10/30/20	3.84	0.08 W	0.00	60 of 79 - Sale <sup>25</sup>
	0.011	4.66	10/30/20	4.78	0.12 W	0.00	61 of 79 - Sale <sup>25</sup>
	0.017	7.34	10/30/20	9.05	1.71 W	0.00	62 of 79 - Sale <sup>25</sup>
	0.025	10.68	10/30/20	11.06	0.38 W	0.00	63 of 79 - Sale <sup>25</sup>
	0.035	14.94	10/30/20	15.70	0.76 W	0.00	64 of 79 - Sale <sup>25</sup>
	0.041	17.40	10/30/20	18.55	1.15 W	0.00	65 of 79 - Sale <sup>25</sup>
	0.045	18.92	10/30/20	19.85	0.93 W	0.00	66 of 79 - Sale <sup>25</sup>
	0.047	20.15	10/30/20	21.18	1.03 W	0.00	67 of 79 - Sale <sup>25</sup>
	0.062	26.31	10/30/20	27.14	0.83 W	0.00	68 of 79 - Sale <sup>25</sup>
	0.064	26.98	10/30/20	28.38	1.40 W	0.00	69 of 79 - Sale <sup>25</sup>
	0.137	58.08	10/30/20	60.62	2.54 W	0.00	70 of 79 - Sale <sup>25</sup>
	0.188	79.91	10/30/20	88.15	8.24 W	0.00	71 of 79 - Sale <sup>25</sup>
	0.084	35.61	10/30/20	36.27	0.66 W	0.00	72 of 79 - Sale <sup>25</sup>
	0.012	5.22	10/30/20	5.40	0.18 W	0.00	73 of 79 - Sale <sup>25</sup>
	0.045	19.28	10/30/20	23.66	4.38 W	0.00	74 of 79 - Sale <sup>25</sup>
	0.181	76.74	10/30/20	94.30	17.56 W	0.00	75 of 79 - Sale <sup>25</sup>
	0.110	46.57	10/30/20	47.32	0.75 W	0.00	76 of 79 - Sale <sup>25</sup>
	0.402	170.64	10/30/20	173.81	3.17 W	0.00	77 of 79 - Sale <sup>25</sup>
	0.890	377.94	10/30/20	392.52	14.58 W	0.00	78 of 79 - Sale <sup>25</sup>
	0.174	73.89	10/30/20	75.20	1.31 W	0.00	79 of 79 - Sale <sup>25</sup>
11/04/20	8.189	3,473.20	Various	3,981.04	507.84 W	0.00	Total of 79 transactions

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
26 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	0.001	0.77	12/04/20	0.75	...	0.02	1 of 26 - Sale <sup>25</sup>
	0.001	0.75	12/04/20	0.72	...	0.03	2 of 26 - Sale <sup>25</sup>
	0.041	25.59	12/04/20	25.52	...	0.07	3 of 26 - Sale <sup>25</sup>
	0.009	5.52	12/04/20	5.34	...	0.18	4 of 26 - Sale <sup>25</sup>
	0.012	7.68	12/04/20	7.49	...	0.19	5 of 26 - Sale <sup>25</sup>
	0.011	6.85	12/04/20	6.64	...	0.21	6 of 26 - Sale <sup>25</sup>
	0.035	21.96	12/04/20	21.68	...	0.28	7 of 26 - Sale <sup>25</sup>
	0.013	8.39	12/04/20	8.08	...	0.31	8 of 26 - Sale <sup>25</sup>
	0.025	15.70	12/04/20	15.34	...	0.36	9 of 26 - Sale <sup>25</sup>
	0.047	29.62	12/04/20	29.25	...	0.37	10 of 26 - Sale <sup>25</sup>
	0.015	9.51	12/04/20	9.13	...	0.38	11 of 26 - Sale <sup>25</sup>
	0.045	27.81	12/04/20	27.42	...	0.39	12 of 26 - Sale <sup>25</sup>
	0.064	39.67	12/04/20	39.19	...	0.48	13 of 26 - Sale <sup>25</sup>
	0.096	59.66	12/04/20	59.07	...	0.59	14 of 26 - Sale <sup>25</sup>
	0.033	20.66	12/04/20	19.97	...	0.69	15 of 26 - Sale <sup>25</sup>
	0.077	47.92	12/04/20	47.04	...	0.88	16 of 26 - Sale <sup>25</sup>
	0.062	38.68	12/04/20	37.67	...	1.01	17 of 26 - Sale <sup>25</sup>
	0.053	32.88	12/04/20	31.77	...	1.11	18 of 26 - Sale <sup>25</sup>
	0.137	85.40	12/04/20	83.88	...	1.52	19 of 26 - Sale <sup>25</sup>
	0.084	52.36	12/04/20	50.53	...	1.83	20 of 26 - Sale <sup>25</sup>
	0.125	77.71	12/04/20	75.68	...	2.03	21 of 26 - Sale <sup>25</sup>
	0.110	68.47	12/04/20	65.97	...	2.50	22 of 26 - Sale <sup>25</sup>
	0.174	108.65	12/04/20	105.75	...	2.90	23 of 26 - Sale <sup>25</sup>
	0.277	173.20	12/04/20	167.16	...	6.04	24 of 26 - Sale <sup>25</sup>
	0.890	555.72	12/04/20	548.76	...	6.96	25 of 26 - Sale <sup>25</sup>
	0.811	506.31	12/04/20	486.68	...	19.63	26 of 26 - Sale <sup>25</sup>
12/08/20	3.248	2,027.44	Various	1,976.48	...	50.96	Total of 26 transactions
54 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	0.000	0.01	12/04/20	0.02	0.01 W	0.00	1 of 54 - Sale <sup>25</sup>
	0.002	1.01	12/04/20	1.06	0.05 W	0.00	2 of 54 - Sale <sup>25</sup>
	0.009	5.72	12/04/20	5.76	0.04 W	0.00	3 of 54 - Sale <sup>25</sup>
	0.037	22.85	12/04/20	23.82	0.97 W	0.00	4 of 54 - Sale <sup>25</sup>
	0.018	11.17	12/04/20	12.70	1.53 W	0.00	5 of 54 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.034	21.53	12/04/20	21.61	0.08 W	0.00	6 of 54 - Sale <sup>25</sup>
	0.035	21.56	12/04/20	25.18	3.62 W	0.00	7 of 54 - Sale <sup>25</sup>
	0.039	24.51	12/04/20	27.75	3.24 W	0.00	8 of 54 - Sale <sup>25</sup>
	0.001	0.33	12/04/20	0.50	0.17 W	0.00	9 of 54 - Sale <sup>25</sup>
	0.001	0.40	12/04/20	0.48	0.08 W	0.00	10 of 54 - Sale <sup>25</sup>
	0.002	1.22	12/04/20	1.69	0.47 W	0.00	11 of 54 - Sale <sup>25</sup>
	0.004	2.28	12/04/20	3.14	0.86 W	0.00	12 of 54 - Sale <sup>25</sup>
	0.005	2.92	12/04/20	3.48	0.56 W	0.00	13 of 54 - Sale <sup>25</sup>
	0.006	3.98	12/04/20	4.95	0.97 W	0.00	14 of 54 - Sale <sup>25</sup>
	0.013	8.11	12/04/20	9.63	1.52 W	0.00	15 of 54 - Sale <sup>25</sup>
	0.025	15.81	12/04/20	21.48	5.67 W	0.00	16 of 54 - Sale <sup>25</sup>
	0.055	34.37	12/04/20	46.96	12.59 W	0.00	17 of 54 - Sale <sup>25</sup>
	0.060	37.58	12/04/20	53.05	15.47 W	0.00	18 of 54 - Sale <sup>25</sup>
	0.063	39.40	12/04/20	48.68	9.28 W	0.00	19 of 54 - Sale <sup>25</sup>
	0.072	45.23	12/04/20	63.39	18.16 W	0.00	20 of 54 - Sale <sup>25</sup>
	0.075	46.87	12/04/20	65.14	18.27 W	0.00	21 of 54 - Sale <sup>25</sup>
	0.083	51.86	12/04/20	60.29	8.43 W	0.00	22 of 54 - Sale <sup>25</sup>
	0.117	73.10	12/04/20	102.36	29.26 W	0.00	23 of 54 - Sale <sup>25</sup>
	0.152	94.70	12/04/20	117.33	22.63 W	0.00	24 of 54 - Sale <sup>25</sup>
	0.001	0.40	12/04/20	0.42	0.02 W	0.00	25 of 54 - Sale <sup>25</sup>
	0.003	1.92	12/04/20	2.72	0.80 W	0.00	26 of 54 - Sale <sup>25</sup>
	0.006	4.05	12/04/20	5.63	1.58 W	0.00	27 of 54 - Sale <sup>25</sup>
	0.007	4.06	12/04/20	4.54	0.48 W	0.00	28 of 54 - Sale <sup>25</sup>
	0.010	5.99	12/04/20	6.49	0.50 W	0.00	29 of 54 - Sale <sup>25</sup>
	0.012	7.52	12/04/20	8.29	0.77 W	0.00	30 of 54 - Sale <sup>25</sup>
	0.013	8.21	12/04/20	11.38	3.17 W	0.00	31 of 54 - Sale <sup>25</sup>
	0.018	11.21	12/04/20	15.96	4.75 W	0.00	32 of 54 - Sale <sup>25</sup>
	0.023	14.25	12/04/20	19.76	5.51 W	0.00	33 of 54 - Sale <sup>25</sup>
	0.024	14.84	12/04/20	21.27	6.43 W	0.00	34 of 54 - Sale <sup>25</sup>
	0.029	18.29	12/04/20	24.37	6.08 W	0.00	35 of 54 - Sale <sup>25</sup>
	0.030	18.67	12/04/20	24.86	6.19 W	0.00	36 of 54 - Sale <sup>25</sup>
	0.044	27.35	12/04/20	28.26	0.91 W	0.00	37 of 54 - Sale <sup>25</sup>
	0.072	45.21	12/04/20	50.78	5.57 W	0.00	38 of 54 - Sale <sup>25</sup>
	0.076	47.37	12/04/20	53.28	5.91 W	0.00	39 of 54 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.078	48.97	12/04/20	49.51	0.54 W	0.00	40 of 54 - Sale <sup>25</sup>
	0.083	51.95	12/04/20	69.29	17.34 W	0.00	41 of 54 - Sale <sup>25</sup>
	0.144	90.05	12/04/20	125.01	34.96 W	0.00	42 of 54 - Sale <sup>25</sup>
	0.167	104.23	12/04/20	143.59	39.36 W	0.00	43 of 54 - Sale <sup>25</sup>
	0.004	2.32	12/04/20	2.64	0.32 W	0.00	44 of 54 - Sale <sup>25</sup>
	0.035	22.13	12/04/20	24.53	2.40 W	0.00	45 of 54 - Sale <sup>25</sup>
	0.075	46.65	12/04/20	47.04	0.39 W	0.00	46 of 54 - Sale <sup>25</sup>
	0.045	28.35	12/04/20	31.38	3.03 W	0.00	47 of 54 - Sale <sup>25</sup>
	0.181	112.84	12/04/20	125.04	12.20 W	0.00	48 of 54 - Sale <sup>25</sup>
	0.034	21.43	12/04/20	21.80	0.37 W	0.00	49 of 54 - Sale <sup>25</sup>
	0.017	10.80	12/04/20	11.99	1.19 W	0.00	50 of 54 - Sale <sup>25</sup>
	0.188	117.51	12/04/20	120.16	2.65 W	0.00	51 of 54 - Sale <sup>25</sup>
	1.424	888.83	12/04/20	895.15	6.32 W	0.00	52 of 54 - Sale <sup>25</sup>
	25.000	15,604.91	12/07/20	15,818.75	213.84 W	0.00	53 of 54 - Sale <sup>25</sup>
	13.000	8,114.55	12/07/20	8,222.50	107.95 W	0.00	54 of 54 - Sale <sup>25</sup>
12/08/20	41.752	26,061.38	Various	26,706.84	645.46 W	0.00	Total of 54 transactions
	4 transactions for 12/10/20. Total proceeds and cost reported to the IRS.						
	8.000	4,919.88	12/10/20	5,038.43	...	-118.55	1 of 4 - Sale <sup>25</sup>
	9.000	5,534.87	12/10/20	5,607.48	...	-72.61	2 of 4 - Sale <sup>25</sup>
	3.248	1,990.68	12/10/20	2,021.29	...	-30.61	3 of 4 - Sale <sup>25</sup>
	0.752	460.84	12/10/20	468.11	...	-7.27	4 of 4 - Sale <sup>25</sup>
12/10/20	21.000	12,906.27	Various	13,135.31	...	-229.04	Total of 4 transactions
	54 transactions for 12/10/20. Total proceeds and cost reported to the IRS.						
	7.248	4,457.46	12/10/20	4,514.47	53.82 W	-3.19	1 of 54 - Sale <sup>25</sup>
	0.001	0.40	12/10/20	0.42	0.02 W	0.00	2 of 54 - Sale <sup>25</sup>
	0.003	1.89	12/10/20	2.69	0.80 W	0.00	3 of 54 - Sale <sup>25</sup>
	0.006	3.99	12/10/20	5.57	1.58 W	0.00	4 of 54 - Sale <sup>25</sup>
	0.007	4.00	12/10/20	4.48	0.48 W	0.00	5 of 54 - Sale <sup>25</sup>
	0.010	5.90	12/10/20	6.39	0.49 W	0.00	6 of 54 - Sale <sup>25</sup>
	0.012	7.40	12/10/20	8.17	0.77 W	0.00	7 of 54 - Sale <sup>25</sup>
	0.013	8.09	12/10/20	11.25	3.16 W	0.00	8 of 54 - Sale <sup>25</sup>
	0.018	11.04	12/10/20	15.78	4.74 W	0.00	9 of 54 - Sale <sup>25</sup>
	0.023	14.04	12/10/20	19.54	5.50 W	0.00	10 of 54 - Sale <sup>25</sup>
	0.024	14.63	12/10/20	21.04	6.41 W	0.00	11 of 54 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
0.029		18.02	12/10/20	24.08	6.06 W	0.00	12 of 54 - Sale <sup>25</sup>
0.030		18.40	12/10/20	24.57	6.17 W	0.00	13 of 54 - Sale <sup>25</sup>
0.044		26.95	12/10/20	27.83	0.88 W	0.00	14 of 54 - Sale <sup>25</sup>
0.052		31.90	12/10/20	44.44	12.54 W	0.00	15 of 54 - Sale <sup>25</sup>
0.072		44.54	12/10/20	50.06	5.52 W	0.00	16 of 54 - Sale <sup>25</sup>
0.076		46.68	12/10/20	52.53	5.85 W	0.00	17 of 54 - Sale <sup>25</sup>
0.078		48.25	12/10/20	48.74	0.49 W	0.00	18 of 54 - Sale <sup>25</sup>
0.083		51.19	12/10/20	68.47	17.28 W	0.00	19 of 54 - Sale <sup>25</sup>
0.167		102.69	12/10/20	141.94	39.25 W	0.00	20 of 54 - Sale <sup>25</sup>
0.004		2.29	12/10/20	2.61	0.32 W	0.00	21 of 54 - Sale <sup>25</sup>
0.035		21.81	12/10/20	24.18	2.37 W	0.00	22 of 54 - Sale <sup>25</sup>
0.075		45.96	12/10/20	46.30	0.34 W	0.00	23 of 54 - Sale <sup>25</sup>
0.045		27.93	12/10/20	30.93	3.00 W	0.00	24 of 54 - Sale <sup>25</sup>
0.181		111.18	12/10/20	123.25	12.07 W	0.00	25 of 54 - Sale <sup>25</sup>
0.034		21.11	12/10/20	21.46	0.35 W	0.00	26 of 54 - Sale <sup>25</sup>
0.017		10.64	12/10/20	11.81	1.17 W	0.00	27 of 54 - Sale <sup>25</sup>
0.188		115.77	12/10/20	118.29	2.52 W	0.00	28 of 54 - Sale <sup>25</sup>
1.424		875.72	12/10/20	881.06	5.34 W	0.00	29 of 54 - Sale <sup>25</sup>
0.000		0.01	12/10/20	0.02	0.01 W	0.00	30 of 54 - Sale <sup>25</sup>
0.002		0.99	12/10/20	1.04	0.05 W	0.00	31 of 54 - Sale <sup>25</sup>
0.009		5.64	12/10/20	5.68	0.04 W	0.00	32 of 54 - Sale <sup>25</sup>
0.037		22.51	12/10/20	23.46	0.95 W	0.00	33 of 54 - Sale <sup>25</sup>
0.018		11.01	12/10/20	12.53	1.52 W	0.00	34 of 54 - Sale <sup>25</sup>
0.034		21.21	12/10/20	21.27	0.06 W	0.00	35 of 54 - Sale <sup>25</sup>
0.035		21.24	12/10/20	24.85	3.61 W	0.00	36 of 54 - Sale <sup>25</sup>
0.039		24.15	12/10/20	27.37	3.22 W	0.00	37 of 54 - Sale <sup>25</sup>
0.001		0.32	12/10/20	0.49	0.17 W	0.00	38 of 54 - Sale <sup>25</sup>
0.001		0.40	12/10/20	0.48	0.08 W	0.00	39 of 54 - Sale <sup>25</sup>
0.002		1.21	12/10/20	1.67	0.46 W	0.00	40 of 54 - Sale <sup>25</sup>
0.004		2.25	12/10/20	3.11	0.86 W	0.00	41 of 54 - Sale <sup>25</sup>
0.005		2.88	12/10/20	3.43	0.55 W	0.00	42 of 54 - Sale <sup>25</sup>
0.006		3.92	12/10/20	4.89	0.97 W	0.00	43 of 54 - Sale <sup>25</sup>
0.013		7.99	12/10/20	9.50	1.51 W	0.00	44 of 54 - Sale <sup>25</sup>
0.025		15.58	12/10/20	21.23	5.65 W	0.00	45 of 54 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.055	33.86	12/10/20	46.43	12.57 W	0.00	46 of 54 - Sale <sup>25</sup>
	0.060	37.03	12/10/20	52.47	15.44 W	0.00	47 of 54 - Sale <sup>25</sup>
	0.063	38.81	12/10/20	48.06	9.25 W	0.00	48 of 54 - Sale <sup>25</sup>
	0.072	44.56	12/10/20	62.68	18.12 W	0.00	49 of 54 - Sale <sup>25</sup>
	0.075	46.18	12/10/20	64.41	18.23 W	0.00	50 of 54 - Sale <sup>25</sup>
	0.083	51.10	12/10/20	59.49	8.39 W	0.00	51 of 54 - Sale <sup>25</sup>
	0.117	72.02	12/10/20	101.23	29.21 W	0.00	52 of 54 - Sale <sup>25</sup>
	0.152	93.30	12/10/20	115.86	22.56 W	0.00	53 of 54 - Sale <sup>25</sup>
	0.092	56.82	12/10/20	79.17	22.35 W	0.00	54 of 54 - Sale <sup>25</sup>
12/10/20	11.000	6,764.86	Various	7,143.17	375.12 W	-3.19	Total of 54 transactions
12/11/20	3.000	1,806.56	12/11/20	1,824.91	...	-18.35	Sale <sup>25</sup>
12/14/20	5.000	3,110.74	12/14/20	3,160.06	...	-49.32	Sale <sup>25</sup>
	24 transactions for 12/16/20. Total proceeds and cost reported to the IRS.						
	0.117	72.04	12/16/20	101.19	...	-29.15	1 of 24 - Sale <sup>25</sup>
	0.072	44.57	12/16/20	62.65	...	-18.08	2 of 24 - Sale <sup>25</sup>
	0.063	38.82	12/16/20	48.04	...	-9.22	3 of 24 - Sale <sup>25</sup>
	0.083	51.11	12/16/20	59.45	...	-8.34	4 of 24 - Sale <sup>25</sup>
	1.000	615.12	12/16/20	622.93	...	-7.81	5 of 24 - Sale <sup>25</sup>
	0.043	26.57	12/16/20	32.97	...	-6.40	6 of 24 - Sale <sup>25</sup>
	0.035	21.25	12/16/20	24.84	...	-3.59	7 of 24 - Sale <sup>25</sup>
	0.419	257.45	12/16/20	260.71	...	-3.26	8 of 24 - Sale <sup>25</sup>
	0.039	24.16	12/16/20	27.35	...	-3.19	9 of 24 - Sale <sup>25</sup>
	0.244	150.08	12/16/20	152.02	...	-1.94	10 of 24 - Sale <sup>25</sup>
	0.013	8.06	12/16/20	9.93	...	-1.87	11 of 24 - Sale <sup>25</sup>
	0.018	11.01	12/16/20	12.52	...	-1.51	12 of 24 - Sale <sup>25</sup>
	0.013	7.99	12/16/20	9.50	...	-1.51	13 of 24 - Sale <sup>25</sup>
	0.006	3.92	12/16/20	4.89	...	-0.97	14 of 24 - Sale <sup>25</sup>
	0.037	22.51	12/16/20	23.44	...	-0.93	15 of 24 - Sale <sup>25</sup>
	0.004	2.25	12/16/20	3.11	...	-0.86	16 of 24 - Sale <sup>25</sup>
	0.089	54.99	12/16/20	55.74	...	-0.75	17 of 24 - Sale <sup>25</sup>
	0.005	2.88	12/16/20	3.42	...	-0.54	18 of 24 - Sale <sup>25</sup>
	0.001	0.32	12/16/20	0.49	...	-0.17	19 of 24 - Sale <sup>25</sup>
	0.001	0.40	12/16/20	0.48	...	-0.08	20 of 24 - Sale <sup>25</sup>
	0.002	0.99	12/16/20	1.04	...	-0.05	21 of 24 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.009	5.64	12/16/20	5.68	...	-0.04	22 of 24 - Sale <sup>25</sup>
	0.034	21.22	12/16/20	21.26	...	-0.04	23 of 24 - Sale <sup>25</sup>
	0.000	0.01	12/16/20	0.02	...	-0.01	24 of 24 - Sale <sup>25</sup>
12/16/20	2.346	1,443.36	Various	1,543.67	...	-100.31	Total of 24 transactions
	<b>Security total:</b>	<b>1,494,259.78</b>		<b>1,603,799.26</b>	<b>122,096.73 W</b>	<b>12,557.25</b>	
THE ONE GROUP HOSPITALITY, INC. COMMON STOCK / CUSIP: 88338K103 / Symbol:							
03/24/20	400.000	384.79	03/23/20	335.96	...	48.83	Sale <sup>25</sup>
04/30/20	200.000	316.42	04/29/20	350.00	...	-33.58	Sale <sup>25</sup>
	<b>Security total:</b>	<b>701.21</b>		<b>685.96</b>	...	<b>15.25</b>	
THERAPEUTICSMD, INC. COMMON STOCK / CUSIP: 88338N107 / Symbol:							
05/05/20	200.000	299.98	05/04/20	299.98	...	0.00	Sale <sup>25</sup>
07/01/20	250.000	325.00	07/01/20	327.05	2.05 W	0.00	Sale <sup>25</sup>
07/09/20	15.000	22.52	07/09/20	22.55	0.03 W	0.00	Sale <sup>25</sup>
	5 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	25.000	53.00	07/21/20	52.90	...	0.10	1 of 5 - Sale <sup>25</sup>
	10.000	21.20	07/21/20	21.08	...	0.12	2 of 5 - Sale <sup>25</sup>
	15.000	31.80	07/21/20	31.64	...	0.16	3 of 5 - Sale <sup>25</sup>
	100.000	211.98	07/21/20	210.82	...	1.16	4 of 5 - Sale <sup>25</sup>
	100.000	211.98	07/21/20	210.32	...	1.66	5 of 5 - Sale <sup>25</sup>
07/21/20	250.000	529.96	Various	526.76	...	3.20	Total of 5 transactions
	19 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	250.000	529.96	07/21/20	539.55	9.59 W	0.00	1 of 19 - Sale <sup>25</sup>
	500.000	1,059.91	07/21/20	1,079.10	19.19 W	0.00	2 of 19 - Sale <sup>25</sup>
	20.000	42.60	07/21/20	44.07	1.47 W	0.00	3 of 19 - Sale <sup>25</sup>
	230.000	504.81	07/21/20	514.82	10.01 W	0.00	4 of 19 - Sale <sup>25</sup>
	270.000	592.60	07/21/20	604.36	11.76 W	0.00	5 of 19 - Sale <sup>25</sup>
	20.000	43.90	07/21/20	45.87	1.97 W	0.00	6 of 19 - Sale <sup>25</sup>
	230.000	504.81	07/21/20	519.43	14.62 W	0.00	7 of 19 - Sale <sup>25</sup>
	150.000	329.22	07/21/20	333.00	3.78 W	0.00	8 of 19 - Sale <sup>25</sup>
	300.000	658.45	07/21/20	674.73	16.28 W	0.00	9 of 19 - Sale <sup>25</sup>
	250.000	548.71	07/21/20	562.50	13.79 W	0.00	10 of 19 - Sale <sup>25</sup>
	50.000	109.74	07/21/20	111.50	1.76 W	0.00	11 of 19 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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THERAPEUTICSMD, INC. COMMON STOCK / CUSIP: 88338N107 / Symbol: (cont'd)							
	46.000	104.42	07/21/20	105.96	1.54 W	0.00	12 of 19 - Sale <sup>25</sup>
	54.000	122.57	07/21/20	124.39	1.82 W	0.00	13 of 19 - Sale <sup>25</sup>
	46.000	104.42	07/21/20	105.96	1.54 W	0.00	14 of 19 - Sale <sup>25</sup>
	49.000	110.73	07/21/20	112.88	2.15 W	0.00	15 of 19 - Sale <sup>25</sup>
	35.000	79.10	07/21/20	80.97	1.87 W	0.00	16 of 19 - Sale <sup>25</sup>
	73.000	164.97	07/21/20	168.89	3.92 W	0.00	17 of 19 - Sale <sup>25</sup>
	1.000	2.26	07/21/20	2.31	0.05 W	0.00	18 of 19 - Sale <sup>25</sup>
	46.000	103.96	07/21/20	106.42	2.46 W	0.00	19 of 19 - Sale <sup>25</sup>
07/21/20	2,620.000	5,717.14	Various	5,836.71	119.57 W	0.00	Total of 19 transactions
	8 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	20.000	45.80	07/22/20	47.77	1.97 W	0.00	1 of 8 - Sale <sup>25</sup>
	100.000	228.98	07/22/20	231.52	2.54 W	0.00	2 of 8 - Sale <sup>25</sup>
	150.000	343.47	07/22/20	350.04	6.57 W	0.00	3 of 8 - Sale <sup>25</sup>
	230.000	526.66	07/22/20	541.32	14.66 W	0.00	4 of 8 - Sale <sup>25</sup>
	50.000	114.49	07/22/20	115.76	1.27 W	0.00	5 of 8 - Sale <sup>25</sup>
	200.000	457.97	07/22/20	468.85	10.88 W	0.00	6 of 8 - Sale <sup>25</sup>
	100.000	202.16	07/22/20	204.43	2.27 W	0.00	7 of 8 - Sale <sup>25</sup>
	150.000	303.24	07/22/20	306.77	3.53 W	0.00	8 of 8 - Sale <sup>25</sup>
07/22/20	1,000.000	2,222.77	Various	2,266.46	43.69 W	0.00	Total of 8 transactions
	10 transactions for 07/29/20. Total proceeds and cost reported to the IRS.						
	46.000	91.08	07/29/20	95.61	4.53 W	0.00	1 of 10 - Sale <sup>25</sup>
	50.000	99.00	07/29/20	104.01	5.01 W	0.00	2 of 10 - Sale <sup>25</sup>
	54.000	106.92	07/29/20	112.25	5.33 W	0.00	3 of 10 - Sale <sup>25</sup>
	100.000	198.00	07/29/20	210.02	12.02 W	0.00	4 of 10 - Sale <sup>25</sup>
	1.000	1.98	07/29/20	2.09	0.11 W	0.00	5 of 10 - Sale <sup>25</sup>
	35.000	69.30	07/29/20	73.09	3.79 W	0.00	6 of 10 - Sale <sup>25</sup>
	46.000	91.08	07/29/20	95.15	4.07 W	0.00	7 of 10 - Sale <sup>25</sup>
	46.000	91.08	07/29/20	96.07	4.99 W	0.00	8 of 10 - Sale <sup>25</sup>
	49.000	97.02	07/29/20	101.87	4.85 W	0.00	9 of 10 - Sale <sup>25</sup>
	73.000	144.55	07/29/20	152.47	7.92 W	0.00	10 of 10 - Sale <sup>25</sup>
07/29/20	500.000	990.01	Various	1,042.63	52.62 W	0.00	Total of 10 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
THERAPEUTICSMD, INC. COMMON ST OCK / CUSIP: 88338N107 / Symbol: (cont'd)							
<i>7 transactions for 08/03/20. Total proceeds and cost reported to the IRS.</i>							
	200.000	364.17	07/31/20	370.19	...	-6.02	1 of 7 - Sale <sup>25</sup>
	80.000	145.66	07/31/20	148.82	...	-3.16	2 of 7 - Sale <sup>25</sup>
	20.000	36.42	07/31/20	37.90	...	-1.48	3 of 7 - Sale <sup>25</sup>
	100.000	182.08	07/31/20	182.20	...	-0.12	4 of 7 - Sale <sup>25</sup>
	50.000	91.04	07/31/20	91.10	...	-0.06	5 of 7 - Sale <sup>25</sup>
	50.000	91.04	07/31/20	90.97	...	0.07	6 of 7 - Sale <sup>25</sup>
	200.000	364.29	07/31/20	363.00	...	1.29	7 of 7 - Sale <sup>25</sup>
08/03/20	700.000	1,274.70	Various	1,284.18	...	-9.48	Total of 7 transactions
<i>20 transactions for 08/03/20. Total proceeds and cost reported to the IRS.</i>							
	150.000	273.13	07/31/20	278.60	4.78 W	-0.69	1 of 20 - Sale <sup>25</sup>
	20.000	36.43	07/31/20	37.97	1.54 W	0.00	2 of 20 - Sale <sup>25</sup>
	50.000	91.07	07/31/20	91.27	0.20 W	0.00	3 of 20 - Sale <sup>25</sup>
	100.000	182.14	07/31/20	182.54	0.40 W	0.00	4 of 20 - Sale <sup>25</sup>
	100.000	182.14	07/31/20	182.27	0.13 W	0.00	5 of 20 - Sale <sup>25</sup>
	150.000	273.22	07/31/20	276.57	3.35 W	0.00	6 of 20 - Sale <sup>25</sup>
	150.000	273.22	07/31/20	273.53	0.31 W	0.00	7 of 20 - Sale <sup>25</sup>
	200.000	364.29	07/31/20	370.88	6.59 W	0.00	8 of 20 - Sale <sup>25</sup>
	230.000	418.93	07/31/20	428.66	9.73 W	0.00	9 of 20 - Sale <sup>25</sup>
	1.000	1.82	07/31/20	1.92	0.10 W	0.00	10 of 20 - Sale <sup>25</sup>
	35.000	63.75	07/31/20	67.31	3.56 W	0.00	11 of 20 - Sale <sup>25</sup>
	46.000	83.79	07/31/20	88.02	4.23 W	0.00	12 of 20 - Sale <sup>25</sup>
	46.000	83.79	07/31/20	87.56	3.77 W	0.00	13 of 20 - Sale <sup>25</sup>
	46.000	83.79	07/31/20	88.48	4.69 W	0.00	14 of 20 - Sale <sup>25</sup>
	49.000	89.25	07/31/20	93.79	4.54 W	0.00	15 of 20 - Sale <sup>25</sup>
	50.000	91.07	07/31/20	95.76	4.69 W	0.00	16 of 20 - Sale <sup>25</sup>
	54.000	98.36	07/31/20	103.34	4.98 W	0.00	17 of 20 - Sale <sup>25</sup>
	73.000	132.96	07/31/20	140.42	7.46 W	0.00	18 of 20 - Sale <sup>25</sup>
	100.000	182.14	07/31/20	193.52	11.38 W	0.00	19 of 20 - Sale <sup>25</sup>
	150.000	273.12	07/31/20	275.60	2.48 W	0.00	20 of 20 - Sale <sup>25</sup>
08/03/20	1,800.000	3,278.41	Various	3,358.01	78.91 W	-0.69	Total of 20 transactions

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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THERAPEUTICSMD, INC. COMMON ST OCK / CUSIP: 88338N107 / Symbol: (cont'd)							
<i>7 transactions for 08/06/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	168.99	08/05/20	219.38	...	-50.39	1 of 7 - Sale <sup>25</sup>
	103.000	174.06	08/05/20	214.45	...	-40.39	2 of 7 - Sale <sup>25</sup>
	50.000	84.50	08/05/20	108.69	...	-24.19	3 of 7 - Sale <sup>25</sup>
	44.000	74.36	08/05/20	91.61	...	-17.25	4 of 7 - Sale <sup>25</sup>
	44.000	74.36	08/05/20	91.58	...	-17.22	5 of 7 - Sale <sup>25</sup>
	31.000	52.39	08/05/20	67.33	...	-14.94	6 of 7 - Sale <sup>25</sup>
	3.000	5.07	08/05/20	6.25	...	-1.18	7 of 7 - Sale <sup>25</sup>
08/06/20	375.000	633.73	Various	799.29	...	-165.56	Total of 7 transactions
<i>10 transactions for 08/28/20. Total proceeds and cost reported to the IRS.</i>							
	73.000	112.55	08/28/20	120.47	...	-7.92	1 of 10 - Sale <sup>25</sup>
	131.000	201.97	08/28/20	207.58	...	-5.61	2 of 10 - Sale <sup>25</sup>
	54.000	83.25	08/28/20	88.58	...	-5.33	3 of 10 - Sale <sup>25</sup>
	46.000	70.92	08/28/20	75.90	...	-4.98	4 of 10 - Sale <sup>25</sup>
	49.000	75.54	08/28/20	80.40	...	-4.86	5 of 10 - Sale <sup>25</sup>
	46.000	70.92	08/28/20	74.98	...	-4.06	6 of 10 - Sale <sup>25</sup>
	35.000	53.96	08/28/20	57.74	...	-3.78	7 of 10 - Sale <sup>25</sup>
	150.000	231.26	08/28/20	234.70	...	-3.44	8 of 10 - Sale <sup>25</sup>
	15.000	23.13	08/28/20	24.60	...	-1.47	9 of 10 - Sale <sup>25</sup>
	1.000	1.54	08/28/20	1.65	...	-0.11	10 of 10 - Sale <sup>25</sup>
08/28/20	600.000	925.04	Various	966.60	...	-41.56	Total of 10 transactions
	<b>Security total:</b>	<b>16,219.26</b>		<b>16,730.22</b>	<b>296.87 W</b>	<b>-214.09</b>	
THE TRADE DESK, INC. CLASS A C OMMON STOCK / CUSIP: 88339J105 / Symbol:							
05/29/20	1.000	312.00	05/27/20	274.93	...	37.07	Sale <sup>25</sup>
06/01/20	1.000	312.01	05/27/20	274.93	...	37.08	Sale <sup>25</sup>
<i>3 transactions for 06/04/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	690.70	05/27/20	549.86	...	140.84	1 of 3 - Sale <sup>25</sup>
	1.000	345.35	05/28/20	303.88	...	41.47	2 of 3 - Sale <sup>25</sup>
	2.000	690.69	06/02/20	626.77	...	63.92	3 of 3 - Sale <sup>25</sup>
06/04/20	5.000	1,726.74	Various	1,480.51	...	246.23	Total of 3 transactions
06/22/20	5.000	1,998.44	06/17/20	1,929.37	...	69.07	Sale <sup>25</sup>
06/23/20	2.000	833.71	06/18/20	791.60	...	42.11	Sale <sup>25</sup>
06/23/20	1.000	416.86	06/23/20	418.00	1.14 W	0.00	Sale <sup>25</sup>

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THE TRADE DESK, INC. CLASS A C OMMON STOCK / CUSIP: 88339J105 / Symbol: (cont'd)							
2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	1.000	422.51	07/14/20	423.38	...	-0.87	1 of 2 - Sale <sup>25</sup>
	1.000	422.50	07/14/20	422.24	...	0.26	2 of 2 - Sale <sup>25</sup>
07/14/20	2.000	845.01	Various	845.62	...	-0.61	Total of 2 transactions
	<b>Security total:</b>	<b>6,444.77</b>		<b>6,014.96</b>	<b>1.14 W</b>	<b>430.95</b>	
THOR INDUSTRIES, INC. / CUSIP: 885160101 / Symbol:							
06/15/20	15.000	1,528.01	06/12/20	1,599.60	71.59 W	0.00	Sale <sup>25</sup>
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	10.000	1,151.36	06/15/20	1,134.01	...	17.35	1 of 2 - Sale <sup>25</sup>
	10.000	1,151.37	06/15/20	1,106.58	...	44.79	2 of 2 - Sale <sup>25</sup>
06/16/20	20.000	2,302.73	Various	2,240.59	...	62.14	Total of 2 transactions
06/16/20	5.000	575.68	06/15/20	576.67	0.99 W	0.00	Sale <sup>25</sup>
2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	5.000	555.04	06/17/20	584.70	29.66 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	222.01	06/17/20	233.49	11.48 W	0.00	2 of 2 - Sale <sup>25</sup>
06/18/20	7.000	777.05	Various	818.19	41.14 W	0.00	Total of 2 transactions
4 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	5.000	574.81	06/22/20	605.97	...	-31.16	1 of 4 - Sale <sup>25</sup>
	2.000	231.13	06/22/20	242.01	...	-10.88	2 of 4 - Sale <sup>25</sup>
	1.000	115.54	06/22/20	115.26	...	0.28	3 of 4 - Sale <sup>25</sup>
	7.000	808.97	06/22/20	806.84	...	2.13	4 of 4 - Sale <sup>25</sup>
06/23/20	15.000	1,730.45	Various	1,770.08	...	-39.63	Total of 4 transactions
	<b>Security total:</b>	<b>6,913.92</b>		<b>7,005.13</b>	<b>113.72 W</b>	<b>22.51</b>	
360 DIGITECH, INC. AMERICAN DE POSITARY SHARES / CUSIP: 88557W101 / Symbol:							
08/20/20	100.000	1,348.46	08/20/20	1,339.50	...	8.96	Sale <sup>25</sup>
3M COMPANY / CUSIP: 88579Y101 / Symbol:							
03/26/20	5.000	652.78	03/25/20	659.80	7.02 W	0.00	Sale <sup>25</sup>
03/31/20	5.000	684.48	03/30/20	680.70	...	3.78	Sale <sup>25</sup>
03/31/20	5.000	684.48	03/30/20	687.72	3.24 W	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
3M COMPANY / CUSIP: 88579Y101 / Symbol: (cont'd)							
3 transactions for 04/02/20. Total proceeds and cost reported to the IRS.							
	5.000	660.48	04/01/20	669.61	...	-9.13	1 of 3 - Sale <sup>25</sup>
	3.000	396.29	04/01/20	399.82	...	-3.53	2 of 3 - Sale <sup>25</sup>
	2.000	264.20	04/01/20	266.55	...	-2.35	3 of 3 - Sale <sup>25</sup>
04/02/20	10.000	1,320.97	Various	1,335.98	...	-15.01	Total of 3 transactions
	<b>Security total:</b>	<b>3,342.71</b>		<b>3,364.20</b>	<b>10.26 W</b>	<b>-11.23</b>	
TILRAY, INC. CLASS 2 COMMON STOCK / CUSIP: 88688T100 / Symbol:							
2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	1.000	4.50	03/19/20	3.75	...	0.75	1 of 2 - Sale <sup>25</sup>
	99.000	445.49	03/19/20	371.50	...	73.99	2 of 2 - Sale <sup>25</sup>
03/20/20	100.000	449.99	Various	375.25	...	74.74	Total of 2 transactions
2 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	50.000	429.99	03/26/20	356.00	...	73.99	1 of 2 - Sale <sup>25</sup>
	150.000	1,289.95	03/26/20	1,142.45	...	147.50	2 of 2 - Sale <sup>25</sup>
03/27/20	200.000	1,719.94	Various	1,498.45	...	221.49	Total of 2 transactions
2 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	24.000	207.60	04/24/20	191.75	...	15.85	1 of 2 - Sale <sup>25</sup>
	26.000	224.90	04/24/20	207.72	...	17.18	2 of 2 - Sale <sup>25</sup>
04/28/20	50.000	432.50	Various	399.47	...	33.03	Total of 2 transactions
05/05/20	50.000	388.00	05/04/20	382.50	...	5.50	Sale <sup>25</sup>
05/12/20	50.000	385.50	05/11/20	413.50	28.00 W	0.00	Sale <sup>25</sup>
05/26/20	50.000	513.48	05/22/20	550.23	...	-36.75	Sale <sup>25</sup>
07/21/20	50.000	381.70	07/21/20	382.75	1.05 W	0.00	Sale <sup>25</sup>
3 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	50.000	405.49	08/05/20	422.51	...	-17.02	1 of 3 - Sale <sup>25</sup>
	50.000	405.48	08/05/20	421.46	...	-15.98	2 of 3 - Sale <sup>25</sup>
	50.000	405.48	08/05/20	413.45	...	-7.97	3 of 3 - Sale <sup>25</sup>
08/05/20	150.000	1,216.45	Various	1,257.42	...	-40.97	Total of 3 transactions
2 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	50.000	405.49	08/05/20	414.50	9.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	405.48	08/05/20	413.45	7.97 W	0.00	2 of 2 - Sale <sup>25</sup>
08/05/20	100.000	810.97	Various	827.95	16.98 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TILRAY, INC. CLASS 2 COMMON ST OCK / CUSIP: 88688T100 / Symbol: (cont'd)							
<b>Security total:</b>		<b>6,298.53</b>		<b>6,087.52</b>		<b>46.03 W</b>	<b>257.04</b>
TITAN MEDICAL INC. ORDINARY SH ARES / CUSIP: 88830X819 / Symbol:							
03/31/20	600.000	143.93	03/30/20	258.00	...	-114.07	Sale <sup>25</sup>
3 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1,500.000	1,259.94	07/17/20	1,303.05	...	-43.11	1 of 3 - Sale <sup>25</sup>
	500.000	419.98	07/17/20	422.85	...	-2.87	2 of 3 - Sale <sup>25</sup>
	500.000	419.98	07/17/20	422.85	...	-2.87	3 of 3 - Sale <sup>25</sup>
07/17/20	2,500.000	2,099.90	Various	2,148.75	...	-48.85	Total of 3 transactions
<b>Security total:</b>		<b>2,243.83</b>		<b>2,406.75</b>		<b>-162.92</b>	
TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314606 / Symbol:							
2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	25.000	98.27	12/01/20	100.70	...	-2.43	1 of 2 - Sale <sup>25</sup>
	14.000	55.03	12/01/20	56.28	...	-1.25	2 of 2 - Sale <sup>25</sup>
12/01/20	39.000	153.30	Various	156.98	...	-3.68	Total of 2 transactions
TOCAGEN INC. COMMON STOCK / CUSIP: 888846102 / Symbol:							
04/16/20	300.000	389.96	04/14/20	408.00	...	-18.04	Sale <sup>25</sup>
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
02/28/20	100.000	136.01	02/27/20	155.99	...	-19.98	Sale <sup>25</sup>
4 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	125.000	88.57	07/13/20	89.66	1.09 W	0.00	1 of 4 - Sale <sup>25</sup>
	625.000	437.41	07/13/20	448.32	10.91 W	0.00	2 of 4 - Sale <sup>25</sup>
	75.000	52.49	07/13/20	54.63	2.14 W	0.00	3 of 4 - Sale <sup>25</sup>
	50.000	34.99	07/13/20	36.73	1.74 W	0.00	4 of 4 - Sale <sup>25</sup>
07/13/20	875.000	613.46	Various	629.34	15.88 W	0.00	Total of 4 transactions
12 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	10.000	7.00	07/17/20	6.97	...	0.03	1 of 12 - Sale <sup>25</sup>
	32.000	22.40	07/17/20	22.29	...	0.11	2 of 12 - Sale <sup>25</sup>
	68.000	47.59	07/17/20	47.36	...	0.23	3 of 12 - Sale <sup>25</sup>
	390.000	272.94	07/17/20	271.61	...	1.33	4 of 12 - Sale <sup>25</sup>
	100.000	80.99	07/17/20	79.09	...	1.90	5 of 12 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol: (cont'd)							
	50.000	40.49	07/17/20	38.45	...	2.04	6 of 12 - Sale <sup>25</sup>
	150.000	121.48	07/17/20	118.65	...	2.83	7 of 12 - Sale <sup>25</sup>
	75.000	60.74	07/17/20	57.19	...	3.55	8 of 12 - Sale <sup>25</sup>
	250.000	202.47	07/17/20	196.20	...	6.27	9 of 12 - Sale <sup>25</sup>
	125.000	101.23	07/17/20	93.95	...	7.28	10 of 12 - Sale <sup>25</sup>
	750.000	607.40	07/17/20	582.75	...	24.65	11 of 12 - Sale <sup>25</sup>
	1,000.000	809.86	07/17/20	777.00	...	32.86	12 of 12 - Sale <sup>25</sup>
07/17/20	3,000.000	2,374.59	Various	2,291.51	...	83.08	Total of 12 transactions
	8 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	50.000	34.99	07/17/20	37.44	2.45 W	0.00	1 of 8 - Sale <sup>25</sup>
	75.000	52.49	07/17/20	55.68	3.19 W	0.00	2 of 8 - Sale <sup>25</sup>
	125.000	87.48	07/17/20	91.43	3.95 W	0.00	3 of 8 - Sale <sup>25</sup>
	150.000	104.98	07/17/20	107.08	2.10 W	0.00	4 of 8 - Sale <sup>25</sup>
	100.000	69.99	07/17/20	71.38	1.39 W	0.00	5 of 8 - Sale <sup>25</sup>
	550.000	445.42	07/17/20	458.15	12.73 W	0.00	6 of 8 - Sale <sup>25</sup>
	100.000	80.99	07/17/20	83.30	2.31 W	0.00	7 of 8 - Sale <sup>25</sup>
	100.000	80.98	07/17/20	83.30	2.32 W	0.00	8 of 8 - Sale <sup>25</sup>
07/17/20	1,250.000	957.32	Various	987.76	30.44 W	0.00	Total of 8 transactions
	31 transactions for 07/20/20. Total proceeds and cost reported to the IRS.						
	50.000	55.99	07/17/20	43.91	...	12.08	1 of 31 - Sale <sup>25</sup>
	50.000	55.99	07/17/20	43.90	...	12.09	2 of 31 - Sale <sup>25</sup>
	50.000	55.99	07/17/20	39.60	...	16.39	3 of 31 - Sale <sup>25</sup>
	100.000	111.99	07/17/20	88.06	...	23.93	4 of 31 - Sale <sup>25</sup>
	100.000	111.99	07/17/20	87.94	...	24.05	5 of 31 - Sale <sup>25</sup>
	100.000	111.99	07/17/20	79.22	...	32.77	6 of 31 - Sale <sup>25</sup>
	300.000	335.96	07/17/20	264.20	...	71.76	7 of 31 - Sale <sup>25</sup>
	250.000	279.96	07/17/20	192.25	...	87.71	8 of 31 - Sale <sup>25</sup>
	10.000	17.10	07/20/20	13.20	...	3.90	9 of 31 - Sale <sup>25</sup>
	24.000	40.56	07/20/20	36.48	...	4.08	10 of 31 - Sale <sup>25</sup>
	13.000	22.23	07/20/20	17.02	...	5.21	11 of 31 - Sale <sup>25</sup>
	12.000	20.52	07/20/20	15.21	...	5.31	12 of 31 - Sale <sup>25</sup>
	25.000	42.75	07/20/20	32.63	...	10.12	13 of 31 - Sale <sup>25</sup>
	86.000	147.05	07/20/20	130.72	...	16.33	14 of 31 - Sale <sup>25</sup>
	100.000	170.99	07/20/20	152.00	...	18.99	15 of 31 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol: (cont'd)							
	50.000	85.49	07/20/20	62.97	...	22.52	16 of 31 - Sale <sup>25</sup>
	65.000	111.14	07/20/20	85.80	...	25.34	17 of 31 - Sale <sup>25</sup>
	50.000	85.49	07/20/20	58.41	...	27.08	18 of 31 - Sale <sup>25</sup>
	250.000	422.46	07/20/20	394.83	...	27.63	19 of 31 - Sale <sup>25</sup>
	100.000	170.98	07/20/20	136.93	...	34.05	20 of 31 - Sale <sup>25</sup>
	100.000	170.99	07/20/20	132.00	...	38.99	21 of 31 - Sale <sup>25</sup>
	100.000	170.98	07/20/20	130.50	...	40.48	22 of 31 - Sale <sup>25</sup>
	250.000	422.46	07/20/20	374.68	...	47.78	23 of 31 - Sale <sup>25</sup>
	290.000	495.84	07/20/20	440.80	...	55.04	24 of 31 - Sale <sup>25</sup>
	138.000	235.96	07/20/20	176.36	...	59.60	25 of 31 - Sale <sup>25</sup>
	162.000	276.99	07/20/20	211.89	...	65.10	26 of 31 - Sale <sup>25</sup>
	225.000	384.72	07/20/20	308.08	...	76.64	27 of 31 - Sale <sup>25</sup>
	200.000	341.98	07/20/20	264.00	...	77.98	28 of 31 - Sale <sup>25</sup>
	500.000	844.92	07/20/20	750.00	...	94.92	29 of 31 - Sale <sup>25</sup>
	250.000	427.46	07/20/20	322.12	...	105.34	30 of 31 - Sale <sup>25</sup>
	500.000	854.92	07/20/20	652.50	...	202.42	31 of 31 - Sale <sup>25</sup>
07/20/20	4,500.000	7,083.84	Various	5,738.21	...	1,345.63	Total of 31 transactions
	14 transactions for 07/20/20. Total proceeds and cost reported to the IRS.						
	500.000	559.93	07/20/20	594.60	34.67 W	0.00	1 of 14 - Sale <sup>25</sup>
	500.000	559.92	07/20/20	609.55	49.63 W	0.00	2 of 14 - Sale <sup>25</sup>
	150.000	222.12	07/20/20	236.89	14.77 W	0.00	3 of 14 - Sale <sup>25</sup>
	15.000	22.21	07/20/20	23.70	1.49 W	0.00	4 of 14 - Sale <sup>25</sup>
	200.000	296.17	07/20/20	317.74	21.57 W	0.00	5 of 14 - Sale <sup>25</sup>
	35.000	51.83	07/20/20	55.29	3.46 W	0.00	6 of 14 - Sale <sup>25</sup>
	25.000	36.00	07/20/20	41.96	5.96 W	0.00	7 of 14 - Sale <sup>25</sup>
	15.000	21.60	07/20/20	23.67	2.07 W	0.00	8 of 14 - Sale <sup>25</sup>
	125.000	179.98	07/20/20	197.15	17.17 W	0.00	9 of 14 - Sale <sup>25</sup>
	135.000	194.38	07/20/20	214.18	19.80 W	0.00	10 of 14 - Sale <sup>25</sup>
	25.000	36.00	07/20/20	42.93	6.93 W	0.00	11 of 14 - Sale <sup>25</sup>
	35.000	50.40	07/20/20	55.21	4.81 W	0.00	12 of 14 - Sale <sup>25</sup>
	65.000	93.59	07/20/20	103.13	9.54 W	0.00	13 of 14 - Sale <sup>25</sup>
	75.000	107.99	07/20/20	110.90	2.91 W	0.00	14 of 14 - Sale <sup>25</sup>
07/20/20	1,900.000	2,432.12	Various	2,626.90	194.78 W	0.00	Total of 14 transactions

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol: (cont'd)							
27 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	15.000	30.75	07/20/20	24.87	...	5.88	1 of 27 - Sale <sup>25</sup>
	25.000	51.25	07/20/20	44.93	...	6.32	2 of 27 - Sale <sup>25</sup>
	25.000	51.25	07/20/20	41.43	...	9.82	3 of 27 - Sale <sup>25</sup>
	25.000	51.25	07/20/20	38.72	...	12.53	4 of 27 - Sale <sup>25</sup>
	35.000	71.74	07/20/20	58.01	...	13.73	5 of 27 - Sale <sup>25</sup>
	25.000	58.53	07/20/20	36.97	...	21.56	6 of 27 - Sale <sup>25</sup>
	65.000	133.24	07/20/20	108.34	...	24.90	7 of 27 - Sale <sup>25</sup>
	55.000	112.74	07/20/20	87.24	...	25.50	8 of 27 - Sale <sup>25</sup>
	50.000	102.49	07/20/20	74.00	...	28.49	9 of 27 - Sale <sup>25</sup>
	45.000	105.35	07/20/20	71.37	...	33.98	10 of 27 - Sale <sup>25</sup>
	75.000	153.74	07/20/20	116.91	...	36.83	11 of 27 - Sale <sup>25</sup>
	75.000	153.73	07/20/20	116.11	...	37.62	12 of 27 - Sale <sup>25</sup>
	135.000	276.73	07/20/20	225.00	...	51.73	13 of 27 - Sale <sup>25</sup>
	150.000	307.47	07/20/20	228.00	...	79.47	14 of 27 - Sale <sup>25</sup>
	45.000	83.25	07/21/20	111.38	...	-28.13	15 of 27 - Sale <sup>25</sup>
	80.000	147.99	07/21/20	164.63	...	-16.64	16 of 27 - Sale <sup>25</sup>
	50.000	92.49	07/21/20	108.88	...	-16.39	17 of 27 - Sale <sup>25</sup>
	100.000	184.98	07/21/20	200.75	...	-15.77	18 of 27 - Sale <sup>25</sup>
	70.000	129.49	07/21/20	144.04	...	-14.55	19 of 27 - Sale <sup>25</sup>
	25.000	46.24	07/21/20	59.39	...	-13.15	20 of 27 - Sale <sup>25</sup>
	55.000	101.74	07/21/20	113.18	...	-11.44	21 of 27 - Sale <sup>25</sup>
	20.000	37.00	07/21/20	43.55	...	-6.55	22 of 27 - Sale <sup>25</sup>
	20.000	36.99	07/21/20	43.35	...	-6.36	23 of 27 - Sale <sup>25</sup>
	25.000	46.25	07/21/20	50.19	...	-3.94	24 of 27 - Sale <sup>25</sup>
	10.000	18.50	07/21/20	20.77	...	-2.27	25 of 27 - Sale <sup>25</sup>
	2.000	4.10	07/21/20	3.76	...	0.34	26 of 27 - Sale <sup>25</sup>
	73.000	149.64	07/21/20	137.15	...	12.49	27 of 27 - Sale <sup>25</sup>
07/21/20	1,375.000	2,738.92	Various	2,472.92	...	266.00	Total of 27 transactions
13 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	250.000	512.45	07/21/20	525.00	12.55 W	0.00	1 of 13 - Sale <sup>25</sup>
	70.000	143.49	07/21/20	155.40	11.91 W	0.00	2 of 13 - Sale <sup>25</sup>
	25.000	51.24	07/21/20	60.44	9.20 W	0.00	3 of 13 - Sale <sup>25</sup>
	45.000	80.15	07/21/20	111.04	30.89 W	0.00	4 of 13 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol: (cont'd)							
	125.000	222.64	07/21/20	256.27	33.63 W	0.00	5 of 13 - Sale <sup>25</sup>
	20.000	35.62	07/21/20	43.40	7.78 W	0.00	6 of 13 - Sale <sup>25</sup>
	80.000	142.49	07/21/20	164.02	21.53 W	0.00	7 of 13 - Sale <sup>25</sup>
	25.000	44.53	07/21/20	59.20	14.67 W	0.00	8 of 13 - Sale <sup>25</sup>
	50.000	89.06	07/21/20	108.51	19.45 W	0.00	9 of 13 - Sale <sup>25</sup>
	25.000	44.53	07/21/20	50.00	5.47 W	0.00	10 of 13 - Sale <sup>25</sup>
	100.000	178.12	07/21/20	200.00	21.88 W	0.00	11 of 13 - Sale <sup>25</sup>
	10.000	17.81	07/21/20	20.69	2.88 W	0.00	12 of 13 - Sale <sup>25</sup>
	20.000	35.62	07/21/20	43.20	7.58 W	0.00	13 of 13 - Sale <sup>25</sup>
07/21/20	845.000	1,597.75	Various	1,797.17	199.42 W	0.00	Total of 13 transactions
12/23/20	500.000	327.24	12/23/20	327.30	...	-0.06	Sale <sup>25</sup>
	<b>Security total:</b>	<b>18,261.25</b>		<b>17,027.10</b>	<b>440.52 W</b>	<b>1,674.67</b>	
TORCHLIGHT ENERGY RESOURCES, I NC. COMMON STOCK / CUSIP: 89102U103 / Symbol:							
05/18/20	200.000	72.16	05/15/20	97.96	...	-25.80	Sale <sup>25</sup>
TORTOISE ACQUISITION CORP. CLA SS A COMMON STOCK / CUSIP: 89154L100 / Symbol:							
3 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	25.000	613.73	06/26/20	585.75	...	27.98	1 of 3 - Sale <sup>25</sup>
	50.000	1,227.47	06/26/20	1,191.00	...	36.47	2 of 3 - Sale <sup>25</sup>
	25.000	613.73	06/26/20	546.00	...	67.73	3 of 3 - Sale <sup>25</sup>
06/26/20	100.000	2,454.93	Various	2,322.75	...	132.18	Total of 3 transactions
29 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	9.000	220.94	06/26/20	225.18	4.24 W	0.00	1 of 29 - Sale <sup>25</sup>
	41.000	1,006.52	06/26/20	1,023.78	17.26 W	0.00	2 of 29 - Sale <sup>25</sup>
	25.000	613.73	06/26/20	636.76	23.03 W	0.00	3 of 29 - Sale <sup>25</sup>
	24.000	589.19	06/26/20	628.21	39.02 W	0.00	4 of 29 - Sale <sup>25</sup>
	41.000	1,006.53	06/26/20	1,010.24	3.71 W	0.00	5 of 29 - Sale <sup>25</sup>
	9.000	220.94	06/26/20	222.20	1.26 W	0.00	6 of 29 - Sale <sup>25</sup>
	50.000	1,227.47	06/26/20	1,243.99	16.52 W	0.00	7 of 29 - Sale <sup>25</sup>
	1.000	23.63	06/26/20	26.18	2.55 W	0.00	8 of 29 - Sale <sup>25</sup>
	10.000	239.99	06/26/20	250.91	10.92 W	0.00	9 of 29 - Sale <sup>25</sup>
	1.000	24.00	06/26/20	27.25	3.25 W	0.00	10 of 29 - Sale <sup>25</sup>
	9.000	215.99	06/26/20	226.54	10.55 W	0.00	11 of 29 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TORTOISE ACQUISITION CORP. CLA SS A COMMON STOCK / CUSIP: 89154L100 / Symbol: (cont'd)							
	24.000	575.99	06/26/20	631.82	55.83 W	0.00	12 of 29 - Sale <sup>25</sup>
	25.000	599.98	06/26/20	640.53	40.55 W	0.00	13 of 29 - Sale <sup>25</sup>
	31.000	743.98	06/26/20	778.75	34.77 W	0.00	14 of 29 - Sale <sup>25</sup>
	10.000	239.99	06/26/20	257.92	17.93 W	0.00	15 of 29 - Sale <sup>25</sup>
	90.000	2,159.94	06/26/20	2,223.00	63.06 W	0.00	16 of 29 - Sale <sup>25</sup>
	10.000	239.99	06/26/20	247.00	7.01 W	0.00	17 of 29 - Sale <sup>25</sup>
	8.000	192.00	06/26/20	206.98	14.98 W	0.00	18 of 29 - Sale <sup>25</sup>
	31.000	743.98	06/26/20	800.47	56.49 W	0.00	19 of 29 - Sale <sup>25</sup>
	1.000	24.00	06/26/20	27.95	3.95 W	0.00	20 of 29 - Sale <sup>25</sup>
	1.000	24.00	06/26/20	25.87	1.87 W	0.00	21 of 29 - Sale <sup>25</sup>
	10.000	239.99	06/26/20	264.93	24.94 W	0.00	22 of 29 - Sale <sup>25</sup>
	24.000	575.98	06/26/20	648.63	72.65 W	0.00	23 of 29 - Sale <sup>25</sup>
	25.000	599.98	06/26/20	658.05	58.07 W	0.00	24 of 29 - Sale <sup>25</sup>
	8.000	192.00	06/26/20	212.58	20.58 W	0.00	25 of 29 - Sale <sup>25</sup>
	10.000	239.99	06/26/20	254.01	14.02 W	0.00	26 of 29 - Sale <sup>25</sup>
	31.000	743.98	06/26/20	822.19	78.21 W	0.00	27 of 29 - Sale <sup>25</sup>
	90.000	2,159.94	06/26/20	2,286.06	126.12 W	0.00	28 of 29 - Sale <sup>25</sup>
	51.000	1,223.97	06/26/20	1,259.70	35.73 W	0.00	29 of 29 - Sale <sup>25</sup>
06/26/20	700.000	16,908.61	Various	17,767.68	859.07 W	0.00	Total of 29 transactions
8 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	14.000	369.87	07/10/20	389.08	10.98 W	-8.23	1 of 8 - Sale <sup>25</sup>
	1.000	26.70	07/10/20	29.54	2.84 W	0.00	2 of 8 - Sale <sup>25</sup>
	23.000	614.08	07/10/20	689.72	75.64 W	0.00	3 of 8 - Sale <sup>25</sup>
	1.000	26.19	07/10/20	29.99	3.80 W	0.00	4 of 8 - Sale <sup>25</sup>
	1.000	26.42	07/10/20	28.71	2.29 W	0.00	5 of 8 - Sale <sup>25</sup>
	1.000	26.42	07/10/20	30.34	3.92 W	0.00	6 of 8 - Sale <sup>25</sup>
	10.000	264.19	07/10/20	288.84	24.65 W	0.00	7 of 8 - Sale <sup>25</sup>
	24.000	634.07	07/10/20	706.01	71.94 W	0.00	8 of 8 - Sale <sup>25</sup>
07/10/20	75.000	1,987.94	Various	2,192.23	196.06 W	-8.23	Total of 8 transactions
17 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	10.000	239.99	07/14/20	250.27	10.28 W	0.00	1 of 17 - Sale <sup>25</sup>
	14.000	335.99	07/14/20	366.07	30.08 W	0.00	2 of 17 - Sale <sup>25</sup>
	76.000	1,823.95	07/14/20	1,902.00	78.05 W	0.00	3 of 17 - Sale <sup>25</sup>
	8.000	191.99	07/14/20	215.38	23.39 W	0.00	4 of 17 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TORTOISE ACQUISITION CORP. CLA SS A COMMON STOCK / CUSIP: 89154L100 / Symbol: (cont'd)							
	17.000	407.99	07/14/20	456.84	48.85 W	0.00	5 of 17 - Sale <sup>25</sup>
	20.000	479.99	07/14/20	501.01	21.02 W	0.00	6 of 17 - Sale <sup>25</sup>
	5.000	119.82	07/14/20	125.25	5.43 W	0.00	7 of 17 - Sale <sup>25</sup>
	1.000	23.96	07/14/20	26.93	2.97 W	0.00	8 of 17 - Sale <sup>25</sup>
	18.000	431.36	07/14/20	492.82	61.46 W	0.00	9 of 17 - Sale <sup>25</sup>
	26.000	623.08	07/14/20	644.56	21.48 W	0.00	10 of 17 - Sale <sup>25</sup>
	1.000	23.49	07/14/20	27.78	4.29 W	0.00	11 of 17 - Sale <sup>25</sup>
	1.000	23.49	07/14/20	26.28	2.79 W	0.00	12 of 17 - Sale <sup>25</sup>
	1.000	23.49	07/14/20	27.90	4.41 W	0.00	13 of 17 - Sale <sup>25</sup>
	5.000	117.45	07/14/20	136.37	18.92 W	0.00	14 of 17 - Sale <sup>25</sup>
	8.000	187.92	07/14/20	202.86	14.94 W	0.00	15 of 17 - Sale <sup>25</sup>
	10.000	234.89	07/14/20	264.50	29.61 W	0.00	16 of 17 - Sale <sup>25</sup>
	24.000	563.74	07/14/20	647.58	83.84 W	0.00	17 of 17 - Sale <sup>25</sup>
07/14/20	245.000	5,852.59	Various	6,314.40	461.81 W	0.00	Total of 17 transactions
	32 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	10.000	231.99	08/13/20	243.23	11.24 W	0.00	1 of 32 - Sale <sup>25</sup>
	14.000	324.79	08/13/20	356.21	31.42 W	0.00	2 of 32 - Sale <sup>25</sup>
	76.000	1,763.15	08/13/20	1,848.47	85.32 W	0.00	3 of 32 - Sale <sup>25</sup>
	1.000	23.20	08/13/20	26.27	3.07 W	0.00	4 of 32 - Sale <sup>25</sup>
	5.000	116.00	08/13/20	121.90	5.90 W	0.00	5 of 32 - Sale <sup>25</sup>
	5.000	116.00	08/13/20	135.39	19.39 W	0.00	6 of 32 - Sale <sup>25</sup>
	8.000	185.59	08/13/20	209.75	24.16 W	0.00	7 of 32 - Sale <sup>25</sup>
	17.000	394.39	08/13/20	444.87	50.48 W	0.00	8 of 32 - Sale <sup>25</sup>
	18.000	417.59	08/13/20	480.77	63.18 W	0.00	9 of 32 - Sale <sup>25</sup>
	20.000	463.99	08/13/20	486.92	22.93 W	0.00	10 of 32 - Sale <sup>25</sup>
	26.000	603.18	08/13/20	627.15	23.97 W	0.00	11 of 32 - Sale <sup>25</sup>
	1.000	23.20	08/13/20	27.59	4.39 W	0.00	12 of 32 - Sale <sup>25</sup>
	1.000	23.20	08/13/20	26.09	2.89 W	0.00	13 of 32 - Sale <sup>25</sup>
	1.000	23.20	08/13/20	27.71	4.51 W	0.00	14 of 32 - Sale <sup>25</sup>
	8.000	185.59	08/13/20	201.34	15.75 W	0.00	15 of 32 - Sale <sup>25</sup>
	10.000	231.99	08/13/20	262.61	30.62 W	0.00	16 of 32 - Sale <sup>25</sup>
	10.000	231.99	08/13/20	244.24	12.25 W	0.00	17 of 32 - Sale <sup>25</sup>
	14.000	324.79	08/13/20	357.62	32.83 W	0.00	18 of 32 - Sale <sup>25</sup>
	24.000	556.78	08/13/20	643.04	86.26 W	0.00	19 of 32 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TORTOISE ACQUISITION CORP. CLA SS A COMMON STOCK / CUSIP: 89154L100 / Symbol: (cont'd)							
	76.000	1,763.15	08/13/20	1,856.12	92.97 W	0.00	20 of 32 - Sale <sup>25</sup>
	30.000	695.99	08/13/20	699.00	3.01 W	0.00	21 of 32 - Sale <sup>25</sup>
	1.000	22.97	08/13/20	26.37	3.40 W	0.00	22 of 32 - Sale <sup>25</sup>
	5.000	114.85	08/13/20	122.40	7.55 W	0.00	23 of 32 - Sale <sup>25</sup>
	5.000	114.85	08/13/20	135.89	21.04 W	0.00	24 of 32 - Sale <sup>25</sup>
	8.000	183.75	08/13/20	210.56	26.81 W	0.00	25 of 32 - Sale <sup>25</sup>
	17.000	390.48	08/13/20	446.58	56.10 W	0.00	26 of 32 - Sale <sup>25</sup>
	18.000	413.44	08/13/20	482.58	69.14 W	0.00	27 of 32 - Sale <sup>25</sup>
	20.000	459.39	08/13/20	488.93	29.54 W	0.00	28 of 32 - Sale <sup>25</sup>
	26.000	597.20	08/13/20	629.77	32.57 W	0.00	29 of 32 - Sale <sup>25</sup>
	1.000	22.97	08/13/20	27.69	4.72 W	0.00	30 of 32 - Sale <sup>25</sup>
	99.000	2,273.96	08/13/20	2,306.70	32.74 W	0.00	31 of 32 - Sale <sup>25</sup>
	100.000	2,296.93	08/13/20	2,330.00	33.07 W	0.00	32 of 32 - Sale <sup>25</sup>
08/13/20	675.000	15,590.54	Various	16,533.76	943.22 W	0.00	Total of 32 transactions
	41 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	110.000	4,581.38	08/24/20	4,730.11	...	-148.73	1 of 41 - Sale <sup>25</sup>
	23.000	957.93	08/24/20	1,085.09	...	-127.16	2 of 41 - Sale <sup>25</sup>
	200.000	8,329.79	08/24/20	8,430.00	...	-100.21	3 of 41 - Sale <sup>25</sup>
	18.000	749.68	08/24/20	847.70	...	-98.02	4 of 41 - Sale <sup>25</sup>
	36.000	1,499.36	08/24/20	1,575.26	...	-75.90	5 of 41 - Sale <sup>25</sup>
	22.000	916.28	08/24/20	979.13	...	-62.85	6 of 41 - Sale <sup>25</sup>
	12.000	499.79	08/24/20	558.64	...	-58.85	7 of 41 - Sale <sup>25</sup>
	20.000	832.98	08/24/20	889.53	...	-56.55	8 of 41 - Sale <sup>25</sup>
	14.000	583.09	08/24/20	638.36	...	-55.27	9 of 41 - Sale <sup>25</sup>
	17.000	708.03	08/24/20	760.41	...	-52.38	10 of 41 - Sale <sup>25</sup>
	10.000	416.49	08/24/20	466.46	...	-49.97	11 of 41 - Sale <sup>25</sup>
	40.000	1,665.96	08/24/20	1,710.08	...	-44.12	12 of 41 - Sale <sup>25</sup>
	36.000	1,499.36	08/24/20	1,539.08	...	-39.72	13 of 41 - Sale <sup>25</sup>
	36.000	1,499.36	08/24/20	1,539.08	...	-39.72	14 of 41 - Sale <sup>25</sup>
	8.000	333.19	08/24/20	372.84	...	-39.65	15 of 41 - Sale <sup>25</sup>
	120.000	4,997.87	08/24/20	5,034.00	...	-36.13	16 of 41 - Sale <sup>25</sup>
	11.000	458.14	08/24/20	492.88	...	-34.74	17 of 41 - Sale <sup>25</sup>
	5.000	208.24	08/24/20	237.30	...	-29.06	18 of 41 - Sale <sup>25</sup>
	5.000	208.24	08/24/20	232.77	...	-24.53	19 of 41 - Sale <sup>25</sup>

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TORTOISE ACQUISITION CORP. CLA SS A COMMON STOCK / CUSIP: 89154L100 / Symbol: (cont'd)							
	8.000	333.19	08/24/20	355.83	...	-22.64	20 of 41 - Sale <sup>25</sup>
	18.000	749.68	08/24/20	769.54	...	-19.86	21 of 41 - Sale <sup>25</sup>
	5.000	208.24	08/24/20	227.77	...	-19.53	22 of 41 - Sale <sup>25</sup>
	9.000	374.84	08/24/20	393.81	...	-18.97	23 of 41 - Sale <sup>25</sup>
	5.000	208.24	08/24/20	223.81	...	-15.57	24 of 41 - Sale <sup>25</sup>
	3.000	124.95	08/24/20	136.66	...	-11.71	25 of 41 - Sale <sup>25</sup>
	4.000	166.60	08/24/20	178.02	...	-11.42	26 of 41 - Sale <sup>25</sup>
	10.000	416.49	08/24/20	427.52	...	-11.03	27 of 41 - Sale <sup>25</sup>
	3.000	124.95	08/24/20	134.19	...	-9.24	28 of 41 - Sale <sup>25</sup>
	1.000	41.65	08/24/20	48.09	...	-6.44	29 of 41 - Sale <sup>25</sup>
	1.000	41.65	08/24/20	47.97	...	-6.32	30 of 41 - Sale <sup>25</sup>
	2.000	83.30	08/24/20	88.95	...	-5.65	31 of 41 - Sale <sup>25</sup>
	1.000	41.65	08/24/20	47.18	...	-5.53	32 of 41 - Sale <sup>25</sup>
	1.000	41.65	08/24/20	46.65	...	-5.00	33 of 41 - Sale <sup>25</sup>
	1.000	41.65	08/24/20	46.47	...	-4.82	34 of 41 - Sale <sup>25</sup>
	4.000	166.60	08/24/20	171.00	...	-4.40	35 of 41 - Sale <sup>25</sup>
	1.000	41.65	08/24/20	42.75	...	-1.10	36 of 41 - Sale <sup>25</sup>
	30.000	1,186.47	08/24/20	1,173.01	...	13.46	37 of 41 - Sale <sup>25</sup>
	99.000	3,915.35	08/24/20	3,893.73	...	21.62	38 of 41 - Sale <sup>25</sup>
	100.000	3,954.90	08/24/20	3,933.06	...	21.84	39 of 41 - Sale <sup>25</sup>
	88.000	3,480.31	08/24/20	3,431.99	...	48.32	40 of 41 - Sale <sup>25</sup>
	288.000	11,390.11	08/24/20	11,231.96	...	158.15	41 of 41 - Sale <sup>25</sup>
08/24/20	1,425.000	58,079.28	Various	59,168.68	...	-1,089.40	Total of 41 transactions
	79 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	1.000	35.67	08/24/20	38.89	3.22 W	0.00	1 of 79 - Sale <sup>25</sup>
	1.000	35.67	08/24/20	40.51	4.84 W	0.00	2 of 79 - Sale <sup>25</sup>
	8.000	285.35	08/24/20	303.75	18.40 W	0.00	3 of 79 - Sale <sup>25</sup>
	10.000	356.69	08/24/20	390.62	33.93 W	0.00	4 of 79 - Sale <sup>25</sup>
	24.000	856.06	08/24/20	950.26	94.20 W	0.00	5 of 79 - Sale <sup>25</sup>
	56.000	1,997.47	08/24/20	2,084.50	87.03 W	0.00	6 of 79 - Sale <sup>25</sup>
	1.000	39.55	08/24/20	42.40	2.85 W	0.00	7 of 79 - Sale <sup>25</sup>
	1.000	39.55	08/24/20	43.72	4.17 W	0.00	8 of 79 - Sale <sup>25</sup>
	1.000	39.55	08/24/20	42.22	2.67 W	0.00	9 of 79 - Sale <sup>25</sup>
	1.000	39.55	08/24/20	43.84	4.29 W	0.00	10 of 79 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TORTOISE ACQUISITION CORP. CLA SS A COMMON STOCK / CUSIP: 89154L100 / Symbol: (cont'd)							
	5.000	197.75	08/24/20	202.55	4.80 W	0.00	11 of 79 - Sale <sup>25</sup>
	5.000	197.75	08/24/20	216.04	18.29 W	0.00	12 of 79 - Sale <sup>25</sup>
	8.000	316.39	08/24/20	338.81	22.42 W	0.00	13 of 79 - Sale <sup>25</sup>
	8.000	316.39	08/24/20	330.40	14.01 W	0.00	14 of 79 - Sale <sup>25</sup>
	10.000	395.49	08/24/20	402.25	6.76 W	0.00	15 of 79 - Sale <sup>25</sup>
	10.000	395.49	08/24/20	423.93	28.44 W	0.00	16 of 79 - Sale <sup>25</sup>
	14.000	553.69	08/24/20	578.83	25.14 W	0.00	17 of 79 - Sale <sup>25</sup>
	17.000	672.33	08/24/20	719.10	46.77 W	0.00	18 of 79 - Sale <sup>25</sup>
	18.000	711.88	08/24/20	771.14	59.26 W	0.00	19 of 79 - Sale <sup>25</sup>
	20.000	790.98	08/24/20	804.47	13.49 W	0.00	20 of 79 - Sale <sup>25</sup>
	20.000	790.98	08/24/20	809.54	18.56 W	0.00	21 of 79 - Sale <sup>25</sup>
	24.000	949.18	08/24/20	1,030.20	81.02 W	0.00	22 of 79 - Sale <sup>25</sup>
	26.000	1,028.27	08/24/20	1,046.57	18.30 W	0.00	23 of 79 - Sale <sup>25</sup>
	56.000	2,214.74	08/24/20	2,271.02	56.28 W	0.00	24 of 79 - Sale <sup>25</sup>
	1.000	38.60	08/24/20	42.30	3.70 W	0.00	25 of 79 - Sale <sup>25</sup>
	1.000	38.60	08/24/20	43.62	5.02 W	0.00	26 of 79 - Sale <sup>25</sup>
	1.000	38.60	08/24/20	42.12	3.52 W	0.00	27 of 79 - Sale <sup>25</sup>
	1.000	38.60	08/24/20	43.74	5.14 W	0.00	28 of 79 - Sale <sup>25</sup>
	5.000	193.00	08/24/20	202.05	9.05 W	0.00	29 of 79 - Sale <sup>25</sup>
	5.000	193.00	08/24/20	215.54	22.54 W	0.00	30 of 79 - Sale <sup>25</sup>
	8.000	308.79	08/24/20	338.02	29.23 W	0.00	31 of 79 - Sale <sup>25</sup>
	8.000	308.79	08/24/20	329.61	20.82 W	0.00	32 of 79 - Sale <sup>25</sup>
	10.000	385.99	08/24/20	401.26	15.27 W	0.00	33 of 79 - Sale <sup>25</sup>
	10.000	385.99	08/24/20	422.94	36.95 W	0.00	34 of 79 - Sale <sup>25</sup>
	14.000	540.39	08/24/20	577.44	37.05 W	0.00	35 of 79 - Sale <sup>25</sup>
	17.000	656.18	08/24/20	717.42	61.24 W	0.00	36 of 79 - Sale <sup>25</sup>
	18.000	694.78	08/24/20	769.36	74.58 W	0.00	37 of 79 - Sale <sup>25</sup>
	20.000	771.98	08/24/20	802.49	30.51 W	0.00	38 of 79 - Sale <sup>25</sup>
	20.000	771.98	08/24/20	807.56	35.58 W	0.00	39 of 79 - Sale <sup>25</sup>
	24.000	926.37	08/24/20	1,027.82	101.45 W	0.00	40 of 79 - Sale <sup>25</sup>
	26.000	1,003.57	08/24/20	1,044.00	40.43 W	0.00	41 of 79 - Sale <sup>25</sup>
	11.000	424.59	08/24/20	445.01	20.42 W	0.00	42 of 79 - Sale <sup>25</sup>
	45.000	1,736.95	08/24/20	1,820.47	83.52 W	0.00	43 of 79 - Sale <sup>25</sup>
	91.000	3,512.51	08/24/20	3,589.95	77.44 W	0.00	44 of 79 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TORTOISE ACQUISITION CORP. CLA SS A COMMON STOCK / CUSIP: 89154L100 / Symbol: (cont'd)							
36.000		1,389.56	08/24/20	1,420.20	30.64 W	0.00	45 of 79 - Sale <sup>25</sup>
128.000		4,940.67	08/24/20	5,049.60	108.93 W	0.00	46 of 79 - Sale <sup>25</sup>
2.000		77.20	08/24/20	81.85	4.65 W	0.00	47 of 79 - Sale <sup>25</sup>
20.000		771.98	08/24/20	818.51	46.53 W	0.00	48 of 79 - Sale <sup>25</sup>
5.000		192.99	08/24/20	215.01	22.02 W	0.00	49 of 79 - Sale <sup>25</sup>
8.000		308.79	08/24/20	327.42	18.63 W	0.00	50 of 79 - Sale <sup>25</sup>
14.000		540.39	08/24/20	588.65	48.26 W	0.00	51 of 79 - Sale <sup>25</sup>
8.000		308.79	08/24/20	344.43	35.64 W	0.00	52 of 79 - Sale <sup>25</sup>
12.000		463.19	08/24/20	516.03	52.84 W	0.00	53 of 79 - Sale <sup>25</sup>
17.000		656.18	08/24/20	700.04	43.86 W	0.00	54 of 79 - Sale <sup>25</sup>
4.000		154.40	08/24/20	163.82	9.42 W	0.00	55 of 79 - Sale <sup>25</sup>
5.000		192.99	08/24/20	206.05	13.06 W	0.00	56 of 79 - Sale <sup>25</sup>
3.000		115.80	08/24/20	123.54	7.74 W	0.00	57 of 79 - Sale <sup>25</sup>
1.000		38.60	08/24/20	43.10	4.50 W	0.00	58 of 79 - Sale <sup>25</sup>
1.000		38.60	08/24/20	44.42	5.82 W	0.00	59 of 79 - Sale <sup>25</sup>
1.000		38.60	08/24/20	42.92	4.32 W	0.00	60 of 79 - Sale <sup>25</sup>
1.000		38.60	08/24/20	43.63	5.03 W	0.00	61 of 79 - Sale <sup>25</sup>
5.000		192.99	08/24/20	219.54	26.55 W	0.00	62 of 79 - Sale <sup>25</sup>
18.000		694.78	08/24/20	783.78	89.00 W	0.00	63 of 79 - Sale <sup>25</sup>
22.000		849.18	08/24/20	901.01	51.83 W	0.00	64 of 79 - Sale <sup>25</sup>
1.000		38.60	08/24/20	44.54	5.94 W	0.00	65 of 79 - Sale <sup>25</sup>
5.000		192.99	08/24/20	210.01	17.02 W	0.00	66 of 79 - Sale <sup>25</sup>
10.000		385.99	08/24/20	430.95	44.96 W	0.00	67 of 79 - Sale <sup>25</sup>
23.000		887.78	08/24/20	1,003.42	115.64 W	0.00	68 of 79 - Sale <sup>25</sup>
3.000		115.80	08/24/20	126.01	10.21 W	0.00	69 of 79 - Sale <sup>25</sup>
11.000		424.59	08/24/20	453.82	29.23 W	0.00	70 of 79 - Sale <sup>25</sup>
36.000		1,389.56	08/24/20	1,447.42	57.86 W	0.00	71 of 79 - Sale <sup>25</sup>
40.000		1,543.96	08/24/20	1,568.04	24.08 W	0.00	72 of 79 - Sale <sup>25</sup>
9.000		347.39	08/24/20	361.85	14.46 W	0.00	73 of 79 - Sale <sup>25</sup>
1.000		38.60	08/24/20	39.20	0.60 W	0.00	74 of 79 - Sale <sup>25</sup>
4.000		154.40	08/24/20	156.80	2.40 W	0.00	75 of 79 - Sale <sup>25</sup>
36.000		1,389.56	08/24/20	1,411.24	21.68 W	0.00	76 of 79 - Sale <sup>25</sup>
18.000		694.78	08/24/20	705.62	10.84 W	0.00	77 of 79 - Sale <sup>25</sup>
36.000		1,389.56	08/24/20	1,411.24	21.68 W	0.00	78 of 79 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TORTOISE ACQUISITION CORP. CLA SS A COMMON STOCK / CUSIP: 89154L100 / Symbol: (cont'd)							
	10.000	385.99	08/24/20	392.01	6.02 W	0.00	79 of 79 - Sale <sup>25</sup>
08/24/20	1,235.000	47,609.51	Various	50,004.00	2,394.49 W	0.00	Total of 79 transactions
	<b>Security total:</b>	<b>148,483.40</b>		<b>154,303.50</b>	<b>4,854.65 W</b>	<b>-965.45</b>	
TOUGHBUILT INDUSTRIES, INC. COMMON STOCK / CUSIP: 89157G207 / Symbol:							
2 transactions for 04/06/20. Total proceeds and cost reported to the IRS.							
	400.000	62.67	04/03/20	62.97	...	-0.30	1 of 2 - Sale <sup>25</sup>
	200.000	31.36	04/03/20	31.34	...	0.02	2 of 2 - Sale <sup>25</sup>
04/06/20	600.000	94.03	Various	94.31	...	-0.28	Total of 2 transactions
04/06/20	800.000	125.42	04/03/20	126.00	0.29 W	-0.29	Sale <sup>25</sup>
	<b>Security total:</b>	<b>219.45</b>		<b>220.31</b>	<b>0.29 W</b>	<b>-0.57</b>	
TOWN SPORTS INTERNATIONAL HOLD INGS, INC. COMMON STOCK / CUSIP: 89214A102 / Symbol:							
04/20/20	150.000	58.33	04/17/20	59.97	...	-1.64	Sale <sup>25</sup>
TRAVELCENTERS OF AMERICA INC. COMMON STOCK / CUSIP: 89421B109 / Symbol:							
08/24/20	69.000	1,449.64	08/24/20	1,448.30	...	1.34	Sale <sup>25</sup>
TRINITY BIOTECH PLC AMERICAN D EPOSITARY SHARES / CUSIP: 896438306 / Symbol:							
3 transactions for 03/03/20. Total proceeds and cost reported to the IRS.							
	139.000	157.28	02/28/20	214.06	56.78 W	0.00	1 of 3 - Sale <sup>25</sup>
	20.000	22.63	02/28/20	31.00	8.37 W	0.00	2 of 3 - Sale <sup>25</sup>
	41.000	46.39	02/28/20	63.51	17.12 W	0.00	3 of 3 - Sale <sup>25</sup>
03/03/20	200.000	226.30	Various	308.57	82.27 W	0.00	Total of 3 transactions
4 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	20.000	20.80	03/31/20	30.37	9.57 W	0.00	1 of 4 - Sale <sup>25</sup>
	41.000	42.64	03/31/20	62.22	19.58 W	0.00	2 of 4 - Sale <sup>25</sup>
	139.000	144.54	03/31/20	209.67	65.13 W	0.00	3 of 4 - Sale <sup>25</sup>
	150.000	155.98	03/31/20	164.98	9.00 W	0.00	4 of 4 - Sale <sup>25</sup>
04/01/20	350.000	363.96	Various	467.24	103.28 W	0.00	Total of 4 transactions
9 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	50.000	69.00	04/17/20	61.74	...	7.26	1 of 9 - Sale <sup>25</sup>
	50.000	69.00	04/17/20	55.50	...	13.50	2 of 9 - Sale <sup>25</sup>
	50.000	68.99	04/17/20	52.49	...	16.50	3 of 9 - Sale <sup>25</sup>

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(continued)

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TRINITY BIOTECH PLC AMERICAN D EPOSITORY SHARES / CUSIP: 896438306 / Symbol: (cont'd)							
	100.000	137.99	04/17/20	110.99	...	27.00	4 of 9 - Sale <sup>25</sup>
	20.000	27.60	04/20/20	26.89	...	0.71	5 of 9 - Sale <sup>25</sup>
	41.000	56.57	04/20/20	55.09	...	1.48	6 of 9 - Sale <sup>25</sup>
	39.000	53.82	04/20/20	52.05	...	1.77	7 of 9 - Sale <sup>25</sup>
	50.000	68.99	04/20/20	66.74	...	2.25	8 of 9 - Sale <sup>25</sup>
	100.000	137.98	04/20/20	119.62	...	18.36	9 of 9 - Sale <sup>25</sup>
04/24/20	500.000	689.94	Various	601.11	...	88.83	Total of 9 transactions
	4 transactions for 04/24/20. Total proceeds and cost reported to the IRS.						
	20.000	27.60	04/17/20	30.57	2.97 W	0.00	1 of 4 - Sale <sup>25</sup>
	41.000	56.57	04/17/20	62.62	6.05 W	0.00	2 of 4 - Sale <sup>25</sup>
	139.000	191.80	04/17/20	211.07	19.27 W	0.00	3 of 4 - Sale <sup>25</sup>
	300.000	413.95	04/23/20	420.09	2.05 W	-4.09	4 of 4 - Sale <sup>25</sup>
04/24/20	500.000	689.92	Various	724.35	30.34 W	-4.09	Total of 4 transactions
05/18/20	100.000	153.09	05/15/20	164.68	...	-11.59	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,123.21</b>		<b>2,265.95</b>	<b>215.89 W</b>	<b>73.15</b>	
TRIPLEPOINT VENTURE GROWTH BDC CORP. / CUSIP: 89677Y100 / Symbol:							
03/20/20	12.000	84.34	03/19/20	82.56	...	1.78	Sale <sup>25</sup>
06/24/20	100.000	1,006.97	06/24/20	1,013.99	...	-7.02	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,091.31</b>		<b>1,096.55</b>	...	<b>-5.24</b>	
TRIVAGO N.V. AMERICAN DEPOSITA RY SHARES / CUSIP: 89686D105 / Symbol:							
	2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	29.000	58.87	06/10/20	80.74	...	-21.87	1 of 2 - Sale <sup>25</sup>
	21.000	42.63	06/10/20	50.57	...	-7.94	2 of 2 - Sale <sup>25</sup>
06/11/20	50.000	101.50	Various	131.31	...	-29.81	Total of 2 transactions
06/11/20	200.000	405.97	06/10/20	481.18	10.91 W	-64.30	Sale <sup>25</sup>
	<b>Security total:</b>	<b>507.47</b>		<b>612.49</b>	<b>10.91 W</b>	<b>-94.11</b>	
TROVAGENE, INC. COMMON STOCK / CUSIP: 897238606 / Symbol:							
	2 transactions for 03/26/20. Total proceeds and cost reported to the IRS.						
	200.000	219.98	03/25/20	240.00	...	-20.02	1 of 2 - Sale <sup>25</sup>
	100.000	103.99	03/25/20	120.00	...	-16.01	2 of 2 - Sale <sup>25</sup>
03/26/20	300.000	323.97	Various	360.00	...	-36.03	Total of 2 transactions

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(continued)

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TRUECAR, INC. COMMON STOCK / CUSIP: 89785L107 / Symbol:							
4 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	5.86	08/06/20	5.95	0.09 W	0.00	1 of 4 - Sale <sup>25</sup>
	67.000	392.60	08/06/20	398.65	6.05 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	5.86	08/06/20	6.04	0.18 W	0.00	3 of 4 - Sale <sup>25</sup>
	51.000	298.85	08/06/20	303.45	4.60 W	0.00	4 of 4 - Sale <sup>25</sup>
08/06/20	120.000	703.17	Various	714.09	10.92 W	0.00	Total of 4 transactions
5 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	67.000	372.50	08/07/20	381.88	...	-9.38	1 of 5 - Sale <sup>25</sup>
	51.000	283.55	08/07/20	290.68	...	-7.13	2 of 5 - Sale <sup>25</sup>
	81.000	450.34	08/07/20	454.36	...	-4.02	3 of 5 - Sale <sup>25</sup>
	50.000	278.00	08/07/20	280.47	...	-2.47	4 of 5 - Sale <sup>25</sup>
	1.000	5.56	08/07/20	5.79	...	-0.23	5 of 5 - Sale <sup>25</sup>
08/10/20	250.000	1,389.95	Various	1,413.18	...	-23.23	Total of 5 transactions
	<b>Security total:</b>	<b>2,093.12</b>		<b>2,127.27</b>	<b>10.92 W</b>	<b>-23.23</b>	
TRUPANION, INC. COMMON STOCK / CUSIP: 898202106 / Symbol:							
07/13/20	1.000	47.80	07/13/20	48.31	0.51 W	0.00	Sale <sup>25</sup>
3 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	50.000	2,571.93	07/23/20	2,605.50	...	-33.57	1 of 3 - Sale <sup>25</sup>
	1.000	51.44	07/23/20	51.76	...	-0.32	2 of 3 - Sale <sup>25</sup>
	49.000	2,520.50	07/23/20	2,511.45	...	9.05	3 of 3 - Sale <sup>25</sup>
07/23/20	100.000	5,143.87	Various	5,168.71	...	-24.84	Total of 3 transactions
	<b>Security total:</b>	<b>5,191.67</b>		<b>5,217.02</b>	<b>0.51 W</b>	<b>-24.84</b>	
T2 BIOSYSTEMS, INC. COMMON STO CK / CUSIP: 89853L104 / Symbol:							
6 transactions for 02/28/20. Total proceeds and cost reported to the IRS.							
	6.000	5.10	02/27/20	5.25	0.15 W	0.00	1 of 6 - Sale <sup>25</sup>
	32.000	27.20	02/27/20	27.98	0.78 W	0.00	2 of 6 - Sale <sup>25</sup>
	6.000	5.10	02/27/20	5.55	0.45 W	0.00	3 of 6 - Sale <sup>25</sup>
	32.000	27.19	02/27/20	29.58	2.39 W	0.00	4 of 6 - Sale <sup>25</sup>
	12.000	10.20	02/27/20	10.80	0.60 W	0.00	5 of 6 - Sale <sup>25</sup>
	112.000	95.19	02/27/20	97.95	2.76 W	0.00	6 of 6 - Sale <sup>25</sup>
02/28/20	200.000	169.98	Various	177.11	7.13 W	0.00	Total of 6 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
T2 BIOSYSTEMS, INC. COMMON STO CK / CUSIP: 89853L104 / Symbol: (cont'd)							
8 transactions for 03/10/20. Total proceeds and cost reported to the IRS.							
	238.000	128.55	03/09/20	154.67	...	-26.12	1 of 8 - Sale <sup>25</sup>
	47.000	25.38	03/09/20	31.71	...	-6.33	2 of 8 - Sale <sup>25</sup>
	32.000	17.28	03/09/20	23.19	...	-5.91	3 of 8 - Sale <sup>25</sup>
	30.000	16.20	03/09/20	20.24	...	-4.04	4 of 8 - Sale <sup>25</sup>
	30.000	16.20	03/09/20	20.24	...	-4.04	5 of 8 - Sale <sup>25</sup>
	12.000	6.48	03/09/20	8.40	...	-1.92	6 of 8 - Sale <sup>25</sup>
	6.000	3.24	03/09/20	4.35	...	-1.11	7 of 8 - Sale <sup>25</sup>
	5.000	2.70	03/09/20	3.37	...	-0.67	8 of 8 - Sale <sup>25</sup>
03/10/20	400.000	216.03	Various	266.17	...	-50.14	Total of 8 transactions
6 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	480.000	801.52	08/10/20	1,122.65	...	-321.13	1 of 6 - Sale <sup>25</sup>
	480.000	801.52	08/10/20	1,094.48	...	-292.96	2 of 6 - Sale <sup>25</sup>
	10.000	16.70	08/10/20	25.49	...	-8.79	3 of 6 - Sale <sup>25</sup>
	10.000	16.70	08/10/20	25.49	...	-8.79	4 of 6 - Sale <sup>25</sup>
	20.000	33.40	08/10/20	41.40	...	-8.00	5 of 6 - Sale <sup>25</sup>
	500.000	980.71	08/10/20	970.00	...	10.71	6 of 6 - Sale <sup>25</sup>
08/11/20	1,500.000	2,650.55	Various	3,279.51	...	-628.96	Total of 6 transactions
6 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	10.000	17.30	08/10/20	19.40	2.10 W	0.00	1 of 6 - Sale <sup>25</sup>
	10.000	17.30	08/10/20	19.40	2.10 W	0.00	2 of 6 - Sale <sup>25</sup>
	480.000	830.32	08/10/20	931.20	100.88 W	0.00	3 of 6 - Sale <sup>25</sup>
	10.000	17.30	08/10/20	22.09	4.79 W	0.00	4 of 6 - Sale <sup>25</sup>
	10.000	17.30	08/10/20	22.09	4.79 W	0.00	5 of 6 - Sale <sup>25</sup>
	480.000	830.32	08/10/20	959.37	129.05 W	0.00	6 of 6 - Sale <sup>25</sup>
08/11/20	1,000.000	1,729.84	Various	1,973.55	243.71 W	0.00	Total of 6 transactions
	<b>Security total:</b>	<b>4,766.40</b>		<b>5,696.34</b>	<b>250.84 W</b>	<b>-679.10</b>	

TUPPERWARE BRANDS CORPORATION / CUSIP: 899896104 / Symbol:

2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	10.000	75.42	06/05/20	64.45	...	10.97	1 of 2 - Sale <sup>25</sup>
	40.000	301.67	06/05/20	258.00	...	43.67	2 of 2 - Sale <sup>25</sup>
06/09/20	50.000	377.09	Various	322.45	...	54.64	Total of 2 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TURNING POINT BRANDS, INC. / CUSIP: 90041L105 / Symbol:							
10/28/20	0.881	33.65	10/27/20	33.00	...	0.65	Sale <sup>25</sup>
TURTLE BEACH CORPORATION COMMO N STOCK / CUSIP: 900450206 / Symbol:							
07/14/20	50.000	886.49	07/14/20	888.95	...	-2.46	Sale <sup>25</sup>
TUTOR PERINI CORPORATION / CUSIP: 901109108 / Symbol:							
<i>2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.</i>							
	150.000	1,078.46	03/18/20	584.09	...	494.37	1 of 2 - Sale <sup>25</sup>
	50.000	359.48	03/19/20	354.55	...	4.93	2 of 2 - Sale <sup>25</sup>
03/20/20	200.000	1,437.94	Various	938.64	...	499.30	Total of 2 transactions
04/01/20	60.000	384.00	03/31/20	395.39	...	-11.39	Sale <sup>25</sup>
05/26/20	50.000	477.75	05/21/20	483.18	5.43 W	0.00	Sale <sup>25</sup>
06/19/20	50.000	607.48	06/19/20	633.93	...	-26.45	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,907.17</b>		<b>2,451.14</b>	<b>5.43 W</b>	<b>461.46</b>	
TWILIO INC. / CUSIP: 90138F102 / Symbol:							
07/23/20	10.000	2,637.60	07/23/20	2,692.41	...	-54.81	Sale <sup>25</sup>
TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
10/30/20	1.000	41.60	10/30/20	42.06	...	-0.46	Sale <sup>25</sup>
10/30/20	27.000	1,132.40	10/30/20	1,134.00	0.47 W	-1.13	Sale <sup>25</sup>
11/02/20	7.000	286.79	10/30/20	294.41	...	-7.62	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,460.79</b>		<b>1,470.47</b>	<b>0.47 W</b>	<b>-9.21</b>	
TWO HARBORS INVESTMENT CORP. / CUSIP: 90187B408 / Symbol:							
03/26/20	75.000	386.24	03/25/20	325.49	...	60.75	Sale <sup>25</sup>
<i>8 transactions for 04/06/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	2.94	04/03/20	2.36	...	0.58	1 of 8 - Sale <sup>25</sup>
	5.000	14.70	04/03/20	11.79	...	2.91	2 of 8 - Sale <sup>25</sup>
	10.000	29.40	04/03/20	23.58	...	5.82	3 of 8 - Sale <sup>25</sup>
	10.000	29.40	04/03/20	23.57	...	5.83	4 of 8 - Sale <sup>25</sup>
	19.000	55.86	04/03/20	44.79	...	11.07	5 of 8 - Sale <sup>25</sup>
	20.000	58.80	04/03/20	47.15	...	11.65	6 of 8 - Sale <sup>25</sup>
	25.000	73.50	04/03/20	58.94	...	14.56	7 of 8 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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TWO HARBORS INVESTMENT CORP. / CUSIP: 90187B408 / Symbol: (cont'd)							
	60.000	176.39	04/03/20	141.45	...	34.94	8 of 8 - Sale <sup>25</sup>
04/06/20	150.000	440.99	Various	353.63	...	87.36	Total of 8 transactions
	4 transactions for 07/10/20. Total proceeds and cost reported to the IRS.						
	2.000	9.80	07/10/20	9.84	0.04 W	0.00	1 of 4 - Sale <sup>25</sup>
	2.000	9.80	07/10/20	9.84	0.04 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	4.90	07/10/20	4.92	0.02 W	0.00	3 of 4 - Sale <sup>25</sup>
	9.000	44.10	07/10/20	44.26	0.16 W	0.00	4 of 4 - Sale <sup>25</sup>
07/10/20	14.000	68.60	Various	68.86	0.26 W	0.00	Total of 4 transactions
	5 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	36.000	176.48	07/10/20	177.38	...	-0.90	1 of 5 - Sale <sup>25</sup>
	9.000	44.12	07/10/20	44.51	...	-0.39	2 of 5 - Sale <sup>25</sup>
	2.000	9.80	07/10/20	9.90	...	-0.10	3 of 5 - Sale <sup>25</sup>
	2.000	9.80	07/10/20	9.89	...	-0.09	4 of 5 - Sale <sup>25</sup>
	1.000	4.90	07/10/20	4.95	...	-0.05	5 of 5 - Sale <sup>25</sup>
07/13/20	50.000	245.10	Various	246.63	...	-1.53	Total of 5 transactions
07/13/20	186.000	911.74	07/10/20	914.74	2.42 W	-0.58	Sale <sup>25</sup>
07/23/20	150.000	817.48	07/23/20	821.03	...	-3.55	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,870.15</b>		<b>2,730.38</b>	<b>2.68 W</b>	<b>142.45</b>	
TYSON FOODS, INC. / CUSIP: 902494103 / Symbol:							
06/05/20	15.000	968.22	06/04/20	936.11	...	32.11	Sale <sup>25</sup>
U.S. XPRESS ENTERPRISES, INC. CLASS A COMMON STOCK / CUSIP: 90338N202 / Symbol:							
03/26/20	100.000	333.99	03/25/20	359.21	...	-25.22	Sale <sup>25</sup>
U.S. AUTO PARTS NETWORK, INC. COMMON STOCK / CUSIP: 90343C100 / Symbol:							
04/29/20	100.000	347.99	04/28/20	338.00	...	9.99	Sale <sup>25</sup>
05/26/20	100.000	593.47	05/21/20	598.00	4.53 W	0.00	Sale <sup>25</sup>
	4 transactions for 05/29/20. Total proceeds and cost reported to the IRS.						
	1.000	7.01	05/28/20	6.53	...	0.48	1 of 4 - Sale <sup>25</sup>
	9.000	63.09	05/28/20	58.82	...	4.27	2 of 4 - Sale <sup>25</sup>
	10.000	70.10	05/28/20	65.35	...	4.75	3 of 4 - Sale <sup>25</sup>
	30.000	210.30	05/28/20	196.06	...	14.24	4 of 4 - Sale <sup>25</sup>
05/29/20	50.000	350.50	Various	326.76	...	23.74	Total of 4 transactions

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

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U.S. AUTO PARTS NETWORK, INC. COMMON STOCK / CUSIP: 90343C100 / Symbol: (cont'd)							
2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	50.000	431.74	06/01/20	391.27	...	40.47	1 of 2 - Sale <sup>25</sup>
	25.000	219.00	06/02/20	226.64	...	-7.64	2 of 2 - Sale <sup>25</sup>
06/03/20	75.000	650.74	Various	617.91	...	32.83	Total of 2 transactions
06/03/20	50.000	431.73	06/02/20	442.50	5.39 W	-5.38	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,374.43</b>		<b>2,323.17</b>	<b>9.92 W</b>	<b>61.18</b>	
UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol:							
06/01/20	15.000	527.94	05/29/20	527.22	...	0.72	Sale <sup>25</sup>
2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	1.000	32.60	07/21/20	32.70	0.10 W	0.00	1 of 2 - Sale <sup>25</sup>
	9.000	293.40	07/21/20	293.66	0.26 W	0.00	2 of 2 - Sale <sup>25</sup>
07/21/20	10.000	326.00	Various	326.36	0.36 W	0.00	Total of 2 transactions
4 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	33.64	08/06/20	33.77	0.13 W	0.00	1 of 4 - Sale <sup>25</sup>
	9.000	302.75	08/06/20	303.29	0.54 W	0.00	2 of 4 - Sale <sup>25</sup>
	10.000	336.39	08/06/20	336.70	0.31 W	0.00	3 of 4 - Sale <sup>25</sup>
	30.000	1,009.18	08/06/20	1,010.10	0.92 W	0.00	4 of 4 - Sale <sup>25</sup>
08/06/20	50.000	1,681.96	Various	1,683.86	1.90 W	0.00	Total of 4 transactions
19 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	200.000	6,283.83	08/20/20	6,310.00	...	-26.17	1 of 19 - Sale <sup>25</sup>
	185.000	5,812.55	08/20/20	5,836.75	...	-24.20	2 of 19 - Sale <sup>25</sup>
	140.000	4,398.68	08/20/20	4,417.00	...	-18.32	3 of 19 - Sale <sup>25</sup>
	100.000	3,141.91	08/20/20	3,154.00	...	-12.09	4 of 19 - Sale <sup>25</sup>
	85.000	2,670.63	08/20/20	2,680.90	...	-10.27	5 of 19 - Sale <sup>25</sup>
	14.000	439.87	08/20/20	446.33	...	-6.46	6 of 19 - Sale <sup>25</sup>
	11.000	345.61	08/20/20	350.69	...	-5.08	7 of 19 - Sale <sup>25</sup>
	33.000	1,036.83	08/20/20	1,041.15	...	-4.32	8 of 19 - Sale <sup>25</sup>
	30.000	942.58	08/20/20	946.50	...	-3.92	9 of 19 - Sale <sup>25</sup>
	8.000	251.35	08/20/20	255.05	...	-3.70	10 of 19 - Sale <sup>25</sup>
	90.000	2,827.73	08/20/20	2,830.50	...	-2.77	11 of 19 - Sale <sup>25</sup>
	4.000	125.68	08/20/20	127.52	...	-1.84	12 of 19 - Sale <sup>25</sup>
	4.000	125.68	08/20/20	127.40	...	-1.72	13 of 19 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

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UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol: (cont'd)							
	10.000	314.19	08/20/20	315.50	...	-1.31	14 of 19 - Sale <sup>25</sup>
	2.000	62.84	08/20/20	63.74	...	-0.90	15 of 19 - Sale <sup>25</sup>
	5.000	157.10	08/20/20	157.70	...	-0.60	16 of 19 - Sale <sup>25</sup>
	1.000	31.42	08/20/20	31.88	...	-0.46	17 of 19 - Sale <sup>25</sup>
	2.000	62.84	08/20/20	63.10	...	-0.26	18 of 19 - Sale <sup>25</sup>
	6.000	188.52	08/20/20	188.70	...	-0.18	19 of 19 - Sale <sup>25</sup>
08/20/20	930.000	29,219.84	Various	29,344.41	...	-124.57	Total of 19 transactions
	29 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	9.000	282.77	08/20/20	287.09	1.44 W	-2.88	1 of 29 - Sale <sup>25</sup>
	1.000	31.09	08/20/20	31.58	0.49 W	0.00	2 of 29 - Sale <sup>25</sup>
	9.000	279.82	08/20/20	283.59	3.77 W	0.00	3 of 29 - Sale <sup>25</sup>
	10.000	310.91	08/20/20	314.81	3.90 W	0.00	4 of 29 - Sale <sup>25</sup>
	30.000	932.72	08/20/20	944.42	11.70 W	0.00	5 of 29 - Sale <sup>25</sup>
	9.000	279.82	08/20/20	283.05	3.23 W	0.00	6 of 29 - Sale <sup>25</sup>
	41.000	1,274.72	08/20/20	1,289.45	14.73 W	0.00	7 of 29 - Sale <sup>25</sup>
	100.000	3,109.08	08/20/20	3,145.00	35.92 W	0.00	8 of 29 - Sale <sup>25</sup>
	100.000	3,109.08	08/20/20	3,145.00	35.92 W	0.00	9 of 29 - Sale <sup>25</sup>
	17.000	528.54	08/20/20	534.65	6.11 W	0.00	10 of 29 - Sale <sup>25</sup>
	83.000	2,580.53	08/20/20	2,610.35	29.82 W	0.00	11 of 29 - Sale <sup>25</sup>
	1.000	31.42	08/20/20	31.94	0.52 W	0.00	12 of 29 - Sale <sup>25</sup>
	9.000	282.78	08/20/20	286.82	4.04 W	0.00	13 of 29 - Sale <sup>25</sup>
	2.000	62.84	08/20/20	63.68	0.84 W	0.00	14 of 29 - Sale <sup>25</sup>
	8.000	251.27	08/20/20	254.72	3.45 W	0.00	15 of 29 - Sale <sup>25</sup>
	11.000	345.50	08/20/20	350.24	4.74 W	0.00	16 of 29 - Sale <sup>25</sup>
	1.000	31.41	08/20/20	31.84	0.43 W	0.00	17 of 29 - Sale <sup>25</sup>
	4.000	125.64	08/20/20	127.36	1.72 W	0.00	18 of 29 - Sale <sup>25</sup>
	4.000	125.64	08/20/20	127.24	1.60 W	0.00	19 of 29 - Sale <sup>25</sup>
	14.000	439.73	08/20/20	445.76	6.03 W	0.00	20 of 29 - Sale <sup>25</sup>
	5.000	157.10	08/20/20	159.04	1.94 W	0.00	21 of 29 - Sale <sup>25</sup>
	41.000	1,288.19	08/20/20	1,304.18	15.99 W	0.00	22 of 29 - Sale <sup>25</sup>
	43.000	1,351.02	08/20/20	1,367.80	16.78 W	0.00	23 of 29 - Sale <sup>25</sup>
	1.000	31.42	08/20/20	31.81	0.39 W	0.00	24 of 29 - Sale <sup>25</sup>
	1.000	31.42	08/20/20	31.97	0.55 W	0.00	25 of 29 - Sale <sup>25</sup>
	17.000	534.13	08/20/20	540.76	6.63 W	0.00	26 of 29 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol: (cont'd)							
	56.000	1,759.47	08/20/20	1,781.31	21.84 W	0.00	27 of 29 - Sale <sup>25</sup>
	83.000	2,607.79	08/20/20	2,640.17	32.38 W	0.00	28 of 29 - Sale <sup>25</sup>
	100.000	3,141.92	08/20/20	3,180.92	39.00 W	0.00	29 of 29 - Sale <sup>25</sup>
08/20/20	810.000	25,317.77	Various	25,626.55	305.90 W	-2.88	Total of 29 transactions
	11 transactions for 08/21/20. Total proceeds and cost reported to the IRS.						
	100.000	3,113.13	08/20/20	3,184.00	...	-70.87	1 of 11 - Sale <sup>25</sup>
	83.000	2,583.90	08/20/20	2,642.73	...	-58.83	2 of 11 - Sale <sup>25</sup>
	56.000	1,743.36	08/20/20	1,783.04	...	-39.68	3 of 11 - Sale <sup>25</sup>
	43.000	1,338.65	08/20/20	1,369.13	...	-30.48	4 of 11 - Sale <sup>25</sup>
	26.000	809.42	08/20/20	827.84	...	-18.42	5 of 11 - Sale <sup>25</sup>
	17.000	529.23	08/20/20	541.28	...	-12.05	6 of 11 - Sale <sup>25</sup>
	15.000	466.97	08/20/20	477.60	...	-10.63	7 of 11 - Sale <sup>25</sup>
	5.000	155.66	08/20/20	159.19	...	-3.53	8 of 11 - Sale <sup>25</sup>
	3.000	93.40	08/20/20	95.79	...	-2.39	9 of 11 - Sale <sup>25</sup>
	1.000	31.13	08/20/20	32.00	...	-0.87	10 of 11 - Sale <sup>25</sup>
	1.000	31.13	08/20/20	31.84	...	-0.71	11 of 11 - Sale <sup>25</sup>
08/21/20	350.000	10,895.98	Various	11,144.44	...	-248.46	Total of 11 transactions
12/31/20	100.000	5,169.87	12/31/20	5,160.00	...	9.87	Sale <sup>25</sup>
	<b>Security total:</b>	<b>73,139.36</b>		<b>73,812.84</b>	<b>308.16 W</b>	<b>-365.32</b>	
UNDER ARMOUR, INC. / CUSIP: 904311107 / Symbol:							
07/17/20	50.000	508.23	07/17/20	512.38	...	-4.15	Sale <sup>25</sup>
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
03/20/20	10.000	269.80	03/18/20	209.92	...	59.88	Sale <sup>25</sup>
03/26/20	10.000	360.00	03/24/20	316.07	...	43.93	Sale <sup>25</sup>
04/13/20	20.000	589.98	04/09/20	650.95	60.97 W	0.00	Sale <sup>25</sup>
	2 transactions for 04/16/20. Total proceeds and cost reported to the IRS.						
	20.000	609.18	04/15/20	683.57	74.39 W	0.00	1 of 2 - Sale <sup>25</sup>
	30.000	913.78	04/15/20	933.90	20.12 W	0.00	2 of 2 - Sale <sup>25</sup>
04/16/20	50.000	1,522.96	Various	1,617.47	94.51 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol: (cont'd)							
2 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	20.000	549.99	04/16/20	651.17	101.18 W	0.00	1 of 2 - Sale <sup>25</sup>
	30.000	824.98	04/16/20	885.29	60.31 W	0.00	2 of 2 - Sale <sup>25</sup>
04/20/20	50.000	1,374.97	Various	1,536.46	161.49 W	0.00	Total of 2 transactions
2 transactions for 04/22/20. Total proceeds and cost reported to the IRS.							
	20.000	521.99	04/20/20	657.26	135.27 W	0.00	1 of 2 - Sale <sup>25</sup>
	30.000	782.98	04/20/20	894.42	111.44 W	0.00	2 of 2 - Sale <sup>25</sup>
04/22/20	50.000	1,304.97	Various	1,551.68	246.71 W	0.00	Total of 2 transactions
4 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	30.000	885.28	04/24/20	862.04	...	23.24	1 of 4 - Sale <sup>25</sup>
	5.000	147.55	04/27/20	129.80	...	17.75	2 of 4 - Sale <sup>25</sup>
	20.000	590.19	04/27/20	503.60	...	86.59	3 of 4 - Sale <sup>25</sup>
	25.000	737.74	04/27/20	640.00	...	97.74	4 of 4 - Sale <sup>25</sup>
04/30/20	80.000	2,360.76	Various	2,135.44	...	225.32	Total of 4 transactions
04/30/20	20.000	590.19	04/24/20	635.67	45.48 W	0.00	Sale <sup>25</sup>
2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	20.000	563.59	04/30/20	636.68	73.09 W	0.00	1 of 2 - Sale <sup>25</sup>
	30.000	845.37	04/30/20	886.80	41.43 W	0.00	2 of 2 - Sale <sup>25</sup>
05/01/20	50.000	1,408.96	Various	1,523.48	114.52 W	0.00	Total of 2 transactions
2 transactions for 05/04/20. Total proceeds and cost reported to the IRS.							
	20.000	473.39	05/01/20	606.49	133.10 W	0.00	1 of 2 - Sale <sup>25</sup>
	30.000	710.08	05/01/20	841.53	131.45 W	0.00	2 of 2 - Sale <sup>25</sup>
05/04/20	50.000	1,183.47	Various	1,448.02	264.55 W	0.00	Total of 2 transactions
2 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	20.000	479.99	05/04/20	601.90	121.91 W	0.00	1 of 2 - Sale <sup>25</sup>
	30.000	719.98	05/04/20	834.65	114.67 W	0.00	2 of 2 - Sale <sup>25</sup>
05/05/20	50.000	1,199.97	Various	1,436.55	236.58 W	0.00	Total of 2 transactions
05/11/20	20.000	479.48	05/07/20	479.18	...	0.30	Sale <sup>25</sup>
3 transactions for 05/11/20. Total proceeds and cost reported to the IRS.							
	20.000	479.49	05/06/20	590.09	110.60 W	0.00	1 of 3 - Sale <sup>25</sup>
	30.000	719.23	05/06/20	816.93	97.70 W	0.00	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol: (cont'd)							
05/11/20	5.000	119.88	05/07/20	147.44	27.56 W	0.00	3 of 3 - Sale <sup>25</sup>
	55.000	1,318.60	Various	1,554.46	235.86 W	0.00	Total of 3 transactions
	3 transactions for 05/12/20. Total proceeds and cost reported to the IRS.						
	5.000	118.72	05/11/20	145.51	26.79 W	0.00	1 of 3 - Sale <sup>25</sup>
	15.000	356.15	05/11/20	436.80	80.65 W	0.00	2 of 3 - Sale <sup>25</sup>
	30.000	712.30	05/11/20	805.40	93.10 W	0.00	3 of 3 - Sale <sup>25</sup>
05/12/20	50.000	1,187.17	Various	1,387.71	200.54 W	0.00	Total of 3 transactions
	3 transactions for 05/13/20. Total proceeds and cost reported to the IRS.						
	5.000	108.45	05/12/20	144.34	35.89 W	0.00	1 of 3 - Sale <sup>25</sup>
	15.000	325.35	05/12/20	433.30	107.95 W	0.00	2 of 3 - Sale <sup>25</sup>
	30.000	650.69	05/12/20	798.40	147.71 W	0.00	3 of 3 - Sale <sup>25</sup>
05/13/20	50.000	1,084.49	Various	1,376.04	291.55 W	0.00	Total of 3 transactions
	3 transactions for 05/14/20. Total proceeds and cost reported to the IRS.						
	5.000	94.25	05/13/20	140.09	45.84 W	0.00	1 of 3 - Sale <sup>25</sup>
	15.000	282.76	05/13/20	420.55	137.79 W	0.00	2 of 3 - Sale <sup>25</sup>
	30.000	565.53	05/13/20	772.91	207.38 W	0.00	3 of 3 - Sale <sup>25</sup>
05/14/20	50.000	942.54	Various	1,333.55	391.01 W	0.00	Total of 3 transactions
	5 transactions for 05/28/20. Total proceeds and cost reported to the IRS.						
	5.000	144.95	05/14/20	138.54	...	6.41	1 of 5 - Sale <sup>25</sup>
	15.000	434.84	05/14/20	415.89	...	18.95	2 of 5 - Sale <sup>25</sup>
	30.000	869.67	05/14/20	763.58	...	106.09	3 of 5 - Sale <sup>25</sup>
	20.000	579.78	05/14/20	398.56	...	181.22	4 of 5 - Sale <sup>25</sup>
	30.000	869.68	05/15/20	611.40	...	258.28	5 of 5 - Sale <sup>25</sup>
05/28/20	100.000	2,898.92	Various	2,327.97	...	570.95	Total of 5 transactions
05/29/20	50.000	1,369.46	05/28/20	1,484.50	115.04 W	0.00	Sale <sup>25</sup>
06/02/20	49.000	1,462.61	06/01/20	1,559.64	97.03 W	0.00	Sale <sup>25</sup>
	9 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	1.000	43.00	06/01/20	31.83	...	11.17	1 of 9 - Sale <sup>25</sup>
	3.000	129.00	06/03/20	101.19	...	27.81	2 of 9 - Sale <sup>25</sup>
	7.000	300.99	06/03/20	236.11	...	64.88	3 of 9 - Sale <sup>25</sup>
	15.000	644.98	06/03/20	505.74	...	139.24	4 of 9 - Sale <sup>25</sup>
	24.000	1,031.97	06/03/20	796.56	...	235.41	5 of 9 - Sale <sup>25</sup>
	1.000	43.00	06/04/20	37.92	...	5.08	6 of 9 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol: (cont'd)							
	1.000	43.00	06/04/20	37.92	...	5.08	7 of 9 - Sale <sup>25</sup>
	3.000	129.00	06/04/20	113.76	...	15.24	8 of 9 - Sale <sup>25</sup>
	20.000	859.98	06/04/20	758.40	...	101.58	9 of 9 - Sale <sup>25</sup>
06/05/20	75.000	3,224.92	Various	2,619.43	...	605.49	Total of 9 transactions
06/09/20	50.000	2,175.95	06/05/20	2,180.25	4.30 W	0.00	Sale <sup>25</sup>
	2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	20.000	663.98	06/10/20	816.03	152.05 W	0.00	1 of 2 - Sale <sup>25</sup>
	30.000	995.98	06/10/20	1,239.38	243.40 W	0.00	2 of 2 - Sale <sup>25</sup>
06/11/20	50.000	1,659.96	Various	2,055.41	395.45 W	0.00	Total of 2 transactions
	2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.						
	20.000	753.19	06/11/20	874.45	121.26 W	0.00	1 of 2 - Sale <sup>25</sup>
	30.000	1,129.77	06/11/20	1,327.00	197.23 W	0.00	2 of 2 - Sale <sup>25</sup>
06/12/20	50.000	1,882.96	Various	2,201.45	318.49 W	0.00	Total of 2 transactions
	2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.						
	5.000	196.06	06/16/20	237.40	41.34 W	0.00	1 of 2 - Sale <sup>25</sup>
	20.000	784.27	06/16/20	939.36	155.09 W	0.00	2 of 2 - Sale <sup>25</sup>
06/17/20	25.000	980.33	Various	1,176.76	196.43 W	0.00	Total of 2 transactions
	3 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	5.000	166.40	06/24/20	208.39	41.99 W	0.00	1 of 3 - Sale <sup>25</sup>
	20.000	665.59	06/24/20	823.29	157.70 W	0.00	2 of 3 - Sale <sup>25</sup>
	25.000	831.97	06/24/20	999.61	167.64 W	0.00	3 of 3 - Sale <sup>25</sup>
06/24/20	50.000	1,663.96	Various	2,031.29	367.33 W	0.00	Total of 3 transactions
	7 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	5.000	159.00	06/25/20	200.72	41.72 W	0.00	1 of 7 - Sale <sup>25</sup>
	20.000	636.01	06/25/20	792.61	156.60 W	0.00	2 of 7 - Sale <sup>25</sup>
	25.000	795.02	06/25/20	961.28	166.26 W	0.00	3 of 7 - Sale <sup>25</sup>
	8.000	266.32	06/25/20	334.14	67.82 W	0.00	4 of 7 - Sale <sup>25</sup>
	5.000	166.45	06/25/20	211.41	44.96 W	0.00	5 of 7 - Sale <sup>25</sup>
	12.000	399.47	06/25/20	501.20	101.73 W	0.00	6 of 7 - Sale <sup>25</sup>
	25.000	832.22	06/25/20	1,014.69	182.47 W	0.00	7 of 7 - Sale <sup>25</sup>
06/25/20	100.000	3,254.49	Various	4,016.05	761.56 W	0.00	Total of 7 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol: (cont'd)							
<i>4 transactions for 06/29/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	174.41	06/29/20	221.74	47.33 W	0.00	1 of 4 - Sale <sup>25</sup>
	8.000	279.06	06/29/20	350.67	71.61 W	0.00	2 of 4 - Sale <sup>25</sup>
	12.000	418.58	06/29/20	526.00	107.42 W	0.00	3 of 4 - Sale <sup>25</sup>
	25.000	872.06	06/29/20	1,066.38	194.32 W	0.00	4 of 4 - Sale <sup>25</sup>
06/29/20	50.000	1,744.11	Various	2,164.79	420.68 W	0.00	Total of 4 transactions
<i>3 transactions for 06/30/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	174.93	06/30/20	215.98	41.05 W	0.00	1 of 3 - Sale <sup>25</sup>
	8.000	279.87	06/30/20	341.45	61.58 W	0.00	2 of 3 - Sale <sup>25</sup>
	12.000	419.81	06/30/20	512.18	92.37 W	0.00	3 of 3 - Sale <sup>25</sup>
06/30/20	25.000	874.61	Various	1,069.61	195.00 W	0.00	Total of 3 transactions
<i>3 transactions for 07/08/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	65.00	07/08/20	80.29	15.29 W	0.00	1 of 3 - Sale <sup>25</sup>
	8.000	260.00	07/08/20	321.15	61.15 W	0.00	2 of 3 - Sale <sup>25</sup>
	25.000	812.52	07/08/20	1,005.47	192.95 W	0.00	3 of 3 - Sale <sup>25</sup>
07/08/20	35.000	1,137.52	Various	1,406.91	269.39 W	0.00	Total of 3 transactions
07/09/20	10.000	307.10	07/09/20	383.76	76.66 W	0.00	Sale <sup>25</sup>
07/16/20	25.000	863.72	07/15/20	849.45	...	14.27	Sale <sup>25</sup>
<i>7 transactions for 07/16/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	69.10	07/14/20	82.19	13.09 W	0.00	1 of 7 - Sale <sup>25</sup>
	5.000	172.75	07/14/20	208.30	35.55 W	0.00	2 of 7 - Sale <sup>25</sup>
	8.000	276.39	07/14/20	328.75	52.36 W	0.00	3 of 7 - Sale <sup>25</sup>
	25.000	863.73	07/14/20	1,029.20	165.47 W	0.00	4 of 7 - Sale <sup>25</sup>
	10.000	345.49	07/14/20	411.16	65.67 W	0.00	5 of 7 - Sale <sup>25</sup>
	25.000	863.73	07/15/20	896.25	32.52 W	0.00	6 of 7 - Sale <sup>25</sup>
	50.000	1,727.45	07/15/20	1,828.50	101.05 W	0.00	7 of 7 - Sale <sup>25</sup>
07/16/20	125.000	4,318.64	Various	4,784.35	465.71 W	0.00	Total of 7 transactions
<i>3 transactions for 07/21/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	66.32	07/21/20	78.95	12.63 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	165.80	07/21/20	200.19	34.39 W	0.00	2 of 3 - Sale <sup>25</sup>
	8.000	265.28	07/21/20	315.78	50.50 W	0.00	3 of 3 - Sale <sup>25</sup>
07/21/20	15.000	497.40	Various	594.92	97.52 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol: (cont'd)							
2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	5.000	169.75	07/23/20	169.10	...	0.65	1 of 2 - Sale <sup>25</sup>
	70.000	2,376.44	07/23/20	2,367.36	...	9.08	2 of 2 - Sale <sup>25</sup>
07/23/20	75.000	2,546.19	Various	2,536.46	...	9.73	Total of 2 transactions
35 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	10.000	333.49	07/23/20	395.52	62.03 W	0.00	1 of 35 - Sale <sup>25</sup>
	25.000	833.73	07/23/20	990.10	156.37 W	0.00	2 of 35 - Sale <sup>25</sup>
	10.000	333.49	07/23/20	342.86	9.37 W	0.00	3 of 35 - Sale <sup>25</sup>
	10.000	333.49	07/23/20	352.51	19.02 W	0.00	4 of 35 - Sale <sup>25</sup>
	15.000	500.24	07/23/20	517.96	17.72 W	0.00	5 of 35 - Sale <sup>25</sup>
	2.000	66.70	07/23/20	79.73	13.03 W	0.00	6 of 35 - Sale <sup>25</sup>
	5.000	166.75	07/23/20	202.14	35.39 W	0.00	7 of 35 - Sale <sup>25</sup>
	8.000	266.79	07/23/20	318.90	52.11 W	0.00	8 of 35 - Sale <sup>25</sup>
	15.000	500.23	07/23/20	533.56	33.33 W	0.00	9 of 35 - Sale <sup>25</sup>
	10.000	333.49	07/23/20	397.53	64.04 W	0.00	10 of 35 - Sale <sup>25</sup>
	25.000	833.73	07/23/20	995.12	161.39 W	0.00	11 of 35 - Sale <sup>25</sup>
	10.000	333.49	07/23/20	344.87	11.38 W	0.00	12 of 35 - Sale <sup>25</sup>
	10.000	333.49	07/23/20	354.52	21.03 W	0.00	13 of 35 - Sale <sup>25</sup>
	15.000	500.24	07/23/20	520.97	20.73 W	0.00	14 of 35 - Sale <sup>25</sup>
	25.000	833.73	07/23/20	889.28	55.55 W	0.00	15 of 35 - Sale <sup>25</sup>
	5.000	166.74	07/23/20	167.75	1.01 W	0.00	16 of 35 - Sale <sup>25</sup>
	100.000	3,334.91	07/23/20	3,355.00	20.09 W	0.00	17 of 35 - Sale <sup>25</sup>
	170.000	5,669.35	07/23/20	5,703.50	34.15 W	0.00	18 of 35 - Sale <sup>25</sup>
	2.000	68.10	07/23/20	80.32	12.22 W	0.00	19 of 35 - Sale <sup>25</sup>
	5.000	170.25	07/23/20	203.63	33.38 W	0.00	20 of 35 - Sale <sup>25</sup>
	8.000	272.39	07/23/20	321.29	48.90 W	0.00	21 of 35 - Sale <sup>25</sup>
	10.000	340.49	07/23/20	400.51	60.02 W	0.00	22 of 35 - Sale <sup>25</sup>
	25.000	851.23	07/23/20	1,002.57	151.34 W	0.00	23 of 35 - Sale <sup>25</sup>
	10.000	340.49	07/23/20	347.85	7.36 W	0.00	24 of 35 - Sale <sup>25</sup>
	15.000	510.74	07/23/20	538.04	27.30 W	0.00	25 of 35 - Sale <sup>25</sup>
	25.000	851.22	07/23/20	896.72	45.50 W	0.00	26 of 35 - Sale <sup>25</sup>
	10.000	340.49	07/23/20	357.22	16.73 W	0.00	27 of 35 - Sale <sup>25</sup>
	15.000	510.74	07/23/20	525.01	14.27 W	0.00	28 of 35 - Sale <sup>25</sup>
	2.000	67.90	07/23/20	80.47	12.57 W	0.00	29 of 35 - Sale <sup>25</sup>

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Account 527125207

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(continued)

03/15/2021

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UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol: (cont'd)							
	5.000	169.75	07/23/20	204.02	34.27 W	0.00	30 of 35 - Sale <sup>25</sup>
	8.000	271.59	07/23/20	321.92	50.33 W	0.00	31 of 35 - Sale <sup>25</sup>
	10.000	339.49	07/23/20	401.29	61.80 W	0.00	32 of 35 - Sale <sup>25</sup>
	25.000	848.73	07/23/20	1,004.52	155.79 W	0.00	33 of 35 - Sale <sup>25</sup>
	30.000	1,018.47	07/23/20	1,029.84	11.37 W	0.00	34 of 35 - Sale <sup>25</sup>
	170.000	5,771.34	07/23/20	5,835.76	64.42 W	0.00	35 of 35 - Sale <sup>25</sup>
07/23/20	845.000	28,417.49	Various	30,012.80	1,595.31 W	0.00	Total of 35 transactions
	14 transactions for 08/03/20. Total proceeds and cost reported to the IRS.						
	15.000	460.94	08/03/20	491.70	30.76 W	0.00	1 of 14 - Sale <sup>25</sup>
	20.000	614.59	08/03/20	655.60	41.01 W	0.00	2 of 14 - Sale <sup>25</sup>
	5.000	153.64	08/03/20	164.75	11.11 W	0.00	3 of 14 - Sale <sup>25</sup>
	10.000	307.29	08/03/20	318.66	11.37 W	0.00	4 of 14 - Sale <sup>25</sup>
	2.000	63.78	08/03/20	76.94	13.16 W	0.00	5 of 14 - Sale <sup>25</sup>
	5.000	159.45	08/03/20	195.21	35.76 W	0.00	6 of 14 - Sale <sup>25</sup>
	8.000	255.11	08/03/20	307.83	52.72 W	0.00	7 of 14 - Sale <sup>25</sup>
	10.000	318.89	08/03/20	383.68	64.79 W	0.00	8 of 14 - Sale <sup>25</sup>
	25.000	797.23	08/03/20	960.48	163.25 W	0.00	9 of 14 - Sale <sup>25</sup>
	10.000	318.89	08/03/20	338.61	19.72 W	0.00	10 of 14 - Sale <sup>25</sup>
	15.000	478.34	08/03/20	497.08	18.74 W	0.00	11 of 14 - Sale <sup>25</sup>
	20.000	637.78	08/03/20	651.33	13.55 W	0.00	12 of 14 - Sale <sup>25</sup>
	30.000	956.68	08/03/20	976.99	20.31 W	0.00	13 of 14 - Sale <sup>25</sup>
	25.000	797.24	08/03/20	815.47	18.23 W	0.00	14 of 14 - Sale <sup>25</sup>
08/03/20	200.000	6,319.85	Various	6,834.33	514.48 W	0.00	Total of 14 transactions
	11 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	5.000	181.74	08/19/20	187.27	...	-5.53	1 of 11 - Sale <sup>25</sup>
	10.000	363.49	08/19/20	363.68	...	-0.19	2 of 11 - Sale <sup>25</sup>
	16.000	579.36	08/19/20	578.87	...	0.49	3 of 11 - Sale <sup>25</sup>
	9.000	327.14	08/19/20	325.61	...	1.53	4 of 11 - Sale <sup>25</sup>
	16.000	575.99	08/19/20	573.10	...	2.89	5 of 11 - Sale <sup>25</sup>
	14.000	508.89	08/19/20	505.78	...	3.11	6 of 11 - Sale <sup>25</sup>
	16.000	581.59	08/19/20	578.03	...	3.56	7 of 11 - Sale <sup>25</sup>
	20.000	726.98	08/19/20	722.55	...	4.43	8 of 11 - Sale <sup>25</sup>
	32.000	1,158.72	08/19/20	1,139.52	...	19.20	9 of 11 - Sale <sup>25</sup>
	77.000	2,788.18	08/19/20	2,741.97	...	46.21	10 of 11 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol: (cont'd)							
	475.000	17,199.78	08/19/20	16,838.75	...	361.03	11 of 11 - Sale <sup>25</sup>
08/19/20	690.000	24,991.86	Various	24,555.13	...	436.73	Total of 11 transactions
	24 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	20.000	726.98	08/19/20	745.63	9.32 W	-9.33	1 of 24 - Sale <sup>25</sup>
	2.000	71.32	08/19/20	84.04	12.72 W	0.00	2 of 24 - Sale <sup>25</sup>
	5.000	178.30	08/19/20	212.96	34.66 W	0.00	3 of 24 - Sale <sup>25</sup>
	8.000	285.27	08/19/20	336.24	50.97 W	0.00	4 of 24 - Sale <sup>25</sup>
	8.000	285.27	08/19/20	335.35	50.08 W	0.00	5 of 24 - Sale <sup>25</sup>
	2.000	71.32	08/19/20	83.84	12.52 W	0.00	6 of 24 - Sale <sup>25</sup>
	16.000	570.55	08/19/20	671.52	100.97 W	0.00	7 of 24 - Sale <sup>25</sup>
	5.000	178.29	08/19/20	188.31	10.02 W	0.00	8 of 24 - Sale <sup>25</sup>
	10.000	356.59	08/19/20	365.77	9.18 W	0.00	9 of 24 - Sale <sup>25</sup>
	15.000	534.89	08/19/20	562.36	27.47 W	0.00	10 of 24 - Sale <sup>25</sup>
	20.000	713.18	08/19/20	749.81	36.63 W	0.00	11 of 24 - Sale <sup>25</sup>
	32.000	1,141.09	08/19/20	1,146.21	5.12 W	0.00	12 of 24 - Sale <sup>25</sup>
	77.000	2,745.74	08/19/20	2,758.06	12.32 W	0.00	13 of 24 - Sale <sup>25</sup>
	2.000	72.00	08/19/20	83.62	11.62 W	0.00	14 of 24 - Sale <sup>25</sup>
	2.000	72.00	08/19/20	83.42	11.42 W	0.00	15 of 24 - Sale <sup>25</sup>
	5.000	180.00	08/19/20	211.91	31.91 W	0.00	16 of 24 - Sale <sup>25</sup>
	8.000	287.99	08/19/20	334.57	46.58 W	0.00	17 of 24 - Sale <sup>25</sup>
	8.000	287.99	08/19/20	333.68	45.69 W	0.00	18 of 24 - Sale <sup>25</sup>
	9.000	323.99	08/19/20	377.82	53.83 W	0.00	19 of 24 - Sale <sup>25</sup>
	16.000	575.98	08/19/20	668.17	92.19 W	0.00	20 of 24 - Sale <sup>25</sup>
	10.000	359.99	08/19/20	374.22	14.23 W	0.00	21 of 24 - Sale <sup>25</sup>
	15.000	539.99	08/19/20	550.49	10.50 W	0.00	22 of 24 - Sale <sup>25</sup>
	9.000	323.99	08/19/20	335.53	11.54 W	0.00	23 of 24 - Sale <sup>25</sup>
	6.000	218.09	08/19/20	223.69	5.60 W	0.00	24 of 24 - Sale <sup>25</sup>
08/19/20	310.000	11,100.80	Various	11,817.22	707.09 W	-9.33	Total of 24 transactions
	12 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	16.000	576.23	08/24/20	669.25	...	-93.02	1 of 12 - Sale <sup>25</sup>
	9.000	324.13	08/24/20	378.43	...	-54.30	2 of 12 - Sale <sup>25</sup>
	8.000	288.12	08/24/20	335.11	...	-46.99	3 of 12 - Sale <sup>25</sup>
	8.000	288.12	08/24/20	334.22	...	-46.10	4 of 12 - Sale <sup>25</sup>
	5.000	180.07	08/24/20	212.24	...	-32.17	5 of 12 - Sale <sup>25</sup>

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(continued)

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UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol: (cont'd)							
	10.000	360.15	08/24/20	374.89	...	-14.74	6 of 12 - Sale <sup>25</sup>
	9.000	324.13	08/24/20	336.14	...	-12.01	7 of 12 - Sale <sup>25</sup>
	2.000	72.03	08/24/20	83.75	...	-11.72	8 of 12 - Sale <sup>25</sup>
	2.000	72.03	08/24/20	83.55	...	-11.52	9 of 12 - Sale <sup>25</sup>
	15.000	540.22	08/24/20	551.50	...	-11.28	10 of 12 - Sale <sup>25</sup>
	10.000	360.14	08/24/20	369.98	...	-9.84	11 of 12 - Sale <sup>25</sup>
	6.000	216.09	08/24/20	222.00	...	-5.91	12 of 12 - Sale <sup>25</sup>
08/24/20	100.000	3,601.46	Various	3,951.06	...	-349.60	Total of 12 transactions
	3 transactions for 12/14/20. Total proceeds and cost reported to the IRS.						
	50.000	2,421.94	12/14/20	2,427.98	...	-6.04	1 of 3 - Sale <sup>25</sup>
	50.000	2,421.93	12/14/20	2,427.90	...	-5.97	2 of 3 - Sale <sup>25</sup>
	50.000	2,421.94	12/14/20	2,426.00	...	-4.06	3 of 3 - Sale <sup>25</sup>
12/14/20	150.000	7,265.81	Various	7,281.88	...	-16.07	Total of 3 transactions
	<b>Security total:</b>	<b>131,738.43</b>		<b>139,088.07</b>	<b>8,941.24 W</b>	<b>1,591.60</b>	
UNITED NATURAL FOODS INC / CUSIP: 911163103 / Symbol:							
05/14/20	20.000	399.52	05/13/20	414.80	...	-15.28	Sale <sup>25</sup>
UNITED STATES OIL FUND, LP / CUSIP: 91232N108 / Symbol:							
	2 transactions for 04/21/20. Total proceeds and cost reported to the IRS.						
	90.000	305.09	04/20/20	348.29	...	-43.20	1 of 2 - Sale <sup>25</sup>
	10.000	33.90	04/20/20	38.65	...	-4.75	2 of 2 - Sale <sup>25</sup>
04/21/20	100.000	338.99	Various	386.94	...	-47.95	Total of 2 transactions
UNITY BIOTECHNOLOGY, INC. COMM ON STOCK / CUSIP: 91381U101 / Symbol:							
	17 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	50.000	723.98	08/10/20	759.03	...	-35.05	1 of 17 - Sale <sup>25</sup>
	150.000	2,213.93	08/10/20	2,248.50	...	-34.57	2 of 17 - Sale <sup>25</sup>
	50.000	723.98	08/10/20	758.53	...	-34.55	3 of 17 - Sale <sup>25</sup>
	50.000	723.98	08/10/20	758.52	...	-34.54	4 of 17 - Sale <sup>25</sup>
	50.000	723.98	08/10/20	754.52	...	-30.54	5 of 17 - Sale <sup>25</sup>
	40.000	579.18	08/10/20	607.22	...	-28.04	6 of 17 - Sale <sup>25</sup>
	50.000	737.98	08/10/20	760.50	...	-22.52	7 of 17 - Sale <sup>25</sup>
	30.000	434.38	08/10/20	455.41	...	-21.03	8 of 17 - Sale <sup>25</sup>

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UNITY BIOTECHNOLOGY, INC. COMM ON STOCK / CUSIP: 91381U101 / Symbol: (cont'd)							
	40.000	590.38	08/10/20	608.80	...	-18.42	9 of 17 - Sale <sup>25</sup>
	30.000	442.79	08/10/20	458.40	...	-15.61	10 of 17 - Sale <sup>25</sup>
	5.000	73.80	08/10/20	69.30	...	4.50	11 of 17 - Sale <sup>25</sup>
	37.000	546.10	08/10/20	536.50	...	9.60	12 of 17 - Sale <sup>25</sup>
	15.000	221.39	08/10/20	210.64	...	10.75	13 of 17 - Sale <sup>25</sup>
	43.000	634.66	08/10/20	623.50	...	11.16	14 of 17 - Sale <sup>25</sup>
	100.000	1,475.95	08/10/20	1,450.00	...	25.95	15 of 17 - Sale <sup>25</sup>
	50.000	737.98	08/10/20	702.15	...	35.83	16 of 17 - Sale <sup>25</sup>
	80.000	1,180.76	08/10/20	1,108.80	...	71.96	17 of 17 - Sale <sup>25</sup>
08/10/20	870.000	12,765.20	Various	12,870.32	...	-105.12	Total of 17 transactions
	4 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	80.000	1,180.77	08/10/20	1,215.20	17.22 W	-17.21	1 of 4 - Sale <sup>25</sup>
	50.000	737.98	08/10/20	755.00	17.02 W	0.00	2 of 4 - Sale <sup>25</sup>
	100.000	1,475.95	08/10/20	1,518.00	42.05 W	0.00	3 of 4 - Sale <sup>25</sup>
	80.000	1,180.76	08/10/20	1,215.20	34.44 W	0.00	4 of 4 - Sale <sup>25</sup>
08/10/20	310.000	4,575.46	Various	4,703.40	110.73 W	-17.21	Total of 4 transactions
	<b>Security total:</b>	<b>17,340.66</b>		<b>17,573.72</b>	<b>110.73 W</b>	<b>-122.33</b>	
UP FINTECH HOLDING LTD AMERICA N DEPOSITARY SHARE REPRESENTIN G FIFTEEN CLASS A ORDINARY SHA / CUSIP: 91531W106 / Symbol:							
07/08/20	500.000	3,324.86	07/08/20	3,369.95	...	-45.09	Sale <sup>25</sup>
	3 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	110.000	751.27	08/12/20	760.10	8.83 W	0.00	1 of 3 - Sale <sup>25</sup>
	3.000	20.49	08/12/20	20.73	0.24 W	0.00	2 of 3 - Sale <sup>25</sup>
	250.000	1,707.43	08/12/20	1,732.50	25.07 W	0.00	3 of 3 - Sale <sup>25</sup>
08/13/20	363.000	2,479.19	Various	2,513.33	34.14 W	0.00	Total of 3 transactions
	7 transactions for 08/17/20. Total proceeds and cost reported to the IRS.						
	15.000	105.30	08/17/20	107.40	2.10 W	0.00	1 of 7 - Sale <sup>25</sup>
	2.000	14.04	08/17/20	14.34	0.30 W	0.00	2 of 7 - Sale <sup>25</sup>
	3.000	21.06	08/17/20	21.45	0.39 W	0.00	3 of 7 - Sale <sup>25</sup>
	95.000	666.87	08/17/20	679.28	12.41 W	0.00	4 of 7 - Sale <sup>25</sup>
	100.000	701.97	08/17/20	717.03	15.06 W	0.00	5 of 7 - Sale <sup>25</sup>
	100.000	701.97	08/17/20	717.03	15.06 W	0.00	6 of 7 - Sale <sup>25</sup>
	35.000	245.69	08/17/20	250.96	5.27 W	0.00	7 of 7 - Sale <sup>25</sup>
08/17/20	350.000	2,456.90	Various	2,507.49	50.59 W	0.00	Total of 7 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UP FINTECH HOLDING LTD AMERICA N DEPOSITARY SHARE REPRESENTIN G FIFTEEN CLASS A ORDINARY SHA / CUSIP: 91531W106 / Symbol: (cont'd)							
<i>10 transactions for 08/18/20. Total proceeds and cost reported to the IRS.</i>							
	477.000	2,985.90	08/18/20	3,005.05	...	-19.15	1 of 10 - Sale <sup>25</sup>
	100.000	625.97	08/18/20	645.05	...	-19.08	2 of 10 - Sale <sup>25</sup>
	100.000	625.97	08/18/20	645.05	...	-19.08	3 of 10 - Sale <sup>25</sup>
	95.000	594.67	08/18/20	610.90	...	-16.23	4 of 10 - Sale <sup>25</sup>
	35.000	219.09	08/18/20	225.77	...	-6.68	5 of 10 - Sale <sup>25</sup>
	160.000	1,001.55	08/18/20	1,007.98	...	-6.43	6 of 10 - Sale <sup>25</sup>
	15.000	93.90	08/18/20	96.60	...	-2.70	7 of 10 - Sale <sup>25</sup>
	13.000	81.38	08/18/20	83.20	...	-1.82	8 of 10 - Sale <sup>25</sup>
	3.000	18.78	08/18/20	19.29	...	-0.51	9 of 10 - Sale <sup>25</sup>
	2.000	12.52	08/18/20	12.90	...	-0.38	10 of 10 - Sale <sup>25</sup>
08/18/20	1,000.000	6,259.73	Various	6,351.79	...	-92.06	Total of 10 transactions
	<b>Security total:</b>	<b>14,520.68</b>		<b>14,742.56</b>	<b>84.73 W</b>	<b>-137.15</b>	
URANIUM ENERGY CORP. / CUSIP: 916896103 / Symbol:							
<i>3 transactions for 12/15/20. Total proceeds and cost reported to the IRS.</i>							
	190.000	341.97	12/15/20	343.90	...	-1.93	1 of 3 - Sale <sup>25</sup>
	59.000	106.19	12/15/20	106.79	...	-0.60	2 of 3 - Sale <sup>25</sup>
	1.000	1.82	12/15/20	1.84	...	-0.02	3 of 3 - Sale <sup>25</sup>
12/15/20	250.000	449.98	Various	452.53	...	-2.55	Total of 3 transactions
<i>2 transactions for 12/15/20. Total proceeds and cost reported to the IRS.</i>							
	250.000	449.96	12/15/20	457.23	0.03 W	-7.24	1 of 2 - Sale <sup>25</sup>
	250.000	449.97	12/15/20	452.20	2.23 W	0.00	2 of 2 - Sale <sup>25</sup>
12/15/20	500.000	899.93	Various	909.43	2.26 W	-7.24	Total of 2 transactions
	<b>Security total:</b>	<b>1,349.91</b>		<b>1,361.96</b>	<b>2.26 W</b>	<b>-9.79</b>	
URBAN ONE, INC. CLASS A COMMON STOCK / CUSIP: 91705J105 / Symbol:							
<i>3 transactions for 06/15/20. Total proceeds and cost reported to the IRS.</i>							
	75.000	389.99	06/15/20	487.50	...	-97.51	1 of 3 - Sale <sup>25</sup>
	50.000	259.99	06/15/20	298.50	...	-38.51	2 of 3 - Sale <sup>25</sup>
	2.000	10.40	06/15/20	11.42	...	-1.02	3 of 3 - Sale <sup>25</sup>
06/15/20	127.000	660.38	Various	797.42	...	-137.04	Total of 3 transactions
06/15/20	98.000	509.58	06/15/20	559.58	35.71 W	-14.29	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
URBAN ONE, INC. CLASS A COMMON STOCK / CUSIP: 91705J105 / Symbol: (cont'd)							
07/10/20	70.000	1,343.96	07/10/20	1,371.31	...	-27.35	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,513.92</b>		<b>2,728.31</b>	<b>35.71 W</b>	<b>-178.68</b>	
URBAN ONE, INC. CLASS D COMMON STOCK / CUSIP: 91705J204 / Symbol:							
	7 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	100.000	324.99	06/16/20	396.53	...	-71.54	1 of 7 - Sale <sup>25</sup>
	115.000	373.74	06/16/20	442.76	...	-69.02	2 of 7 - Sale <sup>25</sup>
	100.000	324.98	06/16/20	385.00	...	-60.02	3 of 7 - Sale <sup>25</sup>
	25.000	81.25	06/16/20	94.13	...	-12.88	4 of 7 - Sale <sup>25</sup>
	10.000	32.50	06/16/20	42.40	...	-9.90	5 of 7 - Sale <sup>25</sup>
	25.000	87.49	06/16/20	50.63	...	36.86	6 of 7 - Sale <sup>25</sup>
	150.000	524.97	06/16/20	306.00	...	218.97	7 of 7 - Sale <sup>25</sup>
06/16/20	525.000	1,749.92	Various	1,717.45	...	32.47	Total of 7 transactions
	3 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	10.000	35.00	06/16/20	42.80	7.80 W	0.00	1 of 3 - Sale <sup>25</sup>
	115.000	402.48	06/16/20	447.35	44.87 W	0.00	2 of 3 - Sale <sup>25</sup>
	125.000	437.47	06/16/20	475.63	38.16 W	0.00	3 of 3 - Sale <sup>25</sup>
06/16/20	250.000	874.95	Various	965.78	90.83 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>2,624.87</b>		<b>2,683.23</b>	<b>90.83 W</b>	<b>32.47</b>	
UXIN LIMITED ADS / CUSIP: 91818X108 / Symbol:							
07/08/20	250.000	436.22	07/08/20	437.50	...	-1.28	Sale <sup>25</sup>
VBI VACCINES, INC. NEW COMMON STOCK (CANADA) / CUSIP: 91822J103 / Symbol:							
07/08/20	100.000	387.12	07/08/20	423.00	35.88 W	0.00	Sale <sup>25</sup>
	2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.						
	100.000	394.99	07/10/20	431.38	36.39 W	0.00	1 of 2 - Sale <sup>25</sup>
	150.000	592.48	07/10/20	593.25	0.77 W	0.00	2 of 2 - Sale <sup>25</sup>
07/10/20	250.000	987.47	Various	1,024.63	37.16 W	0.00	Total of 2 transactions
07/14/20	22.000	111.54	07/14/20	122.41	10.87 W	0.00	Sale <sup>25</sup>
07/15/20	50.000	248.06	07/15/20	286.19	38.13 W	0.00	Sale <sup>25</sup>
	8 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	28.000	176.39	07/17/20	176.20	...	0.19	1 of 8 - Sale <sup>25</sup>
	75.000	472.48	07/17/20	471.75	...	0.73	2 of 8 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VBI VACCINES, INC. NEW COMMON STOCK (CANADA) / CUSIP: 91822J103 / Symbol: (cont'd)							
	10.000	63.00	07/17/20	62.10	...	0.90	3 of 8 - Sale <sup>25</sup>
	15.000	94.50	07/17/20	93.15	...	1.35	4 of 8 - Sale <sup>25</sup>
	78.000	467.20	07/17/20	463.72	...	3.48	5 of 8 - Sale <sup>25</sup>
	50.000	314.99	07/17/20	308.46	...	6.53	6 of 8 - Sale <sup>25</sup>
	72.000	431.26	07/17/20	420.85	...	10.41	7 of 8 - Sale <sup>25</sup>
	75.000	472.48	07/17/20	459.00	...	13.48	8 of 8 - Sale <sup>25</sup>
07/17/20	403.000	2,492.30	Various	2,455.23	...	37.07	Total of 8 transactions
	11 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	155.000	976.46	07/17/20	993.35	14.49 W	-2.40	1 of 11 - Sale <sup>25</sup>
	28.000	167.71	07/17/20	173.71	6.00 W	0.00	2 of 11 - Sale <sup>25</sup>
	22.000	131.77	07/17/20	141.55	9.78 W	0.00	3 of 11 - Sale <sup>25</sup>
	25.000	149.74	07/17/20	167.57	17.83 W	0.00	4 of 11 - Sale <sup>25</sup>
	25.000	149.75	07/17/20	171.06	21.31 W	0.00	5 of 11 - Sale <sup>25</sup>
	65.000	389.33	07/17/20	395.20	5.87 W	0.00	6 of 11 - Sale <sup>25</sup>
	10.000	59.90	07/17/20	60.80	0.90 W	0.00	7 of 11 - Sale <sup>25</sup>
	22.000	138.59	07/17/20	143.51	4.92 W	0.00	8 of 11 - Sale <sup>25</sup>
	25.000	157.49	07/17/20	169.80	12.31 W	0.00	9 of 11 - Sale <sup>25</sup>
	25.000	157.49	07/17/20	173.28	15.79 W	0.00	10 of 11 - Sale <sup>25</sup>
	70.000	440.98	07/17/20	445.11	4.13 W	0.00	11 of 11 - Sale <sup>25</sup>
07/17/20	472.000	2,919.21	Various	3,034.94	113.33 W	-2.40	Total of 11 transactions
	7 transactions for 07/20/20. Total proceeds and cost reported to the IRS.						
	22.000	137.49	07/17/20	155.11	...	-17.62	1 of 7 - Sale <sup>25</sup>
	20.000	124.99	07/17/20	138.03	...	-13.04	2 of 7 - Sale <sup>25</sup>
	53.000	331.24	07/17/20	343.32	...	-12.08	3 of 7 - Sale <sup>25</sup>
	22.000	137.50	07/17/20	145.91	...	-8.41	4 of 7 - Sale <sup>25</sup>
	3.000	18.75	07/17/20	21.18	...	-2.43	5 of 7 - Sale <sup>25</sup>
	3.000	18.75	07/17/20	20.70	...	-1.95	6 of 7 - Sale <sup>25</sup>
	2.000	12.50	07/17/20	13.84	...	-1.34	7 of 7 - Sale <sup>25</sup>
07/20/20	125.000	781.22	Various	838.09	...	-56.87	Total of 7 transactions
	3 transactions for 07/28/20. Total proceeds and cost reported to the IRS.						
	17.000	69.70	07/28/20	71.70	2.00 W	0.00	1 of 3 - Sale <sup>25</sup>
	28.000	114.80	07/28/20	119.49	4.69 W	0.00	2 of 3 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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VBI VACCINES, INC. NEW COMMON STOCK (CANADA) / CUSIP: 91822J103 / Symbol: (cont'd)							
07/28/20	105.000	430.49	07/28/20	448.11	17.62 W	0.00	3 of 3 - Sale <sup>25</sup>
	150.000	614.99	Various	639.30	24.31 W	0.00	Total of 3 transactions
	4 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	5.000	19.91	08/20/20	19.69	...	0.22	1 of 4 - Sale <sup>25</sup>
	80.000	318.49	08/20/20	317.60	...	0.89	2 of 4 - Sale <sup>25</sup>
	35.000	139.34	08/20/20	137.15	...	2.19	3 of 4 - Sale <sup>25</sup>
08/20/20	280.000	1,114.70	08/20/20	1,111.60	...	3.10	4 of 4 - Sale <sup>25</sup>
	400.000	1,592.44	Various	1,586.04	...	6.40	Total of 4 transactions
	3 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	17.000	67.68	08/20/20	68.62	0.94 W	0.00	1 of 3 - Sale <sup>25</sup>
	28.000	111.47	08/20/20	114.41	2.94 W	0.00	2 of 3 - Sale <sup>25</sup>
08/20/20	105.000	418.01	08/20/20	429.09	11.08 W	0.00	3 of 3 - Sale <sup>25</sup>
	150.000	597.16	Various	612.12	14.96 W	0.00	Total of 3 transactions
	7 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	345.000	1,117.76	08/25/20	1,138.05	...	-20.29	1 of 7 - Sale <sup>25</sup>
	105.000	340.19	08/25/20	357.44	...	-17.25	2 of 7 - Sale <sup>25</sup>
	28.000	90.72	08/25/20	95.30	...	-4.58	3 of 7 - Sale <sup>25</sup>
	7.000	22.68	08/25/20	23.48	...	-0.80	4 of 7 - Sale <sup>25</sup>
	5.000	16.20	08/25/20	16.72	...	-0.52	5 of 7 - Sale <sup>25</sup>
	3.000	9.72	08/25/20	10.21	...	-0.49	6 of 7 - Sale <sup>25</sup>
	2.000	6.48	08/25/20	6.81	...	-0.33	7 of 7 - Sale <sup>25</sup>
08/25/20	495.000	1,603.75	Various	1,648.01	...	-44.26	Total of 7 transactions
	2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	3.000	9.72	08/25/20	10.03	0.31 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	6.48	08/25/20	6.69	0.21 W	0.00	2 of 2 - Sale <sup>25</sup>
08/25/20	5.000	16.20	Various	16.72	0.52 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>12,351.46</b>		<b>12,686.68</b>	<b>275.16 W</b>	<b>-60.06</b>	
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol:							
03/10/20	200.000	499.98	03/09/20	587.98	...	-88.00	Sale <sup>25</sup>
	5 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	89.000	530.42	06/25/20	514.42	...	16.00	1 of 5 - Sale <sup>25</sup>
	500.000	2,974.92	06/25/20	2,953.40	...	21.52	2 of 5 - Sale <sup>25</sup>

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Account 527125207

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(continued)

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	1,000.000	5,949.85	06/25/20	5,844.10	...	105.75	3 of 5 - Sale <sup>25</sup>
	911.000	5,429.32	06/25/20	5,265.58	...	163.74	4 of 5 - Sale <sup>25</sup>
	1,000.000	5,949.85	06/25/20	5,726.40	...	223.45	5 of 5 - Sale <sup>25</sup>
06/25/20	3,500.000	20,834.36	Various	20,303.90	...	530.46	Total of 5 transactions
	3 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	50.000	305.00	06/25/20	330.00	25.00 W	0.00	1 of 3 - Sale <sup>25</sup>
	150.000	917.96	06/25/20	990.00	72.04 W	0.00	2 of 3 - Sale <sup>25</sup>
	50.000	305.99	06/25/20	356.00	50.01 W	0.00	3 of 3 - Sale <sup>25</sup>
06/25/20	250.000	1,528.95	Various	1,676.00	147.05 W	0.00	Total of 3 transactions
	12 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	17.000	177.64	06/25/20	123.25	...	54.39	1 of 12 - Sale <sup>25</sup>
	25.000	261.24	06/25/20	190.00	...	71.24	2 of 12 - Sale <sup>25</sup>
	27.000	282.14	06/25/20	192.51	...	89.63	3 of 12 - Sale <sup>25</sup>
	25.000	261.24	06/25/20	170.25	...	90.99	4 of 12 - Sale <sup>25</sup>
	50.000	522.48	06/25/20	390.51	...	131.97	5 of 12 - Sale <sup>25</sup>
	50.000	522.48	06/25/20	362.51	...	159.97	6 of 12 - Sale <sup>25</sup>
	58.000	606.08	06/25/20	420.50	...	185.58	7 of 12 - Sale <sup>25</sup>
	73.000	762.82	06/25/20	520.49	...	242.33	8 of 12 - Sale <sup>25</sup>
	100.000	1,044.96	06/25/20	729.03	...	315.93	9 of 12 - Sale <sup>25</sup>
	100.000	1,044.97	06/25/20	710.00	...	334.97	10 of 12 - Sale <sup>25</sup>
	49.000	424.33	06/26/20	415.52	...	8.81	11 of 12 - Sale <sup>25</sup>
	151.000	1,307.62	06/26/20	1,278.97	...	28.65	12 of 12 - Sale <sup>25</sup>
06/26/20	725.000	7,218.00	Various	5,503.54	...	1,714.46	Total of 12 transactions
	117 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	225.000	2,351.18	06/26/20	2,518.81	167.63 W	0.00	1 of 117 - Sale <sup>25</sup>
	50.000	427.00	06/26/20	559.73	132.73 W	0.00	2 of 117 - Sale <sup>25</sup>
	100.000	853.97	06/26/20	1,119.47	265.50 W	0.00	3 of 117 - Sale <sup>25</sup>
	100.000	853.96	06/26/20	1,119.47	265.51 W	0.00	4 of 117 - Sale <sup>25</sup>
	70.000	597.78	06/26/20	888.65	290.87 W	0.00	5 of 117 - Sale <sup>25</sup>
	80.000	683.17	06/26/20	1,015.60	332.43 W	0.00	6 of 117 - Sale <sup>25</sup>
	25.000	213.49	06/26/20	365.12	151.63 W	0.00	7 of 117 - Sale <sup>25</sup>
	75.000	640.48	06/26/20	952.13	311.65 W	0.00	8 of 117 - Sale <sup>25</sup>
	25.000	206.24	06/26/20	365.11	158.87 W	0.00	9 of 117 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	75.000	618.73	06/26/20	1,095.38	476.65 W	0.00	10 of 117 - Sale <sup>25</sup>
	25.000	206.24	06/26/20	365.12	158.88 W	0.00	11 of 117 - Sale <sup>25</sup>
	75.000	618.73	06/26/20	1,095.38	476.65 W	0.00	12 of 117 - Sale <sup>25</sup>
	25.000	206.24	06/26/20	365.13	158.89 W	0.00	13 of 117 - Sale <sup>25</sup>
	25.000	206.24	06/26/20	298.75	92.51 W	0.00	14 of 117 - Sale <sup>25</sup>
	50.000	412.49	06/26/20	814.11	401.62 W	0.00	15 of 117 - Sale <sup>25</sup>
	20.000	165.00	06/26/20	325.65	160.65 W	0.00	16 of 117 - Sale <sup>25</sup>
	30.000	247.50	06/26/20	488.47	240.97 W	0.00	17 of 117 - Sale <sup>25</sup>
	50.000	412.50	06/26/20	814.12	401.62 W	0.00	18 of 117 - Sale <sup>25</sup>
	25.000	206.24	06/26/20	454.80	248.56 W	0.00	19 of 117 - Sale <sup>25</sup>
	75.000	618.73	06/26/20	1,221.18	602.45 W	0.00	20 of 117 - Sale <sup>25</sup>
	25.000	206.24	06/26/20	416.37	210.13 W	0.00	21 of 117 - Sale <sup>25</sup>
	75.000	618.73	06/26/20	1,249.15	630.42 W	0.00	22 of 117 - Sale <sup>25</sup>
	2.000	16.50	06/26/20	33.31	16.81 W	0.00	23 of 117 - Sale <sup>25</sup>
	20.000	165.00	06/26/20	333.10	168.10 W	0.00	24 of 117 - Sale <sup>25</sup>
	3.000	24.75	06/26/20	49.97	25.22 W	0.00	25 of 117 - Sale <sup>25</sup>
	9.000	74.25	06/26/20	149.90	75.65 W	0.00	26 of 117 - Sale <sup>25</sup>
	16.000	131.99	06/26/20	266.48	134.49 W	0.00	27 of 117 - Sale <sup>25</sup>
	47.000	387.73	06/26/20	782.80	395.07 W	0.00	28 of 117 - Sale <sup>25</sup>
	3.000	24.75	06/26/20	49.97	25.22 W	0.00	29 of 117 - Sale <sup>25</sup>
	25.000	206.24	06/26/20	416.39	210.15 W	0.00	30 of 117 - Sale <sup>25</sup>
	25.000	206.24	06/26/20	350.01	143.77 W	0.00	31 of 117 - Sale <sup>25</sup>
	20.000	164.99	06/26/20	376.65	211.66 W	0.00	32 of 117 - Sale <sup>25</sup>
	30.000	247.49	06/26/20	564.97	317.48 W	0.00	33 of 117 - Sale <sup>25</sup>
	50.000	412.48	06/26/20	941.62	529.14 W	0.00	34 of 117 - Sale <sup>25</sup>
	50.000	412.49	06/26/20	941.62	529.13 W	0.00	35 of 117 - Sale <sup>25</sup>
	50.000	412.48	06/26/20	941.63	529.15 W	0.00	36 of 117 - Sale <sup>25</sup>
	25.000	206.24	06/26/20	470.82	264.58 W	0.00	37 of 117 - Sale <sup>25</sup>
	24.000	198.00	06/26/20	497.82	299.82 W	0.00	38 of 117 - Sale <sup>25</sup>
	1.000	8.66	06/26/20	20.74	12.08 W	0.00	39 of 117 - Sale <sup>25</sup>
	25.000	216.49	06/26/20	421.88	205.39 W	0.00	40 of 117 - Sale <sup>25</sup>
	75.000	649.48	06/26/20	1,265.67	616.19 W	0.00	41 of 117 - Sale <sup>25</sup>
	2.000	17.32	06/26/20	33.75	16.43 W	0.00	42 of 117 - Sale <sup>25</sup>
	3.000	25.98	06/26/20	50.63	24.65 W	0.00	43 of 117 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	3.000	25.98	06/26/20	50.63	24.65 W	0.00	44 of 117 - Sale <sup>25</sup>
	9.000	77.94	06/26/20	151.88	73.94 W	0.00	45 of 117 - Sale <sup>25</sup>
	16.000	138.55	06/26/20	270.01	131.46 W	0.00	46 of 117 - Sale <sup>25</sup>
	20.000	173.19	06/26/20	337.50	164.31 W	0.00	47 of 117 - Sale <sup>25</sup>
	47.000	407.01	06/26/20	793.16	386.15 W	0.00	48 of 117 - Sale <sup>25</sup>
	25.000	216.49	06/26/20	421.90	205.41 W	0.00	49 of 117 - Sale <sup>25</sup>
	25.000	216.49	06/26/20	355.52	139.03 W	0.00	50 of 117 - Sale <sup>25</sup>
	50.000	432.98	06/26/20	952.64	519.66 W	0.00	51 of 117 - Sale <sup>25</sup>
	20.000	173.19	06/26/20	381.06	207.87 W	0.00	52 of 117 - Sale <sup>25</sup>
	24.000	207.83	06/26/20	503.10	295.27 W	0.00	53 of 117 - Sale <sup>25</sup>
	25.000	216.49	06/26/20	476.33	259.84 W	0.00	54 of 117 - Sale <sup>25</sup>
	30.000	259.79	06/26/20	571.58	311.79 W	0.00	55 of 117 - Sale <sup>25</sup>
	50.000	432.99	06/26/20	952.63	519.64 W	0.00	56 of 117 - Sale <sup>25</sup>
	50.000	432.98	06/26/20	952.65	519.67 W	0.00	57 of 117 - Sale <sup>25</sup>
	1.000	8.66	06/26/20	20.56	11.90 W	0.00	58 of 117 - Sale <sup>25</sup>
	2.000	17.32	06/26/20	33.39	16.07 W	0.00	59 of 117 - Sale <sup>25</sup>
	3.000	25.98	06/26/20	50.09	24.11 W	0.00	60 of 117 - Sale <sup>25</sup>
	3.000	25.98	06/26/20	50.09	24.11 W	0.00	61 of 117 - Sale <sup>25</sup>
	9.000	77.94	06/26/20	150.26	72.32 W	0.00	62 of 117 - Sale <sup>25</sup>
	16.000	138.55	06/26/20	267.14	128.59 W	0.00	63 of 117 - Sale <sup>25</sup>
	20.000	173.19	06/26/20	333.91	160.72 W	0.00	64 of 117 - Sale <sup>25</sup>
	25.000	216.49	06/26/20	417.39	200.90 W	0.00	65 of 117 - Sale <sup>25</sup>
	25.000	216.49	06/26/20	417.41	200.92 W	0.00	66 of 117 - Sale <sup>25</sup>
	25.000	216.49	06/26/20	351.03	134.54 W	0.00	67 of 117 - Sale <sup>25</sup>
	47.000	407.01	06/26/20	784.71	377.70 W	0.00	68 of 117 - Sale <sup>25</sup>
	50.000	432.98	06/26/20	943.66	510.68 W	0.00	69 of 117 - Sale <sup>25</sup>
	75.000	649.48	06/26/20	1,252.19	602.71 W	0.00	70 of 117 - Sale <sup>25</sup>
	20.000	173.19	06/26/20	382.27	209.08 W	0.00	71 of 117 - Sale <sup>25</sup>
	24.000	207.84	06/26/20	504.55	296.71 W	0.00	72 of 117 - Sale <sup>25</sup>
	25.000	216.49	06/26/20	477.84	261.35 W	0.00	73 of 117 - Sale <sup>25</sup>
	30.000	259.79	06/26/20	573.39	313.60 W	0.00	74 of 117 - Sale <sup>25</sup>
	50.000	432.99	06/26/20	955.64	522.65 W	0.00	75 of 117 - Sale <sup>25</sup>
	50.000	432.98	06/26/20	955.67	522.69 W	0.00	76 of 117 - Sale <sup>25</sup>
	1.000	8.66	06/26/20	20.62	11.96 W	0.00	77 of 117 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	2.000	17.32	06/26/20	33.51	16.19 W	0.00	78 of 117 - Sale <sup>25</sup>
	3.000	25.98	06/26/20	50.27	24.29 W	0.00	79 of 117 - Sale <sup>25</sup>
	3.000	25.98	06/26/20	50.27	24.29 W	0.00	80 of 117 - Sale <sup>25</sup>
	9.000	77.94	06/26/20	150.80	72.86 W	0.00	81 of 117 - Sale <sup>25</sup>
	16.000	138.55	06/26/20	268.11	129.56 W	0.00	82 of 117 - Sale <sup>25</sup>
	20.000	173.19	06/26/20	335.12	161.93 W	0.00	83 of 117 - Sale <sup>25</sup>
	25.000	216.49	06/26/20	418.90	202.41 W	0.00	84 of 117 - Sale <sup>25</sup>
	25.000	216.49	06/26/20	418.92	202.43 W	0.00	85 of 117 - Sale <sup>25</sup>
	25.000	216.49	06/26/20	352.54	136.05 W	0.00	86 of 117 - Sale <sup>25</sup>
	47.000	407.00	06/26/20	787.54	380.54 W	0.00	87 of 117 - Sale <sup>25</sup>
	49.000	424.33	06/26/20	927.75	503.42 W	0.00	88 of 117 - Sale <sup>25</sup>
	75.000	649.48	06/26/20	1,256.71	607.23 W	0.00	89 of 117 - Sale <sup>25</sup>
	1.000	8.65	06/26/20	18.93	10.28 W	0.00	90 of 117 - Sale <sup>25</sup>
	400.000	3,459.87	06/26/20	3,488.00	28.13 W	0.00	91 of 117 - Sale <sup>25</sup>
	20.000	172.99	06/26/20	383.48	210.49 W	0.00	92 of 117 - Sale <sup>25</sup>
	30.000	259.49	06/26/20	575.19	315.70 W	0.00	93 of 117 - Sale <sup>25</sup>
	48.000	415.19	06/26/20	920.30	505.11 W	0.00	94 of 117 - Sale <sup>25</sup>
	1.000	8.87	06/26/20	19.17	10.30 W	0.00	95 of 117 - Sale <sup>25</sup>
	1.000	8.87	06/26/20	19.52	10.65 W	0.00	96 of 117 - Sale <sup>25</sup>
	1.000	8.87	06/26/20	21.03	12.16 W	0.00	97 of 117 - Sale <sup>25</sup>
	2.000	17.74	06/26/20	34.32	16.58 W	0.00	98 of 117 - Sale <sup>25</sup>
	3.000	26.61	06/26/20	51.49	24.88 W	0.00	99 of 117 - Sale <sup>25</sup>
	3.000	26.61	06/26/20	51.49	24.88 W	0.00	100 of 117 - Sale <sup>25</sup>
	9.000	79.83	06/26/20	154.46	74.63 W	0.00	101 of 117 - Sale <sup>25</sup>
	16.000	141.92	06/26/20	274.62	132.70 W	0.00	102 of 117 - Sale <sup>25</sup>
	20.000	177.39	06/26/20	343.25	165.86 W	0.00	103 of 117 - Sale <sup>25</sup>
	24.000	212.87	06/26/20	514.30	301.43 W	0.00	104 of 117 - Sale <sup>25</sup>
	25.000	221.74	06/26/20	488.00	266.26 W	0.00	105 of 117 - Sale <sup>25</sup>
	25.000	221.74	06/26/20	429.06	207.32 W	0.00	106 of 117 - Sale <sup>25</sup>
	25.000	221.74	06/26/20	429.09	207.35 W	0.00	107 of 117 - Sale <sup>25</sup>
	25.000	221.74	06/26/20	362.70	140.96 W	0.00	108 of 117 - Sale <sup>25</sup>
	47.000	416.87	06/26/20	806.65	389.78 W	0.00	109 of 117 - Sale <sup>25</sup>
	47.000	416.88	06/26/20	908.98	492.10 W	0.00	110 of 117 - Sale <sup>25</sup>
	50.000	443.48	06/26/20	976.00	532.52 W	0.00	111 of 117 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	75.000	665.23	06/26/20	1,287.19	621.96 W	0.00	112 of 117 - Sale <sup>25</sup>
	1.000	8.87	06/26/20	19.35	10.48 W	0.00	113 of 117 - Sale <sup>25</sup>
	2.000	17.74	06/26/20	38.68	20.94 W	0.00	114 of 117 - Sale <sup>25</sup>
	97.000	860.36	06/26/20	886.24	25.88 W	0.00	115 of 117 - Sale <sup>25</sup>
	1.000	9.05	06/26/20	9.14	0.09 W	0.00	116 of 117 - Sale <sup>25</sup>
	50.000	438.75	06/26/20	451.27	12.52 W	0.00	117 of 117 - Sale <sup>25</sup>
06/26/20	4,073.000	35,310.03	Various	61,992.17	26,682.14 W	0.00	Total of 117 transactions
2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	50.000	404.23	06/29/20	347.52	...	56.71	1 of 2 - Sale <sup>25</sup>
	100.000	808.47	06/29/20	695.03	...	113.44	2 of 2 - Sale <sup>25</sup>
07/01/20	150.000	1,212.70	Various	1,042.55	...	170.15	Total of 2 transactions
8 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	45.000	363.81	06/30/20	414.69	50.88 W	0.00	1 of 8 - Sale <sup>25</sup>
	3.000	24.25	06/30/20	27.63	3.38 W	0.00	2 of 8 - Sale <sup>25</sup>
	2.000	16.17	06/30/20	18.11	1.94 W	0.00	3 of 8 - Sale <sup>25</sup>
	25.000	202.12	06/30/20	236.26	34.14 W	0.00	4 of 8 - Sale <sup>25</sup>
	5.000	40.42	06/30/20	46.95	6.53 W	0.00	5 of 8 - Sale <sup>25</sup>
	8.000	67.60	07/01/20	153.43	85.83 W	0.00	6 of 8 - Sale <sup>25</sup>
	20.000	169.00	07/01/20	383.59	214.59 W	0.00	7 of 8 - Sale <sup>25</sup>
	22.000	185.90	07/01/20	191.96	6.06 W	0.00	8 of 8 - Sale <sup>25</sup>
07/01/20	130.000	1,069.27	Various	1,472.62	403.35 W	0.00	Total of 8 transactions
23 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	22.000	169.73	07/07/20	402.67	232.94 W	0.00	1 of 23 - Sale <sup>25</sup>
	28.000	216.02	07/07/20	512.49	296.47 W	0.00	2 of 23 - Sale <sup>25</sup>
	1.000	7.69	07/07/20	18.08	10.39 W	0.00	3 of 23 - Sale <sup>25</sup>
	1.000	7.68	07/07/20	18.43	10.75 W	0.00	4 of 23 - Sale <sup>25</sup>
	28.000	215.18	07/07/20	516.05	300.87 W	0.00	5 of 23 - Sale <sup>25</sup>
	20.000	153.70	07/07/20	366.06	212.36 W	0.00	6 of 23 - Sale <sup>25</sup>
	3.000	23.29	07/07/20	60.84	37.55 W	0.00	7 of 23 - Sale <sup>25</sup>
	22.000	170.82	07/07/20	404.15	233.33 W	0.00	8 of 23 - Sale <sup>25</sup>
	25.000	194.12	07/07/20	459.26	265.14 W	0.00	9 of 23 - Sale <sup>25</sup>
	1.000	7.76	07/07/20	19.91	12.15 W	0.00	10 of 23 - Sale <sup>25</sup>
	3.000	23.29	07/07/20	48.13	24.84 W	0.00	11 of 23 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	21.000	163.06	07/07/20	426.50	263.44 W	0.00	12 of 23 - Sale <sup>25</sup>
	25.000	194.12	07/07/20	401.07	206.95 W	0.00	13 of 23 - Sale <sup>25</sup>
	25.000	194.13	07/07/20	401.82	207.69 W	0.00	14 of 23 - Sale <sup>25</sup>
	25.000	192.25	07/07/20	401.82	209.57 W	0.00	15 of 23 - Sale <sup>25</sup>
	2.000	15.38	07/07/20	32.29	16.91 W	0.00	16 of 23 - Sale <sup>25</sup>
	1.000	7.69	07/07/20	16.15	8.46 W	0.00	17 of 23 - Sale <sup>25</sup>
	22.000	169.18	07/07/20	355.25	186.07 W	0.00	18 of 23 - Sale <sup>25</sup>
	3.000	23.08	07/07/20	48.45	25.37 W	0.00	19 of 23 - Sale <sup>25</sup>
	9.000	69.25	07/07/20	145.32	76.07 W	0.00	20 of 23 - Sale <sup>25</sup>
	16.000	123.11	07/07/20	258.38	135.27 W	0.00	21 of 23 - Sale <sup>25</sup>
	19.000	146.20	07/07/20	306.81	160.61 W	0.00	22 of 23 - Sale <sup>25</sup>
	28.000	215.46	07/07/20	452.15	236.69 W	0.00	23 of 23 - Sale <sup>25</sup>
07/07/20	350.000	2,702.19	Various	6,072.08	3,369.89 W	0.00	Total of 23 transactions
	13 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	1.000	8.45	07/07/20	19.84	11.39 W	0.00	1 of 13 - Sale <sup>25</sup>
	2.000	16.90	07/07/20	39.66	22.76 W	0.00	2 of 13 - Sale <sup>25</sup>
	3.000	25.35	07/07/20	52.96	27.61 W	0.00	3 of 13 - Sale <sup>25</sup>
	19.000	160.54	07/07/20	335.41	174.87 W	0.00	4 of 13 - Sale <sup>25</sup>
	25.000	211.24	07/07/20	441.35	230.11 W	0.00	5 of 13 - Sale <sup>25</sup>
	25.000	211.24	07/07/20	374.96	163.72 W	0.00	6 of 13 - Sale <sup>25</sup>
	28.000	236.59	07/07/20	269.55	32.96 W	0.00	7 of 13 - Sale <sup>25</sup>
	47.000	397.14	07/07/20	932.02	534.88 W	0.00	8 of 13 - Sale <sup>25</sup>
	50.000	422.49	07/07/20	485.34	62.85 W	0.00	9 of 13 - Sale <sup>25</sup>
	15.000	126.15	07/07/20	145.60	19.45 W	0.00	10 of 13 - Sale <sup>25</sup>
	1.000	8.41	07/07/20	9.53	1.12 W	0.00	11 of 13 - Sale <sup>25</sup>
	4.000	33.64	07/07/20	38.83	5.19 W	0.00	12 of 13 - Sale <sup>25</sup>
	30.000	252.30	07/07/20	290.71	38.41 W	0.00	13 of 13 - Sale <sup>25</sup>
07/08/20	250.000	2,110.44	Various	3,435.76	1,325.32 W	0.00	Total of 13 transactions
	10 transactions for 07/09/20. Total proceeds and cost reported to the IRS.						
	30.000	241.95	07/09/20	279.77	37.82 W	0.00	1 of 10 - Sale <sup>25</sup>
	20.000	161.30	07/09/20	168.91	7.61 W	0.00	2 of 10 - Sale <sup>25</sup>
	2.000	16.26	07/09/20	18.59	2.33 W	0.00	3 of 10 - Sale <sup>25</sup>
	3.000	24.39	07/09/20	28.35	3.96 W	0.00	4 of 10 - Sale <sup>25</sup>
	5.000	40.65	07/09/20	48.15	7.50 W	0.00	5 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	15.000	121.95	07/09/20	141.84	19.89 W	0.00	6 of 10 - Sale <sup>25</sup>
	25.000	203.25	07/09/20	242.27	39.02 W	0.00	7 of 10 - Sale <sup>25</sup>
	8.000	65.04	07/09/20	152.43	87.39 W	0.00	8 of 10 - Sale <sup>25</sup>
	20.000	162.59	07/09/20	381.09	218.50 W	0.00	9 of 10 - Sale <sup>25</sup>
	22.000	178.86	07/09/20	189.21	10.35 W	0.00	10 of 10 - Sale <sup>25</sup>
07/09/20	150.000	1,216.24	Various	1,650.61	434.37 W	0.00	Total of 10 transactions
07/10/20	10.000	79.15	07/10/20	186.28	107.13 W	0.00	Sale <sup>25</sup>
	55 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	1.000	9.74	07/13/20	19.51	9.77 W	0.00	1 of 55 - Sale <sup>25</sup>
	11.000	106.92	07/13/20	214.59	107.67 W	0.00	2 of 55 - Sale <sup>25</sup>
	13.000	126.36	07/13/20	253.61	127.25 W	0.00	3 of 55 - Sale <sup>25</sup>
	1.000	9.72	07/13/20	20.26	10.54 W	0.00	4 of 55 - Sale <sup>25</sup>
	1.000	9.72	07/13/20	20.62	10.90 W	0.00	5 of 55 - Sale <sup>25</sup>
	13.000	126.36	07/13/20	268.00	141.64 W	0.00	6 of 55 - Sale <sup>25</sup>
	15.000	145.79	07/13/20	306.87	161.08 W	0.00	7 of 55 - Sale <sup>25</sup>
	20.000	194.39	07/13/20	409.76	215.37 W	0.00	8 of 55 - Sale <sup>25</sup>
	13.000	126.36	07/13/20	269.82	143.46 W	0.00	9 of 55 - Sale <sup>25</sup>
	15.000	145.79	07/13/20	313.43	167.64 W	0.00	10 of 55 - Sale <sup>25</sup>
	22.000	213.83	07/13/20	456.63	242.80 W	0.00	11 of 55 - Sale <sup>25</sup>
	1.000	9.72	07/13/20	22.25	12.53 W	0.00	12 of 55 - Sale <sup>25</sup>
	3.000	29.16	07/13/20	67.84	38.68 W	0.00	13 of 55 - Sale <sup>25</sup>
	12.000	116.63	07/13/20	248.45	131.82 W	0.00	14 of 55 - Sale <sup>25</sup>
	8.000	77.76	07/13/20	147.01	69.25 W	0.00	15 of 55 - Sale <sup>25</sup>
	21.000	204.11	07/13/20	475.50	271.39 W	0.00	16 of 55 - Sale <sup>25</sup>
	5.000	59.35	07/13/20	91.88	32.53 W	0.00	17 of 55 - Sale <sup>25</sup>
	3.000	35.61	07/13/20	54.84	19.23 W	0.00	18 of 55 - Sale <sup>25</sup>
	12.000	142.43	07/13/20	219.34	76.91 W	0.00	19 of 55 - Sale <sup>25</sup>
	18.000	213.65	07/13/20	332.24	118.59 W	0.00	20 of 55 - Sale <sup>25</sup>
	25.000	296.74	07/13/20	457.69	160.95 W	0.00	21 of 55 - Sale <sup>25</sup>
	25.000	296.74	07/13/20	459.57	162.83 W	0.00	22 of 55 - Sale <sup>25</sup>
	1.000	11.84	07/13/20	18.46	6.62 W	0.00	23 of 55 - Sale <sup>25</sup>
	2.000	23.68	07/13/20	36.91	13.23 W	0.00	24 of 55 - Sale <sup>25</sup>
	5.000	59.20	07/13/20	92.27	33.07 W	0.00	25 of 55 - Sale <sup>25</sup>
	4.000	47.36	07/13/20	73.83	26.47 W	0.00	26 of 55 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	4.000	47.36	07/13/20	77.12	29.76 W	0.00	27 of 55 - Sale <sup>25</sup>
	3.000	35.52	07/13/20	59.38	23.86 W	0.00	28 of 55 - Sale <sup>25</sup>
	8.000	94.72	07/13/20	158.36	63.64 W	0.00	29 of 55 - Sale <sup>25</sup>
	19.000	224.95	07/13/20	376.08	151.13 W	0.00	30 of 55 - Sale <sup>25</sup>
	3.000	35.52	07/13/20	57.14	21.62 W	0.00	31 of 55 - Sale <sup>25</sup>
	9.000	106.56	07/13/20	171.38	64.82 W	0.00	32 of 55 - Sale <sup>25</sup>
	10.000	118.39	07/13/20	190.43	72.04 W	0.00	33 of 55 - Sale <sup>25</sup>
	16.000	189.43	07/13/20	304.71	115.28 W	0.00	34 of 55 - Sale <sup>25</sup>
	28.000	331.50	07/13/20	533.21	201.71 W	0.00	35 of 55 - Sale <sup>25</sup>
	3.000	35.52	07/13/20	68.85	33.33 W	0.00	36 of 55 - Sale <sup>25</sup>
	17.000	201.27	07/13/20	353.16	151.89 W	0.00	37 of 55 - Sale <sup>25</sup>
	25.000	295.99	07/13/20	452.97	156.98 W	0.00	38 of 55 - Sale <sup>25</sup>
	5.000	61.20	07/13/20	114.75	53.55 W	0.00	39 of 55 - Sale <sup>25</sup>
	1.000	12.24	07/13/20	23.55	11.31 W	0.00	40 of 55 - Sale <sup>25</sup>
	2.000	24.48	07/13/20	47.08	22.60 W	0.00	41 of 55 - Sale <sup>25</sup>
	25.000	305.99	07/13/20	335.43	29.44 W	0.00	42 of 55 - Sale <sup>25</sup>
	28.000	342.71	07/13/20	373.44	30.73 W	0.00	43 of 55 - Sale <sup>25</sup>
	39.000	477.34	07/13/20	918.08	440.74 W	0.00	44 of 55 - Sale <sup>25</sup>
	25.000	305.99	07/13/20	343.05	37.06 W	0.00	45 of 55 - Sale <sup>25</sup>
	1.000	12.00	07/13/20	13.76	1.76 W	0.00	46 of 55 - Sale <sup>25</sup>
	1.000	12.00	07/13/20	13.59	1.59 W	0.00	47 of 55 - Sale <sup>25</sup>
	4.000	48.00	07/13/20	55.05	7.05 W	0.00	48 of 55 - Sale <sup>25</sup>
	5.000	60.00	07/13/20	68.72	8.72 W	0.00	49 of 55 - Sale <sup>25</sup>
	14.000	168.00	07/13/20	192.66	24.66 W	0.00	50 of 55 - Sale <sup>25</sup>
	1.000	12.00	07/13/20	13.22	1.22 W	0.00	51 of 55 - Sale <sup>25</sup>
	15.000	180.00	07/13/20	198.31	18.31 W	0.00	52 of 55 - Sale <sup>25</sup>
	4.000	48.00	07/13/20	52.88	4.88 W	0.00	53 of 55 - Sale <sup>25</sup>
	3.000	36.00	07/13/20	39.66	3.66 W	0.00	54 of 55 - Sale <sup>25</sup>
	2.000	24.00	07/13/20	26.44	2.44 W	0.00	55 of 55 - Sale <sup>25</sup>
07/13/20	590.000	6,685.64	Various	10,983.64	4,298.00 W	0.00	Total of 55 transactions
	11 transactions for 07/14/20. Total proceeds and cost reported to the IRS.						
	30.000	394.80	07/13/20	391.97	...	2.83	1 of 11 - Sale <sup>25</sup>
	22.000	289.52	07/13/20	286.23	...	3.29	2 of 11 - Sale <sup>25</sup>
	20.000	263.20	07/13/20	243.71	...	19.49	3 of 11 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 527125207
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	1.000	15.97	07/14/20	15.96	...	0.01	4 of 11 - Sale <sup>25</sup>
	2.000	31.94	07/14/20	31.92	...	0.02	5 of 11 - Sale <sup>25</sup>
	3.000	47.91	07/14/20	47.88	...	0.03	6 of 11 - Sale <sup>25</sup>
	4.000	63.88	07/14/20	63.84	...	0.04	7 of 11 - Sale <sup>25</sup>
	15.000	239.54	07/14/20	239.41	...	0.13	8 of 11 - Sale <sup>25</sup>
	2.000	33.44	07/14/20	33.25	...	0.19	9 of 11 - Sale <sup>25</sup>
	5.000	79.85	07/14/20	75.00	...	4.85	10 of 11 - Sale <sup>25</sup>
	25.000	399.24	07/14/20	376.52	...	22.72	11 of 11 - Sale <sup>25</sup>
07/14/20	129.000	1,859.29	Various	1,805.69	...	53.60	Total of 11 transactions
	222 transactions for 07/14/20. Total proceeds and cost reported to the IRS.						
	2.000	26.32	07/13/20	26.79	0.47 W	0.00	1 of 222 - Sale <sup>25</sup>
	3.000	39.48	07/13/20	40.65	1.17 W	0.00	2 of 222 - Sale <sup>25</sup>
	5.000	65.80	07/13/20	68.65	2.85 W	0.00	3 of 222 - Sale <sup>25</sup>
	15.000	197.40	07/13/20	203.34	5.94 W	0.00	4 of 222 - Sale <sup>25</sup>
	25.000	329.00	07/13/20	344.77	15.77 W	0.00	5 of 222 - Sale <sup>25</sup>
	1.000	13.16	07/13/20	22.31	9.15 W	0.00	6 of 222 - Sale <sup>25</sup>
	1.000	13.16	07/13/20	23.08	9.92 W	0.00	7 of 222 - Sale <sup>25</sup>
	1.000	13.16	07/13/20	23.44	10.28 W	0.00	8 of 222 - Sale <sup>25</sup>
	8.000	105.28	07/13/20	187.71	82.43 W	0.00	9 of 222 - Sale <sup>25</sup>
	10.000	131.60	07/13/20	232.53	100.93 W	0.00	10 of 222 - Sale <sup>25</sup>
	11.000	144.76	07/13/20	245.61	100.85 W	0.00	11 of 222 - Sale <sup>25</sup>
	13.000	171.08	07/13/20	290.27	119.19 W	0.00	12 of 222 - Sale <sup>25</sup>
	13.000	171.08	07/13/20	304.66	133.58 W	0.00	13 of 222 - Sale <sup>25</sup>
	15.000	197.40	07/13/20	349.18	151.78 W	0.00	14 of 222 - Sale <sup>25</sup>
	15.000	197.40	07/13/20	355.74	158.34 W	0.00	15 of 222 - Sale <sup>25</sup>
	20.000	263.20	07/13/20	469.30	206.10 W	0.00	16 of 222 - Sale <sup>25</sup>
	20.000	263.20	07/13/20	466.17	202.97 W	0.00	17 of 222 - Sale <sup>25</sup>
	3.000	39.48	07/13/20	77.08	37.60 W	0.00	18 of 222 - Sale <sup>25</sup>
	12.000	157.92	07/13/20	285.42	127.50 W	0.00	19 of 222 - Sale <sup>25</sup>
	13.000	171.08	07/13/20	309.86	138.78 W	0.00	20 of 222 - Sale <sup>25</sup>
	20.000	263.21	07/13/20	514.47	251.26 W	0.00	21 of 222 - Sale <sup>25</sup>
	22.000	289.53	07/13/20	524.40	234.87 W	0.00	22 of 222 - Sale <sup>25</sup>
	1.000	13.16	07/13/20	25.72	12.56 W	0.00	23 of 222 - Sale <sup>25</sup>
	1.000	13.16	07/13/20	25.33	12.17 W	0.00	24 of 222 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	3.000	39.48	07/13/20	57.63	18.15 W	0.00	25 of 222 - Sale <sup>25</sup>
	5.000	65.80	07/13/20	96.53	30.73 W	0.00	26 of 222 - Sale <sup>25</sup>
	8.000	105.28	07/13/20	171.65	66.37 W	0.00	27 of 222 - Sale <sup>25</sup>
	12.000	157.93	07/13/20	230.51	72.58 W	0.00	28 of 222 - Sale <sup>25</sup>
	25.000	329.01	07/13/20	479.45	150.44 W	0.00	29 of 222 - Sale <sup>25</sup>
	16.000	239.99	07/14/20	371.51	131.52 W	0.00	30 of 222 - Sale <sup>25</sup>
	1.000	15.00	07/14/20	21.88	6.88 W	0.00	31 of 222 - Sale <sup>25</sup>
	2.000	30.00	07/14/20	43.76	13.76 W	0.00	32 of 222 - Sale <sup>25</sup>
	4.000	60.00	07/14/20	87.53	27.53 W	0.00	33 of 222 - Sale <sup>25</sup>
	4.000	59.99	07/14/20	87.52	27.53 W	0.00	34 of 222 - Sale <sup>25</sup>
	18.000	269.99	07/14/20	393.36	123.37 W	0.00	35 of 222 - Sale <sup>25</sup>
	25.000	374.99	07/14/20	544.46	169.47 W	0.00	36 of 222 - Sale <sup>25</sup>
	4.000	57.88	07/14/20	90.82	32.94 W	0.00	37 of 222 - Sale <sup>25</sup>
	1.000	14.47	07/14/20	21.88	7.41 W	0.00	38 of 222 - Sale <sup>25</sup>
	3.000	43.41	07/14/20	66.31	22.90 W	0.00	39 of 222 - Sale <sup>25</sup>
	3.000	43.41	07/14/20	66.31	22.90 W	0.00	40 of 222 - Sale <sup>25</sup>
	8.000	115.76	07/14/20	176.84	61.08 W	0.00	41 of 222 - Sale <sup>25</sup>
	15.000	217.04	07/14/20	346.27	129.23 W	0.00	42 of 222 - Sale <sup>25</sup>
	3.000	43.41	07/14/20	64.07	20.66 W	0.00	43 of 222 - Sale <sup>25</sup>
	9.000	130.22	07/14/20	192.17	61.95 W	0.00	44 of 222 - Sale <sup>25</sup>
	10.000	144.69	07/14/20	213.54	68.85 W	0.00	45 of 222 - Sale <sup>25</sup>
	16.000	231.51	07/14/20	341.68	110.17 W	0.00	46 of 222 - Sale <sup>25</sup>
	28.000	405.15	07/14/20	597.91	192.76 W	0.00	47 of 222 - Sale <sup>25</sup>
	1.000	14.46	07/14/20	25.37	10.91 W	0.00	48 of 222 - Sale <sup>25</sup>
	2.000	28.92	07/14/20	46.00	17.08 W	0.00	49 of 222 - Sale <sup>25</sup>
	2.000	28.92	07/14/20	50.73	21.81 W	0.00	50 of 222 - Sale <sup>25</sup>
	3.000	43.38	07/14/20	75.52	32.14 W	0.00	51 of 222 - Sale <sup>25</sup>
	5.000	72.30	07/14/20	123.88	51.58 W	0.00	52 of 222 - Sale <sup>25</sup>
	23.000	332.57	07/14/20	348.74	16.17 W	0.00	53 of 222 - Sale <sup>25</sup>
	25.000	361.49	07/14/20	508.61	147.12 W	0.00	54 of 222 - Sale <sup>25</sup>
	39.000	563.92	07/14/20	989.27	425.35 W	0.00	55 of 222 - Sale <sup>25</sup>
	5.000	72.30	07/14/20	78.07	5.77 W	0.00	56 of 222 - Sale <sup>25</sup>
	20.000	289.19	07/14/20	319.95	30.76 W	0.00	57 of 222 - Sale <sup>25</sup>
	25.000	361.49	07/14/20	392.31	30.82 W	0.00	58 of 222 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	1.000	14.46	07/14/20	16.52	2.06 W	0.00	59 of 222 - Sale <sup>25</sup>
	1.000	14.46	07/14/20	16.35	1.89 W	0.00	60 of 222 - Sale <sup>25</sup>
	4.000	57.84	07/14/20	66.09	8.25 W	0.00	61 of 222 - Sale <sup>25</sup>
	5.000	72.30	07/14/20	81.21	8.91 W	0.00	62 of 222 - Sale <sup>25</sup>
	14.000	202.43	07/14/20	231.30	28.87 W	0.00	63 of 222 - Sale <sup>25</sup>
	2.000	28.92	07/14/20	29.95	1.03 W	0.00	64 of 222 - Sale <sup>25</sup>
	3.000	43.38	07/14/20	45.39	2.01 W	0.00	65 of 222 - Sale <sup>25</sup>
	15.000	216.89	07/14/20	227.04	10.15 W	0.00	66 of 222 - Sale <sup>25</sup>
	5.000	72.29	07/14/20	82.42	10.13 W	0.00	67 of 222 - Sale <sup>25</sup>
	20.000	319.39	07/14/20	494.70	175.31 W	0.00	68 of 222 - Sale <sup>25</sup>
	1.000	15.97	07/14/20	23.56	7.59 W	0.00	69 of 222 - Sale <sup>25</sup>
	7.000	111.79	07/14/20	171.70	59.91 W	0.00	70 of 222 - Sale <sup>25</sup>
	8.000	127.76	07/14/20	197.71	69.95 W	0.00	71 of 222 - Sale <sup>25</sup>
	10.000	159.69	07/14/20	245.03	85.34 W	0.00	72 of 222 - Sale <sup>25</sup>
	11.000	175.66	07/14/20	259.36	83.70 W	0.00	73 of 222 - Sale <sup>25</sup>
	13.000	207.60	07/14/20	306.52	98.92 W	0.00	74 of 222 - Sale <sup>25</sup>
	8.000	127.76	07/14/20	203.35	75.59 W	0.00	75 of 222 - Sale <sup>25</sup>
	17.000	271.48	07/14/20	432.62	161.14 W	0.00	76 of 222 - Sale <sup>25</sup>
	1.000	15.97	07/14/20	25.40	9.43 W	0.00	77 of 222 - Sale <sup>25</sup>
	1.000	15.97	07/14/20	25.75	9.78 W	0.00	78 of 222 - Sale <sup>25</sup>
	3.000	47.91	07/14/20	76.88	28.97 W	0.00	79 of 222 - Sale <sup>25</sup>
	7.000	111.79	07/14/20	182.22	70.43 W	0.00	80 of 222 - Sale <sup>25</sup>
	13.000	207.60	07/14/20	334.75	127.15 W	0.00	81 of 222 - Sale <sup>25</sup>
	8.000	127.76	07/14/20	207.97	80.21 W	0.00	82 of 222 - Sale <sup>25</sup>
	17.000	271.48	07/14/20	443.97	172.49 W	0.00	83 of 222 - Sale <sup>25</sup>
	3.000	47.91	07/14/20	84.40	36.49 W	0.00	84 of 222 - Sale <sup>25</sup>
	5.000	79.85	07/14/20	131.38	51.53 W	0.00	85 of 222 - Sale <sup>25</sup>
	12.000	191.63	07/14/20	314.70	123.07 W	0.00	86 of 222 - Sale <sup>25</sup>
	13.000	207.60	07/14/20	341.58	133.98 W	0.00	87 of 222 - Sale <sup>25</sup>
	17.000	271.48	07/14/20	478.77	207.29 W	0.00	88 of 222 - Sale <sup>25</sup>
	1.000	15.97	07/14/20	28.10	12.13 W	0.00	89 of 222 - Sale <sup>25</sup>
	1.000	15.97	07/14/20	27.71	11.74 W	0.00	90 of 222 - Sale <sup>25</sup>
	3.000	47.91	07/14/20	84.31	36.40 W	0.00	91 of 222 - Sale <sup>25</sup>
	5.000	79.85	07/14/20	108.43	28.58 W	0.00	92 of 222 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	7.000	111.79	07/14/20	151.12	39.33 W	0.00	93 of 222 - Sale <sup>25</sup>
	8.000	127.76	07/14/20	190.69	62.93 W	0.00	94 of 222 - Sale <sup>25</sup>
	3.000	47.91	07/14/20	65.17	17.26 W	0.00	95 of 222 - Sale <sup>25</sup>
	5.000	79.85	07/14/20	108.62	28.77 W	0.00	96 of 222 - Sale <sup>25</sup>
	16.000	255.51	07/14/20	382.32	126.81 W	0.00	97 of 222 - Sale <sup>25</sup>
	25.000	399.24	07/14/20	542.32	143.08 W	0.00	98 of 222 - Sale <sup>25</sup>
	1.000	15.97	07/14/20	22.45	6.48 W	0.00	99 of 222 - Sale <sup>25</sup>
	1.000	15.97	07/14/20	22.55	6.58 W	0.00	100 of 222 - Sale <sup>25</sup>
	24.000	383.27	07/14/20	539.37	156.10 W	0.00	101 of 222 - Sale <sup>25</sup>
	1.000	15.97	07/14/20	23.12	7.15 W	0.00	102 of 222 - Sale <sup>25</sup>
	1.000	15.97	07/14/20	23.11	7.14 W	0.00	103 of 222 - Sale <sup>25</sup>
	2.000	31.94	07/14/20	46.23	14.29 W	0.00	104 of 222 - Sale <sup>25</sup>
	4.000	63.88	07/14/20	92.47	28.59 W	0.00	105 of 222 - Sale <sup>25</sup>
	17.000	271.48	07/14/20	392.52	121.04 W	0.00	106 of 222 - Sale <sup>25</sup>
	3.000	47.91	07/14/20	71.94	24.03 W	0.00	107 of 222 - Sale <sup>25</sup>
	3.000	47.91	07/14/20	71.95	24.04 W	0.00	108 of 222 - Sale <sup>25</sup>
	3.000	47.91	07/14/20	74.89	26.98 W	0.00	109 of 222 - Sale <sup>25</sup>
	8.000	127.75	07/14/20	191.87	64.12 W	0.00	110 of 222 - Sale <sup>25</sup>
	1.000	15.97	07/14/20	23.76	7.79 W	0.00	111 of 222 - Sale <sup>25</sup>
	3.000	47.91	07/14/20	69.69	21.78 W	0.00	112 of 222 - Sale <sup>25</sup>
	4.000	63.88	07/14/20	98.33	34.45 W	0.00	113 of 222 - Sale <sup>25</sup>
	2.000	31.94	07/14/20	50.19	18.25 W	0.00	114 of 222 - Sale <sup>25</sup>
	3.000	47.91	07/14/20	81.81	33.90 W	0.00	115 of 222 - Sale <sup>25</sup>
	5.000	79.85	07/14/20	134.35	54.50 W	0.00	116 of 222 - Sale <sup>25</sup>
	12.000	191.63	07/14/20	302.04	110.41 W	0.00	117 of 222 - Sale <sup>25</sup>
	12.000	191.63	07/14/20	329.54	137.91 W	0.00	118 of 222 - Sale <sup>25</sup>
	25.000	399.23	07/14/20	560.99	161.76 W	0.00	119 of 222 - Sale <sup>25</sup>
	3.000	47.91	07/14/20	70.33	22.42 W	0.00	120 of 222 - Sale <sup>25</sup>
	9.000	143.72	07/14/20	210.95	67.23 W	0.00	121 of 222 - Sale <sup>25</sup>
	10.000	159.69	07/14/20	234.40	74.71 W	0.00	122 of 222 - Sale <sup>25</sup>
	16.000	255.51	07/14/20	375.05	119.54 W	0.00	123 of 222 - Sale <sup>25</sup>
	3.000	47.91	07/14/20	70.32	22.41 W	0.00	124 of 222 - Sale <sup>25</sup>
	25.000	417.98	07/14/20	585.98	168.00 W	0.00	125 of 222 - Sale <sup>25</sup>
	25.000	417.98	07/14/20	676.79	258.81 W	0.00	126 of 222 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	1.000	16.72	07/14/20	27.05	10.33 W	0.00	127 of 222 - Sale <sup>25</sup>
	2.000	33.44	07/14/20	54.08	20.64 W	0.00	128 of 222 - Sale <sup>25</sup>
	2.000	33.44	07/14/20	54.08	20.64 W	0.00	129 of 222 - Sale <sup>25</sup>
	2.000	33.44	07/14/20	33.68	0.24 W	0.00	130 of 222 - Sale <sup>25</sup>
	1.000	16.73	07/14/20	18.20	1.47 W	0.00	131 of 222 - Sale <sup>25</sup>
	2.000	33.46	07/14/20	36.40	2.94 W	0.00	132 of 222 - Sale <sup>25</sup>
	5.000	83.65	07/14/20	86.44	2.79 W	0.00	133 of 222 - Sale <sup>25</sup>
	5.000	83.65	07/14/20	89.58	5.93 W	0.00	134 of 222 - Sale <sup>25</sup>
	14.000	234.21	07/14/20	254.76	20.55 W	0.00	135 of 222 - Sale <sup>25</sup>
	20.000	334.59	07/14/20	353.46	18.87 W	0.00	136 of 222 - Sale <sup>25</sup>
	21.000	351.32	07/14/20	353.59	2.27 W	0.00	137 of 222 - Sale <sup>25</sup>
	25.000	418.24	07/14/20	434.20	15.96 W	0.00	138 of 222 - Sale <sup>25</sup>
	7.000	117.10	07/14/20	117.51	0.41 W	0.00	139 of 222 - Sale <sup>25</sup>
	3.000	50.16	07/14/20	50.34	0.18 W	0.00	140 of 222 - Sale <sup>25</sup>
	8.000	133.76	07/14/20	134.29	0.53 W	0.00	141 of 222 - Sale <sup>25</sup>
	1.000	16.72	07/14/20	18.00	1.28 W	0.00	142 of 222 - Sale <sup>25</sup>
	1.000	16.72	07/14/20	23.70	6.98 W	0.00	143 of 222 - Sale <sup>25</sup>
	2.000	33.44	07/14/20	36.34	2.90 W	0.00	144 of 222 - Sale <sup>25</sup>
	5.000	83.60	07/14/20	90.68	7.08 W	0.00	145 of 222 - Sale <sup>25</sup>
	8.000	133.75	07/14/20	198.83	65.08 W	0.00	146 of 222 - Sale <sup>25</sup>
	8.000	133.76	07/14/20	189.75	55.99 W	0.00	147 of 222 - Sale <sup>25</sup>
	10.000	167.19	07/14/20	246.44	79.25 W	0.00	148 of 222 - Sale <sup>25</sup>
	20.000	334.39	07/14/20	497.51	163.12 W	0.00	149 of 222 - Sale <sup>25</sup>
	2.000	33.44	07/14/20	51.22	17.78 W	0.00	150 of 222 - Sale <sup>25</sup>
	3.000	50.16	07/14/20	71.31	21.15 W	0.00	151 of 222 - Sale <sup>25</sup>
	7.000	117.04	07/14/20	173.03	55.99 W	0.00	152 of 222 - Sale <sup>25</sup>
	13.000	217.35	07/14/20	309.00	91.65 W	0.00	153 of 222 - Sale <sup>25</sup>
	6.000	100.31	07/14/20	159.11	58.80 W	0.00	154 of 222 - Sale <sup>25</sup>
	1.000	16.72	07/14/20	26.55	9.83 W	0.00	155 of 222 - Sale <sup>25</sup>
	1.000	16.71	07/14/20	26.50	9.79 W	0.00	156 of 222 - Sale <sup>25</sup>
	1.000	16.71	07/14/20	26.85	10.14 W	0.00	157 of 222 - Sale <sup>25</sup>
	3.000	50.13	07/14/20	80.18	30.05 W	0.00	158 of 222 - Sale <sup>25</sup>
	5.000	83.55	07/14/20	136.88	53.33 W	0.00	159 of 222 - Sale <sup>25</sup>
	7.000	116.97	07/14/20	189.92	72.95 W	0.00	160 of 222 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	8.000	133.68	07/14/20	216.77	83.09 W	0.00	161 of 222 - Sale <sup>25</sup>
	8.000	133.67	07/14/20	218.61	84.94 W	0.00	162 of 222 - Sale <sup>25</sup>
	13.000	217.22	07/14/20	349.06	131.84 W	0.00	163 of 222 - Sale <sup>25</sup>
	13.000	217.22	07/14/20	355.89	138.67 W	0.00	164 of 222 - Sale <sup>25</sup>
	16.000	267.35	07/14/20	424.78	157.43 W	0.00	165 of 222 - Sale <sup>25</sup>
	17.000	284.06	07/14/20	462.68	178.62 W	0.00	166 of 222 - Sale <sup>25</sup>
	1.000	16.71	07/14/20	27.39	10.68 W	0.00	167 of 222 - Sale <sup>25</sup>
	1.000	16.71	07/14/20	27.42	10.71 W	0.00	168 of 222 - Sale <sup>25</sup>
	2.000	33.42	07/14/20	55.21	21.79 W	0.00	169 of 222 - Sale <sup>25</sup>
	3.000	50.13	07/14/20	88.54	38.41 W	0.00	170 of 222 - Sale <sup>25</sup>
	1.000	16.71	07/14/20	29.54	12.83 W	0.00	171 of 222 - Sale <sup>25</sup>
	1.000	16.71	07/14/20	29.48	12.77 W	0.00	172 of 222 - Sale <sup>25</sup>
	1.000	16.71	07/14/20	29.09	12.38 W	0.00	173 of 222 - Sale <sup>25</sup>
	3.000	50.13	07/14/20	88.45	38.32 W	0.00	174 of 222 - Sale <sup>25</sup>
	3.000	50.13	07/14/20	69.31	19.18 W	0.00	175 of 222 - Sale <sup>25</sup>
	5.000	83.55	07/14/20	115.33	31.78 W	0.00	176 of 222 - Sale <sup>25</sup>
	5.000	83.55	07/14/20	115.52	31.97 W	0.00	177 of 222 - Sale <sup>25</sup>
	7.000	116.97	07/14/20	160.78	43.81 W	0.00	178 of 222 - Sale <sup>25</sup>
	8.000	133.68	07/14/20	201.73	68.05 W	0.00	179 of 222 - Sale <sup>25</sup>
	16.000	267.35	07/14/20	404.41	137.06 W	0.00	180 of 222 - Sale <sup>25</sup>
	16.000	267.35	07/14/20	472.70	205.35 W	0.00	181 of 222 - Sale <sup>25</sup>
	25.000	417.73	07/14/20	576.83	159.10 W	0.00	182 of 222 - Sale <sup>25</sup>
	1.000	16.71	07/14/20	23.83	7.12 W	0.00	183 of 222 - Sale <sup>25</sup>
	3.000	50.13	07/14/20	71.56	21.43 W	0.00	184 of 222 - Sale <sup>25</sup>
	2.000	33.42	07/14/20	50.80	17.38 W	0.00	185 of 222 - Sale <sup>25</sup>
	4.000	66.83	07/14/20	95.58	28.75 W	0.00	186 of 222 - Sale <sup>25</sup>
	1.000	16.70	07/14/20	23.97	7.27 W	0.00	187 of 222 - Sale <sup>25</sup>
	1.000	16.70	07/14/20	24.54	7.84 W	0.00	188 of 222 - Sale <sup>25</sup>
	1.000	16.70	07/14/20	24.53	7.83 W	0.00	189 of 222 - Sale <sup>25</sup>
	2.000	33.40	07/14/20	49.07	15.67 W	0.00	190 of 222 - Sale <sup>25</sup>
	4.000	66.80	07/14/20	98.15	31.35 W	0.00	191 of 222 - Sale <sup>25</sup>
	17.000	283.89	07/14/20	416.67	132.78 W	0.00	192 of 222 - Sale <sup>25</sup>
	17.000	283.89	07/14/20	406.20	122.31 W	0.00	193 of 222 - Sale <sup>25</sup>
	1.000	14.23	07/14/20	24.83	10.60 W	0.00	194 of 222 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	2.000	28.46	07/14/20	51.88	23.42 W	0.00	195 of 222 - Sale <sup>25</sup>
	2.000	28.46	07/14/20	54.33	25.87 W	0.00	196 of 222 - Sale <sup>25</sup>
	3.000	42.69	07/14/20	74.48	31.79 W	0.00	197 of 222 - Sale <sup>25</sup>
	3.000	42.69	07/14/20	77.43	34.74 W	0.00	198 of 222 - Sale <sup>25</sup>
	3.000	42.69	07/14/20	84.35	41.66 W	0.00	199 of 222 - Sale <sup>25</sup>
	5.000	71.15	07/14/20	138.57	67.42 W	0.00	200 of 222 - Sale <sup>25</sup>
	8.000	113.84	07/14/20	198.64	84.80 W	0.00	201 of 222 - Sale <sup>25</sup>
	12.000	170.75	07/14/20	312.19	141.44 W	0.00	202 of 222 - Sale <sup>25</sup>
	12.000	170.75	07/14/20	339.69	168.94 W	0.00	203 of 222 - Sale <sup>25</sup>
	25.000	355.74	07/14/20	582.14	226.40 W	0.00	204 of 222 - Sale <sup>25</sup>
	1.000	14.23	07/14/20	24.60	10.37 W	0.00	205 of 222 - Sale <sup>25</sup>
	3.000	42.69	07/14/20	72.22	29.53 W	0.00	206 of 222 - Sale <sup>25</sup>
	3.000	42.69	07/14/20	72.86	30.17 W	0.00	207 of 222 - Sale <sup>25</sup>
	3.000	42.69	07/14/20	72.85	30.16 W	0.00	208 of 222 - Sale <sup>25</sup>
	4.000	56.92	07/14/20	101.71	44.79 W	0.00	209 of 222 - Sale <sup>25</sup>
	9.000	128.07	07/14/20	218.57	90.50 W	0.00	210 of 222 - Sale <sup>25</sup>
	10.000	142.30	07/14/20	242.86	100.56 W	0.00	211 of 222 - Sale <sup>25</sup>
	16.000	227.67	07/14/20	388.58	160.91 W	0.00	212 of 222 - Sale <sup>25</sup>
	25.000	355.74	07/14/20	588.38	232.64 W	0.00	213 of 222 - Sale <sup>25</sup>
	1.000	14.23	07/14/20	27.24	13.01 W	0.00	214 of 222 - Sale <sup>25</sup>
	2.000	28.46	07/14/20	54.46	26.00 W	0.00	215 of 222 - Sale <sup>25</sup>
	2.000	28.46	07/14/20	54.46	26.00 W	0.00	216 of 222 - Sale <sup>25</sup>
	2.000	28.46	07/14/20	34.06	5.60 W	0.00	217 of 222 - Sale <sup>25</sup>
	5.000	71.15	07/14/20	87.34	16.19 W	0.00	218 of 222 - Sale <sup>25</sup>
	19.000	270.36	07/14/20	339.22	68.86 W	0.00	219 of 222 - Sale <sup>25</sup>
	21.000	298.81	07/14/20	357.38	58.57 W	0.00	220 of 222 - Sale <sup>25</sup>
	23.000	327.28	07/14/20	627.04	299.76 W	0.00	221 of 222 - Sale <sup>25</sup>
	25.000	355.73	07/14/20	438.71	82.98 W	0.00	222 of 222 - Sale <sup>25</sup>
07/14/20	1,866.000	28,364.60	Various	42,247.84	13,883.24 W	0.00	Total of 222 transactions
3 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	3.000	45.30	07/14/20	44.37	...	0.93	1 of 3 - Sale <sup>25</sup>
	7.000	105.70	07/14/20	103.52	...	2.18	2 of 3 - Sale <sup>25</sup>
	8.000	120.80	07/14/20	118.37	...	2.43	3 of 3 - Sale <sup>25</sup>
07/15/20	18.000	271.80	Various	266.26	...	5.54	Total of 3 transactions

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Robinhood Securities LLC

Account 527125207

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
15 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	1.000	15.10	07/14/20	15.67	0.57 W	0.00	1 of 15 - Sale <sup>25</sup>
	1.000	15.10	07/14/20	16.20	1.10 W	0.00	2 of 15 - Sale <sup>25</sup>
	1.000	15.10	07/14/20	16.01	0.91 W	0.00	3 of 15 - Sale <sup>25</sup>
	1.000	15.10	07/14/20	21.71	6.61 W	0.00	4 of 15 - Sale <sup>25</sup>
	1.000	15.10	07/14/20	21.78	6.68 W	0.00	5 of 15 - Sale <sup>25</sup>
	2.000	30.20	07/14/20	32.40	2.20 W	0.00	6 of 15 - Sale <sup>25</sup>
	2.000	30.20	07/14/20	32.36	2.16 W	0.00	7 of 15 - Sale <sup>25</sup>
	3.000	45.30	07/14/20	65.34	20.04 W	0.00	8 of 15 - Sale <sup>25</sup>
	5.000	75.50	07/14/20	79.58	4.08 W	0.00	9 of 15 - Sale <sup>25</sup>
	5.000	75.50	07/14/20	80.73	5.23 W	0.00	10 of 15 - Sale <sup>25</sup>
	8.000	120.79	07/14/20	182.92	62.13 W	0.00	11 of 15 - Sale <sup>25</sup>
	8.000	120.79	07/14/20	173.83	53.04 W	0.00	12 of 15 - Sale <sup>25</sup>
	10.000	151.00	07/14/20	226.55	75.55 W	0.00	13 of 15 - Sale <sup>25</sup>
	14.000	211.39	07/14/20	226.77	15.38 W	0.00	14 of 15 - Sale <sup>25</sup>
	20.000	301.98	07/14/20	457.72	155.74 W	0.00	15 of 15 - Sale <sup>25</sup>
07/15/20	82.000	1,238.15	Various	1,649.57	411.42 W	0.00	Total of 15 transactions
60 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	3.000	47.25	07/15/20	66.90	19.65 W	0.00	1 of 60 - Sale <sup>25</sup>
	12.000	188.99	07/15/20	256.20	67.21 W	0.00	2 of 60 - Sale <sup>25</sup>
	1.000	15.75	07/15/20	24.07	8.32 W	0.00	3 of 60 - Sale <sup>25</sup>
	2.000	31.50	07/15/20	46.32	14.82 W	0.00	4 of 60 - Sale <sup>25</sup>
	4.000	63.00	07/15/20	89.07	26.07 W	0.00	5 of 60 - Sale <sup>25</sup>
	1.000	15.75	07/16/20	25.97	10.22 W	0.00	6 of 60 - Sale <sup>25</sup>
	1.000	15.75	07/16/20	25.93	10.18 W	0.00	7 of 60 - Sale <sup>25</sup>
	1.000	15.75	07/16/20	26.28	10.53 W	0.00	8 of 60 - Sale <sup>25</sup>
	1.000	15.75	07/16/20	26.81	11.06 W	0.00	9 of 60 - Sale <sup>25</sup>
	3.000	47.25	07/16/20	78.47	31.22 W	0.00	10 of 60 - Sale <sup>25</sup>
	5.000	78.75	07/16/20	129.70	50.95 W	0.00	11 of 60 - Sale <sup>25</sup>
	5.000	78.75	07/16/20	134.03	55.28 W	0.00	12 of 60 - Sale <sup>25</sup>
	7.000	110.25	07/16/20	185.93	75.68 W	0.00	13 of 60 - Sale <sup>25</sup>
	8.000	126.00	07/16/20	212.21	86.21 W	0.00	14 of 60 - Sale <sup>25</sup>
	13.000	204.74	07/16/20	341.66	136.92 W	0.00	15 of 60 - Sale <sup>25</sup>
	16.000	251.99	07/16/20	415.67	163.68 W	0.00	16 of 60 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	17.000	267.74	07/16/20	453.00	185.26 W	0.00	17 of 60 - Sale <sup>25</sup>
	1.000	15.75	07/16/20	26.85	11.10 W	0.00	18 of 60 - Sale <sup>25</sup>
	1.000	15.75	07/16/20	26.88	11.13 W	0.00	19 of 60 - Sale <sup>25</sup>
	1.000	15.75	07/16/20	29.00	13.25 W	0.00	20 of 60 - Sale <sup>25</sup>
	1.000	15.75	07/16/20	28.94	13.19 W	0.00	21 of 60 - Sale <sup>25</sup>
	1.000	15.75	07/16/20	28.55	12.80 W	0.00	22 of 60 - Sale <sup>25</sup>
	1.000	15.75	07/16/20	24.68	8.93 W	0.00	23 of 60 - Sale <sup>25</sup>
	2.000	31.50	07/16/20	54.13	22.63 W	0.00	24 of 60 - Sale <sup>25</sup>
	3.000	47.25	07/16/20	86.92	39.67 W	0.00	25 of 60 - Sale <sup>25</sup>
	3.000	47.25	07/16/20	86.83	39.58 W	0.00	26 of 60 - Sale <sup>25</sup>
	8.000	126.00	07/16/20	214.30	88.30 W	0.00	27 of 60 - Sale <sup>25</sup>
	12.000	188.99	07/16/20	322.04	133.05 W	0.00	28 of 60 - Sale <sup>25</sup>
	16.000	251.99	07/16/20	464.07	212.08 W	0.00	29 of 60 - Sale <sup>25</sup>
	2.000	31.50	07/16/20	50.00	18.50 W	0.00	30 of 60 - Sale <sup>25</sup>
	3.000	47.25	07/16/20	68.11	20.86 W	0.00	31 of 60 - Sale <sup>25</sup>
	5.000	78.75	07/16/20	113.33	34.58 W	0.00	32 of 60 - Sale <sup>25</sup>
	5.000	78.75	07/16/20	113.52	34.77 W	0.00	33 of 60 - Sale <sup>25</sup>
	7.000	110.25	07/16/20	173.71	63.46 W	0.00	34 of 60 - Sale <sup>25</sup>
	7.000	110.25	07/16/20	157.98	47.73 W	0.00	35 of 60 - Sale <sup>25</sup>
	16.000	251.99	07/16/20	398.02	146.03 W	0.00	36 of 60 - Sale <sup>25</sup>
	25.000	393.73	07/16/20	566.85	173.12 W	0.00	37 of 60 - Sale <sup>25</sup>
	1.000	15.75	07/16/20	23.43	7.68 W	0.00	38 of 60 - Sale <sup>25</sup>
	1.000	15.75	07/16/20	23.58	7.83 W	0.00	39 of 60 - Sale <sup>25</sup>
	3.000	47.25	07/16/20	70.36	23.11 W	0.00	40 of 60 - Sale <sup>25</sup>
	4.000	63.00	07/16/20	93.99	30.99 W	0.00	41 of 60 - Sale <sup>25</sup>
	4.000	63.00	07/16/20	96.48	33.48 W	0.00	42 of 60 - Sale <sup>25</sup>
	17.000	267.74	07/16/20	399.57	131.83 W	0.00	43 of 60 - Sale <sup>25</sup>
	1.000	15.75	07/16/20	26.55	10.80 W	0.00	44 of 60 - Sale <sup>25</sup>
	2.000	31.50	07/16/20	55.31	23.81 W	0.00	45 of 60 - Sale <sup>25</sup>
	2.000	31.50	07/16/20	57.76	26.26 W	0.00	46 of 60 - Sale <sup>25</sup>
	3.000	47.25	07/16/20	79.63	32.38 W	0.00	47 of 60 - Sale <sup>25</sup>
	3.000	47.25	07/16/20	82.57	35.32 W	0.00	48 of 60 - Sale <sup>25</sup>
	3.000	47.25	07/16/20	89.49	42.24 W	0.00	49 of 60 - Sale <sup>25</sup>
	5.000	78.75	07/16/20	147.15	68.40 W	0.00	50 of 60 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	8.000	125.99	07/16/20	212.36	86.37 W	0.00	51 of 60 - Sale <sup>25</sup>
	12.000	188.99	07/16/20	332.78	143.79 W	0.00	52 of 60 - Sale <sup>25</sup>
	12.000	188.99	07/16/20	360.28	171.29 W	0.00	53 of 60 - Sale <sup>25</sup>
	25.000	393.73	07/16/20	625.03	231.30 W	0.00	54 of 60 - Sale <sup>25</sup>
	1.000	15.75	07/16/20	23.78	8.03 W	0.00	55 of 60 - Sale <sup>25</sup>
	1.000	15.75	07/16/20	23.77	8.02 W	0.00	56 of 60 - Sale <sup>25</sup>
	2.000	31.50	07/16/20	47.56	16.06 W	0.00	57 of 60 - Sale <sup>25</sup>
	3.000	47.24	07/16/20	77.36	30.12 W	0.00	58 of 60 - Sale <sup>25</sup>
	4.000	63.00	07/16/20	95.13	32.13 W	0.00	59 of 60 - Sale <sup>25</sup>
	13.000	204.74	07/16/20	308.83	104.09 W	0.00	60 of 60 - Sale <sup>25</sup>
07/16/20	350.000	5,512.33	Various	8,925.68	3,413.35 W	0.00	Total of 60 transactions
17 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1.000	15.85	07/16/20	28.88	13.03 W	0.00	1 of 17 - Sale <sup>25</sup>
	1.000	15.85	07/16/20	18.67	2.82 W	0.00	2 of 17 - Sale <sup>25</sup>
	2.000	31.70	07/16/20	57.74	26.04 W	0.00	3 of 17 - Sale <sup>25</sup>
	2.000	31.70	07/16/20	57.74	26.04 W	0.00	4 of 17 - Sale <sup>25</sup>
	23.000	364.54	07/16/20	664.77	300.23 W	0.00	5 of 17 - Sale <sup>25</sup>
	1.000	15.85	07/16/20	26.24	10.39 W	0.00	6 of 17 - Sale <sup>25</sup>
	3.000	47.55	07/16/20	77.78	30.23 W	0.00	7 of 17 - Sale <sup>25</sup>
	3.000	47.55	07/16/20	77.77	30.22 W	0.00	8 of 17 - Sale <sup>25</sup>
	4.000	63.40	07/16/20	108.27	44.87 W	0.00	9 of 17 - Sale <sup>25</sup>
	9.000	142.64	07/16/20	233.33	90.69 W	0.00	10 of 17 - Sale <sup>25</sup>
	10.000	158.49	07/16/20	259.26	100.77 W	0.00	11 of 17 - Sale <sup>25</sup>
	16.000	253.59	07/16/20	414.83	161.24 W	0.00	12 of 17 - Sale <sup>25</sup>
	25.000	396.24	07/16/20	629.39	233.15 W	0.00	13 of 17 - Sale <sup>25</sup>
	1.000	15.85	07/16/20	18.60	2.75 W	0.00	14 of 17 - Sale <sup>25</sup>
	5.000	79.25	07/16/20	95.19	15.94 W	0.00	15 of 17 - Sale <sup>25</sup>
	21.000	332.84	07/16/20	390.37	57.53 W	0.00	16 of 17 - Sale <sup>25</sup>
	23.000	364.53	07/16/20	439.74	75.21 W	0.00	17 of 17 - Sale <sup>25</sup>
07/17/20	150.000	2,377.42	Various	3,598.57	1,221.15 W	0.00	Total of 17 transactions
2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	2.000	29.88	07/20/20	36.47	6.59 W	0.00	1 of 2 - Sale <sup>25</sup>
	3.000	44.82	07/20/20	55.62	10.80 W	0.00	2 of 2 - Sale <sup>25</sup>
07/20/20	5.000	74.70	Various	92.09	17.39 W	0.00	Total of 2 transactions

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(continued)

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
11 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	1.000	14.32	07/22/20	15.06	0.74 W	0.00	1 of 11 - Sale <sup>25</sup>
	1.000	14.32	07/22/20	15.59	1.27 W	0.00	2 of 11 - Sale <sup>25</sup>
	5.000	71.60	07/22/20	76.53	4.93 W	0.00	3 of 11 - Sale <sup>25</sup>
	13.000	186.16	07/22/20	202.65	16.49 W	0.00	4 of 11 - Sale <sup>25</sup>
	1.000	14.32	07/22/20	15.40	1.08 W	0.00	5 of 11 - Sale <sup>25</sup>
	2.000	28.64	07/22/20	31.18	2.54 W	0.00	6 of 11 - Sale <sup>25</sup>
	2.000	28.64	07/22/20	31.14	2.50 W	0.00	7 of 11 - Sale <sup>25</sup>
	3.000	42.96	07/22/20	66.83	23.87 W	0.00	8 of 11 - Sale <sup>25</sup>
	5.000	71.60	07/22/20	77.68	6.08 W	0.00	9 of 11 - Sale <sup>25</sup>
	1.000	14.32	07/22/20	15.59	1.27 W	0.00	10 of 11 - Sale <sup>25</sup>
	16.000	229.12	07/22/20	289.83	60.71 W	0.00	11 of 11 - Sale <sup>25</sup>
07/22/20	50.000	716.00	Various	837.48	121.48 W	0.00	Total of 11 transactions
26 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	1.000	12.39	07/24/20	18.97	6.58 W	0.00	1 of 26 - Sale <sup>25</sup>
	1.000	12.39	07/24/20	19.04	6.65 W	0.00	2 of 26 - Sale <sup>25</sup>
	1.000	12.39	07/24/20	20.68	8.29 W	0.00	3 of 26 - Sale <sup>25</sup>
	2.000	24.79	07/24/20	39.54	14.75 W	0.00	4 of 26 - Sale <sup>25</sup>
	3.000	37.18	07/24/20	57.12	19.94 W	0.00	5 of 26 - Sale <sup>25</sup>
	3.000	37.18	07/24/20	56.73	19.55 W	0.00	6 of 26 - Sale <sup>25</sup>
	4.000	49.58	07/24/20	75.51	25.93 W	0.00	7 of 26 - Sale <sup>25</sup>
	8.000	99.16	07/24/20	161.01	61.85 W	0.00	8 of 26 - Sale <sup>25</sup>
	8.000	99.16	07/24/20	151.92	52.76 W	0.00	9 of 26 - Sale <sup>25</sup>
	10.000	123.94	07/24/20	199.15	75.21 W	0.00	10 of 26 - Sale <sup>25</sup>
	12.000	148.74	07/24/20	215.53	66.79 W	0.00	11 of 26 - Sale <sup>25</sup>
	17.000	210.71	07/24/20	342.50	131.79 W	0.00	12 of 26 - Sale <sup>25</sup>
	1.000	12.39	07/24/20	22.58	10.19 W	0.00	13 of 26 - Sale <sup>25</sup>
	1.000	12.39	07/24/20	22.54	10.15 W	0.00	14 of 26 - Sale <sup>25</sup>
	1.000	12.39	07/24/20	22.89	10.50 W	0.00	15 of 26 - Sale <sup>25</sup>
	3.000	37.18	07/24/20	68.30	31.12 W	0.00	16 of 26 - Sale <sup>25</sup>
	3.000	37.18	07/24/20	68.67	31.49 W	0.00	17 of 26 - Sale <sup>25</sup>
	5.000	61.97	07/24/20	112.75	50.78 W	0.00	18 of 26 - Sale <sup>25</sup>
	16.000	198.32	07/24/20	361.43	163.11 W	0.00	19 of 26 - Sale <sup>25</sup>
	1.000	12.40	07/24/20	23.42	11.02 W	0.00	20 of 26 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	2.000	24.79	07/24/20	46.90	22.11 W	0.00	21 of 26 - Sale <sup>25</sup>
	5.000	61.97	07/24/20	117.08	55.11 W	0.00	22 of 26 - Sale <sup>25</sup>
	7.000	86.76	07/24/20	162.20	75.44 W	0.00	23 of 26 - Sale <sup>25</sup>
	8.000	99.16	07/24/20	185.09	85.93 W	0.00	24 of 26 - Sale <sup>25</sup>
	10.000	123.95	07/24/20	228.92	104.97 W	0.00	25 of 26 - Sale <sup>25</sup>
	17.000	210.72	07/24/20	395.38	184.66 W	0.00	26 of 26 - Sale <sup>25</sup>
07/24/20	150.000	1,859.18	Various	3,195.85	1,336.67 W	0.00	Total of 26 transactions
	17 transactions for 07/27/20. Total proceeds and cost reported to the IRS.						
	1.000	10.62	07/27/20	21.71	11.09 W	0.00	1 of 17 - Sale <sup>25</sup>
	1.000	10.62	07/27/20	21.74	11.12 W	0.00	2 of 17 - Sale <sup>25</sup>
	1.000	10.62	07/27/20	23.86	13.24 W	0.00	3 of 17 - Sale <sup>25</sup>
	1.000	10.62	07/27/20	23.80	13.18 W	0.00	4 of 17 - Sale <sup>25</sup>
	1.000	10.62	07/27/20	23.41	12.79 W	0.00	5 of 17 - Sale <sup>25</sup>
	1.000	10.62	07/27/20	19.54	8.92 W	0.00	6 of 17 - Sale <sup>25</sup>
	2.000	21.24	07/27/20	43.85	22.61 W	0.00	7 of 17 - Sale <sup>25</sup>
	3.000	31.86	07/27/20	71.50	39.64 W	0.00	8 of 17 - Sale <sup>25</sup>
	3.000	31.86	07/27/20	71.41	39.55 W	0.00	9 of 17 - Sale <sup>25</sup>
	5.000	53.09	07/27/20	87.63	34.54 W	0.00	10 of 17 - Sale <sup>25</sup>
	7.000	74.33	07/27/20	137.73	63.40 W	0.00	11 of 17 - Sale <sup>25</sup>
	8.000	84.96	07/27/20	173.18	88.22 W	0.00	12 of 17 - Sale <sup>25</sup>
	10.000	106.20	07/27/20	216.97	110.77 W	0.00	13 of 17 - Sale <sup>25</sup>
	16.000	169.91	07/27/20	381.84	211.93 W	0.00	14 of 17 - Sale <sup>25</sup>
	3.000	31.86	07/27/20	52.69	20.83 W	0.00	15 of 17 - Sale <sup>25</sup>
	5.000	53.10	07/27/20	87.82	34.72 W	0.00	16 of 17 - Sale <sup>25</sup>
	7.000	74.34	07/27/20	122.00	47.66 W	0.00	17 of 17 - Sale <sup>25</sup>
07/27/20	75.000	796.47	Various	1,580.68	784.21 W	0.00	Total of 17 transactions
	56 transactions for 07/28/20. Total proceeds and cost reported to the IRS.						
	8.000	96.00	07/27/20	163.82	67.82 W	0.00	1 of 56 - Sale <sup>25</sup>
	25.000	299.99	07/27/20	456.87	156.88 W	0.00	2 of 56 - Sale <sup>25</sup>
	1.000	12.00	07/27/20	22.15	10.15 W	0.00	3 of 56 - Sale <sup>25</sup>
	1.000	12.00	07/27/20	22.14	10.14 W	0.00	4 of 56 - Sale <sup>25</sup>
	2.000	24.00	07/27/20	41.20	17.20 W	0.00	5 of 56 - Sale <sup>25</sup>
	8.000	96.00	07/27/20	163.81	67.81 W	0.00	6 of 56 - Sale <sup>25</sup>
	1.000	12.00	07/27/20	19.03	7.03 W	0.00	7 of 56 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	1.000	12.00	07/27/20	19.18	7.18 W	0.00	8 of 56 - Sale <sup>25</sup>
	3.000	36.00	07/27/20	57.16	21.16 W	0.00	9 of 56 - Sale <sup>25</sup>
	4.000	48.00	07/27/20	76.39	28.39 W	0.00	10 of 56 - Sale <sup>25</sup>
	4.000	48.00	07/27/20	78.88	30.88 W	0.00	11 of 56 - Sale <sup>25</sup>
	17.000	203.99	07/27/20	324.78	120.79 W	0.00	12 of 56 - Sale <sup>25</sup>
	2.000	24.00	07/28/20	45.99	21.99 W	0.00	13 of 56 - Sale <sup>25</sup>
	3.000	36.00	07/28/20	71.92	35.92 W	0.00	14 of 56 - Sale <sup>25</sup>
	8.000	95.99	07/28/20	183.97	87.98 W	0.00	15 of 56 - Sale <sup>25</sup>
	12.000	143.99	07/28/20	290.19	146.20 W	0.00	16 of 56 - Sale <sup>25</sup>
	2.000	24.00	07/28/20	48.31	24.31 W	0.00	17 of 56 - Sale <sup>25</sup>
	2.000	24.00	07/28/20	50.76	26.76 W	0.00	18 of 56 - Sale <sup>25</sup>
	3.000	36.00	07/28/20	78.99	42.99 W	0.00	19 of 56 - Sale <sup>25</sup>
	5.000	60.00	07/28/20	129.65	69.65 W	0.00	20 of 56 - Sale <sup>25</sup>
	12.000	143.99	07/28/20	318.29	174.30 W	0.00	21 of 56 - Sale <sup>25</sup>
	25.000	299.99	07/28/20	537.55	237.56 W	0.00	22 of 56 - Sale <sup>25</sup>
	1.000	12.00	07/28/20	20.26	8.26 W	0.00	23 of 56 - Sale <sup>25</sup>
	1.000	12.00	07/28/20	25.28	13.28 W	0.00	24 of 56 - Sale <sup>25</sup>
	1.000	12.00	07/28/20	15.07	3.07 W	0.00	25 of 56 - Sale <sup>25</sup>
	2.000	24.00	07/28/20	50.54	26.54 W	0.00	26 of 56 - Sale <sup>25</sup>
	2.000	24.00	07/28/20	50.54	26.54 W	0.00	27 of 56 - Sale <sup>25</sup>
	23.000	275.99	07/28/20	581.98	305.99 W	0.00	28 of 56 - Sale <sup>25</sup>
	1.000	12.00	07/28/20	22.64	10.64 W	0.00	29 of 56 - Sale <sup>25</sup>
	3.000	36.00	07/28/20	66.98	30.98 W	0.00	30 of 56 - Sale <sup>25</sup>
	4.000	48.00	07/28/20	93.87	45.87 W	0.00	31 of 56 - Sale <sup>25</sup>
	3.000	36.00	07/28/20	66.98	30.98 W	0.00	32 of 56 - Sale <sup>25</sup>
	10.000	119.99	07/28/20	223.27	103.28 W	0.00	33 of 56 - Sale <sup>25</sup>
	2.000	24.00	07/28/20	44.65	20.65 W	0.00	34 of 56 - Sale <sup>25</sup>
	1.000	12.00	07/28/20	20.28	8.28 W	0.00	35 of 56 - Sale <sup>25</sup>
	1.000	12.00	07/28/20	20.27	8.27 W	0.00	36 of 56 - Sale <sup>25</sup>
	2.000	24.00	07/28/20	40.56	16.56 W	0.00	37 of 56 - Sale <sup>25</sup>
	3.000	36.00	07/28/20	66.87	30.87 W	0.00	38 of 56 - Sale <sup>25</sup>
	4.000	48.00	07/28/20	81.13	33.13 W	0.00	39 of 56 - Sale <sup>25</sup>
	12.000	144.00	07/28/20	243.08	99.08 W	0.00	40 of 56 - Sale <sup>25</sup>
	1.000	11.72	07/28/20	14.85	3.13 W	0.00	41 of 56 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	5.000	58.60	07/28/20	76.41	17.81 W	0.00	42 of 56 - Sale <sup>25</sup>
	21.000	246.11	07/28/20	311.52	65.41 W	0.00	43 of 56 - Sale <sup>25</sup>
	23.000	269.55	07/28/20	353.40	83.85 W	0.00	44 of 56 - Sale <sup>25</sup>
	2.000	23.44	07/28/20	30.78	7.34 W	0.00	45 of 56 - Sale <sup>25</sup>
	3.000	35.16	07/28/20	66.50	31.34 W	0.00	46 of 56 - Sale <sup>25</sup>
	4.000	46.88	07/28/20	88.69	41.81 W	0.00	47 of 56 - Sale <sup>25</sup>
	16.000	187.51	07/28/20	354.76	167.25 W	0.00	48 of 56 - Sale <sup>25</sup>
	25.000	292.99	07/28/20	535.53	242.54 W	0.00	49 of 56 - Sale <sup>25</sup>
	1.000	11.72	07/28/20	12.84	1.12 W	0.00	50 of 56 - Sale <sup>25</sup>
	1.000	11.72	07/28/20	13.37	1.65 W	0.00	51 of 56 - Sale <sup>25</sup>
	1.000	11.72	07/28/20	13.37	1.65 W	0.00	52 of 56 - Sale <sup>25</sup>
	1.000	11.72	07/28/20	13.37	1.65 W	0.00	53 of 56 - Sale <sup>25</sup>
	5.000	58.60	07/28/20	65.43	6.83 W	0.00	54 of 56 - Sale <sup>25</sup>
	13.000	152.35	07/28/20	173.78	21.43 W	0.00	55 of 56 - Sale <sup>25</sup>
	3.000	35.16	07/28/20	47.10	11.94 W	0.00	56 of 56 - Sale <sup>25</sup>
07/28/20	350.000	4,164.87	Various	7,106.98	2,942.11 W	0.00	Total of 56 transactions
	12 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	10.000	99.25	07/30/20	177.41	...	-78.16	1 of 12 - Sale <sup>25</sup>
	12.000	119.10	07/30/20	189.43	...	-70.33	2 of 12 - Sale <sup>25</sup>
	8.000	79.40	07/30/20	134.52	...	-55.12	3 of 12 - Sale <sup>25</sup>
	4.000	39.70	07/30/20	66.81	...	-27.11	4 of 12 - Sale <sup>25</sup>
	3.000	29.77	07/30/20	53.85	...	-24.08	5 of 12 - Sale <sup>25</sup>
	2.000	19.85	07/30/20	40.75	...	-20.90	6 of 12 - Sale <sup>25</sup>
	3.000	29.78	07/30/20	50.60	...	-20.82	7 of 12 - Sale <sup>25</sup>
	3.000	29.78	07/30/20	50.21	...	-20.43	8 of 12 - Sale <sup>25</sup>
	2.000	19.85	07/30/20	35.19	...	-15.34	9 of 12 - Sale <sup>25</sup>
	1.000	9.92	07/30/20	18.51	...	-8.59	10 of 12 - Sale <sup>25</sup>
	1.000	9.92	07/30/20	16.87	...	-6.95	11 of 12 - Sale <sup>25</sup>
	1.000	9.92	07/30/20	16.80	...	-6.88	12 of 12 - Sale <sup>25</sup>
07/30/20	50.000	496.24	Various	850.95	...	-354.71	Total of 12 transactions
	9 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	10.000	99.25	07/29/20	137.44	22.91 W	-15.28	1 of 9 - Sale <sup>25</sup>
	1.000	9.92	07/29/20	11.29	1.37 W	0.00	2 of 9 - Sale <sup>25</sup>
	1.000	9.92	07/29/20	11.10	1.18 W	0.00	3 of 9 - Sale <sup>25</sup>

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(continued)

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	2.000	19.85	07/29/20	22.54	2.69 W	0.00	4 of 9 - Sale <sup>25</sup>
	3.000	29.77	07/29/20	53.93	24.16 W	0.00	5 of 9 - Sale <sup>25</sup>
	5.000	49.62	07/29/20	56.18	6.56 W	0.00	6 of 9 - Sale <sup>25</sup>
	6.000	59.55	07/29/20	82.89	23.34 W	0.00	7 of 9 - Sale <sup>25</sup>
	5.000	49.62	07/29/20	88.41	38.79 W	0.00	8 of 9 - Sale <sup>25</sup>
	17.000	168.72	07/29/20	300.93	132.21 W	0.00	9 of 9 - Sale <sup>25</sup>
07/30/20	50.000	496.22	Various	764.71	253.21 W	-15.28	Total of 9 transactions
	98 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	23.000	233.21	08/05/20	541.05	...	-307.84	1 of 98 - Sale <sup>25</sup>
	25.000	253.49	08/05/20	498.04	...	-244.55	2 of 98 - Sale <sup>25</sup>
	25.000	253.49	08/05/20	493.06	...	-239.57	3 of 98 - Sale <sup>25</sup>
	16.000	163.67	08/05/20	380.09	...	-216.42	4 of 98 - Sale <sup>25</sup>
	17.000	173.90	08/05/20	363.16	...	-189.26	5 of 98 - Sale <sup>25</sup>
	12.000	121.67	08/05/20	296.94	...	-175.27	6 of 98 - Sale <sup>25</sup>
	16.000	162.23	08/05/20	330.77	...	-168.54	7 of 98 - Sale <sup>25</sup>
	16.000	163.67	08/05/20	331.11	...	-167.44	8 of 98 - Sale <sup>25</sup>
	25.000	255.74	08/05/20	419.63	...	-163.89	9 of 98 - Sale <sup>25</sup>
	17.000	167.71	08/05/20	300.17	...	-132.46	10 of 98 - Sale <sup>25</sup>
	17.000	173.89	08/05/20	299.46	...	-125.57	11 of 98 - Sale <sup>25</sup>
	10.000	102.30	08/05/20	215.77	...	-113.47	12 of 98 - Sale <sup>25</sup>
	9.000	92.06	08/05/20	204.24	...	-112.18	13 of 98 - Sale <sup>25</sup>
	10.000	102.30	08/05/20	209.97	...	-107.67	14 of 98 - Sale <sup>25</sup>
	10.000	101.40	08/05/20	205.48	...	-104.08	15 of 98 - Sale <sup>25</sup>
	12.000	121.67	08/05/20	221.72	...	-100.05	16 of 98 - Sale <sup>25</sup>
	8.000	81.84	08/05/20	172.22	...	-90.38	17 of 98 - Sale <sup>25</sup>
	8.000	81.83	08/05/20	172.06	...	-90.23	18 of 98 - Sale <sup>25</sup>
	8.000	81.84	08/05/20	169.93	...	-88.09	19 of 98 - Sale <sup>25</sup>
	23.000	233.21	08/05/20	318.91	...	-85.70	20 of 98 - Sale <sup>25</sup>
	7.000	71.61	08/05/20	148.94	...	-77.33	21 of 98 - Sale <sup>25</sup>
	8.000	81.84	08/05/20	151.90	...	-70.06	22 of 98 - Sale <sup>25</sup>
	8.000	81.84	08/05/20	151.89	...	-70.05	23 of 98 - Sale <sup>25</sup>
	5.000	50.70	08/05/20	120.75	...	-70.05	24 of 98 - Sale <sup>25</sup>
	21.000	212.93	08/05/20	280.03	...	-67.10	25 of 98 - Sale <sup>25</sup>
	7.000	71.61	08/05/20	136.97	...	-65.36	26 of 98 - Sale <sup>25</sup>

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(continued)

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	5.000	51.15	08/05/20	107.61	...	-56.46	27 of 98 - Sale <sup>25</sup>
	7.000	71.60	08/05/20	121.23	...	-49.63	28 of 98 - Sale <sup>25</sup>
	4.000	40.56	08/05/20	86.75	...	-46.19	29 of 98 - Sale <sup>25</sup>
	3.000	30.42	08/05/20	73.65	...	-43.23	30 of 98 - Sale <sup>25</sup>
	4.000	40.56	08/05/20	82.69	...	-42.13	31 of 98 - Sale <sup>25</sup>
	3.000	30.69	08/05/20	71.17	...	-40.48	32 of 98 - Sale <sup>25</sup>
	3.000	30.69	08/05/20	71.08	...	-40.39	33 of 98 - Sale <sup>25</sup>
	5.000	49.32	08/05/20	88.04	...	-38.72	34 of 98 - Sale <sup>25</sup>
	3.000	30.42	08/05/20	67.21	...	-36.79	35 of 98 - Sale <sup>25</sup>
	3.000	30.69	08/05/20	67.45	...	-36.76	36 of 98 - Sale <sup>25</sup>
	5.000	51.15	08/05/20	87.27	...	-36.12	37 of 98 - Sale <sup>25</sup>
	5.000	51.15	08/05/20	87.09	...	-35.94	38 of 98 - Sale <sup>25</sup>
	4.000	40.56	08/05/20	74.01	...	-33.45	39 of 98 - Sale <sup>25</sup>
	3.000	30.69	08/05/20	62.99	...	-32.30	40 of 98 - Sale <sup>25</sup>
	4.000	40.92	08/05/20	72.92	...	-32.00	41 of 98 - Sale <sup>25</sup>
	3.000	30.69	08/05/20	62.62	...	-31.93	42 of 98 - Sale <sup>25</sup>
	3.000	30.42	08/05/20	62.00	...	-31.58	43 of 98 - Sale <sup>25</sup>
	3.000	30.69	08/05/20	61.97	...	-31.28	44 of 98 - Sale <sup>25</sup>
	3.000	30.42	08/05/20	61.64	...	-31.22	45 of 98 - Sale <sup>25</sup>
	3.000	30.42	08/05/20	61.64	...	-31.22	46 of 98 - Sale <sup>25</sup>
	3.000	30.41	08/05/20	61.53	...	-31.12	47 of 98 - Sale <sup>25</sup>
	4.000	40.92	08/05/20	70.43	...	-29.51	48 of 98 - Sale <sup>25</sup>
	2.000	20.28	08/05/20	47.20	...	-26.92	49 of 98 - Sale <sup>25</sup>
	2.000	20.28	08/05/20	46.98	...	-26.70	50 of 98 - Sale <sup>25</sup>
	2.000	20.28	08/05/20	46.98	...	-26.70	51 of 98 - Sale <sup>25</sup>
	2.000	20.28	08/05/20	44.75	...	-24.47	52 of 98 - Sale <sup>25</sup>
	6.000	59.19	08/05/20	82.62	...	-23.43	53 of 98 - Sale <sup>25</sup>
	2.000	20.46	08/05/20	43.61	...	-23.15	54 of 98 - Sale <sup>25</sup>
	6.000	59.19	08/05/20	82.01	...	-22.82	55 of 98 - Sale <sup>25</sup>
	2.000	20.46	08/05/20	43.11	...	-22.65	56 of 98 - Sale <sup>25</sup>
	2.000	20.46	08/05/20	43.01	...	-22.55	57 of 98 - Sale <sup>25</sup>
	13.000	131.82	08/05/20	154.29	...	-22.47	58 of 98 - Sale <sup>25</sup>
	3.000	30.69	08/05/20	52.69	...	-22.00	59 of 98 - Sale <sup>25</sup>
	3.000	30.69	08/05/20	52.36	...	-21.67	60 of 98 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	2.000	20.28	08/05/20	41.09	...	-20.81	61 of 98 - Sale <sup>25</sup>
	5.000	50.70	08/05/20	68.91	...	-18.21	62 of 98 - Sale <sup>25</sup>
	2.000	20.46	08/05/20	38.22	...	-17.76	63 of 98 - Sale <sup>25</sup>
	2.000	20.28	08/05/20	37.00	...	-16.72	64 of 98 - Sale <sup>25</sup>
	2.000	19.73	08/05/20	35.87	...	-16.14	65 of 98 - Sale <sup>25</sup>
	1.000	10.23	08/05/20	23.75	...	-13.52	66 of 98 - Sale <sup>25</sup>
	1.000	10.23	08/05/20	23.69	...	-13.46	67 of 98 - Sale <sup>25</sup>
	1.000	10.14	08/05/20	23.50	...	-13.36	68 of 98 - Sale <sup>25</sup>
	1.000	10.23	08/05/20	23.30	...	-13.07	69 of 98 - Sale <sup>25</sup>
	3.000	29.59	08/05/20	41.61	...	-12.02	70 of 98 - Sale <sup>25</sup>
	1.000	10.23	08/05/20	21.62	...	-11.39	71 of 98 - Sale <sup>25</sup>
	1.000	10.23	08/05/20	21.59	...	-11.36	72 of 98 - Sale <sup>25</sup>
	1.000	10.23	08/05/20	21.52	...	-11.29	73 of 98 - Sale <sup>25</sup>
	1.000	10.23	08/05/20	21.00	...	-10.77	74 of 98 - Sale <sup>25</sup>
	1.000	10.14	08/05/20	20.86	...	-10.72	75 of 98 - Sale <sup>25</sup>
	1.000	10.23	08/05/20	20.69	...	-10.46	76 of 98 - Sale <sup>25</sup>
	1.000	10.23	08/05/20	20.66	...	-10.43	77 of 98 - Sale <sup>25</sup>
	1.000	10.23	08/05/20	20.65	...	-10.42	78 of 98 - Sale <sup>25</sup>
	1.000	10.23	08/05/20	20.65	...	-10.42	79 of 98 - Sale <sup>25</sup>
	1.000	10.23	08/05/20	19.43	...	-9.20	80 of 98 - Sale <sup>25</sup>
	1.000	10.14	08/05/20	18.50	...	-8.36	81 of 98 - Sale <sup>25</sup>
	1.000	10.14	08/05/20	18.49	...	-8.35	82 of 98 - Sale <sup>25</sup>
	1.000	10.14	08/05/20	18.48	...	-8.34	83 of 98 - Sale <sup>25</sup>
	1.000	9.87	08/05/20	17.94	...	-8.07	84 of 98 - Sale <sup>25</sup>
	2.000	20.28	08/05/20	27.78	...	-7.50	85 of 98 - Sale <sup>25</sup>
	1.000	10.23	08/05/20	17.69	...	-7.46	86 of 98 - Sale <sup>25</sup>
	1.000	10.23	08/05/20	17.54	...	-7.31	87 of 98 - Sale <sup>25</sup>
	5.000	50.70	08/05/20	57.93	...	-7.23	88 of 98 - Sale <sup>25</sup>
	5.000	49.32	08/05/20	56.01	...	-6.69	89 of 98 - Sale <sup>25</sup>
	1.000	10.14	08/05/20	13.35	...	-3.21	90 of 98 - Sale <sup>25</sup>
	1.000	10.14	08/05/20	13.29	...	-3.15	91 of 98 - Sale <sup>25</sup>
	2.000	19.73	08/05/20	22.47	...	-2.74	92 of 98 - Sale <sup>25</sup>
	1.000	10.14	08/05/20	11.87	...	-1.73	93 of 98 - Sale <sup>25</sup>
	1.000	10.14	08/05/20	11.87	...	-1.73	94 of 98 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	1.000	10.14	08/05/20	11.87	...	-1.73	95 of 98 - Sale <sup>25</sup>
	1.000	9.87	08/05/20	11.26	...	-1.39	96 of 98 - Sale <sup>25</sup>
	1.000	9.86	08/05/20	11.07	...	-1.21	97 of 98 - Sale <sup>25</sup>
	1.000	10.14	08/05/20	11.34	...	-1.20	98 of 98 - Sale <sup>25</sup>
08/05/20	549.000	5,575.70	Various	10,471.22	...	-4,895.52	Total of 98 transactions
09/15/20	500.000	3,275.41	09/15/20	3,284.45	9.04 W	0.00	Sale <sup>25</sup>
<i>8 transactions for 09/21/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	856.97	09/21/20	866.81	9.84 W	0.00	1 of 8 - Sale <sup>25</sup>
	100.000	856.97	09/21/20	866.81	9.84 W	0.00	2 of 8 - Sale <sup>25</sup>
	100.000	856.97	09/21/20	866.81	9.84 W	0.00	3 of 8 - Sale <sup>25</sup>
	100.000	856.97	09/21/20	866.81	9.84 W	0.00	4 of 8 - Sale <sup>25</sup>
	100.000	856.97	09/21/20	866.80	9.83 W	0.00	5 of 8 - Sale <sup>25</sup>
	4.000	34.28	09/21/20	35.79	1.51 W	0.00	6 of 8 - Sale <sup>25</sup>
	96.000	822.69	09/21/20	859.05	36.36 W	0.00	7 of 8 - Sale <sup>25</sup>
	66.000	565.66	09/21/20	590.59	24.93 W	0.00	8 of 8 - Sale <sup>25</sup>
09/21/20	666.000	5,707.48	Various	5,819.47	111.99 W	0.00	Total of 8 transactions
<i>2 transactions for 09/22/20. Total proceeds and cost reported to the IRS.</i>							
	6.000	52.56	09/21/20	51.11	...	1.45	1 of 2 - Sale <sup>25</sup>
	34.000	297.87	09/21/20	289.63	...	8.24	2 of 2 - Sale <sup>25</sup>
09/22/20	40.000	350.43	Various	340.74	...	9.69	Total of 2 transactions
<i>5 transactions for 09/22/20. Total proceeds and cost reported to the IRS.</i>							
	49.956	437.65	09/21/20	446.92	9.27 W	0.00	1 of 5 - Sale <sup>25</sup>
	7.956	69.70	09/22/20	73.58	3.88 W	0.00	2 of 5 - Sale <sup>25</sup>
	44.044	385.86	09/22/20	407.33	21.47 W	0.00	3 of 5 - Sale <sup>25</sup>
	7.956	69.70	09/22/20	73.18	3.48 W	0.00	4 of 5 - Sale <sup>25</sup>
	92.044	806.38	09/22/20	846.66	40.28 W	0.00	5 of 5 - Sale <sup>25</sup>
09/22/20	201.956	1,769.29	Various	1,847.67	78.38 W	0.00	Total of 5 transactions
<i>10 transactions for 10/12/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	28.80	10/12/20	29.33	0.53 W	0.00	1 of 10 - Sale <sup>25</sup>
	4.000	28.80	10/12/20	30.47	1.67 W	0.00	2 of 10 - Sale <sup>25</sup>
	7.956	57.29	10/12/20	60.60	3.31 W	0.00	3 of 10 - Sale <sup>25</sup>
	88.044	633.99	10/12/20	646.00	12.01 W	0.00	4 of 10 - Sale <sup>25</sup>
	96.000	691.28	10/12/20	731.29	40.01 W	0.00	5 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	49.956	359.72	10/12/20	370.45	10.73 W	0.00	6 of 10 - Sale <sup>25</sup>
	7.956	57.29	10/12/20	61.40	4.11 W	0.00	7 of 10 - Sale <sup>25</sup>
	34.000	244.83	10/12/20	260.70	15.87 W	0.00	8 of 10 - Sale <sup>25</sup>
	44.044	317.15	10/12/20	339.91	22.76 W	0.00	9 of 10 - Sale <sup>25</sup>
	58.044	417.96	10/12/20	441.58	23.62 W	0.00	10 of 10 - Sale <sup>25</sup>
10/12/20	394.000	2,837.11	Various	2,971.73	134.62 W	0.00	Total of 10 transactions
	6 transactions for 10/13/20. Total proceeds and cost reported to the IRS.						
	4.000	31.85	10/13/20	32.65	0.80 W	0.00	1 of 6 - Sale <sup>25</sup>
	4.000	31.85	10/13/20	33.79	1.94 W	0.00	2 of 6 - Sale <sup>25</sup>
	7.956	63.34	10/13/20	67.37	4.03 W	0.00	3 of 6 - Sale <sup>25</sup>
	17.956	142.95	10/13/20	151.67	8.72 W	0.00	4 of 6 - Sale <sup>25</sup>
	58.044	462.12	10/13/20	491.49	29.37 W	0.00	5 of 6 - Sale <sup>25</sup>
	88.044	700.97	10/13/20	719.00	18.03 W	0.00	6 of 6 - Sale <sup>25</sup>
10/13/20	180.000	1,433.08	Various	1,495.97	62.89 W	0.00	Total of 6 transactions
	8 transactions for 10/15/20. Total proceeds and cost reported to the IRS.						
	6.000	38.82	10/15/20	40.29	1.47 W	0.00	1 of 8 - Sale <sup>25</sup>
	7.956	51.47	10/15/20	55.02	3.55 W	0.00	2 of 8 - Sale <sup>25</sup>
	78.044	504.93	10/15/20	539.82	34.89 W	0.00	3 of 8 - Sale <sup>25</sup>
	43.956	273.83	10/15/20	284.16	10.33 W	0.00	4 of 8 - Sale <sup>25</sup>
	7.956	49.56	10/15/20	53.83	4.27 W	0.00	5 of 8 - Sale <sup>25</sup>
	16.000	99.68	10/15/20	107.47	7.79 W	0.00	6 of 8 - Sale <sup>25</sup>
	44.044	274.38	10/15/20	298.04	23.66 W	0.00	7 of 8 - Sale <sup>25</sup>
	58.044	361.60	10/15/20	386.40	24.80 W	0.00	8 of 8 - Sale <sup>25</sup>
10/15/20	262.000	1,654.27	Various	1,765.03	110.76 W	0.00	Total of 8 transactions
	9 transactions for 11/11/20. Total proceeds and cost reported to the IRS.						
	6.000	28.56	11/11/20	30.27	1.71 W	0.00	1 of 9 - Sale <sup>25</sup>
	4.000	19.04	11/11/20	20.00	0.96 W	0.00	2 of 9 - Sale <sup>25</sup>
	4.000	19.04	11/11/20	21.14	2.10 W	0.00	3 of 9 - Sale <sup>25</sup>
	7.956	37.87	11/11/20	42.22	4.35 W	0.00	4 of 9 - Sale <sup>25</sup>
	17.956	85.48	11/11/20	94.91	9.43 W	0.00	5 of 9 - Sale <sup>25</sup>
	18.000	85.69	11/11/20	94.80	9.11 W	0.00	6 of 9 - Sale <sup>25</sup>
	58.044	276.31	11/11/20	307.98	31.67 W	0.00	7 of 9 - Sale <sup>25</sup>
	76.000	361.78	11/11/20	398.78	37.00 W	0.00	8 of 9 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
11/11/20	88.044	419.11	11/11/20	440.64	21.53 W	0.00	9 of 9 - Sale <sup>25</sup>
	280.000	1,332.88	Various	1,450.74	117.86 W	0.00	Total of 9 transactions
2 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	37.000	205.75	11/12/20	226.28	...	-20.53	1 of 2 - Sale <sup>25</sup>
	18.000	100.10	11/12/20	109.37	...	-9.27	2 of 2 - Sale <sup>25</sup>
11/12/20	55.000	305.85	Various	335.65	...	-29.80	Total of 2 transactions
9 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	16.000	88.98	11/12/20	96.91	6.44 W	-1.49	1 of 9 - Sale <sup>25</sup>
	1.000	5.57	11/12/20	6.03	0.46 W	0.00	2 of 9 - Sale <sup>25</sup>
	6.000	33.37	11/12/20	35.13	1.76 W	0.00	3 of 9 - Sale <sup>25</sup>
	43.956	244.44	11/12/20	255.16	10.72 W	0.00	4 of 9 - Sale <sup>25</sup>
	1.044	5.81	11/12/20	6.28	0.47 W	0.00	5 of 9 - Sale <sup>25</sup>
	7.956	44.24	11/12/20	47.86	3.62 W	0.00	6 of 9 - Sale <sup>25</sup>
	7.956	44.24	11/12/20	48.58	4.34 W	0.00	7 of 9 - Sale <sup>25</sup>
	44.044	244.93	11/12/20	268.99	24.06 W	0.00	8 of 9 - Sale <sup>25</sup>
	58.044	322.79	11/12/20	348.11	25.32 W	0.00	9 of 9 - Sale <sup>25</sup>
11/12/20	186.000	1,034.37	Various	1,113.05	77.19 W	-1.49	Total of 9 transactions
11/16/20	1.000	5.18	11/16/20	5.73	0.55 W	0.00	Sale <sup>25</sup>
21 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	4.956	40.30	11/30/20	40.52	0.22 W	0.00	1 of 21 - Sale <sup>25</sup>
	20.044	162.98	11/30/20	163.83	0.85 W	0.00	2 of 21 - Sale <sup>25</sup>
	3.000	24.39	11/30/20	26.39	2.00 W	0.00	3 of 21 - Sale <sup>25</sup>
	3.000	24.39	11/30/20	25.47	1.08 W	0.00	4 of 21 - Sale <sup>25</sup>
	1.000	8.13	11/30/20	8.44	0.31 W	0.00	5 of 21 - Sale <sup>25</sup>
	86.000	699.26	11/30/20	726.23	26.97 W	0.00	6 of 21 - Sale <sup>25</sup>
	0.044	0.36	11/30/20	0.37	0.01 W	0.00	7 of 21 - Sale <sup>25</sup>
	3.000	24.39	11/30/20	26.06	1.67 W	0.00	8 of 21 - Sale <sup>25</sup>
	4.000	32.52	11/30/20	34.90	2.38 W	0.00	9 of 21 - Sale <sup>25</sup>
	17.956	146.00	11/30/20	156.67	10.67 W	0.00	10 of 21 - Sale <sup>25</sup>
	2.000	16.26	11/30/20	16.89	0.63 W	0.00	11 of 21 - Sale <sup>25</sup>
	2.000	16.26	11/30/20	17.43	1.17 W	0.00	12 of 21 - Sale <sup>25</sup>
	55.000	447.20	11/30/20	477.78	30.58 W	0.00	13 of 21 - Sale <sup>25</sup>
	6.000	45.67	12/01/20	48.50	2.83 W	0.00	14 of 21 - Sale <sup>25</sup>

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Account 527125207

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

Table with columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), 7- Loss not allowed (X) also not reported (Z), Additional information. Rows include VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd) with various transaction details for 12/01/20 and 12/02/20.

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	43.956	338.90	12/14/20	356.37	17.47 W	0.00	19 of 37 - Sale <sup>25</sup>
	1.000	7.71	12/14/20	8.32	0.61 W	0.00	20 of 37 - Sale <sup>25</sup>
	1.000	7.71	12/14/20	8.32	0.61 W	0.00	21 of 37 - Sale <sup>25</sup>
	1.044	8.05	12/14/20	8.67	0.62 W	0.00	22 of 37 - Sale <sup>25</sup>
	4.000	30.84	12/14/20	33.35	2.51 W	0.00	23 of 37 - Sale <sup>25</sup>
	7.956	61.34	12/14/20	66.19	4.85 W	0.00	24 of 37 - Sale <sup>25</sup>
	7.956	61.34	12/14/20	67.14	5.80 W	0.00	25 of 37 - Sale <sup>25</sup>
	11.000	84.81	12/14/20	92.27	7.46 W	0.00	26 of 37 - Sale <sup>25</sup>
	16.000	123.36	12/14/20	133.61	10.25 W	0.00	27 of 37 - Sale <sup>25</sup>
	22.044	169.96	12/14/20	182.96	13.00 W	0.00	28 of 37 - Sale <sup>25</sup>
	31.000	239.01	12/14/20	258.23	19.22 W	0.00	29 of 37 - Sale <sup>25</sup>
	44.044	339.58	12/14/20	371.73	32.15 W	0.00	30 of 37 - Sale <sup>25</sup>
	1.000	7.71	12/14/20	8.15	0.44 W	0.00	31 of 37 - Sale <sup>25</sup>
	3.000	23.13	12/14/20	25.52	2.39 W	0.00	32 of 37 - Sale <sup>25</sup>
	3.000	23.13	12/14/20	24.60	1.47 W	0.00	33 of 37 - Sale <sup>25</sup>
	4.956	38.21	12/14/20	39.07	0.86 W	0.00	34 of 37 - Sale <sup>25</sup>
	20.044	154.54	12/14/20	157.97	3.43 W	0.00	35 of 37 - Sale <sup>25</sup>
	66.000	508.86	12/14/20	538.04	29.18 W	0.00	36 of 37 - Sale <sup>25</sup>
	2.000	15.43	12/14/20	16.81	1.38 W	0.00	37 of 37 - Sale <sup>25</sup>
12/14/20	500.000	3,862.64	Various	4,123.41	260.77 W	0.00	Total of 37 transactions
	<b>Security total:</b>	<b>160,949.85</b>		<b>226,168.39</b>	<b>62,317.64 W</b>	<b>-2,900.90</b>	
VECTOIQ ACQUISITION CORP. COMM ON STOCK / CUSIP: 92243N103 / Symbol:							
05/13/20	5.000	149.07	05/12/20	131.50	...	17.57	Sale <sup>25</sup>
05/20/20	15.000	390.00	05/19/20	368.85	...	21.15	Sale <sup>25</sup>
	<b>Security total:</b>	<b>539.07</b>		<b>500.35</b>	<b>...</b>	<b>38.72</b>	
VERASTEM, INC. COMMON STOCK / CUSIP: 92337C104 / Symbol:							
03/03/20	150.000	450.09	02/28/20	406.50	...	43.59	Sale <sup>25</sup>
VERB TECHNOLOGY COMPANY, INC. COMMON STOCK / CUSIP: 92337U104 / Symbol:							
04/01/20	300.000	419.96	03/31/20	414.00	...	5.96	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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VERITONE, INC. COMMON STOCK / CUSIP: 92347M100 / Symbol:							
07/09/20	100.000	1,523.96	07/09/20	1,543.37	...	-19.41	Sale <sup>25</sup>
07/09/20	150.000	2,278.44	07/09/20	2,300.01	14.38 W	-7.19	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,802.40</b>		<b>3,843.38</b>	<b>14.38 W</b>	<b>-26.60</b>	
VERMILION ENERGY INC. / CUSIP: 923725105 / Symbol:							
2 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	15.000	65.70	04/28/20	61.89	...	3.81	1 of 2 - Sale <sup>25</sup>
	100.000	437.97	04/28/20	412.99	...	24.98	2 of 2 - Sale <sup>25</sup>
04/29/20	115.000	503.67	Various	474.88	...	28.79	Total of 2 transactions
VERTEX ENERGY, INC COMMON STOCK / CUSIP: 92534K107 / Symbol:							
04/22/20	500.000	237.69	04/21/20	279.95	42.26 W	0.00	Sale <sup>25</sup>
05/01/20	500.000	289.94	04/30/20	332.26	...	-42.32	Sale <sup>25</sup>
	<b>Security total:</b>	<b>527.63</b>		<b>612.21</b>	<b>42.26 W</b>	<b>-42.32</b>	
VIAD CORP / CUSIP: 92552R406 / Symbol:							
2 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	5.000	103.23	05/26/20	97.13	...	6.10	1 of 2 - Sale <sup>25</sup>
	20.000	412.88	05/26/20	388.70	...	24.18	2 of 2 - Sale <sup>25</sup>
05/27/20	25.000	516.11	Various	485.83	...	30.28	Total of 2 transactions
4 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	44.000	856.21	08/19/20	877.95	...	-21.74	1 of 4 - Sale <sup>25</sup>
	41.000	797.83	08/19/20	817.67	...	-19.84	2 of 4 - Sale <sup>25</sup>
	11.000	214.05	08/19/20	219.49	...	-5.44	3 of 4 - Sale <sup>25</sup>
	4.000	77.84	08/19/20	79.77	...	-1.93	4 of 4 - Sale <sup>25</sup>
08/19/20	100.000	1,945.93	Various	1,994.88	...	-48.95	Total of 4 transactions
3 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	41.000	798.25	08/19/20	808.22	9.97 W	0.00	1 of 3 - Sale <sup>25</sup>
	4.000	77.88	08/19/20	78.85	0.97 W	0.00	2 of 3 - Sale <sup>25</sup>
	55.000	1,070.27	08/19/20	1,084.21	13.94 W	0.00	3 of 3 - Sale <sup>25</sup>
08/19/20	100.000	1,946.40	Various	1,971.28	24.88 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>4,408.44</b>		<b>4,451.99</b>	<b>24.88 W</b>	<b>-18.67</b>	

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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VIACOMCBS INC. CLASS B COMMON STOCK / CUSIP: 92556H206 / Symbol:							
06/29/20	50.000	1,148.97	06/29/20	1,164.50	...	-15.53	Sale <sup>25</sup>
	4 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	15.000	404.99	08/11/20	419.48	...	-14.49	1 of 4 - Sale <sup>25</sup>
	8.000	215.99	08/11/20	223.72	...	-7.73	2 of 4 - Sale <sup>25</sup>
	1.000	27.00	08/11/20	27.97	...	-0.97	3 of 4 - Sale <sup>25</sup>
	1.000	27.00	08/11/20	27.67	...	-0.67	4 of 4 - Sale <sup>25</sup>
08/11/20	25.000	674.98	Various	698.84	...	-23.86	Total of 4 transactions
	2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	15.000	412.50	08/11/20	415.13	2.63 W	0.00	1 of 2 - Sale <sup>25</sup>
	9.000	247.50	08/11/20	249.08	1.58 W	0.00	2 of 2 - Sale <sup>25</sup>
08/11/20	24.000	660.00	Various	664.21	4.21 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>2,483.95</b>		<b>2,527.55</b>	<b>4.21 W</b>	<b>-39.39</b>	
VIEMED HEALTHCARE, INC. COMMON SHARES / CUSIP: 92663R105 / Symbol:							
06/01/20	75.000	624.64	05/29/20	609.38	...	15.26	Sale <sup>25</sup>
06/01/20	20.000	166.58	05/29/20	166.80	0.22 W	0.00	Sale <sup>25</sup>
	2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.						
	20.000	182.40	06/12/20	187.42	5.02 W	0.00	1 of 2 - Sale <sup>25</sup>
	30.000	273.60	06/12/20	280.80	7.20 W	0.00	2 of 2 - Sale <sup>25</sup>
06/15/20	50.000	456.00	Various	468.22	12.22 W	0.00	Total of 2 transactions
	6 transactions for 06/23/20. Total proceeds and cost reported to the IRS.						
	10.000	115.80	06/16/20	104.00	...	11.80	1 of 6 - Sale <sup>25</sup>
	15.000	173.55	06/16/20	156.00	...	17.55	2 of 6 - Sale <sup>25</sup>
	20.000	231.59	06/16/20	207.22	...	24.37	3 of 6 - Sale <sup>25</sup>
	25.000	289.49	06/16/20	260.00	...	29.49	4 of 6 - Sale <sup>25</sup>
	25.000	289.49	06/16/20	252.75	...	36.74	5 of 6 - Sale <sup>25</sup>
	30.000	347.39	06/16/20	310.50	...	36.89	6 of 6 - Sale <sup>25</sup>
06/23/20	125.000	1,447.31	Various	1,290.47	...	156.84	Total of 6 transactions
	<b>Security total:</b>	<b>2,694.53</b>		<b>2,534.87</b>	<b>12.44 W</b>	<b>172.10</b>	

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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VIKING THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 92686J106 / Symbol:							
04/16/20	100.000	533.98	04/15/20	516.99	...	16.99	Sale <sup>25</sup>
04/16/20	50.000	267.00	04/15/20	270.45	3.45 W	0.00	Sale <sup>25</sup>
05/05/20	50.000	328.01	05/04/20	309.44	...	18.57	Sale <sup>25</sup>
06/25/20	150.000	1,138.46	06/25/20	1,166.61	...	-28.15	Sale <sup>25</sup>
3 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	100.000	742.97	07/30/20	746.80	...	-3.83	1 of 3 - Sale <sup>25</sup>
	40.000	297.20	07/30/20	298.72	...	-1.52	2 of 3 - Sale <sup>25</sup>
	10.000	74.30	07/30/20	74.68	...	-0.38	3 of 3 - Sale <sup>25</sup>
07/30/20	150.000	1,114.47	Various	1,120.20	...	-5.73	Total of 3 transactions
	<b>Security total:</b>	<b>3,381.92</b>		<b>3,383.69</b>	<b>3.45 W</b>	<b>1.68</b>	
VILLAGE FARMS INTERNATIONAL, I NC. COMMON SHARES / CUSIP: 92707Y108 / Symbol:							
2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	300.000	1,739.92	08/25/20	1,757.97	...	-18.05	1 of 2 - Sale <sup>25</sup>
	100.000	579.97	08/25/20	591.01	...	-11.04	2 of 2 - Sale <sup>25</sup>
08/25/20	400.000	2,319.89	Various	2,348.98	...	-29.09	Total of 2 transactions
08/25/20	100.000	580.97	08/25/20	585.99	5.02 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,900.86</b>		<b>2,934.97</b>	<b>5.02 W</b>	<b>-29.09</b>	
VIPSHOP HOLDINGS LIMITED / CUSIP: 92763W103 / Symbol:							
04/24/20	30.000	486.00	04/23/20	483.60	...	2.40	Sale <sup>25</sup>
06/11/20	50.000	883.98	06/10/20	905.38	21.40 W	0.00	Sale <sup>25</sup>
06/16/20	50.000	940.60	06/15/20	958.28	...	-17.68	Sale <sup>25</sup>
08/04/20	50.000	1,111.97	08/04/20	1,126.41	14.44 W	0.00	Sale <sup>25</sup>
18 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	75.000	1,444.46	08/19/20	1,467.12	...	-22.66	1 of 18 - Sale <sup>25</sup>
	66.000	1,271.12	08/19/20	1,293.05	...	-21.93	2 of 18 - Sale <sup>25</sup>
	66.000	1,271.12	08/19/20	1,293.05	...	-21.93	3 of 18 - Sale <sup>25</sup>
	45.000	866.68	08/19/20	881.62	...	-14.94	4 of 18 - Sale <sup>25</sup>
	23.000	442.97	08/19/20	456.45	...	-13.48	5 of 18 - Sale <sup>25</sup>
	34.000	654.82	08/19/20	666.12	...	-11.30	6 of 18 - Sale <sup>25</sup>
	34.000	654.82	08/19/20	666.12	...	-11.30	7 of 18 - Sale <sup>25</sup>
	20.000	385.19	08/19/20	395.10	...	-9.91	8 of 18 - Sale <sup>25</sup>
	25.000	481.49	08/19/20	489.04	...	-7.55	9 of 18 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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VIPSHOP HOLDINGS LIMITED / CUSIP: 92763W103 / Symbol: (cont'd)							
	21.000	404.45	08/19/20	411.42	...	-6.97	10 of 18 - Sale <sup>25</sup>
	21.000	404.45	08/19/20	410.69	...	-6.24	11 of 18 - Sale <sup>25</sup>
	18.000	346.67	08/19/20	352.65	...	-5.98	12 of 18 - Sale <sup>25</sup>
	16.000	308.15	08/19/20	312.99	...	-4.84	13 of 18 - Sale <sup>25</sup>
	14.000	269.63	08/19/20	273.78	...	-4.15	14 of 18 - Sale <sup>25</sup>
	6.000	115.56	08/19/20	119.08	...	-3.52	15 of 18 - Sale <sup>25</sup>
	10.000	192.59	08/19/20	195.63	...	-3.04	16 of 18 - Sale <sup>25</sup>
	5.000	96.30	08/19/20	97.78	...	-1.48	17 of 18 - Sale <sup>25</sup>
	1.000	19.26	08/19/20	19.75	...	-0.49	18 of 18 - Sale <sup>25</sup>
08/19/20	500.000	9,629.73	Various	9,801.44	...	-171.71	Total of 18 transactions
	36 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	50.000	957.47	08/19/20	978.44	20.97 W	0.00	1 of 36 - Sale <sup>25</sup>
	50.000	957.47	08/19/20	964.00	6.53 W	0.00	2 of 36 - Sale <sup>25</sup>
	100.000	1,914.94	08/19/20	1,928.00	13.06 W	0.00	3 of 36 - Sale <sup>25</sup>
	34.000	651.08	08/19/20	655.52	4.44 W	0.00	4 of 36 - Sale <sup>25</sup>
	100.000	1,914.95	08/19/20	1,928.00	13.05 W	0.00	5 of 36 - Sale <sup>25</sup>
	100.000	1,914.95	08/19/20	1,928.00	13.05 W	0.00	6 of 36 - Sale <sup>25</sup>
	66.000	1,263.86	08/19/20	1,272.48	8.62 W	0.00	7 of 36 - Sale <sup>25</sup>
	1.000	19.38	08/19/20	19.73	0.35 W	0.00	8 of 36 - Sale <sup>25</sup>
	20.000	387.60	08/19/20	394.69	7.09 W	0.00	9 of 36 - Sale <sup>25</sup>
	40.000	771.58	08/19/20	777.82	6.24 W	0.00	10 of 36 - Sale <sup>25</sup>
	29.000	559.39	08/19/20	572.30	12.91 W	0.00	11 of 36 - Sale <sup>25</sup>
	10.000	192.89	08/19/20	194.51	1.62 W	0.00	12 of 36 - Sale <sup>25</sup>
	16.000	308.63	08/19/20	311.21	2.58 W	0.00	13 of 36 - Sale <sup>25</sup>
	100.000	1,928.95	08/19/20	1,945.06	16.11 W	0.00	14 of 36 - Sale <sup>25</sup>
	18.000	346.67	08/19/20	350.11	3.44 W	0.00	15 of 36 - Sale <sup>25</sup>
	66.000	1,271.12	08/19/20	1,283.73	12.61 W	0.00	16 of 36 - Sale <sup>25</sup>
	34.000	654.82	08/19/20	661.32	6.50 W	0.00	17 of 36 - Sale <sup>25</sup>
	66.000	1,271.12	08/19/20	1,283.73	12.61 W	0.00	18 of 36 - Sale <sup>25</sup>
	1.000	19.26	08/19/20	19.67	0.41 W	0.00	19 of 36 - Sale <sup>25</sup>
	20.000	385.19	08/19/20	393.49	8.30 W	0.00	20 of 36 - Sale <sup>25</sup>
	29.000	558.52	08/19/20	573.19	14.67 W	0.00	21 of 36 - Sale <sup>25</sup>
	34.000	654.82	08/19/20	661.32	6.50 W	0.00	22 of 36 - Sale <sup>25</sup>
	21.000	404.45	08/19/20	409.00	4.55 W	0.00	23 of 36 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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VIPSHOP HOLDINGS LIMITED / CUSIP: 92763W103 / Symbol: (cont'd)							
	66.000	1,271.13	08/19/20	1,283.74	12.61 W	0.00	24 of 36 - Sale <sup>25</sup>
	14.000	269.64	08/19/20	272.66	3.02 W	0.00	25 of 36 - Sale <sup>25</sup>
	10.000	192.59	08/19/20	194.82	2.23 W	0.00	26 of 36 - Sale <sup>25</sup>
	5.000	96.30	08/19/20	97.38	1.08 W	0.00	27 of 36 - Sale <sup>25</sup>
	25.000	481.49	08/19/20	487.03	5.54 W	0.00	28 of 36 - Sale <sup>25</sup>
	75.000	1,444.46	08/19/20	1,461.08	16.62 W	0.00	29 of 36 - Sale <sup>25</sup>
	16.000	308.15	08/19/20	311.70	3.55 W	0.00	30 of 36 - Sale <sup>25</sup>
	18.000	346.67	08/19/20	351.20	4.53 W	0.00	31 of 36 - Sale <sup>25</sup>
	34.000	654.82	08/19/20	663.38	8.56 W	0.00	32 of 36 - Sale <sup>25</sup>
	66.000	1,271.12	08/19/20	1,287.73	16.61 W	0.00	33 of 36 - Sale <sup>25</sup>
	66.000	1,271.13	08/19/20	1,287.73	16.60 W	0.00	34 of 36 - Sale <sup>25</sup>
	34.000	654.82	08/19/20	663.38	8.56 W	0.00	35 of 36 - Sale <sup>25</sup>
	66.000	1,271.12	08/19/20	1,287.73	16.61 W	0.00	36 of 36 - Sale <sup>25</sup>
08/19/20	1,500.000	28,842.55	Various	29,154.88	312.33 W	0.00	Total of 36 transactions
	<b>Security total:</b>	<b>41,894.83</b>		<b>42,429.99</b>	<b>348.17 W</b>	<b>-186.99</b>	
VIR BIOTECHNOLOGY, INC. COMMON STOCK / CUSIP: 92764N102 / Symbol:							
05/19/20	15.000	572.68	05/18/20	524.93	...	47.75	Sale <sup>25</sup>
05/22/20	15.000	493.55	05/21/20	560.74	...	-67.19	Sale <sup>25</sup>
06/23/20	15.000	608.83	06/23/20	612.00	...	-3.17	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,675.06</b>		<b>1,697.67</b>	<b>...</b>	<b>-22.61</b>	
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
04/15/20	25.000	461.86	04/14/20	479.46	17.60 W	0.00	Sale <sup>25</sup>
04/16/20	25.000	509.98	04/15/20	531.35	21.37 W	0.00	Sale <sup>25</sup>
	2 transactions for 04/23/20. Total proceeds and cost reported to the IRS.						
	25.000	430.49	04/22/20	450.50	...	-20.01	1 of 2 - Sale <sup>25</sup>
	25.000	430.50	04/22/20	429.12	...	1.38	2 of 2 - Sale <sup>25</sup>
04/23/20	50.000	860.99	Various	879.62	...	-18.63	Total of 2 transactions
07/01/20	70.000	1,155.93	07/01/20	1,168.99	13.06 W	0.00	Sale <sup>25</sup>
	13 transactions for 07/09/20. Total proceeds and cost reported to the IRS.						
	50.000	950.48	07/09/20	970.77	20.29 W	0.00	1 of 13 - Sale <sup>25</sup>
	20.000	380.19	07/09/20	388.31	8.12 W	0.00	2 of 13 - Sale <sup>25</sup>
	80.000	1,520.76	07/09/20	1,538.32	17.56 W	0.00	3 of 13 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
	150.000	2,851.43	07/09/20	2,884.35	32.92 W	0.00	4 of 13 - Sale <sup>25</sup>
	40.000	758.44	07/09/20	785.39	26.95 W	0.00	5 of 13 - Sale <sup>25</sup>
	9.000	167.94	07/09/20	176.72	8.78 W	0.00	6 of 13 - Sale <sup>25</sup>
	1.000	18.72	07/09/20	19.63	0.91 W	0.00	7 of 13 - Sale <sup>25</sup>
	20.000	374.40	07/09/20	384.72	10.32 W	0.00	8 of 13 - Sale <sup>25</sup>
	4.000	74.88	07/09/20	76.20	1.32 W	0.00	9 of 13 - Sale <sup>25</sup>
	25.000	455.28	07/09/20	476.24	20.96 W	0.00	10 of 13 - Sale <sup>25</sup>
	25.000	455.29	07/09/20	476.21	20.92 W	0.00	11 of 13 - Sale <sup>25</sup>
	26.000	487.53	07/09/20	498.35	10.82 W	0.00	12 of 13 - Sale <sup>25</sup>
	74.000	1,387.60	07/09/20	1,418.40	30.80 W	0.00	13 of 13 - Sale <sup>25</sup>
07/09/20	524.000	9,882.94	Various	10,093.61	210.67 W	0.00	Total of 13 transactions
14 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	8.000	154.56	07/09/20	154.64	0.08 W	0.00	1 of 14 - Sale <sup>25</sup>
	4.000	77.28	07/09/20	77.32	0.04 W	0.00	2 of 14 - Sale <sup>25</sup>
	38.000	733.76	07/09/20	734.51	0.75 W	0.00	3 of 14 - Sale <sup>25</sup>
	25.000	482.73	07/09/20	489.40	6.67 W	0.00	4 of 14 - Sale <sup>25</sup>
	1.000	19.31	07/09/20	19.91	0.60 W	0.00	5 of 14 - Sale <sup>25</sup>
	17.000	328.26	07/09/20	346.18	17.92 W	0.00	6 of 14 - Sale <sup>25</sup>
	6.000	115.86	07/09/20	122.19	6.33 W	0.00	7 of 14 - Sale <sup>25</sup>
	1.000	19.35	07/09/20	20.36	1.01 W	0.00	8 of 14 - Sale <sup>25</sup>
	1.000	19.36	07/10/20	20.31	0.95 W	0.00	9 of 14 - Sale <sup>25</sup>
	3.000	58.08	07/10/20	59.19	1.11 W	0.00	10 of 14 - Sale <sup>25</sup>
	2.000	38.72	07/10/20	40.75	2.03 W	0.00	11 of 14 - Sale <sup>25</sup>
	20.000	387.18	07/10/20	398.32	11.14 W	0.00	12 of 14 - Sale <sup>25</sup>
	7.000	135.45	07/10/20	142.63	7.18 W	0.00	13 of 14 - Sale <sup>25</sup>
	16.000	309.60	07/10/20	321.18	11.58 W	0.00	14 of 14 - Sale <sup>25</sup>
07/10/20	149.000	2,879.50	Various	2,946.89	67.39 W	0.00	Total of 14 transactions
3 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	1.000	18.25	07/14/20	18.58	0.33 W	0.00	1 of 3 - Sale <sup>25</sup>
	24.000	437.99	07/14/20	458.08	20.09 W	0.00	2 of 3 - Sale <sup>25</sup>
	25.000	456.23	07/14/20	477.21	20.98 W	0.00	3 of 3 - Sale <sup>25</sup>
07/14/20	50.000	912.47	Various	953.87	41.40 W	0.00	Total of 3 transactions

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 527125207
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
<i>17 transactions for 07/16/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	21.69	07/16/20	22.56	0.87 W	0.00	1 of 17 - Sale <sup>25</sup>
	19.000	412.13	07/16/20	420.65	8.52 W	0.00	2 of 17 - Sale <sup>25</sup>
	7.000	150.22	07/16/20	154.98	4.76 W	0.00	3 of 17 - Sale <sup>25</sup>
	68.000	1,459.24	07/16/20	1,505.48	46.24 W	0.00	4 of 17 - Sale <sup>25</sup>
	4.000	85.84	07/16/20	88.00	2.16 W	0.00	5 of 17 - Sale <sup>25</sup>
	8.000	171.67	07/16/20	176.00	4.33 W	0.00	6 of 17 - Sale <sup>25</sup>
	32.000	686.70	07/16/20	704.31	17.61 W	0.00	7 of 17 - Sale <sup>25</sup>
	1.000	21.46	07/16/20	22.41	0.95 W	0.00	8 of 17 - Sale <sup>25</sup>
	5.000	105.45	07/16/20	112.03	6.58 W	0.00	9 of 17 - Sale <sup>25</sup>
	1.000	21.09	07/16/20	22.21	1.12 W	0.00	10 of 17 - Sale <sup>25</sup>
	6.000	126.54	07/16/20	129.78	3.24 W	0.00	11 of 17 - Sale <sup>25</sup>
	13.000	274.16	07/16/20	294.63	20.47 W	0.00	12 of 17 - Sale <sup>25</sup>
	25.000	527.23	07/16/20	546.92	19.69 W	0.00	13 of 17 - Sale <sup>25</sup>
	1.000	21.09	07/16/20	22.62	1.53 W	0.00	14 of 17 - Sale <sup>25</sup>
	4.000	84.36	07/16/20	90.66	6.30 W	0.00	15 of 17 - Sale <sup>25</sup>
	6.000	126.53	07/16/20	135.99	9.46 W	0.00	16 of 17 - Sale <sup>25</sup>
	14.000	295.25	07/16/20	312.66	17.41 W	0.00	17 of 17 - Sale <sup>25</sup>
07/16/20	215.000	4,590.65	Various	4,761.89	171.24 W	0.00	Total of 17 transactions
<i>2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	48.00	07/17/20	47.59	...	0.41	1 of 2 - Sale <sup>25</sup>
	13.000	311.99	07/17/20	307.15	...	4.84	2 of 2 - Sale <sup>25</sup>
07/17/20	15.000	359.99	Various	354.74	...	5.25	Total of 2 transactions
<i>21 transactions for 07/17/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	24.00	07/17/20	24.02	0.02 W	0.00	1 of 21 - Sale <sup>25</sup>
	2.000	48.00	07/17/20	48.17	0.17 W	0.00	2 of 21 - Sale <sup>25</sup>
	7.000	168.00	07/17/20	168.67	0.67 W	0.00	3 of 21 - Sale <sup>25</sup>
	1.000	24.00	07/17/20	24.48	0.48 W	0.00	4 of 21 - Sale <sup>25</sup>
	1.000	24.00	07/17/20	25.02	1.02 W	0.00	5 of 21 - Sale <sup>25</sup>
	3.000	72.00	07/17/20	73.56	1.56 W	0.00	6 of 21 - Sale <sup>25</sup>
	7.000	167.99	07/17/20	172.95	4.96 W	0.00	7 of 21 - Sale <sup>25</sup>
	16.000	383.99	07/17/20	393.57	9.58 W	0.00	8 of 21 - Sale <sup>25</sup>
	24.000	575.98	07/17/20	599.67	23.69 W	0.00	9 of 21 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
	25.000	599.98	07/17/20	624.72	24.74 W	0.00	10 of 21 - Sale <sup>25</sup>
	7.000	167.99	07/17/20	173.81	5.82 W	0.00	11 of 21 - Sale <sup>25</sup>
	13.000	311.99	07/17/20	322.78	10.79 W	0.00	12 of 21 - Sale <sup>25</sup>
	3.000	72.00	07/17/20	73.79	1.79 W	0.00	13 of 21 - Sale <sup>25</sup>
	10.000	239.69	07/17/20	250.55	10.86 W	0.00	14 of 21 - Sale <sup>25</sup>
	15.000	359.54	07/17/20	377.52	17.98 W	0.00	15 of 21 - Sale <sup>25</sup>
	15.000	359.54	07/17/20	377.55	18.01 W	0.00	16 of 21 - Sale <sup>25</sup>
	2.000	47.74	07/17/20	49.26	1.52 W	0.00	17 of 21 - Sale <sup>25</sup>
	8.000	190.96	07/17/20	197.05	6.09 W	0.00	18 of 21 - Sale <sup>25</sup>
	15.000	358.04	07/17/20	371.55	13.51 W	0.00	19 of 21 - Sale <sup>25</sup>
	2.000	47.74	07/17/20	49.02	1.28 W	0.00	20 of 21 - Sale <sup>25</sup>
	23.000	548.99	07/17/20	563.93	14.94 W	0.00	21 of 21 - Sale <sup>25</sup>
07/17/20	200.000	4,792.16	Various	4,961.64	169.48 W	0.00	Total of 21 transactions
	3 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	4.000	102.92	07/21/20	102.71	...	0.21	1 of 3 - Sale <sup>25</sup>
	6.000	154.38	07/21/20	152.58	...	1.80	2 of 3 - Sale <sup>25</sup>
	9.000	231.56	07/21/20	228.96	...	2.60	3 of 3 - Sale <sup>25</sup>
07/21/20	19.000	488.86	Various	484.25	...	4.61	Total of 3 transactions
	19 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	1.000	25.73	07/21/20	25.84	0.11 W	0.00	1 of 19 - Sale <sup>25</sup>
	5.000	128.65	07/21/20	131.03	2.38 W	0.00	2 of 19 - Sale <sup>25</sup>
	21.000	540.31	07/21/20	542.97	2.66 W	0.00	3 of 19 - Sale <sup>25</sup>
	1.000	25.73	07/21/20	26.19	0.46 W	0.00	4 of 19 - Sale <sup>25</sup>
	3.000	77.19	07/21/20	79.92	2.73 W	0.00	5 of 19 - Sale <sup>25</sup>
	1.000	25.73	07/21/20	27.40	1.67 W	0.00	6 of 19 - Sale <sup>25</sup>
	4.000	102.92	07/21/20	109.76	6.84 W	0.00	7 of 19 - Sale <sup>25</sup>
	6.000	154.37	07/21/20	164.65	10.28 W	0.00	8 of 19 - Sale <sup>25</sup>
	10.000	257.29	07/21/20	274.40	17.11 W	0.00	9 of 19 - Sale <sup>25</sup>
	1.000	25.73	07/21/20	25.89	0.16 W	0.00	10 of 19 - Sale <sup>25</sup>
	2.000	51.46	07/21/20	51.90	0.44 W	0.00	11 of 19 - Sale <sup>25</sup>
	5.000	128.64	07/21/20	132.86	4.22 W	0.00	12 of 19 - Sale <sup>25</sup>
	7.000	180.11	07/21/20	181.73	1.62 W	0.00	13 of 19 - Sale <sup>25</sup>
	14.000	360.21	07/21/20	379.53	19.32 W	0.00	14 of 19 - Sale <sup>25</sup>
	1.000	25.89	07/21/20	26.38	0.49 W	0.00	15 of 19 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
	2.000	51.78	07/21/20	53.22	1.44 W	0.00	16 of 19 - Sale <sup>25</sup>
	3.000	77.67	07/21/20	79.26	1.59 W	0.00	17 of 19 - Sale <sup>25</sup>
	19.000	491.90	07/21/20	510.85	18.95 W	0.00	18 of 19 - Sale <sup>25</sup>
	25.000	647.23	07/21/20	672.24	25.01 W	0.00	19 of 19 - Sale <sup>25</sup>
07/21/20	131.000	3,378.54	Various	3,496.02	117.48 W	0.00	Total of 19 transactions
	4 transactions for 07/22/20. Total proceeds and cost reported to the IRS.						
	1.000	24.96	07/21/20	26.01	1.05 W	0.00	1 of 4 - Sale <sup>25</sup>
	3.000	74.90	07/21/20	76.76	1.86 W	0.00	2 of 4 - Sale <sup>25</sup>
	5.000	124.82	07/21/20	129.89	5.07 W	0.00	3 of 4 - Sale <sup>25</sup>
	16.000	399.43	07/21/20	409.42	9.99 W	0.00	4 of 4 - Sale <sup>25</sup>
07/22/20	25.000	624.11	Various	642.08	17.97 W	0.00	Total of 4 transactions
	10 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	7.000	184.89	07/23/20	192.71	7.82 W	0.00	1 of 10 - Sale <sup>25</sup>
	5.000	132.06	07/23/20	138.93	6.87 W	0.00	2 of 10 - Sale <sup>25</sup>
	13.000	343.36	07/23/20	357.87	14.51 W	0.00	3 of 10 - Sale <sup>25</sup>
	5.000	135.50	07/23/20	139.49	3.99 W	0.00	4 of 10 - Sale <sup>25</sup>
	5.000	135.50	07/23/20	138.92	3.42 W	0.00	5 of 10 - Sale <sup>25</sup>
	15.000	406.49	07/23/20	418.47	11.98 W	0.00	6 of 10 - Sale <sup>25</sup>
	2.000	54.20	07/23/20	56.12	1.92 W	0.00	7 of 10 - Sale <sup>25</sup>
	8.000	216.79	07/23/20	224.47	7.68 W	0.00	8 of 10 - Sale <sup>25</sup>
	5.000	135.50	07/23/20	140.99	5.49 W	0.00	9 of 10 - Sale <sup>25</sup>
	10.000	270.99	07/23/20	284.99	14.00 W	0.00	10 of 10 - Sale <sup>25</sup>
07/23/20	75.000	2,015.28	Various	2,092.96	77.68 W	0.00	Total of 10 transactions
	3 transactions for 07/28/20. Total proceeds and cost reported to the IRS.						
	2.000	50.70	07/27/20	51.74	1.04 W	0.00	1 of 3 - Sale <sup>25</sup>
	8.000	202.79	07/27/20	207.04	4.25 W	0.00	2 of 3 - Sale <sup>25</sup>
	10.000	253.49	07/27/20	261.31	7.82 W	0.00	3 of 3 - Sale <sup>25</sup>
07/28/20	20.000	506.98	Various	520.09	13.11 W	0.00	Total of 3 transactions
	5 transactions for 07/29/20. Total proceeds and cost reported to the IRS.						
	9.000	206.05	07/29/20	213.76	7.71 W	0.00	1 of 5 - Sale <sup>25</sup>
	15.000	343.41	07/29/20	364.12	20.71 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	22.89	07/29/20	23.73	0.84 W	0.00	3 of 5 - Sale <sup>25</sup>
	5.000	114.47	07/29/20	120.51	6.04 W	0.00	4 of 5 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
	12.000	274.74	07/29/20	284.72	9.98 W	0.00	5 of 5 - Sale <sup>25</sup>
07/29/20	42.000	961.56	Various	1,006.84	45.28 W	0.00	Total of 5 transactions
17 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	1.000	21.27	08/04/20	21.87	0.60 W	0.00	1 of 17 - Sale <sup>25</sup>
	1.000	21.27	08/04/20	23.08	1.81 W	0.00	2 of 17 - Sale <sup>25</sup>
	3.000	63.81	08/04/20	66.96	3.15 W	0.00	3 of 17 - Sale <sup>25</sup>
	4.000	85.08	08/04/20	92.48	7.40 W	0.00	4 of 17 - Sale <sup>25</sup>
	6.000	127.62	08/04/20	138.74	11.12 W	0.00	5 of 17 - Sale <sup>25</sup>
	10.000	212.71	08/04/20	231.21	18.50 W	0.00	6 of 17 - Sale <sup>25</sup>
	8.000	170.17	08/04/20	182.32	12.15 W	0.00	7 of 17 - Sale <sup>25</sup>
	1.000	21.50	08/04/20	21.75	0.25 W	0.00	8 of 17 - Sale <sup>25</sup>
	1.000	21.50	08/04/20	22.08	0.58 W	0.00	9 of 17 - Sale <sup>25</sup>
	2.000	43.00	08/04/20	43.62	0.62 W	0.00	10 of 17 - Sale <sup>25</sup>
	2.000	43.00	08/04/20	44.62	1.62 W	0.00	11 of 17 - Sale <sup>25</sup>
	3.000	64.51	08/04/20	66.36	1.85 W	0.00	12 of 17 - Sale <sup>25</sup>
	5.000	107.51	08/04/20	112.16	4.65 W	0.00	13 of 17 - Sale <sup>25</sup>
	6.000	129.01	08/04/20	137.81	8.80 W	0.00	14 of 17 - Sale <sup>25</sup>
	7.000	150.51	08/04/20	152.74	2.23 W	0.00	15 of 17 - Sale <sup>25</sup>
	21.000	451.54	08/04/20	474.36	22.82 W	0.00	16 of 17 - Sale <sup>25</sup>
	2.000	43.01	08/04/20	45.14	2.13 W	0.00	17 of 17 - Sale <sup>25</sup>
08/04/20	83.000	1,777.02	Various	1,877.30	100.28 W	0.00	Total of 17 transactions
13 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	1.000	20.28	08/05/20	21.37	1.09 W	0.00	1 of 13 - Sale <sup>25</sup>
	3.000	60.84	08/05/20	62.82	1.98 W	0.00	2 of 13 - Sale <sup>25</sup>
	4.000	81.12	08/05/20	85.12	4.00 W	0.00	3 of 13 - Sale <sup>25</sup>
	5.000	101.40	08/05/20	106.67	5.27 W	0.00	4 of 13 - Sale <sup>25</sup>
	16.000	324.47	08/05/20	335.11	10.64 W	0.00	5 of 13 - Sale <sup>25</sup>
	2.000	40.56	08/05/20	42.64	2.08 W	0.00	6 of 13 - Sale <sup>25</sup>
	5.000	101.40	08/05/20	108.47	7.07 W	0.00	7 of 13 - Sale <sup>25</sup>
	5.000	101.40	08/05/20	105.02	3.62 W	0.00	8 of 13 - Sale <sup>25</sup>
	5.000	101.39	08/05/20	105.59	4.20 W	0.00	9 of 13 - Sale <sup>25</sup>
	7.000	141.95	08/05/20	150.06	8.11 W	0.00	10 of 13 - Sale <sup>25</sup>
	13.000	263.63	08/05/20	278.67	15.04 W	0.00	11 of 13 - Sale <sup>25</sup>
	15.000	304.19	08/05/20	316.78	12.59 W	0.00	12 of 13 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
08/05/20	19.000	385.31	08/05/20	405.03	19.72 W	0.00	13 of 13 - Sale <sup>25</sup>
	100.000	2,027.94	Various	2,123.35	95.41 W	0.00	Total of 13 transactions
	44 transactions for 08/06/20. Total proceeds and cost reported to the IRS.						
	2.000	39.20	08/06/20	40.40	1.20 W	0.00	1 of 44 - Sale <sup>25</sup>
	8.000	156.80	08/06/20	161.69	4.89 W	0.00	2 of 44 - Sale <sup>25</sup>
	1.000	19.60	08/06/20	20.64	1.04 W	0.00	3 of 44 - Sale <sup>25</sup>
	4.000	78.40	08/06/20	82.56	4.16 W	0.00	4 of 44 - Sale <sup>25</sup>
	1.000	19.60	08/06/20	20.28	0.68 W	0.00	5 of 44 - Sale <sup>25</sup>
	1.000	19.60	08/06/20	21.49	1.89 W	0.00	6 of 44 - Sale <sup>25</sup>
	1.000	19.60	08/06/20	20.77	1.17 W	0.00	7 of 44 - Sale <sup>25</sup>
	1.000	19.60	08/06/20	20.64	1.04 W	0.00	8 of 44 - Sale <sup>25</sup>
	3.000	58.80	08/06/20	62.19	3.39 W	0.00	9 of 44 - Sale <sup>25</sup>
	3.000	58.80	08/06/20	61.02	2.22 W	0.00	10 of 44 - Sale <sup>25</sup>
	4.000	78.40	08/06/20	86.12	7.72 W	0.00	11 of 44 - Sale <sup>25</sup>
	4.000	78.40	08/06/20	82.72	4.32 W	0.00	12 of 44 - Sale <sup>25</sup>
	5.000	98.00	08/06/20	103.67	5.67 W	0.00	13 of 44 - Sale <sup>25</sup>
	6.000	117.60	08/06/20	129.20	11.60 W	0.00	14 of 44 - Sale <sup>25</sup>
	9.000	176.39	08/06/20	184.83	8.44 W	0.00	15 of 44 - Sale <sup>25</sup>
	10.000	195.99	08/06/20	204.62	8.63 W	0.00	16 of 44 - Sale <sup>25</sup>
	10.000	195.99	08/06/20	215.30	19.31 W	0.00	17 of 44 - Sale <sup>25</sup>
	12.000	235.19	08/06/20	246.14	10.95 W	0.00	18 of 44 - Sale <sup>25</sup>
	15.000	293.99	08/06/20	315.91	21.92 W	0.00	19 of 44 - Sale <sup>25</sup>
	16.000	313.59	08/06/20	325.52	11.93 W	0.00	20 of 44 - Sale <sup>25</sup>
	1.000	19.60	08/06/20	20.52	0.92 W	0.00	21 of 44 - Sale <sup>25</sup>
	1.000	19.60	08/06/20	19.93	0.33 W	0.00	22 of 44 - Sale <sup>25</sup>
	1.000	19.60	08/06/20	20.26	0.66 W	0.00	23 of 44 - Sale <sup>25</sup>
	2.000	39.20	08/06/20	39.98	0.78 W	0.00	24 of 44 - Sale <sup>25</sup>
	2.000	39.20	08/06/20	40.98	1.78 W	0.00	25 of 44 - Sale <sup>25</sup>
	2.000	39.20	08/06/20	41.49	2.29 W	0.00	26 of 44 - Sale <sup>25</sup>
	2.000	39.20	08/06/20	41.44	2.24 W	0.00	27 of 44 - Sale <sup>25</sup>
	3.000	58.80	08/06/20	60.89	2.09 W	0.00	28 of 44 - Sale <sup>25</sup>
	5.000	98.00	08/06/20	103.89	5.89 W	0.00	29 of 44 - Sale <sup>25</sup>
	5.000	98.00	08/06/20	104.44	6.44 W	0.00	30 of 44 - Sale <sup>25</sup>
	5.000	98.00	08/06/20	103.05	5.05 W	0.00	31 of 44 - Sale <sup>25</sup>

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<b>Robinhood Securities LLC</b>	<b>Proceeds from Broker and Barter Exchange Transactions</b>	Account 527125207
2020 1099-B* OMB No. 1545-0715	(continued)	03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
	5.000	98.00	08/06/20	105.47	7.47 W	0.00	32 of 44 - Sale <sup>25</sup>
	5.000	98.00	08/06/20	102.02	4.02 W	0.00	33 of 44 - Sale <sup>25</sup>
	5.000	98.00	08/06/20	102.60	4.60 W	0.00	34 of 44 - Sale <sup>25</sup>
	6.000	117.60	08/06/20	126.88	9.28 W	0.00	35 of 44 - Sale <sup>25</sup>
	7.000	137.20	08/06/20	139.99	2.79 W	0.00	36 of 44 - Sale <sup>25</sup>
	7.000	137.20	08/06/20	145.87	8.67 W	0.00	37 of 44 - Sale <sup>25</sup>
	8.000	156.80	08/06/20	169.59	12.79 W	0.00	38 of 44 - Sale <sup>25</sup>
	10.000	195.99	08/06/20	210.80	14.81 W	0.00	39 of 44 - Sale <sup>25</sup>
	13.000	254.79	08/06/20	270.88	16.09 W	0.00	40 of 44 - Sale <sup>25</sup>
	15.000	293.99	08/06/20	307.79	13.80 W	0.00	41 of 44 - Sale <sup>25</sup>
	19.000	372.39	08/06/20	393.64	21.25 W	0.00	42 of 44 - Sale <sup>25</sup>
	21.000	411.59	08/06/20	436.10	24.51 W	0.00	43 of 44 - Sale <sup>25</sup>
	234.000	4,586.23	08/06/20	4,605.12	18.89 W	0.00	44 of 44 - Sale <sup>25</sup>
08/06/20	500.000	9,799.72	Various	10,119.33	319.61 W	0.00	Total of 44 transactions
	3 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	1.000	19.31	08/07/20	19.08	...	0.23	1 of 3 - Sale <sup>25</sup>
	2.000	38.62	08/07/20	38.28	...	0.34	2 of 3 - Sale <sup>25</sup>
	7.000	135.16	08/07/20	134.03	...	1.13	3 of 3 - Sale <sup>25</sup>
08/10/20	10.000	193.09	Various	191.39	...	1.70	Total of 3 transactions
	44 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	2.000	38.38	08/07/20	38.70	0.32 W	0.00	1 of 44 - Sale <sup>25</sup>
	8.000	153.52	08/07/20	154.88	1.36 W	0.00	2 of 44 - Sale <sup>25</sup>
	1.000	19.19	08/07/20	19.79	0.60 W	0.00	3 of 44 - Sale <sup>25</sup>
	1.000	19.19	08/07/20	19.79	0.60 W	0.00	4 of 44 - Sale <sup>25</sup>
	1.000	19.19	08/07/20	19.43	0.24 W	0.00	5 of 44 - Sale <sup>25</sup>
	3.000	57.57	08/07/20	59.63	2.06 W	0.00	6 of 44 - Sale <sup>25</sup>
	4.000	76.76	08/07/20	79.15	2.39 W	0.00	7 of 44 - Sale <sup>25</sup>
	9.000	172.71	08/07/20	177.17	4.46 W	0.00	8 of 44 - Sale <sup>25</sup>
	10.000	191.90	08/07/20	196.11	4.21 W	0.00	9 of 44 - Sale <sup>25</sup>
	9.000	172.71	08/07/20	186.11	13.40 W	0.00	10 of 44 - Sale <sup>25</sup>
	12.000	230.27	08/07/20	235.93	5.66 W	0.00	11 of 44 - Sale <sup>25</sup>
	15.000	287.85	08/07/20	303.14	15.29 W	0.00	12 of 44 - Sale <sup>25</sup>
	1.000	19.31	08/07/20	20.64	1.33 W	0.00	13 of 44 - Sale <sup>25</sup>
	1.000	19.31	08/07/20	19.92	0.61 W	0.00	14 of 44 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
	3.000	57.93	08/07/20	58.46	0.53 W	0.00	15 of 44 - Sale <sup>25</sup>
	4.000	77.24	08/07/20	82.71	5.47 W	0.00	16 of 44 - Sale <sup>25</sup>
	4.000	77.24	08/07/20	79.31	2.07 W	0.00	17 of 44 - Sale <sup>25</sup>
	5.000	96.55	08/07/20	99.41	2.86 W	0.00	18 of 44 - Sale <sup>25</sup>
	6.000	115.86	08/07/20	124.09	8.23 W	0.00	19 of 44 - Sale <sup>25</sup>
	1.000	19.31	08/07/20	20.68	1.37 W	0.00	20 of 44 - Sale <sup>25</sup>
	16.000	308.95	08/07/20	311.90	2.95 W	0.00	21 of 44 - Sale <sup>25</sup>
	1.000	19.31	08/07/20	19.67	0.36 W	0.00	22 of 44 - Sale <sup>25</sup>
	1.000	19.31	08/07/20	19.41	0.10 W	0.00	23 of 44 - Sale <sup>25</sup>
	2.000	38.62	08/07/20	39.28	0.66 W	0.00	24 of 44 - Sale <sup>25</sup>
	3.000	57.93	08/07/20	58.33	0.40 W	0.00	25 of 44 - Sale <sup>25</sup>
	5.000	96.55	08/07/20	99.63	3.08 W	0.00	26 of 44 - Sale <sup>25</sup>
	5.000	96.55	08/07/20	100.18	3.63 W	0.00	27 of 44 - Sale <sup>25</sup>
	5.000	96.55	08/07/20	98.79	2.24 W	0.00	28 of 44 - Sale <sup>25</sup>
	6.000	115.85	08/07/20	121.77	5.92 W	0.00	29 of 44 - Sale <sup>25</sup>
	8.000	154.47	08/07/20	162.78	8.31 W	0.00	30 of 44 - Sale <sup>25</sup>
	10.000	193.09	08/07/20	202.29	9.20 W	0.00	31 of 44 - Sale <sup>25</sup>
	3.000	57.92	08/07/20	59.75	1.83 W	0.00	32 of 44 - Sale <sup>25</sup>
	2.000	38.60	08/07/20	39.79	1.19 W	0.00	33 of 44 - Sale <sup>25</sup>
	2.000	38.60	08/07/20	39.74	1.14 W	0.00	34 of 44 - Sale <sup>25</sup>
	4.000	77.20	08/07/20	78.67	1.47 W	0.00	35 of 44 - Sale <sup>25</sup>
	5.000	96.50	08/07/20	101.21	4.71 W	0.00	36 of 44 - Sale <sup>25</sup>
	5.000	96.50	08/07/20	97.76	1.26 W	0.00	37 of 44 - Sale <sup>25</sup>
	7.000	135.10	08/07/20	139.91	4.81 W	0.00	38 of 44 - Sale <sup>25</sup>
	13.000	250.89	08/07/20	259.82	8.93 W	0.00	39 of 44 - Sale <sup>25</sup>
	19.000	366.69	08/07/20	377.46	10.77 W	0.00	40 of 44 - Sale <sup>25</sup>
	18.000	347.39	08/07/20	358.47	11.08 W	0.00	41 of 44 - Sale <sup>25</sup>
	5.000	96.50	08/10/20	101.73	5.23 W	0.00	42 of 44 - Sale <sup>25</sup>
	11.000	212.30	08/10/20	223.80	11.50 W	0.00	43 of 44 - Sale <sup>25</sup>
	59.000	1,138.67	08/10/20	1,150.89	12.22 W	0.00	44 of 44 - Sale <sup>25</sup>
08/10/20	315.000	6,072.03	Various	6,258.08	186.05 W	0.00	Total of 44 transactions
	10 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	25.000	477.49	08/13/20	483.77	6.28 W	0.00	1 of 10 - Sale <sup>25</sup>
	2.000	38.20	08/13/20	38.80	0.60 W	0.00	2 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
	150.000	2,864.91	08/13/20	2,898.09	33.18 W	0.00	3 of 10 - Sale <sup>25</sup>
	8.000	152.80	08/13/20	155.28	2.48 W	0.00	4 of 10 - Sale <sup>25</sup>
	1.000	19.10	08/13/20	19.84	0.74 W	0.00	5 of 10 - Sale <sup>25</sup>
	1.000	19.10	08/13/20	19.84	0.74 W	0.00	6 of 10 - Sale <sup>25</sup>
	4.000	76.40	08/13/20	79.35	2.95 W	0.00	7 of 10 - Sale <sup>25</sup>
	9.000	171.89	08/13/20	177.62	5.73 W	0.00	8 of 10 - Sale <sup>25</sup>
	10.000	190.99	08/13/20	196.61	5.62 W	0.00	9 of 10 - Sale <sup>25</sup>
	15.000	286.49	08/13/20	303.89	17.40 W	0.00	10 of 10 - Sale <sup>25</sup>
08/13/20	225.000	4,297.37	Various	4,373.09	75.72 W	0.00	Total of 10 transactions
	2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.						
	13.000	225.27	08/17/20	234.98	...	-9.71	1 of 2 - Sale <sup>25</sup>
	2.000	34.66	08/17/20	36.16	...	-1.50	2 of 2 - Sale <sup>25</sup>
08/17/20	15.000	259.93	Various	271.14	...	-11.21	Total of 2 transactions
	26 transactions for 08/17/20. Total proceeds and cost reported to the IRS.						
	1.000	17.33	08/17/20	17.75	0.42 W	0.00	1 of 26 - Sale <sup>25</sup>
	1.000	17.33	08/17/20	18.88	1.55 W	0.00	2 of 26 - Sale <sup>25</sup>
	1.000	17.33	08/17/20	18.84	1.51 W	0.00	3 of 26 - Sale <sup>25</sup>
	1.000	17.33	08/17/20	18.12	0.79 W	0.00	4 of 26 - Sale <sup>25</sup>
	3.000	51.99	08/17/20	54.59	2.60 W	0.00	5 of 26 - Sale <sup>25</sup>
	3.000	51.99	08/17/20	53.06	1.07 W	0.00	6 of 26 - Sale <sup>25</sup>
	4.000	69.32	08/17/20	75.51	6.19 W	0.00	7 of 26 - Sale <sup>25</sup>
	4.000	69.32	08/17/20	72.11	2.79 W	0.00	8 of 26 - Sale <sup>25</sup>
	5.000	86.65	08/17/20	90.40	3.75 W	0.00	9 of 26 - Sale <sup>25</sup>
	6.000	103.98	08/17/20	113.28	9.30 W	0.00	10 of 26 - Sale <sup>25</sup>
	9.000	155.97	08/17/20	170.98	15.01 W	0.00	11 of 26 - Sale <sup>25</sup>
	12.000	207.95	08/17/20	215.77	7.82 W	0.00	12 of 26 - Sale <sup>25</sup>
	16.000	277.27	08/17/20	283.09	5.82 W	0.00	13 of 26 - Sale <sup>25</sup>
	1.000	17.33	08/17/20	17.87	0.54 W	0.00	14 of 26 - Sale <sup>25</sup>
	1.000	17.33	08/17/20	17.61	0.28 W	0.00	15 of 26 - Sale <sup>25</sup>
	2.000	34.66	08/17/20	35.68	1.02 W	0.00	16 of 26 - Sale <sup>25</sup>
	2.000	34.66	08/17/20	36.21	1.55 W	0.00	17 of 26 - Sale <sup>25</sup>
	3.000	51.99	08/17/20	52.93	0.94 W	0.00	18 of 26 - Sale <sup>25</sup>
	3.000	51.99	08/17/20	54.36	2.37 W	0.00	19 of 26 - Sale <sup>25</sup>
	5.000	86.65	08/17/20	90.62	3.97 W	0.00	20 of 26 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
	5.000	86.65	08/17/20	91.17	4.52 W	0.00	21 of 26 - Sale <sup>25</sup>
	5.000	86.65	08/17/20	89.79	3.14 W	0.00	22 of 26 - Sale <sup>25</sup>
	6.000	103.98	08/17/20	110.97	6.99 W	0.00	23 of 26 - Sale <sup>25</sup>
	8.000	138.63	08/17/20	148.38	9.75 W	0.00	24 of 26 - Sale <sup>25</sup>
	10.000	173.29	08/17/20	184.29	11.00 W	0.00	25 of 26 - Sale <sup>25</sup>
	18.000	311.92	08/17/20	326.24	14.32 W	0.00	26 of 26 - Sale <sup>25</sup>
08/17/20	135.000	2,339.49	Various	2,458.50	119.01 W	0.00	Total of 26 transactions
	48 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	15.000	266.72	08/18/20	284.70	...	-17.98	1 of 48 - Sale <sup>25</sup>
	9.000	160.03	08/18/20	175.39	...	-15.36	2 of 48 - Sale <sup>25</sup>
	18.000	320.07	08/18/20	335.06	...	-14.99	3 of 48 - Sale <sup>25</sup>
	58.000	1,031.32	08/18/20	1,045.52	...	-14.20	4 of 48 - Sale <sup>25</sup>
	59.000	1,049.10	08/18/20	1,062.71	...	-13.61	5 of 48 - Sale <sup>25</sup>
	11.000	195.60	08/18/20	207.36	...	-11.76	6 of 48 - Sale <sup>25</sup>
	10.000	177.82	08/18/20	189.20	...	-11.38	7 of 48 - Sale <sup>25</sup>
	45.000	800.17	08/18/20	811.40	...	-11.23	8 of 48 - Sale <sup>25</sup>
	42.000	746.83	08/18/20	757.73	...	-10.90	9 of 48 - Sale <sup>25</sup>
	8.000	142.25	08/18/20	152.31	...	-10.06	10 of 48 - Sale <sup>25</sup>
	6.000	106.69	08/18/20	116.22	...	-9.53	11 of 48 - Sale <sup>25</sup>
	13.000	231.16	08/18/20	240.39	...	-9.23	12 of 48 - Sale <sup>25</sup>
	12.000	213.38	08/18/20	221.66	...	-8.28	13 of 48 - Sale <sup>25</sup>
	6.000	106.69	08/18/20	113.91	...	-7.22	14 of 48 - Sale <sup>25</sup>
	25.000	444.54	08/18/20	451.41	...	-6.87	15 of 48 - Sale <sup>25</sup>
	16.000	284.50	08/18/20	290.94	...	-6.44	16 of 48 - Sale <sup>25</sup>
	4.000	71.13	08/18/20	77.47	...	-6.34	17 of 48 - Sale <sup>25</sup>
	9.000	160.03	08/18/20	166.11	...	-6.08	18 of 48 - Sale <sup>25</sup>
	10.000	177.81	08/18/20	183.82	...	-6.01	19 of 48 - Sale <sup>25</sup>
	5.000	88.91	08/18/20	94.25	...	-5.34	20 of 48 - Sale <sup>25</sup>
	7.000	124.47	08/18/20	129.45	...	-4.98	21 of 48 - Sale <sup>25</sup>
	5.000	88.91	08/18/20	93.74	...	-4.83	22 of 48 - Sale <sup>25</sup>
	5.000	88.91	08/18/20	93.62	...	-4.71	23 of 48 - Sale <sup>25</sup>
	5.000	88.91	08/18/20	93.07	...	-4.16	24 of 48 - Sale <sup>25</sup>
	5.000	88.91	08/18/20	92.85	...	-3.94	25 of 48 - Sale <sup>25</sup>
	6.000	106.69	08/18/20	110.23	...	-3.54	26 of 48 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
	5.000	88.91	08/18/20	92.24	...	-3.33	27 of 48 - Sale <sup>25</sup>
	4.000	71.13	08/18/20	74.23	...	-3.10	28 of 48 - Sale <sup>25</sup>
	4.000	71.13	08/18/20	74.07	...	-2.94	29 of 48 - Sale <sup>25</sup>
	8.000	142.25	08/18/20	145.04	...	-2.79	30 of 48 - Sale <sup>25</sup>
	3.000	53.34	08/18/20	56.06	...	-2.72	31 of 48 - Sale <sup>25</sup>
	3.000	53.34	08/18/20	55.83	...	-2.49	32 of 48 - Sale <sup>25</sup>
	2.000	35.56	08/18/20	37.19	...	-1.63	33 of 48 - Sale <sup>25</sup>
	1.000	17.78	08/18/20	19.37	...	-1.59	34 of 48 - Sale <sup>25</sup>
	4.000	71.13	08/18/20	72.69	...	-1.56	35 of 48 - Sale <sup>25</sup>
	1.000	17.78	08/18/20	19.33	...	-1.55	36 of 48 - Sale <sup>25</sup>
	5.000	88.91	08/18/20	90.28	...	-1.37	37 of 48 - Sale <sup>25</sup>
	5.000	88.91	08/18/20	90.16	...	-1.25	38 of 48 - Sale <sup>25</sup>
	3.000	53.34	08/18/20	54.53	...	-1.19	39 of 48 - Sale <sup>25</sup>
	2.000	35.56	08/18/20	36.66	...	-1.10	40 of 48 - Sale <sup>25</sup>
	3.000	53.34	08/18/20	54.40	...	-1.06	41 of 48 - Sale <sup>25</sup>
	1.000	17.78	08/18/20	18.61	...	-0.83	42 of 48 - Sale <sup>25</sup>
	1.000	17.78	08/18/20	18.56	...	-0.78	43 of 48 - Sale <sup>25</sup>
	1.000	17.78	08/18/20	18.56	...	-0.78	44 of 48 - Sale <sup>25</sup>
	2.000	35.56	08/18/20	36.21	...	-0.65	45 of 48 - Sale <sup>25</sup>
	1.000	17.78	08/18/20	18.36	...	-0.58	46 of 48 - Sale <sup>25</sup>
	1.000	17.78	08/18/20	18.24	...	-0.46	47 of 48 - Sale <sup>25</sup>
	1.000	17.78	08/18/20	18.10	...	-0.32	48 of 48 - Sale <sup>25</sup>
08/18/20	475.000	8,446.20	Various	8,709.24	...	-263.04	Total of 48 transactions
12/10/20	50.000	1,552.96	12/10/20	1,566.56	13.60 W	0.00	Sale <sup>25</sup>
74 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	46.000	1,245.19	12/14/20	1,290.70	...	-45.51	1 of 74 - Sale <sup>25</sup>
	31.000	839.15	12/14/20	879.59	...	-40.44	2 of 74 - Sale <sup>25</sup>
	50.000	1,353.46	12/14/20	1,393.58	...	-40.12	3 of 74 - Sale <sup>25</sup>
	46.000	1,265.47	12/14/20	1,300.48	...	-35.01	4 of 74 - Sale <sup>25</sup>
	50.000	1,375.51	12/14/20	1,408.00	...	-32.49	5 of 74 - Sale <sup>25</sup>
	36.000	974.49	12/14/20	1,005.18	...	-30.69	6 of 74 - Sale <sup>25</sup>
	40.000	1,100.41	12/14/20	1,130.87	...	-30.46	7 of 74 - Sale <sup>25</sup>
	44.000	1,191.05	12/14/20	1,220.98	...	-29.93	8 of 74 - Sale <sup>25</sup>
	24.000	649.66	12/14/20	676.83	...	-27.17	9 of 74 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
47.000		1,292.99	12/14/20	1,319.37	...	-26.38	10 of 74 - Sale <sup>25</sup>
19.000		514.32	12/14/20	539.10	...	-24.78	11 of 74 - Sale <sup>25</sup>
34.000		935.35	12/14/20	957.83	...	-22.48	12 of 74 - Sale <sup>25</sup>
20.000		541.39	12/14/20	561.81	...	-20.42	13 of 74 - Sale <sup>25</sup>
30.000		825.31	12/14/20	845.14	...	-19.83	14 of 74 - Sale <sup>25</sup>
18.000		487.25	12/14/20	505.06	...	-17.81	15 of 74 - Sale <sup>25</sup>
31.000		852.82	12/14/20	870.21	...	-17.39	16 of 74 - Sale <sup>25</sup>
16.000		433.11	12/14/20	449.45	...	-16.34	17 of 74 - Sale <sup>25</sup>
25.000		687.76	12/14/20	703.04	...	-15.28	18 of 74 - Sale <sup>25</sup>
14.000		378.97	12/14/20	393.96	...	-14.99	19 of 74 - Sale <sup>25</sup>
12.000		324.83	12/14/20	339.62	...	-14.79	20 of 74 - Sale <sup>25</sup>
20.000		541.38	12/14/20	554.99	...	-13.61	21 of 74 - Sale <sup>25</sup>
18.000		487.25	12/14/20	499.89	...	-12.64	22 of 74 - Sale <sup>25</sup>
18.000		487.25	12/14/20	499.88	...	-12.63	23 of 74 - Sale <sup>25</sup>
10.000		270.69	12/14/20	282.62	...	-11.93	24 of 74 - Sale <sup>25</sup>
10.000		270.69	12/14/20	282.22	...	-11.53	25 of 74 - Sale <sup>25</sup>
9.000		243.62	12/14/20	254.73	...	-11.11	26 of 74 - Sale <sup>25</sup>
11.000		297.76	12/14/20	308.64	...	-10.88	27 of 74 - Sale <sup>25</sup>
19.000		522.70	12/14/20	533.35	...	-10.65	28 of 74 - Sale <sup>25</sup>
9.000		243.62	12/14/20	253.91	...	-10.29	29 of 74 - Sale <sup>25</sup>
14.000		378.97	12/14/20	388.49	...	-9.52	30 of 74 - Sale <sup>25</sup>
7.000		189.48	12/14/20	198.47	...	-8.99	31 of 74 - Sale <sup>25</sup>
10.000		270.69	12/14/20	278.68	...	-7.99	32 of 74 - Sale <sup>25</sup>
11.000		297.76	12/14/20	305.49	...	-7.73	33 of 74 - Sale <sup>25</sup>
9.000		247.59	12/14/20	254.89	...	-7.30	34 of 74 - Sale <sup>25</sup>
14.000		385.14	12/14/20	392.30	...	-7.16	35 of 74 - Sale <sup>25</sup>
11.000		302.61	12/14/20	309.33	...	-6.72	36 of 74 - Sale <sup>25</sup>
4.000		108.28	12/14/20	113.38	...	-5.10	37 of 74 - Sale <sup>25</sup>
5.000		137.55	12/14/20	141.60	...	-4.05	38 of 74 - Sale <sup>25</sup>
3.000		81.21	12/14/20	85.05	...	-3.84	39 of 74 - Sale <sup>25</sup>
3.000		81.21	12/14/20	84.91	...	-3.70	40 of 74 - Sale <sup>25</sup>
3.000		81.21	12/14/20	84.77	...	-3.56	41 of 74 - Sale <sup>25</sup>
3.000		81.21	12/14/20	84.67	...	-3.46	42 of 74 - Sale <sup>25</sup>
3.000		81.21	12/14/20	83.32	...	-2.11	43 of 74 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
	3.000	82.53	12/14/20	84.21	...	-1.68	44 of 74 - Sale <sup>25</sup>
	1.000	27.07	12/14/20	28.30	...	-1.23	45 of 74 - Sale <sup>25</sup>
	1.000	27.07	12/14/20	28.30	...	-1.23	46 of 74 - Sale <sup>25</sup>
	1.000	27.07	12/14/20	28.20	...	-1.13	47 of 74 - Sale <sup>25</sup>
	1.000	27.07	12/14/20	27.87	...	-0.80	48 of 74 - Sale <sup>25</sup>
	2.000	55.88	12/14/20	55.24	...	0.64	49 of 74 - Sale <sup>25</sup>
	2.000	55.90	12/14/20	55.24	...	0.66	50 of 74 - Sale <sup>25</sup>
	1.000	27.94	12/14/20	27.27	...	0.67	51 of 74 - Sale <sup>25</sup>
	2.000	55.88	12/14/20	54.39	...	1.49	52 of 74 - Sale <sup>25</sup>
	5.000	139.75	12/14/20	138.10	...	1.65	53 of 74 - Sale <sup>25</sup>
	5.000	139.75	12/14/20	138.10	...	1.65	54 of 74 - Sale <sup>25</sup>
	7.000	195.65	12/14/20	193.34	...	2.31	55 of 74 - Sale <sup>25</sup>
	3.000	83.82	12/14/20	81.45	...	2.37	56 of 74 - Sale <sup>25</sup>
	3.000	83.82	12/14/20	81.15	...	2.67	57 of 74 - Sale <sup>25</sup>
	49.000	1,369.51	12/14/20	1,366.45	...	3.06	58 of 74 - Sale <sup>25</sup>
	10.000	279.49	12/14/20	276.23	...	3.26	59 of 74 - Sale <sup>25</sup>
	10.000	279.50	12/14/20	276.20	...	3.30	60 of 74 - Sale <sup>25</sup>
	6.000	167.64	12/14/20	163.18	...	4.46	61 of 74 - Sale <sup>25</sup>
	15.000	419.24	12/14/20	414.23	...	5.01	62 of 74 - Sale <sup>25</sup>
	9.000	251.54	12/14/20	246.47	...	5.07	63 of 74 - Sale <sup>25</sup>
	29.000	810.24	12/14/20	795.64	...	14.60	64 of 74 - Sale <sup>25</sup>
	21.000	586.72	12/14/20	569.10	...	17.62	65 of 74 - Sale <sup>25</sup>
	32.000	894.38	12/14/20	876.34	...	18.04	66 of 74 - Sale <sup>25</sup>
	69.000	1,927.80	12/14/20	1,905.77	...	22.03	67 of 74 - Sale <sup>25</sup>
	29.000	810.24	12/14/20	787.35	...	22.89	68 of 74 - Sale <sup>25</sup>
	30.000	838.18	12/14/20	814.50	...	23.68	69 of 74 - Sale <sup>25</sup>
	135.000	3,773.15	12/14/20	3,742.28	...	30.87	70 of 74 - Sale <sup>25</sup>
	50.000	1,396.96	12/14/20	1,357.50	...	39.46	71 of 74 - Sale <sup>25</sup>
	78.000	2,179.26	12/14/20	2,113.80	...	65.46	72 of 74 - Sale <sup>25</sup>
	100.000	2,793.92	12/14/20	2,710.00	...	83.92	73 of 74 - Sale <sup>25</sup>
	97.000	2,710.11	12/14/20	2,623.85	...	86.26	74 of 74 - Sale <sup>25</sup>
12/14/20	1,663.000	45,874.65	Various	46,126.43	...	-251.78	Total of 74 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
<i>130 transactions for 12/14/20. Total proceeds and cost reported to the IRS.</i>							
36.000		990.37	12/14/20	1,002.95	4.89 W	-7.69	1 of 130 - Sale <sup>25</sup>
50.000		1,363.71	12/14/20	1,403.05	39.34 W	0.00	2 of 130 - Sale <sup>25</sup>
15.000		409.11	12/14/20	416.84	7.73 W	0.00	3 of 130 - Sale <sup>25</sup>
135.000		3,682.03	12/14/20	3,765.81	83.78 W	0.00	4 of 130 - Sale <sup>25</sup>
10.000		272.74	12/14/20	277.97	5.23 W	0.00	5 of 130 - Sale <sup>25</sup>
170.000		4,636.63	12/14/20	4,685.20	48.57 W	0.00	6 of 130 - Sale <sup>25</sup>
8.000		218.19	12/14/20	218.56	0.37 W	0.00	7 of 130 - Sale <sup>25</sup>
1.000		27.95	12/14/20	28.07	0.12 W	0.00	8 of 130 - Sale <sup>25</sup>
22.000		614.66	12/14/20	618.20	3.54 W	0.00	9 of 130 - Sale <sup>25</sup>
10.000		279.30	12/14/20	281.00	1.70 W	0.00	10 of 130 - Sale <sup>25</sup>
10.000		279.30	12/14/20	281.00	1.70 W	0.00	11 of 130 - Sale <sup>25</sup>
20.000		558.58	12/14/20	562.00	3.42 W	0.00	12 of 130 - Sale <sup>25</sup>
38.000		1,061.31	12/14/20	1,067.80	6.49 W	0.00	13 of 130 - Sale <sup>25</sup>
10.000		279.29	12/14/20	282.70	3.41 W	0.00	14 of 130 - Sale <sup>25</sup>
10.000		279.29	12/14/20	282.70	3.41 W	0.00	15 of 130 - Sale <sup>25</sup>
4.000		111.72	12/14/20	113.08	1.36 W	0.00	16 of 130 - Sale <sup>25</sup>
22.000		614.44	12/14/20	621.74	7.30 W	0.00	17 of 130 - Sale <sup>25</sup>
15.000		418.95	12/14/20	424.07	5.12 W	0.00	18 of 130 - Sale <sup>25</sup>
1.000		27.93	12/14/20	28.27	0.34 W	0.00	19 of 130 - Sale <sup>25</sup>
1.000		27.93	12/14/20	28.27	0.34 W	0.00	20 of 130 - Sale <sup>25</sup>
37.000		1,033.38	12/14/20	1,046.02	12.64 W	0.00	21 of 130 - Sale <sup>25</sup>
3.000		83.79	12/14/20	85.00	1.21 W	0.00	22 of 130 - Sale <sup>25</sup>
1.000		27.93	12/14/20	28.34	0.41 W	0.00	23 of 130 - Sale <sup>25</sup>
1.000		27.93	12/14/20	28.34	0.41 W	0.00	24 of 130 - Sale <sup>25</sup>
4.000		111.72	12/14/20	113.36	1.64 W	0.00	25 of 130 - Sale <sup>25</sup>
9.000		251.36	12/14/20	255.07	3.71 W	0.00	26 of 130 - Sale <sup>25</sup>
10.000		279.29	12/14/20	283.41	4.12 W	0.00	27 of 130 - Sale <sup>25</sup>
10.000		279.29	12/14/20	283.41	4.12 W	0.00	28 of 130 - Sale <sup>25</sup>
15.000		418.94	12/14/20	425.12	6.18 W	0.00	29 of 130 - Sale <sup>25</sup>
19.000		530.65	12/14/20	538.30	7.65 W	0.00	30 of 130 - Sale <sup>25</sup>
3.000		83.79	12/14/20	85.03	1.24 W	0.00	31 of 130 - Sale <sup>25</sup>
3.000		83.79	12/14/20	84.91	1.12 W	0.00	32 of 130 - Sale <sup>25</sup>
25.000		698.23	12/14/20	706.04	7.81 W	0.00	33 of 130 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
	3.000	83.79	12/14/20	84.94	1.15 W	0.00	34 of 130 - Sale <sup>25</sup>
	10.000	279.29	12/14/20	283.12	3.83 W	0.00	35 of 130 - Sale <sup>25</sup>
	19.000	530.65	12/14/20	537.75	7.10 W	0.00	36 of 130 - Sale <sup>25</sup>
	1.000	27.93	12/14/20	28.41	0.48 W	0.00	37 of 130 - Sale <sup>25</sup>
	1.000	27.93	12/14/20	28.41	0.48 W	0.00	38 of 130 - Sale <sup>25</sup>
	3.000	83.79	12/14/20	85.24	1.45 W	0.00	39 of 130 - Sale <sup>25</sup>
	3.000	83.79	12/14/20	85.12	1.33 W	0.00	40 of 130 - Sale <sup>25</sup>
	4.000	111.72	12/14/20	113.64	1.92 W	0.00	41 of 130 - Sale <sup>25</sup>
	7.000	195.50	12/14/20	198.88	3.38 W	0.00	42 of 130 - Sale <sup>25</sup>
	9.000	251.36	12/14/20	255.71	4.35 W	0.00	43 of 130 - Sale <sup>25</sup>
	15.000	418.94	12/14/20	426.18	7.24 W	0.00	44 of 130 - Sale <sup>25</sup>
	25.000	698.23	12/14/20	707.81	9.58 W	0.00	45 of 130 - Sale <sup>25</sup>
	3.000	83.79	12/14/20	85.15	1.36 W	0.00	46 of 130 - Sale <sup>25</sup>
	10.000	279.29	12/14/20	283.83	4.54 W	0.00	47 of 130 - Sale <sup>25</sup>
	19.000	530.66	12/14/20	539.10	8.44 W	0.00	48 of 130 - Sale <sup>25</sup>
	1.000	27.90	12/14/20	28.60	0.70 W	0.00	49 of 130 - Sale <sup>25</sup>
	1.000	27.90	12/14/20	28.60	0.70 W	0.00	50 of 130 - Sale <sup>25</sup>
	3.000	83.70	12/14/20	85.81	2.11 W	0.00	51 of 130 - Sale <sup>25</sup>
	3.000	83.70	12/14/20	85.68	1.98 W	0.00	52 of 130 - Sale <sup>25</sup>
	3.000	83.70	12/14/20	85.72	2.02 W	0.00	53 of 130 - Sale <sup>25</sup>
	4.000	111.60	12/14/20	114.39	2.79 W	0.00	54 of 130 - Sale <sup>25</sup>
	7.000	195.29	12/14/20	200.21	4.92 W	0.00	55 of 130 - Sale <sup>25</sup>
	9.000	251.09	12/14/20	257.42	6.33 W	0.00	56 of 130 - Sale <sup>25</sup>
	10.000	278.99	12/14/20	285.72	6.73 W	0.00	57 of 130 - Sale <sup>25</sup>
	15.000	418.49	12/14/20	429.02	10.53 W	0.00	58 of 130 - Sale <sup>25</sup>
	19.000	530.09	12/14/20	542.69	12.60 W	0.00	59 of 130 - Sale <sup>25</sup>
	25.000	697.48	12/14/20	712.54	15.06 W	0.00	60 of 130 - Sale <sup>25</sup>
	20.000	557.98	12/14/20	562.00	4.02 W	0.00	61 of 130 - Sale <sup>25</sup>
	80.000	2,231.94	12/14/20	2,248.00	16.06 W	0.00	62 of 130 - Sale <sup>25</sup>
	50.000	1,394.96	12/14/20	1,420.00	25.04 W	0.00	63 of 130 - Sale <sup>25</sup>
	5.000	139.50	12/14/20	143.00	3.50 W	0.00	64 of 130 - Sale <sup>25</sup>
	49.000	1,367.06	12/14/20	1,401.40	34.34 W	0.00	65 of 130 - Sale <sup>25</sup>
	46.000	1,283.37	12/14/20	1,315.60	32.23 W	0.00	66 of 130 - Sale <sup>25</sup>
	30.000	836.98	12/14/20	856.50	19.52 W	0.00	67 of 130 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
	70.000	1,952.95	12/14/20	1,998.50	45.55 W	0.00	68 of 130 - Sale <sup>25</sup>
	69.000	1,925.05	12/14/20	1,966.50	41.45 W	0.00	69 of 130 - Sale <sup>25</sup>
	31.000	864.88	12/14/20	883.50	18.62 W	0.00	70 of 130 - Sale <sup>25</sup>
	100.000	2,789.92	12/14/20	2,845.00	55.08 W	0.00	71 of 130 - Sale <sup>25</sup>
	50.000	1,394.96	12/14/20	1,417.50	22.54 W	0.00	72 of 130 - Sale <sup>25</sup>
	19.000	530.09	12/14/20	537.70	7.61 W	0.00	73 of 130 - Sale <sup>25</sup>
	31.000	864.88	12/14/20	877.30	12.42 W	0.00	74 of 130 - Sale <sup>25</sup>
	50.000	1,394.96	12/14/20	1,415.00	20.04 W	0.00	75 of 130 - Sale <sup>25</sup>
	75.000	2,092.44	12/14/20	2,120.11	27.67 W	0.00	76 of 130 - Sale <sup>25</sup>
	10.000	278.99	12/14/20	280.77	1.78 W	0.00	77 of 130 - Sale <sup>25</sup>
	1.000	27.90	12/14/20	28.08	0.18 W	0.00	78 of 130 - Sale <sup>25</sup>
	1.000	27.78	12/14/20	28.44	0.66 W	0.00	79 of 130 - Sale <sup>25</sup>
	1.000	27.78	12/14/20	28.44	0.66 W	0.00	80 of 130 - Sale <sup>25</sup>
	3.000	83.34	12/14/20	85.33	1.99 W	0.00	81 of 130 - Sale <sup>25</sup>
	3.000	83.34	12/14/20	85.19	1.85 W	0.00	82 of 130 - Sale <sup>25</sup>
	3.000	83.34	12/14/20	85.24	1.90 W	0.00	83 of 130 - Sale <sup>25</sup>
	4.000	111.12	12/14/20	113.74	2.62 W	0.00	84 of 130 - Sale <sup>25</sup>
	7.000	194.45	12/14/20	199.09	4.64 W	0.00	85 of 130 - Sale <sup>25</sup>
	9.000	250.01	12/14/20	255.98	5.97 W	0.00	86 of 130 - Sale <sup>25</sup>
	10.000	277.79	12/14/20	284.11	6.32 W	0.00	87 of 130 - Sale <sup>25</sup>
	15.000	416.69	12/14/20	426.61	9.92 W	0.00	88 of 130 - Sale <sup>25</sup>
	19.000	527.81	12/14/20	539.63	11.82 W	0.00	89 of 130 - Sale <sup>25</sup>
	20.000	555.59	12/14/20	558.79	3.20 W	0.00	90 of 130 - Sale <sup>25</sup>
	25.000	694.48	12/14/20	708.52	14.04 W	0.00	91 of 130 - Sale <sup>25</sup>
	50.000	1,388.96	12/14/20	1,411.96	23.00 W	0.00	92 of 130 - Sale <sup>25</sup>
	80.000	2,222.34	12/14/20	2,235.12	12.78 W	0.00	93 of 130 - Sale <sup>25</sup>
	5.000	138.90	12/14/20	142.00	3.10 W	0.00	94 of 130 - Sale <sup>25</sup>
	46.000	1,277.85	12/14/20	1,306.43	28.58 W	0.00	95 of 130 - Sale <sup>25</sup>
	49.000	1,361.18	12/14/20	1,391.64	30.46 W	0.00	96 of 130 - Sale <sup>25</sup>
	30.000	833.38	12/14/20	849.02	15.64 W	0.00	97 of 130 - Sale <sup>25</sup>
	45.000	1,250.07	12/14/20	1,273.53	23.46 W	0.00	98 of 130 - Sale <sup>25</sup>
	25.000	694.48	12/14/20	707.52	13.04 W	0.00	99 of 130 - Sale <sup>25</sup>
	50.000	1,388.96	12/14/20	1,412.54	23.58 W	0.00	100 of 130 - Sale <sup>25</sup>
	19.000	527.81	12/14/20	536.76	8.95 W	0.00	101 of 130 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
	31.000	861.16	12/14/20	875.77	14.61 W	0.00	102 of 130 - Sale <sup>25</sup>
	50.000	1,388.96	12/14/20	1,410.04	21.08 W	0.00	103 of 130 - Sale <sup>25</sup>
	32.000	888.93	12/14/20	897.63	8.70 W	0.00	104 of 130 - Sale <sup>25</sup>
	50.000	1,388.96	12/14/20	1,407.54	18.58 W	0.00	105 of 130 - Sale <sup>25</sup>
	18.000	500.03	12/14/20	504.91	4.88 W	0.00	106 of 130 - Sale <sup>25</sup>
	19.000	522.70	12/14/20	539.30	16.60 W	0.00	107 of 130 - Sale <sup>25</sup>
	31.000	852.82	12/14/20	879.91	27.09 W	0.00	108 of 130 - Sale <sup>25</sup>
	30.000	825.31	12/14/20	844.52	19.21 W	0.00	109 of 130 - Sale <sup>25</sup>
	20.000	550.21	12/14/20	563.02	12.81 W	0.00	110 of 130 - Sale <sup>25</sup>
	46.000	1,265.47	12/14/20	1,293.47	28.00 W	0.00	111 of 130 - Sale <sup>25</sup>
	1.000	27.51	12/14/20	27.93	0.42 W	0.00	112 of 130 - Sale <sup>25</sup>
	3.000	82.53	12/14/20	85.23	2.70 W	0.00	113 of 130 - Sale <sup>25</sup>
	4.000	110.04	12/14/20	113.62	3.58 W	0.00	114 of 130 - Sale <sup>25</sup>
	7.000	192.57	12/14/20	198.89	6.32 W	0.00	115 of 130 - Sale <sup>25</sup>
	10.000	275.10	12/14/20	279.28	4.18 W	0.00	116 of 130 - Sale <sup>25</sup>
	29.000	797.80	12/14/20	815.45	17.65 W	0.00	117 of 130 - Sale <sup>25</sup>
	1.000	27.51	12/14/20	28.36	0.85 W	0.00	118 of 130 - Sale <sup>25</sup>
	1.000	27.51	12/14/20	28.36	0.85 W	0.00	119 of 130 - Sale <sup>25</sup>
	3.000	82.53	12/14/20	85.09	2.56 W	0.00	120 of 130 - Sale <sup>25</sup>
	9.000	247.59	12/14/20	255.27	7.68 W	0.00	121 of 130 - Sale <sup>25</sup>
	12.000	330.12	12/14/20	340.34	10.22 W	0.00	122 of 130 - Sale <sup>25</sup>
	24.000	660.25	12/14/20	678.28	18.03 W	0.00	123 of 130 - Sale <sup>25</sup>
	1.000	27.51	12/14/20	28.26	0.75 W	0.00	124 of 130 - Sale <sup>25</sup>
	3.000	82.53	12/14/20	84.95	2.42 W	0.00	125 of 130 - Sale <sup>25</sup>
	3.000	82.53	12/14/20	85.00	2.47 W	0.00	126 of 130 - Sale <sup>25</sup>
	10.000	275.10	12/14/20	283.32	8.22 W	0.00	127 of 130 - Sale <sup>25</sup>
	19.000	522.69	12/14/20	538.12	15.43 W	0.00	128 of 130 - Sale <sup>25</sup>
	20.000	550.21	12/14/20	557.20	6.99 W	0.00	129 of 130 - Sale <sup>25</sup>
	44.000	1,210.45	12/14/20	1,225.83	15.38 W	0.00	130 of 130 - Sale <sup>25</sup>
12/14/20	2,796.000	77,543.00	Various	78,888.30	1,337.61 W	-7.69	Total of 130 transactions
	<b>Security total:</b>	<b>194,565.20</b>		<b>198,337.01</b>	<b>3,231.02 W</b>	<b>-540.79</b>	
VISA INC. / CUSIP: 92826C839 / Symbol:							
04/15/20	5.000	832.73	04/13/20	839.98	...	-7.25	Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y201 / Symbol:							
2 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	964.000	133.02	03/23/20	145.56	...	-12.54	1 of 2 - Sale <sup>25</sup>
	36.000	4.97	03/23/20	5.44	...	-0.47	2 of 2 - Sale <sup>25</sup>
03/24/20	1,000.000	137.99	Various	151.00	...	-13.01	Total of 2 transactions
2 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	125.000	35.00	05/05/20	44.01	...	-9.01	1 of 2 - Sale <sup>25</sup>
	25.000	6.99	05/05/20	8.97	...	-1.98	2 of 2 - Sale <sup>25</sup>
05/06/20	150.000	41.99	Various	52.98	...	-10.99	Total of 2 transactions
05/06/20	500.000	139.99	05/05/20	157.50	11.56 W	-5.95	Sale <sup>25</sup>
05/07/20	100.000	27.99	05/06/20	31.63	...	-3.64	Sale <sup>25</sup>
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	75.000	85.12	06/04/20	98.26	...	-13.14	1 of 2 - Sale <sup>25</sup>
	5.000	5.67	06/04/20	7.05	...	-1.38	2 of 2 - Sale <sup>25</sup>
06/05/20	80.000	90.79	Various	105.31	...	-14.52	Total of 2 transactions
2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	900.000	495.96	07/31/20	509.58	...	-13.62	1 of 2 - Sale <sup>25</sup>
	600.000	330.64	07/31/20	337.44	...	-6.80	2 of 2 - Sale <sup>25</sup>
07/31/20	1,500.000	826.60	Various	847.02	...	-20.42	Total of 2 transactions
	<b>Security total:</b>	<b>1,265.35</b>		<b>1,345.44</b>	<b>11.56 W</b>	<b>-68.53</b>	
VISTA OUTDOOR INC. / CUSIP: 928377100 / Symbol:							
05/08/20	50.000	340.50	05/07/20	344.31	...	-3.81	Sale <sup>25</sup>
VIVEVE MEDICAL, INC. COMMON ST OCK / CUSIP: 92852W303 / Symbol:							
04/13/20	700.000	433.92	04/09/20	446.95	...	-13.03	Sale <sup>25</sup>
VIVINT SOLAR, INC. / CUSIP: 92854Q106 / Symbol:							
10 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	58.000	289.99	04/07/20	290.00	...	-0.01	1 of 10 - Sale <sup>25</sup>
	2.000	10.00	04/07/20	10.00	...	0.00	2 of 10 - Sale <sup>25</sup>
	3.000	15.00	04/07/20	15.00	...	0.00	3 of 10 - Sale <sup>25</sup>
	4.000	20.00	04/07/20	20.00	...	0.00	4 of 10 - Sale <sup>25</sup>
	2.000	10.00	04/07/20	10.00	...	0.00	5 of 10 - Sale <sup>25</sup>

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(continued)

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VIVINT SOLAR, INC. / CUSIP: 92854Q106 / Symbol: (cont'd)							
	11.000	55.00	04/07/20	55.00	...	0.00	6 of 10 - Sale <sup>25</sup>
	5.000	25.00	04/07/20	25.00	...	0.00	7 of 10 - Sale <sup>25</sup>
	2.000	10.00	04/07/20	10.00	...	0.00	8 of 10 - Sale <sup>25</sup>
	10.000	50.00	04/07/20	50.00	...	0.00	9 of 10 - Sale <sup>25</sup>
	3.000	15.00	04/07/20	15.00	...	0.00	10 of 10 - Sale <sup>25</sup>
04/08/20	100.000	499.99	Various	500.00	...	-0.01	Total of 10 transactions
	3 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	50.000	457.98	06/01/20	417.44	...	40.54	1 of 3 - Sale <sup>25</sup>
	50.000	457.98	06/02/20	432.87	...	25.11	2 of 3 - Sale <sup>25</sup>
	50.000	457.98	06/02/20	430.50	...	27.48	3 of 3 - Sale <sup>25</sup>
06/04/20	150.000	1,373.94	Various	1,280.81	...	93.13	Total of 3 transactions
07/07/20	50.000	749.98	07/07/20	734.90	...	15.08	Sale <sup>25</sup>
07/07/20	50.000	736.05	07/07/20	748.00	11.95 W	0.00	Sale <sup>25</sup>
	2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	50.000	771.48	07/08/20	789.89	18.41 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	771.48	07/08/20	777.93	6.45 W	0.00	2 of 2 - Sale <sup>25</sup>
07/08/20	100.000	1,542.96	Various	1,567.82	24.86 W	0.00	Total of 2 transactions
	4 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	50.000	891.97	07/10/20	816.35	...	75.62	1 of 4 - Sale <sup>25</sup>
	50.000	891.97	07/10/20	804.38	...	87.59	2 of 4 - Sale <sup>25</sup>
	25.000	445.99	07/13/20	441.22	...	4.77	3 of 4 - Sale <sup>25</sup>
	25.000	445.99	07/13/20	441.22	...	4.77	4 of 4 - Sale <sup>25</sup>
07/13/20	150.000	2,675.92	Various	2,503.17	...	172.75	Total of 4 transactions
	4 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	95.000	1,694.75	07/13/20	1,719.03	24.28 W	0.00	1 of 4 - Sale <sup>25</sup>
	5.000	88.10	07/13/20	90.48	2.38 W	0.00	2 of 4 - Sale <sup>25</sup>
	95.000	1,673.98	07/13/20	1,727.51	53.53 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	17.62	07/13/20	17.93	0.31 W	0.00	4 of 4 - Sale <sup>25</sup>
07/13/20	196.000	3,474.45	Various	3,554.95	80.50 W	0.00	Total of 4 transactions
	13 transactions for 07/14/20. Total proceeds and cost reported to the IRS.						
	1.000	18.75	07/14/20	18.27	...	0.48	1 of 13 - Sale <sup>25</sup>
	1.000	18.75	07/14/20	17.79	...	0.96	2 of 13 - Sale <sup>25</sup>
	4.000	75.00	07/14/20	71.34	...	3.66	3 of 13 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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VIVINT SOLAR, INC. / CUSIP: 92854Q106 / Symbol: (cont'd)							
	25.000	468.76	07/14/20	463.05	...	5.71	4 of 13 - Sale <sup>25</sup>
	75.000	1,406.29	07/14/20	1,398.65	...	7.64	5 of 13 - Sale <sup>25</sup>
	50.000	936.97	07/14/20	928.99	...	7.98	6 of 13 - Sale <sup>25</sup>
	50.000	937.52	07/14/20	929.00	...	8.52	7 of 13 - Sale <sup>25</sup>
	20.000	375.01	07/14/20	359.44	...	15.57	8 of 13 - Sale <sup>25</sup>
	125.000	2,343.81	07/14/20	2,325.00	...	18.81	9 of 13 - Sale <sup>25</sup>
	24.000	450.01	07/14/20	431.01	...	19.00	10 of 13 - Sale <sup>25</sup>
	25.000	468.76	07/14/20	449.22	...	19.54	11 of 13 - Sale <sup>25</sup>
	25.000	468.76	07/14/20	449.00	...	19.76	12 of 13 - Sale <sup>25</sup>
	25.000	468.76	07/14/20	448.09	...	20.67	13 of 13 - Sale <sup>25</sup>
07/14/20	450.000	8,437.15	Various	8,288.85	...	148.30	Total of 13 transactions
	25 transactions for 07/14/20. Total proceeds and cost reported to the IRS.						
	50.000	936.97	07/14/20	949.93	12.96 W	0.00	1 of 25 - Sale <sup>25</sup>
	25.000	467.49	07/14/20	474.97	7.48 W	0.00	2 of 25 - Sale <sup>25</sup>
	50.000	934.97	07/14/20	960.96	25.99 W	0.00	3 of 25 - Sale <sup>25</sup>
	25.000	467.48	07/14/20	474.00	6.52 W	0.00	4 of 25 - Sale <sup>25</sup>
	25.000	467.49	07/14/20	478.36	10.87 W	0.00	5 of 25 - Sale <sup>25</sup>
	25.000	467.48	07/14/20	477.39	9.91 W	0.00	6 of 25 - Sale <sup>25</sup>
	50.000	934.97	07/14/20	967.74	32.77 W	0.00	7 of 25 - Sale <sup>25</sup>
	25.000	467.49	07/14/20	481.87	14.38 W	0.00	8 of 25 - Sale <sup>25</sup>
	25.000	467.48	07/14/20	487.39	19.91 W	0.00	9 of 25 - Sale <sup>25</sup>
	25.000	469.98	07/14/20	488.63	18.65 W	0.00	10 of 25 - Sale <sup>25</sup>
	4.000	75.20	07/14/20	77.14	1.94 W	0.00	11 of 25 - Sale <sup>25</sup>
	5.000	94.00	07/14/20	96.43	2.43 W	0.00	12 of 25 - Sale <sup>25</sup>
	5.000	93.75	07/14/20	96.43	2.68 W	0.00	13 of 25 - Sale <sup>25</sup>
	2.000	37.46	07/14/20	38.57	1.11 W	0.00	14 of 25 - Sale <sup>25</sup>
	9.000	168.48	07/14/20	173.58	5.10 W	0.00	15 of 25 - Sale <sup>25</sup>
	16.000	299.52	07/14/20	311.44	11.92 W	0.00	16 of 25 - Sale <sup>25</sup>
	3.000	56.13	07/14/20	58.40	2.27 W	0.00	17 of 25 - Sale <sup>25</sup>
	6.000	112.20	07/14/20	116.79	4.59 W	0.00	18 of 25 - Sale <sup>25</sup>
	1.000	18.70	07/14/20	19.69	0.99 W	0.00	19 of 25 - Sale <sup>25</sup>
	5.000	93.50	07/14/20	98.43	4.93 W	0.00	20 of 25 - Sale <sup>25</sup>
	10.000	187.00	07/14/20	196.86	9.86 W	0.00	21 of 25 - Sale <sup>25</sup>
	2.000	37.40	07/14/20	39.37	1.97 W	0.00	22 of 25 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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VIVINT SOLAR, INC. / CUSIP: 92854Q106 / Symbol: (cont'd)							
	7.000	130.55	07/14/20	137.80	7.25 W	0.00	23 of 25 - Sale <sup>25</sup>
	23.000	428.93	07/14/20	434.47	5.54 W	0.00	24 of 25 - Sale <sup>25</sup>
	27.000	503.80	07/14/20	510.02	6.22 W	0.00	25 of 25 - Sale <sup>25</sup>
07/14/20	450.000	8,418.42	Various	8,646.66	228.24 W	0.00	Total of 25 transactions
07/17/20	10.000	196.40	07/17/20	203.33	6.93 W	0.00	Sale <sup>25</sup>
	2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.						
	2.000	43.03	07/20/20	44.07	1.04 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	107.57	07/20/20	112.67	5.10 W	0.00	2 of 2 - Sale <sup>25</sup>
07/20/20	7.000	150.60	Various	156.74	6.14 W	0.00	Total of 2 transactions
	15 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	1.000	23.37	07/23/20	24.52	1.15 W	0.00	1 of 15 - Sale <sup>25</sup>
	2.000	46.73	07/23/20	48.17	1.44 W	0.00	2 of 15 - Sale <sup>25</sup>
	2.000	46.73	07/23/20	49.03	2.30 W	0.00	3 of 15 - Sale <sup>25</sup>
	3.000	70.10	07/23/20	72.87	2.77 W	0.00	4 of 15 - Sale <sup>25</sup>
	4.000	93.46	07/23/20	96.07	2.61 W	0.00	5 of 15 - Sale <sup>25</sup>
	5.000	116.83	07/23/20	120.09	3.26 W	0.00	6 of 15 - Sale <sup>25</sup>
	5.000	116.83	07/23/20	120.34	3.51 W	0.00	7 of 15 - Sale <sup>25</sup>
	5.000	116.83	07/23/20	122.59	5.76 W	0.00	8 of 15 - Sale <sup>25</sup>
	6.000	140.19	07/23/20	145.78	5.59 W	0.00	9 of 15 - Sale <sup>25</sup>
	7.000	163.56	07/23/20	171.98	8.42 W	0.00	10 of 15 - Sale <sup>25</sup>
	8.000	186.92	07/23/20	194.23	7.31 W	0.00	11 of 15 - Sale <sup>25</sup>
	9.000	210.29	07/23/20	216.89	6.60 W	0.00	12 of 15 - Sale <sup>25</sup>
	10.000	233.65	07/23/20	245.18	11.53 W	0.00	13 of 15 - Sale <sup>25</sup>
	16.000	373.84	07/23/20	388.44	14.60 W	0.00	14 of 15 - Sale <sup>25</sup>
	17.000	397.20	07/23/20	404.14	6.94 W	0.00	15 of 15 - Sale <sup>25</sup>
07/23/20	100.000	2,336.53	Various	2,420.32	83.79 W	0.00	Total of 15 transactions
	5 transactions for 07/27/20. Total proceeds and cost reported to the IRS.						
	2.000	46.32	07/27/20	47.42	1.10 W	0.00	1 of 5 - Sale <sup>25</sup>
	5.000	115.79	07/27/20	121.05	5.26 W	0.00	2 of 5 - Sale <sup>25</sup>
	6.000	138.95	07/27/20	140.59	1.64 W	0.00	3 of 5 - Sale <sup>25</sup>
	10.000	231.59	07/27/20	238.83	7.24 W	0.00	4 of 5 - Sale <sup>25</sup>
	27.000	625.30	07/27/20	632.34	7.04 W	0.00	5 of 5 - Sale <sup>25</sup>
07/27/20	50.000	1,157.95	Various	1,180.23	22.28 W	0.00	Total of 5 transactions

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Robinhood Securities LLC

Account 527125207

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIVINT SOLAR, INC. / CUSIP: 92854Q106 / Symbol: (cont'd)							
11 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	1.000	19.90	07/29/20	21.03	1.13 W	0.00	1 of 11 - Sale <sup>25</sup>
	2.000	39.80	07/29/20	42.05	2.25 W	0.00	2 of 11 - Sale <sup>25</sup>
	4.000	79.60	07/29/20	83.83	4.23 W	0.00	3 of 11 - Sale <sup>25</sup>
	5.000	99.50	07/29/20	104.79	5.29 W	0.00	4 of 11 - Sale <sup>25</sup>
	5.000	99.50	07/29/20	105.04	5.54 W	0.00	5 of 11 - Sale <sup>25</sup>
	8.000	159.20	07/29/20	169.75	10.55 W	0.00	6 of 11 - Sale <sup>25</sup>
	2.000	39.82	07/29/20	41.47	1.65 W	0.00	7 of 11 - Sale <sup>25</sup>
	6.000	119.40	07/29/20	124.40	5.00 W	0.00	8 of 11 - Sale <sup>25</sup>
	4.000	79.60	07/29/20	83.65	4.05 W	0.00	9 of 11 - Sale <sup>25</sup>
	5.000	99.50	07/29/20	104.56	5.06 W	0.00	10 of 11 - Sale <sup>25</sup>
	7.000	139.30	07/29/20	146.39	7.09 W	0.00	11 of 11 - Sale <sup>25</sup>
07/29/20	49.000	975.12	Various	1,026.96	51.84 W	0.00	Total of 11 transactions
8 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	1.000	20.50	07/30/20	21.88	1.38 W	0.00	1 of 8 - Sale <sup>25</sup>
	2.000	41.01	07/30/20	43.76	2.75 W	0.00	2 of 8 - Sale <sup>25</sup>
	3.000	61.51	07/30/20	64.96	3.45 W	0.00	3 of 8 - Sale <sup>25</sup>
	5.000	102.52	07/30/20	109.41	6.89 W	0.00	4 of 8 - Sale <sup>25</sup>
	6.000	123.03	07/30/20	129.97	6.94 W	0.00	5 of 8 - Sale <sup>25</sup>
	7.000	143.53	07/30/20	153.53	10.00 W	0.00	6 of 8 - Sale <sup>25</sup>
	10.000	205.05	07/30/20	218.83	13.78 W	0.00	7 of 8 - Sale <sup>25</sup>
	16.000	328.07	07/30/20	338.22	10.15 W	0.00	8 of 8 - Sale <sup>25</sup>
07/30/20	50.000	1,025.22	Various	1,080.56	55.34 W	0.00	Total of 8 transactions
33 transactions for 08/05/20. Total proceeds and cost reported to the IRS.							
	18.000	449.80	08/05/20	491.27	...	-41.47	1 of 33 - Sale <sup>25</sup>
	34.000	849.64	08/05/20	885.56	...	-35.92	2 of 33 - Sale <sup>25</sup>
	10.000	249.89	08/05/20	279.04	...	-29.15	3 of 33 - Sale <sup>25</sup>
	8.000	199.91	08/05/20	222.57	...	-22.66	4 of 33 - Sale <sup>25</sup>
	10.000	249.89	08/05/20	272.26	...	-22.37	5 of 33 - Sale <sup>25</sup>
	7.000	174.93	08/05/20	192.82	...	-17.89	6 of 33 - Sale <sup>25</sup>
	8.000	199.91	08/05/20	217.73	...	-17.82	7 of 33 - Sale <sup>25</sup>
	8.000	199.91	08/05/20	217.64	...	-17.73	8 of 33 - Sale <sup>25</sup>
	16.000	399.83	08/05/20	416.82	...	-16.99	9 of 33 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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VIVINT SOLAR, INC. / CUSIP: 92854Q106 / Symbol: (cont'd)							
	6.000	149.94	08/05/20	166.14	...	-16.20	10 of 33 - Sale <sup>25</sup>
	9.000	224.90	08/05/20	240.86	...	-15.96	11 of 33 - Sale <sup>25</sup>
	5.000	124.95	08/05/20	139.99	...	-15.04	12 of 33 - Sale <sup>25</sup>
	5.000	124.95	08/05/20	139.51	...	-14.56	13 of 33 - Sale <sup>25</sup>
	6.000	149.93	08/05/20	164.20	...	-14.27	14 of 33 - Sale <sup>25</sup>
	5.000	124.95	08/05/20	138.04	...	-13.09	15 of 33 - Sale <sup>25</sup>
	5.000	124.95	08/05/20	137.79	...	-12.84	16 of 33 - Sale <sup>25</sup>
	5.000	124.95	08/05/20	137.77	...	-12.82	17 of 33 - Sale <sup>25</sup>
	4.000	99.96	08/05/20	110.23	...	-10.27	18 of 33 - Sale <sup>25</sup>
	4.000	99.95	08/05/20	110.17	...	-10.22	19 of 33 - Sale <sup>25</sup>
	4.000	99.96	08/05/20	110.17	...	-10.21	20 of 33 - Sale <sup>25</sup>
	3.000	74.97	08/05/20	81.91	...	-6.94	21 of 33 - Sale <sup>25</sup>
	3.000	74.97	08/05/20	81.91	...	-6.94	22 of 33 - Sale <sup>25</sup>
	2.000	49.98	08/05/20	56.00	...	-6.02	23 of 33 - Sale <sup>25</sup>
	2.000	49.98	08/05/20	55.89	...	-5.91	24 of 33 - Sale <sup>25</sup>
	2.000	49.98	08/05/20	55.36	...	-5.38	25 of 33 - Sale <sup>25</sup>
	2.000	49.98	08/05/20	55.25	...	-5.27	26 of 33 - Sale <sup>25</sup>
	2.000	49.98	08/05/20	54.71	...	-4.73	27 of 33 - Sale <sup>25</sup>
	2.000	49.98	08/05/20	54.10	...	-4.12	28 of 33 - Sale <sup>25</sup>
	1.000	24.99	08/05/20	27.91	...	-2.92	29 of 33 - Sale <sup>25</sup>
	1.000	24.99	08/05/20	27.68	...	-2.69	30 of 33 - Sale <sup>25</sup>
	1.000	24.99	08/05/20	27.66	...	-2.67	31 of 33 - Sale <sup>25</sup>
	1.000	24.99	08/05/20	27.54	...	-2.55	32 of 33 - Sale <sup>25</sup>
	1.000	24.99	08/05/20	27.44	...	-2.45	33 of 33 - Sale <sup>25</sup>
08/05/20	200.000	4,997.87	Various	5,423.94	...	-426.07	Total of 33 transactions
	61 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	1.000	25.00	08/05/20	25.86	0.86 W	0.00	1 of 61 - Sale <sup>25</sup>
	3.000	75.00	08/05/20	77.17	2.17 W	0.00	2 of 61 - Sale <sup>25</sup>
	2.000	50.00	08/05/20	52.00	2.00 W	0.00	3 of 61 - Sale <sup>25</sup>
	4.000	100.00	08/05/20	106.03	6.03 W	0.00	4 of 61 - Sale <sup>25</sup>
	5.000	124.99	08/05/20	132.51	7.52 W	0.00	5 of 61 - Sale <sup>25</sup>
	3.000	75.00	08/05/20	77.17	2.17 W	0.00	6 of 61 - Sale <sup>25</sup>
	8.000	199.99	08/05/20	214.15	14.16 W	0.00	7 of 61 - Sale <sup>25</sup>
	10.000	249.99	08/05/20	261.74	11.75 W	0.00	8 of 61 - Sale <sup>25</sup>

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(continued)

03/15/2021

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VIVINT SOLAR, INC. / CUSIP: 92854Q106 / Symbol: (cont'd)							
	27.000	674.98	08/05/20	694.19	19.21 W	0.00	9 of 61 - Sale <sup>25</sup>
	2.000	50.00	08/05/20	53.15	3.15 W	0.00	10 of 61 - Sale <sup>25</sup>
	5.000	125.00	08/05/20	132.54	7.54 W	0.00	11 of 61 - Sale <sup>25</sup>
	5.000	125.00	08/05/20	132.79	7.79 W	0.00	12 of 61 - Sale <sup>25</sup>
	1.000	25.00	08/05/20	26.61	1.61 W	0.00	13 of 61 - Sale <sup>25</sup>
	2.000	50.00	08/05/20	52.61	2.61 W	0.00	14 of 61 - Sale <sup>25</sup>
	3.000	75.00	08/05/20	79.89	4.89 W	0.00	15 of 61 - Sale <sup>25</sup>
	4.000	100.00	08/05/20	105.97	5.97 W	0.00	16 of 61 - Sale <sup>25</sup>
	5.000	125.00	08/05/20	132.46	7.46 W	0.00	17 of 61 - Sale <sup>25</sup>
	6.000	149.99	08/05/20	157.88	7.89 W	0.00	18 of 61 - Sale <sup>25</sup>
	6.000	149.99	08/05/20	159.82	9.83 W	0.00	19 of 61 - Sale <sup>25</sup>
	7.000	174.99	08/05/20	185.45	10.46 W	0.00	20 of 61 - Sale <sup>25</sup>
	1.000	25.00	08/05/20	26.86	1.86 W	0.00	21 of 61 - Sale <sup>25</sup>
	1.000	25.00	08/05/20	26.34	1.34 W	0.00	22 of 61 - Sale <sup>25</sup>
	2.000	50.00	08/05/20	53.71	3.71 W	0.00	23 of 61 - Sale <sup>25</sup>
	3.000	75.00	08/05/20	78.61	3.61 W	0.00	24 of 61 - Sale <sup>25</sup>
	3.000	75.00	08/05/20	78.61	3.61 W	0.00	25 of 61 - Sale <sup>25</sup>
	5.000	125.00	08/05/20	134.29	9.29 W	0.00	26 of 61 - Sale <sup>25</sup>
	7.000	175.00	08/05/20	188.36	13.36 W	0.00	27 of 61 - Sale <sup>25</sup>
	10.000	249.99	08/05/20	268.58	18.59 W	0.00	28 of 61 - Sale <sup>25</sup>
	16.000	399.99	08/05/20	417.83	17.84 W	0.00	29 of 61 - Sale <sup>25</sup>
	18.000	449.99	08/05/20	471.45	21.46 W	0.00	30 of 61 - Sale <sup>25</sup>
	1.000	25.00	08/05/20	27.09	2.09 W	0.00	31 of 61 - Sale <sup>25</sup>
	2.000	50.00	08/05/20	52.96	2.96 W	0.00	32 of 61 - Sale <sup>25</sup>
	2.000	50.00	08/05/20	54.11	4.11 W	0.00	33 of 61 - Sale <sup>25</sup>
	2.000	50.00	08/05/20	53.57	3.57 W	0.00	34 of 61 - Sale <sup>25</sup>
	3.000	75.00	08/05/20	81.33	6.33 W	0.00	35 of 61 - Sale <sup>25</sup>
	4.000	100.00	08/05/20	107.95	7.95 W	0.00	36 of 61 - Sale <sup>25</sup>
	4.000	100.00	08/05/20	107.89	7.89 W	0.00	37 of 61 - Sale <sup>25</sup>
	5.000	125.00	08/05/20	134.92	9.92 W	0.00	38 of 61 - Sale <sup>25</sup>
	5.000	125.00	08/05/20	134.94	9.94 W	0.00	39 of 61 - Sale <sup>25</sup>
	5.000	125.00	08/05/20	135.19	10.19 W	0.00	40 of 61 - Sale <sup>25</sup>
	5.000	125.00	08/05/20	134.86	9.86 W	0.00	41 of 61 - Sale <sup>25</sup>
	6.000	149.99	08/05/20	160.77	10.78 W	0.00	42 of 61 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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VIVINT SOLAR, INC. / CUSIP: 92854Q106 / Symbol: (cont'd)							
	6.000	149.99	08/05/20	162.71	12.72 W	0.00	43 of 61 - Sale <sup>25</sup>
	7.000	174.99	08/05/20	188.82	13.83 W	0.00	44 of 61 - Sale <sup>25</sup>
	8.000	199.99	08/05/20	218.00	18.01 W	0.00	45 of 61 - Sale <sup>25</sup>
	9.000	224.99	08/05/20	235.72	10.73 W	0.00	46 of 61 - Sale <sup>25</sup>
	10.000	249.99	08/05/20	266.55	16.56 W	0.00	47 of 61 - Sale <sup>25</sup>
	16.000	399.98	08/05/20	407.68	7.70 W	0.00	48 of 61 - Sale <sup>25</sup>
	34.000	849.97	08/05/20	866.15	16.18 W	0.00	49 of 61 - Sale <sup>25</sup>
	1.000	25.00	08/05/20	27.34	2.34 W	0.00	50 of 61 - Sale <sup>25</sup>
	5.000	125.00	08/05/20	136.66	11.66 W	0.00	51 of 61 - Sale <sup>25</sup>
	10.000	250.00	08/05/20	273.34	23.34 W	0.00	52 of 61 - Sale <sup>25</sup>
	2.000	50.00	08/05/20	54.75	4.75 W	0.00	53 of 61 - Sale <sup>25</sup>
	5.000	125.00	08/05/20	137.14	12.14 W	0.00	54 of 61 - Sale <sup>25</sup>
	2.000	50.00	08/05/20	54.86	4.86 W	0.00	55 of 61 - Sale <sup>25</sup>
	8.000	200.00	08/05/20	213.08	13.08 W	0.00	56 of 61 - Sale <sup>25</sup>
	1.000	24.99	08/05/20	26.86	1.87 W	0.00	57 of 61 - Sale <sup>25</sup>
	3.000	74.97	08/05/20	80.17	5.20 W	0.00	58 of 61 - Sale <sup>25</sup>
	3.000	74.97	08/05/20	80.17	5.20 W	0.00	59 of 61 - Sale <sup>25</sup>
	8.000	199.91	08/05/20	213.08	13.17 W	0.00	60 of 61 - Sale <sup>25</sup>
	18.000	449.81	08/05/20	480.82	31.01 W	0.00	61 of 61 - Sale <sup>25</sup>
08/05/20	375.000	9,374.43	Various	9,914.11	539.68 W	0.00	Total of 61 transactions
	<b>Security total:</b>	<b>48,122.98</b>		<b>49,231.35</b>	<b>1,111.55 W</b>	<b>3.18</b>	
VMWARE, INC / CUSIP: 928563402 / Symbol:							
06/30/20	5.000	775.55	06/30/20	775.81	...	-0.26	Sale <sup>25</sup>
07/01/20	5.000	779.62	06/30/20	775.81	...	3.81	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,555.17</b>		<b>1,551.62</b>	<b>...</b>	<b>3.55</b>	
WAITR HOLDINGS INC. COMMON STO CK / CUSIP: 930752100 / Symbol:							
5 transactions for 03/17/20. Total proceeds and cost reported to the IRS.							
	1.000	0.85	03/16/20	0.52	...	0.33	1 of 5 - Sale <sup>25</sup>
	10.000	8.50	03/16/20	5.19	...	3.31	2 of 5 - Sale <sup>25</sup>
	20.000	17.00	03/16/20	10.38	...	6.62	3 of 5 - Sale <sup>25</sup>
	150.000	127.48	03/16/20	98.70	...	28.78	4 of 5 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WAITR HOLDINGS INC. COMMON STO CK / CUSIP: 930752100 / Symbol: (cont'd)							
03/17/20	369.000	313.61	03/16/20	191.47	...	122.14	5 of 5 - Sale <sup>25</sup>
	550.000	467.44	Various	306.26	...	161.18	Total of 5 transactions
	6 transactions for 03/19/20. Total proceeds and cost reported to the IRS.						
	7.000	24.50	03/18/20	13.44	...	11.06	1 of 6 - Sale <sup>25</sup>
	15.000	52.50	03/18/20	28.80	...	23.70	2 of 6 - Sale <sup>25</sup>
	38.000	132.98	03/18/20	68.39	...	64.59	3 of 6 - Sale <sup>25</sup>
	40.000	139.99	03/18/20	67.20	...	72.79	4 of 6 - Sale <sup>25</sup>
	60.000	209.99	03/18/20	98.50	...	111.49	5 of 6 - Sale <sup>25</sup>
	100.000	349.98	03/18/20	193.88	...	156.10	6 of 6 - Sale <sup>25</sup>
03/19/20	260.000	909.94	Various	470.21	...	439.73	Total of 6 transactions
03/27/20	300.000	380.95	03/26/20	341.28	...	39.67	Sale <sup>25</sup>
03/27/20	200.000	253.97	03/26/20	291.00	37.03 W	0.00	Sale <sup>25</sup>
	3 transactions for 03/30/20. Total proceeds and cost reported to the IRS.						
	200.000	269.97	03/27/20	312.03	42.06 W	0.00	1 of 3 - Sale <sup>25</sup>
	200.000	269.97	03/27/20	275.00	5.03 W	0.00	2 of 3 - Sale <sup>25</sup>
	600.000	809.91	03/27/20	839.94	30.03 W	0.00	3 of 3 - Sale <sup>25</sup>
03/30/20	1,000.000	1,349.85	Various	1,426.97	77.12 W	0.00	Total of 3 transactions
	2 transactions for 04/08/20. Total proceeds and cost reported to the IRS.						
	200.000	205.98	04/07/20	243.82	37.84 W	0.00	1 of 2 - Sale <sup>25</sup>
	150.000	154.48	04/07/20	155.09	0.61 W	0.00	2 of 2 - Sale <sup>25</sup>
04/08/20	350.000	360.46	Various	398.91	38.45 W	0.00	Total of 2 transactions
	2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	50.000	56.00	04/16/20	57.26	1.26 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	55.99	04/16/20	58.50	2.51 W	0.00	2 of 2 - Sale <sup>25</sup>
04/17/20	100.000	111.99	Various	115.76	3.77 W	0.00	Total of 2 transactions
	6 transactions for 04/24/20. Total proceeds and cost reported to the IRS.						
	400.000	443.98	04/23/20	475.54	31.56 W	0.00	1 of 6 - Sale <sup>25</sup>
	200.000	221.99	04/23/20	265.60	43.61 W	0.00	2 of 6 - Sale <sup>25</sup>
	50.000	55.50	04/23/20	58.20	2.70 W	0.00	3 of 6 - Sale <sup>25</sup>
	50.000	55.50	04/23/20	59.45	3.95 W	0.00	4 of 6 - Sale <sup>25</sup>
	150.000	166.49	04/23/20	171.43	4.94 W	0.00	5 of 6 - Sale <sup>25</sup>
	150.000	166.49	04/23/20	178.33	11.84 W	0.00	6 of 6 - Sale <sup>25</sup>
04/24/20	1,000.000	1,109.95	Various	1,208.55	98.60 W	0.00	Total of 6 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WAITR HOLDINGS INC. COMMON STO CK / CUSIP: 930752100 / Symbol: (cont'd)							
2 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	100.000	116.98	04/28/20	138.80	...	-21.82	1 of 2 - Sale <sup>25</sup>
	100.000	116.99	04/28/20	124.88	...	-7.89	2 of 2 - Sale <sup>25</sup>
04/29/20	200.000	233.97	Various	263.68	...	-29.71	Total of 2 transactions
04/29/20	300.000	350.95	04/28/20	374.67	11.86 W	-11.86	Sale <sup>25</sup>
2 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	100.000	161.99	05/04/20	173.80	...	-11.81	1 of 2 - Sale <sup>25</sup>
	100.000	161.99	05/04/20	155.29	...	6.70	2 of 2 - Sale <sup>25</sup>
05/05/20	200.000	323.98	Various	329.09	...	-5.11	Total of 2 transactions
10 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	20.000	62.00	05/07/20	40.36	...	21.64	1 of 10 - Sale <sup>25</sup>
	10.000	31.00	05/08/20	23.18	...	7.82	2 of 10 - Sale <sup>25</sup>
	50.000	154.99	05/08/20	142.94	...	12.05	3 of 10 - Sale <sup>25</sup>
	50.000	154.99	05/08/20	142.45	...	12.54	4 of 10 - Sale <sup>25</sup>
	20.000	62.00	05/08/20	46.78	...	15.22	5 of 10 - Sale <sup>25</sup>
	20.000	62.00	05/08/20	46.36	...	15.64	6 of 10 - Sale <sup>25</sup>
	30.000	93.00	05/08/20	70.17	...	22.83	7 of 10 - Sale <sup>25</sup>
	50.000	154.99	05/08/20	119.20	...	35.79	8 of 10 - Sale <sup>25</sup>
	50.000	154.99	05/08/20	119.20	...	35.79	9 of 10 - Sale <sup>25</sup>
	50.000	154.99	05/11/20	153.20	...	1.79	10 of 10 - Sale <sup>25</sup>
05/12/20	350.000	1,084.95	Various	903.84	...	181.11	Total of 10 transactions
2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	32.000	91.20	05/15/20	90.69	...	0.51	1 of 2 - Sale <sup>25</sup>
	68.000	193.81	05/15/20	192.72	...	1.09	2 of 2 - Sale <sup>25</sup>
05/18/20	100.000	285.01	Various	283.41	...	1.60	Total of 2 transactions
06/09/20	100.000	231.99	06/08/20	227.50	...	4.49	Sale <sup>25</sup>
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	150.000	374.97	06/15/20	375.00	0.03 W	0.00	1 of 2 - Sale <sup>25</sup>
	100.000	249.98	06/15/20	250.00	0.02 W	0.00	2 of 2 - Sale <sup>25</sup>
06/16/20	250.000	624.95	Various	625.00	0.05 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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WAITR HOLDINGS INC. COMMON STO CK / CUSIP: 930752100 / Symbol: (cont'd)							
<i>4 transactions for 06/29/20. Total proceeds and cost reported to the IRS.</i>							
	250.000	657.48	06/29/20	690.00	...	-32.52	1 of 4 - Sale <sup>25</sup>
	100.000	262.99	06/29/20	277.99	...	-15.00	2 of 4 - Sale <sup>25</sup>
	211.000	571.79	06/29/20	584.46	...	-12.67	3 of 4 - Sale <sup>25</sup>
	39.000	105.69	06/29/20	108.03	...	-2.34	4 of 4 - Sale <sup>25</sup>
06/29/20	600.000	1,597.95	Various	1,660.48	...	-62.53	Total of 4 transactions
<i>10 transactions for 06/29/20. Total proceeds and cost reported to the IRS.</i>							
	200.000	541.98	06/29/20	553.00	3.86 W	-7.16	1 of 10 - Sale <sup>25</sup>
	100.000	258.99	06/29/20	264.01	5.02 W	0.00	2 of 10 - Sale <sup>25</sup>
	150.000	388.49	06/29/20	396.02	7.53 W	0.00	3 of 10 - Sale <sup>25</sup>
	1,250.000	3,237.40	06/29/20	3,299.87	62.47 W	0.00	4 of 10 - Sale <sup>25</sup>
	500.000	1,294.96	06/29/20	1,332.85	37.89 W	0.00	5 of 10 - Sale <sup>25</sup>
	100.000	265.99	06/29/20	271.59	5.60 W	0.00	6 of 10 - Sale <sup>25</sup>
	150.000	398.99	06/29/20	407.39	8.40 W	0.00	7 of 10 - Sale <sup>25</sup>
	150.000	398.98	06/29/20	409.49	10.51 W	0.00	8 of 10 - Sale <sup>25</sup>
	50.000	133.25	06/29/20	136.50	3.25 W	0.00	9 of 10 - Sale <sup>25</sup>
	50.000	135.50	06/29/20	136.50	1.00 W	0.00	10 of 10 - Sale <sup>25</sup>
06/29/20	2,700.000	7,054.53	Various	7,207.22	145.53 W	-7.16	Total of 10 transactions
<i>2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	73.20	07/06/20	74.40	1.20 W	0.00	1 of 2 - Sale <sup>25</sup>
	50.000	182.99	07/06/20	201.00	18.01 W	0.00	2 of 2 - Sale <sup>25</sup>
07/06/20	70.000	256.19	Various	275.40	19.21 W	0.00	Total of 2 transactions
<i>2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.</i>							
	7.000	23.73	07/09/20	24.15	0.42 W	0.00	1 of 2 - Sale <sup>25</sup>
	93.000	315.27	07/09/20	320.38	5.11 W	0.00	2 of 2 - Sale <sup>25</sup>
07/09/20	100.000	339.00	Various	344.53	5.53 W	0.00	Total of 2 transactions
<i>3 transactions for 07/22/20. Total proceeds and cost reported to the IRS.</i>							
	30.000	154.49	07/22/20	151.80	...	2.69	1 of 3 - Sale <sup>25</sup>
	70.000	360.48	07/22/20	356.00	...	4.48	2 of 3 - Sale <sup>25</sup>
	125.000	643.73	07/22/20	635.72	...	8.01	3 of 3 - Sale <sup>25</sup>
07/22/20	225.000	1,158.70	Various	1,143.52	...	15.18	Total of 3 transactions

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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WAITR HOLDINGS INC. COMMON STO CK / CUSIP: 930752100 / Symbol: (cont'd)							
11 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	25.000	128.74	07/22/20	131.90	3.16 W	0.00	1 of 11 - Sale <sup>25</sup>
	100.000	507.97	07/22/20	527.58	19.61 W	0.00	2 of 11 - Sale <sup>25</sup>
	50.000	254.00	07/22/20	263.79	9.79 W	0.00	3 of 11 - Sale <sup>25</sup>
	100.000	507.98	07/22/20	527.58	19.60 W	0.00	4 of 11 - Sale <sup>25</sup>
	70.000	355.59	07/22/20	369.32	13.73 W	0.00	5 of 11 - Sale <sup>25</sup>
	150.000	761.96	07/22/20	791.40	29.44 W	0.00	6 of 11 - Sale <sup>25</sup>
	30.000	152.39	07/22/20	158.87	6.48 W	0.00	7 of 11 - Sale <sup>25</sup>
	30.000	149.24	07/22/20	151.83	2.59 W	0.00	8 of 11 - Sale <sup>25</sup>
	20.000	99.49	07/22/20	100.50	1.01 W	0.00	9 of 11 - Sale <sup>25</sup>
	50.000	248.74	07/22/20	253.50	4.76 W	0.00	10 of 11 - Sale <sup>25</sup>
	150.000	746.22	07/22/20	761.26	15.04 W	0.00	11 of 11 - Sale <sup>25</sup>
07/22/20	775.000	3,912.32	Various	4,037.53	125.21 W	0.00	Total of 11 transactions
2 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	55.000	278.02	07/30/20	288.81	...	-10.79	1 of 2 - Sale <sup>25</sup>
	40.000	205.40	07/30/20	210.64	...	-5.24	2 of 2 - Sale <sup>25</sup>
07/30/20	95.000	483.42	Various	499.45	...	-16.03	Total of 2 transactions
8 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	30.000	149.55	07/29/20	157.50	7.95 W	0.00	1 of 8 - Sale <sup>25</sup>
	70.000	348.94	07/29/20	369.96	21.02 W	0.00	2 of 8 - Sale <sup>25</sup>
	25.000	126.37	07/30/20	129.54	3.04 W	-0.13	3 of 8 - Sale <sup>25</sup>
	20.000	101.00	07/30/20	102.30	1.30 W	0.00	4 of 8 - Sale <sup>25</sup>
	9.000	45.45	07/30/20	48.74	3.29 W	0.00	5 of 8 - Sale <sup>25</sup>
	7.000	35.38	07/30/20	35.81	0.43 W	0.00	6 of 8 - Sale <sup>25</sup>
	41.000	207.24	07/30/20	222.02	14.78 W	0.00	7 of 8 - Sale <sup>25</sup>
	93.000	470.09	07/30/20	475.22	5.13 W	0.00	8 of 8 - Sale <sup>25</sup>
07/30/20	295.000	1,484.02	Various	1,541.09	56.94 W	-0.13	Total of 8 transactions
9 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	150.000	816.71	08/03/20	848.44	...	-31.73	1 of 9 - Sale <sup>25</sup>
	100.000	544.48	08/03/20	565.60	...	-21.12	2 of 9 - Sale <sup>25</sup>
	70.000	381.13	08/03/20	395.93	...	-14.80	3 of 9 - Sale <sup>25</sup>
	65.000	353.91	08/03/20	361.42	...	-7.51	4 of 9 - Sale <sup>25</sup>
	35.000	190.57	08/03/20	197.95	...	-7.38	5 of 9 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WAITR HOLDINGS INC. COMMON STO CK / CUSIP: 930752100 / Symbol: (cont'd)							
	30.000	163.34	08/03/20	170.28	...	-6.94	6 of 9 - Sale <sup>25</sup>
	15.000	81.67	08/03/20	84.84	...	-3.17	7 of 9 - Sale <sup>25</sup>
	30.000	163.34	08/03/20	166.39	...	-3.05	8 of 9 - Sale <sup>25</sup>
	5.000	27.22	08/03/20	27.38	...	-0.16	9 of 9 - Sale <sup>25</sup>
08/03/20	500.000	2,722.37	Various	2,818.23	...	-95.86	Total of 9 transactions
	7 transactions for 08/04/20. Total proceeds and cost reported to the IRS.						
	50.000	274.99	08/04/20	293.51	...	-18.52	1 of 7 - Sale <sup>25</sup>
	30.000	164.99	08/04/20	175.05	...	-10.06	2 of 7 - Sale <sup>25</sup>
	50.000	274.99	08/04/20	283.26	...	-8.27	3 of 7 - Sale <sup>25</sup>
	28.000	153.99	08/04/20	158.77	...	-4.78	4 of 7 - Sale <sup>25</sup>
	22.000	121.00	08/04/20	124.74	...	-3.74	5 of 7 - Sale <sup>25</sup>
	20.000	109.99	08/04/20	112.41	...	-2.42	6 of 7 - Sale <sup>25</sup>
	35.000	192.49	08/04/20	194.79	...	-2.30	7 of 7 - Sale <sup>25</sup>
08/04/20	235.000	1,292.44	Various	1,342.53	...	-50.09	Total of 7 transactions
	7 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	41.000	176.29	08/13/20	190.87	...	-14.58	1 of 7 - Sale <sup>25</sup>
	20.000	86.00	08/13/20	91.91	...	-5.91	2 of 7 - Sale <sup>25</sup>
	93.000	399.87	08/13/20	404.56	...	-4.69	3 of 7 - Sale <sup>25</sup>
	9.000	38.70	08/13/20	41.95	...	-3.25	4 of 7 - Sale <sup>25</sup>
	10.000	43.00	08/13/20	44.22	...	-1.22	5 of 7 - Sale <sup>25</sup>
	20.000	86.00	08/13/20	87.20	...	-1.20	6 of 7 - Sale <sup>25</sup>
	7.000	30.10	08/13/20	30.50	...	-0.40	7 of 7 - Sale <sup>25</sup>
08/13/20	200.000	859.96	Various	891.21	...	-31.25	Total of 7 transactions
08/21/20	14.000	66.43	08/20/20	70.23	...	-3.80	Sale <sup>25</sup>
	2 transactions for 10/01/20. Total proceeds and cost reported to the IRS.						
	2.761	9.22	10/01/20	9.00	...	0.22	1 of 2 - Sale <sup>25</sup>
	540.239	1,804.29	10/01/20	1,782.79	...	21.50	2 of 2 - Sale <sup>25</sup>
10/01/20	543.000	1,813.51	Various	1,791.79	...	21.72	Total of 2 transactions
	2 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	9.000	29.65	10/01/20	30.06	...	-0.41	1 of 2 - Sale <sup>25</sup>
	0.761	2.51	10/01/20	2.51	...	0.00	2 of 2 - Sale <sup>25</sup>
10/02/20	9.761	32.16	Various	32.57	...	-0.41	Total of 2 transactions
	<b>Security total:</b>	<b>31,153.35</b>		<b>31,221.91</b>	<b>619.30 W</b>	<b>550.74</b>	

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WALMART INC. / CUSIP: 931142103 / Symbol:							
08/18/20	1.000	134.42	08/18/20	135.44	...	-1.02	Sale <sup>25</sup>
WASHINGTON PRIME GROUP INC. / CUSIP: 93964W108 / Symbol:							
2 transactions for 04/02/20. Total proceeds and cost reported to the IRS.							
	200.000	129.98	04/01/20	124.01	...	5.97	1 of 2 - Sale <sup>25</sup>
	300.000	194.96	04/01/20	186.02	...	8.94	2 of 2 - Sale <sup>25</sup>
04/02/20	500.000	324.94	Various	310.03	...	14.91	Total of 2 transactions
WAYFAIR INC. / CUSIP: 94419L101 / Symbol:							
04/08/20	10.000	752.84	04/07/20	774.70	21.86 W	0.00	Sale <sup>25</sup>
5 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	5.000	591.67	04/23/20	527.47	...	64.20	1 of 5 - Sale <sup>25</sup>
	10.000	1,183.35	04/23/20	1,058.89	...	124.46	2 of 5 - Sale <sup>25</sup>
	10.000	1,183.34	04/23/20	1,037.03	...	146.31	3 of 5 - Sale <sup>25</sup>
	5.000	643.73	04/24/20	591.79	...	51.94	4 of 5 - Sale <sup>25</sup>
	5.000	643.73	04/24/20	591.79	...	51.94	5 of 5 - Sale <sup>25</sup>
04/27/20	35.000	4,245.82	Various	3,806.97	...	438.85	Total of 5 transactions
04/28/20	15.000	1,874.95	04/24/20	1,775.36	...	99.59	Sale <sup>25</sup>
2 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	5.000	823.18	05/04/20	655.39	...	167.79	1 of 2 - Sale <sup>25</sup>
	5.000	823.17	05/04/20	638.24	...	184.93	2 of 2 - Sale <sup>25</sup>
05/06/20	10.000	1,646.35	Various	1,293.63	...	352.72	Total of 2 transactions
2 transactions for 05/07/20. Total proceeds and cost reported to the IRS.							
	2.000	357.00	05/06/20	354.82	...	2.18	1 of 2 - Sale <sup>25</sup>
	3.000	535.18	05/06/20	532.24	...	2.94	2 of 2 - Sale <sup>25</sup>
05/07/20	5.000	892.18	Various	887.06	...	5.12	Total of 2 transactions
05/11/20	3.000	555.48	05/08/20	571.07	15.59 W	0.00	Sale <sup>25</sup>
05/18/20	2.000	335.47	05/15/20	334.43	...	1.04	Sale <sup>25</sup>
05/18/20	3.000	503.21	05/15/20	517.24	14.03 W	0.00	Sale <sup>25</sup>
05/20/20	2.000	323.83	05/19/20	319.18	...	4.65	Sale <sup>25</sup>
05/20/20	3.000	485.75	05/19/20	492.80	7.05 W	0.00	Sale <sup>25</sup>
06/01/20	2.000	347.16	05/29/20	346.43	...	0.73	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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WAYFAIR INC. / CUSIP: 94419L101 / Symbol: (cont'd)							
2 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	3.000	520.73	05/29/20	526.69	5.96 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	844.91	05/29/20	866.06	21.15 W	0.00	2 of 2 - Sale <sup>25</sup>
06/01/20	8.000	1,365.64	Various	1,392.75	27.11 W	0.00	Total of 2 transactions
3 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	3.000	560.51	06/02/20	568.62	8.11 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	186.83	06/02/20	191.79	4.96 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	186.55	06/02/20	191.78	5.23 W	0.00	3 of 3 - Sale <sup>25</sup>
06/03/20	5.000	933.89	Various	952.19	18.30 W	0.00	Total of 3 transactions
5 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	3.000	558.83	06/11/20	567.76	8.93 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	186.28	06/11/20	191.51	5.23 W	0.00	2 of 5 - Sale <sup>25</sup>
	1.000	186.28	06/11/20	191.78	5.50 W	0.00	3 of 5 - Sale <sup>25</sup>
	3.000	558.84	06/11/20	572.34	13.50 W	0.00	4 of 5 - Sale <sup>25</sup>
	2.000	372.55	06/11/20	373.09	0.54 W	0.00	5 of 5 - Sale <sup>25</sup>
06/12/20	10.000	1,862.78	Various	1,896.48	33.70 W	0.00	Total of 5 transactions
4 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	1.000	216.32	06/19/20	215.55	...	0.77	1 of 4 - Sale <sup>25</sup>
	1.000	216.32	06/19/20	215.28	...	1.04	2 of 4 - Sale <sup>25</sup>
	2.000	432.63	06/19/20	429.09	...	3.54	3 of 4 - Sale <sup>25</sup>
	3.000	648.95	06/19/20	639.08	...	9.87	4 of 4 - Sale <sup>25</sup>
06/22/20	7.000	1,514.22	Various	1,499.00	...	15.22	Total of 4 transactions
3 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	1.000	216.32	06/22/20	221.14	4.82 W	0.00	1 of 3 - Sale <sup>25</sup>
	2.000	432.63	06/22/20	433.82	1.19 W	0.00	2 of 3 - Sale <sup>25</sup>
	3.000	648.94	06/22/20	649.92	0.98 W	0.00	3 of 3 - Sale <sup>25</sup>
06/22/20	6.000	1,297.89	Various	1,304.88	6.99 W	0.00	Total of 3 transactions
4 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	1.000	205.86	06/25/20	204.92	...	0.94	1 of 4 - Sale <sup>25</sup>
	1.000	205.86	06/26/20	212.07	...	-6.21	2 of 4 - Sale <sup>25</sup>
	2.000	411.73	06/26/20	415.67	...	-3.94	3 of 4 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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WAYFAIR INC. / CUSIP: 94419L101 / Symbol: (cont'd)							
	1.000	205.86	06/26/20	207.57	...	-1.71	4 of 4 - Sale <sup>25</sup>
06/26/20	5.000	1,029.31	Various	1,040.23	...	-10.92	Total of 4 transactions
	4 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	18.000	3,668.13	06/25/20	3,688.49	18.10 W	-2.26	1 of 4 - Sale <sup>25</sup>
	1.000	203.78	06/25/20	209.74	5.96 W	0.00	2 of 4 - Sale <sup>25</sup>
	2.000	407.57	06/25/20	411.02	3.45 W	0.00	3 of 4 - Sale <sup>25</sup>
	3.000	611.35	06/25/20	615.73	4.38 W	0.00	4 of 4 - Sale <sup>25</sup>
06/26/20	24.000	4,890.83	Various	4,924.98	31.89 W	-2.26	Total of 4 transactions
	2 transactions for 07/20/20. Total proceeds and cost reported to the IRS.						
	2.000	454.31	07/20/20	455.10	0.79 W	0.00	1 of 2 - Sale <sup>25</sup>
	6.000	1,362.92	07/20/20	1,363.33	0.41 W	0.00	2 of 2 - Sale <sup>25</sup>
07/20/20	8.000	1,817.23	Various	1,818.43	1.20 W	0.00	Total of 2 transactions
07/23/20	10.000	2,179.41	07/23/20	2,193.91	14.50 W	0.00	Sale <sup>25</sup>
	2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.						
	2.000	530.89	07/31/20	530.44	...	0.45	1 of 2 - Sale <sup>25</sup>
	3.000	796.33	07/31/20	794.68	...	1.65	2 of 2 - Sale <sup>25</sup>
07/31/20	5.000	1,327.22	Various	1,325.12	...	2.10	Total of 2 transactions
	2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.						
	3.000	922.66	08/06/20	923.09	0.43 W	0.00	1 of 2 - Sale <sup>25</sup>
	7.000	2,152.87	08/06/20	2,163.56	10.69 W	0.00	2 of 2 - Sale <sup>25</sup>
08/06/20	10.000	3,075.53	Various	3,086.65	11.12 W	0.00	Total of 2 transactions
	4 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	2.000	639.04	08/11/20	645.90	6.86 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	319.52	08/11/20	322.95	3.43 W	0.00	2 of 4 - Sale <sup>25</sup>
	3.000	958.57	08/11/20	964.93	6.36 W	0.00	3 of 4 - Sale <sup>25</sup>
	4.000	1,278.09	08/11/20	1,292.11	14.02 W	0.00	4 of 4 - Sale <sup>25</sup>
08/11/20	10.000	3,195.22	Various	3,225.89	30.67 W	0.00	Total of 4 transactions
	7 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	4.000	1,322.65	08/19/20	1,342.02	...	-19.37	1 of 7 - Sale <sup>25</sup>
	3.000	991.99	08/19/20	1,002.36	...	-10.37	2 of 7 - Sale <sup>25</sup>
	2.000	661.32	08/19/20	670.86	...	-9.54	3 of 7 - Sale <sup>25</sup>
	7.000	2,314.64	08/19/20	2,324.00	...	-9.36	4 of 7 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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WAYFAIR INC. / CUSIP: 94419L101 / Symbol: (cont'd)							
	3.000	991.99	08/19/20	1,000.58	...	-8.59	5 of 7 - Sale <sup>25</sup>
	5.000	1,653.31	08/19/20	1,660.00	...	-6.69	6 of 7 - Sale <sup>25</sup>
	1.000	330.66	08/19/20	335.43	...	-4.77	7 of 7 - Sale <sup>25</sup>
08/19/20	25.000	8,266.56	Various	8,335.25	...	-68.69	Total of 7 transactions
	<b>Security total:</b>	<b>44,718.77</b>		<b>44,114.63</b>	<b>234.01 W</b>	<b>838.15</b>	
WELLS FARGO & CO. / CUSIP: 949746101 / Symbol:							
06/26/20	50.000	1,277.47	06/26/20	1,279.39	...	-1.92	Sale <sup>25</sup>
WESTPORT FUEL SYSTEMS INC COMM ON SHARES / CUSIP: 960908309 / Symbol:							
05/12/20	300.000	437.99	05/11/20	390.00	...	47.99	Sale <sup>25</sup>
07/08/20	150.000	238.71	07/08/20	241.28	2.57 W	0.00	Sale <sup>25</sup>
	5 transactions for 07/14/20. Total proceeds and cost reported to the IRS.						
	100.000	134.49	07/14/20	136.57	...	-2.08	1 of 5 - Sale <sup>25</sup>
	50.000	67.25	07/14/20	68.28	...	-1.03	2 of 5 - Sale <sup>25</sup>
	100.000	134.14	07/14/20	134.85	...	-0.71	3 of 5 - Sale <sup>25</sup>
	100.000	134.14	07/14/20	134.85	...	-0.71	4 of 5 - Sale <sup>25</sup>
	150.000	201.73	07/14/20	202.27	...	-0.54	5 of 5 - Sale <sup>25</sup>
07/14/20	500.000	671.75	Various	676.82	...	-5.07	Total of 5 transactions
	<b>Security total:</b>	<b>1,348.45</b>		<b>1,308.10</b>	<b>2.57 W</b>	<b>42.92</b>	
WHITING PETROLEUM CORPORATION / CUSIP: 966387409 / Symbol:							
	4 transactions for 04/06/20. Total proceeds and cost reported to the IRS.						
	500.000	183.84	04/03/20	188.95	...	-5.11	1 of 4 - Sale <sup>25</sup>
	109.000	40.25	04/03/20	38.44	...	1.81	2 of 4 - Sale <sup>25</sup>
	250.000	92.10	04/03/20	88.18	...	3.92	3 of 4 - Sale <sup>25</sup>
	641.000	235.68	04/03/20	226.08	...	9.60	4 of 4 - Sale <sup>25</sup>
04/06/20	1,500.000	551.87	Various	541.65	...	10.22	Total of 4 transactions
WIMI HOLOGRAM CLOUD INC. AMERI CAN DEPOSITARY SHARE / CUSIP: 97264L100 / Symbol:							
10/13/20	250.000	1,558.68	10/13/20	1,558.75	...	-0.07	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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WINNEBAGO INDUSTRIES, INC. / CUSIP: 974637100 / Symbol:							
06/15/20	25.000	1,573.46	06/12/20	1,621.25	47.79 W	0.00	Sale <sup>25</sup>
06/16/20	15.000	1,016.98	06/15/20	1,001.72	...	15.26	Sale <sup>25</sup>
06/16/20	10.000	677.98	06/15/20	678.07	0.09 W	0.00	Sale <sup>25</sup>
	2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	10.000	670.58	06/22/20	691.39	20.81 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	335.29	06/22/20	345.65	10.36 W	0.00	2 of 2 - Sale <sup>25</sup>
06/24/20	15.000	1,005.87	Various	1,037.04	31.17 W	0.00	Total of 2 transactions
	2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	34.000	2,253.98	06/25/20	2,316.51	...	-62.53	1 of 2 - Sale <sup>25</sup>
	1.000	65.89	06/25/20	68.13	...	-2.24	2 of 2 - Sale <sup>25</sup>
06/26/20	35.000	2,319.87	Various	2,384.64	...	-64.77	Total of 2 transactions
	2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	10.000	662.93	06/25/20	702.14	39.21 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	331.47	06/25/20	351.02	19.55 W	0.00	2 of 2 - Sale <sup>25</sup>
06/26/20	15.000	994.40	Various	1,053.16	58.76 W	0.00	Total of 2 transactions
	2 transactions for 07/24/20. Total proceeds and cost reported to the IRS.						
	10.000	620.89	07/23/20	660.10	...	-39.21	1 of 2 - Sale <sup>25</sup>
	5.000	310.44	07/23/20	329.99	...	-19.55	2 of 2 - Sale <sup>25</sup>
07/24/20	15.000	931.33	Various	990.09	...	-58.76	Total of 2 transactions
	<b>Security total:</b>	<b>8,519.89</b>		<b>8,765.97</b>	<b>137.81 W</b>	<b>-108.27</b>	
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
06/22/20	100.000	543.10	06/22/20	592.00	48.90 W	0.00	Sale <sup>25</sup>
06/24/20	100.000	821.97	06/24/20	1,034.90	212.93 W	0.00	Sale <sup>25</sup>
	2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	75.000	1,128.72	06/29/20	1,125.00	...	3.72	1 of 2 - Sale <sup>25</sup>
	100.000	1,504.96	06/29/20	1,480.00	...	24.96	2 of 2 - Sale <sup>25</sup>
06/29/20	175.000	2,633.68	Various	2,605.00	...	28.68	Total of 2 transactions
	8 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	50.000	697.11	06/29/20	795.60	98.49 W	0.00	1 of 8 - Sale <sup>25</sup>
	35.000	487.97	06/29/20	560.15	72.18 W	0.00	2 of 8 - Sale <sup>25</sup>
	15.000	209.13	06/29/20	244.75	35.62 W	0.00	3 of 8 - Sale <sup>25</sup>
	15.000	225.74	06/29/20	257.54	31.80 W	0.00	4 of 8 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	35.000	526.73	06/29/20	590.01	63.28 W	0.00	5 of 8 - Sale <sup>25</sup>
	50.000	752.48	06/29/20	838.24	85.76 W	0.00	6 of 8 - Sale <sup>25</sup>
	175.000	2,633.67	06/29/20	2,636.27	2.60 W	0.00	7 of 8 - Sale <sup>25</sup>
	50.000	662.05	06/29/20	811.82	149.77 W	0.00	8 of 8 - Sale <sup>25</sup>
06/29/20	425.000	6,194.88	Various	6,734.38	539.50 W	0.00	Total of 8 transactions
	6 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	12.000	214.44	06/30/20	247.42	32.98 W	0.00	1 of 6 - Sale <sup>25</sup>
	15.000	268.04	06/30/20	312.60	44.56 W	0.00	2 of 6 - Sale <sup>25</sup>
	23.000	411.00	06/30/20	472.14	61.14 W	0.00	3 of 6 - Sale <sup>25</sup>
	50.000	840.49	06/30/20	936.74	96.25 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.000	16.81	06/30/20	18.75	1.94 W	0.00	5 of 6 - Sale <sup>25</sup>
	49.000	823.67	06/30/20	926.34	102.67 W	0.00	6 of 6 - Sale <sup>25</sup>
06/30/20	150.000	2,574.45	Various	2,913.99	339.54 W	0.00	Total of 6 transactions
07/01/20	25.000	478.50	07/01/20	485.12	6.62 W	0.00	Sale <sup>25</sup>
	6 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	1.000	19.25	07/02/20	22.10	2.85 W	0.00	1 of 6 - Sale <sup>25</sup>
	25.000	481.23	07/02/20	626.93	145.70 W	0.00	2 of 6 - Sale <sup>25</sup>
	49.000	943.22	07/02/20	1,082.72	139.50 W	0.00	3 of 6 - Sale <sup>25</sup>
	2.000	38.50	07/02/20	50.23	11.73 W	0.00	4 of 6 - Sale <sup>25</sup>
	23.000	442.75	07/02/20	577.65	134.90 W	0.00	5 of 6 - Sale <sup>25</sup>
	5.000	97.10	07/06/20	112.79	15.69 W	0.00	6 of 6 - Sale <sup>25</sup>
07/06/20	105.000	2,022.05	Various	2,472.42	450.37 W	0.00	Total of 6 transactions
	14 transactions for 07/07/20. Total proceeds and cost reported to the IRS.						
	7.000	111.86	07/07/20	132.22	20.36 W	0.00	1 of 14 - Sale <sup>25</sup>
	18.000	287.64	07/07/20	338.37	50.73 W	0.00	2 of 14 - Sale <sup>25</sup>
	5.000	82.65	07/07/20	93.88	11.23 W	0.00	3 of 14 - Sale <sup>25</sup>
	15.000	247.94	07/07/20	286.32	38.38 W	0.00	4 of 14 - Sale <sup>25</sup>
	30.000	495.88	07/07/20	541.27	45.39 W	0.00	5 of 14 - Sale <sup>25</sup>
	15.000	247.94	07/07/20	272.93	24.99 W	0.00	6 of 14 - Sale <sup>25</sup>
	2.000	33.06	07/07/20	38.01	4.95 W	0.00	7 of 14 - Sale <sup>25</sup>
	3.000	49.59	07/07/20	57.04	7.45 W	0.00	8 of 14 - Sale <sup>25</sup>
	1.000	16.53	07/07/20	19.03	2.50 W	0.00	9 of 14 - Sale <sup>25</sup>
	49.000	809.95	07/07/20	940.08	130.13 W	0.00	10 of 14 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	5.000	81.25	07/07/20	86.77	5.52 W	0.00	11 of 14 - Sale <sup>25</sup>
	1.000	16.25	07/07/20	19.93	3.68 W	0.00	12 of 14 - Sale <sup>25</sup>
	24.000	389.99	07/07/20	478.25	88.26 W	0.00	13 of 14 - Sale <sup>25</sup>
	20.000	324.99	07/07/20	346.90	21.91 W	0.00	14 of 14 - Sale <sup>25</sup>
07/07/20	195.000	3,195.52	Various	3,651.00	455.48 W	0.00	Total of 14 transactions
	17 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	2.000	33.50	07/08/20	44.73	11.23 W	0.00	1 of 17 - Sale <sup>25</sup>
	23.000	385.23	07/08/20	514.36	129.13 W	0.00	2 of 17 - Sale <sup>25</sup>
	25.000	418.74	07/08/20	483.64	64.90 W	0.00	3 of 17 - Sale <sup>25</sup>
	25.000	418.74	07/08/20	558.17	139.43 W	0.00	4 of 17 - Sale <sup>25</sup>
	5.000	82.45	07/08/20	99.14	16.69 W	0.00	5 of 17 - Sale <sup>25</sup>
	7.000	115.43	07/08/20	137.19	21.76 W	0.00	6 of 17 - Sale <sup>25</sup>
	8.000	131.92	07/08/20	156.07	24.15 W	0.00	7 of 17 - Sale <sup>25</sup>
	5.000	82.40	07/08/20	94.68	12.28 W	0.00	8 of 17 - Sale <sup>25</sup>
	15.000	247.19	07/08/20	288.73	41.54 W	0.00	9 of 17 - Sale <sup>25</sup>
	10.000	164.79	07/08/20	195.08	30.29 W	0.00	10 of 17 - Sale <sup>25</sup>
	25.000	411.98	07/08/20	458.52	46.54 W	0.00	11 of 17 - Sale <sup>25</sup>
	1.000	16.18	07/08/20	18.76	2.58 W	0.00	12 of 17 - Sale <sup>25</sup>
	2.000	32.36	07/08/20	37.47	5.11 W	0.00	13 of 17 - Sale <sup>25</sup>
	3.000	48.54	07/08/20	56.23	7.69 W	0.00	14 of 17 - Sale <sup>25</sup>
	5.000	80.90	07/08/20	88.86	7.96 W	0.00	15 of 17 - Sale <sup>25</sup>
	15.000	242.72	07/08/20	268.89	26.17 W	0.00	16 of 17 - Sale <sup>25</sup>
	24.000	388.34	07/08/20	453.98	65.64 W	0.00	17 of 17 - Sale <sup>25</sup>
07/08/20	200.000	3,301.41	Various	3,954.50	653.09 W	0.00	Total of 17 transactions
	14 transactions for 07/09/20. Total proceeds and cost reported to the IRS.						
	1.000	16.27	07/09/20	20.53	4.26 W	0.00	1 of 14 - Sale <sup>25</sup>
	19.000	309.12	07/09/20	390.00	80.88 W	0.00	2 of 14 - Sale <sup>25</sup>
	5.000	81.35	07/09/20	89.76	8.41 W	0.00	3 of 14 - Sale <sup>25</sup>
	25.000	406.74	07/09/20	487.62	80.88 W	0.00	4 of 14 - Sale <sup>25</sup>
	5.000	80.96	07/09/20	99.89	18.93 W	0.00	5 of 14 - Sale <sup>25</sup>
	25.000	404.80	07/09/20	472.40	67.60 W	0.00	6 of 14 - Sale <sup>25</sup>
	20.000	323.84	07/09/20	347.91	24.07 W	0.00	7 of 14 - Sale <sup>25</sup>
	2.000	32.38	07/09/20	43.83	11.45 W	0.00	8 of 14 - Sale <sup>25</sup>
	23.000	372.42	07/09/20	504.03	131.61 W	0.00	9 of 14 - Sale <sup>25</sup>

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(continued)

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	25.000	404.81	07/09/20	546.93	142.12 W	0.00	10 of 14 - Sale <sup>25</sup>
	5.000	80.96	07/09/20	98.18	17.22 W	0.00	11 of 14 - Sale <sup>25</sup>
	5.000	80.96	07/09/20	96.64	15.68 W	0.00	12 of 14 - Sale <sup>25</sup>
	7.000	113.35	07/09/20	135.84	22.49 W	0.00	13 of 14 - Sale <sup>25</sup>
	8.000	129.54	07/09/20	154.53	24.99 W	0.00	14 of 14 - Sale <sup>25</sup>
07/09/20	175.000	2,837.50	Various	3,488.09	650.59 W	0.00	Total of 14 transactions
	21 transactions for 07/10/20. Total proceeds and cost reported to the IRS.						
	5.000	79.06	07/10/20	93.49	14.43 W	0.00	1 of 21 - Sale <sup>25</sup>
	5.000	79.06	07/10/20	90.63	11.57 W	0.00	2 of 21 - Sale <sup>25</sup>
	15.000	237.18	07/10/20	276.59	39.41 W	0.00	3 of 21 - Sale <sup>25</sup>
	1.000	15.81	07/10/20	20.10	4.29 W	0.00	4 of 21 - Sale <sup>25</sup>
	19.000	300.43	07/10/20	381.94	81.51 W	0.00	5 of 21 - Sale <sup>25</sup>
	1.000	15.81	07/10/20	18.42	2.61 W	0.00	6 of 21 - Sale <sup>25</sup>
	2.000	31.62	07/10/20	36.80	5.18 W	0.00	7 of 21 - Sale <sup>25</sup>
	3.000	47.44	07/10/20	55.22	7.78 W	0.00	8 of 21 - Sale <sup>25</sup>
	5.000	79.06	07/10/20	87.18	8.12 W	0.00	9 of 21 - Sale <sup>25</sup>
	15.000	237.19	07/10/20	263.84	26.65 W	0.00	10 of 21 - Sale <sup>25</sup>
	4.000	63.25	07/10/20	74.32	11.07 W	0.00	11 of 21 - Sale <sup>25</sup>
	25.000	395.30	07/10/20	442.65	47.35 W	0.00	12 of 21 - Sale <sup>25</sup>
	5.000	78.00	07/10/20	95.40	17.40 W	0.00	13 of 21 - Sale <sup>25</sup>
	20.000	312.00	07/10/20	371.59	59.59 W	0.00	14 of 21 - Sale <sup>25</sup>
	5.000	75.85	07/10/20	95.08	19.23 W	0.00	15 of 21 - Sale <sup>25</sup>
	20.000	303.42	07/10/20	358.68	55.26 W	0.00	16 of 21 - Sale <sup>25</sup>
	5.000	75.85	07/10/20	84.56	8.71 W	0.00	17 of 21 - Sale <sup>25</sup>
	20.000	303.42	07/10/20	369.30	65.88 W	0.00	18 of 21 - Sale <sup>25</sup>
	5.000	75.85	07/10/20	89.67	13.82 W	0.00	19 of 21 - Sale <sup>25</sup>
	25.000	379.27	07/10/20	522.87	143.60 W	0.00	20 of 21 - Sale <sup>25</sup>
	20.000	303.42	07/10/20	328.67	25.25 W	0.00	21 of 21 - Sale <sup>25</sup>
07/10/20	225.000	3,488.29	Various	4,157.00	668.71 W	0.00	Total of 21 transactions
	23 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	2.000	32.98	07/13/20	44.59	11.61 W	0.00	1 of 23 - Sale <sup>25</sup>
	23.000	379.31	07/13/20	512.67	133.36 W	0.00	2 of 23 - Sale <sup>25</sup>
	5.000	82.46	07/13/20	100.06	17.60 W	0.00	3 of 23 - Sale <sup>25</sup>
	5.000	82.46	07/13/20	98.52	16.06 W	0.00	4 of 23 - Sale <sup>25</sup>

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	7.000	115.44	07/13/20	138.47	23.03 W	0.00	5 of 23 - Sale <sup>25</sup>
	8.000	131.93	07/13/20	157.53	25.60 W	0.00	6 of 23 - Sale <sup>25</sup>
	1.000	16.67	07/13/20	20.83	4.16 W	0.00	7 of 23 - Sale <sup>25</sup>
	19.000	316.77	07/13/20	395.77	79.00 W	0.00	8 of 23 - Sale <sup>25</sup>
	5.000	83.36	07/13/20	97.13	13.77 W	0.00	9 of 23 - Sale <sup>25</sup>
	5.000	83.36	07/13/20	94.27	10.91 W	0.00	10 of 23 - Sale <sup>25</sup>
	5.000	83.36	07/13/20	92.17	8.81 W	0.00	11 of 23 - Sale <sup>25</sup>
	15.000	250.09	07/13/20	287.51	37.42 W	0.00	12 of 23 - Sale <sup>25</sup>
	1.000	16.74	07/13/20	19.76	3.02 W	0.00	13 of 23 - Sale <sup>25</sup>
	2.000	33.48	07/13/20	39.48	6.00 W	0.00	14 of 23 - Sale <sup>25</sup>
	3.000	50.23	07/13/20	59.23	9.00 W	0.00	15 of 23 - Sale <sup>25</sup>
	4.000	66.97	07/13/20	79.67	12.70 W	0.00	16 of 23 - Sale <sup>25</sup>
	5.000	83.71	07/13/20	93.87	10.16 W	0.00	17 of 23 - Sale <sup>25</sup>
	15.000	251.13	07/13/20	283.90	32.77 W	0.00	18 of 23 - Sale <sup>25</sup>
	20.000	334.84	07/13/20	380.88	46.04 W	0.00	19 of 23 - Sale <sup>25</sup>
	5.000	83.71	07/13/20	103.10	19.39 W	0.00	20 of 23 - Sale <sup>25</sup>
	20.000	334.84	07/13/20	402.39	67.55 W	0.00	21 of 23 - Sale <sup>25</sup>
	5.000	83.71	07/13/20	105.18	21.47 W	0.00	22 of 23 - Sale <sup>25</sup>
	20.000	334.85	07/13/20	399.06	64.21 W	0.00	23 of 23 - Sale <sup>25</sup>
07/13/20	200.000	3,332.40	Various	4,006.04	673.64 W	0.00	Total of 23 transactions
72 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	2.000	32.54	07/14/20	43.85	11.31 W	0.00	1 of 72 - Sale <sup>25</sup>
	5.000	81.36	07/14/20	94.42	13.06 W	0.00	2 of 72 - Sale <sup>25</sup>
	23.000	374.26	07/14/20	504.12	129.86 W	0.00	3 of 72 - Sale <sup>25</sup>
	25.000	406.80	07/14/20	546.60	139.80 W	0.00	4 of 72 - Sale <sup>25</sup>
	5.000	81.36	07/14/20	89.31	7.95 W	0.00	5 of 72 - Sale <sup>25</sup>
	20.000	325.44	07/14/20	388.28	62.84 W	0.00	6 of 72 - Sale <sup>25</sup>
	20.000	325.44	07/14/20	347.65	22.21 W	0.00	7 of 72 - Sale <sup>25</sup>
	1.000	16.27	07/14/20	20.37	4.10 W	0.00	8 of 72 - Sale <sup>25</sup>
	19.000	309.17	07/14/20	386.99	77.82 W	0.00	9 of 72 - Sale <sup>25</sup>
	5.000	81.36	07/14/20	98.65	17.29 W	0.00	10 of 72 - Sale <sup>25</sup>
	5.000	81.36	07/14/20	97.11	15.75 W	0.00	11 of 72 - Sale <sup>25</sup>
	5.000	81.36	07/14/20	94.82	13.46 W	0.00	12 of 72 - Sale <sup>25</sup>
	7.000	113.91	07/14/20	136.50	22.59 W	0.00	13 of 72 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	8.000	130.18	07/14/20	155.28	25.10 W	0.00	14 of 72 - Sale <sup>25</sup>
	5.000	81.10	07/14/20	91.96	10.86 W	0.00	15 of 72 - Sale <sup>25</sup>
	5.000	81.10	07/14/20	89.86	8.76 W	0.00	16 of 72 - Sale <sup>25</sup>
	5.000	81.10	07/14/20	91.21	10.11 W	0.00	17 of 72 - Sale <sup>25</sup>
	15.000	243.29	07/14/20	280.57	37.28 W	0.00	18 of 72 - Sale <sup>25</sup>
	20.000	324.39	07/14/20	370.24	45.85 W	0.00	19 of 72 - Sale <sup>25</sup>
	1.000	16.22	07/14/20	19.26	3.04 W	0.00	20 of 72 - Sale <sup>25</sup>
	2.000	32.44	07/14/20	38.48	6.04 W	0.00	21 of 72 - Sale <sup>25</sup>
	3.000	48.66	07/14/20	57.72	9.06 W	0.00	22 of 72 - Sale <sup>25</sup>
	4.000	64.88	07/14/20	77.66	12.78 W	0.00	23 of 72 - Sale <sup>25</sup>
	5.000	81.10	07/14/20	100.59	19.49 W	0.00	24 of 72 - Sale <sup>25</sup>
	15.000	243.29	07/14/20	276.37	33.08 W	0.00	25 of 72 - Sale <sup>25</sup>
	20.000	324.39	07/14/20	392.35	67.96 W	0.00	26 of 72 - Sale <sup>25</sup>
	5.000	81.10	07/14/20	104.31	23.21 W	0.00	27 of 72 - Sale <sup>25</sup>
	5.000	81.10	07/14/20	95.90	14.80 W	0.00	28 of 72 - Sale <sup>25</sup>
	5.000	81.10	07/14/20	110.79	29.69 W	0.00	29 of 72 - Sale <sup>25</sup>
	20.000	324.39	07/14/20	395.56	71.17 W	0.00	30 of 72 - Sale <sup>25</sup>
	2.000	32.44	07/14/20	44.47	12.03 W	0.00	31 of 72 - Sale <sup>25</sup>
	18.000	291.95	07/14/20	400.07	108.12 W	0.00	32 of 72 - Sale <sup>25</sup>
	20.000	324.39	07/14/20	443.44	119.05 W	0.00	33 of 72 - Sale <sup>25</sup>
	5.000	81.10	07/14/20	111.27	30.17 W	0.00	34 of 72 - Sale <sup>25</sup>
	20.000	324.39	07/14/20	394.99	70.60 W	0.00	35 of 72 - Sale <sup>25</sup>
	1.000	16.22	07/14/20	20.61	4.39 W	0.00	36 of 72 - Sale <sup>25</sup>
	19.000	308.16	07/14/20	391.57	83.41 W	0.00	37 of 72 - Sale <sup>25</sup>
	5.000	81.10	07/14/20	90.52	9.42 W	0.00	38 of 72 - Sale <sup>25</sup>
	5.000	81.10	07/14/20	99.86	18.76 W	0.00	39 of 72 - Sale <sup>25</sup>
	20.000	324.38	07/14/20	352.47	28.09 W	0.00	40 of 72 - Sale <sup>25</sup>
	5.000	81.60	07/14/20	98.32	16.72 W	0.00	41 of 72 - Sale <sup>25</sup>
	5.000	81.60	07/14/20	96.02	14.42 W	0.00	42 of 72 - Sale <sup>25</sup>
	7.000	114.24	07/14/20	138.18	23.94 W	0.00	43 of 72 - Sale <sup>25</sup>
	8.000	130.56	07/14/20	157.20	26.64 W	0.00	44 of 72 - Sale <sup>25</sup>
	1.000	16.32	07/14/20	19.61	3.29 W	0.00	45 of 72 - Sale <sup>25</sup>
	2.000	32.64	07/14/20	39.18	6.54 W	0.00	46 of 72 - Sale <sup>25</sup>
	3.000	48.96	07/14/20	58.77	9.81 W	0.00	47 of 72 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	4.000	65.28	07/14/20	79.06	13.78 W	0.00	48 of 72 - Sale <sup>25</sup>
	5.000	81.60	07/14/20	93.71	12.11 W	0.00	49 of 72 - Sale <sup>25</sup>
	5.000	81.60	07/14/20	91.61	10.01 W	0.00	50 of 72 - Sale <sup>25</sup>
	5.000	81.60	07/14/20	92.96	11.36 W	0.00	51 of 72 - Sale <sup>25</sup>
	5.000	81.59	07/14/20	102.34	20.75 W	0.00	52 of 72 - Sale <sup>25</sup>
	15.000	244.79	07/14/20	285.83	41.04 W	0.00	53 of 72 - Sale <sup>25</sup>
	15.000	244.79	07/14/20	281.63	36.84 W	0.00	54 of 72 - Sale <sup>25</sup>
	20.000	326.39	07/14/20	377.24	50.85 W	0.00	55 of 72 - Sale <sup>25</sup>
	20.000	326.38	07/14/20	399.35	72.97 W	0.00	56 of 72 - Sale <sup>25</sup>
	5.000	81.50	07/14/20	106.46	24.96 W	0.00	57 of 72 - Sale <sup>25</sup>
	5.000	81.50	07/14/20	101.04	19.54 W	0.00	58 of 72 - Sale <sup>25</sup>
	4.000	65.00	07/14/20	80.83	15.83 W	0.00	59 of 72 - Sale <sup>25</sup>
	5.000	81.25	07/14/20	98.05	16.80 W	0.00	60 of 72 - Sale <sup>25</sup>
	5.000	81.25	07/14/20	112.94	31.69 W	0.00	61 of 72 - Sale <sup>25</sup>
	11.000	178.75	07/14/20	222.30	43.55 W	0.00	62 of 72 - Sale <sup>25</sup>
	15.000	244.50	07/14/20	339.04	94.54 W	0.00	63 of 72 - Sale <sup>25</sup>
	2.000	32.60	07/14/20	45.20	12.60 W	0.00	64 of 72 - Sale <sup>25</sup>
	5.000	81.50	07/14/20	112.68	31.18 W	0.00	65 of 72 - Sale <sup>25</sup>
	5.000	81.50	07/14/20	112.95	31.45 W	0.00	66 of 72 - Sale <sup>25</sup>
	1.000	16.30	07/14/20	20.97	4.67 W	0.00	67 of 72 - Sale <sup>25</sup>
	5.000	81.50	07/14/20	113.09	31.59 W	0.00	68 of 72 - Sale <sup>25</sup>
	13.000	211.89	07/14/20	293.68	81.79 W	0.00	69 of 72 - Sale <sup>25</sup>
	19.000	309.69	07/14/20	398.51	88.82 W	0.00	70 of 72 - Sale <sup>25</sup>
	5.000	81.50	07/14/20	92.34	10.84 W	0.00	71 of 72 - Sale <sup>25</sup>
	20.000	325.98	07/14/20	402.28	76.30 W	0.00	72 of 72 - Sale <sup>25</sup>
07/14/20	650.000	10,571.84	Various	13,009.42	2,437.58 W	0.00	Total of 72 transactions
	2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	10.000	163.50	07/15/20	174.29	10.79 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	81.75	07/15/20	89.96	8.21 W	0.00	2 of 2 - Sale <sup>25</sup>
07/15/20	15.000	245.25	Various	264.25	19.00 W	0.00	Total of 2 transactions
	4 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	5.000	75.30	07/17/20	83.71	8.41 W	0.00	1 of 4 - Sale <sup>25</sup>
	5.000	75.30	07/17/20	95.46	20.16 W	0.00	2 of 4 - Sale <sup>25</sup>
	7.000	105.42	07/17/20	131.31	25.89 W	0.00	3 of 4 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

Table with columns: 1c- Date sold or disposed, Quantity, 1d- Proceeds & 6- Reported (G)ross or (N)et, 1b- Date acquired, 1e- Cost or other basis, 1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W), 7- Gain or loss(-) & Loss not allowed (X) also not reported (Z), Additional information. Rows include WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd) with various transaction dates and amounts.

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	2.000	32.00	07/20/20	41.26	9.26 W	0.00	25 of 130 - Sale <sup>25</sup>
	5.000	80.00	07/20/20	102.83	22.83 W	0.00	26 of 130 - Sale <sup>25</sup>
	5.000	80.00	07/20/20	103.10	23.10 W	0.00	27 of 130 - Sale <sup>25</sup>
	5.000	80.00	07/20/20	103.24	23.24 W	0.00	28 of 130 - Sale <sup>25</sup>
	10.000	159.99	07/20/20	206.33	46.34 W	0.00	29 of 130 - Sale <sup>25</sup>
	13.000	207.99	07/20/20	268.08	60.09 W	0.00	30 of 130 - Sale <sup>25</sup>
	19.000	303.99	07/20/20	361.09	57.10 W	0.00	31 of 130 - Sale <sup>25</sup>
	5.000	80.00	07/20/20	82.49	2.49 W	0.00	32 of 130 - Sale <sup>25</sup>
	20.000	319.99	07/20/20	362.90	42.91 W	0.00	33 of 130 - Sale <sup>25</sup>
	5.000	80.00	07/20/20	80.39	0.39 W	0.00	34 of 130 - Sale <sup>25</sup>
	5.000	80.00	07/20/20	92.13	12.13 W	0.00	35 of 130 - Sale <sup>25</sup>
	5.000	80.00	07/20/20	87.19	7.19 W	0.00	36 of 130 - Sale <sup>25</sup>
	5.000	80.00	07/20/20	84.90	4.90 W	0.00	37 of 130 - Sale <sup>25</sup>
	5.000	80.00	07/20/20	82.58	2.58 W	0.00	38 of 130 - Sale <sup>25</sup>
	7.000	112.00	07/20/20	126.66	14.66 W	0.00	39 of 130 - Sale <sup>25</sup>
	8.000	128.00	07/20/20	144.03	16.03 W	0.00	40 of 130 - Sale <sup>25</sup>
	10.000	159.99	07/20/20	168.31	8.32 W	0.00	41 of 130 - Sale <sup>25</sup>
	35.000	559.98	07/20/20	575.45	15.47 W	0.00	42 of 130 - Sale <sup>25</sup>
	10.000	159.99	07/20/20	163.57	3.58 W	0.00	43 of 130 - Sale <sup>25</sup>
	80.000	1,279.96	07/20/20	1,322.19	42.23 W	0.00	44 of 130 - Sale <sup>25</sup>
	5.000	81.75	07/20/20	99.25	17.50 W	0.00	45 of 130 - Sale <sup>25</sup>
	5.000	81.75	07/20/20	93.82	12.07 W	0.00	46 of 130 - Sale <sup>25</sup>
	5.000	81.75	07/20/20	87.72	5.97 W	0.00	47 of 130 - Sale <sup>25</sup>
	5.000	81.75	07/20/20	84.05	2.30 W	0.00	48 of 130 - Sale <sup>25</sup>
	5.000	81.75	07/20/20	86.75	5.00 W	0.00	49 of 130 - Sale <sup>25</sup>
	4.000	65.40	07/20/20	73.15	7.75 W	0.00	50 of 130 - Sale <sup>25</sup>
	5.000	81.75	07/20/20	88.45	6.70 W	0.00	51 of 130 - Sale <sup>25</sup>
	5.000	81.75	07/20/20	103.34	21.59 W	0.00	52 of 130 - Sale <sup>25</sup>
	5.000	81.75	07/20/20	103.16	21.41 W	0.00	53 of 130 - Sale <sup>25</sup>
	11.000	179.84	07/20/20	201.19	21.35 W	0.00	54 of 130 - Sale <sup>25</sup>
	1.000	16.35	07/20/20	17.62	1.27 W	0.00	55 of 130 - Sale <sup>25</sup>
	2.000	32.70	07/20/20	35.20	2.50 W	0.00	56 of 130 - Sale <sup>25</sup>
	3.000	49.05	07/20/20	52.80	3.75 W	0.00	57 of 130 - Sale <sup>25</sup>
	4.000	65.40	07/20/20	71.10	5.70 W	0.00	58 of 130 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	5.000	81.75	07/20/20	83.01	1.26 W	0.00	59 of 130 - Sale <sup>25</sup>
	5.000	81.75	07/20/20	92.40	10.65 W	0.00	60 of 130 - Sale <sup>25</sup>
	15.000	245.24	07/20/20	253.10	7.86 W	0.00	61 of 130 - Sale <sup>25</sup>
	15.000	245.24	07/20/20	251.80	6.56 W	0.00	62 of 130 - Sale <sup>25</sup>
	20.000	326.99	07/20/20	359.58	32.59 W	0.00	63 of 130 - Sale <sup>25</sup>
	2.000	32.70	07/20/20	41.24	8.54 W	0.00	64 of 130 - Sale <sup>25</sup>
	5.000	81.75	07/20/20	102.78	21.03 W	0.00	65 of 130 - Sale <sup>25</sup>
	5.000	81.75	07/20/20	103.05	21.30 W	0.00	66 of 130 - Sale <sup>25</sup>
	5.000	81.75	07/20/20	103.19	21.44 W	0.00	67 of 130 - Sale <sup>25</sup>
	10.000	163.49	07/20/20	206.24	42.75 W	0.00	68 of 130 - Sale <sup>25</sup>
	13.000	212.54	07/20/20	267.96	55.42 W	0.00	69 of 130 - Sale <sup>25</sup>
	1.000	16.35	07/20/20	19.40	3.05 W	0.00	70 of 130 - Sale <sup>25</sup>
	19.000	310.64	07/20/20	368.70	58.06 W	0.00	71 of 130 - Sale <sup>25</sup>
	5.000	81.75	07/20/20	84.49	2.74 W	0.00	72 of 130 - Sale <sup>25</sup>
	5.000	81.75	07/20/20	82.39	0.64 W	0.00	73 of 130 - Sale <sup>25</sup>
	20.000	326.99	07/20/20	370.91	43.92 W	0.00	74 of 130 - Sale <sup>25</sup>
	5.000	81.75	07/20/20	94.13	12.38 W	0.00	75 of 130 - Sale <sup>25</sup>
	5.000	81.75	07/20/20	89.19	7.44 W	0.00	76 of 130 - Sale <sup>25</sup>
	5.000	81.75	07/20/20	86.90	5.15 W	0.00	77 of 130 - Sale <sup>25</sup>
	5.000	81.75	07/20/20	84.58	2.83 W	0.00	78 of 130 - Sale <sup>25</sup>
	7.000	114.45	07/20/20	129.46	15.01 W	0.00	79 of 130 - Sale <sup>25</sup>
	8.000	130.80	07/20/20	147.23	16.43 W	0.00	80 of 130 - Sale <sup>25</sup>
	10.000	163.49	07/20/20	172.32	8.83 W	0.00	81 of 130 - Sale <sup>25</sup>
	10.000	163.49	07/20/20	167.58	4.09 W	0.00	82 of 130 - Sale <sup>25</sup>
	35.000	572.23	07/20/20	589.47	17.24 W	0.00	83 of 130 - Sale <sup>25</sup>
	80.000	1,307.95	07/20/20	1,354.23	46.28 W	0.00	84 of 130 - Sale <sup>25</sup>
	40.000	653.97	07/20/20	656.00	2.03 W	0.00	85 of 130 - Sale <sup>25</sup>
	75.000	1,226.20	07/20/20	1,227.28	1.08 W	0.00	86 of 130 - Sale <sup>25</sup>
	5.000	81.05	07/20/20	99.32	18.27 W	0.00	87 of 130 - Sale <sup>25</sup>
	5.000	81.05	07/20/20	93.89	12.84 W	0.00	88 of 130 - Sale <sup>25</sup>
	5.000	81.05	07/20/20	87.79	6.74 W	0.00	89 of 130 - Sale <sup>25</sup>
	5.000	81.05	07/20/20	84.12	3.07 W	0.00	90 of 130 - Sale <sup>25</sup>
	5.000	81.05	07/20/20	86.81	5.76 W	0.00	91 of 130 - Sale <sup>25</sup>
	4.000	64.84	07/20/20	73.95	9.11 W	0.00	92 of 130 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	5.000	81.05	07/20/20	89.45	8.40 W	0.00	93 of 130 - Sale <sup>25</sup>
	5.000	81.05	07/20/20	104.34	23.29 W	0.00	94 of 130 - Sale <sup>25</sup>
	11.000	178.30	07/20/20	203.40	25.10 W	0.00	95 of 130 - Sale <sup>25</sup>
	2.000	32.42	07/20/20	41.52	9.10 W	0.00	96 of 130 - Sale <sup>25</sup>
	3.000	48.63	07/20/20	62.26	13.63 W	0.00	97 of 130 - Sale <sup>25</sup>
	5.000	81.05	07/20/20	103.86	22.81 W	0.00	98 of 130 - Sale <sup>25</sup>
	5.000	81.05	07/20/20	103.47	22.42 W	0.00	99 of 130 - Sale <sup>25</sup>
	5.000	81.05	07/20/20	103.74	22.69 W	0.00	100 of 130 - Sale <sup>25</sup>
	10.000	162.09	07/20/20	207.64	45.55 W	0.00	101 of 130 - Sale <sup>25</sup>
	1.000	16.21	07/20/20	17.76	1.55 W	0.00	102 of 130 - Sale <sup>25</sup>
	2.000	32.42	07/20/20	35.48	3.06 W	0.00	103 of 130 - Sale <sup>25</sup>
	3.000	48.63	07/20/20	53.22	4.59 W	0.00	104 of 130 - Sale <sup>25</sup>
	4.000	64.84	07/20/20	71.66	6.82 W	0.00	105 of 130 - Sale <sup>25</sup>
	5.000	81.05	07/20/20	83.71	2.66 W	0.00	106 of 130 - Sale <sup>25</sup>
	5.000	81.05	07/20/20	93.09	12.04 W	0.00	107 of 130 - Sale <sup>25</sup>
	15.000	243.14	07/20/20	255.19	12.05 W	0.00	108 of 130 - Sale <sup>25</sup>
	15.000	243.14	07/20/20	253.89	10.75 W	0.00	109 of 130 - Sale <sup>25</sup>
	20.000	324.19	07/20/20	362.37	38.18 W	0.00	110 of 130 - Sale <sup>25</sup>
	1.000	16.21	07/20/20	19.53	3.32 W	0.00	111 of 130 - Sale <sup>25</sup>
	5.000	81.05	07/20/20	103.84	22.79 W	0.00	112 of 130 - Sale <sup>25</sup>
	10.000	162.09	07/20/20	207.42	45.33 W	0.00	113 of 130 - Sale <sup>25</sup>
	19.000	307.98	07/20/20	371.16	63.18 W	0.00	114 of 130 - Sale <sup>25</sup>
	5.000	81.05	07/20/20	85.14	4.09 W	0.00	115 of 130 - Sale <sup>25</sup>
	5.000	81.05	07/20/20	83.03	1.98 W	0.00	116 of 130 - Sale <sup>25</sup>
	5.000	81.05	07/20/20	94.78	13.73 W	0.00	117 of 130 - Sale <sup>25</sup>
	5.000	81.05	07/20/20	89.84	8.79 W	0.00	118 of 130 - Sale <sup>25</sup>
	5.000	81.05	07/20/20	87.54	6.49 W	0.00	119 of 130 - Sale <sup>25</sup>
	5.000	81.05	07/20/20	85.23	4.18 W	0.00	120 of 130 - Sale <sup>25</sup>
	7.000	113.47	07/20/20	130.36	16.89 W	0.00	121 of 130 - Sale <sup>25</sup>
	8.000	129.68	07/20/20	148.26	18.58 W	0.00	122 of 130 - Sale <sup>25</sup>
	10.000	162.09	07/20/20	173.62	11.53 W	0.00	123 of 130 - Sale <sup>25</sup>
	10.000	162.09	07/20/20	168.88	6.79 W	0.00	124 of 130 - Sale <sup>25</sup>
	20.000	324.19	07/20/20	373.50	49.31 W	0.00	125 of 130 - Sale <sup>25</sup>
	35.000	567.33	07/20/20	594.00	26.67 W	0.00	126 of 130 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	40.000	648.38	07/20/20	661.19	12.81 W	0.00	127 of 130 - Sale <sup>25</sup>
	80.000	1,296.76	07/20/20	1,364.60	67.84 W	0.00	128 of 130 - Sale <sup>25</sup>
	75.000	1,215.71	07/20/20	1,228.83	13.12 W	0.00	129 of 130 - Sale <sup>25</sup>
	300.000	4,862.83	07/20/20	4,911.00	48.17 W	0.00	130 of 130 - Sale <sup>25</sup>
07/20/20	1,710.000	27,666.70	Various	29,769.48	2,102.78 W	0.00	Total of 130 transactions
	51 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	5.000	83.79	07/20/20	100.12	16.33 W	0.00	1 of 51 - Sale <sup>25</sup>
	5.000	83.79	07/20/20	94.69	10.90 W	0.00	2 of 51 - Sale <sup>25</sup>
	5.000	83.80	07/20/20	88.59	4.79 W	0.00	3 of 51 - Sale <sup>25</sup>
	5.000	83.79	07/20/20	84.92	1.13 W	0.00	4 of 51 - Sale <sup>25</sup>
	5.000	83.80	07/20/20	87.61	3.81 W	0.00	5 of 51 - Sale <sup>25</sup>
	2.000	33.52	07/20/20	41.68	8.16 W	0.00	6 of 51 - Sale <sup>25</sup>
	3.000	50.28	07/20/20	62.50	12.22 W	0.00	7 of 51 - Sale <sup>25</sup>
	4.000	67.04	07/20/20	74.27	7.23 W	0.00	8 of 51 - Sale <sup>25</sup>
	5.000	83.79	07/20/20	89.85	6.06 W	0.00	9 of 51 - Sale <sup>25</sup>
	5.000	83.79	07/20/20	104.74	20.95 W	0.00	10 of 51 - Sale <sup>25</sup>
	5.000	83.80	07/20/20	104.26	20.46 W	0.00	11 of 51 - Sale <sup>25</sup>
	5.000	83.79	07/20/20	103.87	20.08 W	0.00	12 of 51 - Sale <sup>25</sup>
	5.000	83.79	07/20/20	104.14	20.35 W	0.00	13 of 51 - Sale <sup>25</sup>
	10.000	167.59	07/20/20	208.45	40.86 W	0.00	14 of 51 - Sale <sup>25</sup>
	11.000	184.35	07/20/20	204.29	19.94 W	0.00	15 of 51 - Sale <sup>25</sup>
	5.000	83.79	07/20/20	84.11	0.32 W	0.00	16 of 51 - Sale <sup>25</sup>
	15.000	251.39	07/20/20	256.40	5.01 W	0.00	17 of 51 - Sale <sup>25</sup>
	1.000	16.42	07/20/20	17.84	1.42 W	0.00	18 of 51 - Sale <sup>25</sup>
	2.000	32.84	07/20/20	35.64	2.80 W	0.00	19 of 51 - Sale <sup>25</sup>
	3.000	49.26	07/20/20	53.46	4.20 W	0.00	20 of 51 - Sale <sup>25</sup>
	4.000	65.68	07/20/20	71.98	6.30 W	0.00	21 of 51 - Sale <sup>25</sup>
	15.000	246.31	07/20/20	255.09	8.78 W	0.00	22 of 51 - Sale <sup>25</sup>
	1.000	16.42	07/20/20	19.62	3.20 W	0.00	23 of 51 - Sale <sup>25</sup>
	5.000	82.10	07/20/20	104.29	22.19 W	0.00	24 of 51 - Sale <sup>25</sup>
	10.000	164.21	07/20/20	208.33	44.12 W	0.00	25 of 51 - Sale <sup>25</sup>
	19.000	312.00	07/20/20	372.88	60.88 W	0.00	26 of 51 - Sale <sup>25</sup>
	5.000	82.10	07/20/20	93.54	11.44 W	0.00	27 of 51 - Sale <sup>25</sup>
	20.000	328.42	07/20/20	364.18	35.76 W	0.00	28 of 51 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	15.000	246.32	07/20/20	281.48	35.16 W	0.00	29 of 51 - Sale <sup>25</sup>
	5.000	81.25	07/20/20	85.59	4.34 W	0.00	30 of 51 - Sale <sup>25</sup>
	5.000	81.25	07/20/20	83.48	2.23 W	0.00	31 of 51 - Sale <sup>25</sup>
	5.000	81.25	07/20/20	95.23	13.98 W	0.00	32 of 51 - Sale <sup>25</sup>
	5.000	81.25	07/20/20	93.56	12.31 W	0.00	33 of 51 - Sale <sup>25</sup>
	5.000	81.25	07/20/20	93.83	12.58 W	0.00	34 of 51 - Sale <sup>25</sup>
	2.000	32.50	07/20/20	37.43	4.93 W	0.00	35 of 51 - Sale <sup>25</sup>
	5.000	81.25	07/20/20	90.29	9.04 W	0.00	36 of 51 - Sale <sup>25</sup>
	5.000	81.25	07/20/20	87.99	6.74 W	0.00	37 of 51 - Sale <sup>25</sup>
	5.000	81.25	07/20/20	85.68	4.43 W	0.00	38 of 51 - Sale <sup>25</sup>
	8.000	130.00	07/20/20	148.98	18.98 W	0.00	39 of 51 - Sale <sup>25</sup>
	10.000	162.49	07/20/20	174.53	12.04 W	0.00	40 of 51 - Sale <sup>25</sup>
	10.000	162.49	07/20/20	169.79	7.30 W	0.00	41 of 51 - Sale <sup>25</sup>
	20.000	324.98	07/20/20	342.96	17.98 W	0.00	42 of 51 - Sale <sup>25</sup>
	35.000	568.73	07/20/20	597.17	28.44 W	0.00	43 of 51 - Sale <sup>25</sup>
	33.000	536.23	07/20/20	577.43	41.20 W	0.00	44 of 51 - Sale <sup>25</sup>
	5.000	81.25	07/20/20	87.49	6.24 W	0.00	45 of 51 - Sale <sup>25</sup>
	5.000	81.25	07/20/20	87.49	6.24 W	0.00	46 of 51 - Sale <sup>25</sup>
	2.000	32.50	07/20/20	35.00	2.50 W	0.00	47 of 51 - Sale <sup>25</sup>
	5.000	81.25	07/20/20	87.49	6.24 W	0.00	48 of 51 - Sale <sup>25</sup>
	25.000	406.24	07/20/20	424.26	18.02 W	0.00	49 of 51 - Sale <sup>25</sup>
	10.000	162.49	07/20/20	174.98	12.49 W	0.00	50 of 51 - Sale <sup>25</sup>
	15.000	243.75	07/20/20	254.55	10.80 W	0.00	51 of 51 - Sale <sup>25</sup>
07/21/20	425.000	6,974.12	Various	7,688.02	713.90 W	0.00	Total of 51 transactions
6 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	50.000	833.48	07/22/20	843.25	9.77 W	0.00	1 of 6 - Sale <sup>25</sup>
	25.000	417.82	07/22/20	421.26	3.44 W	0.00	2 of 6 - Sale <sup>25</sup>
	25.000	417.82	07/22/20	421.62	3.80 W	0.00	3 of 6 - Sale <sup>25</sup>
	100.000	1,671.28	07/22/20	1,710.86	39.58 W	0.00	4 of 6 - Sale <sup>25</sup>
	49.000	808.49	07/22/20	815.39	6.90 W	0.00	5 of 6 - Sale <sup>25</sup>
	1.000	16.50	07/22/20	16.63	0.13 W	0.00	6 of 6 - Sale <sup>25</sup>
07/22/20	250.000	4,165.39	Various	4,229.01	63.62 W	0.00	Total of 6 transactions
07/23/20	50.000	778.05	07/23/20	797.90	19.85 W	0.00	Sale <sup>25</sup>
07/29/20	50.000	801.98	07/29/20	824.80	22.82 W	0.00	Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
<i>19 transactions for 08/03/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	35.10	08/03/20	43.82	8.72 W	0.00	1 of 19 - Sale <sup>25</sup>
	3.000	52.65	08/03/20	65.69	13.04 W	0.00	2 of 19 - Sale <sup>25</sup>
	4.000	70.21	08/03/20	78.54	8.33 W	0.00	3 of 19 - Sale <sup>25</sup>
	5.000	87.76	08/03/20	105.47	17.71 W	0.00	4 of 19 - Sale <sup>25</sup>
	5.000	87.76	08/03/20	100.04	12.28 W	0.00	5 of 19 - Sale <sup>25</sup>
	5.000	87.76	08/03/20	95.19	7.43 W	0.00	6 of 19 - Sale <sup>25</sup>
	5.000	87.76	08/03/20	110.08	22.32 W	0.00	7 of 19 - Sale <sup>25</sup>
	5.000	87.76	08/03/20	109.60	21.84 W	0.00	8 of 19 - Sale <sup>25</sup>
	5.000	87.76	08/03/20	109.21	21.45 W	0.00	9 of 19 - Sale <sup>25</sup>
	10.000	175.51	08/03/20	219.13	43.62 W	0.00	10 of 19 - Sale <sup>25</sup>
	11.000	193.07	08/03/20	216.04	22.97 W	0.00	11 of 19 - Sale <sup>25</sup>
	5.000	87.76	08/03/20	93.93	6.17 W	0.00	12 of 19 - Sale <sup>25</sup>
	5.000	87.76	08/03/20	90.27	2.51 W	0.00	13 of 19 - Sale <sup>25</sup>
	5.000	87.76	08/03/20	92.95	5.19 W	0.00	14 of 19 - Sale <sup>25</sup>
	25.000	438.79	08/03/20	449.70	10.91 W	0.00	15 of 19 - Sale <sup>25</sup>
	2.000	35.10	08/03/20	43.60	8.50 W	0.00	16 of 19 - Sale <sup>25</sup>
	3.000	52.65	08/03/20	65.41	12.76 W	0.00	17 of 19 - Sale <sup>25</sup>
	5.000	87.76	08/03/20	88.97	1.21 W	0.00	18 of 19 - Sale <sup>25</sup>
	15.000	263.27	08/03/20	270.96	7.69 W	0.00	19 of 19 - Sale <sup>25</sup>
08/03/20	125.000	2,193.95	Various	2,448.60	254.65 W	0.00	Total of 19 transactions
08/04/20	200.000	3,619.89	08/04/20	3,597.08	...	22.81	Sale <sup>25</sup>
<i>248 transactions for 08/04/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	17.75	08/04/20	21.13	3.38 W	0.00	1 of 248 - Sale <sup>25</sup>
	5.000	88.76	08/04/20	111.84	23.08 W	0.00	2 of 248 - Sale <sup>25</sup>
	9.000	159.76	08/04/20	190.21	30.45 W	0.00	3 of 248 - Sale <sup>25</sup>
	10.000	177.52	08/04/20	223.42	45.90 W	0.00	4 of 248 - Sale <sup>25</sup>
	1.000	17.75	08/04/20	19.35	1.60 W	0.00	5 of 248 - Sale <sup>25</sup>
	2.000	35.50	08/04/20	38.66	3.16 W	0.00	6 of 248 - Sale <sup>25</sup>
	3.000	53.25	08/04/20	57.99	4.74 W	0.00	7 of 248 - Sale <sup>25</sup>
	4.000	71.01	08/04/20	78.02	7.01 W	0.00	8 of 248 - Sale <sup>25</sup>
	15.000	266.27	08/04/20	277.73	11.46 W	0.00	9 of 248 - Sale <sup>25</sup>
	10.000	177.52	08/04/20	209.93	32.41 W	0.00	10 of 248 - Sale <sup>25</sup>
	5.000	88.76	08/04/20	100.38	11.62 W	0.00	11 of 248 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	15.000	266.27	08/04/20	301.99	35.72 W	0.00	12 of 248 - Sale <sup>25</sup>
	20.000	355.03	08/04/20	391.54	36.51 W	0.00	13 of 248 - Sale <sup>25</sup>
	2.000	35.32	08/04/20	40.67	5.35 W	0.00	14 of 248 - Sale <sup>25</sup>
	5.000	88.30	08/04/20	101.93	13.63 W	0.00	15 of 248 - Sale <sup>25</sup>
	5.000	88.30	08/04/20	93.69	5.39 W	0.00	16 of 248 - Sale <sup>25</sup>
	5.000	88.30	08/04/20	91.58	3.28 W	0.00	17 of 248 - Sale <sup>25</sup>
	5.000	88.30	08/04/20	103.33	15.03 W	0.00	18 of 248 - Sale <sup>25</sup>
	5.000	88.30	08/04/20	101.66	13.36 W	0.00	19 of 248 - Sale <sup>25</sup>
	5.000	88.30	08/04/20	98.39	10.09 W	0.00	20 of 248 - Sale <sup>25</sup>
	5.000	88.30	08/04/20	96.09	7.79 W	0.00	21 of 248 - Sale <sup>25</sup>
	5.000	88.30	08/04/20	93.78	5.48 W	0.00	22 of 248 - Sale <sup>25</sup>
	8.000	141.27	08/04/20	161.94	20.67 W	0.00	23 of 248 - Sale <sup>25</sup>
	5.000	88.30	08/04/20	92.95	4.65 W	0.00	24 of 248 - Sale <sup>25</sup>
	10.000	176.59	08/04/20	190.64	14.05 W	0.00	25 of 248 - Sale <sup>25</sup>
	35.000	618.07	08/04/20	653.53	35.46 W	0.00	26 of 248 - Sale <sup>25</sup>
	5.000	90.45	08/04/20	94.05	3.60 W	0.00	27 of 248 - Sale <sup>25</sup>
	20.000	361.82	08/04/20	379.58	17.76 W	0.00	28 of 248 - Sale <sup>25</sup>
	25.000	452.27	08/04/20	483.21	30.94 W	0.00	29 of 248 - Sale <sup>25</sup>
	2.000	35.84	08/04/20	38.66	2.82 W	0.00	30 of 248 - Sale <sup>25</sup>
	5.000	89.60	08/04/20	96.64	7.04 W	0.00	31 of 248 - Sale <sup>25</sup>
	5.000	89.60	08/04/20	96.64	7.04 W	0.00	32 of 248 - Sale <sup>25</sup>
	5.000	89.60	08/04/20	96.64	7.04 W	0.00	33 of 248 - Sale <sup>25</sup>
	10.000	179.19	08/04/20	193.29	14.10 W	0.00	34 of 248 - Sale <sup>25</sup>
	15.000	268.79	08/04/20	282.01	13.22 W	0.00	35 of 248 - Sale <sup>25</sup>
	8.000	143.36	08/04/20	154.63	11.27 W	0.00	36 of 248 - Sale <sup>25</sup>
	10.000	179.19	08/04/20	188.01	8.82 W	0.00	37 of 248 - Sale <sup>25</sup>
	15.000	268.79	08/04/20	282.00	13.21 W	0.00	38 of 248 - Sale <sup>25</sup>
	25.000	447.99	08/04/20	456.89	8.90 W	0.00	39 of 248 - Sale <sup>25</sup>
	25.000	447.99	08/04/20	461.13	13.14 W	0.00	40 of 248 - Sale <sup>25</sup>
	25.000	447.99	08/04/20	460.05	12.06 W	0.00	41 of 248 - Sale <sup>25</sup>
	25.000	447.99	08/04/20	459.69	11.70 W	0.00	42 of 248 - Sale <sup>25</sup>
	25.000	447.98	08/04/20	466.15	18.17 W	0.00	43 of 248 - Sale <sup>25</sup>
	50.000	894.98	08/04/20	940.66	45.68 W	0.00	44 of 248 - Sale <sup>25</sup>
	1.000	17.98	08/04/20	18.32	0.34 W	0.00	45 of 248 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
25.000		449.52	08/04/20	464.64	15.12 W	0.00	46 of 248 - Sale <sup>25</sup>
25.000		449.52	08/04/20	464.68	15.16 W	0.00	47 of 248 - Sale <sup>25</sup>
49.000		881.06	08/04/20	898.21	17.15 W	0.00	48 of 248 - Sale <sup>25</sup>
1.000		17.90	08/04/20	21.33	3.43 W	0.00	49 of 248 - Sale <sup>25</sup>
2.000		35.81	08/04/20	44.62	8.81 W	0.00	50 of 248 - Sale <sup>25</sup>
2.000		35.81	08/04/20	44.40	8.59 W	0.00	51 of 248 - Sale <sup>25</sup>
3.000		53.71	08/04/20	66.89	13.18 W	0.00	52 of 248 - Sale <sup>25</sup>
3.000		53.71	08/04/20	66.61	12.90 W	0.00	53 of 248 - Sale <sup>25</sup>
4.000		71.62	08/04/20	80.13	8.51 W	0.00	54 of 248 - Sale <sup>25</sup>
5.000		89.52	08/04/20	107.46	17.94 W	0.00	55 of 248 - Sale <sup>25</sup>
5.000		89.52	08/04/20	102.03	12.51 W	0.00	56 of 248 - Sale <sup>25</sup>
5.000		89.52	08/04/20	97.18	7.66 W	0.00	57 of 248 - Sale <sup>25</sup>
5.000		89.52	08/04/20	112.07	22.55 W	0.00	58 of 248 - Sale <sup>25</sup>
5.000		89.52	08/04/20	111.59	22.07 W	0.00	59 of 248 - Sale <sup>25</sup>
5.000		89.52	08/04/20	111.20	21.68 W	0.00	60 of 248 - Sale <sup>25</sup>
5.000		89.52	08/04/20	112.83	23.31 W	0.00	61 of 248 - Sale <sup>25</sup>
9.000		161.14	08/04/20	192.00	30.86 W	0.00	62 of 248 - Sale <sup>25</sup>
10.000		179.04	08/04/20	223.12	44.08 W	0.00	63 of 248 - Sale <sup>25</sup>
10.000		179.05	08/04/20	225.40	46.35 W	0.00	64 of 248 - Sale <sup>25</sup>
10.000		179.05	08/04/20	211.91	32.86 W	0.00	65 of 248 - Sale <sup>25</sup>
11.000		196.95	08/04/20	220.42	23.47 W	0.00	66 of 248 - Sale <sup>25</sup>
1.000		17.90	08/04/20	19.55	1.65 W	0.00	67 of 248 - Sale <sup>25</sup>
2.000		35.81	08/04/20	39.06	3.25 W	0.00	68 of 248 - Sale <sup>25</sup>
2.000		35.81	08/04/20	41.25	5.44 W	0.00	69 of 248 - Sale <sup>25</sup>
2.000		35.81	08/04/20	38.72	2.91 W	0.00	70 of 248 - Sale <sup>25</sup>
3.000		53.71	08/04/20	58.59	4.88 W	0.00	71 of 248 - Sale <sup>25</sup>
4.000		71.62	08/04/20	78.81	7.19 W	0.00	72 of 248 - Sale <sup>25</sup>
5.000		89.52	08/04/20	95.92	6.40 W	0.00	73 of 248 - Sale <sup>25</sup>
5.000		89.52	08/04/20	92.26	2.74 W	0.00	74 of 248 - Sale <sup>25</sup>
5.000		89.52	08/04/20	94.94	5.42 W	0.00	75 of 248 - Sale <sup>25</sup>
5.000		89.52	08/04/20	90.96	1.44 W	0.00	76 of 248 - Sale <sup>25</sup>
5.000		89.52	08/04/20	101.37	11.85 W	0.00	77 of 248 - Sale <sup>25</sup>
5.000		89.52	08/04/20	103.38	13.86 W	0.00	78 of 248 - Sale <sup>25</sup>
5.000		89.52	08/04/20	95.14	5.62 W	0.00	79 of 248 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	5.000	89.52	08/04/20	93.03	3.51 W	0.00	80 of 248 - Sale <sup>25</sup>
	5.000	89.52	08/04/20	104.78	15.26 W	0.00	81 of 248 - Sale <sup>25</sup>
	5.000	89.52	08/04/20	103.11	13.59 W	0.00	82 of 248 - Sale <sup>25</sup>
	5.000	89.52	08/04/20	99.84	10.32 W	0.00	83 of 248 - Sale <sup>25</sup>
	5.000	89.52	08/04/20	97.54	8.02 W	0.00	84 of 248 - Sale <sup>25</sup>
	5.000	89.52	08/04/20	95.23	5.71 W	0.00	85 of 248 - Sale <sup>25</sup>
	5.000	89.52	08/04/20	94.40	4.88 W	0.00	86 of 248 - Sale <sup>25</sup>
	5.000	89.52	08/04/20	93.35	3.83 W	0.00	87 of 248 - Sale <sup>25</sup>
	5.000	89.52	08/04/20	96.79	7.27 W	0.00	88 of 248 - Sale <sup>25</sup>
	5.000	89.52	08/04/20	96.79	7.27 W	0.00	89 of 248 - Sale <sup>25</sup>
	5.000	89.52	08/04/20	96.79	7.27 W	0.00	90 of 248 - Sale <sup>25</sup>
	8.000	143.24	08/04/20	164.27	21.03 W	0.00	91 of 248 - Sale <sup>25</sup>
	8.000	143.24	08/04/20	154.87	11.63 W	0.00	92 of 248 - Sale <sup>25</sup>
	10.000	179.05	08/04/20	193.55	14.50 W	0.00	93 of 248 - Sale <sup>25</sup>
	10.000	179.05	08/04/20	193.60	14.55 W	0.00	94 of 248 - Sale <sup>25</sup>
	10.000	179.05	08/04/20	188.32	9.27 W	0.00	95 of 248 - Sale <sup>25</sup>
	15.000	268.57	08/04/20	276.94	8.37 W	0.00	96 of 248 - Sale <sup>25</sup>
	15.000	268.57	08/04/20	280.71	12.14 W	0.00	97 of 248 - Sale <sup>25</sup>
	15.000	268.57	08/04/20	304.97	36.40 W	0.00	98 of 248 - Sale <sup>25</sup>
	15.000	268.57	08/04/20	282.47	13.90 W	0.00	99 of 248 - Sale <sup>25</sup>
	15.000	268.57	08/04/20	282.46	13.89 W	0.00	100 of 248 - Sale <sup>25</sup>
	20.000	358.09	08/04/20	395.51	37.42 W	0.00	101 of 248 - Sale <sup>25</sup>
	20.000	358.09	08/04/20	376.76	18.67 W	0.00	102 of 248 - Sale <sup>25</sup>
	25.000	447.61	08/04/20	479.69	32.08 W	0.00	103 of 248 - Sale <sup>25</sup>
	35.000	626.66	08/04/20	663.71	37.05 W	0.00	104 of 248 - Sale <sup>25</sup>
	1.000	17.90	08/04/20	18.29	0.39 W	0.00	105 of 248 - Sale <sup>25</sup>
	25.000	447.61	08/04/20	458.67	11.06 W	0.00	106 of 248 - Sale <sup>25</sup>
	25.000	447.61	08/04/20	459.66	12.05 W	0.00	107 of 248 - Sale <sup>25</sup>
	25.000	447.61	08/04/20	457.65	10.04 W	0.00	108 of 248 - Sale <sup>25</sup>
	25.000	447.61	08/04/20	461.89	14.28 W	0.00	109 of 248 - Sale <sup>25</sup>
	25.000	447.61	08/04/20	460.81	13.20 W	0.00	110 of 248 - Sale <sup>25</sup>
	25.000	447.61	08/04/20	460.45	12.84 W	0.00	111 of 248 - Sale <sup>25</sup>
	25.000	447.61	08/04/20	466.92	19.31 W	0.00	112 of 248 - Sale <sup>25</sup>
	25.000	447.61	08/04/20	463.87	16.26 W	0.00	113 of 248 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
25.000		447.62	08/04/20	463.91	16.29 W	0.00	114 of 248 - Sale <sup>25</sup>
49.000		877.32	08/04/20	896.70	19.38 W	0.00	115 of 248 - Sale <sup>25</sup>
50.000		895.23	08/04/20	920.32	25.09 W	0.00	116 of 248 - Sale <sup>25</sup>
50.000		895.23	08/04/20	943.18	47.95 W	0.00	117 of 248 - Sale <sup>25</sup>
200.000		3,580.92	08/04/20	3,590.00	9.08 W	0.00	118 of 248 - Sale <sup>25</sup>
1.000		18.15	08/04/20	21.37	3.22 W	0.00	119 of 248 - Sale <sup>25</sup>
2.000		36.30	08/04/20	44.69	8.39 W	0.00	120 of 248 - Sale <sup>25</sup>
2.000		36.30	08/04/20	44.47	8.17 W	0.00	121 of 248 - Sale <sup>25</sup>
3.000		54.45	08/04/20	67.00	12.55 W	0.00	122 of 248 - Sale <sup>25</sup>
3.000		54.45	08/04/20	66.72	12.27 W	0.00	123 of 248 - Sale <sup>25</sup>
4.000		72.60	08/04/20	80.27	7.67 W	0.00	124 of 248 - Sale <sup>25</sup>
5.000		90.75	08/04/20	107.64	16.89 W	0.00	125 of 248 - Sale <sup>25</sup>
5.000		90.75	08/04/20	102.21	11.46 W	0.00	126 of 248 - Sale <sup>25</sup>
5.000		90.75	08/04/20	97.36	6.61 W	0.00	127 of 248 - Sale <sup>25</sup>
5.000		90.75	08/04/20	112.25	21.50 W	0.00	128 of 248 - Sale <sup>25</sup>
5.000		90.75	08/04/20	111.77	21.02 W	0.00	129 of 248 - Sale <sup>25</sup>
5.000		90.75	08/04/20	111.38	20.63 W	0.00	130 of 248 - Sale <sup>25</sup>
5.000		90.75	08/04/20	113.01	22.26 W	0.00	131 of 248 - Sale <sup>25</sup>
9.000		163.34	08/04/20	192.32	28.98 W	0.00	132 of 248 - Sale <sup>25</sup>
10.000		181.49	08/04/20	223.48	41.99 W	0.00	133 of 248 - Sale <sup>25</sup>
10.000		181.49	08/04/20	225.75	44.26 W	0.00	134 of 248 - Sale <sup>25</sup>
10.000		181.49	08/04/20	212.26	30.77 W	0.00	135 of 248 - Sale <sup>25</sup>
11.000		199.64	08/04/20	220.81	21.17 W	0.00	136 of 248 - Sale <sup>25</sup>
1.000		18.15	08/04/20	19.59	1.44 W	0.00	137 of 248 - Sale <sup>25</sup>
2.000		36.30	08/04/20	39.13	2.83 W	0.00	138 of 248 - Sale <sup>25</sup>
2.000		36.30	08/04/20	41.32	5.02 W	0.00	139 of 248 - Sale <sup>25</sup>
2.000		36.30	08/04/20	38.79	2.49 W	0.00	140 of 248 - Sale <sup>25</sup>
3.000		54.45	08/04/20	58.70	4.25 W	0.00	141 of 248 - Sale <sup>25</sup>
4.000		72.60	08/04/20	78.95	6.35 W	0.00	142 of 248 - Sale <sup>25</sup>
5.000		90.75	08/04/20	96.10	5.35 W	0.00	143 of 248 - Sale <sup>25</sup>
5.000		90.75	08/04/20	92.44	1.69 W	0.00	144 of 248 - Sale <sup>25</sup>
5.000		90.75	08/04/20	95.12	4.37 W	0.00	145 of 248 - Sale <sup>25</sup>
5.000		90.75	08/04/20	91.14	0.39 W	0.00	146 of 248 - Sale <sup>25</sup>
5.000		90.75	08/04/20	101.55	10.80 W	0.00	147 of 248 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	5.000	90.75	08/04/20	103.56	12.81 W	0.00	148 of 248 - Sale <sup>25</sup>
	5.000	90.75	08/04/20	95.32	4.57 W	0.00	149 of 248 - Sale <sup>25</sup>
	5.000	90.75	08/04/20	93.21	2.46 W	0.00	150 of 248 - Sale <sup>25</sup>
	5.000	90.75	08/04/20	104.96	14.21 W	0.00	151 of 248 - Sale <sup>25</sup>
	5.000	90.75	08/04/20	103.29	12.54 W	0.00	152 of 248 - Sale <sup>25</sup>
	5.000	90.75	08/04/20	100.02	9.27 W	0.00	153 of 248 - Sale <sup>25</sup>
	5.000	90.75	08/04/20	97.72	6.97 W	0.00	154 of 248 - Sale <sup>25</sup>
	5.000	90.75	08/04/20	95.41	4.66 W	0.00	155 of 248 - Sale <sup>25</sup>
	5.000	90.75	08/04/20	94.58	3.83 W	0.00	156 of 248 - Sale <sup>25</sup>
	5.000	90.75	08/04/20	93.53	2.78 W	0.00	157 of 248 - Sale <sup>25</sup>
	5.000	90.75	08/04/20	96.97	6.22 W	0.00	158 of 248 - Sale <sup>25</sup>
	5.000	90.75	08/04/20	96.97	6.22 W	0.00	159 of 248 - Sale <sup>25</sup>
	5.000	90.75	08/04/20	96.97	6.22 W	0.00	160 of 248 - Sale <sup>25</sup>
	8.000	145.19	08/04/20	164.55	19.36 W	0.00	161 of 248 - Sale <sup>25</sup>
	8.000	145.19	08/04/20	155.15	9.96 W	0.00	162 of 248 - Sale <sup>25</sup>
	10.000	181.49	08/04/20	193.90	12.41 W	0.00	163 of 248 - Sale <sup>25</sup>
	10.000	181.49	08/04/20	193.95	12.46 W	0.00	164 of 248 - Sale <sup>25</sup>
	10.000	181.49	08/04/20	188.67	7.18 W	0.00	165 of 248 - Sale <sup>25</sup>
	15.000	272.24	08/04/20	277.47	5.23 W	0.00	166 of 248 - Sale <sup>25</sup>
	15.000	272.24	08/04/20	281.24	9.00 W	0.00	167 of 248 - Sale <sup>25</sup>
	15.000	272.24	08/04/20	305.50	33.26 W	0.00	168 of 248 - Sale <sup>25</sup>
	15.000	272.24	08/04/20	283.00	10.76 W	0.00	169 of 248 - Sale <sup>25</sup>
	15.000	272.24	08/04/20	282.99	10.75 W	0.00	170 of 248 - Sale <sup>25</sup>
	20.000	362.99	08/04/20	396.22	33.23 W	0.00	171 of 248 - Sale <sup>25</sup>
	20.000	362.99	08/04/20	377.47	14.48 W	0.00	172 of 248 - Sale <sup>25</sup>
	25.000	453.73	08/04/20	480.58	26.85 W	0.00	173 of 248 - Sale <sup>25</sup>
	35.000	635.23	08/04/20	664.95	29.72 W	0.00	174 of 248 - Sale <sup>25</sup>
	25.000	453.73	08/04/20	459.56	5.83 W	0.00	175 of 248 - Sale <sup>25</sup>
	25.000	453.73	08/04/20	460.55	6.82 W	0.00	176 of 248 - Sale <sup>25</sup>
	50.000	907.47	08/04/20	922.09	14.62 W	0.00	177 of 248 - Sale <sup>25</sup>
	3.000	54.34	08/04/20	55.02	0.68 W	0.00	178 of 248 - Sale <sup>25</sup>
	25.000	452.86	08/04/20	462.78	9.92 W	0.00	179 of 248 - Sale <sup>25</sup>
	25.000	452.86	08/04/20	461.70	8.84 W	0.00	180 of 248 - Sale <sup>25</sup>
	25.000	452.86	08/04/20	461.34	8.48 W	0.00	181 of 248 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
25.000		452.86	08/04/20	467.81	14.95 W	0.00	182 of 248 - Sale <sup>25</sup>
47.000		851.38	08/04/20	888.25	36.87 W	0.00	183 of 248 - Sale <sup>25</sup>
1.000		18.10	08/04/20	18.33	0.23 W	0.00	184 of 248 - Sale <sup>25</sup>
25.000		452.49	08/04/20	464.76	12.27 W	0.00	185 of 248 - Sale <sup>25</sup>
25.000		452.49	08/04/20	464.79	12.30 W	0.00	186 of 248 - Sale <sup>25</sup>
49.000		886.87	08/04/20	898.44	11.57 W	0.00	187 of 248 - Sale <sup>25</sup>
3.000		54.30	08/04/20	56.70	2.40 W	0.00	188 of 248 - Sale <sup>25</sup>
1.000		18.10	08/04/20	21.30	3.20 W	0.00	189 of 248 - Sale <sup>25</sup>
2.000		36.20	08/04/20	44.54	8.34 W	0.00	190 of 248 - Sale <sup>25</sup>
2.000		36.20	08/04/20	44.32	8.12 W	0.00	191 of 248 - Sale <sup>25</sup>
3.000		54.30	08/04/20	66.78	12.48 W	0.00	192 of 248 - Sale <sup>25</sup>
3.000		54.30	08/04/20	66.50	12.20 W	0.00	193 of 248 - Sale <sup>25</sup>
4.000		72.40	08/04/20	79.98	7.58 W	0.00	194 of 248 - Sale <sup>25</sup>
5.000		90.50	08/04/20	107.28	16.78 W	0.00	195 of 248 - Sale <sup>25</sup>
5.000		90.50	08/04/20	101.85	11.35 W	0.00	196 of 248 - Sale <sup>25</sup>
5.000		90.50	08/04/20	97.00	6.50 W	0.00	197 of 248 - Sale <sup>25</sup>
5.000		90.50	08/04/20	111.89	21.39 W	0.00	198 of 248 - Sale <sup>25</sup>
5.000		90.50	08/04/20	111.41	20.91 W	0.00	199 of 248 - Sale <sup>25</sup>
5.000		90.50	08/04/20	111.02	20.52 W	0.00	200 of 248 - Sale <sup>25</sup>
5.000		90.50	08/04/20	112.65	22.15 W	0.00	201 of 248 - Sale <sup>25</sup>
9.000		162.89	08/04/20	191.68	28.79 W	0.00	202 of 248 - Sale <sup>25</sup>
10.000		180.99	08/04/20	222.76	41.77 W	0.00	203 of 248 - Sale <sup>25</sup>
10.000		180.99	08/04/20	225.03	44.04 W	0.00	204 of 248 - Sale <sup>25</sup>
10.000		180.99	08/04/20	211.54	30.55 W	0.00	205 of 248 - Sale <sup>25</sup>
11.000		199.09	08/04/20	220.02	20.93 W	0.00	206 of 248 - Sale <sup>25</sup>
1.000		18.10	08/04/20	19.52	1.42 W	0.00	207 of 248 - Sale <sup>25</sup>
2.000		36.20	08/04/20	38.98	2.78 W	0.00	208 of 248 - Sale <sup>25</sup>
2.000		36.20	08/04/20	41.17	4.97 W	0.00	209 of 248 - Sale <sup>25</sup>
3.000		54.30	08/04/20	58.48	4.18 W	0.00	210 of 248 - Sale <sup>25</sup>
4.000		72.40	08/04/20	78.66	6.26 W	0.00	211 of 248 - Sale <sup>25</sup>
5.000		90.50	08/04/20	95.74	5.24 W	0.00	212 of 248 - Sale <sup>25</sup>
5.000		90.50	08/04/20	92.08	1.58 W	0.00	213 of 248 - Sale <sup>25</sup>
5.000		90.50	08/04/20	94.76	4.26 W	0.00	214 of 248 - Sale <sup>25</sup>
5.000		90.50	08/04/20	90.78	0.28 W	0.00	215 of 248 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	5.000	90.50	08/04/20	101.19	10.69 W	0.00	216 of 248 - Sale <sup>25</sup>
	5.000	90.50	08/04/20	103.20	12.70 W	0.00	217 of 248 - Sale <sup>25</sup>
	5.000	90.50	08/04/20	94.96	4.46 W	0.00	218 of 248 - Sale <sup>25</sup>
	5.000	90.50	08/04/20	92.85	2.35 W	0.00	219 of 248 - Sale <sup>25</sup>
	5.000	90.50	08/04/20	104.60	14.10 W	0.00	220 of 248 - Sale <sup>25</sup>
	5.000	90.50	08/04/20	102.93	12.43 W	0.00	221 of 248 - Sale <sup>25</sup>
	5.000	90.50	08/04/20	99.66	9.16 W	0.00	222 of 248 - Sale <sup>25</sup>
	5.000	90.50	08/04/20	97.36	6.86 W	0.00	223 of 248 - Sale <sup>25</sup>
	5.000	90.50	08/04/20	95.05	4.55 W	0.00	224 of 248 - Sale <sup>25</sup>
	5.000	90.50	08/04/20	94.21	3.71 W	0.00	225 of 248 - Sale <sup>25</sup>
	5.000	90.50	08/04/20	93.17	2.67 W	0.00	226 of 248 - Sale <sup>25</sup>
	8.000	144.79	08/04/20	163.98	19.19 W	0.00	227 of 248 - Sale <sup>25</sup>
	10.000	180.99	08/04/20	193.18	12.19 W	0.00	228 of 248 - Sale <sup>25</sup>
	15.000	271.49	08/04/20	276.39	4.90 W	0.00	229 of 248 - Sale <sup>25</sup>
	15.000	271.49	08/04/20	280.16	8.67 W	0.00	230 of 248 - Sale <sup>25</sup>
	15.000	271.49	08/04/20	304.42	32.93 W	0.00	231 of 248 - Sale <sup>25</sup>
	20.000	361.99	08/04/20	394.78	32.79 W	0.00	232 of 248 - Sale <sup>25</sup>
	20.000	361.99	08/04/20	376.02	14.03 W	0.00	233 of 248 - Sale <sup>25</sup>
	35.000	633.48	08/04/20	662.42	28.94 W	0.00	234 of 248 - Sale <sup>25</sup>
	2.000	36.20	08/04/20	38.87	2.67 W	0.00	235 of 248 - Sale <sup>25</sup>
	5.000	90.50	08/04/20	97.17	6.67 W	0.00	236 of 248 - Sale <sup>25</sup>
	5.000	90.50	08/04/20	97.17	6.67 W	0.00	237 of 248 - Sale <sup>25</sup>
	5.000	90.50	08/04/20	97.17	6.67 W	0.00	238 of 248 - Sale <sup>25</sup>
	8.000	144.79	08/04/20	155.48	10.69 W	0.00	239 of 248 - Sale <sup>25</sup>
	10.000	180.99	08/04/20	194.36	13.37 W	0.00	240 of 248 - Sale <sup>25</sup>
	10.000	180.99	08/04/20	189.08	8.09 W	0.00	241 of 248 - Sale <sup>25</sup>
	15.000	271.49	08/04/20	283.61	12.12 W	0.00	242 of 248 - Sale <sup>25</sup>
	15.000	271.49	08/04/20	283.60	12.11 W	0.00	243 of 248 - Sale <sup>25</sup>
	25.000	452.48	08/04/20	481.60	29.12 W	0.00	244 of 248 - Sale <sup>25</sup>
	25.000	452.48	08/04/20	460.58	8.10 W	0.00	245 of 248 - Sale <sup>25</sup>
	50.000	904.97	08/04/20	928.37	23.40 W	0.00	246 of 248 - Sale <sup>25</sup>
	25.000	452.48	08/04/20	466.32	13.84 W	0.00	247 of 248 - Sale <sup>25</sup>
	22.000	398.31	08/04/20	403.52	5.21 W	0.00	248 of 248 - Sale <sup>25</sup>
08/04/20	2,925.000	52,637.49	Various	55,984.10	3,346.61 W	0.00	Total of 248 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
<i>14 transactions for 08/06/20. Total proceeds and cost reported to the IRS.</i>							
	15.000	257.39	08/06/20	293.93	...	-36.54	1 of 14 - Sale <sup>25</sup>
	8.000	137.27	08/06/20	158.39	...	-21.12	2 of 14 - Sale <sup>25</sup>
	5.000	85.80	08/06/20	101.10	...	-15.30	3 of 14 - Sale <sup>25</sup>
	10.000	171.59	08/06/20	186.19	...	-14.60	4 of 14 - Sale <sup>25</sup>
	5.000	85.80	08/06/20	99.70	...	-13.90	5 of 14 - Sale <sup>25</sup>
	5.000	85.80	08/06/20	99.43	...	-13.63	6 of 14 - Sale <sup>25</sup>
	10.000	171.59	08/06/20	182.27	...	-10.68	7 of 14 - Sale <sup>25</sup>
	5.000	85.80	08/06/20	96.16	...	-10.36	8 of 14 - Sale <sup>25</sup>
	5.000	85.80	08/06/20	93.86	...	-8.06	9 of 14 - Sale <sup>25</sup>
	5.000	85.79	08/06/20	91.55	...	-5.76	10 of 14 - Sale <sup>25</sup>
	5.000	85.80	08/06/20	91.46	...	-5.66	11 of 14 - Sale <sup>25</sup>
	2.000	34.32	08/06/20	39.77	...	-5.45	12 of 14 - Sale <sup>25</sup>
	5.000	85.80	08/06/20	91.13	...	-5.33	13 of 14 - Sale <sup>25</sup>
	5.000	85.80	08/06/20	89.35	...	-3.55	14 of 14 - Sale <sup>25</sup>
08/06/20	90.000	1,544.35	Various	1,714.29	...	-169.94	Total of 14 transactions
<i>46 transactions for 08/06/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	85.80	08/06/20	97.69	2.38 W	-9.51	1 of 46 - Sale <sup>25</sup>
	4.000	68.80	08/06/20	82.30	13.50 W	0.00	2 of 46 - Sale <sup>25</sup>
	4.000	68.80	08/06/20	69.59	0.79 W	0.00	3 of 46 - Sale <sup>25</sup>
	3.000	51.60	08/06/20	51.92	0.32 W	0.00	4 of 46 - Sale <sup>25</sup>
	18.000	309.59	08/06/20	311.70	2.11 W	0.00	5 of 46 - Sale <sup>25</sup>
	25.000	429.99	08/06/20	436.92	6.93 W	0.00	6 of 46 - Sale <sup>25</sup>
	25.000	429.99	08/06/20	435.84	5.85 W	0.00	7 of 46 - Sale <sup>25</sup>
	25.000	429.98	08/06/20	435.48	5.50 W	0.00	8 of 46 - Sale <sup>25</sup>
	1.000	17.20	08/06/20	20.58	3.38 W	0.00	9 of 46 - Sale <sup>25</sup>
	4.000	68.64	08/06/20	76.46	7.82 W	0.00	10 of 46 - Sale <sup>25</sup>
	5.000	85.80	08/06/20	97.45	11.65 W	0.00	11 of 46 - Sale <sup>25</sup>
	11.000	188.75	08/06/20	210.35	21.60 W	0.00	12 of 46 - Sale <sup>25</sup>
	25.000	428.98	08/06/20	445.45	16.47 W	0.00	13 of 46 - Sale <sup>25</sup>
	35.000	600.58	08/06/20	630.16	29.58 W	0.00	14 of 46 - Sale <sup>25</sup>
	1.000	17.16	08/06/20	17.45	0.29 W	0.00	15 of 46 - Sale <sup>25</sup>
	3.000	51.48	08/06/20	54.06	2.58 W	0.00	16 of 46 - Sale <sup>25</sup>
	25.000	428.99	08/06/20	442.77	13.78 W	0.00	17 of 46 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	25.000	428.99	08/06/20	442.80	13.81 W	0.00	18 of 46 - Sale <sup>25</sup>
	12.000	205.91	08/06/20	216.05	10.14 W	0.00	19 of 46 - Sale <sup>25</sup>
	49.000	840.82	08/06/20	855.35	14.53 W	0.00	20 of 46 - Sale <sup>25</sup>
	2.000	34.32	08/06/20	42.84	8.52 W	0.00	21 of 46 - Sale <sup>25</sup>
	2.000	34.32	08/06/20	42.62	8.30 W	0.00	22 of 46 - Sale <sup>25</sup>
	3.000	51.48	08/06/20	64.23	12.75 W	0.00	23 of 46 - Sale <sup>25</sup>
	3.000	51.48	08/06/20	63.95	12.47 W	0.00	24 of 46 - Sale <sup>25</sup>
	5.000	85.80	08/06/20	92.75	6.95 W	0.00	25 of 46 - Sale <sup>25</sup>
	5.000	85.80	08/06/20	107.64	21.84 W	0.00	26 of 46 - Sale <sup>25</sup>
	5.000	85.80	08/06/20	107.16	21.36 W	0.00	27 of 46 - Sale <sup>25</sup>
	5.000	85.80	08/06/20	106.77	20.97 W	0.00	28 of 46 - Sale <sup>25</sup>
	10.000	171.59	08/06/20	214.27	42.68 W	0.00	29 of 46 - Sale <sup>25</sup>
	10.000	171.59	08/06/20	216.54	44.95 W	0.00	30 of 46 - Sale <sup>25</sup>
	1.000	17.16	08/06/20	20.58	3.42 W	0.00	31 of 46 - Sale <sup>25</sup>
	5.000	85.80	08/06/20	109.05	23.25 W	0.00	32 of 46 - Sale <sup>25</sup>
	9.000	154.43	08/06/20	185.21	30.78 W	0.00	33 of 46 - Sale <sup>25</sup>
	10.000	171.59	08/06/20	204.35	32.76 W	0.00	34 of 46 - Sale <sup>25</sup>
	1.000	17.16	08/06/20	18.80	1.64 W	0.00	35 of 46 - Sale <sup>25</sup>
	2.000	34.32	08/06/20	37.54	3.22 W	0.00	36 of 46 - Sale <sup>25</sup>
	3.000	51.48	08/06/20	56.32	4.84 W	0.00	37 of 46 - Sale <sup>25</sup>
	4.000	68.64	08/06/20	75.78	7.14 W	0.00	38 of 46 - Sale <sup>25</sup>
	5.000	85.80	08/06/20	92.14	6.34 W	0.00	39 of 46 - Sale <sup>25</sup>
	5.000	85.80	08/06/20	88.48	2.68 W	0.00	40 of 46 - Sale <sup>25</sup>
	5.000	85.80	08/06/20	91.16	5.36 W	0.00	41 of 46 - Sale <sup>25</sup>
	5.000	85.80	08/06/20	87.18	1.38 W	0.00	42 of 46 - Sale <sup>25</sup>
	10.000	171.59	08/06/20	190.20	18.61 W	0.00	43 of 46 - Sale <sup>25</sup>
	15.000	257.39	08/06/20	265.60	8.21 W	0.00	44 of 46 - Sale <sup>25</sup>
	15.000	257.39	08/06/20	269.37	11.98 W	0.00	45 of 46 - Sale <sup>25</sup>
	10.000	171.59	08/06/20	190.39	18.80 W	0.00	46 of 46 - Sale <sup>25</sup>
08/06/20	460.000	7,897.57	Various	8,471.29	564.21 W	-9.51	Total of 46 transactions
	13 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	25.000	403.38	08/07/20	459.37	...	-55.99	1 of 13 - Sale <sup>25</sup>
	20.000	322.70	08/07/20	358.23	...	-35.53	2 of 13 - Sale <sup>25</sup>
	17.000	274.29	08/07/20	306.63	...	-32.34	3 of 13 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	5.000	80.67	08/07/20	89.76	...	-9.09	4 of 13 - Sale <sup>25</sup>
	5.000	80.68	08/07/20	88.72	...	-8.04	5 of 13 - Sale <sup>25</sup>
	3.000	48.40	08/07/20	54.23	...	-5.83	6 of 13 - Sale <sup>25</sup>
	10.000	152.49	08/10/20	166.35	...	-13.86	7 of 13 - Sale <sup>25</sup>
	15.000	228.74	08/10/20	241.58	...	-12.84	8 of 13 - Sale <sup>25</sup>
	8.000	122.00	08/10/20	133.07	...	-11.07	9 of 13 - Sale <sup>25</sup>
	5.000	76.25	08/10/20	83.16	...	-6.91	10 of 13 - Sale <sup>25</sup>
	5.000	76.25	08/10/20	83.16	...	-6.91	11 of 13 - Sale <sup>25</sup>
	5.000	76.25	08/10/20	83.16	...	-6.91	12 of 13 - Sale <sup>25</sup>
	2.000	30.50	08/10/20	33.27	...	-2.77	13 of 13 - Sale <sup>25</sup>
08/10/20	125.000	1,972.60	Various	2,180.69	...	-208.09	Total of 13 transactions
	5 transactions for 08/13/20. Total proceeds and cost reported to the IRS.						
	40.000	601.29	08/12/20	638.32	...	-37.03	1 of 5 - Sale <sup>25</sup>
	25.000	375.80	08/12/20	394.60	...	-18.80	2 of 5 - Sale <sup>25</sup>
	15.000	225.48	08/12/20	244.01	...	-18.53	3 of 5 - Sale <sup>25</sup>
	10.000	150.32	08/12/20	162.69	...	-12.37	4 of 5 - Sale <sup>25</sup>
	10.000	150.32	08/12/20	159.28	...	-8.96	5 of 5 - Sale <sup>25</sup>
08/13/20	100.000	1,503.21	Various	1,598.90	...	-95.69	Total of 5 transactions
	6 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	3.000	49.86	08/18/20	50.46	0.60 W	0.00	1 of 6 - Sale <sup>25</sup>
	4.000	66.48	08/18/20	67.65	1.17 W	0.00	2 of 6 - Sale <sup>25</sup>
	18.000	299.18	08/18/20	302.98	3.80 W	0.00	3 of 6 - Sale <sup>25</sup>
	25.000	415.52	08/18/20	431.72	16.20 W	0.00	4 of 6 - Sale <sup>25</sup>
	25.000	415.52	08/18/20	424.81	9.29 W	0.00	5 of 6 - Sale <sup>25</sup>
	25.000	415.52	08/18/20	423.72	8.20 W	0.00	6 of 6 - Sale <sup>25</sup>
08/18/20	100.000	1,662.08	Various	1,701.34	39.26 W	0.00	Total of 6 transactions
	2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	25.000	394.48	08/24/20	408.32	...	-13.84	1 of 2 - Sale <sup>25</sup>
	1.000	15.78	08/24/20	16.07	...	-0.29	2 of 2 - Sale <sup>25</sup>
08/24/20	26.000	410.26	Various	424.39	...	-14.13	Total of 2 transactions
	18 transactions for 08/24/20. Total proceeds and cost reported to the IRS.						
	42.000	662.74	08/24/20	675.23	7.14 W	-5.35	1 of 18 - Sale <sup>25</sup>
	1.000	15.78	08/24/20	19.16	3.38 W	0.00	2 of 18 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	4.000	63.12	08/24/20	76.62	13.50 W	0.00	3 of 18 - Sale <sup>25</sup>
	4.000	63.12	08/24/20	70.94	7.82 W	0.00	4 of 18 - Sale <sup>25</sup>
	5.000	78.90	08/24/20	90.55	11.65 W	0.00	5 of 18 - Sale <sup>25</sup>
	5.000	78.90	08/24/20	85.85	6.95 W	0.00	6 of 18 - Sale <sup>25</sup>
	5.000	78.90	08/24/20	100.74	21.84 W	0.00	7 of 18 - Sale <sup>25</sup>
	5.000	78.90	08/24/20	100.26	21.36 W	0.00	8 of 18 - Sale <sup>25</sup>
	10.000	157.79	08/24/20	200.49	42.70 W	0.00	9 of 18 - Sale <sup>25</sup>
	11.000	173.57	08/24/20	195.18	21.61 W	0.00	10 of 18 - Sale <sup>25</sup>
	25.000	394.48	08/24/20	400.01	5.53 W	0.00	11 of 18 - Sale <sup>25</sup>
	7.000	110.46	08/24/20	115.07	4.61 W	0.00	12 of 18 - Sale <sup>25</sup>
	3.000	47.34	08/24/20	49.92	2.58 W	0.00	13 of 18 - Sale <sup>25</sup>
	12.000	189.35	08/24/20	199.50	10.15 W	0.00	14 of 18 - Sale <sup>25</sup>
	18.000	284.03	08/24/20	295.91	11.88 W	0.00	15 of 18 - Sale <sup>25</sup>
	25.000	394.49	08/24/20	408.29	13.80 W	0.00	16 of 18 - Sale <sup>25</sup>
	35.000	552.28	08/24/20	581.89	29.61 W	0.00	17 of 18 - Sale <sup>25</sup>
	7.000	110.46	08/24/20	112.54	2.08 W	0.00	18 of 18 - Sale <sup>25</sup>
08/24/20	224.000	3,534.61	Various	3,778.15	238.19 W	-5.35	Total of 18 transactions
	25 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	10.000	172.72	08/25/20	205.36	...	-32.64	1 of 25 - Sale <sup>25</sup>
	9.000	155.44	08/25/20	186.12	...	-30.68	2 of 25 - Sale <sup>25</sup>
	5.000	86.36	08/25/20	109.55	...	-23.19	3 of 25 - Sale <sup>25</sup>
	5.000	86.36	08/25/20	108.98	...	-22.62	4 of 25 - Sale <sup>25</sup>
	5.000	86.36	08/25/20	108.77	...	-22.41	5 of 25 - Sale <sup>25</sup>
	5.000	86.36	08/25/20	107.47	...	-21.11	6 of 25 - Sale <sup>25</sup>
	10.000	172.71	08/25/20	191.30	...	-18.59	7 of 25 - Sale <sup>25</sup>
	10.000	172.72	08/25/20	191.11	...	-18.39	8 of 25 - Sale <sup>25</sup>
	3.000	51.81	08/25/20	64.65	...	-12.84	9 of 25 - Sale <sup>25</sup>
	3.000	51.81	08/25/20	64.37	...	-12.56	10 of 25 - Sale <sup>25</sup>
	2.000	34.54	08/25/20	43.12	...	-8.58	11 of 25 - Sale <sup>25</sup>
	2.000	34.54	08/25/20	42.90	...	-8.36	12 of 25 - Sale <sup>25</sup>
	15.000	259.07	08/25/20	267.10	...	-8.03	13 of 25 - Sale <sup>25</sup>
	10.000	172.71	08/25/20	180.49	...	-7.78	14 of 25 - Sale <sup>25</sup>
	4.000	69.09	08/25/20	76.14	...	-7.05	15 of 25 - Sale <sup>25</sup>
	5.000	86.36	08/25/20	92.64	...	-6.28	16 of 25 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	5.000	86.36	08/25/20	91.66	...	-5.30	17 of 25 - Sale <sup>25</sup>
	3.000	51.81	08/25/20	56.59	...	-4.78	18 of 25 - Sale <sup>25</sup>
	5.000	86.36	08/25/20	90.29	...	-3.93	19 of 25 - Sale <sup>25</sup>
	1.000	17.27	08/25/20	20.68	...	-3.41	20 of 25 - Sale <sup>25</sup>
	2.000	34.54	08/25/20	37.72	...	-3.18	21 of 25 - Sale <sup>25</sup>
	5.000	86.36	08/25/20	88.98	...	-2.62	22 of 25 - Sale <sup>25</sup>
	1.000	17.44	08/25/20	19.93	...	-2.49	23 of 25 - Sale <sup>25</sup>
	1.000	17.27	08/25/20	18.89	...	-1.62	24 of 25 - Sale <sup>25</sup>
	5.000	86.36	08/25/20	87.68	...	-1.32	25 of 25 - Sale <sup>25</sup>
08/25/20	131.000	2,262.73	Various	2,552.49	...	-289.76	Total of 25 transactions
	6 transactions for 09/16/20. Total proceeds and cost reported to the IRS.						
	3.000	83.57	09/15/20	77.79	...	5.78	1 of 6 - Sale <sup>25</sup>
	4.000	111.43	09/15/20	104.09	...	7.34	2 of 6 - Sale <sup>25</sup>
	18.000	501.43	09/15/20	466.94	...	34.49	3 of 6 - Sale <sup>25</sup>
	25.000	696.44	09/15/20	659.45	...	36.99	4 of 6 - Sale <sup>25</sup>
	25.000	696.43	09/15/20	652.54	...	43.89	5 of 6 - Sale <sup>25</sup>
	25.000	696.44	09/15/20	651.45	...	44.99	6 of 6 - Sale <sup>25</sup>
09/16/20	100.000	2,785.74	Various	2,612.26	...	173.48	Total of 6 transactions
	8 transactions for 09/18/20. Total proceeds and cost reported to the IRS.						
	4.000	119.44	09/17/20	119.34	...	0.10	1 of 8 - Sale <sup>25</sup>
	11.000	328.46	09/17/20	328.29	...	0.17	2 of 8 - Sale <sup>25</sup>
	2.000	59.72	09/17/20	57.14	...	2.58	3 of 8 - Sale <sup>25</sup>
	5.000	149.30	09/17/20	146.35	...	2.95	4 of 8 - Sale <sup>25</sup>
	7.000	209.02	09/17/20	199.98	...	9.04	5 of 8 - Sale <sup>25</sup>
	8.000	238.88	09/17/20	228.56	...	10.32	6 of 8 - Sale <sup>25</sup>
	25.000	746.49	09/17/20	703.28	...	43.21	7 of 8 - Sale <sup>25</sup>
	2.000	59.72	09/18/20	58.82	...	0.90	8 of 8 - Sale <sup>25</sup>
09/18/20	64.000	1,911.03	Various	1,841.76	...	69.27	Total of 8 transactions
	17 transactions for 09/18/20. Total proceeds and cost reported to the IRS.						
	1.000	28.92	09/17/20	30.95	2.03 W	0.00	1 of 17 - Sale <sup>25</sup>
	1.000	28.92	09/17/20	31.29	2.37 W	0.00	2 of 17 - Sale <sup>25</sup>
	2.000	59.72	09/17/20	62.57	2.85 W	0.00	3 of 17 - Sale <sup>25</sup>
	1.000	29.86	09/17/20	31.28	1.42 W	0.00	4 of 17 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	3.000	89.58	09/17/20	90.72	1.14 W	0.00	5 of 17 - Sale <sup>25</sup>
	2.000	59.72	09/17/20	60.42	0.70 W	0.00	6 of 17 - Sale <sup>25</sup>
	3.000	89.58	09/17/20	96.74	7.16 W	0.00	7 of 17 - Sale <sup>25</sup>
	2.000	59.72	09/17/20	64.56	4.84 W	0.00	8 of 17 - Sale <sup>25</sup>
	5.000	149.30	09/17/20	160.91	11.61 W	0.00	9 of 17 - Sale <sup>25</sup>
	10.000	298.60	09/17/20	321.80	23.20 W	0.00	10 of 17 - Sale <sup>25</sup>
	3.000	89.58	09/18/20	90.48	0.90 W	0.00	11 of 17 - Sale <sup>25</sup>
	3.000	89.58	09/18/20	90.48	0.90 W	0.00	12 of 17 - Sale <sup>25</sup>
	17.000	507.61	09/18/20	515.88	8.27 W	0.00	13 of 17 - Sale <sup>25</sup>
	2.000	59.72	09/18/20	59.90	0.18 W	0.00	14 of 17 - Sale <sup>25</sup>
	3.000	89.58	09/18/20	90.78	1.20 W	0.00	15 of 17 - Sale <sup>25</sup>
	12.000	358.31	09/18/20	362.95	4.64 W	0.00	16 of 17 - Sale <sup>25</sup>
	18.000	537.47	09/18/20	544.43	6.96 W	0.00	17 of 17 - Sale <sup>25</sup>
09/18/20	88.000	2,625.77	Various	2,706.14	80.37 W	0.00	Total of 17 transactions
09/23/20	4.000	88.40	09/23/20	100.77	12.37 W	0.00	Sale <sup>25</sup>
	2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.						
	3.000	72.81	09/23/20	69.74	...	3.07	1 of 2 - Sale <sup>25</sup>
	19.000	461.13	09/23/20	446.54	...	14.59	2 of 2 - Sale <sup>25</sup>
09/25/20	22.000	533.94	Various	516.28	...	17.66	Total of 2 transactions
	2 transactions for 09/28/20. Total proceeds and cost reported to the IRS.						
	4.000	112.40	09/23/20	92.99	...	19.41	1 of 2 - Sale <sup>25</sup>
	18.000	505.78	09/23/20	418.46	...	87.32	2 of 2 - Sale <sup>25</sup>
09/28/20	22.000	618.18	Various	511.45	...	106.73	Total of 2 transactions
	8 transactions for 09/29/20. Total proceeds and cost reported to the IRS.						
	6.000	164.28	09/23/20	139.48	...	24.80	1 of 8 - Sale <sup>25</sup>
	1.000	27.38	09/25/20	26.92	...	0.46	2 of 8 - Sale <sup>25</sup>
	2.000	54.76	09/25/20	53.85	...	0.91	3 of 8 - Sale <sup>25</sup>
	2.000	54.76	09/25/20	51.70	...	3.06	4 of 8 - Sale <sup>25</sup>
	3.000	81.85	09/25/20	77.40	...	4.45	5 of 8 - Sale <sup>25</sup>
	3.000	81.85	09/25/20	77.40	...	4.45	6 of 8 - Sale <sup>25</sup>
	3.000	82.14	09/25/20	77.64	...	4.50	7 of 8 - Sale <sup>25</sup>
	11.000	300.11	09/25/20	285.83	...	14.28	8 of 8 - Sale <sup>25</sup>
09/29/20	31.000	847.13	Various	790.22	...	56.91	Total of 8 transactions

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
43 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
1.000		27.38	09/25/20	27.53	0.15 W	0.00	1 of 43 - Sale <sup>25</sup>
1.000		27.38	09/25/20	27.87	0.49 W	0.00	2 of 43 - Sale <sup>25</sup>
2.000		54.76	09/25/20	55.84	1.08 W	0.00	3 of 43 - Sale <sup>25</sup>
3.000		82.14	09/25/20	83.66	1.52 W	0.00	4 of 43 - Sale <sup>25</sup>
5.000		136.89	09/25/20	139.11	2.22 W	0.00	5 of 43 - Sale <sup>25</sup>
4.000		109.51	09/25/20	111.28	1.77 W	0.00	6 of 43 - Sale <sup>25</sup>
6.000		163.70	09/25/20	166.91	3.21 W	0.00	7 of 43 - Sale <sup>25</sup>
1.000		27.28	09/28/20	27.78	0.50 W	0.00	8 of 43 - Sale <sup>25</sup>
6.000		163.70	09/28/20	167.26	3.56 W	0.00	9 of 43 - Sale <sup>25</sup>
18.000		491.09	09/28/20	499.98	8.89 W	0.00	10 of 43 - Sale <sup>25</sup>
2.000		54.57	09/28/20	55.78	1.21 W	0.00	11 of 43 - Sale <sup>25</sup>
2.000		54.57	09/28/20	61.79	7.22 W	0.00	12 of 43 - Sale <sup>25</sup>
3.000		81.85	09/28/20	84.60	2.75 W	0.00	13 of 43 - Sale <sup>25</sup>
11.000		300.11	09/28/20	310.05	9.94 W	0.00	14 of 43 - Sale <sup>25</sup>
1.000		27.28	09/28/20	27.95	0.67 W	0.00	15 of 43 - Sale <sup>25</sup>
1.000		27.28	09/28/20	28.29	1.01 W	0.00	16 of 43 - Sale <sup>25</sup>
2.000		54.57	09/28/20	56.68	2.11 W	0.00	17 of 43 - Sale <sup>25</sup>
3.000		81.85	09/28/20	84.92	3.07 W	0.00	18 of 43 - Sale <sup>25</sup>
2.000		54.57	09/28/20	56.49	1.92 W	0.00	19 of 43 - Sale <sup>25</sup>
4.000		109.00	09/28/20	112.97	3.97 W	0.00	20 of 43 - Sale <sup>25</sup>
3.000		81.75	09/28/20	84.73	2.98 W	0.00	21 of 43 - Sale <sup>25</sup>
6.000		163.50	09/28/20	170.01	6.51 W	0.00	22 of 43 - Sale <sup>25</sup>
6.000		163.50	09/28/20	170.36	6.86 W	0.00	23 of 43 - Sale <sup>25</sup>
14.000		381.51	09/28/20	396.11	14.60 W	0.00	24 of 43 - Sale <sup>25</sup>
2.000		54.50	09/28/20	61.78	7.28 W	0.00	25 of 43 - Sale <sup>25</sup>
1.000		27.25	09/28/20	28.89	1.64 W	0.00	26 of 43 - Sale <sup>25</sup>
1.000		27.25	09/28/20	29.23	1.98 W	0.00	27 of 43 - Sale <sup>25</sup>
2.000		54.50	09/28/20	58.55	4.05 W	0.00	28 of 43 - Sale <sup>25</sup>
2.000		54.50	09/28/20	58.36	3.86 W	0.00	29 of 43 - Sale <sup>25</sup>
3.000		81.75	09/28/20	87.73	5.98 W	0.00	30 of 43 - Sale <sup>25</sup>
1.000		27.25	09/28/20	28.72	1.47 W	0.00	31 of 43 - Sale <sup>25</sup>
2.000		54.50	09/28/20	57.65	3.15 W	0.00	32 of 43 - Sale <sup>25</sup>
2.000		54.50	09/28/20	63.66	9.16 W	0.00	33 of 43 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	3.000	81.75	09/28/20	87.41	5.66 W	0.00	34 of 43 - Sale <sup>25</sup>
	4.000	109.00	09/28/20	114.86	5.86 W	0.00	35 of 43 - Sale <sup>25</sup>
	11.000	299.76	09/28/20	320.36	20.60 W	0.00	36 of 43 - Sale <sup>25</sup>
	53.000	1,444.29	09/28/20	1,495.66	51.37 W	0.00	37 of 43 - Sale <sup>25</sup>
	148.000	4,033.11	09/28/20	4,144.00	110.89 W	0.00	38 of 43 - Sale <sup>25</sup>
	5.000	136.25	09/28/20	140.00	3.75 W	0.00	39 of 43 - Sale <sup>25</sup>
	25.000	681.27	09/28/20	700.00	18.73 W	0.00	40 of 43 - Sale <sup>25</sup>
	1.000	27.25	09/28/20	28.00	0.75 W	0.00	41 of 43 - Sale <sup>25</sup>
	120.000	3,270.09	09/28/20	3,360.00	89.91 W	0.00	42 of 43 - Sale <sup>25</sup>
	6.000	163.50	09/29/20	164.40	0.90 W	0.00	43 of 43 - Sale <sup>25</sup>
09/29/20	499.000	13,602.01	Various	14,037.21	435.20 W	0.00	Total of 43 transactions
28 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	1.000	25.81	09/30/20	27.29	1.48 W	0.00	1 of 28 - Sale <sup>25</sup>
	1.000	25.80	09/30/20	27.63	1.83 W	0.00	2 of 28 - Sale <sup>25</sup>
	2.000	51.61	09/30/20	55.35	3.74 W	0.00	3 of 28 - Sale <sup>25</sup>
	2.000	51.61	09/30/20	55.16	3.55 W	0.00	4 of 28 - Sale <sup>25</sup>
	3.000	77.42	09/30/20	82.93	5.51 W	0.00	5 of 28 - Sale <sup>25</sup>
	3.000	77.41	09/30/20	79.93	2.52 W	0.00	6 of 28 - Sale <sup>25</sup>
	4.000	103.22	09/30/20	106.57	3.35 W	0.00	7 of 28 - Sale <sup>25</sup>
	1.000	25.81	09/30/20	26.74	0.93 W	0.00	8 of 28 - Sale <sup>25</sup>
	5.000	123.75	09/30/20	133.67	9.92 W	0.00	9 of 28 - Sale <sup>25</sup>
	6.000	148.50	09/30/20	160.76	12.26 W	0.00	10 of 28 - Sale <sup>25</sup>
	9.000	222.75	09/30/20	240.24	17.49 W	0.00	11 of 28 - Sale <sup>25</sup>
	1.000	24.75	09/30/20	27.12	2.37 W	0.00	12 of 28 - Sale <sup>25</sup>
	1.000	24.75	09/30/20	27.52	2.77 W	0.00	13 of 28 - Sale <sup>25</sup>
	4.000	99.00	09/30/20	108.46	9.46 W	0.00	14 of 28 - Sale <sup>25</sup>
	5.000	123.75	09/30/20	133.46	9.71 W	0.00	15 of 28 - Sale <sup>25</sup>
	2.000	49.50	09/30/20	58.58	9.08 W	0.00	16 of 28 - Sale <sup>25</sup>
	2.000	49.50	09/30/20	54.35	4.85 W	0.00	17 of 28 - Sale <sup>25</sup>
	2.000	49.50	09/30/20	60.36	10.86 W	0.00	18 of 28 - Sale <sup>25</sup>
	3.000	74.25	09/30/20	82.46	8.21 W	0.00	19 of 28 - Sale <sup>25</sup>
	10.000	247.49	09/30/20	274.73	27.24 W	0.00	20 of 28 - Sale <sup>25</sup>
	20.000	494.98	09/30/20	531.39	36.41 W	0.00	21 of 28 - Sale <sup>25</sup>
	9.000	222.66	09/30/20	239.12	16.46 W	0.00	22 of 28 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	4.000	98.88	09/30/20	106.28	7.40 W	0.00	23 of 28 - Sale <sup>25</sup>
	20.000	494.38	09/30/20	535.38	41.00 W	0.00	24 of 28 - Sale <sup>25</sup>
	1.000	24.72	09/30/20	26.55	1.83 W	0.00	25 of 28 - Sale <sup>25</sup>
	8.000	197.76	09/30/20	212.39	14.63 W	0.00	26 of 28 - Sale <sup>25</sup>
	25.000	617.73	09/30/20	663.73	46.00 W	0.00	27 of 28 - Sale <sup>25</sup>
	15.000	370.65	09/30/20	398.24	27.59 W	0.00	28 of 28 - Sale <sup>25</sup>
09/30/20	169.000	4,197.94	Various	4,536.39	338.45 W	0.00	Total of 28 transactions
	7 transactions for 10/01/20. Total proceeds and cost reported to the IRS.						
	24.000	613.49	09/30/20	637.19	23.70 W	0.00	1 of 7 - Sale <sup>25</sup>
	74.000	1,891.61	09/30/20	1,964.64	73.03 W	0.00	2 of 7 - Sale <sup>25</sup>
	1.000	25.56	09/30/20	26.75	1.19 W	0.00	3 of 7 - Sale <sup>25</sup>
	1.000	25.56	09/30/20	26.75	1.19 W	0.00	4 of 7 - Sale <sup>25</sup>
	5.000	127.81	09/30/20	133.75	5.94 W	0.00	5 of 7 - Sale <sup>25</sup>
	20.000	511.25	09/30/20	534.98	23.73 W	0.00	6 of 7 - Sale <sup>25</sup>
	25.000	639.06	09/30/20	668.73	29.67 W	0.00	7 of 7 - Sale <sup>25</sup>
10/01/20	150.000	3,834.34	Various	3,992.79	158.45 W	0.00	Total of 7 transactions
10/02/20	48.000	1,136.25	10/02/20	1,168.77	32.52 W	0.00	Sale <sup>25</sup>
	6 transactions for 10/05/20. Total proceeds and cost reported to the IRS.						
	1.000	23.76	10/02/20	25.31	1.55 W	0.00	1 of 6 - Sale <sup>25</sup>
	1.000	23.76	10/02/20	25.66	1.90 W	0.00	2 of 6 - Sale <sup>25</sup>
	1.000	23.76	10/02/20	25.70	1.94 W	0.00	3 of 6 - Sale <sup>25</sup>
	3.000	71.27	10/02/20	77.00	5.73 W	0.00	4 of 6 - Sale <sup>25</sup>
	52.000	1,235.31	10/02/20	1,278.12	42.81 W	0.00	5 of 6 - Sale <sup>25</sup>
	6.000	142.53	10/02/20	143.88	1.35 W	0.00	6 of 6 - Sale <sup>25</sup>
10/05/20	64.000	1,520.39	Various	1,575.67	55.28 W	0.00	Total of 6 transactions
	26 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	1.000	24.38	10/05/20	26.21	1.83 W	0.00	1 of 26 - Sale <sup>25</sup>
	2.000	48.76	10/05/20	52.23	3.47 W	0.00	2 of 26 - Sale <sup>25</sup>
	1.000	24.38	10/05/20	25.27	0.89 W	0.00	3 of 26 - Sale <sup>25</sup>
	3.000	73.14	10/05/20	75.54	2.40 W	0.00	4 of 26 - Sale <sup>25</sup>
	4.000	97.52	10/05/20	100.71	3.19 W	0.00	5 of 26 - Sale <sup>25</sup>
	5.000	121.90	10/05/20	131.62	9.72 W	0.00	6 of 26 - Sale <sup>25</sup>
	1.000	24.38	10/05/20	26.71	2.33 W	0.00	7 of 26 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	1.000	24.38	10/05/20	27.11	2.73 W	0.00	8 of 26 - Sale <sup>25</sup>
	2.000	48.76	10/05/20	53.53	4.77 W	0.00	9 of 26 - Sale <sup>25</sup>
	2.000	48.76	10/05/20	59.54	10.78 W	0.00	10 of 26 - Sale <sup>25</sup>
	3.000	73.14	10/05/20	81.23	8.09 W	0.00	11 of 26 - Sale <sup>25</sup>
	4.000	97.52	10/05/20	106.82	9.30 W	0.00	12 of 26 - Sale <sup>25</sup>
	5.000	121.90	10/05/20	131.41	9.51 W	0.00	13 of 26 - Sale <sup>25</sup>
	6.000	146.27	10/05/20	158.30	12.03 W	0.00	14 of 26 - Sale <sup>25</sup>
	6.000	146.27	10/05/20	151.97	5.70 W	0.00	15 of 26 - Sale <sup>25</sup>
	9.000	219.41	10/05/20	236.55	17.14 W	0.00	16 of 26 - Sale <sup>25</sup>
	10.000	243.79	10/05/20	270.64	26.85 W	0.00	17 of 26 - Sale <sup>25</sup>
	2.000	48.76	10/05/20	57.76	9.00 W	0.00	18 of 26 - Sale <sup>25</sup>
	4.000	97.52	10/05/20	104.76	7.24 W	0.00	19 of 26 - Sale <sup>25</sup>
	9.000	219.41	10/05/20	235.52	16.11 W	0.00	20 of 26 - Sale <sup>25</sup>
	20.000	487.58	10/05/20	523.21	35.63 W	0.00	21 of 26 - Sale <sup>25</sup>
	1.000	24.39	10/05/20	26.17	1.78 W	0.00	22 of 26 - Sale <sup>25</sup>
	8.000	195.09	10/05/20	209.35	14.26 W	0.00	23 of 26 - Sale <sup>25</sup>
	15.000	365.78	10/05/20	392.69	26.91 W	0.00	24 of 26 - Sale <sup>25</sup>
	20.000	487.71	10/05/20	527.80	40.09 W	0.00	25 of 26 - Sale <sup>25</sup>
	25.000	609.64	10/05/20	654.50	44.86 W	0.00	26 of 26 - Sale <sup>25</sup>
10/06/20	169.000	4,120.54	Various	4,447.15	326.61 W	0.00	Total of 26 transactions
58 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	1.000	24.01	10/13/20	25.34	1.33 W	0.00	1 of 58 - Sale <sup>25</sup>
	2.000	48.02	10/13/20	50.67	2.65 W	0.00	2 of 58 - Sale <sup>25</sup>
	5.000	120.05	10/13/20	126.69	6.64 W	0.00	3 of 58 - Sale <sup>25</sup>
	18.000	432.17	10/13/20	452.47	20.30 W	0.00	4 of 58 - Sale <sup>25</sup>
	74.000	1,776.69	10/13/20	1,860.13	83.44 W	0.00	5 of 58 - Sale <sup>25</sup>
	1.000	24.01	10/13/20	25.70	1.69 W	0.00	6 of 58 - Sale <sup>25</sup>
	1.000	24.01	10/13/20	26.05	2.04 W	0.00	7 of 58 - Sale <sup>25</sup>
	1.000	24.01	10/13/20	26.09	2.08 W	0.00	8 of 58 - Sale <sup>25</sup>
	3.000	72.03	10/13/20	78.18	6.15 W	0.00	9 of 58 - Sale <sup>25</sup>
	2.000	48.02	10/13/20	49.95	1.93 W	0.00	10 of 58 - Sale <sup>25</sup>
	1.000	24.01	10/13/20	25.34	1.33 W	0.00	11 of 58 - Sale <sup>25</sup>
	20.000	480.18	10/13/20	506.73	26.55 W	0.00	12 of 58 - Sale <sup>25</sup>
	23.000	552.21	10/13/20	582.75	30.54 W	0.00	13 of 58 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	48.000	1,152.45	10/13/20	1,191.72	39.27 W	0.00	14 of 58 - Sale <sup>25</sup>
	50.000	1,200.46	10/13/20	1,248.66	48.20 W	0.00	15 of 58 - Sale <sup>25</sup>
	1.000	23.67	10/13/20	25.48	1.81 W	0.00	16 of 58 - Sale <sup>25</sup>
	1.000	23.67	10/13/20	25.83	2.16 W	0.00	17 of 58 - Sale <sup>25</sup>
	1.000	23.67	10/13/20	25.87	2.20 W	0.00	18 of 58 - Sale <sup>25</sup>
	3.000	71.01	10/13/20	77.52	6.51 W	0.00	19 of 58 - Sale <sup>25</sup>
	1.000	23.67	10/13/20	25.62	1.95 W	0.00	20 of 58 - Sale <sup>25</sup>
	2.000	47.34	10/13/20	51.05	3.71 W	0.00	21 of 58 - Sale <sup>25</sup>
	1.000	23.67	10/13/20	24.68	1.01 W	0.00	22 of 58 - Sale <sup>25</sup>
	3.000	71.01	10/13/20	73.77	2.76 W	0.00	23 of 58 - Sale <sup>25</sup>
	4.000	94.68	10/13/20	98.35	3.67 W	0.00	24 of 58 - Sale <sup>25</sup>
	5.000	118.35	10/13/20	128.67	10.32 W	0.00	25 of 58 - Sale <sup>25</sup>
	2.000	47.34	10/13/20	49.51	2.17 W	0.00	26 of 58 - Sale <sup>25</sup>
	50.000	1,183.47	10/13/20	1,237.69	54.22 W	0.00	27 of 58 - Sale <sup>25</sup>
	1.000	23.67	10/13/20	26.12	2.45 W	0.00	28 of 58 - Sale <sup>25</sup>
	1.000	23.67	10/13/20	26.52	2.85 W	0.00	29 of 58 - Sale <sup>25</sup>
	2.000	47.34	10/13/20	52.35	5.01 W	0.00	30 of 58 - Sale <sup>25</sup>
	2.000	47.34	10/13/20	58.36	11.02 W	0.00	31 of 58 - Sale <sup>25</sup>
	3.000	71.01	10/13/20	79.46	8.45 W	0.00	32 of 58 - Sale <sup>25</sup>
	4.000	94.68	10/13/20	104.46	9.78 W	0.00	33 of 58 - Sale <sup>25</sup>
	5.000	118.35	10/13/20	128.46	10.11 W	0.00	34 of 58 - Sale <sup>25</sup>
	6.000	142.02	10/13/20	144.09	2.07 W	0.00	35 of 58 - Sale <sup>25</sup>
	6.000	142.02	10/13/20	154.77	12.75 W	0.00	36 of 58 - Sale <sup>25</sup>
	6.000	142.02	10/13/20	148.44	6.42 W	0.00	37 of 58 - Sale <sup>25</sup>
	9.000	213.02	10/13/20	231.25	18.23 W	0.00	38 of 58 - Sale <sup>25</sup>
	10.000	236.69	10/13/20	264.75	28.06 W	0.00	39 of 58 - Sale <sup>25</sup>
	1.000	23.67	10/13/20	25.57	1.90 W	0.00	40 of 58 - Sale <sup>25</sup>
	1.000	23.67	10/13/20	25.12	1.45 W	0.00	41 of 58 - Sale <sup>25</sup>
	1.000	23.67	10/13/20	25.12	1.45 W	0.00	42 of 58 - Sale <sup>25</sup>
	2.000	47.34	10/13/20	56.58	9.24 W	0.00	43 of 58 - Sale <sup>25</sup>
	2.000	47.34	10/13/20	50.23	2.89 W	0.00	44 of 58 - Sale <sup>25</sup>
	4.000	94.68	10/13/20	102.40	7.72 W	0.00	45 of 58 - Sale <sup>25</sup>
	5.000	118.35	10/13/20	125.59	7.24 W	0.00	46 of 58 - Sale <sup>25</sup>
	8.000	189.35	10/13/20	204.58	15.23 W	0.00	47 of 58 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	9.000	213.02	10/13/20	230.22	17.20 W	0.00	48 of 58 - Sale <sup>25</sup>
	15.000	355.04	10/13/20	383.76	28.72 W	0.00	49 of 58 - Sale <sup>25</sup>
	18.000	426.05	10/13/20	448.52	22.47 W	0.00	50 of 58 - Sale <sup>25</sup>
	20.000	473.39	10/13/20	511.43	38.04 W	0.00	51 of 58 - Sale <sup>25</sup>
	20.000	473.39	10/13/20	515.89	42.50 W	0.00	52 of 58 - Sale <sup>25</sup>
	20.000	473.39	10/13/20	502.35	28.96 W	0.00	53 of 58 - Sale <sup>25</sup>
	23.000	544.39	10/13/20	577.71	33.32 W	0.00	54 of 58 - Sale <sup>25</sup>
	25.000	591.73	10/13/20	639.61	47.88 W	0.00	55 of 58 - Sale <sup>25</sup>
	74.000	1,751.54	10/13/20	1,843.89	92.35 W	0.00	56 of 58 - Sale <sup>25</sup>
	48.000	1,136.13	10/13/20	1,181.18	45.05 W	0.00	57 of 58 - Sale <sup>25</sup>
	75.000	1,775.20	10/13/20	1,784.23	9.03 W	0.00	58 of 58 - Sale <sup>25</sup>
10/13/20	750.000	17,837.05	Various	18,773.52	936.47 W	0.00	Total of 58 transactions
	6 transactions for 10/20/20. Total proceeds and cost reported to the IRS.						
	48.000	984.92	10/20/20	1,050.65	...	-65.73	1 of 6 - Sale <sup>25</sup>
	20.000	410.39	10/20/20	447.96	...	-37.57	2 of 6 - Sale <sup>25</sup>
	50.000	1,025.97	10/20/20	1,053.52	...	-27.55	3 of 6 - Sale <sup>25</sup>
	25.000	512.98	10/20/20	526.76	...	-13.78	4 of 6 - Sale <sup>25</sup>
	6.000	123.12	10/20/20	134.39	...	-11.27	5 of 6 - Sale <sup>25</sup>
	1.000	20.52	10/20/20	22.40	...	-1.88	6 of 6 - Sale <sup>25</sup>
10/20/20	150.000	3,077.90	Various	3,235.68	...	-157.78	Total of 6 transactions
	40 transactions for 10/20/20. Total proceeds and cost reported to the IRS.						
	1.000	20.79	10/20/20	22.46	1.67 W	0.00	1 of 40 - Sale <sup>25</sup>
	1.000	20.79	10/20/20	22.81	2.02 W	0.00	2 of 40 - Sale <sup>25</sup>
	1.000	20.79	10/20/20	22.85	2.06 W	0.00	3 of 40 - Sale <sup>25</sup>
	3.000	62.37	10/20/20	68.46	6.09 W	0.00	4 of 40 - Sale <sup>25</sup>
	1.000	20.79	10/20/20	22.60	1.81 W	0.00	5 of 40 - Sale <sup>25</sup>
	2.000	41.58	10/20/20	45.01	3.43 W	0.00	6 of 40 - Sale <sup>25</sup>
	1.000	20.79	10/20/20	21.66	0.87 W	0.00	7 of 40 - Sale <sup>25</sup>
	3.000	62.37	10/20/20	64.71	2.34 W	0.00	8 of 40 - Sale <sup>25</sup>
	4.000	83.17	10/20/20	86.27	3.10 W	0.00	9 of 40 - Sale <sup>25</sup>
	5.000	103.96	10/20/20	113.57	9.61 W	0.00	10 of 40 - Sale <sup>25</sup>
	2.000	41.58	10/20/20	43.47	1.89 W	0.00	11 of 40 - Sale <sup>25</sup>
	50.000	1,039.58	10/20/20	1,086.72	47.14 W	0.00	12 of 40 - Sale <sup>25</sup>
	1.000	20.79	10/20/20	23.10	2.31 W	0.00	13 of 40 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	1.000	20.79	10/20/20	23.50	2.71 W	0.00	14 of 40 - Sale <sup>25</sup>
	2.000	41.58	10/20/20	46.31	4.73 W	0.00	15 of 40 - Sale <sup>25</sup>
	2.000	41.58	10/20/20	52.32	10.74 W	0.00	16 of 40 - Sale <sup>25</sup>
	3.000	62.37	10/20/20	70.40	8.03 W	0.00	17 of 40 - Sale <sup>25</sup>
	4.000	83.17	10/20/20	92.38	9.21 W	0.00	18 of 40 - Sale <sup>25</sup>
	5.000	103.96	10/20/20	113.36	9.40 W	0.00	19 of 40 - Sale <sup>25</sup>
	6.000	124.75	10/20/20	125.97	1.22 W	0.00	20 of 40 - Sale <sup>25</sup>
	6.000	124.75	10/20/20	136.65	11.90 W	0.00	21 of 40 - Sale <sup>25</sup>
	6.000	124.75	10/20/20	130.32	5.57 W	0.00	22 of 40 - Sale <sup>25</sup>
	9.000	187.12	10/20/20	204.08	16.96 W	0.00	23 of 40 - Sale <sup>25</sup>
	10.000	207.92	10/20/20	234.56	26.64 W	0.00	24 of 40 - Sale <sup>25</sup>
	1.000	20.79	10/20/20	22.55	1.76 W	0.00	25 of 40 - Sale <sup>25</sup>
	2.000	41.58	10/20/20	50.54	8.96 W	0.00	26 of 40 - Sale <sup>25</sup>
	4.000	83.17	10/20/20	90.32	7.15 W	0.00	27 of 40 - Sale <sup>25</sup>
	8.000	166.33	10/20/20	180.43	14.10 W	0.00	28 of 40 - Sale <sup>25</sup>
	9.000	187.13	10/20/20	203.05	15.92 W	0.00	29 of 40 - Sale <sup>25</sup>
	15.000	311.88	10/20/20	338.47	26.59 W	0.00	30 of 40 - Sale <sup>25</sup>
	17.000	353.46	10/20/20	372.27	18.81 W	0.00	31 of 40 - Sale <sup>25</sup>
	20.000	415.83	10/20/20	451.04	35.21 W	0.00	32 of 40 - Sale <sup>25</sup>
	20.000	415.83	10/20/20	455.50	39.67 W	0.00	33 of 40 - Sale <sup>25</sup>
	25.000	519.79	10/20/20	564.13	44.34 W	0.00	34 of 40 - Sale <sup>25</sup>
	1.000	20.52	10/20/20	22.10	1.58 W	0.00	35 of 40 - Sale <sup>25</sup>
	2.000	41.04	10/20/20	44.19	3.15 W	0.00	36 of 40 - Sale <sup>25</sup>
	5.000	102.60	10/20/20	110.49	7.89 W	0.00	37 of 40 - Sale <sup>25</sup>
	17.000	348.83	10/20/20	375.68	26.85 W	0.00	38 of 40 - Sale <sup>25</sup>
	1.000	20.52	10/20/20	21.90	1.38 W	0.00	39 of 40 - Sale <sup>25</sup>
	74.000	1,518.44	10/20/20	1,620.45	102.01 W	0.00	40 of 40 - Sale <sup>25</sup>
10/20/20	350.000	7,249.83	Various	7,796.65	546.82 W	0.00	Total of 40 transactions
	32 transactions for 10/27/20. Total proceeds and cost reported to the IRS.						
	50.000	908.07	10/26/20	979.64	...	-71.57	1 of 32 - Sale <sup>25</sup>
	20.000	363.23	10/26/20	411.67	...	-48.44	2 of 32 - Sale <sup>25</sup>
	20.000	363.23	10/26/20	407.21	...	-43.98	3 of 32 - Sale <sup>25</sup>
	10.000	181.61	10/26/20	212.64	...	-31.03	4 of 32 - Sale <sup>25</sup>
	9.000	163.45	10/26/20	184.81	...	-21.36	5 of 32 - Sale <sup>25</sup>

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Account 527125207

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(continued)

03/15/2021

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	9.000	163.45	10/26/20	183.32	...	-19.87	6 of 32 - Sale <sup>25</sup>
	8.000	145.29	10/26/20	162.90	...	-17.61	7 of 32 - Sale <sup>25</sup>
	7.000	127.13	10/26/20	142.62	...	-15.49	8 of 32 - Sale <sup>25</sup>
	6.000	108.97	10/26/20	123.80	...	-14.83	9 of 32 - Sale <sup>25</sup>
	5.000	90.81	10/26/20	102.86	...	-12.05	10 of 32 - Sale <sup>25</sup>
	5.000	90.81	10/26/20	102.65	...	-11.84	11 of 32 - Sale <sup>25</sup>
	2.000	36.32	10/26/20	47.94	...	-11.62	12 of 32 - Sale <sup>25</sup>
	4.000	72.64	10/26/20	83.61	...	-10.97	13 of 32 - Sale <sup>25</sup>
	2.000	36.32	10/26/20	46.16	...	-9.84	14 of 32 - Sale <sup>25</sup>
	3.000	54.48	10/26/20	63.83	...	-9.35	15 of 32 - Sale <sup>25</sup>
	4.000	72.65	10/26/20	81.55	...	-8.90	16 of 32 - Sale <sup>25</sup>
	6.000	108.97	10/26/20	117.17	...	-8.20	17 of 32 - Sale <sup>25</sup>
	3.000	54.48	10/26/20	62.04	...	-7.56	18 of 32 - Sale <sup>25</sup>
	2.000	36.32	10/26/20	41.93	...	-5.61	19 of 32 - Sale <sup>25</sup>
	4.000	72.65	10/26/20	77.70	...	-5.05	20 of 32 - Sale <sup>25</sup>
	2.000	36.32	10/26/20	40.73	...	-4.41	21 of 32 - Sale <sup>25</sup>
	6.000	108.97	10/26/20	113.12	...	-4.15	22 of 32 - Sale <sup>25</sup>
	3.000	54.48	10/26/20	58.29	...	-3.81	23 of 32 - Sale <sup>25</sup>
	1.000	18.16	10/26/20	21.31	...	-3.15	24 of 32 - Sale <sup>25</sup>
	2.000	36.32	10/26/20	39.19	...	-2.87	25 of 32 - Sale <sup>25</sup>
	1.000	18.16	10/26/20	20.91	...	-2.75	26 of 32 - Sale <sup>25</sup>
	1.000	18.16	10/26/20	20.71	...	-2.55	27 of 32 - Sale <sup>25</sup>
	1.000	18.16	10/26/20	20.67	...	-2.51	28 of 32 - Sale <sup>25</sup>
	1.000	18.16	10/26/20	20.46	...	-2.30	29 of 32 - Sale <sup>25</sup>
	1.000	18.16	10/26/20	20.36	...	-2.20	30 of 32 - Sale <sup>25</sup>
	1.000	18.16	10/26/20	20.32	...	-2.16	31 of 32 - Sale <sup>25</sup>
	1.000	18.16	10/26/20	19.52	...	-1.36	32 of 32 - Sale <sup>25</sup>
10/27/20	200.000	3,632.25	Various	4,051.64	...	-419.39	Total of 32 transactions
	11 transactions for 10/28/20. Total proceeds and cost reported to the IRS.						
	48.000	815.98	10/28/20	877.37	...	-61.39	1 of 11 - Sale <sup>25</sup>
	25.000	424.99	10/28/20	456.96	...	-31.97	2 of 11 - Sale <sup>25</sup>
	18.000	305.99	10/28/20	336.12	...	-30.13	3 of 11 - Sale <sup>25</sup>
	17.000	288.99	10/28/20	314.15	...	-25.16	4 of 11 - Sale <sup>25</sup>
	15.000	254.99	10/28/20	280.09	...	-25.10	5 of 11 - Sale <sup>25</sup>

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(continued)

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	17.000	288.99	10/28/20	306.11	...	-17.12	6 of 11 - Sale <sup>25</sup>
	5.000	85.00	10/28/20	92.39	...	-7.39	7 of 11 - Sale <sup>25</sup>
	2.000	34.00	10/28/20	36.95	...	-2.95	8 of 11 - Sale <sup>25</sup>
	1.000	17.00	10/28/20	18.48	...	-1.48	9 of 11 - Sale <sup>25</sup>
	1.000	17.00	10/28/20	18.28	...	-1.28	10 of 11 - Sale <sup>25</sup>
	1.000	17.00	10/28/20	18.28	...	-1.28	11 of 11 - Sale <sup>25</sup>
10/28/20	150.000	2,549.93	Various	2,755.18	...	-205.25	Total of 11 transactions
	6 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	56.000	1,217.96	12/03/20	1,220.80	2.84 W	0.00	1 of 6 - Sale <sup>25</sup>
	26.000	565.48	12/03/20	566.80	1.32 W	0.00	2 of 6 - Sale <sup>25</sup>
	118.000	2,566.43	12/03/20	2,572.40	5.97 W	0.00	3 of 6 - Sale <sup>25</sup>
	18.000	395.09	12/03/20	409.87	14.78 W	0.00	4 of 6 - Sale <sup>25</sup>
	26.000	570.68	12/03/20	592.04	21.36 W	0.00	5 of 6 - Sale <sup>25</sup>
	56.000	1,229.17	12/03/20	1,275.16	45.99 W	0.00	6 of 6 - Sale <sup>25</sup>
12/03/20	300.000	6,544.81	Various	6,637.07	92.26 W	0.00	Total of 6 transactions
	6 transactions for 12/14/20. Total proceeds and cost reported to the IRS.						
	100.000	2,128.94	12/14/20	2,148.91	19.97 W	0.00	1 of 6 - Sale <sup>25</sup>
	18.000	383.21	12/14/20	400.67	17.46 W	0.00	2 of 6 - Sale <sup>25</sup>
	26.000	553.52	12/14/20	578.76	25.24 W	0.00	3 of 6 - Sale <sup>25</sup>
	56.000	1,192.21	12/14/20	1,246.55	54.34 W	0.00	4 of 6 - Sale <sup>25</sup>
	50.000	1,064.47	12/14/20	1,071.93	7.46 W	0.00	5 of 6 - Sale <sup>25</sup>
	250.000	5,322.35	12/14/20	5,357.50	35.15 W	0.00	6 of 6 - Sale <sup>25</sup>
12/14/20	500.000	10,644.70	Various	10,804.32	159.62 W	0.00	Total of 6 transactions
	<b>Security total:</b>	<b>255,840.30</b>		<b>274,425.61</b>	<b>17,779.59 W</b>	<b>-805.72</b>	
WORLD FUEL SERVICES CORPORATIO N / CUSIP: 981475106 / Symbol:							
	2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	50.000	1,277.95	06/29/20	1,282.49	...	-4.54	1 of 2 - Sale <sup>25</sup>
	5.000	127.80	06/29/20	127.98	...	-0.18	2 of 2 - Sale <sup>25</sup>
06/29/20	55.000	1,405.75	Various	1,410.47	...	-4.72	Total of 2 transactions
WORLD WRESTLING ENTERTAINMENT / CUSIP: 98156Q108 / Symbol:							
04/27/20	1.000	45.11	04/24/20	45.68	...	-0.57	Sale <sup>25</sup>

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(continued)

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WRAP TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 98212N107 / Symbol:							
4 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	90.000	801.79	08/25/20	813.02	...	-11.23	1 of 4 - Sale <sup>25</sup>
	29.000	258.36	08/25/20	263.90	...	-5.54	2 of 4 - Sale <sup>25</sup>
	10.000	89.09	08/25/20	90.00	...	-0.91	3 of 4 - Sale <sup>25</sup>
	1.000	8.91	08/25/20	9.10	...	-0.19	4 of 4 - Sale <sup>25</sup>
08/25/20	130.000	1,158.15	Various	1,176.02	...	-17.87	Total of 4 transactions
WW INTERNATIONAL, INC. COMMON STOCK / CUSIP: 98262P101 / Symbol:							
05/14/20	1.000	23.84	05/13/20	26.04	...	-2.20	Sale <sup>25</sup>
XCEL BRANDS, INC. COMMON STOCK / CUSIP: 98400M101 / Symbol:							
06/23/20	250.000	277.50	06/22/20	296.25	...	-18.75	Sale <sup>25</sup>
XPRESSPA GROUP, INC. COMMON STOCK / CUSIP: 98420U604 / Symbol:							
3 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	122.000	36.59	03/24/20	16.57	...	20.02	1 of 3 - Sale <sup>25</sup>
	219.000	65.68	03/24/20	29.74	...	35.94	2 of 3 - Sale <sup>25</sup>
	659.000	197.62	03/24/20	89.00	...	108.62	3 of 3 - Sale <sup>25</sup>
03/25/20	1,000.000	299.89	Various	135.31	...	164.58	Total of 3 transactions
03/26/20	500.000	105.14	03/25/20	196.00	90.86 W	0.00	Sale <sup>25</sup>
04/06/20	500.000	137.44	04/03/20	112.00	...	25.44	Sale <sup>25</sup>
4 transactions for 04/06/20. Total proceeds and cost reported to the IRS.							
	5.000	1.38	04/03/20	2.03	0.65 W	0.00	1 of 4 - Sale <sup>25</sup>
	2.000	0.55	04/03/20	0.81	0.26 W	0.00	2 of 4 - Sale <sup>25</sup>
	103.000	28.32	04/03/20	41.79	13.47 W	0.00	3 of 4 - Sale <sup>25</sup>
	390.000	107.20	04/03/20	158.23	51.03 W	0.00	4 of 4 - Sale <sup>25</sup>
04/06/20	500.000	137.45	Various	202.86	65.41 W	0.00	Total of 4 transactions
2 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	100.000	36.99	04/13/20	29.99	...	7.00	1 of 2 - Sale <sup>25</sup>
	400.000	147.95	04/13/20	119.96	...	27.99	2 of 2 - Sale <sup>25</sup>
04/14/20	500.000	184.94	Various	149.95	...	34.99	Total of 2 transactions
8 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	2.000	0.74	04/13/20	0.86	0.12 W	0.00	1 of 8 - Sale <sup>25</sup>
	5.000	1.85	04/13/20	2.15	0.30 W	0.00	2 of 8 - Sale <sup>25</sup>

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XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U604 / Symbol: (cont'd)							
	103.000	38.10	04/13/20	44.36	6.26 W	0.00	3 of 8 - Sale <sup>25</sup>
	390.000	144.25	04/13/20	167.99	23.74 W	0.00	4 of 8 - Sale <sup>25</sup>
	2.000	0.74	04/13/20	0.78	0.04 W	0.00	5 of 8 - Sale <sup>25</sup>
	5.000	1.85	04/13/20	1.94	0.09 W	0.00	6 of 8 - Sale <sup>25</sup>
	103.000	38.10	04/13/20	40.13	2.03 W	0.00	7 of 8 - Sale <sup>25</sup>
	140.000	51.78	04/13/20	54.55	2.77 W	0.00	8 of 8 - Sale <sup>25</sup>
04/14/20	750.000	277.41	Various	312.76	35.35 W	0.00	Total of 8 transactions
	12 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	2.000	0.78	04/16/20	0.89	0.11 W	0.00	1 of 12 - Sale <sup>25</sup>
	5.000	1.95	04/16/20	2.23	0.28 W	0.00	2 of 12 - Sale <sup>25</sup>
	103.000	40.15	04/16/20	46.06	5.91 W	0.00	3 of 12 - Sale <sup>25</sup>
	140.000	54.57	04/16/20	62.62	8.05 W	0.00	4 of 12 - Sale <sup>25</sup>
	250.000	97.44	04/16/20	122.10	24.66 W	0.00	5 of 12 - Sale <sup>25</sup>
	15.000	5.85	04/16/20	6.41	0.56 W	0.00	6 of 12 - Sale <sup>25</sup>
	100.000	38.98	04/16/20	42.75	3.77 W	0.00	7 of 12 - Sale <sup>25</sup>
	185.000	72.01	04/16/20	79.09	7.08 W	0.00	8 of 12 - Sale <sup>25</sup>
	2.000	0.78	04/16/20	0.96	0.18 W	0.00	9 of 12 - Sale <sup>25</sup>
	5.000	1.95	04/16/20	2.42	0.47 W	0.00	10 of 12 - Sale <sup>25</sup>
	90.000	35.04	04/16/20	43.66	8.62 W	0.00	11 of 12 - Sale <sup>25</sup>
	103.000	40.09	04/16/20	49.94	9.85 W	0.00	12 of 12 - Sale <sup>25</sup>
04/17/20	1,000.000	389.59	Various	459.13	69.54 W	0.00	Total of 12 transactions
	5 transactions for 04/22/20. Total proceeds and cost reported to the IRS.						
	15.000	8.55	04/20/20	6.99	...	1.56	1 of 5 - Sale <sup>25</sup>
	50.000	28.49	04/20/20	24.30	...	4.19	2 of 5 - Sale <sup>25</sup>
	85.000	48.44	04/20/20	39.67	...	8.77	3 of 5 - Sale <sup>25</sup>
	100.000	56.99	04/20/20	46.62	...	10.37	4 of 5 - Sale <sup>25</sup>
	250.000	142.47	04/20/20	131.78	...	10.69	5 of 5 - Sale <sup>25</sup>
04/22/20	500.000	284.94	Various	249.36	...	35.58	Total of 5 transactions
	7 transactions for 04/28/20. Total proceeds and cost reported to the IRS.						
	2.000	1.09	04/27/20	1.37	0.28 W	0.00	1 of 7 - Sale <sup>25</sup>
	5.000	2.72	04/27/20	3.44	0.72 W	0.00	2 of 7 - Sale <sup>25</sup>
	90.000	49.05	04/27/20	62.15	13.10 W	0.00	3 of 7 - Sale <sup>25</sup>
	103.000	56.13	04/27/20	71.10	14.97 W	0.00	4 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U604 / Symbol: (cont'd)							
	100.000	54.50	04/27/20	63.30	8.80 W	0.00	5 of 7 - Sale <sup>25</sup>
	200.000	108.99	04/27/20	118.94	9.95 W	0.00	6 of 7 - Sale <sup>25</sup>
	500.000	272.48	04/27/20	297.40	24.92 W	0.00	7 of 7 - Sale <sup>25</sup>
04/28/20	1,000.000	544.96	Various	617.70	72.74 W	0.00	Total of 7 transactions
	7 transactions for 04/30/20. Total proceeds and cost reported to the IRS.						
	2.000	0.97	04/29/20	1.30	0.33 W	0.00	1 of 7 - Sale <sup>25</sup>
	5.000	2.41	04/29/20	3.26	0.85 W	0.00	2 of 7 - Sale <sup>25</sup>
	93.000	44.87	04/29/20	60.84	15.97 W	0.00	3 of 7 - Sale <sup>25</sup>
	90.000	42.84	04/29/20	58.89	16.05 W	0.00	4 of 7 - Sale <sup>25</sup>
	10.000	4.76	04/29/20	6.54	1.78 W	0.00	5 of 7 - Sale <sup>25</sup>
	100.000	47.60	04/29/20	59.68	12.08 W	0.00	6 of 7 - Sale <sup>25</sup>
	200.000	95.19	04/29/20	111.91	16.72 W	0.00	7 of 7 - Sale <sup>25</sup>
04/30/20	500.000	238.64	Various	302.42	63.78 W	0.00	Total of 7 transactions
	6 transactions for 05/01/20. Total proceeds and cost reported to the IRS.						
	90.000	44.15	04/30/20	59.07	...	-14.92	1 of 6 - Sale <sup>25</sup>
	400.000	196.23	04/30/20	211.14	...	-14.91	2 of 6 - Sale <sup>25</sup>
	200.000	98.11	04/30/20	112.32	...	-14.21	3 of 6 - Sale <sup>25</sup>
	100.000	49.06	04/30/20	59.88	...	-10.82	4 of 6 - Sale <sup>25</sup>
	500.000	245.28	04/30/20	254.10	...	-8.82	5 of 6 - Sale <sup>25</sup>
	10.000	4.91	04/30/20	6.56	...	-1.65	6 of 6 - Sale <sup>25</sup>
05/01/20	1,300.000	637.74	Various	703.07	...	-65.33	Total of 6 transactions
	4 transactions for 05/01/20. Total proceeds and cost reported to the IRS.						
	100.000	49.06	04/30/20	52.78	3.72 W	0.00	1 of 4 - Sale <sup>25</sup>
	2.000	0.98	04/30/20	1.29	0.31 W	0.00	2 of 4 - Sale <sup>25</sup>
	5.000	2.45	04/30/20	3.24	0.79 W	0.00	3 of 4 - Sale <sup>25</sup>
	93.000	45.62	04/30/20	60.42	14.80 W	0.00	4 of 4 - Sale <sup>25</sup>
05/01/20	200.000	98.11	Various	117.73	19.62 W	0.00	Total of 4 transactions
	4 transactions for 05/06/20. Total proceeds and cost reported to the IRS.						
	93.000	44.30	05/05/20	60.98	...	-16.68	1 of 4 - Sale <sup>25</sup>
	100.000	47.63	05/05/20	53.37	...	-5.74	2 of 4 - Sale <sup>25</sup>
	5.000	2.38	05/05/20	3.27	...	-0.89	3 of 4 - Sale <sup>25</sup>
	2.000	0.95	05/05/20	1.30	...	-0.35	4 of 4 - Sale <sup>25</sup>
05/06/20	200.000	95.26	Various	118.92	...	-23.66	Total of 4 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U604 / Symbol: (cont'd)							
<b>Security total:</b>		<b>3,431.51</b>		<b>3,677.21</b>	<b>417.30 W</b>	<b>171.60</b>	
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U703 / Symbol:							
<i>5 transactions for 06/23/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	49.80	06/22/20	52.70	2.90 W	0.00	1 of 5 - Sale <sup>25</sup>
	490.000	2,435.18	06/22/20	2,582.30	147.12 W	0.00	2 of 5 - Sale <sup>25</sup>
	10.000	49.40	06/22/20	55.31	5.91 W	0.00	3 of 5 - Sale <sup>25</sup>
	30.000	148.19	06/22/20	166.23	18.04 W	0.00	4 of 5 - Sale <sup>25</sup>
	460.000	2,272.29	06/22/20	2,558.08	285.79 W	0.00	5 of 5 - Sale <sup>25</sup>
06/23/20	1,000.000	4,954.86	Various	5,414.62	459.76 W	0.00	Total of 5 transactions
<i>6 transactions for 06/24/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	49.50	06/23/20	56.61	7.11 W	0.00	1 of 6 - Sale <sup>25</sup>
	30.000	148.49	06/23/20	170.14	21.65 W	0.00	2 of 6 - Sale <sup>25</sup>
	60.000	296.99	06/23/20	341.48	44.49 W	0.00	3 of 6 - Sale <sup>25</sup>
	143.000	707.81	06/23/20	813.14	105.33 W	0.00	4 of 6 - Sale <sup>25</sup>
	2.000	9.90	06/23/20	11.37	1.47 W	0.00	5 of 6 - Sale <sup>25</sup>
	5.000	24.75	06/23/20	28.43	3.68 W	0.00	6 of 6 - Sale <sup>25</sup>
06/24/20	250.000	1,237.44	Various	1,421.17	183.73 W	0.00	Total of 6 transactions
<i>10 transactions for 06/29/20. Total proceeds and cost reported to the IRS.</i>							
	150.000	677.82	06/29/20	770.95	93.13 W	0.00	1 of 10 - Sale <sup>25</sup>
	100.000	451.88	06/29/20	526.13	74.25 W	0.00	2 of 10 - Sale <sup>25</sup>
	10.000	45.19	06/29/20	53.51	8.32 W	0.00	3 of 10 - Sale <sup>25</sup>
	30.000	135.56	06/29/20	160.85	25.29 W	0.00	4 of 10 - Sale <sup>25</sup>
	60.000	271.12	06/29/20	322.89	51.77 W	0.00	5 of 10 - Sale <sup>25</sup>
	50.000	225.94	06/29/20	268.83	42.89 W	0.00	6 of 10 - Sale <sup>25</sup>
	50.000	223.25	06/29/20	268.83	45.58 W	0.00	7 of 10 - Sale <sup>25</sup>
	2.000	8.93	06/29/20	10.75	1.82 W	0.00	8 of 10 - Sale <sup>25</sup>
	5.000	22.32	06/29/20	26.88	4.56 W	0.00	9 of 10 - Sale <sup>25</sup>
	43.000	191.99	06/29/20	231.19	39.20 W	0.00	10 of 10 - Sale <sup>25</sup>
06/29/20	500.000	2,254.00	Various	2,640.81	386.81 W	0.00	Total of 10 transactions
<i>4 transactions for 07/16/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	292.48	07/16/20	360.09	...	-67.61	1 of 4 - Sale <sup>25</sup>
	50.000	146.24	07/16/20	186.13	...	-39.89	2 of 4 - Sale <sup>25</sup>

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U703 / Symbol: (cont'd)							
	50.000	146.50	07/16/20	186.12	...	-39.62	3 of 4 - Sale <sup>25</sup>
	50.000	146.24	07/16/20	180.04	...	-33.80	4 of 4 - Sale <sup>25</sup>
07/16/20	250.000	731.46	Various	912.38	...	-180.92	Total of 4 transactions
	8 transactions for 07/20/20. Total proceeds and cost reported to the IRS.						
	60.000	185.39	07/20/20	239.87	...	-54.48	1 of 8 - Sale <sup>25</sup>
	50.000	154.49	07/20/20	202.33	...	-47.84	2 of 8 - Sale <sup>25</sup>
	50.000	154.49	07/20/20	199.64	...	-45.15	3 of 8 - Sale <sup>25</sup>
	43.000	132.86	07/20/20	174.01	...	-41.15	4 of 8 - Sale <sup>25</sup>
	30.000	92.69	07/20/20	119.34	...	-26.65	5 of 8 - Sale <sup>25</sup>
	10.000	30.90	07/20/20	39.67	...	-8.77	6 of 8 - Sale <sup>25</sup>
	5.000	15.45	07/20/20	20.23	...	-4.78	7 of 8 - Sale <sup>25</sup>
	2.000	6.18	07/20/20	8.09	...	-1.91	8 of 8 - Sale <sup>25</sup>
07/20/20	250.000	772.45	Various	1,003.18	...	-230.73	Total of 8 transactions
	2 transactions for 09/09/20. Total proceeds and cost reported to the IRS.						
	86.000	159.09	09/08/20	162.52	...	-3.43	1 of 2 - Sale <sup>25</sup>
	14.000	25.90	09/08/20	25.34	...	0.56	2 of 2 - Sale <sup>25</sup>
09/09/20	100.000	184.99	Various	187.86	...	-2.87	Total of 2 transactions
11/10/20	4.000	7.01	11/09/20	7.19	0.18 W	0.00	Sale <sup>25</sup>
	2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.						
	4.000	6.45	11/19/20	6.70	...	-0.25	1 of 2 - Sale <sup>25</sup>
	3.000	4.84	11/19/20	4.89	...	-0.05	2 of 2 - Sale <sup>25</sup>
11/20/20	7.000	11.29	Various	11.59	...	-0.30	Total of 2 transactions
	<b>Security total:</b>	<b>10,153.50</b>		<b>11,598.80</b>	<b>1,030.48 W</b>	<b>-414.82</b>	
YAMANA GOLD, INC. / CUSIP: 98462Y100 / Symbol:							
04/14/20	125.000	556.35	04/13/20	507.49	...	48.86	Sale <sup>25</sup>
	2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.						
	50.000	261.04	05/14/20	261.38	0.34 W	0.00	1 of 2 - Sale <sup>25</sup>
	25.000	130.52	05/14/20	130.75	0.23 W	0.00	2 of 2 - Sale <sup>25</sup>
05/15/20	75.000	391.56	Various	392.13	0.57 W	0.00	Total of 2 transactions

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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YAMANA GOLD, INC. / CUSIP: 98462Y100 / Symbol: (cont'd)							
2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	25.000	137.63	05/19/20	132.98	...	4.65	1 of 2 - Sale <sup>25</sup>
	50.000	275.24	05/19/20	265.84	...	9.40	2 of 2 - Sale <sup>25</sup>
05/20/20	75.000	412.87	Various	398.82	...	14.05	Total of 2 transactions
06/29/20	250.000	1,307.44	06/29/20	1,319.40	...	-11.96	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,668.22</b>		<b>2,617.84</b>	<b>0.57 W</b>	<b>50.95</b>	
YOUNGEVITY INTERNATIONAL, INC. COMMON STOCK / CUSIP: 987537206 / Symbol:							
04/13/20	200.000	374.00	04/09/20	318.00	...	56.00	Sale <sup>25</sup>
04/15/20	250.000	407.50	04/14/20	425.00	8.75 W	-8.75	Sale <sup>25</sup>
04/17/20	125.000	216.24	04/16/20	208.75	...	7.49	Sale <sup>25</sup>
	<b>Security total:</b>	<b>997.74</b>		<b>951.75</b>	<b>8.75 W</b>	<b>54.74</b>	
YUNJI INC. AMERICAN DEPOSITORY SHARES / CUSIP: 98873N107 / Symbol:							
11/24/20	2.000	9.78	11/24/20	8.36	...	1.42	Sale <sup>25</sup>
ZIOPHARM ONCOLOGY INC COMMON S TOCK / CUSIP: 98973P101 / Symbol:							
11 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	377.000	1,108.31	08/12/20	1,156.63	...	-48.32	1 of 11 - Sale <sup>25</sup>
	98.000	288.10	08/12/20	321.27	...	-33.17	2 of 11 - Sale <sup>25</sup>
	169.000	496.82	08/12/20	518.83	...	-22.01	3 of 11 - Sale <sup>25</sup>
	62.000	182.27	08/12/20	203.25	...	-20.98	4 of 11 - Sale <sup>25</sup>
	70.000	205.78	08/12/20	223.87	...	-18.09	5 of 11 - Sale <sup>25</sup>
	20.000	58.80	08/12/20	65.77	...	-6.97	6 of 11 - Sale <sup>25</sup>
	15.000	44.10	08/12/20	49.33	...	-5.23	7 of 11 - Sale <sup>25</sup>
	7.000	20.58	08/12/20	21.48	...	-0.90	8 of 11 - Sale <sup>25</sup>
	2.000	5.88	08/12/20	6.76	...	-0.88	9 of 11 - Sale <sup>25</sup>
	9.000	27.36	08/13/20	29.57	...	-2.21	10 of 11 - Sale <sup>25</sup>
	1.000	3.04	08/13/20	3.38	...	-0.34	11 of 11 - Sale <sup>25</sup>
08/13/20	830.000	2,441.04	Various	2,600.14	...	-159.10	Total of 11 transactions
12 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	132.000	388.06	08/12/20	415.57	1.88 W	-25.63	1 of 12 - Sale <sup>25</sup>
	2.000	5.88	08/12/20	6.06	0.18 W	0.00	2 of 12 - Sale <sup>25</sup>
	98.000	288.11	08/12/20	295.96	7.85 W	0.00	3 of 12 - Sale <sup>25</sup>

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ZIOPHARM ONCOLOGY INC COMMON S TOCK / CUSIP: 98973P101 / Symbol: (cont'd)							
	2.000	5.88	08/12/20	6.22	0.34 W	0.00	4 of 12 - Sale <sup>25</sup>
	98.000	288.11	08/12/20	295.96	7.85 W	0.00	5 of 12 - Sale <sup>25</sup>
	132.000	388.05	08/12/20	398.64	10.59 W	0.00	6 of 12 - Sale <sup>25</sup>
	1.000	2.94	08/12/20	3.11	0.17 W	0.00	7 of 12 - Sale <sup>25</sup>
	2.000	5.88	08/12/20	6.48	0.60 W	0.00	8 of 12 - Sale <sup>25</sup>
	97.000	285.16	08/12/20	305.37	20.21 W	0.00	9 of 12 - Sale <sup>25</sup>
	98.000	288.10	08/12/20	308.51	20.41 W	0.00	10 of 12 - Sale <sup>25</sup>
	70.000	205.79	08/12/20	214.76	8.97 W	0.00	11 of 12 - Sale <sup>25</sup>
	1.000	2.94	08/12/20	3.24	0.30 W	0.00	12 of 12 - Sale <sup>25</sup>
08/13/20	733.000	2,154.90	Various	2,259.88	79.35 W	-25.63	Total of 12 transactions
	<b>Security total:</b>	<b>4,595.94</b>		<b>4,860.02</b>	<b>79.35 W</b>	<b>-184.73</b>	
ZOMEDICA PHARMACEUTICALS CORP. / CUSIP: 98979F107 / Symbol:							
9 transactions for 04/13/20. Total proceeds and cost reported to the IRS.							
	200.000	26.60	04/08/20	24.90	...	1.70	1 of 9 - Sale <sup>25</sup>
	200.000	26.60	04/08/20	24.90	...	1.70	2 of 9 - Sale <sup>25</sup>
	200.000	26.60	04/08/20	24.90	...	1.70	3 of 9 - Sale <sup>25</sup>
	250.000	33.24	04/08/20	31.13	...	2.11	4 of 9 - Sale <sup>25</sup>
	250.000	33.24	04/08/20	31.13	...	2.11	5 of 9 - Sale <sup>25</sup>
	300.000	39.89	04/08/20	37.35	...	2.54	6 of 9 - Sale <sup>25</sup>
	300.000	39.89	04/08/20	37.35	...	2.54	7 of 9 - Sale <sup>25</sup>
	500.000	66.49	04/08/20	62.25	...	4.24	8 of 9 - Sale <sup>25</sup>
	800.000	106.38	04/08/20	99.60	...	6.78	9 of 9 - Sale <sup>25</sup>
04/13/20	3,000.000	398.93	Various	373.51	...	25.42	Total of 9 transactions
04/13/20	2,000.000	265.96	04/08/20	272.00	4.53 W	-1.51	Sale <sup>25</sup>
2 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	1,000.000	194.38	04/28/20	218.02	...	-23.64	1 of 2 - Sale <sup>25</sup>
	500.000	97.19	04/28/20	109.01	...	-11.82	2 of 2 - Sale <sup>25</sup>
04/29/20	1,500.000	291.57	Various	327.03	...	-35.46	Total of 2 transactions
	<b>Security total:</b>	<b>956.46</b>		<b>972.54</b>	<b>4.53 W</b>	<b>-11.55</b>	

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(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZOSANO PHARMA CORPORATION COMM ON STOCK / CUSIP: 98979H202 / Symbol:							
<i>3 transactions for 09/22/20. Total proceeds and cost reported to the IRS.</i>							
	12.000	21.49	09/22/20	21.60	...	-0.11	1 of 3 - Sale <sup>25</sup>
	0.742	1.33	09/22/20	1.34	...	-0.01	2 of 3 - Sale <sup>25</sup>
	0.033	0.06	09/22/20	0.06	...	0.00	3 of 3 - Sale <sup>25</sup>
09/22/20	12.776	22.88	Various	23.00	...	-0.12	Total of 3 transactions
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
06/04/20	3.000	659.98	06/03/20	657.56	...	2.42	Sale <sup>25</sup>
06/25/20	10.000	2,586.04	06/24/20	2,529.10	...	56.94	Sale <sup>25</sup>
<i>3 transactions for 08/21/20. Total proceeds and cost reported to the IRS.</i>							
	6.000	1,731.74	08/20/20	1,744.02	...	-12.28	1 of 3 - Sale <sup>25</sup>
	3.000	865.87	08/20/20	872.01	...	-6.14	2 of 3 - Sale <sup>25</sup>
	1.000	288.62	08/20/20	290.67	...	-2.05	3 of 3 - Sale <sup>25</sup>
08/21/20	10.000	2,886.23	Various	2,906.70	...	-20.47	Total of 3 transactions
	<b>Security total:</b>	<b>6,132.25</b>		<b>6,093.36</b>	...	<b>38.89</b>	
ZUORA, INC. / CUSIP: 98983V106 / Symbol:							
03/20/20	40.000	348.00	03/18/20	356.80	...	-8.80	Sale <sup>25</sup>
ZYNGA INC. CLASS A COMMON STOC K / CUSIP: 98986T108 / Symbol:							
06/01/20	5.000	46.90	05/29/20	43.55	...	3.35	Sale <sup>25</sup>
<i>4 transactions for 06/02/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	37.97	05/29/20	36.76	...	1.21	1 of 4 - Sale <sup>25</sup>
	25.000	237.31	05/29/20	229.75	...	7.56	2 of 4 - Sale <sup>25</sup>
	75.000	711.91	05/29/20	654.75	...	57.16	3 of 4 - Sale <sup>25</sup>
	95.000	901.75	05/29/20	827.45	...	74.30	4 of 4 - Sale <sup>25</sup>
06/02/20	199.000	1,888.94	Various	1,748.71	...	140.23	Total of 4 transactions
06/03/20	1.000	9.49	05/29/20	9.19	...	0.30	Sale <sup>25</sup>
06/26/20	50.000	483.65	06/26/20	484.38	...	-0.73	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,428.98</b>		<b>2,285.83</b>	...	<b>143.15</b>	

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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ATLAS FINL HLDGS INC ORDINARY SHARES / CUSIP: G06207115 / Symbol:							
05/07/20	100.000	43.48	05/06/20	47.26	...	-3.78	Sale <sup>25</sup>
06/17/20	100.000	144.99	06/17/20	228.50	83.51 W	0.00	Sale <sup>25</sup>
	2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.						
	100.000	69.30	07/09/20	157.09	...	-87.79	1 of 2 - Sale <sup>25</sup>
	400.000	277.19	07/09/20	294.32	...	-17.13	2 of 2 - Sale <sup>25</sup>
07/09/20	500.000	346.49	Various	451.41	...	-104.92	Total of 2 transactions
	<b>Security total:</b>	<b>534.96</b>		<b>727.17</b>	<b>83.51 W</b>	<b>-108.70</b>	
BIT DIGITAL, INC. ORDINARY SHA RES / CUSIP: G1144A105 / Symbol:							
12/31/20	58.000	1,014.97	12/31/20	1,006.30	...	8.67	Sale <sup>25</sup>
	9 transactions for 12/31/20. Total proceeds and cost reported to the IRS.						
	100.000	1,435.45	12/30/20	1,574.00	138.55 W	0.00	1 of 9 - Sale <sup>25</sup>
	74.000	1,255.37	12/31/20	1,374.58	119.21 W	0.00	2 of 9 - Sale <sup>25</sup>
	1.000	17.51	12/31/20	18.58	1.07 W	0.00	3 of 9 - Sale <sup>25</sup>
	25.000	437.74	12/31/20	466.55	28.81 W	0.00	4 of 9 - Sale <sup>25</sup>
	16.000	280.15	12/31/20	302.19	22.04 W	0.00	5 of 9 - Sale <sup>25</sup>
	58.000	1,014.97	12/31/20	1,095.46	80.49 W	0.00	6 of 9 - Sale <sup>25</sup>
	1.000	17.50	12/31/20	18.42	0.92 W	0.00	7 of 9 - Sale <sup>25</sup>
	16.000	279.99	12/31/20	299.64	19.65 W	0.00	8 of 9 - Sale <sup>25</sup>
	25.000	437.48	12/31/20	462.56	25.08 W	0.00	9 of 9 - Sale <sup>25</sup>
12/31/20	316.000	5,176.16	Various	5,611.98	435.82 W	0.00	Total of 9 transactions
	<b>Security total:</b>	<b>6,191.13</b>		<b>6,618.28</b>	<b>435.82 W</b>	<b>8.67</b>	
BLUE HAT INTERACTIVE ENTERTAIN MENT TECHNOLOGY ORDINARY SHARE S / CUSIP: G1329V106 / Symbol:							
	2 transactions for 05/04/20. Total proceeds and cost reported to the IRS.						
	201.000	263.29	05/01/20	288.50	...	-25.21	1 of 2 - Sale <sup>25</sup>
	49.000	64.19	05/01/20	70.33	...	-6.14	2 of 2 - Sale <sup>25</sup>
05/04/20	250.000	327.48	Various	358.83	...	-31.35	Total of 2 transactions
BORR DRILLING LIMITED COMMON S HARES OF PAR VALUE \$0.05 PER S HARE / CUSIP: G1466R207 / Symbol:							
	2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.						
	50.000	34.50	05/21/20	34.28	...	0.22	1 of 2 - Sale <sup>25</sup>
	300.000	207.00	05/21/20	203.31	...	3.69	2 of 2 - Sale <sup>25</sup>
05/26/20	350.000	241.50	Various	237.59	...	3.91	Total of 2 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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BORR DRILLING LIMITED COMMON S HARES OF PAR VALUE \$0.05 PER S HARE / CUSIP: G1466R207 / Symbol: (cont'd)							
07/14/20	500.000	487.74	07/14/20	495.00	...	-7.26	Sale <sup>25</sup>
	<b>Security total:</b>	<b>729.24</b>		<b>732.59</b>	...	<b>-3.35</b>	
CAPRI HOLDINGS LIMITED / CUSIP: G1890L107 / Symbol:							
06/02/20	25.000	422.06	06/01/20	413.61	...	8.45	Sale <sup>25</sup>
FERROGLOBE PLC ORDINARY SHARES / CUSIP: G33856108 / Symbol:							
10 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	135.000	264.57	12/03/20	264.61	...	-0.04	1 of 10 - Sale <sup>25</sup>
	75.000	146.99	12/03/20	147.02	...	-0.03	2 of 10 - Sale <sup>25</sup>
	45.000	88.19	12/03/20	88.20	...	-0.01	3 of 10 - Sale <sup>25</sup>
	25.000	49.00	12/03/20	49.00	...	0.00	4 of 10 - Sale <sup>25</sup>
	6.000	11.76	12/03/20	11.76	...	0.00	5 of 10 - Sale <sup>25</sup>
	10.000	19.60	12/03/20	19.60	...	0.00	6 of 10 - Sale <sup>25</sup>
	25.000	49.00	12/03/20	49.00	...	0.00	7 of 10 - Sale <sup>25</sup>
	39.000	76.44	12/03/20	76.44	...	0.00	8 of 10 - Sale <sup>25</sup>
	24.000	47.04	12/03/20	47.04	...	0.00	9 of 10 - Sale <sup>25</sup>
	6.000	11.76	12/03/20	11.76	...	0.00	10 of 10 - Sale <sup>25</sup>
12/03/20	390.000	764.35	Various	764.43	...	-0.08	Total of 10 transactions
3 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	99.000	194.03	12/03/20	194.04	0.01 W	0.00	1 of 3 - Sale <sup>25</sup>
	135.000	264.59	12/03/20	264.60	0.01 W	0.00	2 of 3 - Sale <sup>25</sup>
	75.000	146.99	12/03/20	147.01	0.02 W	0.00	3 of 3 - Sale <sup>25</sup>
12/03/20	309.000	605.61	Various	605.65	0.04 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>1,369.96</b>		<b>1,370.08</b>	<b>0.04 W</b>	<b>-0.08</b>	
EBANG INTERNATIONAL HOLDINGS I NC. CLASS A ORDINARY SHARES / CUSIP: G3R33A106 / Symbol:							
11 transactions for 12/31/20. Total proceeds and cost reported to the IRS.							
	50.000	308.99	12/31/20	307.50	...	1.49	1 of 11 - Sale <sup>25</sup>
	100.000	617.97	12/31/20	615.00	...	2.97	2 of 11 - Sale <sup>25</sup>
	100.000	617.97	12/31/20	615.00	...	2.97	3 of 11 - Sale <sup>25</sup>
	100.000	618.97	12/31/20	615.00	...	3.97	4 of 11 - Sale <sup>25</sup>
	50.000	308.98	12/31/20	305.00	...	3.98	5 of 11 - Sale <sup>25</sup>
	50.000	309.00	12/31/20	305.00	...	4.00	6 of 11 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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EBANG INTERNATIONAL HOLDINGS I NC. CLASS A ORDINARY SHARES / CUSIP: G3R33A106 / Symbol: (cont'd)							
	150.000	928.45	12/31/20	922.50	...	5.95	7 of 11 - Sale <sup>25</sup>
	100.000	617.97	12/31/20	610.00	...	7.97	8 of 11 - Sale <sup>25</sup>
	100.000	617.97	12/31/20	610.00	...	7.97	9 of 11 - Sale <sup>25</sup>
	100.000	617.97	12/31/20	610.00	...	7.97	10 of 11 - Sale <sup>25</sup>
	100.000	618.97	12/31/20	610.00	...	8.97	11 of 11 - Sale <sup>25</sup>
12/31/20	1,000.000	6,183.21	Various	6,125.00	...	58.21	Total of 11 transactions
HORIZON THERAPEUTICS PUBLIC LI MITED COMPANY ORDINARY SHARES / CUSIP: G46188101 / Symbol:							
08/05/20	38.000	2,827.71	08/05/20	2,832.48	...	-4.77	Sale <sup>25</sup>
HUDSON LTD. CLASS A COMMON SHA RES / CUSIP: G46408103 / Symbol:							
04/30/20	100.000	508.97	04/29/20	527.25	...	-18.28	Sale <sup>25</sup>
	5 transactions for 08/03/20. Total proceeds and cost reported to the IRS.						
	150.000	722.98	08/03/20	729.00	...	-6.02	1 of 5 - Sale <sup>25</sup>
	100.000	481.99	08/03/20	485.90	...	-3.91	2 of 5 - Sale <sup>25</sup>
	100.000	481.99	08/03/20	484.90	...	-2.91	3 of 5 - Sale <sup>25</sup>
	75.000	361.49	08/03/20	364.13	...	-2.64	4 of 5 - Sale <sup>25</sup>
	15.000	72.29	08/03/20	73.20	...	-0.91	5 of 5 - Sale <sup>25</sup>
08/03/20	440.000	2,120.74	Various	2,137.13	...	-16.39	Total of 5 transactions
	<b>Security total:</b>	<b>2,629.71</b>		<b>2,664.38</b>	...	<b>-34.67</b>	
INTERNATIONAL GAME TECHNOLOGY PLC / CUSIP: G4863A108 / Symbol:							
03/25/20	70.000	433.30	03/24/20	454.99	...	-21.69	Sale <sup>25</sup>
MALLINCKRODT PUB LTD CO ORDINA RY SHARES / CUSIP: G5785G107 / Symbol:							
03/13/20	150.000	517.58	03/12/20	548.63	31.05 W	0.00	Sale <sup>25</sup>
	5 transactions for 04/13/20. Total proceeds and cost reported to the IRS.						
	5.000	18.41	04/08/20	13.14	...	5.27	1 of 5 - Sale <sup>25</sup>
	50.000	184.04	04/08/20	128.50	...	55.54	2 of 5 - Sale <sup>25</sup>
	50.000	184.03	04/08/20	128.00	...	56.03	3 of 5 - Sale <sup>25</sup>
	50.000	184.04	04/08/20	117.50	...	66.54	4 of 5 - Sale <sup>25</sup>
	150.000	552.12	04/08/20	383.55	...	168.57	5 of 5 - Sale <sup>25</sup>
04/13/20	305.000	1,122.64	Various	770.69	...	351.95	Total of 5 transactions
	<b>Security total:</b>	<b>1,640.22</b>		<b>1,319.32</b>	<b>31.05 W</b>	<b>351.95</b>	

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

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MEDTRONIC PLC / CUSIP: G5960L103 / Symbol:							
04/02/20	2.000	166.09	04/01/20	171.00	...	-4.91	Sale <sup>25</sup>
04/02/20	10.000	830.48	04/01/20	855.40	17.44 W	-7.48	Sale <sup>25</sup>
04/03/20	7.000	594.70	04/02/20	619.16	...	-24.46	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,591.27</b>		<b>1,645.56</b>	<b>17.44 W</b>	<b>-36.85</b>	
APTORUM GROUP LIMITED CLASS A ORDINARY SHARES / CUSIP: G6096M106 / Symbol:							
04/01/20	75.000	315.41	03/31/20	310.49	...	4.92	Sale <sup>25</sup>
MYOVANT SCIENCES LTD. / CUSIP: G637AM102 / Symbol:							
	5 transactions for 06/02/20. Total proceeds and cost reported to the IRS.						
	25.000	390.74	06/01/20	447.18	...	-56.44	1 of 5 - Sale <sup>25</sup>
	1.000	15.65	06/01/20	13.47	...	2.18	2 of 5 - Sale <sup>25</sup>
	10.000	156.30	06/01/20	141.30	...	15.00	3 of 5 - Sale <sup>25</sup>
	10.000	156.49	06/01/20	141.30	...	15.19	4 of 5 - Sale <sup>25</sup>
	29.000	453.84	06/01/20	396.43	...	57.41	5 of 5 - Sale <sup>25</sup>
06/02/20	75.000	1,173.02	Various	1,139.68	...	33.34	Total of 5 transactions
NORDIC AMERICAN TANKER / CUSIP: G65773106 / Symbol:							
07/27/20	250.000	1,092.46	07/27/20	1,089.53	...	2.93	Sale <sup>25</sup>
	4 transactions for 08/04/20. Total proceeds and cost reported to the IRS.						
	2.000	8.96	08/03/20	9.02	0.06 W	0.00	1 of 4 - Sale <sup>25</sup>
	248.000	1,111.00	08/03/20	1,123.44	12.44 W	0.00	2 of 4 - Sale <sup>25</sup>
	2.000	9.04	08/04/20	9.12	0.08 W	0.00	3 of 4 - Sale <sup>25</sup>
	248.000	1,120.90	08/04/20	1,135.86	14.96 W	0.00	4 of 4 - Sale <sup>25</sup>
08/04/20	500.000	2,249.90	Various	2,277.44	27.54 W	0.00	Total of 4 transactions
	7 transactions for 08/06/20. Total proceeds and cost reported to the IRS.						
	2.000	9.06	08/06/20	9.08	0.02 W	0.00	1 of 7 - Sale <sup>25</sup>
	183.000	828.94	08/06/20	834.54	5.60 W	0.00	2 of 7 - Sale <sup>25</sup>
	15.000	67.95	08/06/20	68.82	0.87 W	0.00	3 of 7 - Sale <sup>25</sup>
	2.000	9.06	08/06/20	9.08	0.02 W	0.00	4 of 7 - Sale <sup>25</sup>
	50.000	226.50	08/06/20	229.42	2.92 W	0.00	5 of 7 - Sale <sup>25</sup>
	48.000	217.43	08/06/20	218.81	1.38 W	0.00	6 of 7 - Sale <sup>25</sup>
	85.000	385.04	08/06/20	387.48	2.44 W	0.00	7 of 7 - Sale <sup>25</sup>
08/06/20	385.000	1,743.98	Various	1,757.23	13.25 W	0.00	Total of 7 transactions

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NORDIC AMERICAN TANKER / CUSIP: G65773106 / Symbol: (cont'd)							
<i>10 transactions for 08/12/20. Total proceeds and cost reported to the IRS.</i>							
	15.000	69.47	08/11/20	69.42	...	0.05	1 of 10 - Sale <sup>25</sup>
	2.000	9.26	08/11/20	9.16	...	0.10	2 of 10 - Sale <sup>25</sup>
	50.000	231.56	08/11/20	231.41	...	0.15	3 of 10 - Sale <sup>25</sup>
	250.000	1,157.82	08/11/20	1,157.48	...	0.34	4 of 10 - Sale <sup>25</sup>
	9.000	41.68	08/11/20	40.74	...	0.94	5 of 10 - Sale <sup>25</sup>
	41.000	189.88	08/11/20	188.62	...	1.26	6 of 10 - Sale <sup>25</sup>
	48.000	222.30	08/11/20	220.73	...	1.57	7 of 10 - Sale <sup>25</sup>
	85.000	393.66	08/11/20	390.88	...	2.78	8 of 10 - Sale <sup>25</sup>
	50.000	231.56	08/11/20	228.50	...	3.06	9 of 10 - Sale <sup>25</sup>
	250.000	1,157.82	08/12/20	1,154.50	...	3.32	10 of 10 - Sale <sup>25</sup>
08/12/20	800.000	3,705.01	Various	3,691.44	...	13.57	Total of 10 transactions
08/18/20	500.000	2,170.29	08/18/20	2,194.26	...	-23.97	Sale <sup>25</sup>
08/18/20	1,000.000	4,319.88	08/18/20	4,360.00	20.58 W	-19.54	Sale <sup>25</sup>
<i>3 transactions for 08/25/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	44.10	08/25/20	44.60	...	-0.50	1 of 3 - Sale <sup>25</sup>
	1.000	4.46	08/25/20	4.47	...	-0.01	2 of 3 - Sale <sup>25</sup>
	2.000	8.93	08/25/20	8.94	...	-0.01	3 of 3 - Sale <sup>25</sup>
08/25/20	13.000	57.49	Various	58.01	...	-0.52	Total of 3 transactions
	<b>Security total:</b>	<b>15,339.01</b>		<b>15,427.91</b>	<b>61.37 W</b>	<b>-27.53</b>	
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
<i>2 transactions for 03/26/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	47.73	03/25/20	47.61	...	0.12	1 of 2 - Sale <sup>25</sup>
	27.000	429.57	03/25/20	428.49	...	1.08	2 of 2 - Sale <sup>25</sup>
03/26/20	30.000	477.30	Various	476.10	...	1.20	Total of 2 transactions
<i>2 transactions for 04/28/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	240.59	04/27/20	235.40	...	5.19	1 of 2 - Sale <sup>25</sup>
	30.000	360.89	04/27/20	348.60	...	12.29	2 of 2 - Sale <sup>25</sup>
04/28/20	50.000	601.48	Various	584.00	...	17.48	Total of 2 transactions
05/19/20	25.000	317.50	05/18/20	315.97	...	1.53	Sale <sup>25</sup>
05/27/20	50.000	799.47	05/21/20	702.00	...	97.47	Sale <sup>25</sup>
05/27/20	10.000	159.89	05/26/20	160.48	0.59 W	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol: (cont'd)							
2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	10.000	175.64	06/01/20	166.29	...	9.35	1 of 2 - Sale <sup>25</sup>
	14.000	245.89	06/01/20	231.98	...	13.91	2 of 2 - Sale <sup>25</sup>
06/02/20	24.000	421.53	Various	398.27	...	23.26	Total of 2 transactions
2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	1.000	17.80	06/01/20	16.57	...	1.23	1 of 2 - Sale <sup>25</sup>
	24.000	427.19	06/03/20	421.66	...	5.53	2 of 2 - Sale <sup>25</sup>
06/04/20	25.000	444.99	Various	438.23	...	6.76	Total of 2 transactions
06/04/20	25.000	444.99	06/03/20	447.50	2.51 W	0.00	Sale <sup>25</sup>
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	25.000	614.51	06/04/20	483.39	...	131.12	1 of 2 - Sale <sup>25</sup>
	25.000	614.51	06/04/20	480.87	...	133.64	2 of 2 - Sale <sup>25</sup>
06/09/20	50.000	1,229.02	Various	964.26	...	264.76	Total of 2 transactions
06/09/20	25.000	614.50	06/08/20	630.25	15.75 W	0.00	Sale <sup>25</sup>
7 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	5.000	83.10	06/10/20	104.63	21.53 W	0.00	1 of 7 - Sale <sup>25</sup>
	10.000	166.20	06/10/20	209.26	43.06 W	0.00	2 of 7 - Sale <sup>25</sup>
	10.000	166.09	06/10/20	209.26	43.17 W	0.00	3 of 7 - Sale <sup>25</sup>
	5.000	83.05	06/10/20	127.58	44.53 W	0.00	4 of 7 - Sale <sup>25</sup>
	10.000	166.09	06/10/20	255.15	89.06 W	0.00	5 of 7 - Sale <sup>25</sup>
	10.000	166.10	06/10/20	212.10	46.00 W	0.00	6 of 7 - Sale <sup>25</sup>
	50.000	830.47	06/10/20	1,061.31	230.84 W	0.00	7 of 7 - Sale <sup>25</sup>
06/11/20	100.000	1,661.10	Various	2,179.29	518.19 W	0.00	Total of 7 transactions
3 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	5.000	91.45	06/12/20	145.83	54.38 W	0.00	1 of 3 - Sale <sup>25</sup>
	10.000	182.90	06/12/20	291.66	108.76 W	0.00	2 of 3 - Sale <sup>25</sup>
	10.000	182.90	06/12/20	245.77	62.87 W	0.00	3 of 3 - Sale <sup>25</sup>
06/15/20	25.000	457.25	Various	683.26	226.01 W	0.00	Total of 3 transactions
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	10.000	193.40	06/18/20	244.79	51.39 W	0.00	1 of 2 - Sale <sup>25</sup>
	15.000	290.10	06/18/20	367.43	77.33 W	0.00	2 of 2 - Sale <sup>25</sup>
06/19/20	25.000	483.50	Various	612.22	128.72 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol: (cont'd)							
<i>9 transactions for 06/23/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	88.80	06/23/20	143.87	55.07 W	0.00	1 of 9 - Sale <sup>25</sup>
	10.000	177.60	06/23/20	287.75	110.15 W	0.00	2 of 9 - Sale <sup>25</sup>
	35.000	621.58	06/23/20	788.06	166.48 W	0.00	3 of 9 - Sale <sup>25</sup>
	5.000	88.80	06/23/20	145.52	56.72 W	0.00	4 of 9 - Sale <sup>25</sup>
	10.000	177.60	06/23/20	291.04	113.44 W	0.00	5 of 9 - Sale <sup>25</sup>
	10.000	177.61	06/23/20	243.77	66.16 W	0.00	6 of 9 - Sale <sup>25</sup>
	5.000	88.85	06/23/20	116.15	27.30 W	0.00	7 of 9 - Sale <sup>25</sup>
	5.000	88.80	06/23/20	116.14	27.34 W	0.00	8 of 9 - Sale <sup>25</sup>
	15.000	266.40	06/23/20	348.67	82.27 W	0.00	9 of 9 - Sale <sup>25</sup>
06/23/20	100.000	1,776.04	Various	2,480.97	704.93 W	0.00	Total of 9 transactions
<i>9 transactions for 06/24/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	79.45	06/24/20	137.70	58.25 W	0.00	1 of 9 - Sale <sup>25</sup>
	10.000	158.90	06/24/20	275.40	116.50 W	0.00	2 of 9 - Sale <sup>25</sup>
	10.000	158.90	06/24/20	228.12	69.22 W	0.00	3 of 9 - Sale <sup>25</sup>
	5.000	79.45	06/24/20	108.28	28.83 W	0.00	4 of 9 - Sale <sup>25</sup>
	5.000	79.45	06/24/20	108.32	28.87 W	0.00	5 of 9 - Sale <sup>25</sup>
	15.000	238.34	06/24/20	325.22	86.88 W	0.00	6 of 9 - Sale <sup>25</sup>
	35.000	556.13	06/24/20	733.34	177.21 W	0.00	7 of 9 - Sale <sup>25</sup>
	14.000	222.45	06/24/20	226.74	4.29 W	0.00	8 of 9 - Sale <sup>25</sup>
	1.000	15.77	06/24/20	16.20	0.43 W	0.00	9 of 9 - Sale <sup>25</sup>
06/24/20	100.000	1,588.84	Various	2,159.32	570.48 W	0.00	Total of 9 transactions
<i>6 transactions for 06/29/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	81.46	06/29/20	140.95	59.49 W	0.00	1 of 6 - Sale <sup>25</sup>
	10.000	162.92	06/29/20	281.90	118.98 W	0.00	2 of 6 - Sale <sup>25</sup>
	10.000	162.92	06/29/20	234.62	71.70 W	0.00	3 of 6 - Sale <sup>25</sup>
	5.000	81.46	06/29/20	111.53	30.07 W	0.00	4 of 6 - Sale <sup>25</sup>
	5.000	81.46	06/29/20	111.57	30.11 W	0.00	5 of 6 - Sale <sup>25</sup>
	15.000	244.37	06/29/20	334.98	90.61 W	0.00	6 of 6 - Sale <sup>25</sup>
06/29/20	50.000	814.59	Various	1,215.55	400.96 W	0.00	Total of 6 transactions
<i>9 transactions for 07/08/20. Total proceeds and cost reported to the IRS.</i>							
	35.000	533.40	07/08/20	714.73	181.33 W	0.00	1 of 9 - Sale <sup>25</sup>
	1.000	15.24	07/08/20	15.79	0.55 W	0.00	2 of 9 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol: (cont'd)							
	14.000	213.36	07/08/20	219.29	5.93 W	0.00	3 of 9 - Sale <sup>25</sup>
	5.000	80.10	07/08/20	140.89	60.79 W	0.00	4 of 9 - Sale <sup>25</sup>
	10.000	160.20	07/08/20	281.78	121.58 W	0.00	5 of 9 - Sale <sup>25</sup>
	10.000	160.20	07/08/20	234.50	74.30 W	0.00	6 of 9 - Sale <sup>25</sup>
	5.000	80.50	07/08/20	111.47	30.97 W	0.00	7 of 9 - Sale <sup>25</sup>
	5.000	80.50	07/08/20	111.51	31.01 W	0.00	8 of 9 - Sale <sup>25</sup>
	15.000	241.50	07/08/20	334.81	93.31 W	0.00	9 of 9 - Sale <sup>25</sup>
07/08/20	100.000	1,565.00	Various	2,164.77	599.77 W	0.00	Total of 9 transactions
	4 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	20.000	325.39	07/15/20	477.22	151.83 W	0.00	1 of 4 - Sale <sup>25</sup>
	15.000	244.05	07/15/20	357.31	113.26 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	16.27	07/15/20	19.19	2.92 W	0.00	3 of 4 - Sale <sup>25</sup>
	14.000	227.77	07/15/20	266.89	39.12 W	0.00	4 of 4 - Sale <sup>25</sup>
07/16/20	50.000	813.48	Various	1,120.61	307.13 W	0.00	Total of 4 transactions
	12 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	5.000	69.36	07/27/20	128.24	58.88 W	0.00	1 of 12 - Sale <sup>25</sup>
	10.000	138.71	07/27/20	256.48	117.77 W	0.00	2 of 12 - Sale <sup>25</sup>
	10.000	138.72	07/27/20	209.20	70.48 W	0.00	3 of 12 - Sale <sup>25</sup>
	5.000	69.36	07/27/20	98.42	29.06 W	0.00	4 of 12 - Sale <sup>25</sup>
	5.000	69.36	07/27/20	98.46	29.10 W	0.00	5 of 12 - Sale <sup>25</sup>
	15.000	208.07	07/27/20	295.66	87.59 W	0.00	6 of 12 - Sale <sup>25</sup>
	5.000	69.36	07/28/20	109.65	40.29 W	0.00	7 of 12 - Sale <sup>25</sup>
	20.000	277.43	07/28/20	439.43	162.00 W	0.00	8 of 12 - Sale <sup>25</sup>
	10.000	138.71	07/29/20	214.81	76.10 W	0.00	9 of 12 - Sale <sup>25</sup>
	1.000	13.87	07/29/20	16.85	2.98 W	0.00	10 of 12 - Sale <sup>25</sup>
	14.000	194.20	07/29/20	234.14	39.94 W	0.00	11 of 12 - Sale <sup>25</sup>
	25.000	346.79	07/29/20	348.25	1.46 W	0.00	12 of 12 - Sale <sup>25</sup>
07/30/20	125.000	1,733.94	Various	2,449.59	715.65 W	0.00	Total of 12 transactions
	2 transactions for 08/04/20. Total proceeds and cost reported to the IRS.						
	25.000	341.99	08/03/20	321.34	...	20.65	1 of 2 - Sale <sup>25</sup>
	150.000	2,052.50	08/04/20	2,072.85	...	-20.35	2 of 2 - Sale <sup>25</sup>
08/04/20	175.000	2,394.49	Various	2,394.19	...	0.30	Total of 2 transactions

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol: (cont'd)							
30 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	5.000	68.40	08/03/20	122.46	54.06 W	0.00	1 of 30 - Sale <sup>25</sup>
	10.000	136.79	08/03/20	244.92	108.13 W	0.00	2 of 30 - Sale <sup>25</sup>
	10.000	136.80	08/03/20	197.63	60.83 W	0.00	3 of 30 - Sale <sup>25</sup>
	5.000	68.40	08/03/20	92.63	24.23 W	0.00	4 of 30 - Sale <sup>25</sup>
	5.000	68.40	08/03/20	92.68	24.28 W	0.00	5 of 30 - Sale <sup>25</sup>
	15.000	205.19	08/03/20	278.31	73.12 W	0.00	6 of 30 - Sale <sup>25</sup>
	5.000	68.40	08/03/20	103.86	35.46 W	0.00	7 of 30 - Sale <sup>25</sup>
	20.000	273.59	08/03/20	416.30	142.71 W	0.00	8 of 30 - Sale <sup>25</sup>
	10.000	136.79	08/03/20	203.25	66.46 W	0.00	9 of 30 - Sale <sup>25</sup>
	1.000	13.68	08/03/20	15.70	2.02 W	0.00	10 of 30 - Sale <sup>25</sup>
	14.000	191.51	08/03/20	217.95	26.44 W	0.00	11 of 30 - Sale <sup>25</sup>
	5.000	68.40	08/03/20	118.03	49.63 W	0.00	12 of 30 - Sale <sup>25</sup>
	10.000	136.79	08/03/20	236.08	99.29 W	0.00	13 of 30 - Sale <sup>25</sup>
	10.000	136.80	08/03/20	188.78	51.98 W	0.00	14 of 30 - Sale <sup>25</sup>
	5.000	68.40	08/04/20	93.21	24.81 W	0.00	15 of 30 - Sale <sup>25</sup>
	5.000	68.40	08/04/20	93.26	24.86 W	0.00	16 of 30 - Sale <sup>25</sup>
	15.000	205.19	08/04/20	280.07	74.88 W	0.00	17 of 30 - Sale <sup>25</sup>
	5.000	68.40	08/04/20	104.44	36.04 W	0.00	18 of 30 - Sale <sup>25</sup>
	20.000	273.58	08/04/20	418.65	145.07 W	0.00	19 of 30 - Sale <sup>25</sup>
	5.000	68.40	08/04/20	118.72	50.32 W	0.00	20 of 30 - Sale <sup>25</sup>
	10.000	136.79	08/04/20	237.48	100.69 W	0.00	21 of 30 - Sale <sup>25</sup>
	10.000	136.80	08/04/20	190.17	53.37 W	0.00	22 of 30 - Sale <sup>25</sup>
	5.000	68.40	08/04/20	93.90	25.50 W	0.00	23 of 30 - Sale <sup>25</sup>
	5.000	68.40	08/04/20	93.95	25.55 W	0.00	24 of 30 - Sale <sup>25</sup>
	15.000	205.19	08/04/20	282.16	76.97 W	0.00	25 of 30 - Sale <sup>25</sup>
	5.000	68.40	08/04/20	105.14	36.74 W	0.00	26 of 30 - Sale <sup>25</sup>
	20.000	273.59	08/04/20	421.45	147.86 W	0.00	27 of 30 - Sale <sup>25</sup>
	10.000	136.79	08/04/20	204.65	67.86 W	0.00	28 of 30 - Sale <sup>25</sup>
	1.000	13.68	08/04/20	15.84	2.16 W	0.00	29 of 30 - Sale <sup>25</sup>
	14.000	191.51	08/04/20	219.90	28.39 W	0.00	30 of 30 - Sale <sup>25</sup>
08/04/20	275.000	3,761.86	Various	5,501.57	1,739.71 W	0.00	Total of 30 transactions

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol: (cont'd)							
<i>13 transactions for 08/10/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	302.60	08/10/20	451.19	...	-148.59	1 of 13 - Sale <sup>25</sup>
	10.000	151.30	08/10/20	252.39	...	-101.09	2 of 13 - Sale <sup>25</sup>
	15.000	226.95	08/10/20	304.52	...	-77.57	3 of 13 - Sale <sup>25</sup>
	10.000	151.30	08/10/20	219.53	...	-68.23	4 of 13 - Sale <sup>25</sup>
	10.000	151.30	08/10/20	205.07	...	-53.77	5 of 13 - Sale <sup>25</sup>
	5.000	75.65	08/10/20	112.57	...	-36.92	6 of 13 - Sale <sup>25</sup>
	3.000	45.39	08/10/20	75.70	...	-30.31	7 of 13 - Sale <sup>25</sup>
	5.000	75.65	08/10/20	101.40	...	-25.75	8 of 13 - Sale <sup>25</sup>
	5.000	75.65	08/10/20	101.35	...	-25.70	9 of 13 - Sale <sup>25</sup>
	10.000	151.31	08/10/20	171.94	...	-20.63	10 of 13 - Sale <sup>25</sup>
	2.000	30.26	08/10/20	50.47	...	-20.21	11 of 13 - Sale <sup>25</sup>
	4.000	60.56	08/10/20	68.78	...	-8.22	12 of 13 - Sale <sup>25</sup>
	1.000	15.14	08/10/20	17.33	...	-2.19	13 of 13 - Sale <sup>25</sup>
08/10/20	100.000	1,513.06	Various	2,132.24	...	-619.18	Total of 13 transactions
<i>2 transactions for 10/08/20. Total proceeds and cost reported to the IRS.</i>							
	498.000	9,063.43	10/08/20	9,092.38	...	-28.95	1 of 2 - Sale <sup>25</sup>
	2.000	36.40	10/08/20	36.52	...	-0.12	2 of 2 - Sale <sup>25</sup>
10/08/20	500.000	9,099.83	Various	9,128.90	...	-29.07	Total of 2 transactions
	<b>Security total:</b>	<b>33,173.65</b>		<b>39,339.54</b>	<b>5,930.40 W</b>	<b>-235.49</b>	
STRONGBRIDGE BIOPHARMA PLC ORDINARY SHARES / CUSIP: G85347105 / Symbol:							
08/04/20	250.000	960.19	08/04/20	977.50	17.31 W	0.00	Sale <sup>25</sup>
08/13/20	100.000	390.56	08/12/20	400.42	9.86 W	0.00	Sale <sup>25</sup>
<i>10 transactions for 08/14/20. Total proceeds and cost reported to the IRS.</i>							
	1,000.000	3,759.90	08/14/20	3,780.00	...	-20.10	1 of 10 - Sale <sup>25</sup>
	750.000	2,819.92	08/14/20	2,833.50	...	-13.58	2 of 10 - Sale <sup>25</sup>
	278.000	1,045.25	08/14/20	1,050.84	...	-5.59	3 of 10 - Sale <sup>25</sup>
	200.000	751.98	08/14/20	756.00	...	-4.02	4 of 10 - Sale <sup>25</sup>
	112.000	421.11	08/14/20	423.36	...	-2.25	5 of 10 - Sale <sup>25</sup>
	100.000	375.99	08/14/20	378.00	...	-2.01	6 of 10 - Sale <sup>25</sup>
	100.000	375.99	08/14/20	378.00	...	-2.01	7 of 10 - Sale <sup>25</sup>
	100.000	375.99	08/14/20	378.00	...	-2.01	8 of 10 - Sale <sup>25</sup>
	100.000	375.99	08/14/20	378.00	...	-2.01	9 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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STRONGBRIDGE BIOPHARMA PLC ORD INARY SHARES / CUSIP: G85347105 / Symbol: (cont'd)							
	10.000	37.60	08/14/20	37.80	...	-0.20	10 of 10 - Sale <sup>25</sup>
08/14/20	2,750.000	10,339.72	Various	10,393.50	...	-53.78	Total of 10 transactions
	2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.						
	150.000	563.98	08/14/20	577.09	1.31 W	-11.80	1 of 2 - Sale <sup>25</sup>
	100.000	375.99	08/14/20	387.66	11.67 W	0.00	2 of 2 - Sale <sup>25</sup>
08/14/20	250.000	939.97	Various	964.75	12.98 W	-11.80	Total of 2 transactions
	4 transactions for 08/17/20. Total proceeds and cost reported to the IRS.						
	98.000	385.14	08/17/20	394.62	...	-9.48	1 of 4 - Sale <sup>25</sup>
	15.000	58.95	08/17/20	60.56	...	-1.61	2 of 4 - Sale <sup>25</sup>
	1.000	3.93	08/17/20	4.06	...	-0.13	3 of 4 - Sale <sup>25</sup>
	1.000	3.93	08/17/20	4.03	...	-0.10	4 of 4 - Sale <sup>25</sup>
08/17/20	115.000	451.95	Various	463.27	...	-11.32	Total of 4 transactions
	<b>Security total:</b>	<b>13,082.39</b>		<b>13,199.44</b>	<b>40.15 W</b>	<b>-76.90</b>	
TAOPING INC. ORDINARY SHARES / CUSIP: G8675V119 / Symbol:							
07/30/20	6.000	55.20	07/30/20	67.56	...	-12.36	Sale <sup>25</sup>
WAH FU EDUCATION GROUP LIMITED ORDINARY SHARES / CUSIP: G94184101 / Symbol:							
	4 transactions for 07/09/20. Total proceeds and cost reported to the IRS.						
	50.000	304.99	07/09/20	376.54	...	-71.55	1 of 4 - Sale <sup>25</sup>
	25.000	152.50	07/09/20	174.26	...	-21.76	2 of 4 - Sale <sup>25</sup>
	1.000	6.13	07/09/20	4.90	...	1.23	3 of 4 - Sale <sup>25</sup>
	49.000	300.36	07/09/20	286.65	...	13.71	4 of 4 - Sale <sup>25</sup>
07/09/20	125.000	763.98	Various	842.35	...	-78.37	Total of 4 transactions
	3 transactions for 07/09/20. Total proceeds and cost reported to the IRS.						
	100.000	612.97	07/09/20	684.00	17.76 W	-53.27	1 of 3 - Sale <sup>25</sup>
	50.000	306.48	07/09/20	332.50	26.02 W	0.00	2 of 3 - Sale <sup>25</sup>
	50.000	306.48	07/09/20	368.02	61.54 W	0.00	3 of 3 - Sale <sup>25</sup>
07/09/20	200.000	1,225.93	Various	1,384.52	105.32 W	-53.27	Total of 3 transactions
	<b>Security total:</b>	<b>1,989.91</b>		<b>2,226.87</b>	<b>105.32 W</b>	<b>-131.64</b>	

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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OBSEVA SA ORDINARY SHARES / CUSIP: H5861P103 / Symbol:							
2 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	50.000	146.25	05/05/20	145.75	...	0.50	1 of 2 - Sale <sup>25</sup>
	200.000	584.98	05/05/20	574.00	...	10.98	2 of 2 - Sale <sup>25</sup>
05/06/20	250.000	731.23	Various	719.75	...	11.48	Total of 2 transactions
07/14/20	150.000	422.26	07/14/20	418.49	...	3.77	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,153.49</b>		<b>1,138.24</b>	...	<b>15.25</b>	
INTELSAT S A COMMON STOCK / CUSIP: L5140P101 / Symbol:							
2 transactions for 05/04/20. Total proceeds and cost reported to the IRS.							
	250.000	302.46	04/30/20	357.15	...	-54.69	1 of 2 - Sale <sup>25</sup>
	250.000	302.46	05/01/20	332.13	...	-29.67	2 of 2 - Sale <sup>25</sup>
05/04/20	500.000	604.92	Various	689.28	...	-84.36	Total of 2 transactions
NEXA RESOURCES S.A. COMMON SHA RES / CUSIP: L67359106 / Symbol:							
04/29/20	100.000	437.99	04/28/20	406.52	...	31.47	Sale <sup>25</sup>
NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol:							
10 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	0.444	13.27	09/18/20	15.08	1.81 W	0.00	1 of 10 - Sale <sup>25</sup>
	0.321	9.59	09/18/20	10.92	1.33 W	0.00	2 of 10 - Sale <sup>25</sup>
	0.444	13.26	09/18/20	16.99	3.73 W	0.00	3 of 10 - Sale <sup>25</sup>
	9.556	285.37	09/18/20	326.82	41.45 W	0.00	4 of 10 - Sale <sup>25</sup>
	100.000	2,986.24	09/18/20	3,420.00	433.76 W	0.00	5 of 10 - Sale <sup>25</sup>
	0.847	25.28	09/18/20	29.00	3.72 W	0.00	6 of 10 - Sale <sup>25</sup>
	99.000	2,956.38	09/18/20	3,415.50	459.12 W	0.00	7 of 10 - Sale <sup>25</sup>
	1.000	29.86	09/18/20	34.50	4.64 W	0.00	8 of 10 - Sale <sup>25</sup>
	29.000	866.01	09/18/20	1,000.50	134.49 W	0.00	9 of 10 - Sale <sup>25</sup>
	0.832	24.85	09/18/20	29.00	4.15 W	0.00	10 of 10 - Sale <sup>25</sup>
09/21/20	241.444	7,210.11	Various	8,298.31	1,088.20 W	0.00	Total of 10 transactions
88 transactions for 09/22/20. Total proceeds and cost reported to the IRS.							
	0.209	4.74	09/21/20	6.87	2.13 W	0.00	1 of 88 - Sale <sup>25</sup>
	0.444	10.05	09/21/20	16.54	6.49 W	0.00	2 of 88 - Sale <sup>25</sup>
	0.112	2.53	09/21/20	3.69	1.16 W	0.00	3 of 88 - Sale <sup>25</sup>
	3.888	88.06	09/21/20	129.04	40.98 W	0.00	4 of 88 - Sale <sup>25</sup>

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(continued)

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NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol: (cont'd)							
	9.556	216.44	09/21/20	317.14	100.70 W	0.00	5 of 88 - Sale <sup>25</sup>
	36.000	815.38	09/21/20	1,194.75	379.37 W	0.00	6 of 88 - Sale <sup>25</sup>
	11.000	249.14	09/21/20	364.73	115.59 W	0.00	7 of 88 - Sale <sup>25</sup>
	0.216	6.01	09/22/20	6.30	0.29 W	0.00	8 of 88 - Sale <sup>25</sup>
	0.847	23.50	09/22/20	24.72	1.22 W	0.00	9 of 88 - Sale <sup>25</sup>
	48.896	1,357.30	09/22/20	1,424.70	67.40 W	0.00	10 of 88 - Sale <sup>25</sup>
	50.041	1,389.12	09/22/20	1,473.10	83.98 W	0.00	11 of 88 - Sale <sup>25</sup>
	0.216	6.00	09/22/20	6.43	0.43 W	0.00	12 of 88 - Sale <sup>25</sup>
	10.000	277.60	09/22/20	297.38	19.78 W	0.00	13 of 88 - Sale <sup>25</sup>
	1.000	27.71	09/22/20	29.74	2.03 W	0.00	14 of 88 - Sale <sup>25</sup>
	19.041	527.63	09/22/20	566.25	38.62 W	0.00	15 of 88 - Sale <sup>25</sup>
	38.742	1,073.52	09/22/20	1,152.10	78.58 W	0.00	16 of 88 - Sale <sup>25</sup>
	3.000	83.13	09/22/20	88.01	4.88 W	0.00	17 of 88 - Sale <sup>25</sup>
	0.444	12.30	09/22/20	17.94	5.64 W	0.00	18 of 88 - Sale <sup>25</sup>
	0.112	3.10	09/22/20	4.04	0.94 W	0.00	19 of 88 - Sale <sup>25</sup>
	0.209	5.80	09/22/20	7.53	1.73 W	0.00	20 of 88 - Sale <sup>25</sup>
	5.444	150.86	09/22/20	197.84	46.98 W	0.00	21 of 88 - Sale <sup>25</sup>
	0.832	23.05	09/22/20	25.62	2.57 W	0.00	22 of 88 - Sale <sup>25</sup>
	6.959	192.82	09/22/20	211.80	18.98 W	0.00	23 of 88 - Sale <sup>25</sup>
	1.216	33.70	09/22/20	45.82	12.12 W	0.00	24 of 88 - Sale <sup>25</sup>
	2.896	80.24	09/22/20	111.82	31.58 W	0.00	25 of 88 - Sale <sup>25</sup>
	3.888	107.74	09/22/20	150.16	42.42 W	0.00	26 of 88 - Sale <sup>25</sup>
	11.000	304.80	09/22/20	424.47	119.67 W	0.00	27 of 88 - Sale <sup>25</sup>
	36.000	997.53	09/22/20	1,390.25	392.72 W	0.00	28 of 88 - Sale <sup>25</sup>
	0.216	5.99	09/22/20	6.36	0.37 W	0.00	29 of 88 - Sale <sup>25</sup>
	46.000	1,274.62	09/22/20	1,355.09	80.47 W	0.00	30 of 88 - Sale <sup>25</sup>
	0.847	23.46	09/22/20	25.00	1.54 W	0.00	31 of 88 - Sale <sup>25</sup>
	2.896	80.24	09/22/20	85.30	5.06 W	0.00	32 of 88 - Sale <sup>25</sup>
	7.258	201.10	09/22/20	215.97	14.87 W	0.00	33 of 88 - Sale <sup>25</sup>
	5.000	138.80	09/22/20	148.79	9.99 W	0.00	34 of 88 - Sale <sup>25</sup>
	2.000	55.52	09/22/20	59.60	4.08 W	0.00	35 of 88 - Sale <sup>25</sup>
	34.000	943.81	09/22/20	1,011.78	67.97 W	0.00	36 of 88 - Sale <sup>25</sup>
	0.444	12.32	09/22/20	18.29	5.97 W	0.00	37 of 88 - Sale <sup>25</sup>
	0.112	3.10	09/22/20	4.13	1.03 W	0.00	38 of 88 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol: (cont'd)							
	0.209	5.81	09/22/20	7.70	1.89 W	0.00	39 of 88 - Sale <sup>25</sup>
	1.216	33.76	09/22/20	46.78	13.02 W	0.00	40 of 88 - Sale <sup>25</sup>
	2.896	80.38	09/22/20	114.11	33.73 W	0.00	41 of 88 - Sale <sup>25</sup>
	3.888	107.93	09/22/20	153.23	45.30 W	0.00	42 of 88 - Sale <sup>25</sup>
	5.444	151.13	09/22/20	202.14	51.01 W	0.00	43 of 88 - Sale <sup>25</sup>
	7.216	200.32	09/22/20	284.38	84.06 W	0.00	44 of 88 - Sale <sup>25</sup>
	0.216	6.00	09/22/20	6.59	0.59 W	0.00	45 of 88 - Sale <sup>25</sup>
	1.784	49.52	09/22/20	53.83	4.31 W	0.00	46 of 88 - Sale <sup>25</sup>
	10.000	277.59	09/22/20	304.78	27.19 W	0.00	47 of 88 - Sale <sup>25</sup>
	30.574	848.73	09/22/20	933.39	84.66 W	0.00	48 of 88 - Sale <sup>25</sup>
	0.832	23.10	09/22/20	26.28	3.18 W	0.00	49 of 88 - Sale <sup>25</sup>
	1.000	27.76	09/22/20	30.53	2.77 W	0.00	50 of 88 - Sale <sup>25</sup>
	3.000	83.28	09/22/20	90.38	7.10 W	0.00	51 of 88 - Sale <sup>25</sup>
	6.959	193.16	09/22/20	217.30	24.14 W	0.00	52 of 88 - Sale <sup>25</sup>
	19.041	528.57	09/22/20	581.30	52.73 W	0.00	53 of 88 - Sale <sup>25</sup>
	8.168	226.74	09/22/20	249.35	22.61 W	0.00	54 of 88 - Sale <sup>25</sup>
	11.000	305.35	09/22/20	433.17	127.82 W	0.00	55 of 88 - Sale <sup>25</sup>
	28.784	799.01	09/22/20	1,134.34	335.33 W	0.00	56 of 88 - Sale <sup>25</sup>
	0.216	6.00	09/22/20	6.53	0.53 W	0.00	57 of 88 - Sale <sup>25</sup>
	6.000	166.56	09/22/20	181.50	14.94 W	0.00	58 of 88 - Sale <sup>25</sup>
	0.444	12.04	09/22/20	18.40	6.36 W	0.00	59 of 88 - Sale <sup>25</sup>
	0.112	3.03	09/22/20	4.16	1.13 W	0.00	60 of 88 - Sale <sup>25</sup>
	0.209	5.68	09/22/20	7.75	2.07 W	0.00	61 of 88 - Sale <sup>25</sup>
	1.216	33.00	09/22/20	47.07	14.07 W	0.00	62 of 88 - Sale <sup>25</sup>
	2.896	78.56	09/22/20	114.81	36.25 W	0.00	63 of 88 - Sale <sup>25</sup>
	3.888	105.49	09/22/20	154.17	48.68 W	0.00	64 of 88 - Sale <sup>25</sup>
	5.444	147.71	09/22/20	203.45	55.74 W	0.00	65 of 88 - Sale <sup>25</sup>
	7.216	195.78	09/22/20	286.11	90.33 W	0.00	66 of 88 - Sale <sup>25</sup>
	11.000	298.45	09/22/20	435.82	137.37 W	0.00	67 of 88 - Sale <sup>25</sup>
	28.784	780.95	09/22/20	1,141.28	360.33 W	0.00	68 of 88 - Sale <sup>25</sup>
	0.216	5.86	09/22/20	6.64	0.78 W	0.00	69 of 88 - Sale <sup>25</sup>
	0.216	5.86	09/22/20	6.58	0.72 W	0.00	70 of 88 - Sale <sup>25</sup>
	0.832	22.57	09/22/20	26.48	3.91 W	0.00	71 of 88 - Sale <sup>25</sup>
	0.847	22.97	09/22/20	25.25	2.28 W	0.00	72 of 88 - Sale <sup>25</sup>

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NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol: (cont'd)							
	1.000	27.13	09/22/20	30.77	3.64 W	0.00	73 of 88 - Sale <sup>25</sup>
	1.784	48.40	09/22/20	54.26	5.86 W	0.00	74 of 88 - Sale <sup>25</sup>
	2.000	54.26	09/22/20	60.08	5.82 W	0.00	75 of 88 - Sale <sup>25</sup>
	2.896	78.56	09/22/20	86.14	7.58 W	0.00	76 of 88 - Sale <sup>25</sup>
	3.000	81.39	09/22/20	91.10	9.71 W	0.00	77 of 88 - Sale <sup>25</sup>
	5.000	135.66	09/22/20	149.99	14.33 W	0.00	78 of 88 - Sale <sup>25</sup>
	6.000	162.79	09/22/20	182.94	20.15 W	0.00	79 of 88 - Sale <sup>25</sup>
	6.959	188.80	09/22/20	218.98	30.18 W	0.00	80 of 88 - Sale <sup>25</sup>
	7.258	196.91	09/22/20	218.08	21.17 W	0.00	81 of 88 - Sale <sup>25</sup>
	8.168	221.61	09/22/20	251.31	29.70 W	0.00	82 of 88 - Sale <sup>25</sup>
	10.000	271.32	09/22/20	307.19	35.87 W	0.00	83 of 88 - Sale <sup>25</sup>
	19.041	516.62	09/22/20	585.89	69.27 W	0.00	84 of 88 - Sale <sup>25</sup>
	30.574	829.53	09/22/20	940.74	111.21 W	0.00	85 of 88 - Sale <sup>25</sup>
	34.000	922.47	09/22/20	1,019.97	97.50 W	0.00	86 of 88 - Sale <sup>25</sup>
	40.000	1,085.26	09/22/20	1,189.97	104.71 W	0.00	87 of 88 - Sale <sup>25</sup>
	239.000	6,484.45	09/22/20	6,692.01	207.56 W	0.00	88 of 88 - Sale <sup>25</sup>
09/22/20	1,029.426	27,952.57	Various	32,234.09	4,281.52 W	0.00	Total of 88 transactions
	4 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	9.000	255.95	09/22/20	254.87	...	1.08	1 of 4 - Sale <sup>25</sup>
	22.000	625.66	09/22/20	620.80	...	4.86	2 of 4 - Sale <sup>25</sup>
	100.000	2,843.92	09/22/20	2,831.84	...	12.08	3 of 4 - Sale <sup>25</sup>
	100.000	2,843.93	09/22/20	2,831.85	...	12.08	4 of 4 - Sale <sup>25</sup>
09/23/20	231.000	6,569.46	Various	6,539.36	...	30.10	Total of 4 transactions
	45 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	0.444	12.60	09/22/20	18.54	5.94 W	0.00	1 of 45 - Sale <sup>25</sup>
	0.112	3.17	09/22/20	4.20	1.03 W	0.00	2 of 45 - Sale <sup>25</sup>
	0.209	5.94	09/22/20	7.82	1.88 W	0.00	3 of 45 - Sale <sup>25</sup>
	1.216	34.51	09/22/20	47.45	12.94 W	0.00	4 of 45 - Sale <sup>25</sup>
	2.896	82.18	09/22/20	115.74	33.56 W	0.00	5 of 45 - Sale <sup>25</sup>
	2.679	76.03	09/22/20	107.07	31.04 W	0.00	6 of 45 - Sale <sup>25</sup>
	5.444	154.51	09/22/20	205.19	50.68 W	0.00	7 of 45 - Sale <sup>25</sup>
	1.209	34.28	09/22/20	48.34	14.06 W	0.00	8 of 45 - Sale <sup>25</sup>
	7.216	204.50	09/22/20	288.41	83.91 W	0.00	9 of 45 - Sale <sup>25</sup>
	11.000	311.73	09/22/20	439.32	127.59 W	0.00	10 of 45 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol: (cont'd)							
28.784		815.71	09/22/20	1,150.45	334.74 W	0.00	11 of 45 - Sale <sup>25</sup>
0.847		23.99	09/22/20	25.52	1.53 W	0.00	12 of 45 - Sale <sup>25</sup>
2.896		82.06	09/22/20	87.07	5.01 W	0.00	13 of 45 - Sale <sup>25</sup>
0.791		22.40	09/22/20	23.97	1.57 W	0.00	14 of 45 - Sale <sup>25</sup>
7.258		205.68	09/22/20	220.39	14.71 W	0.00	15 of 45 - Sale <sup>25</sup>
40.000		1,133.57	09/22/20	1,202.71	69.14 W	0.00	16 of 45 - Sale <sup>25</sup>
4.209		119.63	09/22/20	127.61	7.98 W	0.00	17 of 45 - Sale <sup>25</sup>
32.791		931.88	09/22/20	994.13	62.25 W	0.00	18 of 45 - Sale <sup>25</sup>
0.216		6.14	09/22/20	6.71	0.57 W	0.00	19 of 45 - Sale <sup>25</sup>
1.000		28.42	09/22/20	31.09	2.67 W	0.00	20 of 45 - Sale <sup>25</sup>
1.209		34.37	09/22/20	36.67	2.30 W	0.00	21 of 45 - Sale <sup>25</sup>
1.784		50.70	09/22/20	54.83	4.13 W	0.00	22 of 45 - Sale <sup>25</sup>
2.000		56.84	09/22/20	60.72	3.88 W	0.00	23 of 45 - Sale <sup>25</sup>
8.168		232.13	09/22/20	253.91	21.78 W	0.00	24 of 45 - Sale <sup>25</sup>
10.000		284.19	09/22/20	310.37	26.18 W	0.00	25 of 45 - Sale <sup>25</sup>
8.048		228.72	09/22/20	250.20	21.48 W	0.00	26 of 45 - Sale <sup>25</sup>
30.574		868.90	09/22/20	950.48	81.58 W	0.00	27 of 45 - Sale <sup>25</sup>
0.216		6.15	09/22/20	6.65	0.50 W	0.00	28 of 45 - Sale <sup>25</sup>
0.832		23.66	09/22/20	26.75	3.09 W	0.00	29 of 45 - Sale <sup>25</sup>
3.000		85.32	09/22/20	92.06	6.74 W	0.00	30 of 45 - Sale <sup>25</sup>
6.000		170.64	09/22/20	184.85	14.21 W	0.00	31 of 45 - Sale <sup>25</sup>
6.959		197.89	09/22/20	221.19	23.30 W	0.00	32 of 45 - Sale <sup>25</sup>
10.993		312.64	09/22/20	341.76	29.12 W	0.00	33 of 45 - Sale <sup>25</sup>
4.000		124.12	09/23/20	132.24	8.12 W	0.00	34 of 45 - Sale <sup>25</sup>
0.444		13.77	09/23/20	20.32	6.55 W	0.00	35 of 45 - Sale <sup>25</sup>
0.112		3.47	09/23/20	4.65	1.18 W	0.00	36 of 45 - Sale <sup>25</sup>
0.209		6.50	09/23/20	8.67	2.17 W	0.00	37 of 45 - Sale <sup>25</sup>
1.209		37.53	09/23/20	53.25	15.72 W	0.00	38 of 45 - Sale <sup>25</sup>
1.216		37.74	09/23/20	52.34	14.60 W	0.00	39 of 45 - Sale <sup>25</sup>
2.679		83.12	09/23/20	117.83	34.71 W	0.00	40 of 45 - Sale <sup>25</sup>
2.896		89.85	09/23/20	127.38	37.53 W	0.00	41 of 45 - Sale <sup>25</sup>
5.444		168.93	09/23/20	227.08	58.15 W	0.00	42 of 45 - Sale <sup>25</sup>
7.216		223.91	09/23/20	317.71	93.80 W	0.00	43 of 45 - Sale <sup>25</sup>
11.574		359.14	09/23/20	509.61	150.47 W	0.00	44 of 45 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol: (cont'd)							
	4.000	124.12	09/23/20	133.07	8.95 W	0.00	45 of 45 - Sale <sup>25</sup>
09/23/20	282.000	8,113.28	Various	9,646.32	1,533.04 W	0.00	Total of 45 transactions
	2 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	100.000	3,759.91	10/02/20	3,710.00	...	49.91	1 of 2 - Sale <sup>25</sup>
	151.000	5,677.45	10/02/20	5,602.10	...	75.35	2 of 2 - Sale <sup>25</sup>
10/02/20	251.000	9,437.36	Various	9,312.10	...	125.26	Total of 2 transactions
	185 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	1.000	33.58	10/02/20	45.06	11.48 W	0.00	1 of 185 - Sale <sup>25</sup>
	11.000	369.37	10/02/20	498.29	128.92 W	0.00	2 of 185 - Sale <sup>25</sup>
	16.209	544.30	10/02/20	734.77	190.47 W	0.00	3 of 185 - Sale <sup>25</sup>
	20.791	698.13	10/02/20	736.58	38.45 W	0.00	4 of 185 - Sale <sup>25</sup>
	0.847	28.20	10/02/20	29.39	1.19 W	0.00	5 of 185 - Sale <sup>25</sup>
	2.048	68.21	10/02/20	71.53	3.32 W	0.00	6 of 185 - Sale <sup>25</sup>
	2.896	96.43	10/02/20	100.28	3.85 W	0.00	7 of 185 - Sale <sup>25</sup>
	19.209	639.71	10/02/20	665.19	25.48 W	0.00	8 of 185 - Sale <sup>25</sup>
	0.791	26.33	10/02/20	27.54	1.21 W	0.00	9 of 185 - Sale <sup>25</sup>
	4.209	140.18	10/02/20	146.26	6.08 W	0.00	10 of 185 - Sale <sup>25</sup>
	5.209	173.48	10/02/20	181.69	8.21 W	0.00	11 of 185 - Sale <sup>25</sup>
	6.791	226.13	10/02/20	235.96	9.83 W	0.00	12 of 185 - Sale <sup>25</sup>
	8.000	266.41	10/02/20	277.99	11.58 W	0.00	13 of 185 - Sale <sup>25</sup>
	0.048	1.79	10/02/20	1.93	0.14 W	0.00	14 of 185 - Sale <sup>25</sup>
	0.216	8.04	10/02/20	8.64	0.60 W	0.00	15 of 185 - Sale <sup>25</sup>
	1.209	45.01	10/02/20	47.47	2.46 W	0.00	16 of 185 - Sale <sup>25</sup>
	1.784	66.38	10/02/20	70.76	4.38 W	0.00	17 of 185 - Sale <sup>25</sup>
	2.000	74.42	10/02/20	78.58	4.16 W	0.00	18 of 185 - Sale <sup>25</sup>
	8.168	303.95	10/02/20	326.85	22.90 W	0.00	19 of 185 - Sale <sup>25</sup>
	10.000	372.12	10/02/20	399.68	27.56 W	0.00	20 of 185 - Sale <sup>25</sup>
	18.000	669.82	10/02/20	706.47	36.65 W	0.00	21 of 185 - Sale <sup>25</sup>
	30.574	1,137.74	10/02/20	1,223.54	85.80 W	0.00	22 of 185 - Sale <sup>25</sup>
	0.952	35.42	10/02/20	38.09	2.67 W	0.00	23 of 185 - Sale <sup>25</sup>
	2.048	76.22	10/02/20	81.97	5.75 W	0.00	24 of 185 - Sale <sup>25</sup>
	0.216	8.04	10/02/20	8.65	0.61 W	0.00	25 of 185 - Sale <sup>25</sup>
	0.832	30.96	10/02/20	34.48	3.52 W	0.00	26 of 185 - Sale <sup>25</sup>
	3.000	111.64	10/02/20	119.92	8.28 W	0.00	27 of 185 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol: (cont'd)							
	6.000	223.27	10/02/20	242.36	19.09 W	0.00	28 of 185 - Sale <sup>25</sup>
	6.000	223.27	10/02/20	240.56	17.29 W	0.00	29 of 185 - Sale <sup>25</sup>
	6.959	258.94	10/02/20	285.81	26.87 W	0.00	30 of 185 - Sale <sup>25</sup>
	10.993	409.08	10/02/20	443.84	34.76 W	0.00	31 of 185 - Sale <sup>25</sup>
	4.000	148.85	10/02/20	159.02	10.17 W	0.00	32 of 185 - Sale <sup>25</sup>
	0.444	16.52	10/02/20	23.26	6.74 W	0.00	33 of 185 - Sale <sup>25</sup>
	0.112	4.16	10/02/20	5.39	1.23 W	0.00	34 of 185 - Sale <sup>25</sup>
	0.209	7.79	10/02/20	10.06	2.27 W	0.00	35 of 185 - Sale <sup>25</sup>
	1.000	37.21	10/02/20	49.13	11.92 W	0.00	36 of 185 - Sale <sup>25</sup>
	1.209	45.01	10/02/20	61.26	16.25 W	0.00	37 of 185 - Sale <sup>25</sup>
	1.216	45.26	10/02/20	60.39	15.13 W	0.00	38 of 185 - Sale <sup>25</sup>
	2.679	99.68	10/02/20	135.56	35.88 W	0.00	39 of 185 - Sale <sup>25</sup>
	2.896	107.75	10/02/20	146.55	38.80 W	0.00	40 of 185 - Sale <sup>25</sup>
	5.444	202.59	10/02/20	263.13	60.54 W	0.00	41 of 185 - Sale <sup>25</sup>
	7.216	268.53	10/02/20	365.49	96.96 W	0.00	42 of 185 - Sale <sup>25</sup>
	11.574	430.71	10/02/20	586.24	155.53 W	0.00	43 of 185 - Sale <sup>25</sup>
	12.000	446.54	10/02/20	592.81	146.27 W	0.00	44 of 185 - Sale <sup>25</sup>
	4.000	148.85	10/02/20	159.55	10.70 W	0.00	45 of 185 - Sale <sup>25</sup>
	4.209	156.64	10/02/20	207.95	51.31 W	0.00	46 of 185 - Sale <sup>25</sup>
	11.000	409.33	10/02/20	543.07	133.74 W	0.00	47 of 185 - Sale <sup>25</sup>
	0.791	29.42	10/02/20	30.97	1.55 W	0.00	48 of 185 - Sale <sup>25</sup>
	0.847	31.51	10/02/20	33.07	1.56 W	0.00	49 of 185 - Sale <sup>25</sup>
	2.048	76.22	10/02/20	80.43	4.21 W	0.00	50 of 185 - Sale <sup>25</sup>
	2.896	107.75	10/02/20	112.87	5.12 W	0.00	51 of 185 - Sale <sup>25</sup>
	3.791	141.05	10/02/20	148.20	7.15 W	0.00	52 of 185 - Sale <sup>25</sup>
	4.209	156.64	10/02/20	164.57	7.93 W	0.00	53 of 185 - Sale <sup>25</sup>
	5.209	193.85	10/02/20	204.35	10.50 W	0.00	54 of 185 - Sale <sup>25</sup>
	19.209	714.83	10/02/20	748.72	33.89 W	0.00	55 of 185 - Sale <sup>25</sup>
	20.791	773.66	10/02/20	821.21	47.55 W	0.00	56 of 185 - Sale <sup>25</sup>
	0.444	16.69	10/02/20	23.21	6.52 W	0.00	57 of 185 - Sale <sup>25</sup>
	0.112	4.20	10/02/20	5.38	1.18 W	0.00	58 of 185 - Sale <sup>25</sup>
	0.209	7.88	10/02/20	10.04	2.16 W	0.00	59 of 185 - Sale <sup>25</sup>
	1.000	37.60	10/02/20	49.02	11.42 W	0.00	60 of 185 - Sale <sup>25</sup>
	1.209	45.47	10/02/20	61.12	15.65 W	0.00	61 of 185 - Sale <sup>25</sup>

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Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol: (cont'd)							
	1.216	45.73	10/02/20	60.25	14.52 W	0.00	62 of 185 - Sale <sup>25</sup>
	2.679	100.72	10/02/20	135.26	34.54 W	0.00	63 of 185 - Sale <sup>25</sup>
	2.896	108.87	10/02/20	146.23	37.36 W	0.00	64 of 185 - Sale <sup>25</sup>
	4.209	158.27	10/02/20	207.48	49.21 W	0.00	65 of 185 - Sale <sup>25</sup>
	5.444	204.70	10/02/20	262.52	57.82 W	0.00	66 of 185 - Sale <sup>25</sup>
	7.216	271.32	10/02/20	364.68	93.36 W	0.00	67 of 185 - Sale <sup>25</sup>
	11.000	413.59	10/02/20	541.84	128.25 W	0.00	68 of 185 - Sale <sup>25</sup>
	11.574	435.19	10/02/20	584.94	149.75 W	0.00	69 of 185 - Sale <sup>25</sup>
	12.000	451.19	10/02/20	591.47	140.28 W	0.00	70 of 185 - Sale <sup>25</sup>
	0.048	1.81	10/02/20	1.93	0.12 W	0.00	71 of 185 - Sale <sup>25</sup>
	0.216	8.13	10/02/20	8.62	0.49 W	0.00	72 of 185 - Sale <sup>25</sup>
	0.216	8.13	10/02/20	8.63	0.50 W	0.00	73 of 185 - Sale <sup>25</sup>
	0.832	31.28	10/02/20	34.39	3.11 W	0.00	74 of 185 - Sale <sup>25</sup>
	0.952	35.79	10/02/20	37.98	2.19 W	0.00	75 of 185 - Sale <sup>25</sup>
	1.209	45.47	10/02/20	47.33	1.86 W	0.00	76 of 185 - Sale <sup>25</sup>
	1.784	67.07	10/02/20	70.56	3.49 W	0.00	77 of 185 - Sale <sup>25</sup>
	2.000	75.20	10/02/20	78.36	3.16 W	0.00	78 of 185 - Sale <sup>25</sup>
	2.048	77.01	10/02/20	81.74	4.73 W	0.00	79 of 185 - Sale <sup>25</sup>
	3.000	112.80	10/02/20	115.64	2.84 W	0.00	80 of 185 - Sale <sup>25</sup>
	3.000	112.80	10/02/20	119.58	6.78 W	0.00	81 of 185 - Sale <sup>25</sup>
	6.000	225.59	10/02/20	241.69	16.10 W	0.00	82 of 185 - Sale <sup>25</sup>
	6.000	225.59	10/02/20	239.89	14.30 W	0.00	83 of 185 - Sale <sup>25</sup>
	6.959	261.63	10/02/20	285.03	23.40 W	0.00	84 of 185 - Sale <sup>25</sup>
	8.000	300.79	10/02/20	308.38	7.59 W	0.00	85 of 185 - Sale <sup>25</sup>
	8.168	307.11	10/02/20	325.93	18.82 W	0.00	86 of 185 - Sale <sup>25</sup>
	10.000	375.99	10/02/20	398.56	22.57 W	0.00	87 of 185 - Sale <sup>25</sup>
	10.993	413.34	10/02/20	442.61	29.27 W	0.00	88 of 185 - Sale <sup>25</sup>
	18.000	676.78	10/02/20	704.45	27.67 W	0.00	89 of 185 - Sale <sup>25</sup>
	18.791	706.51	10/02/20	740.11	33.60 W	0.00	90 of 185 - Sale <sup>25</sup>
	30.574	1,149.57	10/02/20	1,220.11	70.54 W	0.00	91 of 185 - Sale <sup>25</sup>
	0.791	29.72	10/02/20	30.88	1.16 W	0.00	92 of 185 - Sale <sup>25</sup>
	0.847	31.84	10/02/20	32.97	1.13 W	0.00	93 of 185 - Sale <sup>25</sup>
	2.048	77.01	10/02/20	80.20	3.19 W	0.00	94 of 185 - Sale <sup>25</sup>
	2.896	108.87	10/02/20	112.55	3.68 W	0.00	95 of 185 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol: (cont'd)							
	3.791	142.52	10/02/20	147.78	5.26 W	0.00	96 of 185 - Sale <sup>25</sup>
	4.209	158.27	10/02/20	164.10	5.83 W	0.00	97 of 185 - Sale <sup>25</sup>
	5.209	195.87	10/02/20	203.77	7.90 W	0.00	98 of 185 - Sale <sup>25</sup>
	19.209	722.26	10/02/20	746.56	24.30 W	0.00	99 of 185 - Sale <sup>25</sup>
	2.000	75.20	10/02/20	78.77	3.57 W	0.00	100 of 185 - Sale <sup>25</sup>
	4.000	150.40	10/02/20	158.57	8.17 W	0.00	101 of 185 - Sale <sup>25</sup>
	4.000	150.40	10/02/20	159.10	8.70 W	0.00	102 of 185 - Sale <sup>25</sup>
	0.444	16.69	10/02/20	23.17	6.48 W	0.00	103 of 185 - Sale <sup>25</sup>
	0.112	4.20	10/02/20	5.37	1.17 W	0.00	104 of 185 - Sale <sup>25</sup>
	0.209	7.88	10/02/20	10.01	2.13 W	0.00	105 of 185 - Sale <sup>25</sup>
	1.000	37.60	10/02/20	48.92	11.32 W	0.00	106 of 185 - Sale <sup>25</sup>
	1.209	45.47	10/02/20	61.00	15.53 W	0.00	107 of 185 - Sale <sup>25</sup>
	1.216	45.73	10/02/20	60.13	14.40 W	0.00	108 of 185 - Sale <sup>25</sup>
	2.679	100.72	10/02/20	134.99	34.27 W	0.00	109 of 185 - Sale <sup>25</sup>
	2.896	108.87	10/02/20	145.95	37.08 W	0.00	110 of 185 - Sale <sup>25</sup>
	4.209	158.27	10/02/20	207.06	48.79 W	0.00	111 of 185 - Sale <sup>25</sup>
	5.444	204.70	10/02/20	261.98	57.28 W	0.00	112 of 185 - Sale <sup>25</sup>
	7.216	271.32	10/02/20	363.97	92.65 W	0.00	113 of 185 - Sale <sup>25</sup>
	11.000	413.59	10/02/20	540.75	127.16 W	0.00	114 of 185 - Sale <sup>25</sup>
	11.574	435.19	10/02/20	583.79	148.60 W	0.00	115 of 185 - Sale <sup>25</sup>
	12.000	451.19	10/02/20	590.28	139.09 W	0.00	116 of 185 - Sale <sup>25</sup>
	0.216	8.13	10/02/20	8.60	0.47 W	0.00	117 of 185 - Sale <sup>25</sup>
	1.209	45.47	10/02/20	47.21	1.74 W	0.00	118 of 185 - Sale <sup>25</sup>
	1.784	67.07	10/02/20	70.39	3.32 W	0.00	119 of 185 - Sale <sup>25</sup>
	2.000	75.20	10/02/20	78.16	2.96 W	0.00	120 of 185 - Sale <sup>25</sup>
	3.000	112.80	10/02/20	115.34	2.54 W	0.00	121 of 185 - Sale <sup>25</sup>
	8.000	300.79	10/02/20	307.59	6.80 W	0.00	122 of 185 - Sale <sup>25</sup>
	4.581	172.24	10/02/20	182.13	9.89 W	0.00	123 of 185 - Sale <sup>25</sup>
	18.000	676.78	10/02/20	702.67	25.89 W	0.00	124 of 185 - Sale <sup>25</sup>
	0.048	1.81	10/02/20	1.93	0.12 W	0.00	125 of 185 - Sale <sup>25</sup>
	0.952	35.79	10/02/20	37.88	2.09 W	0.00	126 of 185 - Sale <sup>25</sup>
	2.048	77.01	10/02/20	81.54	4.53 W	0.00	127 of 185 - Sale <sup>25</sup>
	2.791	104.92	10/02/20	112.13	7.21 W	0.00	128 of 185 - Sale <sup>25</sup>
	8.168	307.11	10/02/20	325.12	18.01 W	0.00	129 of 185 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol: (cont'd)							
	5.419	203.75	10/02/20	215.44	11.69 W	0.00	130 of 185 - Sale <sup>25</sup>
	30.574	1,149.56	10/02/20	1,217.08	67.52 W	0.00	131 of 185 - Sale <sup>25</sup>
	3.000	112.79	10/02/20	119.35	6.56 W	0.00	132 of 185 - Sale <sup>25</sup>
	0.444	16.53	10/02/20	23.10	6.57 W	0.00	133 of 185 - Sale <sup>25</sup>
	0.112	4.16	10/02/20	5.36	1.20 W	0.00	134 of 185 - Sale <sup>25</sup>
	0.209	7.80	10/02/20	9.97	2.17 W	0.00	135 of 185 - Sale <sup>25</sup>
	1.000	37.24	10/02/20	48.77	11.53 W	0.00	136 of 185 - Sale <sup>25</sup>
	1.209	45.04	10/02/20	60.82	15.78 W	0.00	137 of 185 - Sale <sup>25</sup>
	1.216	45.29	10/02/20	59.94	14.65 W	0.00	138 of 185 - Sale <sup>25</sup>
	2.679	99.75	10/02/20	134.59	34.84 W	0.00	139 of 185 - Sale <sup>25</sup>
	2.896	107.83	10/02/20	145.52	37.69 W	0.00	140 of 185 - Sale <sup>25</sup>
	4.209	156.76	10/02/20	206.44	49.68 W	0.00	141 of 185 - Sale <sup>25</sup>
	5.444	202.74	10/02/20	261.17	58.43 W	0.00	142 of 185 - Sale <sup>25</sup>
	7.216	268.72	10/02/20	362.90	94.18 W	0.00	143 of 185 - Sale <sup>25</sup>
	11.000	409.63	10/02/20	539.11	129.48 W	0.00	144 of 185 - Sale <sup>25</sup>
	11.574	431.02	10/02/20	582.06	151.04 W	0.00	145 of 185 - Sale <sup>25</sup>
	12.000	446.87	10/02/20	588.49	141.62 W	0.00	146 of 185 - Sale <sup>25</sup>
	0.048	1.79	10/02/20	1.92	0.13 W	0.00	147 of 185 - Sale <sup>25</sup>
	0.209	7.80	10/02/20	8.40	0.60 W	0.00	148 of 185 - Sale <sup>25</sup>
	0.216	8.05	10/02/20	8.59	0.54 W	0.00	149 of 185 - Sale <sup>25</sup>
	0.216	8.05	10/02/20	8.56	0.51 W	0.00	150 of 185 - Sale <sup>25</sup>
	0.791	29.44	10/02/20	30.77	1.33 W	0.00	151 of 185 - Sale <sup>25</sup>
	0.832	30.98	10/02/20	34.27	3.29 W	0.00	152 of 185 - Sale <sup>25</sup>
	0.847	31.53	10/02/20	32.84	1.31 W	0.00	153 of 185 - Sale <sup>25</sup>
	0.952	35.45	10/02/20	37.74	2.29 W	0.00	154 of 185 - Sale <sup>25</sup>
	1.209	45.04	10/02/20	47.03	1.99 W	0.00	155 of 185 - Sale <sup>25</sup>
	1.784	66.43	10/02/20	70.13	3.70 W	0.00	156 of 185 - Sale <sup>25</sup>
	2.000	74.48	10/02/20	78.47	3.99 W	0.00	157 of 185 - Sale <sup>25</sup>
	2.000	74.48	10/02/20	77.86	3.38 W	0.00	158 of 185 - Sale <sup>25</sup>
	2.048	76.27	10/02/20	79.89	3.62 W	0.00	159 of 185 - Sale <sup>25</sup>
	2.048	76.27	10/02/20	81.23	4.96 W	0.00	160 of 185 - Sale <sup>25</sup>
	2.791	103.92	10/02/20	111.72	7.80 W	0.00	161 of 185 - Sale <sup>25</sup>
	2.896	107.83	10/02/20	112.12	4.29 W	0.00	162 of 185 - Sale <sup>25</sup>
	3.000	111.72	10/02/20	119.13	7.41 W	0.00	163 of 185 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol: (cont'd)							
	3.000	111.72	10/02/20	114.89	3.17 W	0.00	164 of 185 - Sale <sup>25</sup>
	3.000	111.72	10/02/20	118.91	7.19 W	0.00	165 of 185 - Sale <sup>25</sup>
	3.791	141.16	10/02/20	147.22	6.06 W	0.00	166 of 185 - Sale <sup>25</sup>
	4.209	156.76	10/02/20	163.47	6.71 W	0.00	167 of 185 - Sale <sup>25</sup>
	4.581	170.60	10/02/20	181.45	10.85 W	0.00	168 of 185 - Sale <sup>25</sup>
	5.209	194.00	10/02/20	202.99	8.99 W	0.00	169 of 185 - Sale <sup>25</sup>
	5.419	201.80	10/02/20	214.63	12.83 W	0.00	170 of 185 - Sale <sup>25</sup>
	6.000	223.44	10/02/20	239.00	15.56 W	0.00	171 of 185 - Sale <sup>25</sup>
	6.959	259.13	10/02/20	284.00	24.87 W	0.00	172 of 185 - Sale <sup>25</sup>
	8.000	297.91	10/02/20	306.40	8.49 W	0.00	173 of 185 - Sale <sup>25</sup>
	8.168	304.17	10/02/20	323.91	19.74 W	0.00	174 of 185 - Sale <sup>25</sup>
	10.993	409.38	10/02/20	440.97	31.59 W	0.00	175 of 185 - Sale <sup>25</sup>
	18.000	670.31	10/02/20	699.99	29.68 W	0.00	176 of 185 - Sale <sup>25</sup>
	18.791	699.75	10/02/20	737.31	37.56 W	0.00	177 of 185 - Sale <sup>25</sup>
	19.209	715.35	10/02/20	743.69	28.34 W	0.00	178 of 185 - Sale <sup>25</sup>
	30.574	1,138.57	10/02/20	1,212.54	73.97 W	0.00	179 of 185 - Sale <sup>25</sup>
	4.000	148.96	10/02/20	157.97	9.01 W	0.00	180 of 185 - Sale <sup>25</sup>
	4.000	148.96	10/02/20	158.50	9.54 W	0.00	181 of 185 - Sale <sup>25</sup>
	1.000	37.24	10/02/20	37.45	0.21 W	0.00	182 of 185 - Sale <sup>25</sup>
	20.000	744.78	10/02/20	746.00	1.22 W	0.00	183 of 185 - Sale <sup>25</sup>
	230.000	8,565.01	10/02/20	8,579.00	13.99 W	0.00	184 of 185 - Sale <sup>25</sup>
	154.000	5,734.84	10/02/20	5,821.20	86.36 W	0.00	185 of 185 - Sale <sup>25</sup>
10/02/20	1,393.000	51,636.20	Various	56,553.92	4,917.72 W	0.00	Total of 185 transactions
	<i>101 transactions for 10/05/20. Total proceeds and cost reported to the IRS.</i>						
	38.000	1,497.16	10/05/20	1,757.15	...	-259.99	1 of 101 - Sale <sup>25</sup>
	9.000	354.14	10/05/20	543.49	...	-189.35	2 of 101 - Sale <sup>25</sup>
	11.000	433.39	10/05/20	622.35	...	-188.96	3 of 101 - Sale <sup>25</sup>
	25.000	983.72	10/05/20	1,163.25	...	-179.53	4 of 101 - Sale <sup>25</sup>
	32.000	1,259.15	10/05/20	1,438.65	...	-179.50	5 of 101 - Sale <sup>25</sup>
	25.000	984.97	10/05/20	1,164.03	...	-179.06	6 of 101 - Sale <sup>25</sup>
	25.000	983.72	10/05/20	1,161.52	...	-177.80	7 of 101 - Sale <sup>25</sup>
	24.000	945.57	10/05/20	1,109.77	...	-164.20	8 of 101 - Sale <sup>25</sup>
	17.993	708.92	10/05/20	869.96	...	-161.04	9 of 101 - Sale <sup>25</sup>
	7.216	284.31	10/05/20	435.41	...	-151.10	10 of 101 - Sale <sup>25</sup>

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NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol: (cont'd)							
	19.000	747.63	10/05/20	882.76	...	-135.13	11 of 101 - Sale <sup>25</sup>
	21.000	826.33	10/05/20	944.12	...	-117.79	12 of 101 - Sale <sup>25</sup>
	6.000	236.09	10/05/20	347.16	...	-111.07	13 of 101 - Sale <sup>25</sup>
	15.000	590.24	10/05/20	696.91	...	-106.67	14 of 101 - Sale <sup>25</sup>
	5.209	205.25	10/05/20	302.24	...	-96.99	15 of 101 - Sale <sup>25</sup>
	13.000	511.54	10/05/20	603.32	...	-91.78	16 of 101 - Sale <sup>25</sup>
	9.581	377.48	10/05/20	464.67	...	-87.19	17 of 101 - Sale <sup>25</sup>
	11.791	464.53	10/05/20	547.27	...	-82.74	18 of 101 - Sale <sup>25</sup>
	10.000	393.99	10/05/20	467.40	...	-73.41	19 of 101 - Sale <sup>25</sup>
	8.168	321.81	10/05/20	394.88	...	-73.07	20 of 101 - Sale <sup>25</sup>
	4.209	165.85	10/05/20	238.29	...	-72.44	21 of 101 - Sale <sup>25</sup>
	2.896	114.09	10/05/20	174.63	...	-60.54	22 of 101 - Sale <sup>25</sup>
	3.000	118.05	10/05/20	177.41	...	-59.36	23 of 101 - Sale <sup>25</sup>
	8.000	315.19	10/05/20	371.36	...	-56.17	24 of 101 - Sale <sup>25</sup>
	2.679	105.54	10/05/20	161.50	...	-55.96	25 of 101 - Sale <sup>25</sup>
	2.574	101.43	10/05/20	155.34	...	-53.91	26 of 101 - Sale <sup>25</sup>
	6.000	236.39	10/05/20	289.23	...	-52.84	27 of 101 - Sale <sup>25</sup>
	6.412	252.64	10/05/20	304.76	...	-52.12	28 of 101 - Sale <sup>25</sup>
	7.419	292.30	10/05/20	344.35	...	-52.05	29 of 101 - Sale <sup>25</sup>
	6.209	244.65	10/05/20	296.36	...	-51.71	30 of 101 - Sale <sup>25</sup>
	3.000	118.20	10/05/20	169.82	...	-51.62	31 of 101 - Sale <sup>25</sup>
	5.588	220.15	10/05/20	269.51	...	-49.36	32 of 101 - Sale <sup>25</sup>
	5.419	213.50	10/05/20	262.53	...	-49.03	33 of 101 - Sale <sup>25</sup>
	5.791	228.14	10/05/20	272.20	...	-44.06	34 of 101 - Sale <sup>25</sup>
	7.000	275.79	10/05/20	319.54	...	-43.75	35 of 101 - Sale <sup>25</sup>
	6.000	236.39	10/05/20	278.77	...	-42.38	36 of 101 - Sale <sup>25</sup>
	5.209	205.25	10/05/20	244.52	...	-39.27	37 of 101 - Sale <sup>25</sup>
	4.581	180.49	10/05/20	218.43	...	-37.94	38 of 101 - Sale <sup>25</sup>
	5.209	205.25	10/05/20	241.80	...	-36.55	39 of 101 - Sale <sup>25</sup>
	4.000	157.40	10/05/20	193.51	...	-36.11	40 of 101 - Sale <sup>25</sup>
	3.581	141.09	10/05/20	173.48	...	-32.39	41 of 101 - Sale <sup>25</sup>
	4.209	165.85	10/05/20	194.83	...	-28.98	42 of 101 - Sale <sup>25</sup>
	3.581	141.09	10/05/20	169.55	...	-28.46	43 of 101 - Sale <sup>25</sup>
	3.000	118.20	10/05/20	145.87	...	-27.67	44 of 101 - Sale <sup>25</sup>

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NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol: (cont'd)							
	3.000	118.19	10/05/20	145.05	...	-26.86	45 of 101 - Sale <sup>25</sup>
	3.581	141.09	10/05/20	167.39	...	-26.30	46 of 101 - Sale <sup>25</sup>
	1.209	47.65	10/05/20	72.97	...	-25.32	47 of 101 - Sale <sup>25</sup>
	1.216	47.91	10/05/20	72.15	...	-24.24	48 of 101 - Sale <sup>25</sup>
	3.000	118.20	10/05/20	141.38	...	-23.18	49 of 101 - Sale <sup>25</sup>
	3.000	118.04	10/05/20	140.55	...	-22.51	50 of 101 - Sale <sup>25</sup>
	3.000	118.20	10/05/20	139.24	...	-21.04	51 of 101 - Sale <sup>25</sup>
	1.000	39.35	10/05/20	58.86	...	-19.51	52 of 101 - Sale <sup>25</sup>
	2.419	95.30	10/05/20	114.50	...	-19.20	53 of 101 - Sale <sup>25</sup>
	2.048	80.70	10/05/20	99.03	...	-18.33	54 of 101 - Sale <sup>25</sup>
	2.000	78.70	10/05/20	97.03	...	-18.33	55 of 101 - Sale <sup>25</sup>
	2.000	78.70	10/05/20	97.03	...	-18.33	56 of 101 - Sale <sup>25</sup>
	3.000	118.05	10/05/20	135.46	...	-17.41	57 of 101 - Sale <sup>25</sup>
	2.000	78.80	10/05/20	95.54	...	-16.74	58 of 101 - Sale <sup>25</sup>
	1.791	70.54	10/05/20	87.25	...	-16.71	59 of 101 - Sale <sup>25</sup>
	2.209	87.05	10/05/20	103.75	...	-16.70	60 of 101 - Sale <sup>25</sup>
	2.315	91.19	10/05/20	106.89	...	-15.70	61 of 101 - Sale <sup>25</sup>
	1.784	70.28	10/05/20	85.91	...	-15.63	62 of 101 - Sale <sup>25</sup>
	2.000	78.80	10/05/20	93.87	...	-15.07	63 of 101 - Sale <sup>25</sup>
	2.048	80.70	10/05/20	95.16	...	-14.46	64 of 101 - Sale <sup>25</sup>
	1.371	54.01	10/05/20	66.23	...	-12.22	65 of 101 - Sale <sup>25</sup>
	2.000	78.70	10/05/20	90.32	...	-11.62	66 of 101 - Sale <sup>25</sup>
	2.000	78.70	10/05/20	89.91	...	-11.21	67 of 101 - Sale <sup>25</sup>
	1.581	62.29	10/05/20	73.17	...	-10.88	68 of 101 - Sale <sup>25</sup>
	1.209	47.65	10/05/20	57.73	...	-10.08	69 of 101 - Sale <sup>25</sup>
	0.444	17.49	10/05/20	27.11	...	-9.62	70 of 101 - Sale <sup>25</sup>
	1.000	39.40	10/05/20	49.02	...	-9.62	71 of 101 - Sale <sup>25</sup>
	1.000	39.40	10/05/20	48.45	...	-9.05	72 of 101 - Sale <sup>25</sup>
	0.952	37.50	10/05/20	46.01	...	-8.51	73 of 101 - Sale <sup>25</sup>
	0.832	32.78	10/05/20	40.51	...	-7.73	74 of 101 - Sale <sup>25</sup>
	1.000	39.35	10/05/20	46.66	...	-7.31	75 of 101 - Sale <sup>25</sup>
	1.000	39.35	10/05/20	46.53	...	-7.18	76 of 101 - Sale <sup>25</sup>
	1.000	39.35	10/05/20	46.46	...	-7.11	77 of 101 - Sale <sup>25</sup>
	1.000	39.40	10/05/20	46.46	...	-7.06	78 of 101 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol: (cont'd)							
	1.000	39.35	10/05/20	46.33	...	-6.98	79 of 101 - Sale <sup>25</sup>
	1.000	39.40	10/05/20	45.65	...	-6.25	80 of 101 - Sale <sup>25</sup>
	1.000	39.40	10/05/20	45.50	...	-6.10	81 of 101 - Sale <sup>25</sup>
	1.000	39.35	10/05/20	45.16	...	-5.81	82 of 101 - Sale <sup>25</sup>
	0.847	33.36	10/05/20	39.15	...	-5.79	83 of 101 - Sale <sup>25</sup>
	1.000	39.35	10/05/20	44.96	...	-5.61	84 of 101 - Sale <sup>25</sup>
	0.791	31.15	10/05/20	36.68	...	-5.53	85 of 101 - Sale <sup>25</sup>
	0.235	9.25	10/05/20	13.38	...	-4.13	86 of 101 - Sale <sup>25</sup>
	0.581	22.89	10/05/20	26.98	...	-4.09	87 of 101 - Sale <sup>25</sup>
	0.209	8.25	10/05/20	11.87	...	-3.62	88 of 101 - Sale <sup>25</sup>
	0.216	8.52	10/05/20	10.47	...	-1.95	89 of 101 - Sale <sup>25</sup>
	0.112	4.41	10/05/20	6.36	...	-1.95	90 of 101 - Sale <sup>25</sup>
	0.209	8.25	10/05/20	9.98	...	-1.73	91 of 101 - Sale <sup>25</sup>
	0.216	8.52	10/05/20	10.23	...	-1.71	92 of 101 - Sale <sup>25</sup>
	0.048	1.90	10/05/20	2.35	...	-0.45	93 of 101 - Sale <sup>25</sup>
	2.000	83.68	10/05/20	83.62	...	0.06	94 of 101 - Sale <sup>25</sup>
	2.000	82.90	10/05/20	82.72	...	0.18	95 of 101 - Sale <sup>25</sup>
	18.000	753.10	10/05/20	751.70	...	1.40	96 of 101 - Sale <sup>25</sup>
	1.000	44.16	10/05/20	42.56	...	1.60	97 of 101 - Sale <sup>25</sup>
	1.000	44.16	10/05/20	42.23	...	1.93	98 of 101 - Sale <sup>25</sup>
	32.000	1,338.84	10/05/20	1,336.35	...	2.49	99 of 101 - Sale <sup>25</sup>
	18.000	794.83	10/05/20	766.10	...	28.73	100 of 101 - Sale <sup>25</sup>
	49.000	2,163.70	10/05/20	2,060.98	...	102.72	101 of 101 - Sale <sup>25</sup>
10/05/20	641.000	25,704.39	Various	30,068.79	...	-4,364.40	Total of 101 transactions
360 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	0.444	17.09	10/02/20	23.34	6.25 W	0.00	1 of 360 - Sale <sup>25</sup>
	0.112	4.31	10/02/20	5.42	1.11 W	0.00	2 of 360 - Sale <sup>25</sup>
	0.209	8.06	10/02/20	10.08	2.02 W	0.00	3 of 360 - Sale <sup>25</sup>
	0.235	9.04	10/02/20	11.39	2.35 W	0.00	4 of 360 - Sale <sup>25</sup>
	16.000	630.38	10/05/20	731.98	19.05 W	-82.55	5 of 360 - Sale <sup>25</sup>
	1.000	42.09	10/05/20	53.93	11.84 W	0.00	6 of 360 - Sale <sup>25</sup>
	1.209	50.91	10/05/20	67.06	16.15 W	0.00	7 of 360 - Sale <sup>25</sup>
	1.216	51.19	10/05/20	66.21	15.02 W	0.00	8 of 360 - Sale <sup>25</sup>
	2.679	112.76	10/05/20	148.42	35.66 W	0.00	9 of 360 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol: (cont'd)							
	2.896	121.89	10/05/20	160.47	38.58 W	0.00	10 of 360 - Sale <sup>25</sup>
	4.209	177.19	10/05/20	228.16	50.97 W	0.00	11 of 360 - Sale <sup>25</sup>
	5.209	219.29	10/05/20	276.79	57.50 W	0.00	12 of 360 - Sale <sup>25</sup>
	7.216	303.76	10/05/20	400.14	96.38 W	0.00	13 of 360 - Sale <sup>25</sup>
	11.000	463.03	10/05/20	595.88	132.85 W	0.00	14 of 360 - Sale <sup>25</sup>
	11.574	487.21	10/05/20	641.80	154.59 W	0.00	15 of 360 - Sale <sup>25</sup>
	12.000	505.13	10/05/20	650.42	145.29 W	0.00	16 of 360 - Sale <sup>25</sup>
	0.209	8.82	10/05/20	9.48	0.66 W	0.00	17 of 360 - Sale <sup>25</sup>
	3.000	126.28	10/05/20	134.61	8.33 W	0.00	18 of 360 - Sale <sup>25</sup>
	5.588	235.21	10/05/20	256.89	21.68 W	0.00	19 of 360 - Sale <sup>25</sup>
	10.993	462.75	10/05/20	497.71	34.96 W	0.00	20 of 360 - Sale <sup>25</sup>
	0.216	9.02	10/05/20	9.65	0.63 W	0.00	21 of 360 - Sale <sup>25</sup>
	0.832	34.74	10/05/20	38.36	3.62 W	0.00	22 of 360 - Sale <sup>25</sup>
	1.371	57.23	10/05/20	62.68	5.45 W	0.00	23 of 360 - Sale <sup>25</sup>
	3.581	149.51	10/05/20	160.23	10.72 W	0.00	24 of 360 - Sale <sup>25</sup>
	0.791	33.13	10/05/20	34.77	1.64 W	0.00	25 of 360 - Sale <sup>25</sup>
	0.847	35.49	10/05/20	37.13	1.64 W	0.00	26 of 360 - Sale <sup>25</sup>
	2.000	83.82	10/05/20	88.59	4.77 W	0.00	27 of 360 - Sale <sup>25</sup>
	2.048	85.84	10/05/20	90.26	4.42 W	0.00	28 of 360 - Sale <sup>25</sup>
	2.419	101.37	10/05/20	108.59	7.22 W	0.00	29 of 360 - Sale <sup>25</sup>
	2.896	121.35	10/05/20	126.78	5.43 W	0.00	30 of 360 - Sale <sup>25</sup>
	3.000	125.73	10/05/20	130.07	4.34 W	0.00	31 of 360 - Sale <sup>25</sup>
	3.791	158.86	10/05/20	166.40	7.54 W	0.00	32 of 360 - Sale <sup>25</sup>
	4.209	176.41	10/05/20	184.77	8.36 W	0.00	33 of 360 - Sale <sup>25</sup>
	5.209	218.32	10/05/20	229.35	11.03 W	0.00	34 of 360 - Sale <sup>25</sup>
	8.000	335.27	10/05/20	346.89	11.62 W	0.00	35 of 360 - Sale <sup>25</sup>
	11.791	494.13	10/05/20	518.17	24.04 W	0.00	36 of 360 - Sale <sup>25</sup>
	18.791	787.49	10/05/20	832.40	44.91 W	0.00	37 of 360 - Sale <sup>25</sup>
	19.209	805.05	10/05/20	840.90	35.85 W	0.00	38 of 360 - Sale <sup>25</sup>
	0.048	2.01	10/05/20	2.14	0.13 W	0.00	39 of 360 - Sale <sup>25</sup>
	0.216	9.04	10/05/20	9.53	0.49 W	0.00	40 of 360 - Sale <sup>25</sup>
	0.952	39.82	10/05/20	42.03	2.21 W	0.00	41 of 360 - Sale <sup>25</sup>
	1.209	50.60	10/05/20	52.49	1.89 W	0.00	42 of 360 - Sale <sup>25</sup>
	1.784	74.63	10/05/20	78.18	3.55 W	0.00	43 of 360 - Sale <sup>25</sup>

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Account 527125207

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol: (cont'd)							
	2.000	83.68	10/05/20	86.88	3.20 W	0.00	44 of 360 - Sale <sup>25</sup>
	2.048	85.69	10/05/20	90.47	4.78 W	0.00	45 of 360 - Sale <sup>25</sup>
	2.791	116.75	10/05/20	124.31	7.56 W	0.00	46 of 360 - Sale <sup>25</sup>
	3.000	125.52	10/05/20	132.44	6.92 W	0.00	47 of 360 - Sale <sup>25</sup>
	4.581	191.67	10/05/20	202.11	10.44 W	0.00	48 of 360 - Sale <sup>25</sup>
	5.419	226.72	10/05/20	239.07	12.35 W	0.00	49 of 360 - Sale <sup>25</sup>
	6.209	259.80	10/05/20	269.48	9.68 W	0.00	50 of 360 - Sale <sup>25</sup>
	8.168	341.74	10/05/20	360.75	19.01 W	0.00	51 of 360 - Sale <sup>25</sup>
	30.574	1,279.20	10/05/20	1,350.45	71.25 W	0.00	52 of 360 - Sale <sup>25</sup>
	1.000	41.84	10/05/20	41.96	0.12 W	0.00	53 of 360 - Sale <sup>25</sup>
	4.000	167.36	10/05/20	176.01	8.65 W	0.00	54 of 360 - Sale <sup>25</sup>
	4.000	167.36	10/05/20	176.54	9.18 W	0.00	55 of 360 - Sale <sup>25</sup>
	42.000	1,740.85	10/05/20	1,753.95	13.10 W	0.00	56 of 360 - Sale <sup>25</sup>
	58.000	2,404.04	10/05/20	2,422.13	18.09 W	0.00	57 of 360 - Sale <sup>25</sup>
	28.000	1,160.57	10/05/20	1,169.30	8.73 W	0.00	58 of 360 - Sale <sup>25</sup>
	0.444	19.60	10/05/20	26.07	6.47 W	0.00	59 of 360 - Sale <sup>25</sup>
	0.112	4.94	10/05/20	6.10	1.16 W	0.00	60 of 360 - Sale <sup>25</sup>
	0.209	9.25	10/05/20	11.37	2.12 W	0.00	61 of 360 - Sale <sup>25</sup>
	0.235	10.37	10/05/20	12.84	2.47 W	0.00	62 of 360 - Sale <sup>25</sup>
	1.000	44.16	10/05/20	56.49	12.33 W	0.00	63 of 360 - Sale <sup>25</sup>
	1.209	53.41	10/05/20	70.15	16.74 W	0.00	64 of 360 - Sale <sup>25</sup>
	1.216	53.70	10/05/20	69.32	15.62 W	0.00	65 of 360 - Sale <sup>25</sup>
	2.679	118.29	10/05/20	155.27	36.98 W	0.00	66 of 360 - Sale <sup>25</sup>
	2.896	127.86	10/05/20	167.87	40.01 W	0.00	67 of 360 - Sale <sup>25</sup>
	3.000	132.47	10/05/20	170.27	37.80 W	0.00	68 of 360 - Sale <sup>25</sup>
	5.209	230.03	10/05/20	290.10	60.07 W	0.00	69 of 360 - Sale <sup>25</sup>
	7.216	318.64	10/05/20	418.58	99.94 W	0.00	70 of 360 - Sale <sup>25</sup>
	11.574	511.09	10/05/20	671.39	160.30 W	0.00	71 of 360 - Sale <sup>25</sup>
	153.000	6,756.05	10/05/20	6,917.25	161.20 W	0.00	72 of 360 - Sale <sup>25</sup>
	4.209	185.88	10/05/20	237.24	51.36 W	0.00	73 of 360 - Sale <sup>25</sup>
	9.000	397.42	10/05/20	507.22	109.80 W	0.00	74 of 360 - Sale <sup>25</sup>
	11.000	485.73	10/05/20	619.60	133.87 W	0.00	75 of 360 - Sale <sup>25</sup>
	0.209	9.25	10/05/20	9.93	0.68 W	0.00	76 of 360 - Sale <sup>25</sup>
	0.216	9.54	10/05/20	10.19	0.65 W	0.00	77 of 360 - Sale <sup>25</sup>

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(continued)

03/15/2021

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NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol: (cont'd)							
	0.832	36.74	10/05/20	40.44	3.70 W	0.00	78 of 360 - Sale <sup>25</sup>
	1.371	60.53	10/05/20	66.11	5.58 W	0.00	79 of 360 - Sale <sup>25</sup>
	3.000	132.47	10/05/20	141.08	8.61 W	0.00	80 of 360 - Sale <sup>25</sup>
	3.581	158.13	10/05/20	169.18	11.05 W	0.00	81 of 360 - Sale <sup>25</sup>
	5.588	246.74	10/05/20	268.94	22.20 W	0.00	82 of 360 - Sale <sup>25</sup>
	10.993	485.43	10/05/20	521.41	35.98 W	0.00	83 of 360 - Sale <sup>25</sup>
	2.419	106.81	10/05/20	114.26	7.45 W	0.00	84 of 360 - Sale <sup>25</sup>
	13.581	599.71	10/05/20	633.42	33.71 W	0.00	85 of 360 - Sale <sup>25</sup>
	0.216	9.48	10/05/20	10.03	0.55 W	0.00	86 of 360 - Sale <sup>25</sup>
	0.791	34.67	10/05/20	36.54	1.87 W	0.00	87 of 360 - Sale <sup>25</sup>
	0.847	37.14	10/05/20	39.02	1.88 W	0.00	88 of 360 - Sale <sup>25</sup>
	1.209	53.05	10/05/20	55.29	2.24 W	0.00	89 of 360 - Sale <sup>25</sup>
	1.784	78.24	10/05/20	82.31	4.07 W	0.00	90 of 360 - Sale <sup>25</sup>
	2.000	87.72	10/05/20	93.07	5.35 W	0.00	91 of 360 - Sale <sup>25</sup>
	2.000	87.72	10/05/20	91.50	3.78 W	0.00	92 of 360 - Sale <sup>25</sup>
	2.048	89.83	10/05/20	94.85	5.02 W	0.00	93 of 360 - Sale <sup>25</sup>
	2.896	127.00	10/05/20	133.27	6.27 W	0.00	94 of 360 - Sale <sup>25</sup>
	3.000	131.58	10/05/20	136.79	5.21 W	0.00	95 of 360 - Sale <sup>25</sup>
	3.791	166.25	10/05/20	174.89	8.64 W	0.00	96 of 360 - Sale <sup>25</sup>
	4.209	184.62	10/05/20	194.21	9.59 W	0.00	97 of 360 - Sale <sup>25</sup>
	4.581	200.92	10/05/20	212.69	11.77 W	0.00	98 of 360 - Sale <sup>25</sup>
	5.209	228.48	10/05/20	242.45	13.97 W	0.00	99 of 360 - Sale <sup>25</sup>
	5.209	228.48	10/05/20	241.03	12.55 W	0.00	100 of 360 - Sale <sup>25</sup>
	5.419	237.67	10/05/20	251.60	13.93 W	0.00	101 of 360 - Sale <sup>25</sup>
	6.209	272.34	10/05/20	283.83	11.49 W	0.00	102 of 360 - Sale <sup>25</sup>
	8.000	350.87	10/05/20	364.82	13.95 W	0.00	103 of 360 - Sale <sup>25</sup>
	9.581	420.22	10/05/20	445.33	25.11 W	0.00	104 of 360 - Sale <sup>25</sup>
	11.791	517.12	10/05/20	544.59	27.47 W	0.00	105 of 360 - Sale <sup>25</sup>
	19.209	842.51	10/05/20	883.95	41.44 W	0.00	106 of 360 - Sale <sup>25</sup>
	0.048	2.11	10/05/20	2.25	0.14 W	0.00	107 of 360 - Sale <sup>25</sup>
	0.952	41.75	10/05/20	44.09	2.34 W	0.00	108 of 360 - Sale <sup>25</sup>
	2.048	89.83	10/05/20	94.90	5.07 W	0.00	109 of 360 - Sale <sup>25</sup>
	2.791	122.39	10/05/20	130.34	7.95 W	0.00	110 of 360 - Sale <sup>25</sup>
	3.000	131.58	10/05/20	138.92	7.34 W	0.00	111 of 360 - Sale <sup>25</sup>

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(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol: (cont'd)							
	8.168	358.24	10/05/20	378.40	20.16 W	0.00	112 of 360 - Sale <sup>25</sup>
	20.993	920.74	10/05/20	972.63	51.89 W	0.00	113 of 360 - Sale <sup>25</sup>
	1.000	43.86	10/05/20	44.12	0.26 W	0.00	114 of 360 - Sale <sup>25</sup>
	3.000	131.58	10/05/20	132.94	1.36 W	0.00	115 of 360 - Sale <sup>25</sup>
	4.000	175.44	10/05/20	184.65	9.21 W	0.00	116 of 360 - Sale <sup>25</sup>
	4.000	175.44	10/05/20	185.18	9.74 W	0.00	117 of 360 - Sale <sup>25</sup>
	42.000	1,842.07	10/05/20	1,861.10	19.03 W	0.00	118 of 360 - Sale <sup>25</sup>
	58.000	2,543.81	10/05/20	2,570.09	26.28 W	0.00	119 of 360 - Sale <sup>25</sup>
	0.444	19.18	10/05/20	25.89	6.71 W	0.00	120 of 360 - Sale <sup>25</sup>
	0.112	4.83	10/05/20	6.05	1.22 W	0.00	121 of 360 - Sale <sup>25</sup>
	0.209	9.05	10/05/20	11.28	2.23 W	0.00	122 of 360 - Sale <sup>25</sup>
	0.235	10.15	10/05/20	12.74	2.59 W	0.00	123 of 360 - Sale <sup>25</sup>
	1.000	43.22	10/05/20	56.08	12.86 W	0.00	124 of 360 - Sale <sup>25</sup>
	1.209	52.27	10/05/20	69.65	17.38 W	0.00	125 of 360 - Sale <sup>25</sup>
	1.216	52.56	10/05/20	68.83	16.27 W	0.00	126 of 360 - Sale <sup>25</sup>
	2.679	115.77	10/05/20	154.17	38.40 W	0.00	127 of 360 - Sale <sup>25</sup>
	2.896	125.14	10/05/20	166.70	41.56 W	0.00	128 of 360 - Sale <sup>25</sup>
	3.000	129.65	10/05/20	169.05	39.40 W	0.00	129 of 360 - Sale <sup>25</sup>
	5.209	225.14	10/05/20	287.98	62.84 W	0.00	130 of 360 - Sale <sup>25</sup>
	7.216	311.86	10/05/20	415.65	103.79 W	0.00	131 of 360 - Sale <sup>25</sup>
	11.574	500.21	10/05/20	666.68	166.47 W	0.00	132 of 360 - Sale <sup>25</sup>
	25.000	1,080.42	10/05/20	1,101.54	21.12 W	0.00	133 of 360 - Sale <sup>25</sup>
	38.000	1,642.25	10/05/20	1,702.55	60.30 W	0.00	134 of 360 - Sale <sup>25</sup>
	100.000	4,321.70	10/05/20	4,480.36	158.66 W	0.00	135 of 360 - Sale <sup>25</sup>
	4.209	181.92	10/05/20	235.52	53.60 W	0.00	136 of 360 - Sale <sup>25</sup>
	9.000	388.95	10/05/20	503.55	114.60 W	0.00	137 of 360 - Sale <sup>25</sup>
	11.000	475.39	10/05/20	615.12	139.73 W	0.00	138 of 360 - Sale <sup>25</sup>
	0.209	9.05	10/05/20	9.84	0.79 W	0.00	139 of 360 - Sale <sup>25</sup>
	0.216	9.34	10/05/20	10.11	0.77 W	0.00	140 of 360 - Sale <sup>25</sup>
	0.832	35.96	10/05/20	40.10	4.14 W	0.00	141 of 360 - Sale <sup>25</sup>
	1.371	59.24	10/05/20	65.55	6.31 W	0.00	142 of 360 - Sale <sup>25</sup>
	2.000	86.43	10/05/20	92.85	6.42 W	0.00	143 of 360 - Sale <sup>25</sup>
	2.419	104.54	10/05/20	113.28	8.74 W	0.00	144 of 360 - Sale <sup>25</sup>
	3.000	129.65	10/05/20	139.86	10.21 W	0.00	145 of 360 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol: (cont'd)							
	3.581	154.76	10/05/20	167.72	12.96 W	0.00	146 of 360 - Sale <sup>25</sup>
	5.209	225.14	10/05/20	241.88	16.74 W	0.00	147 of 360 - Sale <sup>25</sup>
	5.588	241.49	10/05/20	266.67	25.18 W	0.00	148 of 360 - Sale <sup>25</sup>
	10.993	475.10	10/05/20	516.94	41.84 W	0.00	149 of 360 - Sale <sup>25</sup>
	11.791	509.55	10/05/20	541.28	31.73 W	0.00	150 of 360 - Sale <sup>25</sup>
	13.581	586.93	10/05/20	627.88	40.95 W	0.00	151 of 360 - Sale <sup>25</sup>
	15.000	648.26	10/05/20	672.05	23.79 W	0.00	152 of 360 - Sale <sup>25</sup>
	0.791	34.16	10/05/20	36.46	2.30 W	0.00	153 of 360 - Sale <sup>25</sup>
	0.847	36.59	10/05/20	38.92	2.33 W	0.00	154 of 360 - Sale <sup>25</sup>
	1.581	68.33	10/05/20	72.77	4.44 W	0.00	155 of 360 - Sale <sup>25</sup>
	2.048	88.52	10/05/20	94.63	6.11 W	0.00	156 of 360 - Sale <sup>25</sup>
	2.896	125.14	10/05/20	132.96	7.82 W	0.00	157 of 360 - Sale <sup>25</sup>
	4.209	181.92	10/05/20	193.75	11.83 W	0.00	158 of 360 - Sale <sup>25</sup>
	5.209	225.14	10/05/20	240.46	15.32 W	0.00	159 of 360 - Sale <sup>25</sup>
	7.419	320.62	10/05/20	340.58	19.96 W	0.00	160 of 360 - Sale <sup>25</sup>
	0.048	2.01	10/05/20	2.21	0.20 W	0.00	161 of 360 - Sale <sup>25</sup>
	0.216	9.03	10/05/20	9.84	0.81 W	0.00	162 of 360 - Sale <sup>25</sup>
	0.952	39.78	10/05/20	43.27	3.49 W	0.00	163 of 360 - Sale <sup>25</sup>
	1.209	50.55	10/05/20	54.25	3.70 W	0.00	164 of 360 - Sale <sup>25</sup>
	1.784	74.56	10/05/20	80.78	6.22 W	0.00	165 of 360 - Sale <sup>25</sup>
	2.000	83.59	10/05/20	89.78	6.19 W	0.00	166 of 360 - Sale <sup>25</sup>
	2.048	85.61	10/05/20	93.14	7.53 W	0.00	167 of 360 - Sale <sup>25</sup>
	2.209	92.35	10/05/20	100.05	7.70 W	0.00	168 of 360 - Sale <sup>25</sup>
	2.791	116.64	10/05/20	127.94	11.30 W	0.00	169 of 360 - Sale <sup>25</sup>
	3.000	125.39	10/05/20	134.21	8.82 W	0.00	170 of 360 - Sale <sup>25</sup>
	3.000	125.39	10/05/20	136.34	10.95 W	0.00	171 of 360 - Sale <sup>25</sup>
	4.581	191.48	10/05/20	208.76	17.28 W	0.00	172 of 360 - Sale <sup>25</sup>
	5.419	226.49	10/05/20	246.94	20.45 W	0.00	173 of 360 - Sale <sup>25</sup>
	6.209	259.54	10/05/20	278.50	18.96 W	0.00	174 of 360 - Sale <sup>25</sup>
	8.000	334.38	10/05/20	357.95	23.57 W	0.00	175 of 360 - Sale <sup>25</sup>
	8.168	341.40	10/05/20	371.38	29.98 W	0.00	176 of 360 - Sale <sup>25</sup>
	9.581	400.46	10/05/20	437.10	36.64 W	0.00	177 of 360 - Sale <sup>25</sup>
	11.791	492.81	10/05/20	534.46	41.65 W	0.00	178 of 360 - Sale <sup>25</sup>
	20.993	877.46	10/05/20	954.60	77.14 W	0.00	179 of 360 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol: (cont'd)							
	2.000	83.59	10/05/20	90.87	7.28 W	0.00	180 of 360 - Sale <sup>25</sup>
	4.000	167.19	10/05/20	181.21	14.02 W	0.00	181 of 360 - Sale <sup>25</sup>
	0.444	18.55	10/05/20	25.77	7.22 W	0.00	182 of 360 - Sale <sup>25</sup>
	0.112	4.67	10/05/20	6.02	1.35 W	0.00	183 of 360 - Sale <sup>25</sup>
	0.209	8.75	10/05/20	11.23	2.48 W	0.00	184 of 360 - Sale <sup>25</sup>
	0.235	9.82	10/05/20	12.68	2.86 W	0.00	185 of 360 - Sale <sup>25</sup>
	1.209	50.55	10/05/20	69.33	18.78 W	0.00	186 of 360 - Sale <sup>25</sup>
	1.216	50.83	10/05/20	68.50	17.67 W	0.00	187 of 360 - Sale <sup>25</sup>
	2.574	107.60	10/05/20	147.60	40.00 W	0.00	188 of 360 - Sale <sup>25</sup>
	2.679	111.96	10/05/20	153.45	41.49 W	0.00	189 of 360 - Sale <sup>25</sup>
	2.896	121.03	10/05/20	165.93	44.90 W	0.00	190 of 360 - Sale <sup>25</sup>
	5.209	217.74	10/05/20	286.59	68.85 W	0.00	191 of 360 - Sale <sup>25</sup>
	7.216	301.61	10/05/20	413.72	112.11 W	0.00	192 of 360 - Sale <sup>25</sup>
	3.000	125.39	10/05/20	130.21	4.82 W	0.00	193 of 360 - Sale <sup>25</sup>
	15.000	626.96	10/05/20	651.05	24.09 W	0.00	194 of 360 - Sale <sup>25</sup>
	58.000	2,424.24	10/05/20	2,517.38	93.14 W	0.00	195 of 360 - Sale <sup>25</sup>
	1.000	41.80	10/05/20	43.26	1.46 W	0.00	196 of 360 - Sale <sup>25</sup>
	2.000	83.59	10/05/20	90.87	7.28 W	0.00	197 of 360 - Sale <sup>25</sup>
	27.000	1,128.52	10/05/20	1,173.23	44.71 W	0.00	198 of 360 - Sale <sup>25</sup>
	1.000	41.80	10/05/20	55.61	13.81 W	0.00	199 of 360 - Sale <sup>25</sup>
	3.000	125.39	10/05/20	167.65	42.26 W	0.00	200 of 360 - Sale <sup>25</sup>
	9.000	376.17	10/05/20	514.19	138.02 W	0.00	201 of 360 - Sale <sup>25</sup>
	17.000	710.55	10/05/20	741.11	30.56 W	0.00	202 of 360 - Sale <sup>25</sup>
	8.000	334.38	10/05/20	348.76	14.38 W	0.00	203 of 360 - Sale <sup>25</sup>
	24.000	1,003.13	10/05/20	1,064.08	60.95 W	0.00	204 of 360 - Sale <sup>25</sup>
	38.000	1,588.29	10/05/20	1,684.80	96.51 W	0.00	205 of 360 - Sale <sup>25</sup>
	4.209	172.91	10/05/20	227.24	54.33 W	0.00	206 of 360 - Sale <sup>25</sup>
	9.000	369.69	10/05/20	485.85	116.16 W	0.00	207 of 360 - Sale <sup>25</sup>
	11.000	451.84	10/05/20	593.48	141.64 W	0.00	208 of 360 - Sale <sup>25</sup>
	0.048	1.98	10/05/20	2.19	0.21 W	0.00	209 of 360 - Sale <sup>25</sup>
	0.209	8.60	10/05/20	9.43	0.83 W	0.00	210 of 360 - Sale <sup>25</sup>
	0.216	8.88	10/05/20	9.69	0.81 W	0.00	211 of 360 - Sale <sup>25</sup>
	0.216	8.88	10/05/20	9.73	0.85 W	0.00	212 of 360 - Sale <sup>25</sup>
	0.791	32.47	10/05/20	34.91	2.44 W	0.00	213 of 360 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol: (cont'd)							
	0.832	34.18	10/05/20	38.46	4.28 W	0.00	214 of 360 - Sale <sup>25</sup>
	0.847	34.78	10/05/20	37.26	2.48 W	0.00	215 of 360 - Sale <sup>25</sup>
	0.952	39.10	10/05/20	42.75	3.65 W	0.00	216 of 360 - Sale <sup>25</sup>
	1.209	49.68	10/05/20	53.59	3.91 W	0.00	217 of 360 - Sale <sup>25</sup>
	1.371	56.31	10/05/20	62.85	6.54 W	0.00	218 of 360 - Sale <sup>25</sup>
	1.581	64.95	10/05/20	69.66	4.71 W	0.00	219 of 360 - Sale <sup>25</sup>
	1.784	73.27	10/05/20	79.80	6.53 W	0.00	220 of 360 - Sale <sup>25</sup>
	2.000	82.15	10/05/20	88.92	6.77 W	0.00	221 of 360 - Sale <sup>25</sup>
	2.000	82.15	10/05/20	88.69	6.54 W	0.00	222 of 360 - Sale <sup>25</sup>
	2.048	84.13	10/05/20	90.60	6.47 W	0.00	223 of 360 - Sale <sup>25</sup>
	2.048	84.13	10/05/20	92.02	7.89 W	0.00	224 of 360 - Sale <sup>25</sup>
	2.209	90.76	10/05/20	98.84	8.08 W	0.00	225 of 360 - Sale <sup>25</sup>
	2.419	99.36	10/05/20	108.52	9.16 W	0.00	226 of 360 - Sale <sup>25</sup>
	2.791	114.63	10/05/20	126.41	11.78 W	0.00	227 of 360 - Sale <sup>25</sup>
	2.896	118.94	10/05/20	127.27	8.33 W	0.00	228 of 360 - Sale <sup>25</sup>
	3.000	123.23	10/05/20	133.96	10.73 W	0.00	229 of 360 - Sale <sup>25</sup>
	3.000	123.23	10/05/20	132.57	9.34 W	0.00	230 of 360 - Sale <sup>25</sup>
	3.000	123.23	10/05/20	134.70	11.47 W	0.00	231 of 360 - Sale <sup>25</sup>
	3.581	147.10	10/05/20	160.68	13.58 W	0.00	232 of 360 - Sale <sup>25</sup>
	4.209	172.91	10/05/20	185.47	12.56 W	0.00	233 of 360 - Sale <sup>25</sup>
	4.581	188.18	10/05/20	206.25	18.07 W	0.00	234 of 360 - Sale <sup>25</sup>
	5.209	213.99	10/05/20	231.63	17.64 W	0.00	235 of 360 - Sale <sup>25</sup>
	5.209	213.99	10/05/20	230.21	16.22 W	0.00	236 of 360 - Sale <sup>25</sup>
	5.419	222.59	10/05/20	243.98	21.39 W	0.00	237 of 360 - Sale <sup>25</sup>
	5.588	229.53	10/05/20	255.68	26.15 W	0.00	238 of 360 - Sale <sup>25</sup>
	6.209	255.06	10/05/20	275.10	20.04 W	0.00	239 of 360 - Sale <sup>25</sup>
	7.419	304.74	10/05/20	325.99	21.25 W	0.00	240 of 360 - Sale <sup>25</sup>
	8.000	328.61	10/05/20	353.57	24.96 W	0.00	241 of 360 - Sale <sup>25</sup>
	8.168	335.51	10/05/20	366.91	31.40 W	0.00	242 of 360 - Sale <sup>25</sup>
	9.581	393.56	10/05/20	431.86	38.30 W	0.00	243 of 360 - Sale <sup>25</sup>
	10.993	451.57	10/05/20	495.31	43.74 W	0.00	244 of 360 - Sale <sup>25</sup>
	11.791	484.31	10/05/20	518.09	33.78 W	0.00	245 of 360 - Sale <sup>25</sup>
	11.791	484.32	10/05/20	528.01	43.69 W	0.00	246 of 360 - Sale <sup>25</sup>
	13.581	557.86	10/05/20	601.17	43.31 W	0.00	247 of 360 - Sale <sup>25</sup>

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(continued)

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NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol: (cont'd)							
	20.993	862.33	10/05/20	943.11	80.78 W	0.00	248 of 360 - Sale <sup>25</sup>
	1.000	41.08	10/05/20	42.71	1.63 W	0.00	249 of 360 - Sale <sup>25</sup>
	2.000	82.15	10/05/20	89.78	7.63 W	0.00	250 of 360 - Sale <sup>25</sup>
	2.000	82.15	10/05/20	89.78	7.63 W	0.00	251 of 360 - Sale <sup>25</sup>
	4.000	164.31	10/05/20	179.02	14.71 W	0.00	252 of 360 - Sale <sup>25</sup>
	15.000	616.15	10/05/20	642.54	26.39 W	0.00	253 of 360 - Sale <sup>25</sup>
	26.000	1,067.99	10/05/20	1,115.54	47.55 W	0.00	254 of 360 - Sale <sup>25</sup>
	76.000	3,121.82	10/05/20	3,255.58	133.76 W	0.00	255 of 360 - Sale <sup>25</sup>
	0.444	18.35	10/05/20	25.62	7.27 W	0.00	256 of 360 - Sale <sup>25</sup>
	0.112	4.62	10/05/20	5.98	1.36 W	0.00	257 of 360 - Sale <sup>25</sup>
	0.209	8.66	10/05/20	11.16	2.50 W	0.00	258 of 360 - Sale <sup>25</sup>
	0.235	9.71	10/05/20	12.59	2.88 W	0.00	259 of 360 - Sale <sup>25</sup>
	1.209	50.01	10/05/20	68.91	18.90 W	0.00	260 of 360 - Sale <sup>25</sup>
	1.216	50.29	10/05/20	68.08	17.79 W	0.00	261 of 360 - Sale <sup>25</sup>
	2.574	106.45	10/05/20	146.71	40.26 W	0.00	262 of 360 - Sale <sup>25</sup>
	2.679	110.76	10/05/20	152.52	41.76 W	0.00	263 of 360 - Sale <sup>25</sup>
	2.896	119.73	10/05/20	164.93	45.20 W	0.00	264 of 360 - Sale <sup>25</sup>
	5.209	215.41	10/05/20	284.78	69.37 W	0.00	265 of 360 - Sale <sup>25</sup>
	7.216	298.38	10/05/20	411.22	112.84 W	0.00	266 of 360 - Sale <sup>25</sup>
	1.000	41.35	10/05/20	43.11	1.76 W	0.00	267 of 360 - Sale <sup>25</sup>
	5.000	206.75	10/05/20	215.28	8.53 W	0.00	268 of 360 - Sale <sup>25</sup>
	15.000	620.23	10/05/20	645.85	25.62 W	0.00	269 of 360 - Sale <sup>25</sup>
	2.000	82.70	10/05/20	86.11	3.41 W	0.00	270 of 360 - Sale <sup>25</sup>
	53.000	2,191.49	10/05/20	2,281.96	90.47 W	0.00	271 of 360 - Sale <sup>25</sup>
	1.000	39.70	10/05/20	55.31	15.61 W	0.00	272 of 360 - Sale <sup>25</sup>
	3.000	119.10	10/05/20	166.76	47.66 W	0.00	273 of 360 - Sale <sup>25</sup>
	9.000	357.29	10/05/20	511.52	154.23 W	0.00	274 of 360 - Sale <sup>25</sup>
	1.000	39.70	10/05/20	43.11	3.41 W	0.00	275 of 360 - Sale <sup>25</sup>
	16.000	635.18	10/05/20	692.76	57.58 W	0.00	276 of 360 - Sale <sup>25</sup>
	6.000	238.19	10/05/20	325.84	87.65 W	0.00	277 of 360 - Sale <sup>25</sup>
	1.000	39.70	10/05/20	43.20	3.50 W	0.00	278 of 360 - Sale <sup>25</sup>
	8.000	317.59	10/05/20	345.58	27.99 W	0.00	279 of 360 - Sale <sup>25</sup>
	24.000	952.78	10/05/20	1,054.55	101.77 W	0.00	280 of 360 - Sale <sup>25</sup>
	38.000	1,508.56	10/05/20	1,669.71	161.15 W	0.00	281 of 360 - Sale <sup>25</sup>

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Account 527125207

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2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol: (cont'd)							
	3.000	119.10	10/05/20	162.92	43.82 W	0.00	282 of 360 - Sale <sup>25</sup>
	4.209	167.11	10/05/20	228.60	61.49 W	0.00	283 of 360 - Sale <sup>25</sup>
	11.000	436.69	10/05/20	597.04	160.35 W	0.00	284 of 360 - Sale <sup>25</sup>
	0.209	8.32	10/05/20	9.50	1.18 W	0.00	285 of 360 - Sale <sup>25</sup>
	4.581	181.86	10/05/20	207.89	26.03 W	0.00	286 of 360 - Sale <sup>25</sup>
	0.216	8.58	10/05/20	9.73	1.15 W	0.00	287 of 360 - Sale <sup>25</sup>
	0.791	31.38	10/05/20	35.05	3.67 W	0.00	288 of 360 - Sale <sup>25</sup>
	0.832	33.03	10/05/20	38.60	5.57 W	0.00	289 of 360 - Sale <sup>25</sup>
	0.847	33.61	10/05/20	37.41	3.80 W	0.00	290 of 360 - Sale <sup>25</sup>
	1.371	54.42	10/05/20	63.08	8.66 W	0.00	291 of 360 - Sale <sup>25</sup>
	1.581	62.77	10/05/20	69.93	7.16 W	0.00	292 of 360 - Sale <sup>25</sup>
	2.000	79.40	10/05/20	89.27	9.87 W	0.00	293 of 360 - Sale <sup>25</sup>
	2.048	81.31	10/05/20	90.96	9.65 W	0.00	294 of 360 - Sale <sup>25</sup>
	2.209	87.71	10/05/20	99.22	11.51 W	0.00	295 of 360 - Sale <sup>25</sup>
	2.419	96.03	10/05/20	108.94	12.91 W	0.00	296 of 360 - Sale <sup>25</sup>
	2.896	114.95	10/05/20	127.78	12.83 W	0.00	297 of 360 - Sale <sup>25</sup>
	3.000	119.10	10/05/20	134.48	15.38 W	0.00	298 of 360 - Sale <sup>25</sup>
	3.000	119.10	10/05/20	133.09	13.99 W	0.00	299 of 360 - Sale <sup>25</sup>
	3.581	142.16	10/05/20	161.30	19.14 W	0.00	300 of 360 - Sale <sup>25</sup>
	4.209	167.11	10/05/20	186.20	19.09 W	0.00	301 of 360 - Sale <sup>25</sup>
	5.209	206.81	10/05/20	232.53	25.72 W	0.00	302 of 360 - Sale <sup>25</sup>
	5.209	206.81	10/05/20	231.11	24.30 W	0.00	303 of 360 - Sale <sup>25</sup>
	5.588	221.83	10/05/20	256.65	34.82 W	0.00	304 of 360 - Sale <sup>25</sup>
	5.791	229.88	10/05/20	260.32	30.44 W	0.00	305 of 360 - Sale <sup>25</sup>
	6.412	254.56	10/05/20	290.01	35.45 W	0.00	306 of 360 - Sale <sup>25</sup>
	7.419	294.52	10/05/20	327.28	32.76 W	0.00	307 of 360 - Sale <sup>25</sup>
	8.000	317.59	10/05/20	354.95	37.36 W	0.00	308 of 360 - Sale <sup>25</sup>
	11.791	468.07	10/05/20	520.14	52.07 W	0.00	309 of 360 - Sale <sup>25</sup>
	13.581	539.15	10/05/20	603.53	64.38 W	0.00	310 of 360 - Sale <sup>25</sup>
	0.216	8.58	10/05/20	10.03	1.45 W	0.00	311 of 360 - Sale <sup>25</sup>
	1.209	48.01	10/05/20	55.25	7.24 W	0.00	312 of 360 - Sale <sup>25</sup>
	1.784	70.82	10/05/20	82.25	11.43 W	0.00	313 of 360 - Sale <sup>25</sup>
	2.000	79.40	10/05/20	91.44	12.04 W	0.00	314 of 360 - Sale <sup>25</sup>
	3.581	142.17	10/05/20	166.14	23.97 W	0.00	315 of 360 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol: (cont'd)							
	6.000	238.20	10/05/20	276.93	38.73 W	0.00	316 of 360 - Sale <sup>25</sup>
	6.209	246.51	10/05/20	283.63	37.12 W	0.00	317 of 360 - Sale <sup>25</sup>
	0.048	1.91	10/05/20	2.25	0.34 W	0.00	318 of 360 - Sale <sup>25</sup>
	0.952	37.79	10/05/20	44.06	6.27 W	0.00	319 of 360 - Sale <sup>25</sup>
	2.048	81.31	10/05/20	94.83	13.52 W	0.00	320 of 360 - Sale <sup>25</sup>
	1.791	71.08	10/05/20	83.57	12.49 W	0.00	321 of 360 - Sale <sup>25</sup>
	1.000	39.70	10/05/20	46.40	6.70 W	0.00	322 of 360 - Sale <sup>25</sup>
	5.419	215.13	10/05/20	251.42	36.29 W	0.00	323 of 360 - Sale <sup>25</sup>
	8.168	324.26	10/05/20	378.13	53.87 W	0.00	324 of 360 - Sale <sup>25</sup>
	9.581	380.36	10/05/20	445.02	64.66 W	0.00	325 of 360 - Sale <sup>25</sup>
	20.993	833.41	10/05/20	971.95	138.54 W	0.00	326 of 360 - Sale <sup>25</sup>
	1.000	39.40	10/05/20	46.67	7.27 W	0.00	327 of 360 - Sale <sup>25</sup>
	3.000	118.20	10/05/20	138.82	20.62 W	0.00	328 of 360 - Sale <sup>25</sup>
	25.000	984.97	10/05/20	1,105.25	120.28 W	0.00	329 of 360 - Sale <sup>25</sup>
	32.000	1,260.77	10/05/20	1,411.52	150.75 W	0.00	330 of 360 - Sale <sup>25</sup>
	1.000	39.40	10/05/20	43.98	4.58 W	0.00	331 of 360 - Sale <sup>25</sup>
	2.000	78.80	10/05/20	92.33	13.53 W	0.00	332 of 360 - Sale <sup>25</sup>
	2.000	78.80	10/05/20	92.33	13.53 W	0.00	333 of 360 - Sale <sup>25</sup>
	4.000	157.60	10/05/20	184.11	26.51 W	0.00	334 of 360 - Sale <sup>25</sup>
	15.000	590.98	10/05/20	661.64	70.66 W	0.00	335 of 360 - Sale <sup>25</sup>
	19.000	748.58	10/05/20	838.09	89.51 W	0.00	336 of 360 - Sale <sup>25</sup>
	25.000	984.97	10/05/20	1,104.47	119.50 W	0.00	337 of 360 - Sale <sup>25</sup>
	0.444	17.49	10/05/20	26.07	8.58 W	0.00	338 of 360 - Sale <sup>25</sup>
	0.112	4.41	10/05/20	6.10	1.69 W	0.00	339 of 360 - Sale <sup>25</sup>
	0.209	8.25	10/05/20	11.37	3.12 W	0.00	340 of 360 - Sale <sup>25</sup>
	0.235	9.25	10/05/20	12.83	3.58 W	0.00	341 of 360 - Sale <sup>25</sup>
	1.209	47.65	10/05/20	70.12	22.47 W	0.00	342 of 360 - Sale <sup>25</sup>
	1.216	47.91	10/05/20	69.29	21.38 W	0.00	343 of 360 - Sale <sup>25</sup>
	2.574	101.43	10/05/20	149.29	47.86 W	0.00	344 of 360 - Sale <sup>25</sup>
	2.679	105.54	10/05/20	155.20	49.66 W	0.00	345 of 360 - Sale <sup>25</sup>
	2.896	114.09	10/05/20	167.83	53.74 W	0.00	346 of 360 - Sale <sup>25</sup>
	5.209	205.25	10/05/20	289.99	84.74 W	0.00	347 of 360 - Sale <sup>25</sup>
	7.216	284.31	10/05/20	418.44	134.13 W	0.00	348 of 360 - Sale <sup>25</sup>
	1.000	39.40	10/05/20	44.18	4.78 W	0.00	349 of 360 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

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NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol: (cont'd)							
	1.000	39.40	10/05/20	44.11	4.71 W	0.00	350 of 360 - Sale <sup>25</sup>
	4.000	157.60	10/05/20	176.22	18.62 W	0.00	351 of 360 - Sale <sup>25</sup>
	15.000	590.98	10/05/20	660.87	69.89 W	0.00	352 of 360 - Sale <sup>25</sup>
	1.000	39.40	10/05/20	57.76	18.36 W	0.00	353 of 360 - Sale <sup>25</sup>
	3.000	118.20	10/05/20	174.11	55.91 W	0.00	354 of 360 - Sale <sup>25</sup>
	6.000	236.39	10/05/20	340.55	104.16 W	0.00	355 of 360 - Sale <sup>25</sup>
	9.000	354.59	10/05/20	533.58	178.99 W	0.00	356 of 360 - Sale <sup>25</sup>
	1.000	39.40	10/05/20	43.86	4.46 W	0.00	357 of 360 - Sale <sup>25</sup>
	1.000	39.40	10/05/20	45.56	6.16 W	0.00	358 of 360 - Sale <sup>25</sup>
	2.000	78.80	10/05/20	87.71	8.91 W	0.00	359 of 360 - Sale <sup>25</sup>
	53.000	2,088.15	10/05/20	2,324.42	236.27 W	0.00	360 of 360 - Sale <sup>25</sup>
10/05/20	2,536.000	106,014.88	Various	116,832.67	10,735.24 W	-82.55	Total of 360 transactions
	<b>Security total:</b>	<b>242,638.25</b>		<b>269,485.56</b>	<b>22,555.72 W</b>	<b>-4,291.59</b>	
SUPERCOM, LTD. ORDINARY SHARES (ISRAEL) / CUSIP: M87095119 / Symbol:							
03/27/20	450.000	265.45	03/26/20	249.93	...	15.52	Sale <sup>25</sup>
03/31/20	100.000	80.24	03/30/20	132.00	51.76 W	0.00	Sale <sup>25</sup>
	2 transactions for 04/01/20. Total proceeds and cost reported to the IRS.						
	100.000	94.99	03/31/20	137.75	...	-42.76	1 of 2 - Sale <sup>25</sup>
	200.000	189.97	03/31/20	171.98	...	17.99	2 of 2 - Sale <sup>25</sup>
04/01/20	300.000	284.96	Various	309.73	...	-24.77	Total of 2 transactions
	<b>Security total:</b>	<b>630.65</b>		<b>691.66</b>	<b>51.76 W</b>	<b>-9.25</b>	
WIX.COM LTD. ORDINARY SHARES / CUSIP: M98068105 / Symbol:							
	3 transactions for 08/06/20. Total proceeds and cost reported to the IRS.						
	12.000	3,747.51	08/06/20	3,696.00	...	51.51	1 of 3 - Sale <sup>25</sup>
	13.000	4,059.81	08/06/20	4,003.74	...	56.07	2 of 3 - Sale <sup>25</sup>
	25.000	7,937.57	08/06/20	7,696.01	...	241.56	3 of 3 - Sale <sup>25</sup>
08/06/20	50.000	15,744.89	Various	15,395.75	...	349.14	Total of 3 transactions

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(continued)

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MYLAN N.V. ORDINARY SHARES / CUSIP: N59465109 / Symbol:							
2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	10.000	160.00	03/19/20	150.00	...	10.00	1 of 2 - Sale <sup>25</sup>
	40.000	639.98	03/19/20	600.00	...	39.98	2 of 2 - Sale <sup>25</sup>
03/20/20	50.000	799.98	Various	750.00	...	49.98	Total of 2 transactions
03/27/20	25.000	376.25	03/26/20	390.41	...	-14.16	Sale <sup>25</sup>
2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	45.000	732.13	06/25/20	736.65	...	-4.52	1 of 2 - Sale <sup>25</sup>
	30.000	488.08	06/25/20	489.60	...	-1.52	2 of 2 - Sale <sup>25</sup>
06/25/20	75.000	1,220.21	Various	1,226.25	...	-6.04	Total of 2 transactions
	<b>Security total:</b>	<b>2,396.44</b>		<b>2,366.66</b>	...	<b>29.78</b>	
PLAYA HOTELS & RESORTS N.V. OR DINARY SHARES / CUSIP: N70544106 / Symbol:							
06/05/20	200.000	849.96	06/04/20	777.00	...	72.96	Sale <sup>25</sup>
06/09/20	100.000	396.49	06/08/20	416.00	19.51 W	0.00	Sale <sup>25</sup>
10 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	68.000	197.19	06/10/20	263.85	66.66 W	0.00	1 of 10 - Sale <sup>25</sup>
	4.000	11.60	06/10/20	15.52	3.92 W	0.00	2 of 10 - Sale <sup>25</sup>
	28.000	81.20	06/10/20	108.64	27.44 W	0.00	3 of 10 - Sale <sup>25</sup>
	22.000	63.80	06/10/20	81.07	17.27 W	0.00	4 of 10 - Sale <sup>25</sup>
	2.000	5.80	06/10/20	7.37	1.57 W	0.00	5 of 10 - Sale <sup>25</sup>
	40.000	115.60	06/10/20	147.40	31.80 W	0.00	6 of 10 - Sale <sup>25</sup>
	1.000	2.89	06/10/20	3.68	0.79 W	0.00	7 of 10 - Sale <sup>25</sup>
	15.000	43.35	06/10/20	55.28	11.93 W	0.00	8 of 10 - Sale <sup>25</sup>
	1.000	2.89	06/10/20	3.69	0.80 W	0.00	9 of 10 - Sale <sup>25</sup>
	19.000	54.91	06/10/20	70.01	15.10 W	0.00	10 of 10 - Sale <sup>25</sup>
06/11/20	200.000	579.23	Various	756.51	177.28 W	0.00	Total of 10 transactions
3 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	4.000	14.20	06/12/20	18.98	4.78 W	0.00	1 of 3 - Sale <sup>25</sup>
	28.000	99.38	06/12/20	132.86	33.48 W	0.00	2 of 3 - Sale <sup>25</sup>
	68.000	241.35	06/12/20	322.68	81.33 W	0.00	3 of 3 - Sale <sup>25</sup>
06/15/20	100.000	354.93	Various	474.52	119.59 W	0.00	Total of 3 transactions

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PLAYA HOTELS & RESORTS N.V. OR DINARY SHARES / CUSIP: N70544106 / Symbol: (cont'd)							
12 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	68.000	260.43	06/15/20	346.87	...	-86.44	1 of 12 - Sale <sup>25</sup>
	28.000	107.23	06/15/20	142.82	...	-35.59	2 of 12 - Sale <sup>25</sup>
	26.000	99.57	06/15/20	122.20	...	-22.63	3 of 12 - Sale <sup>25</sup>
	22.000	84.25	06/15/20	103.18	...	-18.93	4 of 12 - Sale <sup>25</sup>
	19.000	72.76	06/15/20	89.29	...	-16.53	5 of 12 - Sale <sup>25</sup>
	15.000	57.45	06/15/20	70.50	...	-13.05	6 of 12 - Sale <sup>25</sup>
	14.000	53.62	06/15/20	65.80	...	-12.18	7 of 12 - Sale <sup>25</sup>
	4.000	15.32	06/15/20	20.40	...	-5.08	8 of 12 - Sale <sup>25</sup>
	50.000	191.49	06/15/20	195.25	...	-3.76	9 of 12 - Sale <sup>25</sup>
	2.000	7.66	06/15/20	9.38	...	-1.72	10 of 12 - Sale <sup>25</sup>
	1.000	3.83	06/15/20	4.71	...	-0.88	11 of 12 - Sale <sup>25</sup>
	1.000	3.83	06/15/20	4.70	...	-0.87	12 of 12 - Sale <sup>25</sup>
06/16/20	250.000	957.44	Various	1,175.10	...	-217.66	Total of 12 transactions
	<b>Security total:</b>	<b>3,138.05</b>		<b>3,599.13</b>	<b>316.38 W</b>	<b>-144.70</b>	
ONESPAWORLD HOLDINGS LIMITED C OMMON SHARES / CUSIP: P73684113 / Symbol:							
05/01/20	50.000	300.73	04/30/20	308.00	...	-7.27	Sale <sup>25</sup>
05/01/20	50.000	300.74	04/30/20	307.00	3.76 W	-2.50	Sale <sup>25</sup>
2 transactions for 05/11/20. Total proceeds and cost reported to the IRS.							
	1.000	5.98	05/08/20	6.47	0.49 W	0.00	1 of 2 - Sale <sup>25</sup>
	29.000	173.31	05/08/20	186.62	13.31 W	0.00	2 of 2 - Sale <sup>25</sup>
05/11/20	30.000	179.29	Various	193.09	13.80 W	0.00	Total of 2 transactions
3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	29.000	196.18	06/10/20	211.38	...	-15.20	1 of 3 - Sale <sup>25</sup>
	20.000	135.30	06/10/20	136.60	...	-1.30	2 of 3 - Sale <sup>25</sup>
	1.000	6.77	06/10/20	7.32	...	-0.55	3 of 3 - Sale <sup>25</sup>
06/11/20	50.000	338.25	Various	355.30	...	-17.05	Total of 3 transactions
10/01/20	33.000	209.55	10/01/20	209.55	...	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,328.56</b>		<b>1,372.94</b>	<b>17.56 W</b>	<b>-26.82</b>	

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MAKEMYTRIP LIMITED ORDINARY SH ARES / CUSIP: V5633W109 / Symbol:							
04/24/20	50.000	657.81	04/23/20	664.27	...	-6.46	Sale <sup>25</sup>
	3 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	24.000	422.87	06/19/20	427.53	...	-4.66	1 of 3 - Sale <sup>25</sup>
	24.000	422.87	06/19/20	427.32	...	-4.45	2 of 3 - Sale <sup>25</sup>
	1.000	17.62	06/19/20	17.96	...	-0.34	3 of 3 - Sale <sup>25</sup>
06/22/20	49.000	863.36	Various	872.81	...	-9.45	Total of 3 transactions
06/22/20	1.000	17.66	06/19/20	17.81	0.15 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,538.83</b>		<b>1,554.89</b>	<b>0.15 W</b>	<b>-15.91</b>	
ROYAL CARIBBEAN GROUP / CUSIP: V7780T103 / Symbol:							
	2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.						
	15.000	846.20	06/02/20	858.30	12.10 W	0.00	1 of 2 - Sale <sup>25</sup>
	5.000	282.06	06/02/20	285.15	3.09 W	0.00	2 of 2 - Sale <sup>25</sup>
06/03/20	20.000	1,128.26	Various	1,143.45	15.19 W	0.00	Total of 2 transactions
06/04/20	5.000	281.06	06/02/20	289.18	8.12 W	0.00	Sale <sup>25</sup>
	4 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	10.000	483.69	06/24/20	528.66	...	-44.97	1 of 4 - Sale <sup>25</sup>
	5.000	241.84	06/24/20	268.49	...	-26.65	2 of 4 - Sale <sup>25</sup>
	5.000	241.85	06/24/20	263.38	...	-21.53	3 of 4 - Sale <sup>25</sup>
	30.000	1,451.07	06/24/20	1,463.95	...	-12.88	4 of 4 - Sale <sup>25</sup>
06/24/20	50.000	2,418.45	Various	2,524.48	...	-106.03	Total of 4 transactions
	3 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	5.000	253.22	06/23/20	272.61	19.39 W	0.00	1 of 3 - Sale <sup>25</sup>
	10.000	506.44	06/23/20	547.12	40.68 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	253.21	06/23/20	277.71	24.50 W	0.00	3 of 3 - Sale <sup>25</sup>
06/24/20	20.000	1,012.87	Various	1,097.44	84.57 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>4,840.64</b>		<b>5,054.55</b>	<b>107.88 W</b>	<b>-106.03</b>	
SEANERGY MARITIME HOLDINGS COR P COMMON STOCK / CUSIP: Y73760194 / Symbol:							
07/01/20	250.000	582.45	07/01/20	591.25	...	-8.80	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SCORPIO TANKERS INC. / CUSIP: Y7542C130 / Symbol:							
<i>5 transactions for 07/23/20. Total proceeds and cost reported to the IRS.</i>							
	475.000	6,174.80	07/23/20	6,308.00	...	-133.20	1 of 5 - Sale <sup>25</sup>
	200.000	2,599.92	07/23/20	2,637.48	...	-37.56	2 of 5 - Sale <sup>25</sup>
	75.000	974.97	07/23/20	988.31	...	-13.34	3 of 5 - Sale <sup>25</sup>
	100.000	1,326.14	07/23/20	1,320.50	...	5.64	4 of 5 - Sale <sup>25</sup>
	150.000	1,989.22	07/23/20	1,978.20	...	11.02	5 of 5 - Sale <sup>25</sup>
07/23/20	1,000.000	13,065.05	Various	13,232.49	...	-167.44	Total of 5 transactions
TEEKAY TANKERS LTD. / CUSIP: Y8565N300 / Symbol:							
03/24/20	20.000	394.40	03/23/20	371.00	...	23.40	Sale <sup>25</sup>
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y164 / Symbol:							
<i>2 transactions for 03/31/20. Total proceeds and cost reported to the IRS.</i>							
	419.000	94.23	03/30/20	234.60	140.37 W	0.00	1 of 2 - Sale <sup>25</sup>
	81.000	18.22	03/30/20	45.35	27.13 W	0.00	2 of 2 - Sale <sup>25</sup>
03/31/20	500.000	112.45	Various	279.95	167.50 W	0.00	Total of 2 transactions
<i>12 transactions for 04/07/20. Total proceeds and cost reported to the IRS.</i>							
	203.000	60.88	04/06/20	106.46	...	-45.58	1 of 12 - Sale <sup>25</sup>
	66.000	19.79	04/06/20	30.47	...	-10.68	2 of 12 - Sale <sup>25</sup>
	47.000	14.10	04/06/20	24.66	...	-10.56	3 of 12 - Sale <sup>25</sup>
	53.000	15.89	04/06/20	24.47	...	-8.58	4 of 12 - Sale <sup>25</sup>
	50.000	14.99	04/06/20	23.10	...	-8.11	5 of 12 - Sale <sup>25</sup>
	50.000	14.99	04/06/20	22.97	...	-7.98	6 of 12 - Sale <sup>25</sup>
	31.000	9.30	04/06/20	14.31	...	-5.01	7 of 12 - Sale <sup>25</sup>
	333.000	99.87	04/06/20	103.76	...	-3.89	8 of 12 - Sale <sup>25</sup>
	103.000	30.89	04/06/20	32.10	...	-1.21	9 of 12 - Sale <sup>25</sup>
	64.000	19.19	04/06/20	19.94	...	-0.75	10 of 12 - Sale <sup>25</sup>
	250.000	74.97	04/06/20	59.93	...	15.04	11 of 12 - Sale <sup>25</sup>
	500.000	149.94	04/06/20	118.95	...	30.99	12 of 12 - Sale <sup>25</sup>
04/07/20	1,750.000	524.80	Various	581.12	...	-56.32	Total of 12 transactions
<i>20 transactions for 04/07/20. Total proceeds and cost reported to the IRS.</i>							
	81.000	24.29	04/06/20	46.40	22.11 W	0.00	1 of 20 - Sale <sup>25</sup>
	216.000	64.77	04/06/20	123.75	58.98 W	0.00	2 of 20 - Sale <sup>25</sup>
	203.000	60.88	04/06/20	116.30	55.42 W	0.00	3 of 20 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 527125207

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

03/15/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y164 / Symbol: (cont'd)							
	81.000	24.29	04/06/20	41.31	17.02 W	0.00	4 of 20 - Sale <sup>25</sup>
	66.000	19.79	04/06/20	33.66	13.87 W	0.00	5 of 20 - Sale <sup>25</sup>
	103.000	30.89	04/06/20	52.54	21.65 W	0.00	6 of 20 - Sale <sup>25</sup>
	66.000	19.79	04/06/20	29.69	9.90 W	0.00	7 of 20 - Sale <sup>25</sup>
	81.000	24.29	04/06/20	36.43	12.14 W	0.00	8 of 20 - Sale <sup>25</sup>
	47.000	14.09	04/06/20	24.10	10.01 W	0.00	9 of 20 - Sale <sup>25</sup>
	203.000	60.88	04/06/20	104.08	43.20 W	0.00	10 of 20 - Sale <sup>25</sup>
	53.000	15.89	04/06/20	23.84	7.95 W	0.00	11 of 20 - Sale <sup>25</sup>
	50.000	14.99	04/06/20	22.50	7.51 W	0.00	12 of 20 - Sale <sup>25</sup>
	66.000	19.79	04/06/20	29.80	10.01 W	0.00	13 of 20 - Sale <sup>25</sup>
	81.000	24.29	04/06/20	36.56	12.27 W	0.00	14 of 20 - Sale <sup>25</sup>
	47.000	14.09	04/06/20	24.18	10.09 W	0.00	15 of 20 - Sale <sup>25</sup>
	203.000	60.88	04/06/20	104.41	43.53 W	0.00	16 of 20 - Sale <sup>25</sup>
	333.000	99.87	04/06/20	100.40	0.53 W	0.00	17 of 20 - Sale <sup>25</sup>
	167.000	50.08	04/06/20	50.35	0.27 W	0.00	18 of 20 - Sale <sup>25</sup>
	50.000	14.99	04/06/20	22.59	7.60 W	0.00	19 of 20 - Sale <sup>25</sup>
	53.000	15.89	04/06/20	23.93	8.04 W	0.00	20 of 20 - Sale <sup>25</sup>
04/07/20	2,250.000	674.72	Various	1,046.82	372.10 W	0.00	Total of 20 transactions
	3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	500.000	77.44	06/05/20	110.00	...	-32.56	1 of 3 - Sale <sup>25</sup>
	300.000	46.46	06/05/20	66.00	...	-19.54	2 of 3 - Sale <sup>25</sup>
	200.000	30.98	06/05/20	44.00	...	-13.02	3 of 3 - Sale <sup>25</sup>
06/08/20	1,000.000	154.88	Various	220.00	...	-65.12	Total of 3 transactions
	<b>Security total:</b>	<b>1,466.85</b>		<b>2,127.89</b>	<b>539.60 W</b>	<b>-121.44</b>	
<b>Totals :</b>		<b>15,887,630.81</b>		<b>17,148,970.27</b>	<b>1,266,726.10 W</b>	<b>5,386.64</b>	

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Robinhood Securities LLC

Account 527125207

Detail for Dividends and Distributions

2020

03/15/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
ACTIVISION BLIZZARD, INC. COMM ON STOCK	00507V109		05/06/20	4.10	Qualified dividend	
APPLE INC. COMMON STOCK	037833100		02/13/20	2.31	Qualified dividend	
MGM RESORTS INTERNATIONAL	552953101		06/15/20	0.44	Qualified dividend	
MICROSOFT CORPORATION COMMON S TOCK	594918104		03/12/20	3.06	Qualified dividend	
OSTK DIGITAL DIVIDEND	690370507		12/16/20	1.60	Qualified dividend	
SABRE CORPORATION COMMON STOCK	78573M104		03/30/20	10.50	Nondividend distribution	03
SERVICE PROPERTIES TRUST COMMOM N STOCK	81761L102		05/21/20	1.00	Nondividend distribution	03
WINNEBAGO INDUSTRIES, INC.	974637100		07/01/20	2.75	Qualified dividend	
				<b>25.76</b>	<b>Total Dividends &amp; distributions</b>	

Robinhood Securities LLC

Account 527125207

**Fees and Expenses**

2020

03/15/2021

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		05/26/20	-5.00	Margin interest paid	
		06/23/20	-5.00	Margin interest paid	
		07/23/20	-5.00	Margin interest paid	
		08/24/20	-5.00	Margin interest paid	
		09/21/20	-5.00	Margin interest paid	
		10/21/20	-5.00	Margin interest paid	
		11/20/20	-5.00	Margin interest paid	
		12/21/20	-5.00	Margin interest paid	
			-40.00	Total Margin interest paid	
			<b>-40.00</b>	<b>Total Margin interest paid</b>	

Robinhood Securities LLC

Account 527125207

## Non-Reportable Actions

2020

03/15/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
OSTK DIGITAL DIVIDEND	690370507	05/19/20	10.00	0.00	Stock spinoff	
USO 07/17/2020 CALL \$2.00	USO 07/17/20 C 2.000	04/29/20	-2.00	0.00	Merger	
USO1 07/17/2020 CALL \$2.00	USO1 07/17/20 C 2.000	04/29/20	2.00	0.00	Merger	

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

**Line 1b.** Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 2c.** Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Instructions for Recipient**

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Line 8.** This line should be left blank if a RIC reported the foreign tax shown in line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

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