

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 609063185	Statement Date: 02/14/2021 Document ID: 2QNA GM2 PUJ7	2020
	Venugopal Koneni 4500 Sojourn Drive 2203c Addison, TX 75001 RECIPIENT'S TIN: XXX-XX-5989		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 92.40</p> <p>1b- Qualified dividends 92.40</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 1,194.30</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	67,626.62	61,016.97	0.00	1,338.28	7,947.93
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	18.91	9.86	0.00	0.00	9.05
	Total Short-term	67,645.53	61,026.83	0.00	1,338.28	7,956.98
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	67,645.53	61,026.83	0.00	1,338.28	7,956.98
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 609063185

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME**2020 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	4.58
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	25.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 609063185

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 09/25/2020 CALL \$13.00 / CUSIP: / Symbol:							
8 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	09/21/20	19.00	...	-19.00	1 of 8 - Option expiration- long call
	2.000	0.00	09/24/20	4.00	...	-4.00	2 of 8 - Option expiration- long call
	2.000	0.00	09/24/20	4.00	...	-4.00	3 of 8 - Option expiration- long call
	2.000	0.00	09/24/20	4.00	...	-4.00	4 of 8 - Option expiration- long call
	1.000	0.00	09/24/20	2.00	...	-2.00	5 of 8 - Option expiration- long call
	1.000	0.00	09/24/20	2.00	...	-2.00	6 of 8 - Option expiration- long call
	1.000	0.00	09/24/20	2.00	...	-2.00	7 of 8 - Option expiration- long call
	1.000	0.00	09/24/20	2.00	...	-2.00	8 of 8 - Option expiration- long call
09/25/20	11.000	0.00	Various	39.00	...	-39.00	Total of 8 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 09/25/2020 CALL \$14.00 / CUSIP: / Symbol:							
8 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	1.000	0.98	09/25/20	0.00	...	0.98	1 of 8 - Short sale closed- call expired
	1.000	0.98	09/25/20	0.00	...	0.98	Option written: 09/24/20 2 of 8 - Short sale closed- call expired
	1.000	0.98	09/25/20	0.00	...	0.98	Option written: 09/24/20 3 of 8 - Short sale closed- call expired
	1.000	0.98	09/25/20	0.00	...	0.98	Option written: 09/24/20 4 of 8 - Short sale closed- call expired
	2.000	1.98	09/25/20	0.00	...	1.98	Option written: 09/24/20 5 of 8 - Short sale closed- call expired
	2.000	1.98	09/25/20	0.00	...	1.98	Option written: 09/24/20 6 of 8 - Short sale closed- call expired
	2.000	1.98	09/25/20	0.00	...	1.98	Option written: 09/24/20 7 of 8 - Short sale closed- call expired
	1.000	7.98	09/25/20	0.00	...	7.98	Option written: 09/24/20 8 of 8 - Short sale closed- call expired
09/25/20	11.000	17.84	Various	0.00	...	17.84	Option written: 09/21/20 Total of 8 transactions
AAL 10/16/2020 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	1.000	20.98	09/21/20	161.01	...	-140.03	1 of 2 - Option sale to close-call ²⁵
	1.000	20.99	09/21/20	37.00	...	-16.01	2 of 2 - Option sale to close-call ²⁵
10/06/20	2.000	41.97	Various	198.01	...	-156.04	Total of 2 transactions
10/06/20	1.000	20.99	07/17/20	150.00	129.01 W	0.00	Option sale to close-call ²⁵
Security total:		62.96		348.01	129.01 W	-156.04	

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Robinhood Securities LLC

Account 609063185

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 10/16/2020 CALL \$17.00 / CUSIP: / Symbol:							
10/07/20	1.000	4.99	09/21/20	16.00	...	-11.01	Option sale to close-call ²⁵
	2 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	1.000	4.98	07/16/20	145.00	140.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	4.99	09/21/20	157.02	152.03 W	0.00	2 of 2 - Option sale to close-call ²⁵
10/07/20	2.000	9.97	Various	302.02	292.05 W	0.00	Total of 2 transactions
10/09/20	1.000	4.98	09/23/20	161.03	...	-156.05	Option sale to close-call ²⁵
	Security total:	19.94		479.05	292.05 W	-167.06	
DAL 10/30/2020 CALL \$32.00 / CUSIP: / Symbol:							
09/28/20	1.000	220.98	09/21/20	175.00	...	45.98	Option sale to close-call ²⁵
DAL 10/30/2020 CALL \$34.00 / CUSIP: / Symbol:							
09/29/20	1.000	-28.02	09/28/20	0.00	...	-28.02	Short sale closed- call ²⁵ Option written: 09/21/20
M 09/18/2020 CALL \$8.00 / CUSIP: / Symbol:							
08/14/20	1.000	82.98	07/24/20	45.00	...	37.98	Option sale to close-call ²⁵
	2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.						
	1.000	39.99	09/02/20	25.01	...	14.98	1 of 2 - Option sale to close-call ²⁵
	1.000	39.99	09/02/20	17.01	...	22.98	2 of 2 - Option sale to close-call ²⁵
09/03/20	2.000	79.98	Various	42.02	...	37.96	Total of 2 transactions
	2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.						
	1.000	33.99	07/24/20	45.00	11.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	33.99	07/27/20	37.00	3.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
09/03/20	2.000	67.98	Various	82.00	14.02 W	0.00	Total of 2 transactions
	Security total:	230.94		169.02	14.02 W	75.94	
NKLA 08/21/2020 CALL \$55.00 / CUSIP: / Symbol:							
08/04/20	1.000	124.98	07/17/20	215.00	...	-90.02	Option sale to close-call ²⁵

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Account 609063185

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AT&T INC. / CUSIP: 00206R102 / Symbol:							
2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	5.000	151.30	03/31/20	146.95	...	4.35	1 of 2 - Sale ²⁵
	2.000	60.52	04/01/20	55.80	...	4.72	2 of 2 - Sale ²⁵
04/07/20	7.000	211.82	Various	202.75	...	9.07	Total of 2 transactions
AIRBUS SE AMERICAN DEPOSITARY RECEIPTS - UNSPONSORED / CUSIP: 009279100 / Symbol:							
2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	5.000	79.50	03/31/20	81.45	...	-1.95	1 of 2 - Sale ²⁵
	2.000	31.80	04/02/20	27.40	...	4.40	2 of 2 - Sale ²⁵
04/07/20	7.000	111.30	Various	108.85	...	2.45	Total of 2 transactions
AKEBIA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 00972D105 / Symbol:							
3 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	30.000	81.60	09/03/20	83.62	...	-2.02	1 of 3 - Sale ²⁵
	10.000	27.20	09/03/20	27.90	...	-0.70	2 of 3 - Sale ²⁵
	10.000	27.20	09/04/20	24.80	...	2.40	3 of 3 - Sale ²⁵
10/06/20	50.000	136.00	Various	136.32	...	-0.32	Total of 3 transactions
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
05/28/20	1.000	200.20	05/22/20	199.40	...	0.80	Sale ²⁵
12/09/20	5.000	1,325.12	11/30/20	1,323.90	...	1.22	Sale ²⁵
12/28/20	1.000	221.34	12/24/20	221.46	0.12 W	0.00	Sale ²⁵
	Security total:	1,746.66		1,744.76	0.12 W	2.02	
AMARIN CORPORATION PLC / CUSIP: 023111206 / Symbol:							
04/01/20	25.000	107.28	03/31/20	99.72	...	7.56	Sale ²⁵
3 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	5.000	25.10	09/03/20	24.04	...	1.06	1 of 3 - Sale ²⁵
	5.000	25.10	09/03/20	22.65	...	2.45	2 of 3 - Sale ²⁵
	10.000	50.19	09/03/20	45.98	...	4.21	3 of 3 - Sale ²⁵
10/05/20	20.000	100.39	Various	92.67	...	7.72	Total of 3 transactions
4 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	10.000	50.20	09/02/20	51.58	1.38 W	0.00	1 of 4 - Sale ²⁵
	5.000	25.10	09/02/20	25.20	0.10 W	0.00	2 of 4 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMARIN CORPORATION PLC / CUSIP: 023111206 / Symbol: (cont'd)							
	20.000	100.40	09/02/20	100.75	0.35 W	0.00	3 of 4 - Sale ²⁵
	5.000	25.10	09/02/20	25.24	0.14 W	0.00	4 of 4 - Sale ²⁵
10/05/20	40.000	200.80	Various	202.77	1.97 W	0.00	Total of 4 transactions
	6 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	5.000	27.67	09/03/20	22.99	...	4.68	1 of 6 - Sale ²⁵
	20.000	110.70	09/04/20	81.60	...	29.10	2 of 6 - Sale ²⁵
	5.000	27.68	09/11/20	20.64	...	7.04	3 of 6 - Sale ²⁵
	5.000	27.67	09/11/20	20.62	...	7.05	4 of 6 - Sale ²⁵
	5.000	27.68	09/11/20	20.02	...	7.66	5 of 6 - Sale ²⁵
	9.000	49.81	09/22/20	34.90	...	14.91	6 of 6 - Sale ²⁵
10/07/20	49.000	271.21	Various	200.77	...	70.44	Total of 6 transactions
	4 transactions for 10/23/20. Total proceeds and cost reported to the IRS.						
	1.000	4.92	09/22/20	3.88	...	1.04	1 of 4 - Sale ²⁵
	5.000	24.61	10/21/20	24.49	...	0.12	2 of 4 - Sale ²⁵
	4.000	19.69	10/21/20	19.48	...	0.21	3 of 4 - Sale ²⁵
	10.000	49.22	10/21/20	48.87	...	0.35	4 of 4 - Sale ²⁵
10/23/20	20.000	98.44	Various	96.72	...	1.72	Total of 4 transactions
11/10/20	1.000	4.15	10/21/20	4.87	...	-0.72	Sale ²⁵
	Security total:	782.27		697.52	1.97 W	86.72	
AMERICAN AIRLINES GROUP INC. COMMON STOCK / CUSIP: 02376R102 / Symbol:							
	3 transactions for 04/09/20. Total proceeds and cost reported to the IRS.						
	5.000	65.50	03/31/20	61.63	...	3.87	1 of 3 - Sale ²⁵
	10.000	131.00	03/31/20	124.10	...	6.90	2 of 3 - Sale ²⁵
	5.000	65.50	04/02/20	50.10	...	15.40	3 of 3 - Sale ²⁵
04/09/20	20.000	262.00	Various	235.83	...	26.17	Total of 3 transactions
	4 transactions for 06/15/20. Total proceeds and cost reported to the IRS.						
	1.000	15.32	04/20/20	11.07	...	4.25	1 of 4 - Sale ²⁵
	7.000	107.24	04/20/20	77.49	...	29.75	2 of 4 - Sale ²⁵
	12.000	183.84	04/20/20	132.84	...	51.00	3 of 4 - Sale ²⁵
	10.000	153.20	04/27/20	102.00	...	51.20	4 of 4 - Sale ²⁵
06/15/20	30.000	459.60	Various	323.40	...	136.20	Total of 4 transactions

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Robinhood Securities LLC

Account 609063185

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
<i>5 transactions for 11/10/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	256.06	04/27/20	200.00	...	56.06	1 of 5 - Sale ²⁵
	20.000	256.07	04/30/20	239.00	...	17.07	2 of 5 - Sale ²⁵
	5.000	64.02	05/01/20	56.75	...	7.27	3 of 5 - Sale ²⁵
	5.000	64.01	05/01/20	56.00	...	8.01	4 of 5 - Sale ²⁵
	10.000	128.03	05/01/20	115.00	...	13.03	5 of 5 - Sale ²⁵
11/10/20	60.000	768.19	Various	666.75	...	101.44	Total of 5 transactions
	Security total:	1,489.79		1,225.98	...	263.81	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
<i>5 transactions for 10/05/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	116.40	09/03/20	121.72	...	-5.32	1 of 5 - Sale ²⁵
	2.000	232.79	09/04/20	225.66	...	7.13	2 of 5 - Sale ²⁵
	1.000	116.40	09/08/20	114.00	...	2.40	3 of 5 - Sale ²⁵
	1.000	116.40	09/10/20	114.90	...	1.50	4 of 5 - Sale ²⁵
	1.000	116.39	09/10/20	112.80	...	3.59	5 of 5 - Sale ²⁵
10/05/20	6.000	698.38	Various	689.08	...	9.30	Total of 5 transactions
AQUESTIVE THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 03843E104 / Symbol:							
07/23/20	5.000	27.25	05/22/20	28.55	...	-1.30	Sale ²⁵
<i>3 transactions for 09/02/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	193.23	05/22/20	142.75	...	50.48	1 of 3 - Sale ²⁵
	10.000	77.29	05/26/20	50.87	...	26.42	2 of 3 - Sale ²⁵
	10.000	77.29	05/29/20	48.60	...	28.69	3 of 3 - Sale ²⁵
09/02/20	45.000	347.81	Various	242.22	...	105.59	Total of 3 transactions
	Security total:	375.06		270.77	...	104.29	
ART'S-WAY MANUFACTURING CO., I NC. COMMON STOCK / CUSIP: 043168103 / Symbol:							
<i>2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.</i>							
	28.000	65.36	06/18/20	75.04	...	-9.68	1 of 2 - Sale ²⁵
	3.000	7.00	06/18/20	8.04	...	-1.04	2 of 2 - Sale ²⁵
09/02/20	31.000	72.36	Various	83.08	...	-10.72	Total of 2 transactions

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(continued)

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ASHFORD HOSPITALITY TRUST, INC. / CUSIP: 044103109 / Symbol:							
04/21/20	1.000	0.64	04/09/20	0.73	...	-0.09	Sale ²⁵
ASHFORD HOSPITALITY TRUST, INC. / CUSIP: 044103877 / Symbol:							
<i>7 transactions for 12/09/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	69.40	11/25/20	58.20	...	11.20	1 of 7 - Sale ²⁵
	50.000	173.49	11/25/20	153.35	...	20.14	2 of 7 - Sale ²⁵
	50.000	173.49	11/25/20	144.00	...	29.49	3 of 7 - Sale ²⁵
	30.000	104.09	11/27/20	87.00	...	17.09	4 of 7 - Sale ²⁵
	50.000	173.49	11/30/20	140.93	...	32.56	5 of 7 - Sale ²⁵
	50.000	173.49	11/30/20	137.95	...	35.54	6 of 7 - Sale ²⁵
	50.000	173.48	11/30/20	134.43	...	39.05	7 of 7 - Sale ²⁵
12/09/20	300.000	1,040.93	Various	855.86	...	185.07	Total of 7 transactions
ATHERSYS, INC. COMMON STOCK / CUSIP: 04744L106 / Symbol:							
<i>2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	9.10	06/19/20	5.91	...	3.19	1 of 2 - Sale ²⁵
	44.000	133.40	06/19/20	83.60	...	49.80	2 of 2 - Sale ²⁵
07/23/20	47.000	142.50	Various	89.51	...	52.99	Total of 2 transactions
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
<i>3 transactions for 04/02/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	20.15	03/23/20	18.16	...	1.99	1 of 3 - Sale ²⁵
	1.000	20.15	03/23/20	18.03	...	2.12	2 of 3 - Sale ²⁵
	2.000	40.31	03/23/20	37.89	...	2.42	3 of 3 - Sale ²⁵
04/02/20	4.000	80.61	Various	74.08	...	6.53	Total of 3 transactions
IPATH SERIES B S&P GSCI CRUDE OIL ETN / CUSIP: 06745R693 / Symbol:							
<i>11 transactions for 04/30/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	132.70	04/09/20	244.00	...	-111.30	1 of 11 - Final principal payment ²⁵
	20.000	53.08	04/14/20	90.60	...	-37.52	2 of 11 - Final principal payment ²⁵
	10.000	26.54	04/14/20	45.00	...	-18.46	3 of 11 - Final principal payment ²⁵
	20.000	53.08	04/15/20	83.80	...	-30.72	4 of 11 - Final principal payment ²⁵
	50.000	132.70	04/20/20	183.50	...	-50.80	5 of 11 - Final principal payment ²⁵
	30.000	79.62	04/20/20	108.87	...	-29.25	6 of 11 - Final principal payment ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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IPATH SERIES B S&P GSCI CRUDE OIL ETN / CUSIP: 06745R693 / Symbol: (cont'd)							
	50.000	132.70	04/21/20	135.50	...	-2.80	7 of 11 - Final principal payment ²⁵
	20.000	53.08	04/21/20	49.80	...	3.28	8 of 11 - Final principal payment ²⁵
	50.000	132.70	04/21/20	125.00	...	7.70	9 of 11 - Final principal payment ²⁵
	50.000	132.70	04/21/20	94.50	...	38.20	10 of 11 - Final principal payment ²⁵
	100.000	265.40	04/21/20	211.98	...	53.42	11 of 11 - Final principal payment ²⁵
04/30/20	450.000	1,194.30	Various	1,372.55	...	-178.25	Total of 11 transactions
BELLEROPHON THERAPEUTICS, INC. COMMON STOCK / CUSIP: 078771300 / Symbol:							
3 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	3.000	32.70	03/31/20	33.69	...	-0.99	1 of 3 - Sale ²⁵
	1.000	10.90	03/31/20	11.23	...	-0.33	2 of 3 - Sale ²⁵
	4.000	43.60	04/01/20	39.80	...	3.80	3 of 3 - Sale ²⁵
04/07/20	8.000	87.20	Various	84.72	...	2.48	Total of 3 transactions
04/07/20	10.000	109.00	03/31/20	114.50	4.40 W	-1.10	Sale ²⁵
	Security total:	196.20		199.22	4.40 W	1.38	
BIOMARIN PHARMACEUTICAL INC. C OMMON STOCK / CUSIP: 09061G101 / Symbol:							
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	2.000	155.31	08/19/20	154.02	...	1.29	1 of 2 - Sale ²⁵
	1.000	77.65	08/20/20	75.12	...	2.53	2 of 2 - Sale ²⁵
09/02/20	3.000	232.96	Various	229.14	...	3.82	Total of 2 transactions
BLACKBERRY LIMITED / CUSIP: 09228F103 / Symbol:							
4 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	20.000	139.70	12/18/20	142.20	...	-2.50	1 of 4 - Sale ²⁵
	20.000	139.69	12/18/20	140.70	...	-1.01	2 of 4 - Sale ²⁵
	10.000	69.85	12/18/20	69.60	...	0.25	3 of 4 - Sale ²⁵
	7.000	48.90	12/18/20	48.37	...	0.53	4 of 4 - Sale ²⁵
12/28/20	57.000	398.14	Various	400.87	...	-2.73	Total of 4 transactions

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BLUEGREEN VACATIONS CORPORATIO N COMMON STOCK / CUSIP: 09629F108 / Symbol:							
06/08/20	20.000	122.55	05/01/20	106.40	...	16.15	Sale ²⁵
07/23/20	10.000	66.15	05/12/20	39.20	...	26.95	Sale ²⁵
	Security total:	188.70		145.60	...	43.10	
CARS.COM INC. COMMON STOCK / CUSIP: 14575E105 / Symbol:							
<i>2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	80.10	05/07/20	60.00	...	20.10	1 of 2 - Sale ²⁵
	10.000	80.10	05/07/20	57.50	...	22.60	2 of 2 - Sale ²⁵
06/08/20	20.000	160.20	Various	117.50	...	42.70	Total of 2 transactions
07/23/20	10.000	64.70	05/07/20	57.50	...	7.20	Sale ²⁵
	Security total:	224.90		175.00	...	49.90	
CASSAVA SCIENCES, INC. COMMON STOCK / CUSIP: 14817C107 / Symbol:							
06/03/20	5.000	17.80	05/20/20	10.45	...	7.35	Sale ²⁵
CHEMBIO DIAGNOSTICS, INC. COMM ON STOCK / CUSIP: 163572209 / Symbol:							
<i>4 transactions for 09/23/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	4.41	09/02/20	3.80	...	0.61	1 of 4 - Sale ²⁵
	19.000	83.79	09/02/20	72.01	...	11.78	2 of 4 - Sale ²⁵
	5.000	22.05	09/03/20	18.33	...	3.72	3 of 4 - Sale ²⁵
	10.000	44.10	09/04/20	31.75	...	12.35	4 of 4 - Sale ²⁵
09/23/20	35.000	154.35	Various	125.89	...	28.46	Total of 4 transactions
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
09/04/20	10.000	310.00	04/27/20	223.00	...	87.00	Sale ²⁵
10/30/20	20.000	603.98	04/30/20	516.00	...	87.98	Sale ²⁵
<i>8 transactions for 11/10/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	183.13	05/01/20	122.00	...	61.13	1 of 8 - Sale ²⁵
	5.000	183.36	05/01/20	122.00	...	61.36	2 of 8 - Sale ²⁵
	5.000	183.37	05/01/20	120.20	...	63.17	3 of 8 - Sale ²⁵
	10.000	366.26	05/01/20	250.00	...	116.26	4 of 8 - Sale ²⁵
	10.000	366.26	05/01/20	247.00	...	119.26	5 of 8 - Sale ²⁵
	10.000	366.73	05/01/20	242.00	...	124.73	6 of 8 - Sale ²⁵
	10.000	369.79	05/04/20	218.00	...	151.79	7 of 8 - Sale ²⁵

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DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol: (cont'd)							
	10.000	369.79	05/04/20	208.50	...	161.29	8 of 8 - Sale ²⁵
11/10/20	65.000	2,388.69	Various	1,529.70	...	858.99	Total of 8 transactions
	2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.						
	4.000	158.90	05/12/20	85.00	...	73.90	1 of 2 - Sale ²⁵
	5.000	198.62	05/12/20	106.55	...	92.07	2 of 2 - Sale ²⁵
11/30/20	9.000	357.52	Various	191.55	...	165.97	Total of 2 transactions
12/07/20	1.000	41.81	05/12/20	21.25	...	20.56	Sale ²⁵
	Security total:	3,702.00		2,481.50	...	1,220.50	
EHEALTH, INC. COMMON STOCK / CUSIP: 28238P109 / Symbol:							
09/03/20	1.000	66.60	07/24/20	78.47	11.87 W	0.00	Sale ²⁵
	2 transactions for 09/10/20. Total proceeds and cost reported to the IRS.						
	1.000	72.55	07/24/20	76.00	...	-3.45	1 of 2 - Sale ²⁵
	1.000	72.55	08/20/20	82.60	...	-10.05	2 of 2 - Sale ²⁵
09/10/20	2.000	145.10	Various	158.60	...	-13.50	Total of 2 transactions
	Security total:	211.70		237.07	11.87 W	-13.50	
ENERGY TRANSFER LP COMMON UNIT S REPRESENTING LIMITED PARTNER INTERESTS / CUSIP: 29273V100 / Symbol:							
	3 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	9.000	51.59	09/25/20	48.15	...	3.44	1 of 3 - Sale ²⁵
	20.000	114.64	09/25/20	108.00	...	6.64	2 of 3 - Sale ²⁵
	20.000	114.64	09/25/20	107.60	...	7.04	3 of 3 - Sale ²⁵
10/08/20	49.000	280.87	Various	263.75	...	17.12	Total of 3 transactions
	3 transactions for 11/10/20. Total proceeds and cost reported to the IRS.						
	1.000	5.52	09/25/20	5.35	...	0.17	1 of 3 - Sale ²⁵
	2.000	11.03	10/30/20	10.42	...	0.61	2 of 3 - Sale ²⁵
	27.000	148.91	10/30/20	140.61	...	8.30	3 of 3 - Sale ²⁵
11/10/20	30.000	165.46	Various	156.38	...	9.08	Total of 3 transactions
	Security total:	446.33		420.13	...	26.20	

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ENPHASE ENERGY, INC. COMMON ST OCK / CUSIP: 29355A107 / Symbol:							
3 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	2.000	91.00	06/17/20	79.83	...	11.17	1 of 3 - Sale ²⁵
	3.000	135.24	06/17/20	122.00	...	13.24	2 of 3 - Sale ²⁵
	2.000	91.49	06/17/20	78.00	...	13.49	3 of 3 - Sale ²⁵
06/18/20	7.000	317.73	Various	279.83	...	37.90	Total of 3 transactions
06/22/20	1.000	46.90	06/17/20	38.62	...	8.28	Sale ²⁵
	Security total:	364.63		318.45	...	46.18	
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
3 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	2.000	187.00	10/15/20	177.48	...	9.52	1 of 3 - Sale ²⁵
	1.000	93.50	10/16/20	84.76	...	8.74	2 of 3 - Sale ²⁵
	2.000	187.00	10/16/20	170.59	...	16.41	3 of 3 - Sale ²⁵
12/09/20	5.000	467.50	Various	432.83	...	34.67	Total of 3 transactions
THE GAP, INC. / CUSIP: 364760108 / Symbol:							
2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	10.000	215.90	11/25/20	216.58	...	-0.68	1 of 2 - Sale ²⁵
	10.000	215.90	11/25/20	216.38	...	-0.48	2 of 2 - Sale ²⁵
12/01/20	20.000	431.80	Various	432.96	...	-1.16	Total of 2 transactions
GENERAL MOTORS COMPANY / CUSIP: 37045V100 / Symbol:							
12/22/20	5.000	205.45	12/10/20	213.50	8.05 W	0.00	Sale ²⁵
4 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	4.000	167.52	12/10/20	170.80	...	-3.28	1 of 4 - Sale ²⁵
	1.000	41.88	12/11/20	43.67	...	-1.79	2 of 4 - Sale ²⁵
	4.000	167.52	12/18/20	170.46	...	-2.94	3 of 4 - Sale ²⁵
	1.000	41.88	12/18/20	41.01	...	0.87	4 of 4 - Sale ²⁵
12/28/20	10.000	418.80	Various	425.94	...	-7.14	Total of 4 transactions
	Security total:	624.25		639.44	8.05 W	-7.14	

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Robinhood Securities LLC

Account 609063185

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GROUPON, INC. COMMON STOCK / CUSIP: 399473206 / Symbol:							
2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	3.000	73.67	06/17/20	65.50	...	8.17	1 of 2 - Sale ²⁵
	2.000	49.11	06/18/20	41.90	...	7.21	2 of 2 - Sale ²⁵
08/07/20	5.000	122.78	Various	107.40	...	15.38	Total of 2 transactions
HERTZ GLOBAL HOLDINGS, INC. COMMON STOCK / CUSIP: 42806J106 / Symbol:							
06/15/20	50.000	100.14	06/10/20	120.50	20.36 W	0.00	Sale ²⁵
5 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	50.000	79.05	06/11/20	125.36	...	-46.31	1 of 5 - Sale ²⁵
	100.000	158.08	06/18/20	176.00	...	-17.92	2 of 5 - Sale ²⁵
	31.000	49.01	06/18/20	54.56	...	-5.55	3 of 5 - Sale ²⁵
	10.000	15.81	06/18/20	17.50	...	-1.69	4 of 5 - Sale ²⁵
	9.000	14.23	06/18/20	15.84	...	-1.61	5 of 5 - Sale ²⁵
11/30/20	200.000	316.18	Various	389.26	...	-73.08	Total of 5 transactions
	Security total:	416.32		509.76	20.36 W	-73.08	
HUB GROUP, INC. CLASS A COMMON STOCK / CUSIP: 443320106 / Symbol:							
2 transactions for 05/04/20. Total proceeds and cost reported to the IRS.							
	3.000	123.09	05/01/20	116.25	...	6.84	1 of 2 - Sale ²⁵
	5.000	205.15	05/01/20	194.00	...	11.15	2 of 2 - Sale ²⁵
05/04/20	8.000	328.24	Various	310.25	...	17.99	Total of 2 transactions
INTERNATIONAL CONSOLIDATED AIR LINES GROUP SA SPONSORED AMERICAN DEPOSITORY RECEIPT (SPAIN) / CUSIP: 459348108 / Symbol:							
6 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	41.000	114.39	10/08/20	120.13	...	-5.74	1 of 6 - Sale ²⁵
	8.000	22.32	10/09/20	23.36	...	-1.04	2 of 6 - Sale ²⁵
	1.000	2.79	10/09/20	2.92	...	-0.13	3 of 6 - Sale ²⁵
	11.000	30.69	10/09/20	30.57	...	0.12	4 of 6 - Sale ²⁵
	9.000	25.11	10/09/20	24.48	...	0.63	5 of 6 - Sale ²⁵
	20.000	55.79	10/09/20	54.40	...	1.39	6 of 6 - Sale ²⁵
10/23/20	90.000	251.09	Various	255.86	...	-4.77	Total of 6 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

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INTERNATIONAL CONSOLIDATED AIR LINES GROUP SA SPONSORED AMERI CAN DEPOSITORY RECEIPT (SPAIN) / CUSIP: 459348108 / Symbol: (cont'd)							
2 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	9.000	25.11	10/08/20	26.37	1.12 W	-0.14	1 of 2 - Sale ²⁵
	1.000	2.79	10/08/20	2.93	0.14 W	0.00	2 of 2 - Sale ²⁵
10/23/20	10.000	27.90	Various	29.30	1.26 W	-0.14	Total of 2 transactions
	Security total:	278.99		285.16	1.26 W	-4.91	
LIMELIGHT NETWORKS, INC. COMMO N STOCK / CUSIP: 53261M104 / Symbol:							
3 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	50.000	224.50	10/23/20	215.41	...	9.09	1 of 3 - Sale ²⁵
	20.000	89.80	10/26/20	81.20	...	8.60	2 of 3 - Sale ²⁵
	30.000	134.69	10/26/20	117.54	...	17.15	3 of 3 - Sale ²⁵
11/25/20	100.000	448.99	Various	414.15	...	34.84	Total of 3 transactions
MACY'S INC. / CUSIP: 55616P104 / Symbol:							
03/24/20	1.000	5.40	03/23/20	5.01	...	0.39	Sale ²⁵
2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	2.000	12.60	03/23/20	10.01	...	2.59	1 of 2 - Sale ²⁵
	18.000	113.40	03/31/20	90.54	...	22.86	2 of 2 - Sale ²⁵
04/07/20	20.000	126.00	Various	100.55	...	25.45	Total of 2 transactions
4 transactions for 04/08/20. Total proceeds and cost reported to the IRS.							
	2.000	12.60	03/31/20	10.06	...	2.54	1 of 4 - Sale ²⁵
	4.000	25.20	03/31/20	20.04	...	5.16	2 of 4 - Sale ²⁵
	6.000	37.80	03/31/20	30.06	...	7.74	3 of 4 - Sale ²⁵
	8.000	50.40	03/31/20	39.20	...	11.20	4 of 4 - Sale ²⁵
04/08/20	20.000	126.00	Various	99.36	...	26.64	Total of 4 transactions
4 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	1.000	7.06	03/31/20	4.87	...	2.19	1 of 4 - Sale ²⁵
	10.000	70.60	03/31/20	49.00	...	21.60	2 of 4 - Sale ²⁵
	3.000	21.18	04/01/20	13.52	...	7.66	3 of 4 - Sale ²⁵
	1.000	7.06	04/02/20	4.52	...	2.54	4 of 4 - Sale ²⁵
04/09/20	15.000	105.90	Various	71.91	...	33.99	Total of 4 transactions

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Robinhood Securities LLC

Account 609063185

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
MACY'S INC. / CUSIP: 55616P104 / Symbol: (cont'd)							
<i>4 transactions for 06/08/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	46.65	04/20/20	28.60	...	18.05	1 of 4 - Sale ²⁵
	15.000	139.95	04/20/20	85.80	...	54.15	2 of 4 - Sale ²⁵
	30.000	279.90	04/20/20	169.74	...	110.16	3 of 4 - Sale ²⁵
	30.000	280.80	04/20/20	169.44	...	111.36	4 of 4 - Sale ²⁵
06/08/20	80.000	747.30	Various	453.58	...	293.72	Total of 4 transactions
<i>3 transactions for 06/09/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	99.45	04/20/20	54.00	...	45.45	1 of 3 - Sale ²⁵
	20.000	198.90	04/20/20	112.00	...	86.90	2 of 3 - Sale ²⁵
	20.000	198.90	04/20/20	110.00	...	88.90	3 of 3 - Sale ²⁵
06/09/20	50.000	497.25	Various	276.00	...	221.25	Total of 3 transactions
<i>7 transactions for 06/15/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	137.83	04/20/20	106.80	...	31.03	1 of 7 - Sale ²⁵
	20.000	137.83	04/21/20	100.00	...	37.83	2 of 7 - Sale ²⁵
	30.000	206.74	04/22/20	143.70	...	63.04	3 of 7 - Sale ²⁵
	10.000	68.22	05/12/20	50.10	...	18.12	4 of 7 - Sale ²⁵
	10.000	68.92	05/12/20	50.70	...	18.22	5 of 7 - Sale ²⁵
	20.000	137.83	05/12/20	102.40	...	35.43	6 of 7 - Sale ²⁵
	10.000	68.22	05/22/20	51.70	...	16.52	7 of 7 - Sale ²⁵
06/15/20	120.000	825.59	Various	605.40	...	220.19	Total of 7 transactions
<i>2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	136.43	06/10/20	159.00	22.57 W	0.00	1 of 2 - Sale ²⁵
	10.000	68.22	06/11/20	71.00	2.78 W	0.00	2 of 2 - Sale ²⁵
06/15/20	30.000	204.65	Various	230.00	25.35 W	0.00	Total of 2 transactions
<i>3 transactions for 09/03/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	79.00	06/11/20	76.58	...	2.42	1 of 3 - Sale ²⁵
	10.000	79.00	06/11/20	73.80	...	5.20	2 of 3 - Sale ²⁵
	10.000	79.00	06/11/20	73.80	...	5.20	3 of 3 - Sale ²⁵
09/03/20	30.000	237.00	Various	224.18	...	12.82	Total of 3 transactions
09/03/20	20.000	156.00	06/11/20	164.57	8.57 W	0.00	Sale ²⁵
09/08/20	1.000	7.78	06/19/20	6.90	...	0.88	Sale ²⁵

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Account 609063185

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MACY'S INC. / CUSIP: 55616P104 / Symbol: (cont'd)							
11 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	12.000	83.16	06/19/20	82.80	...	0.36	1 of 11 - Sale ²⁵
	10.000	69.30	06/24/20	65.48	...	3.82	2 of 11 - Sale ²⁵
	10.000	69.30	06/25/20	65.50	...	3.80	3 of 11 - Sale ²⁵
	10.000	69.30	06/25/20	64.68	...	4.62	4 of 11 - Sale ²⁵
	1.000	6.93	06/26/20	6.12	...	0.81	5 of 11 - Sale ²⁵
	7.000	48.51	06/26/20	44.38	...	4.13	6 of 11 - Sale ²⁵
	9.000	64.17	06/26/20	55.06	...	9.11	7 of 11 - Sale ²⁵
	10.000	71.30	06/26/20	61.00	...	10.30	8 of 11 - Sale ²⁵
	20.000	142.60	06/26/20	121.00	...	21.60	9 of 11 - Sale ²⁵
	10.000	71.30	08/03/20	59.18	...	12.12	10 of 11 - Sale ²⁵
	1.000	7.13	08/20/20	6.81	...	0.32	11 of 11 - Sale ²⁵
10/23/20	100.000	703.00	Various	632.01	...	70.99	Total of 11 transactions
8 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	19.000	152.76	08/20/20	129.31	...	23.45	1 of 8 - Sale ²⁵
	1.000	8.04	09/21/20	6.31	...	1.73	2 of 8 - Sale ²⁵
	9.000	71.82	09/21/20	56.79	...	15.03	3 of 8 - Sale ²⁵
	6.000	47.88	09/24/20	36.35	...	11.53	4 of 8 - Sale ²⁵
	15.000	119.69	09/24/20	90.75	...	28.94	5 of 8 - Sale ²⁵
	10.000	79.80	09/25/20	59.78	...	20.02	6 of 8 - Sale ²⁵
	20.000	159.59	09/29/20	115.58	...	44.01	7 of 8 - Sale ²⁵
	20.000	159.59	09/29/20	114.16	...	45.43	8 of 8 - Sale ²⁵
11/10/20	100.000	799.17	Various	609.03	...	190.14	Total of 8 transactions
3 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	1.000	11.26	11/12/20	7.09	...	4.17	1 of 3 - Sale ²⁵
	10.000	112.60	12/11/20	110.88	...	1.72	2 of 3 - Sale ²⁵
	9.000	101.34	12/18/20	93.60	...	7.74	3 of 3 - Sale ²⁵
12/28/20	20.000	225.20	Various	211.57	...	13.63	Total of 3 transactions
	Security total:	4,766.24		3,690.07	33.92 W	1,110.09	

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(continued)

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MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	3.000	197.21	05/26/20	184.11	...	13.10	1 of 2 - Sale ²⁵
	2.000	131.47	05/26/20	115.78	...	15.69	2 of 2 - Sale ²⁵
06/15/20	5.000	328.68	Various	299.89	...	28.79	Total of 2 transactions
07/15/20	5.000	394.71	06/16/20	319.90	...	74.81	Sale ²⁵
07/17/20	1.000	86.70	06/16/20	64.02	...	22.68	Sale ²⁵
3 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	1.000	64.86	06/16/20	64.02	...	0.84	1 of 3 - Sale ²⁵
	2.000	129.72	06/16/20	128.03	...	1.69	2 of 3 - Sale ²⁵
	1.000	66.55	06/16/20	64.02	...	2.53	3 of 3 - Sale ²⁵
09/03/20	4.000	261.13	Various	256.07	...	5.06	Total of 3 transactions
7 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	3.000	200.99	06/16/20	188.40	...	12.59	1 of 7 - Sale ²⁵
	1.000	67.00	06/25/20	61.99	...	5.01	2 of 7 - Sale ²⁵
	1.000	67.00	06/25/20	60.00	...	7.00	3 of 7 - Sale ²⁵
	1.000	67.00	07/02/20	57.79	...	9.21	4 of 7 - Sale ²⁵
	1.000	67.00	07/02/20	57.00	...	10.00	5 of 7 - Sale ²⁵
	2.000	133.99	08/13/20	135.44	...	-1.45	6 of 7 - Sale ²⁵
	1.000	67.07	08/20/20	66.92	...	0.15	7 of 7 - Sale ²⁵
09/23/20	10.000	670.05	Various	627.54	...	42.51	Total of 7 transactions
	Security total:	1,741.27		1,567.42	...	173.85	
MURPHY OIL CORP. / CUSIP: 626717102 / Symbol:							
2 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	10.000	122.00	04/14/20	74.30	...	47.70	1 of 2 - Sale ²⁵
	10.000	122.20	04/14/20	74.30	...	47.90	2 of 2 - Sale ²⁵
04/30/20	20.000	244.20	Various	148.60	...	95.60	Total of 2 transactions
4 transactions for 05/04/20. Total proceeds and cost reported to the IRS.							
	20.000	205.05	04/15/20	140.00	...	65.05	1 of 4 - Sale ²⁵
	10.000	102.52	04/16/20	69.69	...	32.83	2 of 4 - Sale ²⁵
	20.000	207.25	05/01/20	224.00	...	-16.75	3 of 4 - Sale ²⁵
	20.000	207.25	05/01/20	220.00	...	-12.75	4 of 4 - Sale ²⁵
05/04/20	70.000	722.07	Various	653.69	...	68.38	Total of 4 transactions

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MURPHY OIL CORP. / CUSIP: 626717102 / Symbol: (cont'd)							
6 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	1.000	8.85	10/09/20	8.65	...	0.20	1 of 6 - Sale ²⁵
	5.000	44.25	10/09/20	43.18	...	1.07	2 of 6 - Sale ²⁵
	9.000	79.65	10/09/20	77.58	...	2.07	3 of 6 - Sale ²⁵
	5.000	44.25	10/12/20	41.65	...	2.60	4 of 6 - Sale ²⁵
	5.000	44.25	10/16/20	42.64	...	1.61	5 of 6 - Sale ²⁵
	9.000	79.65	10/19/20	75.19	...	4.46	6 of 6 - Sale ²⁵
10/23/20	34.000	300.90	Various	288.89	...	12.01	Total of 6 transactions
6 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	1.000	8.87	10/19/20	8.35	...	0.52	1 of 6 - Sale ²⁵
	4.000	35.48	10/26/20	32.40	...	3.08	2 of 6 - Sale ²⁵
	5.000	44.35	10/26/20	41.15	...	3.20	3 of 6 - Sale ²⁵
	20.000	177.40	10/26/20	164.60	...	12.80	4 of 6 - Sale ²⁵
	10.000	88.70	10/28/20	76.20	...	12.50	5 of 6 - Sale ²⁵
	10.000	88.70	11/05/20	73.15	...	15.55	6 of 6 - Sale ²⁵
11/10/20	50.000	443.50	Various	395.85	...	47.65	Total of 6 transactions
	Security total:	1,710.67		1,487.03	...	223.64	
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
3 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	5.000	250.53	11/13/20	224.18	...	26.35	1 of 3 - Sale ²⁵
	5.000	250.53	11/13/20	207.70	...	42.83	2 of 3 - Sale ²⁵
	9.000	450.94	11/18/20	390.33	...	60.61	3 of 3 - Sale ²⁵
11/30/20	19.000	952.00	Various	822.21	...	129.79	Total of 3 transactions
12/24/20	1.000	46.19	11/18/20	43.37	...	2.82	Sale ²⁵
12/24/20	9.000	415.66	11/30/20	456.30	40.64 W	0.00	Sale ²⁵
	Security total:	1,413.85		1,321.88	40.64 W	132.61	
NIKOLA CORPORATION COMMON STOCK / CUSIP: 654110105 / Symbol:							
8 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	1.000	69.00	06/10/20	66.17	...	2.83	1 of 8 - Sale ²⁵
	2.000	138.00	06/10/20	132.36	...	5.64	2 of 8 - Sale ²⁵
	1.000	69.00	06/11/20	57.00	...	12.00	3 of 8 - Sale ²⁵

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Robinhood Securities LLC

Account 609063185

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	2.000	140.00	06/11/20	114.00	...	26.00	4 of 8 - Sale ²⁵
	1.000	70.00	06/16/20	63.00	...	7.00	5 of 8 - Sale ²⁵
	1.000	75.80	06/16/20	63.00	...	12.80	6 of 8 - Sale ²⁵
	2.000	140.00	06/16/20	127.00	...	13.00	7 of 8 - Sale ²⁵
	2.000	151.60	06/16/20	124.00	...	27.60	8 of 8 - Sale ²⁵
06/23/20	12.000	853.40	Various	746.53	...	106.87	Total of 8 transactions
PFIZER INC. / CUSIP: 717081103 / Symbol:							
4 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	10.000	411.69	11/10/20	388.70	...	22.99	1 of 4 - Sale ²⁵
	5.000	205.84	11/11/20	190.35	...	15.49	2 of 4 - Sale ²⁵
	10.000	411.69	11/11/20	382.98	...	28.71	3 of 4 - Sale ²⁵
	5.000	205.85	11/12/20	189.03	...	16.82	4 of 4 - Sale ²⁵
12/09/20	30.000	1,235.07	Various	1,151.06	...	84.01	Total of 4 transactions
12/31/20	1.000	36.40	11/12/20	37.76	1.36 W	0.00	Sale ²⁵
	Security total:	1,271.47		1,188.82	1.36 W	84.01	
PRECIPIO, INC. COMMON STOCK / CUSIP: 74019L503 / Symbol:							
09/02/20	50.000	105.50	06/24/20	72.50	...	33.00	Sale ²⁵
PROASSURANCE CORPORATION / CUSIP: 74267C106 / Symbol:							
05/11/20	1.000	16.18	05/08/20	16.08	...	0.10	Sale ²⁵
2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	1.000	15.98	05/08/20	15.90	...	0.08	1 of 2 - Sale ²⁵
	3.000	47.92	05/08/20	47.70	...	0.22	2 of 2 - Sale ²⁵
07/23/20	4.000	63.90	Various	63.60	...	0.30	Total of 2 transactions
	Security total:	80.08		79.68	...	0.40	
PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol:							
5 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	1.000	129.58	09/10/20	124.68	...	4.90	1 of 5 - Sale ²⁵
	1.000	129.59	09/10/20	124.00	...	5.59	2 of 5 - Sale ²⁵
	1.000	129.59	09/10/20	123.00	...	6.59	3 of 5 - Sale ²⁵
	1.000	129.59	09/11/20	120.24	...	9.35	4 of 5 - Sale ²⁵

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Robinhood Securities LLC

Account 609063185

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
09/29/20	1.000	129.58	09/11/20	119.93	...	9.65	5 of 5 - Sale ²⁵
	5.000	647.93	Various	611.85	...	36.08	Total of 5 transactions
	4 transactions for 10/05/20. Total proceeds and cost reported to the IRS.						
	1.000	133.15	09/11/20	119.09	...	14.06	1 of 4 - Sale ²⁵
	1.000	133.15	09/17/20	117.47	...	15.68	2 of 4 - Sale ²⁵
	2.000	266.29	09/17/20	233.24	...	33.05	3 of 4 - Sale ²⁵
	1.000	133.14	09/18/20	116.52	...	16.62	4 of 4 - Sale ²⁵
10/05/20	5.000	665.73	Various	586.32	...	79.41	Total of 4 transactions
	5 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	1.000	128.25	09/18/20	116.52	...	11.73	1 of 5 - Sale ²⁵
	1.000	128.25	09/18/20	115.69	...	12.56	2 of 5 - Sale ²⁵
	1.000	128.43	09/18/20	115.61	...	12.82	3 of 5 - Sale ²⁵
	1.000	128.43	09/18/20	115.12	...	13.31	4 of 5 - Sale ²⁵
	1.000	128.43	09/18/20	114.28	...	14.15	5 of 5 - Sale ²⁵
10/06/20	5.000	641.79	Various	577.22	...	64.57	Total of 5 transactions
	3 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	1.000	134.14	09/18/20	113.59	...	20.55	1 of 3 - Sale ²⁵
	1.000	134.14	09/18/20	113.09	...	21.05	2 of 3 - Sale ²⁵
	2.000	268.27	09/18/20	233.34	...	34.93	3 of 3 - Sale ²⁵
10/08/20	4.000	536.55	Various	460.02	...	76.53	Total of 3 transactions
10/23/20	1.000	136.92	09/21/20	110.88	...	26.04	Sale ²⁵
	6 transactions for 11/04/20. Total proceeds and cost reported to the IRS.						
	1.000	139.94	10/26/20	132.32	...	7.62	1 of 6 - Sale ²⁵
	1.000	139.94	10/26/20	132.30	...	7.64	2 of 6 - Sale ²⁵
	1.000	139.93	10/26/20	130.22	...	9.71	3 of 6 - Sale ²⁵
	1.000	139.97	10/28/20	125.75	...	14.22	4 of 6 - Sale ²⁵
	2.000	279.87	10/28/20	253.00	...	26.87	5 of 6 - Sale ²⁵
	4.000	559.86	10/30/20	467.22	...	92.64	6 of 6 - Sale ²⁵
11/04/20	10.000	1,399.51	Various	1,240.81	...	158.70	Total of 6 transactions
	4 transactions for 11/10/20. Total proceeds and cost reported to the IRS.						
	1.000	136.57	10/30/20	116.43	...	20.14	1 of 4 - Sale ²⁵
	2.000	267.45	10/30/20	233.90	...	33.55	2 of 4 - Sale ²⁵
	2.000	267.45	10/30/20	232.86	...	34.59	3 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 609063185

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PROSHARES ULTRAPRO QQQ / CUSIP: 74347X831 / Symbol: (cont'd)							
	9.000	1,229.09	11/10/20	1,185.93	...	43.16	4 of 4 - Sale ²⁵
11/10/20	14.000	1,900.56	Various	1,769.12	...	131.44	Total of 4 transactions
	3 transactions for 11/25/20. Total proceeds and cost reported to the IRS.						
	1.000	150.66	11/10/20	131.77	...	18.89	1 of 3 - Sale ²⁵
	3.000	451.97	11/18/20	430.02	...	21.95	2 of 3 - Sale ²⁵
	5.000	753.28	11/18/20	716.65	...	36.63	3 of 3 - Sale ²⁵
11/25/20	9.000	1,355.91	Various	1,278.44	...	77.47	Total of 3 transactions
12/22/20	1.000	173.99	11/18/20	143.34	...	30.65	Sale ²⁵
	Security total:	7,458.89		6,778.00	...	680.89	
QUANTUMSCAPE CORPORATION / CUSIP: 74767V109 / Symbol:							
	2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	5.000	381.49	12/01/20	185.75	...	195.74	1 of 2 - Sale ²⁵
	5.000	381.49	12/01/20	179.65	...	201.84	2 of 2 - Sale ²⁵
12/09/20	10.000	762.98	Various	365.40	...	397.58	Total of 2 transactions
12/21/20	5.000	531.23	12/02/20	159.40	...	371.83	Sale ²⁵
	Security total:	1,294.21		524.80	...	769.41	
SPDR S&P 500 ETF TRUST / CUSIP: 78462F103 / Symbol:							
11/19/20	1.000	355.83	11/18/20	355.99	...	-0.16	Sale ²⁵
SAP SE / CUSIP: 803054204 / Symbol:							
	3 transactions for 11/10/20. Total proceeds and cost reported to the IRS.						
	1.000	118.01	10/26/20	113.38	...	4.63	1 of 3 - Sale ²⁵
	2.000	236.02	10/26/20	229.62	...	6.40	2 of 3 - Sale ²⁵
	2.000	236.01	10/26/20	229.27	...	6.74	3 of 3 - Sale ²⁵
11/10/20	5.000	590.04	Various	572.27	...	17.77	Total of 3 transactions
SERVICEMASTER GLOBAL HOLDINGS, INC. / CUSIP: 81761R109 / Symbol:							
	2 transactions for 05/08/20. Total proceeds and cost reported to the IRS.						
	2.000	56.68	05/07/20	50.64	...	6.04	1 of 2 - Sale ²⁵
	3.000	85.30	05/07/20	75.96	...	9.34	2 of 2 - Sale ²⁵
05/08/20	5.000	141.98	Various	126.60	...	15.38	Total of 2 transactions

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Robinhood Securities LLC

Account 609063185

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SERVICEMASTER GLOBAL HOLDINGS, INC. / CUSIP: 81761R109 / Symbol: (cont'd)							
05/29/20	2.000	63.56	05/07/20	50.64	...	12.92	Sale ²⁵
	Security total:	205.54		177.24	...	28.30	
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol:							
09/02/20	20.000	141.80	05/28/20	99.70	...	42.10	Sale ²⁵
SYNDAX PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 87164F105 / Symbol:							
3 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	5.000	81.98	05/22/20	80.00	...	1.98	1 of 3 - Sale ²⁵
	1.000	16.39	05/26/20	15.77	...	0.62	2 of 3 - Sale ²⁵
	2.000	32.74	05/26/20	31.54	...	1.20	3 of 3 - Sale ²⁵
08/07/20	8.000	131.11	Various	127.31	...	3.80	Total of 3 transactions
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
5 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	1.000	390.50	09/02/20	448.00	57.50 W	0.00	1 of 5 - Sale ²⁵
	1.000	390.50	09/02/20	428.70	38.20 W	0.00	2 of 5 - Sale ²⁵
	1.000	390.49	09/03/20	410.00	19.51 W	0.00	3 of 5 - Sale ²⁵
	1.000	390.50	09/03/20	406.10	15.60 W	0.00	4 of 5 - Sale ²⁵
	1.000	390.49	09/03/20	411.91	21.42 W	0.00	5 of 5 - Sale ²⁵
09/10/20	5.000	1,952.48	Various	2,104.71	152.23 W	0.00	Total of 5 transactions
18 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	1.000	398.42	09/04/20	393.53	...	4.89	1 of 18 - Sale ²⁵
	1.000	398.41	09/08/20	379.41	...	19.00	2 of 18 - Sale ²⁵
	1.000	398.42	09/08/20	357.77	...	40.65	3 of 18 - Sale ²⁵
	1.000	398.41	09/08/20	356.00	...	42.41	4 of 18 - Sale ²⁵
	1.000	398.42	09/08/20	354.00	...	44.42	5 of 18 - Sale ²⁵
	1.000	398.41	09/08/20	342.75	...	55.66	6 of 18 - Sale ²⁵
	1.000	398.42	09/08/20	342.00	...	56.42	7 of 18 - Sale ²⁵
	1.000	398.60	09/10/20	376.00	...	22.60	8 of 18 - Sale ²⁵
	1.000	398.60	09/10/20	372.00	...	26.60	9 of 18 - Sale ²⁵
	1.000	398.60	09/10/20	370.00	...	28.60	10 of 18 - Sale ²⁵
	1.000	398.60	09/10/20	369.00	...	29.60	11 of 18 - Sale ²⁵
	1.000	398.60	09/10/20	363.38	...	35.22	12 of 18 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	1.000	398.60	09/23/20	376.90	...	21.70	13 of 18 - Sale ²⁵
	1.000	398.59	09/23/20	366.76	...	31.83	14 of 18 - Sale ²⁵
	1.000	410.55	10/30/20	384.70	...	25.85	15 of 18 - Sale ²⁵
	1.000	410.55	11/10/20	409.93	...	0.62	16 of 18 - Sale ²⁵
	1.000	410.55	11/10/20	401.34	...	9.21	17 of 18 - Sale ²⁵
	4.000	1,642.19	11/10/20	1,606.80	...	35.39	18 of 18 - Sale ²⁵
11/10/20	21.000	8,452.94	Various	7,922.27	...	530.67	Total of 18 transactions
	9 transactions for 11/10/20. Total proceeds and cost reported to the IRS.						
	1.000	398.42	09/04/20	439.01	40.59 W	0.00	1 of 9 - Sale ²⁵
	1.000	398.42	09/04/20	423.50	25.08 W	0.00	2 of 9 - Sale ²⁵
	1.000	398.41	09/04/20	404.81	6.40 W	0.00	3 of 9 - Sale ²⁵
	1.000	398.60	10/20/20	461.19	62.59 W	0.00	4 of 9 - Sale ²⁵
	1.000	398.59	10/23/20	437.20	38.61 W	0.00	5 of 9 - Sale ²⁵
	1.000	398.60	10/23/20	418.65	20.05 W	0.00	6 of 9 - Sale ²⁵
	1.000	410.55	10/28/20	472.59	62.04 W	0.00	7 of 9 - Sale ²⁵
	1.000	410.55	10/30/20	442.33	31.78 W	0.00	8 of 9 - Sale ²⁵
	1.000	410.55	10/30/20	416.74	6.19 W	0.00	9 of 9 - Sale ²⁵
11/10/20	9.000	3,622.69	Various	3,916.02	293.33 W	0.00	Total of 9 transactions
11/11/20	2.000	832.08	11/10/20	820.73	...	11.35	Sale ²⁵
	3 transactions for 11/11/20. Total proceeds and cost reported to the IRS.						
	1.000	416.04	11/10/20	463.74	47.70 W	0.00	1 of 3 - Sale ²⁵
	1.000	416.04	11/10/20	442.15	26.11 W	0.00	2 of 3 - Sale ²⁵
	1.000	416.04	11/10/20	416.56	0.52 W	0.00	3 of 3 - Sale ²⁵
11/11/20	3.000	1,248.12	Various	1,322.45	74.33 W	0.00	Total of 3 transactions
	4 transactions for 11/30/20. Total proceeds and cost reported to the IRS.						
	1.000	566.04	11/10/20	458.06	...	107.98	1 of 4 - Sale ²⁵
	1.000	566.04	11/10/20	432.86	...	133.18	2 of 4 - Sale ²⁵
	1.000	566.03	11/10/20	407.27	...	158.76	3 of 4 - Sale ²⁵
	2.000	1,132.07	11/10/20	813.51	...	318.56	4 of 4 - Sale ²⁵
11/30/20	5.000	2,830.18	Various	2,111.70	...	718.48	Total of 4 transactions
	Security total:	18,938.49		18,197.88	519.89 W	1,260.50	
TURQUOISE HILL RESOURCES LTD / CUSIP: 900435108 / Symbol:							
09/10/20	50.000	42.85	09/10/20	42.74	...	0.11	Sale ²⁵

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(continued)

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UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
8 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	5.000	184.85	05/01/20	132.50	...	52.35	1 of 8 - Sale ²⁵
	10.000	370.36	05/01/20	273.00	...	97.36	2 of 8 - Sale ²⁵
	10.000	369.69	05/01/20	265.00	...	104.69	3 of 8 - Sale ²⁵
	4.000	147.99	05/04/20	96.00	...	51.99	4 of 8 - Sale ²⁵
	5.000	184.84	05/04/20	115.30	...	69.54	5 of 8 - Sale ²⁵
	5.000	185.00	05/04/20	115.29	...	69.71	6 of 8 - Sale ²⁵
	4.000	148.00	05/05/20	96.80	...	51.20	7 of 8 - Sale ²⁵
	7.000	258.99	05/05/20	169.40	...	89.59	8 of 8 - Sale ²⁵
09/04/20	50.000	1,849.72	Various	1,263.29	...	586.43	Total of 8 transactions
8 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	3.000	121.05	05/05/20	72.60	...	48.45	1 of 8 - Sale ²⁵
	3.000	120.69	05/07/20	67.50	...	53.19	2 of 8 - Sale ²⁵
	7.000	282.44	05/07/20	157.50	...	124.94	3 of 8 - Sale ²⁵
	10.000	403.49	05/07/20	228.00	...	175.49	4 of 8 - Sale ²⁵
	2.000	80.46	05/12/20	46.32	...	34.14	5 of 8 - Sale ²⁵
	5.000	201.14	05/12/20	116.29	...	84.85	6 of 8 - Sale ²⁵
	5.000	201.15	05/12/20	115.50	...	85.65	7 of 8 - Sale ²⁵
	5.000	201.14	05/12/20	115.00	...	86.14	8 of 8 - Sale ²⁵
11/10/20	40.000	1,611.56	Various	918.71	...	692.85	Total of 8 transactions
2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	4.000	178.49	06/11/20	136.00	...	42.49	1 of 2 - Sale ²⁵
	5.000	223.11	06/11/20	172.50	...	50.61	2 of 2 - Sale ²⁵
11/30/20	9.000	401.60	Various	308.50	...	93.10	Total of 2 transactions
12/07/20	1.000	48.75	06/11/20	34.00	...	14.75	Sale ²⁵
Security total:		3,911.63		2,524.50	...	1,387.13	

UNITED STATES OIL FUND, LP / CUSIP: 91232N207 / Symbol:

5 transactions for 05/05/20. Total proceeds and cost reported to the IRS.

6.000	129.78	04/09/20	236.64	106.86 W	0.00	1 of 5 - Sale ²⁵
2.500	54.08	04/14/20	93.97	39.89 W	0.00	2 of 5 - Sale ²⁵
2.500	54.07	04/15/20	88.80	34.73 W	0.00	3 of 5 - Sale ²⁵
1.250	27.04	04/15/20	43.20	16.16 W	0.00	4 of 5 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 609063185

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UNITED STATES OIL FUND, LP / CUSIP: 91232N207 / Symbol: (cont'd)							
	3.750	81.11	04/20/20	113.25	32.14 W	0.00	5 of 5 - Sale ²⁵
05/05/20	16.000	346.08	Various	575.86	229.78 W	0.00	Total of 5 transactions
09/03/20	1.000	29.23	04/20/20	48.45	...	-19.22	Sale ²⁵
18 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	2.250	65.81	04/20/20	98.40	...	-32.59	1 of 18 - Sale ²⁵
	1.500	43.88	04/20/20	69.89	...	-26.01	2 of 18 - Sale ²⁵
	1.000	29.25	04/20/20	46.36	...	-17.11	3 of 18 - Sale ²⁵
	0.250	7.31	04/20/20	11.07	...	-3.76	4 of 18 - Sale ²⁵
	0.250	7.31	04/20/20	10.69	...	-3.38	5 of 18 - Sale ²⁵
	3.750	109.69	04/21/20	127.24	...	-17.55	6 of 18 - Sale ²⁵
	1.000	29.25	04/21/20	38.29	...	-9.04	7 of 18 - Sale ²⁵
	0.250	7.31	04/21/20	6.10	...	1.21	8 of 18 - Sale ²⁵
	1.500	43.88	04/21/20	38.04	...	5.84	9 of 18 - Sale ²⁵
	2.250	65.82	04/21/20	54.90	...	10.92	10 of 18 - Sale ²⁵
	2.500	73.13	04/21/20	62.00	...	11.13	11 of 18 - Sale ²⁵
	3.750	109.69	04/21/20	93.00	...	16.69	12 of 18 - Sale ²⁵
	3.750	109.69	04/21/20	80.40	...	29.29	13 of 18 - Sale ²⁵
	3.750	109.69	04/21/20	74.40	...	35.29	14 of 18 - Sale ²⁵
	6.250	182.82	04/21/20	147.00	...	35.82	15 of 18 - Sale ²⁵
	3.750	109.69	04/27/20	65.10	...	44.59	16 of 18 - Sale ²⁵
	6.250	182.83	04/28/20	108.00	...	74.83	17 of 18 - Sale ²⁵
	5.000	146.26	05/01/20	182.30	...	-36.04	18 of 18 - Sale ²⁵
09/17/20	49.000	1,433.31	Various	1,313.18	...	120.13	Total of 18 transactions
	Security total:	1,808.62		1,937.49	229.78 W	100.91	
VERASTEM, INC. COMMON STOCK / CUSIP: 92337C104 / Symbol:							
05/08/20	50.000	100.50	04/27/20	108.00	7.50 W	0.00	Sale ²⁵
9 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	30.000	60.15	04/28/20	66.00	...	-5.85	1 of 9 - Sale ²⁵
	20.000	40.10	04/28/20	41.00	...	-0.90	2 of 9 - Sale ²⁵
	30.000	60.15	04/28/20	57.00	...	3.15	3 of 9 - Sale ²⁵
	20.000	40.10	04/29/20	36.00	...	4.10	4 of 9 - Sale ²⁵
	20.000	40.10	04/30/20	34.00	...	6.10	5 of 9 - Sale ²⁵
	20.000	40.10	05/01/20	35.40	...	4.70	6 of 9 - Sale ²⁵

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Robinhood Securities LLC

Account 609063185

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VERASTEM, INC. COMMON STOCK / CUSIP: 92337C104 / Symbol: (cont'd)							
	20.000	40.09	05/07/20	36.80	...	3.29	7 of 9 - Sale ²⁵
	20.000	40.10	09/04/20	22.20	...	17.90	8 of 9 - Sale ²⁵
	20.000	40.09	10/30/20	23.20	...	16.89	9 of 9 - Sale ²⁵
11/30/20	200.000	400.98	Various	351.60	...	49.38	Total of 9 transactions
	Security total:	501.48		459.60	7.50 W	49.38	
VIATRIS INC. COMMON STOCK / CUSIP: 92556V106 / Symbol:							
	7 transactions for 11/30/20. Total proceeds and cost reported to the IRS.						
	0.278	4.72	11/10/20	0.00	...	4.72	1 of 7 - Sale ²⁵
	0.620	10.55	11/11/20	0.00	...	10.55	2 of 7 - Sale ²⁵
	1.241	21.09	11/11/20	0.00	...	21.09	3 of 7 - Sale ²⁵
	0.620	10.55	11/12/20	0.00	...	10.55	4 of 7 - Sale ²⁵
	0.620	10.55	11/12/20	0.00	...	10.55	5 of 7 - Sale ²⁵
	0.620	10.55	11/12/20	0.00	...	10.55	6 of 7 - Sale ²⁵
11/30/20	20.000	340.00	11/20/20	347.00	...	-7.00	7 of 7 - Sale ²⁵
	24.000	408.01	Various	347.00	...	61.01	Total of 7 transactions
WELLS FARGO & CO. / CUSIP: 949746101 / Symbol:							
06/08/20	5.000	165.66	05/08/20	127.48	...	38.18	Sale ²⁵
	2 transactions for 09/30/20. Total proceeds and cost reported to the IRS.						
	5.000	118.25	06/10/20	148.10	...	-29.85	1 of 2 - Sale ²⁵
	2.000	47.30	06/26/20	51.62	...	-4.32	2 of 2 - Sale ²⁵
09/30/20	7.000	165.55	Various	199.72	...	-34.17	Total of 2 transactions
	Security total:	331.21		327.20	...	4.01	
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
12/22/20	1.000	404.82	12/01/20	422.40	17.58 W	0.00	Sale ²⁵
FRONTLINE LTD. / CUSIP: G3682E192 / Symbol:							
05/01/20	30.000	283.50	04/29/20	288.00	4.50 W	0.00	Sale ²⁵

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Robinhood Securities LLC

Account 609063185

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SIGNET JEWELERS LIMITED / CUSIP: G81276100 / Symbol:							
2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	10.000	70.00	03/31/20	63.00	...	7.00	1 of 2 - Sale ²⁵
	10.000	70.74	03/31/20	63.00	...	7.74	2 of 2 - Sale ²⁵
04/07/20	20.000	140.74	Various	126.00	...	14.74	Total of 2 transactions
DHT HOLDINGS, INC. / CUSIP: Y2065G121 / Symbol:							
4 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	10.000	53.52	09/25/20	50.80	...	2.72	1 of 4 - Sale ²⁵
	10.000	53.51	09/28/20	50.65	...	2.86	2 of 4 - Sale ²⁵
	20.000	107.03	09/29/20	101.57	...	5.46	3 of 4 - Sale ²⁵
	9.000	48.16	10/02/20	45.90	...	2.26	4 of 4 - Sale ²⁵
10/08/20	49.000	262.22	Various	248.92	...	13.30	Total of 4 transactions
6 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	1.000	5.38	10/02/20	5.10	...	0.28	1 of 6 - Sale ²⁵
	20.000	107.63	10/19/20	101.59	...	6.04	2 of 6 - Sale ²⁵
	30.000	161.44	10/19/20	152.40	...	9.04	3 of 6 - Sale ²⁵
	20.000	107.63	10/20/20	100.60	...	7.03	4 of 6 - Sale ²⁵
	9.000	48.43	10/26/20	44.64	...	3.79	5 of 6 - Sale ²⁵
	10.000	53.82	10/26/20	49.48	...	4.34	6 of 6 - Sale ²⁵
11/10/20	90.000	484.33	Various	453.81	...	30.52	Total of 6 transactions
	Security total:	746.55		702.73	...	43.82	
Totals :		67,626.62		61,016.97	1,338.28 W	7,947.93	

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Robinhood Securities LLC

Account 609063185

Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
UNITED STATES OIL FUND, LP / CUSIP: 91232N207 / Symbol:							
05/12/20	0.250	4.09	04/09/20	9.86	...	-5.77	Gain or loss
VIATRIS INC. COMMON STOCK / CUSIP: 92556V106 / Symbol:							
11/23/20	0.963	14.82	11/10/20	0.00	...	14.82	Gain or loss
Totals:		18.91		9.86	...	9.05	

Robinhood Securities LLC

Account 609063185

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
IPATH SERIES B S&P GSCI CRUDE OIL ETN	06745R693		04/30/20	1,194.30	Cash liquidation	
PROASSURANCE CORPORATION	74267C106		07/08/20	0.20	Qualified dividend	
WELLS FARGO & CO.	949746101		09/01/20	0.70	Qualified dividend	
FRONTLINE LTD.	G3682E192		06/22/20	49.00	Qualified dividend	03
			09/29/20	42.50	Qualified dividend	03
				91.50	Total Dividends & distributions	
				1,286.70	Total Dividends & distributions	

Robinhood Securities LLC

Account 609063185

Other Receipts and Reconciliations

2020

02/14/2021

This section of your tax information statement contains the detail of the year-to-year adjustments between cash distributions and reportable income for Unit Investment Trusts, reflecting the fact that income is recognized at the time it is received by the trust, rather than when a distribution is made.

Also shown are distributions whose tax impact will be defined by other documentation. In the case of partnership distributions, you will receive Schedule K-1 (Form 1065) directly from the partnership(s). For deferred income, the Form 1099-DIV issued in the following year will characterize the amounts received.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
ENERGY TRANSFER LP COMMON UNIT S REPRESENTING LIMITED PARTNER INTERESTS	29273V100	11/19/20	4.58	Partnership distribution	
			4.58	Total Partnership distribution	

Robinhood Securities LLC

Account 609063185

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		08/12/20	-5.00	Margin interest paid	
		09/11/20	-5.00	Margin interest paid	
		10/12/20	-5.00	Margin interest paid	
		11/10/20	-5.00	Margin interest paid	
		12/10/20	-5.00	Margin interest paid	
			-25.00	Total Margin interest paid	
			-25.00	Total Margin interest paid	

Robinhood Securities LLC

Account 609063185

Foreign Income and Taxes Summary

2020

02/14/2021

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
BD	FRONTLINE LTD.	G3682E192	0.00	91.50		0.00	91.50	0.00
Total BERMUDA			0.00	91.50		0.00	91.50	0.00
UK	IPATH SERIES B S&P GSCI CRUDE OIL ETN	06745R693	0.00	0.00		0.00	0.00	0.00
Total UNITED KINGDOM			0.00	0.00		0.00	0.00	0.00
Grand Total	Total Foreign Source Income*			91.50				
	Qualified Foreign Source Income**			91.50				
	Foreign Tax			0.00				

* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

** Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 609063185

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
IPATH SERIES B S&P GSCI CRUDE OIL ETN	06745R693	04/30/20	-450.00	0.00	Exchange	
VIATRIS INC. COMMON STOCK	92556V106	11/17/20	4.00	0.00	Stock spinoff	

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.