

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 148601016</b>	Statement Date: 02/14/2021 Document ID: ZK1K 79S 083Q	2020
	Soma sekhar reddy Pappuri 3333 Circle Brook Dr G Cave Spring, VA 24018  RECIPIENT'S TIN: XXX-XX-1028		

**Summary Information**

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	318.21		2- Royalties	0.00	
1b- Qualified dividends	318.21		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		<b>4- Federal income tax withheld</b>	<b>0.00</b>	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		<b>SECTION 1256 CONTRACTS</b>	<b>2020 1099-B*</b>	OMB No. 1545-0715
3- Nondividend distributions	0.00		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
<b>4- Federal income tax withheld</b>	<b>0.00</b>		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

*If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.*

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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	1,937,075.39	1,954,529.49	0.00	22,208.68	4,754.58
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>1,937,075.39</b>	<b>1,954,529.49</b>	<b>0.00</b>	<b>22,208.68</b>	<b>4,754.58</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>1,937,075.39</b>	<b>1,954,529.49</b>	<b>0.00</b>	<b>22,208.68</b>	<b>4,754.58</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

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**Summary Information**

2020

(continued)

02/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	113.39
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAG 11/20/2020 PUT \$14.00 / CUSIP: / Symbol:							
10/02/20	1.000	44.98	10/01/20	70.00	...	-25.02	Option sale to close-put <sup>25</sup>
AMAT 12/24/2020 PUT \$82.00 / CUSIP: / Symbol:							
11/25/20	1.000	314.98	11/24/20	285.00	...	29.98	Option sale to close-put <sup>25</sup>
AMD 01/03/2020 PUT \$43.50 / CUSIP: / Symbol:							
01/03/20	5.000	0.00	12/23/19	230.00	...	-230.00	Option expiration- long put
AMD 12/24/2020 PUT \$95.00 / CUSIP: / Symbol:							
	2 transactions for 12/14/20. Total proceeds and cost reported to the IRS.						
	2.000	589.97	12/14/20	574.00	...	15.97	1 of 2 - Option sale to close-put <sup>25</sup>
	3.000	899.97	12/14/20	861.00	...	38.97	2 of 2 - Option sale to close-put <sup>25</sup>
12/14/20	5.000	1,489.94	Various	1,435.00	...	54.94	Total of 2 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 12/31/2020 PUT \$95.00 / CUSIP: / Symbol:							
12/02/20	1.000	529.97	12/02/20	500.00	...	29.97	Option sale to close-put <sup>25</sup>
AMZN 12/24/2020 CALL \$3,115.00 / CUSIP: / Symbol:							
12/09/20	1.000	8,349.80	12/09/20	8,250.00	...	99.80	Option sale to close-call <sup>25</sup>
AMZN 12/31/2020 CALL \$3,190.00 / CUSIP: / Symbol:							
12/14/20	1.000	8,399.80	12/14/20	8,300.00	...	99.80	Option sale to close-call <sup>25</sup>
AMZN 12/31/2020 PUT \$3,190.00 / CUSIP: / Symbol:							
12/14/20	1.000	8,699.79	12/14/20	8,330.00	...	369.79	Option sale to close-put <sup>25</sup>
APPN 11/20/2020 PUT \$70.00 / CUSIP: / Symbol:							
09/30/20	1.000	891.97	09/30/20	870.00	...	21.97	Option sale to close-put <sup>25</sup>
10/07/20	1.000	479.97	10/07/20	470.00	...	9.97	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>1,371.94</b>		<b>1,340.00</b>	...	<b>31.94</b>	
APPN 12/18/2020 CALL \$150.00 / CUSIP: / Symbol:							
12/01/20	1.000	739.97	11/30/20	2,400.00	...	-1,660.03	Option sale to close-call <sup>25</sup>
APPN 12/18/2020 PUT \$110.00 / CUSIP: / Symbol:							
11/18/20	1.000	559.97	11/18/20	540.00	...	19.97	Option sale to close-put <sup>25</sup>
	4 transactions for 11/19/20. Total proceeds and cost reported to the IRS.						
	1.000	579.97	11/19/20	560.00	...	19.97	1 of 4 - Option sale to close-put <sup>25</sup>
	1.000	559.98	11/19/20	520.00	...	39.98	2 of 4 - Option sale to close-put <sup>25</sup>
	2.000	1,039.96	11/19/20	960.03	...	79.93	3 of 4 - Option sale to close-put <sup>25</sup>
	2.000	1,119.97	11/19/20	960.00	...	159.97	4 of 4 - Option sale to close-put <sup>25</sup>
11/19/20	6.000	3,299.88	Various	3,000.03	...	299.85	Total of 4 transactions
11/19/20	2.000	1,119.97	11/19/20	1,120.00	0.03 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>4,979.82</b>		<b>4,660.03</b>	<b>0.03 W</b>	<b>319.82</b>	
APPN 12/18/2020 PUT \$115.00 / CUSIP: / Symbol:							
	8 transactions for 11/19/20. Total proceeds and cost reported to the IRS.						
	1.000	689.98	11/19/20	680.00	...	9.98	1 of 8 - Option sale to close-put <sup>25</sup>
	1.000	719.97	11/19/20	700.00	...	19.97	2 of 8 - Option sale to close-put <sup>25</sup>
	1.000	689.98	11/19/20	670.00	...	19.98	3 of 8 - Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPN 12/18/2020 PUT \$115.00 / CUSIP: / Symbol: (cont'd)							
	1.000	689.98	11/19/20	670.00	...	19.98	4 of 8 - Option sale to close-put <sup>25</sup>
	1.000	709.97	11/19/20	680.00	...	29.97	5 of 8 - Option sale to close-put <sup>25</sup>
	1.000	689.98	11/19/20	660.00	...	29.98	6 of 8 - Option sale to close-put <sup>25</sup>
	1.000	689.99	11/19/20	660.00	...	29.99	7 of 8 - Option sale to close-put <sup>25</sup>
	1.000	719.97	11/19/20	670.02	...	49.95	8 of 8 - Option sale to close-put <sup>25</sup>
11/19/20	8.000	5,599.82	Various	5,390.02	...	209.80	Total of 8 transactions
11/19/20	1.000	689.98	11/19/20	700.00	10.02 W	0.00	Option sale to close-put <sup>25</sup>
	10 transactions for 11/20/20. Total proceeds and cost reported to the IRS.						
	2.000	1,099.97	11/20/20	1,120.00	...	-20.03	1 of 10 - Option sale to close-put <sup>25</sup>
	1.000	619.98	11/20/20	605.03	...	14.95	2 of 10 - Option sale to close-put <sup>25</sup>
	1.000	579.98	11/20/20	562.09	...	17.89	3 of 10 - Option sale to close-put <sup>25</sup>
	2.000	1,099.97	11/20/20	1,080.00	...	19.97	4 of 10 - Option sale to close-put <sup>25</sup>
	1.000	579.98	11/20/20	560.00	...	19.98	5 of 10 - Option sale to close-put <sup>25</sup>
	1.000	649.97	11/20/20	620.00	...	29.97	6 of 10 - Option sale to close-put <sup>25</sup>
	2.000	1,219.97	11/20/20	1,180.00	...	39.97	7 of 10 - Option sale to close-put <sup>25</sup>
	1.000	619.98	11/20/20	560.02	...	59.96	8 of 10 - Option sale to close-put <sup>25</sup>
	2.000	1,179.97	11/20/20	1,120.00	...	59.97	9 of 10 - Option sale to close-put <sup>25</sup>
	2.000	1,099.97	11/20/20	1,020.00	...	79.97	10 of 10 - Option sale to close-put <sup>25</sup>
11/20/20	15.000	8,749.74	Various	8,427.14	...	322.60	Total of 10 transactions
	7 transactions for 11/20/20. Total proceeds and cost reported to the IRS.						
	1.000	599.98	11/20/20	640.00	40.02 W	0.00	1 of 7 - Option sale to close-put <sup>25</sup>
	1.000	599.98	11/20/20	625.00	25.02 W	0.00	2 of 7 - Option sale to close-put <sup>25</sup>
	1.000	609.98	11/20/20	662.02	52.04 W	0.00	3 of 7 - Option sale to close-put <sup>25</sup>
	1.000	609.99	11/20/20	645.02	35.03 W	0.00	4 of 7 - Option sale to close-put <sup>25</sup>
	1.000	609.98	11/20/20	610.00	0.02 W	0.00	5 of 7 - Option sale to close-put <sup>25</sup>
	1.000	609.97	11/20/20	622.04	12.07 W	0.00	6 of 7 - Option sale to close-put <sup>25</sup>
	1.000	589.98	11/20/20	592.07	2.09 W	0.00	7 of 7 - Option sale to close-put <sup>25</sup>
11/20/20	7.000	4,229.86	Various	4,396.15	166.29 W	0.00	Total of 7 transactions
	<b>Security total:</b>	<b>19,269.40</b>		<b>18,913.31</b>	<b>176.31 W</b>	<b>532.40</b>	

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Robinhood Securities LLC

Account 148601016

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPN 12/18/2020 PUT \$120.00 / CUSIP: / Symbol:							
2 transactions for 11/23/20. Total proceeds and cost reported to the IRS.							
	2.000	1,179.96	11/23/20	1,320.00	140.04 W	0.00	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	529.97	11/23/20	660.00	130.03 W	0.00	2 of 2 - Option sale to close-put <sup>25</sup>
11/23/20	3.000	1,709.93	Various	1,980.00	270.07 W	0.00	Total of 2 transactions
4 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	1.000	599.97	11/23/20	580.04	...	19.93	1 of 4 - Option sale to close-put <sup>25</sup>
	1.000	599.97	11/23/20	580.04	...	19.93	2 of 4 - Option sale to close-put <sup>25</sup>
	1.000	599.98	11/23/20	580.05	...	19.93	3 of 4 - Option sale to close-put <sup>25</sup>
	1.000	599.98	11/23/20	570.05	...	29.93	4 of 4 - Option sale to close-put <sup>25</sup>
11/24/20	4.000	2,399.90	Various	2,310.18	...	89.72	Total of 4 transactions
4 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	1.000	539.97	11/23/20	660.00	120.03 W	0.00	1 of 4 - Option sale to close-put <sup>25</sup>
	2.000	1,159.96	11/23/20	1,240.04	80.08 W	0.00	2 of 4 - Option sale to close-put <sup>25</sup>
	1.000	599.98	11/23/20	670.03	70.05 W	0.00	3 of 4 - Option sale to close-put <sup>25</sup>
	1.000	599.98	11/23/20	680.03	80.05 W	0.00	4 of 4 - Option sale to close-put <sup>25</sup>
11/24/20	5.000	2,899.89	Various	3,250.10	350.21 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>7,009.72</b>		<b>7,540.28</b>	<b>620.28 W</b>	<b>89.72</b>	
APPN 12/18/2020 PUT \$130.00 / CUSIP: / Symbol:							
7 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	1.000	459.98	11/25/20	610.00	...	-150.02	1 of 7 - Option sale to close-put <sup>25</sup>
	1.000	459.99	11/25/20	600.00	...	-140.01	2 of 7 - Option sale to close-put <sup>25</sup>
	1.000	459.99	11/25/20	600.00	...	-140.01	3 of 7 - Option sale to close-put <sup>25</sup>
	1.000	459.98	11/25/20	590.00	...	-130.02	4 of 7 - Option sale to close-put <sup>25</sup>
	1.000	459.99	11/25/20	540.00	...	-80.01	5 of 7 - Option sale to close-put <sup>25</sup>
	1.000	459.98	11/25/20	520.00	...	-60.02	6 of 7 - Option sale to close-put <sup>25</sup>
	1.000	459.99	11/25/20	490.00	...	-30.01	7 of 7 - Option sale to close-put <sup>25</sup>
11/25/20	7.000	3,219.90	Various	3,950.00	...	-730.10	Total of 7 transactions
11/25/20	1.000	459.99	11/25/20	650.00	190.01 W	0.00	Option sale to close-put <sup>25</sup>
11/27/20	1.000	569.97	11/25/20	680.01	...	-110.04	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>4,249.86</b>		<b>5,280.01</b>	<b>190.01 W</b>	<b>-840.14</b>	

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Robinhood Securities LLC

Account 148601016

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPN 12/18/2020 PUT \$140.00 / CUSIP: / Symbol:							
2 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	1.000	929.96	11/25/20	850.03	...	79.93	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	929.97	11/25/20	850.00	...	79.97	2 of 2 - Option sale to close-put <sup>25</sup>
11/27/20	2.000	1,859.93	Various	1,700.03	...	159.90	Total of 2 transactions
11/27/20	1.000	929.97	11/25/20	990.00	60.03 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>2,789.90</b>		<b>2,690.03</b>	<b>60.03 W</b>	<b>159.90</b>	
APPN 12/18/2020 PUT \$150.00 / CUSIP: / Symbol:							
11/27/20	1.000	1,279.96	11/25/20	1,100.00	...	179.96	Option sale to close-put <sup>25</sup>
APPN 12/18/2020 PUT \$165.00 / CUSIP: / Symbol:							
11/27/20	1.000	1,799.95	11/25/20	1,510.00	...	289.95	Option sale to close-put <sup>25</sup>
APPN 12/18/2020 PUT \$170.00 / CUSIP: / Symbol:							
11/27/20	1.000	2,039.94	11/25/20	1,600.00	...	439.94	Option sale to close-put <sup>25</sup>
APPN 12/18/2020 PUT \$90.00 / CUSIP: / Symbol:							
11/09/20	1.000	499.97	11/09/20	420.00	...	79.97	Option sale to close-put <sup>25</sup>
11/11/20	1.000	489.97	11/10/20	470.00	...	19.97	Option sale to close-put <sup>25</sup>
11/13/20	1.000	389.98	11/13/20	370.00	...	19.98	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>1,379.92</b>		<b>1,260.00</b>	...	<b>119.92</b>	
BABA 01/15/2021 CALL \$255.00 / CUSIP: / Symbol:							
12/14/20	1.000	1,144.96	12/14/20	1,135.00	...	9.96	Option sale to close-call <sup>25</sup>
BAC 06/19/2020 CALL \$26.50 / CUSIP: / Symbol:							
06/11/20	5.000	124.98	06/11/20	155.00	...	-30.02	Option sale to close-call <sup>25</sup>
BILI 12/18/2020 PUT \$50.00 / CUSIP: / Symbol:							
11/19/20	1.000	79.98	11/19/20	121.06	...	-41.08	Option sale to close-put <sup>25</sup>
6 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	1.000	91.98	11/19/20	115.00	23.02 W	0.00	1 of 6 - Option sale to close-put <sup>25</sup>
	1.000	91.98	11/19/20	115.00	23.02 W	0.00	2 of 6 - Option sale to close-put <sup>25</sup>
	1.000	91.98	11/19/20	123.02	31.04 W	0.00	3 of 6 - Option sale to close-put <sup>25</sup>
	1.000	79.98	11/19/20	113.02	33.04 W	0.00	4 of 6 - Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 148601016

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BILI 12/18/2020 PUT \$50.00 / CUSIP: / Symbol: (cont'd)							
	1.000	79.98	11/19/20	121.04	41.06 W	0.00	5 of 6 - Option sale to close-put <sup>25</sup>
	1.000	79.98	11/19/20	121.04	41.06 W	0.00	6 of 6 - Option sale to close-put <sup>25</sup>
11/19/20	6.000	515.88	Various	708.12	192.24 W	0.00	Total of 6 transactions
11/20/20	1.000	64.98	11/19/20	121.06	...	-56.08	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>660.84</b>		<b>950.24</b>	<b>192.24 W</b>	<b>-97.16</b>	
BILI 12/18/2020 PUT \$60.00 / CUSIP: / Symbol:							
11/23/20	1.000	225.98	11/23/20	230.00	...	-4.02	Option sale to close-put <sup>25</sup>
BKNG 01/08/2021 PUT \$2,092.50 / CUSIP: / Symbol:							
12/03/20	1.000	9,099.78	12/03/20	8,950.00	...	149.78	Option sale to close-put <sup>25</sup>
12/08/20	1.000	8,499.80	12/03/20	8,750.00	...	-250.20	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>17,599.58</b>		<b>17,700.00</b>	<b>...</b>	<b>-100.42</b>	
BKNG 01/08/2021 PUT \$2,102.50 / CUSIP: / Symbol:							
12/03/20	1.000	9,399.78	12/03/20	9,000.00	...	399.78	Option sale to close-put <sup>25</sup>
BKNG 01/08/2021 PUT \$2,107.50 / CUSIP: / Symbol:							
12/09/20	1.000	7,299.82	12/09/20	7,950.00	...	-650.18	Option sale to close-put <sup>25</sup>
BKNG 01/08/2021 PUT \$2,120.00 / CUSIP: / Symbol:							
12/09/20	1.000	7,899.81	12/09/20	8,120.00	...	-220.19	Option sale to close-put <sup>25</sup>
BKNG 01/08/2021 PUT \$2,125.00 / CUSIP: / Symbol:							
12/04/20	1.000	9,049.78	12/04/20	8,700.00	...	349.78	Option sale to close-put <sup>25</sup>
BKNG 01/08/2021 PUT \$2,135.00 / CUSIP: / Symbol:							
12/09/20	1.000	8,899.79	12/09/20	8,450.00	...	449.79	Option sale to close-put <sup>25</sup>
BKNG 01/08/2021 PUT \$2,140.00 / CUSIP: / Symbol:							
12/10/20	1.000	9,899.77	12/10/20	8,800.00	...	1,099.77	Option sale to close-put <sup>25</sup>
BKNG 01/08/2021 PUT \$2,170.00 / CUSIP: / Symbol:							
12/09/20	1.000	11,499.73	12/09/20	9,240.00	...	2,259.73	Option sale to close-put <sup>25</sup>
BKNG 02/05/2021 PUT \$2,175.00 / CUSIP: / Symbol:							
12/29/20	1.000	9,359.78	12/29/20	9,000.00	...	359.78	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 148601016

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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BKNG 02/05/2021 PUT \$2,180.00 / CUSIP: / Symbol:							
12/29/20	1.000	9,739.77	12/29/20	9,200.00	...	539.77	Option sale to close-put <sup>25</sup>
BKNG 12/31/2020 PUT \$2,080.00 / CUSIP: / Symbol:							
12/03/20	1.000	8,149.80	12/02/20	7,950.00	...	199.80	Option sale to close-put <sup>25</sup>
12/08/20	1.000	6,399.84	12/03/20	7,200.00	...	-800.16	Option sale to close-put <sup>25</sup>
<b>Security total:</b>		<b>14,549.64</b>		<b>15,150.00</b>	...	<b>-600.36</b>	
BKNG 12/31/2020 PUT \$2,090.00 / CUSIP: / Symbol:							
12/02/20	1.000	8,599.79	12/02/20	8,000.00	...	599.79	Option sale to close-put <sup>25</sup>
BKNG 12/31/2020 PUT \$2,100.00 / CUSIP: / Symbol:							
12/02/20	1.000	8,849.79	12/02/20	8,300.00	...	549.79	Option sale to close-put <sup>25</sup>
CGC 04/09/2020 PUT \$14.00 / CUSIP: / Symbol:							
04/02/20	2.000	183.98	04/02/20	156.00	...	27.98	Option sale to close-put <sup>25</sup>
CGC 05/08/2020 CALL \$14.50 / CUSIP: / Symbol:							
05/04/20	1.000	159.98	05/01/20	98.00	...	61.98	Option sale to close-call <sup>25</sup>
CGC 05/08/2020 PUT \$15.00 / CUSIP: / Symbol:							
05/04/20	1.000	34.98	05/01/20	72.00	...	-37.02	Option sale to close-put <sup>25</sup>
CRON 05/15/2020 CALL \$6.50 / CUSIP: / Symbol:							
05/15/20	1.000	0.00	05/07/20	15.00	...	-15.00	Option expiration- long call
CRON 05/15/2020 PUT \$4.50 / CUSIP: / Symbol:							
05/08/20	1.000	2.98	05/07/20	5.00	...	-2.02	Option sale to close-put <sup>25</sup>
CRWD 01/08/2021 PUT \$175.00 / CUSIP: / Symbol:							
12/09/20	1.000	999.96	12/08/20	1,100.00	...	-100.04	Option sale to close-put <sup>25</sup>
CRWD 01/08/2021 PUT \$177.50 / CUSIP: / Symbol:							
12/10/20	1.000	1,039.96	12/10/20	995.04	...	44.92	Option sale to close-put <sup>25</sup>
12/10/20	1.000	1,019.96	12/10/20	1,020.00	0.04 W	0.00	Option sale to close-put <sup>25</sup>
<b>Security total:</b>		<b>2,059.92</b>		<b>2,015.04</b>	<b>0.04 W</b>	<b>44.92</b>	

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Robinhood Securities LLC

Account 148601016

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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CRWD 01/08/2021 PUT \$180.00 / CUSIP: / Symbol:							
2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	1.000	1,089.96	12/10/20	1,050.00	...	39.96	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	1,149.96	12/10/20	1,070.00	...	79.96	2 of 2 - Option sale to close-put <sup>25</sup>
12/10/20	2.000	2,239.92	Various	2,120.00	...	119.92	Total of 2 transactions
CRWD 01/08/2021 PUT \$197.50 / CUSIP: / Symbol:							
5 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	3.000	1,919.95	12/18/20	2,973.00	...	-1,053.05	1 of 5 - Option sale to close-put <sup>25</sup>
	2.000	1,279.96	12/18/20	2,000.00	...	-720.04	2 of 5 - Option sale to close-put <sup>25</sup>
	2.000	1,279.97	12/18/20	2,000.00	...	-720.03	3 of 5 - Option sale to close-put <sup>25</sup>
	2.000	1,279.97	12/18/20	1,982.00	...	-702.03	4 of 5 - Option sale to close-put <sup>25</sup>
	1.000	639.98	12/18/20	1,000.00	...	-360.02	5 of 5 - Option sale to close-put <sup>25</sup>
12/21/20	10.000	6,399.83	Various	9,955.00	...	-3,555.17	Total of 5 transactions
CRWD 01/08/2021 PUT \$200.00 / CUSIP: / Symbol:							
2 transactions for 12/18/20. Total proceeds and cost reported to the IRS.							
	1.000	1,099.96	12/18/20	1,040.00	...	59.96	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	1,049.96	12/18/20	920.00	...	129.96	2 of 2 - Option sale to close-put <sup>25</sup>
12/18/20	2.000	2,149.92	Various	1,960.00	...	189.92	Total of 2 transactions
2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	2.000	1,599.95	12/18/20	1,820.00	...	-220.05	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	799.98	12/18/20	990.00	...	-190.02	2 of 2 - Option sale to close-put <sup>25</sup>
12/21/20	3.000	2,399.93	Various	2,810.00	...	-410.07	Total of 2 transactions
	<b>Security total:</b>	<b>4,549.85</b>		<b>4,770.00</b>	...	<b>-220.15</b>	
CRWD 12/18/2020 PUT \$140.00 / CUSIP: / Symbol:							
2 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	1.000	799.98	11/19/20	790.00	...	9.98	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	799.97	11/19/20	770.00	...	29.97	2 of 2 - Option sale to close-put <sup>25</sup>
11/19/20	2.000	1,599.95	Various	1,560.00	...	39.95	Total of 2 transactions
DIS 01/08/2021 PUT \$175.00 / CUSIP: / Symbol:							
12/11/20	1.000	649.97	12/11/20	630.00	...	19.97	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 148601016

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DKL 05/15/2020 PUT \$12.50 / CUSIP: / Symbol:							
04/28/20	1.000	14.98	04/22/20	215.00	...	-200.02	Option sale to close-put <sup>25</sup>
DXC 05/15/2020 CALL \$13.00 / CUSIP: / Symbol:							
04/17/20	1.000	239.98	04/16/20	185.00	...	54.98	Option sale to close-call <sup>25</sup>
EQIX 01/15/2021 CALL \$680.00 / CUSIP: / Symbol:							
12/10/20	1.000	2,399.93	12/09/20	2,450.00	...	-50.07	Option sale to close-call <sup>25</sup>
EQIX 11/20/2020 PUT \$810.00 / CUSIP: / Symbol:							
10/08/20	1.000	3,499.91	10/08/20	3,860.00	...	-360.09	Option sale to close-put <sup>25</sup>
EXEL 05/15/2020 PUT \$24.00 / CUSIP: / Symbol:							
04/20/20	1.000	189.98	04/20/20	175.00	...	14.98	Option sale to close-put <sup>25</sup>
GLUU 05/15/2020 CALL \$10.00 / CUSIP: / Symbol:							
05/08/20	1.000	14.98	05/07/20	31.00	...	-16.02	Option sale to close-call <sup>25</sup>
GLUU 05/15/2020 PUT \$7.00 / CUSIP: / Symbol:							
04/20/20	1.000	39.98	04/14/20	105.00	65.02 W	0.00	Option sale to close-put <sup>25</sup>
05/15/20	1.000	0.00	05/07/20	85.02	...	-85.02	Option expiration- long put
	<b>Security total:</b>	<b>39.98</b>		<b>190.02</b>	<b>65.02 W</b>	<b>-85.02</b>	
GLUU 05/15/2020 PUT \$9.00 / CUSIP: / Symbol:							
04/20/20	1.000	154.98	04/20/20	150.00	...	4.98	Option sale to close-put <sup>25</sup>
GM 05/15/2020 CALL \$23.00 / CUSIP: / Symbol:							
05/06/20	1.000	119.98	05/05/20	48.00	...	71.98	Option sale to close-call <sup>25</sup>
GM 05/15/2020 PUT \$18.50 / CUSIP: / Symbol:							
05/06/20	1.000	8.98	05/05/20	37.00	...	-28.02	Option sale to close-put <sup>25</sup>
GOOG 12/24/2020 PUT \$1,800.00 / CUSIP: / Symbol:							
11/27/20	1.000	4,899.88	11/27/20	4,780.00	...	119.88	Option sale to close-put <sup>25</sup>
GOOG 12/31/2020 CALL \$1,780.00 / CUSIP: / Symbol:							
12/09/20	1.000	4,659.88	12/09/20	4,650.00	...	9.88	Option sale to close-call <sup>25</sup>

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Account 148601016

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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GOOG 12/31/2020 CALL \$1,795.00 / CUSIP: / Symbol:							
12/09/20	1.000	4,499.89	12/09/20	4,400.00	...	99.89	Option sale to close-call <sup>25</sup>
GOOG 12/31/2020 PUT \$1,805.00 / CUSIP: / Symbol:							
12/07/20	1.000	4,499.89	12/02/20	4,950.00	...	-450.11	Option sale to close-put <sup>25</sup>
GOOG 12/31/2020 PUT \$1,830.00 / CUSIP: / Symbol:							
12/03/20	1.000	5,519.86	12/02/20	5,230.00	...	289.86	Option sale to close-put <sup>25</sup>
GOOGL 12/24/2020 CALL \$1,755.0 / CUSIP: / Symbol:							
12/14/20	1.000	3,099.92	12/14/20	3,090.00	...	9.92	Option sale to close-call <sup>25</sup>
GOOGL 12/31/2020 CALL \$1,760.0 / CUSIP: / Symbol:							
12/14/20	1.000	3,999.90	12/14/20	3,870.00	...	129.90	Option sale to close-call <sup>25</sup>
GPRO 05/08/2020 CALL \$3.00 / CUSIP: / Symbol:							
05/08/20	1.000	54.98	05/07/20	46.00	...	8.98	Option sale to close-call <sup>25</sup>
GPRO 05/08/2020 PUT \$3.50 / CUSIP: / Symbol:							
05/08/20	1.000	9.98	05/07/20	38.00	...	-28.02	Option sale to close-put <sup>25</sup>
GPRO 11/13/2020 PUT \$6.00 / CUSIP: / Symbol:							
10/08/20	1.000	69.98	10/08/20	90.00	...	-20.02	Option sale to close-put <sup>25</sup>
JPM 06/12/2020 PUT \$98.50 / CUSIP: / Symbol:							
06/04/20	1.000	45.98	06/03/20	83.00	...	-37.02	Option sale to close-put <sup>25</sup>
MA 12/18/2020 CALL \$335.00 / CUSIP: / Symbol:							
11/20/20	1.000	584.97	11/20/20	765.03	...	-180.06	Option sale to close-call <sup>25</sup>
11/20/20	1.000	584.97	11/20/20	700.00	115.03 W	0.00	Option sale to close-call <sup>25</sup>
<b>Security total:</b>		<b>1,169.94</b>		<b>1,465.03</b>	<b>115.03 W</b>	<b>-180.06</b>	
MA 12/18/2020 CALL \$340.00 / CUSIP: / Symbol:							
11/20/20	1.000	569.97	11/20/20	570.00	...	-0.03	Option sale to close-call <sup>25</sup>
MELI 01/08/2021 PUT \$1,555.00 / CUSIP: / Symbol:							
12/03/20	1.000	8,699.79	12/03/20	8,300.00	...	399.79	Option sale to close-put <sup>25</sup>

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Robinhood Securities LLC

Account 148601016

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MELI 01/08/2021 PUT \$1,560.00 / CUSIP: / Symbol:							
12/03/20	1.000	8,699.79	12/03/20	8,350.00	...	349.79	Option sale to close-put <sup>25</sup>
MELI 01/08/2021 PUT \$1,565.00 / CUSIP: / Symbol:							
12/04/20	1.000	8,609.79	12/04/20	8,600.00	...	9.79	Option sale to close-put <sup>25</sup>
MELI 01/08/2021 PUT \$1,575.00 / CUSIP: / Symbol:							
12/11/20	1.000	7,649.82	12/10/20	7,550.00	...	99.82	Option sale to close-put <sup>25</sup>
MELI 01/08/2021 PUT \$1,585.00 / CUSIP: / Symbol:							
12/10/20	1.000	8,499.80	12/10/20	7,600.00	...	899.80	Option sale to close-put <sup>25</sup>
MELI 01/08/2021 PUT \$1,600.00 / CUSIP: / Symbol:							
3 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	1.000	7,909.81	12/08/20	7,750.00	...	159.81	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	8,549.80	12/08/20	8,350.00	...	199.80	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	8,049.81	12/08/20	7,750.00	...	299.81	3 of 3 - Option sale to close-put <sup>25</sup>
12/08/20	3.000	24,509.42	Various	23,850.00	...	659.42	Total of 3 transactions
12/09/20	1.000	7,699.81	12/08/20	7,490.00	...	209.81	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>32,209.23</b>		<b>31,340.00</b>	...	<b>869.23</b>	
MELI 12/18/2020 PUT \$1,390.00 / CUSIP: / Symbol:							
11/23/20	1.000	4,839.88	11/20/20	7,580.12	...	-2,740.24	Option sale to close-put <sup>25</sup>
11/23/20	1.000	4,839.88	11/20/20	6,320.00	1,480.12 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>9,679.76</b>		<b>13,900.12</b>	<b>1,480.12 W</b>	<b>-2,740.24</b>	
MELI 12/24/2020 PUT \$1,495.00 / CUSIP: / Symbol:							
12/02/20	1.000	6,599.84	11/27/20	6,500.00	...	99.84	Option sale to close-put <sup>25</sup>
MELI 12/24/2020 PUT \$1,505.00 / CUSIP: / Symbol:							
11/27/20	1.000	6,799.83	11/27/20	6,700.00	...	99.83	Option sale to close-put <sup>25</sup>
MELI 12/31/2020 PUT \$1,500.00 / CUSIP: / Symbol:							
11/30/20	1.000	7,799.81	11/27/20	7,000.00	...	799.81	Option sale to close-put <sup>25</sup>
12/02/20	1.000	7,399.82	11/30/20	6,950.00	...	449.82	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>15,199.63</b>		<b>13,950.00</b>	...	<b>1,249.63</b>	

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Robinhood Securities LLC

Account 148601016

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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MELI 12/31/2020 PUT \$1,520.00 / CUSIP: / Symbol:							
2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	1.000	8,399.80	11/30/20	7,500.00	...	899.80	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	8,699.79	11/30/20	7,000.00	...	1,699.79	2 of 2 - Option sale to close-put <sup>25</sup>
12/02/20	2.000	17,099.59	Various	14,500.00	...	2,599.59	Total of 2 transactions
12/09/20	1.000	3,249.91	12/02/20	7,200.00	...	-3,950.09	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>20,349.50</b>		<b>21,700.00</b>	...	<b>-1,350.50</b>	
MELI 12/31/2020 PUT \$1,560.00 / CUSIP: / Symbol:							
12/03/20	1.000	7,399.82	12/03/20	7,200.00	...	199.82	Option sale to close-put <sup>25</sup>
MSFT 10/30/2020 CALL \$230.00 / CUSIP: / Symbol:							
4 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	1.000	399.98	10/12/20	365.00	...	34.98	1 of 4 - Option sale to close-call <sup>25</sup>
	1.000	366.98	10/13/20	345.00	...	21.98	2 of 4 - Option sale to close-call <sup>25</sup>
	1.000	366.98	10/13/20	345.00	...	21.98	3 of 4 - Option sale to close-call <sup>25</sup>
	1.000	404.98	10/13/20	360.00	...	44.98	4 of 4 - Option sale to close-call <sup>25</sup>
10/13/20	4.000	1,538.92	Various	1,415.00	...	123.92	Total of 4 transactions
3 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	1.000	374.98	10/13/20	365.00	...	9.98	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	379.98	10/13/20	365.00	...	14.98	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	384.98	10/14/20	325.00	...	59.98	3 of 3 - Option sale to close-call <sup>25</sup>
10/14/20	3.000	1,139.94	Various	1,055.00	...	84.94	Total of 3 transactions
10/20/20	1.000	132.99	10/14/20	335.00	...	-202.01	Option sale to close-call <sup>25</sup>
2 transactions for 10/20/20. Total proceeds and cost reported to the IRS.							
	1.000	132.99	10/14/20	360.00	227.01 W	0.00	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	133.00	10/14/20	355.00	222.00 W	0.00	2 of 2 - Option sale to close-call <sup>25</sup>
10/20/20	2.000	265.99	Various	715.00	449.01 W	0.00	Total of 2 transactions
10/22/20	1.000	84.98	10/14/20	527.01	...	-442.03	Option sale to close-call <sup>25</sup>
10/26/20	1.000	38.98	10/14/20	522.00	...	-483.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>3,201.80</b>		<b>4,569.01</b>	<b>449.01 W</b>	<b>-918.20</b>	

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Robinhood Securities LLC

Account 148601016

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 10/30/2020 PUT \$215.00 / CUSIP: / Symbol:							
2 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	1.000	419.98	10/14/20	440.00	...	-20.02	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	449.98	10/14/20	445.00	...	4.98	2 of 2 - Option sale to close-put <sup>25</sup>
10/14/20	2.000	869.96	Various	885.00	...	-15.04	Total of 2 transactions
MSFT 11/06/2020 PUT \$210.00 / CUSIP: / Symbol:							
10/14/20	1.000	439.98	10/14/20	435.00	...	4.98	Option sale to close-put <sup>25</sup>
MSFT 11/27/2020 CALL \$227.50 / CUSIP: / Symbol:							
11/09/20	1.000	379.98	11/09/20	375.00	...	4.98	Option sale to close-call <sup>25</sup>
MSFT 12/11/2020 CALL \$212.50 / CUSIP: / Symbol:							
11/19/20	1.000	449.98	11/18/20	490.00	...	-40.02	Option sale to close-call <sup>25</sup>
MSFT 12/11/2020 CALL \$215.00 / CUSIP: / Symbol:							
11/18/20	2.000	839.97	11/18/20	820.00	...	19.97	Option sale to close-call <sup>25</sup>
MSFT 12/18/2020 PUT \$220.00 / CUSIP: / Symbol:							
11/09/20	2.000	1,169.96	11/09/20	1,130.00	...	39.96	Option sale to close-put <sup>25</sup>
MU 01/08/2021 CALL \$73.50 / CUSIP: / Symbol:							
12/04/20	1.000	444.98	12/04/20	470.00	...	-25.02	Option sale to close-call <sup>25</sup>
MU 12/31/2020 CALL \$65.00 / CUSIP: / Symbol:							
12/02/20	2.000	949.96	12/02/20	940.00	...	9.96	Option sale to close-call <sup>25</sup>
NET 01/08/2021 PUT \$84.00 / CUSIP: / Symbol:							
12/10/20	1.000	609.97	12/10/20	600.00	...	9.97	Option sale to close-put <sup>25</sup>
NET 01/08/2021 PUT \$85.00 / CUSIP: / Symbol:							
12/10/20	1.000	669.97	12/10/20	650.00	...	19.97	Option sale to close-put <sup>25</sup>
NET 05/15/2020 CALL \$31.00 / CUSIP: / Symbol:							
05/07/20	1.000	149.98	05/07/20	115.00	...	34.98	Option sale to close-call <sup>25</sup>
05/08/20	1.000	4.98	05/07/20	155.00	...	-150.02	Option sale to close-call <sup>25</sup>
	<b>Security total:</b>	<b>154.96</b>		<b>270.00</b>	...	<b>-115.04</b>	

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Account 148601016

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NET 05/15/2020 PUT \$22.50 / CUSIP: / Symbol:							
05/08/20	1.000	19.98	05/07/20	55.00	...	-35.02	Option sale to close-put <sup>25</sup>
NGD 05/15/2020 PUT \$1.00 / CUSIP: / Symbol:							
04/22/20	1.000	21.98	04/22/20	25.00	...	-3.02	Option sale to close-put <sup>25</sup>
NIO 12/11/2020 PUT \$45.00 / CUSIP: / Symbol:							
11/17/20	1.000	594.97	11/17/20	560.00	...	34.97	Option sale to close-put <sup>25</sup>
11/18/20	1.000	579.97	11/17/20	580.00	...	-0.03	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>1,174.94</b>		<b>1,140.00</b>	...	<b>34.94</b>	
NKLA 12/31/2020 CALL \$16.50 / CUSIP: / Symbol:							
	<i>2 transactions for 12/14/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	164.99	12/14/20	165.04	...	-0.05	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	164.99	12/14/20	165.02	...	-0.03	2 of 2 - Option sale to close-call <sup>25</sup>
12/14/20	2.000	329.98	Various	330.06	...	-0.08	Total of 2 transactions
	<i>3 transactions for 12/14/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	164.98	12/14/20	165.00	0.02 W	0.00	1 of 3 - Option sale to close-call <sup>25</sup>
	1.000	164.98	12/14/20	165.00	0.02 W	0.00	2 of 3 - Option sale to close-call <sup>25</sup>
	1.000	164.98	12/14/20	165.02	0.04 W	0.00	3 of 3 - Option sale to close-call <sup>25</sup>
12/14/20	3.000	494.94	Various	495.02	0.08 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>824.92</b>		<b>825.08</b>	<b>0.08 W</b>	<b>-0.08</b>	
NKTR 05/15/2020 CALL \$21.50 / CUSIP: / Symbol:							
05/08/20	1.000	104.98	05/07/20	40.00	...	64.98	Option sale to close-call <sup>25</sup>
NKTR 05/15/2020 PUT \$16.50 / CUSIP: / Symbol:							
05/15/20	1.000	0.00	05/07/20	35.00	...	-35.00	Option expiration- long put
NRZ 05/15/2020 PUT \$6.00 / CUSIP: / Symbol:							
04/17/20	1.000	134.98	04/17/20	125.00	...	9.98	Option sale to close-put <sup>25</sup>
NTGR 05/15/2020 PUT \$24.00 / CUSIP: / Symbol:							
05/08/20	1.000	64.98	05/08/20	65.00	...	-0.02	Option sale to close-put <sup>25</sup>

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Account 148601016

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ONDK 05/15/2020 CALL \$1.00 / CUSIP: / Symbol:							
2 transactions for 04/21/20. Total proceeds and cost reported to the IRS.							
	1.000	29.98	04/20/20	45.00	...	-15.02	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	29.98	04/20/20	45.00	...	-15.02	2 of 2 - Option sale to close-call <sup>25</sup>
04/21/20	2.000	59.96	Various	90.00	...	-30.04	Total of 2 transactions
PTON 11/06/2020 PUT \$105.00 / CUSIP: / Symbol:							
10/01/20	1.000	1,159.96	10/01/20	1,140.00	...	19.96	Option sale to close-put <sup>25</sup>
QNST 04/17/2020 CALL \$7.50 / CUSIP: / Symbol:							
2 transactions for 04/17/20. Total proceeds and cost reported to the IRS.							
	1.000	59.98	04/16/20	20.00	...	39.98	1 of 2 - Option sale to close-call <sup>25</sup>
	3.000	149.98	04/16/20	60.00	...	89.98	2 of 2 - Option sale to close-call <sup>25</sup>
04/17/20	4.000	209.96	Various	80.00	...	129.96	Total of 2 transactions
QS 01/08/2021 PUT \$120.00 / CUSIP: / Symbol:							
12/22/20	1.000	2,779.92	12/22/20	3,350.00	...	-570.08	Option sale to close-put <sup>25</sup>
ROKU 01/08/2021 PUT \$320.00 / CUSIP: / Symbol:							
2 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	1.000	2,049.94	12/09/20	2,020.00	...	29.94	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	2,199.94	12/09/20	2,150.00	...	49.94	2 of 2 - Option sale to close-put <sup>25</sup>
12/09/20	2.000	4,249.88	Various	4,170.00	...	79.88	Total of 2 transactions
12/11/20	1.000	2,099.94	12/10/20	2,010.00	...	89.94	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>6,349.82</b>		<b>6,180.00</b>	...	<b>169.82</b>	
ROKU 01/08/2021 PUT \$322.50 / CUSIP: / Symbol:							
12/14/20	1.000	1,959.94	12/11/20	2,060.00	...	-100.06	Option sale to close-put <sup>25</sup>
ROKU 01/08/2021 PUT \$325.00 / CUSIP: / Symbol:							
12/11/20	1.000	2,229.94	12/11/20	2,130.00	...	99.94	Option sale to close-put <sup>25</sup>
ROKU 01/08/2021 PUT \$330.00 / CUSIP: / Symbol:							
12/14/20	1.000	2,299.93	12/11/20	2,200.00	...	99.93	Option sale to close-put <sup>25</sup>

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Account 148601016

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SBSW 05/15/2020 CALL \$5.00 / CUSIP: / Symbol:							
04/22/20	1.000	174.98	04/21/20	160.00	...	14.98	Option sale to close-call <sup>25</sup>
SNAP 05/01/2020 PUT \$15.50 / CUSIP: / Symbol:							
04/28/20	1.000	4.98	04/22/20	86.00	...	-81.02	Option sale to close-put <sup>25</sup>
SNAP 05/01/2020 PUT \$16.00 / CUSIP: / Symbol:							
04/28/20	2.000	25.98	04/22/20	166.00	...	-140.02	Option sale to close-put <sup>25</sup>
SWKS 01/08/2021 CALL \$139.00 / CUSIP: / Symbol:							
12/11/20	1.000	609.97	12/11/20	600.00	...	9.97	Option sale to close-call <sup>25</sup>
TME 04/17/2020 PUT \$11.00 / CUSIP: / Symbol:							
04/03/20	2.000	119.98	04/02/20	110.00	...	9.98	Option sale to close-put <sup>25</sup>
TSLA 01/08/2021 PUT \$645.00 / CUSIP: / Symbol:							
12/07/20	1.000	6,699.84	12/07/20	6,550.00	...	149.84	Option sale to close-put <sup>25</sup>
TSLA 12/18/2020 PUT \$560.00 / CUSIP: / Symbol:							
	<i>2 transactions for 11/25/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	4,149.89	11/25/20	4,130.00	...	19.89	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	4,319.89	11/25/20	4,280.00	...	39.89	2 of 2 - Option sale to close-put <sup>25</sup>
11/25/20	2.000	8,469.78	Various	8,410.00	...	59.78	Total of 2 transactions
TSLA 12/24/2020 PUT \$540.00 / CUSIP: / Symbol:							
11/25/20	1.000	4,239.89	11/24/20	4,230.00	...	9.89	Option sale to close-put <sup>25</sup>
TUFN 01/17/2020 CALL \$15.00 / CUSIP: / Symbol:							
01/15/20	1.000	14.98	01/09/20	15.00	...	-0.02	Option sale to close-call <sup>25</sup>
TWTR 11/06/2020 PUT \$47.00 / CUSIP: / Symbol:							
10/02/20	1.000	409.98	10/01/20	405.00	...	4.98	Option sale to close-put <sup>25</sup>
ZM 01/08/2021 CALL \$385.00 / CUSIP: / Symbol:							
12/09/20	1.000	2,839.92	12/09/20	2,750.00	...	89.92	Option sale to close-call <sup>25</sup>
ZM 01/08/2021 CALL \$390.00 / CUSIP: / Symbol:							
12/10/20	1.000	2,599.93	12/09/20	2,840.00	...	-240.07	Option sale to close-call <sup>25</sup>

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Robinhood Securities LLC

Account 148601016

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ZM 12/18/2020 PUT \$430.00 / CUSIP: / Symbol:							
3 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	1.000	3,739.90	11/20/20	3,720.00	...	19.90	1 of 3 - Option sale to close-put <sup>25</sup>
	1.000	3,719.90	11/20/20	3,680.10	...	39.80	2 of 3 - Option sale to close-put <sup>25</sup>
	1.000	3,729.90	11/20/20	3,690.00	...	39.90	3 of 3 - Option sale to close-put <sup>25</sup>
11/20/20	3.000	11,189.70	Various	11,090.10	...	99.60	Total of 3 transactions
11/20/20	1.000	3,719.90	11/20/20	3,720.00	0.10 W	0.00	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>14,909.60</b>		<b>14,810.10</b>	<b>0.10 W</b>	<b>99.60</b>	
ZNGA 12/11/2020 CALL \$8.50 / CUSIP: / Symbol:							
11/10/20	5.000	99.98	11/09/20	165.00	...	-65.02	Option sale to close-call <sup>25</sup>
ZS 06/05/2020 PUT \$100.00 / CUSIP: / Symbol:							
06/01/20	1.000	154.98	06/01/20	140.00	...	14.98	Option sale to close-put <sup>25</sup>
06/02/20	1.000	129.98	06/01/20	145.00	...	-15.02	Option sale to close-put <sup>25</sup>
	<b>Security total:</b>	<b>284.96</b>		<b>285.00</b>	...	<b>-0.04</b>	
ZS 12/18/2020 PUT \$135.00 / CUSIP: / Symbol:							
2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	1.000	664.97	11/20/20	650.00	...	14.97	1 of 2 - Option sale to close-put <sup>25</sup>
	1.000	659.97	11/20/20	640.00	...	19.97	2 of 2 - Option sale to close-put <sup>25</sup>
11/20/20	2.000	1,324.94	Various	1,290.00	...	34.94	Total of 2 transactions
ZS 12/31/2020 PUT \$185.00 / CUSIP: / Symbol:							
12/03/20	1.000	1,014.96	12/03/20	1,000.00	...	14.96	Option sale to close-put <sup>25</sup>
ABBOTT LABORATORIES / CUSIP: 002824100 / Symbol:							
2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	19.000	1,698.56	05/19/20	1,697.65	...	0.91	1 of 2 - Sale <sup>25</sup>
	31.000	2,771.33	05/19/20	2,769.85	...	1.48	2 of 2 - Sale <sup>25</sup>
05/20/20	50.000	4,469.89	Various	4,467.50	...	2.39	Total of 2 transactions
ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol:							
05/29/20	5.000	263.75	05/28/20	257.10	...	6.65	Sale <sup>25</sup>
05/29/20	3.000	158.25	05/26/20	162.54	4.29 W	0.00	Sale <sup>25</sup>
06/04/20	7.000	373.45	06/03/20	368.82	...	4.63	Sale <sup>25</sup>

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Account 148601016

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol: (cont'd)							
06/04/20	3.000	160.05	06/03/20	162.35	2.30 W	0.00	Sale <sup>25</sup>
06/05/20	97.000	5,095.28	06/05/20	5,078.91	...	16.37	Sale <sup>25</sup>
06/05/20	3.000	157.59	06/05/20	159.38	1.79 W	0.00	Sale <sup>25</sup>
21 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	52.50	06/08/20	52.61	...	-0.11	1 of 21 - Sale <sup>25</sup>
	1.000	52.50	06/08/20	52.61	...	-0.11	2 of 21 - Sale <sup>25</sup>
	1.000	52.50	06/08/20	52.60	...	-0.10	3 of 21 - Sale <sup>25</sup>
	1.000	52.50	06/08/20	52.45	...	0.05	4 of 21 - Sale <sup>25</sup>
	14.000	734.98	06/08/20	734.30	...	0.68	5 of 21 - Sale <sup>25</sup>
	24.000	1,261.16	06/08/20	1,259.76	...	1.40	6 of 21 - Sale <sup>25</sup>
	33.000	1,732.45	06/08/20	1,730.85	...	1.60	7 of 21 - Sale <sup>25</sup>
	46.000	2,414.93	06/08/20	2,412.70	...	2.23	8 of 21 - Sale <sup>25</sup>
	73.000	3,836.05	06/08/20	3,831.77	...	4.28	9 of 21 - Sale <sup>25</sup>
	97.000	5,092.37	06/08/20	5,087.64	...	4.73	10 of 21 - Sale <sup>25</sup>
	100.000	5,249.87	06/08/20	5,245.00	...	4.87	11 of 21 - Sale <sup>25</sup>
	100.000	5,249.87	06/08/20	5,244.99	...	4.88	12 of 21 - Sale <sup>25</sup>
	100.000	5,249.87	06/08/20	5,244.99	...	4.88	13 of 21 - Sale <sup>25</sup>
	100.000	5,249.87	06/08/20	5,244.99	...	4.88	14 of 21 - Sale <sup>25</sup>
	97.000	5,097.22	06/08/20	5,091.53	...	5.69	15 of 21 - Sale <sup>25</sup>
	100.000	5,254.87	06/08/20	5,249.00	...	5.87	16 of 21 - Sale <sup>25</sup>
	97.000	5,099.16	06/08/20	5,092.50	...	6.66	17 of 21 - Sale <sup>25</sup>
	100.000	5,256.87	06/08/20	5,250.00	...	6.87	18 of 21 - Sale <sup>25</sup>
	197.000	10,342.24	06/08/20	10,332.65	...	9.59	19 of 21 - Sale <sup>25</sup>
	397.000	20,841.98	06/08/20	20,822.65	...	19.33	20 of 21 - Sale <sup>25</sup>
	397.000	20,841.98	06/08/20	20,822.65	...	19.33	21 of 21 - Sale <sup>25</sup>
06/08/20	2,076.000	109,015.74	Various	108,908.24	...	107.50	Total of 21 transactions
10 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	3.000	157.71	06/08/20	159.29	1.58 W	0.00	1 of 10 - Sale <sup>25</sup>
	3.000	157.65	06/08/20	159.05	1.40 W	0.00	2 of 10 - Sale <sup>25</sup>
	3.000	157.65	06/08/20	158.87	1.22 W	0.00	3 of 10 - Sale <sup>25</sup>
	3.000	157.50	06/08/20	158.57	1.07 W	0.00	4 of 10 - Sale <sup>25</sup>
	3.000	157.50	06/08/20	158.42	0.92 W	0.00	5 of 10 - Sale <sup>25</sup>
	3.000	157.50	06/08/20	158.27	0.77 W	0.00	6 of 10 - Sale <sup>25</sup>
	3.000	157.50	06/08/20	158.12	0.62 W	0.00	7 of 10 - Sale <sup>25</sup>

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Account 148601016

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ADVANCED MICRO DEVICES, INC. C OMMON STOCK / CUSIP: 007903107 / Symbol: (cont'd)							
	1.000	52.50	06/08/20	52.66	0.16 W	0.00	8 of 10 - Sale <sup>25</sup>
	1.000	52.50	06/08/20	52.66	0.16 W	0.00	9 of 10 - Sale <sup>25</sup>
	1.000	52.50	06/08/20	52.65	0.15 W	0.00	10 of 10 - Sale <sup>25</sup>
06/08/20	24.000	1,260.51	Various	1,268.56	8.05 W	0.00	Total of 10 transactions
	<b>Security total:</b>	<b>116,484.62</b>		<b>116,365.90</b>	<b>16.43 W</b>	<b>135.15</b>	
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
11/18/20	5.000	1,283.97	11/17/20	1,283.15	...	0.82	Sale <sup>25</sup>
	3 transactions for 11/19/20. Total proceeds and cost reported to the IRS.						
	1.000	257.99	11/17/20	256.63	...	1.36	1 of 3 - Sale <sup>25</sup>
	4.000	1,031.98	11/17/20	1,026.80	...	5.18	2 of 3 - Sale <sup>25</sup>
	5.000	1,292.47	11/18/20	1,268.25	...	24.22	3 of 3 - Sale <sup>25</sup>
11/19/20	10.000	2,582.44	Various	2,551.68	...	30.76	Total of 3 transactions
11/30/20	9.000	2,389.44	11/30/20	2,376.00	...	13.44	Sale <sup>25</sup>
	3 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	1.000	267.69	11/30/20	264.00	...	3.69	1 of 3 - Sale <sup>25</sup>
	4.000	1,070.77	11/30/20	1,060.00	...	10.77	2 of 3 - Sale <sup>25</sup>
	4.000	1,070.78	11/30/20	1,058.80	...	11.98	3 of 3 - Sale <sup>25</sup>
12/03/20	9.000	2,409.24	Various	2,382.80	...	26.44	Total of 3 transactions
	2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.						
	1.000	264.99	11/30/20	264.70	...	0.29	1 of 2 - Sale <sup>25</sup>
	6.000	1,589.96	12/07/20	1,582.62	...	7.34	2 of 2 - Sale <sup>25</sup>
12/10/20	7.000	1,854.95	Various	1,847.32	...	7.63	Total of 2 transactions
	2 transactions for 12/17/20. Total proceeds and cost reported to the IRS.						
	3.000	792.26	12/07/20	791.31	...	0.95	1 of 2 - Sale <sup>25</sup>
	2.000	528.17	12/14/20	515.26	...	12.91	2 of 2 - Sale <sup>25</sup>
12/17/20	5.000	1,320.43	Various	1,306.57	...	13.86	Total of 2 transactions
12/29/20	1.000	236.54	12/24/20	222.35	...	14.19	Sale <sup>25</sup>
12/29/20	2.000	473.08	12/22/20	512.38	39.30 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>12,550.09</b>		<b>12,482.25</b>	<b>39.30 W</b>	<b>107.14</b>	

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ALPHABET INC. CLASS C CAPITAL STOCK / CUSIP: 02079K107 / Symbol:							
03/26/20	1.000	1,124.97	03/25/20	1,095.87	...	29.10	Sale <sup>25</sup>
		2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.					
	3.000	4,104.41	05/12/20	4,104.00	...	0.41	1 of 2 - Sale <sup>25</sup>
	2.000	2,740.75	05/13/20	2,713.70	...	27.05	2 of 2 - Sale <sup>25</sup>
05/15/20	5.000	6,845.16	Various	6,817.70	...	27.46	Total of 2 transactions
		5 transactions for 05/18/20. Total proceeds and cost reported to the IRS.					
	1.000	1,368.27	05/15/20	1,351.36	...	16.91	1 of 5 - Sale <sup>25</sup>
	1.000	1,368.26	05/15/20	1,350.67	...	17.59	2 of 5 - Sale <sup>25</sup>
	1.000	1,368.27	05/15/20	1,350.67	...	17.60	3 of 5 - Sale <sup>25</sup>
	1.000	1,368.27	05/15/20	1,341.00	...	27.27	4 of 5 - Sale <sup>25</sup>
	1.000	1,381.82	05/15/20	1,351.36	...	30.46	5 of 5 - Sale <sup>25</sup>
05/18/20	5.000	6,854.89	Various	6,745.06	...	109.83	Total of 5 transactions
09/04/20	1.000	1,579.96	09/04/20	1,561.00	...	18.96	Sale <sup>25</sup>
		2 transactions for 09/08/20. Total proceeds and cost reported to the IRS.					
	1.000	1,558.96	09/08/20	1,555.00	...	3.96	1 of 2 - Sale <sup>25</sup>
	1.000	1,561.96	09/08/20	1,553.00	...	8.96	2 of 2 - Sale <sup>25</sup>
09/08/20	2.000	3,120.92	Various	3,108.00	...	12.92	Total of 2 transactions
09/10/20	1.000	1,574.96	09/08/20	1,550.00	...	24.96	Sale <sup>25</sup>
09/14/20	1.000	1,558.96	09/10/20	1,565.00	6.04 W	0.00	Sale <sup>25</sup>
09/16/20	1.000	1,546.96	09/16/20	1,541.08	...	5.88	Sale <sup>25</sup>
09/16/20	1.000	1,549.96	09/10/20	1,556.04	6.08 W	0.00	Sale <sup>25</sup>
		2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.					
	1.000	1,429.97	09/16/20	1,537.00	107.03 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	1,429.96	09/16/20	1,534.00	104.04 W	0.00	2 of 2 - Sale <sup>25</sup>
09/25/20	2.000	2,859.93	Various	3,071.00	211.07 W	0.00	Total of 2 transactions
		2 transactions for 09/28/20. Total proceeds and cost reported to the IRS.					
	1.000	1,467.96	09/23/20	1,523.03	55.07 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	1,468.96	09/23/20	1,520.04	51.08 W	0.00	2 of 2 - Sale <sup>25</sup>
09/28/20	2.000	2,936.92	Various	3,043.07	106.15 W	0.00	Total of 2 transactions
09/29/20	1.000	1,467.96	09/25/20	1,473.07	5.11 W	0.00	Sale <sup>25</sup>
09/30/20	1.000	1,482.96	09/25/20	1,469.08	...	13.88	Sale <sup>25</sup>

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(continued)

02/14/2021

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ALPHABET INC. CLASS C CAPITAL STOCK / CUSIP: 02079K107 / Symbol: (cont'd)							
2 transactions for 10/05/20. Total proceeds and cost reported to the IRS.							
	1.000	1,471.96	10/02/20	1,462.00	...	9.96	1 of 2 - Sale <sup>25</sup>
	1.000	1,478.37	10/02/20	1,459.14	...	19.23	2 of 2 - Sale <sup>25</sup>
10/05/20	2.000	2,950.33	Various	2,921.14	...	29.19	Total of 2 transactions
10/05/20	1.000	1,471.97	10/02/20	1,472.11	0.14 W	0.00	Sale <sup>25</sup>
10/06/20	1.000	1,479.96	10/06/20	1,470.00	...	9.96	Sale <sup>25</sup>
3 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	1.000	1,458.96	10/07/20	1,451.18	...	7.78	1 of 3 - Sale <sup>25</sup>
	1.000	1,461.96	10/07/20	1,450.57	...	11.39	2 of 3 - Sale <sup>25</sup>
	1.000	1,464.07	10/07/20	1,441.00	...	23.07	3 of 3 - Sale <sup>25</sup>
10/07/20	3.000	4,384.99	Various	4,342.75	...	42.24	Total of 3 transactions
3 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	1.000	1,454.97	10/06/20	1,460.00	5.03 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	1,454.96	10/07/20	1,456.00	1.04 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	1,455.96	10/07/20	1,460.53	4.57 W	0.00	3 of 3 - Sale <sup>25</sup>
10/07/20	3.000	4,365.89	Various	4,376.53	10.64 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>49,157.65</b>		<b>49,178.50</b>	<b>345.23 W</b>	<b>324.38</b>	
ALPHABET INC. CLASS A COMMON S TOCK / CUSIP: 02079K305 / Symbol:							
03/30/20	1.000	1,149.97	03/27/20	1,109.43	...	40.54	Sale <sup>25</sup>
05/19/20	1.000	1,391.11	05/18/20	1,385.83	...	5.28	Sale <sup>25</sup>
2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	1.000	1,393.26	05/19/20	1,374.60	...	18.66	1 of 2 - Sale <sup>25</sup>
	1.000	1,393.27	05/19/20	1,374.60	...	18.67	2 of 2 - Sale <sup>25</sup>
05/20/20	2.000	2,786.53	Various	2,749.20	...	37.33	Total of 2 transactions
07/30/20	1.000	1,529.96	07/30/20	1,523.74	...	6.22	Sale <sup>25</sup>
08/10/20	1.000	1,494.99	08/10/20	1,492.00	...	2.99	Sale <sup>25</sup>
08/11/20	1.000	1,496.83	08/11/20	1,486.00	...	10.83	Sale <sup>25</sup>
2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	1.000	1,493.96	08/11/20	1,482.71	...	11.25	1 of 2 - Sale <sup>25</sup>
	1.000	1,499.96	08/11/20	1,480.00	...	19.96	2 of 2 - Sale <sup>25</sup>
08/12/20	2.000	2,993.92	Various	2,962.71	...	31.21	Total of 2 transactions

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Robinhood Securities LLC

Account 148601016

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALPHABET INC. CLASS A COMMON S TOCK / CUSIP: 02079K305 / Symbol: (cont'd)							
2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	1.000	1,561.96	09/04/20	1,553.00	...	8.96	1 of 2 - Sale <sup>25</sup>
	1.000	1,566.96	09/04/20	1,547.00	...	19.96	2 of 2 - Sale <sup>25</sup>
09/04/20	2.000	3,128.92	Various	3,100.00	...	28.92	Total of 2 transactions
09/08/20	1.000	1,549.96	09/08/20	1,549.00	...	0.96	Sale <sup>25</sup>
6 transactions for 09/09/20. Total proceeds and cost reported to the IRS.							
	1.000	1,540.16	09/08/20	1,539.00	...	1.16	1 of 6 - Sale <sup>25</sup>
	1.000	1,540.16	09/08/20	1,537.00	...	3.16	2 of 6 - Sale <sup>25</sup>
	1.000	1,553.28	09/08/20	1,530.00	...	23.28	3 of 6 - Sale <sup>25</sup>
	1.000	1,553.27	09/08/20	1,525.00	...	28.27	4 of 6 - Sale <sup>25</sup>
	1.000	1,545.97	09/09/20	1,540.00	...	5.97	5 of 6 - Sale <sup>25</sup>
	1.000	1,545.96	09/09/20	1,528.00	...	17.96	6 of 6 - Sale <sup>25</sup>
09/09/20	6.000	9,278.80	Various	9,199.00	...	79.80	Total of 6 transactions
09/11/20	1.000	1,534.96	09/10/20	1,525.00	...	9.96	Sale <sup>25</sup>
09/11/20	1.000	1,534.97	09/10/20	1,547.00	12.03 W	0.00	Sale <sup>25</sup>
09/14/20	1.000	1,550.45	09/10/20	1,537.03	...	13.42	Sale <sup>25</sup>
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	1,541.96	09/11/20	1,528.00	...	13.96	1 of 2 - Sale <sup>25</sup>
	1.000	1,548.96	09/14/20	1,525.00	...	23.96	2 of 2 - Sale <sup>25</sup>
09/15/20	2.000	3,090.92	Various	3,053.00	...	37.92	Total of 2 transactions
3 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	1.000	1,539.96	09/15/20	1,534.00	...	5.96	1 of 3 - Sale <sup>25</sup>
	1.000	1,549.96	09/15/20	1,540.00	...	9.96	2 of 3 - Sale <sup>25</sup>
	1.000	1,535.96	09/16/20	1,524.00	...	11.96	3 of 3 - Sale <sup>25</sup>
09/16/20	3.000	4,625.88	Various	4,598.00	...	27.88	Total of 3 transactions
2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	1.000	1,424.97	09/16/20	1,530.00	105.03 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	1,424.96	09/16/20	1,525.00	100.04 W	0.00	2 of 2 - Sale <sup>25</sup>
09/25/20	2.000	2,849.93	Various	3,055.00	205.07 W	0.00	Total of 2 transactions
09/29/20	1.000	1,465.96	09/23/20	1,438.00	...	27.96	Sale <sup>25</sup>
4 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	1.000	1,463.96	09/16/20	1,629.03	165.07 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	1,463.96	09/16/20	1,617.04	153.08 W	0.00	2 of 4 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 148601016

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALPHABET INC. CLASS A COMMON S TOCK / CUSIP: 02079K305 / Symbol: (cont'd)							
	1.000	1,465.96	09/23/20	1,603.07	137.11 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	1,465.97	09/23/20	1,591.08	125.11 W	0.00	4 of 4 - Sale <sup>25</sup>
09/29/20	4.000	5,859.85	Various	6,440.22	580.37 W	0.00	Total of 4 transactions
	3 transactions for 09/30/20. Total proceeds and cost reported to the IRS.						
	1.000	1,477.97	09/23/20	1,430.00	...	47.97	1 of 3 - Sale <sup>25</sup>
	2.000	2,955.93	09/23/20	2,876.00	...	79.93	2 of 3 - Sale <sup>25</sup>
09/30/20	1.000	1,480.96	09/29/20	1,474.48	...	6.48	3 of 3 - Sale <sup>25</sup>
	4.000	5,914.86	Various	5,780.48	...	134.38	Total of 3 transactions
	4 transactions for 09/30/20. Total proceeds and cost reported to the IRS.						
	1.000	1,477.97	09/23/20	1,567.11	89.14 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	1,477.96	09/23/20	1,555.11	77.15 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	1,480.16	09/23/20	1,498.64	18.48 W	0.00	3 of 4 - Sale <sup>25</sup>
09/30/20	1.000	1,481.96	09/23/20	1,486.65	4.69 W	0.00	4 of 4 - Sale <sup>25</sup>
	4.000	5,918.05	Various	6,107.51	189.46 W	0.00	Total of 4 transactions
	6 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	1.000	1,464.96	10/02/20	1,457.00	...	7.96	1 of 6 - Sale <sup>25</sup>
	1.000	1,469.96	10/02/20	1,461.69	...	8.27	2 of 6 - Sale <sup>25</sup>
	1.000	1,464.97	10/02/20	1,454.00	...	10.97	3 of 6 - Sale <sup>25</sup>
	1.000	1,464.96	10/02/20	1,450.00	...	14.96	4 of 6 - Sale <sup>25</sup>
	1.000	1,474.96	10/02/20	1,457.00	...	17.96	5 of 6 - Sale <sup>25</sup>
	1.000	1,466.96	10/02/20	1,448.00	...	18.96	6 of 6 - Sale <sup>25</sup>
10/02/20	6.000	8,806.77	Various	8,727.69	...	79.08	Total of 6 transactions
10/05/20	1.000	1,468.96	10/02/20	1,457.00	...	11.96	Sale <sup>25</sup>
	3 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	1.000	1,471.96	10/06/20	1,469.00	...	2.96	1 of 3 - Sale <sup>25</sup>
	1.000	1,477.96	10/06/20	1,470.00	...	7.96	2 of 3 - Sale <sup>25</sup>
	1.000	1,478.46	10/06/20	1,464.00	...	14.46	3 of 3 - Sale <sup>25</sup>
10/06/20	3.000	4,428.38	Various	4,403.00	...	25.38	Total of 3 transactions
	3 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	1.000	1,459.96	10/07/20	1,455.16	...	4.80	1 of 3 - Sale <sup>25</sup>
	1.000	1,461.96	10/07/20	1,438.00	...	23.96	2 of 3 - Sale <sup>25</sup>
	1.000	1,461.96	10/07/20	1,437.00	...	24.96	3 of 3 - Sale <sup>25</sup>
10/07/20	3.000	4,383.88	Various	4,330.16	...	53.72	Total of 3 transactions

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Robinhood Securities LLC

Account 148601016

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALPHABET INC. CLASS A COMMON S TOCK / CUSIP: 02079K305 / Symbol: (cont'd)							
<i>4 transactions for 10/07/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	1,450.96	10/07/20	1,456.00	5.04 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	1,450.96	10/07/20	1,460.04	9.08 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	1,450.96	10/07/20	1,462.08	11.12 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	1,454.96	10/07/20	1,462.12	7.16 W	0.00	4 of 4 - Sale <sup>25</sup>
10/07/20	4.000	5,807.84	Various	5,840.24	32.40 W	0.00	Total of 4 transactions
10/08/20	1.000	1,472.03	10/07/20	1,458.00	...	14.03	Sale <sup>25</sup>
	<b>Security total:</b>	<b>87,514.68</b>		<b>87,854.24</b>	<b>1,019.33 W</b>	<b>679.77</b>	
AMARIN CORPORATION PLC / CUSIP: 023111206 / Symbol:							
04/01/20	99.000	440.54	03/31/20	397.98	...	42.56	Sale <sup>25</sup>
<i>2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	4.89	03/31/20	4.02	...	0.87	1 of 2 - Sale <sup>25</sup>
	49.000	239.61	04/03/20	232.75	...	6.86	2 of 2 - Sale <sup>25</sup>
04/07/20	50.000	244.50	Various	236.77	...	7.73	Total of 2 transactions
04/13/20	1.000	6.39	04/03/20	4.75	...	1.64	Sale <sup>25</sup>
	<b>Security total:</b>	<b>691.43</b>		<b>639.50</b>	...	<b>51.93</b>	
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
05/13/20	1.000	2,369.94	05/12/20	2,350.00	...	19.94	Sale <sup>25</sup>
05/15/20	1.000	2,384.94	05/15/20	2,358.00	...	26.94	Sale <sup>25</sup>
05/18/20	1.000	2,423.55	05/18/20	2,391.00	...	32.55	Sale <sup>25</sup>
05/21/20	2.000	4,930.29	05/21/20	4,912.00	...	18.29	Sale <sup>25</sup>
05/27/20	1.000	2,374.94	05/21/20	2,465.00	90.06 W	0.00	Sale <sup>25</sup>
05/28/20	1.000	2,433.94	05/21/20	2,546.56	112.62 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 06/01/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	2,466.94	05/26/20	2,428.00	...	38.94	1 of 2 - Sale <sup>25</sup>
	1.000	2,475.94	05/27/20	2,446.67	...	29.27	2 of 2 - Sale <sup>25</sup>
06/01/20	2.000	4,942.88	Various	4,874.67	...	68.21	Total of 2 transactions
06/01/20	1.000	2,466.95	05/21/20	2,555.62	88.67 W	0.00	Sale <sup>25</sup>
09/09/20	1.000	3,187.67	09/08/20	3,160.00	...	27.67	Sale <sup>25</sup>
<i>5 transactions for 09/11/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	3,179.92	09/10/20	3,285.00	105.08 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.000	3,105.93	09/11/20	3,250.08	144.15 W	0.00	2 of 5 - Sale <sup>25</sup>

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Account 148601016

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
	1.000	3,109.93	09/11/20	3,309.15	199.22 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.000	3,112.93	09/11/20	3,329.22	216.29 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.000	3,114.93	09/11/20	3,319.29	204.36 W	0.00	5 of 5 - Sale <sup>25</sup>
09/11/20	5.000	15,623.64	Various	16,492.74	869.10 W	0.00	Total of 5 transactions
	3 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	1.000	3,170.93	09/11/20	3,100.00	...	70.93	1 of 3 - Sale <sup>25</sup>
	1.000	3,170.92	09/11/20	3,095.00	...	75.92	2 of 3 - Sale <sup>25</sup>
	1.000	3,170.93	09/11/20	3,095.00	...	75.93	3 of 3 - Sale <sup>25</sup>
09/14/20	3.000	9,512.78	Various	9,290.00	...	222.78	Total of 3 transactions
	2 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	1.000	3,170.93	09/11/20	3,294.36	123.43 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	3,175.92	09/11/20	3,237.43	61.51 W	0.00	2 of 2 - Sale <sup>25</sup>
09/14/20	2.000	6,346.85	Various	6,531.79	184.94 W	0.00	Total of 2 transactions
	4 transactions for 09/15/20. Total proceeds and cost reported to the IRS.						
	1.000	3,150.63	09/14/20	3,226.51	75.88 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	3,159.93	09/14/20	3,232.88	72.95 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	3,155.93	09/14/20	3,221.95	66.02 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	3,165.57	09/14/20	3,176.02	10.45 W	0.00	4 of 4 - Sale <sup>25</sup>
09/15/20	4.000	12,632.06	Various	12,857.36	225.30 W	0.00	Total of 4 transactions
	3 transactions for 09/16/20. Total proceeds and cost reported to the IRS.						
	1.000	3,184.92	09/15/20	3,140.45	...	44.47	1 of 3 - Sale <sup>25</sup>
	1.000	3,141.93	09/16/20	3,130.00	...	11.93	2 of 3 - Sale <sup>25</sup>
	1.000	3,144.93	09/16/20	3,120.00	...	24.93	3 of 3 - Sale <sup>25</sup>
09/16/20	3.000	9,471.78	Various	9,390.45	...	81.33	Total of 3 transactions
09/21/20	1.000	2,968.44	09/16/20	3,120.00	151.56 W	0.00	Sale <sup>25</sup>
	2 transactions for 09/22/20. Total proceeds and cost reported to the IRS.						
	1.000	3,056.93	09/16/20	3,280.56	223.63 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	3,120.93	09/16/20	3,343.63	222.70 W	0.00	2 of 2 - Sale <sup>25</sup>
09/22/20	2.000	6,177.86	Various	6,624.19	446.33 W	0.00	Total of 2 transactions
09/25/20	1.000	3,098.93	09/16/20	3,322.70	223.77 W	0.00	Sale <sup>25</sup>
09/28/20	1.000	3,164.93	09/18/20	2,994.84	...	170.09	Sale <sup>25</sup>
09/28/20	1.000	3,153.93	09/17/20	3,203.77	49.84 W	0.00	Sale <sup>25</sup>

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Account 148601016

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
<i>4 transactions for 09/30/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	3,164.93	09/23/20	3,086.00	...	78.93	1 of 4 - Sale <sup>25</sup>
	1.000	3,179.92	09/23/20	3,060.00	...	119.92	2 of 4 - Sale <sup>25</sup>
	1.000	3,160.93	09/29/20	3,144.00	...	16.93	3 of 4 - Sale <sup>25</sup>
	1.000	3,203.61	09/29/20	3,163.00	...	40.61	4 of 4 - Sale <sup>25</sup>
09/30/20	4.000	12,709.39	Various	12,453.00	...	256.39	Total of 4 transactions
<i>3 transactions for 10/01/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	3,202.92	09/30/20	3,147.00	...	55.92	1 of 3 - Sale <sup>25</sup>
	1.000	3,202.92	09/30/20	3,135.00	...	67.92	2 of 3 - Sale <sup>25</sup>
	1.000	3,221.92	09/30/20	3,140.00	...	81.92	3 of 3 - Sale <sup>25</sup>
10/01/20	3.000	9,627.76	Various	9,422.00	...	205.76	Total of 3 transactions
<i>2 transactions for 10/02/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	3,160.93	10/02/20	3,143.00	...	17.93	1 of 2 - Sale <sup>25</sup>
	1.000	3,164.93	10/02/20	3,140.00	...	24.93	2 of 2 - Sale <sup>25</sup>
10/02/20	2.000	6,325.86	Various	6,283.00	...	42.86	Total of 2 transactions
10/02/20	1.000	3,139.93	10/02/20	3,143.00	3.07 W	0.00	Sale <sup>25</sup>
<i>7 transactions for 10/05/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	3,154.93	10/02/20	3,147.00	...	7.93	1 of 7 - Sale <sup>25</sup>
	1.000	3,154.93	10/02/20	3,143.07	...	11.86	2 of 7 - Sale <sup>25</sup>
	1.000	3,162.22	10/02/20	3,143.00	...	19.22	3 of 7 - Sale <sup>25</sup>
	1.000	3,164.93	10/02/20	3,141.00	...	23.93	4 of 7 - Sale <sup>25</sup>
	1.000	3,166.93	10/02/20	3,137.00	...	29.93	5 of 7 - Sale <sup>25</sup>
	1.000	3,171.92	10/02/20	3,129.00	...	42.92	6 of 7 - Sale <sup>25</sup>
	1.000	3,178.42	10/05/20	3,160.24	...	18.18	7 of 7 - Sale <sup>25</sup>
10/05/20	7.000	22,154.28	Various	22,000.31	...	153.97	Total of 7 transactions
10/06/20	1.000	3,156.93	10/06/20	3,179.00	22.07 W	0.00	Sale <sup>25</sup>
<i>10 transactions for 10/07/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	3,171.93	10/06/20	3,160.21	...	11.72	1 of 10 - Sale <sup>25</sup>
	1.000	3,164.93	10/06/20	3,150.00	...	14.93	2 of 10 - Sale <sup>25</sup>
	1.000	3,164.93	10/06/20	3,150.00	...	14.93	3 of 10 - Sale <sup>25</sup>
	1.000	3,171.92	10/06/20	3,140.00	...	31.92	4 of 10 - Sale <sup>25</sup>
	1.000	3,176.92	10/06/20	3,120.00	...	56.92	5 of 10 - Sale <sup>25</sup>
	1.000	3,180.92	10/06/20	3,117.00	...	63.92	6 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 148601016

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
	1.000	3,182.10	10/06/20	3,105.00	...	77.10	7 of 10 - Sale <sup>25</sup>
	1.000	3,182.29	10/06/20	3,094.00	...	88.29	8 of 10 - Sale <sup>25</sup>
	1.000	3,183.34	10/07/20	3,155.00	...	28.34	9 of 10 - Sale <sup>25</sup>
	1.000	3,195.92	10/07/20	3,152.00	...	43.92	10 of 10 - Sale <sup>25</sup>
10/07/20	10.000	31,775.20	Various	31,343.21	...	431.99	Total of 10 transactions
	2 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	1.000	3,164.93	10/06/20	3,181.07	16.14 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	3,161.93	10/06/20	3,173.14	11.21 W	0.00	2 of 2 - Sale <sup>25</sup>
10/07/20	2.000	6,326.86	Various	6,354.21	27.35 W	0.00	Total of 2 transactions
10/08/20	1.000	3,187.06	10/07/20	3,148.00	...	39.06	Sale <sup>25</sup>
10/09/20	1.000	3,213.19	10/08/20	3,180.00	...	33.19	Sale <sup>25</sup>
10/22/20	1.000	3,170.92	10/13/20	3,439.00	268.08 W	0.00	Sale <sup>25</sup>
	2 transactions for 10/23/20. Total proceeds and cost reported to the IRS.						
	1.000	3,171.93	10/13/20	3,710.07	538.14 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	3,171.92	10/14/20	3,422.00	250.08 W	0.00	2 of 2 - Sale <sup>25</sup>
10/23/20	2.000	6,343.85	Various	7,132.07	788.22 W	0.00	Total of 2 transactions
	2 transactions for 10/27/20. Total proceeds and cost reported to the IRS.						
	1.000	3,273.16	10/22/20	3,698.14	424.98 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	3,272.92	10/23/20	3,582.98	310.06 W	0.00	2 of 2 - Sale <sup>25</sup>
10/27/20	2.000	6,546.08	Various	7,281.12	735.04 W	0.00	Total of 2 transactions
	4 transactions for 10/30/20. Total proceeds and cost reported to the IRS.						
	1.000	3,088.85	10/23/20	3,408.08	319.23 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	3,083.93	10/28/20	3,480.06	396.13 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	3,084.93	10/30/20	3,393.23	308.30 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	3,045.93	10/30/20	3,471.13	425.20 W	0.00	4 of 4 - Sale <sup>25</sup>
10/30/20	4.000	12,303.64	Various	13,752.50	1,448.86 W	0.00	Total of 4 transactions
	2 transactions for 11/02/20. Total proceeds and cost reported to the IRS.						
	1.000	2,999.93	10/30/20	3,383.30	383.37 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	2,993.80	10/30/20	3,500.20	506.40 W	0.00	2 of 2 - Sale <sup>25</sup>
11/02/20	2.000	5,993.73	Various	6,883.50	889.77 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 148601016

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
2 transactions for 11/03/20. Total proceeds and cost reported to the IRS.							
	1.000	3,058.93	10/30/20	3,428.37	369.44 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	3,065.53	10/30/20	3,535.40	469.87 W	0.00	2 of 2 - Sale <sup>25</sup>
11/03/20	2.000	6,124.46	Various	6,963.77	839.31 W	0.00	Total of 2 transactions
2 transactions for 11/04/20. Total proceeds and cost reported to the IRS.							
	1.000	3,166.93	11/02/20	3,359.44	192.51 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	3,166.93	11/02/20	3,449.87	282.94 W	0.00	2 of 2 - Sale <sup>25</sup>
11/04/20	2.000	6,333.86	Various	6,809.31	475.45 W	0.00	Total of 2 transactions
11/09/20	1.000	3,222.92	11/09/20	3,373.51	150.59 W	0.00	Sale <sup>25</sup>
4 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	1.000	3,044.93	11/09/20	3,497.94	453.01 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	3,069.93	11/09/20	3,294.19	224.26 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	3,044.93	11/09/20	3,596.01	551.08 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	3,047.93	11/09/20	3,367.26	319.33 W	0.00	4 of 4 - Sale <sup>25</sup>
11/10/20	4.000	12,207.72	Various	13,755.40	1,547.68 W	0.00	Total of 4 transactions
11/11/20	1.000	3,099.93	11/10/20	3,576.08	476.15 W	0.00	Sale <sup>25</sup>
2 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	1.000	3,152.93	11/10/20	3,344.33	191.40 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	3,159.93	11/10/20	3,506.15	346.22 W	0.00	2 of 2 - Sale <sup>25</sup>
11/12/20	2.000	6,312.86	Various	6,850.48	537.62 W	0.00	Total of 2 transactions
3 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	1.000	3,104.93	11/10/20	3,221.40	116.47 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	3,129.93	11/12/20	3,471.22	341.29 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	3,131.93	11/12/20	3,226.47	94.54 W	0.00	3 of 3 - Sale <sup>25</sup>
11/13/20	3.000	9,366.79	Various	9,919.09	552.30 W	0.00	Total of 3 transactions
2 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	1.000	3,111.72	11/13/20	3,431.29	319.57 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	3,111.72	11/13/20	3,194.54	82.82 W	0.00	2 of 2 - Sale <sup>25</sup>
11/16/20	2.000	6,223.44	Various	6,625.83	402.39 W	0.00	Total of 2 transactions
3 transactions for 11/17/20. Total proceeds and cost reported to the IRS.							
	1.000	3,192.42	11/16/20	3,172.82	...	19.60	1 of 3 - Sale <sup>25</sup>
	1.000	3,160.93	11/17/20	3,160.00	...	0.93	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 148601016

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
11/17/20	1.000	3,172.03	11/17/20	3,160.00	...	12.03	3 of 3 - Sale <sup>25</sup>
	3.000	9,525.38	Various	9,492.82	...	32.56	Total of 3 transactions
	4 transactions for 11/17/20. Total proceeds and cost reported to the IRS.						
	1.000	3,192.43	11/13/20	3,414.57	222.14 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	3,172.03	11/16/20	3,312.14	140.11 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	3,161.93	11/17/20	3,300.11	138.18 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	3,161.63	11/17/20	3,298.18	136.55 W	0.00	4 of 4 - Sale <sup>25</sup>
11/17/20	4.000	12,688.02	Various	13,325.00	636.98 W	0.00	Total of 4 transactions
	3 transactions for 11/18/20. Total proceeds and cost reported to the IRS.						
	1.000	3,127.93	11/17/20	3,160.00	32.07 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	3,126.43	11/17/20	3,286.55	160.12 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	3,126.43	11/17/20	3,180.07	53.64 W	0.00	3 of 3 - Sale <sup>25</sup>
11/18/20	3.000	9,380.79	Various	9,626.62	245.83 W	0.00	Total of 3 transactions
	4 transactions for 11/19/20. Total proceeds and cost reported to the IRS.						
	1.000	3,114.93	11/17/20	3,310.12	195.19 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	3,117.93	11/17/20	3,202.64	84.71 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	3,119.93	11/17/20	3,338.12	218.19 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	3,119.93	11/17/20	3,227.63	107.70 W	0.00	4 of 4 - Sale <sup>25</sup>
11/19/20	4.000	12,472.72	Various	13,078.51	605.79 W	0.00	Total of 4 transactions
	2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.						
	1.000	3,117.93	11/17/20	3,356.19	238.26 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	3,124.93	11/18/20	3,222.70	97.77 W	0.00	2 of 2 - Sale <sup>25</sup>
11/20/20	2.000	6,242.86	Various	6,578.89	336.03 W	0.00	Total of 2 transactions
	2 transactions for 11/23/20. Total proceeds and cost reported to the IRS.						
	1.000	3,092.93	11/18/20	3,355.26	262.33 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	3,092.93	11/18/20	3,213.77	120.84 W	0.00	2 of 2 - Sale <sup>25</sup>
11/23/20	2.000	6,185.86	Various	6,569.03	383.17 W	0.00	Total of 2 transactions
	3 transactions for 11/24/20. Total proceeds and cost reported to the IRS.						
	1.000	3,127.99	11/18/20	3,378.33	250.34 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	3,127.93	11/18/20	3,236.84	108.91 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	3,130.93	11/18/20	3,364.34	233.41 W	0.00	3 of 3 - Sale <sup>25</sup>
11/24/20	3.000	9,386.85	Various	9,979.51	592.66 W	0.00	Total of 3 transactions

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Account 148601016

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
3 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	1.000	3,197.43	11/18/20	3,135.99	...	61.44	1 of 3 - Sale <sup>25</sup>
	1.000	3,197.43	11/19/20	3,155.57	...	41.86	2 of 3 - Sale <sup>25</sup>
	1.000	3,197.42	11/19/20	3,090.00	...	107.42	3 of 3 - Sale <sup>25</sup>
11/25/20	3.000	9,592.28	Various	9,381.56	...	210.72	Total of 3 transactions
3 transactions for 11/25/20. Total proceeds and cost reported to the IRS.							
	1.000	3,187.92	11/18/20	3,344.41	156.49 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	3,189.92	11/18/20	3,219.91	29.99 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	3,195.92	11/18/20	3,261.49	65.57 W	0.00	3 of 3 - Sale <sup>25</sup>
11/25/20	3.000	9,573.76	Various	9,825.81	252.05 W	0.00	Total of 3 transactions
3 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	1.000	3,210.74	11/20/20	3,107.00	...	103.74	1 of 3 - Sale <sup>25</sup>
	1.000	3,210.73	11/20/20	3,104.00	...	106.73	2 of 3 - Sale <sup>25</sup>
	1.000	3,209.92	11/20/20	3,099.70	...	110.22	3 of 3 - Sale <sup>25</sup>
11/27/20	3.000	9,631.39	Various	9,310.70	...	320.69	Total of 3 transactions
2 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	1.000	3,192.98	11/23/20	3,070.99	...	121.99	1 of 2 - Sale <sup>25</sup>
	1.000	3,212.62	11/23/20	3,070.99	...	141.63	2 of 2 - Sale <sup>25</sup>
12/01/20	2.000	6,405.60	Various	6,141.98	...	263.62	Total of 2 transactions
12/22/20	1.000	3,199.92	12/22/20	3,185.40	...	14.52	Sale <sup>25</sup>
	<b>Security total:</b>	<b>392,818.39</b>		<b>404,803.91</b>	<b>14,658.65 W</b>	<b>2,673.13</b>	
APPIAN CORPORATION CLASS A COM MON STOCK / CUSIP: 03782L101 / Symbol:							
3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	100.000	5,489.87	06/05/20	5,480.00	...	9.87	1 of 3 - Sale <sup>25</sup>
	100.000	5,489.86	06/05/20	5,460.00	...	29.86	2 of 3 - Sale <sup>25</sup>
	49.000	2,694.94	06/05/20	2,638.65	...	56.29	3 of 3 - Sale <sup>25</sup>
06/08/20	249.000	13,674.67	Various	13,578.65	...	96.02	Total of 3 transactions
06/10/20	1.000	56.57	06/05/20	53.85	...	2.72	Sale <sup>25</sup>
	<b>Security total:</b>	<b>13,731.24</b>		<b>13,632.50</b>	<b>...</b>	<b>98.74</b>	

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Robinhood Securities LLC

Account 148601016

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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APPLIED MATERIALS, INC. COMMON STOCK / CUSIP: 038222105 / Symbol:							
2 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	3.000	167.13	06/01/20	166.20	...	0.93	1 of 2 - Sale <sup>25</sup>
	17.000	947.04	06/01/20	941.80	...	5.24	2 of 2 - Sale <sup>25</sup>
06/01/20	20.000	1,114.17	Various	1,108.00	...	6.17	Total of 2 transactions
AQUESTIVE THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 03843E104 / Symbol:							
12/03/20	100.000	689.97	12/02/20	675.00	...	14.97	Sale <sup>25</sup>
ASTRAZENECA PLC AMERICAN DEPOS ITARY SHARES / CUSIP: 046353108 / Symbol:							
2 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	1.000	49.83	12/23/20	48.70	...	1.13	1 of 2 - Sale <sup>25</sup>
	14.000	704.46	12/23/20	681.73	...	22.73	2 of 2 - Sale <sup>25</sup>
12/28/20	15.000	754.29	Various	730.43	...	23.86	Total of 2 transactions
BANCO MACRO S.A. / CUSIP: 05961W105 / Symbol:							
04/03/20	1.000	16.98	03/27/20	15.70	...	1.28	Sale <sup>25</sup>
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
05/14/20	99.000	2,098.74	05/13/20	2,065.14	...	33.60	Sale <sup>25</sup>
6 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	1.000	22.45	05/13/20	20.86	...	1.59	1 of 6 - Sale <sup>25</sup>
	1.000	22.45	05/15/20	21.25	...	1.20	2 of 6 - Sale <sup>25</sup>
	1.000	22.89	05/15/20	21.25	...	1.64	3 of 6 - Sale <sup>25</sup>
	2.000	44.90	05/15/20	42.50	...	2.40	4 of 6 - Sale <sup>25</sup>
	17.000	381.65	05/15/20	361.25	...	20.40	5 of 6 - Sale <sup>25</sup>
	29.000	651.03	05/15/20	616.25	...	34.78	6 of 6 - Sale <sup>25</sup>
05/18/20	51.000	1,145.37	Various	1,083.36	...	62.01	Total of 6 transactions
12 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1.000	27.39	06/10/20	27.30	...	0.09	1 of 12 - Sale <sup>25</sup>
	6.000	164.10	06/10/20	163.80	...	0.30	2 of 12 - Sale <sup>25</sup>
	93.000	2,543.48	06/10/20	2,538.90	...	4.58	3 of 12 - Sale <sup>25</sup>
	100.000	2,734.92	06/10/20	2,730.00	...	4.92	4 of 12 - Sale <sup>25</sup>
	100.000	2,734.92	06/10/20	2,730.00	...	4.92	5 of 12 - Sale <sup>25</sup>
	100.000	2,734.92	06/10/20	2,730.00	...	4.92	6 of 12 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 148601016

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol: (cont'd)							
	100.000	2,734.92	06/10/20	2,730.00	...	4.92	7 of 12 - Sale <sup>25</sup>
	100.000	2,735.92	06/10/20	2,728.00	...	7.92	8 of 12 - Sale <sup>25</sup>
	100.000	2,739.13	06/10/20	2,730.00	...	9.13	9 of 12 - Sale <sup>25</sup>
	100.000	2,739.14	06/10/20	2,730.00	...	9.14	10 of 12 - Sale <sup>25</sup>
	100.000	2,739.14	06/10/20	2,730.00	...	9.14	11 of 12 - Sale <sup>25</sup>
	99.000	2,711.74	06/10/20	2,692.80	...	18.94	12 of 12 - Sale <sup>25</sup>
06/10/20	999.000	27,339.72	Various	27,260.80	...	78.92	Total of 12 transactions
	19 transactions for 07/29/20. Total proceeds and cost reported to the IRS.						
	100.000	2,478.93	06/10/20	2,727.79	...	-248.86	1 of 19 - Sale <sup>25</sup>
	91.000	2,274.94	06/10/20	2,462.46	...	-187.52	2 of 19 - Sale <sup>25</sup>
	100.000	2,503.93	06/10/20	2,690.00	...	-186.07	3 of 19 - Sale <sup>25</sup>
	75.000	1,866.70	06/10/20	2,044.50	...	-177.80	4 of 19 - Sale <sup>25</sup>
	100.000	2,513.93	06/10/20	2,690.00	...	-176.07	5 of 19 - Sale <sup>25</sup>
	50.000	1,239.47	06/10/20	1,366.40	...	-126.93	6 of 19 - Sale <sup>25</sup>
	51.000	1,269.35	06/10/20	1,390.77	...	-121.42	7 of 19 - Sale <sup>25</sup>
	48.000	1,189.89	06/10/20	1,308.96	...	-119.07	8 of 19 - Sale <sup>25</sup>
	49.000	1,219.58	06/10/20	1,329.76	...	-110.18	9 of 19 - Sale <sup>25</sup>
	51.000	1,274.45	06/10/20	1,384.03	...	-109.58	10 of 19 - Sale <sup>25</sup>
	50.000	1,259.97	06/10/20	1,345.00	...	-85.03	11 of 19 - Sale <sup>25</sup>
	32.000	799.66	06/10/20	868.48	...	-68.82	12 of 19 - Sale <sup>25</sup>
	25.000	622.23	06/10/20	681.50	...	-59.27	13 of 19 - Sale <sup>25</sup>
	17.000	424.82	06/10/20	461.38	...	-36.56	14 of 19 - Sale <sup>25</sup>
	8.000	199.99	06/10/20	216.48	...	-16.49	15 of 19 - Sale <sup>25</sup>
	1.000	24.79	06/10/20	27.27	...	-2.48	16 of 19 - Sale <sup>25</sup>
	1.000	24.79	06/10/20	27.20	...	-2.41	17 of 19 - Sale <sup>25</sup>
	1.000	25.00	06/10/20	27.14	...	-2.14	18 of 19 - Sale <sup>25</sup>
	1.000	25.04	06/10/20	27.06	...	-2.02	19 of 19 - Sale <sup>25</sup>
07/29/20	851.000	21,237.46	Various	23,076.18	...	-1,838.72	Total of 19 transactions
08/05/20	49.000	1,246.53	06/10/20	1,318.10	...	-71.57	Sale <sup>25</sup>
11/09/20	1.000	26.50	06/10/20	26.90	...	-0.40	Sale <sup>25</sup>
	<b>Security total:</b>	<b>53,094.32</b>		<b>54,830.48</b>	...	<b>-1,736.16</b>	
BEST BUY COMPANY, INC. / CUSIP: 086516101 / Symbol:							
12/21/20	5.000	513.08	12/11/20	508.50	...	4.58	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 148601016

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
11/30/20	5.000	694.98	11/30/20	690.00	...	4.98	Sale <sup>25</sup>
BIOLIFE SOLUTIONS, INC. COMMON STOCK / CUSIP: 09062W204 / Symbol:							
05/15/20	29.000	457.33	05/14/20	437.90	...	19.43	Sale <sup>25</sup>
05/19/20	1.000	16.36	05/14/20	15.10	...	1.26	Sale <sup>25</sup>
	<b>Security total:</b>	<b>473.69</b>		<b>453.00</b>	...	<b>20.69</b>	
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
03/24/20	1.000	124.06	03/12/20	163.27	39.21 W	0.00	Sale <sup>25</sup>
	<i>4 transactions for 03/25/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	168.29	03/12/20	201.46	...	-33.17	1 of 4 - Sale <sup>25</sup>
	1.000	168.30	03/12/20	163.27	...	5.03	2 of 4 - Sale <sup>25</sup>
	1.000	168.30	03/12/20	162.25	...	6.05	3 of 4 - Sale <sup>25</sup>
	1.000	168.29	03/18/20	101.36	...	66.93	4 of 4 - Sale <sup>25</sup>
03/25/20	4.000	673.18	Various	628.34	...	44.84	Total of 4 transactions
	<b>Security total:</b>	<b>797.24</b>		<b>791.61</b>	<b>39.21 W</b>	<b>44.84</b>	
BOOKING HOLDINGS INC. COMMON S TOCK / CUSIP: 09857L108 / Symbol:							
	<i>2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	1,633.96	05/28/20	1,678.00	44.04 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	1,633.96	05/28/20	1,656.50	22.54 W	0.00	2 of 2 - Sale <sup>25</sup>
05/29/20	2.000	3,267.92	Various	3,334.50	66.58 W	0.00	Total of 2 transactions
	<i>2 transactions for 06/01/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	1,660.61	05/29/20	1,645.59	...	15.02	1 of 2 - Sale <sup>25</sup>
	1.000	1,677.11	05/29/20	1,658.27	...	18.84	2 of 2 - Sale <sup>25</sup>
06/01/20	2.000	3,337.72	Various	3,303.86	...	33.86	Total of 2 transactions
06/01/20	1.000	1,660.61	05/28/20	1,696.54	35.93 W	0.00	Sale <sup>25</sup>
06/02/20	1.000	1,680.76	06/02/20	1,666.83	...	13.93	Sale <sup>25</sup>
06/03/20	1.000	1,674.96	06/02/20	1,667.00	...	7.96	Sale <sup>25</sup>
	<i>10 transactions for 06/09/20. Total proceeds and cost reported to the IRS.</i>						
	2.000	3,557.92	06/09/20	3,554.24	...	3.68	1 of 10 - Sale <sup>25</sup>
	2.000	3,557.92	06/09/20	3,554.24	...	3.68	2 of 10 - Sale <sup>25</sup>
	1.000	1,778.83	06/09/20	1,774.50	...	4.33	3 of 10 - Sale <sup>25</sup>
	2.000	3,557.92	06/09/20	3,552.00	...	5.92	4 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 148601016

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOOKING HOLDINGS INC. COMMON S TOCK / CUSIP: 09857L108 / Symbol: (cont'd)							
	1.000	1,781.96	06/09/20	1,774.50	...	7.46	5 of 10 - Sale <sup>25</sup>
	2.000	3,557.32	06/09/20	3,549.00	...	8.32	6 of 10 - Sale <sup>25</sup>
	2.000	3,557.66	06/09/20	3,549.00	...	8.66	7 of 10 - Sale <sup>25</sup>
	3.000	5,335.98	06/09/20	5,323.50	...	12.48	8 of 10 - Sale <sup>25</sup>
	3.000	5,336.49	06/09/20	5,323.50	...	12.99	9 of 10 - Sale <sup>25</sup>
	5.000	8,890.38	06/09/20	8,870.25	...	20.13	10 of 10 - Sale <sup>25</sup>
06/09/20	23.000	40,912.38	Various	40,824.73	...	87.65	Total of 10 transactions
06/10/20	1.000	1,759.96	06/09/20	1,776.00	16.04 W	0.00	Sale <sup>25</sup>
07/02/20	1.000	1,711.96	06/10/20	1,813.04	...	-101.08	Sale <sup>25</sup>
	3 transactions for 07/02/20. Total proceeds and cost reported to the IRS.						
	2.000	3,399.92	06/09/20	3,552.00	152.08 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	1,702.96	06/10/20	1,769.04	66.08 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	1,702.96	06/10/20	1,813.04	110.08 W	0.00	3 of 3 - Sale <sup>25</sup>
07/02/20	4.000	6,805.84	Various	7,134.08	328.24 W	0.00	Total of 3 transactions
07/29/20	1.000	1,696.96	07/29/20	1,747.08	...	-50.12	Sale <sup>25</sup>
07/30/20	1.000	1,670.96	07/30/20	1,772.08	...	-101.12	Sale <sup>25</sup>
	2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.						
	1.000	1,805.96	09/10/20	1,864.00	58.04 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	1,815.95	09/14/20	1,843.04	27.09 W	0.00	2 of 2 - Sale <sup>25</sup>
09/16/20	2.000	3,621.91	Various	3,707.04	85.13 W	0.00	Total of 2 transactions
09/22/20	1.000	1,664.96	09/22/20	1,635.00	...	29.96	Sale <sup>25</sup>
09/22/20	1.000	1,664.96	09/15/20	1,823.09	158.13 W	0.00	Sale <sup>25</sup>
	3 transactions for 09/24/20. Total proceeds and cost reported to the IRS.						
	1.000	1,625.64	09/22/20	1,785.13	159.49 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	1,665.96	09/23/20	1,817.49	151.53 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	1,671.96	09/23/20	1,795.53	123.57 W	0.00	3 of 3 - Sale <sup>25</sup>
09/24/20	3.000	4,963.56	Various	5,398.15	434.59 W	0.00	Total of 3 transactions
09/28/20	1.000	1,698.93	09/24/20	1,733.57	34.64 W	0.00	Sale <sup>25</sup>
09/30/20	1.000	1,711.49	09/29/20	1,714.64	3.15 W	0.00	Sale <sup>25</sup>
	6 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	1.000	1,702.96	10/02/20	1,693.15	...	9.81	1 of 6 - Sale <sup>25</sup>
	1.000	1,717.96	10/02/20	1,707.00	...	10.96	2 of 6 - Sale <sup>25</sup>
	1.000	1,711.96	10/02/20	1,700.00	...	11.96	3 of 6 - Sale <sup>25</sup>

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Account 148601016

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOOKING HOLDINGS INC. COMMON S TOCK / CUSIP: 09857L108 / Symbol: (cont'd)							
	1.000	1,717.96	10/02/20	1,695.00	...	22.96	4 of 6 - Sale <sup>25</sup>
	1.000	1,713.96	10/02/20	1,690.00	...	23.96	5 of 6 - Sale <sup>25</sup>
	1.000	1,717.96	10/02/20	1,691.82	...	26.14	6 of 6 - Sale <sup>25</sup>
10/02/20	6.000	10,282.76	Various	10,176.97	...	105.79	Total of 6 transactions
10/05/20	1.000	1,708.59	10/05/20	1,688.99	...	19.60	Sale <sup>25</sup>
10/05/20	1.000	1,689.01	10/05/20	1,698.00	8.99 W	0.00	Sale <sup>25</sup>
	3 transactions for 10/22/20. Total proceeds and cost reported to the IRS.						
	1.000	1,778.26	10/13/20	1,773.00	...	5.26	1 of 3 - Sale <sup>25</sup>
	1.000	1,778.26	10/14/20	1,751.70	...	26.56	2 of 3 - Sale <sup>25</sup>
	1.000	1,777.57	10/14/20	1,747.52	...	30.05	3 of 3 - Sale <sup>25</sup>
10/22/20	3.000	5,334.09	Various	5,272.22	...	61.87	Total of 3 transactions
10/22/20	1.000	1,778.26	10/13/20	1,789.78	11.52 W	0.00	Sale <sup>25</sup>
10/26/20	1.000	1,747.31	10/26/20	1,728.41	...	18.90	Sale <sup>25</sup>
10/28/20	1.000	1,648.11	10/27/20	1,715.00	66.89 W	0.00	Sale <sup>25</sup>
10/29/20	1.000	1,629.96	10/28/20	1,726.89	96.93 W	0.00	Sale <sup>25</sup>
10/30/20	1.000	1,626.96	10/28/20	1,734.93	107.97 W	0.00	Sale <sup>25</sup>
11/03/20	1.000	1,673.77	10/28/20	1,672.50	...	1.27	Sale <sup>25</sup>
	2 transactions for 11/03/20. Total proceeds and cost reported to the IRS.						
	1.000	1,653.96	10/28/20	1,745.97	92.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	1,660.51	10/28/20	1,717.01	56.50 W	0.00	2 of 2 - Sale <sup>25</sup>
11/03/20	2.000	3,314.47	Various	3,462.98	148.51 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>113,939.13</b>		<b>115,413.90</b>	<b>1,603.24 W</b>	<b>128.47</b>	
CENTENE CORPORATION / CUSIP: 15135B101 / Symbol:							
05/21/20	5.000	327.10	05/20/20	326.00	...	1.10	Sale <sup>25</sup>
CISCO SYSTEMS, INC. COMMON STO CK (DE) / CUSIP: 17275R102 / Symbol:							
	2 transactions for 03/18/20. Total proceeds and cost reported to the IRS.						
	9.000	332.99	03/12/20	304.65	...	28.34	1 of 2 - Sale <sup>25</sup>
	25.000	924.98	03/12/20	856.95	...	68.03	2 of 2 - Sale <sup>25</sup>
03/18/20	34.000	1,257.97	Various	1,161.60	...	96.37	Total of 2 transactions
03/25/20	1.000	38.09	03/12/20	33.85	...	4.24	Sale <sup>25</sup>
	2 transactions for 05/13/20. Total proceeds and cost reported to the IRS.						
	13.000	566.79	05/12/20	558.09	...	8.70	1 of 2 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CISCO SYSTEMS, INC. COMMON STO CK (DE) / CUSIP: 17275R102 / Symbol: (cont'd)							
	36.000	1,569.56	05/12/20	1,545.48	...	24.08	2 of 2 - Sale <sup>25</sup>
05/13/20	49.000	2,136.35	Various	2,103.57	...	32.78	Total of 2 transactions
05/15/20	1.000	44.27	05/12/20	42.93	...	1.34	Sale <sup>25</sup>
	3 transactions for 06/02/20. Total proceeds and cost reported to the IRS.						
	1.000	46.50	06/01/20	46.30	...	0.20	1 of 3 - Sale <sup>25</sup>
	14.000	650.56	06/01/20	648.20	...	2.36	2 of 3 - Sale <sup>25</sup>
	35.000	1,626.41	06/01/20	1,620.50	...	5.91	3 of 3 - Sale <sup>25</sup>
06/02/20	50.000	2,323.47	Various	2,315.00	...	8.47	Total of 3 transactions
	5 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	2.000	94.80	06/08/20	94.54	...	0.26	1 of 5 - Sale <sup>25</sup>
	5.000	237.00	06/08/20	236.35	...	0.65	2 of 5 - Sale <sup>25</sup>
	50.000	2,369.94	06/08/20	2,363.50	...	6.44	3 of 5 - Sale <sup>25</sup>
	95.000	4,502.89	06/08/20	4,490.65	...	12.24	4 of 5 - Sale <sup>25</sup>
	98.000	4,645.08	06/08/20	4,632.46	...	12.62	5 of 5 - Sale <sup>25</sup>
06/08/20	250.000	11,849.71	Various	11,817.50	...	32.21	Total of 5 transactions
09/14/20	10.000	405.30	08/12/20	460.00	54.70 W	0.00	Sale <sup>25</sup>
	12 transactions for 11/12/20. Total proceeds and cost reported to the IRS.						
	5.000	208.74	08/13/20	213.00	...	-4.26	1 of 12 - Sale <sup>25</sup>
	5.000	208.74	08/17/20	236.85	...	-28.11	2 of 12 - Sale <sup>25</sup>
	5.000	208.75	08/25/20	236.90	...	-28.15	3 of 12 - Sale <sup>25</sup>
	15.000	626.23	08/25/20	628.65	...	-2.42	4 of 12 - Sale <sup>25</sup>
	10.000	417.49	09/02/20	422.50	...	-5.01	5 of 12 - Sale <sup>25</sup>
	10.000	417.49	09/03/20	414.45	...	3.04	6 of 12 - Sale <sup>25</sup>
	10.000	417.49	09/03/20	409.78	...	7.71	7 of 12 - Sale <sup>25</sup>
	5.000	208.75	09/04/20	204.80	...	3.95	8 of 12 - Sale <sup>25</sup>
	5.000	208.74	09/04/20	202.60	...	6.14	9 of 12 - Sale <sup>25</sup>
	1.000	41.75	09/08/20	40.12	...	1.63	10 of 12 - Sale <sup>25</sup>
	9.000	375.74	09/08/20	361.08	...	14.66	11 of 12 - Sale <sup>25</sup>
	20.000	834.98	10/26/20	747.00	...	87.98	12 of 12 - Sale <sup>25</sup>
11/12/20	100.000	4,174.89	Various	4,117.73	...	57.16	Total of 12 transactions
	<b>Security total:</b>	<b>22,230.05</b>		<b>22,052.18</b>	<b>54.70 W</b>	<b>232.57</b>	

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Robinhood Securities LLC

Account 148601016

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CITIGROUP INC. / CUSIP: 172967424 / Symbol:							
05/19/20	50.000	2,259.95	05/19/20	2,234.50	...	25.45	Sale <sup>25</sup>
05/20/20	25.000	1,142.47	05/19/20	1,109.25	...	33.22	Sale <sup>25</sup>
	3 transactions for 05/26/20. Total proceeds and cost reported to the IRS.						
	1.000	46.84	05/22/20	44.00	...	2.84	1 of 3 - Sale <sup>25</sup>
	7.000	322.07	05/22/20	308.00	...	14.07	2 of 3 - Sale <sup>25</sup>
	42.000	1,932.37	05/22/20	1,848.00	...	84.37	3 of 3 - Sale <sup>25</sup>
05/26/20	50.000	2,301.28	Various	2,200.00	...	101.28	Total of 3 transactions
	2 transactions for 06/02/20. Total proceeds and cost reported to the IRS.						
	10.000	506.88	05/28/20	500.00	...	6.88	1 of 2 - Sale <sup>25</sup>
	40.000	2,027.55	05/28/20	2,000.00	...	27.55	2 of 2 - Sale <sup>25</sup>
06/02/20	50.000	2,534.43	Various	2,500.00	...	34.43	Total of 2 transactions
06/10/20	50.000	2,911.43	06/10/20	2,964.50	53.07 W	0.00	Sale <sup>25</sup>
	2 transactions for 07/29/20. Total proceeds and cost reported to the IRS.						
	25.000	1,312.97	06/10/20	1,509.04	...	-196.07	1 of 2 - Sale <sup>25</sup>
	25.000	1,316.22	06/10/20	1,509.03	...	-192.81	2 of 2 - Sale <sup>25</sup>
07/29/20	50.000	2,629.19	Various	3,018.07	...	-388.88	Total of 2 transactions
08/10/20	40.000	2,116.35	06/10/20	2,314.80	...	-198.45	Sale <sup>25</sup>
	3 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	19.000	1,034.33	06/10/20	1,088.70	...	-54.37	1 of 3 - Sale <sup>25</sup>
	10.000	544.39	06/10/20	578.70	...	-34.31	2 of 3 - Sale <sup>25</sup>
	1.000	54.45	06/10/20	57.30	...	-2.85	3 of 3 - Sale <sup>25</sup>
08/11/20	30.000	1,633.17	Various	1,724.70	...	-91.53	Total of 3 transactions
10/05/20	5.000	225.61	09/14/20	242.00	...	-16.39	Sale <sup>25</sup>
10/05/20	5.000	225.61	06/10/20	286.50	60.89 W	0.00	Sale <sup>25</sup>
	3 transactions for 11/09/20. Total proceeds and cost reported to the IRS.						
	45.000	2,101.45	09/14/20	2,178.00	...	-76.55	1 of 3 - Sale <sup>25</sup>
	5.000	233.49	09/15/20	289.89	...	-56.40	2 of 3 - Sale <sup>25</sup>
	5.000	240.66	09/15/20	229.00	...	11.66	3 of 3 - Sale <sup>25</sup>
11/09/20	55.000	2,575.60	Various	2,696.89	...	-121.29	Total of 3 transactions
	<b>Security total:</b>	<b>20,555.09</b>		<b>21,291.21</b>	<b>113.96 W</b>	<b>-622.16</b>	

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Robinhood Securities LLC

Account 148601016

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
COCA-COLA COMPANY / CUSIP: 191216100 / Symbol:							
60 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
1.000		48.96	06/09/20	48.88	...	0.08	1 of 60 - Sale <sup>25</sup>
1.000		49.07	06/09/20	48.97	...	0.10	2 of 60 - Sale <sup>25</sup>
1.000		49.07	06/09/20	48.97	...	0.10	3 of 60 - Sale <sup>25</sup>
1.000		49.07	06/09/20	48.97	...	0.10	4 of 60 - Sale <sup>25</sup>
1.000		49.07	06/09/20	48.97	...	0.10	5 of 60 - Sale <sup>25</sup>
1.000		49.07	06/09/20	48.97	...	0.10	6 of 60 - Sale <sup>25</sup>
1.000		49.07	06/09/20	48.97	...	0.10	7 of 60 - Sale <sup>25</sup>
1.000		49.07	06/09/20	48.97	...	0.10	8 of 60 - Sale <sup>25</sup>
1.000		49.07	06/09/20	48.97	...	0.10	9 of 60 - Sale <sup>25</sup>
2.000		97.92	06/09/20	97.76	...	0.16	10 of 60 - Sale <sup>25</sup>
2.000		97.92	06/09/20	97.76	...	0.16	11 of 60 - Sale <sup>25</sup>
1.000		49.05	06/09/20	48.86	...	0.19	12 of 60 - Sale <sup>25</sup>
2.000		98.14	06/09/20	97.94	...	0.20	13 of 60 - Sale <sup>25</sup>
2.000		98.14	06/09/20	97.94	...	0.20	14 of 60 - Sale <sup>25</sup>
2.000		98.14	06/09/20	97.94	...	0.20	15 of 60 - Sale <sup>25</sup>
2.000		98.14	06/09/20	97.94	...	0.20	16 of 60 - Sale <sup>25</sup>
2.000		98.14	06/09/20	97.94	...	0.20	17 of 60 - Sale <sup>25</sup>
31.000		1,519.27	06/09/20	1,519.00	...	0.27	18 of 60 - Sale <sup>25</sup>
3.000		147.21	06/09/20	146.90	...	0.31	19 of 60 - Sale <sup>25</sup>
2.000		98.10	06/09/20	97.72	...	0.38	20 of 60 - Sale <sup>25</sup>
4.000		196.28	06/09/20	195.87	...	0.41	21 of 60 - Sale <sup>25</sup>
4.000		196.28	06/09/20	195.87	...	0.41	22 of 60 - Sale <sup>25</sup>
4.000		196.28	06/09/20	195.87	...	0.41	23 of 60 - Sale <sup>25</sup>
5.000		245.35	06/09/20	244.84	...	0.51	24 of 60 - Sale <sup>25</sup>
5.000		245.35	06/09/20	244.84	...	0.51	25 of 60 - Sale <sup>25</sup>
69.000		3,381.61	06/09/20	3,381.00	...	0.61	26 of 60 - Sale <sup>25</sup>
8.000		391.68	06/09/20	391.04	...	0.64	27 of 60 - Sale <sup>25</sup>
6.000		294.60	06/09/20	293.81	...	0.79	28 of 60 - Sale <sup>25</sup>
9.000		440.83	06/09/20	439.92	...	0.91	29 of 60 - Sale <sup>25</sup>
14.000		686.96	06/09/20	685.55	...	1.41	30 of 60 - Sale <sup>25</sup>
200.000		9,801.76	06/09/20	9,800.00	...	1.76	31 of 60 - Sale <sup>25</sup>
100.000		4,900.88	06/09/20	4,898.00	...	2.88	32 of 60 - Sale <sup>25</sup>
41.000		2,007.31	06/09/20	2,004.08	...	3.23	33 of 60 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 148601016

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
COCA-COLA COMPANY / CUSIP: 191216100 / Symbol: (cont'd)							
	48.000	2,350.02	06/09/20	2,346.24	...	3.78	34 of 60 - Sale <sup>25</sup>
	100.000	4,904.88	06/09/20	4,901.00	...	3.88	35 of 60 - Sale <sup>25</sup>
	50.000	2,447.94	06/09/20	2,444.00	...	3.94	36 of 60 - Sale <sup>25</sup>
	50.000	2,449.05	06/09/20	2,444.00	...	5.05	37 of 60 - Sale <sup>25</sup>
	100.000	4,907.03	06/09/20	4,901.00	...	6.03	38 of 60 - Sale <sup>25</sup>
	100.000	4,907.03	06/09/20	4,901.00	...	6.03	39 of 60 - Sale <sup>25</sup>
	100.000	4,907.03	06/09/20	4,901.00	...	6.03	40 of 60 - Sale <sup>25</sup>
	98.000	4,797.96	06/09/20	4,790.24	...	7.72	41 of 60 - Sale <sup>25</sup>
	100.000	4,895.88	06/09/20	4,888.00	...	7.88	42 of 60 - Sale <sup>25</sup>
	100.000	4,895.88	06/09/20	4,888.00	...	7.88	43 of 60 - Sale <sup>25</sup>
	50.000	2,451.54	06/09/20	2,443.50	...	8.04	44 of 60 - Sale <sup>25</sup>
	100.000	4,900.88	06/09/20	4,892.76	...	8.12	45 of 60 - Sale <sup>25</sup>
	50.000	2,451.94	06/09/20	2,443.50	...	8.44	46 of 60 - Sale <sup>25</sup>
	45.000	2,207.20	06/09/20	2,198.70	...	8.50	47 of 60 - Sale <sup>25</sup>
	52.000	2,550.53	06/09/20	2,540.72	...	9.81	48 of 60 - Sale <sup>25</sup>
	200.000	9,801.76	06/09/20	9,791.66	...	10.10	49 of 60 - Sale <sup>25</sup>
	100.000	4,906.88	06/09/20	4,896.00	...	10.88	50 of 60 - Sale <sup>25</sup>
	50.000	2,454.94	06/09/20	2,444.00	...	10.94	51 of 60 - Sale <sup>25</sup>
	100.000	4,906.88	06/09/20	4,893.00	...	13.88	52 of 60 - Sale <sup>25</sup>
	100.000	4,903.09	06/09/20	4,889.00	...	14.09	53 of 60 - Sale <sup>25</sup>
	100.000	4,903.09	06/09/20	4,889.00	...	14.09	54 of 60 - Sale <sup>25</sup>
	100.000	4,903.09	06/09/20	4,889.00	...	14.09	55 of 60 - Sale <sup>25</sup>
	100.000	4,907.02	06/09/20	4,892.00	...	15.02	56 of 60 - Sale <sup>25</sup>
	100.000	4,907.03	06/09/20	4,891.00	...	16.03	57 of 60 - Sale <sup>25</sup>
	100.000	4,907.03	06/09/20	4,890.00	...	17.03	58 of 60 - Sale <sup>25</sup>
	187.000	9,175.86	06/09/20	9,157.04	...	18.82	59 of 60 - Sale <sup>25</sup>
	191.000	9,355.36	06/09/20	9,336.08	...	19.28	60 of 60 - Sale <sup>25</sup>
06/09/20	3,100.000	151,983.68	Various	151,690.47	...	293.21	Total of 60 transactions
		19 transactions for 06/10/20. Total proceeds and cost reported to the IRS.					
	2.000	98.18	06/10/20	97.80	...	0.38	1 of 19 - Sale <sup>25</sup>
	25.000	1,226.97	06/10/20	1,225.00	...	1.97	2 of 19 - Sale <sup>25</sup>
	16.000	785.42	06/10/20	782.40	...	3.02	3 of 19 - Sale <sup>25</sup>
	15.000	736.33	06/10/20	733.20	...	3.13	4 of 19 - Sale <sup>25</sup>
	75.000	3,680.90	06/10/20	3,675.00	...	5.90	5 of 19 - Sale <sup>25</sup>

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Account 148601016

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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COCA-COLA COMPANY / CUSIP: 191216100 / Symbol: (cont'd)							
	100.000	4,907.88	06/10/20	4,900.00	...	7.88	6 of 19 - Sale <sup>25</sup>
	50.000	2,454.44	06/10/20	2,445.88	...	8.56	7 of 19 - Sale <sup>25</sup>
	25.000	1,227.47	06/10/20	1,218.75	...	8.72	8 of 19 - Sale <sup>25</sup>
	44.000	2,164.75	06/10/20	2,153.80	...	10.95	9 of 19 - Sale <sup>25</sup>
	56.000	2,755.13	06/10/20	2,741.20	...	13.93	10 of 19 - Sale <sup>25</sup>
	50.000	2,454.44	06/10/20	2,440.00	...	14.44	11 of 19 - Sale <sup>25</sup>
	100.000	4,909.88	06/10/20	4,895.00	...	14.88	12 of 19 - Sale <sup>25</sup>
	85.000	4,172.55	06/10/20	4,154.80	...	17.75	13 of 19 - Sale <sup>25</sup>
	50.000	2,462.44	06/10/20	2,443.50	...	18.94	14 of 19 - Sale <sup>25</sup>
	50.000	2,462.44	06/10/20	2,439.00	...	23.44	15 of 19 - Sale <sup>25</sup>
	200.000	9,819.76	06/10/20	9,796.00	...	23.76	16 of 19 - Sale <sup>25</sup>
	75.000	3,682.40	06/10/20	3,656.25	...	26.15	17 of 19 - Sale <sup>25</sup>
	100.000	4,919.88	06/10/20	4,892.00	...	27.88	18 of 19 - Sale <sup>25</sup>
	182.000	8,934.16	06/10/20	8,899.80	...	34.36	19 of 19 - Sale <sup>25</sup>
06/10/20	1,300.000	63,855.42	Various	63,589.38	...	266.04	Total of 19 transactions
	12 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	200.000	9,519.76	06/10/20	9,744.00	...	-224.24	1 of 12 - Sale <sup>25</sup>
	96.000	4,566.60	06/10/20	4,698.24	...	-131.64	2 of 12 - Sale <sup>25</sup>
	68.000	3,236.72	06/10/20	3,325.20	...	-88.48	3 of 12 - Sale <sup>25</sup>
	50.000	2,377.44	06/10/20	2,449.00	...	-71.56	4 of 12 - Sale <sup>25</sup>
	25.000	1,189.97	06/10/20	1,222.50	...	-32.53	5 of 12 - Sale <sup>25</sup>
	4.000	190.40	06/10/20	195.60	...	-5.20	6 of 12 - Sale <sup>25</sup>
	2.000	95.20	06/10/20	97.80	...	-2.60	7 of 12 - Sale <sup>25</sup>
	1.000	47.55	06/10/20	48.94	...	-1.39	8 of 12 - Sale <sup>25</sup>
	1.000	47.57	06/10/20	48.94	...	-1.37	9 of 12 - Sale <sup>25</sup>
	1.000	47.57	06/10/20	48.94	...	-1.37	10 of 12 - Sale <sup>25</sup>
	1.000	47.57	06/10/20	48.94	...	-1.37	11 of 12 - Sale <sup>25</sup>
	1.000	47.57	06/10/20	48.90	...	-1.33	12 of 12 - Sale <sup>25</sup>
07/21/20	450.000	21,413.92	Various	21,977.00	...	-563.08	Total of 12 transactions
	3 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	1.000	47.60	06/10/20	49.00	1.40 W	0.00	1 of 3 - Sale <sup>25</sup>
	6.000	285.29	06/10/20	294.00	8.71 W	0.00	2 of 3 - Sale <sup>25</sup>
	43.000	2,044.60	06/10/20	2,107.00	62.40 W	0.00	3 of 3 - Sale <sup>25</sup>
07/21/20	50.000	2,377.49	Various	2,450.00	72.51 W	0.00	Total of 3 transactions

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Account 148601016

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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COCA-COLA COMPANY / CUSIP: 191216100 / Symbol: (cont'd)							
3 transactions for 08/04/20. Total proceeds and cost reported to the IRS.							
	43.000	2,003.97	08/03/20	2,052.79	...	-48.82	1 of 3 - Sale <sup>25</sup>
	6.000	279.62	08/03/20	286.44	...	-6.82	2 of 3 - Sale <sup>25</sup>
	1.000	46.60	08/03/20	47.69	...	-1.09	3 of 3 - Sale <sup>25</sup>
08/04/20	50.000	2,330.19	Various	2,386.92	...	-56.73	Total of 3 transactions
	<b>Security total:</b>	<b>241,960.70</b>		<b>242,093.77</b>	<b>72.51 W</b>	<b>-60.56</b>	
CRONOS GROUP INC. COMMON SHARE / CUSIP: 22717L101 / Symbol:							
09/15/20	50.000	275.50	09/04/20	269.50	...	6.00	Sale <sup>25</sup>
CROWDSTRIKE HOLDINGS, INC. CLA SS A COMMON STOCK / CUSIP: 22788C105 / Symbol:							
06/05/20	15.000	1,398.71	06/05/20	1,393.86	...	4.85	Sale <sup>25</sup>
DXC TECHNOLOGY COMPANY / CUSIP: 23355L106 / Symbol:							
2 transactions for 06/01/20. Total proceeds and cost reported to the IRS.							
	100.000	1,470.95	05/29/20	1,455.00	...	15.95	1 of 2 - Sale <sup>25</sup>
	50.000	735.48	06/01/20	715.50	...	19.98	2 of 2 - Sale <sup>25</sup>
06/01/20	150.000	2,206.43	Various	2,170.50	...	35.93	Total of 2 transactions
06/02/20	50.000	761.48	06/02/20	744.00	...	17.48	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,967.91</b>		<b>2,914.50</b>	...	<b>53.41</b>	
DEERE & COMPANY / CUSIP: 244199105 / Symbol:							
05/14/20	1.000	124.22	05/13/20	125.80	1.58 W	0.00	Sale <sup>25</sup>
2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	3.000	380.45	05/13/20	377.40	...	3.05	1 of 2 - Sale <sup>25</sup>
	1.000	126.81	05/14/20	120.35	...	6.46	2 of 2 - Sale <sup>25</sup>
05/15/20	4.000	507.26	Various	497.75	...	9.51	Total of 2 transactions
	<b>Security total:</b>	<b>631.48</b>		<b>623.55</b>	<b>1.58 W</b>	<b>9.51</b>	
THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol:							
2 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	4.000	402.54	03/12/20	367.67	...	34.87	1 of 2 - Sale <sup>25</sup>
	1.000	100.64	03/18/20	80.49	...	20.15	2 of 2 - Sale <sup>25</sup>
03/25/20	5.000	503.18	Various	448.16	...	55.02	Total of 2 transactions

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Robinhood Securities LLC

Account 148601016

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol: (cont'd)							
08/04/20	5.000	610.08	08/04/20	599.95	...	10.13	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,113.26</b>		<b>1,048.11</b>	...	<b>65.15</b>	
DISCOVER FINANCIAL SERVICES / CUSIP: 254709108 / Symbol:							
03/26/20	10.000	426.60	03/25/20	378.00	...	48.60	Sale <sup>25</sup>
	2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.						
	1.000	38.36	03/27/20	38.54	...	-0.18	1 of 2 - Sale <sup>25</sup>
	3.000	115.08	04/01/20	97.50	...	17.58	2 of 2 - Sale <sup>25</sup>
04/07/20	4.000	153.44	Various	136.04	...	17.40	Total of 2 transactions
	<b>Security total:</b>	<b>580.04</b>		<b>514.04</b>	...	<b>66.00</b>	
DOLLAR TREE INC. COMMON STOCK / CUSIP: 256746108 / Symbol:							
04/07/20	2.000	156.68	04/01/20	147.00	...	9.68	Sale <sup>25</sup>
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol:							
12/30/20	50.000	432.75	12/28/20	414.50	...	18.25	Sale <sup>25</sup>
EQUINIX, INC. COMMON STOCK REI T / CUSIP: 29444U700 / Symbol:							
05/22/20	1.000	654.98	05/21/20	650.03	...	4.95	Sale <sup>25</sup>
05/22/20	1.000	649.43	05/19/20	654.96	5.53 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,304.41</b>		<b>1,304.99</b>	<b>5.53 W</b>	<b>4.95</b>	
ETSY, INC. COMMON STOCK / CUSIP: 29786A106 / Symbol:							
12/30/20	5.000	908.42	12/28/20	890.00	...	18.42	Sale <sup>25</sup>
EVOLENT HEALTH, INC CLASS A CO MMON STOCK / CUSIP: 30050B101 / Symbol:							
06/01/20	50.000	345.00	06/01/20	337.00	...	8.00	Sale <sup>25</sup>
06/05/20	25.000	158.75	06/02/20	158.75	...	0.00	Sale <sup>25</sup>
06/05/20	50.000	317.49	06/02/20	328.00	10.51 W	0.00	Sale <sup>25</sup>
	4 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	25.000	160.74	06/02/20	164.01	...	-3.27	1 of 4 - Sale <sup>25</sup>
	25.000	160.74	06/03/20	158.25	...	2.49	2 of 4 - Sale <sup>25</sup>
	25.000	160.75	06/03/20	153.00	...	7.75	3 of 4 - Sale <sup>25</sup>
	25.000	160.74	06/03/20	151.75	...	8.99	4 of 4 - Sale <sup>25</sup>
06/08/20	100.000	642.97	Various	627.01	...	15.96	Total of 4 transactions

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Robinhood Securities LLC

Account 148601016

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EVOLENT HEALTH, INC CLASS A CO MMON STOCK / CUSIP: 30050B101 / Symbol: (cont'd)							
<b>Security total:</b>		<b>1,464.21</b>		<b>1,450.76</b>		<b>10.51 W</b>	<b>23.96</b>
EXXON MOBIL CORPORATION / CUSIP: 30231G102 / Symbol:							
03/25/20	2.000	73.36	03/18/20	65.44	...	7.92	Sale <sup>25</sup>
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
3 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	10.000	2,695.44	12/22/20	2,687.60	...	7.84	1 of 3 - Sale <sup>25</sup>
	10.000	2,695.44	12/22/20	2,684.08	...	11.36	2 of 3 - Sale <sup>25</sup>
	5.000	1,347.72	12/22/20	1,330.54	...	17.18	3 of 3 - Sale <sup>25</sup>
12/23/20	25.000	6,738.60	Various	6,702.22	...	36.38	Total of 3 transactions
10 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	1.000	271.84	12/23/20	267.80	...	4.04	1 of 10 - Sale <sup>25</sup>
	1.000	273.89	12/23/20	267.80	...	6.09	2 of 10 - Sale <sup>25</sup>
	3.000	814.18	12/23/20	803.40	...	10.78	3 of 10 - Sale <sup>25</sup>
	5.000	1,353.82	12/23/20	1,340.40	...	13.42	4 of 10 - Sale <sup>25</sup>
	4.000	1,088.77	12/23/20	1,071.20	...	17.57	5 of 10 - Sale <sup>25</sup>
	7.000	1,899.76	12/23/20	1,874.60	...	25.16	6 of 10 - Sale <sup>25</sup>
	10.000	2,707.64	12/23/20	2,681.10	...	26.54	7 of 10 - Sale <sup>25</sup>
	14.000	3,805.81	12/23/20	3,749.20	...	56.61	8 of 10 - Sale <sup>25</sup>
	20.000	5,421.88	12/23/20	5,359.60	...	62.28	9 of 10 - Sale <sup>25</sup>
	30.000	8,122.92	12/23/20	8,043.30	...	79.62	10 of 10 - Sale <sup>25</sup>
12/28/20	95.000	25,760.51	Various	25,458.40	...	302.11	Total of 10 transactions
<b>Security total:</b>		<b>32,499.11</b>		<b>32,160.62</b>	...	<b>338.49</b>	
FARFETCH LIMITED CLASS A ORDIN ARY SHARES / CUSIP: 30744W107 / Symbol:							
05/20/20	20.000	273.00	05/18/20	292.92	...	-19.92	Sale <sup>25</sup>
FISERV, INC. COMMON STOCK / CUSIP: 337738108 / Symbol:							
12/28/20	4.000	451.60	12/23/20	442.20	...	9.40	Sale <sup>25</sup>
GW PHARMACEUTICALS PLC AMERICA N DEPOSITARY SHARES / CUSIP: 36197T103 / Symbol:							
05/12/20	2.000	236.42	05/11/20	234.00	...	2.42	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 148601016

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
<i>11 transactions for 06/09/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	805.97	06/09/20	803.00	...	2.97	1 of 11 - Sale <sup>25</sup>
	100.000	805.97	06/09/20	803.00	...	2.97	2 of 11 - Sale <sup>25</sup>
	200.000	1,611.94	06/09/20	1,606.00	...	5.94	3 of 11 - Sale <sup>25</sup>
	200.000	1,611.94	06/09/20	1,606.00	...	5.94	4 of 11 - Sale <sup>25</sup>
	200.000	1,611.94	06/09/20	1,606.00	...	5.94	5 of 11 - Sale <sup>25</sup>
	200.000	1,611.94	06/09/20	1,606.00	...	5.94	6 of 11 - Sale <sup>25</sup>
	200.000	1,611.94	06/09/20	1,606.00	...	5.94	7 of 11 - Sale <sup>25</sup>
	200.000	1,611.94	06/09/20	1,606.00	...	5.94	8 of 11 - Sale <sup>25</sup>
	200.000	1,611.94	06/09/20	1,606.00	...	5.94	9 of 11 - Sale <sup>25</sup>
	200.000	1,611.94	06/09/20	1,606.00	...	5.94	10 of 11 - Sale <sup>25</sup>
	200.000	1,611.94	06/09/20	1,606.00	...	5.94	11 of 11 - Sale <sup>25</sup>
06/09/20	2,000.000	16,119.40	Various	16,060.00	...	59.40	Total of 11 transactions
06/10/20	100.000	785.97	06/09/20	796.00	10.03 W	0.00	Sale <sup>25</sup>
07/28/20	100.000	687.97	06/10/20	788.72	100.75 W	0.00	Sale <sup>25</sup>
08/10/20	100.000	661.97	07/29/20	658.00	...	3.97	Sale <sup>25</sup>
08/10/20	100.000	661.98	07/27/20	769.75	107.77 W	0.00	Sale <sup>25</sup>
08/12/20	100.000	686.97	07/29/20	765.77	78.80 W	0.00	Sale <sup>25</sup>
<i>5 transactions for 09/14/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	606.97	09/10/20	679.80	...	-72.83	1 of 5 - Sale <sup>25</sup>
	100.000	606.97	09/10/20	601.00	...	5.97	2 of 5 - Sale <sup>25</sup>
	100.000	606.97	09/10/20	601.00	...	5.97	3 of 5 - Sale <sup>25</sup>
	100.000	606.98	09/10/20	601.00	...	5.98	4 of 5 - Sale <sup>25</sup>
	100.000	606.98	09/10/20	601.00	...	5.98	5 of 5 - Sale <sup>25</sup>
09/14/20	500.000	3,034.87	Various	3,083.80	...	-48.93	Total of 5 transactions
	<b>Security total:</b>	<b>22,639.13</b>		<b>22,922.04</b>	<b>297.35 W</b>	<b>14.44</b>	
GENERAL MOTORS COMPANY / CUSIP: 37045V100 / Symbol:							
04/07/20	5.000	107.71	04/01/20	95.00	...	12.71	Sale <sup>25</sup>
GILEAD SCIENCES, INC. COMMON S TOCK / CUSIP: 375558103 / Symbol:							
12/31/20	10.000	581.98	12/22/20	571.20	...	10.78	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 148601016

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GLU MOBILE INC. COMMON STOCK / CUSIP: 379890106 / Symbol:							
05/08/20	1.000	10.53	04/20/20	8.13	...	2.40	Sale <sup>25</sup>
05/28/20	100.000	937.96	05/26/20	900.00	...	37.96	Sale <sup>25</sup>
	<b>Security total:</b>	<b>948.49</b>		<b>908.13</b>	...	<b>40.36</b>	
GUESS?, INC. / CUSIP: 401617105 / Symbol:							
<i>2 transactions for 03/19/20. Total proceeds and cost reported to the IRS.</i>							
	49.000	459.81	03/12/20	411.11	...	48.70	1 of 2 - Sale <sup>25</sup>
	100.000	938.38	03/12/20	875.88	...	62.50	2 of 2 - Sale <sup>25</sup>
03/19/20	149.000	1,398.19	Various	1,286.99	...	111.20	Total of 2 transactions
<i>2 transactions for 03/24/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	8.86	03/12/20	8.39	...	0.47	1 of 2 - Sale <sup>25</sup>
	19.000	168.34	03/20/20	147.04	...	21.30	2 of 2 - Sale <sup>25</sup>
03/24/20	20.000	177.20	Various	155.43	...	21.77	Total of 2 transactions
04/13/20	1.000	8.16	03/20/20	7.74	...	0.42	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,583.55</b>		<b>1,450.16</b>	...	<b>133.39</b>	
HDFC BANK LIMITED / CUSIP: 40415F101 / Symbol:							
05/20/20	50.000	1,858.97	05/19/20	1,799.00	...	59.97	Sale <sup>25</sup>
HEWLETT PACKARD ENTERPRISE COM PANY / CUSIP: 42824C109 / Symbol:							
<i>2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	18.86	05/22/20	18.34	...	0.52	1 of 2 - Sale <sup>25</sup>
	48.000	452.64	05/22/20	440.16	...	12.48	2 of 2 - Sale <sup>25</sup>
05/26/20	50.000	471.50	Various	458.50	...	13.00	Total of 2 transactions
12/28/20	100.000	1,201.96	12/21/20	1,170.00	...	31.96	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,673.46</b>		<b>1,628.50</b>	...	<b>44.96</b>	
ICICI BANK LIMITED / CUSIP: 45104G104 / Symbol:							
<i>3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.</i>							
	99.000	951.60	06/08/20	949.41	...	2.19	1 of 3 - Sale <sup>25</sup>
	101.000	970.83	06/08/20	968.59	...	2.24	2 of 3 - Sale <sup>25</sup>
	800.000	7,689.73	06/08/20	7,672.00	...	17.73	3 of 3 - Sale <sup>25</sup>
06/08/20	1,000.000	9,612.16	Various	9,590.00	...	22.16	Total of 3 transactions

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Robinhood Securities LLC

Account 148601016

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ICICI BANK LIMITED / CUSIP: 45104G104 / Symbol: (cont'd)							
<i>8 transactions for 06/09/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	463.50	06/09/20	463.00	...	0.50	1 of 8 - Sale <sup>25</sup>
	50.000	463.50	06/09/20	463.00	...	0.50	2 of 8 - Sale <sup>25</sup>
	100.000	926.96	06/09/20	926.00	...	0.96	3 of 8 - Sale <sup>25</sup>
	100.000	926.96	06/09/20	926.00	...	0.96	4 of 8 - Sale <sup>25</sup>
	100.000	926.96	06/09/20	926.00	...	0.96	5 of 8 - Sale <sup>25</sup>
	200.000	1,853.93	06/09/20	1,852.00	...	1.93	6 of 8 - Sale <sup>25</sup>
	200.000	1,853.93	06/09/20	1,852.00	...	1.93	7 of 8 - Sale <sup>25</sup>
	200.000	1,853.93	06/09/20	1,852.00	...	1.93	8 of 8 - Sale <sup>25</sup>
06/09/20	1,000.000	9,269.67	Various	9,260.00	...	9.67	Total of 8 transactions
	<b>Security total:</b>	<b>18,881.83</b>		<b>18,850.00</b>	...	<b>31.83</b>	
INFOSYS LIMITED AMERICAN DEPOSITARY SHARES / CUSIP: 456788108 / Symbol:							
03/25/20	50.000	411.51	03/12/20	410.34	...	1.17	Sale <sup>25</sup>
INTEL CORPORATION COMMON STOCK / CUSIP: 458140100 / Symbol:							
08/10/20	1.000	49.27	07/30/20	48.02	...	1.25	Sale <sup>25</sup>
11/04/20	25.000	1,146.22	10/26/20	1,160.00	13.78 W	0.00	Sale <sup>25</sup>
<i>3 transactions for 11/09/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	1,174.97	10/26/20	1,160.00	...	14.97	1 of 3 - Sale <sup>25</sup>
	10.000	471.40	10/28/20	447.71	...	23.69	2 of 3 - Sale <sup>25</sup>
	15.000	704.98	10/28/20	671.57	...	33.41	3 of 3 - Sale <sup>25</sup>
11/09/20	50.000	2,351.35	Various	2,279.28	...	72.07	Total of 3 transactions
	<b>Security total:</b>	<b>3,546.84</b>		<b>3,487.30</b>	<b>13.78 W</b>	<b>73.32</b>	
INTERCEPT PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 45845P108 / Symbol:							
<i>2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	231.59	06/03/20	228.60	...	2.99	1 of 2 - Sale <sup>25</sup>
	7.000	540.39	06/03/20	533.40	...	6.99	2 of 2 - Sale <sup>25</sup>
06/03/20	10.000	771.98	Various	762.00	...	9.98	Total of 2 transactions
IQIYI, INC. AMERICAN DEPOSITARY SHARES / CUSIP: 46267X108 / Symbol:							
12/29/20	15.000	263.25	12/23/20	252.75	...	10.50	Sale <sup>25</sup>

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Account 148601016

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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JPMORGAN CHASE & CO. / CUSIP: 46625H100 / Symbol:							
05/26/20	10.000	926.67	05/21/20	900.00	...	26.67	Sale <sup>25</sup>
LOWE'S COMPANIES INC. / CUSIP: 548661107 / Symbol:							
04/07/20	2.000	188.69	04/01/20	165.00	...	23.69	Sale <sup>25</sup>
LUCKIN COFFEE INC AMERICAN DEP OSITARY SHARES - SPONSORED / CUSIP: 54951L109 / Symbol:							
2 transactions for 05/21/20. Total proceeds and cost reported to the IRS.							
	50.000	127.49	04/02/20	310.32	...	-182.83	1 of 2 - Sale <sup>25</sup>
	49.000	124.95	04/02/20	303.07	...	-178.12	2 of 2 - Sale <sup>25</sup>
05/21/20	99.000	252.44	Various	613.39	...	-360.95	Total of 2 transactions
06/30/20	1.000	1.52	04/02/20	6.18	...	-4.66	Sale <sup>25</sup>
	<b>Security total:</b>	<b>253.96</b>		<b>619.57</b>	...	<b>-365.61</b>	
LULULEMON ATHLETICA INC. COMMO N STOCK / CUSIP: 550021109 / Symbol:							
12/08/20	1.000	375.00	12/08/20	369.00	...	6.00	Sale <sup>25</sup>
MASIMO CORPORATION COMMON STOC K / CUSIP: 574795100 / Symbol:							
06/09/20	3.000	656.98	06/03/20	680.97	23.99 W	0.00	Sale <sup>25</sup>
3 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	3.000	662.99	06/04/20	687.58	...	-24.59	1 of 3 - Sale <sup>25</sup>
	2.000	445.32	06/04/20	448.85	...	-3.53	2 of 3 - Sale <sup>25</sup>
	1.000	220.99	06/04/20	218.43	...	2.56	3 of 3 - Sale <sup>25</sup>
06/10/20	6.000	1,329.30	Various	1,354.86	...	-25.56	Total of 3 transactions
06/10/20	2.000	441.99	06/03/20	453.98	11.99 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,428.27</b>		<b>2,489.81</b>	<b>35.98 W</b>	<b>-25.56</b>	
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
05/26/20	15.000	2,786.93	05/21/20	2,758.95	...	27.98	Sale <sup>25</sup>
3 transactions for 05/28/20. Total proceeds and cost reported to the IRS.							
	15.000	2,759.50	05/26/20	2,750.85	...	8.65	1 of 3 - Sale <sup>25</sup>
	5.000	919.84	05/26/20	906.94	...	12.90	2 of 3 - Sale <sup>25</sup>
	10.000	1,839.67	05/26/20	1,826.15	...	13.52	3 of 3 - Sale <sup>25</sup>
05/28/20	30.000	5,519.01	Various	5,483.94	...	35.07	Total of 3 transactions

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Robinhood Securities LLC

Account 148601016

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol: (cont'd)							
7 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	1.000	183.38	05/26/20	181.39	...	1.99	1 of 7 - Sale <sup>25</sup>
	1.000	183.38	05/28/20	182.10	...	1.28	2 of 7 - Sale <sup>25</sup>
	4.000	733.50	05/28/20	728.40	...	5.10	3 of 7 - Sale <sup>25</sup>
	9.000	1,650.37	05/28/20	1,638.45	...	11.92	4 of 7 - Sale <sup>25</sup>
	1.000	182.95	05/29/20	180.97	...	1.98	5 of 7 - Sale <sup>25</sup>
	4.000	727.78	05/29/20	723.48	...	4.30	6 of 7 - Sale <sup>25</sup>
	10.000	1,819.45	05/29/20	1,810.00	...	9.45	7 of 7 - Sale <sup>25</sup>
05/29/20	30.000	5,480.81	Various	5,444.79	...	36.02	Total of 7 transactions
05/29/20	1.000	181.95	05/28/20	182.05	0.10 W	0.00	Sale <sup>25</sup>
06/02/20	10.000	1,824.95	06/02/20	1,815.10	...	9.85	Sale <sup>25</sup>
2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	1.000	212.45	12/11/20	209.18	...	3.27	1 of 2 - Sale <sup>25</sup>
	1.000	212.45	12/11/20	209.18	...	3.27	2 of 2 - Sale <sup>25</sup>
12/11/20	2.000	424.90	Various	418.36	...	6.54	Total of 2 transactions
	<b>Security total:</b>	<b>16,218.55</b>		<b>16,103.19</b>	<b>0.10 W</b>	<b>115.46</b>	
MICRON TECHNOLOGY, INC. COMMON STOCK / CUSIP: 595112103 / Symbol:							
3 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	50.000	2,295.44	05/21/20	2,280.00	...	15.44	1 of 3 - Sale <sup>25</sup>
	20.000	918.18	05/22/20	886.00	...	32.18	2 of 3 - Sale <sup>25</sup>
	30.000	1,377.26	05/22/20	1,335.00	...	42.26	3 of 3 - Sale <sup>25</sup>
05/26/20	100.000	4,590.88	Various	4,501.00	...	89.88	Total of 3 transactions
2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.							
	1.000	47.23	05/28/20	46.60	...	0.63	1 of 2 - Sale <sup>25</sup>
	9.000	424.46	05/28/20	419.40	...	5.06	2 of 2 - Sale <sup>25</sup>
05/29/20	10.000	471.69	Various	466.00	...	5.69	Total of 2 transactions
7 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	10.000	467.69	06/01/20	467.10	...	0.59	1 of 7 - Sale <sup>25</sup>
	10.000	467.69	06/01/20	466.50	...	1.19	2 of 7 - Sale <sup>25</sup>
	10.000	467.69	06/01/20	465.70	...	1.99	3 of 7 - Sale <sup>25</sup>
	10.000	467.69	06/01/20	465.50	...	2.19	4 of 7 - Sale <sup>25</sup>
	10.000	467.69	06/01/20	463.70	...	3.99	5 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 148601016

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MICRON TECHNOLOGY, INC. COMMON STOCK / CUSIP: 595112103 / Symbol: (cont'd)							
	30.000	1,403.06	06/01/20	1,395.30	...	7.76	6 of 7 - Sale <sup>25</sup>
	10.000	467.68	06/02/20	459.50	...	8.18	7 of 7 - Sale <sup>25</sup>
06/02/20	90.000	4,209.19	Various	4,183.30	...	25.89	Total of 7 transactions
06/02/20	10.000	467.69	06/01/20	467.80	0.11 W	0.00	Sale <sup>25</sup>
2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	5.000	239.89	06/02/20	229.00	...	10.89	1 of 2 - Sale <sup>25</sup>
	10.000	479.79	06/02/20	458.11	...	21.68	2 of 2 - Sale <sup>25</sup>
06/03/20	15.000	719.68	Various	687.11	...	32.57	Total of 2 transactions
08/10/20	50.000	2,458.44	08/06/20	2,446.42	...	12.02	Sale <sup>25</sup>
08/12/20	100.000	4,864.88	08/11/20	4,858.00	...	6.88	Sale <sup>25</sup>
09/02/20	49.000	2,323.03	08/13/20	2,264.29	...	58.74	Sale <sup>25</sup>
09/02/20	50.000	2,370.44	08/12/20	2,375.00	0.09 W	-4.47	Sale <sup>25</sup>
09/14/20	1.000	49.02	08/13/20	46.30	...	2.72	Sale <sup>25</sup>
<b>Security total:</b>		<b>22,524.94</b>		<b>22,295.22</b>	<b>0.20 W</b>	<b>229.92</b>	
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
09/02/20	9.000	352.08	09/02/20	347.31	...	4.77	Sale <sup>25</sup>
09/02/20	1.000	39.12	09/01/20	40.87	1.75 W	0.00	Sale <sup>25</sup>
6 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	1.000	47.40	09/02/20	40.34	...	7.06	1 of 6 - Sale <sup>25</sup>
	2.000	94.80	09/03/20	71.80	...	23.00	2 of 6 - Sale <sup>25</sup>
	5.000	236.99	09/03/20	188.70	...	48.29	3 of 6 - Sale <sup>25</sup>
	1.000	49.25	09/04/20	35.08	...	14.17	4 of 6 - Sale <sup>25</sup>
	2.000	94.80	09/04/20	71.14	...	23.66	5 of 6 - Sale <sup>25</sup>
	4.000	189.59	09/04/20	140.32	...	49.27	6 of 6 - Sale <sup>25</sup>
09/08/20	15.000	712.83	Various	547.38	...	165.45	Total of 6 transactions
2 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	1.000	33.50	09/09/20	46.50	13.00 W	0.00	1 of 2 - Sale <sup>25</sup>
	4.000	134.00	09/10/20	148.00	14.00 W	0.00	2 of 2 - Sale <sup>25</sup>
09/14/20	5.000	167.50	Various	194.50	27.00 W	0.00	Total of 2 transactions
3 transactions for 11/11/20. Total proceeds and cost reported to the IRS.							
	1.000	20.68	09/10/20	50.00	...	-29.32	1 of 3 - Sale <sup>25</sup>
	4.000	82.70	09/11/20	140.68	...	-57.98	2 of 3 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
	1.000	20.68	09/11/20	31.67	...	-10.99	3 of 3 - Sale <sup>25</sup>
11/11/20	6.000	124.06	Various	222.35	...	-98.29	Total of 3 transactions
12/28/20	50.000	810.98	12/23/20	756.25	...	54.73	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,206.57</b>		<b>2,108.66</b>	<b>28.75 W</b>	<b>126.66</b>	
NOKIA CORPORATION / CUSIP: 654902204 / Symbol:							
03/24/20	150.000	436.48	03/12/20	416.70	...	19.78	Sale <sup>25</sup>
NUTANIX, INC. CLASS A COMMON S TOCK / CUSIP: 67059N108 / Symbol:							
03/24/20	3.000	52.87	03/12/20	40.19	...	12.68	Sale <sup>25</sup>
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol:							
05/22/20	1.000	358.00	05/21/20	351.88	...	6.12	Sale <sup>25</sup>
12/14/20	1.000	532.03	12/10/20	520.60	...	11.43	Sale <sup>25</sup>
	<b>Security total:</b>	<b>890.03</b>		<b>872.48</b>	...	<b>17.55</b>	
OCCIDENTAL PETROLEUM CORPORATI ON / CUSIP: 674599105 / Symbol:							
	2 transactions for 12/23/20. Total proceeds and cost reported to the IRS.						
	10.000	181.99	12/21/20	176.00	...	5.99	1 of 2 - Sale <sup>25</sup>
	40.000	727.98	12/21/20	704.00	...	23.98	2 of 2 - Sale <sup>25</sup>
12/23/20	50.000	909.97	Various	880.00	...	29.97	Total of 2 transactions
ON DECK CAPITAL, INC. / CUSIP: 682163100 / Symbol:							
03/20/20	49.000	47.50	03/18/20	41.12	...	6.38	Sale <sup>25</sup>
04/13/20	1.000	1.15	03/18/20	0.84	...	0.31	Sale <sup>25</sup>
	<b>Security total:</b>	<b>48.65</b>		<b>41.96</b>	...	<b>6.69</b>	
PALO ALTO NETWORKS, INC / CUSIP: 697435105 / Symbol:							
	2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.						
	2.000	457.81	05/18/20	448.60	...	9.21	1 of 2 - Sale <sup>25</sup>
	3.000	686.71	05/18/20	672.90	...	13.81	2 of 2 - Sale <sup>25</sup>
05/19/20	5.000	1,144.52	Various	1,121.50	...	23.02	Total of 2 transactions
	2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	9.000	2,115.22	06/03/20	2,120.58	...	-5.36	1 of 2 - Sale <sup>25</sup>

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Account 148601016

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PALO ALTO NETWORKS, INC / CUSIP: 697435105 / Symbol: (cont'd)							
	1.000	236.97	06/03/20	235.62	...	1.35	2 of 2 - Sale <sup>25</sup>
06/08/20	10.000	2,352.19	Various	2,356.20	...	-4.01	Total of 2 transactions
	<b>Security total:</b>	<b>3,496.71</b>		<b>3,477.70</b>	...	<b>19.01</b>	
PAYCOM SOFTWARE, INC. / CUSIP: 70432V102 / Symbol:							
05/20/20	2.000	548.14	05/20/20	540.00	...	8.14	Sale <sup>25</sup>
	2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.						
	1.000	278.97	05/22/20	269.45	...	9.52	1 of 2 - Sale <sup>25</sup>
	2.000	557.18	05/22/20	538.90	...	18.28	2 of 2 - Sale <sup>25</sup>
05/26/20	3.000	836.15	Various	808.35	...	27.80	Total of 2 transactions
	<b>Security total:</b>	<b>1,384.29</b>		<b>1,348.35</b>	...	<b>35.94</b>	
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol:							
09/02/20	3.000	271.38	09/02/20	267.45	...	3.93	Sale <sup>25</sup>
09/08/20	1.000	87.00	09/03/20	82.35	...	4.65	Sale <sup>25</sup>
	<b>Security total:</b>	<b>358.38</b>		<b>349.80</b>	...	<b>8.58</b>	
PENNEY J C CORP INC COMMON STO CK / CUSIP: 708160106 / Symbol:							
04/09/20	999.000	359.52	04/01/20	349.65	...	9.87	Sale <sup>25</sup>
05/18/20	1.000	0.17	04/01/20	0.35	...	-0.18	Sale <sup>25</sup>
	<b>Security total:</b>	<b>359.69</b>		<b>350.00</b>	...	<b>9.69</b>	
PEPSICO, INC. COMMON STOCK / CUSIP: 713448108 / Symbol:							
	10 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	1.000	132.14	06/08/20	132.00	...	0.14	1 of 10 - Sale <sup>25</sup>
	1.000	132.14	06/08/20	132.00	...	0.14	2 of 10 - Sale <sup>25</sup>
	1.000	132.14	06/08/20	132.00	...	0.14	3 of 10 - Sale <sup>25</sup>
	4.000	526.39	06/08/20	526.00	...	0.39	4 of 10 - Sale <sup>25</sup>
	10.000	1,321.37	06/08/20	1,320.00	...	1.37	5 of 10 - Sale <sup>25</sup>
	20.000	2,642.74	06/08/20	2,640.00	...	2.74	6 of 10 - Sale <sup>25</sup>
	27.000	3,557.17	06/08/20	3,551.85	...	5.32	7 of 10 - Sale <sup>25</sup>
	96.000	12,633.31	06/08/20	12,624.00	...	9.31	8 of 10 - Sale <sup>25</sup>
	100.000	13,159.69	06/08/20	13,150.00	...	9.69	9 of 10 - Sale <sup>25</sup>

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Account 148601016

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PEPSICO, INC. COMMON STOCK / CUSIP: 713448108 / Symbol: (cont'd)							
	73.000	9,617.52	06/08/20	9,603.15	...	14.37	10 of 10 - Sale <sup>25</sup>
06/08/20	333.000	43,854.61	Various	43,811.00	...	43.61	Total of 10 transactions
	10 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	1.000	132.00	06/08/20	131.60	...	0.40	1 of 10 - Sale <sup>25</sup>
	1.000	132.27	06/08/20	131.60	...	0.67	2 of 10 - Sale <sup>25</sup>
	7.000	923.98	06/08/20	921.23	...	2.75	3 of 10 - Sale <sup>25</sup>
	9.000	1,187.97	06/08/20	1,184.43	...	3.54	4 of 10 - Sale <sup>25</sup>
	15.000	1,979.95	06/08/20	1,974.00	...	5.95	5 of 10 - Sale <sup>25</sup>
	16.000	2,113.56	06/08/20	2,105.60	...	7.96	6 of 10 - Sale <sup>25</sup>
	60.000	7,919.82	06/08/20	7,896.00	...	23.82	7 of 10 - Sale <sup>25</sup>
	91.000	12,011.72	06/08/20	11,975.60	...	36.12	8 of 10 - Sale <sup>25</sup>
	100.000	13,199.69	06/08/20	13,160.00	...	39.69	9 of 10 - Sale <sup>25</sup>
	49.000	6,481.12	06/09/20	6,472.90	...	8.22	10 of 10 - Sale <sup>25</sup>
06/09/20	349.000	46,082.08	Various	45,952.96	...	129.12	Total of 10 transactions
	2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	8.000	1,055.97	06/08/20	1,056.00	0.03 W	0.00	1 of 2 - Sale <sup>25</sup>
	9.000	1,187.97	06/08/20	1,188.00	0.03 W	0.00	2 of 2 - Sale <sup>25</sup>
06/09/20	17.000	2,243.94	Various	2,244.00	0.06 W	0.00	Total of 2 transactions
06/10/20	1.000	134.82	06/09/20	132.10	...	2.72	Sale <sup>25</sup>
	<b>Security total:</b>	<b>92,315.45</b>		<b>92,140.06</b>	<b>0.06 W</b>	<b>175.45</b>	
PING IDENTITY HOLDING CORP. / CUSIP: 72341T103 / Symbol:							
05/15/20	19.000	455.81	05/14/20	440.80	...	15.01	Sale <sup>25</sup>
05/15/20	1.000	23.99	05/13/20	26.62	2.63 W	0.00	Sale <sup>25</sup>
05/19/20	49.000	1,222.52	05/18/20	1,188.25	...	34.27	Sale <sup>25</sup>
05/19/20	1.000	24.95	05/14/20	25.83	0.88 W	0.00	Sale <sup>25</sup>
05/20/20	1.000	25.65	05/18/20	25.13	...	0.52	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,752.92</b>		<b>1,706.63</b>	<b>3.51 W</b>	<b>49.80</b>	
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
12/03/20	100.000	2,444.93	12/03/20	2,416.00	...	28.93	Sale <sup>25</sup>
	3 transactions for 12/04/20. Total proceeds and cost reported to the IRS.						
	8.000	196.39	12/03/20	193.28	...	3.11	1 of 3 - Sale <sup>25</sup>
	19.000	466.45	12/03/20	459.04	...	7.41	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 148601016

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
	72.000	1,767.55	12/03/20	1,739.52	...	28.03	3 of 3 - Sale <sup>25</sup>
12/04/20	99.000	2,430.39	Various	2,391.84	...	38.55	Total of 3 transactions
12/08/20	1.000	27.00	12/03/20	24.16	...	2.84	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,902.32</b>		<b>4,832.00</b>	...	<b>70.32</b>	
PYXUS INTL INC COMMON STOCK / CUSIP: 74737V106 / Symbol:							
2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	25.000	60.75	05/19/20	58.75	...	2.00	1 of 2 - Sale <sup>25</sup>
	75.000	182.24	05/19/20	176.25	...	5.99	2 of 2 - Sale <sup>25</sup>
05/20/20	100.000	242.99	Various	235.00	...	7.99	Total of 2 transactions
05/26/20	100.000	236.99	05/19/20	235.00	...	1.99	Sale <sup>25</sup>
	<b>Security total:</b>	<b>479.98</b>		<b>470.00</b>	...	<b>9.98</b>	
RACKSPACE TECHNOLOGY, INC. COM MON STOCK / CUSIP: 750102105 / Symbol:							
12/23/20	50.000	997.47	12/22/20	983.75	...	13.72	Sale <sup>25</sup>
12/28/20	20.000	399.00	12/28/20	392.80	...	6.20	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,396.47</b>		<b>1,376.55</b>	...	<b>19.92</b>	
REDWOOD TRUST, INC. / CUSIP: 758075402 / Symbol:							
3 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	18.000	57.60	04/02/20	53.46	...	4.14	1 of 3 - Sale <sup>25</sup>
	32.000	102.40	04/02/20	95.04	...	7.36	2 of 3 - Sale <sup>25</sup>
	29.000	92.80	04/03/20	62.93	...	29.87	3 of 3 - Sale <sup>25</sup>
04/07/20	79.000	252.80	Various	211.43	...	41.37	Total of 3 transactions
05/07/20	1.000	4.18	04/03/20	2.17	...	2.01	Sale <sup>25</sup>
	<b>Security total:</b>	<b>256.98</b>		<b>213.60</b>	...	<b>43.38</b>	
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	1.000	118.65	05/19/20	116.29	...	2.36	1 of 2 - Sale <sup>25</sup>
	1.000	118.65	05/19/20	116.29	...	2.36	2 of 2 - Sale <sup>25</sup>
05/20/20	2.000	237.30	Various	232.58	...	4.72	Total of 2 transactions
06/01/20	1.000	113.60	05/19/20	116.29	2.69 W	0.00	Sale <sup>25</sup>
06/03/20	2.000	218.00	06/03/20	214.80	...	3.20	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 148601016

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol: (cont'd)							
06/03/20	1.000	109.00	06/03/20	110.09	1.09 W	0.00	Sale <sup>25</sup>
	<i>2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.</i>						
	2.000	213.55	06/03/20	213.26	...	0.29	1 of 2 - Sale <sup>25</sup>
	3.000	320.33	06/03/20	312.18	...	8.15	2 of 2 - Sale <sup>25</sup>
06/04/20	5.000	533.88	Various	525.44	...	8.44	Total of 2 transactions
	<i>2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	106.78	06/03/20	108.24	1.46 W	0.00	1 of 2 - Sale <sup>25</sup>
	3.000	320.33	06/03/20	321.44	1.11 W	0.00	2 of 2 - Sale <sup>25</sup>
06/04/20	4.000	427.11	Various	429.68	2.57 W	0.00	Total of 2 transactions
	<i>5 transactions for 06/08/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	107.00	06/03/20	105.52	...	1.48	1 of 5 - Sale <sup>25</sup>
	1.000	107.00	06/04/20	103.21	...	3.79	2 of 5 - Sale <sup>25</sup>
	1.000	106.99	06/04/20	102.84	...	4.15	3 of 5 - Sale <sup>25</sup>
	1.000	107.63	06/04/20	102.84	...	4.79	4 of 5 - Sale <sup>25</sup>
	2.000	213.99	06/04/20	206.42	...	7.57	5 of 5 - Sale <sup>25</sup>
06/08/20	6.000	642.61	Various	620.83	...	21.78	Total of 5 transactions
	<b>Security total:</b>	<b>2,281.50</b>		<b>2,249.71</b>	<b>6.35 W</b>	<b>38.14</b>	
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol:							
10/08/20	1.000	2.69	10/01/20	1.56	...	1.13	Sale <sup>25</sup>
SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol:							
12/02/20	1.000	225.00	12/02/20	216.50	...	8.50	Sale <sup>25</sup>
SERVICENOW, INC. / CUSIP: 81762P102 / Symbol:							
05/13/20	3.000	1,100.97	05/12/20	1,087.20	...	13.77	Sale <sup>25</sup>
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
06/05/20	10.000	327.60	06/04/20	320.00	...	7.60	Sale <sup>25</sup>
	<i>4 transactions for 06/08/20. Total proceeds and cost reported to the IRS.</i>						
	6.000	186.59	06/08/20	186.24	...	0.35	1 of 4 - Sale <sup>25</sup>
	37.000	1,150.67	06/08/20	1,148.48	...	2.19	2 of 4 - Sale <sup>25</sup>
	57.000	1,772.65	06/08/20	1,769.28	...	3.37	3 of 4 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 148601016

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol: (cont'd)							
	100.000	3,109.92	06/08/20	3,104.00	...	5.92	4 of 4 - Sale <sup>25</sup>
06/08/20	200.000	6,219.83	Various	6,208.00	...	11.83	Total of 4 transactions
06/10/20	100.000	3,159.92	06/09/20	3,120.00	...	39.92	Sale <sup>25</sup>
09/10/20	4.000	104.20	09/08/20	99.12	...	5.08	Sale <sup>25</sup>
09/16/20	1.000	25.28	09/08/20	24.78	...	0.50	Sale <sup>25</sup>
	<b>Security total:</b>	<b>9,836.83</b>		<b>9,771.90</b>	...	<b>64.93</b>	
SPLUNK INC. COMMON STOCK / CUSIP: 848637104 / Symbol:							
05/19/20	5.000	794.44	05/18/20	780.00	...	14.44	Sale <sup>25</sup>
12/21/20	2.000	343.40	12/02/20	333.90	...	9.50	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,137.84</b>		<b>1,113.90</b>	...	<b>23.94</b>	
SQUARE, INC. / CUSIP: 852234103 / Symbol:							
12/02/20	2.000	406.80	12/02/20	394.00	...	12.80	Sale <sup>25</sup>
12/04/20	3.000	626.98	12/04/20	616.50	...	10.48	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,033.78</b>		<b>1,010.50</b>	...	<b>23.28</b>	
STITCH FIX, INC. CLASS A COMMON STOCK / CUSIP: 860897107 / Symbol:							
4 transactions for 03/18/20. Total proceeds and cost reported to the IRS.							
	2.000	28.56	03/12/20	25.50	...	3.06	1 of 4 - Sale <sup>25</sup>
	5.000	71.40	03/12/20	63.75	...	7.65	2 of 4 - Sale <sup>25</sup>
	20.000	285.59	03/12/20	256.00	...	29.59	3 of 4 - Sale <sup>25</sup>
	23.000	328.43	03/12/20	293.25	...	35.18	4 of 4 - Sale <sup>25</sup>
03/18/20	50.000	713.98	Various	638.50	...	75.48	Total of 4 transactions
04/09/20	19.000	290.70	03/25/20	266.00	...	24.70	Sale <sup>25</sup>
04/13/20	1.000	15.19	03/25/20	14.00	...	1.19	Sale <sup>25</sup>
05/18/20	50.000	1,022.51	05/18/20	1,000.00	...	22.51	Sale <sup>25</sup>
05/19/20	25.000	527.98	05/18/20	516.02	...	11.96	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,570.36</b>		<b>2,434.52</b>	...	<b>135.84</b>	
TAKE-TWO INTERACTIVE SOFTWARE, INC. COMMON STOCK / CUSIP: 874054109 / Symbol:							
05/21/20	1.000	145.30	05/20/20	141.95	...	3.35	Sale <sup>25</sup>
05/27/20	3.000	402.00	05/26/20	399.30	...	2.70	Sale <sup>25</sup>
	<b>Security total:</b>	<b>547.30</b>		<b>541.25</b>	...	<b>6.05</b>	

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Account 148601016

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TELADOC HEALTH, INC. / CUSIP: 87918A105 / Symbol:							
05/28/20	2.000	340.00	05/26/20	333.60	...	6.40	Sale <sup>25</sup>
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
05/14/20	1.000	794.98	05/13/20	784.80	...	10.18	Sale <sup>25</sup>
TEVA PHARMACEUTICAL INDUSTRIES LIMITED AMERICAN DEPOSITARY SHARES / CUSIP: 881624209 / Symbol:							
12/28/20	100.000	990.96	12/22/20	968.00	...	22.96	Sale <sup>25</sup>
THE TRADE DESK, INC. CLASS A COMMON STOCK / CUSIP: 88339J105 / Symbol:							
2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	1.000	300.49	05/18/20	297.96	...	2.53	1 of 2 - Sale <sup>25</sup>
	1.000	300.92	05/18/20	297.95	...	2.97	2 of 2 - Sale <sup>25</sup>
05/19/20	2.000	601.41	Various	595.91	...	5.50	Total of 2 transactions
2 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	1.000	299.00	05/19/20	294.37	...	4.63	1 of 2 - Sale <sup>25</sup>
	1.000	300.25	05/19/20	294.37	...	5.88	2 of 2 - Sale <sup>25</sup>
05/20/20	2.000	599.25	Various	588.74	...	10.51	Total of 2 transactions
	<b>Security total:</b>	<b>1,200.66</b>		<b>1,184.65</b>	...	<b>16.01</b>	
TWILIO INC. / CUSIP: 90138F102 / Symbol:							
05/19/20	3.000	563.53	05/18/20	552.90	...	10.63	Sale <sup>25</sup>
2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	1.000	316.99	11/30/20	316.00	...	0.99	1 of 2 - Sale <sup>25</sup>
	1.000	316.99	11/30/20	310.00	...	6.99	2 of 2 - Sale <sup>25</sup>
11/30/20	2.000	633.98	Various	626.00	...	7.98	Total of 2 transactions
12/02/20	2.000	629.48	12/02/20	610.00	...	19.48	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,826.99</b>		<b>1,788.90</b>	...	<b>38.09</b>	
ULTA BEAUTY, INC. COMMON STOCK / CUSIP: 90384S303 / Symbol:							
2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	1.000	215.02	05/15/20	199.50	...	15.52	1 of 2 - Sale <sup>25</sup>
	4.000	837.38	05/15/20	798.00	...	39.38	2 of 2 - Sale <sup>25</sup>
05/18/20	5.000	1,052.40	Various	997.50	...	54.90	Total of 2 transactions
05/20/20	1.000	213.50	05/18/20	211.00	...	2.50	Sale <sup>25</sup>

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Account 148601016

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ULTA BEAUTY, INC. COMMON STOCK / CUSIP: 90384S303 / Symbol: (cont'd)							
2 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	2.000	382.04	08/03/20	379.00	...	3.04	1 of 2 - Sale <sup>25</sup>
	3.000	573.04	08/03/20	568.50	...	4.54	2 of 2 - Sale <sup>25</sup>
08/03/20	5.000	955.08	Various	947.50	...	7.58	Total of 2 transactions
	<b>Security total:</b>	<b>2,220.98</b>		<b>2,156.00</b>	...	<b>64.98</b>	
UNILEVER PLC / CUSIP: 904767704 / Symbol:							
05/20/20	50.000	2,563.94	05/19/20	2,550.00	...	13.94	Sale <sup>25</sup>
VIASAT, INC. COMMON STOCK / CUSIP: 92552V100 / Symbol:							
6 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	11.000	342.10	12/21/20	332.86	...	9.24	1 of 6 - Sale <sup>25</sup>
	18.000	559.79	12/21/20	544.68	...	15.11	2 of 6 - Sale <sup>25</sup>
	21.000	653.09	12/21/20	635.46	...	17.63	3 of 6 - Sale <sup>25</sup>
	50.000	1,554.99	12/21/20	1,513.83	...	41.16	4 of 6 - Sale <sup>25</sup>
	100.000	3,118.92	12/21/20	3,029.00	...	89.92	5 of 6 - Sale <sup>25</sup>
	99.000	3,093.29	12/21/20	2,998.71	...	94.58	6 of 6 - Sale <sup>25</sup>
12/22/20	299.000	9,322.18	Various	9,054.54	...	267.64	Total of 6 transactions
12/28/20	1.000	33.93	12/21/20	30.29	...	3.64	Sale <sup>25</sup>
	<b>Security total:</b>	<b>9,356.11</b>		<b>9,084.83</b>	...	<b>271.28</b>	
VISA INC. / CUSIP: 92826C839 / Symbol:							
2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	1.000	207.33	12/21/20	206.20	...	1.13	1 of 2 - Sale <sup>25</sup>
	9.000	1,865.92	12/21/20	1,855.80	...	10.12	2 of 2 - Sale <sup>25</sup>
12/21/20	10.000	2,073.25	Various	2,062.00	...	11.25	Total of 2 transactions
5 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	10.000	2,099.95	12/22/20	2,068.60	...	31.35	1 of 5 - Sale <sup>25</sup>
	1.000	210.32	12/23/20	205.75	...	4.57	2 of 5 - Sale <sup>25</sup>
	1.000	210.79	12/23/20	205.60	...	5.19	3 of 5 - Sale <sup>25</sup>
	9.000	1,892.83	12/23/20	1,850.40	...	42.43	4 of 5 - Sale <sup>25</sup>
	19.000	3,989.91	12/23/20	3,909.25	...	80.66	5 of 5 - Sale <sup>25</sup>
12/28/20	40.000	8,403.80	Various	8,239.60	...	164.20	Total of 5 transactions

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Account 148601016

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
VISA INC. / CUSIP: 92826C839 / Symbol: (cont'd)							
<b>Security total:</b>		<b>10,477.05</b>		<b>10,301.60</b>	...		<b>175.45</b>
WEIBO CORPORATION AMERICAN DEP OSITARY SHARE / CUSIP: 948596101 / Symbol:							
4 transactions for 05/20/20. Total proceeds and cost reported to the IRS.							
	1.000	34.50	05/20/20	34.00	...	0.50	1 of 4 - Sale <sup>25</sup>
	2.000	68.96	05/20/20	68.00	...	0.96	2 of 4 - Sale <sup>25</sup>
	2.000	68.96	05/20/20	68.00	...	0.96	3 of 4 - Sale <sup>25</sup>
	3.000	103.44	05/20/20	102.00	...	1.44	4 of 4 - Sale <sup>25</sup>
05/20/20	8.000	275.86	Various	272.00	...	3.86	Total of 4 transactions
05/22/20	30.000	914.97	05/20/20	1,020.00	105.03 W	0.00	Sale <sup>25</sup>
15 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	1.000	30.79	05/20/20	34.00	3.21 W	0.00	1 of 15 - Sale <sup>25</sup>
	11.000	338.69	05/20/20	374.00	35.31 W	0.00	2 of 15 - Sale <sup>25</sup>
	5.000	153.95	05/22/20	173.66	19.71 W	0.00	3 of 15 - Sale <sup>25</sup>
	9.000	277.11	05/22/20	312.58	35.47 W	0.00	4 of 15 - Sale <sup>25</sup>
	9.000	277.11	05/22/20	312.58	35.47 W	0.00	5 of 15 - Sale <sup>25</sup>
	7.000	216.99	05/22/20	243.11	26.12 W	0.00	6 of 15 - Sale <sup>25</sup>
	1.000	31.00	05/22/20	33.26	2.26 W	0.00	7 of 15 - Sale <sup>25</sup>
	5.000	155.00	05/22/20	169.96	14.96 W	0.00	8 of 15 - Sale <sup>25</sup>
	6.000	185.99	05/22/20	203.95	17.96 W	0.00	9 of 15 - Sale <sup>25</sup>
	11.000	340.99	05/22/20	365.86	24.87 W	0.00	10 of 15 - Sale <sup>25</sup>
	4.000	119.80	05/22/20	135.96	16.16 W	0.00	11 of 15 - Sale <sup>25</sup>
	3.000	89.85	05/22/20	101.97	12.12 W	0.00	12 of 15 - Sale <sup>25</sup>
	1.000	29.95	05/22/20	32.29	2.34 W	0.00	13 of 15 - Sale <sup>25</sup>
	1.000	29.95	05/22/20	33.02	3.07 W	0.00	14 of 15 - Sale <sup>25</sup>
	11.000	329.43	05/22/20	355.20	25.77 W	0.00	15 of 15 - Sale <sup>25</sup>
05/26/20	85.000	2,606.60	Various	2,881.40	274.80 W	0.00	Total of 15 transactions
10 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	4.000	122.40	05/22/20	132.09	9.69 W	0.00	1 of 10 - Sale <sup>25</sup>
	6.000	183.59	05/22/20	198.14	14.55 W	0.00	2 of 10 - Sale <sup>25</sup>
	5.000	153.00	05/22/20	169.86	16.86 W	0.00	3 of 10 - Sale <sup>25</sup>
	7.000	214.19	05/22/20	236.33	22.14 W	0.00	4 of 10 - Sale <sup>25</sup>
	1.000	30.60	05/26/20	31.94	1.34 W	0.00	5 of 10 - Sale <sup>25</sup>
	7.000	214.19	05/26/20	223.60	9.41 W	0.00	6 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 148601016

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WEIBO CORPORATION AMERICAN DEP OSITARY SHARE / CUSIP: 948596101 / Symbol: (cont'd)							
	1.000	30.65	05/26/20	32.67	2.02 W	0.00	7 of 10 - Sale <sup>25</sup>
	4.000	122.60	05/26/20	127.77	5.17 W	0.00	8 of 10 - Sale <sup>25</sup>
	3.000	91.95	05/26/20	100.92	8.97 W	0.00	9 of 10 - Sale <sup>25</sup>
	3.000	91.95	05/26/20	100.92	8.97 W	0.00	10 of 10 - Sale <sup>25</sup>
05/27/20	41.000	1,255.12	Various	1,354.24	99.12 W	0.00	Total of 10 transactions
	13 transactions for 05/29/20. Total proceeds and cost reported to the IRS.						
	7.000	207.19	05/29/20	227.66	...	-20.47	1 of 13 - Sale <sup>25</sup>
	5.000	148.00	05/29/20	163.66	...	-15.66	2 of 13 - Sale <sup>25</sup>
	6.000	177.59	05/29/20	190.71	...	-13.12	3 of 13 - Sale <sup>25</sup>
	3.000	88.80	05/29/20	97.05	...	-8.25	4 of 13 - Sale <sup>25</sup>
	3.000	88.80	05/29/20	97.05	...	-8.25	5 of 13 - Sale <sup>25</sup>
	7.000	207.19	05/29/20	214.93	...	-7.74	6 of 13 - Sale <sup>25</sup>
	4.000	118.40	05/29/20	124.73	...	-6.33	7 of 13 - Sale <sup>25</sup>
	4.000	118.40	05/29/20	122.61	...	-4.21	8 of 13 - Sale <sup>25</sup>
	1.000	30.73	05/29/20	33.04	...	-2.31	9 of 13 - Sale <sup>25</sup>
	1.000	29.60	05/29/20	31.38	...	-1.78	10 of 13 - Sale <sup>25</sup>
	1.000	29.60	05/29/20	30.70	...	-1.10	11 of 13 - Sale <sup>25</sup>
	9.000	266.39	05/29/20	264.24	...	2.15	12 of 13 - Sale <sup>25</sup>
	25.000	739.98	05/29/20	725.00	...	14.98	13 of 13 - Sale <sup>25</sup>
05/29/20	76.000	2,250.67	Various	2,322.76	...	-72.09	Total of 13 transactions
	2 transactions for 05/29/20. Total proceeds and cost reported to the IRS.						
	1.000	29.60	05/26/20	33.64	4.04 W	0.00	1 of 2 - Sale <sup>25</sup>
	4.000	118.40	05/29/20	127.13	8.73 W	0.00	2 of 2 - Sale <sup>25</sup>
05/29/20	5.000	148.00	Various	160.77	12.77 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>7,451.22</b>		<b>8,011.17</b>	<b>491.72 W</b>	<b>-68.23</b>	
WELLS FARGO & CO. / CUSIP: 949746101 / Symbol:							
05/18/20	5.000	127.76	05/18/20	124.34	...	3.42	Sale <sup>25</sup>
	2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.						
	3.000	75.04	05/19/20	73.50	...	1.54	1 of 2 - Sale <sup>25</sup>
	17.000	425.20	05/19/20	416.50	...	8.70	2 of 2 - Sale <sup>25</sup>
05/19/20	20.000	500.24	Various	490.00	...	10.24	Total of 2 transactions
05/20/20	25.000	617.54	05/19/20	615.00	...	2.54	Sale <sup>25</sup>
06/10/20	100.000	3,058.92	06/10/20	3,030.00	...	28.92	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 148601016

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WELLS FARGO & CO. / CUSIP: 949746101 / Symbol: (cont'd)							
08/04/20	49.000	1,184.79	08/04/20	1,181.88	...	2.91	Sale <sup>25</sup>
	2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.						
	1.000	25.04	08/04/20	24.12	...	0.92	1 of 2 - Sale <sup>25</sup>
	19.000	475.74	09/03/20	463.60	...	12.14	2 of 2 - Sale <sup>25</sup>
09/04/20	20.000	500.78	Various	487.72	...	13.06	Total of 2 transactions
09/16/20	1.000	25.86	09/03/20	24.40	...	1.46	Sale <sup>25</sup>
	<b>Security total:</b>	<b>6,015.89</b>		<b>5,953.34</b>	...	<b>62.55</b>	
ZSCALER, INC. COMMON STOCK / CUSIP: 98980G102 / Symbol:							
	2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.						
	5.000	376.13	05/18/20	371.25	...	4.88	1 of 2 - Sale <sup>25</sup>
	5.000	376.14	05/18/20	371.25	...	4.89	2 of 2 - Sale <sup>25</sup>
05/19/20	10.000	752.27	Various	742.50	...	9.77	Total of 2 transactions
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
05/19/20	25.000	326.00	05/18/20	319.65	...	6.35	Sale <sup>25</sup>
PAGESEGURO DIGITAL LTD. / CUSIP: G68707101 / Symbol:							
	2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.						
	1.000	27.06	05/15/20	24.70	...	2.36	1 of 2 - Sale <sup>25</sup>
	4.000	104.00	05/15/20	98.80	...	5.20	2 of 2 - Sale <sup>25</sup>
05/18/20	5.000	131.06	Various	123.50	...	7.56	Total of 2 transactions
	6 transactions for 05/26/20. Total proceeds and cost reported to the IRS.						
	2.000	54.34	05/20/20	53.90	...	0.44	1 of 6 - Sale <sup>25</sup>
	3.000	81.51	05/20/20	80.85	...	0.66	2 of 6 - Sale <sup>25</sup>
	13.000	353.20	05/21/20	339.30	...	13.90	3 of 6 - Sale <sup>25</sup>
	17.000	461.87	05/21/20	443.70	...	18.17	4 of 6 - Sale <sup>25</sup>
	1.000	27.47	05/22/20	25.99	...	1.48	5 of 6 - Sale <sup>25</sup>
	24.000	652.06	05/22/20	623.76	...	28.30	6 of 6 - Sale <sup>25</sup>
05/26/20	60.000	1,630.45	Various	1,567.50	...	62.95	Total of 6 transactions
	3 transactions for 05/28/20. Total proceeds and cost reported to the IRS.						
	1.000	32.00	05/28/20	29.86	...	2.14	1 of 3 - Sale <sup>25</sup>
	4.000	128.00	05/28/20	119.43	...	8.57	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 148601016

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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PAGSEGURO DIGITAL LTD. / CUSIP: G68707101 / Symbol: (cont'd)							
	10.000	320.00	05/28/20	298.57	...	21.43	3 of 3 - Sale <sup>25</sup>
05/28/20	15.000	480.00	Various	447.86	...	32.14	Total of 3 transactions
05/28/20	5.000	157.75	05/28/20	160.15	2.40 W	0.00	Sale <sup>25</sup>
06/01/20	5.000	164.30	05/28/20	162.40	...	1.90	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,563.56</b>		<b>2,461.41</b>	<b>2.40 W</b>	<b>104.55</b>	
STONECO LTD. CLASS A COMMON SH ARES / CUSIP: G85158106 / Symbol:							
05/19/20	5.000	124.29	05/18/20	120.00	...	4.29	Sale <sup>25</sup>
	3 transactions for 05/21/20. Total proceeds and cost reported to the IRS.						
	2.000	49.10	05/20/20	49.00	...	0.10	1 of 3 - Sale <sup>25</sup>
	8.000	196.88	05/20/20	196.00	...	0.88	2 of 3 - Sale <sup>25</sup>
	10.000	246.10	05/20/20	245.00	...	1.10	3 of 3 - Sale <sup>25</sup>
05/21/20	20.000	492.08	Various	490.00	...	2.08	Total of 3 transactions
05/26/20	5.000	147.50	05/26/20	130.94	...	16.56	Sale <sup>25</sup>
05/29/20	10.000	318.70	05/28/20	317.70	...	1.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,082.57</b>		<b>1,058.64</b>	...	<b>23.93</b>	
SPOTIFY TECHNOLOGY S.A. / CUSIP: L8681T102 / Symbol:							
05/29/20	3.000	542.20	05/28/20	534.60	...	7.60	Sale <sup>25</sup>
FIVERR INTERNATIONAL LTD. / CUSIP: M4R82T106 / Symbol:							
12/30/20	5.000	1,028.37	12/28/20	986.50	...	41.87	Sale <sup>25</sup>
<b>Totals :</b>		<b>1,937,075.39</b>		<b>1,954,529.49</b>	<b>22,208.68 W</b>	<b>4,754.58</b>	

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Robinhood Securities LLC

Account 148601016

**Detail for Dividends and Distributions**

2020

02/14/2021

*This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.*

*Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.*

*Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.*

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
BANK OF AMERICA CORPORATION	060505104		09/25/20	0.18	Qualified dividend	
CISCO SYSTEMS, INC. COMMON STO CK (DE)	17275R102		10/21/20	28.80	Qualified dividend	
CITIGROUP INC.	172967424		08/28/20	38.25	Qualified dividend	
			11/25/20	28.05	Qualified dividend	
				<b>66.30</b>	<b>Total Dividends &amp; distributions</b>	
COCA-COLA COMPANY	191216100		07/01/20	205.00	Qualified dividend	
GENERAL ELECTRIC COMPANY	369604103		07/27/20	1.00	Qualified dividend	
INTEL CORPORATION COMMON STOCK	458140100		09/01/20	0.33	Qualified dividend	
			12/01/20	16.50	Qualified dividend	
				<b>16.83</b>	<b>Total Dividends &amp; distributions</b>	
WELLS FARGO & CO.	949746101		09/01/20	0.10	Qualified dividend	
				<b>318.21</b>	<b>Total Dividends &amp; distributions</b>	



Robinhood Securities LLC

Account 148601016

**Fees and Expenses**

2020

02/14/2021

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		07/10/20	-5.00	Margin interest paid	
		07/10/20	-48.63	Margin interest paid	
		07/14/20	0.03	Adj- Margin interest paid	
		08/10/20	-5.00	Margin interest paid	
		08/10/20	-29.98	Margin interest paid	
		09/08/20	-5.00	Margin interest paid	
		10/08/20	-2.56	Margin interest paid	
		10/08/20	-5.00	Margin interest paid	
		11/09/20	-5.00	Margin interest paid	
		12/07/20	-2.25	Margin interest paid	
		12/07/20	-5.00	Margin interest paid	
			-113.39	Total Margin interest paid	
			<b>-113.39</b>	<b>Total Margin interest paid</b>	

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

**Line 1b.** Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 2c.** Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Instructions for Recipient**

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Line 8.** This line should be left blank if a RIC reported the foreign tax shown in line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

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