

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 151567450</b>	Statement Date: 02/14/2021 Document ID: 152Y 57G 6KB9	2020
	Monalisa Swain 649 Cowboys Pkwy 3070 Irving, TX 75063  RECIPIENT'S TIN: XXX-XX-3629		

**Summary Information**

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	5.32		2- Royalties	0.00	
1b- Qualified dividends	5.32		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		<b>4- Federal income tax withheld</b>	<b>0.00</b>	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		<b>SECTION 1256 CONTRACTS</b>	<b>2020 1099-B*</b>	OMB No. 1545-0715
3- Nondividend distributions	0.00		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
<b>4- Federal income tax withheld</b>	<b>0.00</b>		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00		<i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>		
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	12,034.58	29,160.68	0.00	13,014.93	-4,111.17
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	6.42	208.00	0.00	0.00	-201.58
	<b>Total Short-term</b>	<b>12,041.00</b>	<b>29,368.68</b>	<b>0.00</b>	<b>13,014.93</b>	<b>-4,312.75</b>
Long	D (basis reported to the IRS)	3,209.99	7,807.37	0.00	4,488.45	-108.93
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>3,209.99</b>	<b>7,807.37</b>	<b>0.00</b>	<b>4,488.45</b>	<b>-108.93</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>15,250.99</b>	<b>37,176.05</b>	<b>0.00</b>	<b>17,503.38</b>	<b>-4,421.68</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

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**Summary Information**

2020

(continued)

02/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QQQ 07/02/2020 PUT \$238.00 / CUSIP: / Symbol:							
15 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	25.000	0.00	06/30/20	475.00	...	-475.00	1 of 15 - Option expiration- long put
	2.000	0.00	06/30/20	166.00	...	-166.00	2 of 15 - Option expiration- long put
	5.000	0.00	06/30/20	165.00	...	-165.00	3 of 15 - Option expiration- long put
	2.000	0.00	06/30/20	134.00	...	-134.00	4 of 15 - Option expiration- long put
	2.000	0.00	06/30/20	108.00	...	-108.00	5 of 15 - Option expiration- long put
	4.000	0.00	06/30/20	100.00	...	-100.00	6 of 15 - Option expiration- long put

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QQQ 07/02/2020 PUT \$238.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	06/30/20	80.00	...	-80.00	7 of 15 - Option expiration- long put
	1.000	0.00	06/30/20	75.00	...	-75.00	8 of 15 - Option expiration- long put
	1.000	0.00	06/30/20	74.00	...	-74.00	9 of 15 - Option expiration- long put
	1.000	0.00	06/30/20	62.00	...	-62.00	10 of 15 - Option expiration- long put
	1.000	0.00	06/30/20	58.00	...	-58.00	11 of 15 - Option expiration- long put
	1.000	0.00	06/30/20	57.00	...	-57.00	12 of 15 - Option expiration- long put
	2.000	0.00	06/30/20	40.00	...	-40.00	13 of 15 - Option expiration- long put
	1.000	0.00	06/30/20	38.00	...	-38.00	14 of 15 - Option expiration- long put
	1.000	0.00	06/30/20	20.00	...	-20.00	15 of 15 - Option expiration- long put
07/02/20	50.000	0.00	Various	1,652.00	...	-1,652.00	Total of 15 transactions
QQQ 07/02/2020 PUT \$239.00 / CUSIP: / Symbol:							
8 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	1.000	5.00	06/29/20	170.00	165.00 W	0.00	1 of 8 - Option sale to close-put <sup>25</sup>
	1.000	5.00	06/29/20	160.00	155.00 W	0.00	2 of 8 - Option sale to close-put <sup>25</sup>
	1.000	5.00	06/29/20	155.00	150.00 W	0.00	3 of 8 - Option sale to close-put <sup>25</sup>
	2.000	9.99	06/30/20	202.00	192.01 W	0.00	4 of 8 - Option sale to close-put <sup>25</sup>
	1.000	4.99	06/30/20	96.00	91.01 W	0.00	5 of 8 - Option sale to close-put <sup>25</sup>
	1.000	5.00	06/30/20	89.00	84.00 W	0.00	6 of 8 - Option sale to close-put <sup>25</sup>
	1.000	5.00	06/30/20	82.00	77.00 W	0.00	7 of 8 - Option sale to close-put <sup>25</sup>
	1.000	4.99	06/30/20	85.00	80.01 W	0.00	8 of 8 - Option sale to close-put <sup>25</sup>
07/01/20	9.000	44.97	Various	1,039.00	994.03 W	0.00	Total of 8 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

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02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
QQQ 07/02/2020 PUT \$239.00 / CUSIP: / Symbol: (cont'd)							
14 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	20.000	0.00	06/30/20	460.00	...	-460.00	1 of 14 - Option expiration- long put
	1.000	0.00	06/30/20	358.02	...	-358.02	2 of 14 - Option expiration- long put
	1.000	0.00	06/30/20	307.00	...	-307.00	3 of 14 - Option expiration- long put
	1.000	0.00	06/30/20	299.00	...	-299.00	4 of 14 - Option expiration- long put
	1.000	0.00	06/30/20	239.02	...	-239.02	5 of 14 - Option expiration- long put
	1.000	0.00	06/30/20	239.01	...	-239.01	6 of 14 - Option expiration- long put
	1.000	0.00	06/30/20	231.02	...	-231.02	7 of 14 - Option expiration- long put
	1.000	0.00	06/30/20	224.01	...	-224.01	8 of 14 - Option expiration- long put
	1.000	0.00	06/30/20	211.03	...	-211.03	9 of 14 - Option expiration- long put
	4.000	0.00	06/30/20	196.02	...	-196.02	10 of 14 - Option expiration- long put
	1.000	0.00	06/30/20	190.01	...	-190.01	11 of 14 - Option expiration- long put
	1.000	0.00	06/30/20	49.01	...	-49.01	12 of 14 - Option expiration- long put
	1.000	0.00	06/30/20	49.00	...	-49.00	13 of 14 - Option expiration- long put
	1.000	0.00	06/30/20	25.00	...	-25.00	14 of 14 - Option expiration- long put
07/02/20	36.000	0.00	Various	3,077.15	...	-3,077.15	Total of 14 transactions
31 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	1.000	2.00	06/30/20	246.00	244.00 W	0.00	1 of 31 - Option sale to close-put <sup>25</sup>
	1.000	2.00	06/30/20	236.00	234.00 W	0.00	2 of 31 - Option sale to close-put <sup>25</sup>
	1.000	2.00	06/30/20	228.00	226.00 W	0.00	3 of 31 - Option sale to close-put <sup>25</sup>
	1.000	2.00	06/30/20	168.01	166.01 W	0.00	4 of 31 - Option sale to close-put <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QQQ 07/02/2020 PUT \$239.00 / CUSIP: / Symbol: (cont'd)							
	1.000	1.99	06/30/20	168.00	166.01 W	0.00	5 of 31 - Option sale to close-put <sup>25</sup>
	1.000	1.99	06/30/20	160.01	158.02 W	0.00	6 of 31 - Option sale to close-put <sup>25</sup>
	1.000	2.00	06/30/20	153.00	151.00 W	0.00	7 of 31 - Option sale to close-put <sup>25</sup>
	1.000	1.99	06/30/20	140.01	138.02 W	0.00	8 of 31 - Option sale to close-put <sup>25</sup>
	1.000	2.00	06/30/20	119.00	117.00 W	0.00	9 of 31 - Option sale to close-put <sup>25</sup>
	1.000	1.00	06/30/20	286.00	285.00 W	0.00	10 of 31 - Option sale to close-put <sup>25</sup>
	1.000	1.00	06/30/20	259.00	258.00 W	0.00	11 of 31 - Option sale to close-put <sup>25</sup>
	1.000	1.00	06/30/20	251.00	250.00 W	0.00	12 of 31 - Option sale to close-put <sup>25</sup>
	1.000	1.00	06/30/20	191.01	190.01 W	0.00	13 of 31 - Option sale to close-put <sup>25</sup>
	1.000	0.99	06/30/20	191.01	190.02 W	0.00	14 of 31 - Option sale to close-put <sup>25</sup>
	1.000	1.00	06/30/20	183.02	182.02 W	0.00	15 of 31 - Option sale to close-put <sup>25</sup>
	1.000	0.99	06/30/20	176.00	175.01 W	0.00	16 of 31 - Option sale to close-put <sup>25</sup>
	1.000	1.00	06/30/20	163.02	162.02 W	0.00	17 of 31 - Option sale to close-put <sup>25</sup>
	1.000	0.99	06/30/20	142.00	141.01 W	0.00	18 of 31 - Option sale to close-put <sup>25</sup>
	1.000	0.98	06/30/20	310.00	309.02 W	0.00	19 of 31 - Option sale to close-put <sup>25</sup>
	1.000	1.00	06/30/20	283.00	282.00 W	0.00	20 of 31 - Option sale to close-put <sup>25</sup>
	1.000	1.00	06/30/20	275.00	274.00 W	0.00	21 of 31 - Option sale to close-put <sup>25</sup>
	1.000	1.00	06/30/20	215.01	214.01 W	0.00	22 of 31 - Option sale to close-put <sup>25</sup>
	1.000	1.00	06/30/20	215.02	214.02 W	0.00	23 of 31 - Option sale to close-put <sup>25</sup>
	1.000	1.00	06/30/20	207.02	206.02 W	0.00	24 of 31 - Option sale to close-put <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
QQQ 07/02/2020 PUT \$239.00 / CUSIP: / Symbol: (cont'd)							
	1.000	1.00	06/30/20	200.01	199.01 W	0.00	25 of 31 - Option sale to close-put <sup>25</sup>
	1.000	1.00	06/30/20	25.00	24.00 W	0.00	26 of 31 - Option sale to close-put <sup>25</sup>
	1.000	1.00	06/30/20	334.02	333.02 W	0.00	27 of 31 - Option sale to close-put <sup>25</sup>
	1.000	0.99	06/30/20	187.02	186.03 W	0.00	28 of 31 - Option sale to close-put <sup>25</sup>
	1.000	1.00	06/30/20	166.01	165.01 W	0.00	29 of 31 - Option sale to close-put <sup>25</sup>
	1.000	0.99	06/30/20	25.00	24.01 W	0.00	30 of 31 - Option sale to close-put <sup>25</sup>
	4.000	3.98	06/30/20	100.00	96.02 W	0.00	31 of 31 - Option sale to close-put <sup>25</sup>
07/02/20	34.000	42.88	Various	6,002.20	5,959.32 W	0.00	Total of 31 transactions
	<b>Security total:</b>	<b>87.85</b>		<b>10,118.35</b>	<b>6,953.35 W</b>	<b>-3,077.15</b>	
SNAP 07/10/2020 PUT \$22.50 / CUSIP: / Symbol:							
3 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	1.000	28.99	06/29/20	85.01	...	-56.02	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	28.99	06/29/20	85.00	...	-56.01	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	28.99	06/29/20	56.00	...	-27.01	3 of 3 - Option sale to close-put <sup>25</sup>
07/02/20	3.000	86.97	Various	226.01	...	-139.04	Total of 3 transactions
2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	1.000	28.99	06/29/20	60.00	31.01 W	0.00	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	29.00	06/29/20	60.00	31.00 W	0.00	2 of 2 - Option sale to close-put <sup>25</sup>
07/02/20	2.000	57.99	Various	120.00	62.01 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>144.96</b>		<b>346.01</b>	<b>62.01 W</b>	<b>-139.04</b>	
SNAP 07/10/2020 PUT \$24.00 / CUSIP: / Symbol:							
7 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	1.000	170.98	06/25/20	90.00	...	80.98	1 of 7 - Option sale to close-put <sup>25</sup>
	1.000	170.99	06/25/20	90.00	...	80.99	2 of 7 - Option sale to close-put <sup>25</sup>
	1.000	170.99	06/25/20	87.00	...	83.99	3 of 7 - Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 151567450

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SNAP 07/10/2020 PUT \$24.00 / CUSIP: / Symbol: (cont'd)							
	1.000	179.99	06/25/20	86.00	...	93.99	4 of 7 - Option sale to close-put <sup>25</sup>
	1.000	179.99	06/25/20	84.00	...	95.99	5 of 7 - Option sale to close-put <sup>25</sup>
	1.000	179.99	06/25/20	83.00	...	96.99	6 of 7 - Option sale to close-put <sup>25</sup>
	1.000	181.98	06/25/20	81.00	...	100.98	7 of 7 - Option sale to close-put <sup>25</sup>
06/29/20	7.000	1,234.91	Various	601.00	...	633.91	Total of 7 transactions
	5 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	1.000	104.98	06/25/20	80.00	...	24.98	1 of 5 - Option sale to close-put <sup>25</sup>
	1.000	104.98	06/25/20	77.00	...	27.98	2 of 5 - Option sale to close-put <sup>25</sup>
	1.000	105.98	06/25/20	77.00	...	28.98	3 of 5 - Option sale to close-put <sup>25</sup>
	1.000	107.98	06/26/20	65.00	...	42.98	4 of 5 - Option sale to close-put <sup>25</sup>
	1.000	107.98	06/26/20	65.00	...	42.98	5 of 5 - Option sale to close-put <sup>25</sup>
06/30/20	5.000	531.90	Various	364.00	...	167.90	Total of 5 transactions
07/01/20	1.000	72.98	06/26/20	65.00	...	7.98	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>1,839.79</b>		<b>1,030.00</b>	...	<b>809.79</b>	
SNAP 07/10/2020 PUT \$26.00 / CUSIP: / Symbol:							
	4 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	1.000	-100.02	06/29/20	0.00	100.02 W	0.00	1 of 4 - Short sale closed- put <sup>25</sup> Option written: 06/26/20
	1.000	-128.04	06/29/20	0.00	128.04 W	0.00	2 of 4 - Short sale closed- put <sup>25</sup> Option written: 06/26/20
	1.000	-125.06	06/29/20	0.00	125.06 W	0.00	3 of 4 - Short sale closed- put <sup>25</sup> Option written: 06/26/20
	1.000	-120.08	06/29/20	0.00	120.08 W	0.00	4 of 4 - Short sale closed- put <sup>25</sup> Option written: 06/26/20
06/30/20	4.000	-473.20	Various	0.00	473.20 W	0.00	Total of 4 transactions
07/01/20	1.000	-33.12	06/30/20	0.00	...	-33.12	Short sale closed- put <sup>25</sup> Option written: 06/26/20
07/01/20	1.000	-79.10	06/30/20	0.00	79.10 W	0.00	Short sale closed- put <sup>25</sup> Option written: 06/26/20
	<b>Security total:</b>	<b>-585.42</b>		<b>0.00</b>	<b>552.30 W</b>	<b>-33.12</b>	

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Robinhood Securities LLC

Account 151567450

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
06/16/20	2.000	37.24	06/15/20	30.30	...	6.94	Sale <sup>25</sup>
	167 transactions for 06/25/20. Total proceeds and cost reported to the IRS.						
	14.000	178.64	06/22/20	206.92	28.28 W	0.00	1 of 167 - Sale <sup>25</sup>
	5.000	63.81	06/22/20	74.20	10.39 W	0.00	2 of 167 - Sale <sup>25</sup>
	9.000	114.84	06/22/20	151.56	36.72 W	0.00	3 of 167 - Sale <sup>25</sup>
	5.000	63.80	06/22/20	84.20	20.40 W	0.00	4 of 167 - Sale <sup>25</sup>
	5.000	63.80	06/22/20	84.49	20.69 W	0.00	5 of 167 - Sale <sup>25</sup>
	5.000	63.80	06/22/20	74.00	10.20 W	0.00	6 of 167 - Sale <sup>25</sup>
	5.000	64.00	06/22/20	94.40	30.40 W	0.00	7 of 167 - Sale <sup>25</sup>
	5.000	64.00	06/22/20	94.69	30.69 W	0.00	8 of 167 - Sale <sup>25</sup>
	9.000	115.20	06/22/20	169.92	54.72 W	0.00	9 of 167 - Sale <sup>25</sup>
	5.000	64.00	06/22/20	84.15	20.15 W	0.00	10 of 167 - Sale <sup>25</sup>
	4.000	51.40	06/22/20	83.40	32.00 W	0.00	11 of 167 - Sale <sup>25</sup>
	5.000	64.25	06/22/20	104.20	39.95 W	0.00	12 of 167 - Sale <sup>25</sup>
	5.000	64.25	06/22/20	104.19	39.94 W	0.00	13 of 167 - Sale <sup>25</sup>
	1.000	12.85	06/22/20	20.73	7.88 W	0.00	14 of 167 - Sale <sup>25</sup>
	4.000	51.40	06/22/20	82.83	31.43 W	0.00	15 of 167 - Sale <sup>25</sup>
	5.000	64.25	06/22/20	93.00	28.75 W	0.00	16 of 167 - Sale <sup>25</sup>
	1.000	12.85	06/23/20	21.67	8.82 W	0.00	17 of 167 - Sale <sup>25</sup>
	4.000	51.40	06/23/20	87.16	35.76 W	0.00	18 of 167 - Sale <sup>25</sup>
	4.000	51.40	06/23/20	86.59	35.19 W	0.00	19 of 167 - Sale <sup>25</sup>
	5.000	64.25	06/23/20	108.90	44.65 W	0.00	20 of 167 - Sale <sup>25</sup>
	5.000	64.25	06/23/20	108.89	44.64 W	0.00	21 of 167 - Sale <sup>25</sup>
	4.000	51.40	06/23/20	78.16	26.76 W	0.00	22 of 167 - Sale <sup>25</sup>
	1.000	12.87	06/23/20	19.54	6.67 W	0.00	23 of 167 - Sale <sup>25</sup>
	1.000	12.87	06/23/20	13.79	0.92 W	0.00	24 of 167 - Sale <sup>25</sup>
	1.000	12.87	06/23/20	22.60	9.73 W	0.00	25 of 167 - Sale <sup>25</sup>
	3.000	38.61	06/23/20	67.73	29.12 W	0.00	26 of 167 - Sale <sup>25</sup>
	4.000	51.48	06/23/20	90.88	39.40 W	0.00	27 of 167 - Sale <sup>25</sup>
	5.000	64.35	06/23/20	113.55	49.20 W	0.00	28 of 167 - Sale <sup>25</sup>
	5.000	64.35	06/23/20	113.54	49.19 W	0.00	29 of 167 - Sale <sup>25</sup>
	1.000	12.87	06/24/20	22.53	9.66 W	0.00	30 of 167 - Sale <sup>25</sup>
	3.000	38.61	06/24/20	61.26	22.65 W	0.00	31 of 167 - Sale <sup>25</sup>
	1.000	12.90	06/24/20	20.40	7.50 W	0.00	32 of 167 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 151567450

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	1.000	12.90	06/24/20	20.42	7.52 W	0.00	33 of 167 - Sale <sup>25</sup>
	2.000	25.80	06/24/20	47.12	21.32 W	0.00	34 of 167 - Sale <sup>25</sup>
	1.000	12.90	06/24/20	14.63	1.73 W	0.00	35 of 167 - Sale <sup>25</sup>
	2.000	25.80	06/24/20	46.96	21.16 W	0.00	36 of 167 - Sale <sup>25</sup>
	3.000	38.70	06/24/20	70.41	31.71 W	0.00	37 of 167 - Sale <sup>25</sup>
	2.000	25.80	06/24/20	46.96	21.16 W	0.00	38 of 167 - Sale <sup>25</sup>
	2.000	25.80	06/24/20	46.95	21.15 W	0.00	39 of 167 - Sale <sup>25</sup>
	1.000	12.96	06/24/20	23.48	10.52 W	0.00	40 of 167 - Sale <sup>25</sup>
	1.000	12.96	06/24/20	23.28	10.32 W	0.00	41 of 167 - Sale <sup>25</sup>
	2.000	25.92	06/24/20	46.78	20.86 W	0.00	42 of 167 - Sale <sup>25</sup>
	2.000	25.92	06/24/20	46.51	20.59 W	0.00	43 of 167 - Sale <sup>25</sup>
	2.000	25.93	06/24/20	48.44	22.51 W	0.00	44 of 167 - Sale <sup>25</sup>
	2.000	25.92	06/24/20	48.28	22.36 W	0.00	45 of 167 - Sale <sup>25</sup>
	2.000	25.93	06/24/20	48.26	22.33 W	0.00	46 of 167 - Sale <sup>25</sup>
	1.000	12.96	06/24/20	23.27	10.31 W	0.00	47 of 167 - Sale <sup>25</sup>
	1.000	12.96	06/24/20	23.22	10.26 W	0.00	48 of 167 - Sale <sup>25</sup>
	1.000	12.96	06/24/20	21.08	8.12 W	0.00	49 of 167 - Sale <sup>25</sup>
	1.000	12.96	06/24/20	21.06	8.10 W	0.00	50 of 167 - Sale <sup>25</sup>
	3.000	38.89	06/24/20	63.33	24.44 W	0.00	51 of 167 - Sale <sup>25</sup>
	1.000	12.96	06/24/20	15.29	2.33 W	0.00	52 of 167 - Sale <sup>25</sup>
	1.000	12.96	06/24/20	24.11	11.15 W	0.00	53 of 167 - Sale <sup>25</sup>
	2.000	25.93	06/24/20	48.24	22.31 W	0.00	54 of 167 - Sale <sup>25</sup>
	1.000	12.97	06/24/20	24.12	11.15 W	0.00	55 of 167 - Sale <sup>25</sup>
	1.000	12.95	06/24/20	24.72	11.77 W	0.00	56 of 167 - Sale <sup>25</sup>
	2.000	25.90	06/24/20	49.59	23.69 W	0.00	57 of 167 - Sale <sup>25</sup>
	1.000	12.95	06/24/20	24.06	11.11 W	0.00	58 of 167 - Sale <sup>25</sup>
	1.000	12.95	06/24/20	23.86	10.91 W	0.00	59 of 167 - Sale <sup>25</sup>
	1.000	12.95	06/24/20	24.11	11.16 W	0.00	60 of 167 - Sale <sup>25</sup>
	2.000	25.90	06/24/20	47.94	22.04 W	0.00	61 of 167 - Sale <sup>25</sup>
	2.000	25.91	06/24/20	47.67	21.76 W	0.00	62 of 167 - Sale <sup>25</sup>
	1.000	12.95	06/24/20	24.68	11.73 W	0.00	63 of 167 - Sale <sup>25</sup>
	2.000	25.91	06/24/20	49.33	23.42 W	0.00	64 of 167 - Sale <sup>25</sup>
	1.000	12.95	06/24/20	23.81	10.86 W	0.00	65 of 167 - Sale <sup>25</sup>
	1.000	12.95	06/24/20	23.76	10.81 W	0.00	66 of 167 - Sale <sup>25</sup>

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Account 151567450

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	1.000	12.95	06/24/20	21.62	8.67 W	0.00	67 of 167 - Sale <sup>25</sup>
	1.000	12.95	06/24/20	21.60	8.65 W	0.00	68 of 167 - Sale <sup>25</sup>
	3.000	38.86	06/24/20	64.94	26.08 W	0.00	69 of 167 - Sale <sup>25</sup>
	1.000	12.95	06/24/20	24.59	11.64 W	0.00	70 of 167 - Sale <sup>25</sup>
	1.000	12.96	06/24/20	24.59	11.63 W	0.00	71 of 167 - Sale <sup>25</sup>
	2.000	25.91	06/24/20	49.19	23.28 W	0.00	72 of 167 - Sale <sup>25</sup>
	1.000	12.70	06/24/20	25.21	12.51 W	0.00	73 of 167 - Sale <sup>25</sup>
	2.000	25.40	06/24/20	50.57	25.17 W	0.00	74 of 167 - Sale <sup>25</sup>
	1.000	12.70	06/24/20	24.60	11.90 W	0.00	75 of 167 - Sale <sup>25</sup>
	1.000	12.70	06/24/20	24.55	11.85 W	0.00	76 of 167 - Sale <sup>25</sup>
	1.000	12.70	06/24/20	24.35	11.65 W	0.00	77 of 167 - Sale <sup>25</sup>
	1.000	12.70	06/24/20	24.32	11.62 W	0.00	78 of 167 - Sale <sup>25</sup>
	2.000	25.40	06/24/20	48.92	23.52 W	0.00	79 of 167 - Sale <sup>25</sup>
	1.000	12.70	06/24/20	15.77	3.07 W	0.00	80 of 167 - Sale <sup>25</sup>
	1.000	12.70	06/24/20	25.15	12.45 W	0.00	81 of 167 - Sale <sup>25</sup>
	1.000	12.70	06/24/20	25.06	12.36 W	0.00	82 of 167 - Sale <sup>25</sup>
	1.000	12.70	06/24/20	25.05	12.35 W	0.00	83 of 167 - Sale <sup>25</sup>
	2.000	25.41	06/24/20	50.26	24.85 W	0.00	84 of 167 - Sale <sup>25</sup>
	2.000	25.41	06/24/20	50.12	24.71 W	0.00	85 of 167 - Sale <sup>25</sup>
	1.000	12.70	06/24/20	24.30	11.60 W	0.00	86 of 167 - Sale <sup>25</sup>
	1.000	12.70	06/24/20	24.28	11.58 W	0.00	87 of 167 - Sale <sup>25</sup>
	1.000	12.71	06/24/20	24.23	11.52 W	0.00	88 of 167 - Sale <sup>25</sup>
	1.000	12.71	06/24/20	22.09	9.38 W	0.00	89 of 167 - Sale <sup>25</sup>
	3.000	38.11	06/24/20	66.34	28.23 W	0.00	90 of 167 - Sale <sup>25</sup>
	1.000	12.75	06/24/20	25.93	13.18 W	0.00	91 of 167 - Sale <sup>25</sup>
	2.000	25.50	06/24/20	52.01	26.51 W	0.00	92 of 167 - Sale <sup>25</sup>
	1.000	12.75	06/24/20	25.32	12.57 W	0.00	93 of 167 - Sale <sup>25</sup>
	1.000	12.75	06/24/20	22.07	9.32 W	0.00	94 of 167 - Sale <sup>25</sup>
	1.000	12.75	06/24/20	24.87	12.12 W	0.00	95 of 167 - Sale <sup>25</sup>
	3.000	38.25	06/24/20	68.28	30.03 W	0.00	96 of 167 - Sale <sup>25</sup>
	1.000	12.75	06/24/20	25.85	13.10 W	0.00	97 of 167 - Sale <sup>25</sup>
	1.000	12.75	06/24/20	25.76	13.01 W	0.00	98 of 167 - Sale <sup>25</sup>
	1.000	12.75	06/24/20	25.75	13.00 W	0.00	99 of 167 - Sale <sup>25</sup>
	2.000	25.50	06/24/20	51.65	26.15 W	0.00	100 of 167 - Sale <sup>25</sup>

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Account 151567450

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	2.000	25.50	06/24/20	51.51	26.01 W	0.00	101 of 167 - Sale <sup>25</sup>
	1.000	12.75	06/24/20	25.25	12.50 W	0.00	102 of 167 - Sale <sup>25</sup>
	1.000	12.75	06/24/20	25.05	12.30 W	0.00	103 of 167 - Sale <sup>25</sup>
	1.000	12.75	06/24/20	25.02	12.27 W	0.00	104 of 167 - Sale <sup>25</sup>
	1.000	12.75	06/24/20	25.00	12.25 W	0.00	105 of 167 - Sale <sup>25</sup>
	1.000	12.75	06/24/20	24.98	12.23 W	0.00	106 of 167 - Sale <sup>25</sup>
	2.000	25.50	06/24/20	50.32	24.82 W	0.00	107 of 167 - Sale <sup>25</sup>
	1.000	12.75	06/24/20	16.47	3.72 W	0.00	108 of 167 - Sale <sup>25</sup>
	1.000	12.73	06/24/20	26.53	13.80 W	0.00	109 of 167 - Sale <sup>25</sup>
	1.000	12.73	06/24/20	26.45	13.72 W	0.00	110 of 167 - Sale <sup>25</sup>
	1.000	12.73	06/24/20	26.36	13.63 W	0.00	111 of 167 - Sale <sup>25</sup>
	1.000	12.73	06/24/20	26.35	13.62 W	0.00	112 of 167 - Sale <sup>25</sup>
	2.000	25.46	06/24/20	53.21	27.75 W	0.00	113 of 167 - Sale <sup>25</sup>
	2.000	25.46	06/24/20	52.85	27.39 W	0.00	114 of 167 - Sale <sup>25</sup>
	2.000	25.46	06/24/20	52.71	27.25 W	0.00	115 of 167 - Sale <sup>25</sup>
	1.000	12.73	06/24/20	25.92	13.19 W	0.00	116 of 167 - Sale <sup>25</sup>
	1.000	12.73	06/24/20	25.85	13.12 W	0.00	117 of 167 - Sale <sup>25</sup>
	1.000	12.73	06/24/20	25.76	13.03 W	0.00	118 of 167 - Sale <sup>25</sup>
	1.000	12.73	06/24/20	22.73	10.00 W	0.00	119 of 167 - Sale <sup>25</sup>
	1.000	12.73	06/24/20	22.67	9.94 W	0.00	120 of 167 - Sale <sup>25</sup>
	1.000	12.73	06/24/20	25.76	13.03 W	0.00	121 of 167 - Sale <sup>25</sup>
	1.000	12.73	06/24/20	25.65	12.92 W	0.00	122 of 167 - Sale <sup>25</sup>
	1.000	12.73	06/24/20	25.62	12.89 W	0.00	123 of 167 - Sale <sup>25</sup>
	1.000	12.73	06/24/20	25.59	12.86 W	0.00	124 of 167 - Sale <sup>25</sup>
	1.000	12.73	06/24/20	25.58	12.85 W	0.00	125 of 167 - Sale <sup>25</sup>
	1.000	12.73	06/24/20	25.37	12.64 W	0.00	126 of 167 - Sale <sup>25</sup>
	3.000	38.19	06/24/20	69.78	31.59 W	0.00	127 of 167 - Sale <sup>25</sup>
	1.000	12.72	06/24/20	16.97	4.25 W	0.00	128 of 167 - Sale <sup>25</sup>
	1.000	12.72	06/25/20	26.25	13.53 W	0.00	129 of 167 - Sale <sup>25</sup>
	1.000	12.72	06/25/20	26.17	13.45 W	0.00	130 of 167 - Sale <sup>25</sup>
	1.000	12.72	06/25/20	26.08	13.36 W	0.00	131 of 167 - Sale <sup>25</sup>
	1.000	12.72	06/25/20	26.07	13.35 W	0.00	132 of 167 - Sale <sup>25</sup>
	2.000	25.44	06/25/20	52.65	27.21 W	0.00	133 of 167 - Sale <sup>25</sup>
	2.000	25.44	06/25/20	52.29	26.85 W	0.00	134 of 167 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 151567450

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	2.000	25.44	06/25/20	52.15	26.71 W	0.00	135 of 167 - Sale <sup>25</sup>
	1.000	12.72	06/25/20	25.64	12.92 W	0.00	136 of 167 - Sale <sup>25</sup>
	1.000	12.72	06/25/20	25.57	12.85 W	0.00	137 of 167 - Sale <sup>25</sup>
	1.000	12.72	06/25/20	25.48	12.76 W	0.00	138 of 167 - Sale <sup>25</sup>
	1.000	12.72	06/25/20	25.48	12.76 W	0.00	139 of 167 - Sale <sup>25</sup>
	1.000	12.72	06/25/20	25.37	12.65 W	0.00	140 of 167 - Sale <sup>25</sup>
	1.000	12.72	06/25/20	25.34	12.62 W	0.00	141 of 167 - Sale <sup>25</sup>
	1.000	12.72	06/25/20	25.31	12.59 W	0.00	142 of 167 - Sale <sup>25</sup>
	1.000	12.72	06/25/20	25.30	12.58 W	0.00	143 of 167 - Sale <sup>25</sup>
	1.000	12.72	06/25/20	25.09	12.37 W	0.00	144 of 167 - Sale <sup>25</sup>
	2.000	25.44	06/25/20	45.96	20.52 W	0.00	145 of 167 - Sale <sup>25</sup>
	1.000	12.72	06/25/20	22.45	9.73 W	0.00	146 of 167 - Sale <sup>25</sup>
	1.000	12.72	06/25/20	22.39	9.67 W	0.00	147 of 167 - Sale <sup>25</sup>
	1.000	12.80	06/25/20	25.98	13.18 W	0.00	148 of 167 - Sale <sup>25</sup>
	2.000	25.60	06/25/20	52.11	26.51 W	0.00	149 of 167 - Sale <sup>25</sup>
	1.000	12.80	06/25/20	22.98	10.18 W	0.00	150 of 167 - Sale <sup>25</sup>
	1.000	12.80	06/25/20	16.70	3.90 W	0.00	151 of 167 - Sale <sup>25</sup>
	1.000	12.80	06/25/20	25.90	13.10 W	0.00	152 of 167 - Sale <sup>25</sup>
	1.000	12.80	06/25/20	25.81	13.01 W	0.00	153 of 167 - Sale <sup>25</sup>
	1.000	12.80	06/25/20	25.80	13.00 W	0.00	154 of 167 - Sale <sup>25</sup>
	2.000	25.60	06/25/20	51.75	26.15 W	0.00	155 of 167 - Sale <sup>25</sup>
	2.000	25.60	06/25/20	51.61	26.01 W	0.00	156 of 167 - Sale <sup>25</sup>
	1.000	12.80	06/25/20	25.37	12.57 W	0.00	157 of 167 - Sale <sup>25</sup>
	1.000	12.80	06/25/20	25.30	12.50 W	0.00	158 of 167 - Sale <sup>25</sup>
	1.000	12.80	06/25/20	25.21	12.41 W	0.00	159 of 167 - Sale <sup>25</sup>
	1.000	12.80	06/25/20	25.21	12.41 W	0.00	160 of 167 - Sale <sup>25</sup>
	1.000	12.80	06/25/20	25.10	12.30 W	0.00	161 of 167 - Sale <sup>25</sup>
	1.000	12.80	06/25/20	25.07	12.27 W	0.00	162 of 167 - Sale <sup>25</sup>
	1.000	12.80	06/25/20	25.04	12.24 W	0.00	163 of 167 - Sale <sup>25</sup>
	1.000	12.80	06/25/20	25.06	12.26 W	0.00	164 of 167 - Sale <sup>25</sup>
	1.000	12.80	06/25/20	24.85	12.05 W	0.00	165 of 167 - Sale <sup>25</sup>
	2.000	25.60	06/25/20	45.48	19.88 W	0.00	166 of 167 - Sale <sup>25</sup>
	1.000	12.80	06/25/20	22.21	9.41 W	0.00	167 of 167 - Sale <sup>25</sup>
06/25/20	320.000	4,100.11	Various	7,023.88	2,923.77 W	0.00	Total of 167 transactions

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Robinhood Securities LLC

Account 151567450

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
72 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
1.000		13.30	06/25/20	25.66	12.36 W	0.00	1 of 72 - Sale <sup>25</sup>
1.000		13.30	06/25/20	25.58	12.28 W	0.00	2 of 72 - Sale <sup>25</sup>
1.000		13.30	06/25/20	25.49	12.19 W	0.00	3 of 72 - Sale <sup>25</sup>
1.000		13.30	06/25/20	25.48	12.18 W	0.00	4 of 72 - Sale <sup>25</sup>
2.000		26.60	06/25/20	51.47	24.87 W	0.00	5 of 72 - Sale <sup>25</sup>
2.000		26.60	06/25/20	51.11	24.51 W	0.00	6 of 72 - Sale <sup>25</sup>
2.000		26.60	06/25/20	50.97	24.37 W	0.00	7 of 72 - Sale <sup>25</sup>
1.000		13.30	06/25/20	25.05	11.75 W	0.00	8 of 72 - Sale <sup>25</sup>
1.000		13.30	06/25/20	22.66	9.36 W	0.00	9 of 72 - Sale <sup>25</sup>
1.000		13.30	06/25/20	22.15	8.85 W	0.00	10 of 72 - Sale <sup>25</sup>
1.000		13.30	06/25/20	16.38	3.08 W	0.00	11 of 72 - Sale <sup>25</sup>
1.000		13.30	06/25/20	24.97	11.67 W	0.00	12 of 72 - Sale <sup>25</sup>
1.000		13.30	06/25/20	24.88	11.58 W	0.00	13 of 72 - Sale <sup>25</sup>
1.000		13.30	06/25/20	24.88	11.58 W	0.00	14 of 72 - Sale <sup>25</sup>
1.000		13.30	06/25/20	24.77	11.47 W	0.00	15 of 72 - Sale <sup>25</sup>
1.000		13.30	06/25/20	24.74	11.44 W	0.00	16 of 72 - Sale <sup>25</sup>
1.000		13.30	06/25/20	24.71	11.41 W	0.00	17 of 72 - Sale <sup>25</sup>
1.000		13.30	06/25/20	24.73	11.43 W	0.00	18 of 72 - Sale <sup>25</sup>
1.000		13.30	06/25/20	24.52	11.22 W	0.00	19 of 72 - Sale <sup>25</sup>
2.000		26.60	06/25/20	44.82	18.22 W	0.00	20 of 72 - Sale <sup>25</sup>
1.000		13.28	06/25/20	24.83	11.55 W	0.00	21 of 72 - Sale <sup>25</sup>
1.000		13.28	06/25/20	24.75	11.47 W	0.00	22 of 72 - Sale <sup>25</sup>
1.000		13.28	06/25/20	24.66	11.38 W	0.00	23 of 72 - Sale <sup>25</sup>
1.000		13.28	06/25/20	24.65	11.37 W	0.00	24 of 72 - Sale <sup>25</sup>
2.000		26.56	06/25/20	49.81	23.25 W	0.00	25 of 72 - Sale <sup>25</sup>
2.000		26.56	06/25/20	49.45	22.89 W	0.00	26 of 72 - Sale <sup>25</sup>
2.000		26.56	06/25/20	49.31	22.75 W	0.00	27 of 72 - Sale <sup>25</sup>
1.000		13.28	06/25/20	24.22	10.94 W	0.00	28 of 72 - Sale <sup>25</sup>
1.000		13.28	06/25/20	21.83	8.55 W	0.00	29 of 72 - Sale <sup>25</sup>
1.000		13.28	06/25/20	21.32	8.04 W	0.00	30 of 72 - Sale <sup>25</sup>
1.000		13.28	06/25/20	21.88	8.60 W	0.00	31 of 72 - Sale <sup>25</sup>
1.000		13.28	06/25/20	24.07	10.79 W	0.00	32 of 72 - Sale <sup>25</sup>
1.000		13.28	06/25/20	23.98	10.70 W	0.00	33 of 72 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 151567450

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	1.000	13.28	06/25/20	23.98	10.70 W	0.00	34 of 72 - Sale <sup>25</sup>
	1.000	13.28	06/25/20	23.87	10.59 W	0.00	35 of 72 - Sale <sup>25</sup>
	1.000	13.28	06/25/20	23.84	10.56 W	0.00	36 of 72 - Sale <sup>25</sup>
	1.000	13.28	06/25/20	23.81	10.53 W	0.00	37 of 72 - Sale <sup>25</sup>
	1.000	13.28	06/25/20	23.83	10.55 W	0.00	38 of 72 - Sale <sup>25</sup>
	1.000	13.28	06/25/20	23.62	10.34 W	0.00	39 of 72 - Sale <sup>25</sup>
	2.000	26.56	06/25/20	43.02	16.46 W	0.00	40 of 72 - Sale <sup>25</sup>
	1.000	13.21	06/25/20	23.95	10.74 W	0.00	41 of 72 - Sale <sup>25</sup>
	2.000	26.42	06/25/20	48.05	21.63 W	0.00	42 of 72 - Sale <sup>25</sup>
	1.000	13.21	06/25/20	15.48	2.27 W	0.00	43 of 72 - Sale <sup>25</sup>
	1.000	13.21	06/25/20	23.82	10.61 W	0.00	44 of 72 - Sale <sup>25</sup>
	1.000	13.21	06/25/20	23.73	10.52 W	0.00	45 of 72 - Sale <sup>25</sup>
	1.000	13.21	06/25/20	23.72	10.51 W	0.00	46 of 72 - Sale <sup>25</sup>
	2.000	26.42	06/25/20	47.59	21.17 W	0.00	47 of 72 - Sale <sup>25</sup>
	2.000	26.42	06/25/20	47.45	21.03 W	0.00	48 of 72 - Sale <sup>25</sup>
	1.000	13.21	06/25/20	23.29	10.08 W	0.00	49 of 72 - Sale <sup>25</sup>
	1.000	13.21	06/25/20	23.14	9.93 W	0.00	50 of 72 - Sale <sup>25</sup>
	1.000	13.21	06/25/20	23.05	9.84 W	0.00	51 of 72 - Sale <sup>25</sup>
	1.000	13.21	06/25/20	23.05	9.84 W	0.00	52 of 72 - Sale <sup>25</sup>
	1.000	13.21	06/25/20	22.94	9.73 W	0.00	53 of 72 - Sale <sup>25</sup>
	1.000	13.21	06/25/20	22.91	9.70 W	0.00	54 of 72 - Sale <sup>25</sup>
	1.000	13.21	06/25/20	22.88	9.67 W	0.00	55 of 72 - Sale <sup>25</sup>
	1.000	13.21	06/25/20	22.90	9.69 W	0.00	56 of 72 - Sale <sup>25</sup>
	1.000	13.21	06/25/20	22.69	9.48 W	0.00	57 of 72 - Sale <sup>25</sup>
	1.000	13.21	06/25/20	20.90	7.69 W	0.00	58 of 72 - Sale <sup>25</sup>
	1.000	13.21	06/25/20	20.58	7.37 W	0.00	59 of 72 - Sale <sup>25</sup>
	1.000	13.21	06/25/20	20.39	7.18 W	0.00	60 of 72 - Sale <sup>25</sup>
	1.000	13.21	06/25/20	20.95	7.74 W	0.00	61 of 72 - Sale <sup>25</sup>
	1.000	13.21	06/25/20	23.08	9.87 W	0.00	62 of 72 - Sale <sup>25</sup>
	1.000	13.21	06/25/20	22.95	9.74 W	0.00	63 of 72 - Sale <sup>25</sup>
	1.000	13.21	06/25/20	22.86	9.65 W	0.00	64 of 72 - Sale <sup>25</sup>
	1.000	13.21	06/25/20	22.85	9.64 W	0.00	65 of 72 - Sale <sup>25</sup>
	2.000	26.42	06/25/20	46.31	19.89 W	0.00	66 of 72 - Sale <sup>25</sup>
	2.000	26.42	06/25/20	45.85	19.43 W	0.00	67 of 72 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 151567450

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	2.000	26.42	06/25/20	45.71	19.29 W	0.00	68 of 72 - Sale <sup>25</sup>
	1.000	13.21	06/25/20	22.42	9.21 W	0.00	69 of 72 - Sale <sup>25</sup>
	1.000	13.21	06/25/20	22.27	9.06 W	0.00	70 of 72 - Sale <sup>25</sup>
	1.000	13.21	06/25/20	20.57	7.36 W	0.00	71 of 72 - Sale <sup>25</sup>
	1.000	13.21	06/25/20	14.61	1.40 W	0.00	72 of 72 - Sale <sup>25</sup>
06/26/20	86.000	1,139.90	Various	2,008.69	868.79 W	0.00	Total of 72 transactions
	23 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	2.000	26.64	06/25/20	35.98	...	-9.34	1 of 23 - Sale <sup>25</sup>
	1.000	13.32	06/25/20	17.99	...	-4.67	2 of 23 - Sale <sup>25</sup>
	1.000	13.32	06/25/20	17.56	...	-4.24	3 of 23 - Sale <sup>25</sup>
	1.000	13.32	06/25/20	17.28	...	-3.96	4 of 23 - Sale <sup>25</sup>
	1.000	13.32	06/25/20	17.26	...	-3.94	5 of 23 - Sale <sup>25</sup>
	1.000	13.32	06/25/20	17.22	...	-3.90	6 of 23 - Sale <sup>25</sup>
	1.000	13.37	06/25/20	17.27	...	-3.90	7 of 23 - Sale <sup>25</sup>
	1.000	13.32	06/25/20	17.14	...	-3.82	8 of 23 - Sale <sup>25</sup>
	1.000	13.32	06/25/20	16.66	...	-3.34	9 of 23 - Sale <sup>25</sup>
	2.000	26.80	06/25/20	29.89	...	-3.09	10 of 23 - Sale <sup>25</sup>
	1.000	13.28	06/25/20	15.86	...	-2.58	11 of 23 - Sale <sup>25</sup>
	1.000	13.28	06/25/20	15.84	...	-2.56	12 of 23 - Sale <sup>25</sup>
	1.000	13.32	06/25/20	15.73	...	-2.41	13 of 23 - Sale <sup>25</sup>
	1.000	13.28	06/25/20	15.54	...	-2.26	14 of 23 - Sale <sup>25</sup>
	1.000	13.37	06/25/20	15.53	...	-2.16	15 of 23 - Sale <sup>25</sup>
	1.000	13.28	06/25/20	15.42	...	-2.14	16 of 23 - Sale <sup>25</sup>
	1.000	13.28	06/25/20	15.40	...	-2.12	17 of 23 - Sale <sup>25</sup>
	1.000	13.37	06/25/20	15.13	...	-1.76	18 of 23 - Sale <sup>25</sup>
	1.000	13.40	06/25/20	14.88	...	-1.48	19 of 23 - Sale <sup>25</sup>
	1.000	13.37	06/25/20	14.81	...	-1.44	20 of 23 - Sale <sup>25</sup>
	1.000	13.40	06/25/20	14.82	...	-1.42	21 of 23 - Sale <sup>25</sup>
	1.000	13.37	06/25/20	14.62	...	-1.25	22 of 23 - Sale <sup>25</sup>
	1.000	13.07	06/25/20	12.91	...	0.16	23 of 23 - Sale <sup>25</sup>
06/29/20	25.000	333.12	Various	400.74	...	-67.62	Total of 23 transactions
	217 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	1.000	13.00	06/25/20	22.14	9.14 W	0.00	1 of 217 - Sale <sup>25</sup>
	2.000	26.00	06/25/20	44.43	18.43 W	0.00	2 of 217 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 151567450

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	1.000	13.00	06/25/20	22.11	9.11 W	0.00	3 of 217 - Sale <sup>25</sup>
	1.000	13.00	06/25/20	22.11	9.11 W	0.00	4 of 217 - Sale <sup>25</sup>
	1.000	13.00	06/25/20	22.00	9.00 W	0.00	5 of 217 - Sale <sup>25</sup>
	1.000	13.00	06/25/20	21.97	8.97 W	0.00	6 of 217 - Sale <sup>25</sup>
	1.000	13.00	06/25/20	21.94	8.94 W	0.00	7 of 217 - Sale <sup>25</sup>
	1.000	13.00	06/25/20	21.96	8.96 W	0.00	8 of 217 - Sale <sup>25</sup>
	1.000	13.00	06/25/20	21.75	8.75 W	0.00	9 of 217 - Sale <sup>25</sup>
	1.000	13.00	06/25/20	19.96	6.96 W	0.00	10 of 217 - Sale <sup>25</sup>
	1.000	13.00	06/25/20	19.64	6.64 W	0.00	11 of 217 - Sale <sup>25</sup>
	1.000	13.00	06/25/20	19.45	6.45 W	0.00	12 of 217 - Sale <sup>25</sup>
	1.000	13.00	06/25/20	20.01	7.01 W	0.00	13 of 217 - Sale <sup>25</sup>
	1.000	13.00	06/25/20	22.01	9.01 W	0.00	14 of 217 - Sale <sup>25</sup>
	1.000	13.00	06/25/20	21.92	8.92 W	0.00	15 of 217 - Sale <sup>25</sup>
	2.000	26.00	06/25/20	43.97	17.97 W	0.00	16 of 217 - Sale <sup>25</sup>
	1.000	12.99	06/25/20	21.41	8.42 W	0.00	17 of 217 - Sale <sup>25</sup>
	2.000	25.98	06/25/20	42.97	16.99 W	0.00	18 of 217 - Sale <sup>25</sup>
	1.000	12.99	06/25/20	21.38	8.39 W	0.00	19 of 217 - Sale <sup>25</sup>
	1.000	12.99	06/25/20	21.38	8.39 W	0.00	20 of 217 - Sale <sup>25</sup>
	1.000	12.99	06/25/20	21.27	8.28 W	0.00	21 of 217 - Sale <sup>25</sup>
	1.000	12.99	06/25/20	21.24	8.25 W	0.00	22 of 217 - Sale <sup>25</sup>
	1.000	12.99	06/25/20	21.21	8.22 W	0.00	23 of 217 - Sale <sup>25</sup>
	1.000	12.99	06/25/20	21.23	8.24 W	0.00	24 of 217 - Sale <sup>25</sup>
	1.000	12.99	06/25/20	21.02	8.03 W	0.00	25 of 217 - Sale <sup>25</sup>
	1.000	12.99	06/25/20	21.91	8.92 W	0.00	26 of 217 - Sale <sup>25</sup>
	2.000	25.98	06/25/20	43.83	17.85 W	0.00	27 of 217 - Sale <sup>25</sup>
	1.000	12.99	06/25/20	21.48	8.49 W	0.00	28 of 217 - Sale <sup>25</sup>
	1.000	12.99	06/25/20	21.33	8.34 W	0.00	29 of 217 - Sale <sup>25</sup>
	1.000	12.99	06/25/20	19.23	6.24 W	0.00	30 of 217 - Sale <sup>25</sup>
	1.000	12.99	06/25/20	18.91	5.92 W	0.00	31 of 217 - Sale <sup>25</sup>
	1.000	12.99	06/25/20	18.72	5.73 W	0.00	32 of 217 - Sale <sup>25</sup>
	1.000	12.99	06/25/20	19.63	6.64 W	0.00	33 of 217 - Sale <sup>25</sup>
	1.000	12.99	06/25/20	13.67	0.68 W	0.00	34 of 217 - Sale <sup>25</sup>
	1.000	12.99	06/25/20	20.73	7.74 W	0.00	35 of 217 - Sale <sup>25</sup>
	2.000	25.98	06/25/20	41.60	15.62 W	0.00	36 of 217 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 151567450

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	1.000	12.99	06/25/20	20.70	7.71 W	0.00	37 of 217 - Sale <sup>25</sup>
	1.000	12.99	06/25/20	20.70	7.71 W	0.00	38 of 217 - Sale <sup>25</sup>
	1.000	12.99	06/25/20	20.58	7.59 W	0.00	39 of 217 - Sale <sup>25</sup>
	1.000	12.99	06/25/20	20.56	7.57 W	0.00	40 of 217 - Sale <sup>25</sup>
	1.000	12.99	06/25/20	20.52	7.53 W	0.00	41 of 217 - Sale <sup>25</sup>
	1.000	12.99	06/25/20	20.55	7.56 W	0.00	42 of 217 - Sale <sup>25</sup>
	1.000	12.99	06/25/20	21.32	8.33 W	0.00	43 of 217 - Sale <sup>25</sup>
	1.000	12.99	06/25/20	21.23	8.24 W	0.00	44 of 217 - Sale <sup>25</sup>
	2.000	25.98	06/25/20	42.59	16.61 W	0.00	45 of 217 - Sale <sup>25</sup>
	1.000	12.99	06/25/20	19.32	6.33 W	0.00	46 of 217 - Sale <sup>25</sup>
	1.000	12.99	06/25/20	20.69	7.70 W	0.00	47 of 217 - Sale <sup>25</sup>
	1.000	12.99	06/25/20	21.58	8.59 W	0.00	48 of 217 - Sale <sup>25</sup>
	2.000	25.98	06/25/20	43.17	17.19 W	0.00	49 of 217 - Sale <sup>25</sup>
	1.000	12.99	06/25/20	21.15	8.16 W	0.00	50 of 217 - Sale <sup>25</sup>
	1.000	13.00	06/25/20	20.35	7.35 W	0.00	51 of 217 - Sale <sup>25</sup>
	1.000	13.00	06/25/20	20.35	7.35 W	0.00	52 of 217 - Sale <sup>25</sup>
	1.000	13.00	06/25/20	20.23	7.23 W	0.00	53 of 217 - Sale <sup>25</sup>
	1.000	13.00	06/25/20	20.21	7.21 W	0.00	54 of 217 - Sale <sup>25</sup>
	1.000	13.00	06/25/20	20.17	7.17 W	0.00	55 of 217 - Sale <sup>25</sup>
	1.000	13.00	06/25/20	20.20	7.20 W	0.00	56 of 217 - Sale <sup>25</sup>
	1.000	13.00	06/25/20	20.34	7.34 W	0.00	57 of 217 - Sale <sup>25</sup>
	1.000	13.00	06/25/20	20.97	7.97 W	0.00	58 of 217 - Sale <sup>25</sup>
	2.000	26.00	06/25/20	41.89	15.89 W	0.00	59 of 217 - Sale <sup>25</sup>
	1.000	13.00	06/25/20	20.40	7.40 W	0.00	60 of 217 - Sale <sup>25</sup>
	2.000	26.00	06/25/20	40.94	14.94 W	0.00	61 of 217 - Sale <sup>25</sup>
	1.000	13.00	06/25/20	21.00	8.00 W	0.00	62 of 217 - Sale <sup>25</sup>
	1.000	13.00	06/25/20	18.90	5.90 W	0.00	63 of 217 - Sale <sup>25</sup>
	1.000	13.00	06/25/20	18.58	5.58 W	0.00	64 of 217 - Sale <sup>25</sup>
	1.000	13.00	06/25/20	18.39	5.39 W	0.00	65 of 217 - Sale <sup>25</sup>
	1.000	13.00	06/25/20	19.30	6.30 W	0.00	66 of 217 - Sale <sup>25</sup>
	1.000	13.00	06/25/20	13.34	0.34 W	0.00	67 of 217 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	20.88	7.85 W	0.00	68 of 217 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	21.23	8.20 W	0.00	69 of 217 - Sale <sup>25</sup>
	2.000	26.06	06/25/20	42.47	16.41 W	0.00	70 of 217 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 151567450

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	1.000	13.03	06/25/20	20.80	7.77 W	0.00	71 of 217 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	18.97	5.94 W	0.00	72 of 217 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	19.97	6.94 W	0.00	73 of 217 - Sale <sup>25</sup>
	2.000	26.06	06/25/20	40.08	14.02 W	0.00	74 of 217 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	19.92	6.89 W	0.00	75 of 217 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	19.92	6.89 W	0.00	76 of 217 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	19.80	6.77 W	0.00	77 of 217 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	19.78	6.75 W	0.00	78 of 217 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	19.74	6.71 W	0.00	79 of 217 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	19.77	6.74 W	0.00	80 of 217 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	19.91	6.88 W	0.00	81 of 217 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	20.54	7.51 W	0.00	82 of 217 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	20.52	7.49 W	0.00	83 of 217 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	20.57	7.54 W	0.00	84 of 217 - Sale <sup>25</sup>
	1.000	13.07	06/25/20	18.47	5.40 W	0.00	85 of 217 - Sale <sup>25</sup>
	1.000	13.07	06/25/20	18.15	5.08 W	0.00	86 of 217 - Sale <sup>25</sup>
	1.000	13.07	06/25/20	17.96	4.89 W	0.00	87 of 217 - Sale <sup>25</sup>
	1.000	13.07	06/25/20	18.87	5.80 W	0.00	88 of 217 - Sale <sup>25</sup>
	1.000	13.07	06/25/20	19.51	6.44 W	0.00	89 of 217 - Sale <sup>25</sup>
	2.000	26.14	06/25/20	39.16	13.02 W	0.00	90 of 217 - Sale <sup>25</sup>
	1.000	13.07	06/25/20	19.46	6.39 W	0.00	91 of 217 - Sale <sup>25</sup>
	1.000	13.07	06/25/20	19.46	6.39 W	0.00	92 of 217 - Sale <sup>25</sup>
	1.000	13.07	06/25/20	19.34	6.27 W	0.00	93 of 217 - Sale <sup>25</sup>
	1.000	13.07	06/25/20	19.32	6.25 W	0.00	94 of 217 - Sale <sup>25</sup>
	1.000	13.07	06/25/20	20.51	7.44 W	0.00	95 of 217 - Sale <sup>25</sup>
	1.000	13.07	06/25/20	20.42	7.35 W	0.00	96 of 217 - Sale <sup>25</sup>
	1.000	13.07	06/25/20	20.77	7.70 W	0.00	97 of 217 - Sale <sup>25</sup>
	2.000	26.14	06/25/20	41.55	15.41 W	0.00	98 of 217 - Sale <sup>25</sup>
	1.000	13.07	06/25/20	20.34	7.27 W	0.00	99 of 217 - Sale <sup>25</sup>
	1.000	13.07	06/25/20	18.51	5.44 W	0.00	100 of 217 - Sale <sup>25</sup>
	1.000	13.04	06/25/20	19.00	5.96 W	0.00	101 of 217 - Sale <sup>25</sup>
	2.000	26.08	06/25/20	38.13	12.05 W	0.00	102 of 217 - Sale <sup>25</sup>
	1.000	13.04	06/25/20	18.94	5.90 W	0.00	103 of 217 - Sale <sup>25</sup>
	1.000	13.04	06/25/20	19.27	6.23 W	0.00	104 of 217 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 151567450

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	1.000	13.04	06/25/20	19.30	6.26 W	0.00	105 of 217 - Sale <sup>25</sup>
	1.000	13.04	06/25/20	19.44	6.40 W	0.00	106 of 217 - Sale <sup>25</sup>
	1.000	13.04	06/25/20	20.07	7.03 W	0.00	107 of 217 - Sale <sup>25</sup>
	1.000	13.04	06/25/20	20.05	7.01 W	0.00	108 of 217 - Sale <sup>25</sup>
	1.000	13.04	06/25/20	20.10	7.06 W	0.00	109 of 217 - Sale <sup>25</sup>
	1.000	13.04	06/25/20	17.96	4.92 W	0.00	110 of 217 - Sale <sup>25</sup>
	1.000	13.04	06/25/20	17.64	4.60 W	0.00	111 of 217 - Sale <sup>25</sup>
	1.000	13.04	06/25/20	17.45	4.41 W	0.00	112 of 217 - Sale <sup>25</sup>
	1.000	13.04	06/25/20	18.36	5.32 W	0.00	113 of 217 - Sale <sup>25</sup>
	1.000	13.04	06/25/20	18.94	5.90 W	0.00	114 of 217 - Sale <sup>25</sup>
	1.000	13.04	06/25/20	18.82	5.78 W	0.00	115 of 217 - Sale <sup>25</sup>
	1.000	13.04	06/25/20	18.80	5.76 W	0.00	116 of 217 - Sale <sup>25</sup>
	1.000	13.04	06/25/20	19.99	6.95 W	0.00	117 of 217 - Sale <sup>25</sup>
	1.000	13.04	06/25/20	19.90	6.86 W	0.00	118 of 217 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	18.51	5.48 W	0.00	119 of 217 - Sale <sup>25</sup>
	2.000	26.06	06/25/20	37.15	11.09 W	0.00	120 of 217 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	18.45	5.42 W	0.00	121 of 217 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	20.25	7.22 W	0.00	122 of 217 - Sale <sup>25</sup>
	2.000	26.06	06/25/20	40.51	14.45 W	0.00	123 of 217 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	19.82	6.79 W	0.00	124 of 217 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	17.99	4.96 W	0.00	125 of 217 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	18.77	5.74 W	0.00	126 of 217 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	18.80	5.77 W	0.00	127 of 217 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	18.94	5.91 W	0.00	128 of 217 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	19.57	6.54 W	0.00	129 of 217 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	19.55	6.52 W	0.00	130 of 217 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	19.60	6.57 W	0.00	131 of 217 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	17.46	4.43 W	0.00	132 of 217 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	17.14	4.11 W	0.00	133 of 217 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	16.95	3.92 W	0.00	134 of 217 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	17.86	4.83 W	0.00	135 of 217 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	18.47	5.44 W	0.00	136 of 217 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	18.35	5.32 W	0.00	137 of 217 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	18.33	5.30 W	0.00	138 of 217 - Sale <sup>25</sup>

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Account 151567450

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	1.000	13.03	06/25/20	19.52	6.49 W	0.00	139 of 217 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	19.43	6.40 W	0.00	140 of 217 - Sale <sup>25</sup>
	1.000	13.06	06/25/20	18.05	4.99 W	0.00	141 of 217 - Sale <sup>25</sup>
	2.000	26.12	06/25/20	36.23	10.11 W	0.00	142 of 217 - Sale <sup>25</sup>
	1.000	13.06	06/25/20	17.99	4.93 W	0.00	143 of 217 - Sale <sup>25</sup>
	1.000	13.06	06/25/20	19.79	6.73 W	0.00	144 of 217 - Sale <sup>25</sup>
	2.000	26.12	06/25/20	39.59	13.47 W	0.00	145 of 217 - Sale <sup>25</sup>
	1.000	13.06	06/25/20	19.36	6.30 W	0.00	146 of 217 - Sale <sup>25</sup>
	1.000	13.06	06/25/20	17.53	4.47 W	0.00	147 of 217 - Sale <sup>25</sup>
	1.000	13.06	06/25/20	17.98	4.92 W	0.00	148 of 217 - Sale <sup>25</sup>
	1.000	13.06	06/25/20	17.86	4.80 W	0.00	149 of 217 - Sale <sup>25</sup>
	1.000	13.06	06/25/20	17.84	4.78 W	0.00	150 of 217 - Sale <sup>25</sup>
	1.000	13.06	06/25/20	18.28	5.22 W	0.00	151 of 217 - Sale <sup>25</sup>
	1.000	13.06	06/25/20	18.31	5.25 W	0.00	152 of 217 - Sale <sup>25</sup>
	1.000	13.06	06/25/20	18.45	5.39 W	0.00	153 of 217 - Sale <sup>25</sup>
	1.000	13.06	06/25/20	19.08	6.02 W	0.00	154 of 217 - Sale <sup>25</sup>
	1.000	13.06	06/25/20	19.06	6.00 W	0.00	155 of 217 - Sale <sup>25</sup>
	1.000	13.06	06/25/20	19.02	5.96 W	0.00	156 of 217 - Sale <sup>25</sup>
	1.000	13.06	06/25/20	18.94	5.88 W	0.00	157 of 217 - Sale <sup>25</sup>
	1.000	13.08	06/25/20	19.11	6.03 W	0.00	158 of 217 - Sale <sup>25</sup>
	1.000	13.08	06/25/20	16.97	3.89 W	0.00	159 of 217 - Sale <sup>25</sup>
	1.000	13.08	06/25/20	16.65	3.57 W	0.00	160 of 217 - Sale <sup>25</sup>
	1.000	13.08	06/25/20	16.46	3.38 W	0.00	161 of 217 - Sale <sup>25</sup>
	1.000	13.08	06/25/20	17.37	4.29 W	0.00	162 of 217 - Sale <sup>25</sup>
	1.000	13.08	06/25/20	17.51	4.43 W	0.00	163 of 217 - Sale <sup>25</sup>
	2.000	26.16	06/25/20	35.15	8.99 W	0.00	164 of 217 - Sale <sup>25</sup>
	1.000	13.08	06/25/20	17.45	4.37 W	0.00	165 of 217 - Sale <sup>25</sup>
	1.000	13.08	06/25/20	17.44	4.36 W	0.00	166 of 217 - Sale <sup>25</sup>
	1.000	13.08	06/25/20	17.32	4.24 W	0.00	167 of 217 - Sale <sup>25</sup>
	1.000	13.08	06/25/20	17.30	4.22 W	0.00	168 of 217 - Sale <sup>25</sup>
	1.000	13.08	06/25/20	17.74	4.66 W	0.00	169 of 217 - Sale <sup>25</sup>
	1.000	13.08	06/25/20	17.76	4.68 W	0.00	170 of 217 - Sale <sup>25</sup>
	1.000	13.10	06/25/20	17.91	4.81 W	0.00	171 of 217 - Sale <sup>25</sup>
	1.000	13.10	06/25/20	18.53	5.43 W	0.00	172 of 217 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 151567450

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	1.000	13.10	06/25/20	18.52	5.42 W	0.00	173 of 217 - Sale <sup>25</sup>
	1.000	13.10	06/25/20	18.47	5.37 W	0.00	174 of 217 - Sale <sup>25</sup>
	1.000	13.10	06/25/20	18.40	5.30 W	0.00	175 of 217 - Sale <sup>25</sup>
	1.000	13.10	06/25/20	19.25	6.15 W	0.00	176 of 217 - Sale <sup>25</sup>
	2.000	26.20	06/25/20	38.50	12.30 W	0.00	177 of 217 - Sale <sup>25</sup>
	1.000	13.10	06/25/20	18.82	5.72 W	0.00	178 of 217 - Sale <sup>25</sup>
	1.000	13.10	06/25/20	16.99	3.89 W	0.00	179 of 217 - Sale <sup>25</sup>
	1.000	13.10	06/25/20	18.47	5.37 W	0.00	180 of 217 - Sale <sup>25</sup>
	1.000	13.10	06/25/20	16.33	3.23 W	0.00	181 of 217 - Sale <sup>25</sup>
	1.000	13.10	06/25/20	16.01	2.91 W	0.00	182 of 217 - Sale <sup>25</sup>
	1.000	13.10	06/25/20	15.82	2.72 W	0.00	183 of 217 - Sale <sup>25</sup>
	1.000	13.10	06/25/20	16.73	3.63 W	0.00	184 of 217 - Sale <sup>25</sup>
	1.000	13.10	06/25/20	16.85	3.75 W	0.00	185 of 217 - Sale <sup>25</sup>
	2.000	26.20	06/25/20	33.83	7.63 W	0.00	186 of 217 - Sale <sup>25</sup>
	1.000	13.10	06/25/20	16.79	3.69 W	0.00	187 of 217 - Sale <sup>25</sup>
	1.000	13.17	06/25/20	16.78	3.61 W	0.00	188 of 217 - Sale <sup>25</sup>
	1.000	13.17	06/25/20	16.66	3.49 W	0.00	189 of 217 - Sale <sup>25</sup>
	1.000	13.17	06/25/20	16.64	3.47 W	0.00	190 of 217 - Sale <sup>25</sup>
	1.000	13.17	06/25/20	17.08	3.91 W	0.00	191 of 217 - Sale <sup>25</sup>
	1.000	13.17	06/25/20	17.10	3.93 W	0.00	192 of 217 - Sale <sup>25</sup>
	1.000	13.17	06/25/20	17.25	4.08 W	0.00	193 of 217 - Sale <sup>25</sup>
	1.000	13.17	06/25/20	17.87	4.70 W	0.00	194 of 217 - Sale <sup>25</sup>
	1.000	13.18	06/25/20	17.86	4.68 W	0.00	195 of 217 - Sale <sup>25</sup>
	1.000	13.17	06/25/20	17.81	4.64 W	0.00	196 of 217 - Sale <sup>25</sup>
	1.000	13.18	06/25/20	17.74	4.56 W	0.00	197 of 217 - Sale <sup>25</sup>
	1.000	13.18	06/25/20	18.59	5.41 W	0.00	198 of 217 - Sale <sup>25</sup>
	2.000	26.36	06/25/20	37.18	10.82 W	0.00	199 of 217 - Sale <sup>25</sup>
	1.000	13.18	06/25/20	18.16	4.98 W	0.00	200 of 217 - Sale <sup>25</sup>
	1.000	13.18	06/25/20	16.33	3.15 W	0.00	201 of 217 - Sale <sup>25</sup>
	1.000	13.22	06/25/20	16.33	3.11 W	0.00	202 of 217 - Sale <sup>25</sup>
	2.000	26.44	06/25/20	32.79	6.35 W	0.00	203 of 217 - Sale <sup>25</sup>
	1.000	13.22	06/25/20	16.27	3.05 W	0.00	204 of 217 - Sale <sup>25</sup>
	1.000	13.22	06/25/20	16.19	2.97 W	0.00	205 of 217 - Sale <sup>25</sup>
	1.000	13.22	06/25/20	16.07	2.85 W	0.00	206 of 217 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 151567450

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	1.000	13.22	06/25/20	16.05	2.83 W	0.00	207 of 217 - Sale <sup>25</sup>
	1.000	13.22	06/25/20	16.49	3.27 W	0.00	208 of 217 - Sale <sup>25</sup>
	1.000	13.22	06/25/20	16.51	3.29 W	0.00	209 of 217 - Sale <sup>25</sup>
	1.000	13.25	06/25/20	17.95	4.70 W	0.00	210 of 217 - Sale <sup>25</sup>
	1.000	13.25	06/25/20	15.81	2.56 W	0.00	211 of 217 - Sale <sup>25</sup>
	1.000	13.25	06/25/20	15.49	2.24 W	0.00	212 of 217 - Sale <sup>25</sup>
	1.000	13.25	06/25/20	15.30	2.05 W	0.00	213 of 217 - Sale <sup>25</sup>
	1.000	13.25	06/25/20	16.21	2.96 W	0.00	214 of 217 - Sale <sup>25</sup>
	1.000	13.32	06/25/20	15.69	2.37 W	0.00	215 of 217 - Sale <sup>25</sup>
	2.000	26.64	06/25/20	31.51	4.87 W	0.00	216 of 217 - Sale <sup>25</sup>
	1.000	13.32	06/25/20	15.63	2.31 W	0.00	217 of 217 - Sale <sup>25</sup>
06/29/20	241.000	3,147.21	Various	4,609.14	1,461.93 W	0.00	Total of 217 transactions
	<b>Security total:</b>	<b>8,757.58</b>		<b>14,072.75</b>	<b>5,254.49 W</b>	<b>-60.68</b>	
COGNIZANT TECHNOLOGY SOLUTIONS CORPORATION CLASS A COMMON ST OCK / CUSIP: 192446102 / Symbol:							
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.000	56.75	06/12/20	54.36	...	2.39	1 of 2 - Sale <sup>25</sup>
	1.000	56.74	06/15/20	52.77	...	3.97	2 of 2 - Sale <sup>25</sup>
06/16/20	2.000	113.49	Various	107.13	...	6.36	Total of 2 transactions
CREDIT SUISSE AG NASSAU BRH OT HER / CUSIP: 22539T183 / Symbol:							
06/15/20	1.000	11.03	06/12/20	12.11	1.08 W	0.00	Sale <sup>25</sup>
22 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	1.000	11.15	06/16/20	11.09	...	0.06	1 of 22 - Sale <sup>25</sup>
	2.000	22.30	06/16/20	22.24	...	0.06	2 of 22 - Sale <sup>25</sup>
	2.000	22.30	06/16/20	22.22	...	0.08	3 of 22 - Sale <sup>25</sup>
	1.000	11.15	06/16/20	11.04	...	0.11	4 of 22 - Sale <sup>25</sup>
	1.000	11.15	06/16/20	11.02	...	0.13	5 of 22 - Sale <sup>25</sup>
	1.000	11.15	06/16/20	10.94	...	0.21	6 of 22 - Sale <sup>25</sup>
	1.000	11.15	06/16/20	10.73	...	0.42	7 of 22 - Sale <sup>25</sup>
	1.000	11.15	06/16/20	10.70	...	0.45	8 of 22 - Sale <sup>25</sup>
	1.000	11.15	06/16/20	10.65	...	0.50	9 of 22 - Sale <sup>25</sup>
	1.000	11.15	06/16/20	10.25	...	0.90	10 of 22 - Sale <sup>25</sup>
	1.000	11.12	06/16/20	9.94	...	1.18	11 of 22 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 151567450

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CREDIT SUISSE AG NASSAU BRH OT HER / CUSIP: 22539T183 / Symbol: (cont'd)							
	1.000	11.15	06/16/20	9.93	...	1.22	12 of 22 - Sale <sup>25</sup>
	1.000	11.12	06/16/20	9.77	...	1.35	13 of 22 - Sale <sup>25</sup>
	1.000	11.12	06/16/20	9.73	...	1.39	14 of 22 - Sale <sup>25</sup>
	1.000	11.12	06/16/20	9.72	...	1.40	15 of 22 - Sale <sup>25</sup>
	1.000	11.15	06/16/20	9.75	...	1.40	16 of 22 - Sale <sup>25</sup>
	1.000	11.15	06/16/20	9.75	...	1.40	17 of 22 - Sale <sup>25</sup>
	1.000	11.12	06/16/20	9.71	...	1.41	18 of 22 - Sale <sup>25</sup>
	2.000	22.30	06/16/20	19.80	...	2.50	19 of 22 - Sale <sup>25</sup>
	3.000	33.45	06/16/20	29.25	...	4.20	20 of 22 - Sale <sup>25</sup>
	5.000	55.60	06/16/20	48.50	...	7.10	21 of 22 - Sale <sup>25</sup>
	5.000	55.75	06/16/20	48.55	...	7.20	22 of 22 - Sale <sup>25</sup>
06/22/20	35.000	389.95	Various	355.28	...	34.67	Total of 22 transactions
	64 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	9.000	99.63	06/12/20	108.95	9.32 W	0.00	1 of 64 - Sale <sup>25</sup>
	1.000	11.07	06/12/20	13.12	2.05 W	0.00	2 of 64 - Sale <sup>25</sup>
	10.000	110.70	06/12/20	121.06	10.36 W	0.00	3 of 64 - Sale <sup>25</sup>
	1.000	11.08	06/12/20	13.11	2.03 W	0.00	4 of 64 - Sale <sup>25</sup>
	2.000	22.16	06/12/20	26.23	4.07 W	0.00	5 of 64 - Sale <sup>25</sup>
	1.000	11.08	06/12/20	13.16	2.08 W	0.00	6 of 64 - Sale <sup>25</sup>
	5.000	55.40	06/12/20	65.58	10.18 W	0.00	7 of 64 - Sale <sup>25</sup>
	1.000	11.08	06/12/20	13.12	2.04 W	0.00	8 of 64 - Sale <sup>25</sup>
	9.000	99.72	06/12/20	118.04	18.32 W	0.00	9 of 64 - Sale <sup>25</sup>
	1.000	11.08	06/15/20	13.66	2.58 W	0.00	10 of 64 - Sale <sup>25</sup>
	1.000	11.06	06/15/20	13.56	2.50 W	0.00	11 of 64 - Sale <sup>25</sup>
	2.000	22.12	06/15/20	27.13	5.01 W	0.00	12 of 64 - Sale <sup>25</sup>
	2.000	22.12	06/15/20	26.72	4.60 W	0.00	13 of 64 - Sale <sup>25</sup>
	1.000	11.06	06/15/20	13.41	2.35 W	0.00	14 of 64 - Sale <sup>25</sup>
	3.000	33.18	06/15/20	39.79	6.61 W	0.00	15 of 64 - Sale <sup>25</sup>
	1.000	11.06	06/15/20	13.20	2.14 W	0.00	16 of 64 - Sale <sup>25</sup>
	1.000	11.06	06/15/20	13.20	2.14 W	0.00	17 of 64 - Sale <sup>25</sup>
	3.000	33.18	06/15/20	39.58	6.40 W	0.00	18 of 64 - Sale <sup>25</sup>
	5.000	55.30	06/15/20	66.01	10.71 W	0.00	19 of 64 - Sale <sup>25</sup>
	1.000	11.06	06/15/20	13.75	2.69 W	0.00	20 of 64 - Sale <sup>25</sup>
	1.000	11.09	06/15/20	13.67	2.58 W	0.00	21 of 64 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 151567450

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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CREDIT SUISSE AG NASSAU BRH OT HER / CUSIP: 22539T183 / Symbol: (cont'd)							
	1.000	11.09	06/15/20	13.46	2.37 W	0.00	22 of 64 - Sale <sup>25</sup>
	2.000	22.18	06/15/20	27.34	5.16 W	0.00	23 of 64 - Sale <sup>25</sup>
	1.000	11.09	06/15/20	13.38	2.29 W	0.00	24 of 64 - Sale <sup>25</sup>
	3.000	33.27	06/15/20	39.83	6.56 W	0.00	25 of 64 - Sale <sup>25</sup>
	1.000	11.09	06/15/20	13.43	2.34 W	0.00	26 of 64 - Sale <sup>25</sup>
	1.000	11.09	06/15/20	13.21	2.12 W	0.00	27 of 64 - Sale <sup>25</sup>
	1.000	11.09	06/15/20	13.21	2.12 W	0.00	28 of 64 - Sale <sup>25</sup>
	1.000	11.09	06/15/20	13.19	2.10 W	0.00	29 of 64 - Sale <sup>25</sup>
	2.000	22.18	06/15/20	26.29	4.11 W	0.00	30 of 64 - Sale <sup>25</sup>
	3.000	33.27	06/15/20	39.46	6.19 W	0.00	31 of 64 - Sale <sup>25</sup>
	1.000	11.09	06/15/20	13.64	2.55 W	0.00	32 of 64 - Sale <sup>25</sup>
	1.000	11.09	06/15/20	13.09	2.00 W	0.00	33 of 64 - Sale <sup>25</sup>
	1.000	11.09	06/15/20	13.08	1.99 W	0.00	34 of 64 - Sale <sup>25</sup>
	1.000	11.11	06/15/20	13.48	2.37 W	0.00	35 of 64 - Sale <sup>25</sup>
	2.000	22.22	06/15/20	26.96	4.74 W	0.00	36 of 64 - Sale <sup>25</sup>
	1.000	11.11	06/15/20	13.27	2.16 W	0.00	37 of 64 - Sale <sup>25</sup>
	1.000	11.11	06/15/20	13.19	2.08 W	0.00	38 of 64 - Sale <sup>25</sup>
	1.000	11.11	06/15/20	13.24	2.13 W	0.00	39 of 64 - Sale <sup>25</sup>
	1.000	11.11	06/16/20	12.79	1.68 W	0.00	40 of 64 - Sale <sup>25</sup>
	1.000	11.11	06/16/20	12.30	1.19 W	0.00	41 of 64 - Sale <sup>25</sup>
	1.000	11.11	06/16/20	12.24	1.13 W	0.00	42 of 64 - Sale <sup>25</sup>
	1.000	11.11	06/16/20	12.23	1.12 W	0.00	43 of 64 - Sale <sup>25</sup>
	1.000	11.11	06/16/20	12.38	1.27 W	0.00	44 of 64 - Sale <sup>25</sup>
	1.000	11.11	06/16/20	12.38	1.27 W	0.00	45 of 64 - Sale <sup>25</sup>
	1.000	11.11	06/16/20	12.36	1.25 W	0.00	46 of 64 - Sale <sup>25</sup>
	2.000	22.22	06/16/20	24.89	2.67 W	0.00	47 of 64 - Sale <sup>25</sup>
	2.000	22.22	06/16/20	24.63	2.41 W	0.00	48 of 64 - Sale <sup>25</sup>
	2.000	22.22	06/16/20	24.65	2.43 W	0.00	49 of 64 - Sale <sup>25</sup>
	1.000	11.11	06/16/20	12.60	1.49 W	0.00	50 of 64 - Sale <sup>25</sup>
	1.000	11.14	06/16/20	12.51	1.37 W	0.00	51 of 64 - Sale <sup>25</sup>
	1.000	11.14	06/16/20	12.45	1.31 W	0.00	52 of 64 - Sale <sup>25</sup>
	1.000	11.14	06/16/20	11.59	0.45 W	0.00	53 of 64 - Sale <sup>25</sup>
	1.000	11.14	06/16/20	11.27	0.13 W	0.00	54 of 64 - Sale <sup>25</sup>

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(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CREDIT SUISSE AG NASSAU BRH OT HER / CUSIP: 22539T183 / Symbol: (cont'd)							
	1.000	11.14	06/16/20	12.28	1.14 W	0.00	55 of 64 - Sale <sup>25</sup>
	1.000	11.14	06/16/20	12.07	0.93 W	0.00	56 of 64 - Sale <sup>25</sup>
	1.000	11.14	06/16/20	11.99	0.85 W	0.00	57 of 64 - Sale <sup>25</sup>
	1.000	11.14	06/16/20	12.04	0.90 W	0.00	58 of 64 - Sale <sup>25</sup>
	2.000	22.28	06/16/20	22.48	0.20 W	0.00	59 of 64 - Sale <sup>25</sup>
	1.000	11.15	06/16/20	11.22	0.07 W	0.00	60 of 64 - Sale <sup>25</sup>
	1.000	11.15	06/16/20	11.18	0.03 W	0.00	61 of 64 - Sale <sup>25</sup>
	1.000	11.15	06/16/20	11.17	0.02 W	0.00	62 of 64 - Sale <sup>25</sup>
	1.000	11.15	06/16/20	11.16	0.01 W	0.00	63 of 64 - Sale <sup>25</sup>
	1.000	11.15	06/16/20	11.39	0.24 W	0.00	64 of 64 - Sale <sup>25</sup>
06/22/20	115.000	1,275.35	Various	1,467.05	191.70 W	0.00	Total of 64 transactions
	<b>Security total:</b>	<b>1,676.33</b>		<b>1,834.44</b>	<b>192.78 W</b>	<b>34.67</b>	
<b>Totals :</b>		<b>12,034.58</b>		<b>29,160.68</b>	<b>13,014.93 W</b>	<b>-4,111.17</b>	

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
3 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	5.000	93.10	05/10/19	168.00	74.90 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	18.62	05/10/19	33.65	15.03 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	18.62	06/15/20	30.30	11.68 W	0.00	3 of 3 - Sale <sup>25</sup>
06/16/20	7.000	130.34	Various	231.95	101.61 W	0.00	Total of 3 transactions
51 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	1.000	12.76	06/22/20	26.46	13.70 W	0.00	1 of 51 - Sale <sup>25</sup>
	5.000	63.80	06/22/20	148.80	85.00 W	0.00	2 of 51 - Sale <sup>25</sup>
	1.000	12.76	06/22/20	28.54	15.78 W	0.00	3 of 51 - Sale <sup>25</sup>
	4.000	51.04	06/22/20	127.36	76.32 W	0.00	4 of 51 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 151567450

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	1.000	12.76	06/22/20	31.82	19.06 W	0.00	5 of 51 - Sale <sup>25</sup>
	1.000	12.80	06/22/20	30.58	17.78 W	0.00	6 of 51 - Sale <sup>25</sup>
	1.000	12.80	06/22/20	33.86	21.06 W	0.00	7 of 51 - Sale <sup>25</sup>
	4.000	51.20	06/22/20	135.52	84.32 W	0.00	8 of 51 - Sale <sup>25</sup>
	1.000	12.85	06/22/20	32.56	19.71 W	0.00	9 of 51 - Sale <sup>25</sup>
	4.000	51.40	06/22/20	143.44	92.04 W	0.00	10 of 51 - Sale <sup>25</sup>
	1.000	12.85	06/22/20	35.83	22.98 W	0.00	11 of 51 - Sale <sup>25</sup>
	1.000	12.85	06/22/20	34.28	21.43 W	0.00	12 of 51 - Sale <sup>25</sup>
	3.000	38.55	06/22/20	112.74	74.19 W	0.00	13 of 51 - Sale <sup>25</sup>
	1.000	12.85	06/23/20	36.80	23.95 W	0.00	14 of 51 - Sale <sup>25</sup>
	1.000	12.85	06/23/20	36.77	23.92 W	0.00	15 of 51 - Sale <sup>25</sup>
	1.000	12.87	06/23/20	35.22	22.35 W	0.00	16 of 51 - Sale <sup>25</sup>
	3.000	38.61	06/23/20	115.56	76.95 W	0.00	17 of 51 - Sale <sup>25</sup>
	1.000	12.87	06/23/20	37.73	24.86 W	0.00	18 of 51 - Sale <sup>25</sup>
	1.000	12.87	06/23/20	37.70	24.83 W	0.00	19 of 51 - Sale <sup>25</sup>
	1.000	12.90	06/24/20	36.08	23.18 W	0.00	20 of 51 - Sale <sup>25</sup>
	3.000	38.70	06/24/20	118.14	79.44 W	0.00	21 of 51 - Sale <sup>25</sup>
	1.000	12.90	06/24/20	38.57	25.67 W	0.00	22 of 51 - Sale <sup>25</sup>
	1.000	12.90	06/24/20	38.54	25.64 W	0.00	23 of 51 - Sale <sup>25</sup>
	1.000	12.96	06/24/20	36.74	23.78 W	0.00	24 of 51 - Sale <sup>25</sup>
	3.000	38.89	06/24/20	120.12	81.23 W	0.00	25 of 51 - Sale <sup>25</sup>
	1.000	12.96	06/24/20	39.23	26.27 W	0.00	26 of 51 - Sale <sup>25</sup>
	1.000	12.96	06/24/20	39.20	26.24 W	0.00	27 of 51 - Sale <sup>25</sup>
	1.000	12.95	06/24/20	37.32	24.37 W	0.00	28 of 51 - Sale <sup>25</sup>
	3.000	38.86	06/24/20	121.85	82.99 W	0.00	29 of 51 - Sale <sup>25</sup>
	1.000	12.95	06/24/20	39.81	26.86 W	0.00	30 of 51 - Sale <sup>25</sup>
	1.000	12.95	06/24/20	39.78	26.83 W	0.00	31 of 51 - Sale <sup>25</sup>
	1.000	12.70	06/24/20	37.81	25.11 W	0.00	32 of 51 - Sale <sup>25</sup>
	3.000	38.11	06/24/20	123.31	85.20 W	0.00	33 of 51 - Sale <sup>25</sup>
	1.000	12.70	06/24/20	40.30	27.60 W	0.00	34 of 51 - Sale <sup>25</sup>
	1.000	12.70	06/24/20	40.27	27.57 W	0.00	35 of 51 - Sale <sup>25</sup>
	1.000	12.75	06/24/20	38.53	25.78 W	0.00	36 of 51 - Sale <sup>25</sup>
	3.000	38.25	06/24/20	125.46	87.21 W	0.00	37 of 51 - Sale <sup>25</sup>
	1.000	12.75	06/24/20	41.02	28.27 W	0.00	38 of 51 - Sale <sup>25</sup>
	1.000	12.75	06/24/20	40.99	28.24 W	0.00	39 of 51 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 151567450

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	1.000	12.73	06/24/20	39.13	26.40 W	0.00	40 of 51 - Sale <sup>25</sup>
	3.000	38.19	06/24/20	127.26	89.07 W	0.00	41 of 51 - Sale <sup>25</sup>
	1.000	12.73	06/24/20	41.62	28.89 W	0.00	42 of 51 - Sale <sup>25</sup>
	1.000	12.73	06/24/20	41.59	28.86 W	0.00	43 of 51 - Sale <sup>25</sup>
	1.000	12.72	06/24/20	39.38	26.66 W	0.00	44 of 51 - Sale <sup>25</sup>
	3.000	38.16	06/24/20	128.00	89.84 W	0.00	45 of 51 - Sale <sup>25</sup>
	1.000	12.72	06/25/20	41.34	28.62 W	0.00	46 of 51 - Sale <sup>25</sup>
	1.000	12.72	06/25/20	41.31	28.59 W	0.00	47 of 51 - Sale <sup>25</sup>
	1.000	12.80	06/25/20	39.11	26.31 W	0.00	48 of 51 - Sale <sup>25</sup>
	3.000	38.40	06/25/20	127.19	88.79 W	0.00	49 of 51 - Sale <sup>25</sup>
	1.000	12.80	06/25/20	41.07	28.27 W	0.00	50 of 51 - Sale <sup>25</sup>
	1.000	12.80	06/25/20	41.04	28.24 W	0.00	51 of 51 - Sale <sup>25</sup>
06/25/20	84.000	1,076.43	Various	3,162.68	2,086.25 W	0.00	Total of 51 transactions
	16 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	1.000	13.30	06/25/20	38.79	25.49 W	0.00	1 of 16 - Sale <sup>25</sup>
	3.000	39.90	06/25/20	126.23	86.33 W	0.00	2 of 16 - Sale <sup>25</sup>
	1.000	13.30	06/25/20	40.75	27.45 W	0.00	3 of 16 - Sale <sup>25</sup>
	1.000	13.30	06/25/20	40.72	27.42 W	0.00	4 of 16 - Sale <sup>25</sup>
	1.000	13.28	06/25/20	37.96	24.68 W	0.00	5 of 16 - Sale <sup>25</sup>
	3.000	39.84	06/25/20	123.74	83.90 W	0.00	6 of 16 - Sale <sup>25</sup>
	1.000	13.28	06/25/20	39.92	26.64 W	0.00	7 of 16 - Sale <sup>25</sup>
	1.000	13.28	06/25/20	39.89	26.61 W	0.00	8 of 16 - Sale <sup>25</sup>
	1.000	13.21	06/25/20	37.08	23.87 W	0.00	9 of 16 - Sale <sup>25</sup>
	3.000	39.63	06/25/20	121.10	81.47 W	0.00	10 of 16 - Sale <sup>25</sup>
	1.000	13.21	06/25/20	39.04	25.83 W	0.00	11 of 16 - Sale <sup>25</sup>
	1.000	13.21	06/25/20	39.01	25.80 W	0.00	12 of 16 - Sale <sup>25</sup>
	1.000	13.21	06/25/20	36.21	23.00 W	0.00	13 of 16 - Sale <sup>25</sup>
	3.000	39.63	06/25/20	118.49	78.86 W	0.00	14 of 16 - Sale <sup>25</sup>
	1.000	13.21	06/25/20	38.17	24.96 W	0.00	15 of 16 - Sale <sup>25</sup>
	1.000	13.21	06/25/20	38.14	24.93 W	0.00	16 of 16 - Sale <sup>25</sup>
06/26/20	24.000	318.00	Various	955.24	637.24 W	0.00	Total of 16 transactions
	4 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	3.000	40.20	06/25/20	94.51	...	-54.31	1 of 4 - Sale <sup>25</sup>
	1.000	13.40	06/25/20	30.10	...	-16.70	2 of 4 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 151567450

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	1.000	13.40	06/25/20	29.93	...	-16.53	3 of 4 - Sale <sup>25</sup>
	1.000	13.40	06/25/20	28.21	...	-14.81	4 of 4 - Sale <sup>25</sup>
06/29/20	6.000	80.40	Various	182.75	...	-102.35	Total of 4 transactions
	52 transactions for 06/29/20. Total proceeds and cost reported to the IRS.						
	1.000	13.00	06/25/20	35.27	22.27 W	0.00	1 of 52 - Sale <sup>25</sup>
	3.000	39.00	06/25/20	115.67	76.67 W	0.00	2 of 52 - Sale <sup>25</sup>
	1.000	13.00	06/25/20	37.23	24.23 W	0.00	3 of 52 - Sale <sup>25</sup>
	1.000	13.00	06/25/20	37.20	24.20 W	0.00	4 of 52 - Sale <sup>25</sup>
	1.000	13.00	06/25/20	34.54	21.54 W	0.00	5 of 52 - Sale <sup>25</sup>
	3.000	38.97	06/25/20	113.48	74.51 W	0.00	6 of 52 - Sale <sup>25</sup>
	1.000	12.99	06/25/20	36.50	23.51 W	0.00	7 of 52 - Sale <sup>25</sup>
	1.000	12.99	06/25/20	36.47	23.48 W	0.00	8 of 52 - Sale <sup>25</sup>
	1.000	12.99	06/25/20	33.85	20.86 W	0.00	9 of 52 - Sale <sup>25</sup>
	3.000	38.97	06/25/20	111.43	72.46 W	0.00	10 of 52 - Sale <sup>25</sup>
	1.000	12.99	06/25/20	35.82	22.83 W	0.00	11 of 52 - Sale <sup>25</sup>
	1.000	12.99	06/25/20	35.79	22.80 W	0.00	12 of 52 - Sale <sup>25</sup>
	1.000	13.00	06/25/20	33.52	20.52 W	0.00	13 of 52 - Sale <sup>25</sup>
	3.000	39.00	06/25/20	110.44	71.44 W	0.00	14 of 52 - Sale <sup>25</sup>
	1.000	13.00	06/25/20	35.49	22.49 W	0.00	15 of 52 - Sale <sup>25</sup>
	1.000	13.00	06/25/20	35.46	22.46 W	0.00	16 of 52 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	33.16	20.13 W	0.00	17 of 52 - Sale <sup>25</sup>
	3.000	39.09	06/25/20	109.36	70.27 W	0.00	18 of 52 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	35.06	22.03 W	0.00	19 of 52 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	35.03	22.00 W	0.00	20 of 52 - Sale <sup>25</sup>
	1.000	13.07	06/25/20	32.70	19.63 W	0.00	21 of 52 - Sale <sup>25</sup>
	3.000	39.21	06/25/20	107.98	68.77 W	0.00	22 of 52 - Sale <sup>25</sup>
	1.000	13.07	06/25/20	34.60	21.53 W	0.00	23 of 52 - Sale <sup>25</sup>
	1.000	13.07	06/25/20	34.57	21.50 W	0.00	24 of 52 - Sale <sup>25</sup>
	1.000	13.04	06/25/20	32.19	19.15 W	0.00	25 of 52 - Sale <sup>25</sup>
	3.000	39.12	06/25/20	106.44	67.32 W	0.00	26 of 52 - Sale <sup>25</sup>
	1.000	13.04	06/25/20	34.09	21.05 W	0.00	27 of 52 - Sale <sup>25</sup>
	1.000	13.04	06/25/20	34.05	21.01 W	0.00	28 of 52 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	31.70	18.67 W	0.00	29 of 52 - Sale <sup>25</sup>
	3.000	39.09	06/25/20	104.97	65.88 W	0.00	30 of 52 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 151567450

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol: (cont'd)							
	1.000	13.03	06/25/20	33.60	20.57 W	0.00	31 of 52 - Sale <sup>25</sup>
	1.000	13.03	06/25/20	33.56	20.53 W	0.00	32 of 52 - Sale <sup>25</sup>
	1.000	13.06	06/25/20	31.24	18.18 W	0.00	33 of 52 - Sale <sup>25</sup>
	3.000	39.18	06/25/20	103.59	64.41 W	0.00	34 of 52 - Sale <sup>25</sup>
	1.000	13.06	06/25/20	33.14	20.08 W	0.00	35 of 52 - Sale <sup>25</sup>
	1.000	13.06	06/25/20	33.10	20.04 W	0.00	36 of 52 - Sale <sup>25</sup>
	1.000	13.08	06/25/20	30.71	17.63 W	0.00	37 of 52 - Sale <sup>25</sup>
	3.000	39.24	06/25/20	102.02	62.78 W	0.00	38 of 52 - Sale <sup>25</sup>
	1.000	13.08	06/25/20	32.61	19.53 W	0.00	39 of 52 - Sale <sup>25</sup>
	1.000	13.08	06/25/20	32.56	19.48 W	0.00	40 of 52 - Sale <sup>25</sup>
	1.000	13.10	06/25/20	30.07	16.97 W	0.00	41 of 52 - Sale <sup>25</sup>
	3.000	39.30	06/25/20	100.10	60.80 W	0.00	42 of 52 - Sale <sup>25</sup>
	1.000	13.10	06/25/20	31.97	18.87 W	0.00	43 of 52 - Sale <sup>25</sup>
	1.000	13.10	06/25/20	31.90	18.80 W	0.00	44 of 52 - Sale <sup>25</sup>
	1.000	13.18	06/25/20	29.55	16.37 W	0.00	45 of 52 - Sale <sup>25</sup>
	3.000	39.55	06/25/20	98.54	58.99 W	0.00	46 of 52 - Sale <sup>25</sup>
	1.000	13.19	06/25/20	31.45	18.26 W	0.00	47 of 52 - Sale <sup>25</sup>
	1.000	13.22	06/25/20	31.38	18.16 W	0.00	48 of 52 - Sale <sup>25</sup>
	1.000	13.25	06/25/20	28.95	15.70 W	0.00	49 of 52 - Sale <sup>25</sup>
	3.000	39.75	06/25/20	96.73	56.98 W	0.00	50 of 52 - Sale <sup>25</sup>
	1.000	13.25	06/25/20	30.84	17.59 W	0.00	51 of 52 - Sale <sup>25</sup>
	1.000	13.32	06/25/20	30.74	17.42 W	0.00	52 of 52 - Sale <sup>25</sup>
06/29/20	78.000	1,019.06	Various	2,682.41	1,663.35 W	0.00	Total of 52 transactions
	<b>Security total:</b>	<b>2,624.23</b>		<b>7,215.03</b>	<b>4,488.45 W</b>	<b>-102.35</b>	

CLEVELAND-CLIFFS INC. / CUSIP: 185899101 / Symbol:

4 transactions for 06/15/20. Total proceeds and cost reported to the IRS.

	4.000	24.53	05/10/19	24.50	...	0.03	1 of 4 - Sale <sup>25</sup>
	4.000	24.53	05/10/19	24.20	...	0.33	2 of 4 - Sale <sup>25</sup>
	4.000	24.53	05/10/19	24.19	...	0.34	3 of 4 - Sale <sup>25</sup>
	8.000	49.06	05/10/19	48.60	...	0.46	4 of 4 - Sale <sup>25</sup>
06/15/20	20.000	122.65	Various	121.49	...	1.16	Total of 4 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 151567450

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
COGNIZANT TECHNOLOGY SOLUTIONS CORPORATION CLASS A COMMON ST OCK / CUSIP: 192446102 / Symbol:							
<i>4 transactions for 06/16/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	170.24	05/10/19	175.02	...	-4.78	1 of 4 - Sale <sup>25</sup>
	2.000	113.50	05/10/19	116.98	...	-3.48	2 of 4 - Sale <sup>25</sup>
	2.000	113.50	05/10/19	116.96	...	-3.46	3 of 4 - Sale <sup>25</sup>
	1.000	56.75	05/10/19	58.45	...	-1.70	4 of 4 - Sale <sup>25</sup>
06/16/20	8.000	453.99	Various	467.41	...	-13.42	Total of 4 transactions
ZYNGA INC. CLASS A COMMON STOC K / CUSIP: 98986T108 / Symbol:							
06/15/20	1.000	9.12	04/09/18	3.44	...	5.68	Sale <sup>25</sup>
<b>Totals :</b>		<b>3,209.99</b>		<b>7,807.37</b>	<b>4,488.45 W</b>	<b>-108.93</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 151567450

**Proceeds Not Reported to the IRS**

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked.

**Description of property**

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
CHESAPEAKE ENERGY CORP COMMON STOCK / CUSIP: 165167743 / Symbol:							
7 transactions for 04/27/20							
	0.075	1.22	05/10/19	39.75	...	-38.53	Gain or loss
	0.075	1.22	05/10/19	39.60	...	-38.38	Gain or loss
	0.075	1.22	05/10/19	39.45	...	-38.23	Gain or loss
	0.050	0.81	05/10/19	26.60	...	-25.79	Gain or loss
	0.050	0.81	05/10/19	26.20	...	-25.39	Gain or loss
	0.050	0.81	05/10/19	26.00	...	-25.19	Gain or loss
	0.020	0.33	05/10/19	10.40	...	-10.07	Gain or loss
04/27/20	0.395	6.42	Various	208.00	...	-201.58	Total of 7 transactions
<b>Totals:</b>		<b>6.42</b>		<b>208.00</b>	...	<b>-201.58</b>	



Robinhood Securities LLC

Account 151567450

## Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
AMERICAN AIRLINES GROUP INC. C OMMON STOCK	02376R102		02/19/20	0.60	Qualified dividend	
CLEVELAND-CLIFFS INC.	185899101		04/15/20	1.20	Qualified dividend	
COGNIZANT TECHNOLOGY SOLUTIONS CORPORATION CLASS A COMMON ST OCK	192446102		02/28/20	1.76	Qualified dividend	
			05/29/20	1.76	Qualified dividend	
				<b>3.52</b>	<b>Total Dividends &amp; distributions</b>	
				<b>5.32</b>	<b>Total Dividends &amp; distributions</b>	

Robinhood Securities LLC

Account 151567450

**Non-Reportable Actions**

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
AK STEEL HOLDING CORP.	001547108	03/16/20	-50.00	0.00	Merger	
CLEVELAND-CLIFFS INC.	185899101	03/16/20	20.00	0.00	Merger	

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

**Line 1b.** Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 2c.** Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Instructions for Recipient**

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Line 8.** This line should be left blank if a RIC reported the foreign tax shown in line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).