

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 121338263	Statement Date: 02/14/2021 Document ID: 7T8X RPC 3R55	2020
	Sainath reddy Sama 1220 Brahms Terrace Fremont, CA 94538 RECIPIENT'S TIN: XXX-XX-4011		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 0.00</p> <p>1b- Qualified dividends 0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 8.43</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	369,358.72	399,048.19	0.00	13,814.72	-15,874.75
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.12	5.35	0.00	0.00	-5.23
	Total Short-term	369,358.84	399,053.54	0.00	13,814.72	-15,879.98
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	369,358.84	399,053.54	0.00	13,814.72	-15,879.98
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 121338263

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	20.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 121338263

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 02/19/2021 CALL \$23.00 / CUSIP: / Symbol:							
11/10/20	100.000	2,599.74	11/09/20	2,600.00	...	-0.26	Option sale to close-call ²⁵
AAL 10/02/2020 CALL \$13.00 / CUSIP: / Symbol:							
2 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	6.000	197.98	09/25/20	192.00	...	5.98	1 of 2 - Option sale to close-call ²⁵
	4.000	135.98	09/25/20	128.00	...	7.98	2 of 2 - Option sale to close-call ²⁵
09/28/20	10.000	333.96	Various	320.00	...	13.96	Total of 2 transactions
AAL 10/16/2020 CALL \$12.50 / CUSIP: / Symbol:							
10/16/20	5.000	24.98	10/15/20	35.00	...	-10.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 121338263

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 12/11/2020 CALL \$17.50 / CUSIP: / Symbol:							
5 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	1.000	86.00	12/07/20	71.00	...	15.00	1 of 5 - Option sale to close-call ²⁵
	4.000	343.98	12/07/20	240.00	...	103.98	2 of 5 - Option sale to close-call ²⁵
	14.000	1,203.94	12/07/20	994.00	...	209.94	3 of 5 - Option sale to close-call ²⁵
	10.000	859.96	12/07/20	590.00	...	269.96	4 of 5 - Option sale to close-call ²⁵
	25.000	2,149.90	12/07/20	1,775.00	...	374.90	5 of 5 - Option sale to close-call ²⁵
12/07/20	54.000	4,643.78	Various	3,670.00	...	973.78	Total of 5 transactions
AAL 12/11/2020 CALL \$18.00 / CUSIP: / Symbol:							
2 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	6.000	293.98	12/07/20	408.00	...	-114.02	1 of 2 - Option sale to close-call ²⁵
	2.000	97.99	12/07/20	138.00	...	-40.01	2 of 2 - Option sale to close-call ²⁵
12/08/20	8.000	391.97	Various	546.00	...	-154.03	Total of 2 transactions
AAL 12/18/2020 CALL \$17.00 / CUSIP: / Symbol:							
4 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	2.000	63.98	12/15/20	56.00	...	7.98	1 of 4 - Option sale to close-call ²⁵
	5.000	159.98	12/15/20	140.00	...	19.98	2 of 4 - Option sale to close-call ²⁵
	10.000	319.97	12/15/20	280.00	...	39.97	3 of 4 - Option sale to close-call ²⁵
	13.000	415.96	12/15/20	364.00	...	51.96	4 of 4 - Option sale to close-call ²⁵
12/16/20	30.000	959.89	Various	840.00	...	119.89	Total of 4 transactions
AAPL 01/15/2021 CALL \$125.00 / CUSIP: / Symbol:							
09/01/20	1.000	1,726.95	08/31/20	1,535.00	...	191.95	Option sale to close-call ²⁵
AAPL 01/15/2021 CALL \$130.00 / CUSIP: / Symbol:							
09/02/20	1.000	1,689.95	09/01/20	1,540.00	...	149.95	Option sale to close-call ²⁵
4 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	1.000	317.98	11/30/20	265.00	...	52.98	1 of 4 - Option sale to close-call ²⁵
	1.000	317.98	11/30/20	265.00	...	52.98	2 of 4 - Option sale to close-call ²⁵
	1.000	317.98	11/30/20	265.00	...	52.98	3 of 4 - Option sale to close-call ²⁵
	2.000	635.97	11/30/20	530.00	...	105.97	4 of 4 - Option sale to close-call ²⁵
12/03/20	5.000	1,589.91	Various	1,325.00	...	264.91	Total of 4 transactions
	Security total:	3,279.86		2,865.00	...	414.86	

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Account 121338263

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 01/15/2021 CALL \$140.00 / CUSIP: / Symbol: 09/04/20	1.000	519.97	09/02/20	1,225.00	...	-705.03	Option sale to close-call ²⁵
AAPL 03/19/2021 CALL \$155.00 / CUSIP: / Symbol: 09/08/20	2.000	1,079.96	09/04/20	1,490.00	...	-410.04	Option sale to close-call ²⁵
AAPL 08/14/2020 PUT \$367.50 / CUSIP: / Symbol: 08/14/20	1.000	0.00	07/31/20	128.00	...	-128.00	Option expiration- long put
AAPL 08/28/2020 CALL \$465.00 / CUSIP: / Symbol: 08/26/20	1.000	4,114.89	08/18/20	890.00	...	3,224.89	Option sale to close-call ²⁵
AAPL 09/18/2020 CALL \$122.50 / CUSIP: / Symbol: 09/16/20	3.000	41.98	09/15/20	87.00	...	-45.02	Option sale to close-call ²⁵
AAPL 09/18/2020 CALL \$126.25 / CUSIP: / Symbol: 09/15/20	5.000	54.98	09/14/20	140.00	...	-85.02	Option sale to close-call ²⁵
AAPL 09/18/2020 PUT \$112.50 / CUSIP: / Symbol: 09/17/20	1.000	293.98	09/16/20	132.00	...	161.98	Option sale to close-put ²⁵
AAPL 10/16/2020 CALL \$125.00 / CUSIP: / Symbol: 10/13/20	5.000	584.97	10/12/20	930.00	...	-345.03	Option sale to close-call ²⁵
AAPL 10/30/2020 CALL \$128.00 / CUSIP: / Symbol: 10/30/20	1.000	0.00	10/29/20	22.00	...	-22.00	Option expiration- long call
ADBE 09/11/2020 CALL \$550.00 / CUSIP: / Symbol: 09/04/20	1.000	299.98	09/01/20	690.00	...	-390.02	Option sale to close-call ²⁵
AMC 09/04/2020 CALL \$7.00 / CUSIP: / Symbol: 08/27/20	1.000	20.98	08/13/20	27.00	...	-6.02	Option sale to close-call ²⁵
AMZN 10/23/2020 CALL \$3,395.00 / CUSIP: / Symbol: 10/19/20	1.000	2,912.92	10/16/20	7,375.00	...	-4,462.08	Option sale to close-call ²⁵
AMZN 10/23/2020 CALL \$3,400.00 / CUSIP: / Symbol: 10/20/20	1.000	4,334.83	10/19/20	0.00	...	4,334.83	Short sale closed- call ²⁵ Option written: 10/16/20

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Account 121338263

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APXT 01/15/2021 CALL \$17.50 / CUSIP: / Symbol:							
2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	4.000	399.98	12/28/20	692.00	...	-292.02	1 of 2 - Option sale to close-call ²⁵
	3.000	299.99	12/28/20	519.00	...	-219.01	2 of 2 - Option sale to close-call ²⁵
12/29/20	7.000	699.97	Various	1,211.00	...	-511.03	Total of 2 transactions
AZN 08/07/2020 CALL \$70.00 / CUSIP: / Symbol:							
08/07/20	2.000	0.00	07/20/20	290.00	...	-290.00	Option expiration- long call
AZN 08/21/2020 CALL \$75.00 / CUSIP: / Symbol:							
2 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/17/20	95.00	...	-95.00	1 of 2 - Option expiration- long call
	1.000	0.00	07/17/20	90.00	...	-90.00	2 of 2 - Option expiration- long call
08/21/20	2.000	0.00	Various	185.00	...	-185.00	Total of 2 transactions
BA 02/19/2021 CALL \$240.00 / CUSIP: / Symbol:							
11/11/20	1.000	559.97	11/09/20	365.00	...	194.97	Option sale to close-call ²⁵
BABA 07/24/2020 PUT \$235.00 / CUSIP: / Symbol:							
07/15/20	1.000	199.98	07/09/20	231.00	...	-31.02	Option sale to close-put ²⁵
BABA 11/20/2020 CALL \$310.00 / CUSIP: / Symbol:							
11/05/20	1.000	179.98	11/04/20	565.00	...	-385.02	Option sale to close-call ²⁵
BABA 11/27/2020 CALL \$320.00 / CUSIP: / Symbol:							
11/13/20	1.000	28.98	11/05/20	255.00	...	-226.02	Option sale to close-call ²⁵
BABA 12/18/2020 CALL \$290.00 / CUSIP: / Symbol:							
11/20/20	2.000	809.97	11/19/20	584.02	...	225.95	Option sale to close-call ²⁵
11/20/20	4.000	1,095.96	11/19/20	1,136.00	40.04 W	0.00	Option sale to close-call ²⁵
2 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	1.000	414.98	11/20/20	315.01	...	99.97	1 of 2 - Option sale to close-call ²⁵
	1.000	414.98	11/20/20	315.01	...	99.97	2 of 2 - Option sale to close-call ²⁵
11/23/20	2.000	829.96	Various	630.02	...	199.94	Total of 2 transactions
Security total:		2,735.89		2,350.04	40.04 W	425.89	

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(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BAC 01/15/2021 CALL \$37.00 / CUSIP: / Symbol:							
06/10/20	1.000	51.98	06/05/20	64.00	...	-12.02	Option sale to close-call ²⁵
BLNK 10/16/2020 CALL \$12.50 / CUSIP: / Symbol:							
10/16/20	5.000	0.00	10/14/20	20.00	...	-20.00	Option expiration- long call
CCL 01/15/2021 CALL \$52.50 / CUSIP: / Symbol:							
06/10/20	1.000	69.98	06/08/20	90.00	...	-20.02	Option sale to close-call ²⁵
CHWY 01/08/2021 CALL \$97.50 / CUSIP: / Symbol:							
12/30/20	1.000	138.98	12/29/20	551.02	...	-412.04	Option sale to close-call ²⁵
12/30/20	1.000	138.98	12/29/20	345.00	206.02 W	0.00	Option sale to close-call ²⁵
	Security total:	277.96		896.02	206.02 W	-412.04	
CLDR 09/11/2020 CALL \$12.00 / CUSIP: / Symbol:							
	<i>3 transactions for 09/11/20. Total proceeds and cost reported to the IRS.</i>						
	2.000	0.00	09/01/20	422.00	...	-422.00	1 of 3 - Option expiration- long call
	2.000	0.00	09/01/20	422.00	...	-422.00	2 of 3 - Option expiration- long call
	1.000	0.00	09/01/20	211.00	...	-211.00	3 of 3 - Option expiration- long call
09/11/20	5.000	0.00	Various	1,055.00	...	-1,055.00	Total of 3 transactions
COST 09/25/2020 CALL \$357.50 / CUSIP: / Symbol:							
09/25/20	1.000	0.00	09/24/20	315.00	...	-315.00	Option expiration- long call
COST 09/25/2020 CALL \$365.00 / CUSIP: / Symbol:							
09/25/20	1.000	0.00	09/23/20	188.00	...	-188.00	Option expiration- long call
CRM 09/11/2020 PUT \$240.00 / CUSIP: / Symbol:							
09/08/20	1.000	259.98	09/04/20	158.00	...	101.98	Option sale to close-put ²⁵
CRM 09/18/2020 CALL \$270.00 / CUSIP: / Symbol:							
09/17/20	1.000	4.98	09/16/20	32.00	...	-27.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 121338263

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CRON 11/20/2020 CALL \$8.50 / CUSIP: / Symbol:							
<i>14 transactions for 11/13/20. Total proceeds and cost reported to the IRS.</i>							
	15.000	44.96	11/11/20	255.04	...	-210.08	1 of 14 - Option sale to close-call ²⁵
	8.000	23.98	11/11/20	136.02	...	-112.04	2 of 14 - Option sale to close-call ²⁵
	6.000	17.98	11/11/20	102.02	...	-84.04	3 of 14 - Option sale to close-call ²⁵
	3.000	8.99	11/11/20	69.03	...	-60.04	4 of 14 - Option sale to close-call ²⁵
	3.000	8.99	11/11/20	51.02	...	-42.03	5 of 14 - Option sale to close-call ²⁵
	6.000	17.98	11/11/20	60.00	...	-42.02	6 of 14 - Option sale to close-call ²⁵
	2.000	5.99	11/11/20	34.01	...	-28.02	7 of 14 - Option sale to close-call ²⁵
	2.000	5.99	11/11/20	34.00	...	-28.01	8 of 14 - Option sale to close-call ²⁵
	1.000	3.00	11/11/20	23.02	...	-20.02	9 of 14 - Option sale to close-call ²⁵
	1.000	2.98	11/11/20	17.01	...	-14.03	10 of 14 - Option sale to close-call ²⁵
	1.000	2.99	11/11/20	17.02	...	-14.03	11 of 14 - Option sale to close-call ²⁵
	1.000	3.00	11/11/20	17.01	...	-14.01	12 of 14 - Option sale to close-call ²⁵
	2.000	5.99	11/11/20	20.00	...	-14.01	13 of 14 - Option sale to close-call ²⁵
	1.000	3.00	11/11/20	16.00	...	-13.00	14 of 14 - Option sale to close-call ²⁵
11/13/20	52.000	155.82	Various	851.20	...	-695.38	Total of 14 transactions
<i>9 transactions for 11/13/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	3.98	11/11/20	10.00	6.02 W	0.00	1 of 9 - Option sale to close-call ²⁵
	4.000	15.98	11/11/20	40.00	24.02 W	0.00	2 of 9 - Option sale to close-call ²⁵
	23.000	68.94	11/11/20	230.00	161.06 W	0.00	3 of 9 - Option sale to close-call ²⁵

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Account 121338263

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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CRON 11/20/2020 CALL \$8.50 / CUSIP: / Symbol: (cont'd)							
	10.000	29.97	11/11/20	100.00	70.03 W	0.00	4 of 9 - Option sale to close-call ²⁵
	3.000	8.98	11/11/20	30.00	21.02 W	0.00	5 of 9 - Option sale to close-call ²⁵
	1.000	2.98	11/11/20	10.00	7.02 W	0.00	6 of 9 - Option sale to close-call ²⁵
	2.000	5.98	11/11/20	20.00	14.02 W	0.00	7 of 9 - Option sale to close-call ²⁵
	1.000	3.00	11/11/20	16.02	13.02 W	0.00	8 of 9 - Option sale to close-call ²⁵
	3.000	8.99	11/11/20	48.02	39.03 W	0.00	9 of 9 - Option sale to close-call ²⁵
11/13/20	48.000	148.80	Various	504.04	355.24 W	0.00	Total of 9 transactions
	Security total:	304.62		1,355.24	355.24 W	-695.38	
CRWD 09/11/2020 CALL \$140.00 / CUSIP: / Symbol:							
09/04/20	1.000	128.98	09/01/20	1,435.00	...	-1,306.02	Option sale to close-call ²⁵
CYH 10/30/2020 CALL \$6.00 / CUSIP: / Symbol:							
2 transactions for 10/28/20. Total proceeds and cost reported to the IRS.							
	2.000	59.99	10/28/20	50.00	...	9.99	1 of 2 - Option sale to close-call ²⁵
	98.000	2,939.74	10/28/20	2,450.00	...	489.74	2 of 2 - Option sale to close-call ²⁵
10/28/20	100.000	2,999.73	Various	2,500.00	...	499.73	Total of 2 transactions
DGLY 11/20/2020 CALL \$3.00 / CUSIP: / Symbol:							
10/28/20	10.000	199.97	10/28/20	250.00	...	-50.03	Option sale to close-call ²⁵
DIS 12/18/2020 CALL \$175.00 / CUSIP: / Symbol:							
2 transactions for 12/15/20. Total proceeds and cost reported to the IRS.							
	2.000	159.99	12/14/20	770.00	...	-610.01	1 of 2 - Option sale to close-call ²⁵
	1.000	79.99	12/14/20	385.00	...	-305.01	2 of 2 - Option sale to close-call ²⁵
12/15/20	3.000	239.98	Various	1,155.00	...	-915.02	Total of 2 transactions
DIS 12/24/2020 CALL \$185.00 / CUSIP: / Symbol:							
12/15/20	3.000	158.98	12/11/20	828.00	...	-669.02	Option sale to close-call ²⁵
DOCU 12/11/2020 CALL \$237.50 / CUSIP: / Symbol:							
2 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	1.000	319.98	12/07/20	455.00	...	-135.02	1 of 2 - Option sale to close-call ²⁵
	1.000	319.99	12/07/20	455.00	...	-135.01	2 of 2 - Option sale to close-call ²⁵
12/08/20	2.000	639.97	Various	910.00	...	-270.03	Total of 2 transactions

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Robinhood Securities LLC

Account 121338263

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DPZ 10/16/2020 CALL \$440.00 / CUSIP: / Symbol:							
10/07/20	1.000	939.96	10/02/20	1,240.00	...	-300.04	Option sale to close-call ²⁵
DPZ 10/23/2020 CALL \$440.00 / CUSIP: / Symbol:							
10/02/20	1.000	1,189.96	09/29/20	1,300.00	...	-110.04	Option sale to close-call ²⁵
DSKE 11/20/2020 CALL \$7.50 / CUSIP: / Symbol:							
11/02/20	1.000	54.98	10/30/20	35.00	...	19.98	Option sale to close-call ²⁵
F 11/06/2020 CALL \$8.00 / CUSIP: / Symbol:							
2 transactions for 10/21/20. Total proceeds and cost reported to the IRS.							
	1.000	25.98	10/20/20	25.00	...	0.98	1 of 2 - Option sale to close-call ²⁵
	5.000	129.98	10/20/20	125.02	...	4.96	2 of 2 - Option sale to close-call ²⁵
10/21/20	6.000	155.96	Various	150.02	...	5.94	Total of 2 transactions
5 transactions for 10/21/20. Total proceeds and cost reported to the IRS.							
	18.000	467.95	10/20/20	468.00	0.04 W	-0.01	1 of 5 - Option sale to close-call ²⁵
	6.000	155.98	10/20/20	156.00	0.02 W	0.00	2 of 5 - Option sale to close-call ²⁵
	5.000	129.98	10/20/20	130.00	0.02 W	0.00	3 of 5 - Option sale to close-call ²⁵
	5.000	129.98	10/20/20	130.00	0.02 W	0.00	4 of 5 - Option sale to close-call ²⁵
	3.000	77.99	10/20/20	78.00	0.01 W	0.00	5 of 5 - Option sale to close-call ²⁵
10/21/20	37.000	961.88	Various	962.00	0.11 W	-0.01	Total of 5 transactions
9 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	2.000	9.99	11/05/20	8.01	...	1.98	1 of 9 - Option sale to close-call ²⁵
	2.000	9.99	11/05/20	8.01	...	1.98	2 of 9 - Option sale to close-call ²⁵
	3.000	14.99	11/05/20	12.01	...	2.98	3 of 9 - Option sale to close-call ²⁵
	3.000	14.99	11/05/20	12.01	...	2.98	4 of 9 - Option sale to close-call ²⁵
	4.000	19.99	11/05/20	16.00	...	3.99	5 of 9 - Option sale to close-call ²⁵
	5.000	24.99	11/05/20	20.02	...	4.97	6 of 9 - Option sale to close-call ²⁵
	9.000	44.97	11/05/20	36.00	...	8.97	7 of 9 - Option sale to close-call ²⁵
	10.000	49.98	11/05/20	40.03	...	9.95	8 of 9 - Option sale to close-call ²⁵
	12.000	59.97	11/05/20	48.00	...	11.97	9 of 9 - Option sale to close-call ²⁵
11/06/20	50.000	249.86	Various	200.09	...	49.77	Total of 9 transactions
	Security total:	1,367.70		1,312.11	0.11 W	55.70	

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Robinhood Securities LLC

Account 121338263

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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F 12/18/2020 CALL \$8.00 / CUSIP: / Symbol:							
3 transactions for 11/02/20. Total proceeds and cost reported to the IRS.							
	12.000	551.96	10/30/20	564.00	...	-12.04	1 of 3 - Option sale to close-call ²⁵
	5.000	229.99	10/30/20	235.00	...	-5.01	2 of 3 - Option sale to close-call ²⁵
	2.000	91.99	10/30/20	94.00	...	-2.01	3 of 3 - Option sale to close-call ²⁵
11/02/20	19.000	873.94	Various	893.00	...	-19.06	Total of 3 transactions
FB 05/01/2020 CALL \$180.00 / CUSIP: / Symbol:							
04/21/20	1.000	449.98	04/20/20	740.00	...	-290.02	Option sale to close-call ²⁵
FB 07/24/2020 PUT \$225.00 / CUSIP: / Symbol:							
07/13/20	1.000	167.98	07/10/20	315.00	...	-147.02	Option sale to close-put ²⁵
FB 07/24/2020 PUT \$232.50 / CUSIP: / Symbol:							
07/08/20	1.000	379.98	07/07/20	320.00	...	59.98	Option sale to close-put ²⁵
FB 09/04/2020 PUT \$222.50 / CUSIP: / Symbol:							
08/10/20	3.000	320.98	08/07/20	204.00	...	116.98	Option sale to close-put ²⁵
FB 09/18/2020 CALL \$272.50 / CUSIP: / Symbol:							
09/18/20	1.000	0.00	09/17/20	26.00	...	-26.00	Option expiration- long call
FB 10/30/2020 CALL \$320.00 / CUSIP: / Symbol:							
10/30/20	1.000	0.00	10/29/20	28.00	...	-28.00	Option expiration- long call
FB 11/20/2020 CALL \$277.50 / CUSIP: / Symbol:							
11/04/20	1.000	1,224.96	11/03/20	640.00	...	584.96	Option sale to close-call ²⁵
FDX 09/18/2020 PUT \$200.00 / CUSIP: / Symbol:							
09/16/20	1.000	1.98	09/15/20	62.00	...	-60.02	Option sale to close-put ²⁵
FSR 12/24/2020 CALL \$17.50 / CUSIP: / Symbol:							
3 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	14.000	447.95	12/23/20	546.00	...	-98.05	1 of 3 - Option sale to close-call ²⁵
	1.000	32.00	12/23/20	46.02	...	-14.02	2 of 3 - Option sale to close-call ²⁵
	1.000	32.00	12/23/20	39.00	...	-7.00	3 of 3 - Option sale to close-call ²⁵
12/23/20	16.000	511.95	Various	631.02	...	-119.07	Total of 3 transactions

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FSR 12/24/2020 CALL \$17.50 / CUSIP: / Symbol: (cont'd)							
12/23/20	1.000	31.98	12/23/20	39.00	7.02 W	0.00	Option sale to close-call ²⁵
	Security total:	543.93		670.02	7.02 W	-119.07	
GE 01/15/2021 CALL \$12.00 / CUSIP: / Symbol:							
12 transactions for 12/15/20. Total proceeds and cost reported to the IRS.							
	11.000	197.98	12/14/20	528.12	...	-330.14	1 of 12 - Option sale to close-call ²⁵
	7.000	125.98	12/14/20	294.05	...	-168.07	2 of 12 - Option sale to close-call ²⁵
	9.000	161.98	12/14/20	270.02	...	-108.04	3 of 12 - Option sale to close-call ²⁵
	14.000	251.96	12/14/20	336.00	...	-84.04	4 of 12 - Option sale to close-call ²⁵
	2.000	35.99	12/14/20	108.03	...	-72.04	5 of 12 - Option sale to close-call ²⁵
	2.000	35.99	12/14/20	108.02	...	-72.03	6 of 12 - Option sale to close-call ²⁵
	9.000	161.98	12/14/20	216.00	...	-54.02	7 of 12 - Option sale to close-call ²⁵
	2.000	35.99	12/14/20	72.02	...	-36.03	8 of 12 - Option sale to close-call ²⁵
	1.000	18.00	12/14/20	54.02	...	-36.02	9 of 12 - Option sale to close-call ²⁵
	1.000	18.00	12/14/20	42.01	...	-24.01	10 of 12 - Option sale to close-call ²⁵
	1.000	18.00	12/14/20	42.01	...	-24.01	11 of 12 - Option sale to close-call ²⁵
	4.000	71.98	12/15/20	124.02	...	-52.04	12 of 12 - Option sale to close-call ²⁵
12/15/20	63.000	1,133.83	Various	2,194.32	...	-1,060.49	Total of 12 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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GE 01/15/2021 CALL \$12.00 / CUSIP: / Symbol: (cont'd)							
<i>18 transactions for 12/15/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	179.98	12/14/20	300.02	48.02 W	-72.02	1 of 18 - Option sale to close-call 25
	5.000	89.98	12/14/20	150.01	60.03 W	0.00	2 of 18 - Option sale to close-call 25
	11.000	197.97	12/14/20	330.03	132.06 W	0.00	3 of 18 - Option sale to close-call 25
	5.000	89.99	12/14/20	180.03	90.04 W	0.00	4 of 18 - Option sale to close-call 25
	11.000	197.97	12/14/20	264.00	66.03 W	0.00	5 of 18 - Option sale to close-call 25
	10.000	179.98	12/14/20	240.00	60.02 W	0.00	6 of 18 - Option sale to close-call 25
	9.000	161.98	12/14/20	216.00	54.02 W	0.00	7 of 18 - Option sale to close-call 25
	5.000	89.99	12/14/20	210.04	120.05 W	0.00	8 of 18 - Option sale to close-call 25
	11.000	197.97	12/14/20	396.06	198.09 W	0.00	9 of 18 - Option sale to close-call 25
	8.000	143.98	12/14/20	240.02	96.04 W	0.00	10 of 18 - Option sale to close-call 25
	1.000	17.99	12/14/20	48.01	30.02 W	0.00	11 of 18 - Option sale to close-call 25
	1.000	17.99	12/14/20	30.00	12.01 W	0.00	12 of 18 - Option sale to close-call 25
	16.000	287.96	12/14/20	384.00	96.04 W	0.00	13 of 18 - Option sale to close-call 25
	4.000	71.99	12/14/20	192.04	120.05 W	0.00	14 of 18 - Option sale to close-call 25
	11.000	197.97	12/14/20	462.09	264.12 W	0.00	15 of 18 - Option sale to close-call 25
	1.000	18.00	12/14/20	36.01	18.01 W	0.00	16 of 18 - Option sale to close-call 25
	8.000	143.98	12/14/20	288.04	144.06 W	0.00	17 of 18 - Option sale to close-call 25

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GE 01/15/2021 CALL \$12.00 / CUSIP: / Symbol: (cont'd)							
	2.000	35.99	12/14/20	60.01	24.02 W	0.00	18 of 18 - Option sale to close-call ²⁵
12/15/20	129.000	2,321.66	Various	4,026.41	1,632.73 W	-72.02	Total of 18 transactions
	Security total:	3,455.49		6,220.73	1,632.73 W	-1,132.51	
GE 01/15/2021 CALL \$6.00 / CUSIP: / Symbol:							
05/18/20	2.000	259.98	05/15/20	198.00	...	61.98	Option sale to close-call ²⁵
GE 11/06/2020 CALL \$7.50 / CUSIP: / Symbol:							
2 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	1.000	57.98	10/22/20	36.00	...	21.98	1 of 2 - Option sale to close-call ²⁵
	2.000	117.98	10/22/20	72.00	...	45.98	2 of 2 - Option sale to close-call ²⁵
10/23/20	3.000	175.96	Various	108.00	...	67.96	Total of 2 transactions
GE 11/13/2020 CALL \$8.50 / CUSIP: / Symbol:							
7 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	1.000	21.98	10/22/20	20.00	...	1.98	1 of 7 - Option sale to close-call ²⁵
	1.000	21.98	10/22/20	20.00	...	1.98	2 of 7 - Option sale to close-call ²⁵
	6.000	131.98	10/22/20	120.00	...	11.98	3 of 7 - Option sale to close-call ²⁵
	13.000	285.96	10/22/20	260.00	...	25.96	4 of 7 - Option sale to close-call ²⁵
	15.000	329.96	10/22/20	300.00	...	29.96	5 of 7 - Option sale to close-call ²⁵
	24.000	527.93	10/22/20	480.00	...	47.93	6 of 7 - Option sale to close-call ²⁵
	40.000	879.90	10/22/20	800.00	...	79.90	7 of 7 - Option sale to close-call ²⁵
10/23/20	100.000	2,199.69	Various	2,000.00	...	199.69	Total of 7 transactions
GE 11/27/2020 CALL \$7.50 / CUSIP: / Symbol:							
10/28/20	45.000	2,744.84	10/27/20	1,665.00	...	1,079.84	Option sale to close-call ²⁵
GE 12/18/2020 CALL \$8.00 / CUSIP: / Symbol:							
3 transactions for 11/03/20. Total proceeds and cost reported to the IRS.							
	4.000	183.98	11/02/20	148.00	...	35.98	1 of 3 - Option sale to close-call ²⁵
	11.000	505.96	11/02/20	407.00	...	98.96	2 of 3 - Option sale to close-call ²⁵
	15.000	689.95	11/02/20	555.00	...	134.95	3 of 3 - Option sale to close-call ²⁵
11/03/20	30.000	1,379.89	Various	1,110.00	...	269.89	Total of 3 transactions
11/05/20	50.000	2,549.84	11/04/20	2,150.00	...	399.84	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 121338263

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GE 12/18/2020 CALL \$8.00 / CUSIP: / Symbol: (cont'd)							
Security total:		3,929.73		3,260.00	...	669.73	
GILD 01/15/2021 CALL \$120.00 / CUSIP: / Symbol:							
06/10/20	1.000	64.98	06/03/20	56.00	...	8.98	Option sale to close-call ²⁵
GILD 09/18/2020 CALL \$67.50 / CUSIP: / Symbol:							
09/15/20	1.000	42.98	09/14/20	95.00	...	-52.02	Option sale to close-call ²⁵
GNCA 11/20/2020 CALL \$5.00 / CUSIP: / Symbol:							
10/21/20	1.000	9.98	10/20/20	8.00	...	1.98	Option sale to close-call ²⁵
GNUS 07/17/2020 CALL \$3.50 / CUSIP: / Symbol:							
2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	1.000	19.98	07/02/20	55.00	...	-35.02	1 of 2 - Option sale to close-call ²⁵
	1.000	20.98	07/02/20	55.00	...	-34.02	2 of 2 - Option sale to close-call ²⁵
07/06/20	2.000	40.96	Various	110.00	...	-69.04	Total of 2 transactions
GNUS 07/24/2020 CALL \$3.00 / CUSIP: / Symbol:							
07/06/20	1.000	44.98	07/02/20	45.02	...	-0.04	Option sale to close-call ²⁵
07/06/20	1.000	40.98	07/02/20	43.00	2.02 W	0.00	Option sale to close-call ²⁵
Security total:		85.96		88.02	2.02 W	-0.04	
HOG 10/30/2020 CALL \$36.00 / CUSIP: / Symbol:							
10/27/20	19.000	1,709.92	10/27/20	2,375.00	...	-665.08	Option sale to close-call ²⁵
HPQ 09/18/2020 CALL \$20.50 / CUSIP: / Symbol:							
08/31/20	1.000	37.98	08/28/20	49.00	...	-11.02	Option sale to close-call ²⁵
HTZ 07/17/2020 PUT \$1.00 / CUSIP: / Symbol:							
06/26/20	1.000	24.98	06/25/20	24.00	...	0.98	Option sale to close-put ²⁵
IWM 10/09/2020 CALL \$157.00 / CUSIP: / Symbol:							
10/05/20	1.000	160.98	10/02/20	62.00	...	98.98	Option sale to close-call ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IWM 10/23/2020 CALL \$151.00 / CUSIP: / Symbol:							
2 transactions for 09/28/20. Total proceeds and cost reported to the IRS.							
	1.000	385.98	09/25/20	265.00	...	120.98	1 of 2 - Option sale to close-call ²⁵
	4.000	1,503.95	09/25/20	1,060.00	...	443.95	2 of 2 - Option sale to close-call ²⁵
09/28/20	5.000	1,889.93	Various	1,325.00	...	564.93	Total of 2 transactions
10/01/20	5.000	2,329.93	09/28/20	2,200.00	...	129.93	Option sale to close-call ²⁵
	Security total:	4,219.86		3,525.00	...	694.86	
IWM 10/23/2020 CALL \$161.00 / CUSIP: / Symbol:							
10/12/20	1.000	501.97	10/06/20	378.00	...	123.97	Option sale to close-call ²⁵
IWM 10/23/2020 CALL \$163.00 / CUSIP: / Symbol:							
2 transactions for 10/16/20. Total proceeds and cost reported to the IRS.							
	5.000	1,174.96	10/13/20	1,340.00	...	-165.04	1 of 2 - Option sale to close-call ²⁵
	5.000	1,174.96	10/15/20	730.00	...	444.96	2 of 2 - Option sale to close-call ²⁵
10/16/20	10.000	2,349.92	Various	2,070.00	...	279.92	Total of 2 transactions
IWM 10/30/2020 CALL \$145.50 / CUSIP: / Symbol:							
09/25/20	1.000	531.97	09/24/20	542.00	...	-10.03	Option sale to close-call ²⁵
IWM 10/30/2020 CALL \$160.00 / CUSIP: / Symbol:							
10/12/20	2.000	1,299.96	10/07/20	902.00	...	397.96	Option sale to close-call ²⁵
JETS 10/23/2020 CALL \$18.50 / CUSIP: / Symbol:							
10/12/20	3.000	119.98	10/07/20	120.00	...	-0.02	Option sale to close-call ²⁵
JETS 11/20/2020 CALL \$18.00 / CUSIP: / Symbol:							
09/28/20	15.000	1,724.93	09/24/20	1,530.00	...	194.93	Option sale to close-call ²⁵
KHC 01/15/2021 CALL \$37.50 / CUSIP: / Symbol:							
2 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	64.000	831.85	11/30/20	832.00	...	-0.15	1 of 2 - Option sale to close-call ²⁵
	36.000	467.91	11/30/20	468.00	...	-0.09	2 of 2 - Option sale to close-call ²⁵
12/03/20	100.000	1,299.76	Various	1,300.00	...	-0.24	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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KNDI 08/21/2020 PUT \$7.00 / CUSIP: / Symbol:							
07/31/20	2.000	279.98	07/30/20	280.00	...	-0.02	Option sale to close-put ²⁵
KO 11/20/2020 CALL \$55.00 / CUSIP: / Symbol:							
08/31/20	1.000	46.98	08/28/20	43.00	...	3.98	Option sale to close-call ²⁵
LULU 09/11/2020 PUT \$250.00 / CUSIP: / Symbol:							
09/10/20	1.000	2.98	09/08/20	10.00	...	-7.02	Option sale to close-put ²⁵
LVGO 10/30/2020 CALL \$148.00 / CUSIP: / Symbol:							
10/30/20	1.000	0.00	10/27/20	390.00	...	-390.00	Option expiration- long call
MGM 10/30/2020 CALL \$22.50 / CUSIP: / Symbol:							
10/30/20	1.000	0.00	10/29/20	21.00	...	-21.00	Option expiration- long call
MRNA 04/24/2020 CALL \$38.00 / CUSIP: / Symbol:							
04/17/20	1.000	899.97	04/15/20	205.00	...	694.97	Option sale to close-call ²⁵
MRNA 04/24/2020 CALL \$40.00 / CUSIP: / Symbol:							
04/17/20	1.000	679.97	04/16/20	215.00	...	464.97	Option sale to close-call ²⁵
MRNA 05/01/2020 CALL \$49.00 / CUSIP: / Symbol:							
05/01/20	1.000	0.00	04/21/20	490.00	...	-490.00	Option expiration- long call
MRNA 05/01/2020 CALL \$51.00 / CUSIP: / Symbol:							
04/21/20	1.000	500.97	04/20/20	580.00	...	-79.03	Option sale to close-call ²⁵
MRNA 05/01/2020 CALL \$53.00 / CUSIP: / Symbol:							
04/21/20	1.000	529.97	04/21/20	610.00	...	-80.03	Option sale to close-call ²⁵
MRNA 05/29/2020 PUT \$52.00 / CUSIP: / Symbol:							
2 transactions for 05/11/20. Total proceeds and cost reported to the IRS.							
	1.000	224.99	05/11/20	225.00	...	-0.01	1 of 2 - Option sale to close-put ²⁵
	1.000	224.99	05/11/20	225.00	...	-0.01	2 of 2 - Option sale to close-put ²⁵
05/11/20	2.000	449.98	Various	450.00	...	-0.02	Total of 2 transactions
MRNA 06/12/2020 CALL \$67.00 / CUSIP: / Symbol:							
06/12/20	1.000	9.98	05/28/20	280.00	...	-270.02	Option sale to close-call ²⁵

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(continued)

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MRNA 07/24/2020 CALL \$90.00 / CUSIP: / Symbol:							
07/14/20	1.000	207.98	07/13/20	295.00	...	-87.02	Option sale to close-call ²⁵
MRNA 07/31/2020 CALL \$92.00 / CUSIP: / Symbol:							
07/31/20	1.000	0.98	07/21/20	335.00	...	-334.02	Option sale to close-call ²⁵
MRNA 07/31/2020 CALL \$95.00 / CUSIP: / Symbol:							
07/21/20	1.000	229.98	07/14/20	350.00	...	-120.02	Option sale to close-call ²⁵
MRNA 07/31/2020 CALL \$96.00 / CUSIP: / Symbol:							
07/31/20	1.000	0.00	07/21/20	256.00	...	-256.00	Option expiration- long call
MRNA 09/04/2020 CALL \$120.00 / CUSIP: / Symbol:							
08/31/20	1.000	2.98	08/12/20	54.00	...	-51.02	Option sale to close-call ²⁵
09/04/20	1.000	0.00	08/12/20	54.00	...	-54.00	Option expiration- long call
Security total:		2.98		108.00	...	-105.02	
MRNA 09/11/2020 CALL \$72.00 / CUSIP: / Symbol:							
08/27/20	1.000	339.98	08/26/20	485.00	...	-145.02	Option sale to close-call ²⁵
MRNA 10/02/2020 CALL \$75.00 / CUSIP: / Symbol:							
10/02/20	1.000	2.98	09/30/20	121.00	...	-118.02	Option sale to close-call ²⁵
MRNA 11/13/2020 CALL \$95.00 / CUSIP: / Symbol:							
11/12/20	1.000	54.98	11/09/20	10.00	...	44.98	Option sale to close-call ²⁵
MRNA 11/20/2020 CALL \$109.00 / CUSIP: / Symbol:							
11/20/20	1.000	1.98	11/16/20	133.00	...	-131.02	Option sale to close-call ²⁵
MRNA 12/11/2020 CALL \$123.00 / CUSIP: / Symbol:							
12/01/20	1.000	2,164.94	11/27/20	954.00	...	1,210.94	Option sale to close-call ²⁵
MRNA 12/11/2020 CALL \$145.00 / CUSIP: / Symbol:							
12/03/20	3.000	3,989.90	12/01/20	4,290.00	...	-300.10	Option sale to close-call ²⁵
MRNA 12/11/2020 CALL \$152.50 / CUSIP: / Symbol:							
12/07/20	3.000	2,819.92	12/03/20	3,360.00	...	-540.08	Option sale to close-call ²⁵

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MRNA 12/11/2020 CALL \$165.00 / CUSIP: / Symbol:							
2 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	1.000	904.98	12/07/20	710.00	...	194.98	1 of 2 - Option sale to close-call ²⁵
	6.000	5,429.86	12/07/20	4,500.00	...	929.86	2 of 2 - Option sale to close-call ²⁵
12/08/20	7.000	6,334.84	Various	5,210.00	...	1,124.84	Total of 2 transactions
MRNA 12/11/2020 CALL \$99.00 / CUSIP: / Symbol:							
11/23/20	1.000	679.97	11/20/20	655.00	...	24.97	Option sale to close-call ²⁵
MRNA 12/24/2020 CALL \$101.00 / CUSIP: / Symbol:							
11/25/20	1.000	939.96	11/23/20	825.00	...	114.96	Option sale to close-call ²⁵
MRNA 12/24/2020 CALL \$140.00 / CUSIP: / Symbol:							
12/24/20	1.000	3.98	12/23/20	57.00	...	-53.02	Option sale to close-call ²⁵
MRNA 12/31/2020 CALL \$160.00 / CUSIP: / Symbol:							
12/14/20	3.000	4,424.89	12/14/20	4,155.00	...	269.89	Option sale to close-call ²⁵
MSFT 08/28/2020 CALL \$227.50 / CUSIP: / Symbol:							
2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	1.000	61.99	07/31/20	55.00	...	6.99	1 of 2 - Option sale to close-call ²⁵
	1.000	61.99	07/31/20	55.00	...	6.99	2 of 2 - Option sale to close-call ²⁵
08/11/20	2.000	123.98	Various	110.00	...	13.98	Total of 2 transactions
MSFT 09/04/2020 CALL \$215.00 / CUSIP: / Symbol:							
08/27/20	1.000	774.97	08/26/20	775.00	...	-0.03	Option sale to close-call ²⁵
MSFT 09/11/2020 CALL \$225.00 / CUSIP: / Symbol:							
08/28/20	1.000	834.97	08/27/20	790.00	...	44.97	Option sale to close-call ²⁵
MSFT 09/11/2020 CALL \$227.50 / CUSIP: / Symbol:							
08/31/20	1.000	619.97	08/28/20	715.00	...	-95.03	Option sale to close-call ²⁵

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MSFT 09/11/2020 CALL \$235.00 / CUSIP: / Symbol:							
2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	09/01/20	274.00	...	-274.00	1 of 2 - Option expiration- long call
	1.000	0.00	09/01/20	274.00	...	-274.00	2 of 2 - Option expiration- long call
09/11/20	2.000	0.00	Various	548.00	...	-548.00	Total of 2 transactions
MSFT 09/11/2020 CALL \$240.00 / CUSIP: / Symbol:							
2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	1.000	180.98	09/11/20	0.00	...	180.98	1 of 2 - Short sale closed- call expired
	1.000	180.98	09/11/20	0.00	...	180.98	2 of 2 - Short sale closed- call expired
09/11/20	2.000	361.96	Various	0.00	...	361.96	Option written: 09/01/20 Total of 2 transactions
MSFT 09/11/2020 CALL \$245.00 / CUSIP: / Symbol:							
08/26/20	3.000	155.98	08/12/20	183.00	...	-27.02	Option sale to close-call ²⁵
MU 08/28/2020 CALL \$53.50 / CUSIP: / Symbol:							
08/10/20	2.000	99.98	08/06/20	96.00	...	3.98	Option sale to close-call ²⁵
NET 10/16/2020 CALL \$55.00 / CUSIP: / Symbol:							
10/13/20	1.000	309.98	10/12/20	107.00	...	202.98	Option sale to close-call ²⁵
NIO 01/29/2021 CALL \$47.00 / CUSIP: / Symbol:							
12/31/20	6.000	4,259.89	12/30/20	3,300.00	...	959.89	Option sale to close-call ²⁵
NIO 07/17/2020 CALL \$14.00 / CUSIP: / Symbol:							
07/09/20	2.000	353.98	07/09/20	354.00	...	-0.02	Option sale to close-call ²⁵
NIO 07/24/2020 CALL \$16.00 / CUSIP: / Symbol:							
07/24/20	2.000	3.98	07/13/20	476.00	...	-472.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 121338263

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NIO 07/24/2020 PUT \$12.00 / CUSIP: / Symbol:							
07/13/20	1.000	55.98	07/10/20	158.02	...	-102.04	Option sale to close-put ²⁵
07/13/20	1.000	55.98	07/10/20	107.00	51.02 W	0.00	Option sale to close-put ²⁵
	Security total:	111.96		265.02	51.02 W	-102.04	
NIO 08/21/2020 CALL \$16.00 / CUSIP: / Symbol:							
08/04/20	1.000	110.98	07/20/20	107.00	...	3.98	Option sale to close-call ²⁵
NIO 11/06/2020 CALL \$38.00 / CUSIP: / Symbol:							
<i>6 transactions for 11/04/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	98.99	11/03/20	56.00	...	42.99	1 of 6 - Option sale to close-call ²⁵
	1.000	98.99	11/03/20	56.00	...	42.99	2 of 6 - Option sale to close-call ²⁵
	1.000	98.99	11/03/20	56.00	...	42.99	3 of 6 - Option sale to close-call ²⁵
	1.000	98.99	11/03/20	56.00	...	42.99	4 of 6 - Option sale to close-call ²⁵
	3.000	296.99	11/03/20	168.00	...	128.99	5 of 6 - Option sale to close-call ²⁵
	6.000	593.97	11/03/20	336.00	...	257.97	6 of 6 - Option sale to close-call ²⁵
11/04/20	13.000	1,286.92	Various	728.00	...	558.92	Total of 6 transactions
NIO 11/06/2020 CALL \$41.00 / CUSIP: / Symbol:							
11/06/20	3.000	185.98	11/04/20	387.00	...	-201.02	Option sale to close-call ²⁵
NIO 11/13/2020 CALL \$50.00 / CUSIP: / Symbol:							
11/13/20	5.000	1,624.95	11/12/20	190.00	...	1,434.95	Option sale to close-call ²⁵
NIO 11/20/2020 CALL \$41.00 / CUSIP: / Symbol:							
<i>3 transactions for 11/13/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	1,324.97	11/11/20	380.00	...	944.97	1 of 3 - Option sale to close-call ²⁵
	1.000	1,324.97	11/11/20	380.00	...	944.97	2 of 3 - Option sale to close-call ²⁵
	2.000	2,649.93	11/11/20	760.00	...	1,889.93	3 of 3 - Option sale to close-call ²⁵
11/13/20	4.000	5,299.87	Various	1,520.00	...	3,779.87	Total of 3 transactions
NIO 11/20/2020 CALL \$53.00 / CUSIP: / Symbol:							
<i>2 transactions for 11/13/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	639.97	11/12/20	306.00	...	333.97	1 of 2 - Option sale to close-call ²⁵
	2.000	1,279.96	11/12/20	612.00	...	667.96	2 of 2 - Option sale to close-call ²⁵
11/13/20	3.000	1,919.93	Various	918.00	...	1,001.93	Total of 2 transactions

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Account 121338263

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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NIO 11/20/2020 CALL \$55.00 / CUSIP: / Symbol:							
11/20/20	1.000	4.98	11/19/20	30.00	...	-25.02	Option sale to close-call ²⁵
NIO 11/20/2020 CALL \$56.00 / CUSIP: / Symbol:							
2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	1.000	4.99	11/17/20	144.00	...	-139.01	1 of 2 - Option sale to close-call ²⁵
	1.000	4.99	11/17/20	136.00	...	-131.01	2 of 2 - Option sale to close-call ²⁵
11/19/20	2.000	9.98	Various	280.00	...	-270.02	Total of 2 transactions
NIO 11/27/2020 CALL \$50.00 / CUSIP: / Symbol:							
11/23/20	3.000	1,094.96	11/20/20	945.00	...	149.96	Option sale to close-call ²⁵
NIO 12/04/2020 CALL \$49.00 / CUSIP: / Symbol:							
11/11/20	3.000	821.97	11/09/20	1,185.00	...	-363.03	Option sale to close-call ²⁵
11/11/20	1.000	273.99	11/09/20	395.00	121.01 W	0.00	Option sale to close-call ²⁵
11/23/20	1.000	554.97	11/18/20	436.01	...	118.96	Option sale to close-call ²⁵
	Security total:	1,650.93		2,016.01	121.01 W	-244.07	
NIO 12/04/2020 CALL \$54.00 / CUSIP: / Symbol:							
11/24/20	2.000	929.96	11/23/20	720.00	...	209.96	Option sale to close-call ²⁵
NIO 12/04/2020 CALL \$55.00 / CUSIP: / Symbol:							
3 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	1.000	299.99	11/24/20	661.01	...	-361.02	1 of 3 - Option sale to close-call ²⁵
	3.000	899.98	11/25/20	1,446.03	...	-546.05	2 of 3 - Option sale to close-call ²⁵
	2.000	599.98	11/25/20	592.00	...	7.98	3 of 3 - Option sale to close-call ²⁵
11/27/20	6.000	1,799.95	Various	2,699.04	...	-899.09	Total of 3 transactions
11/27/20	4.000	1,147.96	11/24/20	1,892.00	744.04 W	0.00	Option sale to close-call ²⁵
	Security total:	2,947.91		4,591.04	744.04 W	-899.09	
NIO 12/11/2020 CALL \$54.00 / CUSIP: / Symbol:							
12/11/20	3.000	0.00	11/30/20	1,095.00	...	-1,095.00	Option expiration- long call

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(continued)

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NIO 12/18/2020 CALL \$43.00 / CUSIP: / Symbol:							
5 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	1.000	839.97	11/16/20	735.00	...	104.97	1 of 5 - Option sale to close-call ²⁵
	1.000	839.97	11/16/20	735.00	...	104.97	2 of 5 - Option sale to close-call ²⁵
	1.000	839.98	11/16/20	735.00	...	104.98	3 of 5 - Option sale to close-call ²⁵
	2.000	1,679.95	11/16/20	1,470.00	...	209.95	4 of 5 - Option sale to close-call ²⁵
	3.000	2,519.93	11/16/20	2,205.00	...	314.93	5 of 5 - Option sale to close-call ²⁵
11/17/20	8.000	6,719.80	Various	5,880.00	...	839.80	Total of 5 transactions
NIO 12/18/2020 CALL \$46.00 / CUSIP: / Symbol:							
11/17/20	9.000	7,829.80	11/17/20	6,705.00	...	1,124.80	Option sale to close-call ²⁵
NIO 12/31/2020 CALL \$45.00 / CUSIP: / Symbol:							
12/30/20	1.000	299.98	12/29/20	118.00	...	181.98	Option sale to close-call ²⁵
NOK 01/15/2021 CALL \$4.00 / CUSIP: / Symbol:							
2 transactions for 11/04/20. Total proceeds and cost reported to the IRS.							
	99.000	989.77	10/29/20	1,089.00	...	-99.23	1 of 2 - Option sale to close-call ²⁵
	1.000	9.98	10/29/20	11.00	...	-1.02	2 of 2 - Option sale to close-call ²⁵
11/04/20	100.000	999.75	Various	1,100.00	...	-100.25	Total of 2 transactions
NVAX 08/21/2020 CALL \$280.00 / CUSIP: / Symbol:							
08/21/20	2.000	0.00	08/10/20	140.00	...	-140.00	Option expiration- long call
NVDA 08/28/2020 CALL \$620.00 / CUSIP: / Symbol:							
08/26/20	1.000	2.98	08/19/20	96.00	...	-93.02	Option sale to close-call ²⁵
NVDA 09/18/2020 CALL \$530.00 / CUSIP: / Symbol:							
09/18/20	1.000	3.98	09/17/20	59.00	...	-55.02	Option sale to close-call ²⁵
NVDA 11/27/2020 CALL \$562.50 / CUSIP: / Symbol:							
11/20/20	1.000	243.98	11/19/20	430.00	...	-186.02	Option sale to close-call ²⁵
OEG 11/20/2020 CALL \$2.50 / CUSIP: / Symbol:							
10/23/20	1.000	9.98	10/22/20	15.00	...	-5.02	Option sale to close-call ²⁵

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(continued)

02/14/2021

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ORCL 09/25/2020 CALL \$61.50 / CUSIP: / Symbol:							
09/15/20	1.000	144.98	09/14/20	226.00	...	-81.02	Option sale to close-call ²⁵
ORCL 09/25/2020 CALL \$65.00 / CUSIP: / Symbol:							
09/22/20	1.000	9.98	09/17/20	39.00	...	-29.02	Option sale to close-call ²⁵
PD 09/18/2020 CALL \$45.00 / CUSIP: / Symbol:							
09/18/20	1.000	0.00	09/01/20	175.00	...	-175.00	Option expiration- long call
PFE 01/08/2021 CALL \$43.50 / CUSIP: / Symbol:							
12/11/20	16.000	2,751.90	12/09/20	3,296.00	...	-544.10	Option sale to close-call ²⁵
PFE 01/15/2021 CALL \$45.00 / CUSIP: / Symbol:							
3 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	3.000	170.98	11/30/20	141.00	...	29.98	1 of 3 - Option sale to close-call ²⁵
	3.000	170.98	11/30/20	141.00	...	29.98	2 of 3 - Option sale to close-call ²⁵
	44.000	2,507.85	11/30/20	2,068.00	...	439.85	3 of 3 - Option sale to close-call ²⁵
12/01/20	50.000	2,849.81	Various	2,350.00	...	499.81	Total of 3 transactions
PFE 03/19/2021 CALL \$43.00 / CUSIP: / Symbol:							
8 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	1.000	340.98	12/08/20	349.02	...	-8.04	1 of 8 - Option sale to close-call ²⁵
	1.000	340.98	12/08/20	349.01	...	-8.03	2 of 8 - Option sale to close-call ²⁵
	1.000	340.98	12/08/20	349.01	...	-8.03	3 of 8 - Option sale to close-call ²⁵
	1.000	340.98	12/08/20	349.01	...	-8.03	4 of 8 - Option sale to close-call ²⁵
	1.000	340.98	12/08/20	349.01	...	-8.03	5 of 8 - Option sale to close-call ²⁵
	1.000	340.99	12/08/20	349.01	...	-8.02	6 of 8 - Option sale to close-call ²⁵
	1.000	340.99	12/08/20	349.01	...	-8.02	7 of 8 - Option sale to close-call ²⁵
	1.000	340.99	12/08/20	345.00	...	-4.01	8 of 8 - Option sale to close-call ²⁵
12/10/20	8.000	2,727.87	Various	2,788.08	...	-60.21	Total of 8 transactions
2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	6.000	2,045.94	12/08/20	2,070.00	24.06 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	340.98	12/08/20	345.00	4.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
12/10/20	7.000	2,386.92	Various	2,415.00	28.08 W	0.00	Total of 2 transactions
Security total:		5,114.79		5,203.08	28.08 W	-60.21	

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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PFE 11/27/2020 CALL \$41.00 / CUSIP: / Symbol:							
2 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	1.000	23.99	11/09/20	89.00	...	-65.01	1 of 2 - Option sale to close-call ²⁵
	1.000	23.99	11/09/20	89.00	...	-65.01	2 of 2 - Option sale to close-call ²⁵
11/13/20	2.000	47.98	Various	178.00	...	-130.02	Total of 2 transactions
PFE 12/04/2020 CALL \$40.50 / CUSIP: / Symbol:							
9 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	8.000	375.97	12/01/20	512.00	...	-136.03	1 of 9 - Option sale to close-call ²⁵
	6.000	281.98	12/01/20	384.00	...	-102.02	2 of 9 - Option sale to close-call ²⁵
	3.000	140.98	12/01/20	192.00	...	-51.02	3 of 9 - Option sale to close-call ²⁵
	3.000	140.98	12/01/20	192.00	...	-51.02	4 of 9 - Option sale to close-call ²⁵
	1.000	47.00	12/01/20	81.02	...	-34.02	5 of 9 - Option sale to close-call ²⁵
	2.000	93.98	12/01/20	128.00	...	-34.02	6 of 9 - Option sale to close-call ²⁵
	2.000	93.98	12/01/20	128.00	...	-34.02	7 of 9 - Option sale to close-call ²⁵
	2.000	93.98	12/01/20	128.00	...	-34.02	8 of 9 - Option sale to close-call ²⁵
	2.000	93.98	12/01/20	128.00	...	-34.02	9 of 9 - Option sale to close-call ²⁵
12/03/20	29.000	1,362.83	Various	1,873.02	...	-510.19	Total of 9 transactions
12/03/20	1.000	46.98	12/01/20	64.00	17.02 W	0.00	Option sale to close-call ²⁵
	Security total:	1,409.81		1,937.02	17.02 W	-510.19	
PFE 12/11/2020 CALL \$40.50 / CUSIP: / Symbol:							
6 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	13.000	1,286.94	12/03/20	1,846.00	...	-559.06	1 of 6 - Option sale to close-call ²⁵
	6.000	593.97	12/03/20	828.00	...	-234.03	2 of 6 - Option sale to close-call ²⁵
	1.000	98.98	12/03/20	181.00	...	-82.02	3 of 6 - Option sale to close-call ²⁵
	1.000	99.00	12/03/20	142.00	...	-43.00	4 of 6 - Option sale to close-call ²⁵
	1.000	98.99	12/03/20	138.00	...	-39.01	5 of 6 - Option sale to close-call ²⁵
	1.000	99.00	12/03/20	138.00	...	-39.00	6 of 6 - Option sale to close-call ²⁵
12/07/20	23.000	2,276.88	Various	3,273.00	...	-996.12	Total of 6 transactions
12/07/20	10.000	989.96	12/03/20	1,420.00	43.00 W	-387.04	Option sale to close-call ²⁵
	Security total:	3,266.84		4,693.00	43.00 W	-1,383.16	
PFE 12/24/2020 CALL \$39.50 / CUSIP: / Symbol:							
12/24/20	6.000	0.00	12/14/20	684.00	...	-684.00	Option expiration- long call

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PLTR 10/23/2020 CALL \$10.50 / CUSIP: / Symbol:							
10/16/20	50.000	849.88	10/12/20	1,000.00	...	-150.12	Option sale to close-call ²⁵
PLTR 11/27/2020 CALL \$20.00 / CUSIP: / Symbol:							
11/24/20	1.000	184.98	11/23/20	94.00	...	90.98	Option sale to close-call ²⁵
PLTR 12/04/2020 CALL \$35.00 / CUSIP: / Symbol:							
12/04/20	1.000	0.00	11/25/20	255.00	...	-255.00	Option expiration- long call
PLTR 12/11/2020 CALL \$27.00 / CUSIP: / Symbol:							
	5 transactions for 12/08/20. Total proceeds and cost reported to the IRS.						
	1.000	213.99	12/07/20	153.00	...	60.99	1 of 5 - Option sale to close-call ²⁵
	2.000	427.98	12/07/20	306.00	...	121.98	2 of 5 - Option sale to close-call ²⁵
	2.000	427.98	12/07/20	306.00	...	121.98	3 of 5 - Option sale to close-call ²⁵
	2.000	427.98	12/07/20	306.00	...	121.98	4 of 5 - Option sale to close-call ²⁵
	2.000	427.98	12/07/20	306.00	...	121.98	5 of 5 - Option sale to close-call ²⁵
12/08/20	9.000	1,925.91	Various	1,377.00	...	548.91	Total of 5 transactions
PLTR 12/11/2020 CALL \$28.00 / CUSIP: / Symbol:							
12/08/20	1.000	203.98	12/07/20	93.00	...	110.98	Option sale to close-call ²⁵
PLTR 12/11/2020 CALL \$29.00 / CUSIP: / Symbol:							
	4 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	10.000	669.96	12/08/20	2,650.04	...	-1,980.08	1 of 4 - Option sale to close-call ²⁵
	9.000	602.97	12/08/20	2,385.03	...	-1,782.06	2 of 4 - Option sale to close-call ²⁵
	6.000	401.98	12/08/20	1,086.00	...	-684.02	3 of 4 - Option sale to close-call ²⁵
	6.000	401.98	12/08/20	906.00	...	-504.02	4 of 4 - Option sale to close-call ²⁵
12/09/20	31.000	2,076.89	Various	7,027.07	...	-4,950.18	Total of 4 transactions
12/09/20	19.000	1,272.93	12/08/20	3,439.00	2,166.07 W	0.00	Option sale to close-call ²⁵
	Security total:	3,349.82		10,466.07	2,166.07 W	-4,950.18	
PLTR 12/18/2020 CALL \$22.00 / CUSIP: / Symbol:							
	2 transactions for 11/11/20. Total proceeds and cost reported to the IRS.						
	5.000	259.98	11/09/20	400.00	...	-140.02	1 of 2 - Option sale to close-call ²⁵
	5.000	259.98	11/09/20	400.00	...	-140.02	2 of 2 - Option sale to close-call ²⁵
11/11/20	10.000	519.96	Various	800.00	...	-280.04	Total of 2 transactions

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Robinhood Securities LLC

Account 121338263

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLTR 12/18/2020 CALL \$28.00 / CUSIP: / Symbol:							
2 transactions for 12/15/20. Total proceeds and cost reported to the IRS.							
	1.000	49.99	12/14/20	123.00	...	-73.01	1 of 2 - Option sale to close-call ²⁵
	1.000	49.99	12/14/20	123.00	...	-73.01	2 of 2 - Option sale to close-call ²⁵
12/15/20	2.000	99.98	Various	246.00	...	-146.02	Total of 2 transactions
PSX 06/19/2020 CALL \$92.50 / CUSIP: / Symbol:							
05/08/20	1.000	29.98	04/30/20	25.00	...	4.98	Option sale to close-call ²⁵
PTON 09/04/2020 CALL \$85.00 / CUSIP: / Symbol:							
09/04/20	1.000	82.98	09/02/20	513.00	...	-430.02	Option sale to close-call ²⁵
PTON 09/11/2020 CALL \$80.00 / CUSIP: / Symbol:							
09/04/20	1.000	849.97	09/01/20	700.00	...	149.97	Option sale to close-call ²⁵
PTON 09/11/2020 CALL \$87.50 / CUSIP: / Symbol:							
09/10/20	1.000	719.97	09/08/20	1,080.00	360.03 W	0.00	Option sale to close-call ²⁵
09/11/20	1.000	94.98	09/08/20	1,125.03	...	-1,030.05	Option sale to close-call ²⁵
	Security total:	814.95		2,205.03	360.03 W	-1,030.05	
PTON 09/18/2020 CALL \$80.00 / CUSIP: / Symbol:							
09/01/20	1.000	964.96	08/31/20	535.00	...	429.96	Option sale to close-call ²⁵
PTON 09/18/2020 CALL \$85.00 / CUSIP: / Symbol:							
2 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	1.000	249.99	09/08/20	1,315.00	...	-1,065.01	1 of 2 - Option sale to close-call ²⁵
	1.000	249.98	09/09/20	1,070.00	...	-820.02	2 of 2 - Option sale to close-call ²⁵
09/14/20	2.000	499.97	Various	2,385.00	...	-1,885.03	Total of 2 transactions
PTON 09/25/2020 CALL \$91.00 / CUSIP: / Symbol:							
09/18/20	1.000	141.98	09/17/20	155.00	...	-13.02	Option sale to close-call ²⁵

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Account 121338263

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PTON 10/02/2020 CALL \$135.00 / CUSIP: / Symbol:							
2 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	09/21/20	30.00	...	-30.00	1 of 2 - Option expiration- long call
	2.000	0.00	09/21/20	30.00	...	-30.00	2 of 2 - Option expiration- long call
10/02/20	4.000	0.00	Various	60.00	...	-60.00	Total of 2 transactions
PTON 10/09/2020 CALL \$116.00 / CUSIP: / Symbol:							
10/07/20	1.000	349.98	10/06/20	126.00	...	223.98	Option sale to close-call ²⁵
PTON 10/16/2020 CALL \$125.00 / CUSIP: / Symbol:							
10/13/20	1.000	564.97	10/07/20	232.00	...	332.97	Option sale to close-call ²⁵
PTON 10/23/2020 CALL \$135.00 / CUSIP: / Symbol:							
3 transactions for 10/21/20. Total proceeds and cost reported to the IRS.							
	2.000	109.98	10/16/20	1,938.01	...	-1,828.03	1 of 3 - Option sale to close-call ²⁵
	1.000	55.00	10/16/20	969.02	...	-914.02	2 of 3 - Option sale to close-call ²⁵
	1.000	54.99	10/16/20	445.00	...	-390.01	3 of 3 - Option sale to close-call ²⁵
10/21/20	4.000	219.97	Various	3,352.03	...	-3,132.06	Total of 3 transactions
2 transactions for 10/21/20. Total proceeds and cost reported to the IRS.							
	3.000	164.99	10/14/20	1,737.00	1,048.01 W	-524.00	1 of 2 - Option sale to close-call ²⁵
	1.000	54.98	10/14/20	579.00	524.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
10/21/20	4.000	219.97	Various	2,316.00	1,572.03 W	-524.00	Total of 2 transactions
	Security total:	439.94		5,668.03	1,572.03 W	-3,656.06	
PTON 10/30/2020 CALL \$124.00 / CUSIP: / Symbol:							
2 transactions for 10/26/20. Total proceeds and cost reported to the IRS.							
	1.000	449.98	10/23/20	420.00	...	29.98	1 of 2 - Option sale to close-call ²⁵
	1.000	449.99	10/23/20	420.00	...	29.99	2 of 2 - Option sale to close-call ²⁵
10/26/20	2.000	899.97	Various	840.00	...	59.97	Total of 2 transactions
PTON 11/06/2020 CALL \$125.00 / CUSIP: / Symbol:							
10/23/20	1.000	709.97	10/22/20	1,035.00	...	-325.03	Option sale to close-call ²⁵
RCL 03/19/2021 CALL \$105.00 / CUSIP: / Symbol:							
11/11/20	1.000	339.98	11/09/20	465.00	...	-125.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 121338263

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RCL 06/19/2020 CALL \$85.00 / CUSIP: / Symbol:							
06/10/20	1.000	81.98	04/30/20	41.00	...	40.98	Option sale to close-call ²⁵
RCL 06/19/2020 CALL \$90.00 / CUSIP: / Symbol:							
06/10/20	1.000	45.98	04/30/20	28.00	...	17.98	Option sale to close-call ²⁵
RCL 09/18/2020 CALL \$110.00 / CUSIP: / Symbol:							
09/18/20	3.000	0.00	08/11/20	54.00	...	-54.00	Option expiration- long call
RIOT 12/24/2020 CALL \$15.00 / CUSIP: / Symbol:							
12/24/20	10.000	0.00	12/23/20	550.00	...	-550.00	Option expiration- long call
RIOT 12/24/2020 CALL \$16.00 / CUSIP: / Symbol:							
<i>12 transactions for 12/23/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	9.99	12/23/20	160.01	...	-150.02	1 of 12 - Option sale to close-call ²⁵
	1.000	10.00	12/23/20	159.03	...	-149.03	2 of 12 - Option sale to close-call ²⁵
	1.000	9.99	12/23/20	159.02	...	-149.03	3 of 12 - Option sale to close-call ²⁵
	1.000	9.99	12/23/20	159.02	...	-149.03	4 of 12 - Option sale to close-call ²⁵
	1.000	10.00	12/23/20	159.02	...	-149.02	5 of 12 - Option sale to close-call ²⁵
	1.000	10.00	12/23/20	159.01	...	-149.01	6 of 12 - Option sale to close-call ²⁵
	1.000	10.00	12/23/20	159.01	...	-149.01	7 of 12 - Option sale to close-call ²⁵
	1.000	10.00	12/23/20	159.01	...	-149.01	8 of 12 - Option sale to close-call ²⁵
	1.000	9.99	12/23/20	154.00	...	-144.01	9 of 12 - Option sale to close-call ²⁵
	1.000	10.00	12/23/20	134.03	...	-124.03	10 of 12 - Option sale to close-call ²⁵
	1.000	10.00	12/23/20	134.01	...	-124.01	11 of 12 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 121338263

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RIOT 12/24/2020 CALL \$16.00 / CUSIP: / Symbol: (cont'd)							
	4.000	39.98	12/23/20	140.00	...	-100.02	12 of 12 - Option sale to close-call ₂₅
12/23/20	15.000	149.94	Various	1,835.17	...	-1,685.23	Total of 12 transactions
	37 transactions for 12/23/20. Total proceeds and cost reported to the IRS.						
	1.000	10.00	12/23/20	30.00	20.00 W	0.00	1 of 37 - Option sale to close-call ₂₅
	2.000	19.99	12/23/20	70.00	50.01 W	0.00	2 of 37 - Option sale to close-call ₂₅
	1.000	10.00	12/23/20	35.00	25.00 W	0.00	3 of 37 - Option sale to close-call ₂₅
	6.000	59.98	12/23/20	210.00	150.02 W	0.00	4 of 37 - Option sale to close-call ₂₅
	1.000	10.00	12/23/20	55.00	45.00 W	0.00	5 of 37 - Option sale to close-call ₂₅
	1.000	10.00	12/23/20	60.01	50.01 W	0.00	6 of 37 - Option sale to close-call ₂₅
	1.000	10.00	12/23/20	60.00	50.00 W	0.00	7 of 37 - Option sale to close-call ₂₅
	1.000	10.00	12/23/20	60.00	50.00 W	0.00	8 of 37 - Option sale to close-call ₂₅
	1.000	9.99	12/23/20	35.00	25.01 W	0.00	9 of 37 - Option sale to close-call ₂₅
	3.000	29.98	12/23/20	180.01	150.03 W	0.00	10 of 37 - Option sale to close-call ₂₅
	1.000	9.99	12/23/20	60.01	50.02 W	0.00	11 of 37 - Option sale to close-call ₂₅
	2.000	19.99	12/23/20	170.02	150.03 W	0.00	12 of 37 - Option sale to close-call ₂₅
	1.000	10.00	12/23/20	80.00	70.00 W	0.00	13 of 37 - Option sale to close-call ₂₅
	1.000	10.00	12/23/20	85.01	75.01 W	0.00	14 of 37 - Option sale to close-call ₂₅
	3.000	29.99	12/23/20	180.01	150.02 W	0.00	15 of 37 - Option sale to close-call ₂₅

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(continued)

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RIOT 12/24/2020 CALL \$16.00 / CUSIP: / Symbol: (cont'd)							
	1.000	10.00	12/23/20	85.00	75.00 W	0.00	16 of 37 - Option sale to close-call 25
	1.000	10.00	12/23/20	85.00	75.00 W	0.00	17 of 37 - Option sale to close-call 25
	1.000	10.00	12/23/20	105.00	95.00 W	0.00	18 of 37 - Option sale to close-call 25
	1.000	10.00	12/23/20	110.01	100.01 W	0.00	19 of 37 - Option sale to close-call 25
	1.000	10.00	12/23/20	110.00	100.00 W	0.00	20 of 37 - Option sale to close-call 25
	1.000	10.00	12/23/20	85.01	75.01 W	0.00	21 of 37 - Option sale to close-call 25
	3.000	29.99	12/23/20	255.02	225.03 W	0.00	22 of 37 - Option sale to close-call 25
	1.000	9.99	12/23/20	109.00	99.01 W	0.00	23 of 37 - Option sale to close-call 25
	1.000	10.00	12/23/20	84.02	74.02 W	0.00	24 of 37 - Option sale to close-call 25
	1.000	9.99	12/23/20	109.02	99.03 W	0.00	25 of 37 - Option sale to close-call 25
	1.000	10.00	12/23/20	109.01	99.01 W	0.00	26 of 37 - Option sale to close-call 25
	1.000	10.00	12/23/20	129.00	119.00 W	0.00	27 of 37 - Option sale to close-call 25
	1.000	10.00	12/23/20	134.01	124.01 W	0.00	28 of 37 - Option sale to close-call 25
	1.000	10.00	12/23/20	109.01	99.01 W	0.00	29 of 37 - Option sale to close-call 25
	3.000	29.99	12/23/20	327.03	297.04 W	0.00	30 of 37 - Option sale to close-call 25
	1.000	9.99	12/23/20	135.00	125.01 W	0.00	31 of 37 - Option sale to close-call 25
	1.000	10.00	12/23/20	134.01	124.01 W	0.00	32 of 37 - Option sale to close-call 25

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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RIOT 12/24/2020 CALL \$16.00 / CUSIP: / Symbol: (cont'd)							
	1.000	9.99	12/23/20	109.02	99.03 W	0.00	33 of 37 - Option sale to close-call ²⁵
	1.000	10.00	12/23/20	134.03	124.03 W	0.00	34 of 37 - Option sale to close-call ²⁵
	1.000	9.99	12/23/20	134.01	124.02 W	0.00	35 of 37 - Option sale to close-call ²⁵
	1.000	10.00	12/23/20	134.01	124.01 W	0.00	36 of 37 - Option sale to close-call ²⁵
	2.000	19.99	12/23/20	268.03	248.04 W	0.00	37 of 37 - Option sale to close-call ²⁵
12/23/20	53.000	529.83	Various	4,363.32	3,833.49 W	0.00	Total of 37 transactions
	Security total:	679.77		6,198.49	3,833.49 W	-1,685.23	
RIOT 12/31/2020 CALL \$18.00 / CUSIP: / Symbol:							
12/31/20	4.000	179.98	12/30/20	308.00	...	-128.02	Option sale to close-call ²⁵
ROKU 10/02/2020 CALL \$225.00 / CUSIP: / Symbol:							
09/28/20	1.000	26.98	09/23/20	146.00	...	-119.02	Option sale to close-call ²⁵
ROKU 11/27/2020 PUT \$270.00 / CUSIP: / Symbol:							
11/24/20	1.000	179.98	11/23/20	395.00	...	-215.02	Option sale to close-put ²⁵
RTX 11/20/2020 CALL \$95.00 / CUSIP: / Symbol:							
11/20/20	1.000	0.00	08/14/20	36.00	...	-36.00	Option expiration- long call
SAVA 08/21/2020 CALL \$7.50 / CUSIP: / Symbol:							
08/21/20	2.000	0.00	07/15/20	60.00	...	-60.00	Option expiration- long call
SAVE 01/15/2021 CALL \$35.00 / CUSIP: / Symbol:							
	3 transactions for 11/11/20. Total proceeds and cost reported to the IRS.						
	12.000	263.97	11/09/20	600.00	...	-336.03	1 of 3 - Option sale to close-call ²⁵
	10.000	219.97	11/09/20	500.00	...	-280.03	2 of 3 - Option sale to close-call ²⁵
	3.000	65.98	11/09/20	150.00	...	-84.02	3 of 3 - Option sale to close-call ²⁵
11/11/20	25.000	549.92	Various	1,250.00	...	-700.08	Total of 3 transactions

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(continued)

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SMCI 11/20/2020 CALL \$35.00 / CUSIP: / Symbol:							
11/04/20	2.000	19.98	11/04/20	28.00	...	-8.02	Option sale to close-call ²⁵
11/20/20	98.000	0.00	11/04/20	1,372.00	...	-1,372.00	Option expiration- long call
	Security total:	19.98		1,400.00	...	-1,380.02	
SNAP 12/18/2020 CALL \$54.00 / CUSIP: / Symbol:							
	<i>2 transactions for 12/15/20. Total proceeds and cost reported to the IRS.</i>						
	5.000	224.98	12/14/20	650.00	...	-425.02	1 of 2 - Option sale to close-call ²⁵
	4.000	179.99	12/14/20	468.00	...	-288.01	2 of 2 - Option sale to close-call ²⁵
12/15/20	9.000	404.97	Various	1,118.00	...	-713.03	Total of 2 transactions
SNDL 11/20/2020 CALL \$1.00 / CUSIP: / Symbol:							
11/20/20	1.000	0.00	11/11/20	10.00	...	-10.00	Option expiration- long call
SOLO 11/20/2020 CALL \$7.50 / CUSIP: / Symbol:							
	<i>2 transactions for 11/16/20. Total proceeds and cost reported to the IRS.</i>						
	2.000	89.98	11/13/20	210.00	...	-120.02	1 of 2 - Option sale to close-call ²⁵
	1.000	44.98	11/13/20	105.00	...	-60.02	2 of 2 - Option sale to close-call ²⁵
11/16/20	3.000	134.96	Various	315.00	...	-180.04	Total of 2 transactions
SOLO 12/18/2020 CALL \$9.00 / CUSIP: / Symbol:							
11/20/20	1.000	219.98	11/19/20	185.00	...	34.98	Option sale to close-call ²⁵
SPI 12/18/2020 CALL \$20.00 / CUSIP: / Symbol:							
11/23/20	1.000	60.98	11/20/20	70.00	...	-9.02	Option sale to close-call ²⁵
SPY 05/22/2020 PUT \$296.00 / CUSIP: / Symbol:							
05/18/20	1.000	349.98	05/18/20	314.00	...	35.98	Option sale to close-put ²⁵
SPY 08/26/2020 PUT \$300.00 / CUSIP: / Symbol:							
08/26/20	2.000	0.00	08/07/20	120.00	...	-120.00	Option expiration- long put

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPY 09/04/2020 PUT \$343.00 / CUSIP: / Symbol:							
2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	1.000	51.98	09/03/20	262.00	...	-210.02	1 of 2 - Option sale to close-put ²⁵
	1.000	53.98	09/03/20	262.00	...	-208.02	2 of 2 - Option sale to close-put ²⁵
09/04/20	2.000	105.96	Various	524.00	...	-418.04	Total of 2 transactions
SPY 10/14/2020 CALL \$385.00 / CUSIP: / Symbol:							
10/14/20	5.000	0.00	10/01/20	10.00	...	-10.00	Option expiration- long call
SPY 12/31/2020 CALL \$379.00 / CUSIP: / Symbol:							
4 transactions for 12/03/20. Total proceeds and cost reported to the IRS.							
	7.000	1,266.96	11/30/20	651.00	...	615.96	1 of 4 - Option sale to close-call ²⁵
	7.000	1,266.96	11/30/20	651.00	...	615.96	2 of 4 - Option sale to close-call ²⁵
	7.000	1,266.96	11/30/20	651.00	...	615.96	3 of 4 - Option sale to close-call ²⁵
	14.000	2,533.91	11/30/20	1,302.00	...	1,231.91	4 of 4 - Option sale to close-call ²⁵
12/03/20	35.000	6,334.79	Various	3,255.00	...	3,079.79	Total of 4 transactions
SPY 12/31/2020 CALL \$380.00 / CUSIP: / Symbol:							
4 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	14.000	-1,120.06	12/03/20	0.00	...	-1,120.06	1 of 4 - Short sale closed- call ²⁵
	7.000	-560.03	12/03/20	0.00	...	-560.03	Option written: 11/30/20 2 of 4 - Short sale closed- call ²⁵
	7.000	-560.03	12/03/20	0.00	...	-560.03	Option written: 11/30/20 3 of 4 - Short sale closed- call ²⁵
	7.000	-560.02	12/03/20	0.00	...	-560.02	Option written: 11/30/20 4 of 4 - Short sale closed- call ²⁵
12/04/20	35.000	-2,800.14	Various	0.00	...	-2,800.14	Option written: 11/30/20 Total of 4 transactions
SQ 12/11/2020 CALL \$212.50 / CUSIP: / Symbol:							
2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	1.000	439.98	11/30/20	675.00	...	-235.02	1 of 2 - Option sale to close-call ²⁵
	1.000	439.98	11/30/20	675.00	...	-235.02	2 of 2 - Option sale to close-call ²⁵
12/07/20	2.000	879.96	Various	1,350.00	...	-470.04	Total of 2 transactions

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Robinhood Securities LLC

Account 121338263

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SQ 12/31/2020 CALL \$230.00 / CUSIP: / Symbol:							
12/31/20	1.000	0.00	12/30/20	21.00	...	-21.00	Option expiration- long call
SRNE 06/19/2020 CALL \$5.00 / CUSIP: / Symbol:							
06/19/20	1.000	4.98	05/15/20	320.00	...	-315.02	Option sale to close-call ²⁵
SRNE 06/19/2020 CALL \$6.00 / CUSIP: / Symbol:							
05/18/20	1.000	279.98	05/15/20	290.00	...	-10.02	Option sale to close-call ²⁵
SRNE 11/13/2020 CALL \$6.50 / CUSIP: / Symbol:							
3 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	5.000	99.98	11/11/20	125.00	...	-25.02	1 of 3 - Option sale to close-call ²⁵
	2.000	39.99	11/11/20	50.00	...	-10.01	2 of 3 - Option sale to close-call ²⁵
	1.000	20.00	11/11/20	25.00	...	-5.00	3 of 3 - Option sale to close-call ²⁵
11/12/20	8.000	159.97	Various	200.00	...	-40.03	Total of 3 transactions
STML 06/19/2020 CALL \$17.50 / CUSIP: / Symbol:							
06/19/20	1.000	0.00	05/04/20	5.00	...	-5.00	Option expiration- long call
TCDA 11/20/2020 CALL \$7.50 / CUSIP: / Symbol:							
10/30/20	5.000	124.98	10/29/20	70.00	...	54.98	Option sale to close-call ²⁵
11/04/20	1.000	38.98	11/02/20	50.00	...	-11.02	Option sale to close-call ²⁵
	Security total:	163.96		120.00	...	43.96	
TGT 11/20/2020 CALL \$167.50 / CUSIP: / Symbol:							
4 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	3.000	1,034.97	11/18/20	1,155.04	...	-120.07	1 of 4 - Option sale to close-call ²⁵
	2.000	689.97	11/18/20	770.03	...	-80.06	2 of 4 - Option sale to close-call ²⁵
	1.000	344.99	11/18/20	385.02	...	-40.03	3 of 4 - Option sale to close-call ²⁵
	1.000	344.99	11/18/20	365.00	...	-20.01	4 of 4 - Option sale to close-call ²⁵
11/18/20	7.000	2,414.92	Various	2,675.09	...	-260.17	Total of 4 transactions
3 transactions for 11/18/20. Total proceeds and cost reported to the IRS.							
	3.000	1,034.96	11/18/20	1,095.00	60.04 W	0.00	1 of 3 - Option sale to close-call ²⁵
	2.000	689.97	11/18/20	730.00	40.03 W	0.00	2 of 3 - Option sale to close-call ²⁵
	1.000	344.98	11/18/20	365.00	20.02 W	0.00	3 of 3 - Option sale to close-call ²⁵
11/18/20	6.000	2,069.91	Various	2,190.00	120.09 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 121338263

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TGT 11/20/2020 CALL \$167.50 / CUSIP: / Symbol: (cont'd)							
Security total:		4,484.83		4,865.09	120.09 W	-260.17	
TLRY 11/06/2020 CALL \$8.00 / CUSIP: / Symbol:							
<i>6 transactions for 11/06/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	379.98	11/05/20	100.00	...	279.98	1 of 6 - Option sale to close-call ²⁵
	8.000	607.97	11/05/20	160.00	...	447.97	2 of 6 - Option sale to close-call ²⁵
	8.000	607.97	11/05/20	160.00	...	447.97	3 of 6 - Option sale to close-call ²⁵
	10.000	759.96	11/05/20	200.00	...	559.96	4 of 6 - Option sale to close-call ²⁵
	20.000	1,519.92	11/05/20	400.00	...	1,119.92	5 of 6 - Option sale to close-call ²⁵
	32.000	2,431.88	11/05/20	640.00	...	1,791.88	6 of 6 - Option sale to close-call ²⁵
11/06/20	83.000	6,307.68	Various	1,660.00	...	4,647.68	Total of 6 transactions
TLRY 11/13/2020 CALL \$9.00 / CUSIP: / Symbol:							
<i>3 transactions for 11/06/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	285.99	11/06/20	194.00	...	91.99	1 of 3 - Option sale to close-call ²⁵
	26.000	3,717.86	11/06/20	2,522.00	...	1,195.86	2 of 3 - Option sale to close-call ²⁵
	37.000	5,290.81	11/06/20	3,589.00	...	1,701.81	3 of 3 - Option sale to close-call ²⁵
11/06/20	65.000	9,294.66	Various	6,305.00	...	2,989.66	Total of 3 transactions
TLRY 11/20/2020 CALL \$11.50 / CUSIP: / Symbol:							
11/12/20	100.000	899.78	11/10/20	2,500.00	...	-1,600.22	Option sale to close-call ²⁵
TLRY 11/20/2020 CALL \$8.50 / CUSIP: / Symbol:							
11/20/20	3.000	0.00	11/17/20	33.00	...	-33.00	Option expiration- long call
TLRY 11/27/2020 CALL \$8.00 / CUSIP: / Symbol:							
<i>4 transactions for 11/25/20. Total proceeds and cost reported to the IRS.</i>							
	38.000	379.90	11/24/20	1,216.00	...	-836.10	1 of 4 - Option sale to close-call ²⁵
	10.000	99.98	11/24/20	320.00	...	-220.02	2 of 4 - Option sale to close-call ²⁵
	1.000	10.00	11/24/20	32.00	...	-22.00	3 of 4 - Option sale to close-call ²⁵
	1.000	10.00	11/24/20	32.00	...	-22.00	4 of 4 - Option sale to close-call ²⁵
11/25/20	50.000	499.88	Various	1,600.00	...	-1,100.12	Total of 4 transactions
TLRY 12/04/2020 CALL \$7.00 / CUSIP: / Symbol:							
11/20/20	1.000	46.98	11/19/20	53.00	...	-6.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 121338263

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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TLRY 12/04/2020 CALL \$8.50 / CUSIP: / Symbol:							
11/30/20	63.000	6,047.73	11/27/20	1,638.00	...	4,409.73	Option sale to close-call ²⁵
TLRY 12/04/2020 CALL \$9.00 / CUSIP: / Symbol:							
2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	2.000	167.98	11/27/20	132.00	...	35.98	1 of 2 - Option sale to close-call ²⁵
	26.000	2,183.90	11/27/20	1,716.00	...	467.90	2 of 2 - Option sale to close-call ²⁵
11/30/20	28.000	2,351.88	Various	1,848.00	...	503.88	Total of 2 transactions
2 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	13.000	168.96	11/30/20	871.00	...	-702.04	1 of 2 - Option sale to close-call ²⁵
	2.000	25.98	11/30/20	134.00	...	-108.02	2 of 2 - Option sale to close-call ²⁵
12/04/20	15.000	194.94	Various	1,005.00	...	-810.06	Total of 2 transactions
	Security total:	2,546.82		2,853.00	...	-306.18	
TLRY 12/04/2020 CALL \$9.50 / CUSIP: / Symbol:							
8 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	17.000	50.96	11/30/20	1,241.00	...	-1,190.04	1 of 8 - Option sale to close-call ²⁵
	3.000	8.99	11/30/20	219.00	...	-210.01	2 of 8 - Option sale to close-call ²⁵
	3.000	8.99	11/30/20	219.00	...	-210.01	3 of 8 - Option sale to close-call ²⁵
	2.000	5.99	11/30/20	146.00	...	-140.01	4 of 8 - Option sale to close-call ²⁵
	2.000	6.00	11/30/20	146.00	...	-140.00	5 of 8 - Option sale to close-call ²⁵
	1.000	3.00	11/30/20	73.00	...	-70.00	6 of 8 - Option sale to close-call ²⁵
	1.000	3.00	11/30/20	73.00	...	-70.00	7 of 8 - Option sale to close-call ²⁵
	1.000	3.00	11/30/20	73.00	...	-70.00	8 of 8 - Option sale to close-call ²⁵
12/04/20	30.000	89.93	Various	2,190.00	...	-2,100.07	Total of 8 transactions
TLRY 12/11/2020 CALL \$11.00 / CUSIP: / Symbol:							
11/12/20	15.000	584.95	11/09/20	3,105.00	...	-2,520.05	Option sale to close-call ²⁵
TLRY 12/11/2020 CALL \$7.50 / CUSIP: / Symbol:							
9 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	1.000	70.00	11/20/20	51.00	...	19.00	1 of 9 - Option sale to close-call ²⁵
	9.000	629.96	11/20/20	459.00	...	170.96	2 of 9 - Option sale to close-call ²⁵
	10.000	699.96	11/20/20	510.00	...	189.96	3 of 9 - Option sale to close-call ²⁵
	10.000	699.96	11/20/20	510.00	...	189.96	4 of 9 - Option sale to close-call ²⁵

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2020 1099-B* OMB No. 1545-0715

(continued)

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TLRY 12/11/2020 CALL \$7.50 / CUSIP: / Symbol: (cont'd)							
	14.000	979.95	11/20/20	714.00	...	265.95	5 of 9 - Option sale to close-call ²⁵
	30.000	2,099.89	11/20/20	1,530.00	...	569.89	6 of 9 - Option sale to close-call ²⁵
	2.000	139.98	11/23/20	106.00	...	33.98	7 of 9 - Option sale to close-call ²⁵
	5.000	349.98	11/23/20	265.00	...	84.98	8 of 9 - Option sale to close-call ²⁵
	7.000	489.97	11/23/20	371.00	...	118.97	9 of 9 - Option sale to close-call ²⁵
11/27/20	88.000	6,159.65	Various	4,516.00	...	1,643.65	Total of 9 transactions
TLRY 12/11/2020 CALL \$8.50 / CUSIP: / Symbol:							
5 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	1.000	136.98	11/27/20	79.00	...	57.98	1 of 5 - Option sale to close-call ²⁵
	1.000	136.98	11/27/20	79.00	...	57.98	2 of 5 - Option sale to close-call ²⁵
	1.000	136.98	11/27/20	79.00	...	57.98	3 of 5 - Option sale to close-call ²⁵
	5.000	684.97	11/27/20	395.00	...	289.97	4 of 5 - Option sale to close-call ²⁵
	6.000	821.97	11/27/20	474.00	...	347.97	5 of 5 - Option sale to close-call ²⁵
11/30/20	14.000	1,917.88	Various	1,106.00	...	811.88	Total of 5 transactions
TLRY 12/11/2020 CALL \$9.00 / CUSIP: / Symbol:							
11 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	3.000	71.98	12/01/20	246.00	...	-174.02	1 of 11 - Option sale to close-call ²⁵
	1.000	23.98	12/01/20	82.00	...	-58.02	2 of 11 - Option sale to close-call ²⁵
	1.000	23.98	12/01/20	82.00	...	-58.02	3 of 11 - Option sale to close-call ²⁵
	1.000	24.00	12/01/20	82.00	...	-58.00	4 of 11 - Option sale to close-call ²⁵
	5.000	119.98	12/03/20	700.02	...	-580.04	5 of 11 - Option sale to close-call ²⁵
	5.000	119.99	12/03/20	700.02	...	-580.03	6 of 11 - Option sale to close-call ²⁵
	3.000	71.99	12/03/20	420.02	...	-348.03	7 of 11 - Option sale to close-call ²⁵
	3.000	71.99	12/03/20	420.01	...	-348.02	8 of 11 - Option sale to close-call ²⁵

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TLRY 12/11/2020 CALL \$9.00 / CUSIP: / Symbol: (cont'd)							
	2.000	47.99	12/03/20	280.02	...	-232.03	9 of 11 - Option sale to close-call ²⁵
	1.000	24.00	12/03/20	140.01	...	-116.01	10 of 11 - Option sale to close-call ²⁵
	1.000	24.00	12/03/20	140.01	...	-116.01	11 of 11 - Option sale to close-call ²⁵
12/07/20	26.000	623.88	Various	3,292.11	...	-2,668.23	Total of 11 transactions
	6 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	2.000	47.98	12/01/20	164.00	58.01 W	-58.01	1 of 6 - Option sale to close-call ²⁵
	5.000	119.98	12/01/20	410.00	290.02 W	0.00	2 of 6 - Option sale to close-call ²⁵
	3.000	71.98	12/01/20	246.00	174.02 W	0.00	3 of 6 - Option sale to close-call ²⁵
	4.000	95.98	12/01/20	328.00	232.02 W	0.00	4 of 6 - Option sale to close-call ²⁵
	5.000	119.98	12/01/20	410.00	290.02 W	0.00	5 of 6 - Option sale to close-call ²⁵
	2.000	47.98	12/01/20	164.00	116.02 W	0.00	6 of 6 - Option sale to close-call ²⁵
12/07/20	21.000	503.88	Various	1,722.00	1,160.11 W	-58.01	Total of 6 transactions
	Security total:	1,127.76		5,014.11	1,160.11 W	-2,726.24	
TLRY 12/18/2020 CALL \$9.00 / CUSIP: / Symbol:							
	3 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	4.000	183.98	12/04/20	308.00	...	-124.02	1 of 3 - Option sale to close-call ²⁵
	1.000	46.00	12/04/20	108.01	...	-62.01	2 of 3 - Option sale to close-call ²⁵
	1.000	45.99	12/04/20	77.00	...	-31.01	3 of 3 - Option sale to close-call ²⁵
12/07/20	6.000	275.97	Various	493.01	...	-217.04	Total of 3 transactions
12/07/20	1.000	45.99	12/04/20	77.00	31.01 W	0.00	Option sale to close-call ²⁵
	Security total:	321.96		570.01	31.01 W	-217.04	
TLRY 12/24/2020 CALL \$8.50 / CUSIP: / Symbol:							
	2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	11.000	527.96	12/10/20	561.00	...	-33.04	1 of 2 - Option sale to close-call ²⁵
	3.000	143.99	12/10/20	162.02	...	-18.03	2 of 2 - Option sale to close-call ²⁵
12/11/20	14.000	671.95	Various	723.02	...	-51.07	Total of 2 transactions
12/11/20	3.000	143.98	12/10/20	153.00	9.02 W	0.00	Option sale to close-call ²⁵
	Security total:	815.93		876.02	9.02 W	-51.07	

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(continued)

02/14/2021

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TSLA 05/29/2020 PUT \$595.00 / CUSIP: / Symbol: 05/13/20	1.000	409.98	05/11/20	390.00	...	19.98	Option sale to close-put ²⁵
TSLA 09/25/2020 CALL \$444.00 / CUSIP: / Symbol: 09/21/20	1.000	3,853.90	09/18/20	4,037.00	...	-183.10	Option sale to close-call ²⁵
TSLA 09/25/2020 CALL \$447.00 / CUSIP: / Symbol: 09/22/20	1.000	182.90	09/21/20	0.00	...	182.90	Short sale closed- call ²⁵ Option written: 09/18/20
TSLA 10/02/2020 CALL \$440.00 / CUSIP: / Symbol: 09/23/20	1.000	1,174.96	09/21/20	5,005.00	...	-3,830.04	Option sale to close-call ²⁵
TSLA 10/02/2020 CALL \$445.00 / CUSIP: / Symbol: 09/24/20	1.000	3,729.88	09/23/20	0.00	...	3,729.88	Short sale closed- call ²⁵ Option written: 09/21/20
TSLA 11/06/2020 CALL \$422.50 / CUSIP: / Symbol: 10/26/20	1.000	1,739.95	10/23/20	1,740.00	...	-0.05	Option sale to close-call ²⁵
TSLA 11/13/2020 CALL \$447.50 / CUSIP: / Symbol: 11/13/20	1.000	9.98	11/10/20	107.00	...	-97.02	Option sale to close-call ²⁵
TSLA 11/13/2020 CALL \$465.00 / CUSIP: / Symbol: 11/06/20	1.000	324.98	11/05/20	475.00	...	-150.02	Option sale to close-call ²⁵
TSLA 11/20/2020 PUT \$460.00 / CUSIP: / Symbol: 11/20/20	1.000	9.98	11/19/20	36.00	...	-26.02	Option sale to close-put ²⁵
TSLA 11/27/2020 CALL \$515.00 / CUSIP: / Symbol: 11/24/20	1.000	2,179.94	11/23/20	1,640.00	...	539.94	Option sale to close-call ²⁵
TSLA 11/27/2020 CALL \$580.00 / CUSIP: / Symbol: 11/27/20	1.000	1,641.95	11/25/20	274.00	...	1,367.95	Option sale to close-call ²⁵
TSLA 12/04/2020 CALL \$600.00 / CUSIP: / Symbol: 11/30/20	1.000	2,241.94	11/27/20	2,780.00	...	-538.06	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 121338263

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(continued)

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TSLA 12/04/2020 CALL \$640.00 / CUSIP: / Symbol: 12/04/20	1.000	9.98	12/01/20	980.00	...	-970.02	Option sale to close-call ²⁵
TSLA 12/11/2020 CALL \$620.00 / CUSIP: / Symbol: 12/08/20	1.000	2,564.93	12/07/20	2,150.00	...	414.93	Option sale to close-call ²⁵
TSLA 12/11/2020 CALL \$625.00 / CUSIP: / Symbol: 12/11/20	3.000	683.97	12/10/20	4,245.00	...	-3,561.03	Option sale to close-call ²⁵
TSLA 12/11/2020 CALL \$780.00 / CUSIP: / Symbol: 12/09/20	1.000	61.98	12/08/20	153.00	...	-91.02	Option sale to close-call ²⁵
TSLA 12/18/2020 CALL \$640.00 / CUSIP: / Symbol: 12/14/20	1.000	2,974.92	12/11/20	3,365.00	...	-390.08	Option sale to close-call ²⁵
TSLA 12/31/2020 CALL \$750.00 / CUSIP: / Symbol: 12/29/20	4.000	95.98	12/28/20	440.00	86.01 W	-258.01	Option sale to close-call ²⁵
12/31/20	1.000	7.98	12/30/20	103.01	...	-95.03	Option sale to close-call ²⁵
Security total:		103.96		543.01	86.01 W	-353.04	
TTD 12/31/2020 CALL \$860.00 / CUSIP: / Symbol: 12/31/20	1.000	4.98	12/30/20	275.00	...	-270.02	Option sale to close-call ²⁵
TWLO 11/06/2020 CALL \$335.00 / CUSIP: / Symbol: 10/27/20	2.000	409.98	Various	1,760.00	...	-1,350.02	Total of 2 transactions
<i>2 transactions for 10/27/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	204.99	10/26/20	895.00	...	-690.01	1 of 2 - Option sale to close-call ²⁵
	1.000	204.99	10/26/20	865.00	...	-660.01	2 of 2 - Option sale to close-call ²⁵
UAL 06/18/2021 CALL \$130.00 / CUSIP: / Symbol: 09/03/20	3.000	131.98	08/11/20	138.00	...	-6.02	Option sale to close-call ²⁵
UAL 06/19/2020 CALL \$52.50 / CUSIP: / Symbol: 06/04/20	1.000	24.98	04/30/20	26.00	...	-1.02	Option sale to close-call ²⁵
USO 07/24/2020 CALL \$31.00 / CUSIP: / Symbol: 06/10/20	1.000	106.98	06/05/20	147.00	...	-40.02	Option sale to close-call ²⁵

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WBA 07/31/2020 CALL \$39.00 / CUSIP: / Symbol:							
07/10/20	2.000	353.98	07/09/20	352.00	...	1.98	Option sale to close-call ²⁵
WFC 05/01/2020 CALL \$28.50 / CUSIP: / Symbol:							
04/28/20	1.000	133.98	04/20/20	113.00	...	20.98	Option sale to close-call ²⁵
WMT 09/11/2020 PUT \$143.00 / CUSIP: / Symbol:							
09/08/20	1.000	424.98	09/04/20	238.00	...	186.98	Option sale to close-put ²⁵
WMT 09/18/2020 CALL \$131.00 / CUSIP: / Symbol:							
08/31/20	1.000	709.97	08/27/20	700.00	...	9.97	Option sale to close-call ²⁵
WORK 11/27/2020 CALL \$38.00 / CUSIP: / Symbol:							
11/27/20	4.000	243.98	11/25/20	1,172.00	...	-928.02	Option sale to close-call ²⁵
WORK 12/18/2020 CALL \$43.00 / CUSIP: / Symbol:							
12/07/20	2.000	183.98	11/30/20	596.00	...	-412.02	Option sale to close-call ²⁵
XPEV 11/20/2020 CALL \$40.00 / CUSIP: / Symbol:							
	2 transactions for 11/13/20. Total proceeds and cost reported to the IRS.						
	1.000	1,014.96	11/12/20	273.00	...	741.96	1 of 2 - Option sale to close-call ²⁵
	1.000	1,014.96	11/12/20	273.00	...	741.96	2 of 2 - Option sale to close-call ²⁵
11/13/20	2.000	2,029.92	Various	546.00	...	1,483.92	Total of 2 transactions
XPEV 11/27/2020 CALL \$60.00 / CUSIP: / Symbol:							
	2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	1.000	909.97	11/23/20	405.00	...	504.97	1 of 2 - Option sale to close-call ²⁵
	1.000	909.97	11/23/20	405.00	...	504.97	2 of 2 - Option sale to close-call ²⁵
11/24/20	2.000	1,819.94	Various	810.00	...	1,009.94	Total of 2 transactions
XPEV 12/04/2020 CALL \$70.00 / CUSIP: / Symbol:							
12/04/20	1.000	0.98	11/24/20	875.00	...	-874.02	Option sale to close-call ²⁵
XPEV 12/18/2020 CALL \$51.00 / CUSIP: / Symbol:							
12/16/20	1.000	132.98	12/15/20	219.00	...	-86.02	Option sale to close-call ²⁵
XPEV 12/24/2020 CALL \$51.00 / CUSIP: / Symbol:							
12/16/20	10.000	2,709.92	12/15/20	3,250.00	...	-540.08	Option sale to close-call ²⁵

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ZM 09/11/2020 CALL \$400.00 / CUSIP: / Symbol: 09/10/20	1.000	1,129.96	09/09/20	520.00	...	609.96	Option sale to close-call ²⁵
ZM 09/11/2020 PUT \$330.00 / CUSIP: / Symbol: 09/08/20	1.000	439.98	09/04/20	770.00	...	-330.02	Option sale to close-put ²⁵
ZM 09/18/2020 CALL \$440.00 / CUSIP: / Symbol: 09/18/20	1.000	59.98	09/17/20	19.00	...	40.98	Option sale to close-call ²⁵
ZM 10/02/2020 CALL \$470.00 / CUSIP: / Symbol: 09/22/20	1.000	3,714.90	09/21/20	2,332.00	...	1,382.90	Option sale to close-call ²⁵
ZM 10/02/2020 CALL \$475.00 / CUSIP: / Symbol: 09/23/20	1.000	-1,318.06	09/22/20	0.00	...	-1,318.06	Short sale closed- call ²⁵ Option written: 09/21/20
ZM 10/02/2020 PUT \$432.50 / CUSIP: / Symbol: 09/25/20	1.000	489.97	09/24/20	780.00	...	-290.03	Option sale to close-put ²⁵
ZM 11/27/2020 CALL \$422.50 / CUSIP: / Symbol: 11/20/20	1.000	2,619.93	11/18/20	1,540.00	...	1,079.93	Option sale to close-call ²⁵
ZM 11/27/2020 CALL \$445.00 / CUSIP: / Symbol: 11/25/20	1.000	448.98	11/25/20	610.00	...	-161.02	Option sale to close-call ²⁵
ZM 12/04/2020 CALL \$415.00 / CUSIP: / Symbol: 12/04/20	1.000	174.98	12/03/20	600.00	...	-425.02	Option sale to close-call ²⁵
ZM 12/04/2020 CALL \$420.00 / CUSIP: / Symbol: 12/03/20	1.000	459.97	12/02/20	810.00	...	-350.03	Option sale to close-call ²⁵
ZM 12/04/2020 CALL \$435.00 / CUSIP: / Symbol: 11/20/20	1.000	3,629.90	11/18/20	2,780.00	...	849.90	Option sale to close-call ²⁵
ZM 12/04/2020 CALL \$460.00 / CUSIP: / Symbol: 11/30/20	1.000	4,320.89	11/27/20	3,380.00	...	940.89	Option sale to close-call ²⁵
ZM 12/04/2020 PUT \$355.00 / CUSIP: / Symbol: 12/02/20	1.000	124.98	11/30/20	130.00	...	-5.02	Option sale to close-put ²⁵

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ZM 12/11/2020 CALL \$417.50 / CUSIP: / Symbol:							
<i>4 transactions for 12/08/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	2,119.94	12/08/20	1,880.00	...	239.94	1 of 4 - Option sale to close-call ²⁵
	2.000	2,119.95	12/08/20	1,880.00	...	239.95	2 of 4 - Option sale to close-call ²⁵
	4.000	4,239.89	12/08/20	3,760.00	...	479.89	3 of 4 - Option sale to close-call ²⁵
	4.000	4,239.90	12/08/20	3,760.00	...	479.90	4 of 4 - Option sale to close-call ²⁵
12/08/20	12.000	12,719.68	Various	11,280.00	...	1,439.68	Total of 4 transactions
ZM 12/11/2020 CALL \$420.00 / CUSIP: / Symbol:							
12/07/20	1.000	799.97	12/07/20	1,050.00	...	-250.03	Option sale to close-call ²⁵
ZM 12/18/2020 CALL \$390.00 / CUSIP: / Symbol:							
12/14/20	2.000	1,419.95	12/14/20	2,320.00	...	-900.05	Option sale to close-call ²⁵
ARCA BIOPHARMA, INC. COMMON STOCK / CUSIP: 00211Y506 / Symbol:							
10/08/20	19.000	84.81	10/07/20	92.15	...	-7.34	Sale ²⁵
AETHLON MEDICAL, INC. COMMON STOCK / CUSIP: 00808Y307 / Symbol:							
<i>3 transactions for 10/13/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	198.49	10/13/20	188.86	...	9.63	1 of 3 - Sale ²⁵
	300.000	595.44	10/13/20	566.58	...	28.86	2 of 3 - Sale ²⁵
	1,200.000	2,376.52	10/13/20	2,266.32	...	110.20	3 of 3 - Sale ²⁵
10/13/20	1,600.000	3,170.45	Various	3,021.76	...	148.69	Total of 3 transactions
AMERICAN AIRLINES GROUP INC. COMMON STOCK / CUSIP: 02376R102 / Symbol:							
07/13/20	204.000	2,439.76	07/10/20	2,325.09	...	114.67	Sale ²⁵
08/24/20	8.000	99.52	08/11/20	114.54	...	-15.02	Sale ²⁵
	Security total:	2,539.28		2,439.63	...	99.65	
ANCHIANO THERAPEUTICS LTD. AMERICAN DEPOSITARY SHARE / CUSIP: 03280X102 / Symbol:							
10/20/20	600.000	1,050.20	10/20/20	1,303.92	...	-253.72	Sale ²⁵

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
09/14/20	10.730	1,224.82	09/11/20	1,196.29	...	28.53	Sale ²⁵
09/15/20	0.006	0.74	09/11/20	0.71	...	0.03	Sale ²⁵
10/09/20	18.000	2,071.39	10/08/20	2,067.30	...	4.09	Sale ²⁵
	Security total:	3,296.95		3,264.30	...	32.65	
AURORA MOBILE LIMITED AMERICAN DEPOSITARY SHARES / CUSIP: 051857100 / Symbol:							
12/07/20	15.000	51.75	11/27/20	74.38	...	-22.63	Sale ²⁵
BIONTECH SE AMERICAN DEPOSITARY SHARE / CUSIP: 09075V102 / Symbol:							
	2 transactions for 04/14/20. Total proceeds and cost reported to the IRS.						
	1.000	43.36	03/18/20	97.47	...	-54.11	1 of 2 - Sale ²⁵
	1.000	43.36	03/18/20	90.00	...	-46.64	2 of 2 - Sale ²⁵
04/14/20	2.000	86.72	Various	187.47	...	-100.75	Total of 2 transactions
07/23/20	3.000	281.88	07/22/20	296.16	...	-14.28	Sale ²⁵
	Security total:	368.60		483.63	...	-115.03	
CIIG MERGER CORP. CLASS A COMMON STOCK / CUSIP: 12559C103 / Symbol:							
12/14/20	15.000	428.63	12/11/20	437.70	...	-9.07	Sale ²⁵
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol:							
10/09/20	85.000	92.21	10/08/20	83.92	...	8.29	Sale ²⁵
CLOUDERA, INC. / CUSIP: 18914U100 / Symbol:							
09/15/20	2.000	22.02	08/31/20	26.32	...	-4.30	Sale ²⁵
COLLPLANT BIOTECHNOLOGIES LTD AMERICAN DEPOSITARY SHARES / CUSIP: 19516R107 / Symbol:							
12/01/20	70.000	687.55	12/01/20	730.80	...	-43.25	Sale ²⁵
COSTCO WHOLESALE CORPORATION COMMON STOCK / CUSIP: 22160K105 / Symbol:							
09/24/20	6.000	2,073.76	09/23/20	2,082.27	...	-8.51	Sale ²⁵
09/25/20	14.000	4,736.55	09/23/20	4,858.63	...	-122.08	Sale ²⁵
	Security total:	6,810.31		6,940.90	...	-130.59	
DENNY'S CORPORATION COMMON STOCK / CUSIP: 24869P104 / Symbol:							
05/15/20	35.000	331.98	05/13/20	301.95	...	30.03	Sale ²⁵

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DPW HOLDINGS, INC. / CUSIP: 26140E600 / Symbol:							
11/24/20	20.000	160.82	11/23/20	129.00	...	31.82	Sale ²⁵
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol:							
11/27/20	100.000	778.19	11/25/20	754.75	...	23.44	Sale ²⁵
EQUILLIUM, INC. COMMON STOCK / CUSIP: 29446K106 / Symbol:							
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	200.000	1,913.93	07/13/20	1,753.52	...	160.41	1 of 2 - Sale ²⁵
	150.000	3,674.89	07/13/20	1,796.12	...	1,878.77	2 of 2 - Sale ²⁵
07/13/20	350.000	5,588.82	Various	3,549.64	...	2,039.18	Total of 2 transactions
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
05/15/20	100.000	485.31	05/13/20	474.99	...	10.32	Sale ²⁵
FORESIGHT AUTONOMOUS HOLDINGS LTD. AMERICAN DEPOSITARY SHARE S / CUSIP: 345523104 / Symbol:							
12/23/20	575.000	2,420.62	12/23/20	2,645.00	...	-224.38	Sale ²⁵
FUEL TECH, INC. COMMON STOCK / CUSIP: 359523107 / Symbol:							
11/11/20	1,000.000	1,439.84	11/11/20	1,770.00	...	-330.16	Sale ²⁵
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
10/09/20	100.000	1,268.46	10/08/20	1,338.92	...	-70.46	Sale ²⁵
GENETIC TECHNOLOGIES LTD SPON SORED ADR / CUSIP: 37185R307 / Symbol:							
2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	80.000	466.78	07/15/20	379.20	...	87.58	1 of 2 - Sale ²⁵
	100.000	583.47	07/15/20	416.50	...	166.97	2 of 2 - Sale ²⁵
07/15/20	180.000	1,050.25	Various	795.70	...	254.55	Total of 2 transactions
12/11/20	10.000	36.80	12/09/20	48.50	...	-11.70	Sale ²⁵
	Security total:	1,087.05		844.20	...	242.85	
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
07/16/20	85.000	192.94	07/15/20	199.75	...	-6.81	Sale ²⁵
GREENWICH LIFESCIENCES, INC. C OMMON STOCK / CUSIP: 396879108 / Symbol:							
12/11/20	4.000	236.60	12/09/20	65.20	...	171.40	Sale ²⁵

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Robinhood Securities LLC

Account 121338263

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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HALL OF FAME RESORT & ENTERTAINMENT COMPANY COMMON STOCK / CUSIP: 40619L102 / Symbol:							
3 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	400.000	1,612.95	11/05/20	1,788.00	...	-175.05	1 of 3 - Sale ²⁵
	75.000	302.43	11/05/20	335.25	...	-32.82	2 of 3 - Sale ²⁵
	25.000	100.81	11/05/20	111.75	...	-10.94	3 of 3 - Sale ²⁵
11/05/20	500.000	2,016.19	Various	2,235.00	...	-218.81	Total of 3 transactions
HERTZ GLOBAL HOLDINGS, INC. COMMON STOCK / CUSIP: 42806J106 / Symbol:							
05/18/20	79.000	235.60	05/15/20	215.49	...	20.11	Sale ²⁵
07/16/20	26.000	36.92	06/16/20	48.10	...	-11.18	Sale ²⁵
	Security total:	272.52		263.59	...	8.93	
IBIO, INC. / CUSIP: 451033203 / Symbol:							
07/21/20	100.000	686.47	07/20/20	659.50	...	26.97	Sale ²⁵
INOVIO PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 45773H201 / Symbol:							
2 transactions for 04/15/20. Total proceeds and cost reported to the IRS.							
	2.000	14.50	04/03/20	15.72	1.22 W	0.00	1 of 2 - Sale ²⁵
	50.000	362.50	04/06/20	416.00	29.96 W	-23.54	2 of 2 - Sale ²⁵
04/15/20	52.000	377.00	Various	431.72	31.18 W	-23.54	Total of 2 transactions
2 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	2.000	27.74	05/13/20	25.66	...	2.08	1 of 2 - Sale ²⁵
	28.000	388.36	05/13/20	372.12	...	16.24	2 of 2 - Sale ²⁵
05/27/20	30.000	416.10	Various	397.78	...	18.32	Total of 2 transactions
06/26/20	34.000	962.17	06/25/20	972.40	8.73 W	-1.50	Sale ²⁵
07/16/20	29.000	687.34	06/26/20	940.17	...	-252.83	Sale ²⁵
10/02/20	240.000	2,861.35	10/01/20	2,824.27	...	37.08	Sale ²⁵
	Security total:	5,303.96		5,566.34	39.91 W	-222.47	
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
06/10/20	36.000	179.64	06/08/20	238.32	...	-58.68	Sale ²⁵
06/10/20	64.000	319.35	06/08/20	423.68	92.92 W	-11.41	Sale ²⁵
08/24/20	0.410	1.21	07/15/20	1.41	...	-0.20	Sale ²⁵
08/24/20	57.000	167.57	06/12/20	369.37	1.21 W	-200.59	Sale ²⁵
11/24/20	145.462	500.70	07/15/20	498.59	...	2.11	Sale ²⁵

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(continued)

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INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol: (cont'd)							
11/24/20	0.338	1.16	07/29/20	2.33	1.17 W	0.00	Sale ²⁵
	3 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	0.003	0.01	07/29/20	0.02	...	-0.01	1 of 3 - Sale ²⁵
	0.338	1.15	10/28/20	2.09	...	-0.94	2 of 3 - Sale ²⁵
	2.352	8.03	10/28/20	6.37	...	1.66	3 of 3 - Sale ²⁵
12/07/20	2.693	9.19	Various	8.48	...	0.71	Total of 3 transactions
	Security total:	1,178.82		1,542.18	95.30 W	-268.06	
JUST ENERGY GROUP INC / CUSIP: 48213W408 / Symbol:							
10/12/20	100.000	672.98	10/09/20	668.00	...	4.98	Sale ²⁵
LIBERTY TRIPADVISOR HOLDINGS, INC. SERIES B COMMON STOCK / CUSIP: 531465201 / Symbol:							
04/16/20	5.000	370.38	04/16/20	445.00	...	-74.62	Sale ²⁵
LIZHI INC. AMERICAN DEPOSITARY SHARES / CUSIP: 53933L104 / Symbol:							
	2 transactions for 12/08/20. Total proceeds and cost reported to the IRS.						
	0.097	0.36	12/07/20	0.36	...	0.00	1 of 2 - Sale ²⁵
	11.977	45.05	12/07/20	44.64	...	0.41	2 of 2 - Sale ²⁵
12/08/20	12.074	45.41	Various	45.00	...	0.41	Total of 2 transactions
MEI PHARMA, INC. COMMON STOCK / CUSIP: 55279B202 / Symbol:							
04/15/20	32.000	70.46	04/14/20	87.36	...	-16.90	Sale ²⁵
MGM RESORTS INTERNATIONAL / CUSIP: 552953101 / Symbol:							
05/15/20	4.000	55.60	05/13/20	51.44	...	4.16	Sale ²⁵
MARIN SOFTWARE INCORPORATED COMMON STOCK / CUSIP: 56804T205 / Symbol:							
	2 transactions for 10/21/20. Total proceeds and cost reported to the IRS.						
	200.000	561.96	10/21/20	758.00	...	-196.04	1 of 2 - Sale ²⁵
	100.000	280.99	10/21/20	379.00	...	-98.01	2 of 2 - Sale ²⁵
10/21/20	300.000	842.95	Various	1,137.00	...	-294.05	Total of 2 transactions
MECHEL PAO AMERICAN DEPOSITARY SHARES (EACH REPRESENTING ONE -HALF OF A PREFERRED SHARE) / CUSIP: 583840509 / Symbol:							
04/24/20	15.000	7.92	04/22/20	21.75	...	-13.83	Sale ²⁵

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MEDALIST DIVERSIFIED REIT, INC . COMMON STOCK / CUSIP: 58403P105 / Symbol:							
6 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	15.000	63.45	10/14/20	49.94	...	13.51	1 of 6 - Sale ²⁵
	50.000	211.49	10/14/20	166.47	...	45.02	2 of 6 - Sale ²⁵
	50.000	211.50	10/14/20	166.47	...	45.03	3 of 6 - Sale ²⁵
	50.000	211.99	10/14/20	166.47	...	45.52	4 of 6 - Sale ²⁵
	50.000	212.00	10/14/20	166.00	...	46.00	5 of 6 - Sale ²⁵
	735.000	3,108.89	10/14/20	2,447.11	...	661.78	6 of 6 - Sale ²⁵
10/14/20	950.000	4,019.32	Various	3,162.46	...	856.86	Total of 6 transactions
MESOBLAST LIMITED AMERICAN DEP OSITARY SHARES / CUSIP: 590717104 / Symbol:							
2 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	3.000	40.11	04/24/20	49.20	...	-9.09	1 of 2 - Sale ²⁵
	1.000	13.37	04/24/20	15.85	...	-2.48	2 of 2 - Sale ²⁵
04/24/20	4.000	53.48	Various	65.05	...	-11.57	Total of 2 transactions
MIDATECH PHARMA PLC AMERICAN D EPOSITARY SHS / CUSIP: 59564R302 / Symbol:							
07/22/20	100.000	356.54	07/21/20	548.00	...	-191.46	Sale ²⁵
MILESTONE SCIENTIFIC, INC. COM MON STOCK / CUSIP: 59935P209 / Symbol:							
7 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	500.000	1,029.97	10/13/20	1,074.90	...	-44.93	1 of 7 - Sale ²⁵
	99.000	203.93	10/13/20	230.63	...	-26.70	2 of 7 - Sale ²⁵
	99.000	203.93	10/13/20	230.63	...	-26.70	3 of 7 - Sale ²⁵
	100.000	205.99	10/13/20	223.97	...	-17.98	4 of 7 - Sale ²⁵
	200.000	411.99	10/13/20	429.96	...	-17.97	5 of 7 - Sale ²⁵
	1.000	2.06	10/13/20	2.33	...	-0.27	6 of 7 - Sale ²⁵
	1.000	2.06	10/13/20	2.33	...	-0.27	7 of 7 - Sale ²⁵
10/13/20	1,000.000	2,059.93	Various	2,194.75	...	-134.82	Total of 7 transactions
7 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	100.000	205.99	10/13/20	214.98	8.99 W	0.00	1 of 7 - Sale ²⁵
	100.000	205.99	10/13/20	214.98	8.99 W	0.00	2 of 7 - Sale ²⁵
	100.000	205.99	10/13/20	214.98	8.99 W	0.00	3 of 7 - Sale ²⁵
	1.000	2.06	10/13/20	2.24	0.18 W	0.00	4 of 7 - Sale ²⁵
	99.000	203.93	10/13/20	221.73	17.80 W	0.00	5 of 7 - Sale ²⁵

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MILESTONE SCIENTIFIC, INC. COM MON STOCK / CUSIP: 59935P209 / Symbol: (cont'd)							
	1.000	2.06	10/13/20	2.24	0.18 W	0.00	6 of 7 - Sale ²⁵
	99.000	203.93	10/13/20	221.73	17.80 W	0.00	7 of 7 - Sale ²⁵
10/13/20	500.000	1,029.95	Various	1,092.88	62.93 W	0.00	Total of 7 transactions
	Security total:	3,089.88		3,287.63	62.93 W	-134.82	
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
2 transactions for 04/15/20. Total proceeds and cost reported to the IRS.							
	10.000	375.22	03/20/20	283.17	...	92.05	1 of 2 - Sale ²⁵
	10.000	375.21	04/03/20	343.30	...	31.91	2 of 2 - Sale ²⁵
04/15/20	20.000	750.43	Various	626.47	...	123.96	Total of 2 transactions
05/27/20	20.000	1,008.17	04/16/20	773.80	...	234.37	Sale ²⁵
	Security total:	1,758.60		1,400.27	...	358.33	
9F INC. AMERICAN DEPOSITARY SH ARES / CUSIP: 65442R109 / Symbol:							
10/20/20	1,250.000	1,329.82	10/20/20	1,350.00	7.73 W	-12.45	Sale ²⁵
4 transactions for 10/22/20. Total proceeds and cost reported to the IRS.							
	179.000	268.47	10/21/20	317.93	...	-49.46	1 of 4 - Sale ²⁵
	100.000	149.98	10/21/20	177.61	...	-27.63	2 of 4 - Sale ²⁵
	100.000	149.98	10/21/20	177.61	...	-27.63	3 of 4 - Sale ²⁵
	100.000	149.99	10/21/20	177.62	...	-27.63	4 of 4 - Sale ²⁵
10/22/20	479.000	718.42	Various	850.77	...	-132.35	Total of 4 transactions
	Security total:	2,048.24		2,200.77	7.73 W	-144.80	
NOVAN, INC. COMMON STOCK / CUSIP: 66988N106 / Symbol:							
07/23/20	127.000	105.39	07/21/20	127.00	...	-21.61	Sale ²⁵
OPGEN, INC. COMMON STOCK / CUSIP: 68373L307 / Symbol:							
08/24/20	30.000	75.00	08/10/20	106.50	...	-31.50	Sale ²⁵
PARK HOTELS & RESORTS INC. COM MON STOCK / CUSIP: 700517105 / Symbol:							
05/15/20	50.000	365.51	05/13/20	329.44	...	36.07	Sale ²⁵
ROCHE HOLDINGS AG BASEL AMERIC AN DEPOSITARY SHARES / CUSIP: 771195104 / Symbol:							
04/15/20	3.000	120.51	03/23/20	108.81	...	11.70	Sale ²⁵

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SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
04/15/20	4.000	106.46	03/24/20	104.40	...	2.06	Sale ²⁵
SONNET BIOTHERAPEUTICS HOLDING S, INC. COMMON STOCK / CUSIP: 83548R105 / Symbol:							
08/03/20	20.000	68.40	07/31/20	98.00	29.60 W	0.00	Sale ²⁵
	<i>2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.</i>						
	20.000	64.40	08/24/20	109.30	...	-44.90	1 of 2 - Sale ²⁵
	30.000	96.60	08/24/20	119.55	...	-22.95	2 of 2 - Sale ²⁵
08/25/20	50.000	161.00	Various	228.85	...	-67.85	Total of 2 transactions
	Security total:	229.40		326.85	29.60 W	-67.85	
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol:							
	<i>2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.</i>						
	39.000	155.02	05/15/20	285.43	...	-130.41	1 of 2 - Sale ²⁵
	17.000	67.57	05/18/20	142.97	...	-75.40	2 of 2 - Sale ²⁵
06/04/20	56.000	222.59	Various	428.40	...	-205.81	Total of 2 transactions
	<i>2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.</i>						
	10.000	130.60	08/04/20	113.85	...	16.75	1 of 2 - Sale ²⁵
	25.000	326.50	08/04/20	266.25	...	60.25	2 of 2 - Sale ²⁵
08/06/20	35.000	457.10	Various	380.10	...	77.00	Total of 2 transactions
	<i>2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.</i>						
	19.000	167.30	08/10/20	330.03	...	-162.73	1 of 2 - Sale ²⁵
	6.000	52.82	08/10/20	108.90	...	-56.08	2 of 2 - Sale ²⁵
08/24/20	25.000	220.12	Various	438.93	...	-218.81	Total of 2 transactions
	Security total:	899.81		1,247.43	...	-347.62	
SUNDIAL GROWERS INC. COMMON SH ARES / CUSIP: 86730L109 / Symbol:							
11/09/20	2,500.000	1,057.17	11/06/20	757.25	...	299.92	Sale ²⁵
TELLURIAN INC. COMMON STOCK / CUSIP: 87968A104 / Symbol:							
11/18/20	471.745	543.04	07/15/20	500.00	...	43.04	Sale ²⁵

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
5 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	0.005	2.17	09/11/20	2.00	...	0.17	1 of 5 - Sale ²⁵
	2.000	801.55	09/11/20	737.90	...	63.65	2 of 5 - Sale ²⁵
	3.000	1,202.33	09/11/20	1,115.88	...	86.45	3 of 5 - Sale ²⁵
	1.000	400.78	09/14/20	383.35	...	17.43	4 of 5 - Sale ²⁵
	5.000	2,003.87	09/14/20	1,936.25	...	67.62	5 of 5 - Sale ²⁵
09/23/20	11.005	4,410.70	Various	4,175.38	...	235.32	Total of 5 transactions
3 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	3.000	1,202.33	09/14/20	1,206.04	3.71 W	0.00	1 of 3 - Sale ²⁵
	3.000	1,202.32	09/21/20	1,271.79	69.47 W	0.00	2 of 3 - Sale ²⁵
	2.995	1,200.15	09/21/20	1,277.76	77.61 W	0.00	3 of 3 - Sale ²⁵
09/23/20	8.995	3,604.80	Various	3,755.59	150.79 W	0.00	Total of 3 transactions
2 transactions for 09/24/20. Total proceeds and cost reported to the IRS.							
	0.005	2.00	09/21/20	2.32	0.32 W	0.00	1 of 2 - Sale ²⁵
	2.995	1,104.22	09/23/20	1,170.21	65.99 W	0.00	2 of 2 - Sale ²⁵
09/24/20	3.000	1,106.22	Various	1,172.53	66.31 W	0.00	Total of 2 transactions
6 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	0.005	2.17	09/23/20	2.24	0.07 W	0.00	1 of 6 - Sale ²⁵
	0.005	2.17	09/23/20	2.45	0.28 W	0.00	2 of 6 - Sale ²⁵
	0.005	2.17	09/23/20	2.26	0.09 W	0.00	3 of 6 - Sale ²⁵
	2.995	1,198.22	09/23/20	1,248.18	49.96 W	0.00	4 of 6 - Sale ²⁵
	2.989	1,196.05	09/23/20	1,254.17	58.12 W	0.00	5 of 6 - Sale ²⁵
	0.005	2.18	09/23/20	2.28	0.10 W	0.00	6 of 6 - Sale ²⁵
09/25/20	6.005	2,402.96	Various	2,511.58	108.62 W	0.00	Total of 6 transactions
3 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	0.989	416.98	10/02/20	454.32	...	-37.34	1 of 3 - Sale ²⁵
	0.005	2.29	10/02/20	2.65	...	-0.36	2 of 3 - Sale ²⁵
	0.005	2.29	10/02/20	2.46	...	-0.17	3 of 3 - Sale ²⁵
10/06/20	1.000	421.56	Various	459.43	...	-37.87	Total of 3 transactions
6 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	2.989	1,272.72	10/02/20	1,365.20	...	-92.48	1 of 6 - Sale ²⁵
	2.995	1,275.02	10/02/20	1,359.40	...	-84.38	2 of 6 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 121338263

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	2.000	851.55	10/02/20	918.62	...	-67.07	3 of 6 - Sale ²⁵
	0.005	2.31	10/02/20	2.47	...	-0.16	4 of 6 - Sale ²⁵
	0.005	2.31	10/02/20	2.44	...	-0.13	5 of 6 - Sale ²⁵
	0.005	2.31	10/02/20	2.37	...	-0.06	6 of 6 - Sale ²⁵
10/08/20	8.000	3,406.22	Various	3,650.50	...	-244.28	Total of 6 transactions
	Security total:	15,352.46		15,725.01	325.72 W	-46.83	
TILRAY, INC. CLASS 2 COMMON ST OCK / CUSIP: 88688T100 / Symbol:							
04/15/20	3.000	20.16	04/06/20	18.57	...	1.59	Sale ²⁵
05/18/20	30.000	239.16	05/13/20	201.87	...	37.29	Sale ²⁵
	Security total:	259.32		220.44	...	38.88	
TRANSLATE BIO, INC. COMMON STO CK / CUSIP: 89374L104 / Symbol:							
06/24/20	36.000	992.45	06/24/20	996.84	...	-4.39	Sale ²⁵
UNUM THERAPEUTICS INC. COMMON STOCK / CUSIP: 903214104 / Symbol:							
07/20/20	100.000	325.28	07/17/20	329.00	...	-3.72	Sale ²⁵
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
2 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	10.000	307.99	05/18/20	222.90	...	85.09	1 of 2 - Sale ²⁵
	12.000	369.59	05/18/20	275.62	...	93.97	2 of 2 - Sale ²⁵
05/27/20	22.000	677.58	Various	498.52	...	179.06	Total of 2 transactions
06/10/20	19.000	763.78	06/04/20	718.77	...	45.01	Sale ²⁵
07/09/20	80.000	2,500.08	06/12/20	3,168.40	668.32 W	0.00	Sale ²⁵
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	80.000	2,443.53	07/09/20	3,117.01	...	-673.48	1 of 2 - Sale ²⁵
	2.000	61.09	07/09/20	61.22	...	-0.13	2 of 2 - Sale ²⁵
07/10/20	82.000	2,504.62	Various	3,178.23	...	-673.61	Total of 2 transactions
	Security total:	6,446.06		7,563.92	668.32 W	-449.54	
UNITED STATES OIL FUND, LP / CUSIP: 91232N207 / Symbol:							
05/08/20	38.000	797.66	05/04/20	723.51	...	74.15	Sale ²⁵

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Robinhood Securities LLC

Account 121338263

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WESTWATER RESOURCES, INC. COMM ON STOCK / CUSIP: 961684206 / Symbol:							
2 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	100.000	766.97	10/09/20	838.98	...	-72.01	1 of 2 - Sale ²⁵
	50.000	383.50	10/09/20	419.49	...	-35.99	2 of 2 - Sale ²⁵
10/12/20	150.000	1,150.47	Various	1,258.47	...	-108.00	Total of 2 transactions
WIMI HOLOGRAM CLOUD INC. AMERI CAN DEPOSITARY SHARE / CUSIP: 97264L100 / Symbol:							
10/13/20	100.000	639.97	10/12/20	658.50	...	-18.53	Sale ²⁵
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
04/15/20	1.000	151.85	03/23/20	162.45	...	-10.60	Sale ²⁵
AXOVANT GENE THERAPIES LTD. CO MMON SHARES / CUSIP: G0750W203 / Symbol:							
10/06/20	29.000	138.65	10/05/20	163.35	...	-24.70	Sale ²⁵
GREENLAND TECHNOLOGIES HOLDING CORPORATION ORDINARY SHARES / CUSIP: G4095T107 / Symbol:							
11/13/20	150.000	1,004.95	11/13/20	1,090.89	...	-85.94	Sale ²⁵
VALARIS PLC ORDINARY SHARES / CUSIP: G9402V109 / Symbol:							
07/16/20	87.000	54.58	06/26/20	60.22	...	-5.64	Sale ²⁵
ROYAL CARIBBEAN GROUP / CUSIP: V7780T103 / Symbol:							
07/16/20	12.000	646.79	06/16/20	753.55	...	-106.76	Sale ²⁵
Totals :		369,358.72		399,048.19	13,814.72 W	-15,874.75	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 121338263

Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
BNTX RIGHTS / CUSIP: 090RGT036 / Symbol:							
09/01/20	3.000	0.00	07/22/20	0.00	...	0.00	Gain or loss ²⁵
WEATHERFORD INTERNATIONAL PLC ORDINARY SHARES NEW (IRELAND) / CUSIP: G48833118 / Symbol:							
2 transactions for 01/15/20							
	0.003	0.10	02/13/19	4.46	...	-4.36	Gain or loss
	0.001	0.02	02/13/19	0.89	...	-0.87	Gain or loss
01/15/20	0.004	0.12	Various	5.35	...	-5.23	Total of 2 transactions
Totals:		0.12		5.35	...	-5.23	

Robinhood Securities LLC

Account 121338263

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
INVESCO MORTGAGE CAPITAL INC.	46131B100		07/28/20	1.14	Nondividend distribution	03
			10/27/20	7.29	Nondividend distribution	03
				8.43	Total Dividends & distributions	
				8.43	Total Dividends & distributions	

Robinhood Securities LLC

Account 121338263

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		09/30/20	-5.00	Margin interest paid	
		10/30/20	-5.00	Margin interest paid	
		11/30/20	-5.00	Margin interest paid	
		12/29/20	-5.00	Margin interest paid	
			-20.00	Total Margin interest paid	
			-20.00	Total Margin interest paid	

Robinhood Securities LLC

Account 121338263

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
BNTX RIGHTS	090RGT036	07/27/20	3.00	0.00	Stock spinoff	
		09/01/20	-3.00	0.00	Security deemed worthless	

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.