

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 412265225	Statement Date: 02/14/2021 Document ID: 6P3E YE6 XUY5	2020
	Sudhir Nimbalkar 3323 Denali Drive Irving, TX 75063 RECIPIENT'S TIN: XXX-XX-6523		

Summary Information

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	19.83		2- Royalties	0.00	
1b- Qualified dividends	19.83		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0715
3- Nondividend distributions	0.28		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
4- Federal income tax withheld	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	2,467,048.98	2,670,662.85	0.00	198,149.62	-5,464.25
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	1.62	1.99	0.00	0.00	-0.37
	Total Short-term	2,467,050.60	2,670,664.84	0.00	198,149.62	-5,464.62
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	2,467,050.60	2,670,664.84	0.00	198,149.62	-5,464.62

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 412265225

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	15.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 04/24/2020 PUT \$10.00 / CUSIP: / Symbol:							
04/24/20	1.000	7.98	04/20/20	26.00	...	-18.02	Option sale to close-put ²⁵
AAL 06/26/2020 PUT \$14.50 / CUSIP: / Symbol:							
06/22/20	5.000	339.98	06/19/20	215.00	...	124.98	Option sale to close-put ²⁵
AAL 07/10/2020 PUT \$14.00 / CUSIP: / Symbol:							
06/22/20	1.000	111.98	06/22/20	109.00	...	2.98	Option sale to close-put ²⁵
AAL 07/10/2020 PUT \$15.00 / CUSIP: / Symbol:							
06/15/20	1.000	207.98	06/12/20	220.00	...	-12.02	Option sale to close-put ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 07/31/2020 CALL \$11.50 / CUSIP: / Symbol:							
<i>2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	261.98	06/25/20	252.00	...	9.98	1 of 2 - Option sale to close-call ²⁵
	1.000	261.98	06/25/20	252.00	...	9.98	2 of 2 - Option sale to close-call ²⁵
06/25/20	2.000	523.96	Various	504.00	...	19.96	Total of 2 transactions
ACB 07/10/2020 CALL \$12.50 / CUSIP: / Symbol:							
06/24/20	3.000	2.98	06/24/20	600.00	...	-597.02	Option sale to close-call ²⁵
AMC 06/19/2020 CALL \$5.00 / CUSIP: / Symbol:							
06/19/20	1.000	99.98	06/18/20	70.00	...	29.98	Option sale to close-call ²⁵
AMC 06/26/2020 PUT \$4.00 / CUSIP: / Symbol:							
06/17/20	1.000	4.98	06/16/20	15.00	...	-10.02	Option sale to close-put ²⁵
APT 06/26/2020 CALL \$18.00 / CUSIP: / Symbol:							
06/22/20	1.000	119.98	06/19/20	175.00	...	-55.02	Option sale to close-call ²⁵
BA 06/19/2020 CALL \$187.50 / CUSIP: / Symbol:							
06/18/20	1.000	474.97	06/17/20	900.00	...	-425.03	Option sale to close-call ²⁵
BA 06/26/2020 PUT \$170.00 / CUSIP: / Symbol:							
06/17/20	1.000	271.98	06/17/20	365.00	...	-93.02	Option sale to close-put ²⁵
BAC 08/21/2020 PUT \$22.00 / CUSIP: / Symbol:							
05/21/20	1.000	174.98	05/19/20	191.00	...	-16.02	Option sale to close-put ²⁵
CAR 05/15/2020 PUT \$10.00 / CUSIP: / Symbol:							
04/23/20	1.000	99.98	04/21/20	115.00	...	-15.02	Option sale to close-put ²⁵
CCL 06/19/2020 PUT \$17.50 / CUSIP: / Symbol:							
06/19/20	1.000	4.98	06/18/20	19.00	...	-14.02	Option sale to close-put ²⁵
CCL 06/26/2020 PUT \$16.00 / CUSIP: / Symbol:							
<i>2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	139.99	06/19/20	64.00	...	75.99	1 of 2 - Option sale to close-put ²⁵
	2.000	139.99	06/19/20	64.00	...	75.99	2 of 2 - Option sale to close-put ²⁵
06/19/20	4.000	279.98	Various	128.00	...	151.98	Total of 2 transactions

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CCL 06/26/2020 PUT \$18.00 / CUSIP: / Symbol:							
06/19/20	1.000	150.98	06/18/20	105.00	...	45.98	Option sale to close-put ²⁵
CCL 07/10/2020 CALL \$16.00 / CUSIP: / Symbol:							
06/22/20	1.000	195.98	06/19/20	295.00	...	-99.02	Option sale to close-call ²⁵
CLDR 06/19/2020 CALL \$14.50 / CUSIP: / Symbol:							
06/19/20	3.000	0.00	06/18/20	45.00	...	-45.00	Option expiration- long call
CLDR 06/26/2020 CALL \$15.00 / CUSIP: / Symbol:							
06/22/20	1.000	10.98	06/18/20	50.00	...	-39.02	Option sale to close-call ²⁵
CLDR 07/17/2020 CALL \$10.00 / CUSIP: / Symbol:							
	2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	1.000	349.98	06/26/20	329.00	...	20.98	1 of 2 - Option sale to close-call ²⁵
	1.000	349.98	06/26/20	329.00	...	20.98	2 of 2 - Option sale to close-call ²⁵
06/26/20	2.000	699.96	Various	658.00	...	41.96	Total of 2 transactions
CODX 06/26/2020 CALL \$18.00 / CUSIP: / Symbol:							
	2 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	4.000	159.98	06/19/20	420.00	...	-260.02	1 of 2 - Option sale to close-call ²⁵
	1.000	40.00	06/19/20	105.00	...	-65.00	2 of 2 - Option sale to close-call ²⁵
06/22/20	5.000	199.98	Various	525.00	...	-325.02	Total of 2 transactions
CTSH 04/24/2020 PUT \$48.50 / CUSIP: / Symbol:							
04/24/20	5.000	0.00	04/20/20	300.00	...	-300.00	Option expiration- long put
CTSH 07/10/2020 PUT \$52.50 / CUSIP: / Symbol:							
06/23/20	2.000	159.98	06/22/20	260.00	...	-100.02	Option sale to close-put ²⁵
CWH 07/17/2020 CALL \$25.00 / CUSIP: / Symbol:							
06/23/20	1.000	399.98	06/22/20	510.00	...	-110.02	Option sale to close-call ²⁵
CWH 07/17/2020 CALL \$30.00 / CUSIP: / Symbol:							
06/19/20	1.000	179.98	06/17/20	140.00	...	39.98	Option sale to close-call ²⁵
DAL 07/31/2020 CALL \$26.00 / CUSIP: / Symbol:							
06/25/20	1.000	349.98	06/25/20	390.00	...	-40.02	Option sale to close-call ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 04/24/2020 PUT \$100.00 / CUSIP: / Symbol:							
04/24/20	1.000	33.98	04/20/20	142.00	...	-108.02	Option sale to close-put ²⁵
DIS 07/10/2020 PUT \$105.00 / CUSIP: / Symbol:							
4 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	1.000	253.99	06/24/20	193.00	...	60.99	1 of 4 - Option sale to close-put ²⁵
	1.000	253.99	06/24/20	193.00	...	60.99	2 of 4 - Option sale to close-put ²⁵
	1.000	253.99	06/24/20	193.00	...	60.99	3 of 4 - Option sale to close-put ²⁵
	1.000	202.98	06/25/20	236.00	...	-33.02	4 of 4 - Option sale to close-put ²⁵
06/25/20	4.000	964.95	Various	815.00	...	149.95	Total of 4 transactions
DIS 07/10/2020 PUT \$110.00 / CUSIP: / Symbol:							
06/19/20	1.000	239.98	06/19/20	207.00	...	32.98	Option sale to close-put ²⁵
DIS 07/31/2020 CALL \$105.00 / CUSIP: / Symbol:							
06/26/20	1.000	769.97	06/25/20	895.00	...	-125.03	Option sale to close-call ²⁵
GERN 06/19/2020 CALL \$3.00 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	06/18/20	5.00	...	-5.00	Option expiration- long call
GNMK 07/17/2020 CALL \$15.00 / CUSIP: / Symbol:							
06/22/20	1.000	139.98	06/19/20	110.00	...	29.98	Option sale to close-call ²⁵
H 08/21/2020 PUT \$40.00 / CUSIP: / Symbol:							
05/14/20	1.000	469.97	05/13/20	295.00	...	174.97	Option sale to close-put ²⁵
05/26/20	1.000	99.98	05/22/20	175.00	...	-75.02	Option sale to close-put ²⁵
	Security total:	569.95		470.00	...	99.95	
HTZ 04/24/2020 PUT \$4.00 / CUSIP: / Symbol:							
04/24/20	1.000	54.98	04/21/20	25.00	...	29.98	Option sale to close-put ²⁵
IDEX 08/21/2020 CALL \$3.00 / CUSIP: / Symbol:							
06/22/20	2.000	295.98	06/22/20	250.00	...	45.98	Option sale to close-call ²⁵
INO 06/19/2020 CALL \$13.50 / CUSIP: / Symbol:							
06/19/20	1.000	79.98	06/17/20	85.00	...	-5.02	Option sale to close-call ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INO 06/26/2020 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	4.000	339.98	06/19/20	480.00	...	-140.02	1 of 2 - Option sale to close-call ²⁵
	1.000	84.98	06/19/20	120.00	...	-35.02	2 of 2 - Option sale to close-call ²⁵
06/22/20	5.000	424.96	Various	600.00	...	-175.04	Total of 2 transactions
INO 07/02/2020 CALL \$16.00 / CUSIP: / Symbol:							
06/22/20	2.000	299.98	06/22/20	300.00	...	-0.02	Option sale to close-call ²⁵
INO 07/10/2020 CALL \$16.00 / CUSIP: / Symbol:							
06/22/20	2.000	359.98	06/22/20	410.00	...	-50.02	Option sale to close-call ²⁵
LAKE 08/21/2020 CALL \$22.50 / CUSIP: / Symbol:							
06/24/20	1.000	439.98	06/24/20	380.00	...	59.98	Option sale to close-call ²⁵
LUV 06/26/2020 CALL \$40.00 / CUSIP: / Symbol:							
06/18/20	3.000	119.98	06/17/20	195.00	...	-75.02	Option sale to close-call ²⁵
LVS 09/18/2020 PUT \$40.00 / CUSIP: / Symbol:							
04/30/20	1.000	404.98	04/29/20	390.00	...	14.98	Option sale to close-put ²⁵
MGM 08/21/2020 PUT \$12.00 / CUSIP: / Symbol:							
05/26/20	1.000	70.98	05/22/20	98.00	...	-27.02	Option sale to close-put ²⁵
MMYT 07/17/2020 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	4.000	171.99	06/19/20	260.00	...	-88.01	1 of 2 - Option sale to close-call ²⁵
	2.000	85.99	06/19/20	120.00	...	-34.01	2 of 2 - Option sale to close-call ²⁵
06/22/20	6.000	257.98	Various	380.00	...	-122.02	Total of 2 transactions
NCLH 07/02/2020 PUT \$17.50 / CUSIP: / Symbol:							
06/15/20	1.000	184.98	06/12/20	190.00	...	-5.02	Option sale to close-put ²⁵
NCLH 07/10/2020 PUT \$17.00 / CUSIP: / Symbol:							
06/15/20	1.000	199.98	06/12/20	185.00	...	14.98	Option sale to close-put ²⁵
NIO 06/26/2020 CALL \$8.00 / CUSIP: / Symbol:							
06/22/20	5.000	219.98	06/19/20	215.00	...	4.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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NIO 07/31/2020 CALL \$8.50 / CUSIP: / Symbol:							
07/07/20	2.000	819.97	07/06/20	790.00	...	29.97	Option sale to close-call ²⁵
NIO 07/31/2020 CALL \$9.50 / CUSIP: / Symbol:							
07/06/20	1.000	290.98	07/06/20	300.00	...	-9.02	Option sale to close-call ²⁵
NKLA 06/26/2020 CALL \$75.00 / CUSIP: / Symbol:							
06/22/20	1.000	104.98	06/17/20	340.00	...	-235.02	Option sale to close-call ²⁵
NKLA 07/24/2020 CALL \$65.00 / CUSIP: / Symbol:							
06/23/20	1.000	769.97	06/22/20	720.00	...	49.97	Option sale to close-call ²⁵
NKLA 07/31/2020 CALL \$65.00 / CUSIP: / Symbol:							
2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	1.000	781.97	06/22/20	730.00	...	51.97	1 of 2 - Option sale to close-call ²⁵
	1.000	781.97	06/22/20	720.00	...	61.97	2 of 2 - Option sale to close-call ²⁵
06/23/20	2.000	1,563.94	Various	1,450.00	...	113.94	Total of 2 transactions
NVAX 06/26/2020 CALL \$62.00 / CUSIP: / Symbol:							
06/18/20	1.000	214.98	06/17/20	180.00	...	34.98	Option sale to close-call ²⁵
NVAX 06/26/2020 CALL \$72.00 / CUSIP: / Symbol:							
3 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	1.000	75.00	06/19/20	265.02	...	-190.02	1 of 3 - Option sale to close-call ²⁵
	1.000	74.99	06/19/20	185.00	...	-110.01	2 of 3 - Option sale to close-call ²⁵
	1.000	74.99	06/19/20	155.00	...	-80.01	3 of 3 - Option sale to close-call ²⁵
06/22/20	3.000	224.98	Various	605.02	...	-380.04	Total of 3 transactions
06/22/20	1.000	74.98	06/19/20	155.00	80.02 W	0.00	Option sale to close-call ²⁵
Security total:		299.96		760.02	80.02 W	-380.04	
OPK 06/26/2020 CALL \$3.00 / CUSIP: / Symbol:							
2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	2.000	11.98	06/17/20	20.00	...	-8.02	1 of 2 - Option sale to close-call ²⁵
	1.000	5.98	06/17/20	10.00	...	-4.02	2 of 2 - Option sale to close-call ²⁵
06/18/20	3.000	17.96	Various	30.00	...	-12.04	Total of 2 transactions

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OSTK 06/26/2020 CALL \$26.00 / CUSIP: / Symbol:							
2 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	1.000	124.98	06/19/20	105.00	...	19.98	1 of 2 - Option sale to close-call ²⁵
	4.000	499.97	06/19/20	420.00	...	79.97	2 of 2 - Option sale to close-call ²⁵
06/22/20	5.000	624.95	Various	525.00	...	99.95	Total of 2 transactions
OSTK 06/26/2020 PUT \$18.50 / CUSIP: / Symbol:							
06/18/20	2.000	9.98	06/16/20	120.00	...	-110.02	Option sale to close-put ²⁵
OSTK 07/31/2020 CALL \$26.00 / CUSIP: / Symbol:							
06/29/20	1.000	449.98	06/29/20	510.00	...	-60.02	Option sale to close-call ²⁵
OVID 07/17/2020 CALL \$10.00 / CUSIP: / Symbol:							
06/22/20	2.000	109.98	06/22/20	140.00	...	-30.02	Option sale to close-call ²⁵
PLT 08/21/2020 CALL \$12.50 / CUSIP: / Symbol:							
06/30/20	1.000	349.98	06/29/20	340.00	...	9.98	Option sale to close-call ²⁵
PLUG 07/10/2020 CALL \$6.00 / CUSIP: / Symbol:							
06/24/20	2.000	261.98	06/24/20	300.00	...	-38.02	Option sale to close-call ²⁵
RAD 07/31/2020 CALL \$15.00 / CUSIP: / Symbol:							
07/06/20	1.000	379.98	07/06/20	385.00	...	-5.02	Option sale to close-call ²⁵
SELB 07/17/2020 CALL \$5.00 / CUSIP: / Symbol:							
5 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	1.000	9.99	06/19/20	45.02	...	-35.03	1 of 5 - Option sale to close-call ²⁵
	1.000	10.00	06/19/20	45.02	...	-35.02	2 of 5 - Option sale to close-call ²⁵
	1.000	10.00	06/19/20	45.01	...	-35.01	3 of 5 - Option sale to close-call ²⁵
	1.000	9.98	06/19/20	30.00	...	-20.02	4 of 5 - Option sale to close-call ²⁵
	1.000	9.99	06/19/20	30.00	...	-20.01	5 of 5 - Option sale to close-call ²⁵
06/22/20	5.000	49.96	Various	195.05	...	-145.09	Total of 5 transactions
3 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	3.000	44.98	06/19/20	90.00	15.01 W	-30.01	1 of 3 - Option sale to close-call ²⁵
	1.000	14.98	06/19/20	30.00	15.02 W	0.00	2 of 3 - Option sale to close-call ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SELB 07/17/2020 CALL \$5.00 / CUSIP: / Symbol: (cont'd)							
	1.000	14.98	06/19/20	30.00	15.02 W	0.00	3 of 3 - Option sale to close-call ²⁵
06/22/20	5.000	74.94	Various	150.00	45.05 W	-30.01	Total of 3 transactions
	Security total:	124.90		345.05	45.05 W	-175.10	
SOLO 08/21/2020 CALL \$2.50 / CUSIP: / Symbol:							
2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	1.000	299.98	07/06/20	255.00	...	44.98	1 of 2 - Option sale to close-call ²⁵
	1.000	299.99	07/06/20	255.00	...	44.99	2 of 2 - Option sale to close-call ²⁵
07/06/20	2.000	599.97	Various	510.00	...	89.97	Total of 2 transactions
SPCE 04/24/2020 CALL \$16.50 / CUSIP: / Symbol:							
04/24/20	1.000	19.98	04/23/20	56.00	...	-36.02	Option sale to close-call ²⁵
SPY 04/27/2020 PUT \$264.00 / CUSIP: / Symbol:							
04/24/20	1.000	12.98	04/23/20	236.02	...	-223.04	Option sale to close-put ²⁵
04/24/20	1.000	10.98	04/21/20	222.00	211.02 W	0.00	Option sale to close-put ²⁵
	Security total:	23.96		458.02	211.02 W	-223.04	
SRNE 06/19/2020 PUT \$4.00 / CUSIP: / Symbol:							
06/15/20	1.000	12.98	06/12/20	20.00	...	-7.02	Option sale to close-put ²⁵
UAL 04/24/2020 PUT \$27.00 / CUSIP: / Symbol:							
04/24/20	1.000	193.98	04/20/20	109.00	...	84.98	Option sale to close-put ²⁵
UAL 05/01/2020 PUT \$24.00 / CUSIP: / Symbol:							
04/27/20	1.000	67.98	04/24/20	94.00	...	-26.02	Option sale to close-put ²⁵
UAL 07/10/2020 PUT \$30.00 / CUSIP: / Symbol:							
06/22/20	2.000	235.98	06/22/20	202.00	...	33.98	Option sale to close-put ²⁵
VRAY 07/17/2020 CALL \$3.00 / CUSIP: / Symbol:							
06/25/20	2.000	9.98	06/19/20	20.00	10.02 W	0.00	Option sale to close-call ²⁵
2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	2.000	19.99	06/19/20	30.02	...	-10.03	1 of 2 - Option sale to close-call ²⁵
	1.000	9.99	06/19/20	10.00	...	-0.01	2 of 2 - Option sale to close-call ²⁵
06/30/20	3.000	29.98	Various	40.02	...	-10.04	Total of 2 transactions

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VRAY 07/17/2020 CALL \$3.00 / CUSIP: / Symbol: (cont'd)							
Security total:		39.96		60.02		10.02 W	-10.04
WKHS 07/17/2020 CALL \$7.50 / CUSIP: / Symbol:							
06/24/20	1.000	159.98	06/24/20	170.00	...	-10.02	Option sale to close-call ²⁵
WTRH 08/21/2020 CALL \$2.00 / CUSIP: / Symbol:							
06/25/20	2.000	119.98	06/24/20	170.00	...	-50.02	Option sale to close-call ²⁵
ADMA BIOLOGICS INC COMMON STOC K / CUSIP: 000899104 / Symbol:							
03/05/20	100.000	329.99	03/04/20	359.90	29.91 W	0.00	Sale ²⁵
2 transactions for 03/11/20. Total proceeds and cost reported to the IRS.							
	100.000	248.99	03/10/20	317.91	68.92 W	0.00	1 of 2 - Sale ²⁵
	100.000	248.99	03/10/20	288.00	39.01 W	0.00	2 of 2 - Sale ²⁵
03/11/20	200.000	497.98	Various	605.91	107.93 W	0.00	Total of 2 transactions
2 transactions for 03/16/20. Total proceeds and cost reported to the IRS.							
	100.000	190.99	03/13/20	306.92	115.93 W	0.00	1 of 2 - Sale ²⁵
	100.000	190.99	03/13/20	277.01	86.02 W	0.00	2 of 2 - Sale ²⁵
03/16/20	200.000	381.98	Various	583.93	201.95 W	0.00	Total of 2 transactions
2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	100.000	221.99	03/19/20	326.93	...	-104.94	1 of 2 - Sale ²⁵
	50.000	110.99	03/19/20	148.51	...	-37.52	2 of 2 - Sale ²⁵
03/20/20	150.000	332.98	Various	475.44	...	-142.46	Total of 2 transactions
04/03/20	50.000	125.00	04/02/20	175.01	...	-50.01	Sale ²⁵
3 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	50.000	149.99	06/08/20	154.38	...	-4.39	1 of 3 - Sale ²⁵
	25.000	75.00	06/08/20	77.21	...	-2.21	2 of 3 - Sale ²⁵
	25.000	75.00	06/08/20	77.00	...	-2.00	3 of 3 - Sale ²⁵
06/09/20	100.000	299.99	Various	308.59	...	-8.60	Total of 3 transactions
Security total:		1,967.92		2,508.78	339.79 W	-201.07	
AK STEEL HOLDING CORP. / CUSIP: 001547108 / Symbol:							
03/09/20	150.000	271.52	03/06/20	335.55	...	-64.03	Sale ²⁵

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
2 transactions for 04/13/20. Total proceeds and cost reported to the IRS.							
	152.000	349.58	04/09/20	453.72	104.14 W	0.00	1 of 2 - Sale ²⁵
	48.000	110.40	04/09/20	143.52	33.12 W	0.00	2 of 2 - Sale ²⁵
04/13/20	200.000	459.98	Various	597.24	137.26 W	0.00	Total of 2 transactions
3 transactions for 04/15/20. Total proceeds and cost reported to the IRS.							
	48.000	99.83	04/14/20	143.04	43.21 W	0.00	1 of 3 - Sale ²⁵
	152.000	316.13	04/14/20	452.22	136.09 W	0.00	2 of 3 - Sale ²⁵
	100.000	207.98	04/14/20	229.00	21.02 W	0.00	3 of 3 - Sale ²⁵
04/15/20	300.000	623.94	Various	824.26	200.32 W	0.00	Total of 3 transactions
2 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	50.000	260.59	04/28/20	233.77	...	26.82	1 of 2 - Sale ²⁵
	50.000	261.50	04/28/20	233.76	...	27.74	2 of 2 - Sale ²⁵
04/29/20	100.000	522.09	Various	467.53	...	54.56	Total of 2 transactions
3 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	50.000	230.50	04/28/20	276.27	45.77 W	0.00	1 of 3 - Sale ²⁵
	2.000	9.22	04/28/20	11.25	2.03 W	0.00	2 of 3 - Sale ²⁵
	48.000	221.28	04/28/20	270.25	48.97 W	0.00	3 of 3 - Sale ²⁵
04/30/20	100.000	461.00	Various	557.77	96.77 W	0.00	Total of 3 transactions
5 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	1.000	4.74	05/11/20	6.92	2.18 W	0.00	1 of 5 - Sale ²⁵
	1.000	4.74	05/11/20	6.06	1.32 W	0.00	2 of 5 - Sale ²⁵
	50.000	237.00	05/12/20	293.51	56.51 W	0.00	3 of 5 - Sale ²⁵
	3.000	14.22	05/12/20	17.61	3.39 W	0.00	4 of 5 - Sale ²⁵
	45.000	213.30	05/12/20	265.51	52.21 W	0.00	5 of 5 - Sale ²⁵
05/13/20	100.000	474.00	Various	589.61	115.61 W	0.00	Total of 5 transactions
2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	10.000	44.72	05/14/20	52.35	7.63 W	0.00	1 of 2 - Sale ²⁵
	40.000	178.89	05/14/20	208.62	29.73 W	0.00	2 of 2 - Sale ²⁵
05/15/20	50.000	223.61	Various	260.97	37.36 W	0.00	Total of 2 transactions

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	2.000	9.36	05/18/20	11.71	2.35 W	0.00	1 of 2 - Sale ²⁵
	8.000	37.46	05/18/20	46.88	9.42 W	0.00	2 of 2 - Sale ²⁵
05/19/20	10.000	46.82	Various	58.59	11.77 W	0.00	Total of 2 transactions
4 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	40.000	185.20	05/20/20	229.20	44.00 W	0.00	1 of 4 - Sale ²⁵
	1.000	4.63	05/20/20	6.89	2.26 W	0.00	2 of 4 - Sale ²⁵
	1.000	4.63	05/20/20	6.03	1.40 W	0.00	3 of 4 - Sale ²⁵
	8.000	37.05	05/20/20	46.72	9.67 W	0.00	4 of 4 - Sale ²⁵
05/21/20	50.000	231.51	Various	288.84	57.33 W	0.00	Total of 4 transactions
05/22/20	1.000	4.59	05/21/20	5.77	1.18 W	0.00	Sale ²⁵
4 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	25.000	133.00	05/26/20	154.41	21.41 W	0.00	1 of 4 - Sale ²⁵
	3.000	15.96	05/26/20	18.50	2.54 W	0.00	2 of 4 - Sale ²⁵
	3.000	15.96	05/26/20	18.59	2.63 W	0.00	3 of 4 - Sale ²⁵
	16.000	85.12	05/26/20	98.65	13.53 W	0.00	4 of 4 - Sale ²⁵
05/27/20	47.000	250.04	Various	290.15	40.11 W	0.00	Total of 4 transactions
5 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	2.000	10.11	05/29/20	12.98	2.87 W	0.00	1 of 5 - Sale ²⁵
	6.000	30.32	05/29/20	38.96	8.64 W	0.00	2 of 5 - Sale ²⁵
	10.000	50.54	05/29/20	60.78	10.24 W	0.00	3 of 5 - Sale ²⁵
	40.000	202.15	05/29/20	242.33	40.18 W	0.00	4 of 5 - Sale ²⁵
	42.000	212.25	05/29/20	271.96	59.71 W	0.00	5 of 5 - Sale ²⁵
06/01/20	100.000	505.37	Various	627.01	121.64 W	0.00	Total of 5 transactions
4 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	3.000	20.97	06/09/20	20.75	...	0.22	1 of 4 - Sale ²⁵
	3.000	20.97	06/09/20	20.65	...	0.32	2 of 4 - Sale ²⁵
	16.000	111.83	06/09/20	110.13	...	1.70	3 of 4 - Sale ²⁵
	25.000	174.74	06/09/20	172.36	...	2.38	4 of 4 - Sale ²⁵
06/10/20	47.000	328.51	Various	323.89	...	4.62	Total of 4 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
6 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	2.000	13.98	06/09/20	14.43	0.45 W	0.00	1 of 6 - Sale ²⁵
	40.000	279.59	06/09/20	285.52	5.93 W	0.00	2 of 6 - Sale ²⁵
	1.000	6.99	06/09/20	8.30	1.31 W	0.00	3 of 6 - Sale ²⁵
	1.000	6.99	06/09/20	7.44	0.45 W	0.00	4 of 6 - Sale ²⁵
	1.000	6.99	06/09/20	7.22	0.23 W	0.00	5 of 6 - Sale ²⁵
	8.000	55.92	06/09/20	57.97	2.05 W	0.00	6 of 6 - Sale ²⁵
06/10/20	53.000	370.46	Various	380.88	10.42 W	0.00	Total of 6 transactions
5 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	2.000	11.16	06/16/20	14.31	3.15 W	0.00	1 of 5 - Sale ²⁵
	6.000	33.48	06/16/20	42.95	9.47 W	0.00	2 of 5 - Sale ²⁵
	10.000	55.80	06/16/20	67.42	11.62 W	0.00	3 of 5 - Sale ²⁵
	40.000	223.19	06/16/20	268.90	45.71 W	0.00	4 of 5 - Sale ²⁵
	42.000	234.34	06/16/20	299.86	65.52 W	0.00	5 of 5 - Sale ²⁵
06/17/20	100.000	557.97	Various	693.44	135.47 W	0.00	Total of 5 transactions
4 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	1.000	6.21	06/18/20	6.14	...	0.07	1 of 4 - Sale ²⁵
	2.000	12.42	06/18/20	11.83	...	0.59	2 of 4 - Sale ²⁵
	6.000	37.26	06/18/20	35.68	...	1.58	3 of 4 - Sale ²⁵
	40.000	248.40	06/18/20	233.53	...	14.87	4 of 4 - Sale ²⁵
06/19/20	49.000	304.29	Various	287.18	...	17.11	Total of 4 transactions
06/19/20	1.000	6.21	06/18/20	7.00	0.79 W	0.00	Sale ²⁵
9 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	42.000	176.90	06/29/20	239.32	...	-62.42	1 of 9 - Sale ²⁵
	6.000	25.27	06/29/20	34.30	...	-9.03	2 of 9 - Sale ²⁵
	2.000	8.42	06/29/20	11.43	...	-3.01	3 of 9 - Sale ²⁵
	1.000	4.21	06/29/20	4.93	...	-0.72	4 of 9 - Sale ²⁵
	2.000	8.42	06/29/20	8.79	...	-0.37	5 of 9 - Sale ²⁵
	1.000	4.21	06/29/20	4.37	...	-0.16	6 of 9 - Sale ²⁵
	1.000	4.21	06/29/20	3.99	...	0.22	7 of 9 - Sale ²⁵
	196.000	825.51	06/29/20	811.04	...	14.47	8 of 9 - Sale ²⁵
	199.000	838.15	06/29/20	823.45	...	14.70	9 of 9 - Sale ²⁵
06/29/20	450.000	1,895.30	Various	1,941.62	...	-46.32	Total of 9 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol: (cont'd)							
14 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	10.000	39.80	06/29/20	51.52	11.72 W	0.00	1 of 14 - Sale ²⁵
	10.000	39.80	06/29/20	51.33	11.53 W	0.00	2 of 14 - Sale ²⁵
	2.000	7.96	06/29/20	11.13	3.17 W	0.00	3 of 14 - Sale ²⁵
	6.000	23.88	06/29/20	33.41	9.53 W	0.00	4 of 14 - Sale ²⁵
	30.000	119.39	06/29/20	153.97	34.58 W	0.00	5 of 14 - Sale ²⁵
	1.000	3.98	06/29/20	4.78	0.80 W	0.00	6 of 14 - Sale ²⁵
	42.000	167.15	06/29/20	233.09	65.94 W	0.00	7 of 14 - Sale ²⁵
	1.000	3.98	06/29/20	4.22	0.24 W	0.00	8 of 14 - Sale ²⁵
	2.000	7.96	06/29/20	8.49	0.53 W	0.00	9 of 14 - Sale ²⁵
	199.000	791.98	06/29/20	793.97	1.99 W	0.00	10 of 14 - Sale ²⁵
	196.000	780.04	06/29/20	782.00	1.96 W	0.00	11 of 14 - Sale ²⁵
	10.000	42.12	06/29/20	53.00	10.88 W	0.00	12 of 14 - Sale ²⁵
	10.000	42.12	06/29/20	52.81	10.69 W	0.00	13 of 14 - Sale ²⁵
	30.000	126.35	06/29/20	158.42	32.07 W	0.00	14 of 14 - Sale ²⁵
06/29/20	549.000	2,196.51	Various	2,392.14	195.63 W	0.00	Total of 14 transactions
3 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	30.000	126.97	07/06/20	159.57	...	-32.60	1 of 3 - Sale ²⁵
	10.000	42.32	07/06/20	53.38	...	-11.06	2 of 3 - Sale ²⁵
	10.000	42.32	07/06/20	53.19	...	-10.87	3 of 3 - Sale ²⁵
07/06/20	50.000	211.61	Various	266.14	...	-54.53	Total of 3 transactions
	Security total:	9,673.81		10,860.03	1,161.66 W	-24.56	
ABBOTT LABORATORIES / CUSIP: 002824100 / Symbol:							
03/31/20	10.000	805.68	03/30/20	823.80	...	-18.12	Sale ²⁵
ACASTI PHARMA, INC. CLASS A COMMON STOCK / CUSIP: 00430K402 / Symbol:							
2 transactions for 03/05/20. Total proceeds and cost reported to the IRS.							
	200.000	90.98	03/03/20	96.72	5.74 W	0.00	1 of 2 - Sale ²⁵
	150.000	67.48	03/03/20	72.54	5.06 W	0.00	2 of 2 - Sale ²⁵
03/05/20	350.000	158.46	Various	169.26	10.80 W	0.00	Total of 2 transactions
3 transactions for 03/23/20. Total proceeds and cost reported to the IRS.							
	150.000	46.93	03/19/20	48.56	...	-1.63	1 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ACASTI PHARMA, INC. CLASS A CO MMON STOCK / CUSIP: 00430K402 / Symbol: (cont'd)							
	150.000	46.94	03/19/20	47.80	...	-0.86	2 of 3 - Sale ²⁵
	50.000	15.64	03/19/20	15.44	...	0.20	3 of 3 - Sale ²⁵
03/23/20	350.000	109.51	Various	111.80	...	-2.29	Total of 3 transactions
06/05/20	200.000	150.18	06/04/20	180.00	...	-29.82	Sale ²⁵
3 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	1,000.000	609.87	07/06/20	634.70	...	-24.83	1 of 3 - Sale ²⁵
	200.000	124.46	07/06/20	123.80	...	0.66	2 of 3 - Sale ²⁵
	800.000	495.90	07/06/20	495.20	...	0.70	3 of 3 - Sale ²⁵
07/06/20	2,000.000	1,230.23	Various	1,253.70	...	-23.47	Total of 3 transactions
	Security total:	1,648.38		1,714.76	10.80 W	-55.58	
ACTINIUM PHARMACEUTICALS, INC / CUSIP: 00507W107 / Symbol:							
03/06/20	500.000	147.45	03/05/20	158.75	11.30 W	0.00	Sale ²⁵
03/23/20	50.000	9.03	03/19/20	9.00	...	0.03	Sale ²⁵
6 transactions for 03/23/20. Total proceeds and cost reported to the IRS.							
	190.000	35.13	03/19/20	38.48	3.35 W	0.00	1 of 6 - Sale ²⁵
	100.000	18.39	03/19/20	20.25	1.86 W	0.00	2 of 6 - Sale ²⁵
	1.000	0.19	03/19/20	0.20	0.01 W	0.00	3 of 6 - Sale ²⁵
	100.000	18.39	03/19/20	20.25	1.86 W	0.00	4 of 6 - Sale ²⁵
	54.000	9.82	03/19/20	10.93	1.11 W	0.00	5 of 6 - Sale ²⁵
	55.000	9.93	03/19/20	11.14	1.21 W	0.00	6 of 6 - Sale ²⁵
03/23/20	500.000	91.85	Various	101.25	9.40 W	0.00	Total of 6 transactions
9 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	55.000	9.97	04/22/20	10.25	...	-0.28	1 of 9 - Sale ²⁵
	54.000	9.78	04/22/20	9.99	...	-0.21	2 of 9 - Sale ²⁵
	190.000	34.42	04/22/20	34.59	...	-0.17	3 of 9 - Sale ²⁵
	90.000	16.31	04/22/20	16.47	...	-0.16	4 of 9 - Sale ²⁵
	91.000	16.49	04/22/20	16.65	...	-0.16	5 of 9 - Sale ²⁵
	10.000	1.81	04/22/20	1.83	...	-0.02	6 of 9 - Sale ²⁵
	9.000	1.63	04/22/20	1.65	...	-0.02	7 of 9 - Sale ²⁵
	1.000	0.18	04/22/20	0.17	...	0.01	8 of 9 - Sale ²⁵
	1,000.000	181.18	04/22/20	164.40	...	16.78	9 of 9 - Sale ²⁵
04/23/20	1,500.000	271.77	Various	256.00	...	15.77	Total of 9 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ACTINIUM PHARMACEUTICALS, INC / CUSIP: 00507W107 / Symbol: (cont'd)							
<i>7 transactions for 06/10/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	53.60	06/09/20	44.50	...	9.10	1 of 7 - Sale ²⁵
	500.000	267.98	06/09/20	249.45	...	18.53	2 of 7 - Sale ²⁵
	300.000	160.79	06/09/20	134.94	...	25.85	3 of 7 - Sale ²⁵
	500.000	267.98	06/09/20	234.75	...	33.23	4 of 7 - Sale ²⁵
	500.000	267.99	06/09/20	234.35	...	33.64	5 of 7 - Sale ²⁵
	400.000	214.39	06/09/20	179.92	...	34.47	6 of 7 - Sale ²⁵
	200.000	107.19	06/09/20	71.16	...	36.03	7 of 7 - Sale ²⁵
06/10/20	2,500.000	1,339.92	Various	1,149.07	...	190.85	Total of 7 transactions
06/11/20	200.000	80.38	06/10/20	117.96	37.58 W	0.00	Sale ²⁵
<i>13 transactions for 06/23/20. Total proceeds and cost reported to the IRS.</i>							
	36.000	16.22	06/22/20	15.30	...	0.92	1 of 13 - Sale ²⁵
	20.000	9.01	06/22/20	7.96	...	1.05	2 of 13 - Sale ²⁵
	64.000	28.84	06/22/20	27.20	...	1.64	3 of 13 - Sale ²⁵
	100.000	45.06	06/22/20	42.50	...	2.56	4 of 13 - Sale ²⁵
	100.000	45.06	06/22/20	42.50	...	2.56	5 of 13 - Sale ²⁵
	80.000	36.05	06/22/20	31.82	...	4.23	6 of 13 - Sale ²⁵
	200.000	90.11	06/22/20	85.00	...	5.11	7 of 13 - Sale ²⁵
	100.000	45.06	06/22/20	39.78	...	5.28	8 of 13 - Sale ²⁵
	100.000	45.06	06/22/20	39.78	...	5.28	9 of 13 - Sale ²⁵
	250.000	112.64	06/22/20	105.50	...	7.14	10 of 13 - Sale ²⁵
	250.000	112.64	06/22/20	102.44	...	10.20	11 of 13 - Sale ²⁵
	500.000	225.29	06/22/20	214.50	...	10.79	12 of 13 - Sale ²⁵
	500.000	225.29	06/22/20	211.00	...	14.29	13 of 13 - Sale ²⁵
06/23/20	2,300.000	1,036.33	Various	965.28	...	71.05	Total of 13 transactions
06/23/20	200.000	90.12	06/22/20	117.16	27.04 W	0.00	Sale ²⁵
<i>14 transactions for 07/06/20. Total proceeds and cost reported to the IRS.</i>							
	1,000.000	364.08	07/06/20	394.00	...	-29.92	1 of 14 - Sale ²⁵
	200.000	71.02	07/06/20	100.91	...	-29.89	2 of 14 - Sale ²⁵
	975.000	354.97	07/06/20	370.31	...	-15.34	3 of 14 - Sale ²⁵
	475.000	172.93	07/06/20	179.98	...	-7.05	4 of 14 - Sale ²⁵
	50.000	17.75	07/06/20	19.89	...	-2.14	5 of 14 - Sale ²⁵
	50.000	18.20	07/06/20	17.99	...	0.21	6 of 14 - Sale ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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ACTINIUM PHARMACEUTICALS, INC / CUSIP: 00507W107 / Symbol: (cont'd)							
	100.000	36.41	07/06/20	35.98	...	0.43	7 of 14 - Sale ²⁵
	100.000	36.41	07/06/20	35.98	...	0.43	8 of 14 - Sale ²⁵
	100.000	36.41	07/06/20	35.98	...	0.43	9 of 14 - Sale ²⁵
	100.000	36.41	07/06/20	35.98	...	0.43	10 of 14 - Sale ²⁵
	100.000	36.41	07/06/20	35.90	...	0.51	11 of 14 - Sale ²⁵
	1,000.000	364.07	07/06/20	359.80	...	4.27	12 of 14 - Sale ²⁵
	300.000	109.22	07/06/20	101.82	...	7.40	13 of 14 - Sale ²⁵
	500.000	182.04	07/06/20	171.85	...	10.19	14 of 14 - Sale ²⁵
07/06/20	5,050.000	1,836.33	Various	1,896.37	...	-60.04	Total of 14 transactions
	2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	1,000.000	364.07	07/06/20	367.90	0.19 W	-3.64	1 of 2 - Sale ²⁵
	200.000	72.81	07/06/20	94.92	22.11 W	0.00	2 of 2 - Sale ²⁵
07/06/20	1,200.000	436.88	Various	462.82	22.30 W	-3.64	Total of 2 transactions
	Security total:	5,340.06		5,233.66	107.62 W	214.02	
ADAPTIVE BIOTECHNOLOGIES CORPO RATION COMMON STOCK / CUSIP: 00650F109 / Symbol:							
	2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.						
	15.000	614.98	06/09/20	620.10	...	-5.12	1 of 2 - Sale ²⁵
	10.000	409.99	06/09/20	415.07	...	-5.08	2 of 2 - Sale ²⁵
06/10/20	25.000	1,024.97	Various	1,035.17	...	-10.20	Total of 2 transactions
ADVAXIS, INC. COMMON STOCK / CUSIP: 007624307 / Symbol:							
	2 transactions for 02/12/20. Total proceeds and cost reported to the IRS.						
	74.000	80.65	02/11/20	88.07	...	-7.42	1 of 2 - Sale ²⁵
	26.000	28.34	02/11/20	29.64	...	-1.30	2 of 2 - Sale ²⁵
02/12/20	100.000	108.99	Various	117.71	...	-8.72	Total of 2 transactions
02/12/20	100.000	108.99	02/11/20	114.00	3.71 W	-1.30	Sale ²⁵
03/18/20	400.000	213.95	03/17/20	231.40	...	-17.45	Sale ²⁵
	Security total:	431.93		463.11	3.71 W	-27.47	
AEROCENTURY CORPORATION / CUSIP: 007737109 / Symbol:							
04/08/20	150.000	182.98	04/07/20	180.00	...	2.98	Sale ²⁵
	5 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	72.000	200.56	06/30/20	240.49	...	-39.93	1 of 5 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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AEROCENTURY CORPORATION / CUSIP: 007737109 / Symbol: (cont'd)							
	50.000	140.50	06/30/20	175.51	...	-35.01	2 of 5 - Sale ²⁵
	50.000	140.49	06/30/20	157.50	...	-17.01	3 of 5 - Sale ²⁵
	26.000	72.42	06/30/20	87.18	...	-14.76	4 of 5 - Sale ²⁵
	2.000	5.57	06/30/20	6.73	...	-1.16	5 of 5 - Sale ²⁵
06/30/20	200.000	559.54	Various	667.41	...	-107.87	Total of 5 transactions
	2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	122.000	342.80	06/30/20	386.74	43.94 W	0.00	1 of 2 - Sale ²⁵
	28.000	78.67	06/30/20	89.12	10.45 W	0.00	2 of 2 - Sale ²⁵
06/30/20	150.000	421.47	Various	475.86	54.39 W	0.00	Total of 2 transactions
	Security total:	1,163.99		1,323.27	54.39 W	-104.89	
ADVANCED MICRO DEVICES, INC. COMMON STOCK / CUSIP: 007903107 / Symbol:							
	2 transactions for 02/11/20. Total proceeds and cost reported to the IRS.						
	2.000	107.37	02/10/20	104.00	...	3.37	1 of 2 - Sale ²⁵
	5.000	268.40	02/10/20	260.05	...	8.35	2 of 2 - Sale ²⁵
02/11/20	7.000	375.77	Various	364.05	...	11.72	Total of 2 transactions
02/13/20	3.000	159.96	02/12/20	161.91	1.95 W	0.00	Sale ²⁵
	2 transactions for 02/21/20. Total proceeds and cost reported to the IRS.						
	3.000	170.51	02/18/20	169.25	...	1.26	1 of 2 - Sale ²⁵
	7.000	397.87	02/18/20	390.38	...	7.49	2 of 2 - Sale ²⁵
02/21/20	10.000	568.38	Various	559.63	...	8.75	Total of 2 transactions
	Security total:	1,104.11		1,085.59	1.95 W	20.47	
AGILE THERAPEUTICS, INC. COMMON STOCK / CUSIP: 00847L100 / Symbol:							
	4 transactions for 02/13/20. Total proceeds and cost reported to the IRS.						
	2.000	8.66	02/12/20	9.25	0.59 W	0.00	1 of 4 - Sale ²⁵
	68.000	253.63	02/12/20	314.50	60.87 W	0.00	2 of 4 - Sale ²⁵
	2.000	7.46	02/12/20	9.81	2.35 W	0.00	3 of 4 - Sale ²⁵
	3.000	11.19	02/12/20	13.82	2.63 W	0.00	4 of 4 - Sale ²⁵
02/13/20	75.000	280.94	Various	347.38	66.44 W	0.00	Total of 4 transactions
	3 transactions for 02/14/20. Total proceeds and cost reported to the IRS.						
	30.000	114.67	02/12/20	165.08	50.41 W	0.00	1 of 3 - Sale ²⁵
	5.000	19.11	02/12/20	27.57	8.46 W	0.00	2 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AGILE THERAPEUTICS, INC. COMMO N STOCK / CUSIP: 00847L100 / Symbol: (cont'd)							
	15.000	57.34	02/12/20	82.55	25.21 W	0.00	3 of 3 - Sale ²⁵
02/14/20	50.000	191.12	Various	275.20	84.08 W	0.00	Total of 3 transactions
	2 transactions for 02/21/20. Total proceeds and cost reported to the IRS.						
	2.000	6.14	02/20/20	9.47	3.33 W	0.00	1 of 2 - Sale ²⁵
	18.000	55.26	02/20/20	80.16	24.90 W	0.00	2 of 2 - Sale ²⁵
02/21/20	20.000	61.40	Various	89.63	28.23 W	0.00	Total of 2 transactions
	2 transactions for 02/27/20. Total proceeds and cost reported to the IRS.						
	35.000	104.29	02/26/20	115.10	...	-10.81	1 of 2 - Sale ²⁵
	15.000	44.70	02/26/20	49.31	...	-4.61	2 of 2 - Sale ²⁵
02/27/20	50.000	148.99	Various	164.41	...	-15.42	Total of 2 transactions
	7 transactions for 02/27/20. Total proceeds and cost reported to the IRS.						
	2.000	5.96	02/26/20	9.88	3.92 W	0.00	1 of 7 - Sale ²⁵
	5.000	14.90	02/26/20	24.84	9.94 W	0.00	2 of 7 - Sale ²⁵
	15.000	44.70	02/26/20	74.34	29.64 W	0.00	3 of 7 - Sale ²⁵
	30.000	89.40	02/26/20	148.66	59.26 W	0.00	4 of 7 - Sale ²⁵
	18.000	53.64	02/26/20	83.85	30.21 W	0.00	5 of 7 - Sale ²⁵
	3.000	8.94	02/26/20	12.45	3.51 W	0.00	6 of 7 - Sale ²⁵
	27.000	80.45	02/26/20	88.42	7.97 W	0.00	7 of 7 - Sale ²⁵
02/27/20	100.000	297.99	Various	442.44	144.45 W	0.00	Total of 7 transactions
	7 transactions for 03/03/20. Total proceeds and cost reported to the IRS.						
	30.000	70.50	02/28/20	142.33	...	-71.83	1 of 7 - Sale ²⁵
	18.000	42.30	02/28/20	80.05	...	-37.75	2 of 7 - Sale ²⁵
	15.000	35.25	02/28/20	71.18	...	-35.93	3 of 7 - Sale ²⁵
	27.000	63.45	02/28/20	82.73	...	-19.28	4 of 7 - Sale ²⁵
	5.000	11.75	02/28/20	23.79	...	-12.04	5 of 7 - Sale ²⁵
	3.000	7.05	02/28/20	11.82	...	-4.77	6 of 7 - Sale ²⁵
	2.000	4.70	02/28/20	9.46	...	-4.76	7 of 7 - Sale ²⁵
03/03/20	100.000	235.00	Various	421.36	...	-186.36	Total of 7 transactions
06/25/20	500.000	1,499.90	06/25/20	1,529.35	...	-29.45	Sale ²⁵
	Security total:	2,715.34		3,269.77	323.20 W	-231.23	

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AIKIDO PHARMA INC. COMMON STOC K / CUSIP: 008875106 / Symbol:							
4 transactions for 03/23/20. Total proceeds and cost reported to the IRS.							
	91.000	70.06	03/20/20	54.80	...	15.26	1 of 4 - Sale ²⁵
	100.000	76.99	03/20/20	60.22	...	16.77	2 of 4 - Sale ²⁵
	100.000	76.99	03/20/20	60.22	...	16.77	3 of 4 - Sale ²⁵
	109.000	83.92	03/20/20	65.64	...	18.28	4 of 4 - Sale ²⁵
03/23/20	400.000	307.96	Various	240.88	...	67.08	Total of 4 transactions
6 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	11.000	25.74	04/13/20	7.37	...	18.37	1 of 6 - Sale ²⁵
	15.000	35.10	04/13/20	10.05	...	25.05	2 of 6 - Sale ²⁵
	25.000	58.50	04/13/20	16.75	...	41.75	3 of 6 - Sale ²⁵
	80.000	187.19	04/13/20	53.59	...	133.60	4 of 6 - Sale ²⁵
	100.000	233.99	04/13/20	66.99	...	167.00	5 of 6 - Sale ²⁵
	219.000	512.41	04/13/20	146.71	...	365.70	6 of 6 - Sale ²⁵
04/14/20	450.000	1,052.93	Various	301.46	...	751.47	Total of 6 transactions
2 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	200.000	142.23	04/23/20	147.90	...	-5.67	1 of 2 - Sale ²⁵
	50.000	35.55	04/23/20	36.74	...	-1.19	2 of 2 - Sale ²⁵
04/24/20	250.000	177.78	Various	184.64	...	-6.86	Total of 2 transactions
06/17/20	500.000	429.94	06/16/20	409.95	...	19.99	Sale ²⁵
3 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	100.000	82.99	06/25/20	85.72	2.73 W	0.00	1 of 3 - Sale ²⁵
	200.000	165.98	06/25/20	171.44	5.46 W	0.00	2 of 3 - Sale ²⁵
	200.000	165.98	06/25/20	171.44	5.46 W	0.00	3 of 3 - Sale ²⁵
06/25/20	500.000	414.95	Various	428.60	13.65 W	0.00	Total of 3 transactions
06/26/20	50.000	47.25	06/26/20	56.37	9.12 W	0.00	Sale ²⁵
6 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	50.000	43.61	07/06/20	53.47	...	-9.86	1 of 6 - Sale ²⁵
	150.000	130.83	07/06/20	137.14	...	-6.31	2 of 6 - Sale ²⁵
	137.000	120.55	07/06/20	125.26	...	-4.71	3 of 6 - Sale ²⁵
	63.000	55.43	07/06/20	57.60	...	-2.17	4 of 6 - Sale ²⁵
	50.000	43.99	07/06/20	45.72	...	-1.73	5 of 6 - Sale ²⁵
	50.000	43.99	07/06/20	45.71	...	-1.72	6 of 6 - Sale ²⁵
07/06/20	500.000	438.40	Various	464.90	...	-26.50	Total of 6 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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AIKIDO PHARMA INC. COMMON STOC K / CUSIP: 008875106 / Symbol: (cont'd)							
Security total:		2,869.21		2,086.80	22.77 W	805.18	
AIM IMMUNOTECH INC. / CUSIP: 00901B105 / Symbol:							
2 transactions for 03/03/20. Total proceeds and cost reported to the IRS.							
	100.000	163.99	02/28/20	322.99	159.00 W	0.00	1 of 2 - Sale ²⁵
	50.000	81.99	02/28/20	162.50	80.51 W	0.00	2 of 2 - Sale ²⁵
03/03/20	150.000	245.98	Various	485.49	239.51 W	0.00	Total of 2 transactions
03/05/20	50.000	119.99	03/04/20	108.00	...	11.99	Sale ²⁵
4 transactions for 03/05/20. Total proceeds and cost reported to the IRS.							
	50.000	119.99	03/04/20	188.51	68.52 W	0.00	1 of 4 - Sale ²⁵
	100.000	239.98	03/04/20	375.00	135.02 W	0.00	2 of 4 - Sale ²⁵
	100.000	239.98	03/04/20	268.99	29.01 W	0.00	3 of 4 - Sale ²⁵
	100.000	239.98	03/04/20	271.76	31.78 W	0.00	4 of 4 - Sale ²⁵
03/05/20	350.000	839.93	Various	1,104.26	264.33 W	0.00	Total of 4 transactions
3 transactions for 03/10/20. Total proceeds and cost reported to the IRS.							
	50.000	255.49	03/09/20	240.02	...	15.47	1 of 3 - Sale ²⁵
	100.000	510.97	03/09/20	478.02	...	32.95	2 of 3 - Sale ²⁵
	50.000	255.49	03/09/20	186.01	...	69.48	3 of 3 - Sale ²⁵
03/10/20	200.000	1,021.95	Various	904.05	...	117.90	Total of 3 transactions
4 transactions for 03/10/20. Total proceeds and cost reported to the IRS.							
	50.000	255.49	03/09/20	318.50	63.01 W	0.00	1 of 4 - Sale ²⁵
	50.000	255.49	03/09/20	319.88	64.39 W	0.00	2 of 4 - Sale ²⁵
	50.000	255.49	03/09/20	364.32	108.83 W	0.00	3 of 4 - Sale ²⁵
	150.000	766.45	03/09/20	1,045.27	278.82 W	0.00	4 of 4 - Sale ²⁵
03/10/20	300.000	1,532.92	Various	2,047.97	515.05 W	0.00	Total of 4 transactions
3 transactions for 03/12/20. Total proceeds and cost reported to the IRS.							
	50.000	217.49	03/11/20	262.51	45.02 W	0.00	1 of 3 - Sale ²⁵
	50.000	217.49	03/11/20	263.89	46.40 W	0.00	2 of 3 - Sale ²⁵
	50.000	217.48	03/11/20	362.58	145.10 W	0.00	3 of 3 - Sale ²⁵
03/12/20	150.000	652.46	Various	888.98	236.52 W	0.00	Total of 3 transactions
9 transactions for 03/19/20. Total proceeds and cost reported to the IRS.							
	15.000	35.70	03/18/20	52.21	16.51 W	0.00	1 of 9 - Sale ²⁵
	35.000	83.30	03/18/20	121.81	38.51 W	0.00	2 of 9 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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AIM IMMUNOTECH INC. / CUSIP: 00901B105 / Symbol: (cont'd)							
	3.000	7.17	03/18/20	13.32	6.15 W	0.00	3 of 9 - Sale ²⁵
	2.000	4.78	03/18/20	8.88	4.10 W	0.00	4 of 9 - Sale ²⁵
	13.000	31.07	03/18/20	57.70	26.63 W	0.00	5 of 9 - Sale ²⁵
	1.000	2.39	03/18/20	4.44	2.05 W	0.00	6 of 9 - Sale ²⁵
	1.000	2.38	03/18/20	4.44	2.06 W	0.00	7 of 9 - Sale ²⁵
	100.000	237.99	03/18/20	443.88	205.89 W	0.00	8 of 9 - Sale ²⁵
	30.000	71.40	03/18/20	133.16	61.76 W	0.00	9 of 9 - Sale ²⁵
03/19/20	200.000	476.18	Various	839.84	363.66 W	0.00	Total of 9 transactions
	19 transactions for 03/31/20. Total proceeds and cost reported to the IRS.						
	5.000	14.25	03/30/20	16.34	2.09 W	0.00	1 of 19 - Sale ²⁵
	15.000	42.75	03/30/20	51.76	9.01 W	0.00	2 of 19 - Sale ²⁵
	35.000	99.74	03/30/20	120.76	21.02 W	0.00	3 of 19 - Sale ²⁵
	45.000	128.24	03/30/20	147.51	19.27 W	0.00	4 of 19 - Sale ²⁵
	50.000	142.49	03/30/20	262.60	120.11 W	0.00	5 of 19 - Sale ²⁵
	1.000	2.85	03/30/20	4.40	1.55 W	0.00	6 of 19 - Sale ²⁵
	1.000	2.85	03/30/20	4.41	1.56 W	0.00	7 of 19 - Sale ²⁵
	2.000	5.70	03/30/20	8.80	3.10 W	0.00	8 of 19 - Sale ²⁵
	3.000	8.55	03/30/20	13.20	4.65 W	0.00	9 of 19 - Sale ²⁵
	13.000	37.05	03/30/20	57.18	20.13 W	0.00	10 of 19 - Sale ²⁵
	30.000	85.49	03/30/20	132.27	46.78 W	0.00	11 of 19 - Sale ²⁵
	30.000	85.49	03/30/20	156.26	70.77 W	0.00	12 of 19 - Sale ²⁵
	70.000	199.49	03/30/20	364.62	165.13 W	0.00	13 of 19 - Sale ²⁵
	41.000	116.84	03/30/20	126.69	9.85 W	0.00	14 of 19 - Sale ²⁵
	15.000	42.75	03/30/20	55.36	12.61 W	0.00	15 of 19 - Sale ²⁵
	10.000	28.50	03/30/20	36.91	8.41 W	0.00	16 of 19 - Sale ²⁵
	25.000	71.25	03/30/20	92.26	21.01 W	0.00	17 of 19 - Sale ²⁵
	5.000	14.25	03/30/20	17.54	3.29 W	0.00	18 of 19 - Sale ²⁵
	4.000	11.40	03/30/20	14.07	2.67 W	0.00	19 of 19 - Sale ²⁵
03/31/20	400.000	1,139.93	Various	1,682.94	543.01 W	0.00	Total of 19 transactions
	5 transactions for 04/02/20. Total proceeds and cost reported to the IRS.						
	41.000	110.70	04/01/20	115.96	5.26 W	0.00	1 of 5 - Sale ²⁵
	50.000	134.99	04/01/20	240.10	105.11 W	0.00	2 of 5 - Sale ²⁵
	2.000	5.40	04/01/20	7.90	2.50 W	0.00	3 of 5 - Sale ²⁵
	3.000	8.10	04/01/20	11.85	3.75 W	0.00	4 of 5 - Sale ²⁵

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AIM IMMUNOTECH INC. / CUSIP: 00901B105 / Symbol: (cont'd)							
04/02/20	4.000	10.80	04/01/20	15.79	4.99 W	0.00	5 of 5 - Sale ²⁵
	100.000	269.99	Various	391.60	121.61 W	0.00	Total of 5 transactions
	6 transactions for 04/08/20. Total proceeds and cost reported to the IRS.						
	70.000	177.79	04/07/20	355.52	...	-177.73	1 of 6 - Sale ²⁵
	30.000	76.19	04/07/20	152.37	...	-76.18	2 of 6 - Sale ²⁵
	30.000	76.20	04/07/20	128.38	...	-52.18	3 of 6 - Sale ²⁵
	9.000	22.86	04/07/20	26.64	...	-3.78	4 of 6 - Sale ²⁵
	1.000	2.54	04/07/20	4.28	...	-1.74	5 of 6 - Sale ²⁵
	1.000	2.54	04/07/20	4.27	...	-1.73	6 of 6 - Sale ²⁵
04/08/20	141.000	358.12	Various	671.46	...	-313.34	Total of 6 transactions
04/08/20	9.000	22.86	04/07/20	38.42	15.56 W	0.00	Sale ²⁵
	6 transactions for 04/29/20. Total proceeds and cost reported to the IRS.						
	50.000	106.50	04/28/20	215.11	...	-108.61	1 of 6 - Sale ²⁵
	9.000	19.17	04/28/20	35.36	...	-16.19	2 of 6 - Sale ²⁵
	32.000	68.15	04/28/20	78.09	...	-9.94	3 of 6 - Sale ²⁵
	4.000	8.52	04/28/20	13.79	...	-5.27	4 of 6 - Sale ²⁵
	3.000	6.39	04/28/20	10.35	...	-3.96	5 of 6 - Sale ²⁵
	2.000	4.26	04/28/20	6.90	...	-2.64	6 of 6 - Sale ²⁵
04/29/20	100.000	212.99	Various	359.60	...	-146.61	Total of 6 transactions
	6 transactions for 04/29/20. Total proceeds and cost reported to the IRS.						
	10.000	21.30	04/28/20	30.41	9.11 W	0.00	1 of 6 - Sale ²⁵
	15.000	31.95	04/28/20	45.61	13.66 W	0.00	2 of 6 - Sale ²⁵
	25.000	53.25	04/28/20	76.01	22.76 W	0.00	3 of 6 - Sale ²⁵
	4.000	8.52	04/28/20	11.47	2.95 W	0.00	4 of 6 - Sale ²⁵
	5.000	10.65	04/28/20	14.29	3.64 W	0.00	5 of 6 - Sale ²⁵
	41.000	87.32	04/28/20	95.46	8.14 W	0.00	6 of 6 - Sale ²⁵
04/29/20	100.000	212.99	Various	273.25	60.26 W	0.00	Total of 6 transactions
	6 transactions for 06/01/20. Total proceeds and cost reported to the IRS.						
	10.000	27.90	05/29/20	37.51	9.61 W	0.00	1 of 6 - Sale ²⁵
	15.000	41.85	05/29/20	56.26	14.41 W	0.00	2 of 6 - Sale ²⁵
	25.000	69.75	05/29/20	93.76	24.01 W	0.00	3 of 6 - Sale ²⁵
	4.000	11.16	05/29/20	14.31	3.15 W	0.00	4 of 6 - Sale ²⁵
	5.000	13.95	05/29/20	17.84	3.89 W	0.00	5 of 6 - Sale ²⁵

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Robinhood Securities LLC	Proceeds from Broker and Barter Exchange Transactions	Account 412265225
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AIM IMMUNOTECH INC. / CUSIP: 00901B105 / Symbol: (cont'd)							
06/01/20	41.000	114.39	05/29/20	124.58	10.19 W	0.00	6 of 6 - Sale ²⁵
	100.000	279.00	Various	344.26	65.26 W	0.00	Total of 6 transactions
	11 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	25.000	68.62	06/26/20	90.64	...	-22.02	1 of 11 - Sale ²⁵
	2,700.000	7,411.02	06/26/20	7,425.00	...	-13.98	2 of 11 - Sale ²⁵
	15.000	41.17	06/26/20	54.39	...	-13.22	3 of 11 - Sale ²⁵
	10.000	27.45	06/26/20	36.26	...	-8.81	4 of 11 - Sale ²⁵
	21.000	57.64	06/26/20	61.20	...	-3.56	5 of 11 - Sale ²⁵
	5.000	13.72	06/26/20	17.22	...	-3.50	6 of 11 - Sale ²⁵
	19.000	52.15	06/26/20	55.36	...	-3.21	7 of 11 - Sale ²⁵
	4.000	10.98	06/26/20	13.81	...	-2.83	8 of 11 - Sale ²⁵
	100.000	274.48	06/26/20	275.42	...	-0.94	9 of 11 - Sale ²⁵
	100.000	274.48	06/26/20	275.42	...	-0.94	10 of 11 - Sale ²⁵
	1.000	2.74	06/26/20	3.06	...	-0.32	11 of 11 - Sale ²⁵
06/26/20	3,000.000	8,234.45	Various	8,307.78	...	-73.33	Total of 11 transactions
	19 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	10.000	26.80	06/25/20	35.51	8.71 W	0.00	1 of 19 - Sale ²⁵
	15.000	40.20	06/25/20	53.26	13.06 W	0.00	2 of 19 - Sale ²⁵
	25.000	67.00	06/25/20	88.76	21.76 W	0.00	3 of 19 - Sale ²⁵
	4.000	10.72	06/25/20	13.51	2.79 W	0.00	4 of 19 - Sale ²⁵
	5.000	13.40	06/25/20	16.84	3.44 W	0.00	5 of 19 - Sale ²⁵
	21.000	56.27	06/25/20	59.61	3.34 W	0.00	6 of 19 - Sale ²⁵
	19.000	50.92	06/25/20	53.93	3.01 W	0.00	7 of 19 - Sale ²⁵
	1.000	2.54	06/25/20	2.84	0.30 W	0.00	8 of 19 - Sale ²⁵
	1,700.000	4,666.20	06/26/20	4,675.00	1.29 W	-7.51	9 of 19 - Sale ²⁵
	10.000	27.45	06/26/20	36.21	8.76 W	0.00	10 of 19 - Sale ²⁵
	15.000	41.17	06/26/20	54.31	13.14 W	0.00	11 of 19 - Sale ²⁵
	25.000	68.62	06/26/20	90.51	21.89 W	0.00	12 of 19 - Sale ²⁵
	1.000	2.74	06/26/20	3.05	0.31 W	0.00	13 of 19 - Sale ²⁵
	4.000	10.98	06/26/20	13.79	2.81 W	0.00	14 of 19 - Sale ²⁵
	5.000	13.72	06/26/20	17.19	3.47 W	0.00	15 of 19 - Sale ²⁵
	19.000	52.15	06/26/20	55.26	3.11 W	0.00	16 of 19 - Sale ²⁵
	21.000	57.64	06/26/20	61.09	3.45 W	0.00	17 of 19 - Sale ²⁵
	100.000	274.48	06/26/20	274.90	0.42 W	0.00	18 of 19 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AIM IMMUNOTECH INC. / CUSIP: 00901B105 / Symbol: (cont'd)							
	100.000	274.48	06/26/20	274.90	0.42 W	0.00	19 of 19 - Sale ²⁵
06/26/20	2,100.000	5,757.48	Various	5,880.47	115.48 W	-7.51	Total of 19 transactions
06/29/20	250.000	633.70	06/29/20	636.29	...	-2.59	Sale ²⁵
	Security total:	22,010.92		24,964.66	2,540.25 W	-413.49	
AKORN INC COMMON STOCK / CUSIP: 009728106 / Symbol:							
4 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	362.000	72.28	05/26/20	78.88	...	-6.60	1 of 4 - Sale ²⁵
	82.000	16.35	05/26/20	17.87	...	-1.52	2 of 4 - Sale ²⁵
	24.000	4.78	05/26/20	5.67	...	-0.89	3 of 4 - Sale ²⁵
	4.000	0.80	05/26/20	0.94	...	-0.14	4 of 4 - Sale ²⁵
05/27/20	472.000	94.21	Various	103.36	...	-9.15	Total of 4 transactions
05/27/20	28.000	5.59	05/26/20	6.10	0.51 W	0.00	Sale ²⁵
	Security total:	99.80		109.46	0.51 W	-9.15	
ALEXCO RESOURCE CORP. / CUSIP: 01535P106 / Symbol:							
4 transactions for 03/06/20. Total proceeds and cost reported to the IRS.							
	41.000	72.16	03/05/20	71.55	...	0.61	1 of 4 - Sale ²⁵
	59.000	103.83	03/05/20	102.96	...	0.87	2 of 4 - Sale ²⁵
	59.000	103.83	03/05/20	102.96	...	0.87	3 of 4 - Sale ²⁵
	91.000	160.15	03/05/20	158.80	...	1.35	4 of 4 - Sale ²⁵
03/06/20	250.000	439.97	Various	436.27	...	3.70	Total of 4 transactions
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITORY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
2 transactions for 02/06/20. Total proceeds and cost reported to the IRS.							
	1.000	221.51	02/05/20	233.66	...	-12.15	1 of 2 - Sale ²⁵
	1.000	222.15	02/05/20	233.66	...	-11.51	2 of 2 - Sale ²⁵
02/06/20	2.000	443.66	Various	467.32	...	-23.66	Total of 2 transactions
02/06/20	2.000	444.00	02/05/20	455.66	11.66 W	0.00	Sale ²⁵
2 transactions for 03/18/20. Total proceeds and cost reported to the IRS.							
	9.000	1,584.05	03/17/20	1,643.78	...	-59.73	1 of 2 - Sale ²⁵
	1.000	176.01	03/17/20	182.64	...	-6.63	2 of 2 - Sale ²⁵
03/18/20	10.000	1,760.06	Various	1,826.42	...	-66.36	Total of 2 transactions
07/06/20	1.000	236.38	07/06/20	233.96	...	2.42	Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol: (cont'd)							
3 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	17.000	4,036.13	07/06/20	4,086.63	...	-50.50	1 of 3 - Sale ²⁵
	7.000	1,661.93	07/06/20	1,682.73	...	-20.80	2 of 3 - Sale ²⁵
	1.000	237.42	07/06/20	240.39	...	-2.97	3 of 3 - Sale ²⁵
07/07/20	25.000	5,935.48	Various	6,009.75	...	-74.27	Total of 3 transactions
	Security total:	8,819.58		8,993.11	11.66 W	-161.87	
ALLIED HEALTHCARE PRODUCTS, IN C. COMMON STOCK / CUSIP: 019222207 / Symbol:							
03/02/20	15.000	306.90	02/28/20	500.70	193.80 W	0.00	Sale ²⁵
03/03/20	15.000	204.75	02/28/20	500.70	295.95 W	0.00	Sale ²⁵
5 transactions for 03/04/20. Total proceeds and cost reported to the IRS.							
	5.000	79.50	03/03/20	171.45	91.95 W	0.00	1 of 5 - Sale ²⁵
	15.000	238.49	03/03/20	412.20	173.71 W	0.00	2 of 5 - Sale ²⁵
	10.000	158.99	03/03/20	359.38	200.39 W	0.00	3 of 5 - Sale ²⁵
	20.000	317.99	03/03/20	370.40	52.41 W	0.00	4 of 5 - Sale ²⁵
	10.000	158.99	03/03/20	188.70	29.71 W	0.00	5 of 5 - Sale ²⁵
03/04/20	60.000	953.96	Various	1,502.13	548.17 W	0.00	Total of 5 transactions
3 transactions for 03/12/20. Total proceeds and cost reported to the IRS.							
	5.000	67.50	03/11/20	156.73	...	-89.23	1 of 3 - Sale ²⁵
	5.000	67.50	03/11/20	156.71	...	-89.21	2 of 3 - Sale ²⁵
	10.000	134.99	03/11/20	163.86	...	-28.87	3 of 3 - Sale ²⁵
03/12/20	20.000	269.99	Various	477.30	...	-207.31	Total of 3 transactions
7 transactions for 03/12/20. Total proceeds and cost reported to the IRS.							
	5.000	67.50	03/11/20	148.90	81.40 W	0.00	1 of 7 - Sale ²⁵
	5.000	67.50	03/11/20	157.15	89.65 W	0.00	2 of 7 - Sale ²⁵
	5.000	67.50	03/11/20	157.14	89.64 W	0.00	3 of 7 - Sale ²⁵
	5.000	67.50	03/11/20	114.85	47.35 W	0.00	4 of 7 - Sale ²⁵
	10.000	134.99	03/11/20	229.71	94.72 W	0.00	5 of 7 - Sale ²⁵
	20.000	269.99	03/11/20	280.21	10.22 W	0.00	6 of 7 - Sale ²⁵
	5.000	67.50	03/11/20	148.47	80.97 W	0.00	7 of 7 - Sale ²⁵
03/12/20	55.000	742.48	Various	1,236.43	493.95 W	0.00	Total of 7 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ALLIED HEALTHCARE PRODUCTS, IN C. COMMON STOCK / CUSIP: 019222207 / Symbol: (cont'd)							
<i>7 transactions for 03/20/20. Total proceeds and cost reported to the IRS.</i>							
	7.000	160.99	03/18/20	196.74	...	-35.75	1 of 7 - Sale ²⁵
	5.000	115.00	03/18/20	140.53	...	-25.53	2 of 7 - Sale ²⁵
	5.000	115.00	03/19/20	210.45	...	-95.45	3 of 7 - Sale ²⁵
	10.000	229.99	03/19/20	264.06	...	-34.07	4 of 7 - Sale ²⁵
	3.000	69.00	03/19/20	93.02	...	-24.02	5 of 7 - Sale ²⁵
	3.000	69.00	03/19/20	66.18	...	2.82	6 of 7 - Sale ²⁵
	7.000	160.99	03/19/20	154.33	...	6.66	7 of 7 - Sale ²⁵
03/20/20	40.000	919.97	Various	1,125.31	...	-205.34	Total of 7 transactions
<i>4 transactions for 06/11/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	424.98	06/10/20	464.00	39.02 W	0.00	1 of 4 - Sale ²⁵
	1.000	8.50	06/10/20	9.51	1.01 W	0.00	2 of 4 - Sale ²⁵
	49.000	416.49	06/10/20	467.46	50.97 W	0.00	3 of 4 - Sale ²⁵
	50.000	424.98	06/10/20	481.75	56.77 W	0.00	4 of 4 - Sale ²⁵
06/11/20	150.000	1,274.95	Various	1,422.72	147.77 W	0.00	Total of 4 transactions
<i>5 transactions for 06/19/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	12.20	06/19/20	13.25	1.05 W	0.00	1 of 5 - Sale ²⁵
	49.000	597.78	06/19/20	650.72	52.94 W	0.00	2 of 5 - Sale ²⁵
	50.000	609.98	06/19/20	651.02	41.04 W	0.00	3 of 5 - Sale ²⁵
	50.000	609.98	06/19/20	690.27	80.29 W	0.00	4 of 5 - Sale ²⁵
	50.000	609.98	06/19/20	624.50	14.52 W	0.00	5 of 5 - Sale ²⁵
06/19/20	200.000	2,439.92	Various	2,629.76	189.84 W	0.00	Total of 5 transactions
<i>3 transactions for 06/22/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	12.00	06/19/20	13.45	1.45 W	0.00	1 of 3 - Sale ²⁵
	49.000	587.98	06/19/20	660.54	72.56 W	0.00	2 of 3 - Sale ²⁵
	50.000	599.98	06/19/20	661.04	61.06 W	0.00	3 of 3 - Sale ²⁵
06/22/20	100.000	1,199.96	Various	1,335.03	135.07 W	0.00	Total of 3 transactions
<i>2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	671.98	06/24/20	738.29	66.31 W	0.00	1 of 2 - Sale ²⁵
	50.000	661.23	06/25/20	736.42	75.19 W	0.00	2 of 2 - Sale ²⁵
06/25/20	100.000	1,333.21	Various	1,474.71	141.50 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ALLIED HEALTHCARE PRODUCTS, IN C. COMMON STOCK / CUSIP: 019222207 / Symbol: (cont'd)							
<i>9 transactions for 06/30/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	579.48	06/30/20	694.94	...	-115.46	1 of 9 - Sale ²⁵
	49.000	570.34	06/30/20	681.08	...	-110.74	2 of 9 - Sale ²⁵
	49.000	569.85	06/30/20	672.35	...	-102.50	3 of 9 - Sale ²⁵
	43.000	500.50	06/30/20	586.53	...	-86.03	4 of 9 - Sale ²⁵
	49.000	567.89	06/30/20	607.36	...	-39.47	5 of 9 - Sale ²⁵
	6.000	69.84	06/30/20	81.90	...	-12.06	6 of 9 - Sale ²⁵
	1.000	11.63	06/30/20	14.23	...	-2.60	7 of 9 - Sale ²⁵
	1.000	11.64	06/30/20	13.87	...	-2.23	8 of 9 - Sale ²⁵
	1.000	11.59	06/30/20	13.72	...	-2.13	9 of 9 - Sale ²⁵
06/30/20	249.000	2,892.76	Various	3,365.98	...	-473.22	Total of 9 transactions
<i>8 transactions for 06/30/20. Total proceeds and cost reported to the IRS.</i>							
	7.000	82.29	06/30/20	94.30	12.01 W	0.00	1 of 8 - Sale ²⁵
	1.000	11.76	06/30/20	13.69	1.93 W	0.00	2 of 8 - Sale ²⁵
	43.000	505.51	06/30/20	578.83	73.32 W	0.00	3 of 8 - Sale ²⁵
	49.000	576.05	06/30/20	672.32	96.27 W	0.00	4 of 8 - Sale ²⁵
	50.000	587.80	06/30/20	678.31	90.51 W	0.00	5 of 8 - Sale ²⁵
	50.000	587.81	06/30/20	687.19	99.38 W	0.00	6 of 8 - Sale ²⁵
	49.000	576.05	06/30/20	599.76	23.71 W	0.00	7 of 8 - Sale ²⁵
	1.000	11.64	06/30/20	13.96	2.32 W	0.00	8 of 8 - Sale ²⁵
06/30/20	250.000	2,938.91	Various	3,338.36	399.45 W	0.00	Total of 8 transactions
	Security total:	15,477.76		18,909.13	2,545.50 W	-885.87	
ALPHA PRO TECH, LTD. / CUSIP: 020772109 / Symbol:							
<i>2 transactions for 03/02/20. Total proceeds and cost reported to the IRS.</i>							
	15.000	301.95	02/28/20	592.20	290.25 W	0.00	1 of 2 - Sale ²⁵
	10.000	151.50	02/28/20	394.80	243.30 W	0.00	2 of 2 - Sale ²⁵
03/02/20	25.000	453.45	Various	987.00	533.55 W	0.00	Total of 2 transactions
<i>6 transactions for 03/04/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	83.00	03/03/20	209.98	126.98 W	0.00	1 of 6 - Sale ²⁵
	15.000	248.99	03/03/20	555.24	306.25 W	0.00	2 of 6 - Sale ²⁵
	5.000	83.00	03/03/20	215.65	132.65 W	0.00	3 of 6 - Sale ²⁵
	5.000	83.00	03/03/20	94.00	11.00 W	0.00	4 of 6 - Sale ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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ALPHA PRO TECH, LTD. / CUSIP: 020772109 / Symbol: (cont'd)							
	10.000	166.00	03/03/20	194.80	28.80 W	0.00	5 of 6 - Sale ²⁵
	10.000	165.99	03/03/20	194.00	28.01 W	0.00	6 of 6 - Sale ²⁵
03/04/20	50.000	829.98	Various	1,463.67	633.69 W	0.00	Total of 6 transactions
	4 transactions for 03/12/20. Total proceeds and cost reported to the IRS.						
	1.000	14.00	03/11/20	11.70	...	2.30	1 of 4 - Sale ²⁵
	10.000	139.99	03/11/20	135.50	...	4.49	2 of 4 - Sale ²⁵
	10.000	139.99	03/11/20	134.71	...	5.28	3 of 4 - Sale ²⁵
	5.000	70.00	03/11/20	64.35	...	5.65	4 of 4 - Sale ²⁵
03/12/20	26.000	363.98	Various	346.26	...	17.72	Total of 4 transactions
	3 transactions for 03/12/20. Total proceeds and cost reported to the IRS.						
	5.000	70.00	03/11/20	180.33	110.33 W	0.00	1 of 3 - Sale ²⁵
	5.000	70.00	03/11/20	186.00	116.00 W	0.00	2 of 3 - Sale ²⁵
	15.000	209.99	03/11/20	466.30	256.31 W	0.00	3 of 3 - Sale ²⁵
03/12/20	25.000	349.99	Various	832.63	482.64 W	0.00	Total of 3 transactions
03/16/20	25.000	297.49	03/13/20	289.50	...	7.99	Sale ²⁵
	8 transactions for 03/16/20. Total proceeds and cost reported to the IRS.						
	4.000	47.60	03/13/20	114.35	66.75 W	0.00	1 of 8 - Sale ²⁵
	5.000	59.50	03/13/20	168.23	108.73 W	0.00	2 of 8 - Sale ²⁵
	5.000	59.50	03/13/20	173.90	114.40 W	0.00	3 of 8 - Sale ²⁵
	11.000	130.89	03/13/20	315.34	184.45 W	0.00	4 of 8 - Sale ²⁵
	10.000	119.00	03/13/20	119.18	0.18 W	0.00	5 of 8 - Sale ²⁵
	40.000	475.98	03/13/20	480.00	4.02 W	0.00	6 of 8 - Sale ²⁵
	9.000	107.09	03/13/20	121.41	14.32 W	0.00	7 of 8 - Sale ²⁵
	21.000	249.89	03/13/20	283.29	33.40 W	0.00	8 of 8 - Sale ²⁵
03/16/20	105.000	1,249.45	Various	1,775.70	526.25 W	0.00	Total of 8 transactions
	2 transactions for 03/30/20. Total proceeds and cost reported to the IRS.						
	10.000	132.49	03/27/20	111.00	...	21.49	1 of 2 - Sale ²⁵
	25.000	331.24	03/27/20	277.52	...	53.72	2 of 2 - Sale ²⁵
03/30/20	35.000	463.73	Various	388.52	...	75.21	Total of 2 transactions
	4 transactions for 03/30/20. Total proceeds and cost reported to the IRS.						
	4.000	53.00	03/27/20	110.75	57.75 W	0.00	1 of 4 - Sale ²⁵
	5.000	66.25	03/27/20	163.73	97.48 W	0.00	2 of 4 - Sale ²⁵
	5.000	66.25	03/27/20	169.40	103.15 W	0.00	3 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ALPHA PRO TECH, LTD. / CUSIP: 020772109 / Symbol: (cont'd)							
03/30/20	11.000	145.74	03/27/20	305.45	159.71 W	0.00	4 of 4 - Sale ²⁵
	25.000	331.24	Various	749.33	418.09 W	0.00	Total of 4 transactions
	4 transactions for 04/03/20. Total proceeds and cost reported to the IRS.						
	5.000	76.50	04/02/20	84.70	8.20 W	0.00	1 of 4 - Sale ²⁵
	6.000	91.80	04/02/20	110.58	18.78 W	0.00	2 of 4 - Sale ²⁵
	9.000	137.70	04/02/20	165.88	28.18 W	0.00	3 of 4 - Sale ²⁵
	10.000	153.00	04/02/20	168.58	15.58 W	0.00	4 of 4 - Sale ²⁵
04/03/20	30.000	459.00	Various	529.74	70.74 W	0.00	Total of 4 transactions
	7 transactions for 04/06/20. Total proceeds and cost reported to the IRS.						
	11.000	176.44	04/03/20	334.72	...	-158.28	1 of 7 - Sale ²⁵
	5.000	80.20	04/03/20	182.70	...	-102.50	2 of 7 - Sale ²⁵
	5.000	80.20	04/03/20	177.03	...	-96.83	3 of 7 - Sale ²⁵
	4.000	64.16	04/03/20	121.39	...	-57.23	4 of 7 - Sale ²⁵
	15.000	240.58	04/03/20	262.51	...	-21.93	5 of 7 - Sale ²⁵
	5.000	80.20	04/03/20	87.75	...	-7.55	6 of 7 - Sale ²⁵
	5.000	80.20	04/03/20	87.34	...	-7.14	7 of 7 - Sale ²⁵
04/06/20	50.000	801.98	Various	1,253.44	...	-451.46	Total of 7 transactions
	3 transactions for 04/22/20. Total proceeds and cost reported to the IRS.						
	9.000	116.86	04/20/20	156.70	...	-39.84	1 of 3 - Sale ²⁵
	6.000	77.91	04/20/20	104.46	...	-26.55	2 of 3 - Sale ²⁵
	5.000	64.93	04/20/20	79.19	...	-14.26	3 of 3 - Sale ²⁵
04/22/20	20.000	259.70	Various	340.35	...	-80.65	Total of 3 transactions
	5 transactions for 06/12/20. Total proceeds and cost reported to the IRS.						
	20.000	251.99	06/11/20	255.00	3.01 W	0.00	1 of 5 - Sale ²⁵
	20.000	251.99	06/11/20	255.00	3.01 W	0.00	2 of 5 - Sale ²⁵
	10.000	126.00	06/11/20	127.50	1.50 W	0.00	3 of 5 - Sale ²⁵
	50.000	629.98	06/11/20	640.25	10.27 W	0.00	4 of 5 - Sale ²⁵
	25.000	314.99	06/11/20	323.25	8.26 W	0.00	5 of 5 - Sale ²⁵
06/12/20	125.000	1,574.95	Various	1,601.00	26.05 W	0.00	Total of 5 transactions
	8 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	10.000	160.00	06/17/20	139.40	...	20.60	1 of 8 - Sale ²⁵
	25.000	399.99	06/17/20	361.88	...	38.11	2 of 8 - Sale ²⁵
	25.000	399.99	06/17/20	361.14	...	38.85	3 of 8 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ALPHA PRO TECH, LTD. / CUSIP: 020772109 / Symbol: (cont'd)							
	20.000	319.99	06/17/20	278.81	...	41.18	4 of 8 - Sale ²⁵
	20.000	319.99	06/17/20	278.80	...	41.19	5 of 8 - Sale ²⁵
	25.000	399.99	06/18/20	368.01	...	31.98	6 of 8 - Sale ²⁵
	25.000	399.98	06/18/20	359.75	...	40.23	7 of 8 - Sale ²⁵
	50.000	799.97	06/18/20	718.00	...	81.97	8 of 8 - Sale ²⁵
06/19/20	200.000	3,199.90	Various	2,865.79	...	334.11	Total of 8 transactions
06/19/20	50.000	837.48	06/19/20	861.24	23.76 W	0.00	Sale ²⁵
	6 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	50.000	990.97	06/24/20	1,022.26	31.29 W	0.00	1 of 6 - Sale ²⁵
	49.000	971.15	06/24/20	978.53	7.38 W	0.00	2 of 6 - Sale ²⁵
	1.000	19.61	06/24/20	19.97	0.36 W	0.00	3 of 6 - Sale ²⁵
	49.000	960.86	06/24/20	999.14	38.28 W	0.00	4 of 6 - Sale ²⁵
	50.000	980.47	06/24/20	1,043.29	62.82 W	0.00	5 of 6 - Sale ²⁵
	1.000	19.10	06/24/20	20.60	1.50 W	0.00	6 of 6 - Sale ²⁵
06/24/20	200.000	3,942.16	Various	4,083.79	141.63 W	0.00	Total of 6 transactions
	4 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	49.000	971.40	06/25/20	1,057.73	...	-86.33	1 of 4 - Sale ²⁵
	49.000	935.38	06/25/20	971.98	...	-36.60	2 of 4 - Sale ²⁵
	1.000	18.14	06/25/20	21.59	...	-3.45	3 of 4 - Sale ²⁵
	1.000	19.09	06/25/20	20.55	...	-1.46	4 of 4 - Sale ²⁵
06/25/20	100.000	1,944.01	Various	2,071.85	...	-127.84	Total of 4 transactions
	Security total:	17,358.49		20,439.81	2,856.40 W	-224.92	
ALTIMMUNE, INC. COMMON STOCK / CUSIP: 02155H200 / Symbol:							
	3 transactions for 03/12/20. Total proceeds and cost reported to the IRS.						
	5.000	15.95	03/11/20	17.15	1.20 W	0.00	1 of 3 - Sale ²⁵
	81.000	258.38	03/11/20	277.83	19.45 W	0.00	2 of 3 - Sale ²⁵
	14.000	44.24	03/11/20	48.02	3.78 W	0.00	3 of 3 - Sale ²⁵
03/12/20	100.000	318.57	Various	343.00	24.43 W	0.00	Total of 3 transactions
	3 transactions for 03/13/20. Total proceeds and cost reported to the IRS.						
	81.000	251.09	03/12/20	283.51	...	-32.42	1 of 3 - Sale ²⁵
	14.000	43.40	03/12/20	49.42	...	-6.02	2 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ALTIMMUNE, INC. COMMON STOCK / CUSIP: 02155H200 / Symbol: (cont'd)							
	5.000	15.50	03/12/20	17.50	...	-2.00	3 of 3 - Sale ²⁵
03/13/20	100.000	309.99	Various	350.43	...	-40.44	Total of 3 transactions
05/15/20	50.000	215.50	05/14/20	243.50	28.00 W	0.00	Sale ²⁵
05/21/20	50.000	295.48	05/20/20	304.99	...	-9.51	Sale ²⁵
05/21/20	50.000	295.49	05/20/20	309.00	13.51 W	0.00	Sale ²⁵
05/27/20	50.000	419.50	05/26/20	388.51	...	30.99	Sale ²⁵
	2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	46.000	464.60	06/25/20	481.16	16.56 W	0.00	1 of 2 - Sale ²⁵
	4.000	40.40	06/25/20	41.84	1.44 W	0.00	2 of 2 - Sale ²⁵
06/25/20	50.000	505.00	Various	523.00	18.00 W	0.00	Total of 2 transactions
	9 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	4.000	43.60	06/25/20	49.04	5.44 W	0.00	1 of 9 - Sale ²⁵
	46.000	501.39	06/25/20	563.96	62.57 W	0.00	2 of 9 - Sale ²⁵
	49.000	534.09	06/25/20	585.06	50.97 W	0.00	3 of 9 - Sale ²⁵
	1.000	10.47	06/25/20	13.30	2.83 W	0.00	4 of 9 - Sale ²⁵
	1.000	10.53	06/26/20	13.38	2.85 W	0.00	5 of 9 - Sale ²⁵
	4.000	42.13	06/26/20	47.64	5.51 W	0.00	6 of 9 - Sale ²⁵
	45.000	473.91	06/26/20	535.96	62.05 W	0.00	7 of 9 - Sale ²⁵
	49.000	516.04	06/26/20	567.91	51.87 W	0.00	8 of 9 - Sale ²⁵
	401.000	4,223.11	06/26/20	4,230.51	7.40 W	0.00	9 of 9 - Sale ²⁵
06/26/20	600.000	6,355.27	Various	6,606.76	251.49 W	0.00	Total of 9 transactions
	9 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	41.000	472.94	06/29/20	573.71	...	-100.77	1 of 9 - Sale ²⁵
	100.000	1,153.52	06/29/20	1,233.21	...	-79.69	2 of 9 - Sale ²⁵
	92.000	1,061.23	06/29/20	1,134.57	...	-73.34	3 of 9 - Sale ²⁵
	58.000	669.04	06/29/20	715.26	...	-46.22	4 of 9 - Sale ²⁵
	4.000	46.14	06/29/20	55.97	...	-9.83	5 of 9 - Sale ²⁵
	2.000	23.07	06/29/20	27.98	...	-4.91	6 of 9 - Sale ²⁵
	1.000	11.54	06/29/20	15.46	...	-3.92	7 of 9 - Sale ²⁵
	1.000	11.54	06/29/20	12.26	...	-0.72	8 of 9 - Sale ²⁵
	1.000	11.54	06/29/20	12.11	...	-0.57	9 of 9 - Sale ²⁵
06/29/20	300.000	3,460.56	Various	3,780.53	...	-319.97	Total of 9 transactions

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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ALTIMMUNE, INC. COMMON STOCK / CUSIP: 02155H200 / Symbol: (cont'd)							
<i>17 transactions for 06/29/20. Total proceeds and cost reported to the IRS.</i>							
	148.000	1,728.58	06/29/20	1,814.31	10.43 W	-75.30	1 of 17 - Sale ²⁵
	1.000	11.95	06/29/20	15.10	3.15 W	0.00	2 of 17 - Sale ²⁵
	4.000	47.80	06/29/20	54.51	6.71 W	0.00	3 of 17 - Sale ²⁵
	45.000	537.73	06/29/20	613.30	75.57 W	0.00	4 of 17 - Sale ²⁵
	49.000	585.53	06/29/20	652.12	66.59 W	0.00	5 of 17 - Sale ²⁵
	1.000	11.95	06/29/20	12.27	0.32 W	0.00	6 of 17 - Sale ²⁵
	149.000	1,779.00	06/29/20	1,787.77	8.77 W	0.00	7 of 17 - Sale ²⁵
	1.000	11.68	06/29/20	12.00	0.32 W	0.00	8 of 17 - Sale ²⁵
	92.000	1,074.52	06/29/20	1,124.10	49.58 W	0.00	9 of 17 - Sale ²⁵
	100.000	1,167.96	06/29/20	1,221.84	53.88 W	0.00	10 of 17 - Sale ²⁵
	1.000	11.68	06/29/20	15.35	3.67 W	0.00	11 of 17 - Sale ²⁵
	41.000	478.87	06/29/20	569.05	90.18 W	0.00	12 of 17 - Sale ²⁵
	58.000	677.42	06/29/20	708.67	31.25 W	0.00	13 of 17 - Sale ²⁵
	4.000	46.72	06/29/20	55.52	8.80 W	0.00	14 of 17 - Sale ²⁵
	4.000	46.72	06/29/20	55.51	8.79 W	0.00	15 of 17 - Sale ²⁵
	49.000	572.30	06/29/20	664.39	92.09 W	0.00	16 of 17 - Sale ²⁵
	1.000	11.68	06/29/20	12.52	0.84 W	0.00	17 of 17 - Sale ²⁵
06/29/20	748.000	8,802.09	Various	9,388.33	510.94 W	-75.30	Total of 17 transactions
<i>3 transactions for 06/30/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	272.74	06/30/20	322.14	...	-49.40	1 of 3 - Sale ²⁵
	23.000	250.92	06/30/20	295.28	...	-44.36	2 of 3 - Sale ²⁵
	2.000	21.82	06/30/20	26.32	...	-4.50	3 of 3 - Sale ²⁵
06/30/20	50.000	545.48	Various	643.74	...	-98.26	Total of 3 transactions
<i>3 transactions for 07/02/20. Total proceeds and cost reported to the IRS.</i>							
	18.000	178.20	07/02/20	203.39	...	-25.19	1 of 3 - Sale ²⁵
	1.000	9.90	07/02/20	12.60	...	-2.70	2 of 3 - Sale ²⁵
	1.000	9.90	07/02/20	11.56	...	-1.66	3 of 3 - Sale ²⁵
07/02/20	20.000	198.00	Various	227.55	...	-29.55	Total of 3 transactions
	Security total:	21,720.93		23,109.34	846.37 W	-542.04	
ALTRIA GROUP, INC. / CUSIP: 02209S103 / Symbol:							
03/26/20	12.000	410.52	03/25/20	427.08	...	-16.56	Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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AMARIN CORPORATION PLC / CUSIP: 023111206 / Symbol:							
3 transactions for 04/02/20. Total proceeds and cost reported to the IRS.							
	5.000	26.10	04/01/20	22.14	...	3.96	1 of 3 - Sale ²⁵
	25.000	130.50	04/01/20	109.75	...	20.75	2 of 3 - Sale ²⁵
	25.000	130.50	04/01/20	109.75	...	20.75	3 of 3 - Sale ²⁵
04/02/20	55.000	287.10	Various	241.64	...	45.46	Total of 3 transactions
04/08/20	75.000	386.99	04/07/20	370.50	...	16.49	Sale ²⁵
3 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	50.000	349.98	06/16/20	367.00	...	-17.02	1 of 3 - Sale ²⁵
	35.000	244.99	06/16/20	254.10	...	-9.11	2 of 3 - Sale ²⁵
	15.000	105.00	06/16/20	108.60	...	-3.60	3 of 3 - Sale ²⁵
06/17/20	100.000	699.97	Various	729.70	...	-29.73	Total of 3 transactions
	Security total:	1,374.06		1,341.84	...	32.22	
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
3 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	1.000	2,755.13	06/30/20	2,751.09	...	4.04	1 of 3 - Sale ²⁵
	1.000	2,755.53	06/30/20	2,751.09	...	4.44	2 of 3 - Sale ²⁵
	1.000	2,755.94	06/30/20	2,751.08	...	4.86	3 of 3 - Sale ²⁵
06/30/20	3.000	8,266.60	Various	8,253.26	...	13.34	Total of 3 transactions
2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	3.000	8,262.71	06/30/20	8,289.65	...	-26.94	1 of 2 - Sale ²⁵
	1.000	2,754.24	06/30/20	2,751.29	...	2.95	2 of 2 - Sale ²⁵
07/01/20	4.000	11,016.95	Various	11,040.94	...	-23.99	Total of 2 transactions
	Security total:	19,283.55		19,294.20	...	-10.65	
AMBEV S.A. / CUSIP: 02319V103 / Symbol:							
05/22/20	75.000	160.49	05/21/20	161.14	...	-0.65	Sale ²⁵
06/25/20	500.000	1,339.96	06/25/20	1,350.00	...	-10.04	Sale ²⁵
	Security total:	1,500.45		1,511.14	...	-10.69	
AMERI HOLDINGS, INC. COMMON STOCK / CUSIP: 02362F302 / Symbol:							
06/02/20	50.000	176.50	06/02/20	225.00	...	-48.50	Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
01/08/20	1.000	27.10	01/07/20	27.63	...	-0.53	Sale ²⁵
	2 transactions for 03/25/20. Total proceeds and cost reported to the IRS.						
	10.000	165.01	03/24/20	124.30	...	40.71	1 of 2 - Sale ²⁵
	40.000	660.02	03/24/20	478.40	...	181.62	2 of 2 - Sale ²⁵
03/25/20	50.000	825.03	Various	602.70	...	222.33	Total of 2 transactions
	5 transactions for 03/30/20. Total proceeds and cost reported to the IRS.						
	7.000	91.70	03/27/20	103.10	11.40 W	0.00	1 of 5 - Sale ²⁵
	1.000	13.10	03/27/20	14.73	1.63 W	0.00	2 of 5 - Sale ²⁵
	7.000	91.35	03/27/20	103.10	11.75 W	0.00	3 of 5 - Sale ²⁵
	10.000	130.40	03/27/20	147.29	16.89 W	0.00	4 of 5 - Sale ²⁵
	25.000	312.50	03/27/20	368.21	55.71 W	0.00	5 of 5 - Sale ²⁵
03/30/20	50.000	639.05	Various	736.43	97.38 W	0.00	Total of 5 transactions
	7 transactions for 04/01/20. Total proceeds and cost reported to the IRS.						
	1.000	11.50	03/31/20	14.52	3.02 W	0.00	1 of 7 - Sale ²⁵
	7.000	80.50	03/31/20	101.63	21.13 W	0.00	2 of 7 - Sale ²⁵
	7.000	80.50	03/31/20	101.98	21.48 W	0.00	3 of 7 - Sale ²⁵
	5.000	57.50	03/31/20	72.90	15.40 W	0.00	4 of 7 - Sale ²⁵
	5.000	57.50	03/31/20	72.89	15.39 W	0.00	5 of 7 - Sale ²⁵
	3.000	34.50	03/31/20	45.35	10.85 W	0.00	6 of 7 - Sale ²⁵
	2.000	23.00	03/31/20	30.24	7.24 W	0.00	7 of 7 - Sale ²⁵
04/01/20	30.000	345.00	Various	439.51	94.51 W	0.00	Total of 7 transactions
	5 transactions for 04/03/20. Total proceeds and cost reported to the IRS.						
	1.000	10.24	04/02/20	13.27	3.03 W	0.00	1 of 5 - Sale ²⁵
	5.000	51.20	04/02/20	66.65	15.45 W	0.00	2 of 5 - Sale ²⁵
	7.000	71.68	04/02/20	92.88	21.20 W	0.00	3 of 5 - Sale ²⁵
	7.000	71.68	04/02/20	93.23	21.55 W	0.00	4 of 5 - Sale ²⁵
	20.000	204.80	04/02/20	249.57	44.77 W	0.00	5 of 5 - Sale ²⁵
04/03/20	40.000	409.60	Various	515.60	106.00 W	0.00	Total of 5 transactions
	8 transactions for 04/07/20. Total proceeds and cost reported to the IRS.						
	1.000	10.93	04/06/20	12.77	1.84 W	0.00	1 of 8 - Sale ²⁵
	5.000	54.65	04/06/20	64.15	9.50 W	0.00	2 of 8 - Sale ²⁵
	7.000	76.51	04/06/20	89.38	12.87 W	0.00	3 of 8 - Sale ²⁵
	7.000	76.51	04/06/20	89.73	13.22 W	0.00	4 of 8 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	2.000	21.86	04/06/20	26.72	4.86 W	0.00	5 of 8 - Sale ²⁵
	3.000	32.79	04/06/20	40.07	7.28 W	0.00	6 of 8 - Sale ²⁵
	5.000	54.65	04/06/20	64.09	9.44 W	0.00	7 of 8 - Sale ²⁵
	10.000	109.30	04/06/20	119.79	10.49 W	0.00	8 of 8 - Sale ²⁵
04/07/20	40.000	437.20	Various	506.70	69.50 W	0.00	Total of 8 transactions
	11 transactions for 04/13/20. Total proceeds and cost reported to the IRS.						
	1.000	11.77	04/09/20	14.49	2.72 W	0.00	1 of 11 - Sale ²⁵
	5.000	58.85	04/09/20	72.75	13.90 W	0.00	2 of 11 - Sale ²⁵
	7.000	82.40	04/09/20	101.42	19.02 W	0.00	3 of 11 - Sale ²⁵
	7.000	82.40	04/09/20	101.77	19.37 W	0.00	4 of 11 - Sale ²⁵
	2.000	23.54	04/09/20	30.16	6.62 W	0.00	5 of 11 - Sale ²⁵
	3.000	35.31	04/09/20	45.23	9.92 W	0.00	6 of 11 - Sale ²⁵
	5.000	58.85	04/09/20	72.69	13.84 W	0.00	7 of 11 - Sale ²⁵
	10.000	117.71	04/09/20	136.99	19.28 W	0.00	8 of 11 - Sale ²⁵
	10.000	117.71	04/09/20	148.88	31.17 W	0.00	9 of 11 - Sale ²⁵
	50.000	588.55	04/09/20	632.49	43.94 W	0.00	10 of 11 - Sale ²⁵
	4.000	47.09	04/09/20	52.04	4.95 W	0.00	11 of 11 - Sale ²⁵
04/13/20	104.000	1,224.18	Various	1,408.91	184.73 W	0.00	Total of 11 transactions
	10 transactions for 04/16/20. Total proceeds and cost reported to the IRS.						
	1.000	12.06	04/15/20	15.05	2.99 W	0.00	1 of 10 - Sale ²⁵
	5.000	60.30	04/15/20	75.55	15.25 W	0.00	2 of 10 - Sale ²⁵
	7.000	84.42	04/15/20	105.33	20.91 W	0.00	3 of 10 - Sale ²⁵
	7.000	84.42	04/15/20	105.68	21.26 W	0.00	4 of 10 - Sale ²⁵
	2.000	24.12	04/15/20	31.28	7.16 W	0.00	5 of 10 - Sale ²⁵
	3.000	36.18	04/15/20	46.91	10.73 W	0.00	6 of 10 - Sale ²⁵
	5.000	60.30	04/15/20	75.49	15.19 W	0.00	7 of 10 - Sale ²⁵
	10.000	120.59	04/15/20	142.58	21.99 W	0.00	8 of 10 - Sale ²⁵
	10.000	120.60	04/15/20	154.47	33.87 W	0.00	9 of 10 - Sale ²⁵
	50.000	602.97	04/15/20	660.42	57.45 W	0.00	10 of 10 - Sale ²⁵
04/16/20	100.000	1,205.96	Various	1,412.76	206.80 W	0.00	Total of 10 transactions
	8 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	1.000	11.62	04/16/20	14.43	2.81 W	0.00	1 of 8 - Sale ²⁵
	5.000	58.10	04/16/20	72.45	14.35 W	0.00	2 of 8 - Sale ²⁵

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(continued)

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	7.000	81.34	04/16/20	100.99	19.65 W	0.00	3 of 8 - Sale ²⁵
	7.000	81.34	04/16/20	101.34	20.00 W	0.00	4 of 8 - Sale ²⁵
	2.000	23.24	04/16/20	30.04	6.80 W	0.00	5 of 8 - Sale ²⁵
	3.000	34.86	04/16/20	45.05	10.19 W	0.00	6 of 8 - Sale ²⁵
	5.000	58.10	04/16/20	72.39	14.29 W	0.00	7 of 8 - Sale ²⁵
	2.000	23.24	04/16/20	27.28	4.04 W	0.00	8 of 8 - Sale ²⁵
04/17/20	32.000	371.84	Various	463.97	92.13 W	0.00	Total of 8 transactions
	15 transactions for 04/20/20. Total proceeds and cost reported to the IRS.						
	6.000	66.48	04/16/20	84.15	17.67 W	0.00	1 of 15 - Sale ²⁵
	39.000	432.09	04/16/20	482.34	50.25 W	0.00	2 of 15 - Sale ²⁵
	8.000	88.64	04/16/20	109.11	20.47 W	0.00	3 of 15 - Sale ²⁵
	6.000	66.48	04/16/20	88.96	22.48 W	0.00	4 of 15 - Sale ²⁵
	4.000	44.32	04/16/20	50.71	6.39 W	0.00	5 of 15 - Sale ²⁵
	4.000	44.32	04/16/20	59.31	14.99 W	0.00	6 of 15 - Sale ²⁵
	6.000	66.48	04/16/20	75.53	9.05 W	0.00	7 of 15 - Sale ²⁵
	5.000	55.40	04/16/20	62.74	7.34 W	0.00	8 of 15 - Sale ²⁵
	1.000	11.08	04/16/20	14.65	3.57 W	0.00	9 of 15 - Sale ²⁵
	1.000	11.08	04/16/20	14.65	3.57 W	0.00	10 of 15 - Sale ²⁵
	5.000	55.40	04/16/20	73.55	18.15 W	0.00	11 of 15 - Sale ²⁵
	7.000	77.56	04/16/20	102.88	25.32 W	0.00	12 of 15 - Sale ²⁵
	1.000	11.08	04/16/20	15.24	4.16 W	0.00	13 of 15 - Sale ²⁵
	5.000	55.39	04/16/20	73.49	18.10 W	0.00	14 of 15 - Sale ²⁵
	2.000	22.16	04/16/20	30.53	8.37 W	0.00	15 of 15 - Sale ²⁵
04/20/20	100.000	1,107.96	Various	1,337.84	229.88 W	0.00	Total of 15 transactions
	15 transactions for 04/21/20. Total proceeds and cost reported to the IRS.						
	1.000	10.75	04/20/20	14.62	3.87 W	0.00	1 of 15 - Sale ²⁵
	1.000	10.75	04/20/20	14.62	3.87 W	0.00	2 of 15 - Sale ²⁵
	5.000	53.75	04/20/20	73.40	19.65 W	0.00	3 of 15 - Sale ²⁵
	6.000	64.50	04/20/20	83.97	19.47 W	0.00	4 of 15 - Sale ²⁵
	7.000	75.25	04/20/20	102.67	27.42 W	0.00	5 of 15 - Sale ²⁵
	4.000	43.00	04/20/20	58.68	15.68 W	0.00	6 of 15 - Sale ²⁵
	8.000	86.00	04/20/20	108.87	22.87 W	0.00	7 of 15 - Sale ²⁵
	4.000	43.00	04/20/20	59.19	16.19 W	0.00	8 of 15 - Sale ²⁵
	6.000	64.50	04/20/20	88.78	24.28 W	0.00	9 of 15 - Sale ²⁵

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(continued)

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	2.000	21.50	04/20/20	28.90	7.40 W	0.00	10 of 15 - Sale ²⁵
	2.000	21.50	04/20/20	26.14	4.64 W	0.00	11 of 15 - Sale ²⁵
	5.000	53.75	04/20/20	62.59	8.84 W	0.00	12 of 15 - Sale ²⁵
	6.000	64.50	04/20/20	75.35	10.85 W	0.00	13 of 15 - Sale ²⁵
	39.000	419.21	04/20/20	481.19	61.98 W	0.00	14 of 15 - Sale ²⁵
	4.000	43.00	04/20/20	50.59	7.59 W	0.00	15 of 15 - Sale ²⁵
04/21/20	100.000	1,074.96	Various	1,329.56	254.60 W	0.00	Total of 15 transactions
	17 transactions for 04/23/20. Total proceeds and cost reported to the IRS.						
	1.000	10.36	04/22/20	14.77	4.41 W	0.00	1 of 17 - Sale ²⁵
	1.000	10.36	04/22/20	14.77	4.41 W	0.00	2 of 17 - Sale ²⁵
	5.000	51.80	04/22/20	74.14	22.34 W	0.00	3 of 17 - Sale ²⁵
	6.000	62.17	04/22/20	84.86	22.69 W	0.00	4 of 17 - Sale ²⁵
	7.000	72.53	04/22/20	103.70	31.17 W	0.00	5 of 17 - Sale ²⁵
	4.000	41.44	04/22/20	59.27	17.83 W	0.00	6 of 17 - Sale ²⁵
	1.000	10.36	04/22/20	14.52	4.16 W	0.00	7 of 17 - Sale ²⁵
	1.000	10.36	04/22/20	15.06	4.70 W	0.00	8 of 17 - Sale ²⁵
	2.000	20.72	04/22/20	30.17	9.45 W	0.00	9 of 17 - Sale ²⁵
	8.000	82.89	04/22/20	110.05	27.16 W	0.00	10 of 17 - Sale ²⁵
	4.000	41.44	04/22/20	59.78	18.34 W	0.00	11 of 17 - Sale ²⁵
	6.000	62.17	04/22/20	89.67	27.50 W	0.00	12 of 17 - Sale ²⁵
	2.000	20.72	04/22/20	29.20	8.48 W	0.00	13 of 17 - Sale ²⁵
	2.000	20.72	04/22/20	26.44	5.72 W	0.00	14 of 17 - Sale ²⁵
	5.000	51.81	04/22/20	63.33	11.52 W	0.00	15 of 17 - Sale ²⁵
	6.000	62.17	04/22/20	76.24	14.07 W	0.00	16 of 17 - Sale ²⁵
	39.000	404.08	04/22/20	486.98	82.90 W	0.00	17 of 17 - Sale ²⁵
04/23/20	100.000	1,036.10	Various	1,352.95	316.85 W	0.00	Total of 17 transactions
	30 transactions for 04/27/20. Total proceeds and cost reported to the IRS.						
	1.000	10.24	04/24/20	14.50	4.26 W	0.00	1 of 30 - Sale ²⁵
	1.000	10.24	04/24/20	14.50	4.26 W	0.00	2 of 30 - Sale ²⁵
	5.000	51.20	04/24/20	72.79	21.59 W	0.00	3 of 30 - Sale ²⁵
	6.000	61.44	04/24/20	83.22	21.78 W	0.00	4 of 30 - Sale ²⁵
	7.000	71.68	04/24/20	101.79	30.11 W	0.00	5 of 30 - Sale ²⁵
	4.000	40.96	04/24/20	58.19	17.23 W	0.00	6 of 30 - Sale ²⁵
	1.000	10.24	04/24/20	14.25	4.01 W	0.00	7 of 30 - Sale ²⁵

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	1.000	10.24	04/24/20	14.79	4.55 W	0.00	8 of 30 - Sale ²⁵
	2.000	20.48	04/24/20	29.63	9.15 W	0.00	9 of 30 - Sale ²⁵
	8.000	81.92	04/24/20	107.87	25.95 W	0.00	10 of 30 - Sale ²⁵
	4.000	40.96	04/24/20	58.70	17.74 W	0.00	11 of 30 - Sale ²⁵
	6.000	61.44	04/24/20	88.03	26.59 W	0.00	12 of 30 - Sale ²⁵
	2.000	20.48	04/24/20	28.66	8.18 W	0.00	13 of 30 - Sale ²⁵
	2.000	20.48	04/24/20	25.90	5.42 W	0.00	14 of 30 - Sale ²⁵
	5.000	51.20	04/24/20	61.96	10.76 W	0.00	15 of 30 - Sale ²⁵
	6.000	61.44	04/24/20	74.60	13.16 W	0.00	16 of 30 - Sale ²⁵
	35.000	358.36	04/24/20	427.51	69.15 W	0.00	17 of 30 - Sale ²⁵
	4.000	40.96	04/24/20	47.95	6.99 W	0.00	18 of 30 - Sale ²⁵
	1.000	10.24	04/24/20	14.74	4.50 W	0.00	19 of 30 - Sale ²⁵
	1.000	10.24	04/24/20	14.74	4.50 W	0.00	20 of 30 - Sale ²⁵
	5.000	51.20	04/24/20	73.98	22.78 W	0.00	21 of 30 - Sale ²⁵
	6.000	61.44	04/24/20	84.65	23.21 W	0.00	22 of 30 - Sale ²⁵
	7.000	71.68	04/24/20	103.46	31.78 W	0.00	23 of 30 - Sale ²⁵
	1.000	10.24	04/24/20	14.79	4.55 W	0.00	24 of 30 - Sale ²⁵
	4.000	40.96	04/24/20	50.42	9.46 W	0.00	25 of 30 - Sale ²⁵
	3.000	30.72	04/24/20	44.12	13.40 W	0.00	26 of 30 - Sale ²⁵
	1.000	10.24	04/24/20	14.41	4.17 W	0.00	27 of 30 - Sale ²⁵
	1.000	10.24	04/24/20	14.95	4.71 W	0.00	28 of 30 - Sale ²⁵
	2.000	20.48	04/24/20	29.95	9.47 W	0.00	29 of 30 - Sale ²⁵
	3.000	30.72	04/24/20	40.92	10.20 W	0.00	30 of 30 - Sale ²⁵
04/27/20	135.000	1,382.36	Various	1,825.97	443.61 W	0.00	Total of 30 transactions
21 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	5.000	60.50	04/28/20	67.82	7.32 W	0.00	1 of 21 - Sale ²⁵
	4.000	48.40	04/28/20	59.02	10.62 W	0.00	2 of 21 - Sale ²⁵
	6.000	72.60	04/28/20	88.51	15.91 W	0.00	3 of 21 - Sale ²⁵
	2.000	24.20	04/28/20	28.82	4.62 W	0.00	4 of 21 - Sale ²⁵
	2.000	24.20	04/28/20	26.06	1.86 W	0.00	5 of 21 - Sale ²⁵
	5.000	60.50	04/28/20	62.36	1.86 W	0.00	6 of 21 - Sale ²⁵
	6.000	72.60	04/28/20	75.08	2.48 W	0.00	7 of 21 - Sale ²⁵
	20.000	241.99	04/28/20	245.91	3.92 W	0.00	8 of 21 - Sale ²⁵
	6.000	72.60	04/28/20	89.56	16.96 W	0.00	9 of 21 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	15.000	181.49	04/28/20	195.52	14.03 W	0.00	10 of 21 - Sale ²⁵
	4.000	48.40	04/28/20	51.23	2.83 W	0.00	11 of 21 - Sale ²⁵
	1.000	12.10	04/29/20	17.07	4.97 W	0.00	12 of 21 - Sale ²⁵
	1.000	12.10	04/29/20	17.07	4.97 W	0.00	13 of 21 - Sale ²⁵
	5.000	60.50	04/29/20	85.62	25.12 W	0.00	14 of 21 - Sale ²⁵
	7.000	84.70	04/29/20	119.76	35.06 W	0.00	15 of 21 - Sale ²⁵
	1.000	12.10	04/29/20	17.12	5.02 W	0.00	16 of 21 - Sale ²⁵
	3.000	36.30	04/29/20	51.11	14.81 W	0.00	17 of 21 - Sale ²⁵
	1.000	12.10	04/29/20	16.74	4.64 W	0.00	18 of 21 - Sale ²⁵
	1.000	12.10	04/29/20	17.28	5.18 W	0.00	19 of 21 - Sale ²⁵
	1.000	12.10	04/29/20	17.30	5.20 W	0.00	20 of 21 - Sale ²⁵
	4.000	48.39	04/29/20	59.74	11.35 W	0.00	21 of 21 - Sale ²⁵
04/30/20	100.000	1,209.97	Various	1,408.70	198.73 W	0.00	Total of 21 transactions
	3 transactions for 05/05/20. Total proceeds and cost reported to the IRS.						
	6.000	60.00	05/04/20	59.24	...	0.76	1 of 3 - Sale ²⁵
	5.000	50.00	05/04/20	49.16	...	0.84	2 of 3 - Sale ²⁵
	16.000	160.00	05/04/20	154.49	...	5.51	3 of 3 - Sale ²⁵
05/05/20	27.000	270.00	Various	262.89	...	7.11	Total of 3 transactions
	7 transactions for 05/05/20. Total proceeds and cost reported to the IRS.						
	1.000	10.00	05/04/20	14.13	4.13 W	0.00	1 of 7 - Sale ²⁵
	3.000	30.00	05/04/20	38.58	8.58 W	0.00	2 of 7 - Sale ²⁵
	5.000	50.00	05/04/20	54.62	4.62 W	0.00	3 of 7 - Sale ²⁵
	4.000	40.00	05/04/20	48.46	8.46 W	0.00	4 of 7 - Sale ²⁵
	6.000	60.00	05/04/20	72.67	12.67 W	0.00	5 of 7 - Sale ²⁵
	2.000	20.00	05/04/20	23.54	3.54 W	0.00	6 of 7 - Sale ²⁵
	2.000	20.00	05/04/20	20.78	0.78 W	0.00	7 of 7 - Sale ²⁵
05/05/20	23.000	230.00	Various	272.78	42.78 W	0.00	Total of 7 transactions
	23 transactions for 05/06/20. Total proceeds and cost reported to the IRS.						
	6.000	57.48	05/05/20	75.28	17.80 W	0.00	1 of 23 - Sale ²⁵
	1.000	9.58	05/05/20	14.69	5.11 W	0.00	2 of 23 - Sale ²⁵
	1.000	9.58	05/05/20	14.69	5.11 W	0.00	3 of 23 - Sale ²⁵
	5.000	47.90	05/05/20	73.72	25.82 W	0.00	4 of 23 - Sale ²⁵
	7.000	67.06	05/05/20	103.10	36.04 W	0.00	5 of 23 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	1.000	9.58	05/05/20	14.74	5.16 W	0.00	6 of 23 - Sale ²⁵
	3.000	28.74	05/05/20	43.97	15.23 W	0.00	7 of 23 - Sale ²⁵
	1.000	9.58	05/05/20	14.36	4.78 W	0.00	8 of 23 - Sale ²⁵
	1.000	9.58	05/05/20	14.90	5.32 W	0.00	9 of 23 - Sale ²⁵
	1.000	9.58	05/05/20	14.92	5.34 W	0.00	10 of 23 - Sale ²⁵
	1.000	9.58	05/05/20	13.85	4.27 W	0.00	11 of 23 - Sale ²⁵
	3.000	28.74	05/05/20	37.74	9.00 W	0.00	12 of 23 - Sale ²⁵
	5.000	47.90	05/05/20	53.22	5.32 W	0.00	13 of 23 - Sale ²⁵
	4.000	38.32	05/05/20	47.34	9.02 W	0.00	14 of 23 - Sale ²⁵
	6.000	57.48	05/05/20	70.99	13.51 W	0.00	15 of 23 - Sale ²⁵
	2.000	19.16	05/05/20	22.98	3.82 W	0.00	16 of 23 - Sale ²⁵
	2.000	19.16	05/05/20	20.22	1.06 W	0.00	17 of 23 - Sale ²⁵
	4.000	38.32	05/05/20	39.66	1.34 W	0.00	18 of 23 - Sale ²⁵
	15.000	143.68	05/05/20	159.83	16.15 W	0.00	19 of 23 - Sale ²⁵
	4.000	38.32	05/05/20	50.23	11.91 W	0.00	20 of 23 - Sale ²⁵
	4.000	38.31	05/05/20	41.71	3.40 W	0.00	21 of 23 - Sale ²⁵
	3.000	28.74	05/05/20	29.16	0.42 W	0.00	22 of 23 - Sale ²⁵
	20.000	191.60	05/05/20	194.40	2.80 W	0.00	23 of 23 - Sale ²⁵
05/06/20	100.000	957.97	Various	1,165.70	207.73 W	0.00	Total of 23 transactions
	23 transactions for 05/11/20. Total proceeds and cost reported to the IRS.						
	6.000	57.96	05/07/20	75.75	17.79 W	0.00	1 of 23 - Sale ²⁵
	1.000	9.66	05/07/20	14.77	5.11 W	0.00	2 of 23 - Sale ²⁵
	1.000	9.66	05/07/20	14.77	5.11 W	0.00	3 of 23 - Sale ²⁵
	5.000	48.30	05/07/20	74.11	25.81 W	0.00	4 of 23 - Sale ²⁵
	7.000	67.62	05/07/20	103.65	36.03 W	0.00	5 of 23 - Sale ²⁵
	1.000	9.66	05/07/20	14.82	5.16 W	0.00	6 of 23 - Sale ²⁵
	3.000	28.98	05/07/20	44.20	15.22 W	0.00	7 of 23 - Sale ²⁵
	1.000	9.66	05/07/20	14.44	4.78 W	0.00	8 of 23 - Sale ²⁵
	1.000	9.66	05/07/20	14.98	5.32 W	0.00	9 of 23 - Sale ²⁵
	1.000	9.66	05/07/20	15.00	5.34 W	0.00	10 of 23 - Sale ²⁵
	1.000	9.66	05/07/20	13.93	4.27 W	0.00	11 of 23 - Sale ²⁵
	3.000	28.98	05/07/20	37.97	8.99 W	0.00	12 of 23 - Sale ²⁵
	5.000	48.30	05/07/20	53.61	5.31 W	0.00	13 of 23 - Sale ²⁵
	4.000	38.64	05/07/20	47.65	9.01 W	0.00	14 of 23 - Sale ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	6.000	57.96	05/07/20	71.46	13.50 W	0.00	15 of 23 - Sale ²⁵
	2.000	19.32	05/07/20	23.14	3.82 W	0.00	16 of 23 - Sale ²⁵
	2.000	19.32	05/07/20	20.38	1.06 W	0.00	17 of 23 - Sale ²⁵
	4.000	38.64	05/07/20	39.97	1.33 W	0.00	18 of 23 - Sale ²⁵
	15.000	144.89	05/07/20	161.02	16.13 W	0.00	19 of 23 - Sale ²⁵
	4.000	38.64	05/07/20	50.54	11.90 W	0.00	20 of 23 - Sale ²⁵
	4.000	38.64	05/07/20	42.03	3.39 W	0.00	21 of 23 - Sale ²⁵
	3.000	28.98	05/07/20	29.39	0.41 W	0.00	22 of 23 - Sale ²⁵
	20.000	193.17	05/07/20	195.96	2.79 W	0.00	23 of 23 - Sale ²⁵
05/11/20	100.000	965.96	Various	1,173.54	207.58 W	0.00	Total of 23 transactions
	17 transactions for 05/12/20. Total proceeds and cost reported to the IRS.						
	6.000	59.65	05/11/20	77.06	17.41 W	0.00	1 of 17 - Sale ²⁵
	1.000	9.94	05/11/20	14.99	5.05 W	0.00	2 of 17 - Sale ²⁵
	1.000	9.94	05/11/20	14.99	5.05 W	0.00	3 of 17 - Sale ²⁵
	5.000	49.71	05/11/20	75.20	25.49 W	0.00	4 of 17 - Sale ²⁵
	7.000	69.59	05/11/20	105.18	35.59 W	0.00	5 of 17 - Sale ²⁵
	1.000	9.94	05/11/20	15.04	5.10 W	0.00	6 of 17 - Sale ²⁵
	3.000	29.83	05/11/20	44.85	15.02 W	0.00	7 of 17 - Sale ²⁵
	1.000	9.94	05/11/20	14.66	4.72 W	0.00	8 of 17 - Sale ²⁵
	1.000	9.94	05/11/20	15.20	5.26 W	0.00	9 of 17 - Sale ²⁵
	1.000	9.94	05/11/20	15.22	5.28 W	0.00	10 of 17 - Sale ²⁵
	1.000	9.94	05/11/20	14.15	4.21 W	0.00	11 of 17 - Sale ²⁵
	3.000	29.83	05/11/20	38.62	8.79 W	0.00	12 of 17 - Sale ²⁵
	5.000	49.71	05/11/20	54.70	4.99 W	0.00	13 of 17 - Sale ²⁵
	4.000	39.77	05/11/20	48.52	8.75 W	0.00	14 of 17 - Sale ²⁵
	6.000	59.66	05/11/20	72.77	13.11 W	0.00	15 of 17 - Sale ²⁵
	2.000	19.88	05/11/20	23.58	3.70 W	0.00	16 of 17 - Sale ²⁵
	2.000	19.89	05/11/20	20.81	0.92 W	0.00	17 of 17 - Sale ²⁵
05/12/20	50.000	497.10	Various	665.54	168.44 W	0.00	Total of 17 transactions
	6 transactions for 05/14/20. Total proceeds and cost reported to the IRS.						
	4.000	33.32	05/13/20	37.33	4.01 W	0.00	1 of 6 - Sale ²⁵
	15.000	124.95	05/13/20	151.13	26.18 W	0.00	2 of 6 - Sale ²⁵
	4.000	33.32	05/13/20	47.90	14.58 W	0.00	3 of 6 - Sale ²⁵
	4.000	33.32	05/13/20	39.39	6.07 W	0.00	4 of 6 - Sale ²⁵

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(continued)

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	3.000	24.99	05/13/20	27.41		0.00	5 of 6 - Sale ²⁵
	20.000	166.60	05/13/20	182.79	2.42 W	0.00	6 of 6 - Sale ²⁵
05/14/20	50.000	416.50	Various	485.95	16.19 W	0.00	Total of 6 transactions
	5 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	6.000	57.54	05/15/20	72.70	69.45 W	0.00	1 of 5 - Sale ²⁵
	1.000	9.59	05/15/20	14.27	15.16 W	0.00	2 of 5 - Sale ²⁵
	1.000	9.59	05/15/20	14.26	4.68 W	0.00	3 of 5 - Sale ²⁵
	5.000	47.95	05/15/20	71.56	4.67 W	0.00	4 of 5 - Sale ²⁵
	7.000	67.13	05/15/20	100.10	23.61 W	0.00	5 of 5 - Sale ²⁵
05/18/20	20.000	191.80	Various	272.89	32.97 W	0.00	Total of 5 transactions
	15 transactions for 05/20/20. Total proceeds and cost reported to the IRS.						
	1.000	9.82	05/19/20	15.11	81.09 W	0.00	1 of 15 - Sale ²⁵
	3.000	29.47	05/19/20	45.05	5.29 W	0.00	2 of 15 - Sale ²⁵
	1.000	9.82	05/19/20	14.73	15.58 W	0.00	3 of 15 - Sale ²⁵
	1.000	9.82	05/19/20	15.27	4.91 W	0.00	4 of 15 - Sale ²⁵
	1.000	9.82	05/19/20	15.29	5.45 W	0.00	5 of 15 - Sale ²⁵
	1.000	9.82	05/19/20	14.22	5.47 W	0.00	6 of 15 - Sale ²⁵
	3.000	29.47	05/19/20	38.82	4.40 W	0.00	7 of 15 - Sale ²⁵
	5.000	49.11	05/19/20	55.04	9.35 W	0.00	8 of 15 - Sale ²⁵
	4.000	39.29	05/19/20	48.79	5.93 W	0.00	9 of 15 - Sale ²⁵
	6.000	58.93	05/19/20	73.17	9.50 W	0.00	10 of 15 - Sale ²⁵
	2.000	19.64	05/19/20	23.72	14.24 W	0.00	11 of 15 - Sale ²⁵
	2.000	19.64	05/19/20	20.94	4.08 W	0.00	12 of 15 - Sale ²⁵
	4.000	39.29	05/19/20	44.05	1.30 W	0.00	13 of 15 - Sale ²⁵
	15.000	147.33	05/19/20	176.33	4.76 W	0.00	14 of 15 - Sale ²⁵
	1.000	9.83	05/19/20	13.66	29.00 W	0.00	15 of 15 - Sale ²⁵
05/20/20	50.000	491.10	Various	614.19	3.83 W	0.00	Total of 15 transactions
	3 transactions for 05/27/20. Total proceeds and cost reported to the IRS.						
	4.000	48.02	05/26/20	47.38	123.09 W	0.64	1 of 3 - Sale ²⁵
	3.000	36.01	05/26/20	33.41	...	2.60	2 of 3 - Sale ²⁵
	20.000	240.10	05/26/20	222.75	...	17.35	3 of 3 - Sale ²⁵
05/27/20	27.000	324.13	Various	303.54	...	20.59	Total of 3 transactions

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
16 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	6.000	72.03	05/26/20	77.13	5.10 W	0.00	1 of 16 - Sale ²⁵
	1.000	12.00	05/26/20	15.01	3.01 W	0.00	2 of 16 - Sale ²⁵
	1.000	12.00	05/26/20	15.00	3.00 W	0.00	3 of 16 - Sale ²⁵
	5.000	60.02	05/26/20	75.25	15.23 W	0.00	4 of 16 - Sale ²⁵
	7.000	84.03	05/26/20	105.27	21.24 W	0.00	5 of 16 - Sale ²⁵
	3.000	36.01	05/26/20	41.91	5.90 W	0.00	6 of 16 - Sale ²⁵
	1.000	12.01	05/26/20	16.44	4.43 W	0.00	7 of 16 - Sale ²⁵
	3.000	36.01	05/26/20	49.02	13.01 W	0.00	8 of 16 - Sale ²⁵
	1.000	12.01	05/26/20	16.06	4.05 W	0.00	9 of 16 - Sale ²⁵
	1.000	12.00	05/26/20	16.60	4.60 W	0.00	10 of 16 - Sale ²⁵
	1.000	12.01	05/26/20	16.62	4.61 W	0.00	11 of 16 - Sale ²⁵
	1.000	12.00	05/26/20	15.55	3.55 W	0.00	12 of 16 - Sale ²⁵
	3.000	36.02	05/26/20	42.79	6.77 W	0.00	13 of 16 - Sale ²⁵
	5.000	60.02	05/26/20	61.67	1.65 W	0.00	14 of 16 - Sale ²⁵
	3.000	36.02	05/26/20	40.57	4.55 W	0.00	15 of 16 - Sale ²⁵
	6.000	72.03	05/26/20	81.13	9.10 W	0.00	16 of 16 - Sale ²⁵
05/27/20	48.000	576.22	Various	686.02	109.80 W	0.00	Total of 16 transactions
14 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	6.000	63.42	05/27/20	74.88	11.46 W	0.00	1 of 14 - Sale ²⁵
	1.000	10.57	05/27/20	14.64	4.07 W	0.00	2 of 14 - Sale ²⁵
	1.000	10.57	05/27/20	14.63	4.06 W	0.00	3 of 14 - Sale ²⁵
	5.000	52.85	05/27/20	73.38	20.53 W	0.00	4 of 14 - Sale ²⁵
	7.000	73.99	05/27/20	102.65	28.66 W	0.00	5 of 14 - Sale ²⁵
	1.000	10.57	05/27/20	16.06	5.49 W	0.00	6 of 14 - Sale ²⁵
	1.000	10.57	05/27/20	15.97	5.40 W	0.00	7 of 14 - Sale ²⁵
	1.000	10.57	05/27/20	14.00	3.43 W	0.00	8 of 14 - Sale ²⁵
	2.000	21.14	05/27/20	27.34	6.20 W	0.00	9 of 14 - Sale ²⁵
	2.000	21.14	05/27/20	24.56	3.42 W	0.00	10 of 14 - Sale ²⁵
	4.000	42.28	05/27/20	51.28	9.00 W	0.00	11 of 14 - Sale ²⁵
	15.000	158.54	05/27/20	203.45	44.91 W	0.00	12 of 14 - Sale ²⁵
	1.000	10.57	05/27/20	15.46	4.89 W	0.00	13 of 14 - Sale ²⁵
	3.000	31.71	05/27/20	40.79	9.08 W	0.00	14 of 14 - Sale ²⁵
05/29/20	50.000	528.49	Various	689.09	160.60 W	0.00	Total of 14 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
3 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	5.000	56.61	05/29/20	54.35	...	2.26	1 of 3 - Sale ²⁵
	2.000	22.64	06/01/20	21.70	...	0.94	2 of 3 - Sale ²⁵
	25.000	283.05	06/01/20	278.00	...	5.05	3 of 3 - Sale ²⁵
06/02/20	32.000	362.30	Various	354.05	...	8.25	Total of 3 transactions
22 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	6.000	67.93	05/29/20	74.70	6.77 W	0.00	1 of 22 - Sale ²⁵
	1.000	11.32	05/29/20	14.61	3.29 W	0.00	2 of 22 - Sale ²⁵
	1.000	11.32	05/29/20	14.60	3.28 W	0.00	3 of 22 - Sale ²⁵
	5.000	56.61	05/29/20	73.23	16.62 W	0.00	4 of 22 - Sale ²⁵
	7.000	79.25	05/29/20	102.44	23.19 W	0.00	5 of 22 - Sale ²⁵
	1.000	11.32	05/29/20	16.03	4.71 W	0.00	6 of 22 - Sale ²⁵
	1.000	11.32	05/29/20	15.94	4.62 W	0.00	7 of 22 - Sale ²⁵
	2.000	22.64	05/29/20	29.75	7.11 W	0.00	8 of 22 - Sale ²⁵
	1.000	11.32	05/29/20	14.59	3.27 W	0.00	9 of 22 - Sale ²⁵
	1.000	11.32	05/29/20	15.14	3.82 W	0.00	10 of 22 - Sale ²⁵
	1.000	11.32	05/29/20	15.15	3.83 W	0.00	11 of 22 - Sale ²⁵
	1.000	11.32	05/29/20	14.09	2.77 W	0.00	12 of 22 - Sale ²⁵
	3.000	33.96	05/29/20	38.39	4.43 W	0.00	13 of 22 - Sale ²⁵
	1.000	11.32	05/29/20	13.97	2.65 W	0.00	14 of 22 - Sale ²⁵
	3.000	33.97	05/29/20	36.17	2.20 W	0.00	15 of 22 - Sale ²⁵
	6.000	67.93	05/29/20	72.34	4.41 W	0.00	16 of 22 - Sale ²⁵
	2.000	22.64	05/29/20	27.28	4.64 W	0.00	17 of 22 - Sale ²⁵
	2.000	22.64	05/29/20	24.49	1.85 W	0.00	18 of 22 - Sale ²⁵
	4.000	45.29	06/01/20	52.40	7.11 W	0.00	19 of 22 - Sale ²⁵
	15.000	169.83	06/01/20	207.66	37.83 W	0.00	20 of 22 - Sale ²⁵
	1.000	11.32	06/01/20	15.74	4.42 W	0.00	21 of 22 - Sale ²⁵
	3.000	33.96	06/01/20	41.63	7.67 W	0.00	22 of 22 - Sale ²⁵
06/02/20	68.000	769.85	Various	930.34	160.49 W	0.00	Total of 22 transactions
29 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	1.000	20.00	06/04/20	19.59	...	0.41	1 of 29 - Sale ²⁵
	1.000	20.00	06/04/20	18.87	...	1.13	2 of 29 - Sale ²⁵
	1.000	20.00	06/04/20	18.86	...	1.14	3 of 29 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	1.000	20.00	06/04/20	18.11	...	1.89	4 of 29 - Sale ²⁵
	1.000	20.00	06/04/20	17.91	...	2.09	5 of 29 - Sale ²⁵
	1.000	20.00	06/04/20	17.81	...	2.19	6 of 29 - Sale ²⁵
	1.000	20.00	06/04/20	17.79	...	2.21	7 of 29 - Sale ²⁵
	1.000	20.00	06/04/20	17.70	...	2.30	8 of 29 - Sale ²⁵
	1.000	20.00	06/04/20	17.69	...	2.31	9 of 29 - Sale ²⁵
	1.000	20.00	06/04/20	17.56	...	2.44	10 of 29 - Sale ²⁵
	1.000	20.00	06/04/20	16.37	...	3.63	11 of 29 - Sale ²⁵
	1.000	20.00	06/04/20	16.36	...	3.64	12 of 29 - Sale ²⁵
	1.000	20.00	06/04/20	16.35	...	3.65	13 of 29 - Sale ²⁵
	2.000	40.00	06/04/20	34.72	...	5.28	14 of 29 - Sale ²⁵
	2.000	40.00	06/04/20	33.27	...	6.73	15 of 29 - Sale ²⁵
	3.000	60.00	06/04/20	53.18	...	6.82	16 of 29 - Sale ²⁵
	2.000	40.00	06/04/20	31.93	...	8.07	17 of 29 - Sale ²⁵
	3.000	60.00	06/04/20	49.55	...	10.45	18 of 29 - Sale ²⁵
	3.000	60.00	06/04/20	47.32	...	12.68	19 of 29 - Sale ²⁵
	4.000	80.00	06/04/20	67.27	...	12.73	20 of 29 - Sale ²⁵
	5.000	100.00	06/04/20	82.02	...	17.98	21 of 29 - Sale ²⁵
	7.000	140.00	06/04/20	114.75	...	25.25	22 of 29 - Sale ²⁵
	6.000	120.00	06/04/20	94.65	...	25.35	23 of 29 - Sale ²⁵
	14.000	279.99	06/04/20	247.69	...	32.30	24 of 29 - Sale ²⁵
	7.000	139.99	06/04/20	106.19	...	33.80	25 of 29 - Sale ²⁵
	6.000	120.00	06/04/20	85.25	...	34.75	26 of 29 - Sale ²⁵
	25.000	499.97	06/04/20	432.00	...	67.97	27 of 29 - Sale ²⁵
	25.000	499.98	06/04/20	429.50	...	70.48	28 of 29 - Sale ²⁵
	25.000	499.98	06/04/20	366.41	...	133.57	29 of 29 - Sale ²⁵
06/05/20	152.000	3,039.91	Various	2,506.67	...	533.24	Total of 29 transactions
06/08/20	10.000	197.10	06/05/20	208.10	11.00 W	0.00	Sale ²⁵
06/09/20	10.000	180.80	06/08/20	214.50	33.70 W	0.00	Sale ²⁵
06/12/20	15.000	247.80	06/11/20	233.97	...	13.83	Sale ²⁵
06/12/20	10.000	165.20	06/11/20	189.68	24.48 W	0.00	Sale ²⁵
06/16/20	10.000	180.00	06/15/20	180.46	0.46 W	0.00	Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	10.000	130.90	06/24/20	132.46	1.56 W	0.00	1 of 2 - Sale ²⁵
	40.000	523.59	06/24/20	528.00	4.41 W	0.00	2 of 2 - Sale ²⁵
06/24/20	50.000	654.49	Various	660.46	5.97 W	0.00	Total of 2 transactions
12 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	10.000	124.20	06/24/20	131.46	7.26 W	0.00	1 of 12 - Sale ²⁵
	16.000	198.71	06/24/20	209.60	10.89 W	0.00	2 of 12 - Sale ²⁵
	24.000	298.07	06/24/20	314.65	16.58 W	0.00	3 of 12 - Sale ²⁵
	150.000	1,862.94	06/24/20	1,950.00	87.06 W	0.00	4 of 12 - Sale ²⁵
	117.000	1,453.09	06/24/20	1,521.00	67.91 W	0.00	5 of 12 - Sale ²⁵
	10.000	124.20	06/24/20	137.26	13.06 W	0.00	6 of 12 - Sale ²⁵
	7.000	86.94	06/24/20	95.84	8.90 W	0.00	7 of 12 - Sale ²⁵
	16.000	198.72	06/24/20	218.89	20.17 W	0.00	8 of 12 - Sale ²⁵
	17.000	212.15	06/25/20	227.13	14.98 W	0.00	9 of 12 - Sale ²⁵
	33.000	411.84	06/25/20	437.26	25.42 W	0.00	10 of 12 - Sale ²⁵
	80.000	1,001.16	06/25/20	1,062.42	61.26 W	0.00	11 of 12 - Sale ²⁵
	20.000	250.30	06/25/20	265.61	15.31 W	0.00	12 of 12 - Sale ²⁵
06/25/20	500.000	6,222.32	Various	6,571.12	348.80 W	0.00	Total of 12 transactions
11 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	17.000	213.34	06/29/20	223.39	10.05 W	0.00	1 of 11 - Sale ²⁵
	82.000	1,029.07	06/29/20	1,077.50	48.43 W	0.00	2 of 11 - Sale ²⁵
	1.000	12.74	06/29/20	13.14	0.40 W	0.00	3 of 11 - Sale ²⁵
	10.000	127.41	06/29/20	138.36	10.95 W	0.00	4 of 11 - Sale ²⁵
	7.000	89.19	06/29/20	96.61	7.42 W	0.00	5 of 11 - Sale ²⁵
	16.000	203.86	06/29/20	220.64	16.78 W	0.00	6 of 11 - Sale ²⁵
	7.000	89.19	06/29/20	93.88	4.69 W	0.00	7 of 11 - Sale ²⁵
	34.000	433.21	06/29/20	445.75	12.54 W	0.00	8 of 11 - Sale ²⁵
	10.000	133.10	06/29/20	142.81	9.71 W	0.00	9 of 11 - Sale ²⁵
	32.000	425.91	06/29/20	453.30	27.39 W	0.00	10 of 11 - Sale ²⁵
	33.000	439.21	06/29/20	467.61	28.40 W	0.00	11 of 11 - Sale ²⁵
06/29/20	249.000	3,196.23	Various	3,372.99	176.76 W	0.00	Total of 11 transactions

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
<i>10 transactions for 07/02/20. Total proceeds and cost reported to the IRS.</i>							
	33.000	414.47	07/02/20	461.39	...	-46.92	1 of 10 - Sale ²⁵
	33.000	412.49	07/02/20	458.31	...	-45.82	2 of 10 - Sale ²⁵
	20.000	251.19	07/02/20	276.48	...	-25.29	3 of 10 - Sale ²⁵
	17.000	213.51	07/02/20	236.02	...	-22.51	4 of 10 - Sale ²⁵
	15.000	187.49	07/02/20	208.25	...	-20.76	5 of 10 - Sale ²⁵
	15.000	188.39	07/02/20	207.37	...	-18.98	6 of 10 - Sale ²⁵
	15.000	188.40	07/02/20	204.75	...	-16.35	7 of 10 - Sale ²⁵
	8.000	105.21	07/02/20	114.57	...	-9.36	8 of 10 - Sale ²⁵
	7.000	92.06	07/02/20	98.14	...	-6.08	9 of 10 - Sale ²⁵
	2.000	25.00	07/02/20	28.00	...	-3.00	10 of 10 - Sale ²⁵
07/02/20	165.000	2,078.21	Various	2,293.28	...	-215.07	Total of 10 transactions
<i>10 transactions for 07/02/20. Total proceeds and cost reported to the IRS.</i>							
	34.000	447.15	07/02/20	466.44	18.16 W	-1.13	1 of 10 - Sale ²⁵
	20.000	263.03	07/02/20	282.11	19.08 W	0.00	2 of 10 - Sale ²⁵
	48.000	631.27	07/02/20	677.08	45.81 W	0.00	3 of 10 - Sale ²⁵
	15.000	197.27	07/02/20	208.96	11.69 W	0.00	4 of 10 - Sale ²⁵
	17.000	223.57	07/02/20	236.83	13.26 W	0.00	5 of 10 - Sale ²⁵
	10.000	131.51	07/02/20	144.45	12.94 W	0.00	6 of 10 - Sale ²⁵
	7.000	92.06	07/02/20	100.87	8.81 W	0.00	7 of 10 - Sale ²⁵
	16.000	210.42	07/02/20	230.38	19.96 W	0.00	8 of 10 - Sale ²⁵
	1.000	13.15	07/02/20	13.75	0.60 W	0.00	9 of 10 - Sale ²⁵
	67.000	881.16	07/02/20	934.02	52.86 W	0.00	10 of 10 - Sale ²⁵
07/02/20	235.000	3,090.59	Various	3,294.89	203.17 W	-1.13	Total of 10 transactions
<i>10 transactions for 07/06/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	630.58	07/06/20	681.95	...	-51.37	1 of 10 - Sale ²⁵
	32.000	399.09	07/06/20	429.94	...	-30.85	2 of 10 - Sale ²⁵
	16.000	201.79	07/06/20	225.24	...	-23.45	3 of 10 - Sale ²⁵
	17.000	212.02	07/06/20	232.17	...	-20.15	4 of 10 - Sale ²⁵
	15.000	189.17	07/06/20	202.94	...	-13.77	5 of 10 - Sale ²⁵
	8.000	100.89	07/06/20	112.35	...	-11.46	6 of 10 - Sale ²⁵
	7.000	88.28	07/06/20	98.62	...	-10.34	7 of 10 - Sale ²⁵
	2.000	25.22	07/06/20	28.25	...	-3.03	8 of 10 - Sale ²⁵

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(continued)

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	2.000	25.22	07/06/20	27.06	...	-1.84	9 of 10 - Sale ²⁵
	1.000	12.47	07/06/20	13.47	...	-1.00	10 of 10 - Sale ²⁵
07/06/20	150.000	1,884.73	Various	2,051.99	...	-167.26	Total of 10 transactions
	Security total:	39,015.11		43,023.86	4,430.11 W	421.36	
ANAVEX LIFE SCIENCES CORP. COM MON STOCK / CUSIP: 032797300 / Symbol:							
02/06/20	30.000	115.04	02/04/20	106.73	...	8.31	Sale ²⁵
02/28/20	100.000	365.61	02/27/20	431.00	...	-65.39	Sale ²⁵
	2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	50.000	206.50	06/15/20	191.95	...	14.55	1 of 2 - Sale ²⁵
	100.000	412.98	06/15/20	379.00	...	33.98	2 of 2 - Sale ²⁵
06/16/20	150.000	619.48	Various	570.95	...	48.53	Total of 2 transactions
06/17/20	50.000	215.00	06/16/20	211.31	...	3.69	Sale ²⁵
	Security total:	1,315.13		1,319.99	...	-4.86	
APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol:							
03/23/20	100.000	269.99	03/19/20	246.00	...	23.99	Sale ²⁵
03/27/20	100.000	338.99	03/26/20	320.00	...	18.99	Sale ²⁵
	3 transactions for 04/16/20. Total proceeds and cost reported to the IRS.						
	6.000	22.50	04/15/20	26.22	3.10 W	-0.62	1 of 3 - Sale ²⁵
	10.000	37.50	04/15/20	43.70	6.20 W	0.00	2 of 3 - Sale ²⁵
	184.000	689.96	04/15/20	804.08	114.12 W	0.00	3 of 3 - Sale ²⁵
04/16/20	200.000	749.96	Various	874.00	123.42 W	-0.62	Total of 3 transactions
	9 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	5.000	18.65	04/16/20	20.69	...	-2.04	1 of 9 - Sale ²⁵
	4.000	14.92	04/16/20	16.55	...	-1.63	2 of 9 - Sale ²⁵
	4.000	14.92	04/16/20	16.55	...	-1.63	3 of 9 - Sale ²⁵
	3.000	11.19	04/16/20	12.41	...	-1.22	4 of 9 - Sale ²⁵
	2.000	7.46	04/16/20	8.28	...	-0.82	5 of 9 - Sale ²⁵
	2.000	7.46	04/16/20	8.28	...	-0.82	6 of 9 - Sale ²⁵
	2.000	7.46	04/16/20	8.28	...	-0.82	7 of 9 - Sale ²⁵
	1.000	3.73	04/16/20	4.14	...	-0.41	8 of 9 - Sale ²⁵
	1.000	3.73	04/16/20	4.14	...	-0.41	9 of 9 - Sale ²⁵
04/17/20	24.000	89.52	Various	99.32	...	-9.80	Total of 9 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol: (cont'd)							
05/11/20	75.000	257.99	05/08/20	306.77	48.78 W	0.00	Sale ²⁵
05/18/20	5.000	17.32	05/15/20	19.64	...	-2.32	Sale ²⁵
05/18/20	95.000	329.17	05/15/20	373.14	11.57 W	-32.40	Sale ²⁵
	2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.						
	13.000	53.43	05/28/20	64.71	...	-11.28	1 of 2 - Sale ²⁵
	12.000	49.32	05/28/20	59.73	...	-10.41	2 of 2 - Sale ²⁵
05/29/20	25.000	102.75	Various	124.44	...	-21.69	Total of 2 transactions
05/29/20	75.000	308.24	05/28/20	387.41	52.78 W	-26.39	Sale ²⁵
06/19/20	50.000	226.00	06/18/20	277.28	...	-51.28	Sale ²⁵
	Security total:	2,689.93		3,028.00	236.55 W	-101.52	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
01/13/20	1.000	313.47	01/09/20	307.25	...	6.22	Sale ²⁵
	3 transactions for 01/14/20. Total proceeds and cost reported to the IRS.						
	1.000	314.24	01/09/20	307.27	...	6.97	1 of 3 - Sale ²⁵
	2.000	629.46	01/09/20	614.53	...	14.93	2 of 3 - Sale ²⁵
	2.000	629.61	01/09/20	614.50	...	15.11	3 of 3 - Sale ²⁵
01/14/20	5.000	1,573.31	Various	1,536.30	...	37.01	Total of 3 transactions
	2 transactions for 01/15/20. Total proceeds and cost reported to the IRS.						
	1.000	312.00	01/09/20	307.82	...	4.18	1 of 2 - Sale ²⁵
	1.000	312.06	01/09/20	307.82	...	4.24	2 of 2 - Sale ²⁵
01/15/20	2.000	624.06	Various	615.64	...	8.42	Total of 2 transactions
	3 transactions for 01/23/20. Total proceeds and cost reported to the IRS.						
	2.000	635.18	01/22/20	639.29	4.11 W	0.00	1 of 3 - Sale ²⁵
	1.000	317.52	01/22/20	319.65	2.13 W	0.00	2 of 3 - Sale ²⁵
	1.000	317.14	01/22/20	319.64	2.50 W	0.00	3 of 3 - Sale ²⁵
01/23/20	4.000	1,269.84	Various	1,278.58	8.74 W	0.00	Total of 3 transactions
	3 transactions for 01/30/20. Total proceeds and cost reported to the IRS.						
	1.000	320.90	01/29/20	328.01	7.11 W	0.00	1 of 3 - Sale ²⁵
	1.000	320.85	01/29/20	328.02	7.17 W	0.00	2 of 3 - Sale ²⁵
	1.000	323.17	01/29/20	328.27	5.10 W	0.00	3 of 3 - Sale ²⁵
01/30/20	3.000	964.92	Various	984.30	19.38 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
<i>3 transactions for 02/10/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	315.46	02/07/20	328.18	12.72 W	0.00	1 of 3 - Sale ²⁵
	1.000	315.47	02/07/20	323.52	8.05 W	0.00	2 of 3 - Sale ²⁵
	1.000	315.46	02/07/20	328.20	12.74 W	0.00	3 of 3 - Sale ²⁵
02/10/20	3.000	946.39	Various	979.90	33.51 W	0.00	Total of 3 transactions
02/14/20	1.000	324.33	02/13/20	336.60	12.27 W	0.00	Sale ²⁵
<i>2 transactions for 02/18/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	317.40	02/13/20	331.93	14.53 W	0.00	1 of 2 - Sale ²⁵
	1.000	317.40	02/13/20	328.98	11.58 W	0.00	2 of 2 - Sale ²⁵
02/18/20	2.000	634.80	Various	660.91	26.11 W	0.00	Total of 2 transactions
<i>5 transactions for 02/25/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	1,199.63	02/24/20	1,203.00	0.84 W	-2.53	1 of 5 - Sale ²⁵
	1.000	301.05	02/24/20	315.28	14.23 W	0.00	2 of 5 - Sale ²⁵
	1.000	301.05	02/24/20	312.33	11.28 W	0.00	3 of 5 - Sale ²⁵
	1.000	301.06	02/24/20	313.02	11.96 W	0.00	4 of 5 - Sale ²⁵
	1.000	299.91	02/24/20	313.49	13.58 W	0.00	5 of 5 - Sale ²⁵
02/25/20	8.000	2,402.70	Various	2,457.12	51.89 W	-2.53	Total of 5 transactions
03/18/20	1.000	240.60	03/17/20	254.31	...	-13.71	Sale ²⁵
<i>4 transactions for 03/18/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	240.59	03/17/20	267.70	27.11 W	0.00	1 of 4 - Sale ²⁵
	1.000	240.60	03/17/20	264.75	24.15 W	0.00	2 of 4 - Sale ²⁵
	1.000	240.59	03/17/20	265.44	24.85 W	0.00	3 of 4 - Sale ²⁵
	1.000	240.59	03/17/20	267.06	26.47 W	0.00	4 of 4 - Sale ²⁵
03/18/20	4.000	962.37	Various	1,064.95	102.58 W	0.00	Total of 4 transactions
<i>4 transactions for 04/07/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	267.64	04/06/20	277.92	...	-10.28	1 of 4 - Sale ²⁵
	1.000	267.64	04/06/20	277.28	...	-9.64	2 of 4 - Sale ²⁵
	1.000	267.65	04/06/20	275.66	...	-8.01	3 of 4 - Sale ²⁵
	1.000	267.64	04/06/20	274.96	...	-7.32	4 of 4 - Sale ²⁵
04/07/20	4.000	1,070.57	Various	1,105.82	...	-35.25	Total of 4 transactions
	Security total:	11,327.36		11,581.68	254.48 W	0.16	

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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APPLIED DNA SCIENCES, INC. COM MON STOCK / CUSIP: 03815U300 / Symbol:							
04/01/20	70.000	279.99	03/31/20	296.79	...	-16.80	Sale ²⁵
04/01/20	5.000	20.00	03/31/20	21.20	0.24 W	-0.96	Sale ²⁵
04/16/20	1.000	5.90	04/15/20	7.95	...	-2.05	Sale ²⁵
19 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	100.000	922.97	06/25/20	956.23	...	-33.26	1 of 19 - Sale ²⁵
	96.000	886.04	06/25/20	916.61	...	-30.57	2 of 19 - Sale ²⁵
	86.000	795.46	06/25/20	823.80	...	-28.34	3 of 19 - Sale ²⁵
	80.000	738.37	06/25/20	761.80	...	-23.43	4 of 19 - Sale ²⁵
	20.000	184.59	06/25/20	190.25	...	-5.66	5 of 19 - Sale ²⁵
	10.000	92.50	06/25/20	95.79	...	-3.29	6 of 19 - Sale ²⁵
	2.000	18.50	06/25/20	19.12	...	-0.62	7 of 19 - Sale ²⁵
	1.000	9.25	06/25/20	9.64	...	-0.39	8 of 19 - Sale ²⁵
	1.000	9.23	06/25/20	9.56	...	-0.33	9 of 19 - Sale ²⁵
	1.000	9.25	06/25/20	9.29	...	-0.04	10 of 19 - Sale ²⁵
	100.000	910.97	06/25/20	903.00	...	7.97	11 of 19 - Sale ²⁵
	100.000	910.97	06/25/20	903.00	...	7.97	12 of 19 - Sale ²⁵
	100.000	912.00	06/25/20	903.00	...	9.00	13 of 19 - Sale ²⁵
	100.000	912.01	06/25/20	903.00	...	9.01	14 of 19 - Sale ²⁵
	300.000	2,732.90	06/25/20	2,708.79	...	24.11	15 of 19 - Sale ²⁵
	250.000	2,280.02	06/25/20	2,254.20	...	25.82	16 of 19 - Sale ²⁵
	300.000	2,735.89	06/25/20	2,709.00	...	26.89	17 of 19 - Sale ²⁵
	250.000	2,280.02	06/25/20	2,251.35	...	28.67	18 of 19 - Sale ²⁵
	500.000	4,454.89	06/25/20	4,324.95	...	129.94	19 of 19 - Sale ²⁵
06/25/20	2,397.000	21,795.83	Various	21,652.38	...	143.45	Total of 19 transactions
15 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	100.000	924.96	06/25/20	954.81	14.03 W	-15.82	1 of 15 - Sale ²⁵
	400.000	3,643.86	06/25/20	3,746.40	102.54 W	0.00	2 of 15 - Sale ²⁵
	99.000	901.86	06/25/20	926.91	25.05 W	0.00	3 of 15 - Sale ²⁵
	1.000	9.30	06/25/20	9.62	0.32 W	0.00	4 of 15 - Sale ²⁵
	2.000	18.60	06/25/20	19.06	0.46 W	0.00	5 of 15 - Sale ²⁵
	197.000	1,826.12	06/25/20	1,877.81	51.69 W	0.00	6 of 15 - Sale ²⁵
	20.000	185.39	06/25/20	189.93	4.54 W	0.00	7 of 15 - Sale ²⁵
	80.000	741.57	06/25/20	760.51	18.94 W	0.00	8 of 15 - Sale ²⁵
	3.000	27.81	06/25/20	28.63	0.82 W	0.00	9 of 15 - Sale ²⁵

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(continued)

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APPLIED DNA SCIENCES, INC. COM MON STOCK / CUSIP: 03815U300 / Symbol: (cont'd)							
	100.000	926.97	06/25/20	954.63	27.66 W	0.00	10 of 15 - Sale ²⁵
	10.000	92.50	06/25/20	95.43	2.93 W	0.00	11 of 15 - Sale ²⁵
	1.000	9.25	06/25/20	9.61	0.36 W	0.00	12 of 15 - Sale ²⁵
	86.000	795.47	06/25/20	820.70	25.23 W	0.00	13 of 15 - Sale ²⁵
	2.000	18.50	06/25/20	19.03	0.53 W	0.00	14 of 15 - Sale ²⁵
	1.000	9.25	06/25/20	9.55	0.30 W	0.00	15 of 15 - Sale ²⁵
06/25/20	1,102.000	10,131.41	Various	10,422.63	275.40 W	-15.82	Total of 15 transactions
	5 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	24.000	222.72	06/25/20	239.96	...	-17.24	1 of 5 - Sale ²⁵
	22.000	205.70	06/25/20	219.97	...	-14.27	2 of 5 - Sale ²⁵
	2.000	18.70	06/25/20	19.93	...	-1.23	3 of 5 - Sale ²⁵
	1.000	8.85	06/25/20	10.00	...	-1.15	4 of 5 - Sale ²⁵
	1.000	9.35	06/25/20	10.00	...	-0.65	5 of 5 - Sale ²⁵
06/26/20	50.000	465.32	Various	499.86	...	-34.54	Total of 5 transactions
	Security total:	32,698.45		32,900.81	275.64 W	73.28	
AQUESTIVE THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 03843E104 / Symbol:							
03/06/20	80.000	312.18	03/04/20	326.18	14.00 W	0.00	Sale ²⁵
03/18/20	15.000	26.70	03/17/20	30.12	3.42 W	0.00	Sale ²⁵
	5 transactions for 03/30/20. Total proceeds and cost reported to the IRS.						
	59.000	132.74	03/27/20	147.21	...	-14.47	1 of 5 - Sale ²⁵
	70.000	157.49	03/27/20	162.39	...	-4.90	2 of 5 - Sale ²⁵
	15.000	34.50	03/27/20	38.22	...	-3.72	3 of 5 - Sale ²⁵
	5.000	11.50	03/27/20	12.47	...	-0.97	4 of 5 - Sale ²⁵
	1.000	2.25	03/27/20	2.49	...	-0.24	5 of 5 - Sale ²⁵
03/30/20	150.000	338.48	Various	362.78	...	-24.30	Total of 5 transactions
	Security total:	677.36		719.08	17.42 W	-24.30	
ARCIMOTO, INC. COMMON STOCK / CUSIP: 039587100 / Symbol:							
	11 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	31.000	220.40	07/06/20	257.10	...	-36.70	1 of 11 - Sale ²⁵
	59.000	419.47	07/06/20	448.03	...	-28.56	2 of 11 - Sale ²⁵
	19.000	135.08	07/06/20	157.60	...	-22.52	3 of 11 - Sale ²⁵
	37.000	262.70	07/06/20	280.98	...	-18.28	4 of 11 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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ARCIMOTO, INC. COMMON STOCK / CUSIP: 039587100 / Symbol: (cont'd)							
	31.000	220.40	07/06/20	236.11	...	-15.71	5 of 11 - Sale ²⁵
	50.000	355.49	07/06/20	362.49	...	-7.00	6 of 11 - Sale ²⁵
	10.000	71.10	07/06/20	75.76	...	-4.66	7 of 11 - Sale ²⁵
	9.000	63.99	07/06/20	68.19	...	-4.20	8 of 11 - Sale ²⁵
	3.000	21.30	07/06/20	22.78	...	-1.48	9 of 11 - Sale ²⁵
	1.000	7.12	07/06/20	7.59	...	-0.47	10 of 11 - Sale ²⁵
	50.000	413.44	07/06/20	403.50	...	9.94	11 of 11 - Sale ²⁵
07/06/20	300.000	2,190.49	Various	2,320.13	...	-129.64	Total of 11 transactions
	17 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	150.000	1,066.45	07/06/20	1,118.05	34.40 W	-17.20	1 of 17 - Sale ²⁵
	50.000	413.44	07/06/20	431.81	18.37 W	0.00	2 of 17 - Sale ²⁵
	50.000	407.38	07/06/20	447.76	40.38 W	0.00	3 of 17 - Sale ²⁵
	50.000	401.87	07/06/20	439.89	38.02 W	0.00	4 of 17 - Sale ²⁵
	50.000	401.86	07/06/20	404.00	2.14 W	0.00	5 of 17 - Sale ²⁵
	50.000	355.99	07/06/20	396.02	40.03 W	0.00	6 of 17 - Sale ²⁵
	50.000	355.99	07/06/20	360.14	4.15 W	0.00	7 of 17 - Sale ²⁵
	150.000	1,067.95	07/06/20	1,077.00	9.05 W	0.00	8 of 17 - Sale ²⁵
	50.000	357.49	07/06/20	402.58	45.09 W	0.00	9 of 17 - Sale ²⁵
	19.000	135.85	07/06/20	139.35	3.50 W	0.00	10 of 17 - Sale ²⁵
	31.000	220.40	07/06/20	227.35	6.95 W	0.00	11 of 17 - Sale ²⁵
	150.000	1,066.46	07/06/20	1,096.70	30.24 W	0.00	12 of 17 - Sale ²⁵
	19.000	135.08	07/06/20	154.93	19.85 W	0.00	13 of 17 - Sale ²⁵
	9.000	63.99	07/06/20	66.93	2.94 W	0.00	14 of 17 - Sale ²⁵
	31.000	220.41	07/06/20	252.77	32.36 W	0.00	15 of 17 - Sale ²⁵
	10.000	71.10	07/06/20	74.36	3.26 W	0.00	16 of 17 - Sale ²⁵
	31.000	220.40	07/06/20	231.77	11.37 W	0.00	17 of 17 - Sale ²⁵
07/06/20	950.000	6,962.11	Various	7,321.41	342.10 W	-17.20	Total of 17 transactions
	Security total:	9,152.60		9,641.54	342.10 W	-146.84	

ASHFORD HOSPITALITY TRUST, INC. / CUSIP: 044103109 / Symbol:

3 transactions for 06/09/20. Total proceeds and cost reported to the IRS.

	3.000	4.95	06/08/20	4.74	...	0.21	1 of 3 - Sale ²⁵
	100.000	159.99	06/08/20	158.00	...	1.99	2 of 3 - Sale ²⁵

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(continued)

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ASHFORD HOSPITALITY TRUST, INC . / CUSIP: 044103109 / Symbol: (cont'd)							
	147.000	235.17	06/08/20	232.26	...	2.91	3 of 3 - Sale ²⁵
06/09/20	250.000	400.11	Various	395.00	...	5.11	Total of 3 transactions
06/09/20	250.000	399.96	06/08/20	405.00	5.04 W	0.00	Sale ²⁵
06/12/20	100.000	103.00	06/11/20	108.01	5.01 W	0.00	Sale ²⁵
06/19/20	100.000	90.99	06/18/20	98.01	7.02 W	0.00	Sale ²⁵
15 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	500.000	429.92	06/29/20	459.51	...	-29.59	1 of 15 - Sale ²⁵
	250.000	214.97	06/29/20	229.88	...	-14.91	2 of 15 - Sale ²⁵
	250.000	214.96	06/29/20	229.85	...	-14.89	3 of 15 - Sale ²⁵
	75.000	64.49	06/29/20	72.30	...	-7.81	4 of 15 - Sale ²⁵
	100.000	85.98	06/29/20	92.31	...	-6.33	5 of 15 - Sale ²⁵
	100.000	85.99	06/29/20	92.31	...	-6.32	6 of 15 - Sale ²⁵
	70.000	60.19	06/29/20	65.61	...	-5.42	7 of 15 - Sale ²⁵
	50.000	42.99	06/29/20	46.29	...	-3.30	8 of 15 - Sale ²⁵
	50.000	42.99	06/29/20	46.16	...	-3.17	9 of 15 - Sale ²⁵
	100.000	85.99	06/29/20	88.90	...	-2.91	10 of 15 - Sale ²⁵
	100.000	85.99	06/29/20	88.90	...	-2.91	11 of 15 - Sale ²⁵
	100.000	85.99	06/29/20	88.90	...	-2.91	12 of 15 - Sale ²⁵
	25.000	21.50	06/29/20	24.08	...	-2.58	13 of 15 - Sale ²⁵
	25.000	21.50	06/29/20	23.87	...	-2.37	14 of 15 - Sale ²⁵
	5.000	4.30	06/29/20	4.73	...	-0.43	15 of 15 - Sale ²⁵
06/29/20	1,800.000	1,547.75	Various	1,653.60	...	-105.85	Total of 15 transactions
38 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	25.000	18.33	06/29/20	19.88	1.55 W	0.00	1 of 38 - Sale ²⁵
	50.000	36.66	06/29/20	38.26	1.60 W	0.00	2 of 38 - Sale ²⁵
	70.000	53.19	06/29/20	57.31	4.12 W	0.00	3 of 38 - Sale ²⁵
	5.000	3.80	06/29/20	4.13	0.33 W	0.00	4 of 38 - Sale ²⁵
	25.000	19.00	06/29/20	20.93	1.93 W	0.00	5 of 38 - Sale ²⁵
	100.000	75.99	06/29/20	84.54	8.55 W	0.00	6 of 38 - Sale ²⁵
	50.000	37.99	06/29/20	40.36	2.37 W	0.00	7 of 38 - Sale ²⁵
	250.000	189.97	06/29/20	193.82	3.85 W	0.00	8 of 38 - Sale ²⁵
	5.000	3.80	06/29/20	4.23	0.43 W	0.00	9 of 38 - Sale ²⁵
	70.000	53.19	06/29/20	58.68	5.49 W	0.00	10 of 38 - Sale ²⁵
	25.000	19.00	06/29/20	21.62	2.62 W	0.00	11 of 38 - Sale ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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ASHFORD HOSPITALITY TRUST, INC . / CUSIP: 044103109 / Symbol: (cont'd)							
	25.000	19.00	06/29/20	21.41	2.41 W	0.00	12 of 38 - Sale ²⁵
	75.000	56.99	06/29/20	64.87	7.88 W	0.00	13 of 38 - Sale ²⁵
	50.000	37.99	06/29/20	41.34	3.35 W	0.00	14 of 38 - Sale ²⁵
	250.000	189.97	06/29/20	198.70	8.73 W	0.00	15 of 38 - Sale ²⁵
	5.000	3.75	06/29/20	4.33	0.58 W	0.00	16 of 38 - Sale ²⁵
	25.000	18.75	06/29/20	22.09	3.34 W	0.00	17 of 38 - Sale ²⁵
	70.000	52.50	06/29/20	60.02	7.52 W	0.00	18 of 38 - Sale ²⁵
	25.000	18.75	06/29/20	21.88	3.13 W	0.00	19 of 38 - Sale ²⁵
	75.000	56.25	06/29/20	66.31	10.06 W	0.00	20 of 38 - Sale ²⁵
	50.000	37.50	06/29/20	42.30	4.80 W	0.00	21 of 38 - Sale ²⁵
	50.000	37.50	06/29/20	40.70	3.20 W	0.00	22 of 38 - Sale ²⁵
	100.000	75.00	06/29/20	81.39	6.39 W	0.00	23 of 38 - Sale ²⁵
	100.000	75.00	06/29/20	81.39	6.39 W	0.00	24 of 38 - Sale ²⁵
	700.000	524.97	06/29/20	545.30	20.33 W	0.00	25 of 38 - Sale ²⁵
	100.000	75.00	06/29/20	77.90	2.90 W	0.00	26 of 38 - Sale ²⁵
	100.000	75.00	06/29/20	77.90	2.90 W	0.00	27 of 38 - Sale ²⁵
	600.000	449.99	06/29/20	467.40	17.41 W	0.00	28 of 38 - Sale ²⁵
	5.000	4.20	06/29/20	4.48	0.28 W	0.00	29 of 38 - Sale ²⁵
	25.000	20.98	06/29/20	22.81	1.83 W	0.00	30 of 38 - Sale ²⁵
	25.000	20.98	06/29/20	22.60	1.62 W	0.00	31 of 38 - Sale ²⁵
	70.000	58.74	06/29/20	62.05	3.31 W	0.00	32 of 38 - Sale ²⁵
	75.000	62.94	06/29/20	68.49	5.55 W	0.00	33 of 38 - Sale ²⁵
	50.000	41.96	06/29/20	43.75	1.79 W	0.00	34 of 38 - Sale ²⁵
	50.000	41.96	06/29/20	42.15	0.19 W	0.00	35 of 38 - Sale ²⁵
	100.000	83.92	06/29/20	84.29	0.37 W	0.00	36 of 38 - Sale ²⁵
	100.000	83.92	06/29/20	84.29	0.37 W	0.00	37 of 38 - Sale ²⁵
	700.000	601.90	06/29/20	622.33	20.43 W	0.00	38 of 38 - Sale ²⁵
06/29/20	4,275.000	3,336.33	Various	3,516.23	179.90 W	0.00	Total of 38 transactions
06/30/20	700.000	594.90	06/29/20	650.43	...	-55.53	Sale ²⁵
	Security total:	6,473.04		6,826.28	196.97 W	-156.27	
ASHFORD INC. / CUSIP: 044104107 / Symbol:							
03/30/20	25.000	201.25	03/27/20	262.50	...	-61.25	Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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AT HOME GROUP INC. / CUSIP: 04650Y100 / Symbol:							
04/08/20	5.000	7.75	04/07/20	9.50	1.75 W	0.00	Sale ²⁵
	2 transactions for 04/13/20. Total proceeds and cost reported to the IRS.						
	5.000	9.20	04/09/20	12.70	3.50 W	0.00	1 of 2 - Sale ²⁵
	145.000	266.80	04/09/20	317.54	50.74 W	0.00	2 of 2 - Sale ²⁵
04/13/20	150.000	276.00	Various	330.24	54.24 W	0.00	Total of 2 transactions
	4 transactions for 05/04/20. Total proceeds and cost reported to the IRS.						
	5.000	11.10	05/01/20	15.70	4.60 W	0.00	1 of 4 - Sale ²⁵
	20.000	44.40	05/01/20	55.80	11.40 W	0.00	2 of 4 - Sale ²⁵
	125.000	277.50	05/01/20	348.73	71.23 W	0.00	3 of 4 - Sale ²⁵
	50.000	111.00	05/01/20	121.99	10.99 W	0.00	4 of 4 - Sale ²⁵
05/04/20	200.000	444.00	Various	542.22	98.22 W	0.00	Total of 4 transactions
	5 transactions for 05/13/20. Total proceeds and cost reported to the IRS.						
	5.000	13.75	05/12/20	18.99	5.24 W	0.00	1 of 5 - Sale ²⁵
	20.000	55.00	05/12/20	68.96	13.96 W	0.00	2 of 5 - Sale ²⁵
	100.000	274.98	05/12/20	344.79	69.81 W	0.00	3 of 5 - Sale ²⁵
	25.000	68.74	05/12/20	87.95	19.21 W	0.00	4 of 5 - Sale ²⁵
	50.000	137.49	05/12/20	158.40	20.91 W	0.00	5 of 5 - Sale ²⁵
05/13/20	200.000	549.96	Various	679.09	129.13 W	0.00	Total of 5 transactions
	3 transactions for 05/21/20. Total proceeds and cost reported to the IRS.						
	5.000	20.00	05/20/20	26.39	6.39 W	0.00	1 of 3 - Sale ²⁵
	20.000	80.00	05/20/20	98.56	18.56 W	0.00	2 of 3 - Sale ²⁵
	25.000	100.00	05/20/20	123.20	23.20 W	0.00	3 of 3 - Sale ²⁵
05/21/20	50.000	200.00	Various	248.15	48.15 W	0.00	Total of 3 transactions
	2 transactions for 05/27/20. Total proceeds and cost reported to the IRS.						
	25.000	128.87	05/26/20	144.95	16.08 W	0.00	1 of 2 - Sale ²⁵
	25.000	128.88	05/26/20	144.90	16.02 W	0.00	2 of 2 - Sale ²⁵
05/27/20	50.000	257.75	Various	289.85	32.10 W	0.00	Total of 2 transactions
	2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	25.000	185.00	06/05/20	190.71	...	-5.71	1 of 2 - Sale ²⁵
	25.000	185.00	06/05/20	188.96	...	-3.96	2 of 2 - Sale ²⁵
06/09/20	50.000	370.00	Various	379.67	...	-9.67	Total of 2 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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AT HOME GROUP INC. / CUSIP: 04650Y100 / Symbol: (cont'd)							
4 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	50.000	304.99	06/11/20	338.22	...	-33.23	1 of 4 - Sale ²⁵
	25.000	152.49	06/11/20	181.86	...	-29.37	2 of 4 - Sale ²⁵
	20.000	121.99	06/11/20	145.48	...	-23.49	3 of 4 - Sale ²⁵
	5.000	30.50	06/11/20	38.12	...	-7.62	4 of 4 - Sale ²⁵
06/15/20	100.000	609.97	Various	703.68	...	-93.71	Total of 4 transactions
2 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	25.000	189.25	06/22/20	206.03	...	-16.78	1 of 2 - Sale ²⁵
	25.000	189.25	06/22/20	205.98	...	-16.73	2 of 2 - Sale ²⁵
06/22/20	50.000	378.50	Various	412.01	...	-33.51	Total of 2 transactions
	Security total:	3,093.93		3,594.41	363.59 W	-136.89	
ATHERSYS, INC. COMMON STOCK / CUSIP: 04744L106 / Symbol:							
2 transactions for 04/03/20. Total proceeds and cost reported to the IRS.							
	65.000	176.14	04/02/20	248.30	72.16 W	0.00	1 of 2 - Sale ²⁵
	35.000	94.85	04/02/20	134.05	39.20 W	0.00	2 of 2 - Sale ²⁵
04/03/20	100.000	270.99	Various	382.35	111.36 W	0.00	Total of 2 transactions
2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	4.000	9.40	04/16/20	14.32	...	-4.92	1 of 2 - Sale ²⁵
	53.000	124.54	04/16/20	127.99	...	-3.45	2 of 2 - Sale ²⁵
04/17/20	57.000	133.94	Various	142.31	...	-8.37	Total of 2 transactions
5 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	43.000	101.05	04/16/20	153.52	32.95 W	-19.52	1 of 5 - Sale ²⁵
	43.000	101.91	04/16/20	151.58	49.67 W	0.00	2 of 5 - Sale ²⁵
	4.000	9.44	04/16/20	14.10	4.66 W	0.00	3 of 5 - Sale ²⁵
	35.000	82.24	04/16/20	123.72	41.48 W	0.00	4 of 5 - Sale ²⁵
	18.000	42.30	04/16/20	63.46	21.16 W	0.00	5 of 5 - Sale ²⁵
04/17/20	143.000	336.94	Various	506.38	149.92 W	-19.52	Total of 5 transactions
2 transactions for 05/04/20. Total proceeds and cost reported to the IRS.							
	32.000	83.25	05/01/20	116.00	...	-32.75	1 of 2 - Sale ²⁵
	18.000	46.83	05/01/20	65.08	...	-18.25	2 of 2 - Sale ²⁵
05/04/20	50.000	130.08	Various	181.08	...	-51.00	Total of 2 transactions

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(continued)

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ATHERSYS, INC. COMMON STOCK / CUSIP: 04744L106 / Symbol: (cont'd)							
4 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	23.000	75.67	05/11/20	102.59	...	-26.92	1 of 4 - Sale ²⁵
	4.000	13.20	05/11/20	17.84	...	-4.64	2 of 4 - Sale ²⁵
	2.000	6.58	05/11/20	8.85	...	-2.27	3 of 4 - Sale ²⁵
	1.000	3.30	05/11/20	4.24	...	-0.94	4 of 4 - Sale ²⁵
05/12/20	30.000	98.75	Various	133.52	...	-34.77	Total of 4 transactions
06/22/20	250.000	592.95	06/22/20	637.50	44.55 W	0.00	Sale ²⁵
06/25/20	250.000	702.93	06/25/20	751.58	38.92 W	-9.73	Sale ²⁵
3 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	179.000	508.32	06/25/20	570.05	...	-61.73	1 of 3 - Sale ²⁵
	20.000	56.80	06/25/20	63.69	...	-6.89	2 of 3 - Sale ²⁵
	1.000	2.75	06/25/20	3.18	...	-0.43	3 of 3 - Sale ²⁵
06/26/20	200.000	567.87	Various	636.92	...	-69.05	Total of 3 transactions
	Security total:	2,834.45		3,371.64	344.75 W	-192.44	
ATOSSA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 04962H506 / Symbol:							
3 transactions for 03/31/20. Total proceeds and cost reported to the IRS.							
	100.000	121.00	03/30/20	144.50	...	-23.50	1 of 3 - Sale ²⁵
	180.000	217.80	03/30/20	241.18	...	-23.38	2 of 3 - Sale ²⁵
	70.000	84.70	03/30/20	93.10	...	-8.40	3 of 3 - Sale ²⁵
03/31/20	350.000	423.50	Various	478.78	...	-55.28	Total of 3 transactions
14 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	87.000	306.22	06/19/20	421.95	...	-115.73	1 of 14 - Sale ²⁵
	170.000	598.37	06/19/20	698.70	...	-100.33	2 of 14 - Sale ²⁵
	37.000	130.23	06/19/20	203.53	...	-73.30	3 of 14 - Sale ²⁵
	80.000	281.58	06/19/20	327.20	...	-45.62	4 of 14 - Sale ²⁵
	26.000	91.51	06/19/20	125.34	...	-33.83	5 of 14 - Sale ²⁵
	24.000	84.48	06/19/20	115.70	...	-31.22	6 of 14 - Sale ²⁵
	11.000	38.72	06/19/20	61.17	...	-22.45	7 of 14 - Sale ²⁵
	13.000	45.76	06/19/20	63.31	...	-17.55	8 of 14 - Sale ²⁵
	2.000	7.04	06/19/20	11.10	...	-4.06	9 of 14 - Sale ²⁵
	4.000	14.92	06/19/20	12.72	...	2.20	10 of 14 - Sale ²⁵
	50.000	185.49	06/19/20	159.00	...	26.49	11 of 14 - Sale ²⁵

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ATOSSA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 04962H506 / Symbol: (cont'd)							
	100.000	370.98	06/19/20	327.00	...	43.98	12 of 14 - Sale ²⁵
	150.000	556.46	06/19/20	492.00	...	64.46	13 of 14 - Sale ²⁵
	196.000	731.04	06/19/20	623.28	...	107.76	14 of 14 - Sale ²⁵
06/19/20	950.000	3,442.80	Various	3,642.00	...	-199.20	Total of 14 transactions
	13 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	200.000	739.95	06/19/20	764.00	24.05 W	0.00	1 of 13 - Sale ²⁵
	50.000	184.99	06/19/20	191.00	6.01 W	0.00	2 of 13 - Sale ²⁵
	200.000	711.96	06/19/20	788.05	76.09 W	0.00	3 of 13 - Sale ²⁵
	50.000	177.49	06/19/20	196.51	19.02 W	0.00	4 of 13 - Sale ²⁵
	4.000	14.20	06/19/20	16.76	2.56 W	0.00	5 of 13 - Sale ²⁵
	46.000	163.29	06/19/20	192.76	29.47 W	0.00	6 of 13 - Sale ²⁵
	24.000	85.19	06/19/20	102.01	16.82 W	0.00	7 of 13 - Sale ²⁵
	65.000	230.73	06/19/20	276.28	45.55 W	0.00	8 of 13 - Sale ²⁵
	11.000	39.05	06/19/20	46.87	7.82 W	0.00	9 of 13 - Sale ²⁵
	50.000	175.99	06/19/20	217.52	41.53 W	0.00	10 of 13 - Sale ²⁵
	50.000	175.99	06/19/20	217.52	41.53 W	0.00	11 of 13 - Sale ²⁵
	4.000	14.08	06/19/20	19.04	4.96 W	0.00	12 of 13 - Sale ²⁵
	46.000	161.91	06/19/20	218.99	57.08 W	0.00	13 of 13 - Sale ²⁵
06/19/20	800.000	2,874.82	Various	3,247.31	372.49 W	0.00	Total of 13 transactions
	4 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	46.000	180.30	06/24/20	243.83	...	-63.53	1 of 4 - Sale ²⁵
	50.000	195.99	06/24/20	244.52	...	-48.53	2 of 4 - Sale ²⁵
	50.000	195.99	06/24/20	244.52	...	-48.53	3 of 4 - Sale ²⁵
	4.000	15.68	06/24/20	21.20	...	-5.52	4 of 4 - Sale ²⁵
06/24/20	150.000	587.96	Various	754.07	...	-166.11	Total of 4 transactions
	Security total:	7,329.08		8,122.16	372.49 W	-420.59	
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol:							
	25 transactions for 01/06/20. Total proceeds and cost reported to the IRS.						
	1.000	1.91	12/30/19	3.22	1.31 W	0.00	1 of 25 - Sale ²⁵
	7.000	13.41	12/30/19	22.66	9.25 W	0.00	2 of 25 - Sale ²⁵
	9.000	17.23	12/30/19	35.22	17.99 W	0.00	3 of 25 - Sale ²⁵
	1.000	1.91	12/30/19	2.97	1.06 W	0.00	4 of 25 - Sale ²⁵
	1.000	1.92	12/30/19	2.86	0.94 W	0.00	5 of 25 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol: (cont'd)							
	3.000	5.75	12/30/19	8.54	2.79 W	0.00	6 of 25 - Sale ²⁵
	3.000	5.74	12/30/19	8.53	2.79 W	0.00	7 of 25 - Sale ²⁵
	5.000	9.57	12/30/19	14.22	4.65 W	0.00	8 of 25 - Sale ²⁵
	1.000	1.91	12/30/19	3.23	1.32 W	0.00	9 of 25 - Sale ²⁵
	2.000	3.83	12/30/19	7.08	3.25 W	0.00	10 of 25 - Sale ²⁵
	3.000	5.75	12/30/19	9.01	3.26 W	0.00	11 of 25 - Sale ²⁵
	4.000	7.66	12/30/19	13.07	5.41 W	0.00	12 of 25 - Sale ²⁵
	1.000	1.92	12/30/19	3.55	1.63 W	0.00	13 of 25 - Sale ²⁵
	1.000	1.91	12/30/19	3.64	1.73 W	0.00	14 of 25 - Sale ²⁵
	1.000	1.91	12/31/19	4.10	2.19 W	0.00	15 of 25 - Sale ²⁵
	2.000	3.83	12/31/19	5.48	1.65 W	0.00	16 of 25 - Sale ²⁵
	1.000	1.91	12/31/19	3.82	1.91 W	0.00	17 of 25 - Sale ²⁵
	1.000	1.92	12/31/19	3.83	1.91 W	0.00	18 of 25 - Sale ²⁵
	3.000	5.75	12/31/19	11.50	5.75 W	0.00	19 of 25 - Sale ²⁵
	4.000	7.66	12/31/19	15.89	8.23 W	0.00	20 of 25 - Sale ²⁵
	6.000	11.49	12/31/19	23.83	12.34 W	0.00	21 of 25 - Sale ²⁵
	1.000	1.91	12/31/19	3.61	1.70 W	0.00	22 of 25 - Sale ²⁵
	3.000	5.75	12/31/19	9.70	3.95 W	0.00	23 of 25 - Sale ²⁵
	2.000	3.83	12/31/19	5.89	2.06 W	0.00	24 of 25 - Sale ²⁵
	4.000	7.66	12/31/19	11.78	4.12 W	0.00	25 of 25 - Sale ²⁵
01/06/20	70.000	134.04	Various	237.23	103.19 W	0.00	Total of 25 transactions
	10 transactions for 01/14/20. Total proceeds and cost reported to the IRS.						
	1.000	1.83	01/13/20	2.98	1.15 W	0.00	1 of 10 - Sale ²⁵
	7.000	12.83	01/13/20	20.98	8.15 W	0.00	2 of 10 - Sale ²⁵
	9.000	16.49	01/13/20	33.07	16.58 W	0.00	3 of 10 - Sale ²⁵
	1.000	1.83	01/13/20	2.73	0.90 W	0.00	4 of 10 - Sale ²⁵
	2.000	3.66	01/13/20	5.21	1.55 W	0.00	5 of 10 - Sale ²⁵
	1.000	1.83	01/13/20	2.61	0.78 W	0.00	6 of 10 - Sale ²⁵
	3.000	5.50	01/13/20	7.82	2.32 W	0.00	7 of 10 - Sale ²⁵
	3.000	5.50	01/13/20	7.81	2.31 W	0.00	8 of 10 - Sale ²⁵
	3.000	5.49	01/13/20	7.82	2.33 W	0.00	9 of 10 - Sale ²⁵
	1.000	1.84	01/13/20	3.00	1.16 W	0.00	10 of 10 - Sale ²⁵
01/14/20	31.000	56.80	Various	94.03	37.23 W	0.00	Total of 10 transactions

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Robinhood Securities LLC	Proceeds from Broker and Barter Exchange Transactions	Account 412265225
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol: (cont'd)							
<i>18 transactions for 01/30/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.91	01/29/20	3.65	1.74 W	0.00	1 of 18 - Sale ²⁵
	2.000	3.82	01/29/20	7.29	3.47 W	0.00	2 of 18 - Sale ²⁵
	3.000	5.73	01/29/20	9.32	3.59 W	0.00	3 of 18 - Sale ²⁵
	4.000	7.64	01/29/20	13.49	5.85 W	0.00	4 of 18 - Sale ²⁵
	1.000	1.91	01/29/20	3.75	1.84 W	0.00	5 of 18 - Sale ²⁵
	1.000	1.91	01/29/20	3.93	2.02 W	0.00	6 of 18 - Sale ²⁵
	1.000	1.91	01/29/20	3.93	2.02 W	0.00	7 of 18 - Sale ²⁵
	3.000	5.73	01/29/20	11.81	6.08 W	0.00	8 of 18 - Sale ²⁵
	4.000	7.64	01/29/20	16.31	8.67 W	0.00	9 of 18 - Sale ²⁵
	6.000	11.46	01/29/20	24.46	13.00 W	0.00	10 of 18 - Sale ²⁵
	1.000	1.91	01/29/20	3.72	1.81 W	0.00	11 of 18 - Sale ²⁵
	3.000	5.73	01/29/20	10.01	4.28 W	0.00	12 of 18 - Sale ²⁵
	1.000	1.91	01/29/20	3.05	1.14 W	0.00	13 of 18 - Sale ²⁵
	4.000	7.64	01/29/20	12.20	4.56 W	0.00	14 of 18 - Sale ²⁵
	1.000	1.91	01/29/20	4.21	2.30 W	0.00	15 of 18 - Sale ²⁵
	1.000	1.91	01/29/20	3.86	1.95 W	0.00	16 of 18 - Sale ²⁵
	1.000	1.91	01/29/20	3.05	1.14 W	0.00	17 of 18 - Sale ²⁵
	2.000	3.82	01/29/20	5.69	1.87 W	0.00	18 of 18 - Sale ²⁵
01/30/20	40.000	76.40	Various	143.73	67.33 W	0.00	Total of 18 transactions
<i>29 transactions for 02/06/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	2.05	02/05/20	4.49	2.44 W	0.00	1 of 29 - Sale ²⁵
	1.000	2.05	02/05/20	4.14	2.09 W	0.00	2 of 29 - Sale ²⁵
	1.000	2.05	02/05/20	3.34	1.29 W	0.00	3 of 29 - Sale ²⁵
	7.000	14.36	02/05/20	23.48	9.12 W	0.00	4 of 29 - Sale ²⁵
	8.000	16.42	02/05/20	32.26	15.84 W	0.00	5 of 29 - Sale ²⁵
	1.000	2.05	02/05/20	3.09	1.04 W	0.00	6 of 29 - Sale ²⁵
	1.000	2.05	02/05/20	2.97	0.92 W	0.00	7 of 29 - Sale ²⁵
	2.000	4.10	02/05/20	5.93	1.83 W	0.00	8 of 29 - Sale ²⁵
	3.000	6.16	02/05/20	8.89	2.73 W	0.00	9 of 29 - Sale ²⁵
	3.000	6.16	02/05/20	8.88	2.72 W	0.00	10 of 29 - Sale ²⁵
	3.000	6.16	02/05/20	8.90	2.74 W	0.00	11 of 29 - Sale ²⁵
	1.000	2.05	02/05/20	3.35	1.30 W	0.00	12 of 29 - Sale ²⁵
	1.000	2.05	02/05/20	3.93	1.88 W	0.00	13 of 29 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol: (cont'd)							
	2.000	4.10	02/05/20	7.85	3.75 W	0.00	14 of 29 - Sale ²⁵
	3.000	6.16	02/05/20	10.16	4.00 W	0.00	15 of 29 - Sale ²⁵
	4.000	8.21	02/05/20	14.61	6.40 W	0.00	16 of 29 - Sale ²⁵
	1.000	2.05	02/05/20	4.03	1.98 W	0.00	17 of 29 - Sale ²⁵
	1.000	2.05	02/05/20	4.21	2.16 W	0.00	18 of 29 - Sale ²⁵
	1.000	2.05	02/05/20	4.21	2.16 W	0.00	19 of 29 - Sale ²⁵
	3.000	6.16	02/05/20	12.65	6.49 W	0.00	20 of 29 - Sale ²⁵
	4.000	8.21	02/05/20	17.43	9.22 W	0.00	21 of 29 - Sale ²⁵
	6.000	12.31	02/05/20	26.14	13.83 W	0.00	22 of 29 - Sale ²⁵
	1.000	2.05	02/05/20	4.00	1.95 W	0.00	23 of 29 - Sale ²⁵
	3.000	6.16	02/05/20	10.85	4.69 W	0.00	24 of 29 - Sale ²⁵
	1.000	2.05	02/05/20	3.33	1.28 W	0.00	25 of 29 - Sale ²⁵
	1.000	2.05	02/05/20	3.33	1.28 W	0.00	26 of 29 - Sale ²⁵
	4.000	8.21	02/05/20	13.32	5.11 W	0.00	27 of 29 - Sale ²⁵
	2.000	4.10	02/05/20	6.25	2.15 W	0.00	28 of 29 - Sale ²⁵
	6.000	12.31	02/05/20	13.14	0.83 W	0.00	29 of 29 - Sale ²⁵
02/06/20	76.000	155.94	Various	269.16	113.22 W	0.00	Total of 29 transactions
	7 transactions for 02/10/20. Total proceeds and cost reported to the IRS.						
	1.000	1.60	02/07/20	4.16	2.56 W	0.00	1 of 7 - Sale ²⁵
	1.000	1.60	02/07/20	3.81	2.21 W	0.00	2 of 7 - Sale ²⁵
	1.000	1.60	02/07/20	3.01	1.41 W	0.00	3 of 7 - Sale ²⁵
	4.000	6.41	02/07/20	12.08	5.67 W	0.00	4 of 7 - Sale ²⁵
	8.000	12.81	02/07/20	29.59	16.78 W	0.00	5 of 7 - Sale ²⁵
	3.000	4.80	02/07/20	9.12	4.32 W	0.00	6 of 7 - Sale ²⁵
	1.000	1.60	02/07/20	2.78	1.18 W	0.00	7 of 7 - Sale ²⁵
02/10/20	19.000	30.42	Various	64.55	34.13 W	0.00	Total of 7 transactions
02/20/20	24.000	40.60	02/18/20	37.92	...	2.68	Sale ²⁵
	30 transactions for 02/20/20. Total proceeds and cost reported to the IRS.						
	1.000	1.69	02/18/20	4.14	2.45 W	0.00	1 of 30 - Sale ²⁵
	1.000	1.69	02/18/20	3.79	2.10 W	0.00	2 of 30 - Sale ²⁵
	1.000	1.69	02/18/20	2.99	1.30 W	0.00	3 of 30 - Sale ²⁵
	3.000	5.08	02/18/20	9.06	3.98 W	0.00	4 of 30 - Sale ²⁵
	4.000	6.77	02/18/20	11.99	5.22 W	0.00	5 of 30 - Sale ²⁵
	8.000	13.54	02/18/20	29.42	15.88 W	0.00	6 of 30 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol: (cont'd)							
	1.000	1.69	02/18/20	2.76	1.07 W	0.00	7 of 30 - Sale ²⁵
	1.000	1.69	02/18/20	2.50	0.81 W	0.00	8 of 30 - Sale ²⁵
	2.000	3.38	02/18/20	4.99	1.61 W	0.00	9 of 30 - Sale ²⁵
	3.000	5.08	02/18/20	7.47	2.39 W	0.00	10 of 30 - Sale ²⁵
	3.000	5.08	02/18/20	7.46	2.38 W	0.00	11 of 30 - Sale ²⁵
	3.000	5.08	02/18/20	7.48	2.40 W	0.00	12 of 30 - Sale ²⁵
	1.000	1.69	02/18/20	2.88	1.19 W	0.00	13 of 30 - Sale ²⁵
	1.000	1.69	02/18/20	3.46	1.77 W	0.00	14 of 30 - Sale ²⁵
	2.000	3.38	02/18/20	6.91	3.53 W	0.00	15 of 30 - Sale ²⁵
	3.000	5.08	02/18/20	8.74	3.66 W	0.00	16 of 30 - Sale ²⁵
	4.000	6.77	02/18/20	12.72	5.95 W	0.00	17 of 30 - Sale ²⁵
	1.000	1.69	02/18/20	3.56	1.87 W	0.00	18 of 30 - Sale ²⁵
	1.000	1.69	02/18/20	3.74	2.05 W	0.00	19 of 30 - Sale ²⁵
	1.000	1.69	02/18/20	3.74	2.05 W	0.00	20 of 30 - Sale ²⁵
	3.000	5.08	02/18/20	11.23	6.15 W	0.00	21 of 30 - Sale ²⁵
	4.000	6.77	02/18/20	15.54	8.77 W	0.00	22 of 30 - Sale ²⁵
	6.000	10.15	02/18/20	23.31	13.16 W	0.00	23 of 30 - Sale ²⁵
	1.000	1.69	02/18/20	3.53	1.84 W	0.00	24 of 30 - Sale ²⁵
	3.000	5.08	02/18/20	9.43	4.35 W	0.00	25 of 30 - Sale ²⁵
	1.000	1.69	02/18/20	2.86	1.17 W	0.00	26 of 30 - Sale ²⁵
	1.000	1.69	02/18/20	2.86	1.17 W	0.00	27 of 30 - Sale ²⁵
	4.000	6.77	02/18/20	11.43	4.66 W	0.00	28 of 30 - Sale ²⁵
	2.000	3.38	02/18/20	5.31	1.93 W	0.00	29 of 30 - Sale ²⁵
	6.000	10.15	02/18/20	10.31	0.16 W	0.00	30 of 30 - Sale ²⁵
02/20/20	76.000	128.59	Various	235.61	107.02 W	0.00	Total of 30 transactions
	31 transactions for 02/26/20. Total proceeds and cost reported to the IRS.						
	1.000	1.49	02/25/20	4.04	2.55 W	0.00	1 of 31 - Sale ²⁵
	1.000	1.49	02/25/20	3.69	2.20 W	0.00	2 of 31 - Sale ²⁵
	1.000	1.49	02/25/20	2.89	1.40 W	0.00	3 of 31 - Sale ²⁵
	3.000	4.47	02/25/20	8.75	4.28 W	0.00	4 of 31 - Sale ²⁵
	4.000	5.96	02/25/20	11.58	5.62 W	0.00	5 of 31 - Sale ²⁵
	8.000	11.92	02/25/20	28.59	16.67 W	0.00	6 of 31 - Sale ²⁵
	1.000	1.49	02/25/20	2.66	1.17 W	0.00	7 of 31 - Sale ²⁵
	1.000	1.49	02/25/20	2.40	0.91 W	0.00	8 of 31 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol: (cont'd)							
	2.000	2.98	02/25/20	4.79	1.81 W	0.00	9 of 31 - Sale ²⁵
	3.000	4.47	02/25/20	7.16	2.69 W	0.00	10 of 31 - Sale ²⁵
	3.000	4.47	02/25/20	7.15	2.68 W	0.00	11 of 31 - Sale ²⁵
	3.000	4.47	02/25/20	7.17	2.70 W	0.00	12 of 31 - Sale ²⁵
	1.000	1.49	02/25/20	2.78	1.29 W	0.00	13 of 31 - Sale ²⁵
	1.000	1.49	02/25/20	3.36	1.87 W	0.00	14 of 31 - Sale ²⁵
	2.000	2.98	02/25/20	6.71	3.73 W	0.00	15 of 31 - Sale ²⁵
	3.000	4.47	02/25/20	8.43	3.96 W	0.00	16 of 31 - Sale ²⁵
	4.000	5.96	02/25/20	12.30	6.34 W	0.00	17 of 31 - Sale ²⁵
	1.000	1.49	02/25/20	3.46	1.97 W	0.00	18 of 31 - Sale ²⁵
	1.000	1.49	02/25/20	3.64	2.15 W	0.00	19 of 31 - Sale ²⁵
	1.000	1.49	02/25/20	3.64	2.15 W	0.00	20 of 31 - Sale ²⁵
	3.000	4.47	02/25/20	10.91	6.44 W	0.00	21 of 31 - Sale ²⁵
	4.000	5.96	02/25/20	15.12	9.16 W	0.00	22 of 31 - Sale ²⁵
	6.000	8.94	02/25/20	22.69	13.75 W	0.00	23 of 31 - Sale ²⁵
	1.000	1.49	02/25/20	3.43	1.94 W	0.00	24 of 31 - Sale ²⁵
	3.000	4.47	02/25/20	9.11	4.64 W	0.00	25 of 31 - Sale ²⁵
	1.000	1.49	02/25/20	2.76	1.27 W	0.00	26 of 31 - Sale ²⁵
	1.000	1.49	02/25/20	2.76	1.27 W	0.00	27 of 31 - Sale ²⁵
	4.000	5.96	02/25/20	11.01	5.05 W	0.00	28 of 31 - Sale ²⁵
	2.000	2.98	02/25/20	5.11	2.13 W	0.00	29 of 31 - Sale ²⁵
	6.000	8.94	02/25/20	9.69	0.75 W	0.00	30 of 31 - Sale ²⁵
	24.000	35.75	02/25/20	38.12	2.37 W	0.00	31 of 31 - Sale ²⁵
02/26/20	100.000	148.99	Various	265.90	116.91 W	0.00	Total of 31 transactions
32 transactions for 03/11/20. Total proceeds and cost reported to the IRS.							
	1.000	0.97	03/10/20	3.60	2.63 W	0.00	1 of 32 - Sale ²⁵
	1.000	0.97	03/10/20	3.25	2.28 W	0.00	2 of 32 - Sale ²⁵
	1.000	0.97	03/10/20	2.45	1.48 W	0.00	3 of 32 - Sale ²⁵
	3.000	2.91	03/10/20	7.43	4.52 W	0.00	4 of 32 - Sale ²⁵
	4.000	3.88	03/10/20	9.82	5.94 W	0.00	5 of 32 - Sale ²⁵
	8.000	7.76	03/10/20	25.06	17.30 W	0.00	6 of 32 - Sale ²⁵
	1.000	0.97	03/10/20	2.22	1.25 W	0.00	7 of 32 - Sale ²⁵
	1.000	0.97	03/10/20	1.96	0.99 W	0.00	8 of 32 - Sale ²⁵
	2.000	1.94	03/10/20	3.91	1.97 W	0.00	9 of 32 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol: (cont'd)							
	3.000	2.91	03/10/20	5.84	2.93 W	0.00	10 of 32 - Sale ²⁵
	3.000	2.91	03/10/20	5.83	2.92 W	0.00	11 of 32 - Sale ²⁵
	3.000	2.91	03/10/20	5.85	2.94 W	0.00	12 of 32 - Sale ²⁵
	1.000	0.97	03/10/20	2.34	1.37 W	0.00	13 of 32 - Sale ²⁵
	1.000	0.97	03/10/20	2.92	1.95 W	0.00	14 of 32 - Sale ²⁵
	2.000	1.94	03/10/20	5.83	3.89 W	0.00	15 of 32 - Sale ²⁵
	3.000	2.91	03/10/20	7.11	4.20 W	0.00	16 of 32 - Sale ²⁵
	4.000	3.88	03/10/20	10.53	6.65 W	0.00	17 of 32 - Sale ²⁵
	1.000	0.97	03/10/20	3.02	2.05 W	0.00	18 of 32 - Sale ²⁵
	1.000	0.97	03/10/20	3.20	2.23 W	0.00	19 of 32 - Sale ²⁵
	1.000	0.97	03/10/20	3.20	2.23 W	0.00	20 of 32 - Sale ²⁵
	3.000	2.91	03/10/20	9.59	6.68 W	0.00	21 of 32 - Sale ²⁵
	4.000	3.88	03/10/20	13.35	9.47 W	0.00	22 of 32 - Sale ²⁵
	6.000	5.82	03/10/20	20.04	14.22 W	0.00	23 of 32 - Sale ²⁵
	1.000	0.97	03/10/20	2.99	2.02 W	0.00	24 of 32 - Sale ²⁵
	3.000	2.91	03/10/20	7.79	4.88 W	0.00	25 of 32 - Sale ²⁵
	1.000	0.97	03/10/20	2.32	1.35 W	0.00	26 of 32 - Sale ²⁵
	1.000	0.97	03/10/20	2.32	1.35 W	0.00	27 of 32 - Sale ²⁵
	4.000	3.88	03/10/20	9.24	5.36 W	0.00	28 of 32 - Sale ²⁵
	2.000	1.94	03/10/20	4.23	2.29 W	0.00	29 of 32 - Sale ²⁵
	6.000	5.82	03/10/20	7.04	1.22 W	0.00	30 of 32 - Sale ²⁵
	24.000	23.28	03/10/20	27.54	4.26 W	0.00	31 of 32 - Sale ²⁵
	200.000	194.02	03/10/20	209.73	15.71 W	0.00	32 of 32 - Sale ²⁵
03/11/20	300.000	291.02	Various	431.55	140.53 W	0.00	Total of 32 transactions
32 transactions for 03/16/20. Total proceeds and cost reported to the IRS.							
	1.000	0.68	03/13/20	3.42	2.74 W	0.00	1 of 32 - Sale ²⁵
	1.000	0.68	03/13/20	3.07	2.39 W	0.00	2 of 32 - Sale ²⁵
	1.000	0.68	03/13/20	2.27	1.59 W	0.00	3 of 32 - Sale ²⁵
	3.000	2.04	03/13/20	6.89	4.85 W	0.00	4 of 32 - Sale ²⁵
	4.000	2.72	03/13/20	9.10	6.38 W	0.00	5 of 32 - Sale ²⁵
	8.000	5.44	03/13/20	23.61	18.17 W	0.00	6 of 32 - Sale ²⁵
	1.000	0.68	03/13/20	2.04	1.36 W	0.00	7 of 32 - Sale ²⁵
	1.000	0.68	03/13/20	1.78	1.10 W	0.00	8 of 32 - Sale ²⁵
	2.000	1.36	03/13/20	3.55	2.19 W	0.00	9 of 32 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol: (cont'd)							
	3.000	2.04	03/13/20	5.30	3.26 W	0.00	10 of 32 - Sale ²⁵
	3.000	2.04	03/13/20	5.29	3.25 W	0.00	11 of 32 - Sale ²⁵
	3.000	2.04	03/13/20	5.31	3.27 W	0.00	12 of 32 - Sale ²⁵
	1.000	0.68	03/13/20	2.16	1.48 W	0.00	13 of 32 - Sale ²⁵
	1.000	0.68	03/13/20	2.74	2.06 W	0.00	14 of 32 - Sale ²⁵
	2.000	1.36	03/13/20	5.47	4.11 W	0.00	15 of 32 - Sale ²⁵
	3.000	2.04	03/13/20	6.57	4.53 W	0.00	16 of 32 - Sale ²⁵
	4.000	2.72	03/13/20	9.81	7.09 W	0.00	17 of 32 - Sale ²⁵
	1.000	0.68	03/13/20	2.84	2.16 W	0.00	18 of 32 - Sale ²⁵
	1.000	0.68	03/13/20	3.02	2.34 W	0.00	19 of 32 - Sale ²⁵
	1.000	0.68	03/13/20	3.02	2.34 W	0.00	20 of 32 - Sale ²⁵
	3.000	2.04	03/13/20	9.05	7.01 W	0.00	21 of 32 - Sale ²⁵
	4.000	2.72	03/13/20	12.63	9.91 W	0.00	22 of 32 - Sale ²⁵
	6.000	4.08	03/13/20	18.95	14.87 W	0.00	23 of 32 - Sale ²⁵
	1.000	0.68	03/13/20	2.81	2.13 W	0.00	24 of 32 - Sale ²⁵
	3.000	2.04	03/13/20	7.25	5.21 W	0.00	25 of 32 - Sale ²⁵
	1.000	0.68	03/13/20	2.14	1.46 W	0.00	26 of 32 - Sale ²⁵
	1.000	0.68	03/13/20	2.14	1.46 W	0.00	27 of 32 - Sale ²⁵
	4.000	2.72	03/13/20	8.52	5.80 W	0.00	28 of 32 - Sale ²⁵
	2.000	1.36	03/13/20	3.87	2.51 W	0.00	29 of 32 - Sale ²⁵
	6.000	4.08	03/13/20	5.95	1.87 W	0.00	30 of 32 - Sale ²⁵
	24.000	16.32	03/13/20	23.19	6.87 W	0.00	31 of 32 - Sale ²⁵
	200.000	135.99	03/13/20	173.50	37.51 W	0.00	32 of 32 - Sale ²⁵
03/16/20	300.000	203.99	Various	377.26	173.27 W	0.00	Total of 32 transactions
03/20/20	40.000	30.90	03/19/20	27.80	...	3.10	Sale ²⁵
32 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	1.000	0.77	03/19/20	3.43	2.66 W	0.00	1 of 32 - Sale ²⁵
	1.000	0.77	03/19/20	3.08	2.31 W	0.00	2 of 32 - Sale ²⁵
	1.000	0.77	03/19/20	2.28	1.51 W	0.00	3 of 32 - Sale ²⁵
	3.000	2.32	03/19/20	6.92	4.60 W	0.00	4 of 32 - Sale ²⁵
	4.000	3.09	03/19/20	9.14	6.05 W	0.00	5 of 32 - Sale ²⁵
	8.000	6.18	03/19/20	23.70	17.52 W	0.00	6 of 32 - Sale ²⁵
	1.000	0.77	03/19/20	2.05	1.28 W	0.00	7 of 32 - Sale ²⁵
	1.000	0.77	03/19/20	1.79	1.02 W	0.00	8 of 32 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol: (cont'd)							
	2.000	1.54	03/19/20	3.57	2.03 W	0.00	9 of 32 - Sale ²⁵
	3.000	2.32	03/19/20	5.33	3.01 W	0.00	10 of 32 - Sale ²⁵
	3.000	2.32	03/19/20	5.32	3.00 W	0.00	11 of 32 - Sale ²⁵
	3.000	2.32	03/19/20	5.34	3.02 W	0.00	12 of 32 - Sale ²⁵
	1.000	0.77	03/19/20	2.17	1.40 W	0.00	13 of 32 - Sale ²⁵
	1.000	0.77	03/19/20	2.75	1.98 W	0.00	14 of 32 - Sale ²⁵
	2.000	1.54	03/19/20	5.49	3.95 W	0.00	15 of 32 - Sale ²⁵
	3.000	2.32	03/19/20	6.60	4.28 W	0.00	16 of 32 - Sale ²⁵
	4.000	3.09	03/19/20	9.85	6.76 W	0.00	17 of 32 - Sale ²⁵
	1.000	0.77	03/19/20	2.85	2.08 W	0.00	18 of 32 - Sale ²⁵
	1.000	0.77	03/19/20	3.03	2.26 W	0.00	19 of 32 - Sale ²⁵
	1.000	0.77	03/19/20	3.03	2.26 W	0.00	20 of 32 - Sale ²⁵
	3.000	2.32	03/19/20	9.08	6.76 W	0.00	21 of 32 - Sale ²⁵
	4.000	3.09	03/19/20	12.68	9.59 W	0.00	22 of 32 - Sale ²⁵
	6.000	4.63	03/19/20	19.02	14.39 W	0.00	23 of 32 - Sale ²⁵
	1.000	0.77	03/19/20	2.82	2.05 W	0.00	24 of 32 - Sale ²⁵
	3.000	2.32	03/19/20	7.28	4.96 W	0.00	25 of 32 - Sale ²⁵
	1.000	0.77	03/19/20	2.15	1.38 W	0.00	26 of 32 - Sale ²⁵
	1.000	0.77	03/19/20	2.15	1.38 W	0.00	27 of 32 - Sale ²⁵
	4.000	3.09	03/19/20	8.57	5.48 W	0.00	28 of 32 - Sale ²⁵
	2.000	1.54	03/19/20	3.89	2.35 W	0.00	29 of 32 - Sale ²⁵
	6.000	4.63	03/19/20	6.02	1.39 W	0.00	30 of 32 - Sale ²⁵
	24.000	18.54	03/19/20	23.46	4.92 W	0.00	31 of 32 - Sale ²⁵
	200.000	154.47	03/19/20	175.76	21.29 W	0.00	32 of 32 - Sale ²⁵
03/20/20	300.000	231.68	Various	380.60	148.92 W	0.00	Total of 32 transactions
	36 transactions for 03/25/20. Total proceeds and cost reported to the IRS.						
	1.000	0.72	03/24/20	3.40	2.68 W	0.00	1 of 36 - Sale ²⁵
	1.000	0.72	03/24/20	3.05	2.33 W	0.00	2 of 36 - Sale ²⁵
	1.000	0.72	03/24/20	2.25	1.53 W	0.00	3 of 36 - Sale ²⁵
	3.000	2.16	03/24/20	6.82	4.66 W	0.00	4 of 36 - Sale ²⁵
	4.000	2.88	03/24/20	9.01	6.13 W	0.00	5 of 36 - Sale ²⁵
	8.000	5.76	03/24/20	23.44	17.68 W	0.00	6 of 36 - Sale ²⁵
	1.000	0.72	03/24/20	2.02	1.30 W	0.00	7 of 36 - Sale ²⁵
	1.000	0.72	03/24/20	1.76	1.04 W	0.00	8 of 36 - Sale ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol: (cont'd)							
	2.000	1.44	03/24/20	3.51	2.07 W	0.00	9 of 36 - Sale ²⁵
	3.000	2.16	03/24/20	5.23	3.07 W	0.00	10 of 36 - Sale ²⁵
	3.000	2.16	03/24/20	5.22	3.06 W	0.00	11 of 36 - Sale ²⁵
	3.000	2.16	03/24/20	5.24	3.08 W	0.00	12 of 36 - Sale ²⁵
	1.000	0.72	03/24/20	2.14	1.42 W	0.00	13 of 36 - Sale ²⁵
	1.000	0.72	03/24/20	2.72	2.00 W	0.00	14 of 36 - Sale ²⁵
	2.000	1.44	03/24/20	5.43	3.99 W	0.00	15 of 36 - Sale ²⁵
	3.000	2.16	03/24/20	6.50	4.34 W	0.00	16 of 36 - Sale ²⁵
	4.000	2.88	03/24/20	9.72	6.84 W	0.00	17 of 36 - Sale ²⁵
	1.000	0.72	03/24/20	2.82	2.10 W	0.00	18 of 36 - Sale ²⁵
	1.000	0.72	03/24/20	3.00	2.28 W	0.00	19 of 36 - Sale ²⁵
	1.000	0.72	03/24/20	3.00	2.28 W	0.00	20 of 36 - Sale ²⁵
	3.000	2.16	03/24/20	8.98	6.82 W	0.00	21 of 36 - Sale ²⁵
	4.000	2.88	03/24/20	12.55	9.67 W	0.00	22 of 36 - Sale ²⁵
	6.000	4.32	03/24/20	18.83	14.51 W	0.00	23 of 36 - Sale ²⁵
	1.000	0.72	03/24/20	2.79	2.07 W	0.00	24 of 36 - Sale ²⁵
	3.000	2.16	03/24/20	7.18	5.02 W	0.00	25 of 36 - Sale ²⁵
	1.000	0.72	03/24/20	2.12	1.40 W	0.00	26 of 36 - Sale ²⁵
	1.000	0.72	03/24/20	2.12	1.40 W	0.00	27 of 36 - Sale ²⁵
	4.000	2.88	03/24/20	8.44	5.56 W	0.00	28 of 36 - Sale ²⁵
	2.000	1.44	03/24/20	3.83	2.39 W	0.00	29 of 36 - Sale ²⁵
	6.000	4.32	03/24/20	5.83	1.51 W	0.00	30 of 36 - Sale ²⁵
	24.000	17.28	03/24/20	22.68	5.40 W	0.00	31 of 36 - Sale ²⁵
	200.000	143.97	03/24/20	169.26	25.29 W	0.00	32 of 36 - Sale ²⁵
	154.000	110.86	03/24/20	113.94	3.08 W	0.00	33 of 36 - Sale ²⁵
	13.000	9.36	03/24/20	9.62	0.26 W	0.00	34 of 36 - Sale ²⁵
	28.000	20.16	03/24/20	20.72	0.56 W	0.00	35 of 36 - Sale ²⁵
	5.000	3.60	03/24/20	3.70	0.10 W	0.00	36 of 36 - Sale ²⁵
03/25/20	500.000	359.95	Various	518.87	158.92 W	0.00	Total of 36 transactions
4 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	6.000	6.36	03/26/20	6.19	...	0.17	1 of 4 - Sale ²⁵
	24.000	25.44	03/26/20	24.12	...	1.32	2 of 4 - Sale ²⁵
	50.000	52.99	03/26/20	39.99	...	13.00	3 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol: (cont'd)							
	200.000	211.97	03/26/20	181.27	...	30.70	4 of 4 - Sale ²⁵
03/27/20	280.000	296.76	Various	251.57	...	45.19	Total of 4 transactions
	29 transactions for 03/27/20. Total proceeds and cost reported to the IRS.						
	1.000	1.06	03/26/20	3.46	2.40 W	0.00	1 of 29 - Sale ²⁵
	1.000	1.06	03/26/20	3.11	2.05 W	0.00	2 of 29 - Sale ²⁵
	1.000	1.06	03/26/20	2.31	1.25 W	0.00	3 of 29 - Sale ²⁵
	3.000	3.18	03/26/20	7.00	3.82 W	0.00	4 of 29 - Sale ²⁵
	4.000	4.24	03/26/20	9.25	5.01 W	0.00	5 of 29 - Sale ²⁵
	8.000	8.48	03/26/20	23.92	15.44 W	0.00	6 of 29 - Sale ²⁵
	1.000	1.06	03/26/20	2.08	1.02 W	0.00	7 of 29 - Sale ²⁵
	1.000	1.06	03/26/20	1.82	0.76 W	0.00	8 of 29 - Sale ²⁵
	2.000	2.12	03/26/20	3.63	1.51 W	0.00	9 of 29 - Sale ²⁵
	3.000	3.18	03/26/20	5.41	2.23 W	0.00	10 of 29 - Sale ²⁵
	3.000	3.18	03/26/20	5.40	2.22 W	0.00	11 of 29 - Sale ²⁵
	3.000	3.18	03/26/20	5.42	2.24 W	0.00	12 of 29 - Sale ²⁵
	1.000	1.06	03/26/20	2.20	1.14 W	0.00	13 of 29 - Sale ²⁵
	1.000	1.06	03/26/20	2.78	1.72 W	0.00	14 of 29 - Sale ²⁵
	2.000	2.12	03/26/20	5.55	3.43 W	0.00	15 of 29 - Sale ²⁵
	3.000	3.18	03/26/20	6.68	3.50 W	0.00	16 of 29 - Sale ²⁵
	4.000	4.24	03/26/20	9.96	5.72 W	0.00	17 of 29 - Sale ²⁵
	1.000	1.06	03/26/20	2.88	1.82 W	0.00	18 of 29 - Sale ²⁵
	1.000	1.06	03/26/20	3.06	2.00 W	0.00	19 of 29 - Sale ²⁵
	1.000	1.06	03/26/20	3.06	2.00 W	0.00	20 of 29 - Sale ²⁵
	3.000	3.18	03/26/20	9.16	5.98 W	0.00	21 of 29 - Sale ²⁵
	4.000	4.24	03/26/20	12.79	8.55 W	0.00	22 of 29 - Sale ²⁵
	6.000	6.36	03/26/20	19.19	12.83 W	0.00	23 of 29 - Sale ²⁵
	1.000	1.06	03/26/20	2.85	1.79 W	0.00	24 of 29 - Sale ²⁵
	3.000	3.18	03/26/20	7.36	4.18 W	0.00	25 of 29 - Sale ²⁵
	1.000	1.06	03/26/20	2.18	1.12 W	0.00	26 of 29 - Sale ²⁵
	1.000	1.06	03/26/20	2.18	1.12 W	0.00	27 of 29 - Sale ²⁵
	4.000	4.24	03/26/20	8.68	4.44 W	0.00	28 of 29 - Sale ²⁵
	2.000	2.12	03/26/20	3.95	1.83 W	0.00	29 of 29 - Sale ²⁵
03/27/20	70.000	74.20	Various	177.32	103.12 W	0.00	Total of 29 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol: (cont'd)							
34 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
1.000		0.99	03/27/20	3.39	2.40 W	0.00	1 of 34 - Sale ²⁵
1.000		0.99	03/27/20	3.04	2.05 W	0.00	2 of 34 - Sale ²⁵
1.000		0.99	03/27/20	2.24	1.25 W	0.00	3 of 34 - Sale ²⁵
3.000		2.97	03/27/20	6.79	3.82 W	0.00	4 of 34 - Sale ²⁵
4.000		3.96	03/27/20	8.97	5.01 W	0.00	5 of 34 - Sale ²⁵
8.000		7.92	03/27/20	23.36	15.44 W	0.00	6 of 34 - Sale ²⁵
1.000		0.99	03/27/20	2.01	1.02 W	0.00	7 of 34 - Sale ²⁵
1.000		0.99	03/27/20	1.75	0.76 W	0.00	8 of 34 - Sale ²⁵
2.000		1.98	03/27/20	3.49	1.51 W	0.00	9 of 34 - Sale ²⁵
3.000		2.97	03/27/20	5.20	2.23 W	0.00	10 of 34 - Sale ²⁵
3.000		2.97	03/27/20	5.19	2.22 W	0.00	11 of 34 - Sale ²⁵
3.000		2.97	03/27/20	5.21	2.24 W	0.00	12 of 34 - Sale ²⁵
1.000		0.99	03/27/20	2.13	1.14 W	0.00	13 of 34 - Sale ²⁵
1.000		0.99	03/27/20	2.71	1.72 W	0.00	14 of 34 - Sale ²⁵
2.000		1.98	03/27/20	5.41	3.43 W	0.00	15 of 34 - Sale ²⁵
3.000		2.97	03/27/20	6.47	3.50 W	0.00	16 of 34 - Sale ²⁵
4.000		3.96	03/27/20	9.68	5.72 W	0.00	17 of 34 - Sale ²⁵
1.000		0.99	03/27/20	2.81	1.82 W	0.00	18 of 34 - Sale ²⁵
1.000		0.99	03/27/20	2.99	2.00 W	0.00	19 of 34 - Sale ²⁵
1.000		0.99	03/27/20	2.99	2.00 W	0.00	20 of 34 - Sale ²⁵
3.000		2.97	03/27/20	8.95	5.98 W	0.00	21 of 34 - Sale ²⁵
4.000		3.96	03/27/20	12.51	8.55 W	0.00	22 of 34 - Sale ²⁵
6.000		5.94	03/27/20	18.77	12.83 W	0.00	23 of 34 - Sale ²⁵
1.000		0.99	03/27/20	2.78	1.79 W	0.00	24 of 34 - Sale ²⁵
3.000		2.97	03/27/20	7.15	4.18 W	0.00	25 of 34 - Sale ²⁵
1.000		0.99	03/27/20	2.11	1.12 W	0.00	26 of 34 - Sale ²⁵
1.000		0.99	03/27/20	2.11	1.12 W	0.00	27 of 34 - Sale ²⁵
4.000		3.96	03/27/20	8.40	4.44 W	0.00	28 of 34 - Sale ²⁵
2.000		1.98	03/27/20	3.81	1.83 W	0.00	29 of 34 - Sale ²⁵
5.000		4.95	03/27/20	5.05	0.10 W	0.00	30 of 34 - Sale ²⁵
13.000		12.87	03/27/20	13.13	0.26 W	0.00	31 of 34 - Sale ²⁵
28.000		27.72	03/27/20	28.28	0.56 W	0.00	32 of 34 - Sale ²⁵
104.000		102.96	03/27/20	105.04	2.08 W	0.00	33 of 34 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol: (cont'd)							
	80.000	79.19	03/27/20	79.20	0.01 W	0.00	34 of 35 - Sale ²⁵
03/30/20	300.000	296.99	Various	403.12	106.13 W	0.00	Total of 34 transactions
	35 transactions for 04/21/20. Total proceeds and cost reported to the IRS.						
	8.000	5.65	04/20/20	21.28	...	-15.63	1 of 35 - Sale ²⁵
	6.000	4.24	04/20/20	17.21	...	-12.97	2 of 35 - Sale ²⁵
	4.000	2.82	04/20/20	11.47	...	-8.65	3 of 35 - Sale ²⁵
	3.000	2.12	04/20/20	8.17	...	-6.05	4 of 35 - Sale ²⁵
	4.000	2.82	04/20/20	8.64	...	-5.82	5 of 35 - Sale ²⁵
	4.000	2.82	04/20/20	7.93	...	-5.11	6 of 35 - Sale ²⁵
	4.000	2.82	04/20/20	7.36	...	-4.54	7 of 35 - Sale ²⁵
	104.000	73.42	04/20/20	77.92	...	-4.50	8 of 35 - Sale ²⁵
	3.000	2.12	04/20/20	6.37	...	-4.25	9 of 35 - Sale ²⁵
	3.000	2.12	04/20/20	6.01	...	-3.89	10 of 35 - Sale ²⁵
	3.000	2.12	04/20/20	5.69	...	-3.57	11 of 35 - Sale ²⁵
	2.000	1.41	04/20/20	4.89	...	-3.48	12 of 35 - Sale ²⁵
	1.000	0.71	04/20/20	3.13	...	-2.42	13 of 35 - Sale ²⁵
	100.000	70.59	04/20/20	72.93	...	-2.34	14 of 35 - Sale ²⁵
	3.000	2.12	04/20/20	4.43	...	-2.31	15 of 35 - Sale ²⁵
	3.000	2.12	04/20/20	4.42	...	-2.30	16 of 35 - Sale ²⁵
	3.000	2.12	04/20/20	4.41	...	-2.29	17 of 35 - Sale ²⁵
	1.000	0.71	04/20/20	2.78	...	-2.07	18 of 35 - Sale ²⁵
	1.000	0.71	04/20/20	2.73	...	-2.02	19 of 35 - Sale ²⁵
	1.000	0.71	04/20/20	2.73	...	-2.02	20 of 35 - Sale ²⁵
	2.000	1.41	04/20/20	3.29	...	-1.88	21 of 35 - Sale ²⁵
	80.000	56.47	04/20/20	58.35	...	-1.88	22 of 35 - Sale ²⁵
	1.000	0.71	04/20/20	2.55	...	-1.84	23 of 35 - Sale ²⁵
	1.000	0.71	04/20/20	2.52	...	-1.81	24 of 35 - Sale ²⁵
	1.000	0.71	04/20/20	2.45	...	-1.74	25 of 35 - Sale ²⁵
	2.000	1.41	04/20/20	2.97	...	-1.56	26 of 35 - Sale ²⁵
	1.000	0.71	04/20/20	1.98	...	-1.27	27 of 35 - Sale ²⁵
	28.000	19.77	04/20/20	20.98	...	-1.21	28 of 35 - Sale ²⁵
	1.000	0.71	04/20/20	1.87	...	-1.16	29 of 35 - Sale ²⁵
	1.000	0.71	04/20/20	1.85	...	-1.14	30 of 35 - Sale ²⁵
	1.000	0.71	04/20/20	1.85	...	-1.14	31 of 35 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X108 / Symbol: (cont'd)							
	1.000	0.71	04/20/20	1.75	...	-1.04	32 of 35 - Sale ²⁵
	1.000	0.71	04/20/20	1.49	...	-0.78	33 of 35 - Sale ²⁵
	13.000	9.18	04/20/20	9.74	...	-0.56	34 of 35 - Sale ²⁵
	5.000	3.53	04/20/20	3.75	...	-0.22	35 of 35 - Sale ²⁵
04/21/20	400.000	282.43	Various	397.89	...	-115.46	Total of 35 transactions
	Security total:	2,839.70		4,314.11	1,409.92 W	-64.49	
AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol:							
05/18/20	10.000	135.50	05/15/20	85.00	...	50.50	Sale ²⁵
05/21/20	20.000	330.00	05/20/20	258.56	...	71.44	Sale ²⁵
05/29/20	15.000	222.30	05/28/20	246.15	23.85 W	0.00	Sale ²⁵
	9 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	35.000	481.24	06/24/20	503.66	...	-22.42	1 of 9 - Sale ²⁵
	34.000	467.49	06/24/20	489.45	...	-21.96	2 of 9 - Sale ²⁵
	15.000	206.25	06/24/20	216.09	...	-9.84	3 of 9 - Sale ²⁵
	15.000	206.54	06/24/20	213.16	...	-6.62	4 of 9 - Sale ²⁵
	10.000	137.69	06/24/20	142.00	...	-4.31	5 of 9 - Sale ²⁵
	1.000	13.77	06/24/20	14.40	...	-0.63	6 of 9 - Sale ²⁵
	6.000	84.18	06/24/20	82.80	...	1.38	7 of 9 - Sale ²⁵
	10.000	140.30	06/24/20	137.90	...	2.40	8 of 9 - Sale ²⁵
	19.000	266.56	06/24/20	262.20	...	4.36	9 of 9 - Sale ²⁵
06/24/20	145.000	2,004.02	Various	2,061.66	...	-57.64	Total of 9 transactions
	12 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	25.000	344.24	06/24/20	351.76	6.02 W	-1.50	1 of 12 - Sale ²⁵
	15.000	210.44	06/24/20	230.70	20.26 W	0.00	2 of 12 - Sale ²⁵
	25.000	350.74	06/24/20	353.75	3.01 W	0.00	3 of 12 - Sale ²⁵
	25.000	350.74	06/24/20	357.00	6.26 W	0.00	4 of 12 - Sale ²⁵
	50.000	701.47	06/24/20	723.26	21.79 W	0.00	5 of 12 - Sale ²⁵
	50.000	701.48	06/24/20	722.50	21.02 W	0.00	6 of 12 - Sale ²⁵
	1.000	13.82	06/24/20	15.30	1.48 W	0.00	7 of 12 - Sale ²⁵
	2.000	27.64	06/24/20	30.60	2.96 W	0.00	8 of 12 - Sale ²⁵
	2.000	27.60	06/24/20	30.60	3.00 W	0.00	9 of 12 - Sale ²⁵
	3.000	41.40	06/24/20	45.90	4.50 W	0.00	10 of 12 - Sale ²⁵
	5.000	68.90	06/24/20	76.51	7.61 W	0.00	11 of 12 - Sale ²⁵

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(continued)

02/14/2021

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AURORA CANNABIS INC. COMMON SH ARES / CUSIP: 05156X884 / Symbol: (cont'd)							
	2.000	27.54	06/24/20	30.60		0.00	12 of 12 - Sale ²⁵
06/24/20	205.000	2,866.01	Various	2,968.48	3.06 W 100.97 W	-1.50	Total of 12 transactions
	8 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	20.000	271.99	06/24/20	284.62	...	-12.63	1 of 8 - Sale ²⁵
	15.000	203.99	06/24/20	215.26	...	-11.27	2 of 8 - Sale ²⁵
	5.000	68.00	06/24/20	77.26	...	-9.26	3 of 8 - Sale ²⁵
	3.000	40.80	06/24/20	46.29	...	-5.49	4 of 8 - Sale ²⁵
	2.000	27.20	06/24/20	30.92	...	-3.72	5 of 8 - Sale ²⁵
	2.000	27.20	06/24/20	30.86	...	-3.66	6 of 8 - Sale ²⁵
	2.000	27.20	06/24/20	30.82	...	-3.62	7 of 8 - Sale ²⁵
	1.000	13.60	06/24/20	15.41	...	-1.81	8 of 8 - Sale ²⁵
06/25/20	50.000	679.98	Various	731.44	...	-51.46	Total of 8 transactions
	Security total:	6,237.81		6,351.29	124.82 W	11.34	
AURYN RESOURCES INC. COMMON SH ARES / CUSIP: 05208W108 / Symbol:							
	2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	100.000	132.49	05/15/20	121.50	...	10.99	1 of 2 - Sale ²⁵
	100.000	132.49	05/15/20	121.50	...	10.99	2 of 2 - Sale ²⁵
05/18/20	200.000	264.98	Various	243.00	...	21.98	Total of 2 transactions
AUTOWEB, INC. COMMON STOCK / CUSIP: 05335B100 / Symbol:							
	3 transactions for 04/21/20. Total proceeds and cost reported to the IRS.						
	25.000	19.05	04/20/20	20.95	1.90 W	0.00	1 of 3 - Sale ²⁵
	100.000	76.19	04/20/20	84.00	7.81 W	0.00	2 of 3 - Sale ²⁵
	175.000	133.32	04/20/20	152.25	18.93 W	0.00	3 of 3 - Sale ²⁵
04/21/20	300.000	228.56	Various	257.20	28.64 W	0.00	Total of 3 transactions
	4 transactions for 05/07/20. Total proceeds and cost reported to the IRS.						
	175.000	180.22	05/06/20	213.16	...	-32.94	1 of 4 - Sale ²⁵
	200.000	205.97	05/06/20	221.98	...	-16.01	2 of 4 - Sale ²⁵
	100.000	102.98	05/06/20	118.80	...	-15.82	3 of 4 - Sale ²⁵
	25.000	25.75	05/06/20	29.65	...	-3.90	4 of 4 - Sale ²⁵
05/07/20	500.000	514.92	Various	583.59	...	-68.67	Total of 4 transactions
	Security total:	743.48		840.79	28.64 W	-68.67	

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

02/14/2021

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AVAYA HOLDINGS CORP. COMMON ST OCK / CUSIP: 05351X101 / Symbol:							
06/25/20	50.000	631.09	06/25/20	632.39	...	-1.30	Sale ²⁵
	2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	50.000	625.58	06/25/20	640.00	14.42 W	0.00	1 of 2 - Sale ²⁵
	50.000	631.09	06/25/20	646.81	15.72 W	0.00	2 of 2 - Sale ²⁵
06/25/20	100.000	1,256.67	Various	1,286.81	30.14 W	0.00	Total of 2 transactions
07/02/20	50.000	639.98	07/02/20	667.86	...	-27.88	Sale ²⁵
	Security total:	2,527.74		2,587.06	30.14 W	-29.18	
AVIANCA HOLDINGS SA AMERICAN D EPOSITARY RECEIPTS - SPONSORED (PANAMA) / CUSIP: 05367G100 / Symbol:							
05/01/20	15.000	14.70	04/29/20	15.45	...	-0.75	Sale ²⁵
AVIS BUDGET GROUP, INC. COMMON STOCK / CUSIP: 053774105 / Symbol:							
04/28/20	30.000	480.00	04/27/20	455.60	...	24.40	Sale ²⁵
04/30/20	25.000	392.75	04/29/20	440.75	48.00 W	0.00	Sale ²⁵
	2 transactions for 05/05/20. Total proceeds and cost reported to the IRS.						
	25.000	350.23	05/04/20	347.50	...	2.73	1 of 2 - Sale ²⁵
	25.000	350.24	05/04/20	327.08	...	23.16	2 of 2 - Sale ²⁵
05/05/20	50.000	700.47	Various	674.58	...	25.89	Total of 2 transactions
	2 transactions for 05/05/20. Total proceeds and cost reported to the IRS.						
	1.000	14.01	05/04/20	14.87	0.86 W	0.00	1 of 2 - Sale ²⁵
	24.000	336.23	05/04/20	360.07	23.84 W	0.00	2 of 2 - Sale ²⁵
05/05/20	25.000	350.24	Various	374.94	24.70 W	0.00	Total of 2 transactions
	4 transactions for 05/21/20. Total proceeds and cost reported to the IRS.						
	1.000	16.47	05/20/20	15.69	...	0.78	1 of 4 - Sale ²⁵
	24.000	395.40	05/20/20	379.76	...	15.64	2 of 4 - Sale ²⁵
	25.000	411.33	05/20/20	385.68	...	25.65	3 of 4 - Sale ²⁵
	25.000	411.32	05/20/20	370.75	...	40.57	4 of 4 - Sale ²⁵
05/21/20	75.000	1,234.52	Various	1,151.88	...	82.64	Total of 4 transactions
	2 transactions for 05/27/20. Total proceeds and cost reported to the IRS.						
	35.000	721.45	05/22/20	600.25	...	121.20	1 of 2 - Sale ²⁵
	15.000	309.19	05/26/20	269.39	...	39.80	2 of 2 - Sale ²⁵
05/27/20	50.000	1,030.64	Various	869.64	...	161.00	Total of 2 transactions
05/28/20	18.000	381.60	05/27/20	371.52	...	10.08	Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AVIS BUDGET GROUP, INC. COMMON STOCK / CUSIP: 053774105 / Symbol: (cont'd)							
05/28/20	2.000	42.40	05/27/20	44.09	1.69 W	0.00	Sale ²⁵
	4 transactions for 06/02/20. Total proceeds and cost reported to the IRS.						
	1.000	25.01	06/01/20	24.64	...	0.37	1 of 4 - Sale ²⁵
	5.000	125.04	06/01/20	123.70	...	1.34	2 of 4 - Sale ²⁵
	5.000	125.05	06/01/20	123.55	...	1.50	3 of 4 - Sale ²⁵
	7.000	175.06	06/01/20	172.46	...	2.60	4 of 4 - Sale ²⁵
06/02/20	18.000	450.16	Various	444.35	...	5.81	Total of 4 transactions
06/02/20	2.000	50.02	06/01/20	50.97	0.95 W	0.00	Sale ²⁵
	3 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	2.000	59.92	06/03/20	49.85	...	10.07	1 of 3 - Sale ²⁵
	18.000	539.27	06/03/20	440.10	...	99.17	2 of 3 - Sale ²⁵
	10.000	299.59	06/04/20	279.50	...	20.09	3 of 3 - Sale ²⁵
06/05/20	30.000	898.78	Various	769.45	...	129.33	Total of 3 transactions
	2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	2.000	66.68	06/05/20	66.55	...	0.13	1 of 2 - Sale ²⁵
	15.000	500.08	06/05/20	499.05	...	1.03	2 of 2 - Sale ²⁵
06/08/20	17.000	566.76	Various	565.60	...	1.16	Total of 2 transactions
06/16/20	10.000	290.60	06/15/20	250.10	...	40.50	Sale ²⁵
06/30/20	25.000	578.97	06/30/20	583.98	5.01 W	0.00	Sale ²⁵
	3 transactions for 07/02/20. Total proceeds and cost reported to the IRS.						
	25.000	688.48	07/02/20	717.51	...	-29.03	1 of 3 - Sale ²⁵
	25.000	688.48	07/02/20	712.50	...	-24.02	2 of 3 - Sale ²⁵
	25.000	688.48	07/02/20	711.33	...	-22.85	3 of 3 - Sale ²⁵
07/02/20	75.000	2,065.44	Various	2,141.34	...	-75.90	Total of 3 transactions
	Security total:	9,513.35		9,188.79	80.35 W	404.91	
AYRO, INC. COMMON STOCK / CUSIP: 054748108 / Symbol:							
	24 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	40.000	219.99	07/06/20	434.57	...	-214.58	1 of 24 - Sale ²⁵
	35.000	192.49	07/06/20	361.78	...	-169.29	2 of 24 - Sale ²⁵
	25.000	137.49	07/06/20	271.36	...	-133.87	3 of 24 - Sale ²⁵
	20.000	110.00	07/06/20	220.28	...	-110.28	4 of 24 - Sale ²⁵
	30.000	164.99	07/06/20	261.13	...	-96.14	5 of 24 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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AYRO, INC. COMMON STOCK / CUSIP: 054748108 / Symbol: (cont'd)							
	25.000	137.49	07/06/20	227.35	...	-89.86	6 of 24 - Sale ²⁵
	25.000	137.49	07/06/20	210.42	...	-72.93	7 of 24 - Sale ²⁵
	25.000	137.49	07/06/20	197.91	...	-60.42	8 of 24 - Sale ²⁵
	20.000	109.99	07/06/20	169.12	...	-59.13	9 of 24 - Sale ²⁵
	10.000	55.00	07/06/20	107.53	...	-52.53	10 of 24 - Sale ²⁵
	10.000	55.00	07/06/20	105.75	...	-50.75	11 of 24 - Sale ²⁵
	10.000	55.00	07/06/20	104.95	...	-49.95	12 of 24 - Sale ²⁵
	15.000	82.50	07/06/20	126.24	...	-43.74	13 of 24 - Sale ²⁵
	175.000	962.45	07/06/20	1,004.50	...	-42.05	14 of 24 - Sale ²⁵
	8.000	44.00	07/06/20	84.60	...	-40.60	15 of 24 - Sale ²⁵
	7.000	38.50	07/06/20	74.03	...	-35.53	16 of 24 - Sale ²⁵
	10.000	55.00	07/06/20	84.15	...	-29.15	17 of 24 - Sale ²⁵
	5.000	27.50	07/06/20	55.28	...	-27.78	18 of 24 - Sale ²⁵
	5.000	27.50	07/06/20	49.08	...	-21.58	19 of 24 - Sale ²⁵
	100.000	734.47	07/06/20	712.00	...	22.47	20 of 24 - Sale ²⁵
	25.000	183.74	07/06/20	154.75	...	28.99	21 of 24 - Sale ²⁵
	75.000	550.85	07/06/20	464.23	...	86.62	22 of 24 - Sale ²⁵
	100.000	734.97	07/06/20	591.00	...	143.97	23 of 24 - Sale ²⁵
	125.000	918.71	07/06/20	756.25	...	162.46	24 of 24 - Sale ²⁵
07/06/20	925.000	5,872.61	Various	6,828.26	...	-955.65	Total of 24 transactions
40 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	75.000	550.85	07/06/20	554.25	3.40 W	0.00	1 of 40 - Sale ²⁵
	100.000	734.47	07/06/20	805.00	70.53 W	0.00	2 of 40 - Sale ²⁵
	150.000	1,101.71	07/06/20	1,207.50	105.79 W	0.00	3 of 40 - Sale ²⁵
	10.000	68.20	07/06/20	73.95	5.75 W	0.00	4 of 40 - Sale ²⁵
	25.000	170.49	07/06/20	197.39	26.90 W	0.00	5 of 40 - Sale ²⁵
	65.000	443.28	07/06/20	470.30	27.02 W	0.00	6 of 40 - Sale ²⁵
	10.000	68.20	07/06/20	78.95	10.75 W	0.00	7 of 40 - Sale ²⁵
	25.000	170.49	07/06/20	197.38	26.89 W	0.00	8 of 40 - Sale ²⁵
	65.000	443.28	07/06/20	513.19	69.91 W	0.00	9 of 40 - Sale ²⁵
	10.000	68.20	07/06/20	78.95	10.75 W	0.00	10 of 40 - Sale ²⁵
	90.000	613.77	07/06/20	720.48	106.71 W	0.00	11 of 40 - Sale ²⁵
	10.000	68.20	07/06/20	80.05	11.85 W	0.00	12 of 40 - Sale ²⁵
	10.000	68.20	07/06/20	78.75	10.55 W	0.00	13 of 40 - Sale ²⁵

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Account 412265225

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2020 1099-B* OMB No. 1545-0715

(continued)

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AYRO, INC. COMMON STOCK / CUSIP: 054748108 / Symbol: (cont'd)							
	15.000	102.30	07/06/20	120.08	17.78 W	0.00	14 of 40 - Sale ²⁵
	65.000	443.27	07/06/20	501.52	58.25 W	0.00	15 of 40 - Sale ²⁵
	10.000	69.80	07/06/20	83.75	13.95 W	0.00	16 of 40 - Sale ²⁵
	15.000	104.70	07/06/20	125.63	20.93 W	0.00	17 of 40 - Sale ²⁵
	25.000	174.49	07/06/20	209.40	34.91 W	0.00	18 of 40 - Sale ²⁵
	50.000	348.98	07/06/20	418.28	69.30 W	0.00	19 of 40 - Sale ²⁵
	25.000	174.50	07/06/20	211.14	36.64 W	0.00	20 of 40 - Sale ²⁵
	10.000	53.20	07/06/20	84.45	31.25 W	0.00	21 of 40 - Sale ²⁵
	90.000	478.78	07/06/20	770.01	291.23 W	0.00	22 of 40 - Sale ²⁵
	10.000	53.20	07/06/20	80.75	27.55 W	0.00	23 of 40 - Sale ²⁵
	10.000	53.20	07/06/20	79.45	26.25 W	0.00	24 of 40 - Sale ²⁵
	10.000	53.20	07/06/20	82.85	29.65 W	0.00	25 of 40 - Sale ²⁵
	15.000	79.80	07/06/20	121.13	41.33 W	0.00	26 of 40 - Sale ²⁵
	15.000	79.79	07/06/20	124.28	44.49 W	0.00	27 of 40 - Sale ²⁵
	25.000	132.99	07/06/20	207.16	74.17 W	0.00	28 of 40 - Sale ²⁵
	65.000	345.78	07/06/20	506.10	160.32 W	0.00	29 of 40 - Sale ²⁵
	50.000	263.49	07/06/20	413.80	150.31 W	0.00	30 of 40 - Sale ²⁵
	10.000	52.70	07/06/20	103.25	50.55 W	0.00	31 of 40 - Sale ²⁵
	25.000	131.74	07/06/20	216.64	84.90 W	0.00	32 of 40 - Sale ²⁵
	40.000	210.79	07/06/20	417.44	206.65 W	0.00	33 of 40 - Sale ²⁵
	25.000	131.74	07/06/20	260.65	128.91 W	0.00	34 of 40 - Sale ²⁵
	10.000	52.70	07/06/20	101.05	48.35 W	0.00	35 of 40 - Sale ²⁵
	8.000	42.16	07/06/20	80.84	38.68 W	0.00	36 of 40 - Sale ²⁵
	25.000	131.74	07/06/20	264.64	132.90 W	0.00	37 of 40 - Sale ²⁵
	7.000	36.89	07/06/20	70.74	33.85 W	0.00	38 of 40 - Sale ²⁵
	10.000	52.20	07/06/20	99.75	47.55 W	0.00	39 of 40 - Sale ²⁵
	35.000	182.70	07/06/20	343.58	160.88 W	0.00	40 of 40 - Sale ²⁵
07/06/20	1,345.000	8,606.17	Various	11,154.50	2,548.33 W	0.00	Total of 40 transactions
	Security total:	14,478.78		17,982.76	2,548.33 W	-955.65	
AYTU BIOSCIENCE, INC. COMMON S TOCK / CUSIP: 054754700 / Symbol:							
03/17/20	25.000	42.28	03/16/20	44.90	2.62 W	0.00	Sale ²⁵
	2 transactions for 03/19/20. Total proceeds and cost reported to the IRS.						
	25.000	44.75	03/18/20	40.36	...	4.39	1 of 2 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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AYTU BIOSCIENCE, INC. COMMON S TOCK / CUSIP: 054754700 / Symbol: (cont'd)							
	200.000	357.97	03/18/20	301.94	...	56.03	2 of 2 - Sale ²⁵
03/19/20	225.000	402.72	Various	342.30	...	60.42	Total of 2 transactions
04/28/20	100.000	191.99	04/27/20	171.00	...	20.99	Sale ²⁵
2 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	75.000	128.24	06/01/20	132.00	3.76 W	0.00	1 of 2 - Sale ²⁵
	25.000	42.75	06/01/20	44.00	1.25 W	0.00	2 of 2 - Sale ²⁵
06/01/20	100.000	170.99	Various	176.00	5.01 W	0.00	Total of 2 transactions
4 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	250.000	404.96	06/26/20	420.00	...	-15.04	1 of 4 - Sale ²⁵
	150.000	242.97	06/26/20	250.50	...	-7.53	2 of 4 - Sale ²⁵
	75.000	121.49	06/26/20	129.01	...	-7.52	3 of 4 - Sale ²⁵
	25.000	40.50	06/26/20	43.00	...	-2.50	4 of 4 - Sale ²⁵
06/29/20	500.000	809.92	Various	842.51	...	-32.59	Total of 4 transactions
Security total:		1,617.90		1,576.71	7.63 W	48.82	
AZUL S.A. AMERICAN DEPOSITARY SHARES (EACH REPRESENTING THREE PREFERRED SHARES) / CUSIP: 05501U106 / Symbol:							
06/24/20	75.000	888.35	06/24/20	898.49	...	-10.14	Sale ²⁵
B. RILEY FINANCIAL, INC. COMMON STOCK / CUSIP: 05580M108 / Symbol:							
05/08/20	20.000	340.10	05/07/20	331.50	...	8.60	Sale ²⁵
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
2 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	10.000	204.80	03/23/20	185.70	...	19.10	1 of 2 - Sale ²⁵
	10.000	205.05	03/23/20	185.70	...	19.35	2 of 2 - Sale ²⁵
03/24/20	20.000	409.85	Various	371.40	...	38.45	Total of 2 transactions
03/26/20	20.000	424.20	03/25/20	433.54	...	-9.34	Sale ²⁵
05/08/20	15.000	350.29	05/07/20	348.72	...	1.57	Sale ²⁵
05/28/20	10.000	252.30	05/27/20	256.00	3.70 W	0.00	Sale ²⁵
06/04/20	10.000	256.82	06/03/20	255.70	...	1.12	Sale ²⁵
2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	9.000	231.13	06/03/20	233.46	2.33 W	0.00	1 of 2 - Sale ²⁵
	1.000	25.68	06/03/20	25.94	0.26 W	0.00	2 of 2 - Sale ²⁵
06/04/20	10.000	256.81	Various	259.40	2.59 W	0.00	Total of 2 transactions

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BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol: (cont'd)							
<i>7 transactions for 06/29/20. Total proceeds and cost reported to the IRS.</i>							
	0.154	3.65	06/29/20	3.64	...	0.01	1 of 7 - Sale ²⁵
	0.154	3.64	06/29/20	3.62	...	0.02	2 of 7 - Sale ²⁵
	0.846	20.07	06/29/20	20.04	...	0.03	3 of 7 - Sale ²⁵
	40.000	938.48	06/29/20	937.92	...	0.56	4 of 7 - Sale ²⁵
	49.000	1,156.47	06/29/20	1,152.66	...	3.81	5 of 7 - Sale ²⁵
	498.000	11,753.53	06/29/20	11,726.75	...	26.78	6 of 7 - Sale ²⁵
	442.000	10,431.84	06/29/20	10,390.40	...	41.44	7 of 7 - Sale ²⁵
06/29/20	1,030.154	24,307.68	Various	24,235.03	...	72.65	Total of 7 transactions
<i>5 transactions for 06/29/20. Total proceeds and cost reported to the IRS.</i>							
	9.000	212.41	06/29/20	213.77	0.02 W	-1.34	1 of 5 - Sale ²⁵
	1.000	23.46	06/29/20	23.71	0.25 W	0.00	2 of 5 - Sale ²⁵
	9.000	211.16	06/29/20	213.36	2.20 W	0.00	3 of 5 - Sale ²⁵
	49.000	1,149.64	06/29/20	1,150.42	0.78 W	0.00	4 of 5 - Sale ²⁵
	1.000	23.60	06/29/20	23.73	0.13 W	0.00	5 of 5 - Sale ²⁵
06/29/20	69.000	1,620.27	Various	1,624.99	3.38 W	-1.34	Total of 5 transactions
	Security total:	27,878.22		27,784.78	9.67 W	103.11	
IPATH SERIES B S&P 500 VIX SHO RT-TERM FUTURES ETN / CUSIP: 06746P621 / Symbol:							
<i>2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	384.59	06/11/20	368.60	...	15.99	1 of 2 - Sale ²⁵
	15.000	576.89	06/11/20	531.90	...	44.99	2 of 2 - Sale ²⁵
06/12/20	25.000	961.48	Various	900.50	...	60.98	Total of 2 transactions
06/12/20	25.000	961.47	06/11/20	1,031.69	70.22 W	0.00	Sale ²⁵
<i>3 transactions for 06/26/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	938.99	06/26/20	1,013.85	...	-74.86	1 of 3 - Sale ²⁵
	75.000	2,816.96	06/26/20	2,830.87	...	-13.91	2 of 3 - Sale ²⁵
	500.000	18,779.72	06/26/20	18,785.00	...	-5.28	3 of 3 - Sale ²⁵
06/26/20	600.000	22,535.67	Various	22,629.72	...	-94.05	Total of 3 transactions
	Security total:	24,458.62		24,561.91	70.22 W	-33.07	

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BARRICK GOLD CORP. / CUSIP: 067901108 / Symbol:							
4 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	370.000	9,661.18	06/29/20	9,679.20	...	-18.02	1 of 4 - Sale ²⁵
	150.000	3,916.69	06/29/20	3,924.00	...	-7.31	2 of 4 - Sale ²⁵
	80.000	2,088.90	06/29/20	2,092.80	...	-3.90	3 of 4 - Sale ²⁵
	50.000	1,305.57	06/29/20	1,308.00	...	-2.43	4 of 4 - Sale ²⁵
06/29/20	650.000	16,972.34	Various	17,004.00	...	-31.66	Total of 4 transactions
06/29/20	100.000	2,611.13	06/29/20	2,616.00	1.22 W	-3.65	Sale ²⁵
06/30/20	25.000	670.03	06/30/20	671.97	...	-1.94	Sale ²⁵
	Security total:	20,253.50		20,291.97	1.22 W	-37.25	
BAYER AKTIENGESELLSCHAFT AMERI CAN DEPOSITARY SHARES (EACH RE PSTG ONE BAYER AG ORDINARY SHA / CUSIP: 072730302 / Symbol:							
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	25.000	482.73	06/16/20	487.50	...	-4.77	1 of 2 - Sale ²⁵
	25.000	482.74	06/16/20	487.00	...	-4.26	2 of 2 - Sale ²⁵
06/17/20	50.000	965.47	Various	974.50	...	-9.03	Total of 2 transactions
BELLEROPHON THERAPEUTICS, INC. COMMON STOCK / CUSIP: 078771300 / Symbol:							
4 transactions for 03/31/20. Total proceeds and cost reported to the IRS.							
	7.000	84.35	03/30/20	139.93	55.58 W	0.00	1 of 4 - Sale ²⁵
	5.000	60.25	03/30/20	99.95	39.70 W	0.00	2 of 4 - Sale ²⁵
	7.000	84.35	03/30/20	195.51	111.16 W	0.00	3 of 4 - Sale ²⁵
	6.000	72.30	03/30/20	119.94	47.64 W	0.00	4 of 4 - Sale ²⁵
03/31/20	25.000	301.25	Various	555.33	254.08 W	0.00	Total of 4 transactions
5 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	7.000	84.70	04/07/20	186.62	...	-101.92	1 of 5 - Sale ²⁵
	6.000	72.60	04/07/20	112.32	...	-39.72	2 of 5 - Sale ²⁵
	3.000	36.30	04/07/20	56.07	...	-19.77	3 of 5 - Sale ²⁵
	2.000	24.20	04/07/20	37.44	...	-13.24	4 of 5 - Sale ²⁵
	12.000	145.20	04/07/20	129.36	...	15.84	5 of 5 - Sale ²⁵
04/08/20	30.000	363.00	Various	521.81	...	-158.81	Total of 5 transactions
05/21/20	25.000	346.25	05/20/20	348.75	2.50 W	0.00	Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BELLEROPHON THERAPEUTICS, INC. COMMON STOCK / CUSIP: 078771300 / Symbol: (cont'd)							
3 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	25.000	343.75	06/16/20	346.75	...	-3.00	1 of 3 - Sale ²⁵
	18.000	249.12	06/16/20	251.46	...	-2.34	2 of 3 - Sale ²⁵
	7.000	96.25	06/16/20	97.79	...	-1.54	3 of 3 - Sale ²⁵
06/17/20	50.000	689.12	Various	696.00	...	-6.88	Total of 3 transactions
	Security total:	1,699.62		2,121.89	256.58 W	-165.69	
BERKSHIRE HATHAWAY CLASS B / CUSIP: 084670702 / Symbol:							
07/06/20	1.000	182.52	07/06/20	182.79	...	-0.27	Sale ²⁵
BIG 5 SPORTING GOODS CORPORATION COMMON STOCK / CUSIP: 08915P101 / Symbol:							
06/03/20	100.000	224.99	06/02/20	212.00	...	12.99	Sale ²⁵
BIG LOTS, INC. / CUSIP: 089302103 / Symbol:							
06/01/20	10.000	385.00	05/29/20	419.50	34.50 W	0.00	Sale ²⁵
2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	10.000	442.09	06/26/20	480.65	...	-38.56	1 of 2 - Sale ²⁵
	15.000	663.13	06/26/20	669.23	...	-6.10	2 of 2 - Sale ²⁵
06/26/20	25.000	1,105.22	Various	1,149.88	...	-44.66	Total of 2 transactions
	Security total:	1,490.22		1,569.38	34.50 W	-44.66	
BIO-PATH HOLDINGS, INC. COMMON STOCK / CUSIP: 09057N300 / Symbol:							
3 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	50.000	249.99	03/27/20	273.00	...	-23.01	1 of 3 - Sale ²⁵
	75.000	374.98	03/27/20	392.23	...	-17.25	2 of 3 - Sale ²⁵
	75.000	374.98	03/27/20	371.24	...	3.74	3 of 3 - Sale ²⁵
03/30/20	200.000	999.95	Various	1,036.47	...	-36.52	Total of 3 transactions
BIOCRYST PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 09058V103 / Symbol:							
2 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	100.000	239.99	04/13/20	274.00	34.01 W	0.00	1 of 2 - Sale ²⁵
	50.000	119.99	04/13/20	137.00	17.01 W	0.00	2 of 2 - Sale ²⁵
04/14/20	150.000	359.98	Various	411.00	51.02 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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BIOCRYST PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 09058V103 / Symbol: (cont'd)							
3 transactions for 04/15/20. Total proceeds and cost reported to the IRS.							
	50.000	138.49	04/14/20	160.01	21.52 W	0.00	1 of 3 - Sale ²⁵
	50.000	138.50	04/14/20	160.00	21.50 W	0.00	2 of 3 - Sale ²⁵
	50.000	138.49	04/14/20	160.01	21.52 W	0.00	3 of 3 - Sale ²⁵
04/15/20	150.000	415.48	Various	480.02	64.54 W	0.00	Total of 3 transactions
2 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	7.000	22.33	04/17/20	25.62	3.29 W	0.00	1 of 2 - Sale ²⁵
	30.000	95.70	04/17/20	105.01	9.31 W	0.00	2 of 2 - Sale ²⁵
04/20/20	37.000	118.03	Various	130.63	12.60 W	0.00	Total of 2 transactions
3 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	38.000	144.39	04/30/20	168.91	...	-24.52	1 of 3 - Sale ²⁵
	12.000	45.60	04/30/20	53.64	...	-8.04	2 of 3 - Sale ²⁵
	12.000	45.60	04/30/20	53.34	...	-7.74	3 of 3 - Sale ²⁵
05/01/20	62.000	235.59	Various	275.89	...	-40.30	Total of 3 transactions
05/01/20	13.000	49.40	04/30/20	58.12	3.35 W	-5.37	Sale ²⁵
4 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	37.000	197.95	05/12/20	249.03	...	-51.08	1 of 4 - Sale ²⁵
	9.000	48.15	05/12/20	59.49	...	-11.34	2 of 4 - Sale ²⁵
	3.000	16.05	05/12/20	19.83	...	-3.78	3 of 4 - Sale ²⁵
	1.000	5.35	05/12/20	6.53	...	-1.18	4 of 4 - Sale ²⁵
05/13/20	50.000	267.50	Various	334.88	...	-67.38	Total of 4 transactions
3 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	5.000	26.30	05/19/20	28.74	...	-2.44	1 of 3 - Sale ²⁵
	18.000	94.68	05/19/20	96.98	...	-2.30	2 of 3 - Sale ²⁵
	7.000	36.82	05/19/20	38.83	...	-2.01	3 of 3 - Sale ²⁵
05/21/20	30.000	157.80	Various	164.55	...	-6.75	Total of 3 transactions
11 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	2.000	10.32	06/26/20	9.66	...	0.66	1 of 11 - Sale ²⁵
	10.000	51.50	06/26/20	48.30	...	3.20	2 of 11 - Sale ²⁵
	10.000	51.50	06/26/20	48.30	...	3.20	3 of 11 - Sale ²⁵
	20.000	103.20	06/26/20	96.60	...	6.60	4 of 11 - Sale ²⁵
	210.000	1,081.45	06/26/20	1,056.30	...	25.15	5 of 11 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIOCRYST PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 09058V103 / Symbol: (cont'd)							
	100.000	515.97	06/26/20	483.00	...	32.97	6 of 11 - Sale ²⁵
	290.000	1,493.42	06/26/20	1,458.70	...	34.72	7 of 11 - Sale ²⁵
	200.000	1,029.95	06/26/20	966.00	...	63.95	8 of 11 - Sale ²⁵
	200.000	1,029.95	06/26/20	966.00	...	63.95	9 of 11 - Sale ²⁵
	200.000	1,031.95	06/26/20	966.00	...	65.95	10 of 11 - Sale ²⁵
	258.000	1,328.64	06/26/20	1,246.14	...	82.50	11 of 11 - Sale ²⁵
06/29/20	1,500.000	7,727.85	Various	7,345.00	...	382.85	Total of 11 transactions
	Security total:	9,331.63		9,200.09	131.51 W	263.05	
BIO-KEY INTERNATIONAL, INC. CO MMON STOCK / CUSIP: 09060C309 / Symbol:							
4 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	48.000	44.40	03/27/20	93.12	48.72 W	0.00	1 of 4 - Sale ²⁵
	52.000	48.09	03/27/20	100.87	52.78 W	0.00	2 of 4 - Sale ²⁵
	48.000	43.57	03/27/20	141.84	98.27 W	0.00	3 of 4 - Sale ²⁵
	52.000	47.20	03/27/20	100.87	53.67 W	0.00	4 of 4 - Sale ²⁵
03/30/20	200.000	183.26	Various	436.70	253.44 W	0.00	Total of 4 transactions
4 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	48.000	45.11	04/27/20	142.53	...	-97.42	1 of 4 - Sale ²⁵
	52.000	48.88	04/27/20	101.61	...	-52.73	2 of 4 - Sale ²⁵
	52.000	48.87	04/27/20	100.72	...	-51.85	3 of 4 - Sale ²⁵
	98.000	92.11	04/27/20	90.36	...	1.75	4 of 4 - Sale ²⁵
04/28/20	250.000	234.97	Various	435.22	...	-200.25	Total of 4 transactions
	Security total:	418.23		871.92	253.44 W	-200.25	
BIOMERICA, INC. COMMON STOCK / CUSIP: 09061H307 / Symbol:							
06/09/20	50.000	258.50	06/08/20	257.50	...	1.00	Sale ²⁵
BIOCEPT, INC. COMMON STOCK / CUSIP: 09072V402 / Symbol:							
03/03/20	200.000	86.64	02/28/20	112.00	25.36 W	0.00	Sale ²⁵
2 transactions for 03/10/20. Total proceeds and cost reported to the IRS.							
	200.000	86.17	03/09/20	125.34	39.17 W	0.00	1 of 2 - Sale ²⁵
	100.000	43.09	03/09/20	49.99	6.90 W	0.00	2 of 2 - Sale ²⁵
03/10/20	300.000	129.26	Various	175.33	46.07 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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BIOCEPT, INC. COMMON STOCK / CUSIP: 09072V402 / Symbol: (cont'd)							
<i>3 transactions for 03/19/20. Total proceeds and cost reported to the IRS.</i>							
	200.000	48.20	03/18/20	92.67	44.47 W	0.00	1 of 3 - Sale ²⁵
	100.000	24.10	03/18/20	33.65	9.55 W	0.00	2 of 3 - Sale ²⁵
	600.000	144.58	03/18/20	160.50	15.92 W	0.00	3 of 3 - Sale ²⁵
03/19/20	900.000	216.88	Various	286.82	69.94 W	0.00	Total of 3 transactions
<i>6 transactions for 04/14/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	43.49	04/13/20	63.20	12.22 W	-7.49	1 of 6 - Sale ²⁵
	200.000	86.98	04/13/20	159.19	72.21 W	0.00	2 of 6 - Sale ²⁵
	100.000	43.49	04/13/20	66.91	23.42 W	0.00	3 of 6 - Sale ²⁵
	200.000	86.97	04/13/20	120.03	33.06 W	0.00	4 of 6 - Sale ²⁵
	200.000	86.98	04/13/20	119.17	32.19 W	0.00	5 of 6 - Sale ²⁵
	200.000	86.97	04/13/20	131.70	44.73 W	0.00	6 of 6 - Sale ²⁵
04/14/20	1,000.000	434.88	Various	660.20	217.83 W	-7.49	Total of 6 transactions
04/17/20	12.000	4.83	04/16/20	9.42	...	-4.59	Sale ²⁵
<i>3 transactions for 04/24/20. Total proceeds and cost reported to the IRS.</i>							
	188.000	73.29	04/23/20	139.28	65.99 W	0.00	1 of 3 - Sale ²⁵
	100.000	38.99	04/23/20	61.40	22.41 W	0.00	2 of 3 - Sale ²⁵
	12.000	4.68	04/23/20	6.54	1.86 W	0.00	3 of 3 - Sale ²⁵
04/24/20	300.000	116.96	Various	207.22	90.26 W	0.00	Total of 3 transactions
<i>2 transactions for 04/29/20. Total proceeds and cost reported to the IRS.</i>							
	112.000	44.23	04/28/20	66.86	22.63 W	0.00	1 of 2 - Sale ²⁵
	188.000	74.23	04/28/20	113.05	38.82 W	0.00	2 of 2 - Sale ²⁵
04/29/20	300.000	118.46	Various	179.91	61.45 W	0.00	Total of 2 transactions
<i>3 transactions for 05/06/20. Total proceeds and cost reported to the IRS.</i>							
	88.000	35.41	05/05/20	51.37	15.96 W	0.00	1 of 3 - Sale ²⁵
	200.000	80.48	05/05/20	129.29	48.81 W	0.00	2 of 3 - Sale ²⁵
	62.000	24.95	05/05/20	38.43	13.48 W	0.00	3 of 3 - Sale ²⁵
05/06/20	350.000	140.84	Various	219.09	78.25 W	0.00	Total of 3 transactions
05/21/20	50.000	21.75	05/20/20	20.30	...	1.45	Sale ²⁵
<i>11 transactions for 05/21/20. Total proceeds and cost reported to the IRS.</i>							
	188.000	81.78	05/20/20	141.94	60.16 W	0.00	1 of 11 - Sale ²⁵
	62.000	26.97	05/20/20	38.94	11.97 W	0.00	2 of 11 - Sale ²⁵

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(continued)

02/14/2021

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BIOCEPT, INC. COMMON STOCK / CUSIP: 09072V402 / Symbol: (cont'd)							
	38.000	16.53	05/20/20	23.93	7.40 W	0.00	3 of 11 - Sale ²⁵
	12.000	5.22	05/20/20	6.73	1.51 W	0.00	4 of 11 - Sale ²⁵
	150.000	65.25	05/20/20	91.81	26.56 W	0.00	5 of 11 - Sale ²⁵
	12.000	5.22	05/20/20	7.29	2.07 W	0.00	6 of 11 - Sale ²⁵
	38.000	16.53	05/20/20	23.26	6.73 W	0.00	7 of 11 - Sale ²⁵
	88.000	38.28	05/20/20	51.69	13.41 W	0.00	8 of 11 - Sale ²⁵
	100.000	43.50	05/20/20	60.81	17.31 W	0.00	9 of 11 - Sale ²⁵
	200.000	86.99	05/20/20	130.01	43.02 W	0.00	10 of 11 - Sale ²⁵
	62.000	26.96	05/20/20	38.65	11.69 W	0.00	11 of 11 - Sale ²⁵
05/21/20	950.000	413.23	Various	615.06	201.83 W	0.00	Total of 11 transactions
	7 transactions for 05/27/20. Total proceeds and cost reported to the IRS.						
	150.000	75.58	05/22/20	102.01	...	-26.43	1 of 7 - Sale ²⁵
	62.000	31.24	05/22/20	43.16	...	-11.92	2 of 7 - Sale ²⁵
	38.000	19.15	05/22/20	26.51	...	-7.36	3 of 7 - Sale ²⁵
	38.000	19.14	05/22/20	25.84	...	-6.70	4 of 7 - Sale ²⁵
	12.000	6.05	05/22/20	8.11	...	-2.06	5 of 7 - Sale ²⁵
	12.000	6.05	05/22/20	7.55	...	-1.50	6 of 7 - Sale ²⁵
	1.000	0.50	05/22/20	0.67	...	-0.17	7 of 7 - Sale ²⁵
05/27/20	313.000	157.71	Various	213.85	...	-56.14	Total of 7 transactions
05/27/20	188.000	94.73	05/22/20	154.72	48.18 W	-11.81	Sale ²⁵
	5 transactions for 06/01/20. Total proceeds and cost reported to the IRS.						
	51.000	21.74	05/29/20	38.80	17.06 W	0.00	1 of 5 - Sale ²⁵
	88.000	37.50	05/29/20	52.30	14.80 W	0.00	2 of 5 - Sale ²⁵
	99.000	42.19	05/29/20	60.89	18.70 W	0.00	3 of 5 - Sale ²⁵
	200.000	85.24	05/29/20	131.40	46.16 W	0.00	4 of 5 - Sale ²⁵
	62.000	26.42	05/29/20	39.09	12.67 W	0.00	5 of 5 - Sale ²⁵
06/01/20	500.000	213.09	Various	322.48	109.39 W	0.00	Total of 5 transactions
06/16/20	100.000	67.26	06/15/20	96.31	29.05 W	0.00	Sale ²⁵
	7 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	200.000	148.39	06/30/20	193.74	...	-45.35	1 of 7 - Sale ²⁵
	100.000	74.20	06/30/20	104.55	...	-30.35	2 of 7 - Sale ²⁵
	99.000	73.46	06/30/20	91.75	...	-18.29	3 of 7 - Sale ²⁵
	51.000	37.84	06/30/20	54.69	...	-16.85	4 of 7 - Sale ²⁵

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(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BIOCEPT, INC. COMMON STOCK / CUSIP: 09072V402 / Symbol: (cont'd)							
	88.000	65.29	06/30/20	79.74	...	-14.45	5 of 7 - Sale ²⁵
	62.000	46.00	06/30/20	58.42	...	-12.42	6 of 7 - Sale ²⁵
	400.000	296.79	06/30/20	302.00	...	-5.21	7 of 7 - Sale ²⁵
06/30/20	1,000.000	741.97	Various	884.89	...	-142.92	Total of 7 transactions
	Security total:	2,958.49		4,157.60	977.61 W	-221.50	
BIOPHARMX CORPORATION / CUSIP: 09072X309 / Symbol:							
03/23/20	350.000	94.46	03/20/20	96.43	...	-1.97	Sale ²⁵
	2 transactions for 05/11/20. Total proceeds and cost reported to the IRS.						
	8.000	4.32	05/08/20	3.59	...	0.73	1 of 2 - Sale ²⁵
	14.000	7.56	05/08/20	6.29	...	1.27	2 of 2 - Sale ²⁵
05/11/20	22.000	11.88	Various	9.88	...	2.00	Total of 2 transactions
	Security total:	106.34		106.31	...	0.03	
BIONTECH SE AMERICAN DEPOSITARY SHARE / CUSIP: 09075V102 / Symbol:							
06/22/20	20.000	1,151.57	06/22/20	1,163.80	...	-12.23	Sale ²⁵
BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol:							
	2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.						
	50.000	269.99	06/02/20	273.40	3.41 W	0.00	1 of 2 - Sale ²⁵
	25.000	135.00	06/02/20	136.75	1.75 W	0.00	2 of 2 - Sale ²⁵
06/03/20	75.000	404.99	Various	410.15	5.16 W	0.00	Total of 2 transactions
	5 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	25.000	140.24	06/05/20	138.75	...	1.49	1 of 5 - Sale ²⁵
	25.000	140.25	06/05/20	137.25	...	3.00	2 of 5 - Sale ²⁵
	25.000	140.24	06/05/20	137.00	...	3.24	3 of 5 - Sale ²⁵
	50.000	280.49	06/05/20	272.91	...	7.58	4 of 5 - Sale ²⁵
	75.000	420.73	06/05/20	412.49	...	8.24	5 of 5 - Sale ²⁵
06/08/20	200.000	1,121.95	Various	1,098.40	...	23.55	Total of 5 transactions
	Security total:	1,526.94		1,508.55	5.16 W	23.55	

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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BLOOM ENERGY CORPORATION / CUSIP: 093712107 / Symbol:							
05/07/20	25.000	190.50	05/06/20	191.62	...	-1.12	Sale ²⁵
	2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	4.000	37.20	06/10/20	39.36	2.16 W	0.00	1 of 2 - Sale ²⁵
	46.000	423.20	06/10/20	452.64	29.44 W	0.00	2 of 2 - Sale ²⁵
06/11/20	50.000	460.40	Various	492.00	31.60 W	0.00	Total of 2 transactions
	4 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	46.000	486.83	06/30/20	524.65	...	-37.82	1 of 4 - Sale ²⁵
	50.000	529.16	06/30/20	538.28	...	-9.12	2 of 4 - Sale ²⁵
	3.000	31.75	06/30/20	33.92	...	-2.17	3 of 4 - Sale ²⁵
	1.000	10.58	06/30/20	11.17	...	-0.59	4 of 4 - Sale ²⁵
06/30/20	100.000	1,058.32	Various	1,108.02	...	-49.70	Total of 4 transactions
	Security total:	1,709.22		1,791.64	31.60 W	-50.82	
BLUE APRON HOLDINGS, INC. CLAS S A COMMON STOCK / CUSIP: 09523Q200 / Symbol:							
03/31/20	30.000	351.00	03/30/20	349.20	...	1.80	Sale ²⁵
	3 transactions for 04/03/20. Total proceeds and cost reported to the IRS.						
	41.000	492.00	04/02/20	534.23	...	-42.23	1 of 3 - Sale ²⁵
	8.000	96.56	04/02/20	104.24	...	-7.68	2 of 3 - Sale ²⁵
	1.000	12.01	04/02/20	13.03	...	-1.02	3 of 3 - Sale ²⁵
04/03/20	50.000	600.57	Various	651.50	...	-50.93	Total of 3 transactions
	2 transactions for 05/05/20. Total proceeds and cost reported to the IRS.						
	19.000	141.36	05/04/20	145.92	4.56 W	0.00	1 of 2 - Sale ²⁵
	1.000	6.87	05/04/20	7.68	0.81 W	0.00	2 of 2 - Sale ²⁵
05/05/20	20.000	148.23	Various	153.60	5.37 W	0.00	Total of 2 transactions
05/12/20	30.000	250.50	05/11/20	244.12	...	6.38	Sale ²⁵
	3 transactions for 05/12/20. Total proceeds and cost reported to the IRS.						
	8.000	66.80	05/11/20	67.02	0.22 W	0.00	1 of 3 - Sale ²⁵
	1.000	8.35	05/11/20	8.95	0.60 W	0.00	2 of 3 - Sale ²⁵
	11.000	91.85	05/11/20	92.15	0.30 W	0.00	3 of 3 - Sale ²⁵
05/12/20	20.000	167.00	Various	168.12	1.12 W	0.00	Total of 3 transactions
	3 transactions for 05/13/20. Total proceeds and cost reported to the IRS.						
	5.000	42.21	05/11/20	41.84	...	0.37	1 of 3 - Sale ²⁵
	8.000	67.54	05/11/20	67.16	...	0.38	2 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BLUE APRON HOLDINGS, INC. CLAS S A COMMON STOCK / CUSIP: 09523Q200 / Symbol: (cont'd)							
	11.000	92.87	05/11/20	92.34	...	0.53	3 of 3 - Sale ²⁵
05/13/20	24.000	202.62	Various	201.34	...	1.28	Total of 3 transactions
05/13/20	1.000	8.44	05/11/20	8.97	0.53 W	0.00	Sale ²⁵
2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	1.000	10.15	06/12/20	11.23	1.08 W	0.00	1 of 2 - Sale ²⁵
	24.000	243.60	06/12/20	256.80	13.20 W	0.00	2 of 2 - Sale ²⁵
06/15/20	25.000	253.75	Various	268.03	14.28 W	0.00	Total of 2 transactions
3 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	24.000	293.27	07/06/20	318.08	...	-24.81	1 of 3 - Sale ²⁵
	25.000	305.49	07/06/20	317.58	...	-12.09	2 of 3 - Sale ²⁵
	1.000	12.22	07/06/20	13.78	...	-1.56	3 of 3 - Sale ²⁵
07/06/20	50.000	610.98	Various	649.44	...	-38.46	Total of 3 transactions
Security total:		2,593.09		2,694.32	21.30 W	-79.93	
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
2 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	5.000	862.03	03/26/20	862.50	0.47 W	0.00	1 of 2 - Sale ²⁵
	2.000	344.81	03/26/20	345.52	0.71 W	0.00	2 of 2 - Sale ²⁵
03/27/20	7.000	1,206.84	Various	1,208.02	1.18 W	0.00	Total of 2 transactions
04/02/20	2.000	255.17	04/01/20	282.59	...	-27.42	Sale ²⁵
04/02/20	5.000	637.94	04/01/20	705.17	67.23 W	0.00	Sale ²⁵
04/29/20	5.000	682.48	04/27/20	721.98	39.50 W	0.00	Sale ²⁵
05/22/20	5.000	699.98	05/21/20	758.71	58.73 W	0.00	Sale ²⁵
05/29/20	5.000	736.58	05/28/20	846.83	110.25 W	0.00	Sale ²⁵
3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	5.000	1,151.47	06/05/20	1,149.12	...	2.35	1 of 3 - Sale ²⁵
	5.000	1,151.92	06/05/20	1,082.88	...	69.04	2 of 3 - Sale ²⁵
	5.000	1,155.08	06/05/20	1,042.24	...	112.84	3 of 3 - Sale ²⁵
06/08/20	15.000	3,458.47	Various	3,274.24	...	184.23	Total of 3 transactions
4 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	11.000	2,132.96	06/29/20	2,154.73	...	-21.77	1 of 4 - Sale ²⁵
	5.000	972.97	06/29/20	979.42	...	-6.45	2 of 4 - Sale ²⁵
	2.000	387.81	06/29/20	391.74	...	-3.93	3 of 4 - Sale ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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BOEING COMPANY / CUSIP: 097023105 / Symbol: (cont'd)							
	2.000	387.86	06/29/20	391.77	...	-3.91	4 of 4 - Sale ²⁵
06/29/20	20.000	3,881.60	Various	3,917.66	...	-36.06	Total of 4 transactions
	2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	18.000	3,203.74	06/29/20	3,234.06	30.32 W	0.00	1 of 2 - Sale ²⁵
	2.000	356.00	06/29/20	359.34	3.34 W	0.00	2 of 2 - Sale ²⁵
06/29/20	20.000	3,559.74	Various	3,593.40	33.66 W	0.00	Total of 2 transactions
	Security total:	15,118.80		15,308.60	310.55 W	120.75	
BOSTON OMAHA CORPORATION CLASS A COMMON STOCK / CUSIP: 101044105 / Symbol:							
	4 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	1.000	16.01	06/29/20	15.93	...	0.08	1 of 4 - Sale ²⁵
	1.000	15.80	06/29/20	15.70	...	0.10	2 of 4 - Sale ²⁵
	9.000	144.09	06/29/20	143.46	...	0.63	3 of 4 - Sale ²⁵
	24.000	379.08	06/29/20	376.80	...	2.28	4 of 4 - Sale ²⁵
06/29/20	35.000	554.98	Various	551.89	...	3.09	Total of 4 transactions
BOSTON SCIENTIFIC CORP. / CUSIP: 101137107 / Symbol:							
03/31/20	25.000	785.23	03/30/20	776.94	...	8.29	Sale ²⁵
BOX, INC. / CUSIP: 10316T104 / Symbol:							
06/25/20	50.000	1,035.56	06/25/20	1,017.50	...	18.06	Sale ²⁵
	3 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	308.000	6,526.33	06/26/20	6,625.08	...	-98.75	1 of 3 - Sale ²⁵
	152.000	3,220.78	06/26/20	3,269.52	...	-48.74	2 of 3 - Sale ²⁵
	20.000	423.79	06/26/20	436.41	...	-12.62	3 of 3 - Sale ²⁵
06/26/20	480.000	10,170.90	Various	10,331.01	...	-160.11	Total of 3 transactions
06/26/20	20.000	423.79	06/26/20	430.00	6.21 W	0.00	Sale ²⁵
	Security total:	11,630.25		11,778.51	6.21 W	-142.05	
BROOKDALE SENIOR LIVING, INC. / CUSIP: 112463104 / Symbol:							
04/07/20	100.000	331.99	04/06/20	318.00	...	13.99	Sale ²⁵
05/19/20	100.000	326.00	05/18/20	333.79	7.79 W	0.00	Sale ²⁵
	3 transactions for 05/27/20. Total proceeds and cost reported to the IRS.						
	100.000	381.99	05/22/20	325.79	...	56.20	1 of 3 - Sale ²⁵

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(continued)

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BROOKDALE SENIOR LIVING, INC. / CUSIP: 112463104 / Symbol: (cont'd)							
	50.000	190.99	05/26/20	181.89	...	9.10	2 of 3 - Sale ²⁵
	50.000	191.00	05/26/20	180.40	...	10.60	3 of 3 - Sale ²⁵
05/27/20	200.000	763.98	Various	688.08	...	75.90	Total of 3 transactions
06/10/20	100.000	382.00	06/09/20	390.99	8.99 W	0.00	Sale ²⁵
3 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	100.000	294.98	06/30/20	306.01	...	-11.03	1 of 3 - Sale ²⁵
	250.000	737.45	06/30/20	741.98	...	-4.53	2 of 3 - Sale ²⁵
	150.000	442.47	06/30/20	445.53	...	-3.06	3 of 3 - Sale ²⁵
06/30/20	500.000	1,474.90	Various	1,493.52	...	-18.62	Total of 3 transactions
2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	100.000	294.98	06/30/20	304.99	10.01 W	0.00	1 of 2 - Sale ²⁵
	150.000	442.47	06/30/20	444.00	1.53 W	0.00	2 of 2 - Sale ²⁵
06/30/20	250.000	737.45	Various	748.99	11.54 W	0.00	Total of 2 transactions
	Security total:	4,016.32		3,973.37	28.32 W	71.27	
B2GOLD CORP. / CUSIP: 11777Q209 / Symbol:							
2 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	100.000	529.97	04/22/20	486.50	...	43.47	1 of 2 - Sale ²⁵
	200.000	1,059.95	04/22/20	995.68	...	64.27	2 of 2 - Sale ²⁵
04/24/20	300.000	1,589.92	Various	1,482.18	...	107.74	Total of 2 transactions
04/24/20	50.000	264.99	04/23/20	271.50	6.51 W	0.00	Sale ²⁵
05/06/20	50.000	273.48	05/05/20	272.00	...	1.48	Sale ²⁵
05/06/20	50.000	273.49	05/05/20	278.51	5.02 W	0.00	Sale ²⁵
2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	50.000	272.58	05/14/20	268.52	...	4.06	1 of 2 - Sale ²⁵
	50.000	272.58	05/14/20	265.41	...	7.17	2 of 2 - Sale ²⁵
05/15/20	100.000	545.16	Various	533.93	...	11.23	Total of 2 transactions
05/20/20	75.000	417.75	05/19/20	413.87	...	3.88	Sale ²⁵
6 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	4.000	22.08	05/28/20	20.80	...	1.28	1 of 6 - Sale ²⁵
	21.000	115.92	05/28/20	109.41	...	6.51	2 of 6 - Sale ²⁵
	25.000	138.00	05/28/20	130.00	...	8.00	3 of 6 - Sale ²⁵
	50.000	275.99	05/29/20	273.91	...	2.08	4 of 6 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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B2GOLD CORP. / CUSIP: 11777Q209 / Symbol: (cont'd)							
	50.000	275.99	05/29/20	268.41	...	7.58	5 of 6 - Sale ²⁵
	50.000	275.99	05/29/20	268.00	...	7.99	6 of 6 - Sale ²⁵
06/01/20	200.000	1,103.97	Various	1,070.53	...	33.44	Total of 6 transactions
06/18/20	2.000	10.24	06/17/20	10.24	...	0.00	Sale ²⁵
06/18/20	48.000	245.28	06/17/20	245.67	0.39 W	0.00	Sale ²⁵
3 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	952.000	5,140.67	06/26/20	5,157.94	...	-17.27	1 of 3 - Sale ²⁵
	500.000	2,699.93	06/26/20	2,709.05	...	-9.12	2 of 3 - Sale ²⁵
	48.000	259.19	06/26/20	260.45	...	-1.26	3 of 3 - Sale ²⁵
06/26/20	1,500.000	8,099.79	Various	8,127.44	...	-27.65	Total of 3 transactions
Security total:		12,824.07		12,705.87	11.92 W	130.12	
CHF SOLUTIONS, INC. COMMON STO CK / CUSIP: 12542Q706 / Symbol:							
06/19/20	250.000	116.77	06/19/20	121.60	4.83 W	0.00	Sale ²⁵
2 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	300.000	144.26	06/19/20	158.94	...	-14.68	1 of 2 - Sale ²⁵
	200.000	96.17	06/19/20	105.96	...	-9.79	2 of 2 - Sale ²⁵
06/22/20	500.000	240.43	Various	264.90	...	-24.47	Total of 2 transactions
4 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	250.000	120.22	06/19/20	137.06	16.84 W	0.00	1 of 4 - Sale ²⁵
	250.000	120.22	06/19/20	132.22	12.00 W	0.00	2 of 4 - Sale ²⁵
	4.000	1.92	06/19/20	2.12	0.20 W	0.00	3 of 4 - Sale ²⁵
	496.000	238.51	06/19/20	262.88	24.37 W	0.00	4 of 4 - Sale ²⁵
06/22/20	1,000.000	480.87	Various	534.28	53.41 W	0.00	Total of 4 transactions
4 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	496.000	250.41	07/06/20	277.83	...	-27.42	1 of 4 - Sale ²⁵
	250.000	126.21	07/06/20	144.59	...	-18.38	2 of 4 - Sale ²⁵
	250.000	126.22	07/06/20	139.75	...	-13.53	3 of 4 - Sale ²⁵
	4.000	2.02	07/06/20	2.24	...	-0.22	4 of 4 - Sale ²⁵
07/06/20	1,000.000	504.86	Various	564.41	...	-59.55	Total of 4 transactions
Security total:		1,342.93		1,485.19	58.24 W	-84.02	

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CALLON PETROLEUM COMPANY / CUSIP: 13123X102 / Symbol:							
3 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	200.000	389.97	06/05/20	273.98	...	115.99	1 of 3 - Sale ²⁵
	300.000	584.95	06/05/20	417.00	...	167.95	2 of 3 - Sale ²⁵
	11.000	21.45	06/08/20	24.64	...	-3.19	3 of 3 - Sale ²⁵
06/09/20	511.000	996.37	Various	715.62	...	280.75	Total of 3 transactions
06/09/20	114.000	222.28	06/08/20	255.36	29.02 W	-4.06	Sale ²⁵
06/25/20	100.000	121.24	06/25/20	156.77	...	-35.53	Sale ²⁵
	Security total:	1,339.89		1,127.75	29.02 W	241.16	
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol:							
01/09/20	50.000	142.79	01/08/20	194.00	...	-51.21	Sale ²⁵
14 transactions for 03/31/20. Total proceeds and cost reported to the IRS.							
	33.000	35.31	03/30/20	40.92	5.61 W	0.00	1 of 14 - Sale ²⁵
	5.000	5.35	03/30/20	6.20	0.85 W	0.00	2 of 14 - Sale ²⁵
	20.000	21.40	03/30/20	24.80	3.40 W	0.00	3 of 14 - Sale ²⁵
	7.000	7.49	03/30/20	8.68	1.19 W	0.00	4 of 14 - Sale ²⁵
	35.000	37.45	03/30/20	43.40	5.95 W	0.00	5 of 14 - Sale ²⁵
	10.000	10.70	03/30/20	12.40	1.70 W	0.00	6 of 14 - Sale ²⁵
	9.000	9.63	03/30/20	11.16	1.53 W	0.00	7 of 14 - Sale ²⁵
	24.000	25.68	03/30/20	29.76	4.08 W	0.00	8 of 14 - Sale ²⁵
	59.000	61.35	03/30/20	73.16	11.81 W	0.00	9 of 14 - Sale ²⁵
	5.000	5.20	03/30/20	7.05	1.85 W	0.00	10 of 14 - Sale ²⁵
	7.000	7.28	03/30/20	9.87	2.59 W	0.00	11 of 14 - Sale ²⁵
	20.000	20.80	03/30/20	28.20	7.40 W	0.00	12 of 14 - Sale ²⁵
	33.000	34.32	03/30/20	46.53	12.21 W	0.00	13 of 14 - Sale ²⁵
	33.000	34.31	03/30/20	46.53	12.22 W	0.00	14 of 14 - Sale ²⁵
03/31/20	300.000	316.27	Various	388.66	72.39 W	0.00	Total of 14 transactions
13 transactions for 04/03/20. Total proceeds and cost reported to the IRS.							
	5.000	10.00	04/02/20	9.40	...	0.60	1 of 13 - Sale ²⁵
	2.000	4.00	04/02/20	3.36	...	0.64	2 of 13 - Sale ²⁵
	7.000	14.00	04/02/20	13.16	...	0.84	3 of 13 - Sale ²⁵
	4.000	8.00	04/02/20	6.84	...	1.16	4 of 13 - Sale ²⁵
	20.000	40.00	04/02/20	37.60	...	2.40	5 of 13 - Sale ²⁵
	9.000	18.00	04/02/20	15.12	...	2.88	6 of 13 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol: (cont'd)							
	10.000	20.00	04/02/20	16.80	...	3.20	7 of 13 - Sale ²⁵
	33.000	65.99	04/02/20	62.05	...	3.94	8 of 13 - Sale ²⁵
	33.000	65.99	04/02/20	62.04	...	3.95	9 of 13 - Sale ²⁵
	24.000	48.00	04/02/20	40.32	...	7.68	10 of 13 - Sale ²⁵
	55.000	109.99	04/02/20	94.06	...	15.93	11 of 13 - Sale ²⁵
	100.000	199.98	04/02/20	152.99	...	46.99	12 of 13 - Sale ²⁵
	98.000	195.98	04/02/20	147.98	...	48.00	13 of 13 - Sale ²⁵
04/03/20	400.000	799.93	Various	661.72	...	138.21	Total of 13 transactions
	Security total:	1,258.99		1,244.38	72.39 W	87.00	
CAMPING WORLD HOLDINGS, INC. / CUSIP: 13462K109 / Symbol:							
05/18/20	50.000	845.48	05/15/20	807.12	...	38.36	Sale ²⁵
05/22/20	20.000	405.79	05/21/20	403.80	...	1.99	Sale ²⁵
	2 transactions for 05/22/20. Total proceeds and cost reported to the IRS.						
	10.000	202.89	05/21/20	204.80	1.91 W	0.00	1 of 2 - Sale ²⁵
	20.000	405.79	05/21/20	410.14	4.35 W	0.00	2 of 2 - Sale ²⁵
05/22/20	30.000	608.68	Various	614.94	6.26 W	0.00	Total of 2 transactions
	2 transactions for 05/27/20. Total proceeds and cost reported to the IRS.						
	5.000	109.05	05/26/20	109.47	0.42 W	0.00	1 of 2 - Sale ²⁵
	10.000	218.10	05/26/20	218.68	0.58 W	0.00	2 of 2 - Sale ²⁵
05/27/20	15.000	327.15	Various	328.15	1.00 W	0.00	Total of 2 transactions
	8 transactions for 05/29/20. Total proceeds and cost reported to the IRS.						
	5.000	104.50	05/27/20	106.95	2.45 W	0.00	1 of 8 - Sale ²⁵
	15.000	313.49	05/27/20	323.26	9.77 W	0.00	2 of 8 - Sale ²⁵
	5.000	104.49	05/28/20	113.43	8.94 W	0.00	3 of 8 - Sale ²⁵
	3.000	62.70	05/28/20	68.14	5.44 W	0.00	4 of 8 - Sale ²⁵
	1.000	20.90	05/28/20	23.12	2.22 W	0.00	5 of 8 - Sale ²⁵
	4.000	83.60	05/28/20	92.47	8.87 W	0.00	6 of 8 - Sale ²⁵
	5.000	104.50	05/28/20	116.39	11.89 W	0.00	7 of 8 - Sale ²⁵
	2.000	41.80	05/28/20	45.42	3.62 W	0.00	8 of 8 - Sale ²⁵
05/29/20	40.000	835.98	Various	889.18	53.20 W	0.00	Total of 8 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CAMPING WORLD HOLDINGS, INC. / CUSIP: 13462K109 / Symbol: (cont'd)							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	1.000	22.60	06/10/20	26.00	3.40 W	0.00	1 of 2 - Sale ²⁵
	9.000	203.40	06/10/20	234.01	30.61 W	0.00	2 of 2 - Sale ²⁵
06/11/20	10.000	226.00	Various	260.01	34.01 W	0.00	Total of 2 transactions
5 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.000	25.94	06/15/20	26.66	0.72 W	0.00	1 of 5 - Sale ²⁵
	4.000	103.76	06/15/20	106.63	2.87 W	0.00	2 of 5 - Sale ²⁵
	2.000	51.88	06/15/20	53.64	1.76 W	0.00	3 of 5 - Sale ²⁵
	3.000	77.82	06/15/20	78.76	0.94 W	0.00	4 of 5 - Sale ²⁵
	5.000	129.70	06/15/20	131.14	1.44 W	0.00	5 of 5 - Sale ²⁵
06/16/20	15.000	389.10	Various	396.83	7.73 W	0.00	Total of 5 transactions
7 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	2.000	55.34	06/17/20	55.20	...	0.14	1 of 7 - Sale ²⁵
	1.000	27.67	06/17/20	26.51	...	1.16	2 of 7 - Sale ²⁵
	2.000	55.34	06/17/20	53.35	...	1.99	3 of 7 - Sale ²⁵
	4.000	110.67	06/17/20	106.04	...	4.63	4 of 7 - Sale ²⁵
	3.000	83.00	06/17/20	78.24	...	4.76	5 of 7 - Sale ²⁵
	10.000	276.68	06/22/20	276.00	...	0.68	6 of 7 - Sale ²⁵
	10.000	276.68	06/22/20	274.30	...	2.38	7 of 7 - Sale ²⁵
06/23/20	32.000	885.38	Various	869.64	...	15.74	Total of 7 transactions
9 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	1.000	27.67	06/17/20	29.19	1.52 W	0.00	1 of 9 - Sale ²⁵
	3.000	83.00	06/17/20	84.51	1.51 W	0.00	2 of 9 - Sale ²⁵
	9.000	249.01	06/17/20	262.74	13.73 W	0.00	3 of 9 - Sale ²⁵
	2.000	55.34	06/22/20	55.44	0.10 W	0.00	4 of 9 - Sale ²⁵
	3.000	83.00	06/22/20	83.23	0.23 W	0.00	5 of 9 - Sale ²⁵
	25.000	691.71	06/22/20	708.96	17.25 W	0.00	6 of 9 - Sale ²⁵
	25.000	691.71	06/22/20	713.30	21.59 W	0.00	7 of 9 - Sale ²⁵
	25.000	691.70	06/22/20	714.42	22.72 W	0.00	8 of 9 - Sale ²⁵
	25.000	691.71	06/22/20	717.64	25.93 W	0.00	9 of 9 - Sale ²⁵
06/23/20	118.000	3,264.85	Various	3,369.43	104.58 W	0.00	Total of 9 transactions

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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CAMPING WORLD HOLDINGS, INC. / CUSIP: 13462K109 / Symbol: (cont'd)							
3 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	25.000	645.59	06/26/20	672.72	...	-27.13	1 of 3 - Sale ²⁵
	25.000	645.60	06/26/20	671.59	...	-25.99	2 of 3 - Sale ²⁵
	7.000	180.77	06/26/20	189.26	...	-8.49	3 of 3 - Sale ²⁵
06/29/20	57.000	1,471.96	Various	1,533.57	...	-61.61	Total of 3 transactions
6 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	25.000	645.59	06/26/20	667.25	12.13 W	-9.53	1 of 6 - Sale ²⁵
	1.000	25.82	06/26/20	27.52	1.70 W	0.00	2 of 6 - Sale ²⁵
	3.000	77.47	06/26/20	79.51	2.04 W	0.00	3 of 6 - Sale ²⁵
	9.000	232.41	06/26/20	247.73	15.32 W	0.00	4 of 6 - Sale ²⁵
	2.000	51.65	06/26/20	52.10	0.45 W	0.00	5 of 6 - Sale ²⁵
	3.000	77.47	06/26/20	78.23	0.76 W	0.00	6 of 6 - Sale ²⁵
06/29/20	43.000	1,110.41	Various	1,152.34	32.40 W	-9.53	Total of 6 transactions
7 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	18.000	510.67	07/02/20	537.97	...	-27.30	1 of 7 - Sale ²⁵
	9.000	255.33	07/02/20	274.97	...	-19.64	2 of 7 - Sale ²⁵
	14.000	397.19	07/02/20	416.03	...	-18.84	3 of 7 - Sale ²⁵
	3.000	85.11	07/02/20	88.59	...	-3.48	4 of 7 - Sale ²⁵
	3.000	85.11	07/02/20	87.31	...	-2.20	5 of 7 - Sale ²⁵
	1.000	28.37	07/02/20	30.55	...	-2.18	6 of 7 - Sale ²⁵
	2.000	56.74	07/02/20	58.15	...	-1.41	7 of 7 - Sale ²⁵
07/02/20	50.000	1,418.52	Various	1,493.57	...	-75.05	Total of 7 transactions
	Security total:	11,789.30		12,118.58	239.18 W	-90.10	
CAN-FITE BIOPHARMA LTD. / CUSIP: 13471N201 / Symbol:							
3 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	300.000	656.98	06/29/20	713.18	...	-56.20	1 of 3 - Sale ²⁵
	100.000	217.14	06/29/20	237.92	...	-20.78	2 of 3 - Sale ²⁵
	100.000	218.99	06/29/20	235.83	...	-16.84	3 of 3 - Sale ²⁵
06/29/20	500.000	1,093.11	Various	1,186.93	...	-93.82	Total of 3 transactions
06/29/20	900.000	2,052.74	06/29/20	2,196.00	79.59 W	-63.67	Sale ²⁵
	Security total:	3,145.85		3,382.93	79.59 W	-157.49	

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2020 1099-B* OMB No. 1545-0715

(continued)

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CANAAN INC. AMERICAN DEPOSITARY SHARES / CUSIP: 134748102 / Symbol:							
02/24/20	50.000	276.50	02/21/20	281.50	5.00 W	0.00	Sale ²⁵
	<i>3 transactions for 03/06/20. Total proceeds and cost reported to the IRS.</i>						
	44.000	209.20	03/05/20	215.43	...	-6.23	1 of 3 - Sale ²⁵
	50.000	237.72	03/05/20	239.81	...	-2.09	2 of 3 - Sale ²⁵
	6.000	28.50	03/05/20	29.38	...	-0.88	3 of 3 - Sale ²⁵
03/06/20	100.000	475.42	Various	484.62	...	-9.20	Total of 3 transactions
06/03/20	100.000	255.99	06/02/20	277.99	22.00 W	0.00	Sale ²⁵
06/10/20	100.000	249.49	06/09/20	271.50	...	-22.01	Sale ²⁵
	Security total:	1,257.40		1,315.61	27.00 W	-31.21	
CANNTRUST HLDGS INC COM (CANAD A) / CUSIP: 137800207 / Symbol:							
02/21/20	250.000	209.47	02/20/20	207.42	...	2.05	Sale ²⁵
	<i>4 transactions for 03/27/20. Total proceeds and cost reported to the IRS.</i>						
	100.000	69.99	03/26/20	79.82	...	-9.83	1 of 4 - Sale ²⁵
	91.000	63.69	03/26/20	72.64	...	-8.95	2 of 4 - Sale ²⁵
	72.000	50.39	03/26/20	57.47	...	-7.08	3 of 4 - Sale ²⁵
	37.000	26.05	03/26/20	29.53	...	-3.48	4 of 4 - Sale ²⁵
03/27/20	300.000	210.12	Various	239.46	...	-29.34	Total of 4 transactions
	Security total:	419.59		446.88	...	-27.29	
CANOPY GROWTH CORPORATION COMM ON SHARES / CUSIP: 138035100 / Symbol:							
	<i>2 transactions for 06/01/20. Total proceeds and cost reported to the IRS.</i>						
	17.000	282.88	05/29/20	288.15	5.27 W	0.00	1 of 2 - Sale ²⁵
	3.000	49.92	05/29/20	50.85	0.93 W	0.00	2 of 2 - Sale ²⁵
06/01/20	20.000	332.80	Various	339.00	6.20 W	0.00	Total of 2 transactions
	<i>3 transactions for 06/17/20. Total proceeds and cost reported to the IRS.</i>						
	17.000	301.57	06/16/20	306.51	...	-4.94	1 of 3 - Sale ²⁵
	3.000	53.22	06/16/20	54.09	...	-0.87	2 of 3 - Sale ²⁵
	30.000	532.19	06/16/20	531.60	...	0.59	3 of 3 - Sale ²⁵
06/17/20	50.000	886.98	Various	892.20	...	-5.22	Total of 3 transactions
	Security total:	1,219.78		1,231.20	6.20 W	-5.22	

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(continued)

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CAPRICOR THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 14070B309 / Symbol:							
2 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	30.000	36.00	04/07/20	35.70	...	0.30	1 of 2 - Sale ²⁵
	200.000	239.98	04/07/20	238.00	...	1.98	2 of 2 - Sale ²⁵
04/08/20	230.000	275.98	Various	273.70	...	2.28	Total of 2 transactions
04/08/20	270.000	318.57	04/07/20	321.30	2.73 W	0.00	Sale ²⁵
04/15/20	200.000	329.98	04/14/20	372.00	37.82 W	-4.20	Sale ²⁵
2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	100.000	688.99	04/30/20	808.01	...	-119.02	1 of 2 - Sale ²⁵
	50.000	344.49	04/30/20	385.76	...	-41.27	2 of 2 - Sale ²⁵
05/01/20	150.000	1,033.48	Various	1,193.77	...	-160.29	Total of 2 transactions
5 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	1.000	6.89	04/30/20	6.97	0.08 W	0.00	1 of 5 - Sale ²⁵
	9.000	62.01	04/30/20	63.54	1.53 W	0.00	2 of 5 - Sale ²⁵
	40.000	275.60	04/30/20	286.81	11.21 W	0.00	3 of 5 - Sale ²⁵
	30.000	206.70	04/30/20	237.60	30.90 W	0.00	4 of 5 - Sale ²⁵
	20.000	137.80	04/30/20	154.40	16.60 W	0.00	5 of 5 - Sale ²⁵
05/01/20	100.000	689.00	Various	749.32	60.32 W	0.00	Total of 5 transactions
8 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	1.000	5.25	05/21/20	5.33	0.08 W	0.00	1 of 8 - Sale ²⁵
	9.000	47.25	05/21/20	48.78	1.53 W	0.00	2 of 8 - Sale ²⁵
	20.000	104.99	05/21/20	110.61	5.62 W	0.00	3 of 8 - Sale ²⁵
	2.000	10.50	05/21/20	11.06	0.56 W	0.00	4 of 8 - Sale ²⁵
	25.000	131.25	05/21/20	158.25	27.00 W	0.00	5 of 8 - Sale ²⁵
	18.000	94.49	05/21/20	100.08	5.59 W	0.00	6 of 8 - Sale ²⁵
	5.000	26.25	05/21/20	31.64	5.39 W	0.00	7 of 8 - Sale ²⁵
	20.000	104.99	05/21/20	122.57	17.58 W	0.00	8 of 8 - Sale ²⁵
05/22/20	100.000	524.97	Various	588.32	63.35 W	0.00	Total of 8 transactions
8 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	5.000	23.00	06/08/20	29.83	6.83 W	0.00	1 of 8 - Sale ²⁵
	25.000	115.00	06/08/20	149.23	34.23 W	0.00	2 of 8 - Sale ²⁵
	1.000	4.60	06/08/20	4.97	0.37 W	0.00	3 of 8 - Sale ²⁵
	2.000	9.20	06/08/20	10.34	1.14 W	0.00	4 of 8 - Sale ²⁵
	9.000	41.40	06/08/20	45.53	4.13 W	0.00	5 of 8 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CAPRICOR THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 14070B309 / Symbol: (cont'd)							
	18.000	82.80	06/08/20	93.59	10.79 W	0.00	6 of 8 - Sale ²⁵
	20.000	92.00	06/08/20	103.40	11.40 W	0.00	7 of 8 - Sale ²⁵
	20.000	91.99	06/08/20	115.36	23.37 W	0.00	8 of 8 - Sale ²⁵
06/09/20	100.000	459.99	Various	552.25	92.26 W	0.00	Total of 8 transactions
	5 transactions for 06/15/20. Total proceeds and cost reported to the IRS.						
	5.000	22.00	06/12/20	30.20	8.20 W	0.00	1 of 5 - Sale ²⁵
	25.000	110.00	06/12/20	151.07	41.07 W	0.00	2 of 5 - Sale ²⁵
	1.000	4.40	06/12/20	5.04	0.64 W	0.00	3 of 5 - Sale ²⁵
	9.000	39.60	06/12/20	46.19	6.59 W	0.00	4 of 5 - Sale ²⁵
	10.000	44.00	06/12/20	52.43	8.43 W	0.00	5 of 5 - Sale ²⁵
06/15/20	50.000	220.00	Various	284.93	64.93 W	0.00	Total of 5 transactions
	10 transactions for 06/18/20. Total proceeds and cost reported to the IRS.						
	25.000	123.24	06/17/20	169.38	...	-46.14	1 of 10 - Sale ²⁵
	20.000	98.60	06/17/20	123.09	...	-24.49	2 of 10 - Sale ²⁵
	50.000	246.48	06/17/20	267.00	...	-20.52	3 of 10 - Sale ²⁵
	18.000	88.73	06/17/20	100.54	...	-11.81	4 of 10 - Sale ²⁵
	10.000	49.30	06/17/20	59.75	...	-10.45	5 of 10 - Sale ²⁵
	5.000	24.65	06/17/20	33.86	...	-9.21	6 of 10 - Sale ²⁵
	9.000	44.37	06/17/20	52.78	...	-8.41	7 of 10 - Sale ²⁵
	10.000	49.30	06/17/20	55.56	...	-6.26	8 of 10 - Sale ²⁵
	2.000	9.86	06/17/20	11.11	...	-1.25	9 of 10 - Sale ²⁵
	1.000	4.93	06/17/20	5.77	...	-0.84	10 of 10 - Sale ²⁵
06/18/20	150.000	739.46	Various	878.84	...	-139.38	Total of 10 transactions
	Security total:	4,591.43		5,214.43	321.41 W	-301.59	
CARDIFF ONCOLOGY, INC. COMMON STOCK / CUSIP: 14147L108 / Symbol:							
05/20/20	100.000	208.63	05/19/20	204.55	...	4.08	Sale ²⁵
	2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.						
	99.000	253.43	05/28/20	266.31	12.88 W	0.00	1 of 2 - Sale ²⁵
	1.000	2.56	05/28/20	2.69	0.13 W	0.00	2 of 2 - Sale ²⁵
05/29/20	100.000	255.99	Various	269.00	13.01 W	0.00	Total of 2 transactions
06/17/20	150.000	397.50	06/16/20	395.73	...	1.77	Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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CARDIFF ONCOLOGY, INC. COMMON STOCK / CUSIP: 14147L108 / Symbol: (cont'd)							
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	1.000	2.65	06/16/20	2.77	0.12 W	0.00	1 of 2 - Sale ²⁵
	99.000	262.35	06/16/20	274.06	11.71 W	0.00	2 of 2 - Sale ²⁵
06/17/20	100.000	265.00	Various	276.83	11.83 W	0.00	Total of 2 transactions
7 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	1.000	4.61	06/24/20	4.11	...	0.50	1 of 7 - Sale ²⁵
	99.000	456.73	06/24/20	406.46	...	50.27	2 of 7 - Sale ²⁵
	100.000	461.35	06/24/20	386.53	...	74.82	3 of 7 - Sale ²⁵
	150.000	756.86	06/25/20	804.33	...	-47.47	4 of 7 - Sale ²⁵
	71.000	358.25	06/25/20	379.48	...	-21.23	5 of 7 - Sale ²⁵
	29.000	146.33	06/25/20	154.12	...	-7.79	6 of 7 - Sale ²⁵
	50.000	230.67	06/25/20	229.20	...	1.47	7 of 7 - Sale ²⁵
06/25/20	500.000	2,414.80	Various	2,364.23	...	50.57	Total of 7 transactions
4 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	250.000	1,153.37	06/25/20	1,193.50	40.13 W	0.00	1 of 4 - Sale ²⁵
	150.000	756.86	06/25/20	787.19	30.33 W	0.00	2 of 4 - Sale ²⁵
	29.000	146.33	06/25/20	150.81	4.48 W	0.00	3 of 4 - Sale ²⁵
	71.000	358.25	06/25/20	371.37	13.12 W	0.00	4 of 4 - Sale ²⁵
06/25/20	500.000	2,414.81	Various	2,502.87	88.06 W	0.00	Total of 4 transactions
	Security total:	5,956.73		6,013.21	112.90 W	56.42	
CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
06/03/20	50.000	835.98	06/02/20	858.00	22.02 W	0.00	Sale ²⁵
2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	15.000	352.49	06/05/20	348.43	...	4.06	1 of 2 - Sale ²⁵
	10.000	234.99	06/05/20	226.98	...	8.01	2 of 2 - Sale ²⁵
06/08/20	25.000	587.48	Various	575.41	...	12.07	Total of 2 transactions
06/16/20	10.000	217.50	06/15/20	188.80	...	28.70	Sale ²⁵
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	15.000	288.15	06/16/20	314.56	26.41 W	0.00	1 of 2 - Sale ²⁵
	10.000	192.10	06/16/20	205.30	13.20 W	0.00	2 of 2 - Sale ²⁵
06/17/20	25.000	480.25	Various	519.86	39.61 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol: (cont'd)							
3 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	15.000	266.85	06/17/20	312.01	45.16 W	0.00	1 of 3 - Sale ²⁵
	5.000	88.95	06/17/20	101.80	12.85 W	0.00	2 of 3 - Sale ²⁵
	5.000	88.95	06/17/20	101.38	12.43 W	0.00	3 of 3 - Sale ²⁵
06/18/20	25.000	444.75	Various	515.19	70.44 W	0.00	Total of 3 transactions
4 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	15.000	284.99	06/18/20	322.03	37.04 W	0.00	1 of 4 - Sale ²⁵
	5.000	95.00	06/18/20	108.24	13.24 W	0.00	2 of 4 - Sale ²⁵
	5.000	95.00	06/18/20	107.82	12.82 W	0.00	3 of 4 - Sale ²⁵
	10.000	189.99	06/18/20	192.17	2.18 W	0.00	4 of 4 - Sale ²⁵
06/19/20	35.000	664.98	Various	730.26	65.28 W	0.00	Total of 4 transactions
14 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	10.000	166.21	06/24/20	195.79	29.58 W	0.00	1 of 14 - Sale ²⁵
	5.000	83.11	06/24/20	97.75	14.64 W	0.00	2 of 14 - Sale ²⁵
	5.000	83.11	06/24/20	98.64	15.53 W	0.00	3 of 14 - Sale ²⁵
	5.000	83.11	06/24/20	98.22	15.11 W	0.00	4 of 14 - Sale ²⁵
	10.000	166.21	06/24/20	172.98	6.77 W	0.00	5 of 14 - Sale ²⁵
	14.000	232.69	06/24/20	239.12	6.43 W	0.00	6 of 14 - Sale ²⁵
	1.000	16.18	06/24/20	20.04	3.86 W	0.00	7 of 14 - Sale ²⁵
	5.000	80.91	06/24/20	96.99	16.08 W	0.00	8 of 14 - Sale ²⁵
	9.000	145.63	06/24/20	174.85	29.22 W	0.00	9 of 14 - Sale ²⁵
	5.000	80.91	06/24/20	97.88	16.97 W	0.00	10 of 14 - Sale ²⁵
	5.000	80.91	06/24/20	97.46	16.55 W	0.00	11 of 14 - Sale ²⁵
	10.000	161.81	06/24/20	171.47	9.66 W	0.00	12 of 14 - Sale ²⁵
	14.000	226.54	06/24/20	237.01	10.47 W	0.00	13 of 14 - Sale ²⁵
	1.000	16.19	06/24/20	16.47	0.28 W	0.00	14 of 14 - Sale ²⁵
06/24/20	99.000	1,623.52	Various	1,814.67	191.15 W	0.00	Total of 14 transactions
7 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	1.000	16.63	06/29/20	20.40	3.77 W	0.00	1 of 7 - Sale ²⁵
	2.000	33.26	06/29/20	39.50	6.24 W	0.00	2 of 7 - Sale ²⁵
	9.000	149.69	06/29/20	178.04	28.35 W	0.00	3 of 7 - Sale ²⁵
	3.000	49.90	06/29/20	59.50	9.60 W	0.00	4 of 7 - Sale ²⁵
	5.000	83.16	06/29/20	100.05	16.89 W	0.00	5 of 7 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol: (cont'd)							
	5.000	83.16	06/29/20	99.64	16.48 W	0.00	6 of 7 - Sale ²⁵
	5.000	83.15	06/29/20	87.91	4.76 W	0.00	7 of 7 - Sale ²⁵
06/29/20	30.000	498.95	Various	585.04	86.09 W	0.00	Total of 7 transactions
	11 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	5.000	78.52	07/06/20	97.89	...	-19.37	1 of 11 - Sale ²⁵
	5.000	78.52	07/06/20	97.48	...	-18.96	2 of 11 - Sale ²⁵
	5.000	78.52	07/06/20	96.75	...	-18.23	3 of 11 - Sale ²⁵
	14.000	219.85	07/06/20	236.99	...	-17.14	4 of 11 - Sale ²⁵
	4.000	62.81	07/06/20	77.32	...	-14.51	5 of 11 - Sale ²⁵
	3.000	47.11	07/06/20	58.20	...	-11.09	6 of 11 - Sale ²⁵
	5.000	78.51	07/06/20	85.76	...	-7.25	7 of 11 - Sale ²⁵
	2.000	31.41	07/06/20	38.64	...	-7.23	8 of 11 - Sale ²⁵
	5.000	78.52	07/06/20	85.73	...	-7.21	9 of 11 - Sale ²⁵
	1.000	15.70	07/06/20	19.95	...	-4.25	10 of 11 - Sale ²⁵
	1.000	15.70	07/06/20	16.46	...	-0.76	11 of 11 - Sale ²⁵
07/06/20	50.000	785.17	Various	911.17	...	-126.00	Total of 11 transactions
	Security total:	6,138.58		6,698.40	474.59 W	-85.23	
CARNIVAL PLC / CUSIP: 14365C103 / Symbol:							
	2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	32.000	468.80	06/03/20	480.93	...	-12.13	1 of 2 - Sale ²⁵
	18.000	263.88	06/03/20	270.53	...	-6.65	2 of 2 - Sale ²⁵
06/04/20	50.000	732.68	Various	751.46	...	-18.78	Total of 2 transactions
	2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	38.000	478.41	07/06/20	480.20	...	-1.79	1 of 2 - Sale ²⁵
	12.000	151.08	07/06/20	151.95	...	-0.87	2 of 2 - Sale ²⁵
07/06/20	50.000	629.49	Various	632.15	...	-2.66	Total of 2 transactions
	Security total:	1,362.17		1,383.61	...	-21.44	
CARS.COM INC. COMMON STOCK / CUSIP: 14575E105 / Symbol:							
05/22/20	100.000	599.97	05/21/20	612.99	4.56 W	-8.46	Sale ²⁵
05/27/20	35.000	231.42	05/26/20	236.96	...	-5.54	Sale ²⁵
	Security total:	831.39		849.95	4.56 W	-14.00	

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(continued)

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CARVANA CO. / CUSIP: 146869102 / Symbol:							
5 transactions for 02/24/20. Total proceeds and cost reported to the IRS.							
	1.000	107.00	02/19/20	99.67	...	7.33	1 of 5 - Sale ²⁵
	1.000	107.33	02/19/20	99.67	...	7.66	2 of 5 - Sale ²⁵
	2.000	213.05	02/19/20	199.35	...	13.70	3 of 5 - Sale ²⁵
	3.000	321.98	02/20/20	325.37	...	-3.39	4 of 5 - Sale ²⁵
	3.000	321.99	02/21/20	326.43	...	-4.44	5 of 5 - Sale ²⁵
02/24/20	10.000	1,071.35	Various	1,050.49	...	20.86	Total of 5 transactions
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	5.000	497.42	05/22/20	480.81	...	16.61	1 of 2 - Sale ²⁵
	5.000	498.20	05/22/20	480.82	...	17.38	2 of 2 - Sale ²⁵
05/26/20	10.000	995.62	Various	961.63	...	33.99	Total of 2 transactions
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	3.000	332.99	06/08/20	336.03	3.04 W	0.00	1 of 2 - Sale ²⁵
	7.000	776.98	06/08/20	784.21	7.23 W	0.00	2 of 2 - Sale ²⁵
06/09/20	10.000	1,109.97	Various	1,120.24	10.27 W	0.00	Total of 2 transactions
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	3.000	345.67	06/09/20	349.91	4.24 W	0.00	1 of 2 - Sale ²⁵
	7.000	806.56	06/09/20	816.60	10.04 W	0.00	2 of 2 - Sale ²⁵
06/10/20	10.000	1,152.23	Various	1,166.51	14.28 W	0.00	Total of 2 transactions
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	2.000	237.39	06/15/20	228.95	...	8.44	1 of 2 - Sale ²⁵
	3.000	356.07	06/15/20	343.35	...	12.72	2 of 2 - Sale ²⁵
06/16/20	5.000	593.46	Various	572.30	...	21.16	Total of 2 transactions
2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	5.000	593.54	06/17/20	612.42	...	-18.88	1 of 2 - Sale ²⁵
	2.000	237.41	06/17/20	242.10	...	-4.69	2 of 2 - Sale ²⁵
06/18/20	7.000	830.95	Various	854.52	...	-23.57	Total of 2 transactions
	Security total:	5,753.58		5,725.69	24.55 W	52.44	

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CATALYST PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 14888U101 / Symbol:							
03/05/20	100.000	421.59	03/04/20	422.00	0.21 W	-0.20	Sale ²⁵
	2 transactions for 03/23/20. Total proceeds and cost reported to the IRS.						
	47.000	142.41	03/20/20	143.95	...	-1.54	1 of 2 - Sale ²⁵
	3.000	9.09	03/20/20	9.19	...	-0.10	2 of 2 - Sale ²⁵
03/23/20	50.000	151.50	Various	153.14	...	-1.64	Total of 2 transactions
	2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.						
	75.000	333.74	05/22/20	335.85	...	-2.11	1 of 2 - Sale ²⁵
	50.000	222.49	05/22/20	223.41	...	-0.92	2 of 2 - Sale ²⁵
05/26/20	125.000	556.23	Various	559.26	...	-3.03	Total of 2 transactions
05/26/20	75.000	333.73	05/22/20	335.11	0.92 W	-0.46	Sale ²⁵
06/17/20	50.000	222.50	06/16/20	226.82	...	-4.32	Sale ²⁵
	Security total:	1,685.55		1,696.33	1.13 W	-9.65	
CENTREX INC. COMMON STOCK / CUSIP: 15130G600 / Symbol:							
06/02/20	14.000	26.74	06/01/20	38.77	...	-12.03	Sale ²⁵
CENTRAL PUERTO S.A. AMERICAN DEPOSITARY SHARES (EACH REPRESENTS TEN COMMON SHARES) / CUSIP: 155038201 / Symbol:							
03/17/20	150.000	360.42	03/16/20	354.27	...	6.15	Sale ²⁵
	2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.						
	30.000	84.90	07/06/20	88.50	...	-3.60	1 of 2 - Sale ²⁵
	20.000	56.60	07/06/20	59.00	...	-2.40	2 of 2 - Sale ²⁵
07/07/20	50.000	141.50	Various	147.50	...	-6.00	Total of 2 transactions
	Security total:	501.92		501.77	...	0.15	
CHANTICLEER HOLDINGS, INC. COMMON STOCK / CUSIP: 15930P800 / Symbol:							
03/30/20	400.000	199.95	03/27/20	209.96	...	-10.01	Sale ²⁵
CHEGG, INC. / CUSIP: 163092109 / Symbol:							
06/22/20	15.000	1,006.88	06/22/20	1,049.85	...	-42.97	Sale ²⁵
CHESAPEAKE ENERGY CORP. / CUSIP: 165167107 / Symbol:							
01/06/20	35.000	31.68	12/05/19	26.39	...	5.29	Sale ²⁵
	4 transactions for 01/06/20. Total proceeds and cost reported to the IRS.						
	1.000	0.91	12/05/19	0.94	0.03 W	0.00	1 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHESAPEAKE ENERGY CORP. / CUSIP: 165167107 / Symbol: (cont'd)							
	5.000	4.53	12/05/19	4.70	0.17 W	0.00	2 of 4 - Sale ²⁵
	5.000	4.53	12/05/19	4.83	0.30 W	0.00	3 of 4 - Sale ²⁵
	4.000	3.63	12/10/19	4.13	0.50 W	0.00	4 of 4 - Sale ²⁵
01/06/20	15.000	13.60	Various	14.60	1.00 W	0.00	Total of 4 transactions
	8 transactions for 01/07/20. Total proceeds and cost reported to the IRS.						
	1.000	0.86	12/10/19	0.83	...	0.03	1 of 8 - Sale ²⁵
	1.000	0.86	12/12/19	0.81	...	0.05	2 of 8 - Sale ²⁵
	5.000	4.31	12/12/19	4.19	...	0.12	3 of 8 - Sale ²⁵
	4.000	3.44	12/12/19	3.25	...	0.19	4 of 8 - Sale ²⁵
	46.000	39.62	12/12/19	35.82	...	3.80	5 of 8 - Sale ²⁵
	60.000	51.67	12/12/19	46.92	...	4.75	6 of 8 - Sale ²⁵
	1.000	0.86	12/17/19	0.80	...	0.06	7 of 8 - Sale ²⁵
	28.000	24.12	12/17/19	22.51	...	1.61	8 of 8 - Sale ²⁵
01/07/20	146.000	125.74	Various	115.13	...	10.61	Total of 8 transactions
01/07/20	4.000	3.44	12/12/19	3.62	0.18 W	0.00	Sale ²⁵
	3 transactions for 01/21/20. Total proceeds and cost reported to the IRS.						
	4.000	2.45	01/14/20	2.94	0.49 W	0.00	1 of 3 - Sale ²⁵
	96.000	58.84	01/14/20	66.28	7.44 W	0.00	2 of 3 - Sale ²⁵
	2.000	1.23	01/15/20	1.38	0.15 W	0.00	3 of 3 - Sale ²⁵
01/21/20	102.000	62.52	Various	70.60	8.08 W	0.00	Total of 3 transactions
	3 transactions for 01/22/20. Total proceeds and cost reported to the IRS.						
	40.000	23.51	01/21/20	24.67	...	-1.16	1 of 3 - Sale ²⁵
	4.000	2.35	01/21/20	2.47	...	-0.12	2 of 3 - Sale ²⁵
	2.000	1.18	01/21/20	1.23	...	-0.05	3 of 3 - Sale ²⁵
01/22/20	46.000	27.04	Various	28.37	...	-1.33	Total of 3 transactions
	4 transactions for 01/22/20. Total proceeds and cost reported to the IRS.						
	48.000	28.22	01/21/20	29.64	1.27 W	-0.15	1 of 4 - Sale ²⁵
	4.000	2.35	01/21/20	2.96	0.61 W	0.00	2 of 4 - Sale ²⁵
	96.000	56.44	01/21/20	66.72	10.28 W	0.00	3 of 4 - Sale ²⁵
	2.000	1.18	01/21/20	1.39	0.21 W	0.00	4 of 4 - Sale ²⁵
01/22/20	150.000	88.19	Various	100.71	12.37 W	-0.15	Total of 4 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CHESAPEAKE ENERGY CORP. / CUSIP: 165167107 / Symbol: (cont'd)							
10 transactions for 01/30/20. Total proceeds and cost reported to the IRS.							
	4.000	2.12	01/27/20	2.82	0.70 W	0.00	1 of 10 - Sale ²⁵
	36.000	19.11	01/27/20	23.75	4.64 W	0.00	2 of 10 - Sale ²⁵
	5.000	2.65	01/27/20	3.30	0.65 W	0.00	3 of 10 - Sale ²⁵
	1.000	0.53	01/28/20	0.66	0.13 W	0.00	4 of 10 - Sale ²⁵
	4.000	2.12	01/28/20	2.62	0.50 W	0.00	5 of 10 - Sale ²⁵
	15.000	7.97	01/29/20	9.95	1.98 W	0.00	6 of 10 - Sale ²⁵
	35.000	18.58	01/29/20	23.51	4.93 W	0.00	7 of 10 - Sale ²⁵
	2.000	1.06	01/29/20	1.34	0.28 W	0.00	8 of 10 - Sale ²⁵
	28.000	14.87	01/29/20	16.65	1.78 W	0.00	9 of 10 - Sale ²⁵
	14.000	7.43	01/29/20	8.08	0.65 W	0.00	10 of 10 - Sale ²⁵
01/30/20	144.000	76.44	Various	92.68	16.24 W	0.00	Total of 10 transactions
02/06/20	1.000	0.55	02/05/20	0.59	0.04 W	0.00	Sale ²⁵
12 transactions for 03/03/20. Total proceeds and cost reported to the IRS.							
	4.000	0.98	02/28/20	1.80	0.82 W	0.00	1 of 12 - Sale ²⁵
	5.000	1.23	02/28/20	2.03	0.80 W	0.00	2 of 12 - Sale ²⁵
	36.000	8.83	02/28/20	14.58	5.75 W	0.00	3 of 12 - Sale ²⁵
	1.000	0.25	02/28/20	0.41	0.16 W	0.00	4 of 12 - Sale ²⁵
	4.000	0.98	02/28/20	1.60	0.62 W	0.00	5 of 12 - Sale ²⁵
	15.000	3.68	02/28/20	6.12	2.44 W	0.00	6 of 12 - Sale ²⁵
	35.000	8.59	02/28/20	14.59	6.00 W	0.00	7 of 12 - Sale ²⁵
	2.000	0.49	02/28/20	0.83	0.34 W	0.00	8 of 12 - Sale ²⁵
	1.000	0.25	02/28/20	0.32	0.07 W	0.00	9 of 12 - Sale ²⁵
	14.000	3.44	02/28/20	4.51	1.07 W	0.00	10 of 12 - Sale ²⁵
	28.000	6.87	02/28/20	9.51	2.64 W	0.00	11 of 12 - Sale ²⁵
	205.000	50.29	02/28/20	56.58	6.29 W	0.00	12 of 12 - Sale ²⁵
03/03/20	350.000	85.88	Various	112.88	27.00 W	0.00	Total of 12 transactions
03/04/20	150.000	39.33	03/03/20	38.25	...	1.08	Sale ²⁵
14 transactions for 03/04/20. Total proceeds and cost reported to the IRS.							
	4.000	1.05	03/03/20	1.84	0.79 W	0.00	1 of 14 - Sale ²⁵
	5.000	1.31	03/03/20	2.07	0.76 W	0.00	2 of 14 - Sale ²⁵
	36.000	9.45	03/03/20	14.93	5.48 W	0.00	3 of 14 - Sale ²⁵
	1.000	0.26	03/03/20	0.42	0.16 W	0.00	4 of 14 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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CHESAPEAKE ENERGY CORP. / CUSIP: 165167107 / Symbol: (cont'd)							
	4.000	1.05	03/03/20	1.64	0.59 W	0.00	5 of 14 - Sale ²⁵
	15.000	3.94	03/03/20	6.27	2.33 W	0.00	6 of 14 - Sale ²⁵
	35.000	9.18	03/03/20	14.92	5.74 W	0.00	7 of 14 - Sale ²⁵
	2.000	0.52	03/03/20	0.85	0.33 W	0.00	8 of 14 - Sale ²⁵
	1.000	0.26	03/03/20	0.33	0.07 W	0.00	9 of 14 - Sale ²⁵
	14.000	3.67	03/03/20	4.64	0.97 W	0.00	10 of 14 - Sale ²⁵
	28.000	7.35	03/03/20	9.78	2.43 W	0.00	11 of 14 - Sale ²⁵
	55.000	14.44	03/03/20	15.71	1.27 W	0.00	12 of 14 - Sale ²⁵
	100.000	26.24	03/03/20	28.57	2.33 W	0.00	13 of 14 - Sale ²⁵
	50.000	13.11	03/03/20	14.28	1.17 W	0.00	14 of 14 - Sale ²⁵
03/04/20	350.000	91.83	Various	116.25	24.42 W	0.00	Total of 14 transactions
	3 transactions for 03/13/20. Total proceeds and cost reported to the IRS.						
	500.000	88.53	03/12/20	78.95	...	9.58	1 of 3 - Sale ²⁵
	500.000	88.54	03/12/20	78.95	...	9.59	2 of 3 - Sale ²⁵
	1,650.000	292.16	03/12/20	258.50	...	33.66	3 of 3 - Sale ²⁵
03/13/20	2,650.000	469.23	Various	416.40	...	52.83	Total of 3 transactions
	14 transactions for 03/13/20. Total proceeds and cost reported to the IRS.						
	4.000	0.71	03/12/20	1.42	0.71 W	0.00	1 of 14 - Sale ²⁵
	5.000	0.89	03/12/20	1.54	0.65 W	0.00	2 of 14 - Sale ²⁵
	36.000	6.37	03/12/20	11.12	4.75 W	0.00	3 of 14 - Sale ²⁵
	1.000	0.18	03/12/20	0.32	0.14 W	0.00	4 of 14 - Sale ²⁵
	4.000	0.71	03/12/20	1.22	0.51 W	0.00	5 of 14 - Sale ²⁵
	15.000	2.66	03/12/20	4.68	2.02 W	0.00	6 of 14 - Sale ²⁵
	35.000	6.20	03/12/20	11.22	5.02 W	0.00	7 of 14 - Sale ²⁵
	2.000	0.35	03/12/20	0.64	0.29 W	0.00	8 of 14 - Sale ²⁵
	1.000	0.18	03/12/20	0.23	0.05 W	0.00	9 of 14 - Sale ²⁵
	14.000	2.48	03/12/20	3.16	0.68 W	0.00	10 of 14 - Sale ²⁵
	28.000	4.96	03/12/20	6.82	1.86 W	0.00	11 of 14 - Sale ²⁵
	50.000	8.85	03/12/20	9.00	0.15 W	0.00	12 of 14 - Sale ²⁵
	55.000	9.74	03/12/20	9.89	0.15 W	0.00	13 of 14 - Sale ²⁵
	100.000	17.71	03/12/20	18.00	0.29 W	0.00	14 of 14 - Sale ²⁵
03/13/20	350.000	61.99	Various	79.26	17.27 W	0.00	Total of 14 transactions

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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CHESAPEAKE ENERGY CORP. / CUSIP: 165167107 / Symbol: (cont'd)							
<i>15 transactions for 03/23/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	0.74	03/20/20	1.48	0.74 W	0.00	1 of 15 - Sale ²⁵
	5.000	0.93	03/20/20	1.61	0.68 W	0.00	2 of 15 - Sale ²⁵
	36.000	6.69	03/20/20	11.64	4.95 W	0.00	3 of 15 - Sale ²⁵
	1.000	0.19	03/20/20	0.33	0.14 W	0.00	4 of 15 - Sale ²⁵
	4.000	0.74	03/20/20	1.28	0.54 W	0.00	5 of 15 - Sale ²⁵
	15.000	2.79	03/20/20	4.89	2.10 W	0.00	6 of 15 - Sale ²⁵
	35.000	6.51	03/20/20	11.72	5.21 W	0.00	7 of 15 - Sale ²⁵
	2.000	0.37	03/20/20	0.67	0.30 W	0.00	8 of 15 - Sale ²⁵
	1.000	0.19	03/20/20	0.24	0.05 W	0.00	9 of 15 - Sale ²⁵
	14.000	2.60	03/20/20	3.36	0.76 W	0.00	10 of 15 - Sale ²⁵
	28.000	5.20	03/20/20	7.22	2.02 W	0.00	11 of 15 - Sale ²⁵
	50.000	9.29	03/20/20	9.72	0.43 W	0.00	12 of 15 - Sale ²⁵
	55.000	10.22	03/20/20	10.68	0.46 W	0.00	13 of 15 - Sale ²⁵
	100.000	18.59	03/20/20	19.43	0.84 W	0.00	14 of 15 - Sale ²⁵
	650.000	120.83	03/20/20	124.39	3.56 W	0.00	15 of 15 - Sale ²⁵
03/23/20	1,000.000	185.88	Various	208.66	22.78 W	0.00	Total of 15 transactions
<i>15 transactions for 04/07/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	0.67	04/06/20	1.40	0.73 W	0.00	1 of 15 - Sale ²⁵
	5.000	0.84	04/06/20	1.50	0.66 W	0.00	2 of 15 - Sale ²⁵
	36.000	6.06	04/06/20	10.87	4.81 W	0.00	3 of 15 - Sale ²⁵
	1.000	0.17	04/06/20	0.30	0.13 W	0.00	4 of 15 - Sale ²⁵
	4.000	0.67	04/06/20	1.20	0.53 W	0.00	5 of 15 - Sale ²⁵
	15.000	2.53	04/06/20	4.57	2.04 W	0.00	6 of 15 - Sale ²⁵
	35.000	5.89	04/06/20	10.97	5.08 W	0.00	7 of 15 - Sale ²⁵
	2.000	0.34	04/06/20	0.63	0.29 W	0.00	8 of 15 - Sale ²⁵
	1.000	0.17	04/06/20	0.21	0.04 W	0.00	9 of 15 - Sale ²⁵
	14.000	2.36	04/06/20	3.06	0.70 W	0.00	10 of 15 - Sale ²⁵
	28.000	4.72	04/06/20	6.63	1.91 W	0.00	11 of 15 - Sale ²⁵
	50.000	8.42	04/06/20	8.66	0.24 W	0.00	12 of 15 - Sale ²⁵
	55.000	9.26	04/06/20	9.51	0.25 W	0.00	13 of 15 - Sale ²⁵
	100.000	16.84	04/06/20	17.30	0.46 W	0.00	14 of 15 - Sale ²⁵
	250.000	42.09	04/06/20	42.53	0.44 W	0.00	15 of 15 - Sale ²⁵
04/07/20	600.000	101.03	Various	119.34	18.31 W	0.00	Total of 15 transactions

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(continued)

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CHESAPEAKE ENERGY CORP. / CUSIP: 165167107 / Symbol: (cont'd)							
04/08/20	350.000	55.93	04/07/20	57.92	1.99 W	0.00	Sale ²⁵
		18 transactions for 04/13/20. Total proceeds and cost reported to the IRS.					
	350.000	58.06	04/09/20	74.73	...	-16.67	1 of 18 - Sale ²⁵
	150.000	24.89	04/09/20	31.44	...	-6.55	2 of 18 - Sale ²⁵
	35.000	5.81	04/09/20	12.35	...	-6.54	3 of 18 - Sale ²⁵
	36.000	5.97	04/09/20	12.29	...	-6.32	4 of 18 - Sale ²⁵
	100.000	16.59	04/09/20	21.24	...	-4.65	5 of 18 - Sale ²⁵
	100.000	16.59	04/09/20	20.97	...	-4.38	6 of 18 - Sale ²⁵
	28.000	4.64	04/09/20	7.73	...	-3.09	7 of 18 - Sale ²⁵
	15.000	2.49	04/09/20	5.16	...	-2.67	8 of 18 - Sale ²⁵
	55.000	9.12	04/09/20	11.68	...	-2.56	9 of 18 - Sale ²⁵
	50.000	8.29	04/09/20	10.66	...	-2.37	10 of 18 - Sale ²⁵
	50.000	8.29	04/09/20	10.63	...	-2.34	11 of 18 - Sale ²⁵
	14.000	2.32	04/09/20	3.61	...	-1.29	12 of 18 - Sale ²⁵
	4.000	0.66	04/09/20	1.56	...	-0.90	13 of 18 - Sale ²⁵
	5.000	0.83	04/09/20	1.70	...	-0.87	14 of 18 - Sale ²⁵
	4.000	0.66	04/09/20	1.36	...	-0.70	15 of 18 - Sale ²⁵
	2.000	0.33	04/09/20	0.71	...	-0.38	16 of 18 - Sale ²⁵
	1.000	0.17	04/09/20	0.34	...	-0.17	17 of 18 - Sale ²⁵
	1.000	0.17	04/09/20	0.25	...	-0.08	18 of 18 - Sale ²⁵
04/13/20	1,000.000	165.88	Various	228.41	...	-62.53	Total of 18 transactions
	Security total:	1,686.18		1,830.06	149.68 W	5.80	
CHESAPEAKE ENERGY CORP COMMON STOCK / CUSIP: 165167743 / Symbol:							
04/17/20	35.000	552.28	04/16/20	564.20	6.47 W	-5.45	Sale ²⁵
		4 transactions for 04/27/20. Total proceeds and cost reported to the IRS.					
	6.000	207.29	04/24/20	225.73	...	-18.44	1 of 4 - Sale ²⁵
	1.000	34.55	04/24/20	37.62	...	-3.07	2 of 4 - Sale ²⁵
	5.000	172.75	04/24/20	168.70	...	4.05	3 of 4 - Sale ²⁵
	7.000	241.84	04/24/20	236.18	...	5.66	4 of 4 - Sale ²⁵
04/27/20	19.000	656.43	Various	668.23	...	-11.80	Total of 4 transactions
06/10/20	10.000	196.42	06/09/20	415.00	21.86 W	-196.72	Sale ²⁵
06/12/20	1.000	21.00	06/11/20	40.21	...	-19.21	Sale ²⁵
	Security total:	1,426.13		1,687.64	28.33 W	-233.18	

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CHINA RECYCLING ENERGY CORPORATION COMMON STOCK / CUSIP: 168913200 / Symbol:							
03/16/20	400.000	80.47	03/13/20	103.96	23.49 W	0.00	Sale ²⁵
04/03/20	300.000	85.76	04/02/20	119.62	33.86 W	0.00	Sale ²⁵
	3 transactions for 04/07/20. Total proceeds and cost reported to the IRS.						
	300.000	84.56	04/06/20	120.56	...	-36.00	1 of 3 - Sale ²⁵
	100.000	28.19	04/06/20	34.77	...	-6.58	2 of 3 - Sale ²⁵
	600.000	169.13	04/06/20	173.40	...	-4.27	3 of 3 - Sale ²⁵
04/07/20	1,000.000	281.88	Various	328.73	...	-46.85	Total of 3 transactions
	Security total:	448.11		552.31	57.35 W	-46.85	
CHINA PHARMA HOLDINGS, INC. / CUSIP: 16941T104 / Symbol:							
02/05/20	100.000	55.99	02/04/20	62.99	7.00 W	0.00	Sale ²⁵
	5 transactions for 02/25/20. Total proceeds and cost reported to the IRS.						
	100.000	45.10	02/24/20	65.93	20.83 W	0.00	1 of 5 - Sale ²⁵
	300.000	135.29	02/24/20	176.80	41.51 W	0.00	2 of 5 - Sale ²⁵
	200.000	90.98	02/24/20	117.87	26.89 W	0.00	3 of 5 - Sale ²⁵
	100.000	45.48	02/24/20	79.52	34.04 W	0.00	4 of 5 - Sale ²⁵
	100.000	45.49	02/24/20	72.53	27.04 W	0.00	5 of 5 - Sale ²⁵
02/25/20	800.000	362.34	Various	512.65	150.31 W	0.00	Total of 5 transactions
	9 transactions for 02/28/20. Total proceeds and cost reported to the IRS.						
	21.000	14.49	02/27/20	15.69	1.20 W	0.00	1 of 9 - Sale ²⁵
	44.000	30.36	02/27/20	32.87	2.51 W	0.00	2 of 9 - Sale ²⁵
	6.000	4.14	02/27/20	4.48	0.34 W	0.00	3 of 9 - Sale ²⁵
	58.000	40.59	02/27/20	43.11	2.52 W	0.00	4 of 9 - Sale ²⁵
	129.000	90.29	02/27/20	96.39	6.10 W	0.00	5 of 9 - Sale ²⁵
	5.000	3.50	02/27/20	3.71	0.21 W	0.00	6 of 9 - Sale ²⁵
	37.000	25.90	02/27/20	27.50	1.60 W	0.00	7 of 9 - Sale ²⁵
	25.000	17.50	02/27/20	25.25	7.75 W	0.00	8 of 9 - Sale ²⁵
	100.000	69.98	02/27/20	80.43	10.45 W	0.00	9 of 9 - Sale ²⁵
02/28/20	425.000	296.75	Various	329.43	32.68 W	0.00	Total of 9 transactions
	3 transactions for 03/06/20. Total proceeds and cost reported to the IRS.						
	54.000	45.37	03/05/20	60.33	...	-14.96	1 of 3 - Sale ²⁵
	40.000	33.61	03/05/20	45.08	...	-11.47	2 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CHINA PHARMA HOLDINGS, INC. / CUSIP: 16941T104 / Symbol: (cont'd)							
03/06/20	6.000	5.04	03/05/20	6.76	...	-1.72	3 of 3 - Sale ²⁵
	100.000	84.02	Various	112.17	...	-28.15	Total of 3 transactions
	4 transactions for 03/06/20. Total proceeds and cost reported to the IRS.						
	75.000	63.01	03/05/20	105.78	42.77 W	0.00	1 of 4 - Sale ²⁵
	4.000	3.36	03/05/20	4.51	1.15 W	0.00	2 of 4 - Sale ²⁵
	21.000	17.64	03/05/20	23.67	6.03 W	0.00	3 of 4 - Sale ²⁵
	100.000	84.02	03/05/20	134.04	50.02 W	0.00	4 of 4 - Sale ²⁵
03/06/20	200.000	168.03	Various	268.00	99.97 W	0.00	Total of 4 transactions
	4 transactions for 03/18/20. Total proceeds and cost reported to the IRS.						
	100.000	53.98	03/17/20	72.94	...	-18.96	1 of 4 - Sale ²⁵
	58.000	31.31	03/17/20	38.76	...	-7.45	2 of 4 - Sale ²⁵
	37.000	19.98	03/17/20	24.72	...	-4.74	3 of 4 - Sale ²⁵
	5.000	2.70	03/17/20	3.33	...	-0.63	4 of 4 - Sale ²⁵
03/18/20	200.000	107.97	Various	139.75	...	-31.78	Total of 4 transactions
	6 transactions for 03/18/20. Total proceeds and cost reported to the IRS.						
	25.000	13.50	03/17/20	23.37	9.87 W	0.00	1 of 6 - Sale ²⁵
	75.000	40.49	03/17/20	89.64	49.15 W	0.00	2 of 6 - Sale ²⁵
	4.000	2.16	03/17/20	3.65	1.49 W	0.00	3 of 6 - Sale ²⁵
	21.000	11.34	03/17/20	19.15	7.81 W	0.00	4 of 6 - Sale ²⁵
	100.000	53.99	03/17/20	112.52	58.53 W	0.00	5 of 6 - Sale ²⁵
	75.000	40.49	03/17/20	50.42	9.93 W	0.00	6 of 6 - Sale ²⁵
03/18/20	300.000	161.97	Various	298.75	136.78 W	0.00	Total of 6 transactions
	7 transactions for 04/16/20. Total proceeds and cost reported to the IRS.						
	100.000	51.00	04/15/20	141.53	...	-90.53	1 of 7 - Sale ²⁵
	75.000	38.25	04/15/20	111.40	...	-73.15	2 of 7 - Sale ²⁵
	75.000	38.24	04/15/20	72.18	...	-33.94	3 of 7 - Sale ²⁵
	23.000	11.73	04/15/20	28.17	...	-16.44	4 of 7 - Sale ²⁵
	21.000	10.71	04/15/20	25.24	...	-14.53	5 of 7 - Sale ²⁵
	4.000	2.04	04/15/20	4.81	...	-2.77	6 of 7 - Sale ²⁵
	2.000	1.02	04/15/20	2.37	...	-1.35	7 of 7 - Sale ²⁵
04/16/20	300.000	152.99	Various	385.70	...	-232.71	Total of 7 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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CHINA PHARMA HOLDINGS, INC. / CUSIP: 16941T104 / Symbol: (cont'd)							
3 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	100.000	50.99	06/09/20	50.01	...	0.98	1 of 3 - Sale ²⁵
	200.000	101.97	06/09/20	100.02	...	1.95	2 of 3 - Sale ²⁵
	200.000	101.98	06/09/20	97.00	...	4.98	3 of 3 - Sale ²⁵
06/10/20	500.000	254.94	Various	247.03	...	7.91	Total of 3 transactions
06/12/20	100.000	51.50	06/11/20	57.37	5.87 W	0.00	Sale ²⁵
2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	100.000	67.02	06/25/20	75.86	8.84 W	0.00	1 of 2 - Sale ²⁵
	400.000	268.07	06/25/20	279.96	11.89 W	0.00	2 of 2 - Sale ²⁵
06/25/20	500.000	335.09	Various	355.82	20.73 W	0.00	Total of 2 transactions
12 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	1,557.000	1,105.26	06/29/20	1,148.13	...	-42.87	1 of 12 - Sale ²⁵
	643.000	460.31	06/29/20	474.15	...	-13.84	2 of 12 - Sale ²⁵
	100.000	70.98	06/29/20	84.46	...	-13.48	3 of 12 - Sale ²⁵
	400.000	285.99	06/29/20	294.96	...	-8.97	4 of 12 - Sale ²⁵
	400.000	285.99	06/29/20	294.96	...	-8.97	5 of 12 - Sale ²⁵
	400.000	286.35	06/29/20	294.96	...	-8.61	6 of 12 - Sale ²⁵
	400.000	286.35	06/29/20	294.96	...	-8.61	7 of 12 - Sale ²⁵
	99.000	70.28	06/29/20	77.81	...	-7.53	8 of 12 - Sale ²⁵
	300.000	214.76	06/29/20	221.22	...	-6.46	9 of 12 - Sale ²⁵
	100.000	71.59	06/29/20	76.71	...	-5.12	10 of 12 - Sale ²⁵
	200.000	143.00	06/29/20	147.48	...	-4.48	11 of 12 - Sale ²⁵
	1.000	0.69	06/29/20	0.79	...	-0.10	12 of 12 - Sale ²⁵
06/29/20	4,600.000	3,281.55	Various	3,410.59	...	-129.04	Total of 12 transactions
2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	300.000	214.76	06/29/20	230.14	5.13 W	-10.25	1 of 2 - Sale ²⁵
	100.000	71.59	06/29/20	82.58	10.99 W	0.00	2 of 2 - Sale ²⁵
06/29/20	400.000	286.35	Various	312.72	16.12 W	-10.25	Total of 2 transactions
	Security total:	5,599.49		6,492.97	469.46 W	-424.02	

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CHINA JO-JO DRUGSTORES, INC. C OMMON STOCK / CUSIP: 16949A206 / Symbol:							
03/05/20	60.000	100.40	03/04/20	103.80	3.40 W	0.00	Sale ²⁵
	2 transactions for 03/09/20. Total proceeds and cost reported to the IRS.						
	60.000	99.05	03/06/20	107.76	8.71 W	0.00	1 of 2 - Sale ²⁵
	140.000	231.13	03/06/20	243.50	12.37 W	0.00	2 of 2 - Sale ²⁵
03/09/20	200.000	330.18	Various	351.26	21.08 W	0.00	Total of 2 transactions
	2 transactions for 03/11/20. Total proceeds and cost reported to the IRS.						
	140.000	228.19	03/10/20	247.14	12.18 W	-6.77	1 of 2 - Sale ²⁵
	60.000	97.79	03/10/20	109.32	11.53 W	0.00	2 of 2 - Sale ²⁵
03/11/20	200.000	325.98	Various	356.46	23.71 W	-6.77	Total of 2 transactions
	2 transactions for 03/13/20. Total proceeds and cost reported to the IRS.						
	90.000	130.49	03/12/20	163.38	...	-32.89	1 of 2 - Sale ²⁵
	60.000	86.99	03/12/20	112.33	...	-25.34	2 of 2 - Sale ²⁵
03/13/20	150.000	217.48	Various	275.71	...	-58.23	Total of 2 transactions
	Security total:	974.04		1,087.23	48.19 W	-65.00	
CHINA RAPID FINANCE LIMITED / CUSIP: 16953Q204 / Symbol:							
	3 transactions for 04/03/20. Total proceeds and cost reported to the IRS.						
	100.000	112.99	04/02/20	119.00	6.01 W	0.00	1 of 3 - Sale ²⁵
	10.000	11.30	04/02/20	11.90	0.60 W	0.00	2 of 3 - Sale ²⁵
	90.000	98.10	04/02/20	107.10	9.00 W	0.00	3 of 3 - Sale ²⁵
04/03/20	200.000	222.39	Various	238.00	15.61 W	0.00	Total of 3 transactions
	3 transactions for 04/09/20. Total proceeds and cost reported to the IRS.						
	100.000	97.99	04/08/20	110.01	12.02 W	0.00	1 of 3 - Sale ²⁵
	1.000	0.98	04/08/20	1.14	0.16 W	0.00	2 of 3 - Sale ²⁵
	10.000	9.80	04/08/20	11.00	1.20 W	0.00	3 of 3 - Sale ²⁵
04/09/20	111.000	108.77	Various	122.15	13.38 W	0.00	Total of 3 transactions
	8 transactions for 04/15/20. Total proceeds and cost reported to the IRS.						
	1.000	1.13	04/14/20	1.10	...	0.03	1 of 8 - Sale ²⁵
	10.000	11.30	04/14/20	10.59	...	0.71	2 of 8 - Sale ²⁵
	15.000	16.95	04/14/20	15.89	...	1.06	3 of 8 - Sale ²⁵
	15.000	16.95	04/14/20	15.59	...	1.36	4 of 8 - Sale ²⁵
	85.000	96.04	04/14/20	90.07	...	5.97	5 of 8 - Sale ²⁵
	74.000	83.61	04/14/20	76.92	...	6.69	6 of 8 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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CHINA RAPID FINANCE LIMITED / CUSIP: 16953Q204 / Symbol: (cont'd)							
	100.000	112.00	04/14/20	93.94	...	18.06	7 of 8 - Sale ²⁵
	100.000	112.99	04/14/20	93.94	...	19.05	8 of 8 - Sale ²⁵
04/15/20	400.000	450.97	Various	398.04	...	52.93	Total of 8 transactions
05/11/20	100.000	159.27	05/08/20	167.87	8.60 W	0.00	Sale ²⁵
06/10/20	98.000	156.79	06/09/20	156.79	...	0.00	Sale ²⁵
	4 transactions for 06/10/20. Total proceeds and cost reported to the IRS.						
	202.000	321.16	06/09/20	329.58	4.17 W	-4.25	1 of 4 - Sale ²⁵
	100.000	159.99	06/09/20	167.60	7.61 W	0.00	2 of 4 - Sale ²⁵
	52.000	82.67	06/09/20	87.16	4.49 W	0.00	3 of 4 - Sale ²⁵
	48.000	76.31	06/09/20	81.97	5.66 W	0.00	4 of 4 - Sale ²⁵
06/10/20	402.000	640.13	Various	666.31	21.93 W	-4.25	Total of 4 transactions
	2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.						
	48.000	106.56	06/17/20	124.22	...	-17.66	1 of 2 - Sale ²⁵
	52.000	115.43	06/17/20	132.93	...	-17.50	2 of 2 - Sale ²⁵
06/18/20	100.000	221.99	Various	257.15	...	-35.16	Total of 2 transactions
07/02/20	100.000	327.99	07/02/20	338.89	...	-10.90	Sale ²⁵
	Security total:	2,288.30		2,345.20	59.52 W	2.62	
CISCO SYSTEMS, INC. COMMON STO CK (DE) / CUSIP: 17275R102 / Symbol:							
06/25/20	1.000	44.81	06/25/20	44.80	...	0.01	Sale ²⁵
	2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	19.000	843.23	06/25/20	851.20	7.97 W	0.00	1 of 2 - Sale ²⁵
	9.000	403.31	06/25/20	407.86	4.55 W	0.00	2 of 2 - Sale ²⁵
06/25/20	28.000	1,246.54	Various	1,259.06	12.52 W	0.00	Total of 2 transactions
	4 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	481.000	22,174.42	06/26/20	22,183.10	...	-8.68	1 of 4 - Sale ²⁵
	10.000	460.94	06/26/20	464.55	...	-3.61	2 of 4 - Sale ²⁵
	5.000	230.46	06/26/20	232.71	...	-2.25	3 of 4 - Sale ²⁵
	4.000	184.40	06/26/20	186.49	...	-2.09	4 of 4 - Sale ²⁵
06/26/20	500.000	23,050.22	Various	23,066.85	...	-16.63	Total of 4 transactions

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(continued)

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CISCO SYSTEMS, INC. COMMON STO CK (DE) / CUSIP: 17275R102 / Symbol: (cont'd)							
2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	5.000	230.50	06/26/20	232.62	2.12 W	0.00	1 of 2 - Sale ²⁵
	10.000	461.01	06/26/20	464.37	3.36 W	0.00	2 of 2 - Sale ²⁵
06/26/20	15.000	691.51	Various	696.99	5.48 W	0.00	Total of 2 transactions
	Security total:	25,033.08		25,067.70	18.00 W	-16.62	
CIVEO CORPORATION / CUSIP: 17878Y108 / Symbol:							
4 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	78.000	48.36	06/04/20	46.02	...	2.34	1 of 4 - Sale ²⁵
	100.000	62.00	06/04/20	59.00	...	3.00	2 of 4 - Sale ²⁵
	122.000	75.63	06/04/20	71.98	...	3.65	3 of 4 - Sale ²⁵
	200.000	124.00	06/04/20	118.00	...	6.00	4 of 4 - Sale ²⁵
06/05/20	500.000	309.99	Various	295.00	...	14.99	Total of 4 transactions
CLEARSIDE BIOMEDICAL, INC. COM MON STOCK / CUSIP: 185063104 / Symbol:							
2 transactions for 03/06/20. Total proceeds and cost reported to the IRS.							
	63.000	201.59	03/05/20	204.12	2.53 W	0.00	1 of 2 - Sale ²⁵
	87.000	278.39	03/05/20	281.88	3.49 W	0.00	2 of 2 - Sale ²⁵
03/06/20	150.000	479.98	Various	486.00	6.02 W	0.00	Total of 2 transactions
3 transactions for 03/19/20. Total proceeds and cost reported to the IRS.							
	87.000	113.96	03/18/20	136.59	...	-22.63	1 of 3 - Sale ²⁵
	63.000	82.52	03/18/20	98.91	...	-16.39	2 of 3 - Sale ²⁵
	50.000	65.50	03/18/20	76.50	...	-11.00	3 of 3 - Sale ²⁵
03/19/20	200.000	261.98	Various	312.00	...	-50.02	Total of 3 transactions
	Security total:	741.96		798.00	6.02 W	-50.02	
CO-DIAGNOSTICS, INC. COMMON ST OCK / CUSIP: 189763105 / Symbol:							
03/03/20	20.000	305.20	03/02/20	343.60	38.40 W	0.00	Sale ²⁵
3 transactions for 03/10/20. Total proceeds and cost reported to the IRS.							
	20.000	183.39	03/09/20	297.60	114.21 W	0.00	1 of 3 - Sale ²⁵
	30.000	275.09	03/09/20	388.80	113.71 W	0.00	2 of 3 - Sale ²⁵
	25.000	229.24	03/09/20	325.00	95.76 W	0.00	3 of 3 - Sale ²⁵
03/10/20	75.000	687.72	Various	1,011.40	323.68 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CO-DIAGNOSTICS, INC. COMMON STOCK / CUSIP: 189763105 / Symbol: (cont'd)							
<i>9 transactions for 03/12/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	14.00	03/10/20	11.71	...	2.29	1 of 9 - Sale ²⁵
	2.000	28.00	03/10/20	23.42	...	4.58	2 of 9 - Sale ²⁵
	7.000	98.00	03/10/20	81.97	...	16.03	3 of 9 - Sale ²⁵
	10.000	140.00	03/10/20	117.11	...	22.89	4 of 9 - Sale ²⁵
	25.000	349.99	03/10/20	245.76	...	104.23	5 of 9 - Sale ²⁵
	30.000	419.98	03/10/20	293.71	...	126.27	6 of 9 - Sale ²⁵
	25.000	349.99	03/10/20	150.00	...	199.99	7 of 9 - Sale ²⁵
	18.000	251.99	03/11/20	142.02	...	109.97	8 of 9 - Sale ²⁵
	32.000	448.00	03/11/20	252.48	...	195.52	9 of 9 - Sale ²⁵
03/12/20	150.000	2,099.95	Various	1,318.18	...	781.77	Total of 9 transactions
03/16/20	50.000	500.98	03/13/20	547.50	46.52 W	0.00	Sale ²⁵
<i>2 transactions for 03/18/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	218.99	03/17/20	280.41	61.42 W	0.00	1 of 2 - Sale ²⁵
	30.000	328.49	03/17/20	420.91	92.42 W	0.00	2 of 2 - Sale ²⁵
03/18/20	50.000	547.48	Various	701.32	153.84 W	0.00	Total of 2 transactions
<i>7 transactions for 03/23/20. Total proceeds and cost reported to the IRS.</i>							
	18.000	178.74	03/20/20	255.08	76.34 W	0.00	1 of 7 - Sale ²⁵
	2.000	19.86	03/20/20	28.34	8.48 W	0.00	2 of 7 - Sale ²⁵
	5.000	49.65	03/20/20	67.38	17.73 W	0.00	3 of 7 - Sale ²⁵
	2.000	19.84	03/20/20	29.27	9.43 W	0.00	4 of 7 - Sale ²⁵
	18.000	178.56	03/20/20	263.45	84.89 W	0.00	5 of 7 - Sale ²⁵
	5.000	49.60	03/20/20	67.38	17.78 W	0.00	6 of 7 - Sale ²⁵
	20.000	198.00	03/20/20	269.51	71.51 W	0.00	7 of 7 - Sale ²⁵
03/23/20	70.000	694.25	Various	980.41	286.16 W	0.00	Total of 7 transactions
<i>5 transactions for 03/25/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	15.72	03/24/20	27.43	11.71 W	0.00	1 of 5 - Sale ²⁵
	18.000	141.48	03/24/20	246.89	105.41 W	0.00	2 of 5 - Sale ²⁵
	5.000	39.30	03/24/20	62.73	23.43 W	0.00	3 of 5 - Sale ²⁵
	5.000	39.30	03/24/20	62.78	23.48 W	0.00	4 of 5 - Sale ²⁵
	10.000	78.60	03/24/20	125.76	47.16 W	0.00	5 of 5 - Sale ²⁵
03/25/20	40.000	314.40	Various	525.59	211.19 W	0.00	Total of 5 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CO-DIAGNOSTICS, INC. COMMON STOCK / CUSIP: 189763105 / Symbol: (cont'd)							
<i>4 transactions for 03/27/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	18.30	03/26/20	30.31	12.01 W	0.00	1 of 4 - Sale ²⁵
	18.000	164.70	03/26/20	272.80	108.10 W	0.00	2 of 4 - Sale ²⁵
	5.000	45.75	03/26/20	69.93	24.18 W	0.00	3 of 4 - Sale ²⁵
	10.000	91.50	03/26/20	128.75	37.25 W	0.00	4 of 4 - Sale ²⁵
03/27/20	35.000	320.25	Various	501.79	181.54 W	0.00	Total of 4 transactions
<i>5 transactions for 04/02/20. Total proceeds and cost reported to the IRS.</i>							
	15.000	115.50	04/01/20	200.33	84.83 W	0.00	1 of 5 - Sale ²⁵
	5.000	38.50	04/01/20	60.23	21.73 W	0.00	2 of 5 - Sale ²⁵
	10.000	77.00	04/01/20	120.66	43.66 W	0.00	3 of 5 - Sale ²⁵
	2.000	15.40	04/01/20	26.71	11.31 W	0.00	4 of 5 - Sale ²⁵
	3.000	23.10	04/01/20	40.07	16.97 W	0.00	5 of 5 - Sale ²⁵
04/02/20	35.000	269.50	Various	448.00	178.50 W	0.00	Total of 5 transactions
<i>7 transactions for 04/07/20. Total proceeds and cost reported to the IRS.</i>							
	15.000	142.80	04/06/20	244.43	...	-101.63	1 of 7 - Sale ²⁵
	10.000	95.20	04/06/20	150.05	...	-54.85	2 of 7 - Sale ²⁵
	10.000	95.20	04/06/20	143.65	...	-48.45	3 of 7 - Sale ²⁵
	5.000	47.60	04/06/20	77.38	...	-29.78	4 of 7 - Sale ²⁵
	5.000	47.60	04/06/20	74.93	...	-27.33	5 of 7 - Sale ²⁵
	3.000	28.56	04/06/20	48.89	...	-20.33	6 of 7 - Sale ²⁵
	2.000	19.04	04/06/20	32.59	...	-13.55	7 of 7 - Sale ²⁵
04/07/20	50.000	476.00	Various	771.92	...	-295.92	Total of 7 transactions
<i>4 transactions for 05/15/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	445.02	05/15/20	492.50	...	-47.48	1 of 4 - Sale ²⁵
	18.000	320.41	05/15/20	348.30	...	-27.89	2 of 4 - Sale ²⁵
	5.000	89.00	05/15/20	97.20	...	-8.20	3 of 4 - Sale ²⁵
	2.000	35.60	05/15/20	38.70	...	-3.10	4 of 4 - Sale ²⁵
05/15/20	50.000	890.03	Various	976.70	...	-86.67	Total of 4 transactions
06/16/20	10.000	171.70	06/15/20	164.10	...	7.60	Sale ²⁵
<i>2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	1,704.45	06/19/20	1,759.00	54.55 W	0.00	1 of 2 - Sale ²⁵
	50.000	857.48	06/19/20	899.54	42.06 W	0.00	2 of 2 - Sale ²⁵
06/19/20	150.000	2,561.93	Various	2,658.54	96.61 W	0.00	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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CO-DIAGNOSTICS, INC. COMMON ST OCK / CUSIP: 189763105 / Symbol: (cont'd)							
<i>10 transactions for 06/30/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	386.49	06/30/20	416.84	...	-30.35	1 of 10 - Sale ²⁵
	25.000	483.11	06/30/20	505.79	...	-22.68	2 of 10 - Sale ²⁵
	20.000	386.49	06/30/20	405.02	...	-18.53	3 of 10 - Sale ²⁵
	25.000	483.11	06/30/20	501.39	...	-18.28	4 of 10 - Sale ²⁵
	25.000	483.11	06/30/20	498.40	...	-15.29	5 of 10 - Sale ²⁵
	18.000	347.84	06/30/20	357.67	...	-9.83	6 of 10 - Sale ²⁵
	5.000	96.62	06/30/20	104.48	...	-7.86	7 of 10 - Sale ²⁵
	7.000	135.27	06/30/20	139.17	...	-3.90	8 of 10 - Sale ²⁵
	5.000	96.62	06/30/20	99.08	...	-2.46	9 of 10 - Sale ²⁵
	20.000	384.49	06/30/20	378.91	...	5.58	10 of 10 - Sale ²⁵
06/30/20	170.000	3,283.15	Various	3,406.75	...	-123.60	Total of 10 transactions
<i>7 transactions for 06/30/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	480.61	06/30/20	492.63	9.62 W	-2.40	1 of 7 - Sale ²⁵
	5.000	96.12	06/30/20	96.68	0.56 W	0.00	2 of 7 - Sale ²⁵
	25.000	480.61	06/30/20	486.38	5.77 W	0.00	3 of 7 - Sale ²⁵
	25.000	480.61	06/30/20	493.78	13.17 W	0.00	4 of 7 - Sale ²⁵
	25.000	480.61	06/30/20	509.03	28.42 W	0.00	5 of 7 - Sale ²⁵
	25.000	480.61	06/30/20	488.00	7.39 W	0.00	6 of 7 - Sale ²⁵
	25.000	480.61	06/30/20	483.38	2.77 W	0.00	7 of 7 - Sale ²⁵
06/30/20	155.000	2,979.78	Various	3,049.88	67.70 W	-2.40	Total of 7 transactions
	Security total:	16,102.32		17,405.68	1,584.14 W	280.78	
COCRYSTAL PHARMA, INC. COMMON STOCK / CUSIP: 19188J300 / Symbol:							
<i>2 transactions for 03/09/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	180.99	03/06/20	156.00	...	24.99	1 of 2 - Sale ²⁵
	200.000	361.98	03/06/20	336.00	...	25.98	2 of 2 - Sale ²⁵
03/09/20	300.000	542.97	Various	492.00	...	50.97	Total of 2 transactions
<i>3 transactions for 04/16/20. Total proceeds and cost reported to the IRS.</i>							
	244.000	219.57	04/15/20	219.60	...	-0.03	1 of 3 - Sale ²⁵
	104.000	93.59	04/15/20	93.60	...	-0.01	2 of 3 - Sale ²⁵
	2.000	1.80	04/15/20	1.80	...	0.00	3 of 3 - Sale ²⁵
04/16/20	350.000	314.96	Various	315.00	...	-0.04	Total of 3 transactions

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(continued)

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COCRYSTAL PHARMA, INC. COMMON STOCK / CUSIP: 19188J300 / Symbol: (cont'd)							
06/10/20	100.000	109.01	06/09/20	109.00	...	0.01	Sale ²⁵
06/10/20	100.000	109.01	06/09/20	112.00	2.99 W	0.00	Sale ²⁵
06/16/20	100.000	147.99	06/15/20	153.99	6.00 W	0.00	Sale ²⁵
	2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	100.000	153.48	06/24/20	151.98	...	1.50	1 of 2 - Sale ²⁵
	150.000	230.23	06/24/20	218.97	...	11.26	2 of 2 - Sale ²⁵
06/25/20	250.000	383.71	Various	370.95	...	12.76	Total of 2 transactions
06/25/20	250.000	383.71	06/24/20	385.00	1.29 W	0.00	Sale ²⁵
06/26/20	250.000	389.97	06/25/20	409.29	...	-19.32	Sale ²⁵
	Security total:	2,381.33		2,347.23	10.28 W	44.38	
COMMUNITY HEALTH SYSTEMS, INC. / CUSIP: 203668108 / Symbol:							
	4 transactions for 02/24/20. Total proceeds and cost reported to the IRS.						
	50.000	300.55	02/20/20	318.36	17.81 W	0.00	1 of 4 - Sale ²⁵
	1.000	6.01	02/20/20	6.90	0.89 W	0.00	2 of 4 - Sale ²⁵
	19.000	114.21	02/20/20	131.10	16.89 W	0.00	3 of 4 - Sale ²⁵
	30.000	180.33	02/21/20	211.72	10.46 W	-20.93	4 of 4 - Sale ²⁵
02/24/20	100.000	601.10	Various	668.08	46.05 W	-20.93	Total of 4 transactions
	4 transactions for 03/06/20. Total proceeds and cost reported to the IRS.						
	50.000	247.71	03/05/20	296.22	...	-48.51	1 of 4 - Sale ²⁵
	19.000	94.13	03/05/20	122.69	...	-28.56	2 of 4 - Sale ²⁵
	10.000	49.54	03/05/20	66.14	...	-16.60	3 of 4 - Sale ²⁵
	1.000	4.95	03/05/20	6.46	...	-1.51	4 of 4 - Sale ²⁵
03/06/20	80.000	396.33	Various	491.51	...	-95.18	Total of 4 transactions
05/19/20	100.000	302.99	05/18/20	314.77	11.78 W	0.00	Sale ²⁵
05/26/20	100.000	318.99	05/22/20	336.55	...	-17.56	Sale ²⁵
07/06/20	150.000	490.83	07/06/20	498.00	...	-7.17	Sale ²⁵
	Security total:	2,110.24		2,308.91	57.83 W	-140.84	
COMPANHIA SIDERURGICA NACIONAL S.A. (CSN) / CUSIP: 20440W105 / Symbol:							
02/27/20	100.000	253.01	02/26/20	259.00	3.00 W	-2.99	Sale ²⁵
03/05/20	50.000	129.50	03/04/20	137.42	...	-7.92	Sale ²⁵
	Security total:	382.51		396.42	3.00 W	-10.91	

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Account 412265225

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2020 1099-B* OMB No. 1545-0715

(continued)

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CONDUENT INCORPORATED COMMON S TOCK / CUSIP: 206787103 / Symbol:							
06/09/20	150.000	532.46	06/08/20	511.49	...	20.97	Sale ²⁵
CONTROLADORA VUELA COMPANIA DE AVIACION, S.A.B. DE C.V. / CUSIP: 21240E105 / Symbol:							
06/08/20	100.000	692.98	06/05/20	710.00	...	-17.02	Sale ²⁵
COREPOINT LODGING INC. COMMON STOCK / CUSIP: 21872L104 / Symbol:							
<i>10 transactions for 03/16/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	100.00	03/13/20	113.75	...	-13.75	1 of 10 - Sale ²⁵
	14.000	55.86	03/13/20	63.70	...	-7.84	2 of 10 - Sale ²⁵
	13.000	52.00	03/13/20	59.14	...	-7.14	3 of 10 - Sale ²⁵
	9.000	35.91	03/13/20	40.95	...	-5.04	4 of 10 - Sale ²⁵
	5.000	19.95	03/13/20	22.75	...	-2.80	5 of 10 - Sale ²⁵
	4.000	16.00	03/13/20	18.20	...	-2.20	6 of 10 - Sale ²⁵
	2.000	7.98	03/13/20	9.10	...	-1.12	7 of 10 - Sale ²⁵
	1.000	3.99	03/13/20	4.55	...	-0.56	8 of 10 - Sale ²⁵
	1.000	4.00	03/13/20	4.55	...	-0.55	9 of 10 - Sale ²⁵
	1.000	4.00	03/13/20	4.55	...	-0.55	10 of 10 - Sale ²⁵
03/16/20	75.000	299.69	Various	341.24	...	-41.55	Total of 10 transactions
CORREVIO PHARMA CORP. ORDINARY SHARES (CANADA) / CUSIP: 22026V105 / Symbol:							
03/03/20	200.000	80.60	02/28/20	92.00	11.40 W	0.00	Sale ²⁵
<i>4 transactions for 03/06/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	1.88	03/05/20	2.39	0.51 W	0.00	1 of 4 - Sale ²⁵
	100.000	47.00	03/05/20	59.70	12.70 W	0.00	2 of 4 - Sale ²⁵
	96.000	45.12	03/05/20	57.31	12.19 W	0.00	3 of 4 - Sale ²⁵
	200.000	93.99	03/05/20	108.00	14.01 W	0.00	4 of 4 - Sale ²⁵
03/06/20	400.000	187.99	Various	227.40	39.41 W	0.00	Total of 4 transactions
<i>6 transactions for 03/18/20. Total proceeds and cost reported to the IRS.</i>							
	200.000	66.18	03/17/20	84.01	...	-17.83	1 of 6 - Sale ²⁵
	96.000	31.76	03/17/20	45.79	...	-14.03	2 of 6 - Sale ²⁵
	66.000	22.14	03/17/20	31.48	...	-9.34	3 of 6 - Sale ²⁵
	34.000	11.25	03/17/20	16.22	...	-4.97	4 of 6 - Sale ²⁵
	100.000	33.09	03/17/20	35.00	...	-1.91	5 of 6 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CORREVIO PHARMA CORP. ORDINARY SHARES (CANADA) / CUSIP: 22026V105 / Symbol: (cont'd)							
	4.000	1.34	03/17/20	1.91	...	-0.57	6 of 6 - Sale ²⁵
03/18/20	500.000	165.76	Various	214.41	...	-48.65	Total of 6 transactions
	Security total:	434.35		533.81	50.81 W	-48.65	
COTY INC / CUSIP: 222070203 / Symbol:							
<i>12 transactions for 06/29/20. Total proceeds and cost reported to the IRS.</i>							
	150.000	706.46	06/29/20	725.46	...	-19.00	1 of 12 - Sale ²⁵
	249.000	1,170.24	06/29/20	1,182.70	...	-12.46	2 of 12 - Sale ²⁵
	301.000	1,417.64	06/29/20	1,429.69	...	-12.05	3 of 12 - Sale ²⁵
	100.000	470.98	06/29/20	482.80	...	-11.82	4 of 12 - Sale ²⁵
	49.000	230.78	06/29/20	233.14	...	-2.36	5 of 12 - Sale ²⁵
	49.000	231.28	06/29/20	233.14	...	-1.86	6 of 12 - Sale ²⁵
	2.000	9.42	06/29/20	9.52	...	-0.10	7 of 12 - Sale ²⁵
	1.000	4.72	06/29/20	4.75	...	-0.03	8 of 12 - Sale ²⁵
	25.000	117.79	06/29/20	115.71	...	2.08	9 of 12 - Sale ²⁵
	25.000	117.79	06/29/20	115.50	...	2.29	10 of 12 - Sale ²⁵
	50.000	234.58	06/29/20	231.00	...	3.58	11 of 12 - Sale ²⁵
	200.000	938.31	06/29/20	924.00	...	14.31	12 of 12 - Sale ²⁵
06/29/20	1,201.000	5,649.99	Various	5,687.41	...	-37.42	Total of 12 transactions
<i>5 transactions for 06/29/20. Total proceeds and cost reported to the IRS.</i>							
	99.000	468.26	06/29/20	470.55	1.21 W	-1.08	1 of 5 - Sale ²⁵
	100.000	471.18	06/29/20	471.50	0.32 W	0.00	2 of 5 - Sale ²⁵
	100.000	471.18	06/29/20	472.00	0.82 W	0.00	3 of 5 - Sale ²⁵
	100.000	471.18	06/29/20	479.00	7.82 W	0.00	4 of 5 - Sale ²⁵
	150.000	706.76	06/29/20	719.75	12.99 W	0.00	5 of 5 - Sale ²⁵
06/29/20	549.000	2,588.56	Various	2,612.80	23.16 W	-1.08	Total of 5 transactions
<i>3 transactions for 07/02/20. Total proceeds and cost reported to the IRS.</i>							
	45.000	198.22	07/02/20	203.46	...	-5.24	1 of 3 - Sale ²⁵
	5.000	22.02	07/02/20	22.66	...	-0.64	2 of 3 - Sale ²⁵
	2.000	8.81	07/02/20	9.05	...	-0.24	3 of 3 - Sale ²⁵
07/02/20	52.000	229.05	Various	235.17	...	-6.12	Total of 3 transactions
	Security total:	8,467.60		8,535.38	23.16 W	-44.62	

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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COVENANT LOGISTICS GROUP, INC. CLASS A COMMON STOCK / CUSIP: 22284P105 / Symbol:							
05/22/20	40.000	432.40	05/21/20	408.80	...	23.60	Sale ²⁵
CREATIVE REALITIES, INC. COMMO N STOCK / CUSIP: 22530J200 / Symbol:							
2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	49.000	172.97	05/11/20	192.08	...	-19.11	1 of 2 - Sale ²⁵
	1.000	3.53	05/11/20	3.79	...	-0.26	2 of 2 - Sale ²⁵
05/12/20	50.000	176.50	Various	195.87	...	-19.37	Total of 2 transactions
CRESCENT POINT ENERGY CORP. / CUSIP: 22576C101 / Symbol:							
04/06/20	80.000	79.99	04/03/20	88.80	...	-8.81	Sale ²⁵
2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	150.000	245.98	07/02/20	248.25	...	-2.27	1 of 2 - Sale ²⁵
	100.000	163.99	07/02/20	165.50	...	-1.51	2 of 2 - Sale ²⁵
07/02/20	250.000	409.97	Various	413.75	...	-3.78	Total of 2 transactions
	Security total:	489.96		502.55	...	-12.59	
CRONOS GROUP INC. COMMON SHARE / CUSIP: 22717L101 / Symbol:							
03/06/20	50.000	285.21	03/05/20	303.42	18.21 W	0.00	Sale ²⁵
03/23/20	20.000	114.00	03/20/20	127.28	13.28 W	0.00	Sale ²⁵
3 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	20.000	114.00	03/25/20	128.68	...	-14.68	1 of 3 - Sale ²⁵
	30.000	170.99	03/25/20	184.03	...	-13.04	2 of 3 - Sale ²⁵
	25.000	142.50	03/25/20	144.25	...	-1.75	3 of 3 - Sale ²⁵
03/26/20	75.000	427.49	Various	456.96	...	-29.47	Total of 3 transactions
06/09/20	50.000	386.00	06/08/20	395.00	9.00 W	0.00	Sale ²⁵
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	50.000	335.99	06/16/20	353.92	...	-17.93	1 of 2 - Sale ²⁵
	50.000	335.98	06/16/20	344.92	...	-8.94	2 of 2 - Sale ²⁵
06/17/20	100.000	671.97	Various	698.84	...	-26.87	Total of 2 transactions
	Security total:	1,884.67		1,981.50	40.49 W	-56.34	

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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CROWDSTRIKE HOLDINGS, INC. CLA SS A COMMON STOCK / CUSIP: 22788C105 / Symbol:							
05/20/20	5.000	401.23	05/19/20	397.45	...	3.78	Sale ²⁵
	3 transactions for 05/26/20. Total proceeds and cost reported to the IRS.						
	5.000	416.38	05/22/20	411.20	...	5.18	1 of 3 - Sale ²⁵
	5.000	416.39	05/22/20	410.29	...	6.10	2 of 3 - Sale ²⁵
	5.000	416.60	05/22/20	410.29	...	6.31	3 of 3 - Sale ²⁵
05/26/20	15.000	1,249.37	Various	1,231.78	...	17.59	Total of 3 transactions
	5 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	4.000	426.73	06/25/20	431.96	...	-5.23	1 of 5 - Sale ²⁵
	1.000	106.68	06/25/20	107.97	...	-1.29	2 of 5 - Sale ²⁵
	1.000	106.68	06/25/20	103.80	...	2.88	3 of 5 - Sale ²⁵
	2.000	213.37	06/25/20	207.58	...	5.79	4 of 5 - Sale ²⁵
	7.000	746.78	06/25/20	728.00	...	18.78	5 of 5 - Sale ²⁵
06/25/20	15.000	1,600.24	Various	1,579.31	...	20.93	Total of 5 transactions
	Security total:	3,250.84		3,208.54	...	42.30	
CYCLERION THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 23255M105 / Symbol:							
03/17/20	150.000	380.05	03/16/20	371.46	...	8.59	Sale ²⁵
06/22/20	100.000	515.97	06/19/20	512.99	...	2.98	Sale ²⁵
	Security total:	896.02		884.45	...	11.57	
CYMABAY THERAPEUTICS INC. COMM ON STOCK / CUSIP: 23257D103 / Symbol:							
05/13/20	1.000	4.11	05/12/20	4.40	...	-0.29	Sale ²⁵
DAVE & BUSTER'S ENTERTAINMENT, INC. COMMON STOCK / CUSIP: 238337109 / Symbol:							
04/15/20	25.000	277.50	04/14/20	307.43	...	-29.93	Sale ²⁵
05/29/20	20.000	263.29	05/28/20	298.56	...	-35.27	Sale ²⁵
05/29/20	30.000	394.94	05/27/20	440.97	38.36 W	-7.67	Sale ²⁵
	2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.						
	24.000	384.03	06/11/20	430.91	...	-46.88	1 of 2 - Sale ²⁵
	1.000	16.00	06/11/20	15.82	...	0.18	2 of 2 - Sale ²⁵
06/12/20	25.000	400.03	Various	446.73	...	-46.70	Total of 2 transactions
	Security total:	1,335.76		1,493.69	38.36 W	-119.57	

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
03/23/20	10.000	218.30	03/20/20	215.65	...	2.65	Sale ²⁵
03/27/20	10.000	310.80	03/26/20	325.80	...	-15.00	Sale ²⁵
4 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	3.000	77.70	04/29/20	77.57	...	0.13	1 of 4 - Sale ²⁵
	4.000	103.60	04/29/20	103.43	...	0.17	2 of 4 - Sale ²⁵
	5.000	129.50	04/29/20	129.28	...	0.22	3 of 4 - Sale ²⁵
	8.000	207.20	04/29/20	206.86	...	0.34	4 of 4 - Sale ²⁵
04/30/20	20.000	518.00	Various	517.14	...	0.86	Total of 4 transactions
04/30/20	10.000	259.00	04/29/20	265.26	6.26 W	0.00	Sale ²⁵
4 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	1.000	23.00	05/04/20	22.18	...	0.82	1 of 4 - Sale ²⁵
	4.000	92.00	05/04/20	86.36	...	5.64	2 of 4 - Sale ²⁵
	9.000	206.99	05/04/20	199.94	...	7.05	3 of 4 - Sale ²⁵
	16.000	367.99	05/04/20	345.44	...	22.55	4 of 4 - Sale ²⁵
05/05/20	30.000	689.98	Various	653.92	...	36.06	Total of 4 transactions
05/06/20	25.000	546.24	05/05/20	558.22	11.98 W	0.00	Sale ²⁵
2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	25.000	546.24	05/06/20	544.64	...	1.60	1 of 2 - Sale ²⁵
	25.000	546.23	05/06/20	532.65	...	13.58	2 of 2 - Sale ²⁵
05/12/20	50.000	1,092.47	Various	1,077.29	...	15.18	Total of 2 transactions
05/19/20	50.000	1,099.97	05/18/20	1,060.88	...	39.09	Sale ²⁵
05/20/20	25.000	562.54	05/19/20	549.21	...	13.33	Sale ²⁵
3 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	2.000	51.40	05/22/20	46.56	...	4.84	1 of 3 - Sale ²⁵
	3.000	77.10	05/22/20	69.84	...	7.26	2 of 3 - Sale ²⁵
	5.000	128.60	05/22/20	116.40	...	12.20	3 of 3 - Sale ²⁵
05/26/20	10.000	257.10	Various	232.80	...	24.30	Total of 3 transactions
05/27/20	10.000	270.00	05/22/20	232.80	...	37.20	Sale ²⁵
05/28/20	30.000	779.98	05/27/20	768.90	...	11.08	Sale ²⁵
05/29/20	20.000	493.65	05/28/20	513.97	20.32 W	0.00	Sale ²⁵
5 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	5.000	131.90	05/29/20	122.75	...	9.15	1 of 5 - Sale ²⁵
	20.000	527.59	05/29/20	511.32	...	16.27	2 of 5 - Sale ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol: (cont'd)							
	15.000	395.69	05/29/20	376.18	...	19.51	3 of 5 - Sale ²⁵
	10.000	263.79	06/01/20	263.18	...	0.61	4 of 5 - Sale ²⁵
	10.000	263.79	06/01/20	254.92	...	8.87	5 of 5 - Sale ²⁵
06/02/20	60.000	1,582.76	Various	1,528.35	...	54.41	Total of 5 transactions
	4 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	7.000	244.36	06/03/20	193.13	...	51.23	1 of 4 - Sale ²⁵
	18.000	628.37	06/03/20	496.62	...	131.75	2 of 4 - Sale ²⁵
	2.000	69.82	06/04/20	60.96	...	8.86	3 of 4 - Sale ²⁵
	23.000	802.91	06/04/20	701.04	...	101.87	4 of 4 - Sale ²⁵
06/05/20	50.000	1,745.46	Various	1,451.75	...	293.71	Total of 4 transactions
06/15/20	10.000	283.00	06/12/20	303.28	20.28 W	0.00	Sale ²⁵
	2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	10.000	326.19	06/15/20	307.06	...	19.13	1 of 2 - Sale ²⁵
	15.000	489.29	06/15/20	436.65	...	52.64	2 of 2 - Sale ²⁵
06/16/20	25.000	815.48	Various	743.71	...	71.77	Total of 2 transactions
06/19/20	20.000	607.58	06/19/20	627.40	19.82 W	0.00	Sale ²⁵
06/22/20	20.000	587.38	06/22/20	613.34	25.96 W	0.00	Sale ²⁵
	3 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	20.000	546.59	06/24/20	575.56	28.97 W	0.00	1 of 3 - Sale ²⁵
	7.000	191.30	06/24/20	192.36	1.06 W	0.00	2 of 3 - Sale ²⁵
	23.000	628.57	06/24/20	632.04	3.47 W	0.00	3 of 3 - Sale ²⁵
06/24/20	50.000	1,366.46	Various	1,399.96	33.50 W	0.00	Total of 3 transactions
	6 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	7.000	185.64	06/25/20	187.31	1.67 W	0.00	1 of 6 - Sale ²⁵
	20.000	530.39	06/25/20	561.11	30.72 W	0.00	2 of 6 - Sale ²⁵
	23.000	609.94	06/25/20	615.42	5.48 W	0.00	3 of 6 - Sale ²⁵
	7.000	192.57	06/25/20	194.58	2.01 W	0.00	4 of 6 - Sale ²⁵
	20.000	550.20	06/25/20	581.89	31.69 W	0.00	5 of 6 - Sale ²⁵
	23.000	632.72	06/25/20	639.32	6.60 W	0.00	6 of 6 - Sale ²⁵
06/25/20	100.000	2,701.46	Various	2,779.63	78.17 W	0.00	Total of 6 transactions
	6 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	23.000	616.39	06/26/20	625.76	...	-9.37	1 of 6 - Sale ²⁵
	50.000	1,339.97	06/26/20	1,346.00	...	-6.03	2 of 6 - Sale ²⁵

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(continued)

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DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol: (cont'd)							
	7.000	187.60	06/26/20	190.45	...	-2.85	3 of 6 - Sale ²⁵
	99.000	2,653.15	06/29/20	2,680.92	...	-27.77	4 of 6 - Sale ²⁵
	14.000	390.18	06/29/20	412.91	...	-22.73	5 of 6 - Sale ²⁵
	1.000	27.87	06/29/20	28.79	...	-0.92	6 of 6 - Sale ²⁵
06/29/20	194.000	5,215.16	Various	5,284.83	...	-69.67	Total of 6 transactions
06/29/20	20.000	535.99	06/26/20	570.09	25.58 W	-8.52	Sale ²⁵
	Security total:	22,538.76		22,274.18	241.87 W	506.45	
DENBURY RESOURCES, INC. / CUSIP: 247916208 / Symbol:							
01/09/20	100.000	139.49	01/08/20	153.50	14.01 W	0.00	Sale ²⁵
01/15/20	60.000	77.69	01/14/20	87.91	1.70 W	-8.52	Sale ²⁵
	2 transactions for 01/29/20. Total proceeds and cost reported to the IRS.						
	40.000	41.20	01/28/20	47.20	...	-6.00	1 of 2 - Sale ²⁵
	10.000	10.30	01/28/20	12.10	...	-1.80	2 of 2 - Sale ²⁵
01/29/20	50.000	51.50	Various	59.30	...	-7.80	Total of 2 transactions
	3 transactions for 03/23/20. Total proceeds and cost reported to the IRS.						
	230.000	64.74	03/20/20	67.44	2.70 W	0.00	1 of 3 - Sale ²⁵
	270.000	75.59	03/20/20	79.16	3.57 W	0.00	2 of 3 - Sale ²⁵
	200.000	56.00	03/20/20	61.01	5.01 W	0.00	3 of 3 - Sale ²⁵
03/23/20	700.000	196.33	Various	207.61	11.28 W	0.00	Total of 3 transactions
	5 transactions for 03/26/20. Total proceeds and cost reported to the IRS.						
	460.000	124.15	03/25/20	135.61	...	-11.46	1 of 5 - Sale ²⁵
	230.000	62.07	03/25/20	70.85	...	-8.78	2 of 5 - Sale ²⁵
	40.000	10.79	03/25/20	12.32	...	-1.53	3 of 5 - Sale ²⁵
	30.000	8.10	03/25/20	9.19	...	-1.09	4 of 5 - Sale ²⁵
	40.000	10.80	03/25/20	11.79	...	-0.99	5 of 5 - Sale ²⁵
03/26/20	800.000	215.91	Various	239.76	...	-23.85	Total of 5 transactions
03/26/20	200.000	53.98	03/25/20	63.97	7.24 W	-2.75	Sale ²⁵
	3 transactions for 04/06/20. Total proceeds and cost reported to the IRS.						
	125.000	28.57	04/03/20	37.48	8.91 W	0.00	1 of 3 - Sale ²⁵
	10.000	2.29	04/03/20	3.00	0.71 W	0.00	2 of 3 - Sale ²⁵
	10.000	2.28	04/03/20	3.00	0.72 W	0.00	3 of 3 - Sale ²⁵
04/06/20	145.000	33.14	Various	43.48	10.34 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DENBURY RESOURCES, INC. / CUSIP: 247916208 / Symbol: (cont'd)							
<i>2 transactions for 04/28/20. Total proceeds and cost reported to the IRS.</i>							
	52.000	14.56	04/27/20	13.52	...	1.04	1 of 2 - Sale ²⁵
04/28/20	203.000	56.83	04/27/20	52.78	...	4.05	2 of 2 - Sale ²⁵
	255.000	71.39	Various	66.30	...	5.09	Total of 2 transactions
<i>4 transactions for 04/28/20. Total proceeds and cost reported to the IRS.</i>							
	40.000	11.20	04/27/20	13.25	2.05 W	0.00	1 of 4 - Sale ²⁵
	10.000	2.80	04/27/20	3.31	0.51 W	0.00	2 of 4 - Sale ²⁵
	10.000	2.80	04/27/20	3.32	0.52 W	0.00	3 of 4 - Sale ²⁵
04/28/20	85.000	23.80	04/27/20	28.16	4.36 W	0.00	4 of 4 - Sale ²⁵
	145.000	40.60	Various	48.04	7.44 W	0.00	Total of 4 transactions
<i>3 transactions for 05/01/20. Total proceeds and cost reported to the IRS.</i>							
	355.000	124.95	04/30/20	129.22	...	-4.27	1 of 3 - Sale ²⁵
	10.000	3.52	04/30/20	4.16	...	-0.64	2 of 3 - Sale ²⁵
05/01/20	10.000	3.52	04/30/20	4.15	...	-0.63	3 of 3 - Sale ²⁵
	375.000	131.99	Various	137.53	...	-5.54	Total of 3 transactions
<i>2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.</i>							
	85.000	29.92	04/30/20	35.30	3.80 W	-1.58	1 of 2 - Sale ²⁵
05/01/20	40.000	14.08	04/30/20	16.61	2.53 W	0.00	2 of 2 - Sale ²⁵
	125.000	44.00	Various	51.91	6.33 W	-1.58	Total of 2 transactions
<i>2 transactions for 05/22/20. Total proceeds and cost reported to the IRS.</i>							
	40.000	10.00	05/21/20	13.21	3.21 W	0.00	1 of 2 - Sale ²⁵
05/22/20	60.000	15.01	05/21/20	19.82	4.81 W	0.00	2 of 2 - Sale ²⁵
	100.000	25.01	Various	33.03	8.02 W	0.00	Total of 2 transactions
<i>5 transactions for 06/09/20. Total proceeds and cost reported to the IRS.</i>							
	40.000	24.13	06/08/20	24.21	0.08 W	0.00	1 of 5 - Sale ²⁵
	60.000	36.20	06/08/20	36.31	0.11 W	0.00	2 of 5 - Sale ²⁵
	100.000	60.33	06/08/20	62.80	2.47 W	0.00	3 of 5 - Sale ²⁵
	100.000	60.33	06/08/20	62.80	2.47 W	0.00	4 of 5 - Sale ²⁵
06/09/20	200.000	120.65	06/08/20	125.78	5.13 W	0.00	5 of 5 - Sale ²⁵
	500.000	301.64	Various	311.90	10.26 W	0.00	Total of 5 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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DENBURY RESOURCES, INC. / CUSIP: 247916208 / Symbol: (cont'd)							
3 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	40.000	20.40	06/09/20	24.28	3.88 W	0.00	1 of 3 - Sale ²⁵
	60.000	30.59	06/09/20	36.40	5.81 W	0.00	2 of 3 - Sale ²⁵
	100.000	50.99	06/09/20	62.96	11.97 W	0.00	3 of 3 - Sale ²⁵
06/10/20	200.000	101.98	Various	123.64	21.66 W	0.00	Total of 3 transactions
7 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	100.000	47.00	06/16/20	59.95	...	-12.95	1 of 7 - Sale ²⁵
	200.000	93.99	06/16/20	101.09	...	-7.10	2 of 7 - Sale ²⁵
	60.000	28.20	06/16/20	35.19	...	-6.99	3 of 7 - Sale ²⁵
	40.000	18.80	06/16/20	23.46	...	-4.66	4 of 7 - Sale ²⁵
	100.000	47.00	06/16/20	50.45	...	-3.45	5 of 7 - Sale ²⁵
	100.000	47.00	06/16/20	47.98	...	-0.98	6 of 7 - Sale ²⁵
	50.000	23.50	06/16/20	23.99	...	-0.49	7 of 7 - Sale ²⁵
06/17/20	650.000	305.49	Various	342.11	...	-36.62	Total of 7 transactions
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	40.000	18.80	06/16/20	23.07	4.27 W	0.00	1 of 2 - Sale ²⁵
	60.000	28.20	06/16/20	34.60	6.40 W	0.00	2 of 2 - Sale ²⁵
06/17/20	100.000	47.00	Various	57.67	10.67 W	0.00	Total of 2 transactions
	Security total:	1,837.14		2,027.66	108.95 W	-81.57	
DESIGNER BRANDS INC. / CUSIP: 250565108 / Symbol:							
06/04/20	50.000	381.50	06/02/20	333.74	...	47.76	Sale ²⁵
DIGITAL ALLY, INC. COMMON STOC K / CUSIP: 25382P208 / Symbol:							
06/02/20	50.000	81.50	06/01/20	135.00	53.50 W	0.00	Sale ²⁵
06/09/20	50.000	240.01	06/08/20	233.49	...	6.52	Sale ²⁵
06/09/20	50.000	240.00	06/08/20	287.00	47.00 W	0.00	Sale ²⁵
3 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	15.000	82.50	06/09/20	117.75	35.25 W	0.00	1 of 3 - Sale ²⁵
	25.000	137.50	06/09/20	195.25	57.75 W	0.00	2 of 3 - Sale ²⁵
	10.000	55.00	06/09/20	78.00	23.00 W	0.00	3 of 3 - Sale ²⁵
06/10/20	50.000	275.00	Various	391.00	116.00 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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DIGITAL ALLY, INC. COMMON STOC K / CUSIP: 25382P208 / Symbol: (cont'd)							
6 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	25.000	79.82	06/30/20	140.00	...	-60.18	1 of 6 - Sale ²⁵
	15.000	47.89	06/30/20	84.60	...	-36.71	2 of 6 - Sale ²⁵
	10.000	31.93	06/30/20	55.90	...	-23.97	3 of 6 - Sale ²⁵
	100.000	319.28	06/30/20	328.00	...	-8.72	4 of 6 - Sale ²⁵
	50.000	159.64	06/30/20	164.75	...	-5.11	5 of 6 - Sale ²⁵
	50.000	159.64	06/30/20	164.50	...	-4.86	6 of 6 - Sale ²⁵
06/30/20	250.000	798.20	Various	937.75	...	-139.55	Total of 6 transactions
	Security total:	1,634.71		1,984.24	216.50 W	-133.03	
DIREXION DAILY JUNIOR GOLD MIN ERS INDEX BULL 2X SHARES / CUSIP: 25460E166 / Symbol:							
04/14/20	50.000	377.50	04/13/20	350.00	...	27.50	Sale ²⁵
THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol:							
2 transactions for 02/05/20. Total proceeds and cost reported to the IRS.							
	2.000	292.70	02/03/20	279.40	...	13.30	1 of 2 - Sale ²⁵
	1.000	146.35	02/04/20	145.06	...	1.29	2 of 2 - Sale ²⁵
02/05/20	3.000	439.05	Various	424.46	...	14.59	Total of 2 transactions
2 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	5.000	495.00	03/24/20	471.60	...	23.40	1 of 2 - Sale ²⁵
	5.000	503.18	03/24/20	471.60	...	31.58	2 of 2 - Sale ²⁵
03/25/20	10.000	998.18	Various	943.20	...	54.98	Total of 2 transactions
03/26/20	10.000	1,000.07	03/25/20	1,057.70	...	-57.63	Sale ²⁵
	Security total:	2,437.30		2,425.36	...	11.94	
DOCUMENT SECURITY SYSTEMS, INC . / CUSIP: 25614T200 / Symbol:							
2 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	35.000	7.32	04/08/20	7.31	...	0.01	1 of 2 - Sale ²⁵
	5.000	1.05	04/08/20	1.04	...	0.01	2 of 2 - Sale ²⁵
04/09/20	40.000	8.37	Various	8.35	...	0.02	Total of 2 transactions
11 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	100.000	20.11	04/08/20	20.89	0.78 W	0.00	1 of 11 - Sale ²⁵
	360.000	72.39	04/08/20	75.21	2.82 W	0.00	2 of 11 - Sale ²⁵

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Account 412265225

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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DOCUMENT SECURITY SYSTEMS, INC . / CUSIP: 25614T200 / Symbol: (cont'd)							
	100.000	20.11	04/08/20	21.67	1.56 W	0.00	3 of 11 - Sale ²⁵
	298.000	59.92	04/08/20	62.28	2.36 W	0.00	4 of 11 - Sale ²⁵
	298.000	59.92	04/08/20	64.64	4.72 W	0.00	5 of 11 - Sale ²⁵
	100.000	20.11	04/08/20	20.90	0.79 W	0.00	6 of 11 - Sale ²⁵
	100.000	20.11	04/08/20	22.46	2.35 W	0.00	7 of 11 - Sale ²⁵
	360.000	72.39	04/08/20	78.06	5.67 W	0.00	8 of 11 - Sale ²⁵
	142.000	28.55	04/08/20	29.68	1.13 W	0.00	9 of 11 - Sale ²⁵
	100.000	20.11	04/08/20	23.25	3.14 W	0.00	10 of 11 - Sale ²⁵
	2.000	0.40	04/08/20	0.45	0.05 W	0.00	11 of 11 - Sale ²⁵
04/09/20	1,960.000	394.12	Various	419.49	25.37 W	0.00	Total of 11 transactions
	2 transactions for 04/23/20. Total proceeds and cost reported to the IRS.						
	200.000	56.98	04/22/20	65.11	...	-8.13	1 of 2 - Sale ²⁵
	50.000	14.24	04/22/20	15.74	...	-1.50	2 of 2 - Sale ²⁵
04/23/20	250.000	71.22	Various	80.85	...	-9.63	Total of 2 transactions
	7 transactions for 04/23/20. Total proceeds and cost reported to the IRS.						
	100.000	28.49	04/22/20	30.68	1.10 W	-1.09	1 of 7 - Sale ²⁵
	200.000	56.98	04/22/20	59.15	2.17 W	0.00	2 of 7 - Sale ²⁵
	158.000	45.01	04/22/20	46.73	1.72 W	0.00	3 of 7 - Sale ²⁵
	142.000	40.45	04/22/20	40.89	0.44 W	0.00	4 of 7 - Sale ²⁵
	100.000	28.49	04/22/20	33.03	4.54 W	0.00	5 of 7 - Sale ²⁵
	2.000	0.57	04/22/20	0.65	0.08 W	0.00	6 of 7 - Sale ²⁵
	298.000	84.90	04/22/20	93.79	8.89 W	0.00	7 of 7 - Sale ²⁵
04/23/20	1,000.000	284.89	Various	304.92	18.94 W	-1.09	Total of 7 transactions
	9 transactions for 04/29/20. Total proceeds and cost reported to the IRS.						
	200.000	65.58	04/28/20	77.95	...	-12.37	1 of 9 - Sale ²⁵
	100.000	32.99	04/28/20	40.54	...	-7.55	2 of 9 - Sale ²⁵
	156.000	51.46	04/28/20	57.86	...	-6.40	3 of 9 - Sale ²⁵
	98.000	32.33	04/28/20	38.20	...	-5.87	4 of 9 - Sale ²⁵
	99.000	32.67	04/28/20	35.95	...	-3.28	5 of 9 - Sale ²⁵
	50.000	16.39	04/28/20	19.10	...	-2.71	6 of 9 - Sale ²⁵
	43.000	14.10	04/28/20	15.61	...	-1.51	7 of 9 - Sale ²⁵
	2.000	0.66	04/28/20	0.80	...	-0.14	8 of 9 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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DOCUMENT SECURITY SYSTEMS, INC . / CUSIP: 25614T200 / Symbol: (cont'd)							
	2.000	0.66	04/28/20	0.74	...	-0.08	9 of 9 - Sale ²⁵
04/29/20	750.000	246.84	Various	286.75	...	-39.91	Total of 9 transactions
	Security total:	1,005.44		1,100.36	44.31 W	-50.61	
DOCUMENT SECURITY SYSTEMS, INC . / CUSIP: 25614T309 / Symbol:							
05/18/20	15.000	136.50	05/15/20	138.15	1.65 W	0.00	Sale ²⁵
	2 transactions for 06/01/20. Total proceeds and cost reported to the IRS.						
	35.000	259.00	05/29/20	278.42	...	-19.42	1 of 2 - Sale ²⁵
	15.000	111.00	05/29/20	120.98	...	-9.98	2 of 2 - Sale ²⁵
06/01/20	50.000	370.00	Various	399.40	...	-29.40	Total of 2 transactions
	Security total:	506.50		537.55	1.65 W	-29.40	
DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol:							
	2 transactions for 05/27/20. Total proceeds and cost reported to the IRS.						
	0.299	38.00	05/26/20	38.10	0.10 W	0.00	1 of 2 - Sale ²⁵
	0.015	1.89	05/26/20	1.90	0.01 W	0.00	2 of 2 - Sale ²⁵
05/27/20	0.314	39.89	Various	40.00	0.11 W	0.00	Total of 2 transactions
	4 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	0.015	2.15	06/08/20	2.16	0.01 W	0.00	1 of 4 - Sale ²⁵
	0.299	43.07	06/08/20	43.19	0.12 W	0.00	2 of 4 - Sale ²⁵
	4.686	674.76	06/08/20	675.03	0.27 W	0.00	3 of 4 - Sale ²⁵
	2.000	287.99	06/08/20	293.85	5.86 W	0.00	4 of 4 - Sale ²⁵
06/09/20	7.000	1,007.97	Various	1,014.23	6.26 W	0.00	Total of 4 transactions
	2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	2.000	284.99	06/10/20	295.70	...	-10.71	1 of 2 - Sale ²⁵
	3.000	427.48	06/10/20	434.75	...	-7.27	2 of 2 - Sale ²⁵
06/11/20	5.000	712.47	Various	730.45	...	-17.98	Total of 2 transactions
	3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	4.686	667.73	06/10/20	679.34	4.18 W	-7.43	1 of 3 - Sale ²⁵
	0.015	2.13	06/10/20	2.17	0.04 W	0.00	2 of 3 - Sale ²⁵
	0.299	42.63	06/10/20	43.47	0.84 W	0.00	3 of 3 - Sale ²⁵
06/11/20	5.000	712.49	Various	724.98	5.06 W	-7.43	Total of 3 transactions

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(continued)

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DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol: (cont'd)							
3 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	1.686	331.44	07/06/20	335.47	...	-4.03	1 of 3 - Sale ²⁵
	0.299	58.81	07/06/20	59.62	...	-0.81	2 of 3 - Sale ²⁵
	0.015	2.93	07/06/20	2.97	...	-0.04	3 of 3 - Sale ²⁵
07/06/20	2.000	393.18	Various	398.06	...	-4.88	Total of 3 transactions
	Security total:	2,866.00		2,907.72	11.43 W	-30.29	
DOLPHIN ENTERTAINMENT, INC. COMMON STOCK / CUSIP: 25686H100 / Symbol:							
06/19/20	250.000	241.12	06/19/20	277.50	...	-36.38	Sale ²⁵
DPW HOLDINGS, INC. / CUSIP: 26140E600 / Symbol:							
06/15/20	50.000	137.50	06/12/20	157.50	...	-20.00	Sale ²⁵
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol:							
06/18/20	25.000	1,046.22	06/17/20	999.00	...	47.22	Sale ²⁵
DUPONT DE NEMOURS, INC. COMMON STOCK / CUSIP: 26614N102 / Symbol:							
2 transactions for 05/11/20. Total proceeds and cost reported to the IRS.							
	8.000	368.48	05/08/20	373.25	...	-4.77	1 of 2 - Sale ²⁵
	2.000	92.12	05/08/20	93.24	...	-1.12	2 of 2 - Sale ²⁵
05/11/20	10.000	460.60	Various	466.49	...	-5.89	Total of 2 transactions
DURECT CORPORATION COMMON STOCK / CUSIP: 266605104 / Symbol:							
02/05/20	100.000	172.99	02/04/20	194.99	22.00 W	0.00	Sale ²⁵
2 transactions for 02/24/20. Total proceeds and cost reported to the IRS.							
	80.000	170.59	02/21/20	197.42	26.83 W	0.00	1 of 2 - Sale ²⁵
	20.000	42.65	02/21/20	49.40	6.75 W	0.00	2 of 2 - Sale ²⁵
02/24/20	100.000	213.24	Various	246.82	33.58 W	0.00	Total of 2 transactions
3 transactions for 03/05/20. Total proceeds and cost reported to the IRS.							
	20.000	37.23	03/04/20	46.55	9.32 W	0.00	1 of 3 - Sale ²⁵
	80.000	148.90	03/04/20	186.03	37.13 W	0.00	2 of 3 - Sale ²⁵
	100.000	186.13	03/04/20	199.00	12.87 W	0.00	3 of 3 - Sale ²⁵
03/05/20	200.000	372.26	Various	431.58	59.32 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
DURECT CORPORATION COMMON STOC K / CUSIP: 266605104 / Symbol: (cont'd)							
4 transactions for 03/18/20. Total proceeds and cost reported to the IRS.							
	80.000	89.59	03/17/20	135.33	...	-45.74	1 of 4 - Sale ²⁵
	100.000	111.99	03/17/20	135.62	...	-23.63	2 of 4 - Sale ²⁵
	20.000	22.40	03/17/20	33.87	...	-11.47	3 of 4 - Sale ²⁵
	50.000	55.99	03/17/20	61.38	...	-5.39	4 of 4 - Sale ²⁵
03/18/20	250.000	279.97	Various	366.20	...	-86.23	Total of 4 transactions
05/13/20	200.000	519.96	05/12/20	565.74	...	-45.78	Sale ²⁵
	Security total:	1,558.42		1,805.33	114.90 W	-132.01	
DYADIC INTERNATIONAL, INC. COM MON STOCK / CUSIP: 26745T101 / Symbol:							
2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	100.000	420.98	03/19/20	421.00	...	-0.02	1 of 2 - Sale ²⁵
	100.000	420.98	03/19/20	341.00	...	79.98	2 of 2 - Sale ²⁵
03/20/20	200.000	841.96	Various	762.00	...	79.96	Total of 2 transactions
DYNATRONICS CORPORATION COMMON STOCK / CUSIP: 268157401 / Symbol:							
03/04/20	100.000	199.99	03/03/20	194.88	...	5.11	Sale ²⁵
6 transactions for 03/06/20. Total proceeds and cost reported to the IRS.							
	100.000	239.98	03/05/20	267.00	...	-27.02	1 of 6 - Sale ²⁵
	50.000	119.99	03/05/20	131.50	...	-11.51	2 of 6 - Sale ²⁵
	26.000	62.39	03/05/20	69.16	...	-6.77	3 of 6 - Sale ²⁵
	24.000	57.60	03/05/20	63.84	...	-6.24	4 of 6 - Sale ²⁵
	100.000	239.98	03/05/20	208.78	...	31.20	5 of 6 - Sale ²⁵
	100.000	239.99	03/05/20	208.78	...	31.21	6 of 6 - Sale ²⁵
03/06/20	400.000	959.93	Various	949.06	...	10.87	Total of 6 transactions
5 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	319.000	236.08	04/07/20	248.82	...	-12.74	1 of 5 - Sale ²⁵
	100.000	74.99	04/07/20	78.00	...	-3.01	2 of 5 - Sale ²⁵
	15.000	11.25	04/07/20	11.70	...	-0.45	3 of 5 - Sale ²⁵
	15.000	11.25	04/07/20	11.70	...	-0.45	4 of 5 - Sale ²⁵
	1.000	0.75	04/07/20	0.78	...	-0.03	5 of 5 - Sale ²⁵
04/08/20	450.000	334.32	Various	351.00	...	-16.68	Total of 5 transactions
05/12/20	10.000	7.57	05/11/20	7.60	0.03 W	0.00	Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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DYNATRONICS CORPORATION COMMON STOCK / CUSIP: 268157401 / Symbol: (cont'd)							
2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	190.000	131.65	05/19/20	138.95	0.04 W	-7.26	1 of 2 - Sale ²⁵
	10.000	6.93	05/19/20	7.34	0.41 W	0.00	2 of 2 - Sale ²⁵
05/20/20	200.000	138.58	Various	146.29	0.45 W	-7.26	Total of 2 transactions
05/22/20	10.000	6.83	05/21/20	7.40	...	-0.57	Sale ²⁵
06/19/20	1.000	1.17	06/19/20	1.19	...	-0.02	Sale ²⁵
Security total:		1,648.39		1,657.42	0.48 W	-8.55	
DYNAVAX TECHNOLOGIES CORPORATI ON COMMON STOCK / CUSIP: 268158201 / Symbol:							
05/21/20	75.000	449.99	05/20/20	468.75	...	-18.76	Sale ²⁵
ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
37 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	500.000	2,344.99	07/06/20	2,878.03	...	-533.04	1 of 37 - Sale ²⁵
	177.000	830.12	07/06/20	1,047.15	...	-217.03	2 of 37 - Sale ²⁵
	249.000	1,167.80	07/06/20	1,348.15	...	-180.35	3 of 37 - Sale ²⁵
	73.000	342.37	07/06/20	407.04	...	-64.67	4 of 37 - Sale ²⁵
	100.000	471.98	07/06/20	533.06	...	-61.08	5 of 37 - Sale ²⁵
	100.000	471.98	07/06/20	533.05	...	-61.07	6 of 37 - Sale ²⁵
	100.000	474.98	07/06/20	529.62	...	-54.64	7 of 37 - Sale ²⁵
	500.000	2,374.89	07/06/20	2,428.03	...	-53.14	8 of 37 - Sale ²⁵
	75.000	353.98	07/06/20	400.56	...	-46.58	9 of 37 - Sale ²⁵
	64.000	303.99	07/06/20	340.91	...	-36.92	10 of 37 - Sale ²⁵
	250.000	1,187.44	07/06/20	1,224.01	...	-36.57	11 of 37 - Sale ²⁵
	52.000	245.43	07/06/20	275.35	...	-29.92	12 of 37 - Sale ²⁵
	23.000	109.24	07/06/20	136.38	...	-27.14	13 of 37 - Sale ²⁵
	32.000	151.99	07/06/20	174.11	...	-22.12	14 of 37 - Sale ²⁵
	25.000	117.99	07/06/20	138.81	...	-20.82	15 of 37 - Sale ²⁵
	23.000	117.08	07/06/20	136.07	...	-18.99	16 of 37 - Sale ²⁵
	25.000	117.99	07/06/20	134.74	...	-16.75	17 of 37 - Sale ²⁵
	25.000	118.00	07/06/20	133.26	...	-15.26	18 of 37 - Sale ²⁵
	25.000	117.99	07/06/20	132.96	...	-14.97	19 of 37 - Sale ²⁵
	23.000	109.24	07/06/20	123.88	...	-14.64	20 of 37 - Sale ²⁵
	23.000	108.55	07/06/20	122.84	...	-14.29	21 of 37 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol: (cont'd)							
	20.000	94.40	07/06/20	107.99	...	-13.59	22 of 37 - Sale ²⁵
	20.000	94.40	07/06/20	107.33	...	-12.93	23 of 37 - Sale ²⁵
	5.000	23.60	07/06/20	26.83	...	-3.23	24 of 37 - Sale ²⁵
	2.000	9.50	07/06/20	11.86	...	-2.36	25 of 37 - Sale ²⁵
	4.000	19.00	07/06/20	21.14	...	-2.14	26 of 37 - Sale ²⁵
	2.000	9.44	07/06/20	11.11	...	-1.67	27 of 37 - Sale ²⁵
	2.000	9.50	07/06/20	10.88	...	-1.38	28 of 37 - Sale ²⁵
	2.000	9.44	07/06/20	10.80	...	-1.36	29 of 37 - Sale ²⁵
	1.000	4.70	07/06/20	5.41	...	-0.71	30 of 37 - Sale ²⁵
	1.000	4.72	07/06/20	5.40	...	-0.68	31 of 37 - Sale ²⁵
	14.000	77.70	07/06/20	75.38	...	2.32	32 of 37 - Sale ²⁵
	100.000	554.98	07/06/20	546.81	...	8.17	33 of 37 - Sale ²⁵
	50.000	277.49	07/06/20	268.66	...	8.83	34 of 37 - Sale ²⁵
	50.000	215.74	07/06/20	205.00	...	10.74	35 of 37 - Sale ²⁵
	250.000	1,387.44	07/06/20	1,312.50	...	74.94	36 of 37 - Sale ²⁵
	886.000	4,917.08	07/06/20	4,668.95	...	248.13	37 of 37 - Sale ²⁵
07/06/20	3,873.000	19,347.15	Various	20,574.06	...	-1,226.91	Total of 37 transactions
	68 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	227.000	1,155.49	07/06/20	1,298.68	63.08 W	-80.11	1 of 68 - Sale ²⁵
	50.000	215.74	07/06/20	224.50	8.76 W	0.00	2 of 68 - Sale ²⁵
	50.000	215.74	07/06/20	229.00	13.26 W	0.00	3 of 68 - Sale ²⁵
	50.000	215.74	07/06/20	228.50	12.76 W	0.00	4 of 68 - Sale ²⁵
	50.000	231.55	07/06/20	237.26	5.71 W	0.00	5 of 68 - Sale ²⁵
	50.000	231.55	07/06/20	253.76	22.21 W	0.00	6 of 68 - Sale ²⁵
	50.000	231.55	07/06/20	253.26	21.71 W	0.00	7 of 68 - Sale ²⁵
	100.000	463.09	07/06/20	484.90	21.81 W	0.00	8 of 68 - Sale ²⁵
	50.000	229.99	07/06/20	250.15	20.16 W	0.00	9 of 68 - Sale ²⁵
	50.000	229.99	07/06/20	266.65	36.66 W	0.00	10 of 68 - Sale ²⁵
	50.000	230.00	07/06/20	250.71	20.71 W	0.00	11 of 68 - Sale ²⁵
	50.000	277.49	07/06/20	282.66	5.17 W	0.00	12 of 68 - Sale ²⁵
	50.000	277.49	07/06/20	299.16	21.67 W	0.00	13 of 68 - Sale ²⁵
	50.000	277.49	07/06/20	283.21	5.72 W	0.00	14 of 68 - Sale ²⁵
	50.000	277.49	07/06/20	285.15	7.66 W	0.00	15 of 68 - Sale ²⁵
	36.000	199.79	07/06/20	205.36	5.57 W	0.00	16 of 68 - Sale ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol: (cont'd)							
64.000		355.18	07/06/20	357.76	2.58 W	0.00	17 of 68 - Sale ²⁵
146.000		810.26	07/06/20	816.14	5.88 W	0.00	18 of 68 - Sale ²⁵
36.000		199.79	07/06/20	206.81	7.02 W	0.00	19 of 68 - Sale ²⁵
50.000		277.49	07/06/20	287.16	9.67 W	0.00	20 of 68 - Sale ²⁵
64.000		355.18	07/06/20	360.34	5.16 W	0.00	21 of 68 - Sale ²⁵
204.000		1,132.15	07/06/20	1,140.36	8.21 W	0.00	22 of 68 - Sale ²⁵
146.000		810.26	07/06/20	822.02	11.76 W	0.00	23 of 68 - Sale ²⁵
2.000		11.10	07/06/20	11.57	0.47 W	0.00	24 of 68 - Sale ²⁵
25.000		138.75	07/06/20	144.58	5.83 W	0.00	25 of 68 - Sale ²⁵
4.000		22.20	07/06/20	23.14	0.94 W	0.00	26 of 68 - Sale ²⁵
23.000		127.64	07/06/20	133.02	5.38 W	0.00	27 of 68 - Sale ²⁵
4.000		22.20	07/06/20	22.52	0.32 W	0.00	28 of 68 - Sale ²⁵
32.000		177.59	07/06/20	185.12	7.53 W	0.00	29 of 68 - Sale ²⁵
64.000		355.18	07/06/20	362.92	7.74 W	0.00	30 of 68 - Sale ²⁵
100.000		554.98	07/06/20	564.03	9.05 W	0.00	31 of 68 - Sale ²⁵
100.000		554.98	07/06/20	573.02	18.04 W	0.00	32 of 68 - Sale ²⁵
2.000		11.10	07/06/20	11.86	0.76 W	0.00	33 of 68 - Sale ²⁵
25.000		138.74	07/06/20	148.21	9.47 W	0.00	34 of 68 - Sale ²⁵
146.000		810.26	07/06/20	843.23	32.97 W	0.00	35 of 68 - Sale ²⁵
727.000		4,034.68	07/06/20	4,140.26	105.58 W	0.00	36 of 68 - Sale ²⁵
200.000		1,109.95	07/06/20	1,178.00	68.05 W	0.00	37 of 68 - Sale ²⁵
2.000		11.14	07/06/20	12.25	1.11 W	0.00	38 of 68 - Sale ²⁵
23.000		128.11	07/06/20	140.85	12.74 W	0.00	39 of 68 - Sale ²⁵
2.000		11.14	07/06/20	11.74	0.60 W	0.00	40 of 68 - Sale ²⁵
2.000		11.14	07/06/20	12.03	0.89 W	0.00	41 of 68 - Sale ²⁵
4.000		22.28	07/06/20	22.86	0.58 W	0.00	42 of 68 - Sale ²⁵
25.000		139.25	07/06/20	150.37	11.12 W	0.00	43 of 68 - Sale ²⁵
32.000		178.24	07/06/20	187.88	9.64 W	0.00	44 of 68 - Sale ²⁵
64.000		356.47	07/06/20	368.44	11.97 W	0.00	45 of 68 - Sale ²⁵
100.000		556.99	07/06/20	572.64	15.65 W	0.00	46 of 68 - Sale ²⁵
100.000		556.99	07/06/20	581.63	24.64 W	0.00	47 of 68 - Sale ²⁵
146.000		813.20	07/06/20	855.81	42.61 W	0.00	48 of 68 - Sale ²⁵
200.000		1,113.97	07/06/20	1,195.23	81.26 W	0.00	49 of 68 - Sale ²⁵
727.000		4,049.28	07/06/20	4,202.88	153.60 W	0.00	50 of 68 - Sale ²⁵

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(continued)

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ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol: (cont'd)							
	1,573.000	8,761.37	07/06/20	8,865.27	103.90 W	0.00	51 of 68 - Sale ²⁵
	2.000	10.18	07/06/20	12.38	2.20 W	0.00	52 of 68 - Sale ²⁵
	23.000	117.08	07/06/20	142.37	25.29 W	0.00	53 of 68 - Sale ²⁵
	2.000	10.18	07/06/20	11.40	1.22 W	0.00	54 of 68 - Sale ²⁵
	4.000	20.36	07/06/20	22.18	1.82 W	0.00	55 of 68 - Sale ²⁵
	32.000	162.89	07/06/20	182.44	19.55 W	0.00	56 of 68 - Sale ²⁵
	48.000	244.33	07/06/20	271.03	26.70 W	0.00	57 of 68 - Sale ²⁵
	64.000	325.78	07/06/20	357.57	31.79 W	0.00	58 of 68 - Sale ²⁵
	100.000	509.03	07/06/20	555.65	46.62 W	0.00	59 of 68 - Sale ²⁵
	52.000	264.69	07/06/20	289.92	25.23 W	0.00	60 of 68 - Sale ²⁵
	98.000	498.84	07/06/20	550.86	52.02 W	0.00	61 of 68 - Sale ²⁵
	2.000	10.18	07/06/20	11.65	1.47 W	0.00	62 of 68 - Sale ²⁵
	25.000	127.26	07/06/20	145.57	18.31 W	0.00	63 of 68 - Sale ²⁵
	25.000	127.26	07/06/20	139.72	12.46 W	0.00	64 of 68 - Sale ²⁵
	48.000	244.33	07/06/20	272.15	27.82 W	0.00	65 of 68 - Sale ²⁵
	225.000	1,145.31	07/06/20	1,260.18	114.87 W	0.00	66 of 68 - Sale ²⁵
	198.000	1,007.87	07/06/20	1,118.95	111.08 W	0.00	67 of 68 - Sale ²⁵
	52.000	264.69	07/06/20	293.83	29.14 W	0.00	68 of 68 - Sale ²⁵
07/06/20	7,177.000	38,769.67	Various	40,482.71	1,632.93 W	-80.11	Total of 68 transactions
	3 transactions for 07/07/20. Total proceeds and cost reported to the IRS.						
	198.000	863.23	07/06/20	1,091.18	...	-227.95	1 of 3 - Sale ²⁵
	100.000	434.49	07/06/20	558.08	...	-123.59	2 of 3 - Sale ²⁵
	52.000	226.71	07/06/20	286.54	...	-59.83	3 of 3 - Sale ²⁵
07/07/20	350.000	1,524.43	Various	1,935.80	...	-411.37	Total of 3 transactions
	Security total:	59,641.25		62,992.57	1,632.93 W	-1,718.39	
ENABLE MIDSTREAM PARTNERS, LP / CUSIP: 292480100 / Symbol:							
	2 transactions for 03/11/20. Total proceeds and cost reported to the IRS.						
	100.000	379.98	03/10/20	372.85	...	7.13	1 of 2 - Sale ²⁵
	200.000	759.96	03/10/20	599.68	...	160.28	2 of 2 - Sale ²⁵
03/11/20	300.000	1,139.94	Various	972.53	...	167.41	Total of 2 transactions
03/11/20	30.000	113.99	03/10/20	119.60	5.61 W	0.00	Sale ²⁵
	7 transactions for 04/01/20. Total proceeds and cost reported to the IRS.						
	1.000	2.45	03/31/20	2.17	...	0.28	1 of 7 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ENABLE MIDSTREAM PARTNERS, LP / CUSIP: 292480100 / Symbol: (cont'd)							
	2.000	4.80	03/31/20	4.34	...	0.46	2 of 7 - Sale ²⁵
	2.000	4.90	03/31/20	4.34	...	0.56	3 of 7 - Sale ²⁵
	30.000	73.50	03/31/20	70.71	...	2.79	4 of 7 - Sale ²⁵
	10.000	24.50	03/31/20	21.70	...	2.80	5 of 7 - Sale ²⁵
	14.000	34.30	03/31/20	30.38	...	3.92	6 of 7 - Sale ²⁵
	141.000	331.34	03/31/20	305.95	...	25.39	7 of 7 - Sale ²⁵
04/01/20	200.000	475.79	Various	439.59	...	36.20	Total of 7 transactions
	2 transactions for 04/01/20. Total proceeds and cost reported to the IRS.						
	50.000	117.49	03/31/20	122.50	5.01 W	0.00	1 of 2 - Sale ²⁵
	12.000	28.20	03/31/20	29.40	1.20 W	0.00	2 of 2 - Sale ²⁵
04/01/20	62.000	145.69	Various	151.90	6.21 W	0.00	Total of 2 transactions
	4 transactions for 04/15/20. Total proceeds and cost reported to the IRS.						
	88.000	334.37	04/14/20	353.76	...	-19.39	1 of 4 - Sale ²⁵
	42.000	159.59	04/14/20	173.05	...	-13.46	2 of 4 - Sale ²⁵
	12.000	45.60	04/14/20	49.44	...	-3.84	3 of 4 - Sale ²⁵
	8.000	30.10	04/14/20	32.96	...	-2.86	4 of 4 - Sale ²⁵
04/15/20	150.000	569.66	Various	609.21	...	-39.55	Total of 4 transactions
	Security total:	2,445.07		2,292.83	11.82 W	164.06	
ENDEAVOUR SILVER CORP. / CUSIP: 29258Y103 / Symbol:							
03/18/20	200.000	259.98	03/17/20	291.60	...	-31.62	Sale ²⁵
	4 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	100.000	188.99	06/22/20	191.74	...	-2.75	1 of 4 - Sale ²⁵
	100.000	188.99	06/22/20	191.74	...	-2.75	2 of 4 - Sale ²⁵
	48.000	90.72	06/22/20	92.04	...	-1.32	3 of 4 - Sale ²⁵
	2.000	3.78	06/22/20	3.83	...	-0.05	4 of 4 - Sale ²⁵
06/22/20	250.000	472.48	Various	479.35	...	-6.87	Total of 4 transactions
	Security total:	732.46		770.95	...	-38.49	

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Account 412265225

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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ENTERCOM COMMUNICATIONS / CUSIP: 293639100 / Symbol:							
2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	50.000	41.51	04/06/20	47.50	5.99 W	0.00	1 of 2 - Sale ²⁵
	15.000	12.45	04/06/20	14.25	1.80 W	0.00	2 of 2 - Sale ²⁵
04/07/20	65.000	53.96	Various	61.75	7.79 W	0.00	Total of 2 transactions
3 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	435.000	347.95	04/08/20	364.74	...	-16.79	1 of 3 - Sale ²⁵
	50.000	39.99	04/08/20	47.92	...	-7.93	2 of 3 - Sale ²⁵
	15.000	12.00	04/08/20	14.38	...	-2.38	3 of 3 - Sale ²⁵
04/09/20	500.000	399.94	Various	427.04	...	-27.10	Total of 3 transactions
7 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	150.000	231.01	07/02/20	233.67	...	-2.66	1 of 7 - Sale ²⁵
	84.000	129.36	07/02/20	130.20	...	-0.84	2 of 7 - Sale ²⁵
	64.000	98.56	07/02/20	99.20	...	-0.64	3 of 7 - Sale ²⁵
	52.000	80.08	07/02/20	80.60	...	-0.52	4 of 7 - Sale ²⁵
	100.000	154.00	07/02/20	154.50	...	-0.50	5 of 7 - Sale ²⁵
	50.000	77.01	07/02/20	77.50	...	-0.49	6 of 7 - Sale ²⁵
	250.000	383.00	07/02/20	379.45	...	3.55	7 of 7 - Sale ²⁵
07/02/20	750.000	1,153.02	Various	1,155.12	...	-2.10	Total of 7 transactions
	Security total:	1,606.92		1,643.91	7.79 W	-29.20	
EVER-GLORY INTERNATIONAL GROUP, INC. COMMON STOCK / CUSIP: 299766204 / Symbol:							
3 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	100.000	119.99	06/12/20	293.00	...	-173.01	1 of 3 - Sale ²⁵
	50.000	59.99	06/12/20	140.00	...	-80.01	2 of 3 - Sale ²⁵
	100.000	119.99	06/12/20	190.00	...	-70.01	3 of 3 - Sale ²⁵
06/15/20	250.000	299.97	Various	623.00	...	-323.03	Total of 3 transactions
EVERI HOLDINGS INC / CUSIP: 30034T103 / Symbol:							
2 transactions for 04/13/20. Total proceeds and cost reported to the IRS.							
	55.000	233.74	04/09/20	236.50	...	-2.76	1 of 2 - Sale ²⁵
	5.000	21.25	04/09/20	22.00	...	-0.75	2 of 2 - Sale ²⁵
04/13/20	60.000	254.99	Various	258.50	...	-3.51	Total of 2 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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EVERI HOLDINGS INC / CUSIP: 30034T103 / Symbol: (cont'd)							
05/19/20	75.000	356.24	05/15/20	322.50	...	33.74	Sale ²⁵
06/09/20	50.000	390.51	06/05/20	368.87	...	21.64	Sale ²⁵
	Security total:	1,001.74		949.87	...	51.87	
EXELIXIS, INC. COMMON STOCK / CUSIP: 30161Q104 / Symbol:							
06/22/20	50.000	1,199.97	06/19/20	1,210.00	...	-10.03	Sale ²⁵
EXXON MOBIL CORPORATION / CUSIP: 30231G102 / Symbol:							
04/03/20	50.000	2,049.95	04/02/20	1,921.25	...	128.70	Sale ²⁵
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
03/24/20	10.000	1,546.96	03/23/20	1,501.73	...	45.23	Sale ²⁵
04/14/20	5.000	889.98	04/13/20	865.85	...	24.13	Sale ²⁵
	Security total:	2,436.94		2,367.58	...	69.36	
FANG HOLDINGS LIMITED / CUSIP: 30711Y201 / Symbol:							
01/31/20	75.000	194.99	01/30/20	199.49	...	-4.50	Sale ²⁵
FANGDD NETWORK GROUP LTD. AMER ICAN DEPOSITARY SHARES / CUSIP: 30712L109 / Symbol:							
06/11/20	1.000	13.70	06/10/20	71.50	...	-57.80	Sale ²⁵
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
05/21/20	7.000	290.92	05/20/20	299.11	8.19 W	0.00	Sale ²⁵
	<i>5 transactions for 06/11/20. Total proceeds and cost reported to the IRS.</i>						
	3.000	135.00	06/10/20	146.60	11.60 W	0.00	1 of 5 - Sale ²⁵
	4.000	179.99	06/10/20	195.46	15.47 W	0.00	2 of 5 - Sale ²⁵
	3.000	135.00	06/10/20	143.08	8.08 W	0.00	3 of 5 - Sale ²⁵
	3.000	134.99	06/10/20	155.66	20.67 W	0.00	4 of 5 - Sale ²⁵
	2.000	90.00	06/10/20	96.04	6.04 W	0.00	5 of 5 - Sale ²⁵
06/11/20	15.000	674.98	Various	736.84	61.86 W	0.00	Total of 5 transactions
	<i>4 transactions for 06/23/20. Total proceeds and cost reported to the IRS.</i>						
	2.000	158.33	06/22/20	157.00	...	1.33	1 of 4 - Sale ²⁵
	3.000	237.49	06/22/20	234.52	...	2.97	2 of 4 - Sale ²⁵
	5.000	395.82	06/22/20	377.50	...	18.32	3 of 4 - Sale ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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FASTLY, INC. / CUSIP: 31188V100 / Symbol: (cont'd)							
06/23/20	8.000	633.30	06/22/20	603.84	...	29.46	4 of 4 - Sale ²⁵
	18.000	1,424.94	Various	1,372.86	...	52.08	Total of 4 transactions
	2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.						
	3.000	237.49	06/22/20	247.11	9.62 W	0.00	1 of 2 - Sale ²⁵
	4.000	316.65	06/22/20	317.39	0.74 W	0.00	2 of 2 - Sale ²⁵
06/23/20	7.000	554.14	Various	564.50	10.36 W	0.00	Total of 2 transactions
	8 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	3.000	240.62	06/25/20	237.59	...	3.03	1 of 8 - Sale ²⁵
	2.000	160.41	06/25/20	153.85	...	6.56	2 of 8 - Sale ²⁵
	2.000	160.41	06/25/20	152.35	...	8.06	3 of 8 - Sale ²⁵
	4.000	320.82	06/25/20	310.52	...	10.30	4 of 8 - Sale ²⁵
	3.000	240.62	06/25/20	230.22	...	10.40	5 of 8 - Sale ²⁵
	5.000	401.03	06/25/20	388.00	...	13.03	6 of 8 - Sale ²⁵
	6.000	481.23	06/25/20	465.78	...	15.45	7 of 8 - Sale ²⁵
	25.000	2,005.15	06/25/20	1,939.75	...	65.40	8 of 8 - Sale ²⁵
06/25/20	50.000	4,010.29	Various	3,878.06	...	132.23	Total of 8 transactions
	3 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	25.000	2,004.83	06/25/20	2,016.13	11.30 W	0.00	1 of 3 - Sale ²⁵
	10.000	797.81	06/25/20	828.20	30.39 W	0.00	2 of 3 - Sale ²⁵
	15.000	1,196.70	06/25/20	1,241.99	45.29 W	0.00	3 of 3 - Sale ²⁵
06/25/20	50.000	3,999.34	Various	4,086.32	86.98 W	0.00	Total of 3 transactions
	5 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	10.000	821.98	06/25/20	851.39	29.41 W	0.00	1 of 5 - Sale ²⁵
	9.000	739.78	06/25/20	766.07	26.29 W	0.00	2 of 5 - Sale ²⁵
	1.000	81.58	06/25/20	85.12	3.54 W	0.00	3 of 5 - Sale ²⁵
	4.000	326.30	06/26/20	349.41	23.11 W	0.00	4 of 5 - Sale ²⁵
	5.000	407.87	06/26/20	437.16	29.29 W	0.00	5 of 5 - Sale ²⁵
06/26/20	29.000	2,377.51	Various	2,489.15	111.64 W	0.00	Total of 5 transactions
	5 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	1.000	86.23	06/29/20	91.74	5.51 W	0.00	1 of 5 - Sale ²⁵
	3.000	258.71	06/29/20	281.93	23.22 W	0.00	2 of 5 - Sale ²⁵
	6.000	517.40	06/29/20	546.85	29.45 W	0.00	3 of 5 - Sale ²⁵
	9.000	776.09	06/29/20	820.09	44.00 W	0.00	4 of 5 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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FASTLY, INC. / CUSIP: 31188V100 / Symbol: (cont'd)							
	1.000	82.04	06/29/20	93.98	11.94 W	0.00	5 of 5 - Sale ²⁵
06/29/20	20.000	1,720.47	Various	1,834.59	114.12 W	0.00	Total of 5 transactions
	7 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	6.000	511.00	06/30/20	545.07	...	-34.07	1 of 7 - Sale ²⁵
	5.000	427.79	06/30/20	453.63	...	-25.84	2 of 7 - Sale ²⁵
	3.000	256.67	06/30/20	277.82	...	-21.15	3 of 7 - Sale ²⁵
	5.000	427.79	06/30/20	448.78	...	-20.99	4 of 7 - Sale ²⁵
	1.000	85.56	06/30/20	96.81	...	-11.25	5 of 7 - Sale ²⁵
	1.000	85.17	06/30/20	90.83	...	-5.66	6 of 7 - Sale ²⁵
	1.000	85.56	06/30/20	90.38	...	-4.82	7 of 7 - Sale ²⁵
06/30/20	22.000	1,879.54	Various	2,003.32	...	-123.78	Total of 7 transactions
	2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	4.000	337.73	06/30/20	359.03	5.33 W	-15.97	1 of 2 - Sale ²⁵
	6.000	506.58	06/30/20	538.65	32.07 W	0.00	2 of 2 - Sale ²⁵
06/30/20	10.000	844.31	Various	897.68	37.40 W	-15.97	Total of 2 transactions
	Security total:	17,776.44		18,162.43	430.55 W	44.56	
FLUOR CORPORATION / CUSIP: 343412102 / Symbol:							
03/23/20	50.000	275.00	03/20/20	308.60	...	-33.60	Sale ²⁵
04/29/20	15.000	168.77	04/28/20	154.65	...	14.12	Sale ²⁵
	Security total:	443.77		463.25	...	-19.48	
FLY LEASING LIMITED / CUSIP: 34407D109 / Symbol:							
04/27/20	50.000	235.58	04/24/20	238.53	2.95 W	0.00	Sale ²⁵
05/27/20	50.000	467.57	05/26/20	360.58	...	106.99	Sale ²⁵
06/02/20	25.000	222.50	06/01/20	221.23	...	1.27	Sale ²⁵
06/05/20	50.000	514.73	06/03/20	473.50	...	41.23	Sale ²⁵
	2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	49.000	406.58	06/24/20	426.30	...	-19.72	1 of 2 - Sale ²⁵
	1.000	8.15	06/24/20	8.70	...	-0.55	2 of 2 - Sale ²⁵
06/24/20	50.000	414.73	Various	435.00	...	-20.27	Total of 2 transactions
	Security total:	1,855.11		1,728.84	2.95 W	129.22	

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2020 1099-B* OMB No. 1545-0715

(continued)

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FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
2 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	9.000	48.24	03/24/20	44.01	...	4.23	1 of 2 - Sale ²⁵
	70.000	375.19	03/24/20	332.50	...	42.69	2 of 2 - Sale ²⁵
03/25/20	79.000	423.43	Various	376.51	...	46.92	Total of 2 transactions
03/26/20	60.000	314.99	03/25/20	345.47	30.48 W	0.00	Sale ²⁵
03/30/20	15.000	77.10	03/27/20	76.80	...	0.30	Sale ²⁵
03/30/20	60.000	308.39	03/27/20	337.68	29.29 W	0.00	Sale ²⁵
2 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	60.000	278.99	03/31/20	334.09	...	-55.10	1 of 2 - Sale ²⁵
	40.000	186.00	03/31/20	203.20	...	-17.20	2 of 2 - Sale ²⁵
04/01/20	100.000	464.99	Various	537.29	...	-72.30	Total of 2 transactions
	Security total:	1,588.90		1,673.75	59.77 W	-25.08	
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol:							
2 transactions for 01/08/20. Total proceeds and cost reported to the IRS.							
	40.000	80.89	01/06/20	88.40	7.51 W	0.00	1 of 2 - Sale ²⁵
	3.000	6.07	01/07/20	6.57	0.50 W	0.00	2 of 2 - Sale ²⁵
01/08/20	43.000	86.96	Various	94.97	8.01 W	0.00	Total of 2 transactions
9 transactions for 01/22/20. Total proceeds and cost reported to the IRS.							
	2.000	4.76	01/21/20	5.93	1.17 W	0.00	1 of 9 - Sale ²⁵
	1.000	2.38	01/21/20	2.97	0.59 W	0.00	2 of 9 - Sale ²⁵
	37.000	88.06	01/21/20	117.57	29.51 W	0.00	3 of 9 - Sale ²⁵
	3.000	7.14	01/21/20	9.47	2.33 W	0.00	4 of 9 - Sale ²⁵
	7.000	16.66	01/21/20	20.93	4.27 W	0.00	5 of 9 - Sale ²⁵
	1.000	2.32	01/21/20	3.57	1.25 W	0.00	6 of 9 - Sale ²⁵
	1.000	2.32	01/21/20	3.58	1.26 W	0.00	7 of 9 - Sale ²⁵
	27.000	62.64	01/21/20	102.26	39.62 W	0.00	8 of 9 - Sale ²⁵
	1.000	2.32	01/21/20	3.58	1.26 W	0.00	9 of 9 - Sale ²⁵
01/22/20	80.000	188.60	Various	269.86	81.26 W	0.00	Total of 9 transactions
10 transactions for 01/27/20. Total proceeds and cost reported to the IRS.							
	1.000	1.62	01/23/20	3.09	1.47 W	0.00	1 of 10 - Sale ²⁵
	1.000	1.62	01/23/20	3.09	1.47 W	0.00	2 of 10 - Sale ²⁵
	1.000	1.62	01/23/20	3.09	1.47 W	0.00	3 of 10 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	22.000	35.64	01/23/20	72.65	37.01 W	0.00	4 of 10 - Sale ²⁵
	10.000	16.20	01/23/20	26.33	10.13 W	0.00	5 of 10 - Sale ²⁵
	3.000	4.86	01/23/20	7.84	2.98 W	0.00	6 of 10 - Sale ²⁵
	7.000	11.34	01/23/20	17.12	5.78 W	0.00	7 of 10 - Sale ²⁵
	5.000	8.10	01/23/20	16.54	8.44 W	0.00	8 of 10 - Sale ²⁵
	1.000	1.62	01/23/20	1.84	0.22 W	0.00	9 of 10 - Sale ²⁵
	4.000	6.48	01/24/20	7.52	1.04 W	0.00	10 of 10 - Sale ²⁵
01/27/20	55.000	89.10	Various	159.11	70.01 W	0.00	Total of 10 transactions
01/30/20	10.000	16.70	01/28/20	16.70	...	0.00	Sale ²⁵
	14 transactions for 01/30/20. Total proceeds and cost reported to the IRS.						
	1.000	1.67	01/28/20	3.14	1.47 W	0.00	1 of 14 - Sale ²⁵
	1.000	1.67	01/28/20	3.14	1.47 W	0.00	2 of 14 - Sale ²⁵
	1.000	1.67	01/28/20	3.14	1.47 W	0.00	3 of 14 - Sale ²⁵
	5.000	8.35	01/28/20	16.79	8.44 W	0.00	4 of 14 - Sale ²⁵
	22.000	36.74	01/28/20	73.75	37.01 W	0.00	5 of 14 - Sale ²⁵
	10.000	16.70	01/28/20	26.83	10.13 W	0.00	6 of 14 - Sale ²⁵
	3.000	5.01	01/28/20	7.99	2.98 W	0.00	7 of 14 - Sale ²⁵
	7.000	11.69	01/28/20	17.47	5.78 W	0.00	8 of 14 - Sale ²⁵
	1.000	1.67	01/28/20	1.89	0.22 W	0.00	9 of 14 - Sale ²⁵
	4.000	6.68	01/28/20	7.72	1.04 W	0.00	10 of 14 - Sale ²⁵
	35.000	58.44	01/28/20	58.45	0.01 W	0.00	11 of 14 - Sale ²⁵
	25.000	41.75	01/29/20	48.50	6.75 W	0.00	12 of 14 - Sale ²⁵
	50.000	83.49	01/29/20	94.75	11.26 W	0.00	13 of 14 - Sale ²⁵
	5.000	8.35	01/29/20	9.45	1.10 W	0.00	14 of 14 - Sale ²⁵
01/30/20	170.000	283.88	Various	373.01	89.13 W	0.00	Total of 14 transactions
	2 transactions for 02/11/20. Total proceeds and cost reported to the IRS.						
	5.000	10.16	02/10/20	9.90	...	0.26	1 of 2 - Sale ²⁵
	15.000	30.48	02/10/20	29.71	...	0.77	2 of 2 - Sale ²⁵
02/11/20	20.000	40.64	Various	39.61	...	1.03	Total of 2 transactions
	11 transactions for 02/11/20. Total proceeds and cost reported to the IRS.						
	1.000	2.03	02/10/20	3.45	1.42 W	0.00	1 of 11 - Sale ²⁵
	1.000	2.03	02/10/20	3.45	1.42 W	0.00	2 of 11 - Sale ²⁵
	1.000	2.03	02/10/20	3.45	1.42 W	0.00	3 of 11 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	5.000	10.16	02/10/20	18.34		0.00	4 of 11 - Sale ²⁵
	22.000	44.71	02/10/20	80.57	8.18 W	0.00	5 of 11 - Sale ²⁵
	10.000	20.32	02/10/20	29.93	35.86 W	0.00	6 of 11 - Sale ²⁵
	3.000	6.10	02/10/20	8.92	9.61 W	0.00	7 of 11 - Sale ²⁵
	7.000	14.23	02/10/20	19.64	2.82 W	0.00	8 of 11 - Sale ²⁵
	1.000	2.03	02/10/20	2.20	5.41 W	0.00	9 of 11 - Sale ²⁵
	4.000	8.13	02/10/20	8.96	0.17 W	0.00	10 of 11 - Sale ²⁵
	5.000	10.16	02/10/20	11.25	0.83 W	0.00	11 of 11 - Sale ²⁵
02/11/20	60.000	121.93	Various	190.16	1.09 W	0.00	Total of 11 transactions
02/20/20	20.000	53.40	02/18/20	53.60	68.23 W	0.00	Sale ²⁵
	2 transactions for 02/25/20. Total proceeds and cost reported to the IRS.						
	20.000	45.02	02/24/20	44.60	0.20 W	0.42	1 of 2 - Sale ²⁵
	65.000	146.33	02/24/20	144.30	...	2.03	2 of 2 - Sale ²⁵
02/25/20	85.000	191.35	Various	188.90	...	2.45	Total of 2 transactions
	13 transactions for 02/25/20. Total proceeds and cost reported to the IRS.						
	1.000	2.25	02/24/20	3.64	1.39 W	0.00	1 of 13 - Sale ²⁵
	1.000	2.25	02/24/20	3.64	1.39 W	0.00	2 of 13 - Sale ²⁵
	1.000	2.25	02/24/20	3.64	1.39 W	0.00	3 of 13 - Sale ²⁵
	5.000	11.26	02/24/20	19.28	8.02 W	0.00	4 of 13 - Sale ²⁵
	22.000	49.53	02/24/20	84.70	35.17 W	0.00	5 of 13 - Sale ²⁵
	10.000	22.51	02/24/20	31.81	9.30 W	0.00	6 of 13 - Sale ²⁵
	3.000	6.75	02/24/20	9.48	2.73 W	0.00	7 of 13 - Sale ²⁵
	7.000	15.76	02/24/20	20.95	5.19 W	0.00	8 of 13 - Sale ²⁵
	1.000	2.25	02/24/20	2.39	0.14 W	0.00	9 of 13 - Sale ²⁵
	4.000	9.00	02/24/20	9.71	0.71 W	0.00	10 of 13 - Sale ²⁵
	5.000	11.26	02/24/20	12.19	0.93 W	0.00	11 of 13 - Sale ²⁵
	5.000	11.26	02/24/20	12.20	0.94 W	0.00	12 of 13 - Sale ²⁵
	50.000	112.56	02/24/20	122.26	9.70 W	0.00	13 of 13 - Sale ²⁵
02/25/20	115.000	258.89	Various	335.89	77.00 W	0.00	Total of 13 transactions
	14 transactions for 03/11/20. Total proceeds and cost reported to the IRS.						
	1.000	1.58	03/10/20	3.17	1.59 W	0.00	1 of 14 - Sale ²⁵
	1.000	1.58	03/10/20	3.17	1.59 W	0.00	2 of 14 - Sale ²⁵
	1.000	1.58	03/10/20	3.17	1.59 W	0.00	3 of 14 - Sale ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	5.000	7.92	03/10/20	16.92	9.00 W	0.00	4 of 14 - Sale ²⁵
	22.000	34.83	03/10/20	74.33	39.50 W	0.00	5 of 14 - Sale ²⁵
	10.000	15.83	03/10/20	27.10	11.27 W	0.00	6 of 14 - Sale ²⁵
	3.000	4.75	03/10/20	8.07	3.32 W	0.00	7 of 14 - Sale ²⁵
	7.000	11.08	03/10/20	17.65	6.57 W	0.00	8 of 14 - Sale ²⁵
	1.000	1.58	03/10/20	1.92	0.34 W	0.00	9 of 14 - Sale ²⁵
	4.000	6.33	03/10/20	7.83	1.50 W	0.00	10 of 14 - Sale ²⁵
	5.000	7.92	03/10/20	9.83	1.91 W	0.00	11 of 14 - Sale ²⁵
	5.000	7.92	03/10/20	9.84	1.92 W	0.00	12 of 14 - Sale ²⁵
	50.000	79.16	03/10/20	98.69	19.53 W	0.00	13 of 14 - Sale ²⁵
	135.000	213.71	03/10/20	240.29	26.58 W	0.00	14 of 14 - Sale ²⁵
03/11/20	250.000	395.77	Various	521.98	126.21 W	0.00	Total of 14 transactions
03/13/20	30.000	36.00	03/12/20	35.40	...	0.60	Sale ²⁵
	14 transactions for 03/13/20. Total proceeds and cost reported to the IRS.						
	1.000	1.20	03/12/20	2.77	1.57 W	0.00	1 of 14 - Sale ²⁵
	1.000	1.20	03/12/20	2.77	1.57 W	0.00	2 of 14 - Sale ²⁵
	1.000	1.20	03/12/20	2.77	1.57 W	0.00	3 of 14 - Sale ²⁵
	5.000	6.00	03/12/20	14.90	8.90 W	0.00	4 of 14 - Sale ²⁵
	22.000	26.40	03/12/20	65.46	39.06 W	0.00	5 of 14 - Sale ²⁵
	10.000	12.00	03/12/20	23.07	11.07 W	0.00	6 of 14 - Sale ²⁵
	3.000	3.60	03/12/20	6.86	3.26 W	0.00	7 of 14 - Sale ²⁵
	7.000	8.40	03/12/20	14.83	6.43 W	0.00	8 of 14 - Sale ²⁵
	1.000	1.20	03/12/20	1.52	0.32 W	0.00	9 of 14 - Sale ²⁵
	4.000	4.80	03/12/20	6.22	1.42 W	0.00	10 of 14 - Sale ²⁵
	5.000	6.00	03/12/20	7.81	1.81 W	0.00	11 of 14 - Sale ²⁵
	5.000	6.00	03/12/20	7.82	1.82 W	0.00	12 of 14 - Sale ²⁵
	50.000	59.99	03/12/20	78.52	18.53 W	0.00	13 of 14 - Sale ²⁵
	135.000	161.98	03/12/20	185.86	23.88 W	0.00	14 of 14 - Sale ²⁵
03/13/20	250.000	299.97	Various	421.18	121.21 W	0.00	Total of 14 transactions
	14 transactions for 03/16/20. Total proceeds and cost reported to the IRS.						
	1.000	1.01	03/13/20	2.86	1.85 W	0.00	1 of 14 - Sale ²⁵
	1.000	1.01	03/13/20	2.86	1.85 W	0.00	2 of 14 - Sale ²⁵
	1.000	1.01	03/13/20	2.86	1.85 W	0.00	3 of 14 - Sale ²⁵
	5.000	5.05	03/13/20	15.35	10.30 W	0.00	4 of 14 - Sale ²⁵

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(continued)

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FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	22.000	22.22	03/13/20	67.44	45.22 W	0.00	5 of 14 - Sale ²⁵
	10.000	10.10	03/13/20	23.97	13.87 W	0.00	6 of 14 - Sale ²⁵
	3.000	3.03	03/13/20	7.13	4.10 W	0.00	7 of 14 - Sale ²⁵
	7.000	7.07	03/13/20	15.46	8.39 W	0.00	8 of 14 - Sale ²⁵
	1.000	1.01	03/13/20	1.61	0.60 W	0.00	9 of 14 - Sale ²⁵
	4.000	4.04	03/13/20	6.58	2.54 W	0.00	10 of 14 - Sale ²⁵
	5.000	5.05	03/13/20	8.26	3.21 W	0.00	11 of 14 - Sale ²⁵
	5.000	5.05	03/13/20	8.27	3.22 W	0.00	12 of 14 - Sale ²⁵
	50.000	50.49	03/13/20	83.02	32.53 W	0.00	13 of 14 - Sale ²⁵
	135.000	136.33	03/13/20	198.02	61.69 W	0.00	14 of 14 - Sale ²⁵
03/16/20	250.000	252.47	Various	443.69	191.22 W	0.00	Total of 14 transactions
	15 transactions for 03/17/20. Total proceeds and cost reported to the IRS.						
	1.000	1.25	03/16/20	3.19	1.94 W	0.00	1 of 15 - Sale ²⁵
	1.000	1.25	03/16/20	3.19	1.94 W	0.00	2 of 15 - Sale ²⁵
	1.000	1.25	03/16/20	3.19	1.94 W	0.00	3 of 15 - Sale ²⁵
	5.000	6.28	03/16/20	16.99	10.71 W	0.00	4 of 15 - Sale ²⁵
	22.000	27.61	03/16/20	74.67	47.06 W	0.00	5 of 15 - Sale ²⁵
	10.000	12.55	03/16/20	27.26	14.71 W	0.00	6 of 15 - Sale ²⁵
	3.000	3.76	03/16/20	8.12	4.36 W	0.00	7 of 15 - Sale ²⁵
	7.000	8.79	03/16/20	17.76	8.97 W	0.00	8 of 15 - Sale ²⁵
	1.000	1.25	03/16/20	1.94	0.69 W	0.00	9 of 15 - Sale ²⁵
	4.000	5.02	03/16/20	7.90	2.88 W	0.00	10 of 15 - Sale ²⁵
	5.000	6.28	03/16/20	9.90	3.62 W	0.00	11 of 15 - Sale ²⁵
	40.000	50.20	03/16/20	79.58	29.38 W	0.00	12 of 15 - Sale ²⁵
	5.000	6.27	03/16/20	9.91	3.64 W	0.00	13 of 15 - Sale ²⁵
	10.000	12.55	03/16/20	19.89	7.34 W	0.00	14 of 15 - Sale ²⁵
	85.000	106.67	03/16/20	152.64	45.97 W	0.00	15 of 15 - Sale ²⁵
03/17/20	200.000	250.98	Various	436.13	185.15 W	0.00	Total of 15 transactions
	18 transactions for 03/23/20. Total proceeds and cost reported to the IRS.						
	85.000	109.63	03/20/20	160.72	...	-51.09	1 of 18 - Sale ²⁵
	22.000	28.38	03/20/20	78.74	...	-50.36	2 of 18 - Sale ²⁵
	50.000	64.49	03/20/20	94.85	...	-30.36	3 of 18 - Sale ²⁵
	22.000	28.38	03/20/20	47.74	...	-19.36	4 of 18 - Sale ²⁵
	10.000	12.90	03/20/20	29.11	...	-16.21	5 of 18 - Sale ²⁵

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FUELCELL ENERGY, INC. COMMON S TOCK / CUSIP: 35952H601 / Symbol: (cont'd)							
	15.000	19.35	03/20/20	32.61	...	-13.26	6 of 18 - Sale ²⁵
	5.000	6.45	03/20/20	17.91	...	-11.46	7 of 18 - Sale ²⁵
	7.000	9.03	03/20/20	19.05	...	-10.02	8 of 18 - Sale ²⁵
	10.000	12.90	03/20/20	20.84	...	-7.94	9 of 18 - Sale ²⁵
	3.000	3.87	03/20/20	8.68	...	-4.81	10 of 18 - Sale ²⁵
	5.000	6.45	03/20/20	10.82	...	-4.37	11 of 18 - Sale ²⁵
	5.000	6.45	03/20/20	10.39	...	-3.94	12 of 18 - Sale ²⁵
	4.000	5.16	03/20/20	8.64	...	-3.48	13 of 18 - Sale ²⁵
	3.000	3.87	03/20/20	6.52	...	-2.65	14 of 18 - Sale ²⁵
	1.000	1.29	03/20/20	3.38	...	-2.09	15 of 18 - Sale ²⁵
	1.000	1.29	03/20/20	3.38	...	-2.09	16 of 18 - Sale ²⁵
	1.000	1.29	03/20/20	3.38	...	-2.09	17 of 18 - Sale ²⁵
	1.000	1.29	03/20/20	2.13	...	-0.84	18 of 18 - Sale ²⁵
03/23/20	250.000	322.47	Various	558.89	...	-236.42	Total of 18 transactions
04/30/20	100.000	212.99	04/29/20	223.99	...	-11.00	Sale ²⁵
06/29/20	100.000	224.00	06/29/20	230.99	6.99 W	0.00	Sale ²⁵
	2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	100.000	225.99	06/30/20	233.86	7.87 W	0.00	1 of 2 - Sale ²⁵
	150.000	338.99	06/30/20	340.31	1.32 W	0.00	2 of 2 - Sale ²⁵
06/30/20	250.000	564.98	Various	574.17	9.19 W	0.00	Total of 2 transactions
	2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.						
	100.000	248.11	07/02/20	259.86	11.75 W	0.00	1 of 2 - Sale ²⁵
	150.000	372.17	07/02/20	379.31	7.14 W	0.00	2 of 2 - Sale ²⁵
07/02/20	250.000	620.28	Various	639.17	18.89 W	0.00	Total of 2 transactions
	2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	100.000	286.00	07/06/20	299.75	...	-13.75	1 of 2 - Sale ²⁵
	150.000	429.00	07/06/20	439.14	...	-10.14	2 of 2 - Sale ²⁵
07/06/20	250.000	715.00	Various	738.89	...	-23.89	Total of 2 transactions
	Security total:	5,226.36		6,546.29	1,052.70 W	-267.23	

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FULCRUM THERAPEUTICS, INC. COM MON STOCK / CUSIP: 359616109 / Symbol:							
2 transactions for 03/16/20. Total proceeds and cost reported to the IRS.							
	24.000	288.00	03/13/20	326.76	...	-38.76	1 of 2 - Sale ²⁵
	1.000	12.05	03/13/20	13.62	...	-1.57	2 of 2 - Sale ²⁵
03/16/20	25.000	300.05	Various	340.38	...	-40.33	Total of 2 transactions
FUTURE FINTECH GROUP INC. COMM ON STOCK / CUSIP: 36117V105 / Symbol:							
02/24/20	3.000	3.15	02/21/20	3.06	...	0.09	Sale ²⁵
03/04/20	200.000	164.98	03/03/20	174.00	9.02 W	0.00	Sale ²⁵
3 transactions for 03/17/20. Total proceeds and cost reported to the IRS.							
	126.000	99.66	03/16/20	106.48	...	-6.82	1 of 3 - Sale ²⁵
	74.000	55.86	03/16/20	62.54	...	-6.68	2 of 3 - Sale ²⁵
	100.000	75.49	03/16/20	80.00	...	-4.51	3 of 3 - Sale ²⁵
03/17/20	300.000	231.01	Various	249.02	...	-18.01	Total of 3 transactions
2 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	150.000	164.98	06/22/20	168.75	3.77 W	0.00	1 of 2 - Sale ²⁵
	100.000	109.99	06/22/20	113.00	3.01 W	0.00	2 of 2 - Sale ²⁵
06/22/20	250.000	274.97	Various	281.75	6.78 W	0.00	Total of 2 transactions
5 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	205.000	346.42	07/06/20	358.75	...	-12.33	1 of 5 - Sale ²⁵
	140.000	236.58	07/06/20	248.52	...	-11.94	2 of 5 - Sale ²⁵
	100.000	168.98	07/06/20	178.01	...	-9.03	3 of 5 - Sale ²⁵
	35.000	59.14	07/06/20	61.25	...	-2.11	4 of 5 - Sale ²⁵
	10.000	16.90	07/06/20	18.25	...	-1.35	5 of 5 - Sale ²⁵
07/06/20	490.000	828.02	Various	864.78	...	-36.76	Total of 5 transactions
07/06/20	10.000	17.00	07/06/20	17.75	0.75 W	0.00	Sale ²⁵
Security total:		1,519.13		1,590.36	16.55 W	-54.68	
GNC HLDGS INC COMMON STOCK / CUSIP: 36191G107 / Symbol:							
4 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	300.000	190.46	04/29/20	210.24	...	-19.78	1 of 4 - Sale ²⁵
	100.000	63.49	04/29/20	70.08	...	-6.59	2 of 4 - Sale ²⁵
	100.000	63.49	04/29/20	70.08	...	-6.59	3 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GNC HLDGS INC COMMON STOCK / CUSIP: 36191G107 / Symbol: (cont'd)							
	50.000	31.74	04/29/20	35.50	...	-3.76	4 of 4 - Sale ²⁵
04/30/20	550.000	349.18	Various	385.90	...	-36.72	Total of 4 transactions
06/25/20	100.000	76.80	06/24/20	63.00	...	13.80	Sale ²⁵
	Security total:	425.98		448.90	...	-22.92	
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
04/03/20	25.000	72.50	04/02/20	72.00	...	0.50	Sale ²⁵
GANNETT CO., INC. / CUSIP: 36472T109 / Symbol:							
05/01/20	300.000	335.96	04/30/20	349.50	11.28 W	-2.26	Sale ²⁵
	2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.						
	1.000	0.99	05/14/20	0.98	...	0.01	1 of 2 - Sale ²⁵
	35.000	34.83	05/14/20	34.36	...	0.47	2 of 2 - Sale ²⁵
05/15/20	36.000	35.82	Various	35.34	...	0.48	Total of 2 transactions
05/15/20	214.000	209.69	05/14/20	210.09	0.03 W	-0.37	Sale ²⁵
06/08/20	15.000	43.35	06/05/20	42.60	...	0.75	Sale ²⁵
	Security total:	624.82		637.53	11.31 W	-1.40	
THE GAP, INC. / CUSIP: 364760108 / Symbol:							
05/11/20	40.000	312.40	05/08/20	320.72	5.20 W	-3.12	Sale ²⁵
05/27/20	25.000	242.05	05/26/20	232.40	...	9.65	Sale ²⁵
	Security total:	554.45		553.12	5.20 W	6.53	
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
03/26/20	25.000	188.25	03/25/20	188.25	...	0.00	Sale ²⁵
	2 transactions for 04/01/20. Total proceeds and cost reported to the IRS.						
	41.000	309.55	03/31/20	330.46	...	-20.91	1 of 2 - Sale ²⁵
	9.000	67.95	03/31/20	72.54	...	-4.59	2 of 2 - Sale ²⁵
04/01/20	50.000	377.50	Various	403.00	...	-25.50	Total of 2 transactions
	Security total:	565.75		591.25	...	-25.50	
GENERAL MOTORS COMPANY / CUSIP: 37045V100 / Symbol:							
04/09/20	25.000	604.48	04/08/20	547.25	...	57.23	Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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GENESIS HEALTHCARE, INC. / CUSIP: 37185X106 / Symbol:							
03/16/20	300.000	271.55	03/13/20	326.70	...	-55.15	Sale ²⁵
GENESIS ENERGY, L.P. / CUSIP: 371927104 / Symbol:							
04/24/20	50.000	262.50	04/23/20	255.00	...	7.50	Sale ²⁵
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
05/14/20	200.000	319.98	05/13/20	184.98	...	135.00	Sale ²⁵
05/29/20	80.000	159.99	05/28/20	180.40	20.41 W	0.00	Sale ²⁵
	5 transactions for 06/03/20. Total proceeds and cost reported to the IRS.						
	25.000	160.86	06/02/20	99.00	...	61.86	1 of 5 - Sale ²⁵
	45.000	289.56	06/02/20	152.55	...	137.01	2 of 5 - Sale ²⁵
	75.000	482.61	06/02/20	281.24	...	201.37	3 of 5 - Sale ²⁵
	80.000	514.78	06/02/20	291.61	...	223.17	4 of 5 - Sale ²⁵
	100.000	643.47	06/02/20	365.78	...	277.69	5 of 5 - Sale ²⁵
06/03/20	325.000	2,091.28	Various	1,190.18	...	901.10	Total of 5 transactions
	2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	50.000	448.98	06/03/20	384.50	...	64.48	1 of 2 - Sale ²⁵
	150.000	1,346.96	06/03/20	1,167.92	...	179.04	2 of 2 - Sale ²⁵
06/04/20	200.000	1,795.94	Various	1,552.42	...	243.52	Total of 2 transactions
	2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	46.000	270.25	06/05/20	284.57	14.32 W	0.00	1 of 2 - Sale ²⁵
	4.000	23.44	06/05/20	24.74	1.30 W	0.00	2 of 2 - Sale ²⁵
06/08/20	50.000	293.69	Various	309.31	15.62 W	0.00	Total of 2 transactions
	3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	4.000	11.52	06/10/20	22.23	10.71 W	0.00	1 of 3 - Sale ²⁵
	46.000	132.48	06/10/20	255.02	122.54 W	0.00	2 of 3 - Sale ²⁵
	50.000	143.99	06/10/20	280.50	136.51 W	0.00	3 of 3 - Sale ²⁵
06/11/20	100.000	287.99	Various	557.75	269.76 W	0.00	Total of 3 transactions
	2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.						
	4.000	18.64	06/12/20	27.69	9.05 W	0.00	1 of 2 - Sale ²⁵
	46.000	214.36	06/12/20	317.81	103.45 W	0.00	2 of 2 - Sale ²⁵
06/15/20	50.000	233.00	Various	345.50	112.50 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
3 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	4.000	13.00	06/16/20	24.97	11.97 W	0.00	1 of 3 - Sale ²⁵
	46.000	149.50	06/16/20	286.50	137.00 W	0.00	2 of 3 - Sale ²⁵
	50.000	162.49	06/16/20	335.48	172.99 W	0.00	3 of 3 - Sale ²⁵
06/17/20	100.000	324.99	Various	646.95	321.96 W	0.00	Total of 3 transactions
5 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	4.000	10.64	06/24/20	23.03	12.39 W	0.00	1 of 5 - Sale ²⁵
	46.000	122.35	06/24/20	264.19	141.84 W	0.00	2 of 5 - Sale ²⁵
	14.000	37.24	06/24/20	87.15	49.91 W	0.00	3 of 5 - Sale ²⁵
	3.000	7.98	06/24/20	18.67	10.69 W	0.00	4 of 5 - Sale ²⁵
	33.000	87.78	06/24/20	205.42	117.64 W	0.00	5 of 5 - Sale ²⁵
06/25/20	100.000	265.99	Various	598.46	332.47 W	0.00	Total of 5 transactions
3 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	50.000	173.99	07/02/20	168.50	...	5.49	1 of 3 - Sale ²⁵
	100.000	347.98	07/02/20	335.00	...	12.98	2 of 3 - Sale ²⁵
	150.000	521.96	07/02/20	500.98	...	20.98	3 of 3 - Sale ²⁵
07/02/20	300.000	1,043.93	Various	1,004.48	...	39.45	Total of 3 transactions
19 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	150.000	535.47	07/02/20	543.00	2.51 W	-5.02	1 of 19 - Sale ²⁵
	10.000	34.80	07/02/20	64.23	29.43 W	0.00	2 of 19 - Sale ²⁵
	4.000	13.92	07/02/20	25.75	11.83 W	0.00	3 of 19 - Sale ²⁵
	36.000	125.27	07/02/20	231.25	105.98 W	0.00	4 of 19 - Sale ²⁵
	3.000	10.44	07/02/20	20.71	10.27 W	0.00	5 of 19 - Sale ²⁵
	14.000	48.72	07/02/20	96.67	47.95 W	0.00	6 of 19 - Sale ²⁵
	33.000	114.83	07/02/20	227.86	113.03 W	0.00	7 of 19 - Sale ²⁵
	4.000	13.92	07/02/20	25.23	11.31 W	0.00	8 of 19 - Sale ²⁵
	10.000	34.80	07/02/20	62.93	28.13 W	0.00	9 of 19 - Sale ²⁵
	36.000	125.27	07/02/20	226.58	101.31 W	0.00	10 of 19 - Sale ²⁵
	3.000	10.44	07/02/20	20.32	9.88 W	0.00	11 of 19 - Sale ²⁵
	14.000	48.72	07/02/20	94.85	46.13 W	0.00	12 of 19 - Sale ²⁵
	33.000	114.83	07/02/20	223.58	108.75 W	0.00	13 of 19 - Sale ²⁵
	4.000	14.28	07/02/20	25.79	11.51 W	0.00	14 of 19 - Sale ²⁵
	10.000	35.70	07/02/20	64.33	28.63 W	0.00	15 of 19 - Sale ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	36.000	128.51	07/02/20	231.63	103.12 W	0.00	16 of 19 - Sale ²⁵
	3.000	10.71	07/02/20	20.74	10.03 W	0.00	17 of 19 - Sale ²⁵
	14.000	49.98	07/02/20	96.81	46.83 W	0.00	18 of 19 - Sale ²⁵
	33.000	117.80	07/02/20	228.21	110.41 W	0.00	19 of 19 - Sale ²⁵
07/02/20	450.000	1,588.41	Various	2,530.47	937.04 W	-5.02	Total of 19 transactions
	7 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	33.000	114.50	07/06/20	239.44	...	-124.94	1 of 7 - Sale ²⁵
	36.000	124.91	07/06/20	243.16	...	-118.25	2 of 7 - Sale ²⁵
	14.000	48.58	07/06/20	101.57	...	-52.99	3 of 7 - Sale ²⁵
	10.000	34.70	07/06/20	67.53	...	-32.83	4 of 7 - Sale ²⁵
	50.000	173.48	07/06/20	198.01	...	-24.53	5 of 7 - Sale ²⁵
	4.000	13.88	07/06/20	27.07	...	-13.19	6 of 7 - Sale ²⁵
	3.000	10.41	07/06/20	21.76	...	-11.35	7 of 7 - Sale ²⁵
07/06/20	150.000	520.46	Various	898.54	...	-378.08	Total of 7 transactions
	Security total:	8,925.65		9,999.44	2,009.76 W	935.97	
GENMARK DIAGNOSTICS, INC. COMM ON STOCK / CUSIP: 372309104 / Symbol:							
	2 transactions for 03/10/20. Total proceeds and cost reported to the IRS.						
	26.000	124.80	03/09/20	129.63	4.83 W	0.00	1 of 2 - Sale ²⁵
	74.000	355.19	03/09/20	368.93	13.74 W	0.00	2 of 2 - Sale ²⁵
03/10/20	100.000	479.99	Various	498.56	18.57 W	0.00	Total of 2 transactions
	9 transactions for 03/12/20. Total proceeds and cost reported to the IRS.						
	3.000	15.60	03/11/20	15.89	0.29 W	0.00	1 of 9 - Sale ²⁵
	23.000	119.60	03/11/20	122.03	2.43 W	0.00	2 of 9 - Sale ²⁵
	14.000	72.80	03/11/20	74.28	1.48 W	0.00	3 of 9 - Sale ²⁵
	13.000	67.60	03/11/20	68.97	1.37 W	0.00	4 of 9 - Sale ²⁵
	47.000	244.39	03/11/20	253.13	8.74 W	0.00	5 of 9 - Sale ²⁵
	3.000	15.60	03/11/20	16.58	0.98 W	0.00	6 of 9 - Sale ²⁵
	14.000	72.79	03/11/20	77.50	4.71 W	0.00	7 of 9 - Sale ²⁵
	23.000	119.59	03/11/20	127.32	7.73 W	0.00	8 of 9 - Sale ²⁵
	10.000	52.00	03/11/20	54.30	2.30 W	0.00	9 of 9 - Sale ²⁵
03/12/20	150.000	779.97	Various	810.00	30.03 W	0.00	Total of 9 transactions

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(continued)

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GENMARK DIAGNOSTICS, INC. COMM ON STOCK / CUSIP: 372309104 / Symbol: (cont'd)							
5 transactions for 03/16/20. Total proceeds and cost reported to the IRS.							
	3.000	13.65	03/13/20	16.22	2.57 W	0.00	1 of 5 - Sale ²⁵
	12.000	54.60	03/13/20	64.99	10.39 W	0.00	2 of 5 - Sale ²⁵
	13.000	59.15	03/13/20	67.41	8.26 W	0.00	3 of 5 - Sale ²⁵
	22.000	100.10	03/13/20	115.85	15.75 W	0.00	4 of 5 - Sale ²⁵
	25.000	112.75	03/13/20	131.64	18.89 W	0.00	5 of 5 - Sale ²⁵
03/16/20	75.000	340.25	Various	396.11	55.86 W	0.00	Total of 5 transactions
7 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	3.000	12.63	03/24/20	15.89	3.26 W	0.00	1 of 7 - Sale ²⁵
	12.000	50.52	03/24/20	63.67	13.15 W	0.00	2 of 7 - Sale ²⁵
	12.000	50.52	03/24/20	61.87	11.35 W	0.00	3 of 7 - Sale ²⁵
	13.000	54.73	03/24/20	65.98	11.25 W	0.00	4 of 7 - Sale ²⁵
	11.000	46.31	03/24/20	52.54	6.23 W	0.00	5 of 7 - Sale ²⁵
	14.000	58.93	03/24/20	66.87	7.94 W	0.00	6 of 7 - Sale ²⁵
	10.000	42.10	03/24/20	46.70	4.60 W	0.00	7 of 7 - Sale ²⁵
03/25/20	75.000	315.74	Various	373.52	57.78 W	0.00	Total of 7 transactions
8 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	3.000	12.30	03/31/20	15.44	3.14 W	0.00	1 of 8 - Sale ²⁵
	12.000	49.20	03/31/20	61.87	12.67 W	0.00	2 of 8 - Sale ²⁵
	12.000	49.20	03/31/20	60.07	10.87 W	0.00	3 of 8 - Sale ²⁵
	13.000	53.30	03/31/20	64.03	10.73 W	0.00	4 of 8 - Sale ²⁵
	10.000	41.00	03/31/20	47.76	6.76 W	0.00	5 of 8 - Sale ²⁵
	25.000	102.49	03/31/20	120.39	17.90 W	0.00	6 of 8 - Sale ²⁵
	9.000	36.90	03/31/20	41.64	4.74 W	0.00	7 of 8 - Sale ²⁵
	11.000	45.10	03/31/20	50.88	5.78 W	0.00	8 of 8 - Sale ²⁵
04/01/20	95.000	389.49	Various	462.08	72.59 W	0.00	Total of 8 transactions
9 transactions for 04/02/20. Total proceeds and cost reported to the IRS.							
	3.000	12.15	04/01/20	15.22	3.07 W	0.00	1 of 9 - Sale ²⁵
	12.000	48.60	04/01/20	60.99	12.39 W	0.00	2 of 9 - Sale ²⁵
	12.000	48.60	04/01/20	59.19	10.59 W	0.00	3 of 9 - Sale ²⁵
	13.000	52.65	04/01/20	63.08	10.43 W	0.00	4 of 9 - Sale ²⁵
	10.000	40.50	04/01/20	47.03	6.53 W	0.00	5 of 9 - Sale ²⁵
	25.000	101.25	04/01/20	118.58	17.33 W	0.00	6 of 9 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GENMARK DIAGNOSTICS, INC. COMM ON STOCK / CUSIP: 372309104 / Symbol: (cont'd)							
	10.000	40.50	04/01/20	45.52	5.02 W	0.00	7 of 9 - Sale ²⁵
	5.000	20.25	04/01/20	22.97	2.72 W	0.00	8 of 9 - Sale ²⁵
	10.000	40.49	04/01/20	44.87	4.38 W	0.00	9 of 9 - Sale ²⁵
04/02/20	100.000	404.99	Various	477.45	72.46 W	0.00	Total of 9 transactions
	7 transactions for 04/09/20. Total proceeds and cost reported to the IRS.						
	12.000	71.16	04/08/20	74.19	...	-3.03	1 of 7 - Sale ²⁵
	25.000	148.24	04/08/20	149.83	...	-1.59	2 of 7 - Sale ²⁵
	10.000	59.30	04/08/20	59.53	...	-0.23	3 of 7 - Sale ²⁵
	1.000	5.93	04/08/20	5.83	...	0.10	4 of 7 - Sale ²⁵
	5.000	29.65	04/08/20	29.22	...	0.43	5 of 7 - Sale ²⁵
	9.000	53.36	04/08/20	52.44	...	0.92	6 of 7 - Sale ²⁵
	10.000	59.30	04/08/20	58.02	...	1.28	7 of 7 - Sale ²⁵
04/09/20	72.000	426.94	Various	429.06	...	-2.12	Total of 7 transactions
	3 transactions for 04/09/20. Total proceeds and cost reported to the IRS.						
	13.000	77.09	04/08/20	79.33	0.86 W	-1.38	1 of 3 - Sale ²⁵
	3.000	17.79	04/08/20	18.97	1.18 W	0.00	2 of 3 - Sale ²⁵
	12.000	71.16	04/08/20	75.99	4.83 W	0.00	3 of 3 - Sale ²⁵
04/09/20	28.000	166.04	Various	174.29	6.87 W	-1.38	Total of 3 transactions
	4 transactions for 04/27/20. Total proceeds and cost reported to the IRS.						
	12.000	134.34	04/24/20	136.83	...	-2.49	1 of 4 - Sale ²⁵
	10.000	111.95	04/24/20	114.38	...	-2.43	2 of 4 - Sale ²⁵
	3.000	33.59	04/24/20	34.18	...	-0.59	3 of 4 - Sale ²⁵
	5.000	55.97	04/24/20	55.86	...	0.11	4 of 4 - Sale ²⁵
04/27/20	30.000	335.85	Various	341.25	...	-5.40	Total of 4 transactions
	2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	50.000	454.08	06/10/20	477.49	23.41 W	0.00	1 of 2 - Sale ²⁵
	50.000	454.08	06/10/20	478.50	24.42 W	0.00	2 of 2 - Sale ²⁵
06/11/20	100.000	908.16	Various	955.99	47.83 W	0.00	Total of 2 transactions
06/19/20	25.000	325.13	06/19/20	337.21	12.08 W	0.00	Sale ²⁵
	4 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	25.000	371.11	06/30/20	393.23	...	-22.12	1 of 4 - Sale ²⁵
	25.000	371.12	06/30/20	391.58	...	-20.46	2 of 4 - Sale ²⁵
	25.000	371.11	06/30/20	377.71	...	-6.60	3 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GENMARK DIAGNOSTICS, INC. COMM ON STOCK / CUSIP: 372309104 / Symbol: (cont'd)							
	25.000	371.11	06/30/20	377.58	...	-6.47	4 of 4 - Sale ²⁵
06/30/20	100.000	1,484.45	Various	1,540.10	...	-55.65	Total of 4 transactions
	2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	25.000	357.87	06/30/20	375.70	17.83 W	0.00	1 of 2 - Sale ²⁵
	25.000	357.86	06/30/20	376.21	18.35 W	0.00	2 of 2 - Sale ²⁵
06/30/20	50.000	715.73	Various	751.91	36.18 W	0.00	Total of 2 transactions
	Security total:	7,072.73		7,547.53	410.25 W	-64.55	
GERON CORPORATION COMMON STOCK / CUSIP: 374163103 / Symbol:							
05/29/20	100.000	166.99	05/27/20	166.76	...	0.23	Sale ²⁵
	2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	100.000	195.25	06/18/20	187.50	...	7.75	1 of 2 - Sale ²⁵
	100.000	195.25	06/18/20	185.76	...	9.49	2 of 2 - Sale ²⁵
06/19/20	200.000	390.50	Various	373.26	...	17.24	Total of 2 transactions
	3 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	100.000	195.25	06/18/20	199.71	4.46 W	0.00	1 of 3 - Sale ²⁵
	75.000	146.44	06/18/20	150.00	3.56 W	0.00	2 of 3 - Sale ²⁵
	10.000	19.53	06/18/20	20.10	0.57 W	0.00	3 of 3 - Sale ²⁵
06/19/20	185.000	361.22	Various	369.81	8.59 W	0.00	Total of 3 transactions
	7 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	100.000	203.24	06/25/20	208.44	...	-5.20	1 of 7 - Sale ²⁵
	75.000	152.43	06/25/20	156.55	...	-4.12	2 of 7 - Sale ²⁵
	100.000	203.24	06/25/20	204.00	...	-0.76	3 of 7 - Sale ²⁵
	100.000	203.25	06/25/20	204.00	...	-0.75	4 of 7 - Sale ²⁵
	10.000	20.32	06/25/20	20.97	...	-0.65	5 of 7 - Sale ²⁵
	65.000	132.11	06/25/20	132.58	...	-0.47	6 of 7 - Sale ²⁵
	50.000	101.62	06/25/20	102.00	...	-0.38	7 of 7 - Sale ²⁵
06/25/20	500.000	1,016.21	Various	1,028.54	...	-12.33	Total of 7 transactions
	Security total:	1,934.92		1,938.37	8.59 W	5.14	

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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GILEAD SCIENCES, INC. COMMON S TOCK / CUSIP: 375558103 / Symbol:							
<i>9 transactions for 02/28/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	141.00	02/26/20	149.98	8.98 W	0.00	1 of 9 - Sale ²⁵
	1.000	70.50	02/26/20	75.01	4.51 W	0.00	2 of 9 - Sale ²⁵
	9.000	634.48	02/26/20	675.45	40.97 W	0.00	3 of 9 - Sale ²⁵
	10.000	704.98	02/26/20	750.50	45.52 W	0.00	4 of 9 - Sale ²⁵
	1.000	70.50	02/27/20	78.17	7.67 W	0.00	5 of 9 - Sale ²⁵
	4.000	281.99	02/27/20	312.68	30.69 W	0.00	6 of 9 - Sale ²⁵
	3.000	211.50	02/27/20	234.51	23.01 W	0.00	7 of 9 - Sale ²⁵
	1.000	70.50	02/27/20	82.68	12.18 W	0.00	8 of 9 - Sale ²⁵
	1.000	70.50	02/27/20	82.72	12.22 W	0.00	9 of 9 - Sale ²⁵
02/28/20	32.000	2,255.95	Various	2,441.70	185.75 W	0.00	Total of 9 transactions
<i>2 transactions for 03/03/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	144.74	02/28/20	146.85	2.11 W	0.00	1 of 2 - Sale ²⁵
	8.000	578.98	02/28/20	587.43	8.45 W	0.00	2 of 2 - Sale ²⁵
03/03/20	10.000	723.72	Various	734.28	10.56 W	0.00	Total of 2 transactions
<i>10 transactions for 03/09/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	76.52	03/06/20	90.97	14.45 W	0.00	1 of 10 - Sale ²⁵
	1.000	76.52	03/06/20	91.00	14.48 W	0.00	2 of 10 - Sale ²⁵
	2.000	153.04	03/06/20	166.56	13.52 W	0.00	3 of 10 - Sale ²⁵
	8.000	612.15	03/06/20	666.72	54.57 W	0.00	4 of 10 - Sale ²⁵
	1.000	76.52	03/06/20	86.46	9.94 W	0.00	5 of 10 - Sale ²⁵
	3.000	229.55	03/06/20	259.37	29.82 W	0.00	6 of 10 - Sale ²⁵
	4.000	306.07	03/06/20	345.84	39.77 W	0.00	7 of 10 - Sale ²⁵
	2.000	153.04	03/06/20	159.63	6.59 W	0.00	8 of 10 - Sale ²⁵
	8.000	612.14	03/06/20	638.53	26.39 W	0.00	9 of 10 - Sale ²⁵
	10.000	765.18	03/06/20	787.60	22.42 W	0.00	10 of 10 - Sale ²⁵
03/09/20	40.000	3,060.73	Various	3,292.68	231.95 W	0.00	Total of 10 transactions
<i>4 transactions for 03/12/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	282.03	03/11/20	334.93	...	-52.90	1 of 4 - Sale ²⁵
	3.000	211.52	03/11/20	251.19	...	-39.67	2 of 4 - Sale ²⁵
	2.000	141.02	03/11/20	161.10	...	-20.08	3 of 4 - Sale ²⁵
	1.000	70.51	03/11/20	83.73	...	-13.22	4 of 4 - Sale ²⁵
03/12/20	10.000	705.08	Various	830.95	...	-125.87	Total of 4 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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GILEAD SCIENCES, INC. COMMON S TOCK / CUSIP: 375558103 / Symbol: (cont'd)							
3 transactions for 03/12/20. Total proceeds and cost reported to the IRS.							
	1.000	70.51	03/11/20	88.24	17.73 W	0.00	1 of 3 - Sale ²⁵
	1.000	70.51	03/11/20	88.27	17.76 W	0.00	2 of 3 - Sale ²⁵
	8.000	564.06	03/11/20	644.89	80.83 W	0.00	3 of 3 - Sale ²⁵
03/12/20	10.000	705.08	Various	821.40	116.32 W	0.00	Total of 3 transactions
3 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	2.000	148.56	04/08/20	156.79	8.23 W	0.00	1 of 3 - Sale ²⁵
	8.000	594.22	04/08/20	627.19	32.97 W	0.00	2 of 3 - Sale ²⁵
	10.000	742.78	04/08/20	773.42	30.64 W	0.00	3 of 3 - Sale ²⁵
04/09/20	20.000	1,485.56	Various	1,557.40	71.84 W	0.00	Total of 3 transactions
3 transactions for 04/13/20. Total proceeds and cost reported to the IRS.							
	8.000	592.62	04/09/20	667.13	...	-74.51	1 of 3 - Sale ²⁵
	1.000	74.08	04/09/20	91.05	...	-16.97	2 of 3 - Sale ²⁵
	1.000	74.08	04/09/20	91.02	...	-16.94	3 of 3 - Sale ²⁵
04/13/20	10.000	740.78	Various	849.20	...	-108.42	Total of 3 transactions
3 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	3.000	250.03	04/16/20	254.31	...	-4.28	1 of 3 - Sale ²⁵
	1.000	83.35	04/16/20	84.81	...	-1.46	2 of 3 - Sale ²⁵
	1.000	83.34	04/17/20	88.11	...	-4.77	3 of 3 - Sale ²⁵
04/20/20	5.000	416.72	Various	427.23	...	-10.51	Total of 3 transactions
2 transactions for 04/22/20. Total proceeds and cost reported to the IRS.							
	3.000	240.04	04/17/20	264.34	...	-24.30	1 of 2 - Sale ²⁵
	2.000	160.03	04/17/20	176.21	...	-16.18	2 of 2 - Sale ²⁵
04/22/20	5.000	400.07	Various	440.55	...	-40.48	Total of 2 transactions
04/30/20	10.000	834.98	04/29/20	844.14	...	-9.16	Sale ²⁵
	Security total:	11,328.67		12,239.53	616.42 W	-294.44	
GLOWPOINT INC. / CUSIP: 379887508 / Symbol:							
03/05/20	200.000	493.98	03/04/20	430.00	...	63.98	Sale ²⁵
GLU MOBILE INC. COMMON STOCK / CUSIP: 379890106 / Symbol:							
04/15/20	12.000	80.82	04/14/20	82.78	...	-1.96	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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GOL LINHAS AEREAS INTELIGENTES S.A. AMERICAN DEPOSITARY SHARES (EACH REPRESENTING TEN PREF / CUSIP: 38045R206 / Symbol:							
2 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	59.000	277.29	03/26/20	338.65	...	-61.36	1 of 2 - Sale ²⁵
	1.000	4.81	03/26/20	5.74	...	-0.93	2 of 2 - Sale ²⁵
03/27/20	60.000	282.10	Various	344.39	...	-62.29	Total of 2 transactions
3 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	20.000	69.50	05/12/20	75.20	5.70 W	0.00	1 of 3 - Sale ²⁵
	2.000	6.95	05/12/20	7.52	0.57 W	0.00	2 of 3 - Sale ²⁵
	28.000	97.30	05/12/20	106.40	9.10 W	0.00	3 of 3 - Sale ²⁵
05/13/20	50.000	173.75	Various	189.12	15.37 W	0.00	Total of 3 transactions
05/26/20	50.000	229.50	05/22/20	220.50	...	9.00	Sale ²⁵
3 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	2.000	9.18	05/22/20	9.39	0.21 W	0.00	1 of 3 - Sale ²⁵
	20.000	91.80	05/22/20	93.90	2.10 W	0.00	2 of 3 - Sale ²⁵
	28.000	128.52	05/22/20	132.58	4.06 W	0.00	3 of 3 - Sale ²⁵
05/26/20	50.000	229.50	Various	235.87	6.37 W	0.00	Total of 3 transactions
12 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	2.000	13.77	06/01/20	9.92	...	3.85	1 of 12 - Sale ²⁵
	20.000	137.70	06/01/20	99.20	...	38.50	2 of 12 - Sale ²⁵
	28.000	192.77	06/01/20	140.00	...	52.77	3 of 12 - Sale ²⁵
	50.000	344.24	06/01/20	242.75	...	101.49	4 of 12 - Sale ²⁵
	25.000	168.99	06/02/20	137.63	...	31.36	5 of 12 - Sale ²⁵
	25.000	170.74	06/02/20	133.25	...	37.49	6 of 12 - Sale ²⁵
	25.000	170.75	06/02/20	130.25	...	40.50	7 of 12 - Sale ²⁵
	25.000	172.12	06/02/20	130.25	...	41.87	8 of 12 - Sale ²⁵
	50.000	341.49	06/02/20	265.25	...	76.24	9 of 12 - Sale ²⁵
	75.000	506.99	06/02/20	399.74	...	107.25	10 of 12 - Sale ²⁵
	5.000	33.75	06/03/20	31.00	...	2.75	11 of 12 - Sale ²⁵
	70.000	472.49	06/03/20	433.30	...	39.19	12 of 12 - Sale ²⁵
06/04/20	400.000	2,725.80	Various	2,152.54	...	573.26	Total of 12 transactions
06/04/20	25.000	168.74	06/03/20	172.38	3.64 W	0.00	Sale ²⁵
4 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	10.000	67.30	06/12/20	79.26	11.96 W	0.00	1 of 4 - Sale ²⁵
	1.000	6.70	06/12/20	7.93	1.23 W	0.00	2 of 4 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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GOL LINHAS AEREAS INTELIGENTES S.A. AMERICAN DEPOSITARY SHAR ES (EACH REPRESENTING TEN PREF / CUSIP: 38045R206 / Symbol: (cont'd)							
	14.000	91.77	06/12/20	110.95	19.18 W	0.00	3 of 4 - Sale ²⁵
	75.000	491.62	06/12/20	583.50	91.88 W	0.00	4 of 4 - Sale ²⁵
06/15/20	100.000	657.39	Various	781.64	124.25 W	0.00	Total of 4 transactions
	5 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	1.000	6.80	06/24/20	8.19	1.39 W	0.00	1 of 5 - Sale ²⁵
	10.000	68.00	06/24/20	81.56	13.56 W	0.00	2 of 5 - Sale ²⁵
	14.000	95.20	06/24/20	116.62	21.42 W	0.00	3 of 5 - Sale ²⁵
	25.000	170.00	06/24/20	204.63	34.63 W	0.00	4 of 5 - Sale ²⁵
	50.000	340.00	06/24/20	409.25	69.25 W	0.00	5 of 5 - Sale ²⁵
06/24/20	100.000	680.00	Various	820.25	140.25 W	0.00	Total of 5 transactions
	6 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	1.000	7.10	06/25/20	8.57	1.47 W	0.00	1 of 6 - Sale ²⁵
	10.000	71.00	06/25/20	85.31	14.31 W	0.00	2 of 6 - Sale ²⁵
	14.000	99.39	06/25/20	121.87	22.48 W	0.00	3 of 6 - Sale ²⁵
	25.000	177.49	06/25/20	214.00	36.51 W	0.00	4 of 6 - Sale ²⁵
	25.000	177.49	06/25/20	214.00	36.51 W	0.00	5 of 6 - Sale ²⁵
	25.000	177.50	06/25/20	214.00	36.50 W	0.00	6 of 6 - Sale ²⁵
06/25/20	100.000	709.97	Various	857.75	147.78 W	0.00	Total of 6 transactions
	6 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	25.000	170.86	06/29/20	210.26	...	-39.40	1 of 6 - Sale ²⁵
	25.000	170.87	06/29/20	210.26	...	-39.39	2 of 6 - Sale ²⁵
	25.000	170.87	06/29/20	210.25	...	-39.38	3 of 6 - Sale ²⁵
	14.000	95.69	06/29/20	119.78	...	-24.09	4 of 6 - Sale ²⁵
	10.000	68.35	06/29/20	83.81	...	-15.46	5 of 6 - Sale ²⁵
	1.000	6.83	06/29/20	8.42	...	-1.59	6 of 6 - Sale ²⁵
06/29/20	100.000	683.47	Various	842.78	...	-159.31	Total of 6 transactions
	Security total:	6,540.22		6,617.22	437.66 W	360.66	
GOLDEN ENTERTAINMENT, INC. COM MON STOCK / CUSIP: 381013101 / Symbol:							
03/26/20	50.000	421.53	03/25/20	336.50	...	85.03	Sale ²⁵
06/12/20	10.000	118.90	06/11/20	120.60	1.70 W	0.00	Sale ²⁵
	2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.						
	40.000	371.00	07/02/20	391.00	...	-20.00	1 of 2 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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GOLDEN ENTERTAINMENT, INC. COM MON STOCK / CUSIP: 381013101 / Symbol: (cont'd)							
	10.000	92.75	07/02/20	99.45	...	-6.70	2 of 2 - Sale ²⁵
07/02/20	50.000	463.75	Various	490.45	...	-26.70	Total of 2 transactions
	Security total:	1,004.18		947.55	1.70 W	58.33	
GOOD TIMES RESTAURANTS INC. CO MMON STOCK / CUSIP: 382140879 / Symbol:							
2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	100.000	98.99	05/11/20	99.99	...	-1.00	1 of 2 - Sale ²⁵
	100.000	98.99	05/11/20	99.98	...	-0.99	2 of 2 - Sale ²⁵
05/12/20	200.000	197.98	Various	199.97	...	-1.99	Total of 2 transactions
GOPRO, INC. CLASS A COMMON STO CK / CUSIP: 38268T103 / Symbol:							
06/09/20	25.000	125.50	06/08/20	131.69	...	-6.19	Sale ²⁵
GRAN TIERRA ENERGY INC. / CUSIP: 38500T101 / Symbol:							
2 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	500.000	132.39	03/27/20	127.95	...	4.44	1 of 2 - Sale ²⁵
	1,000.000	264.78	03/27/20	255.90	...	8.88	2 of 2 - Sale ²⁵
03/30/20	1,500.000	397.17	Various	383.85	...	13.32	Total of 2 transactions
04/24/20	300.000	98.65	04/23/20	85.14	...	13.51	Sale ²⁵
06/09/20	50.000	30.00	06/08/20	34.00	...	-4.00	Sale ²⁵
	Security total:	525.82		502.99	...	22.83	
GRANITE POINT MORTGAGE TRUST I NC. COMMON STOCK / CUSIP: 38741L107 / Symbol:							
03/27/20	25.000	173.75	03/26/20	185.94	...	-12.19	Sale ²⁵
06/09/20	50.000	460.75	06/08/20	455.50	...	5.25	Sale ²⁵
	Security total:	634.50		641.44	...	-6.94	
GREAT PANTHER MINING LIMITED / CUSIP: 39115V101 / Symbol:							
05/13/20	500.000	253.04	05/12/20	267.45	4.61 W	-9.80	Sale ²⁵
05/18/20	10.000	4.51	05/15/20	4.89	...	-0.38	Sale ²⁵
06/15/20	150.000	61.48	06/12/20	70.32	8.84 W	0.00	Sale ²⁵
3 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	100.000	42.74	06/18/20	48.65	5.91 W	0.00	1 of 3 - Sale ²⁵
	50.000	21.37	06/18/20	24.33	2.96 W	0.00	2 of 3 - Sale ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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GREAT PANTHER MINING LIMITED / CUSIP: 39115V101 / Symbol: (cont'd)							
	50.000	21.37	06/18/20	21.38	0.01 W	0.00	3 of 3 - Sale ²⁵
06/19/20	200.000	85.48	Various	94.36	8.88 W	0.00	Total of 3 transactions
	18 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	100.000	50.49	06/30/20	53.33	...	-2.84	1 of 18 - Sale ²⁵
	50.000	25.25	06/30/20	26.68	...	-1.43	2 of 18 - Sale ²⁵
	300.000	151.46	06/30/20	152.22	...	-0.76	3 of 18 - Sale ²⁵
	200.000	100.98	06/30/20	101.48	...	-0.50	4 of 18 - Sale ²⁵
	100.000	50.49	06/30/20	50.74	...	-0.25	5 of 18 - Sale ²⁵
	100.000	50.49	06/30/20	50.74	...	-0.25	6 of 18 - Sale ²⁵
	100.000	50.49	06/30/20	50.74	...	-0.25	7 of 18 - Sale ²⁵
	50.000	25.24	06/30/20	25.37	...	-0.13	8 of 18 - Sale ²⁵
	6.000	3.03	06/30/20	2.91	...	0.12	9 of 18 - Sale ²⁵
	44.000	22.22	06/30/20	21.35	...	0.87	10 of 18 - Sale ²⁵
	56.000	28.27	06/30/20	27.16	...	1.11	11 of 18 - Sale ²⁵
	100.000	50.49	06/30/20	49.16	...	1.33	12 of 18 - Sale ²⁵
	100.000	50.49	06/30/20	48.50	...	1.99	13 of 18 - Sale ²⁵
	100.000	50.49	06/30/20	48.50	...	1.99	14 of 18 - Sale ²⁵
	100.000	50.49	06/30/20	48.50	...	1.99	15 of 18 - Sale ²⁵
	250.000	126.22	06/30/20	122.90	...	3.32	16 of 18 - Sale ²⁵
	200.000	100.98	06/30/20	97.00	...	3.98	17 of 18 - Sale ²⁵
	244.000	123.19	06/30/20	118.34	...	4.85	18 of 18 - Sale ²⁵
06/30/20	2,200.000	1,110.76	Various	1,095.62	...	15.14	Total of 18 transactions
	4 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	50.000	25.24	06/30/20	27.21	1.97 W	0.00	1 of 4 - Sale ²⁵
	100.000	50.49	06/30/20	54.41	3.92 W	0.00	2 of 4 - Sale ²⁵
	100.000	50.49	06/30/20	53.08	2.59 W	0.00	3 of 4 - Sale ²⁵
	50.000	25.24	06/30/20	26.55	1.31 W	0.00	4 of 4 - Sale ²⁵
06/30/20	300.000	151.46	Various	161.25	9.79 W	0.00	Total of 4 transactions
	Security total:	1,666.73		1,693.89	32.12 W	4.96	
GREEN DOT CORPORATION / CUSIP: 39304D102 / Symbol:							
06/17/20	15.000	584.98	06/16/20	591.95	6.97 W	0.00	Sale ²⁵
	5 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	200.000	9,172.77	06/26/20	9,200.00	...	-27.23	1 of 5 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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GREEN DOT CORPORATION / CUSIP: 39304D102 / Symbol: (cont'd)							
	100.000	4,586.38	06/26/20	4,600.00	...	-13.62	2 of 5 - Sale ²⁵
	100.000	4,586.39	06/26/20	4,599.00	...	-12.61	3 of 5 - Sale ²⁵
	85.000	3,898.43	06/26/20	3,909.15	...	-10.72	4 of 5 - Sale ²⁵
	15.000	687.96	06/26/20	696.82	...	-8.86	5 of 5 - Sale ²⁵
06/26/20	500.000	22,931.93	Various	23,004.97	...	-73.04	Total of 5 transactions
	Security total:	23,516.91		23,596.92	6.97 W	-73.04	
GROUPON, INC. COMMON STOCK / CUSIP: 399473107 / Symbol:							
2 transactions for 02/20/20. Total proceeds and cost reported to the IRS.							
	3.000	5.20	02/19/20	5.24	0.04 W	0.00	1 of 2 - Sale ²⁵
	1.000	1.73	02/19/20	1.76	0.03 W	0.00	2 of 2 - Sale ²⁵
02/20/20	4.000	6.93	Various	7.00	0.07 W	0.00	Total of 2 transactions
20 transactions for 03/12/20. Total proceeds and cost reported to the IRS.							
	1.000	0.76	03/11/20	1.01	0.25 W	0.00	1 of 20 - Sale ²⁵
	3.000	2.28	03/11/20	2.98	0.70 W	0.00	2 of 20 - Sale ²⁵
	2.000	1.52	03/11/20	1.96	0.44 W	0.00	3 of 20 - Sale ²⁵
	33.000	24.75	03/11/20	32.34	7.59 W	0.00	4 of 20 - Sale ²⁵
	50.000	37.50	03/11/20	48.99	11.49 W	0.00	5 of 20 - Sale ²⁵
	3.000	2.25	03/11/20	2.94	0.69 W	0.00	6 of 20 - Sale ²⁵
	2.000	1.50	03/11/20	1.96	0.46 W	0.00	7 of 20 - Sale ²⁵
	5.000	3.75	03/11/20	4.90	1.15 W	0.00	8 of 20 - Sale ²⁵
	100.000	74.99	03/11/20	97.99	23.00 W	0.00	9 of 20 - Sale ²⁵
	3.000	2.25	03/11/20	2.94	0.69 W	0.00	10 of 20 - Sale ²⁵
	20.000	15.00	03/11/20	19.60	4.60 W	0.00	11 of 20 - Sale ²⁵
	10.000	7.50	03/11/20	9.80	2.30 W	0.00	12 of 20 - Sale ²⁵
	5.000	3.75	03/11/20	4.90	1.15 W	0.00	13 of 20 - Sale ²⁵
	20.000	15.00	03/11/20	19.60	4.60 W	0.00	14 of 20 - Sale ²⁵
	1.000	0.75	03/11/20	0.98	0.23 W	0.00	15 of 20 - Sale ²⁵
	2.000	1.50	03/11/20	1.96	0.46 W	0.00	16 of 20 - Sale ²⁵
	10.000	7.50	03/11/20	9.80	2.30 W	0.00	17 of 20 - Sale ²⁵
	1.000	0.75	03/11/20	0.98	0.23 W	0.00	18 of 20 - Sale ²⁵
	20.000	15.00	03/11/20	19.59	4.59 W	0.00	19 of 20 - Sale ²⁵
	9.000	6.75	03/11/20	8.82	2.07 W	0.00	20 of 20 - Sale ²⁵
03/12/20	300.000	225.05	Various	294.04	68.99 W	0.00	Total of 20 transactions

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Account 412265225

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2020 1099-B* OMB No. 1545-0715

(continued)

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GROUPON, INC. COMMON STOCK / CUSIP: 399473107 / Symbol: (cont'd)							
21 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	1.000	0.85	03/25/20	1.15	0.30 W	0.00	1 of 21 - Sale ²⁵
	3.000	2.55	03/25/20	3.40	0.85 W	0.00	2 of 21 - Sale ²⁵
	1.000	0.85	03/25/20	1.13	0.28 W	0.00	3 of 21 - Sale ²⁵
	1.000	0.85	03/25/20	1.13	0.28 W	0.00	4 of 21 - Sale ²⁵
	2.000	1.70	03/25/20	2.24	0.54 W	0.00	5 of 21 - Sale ²⁵
	2.000	1.70	03/25/20	2.26	0.56 W	0.00	6 of 21 - Sale ²⁵
	2.000	1.70	03/25/20	2.26	0.56 W	0.00	7 of 21 - Sale ²⁵
	3.000	2.55	03/25/20	3.39	0.84 W	0.00	8 of 21 - Sale ²⁵
	3.000	2.55	03/25/20	3.39	0.84 W	0.00	9 of 21 - Sale ²⁵
	5.000	4.25	03/25/20	5.65	1.40 W	0.00	10 of 21 - Sale ²⁵
	5.000	4.25	03/25/20	5.65	1.40 W	0.00	11 of 21 - Sale ²⁵
	9.000	7.65	03/25/20	10.17	2.52 W	0.00	12 of 21 - Sale ²⁵
	10.000	8.50	03/25/20	11.29	2.79 W	0.00	13 of 21 - Sale ²⁵
	10.000	8.50	03/25/20	11.29	2.79 W	0.00	14 of 21 - Sale ²⁵
	20.000	17.00	03/25/20	22.59	5.59 W	0.00	15 of 21 - Sale ²⁵
	20.000	17.00	03/25/20	22.59	5.59 W	0.00	16 of 21 - Sale ²⁵
	20.000	16.99	03/25/20	22.58	5.59 W	0.00	17 of 21 - Sale ²⁵
	33.000	28.05	03/25/20	37.27	9.22 W	0.00	18 of 21 - Sale ²⁵
	50.000	42.49	03/25/20	56.47	13.98 W	0.00	19 of 21 - Sale ²⁵
	100.000	84.99	03/25/20	112.95	27.96 W	0.00	20 of 21 - Sale ²⁵
	50.000	42.49	03/25/20	44.97	2.48 W	0.00	21 of 21 - Sale ²⁵
03/26/20	350.000	297.46	Various	383.82	86.36 W	0.00	Total of 21 transactions
4 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	1.000	0.98	03/26/20	1.28	0.30 W	0.00	1 of 4 - Sale ²⁵
	3.000	2.94	03/26/20	3.79	0.85 W	0.00	2 of 4 - Sale ²⁵
	2.000	1.96	03/26/20	2.50	0.54 W	0.00	3 of 4 - Sale ²⁵
	29.000	28.42	03/26/20	36.52	8.10 W	0.00	4 of 4 - Sale ²⁵
03/27/20	35.000	34.30	Various	44.09	9.79 W	0.00	Total of 4 transactions
2 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	35.000	40.59	03/27/20	43.39	...	-2.80	1 of 2 - Sale ²⁵
	9.000	10.44	03/27/20	13.23	...	-2.79	2 of 2 - Sale ²⁵
03/30/20	44.000	51.03	Various	56.62	...	-5.59	Total of 2 transactions

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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GROUPON, INC. COMMON STOCK / CUSIP: 399473107 / Symbol: (cont'd)							
<i>17 transactions for 03/30/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	23.19	03/27/20	29.39	4.34 W	-1.86	1 of 17 - Sale ²⁵
	2.000	2.32	03/27/20	2.94	0.62 W	0.00	2 of 17 - Sale ²⁵
	3.000	3.48	03/27/20	4.41	0.93 W	0.00	3 of 17 - Sale ²⁵
	3.000	3.48	03/27/20	4.41	0.93 W	0.00	4 of 17 - Sale ²⁵
	3.000	3.48	03/27/20	4.41	0.93 W	0.00	5 of 17 - Sale ²⁵
	4.000	4.64	03/27/20	5.88	1.24 W	0.00	6 of 17 - Sale ²⁵
	5.000	5.80	03/27/20	7.35	1.55 W	0.00	7 of 17 - Sale ²⁵
	10.000	11.60	03/27/20	14.69	3.09 W	0.00	8 of 17 - Sale ²⁵
	20.000	23.20	03/27/20	29.39	6.19 W	0.00	9 of 17 - Sale ²⁵
	50.000	57.99	03/27/20	73.47	15.48 W	0.00	10 of 17 - Sale ²⁵
	100.000	115.99	03/27/20	146.95	30.96 W	0.00	11 of 17 - Sale ²⁵
	1.000	1.16	03/27/20	1.47	0.31 W	0.00	12 of 17 - Sale ²⁵
	1.000	1.16	03/27/20	1.47	0.31 W	0.00	13 of 17 - Sale ²⁵
	2.000	2.32	03/27/20	2.94	0.62 W	0.00	14 of 17 - Sale ²⁵
	2.000	2.32	03/27/20	2.94	0.62 W	0.00	15 of 17 - Sale ²⁵
	10.000	11.60	03/27/20	14.69	3.09 W	0.00	16 of 17 - Sale ²⁵
	20.000	23.20	03/27/20	29.39	6.19 W	0.00	17 of 17 - Sale ²⁵
03/30/20	256.000	296.93	Various	376.19	77.40 W	-1.86	Total of 17 transactions
<i>22 transactions for 04/02/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	85.00	04/01/20	120.84	...	-35.84	1 of 22 - Sale ²⁵
	50.000	42.50	04/01/20	60.42	...	-17.92	2 of 22 - Sale ²⁵
	29.000	24.64	04/01/20	34.16	...	-9.52	3 of 22 - Sale ²⁵
	20.000	17.00	04/01/20	24.16	...	-7.16	4 of 22 - Sale ²⁵
	20.000	17.00	04/01/20	24.16	...	-7.16	5 of 22 - Sale ²⁵
	14.000	11.90	04/01/20	16.92	...	-5.02	6 of 22 - Sale ²⁵
	10.000	8.50	04/01/20	12.08	...	-3.58	7 of 22 - Sale ²⁵
	10.000	8.50	04/01/20	12.07	...	-3.57	8 of 22 - Sale ²⁵
	5.000	4.25	04/01/20	6.04	...	-1.79	9 of 22 - Sale ²⁵
	15.000	12.75	04/01/20	14.22	...	-1.47	10 of 22 - Sale ²⁵
	4.000	3.40	04/01/20	4.84	...	-1.44	11 of 22 - Sale ²⁵
	3.000	2.55	04/01/20	3.63	...	-1.08	12 of 22 - Sale ²⁵
	3.000	2.55	04/01/20	3.63	...	-1.08	13 of 22 - Sale ²⁵
	3.000	2.55	04/01/20	3.63	...	-1.08	14 of 22 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GROUPON, INC. COMMON STOCK / CUSIP: 399473107 / Symbol: (cont'd)							
	3.000	2.55	04/01/20	3.55	...	-1.00	15 of 22 - Sale ²⁵
	2.000	1.70	04/01/20	2.42	...	-0.72	16 of 22 - Sale ²⁵
	2.000	1.70	04/01/20	2.42	...	-0.72	17 of 22 - Sale ²⁵
	2.000	1.70	04/01/20	2.42	...	-0.72	18 of 22 - Sale ²⁵
	2.000	1.70	04/01/20	2.34	...	-0.64	19 of 22 - Sale ²⁵
	1.000	0.85	04/01/20	1.21	...	-0.36	20 of 22 - Sale ²⁵
	1.000	0.85	04/01/20	1.21	...	-0.36	21 of 22 - Sale ²⁵
	1.000	0.85	04/01/20	1.20	...	-0.35	22 of 22 - Sale ²⁵
04/02/20	300.000	254.99	Various	357.57	...	-102.58	Total of 22 transactions
	Security total:	1,166.69		1,519.33	242.61 W	-110.03	
GRUBHUB INC. / CUSIP: 400110102 / Symbol:							
06/12/20	10.000	632.11	06/11/20	637.70	...	-5.59	Sale ²⁵
GUARDION HEALTH SCIENCES, INC. COMMON STOCK / CUSIP: 40145Q203 / Symbol:							
2 transactions for 03/03/20. Total proceeds and cost reported to the IRS.							
	300.000	133.01	02/28/20	187.50	9.08 W	-45.41	1 of 2 - Sale ²⁵
	200.000	88.68	02/28/20	124.80	36.12 W	0.00	2 of 2 - Sale ²⁵
03/03/20	500.000	221.69	Various	312.30	45.20 W	-45.41	Total of 2 transactions
2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	50.000	20.55	03/19/20	31.33	10.78 W	0.00	1 of 2 - Sale ²⁵
	200.000	82.20	03/19/20	125.12	42.92 W	0.00	2 of 2 - Sale ²⁵
03/20/20	250.000	102.75	Various	156.45	53.70 W	0.00	Total of 2 transactions
04/06/20	250.000	106.47	04/03/20	106.00	...	0.47	Sale ²⁵
2 transactions for 04/06/20. Total proceeds and cost reported to the IRS.							
	50.000	21.29	04/03/20	31.98	10.69 W	0.00	1 of 2 - Sale ²⁵
	200.000	85.18	04/03/20	127.72	42.54 W	0.00	2 of 2 - Sale ²⁵
04/06/20	250.000	106.47	Various	159.70	53.23 W	0.00	Total of 2 transactions
3 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	50.000	22.92	04/30/20	33.79	...	-10.87	1 of 3 - Sale ²⁵
	292.000	133.85	04/30/20	134.87	...	-1.02	2 of 3 - Sale ²⁵
	258.000	118.26	04/30/20	119.17	...	-0.91	3 of 3 - Sale ²⁵
05/01/20	600.000	275.03	Various	287.83	...	-12.80	Total of 3 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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GUARDION HEALTH SCIENCES, INC. COMMON STOCK / CUSIP: 40145Q203 / Symbol: (cont'd)							
2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	192.000	88.01	04/30/20	129.52	9.08 W	-32.43	1 of 2 - Sale ²⁵
	8.000	3.67	04/30/20	5.40	1.73 W	0.00	2 of 2 - Sale ²⁵
05/01/20	200.000	91.68	Various	134.92	10.81 W	-32.43	Total of 2 transactions
2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	42.000	21.84	05/11/20	30.26	...	-8.42	1 of 2 - Sale ²⁵
	8.000	4.16	05/11/20	5.77	...	-1.61	2 of 2 - Sale ²⁵
05/12/20	50.000	26.00	Various	36.03	...	-10.03	Total of 2 transactions
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	100.000	43.88	06/19/20	45.42	...	-1.54	1 of 2 - Sale ²⁵
	100.000	43.87	06/19/20	43.90	...	-0.03	2 of 2 - Sale ²⁵
06/19/20	200.000	87.75	Various	89.32	...	-1.57	Total of 2 transactions
	Security total:	1,017.84		1,282.55	162.94 W	-101.77	
GUESS?, INC. / CUSIP: 401617105 / Symbol:							
04/28/20	100.000	823.07	04/24/20	705.00	...	118.07	Sale ²⁵
05/18/20	25.000	198.75	05/15/20	183.00	...	15.75	Sale ²⁵
05/22/20	50.000	409.48	05/21/20	401.50	...	7.98	Sale ²⁵
3 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	25.000	204.74	05/21/20	207.00	2.26 W	0.00	1 of 3 - Sale ²⁵
	14.000	114.66	05/21/20	116.72	2.06 W	0.00	2 of 3 - Sale ²⁵
	11.000	88.88	05/21/20	92.69	3.81 W	0.00	3 of 3 - Sale ²⁵
05/22/20	50.000	408.28	Various	416.41	8.13 W	0.00	Total of 3 transactions
4 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	11.000	100.10	06/12/20	104.35	4.25 W	0.00	1 of 4 - Sale ²⁵
	14.000	127.40	06/12/20	129.23	1.83 W	0.00	2 of 4 - Sale ²⁵
	14.000	127.40	06/12/20	130.02	2.62 W	0.00	3 of 4 - Sale ²⁵
	11.000	100.10	06/12/20	100.54	0.44 W	0.00	4 of 4 - Sale ²⁵
06/15/20	50.000	455.00	Various	464.14	9.14 W	0.00	Total of 4 transactions
4 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	11.000	113.52	06/22/20	115.03	...	-1.51	1 of 4 - Sale ²⁵
	14.000	144.47	06/22/20	143.62	...	0.85	2 of 4 - Sale ²⁵
	14.000	144.48	06/22/20	142.82	...	1.66	3 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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GUESS?, INC. / CUSIP: 401617105 / Symbol: (cont'd)							
	11.000	113.52	06/22/20	111.22	...	2.30	4 of 4 - Sale ²⁵
06/23/20	50.000	515.99	Various	512.69	...	3.30	Total of 4 transactions
	Security total:	2,810.57		2,682.74	17.27 W	145.10	
HALLIBURTON COMPANY / CUSIP: 406216101 / Symbol:							
4 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	42.000	513.22	06/25/20	521.64	...	-8.42	1 of 4 - Sale ²⁵
	25.000	305.49	06/25/20	310.46	...	-4.97	2 of 4 - Sale ²⁵
	5.000	61.10	06/25/20	62.10	...	-1.00	3 of 4 - Sale ²⁵
	3.000	36.66	06/25/20	37.26	...	-0.60	4 of 4 - Sale ²⁵
06/25/20	75.000	916.47	Various	931.46	...	-14.99	Total of 4 transactions
HARLEY-DAVIDSON, INC. / CUSIP: 412822108 / Symbol:							
06/05/20	10.000	261.00	06/04/20	250.20	...	10.80	Sale ²⁵
HARMONY GOLD MINING COMPANY LI MITED / CUSIP: 413216300 / Symbol:							
03/05/20	100.000	377.99	03/04/20	382.76	4.77 W	0.00	Sale ²⁵
2 transactions for 03/23/20. Total proceeds and cost reported to the IRS.							
	100.000	219.99	03/20/20	231.60	11.61 W	0.00	1 of 2 - Sale ²⁵
	20.000	44.00	03/20/20	45.37	1.37 W	0.00	2 of 2 - Sale ²⁵
03/23/20	120.000	263.99	Various	276.97	12.98 W	0.00	Total of 2 transactions
3 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	100.000	254.99	03/26/20	302.61	...	-47.62	1 of 3 - Sale ²⁵
	20.000	51.00	03/26/20	59.57	...	-8.57	2 of 3 - Sale ²⁵
	5.000	12.75	03/26/20	14.55	...	-1.80	3 of 3 - Sale ²⁵
03/27/20	125.000	318.74	Various	376.73	...	-57.99	Total of 3 transactions
05/15/20	50.000	191.64	05/14/20	183.89	...	7.75	Sale ²⁵
05/20/20	50.000	188.00	05/19/20	188.00	...	0.00	Sale ²⁵
2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	200.000	719.96	06/25/20	726.00	...	-6.04	1 of 2 - Sale ²⁵
	50.000	180.00	06/25/20	181.50	...	-1.50	2 of 2 - Sale ²⁵
06/25/20	250.000	899.96	Various	907.50	...	-7.54	Total of 2 transactions
06/25/20	250.000	896.20	06/25/20	901.85	5.65 W	0.00	Sale ²⁵
	Security total:	3,136.52		3,217.70	23.40 W	-57.78	

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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HEAT BIOLOGICS, INC. COMMON STOCK / CUSIP: 42237K300 / Symbol:							
3 transactions for 03/06/20. Total proceeds and cost reported to the IRS.							
	55.000	46.74	03/04/20	26.11	...	20.63	1 of 3 - Sale ²⁵
	69.000	58.64	03/04/20	32.76	...	25.88	2 of 3 - Sale ²⁵
	76.000	64.59	03/04/20	36.09	...	28.50	3 of 3 - Sale ²⁵
03/06/20	200.000	169.97	Various	94.96	...	75.01	Total of 3 transactions
03/10/20	300.000	221.99	03/09/20	245.94	...	-23.95	Sale ²⁵
04/27/20	500.000	274.94	04/24/20	264.50	...	10.44	Sale ²⁵
05/14/20	200.000	120.82	05/13/20	127.18	6.36 W	0.00	Sale ²⁵
05/29/20	50.000	51.00	05/28/20	50.50	...	0.50	Sale ²⁵
05/29/20	200.000	204.00	05/28/20	208.34	4.34 W	0.00	Sale ²⁵
06/19/20	100.000	77.25	06/18/20	79.06	1.81 W	0.00	Sale ²⁵
4 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	2,500.000	2,150.14	06/26/20	2,182.00	...	-31.86	1 of 4 - Sale ²⁵
	300.000	258.02	06/26/20	274.12	...	-16.10	2 of 4 - Sale ²⁵
	100.000	86.01	06/26/20	93.54	...	-7.53	3 of 4 - Sale ²⁵
	100.000	86.01	06/26/20	93.18	...	-7.17	4 of 4 - Sale ²⁵
06/26/20	3,000.000	2,580.18	Various	2,642.84	...	-62.66	Total of 4 transactions
3 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	100.000	94.28	06/26/20	100.18	5.90 W	0.00	1 of 3 - Sale ²⁵
	100.000	94.28	06/26/20	100.54	6.26 W	0.00	2 of 3 - Sale ²⁵
	300.000	282.83	06/26/20	295.11	12.28 W	0.00	3 of 3 - Sale ²⁵
06/26/20	500.000	471.39	Various	495.83	24.44 W	0.00	Total of 3 transactions
	Security total:	4,171.54		4,209.15	36.95 W	-0.66	
HERON THERAPEUTICS, INC. COMMON STOCK / CUSIP: 427746102 / Symbol:							
04/20/20	12.000	172.92	04/17/20	176.98	...	-4.06	Sale ²⁵
HERTZ GLOBAL HOLDINGS, INC. COMMON STOCK / CUSIP: 42806J106 / Symbol:							
2 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	2.000	16.47	03/23/20	13.55	...	2.92	1 of 2 - Sale ²⁵
	30.000	247.02	03/23/20	204.60	...	42.42	2 of 2 - Sale ²⁵
03/24/20	32.000	263.49	Various	218.15	...	45.34	Total of 2 transactions

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(continued)

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
2 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	25.000	191.50	03/25/20	223.75	32.25 W	0.00	1 of 2 - Sale ²⁵
03/26/20	25.000	191.50	03/25/20	224.00	32.50 W	0.00	2 of 2 - Sale ²⁵
	50.000	383.00	Various	447.75	64.75 W	0.00	Total of 2 transactions
3 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	1.000	6.85	03/26/20	9.15	2.30 W	0.00	1 of 3 - Sale ²⁵
03/27/20	24.000	164.40	03/26/20	219.60	55.20 W	0.00	2 of 3 - Sale ²⁵
	25.000	171.25	03/26/20	229.00	57.75 W	0.00	3 of 3 - Sale ²⁵
	50.000	342.50	Various	457.75	115.25 W	0.00	Total of 3 transactions
5 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	1.000	5.86	03/31/20	8.33	2.47 W	0.00	1 of 5 - Sale ²⁵
04/01/20	24.000	140.63	03/31/20	199.92	59.29 W	0.00	2 of 5 - Sale ²⁵
	25.000	146.49	03/31/20	208.50	62.01 W	0.00	3 of 5 - Sale ²⁵
	10.000	58.60	03/31/20	60.30	1.70 W	0.00	4 of 5 - Sale ²⁵
	40.000	234.39	03/31/20	254.32	19.93 W	0.00	5 of 5 - Sale ²⁵
	100.000	585.97	Various	731.37	145.40 W	0.00	Total of 5 transactions
3 transactions for 04/06/20. Total proceeds and cost reported to the IRS.							
	1.000	5.32	04/03/20	7.74	2.42 W	0.00	1 of 3 - Sale ²⁵
04/06/20	24.000	127.68	04/03/20	185.77	58.09 W	0.00	2 of 3 - Sale ²⁵
	25.000	133.00	04/03/20	193.76	60.76 W	0.00	3 of 3 - Sale ²⁵
	50.000	266.00	Various	387.27	121.27 W	0.00	Total of 3 transactions
11 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	1.000	5.00	04/16/20	7.35	2.35 W	0.00	1 of 11 - Sale ²⁵
	9.000	45.00	04/16/20	66.15	21.15 W	0.00	2 of 11 - Sale ²⁵
	10.000	50.00	04/16/20	51.00	1.00 W	0.00	3 of 11 - Sale ²⁵
	40.000	199.99	04/16/20	217.13	17.14 W	0.00	4 of 11 - Sale ²⁵
	7.000	35.00	04/16/20	51.38	16.38 W	0.00	5 of 11 - Sale ²⁵
	3.000	15.00	04/16/20	15.45	0.45 W	0.00	6 of 11 - Sale ²⁵
	8.000	40.00	04/16/20	60.49	20.49 W	0.00	7 of 11 - Sale ²⁵
	25.000	124.99	04/16/20	189.26	64.27 W	0.00	8 of 11 - Sale ²⁵
	17.000	84.99	04/16/20	87.38	2.39 W	0.00	9 of 11 - Sale ²⁵
	77.000	384.98	04/16/20	400.40	15.42 W	0.00	10 of 11 - Sale ²⁵

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
04/17/20	3.000	15.00	04/16/20	15.66	0.66 W	0.00	11 of 11 - Sale ²⁵
	200.000	999.95	Various	1,161.65	161.70 W	0.00	Total of 11 transactions
	9 transactions for 04/20/20. Total proceeds and cost reported to the IRS.						
	1.000	4.84	04/17/20	7.39	2.55 W	0.00	1 of 9 - Sale ²⁵
	5.000	24.20	04/17/20	38.05	13.85 W	0.00	2 of 9 - Sale ²⁵
	7.000	33.88	04/17/20	51.66	17.78 W	0.00	3 of 9 - Sale ²⁵
	8.000	38.72	04/17/20	60.81	22.09 W	0.00	4 of 9 - Sale ²⁵
	9.000	43.56	04/17/20	66.51	22.95 W	0.00	5 of 9 - Sale ²⁵
	10.000	48.40	04/17/20	51.40	3.00 W	0.00	6 of 9 - Sale ²⁵
	40.000	193.59	04/17/20	218.74	25.15 W	0.00	7 of 9 - Sale ²⁵
	5.000	24.20	04/17/20	38.01	13.81 W	0.00	8 of 9 - Sale ²⁵
	3.000	14.52	04/17/20	22.80	8.28 W	0.00	9 of 9 - Sale ²⁵
04/20/20	88.000	425.91	Various	555.37	129.46 W	0.00	Total of 9 transactions
	8 transactions for 04/22/20. Total proceeds and cost reported to the IRS.						
	1.000	4.26	04/21/20	7.00	2.74 W	0.00	1 of 8 - Sale ²⁵
	3.000	12.78	04/21/20	20.97	8.19 W	0.00	2 of 8 - Sale ²⁵
	9.000	38.34	04/21/20	63.00	24.66 W	0.00	3 of 8 - Sale ²⁵
	12.000	51.12	04/21/20	84.25	33.13 W	0.00	4 of 8 - Sale ²⁵
	3.000	12.78	04/21/20	13.80	1.02 W	0.00	5 of 8 - Sale ²⁵
	3.000	12.78	04/21/20	14.01	1.23 W	0.00	6 of 8 - Sale ²⁵
	17.000	72.42	04/21/20	78.04	5.62 W	0.00	7 of 8 - Sale ²⁵
	77.000	328.01	04/21/20	358.07	30.06 W	0.00	8 of 8 - Sale ²⁵
04/22/20	125.000	532.49	Various	639.14	106.65 W	0.00	Total of 8 transactions
	21 transactions for 04/24/20. Total proceeds and cost reported to the IRS.						
	4.000	15.16	04/23/20	26.36	11.20 W	0.00	1 of 21 - Sale ²⁵
	4.000	15.16	04/23/20	27.25	12.09 W	0.00	2 of 21 - Sale ²⁵
	4.000	15.16	04/23/20	26.68	11.52 W	0.00	3 of 21 - Sale ²⁵
	5.000	18.95	04/23/20	33.40	14.45 W	0.00	4 of 21 - Sale ²⁵
	3.000	11.37	04/23/20	12.63	1.26 W	0.00	5 of 21 - Sale ²⁵
	5.000	18.95	04/23/20	21.10	2.15 W	0.00	6 of 21 - Sale ²⁵
	2.000	7.58	04/23/20	8.44	0.86 W	0.00	7 of 21 - Sale ²⁵
	3.000	11.37	04/23/20	13.65	2.28 W	0.00	8 of 21 - Sale ²⁵
	1.000	3.79	04/23/20	6.67	2.88 W	0.00	9 of 21 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
	3.000	11.37	04/23/20	19.98	8.61 W	0.00	10 of 21 - Sale ²⁵
	9.000	34.11	04/23/20	60.03	25.92 W	0.00	11 of 21 - Sale ²⁵
	3.000	11.37	04/23/20	20.07	8.70 W	0.00	12 of 21 - Sale ²⁵
	5.000	18.95	04/23/20	33.46	14.51 W	0.00	13 of 21 - Sale ²⁵
	12.000	45.48	04/23/20	80.29	34.81 W	0.00	14 of 21 - Sale ²⁵
	37.000	140.22	04/23/20	168.66	28.44 W	0.00	15 of 21 - Sale ²⁵
	3.000	11.37	04/23/20	12.80	1.43 W	0.00	16 of 21 - Sale ²⁵
	3.000	11.37	04/23/20	13.02	1.65 W	0.00	17 of 21 - Sale ²⁵
	17.000	64.43	04/23/20	72.40	7.97 W	0.00	18 of 21 - Sale ²⁵
	77.000	291.81	04/23/20	332.54	40.73 W	0.00	19 of 21 - Sale ²⁵
	100.000	378.97	04/23/20	392.83	13.86 W	0.00	20 of 21 - Sale ²⁵
	100.000	378.97	04/23/20	393.00	14.03 W	0.00	21 of 21 - Sale ²⁵
04/24/20	400.000	1,515.91	Various	1,775.26	259.35 W	0.00	Total of 21 transactions
	18 transactions for 04/29/20. Total proceeds and cost reported to the IRS.						
	3.000	15.34	04/24/20	13.77	...	1.57	1 of 18 - Sale ²⁵
	2.000	10.23	04/24/20	8.52	...	1.71	2 of 18 - Sale ²⁵
	3.000	15.03	04/24/20	13.14	...	1.89	3 of 18 - Sale ²⁵
	3.000	15.03	04/24/20	12.92	...	2.11	4 of 18 - Sale ²⁵
	3.000	15.34	04/24/20	12.75	...	2.59	5 of 18 - Sale ²⁵
	5.000	25.56	04/24/20	21.30	...	4.26	6 of 18 - Sale ²⁵
	17.000	85.17	04/24/20	73.08	...	12.09	7 of 18 - Sale ²⁵
	15.000	75.15	04/24/20	58.80	...	16.35	8 of 18 - Sale ²⁵
	37.000	189.18	04/24/20	170.15	...	19.03	9 of 18 - Sale ²⁵
	21.000	105.21	04/24/20	83.34	...	21.87	10 of 18 - Sale ²⁵
	29.000	145.29	04/24/20	115.09	...	30.20	11 of 18 - Sale ²⁵
	27.000	135.27	04/24/20	104.74	...	30.53	12 of 18 - Sale ²⁵
	33.000	165.32	04/24/20	127.23	...	38.09	13 of 18 - Sale ²⁵
	77.000	385.76	04/24/20	335.64	...	50.12	14 of 18 - Sale ²⁵
	50.000	250.49	04/24/20	188.93	...	61.56	15 of 18 - Sale ²⁵
	25.000	125.24	04/27/20	109.26	...	15.98	16 of 18 - Sale ²⁵
	50.000	250.49	04/27/20	211.50	...	38.99	17 of 18 - Sale ²⁵
	100.000	500.98	04/28/20	490.98	...	10.00	18 of 18 - Sale ²⁵
04/29/20	500.000	2,510.08	Various	2,151.14	...	358.94	Total of 18 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
20 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	1.000	5.11	04/24/20	6.71	1.60 W	0.00	1 of 20 - Sale ²⁵
	3.000	15.34	04/24/20	20.10	4.76 W	0.00	2 of 20 - Sale ²⁵
	9.000	46.02	04/24/20	60.39	14.37 W	0.00	3 of 20 - Sale ²⁵
	3.000	15.34	04/24/20	20.19	4.85 W	0.00	4 of 20 - Sale ²⁵
	4.000	20.45	04/24/20	26.52	6.07 W	0.00	5 of 20 - Sale ²⁵
	4.000	20.45	04/24/20	27.41	6.96 W	0.00	6 of 20 - Sale ²⁵
	4.000	20.45	04/24/20	26.84	6.39 W	0.00	7 of 20 - Sale ²⁵
	5.000	25.56	04/24/20	33.60	8.04 W	0.00	8 of 20 - Sale ²⁵
	5.000	25.57	04/24/20	33.66	8.09 W	0.00	9 of 20 - Sale ²⁵
	12.000	61.35	04/24/20	80.77	19.42 W	0.00	10 of 20 - Sale ²⁵
	1.000	5.01	04/27/20	5.83	0.82 W	0.00	11 of 20 - Sale ²⁵
	3.000	15.03	04/27/20	17.45	2.42 W	0.00	12 of 20 - Sale ²⁵
	9.000	45.09	04/27/20	52.44	7.35 W	0.00	13 of 20 - Sale ²⁵
	3.000	15.03	04/27/20	17.54	2.51 W	0.00	14 of 20 - Sale ²⁵
	4.000	20.04	04/27/20	22.99	2.95 W	0.00	15 of 20 - Sale ²⁵
	4.000	20.04	04/27/20	23.88	3.84 W	0.00	16 of 20 - Sale ²⁵
	4.000	20.04	04/27/20	23.31	3.27 W	0.00	17 of 20 - Sale ²⁵
	5.000	25.05	04/27/20	29.19	4.14 W	0.00	18 of 20 - Sale ²⁵
	5.000	25.05	04/27/20	29.24	4.19 W	0.00	19 of 20 - Sale ²⁵
	12.000	60.12	04/27/20	70.18	10.06 W	0.00	20 of 20 - Sale ²⁵
04/29/20	100.000	506.14	Various	628.24	122.10 W	0.00	Total of 20 transactions
38 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	1.000	4.01	04/29/20	5.86	1.85 W	0.00	1 of 38 - Sale ²⁵
	3.000	12.03	04/29/20	17.56	5.53 W	0.00	2 of 38 - Sale ²⁵
	5.000	20.05	04/29/20	29.28	9.23 W	0.00	3 of 38 - Sale ²⁵
	3.000	12.03	04/29/20	17.53	5.50 W	0.00	4 of 38 - Sale ²⁵
	1.000	4.01	04/29/20	5.85	1.84 W	0.00	5 of 38 - Sale ²⁵
	4.000	16.04	04/29/20	23.10	7.06 W	0.00	6 of 38 - Sale ²⁵
	4.000	16.04	04/29/20	23.99	7.95 W	0.00	7 of 38 - Sale ²⁵
	4.000	16.04	04/29/20	23.42	7.38 W	0.00	8 of 38 - Sale ²⁵
	5.000	20.05	04/29/20	29.33	9.28 W	0.00	9 of 38 - Sale ²⁵
	4.000	16.04	04/29/20	23.50	7.46 W	0.00	10 of 38 - Sale ²⁵
	3.000	12.03	04/29/20	17.62	5.59 W	0.00	11 of 38 - Sale ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
	1.000	4.01	04/29/20	5.88	1.87 W	0.00	12 of 38 - Sale ²⁵
	12.000	48.12	04/29/20	70.51	22.39 W	0.00	13 of 38 - Sale ²⁵
	50.000	200.49	04/29/20	251.89	51.40 W	0.00	14 of 38 - Sale ²⁵
	77.000	300.29	04/29/20	387.90	87.61 W	0.00	15 of 38 - Sale ²⁵
	25.000	97.50	04/29/20	125.94	28.44 W	0.00	16 of 38 - Sale ²⁵
	10.000	39.00	04/29/20	50.38	11.38 W	0.00	17 of 38 - Sale ²⁵
	10.000	39.00	04/29/20	50.38	11.38 W	0.00	18 of 38 - Sale ²⁵
	5.000	19.50	04/29/20	25.19	5.69 W	0.00	19 of 38 - Sale ²⁵
	3.000	11.70	04/29/20	15.11	3.41 W	0.00	20 of 38 - Sale ²⁵
	70.000	272.98	04/29/20	352.64	79.66 W	0.00	21 of 38 - Sale ²⁵
	1.000	3.90	04/29/20	7.07	3.17 W	0.00	22 of 38 - Sale ²⁵
	1.000	3.90	04/29/20	7.06	3.16 W	0.00	23 of 38 - Sale ²⁵
	3.000	11.70	04/29/20	21.19	9.49 W	0.00	24 of 38 - Sale ²⁵
	3.000	11.70	04/29/20	21.16	9.46 W	0.00	25 of 38 - Sale ²⁵
	5.000	19.50	04/29/20	35.33	15.83 W	0.00	26 of 38 - Sale ²⁵
	1.000	3.90	04/29/20	7.09	3.19 W	0.00	27 of 38 - Sale ²⁵
	3.000	11.70	04/29/20	21.25	9.55 W	0.00	28 of 38 - Sale ²⁵
	4.000	15.60	04/29/20	27.94	12.34 W	0.00	29 of 38 - Sale ²⁵
	4.000	15.60	04/29/20	28.83	13.23 W	0.00	30 of 38 - Sale ²⁵
	4.000	15.60	04/29/20	28.26	12.66 W	0.00	31 of 38 - Sale ²⁵
	4.000	15.60	04/29/20	28.34	12.74 W	0.00	32 of 38 - Sale ²⁵
	5.000	19.50	04/29/20	35.37	15.87 W	0.00	33 of 38 - Sale ²⁵
	12.000	46.80	04/29/20	85.02	38.22 W	0.00	34 of 38 - Sale ²⁵
	50.000	194.98	04/29/20	312.34	117.36 W	0.00	35 of 38 - Sale ²⁵
	74.000	297.02	04/29/20	488.24	191.22 W	0.00	36 of 38 - Sale ²⁵
	3.000	12.04	04/29/20	19.79	7.75 W	0.00	37 of 38 - Sale ²⁵
	22.000	88.31	04/29/20	145.14	56.83 W	0.00	38 of 38 - Sale ²⁵
04/30/20	499.000	1,968.31	Various	2,872.28	903.97 W	0.00	Total of 38 transactions
	8 transactions for 05/04/20. Total proceeds and cost reported to the IRS.						
	1.000	3.50	04/29/20	6.60	3.10 W	0.00	1 of 8 - Sale ²⁵
	2.000	7.00	05/01/20	9.98	2.98 W	0.00	2 of 8 - Sale ²⁵
	1.000	3.50	05/01/20	4.99	1.49 W	0.00	3 of 8 - Sale ²⁵
	3.000	10.50	05/01/20	14.96	4.46 W	0.00	4 of 8 - Sale ²⁵
	5.000	17.50	05/01/20	24.94	7.44 W	0.00	5 of 8 - Sale ²⁵

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(continued)

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
	9.000	31.50	05/01/20	44.89	13.39 W	0.00	6 of 8 - Sale ²⁵
	10.000	35.00	05/01/20	49.88	14.88 W	0.00	7 of 8 - Sale ²⁵
	69.000	241.49	05/01/20	344.17	102.68 W	0.00	8 of 8 - Sale ²⁵
05/04/20	100.000	349.99	Various	500.41	150.42 W	0.00	Total of 8 transactions
	17 transactions for 05/05/20. Total proceeds and cost reported to the IRS.						
	1.000	3.05	05/04/20	6.66	3.61 W	0.00	1 of 17 - Sale ²⁵
	1.000	3.05	05/04/20	6.65	3.60 W	0.00	2 of 17 - Sale ²⁵
	3.000	9.15	05/04/20	19.96	10.81 W	0.00	3 of 17 - Sale ²⁵
	3.000	9.15	05/04/20	19.92	10.77 W	0.00	4 of 17 - Sale ²⁵
	5.000	15.25	05/04/20	33.27	18.02 W	0.00	5 of 17 - Sale ²⁵
	1.000	3.05	05/04/20	6.68	3.63 W	0.00	6 of 17 - Sale ²⁵
	3.000	9.15	05/04/20	20.02	10.87 W	0.00	7 of 17 - Sale ²⁵
	4.000	12.20	05/04/20	26.29	14.09 W	0.00	8 of 17 - Sale ²⁵
	4.000	12.20	05/04/20	27.18	14.98 W	0.00	9 of 17 - Sale ²⁵
	4.000	12.20	05/04/20	26.61	14.41 W	0.00	10 of 17 - Sale ²⁵
	4.000	12.20	05/04/20	26.70	14.50 W	0.00	11 of 17 - Sale ²⁵
	5.000	15.25	05/04/20	33.31	18.06 W	0.00	12 of 17 - Sale ²⁵
	11.000	33.55	05/04/20	73.40	39.85 W	0.00	13 of 17 - Sale ²⁵
	4.000	12.20	05/04/20	24.06	11.86 W	0.00	14 of 17 - Sale ²⁵
	1.000	3.05	05/04/20	6.86	3.81 W	0.00	15 of 17 - Sale ²⁵
	44.000	134.21	05/04/20	264.70	130.49 W	0.00	16 of 17 - Sale ²⁵
	1.000	3.05	05/04/20	4.65	1.60 W	0.00	17 of 17 - Sale ²⁵
05/05/20	99.000	301.96	Various	626.92	324.96 W	0.00	Total of 17 transactions
	5 transactions for 05/06/20. Total proceeds and cost reported to the IRS.						
	1.000	3.15	05/04/20	6.01	2.86 W	0.00	1 of 5 - Sale ²⁵
	1.000	3.15	05/05/20	5.51	2.36 W	0.00	2 of 5 - Sale ²⁵
	1.000	3.15	05/05/20	5.74	2.59 W	0.00	3 of 5 - Sale ²⁵
	3.000	9.45	05/05/20	17.23	7.78 W	0.00	4 of 5 - Sale ²⁵
	74.000	233.09	05/05/20	425.06	191.97 W	0.00	5 of 5 - Sale ²⁵
05/06/20	80.000	251.99	Various	459.55	207.56 W	0.00	Total of 5 transactions
05/13/20	1.000	2.75	05/12/20	5.64	2.89 W	0.00	Sale ²⁵

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
26 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	1.000	2.32	05/13/20	5.87	3.55 W	0.00	1 of 26 - Sale ²⁵
	1.000	2.32	05/13/20	4.26	1.94 W	0.00	2 of 26 - Sale ²⁵
	2.000	4.64	05/13/20	8.52	3.88 W	0.00	3 of 26 - Sale ²⁵
	3.000	6.97	05/13/20	12.76	5.79 W	0.00	4 of 26 - Sale ²⁵
	5.000	11.61	05/13/20	21.28	9.67 W	0.00	5 of 26 - Sale ²⁵
	9.000	20.90	05/13/20	38.30	17.40 W	0.00	6 of 26 - Sale ²⁵
	10.000	23.22	05/13/20	42.56	19.34 W	0.00	7 of 26 - Sale ²⁵
	49.000	113.80	05/13/20	208.53	94.73 W	0.00	8 of 26 - Sale ²⁵
	20.000	46.45	05/13/20	107.02	60.57 W	0.00	9 of 26 - Sale ²⁵
	1.000	2.32	05/14/20	6.13	3.81 W	0.00	10 of 26 - Sale ²⁵
	1.000	2.32	05/14/20	6.12	3.80 W	0.00	11 of 26 - Sale ²⁵
	3.000	6.97	05/14/20	18.36	11.39 W	0.00	12 of 26 - Sale ²⁵
	3.000	6.97	05/14/20	18.32	11.35 W	0.00	13 of 26 - Sale ²⁵
	5.000	11.61	05/14/20	30.61	19.00 W	0.00	14 of 26 - Sale ²⁵
	1.000	2.32	05/14/20	6.15	3.83 W	0.00	15 of 26 - Sale ²⁵
	3.000	6.97	05/14/20	18.42	11.45 W	0.00	16 of 26 - Sale ²⁵
	4.000	9.29	05/14/20	24.16	14.87 W	0.00	17 of 26 - Sale ²⁵
	4.000	9.29	05/14/20	25.05	15.76 W	0.00	18 of 26 - Sale ²⁵
	4.000	9.29	05/14/20	24.48	15.19 W	0.00	19 of 26 - Sale ²⁵
	4.000	9.29	05/14/20	24.57	15.28 W	0.00	20 of 26 - Sale ²⁵
	5.000	11.61	05/14/20	30.65	19.04 W	0.00	21 of 26 - Sale ²⁵
	1.000	2.32	05/14/20	6.33	4.01 W	0.00	22 of 26 - Sale ²⁵
	11.000	25.54	05/14/20	67.55	42.01 W	0.00	23 of 26 - Sale ²⁵
	20.000	46.45	05/14/20	80.11	33.66 W	0.00	24 of 26 - Sale ²⁵
	29.000	67.35	05/14/20	159.01	91.66 W	0.00	25 of 26 - Sale ²⁵
	1.000	2.32	05/14/20	4.12	1.80 W	0.00	26 of 26 - Sale ²⁵
05/14/20	200.000	464.46	Various	999.24	534.78 W	0.00	Total of 26 transactions
8 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	1.000	2.63	05/14/20	5.24	2.61 W	0.00	1 of 8 - Sale ²⁵
	1.000	2.63	05/14/20	4.74	2.11 W	0.00	2 of 8 - Sale ²⁵
	1.000	2.63	05/14/20	4.97	2.34 W	0.00	3 of 8 - Sale ²⁵
	1.000	2.63	05/14/20	5.27	2.64 W	0.00	4 of 8 - Sale ²⁵
	3.000	7.90	05/14/20	14.91	7.01 W	0.00	5 of 8 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
	4.000	10.53	05/14/20	21.37	10.84 W	0.00	6 of 8 - Sale ²⁵
	15.000	39.48	05/14/20	80.16	40.68 W	0.00	7 of 8 - Sale ²⁵
	74.000	194.80	05/14/20	367.97	173.17 W	0.00	8 of 8 - Sale ²⁵
05/15/20	100.000	263.23	Various	504.63	241.40 W	0.00	Total of 8 transactions
	12 transactions for 05/20/20. Total proceeds and cost reported to the IRS.						
	3.000	9.00	05/18/20	14.85	5.85 W	0.00	1 of 12 - Sale ²⁵
	5.000	15.00	05/18/20	24.77	9.77 W	0.00	2 of 12 - Sale ²⁵
	5.000	15.00	05/18/20	24.77	9.77 W	0.00	3 of 12 - Sale ²⁵
	10.000	30.00	05/18/20	49.54	19.54 W	0.00	4 of 12 - Sale ²⁵
	19.000	57.00	05/18/20	94.11	37.11 W	0.00	5 of 12 - Sale ²⁵
	1.000	3.00	05/18/20	6.57	3.57 W	0.00	6 of 12 - Sale ²⁵
	1.000	3.00	05/18/20	4.96	1.96 W	0.00	7 of 12 - Sale ²⁵
	2.000	6.00	05/18/20	9.92	3.92 W	0.00	8 of 12 - Sale ²⁵
	4.000	12.00	05/18/20	19.81	7.81 W	0.00	9 of 12 - Sale ²⁵
	25.000	75.00	05/18/20	126.33	51.33 W	0.00	10 of 12 - Sale ²⁵
	5.000	15.00	05/18/20	25.72	10.72 W	0.00	11 of 12 - Sale ²⁵
	20.000	60.00	05/18/20	124.77	64.77 W	0.00	12 of 12 - Sale ²⁵
05/20/20	100.000	300.00	Various	526.12	226.12 W	0.00	Total of 12 transactions
05/26/20	1.000	0.41	05/22/20	6.88	6.47 W	0.00	Sale ²⁵
	35 transactions for 05/28/20. Total proceeds and cost reported to the IRS.						
	1.000	1.05	05/27/20	4.64	3.59 W	0.00	1 of 35 - Sale ²⁵
	3.000	3.15	05/27/20	13.92	10.77 W	0.00	2 of 35 - Sale ²⁵
	3.000	3.15	05/27/20	13.88	10.73 W	0.00	3 of 35 - Sale ²⁵
	5.000	5.25	05/27/20	23.22	17.97 W	0.00	4 of 35 - Sale ²⁵
	1.000	1.05	05/27/20	4.67	3.62 W	0.00	5 of 35 - Sale ²⁵
	3.000	3.15	05/27/20	13.98	10.83 W	0.00	6 of 35 - Sale ²⁵
	4.000	4.20	05/27/20	18.25	14.05 W	0.00	7 of 35 - Sale ²⁵
	4.000	4.20	05/27/20	19.14	14.94 W	0.00	8 of 35 - Sale ²⁵
	4.000	4.20	05/27/20	18.57	14.37 W	0.00	9 of 35 - Sale ²⁵
	4.000	4.20	05/27/20	18.66	14.46 W	0.00	10 of 35 - Sale ²⁵
	5.000	5.25	05/27/20	23.26	18.01 W	0.00	11 of 35 - Sale ²⁵
	1.000	1.05	05/27/20	4.85	3.80 W	0.00	12 of 35 - Sale ²⁵
	11.000	11.55	05/27/20	51.29	39.74 W	0.00	13 of 35 - Sale ²⁵
	1.000	1.05	05/27/20	3.45	2.40 W	0.00	14 of 35 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
	20.000	21.00	05/27/20	50.54	29.54 W	0.00	15 of 35 - Sale ²⁵
	29.000	30.45	05/27/20	116.14	85.69 W	0.00	16 of 35 - Sale ²⁵
	1.000	1.05	05/27/20	2.65	1.60 W	0.00	17 of 35 - Sale ²⁵
	1.000	1.05	05/27/20	2.97	1.92 W	0.00	18 of 35 - Sale ²⁵
	4.000	4.20	05/27/20	14.28	10.08 W	0.00	19 of 35 - Sale ²⁵
	15.000	15.75	05/27/20	53.59	37.84 W	0.00	20 of 35 - Sale ²⁵
	30.000	31.49	05/27/20	96.03	64.54 W	0.00	21 of 35 - Sale ²⁵
	1.000	1.05	05/27/20	4.46	3.41 W	0.00	22 of 35 - Sale ²⁵
	1.000	1.05	05/27/20	2.85	1.80 W	0.00	23 of 35 - Sale ²⁵
	1.000	1.05	05/27/20	2.94	1.89 W	0.00	24 of 35 - Sale ²⁵
	2.000	2.10	05/27/20	5.70	3.60 W	0.00	25 of 35 - Sale ²⁵
	3.000	3.15	05/27/20	8.52	5.37 W	0.00	26 of 35 - Sale ²⁵
	4.000	4.20	05/27/20	11.37	7.17 W	0.00	27 of 35 - Sale ²⁵
	5.000	5.25	05/27/20	14.22	8.97 W	0.00	28 of 35 - Sale ²⁵
	5.000	5.25	05/27/20	14.22	8.97 W	0.00	29 of 35 - Sale ²⁵
	10.000	10.50	05/27/20	28.43	17.93 W	0.00	30 of 35 - Sale ²⁵
	19.000	19.94	05/27/20	54.00	34.06 W	0.00	31 of 35 - Sale ²⁵
	1.000	1.05	05/27/20	3.23	2.18 W	0.00	32 of 35 - Sale ²⁵
	1.000	1.05	05/27/20	3.53	2.48 W	0.00	33 of 35 - Sale ²⁵
	3.000	3.15	05/27/20	9.68	6.53 W	0.00	34 of 35 - Sale ²⁵
	44.000	46.19	05/27/20	142.10	95.91 W	0.00	35 of 35 - Sale ²⁵
05/28/20	250.000	262.47	Various	873.23	610.76 W	0.00	Total of 35 transactions
	34 transactions for 06/02/20. Total proceeds and cost reported to the IRS.						
	1.000	0.90	06/01/20	7.51	6.61 W	0.00	1 of 34 - Sale ²⁵
	1.000	0.90	06/01/20	4.64	3.74 W	0.00	2 of 34 - Sale ²⁵
	3.000	2.70	06/01/20	13.91	11.21 W	0.00	3 of 34 - Sale ²⁵
	3.000	2.70	06/01/20	13.86	11.16 W	0.00	4 of 34 - Sale ²⁵
	5.000	4.50	06/01/20	23.19	18.69 W	0.00	5 of 34 - Sale ²⁵
	1.000	0.90	06/01/20	4.66	3.76 W	0.00	6 of 34 - Sale ²⁵
	3.000	2.70	06/01/20	13.97	11.27 W	0.00	7 of 34 - Sale ²⁵
	4.000	3.60	06/01/20	18.23	14.63 W	0.00	8 of 34 - Sale ²⁵
	4.000	3.60	06/01/20	19.12	15.52 W	0.00	9 of 34 - Sale ²⁵
	4.000	3.60	06/01/20	18.55	14.95 W	0.00	10 of 34 - Sale ²⁵
	4.000	3.60	06/01/20	18.64	15.04 W	0.00	11 of 34 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
	5.000	4.50	06/01/20	23.24	18.74 W	0.00	12 of 34 - Sale ²⁵
	1.000	0.90	06/01/20	4.85	3.95 W	0.00	13 of 34 - Sale ²⁵
	11.000	9.90	06/01/20	51.23	41.33 W	0.00	14 of 34 - Sale ²⁵
	5.000	4.50	06/01/20	15.95	11.45 W	0.00	15 of 34 - Sale ²⁵
	24.000	21.60	06/01/20	74.36	52.76 W	0.00	16 of 34 - Sale ²⁵
	1.000	0.90	06/01/20	3.44	2.54 W	0.00	17 of 34 - Sale ²⁵
	20.000	17.99	06/01/20	85.67	67.68 W	0.00	18 of 34 - Sale ²⁵
	1.000	0.90	06/01/20	4.45	3.55 W	0.00	19 of 34 - Sale ²⁵
	1.000	0.90	06/01/20	2.85	1.95 W	0.00	20 of 34 - Sale ²⁵
	2.000	1.80	06/01/20	5.69	3.89 W	0.00	21 of 34 - Sale ²⁵
	3.000	2.70	06/01/20	8.50	5.80 W	0.00	22 of 34 - Sale ²⁵
	4.000	3.60	06/01/20	11.35	7.75 W	0.00	23 of 34 - Sale ²⁵
	5.000	4.50	06/01/20	14.19	9.69 W	0.00	24 of 34 - Sale ²⁵
	5.000	4.50	06/01/20	14.20	9.70 W	0.00	25 of 34 - Sale ²⁵
	10.000	9.00	06/01/20	28.38	19.38 W	0.00	26 of 34 - Sale ²⁵
	19.000	17.09	06/01/20	53.92	36.83 W	0.00	27 of 34 - Sale ²⁵
	1.000	0.91	06/01/20	2.96	2.05 W	0.00	28 of 34 - Sale ²⁵
	4.000	3.63	06/01/20	14.26	10.63 W	0.00	29 of 34 - Sale ²⁵
	15.000	13.61	06/01/20	53.52	39.91 W	0.00	30 of 34 - Sale ²⁵
	20.000	18.14	06/01/20	50.44	32.30 W	0.00	31 of 34 - Sale ²⁵
	30.000	27.21	06/01/20	95.89	68.68 W	0.00	32 of 34 - Sale ²⁵
	29.000	26.29	06/01/20	115.99	89.70 W	0.00	33 of 34 - Sale ²⁵
	1.000	0.91	06/01/20	2.65	1.74 W	0.00	34 of 34 - Sale ²⁵
06/02/20	250.000	225.68	Various	894.26	668.58 W	0.00	Total of 34 transactions
45 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	5.25	06/04/20	4.98	...	0.27	1 of 45 - Sale ²⁵
	1.000	5.25	06/04/20	4.79	...	0.46	2 of 45 - Sale ²⁵
	1.000	5.25	06/04/20	4.77	...	0.48	3 of 45 - Sale ²⁵
	1.000	5.25	06/04/20	4.64	...	0.61	4 of 45 - Sale ²⁵
	4.000	21.00	06/04/20	19.64	...	1.36	5 of 45 - Sale ²⁵
	3.000	15.75	06/04/20	14.36	...	1.39	6 of 45 - Sale ²⁵
	3.000	15.75	06/04/20	14.30	...	1.45	7 of 45 - Sale ²⁵
	3.000	15.75	06/04/20	14.25	...	1.50	8 of 45 - Sale ²⁵
	1.000	5.25	06/04/20	3.63	...	1.62	9 of 45 - Sale ²⁵

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(continued)

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
	1.000	5.25	06/04/20	3.51	...	1.74	10 of 45 - Sale ²⁵
	4.000	21.00	06/04/20	19.16	...	1.84	11 of 45 - Sale ²⁵
	4.000	21.00	06/04/20	19.07	...	1.93	12 of 45 - Sale ²⁵
	1.000	5.25	06/04/20	3.21	...	2.04	13 of 45 - Sale ²⁵
	1.000	5.25	06/04/20	3.14	...	2.11	14 of 45 - Sale ²⁵
	2.000	10.50	06/04/20	8.37	...	2.13	15 of 45 - Sale ²⁵
	1.000	5.25	06/04/20	3.04	...	2.21	16 of 45 - Sale ²⁵
	4.000	21.00	06/04/20	18.75	...	2.25	17 of 45 - Sale ²⁵
	1.000	5.25	06/04/20	2.92	...	2.33	18 of 45 - Sale ²⁵
	5.000	26.25	06/04/20	23.89	...	2.36	19 of 45 - Sale ²⁵
	5.000	26.25	06/04/20	23.84	...	2.41	20 of 45 - Sale ²⁵
	1.000	5.25	06/04/20	2.83	...	2.42	21 of 45 - Sale ²⁵
	2.000	10.50	06/04/20	6.07	...	4.43	22 of 45 - Sale ²⁵
	11.000	57.75	06/04/20	52.66	...	5.09	23 of 45 - Sale ²⁵
	4.000	21.00	06/04/20	14.99	...	6.01	24 of 45 - Sale ²⁵
	3.000	15.75	06/04/20	9.62	...	6.13	25 of 45 - Sale ²⁵
	3.000	15.75	06/04/20	9.09	...	6.66	26 of 45 - Sale ²⁵
	3.000	15.75	06/04/20	9.07	...	6.68	27 of 45 - Sale ²⁵
	4.000	21.00	06/04/20	12.11	...	8.89	28 of 45 - Sale ²⁵
	5.000	26.25	06/04/20	16.90	...	9.35	29 of 45 - Sale ²⁵
	5.000	26.25	06/04/20	15.15	...	11.10	30 of 45 - Sale ²⁵
	5.000	26.25	06/04/20	15.14	...	11.11	31 of 45 - Sale ²⁵
	20.000	104.99	06/04/20	89.48	...	15.51	32 of 45 - Sale ²⁵
	10.000	52.50	06/04/20	30.28	...	22.22	33 of 45 - Sale ²⁵
	15.000	78.75	06/04/20	56.26	...	22.49	34 of 45 - Sale ²⁵
	27.000	141.74	06/04/20	112.94	...	28.80	35 of 45 - Sale ²⁵
	16.000	84.00	06/04/20	48.45	...	35.55	36 of 45 - Sale ²⁵
	24.000	125.99	06/04/20	78.92	...	47.07	37 of 45 - Sale ²⁵
	20.000	104.99	06/04/20	54.10	...	50.89	38 of 45 - Sale ²⁵
	30.000	157.49	06/04/20	101.38	...	56.11	39 of 45 - Sale ²⁵
	44.000	230.99	06/04/20	141.23	...	89.76	40 of 45 - Sale ²⁵
	50.000	262.49	06/04/20	54.50	...	207.99	41 of 45 - Sale ²⁵
	1.000	5.25	06/05/20	3.31	...	1.94	42 of 45 - Sale ²⁵
	149.000	782.21	06/05/20	493.19	...	289.02	43 of 45 - Sale ²⁵

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(continued)

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
	50.000	262.49	06/08/20	194.37	...	68.12	44 of 45 - Sale ²⁵
	250.000	1,312.44	06/08/20	939.43	...	373.01	45 of 45 - Sale ²⁵
06/08/20	799.000	4,194.57	Various	2,775.73	...	1,418.84	Total of 45 transactions
	2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	1.000	5.25	06/04/20	7.64	2.39 W	0.00	1 of 2 - Sale ²⁵
	50.000	262.48	06/08/20	267.38	4.90 W	0.00	2 of 2 - Sale ²⁵
06/08/20	51.000	267.73	Various	275.02	7.29 W	0.00	Total of 2 transactions
	10 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	1.000	4.55	06/08/20	7.50	2.95 W	0.00	1 of 10 - Sale ²⁵
	24.000	109.19	06/08/20	124.99	15.80 W	0.00	2 of 10 - Sale ²⁵
	26.000	118.29	06/08/20	135.41	17.12 W	0.00	3 of 10 - Sale ²⁵
	49.000	222.94	06/08/20	250.38	27.44 W	0.00	4 of 10 - Sale ²⁵
	50.000	227.49	06/08/20	262.00	34.51 W	0.00	5 of 10 - Sale ²⁵
	22.000	100.09	06/08/20	116.29	16.20 W	0.00	6 of 10 - Sale ²⁵
	28.000	127.39	06/08/20	147.78	20.39 W	0.00	7 of 10 - Sale ²⁵
	50.000	227.49	06/08/20	278.81	51.32 W	0.00	8 of 10 - Sale ²⁵
	50.000	227.49	06/08/20	278.00	50.51 W	0.00	9 of 10 - Sale ²⁵
	5.000	22.75	06/08/20	28.80	6.05 W	0.00	10 of 10 - Sale ²⁵
06/09/20	305.000	1,387.67	Various	1,629.96	242.29 W	0.00	Total of 10 transactions
	9 transactions for 06/10/20. Total proceeds and cost reported to the IRS.						
	1.000	3.39	06/09/20	7.63	4.24 W	0.00	1 of 9 - Sale ²⁵
	15.000	50.85	06/09/20	78.60	27.75 W	0.00	2 of 9 - Sale ²⁵
	24.000	81.36	06/09/20	128.12	46.76 W	0.00	3 of 9 - Sale ²⁵
	26.000	88.13	06/09/20	138.80	50.67 W	0.00	4 of 9 - Sale ²⁵
	34.000	115.25	06/09/20	178.16	62.91 W	0.00	5 of 9 - Sale ²⁵
	22.000	74.58	06/09/20	122.90	48.32 W	0.00	6 of 9 - Sale ²⁵
	28.000	94.91	06/09/20	156.19	61.28 W	0.00	7 of 9 - Sale ²⁵
	50.000	169.49	06/09/20	277.01	107.52 W	0.00	8 of 9 - Sale ²⁵
	50.000	169.49	06/09/20	295.82	126.33 W	0.00	9 of 9 - Sale ²⁵
06/10/20	250.000	847.45	Various	1,383.23	535.78 W	0.00	Total of 9 transactions
	5 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	1.000	1.81	06/10/20	7.22	5.41 W	0.00	1 of 5 - Sale ²⁵
	20.000	36.20	06/10/20	98.68	62.48 W	0.00	2 of 5 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
	24.000	43.44	06/10/20	118.40	74.96 W	0.00	3 of 5 - Sale ²⁵
	5.000	9.05	06/10/20	20.97	11.92 W	0.00	4 of 5 - Sale ²⁵
	50.000	90.49	06/10/20	199.75	109.26 W	0.00	5 of 5 - Sale ²⁵
06/11/20	100.000	180.99	Various	445.02	264.03 W	0.00	Total of 5 transactions
	4 transactions for 06/12/20. Total proceeds and cost reported to the IRS.						
	1.000	3.17	06/11/20	4.09	0.92 W	0.00	1 of 4 - Sale ²⁵
	5.000	15.83	06/11/20	21.48	5.65 W	0.00	2 of 4 - Sale ²⁵
	15.000	47.49	06/11/20	62.96	15.47 W	0.00	3 of 4 - Sale ²⁵
	29.000	91.80	06/11/20	121.74	29.94 W	0.00	4 of 4 - Sale ²⁵
06/12/20	50.000	158.29	Various	210.27	51.98 W	0.00	Total of 4 transactions
	13 transactions for 06/15/20. Total proceeds and cost reported to the IRS.						
	5.000	10.80	06/12/20	25.25	14.45 W	0.00	1 of 13 - Sale ²⁵
	21.000	45.36	06/12/20	113.32	67.96 W	0.00	2 of 13 - Sale ²⁵
	50.000	107.99	06/12/20	267.52	159.53 W	0.00	3 of 13 - Sale ²⁵
	1.000	2.16	06/12/20	5.40	3.24 W	0.00	4 of 13 - Sale ²⁵
	20.000	43.20	06/12/20	107.77	64.57 W	0.00	5 of 13 - Sale ²⁵
	3.000	6.48	06/12/20	16.17	9.69 W	0.00	6 of 13 - Sale ²⁵
	1.000	2.07	06/15/20	7.84	5.77 W	0.00	7 of 13 - Sale ²⁵
	20.000	41.40	06/15/20	111.16	69.76 W	0.00	8 of 13 - Sale ²⁵
	24.000	49.68	06/15/20	133.38	83.70 W	0.00	9 of 13 - Sale ²⁵
	5.000	10.35	06/15/20	23.11	12.76 W	0.00	10 of 13 - Sale ²⁵
	19.000	39.32	06/15/20	94.26	54.94 W	0.00	11 of 13 - Sale ²⁵
	31.000	64.17	06/15/20	153.78	89.61 W	0.00	12 of 13 - Sale ²⁵
	50.000	103.50	06/15/20	240.14	136.64 W	0.00	13 of 13 - Sale ²⁵
06/15/20	250.000	526.48	Various	1,299.10	772.62 W	0.00	Total of 13 transactions
	9 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	39.000	64.85	06/24/20	201.09	...	-136.24	1 of 9 - Sale ²⁵
	100.000	195.49	06/24/20	264.02	...	-68.53	2 of 9 - Sale ²⁵
	55.000	91.46	06/24/20	135.86	...	-44.40	3 of 9 - Sale ²⁵
	5.000	8.31	06/24/20	24.28	...	-15.97	4 of 9 - Sale ²⁵
	1.000	1.66	06/24/20	7.74	...	-6.08	5 of 9 - Sale ²⁵
	4.000	7.82	06/24/20	10.56	...	-2.74	6 of 9 - Sale ²⁵
	25.000	46.99	06/24/20	44.45	...	2.54	7 of 9 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
	50.000	93.99	06/24/20	88.89	...	5.10	8 of 9 - Sale ²⁵
	120.000	225.58	06/24/20	213.35	...	12.23	9 of 9 - Sale ²⁵
06/24/20	399.000	736.15	Various	990.24	...	-254.09	Total of 9 transactions
	69 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	91.000	177.90	06/24/20	240.25	61.66 W	-0.69	1 of 69 - Sale ²⁵
	1.000	1.53	06/24/20	2.48	0.95 W	0.00	2 of 69 - Sale ²⁵
	5.000	7.65	06/24/20	13.45	5.80 W	0.00	3 of 69 - Sale ²⁵
	15.000	22.95	06/24/20	38.87	15.92 W	0.00	4 of 69 - Sale ²⁵
	24.000	36.72	06/24/20	62.22	25.50 W	0.00	5 of 69 - Sale ²⁵
	5.000	7.65	06/24/20	19.72	12.07 W	0.00	6 of 69 - Sale ²⁵
	1.000	1.53	06/24/20	7.39	5.86 W	0.00	7 of 69 - Sale ²⁵
	1.000	1.53	06/24/20	4.86	3.33 W	0.00	8 of 69 - Sale ²⁵
	3.000	4.59	06/24/20	14.55	9.96 W	0.00	9 of 69 - Sale ²⁵
	5.000	7.65	06/24/20	22.55	14.90 W	0.00	10 of 69 - Sale ²⁵
	20.000	30.60	06/24/20	96.97	66.37 W	0.00	11 of 69 - Sale ²⁵
	21.000	32.13	06/24/20	101.98	69.85 W	0.00	12 of 69 - Sale ²⁵
	50.000	76.49	06/24/20	240.53	164.04 W	0.00	13 of 69 - Sale ²⁵
	5.000	7.65	06/24/20	13.26	5.61 W	0.00	14 of 69 - Sale ²⁵
	20.000	30.60	06/24/20	102.16	71.56 W	0.00	15 of 69 - Sale ²⁵
	24.000	36.72	06/24/20	122.58	85.86 W	0.00	16 of 69 - Sale ²⁵
	5.000	7.65	06/24/20	20.86	13.21 W	0.00	17 of 69 - Sale ²⁵
	19.000	29.07	06/24/20	85.72	56.65 W	0.00	18 of 69 - Sale ²⁵
	26.000	39.77	06/24/20	117.28	77.51 W	0.00	19 of 69 - Sale ²⁵
	5.000	7.65	06/24/20	22.50	14.85 W	0.00	20 of 69 - Sale ²⁵
	50.000	76.49	06/24/20	217.14	140.65 W	0.00	21 of 69 - Sale ²⁵
	145.000	221.82	06/24/20	233.45	11.63 W	0.00	22 of 69 - Sale ²⁵
	50.000	76.49	06/24/20	80.50	4.01 W	0.00	23 of 69 - Sale ²⁵
	250.000	382.45	06/24/20	407.50	25.05 W	0.00	24 of 69 - Sale ²⁵
	1.000	1.88	06/24/20	7.56	5.68 W	0.00	25 of 69 - Sale ²⁵
	5.000	9.40	06/24/20	23.40	14.00 W	0.00	26 of 69 - Sale ²⁵
	44.000	82.71	06/24/20	219.16	136.45 W	0.00	27 of 69 - Sale ²⁵
	1.000	1.88	06/24/20	2.65	0.77 W	0.00	28 of 69 - Sale ²⁵
	5.000	9.40	06/24/20	14.30	4.90 W	0.00	29 of 69 - Sale ²⁵
	15.000	28.20	06/24/20	41.42	13.22 W	0.00	30 of 69 - Sale ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
	24.000	45.12	06/24/20	66.30	21.18 W	0.00	31 of 69 - Sale ²⁵
	5.000	9.40	06/24/20	20.57	11.17 W	0.00	32 of 69 - Sale ²⁵
	1.000	1.88	06/24/20	5.03	3.15 W	0.00	33 of 69 - Sale ²⁵
	3.000	5.64	06/24/20	15.06	9.42 W	0.00	34 of 69 - Sale ²⁵
	6.000	11.28	06/24/20	29.88	18.60 W	0.00	35 of 69 - Sale ²⁵
	20.000	37.60	06/24/20	100.37	62.77 W	0.00	36 of 69 - Sale ²⁵
	21.000	39.48	06/24/20	105.55	66.07 W	0.00	37 of 69 - Sale ²⁵
	5.000	9.40	06/24/20	14.11	4.71 W	0.00	38 of 69 - Sale ²⁵
	20.000	37.59	06/24/20	105.56	67.97 W	0.00	39 of 69 - Sale ²⁵
	24.000	45.11	06/24/20	126.66	81.55 W	0.00	40 of 69 - Sale ²⁵
	5.000	9.40	06/24/20	21.71	12.31 W	0.00	41 of 69 - Sale ²⁵
	19.000	35.72	06/24/20	88.95	53.23 W	0.00	42 of 69 - Sale ²⁵
	26.000	48.87	06/24/20	121.71	72.84 W	0.00	43 of 69 - Sale ²⁵
	5.000	9.40	06/24/20	23.34	13.94 W	0.00	44 of 69 - Sale ²⁵
	50.000	93.99	06/24/20	225.54	131.55 W	0.00	45 of 69 - Sale ²⁵
	250.000	469.96	06/24/20	607.55	137.59 W	0.00	46 of 69 - Sale ²⁵
	1.000	1.95	06/24/20	7.77	5.82 W	0.00	47 of 69 - Sale ²⁵
	1.000	1.95	06/24/20	5.24	3.29 W	0.00	48 of 69 - Sale ²⁵
	2.000	3.91	06/24/20	10.46	6.55 W	0.00	49 of 69 - Sale ²⁵
	5.000	9.77	06/24/20	24.45	14.68 W	0.00	50 of 69 - Sale ²⁵
	6.000	11.73	06/24/20	31.14	19.41 W	0.00	51 of 69 - Sale ²⁵
	20.000	39.10	06/24/20	104.57	65.47 W	0.00	52 of 69 - Sale ²⁵
	21.000	41.06	06/24/20	109.96	68.90 W	0.00	53 of 69 - Sale ²⁵
	44.000	86.02	06/24/20	228.41	142.39 W	0.00	54 of 69 - Sale ²⁵
	1.000	1.95	06/24/20	5.23	3.28 W	0.00	55 of 69 - Sale ²⁵
	1.000	1.95	06/24/20	2.86	0.91 W	0.00	56 of 69 - Sale ²⁵
	5.000	9.78	06/24/20	15.35	5.57 W	0.00	57 of 69 - Sale ²⁵
	5.000	9.78	06/24/20	15.16	5.38 W	0.00	58 of 69 - Sale ²⁵
	15.000	29.32	06/24/20	44.57	15.25 W	0.00	59 of 69 - Sale ²⁵
	20.000	39.10	06/24/20	109.77	70.67 W	0.00	60 of 69 - Sale ²⁵
	24.000	46.92	06/24/20	71.34	24.42 W	0.00	61 of 69 - Sale ²⁵
	24.000	46.92	06/24/20	131.71	84.79 W	0.00	62 of 69 - Sale ²⁵
	5.000	9.77	06/24/20	21.62	11.85 W	0.00	63 of 69 - Sale ²⁵
	5.000	9.77	06/24/20	22.76	12.99 W	0.00	64 of 69 - Sale ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol: (cont'd)							
	5.000	9.77	06/24/20	24.39	14.62 W	0.00	65 of 69 - Sale ²⁵
	19.000	37.14	06/24/20	92.94	55.80 W	0.00	66 of 69 - Sale ²⁵
	26.000	50.83	06/24/20	127.18	76.35 W	0.00	67 of 69 - Sale ²⁵
	45.000	87.98	06/24/20	212.44	124.46 W	0.00	68 of 69 - Sale ²⁵
	5.000	9.77	06/24/20	23.60	13.83 W	0.00	69 of 69 - Sale ²⁵
06/24/20	1,701.000	2,964.83	Various	5,718.07	2,752.55 W	-0.69	Total of 69 transactions
	14 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	24.000	39.43	06/25/20	125.59	...	-86.16	1 of 14 - Sale ²⁵
	20.000	32.85	06/25/20	104.67	...	-71.82	2 of 14 - Sale ²⁵
	21.000	34.50	06/25/20	104.60	...	-70.10	3 of 14 - Sale ²⁵
	20.000	32.86	06/25/20	99.47	...	-66.61	4 of 14 - Sale ²⁵
	24.000	39.43	06/25/20	65.22	...	-25.79	5 of 14 - Sale ²⁵
	6.000	9.86	06/25/20	29.61	...	-19.75	6 of 14 - Sale ²⁵
	5.000	8.21	06/25/20	24.68	...	-16.47	7 of 14 - Sale ²⁵
	15.000	24.64	06/25/20	40.75	...	-16.11	8 of 14 - Sale ²⁵
	2.000	3.29	06/25/20	9.95	...	-6.66	9 of 14 - Sale ²⁵
	5.000	8.21	06/25/20	14.07	...	-5.86	10 of 14 - Sale ²⁵
	5.000	8.21	06/25/20	13.88	...	-5.67	11 of 14 - Sale ²⁵
	1.000	1.64	06/25/20	4.99	...	-3.35	12 of 14 - Sale ²⁵
	1.000	1.64	06/25/20	4.98	...	-3.34	13 of 14 - Sale ²⁵
	1.000	1.64	06/25/20	2.61	...	-0.97	14 of 14 - Sale ²⁵
06/25/20	150.000	246.41	Various	645.07	...	-398.66	Total of 14 transactions
	8 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	45.000	64.34	06/26/20	193.31	...	-128.97	1 of 8 - Sale ²⁵
	26.000	37.18	06/26/20	116.13	...	-78.95	2 of 8 - Sale ²⁵
	90.000	128.69	06/26/20	199.36	...	-70.67	3 of 8 - Sale ²⁵
	19.000	27.17	06/26/20	84.87	...	-57.70	4 of 8 - Sale ²⁵
	5.000	7.15	06/26/20	22.27	...	-15.12	5 of 8 - Sale ²⁵
	5.000	7.15	06/26/20	21.48	...	-14.33	6 of 8 - Sale ²⁵
	5.000	7.15	06/26/20	20.64	...	-13.49	7 of 8 - Sale ²⁵
	5.000	7.15	06/26/20	19.50	...	-12.35	8 of 8 - Sale ²⁵
06/26/20	200.000	285.98	Various	677.56	...	-391.58	Total of 8 transactions
	Security total:	24,519.24		33,471.52	9,730.38 W	778.10	

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Account 412265225

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2020 1099-B* OMB No. 1545-0715

(continued)

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HEXO CORP. / CUSIP: 428304109 / Symbol:							
2 transactions for 01/16/20. Total proceeds and cost reported to the IRS.							
	50.000	88.50	01/14/20	79.25	...	9.25	1 of 2 - Sale ²⁵
01/16/20	2.000	3.54	01/15/20	3.26	...	0.28	2 of 2 - Sale ²⁵
	52.000	92.04	Various	82.51	...	9.53	Total of 2 transactions
4 transactions for 01/24/20. Total proceeds and cost reported to the IRS.							
	4.000	5.96	01/22/20	5.78	...	0.18	1 of 4 - Sale ²⁵
	20.000	29.80	01/22/20	28.96	...	0.84	2 of 4 - Sale ²⁵
	59.000	87.90	01/22/20	84.83	...	3.07	3 of 4 - Sale ²⁵
01/24/20	8.000	11.92	01/23/20	11.60	...	0.32	4 of 4 - Sale ²⁵
	91.000	135.58	Various	131.17	...	4.41	Total of 4 transactions
5 transactions for 01/24/20. Total proceeds and cost reported to the IRS.							
	100.000	148.99	01/21/20	150.00	1.01 W	0.00	1 of 5 - Sale ²⁵
	1.000	1.49	01/23/20	1.51	0.02 W	0.00	2 of 5 - Sale ²⁵
	58.000	86.41	01/23/20	88.04	1.63 W	0.00	3 of 5 - Sale ²⁵
	5.000	7.45	01/23/20	7.59	0.14 W	0.00	4 of 5 - Sale ²⁵
01/24/20	4.000	5.96	01/23/20	6.07	0.11 W	0.00	5 of 5 - Sale ²⁵
	168.000	250.30	Various	253.21	2.91 W	0.00	Total of 5 transactions
2 transactions for 02/10/20. Total proceeds and cost reported to the IRS.							
	1.000	1.24	02/07/20	1.28	0.04 W	0.00	1 of 2 - Sale ²⁵
02/10/20	49.000	60.76	02/07/20	63.12	2.36 W	0.00	2 of 2 - Sale ²⁵
	50.000	62.00	Various	64.40	2.40 W	0.00	Total of 2 transactions
6 transactions for 02/19/20. Total proceeds and cost reported to the IRS.							
	1.000	1.46	02/18/20	1.51	0.05 W	0.00	1 of 6 - Sale ²⁵
	49.000	71.54	02/18/20	74.39	2.85 W	0.00	2 of 6 - Sale ²⁵
	4.000	5.84	02/18/20	5.99	0.15 W	0.00	3 of 6 - Sale ²⁵
	5.000	7.30	02/18/20	7.49	0.19 W	0.00	4 of 6 - Sale ²⁵
	9.000	13.14	02/18/20	13.48	0.34 W	0.00	5 of 6 - Sale ²⁵
02/19/20	32.000	46.71	02/18/20	47.04	0.33 W	0.00	6 of 6 - Sale ²⁵
	100.000	145.99	Various	149.90	3.91 W	0.00	Total of 6 transactions
13 transactions for 02/27/20. Total proceeds and cost reported to the IRS.							
	1.000	1.22	02/26/20	1.32	0.10 W	0.00	1 of 13 - Sale ²⁵
	1.000	1.22	02/26/20	1.33	0.11 W	0.00	2 of 13 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
	48.000	58.56	02/26/20	63.69	5.13 W	0.00	3 of 13 - Sale ²⁵
	4.000	4.88	02/26/20	5.23	0.35 W	0.00	4 of 13 - Sale ²⁵
	5.000	6.10	02/26/20	6.53	0.43 W	0.00	5 of 13 - Sale ²⁵
	9.000	10.98	02/26/20	11.76	0.78 W	0.00	6 of 13 - Sale ²⁵
	32.000	39.03	02/26/20	40.93	1.90 W	0.00	7 of 13 - Sale ²⁵
	2.000	2.44	02/26/20	2.54	0.10 W	0.00	8 of 13 - Sale ²⁵
	22.000	26.84	02/26/20	27.91	1.07 W	0.00	9 of 13 - Sale ²⁵
	76.000	92.71	02/26/20	96.43	3.72 W	0.00	10 of 13 - Sale ²⁵
	1.000	1.22	02/26/20	1.41	0.19 W	0.00	11 of 13 - Sale ²⁵
	1.000	1.22	02/26/20	1.42	0.20 W	0.00	12 of 13 - Sale ²⁵
	4.000	4.88	02/26/20	5.66	0.78 W	0.00	13 of 13 - Sale ²⁵
02/27/20	206.000	251.30	Various	266.16	14.86 W	0.00	Total of 13 transactions
	14 transactions for 03/05/20. Total proceeds and cost reported to the IRS.						
	1.000	1.18	03/04/20	1.38	0.20 W	0.00	1 of 14 - Sale ²⁵
	1.000	1.18	03/04/20	1.39	0.21 W	0.00	2 of 14 - Sale ²⁵
	4.000	4.72	03/04/20	5.54	0.82 W	0.00	3 of 14 - Sale ²⁵
	44.000	51.97	03/04/20	57.06	5.09 W	0.00	4 of 14 - Sale ²⁵
	4.000	4.72	03/04/20	5.11	0.39 W	0.00	5 of 14 - Sale ²⁵
	5.000	5.91	03/04/20	6.38	0.47 W	0.00	6 of 14 - Sale ²⁵
	9.000	10.63	03/04/20	11.49	0.86 W	0.00	7 of 14 - Sale ²⁵
	32.000	37.79	03/04/20	39.98	2.19 W	0.00	8 of 14 - Sale ²⁵
	2.000	2.36	03/04/20	2.48	0.12 W	0.00	9 of 14 - Sale ²⁵
	22.000	25.98	03/04/20	27.25	1.27 W	0.00	10 of 14 - Sale ²⁵
	76.000	89.77	03/04/20	94.16	4.39 W	0.00	11 of 14 - Sale ²⁵
	10.000	11.81	03/04/20	11.90	0.09 W	0.00	12 of 14 - Sale ²⁵
	16.000	18.90	03/04/20	19.04	0.14 W	0.00	13 of 14 - Sale ²⁵
	74.000	87.40	03/04/20	87.98	0.58 W	0.00	14 of 14 - Sale ²⁵
03/05/20	300.000	354.32	Various	371.14	16.82 W	0.00	Total of 14 transactions
	20 transactions for 03/23/20. Total proceeds and cost reported to the IRS.						
	1.000	0.65	03/19/20	0.61	...	0.04	1 of 20 - Sale ²⁵
	1.000	0.65	03/19/20	0.60	...	0.05	2 of 20 - Sale ²⁵
	4.000	2.61	03/19/20	2.42	...	0.19	3 of 20 - Sale ²⁵
	1.000	0.65	03/19/20	0.46	...	0.19	4 of 20 - Sale ²⁵
	1.000	0.65	03/19/20	0.46	...	0.19	5 of 20 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
	2.000	1.30	03/19/20	0.92	...	0.38	6 of 20 - Sale ²⁵
	3.000	1.95	03/19/20	1.37	...	0.58	7 of 20 - Sale ²⁵
	4.000	2.60	03/19/20	1.99	...	0.61	8 of 20 - Sale ²⁵
	4.000	2.60	03/19/20	1.83	...	0.77	9 of 20 - Sale ²⁵
	5.000	3.25	03/19/20	2.47	...	0.78	10 of 20 - Sale ²⁵
	9.000	5.85	03/19/20	4.46	...	1.39	11 of 20 - Sale ²⁵
	10.000	6.50	03/19/20	4.09	...	2.41	12 of 20 - Sale ²⁵
	21.000	13.68	03/19/20	10.83	...	2.85	13 of 20 - Sale ²⁵
	23.000	14.95	03/19/20	11.86	...	3.09	14 of 20 - Sale ²⁵
	16.000	10.40	03/19/20	6.54	...	3.86	15 of 20 - Sale ²⁵
	22.000	14.30	03/19/20	10.07	...	4.23	16 of 20 - Sale ²⁵
	30.000	19.50	03/19/20	13.73	...	5.77	17 of 20 - Sale ²⁵
	32.000	20.79	03/19/20	14.99	...	5.80	18 of 20 - Sale ²⁵
	24.000	15.59	03/19/20	9.79	...	5.80	19 of 20 - Sale ²⁵
	37.000	24.05	03/19/20	16.94	...	7.11	20 of 20 - Sale ²⁵
03/23/20	250.000	162.52	Various	116.43	...	46.09	Total of 20 transactions
	4 transactions for 03/25/20. Total proceeds and cost reported to the IRS.						
	16.000	10.56	03/24/20	11.00	0.44 W	0.00	1 of 4 - Sale ²⁵
	34.000	22.44	03/24/20	23.39	0.95 W	0.00	2 of 4 - Sale ²⁵
	118.000	77.86	03/24/20	80.24	2.38 W	0.00	3 of 4 - Sale ²⁵
	332.000	219.08	03/24/20	225.76	6.68 W	0.00	4 of 4 - Sale ²⁵
03/25/20	500.000	329.94	Various	340.39	10.45 W	0.00	Total of 4 transactions
	13 transactions for 03/26/20. Total proceeds and cost reported to the IRS.						
	16.000	12.48	03/25/20	13.09	0.61 W	0.00	1 of 13 - Sale ²⁵
	34.000	26.52	03/25/20	27.83	1.31 W	0.00	2 of 13 - Sale ²⁵
	50.000	38.99	03/25/20	40.53	1.54 W	0.00	3 of 13 - Sale ²⁵
	32.000	24.96	03/25/20	25.94	0.98 W	0.00	4 of 13 - Sale ²⁵
	68.000	53.03	03/25/20	55.12	2.09 W	0.00	5 of 13 - Sale ²⁵
	100.000	77.99	03/25/20	81.06	3.07 W	0.00	6 of 13 - Sale ²⁵
	100.000	77.99	03/25/20	81.07	3.08 W	0.00	7 of 13 - Sale ²⁵
	100.000	77.99	03/25/20	81.06	3.07 W	0.00	8 of 13 - Sale ²⁵
	16.000	12.48	03/25/20	13.41	0.93 W	0.00	9 of 13 - Sale ²⁵
	34.000	26.51	03/25/20	28.51	2.00 W	0.00	10 of 13 - Sale ²⁵
	32.000	24.96	03/25/20	26.58	1.62 W	0.00	11 of 13 - Sale ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
	50.000	38.99	03/25/20	41.54	2.55 W	0.00	12 of 13 - Sale ²⁵
	68.000	53.03	03/25/20	56.49	3.46 W	0.00	13 of 13 - Sale ²⁵
03/26/20	700.000	545.92	Various	572.23	26.31 W	0.00	Total of 13 transactions
9 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	32.000	35.52	03/26/20	34.21	...	1.31	1 of 9 - Sale ²⁵
	50.000	55.49	03/26/20	53.48	...	2.01	2 of 9 - Sale ²⁵
	16.000	17.76	03/26/20	15.49	...	2.27	3 of 9 - Sale ²⁵
	68.000	75.47	03/26/20	72.72	...	2.75	4 of 9 - Sale ²⁵
	20.000	22.20	03/26/20	18.81	...	3.39	5 of 9 - Sale ²⁵
	34.000	37.73	03/26/20	32.93	...	4.80	6 of 9 - Sale ²⁵
	80.000	88.79	03/26/20	75.23	...	13.56	7 of 9 - Sale ²⁵
	100.000	110.98	03/26/20	94.05	...	16.93	8 of 9 - Sale ²⁵
	100.000	110.98	03/26/20	94.04	...	16.94	9 of 9 - Sale ²⁵
03/27/20	500.000	554.92	Various	490.96	...	63.96	Total of 9 transactions
03/27/20	50.000	55.49	03/26/20	56.50	1.01 W	0.00	Sale ²⁵
2 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	50.000	46.00	03/27/20	57.01	11.01 W	0.00	1 of 2 - Sale ²⁵
	250.000	229.99	03/27/20	280.00	50.01 W	0.00	2 of 2 - Sale ²⁵
03/30/20	300.000	275.99	Various	337.01	61.02 W	0.00	Total of 2 transactions
3 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	35.000	27.65	03/31/20	36.05	8.40 W	0.00	1 of 3 - Sale ²⁵
	15.000	11.85	03/31/20	15.45	3.60 W	0.00	2 of 3 - Sale ²⁵
	250.000	197.47	03/31/20	252.46	54.99 W	0.00	3 of 3 - Sale ²⁵
04/01/20	300.000	236.97	Various	303.96	66.99 W	0.00	Total of 3 transactions
12 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	100.000	51.99	04/08/20	52.95	0.84 W	-0.12	1 of 12 - Sale ²⁵
	13.000	6.76	04/08/20	9.74	2.98 W	0.00	2 of 12 - Sale ²⁵
	100.000	51.99	04/08/20	74.97	22.98 W	0.00	3 of 12 - Sale ²⁵
	100.000	51.99	04/08/20	74.94	22.95 W	0.00	4 of 12 - Sale ²⁵
	15.000	7.80	04/08/20	11.69	3.89 W	0.00	5 of 12 - Sale ²⁵
	35.000	18.20	04/08/20	27.27	9.07 W	0.00	6 of 12 - Sale ²⁵
	37.000	19.23	04/08/20	28.11	8.88 W	0.00	7 of 12 - Sale ²⁵
	13.000	6.76	04/08/20	6.88	0.12 W	0.00	8 of 12 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
	100.000	51.98	04/08/20	52.95	0.97 W	0.00	9 of 12 - Sale ²⁵
	15.000	7.80	04/08/20	11.55	3.75 W	0.00	10 of 12 - Sale ²⁵
	35.000	18.20	04/08/20	26.94	8.74 W	0.00	11 of 12 - Sale ²⁵
	37.000	19.23	04/08/20	27.74	8.51 W	0.00	12 of 12 - Sale ²⁵
04/09/20	600.000	311.93	Various	405.73	93.68 W	-0.12	Total of 12 transactions
	9 transactions for 04/29/20. Total proceeds and cost reported to the IRS.						
	15.000	7.87	04/28/20	12.40	4.53 W	0.00	1 of 9 - Sale ²⁵
	35.000	18.37	04/28/20	28.93	10.56 W	0.00	2 of 9 - Sale ²⁵
	37.000	19.43	04/28/20	29.87	10.44 W	0.00	3 of 9 - Sale ²⁵
	13.000	6.82	04/28/20	10.35	3.53 W	0.00	4 of 9 - Sale ²⁵
	100.000	52.50	04/28/20	79.71	27.21 W	0.00	5 of 9 - Sale ²⁵
	100.000	52.50	04/28/20	79.68	27.18 W	0.00	6 of 9 - Sale ²⁵
	13.000	6.83	04/28/20	7.66	0.83 W	0.00	7 of 9 - Sale ²⁵
	87.000	45.67	04/28/20	51.30	5.63 W	0.00	8 of 9 - Sale ²⁵
	100.000	52.50	04/28/20	58.97	6.47 W	0.00	9 of 9 - Sale ²⁵
04/29/20	500.000	262.49	Various	358.87	96.38 W	0.00	Total of 9 transactions
	4 transactions for 05/19/20. Total proceeds and cost reported to the IRS.						
	15.000	9.65	05/18/20	16.18	6.53 W	0.00	1 of 4 - Sale ²⁵
	35.000	22.52	05/18/20	37.76	15.24 W	0.00	2 of 4 - Sale ²⁵
	37.000	23.81	05/18/20	39.19	15.38 W	0.00	3 of 4 - Sale ²⁵
	13.000	8.36	05/18/20	13.63	5.27 W	0.00	4 of 4 - Sale ²⁵
05/19/20	100.000	64.34	Various	106.76	42.42 W	0.00	Total of 4 transactions
	6 transactions for 05/27/20. Total proceeds and cost reported to the IRS.						
	100.000	68.00	05/22/20	91.46	23.46 W	0.00	1 of 6 - Sale ²⁵
	100.000	68.00	05/22/20	91.43	23.43 W	0.00	2 of 6 - Sale ²⁵
	13.000	8.84	05/26/20	10.45	1.61 W	0.00	3 of 6 - Sale ²⁵
	37.000	25.16	05/26/20	29.77	4.61 W	0.00	4 of 6 - Sale ²⁵
	63.000	42.84	05/26/20	50.62	7.78 W	0.00	5 of 6 - Sale ²⁵
	87.000	59.15	05/26/20	69.90	10.75 W	0.00	6 of 6 - Sale ²⁵
05/27/20	400.000	271.99	Various	343.63	71.64 W	0.00	Total of 6 transactions
	9 transactions for 05/29/20. Total proceeds and cost reported to the IRS.						
	100.000	66.09	05/28/20	92.46	26.37 W	0.00	1 of 9 - Sale ²⁵
	100.000	66.09	05/28/20	92.43	26.34 W	0.00	2 of 9 - Sale ²⁵

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HEXO CORP. / CUSIP: 428304109 / Symbol: (cont'd)							
	15.000	9.91	05/28/20	16.88	6.97 W	0.00	3 of 9 - Sale ²⁵
	35.000	23.13	05/28/20	39.39	16.26 W	0.00	4 of 9 - Sale ²⁵
	37.000	24.45	05/28/20	40.91	16.46 W	0.00	5 of 9 - Sale ²⁵
	13.000	8.59	05/28/20	14.24	5.65 W	0.00	6 of 9 - Sale ²⁵
	13.000	8.59	05/28/20	10.58	1.99 W	0.00	7 of 9 - Sale ²⁵
	37.000	24.45	05/28/20	30.14	5.69 W	0.00	8 of 9 - Sale ²⁵
	50.000	33.05	05/28/20	40.67	7.62 W	0.00	9 of 9 - Sale ²⁵
05/29/20	400.000	264.35	Various	377.70	113.35 W	0.00	Total of 9 transactions
	16 transactions for 06/10/20. Total proceeds and cost reported to the IRS.						
	37.000	41.80	06/08/20	56.04	...	-14.24	1 of 16 - Sale ²⁵
	35.000	39.54	06/08/20	53.71	...	-14.17	2 of 16 - Sale ²⁵
	50.000	56.49	06/08/20	66.67	...	-10.18	3 of 16 - Sale ²⁵
	42.000	47.45	06/08/20	54.28	...	-6.83	4 of 16 - Sale ²⁵
	50.000	56.49	06/08/20	63.17	...	-6.68	5 of 16 - Sale ²⁵
	37.000	41.80	06/08/20	48.24	...	-6.44	6 of 16 - Sale ²⁵
	15.000	16.95	06/08/20	23.02	...	-6.07	7 of 16 - Sale ²⁵
	13.000	14.69	06/08/20	19.56	...	-4.87	8 of 16 - Sale ²⁵
	13.000	14.69	06/08/20	16.94	...	-2.25	9 of 16 - Sale ²⁵
	90.000	101.69	06/08/20	103.50	...	-1.81	10 of 16 - Sale ²⁵
	8.000	9.04	06/08/20	10.42	...	-1.38	11 of 16 - Sale ²⁵
	10.000	11.30	06/08/20	11.40	...	-0.10	12 of 16 - Sale ²⁵
	13.000	14.69	06/08/20	14.61	...	0.08	13 of 16 - Sale ²⁵
	32.000	36.16	06/08/20	35.95	...	0.21	14 of 16 - Sale ²⁵
	55.000	62.14	06/08/20	61.80	...	0.34	15 of 16 - Sale ²⁵
	400.000	451.95	06/09/20	492.00	...	-40.05	16 of 16 - Sale ²⁵
06/10/20	900.000	1,016.87	Various	1,131.31	...	-114.44	Total of 16 transactions
06/10/20	100.000	112.98	06/08/20	126.37	13.39 W	0.00	Sale ²⁵
	2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.						
	99.000	102.95	06/11/20	132.06	...	-29.11	1 of 2 - Sale ²⁵
	1.000	1.04	06/11/20	1.33	...	-0.29	2 of 2 - Sale ²⁵
06/12/20	100.000	103.99	Various	133.39	...	-29.40	Total of 2 transactions
	Security total:	5,862.22		6,519.73	637.54 W	-19.97	

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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HIMAX TECHNOLOGIES, INC. AMERI CAN DEPOSITARY SHARES / CUSIP: 43289P106 / Symbol:							
03/23/20	150.000	377.98	03/20/20	327.00	...	50.98	Sale ²⁵
	2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	10.000	33.50	06/05/20	34.40	0.90 W	0.00	1 of 2 - Sale ²⁵
	90.000	301.49	06/05/20	309.59	8.10 W	0.00	2 of 2 - Sale ²⁵
06/08/20	100.000	334.99	Various	343.99	9.00 W	0.00	Total of 2 transactions
	2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.						
	10.000	32.50	06/16/20	34.50	2.00 W	0.00	1 of 2 - Sale ²⁵
	90.000	292.49	06/16/20	310.50	18.01 W	0.00	2 of 2 - Sale ²⁵
06/17/20	100.000	324.99	Various	345.00	20.01 W	0.00	Total of 2 transactions
	12 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	125.000	515.09	06/30/20	523.74	...	-8.65	1 of 12 - Sale ²⁵
	100.000	412.08	06/30/20	417.99	...	-5.91	2 of 12 - Sale ²⁵
	100.000	412.08	06/30/20	417.99	...	-5.91	3 of 12 - Sale ²⁵
	175.000	721.13	06/30/20	726.23	...	-5.10	4 of 12 - Sale ²⁵
	20.000	82.42	06/30/20	83.00	...	-0.58	5 of 12 - Sale ²⁵
	5.000	20.60	06/30/20	20.70	...	-0.10	6 of 12 - Sale ²⁵
	10.000	41.25	06/30/20	40.10	...	1.15	7 of 12 - Sale ²⁵
	25.000	103.02	06/30/20	98.45	...	4.57	8 of 12 - Sale ²⁵
	50.000	206.24	06/30/20	196.90	...	9.34	9 of 12 - Sale ²⁵
	90.000	371.24	06/30/20	360.90	...	10.34	10 of 12 - Sale ²⁵
	50.000	206.25	06/30/20	194.00	...	12.25	11 of 12 - Sale ²⁵
	100.000	412.49	06/30/20	387.99	...	24.50	12 of 12 - Sale ²⁵
06/30/20	850.000	3,503.89	Various	3,467.99	...	35.90	Total of 12 transactions
	Security total:	4,541.85		4,483.98	29.01 W	86.88	
HOMOLOGY MEDICINES, INC. COMMO N STOCK / CUSIP: 438083107 / Symbol:							
	2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	20.000	284.40	04/16/20	317.60	...	-33.20	1 of 2 - Sale ²⁵
	5.000	71.10	04/16/20	77.50	...	-6.40	2 of 2 - Sale ²⁵
04/17/20	25.000	355.50	Various	395.10	...	-39.60	Total of 2 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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HOUSTON AMERICAN ENERGY CORP / CUSIP: 44183U100 / Symbol:							
3 transactions for 02/07/20. Total proceeds and cost reported to the IRS.							
	150.000	22.48	02/06/20	23.30	...	-0.82	1 of 3 - Sale ²⁵
	100.000	14.99	02/06/20	15.53	...	-0.54	2 of 3 - Sale ²⁵
	30.000	4.50	02/06/20	4.66	...	-0.16	3 of 3 - Sale ²⁵
02/07/20	280.000	41.97	Various	43.49	...	-1.52	Total of 3 transactions
13 transactions for 04/02/20. Total proceeds and cost reported to the IRS.							
	197.000	23.23	04/01/20	23.64	0.41 W	0.00	1 of 13 - Sale ²⁵
	50.000	5.90	04/01/20	6.00	0.10 W	0.00	2 of 13 - Sale ²⁵
	78.000	9.19	04/01/20	9.36	0.17 W	0.00	3 of 13 - Sale ²⁵
	100.000	11.79	04/01/20	12.00	0.21 W	0.00	4 of 13 - Sale ²⁵
	100.000	11.79	04/01/20	12.00	0.21 W	0.00	5 of 13 - Sale ²⁵
	300.000	35.36	04/01/20	36.00	0.64 W	0.00	6 of 13 - Sale ²⁵
	100.000	11.79	04/01/20	12.00	0.21 W	0.00	7 of 13 - Sale ²⁵
	300.000	35.36	04/01/20	36.00	0.64 W	0.00	8 of 13 - Sale ²⁵
	200.000	23.58	04/01/20	24.00	0.42 W	0.00	9 of 13 - Sale ²⁵
	200.000	23.58	04/01/20	24.00	0.42 W	0.00	10 of 13 - Sale ²⁵
	100.000	11.89	04/01/20	12.00	0.11 W	0.00	11 of 13 - Sale ²⁵
	175.000	20.63	04/01/20	21.00	0.37 W	0.00	12 of 13 - Sale ²⁵
	100.000	11.79	04/01/20	12.00	0.21 W	0.00	13 of 13 - Sale ²⁵
04/02/20	2,000.000	235.88	Various	240.00	4.12 W	0.00	Total of 13 transactions
8 transactions for 04/06/20. Total proceeds and cost reported to the IRS.							
	50.000	5.62	04/03/20	6.45	0.83 W	0.00	1 of 8 - Sale ²⁵
	75.000	8.43	04/03/20	9.70	1.27 W	0.00	2 of 8 - Sale ²⁵
	78.000	8.77	04/03/20	10.08	1.31 W	0.00	3 of 8 - Sale ²⁵
	100.000	11.24	04/03/20	12.92	1.68 W	0.00	4 of 8 - Sale ²⁵
	100.000	11.24	04/03/20	12.92	1.68 W	0.00	5 of 8 - Sale ²⁵
	100.000	11.23	04/03/20	12.92	1.69 W	0.00	6 of 8 - Sale ²⁵
	197.000	22.14	04/03/20	25.45	3.31 W	0.00	7 of 8 - Sale ²⁵
	300.000	33.71	04/03/20	38.77	5.06 W	0.00	8 of 8 - Sale ²⁵
04/06/20	1,000.000	112.38	Various	129.21	16.83 W	0.00	Total of 8 transactions
12 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	100.000	18.49	04/22/20	12.71	...	5.78	1 of 12 - Sale ²⁵
	100.000	18.49	04/22/20	12.61	...	5.88	2 of 12 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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HOUSTON AMERICAN ENERGY CORP / CUSIP: 44183U100 / Symbol: (cont'd)							
	175.000	32.35	04/22/20	22.24	...	10.11	3 of 12 - Sale ²⁵
	200.000	36.98	04/22/20	25.42	...	11.56	4 of 12 - Sale ²⁵
	200.000	36.98	04/22/20	25.42	...	11.56	5 of 12 - Sale ²⁵
	225.000	41.60	04/22/20	28.61	...	12.99	6 of 12 - Sale ²⁵
	50.000	9.24	04/23/20	8.10	...	1.14	7 of 12 - Sale ²⁵
	78.000	14.42	04/23/20	12.65	...	1.77	8 of 12 - Sale ²⁵
	100.000	18.49	04/23/20	16.22	...	2.27	9 of 12 - Sale ²⁵
	100.000	18.49	04/23/20	16.22	...	2.27	10 of 12 - Sale ²⁵
	175.000	32.35	04/23/20	28.40	...	3.95	11 of 12 - Sale ²⁵
	197.000	36.42	04/23/20	31.95	...	4.47	12 of 12 - Sale ²⁵
04/24/20	1,700.000	314.30	Various	240.55	...	73.75	Total of 12 transactions
	4 transactions for 05/01/20. Total proceeds and cost reported to the IRS.						
	700.000	104.98	04/30/20	109.83	...	-4.85	1 of 4 - Sale ²⁵
	125.000	18.75	04/30/20	21.72	...	-2.97	2 of 4 - Sale ²⁵
	100.000	15.00	04/30/20	17.38	...	-2.38	3 of 4 - Sale ²⁵
	75.000	11.25	04/30/20	13.04	...	-1.79	4 of 4 - Sale ²⁵
05/01/20	1,000.000	149.98	Various	161.97	...	-11.99	Total of 4 transactions
	Security total:	854.51		815.22	20.95 W	60.24	
HUYA INC. / CUSIP: 44852D108 / Symbol:							
	4 transactions for 07/07/20. Total proceeds and cost reported to the IRS.						
	50.000	1,126.97	07/06/20	1,136.00	...	-9.03	1 of 4 - Sale ²⁵
	25.000	563.48	07/06/20	568.00	...	-4.52	2 of 4 - Sale ²⁵
	1.000	22.54	07/06/20	22.50	...	0.04	3 of 4 - Sale ²⁵
	24.000	540.95	07/06/20	540.00	...	0.95	4 of 4 - Sale ²⁵
07/07/20	100.000	2,253.94	Various	2,266.50	...	-12.56	Total of 4 transactions
HYRECAR INC. COMMON STOCK / CUSIP: 44916T107 / Symbol:							
06/04/20	50.000	149.50	06/03/20	146.50	...	3.00	Sale ²⁵
06/12/20	50.000	119.86	06/11/20	127.85	...	-7.99	Sale ²⁵
	Security total:	269.36		274.35	...	-4.99	

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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IMAC HOLDINGS, INC. COMMON STO CK / CUSIP: 44967K104 / Symbol:							
3 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	5.000	14.85	03/24/20	12.34	...	2.51	1 of 3 - Sale ²⁵
	23.000	68.31	03/24/20	57.04	...	11.27	2 of 3 - Sale ²⁵
	127.000	377.17	03/24/20	314.96	...	62.21	3 of 3 - Sale ²⁵
03/25/20	155.000	460.33	Various	384.34	...	75.99	Total of 3 transactions
IMV INC. COMMON SHARES / CUSIP: 44974L103 / Symbol:							
3 transactions for 03/06/20. Total proceeds and cost reported to the IRS.							
	1.000	3.22	03/05/20	3.05	...	0.17	1 of 3 - Sale ²⁵
	49.000	156.80	03/05/20	149.45	...	7.35	2 of 3 - Sale ²⁵
	100.000	324.99	03/05/20	305.00	...	19.99	3 of 3 - Sale ²⁵
03/06/20	150.000	485.01	Various	457.50	...	27.51	Total of 3 transactions
11 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	400.000	1,215.56	06/26/20	1,224.00	...	-8.44	1 of 11 - Sale ²⁵
	25.000	75.97	06/26/20	82.88	...	-6.91	2 of 11 - Sale ²⁵
	100.000	303.89	06/26/20	305.00	...	-1.11	3 of 11 - Sale ²⁵
	100.000	303.89	06/26/20	305.00	...	-1.11	4 of 11 - Sale ²⁵
	100.000	303.89	06/26/20	305.00	...	-1.11	5 of 11 - Sale ²⁵
	78.000	237.03	06/26/20	237.90	...	-0.87	6 of 11 - Sale ²⁵
	78.000	237.04	06/26/20	237.90	...	-0.86	7 of 11 - Sale ²⁵
	53.000	161.06	06/26/20	161.65	...	-0.59	8 of 11 - Sale ²⁵
	22.000	66.86	06/26/20	67.10	...	-0.24	9 of 11 - Sale ²⁵
	22.000	66.86	06/26/20	67.10	...	-0.24	10 of 11 - Sale ²⁵
	22.000	66.86	06/26/20	67.10	...	-0.24	11 of 11 - Sale ²⁵
06/26/20	1,000.000	3,038.91	Various	3,060.63	...	-21.72	Total of 11 transactions
06/26/20	25.000	75.50	06/26/20	82.13	6.63 W	0.00	Sale ²⁵
	Security total:	3,599.42		3,600.26	6.63 W	5.79	
IAMGOLD CORPORATION / CUSIP: 450913108 / Symbol:							
2 transactions for 02/25/20. Total proceeds and cost reported to the IRS.							
	100.000	353.23	02/24/20	347.75	...	5.48	1 of 2 - Sale ²⁵
	100.000	353.23	02/24/20	346.75	...	6.48	2 of 2 - Sale ²⁵
02/25/20	200.000	706.46	Various	694.50	...	11.96	Total of 2 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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IBIO, INC. / CUSIP: 451033203 / Symbol:							
<i>3 transactions for 02/25/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	30.80	02/21/20	29.25	...	1.55	1 of 3 - Sale ²⁵
	100.000	30.90	02/21/20	29.25	...	1.65	2 of 3 - Sale ²⁵
	500.000	153.99	02/21/20	139.89	...	14.10	3 of 3 - Sale ²⁵
02/25/20	700.000	215.69	Various	198.39	...	17.30	Total of 3 transactions
<i>5 transactions for 03/02/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	264.98	02/27/20	85.00	...	179.98	1 of 5 - Sale ²⁵
	100.000	264.98	02/27/20	57.46	...	207.52	2 of 5 - Sale ²⁵
	200.000	529.97	02/28/20	526.00	...	3.97	3 of 5 - Sale ²⁵
	200.000	529.97	02/28/20	465.98	...	63.99	4 of 5 - Sale ²⁵
	200.000	529.96	02/28/20	348.00	...	181.96	5 of 5 - Sale ²⁵
03/02/20	800.000	2,119.86	Various	1,482.44	...	637.42	Total of 5 transactions
<i>4 transactions for 03/02/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	264.98	02/28/20	269.00	4.02 W	0.00	1 of 4 - Sale ²⁵
	100.000	264.98	02/28/20	270.00	5.02 W	0.00	2 of 4 - Sale ²⁵
	100.000	264.98	02/28/20	275.00	10.02 W	0.00	3 of 4 - Sale ²⁵
	100.000	264.98	02/28/20	275.00	10.02 W	0.00	4 of 4 - Sale ²⁵
03/02/20	400.000	1,059.92	Various	1,089.00	29.08 W	0.00	Total of 4 transactions
<i>2 transactions for 03/06/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	197.00	03/04/20	177.02	...	19.98	1 of 2 - Sale ²⁵
	100.000	197.00	03/04/20	176.02	...	20.98	2 of 2 - Sale ²⁵
03/06/20	200.000	394.00	Various	353.04	...	40.96	Total of 2 transactions
<i>2 transactions for 03/06/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	197.01	03/05/20	268.02	71.01 W	0.00	1 of 2 - Sale ²⁵
	100.000	197.00	03/05/20	267.02	70.02 W	0.00	2 of 2 - Sale ²⁵
03/06/20	200.000	394.01	Various	535.04	141.03 W	0.00	Total of 2 transactions
<i>2 transactions for 03/10/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	172.99	03/09/20	280.01	107.02 W	0.00	1 of 2 - Sale ²⁵
	100.000	172.99	03/09/20	279.02	106.03 W	0.00	2 of 2 - Sale ²⁵
03/10/20	200.000	345.98	Various	559.03	213.05 W	0.00	Total of 2 transactions

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(continued)

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IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
<i>4 transactions for 03/12/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	154.99	03/11/20	273.02	118.03 W	0.00	1 of 4 - Sale ²⁵
	100.000	154.98	03/11/20	272.03	117.05 W	0.00	2 of 4 - Sale ²⁵
	50.000	77.49	03/11/20	84.50	7.01 W	0.00	3 of 4 - Sale ²⁵
	50.000	77.50	03/11/20	84.50	7.00 W	0.00	4 of 4 - Sale ²⁵
03/12/20	300.000	464.96	Various	714.05	249.09 W	0.00	Total of 4 transactions
<i>4 transactions for 03/13/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	161.99	03/12/20	283.03	121.04 W	0.00	1 of 4 - Sale ²⁵
	100.000	161.99	03/12/20	282.05	120.06 W	0.00	2 of 4 - Sale ²⁵
	29.000	46.97	03/12/20	51.92	4.95 W	0.00	3 of 4 - Sale ²⁵
	21.000	34.02	03/12/20	38.01	3.99 W	0.00	4 of 4 - Sale ²⁵
03/13/20	250.000	404.97	Various	655.01	250.04 W	0.00	Total of 4 transactions
<i>3 transactions for 03/16/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	140.99	03/13/20	278.03	137.04 W	0.00	1 of 3 - Sale ²⁵
	100.000	140.99	03/13/20	277.05	136.06 W	0.00	2 of 3 - Sale ²⁵
	50.000	70.49	03/13/20	85.50	15.01 W	0.00	3 of 3 - Sale ²⁵
03/16/20	250.000	352.47	Various	640.58	288.11 W	0.00	Total of 3 transactions
<i>6 transactions for 03/19/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	65.49	03/18/20	182.53	...	-117.04	1 of 6 - Sale ²⁵
	50.000	65.49	03/18/20	160.53	...	-95.04	2 of 6 - Sale ²⁵
	50.000	70.00	03/18/20	159.53	...	-89.53	3 of 6 - Sale ²⁵
	50.000	65.50	03/18/20	107.51	...	-42.01	4 of 6 - Sale ²⁵
	29.000	40.59	03/18/20	58.02	...	-17.43	5 of 6 - Sale ²⁵
	21.000	29.40	03/18/20	42.42	...	-13.02	6 of 6 - Sale ²⁵
03/19/20	250.000	336.47	Various	710.54	...	-374.07	Total of 6 transactions
03/19/20	100.000	139.99	03/18/20	320.04	90.03 W	-90.02	Sale ²⁵
<i>7 transactions for 06/26/20. Total proceeds and cost reported to the IRS.</i>							
	200.000	453.96	06/26/20	480.24	...	-26.28	1 of 7 - Sale ²⁵
	100.000	226.98	06/26/20	240.12	...	-13.14	2 of 7 - Sale ²⁵
	80.000	181.59	06/26/20	192.09	...	-10.50	3 of 7 - Sale ²⁵
	50.000	113.49	06/26/20	121.06	...	-7.57	4 of 7 - Sale ²⁵
	50.000	113.49	06/26/20	120.06	...	-6.57	5 of 7 - Sale ²⁵
	17.000	38.59	06/26/20	40.82	...	-2.23	6 of 7 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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IBIO, INC. / CUSIP: 451033203 / Symbol: (cont'd)							
	3.000	6.81	06/26/20	7.20	...	-0.39	7 of 7 - Sale ²⁵
06/26/20	500.000	1,134.91	Various	1,201.59	...	-66.68	Total of 7 transactions
	12 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	50.000	121.75	06/26/20	122.75	1.00 W	0.00	1 of 12 - Sale ²⁵
	50.000	118.20	06/26/20	121.75	3.55 W	0.00	2 of 12 - Sale ²⁵
	50.000	118.20	06/26/20	120.75	2.55 W	0.00	3 of 12 - Sale ²⁵
	200.000	472.80	06/26/20	483.00	10.20 W	0.00	4 of 12 - Sale ²⁵
	700.000	1,654.82	06/26/20	1,690.50	35.68 W	0.00	5 of 12 - Sale ²⁵
	50.000	113.49	06/26/20	119.05	5.56 W	0.00	6 of 12 - Sale ²⁵
	50.000	113.49	06/26/20	118.05	4.56 W	0.00	7 of 12 - Sale ²⁵
	80.000	181.59	06/26/20	188.88	7.29 W	0.00	8 of 12 - Sale ²⁵
	120.000	272.38	06/26/20	283.32	10.94 W	0.00	9 of 12 - Sale ²⁵
	200.000	453.96	06/26/20	472.20	18.24 W	0.00	10 of 12 - Sale ²⁵
	280.000	635.55	06/26/20	661.07	25.52 W	0.00	11 of 12 - Sale ²⁵
	220.000	499.36	06/26/20	519.41	20.05 W	0.00	12 of 12 - Sale ²⁵
06/26/20	2,050.000	4,755.59	Various	4,900.73	145.14 W	0.00	Total of 12 transactions
	2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	280.000	683.21	06/29/20	745.12	...	-61.91	1 of 2 - Sale ²⁵
	220.000	536.80	06/29/20	585.45	...	-48.65	2 of 2 - Sale ²⁵
06/29/20	500.000	1,220.01	Various	1,330.57	...	-110.56	Total of 2 transactions
	Security total:	13,338.83		14,690.05	1,405.57 W	54.35	
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
	2 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	50.000	165.99	06/22/20	165.98	...	0.01	1 of 2 - Sale ²⁵
	100.000	331.99	06/22/20	331.96	...	0.03	2 of 2 - Sale ²⁵
06/22/20	150.000	497.98	Various	497.94	...	0.04	Total of 2 transactions
	11 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	100.000	331.98	06/22/20	332.99	1.01 W	0.00	1 of 11 - Sale ²⁵
	50.000	165.99	06/22/20	169.00	3.01 W	0.00	2 of 11 - Sale ²⁵
	50.000	166.00	06/22/20	169.50	3.50 W	0.00	3 of 11 - Sale ²⁵
	25.000	77.24	06/22/20	88.26	11.02 W	0.00	4 of 11 - Sale ²⁵
	50.000	154.49	06/22/20	174.00	19.51 W	0.00	5 of 11 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	25.000	77.24	06/22/20	88.63	11.39 W	0.00	6 of 11 - Sale ²⁵
	50.000	154.49	06/22/20	177.75	23.26 W	0.00	7 of 11 - Sale ²⁵
	25.000	77.24	06/22/20	88.25	11.01 W	0.00	8 of 11 - Sale ²⁵
	75.000	231.74	06/22/20	264.75	33.01 W	0.00	9 of 11 - Sale ²⁵
	50.000	154.49	06/22/20	192.50	38.01 W	0.00	10 of 11 - Sale ²⁵
	25.000	76.50	06/22/20	106.01	29.51 W	0.00	11 of 11 - Sale ²⁵
06/22/20	525.000	1,667.40	Various	1,851.64	184.24 W	0.00	Total of 11 transactions
	12 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	15.000	31.50	06/29/20	38.10	6.60 W	0.00	1 of 12 - Sale ²⁵
	1.000	2.09	06/29/20	2.54	0.45 W	0.00	2 of 12 - Sale ²⁵
	9.000	18.81	06/29/20	22.86	4.05 W	0.00	3 of 12 - Sale ²⁵
	25.000	52.25	06/29/20	64.77	12.52 W	0.00	4 of 12 - Sale ²⁵
	25.000	52.25	06/29/20	65.14	12.89 W	0.00	5 of 12 - Sale ²⁵
	25.000	52.24	06/29/20	64.76	12.52 W	0.00	6 of 12 - Sale ²⁵
	25.000	52.24	06/29/20	83.26	31.02 W	0.00	7 of 12 - Sale ²⁵
	50.000	104.49	06/29/20	130.76	26.27 W	0.00	8 of 12 - Sale ²⁵
	50.000	104.49	06/29/20	145.51	41.02 W	0.00	9 of 12 - Sale ²⁵
	75.000	156.74	06/29/20	194.26	37.52 W	0.00	10 of 12 - Sale ²⁵
	200.000	417.96	06/29/20	430.00	12.04 W	0.00	11 of 12 - Sale ²⁵
	500.000	1,045.01	06/29/20	1,075.00	29.99 W	0.00	12 of 12 - Sale ²⁵
06/29/20	1,000.000	2,090.07	Various	2,316.96	226.89 W	0.00	Total of 12 transactions
	22 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	399.000	937.62	06/30/20	1,032.59	...	-94.97	1 of 22 - Sale ²⁵
	75.000	176.24	06/30/20	227.11	...	-50.87	2 of 22 - Sale ²⁵
	50.000	117.50	06/30/20	167.42	...	-49.92	3 of 22 - Sale ²⁵
	200.000	469.98	06/30/20	517.63	...	-47.65	4 of 22 - Sale ²⁵
	25.000	58.75	06/30/20	94.22	...	-35.47	5 of 22 - Sale ²⁵
	99.000	232.64	06/30/20	256.20	...	-23.56	6 of 22 - Sale ²⁵
	25.000	56.50	06/30/20	74.34	...	-17.84	7 of 22 - Sale ²⁵
	25.000	56.50	06/30/20	73.96	...	-17.46	8 of 22 - Sale ²⁵
	24.000	54.23	06/30/20	71.59	...	-17.36	9 of 22 - Sale ²⁵
	25.000	58.75	06/30/20	75.72	...	-16.97	10 of 22 - Sale ²⁵
	14.000	31.64	06/30/20	41.77	...	-10.13	11 of 22 - Sale ²⁵
	15.000	33.90	06/30/20	43.47	...	-9.57	12 of 22 - Sale ²⁵

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Robinhood Securities LLC	Proceeds from Broker and Barter Exchange Transactions	Account 412265225
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

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IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	10.000	22.60	06/30/20	29.83	...	-7.23	13 of 22 - Sale ²⁵
	9.000	20.34	06/30/20	26.17	...	-5.83	14 of 22 - Sale ²⁵
	500.000	1,174.96	06/30/20	1,178.90	...	-3.94	15 of 22 - Sale ²⁵
	1.000	2.26	06/30/20	2.91	...	-0.65	16 of 22 - Sale ²⁵
	1.000	2.26	06/30/20	2.36	...	-0.10	17 of 22 - Sale ²⁵
	1.000	2.26	06/30/20	2.32	...	-0.06	18 of 22 - Sale ²⁵
	1.000	2.35	06/30/20	2.33	...	0.02	19 of 22 - Sale ²⁵
	1.000	2.35	06/30/20	2.33	...	0.02	20 of 22 - Sale ²⁵
	99.000	232.64	06/30/20	224.73	...	7.91	21 of 22 - Sale ²⁵
	899.000	2,112.59	06/30/20	2,040.70	...	71.89	22 of 22 - Sale ²⁵
06/30/20	2,498.000	5,858.86	Various	6,188.60	...	-329.74	Total of 22 transactions
39 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	26.000	61.10	06/30/20	79.38	16.87 W	-1.41	1 of 39 - Sale ²⁵
	1.000	2.14	06/30/20	2.62	0.48 W	0.00	2 of 39 - Sale ²⁵
	9.000	19.26	06/30/20	23.58	4.32 W	0.00	3 of 39 - Sale ²⁵
	15.000	32.10	06/30/20	39.15	7.05 W	0.00	4 of 39 - Sale ²⁵
	25.000	53.50	06/30/20	66.77	13.27 W	0.00	5 of 39 - Sale ²⁵
	25.000	53.50	06/30/20	67.14	13.64 W	0.00	6 of 39 - Sale ²⁵
	25.000	53.50	06/30/20	66.77	13.27 W	0.00	7 of 39 - Sale ²⁵
	25.000	53.50	06/30/20	85.27	31.77 W	0.00	8 of 39 - Sale ²⁵
	50.000	107.00	06/30/20	134.77	27.77 W	0.00	9 of 39 - Sale ²⁵
	50.000	107.00	06/30/20	149.52	42.52 W	0.00	10 of 39 - Sale ²⁵
	75.000	160.50	06/30/20	200.27	39.77 W	0.00	11 of 39 - Sale ²⁵
	200.000	428.01	06/30/20	446.04	18.03 W	0.00	12 of 39 - Sale ²⁵
	500.000	1,070.02	06/30/20	1,114.99	44.97 W	0.00	13 of 39 - Sale ²⁵
	999.000	2,137.89	06/30/20	2,167.83	29.94 W	0.00	14 of 39 - Sale ²⁵
	1.000	2.10	06/30/20	2.17	0.07 W	0.00	15 of 39 - Sale ²⁵
	1.000	2.10	06/30/20	2.72	0.62 W	0.00	16 of 39 - Sale ²⁵
	9.000	18.90	06/30/20	24.48	5.58 W	0.00	17 of 39 - Sale ²⁵
	10.000	21.00	06/30/20	27.95	6.95 W	0.00	18 of 39 - Sale ²⁵
	15.000	31.50	06/30/20	40.65	9.15 W	0.00	19 of 39 - Sale ²⁵
	25.000	52.50	06/30/20	69.27	16.77 W	0.00	20 of 39 - Sale ²⁵
	25.000	52.49	06/30/20	69.64	17.15 W	0.00	21 of 39 - Sale ²⁵
	14.000	29.40	06/30/20	39.14	9.74 W	0.00	22 of 39 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	25.000	52.50	06/30/20	69.27	16.77 W	0.00	23 of 39 - Sale ²⁵
	25.000	52.50	06/30/20	87.77	35.27 W	0.00	24 of 39 - Sale ²⁵
	26.000	54.60	06/30/20	72.68	18.08 W	0.00	25 of 39 - Sale ²⁵
	50.000	104.99	06/30/20	154.52	49.53 W	0.00	26 of 39 - Sale ²⁵
	75.000	157.49	06/30/20	207.77	50.28 W	0.00	27 of 39 - Sale ²⁵
	200.000	419.96	06/30/20	466.03	46.07 W	0.00	28 of 39 - Sale ²⁵
	399.000	837.82	06/30/20	929.65	91.83 W	0.00	29 of 39 - Sale ²⁵
	99.000	207.88	06/30/20	230.66	22.78 W	0.00	30 of 39 - Sale ²⁵
	1.000	2.35	06/30/20	2.39	0.04 W	0.00	31 of 39 - Sale ²⁵
	1.000	2.35	06/30/20	2.43	0.08 W	0.00	32 of 39 - Sale ²⁵
	1.000	2.35	06/30/20	2.98	0.63 W	0.00	33 of 39 - Sale ²⁵
	9.000	21.15	06/30/20	26.80	5.65 W	0.00	34 of 39 - Sale ²⁵
	10.000	23.50	06/30/20	30.53	7.03 W	0.00	35 of 39 - Sale ²⁵
	14.000	32.90	06/30/20	42.75	9.85 W	0.00	36 of 39 - Sale ²⁵
	15.000	35.25	06/30/20	44.52	9.27 W	0.00	37 of 39 - Sale ²⁵
	25.000	58.75	06/30/20	75.71	16.96 W	0.00	38 of 39 - Sale ²⁵
	25.000	58.75	06/30/20	76.09	17.34 W	0.00	39 of 39 - Sale ²⁵
06/30/20	3,125.000	6,674.10	Various	7,442.67	767.16 W	-1.41	Total of 39 transactions
	Security total:	16,788.41		18,297.81	1,178.29 W	-331.11	
IMMUCELL CORPORATION COMMON STOCK / CUSIP: 452525306 / Symbol:							
03/05/20	50.000	255.00	03/04/20	258.00	3.00 W	0.00	Sale ²⁵
	2 transactions for 03/11/20. Total proceeds and cost reported to the IRS.						
	50.000	215.00	03/10/20	237.10	...	-22.10	1 of 2 - Sale ²⁵
	50.000	214.99	03/10/20	234.10	...	-19.11	2 of 2 - Sale ²⁵
03/11/20	100.000	429.99	Various	471.20	...	-41.21	Total of 2 transactions
	Security total:	684.99		729.20	3.00 W	-41.21	
IMMUNOGEN, INC. COMMON STOCK / CUSIP: 45253H101 / Symbol:							
02/18/20	100.000	617.09	02/14/20	685.99	68.90 W	0.00	Sale ²⁵
02/28/20	100.000	432.99	02/27/20	544.44	111.45 W	0.00	Sale ²⁵
03/05/20	80.000	356.93	03/04/20	463.42	106.49 W	0.00	Sale ²⁵
03/25/20	50.000	167.49	03/23/20	124.47	...	43.02	Sale ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

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(continued)

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IMMUNOGEN, INC. COMMON STOCK / CUSIP: 45253H101 / Symbol: (cont'd)							
2 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	80.000	267.98	03/23/20	305.63	37.65 W	0.00	1 of 2 - Sale ²⁵
	20.000	66.99	03/23/20	72.08	5.09 W	0.00	2 of 2 - Sale ²⁵
03/25/20	100.000	334.97	Various	377.71	42.74 W	0.00	Total of 2 transactions
2 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	80.000	291.99	03/27/20	343.91	...	-51.92	1 of 2 - Sale ²⁵
	20.000	73.00	03/27/20	81.66	...	-8.66	2 of 2 - Sale ²⁵
03/30/20	100.000	364.99	Various	425.57	...	-60.58	Total of 2 transactions
2 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	20.000	105.00	05/20/20	105.37	...	-0.37	1 of 2 - Sale ²⁵
	30.000	157.50	05/20/20	152.70	...	4.80	2 of 2 - Sale ²⁵
05/21/20	50.000	262.50	Various	258.07	...	4.43	Total of 2 transactions
	Security total:	2,536.96		2,879.67	329.58 W	-13.13	
INDIVIOR PLC AMERICAN DEPOSIT ARY RECEIPTS - SPONSORED / CUSIP: 45579E105 / Symbol:							
2 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	1.000	11.04	05/26/20	19.90	8.86 W	0.00	1 of 2 - Sale ²⁵
	4.000	44.16	05/26/20	79.84	35.68 W	0.00	2 of 2 - Sale ²⁵
05/27/20	5.000	55.20	Various	99.74	44.54 W	0.00	Total of 2 transactions
3 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	1.000	17.12	06/17/20	32.85	15.73 W	0.00	1 of 3 - Sale ²⁵
	4.000	68.48	06/17/20	131.64	63.16 W	0.00	2 of 3 - Sale ²⁵
	10.000	171.20	06/17/20	239.90	68.70 W	0.00	3 of 3 - Sale ²⁵
06/17/20	15.000	256.80	Various	404.39	147.59 W	0.00	Total of 3 transactions
5 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	4.000	48.04	06/24/20	118.32	...	-70.28	1 of 5 - Sale ²⁵
	5.000	60.05	06/24/20	107.10	...	-47.05	2 of 5 - Sale ²⁵
	5.000	60.05	06/24/20	103.30	...	-43.25	3 of 5 - Sale ²⁵
	10.000	120.10	06/24/20	145.50	...	-25.40	4 of 5 - Sale ²⁵
	1.000	12.01	06/24/20	29.52	...	-17.51	5 of 5 - Sale ²⁵
06/24/20	25.000	300.25	Various	503.74	...	-203.49	Total of 5 transactions
	Security total:	612.25		1,007.87	192.13 W	-203.49	

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
3 transactions for 01/29/20. Total proceeds and cost reported to the IRS.							
	4.000	17.88	01/24/20	17.88	...	0.00	1 of 3 - Sale ²⁵
	11.000	49.18	01/24/20	49.17	...	0.01	2 of 3 - Sale ²⁵
	10.000	44.71	01/24/20	44.60	...	0.11	3 of 3 - Sale ²⁵
01/29/20	25.000	111.77	Various	111.65	...	0.12	Total of 3 transactions
10 transactions for 01/29/20. Total proceeds and cost reported to the IRS.							
	15.000	61.95	01/24/20	67.05	5.10 W	0.00	1 of 10 - Sale ²⁵
	5.000	20.65	01/24/20	24.00	3.35 W	0.00	2 of 10 - Sale ²⁵
	5.000	20.65	01/27/20	30.55	9.90 W	0.00	3 of 10 - Sale ²⁵
	5.000	20.25	01/27/20	32.20	11.95 W	0.00	4 of 10 - Sale ²⁵
	5.000	20.25	01/27/20	30.55	10.30 W	0.00	5 of 10 - Sale ²⁵
	5.000	20.25	01/27/20	28.85	8.60 W	0.00	6 of 10 - Sale ²⁵
	4.000	16.20	01/27/20	31.00	14.80 W	0.00	7 of 10 - Sale ²⁵
	1.000	4.05	01/28/20	7.28	3.23 W	0.00	8 of 10 - Sale ²⁵
	13.000	52.65	01/28/20	68.90	16.25 W	0.00	9 of 10 - Sale ²⁵
	17.000	68.85	01/28/20	90.10	21.25 W	0.00	10 of 10 - Sale ²⁵
01/29/20	75.000	305.75	Various	410.48	104.73 W	0.00	Total of 10 transactions
5 transactions for 01/31/20. Total proceeds and cost reported to the IRS.							
	5.000	23.40	01/30/20	36.00	12.60 W	0.00	1 of 5 - Sale ²⁵
	4.000	18.72	01/30/20	34.04	15.32 W	0.00	2 of 5 - Sale ²⁵
	1.000	4.68	01/30/20	8.04	3.36 W	0.00	3 of 5 - Sale ²⁵
	5.000	23.40	01/30/20	34.35	10.95 W	0.00	4 of 5 - Sale ²⁵
	5.000	23.40	01/30/20	32.65	9.25 W	0.00	5 of 5 - Sale ²⁵
01/31/20	20.000	93.60	Various	145.08	51.48 W	0.00	Total of 5 transactions
12 transactions for 02/04/20. Total proceeds and cost reported to the IRS.							
	13.000	48.36	01/30/20	78.78	30.42 W	0.00	1 of 12 - Sale ²⁵
	17.000	63.24	01/30/20	103.02	39.78 W	0.00	2 of 12 - Sale ²⁵
	20.000	74.40	01/30/20	96.20	21.80 W	0.00	3 of 12 - Sale ²⁵
	30.000	103.20	01/30/20	144.30	41.10 W	0.00	4 of 12 - Sale ²⁵
	2.000	6.88	01/30/20	14.74	7.86 W	0.00	5 of 12 - Sale ²⁵
	3.000	10.32	01/30/20	21.72	11.40 W	0.00	6 of 12 - Sale ²⁵
	4.000	13.76	01/30/20	34.20	20.44 W	0.00	7 of 12 - Sale ²⁵
	1.000	3.44	01/30/20	8.08	4.64 W	0.00	8 of 12 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	5.000	17.20	01/30/20	34.55	17.35 W	0.00	9 of 12 - Sale ²⁵
	5.000	17.20	01/30/20	32.85	15.65 W	0.00	10 of 12 - Sale ²⁵
	12.000	41.28	02/04/20	72.96	31.68 W	0.00	11 of 12 - Sale ²⁵
	13.000	44.71	02/04/20	79.04	34.33 W	0.00	12 of 12 - Sale ²⁵
02/04/20	125.000	443.99	Various	720.44	276.45 W	0.00	Total of 12 transactions
	11 transactions for 02/11/20. Total proceeds and cost reported to the IRS.						
	2.000	6.72	02/10/20	14.52	7.80 W	0.00	1 of 11 - Sale ²⁵
	3.000	10.08	02/10/20	21.39	11.31 W	0.00	2 of 11 - Sale ²⁵
	4.000	13.44	02/10/20	33.76	20.32 W	0.00	3 of 11 - Sale ²⁵
	1.000	3.36	02/10/20	7.97	4.61 W	0.00	4 of 11 - Sale ²⁵
	5.000	16.80	02/10/20	34.00	17.20 W	0.00	5 of 11 - Sale ²⁵
	5.000	16.80	02/10/20	32.30	15.50 W	0.00	6 of 11 - Sale ²⁵
	5.000	16.80	02/10/20	28.35	11.55 W	0.00	7 of 11 - Sale ²⁵
	12.000	40.32	02/10/20	71.64	31.32 W	0.00	8 of 11 - Sale ²⁵
	13.000	43.68	02/10/20	77.62	33.94 W	0.00	9 of 11 - Sale ²⁵
	20.000	67.19	02/10/20	88.40	21.21 W	0.00	10 of 11 - Sale ²⁵
	10.000	33.60	02/10/20	47.00	13.40 W	0.00	11 of 11 - Sale ²⁵
02/11/20	80.000	268.79	Various	456.95	188.16 W	0.00	Total of 11 transactions
	15 transactions for 02/12/20. Total proceeds and cost reported to the IRS.						
	20.000	66.00	02/10/20	94.00	28.00 W	0.00	1 of 15 - Sale ²⁵
	2.000	6.60	02/10/20	15.06	8.46 W	0.00	2 of 15 - Sale ²⁵
	3.000	9.90	02/10/20	22.20	12.30 W	0.00	3 of 15 - Sale ²⁵
	4.000	13.20	02/10/20	34.75	21.55 W	0.00	4 of 15 - Sale ²⁵
	1.000	3.30	02/10/20	8.22	4.92 W	0.00	5 of 15 - Sale ²⁵
	5.000	16.50	02/10/20	35.24	18.74 W	0.00	6 of 15 - Sale ²⁵
	5.000	16.50	02/10/20	33.54	17.04 W	0.00	7 of 15 - Sale ²⁵
	3.000	9.90	02/10/20	18.65	8.75 W	0.00	8 of 15 - Sale ²⁵
	5.000	16.50	02/10/20	29.59	13.09 W	0.00	9 of 15 - Sale ²⁵
	2.000	6.60	02/10/20	12.43	5.83 W	0.00	10 of 15 - Sale ²⁵
	1.000	3.30	02/10/20	6.22	2.92 W	0.00	11 of 15 - Sale ²⁵
	7.000	23.10	02/10/20	43.48	20.38 W	0.00	12 of 15 - Sale ²⁵
	12.000	39.60	02/10/20	74.52	34.92 W	0.00	13 of 15 - Sale ²⁵
	20.000	66.00	02/10/20	93.20	27.20 W	0.00	14 of 15 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	10.000	33.00	02/10/20	50.80	17.80 W	0.00	15 of 15 - Sale ²⁵
02/12/20	100.000	330.00	Various	571.90	241.90 W	0.00	Total of 15 transactions
	25 transactions for 02/19/20. Total proceeds and cost reported to the IRS.						
	2.000	8.50	02/13/20	16.36	7.86 W	0.00	1 of 25 - Sale ²⁵
	3.000	12.75	02/13/20	24.14	11.39 W	0.00	2 of 25 - Sale ²⁵
	4.000	17.00	02/13/20	37.34	20.34 W	0.00	3 of 25 - Sale ²⁵
	1.000	4.25	02/13/20	8.87	4.62 W	0.00	4 of 25 - Sale ²⁵
	5.000	21.25	02/13/20	38.48	17.23 W	0.00	5 of 25 - Sale ²⁵
	5.000	21.25	02/13/20	36.78	15.53 W	0.00	6 of 25 - Sale ²⁵
	2.000	8.50	02/13/20	13.73	5.23 W	0.00	7 of 25 - Sale ²⁵
	3.000	12.75	02/13/20	20.59	7.84 W	0.00	8 of 25 - Sale ²⁵
	5.000	21.25	02/13/20	32.82	11.57 W	0.00	9 of 25 - Sale ²⁵
	20.000	85.00	02/13/20	106.96	21.96 W	0.00	10 of 25 - Sale ²⁵
	1.000	3.81	02/13/20	6.86	3.05 W	0.00	11 of 25 - Sale ²⁵
	2.000	7.63	02/13/20	13.70	6.07 W	0.00	12 of 25 - Sale ²⁵
	7.000	26.69	02/13/20	47.94	21.25 W	0.00	13 of 25 - Sale ²⁵
	4.000	15.25	02/13/20	27.40	12.15 W	0.00	14 of 25 - Sale ²⁵
	1.000	3.81	02/13/20	6.85	3.04 W	0.00	15 of 25 - Sale ²⁵
	5.000	19.06	02/13/20	34.45	15.39 W	0.00	16 of 25 - Sale ²⁵
	3.000	11.44	02/13/20	16.02	4.58 W	0.00	17 of 25 - Sale ²⁵
	2.000	7.63	02/14/20	16.32	8.69 W	0.00	18 of 25 - Sale ²⁵
	3.000	11.44	02/14/20	24.08	12.64 W	0.00	19 of 25 - Sale ²⁵
	4.000	15.25	02/14/20	37.26	22.01 W	0.00	20 of 25 - Sale ²⁵
	1.000	3.81	02/14/20	8.85	5.04 W	0.00	21 of 25 - Sale ²⁵
	5.000	19.06	02/14/20	38.38	19.32 W	0.00	22 of 25 - Sale ²⁵
	5.000	19.06	02/14/20	36.68	17.62 W	0.00	23 of 25 - Sale ²⁵
	3.000	11.44	02/14/20	19.63	8.19 W	0.00	24 of 25 - Sale ²⁵
	4.000	15.25	02/14/20	22.36	7.11 W	0.00	25 of 25 - Sale ²⁵
02/19/20	100.000	403.13	Various	692.85	289.72 W	0.00	Total of 25 transactions
	53 transactions for 02/20/20. Total proceeds and cost reported to the IRS.						
	10.000	36.50	02/14/20	60.10	23.60 W	0.00	1 of 53 - Sale ²⁵
	13.000	47.45	02/14/20	72.67	25.22 W	0.00	2 of 53 - Sale ²⁵
	4.000	14.60	02/14/20	21.31	6.71 W	0.00	3 of 53 - Sale ²⁵
	2.000	7.30	02/14/20	13.09	5.79 W	0.00	4 of 53 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	2.000	7.30	02/14/20	13.69	6.39 W	0.00	5 of 53 - Sale ²⁵
	3.000	10.95	02/14/20	20.53	9.58 W	0.00	6 of 53 - Sale ²⁵
	1.000	3.65	02/14/20	5.33	1.68 W	0.00	7 of 53 - Sale ²⁵
	10.000	36.50	02/14/20	53.28	16.78 W	0.00	8 of 53 - Sale ²⁵
	2.000	7.30	02/14/20	17.43	10.13 W	0.00	9 of 53 - Sale ²⁵
	3.000	10.95	02/14/20	25.75	14.80 W	0.00	10 of 53 - Sale ²⁵
	4.000	14.60	02/14/20	39.48	24.88 W	0.00	11 of 53 - Sale ²⁵
	1.000	3.65	02/14/20	9.41	5.76 W	0.00	12 of 53 - Sale ²⁵
	5.000	18.25	02/14/20	41.16	22.91 W	0.00	13 of 53 - Sale ²⁵
	5.000	18.25	02/14/20	39.46	21.21 W	0.00	14 of 53 - Sale ²⁵
	2.000	7.30	02/14/20	14.20	6.90 W	0.00	15 of 53 - Sale ²⁵
	1.000	3.65	02/14/20	7.42	3.77 W	0.00	16 of 53 - Sale ²⁵
	1.000	3.65	02/14/20	7.41	3.76 W	0.00	17 of 53 - Sale ²⁵
	2.000	7.30	02/14/20	14.81	7.51 W	0.00	18 of 53 - Sale ²⁵
	4.000	14.60	02/14/20	29.63	15.03 W	0.00	19 of 53 - Sale ²⁵
	5.000	18.25	02/14/20	37.23	18.98 W	0.00	20 of 53 - Sale ²⁵
	7.000	25.55	02/14/20	51.83	26.28 W	0.00	21 of 53 - Sale ²⁵
	3.000	10.95	02/14/20	17.69	6.74 W	0.00	22 of 53 - Sale ²⁵
	5.000	18.25	02/14/20	27.33	9.08 W	0.00	23 of 53 - Sale ²⁵
	1.000	3.65	02/14/20	7.10	3.45 W	0.00	24 of 53 - Sale ²⁵
	3.000	10.95	02/14/20	18.44	7.49 W	0.00	25 of 53 - Sale ²⁵
	1.000	3.65	02/14/20	6.11	2.46 W	0.00	26 of 53 - Sale ²⁵
	50.000	182.46	02/14/20	216.35	33.89 W	0.00	27 of 53 - Sale ²⁵
	2.000	7.28	02/18/20	19.02	11.74 W	0.00	28 of 53 - Sale ²⁵
	3.000	10.93	02/18/20	28.13	17.20 W	0.00	29 of 53 - Sale ²⁵
	2.000	7.28	02/18/20	14.68	7.40 W	0.00	30 of 53 - Sale ²⁵
	2.000	7.28	02/18/20	15.28	8.00 W	0.00	31 of 53 - Sale ²⁵
	3.000	10.93	02/18/20	22.91	11.98 W	0.00	32 of 53 - Sale ²⁵
	1.000	3.64	02/18/20	6.13	2.49 W	0.00	33 of 53 - Sale ²⁵
	4.000	14.57	02/18/20	24.49	9.92 W	0.00	34 of 53 - Sale ²⁵
	10.000	36.43	02/18/20	61.23	24.80 W	0.00	35 of 53 - Sale ²⁵
	10.000	36.43	02/18/20	68.05	31.62 W	0.00	36 of 53 - Sale ²⁵
	13.000	47.35	02/18/20	83.01	35.66 W	0.00	37 of 53 - Sale ²⁵
	4.000	14.56	02/18/20	42.60	28.04 W	0.00	38 of 53 - Sale ²⁵

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(continued)

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	1.000	3.64	02/18/20	10.19	6.55 W	0.00	39 of 53 - Sale ²⁵
	5.000	18.21	02/18/20	45.06	26.85 W	0.00	40 of 53 - Sale ²⁵
	5.000	18.21	02/18/20	43.36	25.15 W	0.00	41 of 53 - Sale ²⁵
	1.000	3.64	02/18/20	7.88	4.24 W	0.00	42 of 53 - Sale ²⁵
	2.000	7.28	02/18/20	15.76	8.48 W	0.00	43 of 53 - Sale ²⁵
	1.000	3.64	02/18/20	8.20	4.56 W	0.00	44 of 53 - Sale ²⁵
	1.000	3.64	02/18/20	8.19	4.55 W	0.00	45 of 53 - Sale ²⁵
	2.000	7.28	02/18/20	16.37	9.09 W	0.00	46 of 53 - Sale ²⁵
	4.000	14.57	02/18/20	32.75	18.18 W	0.00	47 of 53 - Sale ²⁵
	5.000	18.21	02/18/20	41.13	22.92 W	0.00	48 of 53 - Sale ²⁵
	7.000	25.49	02/18/20	57.29	31.80 W	0.00	49 of 53 - Sale ²⁵
	3.000	10.92	02/18/20	20.03	9.11 W	0.00	50 of 53 - Sale ²⁵
	1.000	3.64	02/18/20	6.89	3.25 W	0.00	51 of 53 - Sale ²⁵
	3.000	10.92	02/18/20	20.78	9.86 W	0.00	52 of 53 - Sale ²⁵
	5.000	18.21	02/18/20	31.23	13.02 W	0.00	53 of 53 - Sale ²⁵
02/20/20	250.000	911.64	Various	1,638.88	727.24 W	0.00	Total of 53 transactions
	28 transactions for 02/25/20. Total proceeds and cost reported to the IRS.						
	2.000	7.58	02/21/20	19.34	11.76 W	0.00	1 of 28 - Sale ²⁵
	3.000	11.37	02/21/20	28.60	17.23 W	0.00	2 of 28 - Sale ²⁵
	2.000	7.58	02/21/20	15.00	7.42 W	0.00	3 of 28 - Sale ²⁵
	2.000	7.58	02/21/20	15.60	8.02 W	0.00	4 of 28 - Sale ²⁵
	3.000	11.37	02/21/20	23.38	12.01 W	0.00	5 of 28 - Sale ²⁵
	1.000	3.79	02/21/20	6.29	2.50 W	0.00	6 of 28 - Sale ²⁵
	4.000	15.16	02/21/20	25.11	9.95 W	0.00	7 of 28 - Sale ²⁵
	10.000	37.90	02/21/20	62.78	24.88 W	0.00	8 of 28 - Sale ²⁵
	10.000	37.90	02/21/20	69.60	31.70 W	0.00	9 of 28 - Sale ²⁵
	13.000	49.27	02/21/20	85.04	35.77 W	0.00	10 of 28 - Sale ²⁵
	50.000	189.50	02/21/20	223.82	34.32 W	0.00	11 of 28 - Sale ²⁵
	4.000	15.16	02/24/20	44.52	29.36 W	0.00	12 of 28 - Sale ²⁵
	1.000	3.79	02/24/20	10.67	6.88 W	0.00	13 of 28 - Sale ²⁵
	5.000	18.95	02/24/20	47.45	28.50 W	0.00	14 of 28 - Sale ²⁵
	5.000	18.95	02/24/20	45.75	26.80 W	0.00	15 of 28 - Sale ²⁵
	1.000	3.79	02/24/20	8.36	4.57 W	0.00	16 of 28 - Sale ²⁵
	2.000	7.58	02/24/20	16.72	9.14 W	0.00	17 of 28 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	1.000	3.79	02/24/20	8.68	4.89 W	0.00	18 of 28 - Sale ²⁵
	1.000	3.79	02/24/20	8.67	4.88 W	0.00	19 of 28 - Sale ²⁵
	2.000	7.58	02/24/20	17.33	9.75 W	0.00	20 of 28 - Sale ²⁵
	4.000	15.16	02/24/20	34.66	19.50 W	0.00	21 of 28 - Sale ²⁵
	5.000	18.95	02/24/20	43.52	24.57 W	0.00	22 of 28 - Sale ²⁵
	7.000	26.53	02/24/20	60.64	34.11 W	0.00	23 of 28 - Sale ²⁵
	3.000	11.37	02/24/20	21.47	10.10 W	0.00	24 of 28 - Sale ²⁵
	1.000	3.79	02/24/20	7.37	3.58 W	0.00	25 of 28 - Sale ²⁵
	3.000	11.37	02/24/20	22.22	10.85 W	0.00	26 of 28 - Sale ²⁵
	5.000	18.95	02/24/20	33.62	14.67 W	0.00	27 of 28 - Sale ²⁵
	50.000	189.50	02/24/20	206.00	16.50 W	0.00	28 of 28 - Sale ²⁵
02/25/20	200.000	758.00	Various	1,212.21	454.21 W	0.00	Total of 28 transactions
	11 transactions for 02/26/20. Total proceeds and cost reported to the IRS.						
	2.000	7.74	02/24/20	19.98	12.24 W	0.00	1 of 11 - Sale ²⁵
	3.000	11.61	02/24/20	29.56	17.95 W	0.00	2 of 11 - Sale ²⁵
	2.000	7.74	02/24/20	15.64	7.90 W	0.00	3 of 11 - Sale ²⁵
	2.000	7.74	02/24/20	16.24	8.50 W	0.00	4 of 11 - Sale ²⁵
	3.000	11.61	02/24/20	24.34	12.73 W	0.00	5 of 11 - Sale ²⁵
	1.000	3.87	02/24/20	6.61	2.74 W	0.00	6 of 11 - Sale ²⁵
	4.000	15.49	02/24/20	26.38	10.89 W	0.00	7 of 11 - Sale ²⁵
	10.000	38.71	02/24/20	65.97	27.26 W	0.00	8 of 11 - Sale ²⁵
	10.000	38.71	02/24/20	72.78	34.07 W	0.00	9 of 11 - Sale ²⁵
	13.000	50.33	02/24/20	89.18	38.85 W	0.00	10 of 11 - Sale ²⁵
	50.000	193.56	02/24/20	239.75	46.19 W	0.00	11 of 11 - Sale ²⁵
02/26/20	100.000	387.11	Various	606.43	219.32 W	0.00	Total of 11 transactions
	3 transactions for 03/03/20. Total proceeds and cost reported to the IRS.						
	50.000	249.99	02/24/20	224.44	...	25.55	1 of 3 - Sale ²⁵
	10.000	49.99	02/28/20	47.42	...	2.57	2 of 3 - Sale ²⁵
	35.000	174.98	02/28/20	163.98	...	11.00	3 of 3 - Sale ²⁵
03/03/20	95.000	474.96	Various	435.84	...	39.12	Total of 3 transactions
	31 transactions for 03/03/20. Total proceeds and cost reported to the IRS.						
	4.000	20.00	02/24/20	45.99	25.99 W	0.00	1 of 31 - Sale ²⁵
	1.000	5.00	02/24/20	11.04	6.04 W	0.00	2 of 31 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	5.000	25.00	02/24/20	49.29	24.29 W	0.00	3 of 31 - Sale ²⁵
	5.000	25.00	02/24/20	47.59	22.59 W	0.00	4 of 31 - Sale ²⁵
	1.000	5.00	02/24/20	8.73	3.73 W	0.00	5 of 31 - Sale ²⁵
	2.000	10.00	02/24/20	17.46	7.46 W	0.00	6 of 31 - Sale ²⁵
	1.000	5.00	02/24/20	9.05	4.05 W	0.00	7 of 31 - Sale ²⁵
	1.000	5.00	02/24/20	9.04	4.04 W	0.00	8 of 31 - Sale ²⁵
	2.000	10.00	02/24/20	18.07	8.07 W	0.00	9 of 31 - Sale ²⁵
	4.000	20.00	02/24/20	36.13	16.13 W	0.00	10 of 31 - Sale ²⁵
	5.000	25.00	02/24/20	45.36	20.36 W	0.00	11 of 31 - Sale ²⁵
	7.000	35.00	02/24/20	63.22	28.22 W	0.00	12 of 31 - Sale ²⁵
	3.000	15.00	02/24/20	22.58	7.58 W	0.00	13 of 31 - Sale ²⁵
	1.000	5.00	02/24/20	7.74	2.74 W	0.00	14 of 31 - Sale ²⁵
	3.000	15.00	02/24/20	23.33	8.33 W	0.00	15 of 31 - Sale ²⁵
	5.000	25.00	02/24/20	35.46	10.46 W	0.00	16 of 31 - Sale ²⁵
	2.000	10.00	02/27/20	21.15	11.15 W	0.00	17 of 31 - Sale ²⁵
	3.000	15.00	02/27/20	31.32	16.32 W	0.00	18 of 31 - Sale ²⁵
	2.000	10.00	02/27/20	16.81	6.81 W	0.00	19 of 31 - Sale ²⁵
	2.000	10.00	02/27/20	17.41	7.41 W	0.00	20 of 31 - Sale ²⁵
	3.000	15.00	02/27/20	26.10	11.10 W	0.00	21 of 31 - Sale ²⁵
	1.000	5.00	02/27/20	7.20	2.20 W	0.00	22 of 31 - Sale ²⁵
	4.000	20.00	02/27/20	28.71	8.71 W	0.00	23 of 31 - Sale ²⁵
	10.000	50.00	02/27/20	71.81	21.81 W	0.00	24 of 31 - Sale ²⁵
	10.000	50.00	02/27/20	78.63	28.63 W	0.00	25 of 31 - Sale ²⁵
	13.000	65.00	02/27/20	96.77	31.77 W	0.00	26 of 31 - Sale ²⁵
	50.000	249.98	02/28/20	279.69	29.71 W	0.00	27 of 31 - Sale ²⁵
	4.000	20.00	02/28/20	44.73	24.73 W	0.00	28 of 31 - Sale ²⁵
	1.000	5.00	02/28/20	10.72	5.72 W	0.00	29 of 31 - Sale ²⁵
	5.000	25.00	02/28/20	47.72	22.72 W	0.00	30 of 31 - Sale ²⁵
	5.000	25.00	02/28/20	46.01	21.01 W	0.00	31 of 31 - Sale ²⁵
03/03/20	165.000	824.98	Various	1,274.86	449.88 W	0.00	Total of 31 transactions
	7 transactions for 03/04/20. Total proceeds and cost reported to the IRS.						
	1.000	7.75	03/03/20	7.48	...	0.27	1 of 7 - Sale ²⁵
	13.000	100.74	03/03/20	100.40	...	0.34	2 of 7 - Sale ²⁵
	4.000	31.00	03/03/20	29.83	...	1.17	3 of 7 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	5.000	38.75	03/03/20	36.86	...	1.89	4 of 7 - Sale ²⁵
	10.000	77.50	03/03/20	74.60	...	2.90	5 of 7 - Sale ²⁵
	50.000	387.48	03/03/20	293.68	...	93.80	6 of 7 - Sale ²⁵
	50.000	387.47	03/03/20	263.96	...	123.51	7 of 7 - Sale ²⁵
03/04/20	133.000	1,030.69	Various	806.81	...	223.88	Total of 7 transactions
	21 transactions for 03/04/20. Total proceeds and cost reported to the IRS.						
	2.000	15.50	03/03/20	21.71	6.21 W	0.00	1 of 21 - Sale ²⁵
	3.000	23.25	03/03/20	32.16	8.91 W	0.00	2 of 21 - Sale ²⁵
	4.000	31.00	03/03/20	45.85	14.85 W	0.00	3 of 21 - Sale ²⁵
	1.000	7.75	03/03/20	11.00	3.25 W	0.00	4 of 21 - Sale ²⁵
	5.000	38.75	03/03/20	49.12	10.37 W	0.00	5 of 21 - Sale ²⁵
	5.000	38.75	03/03/20	47.41	8.66 W	0.00	6 of 21 - Sale ²⁵
	1.000	7.75	03/03/20	9.01	1.26 W	0.00	7 of 21 - Sale ²⁵
	2.000	15.50	03/03/20	18.02	2.52 W	0.00	8 of 21 - Sale ²⁵
	2.000	15.50	03/03/20	17.37	1.87 W	0.00	9 of 21 - Sale ²⁵
	2.000	15.50	03/03/20	17.97	2.47 W	0.00	10 of 21 - Sale ²⁵
	3.000	23.25	03/03/20	26.94	3.69 W	0.00	11 of 21 - Sale ²⁵
	1.000	7.75	03/03/20	9.33	1.58 W	0.00	12 of 21 - Sale ²⁵
	1.000	7.75	03/03/20	9.32	1.57 W	0.00	13 of 21 - Sale ²⁵
	2.000	15.50	03/03/20	18.63	3.13 W	0.00	14 of 21 - Sale ²⁵
	4.000	31.00	03/03/20	37.25	6.25 W	0.00	15 of 21 - Sale ²⁵
	5.000	38.75	03/03/20	46.76	8.01 W	0.00	16 of 21 - Sale ²⁵
	7.000	54.25	03/03/20	65.18	10.93 W	0.00	17 of 21 - Sale ²⁵
	3.000	23.25	03/03/20	23.42	0.17 W	0.00	18 of 21 - Sale ²⁵
	1.000	7.75	03/03/20	8.02	0.27 W	0.00	19 of 21 - Sale ²⁵
	3.000	23.25	03/03/20	24.17	0.92 W	0.00	20 of 21 - Sale ²⁵
	10.000	77.50	03/03/20	81.42	3.92 W	0.00	21 of 21 - Sale ²⁵
03/04/20	67.000	519.25	Various	620.06	100.81 W	0.00	Total of 21 transactions
	16 transactions for 03/06/20. Total proceeds and cost reported to the IRS.						
	1.000	11.47	03/05/20	11.45	...	0.02	1 of 16 - Sale ²⁵
	2.000	22.94	03/05/20	22.61	...	0.33	2 of 16 - Sale ²⁵
	3.000	34.41	03/05/20	33.51	...	0.90	3 of 16 - Sale ²⁵
	1.000	11.47	03/05/20	9.75	...	1.72	4 of 16 - Sale ²⁵
	1.000	11.47	03/05/20	9.74	...	1.73	5 of 16 - Sale ²⁵

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(continued)

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	1.000	11.47	03/05/20	9.46	...	2.01	6 of 16 - Sale ²⁵
	2.000	22.94	03/05/20	19.47	...	3.47	7 of 16 - Sale ²⁵
	2.000	22.94	03/05/20	18.92	...	4.02	8 of 16 - Sale ²⁵
	2.000	22.94	03/05/20	18.87	...	4.07	9 of 16 - Sale ²⁵
	2.000	22.94	03/05/20	18.27	...	4.67	10 of 16 - Sale ²⁵
	5.000	57.35	03/05/20	51.37	...	5.98	11 of 16 - Sale ²⁵
	3.000	34.41	03/05/20	28.29	...	6.12	12 of 16 - Sale ²⁵
	4.000	45.88	03/05/20	38.93	...	6.95	13 of 16 - Sale ²⁵
	5.000	57.35	03/05/20	49.66	...	7.69	14 of 16 - Sale ²⁵
	5.000	57.34	03/05/20	48.86	...	8.48	15 of 16 - Sale ²⁵
	7.000	80.28	03/05/20	68.12	...	12.16	16 of 16 - Sale ²⁵
03/06/20	46.000	527.60	Various	457.28	...	70.32	Total of 16 transactions
03/06/20	4.000	45.88	03/05/20	47.65	1.77 W	0.00	Sale ²⁵
	4 transactions for 03/10/20. Total proceeds and cost reported to the IRS.						
	1.000	8.50	03/05/20	8.44	...	0.06	1 of 4 - Sale ²⁵
	3.000	25.50	03/05/20	25.43	...	0.07	2 of 4 - Sale ²⁵
	3.000	25.50	03/05/20	24.68	...	0.82	3 of 4 - Sale ²⁵
	9.000	76.50	03/05/20	73.53	...	2.97	4 of 4 - Sale ²⁵
03/10/20	16.000	136.00	Various	132.08	...	3.92	Total of 4 transactions
	6 transactions for 03/10/20. Total proceeds and cost reported to the IRS.						
	4.000	34.00	03/05/20	34.45	0.45 W	0.00	1 of 6 - Sale ²⁵
	10.000	85.00	03/05/20	85.62	0.62 W	0.00	2 of 6 - Sale ²⁵
	70.000	594.97	03/05/20	672.57	77.60 W	0.00	3 of 6 - Sale ²⁵
	50.000	424.98	03/06/20	649.00	224.02 W	0.00	4 of 6 - Sale ²⁵
	50.000	424.98	03/06/20	654.88	229.90 W	0.00	5 of 6 - Sale ²⁵
	25.000	212.49	03/09/20	461.25	248.76 W	0.00	6 of 6 - Sale ²⁵
03/10/20	209.000	1,776.42	Various	2,557.77	781.35 W	0.00	Total of 6 transactions
	5 transactions for 03/13/20. Total proceeds and cost reported to the IRS.						
	4.000	32.08	03/10/20	25.81	...	6.27	1 of 5 - Sale ²⁵
	10.000	80.20	03/10/20	64.02	...	16.18	2 of 5 - Sale ²⁵
	70.000	561.38	03/10/20	521.38	...	40.00	3 of 5 - Sale ²⁵
	25.000	200.49	03/11/20	199.00	...	1.49	4 of 5 - Sale ²⁵

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	41.000	328.81	03/11/20	315.95	...	12.86	5 of 5 - Sale ²⁵
03/13/20	150.000	1,202.96	Various	1,126.16	...	76.80	Total of 5 transactions
	8 transactions for 03/13/20. Total proceeds and cost reported to the IRS.						
	16.000	128.31	03/10/20	173.13	44.82 W	0.00	1 of 8 - Sale ²⁵
	34.000	272.67	03/11/20	382.85	110.18 W	0.00	2 of 8 - Sale ²⁵
	50.000	400.98	03/11/20	568.90	167.92 W	0.00	3 of 8 - Sale ²⁵
	16.000	128.32	03/11/20	267.69	139.37 W	0.00	4 of 8 - Sale ²⁵
	9.000	72.18	03/11/20	158.90	86.72 W	0.00	5 of 8 - Sale ²⁵
	50.000	400.98	03/12/20	552.00	75.51 W	-75.51	6 of 8 - Sale ²⁵
	50.000	400.98	03/12/20	510.00	109.02 W	0.00	7 of 8 - Sale ²⁵
	50.000	400.99	03/12/20	519.00	118.01 W	0.00	8 of 8 - Sale ²⁵
03/13/20	275.000	2,205.41	Various	3,132.47	851.55 W	-75.51	Total of 8 transactions
	3 transactions for 03/16/20. Total proceeds and cost reported to the IRS.						
	34.000	205.02	03/13/20	392.38	...	-187.36	1 of 3 - Sale ²⁵
	9.000	54.36	03/13/20	99.91	...	-45.55	2 of 3 - Sale ²⁵
	7.000	42.21	03/13/20	77.71	...	-35.50	3 of 3 - Sale ²⁵
03/16/20	50.000	301.59	Various	570.00	...	-268.41	Total of 3 transactions
03/18/20	50.000	341.00	03/17/20	547.92	206.92 W	0.00	Sale ²⁵
	3 transactions for 03/25/20. Total proceeds and cost reported to the IRS.						
	9.000	61.74	03/24/20	152.87	91.13 W	0.00	1 of 3 - Sale ²⁵
	16.000	109.76	03/24/20	256.97	147.21 W	0.00	2 of 3 - Sale ²⁵
	25.000	171.50	03/24/20	238.26	66.76 W	0.00	3 of 3 - Sale ²⁵
03/25/20	50.000	343.00	Various	648.10	305.10 W	0.00	Total of 3 transactions
	2 transactions for 03/30/20. Total proceeds and cost reported to the IRS.						
	25.000	210.00	03/26/20	222.01	12.01 W	0.00	1 of 2 - Sale ²⁵
	25.000	210.00	03/26/20	226.50	16.50 W	0.00	2 of 2 - Sale ²⁵
03/30/20	50.000	420.00	Various	448.51	28.51 W	0.00	Total of 2 transactions
	2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.						
	25.000	216.51	04/06/20	263.25	46.74 W	0.00	1 of 2 - Sale ²⁵
	25.000	216.50	04/06/20	279.76	63.26 W	0.00	2 of 2 - Sale ²⁵
04/07/20	50.000	433.01	Various	543.01	110.00 W	0.00	Total of 2 transactions
04/17/20	25.000	204.00	04/16/20	298.96	94.96 W	0.00	Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
3 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	25.000	203.25	04/16/20	298.96	95.71 W	0.00	1 of 3 - Sale ²⁵
	9.000	73.17	04/16/20	161.60	88.43 W	0.00	2 of 3 - Sale ²⁵
	16.000	130.08	04/16/20	272.49	142.41 W	0.00	3 of 3 - Sale ²⁵
04/20/20	50.000	406.50	Various	733.05	326.55 W	0.00	Total of 3 transactions
4 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	25.000	309.24	04/21/20	305.26	...	3.98	1 of 4 - Sale ²⁵
	5.000	61.85	04/21/20	50.10	...	11.75	2 of 4 - Sale ²⁵
	25.000	309.24	04/22/20	306.75	...	2.49	3 of 4 - Sale ²⁵
	20.000	247.39	04/22/20	242.01	...	5.38	4 of 4 - Sale ²⁵
04/23/20	75.000	927.72	Various	904.12	...	23.60	Total of 4 transactions
04/28/20	25.000	360.74	04/24/20	360.24	...	0.50	Sale ²⁵
8 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	25.000	360.74	04/24/20	376.76	16.02 W	0.00	1 of 8 - Sale ²⁵
	25.000	358.24	04/24/20	385.53	27.29 W	0.00	2 of 8 - Sale ²⁵
	2.000	28.66	04/24/20	29.72	1.06 W	0.00	3 of 8 - Sale ²⁵
	25.000	358.24	04/24/20	428.71	70.47 W	0.00	4 of 8 - Sale ²⁵
	25.000	358.24	04/24/20	427.96	69.72 W	0.00	5 of 8 - Sale ²⁵
	9.000	128.97	04/24/20	210.65	81.68 W	0.00	6 of 8 - Sale ²⁵
	16.000	229.27	04/24/20	359.69	130.42 W	0.00	7 of 8 - Sale ²⁵
	48.000	687.81	04/27/20	744.00	56.19 W	0.00	8 of 8 - Sale ²⁵
04/28/20	175.000	2,510.17	Various	2,963.02	452.85 W	0.00	Total of 8 transactions
6 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	1.000	11.11	04/30/20	16.08	4.97 W	0.00	1 of 6 - Sale ²⁵
	4.000	44.44	04/30/20	64.48	20.04 W	0.00	2 of 6 - Sale ²⁵
	5.000	55.55	04/30/20	80.59	25.04 W	0.00	3 of 6 - Sale ²⁵
	10.000	111.10	04/30/20	161.79	50.69 W	0.00	4 of 6 - Sale ²⁵
	5.000	55.55	04/30/20	80.89	25.34 W	0.00	5 of 6 - Sale ²⁵
	25.000	277.75	04/30/20	403.72	125.97 W	0.00	6 of 6 - Sale ²⁵
05/01/20	50.000	555.50	Various	807.55	252.05 W	0.00	Total of 6 transactions
4 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	1.000	13.23	05/12/20	20.76	7.53 W	0.00	1 of 4 - Sale ²⁵
	9.000	118.98	05/12/20	195.17	76.19 W	0.00	2 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	15.000	198.29	05/12/20	311.42		0.00	3 of 4 - Sale ²⁵
	24.000	317.27	05/12/20	328.84	113.13 W	0.00	4 of 4 - Sale ²⁵
05/13/20	49.000	647.77	Various	856.19	11.57 W	0.00	Total of 4 transactions
	3 transactions for 05/14/20. Total proceeds and cost reported to the IRS.						
	1.000	13.37	05/12/20	13.70	208.42 W	0.00	1 of 3 - Sale ²⁵
	2.000	26.74	05/13/20	27.96	0.33 W	0.00	2 of 3 - Sale ²⁵
05/14/20	27.000	360.99	05/13/20	394.76	1.22 W	0.00	3 of 3 - Sale ²⁵
05/20/20	30.000	401.10	Various	436.42	33.77 W	0.00	Total of 3 transactions
	21.000	341.46	05/19/20	338.74	35.32 W	2.72	Sale ²⁵
	4 transactions for 05/20/20. Total proceeds and cost reported to the IRS.						
	1.000	16.26	05/19/20	19.93	...	0.00	1 of 4 - Sale ²⁵
	3.000	48.78	05/19/20	59.91	3.67 W	0.00	2 of 4 - Sale ²⁵
	1.000	16.26	05/19/20	20.04	11.13 W	0.00	3 of 4 - Sale ²⁵
05/20/20	4.000	65.04	05/19/20	80.27	3.78 W	0.00	4 of 4 - Sale ²⁵
	9.000	146.34	Various	180.15	15.23 W	0.00	Total of 4 transactions
	4 transactions for 05/22/20. Total proceeds and cost reported to the IRS.						
	1.000	14.32	05/21/20	20.09	33.81 W	0.00	1 of 4 - Sale ²⁵
	5.000	71.60	05/21/20	100.74	5.77 W	0.00	2 of 4 - Sale ²⁵
	10.000	143.19	05/21/20	201.49	29.14 W	0.00	3 of 4 - Sale ²⁵
05/22/20	19.000	272.07	05/21/20	382.26	58.30 W	0.00	4 of 4 - Sale ²⁵
	35.000	501.18	Various	704.58	110.19 W	0.00	Total of 4 transactions
	6 transactions for 05/27/20. Total proceeds and cost reported to the IRS.						
	1.000	14.16	05/26/20	21.72	7.56 W	0.00	1 of 6 - Sale ²⁵
	3.000	42.48	05/26/20	67.97	25.49 W	0.00	2 of 6 - Sale ²⁵
	6.000	84.96	05/26/20	115.37	30.41 W	0.00	3 of 6 - Sale ²⁵
	15.000	212.39	05/26/20	325.98	113.59 W	0.00	4 of 6 - Sale ²⁵
	6.000	84.96	05/26/20	137.72	52.76 W	0.00	5 of 6 - Sale ²⁵
05/27/20	9.000	127.43	05/26/20	134.73	7.30 W	0.00	6 of 6 - Sale ²⁵
	40.000	566.38	Various	803.49	237.11 W	0.00	Total of 6 transactions
	21 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	1.000	13.05	06/09/20	16.85	3.80 W	0.00	1 of 21 - Sale ²⁵
	1.000	13.05	06/09/20	16.96	3.91 W	0.00	2 of 21 - Sale ²⁵
	1.000	13.05	06/09/20	18.95	5.90 W	0.00	3 of 21 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	3.000	39.15	06/09/20	50.67	11.52 W	0.00	4 of 21 - Sale ²⁵
	4.000	52.20	06/09/20	67.95	15.75 W	0.00	5 of 21 - Sale ²⁵
	5.000	65.25	06/09/20	95.04	29.79 W	0.00	6 of 21 - Sale ²⁵
	10.000	130.50	06/09/20	190.10	59.60 W	0.00	7 of 21 - Sale ²⁵
	1.000	13.05	06/09/20	20.74	7.69 W	0.00	8 of 21 - Sale ²⁵
	4.000	52.20	06/09/20	83.01	30.81 W	0.00	9 of 21 - Sale ²⁵
	6.000	78.30	06/09/20	109.49	31.19 W	0.00	10 of 21 - Sale ²⁵
	19.000	247.94	06/09/20	360.60	112.66 W	0.00	11 of 21 - Sale ²⁵
	1.000	13.05	06/09/20	13.51	0.46 W	0.00	12 of 21 - Sale ²⁵
	15.000	195.74	06/09/20	204.93	9.19 W	0.00	13 of 21 - Sale ²⁵
	2.000	26.10	06/09/20	27.58	1.48 W	0.00	14 of 21 - Sale ²⁵
	27.000	352.34	06/09/20	389.63	37.29 W	0.00	15 of 21 - Sale ²⁵
	3.000	39.15	06/10/20	65.18	26.03 W	0.00	16 of 21 - Sale ²⁵
	6.000	78.30	06/10/20	132.14	53.84 W	0.00	17 of 21 - Sale ²⁵
	11.000	143.54	06/10/20	228.83	85.29 W	0.00	18 of 21 - Sale ²⁵
	9.000	117.45	06/10/20	126.37	8.92 W	0.00	19 of 21 - Sale ²⁵
	27.000	352.33	06/10/20	357.21	4.88 W	0.00	20 of 21 - Sale ²⁵
	94.000	1,226.65	06/10/20	1,244.56	17.91 W	0.00	21 of 21 - Sale ²⁵
06/11/20	250.000	3,262.39	Various	3,820.30	557.91 W	0.00	Total of 21 transactions
	9 transactions for 06/15/20. Total proceeds and cost reported to the IRS.						
	1.000	13.12	06/12/20	16.89	3.77 W	0.00	1 of 9 - Sale ²⁵
	1.000	13.12	06/12/20	17.00	3.88 W	0.00	2 of 9 - Sale ²⁵
	1.000	13.12	06/12/20	18.98	5.86 W	0.00	3 of 9 - Sale ²⁵
	3.000	39.36	06/12/20	50.77	11.41 W	0.00	4 of 9 - Sale ²⁵
	4.000	52.48	06/12/20	68.09	15.61 W	0.00	5 of 9 - Sale ²⁵
	5.000	65.60	06/12/20	95.21	29.61 W	0.00	6 of 9 - Sale ²⁵
	10.000	131.20	06/12/20	190.45	59.25 W	0.00	7 of 9 - Sale ²⁵
	6.000	78.72	06/12/20	109.70	30.98 W	0.00	8 of 9 - Sale ²⁵
	19.000	249.26	06/12/20	361.28	112.02 W	0.00	9 of 9 - Sale ²⁵
06/15/20	50.000	655.98	Various	928.37	272.39 W	0.00	Total of 9 transactions
	2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	4.000	56.00	06/15/20	55.87	...	0.13	1 of 2 - Sale ²⁵
	1.000	14.00	06/15/20	13.86	...	0.14	2 of 2 - Sale ²⁵
06/16/20	5.000	70.00	Various	69.73	...	0.27	Total of 2 transactions

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(continued)

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
<i>44 transactions for 06/16/20. Total proceeds and cost reported to the IRS.</i>							
1.000		14.00	06/15/20	21.09	7.09 W	0.00	1 of 44 - Sale ²⁵
4.000		56.00	06/15/20	84.40	28.40 W	0.00	2 of 44 - Sale ²⁵
4.000		56.00	06/15/20	56.04	0.04 W	0.00	3 of 44 - Sale ²⁵
11.000		153.99	06/15/20	156.78	2.79 W	0.00	4 of 44 - Sale ²⁵
2.000		28.00	06/15/20	28.76	0.76 W	0.00	5 of 44 - Sale ²⁵
2.000		28.00	06/15/20	30.04	2.04 W	0.00	6 of 44 - Sale ²⁵
3.000		42.00	06/15/20	67.69	25.69 W	0.00	7 of 44 - Sale ²⁵
3.000		42.00	06/15/20	68.58	26.58 W	0.00	8 of 44 - Sale ²⁵
11.000		153.99	06/15/20	238.04	84.05 W	0.00	9 of 44 - Sale ²⁵
1.000		14.00	06/15/20	22.86	8.86 W	0.00	10 of 44 - Sale ²⁵
2.000		28.00	06/15/20	45.72	17.72 W	0.00	11 of 44 - Sale ²⁵
8.000		112.00	06/15/20	119.01	7.01 W	0.00	12 of 44 - Sale ²⁵
1.000		14.00	06/15/20	14.88	0.88 W	0.00	13 of 44 - Sale ²⁵
9.000		126.00	06/15/20	137.41	11.41 W	0.00	14 of 44 - Sale ²⁵
10.000		140.00	06/15/20	152.68	12.68 W	0.00	15 of 44 - Sale ²⁵
6.000		84.00	06/15/20	91.60	7.60 W	0.00	16 of 44 - Sale ²⁵
21.000		293.99	06/15/20	295.41	1.42 W	0.00	17 of 44 - Sale ²⁵
6.000		84.00	06/15/20	84.90	0.90 W	0.00	18 of 44 - Sale ²⁵
19.000		265.98	06/15/20	269.05	3.07 W	0.00	19 of 44 - Sale ²⁵
75.000		1,049.97	06/15/20	1,062.04	12.07 W	0.00	20 of 44 - Sale ²⁵
1.000		14.00	06/15/20	17.74	3.74 W	0.00	21 of 44 - Sale ²⁵
1.000		14.00	06/15/20	17.85	3.85 W	0.00	22 of 44 - Sale ²⁵
1.000		14.00	06/15/20	19.83	5.83 W	0.00	23 of 44 - Sale ²⁵
3.000		42.00	06/15/20	53.32	11.32 W	0.00	24 of 44 - Sale ²⁵
4.000		56.00	06/15/20	71.49	15.49 W	0.00	25 of 44 - Sale ²⁵
5.000		70.00	06/15/20	99.46	29.46 W	0.00	26 of 44 - Sale ²⁵
10.000		139.99	06/15/20	198.95	58.96 W	0.00	27 of 44 - Sale ²⁵
6.000		84.00	06/15/20	114.80	30.80 W	0.00	28 of 44 - Sale ²⁵
19.000		265.99	06/15/20	377.45	111.46 W	0.00	29 of 44 - Sale ²⁵
1.000		14.00	06/15/20	21.05	7.05 W	0.00	30 of 44 - Sale ²⁵
4.000		56.00	06/15/20	84.23	28.23 W	0.00	31 of 44 - Sale ²⁵
1.000		14.00	06/15/20	22.82	8.82 W	0.00	32 of 44 - Sale ²⁵
2.000		28.00	06/15/20	45.64	17.64 W	0.00	33 of 44 - Sale ²⁵

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	3.000	42.00	06/15/20	67.56	25.56 W	0.00	34 of 44 - Sale ²⁵
	3.000	42.00	06/15/20	68.46	26.46 W	0.00	35 of 44 - Sale ²⁵
	11.000	153.99	06/15/20	237.59	83.60 W	0.00	36 of 44 - Sale ²⁵
	1.000	14.00	06/15/20	14.84	0.84 W	0.00	37 of 44 - Sale ²⁵
	8.000	112.00	06/15/20	118.67	6.67 W	0.00	38 of 44 - Sale ²⁵
	11.000	153.99	06/15/20	156.33	2.34 W	0.00	39 of 44 - Sale ²⁵
	2.000	28.00	06/15/20	28.68	0.68 W	0.00	40 of 44 - Sale ²⁵
	2.000	28.00	06/15/20	29.96	1.96 W	0.00	41 of 44 - Sale ²⁵
	3.000	42.00	06/15/20	45.68	3.68 W	0.00	42 of 44 - Sale ²⁵
	9.000	125.99	06/15/20	137.03	11.04 W	0.00	43 of 44 - Sale ²⁵
	10.000	139.99	06/15/20	152.26	12.27 W	0.00	44 of 44 - Sale ²⁵
06/16/20	320.000	4,479.86	Various	5,248.67	768.81 W	0.00	Total of 44 transactions
	10 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	2.000	28.62	06/16/20	27.64	...	0.98	1 of 10 - Sale ²⁵
	6.000	85.86	06/16/20	81.77	...	4.09	2 of 10 - Sale ²⁵
	11.000	157.40	06/16/20	150.60	...	6.80	3 of 10 - Sale ²⁵
	19.000	271.88	06/16/20	259.16	...	12.72	4 of 10 - Sale ²⁵
	21.000	300.50	06/16/20	284.46	...	16.04	5 of 10 - Sale ²⁵
	100.000	1,430.95	06/16/20	1,390.81	...	40.14	6 of 10 - Sale ²⁵
	50.000	715.48	06/16/20	675.00	...	40.48	7 of 10 - Sale ²⁵
	50.000	715.47	06/16/20	672.99	...	42.48	8 of 10 - Sale ²⁵
	55.000	787.02	06/16/20	741.30	...	45.72	9 of 10 - Sale ²⁵
	75.000	1,073.21	06/16/20	1,022.94	...	50.27	10 of 10 - Sale ²⁵
06/19/20	389.000	5,566.39	Various	5,306.67	...	259.72	Total of 10 transactions
	23 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	1.000	14.31	06/16/20	17.22	2.91 W	0.00	1 of 23 - Sale ²⁵
	1.000	14.31	06/16/20	17.33	3.02 W	0.00	2 of 23 - Sale ²⁵
	1.000	14.31	06/16/20	19.31	5.00 W	0.00	3 of 23 - Sale ²⁵
	3.000	42.93	06/16/20	51.75	8.82 W	0.00	4 of 23 - Sale ²⁵
	4.000	57.24	06/16/20	69.40	12.16 W	0.00	5 of 23 - Sale ²⁵
	5.000	71.55	06/16/20	96.85	25.30 W	0.00	6 of 23 - Sale ²⁵
	10.000	143.10	06/16/20	193.74	50.64 W	0.00	7 of 23 - Sale ²⁵
	6.000	85.86	06/16/20	111.67	25.81 W	0.00	8 of 23 - Sale ²⁵
	19.000	271.88	06/16/20	367.55	95.67 W	0.00	9 of 23 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	1.000	14.31	06/16/20	20.53	6.22 W	0.00	10 of 23 - Sale ²⁵
	4.000	57.24	06/16/20	82.14	24.90 W	0.00	11 of 23 - Sale ²⁵
	1.000	14.31	06/16/20	22.30	7.99 W	0.00	12 of 23 - Sale ²⁵
	2.000	28.62	06/16/20	44.60	15.98 W	0.00	13 of 23 - Sale ²⁵
	3.000	42.93	06/16/20	65.99	23.06 W	0.00	14 of 23 - Sale ²⁵
	3.000	42.93	06/16/20	66.89	23.96 W	0.00	15 of 23 - Sale ²⁵
	11.000	157.40	06/16/20	231.86	74.46 W	0.00	16 of 23 - Sale ²⁵
	1.000	14.31	06/16/20	14.32	0.01 W	0.00	17 of 23 - Sale ²⁵
	8.000	114.48	06/16/20	114.50	0.02 W	0.00	18 of 23 - Sale ²⁵
	2.000	28.62	06/16/20	28.92	0.30 W	0.00	19 of 23 - Sale ²⁵
	3.000	42.93	06/16/20	44.11	1.18 W	0.00	20 of 23 - Sale ²⁵
	9.000	128.79	06/16/20	132.34	3.55 W	0.00	21 of 23 - Sale ²⁵
	10.000	143.10	06/16/20	147.05	3.95 W	0.00	22 of 23 - Sale ²⁵
	3.000	42.93	06/16/20	44.23	1.30 W	0.00	23 of 23 - Sale ²⁵
06/19/20	111.000	1,588.39	Various	2,004.60	416.21 W	0.00	Total of 23 transactions
22 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	1.000	20.25	06/18/20	17.12	...	3.13	1 of 22 - Sale ²⁵
	1.000	20.25	06/22/20	19.47	...	0.78	2 of 22 - Sale ²⁵
	1.000	20.25	06/22/20	17.49	...	2.76	3 of 22 - Sale ²⁵
	5.000	101.25	06/22/20	97.65	...	3.60	4 of 22 - Sale ²⁵
	1.000	20.25	06/22/20	14.88	...	5.37	5 of 22 - Sale ²⁵
	10.000	202.49	06/22/20	195.34	...	7.15	6 of 22 - Sale ²⁵
	3.000	60.75	06/22/20	52.23	...	8.52	7 of 22 - Sale ²⁵
	6.000	121.50	06/22/20	112.63	...	8.87	8 of 22 - Sale ²⁵
	2.000	40.50	06/22/20	30.04	...	10.46	9 of 22 - Sale ²⁵
	4.000	81.00	06/22/20	70.04	...	10.96	10 of 22 - Sale ²⁵
	19.000	384.74	06/22/20	370.60	...	14.14	11 of 22 - Sale ²⁵
	3.000	60.75	06/22/20	45.94	...	14.81	12 of 22 - Sale ²⁵
	3.000	60.75	06/22/20	45.81	...	14.94	13 of 22 - Sale ²⁵
	5.000	101.25	06/22/20	76.36	...	24.89	14 of 22 - Sale ²⁵
	5.000	101.25	06/22/20	76.33	...	24.92	15 of 22 - Sale ²⁵
	8.000	162.00	06/22/20	118.98	...	43.02	16 of 22 - Sale ²⁵
	9.000	182.24	06/22/20	137.38	...	44.86	17 of 22 - Sale ²⁵
	39.000	789.72	06/22/20	580.25	...	209.47	18 of 22 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	50.000	1,012.46	06/22/20	762.00	...	250.46	19 of 22 - Sale ²⁵
	50.000	1,012.47	06/22/20	758.00	...	254.47	20 of 22 - Sale ²⁵
	50.000	1,012.47	06/22/20	757.00	...	255.47	21 of 22 - Sale ²⁵
	50.000	1,012.47	06/22/20	752.00	...	260.47	22 of 22 - Sale ²⁵
06/23/20	325.000	6,581.06	Various	5,107.54	...	1,473.52	Total of 22 transactions
	7 transactions for 06/23/20. Total proceeds and cost reported to the IRS.						
	1.000	20.25	06/22/20	21.09	0.84 W	0.00	1 of 7 - Sale ²⁵
	4.000	81.00	06/22/20	84.38	3.38 W	0.00	2 of 7 - Sale ²⁵
	1.000	20.25	06/22/20	22.86	2.61 W	0.00	3 of 7 - Sale ²⁵
	2.000	40.50	06/22/20	45.72	5.22 W	0.00	4 of 7 - Sale ²⁵
	3.000	60.75	06/22/20	67.67	6.92 W	0.00	5 of 7 - Sale ²⁵
	3.000	60.75	06/22/20	68.57	7.82 W	0.00	6 of 7 - Sale ²⁵
	11.000	222.74	06/22/20	238.03	15.29 W	0.00	7 of 7 - Sale ²⁵
06/23/20	25.000	506.24	Various	548.32	42.08 W	0.00	Total of 7 transactions
	63 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	1.000	22.16	06/24/20	23.42	1.26 W	0.00	1 of 63 - Sale ²⁵
	4.000	88.64	06/24/20	93.70	5.06 W	0.00	2 of 63 - Sale ²⁵
	5.000	110.80	06/24/20	119.85	9.05 W	0.00	3 of 63 - Sale ²⁵
	1.000	22.16	06/24/20	25.22	3.06 W	0.00	4 of 63 - Sale ²⁵
	2.000	44.32	06/24/20	50.44	6.12 W	0.00	5 of 63 - Sale ²⁵
	3.000	66.48	06/24/20	74.75	8.27 W	0.00	6 of 63 - Sale ²⁵
	3.000	66.48	06/24/20	75.65	9.17 W	0.00	7 of 63 - Sale ²⁵
	6.000	132.96	06/24/20	144.00	11.04 W	0.00	8 of 63 - Sale ²⁵
	25.000	553.99	06/24/20	565.24	11.25 W	0.00	9 of 63 - Sale ²⁵
	50.000	1,107.97	06/24/20	1,139.00	31.03 W	0.00	10 of 63 - Sale ²⁵
	1.000	21.62	06/24/20	23.65	2.03 W	0.00	11 of 63 - Sale ²⁵
	4.000	86.47	06/24/20	94.62	8.15 W	0.00	12 of 63 - Sale ²⁵
	1.000	21.62	06/24/20	25.45	3.83 W	0.00	13 of 63 - Sale ²⁵
	1.000	21.61	06/24/20	25.45	3.84 W	0.00	14 of 63 - Sale ²⁵
	3.000	64.86	06/24/20	75.44	10.58 W	0.00	15 of 63 - Sale ²⁵
	3.000	64.86	06/24/20	76.34	11.48 W	0.00	16 of 63 - Sale ²⁵
	5.000	108.09	06/24/20	121.00	12.91 W	0.00	17 of 63 - Sale ²⁵
	6.000	129.71	06/24/20	145.38	15.67 W	0.00	18 of 63 - Sale ²⁵
	1.000	22.14	06/24/20	25.45	3.31 W	0.00	19 of 63 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	1.000	22.14	06/24/20	24.88	2.74 W	0.00	20 of 63 - Sale ²⁵
	4.000	88.56	06/24/20	99.55	10.99 W	0.00	21 of 63 - Sale ²⁵
	1.000	22.14	06/24/20	26.68	4.54 W	0.00	22 of 63 - Sale ²⁵
	1.000	22.14	06/24/20	26.69	4.55 W	0.00	23 of 63 - Sale ²⁵
	3.000	66.42	06/24/20	79.13	12.71 W	0.00	24 of 63 - Sale ²⁵
	3.000	66.42	06/24/20	80.03	13.61 W	0.00	25 of 63 - Sale ²⁵
	5.000	110.70	06/24/20	127.16	16.46 W	0.00	26 of 63 - Sale ²⁵
	6.000	132.84	06/24/20	152.77	19.93 W	0.00	27 of 63 - Sale ²⁵
	25.000	553.48	06/24/20	582.49	29.01 W	0.00	28 of 63 - Sale ²⁵
	50.000	1,106.96	06/24/20	1,173.52	66.56 W	0.00	29 of 63 - Sale ²⁵
	1.000	22.13	06/24/20	25.73	3.60 W	0.00	30 of 63 - Sale ²⁵
	4.000	88.53	06/24/20	102.95	14.42 W	0.00	31 of 63 - Sale ²⁵
	1.000	22.13	06/24/20	26.30	4.17 W	0.00	32 of 63 - Sale ²⁵
	4.000	88.53	06/24/20	105.25	16.72 W	0.00	33 of 63 - Sale ²⁵
	5.000	110.66	06/24/20	131.41	20.75 W	0.00	34 of 63 - Sale ²⁵
	1.000	22.13	06/24/20	27.53	5.40 W	0.00	35 of 63 - Sale ²⁵
	1.000	22.13	06/24/20	27.54	5.41 W	0.00	36 of 63 - Sale ²⁵
	2.000	44.26	06/24/20	52.62	8.36 W	0.00	37 of 63 - Sale ²⁵
	3.000	66.39	06/24/20	81.68	15.29 W	0.00	38 of 63 - Sale ²⁵
	3.000	66.39	06/24/20	82.58	16.19 W	0.00	39 of 63 - Sale ²⁵
	5.000	110.66	06/24/20	120.75	10.09 W	0.00	40 of 63 - Sale ²⁵
	15.000	331.98	06/24/20	362.26	30.28 W	0.00	41 of 63 - Sale ²⁵
	5.000	110.66	06/24/20	120.75	10.09 W	0.00	42 of 63 - Sale ²⁵
	50.000	1,106.58	06/24/20	1,246.06	139.48 W	0.00	43 of 63 - Sale ²⁵
	1.000	23.95	06/24/20	27.41	3.46 W	0.00	44 of 63 - Sale ²⁵
	4.000	95.80	06/24/20	109.66	13.86 W	0.00	45 of 63 - Sale ²⁵
	1.000	23.95	06/24/20	27.98	4.03 W	0.00	46 of 63 - Sale ²⁵
	1.000	23.95	06/24/20	29.21	5.26 W	0.00	47 of 63 - Sale ²⁵
	1.000	23.95	06/24/20	29.22	5.27 W	0.00	48 of 63 - Sale ²⁵
	2.000	47.90	06/24/20	55.98	8.08 W	0.00	49 of 63 - Sale ²⁵
	3.000	71.85	06/24/20	86.72	14.87 W	0.00	50 of 63 - Sale ²⁵
	3.000	71.85	06/24/20	87.62	15.77 W	0.00	51 of 63 - Sale ²⁵
	4.000	95.80	06/24/20	111.96	16.16 W	0.00	52 of 63 - Sale ²⁵
	5.000	119.75	06/24/20	139.80	20.05 W	0.00	53 of 63 - Sale ²⁵

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(continued)

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	5.000	119.75	06/24/20	129.14	9.39 W	0.00	54 of 63 - Sale ²⁵
	5.000	119.75	06/24/20	129.14	9.39 W	0.00	55 of 63 - Sale ²⁵
	15.000	359.24	06/24/20	387.43	28.19 W	0.00	56 of 63 - Sale ²⁵
	49.000	1,173.52	06/24/20	1,303.38	129.86 W	0.00	57 of 63 - Sale ²⁵
	1.000	23.95	06/24/20	26.62	2.67 W	0.00	58 of 63 - Sale ²⁵
	50.000	1,197.47	06/24/20	1,216.49	19.02 W	0.00	59 of 63 - Sale ²⁵
	46.000	1,101.67	06/24/20	1,170.24	68.57 W	0.00	60 of 63 - Sale ²⁵
	4.000	95.80	06/24/20	101.80	6.00 W	0.00	61 of 63 - Sale ²⁵
	50.000	1,197.46	06/24/20	1,245.08	47.62 W	0.00	62 of 63 - Sale ²⁵
	50.000	1,197.47	06/24/20	1,262.82	65.35 W	0.00	63 of 63 - Sale ²⁵
06/24/20	624.000	14,346.73	Various	15,488.06	1,141.33 W	0.00	Total of 63 transactions
23 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	1.000	26.88	06/25/20	29.31	2.43 W	0.00	1 of 23 - Sale ²⁵
	4.000	107.52	06/25/20	117.26	9.74 W	0.00	2 of 23 - Sale ²⁵
	1.000	26.88	06/25/20	29.88	3.00 W	0.00	3 of 23 - Sale ²⁵
	4.000	107.52	06/25/20	119.44	11.92 W	0.00	4 of 23 - Sale ²⁵
	1.000	26.88	06/25/20	30.13	3.25 W	0.00	5 of 23 - Sale ²⁵
	2.000	53.76	06/25/20	60.32	6.56 W	0.00	6 of 23 - Sale ²⁵
	3.000	80.64	06/25/20	93.23	12.59 W	0.00	7 of 23 - Sale ²⁵
	4.000	107.53	06/25/20	120.64	13.11 W	0.00	8 of 23 - Sale ²⁵
	1.000	26.88	06/25/20	31.40	4.52 W	0.00	9 of 23 - Sale ²⁵
	1.000	26.88	06/25/20	31.41	4.53 W	0.00	10 of 23 - Sale ²⁵
	3.000	80.64	06/25/20	94.19	13.55 W	0.00	11 of 23 - Sale ²⁵
	5.000	134.41	06/25/20	140.09	5.68 W	0.00	12 of 23 - Sale ²⁵
	5.000	134.41	06/25/20	140.09	5.68 W	0.00	13 of 23 - Sale ²⁵
	15.000	403.22	06/25/20	420.29	17.07 W	0.00	14 of 23 - Sale ²⁵
	25.000	672.03	06/25/20	732.26	60.23 W	0.00	15 of 23 - Sale ²⁵
	4.000	107.53	06/25/20	128.36	20.83 W	0.00	16 of 23 - Sale ²⁵
	1.000	26.88	06/25/20	32.11	5.23 W	0.00	17 of 23 - Sale ²⁵
	20.000	537.62	06/25/20	641.80	104.18 W	0.00	18 of 23 - Sale ²⁵
	25.000	672.04	06/25/20	745.51	73.47 W	0.00	19 of 23 - Sale ²⁵
	5.000	146.66	06/25/20	157.15	10.49 W	0.00	20 of 23 - Sale ²⁵
	25.000	733.27	06/25/20	758.01	24.74 W	0.00	21 of 23 - Sale ²⁵
	2.000	58.34	06/25/20	62.86	4.52 W	0.00	22 of 23 - Sale ²⁵

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
06/25/20	28.000	816.73	06/25/20	870.25	53.52 W	0.00	23 of 23 - Sale ²⁵
06/25/20	185.000	5,115.15	Various	5,585.99	470.84 W	0.00	Total of 23 transactions
	4 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	4.000	112.96	06/26/20	112.31	...	0.65	1 of 4 - Sale ²⁵
	5.000	141.20	06/26/20	138.62	...	2.58	2 of 4 - Sale ²⁵
	5.000	141.20	06/26/20	136.06	...	5.14	3 of 4 - Sale ²⁵
	35.000	988.37	06/26/20	970.32	...	18.05	4 of 4 - Sale ²⁵
06/26/20	49.000	1,383.73	Various	1,357.31	...	26.42	Total of 4 transactions
	70 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	4.000	124.08	06/25/20	129.76	5.68 W	0.00	1 of 70 - Sale ²⁵
	10.000	310.19	06/25/20	318.92	8.73 W	0.00	2 of 70 - Sale ²⁵
	11.000	341.21	06/25/20	356.74	15.53 W	0.00	3 of 70 - Sale ²⁵
	35.000	1,085.67	06/25/20	1,146.50	60.83 W	0.00	4 of 70 - Sale ²⁵
	40.000	1,240.77	06/25/20	1,296.11	55.34 W	0.00	5 of 70 - Sale ²⁵
	1.000	31.02	06/25/20	34.79	3.77 W	0.00	6 of 70 - Sale ²⁵
	4.000	124.08	06/25/20	139.18	15.10 W	0.00	7 of 70 - Sale ²⁵
	1.000	31.02	06/25/20	35.36	4.34 W	0.00	8 of 70 - Sale ²⁵
	1.000	31.02	06/25/20	35.61	4.59 W	0.00	9 of 70 - Sale ²⁵
	1.000	31.02	06/25/20	36.88	5.86 W	0.00	10 of 70 - Sale ²⁵
	1.000	31.02	06/25/20	36.89	5.87 W	0.00	11 of 70 - Sale ²⁵
	2.000	62.04	06/25/20	71.28	9.24 W	0.00	12 of 70 - Sale ²⁵
	3.000	93.06	06/25/20	109.67	16.61 W	0.00	13 of 70 - Sale ²⁵
	3.000	93.06	06/25/20	110.63	17.57 W	0.00	14 of 70 - Sale ²⁵
	4.000	124.08	06/25/20	141.36	17.28 W	0.00	15 of 70 - Sale ²⁵
	4.000	124.08	06/25/20	142.55	18.47 W	0.00	16 of 70 - Sale ²⁵
	4.000	124.08	06/25/20	150.27	26.19 W	0.00	17 of 70 - Sale ²⁵
	5.000	155.09	06/25/20	167.48	12.39 W	0.00	18 of 70 - Sale ²⁵
	5.000	155.09	06/25/20	167.48	12.39 W	0.00	19 of 70 - Sale ²⁵
	6.000	186.11	06/25/20	225.41	39.30 W	0.00	20 of 70 - Sale ²⁵
	15.000	465.29	06/25/20	505.00	39.71 W	0.00	21 of 70 - Sale ²⁵
	15.000	465.29	06/25/20	502.47	37.18 W	0.00	22 of 70 - Sale ²⁵
	25.000	775.48	06/25/20	869.23	93.75 W	0.00	23 of 70 - Sale ²⁵
	4.000	124.08	06/25/20	151.24	27.16 W	0.00	24 of 70 - Sale ²⁵
	1.000	31.02	06/25/20	37.83	6.81 W	0.00	25 of 70 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	2.000	62.04	06/25/20	69.72	7.68 W	0.00	26 of 70 - Sale ²⁵
	5.000	155.10	06/25/20	173.49	18.39 W	0.00	27 of 70 - Sale ²⁵
	10.000	310.19	06/25/20	378.09	67.90 W	0.00	28 of 70 - Sale ²⁵
	25.000	775.47	06/25/20	888.47	113.00 W	0.00	29 of 70 - Sale ²⁵
	25.000	775.47	06/25/20	839.74	64.27 W	0.00	30 of 70 - Sale ²⁵
	28.000	868.53	06/25/20	966.32	97.79 W	0.00	31 of 70 - Sale ²⁵
	4.000	121.52	06/25/20	141.08	19.56 W	0.00	32 of 70 - Sale ²⁵
	4.000	121.51	06/25/20	138.90	17.39 W	0.00	33 of 70 - Sale ²⁵
	11.000	334.17	06/25/20	387.88	53.71 W	0.00	34 of 70 - Sale ²⁵
	1.000	28.24	06/25/20	34.72	6.48 W	0.00	35 of 70 - Sale ²⁵
	1.000	28.24	06/26/20	31.94	3.70 W	0.00	36 of 70 - Sale ²⁵
	4.000	112.96	06/26/20	127.78	14.82 W	0.00	37 of 70 - Sale ²⁵
	1.000	28.24	06/26/20	32.51	4.27 W	0.00	38 of 70 - Sale ²⁵
	1.000	28.24	06/26/20	32.76	4.52 W	0.00	39 of 70 - Sale ²⁵
	2.000	56.48	06/26/20	65.58	9.10 W	0.00	40 of 70 - Sale ²⁵
	2.000	56.48	06/26/20	67.41	10.93 W	0.00	41 of 70 - Sale ²⁵
	4.000	112.96	06/26/20	129.96	17.00 W	0.00	42 of 70 - Sale ²⁵
	4.000	112.95	06/26/20	131.15	18.20 W	0.00	43 of 70 - Sale ²⁵
	30.000	847.16	06/26/20	897.23	50.07 W	0.00	44 of 70 - Sale ²⁵
	1.000	28.26	06/26/20	29.91	1.65 W	0.00	45 of 70 - Sale ²⁵
	1.000	28.26	06/26/20	33.91	5.65 W	0.00	46 of 70 - Sale ²⁵
	1.000	28.26	06/26/20	34.23	5.97 W	0.00	47 of 70 - Sale ²⁵
	1.000	28.26	06/26/20	34.24	5.98 W	0.00	48 of 70 - Sale ²⁵
	3.000	84.79	06/26/20	102.68	17.89 W	0.00	49 of 70 - Sale ²⁵
	3.000	84.79	06/26/20	96.36	11.57 W	0.00	50 of 70 - Sale ²⁵
	5.000	141.31	06/26/20	154.24	12.93 W	0.00	51 of 70 - Sale ²⁵
	5.000	141.31	06/26/20	154.24	12.93 W	0.00	52 of 70 - Sale ²⁵
	15.000	423.95	06/26/20	465.26	41.31 W	0.00	53 of 70 - Sale ²⁵
	15.000	423.95	06/26/20	462.73	38.78 W	0.00	54 of 70 - Sale ²⁵
	1.000	28.26	06/26/20	35.69	7.43 W	0.00	55 of 70 - Sale ²⁵
	2.000	56.53	06/26/20	66.81	10.28 W	0.00	56 of 70 - Sale ²⁵
	4.000	113.05	06/26/20	141.72	28.67 W	0.00	57 of 70 - Sale ²⁵
	4.000	113.05	06/26/20	142.69	29.64 W	0.00	58 of 70 - Sale ²⁵
	6.000	169.58	06/26/20	212.59	43.01 W	0.00	59 of 70 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	10.000	282.63	06/26/20	356.71	74.08 W	0.00	60 of 70 - Sale ²⁵
	22.000	621.79	06/26/20	717.90	96.11 W	0.00	61 of 70 - Sale ²⁵
	1.000	28.12	06/26/20	33.40	5.28 W	0.00	62 of 70 - Sale ²⁵
	3.000	90.03	06/26/20	103.12	13.09 W	0.00	63 of 70 - Sale ²⁵
	4.000	120.04	06/26/20	139.66	19.62 W	0.00	64 of 70 - Sale ²⁵
	11.000	330.10	06/26/20	384.00	53.90 W	0.00	65 of 70 - Sale ²⁵
	2.000	60.02	06/26/20	67.73	7.71 W	0.00	66 of 70 - Sale ²⁵
	5.000	150.05	06/26/20	168.52	18.47 W	0.00	67 of 70 - Sale ²⁵
	22.000	660.20	06/26/20	760.02	99.82 W	0.00	68 of 70 - Sale ²⁵
	25.000	750.23	06/26/20	814.93	64.70 W	0.00	69 of 70 - Sale ²⁵
	28.000	840.25	06/26/20	938.53	98.28 W	0.00	70 of 70 - Sale ²⁵
06/26/20	569.000	17,121.97	Various	19,105.19	1,983.22 W	0.00	Total of 70 transactions
	22 transactions for 07/02/20. Total proceeds and cost reported to the IRS.						
	25.000	532.78	07/02/20	623.94	...	-91.16	1 of 22 - Sale ²⁵
	23.000	491.03	07/02/20	566.77	...	-75.74	2 of 22 - Sale ²⁵
	5.000	106.56	07/02/20	130.32	...	-23.76	3 of 22 - Sale ²⁵
	3.000	63.94	07/02/20	77.39	...	-13.45	4 of 22 - Sale ²⁵
	4.000	85.25	07/02/20	97.53	...	-12.28	5 of 22 - Sale ²⁵
	4.000	85.24	07/02/20	96.33	...	-11.09	6 of 22 - Sale ²⁵
	2.000	42.62	07/02/20	52.45	...	-9.83	7 of 22 - Sale ²⁵
	2.000	42.62	07/02/20	51.76	...	-9.14	8 of 22 - Sale ²⁵
	4.000	85.25	07/02/20	94.15	...	-8.90	9 of 22 - Sale ²⁵
	2.000	42.62	07/02/20	50.59	...	-7.97	10 of 22 - Sale ²⁵
	2.000	42.40	07/02/20	49.29	...	-6.89	11 of 22 - Sale ²⁵
	22.000	466.38	07/02/20	473.05	...	-6.67	12 of 22 - Sale ²⁵
	2.000	42.62	07/02/20	48.76	...	-6.14	13 of 22 - Sale ²⁵
	1.000	21.35	07/02/20	26.31	...	-4.96	14 of 22 - Sale ²⁵
	1.000	21.31	07/02/20	25.81	...	-4.50	15 of 22 - Sale ²⁵
	1.000	21.31	07/02/20	25.80	...	-4.49	16 of 22 - Sale ²⁵
	1.000	21.31	07/02/20	25.48	...	-4.17	17 of 22 - Sale ²⁵
	1.000	21.20	07/02/20	24.64	...	-3.44	18 of 22 - Sale ²⁵
	1.000	21.31	07/02/20	24.35	...	-3.04	19 of 22 - Sale ²⁵
	1.000	21.35	07/02/20	24.18	...	-2.83	20 of 22 - Sale ²⁵
	1.000	21.31	07/02/20	24.10	...	-2.79	21 of 22 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
07/02/20	1.000	21.31	07/02/20	23.53	...	-2.22	22 of 22 - Sale ²⁵
	109.000	2,321.07	Various	2,636.53	...	-315.46	Total of 22 transactions
	37 transactions for 07/02/20. Total proceeds and cost reported to the IRS.						
	16.000	340.98	07/02/20	430.52	50.37 W	-39.17	1 of 37 - Sale ²⁵
	1.000	21.30	07/02/20	23.70	2.40 W	0.00	2 of 37 - Sale ²⁵
	4.000	85.20	07/02/20	94.82	9.62 W	0.00	3 of 37 - Sale ²⁵
	1.000	21.30	07/02/20	24.27	2.97 W	0.00	4 of 37 - Sale ²⁵
	1.000	21.30	07/02/20	24.52	3.22 W	0.00	5 of 37 - Sale ²⁵
	1.000	21.30	07/02/20	25.65	4.35 W	0.00	6 of 37 - Sale ²⁵
	1.000	21.30	07/02/20	25.97	4.67 W	0.00	7 of 37 - Sale ²⁵
	1.000	21.30	07/02/20	25.98	4.68 W	0.00	8 of 37 - Sale ²⁵
	2.000	42.60	07/02/20	49.10	6.50 W	0.00	9 of 37 - Sale ²⁵
	2.000	42.60	07/02/20	50.93	8.33 W	0.00	10 of 37 - Sale ²⁵
	3.000	63.90	07/02/20	77.89	13.99 W	0.00	11 of 37 - Sale ²⁵
	4.000	85.20	07/02/20	97.00	11.80 W	0.00	12 of 37 - Sale ²⁵
	4.000	85.20	07/02/20	98.20	13.00 W	0.00	13 of 37 - Sale ²⁵
	1.000	21.30	07/02/20	24.35	3.05 W	0.00	14 of 37 - Sale ²⁵
	1.000	21.30	07/02/20	26.48	5.18 W	0.00	15 of 37 - Sale ²⁵
	1.000	21.30	07/02/20	21.65	0.35 W	0.00	16 of 37 - Sale ²⁵
	2.000	42.60	07/02/20	45.17	2.57 W	0.00	17 of 37 - Sale ²⁵
	15.000	319.49	07/02/20	341.31	21.82 W	0.00	18 of 37 - Sale ²⁵
	30.000	638.98	07/02/20	650.07	11.09 W	0.00	19 of 37 - Sale ²⁵
	3.000	63.90	07/02/20	74.61	10.71 W	0.00	20 of 37 - Sale ²⁵
	2.000	42.60	07/02/20	52.28	9.68 W	0.00	21 of 37 - Sale ²⁵
	5.000	106.49	07/02/20	124.34	17.85 W	0.00	22 of 37 - Sale ²⁵
	15.000	319.48	07/02/20	373.02	53.54 W	0.00	23 of 37 - Sale ²⁵
	1.000	21.30	07/02/20	26.14	4.84 W	0.00	24 of 37 - Sale ²⁵
	19.000	404.70	07/02/20	506.38	101.68 W	0.00	25 of 37 - Sale ²⁵
	1.000	21.30	07/02/20	29.71	8.41 W	0.00	26 of 37 - Sale ²⁵
	4.000	85.20	07/02/20	117.80	32.60 W	0.00	27 of 37 - Sale ²⁵
	4.000	85.20	07/02/20	118.77	33.57 W	0.00	28 of 37 - Sale ²⁵
	6.000	127.80	07/02/20	176.71	48.91 W	0.00	29 of 37 - Sale ²⁵
	10.000	212.99	07/02/20	296.90	83.91 W	0.00	30 of 37 - Sale ²⁵
	3.000	63.90	07/02/20	79.95	16.05 W	0.00	31 of 37 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
	2.000	42.60	07/02/20	54.85	12.25 W	0.00	32 of 37 - Sale ²⁵
	3.000	63.90	07/02/20	80.20	16.30 W	0.00	33 of 37 - Sale ²⁵
	4.000	85.20	07/02/20	109.10	23.90 W	0.00	34 of 37 - Sale ²⁵
	11.000	234.29	07/02/20	299.97	65.68 W	0.00	35 of 37 - Sale ²⁵
	1.000	21.30	07/02/20	27.65	6.35 W	0.00	36 of 37 - Sale ²⁵
	6.000	127.79	07/02/20	161.44	33.65 W	0.00	37 of 37 - Sale ²⁵
07/02/20	191.000	4,068.39	Various	4,867.40	759.84 W	-39.17	Total of 37 transactions
	25 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	19.000	406.00	07/02/20	519.40	...	-113.40	1 of 25 - Sale ²⁵
	10.000	213.69	07/02/20	303.76	...	-90.07	2 of 25 - Sale ²⁵
	15.000	320.53	07/02/20	383.31	...	-62.78	3 of 25 - Sale ²⁵
	6.000	128.21	07/02/20	180.82	...	-52.61	4 of 25 - Sale ²⁵
	4.000	85.47	07/02/20	121.51	...	-36.04	5 of 25 - Sale ²⁵
	4.000	85.47	07/02/20	120.54	...	-35.07	6 of 25 - Sale ²⁵
	15.000	320.53	07/02/20	351.59	...	-31.06	7 of 25 - Sale ²⁵
	5.000	106.84	07/02/20	127.77	...	-20.93	8 of 25 - Sale ²⁵
	3.000	64.11	07/02/20	82.00	...	-17.89	9 of 25 - Sale ²⁵
	3.000	64.11	07/02/20	76.67	...	-12.56	10 of 25 - Sale ²⁵
	2.000	42.74	07/02/20	53.65	...	-10.91	11 of 25 - Sale ²⁵
	1.000	21.37	07/02/20	30.39	...	-9.02	12 of 25 - Sale ²⁵
	8.000	170.95	07/02/20	178.84	...	-7.89	13 of 25 - Sale ²⁵
	1.000	20.84	07/02/20	28.12	...	-7.28	14 of 25 - Sale ²⁵
	1.000	21.37	07/02/20	26.83	...	-5.46	15 of 25 - Sale ²⁵
	2.000	42.74	07/02/20	46.54	...	-3.80	16 of 25 - Sale ²⁵
	1.000	21.37	07/02/20	22.34	...	-0.97	17 of 25 - Sale ²⁵
	11.000	221.65	07/06/20	286.32	...	-64.67	18 of 25 - Sale ²⁵
	9.000	181.34	07/06/20	232.80	...	-51.46	19 of 25 - Sale ²⁵
	5.000	100.75	07/06/20	128.33	...	-27.58	20 of 25 - Sale ²⁵
	4.000	80.60	07/06/20	104.13	...	-23.53	21 of 25 - Sale ²⁵
	3.000	60.45	07/06/20	76.48	...	-16.03	22 of 25 - Sale ²⁵
	1.000	20.15	07/06/20	26.41	...	-6.26	23 of 25 - Sale ²⁵
	1.000	20.15	07/06/20	26.18	...	-6.03	24 of 25 - Sale ²⁵
	1.000	20.15	07/06/20	25.88	...	-5.73	25 of 25 - Sale ²⁵
07/06/20	135.000	2,841.58	Various	3,560.61	...	-719.03	Total of 25 transactions

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(continued)

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol: (cont'd)							
Security total:		92,074.32		104,937.19		13,646.20 W	783.33
INPIXON COMMON STOCK / CUSIP: 45790J800 / Symbol:							
2 transactions for 01/09/20. Total proceeds and cost reported to the IRS.							
	29.000	159.50	01/08/20	164.07	...	-4.57	1 of 2 - Sale ²⁵
	1.000	5.51	01/08/20	5.66	...	-0.15	2 of 2 - Sale ²⁵
01/09/20	30.000	165.01	Various	169.73	...	-4.72	Total of 2 transactions
03/05/20	100.000	231.99	03/04/20	240.00	8.01 W	0.00	Sale ²⁵
2 transactions for 03/31/20. Total proceeds and cost reported to the IRS.							
	100.000	116.01	03/30/20	130.01	...	-14.00	1 of 2 - Sale ²⁵
	200.000	232.01	03/30/20	244.00	...	-11.99	2 of 2 - Sale ²⁵
03/31/20	300.000	348.02	Various	374.01	...	-25.99	Total of 2 transactions
Security total:		745.02		783.74	8.01 W	-30.71	
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	50.000	145.00	05/22/20	138.00	...	7.00	1 of 2 - Sale ²⁵
	100.000	289.99	05/22/20	276.00	...	13.99	2 of 2 - Sale ²⁵
05/26/20	150.000	434.99	Various	414.00	...	20.99	Total of 2 transactions
06/08/20	100.000	518.97	06/05/20	450.00	...	68.97	Sale ²⁵
Security total:		953.96		864.00	...	89.96	
IROBOT CORPORATION COMMON STOC K / CUSIP: 462726100 / Symbol:							
06/10/20	10.000	801.81	06/09/20	811.12	4.66 W	-4.65	Sale ²⁵
06/15/20	5.000	413.89	06/15/20	421.26	...	-7.37	Sale ²⁵
Security total:		1,215.70		1,232.38	4.66 W	-12.02	
ISHARES 20 YEAR TREASURY BOND ETF / CUSIP: 464287432 / Symbol:							
06/26/20	10.000	1,646.01	06/26/20	1,646.50	...	-0.49	Sale ²⁵
ISHARES TRUST ISHARES INTERNAT IONAL TREASURY BOND ETF / CUSIP: 464288117 / Symbol:							
03/10/20	3.000	156.00	03/09/20	159.75	...	-3.75	Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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JAKKS PACIFIC, INC. COMMON STO CK / CUSIP: 47012E106 / Symbol:							
4 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	70.000	52.57	06/08/20	90.01	...	-37.44	1 of 4 - Sale ²⁵
	18.000	13.52	06/08/20	28.21	...	-14.69	2 of 4 - Sale ²⁵
	12.000	9.01	06/08/20	18.79	...	-9.78	3 of 4 - Sale ²⁵
	20.000	15.02	06/08/20	21.40	...	-6.38	4 of 4 - Sale ²⁵
06/09/20	120.000	90.12	Various	158.41	...	-68.29	Total of 4 transactions
5 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	12.000	9.48	06/08/20	12.43	2.95 W	0.00	1 of 5 - Sale ²⁵
	18.000	14.19	06/08/20	18.64	4.45 W	0.00	2 of 5 - Sale ²⁵
	70.000	54.59	06/08/20	72.50	17.91 W	0.00	3 of 5 - Sale ²⁵
	12.000	9.36	06/08/20	15.31	5.95 W	0.00	4 of 5 - Sale ²⁵
	18.000	14.04	06/08/20	22.99	8.95 W	0.00	5 of 5 - Sale ²⁵
06/09/20	130.000	101.66	Various	141.87	40.21 W	0.00	Total of 5 transactions
	Security total:	191.78		300.28	40.21 W	-68.29	
JETBLUE AIRWAYS CORPORATION CO MMON STOCK / CUSIP: 477143101 / Symbol:							
01/24/20	5.000	104.50	01/23/20	102.83	...	1.67	Sale ²⁵
4 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	3.000	35.25	06/02/20	31.14	...	4.11	1 of 4 - Sale ²⁵
	7.000	82.25	06/02/20	72.63	...	9.62	2 of 4 - Sale ²⁵
	15.000	176.25	06/03/20	166.80	...	9.45	3 of 4 - Sale ²⁵
	25.000	293.75	06/03/20	278.00	...	15.75	4 of 4 - Sale ²⁵
06/04/20	50.000	587.50	Various	548.57	...	38.93	Total of 4 transactions
06/19/20	50.000	599.98	06/18/20	596.49	...	3.49	Sale ²⁵
2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	10.000	103.60	06/24/20	105.25	1.65 W	0.00	1 of 2 - Sale ²⁵
	65.000	673.37	06/24/20	684.13	10.76 W	0.00	2 of 2 - Sale ²⁵
06/24/20	75.000	776.97	Various	789.38	12.41 W	0.00	Total of 2 transactions
06/25/20	25.000	260.29	06/25/20	266.41	...	-6.12	Sale ²⁵
5 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	10.000	100.52	06/25/20	102.63	2.11 W	0.00	1 of 5 - Sale ²⁵
	65.000	653.35	06/25/20	667.13	13.78 W	0.00	2 of 5 - Sale ²⁵
	25.000	251.29	06/25/20	252.45	1.16 W	0.00	3 of 5 - Sale ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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JETBLUE AIRWAYS CORPORATION CO MMON STOCK / CUSIP: 477143101 / Symbol: (cont'd)							
	10.000	104.12	06/25/20	108.21		0.00	4 of 5 - Sale ²⁵
	65.000	676.75	06/25/20	703.43	4.09 W	0.00	5 of 5 - Sale ²⁵
06/25/20	175.000	1,786.03	Various	1,833.85	26.68 W	0.00	Total of 5 transactions
	2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	65.000	696.25	06/29/20	722.70	47.82 W	0.00	
	10.000	107.12	06/29/20	111.17	...	-26.45	1 of 2 - Sale ²⁵
06/29/20	75.000	803.37	Various	833.87	...	-4.05	2 of 2 - Sale ²⁵
					...	-30.50	Total of 2 transactions
	Security total:	4,918.64		4,971.40	60.23 W	7.47	
JOHNSON & JOHNSON / CUSIP: 478160104 / Symbol:							
	2 transactions for 03/31/20. Total proceeds and cost reported to the IRS.						
	5.000	675.43	03/30/20	658.00		17.43	1 of 2 - Sale ²⁵
	5.000	683.08	03/30/20	658.00		25.08	2 of 2 - Sale ²⁵
03/31/20	10.000	1,358.51	Various	1,316.00		42.51	Total of 2 transactions
JUMIA TECHNOLOGIES AG / CUSIP: 48138M105 / Symbol:							
06/24/20	100.000	561.56	06/24/20	563.47		-1.91	Sale ²⁵
KAR AUCTION SERVICES, INC. / CUSIP: 48238T109 / Symbol:							
	2 transactions for 05/21/20. Total proceeds and cost reported to the IRS.						
	10.000	126.13	05/15/20	121.00		5.13	1 of 2 - Sale ²⁵
	30.000	378.40	05/20/20	375.86		2.54	2 of 2 - Sale ²⁵
05/21/20	40.000	504.53	Various	496.86		7.67	Total of 2 transactions
	2 transactions for 05/27/20. Total proceeds and cost reported to the IRS.						
	20.000	284.06	05/26/20	276.80		7.26	1 of 2 - Sale ²⁵
	30.000	426.09	05/26/20	406.50		19.59	2 of 2 - Sale ²⁵
05/27/20	50.000	710.15	Various	683.30		26.85	Total of 2 transactions
06/02/20	20.000	296.00	05/29/20	297.00	1.00 W	0.00	Sale ²⁵
06/03/20	12.000	189.14	06/02/20	182.40		6.74	Sale ²⁵
	3 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	42.000	645.53	06/22/20	652.26		-6.73	1 of 3 - Sale ²⁵
	7.000	107.59	06/22/20	109.06		-1.47	2 of 3 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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KAR AUCTION SERVICES, INC. / CUSIP: 48238T109 / Symbol: (cont'd)							
06/22/20	1.000	15.37	06/22/20	15.58	...	-0.21	3 of 3 - Sale ²⁵
	50.000	768.49	Various	776.90	...	-8.41	Total of 3 transactions
	Security total:	2,468.31		2,436.46	1.00 W	32.85	
KALA PHARMACEUTICALS, INC. COM MON STOCK / CUSIP: 483119103 / Symbol:							
03/10/20	50.000	413.69	03/09/20	434.36	20.67 W	0.00	Sale ²⁵
	2 transactions for 03/13/20. Total proceeds and cost reported to the IRS.						
	50.000	344.98	03/12/20	408.91	...	-63.93	1 of 2 - Sale ²⁵
	25.000	172.49	03/12/20	194.12	...	-21.63	2 of 2 - Sale ²⁵
03/13/20	75.000	517.47	Various	603.03	...	-85.56	Total of 2 transactions
	Security total:	931.16		1,037.39	20.67 W	-85.56	
KINROSS GOLD CORPORATION / CUSIP: 496902404 / Symbol:							
06/30/20	50.000	359.07	06/30/20	363.44	...	-4.37	Sale ²⁵
KITOV PHARMA LTD. AMERICAN DEP OSITARY SHARES / CUSIP: 49803V107 / Symbol:							
06/19/20	250.000	140.61	06/19/20	142.18	...	-1.57	Sale ²⁵
KOPIN CORPORATION COMMON STOCK / CUSIP: 500600101 / Symbol:							
	2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.						
	100.000	86.89	05/11/20	76.79	...	10.10	1 of 2 - Sale ²⁵
	200.000	173.77	05/11/20	153.58	...	20.19	2 of 2 - Sale ²⁵
05/12/20	300.000	260.66	Various	230.37	...	30.29	Total of 2 transactions
KOSMOS ENERGY LTD. / CUSIP: 500688106 / Symbol:							
06/08/20	150.000	471.73	06/05/20	422.63	...	49.10	Sale ²⁵
LAKELAND INDUSTRIES, INC. COMM ON STOCK / CUSIP: 511795106 / Symbol:							
06/25/20	100.000	2,414.95	06/24/20	2,446.00	...	-31.05	Sale ²⁵
LANDCADIA HOLDINGS II, INC. CL ASS A COMMON STOCK / CUSIP: 51476X105 / Symbol:							
	9 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	90.000	1,401.25	06/29/20	1,538.19	...	-136.94	1 of 9 - Sale ²⁵
	83.000	1,290.61	06/29/20	1,418.55	...	-127.94	2 of 9 - Sale ²⁵
	22.000	342.10	06/29/20	376.00	...	-33.90	3 of 9 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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LANCADIA HOLDINGS II, INC. CL ASS A COMMON STOCK / CUSIP: 51476X105 / Symbol: (cont'd)							
	20.000	311.39	06/29/20	341.81	...	-30.42	4 of 9 - Sale ²⁵
	17.000	264.34	06/29/20	291.05	...	-26.71	5 of 9 - Sale ²⁵
	12.000	186.60	06/29/20	205.45	...	-18.85	6 of 9 - Sale ²⁵
	5.000	77.80	06/29/20	85.45	...	-7.65	7 of 9 - Sale ²⁵
	1.000	15.55	06/29/20	17.12	...	-1.57	8 of 9 - Sale ²⁵
	250.000	3,994.88	06/29/20	3,980.00	...	14.88	9 of 9 - Sale ²⁵
06/30/20	500.000	7,884.52	Various	8,253.62	...	-369.10	Total of 9 transactions
	4 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	250.000	3,994.88	06/29/20	4,020.00	25.12 W	0.00	1 of 4 - Sale ²⁵
	20.000	312.00	06/29/20	325.81	13.81 W	0.00	2 of 4 - Sale ²⁵
	200.000	3,119.91	06/29/20	3,258.10	138.19 W	0.00	3 of 4 - Sale ²⁵
	30.000	467.09	06/29/20	488.71	21.62 W	0.00	4 of 4 - Sale ²⁵
06/30/20	500.000	7,893.88	Various	8,092.62	198.74 W	0.00	Total of 4 transactions
	Security total:	15,778.40		16,346.24	198.74 W	-369.10	
LANTRONIX, INC. COMMON STOCK / CUSIP: 516548203 / Symbol:							
	2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.						
	10.000	35.60	05/21/20	34.50	...	1.10	1 of 2 - Sale ²⁵
	15.000	53.40	05/22/20	54.83	...	-1.43	2 of 2 - Sale ²⁵
05/26/20	25.000	89.00	Various	89.33	...	-0.33	Total of 2 transactions
LAS VEGAS SANDS CORP. / CUSIP: 517834107 / Symbol:							
04/08/20	10.000	436.00	04/07/20	437.50	...	-1.50	Sale ²⁵
05/20/20	5.000	248.00	05/19/20	248.04	...	-0.04	Sale ²⁵
	Security total:	684.00		685.54	...	-1.54	
LATAM AIRLS GROUP S A AMERICAN DEPOSITARY SHARES - SPONSORED / CUSIP: 51817R106 / Symbol:							
	2 transactions for 04/03/20. Total proceeds and cost reported to the IRS.						
	3.000	7.50	04/02/20	7.80	...	-0.30	1 of 2 - Sale ²⁵
	1.000	2.50	04/02/20	2.60	...	-0.10	2 of 2 - Sale ²⁵
04/03/20	4.000	10.00	Various	10.40	...	-0.40	Total of 2 transactions
	2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.						
	40.000	44.40	05/28/20	52.00	7.60 W	0.00	1 of 2 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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LATAM AIRLS GROUP S A AMERICAN DEPOSITARY SHARES - SPONSORED / CUSIP: 51817R106 / Symbol: (cont'd)							
05/29/20	10.000	11.10	05/28/20	13.00	1.90 W	0.00	2 of 2 - Sale ²⁵
	50.000	55.50	Various	65.00	9.50 W	0.00	Total of 2 transactions
	8 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	10.000	20.00	06/01/20	13.80	...	6.20	1 of 8 - Sale ²⁵
	40.000	79.99	06/01/20	55.20	...	24.79	2 of 8 - Sale ²⁵
	21.000	42.00	06/02/20	39.69	...	2.31	3 of 8 - Sale ²⁵
	50.000	99.99	06/02/20	94.50	...	5.49	4 of 8 - Sale ²⁵
	50.000	99.99	06/02/20	75.00	...	24.99	5 of 8 - Sale ²⁵
	50.000	99.99	06/02/20	75.00	...	24.99	6 of 8 - Sale ²⁵
	50.000	99.99	06/02/20	74.50	...	25.49	7 of 8 - Sale ²⁵
	50.000	99.99	06/02/20	74.50	...	25.49	8 of 8 - Sale ²⁵
06/04/20	321.000	641.94	Various	502.19	...	139.75	Total of 8 transactions
	4 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	6.000	12.00	06/03/20	13.14	1.14 W	0.00	1 of 4 - Sale ²⁵
	127.000	253.98	06/03/20	278.13	24.15 W	0.00	2 of 4 - Sale ²⁵
	6.000	12.00	06/03/20	14.28	2.28 W	0.00	3 of 4 - Sale ²⁵
	40.000	80.00	06/03/20	87.60	7.60 W	0.00	4 of 4 - Sale ²⁵
06/04/20	179.000	357.98	Various	393.15	35.17 W	0.00	Total of 4 transactions
	7 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	95.000	97.84	06/09/20	316.36	...	-218.52	1 of 7 - Sale ²⁵
	40.000	41.19	06/09/20	131.60	...	-90.41	2 of 7 - Sale ²⁵
	27.000	27.81	06/09/20	83.70	...	-55.89	3 of 7 - Sale ²⁵
	15.000	15.45	06/09/20	49.36	...	-33.91	4 of 7 - Sale ²⁵
	12.000	12.36	06/09/20	39.48	...	-27.12	5 of 7 - Sale ²⁵
	6.000	6.18	06/09/20	20.88	...	-14.70	6 of 7 - Sale ²⁵
	5.000	5.15	06/09/20	16.65	...	-11.50	7 of 7 - Sale ²⁵
06/11/20	200.000	205.98	Various	658.03	...	-452.05	Total of 7 transactions
	Security total:	1,271.40		1,628.77	44.67 W	-312.70	
LIBERTY TRIPADVISOR HOLDINGS, INC. SERIES B COMMON STOCK / CUSIP: 531465201 / Symbol:							
04/17/20	2.000	88.00	04/16/20	164.00	...	-76.00	Sale ²⁵

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LIMELIGHT NETWORKS, INC. COMMO N STOCK / CUSIP: 53261M104 / Symbol:							
<i>9 transactions for 06/25/20. Total proceeds and cost reported to the IRS.</i>							
	1,000.000	7,390.91	06/25/20	7,419.50	...	-28.59	1 of 9 - Sale ²⁵
	200.000	1,477.96	06/25/20	1,483.90	...	-5.94	2 of 9 - Sale ²⁵
	100.000	738.98	06/25/20	733.90	...	5.08	3 of 9 - Sale ²⁵
	100.000	738.98	06/25/20	733.90	...	5.08	4 of 9 - Sale ²⁵
	100.000	738.98	06/25/20	733.50	...	5.48	5 of 9 - Sale ²⁵
	100.000	738.98	06/25/20	733.50	...	5.48	6 of 9 - Sale ²⁵
	100.000	738.98	06/25/20	733.50	...	5.48	7 of 9 - Sale ²⁵
	100.000	738.98	06/25/20	733.50	...	5.48	8 of 9 - Sale ²⁵
	200.000	1,477.97	06/25/20	1,467.80	...	10.17	9 of 9 - Sale ²⁵
06/25/20	2,000.000	14,780.72	Various	14,773.00	...	7.72	Total of 9 transactions
LINEAGE CELL THERAPEUTICS, INC. / CUSIP: 53566P109 / Symbol:							
03/04/20	200.000	204.10	03/03/20	213.70	9.60 W	0.00	Sale ²⁵
<i>2 transactions for 03/11/20. Total proceeds and cost reported to the IRS.</i>							
	200.000	162.44	03/10/20	185.58	...	-23.14	1 of 2 - Sale ²⁵
	100.000	81.22	03/10/20	87.99	...	-6.77	2 of 2 - Sale ²⁵
03/11/20	300.000	243.66	Various	273.57	...	-29.91	Total of 2 transactions
05/07/20	100.000	99.99	05/06/20	103.99	...	-4.00	Sale ²⁵
05/07/20	200.000	199.97	05/06/20	203.98	3.01 W	-1.00	Sale ²⁵
05/27/20	50.000	49.73	05/26/20	54.50	...	-4.77	Sale ²⁵
05/27/20	100.000	99.47	05/26/20	100.01	0.54 W	0.00	Sale ²⁵
06/12/20	100.000	103.00	06/11/20	107.04	...	-4.04	Sale ²⁵
	Security total:	999.92		1,056.79	13.15 W	-43.72	
LIVEPERSON, INC. COMMON STOCK / CUSIP: 538146101 / Symbol:							
<i>4 transactions for 06/25/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	4,049.90	06/25/20	4,078.77	...	-28.87	1 of 4 - Sale ²⁵
	25.000	998.39	06/25/20	986.54	...	11.85	2 of 4 - Sale ²⁵
	25.000	998.39	06/25/20	980.19	...	18.20	3 of 4 - Sale ²⁵
	50.000	1,996.79	06/25/20	1,956.93	...	39.86	4 of 4 - Sale ²⁵
06/25/20	200.000	8,043.47	Various	8,002.43	...	41.04	Total of 4 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LIVEXLIVE MEDIA, INC. COMMON S TOCK / CUSIP: 53839L208 / Symbol:							
05/01/20	100.000	242.99	04/29/20	230.00	...	12.99	Sale ²⁵
05/01/20	50.000	121.49	04/30/20	137.75	16.26 W	0.00	Sale ²⁵
3 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	1.000	2.66	05/04/20	3.40	...	-0.74	1 of 3 - Sale ²⁵
	35.000	93.11	05/05/20	115.68	...	-22.57	2 of 3 - Sale ²⁵
	14.000	37.24	05/05/20	46.41	...	-9.17	3 of 3 - Sale ²⁵
05/06/20	50.000	133.01	Various	165.49	...	-32.48	Total of 3 transactions
3 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	50.000	237.99	07/06/20	251.75	...	-13.76	1 of 3 - Sale ²⁵
	150.000	713.98	07/06/20	723.00	...	-9.02	2 of 3 - Sale ²⁵
	50.000	237.99	07/06/20	244.76	...	-6.77	3 of 3 - Sale ²⁵
07/06/20	250.000	1,189.96	Various	1,219.51	...	-29.55	Total of 3 transactions
3 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	50.000	219.00	07/06/20	226.00	7.00 W	0.00	1 of 3 - Sale ²⁵
	50.000	223.00	07/06/20	233.75	10.75 W	0.00	2 of 3 - Sale ²⁵
	50.000	222.99	07/06/20	226.75	3.76 W	0.00	3 of 3 - Sale ²⁵
07/06/20	150.000	664.99	Various	686.50	21.51 W	0.00	Total of 3 transactions
	Security total:	2,352.44		2,439.25	37.77 W	-49.04	
LIVONGO HEALTH, INC. COMMON ST OCK / CUSIP: 539183103 / Symbol:							
06/23/20	10.000	746.05	06/22/20	732.90	...	13.15	Sale ²⁵
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
7 transactions for 04/06/20. Total proceeds and cost reported to the IRS.							
	18.000	99.00	04/03/20	121.50	22.50 W	0.00	1 of 7 - Sale ²⁵
	5.000	27.50	04/03/20	33.75	6.25 W	0.00	2 of 7 - Sale ²⁵
	7.000	38.50	04/03/20	47.25	8.75 W	0.00	3 of 7 - Sale ²⁵
	5.000	27.50	04/03/20	40.40	12.90 W	0.00	4 of 7 - Sale ²⁵
	18.000	99.00	04/03/20	145.43	46.43 W	0.00	5 of 7 - Sale ²⁵
	7.000	38.50	04/03/20	47.81	9.31 W	0.00	6 of 7 - Sale ²⁵
	10.000	55.00	04/03/20	68.10	13.10 W	0.00	7 of 7 - Sale ²⁵
04/06/20	70.000	385.00	Various	504.24	119.24 W	0.00	Total of 7 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol: (cont'd)							
14 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	5.000	13.65	04/03/20	46.65	33.00 W	0.00	1 of 14 - Sale ²⁵
	18.000	49.15	04/03/20	167.93	118.78 W	0.00	2 of 14 - Sale ²⁵
	7.000	19.12	04/03/20	56.00	36.88 W	0.00	3 of 14 - Sale ²⁵
	7.000	19.12	04/03/20	57.89	38.77 W	0.00	4 of 14 - Sale ²⁵
	10.000	27.31	04/03/20	82.50	55.19 W	0.00	5 of 14 - Sale ²⁵
	63.000	172.04	04/03/20	437.22	265.18 W	0.00	6 of 14 - Sale ²⁵
	50.000	136.54	04/03/20	354.00	217.46 W	0.00	7 of 14 - Sale ²⁵
	15.000	40.96	04/03/20	107.55	66.59 W	0.00	8 of 14 - Sale ²⁵
	50.000	136.54	04/03/20	360.00	223.46 W	0.00	9 of 14 - Sale ²⁵
	20.000	54.62	04/03/20	141.60	86.98 W	0.00	10 of 14 - Sale ²⁵
	85.000	232.12	04/03/20	597.55	365.43 W	0.00	11 of 14 - Sale ²⁵
	1.000	2.73	04/03/20	7.00	4.27 W	0.00	12 of 14 - Sale ²⁵
	69.000	188.42	04/03/20	387.01	198.59 W	0.00	13 of 14 - Sale ²⁵
	100.000	273.08	04/06/20	501.66	228.58 W	0.00	14 of 14 - Sale ²⁵
05/20/20	500.000	1,365.40	Various	3,304.56	1,939.16 W	0.00	Total of 14 transactions
05/22/20	10.000	19.20	05/21/20	91.09	71.89 W	0.00	Sale ²⁵
9 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	5.000	8.60	05/22/20	40.15	31.55 W	0.00	1 of 9 - Sale ²⁵
	8.000	13.76	05/22/20	64.22	50.46 W	0.00	2 of 9 - Sale ²⁵
	7.000	12.04	05/22/20	46.88	34.84 W	0.00	3 of 9 - Sale ²⁵
	7.000	12.04	05/22/20	48.77	36.73 W	0.00	4 of 9 - Sale ²⁵
	10.000	17.20	05/22/20	69.48	52.28 W	0.00	5 of 9 - Sale ²⁵
	63.000	108.35	05/22/20	355.21	246.86 W	0.00	6 of 9 - Sale ²⁵
	50.000	103.17	05/26/20	303.46	200.29 W	0.00	7 of 9 - Sale ²⁵
	15.000	30.93	05/26/20	92.39	61.46 W	0.00	8 of 9 - Sale ²⁵
	35.000	72.18	05/26/20	216.62	144.44 W	0.00	9 of 9 - Sale ²⁵
05/26/20	200.000	378.27	Various	1,237.18	858.91 W	0.00	Total of 9 transactions
3 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	15.000	36.30	05/27/20	106.57	70.27 W	0.00	1 of 3 - Sale ²⁵
	20.000	48.40	05/27/20	139.68	91.28 W	0.00	2 of 3 - Sale ²⁵
	64.000	154.87	05/27/20	443.79	288.92 W	0.00	3 of 3 - Sale ²⁵
05/28/20	99.000	239.57	Various	690.04	450.47 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol: (cont'd)							
5 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	1.000	2.15	05/27/20	6.93	4.78 W	0.00	1 of 5 - Sale ²⁵
	9.000	19.35	05/28/20	60.83	41.48 W	0.00	2 of 5 - Sale ²⁵
	1.000	2.15	05/28/20	6.73	4.58 W	0.00	3 of 5 - Sale ²⁵
	11.000	23.65	05/28/20	74.35	50.70 W	0.00	4 of 5 - Sale ²⁵
	28.000	60.20	05/28/20	149.46	89.26 W	0.00	5 of 5 - Sale ²⁵
05/29/20	50.000	107.50	Various	298.30	190.80 W	0.00	Total of 5 transactions
17 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	62.000	309.98	06/04/20	440.71	...	-130.73	1 of 17 - Sale ²⁵
	8.000	40.00	06/04/20	75.98	...	-35.98	2 of 17 - Sale ²⁵
	7.000	35.00	06/04/20	59.06	...	-24.06	3 of 17 - Sale ²⁵
	5.000	25.00	06/04/20	47.50	...	-22.50	4 of 17 - Sale ²⁵
	7.000	35.00	06/04/20	57.17	...	-22.17	5 of 17 - Sale ²⁵
	5.000	25.00	06/04/20	42.09	...	-17.09	6 of 17 - Sale ²⁵
	5.000	25.00	06/04/20	42.09	...	-17.09	7 of 17 - Sale ²⁵
	66.000	329.98	06/04/20	338.90	...	-8.92	8 of 17 - Sale ²⁵
	1.000	5.00	06/04/20	10.38	...	-5.38	9 of 17 - Sale ²⁵
	9.000	45.00	06/04/20	44.87	...	0.13	10 of 17 - Sale ²⁵
	25.000	124.99	06/04/20	124.65	...	0.34	11 of 17 - Sale ²⁵
	49.000	244.99	06/05/20	482.19	...	-237.20	12 of 17 - Sale ²⁵
	33.000	164.99	06/05/20	335.17	...	-170.18	13 of 17 - Sale ²⁵
	15.000	74.99	06/05/20	151.91	...	-76.92	14 of 17 - Sale ²⁵
	1.000	4.72	06/05/20	10.16	...	-5.44	15 of 17 - Sale ²⁵
	1.000	5.00	06/05/20	10.04	...	-5.04	16 of 17 - Sale ²⁵
	1.000	5.00	06/05/20	9.76	...	-4.76	17 of 17 - Sale ²⁵
06/08/20	300.000	1,499.64	Various	2,282.63	...	-782.99	Total of 17 transactions
2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	41.000	205.00	06/04/20	228.70	23.70 W	0.00	1 of 2 - Sale ²⁵
	9.000	45.00	06/04/20	90.34	45.34 W	0.00	2 of 2 - Sale ²⁵
06/08/20	50.000	250.00	Various	319.04	69.04 W	0.00	Total of 2 transactions
5 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	20.000	79.43	06/11/20	163.78	...	-84.35	1 of 5 - Sale ²⁵
	14.000	55.60	06/11/20	113.95	...	-58.35	2 of 5 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol: (cont'd)							
	9.000	35.74	06/11/20	72.67	...	-36.93	3 of 5 - Sale ²⁵
	6.000	23.83	06/11/20	49.86	...	-26.03	4 of 5 - Sale ²⁵
	1.000	3.97	06/11/20	7.51	...	-3.54	5 of 5 - Sale ²⁵
06/12/20	50.000	198.57	Various	407.77	...	-209.20	Total of 5 transactions
	6 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	50.000	177.99	06/19/20	417.72	...	-239.73	1 of 6 - Sale ²⁵
	28.000	99.68	06/19/20	196.78	...	-97.10	2 of 6 - Sale ²⁵
	11.000	39.16	06/19/20	92.94	...	-53.78	3 of 6 - Sale ²⁵
	9.000	32.04	06/19/20	76.04	...	-44.00	4 of 6 - Sale ²⁵
	1.000	3.56	06/19/20	8.62	...	-5.06	5 of 6 - Sale ²⁵
	1.000	3.56	06/19/20	8.42	...	-4.86	6 of 6 - Sale ²⁵
06/22/20	100.000	355.99	Various	800.52	...	-444.53	Total of 6 transactions
	2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	9.000	28.17	06/24/20	73.77	...	-45.60	1 of 2 - Sale ²⁵
	41.000	128.34	06/24/20	153.21	...	-24.87	2 of 2 - Sale ²⁵
06/24/20	50.000	156.51	Various	226.98	...	-70.47	Total of 2 transactions
	Security total:	4,955.65		10,162.35	3,699.51 W	-1,507.19	
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
	10 transactions for 03/26/20. Total proceeds and cost reported to the IRS.						
	15.000	32.25	03/25/20	20.70	...	11.55	1 of 10 - Sale ²⁵
	17.000	36.55	03/25/20	21.08	...	15.47	2 of 10 - Sale ²⁵
	19.000	40.85	03/25/20	23.56	...	17.29	3 of 10 - Sale ²⁵
	31.000	66.64	03/25/20	38.44	...	28.20	4 of 10 - Sale ²⁵
	83.000	178.43	03/25/20	102.92	...	75.51	5 of 10 - Sale ²⁵
	100.000	214.98	03/25/20	124.00	...	90.98	6 of 10 - Sale ²⁵
	200.000	429.96	03/25/20	252.00	...	177.96	7 of 10 - Sale ²⁵
	150.000	322.47	03/25/20	104.51	...	217.96	8 of 10 - Sale ²⁵
	250.000	537.46	03/25/20	259.98	...	277.48	9 of 10 - Sale ²⁵
	350.000	752.44	03/25/20	227.15	...	525.29	10 of 10 - Sale ²⁵
03/26/20	1,215.000	2,612.03	Various	1,174.34	...	1,437.69	Total of 10 transactions
03/27/20	2.000	3.96	03/25/20	3.00	...	0.96	Sale ²⁵
03/27/20	100.000	197.99	03/26/20	236.67	38.68 W	0.00	Sale ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol: (cont'd)							
3 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	30.000	50.40	03/27/20	65.90	15.50 W	0.00	1 of 3 - Sale ²⁵
	70.000	117.59	03/27/20	153.78	36.19 W	0.00	2 of 3 - Sale ²⁵
	100.000	167.99	03/27/20	181.00	13.01 W	0.00	3 of 3 - Sale ²⁵
03/30/20	200.000	335.98	Various	400.68	64.70 W	0.00	Total of 3 transactions
5 transactions for 04/02/20. Total proceeds and cost reported to the IRS.							
	30.000	37.50	03/31/20	58.10	20.60 W	0.00	1 of 5 - Sale ²⁵
	70.000	87.49	03/31/20	135.58	48.09 W	0.00	2 of 5 - Sale ²⁵
	100.000	124.98	03/31/20	155.00	30.02 W	0.00	3 of 5 - Sale ²⁵
	50.000	62.49	03/31/20	71.00	8.51 W	0.00	4 of 5 - Sale ²⁵
	250.000	312.46	04/01/20	356.68	44.22 W	0.00	5 of 5 - Sale ²⁵
04/02/20	500.000	624.92	Various	776.36	151.44 W	0.00	Total of 5 transactions
3 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	30.000	36.05	04/06/20	57.80	21.75 W	0.00	1 of 3 - Sale ²⁵
	70.000	84.13	04/06/20	134.89	50.76 W	0.00	2 of 3 - Sale ²⁵
	25.000	30.05	04/06/20	38.51	8.46 W	0.00	3 of 3 - Sale ²⁵
04/07/20	125.000	150.23	Various	231.20	80.97 W	0.00	Total of 3 transactions
3 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	75.000	108.74	04/07/20	124.50	15.76 W	0.00	1 of 3 - Sale ²⁵
	50.000	72.49	04/07/20	76.51	4.02 W	0.00	2 of 3 - Sale ²⁵
	125.000	181.24	04/07/20	192.10	10.86 W	0.00	3 of 3 - Sale ²⁵
04/08/20	250.000	362.47	Various	393.11	30.64 W	0.00	Total of 3 transactions
5 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	30.000	65.99	04/08/20	65.85	...	0.14	1 of 5 - Sale ²⁵
	70.000	153.99	04/08/20	153.66	...	0.33	2 of 5 - Sale ²⁵
	25.000	54.99	04/08/20	45.21	...	9.78	3 of 5 - Sale ²⁵
	58.000	127.59	04/08/20	95.52	...	32.07	4 of 5 - Sale ²⁵
	67.000	147.39	04/08/20	110.34	...	37.05	5 of 5 - Sale ²⁵
04/09/20	250.000	549.95	Various	470.58	...	79.37	Total of 5 transactions
4 transactions for 04/13/20. Total proceeds and cost reported to the IRS.							
	75.000	155.24	04/09/20	189.51	34.27 W	0.00	1 of 4 - Sale ²⁵
	25.000	51.75	04/09/20	59.93	8.18 W	0.00	2 of 4 - Sale ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol: (cont'd)							
	25.000	51.75	04/09/20	59.76	8.01 W	0.00	3 of 4 - Sale ²⁵
	25.000	51.74	04/09/20	59.92	8.18 W	0.00	4 of 4 - Sale ²⁵
04/13/20	150.000	310.48	Various	369.12	58.64 W	0.00	Total of 4 transactions
	10 transactions for 04/20/20. Total proceeds and cost reported to the IRS.						
	50.000	84.99	04/17/20	115.35	30.36 W	0.00	1 of 10 - Sale ²⁵
	100.000	169.98	04/17/20	193.69	23.71 W	0.00	2 of 10 - Sale ²⁵
	2.000	3.40	04/17/20	4.61	1.21 W	0.00	3 of 10 - Sale ²⁵
	25.000	42.50	04/17/20	54.43	11.93 W	0.00	4 of 10 - Sale ²⁵
	23.000	39.10	04/17/20	54.13	15.03 W	0.00	5 of 10 - Sale ²⁵
	25.000	42.50	04/17/20	51.67	9.17 W	0.00	6 of 10 - Sale ²⁵
	25.000	42.50	04/17/20	51.84	9.34 W	0.00	7 of 10 - Sale ²⁵
	227.000	385.86	04/17/20	396.46	10.60 W	0.00	8 of 10 - Sale ²⁵
	200.000	339.96	04/17/20	349.28	9.32 W	0.00	9 of 10 - Sale ²⁵
	23.000	39.10	04/17/20	53.06	13.96 W	0.00	10 of 10 - Sale ²⁵
04/20/20	700.000	1,189.89	Various	1,324.52	134.63 W	0.00	Total of 10 transactions
	9 transactions for 04/21/20. Total proceeds and cost reported to the IRS.						
	23.000	37.95	04/20/20	54.59	16.64 W	0.00	1 of 9 - Sale ²⁵
	2.000	3.30	04/20/20	4.65	1.35 W	0.00	2 of 9 - Sale ²⁵
	50.000	82.51	04/20/20	116.36	33.85 W	0.00	3 of 9 - Sale ²⁵
	25.000	41.25	04/20/20	54.93	13.68 W	0.00	4 of 9 - Sale ²⁵
	25.000	41.25	04/20/20	52.17	10.92 W	0.00	5 of 9 - Sale ²⁵
	25.000	41.25	04/20/20	52.34	11.09 W	0.00	6 of 9 - Sale ²⁵
	100.000	164.98	04/20/20	195.71	30.73 W	0.00	7 of 9 - Sale ²⁵
	23.000	37.95	04/20/20	40.63	2.68 W	0.00	8 of 9 - Sale ²⁵
	227.000	374.50	04/20/20	401.04	26.54 W	0.00	9 of 9 - Sale ²⁵
04/21/20	500.000	824.94	Various	972.42	147.48 W	0.00	Total of 9 transactions
	5 transactions for 04/29/20. Total proceeds and cost reported to the IRS.						
	50.000	91.99	04/28/20	125.60	...	-33.61	1 of 5 - Sale ²⁵
	25.000	45.99	04/28/20	59.56	...	-13.57	2 of 5 - Sale ²⁵
	23.000	42.32	04/28/20	52.25	...	-9.93	3 of 5 - Sale ²⁵
	77.000	141.67	04/28/20	145.27	...	-3.60	4 of 5 - Sale ²⁵
	2.000	3.68	04/28/20	5.02	...	-1.34	5 of 5 - Sale ²⁵
04/29/20	177.000	325.65	Various	387.70	...	-62.05	Total of 5 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol: (cont'd)							
3 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	79.000	145.35	04/28/20	148.65	3.30 W	0.00	1 of 3 - Sale ²⁵
	21.000	38.64	04/28/20	39.51	0.87 W	0.00	2 of 3 - Sale ²⁵
	23.000	42.32	04/28/20	58.96	16.64 W	0.00	3 of 3 - Sale ²⁵
04/29/20	123.000	226.31	Various	247.12	20.81 W	0.00	Total of 3 transactions
4 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	2.000	3.18	05/11/20	4.00	0.82 W	0.00	1 of 4 - Sale ²⁵
	25.000	39.75	05/11/20	50.22	10.47 W	0.00	2 of 4 - Sale ²⁵
	100.000	158.98	05/11/20	187.23	28.25 W	0.00	3 of 4 - Sale ²⁵
	13.000	20.67	05/11/20	21.86	1.19 W	0.00	4 of 4 - Sale ²⁵
05/12/20	140.000	222.58	Various	263.31	40.73 W	0.00	Total of 4 transactions
05/14/20	200.000	278.64	05/13/20	311.18	32.54 W	0.00	Sale ²⁵
5 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	13.000	19.63	05/14/20	29.52	9.89 W	0.00	1 of 5 - Sale ²⁵
	14.000	21.14	05/14/20	23.30	2.16 W	0.00	2 of 5 - Sale ²⁵
	21.000	31.71	05/14/20	33.35	1.64 W	0.00	3 of 5 - Sale ²⁵
	23.000	34.73	05/14/20	38.26	3.53 W	0.00	4 of 5 - Sale ²⁵
	79.000	119.27	05/14/20	125.49	6.22 W	0.00	5 of 5 - Sale ²⁵
05/15/20	150.000	226.48	Various	249.92	23.44 W	0.00	Total of 5 transactions
05/19/20	10.000	15.73	05/18/20	22.93	7.20 W	0.00	Sale ²⁵
12 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	2.000	6.00	06/04/20	4.67	...	1.33	1 of 12 - Sale ²⁵
	4.000	12.00	06/04/20	9.38	...	2.62	2 of 12 - Sale ²⁵
	14.000	42.00	06/04/20	34.22	...	7.78	3 of 12 - Sale ²⁵
	10.000	30.00	06/04/20	20.90	...	9.10	4 of 12 - Sale ²⁵
	13.000	39.00	06/04/20	26.24	...	12.76	5 of 12 - Sale ²⁵
	23.000	68.99	06/04/20	56.20	...	12.79	6 of 12 - Sale ²⁵
	21.000	63.00	06/04/20	49.26	...	13.74	7 of 12 - Sale ²⁵
	40.000	119.99	06/04/20	98.11	...	21.88	8 of 12 - Sale ²⁵
	50.000	149.99	06/04/20	114.62	...	35.37	9 of 12 - Sale ²⁵
	60.000	179.99	06/04/20	142.12	...	37.87	10 of 12 - Sale ²⁵
	100.000	299.98	06/04/20	220.94	...	79.04	11 of 12 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol: (cont'd)							
	100.000	299.98	06/04/20	220.93	...	79.05	12 of 12 - Sale ²⁵
06/05/20	437.000	1,310.92	Various	997.59	...	313.33	Total of 12 transactions
06/05/20	13.000	39.00	06/04/20	39.66	0.66 W	0.00	Sale ²⁵
6 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	13.000	41.99	06/05/20	40.44	...	1.55	1 of 6 - Sale ²⁵
	19.000	61.37	06/05/20	59.64	...	1.73	2 of 6 - Sale ²⁵
	21.000	67.83	06/05/20	65.90	...	1.93	3 of 6 - Sale ²⁵
	100.000	322.97	06/05/20	320.50	...	2.47	4 of 6 - Sale ²⁵
	87.000	280.99	06/05/20	266.22	...	14.77	5 of 6 - Sale ²⁵
	100.000	322.98	06/05/20	306.67	...	16.31	6 of 6 - Sale ²⁵
06/08/20	340.000	1,098.13	Various	1,059.37	...	38.76	Total of 6 transactions
06/08/20	10.000	32.30	06/05/20	37.80	5.50 W	0.00	Sale ²⁵
3 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	10.000	31.60	06/09/20	40.67	9.07 W	0.00	1 of 3 - Sale ²⁵
	73.000	230.67	06/09/20	256.72	26.05 W	0.00	2 of 3 - Sale ²⁵
	17.000	53.72	06/09/20	59.79	6.07 W	0.00	3 of 3 - Sale ²⁵
06/10/20	100.000	315.99	Various	357.18	41.19 W	0.00	Total of 3 transactions
06/16/20	50.000	140.49	06/15/20	133.34	...	7.15	Sale ²⁵
3 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	10.000	28.10	06/15/20	35.74	7.64 W	0.00	1 of 3 - Sale ²⁵
	17.000	47.77	06/15/20	51.40	3.63 W	0.00	2 of 3 - Sale ²⁵
	73.000	205.12	06/15/20	220.73	15.61 W	0.00	3 of 3 - Sale ²⁵
06/16/20	100.000	280.99	Various	307.87	26.88 W	0.00	Total of 3 transactions
3 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	10.000	26.13	06/18/20	34.31	8.18 W	0.00	1 of 3 - Sale ²⁵
	17.000	44.42	06/18/20	48.97	4.55 W	0.00	2 of 3 - Sale ²⁵
	73.000	190.76	06/18/20	210.28	19.52 W	0.00	3 of 3 - Sale ²⁵
06/19/20	100.000	261.31	Various	293.56	32.25 W	0.00	Total of 3 transactions
4 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	73.000	176.91	06/30/20	199.10	...	-22.19	1 of 4 - Sale ²⁵
	10.000	24.24	06/30/20	32.78	...	-8.54	2 of 4 - Sale ²⁵
	17.000	41.20	06/30/20	46.37	...	-5.17	3 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol: (cont'd)							
	100.000	242.35	06/30/20	246.00	...	-3.65	4 of 4 - Sale ²⁵
06/30/20	200.000	484.70	Various	524.25	...	-39.55	Total of 4 transactions
	Security total:	12,422.06		11,584.78	938.38 W	1,775.66	
MGM RESORTS INTERNATIONAL / CUSIP: 552953101 / Symbol:							
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	15.000	255.07	05/22/20	237.00	...	18.07	1 of 2 - Sale ²⁵
	25.000	425.11	05/22/20	394.25	...	30.86	2 of 2 - Sale ²⁵
05/26/20	40.000	680.18	Various	631.25	...	48.93	Total of 2 transactions
2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	12.000	217.80	05/27/20	227.76	9.96 W	0.00	1 of 2 - Sale ²⁵
	3.000	54.45	05/27/20	56.94	2.49 W	0.00	2 of 2 - Sale ²⁵
05/28/20	15.000	272.25	Various	284.70	12.45 W	0.00	Total of 2 transactions
06/02/20	30.000	523.24	06/02/20	542.70	...	-19.46	Sale ²⁵
4 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	3.000	52.32	06/01/20	56.25	3.93 W	0.00	1 of 4 - Sale ²⁵
	12.000	209.29	06/01/20	225.00	15.71 W	0.00	2 of 4 - Sale ²⁵
	10.000	174.41	06/01/20	179.20	4.79 W	0.00	3 of 4 - Sale ²⁵
	45.000	784.85	06/02/20	812.70	9.28 W	-18.57	4 of 4 - Sale ²⁵
06/02/20	70.000	1,220.87	Various	1,273.15	33.71 W	-18.57	Total of 4 transactions
3 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	12.000	269.99	06/04/20	273.35	...	-3.36	1 of 3 - Sale ²⁵
	3.000	67.50	06/04/20	68.34	...	-0.84	2 of 3 - Sale ²⁵
	10.000	224.99	06/04/20	219.49	...	5.50	3 of 3 - Sale ²⁵
06/05/20	25.000	562.48	Various	561.18	...	1.30	Total of 3 transactions
4 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.000	20.65	06/15/20	19.30	...	1.35	1 of 4 - Sale ²⁵
	1.000	20.65	06/15/20	19.30	...	1.35	2 of 4 - Sale ²⁵
	3.000	61.95	06/15/20	57.89	...	4.06	3 of 4 - Sale ²⁵
	10.000	206.50	06/15/20	192.97	...	13.53	4 of 4 - Sale ²⁵
06/16/20	15.000	309.75	Various	289.46	...	20.29	Total of 4 transactions
	Security total:	3,568.77		3,582.44	46.16 W	32.49	

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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MACY'S INC. / CUSIP: 55616P104 / Symbol:							
2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	12.000	92.76	06/03/20	95.04	...	-2.28	1 of 2 - Sale ²⁵
	1.000	7.73	06/03/20	8.00	...	-0.27	2 of 2 - Sale ²⁵
06/04/20	13.000	100.49	Various	103.04	...	-2.55	Total of 2 transactions
06/04/20	22.000	170.06	06/03/20	174.24	0.19 W	-3.99	Sale ²⁵
	Security total:	270.55		277.28	0.19 W	-6.54	
MARATHON OIL CORPORATION / CUSIP: 565849106 / Symbol:							
03/25/20	100.000	369.99	03/24/20	368.00	...	1.99	Sale ²⁵
MARKER THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 57055L107 / Symbol:							
2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	125.000	331.23	04/30/20	363.75	...	-32.52	1 of 2 - Sale ²⁵
	25.000	66.25	04/30/20	73.75	...	-7.50	2 of 2 - Sale ²⁵
05/01/20	150.000	397.48	Various	437.50	...	-40.02	Total of 2 transactions
MATINAS BIOPHARMA HOLDINGS, IN C. / CUSIP: 576810105 / Symbol:							
02/04/20	100.000	138.99	02/03/20	139.00	0.01 W	0.00	Sale ²⁵
02/07/20	50.000	68.50	02/06/20	69.43	0.93 W	0.00	Sale ²⁵
2 transactions for 03/05/20. Total proceeds and cost reported to the IRS.							
	50.000	54.99	03/03/20	57.43	2.44 W	0.00	1 of 2 - Sale ²⁵
	150.000	164.99	03/03/20	169.50	4.51 W	0.00	2 of 2 - Sale ²⁵
03/05/20	200.000	219.98	Various	226.93	6.95 W	0.00	Total of 2 transactions
3 transactions for 04/06/20. Total proceeds and cost reported to the IRS.							
	50.000	26.04	04/03/20	31.44	5.40 W	0.00	1 of 3 - Sale ²⁵
	150.000	78.13	04/03/20	91.49	13.36 W	0.00	2 of 3 - Sale ²⁵
	300.000	156.27	04/03/20	173.97	17.70 W	0.00	3 of 3 - Sale ²⁵
04/06/20	500.000	260.44	Various	296.90	36.46 W	0.00	Total of 3 transactions
3 transactions for 04/15/20. Total proceeds and cost reported to the IRS.							
	50.000	37.39	04/14/20	49.15	11.76 W	0.00	1 of 3 - Sale ²⁵
	150.000	112.19	04/14/20	144.61	32.42 W	0.00	2 of 3 - Sale ²⁵
	150.000	112.18	04/14/20	140.10	27.92 W	0.00	3 of 3 - Sale ²⁵
04/15/20	350.000	261.76	Various	333.86	72.10 W	0.00	Total of 3 transactions

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(continued)

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MATINAS BIOPHARMA HOLDINGS, IN C. / CUSIP: 576810105 / Symbol: (cont'd)							
3 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	50.000	34.49	04/23/20	47.26	12.77 W	0.00	1 of 3 - Sale ²⁵
	100.000	68.99	04/23/20	92.61	23.62 W	0.00	2 of 3 - Sale ²⁵
	150.000	103.48	04/23/20	115.35	11.87 W	0.00	3 of 3 - Sale ²⁵
04/24/20	300.000	206.96	Various	255.22	48.26 W	0.00	Total of 3 transactions
2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	150.000	115.71	04/30/20	145.66	...	-29.95	1 of 2 - Sale ²⁵
	150.000	115.70	04/30/20	129.61	...	-13.91	2 of 2 - Sale ²⁵
05/01/20	300.000	231.41	Various	275.27	...	-43.86	Total of 2 transactions
3 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	50.000	38.57	04/30/20	52.01	13.44 W	0.00	1 of 3 - Sale ²⁵
	100.000	77.14	04/30/20	102.11	24.97 W	0.00	2 of 3 - Sale ²⁵
	50.000	38.57	04/30/20	50.05	11.48 W	0.00	3 of 3 - Sale ²⁵
05/01/20	200.000	154.28	Various	204.17	49.89 W	0.00	Total of 3 transactions
4 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	100.000	70.49	05/13/20	104.97	...	-34.48	1 of 4 - Sale ²⁵
	45.000	31.72	05/13/20	48.10	...	-16.38	2 of 4 - Sale ²⁵
	50.000	35.25	05/13/20	51.48	...	-16.23	3 of 4 - Sale ²⁵
	5.000	3.54	05/13/20	5.34	...	-1.80	4 of 4 - Sale ²⁵
05/14/20	200.000	141.00	Various	209.89	...	-68.89	Total of 4 transactions
	Security total:	1,683.32		2,010.67	214.60 W	-112.75	
MCCORMICK & COMPANY, INCORPORATED NON-VTG CS / CUSIP: 579780206 / Symbol:							
3 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	8.000	1,420.77	06/29/20	1,423.39	...	-2.62	1 of 3 - Sale ²⁵
	1.000	177.60	06/29/20	177.49	...	0.11	2 of 3 - Sale ²⁵
	1.000	181.04	06/29/20	177.92	...	3.12	3 of 3 - Sale ²⁵
06/29/20	10.000	1,779.41	Various	1,778.80	...	0.61	Total of 3 transactions
MECHEL PAO AMERICAN DEPOSITORY SHARES, EACH REPRESENTING TWO ORDINARY SHARES (ADSS) / CUSIP: 583840608 / Symbol:							
03/05/20	150.000	403.66	03/04/20	417.00	13.34 W	0.00	Sale ²⁵
2 transactions for 03/11/20. Total proceeds and cost reported to the IRS.							
	150.000	289.49	03/10/20	334.19	...	-44.70	1 of 2 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MECHEL PAO AMERICAN DEPOSITORY SHARES, EACH REPRESENTING TWO ORDINARY SHARES (ADSS) / CUSIP: 583840608 / Symbol: (cont'd)							
	50.000	96.49	03/10/20	106.95	...	-10.46	2 of 2 - Sale ²⁵
03/11/20	200.000	385.98	Various	441.14	...	-55.16	Total of 2 transactions
	Security total:	789.64		858.14	13.34 W	-55.16	
MEDICAL PROPERTIES TRUST, INC. / CUSIP: 58463J304 / Symbol:							
3 transactions for 04/03/20. Total proceeds and cost reported to the IRS.							
	17.000	251.09	04/02/20	263.84	...	-12.75	1 of 3 - Sale ²⁵
	10.000	148.50	04/02/20	155.20	...	-6.70	2 of 3 - Sale ²⁵
	3.000	44.70	04/02/20	46.56	...	-1.86	3 of 3 - Sale ²⁵
04/03/20	30.000	444.29	Various	465.60	...	-21.31	Total of 3 transactions
MENLO THERAPEUTICS INC. COMMON STOCK / CUSIP: 586858102 / Symbol:							
2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	150.000	302.97	06/12/20	306.75	...	-3.78	1 of 2 - Sale ²⁵
	100.000	201.98	06/12/20	201.50	...	0.48	2 of 2 - Sale ²⁵
06/15/20	250.000	504.95	Various	508.25	...	-3.30	Total of 2 transactions
THE MICHAELS COMPANIES, INC. C OMMON STOCK / CUSIP: 59408Q106 / Symbol:							
03/30/20	150.000	289.48	03/27/20	338.99	...	-49.51	Sale ²⁵
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
2 transactions for 02/06/20. Total proceeds and cost reported to the IRS.							
	1.000	181.92	02/05/20	184.09	2.17 W	0.00	1 of 2 - Sale ²⁵
	1.000	181.91	02/05/20	183.98	2.07 W	0.00	2 of 2 - Sale ²⁵
02/06/20	2.000	363.83	Various	368.07	4.24 W	0.00	Total of 2 transactions
2 transactions for 02/10/20. Total proceeds and cost reported to the IRS.							
	1.000	186.61	02/07/20	185.19	...	1.42	1 of 2 - Sale ²⁵
	2.000	373.62	02/07/20	366.24	...	7.38	2 of 2 - Sale ²⁵
02/10/20	3.000	560.23	Various	551.43	...	8.80	Total of 2 transactions
02/10/20	1.000	185.16	02/07/20	185.29	0.13 W	0.00	Sale ²⁵
3 transactions for 02/12/20. Total proceeds and cost reported to the IRS.							
	1.000	182.50	02/07/20	183.12	0.62 W	0.00	1 of 3 - Sale ²⁵
	1.000	183.84	02/11/20	184.85	1.01 W	0.00	2 of 3 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
	1.000	183.71	02/11/20	185.27	1.56 W	0.00	3 of 3 - Sale ²⁵
02/12/20	3.000	550.05	Various	553.24	3.19 W	0.00	Total of 3 transactions
	3 transactions for 02/19/20. Total proceeds and cost reported to the IRS.						
	1.000	187.89	02/18/20	187.80	...	0.09	1 of 3 - Sale ²⁵
	1.000	187.90	02/18/20	187.24	...	0.66	2 of 3 - Sale ²⁵
	1.000	187.89	02/18/20	186.23	...	1.66	3 of 3 - Sale ²⁵
02/19/20	3.000	563.68	Various	561.27	...	2.41	Total of 3 transactions
02/21/20	2.000	360.11	02/20/20	365.02	4.91 W	0.00	Sale ²⁵
02/25/20	10.000	1,732.08	02/24/20	1,729.85	...	2.23	Sale ²⁵
02/25/20	2.000	347.20	02/24/20	350.88	3.68 W	0.00	Sale ²⁵
	3 transactions for 02/26/20. Total proceeds and cost reported to the IRS.						
	2.000	336.01	02/25/20	346.29	10.28 W	0.00	1 of 3 - Sale ²⁵
	3.000	504.02	02/25/20	513.91	9.89 W	0.00	2 of 3 - Sale ²⁵
	5.000	840.33	02/25/20	856.51	16.18 W	0.00	3 of 3 - Sale ²⁵
02/26/20	10.000	1,680.36	Various	1,716.71	36.35 W	0.00	Total of 3 transactions
	4 transactions for 03/16/20. Total proceeds and cost reported to the IRS.						
	2.000	280.99	03/13/20	303.16	22.17 W	0.00	1 of 4 - Sale ²⁵
	3.000	421.49	03/13/20	449.21	27.72 W	0.00	2 of 4 - Sale ²⁵
	1.000	140.50	03/13/20	149.68	9.18 W	0.00	3 of 4 - Sale ²⁵
	4.000	561.98	03/13/20	598.70	36.72 W	0.00	4 of 4 - Sale ²⁵
03/16/20	10.000	1,404.96	Various	1,500.75	95.79 W	0.00	Total of 4 transactions
	2 transactions for 03/18/20. Total proceeds and cost reported to the IRS.						
	4.000	552.06	03/17/20	591.04	...	-38.98	1 of 2 - Sale ²⁵
	1.000	138.02	03/17/20	147.76	...	-9.74	2 of 2 - Sale ²⁵
03/18/20	5.000	690.08	Various	738.80	...	-48.72	Total of 2 transactions
	2 transactions for 03/18/20. Total proceeds and cost reported to the IRS.						
	2.000	276.03	03/17/20	299.33	23.30 W	0.00	1 of 2 - Sale ²⁵
	3.000	414.05	03/17/20	443.46	29.41 W	0.00	2 of 2 - Sale ²⁵
03/18/20	5.000	690.08	Various	742.79	52.71 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
2 transactions for 04/02/20. Total proceeds and cost reported to the IRS.							
	3.000	452.96	04/01/20	493.75	...	-40.79	1 of 2 - Sale ²⁵
	2.000	301.97	04/01/20	332.86	...	-30.89	2 of 2 - Sale ²⁵
04/02/20	5.000	754.93	Various	826.61	...	-71.68	Total of 2 transactions
	Security total:	9,882.75		10,190.71	201.00 W	-106.96	
MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol:							
2 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	1.000	0.80	05/12/20	1.02	0.22 W	0.00	1 of 2 - Sale ²⁵
	4.000	3.21	05/12/20	4.36	1.15 W	0.00	2 of 2 - Sale ²⁵
05/13/20	5.000	4.01	Various	5.38	1.37 W	0.00	Total of 2 transactions
3 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	4.000	4.40	06/12/20	5.49	...	-1.09	1 of 3 - Sale ²⁵
	1.000	1.10	06/12/20	1.31	...	-0.21	2 of 3 - Sale ²⁵
	95.000	104.49	06/12/20	103.07	...	1.42	3 of 3 - Sale ²⁵
06/15/20	100.000	109.99	Various	109.87	...	0.12	Total of 3 transactions
	Security total:	114.00		115.25	1.37 W	0.12	
MILESTONE PHARMACEUTICALS INC. COMMON SHARES / CUSIP: 59935V107 / Symbol:							
2 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	6.000	13.32	03/24/20	14.76	1.44 W	0.00	1 of 2 - Sale ²⁵
	1.000	2.22	03/24/20	2.47	0.25 W	0.00	2 of 2 - Sale ²⁵
03/25/20	7.000	15.54	Various	17.23	1.69 W	0.00	Total of 2 transactions
4 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	93.000	177.62	03/25/20	226.92	...	-49.30	1 of 4 - Sale ²⁵
	50.000	95.49	03/25/20	122.00	...	-26.51	2 of 4 - Sale ²⁵
	6.000	11.46	03/25/20	16.08	...	-4.62	3 of 4 - Sale ²⁵
	1.000	1.91	03/25/20	2.69	...	-0.78	4 of 4 - Sale ²⁵
03/26/20	150.000	286.48	Various	367.69	...	-81.21	Total of 4 transactions
	Security total:	302.02		384.92	1.69 W	-81.21	

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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MITCHAM INDUSTRIES, INC. COMMO N STOCK / CUSIP: 606501104 / Symbol:							
05/27/20	85.000	140.24	05/26/20	146.63	6.39 W	0.00	Sale ²⁵
	<i>4 transactions for 06/16/20. Total proceeds and cost reported to the IRS.</i>						
	50.000	116.50	06/15/20	115.00	...	1.50	1 of 4 - Sale ²⁵
	15.000	34.95	06/15/20	30.00	...	4.95	2 of 4 - Sale ²⁵
	85.000	198.04	06/15/20	176.39	...	21.65	3 of 4 - Sale ²⁵
	100.000	232.99	06/15/20	200.00	...	32.99	4 of 4 - Sale ²⁵
06/16/20	250.000	582.48	Various	521.39	...	61.09	Total of 4 transactions
	Security total:	722.72		668.02	6.39 W	61.09	
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
03/09/20	20.000	555.79	03/02/20	535.80	...	19.99	Sale ²⁵
03/09/20	10.000	277.89	03/04/20	284.69	6.80 W	0.00	Sale ²⁵
	<i>2 transactions for 03/17/20. Total proceeds and cost reported to the IRS.</i>						
	10.000	304.69	03/16/20	232.80	...	71.89	1 of 2 - Sale ²⁵
	15.000	457.04	03/16/20	339.00	...	118.04	2 of 2 - Sale ²⁵
03/17/20	25.000	761.73	Various	571.80	...	189.93	Total of 2 transactions
	<i>2 transactions for 03/19/20. Total proceeds and cost reported to the IRS.</i>						
	15.000	478.65	03/18/20	468.80	...	9.85	1 of 2 - Sale ²⁵
	20.000	638.19	03/18/20	597.84	...	40.35	2 of 2 - Sale ²⁵
03/19/20	35.000	1,116.84	Various	1,066.64	...	50.20	Total of 2 transactions
	<i>3 transactions for 03/30/20. Total proceeds and cost reported to the IRS.</i>						
	20.000	608.09	03/27/20	619.80	11.71 W	0.00	1 of 3 - Sale ²⁵
	2.000	60.81	03/27/20	61.92	1.11 W	0.00	2 of 3 - Sale ²⁵
	1.000	30.40	03/27/20	30.89	0.49 W	0.00	3 of 3 - Sale ²⁵
03/30/20	23.000	699.30	Various	712.61	13.31 W	0.00	Total of 3 transactions
	<i>2 transactions for 04/20/20. Total proceeds and cost reported to the IRS.</i>						
	6.000	269.46	04/17/20	280.47	11.01 W	0.00	1 of 2 - Sale ²⁵
	4.000	179.64	04/17/20	187.06	7.42 W	0.00	2 of 2 - Sale ²⁵
04/20/20	10.000	449.10	Various	467.53	18.43 W	0.00	Total of 2 transactions
	<i>3 transactions for 04/21/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	51.90	04/20/20	51.24	...	0.66	1 of 3 - Sale ²⁵
	2.000	103.79	04/20/20	102.61	...	1.18	2 of 3 - Sale ²⁵

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(continued)

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MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
	10.000	518.99	04/20/20	474.36	...	44.63	3 of 3 - Sale ²⁵
04/21/20	13.000	674.68	Various	628.21	...	46.47	Total of 3 transactions
04/21/20	2.000	103.80	04/20/20	105.16	1.36 W	0.00	Sale ²⁵
	3 transactions for 05/04/20. Total proceeds and cost reported to the IRS.						
	2.000	97.74	05/01/20	99.26	1.52 W	0.00	1 of 3 - Sale ²⁵
	4.000	195.48	05/01/20	203.14	7.66 W	0.00	2 of 3 - Sale ²⁵
	4.000	195.49	05/01/20	203.22	7.73 W	0.00	3 of 3 - Sale ²⁵
05/04/20	10.000	488.71	Various	505.62	16.91 W	0.00	Total of 3 transactions
	3 transactions for 05/14/20. Total proceeds and cost reported to the IRS.						
	2.000	126.17	05/13/20	128.32	2.15 W	0.00	1 of 3 - Sale ²⁵
	4.000	252.33	05/13/20	261.26	8.93 W	0.00	2 of 3 - Sale ²⁵
	4.000	252.33	05/13/20	261.33	9.00 W	0.00	3 of 3 - Sale ²⁵
05/14/20	10.000	630.83	Various	650.91	20.08 W	0.00	Total of 3 transactions
	4 transactions for 05/21/20. Total proceeds and cost reported to the IRS.						
	2.000	145.26	05/19/20	138.65	...	6.61	1 of 4 - Sale ²⁵
	4.000	290.51	05/19/20	282.00	...	8.51	2 of 4 - Sale ²⁵
	4.000	290.51	05/19/20	281.93	...	8.58	3 of 4 - Sale ²⁵
	12.000	871.54	05/19/20	819.00	...	52.54	4 of 4 - Sale ²⁵
05/21/20	22.000	1,597.82	Various	1,521.58	...	76.24	Total of 4 transactions
	2 transactions for 05/21/20. Total proceeds and cost reported to the IRS.						
	8.000	581.03	05/20/20	592.24	11.21 W	0.00	1 of 2 - Sale ²⁵
	5.000	363.14	05/20/20	370.05	6.91 W	0.00	2 of 2 - Sale ²⁵
05/21/20	13.000	944.17	Various	962.29	18.12 W	0.00	Total of 2 transactions
	8 transactions for 05/26/20. Total proceeds and cost reported to the IRS.						
	1.000	67.65	05/22/20	71.23	3.58 W	0.00	1 of 8 - Sale ²⁵
	2.000	135.30	05/22/20	142.46	7.16 W	0.00	2 of 8 - Sale ²⁵
	2.000	135.29	05/22/20	142.46	7.17 W	0.00	3 of 8 - Sale ²⁵
	5.000	338.24	05/22/20	356.26	18.02 W	0.00	4 of 8 - Sale ²⁵
	1.000	67.65	05/22/20	73.58	5.93 W	0.00	5 of 8 - Sale ²⁵
	1.000	67.55	05/22/20	73.58	6.03 W	0.00	6 of 8 - Sale ²⁵
	2.000	135.10	05/22/20	142.77	7.67 W	0.00	7 of 8 - Sale ²⁵
	1.000	66.55	05/22/20	71.38	4.83 W	0.00	8 of 8 - Sale ²⁵
05/26/20	15.000	1,013.33	Various	1,073.72	60.39 W	0.00	Total of 8 transactions

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MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
3 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	1.000	53.35	05/27/20	53.01	...	0.34	1 of 3 - Sale ²⁵
	2.000	106.69	05/27/20	106.03	...	0.66	2 of 3 - Sale ²⁵
	5.000	266.74	05/27/20	265.17	...	1.57	3 of 3 - Sale ²⁵
05/28/20	8.000	426.78	Various	424.21	...	2.57	Total of 3 transactions
2 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	1.000	53.35	05/27/20	55.36	2.01 W	0.00	1 of 2 - Sale ²⁵
	1.000	53.35	05/27/20	55.46	2.11 W	0.00	2 of 2 - Sale ²⁵
05/28/20	2.000	106.70	Various	110.82	4.12 W	0.00	Total of 2 transactions
6 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	2.000	122.33	05/28/20	121.37	...	0.96	1 of 6 - Sale ²⁵
	1.000	61.17	05/28/20	58.96	...	2.21	2 of 6 - Sale ²⁵
	1.000	61.17	05/28/20	58.86	...	2.31	3 of 6 - Sale ²⁵
	5.000	305.83	05/28/20	284.25	...	21.58	4 of 6 - Sale ²⁵
	1.000	61.19	05/29/20	57.70	...	3.49	5 of 6 - Sale ²⁵
	4.000	244.75	05/29/20	228.72	...	16.03	6 of 6 - Sale ²⁵
06/01/20	14.000	856.44	Various	809.86	...	46.58	Total of 6 transactions
06/01/20	1.000	61.16	05/28/20	61.68	0.52 W	0.00	Sale ²⁵
2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	5.000	306.83	06/10/20	301.46	...	5.37	1 of 2 - Sale ²⁵
	10.000	613.65	06/10/20	591.39	...	22.26	2 of 2 - Sale ²⁵
06/12/20	15.000	920.48	Various	892.85	...	27.63	Total of 2 transactions
2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	10.000	613.65	06/11/20	628.00	14.35 W	0.00	1 of 2 - Sale ²⁵
	25.000	1,534.13	06/11/20	1,624.56	90.43 W	0.00	2 of 2 - Sale ²⁵
06/12/20	35.000	2,147.78	Various	2,252.56	104.78 W	0.00	Total of 2 transactions
2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	5.000	315.59	06/17/20	345.94	30.35 W	0.00	1 of 2 - Sale ²⁵
	10.000	631.18	06/17/20	670.05	38.87 W	0.00	2 of 2 - Sale ²⁵
06/18/20	15.000	946.77	Various	1,015.99	69.22 W	0.00	Total of 2 transactions
06/19/20	15.000	965.60	06/18/20	1,030.86	65.26 W	0.00	Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
4 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	10.000	656.99	06/19/20	697.87	40.88 W	0.00	1 of 4 - Sale ²⁵
	5.000	328.49	06/19/20	347.58	19.09 W	0.00	2 of 4 - Sale ²⁵
	5.000	328.50	06/19/20	359.85	31.35 W	0.00	3 of 4 - Sale ²⁵
	5.000	328.49	06/19/20	351.25	22.76 W	0.00	4 of 4 - Sale ²⁵
06/22/20	25.000	1,642.47	Various	1,756.55	114.08 W	0.00	Total of 4 transactions
06/30/20	10.000	633.22	06/30/20	685.54	...	-52.32	Sale ²⁵
3 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	5.000	316.61	06/30/20	352.36	35.75 W	0.00	1 of 3 - Sale ²⁵
	10.000	633.22	06/30/20	682.91	49.69 W	0.00	2 of 3 - Sale ²⁵
	5.000	316.61	06/30/20	340.11	23.50 W	0.00	3 of 3 - Sale ²⁵
06/30/20	20.000	1,266.44	Various	1,375.38	108.94 W	0.00	Total of 3 transactions
5 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	5.000	298.51	07/06/20	338.11	...	-39.60	1 of 5 - Sale ²⁵
	5.000	298.51	07/06/20	326.62	...	-28.11	2 of 5 - Sale ²⁵
	5.000	298.52	07/06/20	325.86	...	-27.34	3 of 5 - Sale ²⁵
	5.000	301.26	07/06/20	326.62	...	-25.36	4 of 5 - Sale ²⁵
	5.000	301.26	07/06/20	324.54	...	-23.28	5 of 5 - Sale ²⁵
07/06/20	25.000	1,498.06	Various	1,641.75	...	-143.69	Total of 5 transactions
	Security total:	20,785.89		21,144.61	622.32 W	263.60	
MOGU INC. / CUSIP: 608012100 / Symbol:							
05/29/20	250.000	361.22	05/28/20	392.50	6.26 W	-25.02	Sale ²⁵
06/03/20	50.000	75.00	06/02/20	79.01	...	-4.01	Sale ²⁵
6 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	92.000	267.70	07/06/20	293.53	...	-25.83	1 of 6 - Sale ²⁵
	58.000	168.77	07/06/20	185.63	...	-16.86	2 of 6 - Sale ²⁵
	100.000	290.98	07/06/20	302.02	...	-11.04	3 of 6 - Sale ²⁵
	250.000	727.45	07/06/20	730.00	...	-2.55	4 of 6 - Sale ²⁵
	1.000	2.76	07/06/20	2.65	...	0.11	5 of 6 - Sale ²⁵
	99.000	273.22	07/06/20	270.28	...	2.94	6 of 6 - Sale ²⁵
07/06/20	600.000	1,730.88	Various	1,784.11	...	-53.23	Total of 6 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MOGU INC. / CUSIP: 608012100 / Symbol: (cont'd)							
<i>7 transactions for 07/06/20. Total proceeds and cost reported to the IRS.</i>							
	191.000	488.94	07/06/20	506.15	17.21 W	0.00	1 of 7 - Sale ²⁵
	58.000	147.89	07/06/20	153.70	5.81 W	0.00	2 of 7 - Sale ²⁵
	58.000	160.07	07/06/20	172.85	12.78 W	0.00	3 of 7 - Sale ²⁵
	92.000	253.90	07/06/20	273.25	19.35 W	0.00	4 of 7 - Sale ²⁵
	58.000	162.39	07/06/20	178.66	16.27 W	0.00	5 of 7 - Sale ²⁵
	92.000	257.58	07/06/20	282.47	24.89 W	0.00	6 of 7 - Sale ²⁵
	100.000	279.98	07/06/20	290.00	10.02 W	0.00	7 of 7 - Sale ²⁵
07/06/20	649.000	1,750.75	Various	1,857.08	106.33 W	0.00	Total of 7 transactions
	Security total:	3,917.85		4,112.70	112.59 W	-82.26	
MOHAWK GROUP HOLDINGS, INC. CO MMON STOCK / CUSIP: 608189106 / Symbol:							
05/15/20	50.000	187.50	05/14/20	202.00	...	-14.50	Sale ²⁵
MOLECULIN BIOTECH, INC. COMMON STOCK / CUSIP: 60855D101 / Symbol:							
03/23/20	300.000	156.71	03/19/20	200.79	44.08 W	0.00	Sale ²⁵
<i>3 transactions for 03/25/20. Total proceeds and cost reported to the IRS.</i>							
	23.000	13.34	03/24/20	17.18	3.84 W	0.00	1 of 3 - Sale ²⁵
	277.000	160.63	03/24/20	206.90	46.27 W	0.00	2 of 3 - Sale ²⁵
	150.000	86.98	03/24/20	90.00	3.02 W	0.00	3 of 3 - Sale ²⁵
03/25/20	450.000	260.95	Various	314.08	53.13 W	0.00	Total of 3 transactions
<i>3 transactions for 03/26/20. Total proceeds and cost reported to the IRS.</i>							
	23.000	15.87	03/25/20	20.63	4.76 W	0.00	1 of 3 - Sale ²⁵
	277.000	191.10	03/25/20	248.48	57.38 W	0.00	2 of 3 - Sale ²⁵
	50.000	34.49	03/25/20	37.51	3.02 W	0.00	3 of 3 - Sale ²⁵
03/26/20	350.000	241.46	Various	306.62	65.16 W	0.00	Total of 3 transactions
<i>3 transactions for 04/09/20. Total proceeds and cost reported to the IRS.</i>							
	23.000	30.59	04/08/20	43.63	13.04 W	0.00	1 of 3 - Sale ²⁵
	77.000	102.40	04/08/20	146.08	43.68 W	0.00	2 of 3 - Sale ²⁵
	100.000	132.99	04/08/20	171.01	38.02 W	0.00	3 of 3 - Sale ²⁵
04/09/20	200.000	265.98	Various	360.72	94.74 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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MOLECULIN BIOTECH, INC. COMMON STOCK / CUSIP: 60855D101 / Symbol: (cont'd)							
2 transactions for 04/13/20. Total proceeds and cost reported to the IRS.							
	144.000	145.42	04/09/20	219.91	...	-74.49	1 of 2 - Sale ²⁵
	5.000	5.05	04/09/20	8.04	...	-2.99	2 of 2 - Sale ²⁵
04/13/20	149.000	150.47	Various	227.95	...	-77.48	Total of 2 transactions
04/13/20	6.000	6.18	04/09/20	9.16	2.48 W	-0.50	Sale ²⁵
8 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	77.000	101.63	04/13/20	129.92	...	-28.29	1 of 8 - Sale ²⁵
	80.000	105.59	04/13/20	120.02	...	-14.43	2 of 8 - Sale ²⁵
	23.000	30.36	04/13/20	38.80	...	-8.44	3 of 8 - Sale ²⁵
	15.000	19.80	04/13/20	22.50	...	-2.70	4 of 8 - Sale ²⁵
	5.000	6.60	04/13/20	8.08	...	-1.48	5 of 8 - Sale ²⁵
	5.000	6.60	04/13/20	7.50	...	-0.90	6 of 8 - Sale ²⁵
	45.000	59.40	04/13/20	59.72	...	-0.32	7 of 8 - Sale ²⁵
	50.000	65.99	04/13/20	59.02	...	6.97	8 of 8 - Sale ²⁵
04/14/20	300.000	395.97	Various	445.56	...	-49.59	Total of 8 transactions
	Security total:	1,477.72		1,864.88	259.59 W	-127.57	
MONEYGRAM INTERNATIONAL, INC. COMMON STOCK / CUSIP: 60935Y208 / Symbol:							
06/15/20	100.000	297.99	06/12/20	321.80	...	-23.81	Sale ²⁵
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
01/06/20	10.000	38.65	12/20/19	28.41	...	10.24	Sale ²⁵
3 transactions for 01/22/20. Total proceeds and cost reported to the IRS.							
	2.000	9.72	12/20/19	5.68	...	4.04	1 of 3 - Sale ²⁵
	8.000	38.88	12/20/19	22.72	...	16.16	2 of 3 - Sale ²⁵
	15.000	72.90	12/20/19	43.01	...	29.89	3 of 3 - Sale ²⁵
01/22/20	25.000	121.50	Various	71.41	...	50.09	Total of 3 transactions
22 transactions for 01/29/20. Total proceeds and cost reported to the IRS.							
	2.000	8.40	12/20/19	5.44	...	2.96	1 of 22 - Sale ²⁵
	4.000	16.80	12/20/19	11.09	...	5.71	2 of 22 - Sale ²⁵
	8.000	33.60	12/20/19	22.25	...	11.35	3 of 22 - Sale ²⁵
	15.000	63.00	12/20/19	43.00	...	20.00	4 of 22 - Sale ²⁵
	1.000	4.17	12/30/19	3.66	...	0.51	5 of 22 - Sale ²⁵
	2.000	8.40	12/30/19	7.84	...	0.56	6 of 22 - Sale ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	2.000	8.40	12/30/19	7.83	...	0.57	7 of 22 - Sale ²⁵
	1.000	4.17	12/30/19	3.58	...	0.59	8 of 22 - Sale ²⁵
	3.000	12.51	12/30/19	10.94	...	1.57	9 of 22 - Sale ²⁵
	4.000	16.80	12/30/19	14.59	...	2.21	10 of 22 - Sale ²⁵
	5.000	20.85	12/30/19	17.96	...	2.89	11 of 22 - Sale ²⁵
	8.000	33.60	12/30/19	30.70	...	2.90	12 of 22 - Sale ²⁵
	1.000	4.17	01/07/20	4.09	...	0.08	13 of 22 - Sale ²⁵
	2.000	8.34	01/07/20	8.20	...	0.14	14 of 22 - Sale ²⁵
	1.000	4.17	01/07/20	3.94	...	0.23	15 of 22 - Sale ²⁵
	1.000	4.17	01/07/20	3.90	...	0.27	16 of 22 - Sale ²⁵
	2.000	8.34	01/07/20	7.79	...	0.55	17 of 22 - Sale ²⁵
	1.000	4.17	01/08/20	4.02	...	0.15	18 of 22 - Sale ²⁵
	2.000	8.34	01/09/20	7.14	...	1.20	19 of 22 - Sale ²⁵
	10.000	41.70	01/09/20	35.68	...	6.02	20 of 22 - Sale ²⁵
	2.000	8.34	01/14/20	7.59	...	0.75	21 of 22 - Sale ²⁵
	3.000	12.51	01/14/20	11.33	...	1.18	22 of 22 - Sale ²⁵
01/29/20	80.000	334.95	Various	272.56	...	62.39	Total of 22 transactions
	9 transactions for 01/29/20. Total proceeds and cost reported to the IRS.						
	8.000	33.36	12/30/19	35.04	1.68 W	0.00	1 of 9 - Sale ²⁵
	2.000	8.34	12/30/19	8.68	0.34 W	0.00	2 of 9 - Sale ²⁵
	2.000	8.34	12/30/19	8.63	0.29 W	0.00	3 of 9 - Sale ²⁵
	3.000	12.51	12/30/19	12.95	0.44 W	0.00	4 of 9 - Sale ²⁵
	5.000	20.85	12/30/19	21.62	0.77 W	0.00	5 of 9 - Sale ²⁵
	13.000	54.21	01/07/20	61.26	7.05 W	0.00	6 of 9 - Sale ²⁵
	7.000	29.19	01/07/20	31.55	2.36 W	0.00	7 of 9 - Sale ²⁵
	7.000	29.19	01/08/20	29.90	0.71 W	0.00	8 of 9 - Sale ²⁵
	18.000	75.06	01/15/20	75.42	0.36 W	0.00	9 of 9 - Sale ²⁵
01/29/20	65.000	271.05	Various	285.05	14.00 W	0.00	Total of 9 transactions
	27 transactions for 01/31/20. Total proceeds and cost reported to the IRS.						
	10.000	40.20	01/15/20	47.32	7.12 W	0.00	1 of 27 - Sale ²⁵
	8.000	32.16	01/15/20	35.20	3.04 W	0.00	2 of 27 - Sale ²⁵
	2.000	8.04	01/15/20	8.72	0.68 W	0.00	3 of 27 - Sale ²⁵
	2.000	8.04	01/15/20	8.67	0.63 W	0.00	4 of 27 - Sale ²⁵
	3.000	12.06	01/15/20	13.01	0.95 W	0.00	5 of 27 - Sale ²⁵

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	5.000	20.10	01/15/20	21.72	1.62 W	0.00	6 of 27 - Sale ²⁵
	3.000	12.06	01/15/20	14.11	2.05 W	0.00	7 of 27 - Sale ²⁵
	6.000	24.12	01/15/20	26.98	2.86 W	0.00	8 of 27 - Sale ²⁵
	1.000	4.02	01/15/20	4.50	0.48 W	0.00	9 of 27 - Sale ²⁵
	4.000	16.08	01/15/20	19.61	3.53 W	0.00	10 of 27 - Sale ²⁵
	7.000	28.14	01/15/20	30.04	1.90 W	0.00	11 of 27 - Sale ²⁵
	18.000	72.36	01/15/20	75.78	3.42 W	0.00	12 of 27 - Sale ²⁵
	1.000	4.02	01/15/20	4.91	0.89 W	0.00	13 of 27 - Sale ²⁵
	5.000	19.50	01/15/20	24.79	5.29 W	0.00	14 of 27 - Sale ²⁵
	8.000	31.20	01/15/20	37.00	5.80 W	0.00	15 of 27 - Sale ²⁵
	2.000	7.80	01/15/20	9.17	1.37 W	0.00	16 of 27 - Sale ²⁵
	2.000	7.80	01/15/20	9.13	1.33 W	0.00	17 of 27 - Sale ²⁵
	2.000	7.80	01/15/20	9.13	1.33 W	0.00	18 of 27 - Sale ²⁵
	1.000	3.90	01/16/20	5.27	1.37 W	0.00	19 of 27 - Sale ²⁵
	4.000	15.60	01/16/20	21.04	5.44 W	0.00	20 of 27 - Sale ²⁵
	3.000	11.70	01/16/20	15.18	3.48 W	0.00	21 of 27 - Sale ²⁵
	7.000	27.30	01/16/20	32.54	5.24 W	0.00	22 of 27 - Sale ²⁵
	1.000	3.90	01/16/20	4.70	0.80 W	0.00	23 of 27 - Sale ²⁵
	5.000	19.50	01/16/20	23.51	4.01 W	0.00	24 of 27 - Sale ²⁵
	1.000	3.90	01/16/20	4.86	0.96 W	0.00	25 of 27 - Sale ²⁵
	6.000	23.40	01/16/20	29.13	5.73 W	0.00	26 of 27 - Sale ²⁵
	3.000	11.70	01/16/20	13.70	2.00 W	0.00	27 of 27 - Sale ²⁵
01/31/20	120.000	476.40	Various	549.72	73.32 W	0.00	Total of 27 transactions
	25 transactions for 02/06/20. Total proceeds and cost reported to the IRS.						
	5.000	21.36	01/16/20	27.18	5.82 W	0.00	1 of 25 - Sale ²⁵
	8.000	34.18	01/16/20	40.82	6.64 W	0.00	2 of 25 - Sale ²⁵
	2.000	8.55	01/16/20	10.13	1.58 W	0.00	3 of 25 - Sale ²⁵
	2.000	8.54	01/16/20	10.08	1.54 W	0.00	4 of 25 - Sale ²⁵
	2.000	8.55	01/16/20	10.09	1.54 W	0.00	5 of 25 - Sale ²⁵
	15.000	64.09	01/16/20	68.52	4.43 W	0.00	6 of 25 - Sale ²⁵
	15.000	64.09	01/16/20	65.66	1.57 W	0.00	7 of 25 - Sale ²⁵
	1.000	4.27	01/16/20	5.75	1.48 W	0.00	8 of 25 - Sale ²⁵
	4.000	17.09	01/16/20	22.94	5.85 W	0.00	9 of 25 - Sale ²⁵
	1.000	4.27	01/16/20	5.53	1.26 W	0.00	10 of 25 - Sale ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	2.000	8.54	01/21/20	11.98	3.44 W	0.00	11 of 25 - Sale ²⁵
	1.000	4.28	01/21/20	5.58	1.30 W	0.00	12 of 25 - Sale ²⁵
	5.000	21.05	01/21/20	29.97	8.92 W	0.00	13 of 25 - Sale ²⁵
	6.000	25.26	01/21/20	33.47	8.21 W	0.00	14 of 25 - Sale ²⁵
	1.000	4.21	01/21/20	5.63	1.42 W	0.00	15 of 25 - Sale ²⁵
	5.000	21.05	01/21/20	28.16	7.11 W	0.00	16 of 25 - Sale ²⁵
	1.000	4.21	01/21/20	5.79	1.58 W	0.00	17 of 25 - Sale ²⁵
	6.000	25.26	01/21/20	34.71	9.45 W	0.00	18 of 25 - Sale ²⁵
	1.000	4.21	01/21/20	5.50	1.29 W	0.00	19 of 25 - Sale ²⁵
	2.000	8.40	01/21/20	10.99	2.59 W	0.00	20 of 25 - Sale ²⁵
	8.000	33.60	01/21/20	48.68	15.08 W	0.00	21 of 25 - Sale ²⁵
	2.000	8.40	01/21/20	12.09	3.69 W	0.00	22 of 25 - Sale ²⁵
	2.000	8.40	01/21/20	12.05	3.65 W	0.00	23 of 25 - Sale ²⁵
	2.000	8.40	01/21/20	12.05	3.65 W	0.00	24 of 25 - Sale ²⁵
	9.000	37.80	01/21/20	49.96	12.16 W	0.00	25 of 25 - Sale ²⁵
02/06/20	108.000	458.06	Various	573.31	115.25 W	0.00	Total of 25 transactions
02/07/20	30.000	118.49	02/03/20	106.50	...	11.99	Sale ²⁵
	58 transactions for 02/07/20. Total proceeds and cost reported to the IRS.						
	6.000	24.30	01/21/20	33.30	9.00 W	0.00	1 of 58 - Sale ²⁵
	11.000	44.55	01/21/20	58.95	14.40 W	0.00	2 of 58 - Sale ²⁵
	4.000	16.20	01/21/20	26.89	10.69 W	0.00	3 of 58 - Sale ²⁵
	4.000	16.20	01/21/20	21.46	5.26 W	0.00	4 of 58 - Sale ²⁵
	1.000	4.05	01/21/20	6.74	2.69 W	0.00	5 of 58 - Sale ²⁵
	1.000	4.05	01/21/20	6.52	2.47 W	0.00	6 of 58 - Sale ²⁵
	5.000	20.25	01/22/20	33.22	12.97 W	0.00	7 of 58 - Sale ²⁵
	2.000	8.10	01/22/20	13.16	5.06 W	0.00	8 of 58 - Sale ²⁵
	1.000	4.05	01/22/20	6.16	2.11 W	0.00	9 of 58 - Sale ²⁵
	6.000	24.30	01/22/20	37.37	13.07 W	0.00	10 of 58 - Sale ²⁵
	1.000	4.05	01/22/20	6.28	2.23 W	0.00	11 of 58 - Sale ²⁵
	5.000	20.25	01/22/20	31.41	11.16 W	0.00	12 of 58 - Sale ²⁵
	3.000	12.15	01/22/20	19.30	7.15 W	0.00	13 of 58 - Sale ²⁵
	3.000	12.15	01/22/20	19.31	7.16 W	0.00	14 of 58 - Sale ²⁵
	5.000	20.25	01/23/20	32.58	12.33 W	0.00	15 of 58 - Sale ²⁵
	1.000	4.05	01/23/20	6.21	2.16 W	0.00	16 of 58 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.000	4.05	01/23/20	5.92	1.87 W	0.00	17 of 58 - Sale ²⁵
	2.000	8.10	01/23/20	11.85	3.75 W	0.00	18 of 58 - Sale ²⁵
	3.000	12.15	01/23/20	19.61	7.46 W	0.00	19 of 58 - Sale ²⁵
	2.000	8.10	01/23/20	13.00	4.90 W	0.00	20 of 58 - Sale ²⁵
	2.000	8.10	01/23/20	12.96	4.86 W	0.00	21 of 58 - Sale ²⁵
	2.000	8.10	01/23/20	12.96	4.86 W	0.00	22 of 58 - Sale ²⁵
	1.000	4.05	01/23/20	6.01	1.96 W	0.00	23 of 58 - Sale ²⁵
	3.000	12.15	01/23/20	18.38	6.23 W	0.00	24 of 58 - Sale ²⁵
	1.000	3.95	01/23/20	7.47	3.52 W	0.00	25 of 58 - Sale ²⁵
	4.000	15.80	01/23/20	29.79	13.99 W	0.00	26 of 58 - Sale ²⁵
	5.000	19.75	01/23/20	36.85	17.10 W	0.00	27 of 58 - Sale ²⁵
	1.000	3.95	01/23/20	7.24	3.29 W	0.00	28 of 58 - Sale ²⁵
	2.000	7.90	01/23/20	14.61	6.71 W	0.00	29 of 58 - Sale ²⁵
	1.000	3.95	01/23/20	6.88	2.93 W	0.00	30 of 58 - Sale ²⁵
	6.000	23.70	01/23/20	41.72	18.02 W	0.00	31 of 58 - Sale ²⁵
	1.000	3.95	01/23/20	7.01	3.06 W	0.00	32 of 58 - Sale ²⁵
	5.000	19.75	01/23/20	35.03	15.28 W	0.00	33 of 58 - Sale ²⁵
	6.000	23.70	01/23/20	37.65	13.95 W	0.00	34 of 58 - Sale ²⁵
	5.000	19.75	01/23/20	30.63	10.88 W	0.00	35 of 58 - Sale ²⁵
	4.000	15.80	01/23/20	24.36	8.56 W	0.00	36 of 58 - Sale ²⁵
	11.000	43.45	01/23/20	66.93	23.48 W	0.00	37 of 58 - Sale ²⁵
	3.000	11.85	01/27/20	19.94	8.09 W	0.00	38 of 58 - Sale ²⁵
	5.000	19.75	01/27/20	33.13	13.38 W	0.00	39 of 58 - Sale ²⁵
	2.000	7.90	01/27/20	13.22	5.32 W	0.00	40 of 58 - Sale ²⁵
	3.000	11.85	01/27/20	19.63	7.78 W	0.00	41 of 58 - Sale ²⁵
	3.000	11.85	01/27/20	19.64	7.79 W	0.00	42 of 58 - Sale ²⁵
	1.000	3.95	01/27/20	6.32	2.37 W	0.00	43 of 58 - Sale ²⁵
	1.000	3.95	01/27/20	6.03	2.08 W	0.00	44 of 58 - Sale ²⁵
	2.000	7.90	01/27/20	12.07	4.17 W	0.00	45 of 58 - Sale ²⁵
	2.000	7.90	01/28/20	13.26	5.36 W	0.00	46 of 58 - Sale ²⁵
	2.000	7.90	01/28/20	13.26	5.36 W	0.00	47 of 58 - Sale ²⁵
	1.000	3.95	01/28/20	6.16	2.21 W	0.00	48 of 58 - Sale ²⁵
	3.000	11.85	01/28/20	18.83	6.98 W	0.00	49 of 58 - Sale ²⁵
	17.000	67.15	01/28/20	71.40	4.25 W	0.00	50 of 58 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	20.000	79.00	01/29/20	88.60	9.60 W	0.00	51 of 58 - Sale ²⁵
	70.000	276.49	02/04/20	303.10	26.61 W	0.00	52 of 58 - Sale ²⁵
	25.000	98.74	02/04/20	114.25	15.51 W	0.00	53 of 58 - Sale ²⁵
	25.000	98.75	02/04/20	115.50	16.75 W	0.00	54 of 58 - Sale ²⁵
	1.000	3.95	02/04/20	4.60	0.65 W	0.00	55 of 58 - Sale ²⁵
	1.000	3.95	02/04/20	4.60	0.65 W	0.00	56 of 58 - Sale ²⁵
	6.000	23.70	02/05/20	27.24	3.54 W	0.00	57 of 58 - Sale ²⁵
	25.000	98.74	02/06/20	105.50	6.76 W	0.00	58 of 58 - Sale ²⁵
02/07/20	345.000	1,370.22	Various	1,822.00	451.78 W	0.00	Total of 58 transactions
	6 transactions for 02/13/20. Total proceeds and cost reported to the IRS.						
	1.000	3.92	02/10/20	7.17	3.25 W	0.00	1 of 6 - Sale ²⁵
	4.000	15.68	02/10/20	28.59	12.91 W	0.00	2 of 6 - Sale ²⁵
	5.000	19.60	02/10/20	35.35	15.75 W	0.00	3 of 6 - Sale ²⁵
	3.000	11.76	02/10/20	19.04	7.28 W	0.00	4 of 6 - Sale ²⁵
	5.000	19.60	02/10/20	31.63	12.03 W	0.00	5 of 6 - Sale ²⁵
	2.000	7.84	02/10/20	12.62	4.78 W	0.00	6 of 6 - Sale ²⁵
02/13/20	20.000	78.40	Various	134.40	56.00 W	0.00	Total of 6 transactions
	24 transactions for 02/18/20. Total proceeds and cost reported to the IRS.						
	2.000	7.68	02/10/20	12.66	4.98 W	0.00	1 of 24 - Sale ²⁵
	2.000	7.68	02/10/20	12.66	4.98 W	0.00	2 of 24 - Sale ²⁵
	1.000	3.84	02/10/20	6.94	3.10 W	0.00	3 of 24 - Sale ²⁵
	2.000	7.68	02/10/20	14.01	6.33 W	0.00	4 of 24 - Sale ²⁵
	1.000	3.84	02/10/20	6.58	2.74 W	0.00	5 of 24 - Sale ²⁵
	6.000	23.06	02/10/20	39.92	16.86 W	0.00	6 of 24 - Sale ²⁵
	1.000	3.84	02/10/20	6.71	2.87 W	0.00	7 of 24 - Sale ²⁵
	5.000	19.21	02/10/20	33.53	14.32 W	0.00	8 of 24 - Sale ²⁵
	3.000	11.53	02/10/20	18.73	7.20 W	0.00	9 of 24 - Sale ²⁵
	3.000	11.53	02/10/20	18.74	7.21 W	0.00	10 of 24 - Sale ²⁵
	1.000	3.84	02/10/20	6.02	2.18 W	0.00	11 of 24 - Sale ²⁵
	1.000	3.84	02/10/20	5.86	2.02 W	0.00	12 of 24 - Sale ²⁵
	3.000	11.53	02/10/20	17.93	6.40 W	0.00	13 of 24 - Sale ²⁵
	1.000	3.84	02/10/20	5.73	1.89 W	0.00	14 of 24 - Sale ²⁵
	2.000	7.69	02/10/20	11.47	3.78 W	0.00	15 of 24 - Sale ²⁵
	6.000	23.05	02/10/20	35.85	12.80 W	0.00	16 of 24 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	5.000	19.21	02/10/20	29.13	9.92 W	0.00	17 of 24 - Sale ²⁵
	5.000	19.22	02/10/20	28.92	9.70 W	0.00	18 of 24 - Sale ²⁵
	4.000	15.12	02/10/20	23.16	8.04 W	0.00	19 of 24 - Sale ²⁵
	6.000	22.68	02/10/20	34.71	12.03 W	0.00	20 of 24 - Sale ²⁵
	17.000	64.26	02/10/20	66.30	2.04 W	0.00	21 of 24 - Sale ²⁵
	3.000	11.34	02/10/20	12.39	1.05 W	0.00	22 of 24 - Sale ²⁵
	17.000	64.26	02/10/20	70.55	6.29 W	0.00	23 of 24 - Sale ²⁵
	3.000	11.34	02/10/20	12.15	0.81 W	0.00	24 of 24 - Sale ²⁵
02/18/20	100.000	381.11	Various	530.65	149.54 W	0.00	Total of 24 transactions
	11 transactions for 02/19/20. Total proceeds and cost reported to the IRS.						
	13.000	51.22	02/10/20	55.78	4.56 W	0.00	1 of 11 - Sale ²⁵
	67.000	263.96	02/10/20	271.34	7.38 W	0.00	2 of 11 - Sale ²⁵
	10.000	39.40	02/11/20	44.90	5.50 W	0.00	3 of 11 - Sale ²⁵
	1.000	3.94	02/11/20	4.62	0.68 W	0.00	4 of 11 - Sale ²⁵
	1.000	3.94	02/11/20	4.62	0.68 W	0.00	5 of 11 - Sale ²⁵
	2.000	7.88	02/11/20	9.18	1.30 W	0.00	6 of 11 - Sale ²⁵
	25.000	98.49	02/11/20	116.00	17.51 W	0.00	7 of 11 - Sale ²⁵
	6.000	23.64	02/11/20	27.36	3.72 W	0.00	8 of 11 - Sale ²⁵
	5.000	19.70	02/11/20	21.20	1.50 W	0.00	9 of 11 - Sale ²⁵
	10.000	39.40	02/11/20	42.41	3.01 W	0.00	10 of 11 - Sale ²⁵
	10.000	39.39	02/12/20	44.10	4.71 W	0.00	11 of 11 - Sale ²⁵
02/19/20	150.000	590.96	Various	641.51	50.55 W	0.00	Total of 11 transactions
	30 transactions for 02/21/20. Total proceeds and cost reported to the IRS.						
	1.000	4.21	02/19/20	7.32	3.11 W	0.00	1 of 30 - Sale ²⁵
	1.000	4.21	02/19/20	7.22	3.01 W	0.00	2 of 30 - Sale ²⁵
	4.000	16.84	02/19/20	29.18	12.34 W	0.00	3 of 30 - Sale ²⁵
	4.000	16.84	02/19/20	28.92	12.08 W	0.00	4 of 30 - Sale ²⁵
	2.000	8.42	02/19/20	13.14	4.72 W	0.00	5 of 30 - Sale ²⁵
	2.000	8.42	02/19/20	13.14	4.72 W	0.00	6 of 30 - Sale ²⁵
	3.000	12.63	02/19/20	19.52	6.89 W	0.00	7 of 30 - Sale ²⁵
	5.000	21.05	02/19/20	32.43	11.38 W	0.00	8 of 30 - Sale ²⁵
	2.000	8.42	02/19/20	12.94	4.52 W	0.00	9 of 30 - Sale ²⁵
	1.000	4.21	02/19/20	7.18	2.97 W	0.00	10 of 30 - Sale ²⁵
	2.000	8.42	02/19/20	14.49	6.07 W	0.00	11 of 30 - Sale ²⁵

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Account 412265225

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.000	4.21	02/19/20	6.82	2.61 W	0.00	12 of 30 - Sale ²⁵
	6.000	25.26	02/19/20	41.34	16.08 W	0.00	13 of 30 - Sale ²⁵
	1.000	4.21	02/19/20	6.95	2.74 W	0.00	14 of 30 - Sale ²⁵
	5.000	21.05	02/19/20	34.72	13.67 W	0.00	15 of 30 - Sale ²⁵
	3.000	12.63	02/19/20	19.44	6.81 W	0.00	16 of 30 - Sale ²⁵
	3.000	12.63	02/19/20	19.45	6.82 W	0.00	17 of 30 - Sale ²⁵
	1.000	4.21	02/19/20	6.26	2.05 W	0.00	18 of 30 - Sale ²⁵
	1.000	4.21	02/19/20	6.10	1.89 W	0.00	19 of 30 - Sale ²⁵
	2.000	8.42	02/19/20	12.43	4.01 W	0.00	20 of 30 - Sale ²⁵
	1.000	4.21	02/19/20	6.21	2.00 W	0.00	21 of 30 - Sale ²⁵
	1.000	4.21	02/19/20	5.97	1.76 W	0.00	22 of 30 - Sale ²⁵
	2.000	8.42	02/20/20	12.42	4.00 W	0.00	23 of 30 - Sale ²⁵
	6.000	25.26	02/20/20	38.71	13.45 W	0.00	24 of 30 - Sale ²⁵
	5.000	21.05	02/20/20	31.51	10.46 W	0.00	25 of 30 - Sale ²⁵
	4.000	16.84	02/20/20	25.31	8.47 W	0.00	26 of 30 - Sale ²⁵
	5.000	21.05	02/20/20	31.29	10.24 W	0.00	27 of 30 - Sale ²⁵
	6.000	25.26	02/20/20	37.94	12.68 W	0.00	28 of 30 - Sale ²⁵
	17.000	71.56	02/20/20	75.44	3.88 W	0.00	29 of 30 - Sale ²⁵
	3.000	12.63	02/20/20	14.00	1.37 W	0.00	30 of 30 - Sale ²⁵
02/21/20	100.000	420.99	Various	617.79	196.80 W	0.00	Total of 30 transactions
26 transactions for 02/26/20. Total proceeds and cost reported to the IRS.							
	1.000	4.50	02/25/20	8.29	3.79 W	0.00	1 of 26 - Sale ²⁵
	1.000	4.50	02/25/20	8.19	3.69 W	0.00	2 of 26 - Sale ²⁵
	4.000	18.00	02/25/20	33.06	15.06 W	0.00	3 of 26 - Sale ²⁵
	4.000	18.00	02/25/20	32.80	14.80 W	0.00	4 of 26 - Sale ²⁵
	2.000	9.00	02/25/20	15.08	6.08 W	0.00	5 of 26 - Sale ²⁵
	2.000	9.00	02/25/20	15.08	6.08 W	0.00	6 of 26 - Sale ²⁵
	3.000	13.50	02/25/20	22.43	8.93 W	0.00	7 of 26 - Sale ²⁵
	5.000	22.50	02/25/20	37.28	14.78 W	0.00	8 of 26 - Sale ²⁵
	2.000	9.00	02/25/20	14.88	5.88 W	0.00	9 of 26 - Sale ²⁵
	1.000	4.50	02/25/20	8.15	3.65 W	0.00	10 of 26 - Sale ²⁵
	2.000	9.00	02/25/20	16.43	7.43 W	0.00	11 of 26 - Sale ²⁵
	1.000	4.50	02/25/20	7.79	3.29 W	0.00	12 of 26 - Sale ²⁵
	2.000	9.00	02/25/20	15.72	6.72 W	0.00	13 of 26 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	17.000	76.50	02/25/20	94.35	17.85 W	0.00	14 of 26 - Sale ²⁵
	13.000	58.50	02/25/20	71.90	13.40 W	0.00	15 of 26 - Sale ²⁵
	67.000	301.48	02/25/20	354.44	52.96 W	0.00	16 of 26 - Sale ²⁵
	1.000	4.50	02/25/20	5.86	1.36 W	0.00	17 of 26 - Sale ²⁵
	1.000	4.50	02/25/20	5.86	1.36 W	0.00	18 of 26 - Sale ²⁵
	2.000	9.00	02/25/20	11.66	2.66 W	0.00	19 of 26 - Sale ²⁵
	3.000	13.50	02/25/20	16.35	2.85 W	0.00	20 of 26 - Sale ²⁵
	10.000	45.00	02/25/20	57.30	12.30 W	0.00	21 of 26 - Sale ²⁵
	25.000	112.49	02/25/20	147.01	34.52 W	0.00	22 of 26 - Sale ²⁵
	6.000	27.00	02/25/20	34.80	7.80 W	0.00	23 of 26 - Sale ²⁵
	5.000	22.50	02/25/20	27.40	4.90 W	0.00	24 of 26 - Sale ²⁵
	10.000	45.00	02/25/20	54.81	9.81 W	0.00	25 of 26 - Sale ²⁵
	10.000	44.99	02/25/20	56.51	11.52 W	0.00	26 of 26 - Sale ²⁵
02/26/20	200.000	899.96	Various	1,173.43	273.47 W	0.00	Total of 26 transactions
	35 transactions for 02/27/20. Total proceeds and cost reported to the IRS.						
	1.000	4.10	02/26/20	8.34	4.24 W	0.00	1 of 35 - Sale ²⁵
	1.000	4.10	02/26/20	8.24	4.14 W	0.00	2 of 35 - Sale ²⁵
	4.000	16.40	02/26/20	33.26	16.86 W	0.00	3 of 35 - Sale ²⁵
	4.000	16.40	02/26/20	33.00	16.60 W	0.00	4 of 35 - Sale ²⁵
	2.000	8.20	02/26/20	15.18	6.98 W	0.00	5 of 35 - Sale ²⁵
	2.000	8.20	02/26/20	15.18	6.98 W	0.00	6 of 35 - Sale ²⁵
	3.000	12.30	02/26/20	22.58	10.28 W	0.00	7 of 35 - Sale ²⁵
	5.000	20.50	02/26/20	37.53	17.03 W	0.00	8 of 35 - Sale ²⁵
	2.000	8.20	02/26/20	14.98	6.78 W	0.00	9 of 35 - Sale ²⁵
	1.000	4.10	02/26/20	8.20	4.10 W	0.00	10 of 35 - Sale ²⁵
	2.000	8.20	02/26/20	16.53	8.33 W	0.00	11 of 35 - Sale ²⁵
	1.000	4.10	02/26/20	7.84	3.74 W	0.00	12 of 35 - Sale ²⁵
	2.000	8.20	02/26/20	15.82	7.62 W	0.00	13 of 35 - Sale ²⁵
	4.000	16.40	02/26/20	28.92	12.52 W	0.00	14 of 35 - Sale ²⁵
	1.000	4.10	02/26/20	7.29	3.19 W	0.00	15 of 35 - Sale ²⁵
	5.000	20.50	02/26/20	36.42	15.92 W	0.00	16 of 35 - Sale ²⁵
	3.000	12.30	02/26/20	20.46	8.16 W	0.00	17 of 35 - Sale ²⁵
	3.000	12.30	02/26/20	20.47	8.17 W	0.00	18 of 35 - Sale ²⁵
	1.000	4.10	02/26/20	6.60	2.50 W	0.00	19 of 35 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.000	4.10	02/26/20	6.44	2.34 W	0.00	20 of 35 - Sale ²⁵
	1.000	4.10	02/26/20	6.55	2.45 W	0.00	21 of 35 - Sale ²⁵
	2.000	8.20	02/26/20	13.11	4.91 W	0.00	22 of 35 - Sale ²⁵
	1.000	4.10	02/26/20	6.31	2.21 W	0.00	23 of 35 - Sale ²⁵
	2.000	8.20	02/26/20	13.10	4.90 W	0.00	24 of 35 - Sale ²⁵
	6.000	24.60	02/26/20	40.75	16.15 W	0.00	25 of 35 - Sale ²⁵
	5.000	20.50	02/26/20	33.21	12.71 W	0.00	26 of 35 - Sale ²⁵
	4.000	16.40	02/26/20	26.67	10.27 W	0.00	27 of 35 - Sale ²⁵
	5.000	20.50	02/26/20	32.99	12.49 W	0.00	28 of 35 - Sale ²⁵
	6.000	24.60	02/26/20	39.98	15.38 W	0.00	29 of 35 - Sale ²⁵
	17.000	69.69	02/26/20	81.23	11.54 W	0.00	30 of 35 - Sale ²⁵
	3.000	12.30	02/26/20	15.02	2.72 W	0.00	31 of 35 - Sale ²⁵
	17.000	69.69	02/26/20	95.20	25.51 W	0.00	32 of 35 - Sale ²⁵
	13.000	53.30	02/26/20	72.55	19.25 W	0.00	33 of 35 - Sale ²⁵
	67.000	274.68	02/26/20	357.81	83.13 W	0.00	34 of 35 - Sale ²⁵
	3.000	12.30	02/26/20	16.50	4.20 W	0.00	35 of 35 - Sale ²⁵
02/27/20	200.000	819.96	Various	1,214.26	394.30 W	0.00	Total of 35 transactions
	38 transactions for 03/16/20. Total proceeds and cost reported to the IRS.						
	1.000	2.87	03/13/20	7.51	4.64 W	0.00	1 of 38 - Sale ²⁵
	1.000	2.87	03/13/20	7.41	4.54 W	0.00	2 of 38 - Sale ²⁵
	4.000	11.48	03/13/20	29.94	18.46 W	0.00	3 of 38 - Sale ²⁵
	4.000	11.48	03/13/20	29.68	18.20 W	0.00	4 of 38 - Sale ²⁵
	2.000	5.74	03/13/20	13.52	7.78 W	0.00	5 of 38 - Sale ²⁵
	2.000	5.74	03/13/20	13.52	7.78 W	0.00	6 of 38 - Sale ²⁵
	3.000	8.61	03/13/20	20.09	11.48 W	0.00	7 of 38 - Sale ²⁵
	5.000	14.35	03/13/20	33.38	19.03 W	0.00	8 of 38 - Sale ²⁵
	2.000	5.74	03/13/20	13.32	7.58 W	0.00	9 of 38 - Sale ²⁵
	1.000	2.87	03/13/20	7.37	4.50 W	0.00	10 of 38 - Sale ²⁵
	2.000	5.74	03/13/20	14.87	9.13 W	0.00	11 of 38 - Sale ²⁵
	1.000	2.87	03/13/20	7.01	4.14 W	0.00	12 of 38 - Sale ²⁵
	2.000	5.74	03/13/20	14.16	8.42 W	0.00	13 of 38 - Sale ²⁵
	4.000	11.48	03/13/20	25.60	14.12 W	0.00	14 of 38 - Sale ²⁵
	1.000	2.87	03/13/20	6.46	3.59 W	0.00	15 of 38 - Sale ²⁵
	5.000	14.35	03/13/20	32.27	17.92 W	0.00	16 of 38 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	3.000	8.61	03/13/20	17.97	9.36 W	0.00	17 of 38 - Sale ²⁵
	3.000	8.61	03/13/20	17.98	9.37 W	0.00	18 of 38 - Sale ²⁵
	1.000	2.87	03/13/20	5.77	2.90 W	0.00	19 of 38 - Sale ²⁵
	1.000	2.87	03/13/20	5.61	2.74 W	0.00	20 of 38 - Sale ²⁵
	1.000	2.87	03/13/20	5.72	2.85 W	0.00	21 of 38 - Sale ²⁵
	2.000	5.74	03/13/20	11.45	5.71 W	0.00	22 of 38 - Sale ²⁵
	1.000	2.87	03/13/20	5.48	2.61 W	0.00	23 of 38 - Sale ²⁵
	2.000	5.74	03/13/20	11.44	5.70 W	0.00	24 of 38 - Sale ²⁵
	6.000	17.22	03/13/20	35.77	18.55 W	0.00	25 of 38 - Sale ²⁵
	5.000	14.35	03/13/20	29.06	14.71 W	0.00	26 of 38 - Sale ²⁵
	4.000	11.48	03/13/20	23.35	11.87 W	0.00	27 of 38 - Sale ²⁵
	5.000	14.35	03/13/20	28.84	14.49 W	0.00	28 of 38 - Sale ²⁵
	6.000	17.22	03/13/20	35.00	17.78 W	0.00	29 of 38 - Sale ²⁵
	1.000	2.87	03/13/20	4.63	1.76 W	0.00	30 of 38 - Sale ²⁵
	1.000	2.87	03/13/20	4.63	1.76 W	0.00	31 of 38 - Sale ²⁵
	2.000	5.74	03/13/20	9.20	3.46 W	0.00	32 of 38 - Sale ²⁵
	10.000	28.70	03/13/20	45.00	16.30 W	0.00	33 of 38 - Sale ²⁵
	25.000	71.74	03/13/20	116.27	44.53 W	0.00	34 of 38 - Sale ²⁵
	6.000	17.22	03/13/20	27.42	10.20 W	0.00	35 of 38 - Sale ²⁵
	5.000	14.35	03/13/20	21.25	6.90 W	0.00	36 of 38 - Sale ²⁵
	10.000	28.69	03/13/20	42.51	13.82 W	0.00	37 of 38 - Sale ²⁵
	10.000	28.70	03/13/20	44.22	15.52 W	0.00	38 of 38 - Sale ²⁵
03/16/20	150.000	430.48	Various	824.68	394.20 W	0.00	Total of 38 transactions
	19 transactions for 03/25/20. Total proceeds and cost reported to the IRS.						
	1.000	2.83	03/24/20	7.19	4.36 W	0.00	1 of 19 - Sale ²⁵
	1.000	2.83	03/24/20	7.09	4.26 W	0.00	2 of 19 - Sale ²⁵
	4.000	11.33	03/24/20	28.66	17.33 W	0.00	3 of 19 - Sale ²⁵
	4.000	11.33	03/24/20	28.40	17.07 W	0.00	4 of 19 - Sale ²⁵
	2.000	5.66	03/24/20	12.88	7.22 W	0.00	5 of 19 - Sale ²⁵
	2.000	5.66	03/24/20	12.88	7.22 W	0.00	6 of 19 - Sale ²⁵
	3.000	8.50	03/24/20	19.13	10.63 W	0.00	7 of 19 - Sale ²⁵
	5.000	14.16	03/24/20	31.78	17.62 W	0.00	8 of 19 - Sale ²⁵
	2.000	5.66	03/24/20	12.68	7.02 W	0.00	9 of 19 - Sale ²⁵
	1.000	2.83	03/24/20	7.05	4.22 W	0.00	10 of 19 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	2.000	5.66	03/24/20	14.23	8.57 W	0.00	11 of 19 - Sale ²⁵
	1.000	2.83	03/24/20	6.69	3.86 W	0.00	12 of 19 - Sale ²⁵
	2.000	5.66	03/24/20	13.52	7.86 W	0.00	13 of 19 - Sale ²⁵
	17.000	48.15	03/24/20	54.89	6.74 W	0.00	14 of 19 - Sale ²⁵
	3.000	8.50	03/24/20	10.37	1.87 W	0.00	15 of 19 - Sale ²⁵
	17.000	48.14	03/24/20	68.86	20.72 W	0.00	16 of 19 - Sale ²⁵
	13.000	36.82	03/24/20	52.40	15.58 W	0.00	17 of 19 - Sale ²⁵
	67.000	189.75	03/24/20	253.98	64.23 W	0.00	18 of 19 - Sale ²⁵
	3.000	8.49	03/24/20	11.85	3.36 W	0.00	19 of 19 - Sale ²⁵
03/25/20	150.000	424.79	Various	654.53	229.74 W	0.00	Total of 19 transactions
	11 transactions for 04/03/20. Total proceeds and cost reported to the IRS.						
	25.000	61.24	04/02/20	106.27	...	-45.03	1 of 11 - Sale ²⁵
	6.000	14.70	04/02/20	32.60	...	-17.90	2 of 11 - Sale ²⁵
	10.000	24.50	04/02/20	41.00	...	-16.50	3 of 11 - Sale ²⁵
	5.000	12.25	04/02/20	27.06	...	-14.81	4 of 11 - Sale ²⁵
	5.000	12.25	04/02/20	26.84	...	-14.59	5 of 11 - Sale ²⁵
	4.000	9.80	04/02/20	21.75	...	-11.95	6 of 11 - Sale ²⁵
	6.000	14.70	04/02/20	25.02	...	-10.32	7 of 11 - Sale ²⁵
	5.000	12.25	04/02/20	19.25	...	-7.00	8 of 11 - Sale ²⁵
	2.000	4.90	04/02/20	8.40	...	-3.50	9 of 11 - Sale ²⁵
	1.000	2.45	04/02/20	4.23	...	-1.78	10 of 11 - Sale ²⁵
	1.000	2.45	04/02/20	4.23	...	-1.78	11 of 11 - Sale ²⁵
04/03/20	70.000	171.49	Various	316.65	...	-145.16	Total of 11 transactions
	12 transactions for 04/03/20. Total proceeds and cost reported to the IRS.						
	4.000	9.80	04/02/20	24.00	14.20 W	0.00	1 of 12 - Sale ²⁵
	1.000	2.45	04/02/20	6.06	3.61 W	0.00	2 of 12 - Sale ²⁵
	5.000	12.25	04/02/20	30.27	18.02 W	0.00	3 of 12 - Sale ²⁵
	3.000	7.35	04/02/20	16.77	9.42 W	0.00	4 of 12 - Sale ²⁵
	3.000	7.35	04/02/20	16.78	9.43 W	0.00	5 of 12 - Sale ²⁵
	1.000	2.45	04/02/20	5.37	2.92 W	0.00	6 of 12 - Sale ²⁵
	1.000	2.45	04/02/20	5.21	2.76 W	0.00	7 of 12 - Sale ²⁵
	1.000	2.45	04/02/20	5.32	2.87 W	0.00	8 of 12 - Sale ²⁵
	2.000	4.90	04/02/20	10.65	5.75 W	0.00	9 of 12 - Sale ²⁵
	1.000	2.45	04/02/20	5.08	2.63 W	0.00	10 of 12 - Sale ²⁵

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(continued)

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	2.000	4.90	04/02/20	10.64	5.74 W	0.00	11 of 12 - Sale ²⁵
	6.000	14.70	04/02/20	33.37	18.67 W	0.00	12 of 12 - Sale ²⁵
04/03/20	30.000	73.50	Various	169.52	96.02 W	0.00	Total of 12 transactions
	19 transactions for 04/08/20. Total proceeds and cost reported to the IRS.						
	17.000	46.58	04/07/20	68.32	...	-21.74	1 of 19 - Sale ²⁵
	5.000	13.70	04/07/20	31.62	...	-17.92	2 of 19 - Sale ²⁵
	4.000	10.96	04/07/20	28.53	...	-17.57	3 of 19 - Sale ²⁵
	4.000	10.96	04/07/20	28.27	...	-17.31	4 of 19 - Sale ²⁵
	10.000	27.39	04/07/20	43.52	...	-16.13	5 of 19 - Sale ²⁵
	10.000	27.40	04/07/20	41.82	...	-14.42	6 of 19 - Sale ²⁵
	13.000	35.62	04/07/20	48.86	...	-13.24	7 of 19 - Sale ²⁵
	3.000	8.22	04/07/20	19.03	...	-10.81	8 of 19 - Sale ²⁵
	2.000	5.48	04/07/20	14.17	...	-8.69	9 of 19 - Sale ²⁵
	2.000	5.48	04/07/20	13.46	...	-7.98	10 of 19 - Sale ²⁵
	17.000	46.58	04/07/20	54.34	...	-7.76	11 of 19 - Sale ²⁵
	2.000	5.48	04/07/20	12.82	...	-7.34	12 of 19 - Sale ²⁵
	2.000	5.48	04/07/20	12.82	...	-7.34	13 of 19 - Sale ²⁵
	2.000	5.48	04/07/20	12.62	...	-7.14	14 of 19 - Sale ²⁵
	1.000	2.74	04/07/20	7.16	...	-4.42	15 of 19 - Sale ²⁵
	1.000	2.74	04/07/20	7.06	...	-4.32	16 of 19 - Sale ²⁵
	1.000	2.74	04/07/20	7.02	...	-4.28	17 of 19 - Sale ²⁵
	1.000	2.74	04/07/20	6.66	...	-3.92	18 of 19 - Sale ²⁵
	3.000	8.22	04/07/20	10.27	...	-2.05	19 of 19 - Sale ²⁵
04/08/20	100.000	273.99	Various	468.37	...	-194.38	Total of 19 transactions
	11 transactions for 04/14/20. Total proceeds and cost reported to the IRS.						
	54.000	167.93	04/13/20	210.52	...	-42.59	1 of 11 - Sale ²⁵
	6.000	18.66	04/13/20	36.31	...	-17.65	2 of 11 - Sale ²⁵
	13.000	40.43	04/13/20	53.80	...	-13.37	3 of 11 - Sale ²⁵
	3.000	9.33	04/13/20	18.25	...	-8.92	4 of 11 - Sale ²⁵
	2.000	6.22	04/13/20	11.63	...	-5.41	5 of 11 - Sale ²⁵
	2.000	6.22	04/13/20	11.62	...	-5.40	6 of 11 - Sale ²⁵
	3.000	9.33	04/13/20	12.18	...	-2.85	7 of 11 - Sale ²⁵
	1.000	3.11	04/13/20	5.86	...	-2.75	8 of 11 - Sale ²⁵
	1.000	3.11	04/13/20	5.81	...	-2.70	9 of 11 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	1.000	3.11	04/13/20	5.70	...	-2.59	10 of 11 - Sale ²⁵
	1.000	3.11	04/13/20	5.57	...	-2.46	11 of 11 - Sale ²⁵
04/14/20	87.000	270.56	Various	377.25	...	-106.69	Total of 11 transactions
	4 transactions for 04/14/20. Total proceeds and cost reported to the IRS.						
	3.000	9.33	04/13/20	18.24	5.94 W	-2.97	1 of 4 - Sale ²⁵
	4.000	12.44	04/13/20	25.96	13.52 W	0.00	2 of 4 - Sale ²⁵
	1.000	3.11	04/13/20	6.55	3.44 W	0.00	3 of 4 - Sale ²⁵
	5.000	15.55	04/13/20	32.72	17.17 W	0.00	4 of 4 - Sale ²⁵
04/14/20	13.000	40.43	Various	83.47	40.07 W	-2.97	Total of 4 transactions
05/18/20	38.000	133.00	05/15/20	128.81	...	4.19	Sale ²⁵
	4 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	4.000	14.00	05/14/20	27.30	13.30 W	0.00	1 of 4 - Sale ²⁵
	1.000	3.50	05/14/20	6.89	3.39 W	0.00	2 of 4 - Sale ²⁵
	5.000	17.50	05/14/20	34.39	16.89 W	0.00	3 of 4 - Sale ²⁵
	2.000	7.00	05/14/20	12.84	5.84 W	0.00	4 of 4 - Sale ²⁵
05/18/20	12.000	42.00	Various	81.42	39.42 W	0.00	Total of 4 transactions
	3 transactions for 05/27/20. Total proceeds and cost reported to the IRS.						
	1.000	4.14	05/26/20	3.43	...	0.71	1 of 3 - Sale ²⁵
	37.000	153.29	05/26/20	126.91	...	26.38	2 of 3 - Sale ²⁵
	50.000	207.15	05/26/20	179.88	...	27.27	3 of 3 - Sale ²⁵
05/27/20	88.000	364.58	Various	310.22	...	54.36	Total of 3 transactions
	4 transactions for 05/27/20. Total proceeds and cost reported to the IRS.						
	4.000	16.57	05/21/20	26.86	10.29 W	0.00	1 of 4 - Sale ²⁵
	1.000	4.14	05/21/20	6.78	2.64 W	0.00	2 of 4 - Sale ²⁵
	5.000	20.71	05/21/20	33.84	13.13 W	0.00	3 of 4 - Sale ²⁵
	2.000	8.29	05/26/20	12.70	4.41 W	0.00	4 of 4 - Sale ²⁵
05/27/20	12.000	49.71	Various	80.18	30.47 W	0.00	Total of 4 transactions
	5 transactions for 05/28/20. Total proceeds and cost reported to the IRS.						
	108.000	440.63	05/27/20	450.90	10.27 W	0.00	1 of 5 - Sale ²⁵
	4.000	16.32	05/27/20	26.99	10.67 W	0.00	2 of 5 - Sale ²⁵
	1.000	4.08	05/27/20	6.82	2.74 W	0.00	3 of 5 - Sale ²⁵
	5.000	20.40	05/27/20	34.00	13.60 W	0.00	4 of 5 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
05/28/20	2.000	8.16	05/27/20	12.76	4.60 W	0.00	5 of 5 - Sale ²⁵
	120.000	489.59	Various	531.47	41.88 W	0.00	Total of 5 transactions
	6 transactions for 06/02/20. Total proceeds and cost reported to the IRS.						
	4.000	17.28	06/01/20	27.87	10.59 W	0.00	1 of 6 - Sale ²⁵
	1.000	4.32	06/01/20	7.04	2.72 W	0.00	2 of 6 - Sale ²⁵
	3.000	12.96	06/01/20	21.06	8.10 W	0.00	3 of 6 - Sale ²⁵
	2.000	8.64	06/01/20	14.04	5.40 W	0.00	4 of 6 - Sale ²⁵
	2.000	8.64	06/01/20	13.20	4.56 W	0.00	5 of 6 - Sale ²⁵
	38.000	164.16	06/01/20	167.01	2.85 W	0.00	6 of 6 - Sale ²⁵
06/02/20	50.000	216.00	Various	250.22	34.22 W	0.00	Total of 6 transactions
	5 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	18.000	99.90	06/02/20	85.77	...	14.13	1 of 5 - Sale ²⁵
	20.000	111.00	06/02/20	95.50	...	15.50	2 of 5 - Sale ²⁵
	30.000	166.49	06/02/20	141.00	...	25.49	3 of 5 - Sale ²⁵
	70.000	388.49	06/02/20	334.96	...	53.53	4 of 5 - Sale ²⁵
	50.000	277.49	06/03/20	264.00	...	13.49	5 of 5 - Sale ²⁵
06/05/20	188.000	1,043.37	Various	921.23	...	122.14	Total of 5 transactions
	11 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	4.000	22.20	06/02/20	29.35	7.15 W	0.00	1 of 11 - Sale ²⁵
	1.000	5.55	06/02/20	7.41	1.86 W	0.00	2 of 11 - Sale ²⁵
	2.000	11.10	06/02/20	14.78	3.68 W	0.00	3 of 11 - Sale ²⁵
	3.000	16.65	06/02/20	22.17	5.52 W	0.00	4 of 11 - Sale ²⁵
	2.000	11.10	06/02/20	13.94	2.84 W	0.00	5 of 11 - Sale ²⁵
	100.000	554.98	06/03/20	562.74	7.76 W	0.00	6 of 11 - Sale ²⁵
	22.000	122.10	06/04/20	132.22	10.12 W	0.00	7 of 11 - Sale ²⁵
	3.000	16.65	06/04/20	18.03	1.38 W	0.00	8 of 11 - Sale ²⁵
	25.000	138.75	06/04/20	150.44	11.69 W	0.00	9 of 11 - Sale ²⁵
	49.000	271.94	06/04/20	301.84	29.90 W	0.00	10 of 11 - Sale ²⁵
	1.000	5.77	06/04/20	7.95	2.18 W	0.00	11 of 11 - Sale ²⁵
06/05/20	212.000	1,176.79	Various	1,260.87	84.08 W	0.00	Total of 11 transactions
	19 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	3.000	16.92	06/09/20	23.90	6.98 W	0.00	1 of 19 - Sale ²⁵
	1.000	5.64	06/09/20	8.04	2.40 W	0.00	2 of 19 - Sale ²⁵

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(continued)

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NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	2.000	11.28	06/09/20	16.04	4.76 W	0.00	3 of 19 - Sale ²⁵
	3.000	16.92	06/09/20	24.06	7.14 W	0.00	4 of 19 - Sale ²⁵
	2.000	11.28	06/09/20	15.20	3.92 W	0.00	5 of 19 - Sale ²⁵
	89.000	501.94	06/09/20	556.92	54.98 W	0.00	6 of 19 - Sale ²⁵
	11.000	62.04	06/09/20	71.80	9.76 W	0.00	7 of 19 - Sale ²⁵
	3.000	16.92	06/09/20	20.73	3.81 W	0.00	8 of 19 - Sale ²⁵
	22.000	124.07	06/09/20	152.02	27.95 W	0.00	9 of 19 - Sale ²⁵
	25.000	140.99	06/09/20	172.94	31.95 W	0.00	10 of 19 - Sale ²⁵
	39.000	219.95	06/09/20	275.34	55.39 W	0.00	11 of 19 - Sale ²⁵
	1.000	5.64	06/09/20	8.67	3.03 W	0.00	12 of 19 - Sale ²⁵
	10.000	56.40	06/09/20	71.00	14.60 W	0.00	13 of 19 - Sale ²⁵
	89.000	501.93	06/09/20	577.60	75.67 W	0.00	14 of 19 - Sale ²⁵
	100.000	563.97	06/09/20	679.75	115.78 W	0.00	15 of 19 - Sale ²⁵
	93.000	524.50	06/10/20	636.12	111.62 W	0.00	16 of 19 - Sale ²⁵
	3.000	16.92	06/10/20	27.50	10.58 W	0.00	17 of 19 - Sale ²⁵
	1.000	5.64	06/10/20	9.24	3.60 W	0.00	18 of 19 - Sale ²⁵
	3.000	16.92	06/10/20	27.66	10.74 W	0.00	19 of 19 - Sale ²⁵
06/11/20	500.000	2,819.87	Various	3,374.53	554.66 W	0.00	Total of 19 transactions
06/16/20	46.000	333.03	06/15/20	325.12	...	7.91	Sale ²⁵
	6 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	2.000	14.48	06/15/20	17.66	3.18 W	0.00	1 of 6 - Sale ²⁵
	2.000	14.48	06/15/20	16.82	2.34 W	0.00	2 of 6 - Sale ²⁵
	1.000	7.25	06/16/20	8.36	1.11 W	0.00	3 of 6 - Sale ²⁵
	42.000	304.07	06/16/20	339.26	35.19 W	0.00	4 of 6 - Sale ²⁵
	1.000	7.24	06/16/20	8.09	0.85 W	0.00	5 of 6 - Sale ²⁵
	6.000	43.44	06/16/20	50.14	6.70 W	0.00	6 of 6 - Sale ²⁵
06/16/20	54.000	390.96	Various	440.33	49.37 W	0.00	Total of 6 transactions
	4 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	4.000	29.01	06/18/20	32.47	3.46 W	0.00	1 of 4 - Sale ²⁵
	3.000	21.76	06/18/20	25.50	3.74 W	0.00	2 of 4 - Sale ²⁵
	21.000	152.30	06/18/20	178.67	26.37 W	0.00	3 of 4 - Sale ²⁵
	22.000	159.56	06/18/20	187.01	27.45 W	0.00	4 of 4 - Sale ²⁵
06/19/20	50.000	362.63	Various	423.65	61.02 W	0.00	Total of 4 transactions

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(continued)

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
7 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	1.000	8.83	07/02/20	12.05	3.22 W	0.00	1 of 7 - Sale ²⁵
	4.000	35.32	07/02/20	41.18	5.86 W	0.00	2 of 7 - Sale ²⁵
	10.000	88.30	07/02/20	104.78	16.48 W	0.00	3 of 7 - Sale ²⁵
	39.000	344.36	07/02/20	407.07	62.71 W	0.00	4 of 7 - Sale ²⁵
	46.000	406.17	07/02/20	453.91	47.74 W	0.00	5 of 7 - Sale ²⁵
	32.000	294.39	07/02/20	334.56	40.17 W	0.00	6 of 7 - Sale ²⁵
	43.000	395.59	07/02/20	436.33	40.74 W	0.00	7 of 7 - Sale ²⁵
07/02/20	175.000	1,572.96	Various	1,789.88	216.92 W	0.00	Total of 7 transactions
32 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	10.000	114.92	07/02/20	114.58	...	0.34	1 of 32 - Sale ²⁵
	2.000	22.98	07/02/20	22.16	...	0.82	2 of 32 - Sale ²⁵
	1.000	11.49	07/02/20	10.60	...	0.89	3 of 32 - Sale ²⁵
	13.000	149.40	07/02/20	148.44	...	0.96	4 of 32 - Sale ²⁵
	3.000	34.48	07/02/20	33.50	...	0.98	5 of 32 - Sale ²⁵
	1.000	11.49	07/02/20	10.35	...	1.14	6 of 32 - Sale ²⁵
	1.000	11.49	07/02/20	10.34	...	1.15	7 of 32 - Sale ²⁵
	5.000	57.46	07/02/20	56.14	...	1.32	8 of 32 - Sale ²⁵
	2.000	22.98	07/02/20	21.32	...	1.66	9 of 32 - Sale ²⁵
	4.000	45.97	07/02/20	44.26	...	1.71	10 of 32 - Sale ²⁵
	3.000	34.48	07/02/20	32.54	...	1.94	11 of 32 - Sale ²⁵
	3.000	34.48	07/02/20	32.54	...	1.94	12 of 32 - Sale ²⁵
	3.000	34.48	07/02/20	31.07	...	3.41	13 of 32 - Sale ²⁵
	5.000	57.46	07/02/20	53.79	...	3.67	14 of 32 - Sale ²⁵
	6.000	68.95	07/02/20	64.14	...	4.81	15 of 32 - Sale ²⁵
	18.000	206.86	07/02/20	201.74	...	5.12	16 of 32 - Sale ²⁵
	6.000	68.95	07/02/20	63.64	...	5.31	17 of 32 - Sale ²⁵
	8.000	91.94	07/02/20	86.06	...	5.88	18 of 32 - Sale ²⁵
	21.000	241.33	07/02/20	227.97	...	13.36	19 of 32 - Sale ²⁵
	19.000	218.35	07/02/20	204.02	...	14.33	20 of 32 - Sale ²⁵
	14.000	160.89	07/02/20	144.59	...	16.30	21 of 32 - Sale ²⁵
	23.000	264.32	07/02/20	245.64	...	18.68	22 of 32 - Sale ²⁵
	32.000	367.75	07/02/20	342.09	...	25.66	23 of 32 - Sale ²⁵
	32.000	367.75	07/02/20	341.45	...	26.30	24 of 32 - Sale ²⁵

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	46.000	528.64	07/02/20	499.00	...	29.64	25 of 32 - Sale ²⁵
	28.000	321.78	07/02/20	289.18	...	32.60	26 of 32 - Sale ²⁵
	68.000	781.46	07/02/20	722.69	...	58.77	27 of 32 - Sale ²⁵
	95.000	1,113.36	07/06/20	1,161.45	...	-48.09	28 of 32 - Sale ²⁵
	5.000	58.60	07/06/20	61.84	...	-3.24	29 of 32 - Sale ²⁵
	3.000	34.48	07/06/20	33.66	...	0.82	30 of 32 - Sale ²⁵
	55.000	628.77	07/06/20	617.64	...	11.13	31 of 32 - Sale ²⁵
	50.000	574.60	07/06/20	561.00	...	13.60	32 of 32 - Sale ²⁵
07/06/20	585.000	6,742.34	Various	6,489.43	...	252.91	Total of 32 transactions
	49 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	3.000	34.48	07/02/20	39.05	4.57 W	0.00	1 of 49 - Sale ²⁵
	1.000	11.49	07/02/20	13.09	1.60 W	0.00	2 of 49 - Sale ²⁵
	3.000	34.48	07/02/20	39.21	4.73 W	0.00	3 of 49 - Sale ²⁵
	1.000	11.49	07/02/20	12.82	1.33 W	0.00	4 of 49 - Sale ²⁵
	30.000	344.76	07/06/20	365.02	20.26 W	0.00	5 of 49 - Sale ²⁵
	32.000	367.75	07/06/20	399.21	31.46 W	0.00	6 of 49 - Sale ²⁵
	1.000	11.43	07/06/20	12.53	1.10 W	0.00	7 of 49 - Sale ²⁵
	3.000	34.30	07/06/20	38.17	3.87 W	0.00	8 of 49 - Sale ²⁵
	1.000	11.43	07/06/20	12.80	1.37 W	0.00	9 of 49 - Sale ²⁵
	3.000	34.30	07/06/20	38.33	4.03 W	0.00	10 of 49 - Sale ²⁵
	17.000	194.35	07/06/20	201.88	7.53 W	0.00	11 of 49 - Sale ²⁵
	13.000	148.62	07/06/20	154.77	6.15 W	0.00	12 of 49 - Sale ²⁵
	32.000	365.83	07/06/20	390.82	24.99 W	0.00	13 of 49 - Sale ²⁵
	125.000	1,429.03	07/06/20	1,478.13	49.10 W	0.00	14 of 49 - Sale ²⁵
	200.000	2,286.44	07/06/20	2,393.48	107.04 W	0.00	15 of 49 - Sale ²⁵
	1.000	11.52	07/06/20	13.07	1.55 W	0.00	16 of 49 - Sale ²⁵
	3.000	34.57	07/06/20	39.77	5.20 W	0.00	17 of 49 - Sale ²⁵
	1.000	11.52	07/06/20	13.34	1.82 W	0.00	18 of 49 - Sale ²⁵
	3.000	34.57	07/06/20	39.93	5.36 W	0.00	19 of 49 - Sale ²⁵
	12.000	138.27	07/06/20	152.98	14.71 W	0.00	20 of 49 - Sale ²⁵
	13.000	149.79	07/06/20	161.72	11.93 W	0.00	21 of 49 - Sale ²⁵
	17.000	195.88	07/06/20	210.98	15.10 W	0.00	22 of 49 - Sale ²⁵
	20.000	230.44	07/06/20	245.92	15.48 W	0.00	23 of 49 - Sale ²⁵
	30.000	345.67	07/06/20	357.23	11.56 W	0.00	24 of 49 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	95.000	1,094.61	07/06/20	1,150.71	56.10 W	0.00	25 of 49 - Sale ²⁵
	200.000	2,304.44	07/06/20	2,451.02	146.58 W	0.00	26 of 49 - Sale ²⁵
	105.000	1,209.83	07/06/20	1,230.59	20.76 W	0.00	27 of 49 - Sale ²⁵
	1.000	11.21	07/06/20	12.83	1.62 W	0.00	28 of 49 - Sale ²⁵
	3.000	33.63	07/06/20	39.04	5.41 W	0.00	29 of 49 - Sale ²⁵
	1.000	11.21	07/06/20	13.10	1.89 W	0.00	30 of 49 - Sale ²⁵
	3.000	33.63	07/06/20	39.20	5.57 W	0.00	31 of 49 - Sale ²⁵
	12.000	134.52	07/06/20	150.07	15.55 W	0.00	32 of 49 - Sale ²⁵
	13.000	145.72	07/06/20	158.57	12.85 W	0.00	33 of 49 - Sale ²⁵
	17.000	190.56	07/06/20	206.86	16.30 W	0.00	34 of 49 - Sale ²⁵
	20.000	224.29	07/06/20	241.08	16.79 W	0.00	35 of 49 - Sale ²⁵
	30.000	336.44	07/06/20	349.96	13.52 W	0.00	36 of 49 - Sale ²⁵
	95.000	1,065.39	07/06/20	1,127.69	62.30 W	0.00	37 of 49 - Sale ²⁵
	105.000	1,177.54	07/06/20	1,205.15	27.61 W	0.00	38 of 49 - Sale ²⁵
	200.000	2,242.93	07/06/20	2,402.55	159.62 W	0.00	39 of 49 - Sale ²⁵
	1.000	11.21	07/06/20	12.90	1.69 W	0.00	40 of 49 - Sale ²⁵
	3.000	33.64	07/06/20	39.25	5.61 W	0.00	41 of 49 - Sale ²⁵
	1.000	11.21	07/06/20	13.17	1.96 W	0.00	42 of 49 - Sale ²⁵
	3.000	33.64	07/06/20	39.41	5.77 W	0.00	43 of 49 - Sale ²⁵
	12.000	134.58	07/06/20	150.91	16.33 W	0.00	44 of 49 - Sale ²⁵
	13.000	145.79	07/06/20	159.49	13.70 W	0.00	45 of 49 - Sale ²⁵
	17.000	190.65	07/06/20	208.06	17.41 W	0.00	46 of 49 - Sale ²⁵
	450.000	5,046.59	07/06/20	5,075.95	29.36 W	0.00	47 of 49 - Sale ²⁵
	20.000	234.39	07/06/20	248.19	13.80 W	0.00	48 of 49 - Sale ²⁵
	30.000	351.59	07/06/20	360.62	9.03 W	0.00	49 of 49 - Sale ²⁵
07/06/20	2,015.000	22,881.65	Various	23,910.62	1,028.97 W	0.00	Total of 49 transactions
	15 transactions for 07/07/20. Total proceeds and cost reported to the IRS.						
	3.000	39.45	07/06/20	40.99	...	-1.54	1 of 15 - Sale ²⁵
	3.000	39.45	07/06/20	40.83	...	-1.38	2 of 15 - Sale ²⁵
	1.000	13.15	07/06/20	13.70	...	-0.55	3 of 15 - Sale ²⁵
	1.000	13.15	07/06/20	13.43	...	-0.28	4 of 15 - Sale ²⁵
	12.000	157.80	07/06/20	157.21	...	0.59	5 of 15 - Sale ²⁵
	13.000	170.94	07/06/20	166.32	...	4.62	6 of 15 - Sale ²⁵
	5.000	65.75	07/06/20	59.76	...	5.99	7 of 15 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NIO INC. AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	5.000	65.75	07/06/20	59.31	...	6.44	8 of 15 - Sale ²⁵
	17.000	223.54	07/06/20	216.99	...	6.55	9 of 15 - Sale ²⁵
	20.000	262.99	07/06/20	246.80	...	16.19	10 of 15 - Sale ²⁵
	95.000	1,249.21	07/06/20	1,177.82	...	71.39	11 of 15 - Sale ²⁵
	100.000	1,314.96	07/06/20	1,239.79	...	75.17	12 of 15 - Sale ²⁵
	100.000	1,314.96	07/06/20	1,200.30	...	114.66	13 of 15 - Sale ²⁵
	200.000	2,629.92	07/06/20	2,343.05	...	286.87	14 of 15 - Sale ²⁵
	250.000	3,287.39	07/06/20	2,931.31	...	356.08	15 of 15 - Sale ²⁵
07/07/20	825.000	10,848.41	Various	9,907.61	...	940.80	Total of 15 transactions
07/08/20	25.000	350.00	07/06/20	298.78	...	51.22	Sale ²⁵
	2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.						
	0.010	0.48	10/05/20	0.21	...	0.27	1 of 2 - Sale ²⁵
	0.872	42.76	10/05/20	18.79	...	23.97	2 of 2 - Sale ²⁵
12/21/20	0.882	43.24	Various	19.00	...	24.24	Total of 2 transactions
	Security total:	57,926.07		61,458.84	4,676.05 W	1,143.28	
NANOVIRICIDES INC. / CUSIP: 630087302 / Symbol:							
03/06/20	30.000	362.40	03/05/20	406.80	44.40 W	0.00	Sale ²⁵
	4 transactions for 03/17/20. Total proceeds and cost reported to the IRS.						
	23.000	165.37	03/16/20	208.84	...	-43.47	1 of 4 - Sale ²⁵
	7.000	50.33	03/16/20	66.36	...	-16.03	2 of 4 - Sale ²⁵
	10.000	71.90	03/16/20	76.00	...	-4.10	3 of 4 - Sale ²⁵
	3.000	21.57	03/16/20	22.80	...	-1.23	4 of 4 - Sale ²⁵
03/17/20	43.000	309.17	Various	374.00	...	-64.83	Total of 4 transactions
03/17/20	7.000	50.40	03/16/20	63.56	13.16 W	0.00	Sale ²⁵
	2 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	7.000	47.04	06/22/20	47.81	0.77 W	0.00	1 of 2 - Sale ²⁵
	43.000	288.96	06/22/20	293.91	4.95 W	0.00	2 of 2 - Sale ²⁵
06/22/20	50.000	336.00	Various	341.72	5.72 W	0.00	Total of 2 transactions
	4 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	43.000	403.75	06/25/20	410.87	...	-7.12	1 of 4 - Sale ²⁵
	49.000	460.09	06/25/20	462.56	...	-2.47	2 of 4 - Sale ²⁵
	7.000	65.73	06/25/20	66.85	...	-1.12	3 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NANOVICRIDES INC. / CUSIP: 630087302 / Symbol: (cont'd)							
	1.000	8.63	06/25/20	9.44	...	-0.81	4 of 4 - Sale ²⁵
06/26/20	100.000	938.20	Various	949.72	...	-11.52	Total of 4 transactions
	Security total:	1,996.17		2,135.80	63.28 W	-76.35	
NATIONAL VISION HOLDINGS, INC. COMMON STOCK / CUSIP: 63845R107 / Symbol:							
05/08/20	10.000	261.52	05/07/20	227.28	...	34.24	Sale ²⁵
NAUTILUS, INC. / CUSIP: 63910B102 / Symbol:							
06/25/20	100.000	820.47	06/24/20	864.00	...	-43.53	Sale ²⁵
NEOGENOMICS, INC. COMMON STOCK / CUSIP: 64049M209 / Symbol:							
06/30/20	30.000	918.05	06/30/20	919.76	...	-1.71	Sale ²⁵
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
2 transactions for 04/15/20. Total proceeds and cost reported to the IRS.							
	2.000	816.56	04/14/20	821.29	...	-4.73	1 of 2 - Sale ²⁵
	1.000	408.31	04/14/20	410.65	...	-2.34	2 of 2 - Sale ²⁵
04/15/20	3.000	1,224.87	Various	1,231.94	...	-7.07	Total of 2 transactions
NEW GOLD INC. / CUSIP: 644535106 / Symbol:							
02/25/20	100.000	99.65	02/24/20	93.50	...	6.15	Sale ²⁵
03/05/20	110.000	94.65	03/03/20	101.48	6.83 W	0.00	Sale ²⁵
3 transactions for 03/31/20. Total proceeds and cost reported to the IRS.							
	390.000	201.15	03/30/20	220.35	...	-19.20	1 of 3 - Sale ²⁵
	100.000	51.99	03/30/20	62.71	...	-10.72	2 of 3 - Sale ²⁵
	10.000	5.16	03/30/20	6.27	...	-1.11	3 of 3 - Sale ²⁵
03/31/20	500.000	258.30	Various	289.33	...	-31.03	Total of 3 transactions
	Security total:	452.60		484.31	6.83 W	-24.88	
NEW RESIDENTIAL INVESTMENT COR P / CUSIP: 64828T201 / Symbol:							
5 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	3.000	17.55	03/23/20	16.38	...	1.17	1 of 5 - Sale ²⁵
	6.000	35.10	03/23/20	32.75	...	2.35	2 of 5 - Sale ²⁵
	7.000	40.95	03/23/20	38.22	...	2.73	3 of 5 - Sale ²⁵
	9.000	52.65	03/23/20	49.13	...	3.52	4 of 5 - Sale ²⁵

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NEW RESIDENTIAL INVESTMENT COR P / CUSIP: 64828T201 / Symbol: (cont'd)							
	10.000	58.50	03/23/20	54.59	...	3.91	5 of 5 - Sale ²⁵
03/24/20	35.000	204.75	Various	191.07	...	13.68	Total of 5 transactions
03/25/20	100.000	500.97	03/24/20	493.82	...	7.15	Sale ²⁵
03/27/20	50.000	353.99	03/26/20	322.00	...	31.99	Sale ²⁵
03/27/20	50.000	353.98	03/26/20	362.90	8.92 W	0.00	Sale ²⁵
2 transactions for 04/02/20. Total proceeds and cost reported to the IRS.							
	50.000	217.99	04/01/20	244.41	26.42 W	0.00	1 of 2 - Sale ²⁵
	25.000	109.00	04/01/20	117.75	8.75 W	0.00	2 of 2 - Sale ²⁵
04/02/20	75.000	326.99	Various	362.16	35.17 W	0.00	Total of 2 transactions
04/03/20	50.000	211.00	04/02/20	243.92	32.92 W	0.00	Sale ²⁵
4 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	30.000	114.75	04/06/20	132.55	17.80 W	0.00	1 of 4 - Sale ²⁵
	20.000	76.50	04/06/20	88.37	11.87 W	0.00	2 of 4 - Sale ²⁵
	25.000	95.62	04/06/20	102.75	7.13 W	0.00	3 of 4 - Sale ²⁵
	25.000	95.62	04/06/20	108.58	12.96 W	0.00	4 of 4 - Sale ²⁵
04/07/20	100.000	382.49	Various	432.25	49.76 W	0.00	Total of 4 transactions
6 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	5.000	31.25	04/08/20	24.97	...	6.28	1 of 6 - Sale ²⁵
	11.000	68.75	04/08/20	54.10	...	14.65	2 of 6 - Sale ²⁵
	14.000	87.50	04/08/20	68.86	...	18.64	3 of 6 - Sale ²⁵
	20.000	124.99	04/08/20	99.87	...	25.12	4 of 6 - Sale ²⁵
	25.000	156.24	04/08/20	117.13	...	39.11	5 of 6 - Sale ²⁵
	25.000	156.24	04/08/20	110.00	...	46.24	6 of 6 - Sale ²⁵
04/09/20	100.000	624.97	Various	474.93	...	150.04	Total of 6 transactions
04/13/20	50.000	299.00	04/09/20	326.00	0.54 W	-26.46	Sale ²⁵
05/06/20	1.000	6.56	05/05/20	7.27	0.71 W	0.00	Sale ²⁵
05/29/20	50.000	374.98	05/28/20	399.89	...	-24.91	Sale ²⁵
2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	49.000	367.49	05/28/20	383.04	7.61 W	-7.94	1 of 2 - Sale ²⁵
	1.000	7.50	05/28/20	8.53	1.03 W	0.00	2 of 2 - Sale ²⁵
05/29/20	50.000	374.99	Various	391.57	8.64 W	-7.94	Total of 2 transactions

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NEW RESIDENTIAL INVESTMENT COR P / CUSIP: 64828T201 / Symbol: (cont'd)							
<i>4 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	9.10	06/04/20	9.01	...	0.09	1 of 4 - Sale ²⁵
	3.000	27.30	06/04/20	24.52	...	2.78	2 of 4 - Sale ²⁵
	10.000	91.00	06/04/20	83.07	...	7.93	3 of 4 - Sale ²⁵
	11.000	100.10	06/04/20	91.27	...	8.83	4 of 4 - Sale ²⁵
06/05/20	25.000	227.50	Various	207.87	...	19.63	Total of 4 transactions
<i>2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	180.56	07/06/20	181.76	...	-1.20	1 of 2 - Sale ²⁵
	5.000	36.11	07/06/20	36.22	...	-0.11	2 of 2 - Sale ²⁵
07/06/20	30.000	216.67	Various	217.98	...	-1.31	Total of 2 transactions
07/06/20	120.000	866.65	07/06/20	869.66	0.63 W	-2.38	Sale ²⁵
	Security total:	5,325.49		5,303.29	137.29 W	159.49	
NEW YORK MORTGAGE TRUST, INC. COMMON STOCK / CUSIP: 649604501 / Symbol:							
03/27/20	150.000	293.98	03/26/20	316.05	22.07 W	0.00	Sale ²⁵
<i>3 transactions for 03/30/20. Total proceeds and cost reported to the IRS.</i>							
	14.000	23.80	03/27/20	30.41	6.61 W	0.00	1 of 3 - Sale ²⁵
	136.000	231.18	03/27/20	295.41	64.23 W	0.00	2 of 3 - Sale ²⁵
	25.000	42.50	03/27/20	50.63	8.13 W	0.00	3 of 3 - Sale ²⁵
03/30/20	175.000	297.48	Various	376.45	78.97 W	0.00	Total of 3 transactions
04/06/20	75.000	94.12	04/03/20	91.50	...	2.62	Sale ²⁵
<i>4 transactions for 04/06/20. Total proceeds and cost reported to the IRS.</i>							
	11.000	13.80	04/03/20	18.62	4.82 W	0.00	1 of 4 - Sale ²⁵
	14.000	17.57	04/03/20	23.69	6.12 W	0.00	2 of 4 - Sale ²⁵
	125.000	156.86	04/03/20	211.53	54.67 W	0.00	3 of 4 - Sale ²⁵
	25.000	31.37	04/03/20	38.63	7.26 W	0.00	4 of 4 - Sale ²⁵
04/06/20	175.000	219.60	Various	292.47	72.87 W	0.00	Total of 4 transactions
<i>3 transactions for 04/08/20. Total proceeds and cost reported to the IRS.</i>							
	11.000	20.46	04/07/20	19.75	...	0.71	1 of 3 - Sale ²⁵
	14.000	26.04	04/07/20	25.12	...	0.92	2 of 3 - Sale ²⁵
	75.000	139.51	04/07/20	134.57	...	4.94	3 of 3 - Sale ²⁵
04/08/20	100.000	186.01	Various	179.44	...	6.57	Total of 3 transactions

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NEW YORK MORTGAGE TRUST, INC. COMMON STOCK / CUSIP: 649604501 / Symbol: (cont'd)							
4 transactions for 04/13/20. Total proceeds and cost reported to the IRS.							
	50.000	119.00	04/09/20	154.37	35.37 W	0.00	1 of 4 - Sale ²⁵
	25.000	59.50	04/09/20	73.51	14.01 W	0.00	2 of 4 - Sale ²⁵
	75.000	178.51	04/09/20	198.75	20.24 W	0.00	3 of 4 - Sale ²⁵
	35.000	83.31	04/09/20	93.10	9.79 W	0.00	4 of 4 - Sale ²⁵
04/13/20	185.000	440.32	Various	519.73	79.41 W	0.00	Total of 4 transactions
5 transactions for 04/15/20. Total proceeds and cost reported to the IRS.							
	50.000	102.50	04/14/20	142.37	39.87 W	0.00	1 of 5 - Sale ²⁵
	25.000	51.25	04/14/20	67.51	16.26 W	0.00	2 of 5 - Sale ²⁵
	35.000	71.74	04/14/20	84.69	12.95 W	0.00	3 of 5 - Sale ²⁵
	75.000	153.74	04/14/20	180.74	27.00 W	0.00	4 of 5 - Sale ²⁵
	15.000	30.75	04/14/20	32.10	1.35 W	0.00	5 of 5 - Sale ²⁵
04/15/20	200.000	409.98	Various	507.41	97.43 W	0.00	Total of 5 transactions
6 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	50.000	106.50	04/27/20	140.87	34.37 W	0.00	1 of 6 - Sale ²⁵
	25.000	53.25	04/27/20	66.76	13.51 W	0.00	2 of 6 - Sale ²⁵
	25.000	53.25	04/27/20	59.50	6.25 W	0.00	3 of 6 - Sale ²⁵
	35.000	74.54	04/27/20	84.95	10.41 W	0.00	4 of 6 - Sale ²⁵
	50.000	106.49	04/27/20	120.85	14.36 W	0.00	5 of 6 - Sale ²⁵
	15.000	31.95	04/27/20	32.20	0.25 W	0.00	6 of 6 - Sale ²⁵
04/28/20	200.000	425.98	Various	505.13	79.15 W	0.00	Total of 6 transactions
6 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	50.000	105.50	04/30/20	151.12	45.62 W	0.00	1 of 6 - Sale ²⁵
	25.000	52.75	04/30/20	71.89	19.14 W	0.00	2 of 6 - Sale ²⁵
	25.000	52.75	04/30/20	64.62	11.87 W	0.00	3 of 6 - Sale ²⁵
	35.000	73.84	04/30/20	92.13	18.29 W	0.00	4 of 6 - Sale ²⁵
	50.000	105.49	04/30/20	131.11	25.62 W	0.00	5 of 6 - Sale ²⁵
	15.000	31.65	04/30/20	35.28	3.63 W	0.00	6 of 6 - Sale ²⁵
05/01/20	200.000	421.98	Various	546.15	124.17 W	0.00	Total of 6 transactions
7 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	50.000	80.00	05/13/20	126.12	46.12 W	0.00	1 of 7 - Sale ²⁵
	25.000	40.00	05/13/20	59.39	19.39 W	0.00	2 of 7 - Sale ²⁵
	25.000	40.00	05/13/20	52.12	12.12 W	0.00	3 of 7 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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NEW YORK MORTGAGE TRUST, INC. COMMON STOCK / CUSIP: 649604501 / Symbol: (cont'd)							
	35.000	56.00	05/13/20	74.64	18.64 W	0.00	4 of 7 - Sale ²⁵
	50.000	80.01	05/13/20	106.12	26.11 W	0.00	5 of 7 - Sale ²⁵
	15.000	24.00	05/13/20	27.78	3.78 W	0.00	6 of 7 - Sale ²⁵
	100.000	160.01	05/13/20	161.00	0.99 W	0.00	7 of 7 - Sale ²⁵
05/14/20	300.000	480.02	Various	607.17	127.15 W	0.00	Total of 7 transactions
	4 transactions for 05/22/20. Total proceeds and cost reported to the IRS.						
	50.000	95.49	05/21/20	146.00	50.51 W	0.00	1 of 4 - Sale ²⁵
	25.000	47.75	05/21/20	69.33	21.58 W	0.00	2 of 4 - Sale ²⁵
	25.000	47.75	05/21/20	62.06	14.31 W	0.00	3 of 4 - Sale ²⁵
	50.000	95.49	05/21/20	125.98	30.49 W	0.00	4 of 4 - Sale ²⁵
05/22/20	150.000	286.48	Various	403.37	116.89 W	0.00	Total of 4 transactions
	4 transactions for 05/29/20. Total proceeds and cost reported to the IRS.						
	35.000	75.34	05/26/20	95.20	19.86 W	0.00	1 of 4 - Sale ²⁵
	15.000	32.29	05/26/20	36.59	4.30 W	0.00	2 of 4 - Sale ²⁵
	40.000	86.10	05/26/20	87.91	1.81 W	0.00	3 of 4 - Sale ²⁵
	60.000	129.14	05/27/20	137.24	8.10 W	0.00	4 of 4 - Sale ²⁵
05/29/20	150.000	322.87	Various	356.94	34.07 W	0.00	Total of 4 transactions
	12 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	35.000	101.23	06/03/20	99.92	...	1.31	1 of 12 - Sale ²⁵
	25.000	72.31	06/03/20	70.00	...	2.31	2 of 12 - Sale ²⁵
	25.000	72.31	06/03/20	69.06	...	3.25	3 of 12 - Sale ²⁵
	10.000	28.92	06/03/20	25.05	...	3.87	4 of 12 - Sale ²⁵
	15.000	43.38	06/03/20	38.61	...	4.77	5 of 12 - Sale ²⁵
	15.000	43.38	06/03/20	36.23	...	7.15	6 of 12 - Sale ²⁵
	25.000	72.31	06/03/20	58.32	...	13.99	7 of 12 - Sale ²⁵
	1.000	2.89	06/04/20	2.66	...	0.23	8 of 12 - Sale ²⁵
	50.000	144.61	06/04/20	131.63	...	12.98	9 of 12 - Sale ²⁵
	75.000	216.92	06/04/20	199.25	...	17.67	10 of 12 - Sale ²⁵
	50.000	144.62	06/04/20	124.87	...	19.75	11 of 12 - Sale ²⁵
	75.000	216.92	06/04/20	187.31	...	29.61	12 of 12 - Sale ²⁵
06/05/20	401.000	1,159.80	Various	1,042.91	...	116.89	Total of 12 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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NEW YORK MORTGAGE TRUST, INC. COMMON STOCK / CUSIP: 649604501 / Symbol: (cont'd)							
<i>3 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	144.62	06/03/20	160.01	15.39 W	0.00	1 of 3 - Sale ²⁵
	25.000	72.31	06/03/20	76.33	4.02 W	0.00	2 of 3 - Sale ²⁵
	25.000	72.31	06/03/20	72.43	0.12 W	0.00	3 of 3 - Sale ²⁵
06/05/20	100.000	289.24	Various	308.77	19.53 W	0.00	Total of 3 transactions
<i>4 transactions for 06/09/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	16.30	06/08/20	18.34	2.04 W	0.00	1 of 4 - Sale ²⁵
	45.000	146.70	06/08/20	165.05	18.35 W	0.00	2 of 4 - Sale ²⁵
	25.000	81.50	06/08/20	88.02	6.52 W	0.00	3 of 4 - Sale ²⁵
	25.000	81.49	06/08/20	84.11	2.62 W	0.00	4 of 4 - Sale ²⁵
06/09/20	100.000	325.99	Various	355.52	29.53 W	0.00	Total of 4 transactions
<i>4 transactions for 06/15/20. Total proceeds and cost reported to the IRS.</i>							
	45.000	113.40	06/12/20	147.95	...	-34.55	1 of 4 - Sale ²⁵
	25.000	63.00	06/12/20	78.52	...	-15.52	2 of 4 - Sale ²⁵
	25.000	62.99	06/12/20	74.62	...	-11.63	3 of 4 - Sale ²⁵
	5.000	12.60	06/12/20	16.44	...	-3.84	4 of 4 - Sale ²⁵
06/15/20	100.000	251.99	Various	317.53	...	-65.54	Total of 4 transactions
	Security total:	5,905.84		6,726.54	881.24 W	60.54	
NEWMARK GROUP, INC. CLASS A COMMON STOCK / CUSIP: 65158N102 / Symbol:							
04/24/20	200.000	649.96	04/23/20	665.98	16.02 W	0.00	Sale ²⁵
05/08/20	100.000	404.00	05/07/20	404.29	0.29 W	0.00	Sale ²⁵
05/12/20	1.000	3.76	05/11/20	4.29	0.53 W	0.00	Sale ²⁵
<i>3 transactions for 05/21/20. Total proceeds and cost reported to the IRS.</i>							
	75.000	290.54	05/20/20	295.36	4.82 W	0.00	1 of 3 - Sale ²⁵
	1.000	3.87	05/20/20	3.94	0.07 W	0.00	2 of 3 - Sale ²⁵
	24.000	92.98	05/20/20	96.48	3.50 W	0.00	3 of 3 - Sale ²⁵
05/21/20	100.000	387.39	Various	395.78	8.39 W	0.00	Total of 3 transactions
<i>2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	4.52	05/28/20	5.15	0.63 W	0.00	1 of 2 - Sale ²⁵
	99.000	447.87	05/28/20	457.57	9.70 W	0.00	2 of 2 - Sale ²⁵
05/29/20	100.000	452.39	Various	462.72	10.33 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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NEWMARK GROUP, INC. CLASS A CO MMON STOCK / CUSIP: 65158N102 / Symbol: (cont'd)							
4 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	1.000	5.68	06/03/20	4.84	...	0.84	1 of 4 - Sale ²⁵
	11.000	62.43	06/03/20	53.14	...	9.29	2 of 4 - Sale ²⁵
	24.000	136.22	06/03/20	117.88	...	18.34	3 of 4 - Sale ²⁵
	64.000	363.26	06/03/20	309.13	...	54.13	4 of 4 - Sale ²⁵
06/04/20	100.000	567.59	Various	484.99	...	82.60	Total of 4 transactions
06/16/20	50.000	275.05	06/15/20	245.15	...	29.90	Sale ²⁵
4 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	1.000	4.73	06/22/20	5.43	0.70 W	0.00	1 of 4 - Sale ²⁵
	49.000	231.85	06/22/20	239.87	8.02 W	0.00	2 of 4 - Sale ²⁵
	50.000	236.59	06/22/20	239.87	3.28 W	0.00	3 of 4 - Sale ²⁵
	50.000	236.59	06/22/20	246.37	9.78 W	0.00	4 of 4 - Sale ²⁵
06/22/20	150.000	709.76	Various	731.54	21.78 W	0.00	Total of 4 transactions
6 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	20.000	94.60	06/25/20	99.27	4.67 W	0.00	1 of 6 - Sale ²⁵
	5.000	23.65	06/25/20	24.82	1.17 W	0.00	2 of 6 - Sale ²⁵
	1.000	4.70	06/25/20	5.50	0.80 W	0.00	3 of 6 - Sale ²⁵
	24.000	112.80	06/25/20	119.13	6.33 W	0.00	4 of 6 - Sale ²⁵
	25.000	117.50	06/25/20	121.64	4.14 W	0.00	5 of 6 - Sale ²⁵
	25.000	116.25	06/25/20	121.64	5.39 W	0.00	6 of 6 - Sale ²⁵
06/25/20	100.000	469.50	Various	492.00	22.50 W	0.00	Total of 6 transactions
8 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	1.000	4.54	06/29/20	5.40	0.86 W	0.00	1 of 8 - Sale ²⁵
	5.000	22.70	06/29/20	24.15	1.45 W	0.00	2 of 8 - Sale ²⁵
	20.000	90.80	06/29/20	96.59	5.79 W	0.00	3 of 8 - Sale ²⁵
	24.000	108.96	06/29/20	116.63	7.67 W	0.00	4 of 8 - Sale ²⁵
	49.000	222.45	06/29/20	234.79	12.34 W	0.00	5 of 8 - Sale ²⁵
	1.000	4.69	06/29/20	4.79	0.10 W	0.00	6 of 8 - Sale ²⁵
	5.000	23.45	06/29/20	24.32	0.87 W	0.00	7 of 8 - Sale ²⁵
	14.000	65.66	06/29/20	68.08	2.42 W	0.00	8 of 8 - Sale ²⁵
06/29/20	119.000	543.25	Various	574.75	31.50 W	0.00	Total of 8 transactions

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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NEWMARK GROUP, INC. CLASS A CO MMON STOCK / CUSIP: 65158N102 / Symbol: (cont'd)							
<i>9 transactions for 06/30/20. Total proceeds and cost reported to the IRS.</i>							
	24.000	116.44	06/30/20	124.74	...	-8.30	1 of 9 - Sale ²⁵
	19.000	92.19	06/30/20	98.22	...	-6.03	2 of 9 - Sale ²⁵
	19.000	92.19	06/30/20	97.46	...	-5.27	3 of 9 - Sale ²⁵
	16.000	77.63	06/30/20	80.89	...	-3.26	4 of 9 - Sale ²⁵
	9.000	43.67	06/30/20	45.86	...	-2.19	5 of 9 - Sale ²⁵
	5.000	24.26	06/30/20	25.84	...	-1.58	6 of 9 - Sale ²⁵
	6.000	29.11	06/30/20	30.03	...	-0.92	7 of 9 - Sale ²⁵
	1.000	4.85	06/30/20	5.74	...	-0.89	8 of 9 - Sale ²⁵
	1.000	4.85	06/30/20	5.17	...	-0.32	9 of 9 - Sale ²⁵
06/30/20	100.000	485.19	Various	513.95	...	-28.76	Total of 9 transactions
<i>4 transactions for 07/06/20. Total proceeds and cost reported to the IRS.</i>							
	30.000	139.26	07/06/20	150.06	...	-10.80	1 of 4 - Sale ²⁵
	14.000	64.99	07/06/20	68.92	...	-3.93	2 of 4 - Sale ²⁵
	5.000	23.21	07/06/20	24.62	...	-1.41	3 of 4 - Sale ²⁵
	1.000	4.64	07/06/20	4.85	...	-0.21	4 of 4 - Sale ²⁵
07/06/20	50.000	232.10	Various	248.45	...	-16.35	Total of 4 transactions
	Security total:	5,179.94		5,223.89	111.34 W	67.39	
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
<i>4 transactions for 06/09/20. Total proceeds and cost reported to the IRS.</i>							
	15.000	856.78	06/08/20	1,096.80	240.02 W	0.00	1 of 4 - Sale ²⁵
	10.000	571.19	06/08/20	930.00	358.81 W	0.00	2 of 4 - Sale ²⁵
	10.000	571.18	06/08/20	929.90	358.72 W	0.00	3 of 4 - Sale ²⁵
	14.000	799.66	06/09/20	1,238.30	438.64 W	0.00	4 of 4 - Sale ²⁵
06/09/20	49.000	2,798.81	Various	4,195.00	1,396.19 W	0.00	Total of 4 transactions
<i>4 transactions for 06/10/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	76.19	06/09/20	104.45	28.26 W	0.00	1 of 4 - Sale ²⁵
	9.000	685.69	06/09/20	729.10	43.41 W	0.00	2 of 4 - Sale ²⁵
	3.000	228.56	06/09/20	368.19	139.63 W	0.00	3 of 4 - Sale ²⁵
	5.000	380.94	06/09/20	514.26	133.32 W	0.00	4 of 4 - Sale ²⁵
06/10/20	18.000	1,371.38	Various	1,716.00	344.62 W	0.00	Total of 4 transactions

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(continued)

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
5 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	7.000	446.31	06/17/20	700.92	254.61 W	0.00	1 of 5 - Sale ²⁵
	8.000	510.07	06/17/20	800.98	290.91 W	0.00	2 of 5 - Sale ²⁵
	2.000	127.52	06/17/20	200.26	72.74 W	0.00	3 of 5 - Sale ²⁵
	2.000	127.51	06/17/20	191.17	63.66 W	0.00	4 of 5 - Sale ²⁵
	1.000	63.71	06/17/20	95.59	31.88 W	0.00	5 of 5 - Sale ²⁵
06/18/20	20.000	1,275.12	Various	1,988.92	713.80 W	0.00	Total of 5 transactions
06/25/20	10.000	718.94	06/25/20	1,042.82	323.88 W	0.00	Sale ²⁵
6 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	6.000	408.23	06/29/20	635.55	...	-227.32	1 of 6 - Sale ²⁵
	3.000	204.12	06/29/20	348.29	...	-144.17	2 of 6 - Sale ²⁵
	5.000	340.19	06/29/20	481.07	...	-140.88	3 of 6 - Sale ²⁵
	9.000	612.34	06/29/20	669.37	...	-57.03	4 of 6 - Sale ²⁵
	1.000	68.04	06/29/20	101.12	...	-33.08	5 of 6 - Sale ²⁵
	1.000	68.04	06/29/20	97.82	...	-29.78	6 of 6 - Sale ²⁵
06/29/20	25.000	1,700.96	Various	2,333.22	...	-632.26	Total of 6 transactions
11 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	10.000	662.74	06/29/20	1,004.48	34.17 W	-307.57	1 of 11 - Sale ²⁵
	1.000	66.27	06/29/20	99.33	33.06 W	0.00	2 of 11 - Sale ²⁵
	1.000	66.27	06/29/20	96.32	30.05 W	0.00	3 of 11 - Sale ²⁵
	2.000	132.55	06/29/20	208.86	76.31 W	0.00	4 of 11 - Sale ²⁵
	3.000	198.82	06/29/20	343.81	144.99 W	0.00	5 of 11 - Sale ²⁵
	5.000	331.37	06/29/20	473.62	142.25 W	0.00	6 of 11 - Sale ²⁵
	7.000	463.91	06/29/20	731.03	267.12 W	0.00	7 of 11 - Sale ²⁵
	8.000	530.19	06/29/20	835.39	305.20 W	0.00	8 of 11 - Sale ²⁵
	9.000	596.46	06/29/20	655.95	59.49 W	0.00	9 of 11 - Sale ²⁵
	1.000	66.27	06/29/20	99.94	33.67 W	0.00	10 of 11 - Sale ²⁵
	2.000	132.55	06/29/20	199.78	67.23 W	0.00	11 of 11 - Sale ²⁵
06/29/20	49.000	3,247.40	Various	4,748.51	1,193.54 W	-307.57	Total of 11 transactions
6 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	8.000	521.18	06/30/20	839.44	...	-318.26	1 of 6 - Sale ²⁵
	2.000	130.30	06/30/20	209.87	...	-79.57	2 of 6 - Sale ²⁵
	2.000	130.30	06/30/20	200.79	...	-70.49	3 of 6 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	1.000	65.15	06/30/20	104.94	...	-39.79	4 of 6 - Sale ²⁵
	1.000	65.14	06/30/20	100.95	...	-35.81	5 of 6 - Sale ²⁵
	1.000	65.15	06/30/20	100.45	...	-35.30	6 of 6 - Sale ²⁵
06/30/20	15.000	977.22	Various	1,556.44	...	-579.22	Total of 6 transactions
	Security total:	12,089.83		17,580.91	3,972.03 W	-1,519.05	
9F INC. AMERICAN DEPOSITARY SH ARES / CUSIP: 65442R109 / Symbol:							
	5 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	92.000	892.37	06/19/20	1,071.80	...	-179.43	1 of 5 - Sale ²⁵
	61.000	591.68	06/19/20	698.45	...	-106.77	2 of 5 - Sale ²⁵
	37.000	358.88	06/19/20	423.65	...	-64.77	3 of 5 - Sale ²⁵
	3.000	29.10	06/19/20	40.65	...	-11.55	4 of 5 - Sale ²⁵
	2.000	19.40	06/19/20	26.70	...	-7.30	5 of 5 - Sale ²⁵
06/22/20	195.000	1,891.43	Various	2,261.25	...	-369.82	Total of 5 transactions
	2 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	3.000	29.25	06/19/20	34.95	5.70 W	0.00	1 of 2 - Sale ²⁵
	2.000	19.50	06/19/20	23.30	3.80 W	0.00	2 of 2 - Sale ²⁵
06/22/20	5.000	48.75	Various	58.25	9.50 W	0.00	Total of 2 transactions
	Security total:	1,940.18		2,319.50	9.50 W	-369.82	
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
04/17/20	100.000	347.19	04/16/20	353.74	...	-6.55	Sale ²⁵
06/02/20	50.000	214.25	06/01/20	207.50	...	6.75	Sale ²⁵
06/26/20	100.000	440.29	06/26/20	441.00	0.71 W	0.00	Sale ²⁵
07/06/20	100.000	474.24	07/06/20	472.21	...	2.03	Sale ²⁵
	Security total:	1,475.97		1,474.45	0.71 W	2.23	
NORDSTROM, INC. / CUSIP: 655664100 / Symbol:							
06/12/20	50.000	895.67	06/11/20	919.84	...	-24.17	Sale ²⁵
NORTHERN OIL AND GAS, INC. COM MON STOCK / CUSIP: 665531109 / Symbol:							
03/31/20	500.000	337.05	03/30/20	310.00	...	27.05	Sale ²⁵
	3 transactions for 05/13/20. Total proceeds and cost reported to the IRS.						
	200.000	177.97	05/12/20	189.00	...	-11.03	1 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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NORTHERN OIL AND GAS, INC. COM MON STOCK / CUSIP: 665531109 / Symbol: (cont'd)							
	250.000	222.47	05/12/20	224.05	...	-1.58	2 of 3 - Sale ²⁵
	250.000	222.46	05/12/20	214.74	...	7.72	3 of 3 - Sale ²⁵
05/13/20	700.000	622.90	Various	627.79	...	-4.89	Total of 3 transactions
	3 transactions for 07/02/20. Total proceeds and cost reported to the IRS.						
	300.000	266.96	07/02/20	284.94	...	-17.98	1 of 3 - Sale ²⁵
	198.000	176.20	07/02/20	188.04	...	-11.84	2 of 3 - Sale ²⁵
	2.000	1.78	07/02/20	1.90	...	-0.12	3 of 3 - Sale ²⁵
07/02/20	500.000	444.94	Various	474.88	...	-29.94	Total of 3 transactions
	Security total:	1,404.89		1,412.67	...	-7.78	
NOVABAY PHARMACEUTICALS, INC. / CUSIP: 66987P201 / Symbol:							
	2 transactions for 03/04/20. Total proceeds and cost reported to the IRS.						
	74.000	40.35	03/03/20	42.18	1.83 W	0.00	1 of 2 - Sale ²⁵
	126.000	68.70	03/03/20	73.08	4.38 W	0.00	2 of 2 - Sale ²⁵
03/04/20	200.000	109.05	Various	115.26	6.21 W	0.00	Total of 2 transactions
	4 transactions for 03/12/20. Total proceeds and cost reported to the IRS.						
	44.000	19.57	03/11/20	21.33	1.76 W	0.00	1 of 4 - Sale ²⁵
	126.000	56.06	03/11/20	62.34	6.28 W	0.00	2 of 4 - Sale ²⁵
	550.000	244.68	03/11/20	253.00	8.32 W	0.00	3 of 4 - Sale ²⁵
	30.000	13.35	03/11/20	14.54	1.19 W	0.00	4 of 4 - Sale ²⁵
03/12/20	750.000	333.66	Various	351.21	17.55 W	0.00	Total of 4 transactions
	10 transactions for 03/23/20. Total proceeds and cost reported to the IRS.						
	200.000	174.00	03/19/20	319.03	...	-145.03	1 of 10 - Sale ²⁵
	130.000	109.42	03/19/20	212.57	...	-103.15	2 of 10 - Sale ²⁵
	100.000	88.00	03/19/20	159.51	...	-71.51	3 of 10 - Sale ²⁵
	70.000	58.92	03/19/20	123.31	...	-64.39	4 of 10 - Sale ²⁵
	56.000	49.28	03/19/20	98.64	...	-49.36	5 of 10 - Sale ²⁵
	50.000	42.08	03/19/20	86.64	...	-44.56	6 of 10 - Sale ²⁵
	44.000	38.72	03/19/20	77.07	...	-38.35	7 of 10 - Sale ²⁵
	30.000	26.40	03/19/20	52.55	...	-26.15	8 of 10 - Sale ²⁵
	20.000	17.60	03/19/20	32.70	...	-15.10	9 of 10 - Sale ²⁵
	50.000	43.50	03/19/20	50.25	...	-6.75	10 of 10 - Sale ²⁵
03/23/20	750.000	647.92	Various	1,212.27	...	-564.35	Total of 10 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NOVBAY PHARMACEUTICALS, INC. / CUSIP: 66987P201 / Symbol: (cont'd)							
4 transactions for 03/23/20. Total proceeds and cost reported to the IRS.							
	30.000	26.77	03/19/20	30.72	3.95 W	0.00	1 of 4 - Sale ²⁵
	44.000	39.27	03/19/20	45.06	5.79 W	0.00	2 of 4 - Sale ²⁵
	126.000	112.46	03/19/20	130.29	17.83 W	0.00	3 of 4 - Sale ²⁵
	50.000	44.62	03/19/20	50.26	5.64 W	0.00	4 of 4 - Sale ²⁵
03/23/20	250.000	223.12	Various	256.33	33.21 W	0.00	Total of 4 transactions
06/19/20	25.000	24.25	06/18/20	28.25	...	-4.00	Sale ²⁵
	Security total:	1,338.00		1,963.32	56.97 W	-568.35	
NOVAN, INC. COMMON STOCK / CUSIP: 66988N106 / Symbol:							
03/10/20	400.000	311.95	03/04/20	135.88	...	176.07	Sale ²⁵
03/11/20	350.000	227.60	03/10/20	220.32	...	7.28	Sale ²⁵
03/11/20	200.000	130.05	03/10/20	187.88	57.83 W	0.00	Sale ²⁵
2 transactions for 03/18/20. Total proceeds and cost reported to the IRS.							
	200.000	59.98	03/17/20	120.71	...	-60.73	1 of 2 - Sale ²⁵
	300.000	89.96	03/17/20	94.32	...	-4.36	2 of 2 - Sale ²⁵
03/18/20	500.000	149.94	Various	215.03	...	-65.09	Total of 2 transactions
05/21/20	200.000	82.98	05/20/20	97.90	14.92 W	0.00	Sale ²⁵
2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	50.000	23.64	06/04/20	28.68	5.04 W	0.00	1 of 2 - Sale ²⁵
	150.000	70.57	06/04/20	86.04	15.47 W	0.00	2 of 2 - Sale ²⁵
06/05/20	200.000	94.21	Various	114.72	20.51 W	0.00	Total of 2 transactions
3 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	50.000	25.99	06/09/20	31.79	5.80 W	0.00	1 of 3 - Sale ²⁵
	130.000	67.59	06/09/20	82.96	15.37 W	0.00	2 of 3 - Sale ²⁵
	20.000	10.40	06/09/20	12.76	2.36 W	0.00	3 of 3 - Sale ²⁵
06/10/20	200.000	103.98	Various	127.51	23.53 W	0.00	Total of 3 transactions
20 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	50.000	28.85	06/16/20	41.80	12.95 W	0.00	1 of 20 - Sale ²⁵
	50.000	28.84	06/16/20	41.91	13.07 W	0.00	2 of 20 - Sale ²⁵
	50.000	28.84	06/16/20	41.91	13.07 W	0.00	3 of 20 - Sale ²⁵
	20.000	11.54	06/16/20	16.85	5.31 W	0.00	4 of 20 - Sale ²⁵
	30.000	17.31	06/16/20	25.28	7.97 W	0.00	5 of 20 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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NOVAN, INC. COMMON STOCK / CUSIP: 66988N106 / Symbol: (cont'd)							
	50.000	28.84	06/16/20	49.17	20.33 W	0.00	6 of 20 - Sale ²⁵
	50.000	28.84	06/16/20	49.29	20.45 W	0.00	7 of 20 - Sale ²⁵
	100.000	57.69	06/16/20	72.44	14.75 W	0.00	8 of 20 - Sale ²⁵
	50.000	28.84	06/16/20	49.29	20.45 W	0.00	9 of 20 - Sale ²⁵
	50.000	28.84	06/16/20	36.22	7.38 W	0.00	10 of 20 - Sale ²⁵
	30.000	17.31	06/16/20	32.50	15.19 W	0.00	11 of 20 - Sale ²⁵
	20.000	11.54	06/16/20	21.66	10.12 W	0.00	12 of 20 - Sale ²⁵
	50.000	28.84	06/16/20	61.21	32.37 W	0.00	13 of 20 - Sale ²⁵
	50.000	28.84	06/16/20	61.32	32.48 W	0.00	14 of 20 - Sale ²⁵
	100.000	57.69	06/16/20	96.50	38.81 W	0.00	15 of 20 - Sale ²⁵
	116.000	66.92	06/16/20	95.98	29.06 W	0.00	16 of 20 - Sale ²⁵
	4.000	2.31	06/16/20	5.33	3.02 W	0.00	17 of 20 - Sale ²⁵
	30.000	17.31	06/16/20	40.01	22.70 W	0.00	18 of 20 - Sale ²⁵
	50.000	28.84	06/16/20	61.82	32.98 W	0.00	19 of 20 - Sale ²⁵
	50.000	28.84	06/16/20	48.75	19.91 W	0.00	20 of 20 - Sale ²⁵
06/16/20	1,000.000	576.87	Various	949.24	372.37 W	0.00	Total of 20 transactions
	12 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	50.000	27.11	07/06/20	61.25	...	-34.14	1 of 12 - Sale ²⁵
	50.000	27.11	07/06/20	60.75	...	-33.64	2 of 12 - Sale ²⁵
	50.000	27.11	07/06/20	60.64	...	-33.53	3 of 12 - Sale ²⁵
	81.000	43.92	07/06/20	77.28	...	-33.36	4 of 12 - Sale ²⁵
	88.000	47.71	07/06/20	71.80	...	-24.09	5 of 12 - Sale ²⁵
	30.000	16.27	07/06/20	39.66	...	-23.39	6 of 12 - Sale ²⁵
	50.000	27.11	07/06/20	48.18	...	-21.07	7 of 12 - Sale ²⁵
	16.000	8.67	07/06/20	17.15	...	-8.48	8 of 12 - Sale ²⁵
	19.000	10.30	07/06/20	18.11	...	-7.81	9 of 12 - Sale ²⁵
	28.000	15.18	07/06/20	22.86	...	-7.68	10 of 12 - Sale ²⁵
	4.000	2.17	07/06/20	5.28	...	-3.11	11 of 12 - Sale ²⁵
	34.000	18.43	07/06/20	19.23	...	-0.80	12 of 12 - Sale ²⁵
07/06/20	500.000	271.09	Various	502.19	...	-231.10	Total of 12 transactions
	Security total:	1,948.67		2,550.67	489.16 W	-112.84	

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(continued)

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NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol:							
03/03/20	10.000	108.10	02/26/20	94.60	...	13.50	Sale ²⁵
03/03/20	20.000	216.20	02/28/20	308.00	45.90 W	-45.90	Sale ²⁵
3 transactions for 03/19/20. Total proceeds and cost reported to the IRS.							
	8.000	85.68	03/18/20	125.26	39.58 W	0.00	1 of 3 - Sale ²⁵
	1.000	10.71	03/18/20	15.67	4.96 W	0.00	2 of 3 - Sale ²⁵
	1.000	10.71	03/18/20	15.66	4.95 W	0.00	3 of 3 - Sale ²⁵
03/19/20	10.000	107.10	Various	156.59	49.49 W	0.00	Total of 3 transactions
04/09/20	20.000	351.99	04/08/20	343.20	...	8.79	Sale ²⁵
3 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	1.000	17.60	04/08/20	22.12	4.52 W	0.00	1 of 3 - Sale ²⁵
	1.000	17.60	04/08/20	22.11	4.51 W	0.00	2 of 3 - Sale ²⁵
	8.000	140.79	04/08/20	176.86	36.07 W	0.00	3 of 3 - Sale ²⁵
04/09/20	10.000	175.99	Various	221.09	45.10 W	0.00	Total of 3 transactions
05/04/20	25.000	425.02	05/01/20	457.40	...	-32.38	Sale ²⁵
5 transactions for 05/04/20. Total proceeds and cost reported to the IRS.							
	50.000	850.03	05/01/20	899.31	21.69 W	-27.59	1 of 5 - Sale ²⁵
	1.000	17.00	05/01/20	22.36	5.36 W	0.00	2 of 5 - Sale ²⁵
	1.000	17.00	05/01/20	22.35	5.35 W	0.00	3 of 5 - Sale ²⁵
	8.000	136.00	05/01/20	178.83	42.83 W	0.00	4 of 5 - Sale ²⁵
	40.000	680.03	05/01/20	713.80	33.77 W	0.00	5 of 5 - Sale ²⁵
05/04/20	100.000	1,700.06	Various	1,836.65	109.00 W	-27.59	Total of 5 transactions
4 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	1.000	40.00	05/12/20	46.56	6.56 W	0.00	1 of 4 - Sale ²⁵
	1.000	40.00	05/13/20	46.77	6.77 W	0.00	2 of 4 - Sale ²⁵
	1.000	40.00	05/13/20	46.75	6.75 W	0.00	3 of 4 - Sale ²⁵
	7.000	280.00	05/13/20	327.30	47.30 W	0.00	4 of 4 - Sale ²⁵
05/14/20	10.000	400.00	Various	467.38	67.38 W	0.00	Total of 4 transactions
2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	5.000	272.99	05/15/20	230.72	...	42.27	1 of 2 - Sale ²⁵
	5.000	272.99	05/15/20	229.97	...	43.02	2 of 2 - Sale ²⁵
05/19/20	10.000	545.98	Various	460.69	...	85.29	Total of 2 transactions
05/19/20	5.000	275.40	05/19/20	308.47	33.07 W	0.00	Sale ²⁵
05/21/20	7.000	365.61	05/20/20	374.31	8.70 W	0.00	Sale ²⁵

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(continued)

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NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
05/27/20	10.000	482.50	05/26/20	542.44		0.00	Sale ²⁵
05/29/20	5.000	224.20	05/27/20	217.82	59.94 W	6.38	Sale ²⁵
					...		
	4 transactions for 06/02/20. Total proceeds and cost reported to the IRS.						
	3.000	147.88	05/29/20	136.94	...	10.94	1 of 4 - Sale ²⁵
	5.000	246.47	05/29/20	231.07	...	15.40	2 of 4 - Sale ²⁵
	7.000	345.06	05/29/20	320.50	...	24.56	3 of 4 - Sale ²⁵
	10.000	492.94	06/01/20	474.36	...	18.58	4 of 4 - Sale ²⁵
06/02/20	25.000	1,232.35	Various	1,162.87	...	69.48	Total of 4 transactions
	5 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	1.000	47.05	06/08/20	50.62	3.57 W	0.00	1 of 5 - Sale ²⁵
	1.000	47.05	06/08/20	50.83	3.78 W	0.00	2 of 5 - Sale ²⁵
	1.000	47.05	06/08/20	50.81	3.76 W	0.00	3 of 5 - Sale ²⁵
	7.000	329.34	06/08/20	355.72	26.38 W	0.00	4 of 5 - Sale ²⁵
	5.000	235.24	06/08/20	253.37	18.13 W	0.00	5 of 5 - Sale ²⁵
06/09/20	15.000	705.73	Various	761.35	55.62 W	0.00	Total of 5 transactions
	2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	3.000	129.75	06/10/20	156.43	26.68 W	0.00	1 of 2 - Sale ²⁵
	7.000	302.75	06/10/20	331.75	29.00 W	0.00	2 of 2 - Sale ²⁵
06/11/20	10.000	432.50	Various	488.18	55.68 W	0.00	Total of 2 transactions
	5 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	1.000	51.19	06/12/20	49.34	...	1.85	1 of 5 - Sale ²⁵
	1.000	51.19	06/12/20	49.32	...	1.87	2 of 5 - Sale ²⁵
	1.000	51.19	06/12/20	49.13	...	2.06	3 of 5 - Sale ²⁵
	3.000	153.53	06/12/20	147.54	...	5.99	4 of 5 - Sale ²⁵
	7.000	358.32	06/12/20	345.27	...	13.05	5 of 5 - Sale ²⁵
06/16/20	13.000	665.42	Various	640.60	...	24.82	Total of 5 transactions
	4 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	5.000	255.94	06/12/20	257.76	1.82 W	0.00	1 of 4 - Sale ²⁵
	2.000	102.36	06/12/20	103.10	0.74 W	0.00	2 of 4 - Sale ²⁵
	3.000	153.53	06/15/20	164.29	10.76 W	0.00	3 of 4 - Sale ²⁵
	2.000	102.36	06/15/20	108.49	6.13 W	0.00	4 of 4 - Sale ²⁵
06/16/20	12.000	614.19	Various	633.64	19.45 W	0.00	Total of 4 transactions
06/18/20	3.000	165.90	06/17/20	163.68	...	2.22	Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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NOVAVAX, INC. COMMON STOCK / CUSIP: 670002401 / Symbol: (cont'd)							
<i>9 transactions for 06/18/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	165.90	06/17/20	189.27	23.37 W	0.00	1 of 9 - Sale ²⁵
	4.000	221.19	06/17/20	233.36	12.17 W	0.00	2 of 9 - Sale ²⁵
	2.000	110.60	06/17/20	111.42	0.82 W	0.00	3 of 9 - Sale ²⁵
	2.000	110.60	06/17/20	111.44	0.84 W	0.00	4 of 9 - Sale ²⁵
	3.000	165.90	06/17/20	176.80	10.90 W	0.00	5 of 9 - Sale ²⁵
	2.000	110.60	06/17/20	116.83	6.23 W	0.00	6 of 9 - Sale ²⁵
	6.000	331.79	06/17/20	332.08	0.29 W	0.00	7 of 9 - Sale ²⁵
	25.000	1,382.46	06/17/20	1,395.50	13.04 W	0.00	8 of 9 - Sale ²⁵
	15.000	829.47	06/17/20	861.00	31.53 W	0.00	9 of 9 - Sale ²⁵
06/18/20	62.000	3,428.51	Various	3,527.70	99.19 W	0.00	Total of 9 transactions
<i>10 transactions for 06/19/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	185.69	06/18/20	188.83	...	-3.14	1 of 10 - Sale ²⁵
	2.000	123.80	06/18/20	124.85	...	-1.05	2 of 10 - Sale ²⁵
	1.000	61.90	06/18/20	59.73	...	2.17	3 of 10 - Sale ²⁵
	1.000	61.90	06/18/20	58.12	...	3.78	4 of 10 - Sale ²⁵
	4.000	247.59	06/18/20	242.99	...	4.60	5 of 10 - Sale ²⁵
	3.000	185.69	06/18/20	179.49	...	6.20	6 of 10 - Sale ²⁵
	2.000	123.80	06/18/20	116.23	...	7.57	7 of 10 - Sale ²⁵
	6.000	371.39	06/18/20	356.15	...	15.24	8 of 10 - Sale ²⁵
	11.000	707.50	06/19/20	716.56	...	-9.06	9 of 10 - Sale ²⁵
	1.000	64.32	06/19/20	65.14	...	-0.82	10 of 10 - Sale ²⁵
06/19/20	34.000	2,133.58	Various	2,108.09	...	25.49	Total of 10 transactions
06/19/20	3.000	185.70	06/18/20	196.48	3.59 W	-7.19	Sale ²⁵
06/24/20	10.000	783.41	06/24/20	804.66	...	-21.25	Sale ²⁵
06/29/20	1.000	86.50	06/29/20	89.15	...	-2.65	Sale ²⁵
<i>2 transactions for 06/30/20. Total proceeds and cost reported to the IRS.</i>							
	14.000	1,184.79	06/30/20	1,236.23	...	-51.44	1 of 2 - Sale ²⁵
	1.000	84.63	06/30/20	89.79	...	-5.16	2 of 2 - Sale ²⁵
06/30/20	15.000	1,269.42	Various	1,326.02	...	-56.60	Total of 2 transactions
	Security total:	17,081.36		17,691.06	652.11 W	42.41	

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Account 412265225

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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NUTANIX, INC. CLASS A COMMON S TOCK / CUSIP: 67059N108 / Symbol:							
<i>6 transactions for 06/26/20. Total proceeds and cost reported to the IRS.</i>							
	197.000	4,396.91	06/26/20	4,470.03	...	-73.12	1 of 6 - Sale ²⁵
	100.000	2,231.94	06/26/20	2,269.05	...	-37.11	2 of 6 - Sale ²⁵
	100.000	2,231.94	06/26/20	2,269.04	...	-37.10	3 of 6 - Sale ²⁵
	33.000	736.54	06/26/20	748.79	...	-12.25	4 of 6 - Sale ²⁵
	67.000	1,514.15	06/26/20	1,520.26	...	-6.11	5 of 6 - Sale ²⁵
	3.000	67.80	06/26/20	68.06	...	-0.26	6 of 6 - Sale ²⁵
06/26/20	500.000	11,179.28	Various	11,345.23	...	-165.95	Total of 6 transactions
<i>5 transactions for 06/26/20. Total proceeds and cost reported to the IRS.</i>							
	600.000	13,439.68	06/26/20	13,463.94	4.04 W	-20.22	1 of 5 - Sale ²⁵
	3.000	67.20	06/26/20	67.31	0.11 W	0.00	2 of 5 - Sale ²⁵
	100.000	2,239.95	06/26/20	2,244.00	4.05 W	0.00	3 of 5 - Sale ²⁵
	100.000	2,239.95	06/26/20	2,244.00	4.05 W	0.00	4 of 5 - Sale ²⁵
	197.000	4,412.70	06/26/20	4,420.68	7.98 W	0.00	5 of 5 - Sale ²⁵
06/26/20	1,000.000	22,399.48	Various	22,439.93	20.23 W	-20.22	Total of 5 transactions
	Security total:	33,578.76		33,785.16	20.23 W	-186.17	
OASIS PETROLEUM INC. COMMON ST OCK / CUSIP: 674215108 / Symbol:							
<i>2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.25	06/11/20	1.07	...	0.18	1 of 2 - Sale ²⁵
	99.000	123.74	06/11/20	110.39	...	13.35	2 of 2 - Sale ²⁵
06/12/20	100.000	124.99	Various	111.46	...	13.53	Total of 2 transactions
OCCIDENTAL PETROLEUM CORPORATI ON / CUSIP: 674599105 / Symbol:							
<i>4 transactions for 04/21/20. Total proceeds and cost reported to the IRS.</i>							
	30.000	361.50	04/20/20	371.70	...	-10.20	1 of 4 - Sale ²⁵
	12.000	144.60	04/20/20	148.68	...	-4.08	2 of 4 - Sale ²⁵
	5.000	60.25	04/20/20	61.95	...	-1.70	3 of 4 - Sale ²⁵
	3.000	36.15	04/20/20	37.17	...	-1.02	4 of 4 - Sale ²⁵
04/21/20	50.000	602.50	Various	619.50	...	-17.00	Total of 4 transactions
06/08/20	50.000	1,149.97	06/05/20	1,028.25	...	121.72	Sale ²⁵
	Security total:	1,752.47		1,647.75	...	104.72	

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
2 transactions for 02/14/20. Total proceeds and cost reported to the IRS.							
	50.000	29.24	02/12/20	29.47	0.23 W	0.00	1 of 2 - Sale ²⁵
	50.000	29.25	02/12/20	29.27	0.02 W	0.00	2 of 2 - Sale ²⁵
02/14/20	100.000	58.49	Various	58.74	0.25 W	0.00	Total of 2 transactions
6 transactions for 03/04/20. Total proceeds and cost reported to the IRS.							
	50.000	23.74	02/28/20	21.23	...	2.51	1 of 6 - Sale ²⁵
	50.000	23.74	02/28/20	21.21	...	2.53	2 of 6 - Sale ²⁵
	50.000	23.74	02/28/20	21.19	...	2.55	3 of 6 - Sale ²⁵
	200.000	94.98	02/28/20	84.74	...	10.24	4 of 6 - Sale ²⁵
	300.000	142.47	02/28/20	128.70	...	13.77	5 of 6 - Sale ²⁵
	350.000	166.21	02/28/20	148.29	...	17.92	6 of 6 - Sale ²⁵
03/04/20	1,000.000	474.88	Various	425.36	...	49.52	Total of 6 transactions
06/08/20	500.000	127.44	06/05/20	125.35	...	2.09	Sale ²⁵
	Security total:	660.81		609.45	0.25 W	51.61	
OFFICE DEPOT, INC. COMMON STOC K / CUSIP: 676220106 / Symbol:							
05/18/20	150.000	337.86	05/15/20	331.13	...	6.73	Sale ²⁵
OKTA, INC. CLASS A COMMON STOC K / CUSIP: 679295105 / Symbol:							
2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	5.000	1,005.83	06/25/20	993.67	...	12.16	1 of 2 - Sale ²⁵
	5.000	1,005.84	06/25/20	991.54	...	14.30	2 of 2 - Sale ²⁵
06/25/20	10.000	2,011.67	Various	1,985.21	...	26.46	Total of 2 transactions
ONCOLYTICS BIOTECH, INC. COMMO N SHARES / CUSIP: 682310875 / Symbol:							
3 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	100.000	155.98	03/24/20	157.91	...	-1.93	1 of 3 - Sale ²⁵
	7.000	10.92	03/24/20	11.20	...	-0.28	2 of 3 - Sale ²⁵
	250.000	389.96	03/24/20	375.00	...	14.96	3 of 3 - Sale ²⁵
03/25/20	357.000	556.86	Various	544.11	...	12.75	Total of 3 transactions

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol:							
02/12/20	8.000	3.91	02/11/20	5.98	...	-2.07	Sale ²⁵
06/12/20	100.000	58.99	06/11/20	65.00	...	-6.01	Sale ²⁵
06/12/20	100.000	58.99	06/11/20	65.00	5.11 W	-0.90	Sale ²⁵
06/30/20	85.000	51.81	06/30/20	59.33	...	-7.52	Sale ²⁵
Security total:		173.70		195.31	5.11 W	-16.50	
1LIFE HEALTHCARE, INC. COMMON STOCK / CUSIP: 68269G107 / Symbol:							
03/06/20	25.000	579.98	03/05/20	596.75	...	-16.77	Sale ²⁵
04/21/20	10.000	250.50	04/20/20	275.40	24.90 W	0.00	Sale ²⁵
<i>2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	323.10	05/15/20	304.50	...	18.60	1 of 2 - Sale ²⁵
	5.000	161.54	05/18/20	181.80	...	-20.26	2 of 2 - Sale ²⁵
05/19/20	15.000	484.64	Various	486.30	...	-1.66	Total of 2 transactions
05/19/20	10.000	323.09	05/15/20	329.40	3.16 W	-3.15	Sale ²⁵
06/01/20	5.000	170.68	05/29/20	161.61	...	9.07	Sale ²⁵
06/29/20	5.000	166.70	06/29/20	168.18	...	-1.48	Sale ²⁵
Security total:		1,975.59		2,017.64	28.06 W	-13.99	
OPGEN, INC. COMMON STOCK / CUSIP: 68373L307 / Symbol:							
<i>9 transactions for 03/03/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	30.50	02/27/20	16.74	...	13.76	1 of 9 - Sale ²⁵
	5.000	15.25	02/28/20	13.60	...	1.65	2 of 9 - Sale ²⁵
	12.000	36.60	02/28/20	34.20	...	2.40	3 of 9 - Sale ²⁵
	18.000	54.90	02/28/20	51.48	...	3.42	4 of 9 - Sale ²⁵
	28.000	85.39	02/28/20	80.07	...	5.32	5 of 9 - Sale ²⁵
	42.000	128.09	02/28/20	119.70	...	8.39	6 of 9 - Sale ²⁵
	30.000	91.50	02/28/20	81.60	...	9.90	7 of 9 - Sale ²⁵
	33.000	100.65	02/28/20	89.76	...	10.89	8 of 9 - Sale ²⁵
	132.000	402.57	02/28/20	359.04	...	43.53	9 of 9 - Sale ²⁵
03/03/20	310.000	945.45	Various	846.19	...	99.26	Total of 9 transactions
03/03/20	75.000	228.74	02/28/20	236.25	7.51 W	0.00	Sale ²⁵
<i>4 transactions for 03/04/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	81.25	03/03/20	72.25	...	9.00	1 of 4 - Sale ²⁵
	20.000	65.00	03/03/20	55.80	...	9.20	2 of 4 - Sale ²⁵

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(continued)

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OPGEN, INC. COMMON STOCK / CUSIP: 68373L307 / Symbol: (cont'd)							
	25.000	81.24	03/03/20	69.75	...	11.49	3 of 4 - Sale ²⁵
	50.000	163.00	03/03/20	144.51	...	18.49	4 of 4 - Sale ²⁵
03/04/20	120.000	390.49	Various	342.31	...	48.18	Total of 4 transactions
	2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.						
	5.000	14.40	03/19/20	14.45	0.05 W	0.00	1 of 2 - Sale ²⁵
	23.000	66.24	03/19/20	66.70	0.46 W	0.00	2 of 2 - Sale ²⁵
03/20/20	28.000	80.64	Various	81.15	0.51 W	0.00	Total of 2 transactions
03/25/20	29.000	84.67	03/23/20	92.22	...	-7.55	Sale ²⁵
	5 transactions for 03/25/20. Total proceeds and cost reported to the IRS.						
	1.000	2.92	03/23/20	3.15	0.23 W	0.00	1 of 5 - Sale ²⁵
	4.000	11.68	03/23/20	12.62	0.94 W	0.00	2 of 5 - Sale ²⁵
	23.000	67.16	03/23/20	72.77	5.61 W	0.00	3 of 5 - Sale ²⁵
	72.000	210.23	03/23/20	226.37	16.14 W	0.00	4 of 5 - Sale ²⁵
	1.000	2.92	03/23/20	3.41	0.49 W	0.00	5 of 5 - Sale ²⁵
03/25/20	101.000	294.91	Various	318.32	23.41 W	0.00	Total of 5 transactions
	4 transactions for 04/07/20. Total proceeds and cost reported to the IRS.						
	72.000	163.43	04/06/20	183.89	...	-20.46	1 of 4 - Sale ²⁵
	23.000	52.21	04/06/20	59.20	...	-6.99	2 of 4 - Sale ²⁵
	4.000	9.08	04/06/20	10.26	...	-1.18	3 of 4 - Sale ²⁵
	1.000	2.27	04/06/20	2.82	...	-0.55	4 of 4 - Sale ²⁵
04/07/20	100.000	226.99	Various	256.17	...	-29.18	Total of 4 transactions
	5 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	600.000	1,232.90	06/26/20	1,248.00	...	-15.10	1 of 5 - Sale ²⁵
	500.000	1,027.41	06/26/20	1,040.00	...	-12.59	2 of 5 - Sale ²⁵
	300.000	615.28	06/26/20	624.00	...	-8.72	3 of 5 - Sale ²⁵
	100.000	205.09	06/26/20	210.52	...	-5.43	4 of 5 - Sale ²⁵
	100.000	205.49	06/26/20	208.00	...	-2.51	5 of 5 - Sale ²⁵
06/26/20	1,600.000	3,286.17	Various	3,330.52	...	-44.35	Total of 5 transactions
06/26/20	400.000	821.93	06/26/20	832.00	2.52 W	-7.55	Sale ²⁵
	Security total:	6,359.99		6,335.13	33.95 W	58.81	

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OPKO HEALTH, INC. COMMON STOCK / CUSIP: 68375N103 / Symbol:							
<i>3 transactions for 03/06/20. Total proceeds and cost reported to the IRS.</i>							
	148.000	356.65	03/05/20	356.68	0.03 W	0.00	1 of 3 - Sale ²⁵
	52.000	125.31	03/05/20	125.84	0.53 W	0.00	2 of 3 - Sale ²⁵
	10.000	24.10	03/05/20	24.30	0.20 W	0.00	3 of 3 - Sale ²⁵
03/06/20	210.000	506.06	Various	506.82	0.76 W	0.00	Total of 3 transactions
<i>2 transactions for 03/09/20. Total proceeds and cost reported to the IRS.</i>							
	52.000	123.88	03/06/20	128.84	4.96 W	0.00	1 of 2 - Sale ²⁵
	148.000	352.60	03/06/20	365.22	12.62 W	0.00	2 of 2 - Sale ²⁵
03/09/20	200.000	476.48	Various	494.06	17.58 W	0.00	Total of 2 transactions
<i>2 transactions for 03/11/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	16.30	03/10/20	16.00	...	0.30	1 of 2 - Sale ²⁵
	90.000	146.69	03/10/20	142.18	...	4.51	2 of 2 - Sale ²⁵
03/11/20	100.000	162.99	Various	158.18	...	4.81	Total of 2 transactions
<i>2 transactions for 03/11/20. Total proceeds and cost reported to the IRS.</i>							
	52.000	84.75	03/10/20	87.11	2.36 W	0.00	1 of 2 - Sale ²⁵
	148.000	241.22	03/10/20	246.43	5.21 W	0.00	2 of 2 - Sale ²⁵
03/11/20	200.000	325.97	Various	333.54	7.57 W	0.00	Total of 2 transactions
<i>5 transactions for 03/16/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	171.98	03/13/20	210.98	25.35 W	-13.65	1 of 5 - Sale ²⁵
	80.000	137.58	03/13/20	164.42	26.84 W	0.00	2 of 5 - Sale ²⁵
	32.000	55.03	03/13/20	66.09	11.06 W	0.00	3 of 5 - Sale ²⁵
	68.000	116.95	03/13/20	139.75	22.80 W	0.00	4 of 5 - Sale ²⁵
	20.000	34.40	03/13/20	41.31	6.91 W	0.00	5 of 5 - Sale ²⁵
03/16/20	300.000	515.94	Various	622.55	92.96 W	-13.65	Total of 5 transactions
<i>7 transactions for 03/23/20. Total proceeds and cost reported to the IRS.</i>							
	80.000	111.99	03/20/20	144.44	...	-32.45	1 of 7 - Sale ²⁵
	68.000	95.19	03/20/20	122.76	...	-27.57	2 of 7 - Sale ²⁵
	50.000	69.99	03/20/20	93.00	...	-23.01	3 of 7 - Sale ²⁵
	32.000	44.80	03/20/20	58.10	...	-13.30	4 of 7 - Sale ²⁵
	20.000	28.00	03/20/20	36.31	...	-8.31	5 of 7 - Sale ²⁵
	10.000	14.00	03/20/20	19.50	...	-5.50	6 of 7 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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OPKO HEALTH, INC. COMMON STOCK / CUSIP: 68375N103 / Symbol: (cont'd)							
	5.000	7.00	03/20/20	9.80	...	-2.80	7 of 7 - Sale ²⁵
03/23/20	265.000	370.97	Various	483.91	...	-112.94	Total of 7 transactions
06/19/20	100.000	250.26	06/17/20	255.72	5.46 W	0.00	Sale ²⁵
	6 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	200.000	689.96	06/30/20	702.94	...	-12.98	1 of 6 - Sale ²⁵
	100.000	347.26	06/30/20	343.99	...	3.27	2 of 6 - Sale ²⁵
	30.000	104.18	06/30/20	99.73	...	4.45	3 of 6 - Sale ²⁵
	150.000	520.89	06/30/20	514.49	...	6.40	4 of 6 - Sale ²⁵
	150.000	520.89	06/30/20	513.00	...	7.89	5 of 6 - Sale ²⁵
	70.000	243.08	06/30/20	234.63	...	8.45	6 of 6 - Sale ²⁵
06/30/20	700.000	2,426.26	Various	2,408.78	...	17.48	Total of 6 transactions
	5 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	50.000	172.49	06/30/20	174.24	0.88 W	-0.87	1 of 5 - Sale ²⁵
	50.000	171.63	06/30/20	171.87	0.24 W	0.00	2 of 5 - Sale ²⁵
	200.000	686.52	06/30/20	693.46	6.94 W	0.00	3 of 5 - Sale ²⁵
	250.000	858.15	06/30/20	866.83	8.68 W	0.00	4 of 5 - Sale ²⁵
	250.000	858.15	06/30/20	876.83	18.68 W	0.00	5 of 5 - Sale ²⁵
06/30/20	800.000	2,746.94	Various	2,783.23	35.42 W	-0.87	Total of 5 transactions
	4 transactions for 07/01/20. Total proceeds and cost reported to the IRS.						
	6.000	22.70	06/30/20	21.21	...	1.49	1 of 4 - Sale ²⁵
	24.000	90.78	06/30/20	84.83	...	5.95	2 of 4 - Sale ²⁵
	51.000	192.91	06/30/20	180.27	...	12.64	3 of 4 - Sale ²⁵
	94.000	355.56	06/30/20	332.26	...	23.30	4 of 4 - Sale ²⁵
07/01/20	175.000	661.95	Various	618.57	...	43.38	Total of 4 transactions
	5 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	225.000	848.20	07/06/20	871.21	...	-23.01	1 of 5 - Sale ²⁵
	25.000	94.24	07/06/20	95.81	...	-1.57	2 of 5 - Sale ²⁵
	15.000	56.55	07/06/20	56.62	...	-0.07	3 of 5 - Sale ²⁵
	10.000	37.70	07/06/20	37.75	...	-0.05	4 of 5 - Sale ²⁵
	75.000	282.74	07/06/20	280.11	...	2.63	5 of 5 - Sale ²⁵
07/06/20	350.000	1,319.43	Various	1,341.50	...	-22.07	Total of 5 transactions
	Security total:	9,763.25		10,006.86	159.75 W	-83.86	

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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ORGANIGRAM HOLDINGS INC. COMMO N SHARES / CUSIP: 68620P101 / Symbol:							
3 transactions for 01/21/20. Total proceeds and cost reported to the IRS.							
	1.000	3.10	01/15/20	2.77	...	0.33	1 of 3 - Sale ²⁵
	24.000	74.28	01/16/20	80.52	...	-6.24	2 of 3 - Sale ²⁵
	4.000	12.38	01/16/20	13.44	...	-1.06	3 of 3 - Sale ²⁵
01/21/20	29.000	89.76	Various	96.73	...	-6.97	Total of 3 transactions
03/27/20	100.000	206.99	03/26/20	205.00	...	1.99	Sale ²⁵
04/01/20	150.000	289.48	03/31/20	303.00	...	-13.52	Sale ²⁵
2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	200.000	341.98	05/28/20	355.00	13.02 W	0.00	1 of 2 - Sale ²⁵
	50.000	85.49	05/28/20	94.94	9.45 W	0.00	2 of 2 - Sale ²⁵
05/29/20	250.000	427.47	Various	449.94	22.47 W	0.00	Total of 2 transactions
8 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	50.000	113.99	06/09/20	119.38	...	-5.39	1 of 8 - Sale ²⁵
	11.000	25.08	06/09/20	24.90	...	0.18	2 of 8 - Sale ²⁵
	18.000	41.04	06/09/20	40.75	...	0.29	3 of 8 - Sale ²⁵
	31.000	70.67	06/09/20	70.18	...	0.49	4 of 8 - Sale ²⁵
	40.000	91.20	06/09/20	90.55	...	0.65	5 of 8 - Sale ²⁵
	100.000	227.99	06/09/20	226.38	...	1.61	6 of 8 - Sale ²⁵
	81.000	184.67	06/09/20	178.10	...	6.57	7 of 8 - Sale ²⁵
	169.000	385.29	06/09/20	371.58	...	13.71	8 of 8 - Sale ²⁵
06/10/20	500.000	1,139.93	Various	1,121.82	...	18.11	Total of 8 transactions
	Security total:	2,153.63		2,176.49	22.47 W	-0.39	
ORGANOGENESIS HOLDINGS INC. CL ASS A COMMON STOCK / CUSIP: 68621F102 / Symbol:							
3 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	39.000	175.50	05/27/20	142.55	...	32.95	1 of 3 - Sale ²⁵
	50.000	225.00	05/27/20	184.00	...	41.00	2 of 3 - Sale ²⁵
	61.000	274.49	05/27/20	222.95	...	51.54	3 of 3 - Sale ²⁵
05/29/20	150.000	674.99	Various	549.50	...	125.49	Total of 3 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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ORION ENERGY SYSTEMS, INC. COM MON STOCK / CUSIP: 686275108 / Symbol:							
05/06/20	50.000	231.00	05/05/20	250.50	19.50 W	0.00	Sale ²⁵
05/11/20	50.000	244.03	05/08/20	268.00	...	-23.97	Sale ²⁵
	Security total:	475.03		518.50	19.50 W	-23.97	
OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol:							
4 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	1.000	10.49	04/24/20	9.54	...	0.95	1 of 4 - Sale ²⁵
	1.000	10.49	04/24/20	9.54	...	0.95	2 of 4 - Sale ²⁵
	6.000	62.94	04/24/20	57.23	...	5.71	3 of 4 - Sale ²⁵
	42.000	440.58	04/24/20	400.63	...	39.95	4 of 4 - Sale ²⁵
04/27/20	50.000	524.50	Various	476.94	...	47.56	Total of 4 transactions
2 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	9.000	128.97	05/05/20	128.61	...	0.36	1 of 2 - Sale ²⁵
	1.000	14.33	05/05/20	13.87	...	0.46	2 of 2 - Sale ²⁵
05/06/20	10.000	143.30	Various	142.48	...	0.82	Total of 2 transactions
05/12/20	25.000	447.50	05/11/20	430.15	...	17.35	Sale ²⁵
2 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	20.000	398.79	05/12/20	404.40	5.61 W	0.00	1 of 2 - Sale ²⁵
	20.000	398.78	05/12/20	408.04	9.26 W	0.00	2 of 2 - Sale ²⁵
05/13/20	40.000	797.57	Various	812.44	14.87 W	0.00	Total of 2 transactions
5 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	5.000	90.55	05/19/20	85.91	...	4.64	1 of 5 - Sale ²⁵
	10.000	181.09	05/19/20	167.20	...	13.89	2 of 5 - Sale ²⁵
	15.000	271.64	05/19/20	249.59	...	22.05	3 of 5 - Sale ²⁵
	25.000	452.74	05/19/20	420.75	...	31.99	4 of 5 - Sale ²⁵
	20.000	362.19	05/19/20	329.12	...	33.07	5 of 5 - Sale ²⁵
05/20/20	75.000	1,358.21	Various	1,252.57	...	105.64	Total of 5 transactions
05/20/20	25.000	452.73	05/19/20	454.00	1.27 W	0.00	Sale ²⁵
06/05/20	25.000	571.24	06/04/20	586.09	14.85 W	0.00	Sale ²⁵
4 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	25.000	569.98	06/09/20	591.29	21.31 W	0.00	1 of 4 - Sale ²⁵
	20.000	455.99	06/09/20	461.15	5.16 W	0.00	2 of 4 - Sale ²⁵
	18.000	408.60	06/09/20	415.04	6.44 W	0.00	3 of 4 - Sale ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol: (cont'd)							
06/10/20	37.000	839.88	06/09/20	853.14	13.26 W	0.00	4 of 4 - Sale ²⁵
06/10/20	100.000	2,274.45	Various	2,320.62	46.17 W	0.00	Total of 4 transactions
	3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	25.000	556.24	06/10/20	616.53	60.29 W	0.00	1 of 3 - Sale ²⁵
	5.000	111.25	06/10/20	121.34	10.09 W	0.00	2 of 3 - Sale ²⁵
	20.000	444.98	06/10/20	483.36	38.38 W	0.00	3 of 3 - Sale ²⁵
06/11/20	50.000	1,112.47	Various	1,221.23	108.76 W	0.00	Total of 3 transactions
	9 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	25.000	607.80	06/19/20	672.29	64.49 W	0.00	1 of 9 - Sale ²⁵
	5.000	121.56	06/19/20	132.49	10.93 W	0.00	2 of 9 - Sale ²⁵
	20.000	486.24	06/19/20	527.98	41.74 W	0.00	3 of 9 - Sale ²⁵
	13.000	316.06	06/19/20	322.89	6.83 W	0.00	4 of 9 - Sale ²⁵
	37.000	899.54	06/19/20	919.02	19.48 W	0.00	5 of 9 - Sale ²⁵
	50.000	1,215.60	06/19/20	1,230.50	14.90 W	0.00	6 of 9 - Sale ²⁵
	25.000	607.74	06/19/20	692.72	84.98 W	0.00	7 of 9 - Sale ²⁵
	5.000	121.55	06/19/20	136.21	14.66 W	0.00	8 of 9 - Sale ²⁵
	20.000	486.18	06/19/20	542.84	56.66 W	0.00	9 of 9 - Sale ²⁵
06/19/20	200.000	4,862.27	Various	5,176.94	314.67 W	0.00	Total of 9 transactions
	2 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	13.000	347.09	06/22/20	359.00	11.91 W	0.00	1 of 2 - Sale ²⁵
	37.000	987.88	06/22/20	1,021.81	33.93 W	0.00	2 of 2 - Sale ²⁵
06/22/20	50.000	1,334.97	Various	1,380.81	45.84 W	0.00	Total of 2 transactions
	4 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	200.000	5,601.87	06/26/20	5,646.00	...	-44.13	1 of 4 - Sale ²⁵
	100.000	2,800.94	06/26/20	2,828.79	...	-27.85	2 of 4 - Sale ²⁵
	100.000	2,771.92	06/26/20	2,771.00	...	0.92	3 of 4 - Sale ²⁵
	150.000	4,157.89	06/26/20	4,156.50	...	1.39	4 of 4 - Sale ²⁵
06/26/20	550.000	15,332.62	Various	15,402.29	...	-69.67	Total of 4 transactions
	52 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	4.000	110.64	06/26/20	112.15	1.51 W	0.00	1 of 52 - Sale ²⁵
	21.000	580.84	06/26/20	587.23	6.39 W	0.00	2 of 52 - Sale ²⁵
	25.000	693.36	06/26/20	777.23	83.87 W	0.00	3 of 52 - Sale ²⁵
	5.000	138.67	06/26/20	153.11	14.44 W	0.00	4 of 52 - Sale ²⁵

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(continued)

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OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol: (cont'd)							
20.000		554.68	06/26/20	610.46	55.78 W	0.00	5 of 52 - Sale ²⁵
12.000		332.81	06/26/20	343.28	10.47 W	0.00	6 of 52 - Sale ²⁵
13.000		360.54	06/26/20	371.88	11.34 W	0.00	7 of 52 - Sale ²⁵
25.000		693.36	06/26/20	699.70	6.34 W	0.00	8 of 52 - Sale ²⁵
25.000		692.98	06/26/20	776.62	83.64 W	0.00	9 of 52 - Sale ²⁵
5.000		138.60	06/26/20	152.99	14.39 W	0.00	10 of 52 - Sale ²⁵
20.000		554.38	06/26/20	609.98	55.60 W	0.00	11 of 52 - Sale ²⁵
12.000		332.63	06/26/20	342.99	10.36 W	0.00	12 of 52 - Sale ²⁵
13.000		360.35	06/26/20	371.57	11.22 W	0.00	13 of 52 - Sale ²⁵
25.000		692.98	06/26/20	715.68	22.70 W	0.00	14 of 52 - Sale ²⁵
4.000		110.88	06/26/20	112.35	1.47 W	0.00	15 of 52 - Sale ²⁵
21.000		582.10	06/26/20	588.30	6.20 W	0.00	16 of 52 - Sale ²⁵
25.000		692.98	06/26/20	699.09	6.11 W	0.00	17 of 52 - Sale ²⁵
25.000		692.73	06/26/20	777.55	84.82 W	0.00	18 of 52 - Sale ²⁵
5.000		138.55	06/26/20	153.17	14.62 W	0.00	19 of 52 - Sale ²⁵
20.000		554.18	06/26/20	610.73	56.55 W	0.00	20 of 52 - Sale ²⁵
12.000		332.51	06/26/20	343.44	10.93 W	0.00	21 of 52 - Sale ²⁵
13.000		360.22	06/26/20	372.05	11.83 W	0.00	22 of 52 - Sale ²⁵
25.000		692.73	06/26/20	716.61	23.88 W	0.00	23 of 52 - Sale ²⁵
4.000		110.84	06/26/20	112.49	1.65 W	0.00	24 of 52 - Sale ²⁵
21.000		581.89	06/26/20	589.08	7.19 W	0.00	25 of 52 - Sale ²⁵
25.000		692.73	06/26/20	700.02	7.29 W	0.00	26 of 52 - Sale ²⁵
50.000		1,385.46	06/26/20	1,387.81	2.35 W	0.00	27 of 52 - Sale ²⁵
2.000		55.42	06/26/20	62.30	6.88 W	0.00	28 of 52 - Sale ²⁵
11.000		304.81	06/26/20	342.65	37.84 W	0.00	29 of 52 - Sale ²⁵
12.000		332.39	06/26/20	373.80	41.41 W	0.00	30 of 52 - Sale ²⁵
5.000		138.50	06/26/20	153.41	14.91 W	0.00	31 of 52 - Sale ²⁵
20.000		553.99	06/26/20	611.69	57.70 W	0.00	32 of 52 - Sale ²⁵
12.000		332.39	06/26/20	344.02	11.63 W	0.00	33 of 52 - Sale ²⁵
13.000		360.09	06/26/20	372.67	12.58 W	0.00	34 of 52 - Sale ²⁵
25.000		692.48	06/26/20	717.81	25.33 W	0.00	35 of 52 - Sale ²⁵
4.000		110.80	06/26/20	112.68	1.88 W	0.00	36 of 52 - Sale ²⁵
21.000		581.68	06/26/20	590.09	8.41 W	0.00	37 of 52 - Sale ²⁵
25.000		692.48	06/26/20	701.22	8.74 W	0.00	38 of 52 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol: (cont'd)							
	50.000	1,384.96	06/26/20	1,390.21	5.25 W	0.00	39 of 52 - Sale ²⁵
	100.000	2,769.93	06/26/20	2,775.72	5.79 W	0.00	40 of 52 - Sale ²⁵
	2.000	56.02	06/26/20	63.32	7.30 W	0.00	41 of 52 - Sale ²⁵
	11.000	308.10	06/26/20	348.26	40.16 W	0.00	42 of 52 - Sale ²⁵
	12.000	336.11	06/26/20	380.05	43.94 W	0.00	43 of 52 - Sale ²⁵
	5.000	140.05	06/26/20	156.01	15.96 W	0.00	44 of 52 - Sale ²⁵
	20.000	560.19	06/26/20	622.10	61.91 W	0.00	45 of 52 - Sale ²⁵
	12.000	336.11	06/26/20	350.27	14.16 W	0.00	46 of 52 - Sale ²⁵
	13.000	364.12	06/26/20	379.44	15.32 W	0.00	47 of 52 - Sale ²⁵
	25.000	700.23	06/26/20	730.83	30.60 W	0.00	48 of 52 - Sale ²⁵
	4.000	112.04	06/26/20	114.76	2.72 W	0.00	49 of 52 - Sale ²⁵
	21.000	588.20	06/26/20	601.03	12.83 W	0.00	50 of 52 - Sale ²⁵
	25.000	700.23	06/26/20	714.24	14.01 W	0.00	51 of 52 - Sale ²⁵
	50.000	1,400.47	06/26/20	1,416.25	15.78 W	0.00	52 of 52 - Sale ²⁵
06/26/20	975.000	27,076.41	Various	28,212.39	1,135.98 W	0.00	Total of 52 transactions
<i>17 transactions for 06/29/20. Total proceeds and cost reported to the IRS.</i>							
	12.000	354.11	06/29/20	403.92	...	-49.81	1 of 17 - Sale ²⁵
	13.000	383.62	06/29/20	430.22	...	-46.60	2 of 17 - Sale ²⁵
	25.000	737.48	06/29/20	780.55	...	-43.07	3 of 17 - Sale ²⁵
	6.000	177.06	06/29/20	201.89	...	-24.83	4 of 17 - Sale ²⁵
	21.000	619.48	06/29/20	642.20	...	-22.72	5 of 17 - Sale ²⁵
	13.000	383.49	06/29/20	405.29	...	-21.80	6 of 17 - Sale ²⁵
	5.000	147.55	06/29/20	168.25	...	-20.70	7 of 17 - Sale ²⁵
	12.000	353.99	06/29/20	374.13	...	-20.14	8 of 17 - Sale ²⁵
	18.000	530.98	06/29/20	549.55	...	-18.57	9 of 17 - Sale ²⁵
	5.000	147.50	06/29/20	165.95	...	-18.45	10 of 17 - Sale ²⁵
	5.000	147.50	06/29/20	165.46	...	-17.96	11 of 17 - Sale ²⁵
	2.000	59.02	06/29/20	67.30	...	-8.28	12 of 17 - Sale ²⁵
	2.000	59.00	06/29/20	66.19	...	-7.19	13 of 17 - Sale ²⁵
	4.000	118.00	06/29/20	122.60	...	-4.60	14 of 17 - Sale ²⁵
	4.000	118.00	06/29/20	122.16	...	-4.16	15 of 17 - Sale ²⁵
	1.000	28.04	06/29/20	30.54	...	-2.50	16 of 17 - Sale ²⁵
	2.000	59.00	06/29/20	61.06	...	-2.06	17 of 17 - Sale ²⁵
06/29/20	150.000	4,423.82	Various	4,757.26	...	-333.44	Total of 17 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol: (cont'd)							
07/02/20	25.000	834.48	07/02/20	832.39	...	2.09	Sale ²⁵
	2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	10.000	361.10	07/06/20	371.16	...	-10.06	1 of 2 - Sale ²⁵
	15.000	586.81	07/06/20	592.43	...	-5.62	2 of 2 - Sale ²⁵
07/06/20	25.000	947.91	Various	963.59	...	-15.68	Total of 2 transactions
	Security total:	62,494.45		64,422.19	1,682.41 W	-245.33	
OVINTIV INC. / CUSIP: 69047Q102 / Symbol:							
03/11/20	150.000	412.48	03/10/20	462.75	...	-50.27	Sale ²⁵
PG&E CORPORATION / CUSIP: 69331C108 / Symbol:							
	6 transactions for 01/08/20. Total proceeds and cost reported to the IRS.						
	1.000	10.33	11/12/19	7.97	...	2.36	1 of 6 - Sale ²⁵
	1.000	10.33	11/12/19	7.30	...	3.03	2 of 6 - Sale ²⁵
	4.000	41.33	11/12/19	29.21	...	12.12	3 of 6 - Sale ²⁵
	13.000	134.31	11/12/19	110.09	...	24.22	4 of 6 - Sale ²⁵
	12.000	123.98	11/12/19	95.99	...	27.99	5 of 6 - Sale ²⁵
	19.000	196.32	11/12/19	150.94	...	45.38	6 of 6 - Sale ²⁵
01/08/20	50.000	516.60	Various	401.50	...	115.10	Total of 6 transactions
	10 transactions for 01/09/20. Total proceeds and cost reported to the IRS.						
	2.000	21.00	11/12/19	15.92	...	5.08	1 of 10 - Sale ²⁵
	5.000	52.50	11/12/19	39.69	...	12.81	2 of 10 - Sale ²⁵
	11.000	115.51	11/12/19	87.38	...	28.13	3 of 10 - Sale ²⁵
	1.000	10.50	11/21/19	8.42	...	2.08	4 of 10 - Sale ²⁵
	1.000	10.50	11/21/19	8.37	...	2.13	5 of 10 - Sale ²⁵
	6.000	63.01	11/21/19	50.48	...	12.53	6 of 10 - Sale ²⁵
	9.000	94.51	11/21/19	75.64	...	18.87	7 of 10 - Sale ²⁵
	10.000	105.01	11/21/19	84.34	...	20.67	8 of 10 - Sale ²⁵
	25.000	262.52	11/21/19	210.73	...	51.79	9 of 10 - Sale ²⁵
	30.000	315.02	11/21/19	251.96	...	63.06	10 of 10 - Sale ²⁵
01/09/20	100.000	1,050.08	Various	832.93	...	217.15	Total of 10 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PG&E CORPORATION / CUSIP: 69331C108 / Symbol: (cont'd)							
4 transactions for 01/16/20. Total proceeds and cost reported to the IRS.							
	8.000	100.68	11/22/19	66.71	...	33.97	1 of 4 - Sale ²⁵
	4.000	50.34	11/25/19	34.46	...	15.88	2 of 4 - Sale ²⁵
	8.000	100.68	11/25/19	83.73	...	16.95	3 of 4 - Sale ²⁵
	10.000	125.85	11/25/19	86.14	...	39.71	4 of 4 - Sale ²⁵
01/16/20	30.000	377.55	Various	271.04	...	106.51	Total of 4 transactions
01/21/20	20.000	264.40	11/25/19	172.28	...	92.12	Sale ²⁵
18 transactions for 01/22/20. Total proceeds and cost reported to the IRS.							
	2.000	25.95	11/25/19	21.29	...	4.66	1 of 18 - Sale ²⁵
	8.000	103.80	11/25/19	68.91	...	34.89	2 of 18 - Sale ²⁵
	18.000	233.54	11/25/19	191.54	...	42.00	3 of 18 - Sale ²⁵
	1.000	12.97	11/27/19	10.63	...	2.34	4 of 18 - Sale ²⁵
	1.000	12.97	11/27/19	10.62	...	2.35	5 of 18 - Sale ²⁵
	2.000	25.95	11/27/19	21.13	...	4.82	6 of 18 - Sale ²⁵
	1.000	12.97	12/02/19	10.68	...	2.29	7 of 18 - Sale ²⁵
	4.000	51.90	12/02/19	42.74	...	9.16	8 of 18 - Sale ²⁵
	25.000	324.37	12/02/19	231.73	...	92.64	9 of 18 - Sale ²⁵
	1.000	12.97	12/03/19	10.03	...	2.94	10 of 18 - Sale ²⁵
	5.000	64.87	12/04/19	58.19	...	6.68	11 of 18 - Sale ²⁵
	5.000	61.95	12/04/19	49.85	...	12.10	12 of 18 - Sale ²⁵
	12.000	155.70	12/04/19	119.64	...	36.06	13 of 18 - Sale ²⁵
	33.000	408.86	12/04/19	329.01	...	79.85	14 of 18 - Sale ²⁵
	35.000	433.63	12/04/19	348.60	...	85.03	15 of 18 - Sale ²⁵
	4.000	49.56	12/05/19	36.78	...	12.78	16 of 18 - Sale ²⁵
	15.000	185.84	12/05/19	137.70	...	48.14	17 of 18 - Sale ²⁵
	8.000	99.12	12/06/19	79.72	...	19.40	18 of 18 - Sale ²⁵
01/22/20	180.000	2,276.92	Various	1,778.79	...	498.13	Total of 18 transactions
4 transactions for 01/27/20. Total proceeds and cost reported to the IRS.							
	1.000	14.21	12/06/19	9.98	...	4.23	1 of 4 - Sale ²⁵
	1.000	14.21	12/09/19	11.09	...	3.12	2 of 4 - Sale ²⁵
	18.000	255.82	12/09/19	200.16	...	55.66	3 of 4 - Sale ²⁵
	10.000	140.40	01/14/20	118.55	...	21.85	4 of 4 - Sale ²⁵
01/27/20	30.000	424.64	Various	339.78	...	84.86	Total of 4 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PG&E CORPORATION / CUSIP: 69331C108 / Symbol: (cont'd)							
01/29/20	20.000	293.70	01/14/20	237.10	...	56.60	Sale ²⁵
	3 transactions for 02/06/20. Total proceeds and cost reported to the IRS.						
	4.000	67.73	01/14/20	47.48	...	20.25	1 of 3 - Sale ²⁵
	11.000	186.25	01/14/20	130.79	...	55.46	2 of 3 - Sale ²⁵
	15.000	253.97	01/14/20	177.83	...	76.14	3 of 3 - Sale ²⁵
02/06/20	30.000	507.95	Various	356.10	...	151.85	Total of 3 transactions
	3 transactions for 02/13/20. Total proceeds and cost reported to the IRS.						
	20.000	332.98	01/14/20	237.90	...	95.08	1 of 3 - Sale ²⁵
	20.000	332.99	01/14/20	237.80	...	95.19	2 of 3 - Sale ²⁵
	20.000	332.99	01/14/20	237.79	...	95.20	3 of 3 - Sale ²⁵
02/13/20	60.000	998.96	Various	713.49	...	285.47	Total of 3 transactions
	9 transactions for 02/14/20. Total proceeds and cost reported to the IRS.						
	30.000	479.99	01/14/20	356.85	...	123.14	1 of 9 - Sale ²⁵
	30.000	479.99	01/15/20	377.10	...	102.89	2 of 9 - Sale ²⁵
	2.000	32.00	01/23/20	26.72	...	5.28	3 of 9 - Sale ²⁵
	2.000	32.00	01/23/20	26.70	...	5.30	4 of 9 - Sale ²⁵
	5.000	80.00	01/23/20	66.35	...	13.65	5 of 9 - Sale ²⁵
	15.000	239.99	01/23/20	207.45	...	32.54	6 of 9 - Sale ²⁵
	38.000	607.99	01/23/20	507.30	...	100.69	7 of 9 - Sale ²⁵
	68.000	1,087.97	01/23/20	902.36	...	185.61	8 of 9 - Sale ²⁵
	10.000	160.00	01/24/20	141.00	...	19.00	9 of 9 - Sale ²⁵
02/14/20	200.000	3,199.93	Various	2,611.83	...	588.10	Total of 9 transactions
	2 transactions for 02/18/20. Total proceeds and cost reported to the IRS.						
	10.000	162.82	01/24/20	141.00	...	21.82	1 of 2 - Sale ²⁵
	10.000	162.81	01/30/20	146.40	...	16.41	2 of 2 - Sale ²⁵
02/18/20	20.000	325.63	Various	287.40	...	38.23	Total of 2 transactions
	3 transactions for 02/24/20. Total proceeds and cost reported to the IRS.						
	10.000	173.62	01/30/20	146.40	...	27.22	1 of 3 - Sale ²⁵
	50.000	868.10	02/05/20	866.50	...	1.60	2 of 3 - Sale ²⁵
	20.000	347.24	02/19/20	340.16	...	7.08	3 of 3 - Sale ²⁵
02/24/20	80.000	1,388.96	Various	1,353.06	...	35.90	Total of 3 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PG&E CORPORATION / CUSIP: 69331C108 / Symbol: (cont'd)							
7 transactions for 02/24/20. Total proceeds and cost reported to the IRS.							
	30.000	520.86	02/04/20	525.00	4.14 W	0.00	1 of 7 - Sale ²⁵
	40.000	694.48	02/10/20	708.00	13.52 W	0.00	2 of 7 - Sale ²⁵
	5.000	86.81	02/10/20	88.59	1.78 W	0.00	3 of 7 - Sale ²⁵
	40.000	694.48	02/10/20	708.71	14.23 W	0.00	4 of 7 - Sale ²⁵
	5.000	86.81	02/10/20	88.70	1.89 W	0.00	5 of 7 - Sale ²⁵
	25.000	434.05	02/20/20	435.25	1.20 W	0.00	6 of 7 - Sale ²⁵
	5.000	86.81	02/21/20	88.99	2.18 W	0.00	7 of 7 - Sale ²⁵
02/24/20	150.000	2,604.30	Various	2,643.24	38.94 W	0.00	Total of 7 transactions
5 transactions for 02/26/20. Total proceeds and cost reported to the IRS.							
	20.000	338.39	02/25/20	358.48	...	-20.09	1 of 5 - Sale ²⁵
	25.000	422.98	02/25/20	436.67	...	-13.69	2 of 5 - Sale ²⁵
	15.000	253.79	02/25/20	266.62	...	-12.83	3 of 5 - Sale ²⁵
	5.000	84.60	02/25/20	89.27	...	-4.67	4 of 5 - Sale ²⁵
	5.000	84.60	02/25/20	88.98	...	-4.38	5 of 5 - Sale ²⁵
02/26/20	70.000	1,184.36	Various	1,240.02	...	-55.66	Total of 5 transactions
5 transactions for 02/26/20. Total proceeds and cost reported to the IRS.							
	30.000	507.59	02/25/20	531.71	16.08 W	-8.04	1 of 5 - Sale ²⁵
	30.000	517.26	02/25/20	526.40	9.14 W	0.00	2 of 5 - Sale ²⁵
	5.000	86.21	02/25/20	88.83	2.62 W	0.00	3 of 5 - Sale ²⁵
	25.000	431.05	02/25/20	444.11	13.06 W	0.00	4 of 5 - Sale ²⁵
	40.000	689.68	02/25/20	709.87	20.19 W	0.00	5 of 5 - Sale ²⁵
02/26/20	130.000	2,231.79	Various	2,300.92	61.09 W	-8.04	Total of 5 transactions
03/05/20	20.000	302.43	03/04/20	319.28	...	-16.85	Sale ²⁵
3 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	20.000	170.62	03/23/20	192.08	...	-21.46	1 of 3 - Sale ²⁵
	25.000	213.28	03/23/20	233.06	...	-19.78	2 of 3 - Sale ²⁵
	5.000	42.66	03/23/20	46.62	...	-3.96	3 of 3 - Sale ²⁵
03/24/20	50.000	426.56	Various	471.76	...	-45.20	Total of 3 transactions
4 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	42.000	397.72	07/02/20	404.38	...	-6.66	1 of 4 - Sale ²⁵
	25.000	236.74	07/02/20	240.46	...	-3.72	2 of 4 - Sale ²⁵
	4.000	37.88	07/02/20	39.02	...	-1.14	3 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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PG&E CORPORATION / CUSIP: 69331C108 / Symbol: (cont'd)							
	4.000	37.88	07/02/20	37.80	...	0.08	4 of 4 - Sale ²⁵
07/02/20	75.000	710.22	Various	721.66	...	-11.44	Total of 4 transactions
3 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	4.000	37.76	07/02/20	38.27	0.51 W	0.00	1 of 3 - Sale ²⁵
	4.000	37.76	07/02/20	38.98	1.22 W	0.00	2 of 3 - Sale ²⁵
	42.000	396.48	07/02/20	403.96	7.48 W	0.00	3 of 3 - Sale ²⁵
07/02/20	50.000	472.00	Various	481.21	9.21 W	0.00	Total of 3 transactions
	Security total:	19,556.98		17,533.39	109.24 W	2,132.83	
PARK HOTELS & RESORTS INC. COM MON STOCK / CUSIP: 700517105 / Symbol:							
04/30/20	50.000	470.00	04/29/20	508.50	38.50 W	0.00	Sale ²⁵
05/20/20	50.000	465.50	05/18/20	461.50	...	4.00	Sale ²⁵
05/27/20	50.000	527.04	05/26/20	521.50	...	5.54	Sale ²⁵
05/29/20	40.000	399.20	05/28/20	426.00	26.80 W	0.00	Sale ²⁵
2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	40.000	423.59	06/01/20	452.78	...	-29.19	1 of 2 - Sale ²⁵
	10.000	105.90	06/01/20	106.50	...	-0.60	2 of 2 - Sale ²⁵
06/02/20	50.000	529.49	Various	559.28	...	-29.79	Total of 2 transactions
	Security total:	2,391.23		2,476.78	65.30 W	-20.25	
PARTY CITY HOLDCO INC. / CUSIP: 702149105 / Symbol:							
03/20/20	20.000	9.80	03/19/20	10.67	0.87 W	0.00	Sale ²⁵
04/08/20	330.000	136.91	04/07/20	132.00	...	4.91	Sale ²⁵
2 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	20.000	8.30	04/07/20	8.87	0.57 W	0.00	1 of 2 - Sale ²⁵
	150.000	62.23	04/07/20	76.04	13.81 W	0.00	2 of 2 - Sale ²⁵
04/08/20	170.000	70.53	Various	84.91	14.38 W	0.00	Total of 2 transactions
3 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	150.000	68.98	04/08/20	79.81	...	-10.83	1 of 3 - Sale ²⁵
	20.000	9.20	04/08/20	9.37	...	-0.17	2 of 3 - Sale ²⁵
	530.000	243.74	04/08/20	233.20	...	10.54	3 of 3 - Sale ²⁵
04/09/20	700.000	321.92	Various	322.38	...	-0.46	Total of 3 transactions

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Robinhood Securities LLC	Proceeds from Broker and Barter Exchange Transactions	Account 412265225
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PARTY CITY HOLDCO INC. / CUSIP: 702149105 / Symbol: (cont'd)							
<i>20 transactions for 05/19/20. Total proceeds and cost reported to the IRS.</i>							
	300.000	161.72	05/18/20	161.95	0.23 W	0.00	1 of 20 - Sale ²⁵
	150.000	80.86	05/18/20	80.99	0.13 W	0.00	2 of 20 - Sale ²⁵
	650.000	350.39	05/18/20	366.73	16.34 W	0.00	3 of 20 - Sale ²⁵
	150.000	80.86	05/18/20	84.75	3.89 W	0.00	4 of 20 - Sale ²⁵
	251.000	135.31	05/18/20	143.02	7.71 W	0.00	5 of 20 - Sale ²⁵
	100.000	56.06	05/18/20	57.06	1.00 W	0.00	6 of 20 - Sale ²⁵
	149.000	83.51	05/18/20	85.01	1.50 W	0.00	7 of 20 - Sale ²⁵
	50.000	28.03	05/18/20	28.64	0.61 W	0.00	8 of 20 - Sale ²⁵
	1.000	0.56	05/18/20	0.58	0.02 W	0.00	9 of 20 - Sale ²⁵
	100.000	56.05	05/18/20	57.25	1.20 W	0.00	10 of 20 - Sale ²⁵
	50.000	28.02	05/18/20	28.63	0.61 W	0.00	11 of 20 - Sale ²⁵
	15.000	8.41	05/18/20	8.95	0.54 W	0.00	12 of 20 - Sale ²⁵
	100.000	56.05	05/18/20	59.68	3.63 W	0.00	13 of 20 - Sale ²⁵
	200.000	112.10	05/18/20	119.36	7.26 W	0.00	14 of 20 - Sale ²⁵
	100.000	56.05	05/18/20	59.68	3.63 W	0.00	15 of 20 - Sale ²⁵
	135.000	75.66	05/18/20	80.56	4.90 W	0.00	16 of 20 - Sale ²⁵
	100.000	54.93	05/18/20	59.68	4.75 W	0.00	17 of 20 - Sale ²⁵
	150.000	82.39	05/18/20	89.64	7.25 W	0.00	18 of 20 - Sale ²⁵
	249.000	136.77	05/18/20	149.99	13.22 W	0.00	19 of 20 - Sale ²⁵
	1.000	0.55	05/18/20	0.61	0.06 W	0.00	20 of 20 - Sale ²⁵
05/19/20	3,001.000	1,644.28	Various	1,722.76	78.48 W	0.00	Total of 20 transactions
<i>10 transactions for 05/28/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.00	05/26/20	0.90	...	0.10	1 of 10 - Sale ²⁵
	1.000	1.00	05/26/20	0.88	...	0.12	2 of 10 - Sale ²⁵
	1.000	1.00	05/26/20	0.85	...	0.15	3 of 10 - Sale ²⁵
	15.000	15.00	05/26/20	13.67	...	1.33	4 of 10 - Sale ²⁵
	35.000	34.99	05/26/20	31.90	...	3.09	5 of 10 - Sale ²⁵
	49.000	48.99	05/26/20	43.48	...	5.51	6 of 10 - Sale ²⁵
	50.000	49.99	05/26/20	44.37	...	5.62	7 of 10 - Sale ²⁵
	100.000	99.98	05/26/20	88.72	...	11.26	8 of 10 - Sale ²⁵
	99.000	98.99	05/26/20	84.64	...	14.35	9 of 10 - Sale ²⁵
	149.000	148.98	05/26/20	127.41	...	21.57	10 of 10 - Sale ²⁵
05/28/20	500.000	499.92	Various	436.82	...	63.10	Total of 10 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PARTY CITY HOLDCO INC. / CUSIP: 702149105 / Symbol: (cont'd)							
6 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	65.000	64.99	05/27/20	68.66	3.67 W	0.00	1 of 6 - Sale ²⁵
	185.000	184.98	05/27/20	195.42	10.44 W	0.00	2 of 6 - Sale ²⁵
	15.000	15.00	05/27/20	15.84	0.84 W	0.00	3 of 6 - Sale ²⁵
	100.000	99.98	05/27/20	105.62	5.64 W	0.00	4 of 6 - Sale ²⁵
	135.000	134.98	05/27/20	142.59	7.61 W	0.00	5 of 6 - Sale ²⁵
	25.000	25.00	05/27/20	28.69	3.69 W	0.00	6 of 6 - Sale ²⁵
05/28/20	525.000	524.93	Various	556.82	31.89 W	0.00	Total of 6 transactions
4 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	46.000	53.36	05/29/20	77.85	24.49 W	0.00	1 of 4 - Sale ²⁵
	24.000	27.84	05/29/20	40.76	12.92 W	0.00	2 of 4 - Sale ²⁵
	29.000	33.63	05/29/20	49.23	15.60 W	0.00	3 of 4 - Sale ²⁵
	1.000	1.16	05/29/20	1.70	0.54 W	0.00	4 of 4 - Sale ²⁵
06/01/20	100.000	115.99	Various	169.54	53.55 W	0.00	Total of 4 transactions
2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	75.000	98.99	06/03/20	103.72	4.73 W	0.00	1 of 2 - Sale ²⁵
	125.000	164.99	06/03/20	172.28	7.29 W	0.00	2 of 2 - Sale ²⁵
06/04/20	200.000	263.98	Various	276.00	12.02 W	0.00	Total of 2 transactions
12 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	1.000	2.12	06/04/20	1.48	...	0.64	1 of 12 - Sale ²⁵
	10.000	21.20	06/04/20	15.16	...	6.04	2 of 12 - Sale ²⁵
	25.000	53.00	06/04/20	36.85	...	16.15	3 of 12 - Sale ²⁵
	40.000	84.79	06/04/20	60.66	...	24.13	4 of 12 - Sale ²⁵
	74.000	156.87	06/04/20	108.85	...	48.02	5 of 12 - Sale ²⁵
	100.000	211.98	06/04/20	142.30	...	69.68	6 of 12 - Sale ²⁵
	15.000	31.80	06/05/20	26.64	...	5.16	7 of 12 - Sale ²⁵
	25.000	53.00	06/05/20	47.39	...	5.61	8 of 12 - Sale ²⁵
	40.000	84.79	06/05/20	72.17	...	12.62	9 of 12 - Sale ²⁵
	60.000	127.19	06/05/20	106.58	...	20.61	10 of 12 - Sale ²⁵
	135.000	286.17	06/05/20	243.56	...	42.61	11 of 12 - Sale ²⁵
	175.000	370.97	06/05/20	310.88	...	60.09	12 of 12 - Sale ²⁵
06/09/20	700.000	1,483.88	Various	1,172.52	...	311.36	Total of 12 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PARTY CITY HOLDCO INC. / CUSIP: 702149105 / Symbol: (cont'd)							
7 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	1.000	2.12	06/08/20	2.67	0.55 W	0.00	1 of 7 - Sale ²⁵
	24.000	50.88	06/08/20	64.04	13.16 W	0.00	2 of 7 - Sale ²⁵
	29.000	61.47	06/08/20	77.37	15.90 W	0.00	3 of 7 - Sale ²⁵
	46.000	97.51	06/08/20	122.47	24.96 W	0.00	4 of 7 - Sale ²⁵
	103.000	218.34	06/08/20	225.40	7.06 W	0.00	5 of 7 - Sale ²⁵
	75.000	158.99	06/08/20	164.48	5.49 W	0.00	6 of 7 - Sale ²⁵
	22.000	46.64	06/08/20	48.14	1.50 W	0.00	7 of 7 - Sale ²⁵
06/09/20	300.000	635.95	Various	704.57	68.62 W	0.00	Total of 7 transactions
5 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	5.000	8.30	06/10/20	12.69	4.39 W	0.00	1 of 5 - Sale ²⁵
	1.000	1.65	06/10/20	2.54	0.89 W	0.00	2 of 5 - Sale ²⁵
	24.000	39.60	06/10/20	61.04	21.44 W	0.00	3 of 5 - Sale ²⁵
	29.000	47.84	06/10/20	73.76	25.92 W	0.00	4 of 5 - Sale ²⁵
	41.000	67.65	06/10/20	104.04	36.39 W	0.00	5 of 5 - Sale ²⁵
06/11/20	100.000	165.04	Various	254.07	89.03 W	0.00	Total of 5 transactions
2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	25.000	42.05	06/12/20	47.83	...	-5.78	1 of 2 - Sale ²⁵
	22.000	37.00	06/12/20	41.98	...	-4.98	2 of 2 - Sale ²⁵
06/15/20	47.000	79.05	Various	89.81	...	-10.76	Total of 2 transactions
2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	78.000	131.19	06/12/20	148.87	5.67 W	-12.01	1 of 2 - Sale ²⁵
	25.000	42.50	06/12/20	47.71	5.21 W	0.00	2 of 2 - Sale ²⁵
06/15/20	103.000	173.69	Various	196.58	10.88 W	-12.01	Total of 2 transactions
5 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	26.000	52.00	06/15/20	70.66	...	-18.66	1 of 5 - Sale ²⁵
	15.000	30.00	06/15/20	40.76	...	-10.76	2 of 5 - Sale ²⁵
	5.000	10.00	06/15/20	13.54	...	-3.54	3 of 5 - Sale ²⁵
	4.000	8.00	06/15/20	10.90	...	-2.90	4 of 5 - Sale ²⁵
	50.000	99.99	06/15/20	95.16	...	4.83	5 of 5 - Sale ²⁵
06/16/20	100.000	199.99	Various	231.02	...	-31.03	Total of 5 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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PARTY CITY HOLDCO INC. / CUSIP: 702149105 / Symbol: (cont'd)							
6 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	25.000	45.25	06/18/20	68.59	...	-23.34	1 of 6 - Sale ²⁵
	24.000	43.43	06/18/20	65.84	...	-22.41	2 of 6 - Sale ²⁵
	25.000	45.25	06/18/20	51.92	...	-6.67	3 of 6 - Sale ²⁵
	18.000	32.58	06/18/20	37.05	...	-4.47	4 of 6 - Sale ²⁵
	7.000	12.67	06/18/20	14.41	...	-1.74	5 of 6 - Sale ²⁵
	1.000	1.81	06/18/20	2.74	...	-0.93	6 of 6 - Sale ²⁵
06/19/20	100.000	180.99	Various	240.55	...	-59.56	Total of 6 transactions
	Security total:	6,506.85		6,601.02	359.72 W	265.55	
PAVMED INC. COMMON STOCK / CUSIP: 70387R106 / Symbol:							
4 transactions for 02/19/20. Total proceeds and cost reported to the IRS.							
	1.000	2.65	02/18/20	2.88	0.23 W	0.00	1 of 4 - Sale ²⁵
	1.000	2.61	02/18/20	2.88	0.27 W	0.00	2 of 4 - Sale ²⁵
	1.000	2.61	02/18/20	2.88	0.27 W	0.00	3 of 4 - Sale ²⁵
	47.000	122.67	02/18/20	135.24	12.57 W	0.00	4 of 4 - Sale ²⁵
02/19/20	50.000	130.54	Various	143.88	13.34 W	0.00	Total of 4 transactions
7 transactions for 02/25/20. Total proceeds and cost reported to the IRS.							
	1.000	3.09	02/21/20	3.35	0.26 W	0.00	1 of 7 - Sale ²⁵
	1.000	3.09	02/21/20	3.39	0.30 W	0.00	2 of 7 - Sale ²⁵
	1.000	3.09	02/21/20	3.39	0.30 W	0.00	3 of 7 - Sale ²⁵
	22.000	68.00	02/21/20	74.52	6.52 W	0.00	4 of 7 - Sale ²⁵
	3.000	9.27	02/21/20	10.16	0.89 W	0.00	5 of 7 - Sale ²⁵
	22.000	68.00	02/24/20	74.51	6.51 W	0.00	6 of 7 - Sale ²⁵
	128.000	395.65	02/24/20	399.23	3.58 W	0.00	7 of 7 - Sale ²⁵
02/25/20	178.000	550.19	Various	568.55	18.36 W	0.00	Total of 7 transactions
4 transactions for 03/09/20. Total proceeds and cost reported to the IRS.							
	1.000	2.25	03/06/20	2.79	0.54 W	0.00	1 of 4 - Sale ²⁵
	1.000	2.25	03/06/20	2.83	0.58 W	0.00	2 of 4 - Sale ²⁵
	1.000	2.25	03/06/20	2.83	0.58 W	0.00	3 of 4 - Sale ²⁵
	22.000	49.50	03/06/20	62.18	12.68 W	0.00	4 of 4 - Sale ²⁵
03/09/20	25.000	56.25	Various	70.63	14.38 W	0.00	Total of 4 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PAVMED INC. COMMON STOCK / CUSIP: 70387R106 / Symbol: (cont'd)							
3 transactions for 03/10/20. Total proceeds and cost reported to the IRS.							
	3.000	7.54	03/09/20	8.36	0.82 W	0.00	1 of 3 - Sale ²⁵
	22.000	55.27	03/09/20	61.26	5.99 W	0.00	2 of 3 - Sale ²⁵
	125.000	314.03	03/09/20	314.60	0.57 W	0.00	3 of 3 - Sale ²⁵
03/10/20	150.000	376.84	Various	384.22	7.38 W	0.00	Total of 3 transactions
9 transactions for 03/19/20. Total proceeds and cost reported to the IRS.							
	125.000	173.73	03/18/20	199.32	...	-25.59	1 of 9 - Sale ²⁵
	22.000	30.58	03/18/20	47.66	...	-17.08	2 of 9 - Sale ²⁵
	22.000	30.58	03/18/20	40.97	...	-10.39	3 of 9 - Sale ²⁵
	22.000	30.58	03/18/20	34.98	...	-4.40	4 of 9 - Sale ²⁵
	3.000	4.17	03/18/20	5.59	...	-1.42	5 of 9 - Sale ²⁵
	1.000	1.39	03/18/20	2.17	...	-0.78	6 of 9 - Sale ²⁵
	1.000	1.39	03/18/20	2.17	...	-0.78	7 of 9 - Sale ²⁵
	1.000	1.39	03/18/20	2.13	...	-0.74	8 of 9 - Sale ²⁵
	3.000	4.17	03/18/20	4.85	...	-0.68	9 of 9 - Sale ²⁵
03/19/20	200.000	277.98	Various	339.84	...	-61.86	Total of 9 transactions
06/16/20	100.000	224.49	06/15/20	206.50	...	17.99	Sale ²⁵
06/18/20	100.000	232.99	06/17/20	233.50	...	-0.51	Sale ²⁵
	Security total:	1,849.28		1,947.12	53.46 W	-44.38	
PAYSIGN, INC. COMMON STOCK / CUSIP: 70451A104 / Symbol:							
02/14/20	25.000	235.00	02/13/20	237.50	...	-2.50	Sale ²⁵
03/23/20	100.000	419.99	03/20/20	450.89	9.89 W	-21.01	Sale ²⁵
03/25/20	32.000	138.56	03/24/20	163.04	...	-24.48	Sale ²⁵
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	2.000	16.52	06/19/20	16.42	...	0.10	1 of 2 - Sale ²⁵
	48.000	396.48	06/19/20	394.51	...	1.97	2 of 2 - Sale ²⁵
06/19/20	50.000	413.00	Various	410.93	...	2.07	Total of 2 transactions
6 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	250.000	2,635.19	06/25/20	2,732.25	...	-97.06	1 of 6 - Sale ²⁵
	300.000	3,162.22	06/25/20	3,217.92	...	-55.70	2 of 6 - Sale ²⁵
	78.000	822.18	06/25/20	867.06	...	-44.88	3 of 6 - Sale ²⁵
	75.000	790.55	06/25/20	824.38	...	-33.83	4 of 6 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PAYSIGN, INC. COMMON STOCK / CUSIP: 70451A104 / Symbol: (cont'd)							
	22.000	231.90	06/25/20	244.34	...	-12.44	5 of 6 - Sale ²⁵
	25.000	263.52	06/25/20	273.62	...	-10.10	6 of 6 - Sale ²⁵
06/25/20	750.000	7,905.56	Various	8,159.57	...	-254.01	Total of 6 transactions
	5 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	100.000	995.46	06/25/20	1,008.40	12.94 W	0.00	1 of 5 - Sale ²⁵
	22.000	218.89	06/25/20	227.25	8.36 W	0.00	2 of 5 - Sale ²⁵
	78.000	776.07	06/25/20	806.47	30.40 W	0.00	3 of 5 - Sale ²⁵
	25.000	248.74	06/25/20	254.20	5.46 W	0.00	4 of 5 - Sale ²⁵
	75.000	746.23	06/25/20	766.13	19.90 W	0.00	5 of 5 - Sale ²⁵
06/25/20	300.000	2,985.39	Various	3,062.45	77.06 W	0.00	Total of 5 transactions
	Security total:	12,097.50		12,484.38	86.95 W	-299.93	
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol:							
04/14/20	5.000	160.00	04/13/20	156.55	...	3.45	Sale ²⁵
PENN NATIONAL GAMING, INC. COM MON STOCK / CUSIP: 707569109 / Symbol:							
03/24/20	25.000	331.25	03/23/20	244.78	...	86.47	Sale ²⁵
04/02/20	25.000	245.88	04/01/20	299.50	...	-53.62	Sale ²⁵
	2 transactions for 05/08/20. Total proceeds and cost reported to the IRS.						
	20.000	359.20	05/07/20	371.58	12.38 W	0.00	1 of 2 - Sale ²⁵
	2.000	35.92	05/07/20	37.18	1.26 W	0.00	2 of 2 - Sale ²⁵
05/08/20	22.000	395.12	Various	408.76	13.64 W	0.00	Total of 2 transactions
	3 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	2.000	42.06	05/15/20	40.62	...	1.44	1 of 3 - Sale ²⁵
	3.000	63.08	05/15/20	59.04	...	4.04	2 of 3 - Sale ²⁵
	20.000	420.59	05/15/20	405.98	...	14.61	3 of 3 - Sale ²⁵
05/18/20	25.000	525.73	Various	505.64	...	20.09	Total of 3 transactions
	3 transactions for 05/22/20. Total proceeds and cost reported to the IRS.						
	7.000	202.99	05/19/20	169.51	...	33.48	1 of 3 - Sale ²⁵
	5.000	145.00	05/20/20	132.75	...	12.25	2 of 3 - Sale ²⁵
	13.000	376.99	05/20/20	349.15	...	27.84	3 of 3 - Sale ²⁵
05/22/20	25.000	724.98	Various	651.41	...	73.57	Total of 3 transactions
05/26/20	15.000	476.40	05/22/20	437.25	...	39.15	Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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PENN NATIONAL GAMING, INC. COM MON STOCK / CUSIP: 707569109 / Symbol: (cont'd)							
2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	15.000	470.99	05/28/20	505.45	...	-34.46	1 of 2 - Sale ²⁵
	10.000	313.99	05/28/20	342.79	...	-28.80	2 of 2 - Sale ²⁵
05/29/20	25.000	784.98	Various	848.24	...	-63.26	Total of 2 transactions
	Security total:	3,484.34		3,395.58	13.64 W	102.40	
PENNEY J C CORP INC COMMON STO CK / CUSIP: 708160106 / Symbol:							
01/15/20	100.000	78.34	01/14/20	81.00	...	-2.66	Sale ²⁵
3 transactions for 03/16/20. Total proceeds and cost reported to the IRS.							
	20.000	9.77	03/13/20	10.34	0.57 W	0.00	1 of 3 - Sale ²⁵
	114.000	55.67	03/13/20	58.91	3.24 W	0.00	2 of 3 - Sale ²⁵
	166.000	81.22	03/13/20	85.79	4.57 W	0.00	3 of 3 - Sale ²⁵
03/16/20	300.000	146.66	Various	155.04	8.38 W	0.00	Total of 3 transactions
3 transactions for 04/16/20. Total proceeds and cost reported to the IRS.							
	50.000	12.15	04/15/20	11.95	...	0.20	1 of 3 - Sale ²⁵
	67.000	16.28	04/15/20	15.97	...	0.31	2 of 3 - Sale ²⁵
	83.000	20.17	04/15/20	19.79	...	0.38	3 of 3 - Sale ²⁵
04/16/20	200.000	48.60	Various	47.71	...	0.89	Total of 3 transactions
3 transactions for 04/16/20. Total proceeds and cost reported to the IRS.							
	20.000	4.86	04/15/20	5.34	0.48 W	0.00	1 of 3 - Sale ²⁵
	114.000	27.70	04/15/20	30.42	2.72 W	0.00	2 of 3 - Sale ²⁵
	166.000	40.33	04/15/20	44.14	3.81 W	0.00	3 of 3 - Sale ²⁵
04/16/20	300.000	72.89	Various	79.90	7.01 W	0.00	Total of 3 transactions
3 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	500.000	99.94	05/13/20	154.30	...	-54.36	1 of 3 - Sale ²⁵
	166.000	33.18	05/13/20	50.25	...	-17.07	2 of 3 - Sale ²⁵
	200.000	39.97	05/13/20	55.96	...	-15.99	3 of 3 - Sale ²⁵
05/14/20	866.000	173.09	Various	260.51	...	-87.42	Total of 3 transactions
2 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	114.000	22.79	05/13/20	34.62	8.30 W	-3.53	1 of 2 - Sale ²⁵
	20.000	4.00	05/13/20	6.08	2.08 W	0.00	2 of 2 - Sale ²⁵
05/14/20	134.000	26.79	Various	40.70	10.38 W	-3.53	Total of 2 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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PENNEY J C CORP INC COMMON STO CK / CUSIP: 708160106 / Symbol: (cont'd)							
2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	80.000	13.59	05/15/20	30.05	...	-16.46	1 of 2 - Sale ²⁵
	20.000	3.40	05/15/20	7.52	...	-4.12	2 of 2 - Sale ²⁵
05/18/20	100.000	16.99	Various	37.57	...	-20.58	Total of 2 transactions
	Security total:	563.36		702.43	25.77 W	-113.30	
PFIZER INC. / CUSIP: 717081103 / Symbol:							
05/06/20	1.000	39.08	05/05/20	38.30	...	0.78	Sale ²⁵
5 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	1.000	35.93	06/02/20	35.95	...	-0.02	1 of 5 - Sale ²⁵
	1.000	36.04	06/02/20	35.95	...	0.09	2 of 5 - Sale ²⁵
	23.000	827.98	06/02/20	826.85	...	1.13	3 of 5 - Sale ²⁵
	50.000	1,800.20	06/02/20	1,793.75	...	6.45	4 of 5 - Sale ²⁵
	50.000	1,800.21	06/02/20	1,793.50	...	6.71	5 of 5 - Sale ²⁵
06/03/20	125.000	4,500.36	Various	4,486.00	...	14.36	Total of 5 transactions
	Security total:	4,539.44		4,524.30	...	15.14	
PLANTRONICS, INC. / CUSIP: 727493108 / Symbol:							
06/29/20	50.000	732.48	06/29/20	731.45	...	1.03	Sale ²⁵
07/02/20	50.000	743.36	07/02/20	762.50	...	-19.14	Sale ²⁵
	Security total:	1,475.84		1,493.95	...	-18.11	
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
2 transactions for 01/13/20. Total proceeds and cost reported to the IRS.							
	40.000	170.99	01/06/20	147.80	...	23.19	1 of 2 - Sale ²⁵
	20.000	85.50	01/08/20	81.96	...	3.54	2 of 2 - Sale ²⁵
01/13/20	60.000	256.49	Various	229.76	...	26.73	Total of 2 transactions
2 transactions for 01/31/20. Total proceeds and cost reported to the IRS.							
	70.000	280.01	01/27/20	266.55	...	13.46	1 of 2 - Sale ²⁵
	4.000	16.00	01/28/20	15.48	...	0.52	2 of 2 - Sale ²⁵
01/31/20	74.000	296.01	Various	282.03	...	13.98	Total of 2 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
5 transactions for 01/31/20. Total proceeds and cost reported to the IRS.							
	20.000	80.84	01/29/20	83.20	2.36 W	0.00	1 of 5 - Sale ²⁵
	1.000	4.04	01/29/20	4.14	0.10 W	0.00	2 of 5 - Sale ²⁵
	5.000	20.21	01/29/20	21.15	0.94 W	0.00	3 of 5 - Sale ²⁵
	24.000	97.02	01/29/20	97.68	0.66 W	0.00	4 of 5 - Sale ²⁵
	6.000	24.00	01/29/20	24.96	0.96 W	0.00	5 of 5 - Sale ²⁵
01/31/20	56.000	226.11	Various	231.13	5.02 W	0.00	Total of 5 transactions
2 transactions for 02/04/20. Total proceeds and cost reported to the IRS.							
	3.000	11.67	01/30/20	12.23	...	-0.56	1 of 2 - Sale ²⁵
	2.000	7.78	01/30/20	8.04	...	-0.26	2 of 2 - Sale ²⁵
02/04/20	5.000	19.45	Various	20.27	...	-0.82	Total of 2 transactions
7 transactions for 02/04/20. Total proceeds and cost reported to the IRS.							
	1.000	3.89	01/29/20	4.17	0.28 W	0.00	1 of 7 - Sale ²⁵
	20.000	77.79	01/29/20	83.76	5.97 W	0.00	2 of 7 - Sale ²⁵
	49.000	190.60	01/29/20	199.43	8.83 W	0.00	3 of 7 - Sale ²⁵
	25.000	97.24	01/30/20	100.50	2.61 W	-0.65	4 of 7 - Sale ²⁵
	5.000	19.45	01/30/20	21.04	1.59 W	0.00	5 of 7 - Sale ²⁵
	24.000	93.35	01/30/20	97.13	3.78 W	0.00	6 of 7 - Sale ²⁵
	21.000	81.69	01/30/20	84.42	2.73 W	0.00	7 of 7 - Sale ²⁵
02/04/20	145.000	564.01	Various	590.45	25.79 W	-0.65	Total of 7 transactions
3 transactions for 02/20/20. Total proceeds and cost reported to the IRS.							
	1.000	5.64	02/18/20	5.14	...	0.50	1 of 3 - Sale ²⁵
	20.000	112.80	02/18/20	103.12	...	9.68	2 of 3 - Sale ²⁵
	19.000	107.16	02/18/20	95.72	...	11.44	3 of 3 - Sale ²⁵
02/20/20	40.000	225.60	Various	203.98	...	21.62	Total of 3 transactions
7 transactions for 02/27/20. Total proceeds and cost reported to the IRS.							
	27.000	116.91	02/26/20	140.14	...	-23.23	1 of 7 - Sale ²⁵
	22.000	94.60	02/26/20	113.66	...	-19.06	2 of 7 - Sale ²⁵
	21.000	90.29	02/26/20	107.91	...	-17.62	3 of 7 - Sale ²⁵
	20.000	86.00	02/26/20	102.79	...	-16.79	4 of 7 - Sale ²⁵
	5.000	21.65	02/26/20	26.63	...	-4.98	5 of 7 - Sale ²⁵
	3.000	12.90	02/26/20	15.57	...	-2.67	6 of 7 - Sale ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

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(continued)

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PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
	2.000	8.66	02/26/20	10.33	...	-1.67	7 of 7 - Sale ²⁵
02/27/20	100.000	431.01	Various	517.03	...	-86.02	Total of 7 transactions
03/31/20	100.000	353.49	03/30/20	375.00	21.51 W	0.00	Sale ²⁵
	2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.						
	100.000	380.00	04/06/20	381.51	...	-1.51	1 of 2 - Sale ²⁵
	25.000	95.00	04/06/20	90.00	...	5.00	2 of 2 - Sale ²⁵
04/07/20	125.000	475.00	Various	471.51	...	3.49	Total of 2 transactions
	2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	18.000	90.00	06/04/20	81.63	...	8.37	1 of 2 - Sale ²⁵
	82.000	409.99	06/04/20	371.87	...	38.12	2 of 2 - Sale ²⁵
06/05/20	100.000	499.99	Various	453.50	...	46.49	Total of 2 transactions
06/11/20	100.000	545.71	06/10/20	544.77	...	0.94	Sale ²⁵
	4 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	100.000	719.07	06/24/20	727.00	7.93 W	0.00	1 of 4 - Sale ²⁵
	50.000	359.53	06/24/20	371.47	11.94 W	0.00	2 of 4 - Sale ²⁵
	50.000	359.53	06/24/20	373.96	14.43 W	0.00	3 of 4 - Sale ²⁵
	49.000	352.35	06/24/20	362.60	10.25 W	0.00	4 of 4 - Sale ²⁵
06/24/20	249.000	1,790.48	Various	1,835.03	44.55 W	0.00	Total of 4 transactions
	3 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	1.000	7.29	06/24/20	7.64	0.35 W	0.00	1 of 3 - Sale ²⁵
	49.000	357.20	06/24/20	377.62	20.42 W	0.00	2 of 3 - Sale ²⁵
	50.000	364.48	06/24/20	387.81	23.33 W	0.00	3 of 3 - Sale ²⁵
06/25/20	100.000	728.97	Various	773.07	44.10 W	0.00	Total of 3 transactions
	5 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	50.000	401.10	06/29/20	430.24	...	-29.14	1 of 5 - Sale ²⁵
	49.000	393.08	06/29/20	419.21	...	-26.13	2 of 5 - Sale ²⁵
	49.000	393.08	06/29/20	404.87	...	-11.79	3 of 5 - Sale ²⁵
	101.000	810.22	06/29/20	813.40	...	-3.18	4 of 5 - Sale ²⁵
	1.000	8.02	06/29/20	8.50	...	-0.48	5 of 5 - Sale ²⁵
06/29/20	250.000	2,005.50	Various	2,076.22	...	-70.72	Total of 5 transactions

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(continued)

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PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
<i>8 transactions for 06/29/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	7.97	06/29/20	8.33	0.36 W	0.00	1 of 8 - Sale ²⁵
	49.000	390.63	06/29/20	411.33	20.70 W	0.00	2 of 8 - Sale ²⁵
	50.000	398.60	06/29/20	422.21	23.61 W	0.00	3 of 8 - Sale ²⁵
	49.000	390.63	06/29/20	401.16	10.53 W	0.00	4 of 8 - Sale ²⁵
	101.000	805.17	06/29/20	805.75	0.58 W	0.00	5 of 8 - Sale ²⁵
	1.000	8.06	06/29/20	8.51	0.45 W	0.00	6 of 8 - Sale ²⁵
	49.000	395.07	06/29/20	419.94	24.87 W	0.00	7 of 8 - Sale ²⁵
	50.000	403.13	06/29/20	430.99	27.86 W	0.00	8 of 8 - Sale ²⁵
06/29/20	350.000	2,799.26	Various	2,908.22	108.96 W	0.00	Total of 8 transactions
	Security total:	11,217.08		11,511.97	249.93 W	-44.96	
POLYMET MINING CORP. / CUSIP: 731916102 / Symbol:							
06/10/20	100.000	41.02	06/09/20	69.99	28.97 W	0.00	Sale ²⁵
<i>3 transactions for 07/06/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	45.75	07/06/20	74.69	...	-28.94	1 of 3 - Sale ²⁵
	500.000	228.74	07/06/20	232.35	...	-3.61	2 of 3 - Sale ²⁵
	400.000	182.99	07/06/20	182.88	...	0.11	3 of 3 - Sale ²⁵
07/06/20	1,000.000	457.48	Various	489.92	...	-32.44	Total of 3 transactions
	Security total:	498.50		559.91	28.97 W	-32.44	
PROSHARES ULTRASHORT FINANCIAL S / CUSIP: 74347B748 / Symbol:							
03/17/20	10.000	228.40	03/16/20	234.90	...	-6.50	Sale ²⁵
06/26/20	500.000	6,714.79	06/26/20	6,718.65	...	-3.86	Sale ²⁵
	Security total:	6,943.19		6,953.55	...	-10.36	
PROSHARES ULTRA BLOOMBERG CRUD E OIL / CUSIP: 74347Y888 / Symbol:							
<i>3 transactions for 06/22/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	764.23	06/22/20	767.98	...	-3.75	1 of 3 - Sale ²⁵
	10.000	305.69	06/22/20	306.50	...	-0.81	2 of 3 - Sale ²⁵
	15.000	458.54	06/22/20	455.39	...	3.15	3 of 3 - Sale ²⁵
06/22/20	50.000	1,528.46	Various	1,529.87	...	-1.41	Total of 3 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PUMA BIOTECHNOLOGY INC COMMON STOCK / CUSIP: 74587V107 / Symbol:							
<i>2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	283.61	06/08/20	283.42	...	0.19	1 of 2 - Sale ²⁵
	25.000	283.62	06/08/20	282.91	...	0.71	2 of 2 - Sale ²⁵
06/09/20	50.000	567.23	Various	566.33	...	0.90	Total of 2 transactions
<i>5 transactions for 06/22/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	22.50	06/18/20	19.60	...	2.90	1 of 5 - Sale ²⁵
	8.000	90.00	06/18/20	78.40	...	11.60	2 of 5 - Sale ²⁵
	10.000	112.50	06/18/20	93.14	...	19.36	3 of 5 - Sale ²⁵
	25.000	281.24	06/18/20	231.00	...	50.24	4 of 5 - Sale ²⁵
	35.000	393.73	06/19/20	387.71	...	6.02	5 of 5 - Sale ²⁵
06/22/20	80.000	899.97	Various	809.85	...	90.12	Total of 5 transactions
	Security total:	1,467.20		1,376.18	...	91.02	
RTW RETAILWINDS INC COMMON STO CK / CUSIP: 74980D100 / Symbol:							
<i>2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.</i>							
	60.000	17.38	06/05/20	14.84	...	2.54	1 of 2 - Sale ²⁵
	440.000	124.03	06/05/20	108.81	...	15.22	2 of 2 - Sale ²⁵
06/08/20	500.000	141.41	Various	123.65	...	17.76	Total of 2 transactions
REATA PHARMACEUTICALS, INC. CL ASS A COMMON STOCK / CUSIP: 75615P103 / Symbol:							
06/12/20	5.000	860.98	06/11/20	862.41	...	-1.43	Sale ²⁵
RED LIONS HOTEL CORPORATION / CUSIP: 756764106 / Symbol:							
<i>4 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	12.50	06/04/20	11.40	...	1.10	1 of 4 - Sale ²⁵
	5.000	12.50	06/04/20	11.40	...	1.10	2 of 4 - Sale ²⁵
	40.000	100.00	06/04/20	91.20	...	8.80	3 of 4 - Sale ²⁵
	200.000	499.98	06/04/20	454.00	...	45.98	4 of 4 - Sale ²⁵
06/05/20	250.000	624.98	Various	568.00	...	56.98	Total of 4 transactions

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(continued)

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REEDS, INC. COMMON STOCK / CUSIP: 758338107 / Symbol:							
2 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	5.000	3.45	05/05/20	3.34	...	0.11	1 of 2 - Sale ²⁵
	40.000	27.60	05/05/20	27.19	...	0.41	2 of 2 - Sale ²⁵
05/06/20	45.000	31.05	Various	30.53	...	0.52	Total of 2 transactions
REGIONAL HEALTH PROPERTIES, IN C. / CUSIP: 75903M309 / Symbol:							
5 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	50.000	65.50	03/31/20	72.00	6.50 W	0.00	1 of 5 - Sale ²⁵
	1.000	1.31	03/31/20	1.44	0.13 W	0.00	2 of 5 - Sale ²⁵
	95.000	130.14	03/31/20	136.80	6.66 W	0.00	3 of 5 - Sale ²⁵
	71.000	93.00	03/31/20	102.24	9.24 W	0.00	4 of 5 - Sale ²⁵
	33.000	41.91	03/31/20	47.52	5.61 W	0.00	5 of 5 - Sale ²⁵
04/01/20	250.000	331.86	Various	360.00	28.14 W	0.00	Total of 5 transactions
5 transactions for 04/03/20. Total proceeds and cost reported to the IRS.							
	71.000	89.45	04/02/20	102.96	...	-13.51	1 of 5 - Sale ²⁵
	95.000	119.69	04/02/20	132.06	...	-12.37	2 of 5 - Sale ²⁵
	50.000	62.99	04/02/20	72.50	...	-9.51	3 of 5 - Sale ²⁵
	33.000	41.58	04/02/20	49.17	...	-7.59	4 of 5 - Sale ²⁵
	1.000	1.26	04/02/20	1.45	...	-0.19	5 of 5 - Sale ²⁵
04/03/20	250.000	314.97	Various	358.14	...	-43.17	Total of 5 transactions
	Security total:	646.83		718.14	28.14 W	-43.17	
REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol:							
3 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	100.000	80.49	05/04/20	78.13	...	2.36	1 of 3 - Sale ²⁵
	100.000	80.49	05/04/20	78.00	...	2.49	2 of 3 - Sale ²⁵
	300.000	241.46	05/04/20	232.80	...	8.66	3 of 3 - Sale ²⁵
05/05/20	500.000	402.44	Various	388.93	...	13.51	Total of 3 transactions
05/07/20	500.000	418.69	05/06/20	373.56	...	45.13	Sale ²⁵
6 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	75.000	150.74	05/08/20	82.88	...	67.86	1 of 6 - Sale ²⁵
	75.000	150.74	05/08/20	82.87	...	67.87	2 of 6 - Sale ²⁵
	50.000	100.49	05/11/20	77.75	...	22.74	3 of 6 - Sale ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol: (cont'd)							
	50.000	100.50	05/11/20	62.50	...	38.00	4 of 6 - Sale ²⁵
	50.000	100.50	05/11/20	62.50	...	38.00	5 of 6 - Sale ²⁵
	100.000	200.99	05/11/20	148.50	...	52.49	6 of 6 - Sale ²⁵
05/12/20	400.000	803.96	Various	517.00	...	286.96	Total of 6 transactions
	2 transactions for 05/13/20. Total proceeds and cost reported to the IRS.						
	50.000	96.49	05/11/20	82.50	...	13.99	1 of 2 - Sale ²⁵
	50.000	96.50	05/11/20	77.75	...	18.75	2 of 2 - Sale ²⁵
05/13/20	100.000	192.99	Various	160.25	...	32.74	Total of 2 transactions
05/14/20	200.000	275.98	05/13/20	347.00	71.02 W	0.00	Sale ²⁵
	4 transactions for 05/27/20. Total proceeds and cost reported to the IRS.						
	50.000	169.49	05/22/20	128.76	...	40.73	1 of 4 - Sale ²⁵
	100.000	338.99	05/22/20	257.51	...	81.48	2 of 4 - Sale ²⁵
	25.000	84.75	05/26/20	75.92	...	8.83	3 of 4 - Sale ²⁵
	50.000	169.49	05/26/20	159.75	...	9.74	4 of 4 - Sale ²⁵
05/27/20	225.000	762.72	Various	621.94	...	140.78	Total of 4 transactions
06/02/20	100.000	239.99	06/01/20	277.00	37.01 W	0.00	Sale ²⁵
06/03/20	50.000	117.49	06/02/20	112.00	...	5.49	Sale ²⁵
	3 transactions for 06/03/20. Total proceeds and cost reported to the IRS.						
	2.000	4.70	06/02/20	5.22	0.52 W	0.00	1 of 3 - Sale ²⁵
	20.000	47.00	06/02/20	52.20	5.20 W	0.00	2 of 3 - Sale ²⁵
	78.000	183.29	06/02/20	203.59	20.30 W	0.00	3 of 3 - Sale ²⁵
06/03/20	100.000	234.99	Various	261.01	26.02 W	0.00	Total of 3 transactions
	3 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	2.000	5.44	06/04/20	6.86	1.42 W	0.00	1 of 3 - Sale ²⁵
	20.000	54.40	06/04/20	68.60	14.20 W	0.00	2 of 3 - Sale ²⁵
	53.000	144.15	06/04/20	181.80	37.65 W	0.00	3 of 3 - Sale ²⁵
06/05/20	75.000	203.99	Various	257.26	53.27 W	0.00	Total of 3 transactions
	5 transactions for 07/02/20. Total proceeds and cost reported to the IRS.						
	53.000	126.13	07/02/20	163.79	...	-37.66	1 of 5 - Sale ²⁵
	20.000	47.60	07/02/20	61.80	...	-14.20	2 of 5 - Sale ²⁵
	25.000	59.50	07/02/20	66.01	...	-6.51	3 of 5 - Sale ²⁵
	2.000	4.76	07/02/20	6.18	...	-1.42	4 of 5 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol: (cont'd)							
	50.000	118.99	07/02/20	119.00	...	-0.01	5 of 5 - Sale ²⁵
07/02/20	150.000	356.98	Various	416.78	...	-59.80	Total of 5 transactions
	Security total:	4,010.22		3,732.73	187.32 W	464.81	
RESMED INC. / CUSIP: 761152107 / Symbol:							
06/25/20	10.000	1,851.81	06/25/20	1,854.92	...	-3.11	Sale ²⁵
RITCHIE BROS. AUCTIONEERS INCO RPORATED / CUSIP: 767744105 / Symbol:							
06/01/20	5.000	216.92	05/29/20	216.43	...	0.49	Sale ²⁵
RITE AID CORPORATION / CUSIP: 767754872 / Symbol:							
4 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	50.000	822.47	06/25/20	822.37	...	0.10	1 of 4 - Sale ²⁵
	20.000	326.24	06/25/20	322.35	...	3.89	2 of 4 - Sale ²⁵
	100.000	1,644.95	06/25/20	1,632.74	...	12.21	3 of 4 - Sale ²⁵
	480.000	7,829.66	06/25/20	7,770.43	...	59.23	4 of 4 - Sale ²⁵
06/25/20	650.000	10,623.32	Various	10,547.89	...	75.43	Total of 4 transactions
4 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	15.000	272.39	07/06/20	274.80	...	-2.41	1 of 4 - Sale ²⁵
	35.000	635.58	07/06/20	635.60	...	-0.02	2 of 4 - Sale ²⁵
	1.000	18.37	07/06/20	18.16	...	0.21	3 of 4 - Sale ²⁵
	24.000	440.40	07/06/20	435.84	...	4.56	4 of 4 - Sale ²⁵
07/06/20	75.000	1,366.74	Various	1,364.40	...	2.34	Total of 4 transactions
	Security total:	11,990.06		11,912.29	...	77.77	
RITTER PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 767836307 / Symbol:							
03/23/20	500.000	140.94	03/20/20	121.90	...	19.04	Sale ²⁵
4 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	500.000	209.93	03/23/20	219.10	9.17 W	0.00	1 of 4 - Sale ²⁵
	500.000	209.93	03/23/20	214.95	5.02 W	0.00	2 of 4 - Sale ²⁵
	30.000	12.60	03/23/20	14.55	1.95 W	0.00	3 of 4 - Sale ²⁵
	200.000	83.97	03/23/20	97.40	13.43 W	0.00	4 of 4 - Sale ²⁵
03/24/20	1,230.000	516.43	Various	546.00	29.57 W	0.00	Total of 4 transactions
04/14/20	20.000	5.60	04/13/20	5.49	...	0.11	Sale ²⁵

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(continued)

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RITTER PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 767836307 / Symbol: (cont'd)							
5 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	30.000	8.40	04/13/20	10.19	1.79 W	0.00	1 of 5 - Sale ²⁵
	200.000	55.99	04/13/20	68.33	12.34 W	0.00	2 of 5 - Sale ²⁵
	500.000	139.99	04/13/20	146.42	6.43 W	0.00	3 of 5 - Sale ²⁵
	500.000	139.98	04/13/20	142.27	2.29 W	0.00	4 of 5 - Sale ²⁵
	750.000	209.98	04/13/20	220.50	10.52 W	0.00	5 of 5 - Sale ²⁵
04/14/20	1,980.000	554.34	Various	587.71	33.37 W	0.00	Total of 5 transactions
16 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	215.000	119.52	05/05/20	175.34	...	-55.82	1 of 16 - Sale ²⁵
	150.000	83.38	05/05/20	121.42	...	-38.04	2 of 16 - Sale ²⁵
	110.000	61.21	05/05/20	94.96	...	-33.75	3 of 16 - Sale ²⁵
	88.000	48.97	05/05/20	71.77	...	-22.80	4 of 16 - Sale ²⁵
	50.000	27.79	05/05/20	48.60	...	-20.81	5 of 16 - Sale ²⁵
	20.000	11.12	05/05/20	19.34	...	-8.22	6 of 16 - Sale ²⁵
	17.000	9.45	05/05/20	13.93	...	-4.48	7 of 16 - Sale ²⁵
	3.000	1.68	05/05/20	1.41	...	0.27	8 of 16 - Sale ²⁵
	17.000	9.52	05/05/20	8.00	...	1.52	9 of 16 - Sale ²⁵
	10.000	5.77	05/05/20	3.60	...	2.17	10 of 16 - Sale ²⁵
	30.000	16.80	05/05/20	14.06	...	2.74	11 of 16 - Sale ²⁵
	56.000	32.30	05/05/20	20.71	...	11.59	12 of 16 - Sale ²⁵
	200.000	115.37	05/05/20	84.37	...	31.00	13 of 16 - Sale ²⁵
	200.000	115.38	05/05/20	82.72	...	32.66	14 of 16 - Sale ²⁵
	300.000	167.96	05/05/20	124.07	...	43.89	15 of 16 - Sale ²⁵
	234.000	134.99	05/05/20	86.55	...	48.44	16 of 16 - Sale ²⁵
05/06/20	1,700.000	961.21	Various	970.85	...	-9.64	Total of 16 transactions
5 transactions for 05/06/20. Total proceeds and cost reported to the IRS.							
	430.000	239.29	05/05/20	289.87	41.17 W	-9.41	1 of 5 - Sale ²⁵
	180.000	100.78	05/05/20	132.52	31.74 W	0.00	2 of 5 - Sale ²⁵
	303.000	169.64	05/05/20	208.62	38.98 W	0.00	3 of 5 - Sale ²⁵
	17.000	9.46	05/05/20	11.71	2.25 W	0.00	4 of 5 - Sale ²⁵
	70.000	38.95	05/05/20	58.55	19.60 W	0.00	5 of 5 - Sale ²⁵
05/06/20	1,000.000	558.12	Various	701.27	133.74 W	-9.41	Total of 5 transactions

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(continued)

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RITTER PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 767836307 / Symbol: (cont'd)							
2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	100.000	53.19	05/11/20	74.35	...	-21.16	1 of 2 - Sale ²⁵
	100.000	53.19	05/11/20	72.76	...	-19.57	2 of 2 - Sale ²⁵
05/12/20	200.000	106.38	Various	147.11	...	-40.73	Total of 2 transactions
	Security total:	2,843.02		3,080.33	196.68 W	-40.63	
ROCHE HOLDINGS AG BASEL AMERIC AN DEPOSITARY SHARES / CUSIP: 771195104 / Symbol:							
03/16/20	10.000	360.50	03/13/20	383.10	22.60 W	0.00	Sale ²⁵
2 transactions for 03/17/20. Total proceeds and cost reported to the IRS.							
	10.000	375.89	03/16/20	410.00	...	-34.11	1 of 2 - Sale ²⁵
	10.000	375.89	03/16/20	387.40	...	-11.51	2 of 2 - Sale ²⁵
03/17/20	20.000	751.78	Various	797.40	...	-45.62	Total of 2 transactions
06/03/20	10.000	439.70	06/02/20	435.70	...	4.00	Sale ²⁵
	Security total:	1,551.98		1,616.20	22.60 W	-41.62	
ROCKWELL MEDICAL, INC. (DE) CO MMON STOCK / CUSIP: 774374102 / Symbol:							
05/29/20	100.000	214.03	05/27/20	210.77	...	3.26	Sale ²⁵
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	2.000	224.79	06/08/20	223.44	...	1.35	1 of 2 - Sale ²⁵
	8.000	899.18	06/08/20	887.84	...	11.34	2 of 2 - Sale ²⁵
06/09/20	10.000	1,123.97	Various	1,111.28	...	12.69	Total of 2 transactions
06/10/20	10.000	1,142.17	06/09/20	1,125.90	...	16.27	Sale ²⁵
07/02/20	7.000	914.84	07/02/20	926.57	...	-11.73	Sale ²⁵
	Security total:	3,180.98		3,163.75	...	17.23	
SCWORX CORP. COMMON STOCK / CUSIP: 78396V109 / Symbol:							
2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	22.000	168.08	04/16/20	206.80	...	-38.72	1 of 2 - Sale ²⁵
	4.000	30.56	04/16/20	36.00	...	-5.44	2 of 2 - Sale ²⁵
04/17/20	26.000	198.64	Various	242.80	...	-44.16	Total of 2 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SBA COMMUNICATIONS CORPORATION CLASS A COMMON STOCK / CUSIP: 78410G104 / Symbol:							
3 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	3.000	876.20	06/12/20	897.42	...	-21.22	1 of 3 - Sale ²⁵
	1.000	292.07	06/12/20	299.03	...	-6.96	2 of 3 - Sale ²⁵
	1.000	292.07	06/12/20	299.03	...	-6.96	3 of 3 - Sale ²⁵
06/15/20	5.000	1,460.34	Various	1,495.48	...	-35.14	Total of 3 transactions
SABRE CORPORATION COMMON STOCK / CUSIP: 78573M104 / Symbol:							
03/20/20	45.000	184.50	03/19/20	171.90	...	12.60	Sale ²⁵
2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	2.000	8.20	03/18/20	9.90	1.70 W	0.00	1 of 2 - Sale ²⁵
	3.000	12.30	03/19/20	16.20	3.90 W	0.00	2 of 2 - Sale ²⁵
03/20/20	5.000	20.50	Various	26.10	5.60 W	0.00	Total of 2 transactions
7 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	2.000	9.02	03/23/20	8.42	...	0.60	1 of 7 - Sale ²⁵
	22.000	98.56	03/23/20	91.52	...	7.04	2 of 7 - Sale ²⁵
	23.000	102.81	03/23/20	95.68	...	7.13	3 of 7 - Sale ²⁵
	10.000	45.00	03/23/20	37.00	...	8.00	4 of 7 - Sale ²⁵
	15.000	67.65	03/23/20	55.50	...	12.15	5 of 7 - Sale ²⁵
	50.000	223.50	03/23/20	208.00	...	15.50	6 of 7 - Sale ²⁵
	95.000	427.49	03/23/20	351.50	...	75.99	7 of 7 - Sale ²⁵
03/24/20	217.000	974.03	Various	847.62	...	126.41	Total of 7 transactions
3 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	2.000	9.00	03/23/20	9.10	0.10 W	0.00	1 of 3 - Sale ²⁵
	3.000	13.50	03/23/20	15.00	1.50 W	0.00	2 of 3 - Sale ²⁵
	3.000	13.44	03/23/20	13.98	0.54 W	0.00	3 of 3 - Sale ²⁵
03/24/20	8.000	35.94	Various	38.08	2.14 W	0.00	Total of 3 transactions
2 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	3.000	17.97	03/24/20	13.58	...	4.39	1 of 2 - Sale ²⁵
	72.000	431.36	03/24/20	313.03	...	118.33	2 of 2 - Sale ²⁵
03/25/20	75.000	449.33	Various	326.61	...	122.72	Total of 2 transactions
3 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	75.000	452.48	03/26/20	465.00	12.52 W	0.00	1 of 3 - Sale ²⁵
	75.000	452.48	03/26/20	516.57	64.09 W	0.00	2 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SABRE CORPORATION COMMON STOCK / CUSIP: 78573M104 / Symbol: (cont'd)							
	50.000	301.65	03/26/20	344.94	43.29 W	0.00	3 of 3 - Sale ²⁵
03/27/20	200.000	1,206.61	Various	1,326.51	119.90 W	0.00	Total of 3 transactions
	3 transactions for 04/07/20. Total proceeds and cost reported to the IRS.						
	15.000	94.65	04/06/20	84.23	...	10.42	1 of 3 - Sale ²⁵
	10.000	63.10	04/06/20	48.47	...	14.63	2 of 3 - Sale ²⁵
	40.000	252.40	04/06/20	203.88	...	48.52	3 of 3 - Sale ²⁵
04/07/20	65.000	410.15	Various	336.58	...	73.57	Total of 3 transactions
04/14/20	10.000	62.50	04/13/20	58.26	...	4.24	Sale ²⁵
	4 transactions for 04/14/20. Total proceeds and cost reported to the IRS.						
	10.000	62.50	04/13/20	65.13	2.63 W	0.00	1 of 4 - Sale ²⁵
	25.000	156.25	04/13/20	162.83	6.58 W	0.00	2 of 4 - Sale ²⁵
	15.000	93.75	04/13/20	97.87	4.12 W	0.00	3 of 4 - Sale ²⁵
	40.000	249.99	04/13/20	260.54	10.55 W	0.00	4 of 4 - Sale ²⁵
04/14/20	90.000	562.49	Various	586.37	23.88 W	0.00	Total of 4 transactions
	3 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	5.000	29.81	04/16/20	31.97	2.16 W	0.00	1 of 3 - Sale ²⁵
	10.000	59.61	04/16/20	63.93	4.32 W	0.00	2 of 3 - Sale ²⁵
	35.000	208.64	04/16/20	244.85	36.21 W	0.00	3 of 3 - Sale ²⁵
04/17/20	50.000	298.06	Various	340.75	42.69 W	0.00	Total of 3 transactions
	6 transactions for 04/23/20. Total proceeds and cost reported to the IRS.						
	5.000	28.35	04/21/20	29.65	1.30 W	0.00	1 of 6 - Sale ²⁵
	10.000	56.70	04/21/20	59.31	2.61 W	0.00	2 of 6 - Sale ²⁵
	10.000	56.70	04/21/20	65.34	8.64 W	0.00	3 of 6 - Sale ²⁵
	15.000	85.04	04/21/20	86.60	1.56 W	0.00	4 of 6 - Sale ²⁵
	20.000	113.40	04/21/20	115.24	1.84 W	0.00	5 of 6 - Sale ²⁵
	40.000	226.79	04/21/20	230.50	3.71 W	0.00	6 of 6 - Sale ²⁵
04/23/20	100.000	566.98	Various	586.64	19.66 W	0.00	Total of 6 transactions
	8 transactions for 04/24/20. Total proceeds and cost reported to the IRS.						
	5.000	28.75	04/23/20	30.20	1.45 W	0.00	1 of 8 - Sale ²⁵
	10.000	57.50	04/23/20	60.41	2.91 W	0.00	2 of 8 - Sale ²⁵
	10.000	57.50	04/23/20	66.44	8.94 W	0.00	3 of 8 - Sale ²⁵
	15.000	86.25	04/23/20	88.26	2.01 W	0.00	4 of 8 - Sale ²⁵
	20.000	114.99	04/23/20	117.44	2.45 W	0.00	5 of 8 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SABRE CORPORATION COMMON STOCK / CUSIP: 78573M104 / Symbol: (cont'd)							
	40.000	229.99	04/23/20	234.90	4.91 W	0.00	6 of 8 - Sale ²⁵
	25.000	143.74	04/23/20	170.36	26.62 W	0.00	7 of 8 - Sale ²⁵
	75.000	431.23	04/23/20	433.49	2.26 W	0.00	8 of 8 - Sale ²⁵
04/24/20	200.000	1,149.95	Various	1,201.50	51.55 W	0.00	Total of 8 transactions
	6 transactions for 04/29/20. Total proceeds and cost reported to the IRS.						
	5.000	32.80	04/28/20	33.85	1.05 W	0.00	1 of 6 - Sale ²⁵
	10.000	65.60	04/28/20	67.71	2.11 W	0.00	2 of 6 - Sale ²⁵
	10.000	65.60	04/28/20	73.74	8.14 W	0.00	3 of 6 - Sale ²⁵
	15.000	98.39	04/28/20	99.21	0.82 W	0.00	4 of 6 - Sale ²⁵
	20.000	131.20	04/28/20	132.05	0.85 W	0.00	5 of 6 - Sale ²⁵
	40.000	262.39	04/28/20	264.11	1.72 W	0.00	6 of 6 - Sale ²⁵
04/29/20	100.000	655.98	Various	670.67	14.69 W	0.00	Total of 6 transactions
04/30/20	25.000	181.53	04/29/20	176.97	...	4.56	Sale ²⁵
04/30/20	25.000	181.53	04/29/20	202.84	21.31 W	0.00	Sale ²⁵
05/05/20	50.000	350.00	05/04/20	333.46	...	16.54	Sale ²⁵
	4 transactions for 05/19/20. Total proceeds and cost reported to the IRS.						
	5.000	32.16	05/18/20	34.24	2.08 W	0.00	1 of 4 - Sale ²⁵
	10.000	64.32	05/18/20	68.49	4.17 W	0.00	2 of 4 - Sale ²⁵
	15.000	96.47	05/18/20	100.23	3.76 W	0.00	3 of 4 - Sale ²⁵
	20.000	128.63	05/18/20	133.62	4.99 W	0.00	4 of 4 - Sale ²⁵
05/19/20	50.000	321.58	Various	336.58	15.00 W	0.00	Total of 4 transactions
	11 transactions for 05/27/20. Total proceeds and cost reported to the IRS.						
	5.000	42.66	05/19/20	34.62	...	8.04	1 of 11 - Sale ²⁵
	10.000	85.31	05/19/20	73.22	...	12.09	2 of 11 - Sale ²⁵
	10.000	85.31	05/19/20	69.25	...	16.06	3 of 11 - Sale ²⁵
	10.000	85.31	05/19/20	67.59	...	17.72	4 of 11 - Sale ²⁵
	25.000	213.28	05/19/20	184.02	...	29.26	5 of 11 - Sale ²⁵
	15.000	127.97	05/19/20	98.45	...	29.52	6 of 11 - Sale ²⁵
	25.000	213.28	05/19/20	163.78	...	49.50	7 of 11 - Sale ²⁵
	10.000	85.31	05/22/20	74.37	...	10.94	8 of 11 - Sale ²⁵
	15.000	127.97	05/22/20	111.59	...	16.38	9 of 11 - Sale ²⁵
	25.000	213.28	05/26/20	209.21	...	4.07	10 of 11 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SABRE CORPORATION COMMON STOCK / CUSIP: 78573M104 / Symbol: (cont'd)							
	25.000	213.29	05/26/20	197.71	...	15.58	11 of 11 - Sale ²⁵
05/27/20	175.000	1,492.97	Various	1,283.81	...	209.16	Total of 11 transactions
05/29/20	100.000	732.97	05/27/20	817.84	84.87 W	0.00	Sale ²⁵
06/02/20	50.000	374.08	06/01/20	417.44	43.36 W	0.00	Sale ²⁵
4 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	25.000	210.53	06/02/20	210.97	0.44 W	0.00	1 of 4 - Sale ²⁵
	25.000	210.53	06/03/20	229.64	19.11 W	0.00	2 of 4 - Sale ²⁵
	25.000	210.53	06/03/20	229.17	18.64 W	0.00	3 of 4 - Sale ²⁵
	2.000	16.84	06/03/20	18.55	1.71 W	0.00	4 of 4 - Sale ²⁵
06/04/20	77.000	648.43	Various	688.33	39.90 W	0.00	Total of 4 transactions
4 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	25.000	251.25	06/08/20	264.43	13.18 W	0.00	1 of 4 - Sale ²⁵
	2.000	20.06	06/08/20	22.65	2.59 W	0.00	2 of 4 - Sale ²⁵
	16.000	160.48	06/08/20	182.84	22.36 W	0.00	3 of 4 - Sale ²⁵
	7.000	70.00	06/08/20	79.99	9.99 W	0.00	4 of 4 - Sale ²⁵
06/09/20	50.000	501.79	Various	549.91	48.12 W	0.00	Total of 4 transactions
10 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	2.000	15.70	06/25/20	17.83	2.13 W	0.00	1 of 10 - Sale ²⁵
	25.000	196.28	06/25/20	203.68	7.40 W	0.00	2 of 10 - Sale ²⁵
	2.000	15.70	06/25/20	16.95	1.25 W	0.00	3 of 10 - Sale ²⁵
	7.000	54.96	06/25/20	63.33	8.37 W	0.00	4 of 10 - Sale ²⁵
	16.000	125.62	06/25/20	144.27	18.65 W	0.00	5 of 10 - Sale ²⁵
	23.000	180.58	06/25/20	192.84	12.26 W	0.00	6 of 10 - Sale ²⁵
	25.000	196.29	06/25/20	209.14	12.85 W	0.00	7 of 10 - Sale ²⁵
	2.000	15.70	06/25/20	17.85	2.15 W	0.00	8 of 10 - Sale ²⁵
	25.000	196.25	06/25/20	203.86	7.61 W	0.00	9 of 10 - Sale ²⁵
	23.000	180.55	06/25/20	193.00	12.45 W	0.00	10 of 10 - Sale ²⁵
06/25/20	150.000	1,177.63	Various	1,262.75	85.12 W	0.00	Total of 10 transactions
9 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	16.000	136.23	07/02/20	159.45	...	-23.22	1 of 9 - Sale ²⁵
	23.000	195.84	07/02/20	216.00	...	-20.16	2 of 9 - Sale ²⁵
	25.000	212.87	07/02/20	232.85	...	-19.98	3 of 9 - Sale ²⁵
	50.000	425.73	07/02/20	442.50	...	-16.77	4 of 9 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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SABRE CORPORATION COMMON STOCK / CUSIP: 78573M104 / Symbol: (cont'd)							
	7.000	59.60	07/02/20	69.97	...	-10.37	5 of 9 - Sale ²⁵
	15.000	127.72	07/02/20	137.32	...	-9.60	6 of 9 - Sale ²⁵
	10.000	85.15	07/02/20	91.04	...	-5.89	7 of 9 - Sale ²⁵
	2.000	17.03	07/02/20	19.85	...	-2.82	8 of 9 - Sale ²⁵
	2.000	17.03	07/02/20	18.85	...	-1.82	9 of 9 - Sale ²⁵
07/02/20	150.000	1,277.20	Various	1,387.83	...	-110.63	Total of 9 transactions
	Security total:	13,816.73		13,975.35	617.79 W	459.17	
SEA LIMITED AMERICAN DEPOSITARY SHARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 81141R100 / Symbol:							
02/20/20	10.000	516.98	02/19/20	508.00	...	8.98	Sale ²⁵
	2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.						
	2.000	239.00	07/02/20	239.96	...	-0.96	1 of 2 - Sale ²⁵
	1.000	119.50	07/02/20	119.98	...	-0.48	2 of 2 - Sale ²⁵
07/02/20	3.000	358.50	Various	359.94	...	-1.44	Total of 2 transactions
	Security total:	875.48		867.94	...	7.54	
SELECT ENERGY SERVICES, INC. / CUSIP: 81617J301 / Symbol:							
05/11/20	50.000	253.50	05/08/20	251.33	...	2.17	Sale ²⁵
SELECTA BIOSCIENCES, INC. COMMON STOCK / CUSIP: 816212104 / Symbol:							
	5 transactions for 04/01/20. Total proceeds and cost reported to the IRS.						
	16.000	36.00	03/31/20	39.68	3.68 W	0.00	1 of 5 - Sale ²⁵
	134.000	296.12	03/31/20	332.32	36.20 W	0.00	2 of 5 - Sale ²⁵
	16.000	35.36	03/31/20	50.72	15.36 W	0.00	3 of 5 - Sale ²⁵
	22.000	48.62	03/31/20	70.62	22.00 W	0.00	4 of 5 - Sale ²⁵
	62.000	137.01	03/31/20	200.89	63.88 W	0.00	5 of 5 - Sale ²⁵
04/01/20	250.000	553.11	Various	694.23	141.12 W	0.00	Total of 5 transactions
	8 transactions for 04/02/20. Total proceeds and cost reported to the IRS.						
	50.000	100.01	04/01/20	153.52	...	-53.51	1 of 8 - Sale ²⁵
	16.000	32.00	04/01/20	48.32	...	-16.32	2 of 8 - Sale ²⁵
	50.000	100.00	04/01/20	115.51	...	-15.51	3 of 8 - Sale ²⁵
	12.000	24.00	04/01/20	36.84	...	-12.84	4 of 8 - Sale ²⁵
	10.000	20.00	04/01/20	30.70	...	-10.70	5 of 8 - Sale ²⁵
	7.000	14.00	04/01/20	21.28	...	-7.28	6 of 8 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SELECTA BIOSCIENCES, INC. COMM ON STOCK / CUSIP: 816212104 / Symbol: (cont'd)							
	5.000	10.00	04/01/20	15.30	...	-5.30	7 of 8 - Sale ²⁵
	19.000	38.00	04/01/20	38.76	...	-0.76	8 of 8 - Sale ²⁵
04/02/20	169.000	338.01	Various	460.23	...	-122.22	Total of 8 transactions
	3 transactions for 04/02/20. Total proceeds and cost reported to the IRS.						
	16.000	32.32	04/01/20	48.00	15.68 W	0.00	1 of 3 - Sale ²⁵
	5.000	10.10	04/01/20	15.20	5.10 W	0.00	2 of 3 - Sale ²⁵
	10.000	20.10	04/01/20	30.40	10.30 W	0.00	3 of 3 - Sale ²⁵
04/02/20	31.000	62.52	Various	93.60	31.08 W	0.00	Total of 3 transactions
	3 transactions for 06/17/20. Total proceeds and cost reported to the IRS.						
	100.000	263.98	06/16/20	272.50	8.52 W	0.00	1 of 3 - Sale ²⁵
	100.000	263.98	06/16/20	272.50	8.52 W	0.00	2 of 3 - Sale ²⁵
	50.000	131.99	06/16/20	137.94	5.95 W	0.00	3 of 3 - Sale ²⁵
06/17/20	250.000	659.95	Various	682.94	22.99 W	0.00	Total of 3 transactions
	4 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	100.000	310.98	06/18/20	295.51	...	15.47	1 of 4 - Sale ²⁵
	50.000	155.49	06/19/20	155.39	...	0.10	2 of 4 - Sale ²⁵
	100.000	310.97	06/19/20	306.52	...	4.45	3 of 4 - Sale ²⁵
	50.000	155.49	06/19/20	149.43	...	6.06	4 of 4 - Sale ²⁵
06/22/20	300.000	932.93	Various	906.85	...	26.08	Total of 4 transactions
	Security total:	2,546.52		2,837.85	195.19 W	-96.14	
SELLAS LIFE SCIENCES GROUP, IN C. COMMON STOCK / CUSIP: 81642T209 / Symbol:							
	4 transactions for 04/02/20. Total proceeds and cost reported to the IRS.						
	100.000	159.99	04/01/20	164.65	...	-4.66	1 of 4 - Sale ²⁵
	86.000	137.59	04/01/20	141.60	...	-4.01	2 of 4 - Sale ²⁵
	10.000	15.50	04/01/20	16.46	...	-0.96	3 of 4 - Sale ²⁵
	4.000	6.40	04/01/20	6.59	...	-0.19	4 of 4 - Sale ²⁵
04/02/20	200.000	319.48	Various	329.30	...	-9.82	Total of 4 transactions
05/22/20	100.000	335.39	05/21/20	369.37	...	-33.98	Sale ²⁵
	3 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	250.000	897.45	06/25/20	900.00	...	-2.55	1 of 3 - Sale ²⁵
	150.000	538.47	06/25/20	540.00	...	-1.53	2 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SELLAS LIFE SCIENCES GROUP, IN C. COMMON STOCK / CUSIP: 81642T209 / Symbol: (cont'd)							
	100.000	358.98	06/25/20	360.00	...	-1.02	3 of 3 - Sale ²⁵
06/25/20	500.000	1,794.90	Various	1,800.00	...	-5.10	Total of 3 transactions
	Security total:	2,449.77		2,498.67	...	-48.90	
SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol:							
4 transactions for 02/14/20. Total proceeds and cost reported to the IRS.							
	25.000	25.25	02/12/20	26.25	1.00 W	0.00	1 of 4 - Sale ²⁵
	35.000	35.35	02/13/20	37.10	1.75 W	0.00	2 of 4 - Sale ²⁵
	10.000	10.10	02/13/20	10.60	0.50 W	0.00	3 of 4 - Sale ²⁵
	30.000	30.29	02/13/20	31.80	1.51 W	0.00	4 of 4 - Sale ²⁵
02/14/20	100.000	100.99	Various	105.75	4.76 W	0.00	Total of 4 transactions
5 transactions for 02/25/20. Total proceeds and cost reported to the IRS.							
	100.000	162.98	02/24/20	165.98	0.30 W	-2.70	1 of 5 - Sale ²⁵
	25.000	40.75	02/24/20	42.50	1.75 W	0.00	2 of 5 - Sale ²⁵
	10.000	16.30	02/24/20	17.10	0.80 W	0.00	3 of 5 - Sale ²⁵
	30.000	48.90	02/24/20	51.31	2.41 W	0.00	4 of 5 - Sale ²⁵
	35.000	57.05	02/24/20	59.85	2.80 W	0.00	5 of 5 - Sale ²⁵
02/25/20	200.000	325.98	Various	336.74	8.06 W	-2.70	Total of 5 transactions
5 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	25.000	18.75	03/25/20	24.56	5.81 W	0.00	1 of 5 - Sale ²⁵
	10.000	7.50	03/25/20	9.93	2.43 W	0.00	2 of 5 - Sale ²⁵
	30.000	22.49	03/25/20	29.78	7.29 W	0.00	3 of 5 - Sale ²⁵
	35.000	26.24	03/25/20	34.74	8.50 W	0.00	4 of 5 - Sale ²⁵
	10.000	7.30	03/25/20	10.09	2.79 W	0.00	5 of 5 - Sale ²⁵
03/26/20	110.000	82.28	Various	109.10	26.82 W	0.00	Total of 5 transactions
7 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	35.000	21.70	04/06/20	29.15	...	-7.45	1 of 7 - Sale ²⁵
	30.000	18.60	04/06/20	24.99	...	-6.39	2 of 7 - Sale ²⁵
	25.000	15.50	04/06/20	20.56	...	-5.06	3 of 7 - Sale ²⁵
	10.000	6.20	04/06/20	8.69	...	-2.49	4 of 7 - Sale ²⁵
	10.000	6.20	04/06/20	8.33	...	-2.13	5 of 7 - Sale ²⁵
	50.000	30.99	04/06/20	29.78	...	1.21	6 of 7 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol: (cont'd)							
	290.000	179.76	04/06/20	171.06	...	8.70	7 of 7 - Sale ²⁵
04/07/20	450.000	278.95	Various	292.56	...	-13.61	Total of 7 transactions
2 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	200.000	101.01	05/26/20	99.04	...	1.97	1 of 2 - Sale ²⁵
	200.000	101.02	05/26/20	98.08	...	2.94	2 of 2 - Sale ²⁵
05/27/20	400.000	202.03	Various	197.12	...	4.91	Total of 2 transactions
06/10/20	200.000	90.18	06/09/20	104.28	...	-14.10	Sale ²⁵
	Security total:	1,080.41		1,145.55	39.64 W	-25.50	
SERVICE PROPERTIES TRUST COMMO N STOCK / CUSIP: 81761L102 / Symbol:							
04/21/20	100.000	544.97	04/20/20	599.00	54.03 W	0.00	Sale ²⁵
05/08/20	50.000	323.51	05/07/20	341.25	17.74 W	0.00	Sale ²⁵
05/22/20	50.000	322.50	05/21/20	355.83	33.33 W	0.00	Sale ²⁵
05/29/20	50.000	339.51	05/28/20	373.24	33.73 W	0.00	Sale ²⁵
3 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	5.000	51.00	06/04/20	47.97	...	3.03	1 of 3 - Sale ²⁵
	25.000	254.98	06/04/20	237.91	...	17.07	2 of 3 - Sale ²⁵
	25.000	254.99	06/04/20	236.67	...	18.32	3 of 3 - Sale ²⁵
06/05/20	55.000	560.97	Various	522.55	...	38.42	Total of 3 transactions
3 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	20.000	248.00	06/08/20	263.29	15.29 W	0.00	1 of 3 - Sale ²⁵
	25.000	307.50	06/08/20	329.12	21.62 W	0.00	2 of 3 - Sale ²⁵
	5.000	61.50	06/08/20	62.45	0.95 W	0.00	3 of 3 - Sale ²⁵
06/09/20	50.000	617.00	Various	654.86	37.86 W	0.00	Total of 3 transactions
5 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	20.000	153.83	06/25/20	169.65	15.82 W	0.00	1 of 5 - Sale ²⁵
	25.000	192.29	06/25/20	214.57	22.28 W	0.00	2 of 5 - Sale ²⁵
	5.000	38.46	06/25/20	39.54	1.08 W	0.00	3 of 5 - Sale ²⁵
	50.000	384.57	06/25/20	388.00	3.43 W	0.00	4 of 5 - Sale ²⁵
	400.000	3,076.60	06/25/20	3,127.28	50.68 W	0.00	5 of 5 - Sale ²⁵
06/25/20	500.000	3,845.75	Various	3,939.04	93.29 W	0.00	Total of 5 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SERVICE PROPERTIES TRUST COMMO N STOCK / CUSIP: 81761L102 / Symbol: (cont'd)							
2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	400.000	2,895.92	06/29/20	2,950.64	...	-54.72	1 of 2 - Sale ²⁵
	500.000	3,619.90	06/29/20	3,624.95	...	-5.05	2 of 2 - Sale ²⁵
06/29/20	900.000	6,515.82	Various	6,575.59	...	-59.77	Total of 2 transactions
4 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	20.000	144.80	06/29/20	160.82	16.02 W	0.00	1 of 4 - Sale ²⁵
	25.000	181.00	06/29/20	203.53	22.53 W	0.00	2 of 4 - Sale ²⁵
	5.000	36.20	06/29/20	37.33	1.13 W	0.00	3 of 4 - Sale ²⁵
	50.000	361.99	06/29/20	365.92	3.93 W	0.00	4 of 4 - Sale ²⁵
06/29/20	100.000	723.99	Various	767.60	43.61 W	0.00	Total of 4 transactions
5 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	25.000	182.85	06/30/20	211.41	...	-28.56	1 of 5 - Sale ²⁵
	20.000	146.28	06/30/20	167.13	...	-20.85	2 of 5 - Sale ²⁵
	49.000	358.38	06/30/20	374.07	...	-15.69	3 of 5 - Sale ²⁵
	5.000	36.57	06/30/20	38.91	...	-2.34	4 of 5 - Sale ²⁵
	1.000	7.31	06/30/20	7.63	...	-0.32	5 of 5 - Sale ²⁵
06/30/20	100.000	731.39	Various	799.15	...	-67.76	Total of 5 transactions
	Security total:	14,525.41		14,928.11	313.59 W	-89.11	
SHOPIFY INC. CLASS A SUBORDINA TE VOTING SHARES / CUSIP: 82509L107 / Symbol:							
04/03/20	3.000	1,049.97	04/02/20	1,040.79	...	9.18	Sale ²⁵
05/07/20	2.000	1,458.52	05/06/20	1,429.43	...	29.09	Sale ²⁵
7 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	5.000	4,691.58	06/30/20	4,696.03	...	-4.45	1 of 7 - Sale ²⁵
	5.000	4,694.48	06/30/20	4,696.03	...	-1.55	2 of 7 - Sale ²⁵
	1.000	936.97	06/30/20	935.83	...	1.14	3 of 7 - Sale ²⁵
	4.000	3,758.24	06/30/20	3,756.83	...	1.41	4 of 7 - Sale ²⁵
	1.000	939.56	06/30/20	935.82	...	3.74	5 of 7 - Sale ²⁵
	2.000	1,876.59	06/30/20	1,871.65	...	4.94	6 of 7 - Sale ²⁵
	5.000	4,743.61	06/30/20	4,696.03	...	47.58	7 of 7 - Sale ²⁵
06/30/20	23.000	21,641.03	Various	21,588.22	...	52.81	Total of 7 transactions
	Security total:	24,149.52		24,058.44	...	91.08	

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(continued)

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SIENTRA, INC. COMMON STOCK / CUSIP: 82621J105 / Symbol:							
03/26/20	90.000	211.49	03/25/20	229.50	...	-18.01	Sale ²⁵
SIERRA METALS INC. COMMON STOC K / CUSIP: 82639W106 / Symbol:							
<i>5 transactions for 06/22/20. Total proceeds and cost reported to the IRS.</i>							
	200.000	444.26	06/22/20	535.80	...	-91.54	1 of 5 - Sale ²⁵
	100.000	222.13	06/22/20	267.90	...	-45.77	2 of 5 - Sale ²⁵
	100.000	222.13	06/22/20	245.00	...	-22.87	3 of 5 - Sale ²⁵
	50.000	111.07	06/22/20	122.50	...	-11.43	4 of 5 - Sale ²⁵
	50.000	111.07	06/22/20	122.49	...	-11.42	5 of 5 - Sale ²⁵
06/22/20	500.000	1,110.66	Various	1,293.69	...	-183.03	Total of 5 transactions
<i>4 transactions for 06/22/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	109.50	06/22/20	115.00	5.50 W	0.00	1 of 4 - Sale ²⁵
	50.000	109.50	06/22/20	115.00	5.50 W	0.00	2 of 4 - Sale ²⁵
	200.000	437.98	06/22/20	460.00	22.02 W	0.00	3 of 4 - Sale ²⁵
	200.000	437.98	06/22/20	460.00	22.02 W	0.00	4 of 4 - Sale ²⁵
06/22/20	500.000	1,094.96	Various	1,150.00	55.04 W	0.00	Total of 4 transactions
	Security total:	2,205.62		2,443.69	55.04 W	-183.03	
SILVERCORP METALS INC. COMMON SHARES / CUSIP: 82835P103 / Symbol:							
<i>2 transactions for 03/25/20. Total proceeds and cost reported to the IRS.</i>							
	58.000	231.41	03/24/20	237.22	...	-5.81	1 of 2 - Sale ²⁵
	17.000	67.32	03/24/20	69.53	...	-2.21	2 of 2 - Sale ²⁵
03/25/20	75.000	298.73	Various	306.75	...	-8.02	Total of 2 transactions
SIMON PROPERTY GROUP, INC. / CUSIP: 828806109 / Symbol:							
<i>2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	372.24	06/03/20	354.50	...	17.74	1 of 2 - Sale ²⁵
	10.000	744.48	06/03/20	688.74	...	55.74	2 of 2 - Sale ²⁵
06/04/20	15.000	1,116.72	Various	1,043.24	...	73.48	Total of 2 transactions
06/24/20	1.000	66.12	06/24/20	69.30	...	-3.18	Sale ²⁵
06/24/20	19.000	1,266.76	06/24/20	1,316.70	39.43 W	-10.51	Sale ²⁵

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(continued)

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SIMON PROPERTY GROUP, INC. / CUSIP: 828806109 / Symbol: (cont'd)							
3 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	7.000	494.96	06/30/20	521.00	...	-26.04	1 of 3 - Sale ²⁵
	4.000	282.83	06/30/20	297.72	...	-14.89	2 of 3 - Sale ²⁵
	4.000	282.83	06/30/20	297.71	...	-14.88	3 of 3 - Sale ²⁵
06/30/20	15.000	1,060.62	Various	1,116.43	...	-55.81	Total of 3 transactions
	Security total:	3,510.22		3,545.67	39.43 W	3.98	
SINTX TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 829392307 / Symbol:							
06/24/20	250.000	507.48	06/24/20	577.35	...	-69.87	Sale ²⁵
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
2 transactions for 03/23/20. Total proceeds and cost reported to the IRS.							
	2.000	44.98	03/19/20	37.10	...	7.88	1 of 2 - Sale ²⁵
	5.000	112.45	03/19/20	92.50	...	19.95	2 of 2 - Sale ²⁵
03/23/20	7.000	157.43	Various	129.60	...	27.83	Total of 2 transactions
2 transactions for 03/23/20. Total proceeds and cost reported to the IRS.							
	3.000	67.47	03/20/20	68.61	1.14 W	0.00	1 of 2 - Sale ²⁵
	10.000	224.90	03/20/20	228.68	3.78 W	0.00	2 of 2 - Sale ²⁵
03/23/20	13.000	292.37	Various	297.29	4.92 W	0.00	Total of 2 transactions
2 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	3.000	83.10	03/26/20	89.58	6.48 W	0.00	1 of 2 - Sale ²⁵
	9.000	249.30	03/26/20	268.72	19.42 W	0.00	2 of 2 - Sale ²⁵
03/27/20	12.000	332.40	Various	358.30	25.90 W	0.00	Total of 2 transactions
4 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	9.000	213.30	04/07/20	233.28	...	-19.98	1 of 4 - Sale ²⁵
	3.000	71.10	04/07/20	77.77	...	-6.67	2 of 4 - Sale ²⁵
	1.000	23.70	04/07/20	24.14	...	-0.44	3 of 4 - Sale ²⁵
	7.000	165.90	04/07/20	166.34	...	-0.44	4 of 4 - Sale ²⁵
04/08/20	20.000	474.00	Various	501.53	...	-27.53	Total of 4 transactions
06/17/20	25.000	812.98	06/16/20	803.25	...	9.73	Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol: (cont'd)							
2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	42.000	1,316.33	06/25/20	1,355.34	39.01 W	0.00	1 of 2 - Sale ²⁵
	8.000	250.73	06/25/20	258.16	7.43 W	0.00	2 of 2 - Sale ²⁵
06/25/20	50.000	1,567.06	Various	1,613.50	46.44 W	0.00	Total of 2 transactions
3 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	25.000	770.48	06/29/20	802.72	...	-32.24	1 of 3 - Sale ²⁵
	17.000	523.93	06/29/20	545.85	...	-21.92	2 of 3 - Sale ²⁵
	8.000	246.55	06/29/20	256.87	...	-10.32	3 of 3 - Sale ²⁵
06/29/20	50.000	1,540.96	Various	1,605.44	...	-64.48	Total of 3 transactions
	Security total:	5,177.20		5,308.91	77.26 W	-54.45	
SMITH & WESSON BRANDS, INC. CO MMON STOCK / CUSIP: 831754106 / Symbol:							
06/08/20	25.000	375.03	06/05/20	364.58	...	10.45	Sale ²⁵
4 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	25.000	438.57	06/11/20	428.25	...	10.32	1 of 4 - Sale ²⁵
	25.000	438.56	06/11/20	427.71	...	10.85	2 of 4 - Sale ²⁵
	25.000	438.57	06/11/20	426.71	...	11.86	3 of 4 - Sale ²⁵
	50.000	877.14	06/11/20	828.83	...	48.31	4 of 4 - Sale ²⁵
06/12/20	125.000	2,192.84	Various	2,111.50	...	81.34	Total of 4 transactions
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	15.000	273.90	06/18/20	288.52	14.62 W	0.00	1 of 2 - Sale ²⁵
	10.000	182.60	06/18/20	191.85	9.25 W	0.00	2 of 2 - Sale ²⁵
06/19/20	25.000	456.50	Various	480.37	23.87 W	0.00	Total of 2 transactions
3 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	10.000	180.83	06/25/20	190.85	10.02 W	0.00	1 of 3 - Sale ²⁵
	15.000	271.24	06/25/20	287.02	15.78 W	0.00	2 of 3 - Sale ²⁵
	25.000	452.06	06/25/20	454.00	1.94 W	0.00	3 of 3 - Sale ²⁵
06/25/20	50.000	904.13	Various	931.87	27.74 W	0.00	Total of 3 transactions
5 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	15.000	285.32	06/26/20	301.67	...	-16.35	1 of 5 - Sale ²⁵
	400.000	7,608.06	06/26/20	7,623.64	...	-15.58	2 of 5 - Sale ²⁵
	10.000	190.21	06/26/20	200.61	...	-10.40	3 of 5 - Sale ²⁵
	25.000	475.53	06/26/20	478.42	...	-2.89	4 of 5 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SMITH & WESSON BRANDS, INC. CO MMON STOCK / CUSIP: 831754106 / Symbol: (cont'd)							
	50.000	951.05	06/26/20	952.95	...	-1.90	5 of 5 - Sale ²⁵
06/26/20	500.000	9,510.17	Various	9,557.29	...	-47.12	Total of 5 transactions
	Security total:	13,438.67		13,445.61	51.61 W	44.67	
SMILEDIRECTCLUB, INC. CLASS A COMMON STOCK / CUSIP: 83192H106 / Symbol:							
02/27/20	40.000	297.20	02/26/20	339.59	42.39 W	0.00	Sale ²⁵
	2 transactions for 03/16/20. Total proceeds and cost reported to the IRS.						
	40.000	192.00	03/13/20	279.82	...	-87.82	1 of 2 - Sale ²⁵
	10.000	48.00	03/13/20	59.36	...	-11.36	2 of 2 - Sale ²⁵
03/16/20	50.000	240.00	Various	339.18	...	-99.18	Total of 2 transactions
04/20/20	100.000	437.99	04/17/20	468.00	...	-30.01	Sale ²⁵
05/28/20	25.000	193.17	05/27/20	200.50	7.33 W	0.00	Sale ²⁵
	4 transactions for 06/17/20. Total proceeds and cost reported to the IRS.						
	25.000	200.24	06/15/20	188.01	...	12.23	1 of 4 - Sale ²⁵
	25.000	200.24	06/15/20	180.68	...	19.56	2 of 4 - Sale ²⁵
	34.000	271.32	06/16/20	277.10	...	-5.78	3 of 4 - Sale ²⁵
	16.000	128.15	06/16/20	130.40	...	-2.25	4 of 4 - Sale ²⁵
06/17/20	100.000	799.95	Various	776.19	...	23.76	Total of 4 transactions
	Security total:	1,968.31		2,123.46	49.72 W	-105.43	
SNAP INC. / CUSIP: 83304A106 / Symbol:							
03/13/20	40.000	440.00	03/12/20	421.60	...	18.40	Sale ²⁵
	3 transactions for 06/01/20. Total proceeds and cost reported to the IRS.						
	15.000	284.57	05/29/20	282.60	...	1.97	1 of 3 - Sale ²⁵
	15.000	284.57	05/29/20	280.02	...	4.55	2 of 3 - Sale ²⁵
	20.000	379.43	05/29/20	366.80	...	12.63	3 of 3 - Sale ²⁵
06/01/20	50.000	948.57	Various	929.42	...	19.15	Total of 3 transactions
	2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	49.000	1,180.87	06/26/20	1,212.25	...	-31.38	1 of 2 - Sale ²⁵
	1.000	24.10	06/26/20	24.74	...	-0.64	2 of 2 - Sale ²⁵
06/26/20	50.000	1,204.97	Various	1,236.99	...	-32.02	Total of 2 transactions
	Security total:	2,593.54		2,588.01	...	5.53	

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SOLAREEDGE TECHNOLOGIES, INC. C OMMON STOCK / CUSIP: 83417M104 / Symbol:							
06/17/20	10.000	1,524.96	06/16/20	1,552.48	...	-27.52	Sale ²⁵
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol:							
05/20/20	70.000	351.39	05/19/20	403.90	52.51 W	0.00	Sale ²⁵
	3 transactions for 05/22/20. Total proceeds and cost reported to the IRS.						
	2.000	10.12	05/21/20	12.16	2.04 W	0.00	1 of 3 - Sale ²⁵
	68.000	344.07	05/21/20	413.36	69.29 W	0.00	2 of 3 - Sale ²⁵
	5.000	25.30	05/21/20	26.64	1.34 W	0.00	3 of 3 - Sale ²⁵
05/22/20	75.000	379.49	Various	452.16	72.67 W	0.00	Total of 3 transactions
06/09/20	25.000	125.99	06/08/20	122.50	...	3.49	Sale ²⁵
	3 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	2.000	10.08	06/08/20	11.84	1.76 W	0.00	1 of 3 - Sale ²⁵
	68.000	342.70	06/08/20	402.48	59.78 W	0.00	2 of 3 - Sale ²⁵
	5.000	25.20	06/08/20	25.84	0.64 W	0.00	3 of 3 - Sale ²⁵
06/09/20	75.000	377.98	Various	440.16	62.18 W	0.00	Total of 3 transactions
	5 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	2.000	10.67	06/24/20	12.64	1.97 W	0.00	1 of 5 - Sale ²⁵
	68.000	362.76	06/24/20	429.61	66.85 W	0.00	2 of 5 - Sale ²⁵
	5.000	26.67	06/24/20	27.83	1.16 W	0.00	3 of 5 - Sale ²⁵
	125.000	666.85	06/24/20	679.84	12.99 W	0.00	4 of 5 - Sale ²⁵
	50.000	266.74	06/24/20	272.50	5.76 W	0.00	5 of 5 - Sale ²⁵
06/24/20	250.000	1,333.69	Various	1,422.42	88.73 W	0.00	Total of 5 transactions
	7 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	5.000	31.05	06/24/20	28.60	...	2.45	1 of 7 - Sale ²⁵
	25.000	155.24	06/24/20	139.82	...	15.42	2 of 7 - Sale ²⁵
	50.000	310.49	06/24/20	289.50	...	20.99	3 of 7 - Sale ²⁵
	50.000	310.49	06/24/20	287.25	...	23.24	4 of 7 - Sale ²⁵
	100.000	620.97	06/24/20	579.00	...	41.97	5 of 7 - Sale ²⁵
	100.000	620.98	06/24/20	579.00	...	41.98	6 of 7 - Sale ²⁵
	100.000	620.97	06/24/20	573.37	...	47.60	7 of 7 - Sale ²⁵
06/25/20	430.000	2,670.19	Various	2,476.54	...	193.65	Total of 7 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
8 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	2.000	12.42	06/24/20	12.95	0.53 W	0.00	1 of 8 - Sale ²⁵
	68.000	422.26	06/24/20	440.08	17.82 W	0.00	2 of 8 - Sale ²⁵
	2.000	12.65	06/25/20	14.61	1.96 W	0.00	3 of 8 - Sale ²⁵
	68.000	430.08	06/25/20	496.54	66.46 W	0.00	4 of 8 - Sale ²⁵
	80.000	505.97	06/25/20	563.19	57.22 W	0.00	5 of 8 - Sale ²⁵
	2.000	12.67	06/25/20	14.94	2.27 W	0.00	6 of 8 - Sale ²⁵
	68.000	430.76	06/25/20	507.69	76.93 W	0.00	7 of 8 - Sale ²⁵
	30.000	190.04	06/25/20	216.12	26.08 W	0.00	8 of 8 - Sale ²⁵
06/25/20	320.000	2,016.85	Various	2,266.12	249.27 W	0.00	Total of 8 transactions
8 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	1.000	6.05	06/30/20	6.78	0.73 W	0.00	1 of 8 - Sale ²⁵
	5.000	30.25	06/30/20	33.88	3.63 W	0.00	2 of 8 - Sale ²⁵
	2.000	12.10	06/30/20	14.45	2.35 W	0.00	3 of 8 - Sale ²⁵
	48.000	290.39	06/30/20	346.62	56.23 W	0.00	4 of 8 - Sale ²⁵
	44.000	266.19	06/30/20	299.42	33.23 W	0.00	5 of 8 - Sale ²⁵
	20.000	120.99	06/30/20	144.83	23.84 W	0.00	6 of 8 - Sale ²⁵
	30.000	181.49	06/30/20	209.38	27.89 W	0.00	7 of 8 - Sale ²⁵
	350.000	2,117.41	06/30/20	2,138.50	21.09 W	0.00	8 of 8 - Sale ²⁵
06/30/20	500.000	3,024.87	Various	3,193.86	168.99 W	0.00	Total of 8 transactions
12 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	48.000	313.19	07/02/20	396.92	...	-83.73	1 of 12 - Sale ²⁵
	100.000	666.10	07/02/20	711.59	...	-45.49	2 of 12 - Sale ²⁵
	30.000	195.75	07/02/20	235.59	...	-39.84	3 of 12 - Sale ²⁵
	20.000	130.49	07/02/20	165.80	...	-35.31	4 of 12 - Sale ²⁵
	25.000	166.52	07/02/20	200.68	...	-34.16	5 of 12 - Sale ²⁵
	64.000	426.30	07/02/20	458.73	...	-32.43	6 of 12 - Sale ²⁵
	14.000	91.35	07/02/20	109.93	...	-18.58	7 of 12 - Sale ²⁵
	36.000	239.80	07/02/20	257.69	...	-17.89	8 of 12 - Sale ²⁵
	5.000	32.63	07/02/20	40.14	...	-7.51	9 of 12 - Sale ²⁵
	5.000	32.62	07/02/20	39.12	...	-6.50	10 of 12 - Sale ²⁵
	2.000	13.05	07/02/20	16.54	...	-3.49	11 of 12 - Sale ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol: (cont'd)							
07/02/20	1.000	6.52	07/02/20	7.83	...	-1.31	12 of 12 - Sale ²⁵
	350.000	2,314.32	Various	2,640.56	...	-326.24	Total of 12 transactions
	11 transactions for 07/02/20. Total proceeds and cost reported to the IRS.						
	250.000	1,651.01	07/02/20	1,762.52	44.60 W	-66.91	1 of 11 - Sale ²⁵
	2.000	13.12	07/02/20	16.31	3.19 W	0.00	2 of 11 - Sale ²⁵
	48.000	314.87	07/02/20	391.27	76.40 W	0.00	3 of 11 - Sale ²⁵
	1.000	6.56	07/02/20	7.71	1.15 W	0.00	4 of 11 - Sale ²⁵
	5.000	32.80	07/02/20	38.53	5.73 W	0.00	5 of 11 - Sale ²⁵
	30.000	196.79	07/02/20	232.06	35.27 W	0.00	6 of 11 - Sale ²⁵
	20.000	131.19	07/02/20	163.44	32.25 W	0.00	7 of 11 - Sale ²⁵
	14.000	91.84	07/02/20	108.29	16.45 W	0.00	8 of 11 - Sale ²⁵
	30.000	196.79	07/02/20	237.29	40.50 W	0.00	9 of 11 - Sale ²⁵
	36.000	236.15	07/02/20	253.45	17.30 W	0.00	10 of 11 - Sale ²⁵
	64.000	419.82	07/02/20	451.20	31.38 W	0.00	11 of 11 - Sale ²⁵
07/02/20	500.000	3,290.94	Various	3,662.07	304.22 W	-66.91	Total of 11 transactions
	Security total:	15,885.71		17,080.29	998.57 W	-196.01	
SOUTHWEST AIRLINES CO. / CUSIP: 844741108 / Symbol:							
05/27/20	15.000	503.23	05/19/20	417.00	...	86.23	Sale ²⁵
	3 transactions for 05/28/20. Total proceeds and cost reported to the IRS.						
	2.000	66.52	05/27/20	64.84	...	1.68	1 of 3 - Sale ²⁵
	5.000	166.29	05/27/20	162.10	...	4.19	2 of 3 - Sale ²⁵
	13.000	432.37	05/27/20	421.33	...	11.04	3 of 3 - Sale ²⁵
05/28/20	20.000	665.18	Various	648.27	...	16.91	Total of 3 transactions
06/16/20	10.000	380.00	06/15/20	342.60	...	37.40	Sale ²⁵
06/18/20	25.000	887.48	06/17/20	900.46	12.98 W	0.00	Sale ²⁵
	2 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	25.000	854.50	06/29/20	872.98	...	-18.48	1 of 2 - Sale ²⁵
	25.000	854.49	06/29/20	860.00	...	-5.51	2 of 2 - Sale ²⁵
06/29/20	50.000	1,708.99	Various	1,732.98	...	-23.99	Total of 2 transactions
	Security total:	4,144.88		4,041.31	12.98 W	116.55	

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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SPHERIX INCORPORATED COMMON ST OCK / CUSIP: 84842R502 / Symbol:							
2 transactions for 03/09/20. Total proceeds and cost reported to the IRS.							
	200.000	739.96	03/06/20	261.98	...	477.98	1 of 2 - Sale ²⁵
03/09/20	300.000	1,109.93	03/06/20	392.55	...	717.38	2 of 2 - Sale ²⁵
	500.000	1,849.89	Various	654.53	...	1,195.36	Total of 2 transactions
3 transactions for 03/10/20. Total proceeds and cost reported to the IRS.							
	133.000	313.86	03/09/20	412.30	98.44 W	0.00	1 of 3 - Sale ²⁵
	67.000	157.44	03/09/20	207.70	50.26 W	0.00	2 of 3 - Sale ²⁵
03/10/20	100.000	234.99	03/09/20	398.02	163.03 W	0.00	3 of 3 - Sale ²⁵
	300.000	706.29	Various	1,018.02	311.73 W	0.00	Total of 3 transactions
7 transactions for 03/11/20. Total proceeds and cost reported to the IRS.							
	100.000	176.98	03/10/20	345.03	...	-168.05	1 of 7 - Sale ²⁵
	67.000	118.58	03/10/20	172.20	...	-53.62	2 of 7 - Sale ²⁵
	45.000	79.64	03/10/20	110.25	...	-30.61	3 of 7 - Sale ²⁵
	33.000	58.40	03/10/20	84.48	...	-26.08	4 of 7 - Sale ²⁵
	35.000	61.94	03/10/20	86.45	...	-24.51	5 of 7 - Sale ²⁵
	10.000	17.70	03/10/20	24.70	...	-7.00	6 of 7 - Sale ²⁵
	10.000	17.70	03/10/20	24.70	...	-7.00	7 of 7 - Sale ²⁵
03/11/20	300.000	530.94	Various	847.81	...	-316.87	Total of 7 transactions
	Security total:	3,087.12		2,520.36	311.73 W	878.49	
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol:							
04/17/20	25.000	331.25	04/16/20	315.22	...	16.03	Sale ²⁵
2 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	18.000	263.34	04/29/20	289.80	26.46 W	0.00	1 of 2 - Sale ²⁵
	2.000	29.26	04/29/20	32.20	2.94 W	0.00	2 of 2 - Sale ²⁵
04/30/20	20.000	292.60	Various	322.00	29.40 W	0.00	Total of 2 transactions
05/19/20	5.000	50.75	05/18/20	48.66	...	2.09	Sale ²⁵
2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	2.000	20.30	05/18/20	22.40	2.10 W	0.00	1 of 2 - Sale ²⁵
	18.000	182.70	05/18/20	201.65	18.95 W	0.00	2 of 2 - Sale ²⁵
05/19/20	20.000	203.00	Various	224.05	21.05 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol: (cont'd)							
<i>4 transactions for 05/27/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	28.02	05/22/20	22.66	...	5.36	1 of 4 - Sale ²⁵
	18.000	252.17	05/22/20	203.99	...	48.18	2 of 4 - Sale ²⁵
	30.000	420.28	05/22/20	308.40	...	111.88	3 of 4 - Sale ²⁵
	25.000	350.24	05/26/20	302.97	...	47.27	4 of 4 - Sale ²⁵
05/27/20	75.000	1,050.71	Various	838.02	...	212.69	Total of 4 transactions
05/28/20	100.000	1,324.96	05/27/20	1,303.87	...	21.09	Sale ²⁵
<i>2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.</i>							
	40.000	492.00	05/28/20	535.55	43.55 W	0.00	1 of 2 - Sale ²⁵
	10.000	123.00	05/28/20	133.89	10.89 W	0.00	2 of 2 - Sale ²⁵
05/29/20	50.000	615.00	Various	669.44	54.44 W	0.00	Total of 2 transactions
<i>2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	145.12	06/01/20	150.79	5.67 W	0.00	1 of 2 - Sale ²⁵
	40.000	580.49	06/01/20	603.15	22.66 W	0.00	2 of 2 - Sale ²⁵
06/02/20	50.000	725.61	Various	753.94	28.33 W	0.00	Total of 2 transactions
<i>6 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	50.00	06/03/20	31.75	...	18.25	1 of 6 - Sale ²⁵
	2.000	50.00	06/03/20	31.03	...	18.97	2 of 6 - Sale ²⁵
	6.000	150.00	06/03/20	93.11	...	56.89	3 of 6 - Sale ²⁵
	10.000	249.99	06/03/20	155.17	...	94.82	4 of 6 - Sale ²⁵
	14.000	349.99	06/03/20	217.23	...	132.76	5 of 6 - Sale ²⁵
	16.000	399.99	06/03/20	248.26	...	151.73	6 of 6 - Sale ²⁵
06/05/20	50.000	1,249.97	Various	776.55	...	473.42	Total of 6 transactions
06/08/20	10.000	236.23	06/05/20	249.00	12.77 W	0.00	Sale ²⁵
<i>6 transactions for 06/09/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	232.79	06/08/20	263.45	30.66 W	0.00	1 of 6 - Sale ²⁵
	15.000	349.19	06/08/20	376.03	26.84 W	0.00	2 of 6 - Sale ²⁵
	25.000	581.99	06/08/20	628.00	46.01 W	0.00	3 of 6 - Sale ²⁵
	25.000	581.99	06/08/20	631.75	49.76 W	0.00	4 of 6 - Sale ²⁵
	3.000	69.84	06/08/20	76.46	6.62 W	0.00	5 of 6 - Sale ²⁵
	2.000	46.56	06/08/20	57.11	10.55 W	0.00	6 of 6 - Sale ²⁵
06/09/20	80.000	1,862.36	Various	2,032.80	170.44 W	0.00	Total of 6 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol: (cont'd)							
2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	8.000	137.28	06/12/20	173.77	36.49 W	0.00	1 of 2 - Sale ²⁵
	2.000	34.32	06/12/20	40.89	6.57 W	0.00	2 of 2 - Sale ²⁵
06/15/20	10.000	171.60	Various	214.66	43.06 W	0.00	Total of 2 transactions
4 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	12.000	264.00	06/15/20	241.17	...	22.83	1 of 4 - Sale ²⁵
	13.000	285.99	06/15/20	259.33	...	26.66	2 of 4 - Sale ²⁵
	12.000	263.99	06/15/20	227.88	...	36.11	3 of 4 - Sale ²⁵
	13.000	285.99	06/15/20	246.21	...	39.78	4 of 4 - Sale ²⁵
06/16/20	50.000	1,099.97	Various	974.59	...	125.38	Total of 4 transactions
5 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	7.000	140.70	06/16/20	179.87	39.17 W	0.00	1 of 5 - Sale ²⁵
	2.000	40.20	06/16/20	52.82	12.62 W	0.00	2 of 5 - Sale ²⁵
	1.000	20.10	06/16/20	23.13	3.03 W	0.00	3 of 5 - Sale ²⁵
	3.000	60.30	06/16/20	70.03	9.73 W	0.00	4 of 5 - Sale ²⁵
	12.000	241.20	06/16/20	277.51	36.31 W	0.00	5 of 5 - Sale ²⁵
06/17/20	25.000	502.50	Various	603.36	100.86 W	0.00	Total of 5 transactions
6 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	7.000	138.64	06/18/20	177.14	38.50 W	0.00	1 of 6 - Sale ²⁵
	1.000	19.80	06/18/20	24.27	4.47 W	0.00	2 of 6 - Sale ²⁵
	2.000	39.61	06/18/20	52.04	12.43 W	0.00	3 of 6 - Sale ²⁵
	2.000	39.61	06/18/20	45.99	6.38 W	0.00	4 of 6 - Sale ²⁵
	1.000	19.81	06/18/20	22.74	2.93 W	0.00	5 of 6 - Sale ²⁵
	12.000	237.65	06/18/20	272.83	35.18 W	0.00	6 of 6 - Sale ²⁵
06/19/20	25.000	495.12	Various	595.01	99.89 W	0.00	Total of 6 transactions
9 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	7.000	119.43	06/24/20	158.95	39.52 W	0.00	1 of 9 - Sale ²⁵
	1.000	17.06	06/24/20	21.68	4.62 W	0.00	2 of 9 - Sale ²⁵
	2.000	34.12	06/24/20	46.85	12.73 W	0.00	3 of 9 - Sale ²⁵
	2.000	34.12	06/24/20	40.79	6.67 W	0.00	4 of 9 - Sale ²⁵
	1.000	17.06	06/24/20	20.14	3.08 W	0.00	5 of 9 - Sale ²⁵
	12.000	204.75	06/24/20	241.67	36.92 W	0.00	6 of 9 - Sale ²⁵
	3.000	51.19	06/24/20	61.35	10.16 W	0.00	7 of 9 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol: (cont'd)							
	71.000	1,211.41	06/24/20	1,221.73	10.32 W	0.00	8 of 9 - Sale ²⁵
	1.000	16.98	06/24/20	17.21	0.23 W	0.00	9 of 9 - Sale ²⁵
06/24/20	100.000	1,706.12	Various	1,830.37	124.25 W	0.00	Total of 9 transactions
	15 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	7.000	109.41	06/24/20	158.52	49.11 W	0.00	1 of 15 - Sale ²⁵
	1.000	15.63	06/24/20	21.62	5.99 W	0.00	2 of 15 - Sale ²⁵
	2.000	31.26	06/24/20	46.73	15.47 W	0.00	3 of 15 - Sale ²⁵
	2.000	31.26	06/24/20	40.67	9.41 W	0.00	4 of 15 - Sale ²⁵
	1.000	15.63	06/24/20	20.08	4.45 W	0.00	5 of 15 - Sale ²⁵
	12.000	187.55	06/24/20	240.92	53.37 W	0.00	6 of 15 - Sale ²⁵
	3.000	46.89	06/24/20	61.16	14.27 W	0.00	7 of 15 - Sale ²⁵
	11.000	171.92	06/24/20	188.60	16.68 W	0.00	8 of 15 - Sale ²⁵
	15.000	234.44	06/24/20	257.18	22.74 W	0.00	9 of 15 - Sale ²⁵
	1.000	15.63	06/24/20	17.15	1.52 W	0.00	10 of 15 - Sale ²⁵
	10.000	156.30	06/24/20	171.45	15.15 W	0.00	11 of 15 - Sale ²⁵
	5.000	78.15	06/24/20	85.73	7.58 W	0.00	12 of 15 - Sale ²⁵
	10.000	156.29	06/24/20	171.45	15.16 W	0.00	13 of 15 - Sale ²⁵
	1.000	15.63	06/24/20	17.22	1.59 W	0.00	14 of 15 - Sale ²⁵
	19.000	296.96	06/24/20	325.57	28.61 W	0.00	15 of 15 - Sale ²⁵
06/25/20	100.000	1,562.95	Various	1,824.05	261.10 W	0.00	Total of 15 transactions
	4 transactions for 07/02/20. Total proceeds and cost reported to the IRS.						
	11.000	205.15	07/02/20	222.38	...	-17.23	1 of 4 - Sale ²⁵
	10.000	186.49	07/02/20	202.16	...	-15.67	2 of 4 - Sale ²⁵
	3.000	55.95	07/02/20	70.37	...	-14.42	3 of 4 - Sale ²⁵
	1.000	18.65	07/02/20	20.22	...	-1.57	4 of 4 - Sale ²⁵
07/02/20	25.000	466.24	Various	515.13	...	-48.89	Total of 4 transactions
	7 transactions for 07/02/20. Total proceeds and cost reported to the IRS.						
	7.000	130.55	07/02/20	180.01	49.46 W	0.00	1 of 7 - Sale ²⁵
	1.000	18.65	07/02/20	24.69	6.04 W	0.00	2 of 7 - Sale ²⁵
	2.000	37.30	07/02/20	52.87	15.57 W	0.00	3 of 7 - Sale ²⁵
	1.000	18.65	07/02/20	23.41	4.76 W	0.00	4 of 7 - Sale ²⁵
	1.000	18.65	07/02/20	23.40	4.75 W	0.00	5 of 7 - Sale ²⁵
	1.000	18.65	07/02/20	23.15	4.50 W	0.00	6 of 7 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol: (cont'd)							
	12.000	223.79	07/02/20	277.77		0.00	7 of 7 - Sale ²⁵
07/02/20	25.000	466.24	Various	605.30	53.98 W 139.06 W	0.00	Total of 7 transactions
	16 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	12.000	213.90	07/06/20	267.76	...	-53.86	1 of 16 - Sale ²⁵
	7.000	124.77	07/06/20	175.32	...	-50.55	2 of 16 - Sale ²⁵
	19.000	338.67	07/06/20	370.22	...	-31.55	3 of 16 - Sale ²⁵
	2.000	35.65	07/06/20	51.53	...	-15.88	4 of 16 - Sale ²⁵
	10.000	178.25	07/06/20	193.15	...	-14.90	5 of 16 - Sale ²⁵
	5.000	89.12	07/06/20	97.33	...	-8.21	6 of 16 - Sale ²⁵
	5.000	89.12	07/06/20	96.58	...	-7.46	7 of 16 - Sale ²⁵
	1.000	17.82	07/06/20	24.02	...	-6.20	8 of 16 - Sale ²⁵
	4.000	71.30	07/06/20	77.26	...	-5.96	9 of 16 - Sale ²⁵
	4.000	71.30	07/06/20	77.26	...	-5.96	10 of 16 - Sale ²⁵
	1.000	17.83	07/06/20	22.58	...	-4.75	11 of 16 - Sale ²⁵
	1.000	17.82	07/06/20	22.57	...	-4.75	12 of 16 - Sale ²⁵
	1.000	17.83	07/06/20	22.31	...	-4.48	13 of 16 - Sale ²⁵
	1.000	17.82	07/06/20	19.57	...	-1.75	14 of 16 - Sale ²⁵
	1.000	17.82	07/06/20	19.32	...	-1.50	15 of 16 - Sale ²⁵
	1.000	17.82	07/06/20	19.32	...	-1.50	16 of 16 - Sale ²⁵
07/06/20	75.000	1,336.84	Various	1,556.10	...	-219.26	Total of 16 transactions
	Security total:	15,750.02		16,252.12	1,084.65 W	582.55	
SPLUNK INC. COMMON STOCK / CUSIP: 848637104 / Symbol:							
	2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	9.000	1,772.15	06/26/20	1,790.14	...	-17.99	1 of 2 - Sale ²⁵
06/26/20	1.000	196.90	06/26/20	198.91	...	-2.01	2 of 2 - Sale ²⁵
	10.000	1,969.05	Various	1,989.05	...	-20.00	Total of 2 transactions
	2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	9.000	1,773.86	06/26/20	1,782.00	8.14 W	0.00	1 of 2 - Sale ²⁵
	1.000	197.09	06/26/20	198.00	0.91 W	0.00	2 of 2 - Sale ²⁵
06/26/20	10.000	1,970.95	Various	1,980.00	9.05 W	0.00	Total of 2 transactions
	Security total:	3,940.00		3,969.05	9.05 W	-20.00	

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Account 412265225

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SPORTSMAN'S WAREHOUSE HOLDINGS , INC. COMMON STOCK / CUSIP: 84920Y106 / Symbol:							
06/01/20	50.000	582.98	05/29/20	557.30	...	25.68	Sale ²⁵
	<i>2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.</i>						
	25.000	343.07	06/22/20	339.50	...	3.57	1 of 2 - Sale ²⁵
	50.000	686.15	06/22/20	652.69	...	33.46	2 of 2 - Sale ²⁵
06/23/20	75.000	1,029.22	Various	992.19	...	37.03	Total of 2 transactions
06/30/20	50.000	722.55	06/30/20	728.98	...	-6.43	Sale ²⁵
	Security total:	2,334.75		2,278.47	...	56.28	
SPRINT CORPORATION / CUSIP: 85207U105 / Symbol:							
01/28/20	1.000	4.65	01/24/20	4.85	0.20 W	0.00	Sale ²⁵
02/20/20	14.000	130.79	02/18/20	128.10	...	2.69	Sale ²⁵
02/20/20	1.000	9.34	02/18/20	9.35	0.01 W	0.00	Sale ²⁵
	<i>2 transactions for 02/21/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	9.87	02/20/20	9.43	...	0.44	1 of 2 - Sale ²⁵
	9.000	88.85	02/20/20	84.75	...	4.10	2 of 2 - Sale ²⁵
02/21/20	10.000	98.72	Various	94.18	...	4.54	Total of 2 transactions
	Security total:	243.50		236.48	0.21 W	7.23	
SQUARE, INC. / CUSIP: 852234103 / Symbol:							
03/18/20	4.000	166.32	03/17/20	174.52	...	-8.20	Sale ²⁵
06/26/20	5.000	525.18	06/26/20	526.10	0.92 W	0.00	Sale ²⁵
	<i>6 transactions for 07/02/20. Total proceeds and cost reported to the IRS.</i>						
	20.000	2,345.65	07/02/20	2,370.40	...	-24.75	1 of 6 - Sale ²⁵
	12.000	1,407.39	07/02/20	1,423.44	...	-16.05	2 of 6 - Sale ²⁵
	11.000	1,290.11	07/02/20	1,304.82	...	-14.71	3 of 6 - Sale ²⁵
	5.000	586.41	07/02/20	593.52	...	-7.11	4 of 6 - Sale ²⁵
	1.000	117.28	07/02/20	118.63	...	-1.35	5 of 6 - Sale ²⁵
	1.000	117.28	07/02/20	118.61	...	-1.33	6 of 6 - Sale ²⁵
07/02/20	50.000	5,864.12	Various	5,929.42	...	-65.30	Total of 6 transactions
	Security total:	6,555.62		6,630.04	0.92 W	-73.50	

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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STAGE STORES INC / CUSIP: 85254C305 / Symbol:							
2 transactions for 03/05/20. Total proceeds and cost reported to the IRS.							
	100.000	62.20	03/04/20	67.62	...	-5.42	1 of 2 - Sale ²⁵
	98.000	60.96	03/04/20	63.54	...	-2.58	2 of 2 - Sale ²⁵
03/05/20	198.000	123.16	Various	131.16	...	-8.00	Total of 2 transactions
03/05/20	102.000	63.43	03/04/20	66.14	2.66 W	-0.05	Sale ²⁵
3 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	1.000	0.40	04/07/20	0.36	...	0.04	1 of 3 - Sale ²⁵
	50.000	20.50	04/07/20	18.00	...	2.50	2 of 3 - Sale ²⁵
	699.000	279.52	04/07/20	251.64	...	27.88	3 of 3 - Sale ²⁵
04/08/20	750.000	300.42	Various	270.00	...	30.42	Total of 3 transactions
05/06/20	10.000	4.90	05/05/20	5.70	...	-0.80	Sale ²⁵
	Security total:	491.91		473.00	2.66 W	21.57	
STARBUCKS CORPORATION COMMON S TOCK / CUSIP: 855244109 / Symbol:							
05/22/20	5.000	389.70	05/21/20	393.75	...	-4.05	Sale ²⁵
STEIN MART INC COMMON STOCK / CUSIP: 858375108 / Symbol:							
2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	900.000	278.89	04/30/20	297.90	...	-19.01	1 of 2 - Sale ²⁵
	100.000	31.89	04/30/20	33.10	...	-1.21	2 of 2 - Sale ²⁵
05/01/20	1,000.000	310.78	Various	331.00	...	-20.22	Total of 2 transactions
06/15/20	200.000	81.98	06/12/20	91.06	...	-9.08	Sale ²⁵
	Security total:	392.76		422.06	...	-29.30	
STEMLINE THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 85858C107 / Symbol:							
03/31/20	50.000	235.00	03/30/20	255.75	...	-20.75	Sale ²⁵
STORE CAPITAL CORPORATION / CUSIP: 862121100 / Symbol:							
06/16/20	10.000	263.00	06/15/20	239.80	...	23.20	Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SUMMIT HOTEL PROPERTIES, INC. / CUSIP: 866082100 / Symbol:							
2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	15.000	128.25	06/04/20	113.85	...	14.40	1 of 2 - Sale ²⁵
	35.000	299.25	06/05/20	320.25	...	-21.00	2 of 2 - Sale ²⁵
06/08/20	50.000	427.50	Various	434.10	...	-6.60	Total of 2 transactions
SUNPOWER CORPORATION COMMON STOCK / CUSIP: 867652406 / Symbol:							
07/06/20	50.000	424.09	07/06/20	428.89	...	-4.80	Sale ²⁵
TARGET HOSPITALITY CORP. COMMON STOCK / CUSIP: 87615L107 / Symbol:							
4 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	6.000	22.50	06/08/20	24.00	1.50 W	0.00	1 of 4 - Sale ²⁵
	44.000	160.16	06/08/20	176.00	15.84 W	0.00	2 of 4 - Sale ²⁵
	6.000	21.84	06/08/20	25.50	3.66 W	0.00	3 of 4 - Sale ²⁵
	44.000	160.15	06/08/20	176.00	15.85 W	0.00	4 of 4 - Sale ²⁵
06/09/20	100.000	364.65	Various	401.50	36.85 W	0.00	Total of 4 transactions
4 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	44.000	95.47	06/15/20	113.52	...	-18.05	1 of 4 - Sale ²⁵
	44.000	95.48	06/15/20	113.52	...	-18.04	2 of 4 - Sale ²⁵
	6.000	13.02	06/15/20	16.98	...	-3.96	3 of 4 - Sale ²⁵
	6.000	13.02	06/15/20	13.32	...	-0.30	4 of 4 - Sale ²⁵
06/16/20	100.000	216.99	Various	257.34	...	-40.35	Total of 4 transactions
	Security total:	581.64		658.84	36.85 W	-40.35	
TARONIS TECHNOLOGIES INC COMMON STOCK / CUSIP: 876214206 / Symbol:							
2 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	500.000	90.59	03/25/20	84.45	...	6.14	1 of 2 - Sale ²⁵
	1,000.000	181.18	03/25/20	168.90	...	12.28	2 of 2 - Sale ²⁵
03/26/20	1,500.000	271.77	Various	253.35	...	18.42	Total of 2 transactions
2 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	155.000	28.08	03/25/20	28.99	0.91 W	0.00	1 of 2 - Sale ²⁵
	95.000	17.21	03/25/20	17.81	0.60 W	0.00	2 of 2 - Sale ²⁵
03/26/20	250.000	45.29	Various	46.80	1.51 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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TARONIS TECHNOLOGIES INC COMMO N STOCK / CUSIP: 876214206 / Symbol: (cont'd)							
6 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	100.000	17.74	03/26/20	22.08	4.34 W	0.00	1 of 6 - Sale ²⁵
	95.000	16.73	03/26/20	21.02	4.29 W	0.00	2 of 6 - Sale ²⁵
	55.000	9.68	03/26/20	12.14	2.46 W	0.00	3 of 6 - Sale ²⁵
	50.000	8.80	03/26/20	10.74	1.94 W	0.00	4 of 6 - Sale ²⁵
	100.000	17.61	03/26/20	25.84	8.23 W	0.00	5 of 6 - Sale ²⁵
	100.000	17.61	03/26/20	21.50	3.89 W	0.00	6 of 6 - Sale ²⁵
03/27/20	500.000	88.17	Various	113.32	25.15 W	0.00	Total of 6 transactions
04/02/20	600.000	94.73	04/01/20	94.14	...	0.59	Sale ²⁵
5 transactions for 04/02/20. Total proceeds and cost reported to the IRS.							
	100.000	15.79	04/01/20	23.92	8.13 W	0.00	1 of 5 - Sale ²⁵
	55.000	8.68	04/01/20	11.09	2.41 W	0.00	2 of 5 - Sale ²⁵
	95.000	15.00	04/01/20	19.20	4.20 W	0.00	3 of 5 - Sale ²⁵
	50.000	7.89	04/01/20	9.78	1.89 W	0.00	4 of 5 - Sale ²⁵
	100.000	15.79	04/01/20	19.58	3.79 W	0.00	5 of 5 - Sale ²⁵
04/02/20	400.000	63.15	Various	83.57	20.42 W	0.00	Total of 5 transactions
8 transactions for 04/16/20. Total proceeds and cost reported to the IRS.							
	100.000	22.29	04/15/20	19.55	...	2.74	1 of 8 - Sale ²⁵
	50.000	11.14	04/15/20	7.60	...	3.54	2 of 8 - Sale ²⁵
	55.000	12.26	04/15/20	8.69	...	3.57	3 of 8 - Sale ²⁵
	95.000	21.17	04/15/20	15.05	...	6.12	4 of 8 - Sale ²⁵
	100.000	22.29	04/15/20	15.21	...	7.08	5 of 8 - Sale ²⁵
	200.000	43.18	04/15/20	22.84	...	20.34	6 of 8 - Sale ²⁵
	600.000	133.73	04/15/20	68.52	...	65.21	7 of 8 - Sale ²⁵
	1,300.000	279.74	04/15/20	148.46	...	131.28	8 of 8 - Sale ²⁵
04/16/20	2,500.000	545.80	Various	305.92	...	239.88	Total of 8 transactions
04/20/20	700.000	259.34	04/17/20	232.96	...	26.38	Sale ²⁵
04/20/20	300.000	111.14	04/17/20	133.26	22.12 W	0.00	Sale ²⁵
2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	300.000	59.96	04/30/20	78.76	...	-18.80	1 of 2 - Sale ²⁵
	700.000	139.92	04/30/20	132.16	...	7.76	2 of 2 - Sale ²⁵
05/01/20	1,000.000	199.88	Various	210.92	...	-11.04	Total of 2 transactions
	Security total:	1,679.27		1,474.24	69.20 W	274.23	

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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TELADOC HEALTH, INC. / CUSIP: 87918A105 / Symbol:							
3 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	5.000	878.87	06/10/20	868.10	...	10.77	1 of 3 - Sale ²⁵
	5.000	878.87	06/10/20	863.48	...	15.39	2 of 3 - Sale ²⁵
	5.000	878.87	06/11/20	909.30	...	-30.43	3 of 3 - Sale ²⁵
06/12/20	15.000	2,636.61	Various	2,640.88	...	-4.27	Total of 3 transactions
06/12/20	10.000	1,757.73	06/11/20	1,791.10	20.02 W	-13.35	Sale ²⁵
06/25/20	5.000	1,003.25	06/25/20	1,009.68	...	-6.43	Sale ²⁵
07/06/20	1.000	215.00	07/06/20	218.05	...	-3.05	Sale ²⁵
	Security total:	5,612.59		5,659.71	20.02 W	-27.10	
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
01/14/20	1.000	536.36	01/13/20	517.58	...	18.78	Sale ²⁵
2 transactions for 01/23/20. Total proceeds and cost reported to the IRS.							
	1.000	564.23	01/22/20	588.36	24.13 W	0.00	1 of 2 - Sale ²⁵
	1.000	563.98	01/22/20	612.57	48.59 W	0.00	2 of 2 - Sale ²⁵
01/23/20	2.000	1,128.21	Various	1,200.93	72.72 W	0.00	Total of 2 transactions
2 transactions for 02/05/20. Total proceeds and cost reported to the IRS.							
	1.000	836.24	01/31/20	689.59	...	146.65	1 of 2 - Sale ²⁵
	1.000	836.24	01/31/20	644.56	...	191.68	2 of 2 - Sale ²⁵
02/05/20	2.000	1,672.48	Various	1,334.15	...	338.33	Total of 2 transactions
02/07/20	1.000	740.69	02/07/20	789.97	...	-49.28	Sale ²⁵
4 transactions for 02/07/20. Total proceeds and cost reported to the IRS.							
	1.000	731.13	02/06/20	738.23	7.10 W	0.00	1 of 4 - Sale ²⁵
	1.000	731.14	02/06/20	761.46	30.32 W	0.00	2 of 4 - Sale ²⁵
	1.000	731.13	02/06/20	770.22	39.09 W	0.00	3 of 4 - Sale ²⁵
	1.000	740.68	02/07/20	770.98	30.30 W	0.00	4 of 4 - Sale ²⁵
02/07/20	4.000	2,934.08	Various	3,040.89	106.81 W	0.00	Total of 4 transactions
2 transactions for 02/10/20. Total proceeds and cost reported to the IRS.							
	1.000	793.50	02/10/20	842.79	...	-49.29	1 of 2 - Sale ²⁵
	1.000	786.56	02/10/20	835.80	...	-49.24	2 of 2 - Sale ²⁵
02/10/20	2.000	1,580.06	Various	1,678.59	...	-98.53	Total of 2 transactions

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
2 transactions for 03/17/20. Total proceeds and cost reported to the IRS.							
	5.000	2,198.40	03/16/20	2,349.75	151.35 W	0.00	1 of 2 - Sale ²⁵
	5.000	2,158.02	03/16/20	2,516.35	358.33 W	0.00	2 of 2 - Sale ²⁵
03/17/20	10.000	4,356.42	Various	4,866.10	509.68 W	0.00	Total of 2 transactions
03/19/20	3.000	1,274.97	03/18/20	1,180.05	...	94.92	Sale ²⁵
5 transactions for 03/19/20. Total proceeds and cost reported to the IRS.							
	1.000	425.00	03/17/20	521.22	96.22 W	0.00	1 of 5 - Sale ²⁵
	1.000	425.00	03/18/20	465.21	40.21 W	0.00	2 of 5 - Sale ²⁵
	3.000	1,274.97	03/18/20	1,395.61	120.64 W	0.00	3 of 5 - Sale ²⁵
	1.000	424.99	03/18/20	489.57	64.58 W	0.00	4 of 5 - Sale ²⁵
	1.000	424.99	03/18/20	433.56	8.57 W	0.00	5 of 5 - Sale ²⁵
03/19/20	7.000	2,974.95	Various	3,305.17	330.22 W	0.00	Total of 5 transactions
3 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	1.000	428.91	03/19/20	492.52	63.61 W	0.00	1 of 3 - Sale ²⁵
	1.000	428.91	03/19/20	436.50	7.59 W	0.00	2 of 3 - Sale ²⁵
	3.000	1,286.72	03/19/20	1,404.44	117.72 W	0.00	3 of 3 - Sale ²⁵
03/20/20	5.000	2,144.54	Various	2,333.46	188.92 W	0.00	Total of 3 transactions
4 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	1.000	501.14	03/31/20	572.25	71.11 W	0.00	1 of 4 - Sale ²⁵
	1.000	501.14	03/31/20	516.23	15.09 W	0.00	2 of 4 - Sale ²⁵
	1.000	501.13	03/31/20	547.87	46.74 W	0.00	3 of 4 - Sale ²⁵
	1.000	501.14	03/31/20	551.47	50.33 W	0.00	4 of 4 - Sale ²⁵
04/01/20	4.000	2,004.55	Various	2,187.82	183.27 W	0.00	Total of 4 transactions
2 transactions for 04/02/20. Total proceeds and cost reported to the IRS.							
	1.000	469.99	04/01/20	579.95	109.96 W	0.00	1 of 2 - Sale ²⁵
	1.000	469.98	04/01/20	548.08	78.10 W	0.00	2 of 2 - Sale ²⁵
04/02/20	2.000	939.97	Various	1,128.03	188.06 W	0.00	Total of 2 transactions
04/03/20	1.000	504.98	04/02/20	524.03	19.05 W	0.00	Sale ²⁵
6 transactions for 04/06/20. Total proceeds and cost reported to the IRS.							
	1.000	508.55	04/03/20	603.14	94.59 W	0.00	1 of 6 - Sale ²⁵
	1.000	508.54	04/03/20	539.92	31.38 W	0.00	2 of 6 - Sale ²⁵
	1.000	497.99	04/03/20	543.50	45.51 W	0.00	3 of 6 - Sale ²⁵

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	1.000	497.98	04/03/20	571.27	73.29 W	0.00	4 of 6 - Sale ²⁵
	1.000	497.99	04/03/20	585.97	87.98 W	0.00	5 of 6 - Sale ²⁵
	1.000	497.98	04/03/20	510.43	12.45 W	0.00	6 of 6 - Sale ²⁵
04/06/20	6.000	3,009.03	Various	3,354.23	345.20 W	0.00	Total of 6 transactions
	2 transactions for 04/13/20. Total proceeds and cost reported to the IRS.						
	0.000	0.04	04/08/20	0.04	...	0.00	1 of 2 - Sale ²⁵
	0.015	8.58	04/08/20	8.42	...	0.16	2 of 2 - Sale ²⁵
04/13/20	0.015	8.62	Various	8.46	...	0.16	Total of 2 transactions
	2 transactions for 04/13/20. Total proceeds and cost reported to the IRS.						
	0.015	8.70	04/09/20	9.01	0.31 W	0.00	1 of 2 - Sale ²⁵
	0.985	574.38	04/09/20	581.19	6.81 W	0.00	2 of 2 - Sale ²⁵
04/13/20	1.000	583.08	Various	590.20	7.12 W	0.00	Total of 2 transactions
	2 transactions for 04/16/20. Total proceeds and cost reported to the IRS.						
	0.015	10.85	04/13/20	10.66	...	0.19	1 of 2 - Sale ²⁵
	0.985	713.28	04/13/20	673.09	...	40.19	2 of 2 - Sale ²⁵
04/16/20	1.000	724.13	Various	683.75	...	40.38	Total of 2 transactions
	6 transactions for 04/16/20. Total proceeds and cost reported to the IRS.						
	0.015	11.04	04/15/20	11.30	0.26 W	0.00	1 of 6 - Sale ²⁵
	0.985	725.53	04/15/20	748.06	22.53 W	0.00	2 of 6 - Sale ²⁵
	0.015	10.85	04/15/20	12.42	1.57 W	0.00	3 of 6 - Sale ²⁵
	0.985	713.29	04/15/20	801.85	88.56 W	0.00	4 of 6 - Sale ²⁵
	0.985	732.29	04/15/20	815.76	83.47 W	0.00	5 of 6 - Sale ²⁵
	0.015	11.14	04/15/20	11.28	0.14 W	0.00	6 of 6 - Sale ²⁵
04/16/20	3.000	2,204.14	Various	2,400.67	196.53 W	0.00	Total of 6 transactions
	3 transactions for 05/04/20. Total proceeds and cost reported to the IRS.						
	0.015	11.01	05/01/20	12.95	1.94 W	0.00	1 of 3 - Sale ²⁵
	0.015	11.01	05/01/20	12.65	1.64 W	0.00	2 of 3 - Sale ²⁵
	0.970	712.47	05/01/20	742.96	30.49 W	0.00	3 of 3 - Sale ²⁵
05/04/20	1.000	734.49	Various	768.56	34.07 W	0.00	Total of 3 transactions
	5 transactions for 05/15/20. Total proceeds and cost reported to the IRS.						
	0.000	0.05	05/01/20	0.05	...	0.00	1 of 5 - Sale ²⁵
	0.015	11.74	05/01/20	11.64	...	0.10	2 of 5 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.015	11.79	05/01/20	10.96	...	0.83	3 of 5 - Sale ²⁵
	0.015	11.99	05/01/20	10.84	...	1.15	4 of 5 - Sale ²⁵
	0.985	788.12	05/01/20	725.45	...	62.67	5 of 5 - Sale ²⁵
05/15/20	1.030	823.69	Various	758.94	...	64.75	Total of 5 transactions
	3 transactions for 05/15/20. Total proceeds and cost reported to the IRS.						
	0.985	774.69	05/01/20	836.17	61.48 W	0.00	1 of 3 - Sale ²⁵
	0.015	11.79	05/01/20	12.64	0.85 W	0.00	2 of 3 - Sale ²⁵
	0.970	762.90	05/01/20	774.43	11.53 W	0.00	3 of 3 - Sale ²⁵
05/15/20	1.970	1,549.38	Various	1,623.24	73.86 W	0.00	Total of 3 transactions
	8 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	0.015	12.43	05/01/20	12.34	...	0.09	1 of 8 - Sale ²⁵
	0.015	12.17	05/01/20	11.59	...	0.58	2 of 8 - Sale ²⁵
	0.015	12.43	05/01/20	11.64	...	0.79	3 of 8 - Sale ²⁵
	0.015	12.17	05/01/20	10.92	...	1.25	4 of 8 - Sale ²⁵
	0.970	787.27	05/01/20	755.66	...	31.61	5 of 8 - Sale ²⁵
	0.970	804.70	05/01/20	722.83	...	81.87	6 of 8 - Sale ²⁵
	0.045	36.50	05/14/20	34.69	...	1.81	7 of 8 - Sale ²⁵
	0.955	775.18	05/14/20	748.01	...	27.17	8 of 8 - Sale ²⁵
05/18/20	3.000	2,452.85	Various	2,307.68	...	145.17	Total of 8 transactions
	2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.						
	1.000	818.19	05/14/20	771.35	...	46.84	1 of 2 - Sale ²⁵
	2.000	1,620.06	05/14/20	1,542.69	...	77.37	2 of 2 - Sale ²⁵
05/19/20	3.000	2,438.25	Various	2,314.04	...	124.21	Total of 2 transactions
	3 transactions for 05/28/20. Total proceeds and cost reported to the IRS.						
	1.000	817.46	05/27/20	799.19	...	18.27	1 of 3 - Sale ²⁵
	1.000	817.55	05/27/20	799.19	...	18.36	2 of 3 - Sale ²⁵
	2.000	1,626.76	05/27/20	1,598.37	...	28.39	3 of 3 - Sale ²⁵
05/28/20	4.000	3,261.77	Various	3,196.75	...	65.02	Total of 3 transactions
	3 transactions for 06/02/20. Total proceeds and cost reported to the IRS.						
	1.000	871.26	05/27/20	799.18	...	72.08	1 of 3 - Sale ²⁵
	2.000	1,742.53	05/29/20	1,636.94	...	105.59	2 of 3 - Sale ²⁵
	1.000	871.27	06/01/20	864.46	...	6.81	3 of 3 - Sale ²⁵
06/02/20	4.000	3,485.06	Various	3,300.58	...	184.48	Total of 3 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	924.99	06/05/20	886.38	...	38.61	1 of 2 - Sale ²⁵
	1.000	927.20	06/05/20	886.38	...	40.82	2 of 2 - Sale ²⁵
06/08/20	2.000	1,852.19	Various	1,772.76	...	79.43	Total of 2 transactions
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	2.000	1,975.36	06/10/20	1,981.28	5.92 W	0.00	1 of 2 - Sale ²⁵
	3.000	2,963.03	06/10/20	2,972.13	9.10 W	0.00	2 of 2 - Sale ²⁵
06/11/20	5.000	4,938.39	Various	4,953.41	15.02 W	0.00	Total of 2 transactions
3 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.000	999.98	06/15/20	919.91	...	80.07	1 of 3 - Sale ²⁵
	1.000	999.98	06/15/20	919.84	...	80.14	2 of 3 - Sale ²⁵
	3.000	2,999.92	06/15/20	2,760.10	...	239.82	3 of 3 - Sale ²⁵
06/16/20	5.000	4,999.88	Various	4,599.85	...	400.03	Total of 3 transactions
06/19/20	1.000	1,009.24	06/18/20	1,008.04	...	1.20	Sale ²⁵
06/24/20	5.000	4,811.47	06/24/20	4,882.40	70.93 W	0.00	Sale ²⁵
6 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	1.000	987.28	06/29/20	984.25	...	3.03	1 of 6 - Sale ²⁵
	3.000	2,961.82	06/29/20	2,952.72	...	9.10	2 of 6 - Sale ²⁵
	1.000	987.28	06/29/20	975.84	...	11.44	3 of 6 - Sale ²⁵
	5.000	5,007.56	06/29/20	4,941.44	...	66.12	4 of 6 - Sale ²⁵
	5.000	4,967.99	06/29/20	4,898.10	...	69.89	5 of 6 - Sale ²⁵
	10.000	9,876.35	06/29/20	9,616.60	...	259.75	6 of 6 - Sale ²⁵
06/29/20	25.000	24,788.28	Various	24,368.95	...	419.33	Total of 6 transactions
4 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	3.000	2,886.29	06/29/20	2,954.03	67.74 W	0.00	1 of 4 - Sale ²⁵
	1.000	962.10	06/29/20	984.69	22.59 W	0.00	2 of 4 - Sale ²⁵
	5.000	5,007.56	06/29/20	5,021.14	13.58 W	0.00	3 of 4 - Sale ²⁵
	5.000	5,008.38	06/29/20	5,021.13	12.75 W	0.00	4 of 4 - Sale ²⁵
06/29/20	14.000	13,864.33	Various	13,980.99	116.66 W	0.00	Total of 4 transactions
3 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	2.000	2,169.81	06/30/20	2,174.75	4.94 W	0.00	1 of 3 - Sale ²⁵
	1.000	1,081.75	06/30/20	1,087.38	5.63 W	0.00	2 of 3 - Sale ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	2.000	2,156.45	06/30/20	2,174.75	18.30 W	0.00	3 of 3 - Sale ²⁵
06/30/20	5.000	5,408.01	Various	5,436.88	28.87 W	0.00	Total of 3 transactions
	5 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	2.000	2,646.18	07/06/20	2,676.04	...	-29.86	1 of 5 - Sale ²⁵
	2.000	2,646.19	07/06/20	2,659.06	...	-12.87	2 of 5 - Sale ²⁵
	1.000	1,323.09	07/06/20	1,334.50	...	-11.41	3 of 5 - Sale ²⁵
	4.000	5,306.18	07/06/20	5,302.22	...	3.96	4 of 5 - Sale ²⁵
	1.000	1,326.55	07/06/20	1,322.49	...	4.06	5 of 5 - Sale ²⁵
07/06/20	10.000	13,248.19	Various	13,294.31	...	-46.12	Total of 5 transactions
	Security total:	118,986.73		119,691.46	2,486.99 W	1,782.26	
THE9 LIMITED AMERICAN DEPOSITORY SHARES REPRESENTING THREE CLASS A ORDINARY SHARES / CUSIP: 88337K203 / Symbol:							
	2 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	400.000	313.03	06/24/20	335.96	...	-22.93	1 of 2 - Sale ²⁵
	100.000	78.26	06/24/20	83.99	...	-5.73	2 of 2 - Sale ²⁵
06/24/20	500.000	391.29	Various	419.95	...	-28.66	Total of 2 transactions
THERAPEUTICSMD, INC. COMMON STOCK / CUSIP: 88338N107 / Symbol:							
05/05/20	200.000	306.02	05/04/20	302.00	...	4.02	Sale ²⁵
THERAPIX BIOSCIENCES LTD AMERICAN DEPOSITARY RECEIPTS - SPONSORED / CUSIP: 88339A203 / Symbol:							
	3 transactions for 06/12/20. Total proceeds and cost reported to the IRS.						
	50.000	41.99	06/11/20	52.74	...	-10.75	1 of 3 - Sale ²⁵
	100.000	83.99	06/11/20	84.99	...	-1.00	2 of 3 - Sale ²⁵
	50.000	42.00	06/11/20	42.74	...	-0.74	3 of 3 - Sale ²⁵
06/12/20	200.000	167.98	Various	180.47	...	-12.49	Total of 3 transactions
06/12/20	100.000	84.50	06/11/20	84.99	0.49 W	0.00	Sale ²⁵
	Security total:	252.48		265.46	0.49 W	-12.49	
THE TRADE DESK, INC. CLASS A COMMON STOCK / CUSIP: 88339J105 / Symbol:							
07/06/20	4.000	1,840.76	07/06/20	1,836.00	...	4.76	Sale ²⁵

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(continued)

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THOR INDUSTRIES, INC. / CUSIP: 885160101 / Symbol:							
3 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	4.000	444.68	06/17/20	467.03	...	-22.35	1 of 3 - Sale ²⁵
	5.000	564.98	06/17/20	583.79	...	-18.81	2 of 3 - Sale ²⁵
	1.000	112.00	06/17/20	116.76	...	-4.76	3 of 3 - Sale ²⁵
06/18/20	10.000	1,121.66	Various	1,167.58	...	-45.92	Total of 3 transactions
TILRAY, INC. CLASS 2 COMMON ST OCK / CUSIP: 88688T100 / Symbol:							
3 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	50.000	437.48	03/25/20	263.00	...	174.48	1 of 3 - Sale ²⁵
	50.000	437.48	03/26/20	412.00	...	25.48	2 of 3 - Sale ²⁵
	50.000	437.49	03/26/20	404.11	...	33.38	3 of 3 - Sale ²⁵
03/27/20	150.000	1,312.45	Various	1,079.11	...	233.34	Total of 3 transactions
03/30/20	30.000	255.90	03/27/20	302.08	46.18 W	0.00	Sale ²⁵
3 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	20.000	127.20	03/31/20	148.08	5.22 W	-15.66	1 of 3 - Sale ²⁵
	7.000	44.52	03/31/20	62.60	18.08 W	0.00	2 of 3 - Sale ²⁵
	23.000	146.28	03/31/20	205.69	59.41 W	0.00	3 of 3 - Sale ²⁵
04/01/20	50.000	318.00	Various	416.37	82.71 W	-15.66	Total of 3 transactions
3 transactions for 04/28/20. Total proceeds and cost reported to the IRS.							
	23.000	202.86	04/27/20	278.53	...	-75.67	1 of 3 - Sale ²⁵
	7.000	61.74	04/27/20	84.77	...	-23.03	2 of 3 - Sale ²⁵
	5.000	44.10	04/27/20	52.85	...	-8.75	3 of 3 - Sale ²⁵
04/28/20	35.000	308.70	Various	416.15	...	-107.45	Total of 3 transactions
06/25/20	100.000	844.97	06/25/20	847.28	2.31 W	0.00	Sale ²⁵
6 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	93.000	721.65	07/06/20	735.66	...	-14.01	1 of 6 - Sale ²⁵
	36.000	277.92	07/06/20	282.83	...	-4.91	2 of 6 - Sale ²⁵
	10.000	77.20	07/06/20	78.56	...	-1.36	3 of 6 - Sale ²⁵
	6.000	46.56	07/06/20	47.46	...	-0.90	4 of 6 - Sale ²⁵
	4.000	31.00	07/06/20	31.42	...	-0.42	5 of 6 - Sale ²⁵
	1.000	7.75	07/06/20	7.91	...	-0.16	6 of 6 - Sale ²⁵
07/06/20	150.000	1,162.08	Various	1,183.84	...	-21.76	Total of 6 transactions

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TILRAY, INC. CLASS 2 COMMON ST OCK / CUSIP: 88688T100 / Symbol: (cont'd)							
2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	93.000	729.03	07/06/20	734.06	5.03 W	0.00	1 of 2 - Sale ²⁵
	7.000	54.87	07/06/20	55.25	0.38 W	0.00	2 of 2 - Sale ²⁵
07/06/20	100.000	783.90	Various	789.31	5.41 W	0.00	Total of 2 transactions
	Security total:	4,986.00		5,034.14	136.61 W	88.47	
TITAN MEDICAL INC. ORDINARY SH ARES / CUSIP: 88830X819 / Symbol:							
2 transactions for 03/31/20. Total proceeds and cost reported to the IRS.							
	200.000	44.00	03/30/20	85.24	41.24 W	0.00	1 of 2 - Sale ²⁵
	300.000	65.96	03/30/20	127.86	61.90 W	0.00	2 of 2 - Sale ²⁵
03/31/20	500.000	109.96	Various	213.10	103.14 W	0.00	Total of 2 transactions
3 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	300.000	68.99	04/06/20	130.36	...	-61.37	1 of 3 - Sale ²⁵
	200.000	46.00	04/06/20	84.94	...	-38.94	2 of 3 - Sale ²⁵
	250.000	57.50	04/06/20	57.05	...	0.45	3 of 3 - Sale ²⁵
04/07/20	750.000	172.49	Various	272.35	...	-99.86	Total of 3 transactions
06/10/20	250.000	324.96	06/09/20	430.00	...	-105.04	Sale ²⁵
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	350.000	454.94	06/09/20	577.22	34.94 W	-87.34	1 of 2 - Sale ²⁵
	150.000	194.98	06/09/20	231.00	36.02 W	0.00	2 of 2 - Sale ²⁵
06/10/20	500.000	649.92	Various	808.22	70.96 W	-87.34	Total of 2 transactions
2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	150.000	131.98	06/25/20	178.52	...	-46.54	1 of 2 - Sale ²⁵
	100.000	87.99	06/25/20	129.94	...	-41.95	2 of 2 - Sale ²⁵
06/25/20	250.000	219.97	Various	308.46	...	-88.49	Total of 2 transactions
	Security total:	1,477.30		2,032.13	174.10 W	-380.73	
TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol:							
03/03/20	500.000	186.94	02/28/20	146.75	...	40.19	Sale ²⁵
3 transactions for 03/05/20. Total proceeds and cost reported to the IRS.							
	500.000	160.14	03/04/20	160.00	...	0.14	1 of 3 - Sale ²⁵
	200.000	64.74	03/04/20	64.00	...	0.74	2 of 3 - Sale ²⁵

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TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol: (cont'd)							
	300.000	97.10	03/04/20	96.00	...	1.10	3 of 3 - Sale ²⁵
03/05/20	1,000.000	321.98	Various	320.00	...	1.98	Total of 3 transactions
03/10/20	400.000	102.59	03/09/20	112.00	9.41 W	0.00	Sale ²⁵
	8 transactions for 03/16/20. Total proceeds and cost reported to the IRS.						
	400.000	81.95	03/13/20	98.61	16.66 W	0.00	1 of 8 - Sale ²⁵
	38.000	7.79	03/13/20	8.47	0.68 W	0.00	2 of 8 - Sale ²⁵
	50.000	10.24	03/13/20	11.15	0.91 W	0.00	3 of 8 - Sale ²⁵
	112.000	22.95	03/13/20	25.52	2.57 W	0.00	4 of 8 - Sale ²⁵
	3.000	0.62	03/13/20	0.81	0.19 W	0.00	5 of 8 - Sale ²⁵
	10.000	2.05	03/13/20	2.70	0.65 W	0.00	6 of 8 - Sale ²⁵
	179.000	37.57	03/13/20	48.25	10.68 W	0.00	7 of 8 - Sale ²⁵
	208.000	42.62	03/13/20	56.06	13.44 W	0.00	8 of 8 - Sale ²⁵
03/16/20	1,000.000	205.79	Various	251.57	45.78 W	0.00	Total of 8 transactions
	3 transactions for 03/18/20. Total proceeds and cost reported to the IRS.						
	36.000	7.74	03/16/20	7.38	...	0.36	1 of 3 - Sale ²⁵
	400.000	85.95	03/16/20	83.00	...	2.95	2 of 3 - Sale ²⁵
	400.000	85.95	03/16/20	82.04	...	3.91	3 of 3 - Sale ²⁵
03/18/20	836.000	179.64	Various	172.42	...	7.22	Total of 3 transactions
	20 transactions for 03/18/20. Total proceeds and cost reported to the IRS.						
	3.000	0.65	03/16/20	0.81	0.16 W	0.00	1 of 20 - Sale ²⁵
	10.000	2.15	03/16/20	2.70	0.55 W	0.00	2 of 20 - Sale ²⁵
	11.000	2.36	03/16/20	2.91	0.55 W	0.00	3 of 20 - Sale ²⁵
	40.000	8.60	03/16/20	10.59	1.99 W	0.00	4 of 20 - Sale ²⁵
	36.000	7.74	03/16/20	9.53	1.79 W	0.00	5 of 20 - Sale ²⁵
	38.000	8.17	03/16/20	8.47	0.30 W	0.00	6 of 20 - Sale ²⁵
	50.000	10.74	03/16/20	11.17	0.43 W	0.00	7 of 20 - Sale ²⁵
	112.000	24.07	03/16/20	25.54	1.47 W	0.00	8 of 20 - Sale ²⁵
	3.000	0.64	03/16/20	0.78	0.14 W	0.00	9 of 20 - Sale ²⁵
	10.000	2.15	03/16/20	2.60	0.45 W	0.00	10 of 20 - Sale ²⁵
	11.000	2.36	03/16/20	2.81	0.45 W	0.00	11 of 20 - Sale ²⁵
	40.000	8.60	03/16/20	10.19	1.59 W	0.00	12 of 20 - Sale ²⁵
	92.000	19.77	03/16/20	24.36	4.59 W	0.00	13 of 20 - Sale ²⁵
	208.000	44.69	03/16/20	56.10	11.41 W	0.00	14 of 20 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol: (cont'd)							
	134.000	28.79	03/17/20	31.21	2.42 W	0.00	15 of 20 - Sale ²⁵
	26.000	5.59	03/17/20	7.35	1.76 W	0.00	16 of 20 - Sale ²⁵
	10.000	2.10	03/17/20	2.82	0.72 W	0.00	17 of 20 - Sale ²⁵
	38.000	7.98	03/17/20	9.15	1.17 W	0.00	18 of 20 - Sale ²⁵
	42.000	8.83	03/17/20	10.33	1.50 W	0.00	19 of 20 - Sale ²⁵
	50.000	10.50	03/17/20	12.08	1.58 W	0.00	20 of 20 - Sale ²⁵
03/18/20	964.000	206.48	Various	241.50	35.02 W	0.00	Total of 20 transactions
	28 transactions for 03/25/20. Total proceeds and cost reported to the IRS.						
	208.000	51.29	03/24/20	60.71	...	-9.42	1 of 28 - Sale ²⁵
	92.000	22.69	03/24/20	26.39	...	-3.70	2 of 28 - Sale ²⁵
	26.000	6.41	03/24/20	7.92	...	-1.51	3 of 28 - Sale ²⁵
	40.000	9.86	03/24/20	11.07	...	-1.21	4 of 28 - Sale ²⁵
	134.000	32.97	03/24/20	34.18	...	-1.21	5 of 28 - Sale ²⁵
	38.000	9.37	03/24/20	10.18	...	-0.81	6 of 28 - Sale ²⁵
	30.000	7.38	03/24/20	8.18	...	-0.80	7 of 28 - Sale ²⁵
	10.000	2.47	03/24/20	3.09	...	-0.62	8 of 28 - Sale ²⁵
	10.000	2.47	03/24/20	2.82	...	-0.35	9 of 28 - Sale ²⁵
	11.000	2.71	03/24/20	3.06	...	-0.35	10 of 28 - Sale ²⁵
	13.000	3.21	03/24/20	3.49	...	-0.28	11 of 28 - Sale ²⁵
	70.000	17.23	03/24/20	17.51	...	-0.28	12 of 28 - Sale ²⁵
	7.000	1.72	03/24/20	1.91	...	-0.19	13 of 28 - Sale ²⁵
	8.000	1.97	03/24/20	2.14	...	-0.17	14 of 28 - Sale ²⁵
	7.000	1.73	03/24/20	1.88	...	-0.15	15 of 28 - Sale ²⁵
	6.000	1.47	03/24/20	1.61	...	-0.14	16 of 28 - Sale ²⁵
	3.000	0.74	03/24/20	0.85	...	-0.11	17 of 28 - Sale ²⁵
	5.000	1.23	03/24/20	1.34	...	-0.11	18 of 28 - Sale ²⁵
	4.000	0.99	03/24/20	1.09	...	-0.10	19 of 28 - Sale ²⁵
	3.000	0.74	03/24/20	0.81	...	-0.07	20 of 28 - Sale ²⁵
	2.000	0.49	03/24/20	0.54	...	-0.05	21 of 28 - Sale ²⁵
	2.000	0.49	03/24/20	0.54	...	-0.05	22 of 28 - Sale ²⁵
	1.000	0.25	03/24/20	0.27	...	-0.02	23 of 28 - Sale ²⁵
	1.000	0.25	03/24/20	0.27	...	-0.02	24 of 28 - Sale ²⁵
	1.000	0.25	03/24/20	0.27	...	-0.02	25 of 28 - Sale ²⁵
	1.000	0.25	03/24/20	0.27	...	-0.02	26 of 28 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol: (cont'd)							
	1.000	0.25	03/24/20	0.27	...	-0.02	27 of 28 - Sale ²⁵
	266.000	65.46	03/24/20	63.04	...	2.42	28 of 28 - Sale ²⁵
03/25/20	1,000.000	246.34	Various	265.70	...	-19.36	Total of 28 transactions
06/24/20	1,000.000	364.98	06/24/20	378.90	...	-13.92	Sale ²⁵
	Security total:	1,814.74		1,888.84	90.21 W	16.11	
TIZIANA LIFE SCIENCES PLC AMER ICAN DEPOSITORY SHARE / CUSIP: 88875G101 / Symbol:							
03/13/20	100.000	439.99	03/12/20	509.77	69.78 W	0.00	Sale ²⁵
	2 transactions for 03/24/20. Total proceeds and cost reported to the IRS.						
	100.000	181.99	03/23/20	282.78	100.79 W	0.00	1 of 2 - Sale ²⁵
	100.000	181.99	03/23/20	213.00	31.01 W	0.00	2 of 2 - Sale ²⁵
03/24/20	200.000	363.98	Various	495.78	131.80 W	0.00	Total of 2 transactions
	8 transactions for 04/13/20. Total proceeds and cost reported to the IRS.						
	50.000	150.00	04/09/20	240.40	...	-90.40	1 of 8 - Sale ²⁵
	61.000	182.99	04/09/20	250.72	...	-67.73	2 of 8 - Sale ²⁵
	25.000	75.00	04/09/20	120.20	...	-45.20	3 of 8 - Sale ²⁵
	13.000	39.00	04/09/20	62.50	...	-23.50	4 of 8 - Sale ²⁵
	12.000	36.00	04/09/20	57.69	...	-21.69	5 of 8 - Sale ²⁵
	19.000	57.00	04/09/20	78.09	...	-21.09	6 of 8 - Sale ²⁵
	10.000	30.00	04/09/20	41.10	...	-11.10	7 of 8 - Sale ²⁵
	10.000	30.00	04/09/20	41.10	...	-11.10	8 of 8 - Sale ²⁵
04/13/20	200.000	599.99	Various	891.80	...	-291.81	Total of 8 transactions
	Security total:	1,403.96		1,897.35	201.58 W	-291.81	
TOCAGEN INC. COMMON STOCK / CUSIP: 888846102 / Symbol:							
03/05/20	150.000	281.04	03/04/20	308.99	27.95 W	0.00	Sale ²⁵
	2 transactions for 03/13/20. Total proceeds and cost reported to the IRS.						
	150.000	241.49	03/12/20	288.95	47.46 W	0.00	1 of 2 - Sale ²⁵
	50.000	80.49	03/12/20	87.00	6.51 W	0.00	2 of 2 - Sale ²⁵
03/13/20	200.000	321.98	Various	375.95	53.97 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TOCAGEN INC. COMMON STOCK / CUSIP: 888846102 / Symbol: (cont'd)							
2 transactions for 03/17/20. Total proceeds and cost reported to the IRS.							
	150.000	237.02	03/16/20	292.13	...	-55.11	1 of 2 - Sale ²⁵
	50.000	79.00	03/16/20	88.06	...	-9.06	2 of 2 - Sale ²⁵
03/17/20	200.000	316.02	Various	380.19	...	-64.17	Total of 2 transactions
05/12/20	250.000	292.47	05/11/20	287.50	...	4.97	Sale ²⁵
3 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	250.000	317.47	06/10/20	459.97	...	-142.50	1 of 3 - Sale ²⁵
	100.000	126.99	06/10/20	235.99	...	-109.00	2 of 3 - Sale ²⁵
	50.000	63.49	06/10/20	92.00	...	-28.51	3 of 3 - Sale ²⁵
06/11/20	400.000	507.95	Various	787.96	...	-280.01	Total of 3 transactions
06/11/20	100.000	126.99	06/10/20	178.99	52.00 W	0.00	Sale ²⁵
	Security total:	1,846.45		2,319.58	133.92 W	-339.21	
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
3 transactions for 02/28/20. Total proceeds and cost reported to the IRS.							
	35.000	47.24	02/26/20	33.78	...	13.46	1 of 3 - Sale ²⁵
	65.000	87.74	02/26/20	62.99	...	24.75	2 of 3 - Sale ²⁵
	300.000	404.95	02/27/20	540.00	...	-135.05	3 of 3 - Sale ²⁵
02/28/20	400.000	539.93	Various	636.77	...	-96.84	Total of 3 transactions
4 transactions for 03/31/20. Total proceeds and cost reported to the IRS.							
	186.000	125.06	03/30/20	135.78	...	-10.72	1 of 4 - Sale ²⁵
	26.000	17.41	03/30/20	21.91	...	-4.50	2 of 4 - Sale ²⁵
	19.000	12.73	03/30/20	14.96	...	-2.23	3 of 4 - Sale ²⁵
	10.000	6.70	03/30/20	7.30	...	-0.60	4 of 4 - Sale ²⁵
03/31/20	241.000	161.90	Various	179.95	...	-18.05	Total of 4 transactions
3 transactions for 03/31/20. Total proceeds and cost reported to the IRS.							
	70.000	47.07	03/30/20	54.96	2.93 W	-4.96	1 of 3 - Sale ²⁵
	70.000	47.24	03/30/20	51.10	3.86 W	0.00	2 of 3 - Sale ²⁵
	19.000	12.78	03/30/20	13.87	1.09 W	0.00	3 of 3 - Sale ²⁵
03/31/20	159.000	107.09	Various	119.93	7.88 W	-4.96	Total of 3 transactions
05/22/20	20.000	13.81	05/21/20	14.38	...	-0.57	Sale ²⁵
06/22/20	500.000	313.14	06/22/20	322.50	...	-9.36	Sale ²⁵
	Security total:	1,135.87		1,273.53	7.88 W	-129.78	

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2020 1099-B* OMB No. 1545-0715

(continued)

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TORTOISE ACQUISITION CORP. CLA SS A COMMON STOCK / CUSIP: 89154L100 / Symbol:							
5 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	1.000	16.40	06/19/20	14.43	...	1.97	1 of 5 - Sale ²⁵
	10.000	164.00	06/19/20	144.30	...	19.70	2 of 5 - Sale ²⁵
	10.000	164.00	06/19/20	144.30	...	19.70	3 of 5 - Sale ²⁵
	22.000	360.80	06/19/20	317.46	...	43.34	4 of 5 - Sale ²⁵
	57.000	935.33	06/19/20	822.51	...	112.82	5 of 5 - Sale ²⁵
06/22/20	100.000	1,640.53	Various	1,443.00	...	197.53	Total of 5 transactions
9 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	42.000	1,012.59	06/26/20	1,077.53	...	-64.94	1 of 9 - Sale ²⁵
	25.000	602.73	06/26/20	651.77	...	-49.04	2 of 9 - Sale ²⁵
	50.000	1,205.47	06/26/20	1,247.99	...	-42.52	3 of 9 - Sale ²⁵
	33.000	795.61	06/26/20	823.68	...	-28.07	4 of 9 - Sale ²⁵
	58.000	1,389.06	06/26/20	1,388.51	...	0.55	5 of 9 - Sale ²⁵
	8.000	191.60	06/26/20	185.60	...	6.00	6 of 9 - Sale ²⁵
	26.000	622.68	06/26/20	605.80	...	16.88	7 of 9 - Sale ²⁵
	42.000	1,005.88	06/26/20	972.30	...	33.58	8 of 9 - Sale ²⁵
	24.000	574.79	06/26/20	524.64	...	50.15	9 of 9 - Sale ²⁵
06/26/20	308.000	7,400.41	Various	7,477.82	...	-77.41	Total of 9 transactions
2 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	42.000	1,005.88	06/26/20	1,035.09	29.21 W	0.00	1 of 2 - Sale ²⁵
	25.000	598.73	06/26/20	626.50	27.77 W	0.00	2 of 2 - Sale ²⁵
06/26/20	67.000	1,604.61	Various	1,661.59	56.98 W	0.00	Total of 2 transactions
	Security total:	10,645.55		10,582.41	56.98 W	120.12	
TRILLIUM THERAPEUTICS INC. COM MON SHARES / CUSIP: 89620X506 / Symbol:							
03/31/20	100.000	397.01	03/30/20	415.00	...	-17.99	Sale ²⁵
05/07/20	22.000	117.59	05/06/20	120.78	3.19 W	0.00	Sale ²⁵
2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	22.000	174.02	06/01/20	177.65	3.63 W	0.00	1 of 2 - Sale ²⁵
	3.000	23.73	06/01/20	23.79	0.06 W	0.00	2 of 2 - Sale ²⁵
06/02/20	25.000	197.75	Various	201.44	3.69 W	0.00	Total of 2 transactions

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(continued)

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TRILLIUM THERAPEUTICS INC. COM MON SHARES / CUSIP: 89620X506 / Symbol: (cont'd)							
2 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	3.000	28.05	06/22/20	27.63	...	0.42	1 of 2 - Sale ²⁵
06/22/20	25.000	233.74	06/22/20	229.75	...	3.99	2 of 2 - Sale ²⁵
	28.000	261.79	Various	257.38	...	4.41	Total of 2 transactions
5 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	22.000	205.69	06/22/20	205.81	0.12 W	0.00	1 of 5 - Sale ²⁵
	25.000	233.74	06/22/20	236.00	2.26 W	0.00	2 of 5 - Sale ²⁵
	25.000	233.74	06/22/20	241.50	7.76 W	0.00	3 of 5 - Sale ²⁵
	22.000	205.70	06/22/20	212.64	6.94 W	0.00	4 of 5 - Sale ²⁵
	3.000	28.05	06/22/20	29.25	1.20 W	0.00	5 of 5 - Sale ²⁵
06/22/20	97.000	906.92	Various	925.20	18.28 W	0.00	Total of 5 transactions
5 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	25.000	202.75	06/30/20	211.01	...	-8.26	1 of 5 - Sale ²⁵
	22.000	178.41	06/30/20	185.80	...	-7.39	2 of 5 - Sale ²⁵
	22.000	178.41	06/30/20	180.85	...	-2.44	3 of 5 - Sale ²⁵
	3.000	24.33	06/30/20	25.59	...	-1.26	4 of 5 - Sale ²⁵
	28.000	227.07	06/30/20	227.64	...	-0.57	5 of 5 - Sale ²⁵
06/30/20	100.000	810.97	Various	830.89	...	-19.92	Total of 5 transactions
	Security total:	2,692.03		2,750.69	25.16 W	-33.50	
TRINITY BIOTECH PLC AMERICAN D EPOSITARY SHARES / CUSIP: 896438306 / Symbol:							
6 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	5.000	5.75	04/17/20	5.45	...	0.30	1 of 6 - Sale ²⁵
	6.000	6.90	04/17/20	6.54	...	0.36	2 of 6 - Sale ²⁵
	89.000	102.34	04/17/20	97.01	...	5.33	3 of 6 - Sale ²⁵
	116.000	133.38	04/17/20	127.60	...	5.78	4 of 6 - Sale ²⁵
	100.000	114.99	04/17/20	109.00	...	5.99	5 of 6 - Sale ²⁵
	184.000	211.60	04/17/20	202.40	...	9.20	6 of 6 - Sale ²⁵
04/20/20	500.000	574.96	Various	548.00	...	26.96	Total of 6 transactions
05/18/20	100.000	153.00	05/15/20	162.99	9.99 W	0.00	Sale ²⁵

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(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TRINITY BIOTECH PLC AMERICAN D EPOSITORY SHARES / CUSIP: 896438306 / Symbol: (cont'd)							
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	100.000	164.49	05/22/20	168.99	...	-4.50	1 of 2 - Sale ²⁵
	50.000	82.24	05/22/20	79.50	...	2.74	2 of 2 - Sale ²⁵
05/26/20	150.000	246.73	Various	248.49	...	-1.76	Total of 2 transactions
	Security total:	974.69		959.48	9.99 W	25.20	
TRIPLEPOINT VENTURE GROWTH BDC CORP. / CUSIP: 89677Y100 / Symbol:							
2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	2.000	14.82	03/19/20	14.18	...	0.64	1 of 2 - Sale ²⁵
	10.000	74.10	03/19/20	70.90	...	3.20	2 of 2 - Sale ²⁵
03/20/20	12.000	88.92	Various	85.08	...	3.84	Total of 2 transactions
2 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	40.000	254.00	03/26/20	298.34	...	-44.34	1 of 2 - Sale ²⁵
	10.000	64.50	03/26/20	74.58	...	-10.08	2 of 2 - Sale ²⁵
03/27/20	50.000	318.50	Various	372.92	...	-54.42	Total of 2 transactions
	Security total:	407.42		458.00	...	-50.58	
TROVAGENE, INC. COMMON STOCK / CUSIP: 897238606 / Symbol:							
3 transactions for 02/13/20. Total proceeds and cost reported to the IRS.							
	90.000	176.39	02/12/20	202.50	26.11 W	0.00	1 of 3 - Sale ²⁵
	10.000	19.60	02/12/20	22.50	2.90 W	0.00	2 of 3 - Sale ²⁵
	10.000	19.60	02/12/20	22.10	2.50 W	0.00	3 of 3 - Sale ²⁵
02/13/20	110.000	215.59	Various	247.10	31.51 W	0.00	Total of 3 transactions
02/18/20	50.000	82.50	02/14/20	101.78	19.28 W	0.00	Sale ²⁵
6 transactions for 03/05/20. Total proceeds and cost reported to the IRS.							
	39.000	59.21	03/03/20	76.66	...	-17.45	1 of 6 - Sale ²⁵
	40.000	60.73	03/03/20	74.80	...	-14.07	2 of 6 - Sale ²⁵
	90.000	136.64	03/03/20	142.20	...	-5.56	3 of 6 - Sale ²⁵
	11.000	16.70	03/03/20	21.62	...	-4.92	4 of 6 - Sale ²⁵
	10.000	15.18	03/03/20	18.70	...	-3.52	5 of 6 - Sale ²⁵
	10.000	15.18	03/03/20	18.30	...	-3.12	6 of 6 - Sale ²⁵
03/05/20	200.000	303.64	Various	352.28	...	-48.64	Total of 6 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TROVAGENE, INC. COMMON STOCK / CUSIP: 897238606 / Symbol: (cont'd)							
3 transactions for 04/13/20. Total proceeds and cost reported to the IRS.							
	34.000	31.63	04/09/20	28.90	...	2.73	1 of 3 - Sale ²⁵
	53.000	49.31	04/09/20	45.58	...	3.73	2 of 3 - Sale ²⁵
	413.000	384.25	04/09/20	355.18	...	29.07	3 of 3 - Sale ²⁵
04/13/20	500.000	465.19	Various	429.66	...	35.53	Total of 3 transactions
2 transactions for 04/15/20. Total proceeds and cost reported to the IRS.							
	231.000	228.84	04/14/20	251.79	...	-22.95	1 of 2 - Sale ²⁵
	69.000	68.36	04/14/20	75.20	...	-6.84	2 of 2 - Sale ²⁵
04/15/20	300.000	297.20	Various	326.99	...	-29.79	Total of 2 transactions
	Security total:	1,364.12		1,457.81	50.79 W	-42.90	
TRUECAR, INC. COMMON STOCK / CUSIP: 89785L107 / Symbol:							
2 transactions for 02/24/20. Total proceeds and cost reported to the IRS.							
	1.000	3.25	02/21/20	3.48	...	-0.23	1 of 2 - Sale ²⁵
	150.000	487.51	02/21/20	458.76	...	28.75	2 of 2 - Sale ²⁵
02/24/20	151.000	490.76	Various	462.24	...	28.52	Total of 2 transactions
2 transactions for 02/24/20. Total proceeds and cost reported to the IRS.							
	50.000	162.50	02/21/20	166.36	3.86 W	0.00	1 of 2 - Sale ²⁵
	100.000	325.01	02/21/20	342.84	17.83 W	0.00	2 of 2 - Sale ²⁵
02/24/20	150.000	487.51	Various	509.20	21.69 W	0.00	Total of 2 transactions
2 transactions for 03/16/20. Total proceeds and cost reported to the IRS.							
	100.000	264.21	03/12/20	259.67	...	4.54	1 of 2 - Sale ²⁵
	50.000	132.10	03/12/20	124.78	...	7.32	2 of 2 - Sale ²⁵
03/16/20	150.000	396.31	Various	384.45	...	11.86	Total of 2 transactions
	Security total:	1,374.58		1,355.89	21.69 W	40.38	
T2 BIOSYSTEMS, INC. COMMON STO CK / CUSIP: 89853L104 / Symbol:							
9 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	55.000	43.99	05/19/20	68.08	...	-24.09	1 of 9 - Sale ²⁵
	25.000	20.00	05/19/20	30.93	...	-10.93	2 of 9 - Sale ²⁵
	20.000	16.00	05/19/20	24.75	...	-8.75	3 of 9 - Sale ²⁵
	50.000	39.99	05/19/20	48.25	...	-8.26	4 of 9 - Sale ²⁵
	17.000	13.60	05/19/20	21.03	...	-7.43	5 of 9 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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T2 BIOSYSTEMS, INC. COMMON STO CK / CUSIP: 89853L104 / Symbol: (cont'd)							
	15.000	12.00	05/19/20	18.58	...	-6.58	6 of 9 - Sale ²⁵
	10.000	8.00	05/19/20	12.38	...	-4.38	7 of 9 - Sale ²⁵
	5.000	4.00	05/19/20	6.19	...	-2.19	8 of 9 - Sale ²⁵
	3.000	2.40	05/19/20	3.71	...	-1.31	9 of 9 - Sale ²⁵
05/20/20	200.000	159.98	Various	233.90	...	-73.92	Total of 9 transactions
<i>9 transactions for 05/20/20. Total proceeds and cost reported to the IRS.</i>							
	65.000	51.99	05/19/20	60.78	8.79 W	0.00	1 of 9 - Sale ²⁵
	30.000	24.00	05/19/20	28.05	4.05 W	0.00	2 of 9 - Sale ²⁵
	55.000	43.99	05/19/20	51.42	7.43 W	0.00	3 of 9 - Sale ²⁵
	5.000	4.00	05/19/20	5.36	1.36 W	0.00	4 of 9 - Sale ²⁵
	20.000	16.00	05/19/20	21.44	5.44 W	0.00	5 of 9 - Sale ²⁵
	25.000	20.00	05/19/20	26.81	6.81 W	0.00	6 of 9 - Sale ²⁵
	15.000	12.00	05/19/20	16.10	4.10 W	0.00	7 of 9 - Sale ²⁵
	30.000	24.00	05/19/20	32.18	8.18 W	0.00	8 of 9 - Sale ²⁵
	55.000	43.99	05/19/20	59.00	15.01 W	0.00	9 of 9 - Sale ²⁵
05/20/20	300.000	239.97	Various	301.14	61.17 W	0.00	Total of 9 transactions
	Security total:	399.95		535.04	61.17 W	-73.92	
TUPPERWARE BRANDS CORPORATION / CUSIP: 899896104 / Symbol:							
<i>2 transactions for 02/27/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	309.98	02/25/20	318.63	...	-8.65	1 of 2 - Sale ²⁵
	100.000	309.98	02/26/20	345.90	...	-35.92	2 of 2 - Sale ²⁵
02/27/20	200.000	619.96	Various	664.53	...	-44.57	Total of 2 transactions
TUTOR PERINI CORPORATION / CUSIP: 901109108 / Symbol:							
05/22/20	50.000	471.00	05/21/20	485.54	...	-14.54	Sale ²⁵
TWILIO INC. / CUSIP: 90138F102 / Symbol:							
<i>2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	1,073.91	06/25/20	1,073.10	...	0.81	1 of 2 - Sale ²⁵
	5.000	1,074.46	06/25/20	1,069.57	...	4.89	2 of 2 - Sale ²⁵
06/25/20	10.000	2,148.37	Various	2,142.67	...	5.70	Total of 2 transactions
06/26/20	100.000	21,852.50	06/26/20	21,838.72	...	13.78	Sale ²⁵
	Security total:	24,000.87		23,981.39	...	19.48	

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
2 transactions for 03/18/20. Total proceeds and cost reported to the IRS.							
	10.000	229.75	03/17/20	250.17	20.42 W	0.00	1 of 2 - Sale ²⁵
	10.000	229.50	03/17/20	250.16	20.66 W	0.00	2 of 2 - Sale ²⁵
03/18/20	20.000	459.25	Various	500.33	41.08 W	0.00	Total of 2 transactions
2 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	10.000	258.59	03/25/20	282.06	...	-23.47	1 of 2 - Sale ²⁵
	10.000	258.59	03/25/20	281.82	...	-23.23	2 of 2 - Sale ²⁵
03/26/20	20.000	517.18	Various	563.88	...	-46.70	Total of 2 transactions
	Security total:	976.43		1,064.21	41.08 W	-46.70	
TWO HARBORS INVESTMENT CORP. / CUSIP: 90187B408 / Symbol:							
04/28/20	35.000	155.76	04/27/20	151.20	...	4.56	Sale ²⁵
05/21/20	50.000	237.50	05/20/20	238.50	1.00 W	0.00	Sale ²⁵
06/04/20	50.000	253.13	06/03/20	252.75	...	0.38	Sale ²⁵
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	50.000	261.62	06/19/20	260.00	...	1.62	1 of 2 - Sale ²⁵
	100.000	523.23	06/19/20	519.50	...	3.73	2 of 2 - Sale ²⁵
06/19/20	150.000	784.85	Various	779.50	...	5.35	Total of 2 transactions
06/25/20	250.000	1,310.57	06/25/20	1,317.00	...	-6.43	Sale ²⁵
	Security total:	2,741.81		2,738.95	1.00 W	3.86	
U.S. AUTO PARTS NETWORK, INC. COMMON STOCK / CUSIP: 90343C100 / Symbol:							
05/22/20	75.000	458.24	05/21/20	447.75	...	10.49	Sale ²⁵
05/29/20	50.000	346.00	05/28/20	325.00	...	21.00	Sale ²⁵
4 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	31.000	270.31	06/02/20	276.83	...	-6.52	1 of 4 - Sale ²⁵
	9.000	78.48	06/02/20	82.67	...	-4.19	2 of 4 - Sale ²⁵
	10.000	87.20	06/02/20	91.35	...	-4.15	3 of 4 - Sale ²⁵
	6.000	52.32	06/02/20	53.43	...	-1.11	4 of 4 - Sale ²⁵
06/03/20	56.000	488.31	Various	504.28	...	-15.97	Total of 4 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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U.S. AUTO PARTS NETWORK, INC. COMMON STOCK / CUSIP: 90343C100 / Symbol: (cont'd)							
2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	9.000	77.85	06/02/20	80.37	2.52 W	0.00	1 of 2 - Sale ²⁵
	10.000	87.00	06/02/20	89.30	2.30 W	0.00	2 of 2 - Sale ²⁵
06/03/20	19.000	164.85	Various	169.67	4.82 W	0.00	Total of 2 transactions
	Security total:	1,457.40		1,446.70	4.82 W	15.52	
UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol:							
2 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	15.000	332.09	03/19/20	308.40	...	23.69	1 of 2 - Sale ²⁵
	25.000	553.49	03/19/20	478.00	...	75.49	2 of 2 - Sale ²⁵
03/20/20	40.000	885.58	Various	786.40	...	99.18	Total of 2 transactions
05/21/20	15.000	515.27	05/20/20	517.05	1.43 W	-0.35	Sale ²⁵
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	2.000	68.04	06/15/20	65.16	...	2.88	1 of 2 - Sale ²⁵
	10.000	340.20	06/15/20	315.06	...	25.14	2 of 2 - Sale ²⁵
06/16/20	12.000	408.24	Various	380.22	...	28.02	Total of 2 transactions
	Security total:	1,809.09		1,683.67	1.43 W	126.85	
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
03/19/20	2.000	41.22	03/18/20	40.21	...	1.01	Sale ²⁵
2 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	4.000	148.00	03/24/20	131.69	...	16.31	1 of 2 - Sale ²⁵
	6.000	222.00	03/24/20	197.16	...	24.84	2 of 2 - Sale ²⁵
03/25/20	10.000	370.00	Various	328.85	...	41.15	Total of 2 transactions
2 transactions for 03/30/20. Total proceeds and cost reported to the IRS.							
	5.000	152.50	03/27/20	167.64	15.14 W	0.00	1 of 2 - Sale ²⁵
	10.000	285.00	03/27/20	335.27	50.27 W	0.00	2 of 2 - Sale ²⁵
03/30/20	15.000	437.50	Various	502.91	65.41 W	0.00	Total of 2 transactions
3 transactions for 04/01/20. Total proceeds and cost reported to the IRS.							
	5.000	148.75	03/31/20	173.14	24.39 W	0.00	1 of 3 - Sale ²⁵
	10.000	297.49	03/31/20	366.27	68.78 W	0.00	2 of 3 - Sale ²⁵
	5.000	148.74	03/31/20	158.00	9.26 W	0.00	3 of 3 - Sale ²⁵
04/01/20	20.000	594.98	Various	697.41	102.43 W	0.00	Total of 3 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol: (cont'd)							
3 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	5.000	127.55	04/07/20	165.74	38.19 W	0.00	1 of 3 - Sale ²⁵
	4.000	102.04	04/07/20	140.59	38.55 W	0.00	2 of 3 - Sale ²⁵
	1.000	25.51	04/07/20	35.15	9.64 W	0.00	3 of 3 - Sale ²⁵
04/08/20	10.000	255.10	Various	341.48	86.38 W	0.00	Total of 3 transactions
6 transactions for 04/20/20. Total proceeds and cost reported to the IRS.							
	1.000	27.70	04/16/20	38.43	10.73 W	0.00	1 of 6 - Sale ²⁵
	4.000	110.80	04/16/20	153.71	42.91 W	0.00	2 of 6 - Sale ²⁵
	5.000	138.50	04/16/20	182.14	43.64 W	0.00	3 of 6 - Sale ²⁵
	5.000	138.50	04/16/20	178.34	39.84 W	0.00	4 of 6 - Sale ²⁵
	5.000	138.49	04/16/20	153.20	14.71 W	0.00	5 of 6 - Sale ²⁵
	30.000	830.97	04/16/20	863.66	32.69 W	0.00	6 of 6 - Sale ²⁵
04/20/20	50.000	1,384.96	Various	1,569.48	184.52 W	0.00	Total of 6 transactions
6 transactions for 04/21/20. Total proceeds and cost reported to the IRS.							
	1.000	27.47	04/20/20	38.12	10.65 W	0.00	1 of 6 - Sale ²⁵
	4.000	109.88	04/20/20	152.47	42.59 W	0.00	2 of 6 - Sale ²⁵
	5.000	137.35	04/20/20	180.59	43.24 W	0.00	3 of 6 - Sale ²⁵
	5.000	137.35	04/20/20	176.79	39.44 W	0.00	4 of 6 - Sale ²⁵
	5.000	137.34	04/20/20	151.66	14.32 W	0.00	5 of 6 - Sale ²⁵
	30.000	824.07	04/20/20	854.39	30.32 W	0.00	6 of 6 - Sale ²⁵
04/21/20	50.000	1,373.46	Various	1,554.02	180.56 W	0.00	Total of 6 transactions
6 transactions for 04/23/20. Total proceeds and cost reported to the IRS.							
	1.000	26.03	04/22/20	37.45	11.42 W	0.00	1 of 6 - Sale ²⁵
	4.000	104.12	04/22/20	149.79	45.67 W	0.00	2 of 6 - Sale ²⁵
	5.000	130.15	04/22/20	177.24	47.09 W	0.00	3 of 6 - Sale ²⁵
	5.000	130.15	04/22/20	173.44	43.29 W	0.00	4 of 6 - Sale ²⁵
	5.000	130.15	04/22/20	148.32	18.17 W	0.00	5 of 6 - Sale ²⁵
	30.000	780.92	04/22/20	834.32	53.40 W	0.00	6 of 6 - Sale ²⁵
04/23/20	50.000	1,301.52	Various	1,520.56	219.04 W	0.00	Total of 6 transactions
04/30/20	5.000	147.49	04/28/20	147.07	...	0.42	Sale ²⁵
5 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	1.000	29.50	04/28/20	37.20	7.70 W	0.00	1 of 5 - Sale ²⁵
	4.000	118.00	04/28/20	148.79	30.79 W	0.00	2 of 5 - Sale ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol: (cont'd)							
	5.000	147.50	04/28/20	175.99	28.49 W	0.00	3 of 5 - Sale ²⁵
	5.000	147.50	04/28/20	172.19	24.69 W	0.00	4 of 5 - Sale ²⁵
	10.000	294.99	04/29/20	328.80	33.81 W	0.00	5 of 5 - Sale ²⁵
04/30/20	25.000	737.49	Various	862.97	125.48 W	0.00	Total of 5 transactions
	7 transactions for 05/01/20. Total proceeds and cost reported to the IRS.						
	1.000	28.05	04/30/20	38.31	10.26 W	0.00	1 of 7 - Sale ²⁵
	4.000	112.20	04/30/20	153.23	41.03 W	0.00	2 of 7 - Sale ²⁵
	5.000	140.25	04/30/20	181.54	41.29 W	0.00	3 of 7 - Sale ²⁵
	5.000	140.25	04/30/20	177.73	37.48 W	0.00	4 of 7 - Sale ²⁵
	10.000	280.49	04/30/20	339.90	59.41 W	0.00	5 of 7 - Sale ²⁵
	20.000	560.98	04/30/20	647.78	86.80 W	0.00	6 of 7 - Sale ²⁵
	5.000	140.24	04/30/20	153.04	12.80 W	0.00	7 of 7 - Sale ²⁵
05/01/20	50.000	1,402.46	Various	1,691.53	289.07 W	0.00	Total of 7 transactions
	9 transactions for 05/05/20. Total proceeds and cost reported to the IRS.						
	1.000	24.99	05/04/20	32.11	7.12 W	0.00	1 of 9 - Sale ²⁵
	2.000	49.98	05/04/20	68.16	18.18 W	0.00	2 of 9 - Sale ²⁵
	4.000	99.96	05/04/20	128.31	28.35 W	0.00	3 of 9 - Sale ²⁵
	1.000	24.99	05/04/20	34.10	9.11 W	0.00	4 of 9 - Sale ²⁵
	1.000	24.99	05/04/20	34.10	9.11 W	0.00	5 of 9 - Sale ²⁵
	1.000	24.99	05/04/20	34.11	9.12 W	0.00	6 of 9 - Sale ²⁵
	5.000	124.94	05/04/20	156.73	31.79 W	0.00	7 of 9 - Sale ²⁵
	10.000	249.89	05/04/20	297.91	48.02 W	0.00	8 of 9 - Sale ²⁵
	5.000	124.95	05/04/20	140.95	16.00 W	0.00	9 of 9 - Sale ²⁵
05/05/20	30.000	749.68	Various	926.48	176.80 W	0.00	Total of 9 transactions
	4 transactions for 05/06/20. Total proceeds and cost reported to the IRS.						
	1.000	24.01	05/05/20	32.10	8.09 W	0.00	1 of 4 - Sale ²⁵
	4.000	96.04	05/05/20	128.27	32.23 W	0.00	2 of 4 - Sale ²⁵
	15.000	360.15	05/05/20	439.80	79.65 W	0.00	3 of 4 - Sale ²⁵
	5.000	120.05	05/05/20	137.70	17.65 W	0.00	4 of 4 - Sale ²⁵
05/06/20	25.000	600.25	Various	737.87	137.62 W	0.00	Total of 4 transactions
	11 transactions for 05/11/20. Total proceeds and cost reported to the IRS.						
	1.000	23.48	05/06/20	31.50	8.02 W	0.00	1 of 11 - Sale ²⁵
	4.000	93.92	05/06/20	125.87	31.95 W	0.00	2 of 11 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol: (cont'd)							
	1.000	23.48	05/06/20	32.52	9.04 W	0.00	3 of 11 - Sale ²⁵
	1.000	23.48	05/06/20	32.52	9.04 W	0.00	4 of 11 - Sale ²⁵
	1.000	23.48	05/06/20	32.53	9.05 W	0.00	5 of 11 - Sale ²⁵
	2.000	46.96	05/06/20	65.00	18.04 W	0.00	6 of 11 - Sale ²⁵
	5.000	117.40	05/06/20	148.83	31.43 W	0.00	7 of 11 - Sale ²⁵
	10.000	234.79	05/06/20	282.11	47.32 W	0.00	8 of 11 - Sale ²⁵
	5.000	117.40	05/06/20	133.04	15.64 W	0.00	9 of 11 - Sale ²⁵
	15.000	352.18	05/06/20	430.79	78.61 W	0.00	10 of 11 - Sale ²⁵
	5.000	117.40	05/06/20	134.69	17.29 W	0.00	11 of 11 - Sale ²⁵
05/11/20	50.000	1,173.97	Various	1,449.40	275.43 W	0.00	Total of 11 transactions
	8 transactions for 05/12/20. Total proceeds and cost reported to the IRS.						
	1.000	23.74	05/11/20	31.80	8.06 W	0.00	1 of 8 - Sale ²⁵
	4.000	94.96	05/11/20	127.06	32.10 W	0.00	2 of 8 - Sale ²⁵
	1.000	23.74	05/11/20	32.82	9.08 W	0.00	3 of 8 - Sale ²⁵
	1.000	23.74	05/11/20	32.82	9.08 W	0.00	4 of 8 - Sale ²⁵
	1.000	23.74	05/11/20	32.83	9.09 W	0.00	5 of 8 - Sale ²⁵
	2.000	47.48	05/11/20	65.60	18.12 W	0.00	6 of 8 - Sale ²⁵
	5.000	118.69	05/11/20	150.31	31.62 W	0.00	7 of 8 - Sale ²⁵
	10.000	237.39	05/11/20	285.09	47.70 W	0.00	8 of 8 - Sale ²⁵
05/12/20	25.000	593.48	Various	758.33	164.85 W	0.00	Total of 8 transactions
	12 transactions for 05/14/20. Total proceeds and cost reported to the IRS.						
	1.000	19.53	05/13/20	28.71	9.18 W	0.00	1 of 12 - Sale ²⁵
	2.000	39.06	05/13/20	57.35	18.29 W	0.00	2 of 12 - Sale ²⁵
	2.000	39.04	05/13/20	57.35	18.31 W	0.00	3 of 12 - Sale ²⁵
	1.000	19.52	05/13/20	29.73	10.21 W	0.00	4 of 12 - Sale ²⁵
	1.000	19.52	05/13/20	29.73	10.21 W	0.00	5 of 12 - Sale ²⁵
	1.000	19.52	05/13/20	29.74	10.22 W	0.00	6 of 12 - Sale ²⁵
	2.000	39.04	05/13/20	59.42	20.38 W	0.00	7 of 12 - Sale ²⁵
	5.000	97.60	05/13/20	134.87	37.27 W	0.00	8 of 12 - Sale ²⁵
	10.000	195.19	05/13/20	254.20	59.01 W	0.00	9 of 12 - Sale ²⁵
	5.000	97.60	05/13/20	118.89	21.29 W	0.00	10 of 12 - Sale ²⁵
	15.000	292.79	05/13/20	388.36	95.57 W	0.00	11 of 12 - Sale ²⁵
	5.000	97.59	05/13/20	120.54	22.95 W	0.00	12 of 12 - Sale ²⁵
05/14/20	50.000	976.00	Various	1,308.89	332.89 W	0.00	Total of 12 transactions

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol: (cont'd)							
<i>9 transactions for 05/15/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	19.69	05/14/20	29.13	9.44 W	0.00	1 of 9 - Sale ²⁵
	2.000	39.38	05/14/20	58.19	18.81 W	0.00	2 of 9 - Sale ²⁵
	2.000	39.38	05/14/20	58.20	18.82 W	0.00	3 of 9 - Sale ²⁵
	1.000	19.69	05/14/20	30.16	10.47 W	0.00	4 of 9 - Sale ²⁵
	1.000	19.69	05/14/20	30.16	10.47 W	0.00	5 of 9 - Sale ²⁵
	1.000	19.69	05/14/20	30.17	10.48 W	0.00	6 of 9 - Sale ²⁵
	2.000	39.38	05/14/20	60.27	20.89 W	0.00	7 of 9 - Sale ²⁵
	5.000	98.45	05/14/20	137.01	38.56 W	0.00	8 of 9 - Sale ²⁵
	10.000	196.90	05/14/20	258.48	61.58 W	0.00	9 of 9 - Sale ²⁵
05/15/20	25.000	492.25	Various	691.77	199.52 W	0.00	Total of 9 transactions
<i>4 transactions for 05/19/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	24.25	05/18/20	25.81	1.56 W	0.00	1 of 4 - Sale ²⁵
	4.000	97.00	05/18/20	103.31	6.31 W	0.00	2 of 4 - Sale ²⁵
	15.000	363.74	05/18/20	435.43	71.69 W	0.00	3 of 4 - Sale ²⁵
	5.000	121.24	05/18/20	136.24	15.00 W	0.00	4 of 4 - Sale ²⁵
05/19/20	25.000	606.23	Various	700.79	94.56 W	0.00	Total of 4 transactions
<i>9 transactions for 05/20/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	24.53	05/19/20	33.57	9.04 W	0.00	1 of 9 - Sale ²⁵
	2.000	49.06	05/19/20	67.07	18.01 W	0.00	2 of 9 - Sale ²⁵
	2.000	49.06	05/19/20	67.08	18.02 W	0.00	3 of 9 - Sale ²⁵
	1.000	24.53	05/19/20	34.60	10.07 W	0.00	4 of 9 - Sale ²⁵
	1.000	24.53	05/19/20	34.60	10.07 W	0.00	5 of 9 - Sale ²⁵
	1.000	24.53	05/19/20	34.61	10.08 W	0.00	6 of 9 - Sale ²⁵
	2.000	49.06	05/19/20	69.15	20.09 W	0.00	7 of 9 - Sale ²⁵
	5.000	122.65	05/19/20	159.21	36.56 W	0.00	8 of 9 - Sale ²⁵
	5.000	122.65	05/19/20	151.44	28.79 W	0.00	9 of 9 - Sale ²⁵
05/20/20	20.000	490.60	Various	651.33	160.73 W	0.00	Total of 9 transactions
<i>5 transactions for 05/22/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	52.00	05/21/20	64.69	12.69 W	0.00	1 of 5 - Sale ²⁵
	3.000	78.00	05/21/20	97.04	19.04 W	0.00	2 of 5 - Sale ²⁵
	1.000	26.00	05/21/20	27.75	1.75 W	0.00	3 of 5 - Sale ²⁵
	4.000	104.00	05/21/20	111.06	7.06 W	0.00	4 of 5 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol: (cont'd)							
	10.000	260.00	05/21/20	309.67	49.67 W	0.00	5 of 5 - Sale ²⁵
05/22/20	20.000	520.00	Various	610.21	90.21 W	0.00	Total of 5 transactions
	9 transactions for 05/29/20. Total proceeds and cost reported to the IRS.						
	5.000	137.95	05/28/20	171.35	33.40 W	0.00	1 of 9 - Sale ²⁵
	5.000	137.94	05/28/20	162.45	24.51 W	0.00	2 of 9 - Sale ²⁵
	1.000	27.59	05/28/20	38.53	10.94 W	0.00	3 of 9 - Sale ²⁵
	2.000	55.18	05/28/20	76.99	21.81 W	0.00	4 of 9 - Sale ²⁵
	2.000	55.18	05/28/20	77.00	21.82 W	0.00	5 of 9 - Sale ²⁵
	1.000	27.59	05/28/20	39.56	11.97 W	0.00	6 of 9 - Sale ²⁵
	1.000	27.58	05/28/20	39.56	11.98 W	0.00	7 of 9 - Sale ²⁵
	1.000	27.59	05/28/20	39.57	11.98 W	0.00	8 of 9 - Sale ²⁵
	2.000	55.18	05/28/20	79.07	23.89 W	0.00	9 of 9 - Sale ²⁵
05/29/20	20.000	551.78	Various	724.08	172.30 W	0.00	Total of 9 transactions
	2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.						
	1.000	29.79	05/29/20	29.24	...	0.55	1 of 2 - Sale ²⁵
	4.000	119.16	05/29/20	117.02	...	2.14	2 of 2 - Sale ²⁵
06/02/20	5.000	148.95	Various	146.26	...	2.69	Total of 2 transactions
	16 transactions for 06/02/20. Total proceeds and cost reported to the IRS.						
	5.000	148.95	05/29/20	174.01	25.06 W	0.00	1 of 16 - Sale ²⁵
	2.000	59.58	05/29/20	67.67	8.09 W	0.00	2 of 16 - Sale ²⁵
	2.000	59.58	05/29/20	67.67	8.09 W	0.00	3 of 16 - Sale ²⁵
	5.000	148.95	05/29/20	166.24	17.29 W	0.00	4 of 16 - Sale ²⁵
	1.000	29.79	05/29/20	33.84	4.05 W	0.00	5 of 16 - Sale ²⁵
	5.000	148.94	05/29/20	162.29	13.35 W	0.00	6 of 16 - Sale ²⁵
	5.000	148.94	05/29/20	165.73	16.79 W	0.00	7 of 16 - Sale ²⁵
	5.000	148.95	05/29/20	174.30	25.35 W	0.00	8 of 16 - Sale ²⁵
	5.000	148.94	05/29/20	165.41	16.47 W	0.00	9 of 16 - Sale ²⁵
	1.000	29.79	06/01/20	39.61	9.82 W	0.00	10 of 16 - Sale ²⁵
	2.000	59.58	06/01/20	79.15	19.57 W	0.00	11 of 16 - Sale ²⁵
	2.000	59.58	06/01/20	79.16	19.58 W	0.00	12 of 16 - Sale ²⁵
	1.000	29.79	06/01/20	40.64	10.85 W	0.00	13 of 16 - Sale ²⁵
	1.000	29.79	06/01/20	40.65	10.86 W	0.00	14 of 16 - Sale ²⁵
	1.000	29.78	06/01/20	40.65	10.87 W	0.00	15 of 16 - Sale ²⁵

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(continued)

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06/02/20	2.000	59.58	06/01/20	81.23	21.65 W	0.00	16 of 16 - Sale ²⁵
	45.000	1,340.51	Various	1,578.25	237.74 W	0.00	Total of 16 transactions
	2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	5.000	174.89	06/03/20	174.74	...	0.15	1 of 2 - Sale ²⁵
	5.000	174.89	06/03/20	171.30	...	3.59	2 of 2 - Sale ²⁵
06/04/20	10.000	349.78	Various	346.04	...	3.74	Total of 2 transactions
	7 transactions for 06/04/20. Total proceeds and cost reported to the IRS.						
	5.000	174.90	06/03/20	183.01	8.11 W	0.00	1 of 7 - Sale ²⁵
	1.000	34.98	06/03/20	35.64	0.66 W	0.00	2 of 7 - Sale ²⁵
	2.000	69.96	06/03/20	71.27	1.31 W	0.00	3 of 7 - Sale ²⁵
	2.000	69.96	06/03/20	71.27	1.31 W	0.00	4 of 7 - Sale ²⁵
	5.000	174.90	06/03/20	175.24	0.34 W	0.00	5 of 7 - Sale ²⁵
	5.000	174.89	06/03/20	184.80	9.91 W	0.00	6 of 7 - Sale ²⁵
	5.000	174.90	06/03/20	175.91	1.01 W	0.00	7 of 7 - Sale ²⁵
06/04/20	25.000	874.49	Various	897.14	22.65 W	0.00	Total of 7 transactions
	5 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	1.000	44.85	06/04/20	40.10	...	4.75	1 of 5 - Sale ²⁵
	2.000	89.69	06/04/20	80.19	...	9.50	2 of 5 - Sale ²⁵
	2.000	89.70	06/04/20	80.19	...	9.51	3 of 5 - Sale ²⁵
	5.000	224.24	06/04/20	190.36	...	33.88	4 of 5 - Sale ²⁵
	5.000	224.24	06/04/20	182.59	...	41.65	5 of 5 - Sale ²⁵
06/05/20	15.000	672.72	Various	573.43	...	99.29	Total of 5 transactions
	7 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	1.000	44.85	06/04/20	46.27	1.42 W	0.00	1 of 7 - Sale ²⁵
	2.000	89.70	06/04/20	92.47	2.77 W	0.00	2 of 7 - Sale ²⁵
	2.000	89.70	06/04/20	92.48	2.78 W	0.00	3 of 7 - Sale ²⁵
	1.000	44.85	06/04/20	47.30	2.45 W	0.00	4 of 7 - Sale ²⁵
	1.000	44.85	06/04/20	47.31	2.46 W	0.00	5 of 7 - Sale ²⁵
	1.000	44.85	06/04/20	47.32	2.47 W	0.00	6 of 7 - Sale ²⁵
	2.000	89.70	06/04/20	94.55	4.85 W	0.00	7 of 7 - Sale ²⁵
06/05/20	10.000	448.50	Various	467.70	19.20 W	0.00	Total of 7 transactions

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(continued)

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UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol: (cont'd)							
<i>10 transactions for 06/09/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	226.54	06/05/20	238.79	12.25 W	0.00	1 of 10 - Sale ²⁵
	5.000	226.55	06/05/20	229.89	3.34 W	0.00	2 of 10 - Sale ²⁵
	1.000	45.31	06/08/20	48.77	3.46 W	0.00	3 of 10 - Sale ²⁵
	2.000	90.62	06/08/20	97.47	6.85 W	0.00	4 of 10 - Sale ²⁵
	2.000	90.62	06/08/20	97.48	6.86 W	0.00	5 of 10 - Sale ²⁵
	1.000	45.31	06/08/20	49.80	4.49 W	0.00	6 of 10 - Sale ²⁵
	1.000	45.31	06/08/20	49.81	4.50 W	0.00	7 of 10 - Sale ²⁵
	1.000	45.31	06/08/20	49.82	4.51 W	0.00	8 of 10 - Sale ²⁵
	2.000	90.62	06/08/20	99.55	8.93 W	0.00	9 of 10 - Sale ²⁵
	5.000	226.53	06/08/20	236.75	10.22 W	0.00	10 of 10 - Sale ²⁵
06/09/20	25.000	1,132.72	Various	1,198.13	65.41 W	0.00	Total of 10 transactions
<i>2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	183.40	06/12/20	202.80	19.40 W	0.00	1 of 2 - Sale ²⁵
	5.000	183.40	06/12/20	193.88	10.48 W	0.00	2 of 2 - Sale ²⁵
06/15/20	10.000	366.80	Various	396.68	29.88 W	0.00	Total of 2 transactions
<i>10 transactions for 06/16/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	42.00	06/15/20	40.75	...	1.25	1 of 10 - Sale ²⁵
	1.000	42.00	06/15/20	40.74	...	1.26	2 of 10 - Sale ²⁵
	1.000	42.00	06/15/20	40.73	...	1.27	3 of 10 - Sale ²⁵
	1.000	42.00	06/15/20	39.70	...	2.30	4 of 10 - Sale ²⁵
	2.000	84.00	06/15/20	81.41	...	2.59	5 of 10 - Sale ²⁵
	2.000	84.00	06/15/20	79.34	...	4.66	6 of 10 - Sale ²⁵
	2.000	84.00	06/15/20	79.33	...	4.67	7 of 10 - Sale ²⁵
	5.000	209.99	06/15/20	200.60	...	9.39	8 of 10 - Sale ²⁵
	5.000	209.99	06/15/20	191.68	...	18.31	9 of 10 - Sale ²⁵
	5.000	209.99	06/15/20	191.42	...	18.57	10 of 10 - Sale ²⁵
06/16/20	25.000	1,049.97	Various	985.70	...	64.27	Total of 10 transactions
06/17/20	20.000	788.41	06/16/20	817.60	29.19 W	0.00	Sale ²⁵
06/19/20	10.000	394.76	06/18/20	410.75	15.99 W	0.00	Sale ²⁵
<i>3 transactions for 06/24/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	333.44	06/24/20	349.99	16.55 W	0.00	1 of 3 - Sale ²⁵
	10.000	333.44	06/24/20	348.59	15.15 W	0.00	2 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol: (cont'd)							
06/24/20	30.000	1,000.33	06/24/20	1,002.00	1.67 W	0.00	3 of 3 - Sale ²⁵
06/24/20	50.000	1,667.21	Various	1,700.58	33.37 W	0.00	Total of 3 transactions
4 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	10.000	339.04	06/29/20	354.95	...	-15.91	1 of 4 - Sale ²⁵
	10.000	339.04	06/29/20	353.55	...	-14.51	2 of 4 - Sale ²⁵
	29.000	983.23	06/29/20	982.95	...	0.28	3 of 4 - Sale ²⁵
	1.000	33.90	06/29/20	33.06	...	0.84	4 of 4 - Sale ²⁵
06/29/20	50.000	1,695.21	Various	1,724.51	...	-29.30	Total of 4 transactions
3 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	10.000	329.09	06/29/20	346.55	17.46 W	0.00	1 of 3 - Sale ²⁵
	10.000	329.09	06/29/20	345.15	16.06 W	0.00	2 of 3 - Sale ²⁵
	29.000	954.37	06/29/20	958.61	4.24 W	0.00	3 of 3 - Sale ²⁵
06/29/20	49.000	1,612.55	Various	1,650.31	37.76 W	0.00	Total of 3 transactions
	Security total:	27,343.00		30,708.72	3,548.99 W	183.27	
UNITED NATURAL FOODS INC / CUSIP: 911163103 / Symbol:							
3 transactions for 05/14/20. Total proceeds and cost reported to the IRS.							
	10.000	200.24	05/12/20	160.50	...	39.74	1 of 3 - Sale ²⁵
	10.000	200.24	05/13/20	223.20	...	-22.96	2 of 3 - Sale ²⁵
	10.000	200.24	05/13/20	211.50	...	-11.26	3 of 3 - Sale ²⁵
05/14/20	30.000	600.72	Various	595.20	...	5.52	Total of 3 transactions
UNITED STATES OIL FUND, LP / CUSIP: 91232N108 / Symbol:							
04/21/20	100.000	305.99	04/20/20	381.50	...	-75.51	Sale ²⁵
UPWORK INC. COMMON STOCK / CUSIP: 91688F104 / Symbol:							
03/05/20	50.000	406.01	03/04/20	408.44	2.43 W	0.00	Sale ²⁵
2 transactions for 03/09/20. Total proceeds and cost reported to the IRS.							
	2.000	15.00	03/06/20	16.82	1.82 W	0.00	1 of 2 - Sale ²⁵
	38.000	277.40	03/06/20	319.52	42.12 W	0.00	2 of 2 - Sale ²⁵
03/09/20	40.000	292.40	Various	336.34	43.94 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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UPWORK INC. COMMON STOCK / CUSIP: 91688F104 / Symbol: (cont'd)							
3 transactions for 04/03/20. Total proceeds and cost reported to the IRS.							
	38.000	219.64	04/02/20	277.43	...	-57.79	1 of 3 - Sale ²⁵
	10.000	57.80	04/02/20	62.41	...	-4.61	2 of 3 - Sale ²⁵
	2.000	11.56	04/02/20	14.20	...	-2.64	3 of 3 - Sale ²⁵
04/03/20	50.000	289.00	Various	354.04	...	-65.04	Total of 3 transactions
7 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	7.000	94.20	06/22/20	96.18	1.98 W	0.00	1 of 7 - Sale ²⁵
	5.000	67.29	06/22/20	68.75	1.46 W	0.00	2 of 7 - Sale ²⁵
	1.000	13.46	06/22/20	13.75	0.29 W	0.00	3 of 7 - Sale ²⁵
	1.000	13.46	06/22/20	13.75	0.29 W	0.00	4 of 7 - Sale ²⁵
	25.000	336.42	06/22/20	343.75	7.33 W	0.00	5 of 7 - Sale ²⁵
	6.000	80.74	06/22/20	82.80	2.06 W	0.00	6 of 7 - Sale ²⁵
	20.000	269.14	06/22/20	280.40	11.26 W	0.00	7 of 7 - Sale ²⁵
06/23/20	65.000	874.71	Various	899.38	24.67 W	0.00	Total of 7 transactions
8 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	20.000	282.43	06/25/20	295.26	...	-12.83	1 of 8 - Sale ²⁵
	25.000	353.03	06/25/20	362.33	...	-9.30	2 of 8 - Sale ²⁵
	35.000	494.24	06/25/20	497.00	...	-2.76	3 of 8 - Sale ²⁵
	6.000	84.73	06/25/20	87.26	...	-2.53	4 of 8 - Sale ²⁵
	7.000	98.85	06/25/20	101.38	...	-2.53	5 of 8 - Sale ²⁵
	5.000	70.61	06/25/20	72.46	...	-1.85	6 of 8 - Sale ²⁵
	1.000	14.12	06/25/20	14.49	...	-0.37	7 of 8 - Sale ²⁵
	1.000	14.12	06/25/20	14.49	...	-0.37	8 of 8 - Sale ²⁵
06/25/20	100.000	1,412.13	Various	1,444.67	...	-32.54	Total of 8 transactions
	Security total:	3,274.25		3,442.87	71.04 W	-97.58	

URBAN ONE, INC. CLASS A COMMON STOCK / CUSIP: 91705J105 / Symbol:

6 transactions for 06/16/20. Total proceeds and cost reported to the IRS.

	10.000	51.70	06/15/20	51.70	...	0.00	1 of 6 - Sale ²⁵
	10.000	319.99	06/16/20	141.20	...	178.79	2 of 6 - Sale ²⁵
	15.000	479.99	06/16/20	268.05	...	211.94	3 of 6 - Sale ²⁵
	25.000	799.97	06/16/20	382.65	...	417.32	4 of 6 - Sale ²⁵
	25.000	799.98	06/16/20	375.38	...	424.60	5 of 6 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
URBAN ONE, INC. CLASS A COMMON STOCK / CUSIP: 91705J105 / Symbol: (cont'd)							
06/16/20	40.000	1,279.97	06/16/20	519.20	...	760.77	6 of 6 - Sale ²⁵
06/16/20	125.000	3,731.60	Various	1,738.18	...	1,993.42	Total of 6 transactions
	2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	16.000	464.00	06/16/20	613.60	149.60 W	0.00	1 of 2 - Sale ²⁵
	34.000	985.97	06/16/20	1,303.90	317.93 W	0.00	2 of 2 - Sale ²⁵
06/16/20	50.000	1,449.97	Various	1,917.50	467.53 W	0.00	Total of 2 transactions
06/17/20	10.000	220.00	06/16/20	348.50	128.50 W	0.00	Sale ²⁵
	8 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	1.000	35.96	06/18/20	22.95	...	13.01	1 of 8 - Sale ²⁵
	4.000	165.00	06/18/20	93.64	...	71.36	2 of 8 - Sale ²⁵
	5.000	205.74	06/18/20	121.01	...	84.73	3 of 8 - Sale ²⁵
	6.000	247.50	06/18/20	140.46	...	107.04	4 of 8 - Sale ²⁵
	10.000	411.49	06/18/20	272.50	...	138.99	5 of 8 - Sale ²⁵
	10.000	411.49	06/18/20	234.11	...	177.38	6 of 8 - Sale ²⁵
	15.000	617.23	06/18/20	356.26	...	260.97	7 of 8 - Sale ²⁵
	24.000	987.58	06/18/20	550.80	...	436.78	8 of 8 - Sale ²⁵
06/19/20	75.000	3,081.99	Various	1,791.73	...	1,290.26	Total of 8 transactions
	4 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	9.000	323.63	06/19/20	396.00	72.37 W	0.00	1 of 4 - Sale ²⁵
	5.000	179.79	06/19/20	224.25	44.46 W	0.00	2 of 4 - Sale ²⁵
	1.000	40.60	06/19/20	50.37	9.77 W	0.00	3 of 4 - Sale ²⁵
	9.000	365.40	06/19/20	445.69	80.29 W	0.00	4 of 4 - Sale ²⁵
06/19/20	24.000	909.42	Various	1,116.31	206.89 W	0.00	Total of 4 transactions
	6 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	9.000	274.49	06/22/20	365.97	...	-91.48	1 of 6 - Sale ²⁵
	25.000	508.48	06/22/20	567.04	...	-58.56	2 of 6 - Sale ²⁵
	36.000	1,097.97	06/22/20	1,142.70	...	-44.73	3 of 6 - Sale ²⁵
	3.000	91.50	06/22/20	121.90	...	-30.40	4 of 6 - Sale ²⁵
	1.000	30.50	06/22/20	41.51	...	-11.01	5 of 6 - Sale ²⁵
	1.000	30.50	06/22/20	39.95	...	-9.45	6 of 6 - Sale ²⁵
06/22/20	75.000	2,033.44	Various	2,279.07	...	-245.63	Total of 6 transactions

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Account 412265225

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2020 1099-B* OMB No. 1545-0715

(continued)

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URBAN ONE, INC. CLASS A COMMON STOCK / CUSIP: 91705J105 / Symbol: (cont'd)							
<i>12 transactions for 06/22/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	1,527.96	06/22/20	1,582.04	27.04 W	-27.04	1 of 12 - Sale ²⁵
	1.000	30.65	06/22/20	38.87	8.22 W	0.00	2 of 12 - Sale ²⁵
	1.000	30.65	06/22/20	40.43	9.78 W	0.00	3 of 12 - Sale ²⁵
	3.000	91.95	06/22/20	118.66	26.71 W	0.00	4 of 12 - Sale ²⁵
	9.000	275.84	06/22/20	356.23	80.39 W	0.00	5 of 12 - Sale ²⁵
	36.000	1,103.37	06/22/20	1,103.76	0.39 W	0.00	6 of 12 - Sale ²⁵
	50.000	1,532.46	06/22/20	1,564.50	32.04 W	0.00	7 of 12 - Sale ²⁵
	1.000	30.56	06/22/20	39.51	8.95 W	0.00	8 of 12 - Sale ²⁵
	1.000	30.56	06/22/20	41.07	10.51 W	0.00	9 of 12 - Sale ²⁵
	3.000	91.68	06/22/20	120.58	28.90 W	0.00	10 of 12 - Sale ²⁵
	9.000	275.03	06/22/20	362.00	86.97 W	0.00	11 of 12 - Sale ²⁵
	36.000	1,100.13	06/22/20	1,126.83	26.70 W	0.00	12 of 12 - Sale ²⁵
06/22/20	200.000	6,120.84	Various	6,494.48	346.60 W	-27.04	Total of 12 transactions
	Security total:	17,547.26		15,685.77	1,149.52 W	3,011.01	
URBAN ONE, INC. CLASS D COMMON STOCK / CUSIP: 91705J204 / Symbol:							
<i>3 transactions for 07/06/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	255.98	07/06/20	257.00	...	-1.02	1 of 3 - Sale ²⁵
	30.000	76.80	07/06/20	77.41	...	-0.61	2 of 3 - Sale ²⁵
	20.000	51.20	07/06/20	51.60	...	-0.40	3 of 3 - Sale ²⁵
07/06/20	150.000	383.98	Various	386.01	...	-2.03	Total of 3 transactions
<i>2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.</i>							
	180.000	460.76	07/06/20	462.60	0.31 W	-1.53	1 of 2 - Sale ²⁵
	20.000	51.20	07/06/20	51.40	0.20 W	0.00	2 of 2 - Sale ²⁵
07/06/20	200.000	511.96	Various	514.00	0.51 W	-1.53	Total of 2 transactions
	Security total:	895.94		900.01	0.51 W	-3.56	
VBI VACCINES, INC. NEW COMMON STOCK (CANADA) / CUSIP: 91822J103 / Symbol:							
03/12/20	250.000	210.65	03/11/20	274.98	...	-64.33	Sale ²⁵
04/23/20	250.000	282.77	04/22/20	287.20	4.43 W	0.00	Sale ²⁵
05/07/20	100.000	131.12	05/06/20	138.64	6.24 W	-1.28	Sale ²⁵
05/13/20	10.000	16.41	05/12/20	16.38	...	0.03	Sale ²⁵
05/13/20	90.000	147.71	05/12/20	159.07	11.36 W	0.00	Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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VBI VACCINES, INC. NEW COMMON STOCK (CANADA) / CUSIP: 91822J103 / Symbol: (cont'd)							
3 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	10.000	19.50	05/15/20	22.78	3.28 W	0.00	1 of 3 - Sale ²⁵
	50.000	97.49	05/15/20	115.26	17.77 W	0.00	2 of 3 - Sale ²⁵
	40.000	78.00	05/15/20	89.91	11.91 W	0.00	3 of 3 - Sale ²⁵
05/18/20	100.000	194.99	Various	227.95	32.96 W	0.00	Total of 3 transactions
05/20/20	33.000	87.87	05/19/20	89.60	1.73 W	0.00	Sale ²⁵
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	10.000	22.50	06/09/20	25.58	3.08 W	0.00	1 of 2 - Sale ²⁵
	90.000	202.51	06/09/20	212.06	9.55 W	0.00	2 of 2 - Sale ²⁵
06/10/20	100.000	225.01	Various	237.64	12.63 W	0.00	Total of 2 transactions
4 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	90.000	233.98	06/15/20	233.65	...	0.33	1 of 4 - Sale ²⁵
	10.000	26.00	06/15/20	24.71	...	1.29	2 of 4 - Sale ²⁵
	23.000	59.80	06/15/20	58.48	...	1.32	3 of 4 - Sale ²⁵
	27.000	70.19	06/15/20	67.23	...	2.96	4 of 4 - Sale ²⁵
06/16/20	150.000	389.97	Various	384.07	...	5.90	Total of 4 transactions
3 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	50.000	129.99	06/15/20	138.71	8.72 W	0.00	1 of 3 - Sale ²⁵
	40.000	103.99	06/15/20	108.65	4.66 W	0.00	2 of 3 - Sale ²⁵
	10.000	26.00	06/15/20	27.98	1.98 W	0.00	3 of 3 - Sale ²⁵
06/16/20	100.000	259.98	Various	275.34	15.36 W	0.00	Total of 3 transactions
06/25/20	100.000	270.99	06/25/20	267.99	...	3.00	Sale ²⁵
3 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	10.000	27.10	06/25/20	28.78	1.68 W	0.00	1 of 3 - Sale ²⁵
	50.000	135.50	06/25/20	142.72	7.22 W	0.00	2 of 3 - Sale ²⁵
	40.000	108.39	06/25/20	111.85	3.46 W	0.00	3 of 3 - Sale ²⁵
06/25/20	100.000	270.99	Various	283.35	12.36 W	0.00	Total of 3 transactions
7 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	10.000	31.51	06/25/20	30.88	...	0.63	1 of 7 - Sale ²⁵
	17.000	53.57	06/25/20	52.09	...	1.48	2 of 7 - Sale ²⁵
	17.000	53.57	06/25/20	51.28	...	2.29	3 of 7 - Sale ²⁵
	33.000	103.99	06/25/20	101.13	...	2.86	4 of 7 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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VBI VACCINES, INC. NEW COMMON STOCK (CANADA) / CUSIP: 91822J103 / Symbol: (cont'd)							
	23.000	72.47	06/25/20	69.15	...	3.32	5 of 7 - Sale ²⁵
	50.000	157.55	06/25/20	146.50	...	11.05	6 of 7 - Sale ²⁵
	100.000	315.11	06/26/20	332.99	...	-17.88	7 of 7 - Sale ²⁵
06/26/20	250.000	787.77	Various	784.02	...	3.75	Total of 7 transactions
	Security total:	3,276.23		3,426.23	97.07 W	-52.93	
VTV THERAPEUTICS INC. CLASS A COMMON STOCK / CUSIP: 918385105 / Symbol:							
02/14/20	50.000	145.50	02/13/20	155.50	10.00 W	0.00	Sale ²⁵
02/20/20	50.000	144.45	02/19/20	152.00	7.55 W	0.00	Sale ²⁵
	6 transactions for 03/17/20. Total proceeds and cost reported to the IRS.						
	18.000	32.04	03/16/20	44.12	...	-12.08	1 of 6 - Sale ²⁵
	43.000	76.54	03/16/20	88.15	...	-11.61	2 of 6 - Sale ²⁵
	16.000	28.48	03/16/20	35.21	...	-6.73	3 of 6 - Sale ²⁵
	23.000	40.93	03/16/20	47.61	...	-6.68	4 of 6 - Sale ²⁵
	10.000	17.80	03/16/20	24.41	...	-6.61	5 of 6 - Sale ²⁵
	6.000	10.68	03/16/20	14.71	...	-4.03	6 of 6 - Sale ²⁵
03/17/20	116.000	206.47	Various	254.21	...	-47.74	Total of 6 transactions
	3 transactions for 03/17/20. Total proceeds and cost reported to the IRS.						
	10.000	18.30	03/16/20	22.01	3.71 W	0.00	1 of 3 - Sale ²⁵
	6.000	10.92	03/16/20	13.21	2.29 W	0.00	2 of 3 - Sale ²⁵
	18.000	32.76	03/16/20	39.62	6.86 W	0.00	3 of 3 - Sale ²⁵
03/17/20	34.000	61.98	Various	74.84	12.86 W	0.00	Total of 3 transactions
	Security total:	558.40		636.55	30.41 W	-47.74	
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol:							
	2 transactions for 03/02/20. Total proceeds and cost reported to the IRS.						
	150.000	416.97	02/28/20	474.00	57.03 W	0.00	1 of 2 - Sale ²⁵
	100.000	277.98	02/28/20	319.98	42.00 W	0.00	2 of 2 - Sale ²⁵
03/02/20	250.000	694.95	Various	793.98	99.03 W	0.00	Total of 2 transactions
	3 transactions for 03/10/20. Total proceeds and cost reported to the IRS.						
	150.000	358.47	03/05/20	408.57	50.10 W	0.00	1 of 3 - Sale ²⁵
	100.000	238.98	03/09/20	339.00	100.02 W	0.00	2 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	50.000	119.49	03/09/20	148.50	29.01 W	0.00	3 of 3 - Sale ²⁵
03/10/20	300.000	716.94	Various	896.07	179.13 W	0.00	Total of 3 transactions
03/13/20	100.000	166.99	03/12/20	246.49	79.50 W	0.00	Sale ²⁵
	2 transactions for 03/24/20. Total proceeds and cost reported to the IRS.						
	50.000	91.00	03/23/20	148.98	...	-57.98	1 of 2 - Sale ²⁵
	50.000	90.99	03/23/20	127.98	...	-36.99	2 of 2 - Sale ²⁵
03/24/20	100.000	181.99	Various	276.96	...	-94.97	Total of 2 transactions
	2 transactions for 03/24/20. Total proceeds and cost reported to the IRS.						
	50.000	91.00	03/23/20	115.67	24.67 W	0.00	1 of 2 - Sale ²⁵
	50.000	90.99	03/23/20	148.98	57.99 W	0.00	2 of 2 - Sale ²⁵
03/24/20	100.000	181.99	Various	264.65	82.66 W	0.00	Total of 2 transactions
	3 transactions for 03/31/20. Total proceeds and cost reported to the IRS.						
	100.000	184.01	03/30/20	261.50	...	-77.49	1 of 3 - Sale ²⁵
	50.000	92.00	03/30/20	148.99	...	-56.99	2 of 3 - Sale ²⁵
	50.000	92.01	03/30/20	115.67	...	-23.66	3 of 3 - Sale ²⁵
03/31/20	200.000	368.02	Various	526.16	...	-158.14	Total of 3 transactions
	14 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	1.000	6.26	06/25/20	5.82	...	0.44	1 of 14 - Sale ²⁵
	1.000	6.26	06/25/20	5.56	...	0.70	2 of 14 - Sale ²⁵
	50.000	312.99	06/25/20	310.51	...	2.48	3 of 14 - Sale ²⁵
	49.000	306.73	06/25/20	285.67	...	21.06	4 of 14 - Sale ²⁵
	50.000	215.00	06/25/20	183.50	...	31.50	5 of 14 - Sale ²⁵
	50.000	215.00	06/25/20	183.50	...	31.50	6 of 14 - Sale ²⁵
	93.000	582.16	06/25/20	537.54	...	44.62	7 of 14 - Sale ²⁵
	100.000	625.97	06/25/20	556.00	...	69.97	8 of 14 - Sale ²⁵
	150.000	938.95	06/25/20	834.00	...	104.95	9 of 14 - Sale ²⁵
	300.000	1,877.92	06/25/20	1,719.00	...	158.92	10 of 14 - Sale ²⁵
	407.000	2,547.71	06/25/20	2,352.46	...	195.25	11 of 14 - Sale ²⁵
	449.000	2,810.62	06/25/20	2,613.18	...	197.44	12 of 14 - Sale ²⁵
	700.000	4,381.82	06/25/20	4,018.00	...	363.82	13 of 14 - Sale ²⁵
	699.000	4,375.56	06/25/20	3,886.44	...	489.12	14 of 14 - Sale ²⁵
06/25/20	3,099.000	19,202.95	Various	17,491.18	...	1,711.77	Total of 14 transactions
06/25/20	50.000	214.99	06/25/20	247.50	32.51 W	0.00	Sale ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
21 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	1.000	9.56	06/25/20	6.84	...	2.72	1 of 21 - Sale ²⁵
	1.000	10.53	06/25/20	5.83	...	4.70	2 of 21 - Sale ²⁵
	5.000	50.40	06/25/20	34.25	...	16.15	3 of 21 - Sale ²⁵
	5.000	50.40	06/25/20	32.10	...	18.30	4 of 21 - Sale ²⁵
	16.000	160.15	06/25/20	102.72	...	57.43	5 of 21 - Sale ²⁵
	51.000	487.52	06/25/20	403.92	...	83.60	6 of 21 - Sale ²⁵
	34.000	340.33	06/25/20	218.28	...	122.05	7 of 21 - Sale ²⁵
	45.000	453.58	06/25/20	288.90	...	164.68	8 of 21 - Sale ²⁵
	50.000	503.98	06/25/20	321.00	...	182.98	9 of 21 - Sale ²⁵
	50.000	503.98	06/25/20	321.00	...	182.98	10 of 21 - Sale ²⁵
	91.000	869.88	06/25/20	623.35	...	246.53	11 of 21 - Sale ²⁵
	95.000	957.56	06/25/20	650.75	...	306.81	12 of 21 - Sale ²⁵
	199.000	1,902.27	06/25/20	1,576.08	...	326.19	13 of 21 - Sale ²⁵
	100.000	1,000.96	06/25/20	642.00	...	358.96	14 of 21 - Sale ²⁵
	100.000	1,000.96	06/25/20	642.00	...	358.96	15 of 21 - Sale ²⁵
	150.000	1,433.88	06/25/20	1,027.50	...	406.38	16 of 21 - Sale ²⁵
	99.000	1,042.93	06/25/20	633.60	...	409.33	17 of 21 - Sale ²⁵
	158.000	1,510.35	06/25/20	1,079.14	...	431.21	18 of 21 - Sale ²⁵
	250.000	2,389.79	06/25/20	1,775.00	...	614.79	19 of 21 - Sale ²⁵
	200.000	1,789.94	06/26/20	1,744.00	...	45.94	20 of 21 - Sale ²⁵
	799.000	7,150.78	06/26/20	6,935.32	...	215.46	21 of 21 - Sale ²⁵
06/26/20	2,499.000	23,619.73	Various	19,063.58	...	4,556.15	Total of 21 transactions
138 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	30.000	286.77	06/26/20	329.40	42.63 W	0.00	1 of 138 - Sale ²⁵
	470.000	4,492.81	06/26/20	5,160.60	667.79 W	0.00	2 of 138 - Sale ²⁵
	100.000	955.92	06/26/20	1,196.70	240.78 W	0.00	3 of 138 - Sale ²⁵
	30.000	262.88	06/26/20	401.64	138.76 W	0.00	4 of 138 - Sale ²⁵
	370.000	3,242.23	06/26/20	4,953.50	1,711.27 W	0.00	5 of 138 - Sale ²⁵
	99.000	867.52	06/26/20	1,296.98	429.46 W	0.00	6 of 138 - Sale ²⁵
	1.000	8.58	06/26/20	13.10	4.52 W	0.00	7 of 138 - Sale ²⁵
	99.000	849.32	06/26/20	1,120.46	271.14 W	0.00	8 of 138 - Sale ²⁵
	1.000	8.58	06/26/20	11.36	2.78 W	0.00	9 of 138 - Sale ²⁵
	30.000	257.37	06/26/20	407.11	149.74 W	0.00	10 of 138 - Sale ²⁵

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(continued)

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
119.000		1,020.90	06/26/20	1,614.83	593.93 W	0.00	11 of 138 - Sale ²⁵
250.000		2,144.74	06/26/20	3,408.76	1,264.02 W	0.00	12 of 138 - Sale ²⁵
1.000		8.20	06/26/20	13.69	5.49 W	0.00	13 of 138 - Sale ²⁵
1.000		8.20	06/26/20	13.58	5.38 W	0.00	14 of 138 - Sale ²⁵
49.000		401.79	06/26/20	578.14	176.35 W	0.00	15 of 138 - Sale ²⁵
99.000		811.77	06/26/20	1,326.40	514.63 W	0.00	16 of 138 - Sale ²⁵
1.000		8.20	06/26/20	11.84	3.64 W	0.00	17 of 138 - Sale ²⁵
19.000		155.79	06/26/20	266.97	111.18 W	0.00	18 of 138 - Sale ²⁵
30.000		245.99	06/26/20	421.54	175.55 W	0.00	19 of 138 - Sale ²⁵
50.000		409.98	06/26/20	589.94	179.96 W	0.00	20 of 138 - Sale ²⁵
100.000		819.97	06/26/20	1,409.08	589.11 W	0.00	21 of 138 - Sale ²⁵
111.000		910.17	06/26/20	1,571.31	661.14 W	0.00	22 of 138 - Sale ²⁵
10.000		82.00	06/26/20	141.56	59.56 W	0.00	23 of 138 - Sale ²⁵
23.000		188.36	06/26/20	325.58	137.22 W	0.00	24 of 138 - Sale ²⁵
6.000		49.14	06/26/20	84.88	35.74 W	0.00	25 of 138 - Sale ²⁵
71.000		581.47	06/26/20	1,010.05	428.58 W	0.00	26 of 138 - Sale ²⁵
1.000		8.19	06/26/20	14.66	6.47 W	0.00	27 of 138 - Sale ²⁵
1.000		8.19	06/26/20	14.55	6.36 W	0.00	28 of 138 - Sale ²⁵
45.000		368.54	06/26/20	574.61	206.07 W	0.00	29 of 138 - Sale ²⁵
49.000		401.29	06/26/20	625.68	224.39 W	0.00	30 of 138 - Sale ²⁵
99.000		810.78	06/26/20	1,422.46	611.68 W	0.00	31 of 138 - Sale ²⁵
29.000		237.50	06/26/20	412.55	175.05 W	0.00	32 of 138 - Sale ²⁵
1.000		8.19	06/26/20	12.81	4.62 W	0.00	33 of 138 - Sale ²⁵
5.000		40.95	06/26/20	63.85	22.90 W	0.00	34 of 138 - Sale ²⁵
19.000		155.60	06/26/20	285.41	129.81 W	0.00	35 of 138 - Sale ²⁵
30.000		245.69	06/26/20	450.65	204.96 W	0.00	36 of 138 - Sale ²⁵
21.000		171.98	06/26/20	317.65	145.67 W	0.00	37 of 138 - Sale ²⁵
100.000		818.97	06/26/20	1,506.11	687.14 W	0.00	38 of 138 - Sale ²⁵
20.000		163.80	06/26/20	302.52	138.72 W	0.00	39 of 138 - Sale ²⁵
8.000		65.52	06/26/20	121.09	55.57 W	0.00	40 of 138 - Sale ²⁵
1.000		8.97	06/26/20	15.14	6.17 W	0.00	41 of 138 - Sale ²⁵
49.000		439.52	06/26/20	692.93	253.41 W	0.00	42 of 138 - Sale ²⁵
5.000		44.85	06/26/20	72.98	28.13 W	0.00	43 of 138 - Sale ²⁵
10.000		89.70	06/26/20	145.96	56.26 W	0.00	44 of 138 - Sale ²⁵

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	12.000	107.64	06/26/20	175.15	67.51 W	0.00	45 of 138 - Sale ²⁵
	23.000	206.30	06/26/20	335.94	129.64 W	0.00	46 of 138 - Sale ²⁵
	1.000	8.97	06/26/20	14.68	5.71 W	0.00	47 of 138 - Sale ²⁵
	28.000	251.15	06/26/20	413.17	162.02 W	0.00	48 of 138 - Sale ²⁵
	71.000	636.84	06/26/20	1,047.70	410.86 W	0.00	49 of 138 - Sale ²⁵
	1.000	8.96	06/26/20	15.19	6.23 W	0.00	50 of 138 - Sale ²⁵
	1.000	8.96	06/26/20	14.76	5.80 W	0.00	51 of 138 - Sale ²⁵
	96.000	860.13	06/26/20	1,430.26	570.13 W	0.00	52 of 138 - Sale ²⁵
	1.000	8.96	06/26/20	15.08	6.12 W	0.00	53 of 138 - Sale ²⁵
	46.000	412.16	06/26/20	611.77	199.61 W	0.00	54 of 138 - Sale ²⁵
	3.000	26.88	06/26/20	44.70	17.82 W	0.00	55 of 138 - Sale ²⁵
	4.000	35.84	06/26/20	53.20	17.36 W	0.00	56 of 138 - Sale ²⁵
	45.000	403.19	06/26/20	598.47	195.28 W	0.00	57 of 138 - Sale ²⁵
	3.000	26.88	06/26/20	39.90	13.02 W	0.00	58 of 138 - Sale ²⁵
	1.000	8.95	06/26/20	13.34	4.39 W	0.00	59 of 138 - Sale ²⁵
	1.000	8.95	06/26/20	14.89	5.94 W	0.00	60 of 138 - Sale ²⁵
	1.000	8.95	06/26/20	13.30	4.35 W	0.00	61 of 138 - Sale ²⁵
	5.000	44.75	06/26/20	71.73	26.98 W	0.00	62 of 138 - Sale ²⁵
	8.000	71.60	06/26/20	125.33	53.73 W	0.00	63 of 138 - Sale ²⁵
	10.000	89.50	06/26/20	143.46	53.96 W	0.00	64 of 138 - Sale ²⁵
	12.000	107.40	06/26/20	172.15	64.75 W	0.00	65 of 138 - Sale ²⁵
	19.000	170.04	06/26/20	295.49	125.45 W	0.00	66 of 138 - Sale ²⁵
	20.000	178.99	06/26/20	313.12	134.13 W	0.00	67 of 138 - Sale ²⁵
	21.000	187.94	06/26/20	328.79	140.85 W	0.00	68 of 138 - Sale ²⁵
	23.000	205.84	06/26/20	330.20	124.36 W	0.00	69 of 138 - Sale ²⁵
	30.000	268.49	06/26/20	466.56	198.07 W	0.00	70 of 138 - Sale ²⁵
	49.000	438.53	06/26/20	680.69	242.16 W	0.00	71 of 138 - Sale ²⁵
	100.000	894.97	06/26/20	1,559.14	664.17 W	0.00	72 of 138 - Sale ²⁵
	1.000	8.95	06/26/20	14.43	5.48 W	0.00	73 of 138 - Sale ²⁵
	1.000	8.95	06/26/20	14.52	5.57 W	0.00	74 of 138 - Sale ²⁵
	1.000	8.95	06/26/20	14.95	6.00 W	0.00	75 of 138 - Sale ²⁵
	2.000	17.90	06/26/20	29.32	11.42 W	0.00	76 of 138 - Sale ²⁵
	28.000	250.59	06/26/20	406.18	155.59 W	0.00	77 of 138 - Sale ²⁵
	71.000	635.43	06/26/20	1,029.98	394.55 W	0.00	78 of 138 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	96.000	859.17	06/26/20	1,407.25	548.08 W	0.00	79 of 138 - Sale ²⁵
	1.000	8.95	06/26/20	14.62	5.67 W	0.00	80 of 138 - Sale ²⁵
	1.000	8.95	06/26/20	14.80	5.85 W	0.00	81 of 138 - Sale ²⁵
	3.000	26.85	06/26/20	39.06	12.21 W	0.00	82 of 138 - Sale ²⁵
	4.000	35.80	06/26/20	52.08	16.28 W	0.00	83 of 138 - Sale ²⁵
	45.000	402.74	06/26/20	585.88	183.14 W	0.00	84 of 138 - Sale ²⁵
	46.000	411.69	06/26/20	598.89	187.20 W	0.00	85 of 138 - Sale ²⁵
	1.000	8.84	06/26/20	13.03	4.19 W	0.00	86 of 138 - Sale ²⁵
	1.000	8.84	06/26/20	13.49	4.65 W	0.00	87 of 138 - Sale ²⁵
	9.000	79.56	06/26/20	141.32	61.76 W	0.00	88 of 138 - Sale ²⁵
	30.000	265.19	06/26/20	471.07	205.88 W	0.00	89 of 138 - Sale ²⁵
	10.000	88.40	06/26/20	157.03	68.63 W	0.00	90 of 138 - Sale ²⁵
	90.000	795.57	06/26/20	1,416.75	621.18 W	0.00	91 of 138 - Sale ²⁵
	8.000	70.72	06/26/20	126.45	55.73 W	0.00	92 of 138 - Sale ²⁵
	10.000	88.40	06/26/20	157.32	68.92 W	0.00	93 of 138 - Sale ²⁵
	20.000	176.79	06/26/20	315.93	139.14 W	0.00	94 of 138 - Sale ²⁵
	21.000	185.63	06/26/20	331.74	146.11 W	0.00	95 of 138 - Sale ²⁵
	1.000	8.83	06/26/20	15.03	6.20 W	0.00	96 of 138 - Sale ²⁵
	1.000	8.83	06/26/20	14.57	5.74 W	0.00	97 of 138 - Sale ²⁵
	5.000	44.15	06/26/20	72.43	28.28 W	0.00	98 of 138 - Sale ²⁵
	10.000	88.30	06/26/20	144.86	56.56 W	0.00	99 of 138 - Sale ²⁵
	12.000	105.96	06/26/20	173.83	67.87 W	0.00	100 of 138 - Sale ²⁵
	23.000	203.08	06/26/20	333.43	130.35 W	0.00	101 of 138 - Sale ²⁵
	40.000	353.19	06/26/20	585.88	232.69 W	0.00	102 of 138 - Sale ²⁵
	49.000	432.65	06/26/20	687.57	254.92 W	0.00	103 of 138 - Sale ²⁵
	1.000	8.83	06/26/20	14.67	5.84 W	0.00	104 of 138 - Sale ²⁵
	1.000	8.83	06/26/20	15.10	6.27 W	0.00	105 of 138 - Sale ²⁵
	2.000	17.66	06/26/20	29.62	11.96 W	0.00	106 of 138 - Sale ²⁵
	28.000	247.23	06/26/20	410.39	163.16 W	0.00	107 of 138 - Sale ²⁵
	31.000	273.72	06/26/20	454.37	180.65 W	0.00	108 of 138 - Sale ²⁵
	96.000	847.64	06/26/20	1,421.68	574.04 W	0.00	109 of 138 - Sale ²⁵
	1.000	8.83	06/26/20	14.74	5.91 W	0.00	110 of 138 - Sale ²⁵
	1.000	8.83	06/26/20	14.92	6.09 W	0.00	111 of 138 - Sale ²⁵
	35.000	309.04	06/26/20	459.88	150.84 W	0.00	112 of 138 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	3.000	26.49	06/26/20	39.42	12.93 W	0.00	113 of 138 - Sale ²⁵
	4.000	35.32	06/26/20	52.56	17.24 W	0.00	114 of 138 - Sale ²⁵
	45.000	397.33	06/26/20	591.29	193.96 W	0.00	115 of 138 - Sale ²⁵
	11.000	97.13	06/26/20	144.54	47.41 W	0.00	116 of 138 - Sale ²⁵
	1.000	8.83	06/26/20	13.26	4.43 W	0.00	117 of 138 - Sale ²⁵
	1.000	8.83	06/26/20	13.72	4.89 W	0.00	118 of 138 - Sale ²⁵
	8.000	70.64	06/26/20	128.29	57.65 W	0.00	119 of 138 - Sale ²⁵
	9.000	79.47	06/26/20	143.39	63.92 W	0.00	120 of 138 - Sale ²⁵
	10.000	88.30	06/26/20	159.33	71.03 W	0.00	121 of 138 - Sale ²⁵
	10.000	88.30	06/26/20	159.62	71.32 W	0.00	122 of 138 - Sale ²⁵
	20.000	176.59	06/26/20	320.53	143.94 W	0.00	123 of 138 - Sale ²⁵
	21.000	185.42	06/26/20	336.58	151.16 W	0.00	124 of 138 - Sale ²⁵
	30.000	264.89	06/26/20	477.97	213.08 W	0.00	125 of 138 - Sale ²⁵
	90.000	794.67	06/26/20	1,437.46	642.79 W	0.00	126 of 138 - Sale ²⁵
	1.000	8.83	06/26/20	15.27	6.44 W	0.00	127 of 138 - Sale ²⁵
	36.000	317.86	06/26/20	513.81	195.95 W	0.00	128 of 138 - Sale ²⁵
	1.000	8.83	06/26/20	14.81	5.98 W	0.00	129 of 138 - Sale ²⁵
	5.000	44.15	06/26/20	73.63	29.48 W	0.00	130 of 138 - Sale ²⁵
	10.000	88.30	06/26/20	147.26	58.96 W	0.00	131 of 138 - Sale ²⁵
	12.000	105.96	06/26/20	176.71	70.75 W	0.00	132 of 138 - Sale ²⁵
	23.000	203.08	06/26/20	338.96	135.88 W	0.00	133 of 138 - Sale ²⁵
	27.000	238.40	06/26/20	402.22	163.82 W	0.00	134 of 138 - Sale ²⁵
	31.000	273.71	06/26/20	461.82	188.11 W	0.00	135 of 138 - Sale ²⁵
	40.000	353.18	06/26/20	595.49	242.31 W	0.00	136 of 138 - Sale ²⁵
	13.000	114.79	06/26/20	185.54	70.75 W	0.00	137 of 138 - Sale ²⁵
	1.000	8.99	06/26/20	14.90	5.91 W	0.00	138 of 138 - Sale ²⁵
06/26/20	4,598.000	40,371.39	Various	63,256.21	22,884.82 W	0.00	Total of 138 transactions
06/29/20	30.000	283.50	06/26/20	259.50	...	24.00	Sale ²⁵
473 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	1.000	9.46	06/26/20	14.07	4.61 W	0.00	1 of 473 - Sale ²⁵
	1.000	9.46	06/26/20	14.50	5.04 W	0.00	2 of 473 - Sale ²⁵
	17.000	160.82	06/26/20	241.56	80.74 W	0.00	3 of 473 - Sale ²⁵
	1.000	9.45	06/26/20	14.14	4.69 W	0.00	4 of 473 - Sale ²⁵
	1.000	9.45	06/26/20	14.32	4.87 W	0.00	5 of 473 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	2.000	18.90	06/26/20	28.42	9.52 W	0.00	6 of 473 - Sale ²⁵
	2.000	18.90	06/26/20	25.08	6.18 W	0.00	7 of 473 - Sale ²⁵
	11.000	103.95	06/26/20	137.94	33.99 W	0.00	8 of 473 - Sale ²⁵
	35.000	330.75	06/26/20	438.89	108.14 W	0.00	9 of 473 - Sale ²⁵
	79.000	746.54	06/26/20	1,122.56	376.02 W	0.00	10 of 473 - Sale ²⁵
	1.000	9.45	06/26/20	12.60	3.15 W	0.00	11 of 473 - Sale ²⁵
	1.000	9.45	06/26/20	12.72	3.27 W	0.00	12 of 473 - Sale ²⁵
	1.000	9.45	06/26/20	13.18	3.73 W	0.00	13 of 473 - Sale ²⁵
	4.000	37.80	06/26/20	50.40	12.60 W	0.00	14 of 473 - Sale ²⁵
	9.000	85.05	06/26/20	138.53	53.48 W	0.00	15 of 473 - Sale ²⁵
	9.000	85.05	06/26/20	138.54	53.49 W	0.00	16 of 473 - Sale ²⁵
	30.000	283.50	06/26/20	461.78	178.28 W	0.00	17 of 473 - Sale ²⁵
	45.000	425.24	06/26/20	567.01	141.77 W	0.00	18 of 473 - Sale ²⁵
	1.000	9.45	06/26/20	15.50	6.05 W	0.00	19 of 473 - Sale ²⁵
	1.000	9.45	06/26/20	14.84	5.39 W	0.00	20 of 473 - Sale ²⁵
	5.000	47.25	06/26/20	71.48	24.23 W	0.00	21 of 473 - Sale ²⁵
	8.000	75.60	06/26/20	124.85	49.25 W	0.00	22 of 473 - Sale ²⁵
	10.000	94.50	06/26/20	155.32	60.82 W	0.00	23 of 473 - Sale ²⁵
	10.000	94.50	06/26/20	142.96	48.46 W	0.00	24 of 473 - Sale ²⁵
	12.000	113.40	06/26/20	171.55	58.15 W	0.00	25 of 473 - Sale ²⁵
	13.000	122.85	06/26/20	179.95	57.10 W	0.00	26 of 473 - Sale ²⁵
	20.000	189.00	06/26/20	311.94	122.94 W	0.00	27 of 473 - Sale ²⁵
	21.000	198.45	06/26/20	327.56	129.11 W	0.00	28 of 473 - Sale ²⁵
	23.000	217.34	06/26/20	329.08	111.74 W	0.00	29 of 473 - Sale ²⁵
	36.000	340.19	06/26/20	498.35	158.16 W	0.00	30 of 473 - Sale ²⁵
	90.000	850.49	06/26/20	1,398.79	548.30 W	0.00	31 of 473 - Sale ²⁵
	1.000	9.45	06/26/20	13.26	3.81 W	0.00	32 of 473 - Sale ²⁵
	1.000	9.45	06/26/20	13.69	4.24 W	0.00	33 of 473 - Sale ²⁵
	17.000	160.65	06/26/20	227.79	67.14 W	0.00	34 of 473 - Sale ²⁵
	1.000	9.45	06/26/20	14.63	5.18 W	0.00	35 of 473 - Sale ²⁵
	1.000	9.45	06/26/20	14.56	5.11 W	0.00	36 of 473 - Sale ²⁵
	27.000	255.14	06/26/20	397.37	142.23 W	0.00	37 of 473 - Sale ²⁵
	31.000	292.94	06/26/20	456.26	163.32 W	0.00	38 of 473 - Sale ²⁵
	40.000	377.99	06/26/20	588.31	210.32 W	0.00	39 of 473 - Sale ²⁵

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(continued)

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	1.000	9.45	06/26/20	13.34	3.89 W	0.00	40 of 473 - Sale ²⁵
	1.000	9.45	06/26/20	13.52	4.07 W	0.00	41 of 473 - Sale ²⁵
	2.000	18.90	06/26/20	26.82	7.92 W	0.00	42 of 473 - Sale ²⁵
	18.000	170.09	06/26/20	211.31	41.22 W	0.00	43 of 473 - Sale ²⁵
	79.000	746.52	06/26/20	1,059.37	312.85 W	0.00	44 of 473 - Sale ²⁵
	1.000	9.45	06/26/20	11.79	2.34 W	0.00	45 of 473 - Sale ²⁵
	1.000	9.45	06/26/20	11.91	2.46 W	0.00	46 of 473 - Sale ²⁵
	1.000	9.45	06/26/20	12.37	2.92 W	0.00	47 of 473 - Sale ²⁵
	1.000	9.45	06/26/20	14.69	5.24 W	0.00	48 of 473 - Sale ²⁵
	2.000	18.90	06/26/20	23.46	4.56 W	0.00	49 of 473 - Sale ²⁵
	4.000	37.80	06/26/20	47.16	9.36 W	0.00	50 of 473 - Sale ²⁵
	9.000	85.05	06/26/20	131.24	46.19 W	0.00	51 of 473 - Sale ²⁵
	9.000	85.05	06/26/20	131.25	46.20 W	0.00	52 of 473 - Sale ²⁵
	11.000	103.95	06/26/20	129.03	25.08 W	0.00	53 of 473 - Sale ²⁵
	17.000	160.64	06/26/20	199.41	38.77 W	0.00	54 of 473 - Sale ²⁵
	30.000	283.49	06/26/20	437.48	153.99 W	0.00	55 of 473 - Sale ²⁵
	45.000	425.23	06/26/20	530.57	105.34 W	0.00	56 of 473 - Sale ²⁵
	65.000	614.22	06/26/20	957.59	343.37 W	0.00	57 of 473 - Sale ²⁵
	10.000	94.50	06/26/20	147.22	52.72 W	0.00	58 of 473 - Sale ²⁵
	19.000	179.54	06/26/20	280.97	101.43 W	0.00	59 of 473 - Sale ²⁵
	25.000	236.24	06/26/20	368.31	132.07 W	0.00	60 of 473 - Sale ²⁵
	1.000	9.45	06/26/20	12.97	3.52 W	0.00	61 of 473 - Sale ²⁵
	1.000	9.45	06/26/20	13.40	3.95 W	0.00	62 of 473 - Sale ²⁵
	17.000	160.64	06/26/20	222.86	62.22 W	0.00	63 of 473 - Sale ²⁵
	2.000	18.90	06/26/20	30.62	11.72 W	0.00	64 of 473 - Sale ²⁵
	8.000	75.60	06/26/20	122.53	46.93 W	0.00	65 of 473 - Sale ²⁵
	20.000	188.99	06/26/20	306.14	117.15 W	0.00	66 of 473 - Sale ²⁵
	1.000	9.45	06/26/20	14.55	5.10 W	0.00	67 of 473 - Sale ²⁵
	1.000	9.45	06/26/20	14.34	4.89 W	0.00	68 of 473 - Sale ²⁵
	5.000	47.25	06/26/20	70.03	22.78 W	0.00	69 of 473 - Sale ²⁵
	9.000	85.05	06/26/20	129.85	44.80 W	0.00	70 of 473 - Sale ²⁵
	10.000	94.50	06/26/20	140.06	45.56 W	0.00	71 of 473 - Sale ²⁵
	12.000	113.40	06/26/20	168.07	54.67 W	0.00	72 of 473 - Sale ²⁵
	13.000	122.85	06/26/20	176.18	53.33 W	0.00	73 of 473 - Sale ²⁵

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	23.000	217.34	06/26/20	322.42	105.08 W	0.00	74 of 473 - Sale ²⁵
	31.000	292.93	06/26/20	447.28	154.35 W	0.00	75 of 473 - Sale ²⁵
	36.000	340.19	06/26/20	487.92	147.73 W	0.00	76 of 473 - Sale ²⁵
	40.000	377.98	06/26/20	576.72	198.74 W	0.00	77 of 473 - Sale ²⁵
	1.000	9.45	06/26/20	13.14	3.69 W	0.00	78 of 473 - Sale ²⁵
	18.000	170.09	06/26/20	260.06	89.97 W	0.00	79 of 473 - Sale ²⁵
	1.000	9.36	06/26/20	14.29	4.93 W	0.00	80 of 473 - Sale ²⁵
	1.000	9.36	06/29/20	13.33	3.97 W	0.00	81 of 473 - Sale ²⁵
	1.000	9.36	06/29/20	13.51	4.15 W	0.00	82 of 473 - Sale ²⁵
	1.000	9.36	06/29/20	11.78	2.42 W	0.00	83 of 473 - Sale ²⁵
	1.000	9.36	06/29/20	11.90	2.54 W	0.00	84 of 473 - Sale ²⁵
	1.000	9.36	06/29/20	12.36	3.00 W	0.00	85 of 473 - Sale ²⁵
	1.000	9.36	06/29/20	14.68	5.32 W	0.00	86 of 473 - Sale ²⁵
	2.000	18.72	06/29/20	26.80	8.08 W	0.00	87 of 473 - Sale ²⁵
	2.000	18.72	06/29/20	23.44	4.72 W	0.00	88 of 473 - Sale ²⁵
	4.000	37.44	06/29/20	47.12	9.68 W	0.00	89 of 473 - Sale ²⁵
	4.000	37.44	06/29/20	58.89	21.45 W	0.00	90 of 473 - Sale ²⁵
	9.000	84.24	06/29/20	131.15	46.91 W	0.00	91 of 473 - Sale ²⁵
	9.000	84.24	06/29/20	131.16	46.92 W	0.00	92 of 473 - Sale ²⁵
	11.000	102.96	06/29/20	128.92	25.96 W	0.00	93 of 473 - Sale ²⁵
	17.000	159.12	06/29/20	199.25	40.13 W	0.00	94 of 473 - Sale ²⁵
	18.000	168.48	06/29/20	211.14	42.66 W	0.00	95 of 473 - Sale ²⁵
	30.000	280.80	06/29/20	437.19	156.39 W	0.00	96 of 473 - Sale ²⁵
	45.000	421.19	06/29/20	530.14	108.95 W	0.00	97 of 473 - Sale ²⁵
	65.000	608.39	06/29/20	956.97	348.58 W	0.00	98 of 473 - Sale ²⁵
	78.000	730.07	06/29/20	1,045.21	315.14 W	0.00	99 of 473 - Sale ²⁵
	1.000	9.36	06/29/20	12.96	3.60 W	0.00	100 of 473 - Sale ²⁵
	1.000	9.36	06/29/20	13.39	4.03 W	0.00	101 of 473 - Sale ²⁵
	17.000	159.12	06/29/20	222.70	63.58 W	0.00	102 of 473 - Sale ²⁵
	1.000	9.36	06/29/20	14.54	5.18 W	0.00	103 of 473 - Sale ²⁵
	2.000	18.72	06/29/20	30.60	11.88 W	0.00	104 of 473 - Sale ²⁵
	5.000	46.80	06/29/20	69.98	23.18 W	0.00	105 of 473 - Sale ²⁵
	8.000	74.88	06/29/20	122.45	47.57 W	0.00	106 of 473 - Sale ²⁵
	10.000	93.60	06/29/20	147.12	53.52 W	0.00	107 of 473 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
10.000		93.60	06/29/20	139.96	46.36 W	0.00	108 of 473 - Sale ²⁵
12.000		112.32	06/29/20	167.95	55.63 W	0.00	109 of 473 - Sale ²⁵
13.000		121.68	06/29/20	176.05	54.37 W	0.00	110 of 473 - Sale ²⁵
19.000		177.84	06/29/20	280.79	102.95 W	0.00	111 of 473 - Sale ²⁵
20.000		187.20	06/29/20	305.95	118.75 W	0.00	112 of 473 - Sale ²⁵
21.000		196.56	06/29/20	309.18	112.62 W	0.00	113 of 473 - Sale ²⁵
23.000		215.28	06/29/20	322.20	106.92 W	0.00	114 of 473 - Sale ²⁵
36.000		336.95	06/29/20	487.57	150.62 W	0.00	115 of 473 - Sale ²⁵
1.000		9.36	06/29/20	13.14	3.78 W	0.00	116 of 473 - Sale ²⁵
1.000		9.36	06/29/20	14.34	4.98 W	0.00	117 of 473 - Sale ²⁵
9.000		84.24	06/29/20	129.85	45.61 W	0.00	118 of 473 - Sale ²⁵
18.000		168.48	06/29/20	260.07	91.59 W	0.00	119 of 473 - Sale ²⁵
31.000		290.15	06/29/20	447.30	157.15 W	0.00	120 of 473 - Sale ²⁵
40.000		374.39	06/29/20	576.74	202.35 W	0.00	121 of 473 - Sale ²⁵
399.000		3,734.57	06/29/20	3,770.55	35.98 W	0.00	122 of 473 - Sale ²⁵
1.000		9.07	06/29/20	14.38	5.31 W	0.00	123 of 473 - Sale ²⁵
1.000		9.07	06/29/20	13.12	4.05 W	0.00	124 of 473 - Sale ²⁵
1.000		9.07	06/29/20	13.55	4.48 W	0.00	125 of 473 - Sale ²⁵
17.000		154.18	06/29/20	225.42	71.24 W	0.00	126 of 473 - Sale ²⁵
1.000		9.07	06/29/20	13.49	4.42 W	0.00	127 of 473 - Sale ²⁵
1.000		9.07	06/29/20	13.67	4.60 W	0.00	128 of 473 - Sale ²⁵
1.000		9.07	06/29/20	11.94	2.87 W	0.00	129 of 473 - Sale ²⁵
1.000		9.07	06/29/20	12.06	2.99 W	0.00	130 of 473 - Sale ²⁵
1.000		9.07	06/29/20	12.52	3.45 W	0.00	131 of 473 - Sale ²⁵
1.000		9.07	06/29/20	14.84	5.77 W	0.00	132 of 473 - Sale ²⁵
2.000		18.14	06/29/20	27.12	8.98 W	0.00	133 of 473 - Sale ²⁵
2.000		18.14	06/29/20	23.76	5.62 W	0.00	134 of 473 - Sale ²⁵
4.000		36.28	06/29/20	47.76	11.48 W	0.00	135 of 473 - Sale ²⁵
4.000		36.28	06/29/20	59.53	23.25 W	0.00	136 of 473 - Sale ²⁵
9.000		81.63	06/29/20	132.59	50.96 W	0.00	137 of 473 - Sale ²⁵
9.000		81.63	06/29/20	132.60	50.97 W	0.00	138 of 473 - Sale ²⁵
10.000		90.70	06/29/20	148.72	58.02 W	0.00	139 of 473 - Sale ²⁵
11.000		99.77	06/29/20	130.68	30.91 W	0.00	140 of 473 - Sale ²⁵
17.000		154.18	06/29/20	201.97	47.79 W	0.00	141 of 473 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	18.000	163.25	06/29/20	214.02	50.77 W	0.00	142 of 473 - Sale ²⁵
	21.000	190.46	06/29/20	312.54	122.08 W	0.00	143 of 473 - Sale ²⁵
	30.000	272.09	06/29/20	441.99	169.90 W	0.00	144 of 473 - Sale ²⁵
	45.000	408.14	06/29/20	537.35	129.21 W	0.00	145 of 473 - Sale ²⁵
	65.000	589.53	06/29/20	967.38	377.85 W	0.00	146 of 473 - Sale ²⁵
	78.000	707.43	06/29/20	1,057.70	350.27 W	0.00	147 of 473 - Sale ²⁵
	1.000	9.07	06/29/20	14.70	5.63 W	0.00	148 of 473 - Sale ²⁵
	2.000	18.14	06/29/20	30.92	12.78 W	0.00	149 of 473 - Sale ²⁵
	4.000	36.28	06/29/20	54.81	18.53 W	0.00	150 of 473 - Sale ²⁵
	8.000	72.56	06/29/20	123.73	51.17 W	0.00	151 of 473 - Sale ²⁵
	19.000	172.32	06/29/20	283.83	111.51 W	0.00	152 of 473 - Sale ²⁵
	20.000	181.39	06/29/20	309.15	127.76 W	0.00	153 of 473 - Sale ²⁵
	36.000	326.51	06/29/20	493.34	166.83 W	0.00	154 of 473 - Sale ²⁵
	1.000	9.07	06/29/20	14.16	5.09 W	0.00	155 of 473 - Sale ²⁵
	9.000	81.63	06/29/20	123.32	41.69 W	0.00	156 of 473 - Sale ²⁵
	4.000	36.28	06/29/20	56.74	20.46 W	0.00	157 of 473 - Sale ²⁵
	10.000	90.69	06/29/20	141.86	51.17 W	0.00	158 of 473 - Sale ²⁵
	11.000	99.76	06/29/20	156.04	56.28 W	0.00	159 of 473 - Sale ²⁵
	23.000	208.60	06/29/20	326.57	117.97 W	0.00	160 of 473 - Sale ²⁵
	1.000	9.02	06/29/20	14.19	5.17 W	0.00	161 of 473 - Sale ²⁵
	1.000	9.02	06/29/20	13.11	4.09 W	0.00	162 of 473 - Sale ²⁵
	1.000	9.02	06/29/20	14.31	5.29 W	0.00	163 of 473 - Sale ²⁵
	3.000	27.05	06/29/20	43.17	16.12 W	0.00	164 of 473 - Sale ²⁵
	9.000	81.14	06/29/20	129.67	48.53 W	0.00	165 of 473 - Sale ²⁵
	18.000	162.28	06/29/20	259.71	97.43 W	0.00	166 of 473 - Sale ²⁵
	31.000	279.48	06/29/20	446.69	167.21 W	0.00	167 of 473 - Sale ²⁵
	37.000	333.57	06/29/20	532.75	199.18 W	0.00	168 of 473 - Sale ²⁵
	5.000	45.08	06/29/20	47.15	2.07 W	0.00	169 of 473 - Sale ²⁵
	194.000	1,748.99	06/29/20	1,833.33	84.34 W	0.00	170 of 473 - Sale ²⁵
	200.000	1,803.09	06/29/20	1,916.04	112.95 W	0.00	171 of 473 - Sale ²⁵
	1.000	8.33	06/29/20	12.71	4.38 W	0.00	172 of 473 - Sale ²⁵
	1.000	8.33	06/29/20	13.14	4.81 W	0.00	173 of 473 - Sale ²⁵
	17.000	141.60	06/29/20	218.46	76.86 W	0.00	174 of 473 - Sale ²⁵
	1.000	8.33	06/29/20	13.97	5.64 W	0.00	175 of 473 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	30.000	249.89	06/29/20	394.52	144.63 W	0.00	176 of 473 - Sale ²⁵
	40.000	333.19	06/29/20	516.83	183.64 W	0.00	177 of 473 - Sale ²⁵
	5.000	41.15	06/29/20	64.60	23.45 W	0.00	178 of 473 - Sale ²⁵
	1.000	8.23	06/29/20	12.90	4.67 W	0.00	179 of 473 - Sale ²⁵
	2.000	16.46	06/29/20	25.80	9.34 W	0.00	180 of 473 - Sale ²⁵
	2.000	16.46	06/29/20	25.80	9.34 W	0.00	181 of 473 - Sale ²⁵
	1.000	8.23	06/29/20	12.79	4.56 W	0.00	182 of 473 - Sale ²⁵
	1.000	8.23	06/29/20	12.97	4.74 W	0.00	183 of 473 - Sale ²⁵
	1.000	8.23	06/29/20	11.24	3.01 W	0.00	184 of 473 - Sale ²⁵
	2.000	16.46	06/29/20	22.36	5.90 W	0.00	185 of 473 - Sale ²⁵
	11.000	90.53	06/29/20	122.98	32.45 W	0.00	186 of 473 - Sale ²⁵
	17.000	139.90	06/29/20	190.08	50.18 W	0.00	187 of 473 - Sale ²⁵
	18.000	148.13	06/29/20	201.43	53.30 W	0.00	188 of 473 - Sale ²⁵
	39.000	320.96	06/29/20	438.41	117.45 W	0.00	189 of 473 - Sale ²⁵
	1.000	8.23	06/29/20	11.37	3.14 W	0.00	190 of 473 - Sale ²⁵
	1.000	8.23	06/29/20	11.82	3.59 W	0.00	191 of 473 - Sale ²⁵
	1.000	8.23	06/29/20	14.15	5.92 W	0.00	192 of 473 - Sale ²⁵
	4.000	32.92	06/29/20	44.98	12.06 W	0.00	193 of 473 - Sale ²⁵
	6.000	49.38	06/29/20	67.48	18.10 W	0.00	194 of 473 - Sale ²⁵
	9.000	74.07	06/29/20	126.34	52.27 W	0.00	195 of 473 - Sale ²⁵
	9.000	74.07	06/29/20	126.34	52.27 W	0.00	196 of 473 - Sale ²⁵
	30.000	246.89	06/29/20	421.15	174.26 W	0.00	197 of 473 - Sale ²⁵
	39.000	320.95	06/29/20	553.33	232.38 W	0.00	198 of 473 - Sale ²⁵
	4.000	32.42	06/29/20	56.73	24.31 W	0.00	199 of 473 - Sale ²⁵
	10.000	81.05	06/29/20	141.84	60.79 W	0.00	200 of 473 - Sale ²⁵
	26.000	210.73	06/29/20	368.76	158.03 W	0.00	201 of 473 - Sale ²⁵
	9.000	73.08	06/29/20	127.65	54.57 W	0.00	202 of 473 - Sale ²⁵
	1.000	7.94	06/29/20	14.18	6.24 W	0.00	203 of 473 - Sale ²⁵
	1.000	7.94	06/29/20	13.76	5.82 W	0.00	204 of 473 - Sale ²⁵
	1.000	7.94	06/29/20	13.58	5.64 W	0.00	205 of 473 - Sale ²⁵
	2.000	15.89	06/29/20	28.67	12.78 W	0.00	206 of 473 - Sale ²⁵
	2.000	15.89	06/29/20	25.16	9.27 W	0.00	207 of 473 - Sale ²⁵
	8.000	63.55	06/29/20	114.73	51.18 W	0.00	208 of 473 - Sale ²⁵
	10.000	79.43	06/29/20	137.47	58.04 W	0.00	209 of 473 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
19.000		150.92	06/29/20	262.46	111.54 W	0.00	210 of 473 - Sale ²⁵
20.000		158.87	06/29/20	286.66	127.79 W	0.00	211 of 473 - Sale ²⁵
36.000		285.96	06/29/20	452.85	166.89 W	0.00	212 of 473 - Sale ²⁵
1.000		7.94	06/29/20	12.04	4.10 W	0.00	213 of 473 - Sale ²⁵
1.000		7.94	06/29/20	13.04	5.10 W	0.00	214 of 473 - Sale ²⁵
1.000		7.94	06/29/20	13.12	5.18 W	0.00	215 of 473 - Sale ²⁵
1.000		7.94	06/29/20	13.24	5.30 W	0.00	216 of 473 - Sale ²⁵
2.000		15.89	06/29/20	25.16	9.27 W	0.00	217 of 473 - Sale ²⁵
3.000		23.83	06/29/20	39.97	16.14 W	0.00	218 of 473 - Sale ²⁵
4.000		31.77	06/29/20	52.26	20.49 W	0.00	219 of 473 - Sale ²⁵
9.000		71.49	06/29/20	113.24	41.75 W	0.00	220 of 473 - Sale ²⁵
9.000		71.49	06/29/20	120.08	48.59 W	0.00	221 of 473 - Sale ²⁵
10.000		79.43	06/29/20	130.67	51.24 W	0.00	222 of 473 - Sale ²⁵
11.000		87.38	06/29/20	143.73	56.35 W	0.00	223 of 473 - Sale ²⁵
18.000		142.98	06/29/20	240.53	97.55 W	0.00	224 of 473 - Sale ²⁵
23.000		182.70	06/29/20	300.82	118.12 W	0.00	225 of 473 - Sale ²⁵
31.000		246.25	06/29/20	413.66	167.41 W	0.00	226 of 473 - Sale ²⁵
37.000		293.91	06/29/20	493.33	199.42 W	0.00	227 of 473 - Sale ²⁵
5.000		39.72	06/29/20	41.82	2.10 W	0.00	228 of 473 - Sale ²⁵
40.000		317.74	06/29/20	340.58	22.84 W	0.00	229 of 473 - Sale ²⁵
194.000		1,541.02	06/29/20	1,626.61	85.59 W	0.00	230 of 473 - Sale ²⁵
1.000		7.94	06/29/20	12.38	4.44 W	0.00	231 of 473 - Sale ²⁵
1.000		7.94	06/29/20	12.81	4.87 W	0.00	232 of 473 - Sale ²⁵
17.000		135.04	06/29/20	212.86	77.82 W	0.00	233 of 473 - Sale ²⁵
1.000		7.94	06/29/20	13.64	5.70 W	0.00	234 of 473 - Sale ²⁵
1.000		7.94	06/29/20	12.67	4.73 W	0.00	235 of 473 - Sale ²⁵
1.000		7.94	06/29/20	12.56	4.62 W	0.00	236 of 473 - Sale ²⁵
1.000		7.94	06/29/20	12.74	4.80 W	0.00	237 of 473 - Sale ²⁵
1.000		7.94	06/29/20	11.01	3.07 W	0.00	238 of 473 - Sale ²⁵
1.000		7.94	06/29/20	11.14	3.20 W	0.00	239 of 473 - Sale ²⁵
1.000		7.94	06/29/20	11.59	3.65 W	0.00	240 of 473 - Sale ²⁵
1.000		7.94	06/29/20	13.92	5.98 W	0.00	241 of 473 - Sale ²⁵
2.000		15.89	06/29/20	25.34	9.45 W	0.00	242 of 473 - Sale ²⁵
2.000		15.89	06/29/20	25.34	9.45 W	0.00	243 of 473 - Sale ²⁵

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(continued)

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	2.000	15.89	06/29/20	21.90	6.01 W	0.00	244 of 473 - Sale ²⁵
	4.000	31.77	06/29/20	44.06	12.29 W	0.00	245 of 473 - Sale ²⁵
	4.000	31.77	06/29/20	56.31	24.54 W	0.00	246 of 473 - Sale ²⁵
	5.000	39.72	06/29/20	63.45	23.73 W	0.00	247 of 473 - Sale ²⁵
	6.000	47.66	06/29/20	66.10	18.44 W	0.00	248 of 473 - Sale ²⁵
	9.000	71.49	06/29/20	124.27	52.78 W	0.00	249 of 473 - Sale ²⁵
	9.000	71.49	06/29/20	124.27	52.78 W	0.00	250 of 473 - Sale ²⁵
	9.000	71.49	06/29/20	126.57	55.08 W	0.00	251 of 473 - Sale ²⁵
	10.000	79.44	06/29/20	140.79	61.35 W	0.00	252 of 473 - Sale ²⁵
	11.000	87.38	06/29/20	120.45	33.07 W	0.00	253 of 473 - Sale ²⁵
	17.000	135.04	06/29/20	186.18	51.14 W	0.00	254 of 473 - Sale ²⁵
	18.000	142.98	06/29/20	197.30	54.32 W	0.00	255 of 473 - Sale ²⁵
	26.000	206.53	06/29/20	366.03	159.50 W	0.00	256 of 473 - Sale ²⁵
	30.000	238.30	06/29/20	384.63	146.33 W	0.00	257 of 473 - Sale ²⁵
	30.000	238.30	06/29/20	414.26	175.96 W	0.00	258 of 473 - Sale ²⁵
	39.000	309.79	06/29/20	429.45	119.66 W	0.00	259 of 473 - Sale ²⁵
	39.000	309.80	06/29/20	544.38	234.58 W	0.00	260 of 473 - Sale ²⁵
	40.000	317.74	06/29/20	503.64	185.90 W	0.00	261 of 473 - Sale ²⁵
	160.000	1,270.97	06/29/20	1,370.36	99.39 W	0.00	262 of 473 - Sale ²⁵
	1.000	7.94	06/29/20	8.00	0.06 W	0.00	263 of 473 - Sale ²⁵
	1.000	7.89	06/29/20	12.03	4.14 W	0.00	264 of 473 - Sale ²⁵
	1.000	7.89	06/29/20	12.37	4.48 W	0.00	265 of 473 - Sale ²⁵
	1.000	7.89	06/29/20	12.80	4.91 W	0.00	266 of 473 - Sale ²⁵
	17.000	134.12	06/29/20	212.61	78.49 W	0.00	267 of 473 - Sale ²⁵
	1.000	7.89	06/29/20	14.17	6.28 W	0.00	268 of 473 - Sale ²⁵
	1.000	7.89	06/29/20	13.75	5.86 W	0.00	269 of 473 - Sale ²⁵
	1.000	7.89	06/29/20	13.57	5.68 W	0.00	270 of 473 - Sale ²⁵
	1.000	7.89	06/29/20	13.03	5.14 W	0.00	271 of 473 - Sale ²⁵
	1.000	7.89	06/29/20	13.11	5.22 W	0.00	272 of 473 - Sale ²⁵
	1.000	7.89	06/29/20	13.23	5.34 W	0.00	273 of 473 - Sale ²⁵
	1.000	7.89	06/29/20	13.63	5.74 W	0.00	274 of 473 - Sale ²⁵
	1.000	7.89	06/29/20	12.66	4.77 W	0.00	275 of 473 - Sale ²⁵
	1.000	7.89	06/29/20	12.55	4.66 W	0.00	276 of 473 - Sale ²⁵
	1.000	7.89	06/29/20	12.73	4.84 W	0.00	277 of 473 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	2.000	15.78	06/29/20	28.64	12.86 W	0.00	278 of 473 - Sale ²⁵
	2.000	15.78	06/29/20	25.13	9.35 W	0.00	279 of 473 - Sale ²⁵
	2.000	15.78	06/29/20	25.13	9.35 W	0.00	280 of 473 - Sale ²⁵
	2.000	15.78	06/29/20	25.31	9.53 W	0.00	281 of 473 - Sale ²⁵
	2.000	15.78	06/29/20	25.31	9.53 W	0.00	282 of 473 - Sale ²⁵
	3.000	23.67	06/29/20	39.93	16.26 W	0.00	283 of 473 - Sale ²⁵
	4.000	31.56	06/29/20	52.20	20.64 W	0.00	284 of 473 - Sale ²⁵
	5.000	39.45	06/29/20	63.37	23.92 W	0.00	285 of 473 - Sale ²⁵
	8.000	63.12	06/29/20	114.61	51.49 W	0.00	286 of 473 - Sale ²⁵
	9.000	71.01	06/29/20	113.11	42.10 W	0.00	287 of 473 - Sale ²⁵
	9.000	71.01	06/29/20	119.95	48.94 W	0.00	288 of 473 - Sale ²⁵
	10.000	78.90	06/29/20	137.33	58.43 W	0.00	289 of 473 - Sale ²⁵
	10.000	78.90	06/29/20	130.53	51.63 W	0.00	290 of 473 - Sale ²⁵
	11.000	86.79	06/29/20	143.56	56.77 W	0.00	291 of 473 - Sale ²⁵
	2.000	15.78	06/29/20	21.87	6.09 W	0.00	292 of 473 - Sale ²⁵
	17.000	134.12	06/29/20	185.93	51.81 W	0.00	293 of 473 - Sale ²⁵
	18.000	142.01	06/29/20	240.26	98.25 W	0.00	294 of 473 - Sale ²⁵
	18.000	142.01	06/29/20	197.03	55.02 W	0.00	295 of 473 - Sale ²⁵
	19.000	149.90	06/29/20	262.18	112.28 W	0.00	296 of 473 - Sale ²⁵
	20.000	157.79	06/29/20	286.36	128.57 W	0.00	297 of 473 - Sale ²⁵
	23.000	181.46	06/29/20	300.48	119.02 W	0.00	298 of 473 - Sale ²⁵
	30.000	236.69	06/29/20	384.19	147.50 W	0.00	299 of 473 - Sale ²⁵
	31.000	244.58	06/29/20	413.20	168.62 W	0.00	300 of 473 - Sale ²⁵
	36.000	284.03	06/29/20	452.32	168.29 W	0.00	301 of 473 - Sale ²⁵
	37.000	291.92	06/29/20	492.78	200.86 W	0.00	302 of 473 - Sale ²⁵
	40.000	315.59	06/29/20	503.04	187.45 W	0.00	303 of 473 - Sale ²⁵
	1.000	7.89	06/29/20	11.00	3.11 W	0.00	304 of 473 - Sale ²⁵
	1.000	7.89	06/29/20	11.13	3.24 W	0.00	305 of 473 - Sale ²⁵
	1.000	7.89	06/29/20	11.58	3.69 W	0.00	306 of 473 - Sale ²⁵
	1.000	7.89	06/29/20	13.91	6.02 W	0.00	307 of 473 - Sale ²⁵
	2.000	15.78	06/29/20	21.87	6.09 W	0.00	308 of 473 - Sale ²⁵
	4.000	31.56	06/29/20	44.00	12.44 W	0.00	309 of 473 - Sale ²⁵
	4.000	31.56	06/29/20	56.25	24.69 W	0.00	310 of 473 - Sale ²⁵
	6.000	47.34	06/29/20	66.01	18.67 W	0.00	311 of 473 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	9.000	71.01	06/29/20	124.14	53.13 W	0.00	312 of 473 - Sale ²⁵
	9.000	71.01	06/29/20	124.14	53.13 W	0.00	313 of 473 - Sale ²⁵
	9.000	71.01	06/29/20	126.44	55.43 W	0.00	314 of 473 - Sale ²⁵
	10.000	78.89	06/29/20	140.64	61.75 W	0.00	315 of 473 - Sale ²⁵
	9.000	71.01	06/29/20	98.41	27.40 W	0.00	316 of 473 - Sale ²⁵
	26.000	205.13	06/29/20	365.64	160.51 W	0.00	317 of 473 - Sale ²⁵
	30.000	236.69	06/29/20	413.82	177.13 W	0.00	318 of 473 - Sale ²⁵
	39.000	307.70	06/29/20	428.87	121.17 W	0.00	319 of 473 - Sale ²⁵
	39.000	307.69	06/29/20	543.79	236.10 W	0.00	320 of 473 - Sale ²⁵
	5.000	39.45	06/29/20	41.74	2.29 W	0.00	321 of 473 - Sale ²⁵
	149.000	1,175.56	06/29/20	1,247.09	71.53 W	0.00	322 of 473 - Sale ²⁵
	40.000	315.19	06/29/20	339.98	24.79 W	0.00	323 of 473 - Sale ²⁵
	15.000	118.19	06/29/20	128.25	10.06 W	0.00	324 of 473 - Sale ²⁵
	45.000	354.59	06/29/20	376.64	22.05 W	0.00	325 of 473 - Sale ²⁵
	1.000	7.88	06/29/20	7.99	0.11 W	0.00	326 of 473 - Sale ²⁵
	145.000	1,142.55	06/29/20	1,239.70	97.15 W	0.00	327 of 473 - Sale ²⁵
	1.000	7.54	06/29/20	11.81	4.27 W	0.00	328 of 473 - Sale ²⁵
	1.000	7.54	06/29/20	12.15	4.61 W	0.00	329 of 473 - Sale ²⁵
	1.000	7.54	06/29/20	12.58	5.04 W	0.00	330 of 473 - Sale ²⁵
	17.000	128.17	06/29/20	208.88	80.71 W	0.00	331 of 473 - Sale ²⁵
	1.000	7.54	06/29/20	13.95	6.41 W	0.00	332 of 473 - Sale ²⁵
	1.000	7.54	06/29/20	13.53	5.99 W	0.00	333 of 473 - Sale ²⁵
	2.000	15.08	06/29/20	28.20	13.12 W	0.00	334 of 473 - Sale ²⁵
	8.000	60.32	06/29/20	112.85	52.53 W	0.00	335 of 473 - Sale ²⁵
	10.000	75.40	06/29/20	135.13	59.73 W	0.00	336 of 473 - Sale ²⁵
	19.000	143.25	06/29/20	258.01	114.76 W	0.00	337 of 473 - Sale ²⁵
	20.000	150.79	06/29/20	281.97	131.18 W	0.00	338 of 473 - Sale ²⁵
	1.000	7.54	06/29/20	13.35	5.81 W	0.00	339 of 473 - Sale ²⁵
	4.000	30.16	06/29/20	49.38	19.22 W	0.00	340 of 473 - Sale ²⁵
	1.000	7.54	06/29/20	12.81	5.27 W	0.00	341 of 473 - Sale ²⁵
	1.000	7.54	06/29/20	12.89	5.35 W	0.00	342 of 473 - Sale ²⁵
	1.000	7.54	06/29/20	13.01	5.47 W	0.00	343 of 473 - Sale ²⁵
	1.000	7.54	06/29/20	13.41	5.87 W	0.00	344 of 473 - Sale ²⁵
	1.000	7.54	06/29/20	12.44	4.90 W	0.00	345 of 473 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	1.000	7.54	06/29/20	12.33	4.79 W	0.00	346 of 473 - Sale ²⁵
	1.000	7.54	06/29/20	12.51	4.97 W	0.00	347 of 473 - Sale ²⁵
	1.000	7.54	06/29/20	10.78	3.24 W	0.00	348 of 473 - Sale ²⁵
	1.000	7.54	06/29/20	10.91	3.37 W	0.00	349 of 473 - Sale ²⁵
	1.000	7.54	06/29/20	11.36	3.82 W	0.00	350 of 473 - Sale ²⁵
	1.000	7.54	06/29/20	13.69	6.15 W	0.00	351 of 473 - Sale ²⁵
	2.000	15.08	06/29/20	24.69	9.61 W	0.00	352 of 473 - Sale ²⁵
	2.000	15.08	06/29/20	24.69	9.61 W	0.00	353 of 473 - Sale ²⁵
	2.000	15.08	06/29/20	24.87	9.79 W	0.00	354 of 473 - Sale ²⁵
	2.000	15.08	06/29/20	24.87	9.79 W	0.00	355 of 473 - Sale ²⁵
	2.000	15.08	06/29/20	21.43	6.35 W	0.00	356 of 473 - Sale ²⁵
	2.000	15.08	06/29/20	21.43	6.35 W	0.00	357 of 473 - Sale ²⁵
	3.000	22.62	06/29/20	39.27	16.65 W	0.00	358 of 473 - Sale ²⁵
	4.000	30.16	06/29/20	51.32	21.16 W	0.00	359 of 473 - Sale ²⁵
	4.000	30.16	06/29/20	43.12	12.96 W	0.00	360 of 473 - Sale ²⁵
	4.000	30.16	06/29/20	55.37	25.21 W	0.00	361 of 473 - Sale ²⁵
	5.000	37.70	06/29/20	62.27	24.57 W	0.00	362 of 473 - Sale ²⁵
	6.000	45.24	06/29/20	64.69	19.45 W	0.00	363 of 473 - Sale ²⁵
	9.000	67.86	06/29/20	111.13	43.27 W	0.00	364 of 473 - Sale ²⁵
	9.000	67.86	06/29/20	117.97	50.11 W	0.00	365 of 473 - Sale ²⁵
	9.000	67.86	06/29/20	96.43	28.57 W	0.00	366 of 473 - Sale ²⁵
	9.000	67.86	06/29/20	122.16	54.30 W	0.00	367 of 473 - Sale ²⁵
	9.000	67.86	06/29/20	122.16	54.30 W	0.00	368 of 473 - Sale ²⁵
	9.000	67.86	06/29/20	124.46	56.60 W	0.00	369 of 473 - Sale ²⁵
	10.000	75.40	06/29/20	128.33	52.93 W	0.00	370 of 473 - Sale ²⁵
	10.000	75.40	06/29/20	138.45	63.05 W	0.00	371 of 473 - Sale ²⁵
	11.000	82.94	06/29/20	141.14	58.20 W	0.00	372 of 473 - Sale ²⁵
	17.000	128.18	06/29/20	182.20	54.02 W	0.00	373 of 473 - Sale ²⁵
	18.000	135.72	06/29/20	236.31	100.59 W	0.00	374 of 473 - Sale ²⁵
	18.000	135.72	06/29/20	193.08	57.36 W	0.00	375 of 473 - Sale ²⁵
	23.000	173.42	06/29/20	295.43	122.01 W	0.00	376 of 473 - Sale ²⁵
	26.000	196.04	06/29/20	359.93	163.89 W	0.00	377 of 473 - Sale ²⁵
	30.000	226.19	06/29/20	377.60	151.41 W	0.00	378 of 473 - Sale ²⁵
	30.000	226.19	06/29/20	407.23	181.04 W	0.00	379 of 473 - Sale ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	31.000	233.73	06/29/20	406.39	172.66 W	0.00	380 of 473 - Sale ²⁵
	32.000	241.27	06/29/20	395.03	153.76 W	0.00	381 of 473 - Sale ²⁵
	37.000	278.97	06/29/20	484.65	205.68 W	0.00	382 of 473 - Sale ²⁵
	39.000	294.05	06/29/20	420.30	126.25 W	0.00	383 of 473 - Sale ²⁵
	39.000	294.05	06/29/20	535.23	241.18 W	0.00	384 of 473 - Sale ²⁵
	40.000	301.59	06/29/20	494.25	192.66 W	0.00	385 of 473 - Sale ²⁵
	1.000	7.54	06/29/20	7.78	0.24 W	0.00	386 of 473 - Sale ²⁵
	5.000	37.70	06/29/20	40.64	2.94 W	0.00	387 of 473 - Sale ²⁵
	15.000	113.10	06/29/20	125.11	12.01 W	0.00	388 of 473 - Sale ²⁵
	40.000	301.59	06/29/20	331.59	30.00 W	0.00	389 of 473 - Sale ²⁵
	45.000	339.29	06/29/20	367.20	27.91 W	0.00	390 of 473 - Sale ²⁵
	145.000	1,093.27	06/29/20	1,209.30	116.03 W	0.00	391 of 473 - Sale ²⁵
	149.000	1,123.43	06/29/20	1,214.36	90.93 W	0.00	392 of 473 - Sale ²⁵
	1,000.000	7,539.80	06/29/20	7,670.00	130.20 W	0.00	393 of 473 - Sale ²⁵
	1.000	7.23	06/29/20	11.76	4.53 W	0.00	394 of 473 - Sale ²⁵
	1.000	7.23	06/29/20	12.10	4.87 W	0.00	395 of 473 - Sale ²⁵
	1.000	7.23	06/29/20	12.53	5.30 W	0.00	396 of 473 - Sale ²⁵
	17.000	122.91	06/29/20	208.04	85.13 W	0.00	397 of 473 - Sale ²⁵
	1.000	7.23	06/29/20	13.90	6.67 W	0.00	398 of 473 - Sale ²⁵
	1.000	7.23	06/29/20	13.48	6.25 W	0.00	399 of 473 - Sale ²⁵
	1.000	7.23	06/29/20	13.30	6.07 W	0.00	400 of 473 - Sale ²⁵
	1.000	7.23	06/29/20	12.76	5.53 W	0.00	401 of 473 - Sale ²⁵
	1.000	7.23	06/29/20	12.84	5.61 W	0.00	402 of 473 - Sale ²⁵
	1.000	7.23	06/29/20	12.96	5.73 W	0.00	403 of 473 - Sale ²⁵
	2.000	14.46	06/29/20	28.10	13.64 W	0.00	404 of 473 - Sale ²⁵
	2.000	14.46	06/29/20	24.59	10.13 W	0.00	405 of 473 - Sale ²⁵
	2.000	14.46	06/29/20	24.59	10.13 W	0.00	406 of 473 - Sale ²⁵
	3.000	21.69	06/29/20	39.12	17.43 W	0.00	407 of 473 - Sale ²⁵
	4.000	28.92	06/29/20	49.18	20.26 W	0.00	408 of 473 - Sale ²⁵
	4.000	28.92	06/29/20	51.12	22.20 W	0.00	409 of 473 - Sale ²⁵
	8.000	57.84	06/29/20	112.45	54.61 W	0.00	410 of 473 - Sale ²⁵
	9.000	65.07	06/29/20	110.68	45.61 W	0.00	411 of 473 - Sale ²⁵
	10.000	72.30	06/29/20	134.63	62.33 W	0.00	412 of 473 - Sale ²⁵
	10.000	72.30	06/29/20	127.83	55.53 W	0.00	413 of 473 - Sale ²⁵

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(continued)

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
11.000		79.53	06/29/20	140.59	61.06 W	0.00	414 of 473 - Sale ²⁵
19.000		137.36	06/29/20	257.07	119.71 W	0.00	415 of 473 - Sale ²⁵
20.000		144.59	06/29/20	280.98	136.39 W	0.00	416 of 473 - Sale ²⁵
23.000		166.28	06/29/20	294.28	128.00 W	0.00	417 of 473 - Sale ²⁵
28.000		202.43	06/29/20	365.67	163.24 W	0.00	418 of 473 - Sale ²⁵
32.000		231.35	06/29/20	393.44	162.09 W	0.00	419 of 473 - Sale ²⁵
37.000		267.49	06/29/20	482.81	215.32 W	0.00	420 of 473 - Sale ²⁵
1.000		7.48	06/29/20	13.46	5.98 W	0.00	421 of 473 - Sale ²⁵
3.000		22.44	06/29/20	39.48	17.04 W	0.00	422 of 473 - Sale ²⁵
9.000		67.32	06/29/20	118.42	51.10 W	0.00	423 of 473 - Sale ²⁵
18.000		134.63	06/29/20	237.21	102.58 W	0.00	424 of 473 - Sale ²⁵
30.000		224.39	06/29/20	379.11	154.72 W	0.00	425 of 473 - Sale ²⁵
38.000		284.23	06/29/20	471.45	187.22 W	0.00	426 of 473 - Sale ²⁵
1.000		7.48	06/29/20	12.50	5.02 W	0.00	427 of 473 - Sale ²⁵
1.000		7.48	06/29/20	12.39	4.91 W	0.00	428 of 473 - Sale ²⁵
1.000		7.48	06/29/20	12.57	5.09 W	0.00	429 of 473 - Sale ²⁵
2.000		14.96	06/29/20	24.83	9.87 W	0.00	430 of 473 - Sale ²⁵
2.000		14.96	06/29/20	24.99	10.03 W	0.00	431 of 473 - Sale ²⁵
2.000		14.96	06/29/20	24.99	10.03 W	0.00	432 of 473 - Sale ²⁵
2.000		14.96	06/29/20	21.55	6.59 W	0.00	433 of 473 - Sale ²⁵
2.000		14.96	06/29/20	21.55	6.59 W	0.00	434 of 473 - Sale ²⁵
5.000		37.40	06/29/20	62.57	25.17 W	0.00	435 of 473 - Sale ²⁵
9.000		67.32	06/29/20	96.97	29.65 W	0.00	436 of 473 - Sale ²⁵
17.000		127.15	06/29/20	183.22	56.07 W	0.00	437 of 473 - Sale ²⁵
18.000		134.63	06/29/20	194.16	59.53 W	0.00	438 of 473 - Sale ²⁵
1.000		7.48	06/29/20	10.84	3.36 W	0.00	439 of 473 - Sale ²⁵
1.000		7.48	06/29/20	10.97	3.49 W	0.00	440 of 473 - Sale ²⁵
1.000		7.48	06/29/20	11.42	3.94 W	0.00	441 of 473 - Sale ²⁵
1.000		7.48	06/29/20	13.75	6.27 W	0.00	442 of 473 - Sale ²⁵
4.000		29.92	06/29/20	43.36	13.44 W	0.00	443 of 473 - Sale ²⁵
6.000		44.88	06/29/20	65.05	20.17 W	0.00	444 of 473 - Sale ²⁵
9.000		67.32	06/29/20	122.70	55.38 W	0.00	445 of 473 - Sale ²⁵
9.000		67.32	06/29/20	122.70	55.38 W	0.00	446 of 473 - Sale ²⁵
30.000		224.39	06/29/20	409.04	184.65 W	0.00	447 of 473 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	37.000	276.75	06/29/20	510.01	233.26 W	0.00	448 of 473 - Sale ²⁵
	39.000	291.71	06/29/20	422.65	130.94 W	0.00	449 of 473 - Sale ²⁵
	2.000	14.96	06/29/20	27.57	12.61 W	0.00	450 of 473 - Sale ²⁵
	4.000	29.92	06/29/20	55.61	25.69 W	0.00	451 of 473 - Sale ²⁵
	9.000	67.32	06/29/20	125.00	57.68 W	0.00	452 of 473 - Sale ²⁵
	10.000	74.80	06/29/20	139.05	64.25 W	0.00	453 of 473 - Sale ²⁵
	26.000	194.47	06/29/20	361.49	167.02 W	0.00	454 of 473 - Sale ²⁵
	5.000	37.40	06/29/20	40.94	3.54 W	0.00	455 of 473 - Sale ²⁵
	44.000	329.10	06/29/20	361.25	32.15 W	0.00	456 of 473 - Sale ²⁵
	101.000	755.45	06/29/20	829.24	73.79 W	0.00	457 of 473 - Sale ²⁵
	4.000	29.92	06/29/20	32.80	2.88 W	0.00	458 of 473 - Sale ²⁵
	15.000	112.19	06/29/20	125.86	13.67 W	0.00	459 of 473 - Sale ²⁵
	40.000	299.19	06/29/20	333.60	34.41 W	0.00	460 of 473 - Sale ²⁵
	45.000	336.58	06/29/20	369.46	32.88 W	0.00	461 of 473 - Sale ²⁵
	57.000	426.34	06/29/20	478.24	51.90 W	0.00	462 of 473 - Sale ²⁵
	35.000	261.80	06/29/20	293.66	31.86 W	0.00	463 of 473 - Sale ²⁵
	4.000	29.92	06/29/20	33.56	3.64 W	0.00	464 of 473 - Sale ²⁵
	46.000	344.08	06/29/20	386.41	42.33 W	0.00	465 of 473 - Sale ²⁵
	1.000	7.48	06/29/20	7.84	0.36 W	0.00	466 of 473 - Sale ²⁵
	3.000	22.44	06/29/20	25.20	2.76 W	0.00	467 of 473 - Sale ²⁵
	10.000	74.80	06/29/20	77.30	2.50 W	0.00	468 of 473 - Sale ²⁵
	5.000	37.40	06/29/20	38.65	1.25 W	0.00	469 of 473 - Sale ²⁵
	35.000	261.80	06/29/20	270.56	8.76 W	0.00	470 of 473 - Sale ²⁵
	2.000	14.84	06/29/20	15.46	0.62 W	0.00	471 of 473 - Sale ²⁵
	50.000	371.00	06/29/20	386.51	15.51 W	0.00	472 of 473 - Sale ²⁵
	48.000	356.16	06/29/20	371.05	14.89 W	0.00	473 of 473 - Sale ²⁵
06/29/20	8,706.000	72,139.81	Various	98,835.41	26,695.60 W	0.00	Total of 473 transactions
	15 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	1.000	8.74	06/30/20	8.58	...	0.16	1 of 15 - Sale ²⁵
	5.000	40.00	06/30/20	39.75	...	0.25	2 of 15 - Sale ²⁵
	10.000	80.00	06/30/20	79.50	...	0.50	3 of 15 - Sale ²⁵
	14.000	111.99	06/30/20	111.30	...	0.69	4 of 15 - Sale ²⁵
	1.000	8.44	06/30/20	7.70	...	0.74	5 of 15 - Sale ²⁵
	21.000	167.99	06/30/20	166.96	...	1.03	6 of 15 - Sale ²⁵

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Account 412265225

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	9.000	78.66	06/30/20	77.40	...	1.26	7 of 15 - Sale ²⁵
	5.000	43.70	06/30/20	42.39	...	1.31	8 of 15 - Sale ²⁵
	10.000	87.40	06/30/20	85.47	...	1.93	9 of 15 - Sale ²⁵
	31.000	270.93	06/30/20	266.68	...	4.25	10 of 15 - Sale ²⁵
	44.000	384.54	06/30/20	374.11	...	10.43	11 of 15 - Sale ²⁵
	149.000	1,191.95	06/30/20	1,147.30	...	44.65	12 of 15 - Sale ²⁵
	250.000	1,999.92	06/30/20	1,955.12	...	44.80	13 of 15 - Sale ²⁵
	250.000	1,837.43	06/30/20	1,768.80	...	68.63	14 of 15 - Sale ²⁵
	600.000	4,799.82	06/30/20	4,620.00	...	179.82	15 of 15 - Sale ²⁵
06/30/20	1,400.000	11,111.51	Various	10,751.06	...	360.45	Total of 15 transactions
<i>341 transactions for 06/30/20. Total proceeds and cost reported to the IRS.</i>							
	22.000	153.56	06/29/20	170.06	16.50 W	0.00	1 of 341 - Sale ²⁵
	10.000	69.80	06/29/20	77.30	7.50 W	0.00	2 of 341 - Sale ²⁵
	68.000	471.23	06/29/20	525.66	54.43 W	0.00	3 of 341 - Sale ²⁵
	250.000	1,837.43	06/30/20	1,867.55	30.12 W	0.00	4 of 341 - Sale ²⁵
	1.000	7.35	06/30/20	12.08	4.73 W	0.00	5 of 341 - Sale ²⁵
	1.000	7.35	06/30/20	12.41	5.06 W	0.00	6 of 341 - Sale ²⁵
	1.000	7.35	06/30/20	12.85	5.50 W	0.00	7 of 341 - Sale ²⁵
	17.000	124.94	06/30/20	213.39	88.45 W	0.00	8 of 341 - Sale ²⁵
	1.000	7.35	06/30/20	14.22	6.87 W	0.00	9 of 341 - Sale ²⁵
	1.000	7.35	06/30/20	13.79	6.44 W	0.00	10 of 341 - Sale ²⁵
	1.000	7.35	06/30/20	13.62	6.27 W	0.00	11 of 341 - Sale ²⁵
	1.000	7.35	06/30/20	13.07	5.72 W	0.00	12 of 341 - Sale ²⁵
	1.000	7.35	06/30/20	13.16	5.81 W	0.00	13 of 341 - Sale ²⁵
	1.000	7.35	06/30/20	13.27	5.92 W	0.00	14 of 341 - Sale ²⁵
	2.000	14.70	06/30/20	28.73	14.03 W	0.00	15 of 341 - Sale ²⁵
	2.000	14.70	06/30/20	25.22	10.52 W	0.00	16 of 341 - Sale ²⁵
	2.000	14.70	06/30/20	25.22	10.52 W	0.00	17 of 341 - Sale ²⁵
	3.000	22.05	06/30/20	40.07	18.02 W	0.00	18 of 341 - Sale ²⁵
	4.000	29.40	06/30/20	50.44	21.04 W	0.00	19 of 341 - Sale ²⁵
	4.000	29.40	06/30/20	52.38	22.98 W	0.00	20 of 341 - Sale ²⁵
	8.000	58.80	06/30/20	114.97	56.17 W	0.00	21 of 341 - Sale ²⁵
	9.000	66.15	06/30/20	113.52	47.37 W	0.00	22 of 341 - Sale ²⁵
	10.000	73.50	06/30/20	137.78	64.28 W	0.00	23 of 341 - Sale ²⁵

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(continued)

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
10.000		73.50	06/30/20	130.98	57.48 W	0.00	24 of 341 - Sale ²⁵
11.000		80.85	06/30/20	144.06	63.21 W	0.00	25 of 341 - Sale ²⁵
19.000		139.64	06/30/20	263.06	123.42 W	0.00	26 of 341 - Sale ²⁵
20.000		146.99	06/30/20	287.29	140.30 W	0.00	27 of 341 - Sale ²⁵
23.000		169.04	06/30/20	301.53	132.49 W	0.00	28 of 341 - Sale ²⁵
28.000		205.79	06/30/20	374.50	168.71 W	0.00	29 of 341 - Sale ²⁵
32.000		235.19	06/30/20	403.53	168.34 W	0.00	30 of 341 - Sale ²⁵
37.000		271.94	06/30/20	494.48	222.54 W	0.00	31 of 341 - Sale ²⁵
249.000		1,830.07	06/30/20	1,911.12	81.05 W	0.00	32 of 341 - Sale ²⁵
1.000		7.33	06/30/20	7.68	0.35 W	0.00	33 of 341 - Sale ²⁵
1.000		8.00	06/30/20	12.43	4.43 W	0.00	34 of 341 - Sale ²⁵
1.000		8.00	06/30/20	12.76	4.76 W	0.00	35 of 341 - Sale ²⁵
1.000		8.00	06/30/20	13.20	5.20 W	0.00	36 of 341 - Sale ²⁵
17.000		135.99	06/30/20	219.35	83.36 W	0.00	37 of 341 - Sale ²⁵
1.000		8.00	06/30/20	13.68	5.68 W	0.00	38 of 341 - Sale ²⁵
3.000		24.00	06/30/20	40.14	16.14 W	0.00	39 of 341 - Sale ²⁵
9.000		72.00	06/30/20	120.40	48.40 W	0.00	40 of 341 - Sale ²⁵
18.000		143.99	06/30/20	241.18	97.19 W	0.00	41 of 341 - Sale ²⁵
30.000		239.99	06/30/20	385.72	145.73 W	0.00	42 of 341 - Sale ²⁵
19.000		152.00	06/30/20	239.91	87.91 W	0.00	43 of 341 - Sale ²⁵
1.000		8.00	06/30/20	12.72	4.72 W	0.00	44 of 341 - Sale ²⁵
1.000		8.00	06/30/20	12.61	4.61 W	0.00	45 of 341 - Sale ²⁵
1.000		8.00	06/30/20	12.79	4.79 W	0.00	46 of 341 - Sale ²⁵
2.000		16.00	06/30/20	25.27	9.27 W	0.00	47 of 341 - Sale ²⁵
2.000		16.00	06/30/20	25.43	9.43 W	0.00	48 of 341 - Sale ²⁵
2.000		16.00	06/30/20	25.43	9.43 W	0.00	49 of 341 - Sale ²⁵
5.000		40.00	06/30/20	63.67	23.67 W	0.00	50 of 341 - Sale ²⁵
9.000		72.00	06/30/20	98.98	26.98 W	0.00	51 of 341 - Sale ²⁵
18.000		143.99	06/30/20	198.13	54.14 W	0.00	52 of 341 - Sale ²⁵
19.000		152.00	06/30/20	239.91	87.91 W	0.00	53 of 341 - Sale ²⁵
1.000		8.00	06/30/20	11.06	3.06 W	0.00	54 of 341 - Sale ²⁵
1.000		8.00	06/30/20	11.19	3.19 W	0.00	55 of 341 - Sale ²⁵
1.000		8.00	06/30/20	11.64	3.64 W	0.00	56 of 341 - Sale ²⁵
1.000		8.00	06/30/20	13.97	5.97 W	0.00	57 of 341 - Sale ²⁵

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	1.000	8.00	06/30/20	14.57	6.57 W	0.00	58 of 341 - Sale ²⁵
	1.000	8.00	06/30/20	14.14	6.14 W	0.00	59 of 341 - Sale ²⁵
	1.000	8.00	06/30/20	13.97	5.97 W	0.00	60 of 341 - Sale ²⁵
	1.000	8.00	06/30/20	13.42	5.42 W	0.00	61 of 341 - Sale ²⁵
	1.000	8.00	06/30/20	13.51	5.51 W	0.00	62 of 341 - Sale ²⁵
	1.000	8.00	06/30/20	13.62	5.62 W	0.00	63 of 341 - Sale ²⁵
	2.000	16.00	06/30/20	21.99	5.99 W	0.00	64 of 341 - Sale ²⁵
	2.000	16.00	06/30/20	21.99	5.99 W	0.00	65 of 341 - Sale ²⁵
	2.000	16.00	06/30/20	28.01	12.01 W	0.00	66 of 341 - Sale ²⁵
	2.000	16.00	06/30/20	29.43	13.43 W	0.00	67 of 341 - Sale ²⁵
	2.000	16.00	06/30/20	25.92	9.92 W	0.00	68 of 341 - Sale ²⁵
	2.000	16.00	06/30/20	25.92	9.92 W	0.00	69 of 341 - Sale ²⁵
	3.000	24.00	06/30/20	41.12	17.12 W	0.00	70 of 341 - Sale ²⁵
	4.000	32.00	06/30/20	44.24	12.24 W	0.00	71 of 341 - Sale ²⁵
	4.000	32.00	06/30/20	56.49	24.49 W	0.00	72 of 341 - Sale ²⁵
	4.000	32.00	06/30/20	51.84	19.84 W	0.00	73 of 341 - Sale ²⁵
	4.000	32.00	06/30/20	53.78	21.78 W	0.00	74 of 341 - Sale ²⁵
	6.000	48.00	06/30/20	66.37	18.37 W	0.00	75 of 341 - Sale ²⁵
	8.000	64.00	06/30/20	117.77	53.77 W	0.00	76 of 341 - Sale ²⁵
	9.000	72.00	06/30/20	98.95	26.95 W	0.00	77 of 341 - Sale ²⁵
	9.000	72.00	06/30/20	124.68	52.68 W	0.00	78 of 341 - Sale ²⁵
	9.000	72.00	06/30/20	124.68	52.68 W	0.00	79 of 341 - Sale ²⁵
	9.000	72.00	06/30/20	126.98	54.98 W	0.00	80 of 341 - Sale ²⁵
	9.000	71.99	06/30/20	116.67	44.68 W	0.00	81 of 341 - Sale ²⁵
	10.000	80.00	06/30/20	141.25	61.25 W	0.00	82 of 341 - Sale ²⁵
	10.000	80.00	06/30/20	141.28	61.28 W	0.00	83 of 341 - Sale ²⁵
	10.000	80.00	06/30/20	134.48	54.48 W	0.00	84 of 341 - Sale ²⁵
	11.000	87.99	06/30/20	147.91	59.92 W	0.00	85 of 341 - Sale ²⁵
	8.000	64.00	06/30/20	87.99	23.99 W	0.00	86 of 341 - Sale ²⁵
	19.000	151.99	06/30/20	269.72	117.73 W	0.00	87 of 341 - Sale ²⁵
	20.000	159.99	06/30/20	294.30	134.31 W	0.00	88 of 341 - Sale ²⁵
	23.000	183.99	06/30/20	309.59	125.60 W	0.00	89 of 341 - Sale ²⁵
	26.000	207.99	06/30/20	367.22	159.23 W	0.00	90 of 341 - Sale ²⁵
	30.000	239.99	06/30/20	415.65	175.66 W	0.00	91 of 341 - Sale ²⁵

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	32.000	255.98	06/30/20	414.74	158.76 W	0.00	92 of 341 - Sale ²⁵
	37.000	295.99	06/30/20	518.16	222.17 W	0.00	93 of 341 - Sale ²⁵
	25.000	199.98	06/30/20	342.86	142.88 W	0.00	94 of 341 - Sale ²⁵
	39.000	311.99	06/30/20	431.24	119.25 W	0.00	95 of 341 - Sale ²⁵
	18.000	144.00	06/30/20	247.06	103.06 W	0.00	96 of 341 - Sale ²⁵
	12.000	96.00	06/30/20	164.58	68.58 W	0.00	97 of 341 - Sale ²⁵
	10.000	80.00	06/30/20	137.25	57.25 W	0.00	98 of 341 - Sale ²⁵
	10.000	80.00	06/30/20	84.50	4.50 W	0.00	99 of 341 - Sale ²⁵
	22.000	175.99	06/30/20	185.90	9.91 W	0.00	100 of 341 - Sale ²⁵
	58.000	463.98	06/30/20	493.03	29.05 W	0.00	101 of 341 - Sale ²⁵
	10.000	80.00	06/30/20	85.00	5.00 W	0.00	102 of 341 - Sale ²⁵
	1.000	8.00	06/30/20	8.06	0.06 W	0.00	103 of 341 - Sale ²⁵
	3.000	24.00	06/30/20	25.86	1.86 W	0.00	104 of 341 - Sale ²⁵
	4.000	32.00	06/30/20	33.68	1.68 W	0.00	105 of 341 - Sale ²⁵
	4.000	32.00	06/30/20	34.44	2.44 W	0.00	106 of 341 - Sale ²⁵
	5.000	40.00	06/30/20	42.04	2.04 W	0.00	107 of 341 - Sale ²⁵
	15.000	119.99	06/30/20	129.17	9.18 W	0.00	108 of 341 - Sale ²⁵
	35.000	279.99	06/30/20	301.36	21.37 W	0.00	109 of 341 - Sale ²⁵
	40.000	319.99	06/30/20	342.41	22.42 W	0.00	110 of 341 - Sale ²⁵
	44.000	351.99	06/30/20	370.95	18.96 W	0.00	111 of 341 - Sale ²⁵
	45.000	359.99	06/30/20	379.38	19.39 W	0.00	112 of 341 - Sale ²⁵
	46.000	367.98	06/30/20	396.53	28.55 W	0.00	113 of 341 - Sale ²⁵
	57.000	455.98	06/30/20	490.80	34.82 W	0.00	114 of 341 - Sale ²⁵
	101.000	807.97	06/30/20	851.49	43.52 W	0.00	115 of 341 - Sale ²⁵
	1.000	8.00	06/30/20	8.05	0.05 W	0.00	116 of 341 - Sale ²⁵
	2.000	16.00	06/30/20	16.02	0.02 W	0.00	117 of 341 - Sale ²⁵
	48.000	383.99	06/30/20	384.49	0.50 W	0.00	118 of 341 - Sale ²⁵
	50.000	399.99	06/30/20	400.51	0.52 W	0.00	119 of 341 - Sale ²⁵
	249.000	1,991.93	06/30/20	1,998.35	6.42 W	0.00	120 of 341 - Sale ²⁵
	1.000	8.44	06/30/20	12.50	4.06 W	0.00	121 of 341 - Sale ²⁵
	1.000	8.44	06/30/20	12.83	4.39 W	0.00	122 of 341 - Sale ²⁵
	1.000	8.44	06/30/20	13.26	4.82 W	0.00	123 of 341 - Sale ²⁵
	6.000	50.64	06/30/20	77.81	27.17 W	0.00	124 of 341 - Sale ²⁵
	11.000	92.84	06/30/20	142.66	49.82 W	0.00	125 of 341 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	3.000	25.32	06/30/20	40.33	15.01 W	0.00	126 of 341 - Sale ²⁵
	9.000	75.96	06/30/20	120.98	45.02 W	0.00	127 of 341 - Sale ²⁵
	7.000	59.08	06/30/20	94.25	35.17 W	0.00	128 of 341 - Sale ²⁵
	1.000	8.44	06/30/20	13.75	5.31 W	0.00	129 of 341 - Sale ²⁵
	1.000	8.44	06/30/20	12.79	4.35 W	0.00	130 of 341 - Sale ²⁵
	1.000	8.44	06/30/20	12.68	4.24 W	0.00	131 of 341 - Sale ²⁵
	1.000	8.44	06/30/20	12.85	4.41 W	0.00	132 of 341 - Sale ²⁵
	2.000	16.88	06/30/20	25.40	8.52 W	0.00	133 of 341 - Sale ²⁵
	2.000	16.88	06/30/20	25.56	8.68 W	0.00	134 of 341 - Sale ²⁵
	2.000	16.88	06/30/20	25.56	8.68 W	0.00	135 of 341 - Sale ²⁵
	5.000	42.20	06/30/20	63.99	21.79 W	0.00	136 of 341 - Sale ²⁵
	11.000	92.84	06/30/20	148.11	55.27 W	0.00	137 of 341 - Sale ²⁵
	6.000	50.63	06/30/20	66.44	15.81 W	0.00	138 of 341 - Sale ²⁵
	19.000	160.35	06/30/20	241.15	80.80 W	0.00	139 of 341 - Sale ²⁵
	19.000	160.36	06/30/20	241.14	80.78 W	0.00	140 of 341 - Sale ²⁵
	30.000	253.19	06/30/20	387.68	134.49 W	0.00	141 of 341 - Sale ²⁵
	8.000	67.52	06/30/20	88.50	20.98 W	0.00	142 of 341 - Sale ²⁵
	12.000	101.28	06/30/20	132.87	31.59 W	0.00	143 of 341 - Sale ²⁵
	1.000	8.44	06/30/20	11.13	2.69 W	0.00	144 of 341 - Sale ²⁵
	1.000	8.44	06/30/20	11.25	2.81 W	0.00	145 of 341 - Sale ²⁵
	1.000	8.44	06/30/20	11.71	3.27 W	0.00	146 of 341 - Sale ²⁵
	2.000	16.88	06/30/20	22.12	5.24 W	0.00	147 of 341 - Sale ²⁵
	2.000	16.88	06/30/20	22.12	5.24 W	0.00	148 of 341 - Sale ²⁵
	4.000	33.76	06/30/20	44.50	10.74 W	0.00	149 of 341 - Sale ²⁵
	6.000	50.64	06/30/20	66.76	16.12 W	0.00	150 of 341 - Sale ²⁵
	8.000	67.52	06/30/20	88.51	20.99 W	0.00	151 of 341 - Sale ²⁵
	1.000	8.44	06/30/20	11.06	2.62 W	0.00	152 of 341 - Sale ²⁵
	9.000	75.96	06/30/20	99.54	23.58 W	0.00	153 of 341 - Sale ²⁵
	16.000	135.03	06/30/20	222.73	87.70 W	0.00	154 of 341 - Sale ²⁵
	39.000	329.14	06/30/20	433.78	104.64 W	0.00	155 of 341 - Sale ²⁵
	10.000	84.40	06/30/20	139.01	54.61 W	0.00	156 of 341 - Sale ²⁵
	1.000	8.44	06/30/20	14.02	5.58 W	0.00	157 of 341 - Sale ²⁵
	9.000	75.96	06/30/20	125.09	49.13 W	0.00	158 of 341 - Sale ²⁵
	9.000	75.96	06/30/20	125.09	49.13 W	0.00	159 of 341 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	4.000	33.76	06/30/20	55.61	21.85 W	0.00	160 of 341 - Sale ²⁵
	7.000	59.08	06/30/20	98.36	39.28 W	0.00	161 of 341 - Sale ²⁵
	1.000	8.42	06/30/20	14.05	5.63 W	0.00	162 of 341 - Sale ²⁵
	1.000	8.42	06/30/20	14.62	6.20 W	0.00	163 of 341 - Sale ²⁵
	1.000	8.42	06/30/20	14.19	5.77 W	0.00	164 of 341 - Sale ²⁵
	1.000	8.42	06/30/20	14.02	5.60 W	0.00	165 of 341 - Sale ²⁵
	1.000	8.42	06/30/20	13.47	5.05 W	0.00	166 of 341 - Sale ²⁵
	1.000	8.42	06/30/20	13.56	5.14 W	0.00	167 of 341 - Sale ²⁵
	1.000	8.42	06/30/20	13.67	5.25 W	0.00	168 of 341 - Sale ²⁵
	2.000	16.84	06/30/20	28.10	11.26 W	0.00	169 of 341 - Sale ²⁵
	2.000	16.84	06/30/20	29.52	12.68 W	0.00	170 of 341 - Sale ²⁵
	2.000	16.84	06/30/20	26.01	9.17 W	0.00	171 of 341 - Sale ²⁵
	2.000	16.84	06/30/20	26.01	9.17 W	0.00	172 of 341 - Sale ²⁵
	3.000	25.26	06/30/20	41.26	16.00 W	0.00	173 of 341 - Sale ²⁵
	4.000	33.68	06/30/20	56.67	22.99 W	0.00	174 of 341 - Sale ²⁵
	4.000	33.68	06/30/20	52.02	18.34 W	0.00	175 of 341 - Sale ²⁵
	4.000	33.68	06/30/20	53.96	20.28 W	0.00	176 of 341 - Sale ²⁵
	8.000	67.36	06/30/20	118.14	50.78 W	0.00	177 of 341 - Sale ²⁵
	9.000	75.78	06/30/20	127.39	51.61 W	0.00	178 of 341 - Sale ²⁵
	9.000	75.78	06/30/20	117.09	41.31 W	0.00	179 of 341 - Sale ²⁵
	10.000	84.20	06/30/20	141.71	57.51 W	0.00	180 of 341 - Sale ²⁵
	10.000	84.20	06/30/20	141.74	57.54 W	0.00	181 of 341 - Sale ²⁵
	10.000	84.20	06/30/20	134.94	50.74 W	0.00	182 of 341 - Sale ²⁵
	10.000	84.20	06/30/20	137.71	53.51 W	0.00	183 of 341 - Sale ²⁵
	11.000	92.62	06/30/20	148.43	55.81 W	0.00	184 of 341 - Sale ²⁵
	12.000	101.04	06/30/20	165.13	64.09 W	0.00	185 of 341 - Sale ²⁵
	18.000	151.55	06/30/20	247.89	96.34 W	0.00	186 of 341 - Sale ²⁵
	19.000	159.97	06/30/20	270.61	110.64 W	0.00	187 of 341 - Sale ²⁵
	20.000	168.39	06/30/20	295.23	126.84 W	0.00	188 of 341 - Sale ²⁵
	23.000	193.65	06/30/20	310.66	117.01 W	0.00	189 of 341 - Sale ²⁵
	25.000	210.49	06/30/20	344.03	133.54 W	0.00	190 of 341 - Sale ²⁵
	26.000	218.91	06/30/20	368.43	149.52 W	0.00	191 of 341 - Sale ²⁵
	32.000	269.43	06/30/20	416.24	146.81 W	0.00	192 of 341 - Sale ²⁵
	21.000	176.81	06/30/20	295.06	118.25 W	0.00	193 of 341 - Sale ²⁵

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(continued)

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
10.000		84.20	06/30/20	84.96	0.76 W	0.00	194 of 341 - Sale ²⁵
10.000		84.20	06/30/20	85.46	1.26 W	0.00	195 of 341 - Sale ²⁵
22.000		185.23	06/30/20	186.92	1.69 W	0.00	196 of 341 - Sale ²⁵
58.000		488.34	06/30/20	495.73	7.39 W	0.00	197 of 341 - Sale ²⁵
5.000		42.10	06/30/20	42.27	0.17 W	0.00	198 of 341 - Sale ²⁵
44.000		370.46	06/30/20	372.99	2.53 W	0.00	199 of 341 - Sale ²⁵
47.000		395.71	06/30/20	403.30	7.59 W	0.00	200 of 341 - Sale ²⁵
2.000		16.84	06/30/20	17.16	0.32 W	0.00	201 of 341 - Sale ²⁵
4.000		33.68	06/30/20	34.28	0.60 W	0.00	202 of 341 - Sale ²⁵
44.000		370.47	06/30/20	377.56	7.09 W	0.00	203 of 341 - Sale ²⁵
52.000		437.82	06/30/20	446.21	8.39 W	0.00	204 of 341 - Sale ²⁵
1.000		8.42	06/30/20	8.58	0.16 W	0.00	205 of 341 - Sale ²⁵
15.000		126.29	06/30/20	131.43	5.14 W	0.00	206 of 341 - Sale ²⁵
40.000		336.79	06/30/20	348.42	11.63 W	0.00	207 of 341 - Sale ²⁵
45.000		378.89	06/30/20	394.24	15.35 W	0.00	208 of 341 - Sale ²⁵
3.000		25.26	06/30/20	27.32	2.06 W	0.00	209 of 341 - Sale ²⁵
4.000		33.68	06/30/20	36.38	2.70 W	0.00	210 of 341 - Sale ²⁵
12.000		101.04	06/30/20	109.15	8.11 W	0.00	211 of 341 - Sale ²⁵
35.000		294.69	06/30/20	318.35	23.66 W	0.00	212 of 341 - Sale ²⁵
46.000		387.30	06/30/20	418.86	31.56 W	0.00	213 of 341 - Sale ²⁵
8.000		67.28	06/30/20	112.41	45.13 W	0.00	214 of 341 - Sale ²⁵
1.000		8.15	06/30/20	8.54	0.39 W	0.00	215 of 341 - Sale ²⁵
2.000		16.30	06/30/20	16.99	0.69 W	0.00	216 of 341 - Sale ²⁵
22.000		179.29	06/30/20	186.90	7.61 W	0.00	217 of 341 - Sale ²⁵
28.000		228.19	06/30/20	236.05	7.86 W	0.00	218 of 341 - Sale ²⁵
48.000		391.19	06/30/20	404.66	13.47 W	0.00	219 of 341 - Sale ²⁵
149.000		1,214.31	06/30/20	1,258.42	44.11 W	0.00	220 of 341 - Sale ²⁵
1.000		8.15	06/30/20	12.29	4.14 W	0.00	221 of 341 - Sale ²⁵
1.000		8.15	06/30/20	12.63	4.48 W	0.00	222 of 341 - Sale ²⁵
1.000		8.15	06/30/20	13.05	4.90 W	0.00	223 of 341 - Sale ²⁵
6.000		48.90	06/30/20	76.58	27.68 W	0.00	224 of 341 - Sale ²⁵
11.000		89.65	06/30/20	140.41	50.76 W	0.00	225 of 341 - Sale ²⁵
1.000		8.15	06/30/20	13.54	5.39 W	0.00	226 of 341 - Sale ²⁵
1.000		8.15	06/30/20	12.58	4.43 W	0.00	227 of 341 - Sale ²⁵

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	1.000	8.15	06/30/20	12.48	4.33 W	0.00	228 of 341 - Sale ²⁵
	1.000	8.15	06/30/20	12.64	4.49 W	0.00	229 of 341 - Sale ²⁵
	2.000	16.30	06/30/20	24.99	8.69 W	0.00	230 of 341 - Sale ²⁵
	2.000	16.30	06/30/20	25.15	8.85 W	0.00	231 of 341 - Sale ²⁵
	2.000	16.30	06/30/20	25.15	8.85 W	0.00	232 of 341 - Sale ²⁵
	3.000	24.45	06/30/20	39.71	15.26 W	0.00	233 of 341 - Sale ²⁵
	5.000	40.75	06/30/20	62.97	22.22 W	0.00	234 of 341 - Sale ²⁵
	6.000	48.90	06/30/20	65.22	16.32 W	0.00	235 of 341 - Sale ²⁵
	7.000	57.05	06/30/20	92.81	35.76 W	0.00	236 of 341 - Sale ²⁵
	9.000	73.35	06/30/20	119.14	45.79 W	0.00	237 of 341 - Sale ²⁵
	10.000	81.50	06/30/20	108.68	27.18 W	0.00	238 of 341 - Sale ²⁵
	11.000	89.65	06/30/20	145.86	56.21 W	0.00	239 of 341 - Sale ²⁵
	19.000	154.84	06/30/20	237.27	82.43 W	0.00	240 of 341 - Sale ²⁵
	19.000	154.84	06/30/20	237.24	82.40 W	0.00	241 of 341 - Sale ²⁵
	30.000	244.49	06/30/20	381.54	137.05 W	0.00	242 of 341 - Sale ²⁵
	1.000	8.15	06/30/20	8.29	0.14 W	0.00	243 of 341 - Sale ²⁵
	100.000	814.96	06/30/20	826.08	11.12 W	0.00	244 of 341 - Sale ²⁵
	1.000	8.15	06/30/20	10.85	2.70 W	0.00	245 of 341 - Sale ²⁵
	1.000	8.15	06/30/20	10.92	2.77 W	0.00	246 of 341 - Sale ²⁵
	1.000	8.15	06/30/20	11.04	2.89 W	0.00	247 of 341 - Sale ²⁵
	1.000	8.15	06/30/20	11.50	3.35 W	0.00	248 of 341 - Sale ²⁵
	1.000	8.15	06/30/20	13.81	5.66 W	0.00	249 of 341 - Sale ²⁵
	1.000	8.15	06/30/20	13.86	5.71 W	0.00	250 of 341 - Sale ²⁵
	2.000	16.30	06/30/20	21.72	5.42 W	0.00	251 of 341 - Sale ²⁵
	2.000	16.30	06/30/20	21.70	5.40 W	0.00	252 of 341 - Sale ²⁵
	2.000	16.30	06/30/20	21.70	5.40 W	0.00	253 of 341 - Sale ²⁵
	2.000	16.30	06/30/20	27.72	11.42 W	0.00	254 of 341 - Sale ²⁵
	4.000	32.60	06/30/20	43.66	11.06 W	0.00	255 of 341 - Sale ²⁵
	4.000	32.60	06/30/20	54.77	22.17 W	0.00	256 of 341 - Sale ²⁵
	2.000	16.30	06/30/20	27.96	11.66 W	0.00	257 of 341 - Sale ²⁵
	6.000	48.90	06/30/20	65.50	16.60 W	0.00	258 of 341 - Sale ²⁵
	7.000	57.05	06/30/20	96.89	39.84 W	0.00	259 of 341 - Sale ²⁵
	8.000	65.20	06/30/20	86.82	21.62 W	0.00	260 of 341 - Sale ²⁵
	8.000	65.20	06/30/20	86.83	21.63 W	0.00	261 of 341 - Sale ²⁵

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	8.000	65.19	06/30/20	110.97	45.78 W	0.00	262 of 341 - Sale ²⁵
	9.000	73.35	06/30/20	97.65	24.30 W	0.00	263 of 341 - Sale ²⁵
	9.000	73.35	06/30/20	123.20	49.85 W	0.00	264 of 341 - Sale ²⁵
	9.000	73.35	06/30/20	123.20	49.85 W	0.00	265 of 341 - Sale ²⁵
	10.000	81.50	06/30/20	136.91	55.41 W	0.00	266 of 341 - Sale ²⁵
	16.000	130.39	06/30/20	219.38	88.99 W	0.00	267 of 341 - Sale ²⁵
	21.000	171.14	06/30/20	291.07	119.93 W	0.00	268 of 341 - Sale ²⁵
	26.000	211.88	06/30/20	363.49	151.61 W	0.00	269 of 341 - Sale ²⁵
	39.000	317.83	06/30/20	425.60	107.77 W	0.00	270 of 341 - Sale ²⁵
	1.000	8.14	06/30/20	14.43	6.29 W	0.00	271 of 341 - Sale ²⁵
	1.000	8.14	06/30/20	14.00	5.86 W	0.00	272 of 341 - Sale ²⁵
	2.000	16.28	06/30/20	27.95	11.67 W	0.00	273 of 341 - Sale ²⁵
	9.000	73.26	06/30/20	125.68	52.42 W	0.00	274 of 341 - Sale ²⁵
	10.000	81.40	06/30/20	139.81	58.41 W	0.00	275 of 341 - Sale ²⁵
	10.000	81.40	06/30/20	139.83	58.43 W	0.00	276 of 341 - Sale ²⁵
	17.000	138.37	06/30/20	238.89	100.52 W	0.00	277 of 341 - Sale ²⁵
	1.000	8.14	06/30/20	13.96	5.82 W	0.00	278 of 341 - Sale ²⁵
	1.000	8.14	06/30/20	13.41	5.27 W	0.00	279 of 341 - Sale ²⁵
	2.000	16.28	06/30/20	28.37	12.09 W	0.00	280 of 341 - Sale ²⁵
	2.000	16.28	06/30/20	29.40	13.12 W	0.00	281 of 341 - Sale ²⁵
	2.000	16.28	06/30/20	25.89	9.61 W	0.00	282 of 341 - Sale ²⁵
	2.000	16.28	06/30/20	25.89	9.61 W	0.00	283 of 341 - Sale ²⁵
	4.000	32.56	06/30/20	51.78	19.22 W	0.00	284 of 341 - Sale ²⁵
	6.000	48.84	06/30/20	80.60	31.76 W	0.00	285 of 341 - Sale ²⁵
	8.000	65.12	06/30/20	117.66	52.54 W	0.00	286 of 341 - Sale ²⁵
	9.000	73.26	06/30/20	116.55	43.29 W	0.00	287 of 341 - Sale ²⁵
	11.000	89.54	06/30/20	147.77	58.23 W	0.00	288 of 341 - Sale ²⁵
	20.000	162.79	06/30/20	294.04	131.25 W	0.00	289 of 341 - Sale ²⁵
	32.000	260.47	06/30/20	414.33	153.86 W	0.00	290 of 341 - Sale ²⁵
	1.000	8.14	06/30/20	13.50	5.36 W	0.00	291 of 341 - Sale ²⁵
	1.000	8.14	06/30/20	13.61	5.47 W	0.00	292 of 341 - Sale ²⁵
	3.000	24.42	06/30/20	41.08	16.66 W	0.00	293 of 341 - Sale ²⁵
	4.000	32.56	06/30/20	53.74	21.18 W	0.00	294 of 341 - Sale ²⁵
	4.000	32.56	06/30/20	53.72	21.16 W	0.00	295 of 341 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	9.000	73.26	06/30/20	123.40	50.14 W	0.00	296 of 341 - Sale ²⁵
	12.000	97.67	06/30/20	164.41	66.74 W	0.00	297 of 341 - Sale ²⁵
	18.000	146.51	06/30/20	246.82	100.31 W	0.00	298 of 341 - Sale ²⁵
	23.000	187.21	06/30/20	309.29	122.08 W	0.00	299 of 341 - Sale ²⁵
	25.000	203.49	06/30/20	342.54	139.05 W	0.00	300 of 341 - Sale ²⁵
	1.000	8.14	06/30/20	13.71	5.57 W	0.00	301 of 341 - Sale ²⁵
	10.000	81.39	06/30/20	84.36	2.97 W	0.00	302 of 341 - Sale ²⁵
	17.000	138.37	06/30/20	144.29	5.92 W	0.00	303 of 341 - Sale ²⁵
	22.000	179.07	06/30/20	185.61	6.54 W	0.00	304 of 341 - Sale ²⁵
	2.000	17.48	06/30/20	19.08	1.60 W	0.00	305 of 341 - Sale ²⁵
	47.000	410.77	06/30/20	448.45	37.68 W	0.00	306 of 341 - Sale ²⁵
	51.000	445.72	06/30/20	486.61	40.89 W	0.00	307 of 341 - Sale ²⁵
	1.000	8.73	06/30/20	9.54	0.81 W	0.00	308 of 341 - Sale ²⁵
	4.000	34.92	06/30/20	38.12	3.20 W	0.00	309 of 341 - Sale ²⁵
	20.000	174.60	06/30/20	190.82	16.22 W	0.00	310 of 341 - Sale ²⁵
	1.000	8.91	06/30/20	9.54	0.63 W	0.00	311 of 341 - Sale ²⁵
	24.000	213.83	06/30/20	228.99	15.16 W	0.00	312 of 341 - Sale ²⁵
	15.000	133.64	06/30/20	141.27	7.63 W	0.00	313 of 341 - Sale ²⁵
	20.000	178.19	06/30/20	188.32	10.13 W	0.00	314 of 341 - Sale ²⁵
	40.000	356.39	06/30/20	374.63	18.24 W	0.00	315 of 341 - Sale ²⁵
	12.000	106.92	06/30/20	117.67	10.75 W	0.00	316 of 341 - Sale ²⁵
	13.000	115.82	06/30/20	127.48	11.66 W	0.00	317 of 341 - Sale ²⁵
	25.000	222.75	06/30/20	236.78	14.03 W	0.00	318 of 341 - Sale ²⁵
	5.000	44.31	06/30/20	49.03	4.72 W	0.00	319 of 341 - Sale ²⁵
	4.000	35.45	06/30/20	39.22	3.77 W	0.00	320 of 341 - Sale ²⁵
	17.000	150.67	06/30/20	166.70	16.03 W	0.00	321 of 341 - Sale ²⁵
	24.000	212.70	06/30/20	235.59	22.89 W	0.00	322 of 341 - Sale ²⁵
	1.000	8.86	06/30/20	9.69	0.83 W	0.00	323 of 341 - Sale ²⁵
	2.000	17.73	06/30/20	19.29	1.56 W	0.00	324 of 341 - Sale ²⁵
	3.000	26.59	06/30/20	29.96	3.37 W	0.00	325 of 341 - Sale ²⁵
	22.000	194.98	06/30/20	219.69	24.71 W	0.00	326 of 341 - Sale ²⁵
	22.000	194.98	06/30/20	212.21	17.23 W	0.00	327 of 341 - Sale ²⁵
	25.000	221.57	06/30/20	239.52	17.95 W	0.00	328 of 341 - Sale ²⁵
	3.000	26.59	06/30/20	28.40	1.81 W	0.00	329 of 341 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	48.000	425.41	06/30/20	454.35	28.94 W	0.00	330 of 341 - Sale ²⁵
	59.000	522.90	06/30/20	559.38	36.48 W	0.00	331 of 341 - Sale ²⁵
	15.000	132.94	06/30/20	142.29	9.35 W	0.00	332 of 341 - Sale ²⁵
	60.000	531.76	06/30/20	569.16	37.40 W	0.00	333 of 341 - Sale ²⁵
	1.000	8.86	06/30/20	13.33	4.47 W	0.00	334 of 341 - Sale ²⁵
	1.000	8.86	06/30/20	13.67	4.81 W	0.00	335 of 341 - Sale ²⁵
	1.000	8.86	06/30/20	14.09	5.23 W	0.00	336 of 341 - Sale ²⁵
	6.000	53.18	06/30/20	82.82	29.64 W	0.00	337 of 341 - Sale ²⁵
	11.000	97.49	06/30/20	151.85	54.36 W	0.00	338 of 341 - Sale ²⁵
	2.000	17.72	06/30/20	28.56	10.84 W	0.00	339 of 341 - Sale ²⁵
	3.000	26.59	06/30/20	42.83	16.24 W	0.00	340 of 341 - Sale ²⁵
	15.000	132.94	06/30/20	142.29	9.35 W	0.00	341 of 341 - Sale ²⁵
06/30/20	5,024.000	40,742.71	Various	52,355.06	11,612.35 W	0.00	Total of 341 transactions
	3 transactions for 07/01/20. Total proceeds and cost reported to the IRS.						
	25.000	213.74	06/30/20	250.53	...	-36.79	1 of 3 - Sale ²⁵
	13.000	111.14	06/30/20	134.64	...	-23.50	2 of 3 - Sale ²⁵
	12.000	102.60	06/30/20	124.27	...	-21.67	3 of 3 - Sale ²⁵
07/01/20	50.000	427.48	Various	509.44	...	-81.96	Total of 3 transactions
	100 transactions for 07/01/20. Total proceeds and cost reported to the IRS.						
	1.000	8.64	06/30/20	14.54	5.90 W	0.00	1 of 100 - Sale ²⁵
	7.000	60.48	06/30/20	99.66	39.18 W	0.00	2 of 100 - Sale ²⁵
	7.000	60.48	06/30/20	99.81	39.33 W	0.00	3 of 100 - Sale ²⁵
	11.000	95.04	06/30/20	156.86	61.82 W	0.00	4 of 100 - Sale ²⁵
	14.000	120.96	06/30/20	192.06	71.10 W	0.00	5 of 100 - Sale ²⁵
	1.000	8.64	06/30/20	13.72	5.08 W	0.00	6 of 100 - Sale ²⁵
	10.000	86.40	06/30/20	137.18	50.78 W	0.00	7 of 100 - Sale ²⁵
	6.000	51.84	06/30/20	80.93	29.09 W	0.00	8 of 100 - Sale ²⁵
	5.000	43.20	06/30/20	68.59	25.39 W	0.00	9 of 100 - Sale ²⁵
	1.000	8.64	06/30/20	13.58	4.94 W	0.00	10 of 100 - Sale ²⁵
	1.000	8.64	06/30/20	13.48	4.84 W	0.00	11 of 100 - Sale ²⁵
	1.000	8.64	06/30/20	13.64	5.00 W	0.00	12 of 100 - Sale ²⁵
	2.000	17.28	06/30/20	26.99	9.71 W	0.00	13 of 100 - Sale ²⁵
	2.000	17.28	06/30/20	27.15	9.87 W	0.00	14 of 100 - Sale ²⁵
	2.000	17.28	06/30/20	27.15	9.87 W	0.00	15 of 100 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	5.000	43.20	06/30/20	67.97	24.77 W	0.00	16 of 100 - Sale ²⁵
	6.000	51.84	06/30/20	71.22	19.38 W	0.00	17 of 100 - Sale ²⁵
	10.000	86.40	06/30/20	118.68	32.28 W	0.00	18 of 100 - Sale ²⁵
	13.000	112.32	06/30/20	175.35	63.03 W	0.00	19 of 100 - Sale ²⁵
	19.000	164.15	06/30/20	256.25	92.10 W	0.00	20 of 100 - Sale ²⁵
	38.000	328.30	06/30/20	351.93	23.63 W	0.00	21 of 100 - Sale ²⁵
	38.000	324.89	06/30/20	351.92	27.03 W	0.00	22 of 100 - Sale ²⁵
	1.000	8.55	06/30/20	11.98	3.43 W	0.00	23 of 100 - Sale ²⁵
	1.000	8.55	06/30/20	12.05	3.50 W	0.00	24 of 100 - Sale ²⁵
	1.000	8.55	06/30/20	12.17	3.62 W	0.00	25 of 100 - Sale ²⁵
	1.000	8.55	06/30/20	12.63	4.08 W	0.00	26 of 100 - Sale ²⁵
	2.000	17.10	06/30/20	23.98	6.88 W	0.00	27 of 100 - Sale ²⁵
	2.000	17.10	06/30/20	23.96	6.86 W	0.00	28 of 100 - Sale ²⁵
	2.000	17.10	06/30/20	23.96	6.86 W	0.00	29 of 100 - Sale ²⁵
	4.000	34.20	06/30/20	48.18	13.98 W	0.00	30 of 100 - Sale ²⁵
	4.000	34.20	06/30/20	59.29	25.09 W	0.00	31 of 100 - Sale ²⁵
	6.000	51.30	06/30/20	72.28	20.98 W	0.00	32 of 100 - Sale ²⁵
	8.000	68.40	06/30/20	95.86	27.46 W	0.00	33 of 100 - Sale ²⁵
	8.000	68.40	06/30/20	95.87	27.47 W	0.00	34 of 100 - Sale ²⁵
	9.000	76.95	06/30/20	107.82	30.87 W	0.00	35 of 100 - Sale ²⁵
	9.000	76.95	06/30/20	133.37	56.42 W	0.00	36 of 100 - Sale ²⁵
	10.000	85.50	06/30/20	148.21	62.71 W	0.00	37 of 100 - Sale ²⁵
	16.000	136.79	06/30/20	237.47	100.68 W	0.00	38 of 100 - Sale ²⁵
	39.000	333.44	06/30/20	469.69	136.25 W	0.00	39 of 100 - Sale ²⁵
	1.000	8.55	06/30/20	14.94	6.39 W	0.00	40 of 100 - Sale ²⁵
	1.000	8.55	06/30/20	14.99	6.44 W	0.00	41 of 100 - Sale ²⁵
	1.000	8.55	06/30/20	15.57	7.02 W	0.00	42 of 100 - Sale ²⁵
	1.000	8.55	06/30/20	15.14	6.59 W	0.00	43 of 100 - Sale ²⁵
	1.000	8.55	06/30/20	15.85	7.30 W	0.00	44 of 100 - Sale ²⁵
	2.000	17.10	06/30/20	29.98	12.88 W	0.00	45 of 100 - Sale ²⁵
	2.000	17.10	06/30/20	30.22	13.12 W	0.00	46 of 100 - Sale ²⁵
	2.000	17.10	06/30/20	30.23	13.13 W	0.00	47 of 100 - Sale ²⁵
	2.000	17.10	06/30/20	30.65	13.55 W	0.00	48 of 100 - Sale ²⁵
	2.000	17.10	06/30/20	31.68	14.58 W	0.00	49 of 100 - Sale ²⁵

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(continued)

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	7.000	59.85	06/30/20	104.80	44.95 W	0.00	50 of 100 - Sale ²⁵
	8.000	68.40	06/30/20	120.02	51.62 W	0.00	51 of 100 - Sale ²⁵
	9.000	76.95	06/30/20	133.37	56.42 W	0.00	52 of 100 - Sale ²⁵
	9.000	76.95	06/30/20	135.94	58.99 W	0.00	53 of 100 - Sale ²⁵
	10.000	85.50	06/30/20	151.21	65.71 W	0.00	54 of 100 - Sale ²⁵
	10.000	85.50	06/30/20	151.23	65.73 W	0.00	55 of 100 - Sale ²⁵
	17.000	145.34	06/30/20	258.28	112.94 W	0.00	56 of 100 - Sale ²⁵
	20.000	170.99	06/30/20	316.85	145.86 W	0.00	57 of 100 - Sale ²⁵
	21.000	179.54	06/30/20	314.81	135.27 W	0.00	58 of 100 - Sale ²⁵
	26.000	222.29	06/30/20	392.89	170.60 W	0.00	59 of 100 - Sale ²⁵
	1.000	8.55	06/30/20	9.42	0.87 W	0.00	60 of 100 - Sale ²⁵
	24.000	205.19	06/30/20	225.39	20.20 W	0.00	61 of 100 - Sale ²⁵
	1.000	8.55	06/30/20	15.12	6.57 W	0.00	62 of 100 - Sale ²⁵
	1.000	8.55	06/30/20	14.57	6.02 W	0.00	63 of 100 - Sale ²⁵
	1.000	8.55	06/30/20	14.66	6.11 W	0.00	64 of 100 - Sale ²⁵
	1.000	8.55	06/30/20	14.77	6.22 W	0.00	65 of 100 - Sale ²⁵
	2.000	17.10	06/30/20	28.21	11.11 W	0.00	66 of 100 - Sale ²⁵
	2.000	17.10	06/30/20	28.21	11.11 W	0.00	67 of 100 - Sale ²⁵
	3.000	25.65	06/30/20	44.56	18.91 W	0.00	68 of 100 - Sale ²⁵
	4.000	34.20	06/30/20	56.42	22.22 W	0.00	69 of 100 - Sale ²⁵
	4.000	34.20	06/30/20	58.38	24.18 W	0.00	70 of 100 - Sale ²⁵
	4.000	34.20	06/30/20	58.36	24.16 W	0.00	71 of 100 - Sale ²⁵
	6.000	51.30	06/30/20	87.56	36.26 W	0.00	72 of 100 - Sale ²⁵
	7.000	59.85	06/30/20	111.07	51.22 W	0.00	73 of 100 - Sale ²⁵
	9.000	76.95	06/30/20	126.99	50.04 W	0.00	74 of 100 - Sale ²⁵
	11.000	94.05	06/30/20	160.53	66.48 W	0.00	75 of 100 - Sale ²⁵
	14.000	119.69	06/30/20	208.07	88.38 W	0.00	76 of 100 - Sale ²⁵
	23.000	196.64	06/30/20	335.98	139.34 W	0.00	77 of 100 - Sale ²⁵
	32.000	273.59	06/30/20	451.46	177.87 W	0.00	78 of 100 - Sale ²⁵
	2.000	17.10	06/30/20	29.75	12.65 W	0.00	79 of 100 - Sale ²⁵
	11.000	94.05	06/30/20	163.48	69.43 W	0.00	80 of 100 - Sale ²⁵
	12.000	102.60	06/30/20	178.34	75.74 W	0.00	81 of 100 - Sale ²⁵
	5.000	42.75	06/30/20	74.76	32.01 W	0.00	82 of 100 - Sale ²⁵
	1.000	8.55	06/30/20	15.04	6.49 W	0.00	83 of 100 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	9.000	76.95	06/30/20	135.37	58.42 W	0.00	84 of 100 - Sale ²⁵
	11.000	94.05	06/30/20	165.47	71.42 W	0.00	85 of 100 - Sale ²⁵
	10.000	85.50	06/30/20	97.67	12.17 W	0.00	86 of 100 - Sale ²⁵
	17.000	145.34	06/30/20	166.91	21.57 W	0.00	87 of 100 - Sale ²⁵
	22.000	188.09	06/30/20	214.88	26.79 W	0.00	88 of 100 - Sale ²⁵
	2.000	17.10	06/30/20	20.54	3.44 W	0.00	89 of 100 - Sale ²⁵
	16.000	136.79	06/30/20	164.35	27.56 W	0.00	90 of 100 - Sale ²⁵
	47.000	401.83	06/30/20	482.77	80.94 W	0.00	91 of 100 - Sale ²⁵
	1.000	8.55	06/30/20	10.27	1.72 W	0.00	92 of 100 - Sale ²⁵
	1.000	8.55	06/30/20	10.09	1.54 W	0.00	93 of 100 - Sale ²⁵
	4.000	34.20	06/30/20	41.04	6.84 W	0.00	94 of 100 - Sale ²⁵
	15.000	128.24	06/30/20	149.53	21.29 W	0.00	95 of 100 - Sale ²⁵
	20.000	170.99	06/30/20	205.42	34.43 W	0.00	96 of 100 - Sale ²⁵
	20.000	170.99	06/30/20	199.33	28.34 W	0.00	97 of 100 - Sale ²⁵
	24.000	205.19	06/30/20	242.20	37.01 W	0.00	98 of 100 - Sale ²⁵
	35.000	299.23	06/30/20	359.16	59.93 W	0.00	99 of 100 - Sale ²⁵
	40.000	341.98	06/30/20	396.64	54.66 W	0.00	100 of 100 - Sale ²⁵
07/01/20	950.000	8,136.81	Various	11,848.82	3,712.01 W	0.00	Total of 100 transactions
	13 transactions for 07/02/20. Total proceeds and cost reported to the IRS.						
	22.000	171.16	07/02/20	200.71	...	-29.55	1 of 13 - Sale ²⁵
	24.000	186.71	07/02/20	214.89	...	-28.18	2 of 13 - Sale ²⁵
	25.000	193.87	07/02/20	217.95	...	-24.08	3 of 13 - Sale ²⁵
	25.000	193.87	07/02/20	216.33	...	-22.46	4 of 13 - Sale ²⁵
	22.000	171.15	07/02/20	193.23	...	-22.08	5 of 13 - Sale ²⁵
	17.000	132.25	07/02/20	152.03	...	-19.78	6 of 13 - Sale ²⁵
	22.000	170.60	07/02/20	189.26	...	-18.66	7 of 13 - Sale ²⁵
	5.000	38.90	07/02/20	44.72	...	-5.82	8 of 13 - Sale ²⁵
	4.000	31.12	07/02/20	35.77	...	-4.65	9 of 13 - Sale ²⁵
	3.000	23.34	07/02/20	27.37	...	-4.03	10 of 13 - Sale ²⁵
	3.000	23.26	07/02/20	25.81	...	-2.55	11 of 13 - Sale ²⁵
	2.000	15.56	07/02/20	17.56	...	-2.00	12 of 13 - Sale ²⁵
	1.000	7.78	07/02/20	8.83	...	-1.05	13 of 13 - Sale ²⁵
07/02/20	175.000	1,359.57	Various	1,544.46	...	-184.89	Total of 13 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
<i>120 transactions for 07/06/20. Total proceeds and cost reported to the IRS.</i>							
39.000		274.16	07/02/20	429.52	...	-155.36	1 of 120 - Sale ²⁵
16.000		112.48	07/02/20	220.99	...	-108.51	2 of 120 - Sale ²⁵
19.000		133.57	07/02/20	234.98	...	-101.41	3 of 120 - Sale ²⁵
60.000		421.79	07/02/20	521.60	...	-99.81	4 of 120 - Sale ²⁵
59.000		414.77	07/02/20	511.43	...	-96.66	5 of 120 - Sale ²⁵
14.000		98.42	07/02/20	175.26	...	-76.84	6 of 120 - Sale ²⁵
13.000		91.39	07/02/20	160.79	...	-69.40	7 of 120 - Sale ²⁵
10.000		70.30	07/02/20	137.91	...	-67.61	8 of 120 - Sale ²⁵
9.000		63.27	07/02/20	124.09	...	-60.82	9 of 120 - Sale ²⁵
9.000		63.27	07/02/20	112.66	...	-49.39	10 of 120 - Sale ²⁵
38.000		267.13	07/02/20	312.78	...	-45.65	11 of 120 - Sale ²⁵
38.000		267.13	07/02/20	309.38	...	-42.25	12 of 120 - Sale ²⁵
7.000		49.21	07/02/20	91.19	...	-41.98	13 of 120 - Sale ²⁵
7.000		49.21	07/02/20	91.05	...	-41.84	14 of 120 - Sale ²⁵
10.000		70.30	07/02/20	107.48	...	-37.18	15 of 120 - Sale ²⁵
6.000		42.18	07/02/20	78.18	...	-36.00	16 of 120 - Sale ²⁵
6.000		42.18	07/02/20	78.07	...	-35.89	17 of 120 - Sale ²⁵
6.000		42.18	07/02/20	78.06	...	-35.88	18 of 120 - Sale ²⁵
9.000		63.27	07/02/20	98.55	...	-35.28	19 of 120 - Sale ²⁵
6.000		42.18	07/02/20	74.21	...	-32.03	20 of 120 - Sale ²⁵
8.000		56.24	07/02/20	87.63	...	-31.39	21 of 120 - Sale ²⁵
8.000		56.24	07/02/20	87.62	...	-31.38	22 of 120 - Sale ²⁵
5.000		35.15	07/02/20	65.30	...	-30.15	23 of 120 - Sale ²⁵
5.000		35.15	07/02/20	62.99	...	-27.84	24 of 120 - Sale ²⁵
5.000		35.15	07/02/20	62.37	...	-27.22	25 of 120 - Sale ²⁵
4.000		28.12	07/02/20	55.17	...	-27.05	26 of 120 - Sale ²⁵
5.000		35.15	07/02/20	61.76	...	-26.61	27 of 120 - Sale ²⁵
15.000		105.45	07/02/20	130.10	...	-24.65	28 of 120 - Sale ²⁵
6.000		42.18	07/02/20	66.10	...	-23.92	29 of 120 - Sale ²⁵
6.000		42.18	07/02/20	64.50	...	-22.32	30 of 120 - Sale ²⁵
3.000		21.09	07/02/20	38.47	...	-17.38	31 of 120 - Sale ²⁵
4.000		28.12	07/02/20	44.06	...	-15.94	32 of 120 - Sale ²⁵
15.000		105.45	07/02/20	120.50	...	-15.05	33 of 120 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	2.000	14.06	07/02/20	27.58	...	-13.52	34 of 120 - Sale ²⁵
	2.000	14.06	07/02/20	25.66	...	-11.60	35 of 120 - Sale ²⁵
	2.000	14.06	07/02/20	24.91	...	-10.85	36 of 120 - Sale ²⁵
	2.000	14.06	07/02/20	24.91	...	-10.85	37 of 120 - Sale ²⁵
	2.000	14.06	07/02/20	24.75	...	-10.69	38 of 120 - Sale ²⁵
	2.000	14.06	07/02/20	21.92	...	-7.86	39 of 120 - Sale ²⁵
	2.000	14.06	07/02/20	21.90	...	-7.84	40 of 120 - Sale ²⁵
	2.000	14.06	07/02/20	21.90	...	-7.84	41 of 120 - Sale ²⁵
	1.000	7.03	07/02/20	13.34	...	-6.31	42 of 120 - Sale ²⁵
	1.000	7.03	07/02/20	13.30	...	-6.27	43 of 120 - Sale ²⁵
	1.000	7.03	07/02/20	12.88	...	-5.85	44 of 120 - Sale ²⁵
	1.000	7.03	07/02/20	12.60	...	-5.57	45 of 120 - Sale ²⁵
	1.000	7.03	07/02/20	12.54	...	-5.51	46 of 120 - Sale ²⁵
	1.000	7.03	07/02/20	12.52	...	-5.49	47 of 120 - Sale ²⁵
	1.000	7.03	07/02/20	12.52	...	-5.49	48 of 120 - Sale ²⁵
	1.000	7.03	07/02/20	12.46	...	-5.43	49 of 120 - Sale ²⁵
	1.000	7.03	07/02/20	12.36	...	-5.33	50 of 120 - Sale ²⁵
	1.000	7.03	07/02/20	11.60	...	-4.57	51 of 120 - Sale ²⁵
	1.000	7.03	07/02/20	11.14	...	-4.11	52 of 120 - Sale ²⁵
	1.000	7.03	07/02/20	11.02	...	-3.99	53 of 120 - Sale ²⁵
	1.000	7.03	07/02/20	10.95	...	-3.92	54 of 120 - Sale ²⁵
	1.000	7.03	07/02/20	8.65	...	-1.62	55 of 120 - Sale ²⁵
	32.000	194.23	07/06/20	375.15	...	-180.92	56 of 120 - Sale ²⁵
	23.000	153.86	07/06/20	306.86	...	-153.00	57 of 120 - Sale ²⁵
	20.000	121.39	07/06/20	269.16	...	-147.77	58 of 120 - Sale ²⁵
	23.000	139.60	07/06/20	281.13	...	-141.53	59 of 120 - Sale ²⁵
	21.000	140.48	07/06/20	274.29	...	-133.81	60 of 120 - Sale ²⁵
	17.000	113.73	07/06/20	228.19	...	-114.46	61 of 120 - Sale ²⁵
	14.000	84.97	07/06/20	174.69	...	-89.72	62 of 120 - Sale ²⁵
	47.000	285.28	07/06/20	370.69	...	-85.41	63 of 120 - Sale ²⁵
	12.000	72.83	07/06/20	149.72	...	-76.89	64 of 120 - Sale ²⁵
	11.000	66.77	07/06/20	139.23	...	-72.46	65 of 120 - Sale ²⁵
	11.000	66.77	07/06/20	137.24	...	-70.47	66 of 120 - Sale ²⁵
	11.000	66.77	07/06/20	134.30	...	-67.53	67 of 120 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
10.000		66.90	07/06/20	133.51	...	-66.61	68 of 120 - Sale ²⁵
35.000		212.44	07/06/20	275.71	...	-63.27	69 of 120 - Sale ²⁵
9.000		60.20	07/06/20	120.01	...	-59.81	70 of 120 - Sale ²⁵
9.000		54.63	07/06/20	113.91	...	-59.28	71 of 120 - Sale ²⁵
40.000		242.79	07/06/20	301.26	...	-58.47	72 of 120 - Sale ²⁵
8.000		53.52	07/06/20	106.82	...	-53.30	73 of 120 - Sale ²⁵
7.000		42.49	07/06/20	94.37	...	-51.88	74 of 120 - Sale ²⁵
8.000		53.52	07/06/20	104.58	...	-51.06	75 of 120 - Sale ²⁵
7.000		46.83	07/06/20	91.29	...	-44.46	76 of 120 - Sale ²⁵
7.000		46.83	07/06/20	90.22	...	-43.39	77 of 120 - Sale ²⁵
7.000		42.49	07/06/20	82.08	...	-39.59	78 of 120 - Sale ²⁵
24.000		145.67	07/06/20	184.97	...	-39.30	79 of 120 - Sale ²⁵
6.000		36.42	07/06/20	73.25	...	-36.83	80 of 120 - Sale ²⁵
20.000		121.39	07/06/20	157.73	...	-36.34	81 of 120 - Sale ²⁵
5.000		30.35	07/06/20	62.84	...	-32.49	82 of 120 - Sale ²⁵
16.000		97.12	07/06/20	126.20	...	-29.08	83 of 120 - Sale ²⁵
22.000		133.53	07/06/20	162.42	...	-28.89	84 of 120 - Sale ²⁵
4.000		24.28	07/06/20	48.84	...	-24.56	85 of 120 - Sale ²⁵
4.000		24.28	07/06/20	48.82	...	-24.54	86 of 120 - Sale ²⁵
17.000		103.19	07/06/20	126.38	...	-23.19	87 of 120 - Sale ²⁵
15.000		91.04	07/06/20	113.76	...	-22.72	88 of 120 - Sale ²⁵
4.000		24.28	07/06/20	46.88	...	-22.60	89 of 120 - Sale ²⁵
24.000		145.67	07/06/20	168.16	...	-22.49	90 of 120 - Sale ²⁵
13.000		78.91	07/06/20	98.57	...	-19.66	91 of 120 - Sale ²⁵
3.000		20.07	07/06/20	39.54	...	-19.47	92 of 120 - Sale ²⁵
3.000		18.21	07/06/20	37.41	...	-19.20	93 of 120 - Sale ²⁵
2.000		12.14	07/06/20	26.91	...	-14.77	94 of 120 - Sale ²⁵
2.000		12.14	07/06/20	25.88	...	-13.74	95 of 120 - Sale ²⁵
2.000		13.38	07/06/20	26.71	...	-13.33	96 of 120 - Sale ²⁵
2.000		13.38	07/06/20	26.69	...	-13.31	97 of 120 - Sale ²⁵
2.000		13.38	07/06/20	26.68	...	-13.30	98 of 120 - Sale ²⁵
10.000		60.70	07/06/20	73.82	...	-13.12	99 of 120 - Sale ²⁵
2.000		12.14	07/06/20	24.98	...	-12.84	100 of 120 - Sale ²⁵
2.000		13.38	07/06/20	26.12	...	-12.74	101 of 120 - Sale ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol: (cont'd)							
	2.000	12.14	07/06/20	23.45	...	-11.31	102 of 120 - Sale ²⁵
	2.000	12.14	07/06/20	23.44	...	-11.30	103 of 120 - Sale ²⁵
	2.000	12.14	07/06/20	23.44	...	-11.30	104 of 120 - Sale ²⁵
	7.000	42.49	07/06/20	53.07	...	-10.58	105 of 120 - Sale ²⁵
	1.000	6.07	07/06/20	13.46	...	-7.39	106 of 120 - Sale ²⁵
	4.000	24.28	07/06/20	31.50	...	-7.22	107 of 120 - Sale ²⁵
	1.000	6.69	07/06/20	13.80	...	-7.11	108 of 120 - Sale ²⁵
	1.000	6.69	07/06/20	13.37	...	-6.68	109 of 120 - Sale ²⁵
	1.000	6.07	07/06/20	12.74	...	-6.67	110 of 120 - Sale ²⁵
	1.000	6.07	07/06/20	12.65	...	-6.58	111 of 120 - Sale ²⁵
	1.000	6.69	07/06/20	13.06	...	-6.37	112 of 120 - Sale ²⁵
	1.000	6.69	07/06/20	13.01	...	-6.32	113 of 120 - Sale ²⁵
	1.000	6.07	07/06/20	12.38	...	-6.31	114 of 120 - Sale ²⁵
	1.000	6.07	07/06/20	12.28	...	-6.21	115 of 120 - Sale ²⁵
	1.000	6.07	07/06/20	12.18	...	-6.11	116 of 120 - Sale ²⁵
	2.000	12.14	07/06/20	15.77	...	-3.63	117 of 120 - Sale ²⁵
	1.000	6.07	07/06/20	7.88	...	-1.81	118 of 120 - Sale ²⁵
	1.000	6.07	07/06/20	7.71	...	-1.64	119 of 120 - Sale ²⁵
	1.000	6.07	07/06/20	7.04	...	-0.97	120 of 120 - Sale ²⁵
07/06/20	1,125.000	7,386.05	Various	11,686.36	...	-4,300.31	Total of 120 transactions
	Security total:	227,307.38		290,852.89	65,377.61 W	1,832.10	
VIEMED HEALTHCARE, INC. COMMON SHARES / CUSIP: 92663R105 / Symbol:							
5 transactions for 03/16/20. Total proceeds and cost reported to the IRS.							
	25.000	64.50	03/13/20	72.00	7.50 W	0.00	1 of 5 - Sale ²⁵
	5.000	12.90	03/13/20	14.40	1.50 W	0.00	2 of 5 - Sale ²⁵
	25.000	63.50	03/13/20	79.50	16.00 W	0.00	3 of 5 - Sale ²⁵
	28.000	71.11	03/13/20	80.64	9.53 W	0.00	4 of 5 - Sale ²⁵
	42.000	106.26	03/13/20	120.96	14.70 W	0.00	5 of 5 - Sale ²⁵
03/16/20	125.000	318.27	Various	367.50	49.23 W	0.00	Total of 5 transactions
5 transactions for 03/19/20. Total proceeds and cost reported to the IRS.							
	25.000	83.78	03/18/20	104.75	20.97 W	0.00	1 of 5 - Sale ²⁵
	5.000	16.76	03/18/20	19.25	2.49 W	0.00	2 of 5 - Sale ²⁵
	28.000	93.83	03/18/20	108.93	15.10 W	0.00	3 of 5 - Sale ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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VIEMED HEALTHCARE, INC. COMMON SHARES / CUSIP: 92663R105 / Symbol: (cont'd)							
	42.000	140.75	03/18/20	163.80	23.05 W	0.00	4 of 5 - Sale ²⁵
	50.000	167.57	03/18/20	177.50	9.93 W	0.00	5 of 5 - Sale ²⁵
03/19/20	150.000	502.69	Various	574.23	71.54 W	0.00	Total of 5 transactions
	4 transactions for 03/23/20. Total proceeds and cost reported to the IRS.						
	25.000	123.75	03/20/20	133.72	9.97 W	0.00	1 of 4 - Sale ²⁵
	5.000	24.75	03/20/20	25.04	0.29 W	0.00	2 of 4 - Sale ²⁵
	28.000	138.60	03/20/20	141.38	2.78 W	0.00	3 of 4 - Sale ²⁵
	42.000	207.89	03/20/20	212.47	4.58 W	0.00	4 of 4 - Sale ²⁵
03/23/20	100.000	494.99	Various	512.61	17.62 W	0.00	Total of 4 transactions
	2 transactions for 03/27/20. Total proceeds and cost reported to the IRS.						
	50.000	199.99	03/26/20	229.93	...	-29.94	1 of 2 - Sale ²⁵
	25.000	100.00	03/26/20	119.97	...	-19.97	2 of 2 - Sale ²⁵
03/27/20	75.000	299.99	Various	349.90	...	-49.91	Total of 2 transactions
	3 transactions for 04/08/20. Total proceeds and cost reported to the IRS.						
	42.000	210.41	04/07/20	229.23	...	-18.82	1 of 3 - Sale ²⁵
	28.000	140.28	04/07/20	152.55	...	-12.27	2 of 3 - Sale ²⁵
	5.000	25.05	04/07/20	27.03	...	-1.98	3 of 3 - Sale ²⁵
04/08/20	75.000	375.74	Various	408.81	...	-33.07	Total of 3 transactions
05/18/20	10.000	79.75	05/15/20	78.09	...	1.66	Sale ²⁵
	5 transactions for 06/01/20. Total proceeds and cost reported to the IRS.						
	5.000	41.50	05/29/20	41.35	...	0.15	1 of 5 - Sale ²⁵
	10.000	83.00	05/29/20	82.65	...	0.35	2 of 5 - Sale ²⁵
	15.000	124.50	05/29/20	123.98	...	0.52	3 of 5 - Sale ²⁵
	20.000	165.99	05/29/20	165.40	...	0.59	4 of 5 - Sale ²⁵
	50.000	414.98	05/29/20	406.25	...	8.73	5 of 5 - Sale ²⁵
06/01/20	100.000	829.97	Various	819.63	...	10.34	Total of 5 transactions
06/01/20	22.000	182.59	05/29/20	182.60	0.01 W	0.00	Sale ²⁵
	2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.						
	22.000	184.80	06/02/20	194.60	9.80 W	0.00	1 of 2 - Sale ²⁵
	28.000	235.20	06/02/20	247.66	12.46 W	0.00	2 of 2 - Sale ²⁵
06/03/20	50.000	420.00	Various	442.26	22.26 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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VIEMED HEALTHCARE, INC. COMMON SHARES / CUSIP: 92663R105 / Symbol: (cont'd)							
2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	22.000	202.81	06/12/20	215.28	12.47 W	0.00	1 of 2 - Sale ²⁵
	3.000	27.65	06/12/20	29.36	1.71 W	0.00	2 of 2 - Sale ²⁵
06/15/20	25.000	230.46	Various	244.64	14.18 W	0.00	Total of 2 transactions
3 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	25.000	263.49	06/16/20	262.50	...	0.99	1 of 3 - Sale ²⁵
	25.000	263.49	06/16/20	261.50	...	1.99	2 of 3 - Sale ²⁵
	50.000	526.98	06/16/20	523.50	...	3.48	3 of 3 - Sale ²⁵
06/17/20	100.000	1,053.96	Various	1,047.50	...	6.46	Total of 3 transactions
3 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	22.000	231.87	06/16/20	234.89	3.02 W	0.00	1 of 3 - Sale ²⁵
	3.000	31.62	06/16/20	32.04	0.42 W	0.00	2 of 3 - Sale ²⁵
	25.000	263.49	06/16/20	263.87	0.38 W	0.00	3 of 3 - Sale ²⁵
06/17/20	50.000	526.98	Various	530.80	3.82 W	0.00	Total of 3 transactions
4 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	22.000	254.09	06/19/20	259.32	...	-5.23	1 of 4 - Sale ²⁵
	50.000	577.49	06/19/20	582.50	...	-5.01	2 of 4 - Sale ²⁵
	25.000	288.74	06/19/20	291.63	...	-2.89	3 of 4 - Sale ²⁵
	3.000	34.65	06/19/20	35.37	...	-0.72	4 of 4 - Sale ²⁵
06/19/20	100.000	1,154.97	Various	1,168.82	...	-13.85	Total of 4 transactions
	Security total:	6,470.36		6,727.39	178.66 W	-78.37	
VIEWRAY, INC. COMMON STOCK / CUSIP: 92672L107 / Symbol:							
3 transactions for 01/15/20. Total proceeds and cost reported to the IRS.							
	11.000	28.60	01/14/20	30.14	...	-1.54	1 of 3 - Sale ²⁵
	8.000	20.80	01/14/20	21.88	...	-1.08	2 of 3 - Sale ²⁵
	1.000	2.60	01/14/20	2.74	...	-0.14	3 of 3 - Sale ²⁵
01/15/20	20.000	52.00	Various	54.76	...	-2.76	Total of 3 transactions
06/22/20	100.000	212.00	06/19/20	219.75	7.75 W	0.00	Sale ²⁵
06/30/20	100.000	228.00	06/30/20	242.74	...	-14.74	Sale ²⁵
	Security total:	492.00		517.25	7.75 W	-17.50	

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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VIKING THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 92686J106 / Symbol:							
04/14/20	80.000	459.99	04/13/20	426.40	...	33.59	Sale ²⁵
04/16/20	50.000	267.00	04/15/20	271.00	...	-4.00	Sale ²⁵
	3 transactions for 05/21/20. Total proceeds and cost reported to the IRS.						
	4.000	31.48	05/20/20	31.19	...	0.29	1 of 3 - Sale ²⁵
	25.000	196.78	05/20/20	195.00	...	1.78	2 of 3 - Sale ²⁵
	46.000	362.06	05/20/20	358.74	...	3.32	3 of 3 - Sale ²⁵
05/21/20	75.000	590.32	Various	584.93	...	5.39	Total of 3 transactions
05/26/20	50.000	401.50	05/22/20	399.50	...	2.00	Sale ²⁵
	2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.						
	49.000	372.40	06/09/20	376.68	...	-4.28	1 of 2 - Sale ²⁵
	1.000	7.60	06/09/20	7.69	...	-0.09	2 of 2 - Sale ²⁵
06/10/20	50.000	380.00	Various	384.37	...	-4.37	Total of 2 transactions
	Security total:	2,098.81		2,066.20	...	32.61	
VIPSHOP HOLDINGS LIMITED / CUSIP: 92763W103 / Symbol:							
03/06/20	30.000	481.80	03/05/20	484.45	1.77 W	-0.88	Sale ²⁵
03/10/20	20.000	339.80	03/09/20	349.57	...	-9.77	Sale ²⁵
	Security total:	821.60		834.02	1.77 W	-10.65	
VIR BIOTECHNOLOGY, INC. COMMON STOCK / CUSIP: 92764N102 / Symbol:							
	3 transactions for 02/25/20. Total proceeds and cost reported to the IRS.						
	16.000	304.15	02/24/20	303.52	...	0.63	1 of 3 - Sale ²⁵
	2.000	38.02	02/24/20	37.04	...	0.98	2 of 3 - Sale ²⁵
	12.000	228.11	02/24/20	221.10	...	7.01	3 of 3 - Sale ²⁵
02/25/20	30.000	570.28	Various	561.66	...	8.62	Total of 3 transactions
	7 transactions for 02/28/20. Total proceeds and cost reported to the IRS.						
	50.000	2,868.93	02/26/20	1,300.00	...	1,568.93	1 of 7 - Sale ²⁵
	20.000	871.38	02/27/20	1,159.80	...	-288.42	2 of 7 - Sale ²⁵
	10.000	435.69	02/27/20	516.90	...	-81.21	3 of 7 - Sale ²⁵
	5.000	217.85	02/27/20	270.00	...	-52.15	4 of 7 - Sale ²⁵
	5.000	217.84	02/27/20	267.25	...	-49.41	5 of 7 - Sale ²⁵
	7.000	304.98	02/27/20	318.36	...	-13.38	6 of 7 - Sale ²⁵

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(continued)

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VIR BIOTECHNOLOGY, INC. COMMON STOCK / CUSIP: 92764N102 / Symbol: (cont'd)							
	3.000	130.71	02/27/20	136.47	...	-5.76	7 of 7 - Sale ²⁵
02/28/20	100.000	5,047.38	Various	3,968.78	...	1,078.60	Total of 7 transactions
04/07/20	10.000	372.00	04/06/20	371.40	...	0.60	Sale ²⁵
05/05/20	1.000	29.60	05/04/20	29.00	...	0.60	Sale ²⁵
05/19/20	10.000	385.09	05/18/20	349.80	...	35.29	Sale ²⁵
05/19/20	5.000	192.54	05/18/20	198.80	6.26 W	0.00	Sale ²⁵
3 transactions for 05/22/20. Total proceeds and cost reported to the IRS.							
	5.000	185.50	05/21/20	193.24	7.74 W	0.00	1 of 3 - Sale ²⁵
	5.000	185.50	05/21/20	186.97	1.47 W	0.00	2 of 3 - Sale ²⁵
	10.000	371.00	05/21/20	373.95	2.95 W	0.00	3 of 3 - Sale ²⁵
05/22/20	20.000	742.00	Various	754.16	12.16 W	0.00	Total of 3 transactions
06/19/20	5.000	205.75	06/19/20	204.77	...	0.98	Sale ²⁵
9 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	5.000	205.74	06/19/20	211.04	5.30 W	0.00	1 of 9 - Sale ²⁵
	10.000	411.49	06/19/20	416.75	5.26 W	0.00	2 of 9 - Sale ²⁵
	5.000	205.74	06/19/20	206.90	1.16 W	0.00	3 of 9 - Sale ²⁵
	10.000	411.49	06/19/20	417.15	5.66 W	0.00	4 of 9 - Sale ²⁵
	5.000	205.75	06/19/20	212.55	6.80 W	0.00	5 of 9 - Sale ²⁵
	10.000	411.49	06/19/20	419.76	8.27 W	0.00	6 of 9 - Sale ²⁵
	5.000	205.74	06/19/20	208.41	2.67 W	0.00	7 of 9 - Sale ²⁵
	10.000	411.49	06/19/20	420.16	8.67 W	0.00	8 of 9 - Sale ²⁵
	10.000	411.49	06/19/20	414.50	3.01 W	0.00	9 of 9 - Sale ²⁵
06/19/20	70.000	2,880.42	Various	2,927.22	46.80 W	0.00	Total of 9 transactions
2 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	8.000	332.07	06/19/20	332.01	...	0.06	1 of 2 - Sale ²⁵
	10.000	415.09	06/19/20	413.80	...	1.29	2 of 2 - Sale ²⁵
06/22/20	18.000	747.16	Various	745.81	...	1.35	Total of 2 transactions
6 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	5.000	207.55	06/19/20	212.65	5.10 W	0.00	1 of 6 - Sale ²⁵
	10.000	415.09	06/19/20	419.97	4.88 W	0.00	2 of 6 - Sale ²⁵
	3.000	124.53	06/19/20	126.11	1.58 W	0.00	3 of 6 - Sale ²⁵
	5.000	207.55	06/19/20	208.52	0.97 W	0.00	4 of 6 - Sale ²⁵
	7.000	290.56	06/19/20	294.47	3.91 W	0.00	5 of 6 - Sale ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

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(continued)

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VIR BIOTECHNOLOGY, INC. COMMON STOCK / CUSIP: 92764N102 / Symbol: (cont'd)							
06/22/20	2.000	83.02	06/19/20	83.36	0.34 W	0.00	6 of 6 - Sale ²⁵
06/22/20	32.000	1,328.30	Various	1,345.08	16.78 W	0.00	Total of 6 transactions
7 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	5.000	239.99	06/24/20	245.10	5.11 W	0.00	1 of 7 - Sale ²⁵
	5.000	239.99	06/24/20	242.44	2.45 W	0.00	2 of 7 - Sale ²⁵
	2.000	96.00	06/24/20	96.98	0.98 W	0.00	3 of 7 - Sale ²⁵
	3.000	144.00	06/24/20	145.46	1.46 W	0.00	4 of 7 - Sale ²⁵
	5.000	239.99	06/24/20	240.97	0.98 W	0.00	5 of 7 - Sale ²⁵
	2.000	91.00	06/25/20	99.36	8.36 W	0.00	6 of 7 - Sale ²⁵
	3.000	136.50	06/25/20	148.94	12.44 W	0.00	7 of 7 - Sale ²⁵
06/25/20	25.000	1,187.47	Various	1,219.25	31.78 W	0.00	Total of 7 transactions
11 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	3.000	120.90	06/30/20	134.36	...	-13.46	1 of 11 - Sale ²⁵
	2.000	80.60	06/30/20	89.64	...	-9.04	2 of 11 - Sale ²⁵
	18.000	725.37	06/30/20	731.52	...	-6.15	3 of 11 - Sale ²⁵
	4.000	161.20	06/30/20	166.65	...	-5.45	4 of 11 - Sale ²⁵
	5.000	201.50	06/30/20	205.99	...	-4.49	5 of 11 - Sale ²⁵
	5.000	201.49	06/30/20	205.65	...	-4.16	6 of 11 - Sale ²⁵
	5.000	201.49	06/30/20	204.18	...	-2.69	7 of 11 - Sale ²⁵
	3.000	120.90	06/30/20	123.38	...	-2.48	8 of 11 - Sale ²⁵
	2.000	80.60	06/30/20	82.26	...	-1.66	9 of 11 - Sale ²⁵
	1.000	40.50	06/30/20	41.66	...	-1.16	10 of 11 - Sale ²⁵
	2.000	80.60	06/30/20	81.62	...	-1.02	11 of 11 - Sale ²⁵
07/01/20	50.000	2,015.15	Various	2,066.91	...	-51.76	Total of 11 transactions
	Security total:	15,703.14		14,742.64	113.78 W	1,074.28	
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
02/18/20	75.000	2,174.94	02/14/20	2,036.67	...	138.27	Sale ²⁵
3 transactions for 02/21/20. Total proceeds and cost reported to the IRS.							
	1.000	33.71	02/18/20	31.59	...	2.12	1 of 3 - Sale ²⁵
	1.000	33.72	02/18/20	31.59	...	2.13	2 of 3 - Sale ²⁵
	28.000	943.85	02/18/20	884.49	...	59.36	3 of 3 - Sale ²⁵
02/21/20	30.000	1,011.28	Various	947.67	...	63.61	Total of 3 transactions

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(continued)

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VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
3 transactions for 02/24/20. Total proceeds and cost reported to the IRS.							
	20.000	606.78	02/21/20	703.40	...	-96.62	1 of 3 - Sale ²⁵
	8.000	242.71	02/21/20	276.35	...	-33.64	2 of 3 - Sale ²⁵
	2.000	60.68	02/21/20	70.33	...	-9.65	3 of 3 - Sale ²⁵
02/24/20	30.000	910.17	Various	1,050.08	...	-139.91	Total of 3 transactions
4 transactions for 02/24/20. Total proceeds and cost reported to the IRS.							
	35.000	1,061.87	02/18/20	1,105.60	43.73 W	0.00	1 of 4 - Sale ²⁵
	4.000	121.36	02/18/20	126.90	5.54 W	0.00	2 of 4 - Sale ²⁵
	6.000	182.03	02/18/20	191.15	9.12 W	0.00	3 of 4 - Sale ²⁵
	5.000	151.70	02/19/20	173.35	21.65 W	0.00	4 of 4 - Sale ²⁵
02/24/20	50.000	1,516.96	Various	1,597.00	80.04 W	0.00	Total of 4 transactions
4 transactions for 02/27/20. Total proceeds and cost reported to the IRS.							
	35.000	810.23	02/26/20	1,216.87	...	-406.64	1 of 4 - Sale ²⁵
	5.000	115.75	02/26/20	189.25	...	-73.50	2 of 4 - Sale ²⁵
	6.000	138.89	02/26/20	210.23	...	-71.34	3 of 4 - Sale ²⁵
	4.000	92.60	02/26/20	139.61	...	-47.01	4 of 4 - Sale ²⁵
02/27/20	50.000	1,157.47	Various	1,755.96	...	-598.49	Total of 4 transactions
04/16/20	25.000	507.48	04/15/20	517.28	...	-9.80	Sale ²⁵
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	3.000	47.91	05/22/20	44.94	...	2.97	1 of 2 - Sale ²⁵
	17.000	271.49	05/22/20	254.66	...	16.83	2 of 2 - Sale ²⁵
05/26/20	20.000	319.40	Various	299.60	...	19.80	Total of 2 transactions
4 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	1.000	16.88	06/01/20	18.31	1.43 W	0.00	1 of 4 - Sale ²⁵
	1.000	16.86	06/01/20	18.31	1.45 W	0.00	2 of 4 - Sale ²⁵
	1.000	16.86	06/01/20	19.74	2.88 W	0.00	3 of 4 - Sale ²⁵
	7.000	118.02	06/01/20	128.17	10.15 W	0.00	4 of 4 - Sale ²⁵
06/02/20	10.000	168.62	Various	184.53	15.91 W	0.00	Total of 4 transactions
2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	1.000	16.29	06/03/20	15.36	...	0.93	1 of 2 - Sale ²⁵
	15.000	244.05	06/03/20	230.40	...	13.65	2 of 2 - Sale ²⁵
06/04/20	16.000	260.34	Various	245.76	...	14.58	Total of 2 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol: (cont'd)							
3 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	1.000	16.29	06/03/20	18.24	1.95 W	0.00	1 of 3 - Sale ²⁵
	1.000	16.29	06/03/20	16.81	0.52 W	0.00	2 of 3 - Sale ²⁵
	7.000	114.03	06/03/20	117.67	3.64 W	0.00	3 of 3 - Sale ²⁵
06/04/20	9.000	146.61	Various	152.72	6.11 W	0.00	Total of 3 transactions
3 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	1.000	17.14	06/22/20	17.14	...	0.00	1 of 3 - Sale ²⁵
	7.000	119.99	06/22/20	119.98	...	0.01	2 of 3 - Sale ²⁵
	16.000	274.26	06/22/20	265.92	...	8.34	3 of 3 - Sale ²⁵
06/23/20	24.000	411.39	Various	403.04	...	8.35	Total of 3 transactions
06/23/20	1.000	17.14	06/22/20	18.57	1.43 W	0.00	Sale ²⁵
3 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	10.000	163.64	06/30/20	163.30	...	0.34	1 of 3 - Sale ²⁵
	32.000	523.64	06/30/20	522.35	...	1.29	2 of 3 - Sale ²⁵
	49.000	801.84	06/30/20	800.17	...	1.67	3 of 3 - Sale ²⁵
07/01/20	91.000	1,489.12	Various	1,485.82	...	3.30	Total of 3 transactions
3 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	1.000	16.36	06/30/20	17.76	1.40 W	0.00	1 of 3 - Sale ²⁵
	8.000	130.91	06/30/20	131.84	0.93 W	0.00	2 of 3 - Sale ²⁵
	10.000	163.64	06/30/20	168.60	4.96 W	0.00	3 of 3 - Sale ²⁵
07/01/20	19.000	310.91	Various	318.20	7.29 W	0.00	Total of 3 transactions
4 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	10.000	170.11	07/02/20	177.36	...	-7.25	1 of 4 - Sale ²⁵
	31.000	527.34	07/02/20	534.44	...	-7.10	2 of 4 - Sale ²⁵
	8.000	136.09	07/02/20	138.85	...	-2.76	3 of 4 - Sale ²⁵
	1.000	17.01	07/02/20	18.64	...	-1.63	4 of 4 - Sale ²⁵
07/02/20	50.000	850.55	Various	869.29	...	-18.74	Total of 4 transactions
	Security total:	11,252.38		11,882.19	110.78 W	-519.03	
VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y201 / Symbol:							
03/05/20	500.000	104.04	03/04/20	124.50	20.46 W	0.00	Sale ²⁵
5 transactions for 03/11/20. Total proceeds and cost reported to the IRS.							
	1.000	0.18	03/10/20	0.23	0.05 W	0.00	1 of 5 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y201 / Symbol: (cont'd)							
	99.000	17.07	03/10/20	23.11	6.04 W	0.00	2 of 5 - Sale ²⁵
	1.000	0.17	03/10/20	0.24	0.07 W	0.00	3 of 5 - Sale ²⁵
	400.000	68.95	03/10/20	93.57	24.62 W	0.00	4 of 5 - Sale ²⁵
	499.000	86.02	03/10/20	96.31	10.29 W	0.00	5 of 5 - Sale ²⁵
03/11/20	1,000.000	172.39	Various	213.46	41.07 W	0.00	Total of 5 transactions
	4 transactions for 03/18/20. Total proceeds and cost reported to the IRS.						
	400.000	53.32	03/17/20	77.94	...	-24.62	1 of 4 - Sale ²⁵
	267.000	35.21	03/17/20	41.10	...	-5.89	2 of 4 - Sale ²⁵
	232.000	30.92	03/17/20	35.71	...	-4.79	3 of 4 - Sale ²⁵
	1,001.000	132.02	03/17/20	133.43	...	-1.41	4 of 4 - Sale ²⁵
03/18/20	1,900.000	251.47	Various	288.18	...	-36.71	Total of 4 transactions
	2 transactions for 03/18/20. Total proceeds and cost reported to the IRS.						
	1.000	0.13	03/17/20	0.20	0.07 W	0.00	1 of 2 - Sale ²⁵
	99.000	13.19	03/17/20	19.24	6.05 W	0.00	2 of 2 - Sale ²⁵
03/18/20	100.000	13.32	Various	19.44	6.12 W	0.00	Total of 2 transactions
	2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.						
	1.000	0.17	04/16/20	0.24	0.07 W	0.00	1 of 2 - Sale ²⁵
	99.000	16.93	04/16/20	23.25	6.32 W	0.00	2 of 2 - Sale ²⁵
04/17/20	100.000	17.10	Various	23.49	6.39 W	0.00	Total of 2 transactions
	3 transactions for 04/24/20. Total proceeds and cost reported to the IRS.						
	8.000	1.49	04/23/20	1.38	...	0.11	1 of 3 - Sale ²⁵
	398.000	73.98	04/23/20	68.66	...	5.32	2 of 3 - Sale ²⁵
	494.000	91.82	04/23/20	85.21	...	6.61	3 of 3 - Sale ²⁵
04/24/20	900.000	167.29	Various	155.25	...	12.04	Total of 3 transactions
	2 transactions for 04/24/20. Total proceeds and cost reported to the IRS.						
	1.000	0.19	04/23/20	0.24	0.05 W	0.00	1 of 2 - Sale ²⁵
	99.000	18.40	04/23/20	23.40	5.00 W	0.00	2 of 2 - Sale ²⁵
04/24/20	100.000	18.59	Various	23.64	5.05 W	0.00	Total of 2 transactions
	3 transactions for 04/30/20. Total proceeds and cost reported to the IRS.						
	1.000	0.32	04/29/20	0.32	...	0.00	1 of 3 - Sale ²⁵
	400.000	127.35	04/29/20	108.32	...	19.03	2 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y201 / Symbol: (cont'd)							
	500.000	159.19	04/29/20	135.50	...	23.69	3 of 3 - Sale ²⁵
04/30/20	901.000	286.86	Various	244.14	...	42.72	Total of 3 transactions
04/30/20	99.000	31.52	04/29/20	31.81	0.29 W	0.00	Sale ²⁵
	4 transactions for 05/06/20. Total proceeds and cost reported to the IRS.						
	99.000	28.20	05/05/20	31.37	3.17 W	0.00	1 of 4 - Sale ²⁵
	101.000	28.77	05/05/20	31.70	2.93 W	0.00	2 of 4 - Sale ²⁵
	100.000	28.49	05/05/20	31.39	2.90 W	0.00	3 of 4 - Sale ²⁵
	100.000	28.49	05/05/20	31.78	3.29 W	0.00	4 of 4 - Sale ²⁵
05/06/20	400.000	113.95	Various	126.24	12.29 W	0.00	Total of 4 transactions
	6 transactions for 05/07/20. Total proceeds and cost reported to the IRS.						
	99.000	27.97	05/06/20	33.76	5.79 W	0.00	1 of 6 - Sale ²⁵
	101.000	28.53	05/06/20	34.14	5.61 W	0.00	2 of 6 - Sale ²⁵
	100.000	28.25	05/06/20	33.80	5.55 W	0.00	3 of 6 - Sale ²⁵
	100.000	28.25	05/06/20	34.19	5.94 W	0.00	4 of 6 - Sale ²⁵
	600.000	169.48	05/06/20	185.40	15.92 W	0.00	5 of 6 - Sale ²⁵
	500.000	141.24	05/06/20	153.90	12.66 W	0.00	6 of 6 - Sale ²⁵
05/07/20	1,500.000	423.72	Various	475.19	51.47 W	0.00	Total of 6 transactions
	3 transactions for 05/12/20. Total proceeds and cost reported to the IRS.						
	10.000	2.80	05/11/20	3.26	0.46 W	0.00	1 of 3 - Sale ²⁵
	89.000	24.91	05/11/20	29.57	4.66 W	0.00	2 of 3 - Sale ²⁵
	1.000	0.28	05/11/20	0.33	0.05 W	0.00	3 of 3 - Sale ²⁵
05/12/20	100.000	27.99	Various	33.16	5.17 W	0.00	Total of 3 transactions
05/15/20	100.000	30.44	05/14/20	36.55	6.11 W	0.00	Sale ²⁵
	12 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	100.000	99.99	06/03/20	43.22	...	56.77	1 of 12 - Sale ²⁵
	100.000	99.99	06/03/20	42.83	...	57.16	2 of 12 - Sale ²⁵
	300.000	299.96	06/03/20	119.80	...	180.16	3 of 12 - Sale ²⁵
	1.000	1.00	06/04/20	0.89	...	0.11	4 of 12 - Sale ²⁵
	10.000	10.00	06/04/20	8.86	...	1.14	5 of 12 - Sale ²⁵
	50.000	49.99	06/04/20	43.27	...	6.72	6 of 12 - Sale ²⁵
	89.000	88.99	06/04/20	79.42	...	9.57	7 of 12 - Sale ²⁵
	100.000	99.98	06/04/20	90.11	...	9.87	8 of 12 - Sale ²⁵
	100.000	99.99	06/04/20	83.45	...	16.54	9 of 12 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y201 / Symbol: (cont'd)							
	200.000	199.97	06/04/20	166.91	...	33.06	10 of 12 - Sale ²⁵
	200.000	199.97	06/04/20	166.66	...	33.31	11 of 12 - Sale ²⁵
	250.000	249.96	06/04/20	211.33	...	38.63	12 of 12 - Sale ²⁵
06/08/20	1,500.000	1,499.79	Various	1,056.75	...	443.04	Total of 12 transactions
	3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	350.000	349.95	06/04/20	456.75	106.80 W	0.00	1 of 3 - Sale ²⁵
	150.000	149.98	06/04/20	198.00	48.02 W	0.00	2 of 3 - Sale ²⁵
	125.000	124.98	06/05/20	171.25	46.27 W	0.00	3 of 3 - Sale ²⁵
06/08/20	625.000	624.91	Various	826.00	201.09 W	0.00	Total of 3 transactions
	9 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	250.000	180.47	06/30/20	263.44	...	-82.97	1 of 9 - Sale ²⁵
	150.000	109.48	06/30/20	158.72	...	-49.24	2 of 9 - Sale ²⁵
	125.000	91.23	06/30/20	138.52	...	-47.29	3 of 9 - Sale ²⁵
	100.000	72.99	06/30/20	104.31	...	-31.32	4 of 9 - Sale ²⁵
	1,000.000	729.86	06/30/20	758.50	...	-28.64	5 of 9 - Sale ²⁵
	750.000	547.40	06/30/20	568.88	...	-21.48	6 of 9 - Sale ²⁵
	1,000.000	729.86	06/30/20	737.90	...	-8.04	7 of 9 - Sale ²⁵
	500.000	364.93	06/30/20	367.15	...	-2.22	8 of 9 - Sale ²⁵
	125.000	91.23	06/30/20	92.25	...	-1.02	9 of 9 - Sale ²⁵
06/30/20	4,000.000	2,917.45	Various	3,189.67	...	-272.22	Total of 9 transactions
06/30/20	250.000	182.47	06/30/20	256.29	73.82 W	0.00	Sale ²⁵
	Security total:	6,883.30		7,123.76	429.33 W	188.87	
VIVEVE MEDICAL, INC. COMMON ST OCK / CUSIP: 92852W303 / Symbol:							
	2 transactions for 03/04/20. Total proceeds and cost reported to the IRS.						
	125.000	138.74	03/03/20	150.00	11.26 W	0.00	1 of 2 - Sale ²⁵
	75.000	83.24	03/03/20	90.00	6.76 W	0.00	2 of 2 - Sale ²⁵
03/04/20	200.000	221.98	Various	240.00	18.02 W	0.00	Total of 2 transactions
	4 transactions for 03/12/20. Total proceeds and cost reported to the IRS.						
	25.000	17.78	03/11/20	25.48	7.70 W	0.00	1 of 4 - Sale ²⁵
	125.000	88.88	03/11/20	127.39	38.51 W	0.00	2 of 4 - Sale ²⁵
	50.000	35.56	03/11/20	50.95	15.39 W	0.00	3 of 4 - Sale ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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VIVEVE MEDICAL, INC. COMMON STOCK / CUSIP: 92852W303 / Symbol: (cont'd)							
	50.000	35.55	03/11/20	46.45	10.90 W	0.00	4 of 4 - Sale ²⁵
03/12/20	250.000	177.77	Various	250.27	72.50 W	0.00	Total of 4 transactions
	5 transactions for 03/20/20. Total proceeds and cost reported to the IRS.						
	100.000	62.13	03/19/20	94.81	...	-32.68	1 of 5 - Sale ²⁵
	50.000	31.07	03/19/20	47.89	...	-16.82	2 of 5 - Sale ²⁵
	50.000	31.06	03/19/20	43.40	...	-12.34	3 of 5 - Sale ²⁵
	25.000	15.53	03/19/20	23.95	...	-8.42	4 of 5 - Sale ²⁵
	25.000	15.53	03/19/20	23.95	...	-8.42	5 of 5 - Sale ²⁵
03/20/20	250.000	155.32	Various	234.00	...	-78.68	Total of 5 transactions
	Security total:	555.07		724.27	90.52 W	-78.68	
VIVINT SOLAR, INC. / CUSIP: 92854Q106 / Symbol:							
02/03/20	3.000	24.63	01/30/20	26.91	...	-2.28	Sale ²⁵
03/24/20	75.000	364.49	03/23/20	312.00	...	52.49	Sale ²⁵
03/30/20	75.000	348.33	03/27/20	330.00	...	18.33	Sale ²⁵
04/15/20	70.000	385.39	04/14/20	401.79	...	-16.40	Sale ²⁵
06/10/20	25.000	249.52	06/09/20	251.63	...	-2.11	Sale ²⁵
06/10/20	50.000	499.04	06/09/20	504.84	5.80 W	0.00	Sale ²⁵
	2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	25.000	251.02	06/18/20	255.90	...	-4.88	1 of 2 - Sale ²⁵
	25.000	251.03	06/19/20	261.65	...	-10.62	2 of 2 - Sale ²⁵
06/19/20	50.000	502.05	Various	517.55	...	-15.50	Total of 2 transactions
	Security total:	2,373.45		2,344.72	5.80 W	34.53	
VIVUS, INC. COMMON STOCK / CUSIP: 928551308 / Symbol:							
03/05/20	200.000	353.98	03/04/20	366.00	12.02 W	0.00	Sale ²⁵
	2 transactions for 03/13/20. Total proceeds and cost reported to the IRS.						
	100.000	120.99	03/12/20	115.01	...	5.98	1 of 2 - Sale ²⁵
	100.000	120.99	03/12/20	115.01	...	5.98	2 of 2 - Sale ²⁵
03/13/20	200.000	241.98	Various	230.02	...	11.96	Total of 2 transactions
	Security total:	595.96		596.02	12.02 W	11.96	

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(continued)

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WAITR HOLDINGS INC. COMMON STO CK / CUSIP: 930752100 / Symbol:							
03/20/20	25.000	60.50	03/19/20	72.75	12.25 W	0.00	Sale ²⁵
	2 transactions for 04/03/20. Total proceeds and cost reported to the IRS.						
	25.000	25.00	04/02/20	41.25	16.25 W	0.00	1 of 2 - Sale ²⁵
	275.000	274.96	04/02/20	318.94	43.98 W	0.00	2 of 2 - Sale ²⁵
04/03/20	300.000	299.96	Various	360.19	60.23 W	0.00	Total of 2 transactions
	3 transactions for 04/14/20. Total proceeds and cost reported to the IRS.						
	25.000	26.00	04/13/20	42.50	16.50 W	0.00	1 of 3 - Sale ²⁵
	125.000	130.00	04/13/20	151.24	21.24 W	0.00	2 of 3 - Sale ²⁵
	100.000	104.00	04/13/20	120.99	16.99 W	0.00	3 of 3 - Sale ²⁵
04/14/20	250.000	260.00	Various	314.73	54.73 W	0.00	Total of 3 transactions
	7 transactions for 04/15/20. Total proceeds and cost reported to the IRS.						
	25.000	26.31	04/14/20	45.75	19.44 W	0.00	1 of 7 - Sale ²⁵
	50.000	52.63	04/14/20	66.99	14.36 W	0.00	2 of 7 - Sale ²⁵
	125.000	131.57	04/14/20	167.49	35.92 W	0.00	3 of 7 - Sale ²⁵
	50.000	52.63	04/14/20	66.50	13.87 W	0.00	4 of 7 - Sale ²⁵
	10.000	10.53	04/14/20	13.30	2.77 W	0.00	5 of 7 - Sale ²⁵
	40.000	42.10	04/14/20	53.20	11.10 W	0.00	6 of 7 - Sale ²⁵
	50.000	52.63	04/14/20	57.99	5.36 W	0.00	7 of 7 - Sale ²⁵
04/15/20	350.000	368.40	Various	471.22	102.82 W	0.00	Total of 7 transactions
04/24/20	25.000	27.50	04/22/20	26.00	...	1.50	Sale ²⁵
	7 transactions for 04/24/20. Total proceeds and cost reported to the IRS.						
	25.000	27.50	04/22/20	45.41	17.91 W	0.00	1 of 7 - Sale ²⁵
	10.000	11.00	04/22/20	13.16	2.16 W	0.00	2 of 7 - Sale ²⁵
	40.000	44.00	04/22/20	52.65	8.65 W	0.00	3 of 7 - Sale ²⁵
	50.000	55.00	04/22/20	66.30	11.30 W	0.00	4 of 7 - Sale ²⁵
	125.000	137.50	04/22/20	165.77	28.27 W	0.00	5 of 7 - Sale ²⁵
	50.000	55.00	04/22/20	65.81	10.81 W	0.00	6 of 7 - Sale ²⁵
	50.000	55.00	04/22/20	57.35	2.35 W	0.00	7 of 7 - Sale ²⁵
04/24/20	350.000	385.00	Various	466.45	81.45 W	0.00	Total of 7 transactions
	11 transactions for 04/29/20. Total proceeds and cost reported to the IRS.						
	25.000	30.00	04/28/20	47.16	17.16 W	0.00	1 of 11 - Sale ²⁵
	25.000	30.00	04/28/20	34.90	4.90 W	0.00	2 of 11 - Sale ²⁵
	50.000	59.99	04/28/20	69.80	9.81 W	0.00	3 of 11 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WAITR HOLDINGS INC. COMMON STO CK / CUSIP: 930752100 / Symbol: (cont'd)							
	100.000	119.99	04/28/20	139.61	19.62 W	0.00	4 of 11 - Sale ²⁵
	10.000	12.00	04/28/20	13.86	1.86 W	0.00	5 of 11 - Sale ²⁵
	40.000	48.00	04/28/20	55.44	7.44 W	0.00	6 of 11 - Sale ²⁵
	50.000	59.99	04/28/20	69.31	9.32 W	0.00	7 of 11 - Sale ²⁵
	50.000	59.99	04/28/20	60.84	0.85 W	0.00	8 of 11 - Sale ²⁵
	25.000	30.00	04/28/20	46.41	16.41 W	0.00	9 of 11 - Sale ²⁵
	25.000	30.00	04/28/20	34.15	4.15 W	0.00	10 of 11 - Sale ²⁵
	100.000	119.99	04/28/20	140.50	20.51 W	0.00	11 of 11 - Sale ²⁵
04/29/20	500.000	599.95	Various	711.98	112.03 W	0.00	Total of 11 transactions
05/05/20	50.000	84.49	05/04/20	77.79	...	6.70	Sale ²⁵
	5 transactions for 05/05/20. Total proceeds and cost reported to the IRS.						
	10.000	16.90	05/04/20	17.25	0.35 W	0.00	1 of 5 - Sale ²⁵
	40.000	67.60	05/04/20	68.99	1.39 W	0.00	2 of 5 - Sale ²⁵
	50.000	84.49	05/04/20	86.75	2.26 W	0.00	3 of 5 - Sale ²⁵
	50.000	84.49	05/04/20	86.26	1.77 W	0.00	4 of 5 - Sale ²⁵
	25.000	42.25	05/04/20	54.88	12.63 W	0.00	5 of 5 - Sale ²⁵
05/05/20	175.000	295.73	Various	314.13	18.40 W	0.00	Total of 5 transactions
05/08/20	10.000	22.20	05/07/20	21.66	...	0.54	Sale ²⁵
	2 transactions for 05/08/20. Total proceeds and cost reported to the IRS.						
	15.000	33.30	05/07/20	33.69	0.39 W	0.00	1 of 2 - Sale ²⁵
	85.000	188.70	05/07/20	194.22	5.52 W	0.00	2 of 2 - Sale ²⁵
05/08/20	100.000	222.00	Various	227.91	5.91 W	0.00	Total of 2 transactions
	6 transactions for 05/13/20. Total proceeds and cost reported to the IRS.						
	15.000	45.45	05/08/20	44.63	...	0.82	1 of 6 - Sale ²⁵
	10.000	30.30	05/08/20	28.05	...	2.25	2 of 6 - Sale ²⁵
	25.000	75.74	05/08/20	70.12	...	5.62	3 of 6 - Sale ²⁵
	50.000	151.49	05/08/20	140.76	...	10.73	4 of 6 - Sale ²⁵
	15.000	45.45	05/11/20	45.45	...	0.00	5 of 6 - Sale ²⁵
	10.000	30.30	05/11/20	30.21	...	0.09	6 of 6 - Sale ²⁵
05/13/20	125.000	378.73	Various	359.22	...	19.51	Total of 6 transactions
	8 transactions for 05/13/20. Total proceeds and cost reported to the IRS.						
	25.000	75.74	05/11/20	87.50	11.76 W	0.00	1 of 8 - Sale ²⁵
	34.000	103.01	05/11/20	103.03	0.02 W	0.00	2 of 8 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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WAIR HOLDINGS INC. COMMON STO CK / CUSIP: 930752100 / Symbol: (cont'd)							
	16.000	48.48	05/11/20	48.49	0.01 W	0.00	3 of 8 - Sale ²⁵
	5.000	15.15	05/12/20	16.93	1.78 W	0.00	4 of 8 - Sale ²⁵
	45.000	136.34	05/12/20	154.12	17.78 W	0.00	5 of 8 - Sale ²⁵
	10.000	30.30	05/12/20	40.90	10.60 W	0.00	6 of 8 - Sale ²⁵
	24.000	72.72	05/12/20	88.44	15.72 W	0.00	7 of 8 - Sale ²⁵
	16.000	48.00	05/12/20	58.96	10.96 W	0.00	8 of 8 - Sale ²⁵
05/13/20	175.000	529.74	Various	598.37	68.63 W	0.00	Total of 8 transactions
	3 transactions for 05/15/20. Total proceeds and cost reported to the IRS.						
	5.000	13.83	05/14/20	13.70	...	0.13	1 of 3 - Sale ²⁵
	16.000	44.16	05/14/20	38.17	...	5.99	2 of 3 - Sale ²⁵
	34.000	93.83	05/14/20	81.11	...	12.72	3 of 3 - Sale ²⁵
05/15/20	55.000	151.82	Various	132.98	...	18.84	Total of 3 transactions
	3 transactions for 05/15/20. Total proceeds and cost reported to the IRS.						
	15.000	41.47	05/14/20	42.84	1.37 W	0.00	1 of 3 - Sale ²⁵
	19.000	52.54	05/14/20	52.82	0.28 W	0.00	2 of 3 - Sale ²⁵
	11.000	30.36	05/14/20	30.58	0.22 W	0.00	3 of 3 - Sale ²⁵
05/15/20	45.000	124.37	Various	126.24	1.87 W	0.00	Total of 3 transactions
	7 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	10.000	22.80	06/08/20	33.35	10.55 W	0.00	1 of 7 - Sale ²⁵
	15.000	34.20	06/08/20	35.49	1.29 W	0.00	2 of 7 - Sale ²⁵
	1.000	2.28	06/08/20	2.29	0.01 W	0.00	3 of 7 - Sale ²⁵
	19.000	43.32	06/08/20	43.51	0.19 W	0.00	4 of 7 - Sale ²⁵
	15.000	34.20	06/08/20	40.06	5.86 W	0.00	5 of 7 - Sale ²⁵
	16.000	36.48	06/08/20	47.36	10.88 W	0.00	6 of 7 - Sale ²⁵
	24.000	54.71	06/08/20	70.32	15.61 W	0.00	7 of 7 - Sale ²⁵
06/09/20	100.000	227.99	Various	272.38	44.39 W	0.00	Total of 7 transactions
	11 transactions for 06/12/20. Total proceeds and cost reported to the IRS.						
	10.000	23.11	06/11/20	34.40	11.29 W	0.00	1 of 11 - Sale ²⁵
	15.000	34.66	06/11/20	37.07	2.41 W	0.00	2 of 11 - Sale ²⁵
	1.000	2.31	06/11/20	2.40	0.09 W	0.00	3 of 11 - Sale ²⁵
	19.000	43.91	06/11/20	45.50	1.59 W	0.00	4 of 11 - Sale ²⁵
	6.000	13.87	06/11/20	18.39	4.52 W	0.00	5 of 11 - Sale ²⁵
	10.000	23.11	06/11/20	24.05	0.94 W	0.00	6 of 11 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

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2020 1099-B* OMB No. 1545-0715

(continued)

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WAITR HOLDINGS INC. COMMON STO CK / CUSIP: 930752100 / Symbol: (cont'd)							
	15.000	34.66	06/11/20	41.63	6.97 W	0.00	7 of 11 - Sale ²⁵
	24.000	55.46	06/11/20	72.85	17.39 W	0.00	8 of 11 - Sale ²⁵
	10.000	23.11	06/11/20	30.80	7.69 W	0.00	9 of 11 - Sale ²⁵
	140.000	323.53	06/11/20	336.00	12.47 W	0.00	10 of 11 - Sale ²⁵
	200.000	462.19	06/11/20	485.98	23.79 W	0.00	11 of 11 - Sale ²⁵
06/12/20	450.000	1,039.92	Various	1,129.07	89.15 W	0.00	Total of 11 transactions
	10 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	10.000	24.60	06/15/20	35.69	11.09 W	0.00	1 of 10 - Sale ²⁵
	15.000	36.90	06/15/20	39.01	2.11 W	0.00	2 of 10 - Sale ²⁵
	1.000	2.46	06/15/20	2.53	0.07 W	0.00	3 of 10 - Sale ²⁵
	19.000	46.74	06/15/20	47.95	1.21 W	0.00	4 of 10 - Sale ²⁵
	6.000	14.76	06/15/20	19.16	4.40 W	0.00	5 of 10 - Sale ²⁵
	10.000	24.60	06/15/20	25.34	0.74 W	0.00	6 of 10 - Sale ²⁵
	15.000	36.90	06/15/20	43.57	6.67 W	0.00	7 of 10 - Sale ²⁵
	24.000	59.03	06/15/20	75.95	16.92 W	0.00	8 of 10 - Sale ²⁵
	10.000	24.60	06/15/20	32.39	7.79 W	0.00	9 of 10 - Sale ²⁵
	40.000	98.39	06/15/20	102.36	3.97 W	0.00	10 of 10 - Sale ²⁵
06/16/20	150.000	368.98	Various	423.95	54.97 W	0.00	Total of 10 transactions
06/29/20	300.000	794.97	06/29/20	792.00	...	2.97	Sale ²⁵
	25 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	100.000	264.99	06/29/20	272.90	7.91 W	0.00	1 of 25 - Sale ²⁵
	10.000	26.50	06/29/20	37.49	10.99 W	0.00	2 of 25 - Sale ²⁵
	15.000	39.75	06/29/20	41.71	1.96 W	0.00	3 of 25 - Sale ²⁵
	1.000	2.65	06/29/20	2.71	0.06 W	0.00	4 of 25 - Sale ²⁵
	19.000	50.35	06/29/20	51.37	1.02 W	0.00	5 of 25 - Sale ²⁵
	6.000	15.90	06/29/20	20.24	4.34 W	0.00	6 of 25 - Sale ²⁵
	10.000	26.50	06/29/20	27.14	0.64 W	0.00	7 of 25 - Sale ²⁵
	10.000	26.50	06/29/20	34.19	7.69 W	0.00	8 of 25 - Sale ²⁵
	15.000	39.75	06/29/20	46.27	6.52 W	0.00	9 of 25 - Sale ²⁵
	24.000	63.60	06/29/20	80.28	16.68 W	0.00	10 of 25 - Sale ²⁵
	40.000	106.00	06/29/20	109.57	3.57 W	0.00	11 of 25 - Sale ²⁵
	200.000	529.98	06/29/20	551.79	21.81 W	0.00	12 of 25 - Sale ²⁵
	750.000	1,987.44	06/29/20	2,007.45	20.01 W	0.00	13 of 25 - Sale ²⁵
	750.000	1,987.43	06/29/20	2,007.83	20.40 W	0.00	14 of 25 - Sale ²⁵

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(continued)

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WAIR HOLDINGS INC. COMMON STO CK / CUSIP: 930752100 / Symbol: (cont'd)							
	10.000	27.10	06/29/20	38.14	11.04 W	0.00	15 of 25 - Sale ²⁵
	15.000	40.65	06/29/20	42.69	2.04 W	0.00	16 of 25 - Sale ²⁵
	1.000	2.71	06/29/20	2.78	0.07 W	0.00	17 of 25 - Sale ²⁵
	19.000	51.49	06/29/20	52.60	1.11 W	0.00	18 of 25 - Sale ²⁵
	6.000	16.26	06/29/20	20.63	4.37 W	0.00	19 of 25 - Sale ²⁵
	10.000	27.10	06/29/20	27.79	0.69 W	0.00	20 of 25 - Sale ²⁵
	15.000	40.65	06/29/20	47.24	6.59 W	0.00	21 of 25 - Sale ²⁵
	24.000	65.04	06/29/20	81.84	16.80 W	0.00	22 of 25 - Sale ²⁵
	100.000	270.98	06/29/20	279.41	8.43 W	0.00	23 of 25 - Sale ²⁵
	10.000	27.10	06/29/20	35.34	8.24 W	0.00	24 of 25 - Sale ²⁵
	40.000	108.39	06/29/20	114.17	5.78 W	0.00	25 of 25 - Sale ²⁵
06/29/20	2,200.000	5,844.81	Various	6,033.57	188.76 W	0.00	Total of 25 transactions
06/30/20	149.000	440.27	06/30/20	446.57	...	-6.30	Sale ²⁵
5 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	750.000	2,216.11	06/30/20	2,247.43	11.44 W	-19.88	1 of 5 - Sale ²⁵
	2.000	5.58	06/30/20	6.00	0.42 W	0.00	2 of 5 - Sale ²⁵
	97.000	270.62	06/30/20	291.88	21.26 W	0.00	3 of 5 - Sale ²⁵
	1.000	2.95	06/30/20	3.01	0.06 W	0.00	4 of 5 - Sale ²⁵
	100.000	295.48	06/30/20	307.89	12.41 W	0.00	5 of 5 - Sale ²⁵
06/30/20	950.000	2,790.74	Various	2,856.21	45.59 W	-19.88	Total of 5 transactions
32 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	90.000	312.29	07/06/20	347.15	...	-34.86	1 of 32 - Sale ²⁵
	250.000	912.44	07/06/20	945.44	...	-33.00	2 of 32 - Sale ²⁵
	72.000	266.39	07/06/20	292.25	...	-25.86	3 of 32 - Sale ²⁵
	100.000	347.98	07/06/20	369.72	...	-21.74	4 of 32 - Sale ²⁵
	100.000	355.00	07/06/20	376.43	...	-21.43	5 of 32 - Sale ²⁵
	74.000	273.79	07/06/20	291.86	...	-18.07	6 of 32 - Sale ²⁵
	20.000	71.00	07/06/20	87.60	...	-16.60	7 of 32 - Sale ²⁵
	40.000	138.80	07/06/20	153.89	...	-15.09	8 of 32 - Sale ²⁵
	75.000	260.23	07/06/20	274.22	...	-13.99	9 of 32 - Sale ²⁵
	10.000	35.50	07/06/20	47.94	...	-12.44	10 of 32 - Sale ²⁵
	10.000	35.50	07/06/20	45.04	...	-9.54	11 of 32 - Sale ²⁵
	15.000	53.25	07/06/20	61.93	...	-8.68	12 of 32 - Sale ²⁵
	25.000	92.50	07/06/20	100.98	...	-8.48	13 of 32 - Sale ²⁵

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WAYTR HOLDINGS INC. COMMON STO CK / CUSIP: 930752100 / Symbol: (cont'd)							
	100.000	347.98	07/06/20	355.72	...	-7.74	14 of 32 - Sale ²⁵
	26.000	96.20	07/06/20	103.60	...	-7.40	15 of 32 - Sale ²⁵
	75.000	260.98	07/06/20	268.28	...	-7.30	16 of 32 - Sale ²⁵
	26.000	96.19	07/06/20	102.55	...	-6.36	17 of 32 - Sale ²⁵
	6.000	21.30	07/06/20	26.45	...	-5.15	18 of 32 - Sale ²⁵
	50.000	173.99	07/06/20	178.86	...	-4.87	19 of 32 - Sale ²⁵
	25.000	87.00	07/06/20	91.40	...	-4.40	20 of 32 - Sale ²⁵
	26.000	92.30	07/06/20	96.64	...	-4.34	21 of 32 - Sale ²⁵
	15.000	53.25	07/06/20	57.39	...	-4.14	22 of 32 - Sale ²⁵
	15.000	52.05	07/06/20	56.06	...	-4.01	23 of 32 - Sale ²⁵
	24.000	88.80	07/06/20	92.68	...	-3.88	24 of 32 - Sale ²⁵
	14.000	49.70	07/06/20	53.54	...	-3.84	25 of 32 - Sale ²⁵
	19.000	67.45	07/06/20	71.22	...	-3.77	26 of 32 - Sale ²⁵
	4.000	14.20	07/06/20	17.56	...	-3.36	27 of 32 - Sale ²⁵
	10.000	35.50	07/06/20	37.59	...	-2.09	28 of 32 - Sale ²⁵
	5.000	17.35	07/06/20	18.69	...	-1.34	29 of 32 - Sale ²⁵
	2.000	7.40	07/06/20	8.10	...	-0.70	30 of 32 - Sale ²⁵
	1.000	3.55	07/06/20	3.76	...	-0.21	31 of 32 - Sale ²⁵
	1.000	3.70	07/06/20	3.88	...	-0.18	32 of 32 - Sale ²⁵
07/06/20	1,325.000	4,723.56	Various	5,038.42	...	-314.86	Total of 32 transactions
	Security total:	20,041.63		21,273.79	941.18 W	-290.98	
WAYFAIR INC. / CUSIP: 94419L101 / Symbol:							
2 transactions for 04/27/20. Total proceeds and cost reported to the IRS.							
	5.000	597.83	04/24/20	593.65	...	4.18	1 of 2 - Sale ²⁵
	5.000	597.98	04/24/20	593.65	...	4.33	2 of 2 - Sale ²⁵
04/27/20	10.000	1,195.81	Various	1,187.30	...	8.51	Total of 2 transactions
06/12/20	10.000	1,810.75	06/11/20	1,857.84	47.09 W	0.00	Sale ²⁵
07/02/20	10.000	2,155.19	07/02/20	2,286.59	...	-131.40	Sale ²⁵
	Security total:	5,161.75		5,331.73	47.09 W	-122.89	

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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WESTERN MIDSTREAM PARTNERS, LP / CUSIP: 958669103 / Symbol:							
03/11/20	75.000	479.99	03/10/20	461.12	...	18.87	Sale ²⁵
04/01/20	100.000	299.11	03/31/20	335.00	...	-35.89	Sale ²⁵
	Security total:	779.10		796.12	...	-17.02	
WESTPORT FUEL SYSTEMS INC COMM ON SHARES / CUSIP: 960908309 / Symbol:							
05/12/20	1.000	1.38	05/11/20	1.36	...	0.02	Sale ²⁵
05/21/20	150.000	191.04	05/20/20	205.49	9.63 W	-4.82	Sale ²⁵
	2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.						
	10.000	14.40	06/09/20	15.05	0.65 W	0.00	1 of 2 - Sale ²⁵
	90.000	127.79	06/09/20	135.43	7.64 W	0.00	2 of 2 - Sale ²⁵
06/10/20	100.000	142.19	Various	150.48	8.29 W	0.00	Total of 2 transactions
	3 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	90.000	124.33	07/06/20	129.91	...	-5.58	1 of 3 - Sale ²⁵
	10.000	13.81	07/06/20	14.24	...	-0.43	2 of 3 - Sale ²⁵
	150.000	207.21	07/06/20	203.77	...	3.44	3 of 3 - Sale ²⁵
07/06/20	250.000	345.35	Various	347.92	...	-2.57	Total of 3 transactions
	Security total:	679.96		705.25	17.92 W	-7.37	
WHITING PETROLEUM CORPORATION / CUSIP: 966387409 / Symbol:							
04/06/20	60.000	22.26	04/03/20	24.70	...	-2.44	Sale ²⁵
WINNEBAGO INDUSTRIES, INC. / CUSIP: 974637100 / Symbol:							
	2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.						
	15.000	941.23	06/12/20	979.16	37.93 W	0.00	1 of 2 - Sale ²⁵
	10.000	627.48	06/12/20	655.23	27.75 W	0.00	2 of 2 - Sale ²⁵
06/15/20	25.000	1,568.71	Various	1,634.39	65.68 W	0.00	Total of 2 transactions
06/16/20	10.000	709.98	06/15/20	693.29	...	16.69	Sale ²⁵
06/19/20	5.000	345.49	06/18/20	357.40	...	-11.91	Sale ²⁵
06/19/20	5.000	345.49	06/18/20	356.17	10.68 W	0.00	Sale ²⁵
	5 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	4.000	270.20	06/25/20	283.96	...	-13.76	1 of 5 - Sale ²⁵
	2.000	135.10	06/25/20	140.70	...	-5.60	2 of 5 - Sale ²⁵
	1.000	65.94	06/25/20	70.99	...	-5.05	3 of 5 - Sale ²⁵
	2.000	136.00	06/25/20	140.70	...	-4.70	4 of 5 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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WINNEBAGO INDUSTRIES, INC. / CUSIP: 974637100 / Symbol: (cont'd)							
	1.000	68.00	06/25/20	70.35	...	-2.35	5 of 5 - Sale ²⁵
06/26/20	10.000	675.24	Various	706.70	...	-31.46	Total of 5 transactions
	Security total:	3,644.91		3,747.95	76.36 W	-26.68	
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
4 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	26.000	99.32	06/09/20	87.85	...	11.47	1 of 4 - Sale ²⁵
	100.000	381.98	06/09/20	361.87	...	20.11	2 of 4 - Sale ²⁵
	100.000	381.98	06/09/20	355.87	...	26.11	3 of 4 - Sale ²⁵
	74.000	282.66	06/09/20	250.02	...	32.64	4 of 4 - Sale ²⁵
06/10/20	300.000	1,145.94	Various	1,055.61	...	90.33	Total of 4 transactions
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	50.000	215.05	06/15/20	201.50	...	13.55	1 of 2 - Sale ²⁵
	50.000	215.05	06/15/20	184.94	...	30.11	2 of 2 - Sale ²⁵
06/16/20	100.000	430.10	Various	386.44	...	43.66	Total of 2 transactions
06/16/20	50.000	215.05	06/15/20	221.00	5.95 W	0.00	Sale ²⁵
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	50.000	246.06	06/19/20	268.45	22.39 W	0.00	1 of 2 - Sale ²⁵
	50.000	246.06	06/19/20	262.50	16.44 W	0.00	2 of 2 - Sale ²⁵
06/19/20	100.000	492.12	Various	530.95	38.83 W	0.00	Total of 2 transactions
7 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	50.000	275.05	06/22/20	296.33	21.28 W	0.00	1 of 7 - Sale ²⁵
	50.000	275.05	06/22/20	291.38	16.33 W	0.00	2 of 7 - Sale ²⁵
	50.000	275.06	06/22/20	294.00	18.94 W	0.00	3 of 7 - Sale ²⁵
	50.000	271.49	06/22/20	315.72	44.23 W	0.00	4 of 7 - Sale ²⁵
	50.000	271.48	06/22/20	311.83	40.35 W	0.00	5 of 7 - Sale ²⁵
	50.000	271.49	06/22/20	341.16	69.67 W	0.00	6 of 7 - Sale ²⁵
	50.000	271.48	06/22/20	315.88	44.40 W	0.00	7 of 7 - Sale ²⁵
06/22/20	350.000	1,911.10	Various	2,166.30	255.20 W	0.00	Total of 7 transactions
15 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	50.000	361.84	06/24/20	442.17	80.33 W	0.00	1 of 15 - Sale ²⁵
	50.000	361.84	06/24/20	412.85	51.01 W	0.00	2 of 15 - Sale ²⁵
	49.000	354.60	06/24/20	409.05	54.45 W	0.00	3 of 15 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	1.000	7.68	06/24/20	8.35	0.67 W	0.00	4 of 15 - Sale ²⁵
	50.000	384.11	06/24/20	466.70	82.59 W	0.00	5 of 15 - Sale ²⁵
	49.000	376.43	06/24/20	428.63	52.20 W	0.00	6 of 15 - Sale ²⁵
	1.000	7.68	06/24/20	8.75	1.07 W	0.00	7 of 15 - Sale ²⁵
	49.000	376.44	06/24/20	433.22	56.78 W	0.00	8 of 15 - Sale ²⁵
	50.000	409.98	06/24/20	473.09	63.11 W	0.00	9 of 15 - Sale ²⁵
	1.000	8.20	06/24/20	8.48	0.28 W	0.00	10 of 15 - Sale ²⁵
	49.000	401.79	06/24/20	434.89	33.10 W	0.00	11 of 15 - Sale ²⁵
	1.000	8.20	06/24/20	10.29	2.09 W	0.00	12 of 15 - Sale ²⁵
	49.000	401.78	06/24/20	508.50	106.72 W	0.00	13 of 15 - Sale ²⁵
	50.000	409.99	06/24/20	493.50	83.51 W	0.00	14 of 15 - Sale ²⁵
	50.000	409.98	06/24/20	496.00	86.02 W	0.00	15 of 15 - Sale ²⁵
06/24/20	549.000	4,280.54	Various	5,034.47	753.93 W	0.00	Total of 15 transactions
06/25/20	49.000	398.44	06/25/20	392.99	...	5.45	Sale ²⁵
	20 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	50.000	446.48	06/24/20	510.11	63.63 W	0.00	1 of 20 - Sale ²⁵
	1.000	8.93	06/24/20	9.22	0.29 W	0.00	2 of 20 - Sale ²⁵
	1.000	8.93	06/24/20	11.03	2.10 W	0.00	3 of 20 - Sale ²⁵
	49.000	437.55	06/24/20	471.16	33.61 W	0.00	4 of 20 - Sale ²⁵
	49.000	437.55	06/24/20	544.78	107.23 W	0.00	5 of 20 - Sale ²⁵
	50.000	446.48	06/24/20	530.51	84.03 W	0.00	6 of 20 - Sale ²⁵
	50.000	446.49	06/24/20	533.02	86.53 W	0.00	7 of 20 - Sale ²⁵
	178.000	1,589.49	06/24/20	1,591.32	1.83 W	0.00	8 of 20 - Sale ²⁵
	72.000	642.94	06/24/20	643.68	0.74 W	0.00	9 of 20 - Sale ²⁵
	500.000	4,464.84	06/24/20	4,475.00	10.16 W	0.00	10 of 20 - Sale ²⁵
	50.000	435.98	06/25/20	511.12	75.14 W	0.00	11 of 20 - Sale ²⁵
	1.000	8.72	06/25/20	9.24	0.52 W	0.00	12 of 20 - Sale ²⁵
	48.000	418.55	06/25/20	462.51	43.96 W	0.00	13 of 20 - Sale ²⁵
	1.000	9.17	06/25/20	9.64	0.47 W	0.00	14 of 20 - Sale ²⁵
	1.000	9.17	06/25/20	10.99	1.82 W	0.00	15 of 20 - Sale ²⁵
	49.000	449.31	06/25/20	542.84	93.53 W	0.00	16 of 20 - Sale ²⁵
	49.000	449.31	06/25/20	517.96	68.65 W	0.00	17 of 20 - Sale ²⁵
	1.000	8.89	06/25/20	11.27	2.38 W	0.00	18 of 20 - Sale ²⁵
	49.000	435.68	06/25/20	554.70	119.02 W	0.00	19 of 20 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
06/25/20	1.000	8.13	06/25/20	9.74	1.61 W	0.00	20 of 20 - Sale ²⁵
	1,250.000	11,162.59	Various	11,959.84	797.25 W	0.00	Total of 20 transactions
	17 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	1.000	14.40	06/29/20	14.38	...	0.02	1 of 17 - Sale ²⁵
	1.000	14.86	06/29/20	14.78	...	0.08	2 of 17 - Sale ²⁵
	1.000	14.45	06/29/20	14.31	...	0.14	3 of 17 - Sale ²⁵
	1.000	14.45	06/29/20	14.31	...	0.14	4 of 17 - Sale ²⁵
	1.000	14.88	06/29/20	14.73	...	0.15	5 of 17 - Sale ²⁵
	1.000	14.06	06/29/20	13.74	...	0.32	6 of 17 - Sale ²⁵
	3.000	44.64	06/29/20	44.19	...	0.45	7 of 17 - Sale ²⁵
	6.000	89.16	06/29/20	88.61	...	0.55	8 of 17 - Sale ²⁵
	10.000	148.70	06/29/20	147.28	...	1.42	9 of 17 - Sale ²⁵
	50.000	722.48	06/29/20	720.70	...	1.78	10 of 17 - Sale ²⁵
	15.000	223.04	06/29/20	221.09	...	1.95	11 of 17 - Sale ²⁵
	32.000	475.82	06/29/20	471.64	...	4.18	12 of 17 - Sale ²⁵
	43.000	639.39	06/29/20	635.06	...	4.33	13 of 17 - Sale ²⁵
	39.000	580.30	06/29/20	574.41	...	5.89	14 of 17 - Sale ²⁵
	100.000	1,384.56	06/29/20	1,378.53	...	6.03	15 of 17 - Sale ²⁵
	47.000	679.13	06/29/20	672.49	...	6.64	16 of 17 - Sale ²⁵
	49.000	705.71	06/29/20	660.96	...	44.75	17 of 17 - Sale ²⁵
06/29/20	400.000	5,780.03	Various	5,701.21	...	78.82	Total of 17 transactions
	469 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	21.000	290.76	06/29/20	293.41	2.65 W	0.00	1 of 469 - Sale ²⁵
	29.000	401.52	06/29/20	405.19	3.67 W	0.00	2 of 469 - Sale ²⁵
	13.000	179.99	06/29/20	186.81	6.82 W	0.00	3 of 469 - Sale ²⁵
	38.000	526.13	06/29/20	546.07	19.94 W	0.00	4 of 469 - Sale ²⁵
	48.000	664.58	06/29/20	690.26	25.68 W	0.00	5 of 469 - Sale ²⁵
	2.000	26.32	06/29/20	26.98	0.66 W	0.00	6 of 469 - Sale ²⁵
	48.000	630.36	06/29/20	647.47	17.11 W	0.00	7 of 469 - Sale ²⁵
	52.000	682.90	06/29/20	713.84	30.94 W	0.00	8 of 469 - Sale ²⁵
	98.000	1,286.70	06/29/20	1,345.32	58.62 W	0.00	9 of 469 - Sale ²⁵
	2.000	26.26	06/29/20	28.92	2.66 W	0.00	10 of 469 - Sale ²⁵
	48.000	630.22	06/29/20	694.03	63.81 W	0.00	11 of 469 - Sale ²⁵
	25.000	328.24	06/29/20	374.51	46.27 W	0.00	12 of 469 - Sale ²⁵

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(continued)

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	50.000	656.48	06/29/20	832.14	175.66 W	0.00	13 of 469 - Sale ²⁵
	77.000	1,010.98	06/29/20	1,167.34	156.36 W	0.00	14 of 469 - Sale ²⁵
	1.000	13.13	06/29/20	15.66	2.53 W	0.00	15 of 469 - Sale ²⁵
	1.000	13.13	06/29/20	15.61	2.48 W	0.00	16 of 469 - Sale ²⁵
	48.000	630.22	06/29/20	770.68	140.46 W	0.00	17 of 469 - Sale ²⁵
	50.000	656.48	06/29/20	758.02	101.54 W	0.00	18 of 469 - Sale ²⁵
	1.000	13.13	06/29/20	16.96	3.83 W	0.00	19 of 469 - Sale ²⁵
	1.000	13.13	06/29/20	17.52	4.39 W	0.00	20 of 469 - Sale ²⁵
	1.000	13.13	06/29/20	16.75	3.62 W	0.00	21 of 469 - Sale ²⁵
	21.000	275.72	06/29/20	320.59	44.87 W	0.00	22 of 469 - Sale ²⁵
	29.000	380.76	06/29/20	442.73	61.97 W	0.00	23 of 469 - Sale ²⁵
	49.000	643.36	06/29/20	835.39	192.03 W	0.00	24 of 469 - Sale ²⁵
	49.000	643.35	06/29/20	810.51	167.16 W	0.00	25 of 469 - Sale ²⁵
	49.000	643.36	06/29/20	860.88	217.52 W	0.00	26 of 469 - Sale ²⁵
	13.000	170.68	06/29/20	203.64	32.96 W	0.00	27 of 469 - Sale ²⁵
	22.000	288.85	06/29/20	344.85	56.00 W	0.00	28 of 469 - Sale ²⁵
	38.000	498.93	06/29/20	595.26	96.33 W	0.00	29 of 469 - Sale ²⁵
	24.000	315.11	06/29/20	375.72	60.61 W	0.00	30 of 469 - Sale ²⁵
	2.000	26.26	06/29/20	30.90	4.64 W	0.00	31 of 469 - Sale ²⁵
	2.000	26.26	06/29/20	31.31	5.05 W	0.00	32 of 469 - Sale ²⁵
	47.000	617.09	06/29/20	727.39	110.30 W	0.00	33 of 469 - Sale ²⁵
	1.000	13.13	06/29/20	15.16	2.03 W	0.00	34 of 469 - Sale ²⁵
	49.000	643.35	06/29/20	754.36	111.01 W	0.00	35 of 469 - Sale ²⁵
	3.000	39.39	06/29/20	45.97	6.58 W	0.00	36 of 469 - Sale ²⁵
	47.000	617.09	06/29/20	720.42	103.33 W	0.00	37 of 469 - Sale ²⁵
	49.000	643.35	06/29/20	752.49	109.14 W	0.00	38 of 469 - Sale ²⁵
	1.000	13.23	06/29/20	15.36	2.13 W	0.00	39 of 469 - Sale ²⁵
	1.000	13.23	06/29/20	13.57	0.34 W	0.00	40 of 469 - Sale ²⁵
	2.000	26.46	06/29/20	28.60	2.14 W	0.00	41 of 469 - Sale ²⁵
	46.000	608.62	06/29/20	657.77	49.15 W	0.00	42 of 469 - Sale ²⁵
	50.000	661.54	06/29/20	818.66	157.12 W	0.00	43 of 469 - Sale ²⁵
	2.000	26.46	06/29/20	28.38	1.92 W	0.00	44 of 469 - Sale ²⁵
	23.000	304.31	06/29/20	342.48	38.17 W	0.00	45 of 469 - Sale ²⁵
	25.000	330.77	06/29/20	367.77	37.00 W	0.00	46 of 469 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	46.000	608.62	06/29/20	685.36	76.74 W	0.00	47 of 469 - Sale ²⁵
	54.000	714.46	06/29/20	804.55	90.09 W	0.00	48 of 469 - Sale ²⁵
	1.000	13.23	06/29/20	15.51	2.28 W	0.00	49 of 469 - Sale ²⁵
	1.000	13.23	06/29/20	15.46	2.23 W	0.00	50 of 469 - Sale ²⁵
	1.000	13.23	06/29/20	16.81	3.58 W	0.00	51 of 469 - Sale ²⁵
	1.000	13.23	06/29/20	17.37	4.14 W	0.00	52 of 469 - Sale ²⁵
	1.000	13.23	06/29/20	16.60	3.37 W	0.00	53 of 469 - Sale ²⁵
	4.000	52.92	06/29/20	60.04	7.12 W	0.00	54 of 469 - Sale ²⁵
	17.000	224.92	06/29/20	256.98	32.06 W	0.00	55 of 469 - Sale ²⁵
	29.000	383.69	06/29/20	438.39	54.70 W	0.00	56 of 469 - Sale ²⁵
	48.000	635.08	06/29/20	763.50	128.42 W	0.00	57 of 469 - Sale ²⁵
	49.000	648.31	06/29/20	828.05	179.74 W	0.00	58 of 469 - Sale ²⁵
	49.000	648.31	06/29/20	803.18	154.87 W	0.00	59 of 469 - Sale ²⁵
	49.000	648.31	06/29/20	853.54	205.23 W	0.00	60 of 469 - Sale ²⁵
	1.000	13.23	06/29/20	15.07	1.84 W	0.00	61 of 469 - Sale ²⁵
	2.000	26.46	06/29/20	31.13	4.67 W	0.00	62 of 469 - Sale ²⁵
	2.000	26.46	06/29/20	30.72	4.26 W	0.00	63 of 469 - Sale ²⁵
	3.000	39.69	06/29/20	45.70	6.01 W	0.00	64 of 469 - Sale ²⁵
	4.000	52.92	06/29/20	60.71	7.79 W	0.00	65 of 469 - Sale ²⁵
	13.000	172.00	06/29/20	202.48	30.48 W	0.00	66 of 469 - Sale ²⁵
	22.000	291.08	06/29/20	342.88	51.80 W	0.00	67 of 469 - Sale ²⁵
	24.000	317.54	06/29/20	373.57	56.03 W	0.00	68 of 469 - Sale ²⁵
	38.000	502.77	06/29/20	591.85	89.08 W	0.00	69 of 469 - Sale ²⁵
	45.000	595.39	06/29/20	685.73	90.34 W	0.00	70 of 469 - Sale ²⁵
	47.000	621.85	06/29/20	723.18	101.33 W	0.00	71 of 469 - Sale ²⁵
	49.000	648.31	06/29/20	749.97	101.66 W	0.00	72 of 469 - Sale ²⁵
	2.000	26.46	06/29/20	31.04	4.58 W	0.00	73 of 469 - Sale ²⁵
	49.000	648.31	06/29/20	761.82	113.51 W	0.00	74 of 469 - Sale ²⁵
	449.000	5,940.62	06/29/20	5,980.63	40.01 W	0.00	75 of 469 - Sale ²⁵
	50.000	676.48	06/29/20	825.83	149.35 W	0.00	76 of 469 - Sale ²⁵
	1.000	13.53	06/29/20	15.50	1.97 W	0.00	77 of 469 - Sale ²⁵
	1.000	13.53	06/29/20	13.71	0.18 W	0.00	78 of 469 - Sale ²⁵
	2.000	27.06	06/29/20	28.89	1.83 W	0.00	79 of 469 - Sale ²⁵
	46.000	622.36	06/29/20	664.36	42.00 W	0.00	80 of 469 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	1.000	13.52	06/29/20	15.73	2.21 W	0.00	81 of 469 - Sale ²⁵
	1.000	13.52	06/29/20	15.68	2.16 W	0.00	82 of 469 - Sale ²⁵
	1.000	13.52	06/29/20	17.03	3.51 W	0.00	83 of 469 - Sale ²⁵
	1.000	13.52	06/29/20	17.59	4.07 W	0.00	84 of 469 - Sale ²⁵
	1.000	13.52	06/29/20	16.82	3.30 W	0.00	85 of 469 - Sale ²⁵
	1.000	13.52	06/29/20	15.29	1.77 W	0.00	86 of 469 - Sale ²⁵
	2.000	27.04	06/29/20	28.82	1.78 W	0.00	87 of 469 - Sale ²⁵
	2.000	27.04	06/29/20	31.57	4.53 W	0.00	88 of 469 - Sale ²⁵
	2.000	27.04	06/29/20	31.16	4.12 W	0.00	89 of 469 - Sale ²⁵
	2.000	27.04	06/29/20	31.48	4.44 W	0.00	90 of 469 - Sale ²⁵
	3.000	40.56	06/29/20	46.36	5.80 W	0.00	91 of 469 - Sale ²⁵
	4.000	54.08	06/29/20	60.92	6.84 W	0.00	92 of 469 - Sale ²⁵
	4.000	54.08	06/29/20	61.59	7.51 W	0.00	93 of 469 - Sale ²⁵
	13.000	175.75	06/29/20	205.33	29.58 W	0.00	94 of 469 - Sale ²⁵
	17.000	229.83	06/29/20	260.71	30.88 W	0.00	95 of 469 - Sale ²⁵
	22.000	297.43	06/29/20	347.70	50.27 W	0.00	96 of 469 - Sale ²⁵
	23.000	310.95	06/29/20	347.52	36.57 W	0.00	97 of 469 - Sale ²⁵
	24.000	324.47	06/29/20	378.83	54.36 W	0.00	98 of 469 - Sale ²⁵
	25.000	337.99	06/29/20	373.25	35.26 W	0.00	99 of 469 - Sale ²⁵
	29.000	392.07	06/29/20	444.75	52.68 W	0.00	100 of 469 - Sale ²⁵
	38.000	513.74	06/29/20	600.18	86.44 W	0.00	101 of 469 - Sale ²⁵
	45.000	608.38	06/29/20	695.59	87.21 W	0.00	102 of 469 - Sale ²⁵
	46.000	621.90	06/29/20	695.44	73.54 W	0.00	103 of 469 - Sale ²⁵
	47.000	635.42	06/29/20	733.48	98.06 W	0.00	104 of 469 - Sale ²⁵
	48.000	648.94	06/29/20	774.02	125.08 W	0.00	105 of 469 - Sale ²⁵
	49.000	662.46	06/29/20	838.79	176.33 W	0.00	106 of 469 - Sale ²⁵
	49.000	662.46	06/29/20	813.92	151.46 W	0.00	107 of 469 - Sale ²⁵
	49.000	662.46	06/29/20	864.28	201.82 W	0.00	108 of 469 - Sale ²⁵
	49.000	662.46	06/29/20	760.71	98.25 W	0.00	109 of 469 - Sale ²⁵
	49.000	662.46	06/29/20	772.56	110.10 W	0.00	110 of 469 - Sale ²⁵
	54.000	730.06	06/29/20	816.39	86.33 W	0.00	111 of 469 - Sale ²⁵
	198.000	2,676.87	06/29/20	2,680.74	3.87 W	0.00	112 of 469 - Sale ²⁵
	1.000	13.51	06/29/20	13.54	0.03 W	0.00	113 of 469 - Sale ²⁵
	99.000	1,337.46	06/29/20	1,350.27	12.81 W	0.00	114 of 469 - Sale ²⁵

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Account 412265225

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(continued)

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	50.000	675.48	06/29/20	831.85	156.37 W	0.00	115 of 469 - Sale ²⁵
	1.000	13.51	06/29/20	15.62	2.11 W	0.00	116 of 469 - Sale ²⁵
	1.000	13.51	06/29/20	13.83	0.32 W	0.00	117 of 469 - Sale ²⁵
	1.000	13.51	06/29/20	15.86	2.35 W	0.00	118 of 469 - Sale ²⁵
	1.000	13.51	06/29/20	15.81	2.30 W	0.00	119 of 469 - Sale ²⁵
	1.000	13.51	06/29/20	17.16	3.65 W	0.00	120 of 469 - Sale ²⁵
	1.000	13.51	06/29/20	17.72	4.21 W	0.00	121 of 469 - Sale ²⁵
	1.000	13.51	06/29/20	16.95	3.44 W	0.00	122 of 469 - Sale ²⁵
	1.000	13.51	06/29/20	15.42	1.91 W	0.00	123 of 469 - Sale ²⁵
	2.000	27.02	06/29/20	29.13	2.11 W	0.00	124 of 469 - Sale ²⁵
	2.000	27.02	06/29/20	29.08	2.06 W	0.00	125 of 469 - Sale ²⁵
	2.000	27.02	06/29/20	31.83	4.81 W	0.00	126 of 469 - Sale ²⁵
	2.000	27.02	06/29/20	31.42	4.40 W	0.00	127 of 469 - Sale ²⁵
	3.000	40.53	06/29/20	46.75	6.22 W	0.00	128 of 469 - Sale ²⁵
	4.000	54.04	06/29/20	61.44	7.40 W	0.00	129 of 469 - Sale ²⁵
	4.000	54.04	06/29/20	62.11	8.07 W	0.00	130 of 469 - Sale ²⁵
	13.000	175.63	06/29/20	207.03	31.40 W	0.00	131 of 469 - Sale ²⁵
	17.000	229.66	06/29/20	262.93	33.27 W	0.00	132 of 469 - Sale ²⁵
	22.000	297.21	06/29/20	350.57	53.36 W	0.00	133 of 469 - Sale ²⁵
	23.000	310.72	06/29/20	350.52	39.80 W	0.00	134 of 469 - Sale ²⁵
	24.000	324.23	06/29/20	381.96	57.73 W	0.00	135 of 469 - Sale ²⁵
	25.000	337.74	06/29/20	376.51	38.77 W	0.00	136 of 469 - Sale ²⁵
	29.000	391.78	06/29/20	448.53	56.75 W	0.00	137 of 469 - Sale ²⁵
	38.000	513.37	06/29/20	605.14	91.77 W	0.00	138 of 469 - Sale ²⁵
	44.000	594.43	06/29/20	685.86	91.43 W	0.00	139 of 469 - Sale ²⁵
	46.000	621.45	06/29/20	669.90	48.45 W	0.00	140 of 469 - Sale ²⁵
	46.000	621.45	06/29/20	701.43	79.98 W	0.00	141 of 469 - Sale ²⁵
	47.000	634.96	06/29/20	739.60	104.64 W	0.00	142 of 469 - Sale ²⁵
	48.000	648.46	06/29/20	780.28	131.82 W	0.00	143 of 469 - Sale ²⁵
	49.000	661.97	06/29/20	845.17	183.20 W	0.00	144 of 469 - Sale ²⁵
	49.000	661.97	06/29/20	820.31	158.34 W	0.00	145 of 469 - Sale ²⁵
	49.000	661.98	06/29/20	870.66	208.68 W	0.00	146 of 469 - Sale ²⁵
	49.000	661.97	06/29/20	767.09	105.12 W	0.00	147 of 469 - Sale ²⁵
	54.000	729.52	06/29/20	823.42	93.90 W	0.00	148 of 469 - Sale ²⁵

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(continued)

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	150.000	2,026.45	06/29/20	2,060.85	34.40 W	0.00	149 of 469 - Sale ²⁵
	38.000	534.26	06/29/20	652.73	118.47 W	0.00	150 of 469 - Sale ²⁵
	12.000	168.71	06/29/20	206.13	37.42 W	0.00	151 of 469 - Sale ²⁵
	1.000	14.06	06/29/20	15.99	1.93 W	0.00	152 of 469 - Sale ²⁵
	2.000	28.12	06/29/20	32.54	4.42 W	0.00	153 of 469 - Sale ²⁵
	48.000	674.86	06/29/20	782.24	107.38 W	0.00	154 of 469 - Sale ²⁵
	1.000	14.06	06/29/20	16.16	2.10 W	0.00	155 of 469 - Sale ²⁵
	1.000	14.06	06/29/20	14.37	0.31 W	0.00	156 of 469 - Sale ²⁵
	2.000	28.12	06/29/20	30.21	2.09 W	0.00	157 of 469 - Sale ²⁵
	13.000	182.78	06/29/20	196.34	13.56 W	0.00	158 of 469 - Sale ²⁵
	1.000	14.06	06/29/20	16.30	2.24 W	0.00	159 of 469 - Sale ²⁵
	2.000	28.12	06/29/20	30.16	2.04 W	0.00	160 of 469 - Sale ²⁵
	23.000	323.37	06/29/20	362.95	39.58 W	0.00	161 of 469 - Sale ²⁵
	25.000	351.49	06/29/20	390.01	38.52 W	0.00	162 of 469 - Sale ²⁵
	33.000	463.96	06/29/20	498.40	34.44 W	0.00	163 of 469 - Sale ²⁵
	46.000	646.74	06/29/20	726.27	79.53 W	0.00	164 of 469 - Sale ²⁵
	54.000	759.22	06/29/20	852.59	93.37 W	0.00	165 of 469 - Sale ²⁵
	117.000	1,644.96	06/29/20	1,646.11	1.15 W	0.00	166 of 469 - Sale ²⁵
	1.000	14.06	06/29/20	14.08	0.02 W	0.00	167 of 469 - Sale ²⁵
	99.000	1,391.90	06/29/20	1,403.74	11.84 W	0.00	168 of 469 - Sale ²⁵
	81.000	1,138.82	06/29/20	1,139.62	0.80 W	0.00	169 of 469 - Sale ²⁵
	1.000	14.06	06/29/20	15.90	1.84 W	0.00	170 of 469 - Sale ²⁵
	1.000	14.06	06/29/20	16.40	2.34 W	0.00	171 of 469 - Sale ²⁵
	1.000	14.06	06/29/20	16.35	2.29 W	0.00	172 of 469 - Sale ²⁵
	1.000	14.06	06/29/20	17.70	3.64 W	0.00	173 of 469 - Sale ²⁵
	3.000	42.18	06/29/20	47.70	5.52 W	0.00	174 of 469 - Sale ²⁵
	45.000	632.68	06/29/20	800.49	167.81 W	0.00	175 of 469 - Sale ²⁵
	48.000	674.86	06/29/20	806.22	131.36 W	0.00	176 of 469 - Sale ²⁵
	4.000	56.24	06/29/20	71.16	14.92 W	0.00	177 of 469 - Sale ²⁵
	47.000	660.79	06/29/20	812.23	151.44 W	0.00	178 of 469 - Sale ²⁵
	1.000	14.06	06/29/20	18.26	4.20 W	0.00	179 of 469 - Sale ²⁵
	46.000	646.74	06/29/20	842.20	195.46 W	0.00	180 of 469 - Sale ²⁵
	2.000	28.12	06/29/20	34.56	6.44 W	0.00	181 of 469 - Sale ²⁵
	1.000	14.06	06/29/20	17.49	3.43 W	0.00	182 of 469 - Sale ²⁵

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	2.000	28.12	06/29/20	32.01	3.89 W	0.00	183 of 469 - Sale ²⁵
	3.000	42.18	06/29/20	54.93	12.75 W	0.00	184 of 469 - Sale ²⁵
	29.000	407.73	06/29/20	464.20	56.47 W	0.00	185 of 469 - Sale ²⁵
	4.000	56.24	06/29/20	64.27	8.03 W	0.00	186 of 469 - Sale ²⁵
	13.000	182.77	06/29/20	214.05	31.28 W	0.00	187 of 469 - Sale ²⁵
	15.000	210.89	06/29/20	240.11	29.22 W	0.00	188 of 469 - Sale ²⁵
	34.000	478.02	06/29/20	559.81	81.79 W	0.00	189 of 469 - Sale ²⁵
	2.000	28.12	06/29/20	32.91	4.79 W	0.00	190 of 469 - Sale ²⁵
	2.000	28.12	06/29/20	32.50	4.38 W	0.00	191 of 469 - Sale ²⁵
	17.000	239.01	06/29/20	279.74	40.73 W	0.00	192 of 469 - Sale ²⁵
	43.000	604.55	06/29/20	699.88	95.33 W	0.00	193 of 469 - Sale ²⁵
	7.000	98.42	06/29/20	115.19	16.77 W	0.00	194 of 469 - Sale ²⁵
	22.000	309.31	06/29/20	362.46	53.15 W	0.00	195 of 469 - Sale ²⁵
	4.000	56.24	06/29/20	65.86	9.62 W	0.00	196 of 469 - Sale ²⁵
	1.000	14.07	06/29/20	16.28	2.21 W	0.00	197 of 469 - Sale ²⁵
	1.000	14.07	06/29/20	15.93	1.86 W	0.00	198 of 469 - Sale ²⁵
	3.000	42.21	06/29/20	48.76	6.55 W	0.00	199 of 469 - Sale ²⁵
	3.000	42.21	06/29/20	48.29	6.08 W	0.00	200 of 469 - Sale ²⁵
	44.000	619.10	06/29/20	708.53	89.43 W	0.00	201 of 469 - Sale ²⁵
	49.000	689.45	06/29/20	792.35	102.90 W	0.00	202 of 469 - Sale ²⁵
	100.000	1,407.05	06/29/20	1,425.43	18.38 W	0.00	203 of 469 - Sale ²⁵
	12.000	168.85	06/29/20	205.72	36.87 W	0.00	204 of 469 - Sale ²⁵
	38.000	534.68	06/29/20	651.42	116.74 W	0.00	205 of 469 - Sale ²⁵
	1.000	14.07	06/29/20	15.96	1.89 W	0.00	206 of 469 - Sale ²⁵
	1.000	14.07	06/29/20	16.26	2.19 W	0.00	207 of 469 - Sale ²⁵
	1.000	14.07	06/29/20	16.13	2.06 W	0.00	208 of 469 - Sale ²⁵
	1.000	14.07	06/29/20	14.33	0.26 W	0.00	209 of 469 - Sale ²⁵
	2.000	28.14	06/29/20	32.47	4.33 W	0.00	210 of 469 - Sale ²⁵
	2.000	28.14	06/29/20	30.14	2.00 W	0.00	211 of 469 - Sale ²⁵
	13.000	182.92	06/29/20	195.89	12.97 W	0.00	212 of 469 - Sale ²⁵
	31.000	436.18	06/29/20	467.12	30.94 W	0.00	213 of 469 - Sale ²⁵
	48.000	675.38	06/29/20	780.58	105.20 W	0.00	214 of 469 - Sale ²⁵
	50.000	703.52	06/29/20	712.72	9.20 W	0.00	215 of 469 - Sale ²⁵
	2.000	28.14	06/29/20	30.15	2.01 W	0.00	216 of 469 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	2.000	28.14	06/29/20	30.10	1.96 W	0.00	217 of 469 - Sale ²⁵
	23.000	323.62	06/29/20	362.22	38.60 W	0.00	218 of 469 - Sale ²⁵
	25.000	351.76	06/29/20	389.22	37.46 W	0.00	219 of 469 - Sale ²⁵
	47.000	661.32	06/29/20	740.58	79.26 W	0.00	220 of 469 - Sale ²⁵
	1.000	13.92	06/29/20	15.83	1.91 W	0.00	221 of 469 - Sale ²⁵
	1.000	13.92	06/29/20	16.33	2.41 W	0.00	222 of 469 - Sale ²⁵
	3.000	41.76	06/29/20	47.48	5.72 W	0.00	223 of 469 - Sale ²⁵
	7.000	97.44	06/29/20	110.02	12.58 W	0.00	224 of 469 - Sale ²⁵
	46.000	640.30	06/29/20	722.98	82.68 W	0.00	225 of 469 - Sale ²⁵
	46.000	640.29	06/29/20	769.33	129.04 W	0.00	226 of 469 - Sale ²⁵
	1.000	13.92	06/29/20	16.28	2.36 W	0.00	227 of 469 - Sale ²⁵
	1.000	13.92	06/29/20	17.63	3.71 W	0.00	228 of 469 - Sale ²⁵
	4.000	55.68	06/29/20	70.87	15.19 W	0.00	229 of 469 - Sale ²⁵
	45.000	626.38	06/29/20	797.27	170.89 W	0.00	230 of 469 - Sale ²⁵
	45.000	626.37	06/29/20	774.46	148.09 W	0.00	231 of 469 - Sale ²⁵
	2.000	27.84	06/29/20	33.45	5.61 W	0.00	232 of 469 - Sale ²⁵
	2.000	27.84	06/29/20	28.00	0.16 W	0.00	233 of 469 - Sale ²⁵
	100.000	1,391.95	06/29/20	1,399.77	7.82 W	0.00	234 of 469 - Sale ²⁵
	1.000	13.91	06/29/20	14.01	0.10 W	0.00	235 of 469 - Sale ²⁵
	81.000	1,126.67	06/29/20	1,133.83	7.16 W	0.00	236 of 469 - Sale ²⁵
	99.000	1,377.05	06/29/20	1,396.65	19.60 W	0.00	237 of 469 - Sale ²⁵
	15.000	208.64	06/29/20	209.97	1.33 W	0.00	238 of 469 - Sale ²⁵
	12.000	166.92	06/29/20	204.15	37.23 W	0.00	239 of 469 - Sale ²⁵
	38.000	528.57	06/29/20	646.46	117.89 W	0.00	240 of 469 - Sale ²⁵
	1.000	13.91	06/29/20	18.14	4.23 W	0.00	241 of 469 - Sale ²⁵
	1.000	13.91	06/29/20	17.37	3.46 W	0.00	242 of 469 - Sale ²⁵
	1.000	13.91	06/29/20	16.15	2.24 W	0.00	243 of 469 - Sale ²⁵
	1.000	13.91	06/29/20	15.80	1.89 W	0.00	244 of 469 - Sale ²⁵
	2.000	27.82	06/29/20	34.32	6.50 W	0.00	245 of 469 - Sale ²⁵
	2.000	27.82	06/29/20	34.32	6.50 W	0.00	246 of 469 - Sale ²⁵
	2.000	27.82	06/29/20	31.77	3.95 W	0.00	247 of 469 - Sale ²⁵
	2.000	27.82	06/29/20	32.67	4.85 W	0.00	248 of 469 - Sale ²⁵
	2.000	27.82	06/29/20	32.26	4.44 W	0.00	249 of 469 - Sale ²⁵
	3.000	41.73	06/29/20	54.57	12.84 W	0.00	250 of 469 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	3.000	41.73	06/29/20	48.37	6.64 W	0.00	251 of 469 - Sale ²⁵
	3.000	41.73	06/29/20	47.90	6.17 W	0.00	252 of 469 - Sale ²⁵
	4.000	55.64	06/29/20	63.79	8.15 W	0.00	253 of 469 - Sale ²⁵
	4.000	55.64	06/29/20	65.38	9.74 W	0.00	254 of 469 - Sale ²⁵
	7.000	97.37	06/29/20	114.35	16.98 W	0.00	255 of 469 - Sale ²⁵
	13.000	180.83	06/29/20	212.50	31.67 W	0.00	256 of 469 - Sale ²⁵
	15.000	208.64	06/29/20	238.32	29.68 W	0.00	257 of 469 - Sale ²⁵
	17.000	236.46	06/29/20	277.71	41.25 W	0.00	258 of 469 - Sale ²⁵
	22.000	306.01	06/29/20	359.83	53.82 W	0.00	259 of 469 - Sale ²⁵
	29.000	403.38	06/29/20	460.73	57.35 W	0.00	260 of 469 - Sale ²⁵
	34.000	472.93	06/29/20	555.75	82.82 W	0.00	261 of 469 - Sale ²⁵
	43.000	598.11	06/29/20	694.75	96.64 W	0.00	262 of 469 - Sale ²⁵
	44.000	612.02	06/29/20	702.79	90.77 W	0.00	263 of 469 - Sale ²⁵
	46.000	639.84	06/29/20	836.70	196.86 W	0.00	264 of 469 - Sale ²⁵
	49.000	681.57	06/29/20	785.96	104.39 W	0.00	265 of 469 - Sale ²⁵
	100.000	1,390.97	06/29/20	1,412.38	21.41 W	0.00	266 of 469 - Sale ²⁵
	4.000	57.80	06/29/20	64.11	6.31 W	0.00	267 of 469 - Sale ²⁵
	44.000	635.78	06/29/20	705.21	69.43 W	0.00	268 of 469 - Sale ²⁵
	1.000	14.45	06/29/20	16.14	1.69 W	0.00	269 of 469 - Sale ²⁵
	1.000	14.45	06/29/20	16.64	2.19 W	0.00	270 of 469 - Sale ²⁵
	2.000	28.90	06/29/20	32.05	3.15 W	0.00	271 of 469 - Sale ²⁵
	3.000	43.35	06/29/20	48.41	5.06 W	0.00	272 of 469 - Sale ²⁵
	45.000	650.22	06/29/20	766.58	116.36 W	0.00	273 of 469 - Sale ²⁵
	1.000	14.45	06/29/20	16.59	2.14 W	0.00	274 of 469 - Sale ²⁵
	1.000	14.45	06/29/20	17.94	3.49 W	0.00	275 of 469 - Sale ²⁵
	2.000	28.90	06/29/20	34.07	5.17 W	0.00	276 of 469 - Sale ²⁵
	43.000	621.33	06/29/20	775.18	153.85 W	0.00	277 of 469 - Sale ²⁵
	1.000	14.45	06/29/20	17.04	2.59 W	0.00	278 of 469 - Sale ²⁵
	2.000	28.90	06/29/20	36.06	7.16 W	0.00	279 of 469 - Sale ²⁵
	4.000	57.80	06/29/20	72.11	14.31 W	0.00	280 of 469 - Sale ²⁵
	45.000	650.22	06/29/20	788.44	138.22 W	0.00	281 of 469 - Sale ²⁵
	1.000	14.45	06/29/20	16.12	1.67 W	0.00	282 of 469 - Sale ²⁵
	1.000	14.45	06/29/20	16.40	1.95 W	0.00	283 of 469 - Sale ²⁵
	1.000	14.45	06/29/20	16.42	1.97 W	0.00	284 of 469 - Sale ²⁵

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Account 412265225

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(continued)

02/14/2021

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	1.000	14.45	06/29/20	16.29	1.84 W	0.00	285 of 469 - Sale ²⁵
	1.000	14.45	06/29/20	14.49	0.04 W	0.00	286 of 469 - Sale ²⁵
	1.000	14.45	06/29/20	16.39	1.94 W	0.00	287 of 469 - Sale ²⁵
	2.000	28.90	06/29/20	30.46	1.56 W	0.00	288 of 469 - Sale ²⁵
	13.000	187.84	06/29/20	197.96	10.12 W	0.00	289 of 469 - Sale ²⁵
	31.000	447.94	06/29/20	472.07	24.13 W	0.00	290 of 469 - Sale ²⁵
	48.000	693.58	06/29/20	788.24	94.66 W	0.00	291 of 469 - Sale ²⁵
	2.000	28.90	06/29/20	30.47	1.57 W	0.00	292 of 469 - Sale ²⁵
	2.000	28.90	06/29/20	30.42	1.52 W	0.00	293 of 469 - Sale ²⁵
	21.000	303.44	06/29/20	334.07	30.63 W	0.00	294 of 469 - Sale ²⁵
	25.000	361.24	06/29/20	393.21	31.97 W	0.00	295 of 469 - Sale ²⁵
	2.000	28.90	06/29/20	31.82	2.92 W	0.00	296 of 469 - Sale ²⁵
	3.000	43.35	06/29/20	48.08	4.73 W	0.00	297 of 469 - Sale ²⁵
	47.000	679.12	06/29/20	748.07	68.95 W	0.00	298 of 469 - Sale ²⁵
	15.000	216.74	06/29/20	217.03	0.29 W	0.00	299 of 469 - Sale ²⁵
	32.000	462.39	06/29/20	462.99	0.60 W	0.00	300 of 469 - Sale ²⁵
	53.000	765.83	06/29/20	766.28	0.45 W	0.00	301 of 469 - Sale ²⁵
	1.000	14.45	06/29/20	14.51	0.06 W	0.00	302 of 469 - Sale ²⁵
	2.000	28.90	06/29/20	29.22	0.32 W	0.00	303 of 469 - Sale ²⁵
	49.000	708.03	06/29/20	710.42	2.39 W	0.00	304 of 469 - Sale ²⁵
	12.000	173.39	06/29/20	210.15	36.76 W	0.00	305 of 469 - Sale ²⁵
	38.000	549.08	06/29/20	665.47	116.39 W	0.00	306 of 469 - Sale ²⁵
	1.000	14.41	06/29/20	18.64	4.23 W	0.00	307 of 469 - Sale ²⁵
	1.000	14.41	06/29/20	17.87	3.46 W	0.00	308 of 469 - Sale ²⁵
	2.000	28.82	06/29/20	35.32	6.50 W	0.00	309 of 469 - Sale ²⁵
	2.000	28.82	06/29/20	35.32	6.50 W	0.00	310 of 469 - Sale ²⁵
	2.000	28.82	06/29/20	32.77	3.95 W	0.00	311 of 469 - Sale ²⁵
	3.000	43.23	06/29/20	56.07	12.84 W	0.00	312 of 469 - Sale ²⁵
	4.000	57.64	06/29/20	65.79	8.15 W	0.00	313 of 469 - Sale ²⁵
	4.000	57.64	06/29/20	67.38	9.74 W	0.00	314 of 469 - Sale ²⁵
	7.000	100.87	06/29/20	117.85	16.98 W	0.00	315 of 469 - Sale ²⁵
	13.000	187.32	06/29/20	219.00	31.68 W	0.00	316 of 469 - Sale ²⁵
	15.000	216.14	06/29/20	245.83	29.69 W	0.00	317 of 469 - Sale ²⁵
	16.000	230.55	06/29/20	269.38	38.83 W	0.00	318 of 469 - Sale ²⁵

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(continued)

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
22.000		317.01	06/29/20	370.84	53.83 W	0.00	319 of 469 - Sale ²⁵
29.000		417.88	06/29/20	475.24	57.36 W	0.00	320 of 469 - Sale ²⁵
34.000		489.92	06/29/20	572.76	82.84 W	0.00	321 of 469 - Sale ²⁵
46.000		662.84	06/29/20	859.72	196.88 W	0.00	322 of 469 - Sale ²⁵
97.000		1,397.73	06/29/20	1,416.97	19.24 W	0.00	323 of 469 - Sale ²⁵
1.000		14.41	06/29/20	16.92	2.51 W	0.00	324 of 469 - Sale ²⁵
1.000		14.41	06/29/20	16.73	2.32 W	0.00	325 of 469 - Sale ²⁵
1.000		14.41	06/29/20	16.38	1.97 W	0.00	326 of 469 - Sale ²⁵
1.000		14.41	06/29/20	16.16	1.75 W	0.00	327 of 469 - Sale ²⁵
1.000		14.41	06/29/20	16.44	2.03 W	0.00	328 of 469 - Sale ²⁵
1.000		14.41	06/29/20	16.43	2.02 W	0.00	329 of 469 - Sale ²⁵
2.000		28.82	06/29/20	33.83	5.01 W	0.00	330 of 469 - Sale ²⁵
2.000		28.82	06/29/20	33.42	4.60 W	0.00	331 of 469 - Sale ²⁵
3.000		43.23	06/29/20	50.11	6.88 W	0.00	332 of 469 - Sale ²⁵
3.000		43.23	06/29/20	49.64	6.41 W	0.00	333 of 469 - Sale ²⁵
43.000		619.61	06/29/20	719.71	100.10 W	0.00	334 of 469 - Sale ²⁵
44.000		634.02	06/29/20	728.33	94.31 W	0.00	335 of 469 - Sale ²⁵
48.000		691.65	06/29/20	790.18	98.53 W	0.00	336 of 469 - Sale ²⁵
49.000		706.07	06/29/20	814.40	108.33 W	0.00	337 of 469 - Sale ²⁵
100.000		1,440.95	06/29/20	1,470.41	29.46 W	0.00	338 of 469 - Sale ²⁵
1.000		14.41	06/29/20	16.46	2.05 W	0.00	339 of 469 - Sale ²⁵
1.000		14.41	06/29/20	16.33	1.92 W	0.00	340 of 469 - Sale ²⁵
1.000		14.41	06/29/20	14.53	0.12 W	0.00	341 of 469 - Sale ²⁵
2.000		28.82	06/29/20	30.54	1.72 W	0.00	342 of 469 - Sale ²⁵
13.000		187.33	06/29/20	198.49	11.16 W	0.00	343 of 469 - Sale ²⁵
11.000		158.51	06/29/20	167.95	9.44 W	0.00	344 of 469 - Sale ²⁵
1.000		14.31	06/29/20	16.07	1.76 W	0.00	345 of 469 - Sale ²⁵
2.000		28.62	06/29/20	30.55	1.93 W	0.00	346 of 469 - Sale ²⁵
2.000		28.62	06/29/20	30.50	1.88 W	0.00	347 of 469 - Sale ²⁵
2.000		28.62	06/29/20	31.90	3.28 W	0.00	348 of 469 - Sale ²⁵
3.000		42.93	06/29/20	48.20	5.27 W	0.00	349 of 469 - Sale ²⁵
4.000		57.24	06/29/20	64.27	7.03 W	0.00	350 of 469 - Sale ²⁵
21.000		300.50	06/29/20	334.92	34.42 W	0.00	351 of 469 - Sale ²⁵
25.000		357.74	06/29/20	394.22	36.48 W	0.00	352 of 469 - Sale ²⁵

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(continued)

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	20.000	286.19	06/29/20	305.37	19.18 W	0.00	353 of 469 - Sale ²⁵
	44.000	629.61	06/29/20	706.98	77.37 W	0.00	354 of 469 - Sale ²⁵
	47.000	672.55	06/29/20	749.97	77.42 W	0.00	355 of 469 - Sale ²⁵
	12.000	178.55	06/29/20	213.40	34.85 W	0.00	356 of 469 - Sale ²⁵
	38.000	565.42	06/29/20	675.75	110.33 W	0.00	357 of 469 - Sale ²⁵
	1.000	14.88	06/29/20	16.29	1.41 W	0.00	358 of 469 - Sale ²⁵
	1.000	14.88	06/29/20	16.41	1.53 W	0.00	359 of 469 - Sale ²⁵
	1.000	14.88	06/29/20	16.91	2.03 W	0.00	360 of 469 - Sale ²⁵
	1.000	14.88	06/29/20	17.31	2.43 W	0.00	361 of 469 - Sale ²⁵
	1.000	14.88	06/29/20	16.86	1.98 W	0.00	362 of 469 - Sale ²⁵
	1.000	14.88	06/29/20	18.21	3.33 W	0.00	363 of 469 - Sale ²⁵
	2.000	29.76	06/29/20	34.61	4.85 W	0.00	364 of 469 - Sale ²⁵
	2.000	29.76	06/29/20	36.60	6.84 W	0.00	365 of 469 - Sale ²⁵
	3.000	44.64	06/29/20	49.22	4.58 W	0.00	366 of 469 - Sale ²⁵
	4.000	59.52	06/29/20	73.19	13.67 W	0.00	367 of 469 - Sale ²⁵
	43.000	639.82	06/29/20	786.81	146.99 W	0.00	368 of 469 - Sale ²⁵
	45.000	669.58	06/29/20	778.76	109.18 W	0.00	369 of 469 - Sale ²⁵
	37.000	550.54	06/29/20	658.29	107.75 W	0.00	370 of 469 - Sale ²⁵
	1.000	14.88	06/29/20	17.79	2.91 W	0.00	371 of 469 - Sale ²⁵
	5.000	74.40	06/29/20	88.96	14.56 W	0.00	372 of 469 - Sale ²⁵
	1.000	14.88	06/29/20	18.95	4.07 W	0.00	373 of 469 - Sale ²⁵
	2.000	29.76	06/29/20	35.94	6.18 W	0.00	374 of 469 - Sale ²⁵
	2.000	29.76	06/29/20	35.94	6.18 W	0.00	375 of 469 - Sale ²⁵
	2.000	29.76	06/29/20	35.58	5.82 W	0.00	376 of 469 - Sale ²⁵
	23.000	342.24	06/29/20	437.00	94.76 W	0.00	377 of 469 - Sale ²⁵
	1.000	14.88	06/29/20	18.18	3.30 W	0.00	378 of 469 - Sale ²⁵
	2.000	29.76	06/29/20	33.39	3.63 W	0.00	379 of 469 - Sale ²⁵
	3.000	44.64	06/29/20	57.00	12.36 W	0.00	380 of 469 - Sale ²⁵
	4.000	59.52	06/29/20	67.03	7.51 W	0.00	381 of 469 - Sale ²⁵
	13.000	193.43	06/29/20	223.04	29.61 W	0.00	382 of 469 - Sale ²⁵
	15.000	223.19	06/29/20	250.49	27.30 W	0.00	383 of 469 - Sale ²⁵
	29.000	431.51	06/29/20	484.24	52.73 W	0.00	384 of 469 - Sale ²⁵
	23.000	342.23	06/29/20	437.00	94.77 W	0.00	385 of 469 - Sale ²⁵
	30.000	446.38	06/29/20	514.69	68.31 W	0.00	386 of 469 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	2.000	29.72	06/29/20	29.76	0.04 W	0.00	387 of 469 - Sale ²⁵
	1.000	14.86	06/29/20	17.26	2.40 W	0.00	388 of 469 - Sale ²⁵
	1.000	14.86	06/29/20	17.07	2.21 W	0.00	389 of 469 - Sale ²⁵
	1.000	14.86	06/29/20	16.72	1.86 W	0.00	390 of 469 - Sale ²⁵
	2.000	29.72	06/29/20	34.51	4.79 W	0.00	391 of 469 - Sale ²⁵
	2.000	29.72	06/29/20	34.10	4.38 W	0.00	392 of 469 - Sale ²⁵
	3.000	44.58	06/29/20	51.13	6.55 W	0.00	393 of 469 - Sale ²⁵
	4.000	59.44	06/29/20	68.75	9.31 W	0.00	394 of 469 - Sale ²⁵
	4.000	59.44	06/29/20	68.74	9.30 W	0.00	395 of 469 - Sale ²⁵
	7.000	104.02	06/29/20	120.23	16.21 W	0.00	396 of 469 - Sale ²⁵
	16.000	237.75	06/29/20	274.83	37.08 W	0.00	397 of 469 - Sale ²⁵
	22.000	326.91	06/29/20	378.33	51.42 W	0.00	398 of 469 - Sale ²⁵
	43.000	638.96	06/29/20	734.35	95.39 W	0.00	399 of 469 - Sale ²⁵
	47.000	698.40	06/29/20	797.16	98.76 W	0.00	400 of 469 - Sale ²⁵
	97.000	1,441.37	06/29/20	1,449.99	8.62 W	0.00	401 of 469 - Sale ²⁵
	2.000	29.72	06/29/20	34.04	4.32 W	0.00	402 of 469 - Sale ²⁵
	3.000	44.58	06/29/20	50.84	6.26 W	0.00	403 of 469 - Sale ²⁵
	20.000	297.19	06/29/20	339.07	41.88 W	0.00	404 of 469 - Sale ²⁵
	1.000	14.86	06/29/20	16.57	1.71 W	0.00	405 of 469 - Sale ²⁵
	1.000	14.86	06/29/20	16.85	1.99 W	0.00	406 of 469 - Sale ²⁵
	1.000	14.86	06/29/20	16.84	1.98 W	0.00	407 of 469 - Sale ²⁵
	1.000	14.86	06/29/20	16.87	2.01 W	0.00	408 of 469 - Sale ²⁵
	1.000	14.86	06/29/20	16.74	1.88 W	0.00	409 of 469 - Sale ²⁵
	1.000	14.86	06/29/20	14.94	0.08 W	0.00	410 of 469 - Sale ²⁵
	2.000	29.72	06/29/20	31.36	1.64 W	0.00	411 of 469 - Sale ²⁵
	7.000	104.02	06/29/20	109.75	5.73 W	0.00	412 of 469 - Sale ²⁵
	13.000	193.17	06/29/20	203.82	10.65 W	0.00	413 of 469 - Sale ²⁵
	24.000	356.63	06/29/20	407.12	50.49 W	0.00	414 of 469 - Sale ²⁵
	48.000	713.26	06/29/20	809.89	96.63 W	0.00	415 of 469 - Sale ²⁵
	100.000	1,485.94	06/29/20	1,511.46	25.52 W	0.00	416 of 469 - Sale ²⁵
	1.000	14.86	06/29/20	15.94	1.08 W	0.00	417 of 469 - Sale ²⁵
	4.000	59.44	06/29/20	63.31	3.87 W	0.00	418 of 469 - Sale ²⁵
	20.000	297.19	06/29/20	318.58	21.39 W	0.00	419 of 469 - Sale ²⁵
	10.000	149.00	06/29/20	189.18	40.18 W	0.00	420 of 469 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	1.000	14.90	06/29/20	18.92	4.02 W	0.00	421 of 469 - Sale ²⁵
	1.000	14.90	06/29/20	15.93	1.03 W	0.00	422 of 469 - Sale ²⁵
	2.000	29.80	06/29/20	31.82	2.02 W	0.00	423 of 469 - Sale ²⁵
	2.000	29.80	06/29/20	32.86	3.06 W	0.00	424 of 469 - Sale ²⁵
	12.000	178.79	06/29/20	214.61	35.82 W	0.00	425 of 469 - Sale ²⁵
	38.000	566.18	06/29/20	679.57	113.39 W	0.00	426 of 469 - Sale ²⁵
	1.000	14.90	06/29/20	16.74	1.84 W	0.00	427 of 469 - Sale ²⁵
	1.000	14.90	06/29/20	16.39	1.49 W	0.00	428 of 469 - Sale ²⁵
	1.000	14.90	06/29/20	16.51	1.61 W	0.00	429 of 469 - Sale ²⁵
	2.000	29.80	06/29/20	33.24	3.44 W	0.00	430 of 469 - Sale ²⁵
	3.000	44.70	06/29/20	50.21	5.51 W	0.00	431 of 469 - Sale ²⁵
	3.000	44.70	06/29/20	49.52	4.82 W	0.00	432 of 469 - Sale ²⁵
	4.000	59.60	06/29/20	66.95	7.35 W	0.00	433 of 469 - Sale ²⁵
	21.000	312.89	06/29/20	349.00	36.11 W	0.00	434 of 469 - Sale ²⁵
	23.000	342.69	06/29/20	378.10	35.41 W	0.00	435 of 469 - Sale ²⁵
	44.000	655.58	06/29/20	736.49	80.91 W	0.00	436 of 469 - Sale ²⁵
	47.000	700.28	06/29/20	781.48	81.20 W	0.00	437 of 469 - Sale ²⁵
	1.000	14.90	06/29/20	17.01	2.11 W	0.00	438 of 469 - Sale ²⁵
	30.000	446.98	06/29/20	522.18	75.20 W	0.00	439 of 469 - Sale ²⁵
	14.000	208.59	06/29/20	243.69	35.10 W	0.00	440 of 469 - Sale ²⁵
	1.000	14.90	06/29/20	17.93	3.03 W	0.00	441 of 469 - Sale ²⁵
	1.000	14.90	06/29/20	17.93	3.03 W	0.00	442 of 469 - Sale ²⁵
	1.000	14.90	06/29/20	17.48	2.58 W	0.00	443 of 469 - Sale ²⁵
	1.000	14.90	06/29/20	18.83	3.93 W	0.00	444 of 469 - Sale ²⁵
	2.000	29.80	06/29/20	35.85	6.05 W	0.00	445 of 469 - Sale ²⁵
	15.000	223.49	06/29/20	283.78	60.29 W	0.00	446 of 469 - Sale ²⁵
	3.000	44.70	06/29/20	56.75	12.05 W	0.00	447 of 469 - Sale ²⁵
	1.000	14.92	06/29/20	18.41	3.49 W	0.00	448 of 469 - Sale ²⁵
	1.000	14.92	06/29/20	19.57	4.65 W	0.00	449 of 469 - Sale ²⁵
	2.000	29.84	06/29/20	37.84	8.00 W	0.00	450 of 469 - Sale ²⁵
	2.000	29.84	06/29/20	36.82	6.98 W	0.00	451 of 469 - Sale ²⁵
	2.000	29.84	06/29/20	37.18	7.34 W	0.00	452 of 469 - Sale ²⁵
	2.000	29.84	06/29/20	37.18	7.34 W	0.00	453 of 469 - Sale ²⁵
	4.000	59.68	06/29/20	75.67	15.99 W	0.00	454 of 469 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	5.000	74.60	06/29/20	92.06	17.46 W	0.00	455 of 469 - Sale ²⁵
	19.000	283.47	06/29/20	372.78	89.31 W	0.00	456 of 469 - Sale ²⁵
	37.000	552.02	06/29/20	681.25	129.23 W	0.00	457 of 469 - Sale ²⁵
	14.000	208.87	06/29/20	264.86	55.99 W	0.00	458 of 469 - Sale ²⁵
	1.000	14.91	06/29/20	18.80	3.89 W	0.00	459 of 469 - Sale ²⁵
	2.000	29.82	06/29/20	34.63	4.81 W	0.00	460 of 469 - Sale ²⁵
	3.000	44.73	06/29/20	58.86	14.13 W	0.00	461 of 469 - Sale ²⁵
	4.000	59.64	06/29/20	69.51	9.87 W	0.00	462 of 469 - Sale ²⁵
	13.000	193.82	06/29/20	231.11	37.29 W	0.00	463 of 469 - Sale ²⁵
	15.000	223.64	06/29/20	259.80	36.16 W	0.00	464 of 469 - Sale ²⁵
	4.000	59.64	06/29/20	78.48	18.84 W	0.00	465 of 469 - Sale ²⁵
	23.000	342.92	06/29/20	451.27	108.35 W	0.00	466 of 469 - Sale ²⁵
	29.000	432.37	06/29/20	502.23	69.86 W	0.00	467 of 469 - Sale ²⁵
	30.000	447.29	06/29/20	533.31	86.02 W	0.00	468 of 469 - Sale ²⁵
	2.000	29.82	06/29/20	31.04	1.22 W	0.00	469 of 469 - Sale ²⁵
06/29/20	9,645.000	133,986.01	Various	151,318.07	17,332.06 W	0.00	Total of 469 transactions
	16 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	1.000	16.75	06/29/20	16.66	...	0.09	1 of 16 - Sale ²⁵
	1.000	16.75	06/29/20	16.03	...	0.72	2 of 16 - Sale ²⁵
	1.000	16.75	06/29/20	15.03	...	1.72	3 of 16 - Sale ²⁵
	2.000	33.50	06/29/20	31.54	...	1.96	4 of 16 - Sale ²⁵
	4.000	67.00	06/29/20	63.67	...	3.33	5 of 16 - Sale ²⁵
	4.000	67.00	06/29/20	63.08	...	3.92	6 of 16 - Sale ²⁵
	3.000	50.25	06/29/20	45.12	...	5.13	7 of 16 - Sale ²⁵
	3.000	50.25	06/29/20	45.12	...	5.13	8 of 16 - Sale ²⁵
	7.000	117.25	06/29/20	110.38	...	6.87	9 of 16 - Sale ²⁵
	9.000	150.74	06/29/20	141.92	...	8.82	10 of 16 - Sale ²⁵
	20.000	334.99	06/29/20	320.39	...	14.60	11 of 16 - Sale ²⁵
	10.000	167.50	06/29/20	150.39	...	17.11	12 of 16 - Sale ²⁵
	15.000	251.24	06/29/20	225.58	...	25.66	13 of 16 - Sale ²⁵
	42.000	703.48	06/29/20	638.62	...	64.86	14 of 16 - Sale ²⁵
	58.000	971.47	06/29/20	881.90	...	89.57	15 of 16 - Sale ²⁵
	66.000	1,105.47	06/29/20	992.56	...	112.91	16 of 16 - Sale ²⁵
06/30/20	246.000	4,120.39	Various	3,757.99	...	362.40	Total of 16 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
343 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
4.000		67.00	06/29/20	69.11	2.11 W	0.00	1 of 343 - Sale ²⁵
4.000		67.00	06/29/20	69.10	2.10 W	0.00	2 of 343 - Sale ²⁵
7.000		117.25	06/29/20	120.86	3.61 W	0.00	3 of 343 - Sale ²⁵
7.000		117.24	06/29/20	120.87	3.63 W	0.00	4 of 343 - Sale ²⁵
22.000		368.49	06/29/20	380.32	11.83 W	0.00	5 of 343 - Sale ²⁵
1.000		16.75	06/29/20	17.35	0.60 W	0.00	6 of 343 - Sale ²⁵
1.000		16.75	06/29/20	17.16	0.41 W	0.00	7 of 343 - Sale ²⁵
1.000		16.75	06/29/20	16.81	0.06 W	0.00	8 of 343 - Sale ²⁵
2.000		33.50	06/29/20	34.69	1.19 W	0.00	9 of 343 - Sale ²⁵
2.000		33.50	06/29/20	34.28	0.78 W	0.00	10 of 343 - Sale ²⁵
3.000		50.25	06/29/20	51.40	1.15 W	0.00	11 of 343 - Sale ²⁵
9.000		150.75	06/29/20	155.41	4.66 W	0.00	12 of 343 - Sale ²⁵
43.000		720.23	06/29/20	738.24	18.01 W	0.00	13 of 343 - Sale ²⁵
38.000		636.47	06/29/20	647.95	11.48 W	0.00	14 of 343 - Sale ²⁵
2.000		33.50	06/29/20	34.22	0.72 W	0.00	15 of 343 - Sale ²⁵
3.000		50.25	06/29/20	51.11	0.86 W	0.00	16 of 343 - Sale ²⁵
20.000		334.99	06/29/20	340.88	5.89 W	0.00	17 of 343 - Sale ²⁵
8.000		133.99	06/29/20	136.43	2.44 W	0.00	18 of 343 - Sale ²⁵
9.000		150.75	06/29/20	153.46	2.71 W	0.00	19 of 343 - Sale ²⁵
1.000		16.75	06/29/20	16.94	0.19 W	0.00	20 of 343 - Sale ²⁵
1.000		16.75	06/29/20	16.93	0.18 W	0.00	21 of 343 - Sale ²⁵
16.000		268.00	06/29/20	272.86	4.86 W	0.00	22 of 343 - Sale ²⁵
7.000		117.25	06/29/20	118.74	1.49 W	0.00	23 of 343 - Sale ²⁵
1.000		16.75	06/29/20	16.96	0.21 W	0.00	24 of 343 - Sale ²⁵
1.000		16.75	06/29/20	16.83	0.08 W	0.00	25 of 343 - Sale ²⁵
41.000		686.73	06/29/20	695.49	8.76 W	0.00	26 of 343 - Sale ²⁵
12.000		200.99	06/30/20	232.38	31.39 W	0.00	27 of 343 - Sale ²⁵
38.000		636.48	06/30/20	735.83	99.35 W	0.00	28 of 343 - Sale ²⁵
1.000		16.75	06/30/20	17.41	0.66 W	0.00	29 of 343 - Sale ²⁵
1.000		16.75	06/30/20	18.22	1.47 W	0.00	30 of 343 - Sale ²⁵
1.000		16.75	06/30/20	17.87	1.12 W	0.00	31 of 343 - Sale ²⁵
1.000		16.75	06/30/20	17.99	1.24 W	0.00	32 of 343 - Sale ²⁵
1.000		16.75	06/30/20	18.49	1.74 W	0.00	33 of 343 - Sale ²⁵

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(continued)

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	2.000	33.50	06/30/20	34.78	1.28 W	0.00	34 of 343 - Sale ²⁵
	2.000	33.50	06/30/20	35.82	2.32 W	0.00	35 of 343 - Sale ²⁵
	2.000	33.50	06/30/20	36.20	2.70 W	0.00	36 of 343 - Sale ²⁵
	3.000	50.25	06/30/20	54.65	4.40 W	0.00	37 of 343 - Sale ²⁵
	3.000	50.25	06/30/20	53.96	3.71 W	0.00	38 of 343 - Sale ²⁵
	4.000	67.00	06/30/20	72.87	5.87 W	0.00	39 of 343 - Sale ²⁵
	13.000	217.74	06/30/20	245.53	27.79 W	0.00	40 of 343 - Sale ²⁵
	21.000	351.74	06/30/20	380.09	28.35 W	0.00	41 of 343 - Sale ²⁵
	23.000	385.24	06/30/20	412.15	26.91 W	0.00	42 of 343 - Sale ²⁵
	30.000	502.48	06/30/20	566.60	64.12 W	0.00	43 of 343 - Sale ²⁵
	44.000	736.98	06/30/20	801.63	64.65 W	0.00	44 of 343 - Sale ²⁵
	47.000	787.22	06/30/20	851.06	63.84 W	0.00	45 of 343 - Sale ²⁵
	1.000	17.59	06/30/20	18.89	1.30 W	0.00	46 of 343 - Sale ²⁵
	1.000	17.59	06/30/20	20.72	3.13 W	0.00	47 of 343 - Sale ²⁵
	1.000	17.59	06/30/20	20.72	3.13 W	0.00	48 of 343 - Sale ²⁵
	1.000	17.59	06/30/20	20.27	2.68 W	0.00	49 of 343 - Sale ²⁵
	1.000	17.59	06/30/20	21.62	4.03 W	0.00	50 of 343 - Sale ²⁵
	1.000	17.59	06/30/20	21.71	4.12 W	0.00	51 of 343 - Sale ²⁵
	1.000	17.59	06/30/20	21.18	3.59 W	0.00	52 of 343 - Sale ²⁵
	1.000	17.59	06/30/20	21.18	3.59 W	0.00	53 of 343 - Sale ²⁵
	2.000	35.18	06/30/20	41.43	6.25 W	0.00	54 of 343 - Sale ²⁵
	2.000	35.18	06/30/20	43.38	8.20 W	0.00	55 of 343 - Sale ²⁵
	3.000	52.77	06/30/20	65.12	12.35 W	0.00	56 of 343 - Sale ²⁵
	4.000	70.36	06/30/20	86.75	16.39 W	0.00	57 of 343 - Sale ²⁵
	5.000	87.95	06/30/20	105.91	17.96 W	0.00	58 of 343 - Sale ²⁵
	10.000	175.90	06/30/20	217.08	41.18 W	0.00	59 of 343 - Sale ²⁵
	14.000	246.25	06/30/20	303.65	57.40 W	0.00	60 of 343 - Sale ²⁵
	15.000	263.84	06/30/20	325.64	61.80 W	0.00	61 of 343 - Sale ²⁵
	37.000	650.81	06/30/20	783.76	132.95 W	0.00	62 of 343 - Sale ²⁵
	1.000	17.59	06/30/20	21.10	3.51 W	0.00	63 of 343 - Sale ²⁵
	1.000	17.59	06/30/20	22.26	4.67 W	0.00	64 of 343 - Sale ²⁵
	1.000	17.59	06/30/20	21.50	3.91 W	0.00	65 of 343 - Sale ²⁵
	2.000	35.18	06/30/20	42.56	7.38 W	0.00	66 of 343 - Sale ²⁵
	2.000	35.18	06/30/20	42.56	7.38 W	0.00	67 of 343 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	2.000	35.18	06/30/20	40.03	4.85 W	0.00	68 of 343 - Sale ²⁵
	3.000	52.77	06/30/20	66.96	14.19 W	0.00	69 of 343 - Sale ²⁵
	4.000	70.36	06/30/20	89.28	18.92 W	0.00	70 of 343 - Sale ²⁵
	13.000	228.66	06/30/20	260.27	31.61 W	0.00	71 of 343 - Sale ²⁵
	19.000	334.20	06/30/20	423.90	89.70 W	0.00	72 of 343 - Sale ²⁵
	23.000	404.56	06/30/20	513.38	108.82 W	0.00	73 of 343 - Sale ²⁵
	29.000	510.10	06/30/20	580.55	70.45 W	0.00	74 of 343 - Sale ²⁵
	4.000	70.36	06/30/20	72.59	2.23 W	0.00	75 of 343 - Sale ²⁵
	4.000	70.36	06/30/20	72.58	2.22 W	0.00	76 of 343 - Sale ²⁵
	4.000	70.36	06/30/20	72.54	2.18 W	0.00	77 of 343 - Sale ²⁵
	22.000	386.97	06/30/20	399.47	12.50 W	0.00	78 of 343 - Sale ²⁵
	2.000	35.18	06/30/20	40.06	4.88 W	0.00	79 of 343 - Sale ²⁵
	4.000	70.36	06/30/20	80.35	9.99 W	0.00	80 of 343 - Sale ²⁵
	13.000	228.66	06/30/20	266.35	37.69 W	0.00	81 of 343 - Sale ²⁵
	30.000	527.69	06/30/20	614.62	86.93 W	0.00	82 of 343 - Sale ²⁵
	2.000	35.18	06/30/20	36.46	1.28 W	0.00	83 of 343 - Sale ²⁵
	1.000	17.59	06/30/20	18.22	0.63 W	0.00	84 of 343 - Sale ²⁵
	2.000	35.18	06/30/20	36.43	1.25 W	0.00	85 of 343 - Sale ²⁵
	2.000	35.18	06/30/20	36.02	0.84 W	0.00	86 of 343 - Sale ²⁵
	3.000	52.77	06/30/20	54.41	1.64 W	0.00	87 of 343 - Sale ²⁵
	7.000	123.13	06/30/20	126.97	3.84 W	0.00	88 of 343 - Sale ²⁵
	9.000	158.30	06/30/20	163.24	4.94 W	0.00	89 of 343 - Sale ²⁵
	41.000	721.16	06/30/20	739.59	18.43 W	0.00	90 of 343 - Sale ²⁵
	1.000	17.24	06/30/20	18.03	0.79 W	0.00	91 of 343 - Sale ²⁵
	1.000	17.24	06/30/20	17.68	0.44 W	0.00	92 of 343 - Sale ²⁵
	3.000	51.72	06/30/20	54.01	2.29 W	0.00	93 of 343 - Sale ²⁵
	28.000	482.71	06/30/20	501.82	19.11 W	0.00	94 of 343 - Sale ²⁵
	2.000	34.48	06/30/20	36.08	1.60 W	0.00	95 of 343 - Sale ²⁵
	1.000	17.24	06/30/20	17.81	0.57 W	0.00	96 of 343 - Sale ²⁵
	1.000	17.24	06/30/20	17.80	0.56 W	0.00	97 of 343 - Sale ²⁵
	2.000	34.48	06/30/20	35.96	1.48 W	0.00	98 of 343 - Sale ²⁵
	3.000	51.72	06/30/20	53.72	2.00 W	0.00	99 of 343 - Sale ²⁵
	7.000	120.68	06/30/20	124.83	4.15 W	0.00	100 of 343 - Sale ²⁵
	8.000	137.92	06/30/20	143.40	5.48 W	0.00	101 of 343 - Sale ²⁵

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Account 412265225

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2020 1099-B* OMB No. 1545-0715

(continued)

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	9.000	155.16	06/30/20	161.29	6.13 W	0.00	102 of 343 - Sale ²⁵
	10.000	172.40	06/30/20	179.22	6.82 W	0.00	103 of 343 - Sale ²⁵
	16.000	275.83	06/30/20	286.78	10.95 W	0.00	104 of 343 - Sale ²⁵
	20.000	344.79	06/30/20	358.29	13.50 W	0.00	105 of 343 - Sale ²⁵
	23.000	396.51	06/30/20	410.17	13.66 W	0.00	106 of 343 - Sale ²⁵
	15.000	258.59	06/30/20	267.66	9.07 W	0.00	107 of 343 - Sale ²⁵
	1.000	17.24	06/30/20	18.24	1.00 W	0.00	108 of 343 - Sale ²⁵
	1.000	17.24	06/30/20	18.11	0.87 W	0.00	109 of 343 - Sale ²⁵
	3.000	51.72	06/30/20	54.73	3.01 W	0.00	110 of 343 - Sale ²⁵
	12.000	206.87	06/30/20	247.75	40.88 W	0.00	111 of 343 - Sale ²⁵
	38.000	655.10	06/30/20	784.49	129.39 W	0.00	112 of 343 - Sale ²⁵
	1.000	17.24	06/30/20	18.69	1.45 W	0.00	113 of 343 - Sale ²⁵
	2.000	34.48	06/30/20	37.34	2.86 W	0.00	114 of 343 - Sale ²⁵
	2.000	34.48	06/30/20	38.38	3.90 W	0.00	115 of 343 - Sale ²⁵
	18.000	310.31	06/30/20	345.60	35.29 W	0.00	116 of 343 - Sale ²⁵
	2.000	34.48	06/30/20	38.76	4.28 W	0.00	117 of 343 - Sale ²⁵
	3.000	51.72	06/30/20	58.49	6.77 W	0.00	118 of 343 - Sale ²⁵
	4.000	68.96	06/30/20	77.99	9.03 W	0.00	119 of 343 - Sale ²⁵
	5.000	86.20	06/30/20	96.00	9.80 W	0.00	120 of 343 - Sale ²⁵
	18.000	310.31	06/30/20	350.99	40.68 W	0.00	121 of 343 - Sale ²⁵
	21.000	362.03	06/30/20	406.98	44.95 W	0.00	122 of 343 - Sale ²⁵
	47.000	810.26	06/30/20	911.25	100.99 W	0.00	123 of 343 - Sale ²⁵
	1.000	17.24	06/30/20	19.50	2.26 W	0.00	124 of 343 - Sale ²⁵
	1.000	17.24	06/30/20	19.15	1.91 W	0.00	125 of 343 - Sale ²⁵
	1.000	17.24	06/30/20	19.27	2.03 W	0.00	126 of 343 - Sale ²⁵
	1.000	17.24	06/30/20	19.77	2.53 W	0.00	127 of 343 - Sale ²⁵
	1.000	17.24	06/30/20	19.33	2.09 W	0.00	128 of 343 - Sale ²⁵
	1.000	17.24	06/30/20	21.16	3.92 W	0.00	129 of 343 - Sale ²⁵
	1.000	17.24	06/30/20	21.16	3.92 W	0.00	130 of 343 - Sale ²⁵
	1.000	17.24	06/30/20	20.71	3.47 W	0.00	131 of 343 - Sale ²⁵
	1.000	17.24	06/30/20	22.06	4.82 W	0.00	132 of 343 - Sale ²⁵
	2.000	34.48	06/30/20	42.31	7.83 W	0.00	133 of 343 - Sale ²⁵
	2.000	34.48	06/30/20	44.29	9.81 W	0.00	134 of 343 - Sale ²⁵
	3.000	51.72	06/30/20	57.80	6.08 W	0.00	135 of 343 - Sale ²⁵

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Account 412265225

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
13.000		224.11	06/30/20	262.18	38.07 W	0.00	136 of 343 - Sale ²⁵
15.000		258.59	06/30/20	332.25	73.66 W	0.00	137 of 343 - Sale ²⁵
26.000		448.23	06/30/20	506.98	58.75 W	0.00	138 of 343 - Sale ²⁵
30.000		517.18	06/30/20	605.02	87.84 W	0.00	139 of 343 - Sale ²⁵
1.000		17.24	06/30/20	22.15	4.91 W	0.00	140 of 343 - Sale ²⁵
1.000		17.24	06/30/20	22.15	4.91 W	0.00	141 of 343 - Sale ²⁵
1.000		17.24	06/30/20	21.62	4.38 W	0.00	142 of 343 - Sale ²⁵
2.000		34.48	06/30/20	44.26	9.78 W	0.00	143 of 343 - Sale ²⁵
2.000		34.48	06/30/20	43.24	8.76 W	0.00	144 of 343 - Sale ²⁵
4.000		68.96	06/30/20	88.51	19.55 W	0.00	145 of 343 - Sale ²⁵
10.000		172.40	06/30/20	221.48	49.08 W	0.00	146 of 343 - Sale ²⁵
14.000		241.35	06/30/20	309.82	68.47 W	0.00	147 of 343 - Sale ²⁵
37.000		637.86	06/30/20	800.06	162.20 W	0.00	148 of 343 - Sale ²⁵
1.000		17.67	06/30/20	21.80	4.13 W	0.00	149 of 343 - Sale ²⁵
1.000		17.67	06/30/20	21.72	4.05 W	0.00	150 of 343 - Sale ²⁵
1.000		17.67	06/30/20	22.88	5.21 W	0.00	151 of 343 - Sale ²⁵
2.000		35.34	06/30/20	43.80	8.46 W	0.00	152 of 343 - Sale ²⁵
2.000		35.34	06/30/20	43.80	8.46 W	0.00	153 of 343 - Sale ²⁵
3.000		53.01	06/30/20	65.41	12.40 W	0.00	154 of 343 - Sale ²⁵
4.000		70.68	06/30/20	91.76	21.08 W	0.00	155 of 343 - Sale ²⁵
17.000		300.38	06/30/20	390.00	89.62 W	0.00	156 of 343 - Sale ²⁵
19.000		335.72	06/30/20	435.69	99.97 W	0.00	157 of 343 - Sale ²⁵
1.000		17.67	06/30/20	18.93	1.26 W	0.00	158 of 343 - Sale ²⁵
1.000		17.67	06/30/20	19.09	1.42 W	0.00	159 of 343 - Sale ²⁵
1.000		17.67	06/30/20	18.74	1.07 W	0.00	160 of 343 - Sale ²⁵
2.000		35.34	06/30/20	37.84	2.50 W	0.00	161 of 343 - Sale ²⁵
2.000		35.34	06/30/20	37.43	2.09 W	0.00	162 of 343 - Sale ²⁵
2.000		35.34	06/30/20	38.19	2.85 W	0.00	163 of 343 - Sale ²⁵
2.000		35.34	06/30/20	38.07	2.73 W	0.00	164 of 343 - Sale ²⁵
3.000		53.01	06/30/20	56.53	3.52 W	0.00	165 of 343 - Sale ²⁵
3.000		53.01	06/30/20	57.18	4.17 W	0.00	166 of 343 - Sale ²⁵
3.000		53.01	06/30/20	56.89	3.88 W	0.00	167 of 343 - Sale ²⁵
4.000		70.68	06/30/20	75.42	4.74 W	0.00	168 of 343 - Sale ²⁵
4.000		70.68	06/30/20	75.41	4.73 W	0.00	169 of 343 - Sale ²⁵

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(continued)

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	4.000	70.68	06/30/20	75.37	4.69 W	0.00	170 of 343 - Sale ²⁵
	7.000	123.69	06/30/20	131.92	8.23 W	0.00	171 of 343 - Sale ²⁵
	8.000	141.35	06/30/20	151.86	10.51 W	0.00	172 of 343 - Sale ²⁵
	9.000	159.02	06/30/20	169.62	10.60 W	0.00	173 of 343 - Sale ²⁵
	9.000	159.03	06/30/20	170.81	11.78 W	0.00	174 of 343 - Sale ²⁵
	10.000	176.69	06/30/20	189.79	13.10 W	0.00	175 of 343 - Sale ²⁵
	14.000	247.37	06/30/20	265.75	18.38 W	0.00	176 of 343 - Sale ²⁵
	20.000	353.39	06/30/20	379.45	26.06 W	0.00	177 of 343 - Sale ²⁵
	22.000	388.73	06/30/20	415.04	26.31 W	0.00	178 of 343 - Sale ²⁵
	28.000	494.74	06/30/20	531.43	36.69 W	0.00	179 of 343 - Sale ²⁵
	41.000	724.44	06/30/20	768.62	44.18 W	0.00	180 of 343 - Sale ²⁵
	1.000	17.79	06/30/20	18.87	1.08 W	0.00	181 of 343 - Sale ²⁵
	1.000	17.79	06/30/20	18.86	1.07 W	0.00	182 of 343 - Sale ²⁵
	1.000	17.79	06/30/20	19.30	1.51 W	0.00	183 of 343 - Sale ²⁵
	1.000	17.79	06/30/20	19.17	1.38 W	0.00	184 of 343 - Sale ²⁵
	3.000	53.37	06/30/20	57.90	4.53 W	0.00	185 of 343 - Sale ²⁵
	7.000	124.53	06/30/20	132.23	7.70 W	0.00	186 of 343 - Sale ²⁵
	12.000	213.47	06/30/20	260.45	46.98 W	0.00	187 of 343 - Sale ²⁵
	15.000	266.84	06/30/20	283.53	16.69 W	0.00	188 of 343 - Sale ²⁵
	2.000	35.58	06/30/20	37.96	2.38 W	0.00	189 of 343 - Sale ²⁵
	23.000	409.16	06/30/20	434.49	25.33 W	0.00	190 of 343 - Sale ²⁵
	38.000	676.00	06/30/20	824.68	148.68 W	0.00	191 of 343 - Sale ²⁵
	1.000	17.79	06/30/20	22.21	4.42 W	0.00	192 of 343 - Sale ²⁵
	1.000	17.79	06/30/20	19.75	1.96 W	0.00	193 of 343 - Sale ²⁵
	2.000	35.58	06/30/20	41.44	5.86 W	0.00	194 of 343 - Sale ²⁵
	2.000	35.58	06/30/20	41.47	5.89 W	0.00	195 of 343 - Sale ²⁵
	2.000	35.58	06/30/20	39.45	3.87 W	0.00	196 of 343 - Sale ²⁵
	2.000	35.58	06/30/20	40.49	4.91 W	0.00	197 of 343 - Sale ²⁵
	3.000	53.37	06/30/20	69.08	15.71 W	0.00	198 of 343 - Sale ²⁵
	4.000	71.16	06/30/20	83.18	12.02 W	0.00	199 of 343 - Sale ²⁵
	5.000	88.95	06/30/20	101.29	12.34 W	0.00	200 of 343 - Sale ²⁵
	6.000	106.74	06/30/20	138.17	31.43 W	0.00	201 of 343 - Sale ²⁵
	13.000	231.26	06/30/20	269.47	38.21 W	0.00	202 of 343 - Sale ²⁵
	13.000	231.26	06/30/20	275.55	44.29 W	0.00	203 of 343 - Sale ²⁵

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	13.000	231.26	06/30/20	265.69	34.43 W	0.00	204 of 343 - Sale ²⁵
	18.000	320.21	06/30/20	364.64	44.43 W	0.00	205 of 343 - Sale ²⁵
	29.000	515.90	06/30/20	601.07	85.17 W	0.00	206 of 343 - Sale ²⁵
	30.000	533.69	06/30/20	635.85	102.16 W	0.00	207 of 343 - Sale ²⁵
	2.000	35.58	06/30/20	37.87	2.29 W	0.00	208 of 343 - Sale ²⁵
	1.000	17.79	06/30/20	20.78	2.99 W	0.00	209 of 343 - Sale ²⁵
	1.000	17.79	06/30/20	20.43	2.64 W	0.00	210 of 343 - Sale ²⁵
	1.000	17.79	06/30/20	20.55	2.76 W	0.00	211 of 343 - Sale ²⁵
	1.000	17.79	06/30/20	21.05	3.26 W	0.00	212 of 343 - Sale ²⁵
	1.000	17.79	06/30/20	20.61	2.82 W	0.00	213 of 343 - Sale ²⁵
	1.000	17.79	06/30/20	22.44	4.65 W	0.00	214 of 343 - Sale ²⁵
	1.000	17.79	06/30/20	22.44	4.65 W	0.00	215 of 343 - Sale ²⁵
	1.000	17.79	06/30/20	21.99	4.20 W	0.00	216 of 343 - Sale ²⁵
	1.000	17.79	06/30/20	23.34	5.55 W	0.00	217 of 343 - Sale ²⁵
	1.000	17.79	06/30/20	23.43	5.64 W	0.00	218 of 343 - Sale ²⁵
	1.000	17.79	06/30/20	23.43	5.64 W	0.00	219 of 343 - Sale ²⁵
	2.000	35.58	06/30/20	41.32	5.74 W	0.00	220 of 343 - Sale ²⁵
	2.000	35.58	06/30/20	44.87	9.29 W	0.00	221 of 343 - Sale ²⁵
	2.000	35.58	06/30/20	46.85	11.27 W	0.00	222 of 343 - Sale ²⁵
	2.000	35.58	06/30/20	46.82	11.24 W	0.00	223 of 343 - Sale ²⁵
	3.000	53.37	06/30/20	62.33	8.96 W	0.00	224 of 343 - Sale ²⁵
	3.000	53.37	06/30/20	61.64	8.27 W	0.00	225 of 343 - Sale ²⁵
	4.000	71.16	06/30/20	83.11	11.95 W	0.00	226 of 343 - Sale ²⁵
	4.000	71.16	06/30/20	93.63	22.47 W	0.00	227 of 343 - Sale ²⁵
	8.000	142.32	06/30/20	165.28	22.96 W	0.00	228 of 343 - Sale ²⁵
	10.000	177.90	06/30/20	234.28	56.38 W	0.00	229 of 343 - Sale ²⁵
	13.000	231.26	06/30/20	278.83	47.57 W	0.00	230 of 343 - Sale ²⁵
	14.000	249.05	06/30/20	327.75	78.70 W	0.00	231 of 343 - Sale ²⁵
	15.000	266.84	06/30/20	351.46	84.62 W	0.00	232 of 343 - Sale ²⁵
	18.000	320.21	06/30/20	374.04	53.83 W	0.00	233 of 343 - Sale ²⁵
	26.000	462.53	06/30/20	540.26	77.73 W	0.00	234 of 343 - Sale ²⁵
	30.000	533.69	06/30/20	643.43	109.74 W	0.00	235 of 343 - Sale ²⁵
	36.000	640.42	06/30/20	824.52	184.10 W	0.00	236 of 343 - Sale ²⁵
	47.000	836.11	06/30/20	971.42	135.31 W	0.00	237 of 343 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	1.000	18.24	06/30/20	22.27	4.03 W	0.00	238 of 343 - Sale ²⁵
	1.000	18.24	06/30/20	22.27	4.03 W	0.00	239 of 343 - Sale ²⁵
	1.000	18.24	06/30/20	22.02	3.78 W	0.00	240 of 343 - Sale ²⁵
	1.000	18.24	06/30/20	21.94	3.70 W	0.00	241 of 343 - Sale ²⁵
	1.000	18.24	06/30/20	23.10	4.86 W	0.00	242 of 343 - Sale ²⁵
	2.000	36.48	06/30/20	44.55	8.07 W	0.00	243 of 343 - Sale ²⁵
	2.000	36.48	06/30/20	44.25	7.77 W	0.00	244 of 343 - Sale ²⁵
	2.000	36.48	06/30/20	44.25	7.77 W	0.00	245 of 343 - Sale ²⁵
	3.000	54.72	06/30/20	66.08	11.36 W	0.00	246 of 343 - Sale ²⁵
	4.000	72.96	06/30/20	92.65	19.69 W	0.00	247 of 343 - Sale ²⁵
	13.000	237.11	06/30/20	301.15	64.04 W	0.00	248 of 343 - Sale ²⁵
	19.000	346.54	06/30/20	439.94	93.40 W	0.00	249 of 343 - Sale ²⁵
	4.000	72.96	06/30/20	77.35	4.39 W	0.00	250 of 343 - Sale ²⁵
	4.000	72.96	06/30/20	77.34	4.38 W	0.00	251 of 343 - Sale ²⁵
	17.000	310.06	06/30/20	328.92	18.86 W	0.00	252 of 343 - Sale ²⁵
	2.000	33.98	06/30/20	38.66	4.68 W	0.00	253 of 343 - Sale ²⁵
	3.000	50.97	06/30/20	57.97	7.00 W	0.00	254 of 343 - Sale ²⁵
	4.000	67.96	06/30/20	77.30	9.34 W	0.00	255 of 343 - Sale ²⁵
	7.000	118.93	06/30/20	135.30	16.37 W	0.00	256 of 343 - Sale ²⁵
	5.000	84.95	06/30/20	96.74	11.79 W	0.00	257 of 343 - Sale ²⁵
	4.000	67.96	06/30/20	93.70	25.74 W	0.00	258 of 343 - Sale ²⁵
	1.000	16.99	06/30/20	19.51	2.52 W	0.00	259 of 343 - Sale ²⁵
	1.000	16.99	06/30/20	19.67	2.68 W	0.00	260 of 343 - Sale ²⁵
	1.000	16.99	06/30/20	19.32	2.33 W	0.00	261 of 343 - Sale ²⁵
	2.000	33.98	06/30/20	39.00	5.02 W	0.00	262 of 343 - Sale ²⁵
	2.000	33.98	06/30/20	38.59	4.61 W	0.00	263 of 343 - Sale ²⁵
	2.000	33.98	06/30/20	39.35	5.37 W	0.00	264 of 343 - Sale ²⁵
	2.000	33.98	06/30/20	39.12	5.14 W	0.00	265 of 343 - Sale ²⁵
	3.000	50.97	06/30/20	58.92	7.95 W	0.00	266 of 343 - Sale ²⁵
	7.000	118.93	06/30/20	135.99	17.06 W	0.00	267 of 343 - Sale ²⁵
	10.000	169.90	06/30/20	195.60	25.70 W	0.00	268 of 343 - Sale ²⁵
	28.000	475.73	06/30/20	547.69	71.96 W	0.00	269 of 343 - Sale ²⁵
	41.000	696.60	06/30/20	792.43	95.83 W	0.00	270 of 343 - Sale ²⁵
	2.000	33.98	06/30/20	39.25	5.27 W	0.00	271 of 343 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

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(continued)

02/14/2021

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	3.000	50.97	06/30/20	58.66	7.69 W	0.00	272 of 343 - Sale ²⁵
	7.000	118.93	06/30/20	136.98	18.05 W	0.00	273 of 343 - Sale ²⁵
	8.000	135.92	06/30/20	156.59	20.67 W	0.00	274 of 343 - Sale ²⁵
	10.000	169.90	06/30/20	195.73	25.83 W	0.00	275 of 343 - Sale ²⁵
	20.000	339.81	06/30/20	391.26	51.45 W	0.00	276 of 343 - Sale ²⁵
	1.000	16.99	06/30/20	19.95	2.96 W	0.00	277 of 343 - Sale ²⁵
	1.000	16.99	06/30/20	19.94	2.95 W	0.00	278 of 343 - Sale ²⁵
	1.000	16.99	06/30/20	20.38	3.39 W	0.00	279 of 343 - Sale ²⁵
	1.000	16.99	06/30/20	20.25	3.26 W	0.00	280 of 343 - Sale ²⁵
	2.000	33.98	06/30/20	40.12	6.14 W	0.00	281 of 343 - Sale ²⁵
	3.000	50.97	06/30/20	61.14	10.17 W	0.00	282 of 343 - Sale ²⁵
	4.000	67.96	06/30/20	80.73	12.77 W	0.00	283 of 343 - Sale ²⁵
	7.000	118.93	06/30/20	139.79	20.86 W	0.00	284 of 343 - Sale ²⁵
	15.000	254.86	06/30/20	299.75	44.89 W	0.00	285 of 343 - Sale ²⁵
	17.000	288.84	06/30/20	387.31	98.47 W	0.00	286 of 343 - Sale ²⁵
	23.000	390.78	06/30/20	459.36	68.58 W	0.00	287 of 343 - Sale ²⁵
	12.000	203.88	06/30/20	272.22	68.34 W	0.00	288 of 343 - Sale ²⁵
	21.000	356.80	06/30/20	476.34	119.54 W	0.00	289 of 343 - Sale ²⁵
	1.000	16.99	06/30/20	23.19	6.20 W	0.00	290 of 343 - Sale ²⁵
	2.000	33.98	06/30/20	43.41	9.43 W	0.00	291 of 343 - Sale ²⁵
	3.000	50.97	06/30/20	72.02	21.05 W	0.00	292 of 343 - Sale ²⁵
	6.000	101.94	06/30/20	144.05	42.11 W	0.00	293 of 343 - Sale ²⁵
	5.000	84.96	06/30/20	108.59	23.63 W	0.00	294 of 343 - Sale ²⁵
	2.000	35.04	06/30/20	43.42	8.38 W	0.00	295 of 343 - Sale ²⁵
	2.000	35.04	06/30/20	43.45	8.41 W	0.00	296 of 343 - Sale ²⁵
	4.000	70.08	06/30/20	87.14	17.06 W	0.00	297 of 343 - Sale ²⁵
	7.000	122.64	06/30/20	155.31	32.67 W	0.00	298 of 343 - Sale ²⁵
	13.000	227.76	06/30/20	282.35	54.59 W	0.00	299 of 343 - Sale ²⁵
	22.000	385.43	06/30/20	477.77	92.34 W	0.00	300 of 343 - Sale ²⁵
	1.000	17.35	06/30/20	19.59	2.24 W	0.00	301 of 343 - Sale ²⁵
	2.000	34.70	06/30/20	39.13	4.43 W	0.00	302 of 343 - Sale ²⁵
	2.000	34.70	06/30/20	40.17	5.47 W	0.00	303 of 343 - Sale ²⁵
	6.000	104.10	06/30/20	126.22	22.12 W	0.00	304 of 343 - Sale ²⁵
	9.000	156.15	06/30/20	180.89	24.74 W	0.00	305 of 343 - Sale ²⁵

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(continued)

02/14/2021

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	30.000	520.49	06/30/20	631.05	110.56 W	0.00	306 of 343 - Sale ²⁵
	2.000	34.70	06/30/20	40.72	6.02 W	0.00	307 of 343 - Sale ²⁵
	3.000	52.05	06/30/20	61.43	9.38 W	0.00	308 of 343 - Sale ²⁵
	4.000	69.40	06/30/20	81.90	12.50 W	0.00	309 of 343 - Sale ²⁵
	5.000	86.75	06/30/20	99.78	13.03 W	0.00	310 of 343 - Sale ²⁵
	7.000	121.45	06/30/20	143.35	21.90 W	0.00	311 of 343 - Sale ²⁵
	8.000	138.80	06/30/20	162.87	24.07 W	0.00	312 of 343 - Sale ²⁵
	9.000	156.15	06/30/20	179.61	23.46 W	0.00	313 of 343 - Sale ²⁵
	13.000	225.54	06/30/20	261.78	36.24 W	0.00	314 of 343 - Sale ²⁵
	47.000	815.43	06/30/20	957.28	141.85 W	0.00	315 of 343 - Sale ²⁵
	2.000	34.70	06/30/20	37.27	2.57 W	0.00	316 of 343 - Sale ²⁵
	1.000	17.35	06/30/20	20.47	3.12 W	0.00	317 of 343 - Sale ²⁵
	1.000	17.35	06/30/20	20.12	2.77 W	0.00	318 of 343 - Sale ²⁵
	1.000	17.35	06/30/20	20.24	2.89 W	0.00	319 of 343 - Sale ²⁵
	1.000	17.35	06/30/20	20.74	3.39 W	0.00	320 of 343 - Sale ²⁵
	3.000	52.05	06/30/20	60.70	8.65 W	0.00	321 of 343 - Sale ²⁵
	6.000	104.10	06/30/20	126.82	22.72 W	0.00	322 of 343 - Sale ²⁵
	11.000	190.84	06/30/20	225.17	34.33 W	0.00	323 of 343 - Sale ²⁵
	26.000	451.08	06/30/20	532.18	81.10 W	0.00	324 of 343 - Sale ²⁵
	1.000	17.35	06/30/20	20.36	3.01 W	0.00	325 of 343 - Sale ²⁵
	1.000	17.35	06/30/20	22.19	4.84 W	0.00	326 of 343 - Sale ²⁵
	1.000	17.35	06/30/20	22.19	4.84 W	0.00	327 of 343 - Sale ²⁵
	1.000	17.35	06/30/20	21.74	4.39 W	0.00	328 of 343 - Sale ²⁵
	1.000	17.35	06/30/20	23.09	5.74 W	0.00	329 of 343 - Sale ²⁵
	2.000	34.70	06/30/20	44.37	9.67 W	0.00	330 of 343 - Sale ²⁵
	6.000	104.10	06/30/20	139.09	34.99 W	0.00	331 of 343 - Sale ²⁵
	13.000	225.54	06/30/20	275.59	50.05 W	0.00	332 of 343 - Sale ²⁵
	24.000	416.38	06/30/20	508.75	92.37 W	0.00	333 of 343 - Sale ²⁵
	1.000	17.01	06/30/20	23.02	6.01 W	0.00	334 of 343 - Sale ²⁵
	2.000	34.02	06/30/20	46.03	12.01 W	0.00	335 of 343 - Sale ²⁵
	1.000	17.01	06/30/20	23.02	6.01 W	0.00	336 of 343 - Sale ²⁵
	9.000	153.09	06/30/20	207.19	54.10 W	0.00	337 of 343 - Sale ²⁵
	5.000	85.10	06/30/20	115.09	29.99 W	0.00	338 of 343 - Sale ²⁵
	2.000	34.04	06/30/20	46.03	11.99 W	0.00	339 of 343 - Sale ²⁵

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	1.000	17.02	06/30/20	22.97	5.95 W	0.00	340 of 343 - Sale ²⁵
	2.000	34.04	06/30/20	45.94	11.90 W	0.00	341 of 343 - Sale ²⁵
	2.000	34.04	06/30/20	45.90	11.86 W	0.00	342 of 343 - Sale ²⁵
	8.000	136.16	06/30/20	183.77	47.61 W	0.00	343 of 343 - Sale ²⁵
06/30/20	2,811.000	48,753.26	Various	56,093.64	7,340.38 W	0.00	Total of 343 transactions
	108 transactions for 07/02/20. Total proceeds and cost reported to the IRS.						
	1.000	21.11	07/02/20	23.88	2.77 W	0.00	1 of 108 - Sale ²⁵
	1.000	21.11	07/02/20	24.04	2.93 W	0.00	2 of 108 - Sale ²⁵
	1.000	21.11	07/02/20	23.69	2.58 W	0.00	3 of 108 - Sale ²⁵
	2.000	42.22	07/02/20	47.40	5.18 W	0.00	4 of 108 - Sale ²⁵
	2.000	42.22	07/02/20	47.74	5.52 W	0.00	5 of 108 - Sale ²⁵
	2.000	42.22	07/02/20	47.33	5.11 W	0.00	6 of 108 - Sale ²⁵
	2.000	42.22	07/02/20	48.09	5.87 W	0.00	7 of 108 - Sale ²⁵
	3.000	63.33	07/02/20	71.08	7.75 W	0.00	8 of 108 - Sale ²⁵
	3.000	63.33	07/02/20	72.03	8.70 W	0.00	9 of 108 - Sale ²⁵
	4.000	84.44	07/02/20	89.83	5.39 W	0.00	10 of 108 - Sale ²⁵
	4.000	84.44	07/02/20	89.82	5.38 W	0.00	11 of 108 - Sale ²⁵
	4.000	84.44	07/02/20	94.78	10.34 W	0.00	12 of 108 - Sale ²⁵
	5.000	105.55	07/02/20	118.59	13.04 W	0.00	13 of 108 - Sale ²⁵
	7.000	147.77	07/02/20	165.89	18.12 W	0.00	14 of 108 - Sale ²⁵
	7.000	147.77	07/02/20	166.58	18.81 W	0.00	15 of 108 - Sale ²⁵
	17.000	358.86	07/02/20	381.98	23.12 W	0.00	16 of 108 - Sale ²⁵
	19.000	401.07	07/02/20	454.67	53.60 W	0.00	17 of 108 - Sale ²⁵
	41.000	865.48	07/02/20	971.59	106.11 W	0.00	18 of 108 - Sale ²⁵
	1.000	21.11	07/02/20	25.39	4.28 W	0.00	19 of 108 - Sale ²⁵
	1.000	21.11	07/02/20	25.39	4.28 W	0.00	20 of 108 - Sale ²⁵
	1.000	21.11	07/02/20	25.14	4.03 W	0.00	21 of 108 - Sale ²⁵
	1.000	21.11	07/02/20	25.06	3.95 W	0.00	22 of 108 - Sale ²⁵
	1.000	21.11	07/02/20	26.22	5.11 W	0.00	23 of 108 - Sale ²⁵
	2.000	42.22	07/02/20	53.96	11.74 W	0.00	24 of 108 - Sale ²⁵
	2.000	42.22	07/02/20	50.79	8.57 W	0.00	25 of 108 - Sale ²⁵
	2.000	42.22	07/02/20	50.49	8.27 W	0.00	26 of 108 - Sale ²⁵
	2.000	42.22	07/02/20	50.49	8.27 W	0.00	27 of 108 - Sale ²⁵
	3.000	63.33	07/02/20	75.44	12.11 W	0.00	28 of 108 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	4.000	84.44	07/02/20	107.93	23.49 W	0.00	29 of 108 - Sale ²⁵
	4.000	84.44	07/02/20	107.91	23.47 W	0.00	30 of 108 - Sale ²⁵
	15.000	316.62	07/02/20	394.14	77.52 W	0.00	31 of 108 - Sale ²⁵
	36.000	759.93	07/02/20	953.06	193.13 W	0.00	32 of 108 - Sale ²⁵
	4.000	84.44	07/02/20	105.13	20.69 W	0.00	33 of 108 - Sale ²⁵
	4.000	84.44	07/02/20	111.18	26.74 W	0.00	34 of 108 - Sale ²⁵
	13.000	274.41	07/02/20	341.72	67.31 W	0.00	35 of 108 - Sale ²⁵
	4.000	84.44	07/02/20	105.10	20.66 W	0.00	36 of 108 - Sale ²⁵
	1.000	21.70	07/02/20	23.96	2.26 W	0.00	37 of 108 - Sale ²⁵
	1.000	21.70	07/02/20	23.95	2.25 W	0.00	38 of 108 - Sale ²⁵
	2.000	43.40	07/02/20	47.14	3.74 W	0.00	39 of 108 - Sale ²⁵
	2.000	43.40	07/02/20	47.27	3.87 W	0.00	40 of 108 - Sale ²⁵
	2.000	43.40	07/02/20	48.14	4.74 W	0.00	41 of 108 - Sale ²⁵
	3.000	65.10	07/02/20	70.69	5.59 W	0.00	42 of 108 - Sale ²⁵
	4.000	86.80	07/02/20	96.77	9.97 W	0.00	43 of 108 - Sale ²⁵
	7.000	151.90	07/02/20	165.05	13.15 W	0.00	44 of 108 - Sale ²⁵
	7.000	151.90	07/02/20	167.86	15.96 W	0.00	45 of 108 - Sale ²⁵
	8.000	173.59	07/02/20	188.67	15.08 W	0.00	46 of 108 - Sale ²⁵
	9.000	195.29	07/02/20	212.13	16.84 W	0.00	47 of 108 - Sale ²⁵
	10.000	216.99	07/02/20	235.70	18.71 W	0.00	48 of 108 - Sale ²⁵
	10.000	216.99	07/02/20	235.83	18.84 W	0.00	49 of 108 - Sale ²⁵
	20.000	433.99	07/02/20	471.45	37.46 W	0.00	50 of 108 - Sale ²⁵
	14.000	303.79	07/02/20	335.74	31.95 W	0.00	51 of 108 - Sale ²⁵
	1.000	21.70	07/02/20	24.39	2.69 W	0.00	52 of 108 - Sale ²⁵
	1.000	21.70	07/02/20	24.26	2.56 W	0.00	53 of 108 - Sale ²⁵
	3.000	65.10	07/02/20	73.17	8.07 W	0.00	54 of 108 - Sale ²⁵
	15.000	325.49	07/02/20	359.89	34.40 W	0.00	55 of 108 - Sale ²⁵
	17.000	368.89	07/02/20	455.47	86.58 W	0.00	56 of 108 - Sale ²⁵
	1.000	21.70	07/02/20	26.69	4.99 W	0.00	57 of 108 - Sale ²⁵
	9.000	195.29	07/02/20	215.84	20.55 W	0.00	58 of 108 - Sale ²⁵
	12.000	260.39	07/02/20	320.34	59.95 W	0.00	59 of 108 - Sale ²⁵
	20.000	433.99	07/02/20	533.85	99.86 W	0.00	60 of 108 - Sale ²⁵
	1.000	21.70	07/02/20	27.20	5.50 W	0.00	61 of 108 - Sale ²⁵
	2.000	43.40	07/02/20	51.43	8.03 W	0.00	62 of 108 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	2.000	43.40	07/02/20	50.38	6.98 W	0.00	63 of 108 - Sale ²⁵
	3.000	65.10	07/02/20	84.05	18.95 W	0.00	64 of 108 - Sale ²⁵
	5.000	108.50	07/02/20	128.63	20.13 W	0.00	65 of 108 - Sale ²⁵
	5.000	108.50	07/02/20	126.00	17.50 W	0.00	66 of 108 - Sale ²⁵
	6.000	130.20	07/02/20	168.11	37.91 W	0.00	67 of 108 - Sale ²⁵
	22.000	477.39	07/02/20	554.34	76.95 W	0.00	68 of 108 - Sale ²⁵
	1.000	21.70	07/02/20	24.12	2.42 W	0.00	69 of 108 - Sale ²⁵
	2.000	43.40	07/02/20	52.17	8.77 W	0.00	70 of 108 - Sale ²⁵
	2.000	43.40	07/02/20	48.19	4.79 W	0.00	71 of 108 - Sale ²⁵
	2.000	43.40	07/02/20	49.23	5.83 W	0.00	72 of 108 - Sale ²⁵
	4.000	86.80	07/02/20	104.58	17.78 W	0.00	73 of 108 - Sale ²⁵
	4.000	86.80	07/02/20	97.95	11.15 W	0.00	74 of 108 - Sale ²⁵
	6.000	130.20	07/02/20	153.40	23.20 W	0.00	75 of 108 - Sale ²⁵
	7.000	151.89	07/02/20	185.83	33.94 W	0.00	76 of 108 - Sale ²⁵
	8.000	173.59	07/02/20	208.63	35.04 W	0.00	77 of 108 - Sale ²⁵
	9.000	195.29	07/02/20	221.66	26.37 W	0.00	78 of 108 - Sale ²⁵
	30.000	650.98	07/02/20	766.96	115.98 W	0.00	79 of 108 - Sale ²⁵
	1.000	21.70	07/02/20	25.27	3.57 W	0.00	80 of 108 - Sale ²⁵
	1.000	21.70	07/02/20	24.92	3.22 W	0.00	81 of 108 - Sale ²⁵
	1.000	21.70	07/02/20	25.04	3.34 W	0.00	82 of 108 - Sale ²⁵
	1.000	21.70	07/02/20	25.54	3.84 W	0.00	83 of 108 - Sale ²⁵
	2.000	43.40	07/02/20	50.32	6.92 W	0.00	84 of 108 - Sale ²⁵
	3.000	65.10	07/02/20	75.83	10.73 W	0.00	85 of 108 - Sale ²⁵
	3.000	65.10	07/02/20	75.10	10.00 W	0.00	86 of 108 - Sale ²⁵
	4.000	86.80	07/02/20	101.10	14.30 W	0.00	87 of 108 - Sale ²⁵
	5.000	108.50	07/02/20	123.78	15.28 W	0.00	88 of 108 - Sale ²⁵
	5.000	108.50	07/02/20	123.78	15.28 W	0.00	89 of 108 - Sale ²⁵
	6.000	130.19	07/02/20	155.62	25.43 W	0.00	90 of 108 - Sale ²⁵
	7.000	151.89	07/02/20	176.95	25.06 W	0.00	91 of 108 - Sale ²⁵
	8.000	173.59	07/02/20	201.27	27.68 W	0.00	92 of 108 - Sale ²⁵
	11.000	238.69	07/02/20	277.98	39.29 W	0.00	93 of 108 - Sale ²⁵
	13.000	282.09	07/02/20	324.19	42.10 W	0.00	94 of 108 - Sale ²⁵
	3.000	65.10	07/02/20	78.00	12.90 W	0.00	95 of 108 - Sale ²⁵
	26.000	564.18	07/02/20	657.00	92.82 W	0.00	96 of 108 - Sale ²⁵

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(continued)

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	47.000	1,019.86	07/02/20	1,182.90	163.04 W	0.00	97 of 108 - Sale ²⁵
	21.000	455.68	07/02/20	545.97	90.29 W	0.00	98 of 108 - Sale ²⁵
	1.000	21.70	07/02/20	25.16	3.46 W	0.00	99 of 108 - Sale ²⁵
	1.000	21.70	07/02/20	26.99	5.29 W	0.00	100 of 108 - Sale ²⁵
	1.000	21.70	07/02/20	26.99	5.29 W	0.00	101 of 108 - Sale ²⁵
	1.000	21.70	07/02/20	26.54	4.84 W	0.00	102 of 108 - Sale ²⁵
	1.000	21.70	07/02/20	27.89	6.19 W	0.00	103 of 108 - Sale ²⁵
	2.000	43.40	07/02/20	53.97	10.57 W	0.00	104 of 108 - Sale ²⁵
	4.000	86.79	07/02/20	112.64	25.85 W	0.00	105 of 108 - Sale ²⁵
	6.000	130.20	07/02/20	167.89	37.69 W	0.00	106 of 108 - Sale ²⁵
	13.000	282.09	07/02/20	338.00	55.91 W	0.00	107 of 108 - Sale ²⁵
	2.000	43.40	07/02/20	46.87	3.47 W	0.00	108 of 108 - Sale ²⁵
07/02/20	725.000	15,599.29	Various	18,184.16	2,584.87 W	0.00	Total of 108 transactions
	135 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	34.000	660.37	07/02/20	831.94	...	-171.57	1 of 135 - Sale ²⁵
	20.000	384.19	07/02/20	539.46	...	-155.27	2 of 135 - Sale ²⁵
	22.000	422.38	07/02/20	560.51	...	-138.13	3 of 135 - Sale ²⁵
	17.000	326.56	07/02/20	460.24	...	-133.68	4 of 135 - Sale ²⁵
	19.000	369.03	07/02/20	492.16	...	-123.13	5 of 135 - Sale ²⁵
	21.000	407.87	07/02/20	529.70	...	-121.83	6 of 135 - Sale ²⁵
	13.000	249.72	07/02/20	352.92	...	-103.20	7 of 135 - Sale ²⁵
	14.000	283.48	07/02/20	379.93	...	-96.45	8 of 135 - Sale ²⁵
	12.000	230.51	07/02/20	323.71	...	-93.20	9 of 135 - Sale ²⁵
	16.000	310.76	07/02/20	393.12	...	-82.36	10 of 135 - Sale ²⁵
	13.000	252.49	07/02/20	330.45	...	-77.96	11 of 135 - Sale ²⁵
	15.000	288.14	07/02/20	364.10	...	-75.96	12 of 135 - Sale ²⁵
	16.000	307.35	07/02/20	381.65	...	-74.30	13 of 135 - Sale ²⁵
	14.000	268.93	07/02/20	339.67	...	-70.74	14 of 135 - Sale ²⁵
	8.000	153.59	07/02/20	210.88	...	-57.29	15 of 135 - Sale ²⁵
	11.000	213.65	07/02/20	270.67	...	-57.02	16 of 135 - Sale ²⁵
	11.000	213.65	07/02/20	270.29	...	-56.64	17 of 135 - Sale ²⁵
	7.000	135.96	07/02/20	187.80	...	-51.84	18 of 135 - Sale ²⁵
	10.000	194.22	07/02/20	244.93	...	-50.71	19 of 135 - Sale ²⁵
	11.000	213.65	07/02/20	263.76	...	-50.11	20 of 135 - Sale ²⁵

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	8.000	155.38	07/02/20	203.28	...	-47.90	21 of 135 - Sale ²⁵
	10.000	192.09	07/02/20	238.64	...	-46.55	22 of 135 - Sale ²⁵
	9.000	172.88	07/02/20	218.37	...	-45.49	23 of 135 - Sale ²⁵
	5.000	96.00	07/02/20	141.49	...	-45.49	24 of 135 - Sale ²⁵
	6.000	116.54	07/02/20	155.43	...	-38.89	25 of 135 - Sale ²⁵
	9.000	174.80	07/02/20	213.03	...	-38.23	26 of 135 - Sale ²⁵
	4.000	76.84	07/02/20	114.62	...	-37.78	27 of 135 - Sale ²⁵
	8.000	153.67	07/02/20	190.92	...	-37.25	28 of 135 - Sale ²⁵
	7.000	135.96	07/02/20	172.06	...	-36.10	29 of 135 - Sale ²⁵
	7.000	134.47	07/02/20	169.82	...	-35.35	30 of 135 - Sale ²⁵
	6.000	116.53	07/02/20	150.97	...	-34.44	31 of 135 - Sale ²⁵
	5.000	96.00	07/02/20	130.03	...	-34.03	32 of 135 - Sale ²⁵
	4.000	76.84	07/02/20	108.57	...	-31.73	33 of 135 - Sale ²⁵
	5.000	95.99	07/02/20	127.40	...	-31.41	34 of 135 - Sale ²⁵
	10.000	209.99	07/02/20	238.41	...	-28.42	35 of 135 - Sale ²⁵
	3.000	57.60	07/02/20	84.89	...	-27.29	36 of 135 - Sale ²⁵
	9.000	188.99	07/02/20	214.57	...	-25.58	37 of 135 - Sale ²⁵
	3.000	57.63	07/02/20	81.40	...	-23.77	38 of 135 - Sale ²⁵
	5.000	97.11	07/02/20	118.98	...	-21.87	39 of 135 - Sale ²⁵
	5.000	97.11	07/02/20	118.98	...	-21.87	40 of 135 - Sale ²⁵
	3.000	57.60	07/02/20	79.28	...	-21.68	41 of 135 - Sale ²⁵
	4.000	76.84	07/02/20	97.89	...	-21.05	42 of 135 - Sale ²⁵
	4.000	77.69	07/02/20	98.30	...	-20.61	43 of 135 - Sale ²⁵
	3.000	58.27	07/02/20	75.67	...	-17.40	44 of 135 - Sale ²⁵
	3.000	58.27	07/02/20	75.67	...	-17.40	45 of 135 - Sale ²⁵
	3.000	60.75	07/02/20	78.02	...	-17.27	46 of 135 - Sale ²⁵
	4.000	77.69	07/02/20	94.11	...	-16.42	47 of 135 - Sale ²⁵
	3.000	57.63	07/02/20	74.01	...	-16.38	48 of 135 - Sale ²⁵
	3.000	58.27	07/02/20	73.73	...	-15.46	49 of 135 - Sale ²⁵
	3.000	58.27	07/02/20	72.77	...	-14.50	50 of 135 - Sale ²⁵
	4.000	81.00	07/02/20	95.37	...	-14.37	51 of 135 - Sale ²⁵
	2.000	38.40	07/02/20	52.73	...	-14.33	52 of 135 - Sale ²⁵
	2.000	38.40	07/02/20	51.99	...	-13.59	53 of 135 - Sale ²⁵
	2.000	38.40	07/02/20	50.94	...	-12.54	54 of 135 - Sale ²⁵

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	2.000	40.50	07/02/20	52.51	...	-12.01	55 of 135 - Sale ²⁵
	2.000	38.85	07/02/20	50.82	...	-11.97	56 of 135 - Sale ²⁵
	2.000	40.50	07/02/20	52.21	...	-11.71	57 of 135 - Sale ²⁵
	2.000	40.50	07/02/20	52.21	...	-11.71	58 of 135 - Sale ²⁵
	2.000	38.85	07/02/20	50.38	...	-11.53	59 of 135 - Sale ²⁵
	4.000	84.00	07/02/20	95.39	...	-11.39	60 of 135 - Sale ²⁵
	3.000	60.75	07/02/20	71.55	...	-10.80	61 of 135 - Sale ²⁵
	3.000	60.75	07/02/20	71.50	...	-10.75	62 of 135 - Sale ²⁵
	2.000	38.42	07/02/20	48.70	...	-10.28	63 of 135 - Sale ²⁵
	1.000	19.21	07/02/20	28.30	...	-9.09	64 of 135 - Sale ²⁵
	2.000	38.84	07/02/20	47.31	...	-8.47	65 of 135 - Sale ²⁵
	1.000	19.20	07/02/20	27.48	...	-8.28	66 of 135 - Sale ²⁵
	1.000	19.21	07/02/20	26.97	...	-7.76	67 of 135 - Sale ²⁵
	2.000	38.85	07/02/20	46.27	...	-7.42	68 of 135 - Sale ²⁵
	2.000	40.50	07/02/20	47.81	...	-7.31	69 of 135 - Sale ²⁵
	1.000	19.42	07/02/20	26.42	...	-7.00	70 of 135 - Sale ²⁵
	1.000	20.25	07/02/20	27.14	...	-6.89	71 of 135 - Sale ²⁵
	1.000	20.25	07/02/20	27.14	...	-6.89	72 of 135 - Sale ²⁵
	1.000	20.25	07/02/20	27.08	...	-6.83	73 of 135 - Sale ²⁵
	1.000	20.25	07/02/20	26.25	...	-6.00	74 of 135 - Sale ²⁵
	1.000	20.25	07/02/20	26.25	...	-6.00	75 of 135 - Sale ²⁵
	1.000	20.25	07/02/20	26.00	...	-5.75	76 of 135 - Sale ²⁵
	2.000	42.00	07/02/20	47.68	...	-5.68	77 of 135 - Sale ²⁵
	1.000	20.25	07/02/20	25.92	...	-5.67	78 of 135 - Sale ²⁵
	1.000	19.21	07/02/20	24.67	...	-5.46	79 of 135 - Sale ²⁵
	1.000	19.42	07/02/20	24.76	...	-5.34	80 of 135 - Sale ²⁵
	1.000	19.21	07/02/20	24.54	...	-5.33	81 of 135 - Sale ²⁵
	1.000	19.42	07/02/20	24.49	...	-5.07	82 of 135 - Sale ²⁵
	1.000	19.21	07/02/20	24.24	...	-5.03	83 of 135 - Sale ²⁵
	1.000	19.21	07/02/20	24.23	...	-5.02	84 of 135 - Sale ²⁵
	1.000	19.42	07/02/20	24.26	...	-4.84	85 of 135 - Sale ²⁵
	1.000	19.42	07/02/20	24.14	...	-4.72	86 of 135 - Sale ²⁵
	1.000	19.42	07/02/20	23.16	...	-3.74	87 of 135 - Sale ²⁵
	24.000	456.51	07/06/20	615.50	...	-158.99	88 of 135 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
28.000		538.08	07/06/20	651.02	...	-112.94	89 of 135 - Sale ²⁵
16.000		304.33	07/06/20	369.63	...	-65.30	90 of 135 - Sale ²⁵
8.000		153.74	07/06/20	212.92	...	-59.18	91 of 135 - Sale ²⁵
13.000		249.82	07/06/20	302.21	...	-52.39	92 of 135 - Sale ²⁵
7.000		135.96	07/06/20	177.95	...	-41.99	93 of 135 - Sale ²⁵
15.000		288.25	07/06/20	329.71	...	-41.46	94 of 135 - Sale ²⁵
6.000		115.30	07/06/20	155.59	...	-40.29	95 of 135 - Sale ²⁵
5.000		96.09	07/06/20	133.35	...	-37.26	96 of 135 - Sale ²⁵
5.000		96.09	07/06/20	133.28	...	-37.19	97 of 135 - Sale ²⁵
7.000		134.52	07/06/20	163.43	...	-28.91	98 of 135 - Sale ²⁵
4.000		76.08	07/06/20	104.61	...	-28.53	99 of 135 - Sale ²⁵
4.000		76.08	07/06/20	104.59	...	-28.51	100 of 135 - Sale ²⁵
7.000		134.52	07/06/20	162.73	...	-28.21	101 of 135 - Sale ²⁵
4.000		76.87	07/06/20	104.45	...	-27.58	102 of 135 - Sale ²⁵
3.000		58.27	07/06/20	74.83	...	-16.56	103 of 135 - Sale ²⁵
4.000		76.87	07/06/20	92.97	...	-16.10	104 of 135 - Sale ²⁵
2.000		38.43	07/06/20	53.33	...	-14.90	105 of 135 - Sale ²⁵
2.000		38.43	07/06/20	53.30	...	-14.87	106 of 135 - Sale ²⁵
2.000		38.43	07/06/20	53.18	...	-14.75	107 of 135 - Sale ²⁵
2.000		38.04	07/06/20	52.30	...	-14.26	108 of 135 - Sale ²⁵
3.000		57.65	07/06/20	70.69	...	-13.04	109 of 135 - Sale ²⁵
2.000		38.85	07/06/20	51.86	...	-13.01	110 of 135 - Sale ²⁵
3.000		57.65	07/06/20	70.46	...	-12.81	111 of 135 - Sale ²⁵
3.000		57.65	07/06/20	69.73	...	-12.08	112 of 135 - Sale ²⁵
3.000		57.65	07/06/20	69.67	...	-12.02	113 of 135 - Sale ²⁵
4.000		76.87	07/06/20	87.87	...	-11.00	114 of 135 - Sale ²⁵
4.000		76.87	07/06/20	87.86	...	-10.99	115 of 135 - Sale ²⁵
2.000		38.43	07/06/20	47.19	...	-8.76	116 of 135 - Sale ²⁵
2.000		38.43	07/06/20	46.84	...	-8.41	117 of 135 - Sale ²⁵
2.000		38.43	07/06/20	46.54	...	-8.11	118 of 135 - Sale ²⁵
2.000		38.43	07/06/20	46.50	...	-8.07	119 of 135 - Sale ²⁵
2.000		38.43	07/06/20	46.43	...	-8.00	120 of 135 - Sale ²⁵
1.000		19.22	07/06/20	26.67	...	-7.45	121 of 135 - Sale ²⁵
1.000		19.22	07/06/20	26.67	...	-7.45	122 of 135 - Sale ²⁵

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Robinhood Securities LLC	Proceeds from Broker and Barter Exchange Transactions	Account 412265225
2020 1099-B* OMB No. 1545-0715	(continued)	02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	1.000	19.42	07/06/20	26.83	...	-7.41	123 of 135 - Sale ²⁵
	1.000	19.22	07/06/20	26.61	...	-7.39	124 of 135 - Sale ²⁵
	1.000	19.22	07/06/20	26.61	...	-7.39	125 of 135 - Sale ²⁵
	1.000	19.22	07/06/20	26.61	...	-7.39	126 of 135 - Sale ²⁵
	1.000	19.42	07/06/20	25.93	...	-6.51	127 of 135 - Sale ²⁵
	1.000	19.42	07/06/20	25.93	...	-6.51	128 of 135 - Sale ²⁵
	1.000	19.42	07/06/20	25.49	...	-6.07	129 of 135 - Sale ²⁵
	2.000	38.43	07/06/20	43.96	...	-5.53	130 of 135 - Sale ²⁵
	1.000	19.42	07/06/20	24.10	...	-4.68	131 of 135 - Sale ²⁵
	1.000	19.22	07/06/20	23.59	...	-4.37	132 of 135 - Sale ²⁵
	2.000	38.43	07/06/20	42.77	...	-4.34	133 of 135 - Sale ²⁵
	1.000	19.22	07/06/20	23.43	...	-4.21	134 of 135 - Sale ²⁵
	1.000	19.22	07/06/20	23.24	...	-4.02	135 of 135 - Sale ²⁵
07/06/20	742.000	14,386.21	Various	18,498.97	...	-4,112.76	Total of 135 transactions
	33 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	36.000	755.96	07/02/20	984.05	152.06 W	-76.03	1 of 33 - Sale ²⁵
	1.000	21.00	07/02/20	24.74	3.74 W	0.00	2 of 33 - Sale ²⁵
	2.000	42.00	07/02/20	49.12	7.12 W	0.00	3 of 33 - Sale ²⁵
	2.000	42.00	07/02/20	49.46	7.46 W	0.00	4 of 33 - Sale ²⁵
	2.000	42.00	07/02/20	49.05	7.05 W	0.00	5 of 33 - Sale ²⁵
	3.000	63.00	07/02/20	73.66	10.66 W	0.00	6 of 33 - Sale ²⁵
	4.000	84.00	07/02/20	93.27	9.27 W	0.00	7 of 33 - Sale ²⁵
	4.000	84.00	07/02/20	93.26	9.26 W	0.00	8 of 33 - Sale ²⁵
	4.000	84.00	07/02/20	98.22	14.22 W	0.00	9 of 33 - Sale ²⁵
	5.000	105.00	07/02/20	122.89	17.89 W	0.00	10 of 33 - Sale ²⁵
	7.000	147.00	07/02/20	171.91	24.91 W	0.00	11 of 33 - Sale ²⁵
	7.000	146.99	07/02/20	172.60	25.61 W	0.00	12 of 33 - Sale ²⁵
	13.000	272.99	07/02/20	319.25	46.26 W	0.00	13 of 33 - Sale ²⁵
	17.000	356.99	07/02/20	396.61	39.62 W	0.00	14 of 33 - Sale ²⁵
	1.000	21.00	07/02/20	27.98	6.98 W	0.00	15 of 33 - Sale ²⁵
	1.000	21.00	07/02/20	27.98	6.98 W	0.00	16 of 33 - Sale ²⁵
	1.000	21.00	07/02/20	27.92	6.92 W	0.00	17 of 33 - Sale ²⁵
	2.000	42.00	07/02/20	55.95	13.95 W	0.00	18 of 33 - Sale ²⁵
	2.000	42.00	07/02/20	55.93	13.93 W	0.00	19 of 33 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	2.000	42.00	07/02/20	55.84	13.84 W	0.00	20 of 33 - Sale ²⁵
	2.000	42.00	07/02/20	55.80	13.80 W	0.00	21 of 33 - Sale ²⁵
	5.000	105.00	07/02/20	139.91	34.91 W	0.00	22 of 33 - Sale ²⁵
	5.000	105.00	07/02/20	139.84	34.84 W	0.00	23 of 33 - Sale ²⁵
	8.000	167.99	07/02/20	223.37	55.38 W	0.00	24 of 33 - Sale ²⁵
	1.000	21.00	07/02/20	24.90	3.90 W	0.00	25 of 33 - Sale ²⁵
	1.000	21.00	07/02/20	24.55	3.55 W	0.00	26 of 33 - Sale ²⁵
	2.000	42.00	07/02/20	49.81	7.81 W	0.00	27 of 33 - Sale ²⁵
	3.000	63.00	07/02/20	74.61	11.61 W	0.00	28 of 33 - Sale ²⁵
	19.000	398.98	07/02/20	471.03	72.05 W	0.00	29 of 33 - Sale ²⁵
	28.000	587.98	07/02/20	687.63	99.65 W	0.00	30 of 33 - Sale ²⁵
	2.000	42.00	07/02/20	55.68	13.68 W	0.00	31 of 33 - Sale ²⁵
	4.000	84.00	07/02/20	111.37	27.37 W	0.00	32 of 33 - Sale ²⁵
	4.000	84.00	07/02/20	111.35	27.35 W	0.00	33 of 33 - Sale ²⁵
07/06/20	200.000	4,199.88	Various	5,119.54	843.63 W	-76.03	Total of 33 transactions
	Security total:	246,860.95		280,421.18	29,952.10 W	-3,608.13	
WPX ENERGY, INC. / CUSIP: 98212B103 / Symbol:							
04/03/20	125.000	484.99	04/02/20	400.00	...	84.99	Sale ²⁵
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U604 / Symbol:							
2 transactions for 03/27/20. Total proceeds and cost reported to the IRS.							
	64.000	17.27	03/26/20	14.72	...	2.55	1 of 2 - Sale ²⁵
	436.000	117.67	03/26/20	100.28	...	17.39	2 of 2 - Sale ²⁵
03/27/20	500.000	134.94	Various	115.00	...	19.94	Total of 2 transactions
03/30/20	1,000.000	239.88	03/27/20	270.00	30.12 W	0.00	Sale ²⁵
5 transactions for 04/02/20. Total proceeds and cost reported to the IRS.							
	25.000	4.25	04/01/20	5.75	1.50 W	0.00	1 of 5 - Sale ²⁵
	50.000	8.51	04/01/20	11.51	3.00 W	0.00	2 of 5 - Sale ²⁵
	530.000	90.04	04/01/20	121.96	31.92 W	0.00	3 of 5 - Sale ²⁵
	143.000	24.29	04/01/20	32.91	8.62 W	0.00	4 of 5 - Sale ²⁵
	252.000	42.81	04/01/20	57.99	15.18 W	0.00	5 of 5 - Sale ²⁵
04/02/20	1,000.000	169.90	Various	230.12	60.22 W	0.00	Total of 5 transactions

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U604 / Symbol: (cont'd)							
<i>11 transactions for 04/06/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	1.75	04/03/20	1.54	...	0.21	1 of 11 - Sale ²⁵
	25.000	8.75	04/03/20	7.12	...	1.63	2 of 11 - Sale ²⁵
	48.000	16.79	04/03/20	14.76	...	2.03	3 of 11 - Sale ²⁵
	52.000	18.19	04/03/20	15.98	...	2.21	4 of 11 - Sale ²⁵
	50.000	17.49	04/03/20	14.25	...	3.24	5 of 11 - Sale ²⁵
	95.000	33.24	04/03/20	29.21	...	4.03	6 of 11 - Sale ²⁵
	100.000	34.99	04/03/20	30.75	...	4.24	7 of 11 - Sale ²⁵
	100.000	34.99	04/03/20	30.74	...	4.25	8 of 11 - Sale ²⁵
	100.000	34.99	04/03/20	30.74	...	4.25	9 of 11 - Sale ²⁵
	425.000	148.69	04/03/20	121.18	...	27.51	10 of 11 - Sale ²⁵
	500.000	174.93	04/03/20	124.95	...	49.98	11 of 11 - Sale ²⁵
04/06/20	1,500.000	524.80	Various	421.22	...	103.58	Total of 11 transactions
<i>6 transactions for 04/28/20. Total proceeds and cost reported to the IRS.</i>							
	8.000	4.48	04/27/20	4.88	0.40 W	0.00	1 of 6 - Sale ²⁵
	100.000	55.39	04/27/20	61.00	5.61 W	0.00	2 of 6 - Sale ²⁵
	192.000	105.96	04/27/20	117.12	11.16 W	0.00	3 of 6 - Sale ²⁵
	8.000	4.41	04/27/20	5.41	1.00 W	0.00	4 of 6 - Sale ²⁵
	100.000	55.19	04/27/20	68.20	13.01 W	0.00	5 of 6 - Sale ²⁵
	92.000	50.77	04/27/20	57.58	6.81 W	0.00	6 of 6 - Sale ²⁵
04/28/20	500.000	276.20	Various	314.19	37.99 W	0.00	Total of 6 transactions
<i>5 transactions for 05/01/20. Total proceeds and cost reported to the IRS.</i>							
	8.000	3.84	04/30/20	5.04	1.20 W	0.00	1 of 5 - Sale ²⁵
	100.000	47.99	04/30/20	63.52	15.53 W	0.00	2 of 5 - Sale ²⁵
	92.000	44.15	04/30/20	53.28	9.13 W	0.00	3 of 5 - Sale ²⁵
	192.000	92.14	04/30/20	108.14	16.00 W	0.00	4 of 5 - Sale ²⁵
	108.000	51.82	04/30/20	54.55	2.73 W	0.00	5 of 5 - Sale ²⁵
05/01/20	500.000	239.94	Various	284.53	44.59 W	0.00	Total of 5 transactions
<i>3 transactions for 05/06/20. Total proceeds and cost reported to the IRS.</i>							
	8.000	3.90	05/05/20	5.18	1.28 W	0.00	1 of 3 - Sale ²⁵
	100.000	48.70	05/05/20	65.32	16.62 W	0.00	2 of 3 - Sale ²⁵
	92.000	44.80	05/05/20	53.48	8.68 W	0.00	3 of 3 - Sale ²⁵
05/06/20	200.000	97.40	Various	123.98	26.58 W	0.00	Total of 3 transactions

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U604 / Symbol: (cont'd)							
05/26/20	100.000	94.47	05/21/20	60.12	...	34.35	Sale ²⁵
	9 transactions for 05/26/20. Total proceeds and cost reported to the IRS.						
	50.000	47.23	05/22/20	53.86	6.63 W	0.00	1 of 9 - Sale ²⁵
	42.000	39.68	05/22/20	46.59	6.91 W	0.00	2 of 9 - Sale ²⁵
	8.000	7.56	05/22/20	8.28	0.72 W	0.00	3 of 9 - Sale ²⁵
	10.000	9.45	05/22/20	10.65	1.20 W	0.00	4 of 9 - Sale ²⁵
	40.000	37.78	05/22/20	42.61	4.83 W	0.00	5 of 9 - Sale ²⁵
	8.000	7.20	05/26/20	9.15	1.95 W	0.00	6 of 9 - Sale ²⁵
	100.000	89.99	05/26/20	115.02	25.03 W	0.00	7 of 9 - Sale ²⁵
	92.000	82.79	05/26/20	99.21	16.42 W	0.00	8 of 9 - Sale ²⁵
	50.000	44.99	05/26/20	50.47	5.48 W	0.00	9 of 9 - Sale ²⁵
05/26/20	400.000	366.67	Various	435.84	69.17 W	0.00	Total of 9 transactions
05/28/20	100.000	90.19	05/27/20	86.30	...	3.89	Sale ²⁵
	9 transactions for 05/28/20. Total proceeds and cost reported to the IRS.						
	42.000	37.88	05/27/20	43.15	5.27 W	0.00	1 of 9 - Sale ²⁵
	50.000	45.09	05/27/20	49.78	4.69 W	0.00	2 of 9 - Sale ²⁵
	8.000	7.22	05/27/20	7.62	0.40 W	0.00	3 of 9 - Sale ²⁵
	10.000	9.02	05/27/20	9.83	0.81 W	0.00	4 of 9 - Sale ²⁵
	40.000	36.07	05/27/20	39.35	3.28 W	0.00	5 of 9 - Sale ²⁵
	8.000	7.22	05/27/20	8.85	1.63 W	0.00	6 of 9 - Sale ²⁵
	100.000	90.19	05/27/20	111.32	21.13 W	0.00	7 of 9 - Sale ²⁵
	92.000	82.97	05/27/20	95.81	12.84 W	0.00	8 of 9 - Sale ²⁵
	50.000	45.09	05/27/20	48.62	3.53 W	0.00	9 of 9 - Sale ²⁵
05/28/20	400.000	360.75	Various	414.33	53.58 W	0.00	Total of 9 transactions
	10 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	8.000	20.00	06/04/20	15.63	...	4.37	1 of 10 - Sale ²⁵
	8.000	20.00	06/04/20	13.52	...	6.48	2 of 10 - Sale ²⁵
	10.000	25.00	06/04/20	18.31	...	6.69	3 of 10 - Sale ²⁵
	14.000	35.00	06/04/20	27.46	...	7.54	4 of 10 - Sale ²⁵
	28.000	70.00	06/04/20	54.91	...	15.09	5 of 10 - Sale ²⁵
	42.000	104.99	06/04/20	79.36	...	25.63	6 of 10 - Sale ²⁵
	40.000	99.99	06/04/20	73.28	...	26.71	7 of 10 - Sale ²⁵
	42.000	105.00	06/04/20	74.15	...	30.85	8 of 10 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U604 / Symbol: (cont'd)							
	58.000	144.99	06/04/20	113.76	...	31.23	9 of 10 - Sale ²⁵
	50.000	124.99	06/04/20	86.69	...	38.30	10 of 10 - Sale ²⁵
06/05/20	300.000	749.96	Various	557.07	...	192.89	Total of 10 transactions
06/09/20	25.000	50.25	06/08/20	55.00	...	-4.75	Sale ²⁵
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	50.000	100.50	06/08/20	108.98	8.48 W	0.00	1 of 2 - Sale ²⁵
	50.000	100.49	06/08/20	105.52	5.03 W	0.00	2 of 2 - Sale ²⁵
06/09/20	100.000	200.99	Various	214.50	13.51 W	0.00	Total of 2 transactions
	Security total:	3,596.34		3,582.20	335.76 W	349.90	
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U703 / Symbol:							
2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	16.333	78.40	06/10/20	97.74	19.34 W	0.00	1 of 2 - Sale ²⁵
	16.667	80.00	06/10/20	96.28	16.28 W	0.00	2 of 2 - Sale ²⁵
06/11/20	33.000	158.40	Various	194.02	35.62 W	0.00	Total of 2 transactions
5 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	16.333	83.78	06/22/20	105.03	21.25 W	0.00	1 of 5 - Sale ²⁵
	16.667	85.49	06/22/20	103.72	18.23 W	0.00	2 of 5 - Sale ²⁵
	8.333	42.75	06/22/20	48.47	5.72 W	0.00	3 of 5 - Sale ²⁵
	108.667	557.41	06/22/20	570.11	12.70 W	0.00	4 of 5 - Sale ²⁵
	100.000	512.97	06/22/20	524.64	11.67 W	0.00	5 of 5 - Sale ²⁵
06/22/20	250.000	1,282.40	Various	1,351.97	69.57 W	0.00	Total of 5 transactions
15 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	250.000	1,244.93	06/22/20	1,311.60	66.67 W	0.00	1 of 15 - Sale ²⁵
	16.333	81.34	06/22/20	107.41	26.07 W	0.00	2 of 15 - Sale ²⁵
	16.667	83.00	06/22/20	106.15	23.15 W	0.00	3 of 15 - Sale ²⁵
	8.333	41.50	06/22/20	49.68	8.18 W	0.00	4 of 15 - Sale ²⁵
	8.667	43.15	06/22/20	46.73	3.58 W	0.00	5 of 15 - Sale ²⁵
	50.000	248.49	06/22/20	277.08	28.59 W	0.00	6 of 15 - Sale ²⁵
	100.000	496.98	06/22/20	539.17	42.19 W	0.00	7 of 15 - Sale ²⁵
	100.000	496.98	06/22/20	539.18	42.20 W	0.00	8 of 15 - Sale ²⁵
	200.000	993.95	06/22/20	1,108.34	114.39 W	0.00	9 of 15 - Sale ²⁵
	1.000	4.97	06/22/20	6.97	2.00 W	0.00	10 of 15 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U703 / Symbol: (cont'd)							
	15.333	76.20	06/22/20	105.56	29.36 W	0.00	11 of 15 - Sale ²⁵
	16.667	82.83	06/22/20	111.29	28.46 W	0.00	12 of 15 - Sale ²⁵
	8.333	41.41	06/22/20	52.25	10.84 W	0.00	13 of 15 - Sale ²⁵
	8.667	43.07	06/22/20	49.41	6.34 W	0.00	14 of 15 - Sale ²⁵
	200.000	993.96	06/22/20	1,057.71	63.75 W	0.00	15 of 15 - Sale ²⁵
06/23/20	1,000.000	4,972.76	Various	5,468.53	495.77 W	0.00	Total of 15 transactions
5 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	50.000	247.49	06/24/20	280.09	32.60 W	0.00	1 of 5 - Sale ²⁵
	49.000	242.55	06/24/20	267.15	24.60 W	0.00	2 of 5 - Sale ²⁵
	1.000	4.90	06/24/20	5.45	0.55 W	0.00	3 of 5 - Sale ²⁵
	49.000	240.14	06/24/20	261.75	21.61 W	0.00	4 of 5 - Sale ²⁵
	50.000	245.05	06/24/20	267.10	22.05 W	0.00	5 of 5 - Sale ²⁵
06/24/20	199.000	980.13	Various	1,081.54	101.41 W	0.00	Total of 5 transactions
29 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	135.000	613.11	06/29/20	676.86	...	-63.75	1 of 29 - Sale ²⁵
	70.000	317.91	06/29/20	358.55	...	-40.64	2 of 29 - Sale ²⁵
	48.000	202.55	06/29/20	238.18	...	-35.63	3 of 29 - Sale ²⁵
	51.000	231.62	06/29/20	266.93	...	-35.31	4 of 29 - Sale ²⁵
	16.667	70.33	06/29/20	100.29	...	-29.96	5 of 29 - Sale ²⁵
	39.000	173.87	06/29/20	200.10	...	-26.23	6 of 29 - Sale ²⁵
	51.000	227.45	06/29/20	251.53	...	-24.08	7 of 29 - Sale ²⁵
	49.000	218.52	06/29/20	242.59	...	-24.07	8 of 29 - Sale ²⁵
	39.000	177.12	06/29/20	199.77	...	-22.65	9 of 29 - Sale ²⁵
	27.000	120.37	06/29/20	140.04	...	-19.67	10 of 29 - Sale ²⁵
	9.000	37.98	06/29/20	56.02	...	-18.04	11 of 29 - Sale ²⁵
	29.000	131.70	06/29/20	148.26	...	-16.56	12 of 29 - Sale ²⁵
	22.000	98.08	06/29/20	114.11	...	-16.03	13 of 29 - Sale ²⁵
	273.000	1,217.52	06/29/20	1,231.18	...	-13.66	14 of 29 - Sale ²⁵
	28.000	127.16	06/29/20	140.11	...	-12.95	15 of 29 - Sale ²⁵
	6.333	26.73	06/29/20	39.42	...	-12.69	16 of 29 - Sale ²⁵
	8.333	35.17	06/29/20	46.76	...	-11.59	17 of 29 - Sale ²⁵
	22.000	99.92	06/29/20	110.09	...	-10.17	18 of 29 - Sale ²⁵
	8.667	36.57	06/29/20	43.69	...	-7.12	19 of 29 - Sale ²⁵
	10.000	44.58	06/29/20	51.31	...	-6.73	20 of 29 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U703 / Symbol: (cont'd)							
	14.000	63.58	06/29/20	69.58	...	-6.00	21 of 29 - Sale ²⁵
	10.000	45.42	06/29/20	51.12	...	-5.70	22 of 29 - Sale ²⁵
	1.000	4.22	06/29/20	6.31	...	-2.09	23 of 29 - Sale ²⁵
	26.000	115.95	06/29/20	117.26	...	-1.31	24 of 29 - Sale ²⁵
	2.000	9.08	06/29/20	10.38	...	-1.30	25 of 29 - Sale ²⁵
	1.000	4.46	06/29/20	5.24	...	-0.78	26 of 29 - Sale ²⁵
	1.000	4.22	06/29/20	4.88	...	-0.66	27 of 29 - Sale ²⁵
	1.000	4.46	06/29/20	5.00	...	-0.54	28 of 29 - Sale ²⁵
	1.000	4.22	06/29/20	4.51	...	-0.29	29 of 29 - Sale ²⁵
06/29/20	999.000	4,463.87	Various	4,930.07	...	-466.20	Total of 29 transactions
23 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	11.000	49.06	06/29/20	54.46	4.91 W	-0.49	1 of 23 - Sale ²⁵
	1.000	4.46	06/29/20	5.06	0.60 W	0.00	2 of 23 - Sale ²⁵
	22.000	98.12	06/29/20	110.26	12.14 W	0.00	3 of 23 - Sale ²⁵
	39.000	173.93	06/29/20	193.08	19.15 W	0.00	4 of 23 - Sale ²⁵
	90.000	401.38	06/29/20	458.28	56.90 W	0.00	5 of 23 - Sale ²⁵
	177.000	789.38	06/29/20	854.65	65.27 W	0.00	6 of 23 - Sale ²⁵
	27.000	120.42	06/29/20	135.32	14.90 W	0.00	7 of 23 - Sale ²⁵
	23.000	102.58	06/29/20	111.06	8.48 W	0.00	8 of 23 - Sale ²⁵
	10.000	44.60	06/29/20	50.32	5.72 W	0.00	9 of 23 - Sale ²⁵
	29.000	129.33	06/29/20	145.93	16.60 W	0.00	10 of 23 - Sale ²⁵
	51.000	227.45	06/29/20	248.98	21.53 W	0.00	11 of 23 - Sale ²⁵
	160.000	713.56	06/29/20	806.71	93.15 W	0.00	12 of 23 - Sale ²⁵
	1.000	4.46	06/29/20	6.51	2.05 W	0.00	13 of 23 - Sale ²⁵
	9.000	40.14	06/29/20	57.82	17.68 W	0.00	14 of 23 - Sale ²⁵
	6.333	28.25	06/29/20	40.69	12.44 W	0.00	15 of 23 - Sale ²⁵
	16.667	74.33	06/29/20	103.62	29.29 W	0.00	16 of 23 - Sale ²⁵
	8.333	37.16	06/29/20	48.42	11.26 W	0.00	17 of 23 - Sale ²⁵
	1.000	4.46	06/29/20	5.08	0.62 W	0.00	18 of 23 - Sale ²⁵
	8.667	38.65	06/29/20	45.42	6.77 W	0.00	19 of 23 - Sale ²⁵
	10.000	44.60	06/29/20	50.82	6.22 W	0.00	20 of 23 - Sale ²⁵
	29.000	129.33	06/29/20	147.38	18.05 W	0.00	21 of 23 - Sale ²⁵
	50.000	222.99	06/29/20	258.09	35.10 W	0.00	22 of 23 - Sale ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U703 / Symbol: (cont'd)							
	70.000	312.19	06/29/20	356.44	44.25 W	0.00	23 of 23 - Sale ²⁵
06/29/20	850.000	3,790.83	Various	4,294.40	503.08 W	-0.49	Total of 23 transactions
	Security total:	15,648.39		17,320.53	1,205.45 W	-466.69	
YAMANA GOLD, INC. / CUSIP: 98462Y100 / Symbol:							
04/14/20	45.000	193.50	04/13/20	183.49	...	10.01	Sale ²⁵
06/01/20	50.000	268.50	05/29/20	270.00	...	-1.50	Sale ²⁵
	Security total:	462.00		453.49	...	8.51	
ZOMEDICA PHARMACEUTICALS CORP. / CUSIP: 98979F107 / Symbol:							
2 transactions for 03/11/20. Total proceeds and cost reported to the IRS.							
	200.000	44.84	03/10/20	50.00	5.16 W	0.00	1 of 2 - Sale ²⁵
	300.000	67.25	03/10/20	76.47	9.22 W	0.00	2 of 2 - Sale ²⁵
03/11/20	500.000	112.09	Various	126.47	14.38 W	0.00	Total of 2 transactions
13 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	200.000	27.14	04/08/20	32.38	5.24 W	0.00	1 of 13 - Sale ²⁵
	300.000	40.70	04/08/20	50.05	9.35 W	0.00	2 of 13 - Sale ²⁵
	1,300.000	176.39	04/08/20	176.93	0.54 W	0.00	3 of 13 - Sale ²⁵
	100.000	13.57	04/08/20	13.61	0.04 W	0.00	4 of 13 - Sale ²⁵
	300.000	40.70	04/08/20	40.83	0.13 W	0.00	5 of 13 - Sale ²⁵
	100.000	13.49	04/08/20	16.23	2.74 W	0.00	6 of 13 - Sale ²⁵
	100.000	13.49	04/08/20	16.23	2.74 W	0.00	7 of 13 - Sale ²⁵
	300.000	40.46	04/08/20	50.18	9.72 W	0.00	8 of 13 - Sale ²⁵
	100.000	13.49	04/08/20	13.65	0.16 W	0.00	9 of 13 - Sale ²⁵
	300.000	40.46	04/08/20	40.96	0.50 W	0.00	10 of 13 - Sale ²⁵
	1,300.000	175.35	04/08/20	177.47	2.12 W	0.00	11 of 13 - Sale ²⁵
	500.000	67.44	04/08/20	68.05	0.61 W	0.00	12 of 13 - Sale ²⁵
	100.000	13.49	04/08/20	13.61	0.12 W	0.00	13 of 13 - Sale ²⁵
04/09/20	5,000.000	676.17	Various	710.18	34.01 W	0.00	Total of 13 transactions
8 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	100.000	18.89	04/28/20	24.18	5.29 W	0.00	1 of 8 - Sale ²⁵
	100.000	18.89	04/28/20	24.17	5.28 W	0.00	2 of 8 - Sale ²⁵
	300.000	56.66	04/28/20	74.03	17.37 W	0.00	3 of 8 - Sale ²⁵
	500.000	94.44	04/28/20	107.99	13.55 W	0.00	4 of 8 - Sale ²⁵

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(continued)

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ZOMEDICA PHARMACEUTICALS CORP. / CUSIP: 98979F107 / Symbol: (cont'd)							
	500.000	94.44	04/28/20	108.21	13.77 W	0.00	5 of 8 - Sale ²⁵
	300.000	56.66	04/28/20	65.26	8.60 W	0.00	6 of 8 - Sale ²⁵
	100.000	18.89	04/28/20	21.75	2.86 W	0.00	7 of 8 - Sale ²⁵
	100.000	18.89	04/28/20	21.76	2.87 W	0.00	8 of 8 - Sale ²⁵
04/29/20	2,000.000	377.76	Various	447.35	69.59 W	0.00	Total of 8 transactions
	2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.						
	500.000	87.18	04/30/20	90.24	...	-3.06	1 of 2 - Sale ²⁵
	100.000	17.44	04/30/20	18.04	...	-0.60	2 of 2 - Sale ²⁵
05/01/20	600.000	104.62	Various	108.28	...	-3.66	Total of 2 transactions
	3 transactions for 05/01/20. Total proceeds and cost reported to the IRS.						
	200.000	34.88	04/30/20	36.18	0.72 W	-0.58	1 of 3 - Sale ²⁵
	100.000	17.44	04/30/20	23.22	5.78 W	0.00	2 of 3 - Sale ²⁵
	100.000	17.44	04/30/20	23.20	5.76 W	0.00	3 of 3 - Sale ²⁵
05/01/20	400.000	69.76	Various	82.60	12.26 W	-0.58	Total of 3 transactions
05/06/20	10.000	1.92	05/05/20	2.83	...	-0.91	Sale ²⁵
	9 transactions for 05/08/20. Total proceeds and cost reported to the IRS.						
	44.000	13.20	05/07/20	12.58	...	0.62	1 of 9 - Sale ²⁵
	44.000	13.19	05/07/20	11.24	...	1.95	2 of 9 - Sale ²⁵
	60.000	17.99	05/07/20	15.33	...	2.66	3 of 9 - Sale ²⁵
	246.000	73.77	05/07/20	70.33	...	3.44	4 of 9 - Sale ²⁵
	154.000	46.18	05/07/20	39.29	...	6.89	5 of 9 - Sale ²⁵
	186.000	55.78	05/07/20	47.54	...	8.24	6 of 9 - Sale ²⁵
	210.000	62.97	05/07/20	53.90	...	9.07	7 of 9 - Sale ²⁵
	210.000	62.98	05/07/20	53.66	...	9.32	8 of 9 - Sale ²⁵
	346.000	103.76	05/07/20	88.26	...	15.50	9 of 9 - Sale ²⁵
05/08/20	1,500.000	449.82	Various	392.13	...	57.69	Total of 9 transactions
	2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.						
	10.000	2.44	05/11/20	2.93	0.49 W	0.00	1 of 2 - Sale ²⁵
	90.000	21.96	05/11/20	26.32	4.36 W	0.00	2 of 2 - Sale ²⁵
05/12/20	100.000	24.40	Various	29.25	4.85 W	0.00	Total of 2 transactions

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(continued)

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ZOMEDICA PHARMACEUTICALS CORP. / CUSIP: 98979F107 / Symbol: (cont'd)							
2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	75.000	16.49	05/18/20	17.53	...	-1.04	1 of 2 - Sale ²⁵
	25.000	5.50	05/18/20	5.84	...	-0.34	2 of 2 - Sale ²⁵
05/20/20	100.000	21.99	Various	23.37	...	-1.38	Total of 2 transactions
6 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	100.000	22.19	05/18/20	28.50	6.31 W	0.00	1 of 6 - Sale ²⁵
	100.000	22.01	05/18/20	28.48	6.47 W	0.00	2 of 6 - Sale ²⁵
	90.000	19.81	05/18/20	23.02	3.21 W	0.00	3 of 6 - Sale ²⁵
	10.000	2.20	05/18/20	2.56	0.36 W	0.00	4 of 6 - Sale ²⁵
	90.000	19.81	05/18/20	23.03	3.22 W	0.00	5 of 6 - Sale ²⁵
	10.000	2.20	05/18/20	2.34	0.14 W	0.00	6 of 6 - Sale ²⁵
05/20/20	400.000	88.22	Various	107.93	19.71 W	0.00	Total of 6 transactions
8 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	100.000	17.89	06/10/20	29.17	11.28 W	0.00	1 of 8 - Sale ²⁵
	100.000	17.89	06/10/20	29.33	11.44 W	0.00	2 of 8 - Sale ²⁵
	10.000	1.79	06/10/20	2.65	0.86 W	0.00	3 of 8 - Sale ²⁵
	10.000	1.79	06/10/20	2.43	0.64 W	0.00	4 of 8 - Sale ²⁵
	90.000	16.10	06/10/20	23.78	7.68 W	0.00	5 of 8 - Sale ²⁵
	90.000	16.10	06/10/20	23.79	7.69 W	0.00	6 of 8 - Sale ²⁵
	10.000	1.79	06/10/20	2.78	0.99 W	0.00	7 of 8 - Sale ²⁵
	90.000	16.09	06/10/20	24.93	8.84 W	0.00	8 of 8 - Sale ²⁵
06/11/20	500.000	89.44	Various	138.86	49.42 W	0.00	Total of 8 transactions
06/23/20	500.000	100.03	06/22/20	99.80	...	0.23	Sale ²⁵
8 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	100.000	20.01	06/22/20	31.24	11.23 W	0.00	1 of 8 - Sale ²⁵
	100.000	20.01	06/22/20	31.40	11.39 W	0.00	2 of 8 - Sale ²⁵
	10.000	2.00	06/22/20	2.86	0.86 W	0.00	3 of 8 - Sale ²⁵
	10.000	2.00	06/22/20	2.64	0.64 W	0.00	4 of 8 - Sale ²⁵
	90.000	18.01	06/22/20	25.64	7.63 W	0.00	5 of 8 - Sale ²⁵
	90.000	18.01	06/22/20	25.65	7.64 W	0.00	6 of 8 - Sale ²⁵
	10.000	2.00	06/22/20	2.99	0.99 W	0.00	7 of 8 - Sale ²⁵
	90.000	18.01	06/22/20	26.80	8.79 W	0.00	8 of 8 - Sale ²⁵
06/23/20	500.000	100.05	Various	149.22	49.17 W	0.00	Total of 8 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ZOMEDICA PHARMACEUTICALS CORP. / CUSIP: 98979F107 / Symbol: (cont'd)							
8 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	100.000	21.70	06/25/20	35.09	...	-13.39	1 of 8 - Sale ²⁵
	100.000	21.70	06/25/20	34.93	...	-13.23	2 of 8 - Sale ²⁵
	90.000	19.52	06/25/20	30.12	...	-10.60	3 of 8 - Sale ²⁵
	90.000	19.52	06/25/20	28.96	...	-9.44	4 of 8 - Sale ²⁵
	90.000	19.53	06/25/20	28.97	...	-9.44	5 of 8 - Sale ²⁵
	10.000	2.17	06/25/20	3.36	...	-1.19	6 of 8 - Sale ²⁵
	10.000	2.17	06/25/20	3.23	...	-1.06	7 of 8 - Sale ²⁵
	10.000	2.17	06/25/20	3.01	...	-0.84	8 of 8 - Sale ²⁵
06/25/20	500.000	108.48	Various	167.67	...	-59.19	Total of 8 transactions
	Security total:	2,324.75		2,585.94	253.39 W	-7.80	
ZOSANO PHARMA CORPORATION COMM ON STOCK / CUSIP: 98979H202 / Symbol:							
3 transactions for 03/05/20. Total proceeds and cost reported to the IRS.							
	100.000	69.92	03/04/20	116.00	46.08 W	0.00	1 of 3 - Sale ²⁵
	100.000	69.89	03/04/20	116.00	46.11 W	0.00	2 of 3 - Sale ²⁵
	100.000	69.89	03/04/20	166.08	96.19 W	0.00	3 of 3 - Sale ²⁵
03/05/20	300.000	209.70	Various	398.08	188.38 W	0.00	Total of 3 transactions
4 transactions for 03/23/20. Total proceeds and cost reported to the IRS.							
	100.000	45.99	03/20/20	147.18	101.19 W	0.00	1 of 4 - Sale ²⁵
	100.000	45.99	03/20/20	97.10	51.11 W	0.00	2 of 4 - Sale ²⁵
	150.000	68.98	03/20/20	76.49	7.51 W	0.00	3 of 4 - Sale ²⁵
	50.000	22.99	03/20/20	25.50	2.51 W	0.00	4 of 4 - Sale ²⁵
03/23/20	400.000	183.95	Various	346.27	162.32 W	0.00	Total of 4 transactions
7 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	88.000	60.71	04/13/20	149.59	...	-88.88	1 of 7 - Sale ²⁵
	100.000	68.99	04/13/20	119.91	...	-50.92	2 of 7 - Sale ²⁵
	9.000	6.21	04/13/20	15.30	...	-9.09	3 of 7 - Sale ²⁵
	150.000	103.48	04/13/20	110.71	...	-7.23	4 of 7 - Sale ²⁵
	3.000	2.07	04/13/20	5.10	...	-3.03	5 of 7 - Sale ²⁵
	50.000	34.49	04/13/20	36.91	...	-2.42	6 of 7 - Sale ²⁵
	100.000	68.99	04/13/20	68.80	...	0.19	7 of 7 - Sale ²⁵
04/14/20	500.000	344.94	Various	506.32	...	-161.38	Total of 7 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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ZOSANO PHARMA CORPORATION COMM ON STOCK / CUSIP: 98979H202 / Symbol: (cont'd)							
Security total:		738.59		1,250.67	350.70 W	-161.38	
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
06/04/20	1.000	222.51	06/03/20	219.73	...	2.78	Sale ²⁵
06/25/20	5.000	1,272.20	06/25/20	1,288.15	...	-15.95	Sale ²⁵
Security total:		1,494.71		1,507.88	...	-13.17	
ZYNGA INC. CLASS A COMMON STOC K / CUSIP: 98986T108 / Symbol:							
03/23/20	55.000	331.64	03/20/20	358.90	27.26 W	0.00	Sale ²⁵
03/24/20	50.000	317.50	03/23/20	333.15	0.94 W	-14.71	Sale ²⁵
		2 transactions for 04/21/20. Total proceeds and cost reported to the IRS.					
	5.000	37.80	04/20/20	40.88	...	-3.08	1 of 2 - Sale ²⁵
	3.000	22.68	04/20/20	23.98	...	-1.30	2 of 2 - Sale ²⁵
04/21/20	8.000	60.48	Various	64.86	...	-4.38	Total of 2 transactions
		3 transactions for 06/01/20. Total proceeds and cost reported to the IRS.					
	25.000	241.07	05/29/20	222.19	...	18.88	1 of 3 - Sale ²⁵
	25.000	239.06	05/29/20	218.25	...	20.81	2 of 3 - Sale ²⁵
	25.000	241.06	05/29/20	218.25	...	22.81	3 of 3 - Sale ²⁵
06/01/20	75.000	721.19	Various	658.69	...	62.50	Total of 3 transactions
06/22/20	50.000	470.50	06/22/20	473.35	...	-2.85	Sale ²⁵
Security total:		1,901.31		1,888.95	28.20 W	40.56	
ATLAS FINL HLDGS INC ORDINARY SHARES / CUSIP: G06207115 / Symbol:							
05/21/20	500.000	199.95	05/20/20	200.03	0.07 W	-0.01	Sale ²⁵
		5 transactions for 06/18/20. Total proceeds and cost reported to the IRS.					
	50.000	67.50	06/17/20	109.51	...	-42.01	1 of 5 - Sale ²⁵
	75.000	101.25	06/17/20	140.26	...	-39.01	2 of 5 - Sale ²⁵
	23.000	31.05	06/17/20	29.68	...	1.37	3 of 5 - Sale ²⁵
	127.000	171.44	06/17/20	163.85	...	7.59	4 of 5 - Sale ²⁵
	100.000	134.99	06/17/20	83.02	...	51.97	5 of 5 - Sale ²⁵
06/18/20	375.000	506.23	Various	526.32	...	-20.09	Total of 5 transactions
Security total:		706.18		726.35	0.07 W	-20.10	

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Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BORR DRILLING LIMITED COMMON S HARES OF PAR VALUE \$0.05 PER S HARE / CUSIP: G1466R207 / Symbol:							
02/26/20	100.000	278.99	02/25/20	298.88	19.89 W	0.00	Sale ²⁵
	14 transactions for 03/30/20. Total proceeds and cost reported to the IRS.						
	75.000	36.38	03/27/20	59.17	22.79 W	0.00	1 of 14 - Sale ²⁵
	25.000	12.13	03/27/20	19.72	7.59 W	0.00	2 of 14 - Sale ²⁵
	75.000	36.38	03/27/20	44.25	7.87 W	0.00	3 of 14 - Sale ²⁵
	25.000	12.13	03/27/20	14.75	2.62 W	0.00	4 of 14 - Sale ²⁵
	75.000	36.38	03/27/20	67.04	30.66 W	0.00	5 of 14 - Sale ²⁵
	1.000	0.48	03/27/20	0.89	0.41 W	0.00	6 of 14 - Sale ²⁵
	24.000	11.59	03/27/20	21.45	9.86 W	0.00	7 of 14 - Sale ²⁵
	47.000	22.71	03/27/20	32.66	9.95 W	0.00	8 of 14 - Sale ²⁵
	13.000	6.28	03/27/20	9.03	2.75 W	0.00	9 of 14 - Sale ²⁵
	28.000	13.53	03/27/20	19.46	5.93 W	0.00	10 of 14 - Sale ²⁵
	12.000	5.80	03/27/20	8.34	2.54 W	0.00	11 of 14 - Sale ²⁵
	23.000	11.11	03/27/20	13.57	2.46 W	0.00	12 of 14 - Sale ²⁵
	52.000	25.12	03/27/20	30.68	5.56 W	0.00	13 of 14 - Sale ²⁵
	125.000	60.37	03/27/20	73.75	13.38 W	0.00	14 of 14 - Sale ²⁵
03/30/20	600.000	290.39	Various	414.76	124.37 W	0.00	Total of 14 transactions
	4 transactions for 04/06/20. Total proceeds and cost reported to the IRS.						
	23.000	18.65	04/03/20	16.72	...	1.93	1 of 4 - Sale ²⁵
	52.000	42.17	04/03/20	37.80	...	4.37	2 of 4 - Sale ²⁵
	125.000	101.36	04/03/20	90.88	...	10.48	3 of 4 - Sale ²⁵
	100.000	81.10	04/03/20	62.00	...	19.10	4 of 4 - Sale ²⁵
04/06/20	300.000	243.28	Various	207.40	...	35.88	Total of 4 transactions
	7 transactions for 04/06/20. Total proceeds and cost reported to the IRS.						
	1.000	0.81	04/03/20	1.03	0.22 W	0.00	1 of 7 - Sale ²⁵
	24.000	19.46	04/03/20	24.74	5.28 W	0.00	2 of 7 - Sale ²⁵
	75.000	60.82	04/03/20	77.16	16.34 W	0.00	3 of 7 - Sale ²⁵
	12.000	9.73	04/03/20	9.98	0.25 W	0.00	4 of 7 - Sale ²⁵
	13.000	10.54	04/03/20	10.81	0.27 W	0.00	5 of 7 - Sale ²⁵
	28.000	22.70	04/03/20	23.29	0.59 W	0.00	6 of 7 - Sale ²⁵
	47.000	38.11	04/03/20	39.09	0.98 W	0.00	7 of 7 - Sale ²⁵
04/06/20	200.000	162.17	Various	186.10	23.93 W	0.00	Total of 7 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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BORR DRILLING LIMITED COMMON SHARES OF PAR VALUE \$0.05 PER SHARE / CUSIP: G1466R207 / Symbol: (cont'd)							
<i>9 transactions for 04/08/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.41	04/07/20	1.25	...	0.16	1 of 9 - Sale ²⁵
	1.000	1.41	04/07/20	1.25	...	0.16	2 of 9 - Sale ²⁵
	24.000	33.84	04/07/20	30.00	...	3.84	3 of 9 - Sale ²⁵
	12.000	16.92	04/07/20	12.61	...	4.31	4 of 9 - Sale ²⁵
	13.000	18.33	04/07/20	13.66	...	4.67	5 of 9 - Sale ²⁵
	28.000	39.48	04/07/20	29.43	...	10.05	6 of 9 - Sale ²⁵
	74.000	104.33	04/07/20	92.34	...	11.99	7 of 9 - Sale ²⁵
	47.000	66.26	04/07/20	49.39	...	16.87	8 of 9 - Sale ²⁵
	150.000	211.48	04/07/20	154.50	...	56.98	9 of 9 - Sale ²⁵
04/08/20	350.000	493.46	Various	384.43	...	109.03	Total of 9 transactions
<i>2 transactions for 04/15/20. Total proceeds and cost reported to the IRS.</i>							
	350.000	314.96	04/14/20	371.00	56.04 W	0.00	1 of 2 - Sale ²⁵
	100.000	89.99	04/14/20	106.00	16.01 W	0.00	2 of 2 - Sale ²⁵
04/15/20	450.000	404.95	Various	477.00	72.05 W	0.00	Total of 2 transactions
<i>5 transactions for 05/01/20. Total proceeds and cost reported to the IRS.</i>							
	275.000	220.13	04/30/20	266.78	46.65 W	0.00	1 of 5 - Sale ²⁵
	75.000	60.04	04/30/20	73.46	13.42 W	0.00	2 of 5 - Sale ²⁵
	50.000	40.02	04/30/20	48.95	8.93 W	0.00	3 of 5 - Sale ²⁵
	50.000	40.03	04/30/20	50.45	10.42 W	0.00	4 of 5 - Sale ²⁵
	50.000	40.02	04/30/20	42.45	2.43 W	0.00	5 of 5 - Sale ²⁵
05/01/20	500.000	400.24	Various	482.09	81.85 W	0.00	Total of 5 transactions
<i>2 transactions for 05/22/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.72	05/21/20	0.75	...	-0.03	1 of 2 - Sale ²⁵
	50.000	36.00	05/21/20	34.80	...	1.20	2 of 2 - Sale ²⁵
05/22/20	51.000	36.72	Various	35.55	...	1.17	Total of 2 transactions
<i>6 transactions for 05/22/20. Total proceeds and cost reported to the IRS.</i>							
	150.000	107.98	05/21/20	112.20	2.11 W	-2.11	1 of 6 - Sale ²⁵
	50.000	35.99	05/21/20	42.92	6.93 W	0.00	2 of 6 - Sale ²⁵
	50.000	35.99	05/21/20	44.41	8.42 W	0.00	3 of 6 - Sale ²⁵
	75.000	53.99	05/21/20	64.40	10.41 W	0.00	4 of 6 - Sale ²⁵
	275.000	197.96	05/21/20	233.60	35.64 W	0.00	5 of 6 - Sale ²⁵

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(continued)

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BORR DRILLING LIMITED COMMON S HARES OF PAR VALUE \$0.05 PER S HARE / CUSIP: G1466R207 / Symbol: (cont'd)							
	50.000	35.99	05/21/20	36.42	0.43 W	0.00	6 of 6 - Sale ²⁵
05/22/20	650.000	467.90	Various	533.95	63.94 W	-2.11	Total of 6 transactions
	11 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	75.000	138.74	06/08/20	240.61	...	-101.87	1 of 11 - Sale ²⁵
	75.000	138.74	06/08/20	155.16	...	-16.42	2 of 11 - Sale ²⁵
	50.000	92.49	06/08/20	104.92	...	-12.43	3 of 11 - Sale ²⁵
	50.000	92.49	06/08/20	103.43	...	-10.94	4 of 11 - Sale ²⁵
	25.000	46.25	06/08/20	51.49	...	-5.24	5 of 11 - Sale ²⁵
	50.000	92.49	06/08/20	96.98	...	-4.49	6 of 11 - Sale ²⁵
	50.000	92.49	06/08/20	96.93	...	-4.44	7 of 11 - Sale ²⁵
	20.000	37.00	06/08/20	34.59	...	2.41	8 of 11 - Sale ²⁵
	30.000	55.49	06/08/20	51.89	...	3.60	9 of 11 - Sale ²⁵
	50.000	92.50	06/08/20	86.48	...	6.02	10 of 11 - Sale ²⁵
	100.000	184.98	06/08/20	166.96	...	18.02	11 of 11 - Sale ²⁵
06/09/20	575.000	1,063.66	Various	1,189.44	...	-125.78	Total of 11 transactions
	Security total:	3,841.76		4,209.60	386.03 W	18.19	
ENDO INTERNATIONAL PLC ORDINAR Y SHARES / CUSIP: G30401106 / Symbol:							
01/06/20	5.000	22.90	12/31/19	24.29	...	-1.39	Sale ²⁵
	3 transactions for 02/25/20. Total proceeds and cost reported to the IRS.						
	2.000	13.10	02/24/20	12.82	...	0.28	1 of 3 - Sale ²⁵
	18.000	117.92	02/24/20	115.20	...	2.72	2 of 3 - Sale ²⁵
	30.000	196.54	02/24/20	192.30	...	4.24	3 of 3 - Sale ²⁵
02/25/20	50.000	327.56	Various	320.32	...	7.24	Total of 3 transactions
03/24/20	100.000	359.00	03/23/20	310.93	...	48.07	Sale ²⁵
	5 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	50.000	219.99	06/05/20	217.90	...	2.09	1 of 5 - Sale ²⁵
	50.000	219.99	06/05/20	217.75	...	2.24	2 of 5 - Sale ²⁵
	100.000	439.98	06/05/20	424.00	...	15.98	3 of 5 - Sale ²⁵
	100.000	439.97	06/08/20	467.00	...	-27.03	4 of 5 - Sale ²⁵
	50.000	219.99	06/08/20	228.90	...	-8.91	5 of 5 - Sale ²⁵
06/09/20	350.000	1,539.92	Various	1,555.55	...	-15.63	Total of 5 transactions
	Security total:	2,249.38		2,211.09	...	38.29	

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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EROS STX GLOBAL CORPORATION / CUSIP: G3788M114 / Symbol:							
02/10/20	100.000	280.37	02/07/20	295.00	...	-14.63	Sale ²⁵
04/21/20	50.000	117.50	04/20/20	145.75	...	-28.25	Sale ²⁵
4 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	100.000	308.25	06/30/20	310.00	...	-1.75	1 of 4 - Sale ²⁵
	50.000	154.12	06/30/20	154.00	...	0.12	2 of 4 - Sale ²⁵
	50.000	154.13	06/30/20	153.86	...	0.27	3 of 4 - Sale ²⁵
	50.000	154.13	06/30/20	153.50	...	0.63	4 of 4 - Sale ²⁵
06/30/20	250.000	770.63	Various	771.36	...	-0.73	Total of 4 transactions
	Security total:	1,168.50		1,212.11	...	-43.61	
LIANLUO SMART LIMITED CLASS A COMMON STOCK / CUSIP: G5478K100 / Symbol:							
03/30/20	750.000	330.66	03/27/20	314.25	...	16.41	Sale ²⁵
06/12/20	100.000	67.99	06/11/20	81.49	13.50 W	0.00	Sale ²⁵
06/15/20	100.000	57.99	06/12/20	81.00	23.01 W	0.00	Sale ²⁵
06/19/20	100.000	73.49	06/19/20	96.00	...	-22.51	Sale ²⁵
	Security total:	530.13		572.74	36.51 W	-6.10	
LUOKUNG TECHNOLOGY CORP ORDINARY SHARES / CUSIP: G56981106 / Symbol:							
6 transactions for 03/17/20. Total proceeds and cost reported to the IRS.							
	160.000	96.01	03/16/20	107.20	...	-11.19	1 of 6 - Sale ²⁵
	50.000	30.09	03/16/20	33.50	...	-3.41	2 of 6 - Sale ²⁵
	50.000	30.09	03/16/20	33.50	...	-3.41	3 of 6 - Sale ²⁵
	10.000	6.02	03/16/20	7.68	...	-1.66	4 of 6 - Sale ²⁵
	10.000	6.01	03/16/20	6.70	...	-0.69	5 of 6 - Sale ²⁵
	10.000	6.02	03/16/20	6.70	...	-0.68	6 of 6 - Sale ²⁵
03/17/20	290.000	174.24	Various	195.28	...	-21.04	Total of 6 transactions
03/17/20	10.000	6.02	03/16/20	7.00	0.98 W	0.00	Sale ²⁵
06/02/20	100.000	51.49	06/01/20	56.98	0.05 W	-5.44	Sale ²⁵
07/01/20	1.000	0.93	07/01/20	1.10	0.17 W	0.00	Sale ²⁵
5 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	300.000	216.29	07/06/20	221.91	...	-5.62	1 of 5 - Sale ²⁵
	1.000	0.72	07/06/20	0.88	...	-0.16	2 of 5 - Sale ²⁵
	50.000	36.05	07/06/20	35.49	...	0.56	3 of 5 - Sale ²⁵
	250.000	180.24	07/06/20	177.23	...	3.01	4 of 5 - Sale ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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LUOKUNG TECHNOLOGY CORP ORDINA RY SHARES / CUSIP: G56981106 / Symbol: (cont'd)							
	399.000	287.66	07/06/20	282.89	...	4.77	5 of 5 - Sale ²⁵
07/06/20	1,000.000	720.96	Various	718.40	...	2.56	Total of 5 transactions
	Security total:	953.64		978.76	1.20 W	-23.92	
MAIDEN HOLDINGS, LTD. / CUSIP: G5753U112 / Symbol:							
3 transactions for 03/09/20. Total proceeds and cost reported to the IRS.							
	114.000	122.02	03/06/20	137.94	15.92 W	0.00	1 of 3 - Sale ²⁵
	86.000	92.05	03/06/20	104.92	12.87 W	0.00	2 of 3 - Sale ²⁵
	100.000	107.04	03/06/20	122.00	14.96 W	0.00	3 of 3 - Sale ²⁵
03/09/20	300.000	321.11	Various	364.86	43.75 W	0.00	Total of 3 transactions
3 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	500.000	254.94	03/23/20	308.05	...	-53.11	1 of 3 - Sale ²⁵
	500.000	254.93	03/23/20	287.40	...	-32.47	2 of 3 - Sale ²⁵
	200.000	101.97	03/23/20	95.76	...	6.21	3 of 3 - Sale ²⁵
03/24/20	1,200.000	611.84	Various	691.21	...	-79.37	Total of 3 transactions
4 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	500.000	254.93	03/23/20	287.50	6.51 W	-26.06	1 of 4 - Sale ²⁵
	86.000	43.85	03/23/20	54.05	10.20 W	0.00	2 of 4 - Sale ²⁵
	100.000	50.99	03/23/20	62.84	11.85 W	0.00	3 of 4 - Sale ²⁵
	114.000	58.12	03/23/20	70.50	12.38 W	0.00	4 of 4 - Sale ²⁵
03/24/20	800.000	407.89	Various	474.89	40.94 W	-26.06	Total of 4 transactions
4 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	114.000	81.27	03/25/20	98.99	...	-17.72	1 of 4 - Sale ²⁵
	100.000	71.29	03/25/20	87.82	...	-16.53	2 of 4 - Sale ²⁵
	86.000	61.31	03/25/20	75.53	...	-14.22	3 of 4 - Sale ²⁵
	100.000	71.28	03/25/20	82.47	...	-11.19	4 of 4 - Sale ²⁵
03/26/20	400.000	285.15	Various	344.81	...	-59.66	Total of 4 transactions
	Security total:	1,625.99		1,875.77	84.69 W	-165.09	
MALLINCKRODT PUB LTD CO ORDINA RY SHARES / CUSIP: G5785G107 / Symbol:							
02/26/20	20.000	95.00	02/25/20	115.80	20.80 W	0.00	Sale ²⁵
2 transactions for 03/05/20. Total proceeds and cost reported to the IRS.							
	20.000	62.81	03/04/20	87.80	24.99 W	0.00	1 of 2 - Sale ²⁵

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MALLINCKRODT PUB LTD CO ORDINA RY SHARES / CUSIP: G5785G107 / Symbol: (cont'd)							
	80.000	251.25	03/04/20	268.00	16.75 W	0.00	2 of 2 - Sale ²⁵
03/05/20	100.000	314.06	Various	355.80	41.74 W	0.00	Total of 2 transactions
	2 transactions for 03/13/20. Total proceeds and cost reported to the IRS.						
	50.000	172.00	03/12/20	189.82	...	-17.82	1 of 2 - Sale ²⁵
	50.000	172.00	03/12/20	161.88	...	10.12	2 of 2 - Sale ²⁵
03/13/20	100.000	344.00	Various	351.70	...	-7.70	Total of 2 transactions
	3 transactions for 03/13/20. Total proceeds and cost reported to the IRS.						
	75.000	258.00	03/12/20	287.24	19.49 W	-9.75	1 of 3 - Sale ²⁵
	20.000	68.80	03/12/20	89.74	20.94 W	0.00	2 of 3 - Sale ²⁵
	80.000	275.20	03/12/20	275.75	0.55 W	0.00	3 of 3 - Sale ²⁵
03/13/20	175.000	602.00	Various	652.73	40.98 W	-9.75	Total of 3 transactions
	3 transactions for 04/08/20. Total proceeds and cost reported to the IRS.						
	50.000	105.49	04/07/20	132.99	...	-27.50	1 of 3 - Sale ²⁵
	20.000	42.20	04/07/20	66.34	...	-24.14	2 of 3 - Sale ²⁵
	80.000	168.79	04/07/20	182.14	...	-13.35	3 of 3 - Sale ²⁵
04/08/20	150.000	316.48	Various	381.47	...	-64.99	Total of 3 transactions
06/17/20	50.000	132.49	06/16/20	135.93	...	-3.44	Sale ²⁵
06/17/20	200.000	529.96	06/16/20	541.70	5.87 W	-5.87	Sale ²⁵
06/23/20	100.000	285.99	06/22/20	285.87	...	0.12	Sale ²⁵
	Security total:	2,619.98		2,821.00	109.39 W	-91.63	
NABRIVA THERAPEUTICS PLC ORDIN ARY SHARES IRELAND / CUSIP: G63637105 / Symbol:							
06/02/20	100.000	81.99	06/01/20	86.68	...	-4.69	Sale ²⁵
MYOVANT SCIENCES LTD. / CUSIP: G637AM102 / Symbol:							
06/24/20	15.000	306.45	06/24/20	315.11	...	-8.66	Sale ²⁵
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
03/26/20	25.000	409.00	03/25/20	434.00	...	-25.00	Sale ²⁵
	5 transactions for 04/28/20. Total proceeds and cost reported to the IRS.						
	1.000	12.14	04/27/20	11.84	...	0.30	1 of 5 - Sale ²⁵
	3.000	36.42	04/27/20	35.52	...	0.90	2 of 5 - Sale ²⁵
	4.000	48.56	04/27/20	47.36	...	1.20	3 of 5 - Sale ²⁵
	7.000	84.91	04/27/20	82.88	...	2.03	4 of 5 - Sale ²⁵

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol: (cont'd)							
	35.000	424.90	04/27/20	410.20	...	14.70	5 of 5 - Sale ²⁵
04/28/20	50.000	606.93	Various	587.80	...	19.13	Total of 5 transactions
05/22/20	50.000	699.98	05/21/20	702.00	2.02 W	0.00	Sale ²⁵
06/04/20	50.000	895.48	06/03/20	885.02	...	10.46	Sale ²⁵
06/08/20	10.000	251.30	06/05/20	234.59	...	16.71	Sale ²⁵
06/08/20	15.000	376.96	06/08/20	378.73	1.77 W	0.00	Sale ²⁵
2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	15.000	296.13	06/11/20	281.96	...	14.17	1 of 2 - Sale ²⁵
	35.000	690.97	06/11/20	653.76	...	37.21	2 of 2 - Sale ²⁵
06/12/20	50.000	987.10	Various	935.72	...	51.38	Total of 2 transactions
4 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	10.000	184.20	06/12/20	218.06	33.86 W	0.00	1 of 4 - Sale ²⁵
	13.000	239.46	06/12/20	263.51	24.05 W	0.00	2 of 4 - Sale ²⁵
	10.000	184.10	06/12/20	199.46	15.36 W	0.00	3 of 4 - Sale ²⁵
	2.000	36.82	06/12/20	40.54	3.72 W	0.00	4 of 4 - Sale ²⁵
06/15/20	35.000	644.58	Various	721.57	76.99 W	0.00	Total of 4 transactions
06/16/20	2.000	44.02	06/15/20	41.81	...	2.21	Sale ²⁵
06/16/20	8.000	176.08	06/15/20	179.46	3.38 W	0.00	Sale ²⁵
4 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	8.000	150.25	06/16/20	173.29	23.04 W	0.00	1 of 4 - Sale ²⁵
	2.000	37.56	06/16/20	49.25	11.69 W	0.00	2 of 4 - Sale ²⁵
	13.000	244.15	06/16/20	300.16	56.01 W	0.00	3 of 4 - Sale ²⁵
	2.000	37.56	06/16/20	42.48	4.92 W	0.00	4 of 4 - Sale ²⁵
06/17/20	25.000	469.52	Various	565.18	95.66 W	0.00	Total of 4 transactions
4 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	13.000	238.68	06/17/20	310.00	...	-71.32	1 of 4 - Sale ²⁵
	8.000	146.88	06/17/20	174.48	...	-27.60	2 of 4 - Sale ²⁵
	2.000	36.72	06/17/20	49.55	...	-12.83	3 of 4 - Sale ²⁵
	2.000	36.72	06/17/20	44.00	...	-7.28	4 of 4 - Sale ²⁵
06/18/20	25.000	459.00	Various	578.03	...	-119.03	Total of 4 transactions
Security total:		6,019.95		6,243.91	179.82 W	-44.14	

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(continued)

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SPI ENERGY CO., LTD. ORDINARY SHARES / CUSIP: G8651P110 / Symbol:							
05/04/20	10.000	11.90	05/01/20	16.30	...	-4.40	Sale ²⁵
TDH HOLDINGS, INC. COMMON SHAR ES / CUSIP: G87084102 / Symbol:							
06/11/20	25.000	34.00	06/10/20	58.50	...	-24.50	Sale ²⁵
VENATOR MATERIALS PLC / CUSIP: G9329Z100 / Symbol:							
05/11/20	200.000	271.84	05/08/20	285.74	...	-13.90	Sale ²⁵
OBSEVA SA ORDINARY SHARES / CUSIP: H5861P103 / Symbol:							
03/09/20	100.000	312.49	03/06/20	339.00	26.51 W	0.00	Sale ²⁵
	8 transactions for 03/11/20. Total proceeds and cost reported to the IRS.						
	94.000	280.10	03/10/20	324.78	...	-44.68	1 of 8 - Sale ²⁵
	90.000	268.18	03/10/20	288.00	...	-19.82	2 of 8 - Sale ²⁵
	3.000	8.94	03/10/20	10.40	...	-1.46	3 of 8 - Sale ²⁵
	3.000	8.94	03/10/20	10.39	...	-1.45	4 of 8 - Sale ²⁵
	5.000	14.90	03/10/20	16.00	...	-1.10	5 of 8 - Sale ²⁵
	2.000	5.96	03/10/20	6.40	...	-0.44	6 of 8 - Sale ²⁵
	2.000	5.96	03/10/20	6.40	...	-0.44	7 of 8 - Sale ²⁵
	1.000	2.98	03/10/20	3.20	...	-0.22	8 of 8 - Sale ²⁵
03/11/20	200.000	595.96	Various	665.57	...	-69.61	Total of 8 transactions
	2 transactions for 05/06/20. Total proceeds and cost reported to the IRS.						
	50.000	148.99	05/05/20	145.00	...	3.99	1 of 2 - Sale ²⁵
	100.000	297.99	05/05/20	285.14	...	12.85	2 of 2 - Sale ²⁵
05/06/20	150.000	446.98	Various	430.14	...	16.84	Total of 2 transactions
06/02/20	50.000	215.50	06/01/20	229.50	14.00 W	0.00	Sale ²⁵
	3 transactions for 06/19/20. Total proceeds and cost reported to the IRS.						
	50.000	267.50	06/18/20	292.57	25.07 W	0.00	1 of 3 - Sale ²⁵
	250.000	1,516.73	06/19/20	1,539.78	4.61 W	-18.44	2 of 3 - Sale ²⁵
	50.000	303.34	06/19/20	332.99	29.65 W	0.00	3 of 3 - Sale ²⁵
06/19/20	350.000	2,087.57	Various	2,165.34	59.33 W	-18.44	Total of 3 transactions
06/30/20	50.000	275.85	06/30/20	304.38	...	-28.53	Sale ²⁵
07/01/20	50.000	291.00	06/30/20	293.61	...	-2.61	Sale ²⁵
	Security total:	4,225.35		4,427.54	99.84 W	-102.35	

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(continued)

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INTELSAT S A COMMON STOCK / CUSIP: L5140P101 / Symbol:							
02/21/20	20.000	89.03	02/18/20	77.35	...	11.68	Sale ²⁵
	2 transactions for 02/25/20. Total proceeds and cost reported to the IRS.						
	15.000	63.90	02/18/20	58.02	...	5.88	1 of 2 - Sale ²⁵
	15.000	63.90	02/19/20	61.35	...	2.55	2 of 2 - Sale ²⁵
02/25/20	30.000	127.80	Various	119.37	...	8.43	Total of 2 transactions
02/25/20	100.000	425.97	02/24/20	445.97	20.00 W	0.00	Sale ²⁵
03/23/20	100.000	199.99	03/20/20	192.93	...	7.06	Sale ²⁵
03/23/20	100.000	199.99	03/20/20	212.93	12.94 W	0.00	Sale ²⁵
	5 transactions for 03/31/20. Total proceeds and cost reported to the IRS.						
	4.000	6.08	03/30/20	7.88	1.80 W	0.00	1 of 5 - Sale ²⁵
	6.000	9.12	03/30/20	11.82	2.70 W	0.00	2 of 5 - Sale ²⁵
	4.000	6.08	03/30/20	9.16	3.08 W	0.00	3 of 5 - Sale ²⁵
	90.000	136.81	03/30/20	177.24	40.43 W	0.00	4 of 5 - Sale ²⁵
	96.000	145.93	03/30/20	176.64	30.71 W	0.00	5 of 5 - Sale ²⁵
03/31/20	200.000	304.02	Various	382.74	78.72 W	0.00	Total of 5 transactions
	5 transactions for 04/08/20. Total proceeds and cost reported to the IRS.						
	4.000	4.40	04/07/20	7.76	3.36 W	0.00	1 of 5 - Sale ²⁵
	6.000	6.60	04/07/20	9.72	3.12 W	0.00	2 of 5 - Sale ²⁵
	90.000	98.99	04/07/20	145.73	46.74 W	0.00	3 of 5 - Sale ²⁵
	96.000	105.59	04/07/20	143.03	37.44 W	0.00	4 of 5 - Sale ²⁵
	4.000	4.40	04/07/20	4.68	0.28 W	0.00	5 of 5 - Sale ²⁵
04/08/20	200.000	219.98	Various	310.92	90.94 W	0.00	Total of 5 transactions
	5 transactions for 05/04/20. Total proceeds and cost reported to the IRS.						
	90.000	108.89	05/01/20	166.31	...	-57.42	1 of 5 - Sale ²⁵
	96.000	116.15	05/01/20	164.98	...	-48.83	2 of 5 - Sale ²⁵
	100.000	120.99	05/01/20	132.85	...	-11.86	3 of 5 - Sale ²⁵
	50.000	60.49	05/01/20	68.00	...	-7.51	4 of 5 - Sale ²⁵
	4.000	4.84	05/01/20	5.59	...	-0.75	5 of 5 - Sale ²⁵
05/04/20	340.000	411.36	Various	537.73	...	-126.37	Total of 5 transactions
	2 transactions for 05/04/20. Total proceeds and cost reported to the IRS.						
	4.000	4.84	05/01/20	8.67	3.83 W	0.00	1 of 2 - Sale ²⁵
	6.000	7.26	05/01/20	11.09	3.83 W	0.00	2 of 2 - Sale ²⁵
05/04/20	10.000	12.10	Various	19.76	7.66 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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INTELSAT S A COMMON STOCK / CUSIP: L5140P101 / Symbol: (cont'd)							
2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	6.000	1.56	05/18/20	6.53	...	-4.97	1 of 2 - Sale ²⁵
	4.000	1.04	05/18/20	5.63	...	-4.59	2 of 2 - Sale ²⁵
05/19/20	10.000	2.60	Various	12.16	...	-9.56	Total of 2 transactions
	Security total:	1,992.84		2,311.86	210.26 W	-108.76	
NEXA RESOURCES S.A. COMMON SHA RES / CUSIP: L67359106 / Symbol:							
3 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	47.000	190.81	04/28/20	191.29	...	-0.48	1 of 3 - Sale ²⁵
	5.000	20.30	04/28/20	20.35	...	-0.05	2 of 3 - Sale ²⁵
	8.000	33.20	04/28/20	32.56	...	0.64	3 of 3 - Sale ²⁵
04/29/20	60.000	244.31	Various	244.20	...	0.11	Total of 3 transactions
04/29/20	20.000	81.20	04/28/20	81.40	0.05 W	-0.15	Sale ²⁵
	Security total:	325.51		325.60	0.05 W	-0.04	
PACIFIC DRILLING S.A. ORDINARY SHARES PAR \$0.01 (LUXEMBOURG) / CUSIP: L7257P205 / Symbol:							
2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	100.000	55.49	06/11/20	53.99	...	1.50	1 of 2 - Sale ²⁵
	150.000	83.23	06/11/20	80.99	...	2.24	2 of 2 - Sale ²⁵
06/12/20	250.000	138.72	Various	134.98	...	3.74	Total of 2 transactions
2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	100.000	48.99	06/12/20	60.00	11.01 W	0.00	1 of 2 - Sale ²⁵
	150.000	73.48	06/12/20	89.25	15.77 W	0.00	2 of 2 - Sale ²⁵
06/15/20	250.000	122.47	Various	149.25	26.78 W	0.00	Total of 2 transactions
6 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	250.000	157.18	06/16/20	187.50	...	-30.32	1 of 6 - Sale ²⁵
	100.000	62.87	06/16/20	83.51	...	-20.64	2 of 6 - Sale ²⁵
	50.000	31.43	06/16/20	42.01	...	-10.58	3 of 6 - Sale ²⁵
	50.000	31.43	06/16/20	41.74	...	-10.31	4 of 6 - Sale ²⁵
	43.000	27.03	06/16/20	36.12	...	-9.09	5 of 6 - Sale ²⁵
	7.000	4.40	06/16/20	5.88	...	-1.48	6 of 6 - Sale ²⁵
06/17/20	500.000	314.34	Various	396.76	...	-82.42	Total of 6 transactions
	Security total:	575.53		680.99	26.78 W	-78.68	

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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INTEC PHARMA LTD. ORDINARY SHA RES / CUSIP: M53644106 / Symbol:							
03/26/20	500.000	100.14	03/25/20	110.00	9.86 W	0.00	Sale ²⁵
	<i>2 transactions for 04/02/20. Total proceeds and cost reported to the IRS.</i>						
	500.000	94.94	04/01/20	113.26	18.32 W	0.00	1 of 2 - Sale ²⁵
	500.000	94.94	04/01/20	103.40	8.46 W	0.00	2 of 2 - Sale ²⁵
04/02/20	1,000.000	189.88	Various	216.66	26.78 W	0.00	Total of 2 transactions
	<i>5 transactions for 04/13/20. Total proceeds and cost reported to the IRS.</i>						
	500.000	104.49	04/09/20	120.32	...	-15.83	1 of 5 - Sale ²⁵
	250.000	52.25	04/09/20	55.23	...	-2.98	2 of 5 - Sale ²⁵
	150.000	31.35	04/09/20	33.29	...	-1.94	3 of 5 - Sale ²⁵
	100.000	20.90	04/09/20	22.19	...	-1.29	4 of 5 - Sale ²⁵
	500.000	104.48	04/09/20	102.50	...	1.98	5 of 5 - Sale ²⁵
04/13/20	1,500.000	313.47	Various	333.53	...	-20.06	Total of 5 transactions
07/06/20	2,500.000	727.93	07/06/20	729.73	...	-1.80	Sale ²⁵
	Security total:	1,331.42		1,389.92	36.64 W	-21.86	
SUPERCOM, LTD. ORDINARY SHARES (ISRAEL) / CUSIP: M87095119 / Symbol:							
03/20/20	500.000	209.94	03/19/20	209.50	...	0.44	Sale ²⁵
04/02/20	200.000	155.98	04/01/20	199.04	...	-43.06	Sale ²⁵
	<i>3 transactions for 06/02/20. Total proceeds and cost reported to the IRS.</i>						
	50.000	57.50	06/01/20	62.93	...	-5.43	1 of 3 - Sale ²⁵
	62.000	71.29	06/01/20	74.39	...	-3.10	2 of 3 - Sale ²⁵
	13.000	14.95	06/01/20	15.60	...	-0.65	3 of 3 - Sale ²⁵
06/02/20	125.000	143.74	Various	152.92	...	-9.18	Total of 3 transactions
06/02/20	75.000	86.99	06/01/20	90.00	2.01 W	-1.00	Sale ²⁵
	Security total:	596.65		651.46	2.01 W	-52.80	
INFLARX N.V. COMMON STOCK / CUSIP: N44821101 / Symbol:							
	<i>3 transactions for 04/02/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	5.13	03/31/20	5.58	0.45 W	0.00	1 of 3 - Sale ²⁵
	50.000	256.48	04/01/20	308.50	52.02 W	0.00	2 of 3 - Sale ²⁵
	49.000	251.36	04/01/20	319.97	68.61 W	0.00	3 of 3 - Sale ²⁵
04/02/20	100.000	512.97	Various	634.05	121.08 W	0.00	Total of 3 transactions

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Account 412265225

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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INFLARX N.V. COMMON STOCK / CUSIP: N44821101 / Symbol: (cont'd)							
<i>5 transactions for 05/01/20. Total proceeds and cost reported to the IRS.</i>							
	49.000	334.66	04/30/20	374.38	...	-39.72	1 of 5 - Sale ²⁵
	25.000	170.74	04/30/20	208.64	...	-37.90	2 of 5 - Sale ²⁵
	24.000	163.91	04/30/20	190.93	...	-27.02	3 of 5 - Sale ²⁵
	1.000	6.83	04/30/20	7.60	...	-0.77	4 of 5 - Sale ²⁵
	1.000	6.83	04/30/20	7.05	...	-0.22	5 of 5 - Sale ²⁵
05/01/20	100.000	682.97	Various	788.60	...	-105.63	Total of 5 transactions
06/10/20	100.000	739.97	06/09/20	757.74	...	-17.77	Sale ²⁵
	Security total:	1,935.91		2,180.39	121.08 W	-123.40	
MYLAN N.V. ORDINARY SHARES / CUSIP: N59465109 / Symbol:							
03/23/20	25.000	386.55	03/20/20	405.70	19.15 W	0.00	Sale ²⁵
<i>2 transactions for 04/01/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	14.50	03/31/20	16.19	1.69 W	0.00	1 of 2 - Sale ²⁵
	24.000	347.28	03/31/20	388.67	41.39 W	0.00	2 of 2 - Sale ²⁵
04/01/20	25.000	361.78	Various	404.86	43.08 W	0.00	Total of 2 transactions
<i>3 transactions for 04/02/20. Total proceeds and cost reported to the IRS.</i>							
	24.000	334.80	04/01/20	387.16	...	-52.36	1 of 3 - Sale ²⁵
	10.000	139.50	04/01/20	144.07	...	-4.57	2 of 3 - Sale ²⁵
	1.000	13.95	04/01/20	16.10	...	-2.15	3 of 3 - Sale ²⁵
04/02/20	35.000	488.25	Various	547.33	...	-59.08	Total of 3 transactions
<i>2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	1,626.95	06/25/20	1,637.00	...	-10.05	1 of 2 - Sale ²⁵
	50.000	813.48	06/25/20	818.50	...	-5.02	2 of 2 - Sale ²⁵
06/25/20	150.000	2,440.43	Various	2,455.50	...	-15.07	Total of 2 transactions
	Security total:	3,677.01		3,813.39	62.23 W	-74.15	
PLAYA HOTELS & RESORTS N.V. OR DINARY SHARES / CUSIP: N70544106 / Symbol:							
<i>7 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	4.00	06/04/20	3.78	...	0.22	1 of 7 - Sale ²⁵
	2.000	8.00	06/04/20	7.69	...	0.31	2 of 7 - Sale ²⁵
	50.000	199.99	06/04/20	195.75	...	4.24	3 of 7 - Sale ²⁵
	20.000	80.00	06/04/20	75.60	...	4.40	4 of 7 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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PLAYA HOTELS & RESORTS N.V. OR DINARY SHARES / CUSIP: N70544106 / Symbol: (cont'd)							
	25.000	100.00	06/04/20	94.50	...	5.50	5 of 7 - Sale ²⁵
	54.000	215.99	06/04/20	204.11	...	11.88	6 of 7 - Sale ²⁵
	98.000	391.98	06/04/20	379.75	...	12.23	7 of 7 - Sale ²⁵
06/05/20	250.000	999.96	Various	961.18	...	38.78	Total of 7 transactions
06/09/20	100.000	415.99	06/08/20	415.99	...	0.00	Sale ²⁵
4 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	30.000	107.40	06/12/20	112.95	...	-5.55	1 of 4 - Sale ²⁵
	15.000	53.55	06/12/20	56.48	...	-2.93	2 of 4 - Sale ²⁵
	4.000	14.52	06/12/20	15.06	...	-0.54	3 of 4 - Sale ²⁵
	1.000	3.60	06/12/20	3.76	...	-0.16	4 of 4 - Sale ²⁵
06/15/20	50.000	179.07	Various	188.25	...	-9.18	Total of 4 transactions
	Security total:	1,595.02		1,565.42	...	29.60	
ONESPAWORLD HOLDINGS LIMITED C OMMON SHARES / CUSIP: P73684113 / Symbol:							
05/11/20	50.000	304.50	05/08/20	316.75	...	-12.25	Sale ²⁵
MAKEMYTRIP LIMITED ORDINARY SH ARES / CUSIP: V5633W109 / Symbol:							
2 transactions for 06/19/20. Total proceeds and cost reported to the IRS.							
	49.000	860.67	06/19/20	874.14	...	-13.47	1 of 2 - Sale ²⁵
	1.000	17.56	06/19/20	17.81	...	-0.25	2 of 2 - Sale ²⁵
06/19/20	50.000	878.23	Various	891.95	...	-13.72	Total of 2 transactions
ROYAL CARIBBEAN GROUP / CUSIP: V7780T103 / Symbol:							
6 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	1.000	54.80	06/02/20	56.76	1.96 W	0.00	1 of 6 - Sale ²⁵
	10.000	547.98	06/02/20	567.60	19.62 W	0.00	2 of 6 - Sale ²⁵
	1.000	54.69	06/02/20	56.76	2.07 W	0.00	3 of 6 - Sale ²⁵
	1.000	54.69	06/02/20	58.92	4.23 W	0.00	4 of 6 - Sale ²⁵
	10.000	546.86	06/02/20	589.22	42.36 W	0.00	5 of 6 - Sale ²⁵
	1.000	54.69	06/02/20	56.96	2.27 W	0.00	6 of 6 - Sale ²⁵
06/03/20	24.000	1,313.71	Various	1,386.22	72.51 W	0.00	Total of 6 transactions
3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	72.14	06/05/20	77.00	4.86 W	0.00	1 of 3 - Sale ²⁵
	6.000	432.85	06/05/20	462.03	29.18 W	0.00	2 of 3 - Sale ²⁵

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ROYAL CARIBBEAN GROUP / CUSIP: V7780T103 / Symbol: (cont'd)							
	1.000	72.14	06/05/20	74.84	2.70 W	0.00	3 of 3 - Sale ²⁵
06/08/20	8.000	577.13	Various	613.87	36.74 W	0.00	Total of 3 transactions
6 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	6.000	290.99	06/24/20	322.02	...	-31.03	1 of 6 - Sale ²⁵
	4.000	194.00	06/24/20	212.17	...	-18.17	2 of 6 - Sale ²⁵
	37.000	1,794.46	06/24/20	1,805.84	...	-11.38	3 of 6 - Sale ²⁵
	1.000	48.50	06/24/20	53.67	...	-5.17	4 of 6 - Sale ²⁵
	1.000	48.50	06/24/20	51.51	...	-3.01	5 of 6 - Sale ²⁵
	1.000	48.50	06/24/20	51.08	...	-2.58	6 of 6 - Sale ²⁵
06/24/20	50.000	2,424.95	Various	2,496.29	...	-71.34	Total of 6 transactions
	Security total:	4,315.79		4,496.38	109.25 W	-71.34	
NAVIOS MARITIME ACQUISITION CO RPORATION / CUSIP: Y62159143 / Symbol:							
2 transactions for 03/11/20. Total proceeds and cost reported to the IRS.							
	50.000	212.50	03/10/20	207.25	...	5.25	1 of 2 - Sale ²⁵
	50.000	215.00	03/10/20	207.25	...	7.75	2 of 2 - Sale ²⁵
03/11/20	100.000	427.50	Various	414.50	...	13.00	Total of 2 transactions
TEEKAY CORPORATION / CUSIP: Y8564W103 / Symbol:							
03/11/20	200.000	592.26	03/10/20	592.84	0.44 W	-0.14	Sale ²⁵
2 transactions for 03/13/20. Total proceeds and cost reported to the IRS.							
	50.000	133.50	03/12/20	131.65	...	1.85	1 of 2 - Sale ²⁵
	100.000	267.99	03/12/20	263.29	...	4.70	2 of 2 - Sale ²⁵
03/13/20	150.000	401.49	Various	394.94	...	6.55	Total of 2 transactions
	Security total:	993.75		987.78	0.44 W	6.41	
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y164 / Symbol:							
6 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	20.000	6.87	04/06/20	5.84	...	1.03	1 of 6 - Sale ²⁵
	20.000	7.30	04/06/20	4.75	...	2.55	2 of 6 - Sale ²⁵
	79.000	28.83	04/06/20	18.75	...	10.08	3 of 6 - Sale ²⁵
	500.000	182.43	04/06/20	118.73	...	63.70	4 of 6 - Sale ²⁵
	500.000	182.44	04/06/20	118.59	...	63.85	5 of 6 - Sale ²⁵

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TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y164 / Symbol: (cont'd)							
	901.000	328.75	04/06/20	213.90	...	114.85	6 of 6 - Sale ²⁵
04/07/20	2,020.000	736.62	Various	480.56	...	256.06	Total of 6 transactions
	2 transactions for 04/08/20. Total proceeds and cost reported to the IRS.						
	861.000	253.81	04/07/20	275.35	...	-21.54	1 of 2 - Sale ²⁵
	139.000	40.97	04/07/20	44.47	...	-3.50	2 of 2 - Sale ²⁵
04/08/20	1,000.000	294.78	Various	319.82	...	-25.04	Total of 2 transactions
	2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.						
	200.000	28.68	05/21/20	28.88	0.20 W	0.00	1 of 2 - Sale ²⁵
	300.000	43.01	05/22/20	43.11	0.10 W	0.00	2 of 2 - Sale ²⁵
05/26/20	500.000	71.69	Various	71.99	0.30 W	0.00	Total of 2 transactions
	6 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	1,000.000	151.78	06/05/20	236.80	...	-85.02	1 of 6 - Sale ²⁵
	400.000	70.72	06/05/20	141.29	...	-70.57	2 of 6 - Sale ²⁵
	240.000	42.45	06/05/20	84.86	...	-42.41	3 of 6 - Sale ²⁵
	200.000	35.38	06/05/20	70.84	...	-35.46	4 of 6 - Sale ²⁵
	100.000	17.68	06/05/20	35.32	...	-17.64	5 of 6 - Sale ²⁵
	60.000	10.61	06/05/20	21.21	...	-10.60	6 of 6 - Sale ²⁵
06/08/20	2,000.000	328.62	Various	590.32	...	-261.70	Total of 6 transactions
	5 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	200.000	30.36	06/05/20	45.20	14.84 W	0.00	1 of 5 - Sale ²⁵
	300.000	45.53	06/05/20	67.60	22.07 W	0.00	2 of 5 - Sale ²⁵
	500.000	75.89	06/05/20	112.50	36.61 W	0.00	3 of 5 - Sale ²⁵
	100.000	15.18	06/05/20	23.62	8.44 W	0.00	4 of 5 - Sale ²⁵
	400.000	60.71	06/05/20	94.48	33.77 W	0.00	5 of 5 - Sale ²⁵
06/08/20	1,500.000	227.67	Various	343.40	115.73 W	0.00	Total of 5 transactions
	2 transactions for 06/11/20. Total proceeds and cost reported to the IRS.						
	400.000	60.15	06/10/20	115.65	...	-55.50	1 of 2 - Sale ²⁵
	100.000	15.04	06/10/20	28.91	...	-13.87	2 of 2 - Sale ²⁵
06/11/20	500.000	75.19	Various	144.56	...	-69.37	Total of 2 transactions
	Security total:	1,734.57		1,950.65	116.03 W	-100.05	
Totals :		2,467,048.98		2,670,662.85	198,149.62 W	-5,464.25	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 412265225

Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U703 / Symbol:							
06/22/20	0.333	1.62	06/10/20	1.99	...	-0.37	Gain or loss
Totals:		1.62		1.99	...	-0.37	

Robinhood Securities LLC

Account 412265225

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
BANK OF AMERICA CORPORATION	060505104		06/26/20	3.60	Qualified dividend	
GILEAD SCIENCES, INC. COMMON S TOCK	375558103		03/30/20	13.60	Qualified dividend	
MICROSOFT CORPORATION COMMON S TOCK	594918104		03/12/20	1.53	Qualified dividend	
SABRE CORPORATION COMMON STOCK	78573M104		03/30/20	0.28	Nondividend distribution	03
WINNEBAGO INDUSTRIES, INC.	974637100		07/01/20	1.10	Qualified dividend	
				20.11	Total Dividends & distributions	

Robinhood Securities LLC

Account 412265225

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		05/19/20	-5.00	Margin interest paid	
		06/18/20	-5.00	Margin interest paid	
		07/20/20	-5.00	Margin interest paid	
			-15.00	Total Margin interest paid	
			-15.00	Total Margin interest paid	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.

 - 25 Tax lot closed on a first in first out basis.
-

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

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