

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 161787155</b>	Statement Date: 02/14/2021 Document ID: 1R19 111 VFS7	2020
	Saurav Choudhary 5356 Middlebury Loop Lewis Center, OH 43035  RECIPIENT'S TIN: XXX-XX-9109		

**Summary Information**

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	17.44		2- Royalties	0.00	
1b- Qualified dividends	17.44		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		<b>4- Federal income tax withheld</b>	<b>0.00</b>	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		<b>SECTION 1256 CONTRACTS</b>	<b>2020 1099-B*</b>	OMB No. 1545-0715
3- Nondividend distributions	0.00		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
<b>4- Federal income tax withheld</b>	<b>0.00</b>		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

*If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.*

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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	2,907,417.73	2,905,128.02	0.00	43,310.93	45,600.64
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	5.40	16.66	0.00	0.00	-11.26
	<b>Total Short-term</b>	<b>2,907,423.13</b>	<b>2,905,144.68</b>	<b>0.00</b>	<b>43,310.93</b>	<b>45,589.38</b>
Long	D (basis reported to the IRS)	854.66	204.65	0.00	0.00	650.01
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>854.66</b>	<b>204.65</b>	<b>0.00</b>	<b>0.00</b>	<b>650.01</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>2,908,277.79</b>	<b>2,905,349.33</b>	<b>0.00</b>	<b>43,310.93</b>	<b>46,239.39</b>

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

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**Summary Information**

2020

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02/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.64
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	20.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARK INNOVATION ETF / CUSIP: 00214Q104 / Symbol:							
4 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	0.184	15.36	07/15/20	14.70	...	0.66	1 of 4 - Sale <sup>25</sup>
	0.816	68.16	07/15/20	65.28	...	2.88	2 of 4 - Sale <sup>25</sup>
	213.936	17,865.42	07/20/20	17,979.21	...	-113.79	3 of 4 - Sale <sup>25</sup>
	0.247	20.66	07/20/20	20.79	...	-0.13	4 of 4 - Sale <sup>25</sup>
07/21/20	215.184	17,969.60	Various	18,079.98	...	-110.38	Total of 4 transactions
AGILE THERAPEUTICS, INC. COMMO N STOCK / CUSIP: 00847L100 / Symbol:							
8 transactions for 02/05/20. Total proceeds and cost reported to the IRS.							
	3.000	10.92	11/04/19	5.31	...	5.61	1 of 8 - Sale <sup>25</sup>
	500.000	1,819.95	11/04/19	870.00	...	949.95	2 of 8 - Sale <sup>25</sup>
	500.000	1,819.95	11/04/19	870.00	...	949.95	3 of 8 - Sale <sup>25</sup>
	500.000	1,819.95	11/05/19	1,099.95	...	720.00	4 of 8 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
AGILE THERAPEUTICS, INC. COMMO N STOCK / CUSIP: 00847L100 / Symbol: (cont'd)							
	340.000	1,237.57	11/06/19	748.00	...	489.57	5 of 8 - Sale <sup>25</sup>
	28.000	101.92	11/07/19	56.00	...	45.92	6 of 8 - Sale <sup>25</sup>
	49.000	178.35	11/07/19	98.00	...	80.35	7 of 8 - Sale <sup>25</sup>
	80.000	291.19	11/07/19	160.00	...	131.19	8 of 8 - Sale <sup>25</sup>
02/05/20	2,000.000	7,279.80	Various	3,907.26	...	3,372.54	Total of 8 transactions
	2 transactions for 02/06/20. Total proceeds and cost reported to the IRS.						
	47.000	173.90	11/07/19	94.00	...	79.90	1 of 2 - Sale <sup>25</sup>
	453.000	1,676.10	11/08/19	878.82	...	797.28	2 of 2 - Sale <sup>25</sup>
02/06/20	500.000	1,850.00	Various	972.82	...	877.18	Total of 2 transactions
	5 transactions for 02/10/20. Total proceeds and cost reported to the IRS.						
	47.000	194.57	11/08/19	91.18	...	103.39	1 of 5 - Sale <sup>25</sup>
	8.000	33.12	02/04/20	29.51	...	3.61	2 of 5 - Sale <sup>25</sup>
	42.000	173.88	02/05/20	152.88	...	21.00	3 of 5 - Sale <sup>25</sup>
	239.000	989.40	02/05/20	869.94	...	119.46	4 of 5 - Sale <sup>25</sup>
	664.000	2,748.81	02/05/20	2,416.89	...	331.92	5 of 5 - Sale <sup>25</sup>
02/10/20	1,000.000	4,139.78	Various	3,560.40	...	579.38	Total of 5 transactions
	6 transactions for 09/17/20. Total proceeds and cost reported to the IRS.						
	500.000	1,859.90	02/05/20	1,829.60	...	30.30	1 of 6 - Sale <sup>25</sup>
	1,055.000	3,924.38	02/05/20	3,840.09	...	84.29	2 of 6 - Sale <sup>25</sup>
	700.000	2,603.86	02/07/20	2,744.00	...	-140.14	3 of 6 - Sale <sup>25</sup>
	300.000	1,115.94	02/07/20	1,176.00	...	-60.06	4 of 6 - Sale <sup>25</sup>
	123.389	458.98	02/11/20	555.24	...	-96.26	5 of 6 - Sale <sup>25</sup>
	3.599	12.84	02/11/20	16.20	...	-3.36	6 of 6 - Sale <sup>25</sup>
09/17/20	2,681.989	9,975.90	Various	10,161.13	...	-185.23	Total of 6 transactions
	<b>Security total:</b>	<b>23,245.48</b>		<b>18,601.61</b>	...	<b>4,643.87</b>	
AIRBNB, INC. CLASS A COMMON STOCK / CUSIP: 009066101 / Symbol:							
	2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	69.000	9,598.28	12/11/20	9,678.39	...	-80.11	1 of 2 - Sale <sup>25</sup>
	0.674	93.72	12/11/20	94.49	...	-0.77	2 of 2 - Sale <sup>25</sup>
12/11/20	69.674	9,692.00	Various	9,772.88	...	-80.88	Total of 2 transactions

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
02/04/20	10.000	2,235.66	11/12/19	1,860.00	...	375.66	Sale <sup>25</sup>
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
06/10/20	0.796	2,105.63	06/10/20	2,105.17	...	0.46	Sale <sup>25</sup>
	5 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	0.037	98.53	06/10/20	96.88	...	1.65	1 of 5 - Sale <sup>25</sup>
	0.204	548.36	06/10/20	539.71	...	8.65	2 of 5 - Sale <sup>25</sup>
	0.440	1,182.95	06/10/20	1,163.12	...	19.83	3 of 5 - Sale <sup>25</sup>
	0.103	275.89	06/11/20	273.35	...	2.54	4 of 5 - Sale <sup>25</sup>
	0.196	526.39	06/11/20	520.52	...	5.87	5 of 5 - Sale <sup>25</sup>
06/22/20	0.979	2,632.12	Various	2,593.58	...	38.54	Total of 5 transactions
	17 transactions for 07/07/20. Total proceeds and cost reported to the IRS.						
	0.037	113.22	06/11/20	99.27	...	13.95	1 of 17 - Sale <sup>25</sup>
	0.045	135.67	06/11/20	118.95	...	16.72	2 of 17 - Sale <sup>25</sup>
	0.400	1,227.37	06/11/20	1,065.01	...	162.36	3 of 17 - Sale <sup>25</sup>
	0.003	8.29	06/12/20	6.93	...	1.36	4 of 17 - Sale <sup>25</sup>
	0.035	105.81	06/12/20	88.31	...	17.50	5 of 17 - Sale <sup>25</sup>
	0.311	946.97	06/12/20	790.41	...	156.56	6 of 17 - Sale <sup>25</sup>
	0.438	1,330.03	06/12/20	1,114.35	...	215.68	7 of 17 - Sale <sup>25</sup>
	0.020	61.84	06/23/20	56.06	...	5.78	8 of 17 - Sale <sup>25</sup>
	0.034	104.48	06/23/20	94.63	...	9.85	9 of 17 - Sale <sup>25</sup>
	0.046	140.43	06/23/20	127.32	...	13.11	10 of 17 - Sale <sup>25</sup>
	0.076	229.01	06/23/20	210.38	...	18.63	11 of 17 - Sale <sup>25</sup>
	0.343	1,043.91	06/23/20	948.49	...	95.42	12 of 17 - Sale <sup>25</sup>
	0.395	1,188.85	06/23/20	1,092.37	...	96.48	13 of 17 - Sale <sup>25</sup>
	0.345	1,052.99	06/23/20	954.16	...	98.83	14 of 17 - Sale <sup>25</sup>
	0.344	1,053.02	06/23/20	952.99	...	100.03	15 of 17 - Sale <sup>25</sup>
	0.605	1,823.84	06/23/20	1,678.93	...	144.91	16 of 17 - Sale <sup>25</sup>
	0.934	2,851.15	06/23/20	2,583.69	...	267.46	17 of 17 - Sale <sup>25</sup>
07/07/20	4.410	13,416.88	Various	11,982.25	...	1,434.63	Total of 17 transactions
	<b>Security total:</b>	<b>18,154.63</b>		<b>16,681.00</b>	...	<b>1,473.63</b>	

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Account 161787155

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	100.000	1,709.12	06/05/20	1,937.00	...	-227.88	1 of 2 - Sale <sup>25</sup>
	100.000	1,709.12	06/23/20	1,385.81	...	323.31	2 of 2 - Sale <sup>25</sup>
12/11/20	200.000	3,418.24	Various	3,322.81	...	95.43	Total of 2 transactions
APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol:							
6 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	500.000	2,989.87	10/12/20	3,100.00	110.13 W	0.00	1 of 6 - Sale <sup>25</sup>
	100.000	597.98	10/12/20	635.00	37.02 W	0.00	2 of 6 - Sale <sup>25</sup>
	2.000	11.96	10/12/20	12.70	0.74 W	0.00	3 of 6 - Sale <sup>25</sup>
	21.000	125.57	10/12/20	133.35	7.78 W	0.00	4 of 6 - Sale <sup>25</sup>
	177.000	1,058.42	10/12/20	1,123.95	65.53 W	0.00	5 of 6 - Sale <sup>25</sup>
	28.000	167.43	10/12/20	177.80	10.37 W	0.00	6 of 6 - Sale <sup>25</sup>
10/13/20	828.000	4,951.23	Various	5,182.80	231.57 W	0.00	Total of 6 transactions
8 transactions for 10/15/20. Total proceeds and cost reported to the IRS.							
	499.382	2,696.54	10/14/20	3,055.60	...	-359.06	1 of 8 - Sale <sup>25</sup>
	177.000	955.76	10/14/20	1,109.56	...	-153.80	2 of 8 - Sale <sup>25</sup>
	100.000	539.97	10/14/20	626.87	...	-86.90	3 of 8 - Sale <sup>25</sup>
	28.000	151.19	10/14/20	175.53	...	-24.34	4 of 8 - Sale <sup>25</sup>
	21.000	113.39	10/14/20	131.65	...	-18.26	5 of 8 - Sale <sup>25</sup>
	19.000	102.60	10/14/20	112.07	...	-9.47	6 of 8 - Sale <sup>25</sup>
	2.000	10.80	10/14/20	12.54	...	-1.74	7 of 8 - Sale <sup>25</sup>
	0.618	3.34	10/14/20	3.77	...	-0.43	8 of 8 - Sale <sup>25</sup>
10/15/20	847.000	4,573.59	Various	5,227.59	...	-654.00	Total of 8 transactions
	<b>Security total:</b>	<b>9,524.82</b>		<b>10,410.39</b>	<b>231.57 W</b>	<b>-654.00</b>	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
6 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	0.163	59.48	06/22/20	57.88	...	1.60	1 of 6 - Sale <sup>25</sup>
	0.483	176.31	06/22/20	171.43	...	4.88	2 of 6 - Sale <sup>25</sup>
	0.628	229.34	06/22/20	223.25	...	6.09	3 of 6 - Sale <sup>25</sup>
	0.726	264.97	06/22/20	257.66	...	7.31	4 of 6 - Sale <sup>25</sup>
	3.000	1,095.15	06/22/20	1,065.60	...	29.55	5 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 161787155

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	5.000	1,825.24	06/22/20	1,776.75	...	48.49	6 of 6 - Sale <sup>25</sup>
06/23/20	10.000	3,650.49	Various	3,552.57	...	97.92	Total of 6 transactions
06/25/20	0.274	98.89	06/22/20	97.30	...	1.59	Sale <sup>25</sup>
	3 transactions for 09/10/20. Total proceeds and cost reported to the IRS.						
	28.000	3,179.60	09/10/20	3,259.30	79.70 W	0.00	1 of 3 - Sale <sup>25</sup>
	47.000	5,335.79	09/10/20	5,470.98	135.19 W	0.00	2 of 3 - Sale <sup>25</sup>
	37.000	4,200.51	09/10/20	4,306.94	106.43 W	0.00	3 of 3 - Sale <sup>25</sup>
09/10/20	112.000	12,715.90	Various	13,037.22	321.32 W	0.00	Total of 3 transactions
09/14/20	85.093	9,781.26	09/10/20	9,674.26	...	107.00	Sale <sup>25</sup>
	7 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	26.236	3,015.78	09/10/20	3,058.26	23.64 W	-18.84	1 of 7 - Sale <sup>25</sup>
	0.520	59.78	09/10/20	60.53	0.75 W	0.00	2 of 7 - Sale <sup>25</sup>
	65.387	7,516.04	09/10/20	7,611.25	95.21 W	0.00	3 of 7 - Sale <sup>25</sup>
	10.764	1,237.27	09/10/20	1,286.01	48.74 W	0.00	4 of 7 - Sale <sup>25</sup>
	28.000	3,218.53	09/10/20	3,344.48	125.95 W	0.00	5 of 7 - Sale <sup>25</sup>
	47.000	5,402.53	09/10/20	5,615.36	212.83 W	0.00	6 of 7 - Sale <sup>25</sup>
	0.520	59.77	09/10/20	59.87	0.10 W	0.00	7 of 7 - Sale <sup>25</sup>
09/14/20	178.427	20,509.70	Various	21,035.76	507.22 W	-18.84	Total of 7 transactions
	7 transactions for 09/29/20. Total proceeds and cost reported to the IRS.						
	0.004	0.45	09/10/20	0.45	...	0.00	1 of 7 - Sale <sup>25</sup>
	12.088	1,384.39	09/29/20	1,436.81	...	-52.42	2 of 7 - Sale <sup>25</sup>
	31.908	3,654.31	09/29/20	3,695.64	...	-41.33	3 of 7 - Sale <sup>25</sup>
	16.999	1,946.79	09/29/20	1,966.32	...	-19.53	4 of 7 - Sale <sup>25</sup>
	15.932	1,824.58	09/29/20	1,840.83	...	-16.25	5 of 7 - Sale <sup>25</sup>
	0.467	53.52	09/29/20	54.05	...	-0.53	6 of 7 - Sale <sup>25</sup>
	0.077	8.82	09/29/20	8.92	...	-0.10	7 of 7 - Sale <sup>25</sup>
09/29/20	77.475	8,872.86	Various	9,003.02	...	-130.16	Total of 7 transactions
	8 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	27.568	3,161.44	10/06/20	3,294.28	...	-132.84	1 of 8 - Sale <sup>25</sup>
	19.103	2,190.70	10/06/20	2,294.44	...	-103.74	2 of 8 - Sale <sup>25</sup>
	15.912	1,824.75	10/06/20	1,900.94	...	-76.19	3 of 8 - Sale <sup>25</sup>
	10.764	1,234.36	10/06/20	1,292.82	...	-58.46	4 of 8 - Sale <sup>25</sup>
	14.603	1,674.68	10/06/20	1,711.50	...	-36.82	5 of 8 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 161787155

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	0.318	36.51	10/06/20	38.22	...	-1.71	6 of 8 - Sale <sup>25</sup>
	0.520	59.63	10/06/20	60.20	...	-0.57	7 of 8 - Sale <sup>25</sup>
	0.010	1.20	10/06/20	1.25	...	-0.05	8 of 8 - Sale <sup>25</sup>
10/07/20	88.799	10,183.27	Various	10,593.65	...	-410.38	Total of 8 transactions
	<b>Security total:</b>	<b>65,812.37</b>		<b>66,993.78</b>	<b>828.54 W</b>	<b>-352.87</b>	
ASANA, INC. CLASS A COMMON STO CK / CUSIP: 04342Y104 / Symbol:							
5 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	225.849	6,336.01	12/07/20	6,740.45	...	-404.44	1 of 5 - Sale <sup>25</sup>
	166.886	4,681.85	12/07/20	4,973.19	...	-291.34	2 of 5 - Sale <sup>25</sup>
	0.134	3.77	12/07/20	4.00	...	-0.23	3 of 5 - Sale <sup>25</sup>
	0.058	1.64	12/07/20	1.84	...	-0.20	4 of 5 - Sale <sup>25</sup>
	0.073	2.05	12/07/20	2.18	...	-0.13	5 of 5 - Sale <sup>25</sup>
12/14/20	393.000	11,025.32	Various	11,721.66	...	-696.34	Total of 5 transactions
12/14/20	0.058	1.64	12/07/20	1.74	0.10 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>11,026.96</b>		<b>11,723.40</b>	<b>0.10 W</b>	<b>-696.34</b>	
CHF SOLUTIONS, INC. COMMON STO CK / CUSIP: 12542Q706 / Symbol:							
3 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	12.000	7.81	07/08/20	7.68	...	0.13	1 of 3 - Sale <sup>25</sup>
	607.000	394.89	07/08/20	388.48	...	6.41	2 of 3 - Sale <sup>25</sup>
	100.000	65.27	07/09/20	66.30	...	-1.03	3 of 3 - Sale <sup>25</sup>
07/13/20	719.000	467.97	Various	462.46	...	5.51	Total of 3 transactions
07/13/20	871.000	566.63	07/09/20	577.48	8.94 W	-1.91	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,034.60</b>		<b>1,039.94</b>	<b>8.94 W</b>	<b>3.60</b>	
CARMAX INC. / CUSIP: 143130102 / Symbol:							
2 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	47.000	4,586.62	09/23/20	5,036.52	...	-449.90	1 of 2 - Sale <sup>25</sup>
	0.439	43.12	09/23/20	47.03	...	-3.91	2 of 2 - Sale <sup>25</sup>
11/16/20	47.439	4,629.74	Various	5,083.55	...	-453.81	Total of 2 transactions
CLEVELAND-CLIFFS INC. / CUSIP: 185899101 / Symbol:							
10/02/20	1.000	6.72	06/02/20	5.32	...	1.40	Sale <sup>25</sup>

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Account 161787155

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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DELMAR PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 247078306 / Symbol:							
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	200.000	221.99	11/04/19	132.00	...	89.99	1 of 2 - Sale <sup>25</sup>
	118.000	130.98	11/13/19	95.58	...	35.40	2 of 2 - Sale <sup>25</sup>
06/10/20	318.000	352.97	Various	227.58	...	125.39	Total of 2 transactions
THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol:							
2 transactions for 02/20/20. Total proceeds and cost reported to the IRS.							
	7.000	984.39	11/08/19	959.25	...	25.14	1 of 2 - Sale <sup>25</sup>
	1.000	140.63	11/11/19	136.94	...	3.69	2 of 2 - Sale <sup>25</sup>
02/20/20	8.000	1,125.02	Various	1,096.19	...	28.83	Total of 2 transactions
DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol:							
3 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	0.040	8.79	10/02/20	8.78	...	0.01	1 of 3 - Sale <sup>25</sup>
	0.319	70.38	10/02/20	70.29	...	0.09	2 of 3 - Sale <sup>25</sup>
	44.960	9,922.49	10/02/20	9,920.93	...	1.56	3 of 3 - Sale <sup>25</sup>
10/02/20	45.319	10,001.66	Various	10,000.00	...	1.66	Total of 3 transactions
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol:							
3 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	410.136	4,951.25	12/07/20	4,970.85	...	-19.60	1 of 3 - Sale <sup>25</sup>
	1.322	15.96	12/07/20	16.03	...	-0.07	2 of 3 - Sale <sup>25</sup>
	0.541	6.54	12/07/20	6.58	...	-0.04	3 of 3 - Sale <sup>25</sup>
12/07/20	412.000	4,973.75	Various	4,993.46	...	-19.71	Total of 3 transactions
12/07/20	0.541	6.54	12/07/20	6.56	0.02 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,980.29</b>		<b>5,000.02</b>	<b>0.02 W</b>	<b>-19.71</b>	
EDITAS MEDICINE, INC. COMMON S TOCK / CUSIP: 28106W103 / Symbol:							
10/02/20	1.000	27.40	07/15/20	32.07	...	-4.67	Sale <sup>25</sup>
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
4 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	38.000	9,944.82	10/02/20	9,975.65	...	-30.83	1 of 4 - Sale <sup>25</sup>
	18.583	4,861.08	10/02/20	4,878.23	...	-17.15	2 of 4 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol: (cont'd)							
	0.004	0.86	10/02/20	1.08	...	-0.22	3 of 4 - Sale <sup>25</sup>
	0.206	53.88	10/02/20	54.04	...	-0.16	4 of 4 - Sale <sup>25</sup>
10/02/20	56.792	14,860.64	Various	14,909.00	...	-48.36	Total of 4 transactions
FITBIT, INC. CLASS A COMMON STOCK / CUSIP: 33812L102 / Symbol:							
2 transactions for 02/07/20. Total proceeds and cost reported to the IRS.							
	50.000	332.13	10/30/19	309.50	...	22.63	1 of 2 - Sale <sup>25</sup>
	50.000	332.13	10/30/19	309.50	...	22.63	2 of 2 - Sale <sup>25</sup>
02/07/20	100.000	664.26	Various	619.00	...	45.26	Total of 2 transactions
FUELCELL ENERGY, INC. COMMON STOCK / CUSIP: 35952H601 / Symbol:							
3 transactions for 02/06/20. Total proceeds and cost reported to the IRS.							
	97.000	163.92	01/29/20	163.93	...	-0.01	1 of 3 - Sale <sup>25</sup>
	1.000	1.69	01/29/20	1.69	...	0.00	2 of 3 - Sale <sup>25</sup>
	2.000	3.38	01/29/20	3.37	...	0.01	3 of 3 - Sale <sup>25</sup>
02/06/20	100.000	168.99	Various	168.99	...	0.00	Total of 3 transactions
GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
12 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	183.482	2,638.39	10/08/20	2,765.16	...	-126.77	1 of 12 - Sale <sup>25</sup>
	93.917	1,350.49	10/08/20	1,416.32	...	-65.83	2 of 12 - Sale <sup>25</sup>
	36.083	518.85	10/08/20	544.14	...	-25.29	3 of 12 - Sale <sup>25</sup>
	10.917	156.98	10/08/20	164.64	...	-7.66	4 of 12 - Sale <sup>25</sup>
	5.000	71.90	10/08/20	75.35	...	-3.45	5 of 12 - Sale <sup>25</sup>
	2.277	32.74	10/08/20	34.36	...	-1.62	6 of 12 - Sale <sup>25</sup>
	0.601	8.55	10/08/20	9.05	...	-0.50	7 of 12 - Sale <sup>25</sup>
	0.361	5.14	10/08/20	5.41	...	-0.27	8 of 12 - Sale <sup>25</sup>
	0.021	0.29	10/08/20	0.25	...	0.04	9 of 12 - Sale <sup>25</sup>
	0.022	0.31	10/08/20	0.26	...	0.05	10 of 12 - Sale <sup>25</sup>
	0.321	4.63	10/08/20	3.82	...	0.81	11 of 12 - Sale <sup>25</sup>
	839.979	11,759.35	10/08/20	9,995.67	...	1,763.68	12 of 12 - Sale <sup>25</sup>
10/08/20	1,172.981	16,547.62	Various	15,014.43	...	1,533.19	Total of 12 transactions

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2020 1099-B\* OMB No. 1545-0715

(continued)

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GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol: (cont'd)							
4 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	15.556	224.00	10/08/20	229.30	0.78 W	-4.52	1 of 4 - Sale <sup>25</sup>
	0.361	5.20	10/08/20	5.29	0.09 W	0.00	2 of 4 - Sale <sup>25</sup>
	200.000	2,879.91	10/08/20	2,946.00	66.09 W	0.00	3 of 4 - Sale <sup>25</sup>
	130.000	1,871.94	10/08/20	1,914.90	42.96 W	0.00	4 of 4 - Sale <sup>25</sup>
10/08/20	345.917	4,981.05	Various	5,095.49	109.92 W	-4.52	Total of 4 transactions
	<b>Security total:</b>	<b>21,528.67</b>		<b>20,109.92</b>	<b>109.92 W</b>	<b>1,528.67</b>	
GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol:							
4 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	940.000	555.68	07/09/20	573.02	...	-17.34	1 of 4 - Sale <sup>25</sup>
	200.000	118.23	07/09/20	121.56	...	-3.33	2 of 4 - Sale <sup>25</sup>
	100.000	59.12	07/09/20	60.96	...	-1.84	3 of 4 - Sale <sup>25</sup>
	100.000	59.12	07/09/20	60.78	...	-1.66	4 of 4 - Sale <sup>25</sup>
07/13/20	1,340.000	792.15	Various	816.32	...	-24.17	Total of 4 transactions
07/13/20	300.000	177.35	07/09/20	182.34	0.08 W	-4.91	Sale <sup>25</sup>
3 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	5.000	7.50	07/09/20	3.13	...	4.37	1 of 3 - Sale <sup>25</sup>
	263.000	394.46	08/21/20	357.65	...	36.81	2 of 3 - Sale <sup>25</sup>
	732.000	1,097.88	08/21/20	995.52	...	102.36	3 of 3 - Sale <sup>25</sup>
08/24/20	1,000.000	1,499.84	Various	1,356.30	...	143.54	Total of 3 transactions
9 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	0.007	0.01	08/21/20	0.01	...	0.00	1 of 9 - Sale <sup>25</sup>
	239.103	340.25	08/21/20	325.18	...	15.07	2 of 9 - Sale <sup>25</sup>
	298.413	424.66	08/21/20	404.35	...	20.31	3 of 9 - Sale <sup>25</sup>
	438.000	623.29	08/21/20	595.64	...	27.65	4 of 9 - Sale <sup>25</sup>
	981.459	1,393.51	08/24/20	1,462.38	...	-68.87	5 of 9 - Sale <sup>25</sup>
	14.954	21.23	08/24/20	23.73	...	-2.50	6 of 9 - Sale <sup>25</sup>
	8.936	12.71	08/24/20	13.31	...	-0.60	7 of 9 - Sale <sup>25</sup>
	0.405	0.58	08/24/20	0.62	...	-0.04	8 of 9 - Sale <sup>25</sup>
	0.182	0.26	08/24/20	0.28	...	-0.02	9 of 9 - Sale <sup>25</sup>
08/25/20	1,981.459	2,816.50	Various	2,825.50	...	-9.00	Total of 9 transactions

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Robinhood Securities LLC

Account 161787155

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GEVO, INC. COMMON STOCK / CUSIP: 374396406 / Symbol: (cont'd)							
3 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	0.182	0.26	08/21/20	0.27	0.01 W	0.00	1 of 3 - Sale <sup>25</sup>
	14.954	21.28	08/21/20	22.73	1.45 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.405	0.58	08/24/20	0.60	0.02 W	0.00	3 of 3 - Sale <sup>25</sup>
08/25/20	15.541	22.12	Various	23.60	1.48 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>5,307.96</b>		<b>5,204.06</b>	<b>1.56 W</b>	<b>105.46</b>	
IBIO, INC. / CUSIP: 451033203 / Symbol:							
10 transactions for 02/19/20. Total proceeds and cost reported to the IRS.							
	6.000	1.78	11/04/19	1.04	...	0.74	1 of 10 - Sale <sup>25</sup>
	494.000	145.72	11/04/19	85.66	...	60.06	2 of 10 - Sale <sup>25</sup>
	100.000	29.50	11/05/19	16.73	...	12.77	3 of 10 - Sale <sup>25</sup>
	100.000	29.50	11/05/19	16.73	...	12.77	4 of 10 - Sale <sup>25</sup>
	100.000	29.50	11/05/19	16.73	...	12.77	5 of 10 - Sale <sup>25</sup>
	200.000	59.00	11/05/19	33.46	...	25.54	6 of 10 - Sale <sup>25</sup>
	30.000	8.85	11/06/19	5.02	...	3.83	7 of 10 - Sale <sup>25</sup>
	177.000	52.21	11/06/19	29.59	...	22.62	8 of 10 - Sale <sup>25</sup>
	252.000	74.33	11/06/19	42.13	...	32.20	9 of 10 - Sale <sup>25</sup>
	6.000	1.77	12/05/19	1.62	...	0.15	10 of 10 - Sale <sup>25</sup>
02/19/20	1,465.000	432.16	Various	248.71	...	183.45	Total of 10 transactions
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
12/15/20	500.000	919.91	07/02/20	845.00	...	74.91	Sale <sup>25</sup>
INSMED, INC. COMMON STOCK / CUSIP: 457669307 / Symbol:							
02/05/20	100.000	3,392.05	02/05/20	3,391.00	...	1.05	Sale <sup>25</sup>
INTELLIA THERAPEUTICS, INC. COMMON STOCK / CUSIP: 45826J105 / Symbol:							
10/02/20	1.000	18.89	07/15/20	22.87	...	-3.98	Sale <sup>25</sup>
KALA PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 483119103 / Symbol:							
2 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	565.000	4,898.43	10/08/20	4,999.69	...	-101.26	1 of 2 - Sale <sup>25</sup>
	0.036	0.31	10/08/20	0.31	...	0.00	2 of 2 - Sale <sup>25</sup>
10/09/20	565.036	4,898.74	Various	5,000.00	...	-101.26	Total of 2 transactions

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Robinhood Securities LLC

Account 161787155

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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LIVEXLIVE MEDIA, INC. COMMON S TOCK / CUSIP: 53839L208 / Symbol:							
3 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	0.113	0.33	08/12/20	0.33	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.168	0.49	08/12/20	0.49	...	0.00	2 of 3 - Sale <sup>25</sup>
	987.832	2,889.22	08/12/20	2,879.04	...	10.18	3 of 3 - Sale <sup>25</sup>
08/18/20	988.113	2,890.04	Various	2,879.86	...	10.18	Total of 3 transactions
10/02/20	1.000	2.45	08/19/20	2.86	...	-0.41	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,892.49</b>		<b>2,882.72</b>	...	<b>9.77</b>	
LORDSTOWN MOTORS CORP. CLASS A COMMON STOCK / CUSIP: 54405Q100 / Symbol:							
12/08/20	252.729	4,901.55	12/08/20	5,000.00	...	-98.45	Sale <sup>25</sup>
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
02/19/20	10.000	1,872.85	11/14/19	1,477.15	...	395.70	Sale <sup>25</sup>
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
4 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	2.135	16.34	06/10/20	13.55	...	2.79	1 of 4 - Sale <sup>25</sup>
	3.615	27.67	06/10/20	23.03	...	4.64	2 of 4 - Sale <sup>25</sup>
	52.999	405.55	06/10/20	336.54	...	69.01	3 of 4 - Sale <sup>25</sup>
	74.252	568.19	06/10/20	472.97	...	95.22	4 of 4 - Sale <sup>25</sup>
06/22/20	133.000	1,017.75	Various	846.09	...	171.66	Total of 4 transactions
06/30/20	0.453	3.44	06/10/20	2.88	...	0.56	Sale <sup>25</sup>
3 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	0.238	3.55	07/10/20	3.53	...	0.02	1 of 3 - Sale <sup>25</sup>
	2.000	29.80	07/10/20	29.63	...	0.17	2 of 3 - Sale <sup>25</sup>
	52.762	786.12	07/10/20	774.93	...	11.19	3 of 3 - Sale <sup>25</sup>
07/10/20	55.000	819.47	Various	808.09	...	11.38	Total of 3 transactions
3 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	0.656	12.79	07/10/20	9.64	...	3.15	1 of 3 - Sale <sup>25</sup>
	80.600	1,571.65	08/25/20	1,386.04	...	185.61	2 of 3 - Sale <sup>25</sup>
	168.744	3,290.42	08/25/20	2,905.35	...	385.07	3 of 3 - Sale <sup>25</sup>
08/26/20	250.000	4,874.86	Various	4,301.03	...	573.83	Total of 3 transactions

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Robinhood Securities LLC

Account 161787155

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
10 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	2.152	41.33	08/25/20	37.00	...	4.33	1 of 10 - Sale <sup>25</sup>
	29.152	559.99	08/31/20	559.42	...	0.57	2 of 10 - Sale <sup>25</sup>
	82.000	1,575.17	08/31/20	1,573.58	...	1.59	3 of 10 - Sale <sup>25</sup>
	94.000	1,805.69	08/31/20	1,803.86	...	1.83	4 of 10 - Sale <sup>25</sup>
	97.000	1,863.31	08/31/20	1,861.43	...	1.88	5 of 10 - Sale <sup>25</sup>
	97.848	1,879.61	08/31/20	1,877.71	...	1.90	6 of 10 - Sale <sup>25</sup>
	100.000	1,920.94	08/31/20	1,919.00	...	1.94	7 of 10 - Sale <sup>25</sup>
	200.000	3,841.89	08/31/20	3,838.00	...	3.89	8 of 10 - Sale <sup>25</sup>
	300.000	5,762.83	08/31/20	5,757.00	...	5.83	9 of 10 - Sale <sup>25</sup>
	596.848	11,465.13	08/31/20	11,453.46	...	11.67	10 of 10 - Sale <sup>25</sup>
08/31/20	1,599.000	30,715.89	Various	30,680.46	...	35.43	Total of 10 transactions
2 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	0.758	16.63	08/31/20	14.54	...	2.09	1 of 2 - Sale <sup>25</sup>
	221.242	4,856.15	09/29/20	4,634.85	...	221.30	2 of 2 - Sale <sup>25</sup>
09/30/20	222.000	4,872.78	Various	4,649.39	...	223.39	Total of 2 transactions
10/01/20	202.000	4,276.24	09/29/20	4,231.74	...	44.50	Sale <sup>25</sup>
10/14/20	0.300	7.49	09/29/20	6.27	...	1.22	Sale <sup>25</sup>
3 transactions for 10/14/20. Total proceeds and cost reported to the IRS.							
	199.700	4,991.37	10/14/20	5,001.96	10.59 W	0.00	1 of 3 - Sale <sup>25</sup>
	4.000	99.96	10/14/20	100.19	0.23 W	0.00	2 of 3 - Sale <sup>25</sup>
	195.000	4,872.92	10/14/20	4,884.22	11.30 W	0.00	3 of 3 - Sale <sup>25</sup>
10/14/20	398.700	9,964.25	Various	9,986.37	22.12 W	0.00	Total of 3 transactions
2 transactions for 10/15/20. Total proceeds and cost reported to the IRS.							
	0.544	14.12	10/14/20	13.63	...	0.49	1 of 2 - Sale <sup>25</sup>
	8.456	219.34	10/15/20	227.02	...	-7.68	2 of 2 - Sale <sup>25</sup>
10/15/20	9.000	233.46	Various	240.65	...	-7.19	Total of 2 transactions
4 transactions for 10/15/20. Total proceeds and cost reported to the IRS.							
	15.300	396.85	10/15/20	410.76	0.54 W	-13.37	1 of 4 - Sale <sup>25</sup>
	86.456	2,242.69	10/15/20	2,320.74	78.05 W	0.00	2 of 4 - Sale <sup>25</sup>
	4.000	103.76	10/15/20	107.39	3.63 W	0.00	3 of 4 - Sale <sup>25</sup>
	113.245	2,937.48	10/15/20	3,039.83	102.35 W	0.00	4 of 4 - Sale <sup>25</sup>
10/15/20	219.000	5,680.78	Various	5,878.72	184.57 W	-13.37	Total of 4 transactions

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Account 161787155

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
8 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	0.599	25.86	10/15/20	17.91	...	7.95	1 of 8 - Sale <sup>25</sup>
	0.544	23.49	10/15/20	14.61	...	8.88	2 of 8 - Sale <sup>25</sup>
	4.000	172.68	10/15/20	119.63	...	53.05	3 of 8 - Sale <sup>25</sup>
	4.300	185.61	10/15/20	128.14	...	57.47	4 of 8 - Sale <sup>25</sup>
	70.700	3,052.06	10/15/20	2,047.34	...	1,004.72	5 of 8 - Sale <sup>25</sup>
	82.156	3,546.60	10/15/20	2,456.70	...	1,089.90	6 of 8 - Sale <sup>25</sup>
	100.000	4,316.89	10/15/20	2,895.79	...	1,421.10	7 of 8 - Sale <sup>25</sup>
	113.245	4,888.64	10/15/20	3,386.45	...	1,502.19	8 of 8 - Sale <sup>25</sup>
12/04/20	375.544	16,211.83	Various	11,066.57	...	5,145.26	Total of 8 transactions
7 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	23.634	1,020.24	11/17/20	1,131.71	107.59 W	-3.88	1 of 7 - Sale <sup>25</sup>
	0.822	35.49	11/17/20	39.42	3.93 W	0.00	2 of 7 - Sale <sup>25</sup>
	76.366	3,303.64	11/17/20	3,656.79	353.15 W	0.00	3 of 7 - Sale <sup>25</sup>
	0.822	35.56	11/17/20	43.29	7.73 W	0.00	4 of 7 - Sale <sup>25</sup>
	22.812	986.85	11/17/20	1,199.93	213.08 W	0.00	5 of 7 - Sale <sup>25</sup>
	76.366	3,303.64	11/17/20	3,656.80	353.16 W	0.00	6 of 7 - Sale <sup>25</sup>
	68.634	2,969.13	11/17/20	3,286.87	317.74 W	0.00	7 of 7 - Sale <sup>25</sup>
12/04/20	269.456	11,654.55	Various	13,014.81	1,356.38 W	-3.88	Total of 7 transactions
16 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	0.045	2.15	12/14/20	2.10	...	0.05	1 of 16 - Sale <sup>25</sup>
	0.288	13.63	12/14/20	13.36	...	0.27	2 of 16 - Sale <sup>25</sup>
	0.395	18.73	12/14/20	18.36	...	0.37	3 of 16 - Sale <sup>25</sup>
	0.250	11.81	12/14/20	11.26	...	0.55	4 of 16 - Sale <sup>25</sup>
	1.668	78.95	12/14/20	76.29	...	2.66	5 of 16 - Sale <sup>25</sup>
	0.822	38.92	12/14/20	36.08	...	2.84	6 of 16 - Sale <sup>25</sup>
	5.365	254.04	12/14/20	249.08	...	4.96	7 of 16 - Sale <sup>25</sup>
	10.000	473.39	12/14/20	451.93	...	21.46	8 of 16 - Sale <sup>25</sup>
	11.966	566.46	12/14/20	540.84	...	25.62	9 of 16 - Sale <sup>25</sup>
	10.000	473.40	12/14/20	412.48	...	60.92	10 of 16 - Sale <sup>25</sup>
	22.812	1,080.11	12/14/20	999.58	...	80.53	11 of 16 - Sale <sup>25</sup>
	13.605	644.15	12/14/20	561.16	...	82.99	12 of 16 - Sale <sup>25</sup>
	56.668	2,683.15	12/14/20	2,561.26	...	121.89	13 of 16 - Sale <sup>25</sup>

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Account 161787155

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	58.355	2,762.46	12/14/20	2,637.22	...	125.24	14 of 16 - Sale <sup>25</sup>
	76.366	3,615.08	12/14/20	3,451.20	...	163.88	15 of 16 - Sale <sup>25</sup>
	100.000	4,734.88	12/14/20	4,124.80	...	610.08	16 of 16 - Sale <sup>25</sup>
12/22/20	368.605	17,451.31	Various	16,147.00	...	1,304.31	Total of 16 transactions
	4 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	7.366	348.71	11/17/20	386.83	38.12 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.395	18.72	11/17/20	20.77	2.05 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.822	38.91	12/14/20	41.08	2.17 W	0.00	3 of 4 - Sale <sup>25</sup>
	22.812	1,079.88	12/14/20	1,138.52	58.64 W	0.00	4 of 4 - Sale <sup>25</sup>
12/22/20	31.395	1,486.22	Various	1,587.20	100.98 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>109,270.32</b>		<b>103,447.27</b>	<b>1,664.05 W</b>	<b>7,487.10</b>	
NANO DIMENSION LTD. AMERICAN DEPOSITORY SHARES / CUSIP: 63008G203 / Symbol:							
10/01/20	1,000.000	2,370.82	10/01/20	2,328.90	...	41.92	Sale <sup>25</sup>
10/02/20	800.000	1,879.85	10/01/20	1,927.20	0.25 W	-47.10	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,250.67</b>		<b>4,256.10</b>	<b>0.25 W</b>	<b>-5.18</b>	
OPENDOOR TECHNOLOGIES INC COMMON STOCK / CUSIP: 683712103 / Symbol:							
	8 transactions for 12/21/20. Total proceeds and cost reported to the IRS.						
	0.306	8.94	10/15/20	7.49	...	1.45	1 of 8 - Sale <sup>25</sup>
	0.737	21.61	10/15/20	18.02	...	3.59	2 of 8 - Sale <sup>25</sup>
	4.259	124.80	10/15/20	104.34	...	20.46	3 of 8 - Sale <sup>25</sup>
	5.000	146.52	10/15/20	122.50	...	24.02	4 of 8 - Sale <sup>25</sup>
	35.000	1,025.63	10/15/20	857.50	...	168.13	5 of 8 - Sale <sup>25</sup>
	65.000	1,904.74	10/15/20	1,592.50	...	312.24	6 of 8 - Sale <sup>25</sup>
	154.698	4,533.23	10/15/20	3,788.98	...	744.25	7 of 8 - Sale <sup>25</sup>
	0.306	8.95	11/10/20	7.29	...	1.66	8 of 8 - Sale <sup>25</sup>
12/21/20	265.306	7,774.42	Various	6,498.62	...	1,275.80	Total of 8 transactions
PELTON INTERACTIVE, INC. CLASS A COMMON STOCK / CUSIP: 70614W100 / Symbol:							
	23 transactions for 09/10/20. Total proceeds and cost reported to the IRS.						
	0.344	31.99	09/09/20	30.58	...	1.41	1 of 23 - Sale <sup>25</sup>
	11.656	1,083.86	09/09/20	1,036.57	...	47.29	2 of 23 - Sale <sup>25</sup>
	19.300	1,794.67	09/09/20	1,716.35	...	78.32	3 of 23 - Sale <sup>25</sup>

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Account 161787155

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol: (cont'd)							
	81.000	7,532.01	09/09/20	7,203.32	...	328.69	4 of 23 - Sale <sup>25</sup>
	170.000	15,299.64	09/10/20	15,705.87	...	-406.23	5 of 23 - Sale <sup>25</sup>
	24.980	2,248.17	09/10/20	2,551.45	...	-303.28	6 of 23 - Sale <sup>25</sup>
	18.000	1,619.96	09/10/20	1,808.07	...	-188.11	7 of 23 - Sale <sup>25</sup>
	48.673	4,380.48	09/10/20	4,532.54	...	-152.06	8 of 23 - Sale <sup>25</sup>
	10.020	901.76	09/10/20	1,023.40	...	-121.64	9 of 23 - Sale <sup>25</sup>
	11.400	1,025.97	09/10/20	1,122.17	...	-96.20	10 of 23 - Sale <sup>25</sup>
	144.000	12,959.69	09/10/20	13,049.28	...	-89.59	11 of 23 - Sale <sup>25</sup>
	23.707	2,133.57	09/10/20	2,202.89	...	-69.32	12 of 23 - Sale <sup>25</sup>
	9.600	863.99	09/10/20	925.68	...	-61.69	13 of 23 - Sale <sup>25</sup>
	4.700	422.99	09/10/20	480.05	...	-57.06	14 of 23 - Sale <sup>25</sup>
	4.000	359.99	09/10/20	408.56	...	-48.57	15 of 23 - Sale <sup>25</sup>
	6.627	596.41	09/10/20	640.32	...	-43.91	16 of 23 - Sale <sup>25</sup>
	4.320	388.77	09/10/20	430.41	...	-41.64	17 of 23 - Sale <sup>25</sup>
	2.373	213.57	09/10/20	228.60	...	-15.03	18 of 23 - Sale <sup>25</sup>
	1.000	90.00	09/10/20	100.44	...	-10.44	19 of 23 - Sale <sup>25</sup>
	1.000	90.00	09/10/20	99.63	...	-9.63	20 of 23 - Sale <sup>25</sup>
	2.620	235.79	09/10/20	241.20	...	-5.41	21 of 23 - Sale <sup>25</sup>
	0.700	62.99	09/10/20	67.65	...	-4.66	22 of 23 - Sale <sup>25</sup>
	0.300	27.00	09/10/20	30.95	...	-3.95	23 of 23 - Sale <sup>25</sup>
09/10/20	600.320	54,363.27	Various	55,635.98	...	-1,272.71	Total of 23 transactions
	34 transactions for 09/10/20. Total proceeds and cost reported to the IRS.						
	0.980	88.22	09/10/20	98.96	3.58 W	-7.16	1 of 34 - Sale <sup>25</sup>
	28.000	2,603.66	09/10/20	2,660.00	56.34 W	0.00	2 of 34 - Sale <sup>25</sup>
	52.700	4,900.45	09/10/20	5,006.50	106.05 W	0.00	3 of 34 - Sale <sup>25</sup>
	18.000	1,673.78	09/10/20	1,746.21	72.43 W	0.00	4 of 34 - Sale <sup>25</sup>
	1.000	92.99	09/10/20	97.01	4.02 W	0.00	5 of 34 - Sale <sup>25</sup>
	0.300	27.15	09/10/20	29.11	1.96 W	0.00	6 of 34 - Sale <sup>25</sup>
	8.700	787.33	09/10/20	835.31	47.98 W	0.00	7 of 34 - Sale <sup>25</sup>
	40.300	3,647.07	09/10/20	3,869.30	222.23 W	0.00	8 of 34 - Sale <sup>25</sup>
	1.000	90.50	09/10/20	98.02	7.52 W	0.00	9 of 34 - Sale <sup>25</sup>
	12.400	1,122.16	09/10/20	1,190.54	68.38 W	0.00	10 of 34 - Sale <sup>25</sup>
	18.000	1,628.96	09/10/20	1,764.43	135.47 W	0.00	11 of 34 - Sale <sup>25</sup>
	18.600	1,683.27	09/10/20	1,748.41	65.14 W	0.00	12 of 34 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 161787155

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol: (cont'd)							
	0.700	63.34	09/10/20	65.80	2.46 W	0.00	13 of 34 - Sale <sup>25</sup>
	4.700	425.34	09/10/20	467.72	42.38 W	0.00	14 of 34 - Sale <sup>25</sup>
	35.000	3,167.43	09/10/20	3,483.00	315.57 W	0.00	15 of 34 - Sale <sup>25</sup>
	0.300	27.15	09/10/20	30.16	3.01 W	0.00	16 of 34 - Sale <sup>25</sup>
	4.000	361.99	09/10/20	398.06	36.07 W	0.00	17 of 34 - Sale <sup>25</sup>
	1.000	90.50	09/10/20	99.51	9.01 W	0.00	18 of 34 - Sale <sup>25</sup>
	5.300	479.64	09/10/20	527.43	47.79 W	0.00	19 of 34 - Sale <sup>25</sup>
	1.000	90.50	09/10/20	100.32	9.82 W	0.00	20 of 34 - Sale <sup>25</sup>
	9.600	868.79	09/10/20	924.51	55.72 W	0.00	21 of 34 - Sale <sup>25</sup>
	11.400	1,031.67	09/10/20	1,120.78	89.11 W	0.00	22 of 34 - Sale <sup>25</sup>
	18.000	1,628.96	09/10/20	1,805.87	176.91 W	0.00	23 of 34 - Sale <sup>25</sup>
	2.373	214.76	09/10/20	228.31	13.55 W	0.00	24 of 34 - Sale <sup>25</sup>
	0.300	27.15	09/10/20	30.91	3.76 W	0.00	25 of 34 - Sale <sup>25</sup>
	0.700	63.34	09/10/20	67.56	4.22 W	0.00	26 of 34 - Sale <sup>25</sup>
	4.000	361.99	09/10/20	408.07	46.08 W	0.00	27 of 34 - Sale <sup>25</sup>
	4.700	425.34	09/10/20	479.48	54.14 W	0.00	28 of 34 - Sale <sup>25</sup>
	6.627	599.72	09/10/20	639.51	39.79 W	0.00	29 of 34 - Sale <sup>25</sup>
	35.000	3,167.42	09/10/20	3,570.57	403.15 W	0.00	30 of 34 - Sale <sup>25</sup>
	48.673	4,404.82	09/10/20	4,526.60	121.78 W	0.00	31 of 34 - Sale <sup>25</sup>
	23.707	2,145.43	09/10/20	2,200.00	54.57 W	0.00	32 of 34 - Sale <sup>25</sup>
	170.000	15,384.63	09/10/20	15,685.10	300.47 W	0.00	33 of 34 - Sale <sup>25</sup>
	2.620	237.10	09/10/20	240.88	3.78 W	0.00	34 of 34 - Sale <sup>25</sup>
09/10/20	589.680	53,612.55	Various	56,243.95	2,624.24 W	-7.16	Total of 34 transactions
	5 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	0.027	4.36	09/10/20	2.73	...	1.63	1 of 5 - Sale <sup>25</sup>
	0.300	48.64	09/10/20	30.50	...	18.14	2 of 5 - Sale <sup>25</sup>
	1.000	162.00	12/21/20	151.00	...	11.00	3 of 5 - Sale <sup>25</sup>
	5.000	809.98	12/21/20	755.00	...	54.98	4 of 5 - Sale <sup>25</sup>
	12.673	2,052.95	12/21/20	1,913.60	...	139.35	5 of 5 - Sale <sup>25</sup>
12/22/20	19.000	3,077.93	Various	2,852.83	...	225.10	Total of 5 transactions
	<b>Security total:</b>	<b>111,053.75</b>		<b>114,732.76</b>	<b>2,624.24 W</b>	<b>-1,054.77</b>	

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Robinhood Securities LLC

Account 161787155

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
<i>9 transactions for 02/19/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	57.50	01/29/20	40.18	...	17.32	1 of 9 - Sale <sup>25</sup>
	5.000	28.75	02/11/20	21.95	...	6.80	2 of 9 - Sale <sup>25</sup>
	5.000	28.75	02/11/20	21.95	...	6.80	3 of 9 - Sale <sup>25</sup>
	50.000	287.50	02/11/20	219.50	...	68.00	4 of 9 - Sale <sup>25</sup>
	77.000	442.74	02/11/20	338.02	...	104.72	5 of 9 - Sale <sup>25</sup>
	100.000	574.97	02/11/20	438.99	...	135.98	6 of 9 - Sale <sup>25</sup>
	155.000	891.21	02/11/20	680.43	...	210.78	7 of 9 - Sale <sup>25</sup>
	181.000	1,040.70	02/11/20	794.57	...	246.13	8 of 9 - Sale <sup>25</sup>
	270.000	1,552.43	02/11/20	1,185.27	...	367.16	9 of 9 - Sale <sup>25</sup>
02/19/20	853.000	4,904.55	Various	3,740.86	...	1,163.69	Total of 9 transactions
<i>2 transactions for 06/23/20. Total proceeds and cost reported to the IRS.</i>							
	657.000	4,565.97	02/11/20	2,884.17	...	1,681.80	1 of 2 - Sale <sup>25</sup>
	168.000	1,167.55	02/19/20	997.92	...	169.63	2 of 2 - Sale <sup>25</sup>
06/23/20	825.000	5,733.52	Various	3,882.09	...	1,851.43	Total of 2 transactions
<i>11 transactions for 06/29/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	16.00	02/19/20	11.90	...	4.10	1 of 11 - Sale <sup>25</sup>
	2.000	16.00	02/19/20	11.90	...	4.10	2 of 11 - Sale <sup>25</sup>
	7.000	56.00	02/19/20	41.65	...	14.35	3 of 11 - Sale <sup>25</sup>
	10.000	80.00	02/19/20	59.50	...	20.50	4 of 11 - Sale <sup>25</sup>
	20.000	159.99	02/19/20	119.00	...	40.99	5 of 11 - Sale <sup>25</sup>
	28.000	223.99	02/19/20	166.60	...	57.39	6 of 11 - Sale <sup>25</sup>
	30.000	239.99	02/19/20	178.50	...	61.49	7 of 11 - Sale <sup>25</sup>
	332.000	2,655.90	02/19/20	1,972.08	...	683.82	8 of 11 - Sale <sup>25</sup>
	400.000	3,199.88	02/19/20	2,376.00	...	823.88	9 of 11 - Sale <sup>25</sup>
	0.668	5.35	06/25/20	4.83	...	0.52	10 of 11 - Sale <sup>25</sup>
	12.332	98.65	06/25/20	89.13	...	9.52	11 of 11 - Sale <sup>25</sup>
06/29/20	844.000	6,751.75	Various	5,031.09	...	1,720.66	Total of 11 transactions
<i>3 transactions for 07/06/20. Total proceeds and cost reported to the IRS.</i>							
	0.014	0.14	06/25/20	0.10	...	0.04	1 of 3 - Sale <sup>25</sup>
	0.668	6.68	06/25/20	4.83	...	1.85	2 of 3 - Sale <sup>25</sup>
	847.318	8,472.89	06/30/20	6,744.48	...	1,728.41	3 of 3 - Sale <sup>25</sup>
07/06/20	848.000	8,479.71	Various	6,749.41	...	1,730.30	Total of 3 transactions

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Robinhood Securities LLC

Account 161787155

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
<i>13 transactions for 07/10/20. Total proceeds and cost reported to the IRS.</i>							
	0.819	7.57	06/30/20	6.52	...	1.05	1 of 13 - Sale <sup>25</sup>
	0.004	0.04	07/07/20	0.04	...	0.00	2 of 13 - Sale <sup>25</sup>
	0.349	3.22	07/07/20	3.19	...	0.03	3 of 13 - Sale <sup>25</sup>
	0.469	4.32	07/07/20	4.28	...	0.04	4 of 13 - Sale <sup>25</sup>
	0.297	2.73	07/07/20	2.66	...	0.07	5 of 13 - Sale <sup>25</sup>
	5.990	55.23	07/07/20	53.96	...	1.27	6 of 13 - Sale <sup>25</sup>
	108.968	1,004.65	07/07/20	996.81	...	7.84	7 of 13 - Sale <sup>25</sup>
	108.941	1,004.40	07/07/20	995.72	...	8.68	8 of 13 - Sale <sup>25</sup>
	121.755	1,122.54	07/07/20	1,106.47	...	16.07	9 of 13 - Sale <sup>25</sup>
	116.659	1,075.56	07/07/20	1,052.00	...	23.56	10 of 13 - Sale <sup>25</sup>
	110.939	1,022.82	07/07/20	997.34	...	25.48	11 of 13 - Sale <sup>25</sup>
	0.160	1.47	07/09/20	1.40	...	0.07	12 of 13 - Sale <sup>25</sup>
	239.615	2,209.18	07/09/20	2,100.75	...	108.43	13 of 13 - Sale <sup>25</sup>
07/10/20	814.965	7,513.73	Various	7,321.14	...	192.59	Total of 13 transactions
<i>7 transactions for 07/10/20. Total proceeds and cost reported to the IRS.</i>							
	6.181	57.11	07/06/20	61.68	4.57 W	0.00	1 of 7 - Sale <sup>25</sup>
	93.820	864.98	07/06/20	936.32	71.34 W	0.00	2 of 7 - Sale <sup>25</sup>
	6.181	56.98	07/07/20	64.27	7.29 W	0.00	3 of 7 - Sale <sup>25</sup>
	93.819	864.98	07/07/20	906.30	41.32 W	0.00	4 of 7 - Sale <sup>25</sup>
	0.220	2.03	07/07/20	2.10	0.07 W	0.00	5 of 7 - Sale <sup>25</sup>
	83.000	765.23	07/07/20	791.82	26.59 W	0.00	6 of 7 - Sale <sup>25</sup>
	46.815	431.62	07/07/20	447.08	15.46 W	0.00	7 of 7 - Sale <sup>25</sup>
07/10/20	330.035	3,042.93	Various	3,209.57	166.64 W	0.00	Total of 7 transactions
<i>3 transactions for 07/20/20. Total proceeds and cost reported to the IRS.</i>							
	0.115	0.99	07/20/20	0.98	...	0.01	1 of 3 - Sale <sup>25</sup>
	76.180	650.56	07/20/20	641.05	...	9.51	2 of 3 - Sale <sup>25</sup>
	100.000	853.98	07/20/20	841.50	...	12.48	3 of 3 - Sale <sup>25</sup>
07/20/20	176.295	1,505.53	Various	1,483.53	...	22.00	Total of 3 transactions
<i>13 transactions for 07/20/20. Total proceeds and cost reported to the IRS.</i>							
	0.291	2.48	07/09/20	2.77	0.29 W	0.00	1 of 13 - Sale <sup>25</sup>
	0.034	0.29	07/09/20	0.33	0.04 W	0.00	2 of 13 - Sale <sup>25</sup>
	6.181	52.78	07/20/20	59.30	6.52 W	0.00	3 of 13 - Sale <sup>25</sup>

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Account 161787155

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
	93.495	798.43	07/20/20	857.85	59.42 W	0.00	4 of 13 - Sale <sup>25</sup>
	0.325	2.77	07/20/20	2.87	0.10 W	0.00	5 of 13 - Sale <sup>25</sup>
	0.220	1.88	07/20/20	1.92	0.04 W	0.00	6 of 13 - Sale <sup>25</sup>
	6.285	53.67	07/20/20	54.90	1.23 W	0.00	7 of 13 - Sale <sup>25</sup>
	93.495	798.43	07/20/20	827.94	29.51 W	0.00	8 of 13 - Sale <sup>25</sup>
	23.285	198.85	07/20/20	203.63	4.78 W	0.00	9 of 13 - Sale <sup>25</sup>
	76.715	655.13	07/20/20	670.14	15.01 W	0.00	10 of 13 - Sale <sup>25</sup>
	0.291	2.48	07/20/20	2.74	0.26 W	0.00	11 of 13 - Sale <sup>25</sup>
	23.530	200.94	07/20/20	205.77	4.83 W	0.00	12 of 13 - Sale <sup>25</sup>
	1,346.850	11,501.81	07/20/20	11,501.97	0.16 W	0.00	13 of 13 - Sale <sup>25</sup>
07/20/20	1,670.996	14,269.94	Various	14,392.13	122.19 W	0.00	Total of 13 transactions
22 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	0.325	2.88	07/20/20	2.87	...	0.01	1 of 22 - Sale <sup>25</sup>
	0.220	1.95	07/20/20	1.92	...	0.03	2 of 22 - Sale <sup>25</sup>
	0.325	2.88	07/20/20	2.84	...	0.04	3 of 22 - Sale <sup>25</sup>
	93.495	828.33	07/20/20	827.95	...	0.38	4 of 22 - Sale <sup>25</sup>
	5.960	52.81	07/20/20	52.06	...	0.75	5 of 22 - Sale <sup>25</sup>
	23.285	206.30	07/20/20	203.63	...	2.67	6 of 22 - Sale <sup>25</sup>
	23.530	208.47	07/20/20	205.77	...	2.70	7 of 22 - Sale <sup>25</sup>
	76.715	679.67	07/20/20	670.15	...	9.52	8 of 22 - Sale <sup>25</sup>
	75.000	664.47	07/20/20	640.49	...	23.98	9 of 22 - Sale <sup>25</sup>
	76.145	674.62	07/20/20	650.27	...	24.35	10 of 22 - Sale <sup>25</sup>
	100.000	885.97	07/20/20	853.99	...	31.98	11 of 22 - Sale <sup>25</sup>
	100.000	885.97	07/20/20	853.99	...	31.98	12 of 22 - Sale <sup>25</sup>
	200.000	1,771.94	07/20/20	1,707.98	...	63.96	13 of 22 - Sale <sup>25</sup>
	300.000	2,657.90	07/20/20	2,561.98	...	95.92	14 of 22 - Sale <sup>25</sup>
	405.469	3,592.33	07/20/20	3,462.67	...	129.66	15 of 22 - Sale <sup>25</sup>
	0.236	2.15	07/21/20	2.15	...	0.00	16 of 22 - Sale <sup>25</sup>
	25.233	229.86	07/21/20	223.56	...	6.30	17 of 22 - Sale <sup>25</sup>
	99.000	905.83	07/21/20	877.13	...	28.70	18 of 22 - Sale <sup>25</sup>
	100.000	914.96	07/21/20	885.99	...	28.97	19 of 22 - Sale <sup>25</sup>
	196.000	1,793.34	07/21/20	1,736.54	...	56.80	20 of 22 - Sale <sup>25</sup>
	300.000	2,744.89	07/21/20	2,657.97	...	86.92	21 of 22 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 161787155

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
07/21/20	804.000	7,356.33	07/21/20	7,123.36	...	232.97	22 of 22 - Sale <sup>25</sup>
	3,004.938	27,063.85	Various	26,205.26	...	858.59	Total of 22 transactions
	34 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	0.034	0.30	07/20/20	0.33	0.03 W	0.00	1 of 34 - Sale <sup>25</sup>
	0.291	2.57	07/20/20	2.74	0.17 W	0.00	2 of 34 - Sale <sup>25</sup>
	6.181	54.76	07/20/20	59.30	4.54 W	0.00	3 of 34 - Sale <sup>25</sup>
	93.495	828.34	07/20/20	857.86	29.52 W	0.00	4 of 34 - Sale <sup>25</sup>
	100.000	885.97	07/21/20	911.51	25.54 W	0.00	5 of 34 - Sale <sup>25</sup>
	500.000	4,429.84	07/21/20	4,557.56	127.72 W	0.00	6 of 34 - Sale <sup>25</sup>
	0.034	0.30	07/21/20	0.34	0.04 W	0.00	7 of 34 - Sale <sup>25</sup>
	0.291	2.57	07/21/20	2.82	0.25 W	0.00	8 of 34 - Sale <sup>25</sup>
	6.181	54.76	07/21/20	60.88	6.12 W	0.00	9 of 34 - Sale <sup>25</sup>
	93.495	828.34	07/21/20	881.72	53.38 W	0.00	10 of 34 - Sale <sup>25</sup>
	94.531	837.51	07/21/20	861.66	24.15 W	0.00	11 of 34 - Sale <sup>25</sup>
	200.000	1,771.94	07/21/20	1,823.02	51.08 W	0.00	12 of 34 - Sale <sup>25</sup>
	100.000	885.97	07/21/20	911.51	25.54 W	0.00	13 of 34 - Sale <sup>25</sup>
	352.320	3,121.44	07/21/20	3,211.44	90.00 W	0.00	14 of 34 - Sale <sup>25</sup>
	47.680	422.43	07/21/20	434.61	12.18 W	0.00	15 of 34 - Sale <sup>25</sup>
	100.000	885.97	07/21/20	911.50	25.53 W	0.00	16 of 34 - Sale <sup>25</sup>
	100.000	885.97	07/21/20	911.50	25.53 W	0.00	17 of 34 - Sale <sup>25</sup>
	100.000	885.97	07/21/20	911.50	25.53 W	0.00	18 of 34 - Sale <sup>25</sup>
	180.000	1,594.74	07/21/20	1,640.70	45.96 W	0.00	19 of 34 - Sale <sup>25</sup>
	0.034	0.31	07/21/20	0.34	0.03 W	0.00	20 of 34 - Sale <sup>25</sup>
	0.291	2.65	07/21/20	2.83	0.18 W	0.00	21 of 34 - Sale <sup>25</sup>
	6.181	56.30	07/21/20	60.88	4.58 W	0.00	22 of 34 - Sale <sup>25</sup>
	93.495	851.71	07/21/20	881.73	30.02 W	0.00	23 of 34 - Sale <sup>25</sup>
	47.680	434.35	07/21/20	434.62	0.27 W	0.00	24 of 34 - Sale <sup>25</sup>
	94.531	861.14	07/21/20	861.68	0.54 W	0.00	25 of 34 - Sale <sup>25</sup>
	100.000	910.97	07/21/20	911.53	0.56 W	0.00	26 of 34 - Sale <sup>25</sup>
	100.000	910.97	07/21/20	911.53	0.56 W	0.00	27 of 34 - Sale <sup>25</sup>
	100.000	910.97	07/21/20	911.52	0.55 W	0.00	28 of 34 - Sale <sup>25</sup>
	100.000	910.97	07/21/20	911.52	0.55 W	0.00	29 of 34 - Sale <sup>25</sup>
	100.000	910.97	07/21/20	911.52	0.55 W	0.00	30 of 34 - Sale <sup>25</sup>
	180.000	1,639.74	07/21/20	1,640.74	1.00 W	0.00	31 of 34 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 161787155

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
	200.000	1,821.94	07/21/20	1,823.06	1.12 W	0.00	32 of 34 - Sale <sup>25</sup>
	352.320	3,209.51	07/21/20	3,211.52	2.01 W	0.00	33 of 34 - Sale <sup>25</sup>
	500.000	4,554.84	07/21/20	4,557.67	2.83 W	0.00	34 of 34 - Sale <sup>25</sup>
07/21/20	4,049.062	36,367.03	Various	36,985.19	618.16 W	0.00	Total of 34 transactions
08/18/20	0.147	1.86	07/21/20	1.31	...	0.55	Sale <sup>25</sup>
	18 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	0.034	0.43	08/18/20	0.47	0.04 W	0.00	1 of 18 - Sale <sup>25</sup>
	0.291	3.66	08/18/20	3.93	0.27 W	0.00	2 of 18 - Sale <sup>25</sup>
	6.181	77.81	08/18/20	84.31	6.50 W	0.00	3 of 18 - Sale <sup>25</sup>
	93.495	1,177.06	08/18/20	1,236.10	59.04 W	0.00	4 of 18 - Sale <sup>25</sup>
	94.531	1,190.10	08/18/20	1,219.99	29.89 W	0.00	5 of 18 - Sale <sup>25</sup>
	5.322	67.00	08/18/20	68.68	1.68 W	0.00	6 of 18 - Sale <sup>25</sup>
	100.000	1,258.96	08/18/20	1,290.56	31.60 W	0.00	7 of 18 - Sale <sup>25</sup>
	194.678	2,450.92	08/18/20	2,512.44	61.52 W	0.00	8 of 18 - Sale <sup>25</sup>
	205.322	2,584.92	08/18/20	2,649.81	64.89 W	0.00	9 of 18 - Sale <sup>25</sup>
	47.680	600.28	08/18/20	615.35	15.07 W	0.00	10 of 18 - Sale <sup>25</sup>
	100.000	1,258.96	08/18/20	1,290.56	31.60 W	0.00	11 of 18 - Sale <sup>25</sup>
	100.000	1,258.96	08/18/20	1,290.55	31.59 W	0.00	12 of 18 - Sale <sup>25</sup>
	100.000	1,258.96	08/18/20	1,290.55	31.59 W	0.00	13 of 18 - Sale <sup>25</sup>
	100.000	1,258.96	08/18/20	1,290.55	31.59 W	0.00	14 of 18 - Sale <sup>25</sup>
	180.000	2,266.13	08/18/20	2,323.00	56.87 W	0.00	15 of 18 - Sale <sup>25</sup>
	352.320	4,435.56	08/18/20	4,546.93	111.37 W	0.00	16 of 18 - Sale <sup>25</sup>
	294.678	3,709.88	08/18/20	3,803.02	93.14 W	0.00	17 of 18 - Sale <sup>25</sup>
	925.322	11,649.43	08/18/20	11,936.65	287.22 W	0.00	18 of 18 - Sale <sup>25</sup>
08/18/20	2,899.853	36,507.98	Various	37,453.45	945.47 W	0.00	Total of 18 transactions
	5 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	0.147	1.98	08/18/20	1.90	...	0.08	1 of 5 - Sale <sup>25</sup>
	100.000	1,344.97	08/20/20	1,378.51	...	-33.54	2 of 5 - Sale <sup>25</sup>
	100.000	1,344.97	08/20/20	1,378.51	...	-33.54	3 of 5 - Sale <sup>25</sup>
	54.322	730.60	08/20/20	748.83	...	-18.23	4 of 5 - Sale <sup>25</sup>
	47.680	641.28	08/20/20	657.29	...	-16.01	5 of 5 - Sale <sup>25</sup>
08/20/20	302.150	4,063.80	Various	4,165.04	...	-101.24	Total of 5 transactions

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Robinhood Securities LLC

Account 161787155

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
<i>14 transactions for 08/20/20. Total proceeds and cost reported to the IRS.</i>							
	352.320	4,738.59	08/20/20	4,856.83	72.84 W	-45.40	1 of 14 - Sale <sup>25</sup>
	0.034	0.46	08/20/20	0.50	0.04 W	0.00	2 of 14 - Sale <sup>25</sup>
	0.291	3.91	08/20/20	4.14	0.23 W	0.00	3 of 14 - Sale <sup>25</sup>
	6.181	83.13	08/20/20	88.81	5.68 W	0.00	4 of 14 - Sale <sup>25</sup>
	47.429	637.91	08/20/20	661.56	23.65 W	0.00	5 of 14 - Sale <sup>25</sup>
	46.066	619.57	08/20/20	645.34	25.77 W	0.00	6 of 14 - Sale <sup>25</sup>
	5.322	71.58	08/20/20	72.87	1.29 W	0.00	7 of 14 - Sale <sup>25</sup>
	94.531	1,271.41	08/20/20	1,294.48	23.07 W	0.00	8 of 14 - Sale <sup>25</sup>
	147.870	1,988.80	08/20/20	2,024.88	36.08 W	0.00	9 of 14 - Sale <sup>25</sup>
	46.808	629.56	08/20/20	645.26	15.70 W	0.00	10 of 14 - Sale <sup>25</sup>
	100.000	1,344.97	08/20/20	1,378.52	33.55 W	0.00	11 of 14 - Sale <sup>25</sup>
	100.000	1,344.97	08/20/20	1,378.52	33.55 W	0.00	12 of 14 - Sale <sup>25</sup>
	205.322	2,761.51	08/20/20	2,830.41	68.90 W	0.00	13 of 14 - Sale <sup>25</sup>
	294.678	3,963.32	08/20/20	4,062.22	98.90 W	0.00	14 of 14 - Sale <sup>25</sup>
08/20/20	1,446.851	19,459.69	Various	19,944.34	439.25 W	-45.40	Total of 14 transactions
<i>5 transactions for 08/25/20. Total proceeds and cost reported to the IRS.</i>							
	0.993	12.55	08/20/20	13.69	1.14 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.365	4.61	08/24/20	4.65	0.04 W	0.00	2 of 5 - Sale <sup>25</sup>
	4.322	54.59	08/24/20	55.10	0.51 W	0.00	3 of 5 - Sale <sup>25</sup>
	44.320	559.85	08/24/20	565.22	5.37 W	0.00	4 of 5 - Sale <sup>25</sup>
	180.000	2,273.75	08/24/20	2,295.56	21.81 W	0.00	5 of 5 - Sale <sup>25</sup>
08/25/20	230.000	2,905.35	Various	2,934.22	28.87 W	0.00	Total of 5 transactions
<i>9 transactions for 08/31/20. Total proceeds and cost reported to the IRS.</i>							
	0.318	4.11	08/24/20	4.05	...	0.06	1 of 9 - Sale <sup>25</sup>
	294.678	3,813.02	08/31/20	3,912.01	...	-98.99	2 of 9 - Sale <sup>25</sup>
	216.469	2,801.02	08/31/20	2,873.74	...	-72.72	3 of 9 - Sale <sup>25</sup>
	205.322	2,656.78	08/31/20	2,725.74	...	-68.96	4 of 9 - Sale <sup>25</sup>
	147.870	1,913.38	08/31/20	1,949.50	...	-36.12	5 of 9 - Sale <sup>25</sup>
	100.000	1,293.96	08/31/20	1,327.54	...	-33.58	6 of 9 - Sale <sup>25</sup>
	76.531	990.28	08/31/20	1,015.98	...	-25.70	7 of 9 - Sale <sup>25</sup>
	46.808	605.68	08/31/20	621.39	...	-15.71	8 of 9 - Sale <sup>25</sup>

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Account 161787155

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
08/31/20	23.469	303.68	08/31/20	311.56	...	-7.88	9 of 9 - Sale <sup>25</sup>
	1,111.465	14,381.91	Various	14,741.51	...	-359.60	Total of 9 transactions
	14 transactions for 08/31/20. Total proceeds and cost reported to the IRS.						
	5.322	68.86	08/31/20	70.15	0.60 W	-0.69	1 of 14 - Sale <sup>25</sup>
	0.089	1.15	08/31/20	1.17	0.02 W	0.00	2 of 14 - Sale <sup>25</sup>
	0.034	0.44	08/31/20	0.48	0.04 W	0.00	3 of 14 - Sale <sup>25</sup>
	0.291	3.76	08/31/20	3.99	0.23 W	0.00	4 of 14 - Sale <sup>25</sup>
	6.181	79.98	08/31/20	85.66	5.68 W	0.00	5 of 14 - Sale <sup>25</sup>
	23.088	298.76	08/31/20	310.27	11.51 W	0.00	6 of 14 - Sale <sup>25</sup>
	46.066	596.07	08/31/20	621.86	25.79 W	0.00	7 of 14 - Sale <sup>25</sup>
	24.341	314.96	08/31/20	327.11	12.15 W	0.00	8 of 14 - Sale <sup>25</sup>
	89.593	1,159.30	08/31/20	1,187.14	27.84 W	0.00	9 of 14 - Sale <sup>25</sup>
	15.000	194.10	08/31/20	198.75	4.65 W	0.00	10 of 14 - Sale <sup>25</sup>
	1.000	12.94	08/31/20	13.25	0.31 W	0.00	11 of 14 - Sale <sup>25</sup>
	600.000	7,763.75	08/31/20	7,950.18	186.43 W	0.00	12 of 14 - Sale <sup>25</sup>
	94.531	1,223.19	08/31/20	1,246.29	23.10 W	0.00	13 of 14 - Sale <sup>25</sup>
	215.000	2,782.01	08/31/20	2,848.82	66.81 W	0.00	14 of 14 - Sale <sup>25</sup>
08/31/20	1,120.535	14,499.27	Various	14,865.12	365.16 W	-0.69	Total of 14 transactions
	12 transactions for 09/29/20. Total proceeds and cost reported to the IRS.						
	0.561	7.46	08/31/20	7.45	...	0.01	1 of 12 - Sale <sup>25</sup>
	0.089	1.18	09/22/20	1.14	...	0.04	2 of 12 - Sale <sup>25</sup>
	0.365	4.85	09/22/20	4.65	...	0.20	3 of 12 - Sale <sup>25</sup>
	5.489	73.00	09/22/20	72.11	...	0.89	4 of 12 - Sale <sup>25</sup>
	3.761	50.01	09/22/20	47.98	...	2.03	5 of 12 - Sale <sup>25</sup>
	4.322	57.80	09/22/20	55.13	...	2.67	6 of 12 - Sale <sup>25</sup>
	18.852	250.72	09/22/20	247.68	...	3.04	7 of 12 - Sale <sup>25</sup>
	23.088	307.07	09/22/20	303.32	...	3.75	8 of 12 - Sale <sup>25</sup>
	46.066	612.66	09/22/20	608.00	...	4.66	9 of 12 - Sale <sup>25</sup>
	17.350	232.06	09/22/20	224.68	...	7.38	10 of 12 - Sale <sup>25</sup>
	44.320	589.44	09/22/20	565.52	...	23.92	11 of 12 - Sale <sup>25</sup>
	176.239	2,357.13	09/22/20	2,248.82	...	108.31	12 of 12 - Sale <sup>25</sup>
09/29/20	340.501	4,543.38	Various	4,386.48	...	156.90	Total of 12 transactions

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
4 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	0.034	0.45	09/22/20	0.47	0.02 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.291	3.87	09/22/20	3.90	0.03 W	0.00	2 of 4 - Sale <sup>25</sup>
	6.181	82.20	09/22/20	83.80	1.60 W	0.00	3 of 4 - Sale <sup>25</sup>
	0.993	13.21	09/22/20	13.69	0.48 W	0.00	4 of 4 - Sale <sup>25</sup>
09/29/20	7.499	99.73	Various	101.86	2.13 W	0.00	Total of 4 transactions
4 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	1.000	13.04	09/22/20	12.95	...	0.09	1 of 4 - Sale <sup>25</sup>
	15.000	195.63	09/22/20	194.23	...	1.40	2 of 4 - Sale <sup>25</sup>
	64.318	838.82	09/22/20	832.88	...	5.94	3 of 4 - Sale <sup>25</sup>
	72.243	942.18	09/22/20	935.52	...	6.66	4 of 4 - Sale <sup>25</sup>
09/30/20	152.561	1,989.67	Various	1,975.58	...	14.09	Total of 4 transactions
09/30/20	77.439	1,009.94	09/23/20	1,040.83	30.89 W	0.00	Sale <sup>25</sup>
10/01/20	400.000	5,517.26	09/23/20	5,376.30	...	140.96	Sale <sup>25</sup>
8 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	0.033	0.46	09/23/20	0.45	...	0.01	1 of 8 - Sale <sup>25</sup>
	10.932	150.10	09/23/20	146.21	...	3.89	2 of 8 - Sale <sup>25</sup>
	20.000	274.60	09/23/20	268.82	...	5.78	3 of 8 - Sale <sup>25</sup>
	22.210	304.93	09/23/20	298.52	...	6.41	4 of 8 - Sale <sup>25</sup>
	31.000	425.63	09/23/20	416.66	...	8.97	5 of 8 - Sale <sup>25</sup>
	0.083	1.14	09/24/20	0.97	...	0.17	6 of 8 - Sale <sup>25</sup>
	2.457	33.74	09/24/20	28.63	...	5.11	7 of 8 - Sale <sup>25</sup>
	83.515	1,146.62	09/24/20	973.21	...	173.41	8 of 8 - Sale <sup>25</sup>
10/02/20	170.231	2,337.22	Various	2,133.47	...	203.75	Total of 8 transactions
10 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	141.757	1,882.47	09/23/20	1,905.31	22.84 W	0.00	1 of 10 - Sale <sup>25</sup>
	58.243	773.45	09/23/20	782.83	9.38 W	0.00	2 of 10 - Sale <sup>25</sup>
	0.034	0.47	10/02/20	0.50	0.03 W	0.00	3 of 10 - Sale <sup>25</sup>
	0.291	3.99	10/02/20	4.15	0.16 W	0.00	4 of 10 - Sale <sup>25</sup>
	6.181	84.86	10/02/20	89.24	4.38 W	0.00	5 of 10 - Sale <sup>25</sup>
	0.993	13.64	10/02/20	14.57	0.93 W	0.00	6 of 10 - Sale <sup>25</sup>
	58.243	799.65	10/02/20	835.27	35.62 W	0.00	7 of 10 - Sale <sup>25</sup>
	123.060	1,689.56	10/02/20	1,764.82	75.26 W	0.00	8 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 161787155

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
	5.000	68.65	10/02/20	71.71			9 of 10 - Sale <sup>25</sup>
	0.133	1.82	10/02/20	1.90	3.06 W	0.00	10 of 10 - Sale <sup>25</sup>
10/02/20	393.935	5,318.56	Various	5,470.30	151.74 W	0.00	Total of 10 transactions
	16 transactions for 10/05/20. Total proceeds and cost reported to the IRS.						
	0.034	0.53	10/02/20	0.51	...	0.02	1 of 16 - Sale <sup>25</sup>
	0.065	1.01	10/02/20	0.96	...	0.05	2 of 16 - Sale <sup>25</sup>
	0.133	2.07	10/02/20	1.95	...	0.12	3 of 16 - Sale <sup>25</sup>
	0.291	4.53	10/02/20	4.27	...	0.26	4 of 16 - Sale <sup>25</sup>
	0.993	15.50	10/02/20	14.96	...	0.54	5 of 16 - Sale <sup>25</sup>
	0.410	6.36	10/02/20	5.79	...	0.57	6 of 16 - Sale <sup>25</sup>
	5.000	78.00	10/02/20	73.70	...	4.30	7 of 16 - Sale <sup>25</sup>
	6.116	95.41	10/02/20	90.74	...	4.67	8 of 16 - Sale <sup>25</sup>
	13.564	211.60	10/02/20	194.52	...	17.08	9 of 16 - Sale <sup>25</sup>
	15.063	234.98	10/02/20	213.59	...	21.39	10 of 16 - Sale <sup>25</sup>
	18.000	280.80	10/02/20	254.30	...	26.50	11 of 16 - Sale <sup>25</sup>
	58.243	908.60	10/02/20	858.48	...	50.12	12 of 16 - Sale <sup>25</sup>
	77.439	1,208.05	10/02/20	1,128.97	...	79.08	13 of 16 - Sale <sup>25</sup>
	123.060	1,919.76	10/02/20	1,813.86	...	105.90	14 of 16 - Sale <sup>25</sup>
	1.000	15.54	10/05/20	15.54	...	0.00	15 of 16 - Sale <sup>25</sup>
	18.000	279.72	10/05/20	279.72	...	0.00	16 of 16 - Sale <sup>25</sup>
10/05/20	337.410	5,262.46	Various	4,951.86	...	310.60	Total of 16 transactions
	7 transactions for 10/05/20. Total proceeds and cost reported to the IRS.						
	41.000	637.12	10/05/20	637.14	0.02 W	0.00	1 of 7 - Sale <sup>25</sup>
	43.000	668.20	10/05/20	668.22	0.02 W	0.00	2 of 7 - Sale <sup>25</sup>
	57.000	885.75	10/05/20	885.78	0.03 W	0.00	3 of 7 - Sale <sup>25</sup>
	4.590	71.33	10/05/20	71.34	0.01 W	0.00	4 of 7 - Sale <sup>25</sup>
	63.000	978.98	10/05/20	979.02	0.04 W	0.00	5 of 7 - Sale <sup>25</sup>
	100.000	1,553.95	10/05/20	1,554.00	0.05 W	0.00	6 of 7 - Sale <sup>25</sup>
	62.000	962.82	10/05/20	963.48	0.66 W	0.00	7 of 7 - Sale <sup>25</sup>
10/05/20	370.590	5,758.15	Various	5,758.98	0.83 W	0.00	Total of 7 transactions
	10 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	0.255	4.12	10/05/20	3.97	...	0.15	1 of 10 - Sale <sup>25</sup>
	0.645	10.42	10/05/20	10.03	...	0.39	2 of 10 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 161787155

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
	0.383	6.19	10/06/20	6.19	...	0.00	3 of 10 - Sale <sup>25</sup>
	4.590	74.14	10/06/20	74.12	...	0.02	4 of 10 - Sale <sup>25</sup>
	40.099	647.67	10/06/20	647.43	...	0.24	5 of 10 - Sale <sup>25</sup>
	43.000	694.52	10/06/20	694.25	...	0.27	6 of 10 - Sale <sup>25</sup>
	57.000	920.64	10/06/20	920.30	...	0.34	7 of 10 - Sale <sup>25</sup>
	62.099	1,003.00	10/06/20	1,002.64	...	0.36	8 of 10 - Sale <sup>25</sup>
	99.099	1,600.60	10/06/20	1,600.01	...	0.59	9 of 10 - Sale <sup>25</sup>
	251.983	4,069.91	10/06/20	4,068.26	...	1.65	10 of 10 - Sale <sup>25</sup>
10/06/20	559.156	9,031.21	Various	9,027.20	...	4.01	Total of 10 transactions
10/06/20	61.099	986.85	10/06/20	987.10	0.25 W	0.00	Sale <sup>25</sup>
	6 transactions for 10/09/20. Total proceeds and cost reported to the IRS.						
	0.155	2.88	10/07/20	2.78	...	0.10	1 of 6 - Sale <sup>25</sup>
	60.789	1,128.90	10/07/20	1,091.72	...	37.18	2 of 6 - Sale <sup>25</sup>
	208.290	3,868.07	10/07/20	3,739.84	...	128.23	3 of 6 - Sale <sup>25</sup>
	297.921	5,494.99	10/07/20	5,349.18	...	145.81	4 of 6 - Sale <sup>25</sup>
	0.247	4.55	10/08/20	4.37	...	0.18	5 of 6 - Sale <sup>25</sup>
	243.985	4,500.17	10/08/20	4,325.41	...	174.76	6 of 6 - Sale <sup>25</sup>
10/09/20	811.386	14,999.56	Various	14,513.30	...	486.26	Total of 6 transactions
	6 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	0.411	7.84	10/08/20	7.31	...	0.53	1 of 6 - Sale <sup>25</sup>
	23.769	453.51	10/08/20	423.33	...	30.18	2 of 6 - Sale <sup>25</sup>
	37.805	721.31	10/08/20	670.22	...	51.09	3 of 6 - Sale <sup>25</sup>
	45.000	858.57	10/08/20	801.45	...	57.12	4 of 6 - Sale <sup>25</sup>
	55.000	1,049.37	10/08/20	979.55	...	69.82	5 of 6 - Sale <sup>25</sup>
	100.000	1,907.94	10/08/20	1,781.00	...	126.94	6 of 6 - Sale <sup>25</sup>
10/12/20	261.986	4,998.54	Various	4,662.86	...	335.68	Total of 6 transactions
10/12/20	0.069	1.16	10/08/20	1.22	0.06 W	0.00	Sale <sup>25</sup>
	5 transactions for 10/14/20. Total proceeds and cost reported to the IRS.						
	9.833	171.49	10/08/20	175.14	3.65 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.069	1.20	10/09/20	1.33	0.13 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.374	6.52	10/09/20	6.89	0.37 W	0.00	3 of 5 - Sale <sup>25</sup>
	265.000	4,621.46	10/09/20	4,890.58	269.12 W	0.00	4 of 5 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 161787155

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
10/14/20	224.724	3,919.07	10/12/20	4,010.94	91.87 W	0.00	5 of 5 - Sale <sup>25</sup>
	500.000	8,719.74	Various	9,084.88	365.14 W	0.00	Total of 5 transactions
	12 transactions for 10/15/20. Total proceeds and cost reported to the IRS.						
	126.588	2,104.45	10/13/20	2,333.70	...	-229.25	1 of 12 - Sale <sup>25</sup>
	157.558	2,611.45	10/13/20	2,811.44	...	-199.99	2 of 12 - Sale <sup>25</sup>
	93.000	1,546.08	10/13/20	1,714.51	...	-168.43	3 of 12 - Sale <sup>25</sup>
	18.937	313.87	10/13/20	372.58	...	-58.71	4 of 12 - Sale <sup>25</sup>
	41.689	690.98	10/13/20	743.27	...	-52.29	5 of 12 - Sale <sup>25</sup>
	25.476	423.53	10/13/20	454.22	...	-30.69	6 of 12 - Sale <sup>25</sup>
	9.788	162.23	10/13/20	186.26	...	-24.03	7 of 12 - Sale <sup>25</sup>
	0.374	6.20	10/13/20	7.35	...	-1.15	8 of 12 - Sale <sup>25</sup>
	0.274	4.55	10/13/20	5.04	...	-0.49	9 of 12 - Sale <sup>25</sup>
	0.069	1.14	10/13/20	1.42	...	-0.28	10 of 12 - Sale <sup>25</sup>
	0.045	0.75	10/13/20	0.87	...	-0.12	11 of 12 - Sale <sup>25</sup>
	1.000	17.11	10/15/20	18.85	...	-1.74	12 of 12 - Sale <sup>25</sup>
10/15/20	474.798	7,882.34	Various	8,649.51	...	-767.17	Total of 12 transactions
	5 transactions for 10/15/20. Total proceeds and cost reported to the IRS.						
	45.139	750.41	10/12/20	851.49	47.90 W	-53.18	1 of 5 - Sale <sup>25</sup>
	0.069	1.14	10/12/20	1.36	0.22 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.045	0.75	10/12/20	0.83	0.08 W	0.00	3 of 5 - Sale <sup>25</sup>
	9.788	162.72	10/12/20	178.33	15.61 W	0.00	4 of 5 - Sale <sup>25</sup>
	0.374	6.21	10/12/20	7.04	0.83 W	0.00	5 of 5 - Sale <sup>25</sup>
10/15/20	55.415	921.23	Various	1,039.05	64.64 W	-53.18	Total of 5 transactions
12/07/20	1.450	36.25	10/15/20	27.32	...	8.93	Sale <sup>25</sup>
	2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	0.275	6.88	12/07/20	6.90	0.02 W	0.00	1 of 2 - Sale <sup>25</sup>
	267.968	6,699.50	12/07/20	6,720.10	20.60 W	0.00	2 of 2 - Sale <sup>25</sup>
12/07/20	268.243	6,706.38	Various	6,727.00	20.62 W	0.00	Total of 2 transactions
	3 transactions for 12/16/20. Total proceeds and cost reported to the IRS.						
	0.275	7.78	12/15/20	7.54	...	0.24	1 of 3 - Sale <sup>25</sup>
	0.568	16.07	12/15/20	15.56	...	0.51	2 of 3 - Sale <sup>25</sup>
	35.773	1,011.67	12/15/20	979.71	...	31.96	3 of 3 - Sale <sup>25</sup>
12/16/20	36.617	1,035.52	Various	1,002.81	...	32.71	Total of 3 transactions

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Account 161787155

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
2 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	0.034	0.96	12/15/20	0.97	0.01 W	0.00	1 of 2 - Sale <sup>25</sup>
	32.193	910.42	12/15/20	921.42	11.00 W	0.00	2 of 2 - Sale <sup>25</sup>
12/16/20	32.227	911.38	Various	922.39	11.01 W	0.00	Total of 2 transactions
5 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	0.034	1.11	12/21/20	1.12	0.01 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.089	2.91	12/21/20	2.94	0.03 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.099	3.14	12/21/20	3.26	0.12 W	0.00	3 of 5 - Sale <sup>25</sup>
	32.004	1,041.07	12/21/20	1,052.68	11.61 W	0.00	4 of 5 - Sale <sup>25</sup>
	59.996	1,951.61	12/21/20	1,957.47	5.86 W	0.00	5 of 5 - Sale <sup>25</sup>
12/21/20	92.222	2,999.84	Various	3,017.47	17.63 W	0.00	Total of 5 transactions
2 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	3.065	107.27	12/21/20	99.99	...	7.28	1 of 2 - Sale <sup>25</sup>
	82.576	2,892.57	12/21/20	2,694.21	...	198.36	2 of 2 - Sale <sup>25</sup>
12/24/20	85.641	2,999.84	Various	2,794.20	...	205.64	Total of 2 transactions
3 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	0.104	3.51	12/21/20	3.39	...	0.12	1 of 3 - Sale <sup>25</sup>
	36.061	1,244.97	12/21/20	1,173.78	...	71.19	2 of 3 - Sale <sup>25</sup>
	53.625	1,851.36	12/21/20	1,749.62	...	101.74	3 of 3 - Sale <sup>25</sup>
12/28/20	89.790	3,099.84	Various	2,926.79	...	173.05	Total of 3 transactions
	<b>Security total:</b>	<b>304,618.45</b>		<b>299,684.02</b>	<b>3,350.68 W</b>	<b>8,285.11</b>	
ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol:							
4 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	67.000	1,971.86	09/01/20	1,957.74	...	14.12	1 of 4 - Sale <sup>25</sup>
	99.674	2,933.47	09/01/20	2,912.46	...	21.01	2 of 4 - Sale <sup>25</sup>
	100.000	2,943.07	09/01/20	2,922.00	...	21.07	3 of 4 - Sale <sup>25</sup>
	1,705.326	50,189.00	09/01/20	50,000.00	...	189.00	4 of 4 - Sale <sup>25</sup>
09/01/20	1,972.000	58,037.40	Various	57,792.20	...	245.20	Total of 4 transactions
25 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	0.226	7.47	09/01/20	6.96	...	0.51	1 of 25 - Sale <sup>25</sup>
	0.326	10.77	09/01/20	9.54	...	1.23	2 of 25 - Sale <sup>25</sup>
	48.735	1,608.20	09/01/20	1,490.06	...	118.14	3 of 25 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol: (cont'd)							
	94.569	3,167.97	09/01/20	2,891.44	...	276.53	4 of 25 - Sale <sup>25</sup>
	900.000	29,230.16	09/01/20	27,517.50	...	1,712.66	5 of 25 - Sale <sup>25</sup>
	850.713	28,072.79	09/01/20	26,198.04	...	1,874.75	6 of 25 - Sale <sup>25</sup>
	0.031	1.04	09/02/20	1.02	...	0.02	7 of 25 - Sale <sup>25</sup>
	0.540	18.09	09/02/20	17.75	...	0.34	8 of 25 - Sale <sup>25</sup>
	1.000	33.50	09/02/20	33.02	...	0.48	9 of 25 - Sale <sup>25</sup>
	7.891	264.35	09/02/20	259.70	...	4.65	10 of 25 - Sale <sup>25</sup>
	77.747	2,604.46	09/02/20	2,567.20	...	37.26	11 of 25 - Sale <sup>25</sup>
	100.000	3,349.91	09/02/20	3,302.00	...	47.91	12 of 25 - Sale <sup>25</sup>
	100.000	3,349.91	09/02/20	3,302.00	...	47.91	13 of 25 - Sale <sup>25</sup>
	100.000	3,349.91	09/02/20	3,302.00	...	47.91	14 of 25 - Sale <sup>25</sup>
	100.000	3,349.91	09/02/20	3,301.00	...	48.91	15 of 25 - Sale <sup>25</sup>
	97.222	3,256.86	09/02/20	3,199.58	...	57.28	16 of 25 - Sale <sup>25</sup>
	100.000	3,349.91	09/02/20	3,291.00	...	58.91	17 of 25 - Sale <sup>25</sup>
	100.000	3,349.91	09/02/20	3,291.00	...	58.91	18 of 25 - Sale <sup>25</sup>
	100.000	3,349.91	09/02/20	3,291.00	...	58.91	19 of 25 - Sale <sup>25</sup>
	100.000	3,349.92	09/02/20	3,291.00	...	58.92	20 of 25 - Sale <sup>25</sup>
	100.000	3,349.92	09/02/20	3,291.00	...	58.92	21 of 25 - Sale <sup>25</sup>
	97.000	3,249.42	09/02/20	3,190.33	...	59.09	22 of 25 - Sale <sup>25</sup>
	100.000	3,349.91	09/02/20	3,290.00	...	59.91	23 of 25 - Sale <sup>25</sup>
	100.000	3,349.91	09/02/20	3,287.00	...	62.91	24 of 25 - Sale <sup>25</sup>
	324.000	10,853.72	09/02/20	10,695.24	...	158.48	25 of 25 - Sale <sup>25</sup>
09/02/20	3,600.000	119,227.83	Various	114,316.38	...	4,911.45	Total of 25 transactions
	24 transactions for 09/09/20. Total proceeds and cost reported to the IRS.						
	22.253	534.06	09/02/20	734.80	200.74 W	0.00	1 of 24 - Sale <sup>25</sup>
	60.000	1,439.96	09/02/20	1,981.20	541.24 W	0.00	2 of 24 - Sale <sup>25</sup>
	0.323	7.76	09/02/20	10.68	2.92 W	0.00	3 of 24 - Sale <sup>25</sup>
	17.424	418.15	09/02/20	582.82	164.67 W	0.00	4 of 24 - Sale <sup>25</sup>
	0.323	7.76	09/02/20	13.74	5.98 W	0.00	5 of 24 - Sale <sup>25</sup>
	22.253	534.06	09/02/20	945.10	411.04 W	0.00	6 of 24 - Sale <sup>25</sup>
	60.000	1,439.96	09/02/20	2,548.24	1,108.28 W	0.00	7 of 24 - Sale <sup>25</sup>
	17.424	418.15	09/02/20	747.49	329.34 W	0.00	8 of 24 - Sale <sup>25</sup>
	1.000	24.00	09/02/20	51.92	27.92 W	0.00	9 of 24 - Sale <sup>25</sup>
	21.253	510.06	09/02/20	1,103.48	593.42 W	0.00	10 of 24 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 161787155

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol: (cont'd)							
	39.323	943.73	09/02/20	2,041.73	1,098.00 W	0.00	11 of 24 - Sale <sup>25</sup>
	1.000	24.00	09/02/20	51.92	27.92 W	0.00	12 of 24 - Sale <sup>25</sup>
	0.323	7.76	09/02/20	16.80	9.04 W	0.00	13 of 24 - Sale <sup>25</sup>
	19.677	472.22	09/02/20	1,021.43	549.21 W	0.00	14 of 24 - Sale <sup>25</sup>
	1.000	24.00	09/02/20	61.36	37.36 W	0.00	15 of 24 - Sale <sup>25</sup>
	16.424	394.16	09/02/20	859.64	465.48 W	0.00	16 of 24 - Sale <sup>25</sup>
	1.000	24.00	09/02/20	61.36	37.36 W	0.00	17 of 24 - Sale <sup>25</sup>
	7.424	178.16	09/02/20	455.45	277.29 W	0.00	18 of 24 - Sale <sup>25</sup>
	21.253	510.06	09/02/20	1,304.12	794.06 W	0.00	19 of 24 - Sale <sup>25</sup>
	39.323	943.73	09/02/20	2,412.98	1,469.25 W	0.00	20 of 24 - Sale <sup>25</sup>
	0.323	7.76	09/02/20	19.86	12.10 W	0.00	21 of 24 - Sale <sup>25</sup>
	12.253	294.07	09/02/20	751.74	457.67 W	0.00	22 of 24 - Sale <sup>25</sup>
	1.000	24.00	09/02/20	52.34	28.34 W	0.00	23 of 24 - Sale <sup>25</sup>
	17.424	418.15	09/02/20	582.64	164.49 W	0.00	24 of 24 - Sale <sup>25</sup>
09/09/20	400.000	9,599.72	Various	18,412.84	8,813.12 W	0.00	Total of 24 transactions
	25 transactions for 09/10/20. Total proceeds and cost reported to the IRS.						
	98.000	2,324.04	09/02/20	5,173.57	...	-2,849.53	1 of 25 - Sale <sup>25</sup>
	39.323	932.54	09/02/20	3,163.33	...	-2,230.79	2 of 25 - Sale <sup>25</sup>
	110.454	2,619.39	09/02/20	4,768.39	...	-2,149.00	3 of 25 - Sale <sup>25</sup>
	67.122	1,591.78	09/02/20	3,543.47	...	-1,951.69	4 of 25 - Sale <sup>25</sup>
	84.576	2,005.71	09/02/20	3,642.77	...	-1,637.06	5 of 25 - Sale <sup>25</sup>
	82.546	1,957.54	09/02/20	3,562.72	...	-1,605.18	6 of 25 - Sale <sup>25</sup>
	14.424	342.05	09/02/20	1,160.27	...	-818.22	7 of 25 - Sale <sup>25</sup>
	16.424	389.48	09/02/20	1,173.03	...	-783.55	8 of 25 - Sale <sup>25</sup>
	73.000	1,731.17	09/02/20	2,469.59	...	-738.42	9 of 25 - Sale <sup>25</sup>
	12.253	290.58	09/02/20	985.53	...	-694.95	10 of 25 - Sale <sup>25</sup>
	17.424	413.19	09/02/20	921.55	...	-508.36	11 of 25 - Sale <sup>25</sup>
	15.454	366.50	09/02/20	815.87	...	-449.37	12 of 25 - Sale <sup>25</sup>
	15.424	365.76	09/02/20	814.23	...	-448.47	13 of 25 - Sale <sup>25</sup>
	7.424	176.05	09/02/20	597.11	...	-421.06	14 of 25 - Sale <sup>25</sup>
	6.830	161.96	09/02/20	549.38	...	-387.42	15 of 25 - Sale <sup>25</sup>
	7.000	166.00	09/02/20	302.19	...	-136.19	16 of 25 - Sale <sup>25</sup>
	2.000	47.43	09/02/20	105.58	...	-58.15	17 of 25 - Sale <sup>25</sup>
	1.000	23.71	09/02/20	80.44	...	-56.73	18 of 25 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 161787155

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol: (cont'd)							
	1.000	23.71	09/02/20	80.44	...	-56.73	19 of 25 - Sale <sup>25</sup>
	0.501	11.89	09/02/20	35.81	...	-23.92	20 of 25 - Sale <sup>25</sup>
	0.468	11.09	09/02/20	33.57	...	-22.48	21 of 25 - Sale <sup>25</sup>
	0.323	7.67	09/02/20	26.03	...	-18.36	22 of 25 - Sale <sup>25</sup>
	0.370	8.77	09/02/20	19.71	...	-10.94	23 of 25 - Sale <sup>25</sup>
	0.031	0.73	09/02/20	2.21	...	-1.48	24 of 25 - Sale <sup>25</sup>
	0.001	0.02	09/02/20	0.05	...	-0.03	25 of 25 - Sale <sup>25</sup>
09/10/20	673.371	15,968.76	Various	34,026.84	...	-18,058.08	Total of 25 transactions
	25 transactions for 09/10/20. Total proceeds and cost reported to the IRS.						
	1.630	38.65	09/02/20	70.35	0.02 W	-31.68	1 of 25 - Sale <sup>25</sup>
	100.000	2,409.93	09/02/20	3,344.00	934.07 W	0.00	2 of 25 - Sale <sup>25</sup>
	100.000	2,409.93	09/02/20	3,344.00	934.07 W	0.00	3 of 25 - Sale <sup>25</sup>
	193.000	4,651.17	09/02/20	6,453.92	1,802.75 W	0.00	4 of 25 - Sale <sup>25</sup>
	7.000	168.70	09/02/20	234.08	65.38 W	0.00	5 of 25 - Sale <sup>25</sup>
	84.576	2,046.69	09/02/20	2,828.24	781.55 W	0.00	6 of 25 - Sale <sup>25</sup>
	1.000	24.20	09/02/20	70.81	46.61 W	0.00	7 of 25 - Sale <sup>25</sup>
	14.424	349.04	09/02/20	1,021.36	672.32 W	0.00	8 of 25 - Sale <sup>25</sup>
	0.323	7.83	09/02/20	22.92	15.09 W	0.00	9 of 25 - Sale <sup>25</sup>
	1.000	24.20	09/02/20	70.81	46.61 W	0.00	10 of 25 - Sale <sup>25</sup>
	7.424	179.64	09/02/20	525.61	345.97 W	0.00	11 of 25 - Sale <sup>25</sup>
	12.253	296.52	09/02/20	867.53	571.01 W	0.00	12 of 25 - Sale <sup>25</sup>
	6.830	165.27	09/02/20	483.61	318.34 W	0.00	13 of 25 - Sale <sup>25</sup>
	39.323	951.60	09/02/20	2,784.62	1,833.02 W	0.00	14 of 25 - Sale <sup>25</sup>
	0.501	12.13	09/02/20	30.98	18.85 W	0.00	15 of 25 - Sale <sup>25</sup>
	16.424	397.43	09/02/20	1,014.85	617.42 W	0.00	16 of 25 - Sale <sup>25</sup>
	0.468	11.32	09/02/20	29.07	17.75 W	0.00	17 of 25 - Sale <sup>25</sup>
	0.031	0.75	09/02/20	1.92	1.17 W	0.00	18 of 25 - Sale <sup>25</sup>
	15.424	373.24	09/02/20	665.69	292.45 W	0.00	19 of 25 - Sale <sup>25</sup>
	2.000	48.40	09/02/20	86.32	37.92 W	0.00	20 of 25 - Sale <sup>25</sup>
	98.000	2,371.53	09/02/20	4,229.75	1,858.22 W	0.00	21 of 25 - Sale <sup>25</sup>
	67.122	1,624.31	09/02/20	2,897.04	1,272.73 W	0.00	22 of 25 - Sale <sup>25</sup>
	17.424	421.64	09/02/20	753.75	332.11 W	0.00	23 of 25 - Sale <sup>25</sup>
	15.454	373.98	09/02/20	667.03	293.05 W	0.00	24 of 25 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 161787155

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol: (cont'd)							
	0.370	8.78	09/02/20	15.97	7.19 W	0.00	25 of 25 - Sale <sup>25</sup>
09/10/20	802.000	19,366.88	Various	32,514.23	13,115.67 W	-31.68	Total of 25 transactions
	<b>Security total:</b>	<b>222,200.59</b>		<b>257,062.49</b>	<b>21,928.79 W</b>	<b>-12,933.11</b>	
SOUTHWEST AIRLINES CO. / CUSIP: 844741108 / Symbol:							
3 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	20.907	804.89	06/08/20	847.56	...	-42.67	1 of 3 - Sale <sup>25</sup>
	1.047	40.30	06/08/20	42.44	...	-2.14	2 of 3 - Sale <sup>25</sup>
	21.000	808.49	06/23/20	714.41	...	94.08	3 of 3 - Sale <sup>25</sup>
08/28/20	42.954	1,653.68	Various	1,604.41	...	49.27	Total of 3 transactions
SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol:							
3 transactions for 10/15/20. Total proceeds and cost reported to the IRS.							
	4.998	71.92	07/13/20	87.29	...	-15.37	1 of 3 - Sale <sup>25</sup>
	336.000	4,834.89	10/15/20	4,989.60	...	-154.71	2 of 3 - Sale <sup>25</sup>
	3.274	47.12	10/15/20	48.62	...	-1.50	3 of 3 - Sale <sup>25</sup>
10/15/20	344.273	4,953.93	Various	5,125.51	...	-171.58	Total of 3 transactions
10/15/20	0.727	10.47	07/13/20	12.70	2.22 W	-0.01	Sale <sup>25</sup>
	<b>Security total:</b>	<b>4,964.40</b>		<b>5,138.21</b>	<b>2.22 W</b>	<b>-171.59</b>	
SPLUNK INC. COMMON STOCK / CUSIP: 848637104 / Symbol:							
12/08/20	100.000	15,964.80	12/04/20	16,139.00	...	-174.20	Sale <sup>25</sup>
SQUARE, INC. / CUSIP: 852234103 / Symbol:							
3 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	0.960	123.30	07/21/20	122.12	...	1.18	1 of 3 - Sale <sup>25</sup>
	8.000	1,027.42	07/21/20	1,019.04	...	8.38	2 of 3 - Sale <sup>25</sup>
	21.040	2,702.09	07/21/20	2,680.07	...	22.02	3 of 3 - Sale <sup>25</sup>
07/22/20	30.000	3,852.81	Various	3,821.23	...	31.58	Total of 3 transactions
3 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	0.918	154.27	07/21/20	116.89	...	37.38	1 of 3 - Sale <sup>25</sup>
	23.082	3,880.28	09/01/20	3,898.59	...	-18.31	2 of 3 - Sale <sup>25</sup>
	50.000	8,405.31	09/01/20	8,400.00	...	5.31	3 of 3 - Sale <sup>25</sup>
09/01/20	74.000	12,439.86	Various	12,415.48	...	24.38	Total of 3 transactions

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Account 161787155

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SQUARE, INC. / CUSIP: 852234103 / Symbol: (cont'd)							
<b>Security total:</b>		<b>16,292.67</b>		<b>16,236.71</b>	...	<b>55.96</b>	
SUNRUN INC. COMMON STOCK / CUSIP: 86771W105 / Symbol:							
16 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	71.799	5,306.83	10/02/20	5,653.05	...	-346.22	1 of 16 - Sale <sup>25</sup>
	32.466	2,399.66	10/02/20	2,669.55	...	-269.89	2 of 16 - Sale <sup>25</sup>
	49.426	3,653.19	10/02/20	3,921.82	...	-268.63	3 of 16 - Sale <sup>25</sup>
	22.186	1,639.85	10/02/20	1,859.55	...	-219.70	4 of 16 - Sale <sup>25</sup>
	23.625	1,746.17	10/02/20	1,950.22	...	-204.05	5 of 16 - Sale <sup>25</sup>
	46.750	3,455.41	10/02/20	3,570.00	...	-114.59	6 of 16 - Sale <sup>25</sup>
	18.235	1,347.76	10/02/20	1,452.83	...	-105.07	7 of 16 - Sale <sup>25</sup>
	12.090	893.58	10/02/20	955.65	...	-62.07	8 of 16 - Sale <sup>25</sup>
	12.634	933.80	10/02/20	993.92	...	-60.12	9 of 16 - Sale <sup>25</sup>
	4.000	295.88	10/02/20	338.70	...	-42.82	10 of 16 - Sale <sup>25</sup>
	4.763	352.07	10/02/20	392.48	...	-40.41	11 of 16 - Sale <sup>25</sup>
	15.250	1,127.17	10/02/20	1,164.55	...	-37.38	12 of 16 - Sale <sup>25</sup>
	1.129	83.48	10/02/20	93.19	...	-9.71	13 of 16 - Sale <sup>25</sup>
	0.347	25.68	10/02/20	29.12	...	-3.44	14 of 16 - Sale <sup>25</sup>
	0.089	6.57	10/02/20	7.91	...	-1.34	15 of 16 - Sale <sup>25</sup>
	0.043	3.21	10/02/20	3.85	...	-0.64	16 of 16 - Sale <sup>25</sup>
10/08/20	314.833	23,270.31	Various	25,056.39	...	-1,786.08	Total of 16 transactions
10/08/20	12.167	899.32	10/02/20	1,000.46	33.25 W	-67.89	Sale <sup>25</sup>
10/15/20	0.350	27.01	10/02/20	28.80	...	-1.79	Cash in lieu
<b>Security total:</b>		<b>24,196.64</b>		<b>26,085.65</b>	<b>33.25 W</b>	<b>-1,855.76</b>	
TATA MOTORS LIMITED / CUSIP: 876568502 / Symbol:							
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	99.000	732.57	07/10/20	733.39	...	-0.82	1 of 2 - Sale <sup>25</sup>
	1.000	7.40	07/10/20	7.42	...	-0.02	2 of 2 - Sale <sup>25</sup>
07/10/20	100.000	739.97	Various	740.81	...	-0.84	Total of 2 transactions
07/10/20	1.000	7.40	07/10/20	7.41	0.01 W	0.00	Sale <sup>25</sup>
<b>Security total:</b>		<b>747.37</b>		<b>748.22</b>	<b>0.01 W</b>	<b>-0.84</b>	

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Account 161787155

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
2 transactions for 02/04/20. Total proceeds and cost reported to the IRS.							
	6.000	5,543.82	02/04/20	5,486.80	...	57.02	1 of 2 - Sale <sup>25</sup>
	2.000	1,847.94	02/04/20	1,749.26	...	98.68	2 of 2 - Sale <sup>25</sup>
02/04/20	8.000	7,391.76	Various	7,236.06	...	155.70	Total of 2 transactions
4 transactions for 02/04/20. Total proceeds and cost reported to the IRS.							
	1.000	923.97	02/04/20	953.58	29.61 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.000	923.79	02/04/20	953.58	29.79 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	923.79	02/04/20	989.70	65.91 W	0.00	3 of 4 - Sale <sup>25</sup>
	3.000	2,771.37	02/04/20	2,883.00	111.63 W	0.00	4 of 4 - Sale <sup>25</sup>
02/04/20	6.000	5,542.92	Various	5,779.86	236.94 W	0.00	Total of 4 transactions
4 transactions for 02/05/20. Total proceeds and cost reported to the IRS.							
	9.000	6,749.86	02/04/20	7,875.00	1,000.12 W	-125.02	1 of 4 - Sale <sup>25</sup>
	1.000	749.98	02/04/20	904.79	154.81 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.000	749.98	02/04/20	940.91	190.93 W	0.00	3 of 4 - Sale <sup>25</sup>
	3.000	2,249.96	02/04/20	2,736.63	486.67 W	0.00	4 of 4 - Sale <sup>25</sup>
02/05/20	14.000	10,499.78	Various	12,457.33	1,832.53 W	-125.02	Total of 4 transactions
4 transactions for 02/07/20. Total proceeds and cost reported to the IRS.							
	8.000	5,969.07	02/06/20	7,209.89	...	-1,240.82	1 of 4 - Sale <sup>25</sup>
	3.000	2,238.40	02/06/20	2,815.33	...	-576.93	2 of 4 - Sale <sup>25</sup>
	1.000	746.13	02/06/20	967.15	...	-221.02	3 of 4 - Sale <sup>25</sup>
	1.000	746.13	02/06/20	931.03	...	-184.90	4 of 4 - Sale <sup>25</sup>
02/07/20	13.000	9,699.73	Various	11,923.40	...	-2,223.67	Total of 4 transactions
8 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	0.052	45.35	04/27/20	38.22	...	7.13	1 of 8 - Sale <sup>25</sup>
	0.139	121.29	04/27/20	102.26	...	19.03	2 of 8 - Sale <sup>25</sup>
	0.166	145.00	04/27/20	122.20	...	22.80	3 of 8 - Sale <sup>25</sup>
	1.000	874.90	04/27/20	737.32	...	137.58	4 of 8 - Sale <sup>25</sup>
	0.012	10.51	04/28/20	9.34	...	1.17	5 of 8 - Sale <sup>25</sup>
	0.561	490.78	04/28/20	436.21	...	54.57	6 of 8 - Sale <sup>25</sup>
	2.000	1,749.79	04/28/20	1,555.45	...	194.34	7 of 8 - Sale <sup>25</sup>
	0.071	61.97	05/27/20	55.86	...	6.11	8 of 8 - Sale <sup>25</sup>
06/05/20	4.000	3,499.59	Various	3,056.86	...	442.73	Total of 8 transactions
06/08/20	0.929	886.48	05/27/20	732.78	...	153.70	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 161787155

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
6 transactions for 06/11/20. Total proceeds and cost reported to the IRS.							
	0.017	17.32	06/10/20	17.55	0.23 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.041	41.65	06/10/20	42.21	0.56 W	0.00	2 of 6 - Sale <sup>25</sup>
	1.901	1,918.50	06/10/20	1,944.87	26.37 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.017	17.32	06/10/20	17.78	0.46 W	0.00	4 of 6 - Sale <sup>25</sup>
	0.041	41.64	06/10/20	42.77	1.13 W	0.00	5 of 6 - Sale <sup>25</sup>
	0.040	40.67	06/10/20	41.24	0.57 W	0.00	6 of 6 - Sale <sup>25</sup>
06/11/20	2.058	2,077.10	Various	2,106.42	29.32 W	0.00	Total of 6 transactions
2 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	2.563	3,447.08	07/06/20	3,424.12	...	22.96	1 of 2 - Sale <sup>25</sup>
	4.437	5,965.73	07/06/20	5,930.59	...	35.14	2 of 2 - Sale <sup>25</sup>
07/07/20	7.000	9,412.81	Various	9,354.71	...	58.10	Total of 2 transactions
5 transactions for 07/07/20. Total proceeds and cost reported to the IRS.							
	0.438	588.65	07/06/20	591.13	2.48 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.017	23.08	07/06/20	23.40	0.32 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.041	55.49	07/06/20	56.29	0.80 W	0.00	3 of 5 - Sale <sup>25</sup>
	0.040	54.20	07/06/20	54.45	0.25 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.464	1,967.96	07/06/20	1,976.67	8.71 W	0.00	5 of 5 - Sale <sup>25</sup>
07/07/20	2.000	2,689.38	Various	2,701.94	12.56 W	0.00	Total of 5 transactions
10 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	0.127	175.16	07/06/20	170.60	...	4.56	1 of 10 - Sale <sup>25</sup>
	0.022	30.42	07/07/20	30.28	...	0.14	2 of 10 - Sale <sup>25</sup>
	0.033	45.00	07/07/20	44.82	...	0.18	3 of 10 - Sale <sup>25</sup>
	0.040	55.54	07/07/20	55.31	...	0.23	4 of 10 - Sale <sup>25</sup>
	0.288	397.32	07/07/20	395.70	...	1.62	5 of 10 - Sale <sup>25</sup>
	0.431	593.66	07/07/20	591.09	...	2.57	6 of 10 - Sale <sup>25</sup>
	0.138	191.46	07/07/20	188.46	...	3.00	7 of 10 - Sale <sup>25</sup>
	0.293	403.66	07/07/20	400.16	...	3.50	8 of 10 - Sale <sup>25</sup>
	1.000	1,377.05	07/07/20	1,371.95	...	5.10	9 of 10 - Sale <sup>25</sup>
	1.000	1,387.81	07/07/20	1,366.00	...	21.81	10 of 10 - Sale <sup>25</sup>
07/09/20	3.373	4,657.08	Various	4,614.37	...	42.71	Total of 10 transactions

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Robinhood Securities LLC

Account 161787155

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	0.017	23.63	07/07/20	23.76	0.13 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.041	56.83	07/07/20	57.17	0.34 W	0.00	2 of 2 - Sale <sup>25</sup>
07/09/20	0.058	80.46	Various	80.93	0.47 W	0.00	Total of 2 transactions
6 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	0.017	26.16	07/07/20	23.93	...	2.23	1 of 6 - Sale <sup>25</sup>
	0.041	62.89	07/07/20	57.57	...	5.32	2 of 6 - Sale <sup>25</sup>
	0.345	525.30	07/07/20	476.52	...	48.78	3 of 6 - Sale <sup>25</sup>
	1.378	2,099.64	07/07/20	1,905.58	...	194.06	4 of 6 - Sale <sup>25</sup>
	1.508	2,298.99	07/07/20	2,091.97	...	207.02	5 of 6 - Sale <sup>25</sup>
	6.711	10,228.34	07/07/20	9,167.14	...	1,061.20	6 of 6 - Sale <sup>25</sup>
07/10/20	10.000	15,241.32	Various	13,722.71	...	1,518.61	Total of 6 transactions
4 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	0.621	983.24	07/07/20	859.60	...	123.64	1 of 4 - Sale <sup>25</sup>
	0.226	357.29	07/10/20	349.00	...	8.29	2 of 4 - Sale <sup>25</sup>
	5.000	7,911.17	07/10/20	7,722.45	...	188.72	3 of 4 - Sale <sup>25</sup>
	11.998	18,984.12	07/10/20	18,546.00	...	438.12	4 of 4 - Sale <sup>25</sup>
07/20/20	17.846	28,235.82	Various	27,477.05	...	758.77	Total of 4 transactions
5 transactions for 07/20/20. Total proceeds and cost reported to the IRS.							
	0.631	997.98	07/13/20	1,093.57	95.59 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.600	948.70	07/13/20	1,034.60	85.90 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.376	595.33	07/13/20	662.11	66.78 W	0.00	3 of 5 - Sale <sup>25</sup>
	0.192	303.68	07/13/20	337.89	34.21 W	0.00	4 of 5 - Sale <sup>25</sup>
	0.356	563.18	07/13/20	627.24	64.06 W	0.00	5 of 5 - Sale <sup>25</sup>
07/20/20	2.154	3,408.87	Various	3,755.41	346.54 W	0.00	Total of 5 transactions
3 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	0.194	303.67	07/13/20	371.69	68.02 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.317	495.42	07/13/20	547.78	52.36 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.000	0.25	07/13/20	0.27	0.02 W	0.00	3 of 3 - Sale <sup>25</sup>
07/21/20	0.511	799.34	Various	919.74	120.40 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 161787155

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
5 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	0.000	0.23	07/21/20	0.27	0.04 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.194	284.39	07/21/20	374.12	89.73 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.317	463.98	07/21/20	551.75	87.77 W	0.00	3 of 5 - Sale <sup>25</sup>
	0.119	174.97	07/21/20	206.43	31.46 W	0.00	4 of 5 - Sale <sup>25</sup>
	0.369	540.69	07/21/20	634.85	94.16 W	0.00	5 of 5 - Sale <sup>25</sup>
07/27/20	1.000	1,464.26	Various	1,767.42	303.16 W	0.00	Total of 5 transactions
9 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	0.120	195.39	07/21/20	190.01	...	5.38	1 of 9 - Sale <sup>25</sup>
	0.846	1,371.76	07/21/20	1,333.92	...	37.84	2 of 9 - Sale <sup>25</sup>
	0.877	1,421.94	07/21/20	1,382.79	...	39.15	3 of 9 - Sale <sup>25</sup>
	1.000	1,622.22	07/21/20	1,577.60	...	44.62	4 of 9 - Sale <sup>25</sup>
	1.000	1,622.22	07/21/20	1,577.59	...	44.63	5 of 9 - Sale <sup>25</sup>
	7.846	12,539.41	07/21/20	12,364.56	...	174.85	6 of 9 - Sale <sup>25</sup>
	0.230	373.66	07/22/20	372.87	...	0.79	7 of 9 - Sale <sup>25</sup>
	0.847	1,373.54	07/22/20	1,344.45	...	29.09	8 of 9 - Sale <sup>25</sup>
	0.878	1,424.95	07/24/20	1,272.43	...	152.52	9 of 9 - Sale <sup>25</sup>
08/13/20	13.643	21,945.09	Various	21,416.22	...	528.87	Total of 9 transactions
37 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	0.192	306.76	07/21/20	336.69	29.93 W	0.00	1 of 37 - Sale <sup>25</sup>
	0.356	568.89	07/21/20	625.02	56.13 W	0.00	2 of 37 - Sale <sup>25</sup>
	0.376	601.36	07/21/20	659.76	58.40 W	0.00	3 of 37 - Sale <sup>25</sup>
	0.230	368.14	07/21/20	396.01	27.87 W	0.00	4 of 37 - Sale <sup>25</sup>
	0.123	197.37	07/21/20	251.77	54.40 W	0.00	5 of 37 - Sale <sup>25</sup>
	0.000	0.25	07/21/20	0.29	0.04 W	0.00	6 of 37 - Sale <sup>25</sup>
	0.071	113.06	07/21/20	144.23	31.17 W	0.00	7 of 37 - Sale <sup>25</sup>
	0.317	506.45	07/21/20	587.48	81.03 W	0.00	8 of 37 - Sale <sup>25</sup>
	0.119	190.98	07/21/20	219.90	28.92 W	0.00	9 of 37 - Sale <sup>25</sup>
	0.369	590.17	07/21/20	676.48	86.31 W	0.00	10 of 37 - Sale <sup>25</sup>
	0.192	311.38	07/21/20	332.61	21.23 W	0.00	11 of 37 - Sale <sup>25</sup>
	0.230	373.69	07/21/20	391.11	17.42 W	0.00	12 of 37 - Sale <sup>25</sup>
	0.325	527.21	07/21/20	563.70	36.49 W	0.00	13 of 37 - Sale <sup>25</sup>
	0.376	610.42	07/21/20	651.75	41.33 W	0.00	14 of 37 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.000	0.26	07/21/20	0.29	0.03 W	0.00	15 of 37 - Sale <sup>25</sup>
	0.071	114.76	07/21/20	142.77	28.01 W	0.00	16 of 37 - Sale <sup>25</sup>
	0.123	200.34	07/21/20	249.21	48.87 W	0.00	17 of 37 - Sale <sup>25</sup>
	0.317	514.08	07/21/20	580.93	66.85 W	0.00	18 of 37 - Sale <sup>25</sup>
	0.119	193.86	07/21/20	217.43	23.57 W	0.00	19 of 37 - Sale <sup>25</sup>
	0.369	599.07	07/21/20	668.85	69.78 W	0.00	20 of 37 - Sale <sup>25</sup>
	0.031	50.26	07/21/20	53.76	3.50 W	0.00	21 of 37 - Sale <sup>25</sup>
	0.192	311.36	07/21/20	324.02	12.66 W	0.00	22 of 37 - Sale <sup>25</sup>
	0.230	373.67	07/21/20	380.80	7.13 W	0.00	23 of 37 - Sale <sup>25</sup>
	0.325	527.17	07/21/20	549.15	21.98 W	0.00	24 of 37 - Sale <sup>25</sup>
	0.376	610.38	07/21/20	634.91	24.53 W	0.00	25 of 37 - Sale <sup>25</sup>
	0.000	0.26	07/21/20	0.28	0.02 W	0.00	26 of 37 - Sale <sup>25</sup>
	0.071	114.75	07/21/20	139.52	24.77 W	0.00	27 of 37 - Sale <sup>25</sup>
	0.123	200.32	07/21/20	243.53	43.21 W	0.00	28 of 37 - Sale <sup>25</sup>
	0.317	514.04	07/21/20	566.37	52.33 W	0.00	29 of 37 - Sale <sup>25</sup>
	0.063	102.79	07/21/20	112.39	9.60 W	0.00	30 of 37 - Sale <sup>25</sup>
	0.056	91.05	07/22/20	100.16	9.11 W	0.00	31 of 37 - Sale <sup>25</sup>
	0.369	599.01	07/22/20	655.92	56.91 W	0.00	32 of 37 - Sale <sup>25</sup>
	0.002	2.95	07/22/20	3.10	0.15 W	0.00	33 of 37 - Sale <sup>25</sup>
	0.192	311.35	07/22/20	317.42	6.07 W	0.00	34 of 37 - Sale <sup>25</sup>
	0.325	527.16	07/22/20	537.98	10.82 W	0.00	35 of 37 - Sale <sup>25</sup>
	0.376	610.37	07/22/20	621.97	11.60 W	0.00	36 of 37 - Sale <sup>25</sup>
	0.029	47.30	07/22/20	49.59	2.29 W	0.00	37 of 37 - Sale <sup>25</sup>
08/13/20	7.357	11,882.69	Various	12,987.15	1,104.46 W	0.00	Total of 37 transactions
12 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	0.122	231.05	07/24/20	218.71	...	12.34	1 of 12 - Sale <sup>25</sup>
	0.000	0.30	08/14/20	0.28	...	0.02	2 of 12 - Sale <sup>25</sup>
	0.002	3.46	08/14/20	3.16	...	0.30	3 of 12 - Sale <sup>25</sup>
	0.056	106.64	08/14/20	101.94	...	4.70	4 of 12 - Sale <sup>25</sup>
	0.029	55.40	08/14/20	50.52	...	4.88	5 of 12 - Sale <sup>25</sup>
	0.063	120.39	08/14/20	114.40	...	5.99	6 of 12 - Sale <sup>25</sup>
	0.317	602.04	08/14/20	576.44	...	25.60	7 of 12 - Sale <sup>25</sup>
	0.369	701.57	08/14/20	667.66	...	33.91	8 of 12 - Sale <sup>25</sup>
	0.192	364.66	08/14/20	323.52	...	41.14	9 of 12 - Sale <sup>25</sup>

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Account 161787155

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.325	617.41	08/14/20	548.31	...	69.10	10 of 12 - Sale <sup>25</sup>
	0.376	714.87	08/14/20	633.93	...	80.94	11 of 12 - Sale <sup>25</sup>
	18.076	34,343.40	08/14/20	29,897.49	...	4,445.91	12 of 12 - Sale <sup>25</sup>
08/18/20	19.927	37,861.19	Various	33,136.36	...	4,724.83	Total of 12 transactions
	2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	0.002	3.57	08/14/20	3.77	0.20 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.071	134.40	08/14/20	141.77	7.37 W	0.00	2 of 2 - Sale <sup>25</sup>
08/18/20	0.073	137.97	Various	145.54	7.57 W	0.00	Total of 2 transactions
	16 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	0.042	78.62	08/14/20	68.82	...	9.80	1 of 16 - Sale <sup>25</sup>
	0.080	151.22	08/14/20	132.32	...	18.90	2 of 16 - Sale <sup>25</sup>
	0.002	3.55	08/17/20	3.48	...	0.07	3 of 16 - Sale <sup>25</sup>
	0.071	133.66	08/17/20	130.84	...	2.82	4 of 16 - Sale <sup>25</sup>
	0.356	672.25	08/17/20	621.03	...	51.22	5 of 16 - Sale <sup>25</sup>
	0.000	0.41	08/18/20	0.41	...	0.00	6 of 16 - Sale <sup>25</sup>
	0.999	1,888.08	08/18/20	1,887.58	...	0.50	7 of 16 - Sale <sup>25</sup>
	0.531	1,002.80	08/18/20	1,002.01	...	0.79	8 of 16 - Sale <sup>25</sup>
	0.000	0.43	08/19/20	0.41	...	0.02	9 of 16 - Sale <sup>25</sup>
	0.000	0.85	08/19/20	0.81	...	0.04	10 of 16 - Sale <sup>25</sup>
	1.000	1,981.97	08/19/20	1,891.04	...	90.93	11 of 16 - Sale <sup>25</sup>
	9.000	17,841.14	08/19/20	17,022.67	...	818.47	12 of 16 - Sale <sup>25</sup>
	0.000	0.43	08/20/20	0.41	...	0.02	13 of 16 - Sale <sup>25</sup>
	0.459	924.08	08/20/20	867.49	...	56.59	14 of 16 - Sale <sup>25</sup>
	21.541	43,371.61	08/20/20	43,292.77	...	78.84	15 of 16 - Sale <sup>25</sup>
	12.000	23,788.32	08/20/20	22,655.59	...	1,132.73	16 of 16 - Sale <sup>25</sup>
08/20/20	46.080	91,839.42	Various	89,577.68	...	2,261.74	Total of 16 transactions
	5 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	1.000	1,888.55	08/19/20	1,892.00	3.45 W	0.00	1 of 5 - Sale <sup>25</sup>
	9.000	16,996.52	08/19/20	17,027.59	31.07 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.159	318.46	08/20/20	319.23	0.77 W	0.00	3 of 5 - Sale <sup>25</sup>
	19.841	39,780.65	08/20/20	39,781.53	0.88 W	0.00	4 of 5 - Sale <sup>25</sup>
	2.000	4,009.91	08/20/20	4,010.00	0.09 W	0.00	5 of 5 - Sale <sup>25</sup>
08/20/20	32.000	62,994.09	Various	63,030.35	36.26 W	0.00	Total of 5 transactions

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Robinhood Securities LLC

Account 161787155

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
7 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	0.013	26.08	08/20/20	25.72	...	0.36	1 of 7 - Sale <sup>25</sup>
	0.051	104.33	08/20/20	102.88	...	1.45	2 of 7 - Sale <sup>25</sup>
	0.159	324.14	08/20/20	319.24	...	4.90	3 of 7 - Sale <sup>25</sup>
	0.777	1,586.15	08/20/20	1,564.12	...	22.03	4 of 7 - Sale <sup>25</sup>
	1.197	2,443.09	08/20/20	2,409.07	...	34.02	5 of 7 - Sale <sup>25</sup>
	7.803	15,923.25	08/20/20	15,701.49	...	221.76	6 of 7 - Sale <sup>25</sup>
	12.000	24,488.46	08/20/20	24,147.53	...	340.93	7 of 7 - Sale <sup>25</sup>
08/21/20	22.000	44,895.50	Various	44,270.05	...	625.45	Total of 7 transactions
5 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	0.172	364.14	08/20/20	345.16	...	18.98	1 of 5 - Sale <sup>25</sup>
	0.828	1,758.74	08/21/20	1,704.99	...	53.75	2 of 5 - Sale <sup>25</sup>
	9.172	19,466.23	08/21/20	18,875.01	...	591.22	3 of 5 - Sale <sup>25</sup>
	10.828	22,983.03	08/21/20	22,284.99	...	698.04	4 of 5 - Sale <sup>25</sup>
	20.828	41,656.02	08/24/20	41,378.99	...	277.03	5 of 5 - Sale <sup>25</sup>
08/24/20	41.828	86,228.16	Various	84,589.14	...	1,639.02	Total of 5 transactions
08/24/20	0.172	343.05	08/21/20	353.01	9.96 W	0.00	Sale <sup>25</sup>
6 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	0.172	370.49	08/24/20	350.73	...	19.76	1 of 6 - Sale <sup>25</sup>
	2.828	6,109.36	08/24/20	5,656.94	...	452.42	2 of 6 - Sale <sup>25</sup>
	18.172	39,249.63	08/24/20	36,343.06	...	2,906.57	3 of 6 - Sale <sup>25</sup>
	0.193	417.16	08/26/20	398.74	...	18.42	4 of 6 - Sale <sup>25</sup>
	0.691	1,492.76	08/26/20	1,415.58	...	77.18	5 of 6 - Sale <sup>25</sup>
	1.944	4,199.44	08/26/20	4,017.74	...	181.70	6 of 6 - Sale <sup>25</sup>
08/26/20	24.000	51,838.84	Various	48,182.79	...	3,656.05	Total of 6 transactions
3 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	0.054	118.60	08/26/20	111.41	...	7.19	1 of 3 - Sale <sup>25</sup>
	0.168	369.75	08/26/20	346.97	...	22.78	2 of 3 - Sale <sup>25</sup>
	23.778	52,310.48	08/26/20	51,598.29	...	712.19	3 of 3 - Sale <sup>25</sup>
08/27/20	24.000	52,798.83	Various	52,056.67	...	742.16	Total of 3 transactions
4 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	0.111	254.42	08/26/20	240.05	...	14.37	1 of 4 - Sale <sup>25</sup>
	0.351	806.33	08/27/20	792.74	...	13.59	2 of 4 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 161787155

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	11.539	26,538.64	08/27/20	26,098.55	...	440.09	3 of 4 - Sale <sup>25</sup>
	11.000	25,321.44	08/27/20	24,879.91	...	441.53	4 of 4 - Sale <sup>25</sup>
08/28/20	23.000	52,920.83	Various	52,011.25	...	909.58	Total of 4 transactions
	37 transactions for 08/31/20. Total proceeds and cost reported to the IRS.						
	2.274	1,056.38	08/27/20	1,028.80	...	27.58	1 of 37 - Sale <sup>25</sup>
	0.955	443.56	08/28/20	430.83	...	12.73	2 of 37 - Sale <sup>25</sup>
	0.850	401.65	08/28/20	388.11	...	13.54	3 of 37 - Sale <sup>25</sup>
	2.771	1,287.65	08/28/20	1,265.57	...	22.08	4 of 37 - Sale <sup>25</sup>
	5.000	2,322.45	08/28/20	2,280.00	...	42.45	5 of 37 - Sale <sup>25</sup>
	7.274	3,380.11	08/28/20	3,317.07	...	63.04	6 of 37 - Sale <sup>25</sup>
	7.726	3,588.51	08/28/20	3,522.93	...	65.58	7 of 37 - Sale <sup>25</sup>
	25.000	11,612.24	08/28/20	11,500.00	...	112.24	8 of 37 - Sale <sup>25</sup>
	20.000	9,289.79	08/28/20	9,120.00	...	169.79	9 of 37 - Sale <sup>25</sup>
	25.000	11,616.63	08/28/20	11,400.00	...	216.63	10 of 37 - Sale <sup>25</sup>
	25.000	11,616.63	08/28/20	11,350.00	...	266.63	11 of 37 - Sale <sup>25</sup>
	0.053	25.16	08/31/20	25.13	...	0.03	12 of 37 - Sale <sup>25</sup>
	0.910	429.88	08/31/20	429.85	...	0.03	13 of 37 - Sale <sup>25</sup>
	0.103	48.50	08/31/20	48.46	...	0.04	14 of 37 - Sale <sup>25</sup>
	1.000	472.63	08/31/20	472.59	...	0.04	15 of 37 - Sale <sup>25</sup>
	1.000	472.63	08/31/20	472.59	...	0.04	16 of 37 - Sale <sup>25</sup>
	1.000	472.63	08/31/20	472.59	...	0.04	17 of 37 - Sale <sup>25</sup>
	1.000	472.63	08/31/20	472.59	...	0.04	18 of 37 - Sale <sup>25</sup>
	2.000	945.23	08/31/20	945.18	...	0.05	19 of 37 - Sale <sup>25</sup>
	0.150	70.98	08/31/20	70.90	...	0.08	20 of 37 - Sale <sup>25</sup>
	3.090	1,460.60	08/31/20	1,460.51	...	0.09	21 of 37 - Sale <sup>25</sup>
	3.090	1,460.59	08/31/20	1,460.42	...	0.17	22 of 37 - Sale <sup>25</sup>
	0.065	31.21	08/31/20	30.85	...	0.36	23 of 37 - Sale <sup>25</sup>
	0.078	37.37	08/31/20	36.95	...	0.42	24 of 37 - Sale <sup>25</sup>
	1.000	472.63	08/31/20	472.08	...	0.55	25 of 37 - Sale <sup>25</sup>
	1.000	472.63	08/31/20	472.08	...	0.55	26 of 37 - Sale <sup>25</sup>
	1.000	472.63	08/31/20	472.08	...	0.55	27 of 37 - Sale <sup>25</sup>
	0.465	223.16	08/31/20	220.74	...	2.42	28 of 37 - Sale <sup>25</sup>
	52.000	24,576.20	08/31/20	24,573.18	...	3.02	29 of 37 - Sale <sup>25</sup>
	0.427	211.33	08/31/20	205.55	...	5.78	30 of 37 - Sale <sup>25</sup>

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Account 161787155

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	11.000	5,198.81	08/31/20	5,192.88	...	5.93	31 of 37 - Sale <sup>25</sup>
	0.857	411.42	08/31/20	405.04	...	6.38	32 of 37 - Sale <sup>25</sup>
	55.032	27,240.12	08/31/20	27,229.62	...	10.50	33 of 37 - Sale <sup>25</sup>
	3.000	1,439.27	08/31/20	1,423.55	...	15.72	34 of 37 - Sale <sup>25</sup>
	38.754	18,315.76	08/31/20	18,294.85	...	20.91	35 of 37 - Sale <sup>25</sup>
	55.917	26,823.25	08/31/20	26,533.44	...	289.81	36 of 37 - Sale <sup>25</sup>
	59.000	28,427.92	08/31/20	27,996.47	...	431.45	37 of 37 - Sale <sup>25</sup>
08/31/20	414.841	197,300.77	Various	195,493.48	...	1,807.29	Total of 37 transactions
	6 transactions for 08/31/20. Total proceeds and cost reported to the IRS.						
	43.541	20,886.72	08/31/20	20,962.51	75.66 W	-0.13	1 of 6 - Sale <sup>25</sup>
	0.077	36.79	08/31/20	36.90	0.11 W	0.00	2 of 6 - Sale <sup>25</sup>
	16.000	7,675.19	08/31/20	7,703.04	27.85 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.077	37.96	08/31/20	38.05	0.09 W	0.00	4 of 6 - Sale <sup>25</sup>
	16.000	7,919.82	08/31/20	7,944.62	24.80 W	0.00	5 of 6 - Sale <sup>25</sup>
	43.465	21,514.50	08/31/20	21,581.86	67.36 W	0.00	6 of 6 - Sale <sup>25</sup>
08/31/20	119.159	58,070.98	Various	58,266.98	195.87 W	-0.13	Total of 6 transactions
	5 transactions for 09/01/20. Total proceeds and cost reported to the IRS.						
	0.427	206.21	08/31/20	211.25	5.04 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.077	37.04	09/01/20	37.13	0.09 W	0.00	2 of 5 - Sale <sup>25</sup>
	16.000	7,727.82	09/01/20	7,753.43	25.61 W	0.00	3 of 5 - Sale <sup>25</sup>
	43.465	20,992.93	09/01/20	21,062.47	69.54 W	0.00	4 of 5 - Sale <sup>25</sup>
	6.032	2,913.28	09/01/20	2,913.58	0.30 W	0.00	5 of 5 - Sale <sup>25</sup>
09/01/20	66.000	31,877.28	Various	31,977.86	100.58 W	0.00	Total of 5 transactions
	11 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	0.077	30.19	09/14/20	29.93	...	0.26	1 of 11 - Sale <sup>25</sup>
	0.496	195.42	09/14/20	193.97	...	1.45	2 of 11 - Sale <sup>25</sup>
	1.000	393.70	09/14/20	390.77	...	2.93	3 of 11 - Sale <sup>25</sup>
	1.000	393.70	09/14/20	390.77	...	2.93	4 of 11 - Sale <sup>25</sup>
	3.000	1,181.07	09/14/20	1,172.32	...	8.75	5 of 11 - Sale <sup>25</sup>
	4.000	1,574.76	09/14/20	1,563.09	...	11.67	6 of 11 - Sale <sup>25</sup>
	4.975	1,958.44	09/14/20	1,943.92	...	14.52	7 of 11 - Sale <sup>25</sup>
	5.000	1,968.45	09/14/20	1,953.86	...	14.59	8 of 11 - Sale <sup>25</sup>
	5.025	1,978.47	09/14/20	1,963.80	...	14.67	9 of 11 - Sale <sup>25</sup>

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Account 161787155

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	10.000	3,936.91	09/14/20	3,907.73	...	29.18	10 of 11 - Sale <sup>25</sup>
	24.943	9,819.75	09/14/20	9,746.95	...	72.80	11 of 11 - Sale <sup>25</sup>
09/14/20	59.516	23,430.86	Various	23,257.11	...	173.75	Total of 11 transactions
	3 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	0.057	22.53	09/01/20	28.32	5.79 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.370	145.56	09/14/20	148.25	2.69 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.057	22.53	09/14/20	28.06	5.53 W	0.00	3 of 3 - Sale <sup>25</sup>
09/14/20	0.484	190.62	Various	204.63	14.01 W	0.00	Total of 3 transactions
	5 transactions for 09/22/20. Total proceeds and cost reported to the IRS.						
	0.025	10.79	09/14/20	9.95	...	0.84	1 of 5 - Sale <sup>25</sup>
	0.370	156.81	09/14/20	148.53	...	8.28	2 of 5 - Sale <sup>25</sup>
	6.032	2,558.15	09/14/20	2,347.70	...	210.45	3 of 5 - Sale <sup>25</sup>
	36.727	15,576.37	09/14/20	14,487.34	...	1,089.03	4 of 5 - Sale <sup>25</sup>
	56.789	24,084.82	09/14/20	22,100.62	...	1,984.20	5 of 5 - Sale <sup>25</sup>
09/22/20	99.943	42,386.94	Various	39,094.14	...	3,292.80	Total of 5 transactions
09/22/20	0.057	24.27	09/14/20	28.10	3.83 W	0.00	Sale <sup>25</sup>
	2 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	0.825	336.56	09/14/20	325.94	...	10.62	1 of 2 - Sale <sup>25</sup>
	21.846	8,912.97	09/14/20	8,617.37	...	295.60	2 of 2 - Sale <sup>25</sup>
09/23/20	22.671	9,249.53	Various	8,943.31	...	306.22	Total of 2 transactions
	5 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	0.057	23.34	09/14/20	26.44	3.10 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.075	30.69	09/17/20	32.40	1.71 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.091	37.18	09/17/20	39.27	2.09 W	0.00	3 of 5 - Sale <sup>25</sup>
	22.976	9,373.97	09/17/20	9,904.23	530.26 W	0.00	4 of 5 - Sale <sup>25</sup>
	54.130	22,084.37	09/22/20	23,275.70	1,191.33 W	0.00	5 of 5 - Sale <sup>25</sup>
09/23/20	77.329	31,549.55	Various	33,278.04	1,728.49 W	0.00	Total of 5 transactions
	10 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	10.997	4,777.60	09/30/20	4,971.43	...	-193.83	1 of 10 - Sale <sup>25</sup>
	6.259	2,719.10	09/30/20	2,834.60	...	-115.50	2 of 10 - Sale <sup>25</sup>
	0.335	145.72	09/30/20	151.58	...	-5.86	3 of 10 - Sale <sup>25</sup>
	22.238	9,661.21	10/02/20	10,431.17	...	-769.96	4 of 10 - Sale <sup>25</sup>
	24.389	10,595.54	10/02/20	11,168.96	...	-573.42	5 of 10 - Sale <sup>25</sup>

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(continued)

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	4.821	2,094.41	10/02/20	2,256.16	...	-161.75	6 of 10 - Sale <sup>25</sup>
	0.738	320.63	10/02/20	345.52	...	-24.89	7 of 10 - Sale <sup>25</sup>
	0.057	24.86	10/02/20	28.56	...	-3.70	8 of 10 - Sale <sup>25</sup>
	0.091	39.59	10/02/20	42.74	...	-3.15	9 of 10 - Sale <sup>25</sup>
	0.075	32.68	10/02/20	35.20	...	-2.52	10 of 10 - Sale <sup>25</sup>
10/02/20	70.000	30,411.34	Various	32,265.92	...	-1,854.58	Total of 10 transactions
	6 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	0.057	24.08	09/22/20	27.70	3.62 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.075	31.66	09/22/20	34.06	2.40 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.738	310.59	09/22/20	334.38	23.79 W	0.00	3 of 6 - Sale <sup>25</sup>
	11.800	4,966.25	09/30/20	5,344.45	154.51 W	-223.69	4 of 6 - Sale <sup>25</sup>
	0.091	38.35	09/30/20	41.36	3.01 W	0.00	5 of 6 - Sale <sup>25</sup>
	22.238	9,358.84	09/30/20	10,095.37	736.53 W	0.00	6 of 6 - Sale <sup>25</sup>
10/02/20	35.000	14,729.77	Various	15,877.32	923.86 W	-223.69	Total of 6 transactions
	5 transactions for 11/17/20. Total proceeds and cost reported to the IRS.						
	9.073	4,028.42	11/16/20	4,171.41	...	-142.99	1 of 5 - Sale <sup>25</sup>
	5.000	2,219.95	11/16/20	2,296.75	...	-76.80	2 of 5 - Sale <sup>25</sup>
	0.409	181.41	11/16/20	187.58	...	-6.17	3 of 5 - Sale <sup>25</sup>
	0.095	42.25	11/16/20	43.69	...	-1.44	4 of 5 - Sale <sup>25</sup>
	0.074	32.69	11/16/20	33.84	...	-1.15	5 of 5 - Sale <sup>25</sup>
11/17/20	14.651	6,504.72	Various	6,733.27	...	-228.55	Total of 5 transactions
	2 transactions for 11/17/20. Total proceeds and cost reported to the IRS.						
	0.349	155.13	10/02/20	160.01	4.88 W	0.00	1 of 2 - Sale <sup>25</sup>
	15.000	6,659.85	11/16/20	6,881.70	8.49 W	-213.36	2 of 2 - Sale <sup>25</sup>
11/17/20	15.349	6,814.98	Various	7,041.71	13.37 W	-213.36	Total of 2 transactions
	<b>Security total:</b>	<b>1,073,813.97</b>		<b>1,061,905.07</b>	<b>7,020.18 W</b>	<b>18,929.08</b>	
TILRAY, INC. CLASS 2 COMMON ST OCK / CUSIP: 88688T100 / Symbol:							
	7 transactions for 10/09/20. Total proceeds and cost reported to the IRS.						
	95.000	602.66	10/08/20	616.55	...	-13.89	1 of 7 - Sale <sup>25</sup>
	80.000	507.51	10/08/20	519.20	...	-11.69	2 of 7 - Sale <sup>25</sup>
	25.000	158.60	10/08/20	162.25	...	-3.65	3 of 7 - Sale <sup>25</sup>
	4.000	25.38	10/08/20	24.92	...	0.46	4 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 161787155

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TILRAY, INC. CLASS 2 COMMON ST OCK / CUSIP: 88688T100 / Symbol: (cont'd)							
	10.000	63.44	10/08/20	62.30	...	1.14	5 of 7 - Sale <sup>25</sup>
	100.000	634.38	10/08/20	623.00	...	11.38	6 of 7 - Sale <sup>25</sup>
	166.000	1,053.07	10/08/20	1,034.18	...	18.89	7 of 7 - Sale <sup>25</sup>
10/09/20	480.000	3,045.04	Various	3,042.40	...	2.64	Total of 7 transactions
TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
2 transactions for 02/19/20. Total proceeds and cost reported to the IRS.							
	4.000	155.08	11/12/19	115.96	...	39.12	1 of 2 - Sale <sup>25</sup>
	16.000	620.30	11/13/19	464.72	...	155.58	2 of 2 - Sale <sup>25</sup>
02/19/20	20.000	775.38	Various	580.68	...	194.70	Total of 2 transactions
2 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	0.739	33.72	09/23/20	33.01	...	0.71	1 of 2 - Sale <sup>25</sup>
	222.261	10,146.51	09/23/20	9,937.15	...	209.36	2 of 2 - Sale <sup>25</sup>
09/23/20	223.000	10,180.23	Various	9,970.16	...	210.07	Total of 2 transactions
2 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	111.333	5,010.39	09/23/20	5,082.33	...	-71.94	1 of 2 - Sale <sup>25</sup>
	0.667	30.04	09/23/20	29.84	...	0.20	2 of 2 - Sale <sup>25</sup>
09/29/20	112.000	5,040.43	Various	5,112.17	...	-71.74	Total of 2 transactions
09/30/20	111.000	4,976.12	09/23/20	5,067.15	...	-91.03	Sale <sup>25</sup>
	<b>Security total:</b>	<b>20,972.16</b>		<b>20,730.16</b>	...	<b>242.00</b>	
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
3 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	36.000	1,321.18	06/05/20	1,603.35	...	-282.17	1 of 3 - Sale <sup>25</sup>
	20.000	733.99	06/05/20	903.60	...	-169.61	2 of 3 - Sale <sup>25</sup>
	56.000	2,055.18	06/23/20	1,954.38	...	100.80	3 of 3 - Sale <sup>25</sup>
09/10/20	112.000	4,110.35	Various	4,461.33	...	-350.98	Total of 3 transactions
UPWORK INC. COMMON STOCK / CUSIP: 91688F104 / Symbol:							
11 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	0.648	11.94	10/02/20	12.12	0.18 W	0.00	1 of 11 - Sale <sup>25</sup>
	84.352	1,552.87	10/02/20	1,576.52	23.65 W	0.00	2 of 11 - Sale <sup>25</sup>
	0.648	11.93	10/02/20	12.29	0.36 W	0.00	3 of 11 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 161787155

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UPWORK INC. COMMON STOCK / CUSIP: 91688F104 / Symbol: (cont'd)							
	15.000	276.15	10/02/20	280.12	3.97 W	0.00	4 of 11 - Sale <sup>25</sup>
	84.352	1,552.87	10/02/20	1,598.92	46.05 W	0.00	5 of 11 - Sale <sup>25</sup>
	0.648	11.93	10/02/20	12.48	0.55 W	0.00	6 of 11 - Sale <sup>25</sup>
	15.000	276.15	10/02/20	284.32	8.17 W	0.00	7 of 11 - Sale <sup>25</sup>
	84.352	1,552.87	10/02/20	1,622.57	69.70 W	0.00	8 of 11 - Sale <sup>25</sup>
	15.648	288.08	10/02/20	292.46	4.38 W	0.00	9 of 11 - Sale <sup>25</sup>
	100.000	1,840.95	10/02/20	1,868.99	28.04 W	0.00	10 of 11 - Sale <sup>25</sup>
	142.000	2,614.15	10/02/20	2,653.97	39.82 W	0.00	11 of 11 - Sale <sup>25</sup>
10/02/20	542.648	9,989.89	Various	10,214.76	224.87 W	0.00	Total of 11 transactions
10/05/20	21.888	411.94	10/05/20	418.20	...	-6.26	Sale <sup>25</sup>
	6 transactions for 10/05/20. Total proceeds and cost reported to the IRS.						
	84.352	1,587.88	10/05/20	1,611.63	19.65 W	-4.10	1 of 6 - Sale <sup>25</sup>
	0.648	12.20	10/05/20	12.75	0.55 W	0.00	2 of 6 - Sale <sup>25</sup>
	15.000	282.37	10/05/20	290.56	8.19 W	0.00	3 of 6 - Sale <sup>25</sup>
	15.648	294.57	10/05/20	298.97	4.40 W	0.00	4 of 6 - Sale <sup>25</sup>
	84.352	1,587.87	10/05/20	1,657.68	69.81 W	0.00	5 of 6 - Sale <sup>25</sup>
	100.000	1,882.44	10/05/20	1,910.61	28.17 W	0.00	6 of 6 - Sale <sup>25</sup>
10/05/20	300.000	5,647.33	Various	5,782.20	130.77 W	-4.10	Total of 6 transactions
	11 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	70.592	1,349.68	10/05/20	1,390.13	...	-40.45	1 of 11 - Sale <sup>25</sup>
	13.760	263.09	10/05/20	270.97	...	-7.88	2 of 11 - Sale <sup>25</sup>
	15.000	286.79	10/05/20	291.17	...	-4.38	3 of 11 - Sale <sup>25</sup>
	69.783	1,334.21	10/05/20	1,336.10	...	-1.89	4 of 11 - Sale <sup>25</sup>
	68.592	1,311.44	10/05/20	1,313.31	...	-1.87	5 of 11 - Sale <sup>25</sup>
	31.408	600.51	10/05/20	601.37	...	-0.86	6 of 11 - Sale <sup>25</sup>
	21.809	416.98	10/05/20	417.54	...	-0.56	7 of 11 - Sale <sup>25</sup>
	15.648	299.19	10/05/20	299.60	...	-0.41	8 of 11 - Sale <sup>25</sup>
	0.648	12.39	10/05/20	12.78	...	-0.39	9 of 11 - Sale <sup>25</sup>
	13.760	263.09	10/05/20	263.44	...	-0.35	10 of 11 - Sale <sup>25</sup>
	0.191	3.66	10/05/20	3.66	...	0.00	11 of 11 - Sale <sup>25</sup>
10/06/20	321.191	6,141.03	Various	6,200.07	...	-59.04	Total of 11 transactions
	<b>Security total:</b>	<b>22,190.19</b>		<b>22,615.23</b>	<b>355.64 W</b>	<b>-69.40</b>	

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Robinhood Securities LLC

Account 161787155

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VERU INC. COMMON STOCK / CUSIP: 92536C103 / Symbol:							
2 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	49.145	451.34	12/17/20	488.01	...	-36.67	1 of 2 - Sale <sup>25</sup>
	0.010	0.09	12/17/20	0.12	...	-0.03	2 of 2 - Sale <sup>25</sup>
12/17/20	49.155	451.43	Various	488.13	...	-36.70	Total of 2 transactions
6 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	178.215	1,636.72	12/16/20	1,787.15	40.96 W	-109.47	1 of 6 - Sale <sup>25</sup>
	0.509	4.68	12/16/20	5.10	0.42 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.131	1.13	12/16/20	1.32	0.19 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.010	0.09	12/16/20	0.11	0.02 W	0.00	4 of 6 - Sale <sup>25</sup>
	0.131	1.21	12/16/20	1.51	0.30 W	0.00	5 of 6 - Sale <sup>25</sup>
	0.499	4.58	12/16/20	5.42	0.84 W	0.00	6 of 6 - Sale <sup>25</sup>
12/17/20	179.496	1,648.41	Various	1,800.61	42.73 W	-109.47	Total of 6 transactions
	<b>Security total:</b>	<b>2,099.84</b>		<b>2,288.74</b>	<b>42.73 W</b>	<b>-146.17</b>	
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
08/10/20	99.021	1,925.89	08/10/20	1,826.93	...	98.96	Sale <sup>25</sup>
08/10/20	0.979	19.05	07/13/20	20.00	0.95 W	0.00	Sale <sup>25</sup>
2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	50.000	916.96	08/10/20	922.50	...	-5.54	1 of 2 - Sale <sup>25</sup>
	0.979	17.96	08/10/20	19.02	...	-1.06	2 of 2 - Sale <sup>25</sup>
08/12/20	50.979	934.92	Various	941.52	...	-6.60	Total of 2 transactions
	<b>Security total:</b>	<b>2,879.86</b>		<b>2,788.45</b>	<b>0.95 W</b>	<b>92.36</b>	
VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y201 / Symbol:							
07/13/20	1,625.000	1,093.57	07/09/20	949.49	...	144.08	Sale <sup>25</sup>
VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y300 / Symbol:							
10/02/20	1.000	1.28	07/09/20	1.75	...	-0.47	Sale <sup>25</sup>
VIVINT SOLAR, INC. / CUSIP: 92854Q106 / Symbol:							
8 transactions for 02/06/20. Total proceeds and cost reported to the IRS.							
	2.000	18.20	01/29/20	17.24	...	0.96	1 of 8 - Sale <sup>25</sup>
	4.000	36.40	01/29/20	34.48	...	1.92	2 of 8 - Sale <sup>25</sup>
	6.000	54.60	01/29/20	51.71	...	2.89	3 of 8 - Sale <sup>25</sup>

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Account 161787155

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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VIVINT SOLAR, INC. / CUSIP: 92854Q106 / Symbol: (cont'd)							
	10.000	91.00	01/29/20	86.19	...	4.81	4 of 8 - Sale <sup>25</sup>
	19.000	172.90	01/29/20	163.76	...	9.14	5 of 8 - Sale <sup>25</sup>
	50.000	454.99	01/29/20	430.95	...	24.04	6 of 8 - Sale <sup>25</sup>
	65.000	591.49	02/05/20	565.45	...	26.04	7 of 8 - Sale <sup>25</sup>
	1,000.000	9,099.78	02/05/20	8,779.90	...	319.88	8 of 8 - Sale <sup>25</sup>
02/06/20	1,156.000	10,519.36	Various	10,129.68	...	389.68	Total of 8 transactions
	12 transactions for 02/10/20. Total proceeds and cost reported to the IRS.						
	1.000	9.49	02/06/20	9.15	...	0.34	1 of 12 - Sale <sup>25</sup>
	3.000	28.47	02/06/20	27.21	...	1.26	2 of 12 - Sale <sup>25</sup>
	5.000	47.45	02/06/20	45.74	...	1.71	3 of 12 - Sale <sup>25</sup>
	49.000	464.99	02/06/20	448.24	...	16.75	4 of 12 - Sale <sup>25</sup>
	199.000	1,888.45	02/06/20	1,850.38	...	38.07	5 of 12 - Sale <sup>25</sup>
	18.000	170.81	02/07/20	166.47	...	4.34	6 of 12 - Sale <sup>25</sup>
	81.000	768.67	02/07/20	752.90	...	15.77	7 of 12 - Sale <sup>25</sup>
	73.000	692.75	02/07/20	676.59	...	16.16	8 of 12 - Sale <sup>25</sup>
	100.000	948.97	02/07/20	929.50	...	19.47	9 of 12 - Sale <sup>25</sup>
	100.000	948.97	02/07/20	929.50	...	19.47	10 of 12 - Sale <sup>25</sup>
	100.000	948.97	02/07/20	929.00	...	19.97	11 of 12 - Sale <sup>25</sup>
	239.000	2,268.03	02/07/20	2,222.70	...	45.33	12 of 12 - Sale <sup>25</sup>
02/10/20	968.000	9,186.02	Various	8,987.38	...	198.64	Total of 12 transactions
	6 transactions for 06/23/20. Total proceeds and cost reported to the IRS.						
	2.000	20.42	03/19/20	7.20	...	13.22	1 of 6 - Sale <sup>25</sup>
	3.000	30.63	03/19/20	10.80	...	19.83	2 of 6 - Sale <sup>25</sup>
	45.000	459.49	03/19/20	162.00	...	297.49	3 of 6 - Sale <sup>25</sup>
	82.000	837.31	03/19/20	295.20	...	542.11	4 of 6 - Sale <sup>25</sup>
	98.000	1,000.67	03/19/20	352.80	...	647.87	5 of 6 - Sale <sup>25</sup>
	100.000	1,021.09	03/19/20	360.00	...	661.09	6 of 6 - Sale <sup>25</sup>
06/23/20	330.000	3,369.61	Various	1,188.00	...	2,181.61	Total of 6 transactions
	8 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	18.000	195.12	03/19/20	64.80	...	130.32	1 of 8 - Sale <sup>25</sup>
	0.275	2.98	05/20/20	1.98	...	1.00	2 of 8 - Sale <sup>25</sup>
	6.639	71.96	05/20/20	47.79	...	24.17	3 of 8 - Sale <sup>25</sup>
	132.000	1,430.84	05/20/20	950.23	...	480.61	4 of 8 - Sale <sup>25</sup>

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Account 161787155

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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VIVINT SOLAR, INC. / CUSIP: 92854Q106 / Symbol: (cont'd)							
	100.000	1,083.98	05/22/20	702.50	...	381.48	5 of 8 - Sale <sup>25</sup>
	0.070	0.76	05/27/20	0.48	...	0.28	6 of 8 - Sale <sup>25</sup>
	3.544	38.41	05/27/20	24.17	...	14.24	7 of 8 - Sale <sup>25</sup>
	71.000	769.62	05/27/20	484.21	...	285.41	8 of 8 - Sale <sup>25</sup>
07/06/20	331.528	3,593.67	Various	2,276.16	...	1,317.51	Total of 8 transactions
	6 transactions for 07/07/20. Total proceeds and cost reported to the IRS.						
	0.093	1.37	07/06/20	1.00	...	0.37	1 of 6 - Sale <sup>25</sup>
	0.358	5.27	07/07/20	5.24	...	0.03	2 of 6 - Sale <sup>25</sup>
	0.549	8.09	07/07/20	8.02	...	0.07	3 of 6 - Sale <sup>25</sup>
	2.000	29.47	07/07/20	29.28	...	0.19	4 of 6 - Sale <sup>25</sup>
	44.492	655.34	07/07/20	651.36	...	3.98	5 of 6 - Sale <sup>25</sup>
	100.000	1,473.45	07/07/20	1,464.00	...	9.45	6 of 6 - Sale <sup>25</sup>
07/07/20	147.492	2,172.99	Various	2,158.90	...	14.09	Total of 6 transactions
	5 transactions for 09/01/20. Total proceeds and cost reported to the IRS.						
	0.248	7.71	07/08/20	3.84	...	3.87	1 of 5 - Sale <sup>25</sup>
	0.045	1.39	09/01/20	1.39	...	0.00	2 of 5 - Sale <sup>25</sup>
	0.008	0.26	09/01/20	0.25	...	0.01	3 of 5 - Sale <sup>25</sup>
	0.293	9.33	09/01/20	9.10	...	0.23	4 of 5 - Sale <sup>25</sup>
	250.708	7,802.46	09/01/20	7,799.26	...	3.20	5 of 5 - Sale <sup>25</sup>
09/01/20	251.301	7,821.15	Various	7,813.84	...	7.31	Total of 5 transactions
09/01/20	1,000.000	31,889.27	09/01/20	31,967.50	55.12 W	-23.11	Sale <sup>25</sup>
	2 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	119.467	4,254.09	09/22/20	4,027.66	...	226.43	1 of 2 - Sale <sup>25</sup>
	10.533	375.08	09/23/20	372.09	...	2.99	2 of 2 - Sale <sup>25</sup>
09/23/20	130.000	4,629.17	Various	4,399.75	...	229.42	Total of 2 transactions
09/23/20	145.000	5,068.34	09/23/20	5,122.17	53.83 W	0.00	Sale <sup>25</sup>
	14 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	48.533	2,038.83	09/23/20	1,714.11	...	324.72	1 of 14 - Sale <sup>25</sup>
	50.867	2,136.85	09/23/20	1,796.52	...	340.33	2 of 14 - Sale <sup>25</sup>
	51.467	2,162.06	09/23/20	1,818.08	...	343.98	3 of 14 - Sale <sup>25</sup>
	0.236	9.92	09/29/20	9.71	...	0.21	4 of 14 - Sale <sup>25</sup>
	0.398	16.71	09/29/20	16.37	...	0.34	5 of 14 - Sale <sup>25</sup>
	1.000	42.00	09/29/20	41.23	...	0.77	6 of 14 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 161787155

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VIVINT SOLAR, INC. / CUSIP: 92854Q106 / Symbol: (cont'd)							
	1.000	42.00	09/29/20	41.23	...	0.77	7 of 14 - Sale <sup>25</sup>
	3.867	162.39	09/29/20	159.40	...	2.99	8 of 14 - Sale <sup>25</sup>
	3.702	155.29	09/29/20	152.25	...	3.04	9 of 14 - Sale <sup>25</sup>
	4.000	168.00	09/29/20	164.90	...	3.10	10 of 14 - Sale <sup>25</sup>
	5.000	210.00	09/29/20	206.13	...	3.87	11 of 14 - Sale <sup>25</sup>
	8.659	363.25	09/29/20	351.68	...	11.57	12 of 14 - Sale <sup>25</sup>
	24.897	1,045.66	09/29/20	1,023.96	...	21.70	13 of 14 - Sale <sup>25</sup>
	49.133	2,064.04	09/29/20	2,025.58	...	38.46	14 of 14 - Sale <sup>25</sup>
10/02/20	252.759	10,617.00	Various	9,521.15	...	1,095.85	Total of 14 transactions
	6 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	23.000	964.85	10/01/20	1,036.80	71.95 W	0.00	1 of 6 - Sale <sup>25</sup>
	100.000	4,194.99	10/01/20	4,507.82	312.83 W	0.00	2 of 6 - Sale <sup>25</sup>
	53.668	2,251.39	10/01/20	2,377.42	126.03 W	0.00	3 of 6 - Sale <sup>25</sup>
	145.000	6,082.74	10/02/20	6,143.83	61.09 W	0.00	4 of 6 - Sale <sup>25</sup>
	22.971	963.62	10/02/20	964.76	1.14 W	0.00	5 of 6 - Sale <sup>25</sup>
	0.162	6.40	10/02/20	7.29	0.89 W	0.00	6 of 6 - Sale <sup>25</sup>
10/02/20	344.801	14,463.99	Various	15,037.92	573.93 W	0.00	Total of 6 transactions
	9 transactions for 10/06/20. Total proceeds and cost reported to the IRS.						
	22.838	984.89	10/02/20	1,030.66	45.77 W	0.00	1 of 9 - Sale <sup>25</sup>
	59.029	2,545.60	10/02/20	2,663.90	118.30 W	0.00	2 of 9 - Sale <sup>25</sup>
	0.632	27.24	10/02/20	29.06	1.82 W	0.00	3 of 9 - Sale <sup>25</sup>
	40.339	1,739.58	10/02/20	1,855.69	116.11 W	0.00	4 of 9 - Sale <sup>25</sup>
	53.668	2,314.41	10/02/20	2,427.02	112.61 W	0.00	5 of 9 - Sale <sup>25</sup>
	21.981	947.92	10/02/20	951.69	3.77 W	0.00	6 of 9 - Sale <sup>25</sup>
	0.162	6.97	10/02/20	7.88	0.91 W	0.00	7 of 9 - Sale <sup>25</sup>
	33.154	1,429.72	10/02/20	1,446.87	17.15 W	0.00	8 of 9 - Sale <sup>25</sup>
	0.079	3.15	10/02/20	3.58	0.43 W	0.00	9 of 9 - Sale <sup>25</sup>
10/06/20	231.883	9,999.48	Various	10,416.35	416.87 W	0.00	Total of 9 transactions
	<b>Security total:</b>	<b>113,330.05</b>		<b>109,018.80</b>	<b>1,099.75 W</b>	<b>5,411.00</b>	
VROOM, INC. COMMON STOCK / CUSIP: 92918V109 / Symbol:							
06/10/20	10.000	496.00	06/09/20	450.60	...	45.40	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 161787155

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WALMART INC. / CUSIP: 931142103 / Symbol:							
3 transactions for 03/18/20. Total proceeds and cost reported to the IRS.							
	2.000	249.56	11/13/19	242.33	...	7.23	1 of 3 - Sale <sup>25</sup>
	1.000	124.78	11/14/19	120.22	...	4.56	2 of 3 - Sale <sup>25</sup>
	7.000	873.06	11/14/19	841.51	...	31.55	3 of 3 - Sale <sup>25</sup>
03/18/20	10.000	1,247.40	Various	1,204.06	...	43.34	Total of 3 transactions
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
6 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	1.000	17.30	07/10/20	15.00	...	2.30	1 of 6 - Sale <sup>25</sup>
	0.555	9.60	08/18/20	9.24	...	0.36	2 of 6 - Sale <sup>25</sup>
	100.000	1,729.95	08/18/20	1,665.99	...	63.96	3 of 6 - Sale <sup>25</sup>
	100.000	1,729.95	08/18/20	1,665.99	...	63.96	4 of 6 - Sale <sup>25</sup>
	179.445	3,104.31	08/18/20	2,989.53	...	114.78	5 of 6 - Sale <sup>25</sup>
	1,840.000	31,831.07	08/18/20	30,654.22	...	1,176.85	6 of 6 - Sale <sup>25</sup>
08/18/20	2,221.000	38,422.18	Various	36,999.97	...	1,422.21	Total of 6 transactions
9 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	0.088	1.55	08/18/20	1.54	...	0.01	1 of 9 - Sale <sup>25</sup>
	36.991	647.69	08/18/20	647.33	...	0.36	2 of 9 - Sale <sup>25</sup>
	44.000	770.42	08/18/20	770.00	...	0.42	3 of 9 - Sale <sup>25</sup>
	50.000	875.48	08/18/20	875.00	...	0.48	4 of 9 - Sale <sup>25</sup>
	50.000	875.48	08/18/20	875.00	...	0.48	5 of 9 - Sale <sup>25</sup>
	0.902	15.80	08/18/20	15.03	...	0.77	6 of 9 - Sale <sup>25</sup>
	100.000	1,750.95	08/18/20	1,750.00	...	0.95	7 of 9 - Sale <sup>25</sup>
	219.009	3,834.73	08/18/20	3,832.67	...	2.06	8 of 9 - Sale <sup>25</sup>
	400.000	7,003.80	08/18/20	7,000.00	...	3.80	9 of 9 - Sale <sup>25</sup>
08/19/20	900.991	15,775.90	Various	15,766.57	...	9.33	Total of 9 transactions
3 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	180.000	3,151.71	08/18/20	3,151.85	0.14 W	0.00	1 of 3 - Sale <sup>25</sup>
	1,083.009	18,962.94	08/18/20	18,973.24	10.30 W	0.00	2 of 3 - Sale <sup>25</sup>
	36.000	630.34	08/18/20	630.72	0.38 W	0.00	3 of 3 - Sale <sup>25</sup>
08/19/20	1,299.009	22,744.99	Various	22,755.81	10.82 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 161787155

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	0.781	14.57	08/18/20	13.68	...	0.89	1 of 2 - Sale <sup>25</sup>
	0.543	10.13	08/31/20	10.13	...	0.00	2 of 2 - Sale <sup>25</sup>
08/31/20	1.324	24.70	Various	23.81	...	0.89	Total of 2 transactions
10 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	100.000	1,864.95	08/31/20	1,865.07	0.12 W	0.00	1 of 10 - Sale <sup>25</sup>
	10.000	186.49	08/31/20	186.51	0.02 W	0.00	2 of 10 - Sale <sup>25</sup>
	31.047	579.02	08/31/20	579.05	0.03 W	0.00	3 of 10 - Sale <sup>25</sup>
	68.953	1,285.93	08/31/20	1,286.62	0.69 W	0.00	4 of 10 - Sale <sup>25</sup>
	287.000	5,352.39	08/31/20	5,355.25	2.86 W	0.00	5 of 10 - Sale <sup>25</sup>
	100.000	1,864.95	08/31/20	1,865.94	0.99 W	0.00	6 of 10 - Sale <sup>25</sup>
	50.000	932.47	08/31/20	932.97	0.50 W	0.00	7 of 10 - Sale <sup>25</sup>
	36.000	671.38	08/31/20	671.78	0.40 W	0.00	8 of 10 - Sale <sup>25</sup>
	576.514	10,751.67	08/31/20	10,757.41	5.74 W	0.00	9 of 10 - Sale <sup>25</sup>
	156.162	2,912.34	08/31/20	2,912.40	0.06 W	0.00	10 of 10 - Sale <sup>25</sup>
08/31/20	1,415.676	26,401.59	Various	26,413.00	11.41 W	0.00	Total of 10 transactions
16 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	0.572	11.13	08/31/20	10.66	...	0.47	1 of 16 - Sale <sup>25</sup>
	0.572	11.22	09/01/20	11.05	...	0.17	2 of 16 - Sale <sup>25</sup>
	2.429	47.28	09/01/20	46.99	...	0.29	3 of 16 - Sale <sup>25</sup>
	8.000	155.68	09/01/20	154.80	...	0.88	4 of 16 - Sale <sup>25</sup>
	10.000	194.59	09/01/20	193.42	...	1.17	5 of 16 - Sale <sup>25</sup>
	33.572	653.28	09/01/20	649.65	...	3.63	6 of 16 - Sale <sup>25</sup>
	31.047	604.16	09/01/20	600.48	...	3.68	7 of 16 - Sale <sup>25</sup>
	50.000	972.97	09/01/20	967.50	...	5.47	8 of 16 - Sale <sup>25</sup>
	68.953	1,341.78	09/01/20	1,334.23	...	7.55	9 of 16 - Sale <sup>25</sup>
	100.000	1,945.95	09/01/20	1,934.98	...	10.97	10 of 16 - Sale <sup>25</sup>
	98.857	1,923.70	09/01/20	1,912.00	...	11.70	11 of 16 - Sale <sup>25</sup>
	156.162	3,038.83	09/01/20	3,020.22	...	18.61	12 of 16 - Sale <sup>25</sup>
	247.942	4,824.82	09/01/20	4,797.65	...	27.17	13 of 16 - Sale <sup>25</sup>
	287.000	5,584.86	09/01/20	5,553.41	...	31.45	14 of 16 - Sale <sup>25</sup>
	320.572	6,238.15	09/01/20	6,203.01	...	35.14	15 of 16 - Sale <sup>25</sup>

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Account 161787155

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
09/01/20	1,584.895	30,841.19	09/01/20	30,651.72	...	189.47	16 of 16 - Sale <sup>25</sup>
	3,000.572	58,389.59	Various	58,041.77	...	347.82	Total of 16 transactions
	12 transactions for 09/01/20. Total proceeds and cost reported to the IRS.						
	0.085	1.66	09/01/20	1.68	0.02 W	0.00	1 of 12 - Sale <sup>25</sup>
	99.915	1,960.29	09/01/20	1,976.32	16.03 W	0.00	2 of 12 - Sale <sup>25</sup>
	0.085	1.66	09/01/20	1.70	0.04 W	0.00	3 of 12 - Sale <sup>25</sup>
	99.915	1,960.29	09/01/20	1,976.32	16.03 W	0.00	4 of 12 - Sale <sup>25</sup>
	100.000	1,961.96	09/01/20	1,978.00	16.04 W	0.00	5 of 12 - Sale <sup>25</sup>
	100.000	1,961.95	09/01/20	1,978.00	16.05 W	0.00	6 of 12 - Sale <sup>25</sup>
	100.000	1,961.96	09/01/20	1,978.00	16.04 W	0.00	7 of 12 - Sale <sup>25</sup>
	100.000	1,961.95	09/01/20	1,978.00	16.05 W	0.00	8 of 12 - Sale <sup>25</sup>
	100.000	1,961.96	09/01/20	1,978.00	16.04 W	0.00	9 of 12 - Sale <sup>25</sup>
	100.000	1,961.95	09/01/20	1,977.00	15.05 W	0.00	10 of 12 - Sale <sup>25</sup>
	200.000	3,923.91	09/01/20	3,957.80	33.89 W	0.00	11 of 12 - Sale <sup>25</sup>
	335.085	6,574.21	09/01/20	6,658.00	83.79 W	0.00	12 of 12 - Sale <sup>25</sup>
09/01/20	1,335.085	26,193.75	Various	26,438.82	245.07 W	0.00	Total of 12 transactions
	10 transactions for 09/09/20. Total proceeds and cost reported to the IRS.						
	0.085	1.93	09/09/20	1.89	...	0.04	1 of 10 - Sale <sup>25</sup>
	13.085	298.32	09/09/20	288.39	...	9.93	2 of 10 - Sale <sup>25</sup>
	25.000	569.98	09/09/20	551.00	...	18.98	3 of 10 - Sale <sup>25</sup>
	38.000	866.38	09/09/20	837.52	...	28.86	4 of 10 - Sale <sup>25</sup>
	38.085	868.31	09/09/20	839.39	...	28.92	5 of 10 - Sale <sup>25</sup>
	38.085	868.31	09/09/20	839.38	...	28.93	6 of 10 - Sale <sup>25</sup>
	61.915	1,411.62	09/09/20	1,364.61	...	47.01	7 of 10 - Sale <sup>25</sup>
	61.915	1,411.63	09/09/20	1,364.61	...	47.02	8 of 10 - Sale <sup>25</sup>
	61.915	1,411.62	09/09/20	1,364.60	...	47.02	9 of 10 - Sale <sup>25</sup>
	99.915	2,278.00	09/09/20	2,202.13	...	75.87	10 of 10 - Sale <sup>25</sup>
09/09/20	438.000	9,986.10	Various	9,653.52	...	332.58	Total of 10 transactions
	2 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	0.721	16.95	09/09/20	15.89	...	1.06	1 of 2 - Sale <sup>25</sup>
	176.780	4,155.97	09/10/20	4,154.21	...	1.76	2 of 2 - Sale <sup>25</sup>
09/14/20	177.501	4,172.92	Various	4,170.10	...	2.82	Total of 2 transactions

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Account 161787155

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
6 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	5.487	128.99	09/10/20	130.15	1.16 W	0.00	1 of 6 - Sale <sup>25</sup>
	61.194	1,438.63	09/10/20	1,446.04	7.41 W	0.00	2 of 6 - Sale <sup>25</sup>
	100.000	2,350.94	09/10/20	2,363.05	12.11 W	0.00	3 of 6 - Sale <sup>25</sup>
	100.000	2,350.94	09/10/20	2,363.04	12.10 W	0.00	4 of 6 - Sale <sup>25</sup>
	100.000	2,350.94	09/10/20	2,362.05	11.11 W	0.00	5 of 6 - Sale <sup>25</sup>
	200.000	4,701.87	09/10/20	4,727.89	26.02 W	0.00	6 of 6 - Sale <sup>25</sup>
09/14/20	566.681	13,322.31	Various	13,392.22	69.91 W	0.00	Total of 6 transactions
9 transactions for 09/22/20. Total proceeds and cost reported to the IRS.							
	61.194	1,652.19	09/22/20	1,672.32	20.13 W	0.00	1 of 9 - Sale <sup>25</sup>
	9.806	264.75	09/22/20	267.98	3.23 W	0.00	2 of 9 - Sale <sup>25</sup>
	4.000	108.00	09/22/20	109.31	1.31 W	0.00	3 of 9 - Sale <sup>25</sup>
	86.194	2,327.18	09/22/20	2,355.53	28.35 W	0.00	4 of 9 - Sale <sup>25</sup>
	100.000	2,699.93	09/22/20	2,732.81	32.88 W	0.00	5 of 9 - Sale <sup>25</sup>
	100.000	2,699.93	09/22/20	2,731.82	31.89 W	0.00	6 of 9 - Sale <sup>25</sup>
	175.550	4,739.72	09/22/20	4,799.05	59.33 W	0.00	7 of 9 - Sale <sup>25</sup>
	38.256	1,032.88	09/22/20	1,050.40	17.52 W	0.00	8 of 9 - Sale <sup>25</sup>
	114.000	3,077.92	09/22/20	3,130.11	52.19 W	0.00	9 of 9 - Sale <sup>25</sup>
09/22/20	689.000	18,602.50	Various	18,849.33	246.83 W	0.00	Total of 9 transactions
09/29/20	0.001	0.03	09/22/20	0.03	...	0.00	Sale <sup>25</sup>
11 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	0.563	15.36	09/22/20	15.45	0.09 W	0.00	1 of 11 - Sale <sup>25</sup>
	2.063	56.33	09/22/20	57.53	1.20 W	0.00	2 of 11 - Sale <sup>25</sup>
	5.487	149.78	09/22/20	152.32	2.54 W	0.00	3 of 11 - Sale <sup>25</sup>
	24.450	667.46	09/22/20	676.77	9.31 W	0.00	4 of 11 - Sale <sup>25</sup>
	38.437	1,049.31	09/22/20	1,071.59	22.28 W	0.00	5 of 11 - Sale <sup>25</sup>
	6.307	172.17	09/22/20	175.82	3.65 W	0.00	6 of 11 - Sale <sup>25</sup>
	20.693	564.91	09/22/20	576.91	12.00 W	0.00	7 of 11 - Sale <sup>25</sup>
	4.000	109.20	09/22/20	111.51	2.31 W	0.00	8 of 11 - Sale <sup>25</sup>
	3.499	95.53	09/22/20	97.56	2.03 W	0.00	9 of 11 - Sale <sup>25</sup>
	4.501	122.87	09/22/20	125.47	2.60 W	0.00	10 of 11 - Sale <sup>25</sup>
	12.902	350.97	09/22/20	359.70	8.73 W	0.00	11 of 11 - Sale <sup>25</sup>
09/29/20	122.902	3,353.89	Various	3,420.63	66.74 W	0.00	Total of 11 transactions

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Robinhood Securities LLC

Account 161787155

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
2 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	91.131	2,341.11	09/23/20	2,242.12	...	98.99	1 of 2 - Sale <sup>25</sup>
	98.210	2,522.97	09/23/20	2,418.15	...	104.82	2 of 2 - Sale <sup>25</sup>
09/30/20	189.340	4,864.08	Various	4,660.27	...	203.81	Total of 2 transactions
6 transactions for 09/30/20. Total proceeds and cost reported to the IRS.							
	9.660	248.15	09/22/20	269.30	21.15 W	0.00	1 of 6 - Sale <sup>25</sup>
	23.869	613.19	09/22/20	665.45	52.26 W	0.00	2 of 6 - Sale <sup>25</sup>
	59.131	1,519.05	09/22/20	1,648.50	129.45 W	0.00	3 of 6 - Sale <sup>25</sup>
	42.000	1,078.96	09/22/20	1,170.91	91.95 W	0.00	4 of 6 - Sale <sup>25</sup>
	8.869	227.85	09/22/20	247.18	19.33 W	0.00	5 of 6 - Sale <sup>25</sup>
	34.131	876.81	09/22/20	951.52	74.71 W	0.00	6 of 6 - Sale <sup>25</sup>
09/30/20	177.660	4,564.01	Various	4,952.86	388.85 W	0.00	Total of 6 transactions
7 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	0.223	5.54	09/23/20	5.48	...	0.06	1 of 7 - Sale <sup>25</sup>
	0.437	10.88	09/23/20	10.76	...	0.12	2 of 7 - Sale <sup>25</sup>
	0.266	6.61	10/02/20	6.45	...	0.16	3 of 7 - Sale <sup>25</sup>
	9.777	243.21	10/02/20	236.35	...	6.86	4 of 7 - Sale <sup>25</sup>
	38.256	952.02	10/02/20	929.34	...	22.68	5 of 7 - Sale <sup>25</sup>
	66.903	1,664.94	10/02/20	1,617.24	...	47.70	6 of 7 - Sale <sup>25</sup>
	94.575	2,353.56	10/02/20	2,297.48	...	56.08	7 of 7 - Sale <sup>25</sup>
10/06/20	210.437	5,236.76	Various	5,103.10	...	133.66	Total of 7 transactions
19 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	26.620	718.45	10/12/20	857.22	...	-138.77	1 of 19 - Sale <sup>25</sup>
	0.340	9.19	10/12/20	10.97	...	-1.78	2 of 19 - Sale <sup>25</sup>
	0.563	16.63	10/12/20	15.72	...	0.91	3 of 19 - Sale <sup>25</sup>
	2.063	59.51	10/12/20	58.52	...	0.99	4 of 19 - Sale <sup>25</sup>
	1.000	29.55	10/12/20	28.24	...	1.31	5 of 19 - Sale <sup>25</sup>
	3.499	100.92	10/12/20	99.24	...	1.68	6 of 19 - Sale <sup>25</sup>
	2.875	82.92	10/12/20	81.21	...	1.71	7 of 19 - Sale <sup>25</sup>
	4.000	115.36	10/12/20	113.43	...	1.93	8 of 19 - Sale <sup>25</sup>
	2.611	75.73	10/12/20	73.75	...	1.98	9 of 19 - Sale <sup>25</sup>
	4.501	129.79	10/12/20	127.63	...	2.16	10 of 19 - Sale <sup>25</sup>
	2.159	63.80	10/12/20	60.97	...	2.83	11 of 19 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 161787155

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	7.624	219.87	10/12/20	216.95	...	2.92	12 of 19 - Sale <sup>25</sup>
	6.307	181.88	10/12/20	178.85	...	3.03	13 of 19 - Sale <sup>25</sup>
	3.000	88.62	10/12/20	84.71	...	3.91	14 of 19 - Sale <sup>25</sup>
	5.278	155.97	10/12/20	150.20	...	5.77	15 of 19 - Sale <sup>25</sup>
	13.000	376.99	10/12/20	367.09	...	9.90	16 of 19 - Sale <sup>25</sup>
	20.693	596.78	10/12/20	586.86	...	9.92	17 of 19 - Sale <sup>25</sup>
	38.437	1,108.50	10/12/20	1,090.07	...	18.43	18 of 19 - Sale <sup>25</sup>
	24.450	709.02	10/12/20	688.53	...	20.49	19 of 19 - Sale <sup>25</sup>
10/12/20	169.021	4,839.48	Various	4,890.16	...	-50.68	Total of 19 transactions
	40 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	11.311	305.28	10/12/20	364.26	55.69 W	-3.29	1 of 40 - Sale <sup>25</sup>
	9.660	280.12	10/12/20	289.49	9.37 W	0.00	2 of 40 - Sale <sup>25</sup>
	24.279	704.08	10/12/20	727.63	23.55 W	0.00	3 of 40 - Sale <sup>25</sup>
	3.000	88.62	10/12/20	89.94	1.32 W	0.00	4 of 40 - Sale <sup>25</sup>
	0.095	2.81	10/12/20	3.11	0.30 W	0.00	5 of 40 - Sale <sup>25</sup>
	0.062	1.84	10/12/20	2.04	0.20 W	0.00	6 of 40 - Sale <sup>25</sup>
	0.127	3.75	10/12/20	4.15	0.40 W	0.00	7 of 40 - Sale <sup>25</sup>
	8.869	261.99	10/12/20	289.62	27.63 W	0.00	8 of 40 - Sale <sup>25</sup>
	9.660	285.33	10/12/20	303.75	18.42 W	0.00	9 of 40 - Sale <sup>25</sup>
	13.620	402.32	10/12/20	428.27	25.95 W	0.00	10 of 40 - Sale <sup>25</sup>
	23.869	705.08	10/12/20	779.68	74.60 W	0.00	11 of 40 - Sale <sup>25</sup>
	31.567	932.46	10/12/20	1,031.10	98.64 W	0.00	12 of 40 - Sale <sup>25</sup>
	34.131	1,008.19	10/12/20	1,114.84	106.65 W	0.00	13 of 40 - Sale <sup>25</sup>
	42.000	1,240.65	10/12/20	1,371.90	131.25 W	0.00	14 of 40 - Sale <sup>25</sup>
	0.069	2.00	10/12/20	2.17	0.17 W	0.00	15 of 40 - Sale <sup>25</sup>
	0.062	1.81	10/12/20	2.07	0.26 W	0.00	16 of 40 - Sale <sup>25</sup>
	0.095	2.76	10/12/20	3.16	0.40 W	0.00	17 of 40 - Sale <sup>25</sup>
	0.127	3.68	10/12/20	4.21	0.53 W	0.00	18 of 40 - Sale <sup>25</sup>
	3.000	87.00	10/12/20	91.32	4.32 W	0.00	19 of 40 - Sale <sup>25</sup>
	10.591	307.12	10/12/20	327.99	20.87 W	0.00	20 of 40 - Sale <sup>25</sup>
	23.869	692.19	10/12/20	790.67	98.48 W	0.00	21 of 40 - Sale <sup>25</sup>
	30.689	889.94	10/12/20	1,016.56	126.62 W	0.00	22 of 40 - Sale <sup>25</sup>
	31.567	915.42	10/12/20	1,045.65	130.23 W	0.00	23 of 40 - Sale <sup>25</sup>
	11.311	328.02	10/12/20	374.69	46.67 W	0.00	24 of 40 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 161787155

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	26.620	771.95	10/12/20	881.77	109.82 W	0.00	25 of 40 - Sale <sup>25</sup>
	1.960	56.85	10/12/20	62.54	5.69 W	0.00	26 of 40 - Sale <sup>25</sup>
	8.869	257.20	10/12/20	293.71	36.51 W	0.00	27 of 40 - Sale <sup>25</sup>
	9.660	280.12	10/12/20	308.21	28.09 W	0.00	28 of 40 - Sale <sup>25</sup>
	7.511	217.81	10/12/20	248.80	30.99 W	0.00	29 of 40 - Sale <sup>25</sup>
	0.069	1.86	10/12/20	2.20	0.34 W	0.00	30 of 40 - Sale <sup>25</sup>
	0.062	1.68	10/12/20	2.01	0.33 W	0.00	31 of 40 - Sale <sup>25</sup>
	0.069	1.86	10/12/20	2.11	0.25 W	0.00	32 of 40 - Sale <sup>25</sup>
	0.095	2.57	10/12/20	3.07	0.50 W	0.00	33 of 40 - Sale <sup>25</sup>
	0.127	3.43	10/12/20	4.09	0.66 W	0.00	34 of 40 - Sale <sup>25</sup>
	3.000	80.97	10/12/20	88.55	7.58 W	0.00	35 of 40 - Sale <sup>25</sup>
	10.591	285.83	10/12/20	318.22	32.39 W	0.00	36 of 40 - Sale <sup>25</sup>
	11.591	312.82	10/12/20	347.51	34.69 W	0.00	37 of 40 - Sale <sup>25</sup>
	23.869	644.21	10/12/20	768.66	124.45 W	0.00	38 of 40 - Sale <sup>25</sup>
	30.689	828.27	10/12/20	988.26	159.99 W	0.00	39 of 40 - Sale <sup>25</sup>
	31.567	851.97	10/12/20	1,016.54	164.57 W	0.00	40 of 40 - Sale <sup>25</sup>
10/12/20	489.979	14,051.86	Various	15,794.52	1,739.37 W	-3.29	Total of 40 transactions
	18 transactions for 10/13/20. Total proceeds and cost reported to the IRS.						
	31.567	800.36	10/12/20	1,029.19	...	-228.83	1 of 18 - Sale <sup>25</sup>
	30.689	776.71	10/12/20	1,000.55	...	-223.84	2 of 18 - Sale <sup>25</sup>
	15.170	384.62	10/12/20	494.59	...	-109.97	3 of 18 - Sale <sup>25</sup>
	10.612	268.59	10/12/20	345.99	...	-77.40	4 of 18 - Sale <sup>25</sup>
	8.699	220.17	10/12/20	283.63	...	-63.46	5 of 18 - Sale <sup>25</sup>
	11.591	293.87	10/12/20	352.16	...	-58.29	6 of 18 - Sale <sup>25</sup>
	8.869	224.87	10/12/20	279.44	...	-54.57	7 of 18 - Sale <sup>25</sup>
	10.591	268.52	10/12/20	322.47	...	-53.95	8 of 18 - Sale <sup>25</sup>
	9.660	244.91	10/12/20	292.66	...	-47.75	9 of 18 - Sale <sup>25</sup>
	7.102	180.06	10/12/20	223.81	...	-43.75	10 of 18 - Sale <sup>25</sup>
	3.000	76.06	10/12/20	89.75	...	-13.69	11 of 18 - Sale <sup>25</sup>
	1.960	49.70	10/12/20	59.38	...	-9.68	12 of 18 - Sale <sup>25</sup>
	0.127	3.22	10/12/20	4.14	...	-0.92	13 of 18 - Sale <sup>25</sup>
	0.095	2.41	10/12/20	3.11	...	-0.70	14 of 18 - Sale <sup>25</sup>
	0.069	1.75	10/12/20	2.23	...	-0.48	15 of 18 - Sale <sup>25</sup>
	0.069	1.75	10/12/20	2.22	...	-0.47	16 of 18 - Sale <sup>25</sup>

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Account 161787155

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol: (cont'd)							
	0.062	1.58	10/12/20	2.04	...	-0.46	17 of 18 - Sale <sup>25</sup>
	0.069	1.75	10/12/20	2.14	...	-0.39	18 of 18 - Sale <sup>25</sup>
10/13/20	150.000	3,800.90	Various	4,789.50	...	-988.60	Total of 18 transactions
	4 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	0.030	0.59	12/08/20	0.62	...	-0.03	1 of 4 - Sale <sup>25</sup>
	0.163	3.54	12/08/20	3.40	...	0.14	2 of 4 - Sale <sup>25</sup>
	53.533	1,162.31	12/08/20	1,119.87	...	42.44	3 of 4 - Sale <sup>25</sup>
	238.862	5,186.19	12/08/20	4,999.38	...	186.81	4 of 4 - Sale <sup>25</sup>
12/11/20	292.587	6,352.63	Various	6,123.27	...	229.36	Total of 4 transactions
	2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	0.069	1.37	10/12/20	2.25	0.38 W	-0.50	1 of 2 - Sale <sup>25</sup>
	0.030	0.64	12/08/20	1.00	0.36 W	0.00	2 of 2 - Sale <sup>25</sup>
12/11/20	0.099	2.01	Various	3.25	0.74 W	-0.50	Total of 2 transactions
	3 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	229.259	4,821.17	12/08/20	4,901.55	...	-80.38	1 of 3 - Sale <sup>25</sup>
	0.030	0.62	12/08/20	0.98	...	-0.36	2 of 3 - Sale <sup>25</sup>
	231.379	4,865.77	12/08/20	4,840.29	...	25.48	3 of 3 - Sale <sup>25</sup>
12/22/20	460.667	9,687.56	Various	9,742.82	...	-55.26	Total of 3 transactions
	<b>Security total:</b>	<b>290,789.74</b>		<b>291,985.33</b>	<b>2,779.74 W</b>	<b>1,584.15</b>	
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
	8 transactions for 09/01/20. Total proceeds and cost reported to the IRS.						
	44.998	20,231.42	09/01/20	21,223.33	...	-991.91	1 of 8 - Sale <sup>25</sup>
	50.005	22,482.53	09/01/20	22,698.56	...	-216.03	2 of 8 - Sale <sup>25</sup>
	4.998	2,247.03	09/01/20	2,357.20	...	-110.17	3 of 8 - Sale <sup>25</sup>
	0.002	1.00	09/01/20	1.00	...	0.00	4 of 8 - Sale <sup>25</sup>
	5.002	2,237.40	09/01/20	2,143.52	...	93.88	5 of 8 - Sale <sup>25</sup>
	27.998	12,588.06	09/01/20	12,179.01	...	409.05	6 of 8 - Sale <sup>25</sup>
	55.000	24,600.19	09/01/20	24,145.00	...	455.19	7 of 8 - Sale <sup>25</sup>
	69.998	30,820.70	09/01/20	29,994.73	...	825.97	8 of 8 - Sale <sup>25</sup>
09/01/20	258.000	115,208.33	Various	114,742.35	...	465.98	Total of 8 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol: (cont'd)							
3 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	5.000	2,236.38	09/01/20	2,325.00	88.62 W	0.00	1 of 3 - Sale <sup>25</sup>
	44.998	20,126.42	09/01/20	20,923.94	797.52 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.002	1.02	09/01/20	1.10	0.08 W	0.00	3 of 3 - Sale <sup>25</sup>
09/01/20	50.000	22,363.82	Various	23,250.04	886.22 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>137,572.15</b>		<b>137,992.39</b>	<b>886.22 W</b>	<b>465.98</b>	
SOCIAL CAPITAL HEDOSOPHIA HOLD INGS CORP. II / CUSIP: G8250T109 / Symbol:							
3 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	0.366	6.45	09/23/20	6.19	...	0.26	1 of 3 - Sale <sup>25</sup>
	99.634	1,754.98	09/23/20	1,686.80	...	68.18	2 of 3 - Sale <sup>25</sup>
	200.000	3,522.85	09/23/20	3,386.00	...	136.85	3 of 3 - Sale <sup>25</sup>
10/02/20	300.000	5,284.28	Various	5,078.99	...	205.29	Total of 3 transactions
14 transactions for 10/08/20. Total proceeds and cost reported to the IRS.							
	0.366	6.88	09/23/20	6.20	...	0.68	1 of 14 - Sale <sup>25</sup>
	0.366	6.88	09/23/20	6.20	...	0.68	2 of 14 - Sale <sup>25</sup>
	2.000	37.58	09/23/20	33.88	...	3.70	3 of 14 - Sale <sup>25</sup>
	8.634	162.31	09/23/20	146.26	...	16.05	4 of 14 - Sale <sup>25</sup>
	88.497	1,662.80	09/23/20	1,499.13	...	163.67	5 of 14 - Sale <sup>25</sup>
	91.000	1,710.75	09/23/20	1,541.54	...	169.21	6 of 14 - Sale <sup>25</sup>
	99.634	1,873.06	09/23/20	1,687.80	...	185.26	7 of 14 - Sale <sup>25</sup>
	0.601	11.28	10/02/20	11.18	...	0.10	8 of 14 - Sale <sup>25</sup>
	10.903	204.86	10/02/20	203.45	...	1.41	9 of 14 - Sale <sup>25</sup>
	10.903	204.86	10/02/20	202.96	...	1.90	10 of 14 - Sale <sup>25</sup>
	32.000	601.26	10/02/20	597.12	...	4.14	11 of 14 - Sale <sup>25</sup>
	40.818	766.94	10/02/20	762.07	...	4.87	12 of 14 - Sale <sup>25</sup>
	57.097	1,072.82	10/02/20	1,065.43	...	7.39	13 of 14 - Sale <sup>25</sup>
	89.097	1,674.08	10/02/20	1,658.54	...	15.54	14 of 14 - Sale <sup>25</sup>
10/08/20	531.915	9,996.36	Various	9,421.76	...	574.60	Total of 14 transactions
10/08/20	0.177	3.26	10/02/20	3.30	0.04 W	0.00	Sale <sup>25</sup>
13 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	26.564	610.96	10/02/20	495.95	...	115.01	1 of 13 - Sale <sup>25</sup>
	0.177	4.06	10/06/20	3.07	...	0.99	2 of 13 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 161787155

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOCIAL CAPITAL HEDOSOPHIA HOLD INGS CORP. II / CUSIP: G8250T109 / Symbol: (cont'd)							
	0.588	13.52	10/06/20	10.09	...	3.43	3 of 13 - Sale <sup>25</sup>
	33.981	805.99	10/06/20	585.14	...	220.85	4 of 13 - Sale <sup>25</sup>
	33.981	805.99	10/06/20	585.14	...	220.85	5 of 13 - Sale <sup>25</sup>
	65.347	1,549.99	10/06/20	1,125.28	...	424.71	6 of 13 - Sale <sup>25</sup>
	66.019	1,565.94	10/06/20	1,138.17	...	427.77	7 of 13 - Sale <sup>25</sup>
	66.019	1,565.94	10/06/20	1,136.86	...	429.08	8 of 13 - Sale <sup>25</sup>
	100.000	2,371.93	10/06/20	1,723.99	...	647.94	9 of 13 - Sale <sup>25</sup>
	215.981	4,967.42	10/06/20	3,723.48	...	1,243.94	10 of 13 - Sale <sup>25</sup>
	356.691	8,203.66	10/06/20	6,127.91	...	2,075.75	11 of 13 - Sale <sup>25</sup>
	34.653	821.95	10/08/20	646.62	...	175.33	12 of 13 - Sale <sup>25</sup>
	100.000	2,371.93	10/08/20	1,866.00	...	505.93	13 of 13 - Sale <sup>25</sup>
10/12/20	1,100.000	25,659.28	Various	19,167.70	...	6,491.58	Total of 13 transactions
	44 transactions for 10/13/20. Total proceeds and cost reported to the IRS.						
	1.000	22.50	10/08/20	18.66	...	3.84	1 of 44 - Sale <sup>25</sup>
	15.000	337.50	10/08/20	279.90	...	57.60	2 of 44 - Sale <sup>25</sup>
	19.000	427.49	10/08/20	354.54	...	72.95	3 of 44 - Sale <sup>25</sup>
	50.000	1,124.97	10/08/20	933.00	...	191.97	4 of 44 - Sale <sup>25</sup>
	50.347	1,132.78	10/08/20	939.48	...	193.30	5 of 44 - Sale <sup>25</sup>
	56.000	1,259.96	10/08/20	1,045.52	...	214.44	6 of 44 - Sale <sup>25</sup>
	79.876	1,797.15	10/08/20	1,490.48	...	306.67	7 of 44 - Sale <sup>25</sup>
	130.000	2,924.92	10/08/20	2,425.80	...	499.12	8 of 44 - Sale <sup>25</sup>
	0.774	17.41	10/09/20	16.91	...	0.50	9 of 44 - Sale <sup>25</sup>
	0.352	7.91	10/09/20	7.40	...	0.51	10 of 44 - Sale <sup>25</sup>
	2.642	63.41	10/09/20	59.16	...	4.25	11 of 44 - Sale <sup>25</sup>
	3.000	72.00	10/09/20	67.17	...	4.83	12 of 44 - Sale <sup>25</sup>
	3.358	80.59	10/09/20	75.18	...	5.41	13 of 44 - Sale <sup>25</sup>
	5.642	135.41	10/09/20	126.33	...	9.08	14 of 44 - Sale <sup>25</sup>
	6.236	149.68	10/09/20	139.70	...	9.98	15 of 44 - Sale <sup>25</sup>
	6.642	159.41	10/09/20	145.20	...	14.21	16 of 44 - Sale <sup>25</sup>
	9.000	216.00	10/09/20	201.51	...	14.49	17 of 44 - Sale <sup>25</sup>
	9.358	224.58	10/09/20	209.52	...	15.06	18 of 44 - Sale <sup>25</sup>
	13.426	302.07	10/09/20	283.95	...	18.12	19 of 44 - Sale <sup>25</sup>
	15.000	360.00	10/09/20	335.85	...	24.15	20 of 44 - Sale <sup>25</sup>
	16.000	384.00	10/09/20	358.40	...	25.60	21 of 44 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 161787155

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOCIAL CAPITAL HEDOSOPHIA HOLD INGS CORP. II / CUSIP: G8250T109 / Symbol: (cont'd)							
	18.358	440.57	10/09/20	411.03	...	29.54	22 of 44 - Sale <sup>25</sup>
	52.303	1,176.79	10/09/20	1,143.36	...	33.43	23 of 44 - Sale <sup>25</sup>
	24.358	584.57	10/09/20	545.61	...	38.96	24 of 44 - Sale <sup>25</sup>
	79.574	1,790.37	10/09/20	1,682.98	...	107.39	25 of 44 - Sale <sup>25</sup>
	72.642	1,743.36	10/09/20	1,626.46	...	116.90	26 of 44 - Sale <sup>25</sup>
	75.642	1,815.36	10/09/20	1,693.63	...	121.73	27 of 44 - Sale <sup>25</sup>
	98.375	2,213.38	10/09/20	2,075.71	...	137.67	28 of 44 - Sale <sup>25</sup>
	100.000	2,249.94	10/09/20	2,110.00	...	139.94	29 of 44 - Sale <sup>25</sup>
	91.000	2,183.94	10/09/20	2,037.49	...	146.45	30 of 44 - Sale <sup>25</sup>
	69.000	1,655.95	10/09/20	1,508.36	...	147.59	31 of 44 - Sale <sup>25</sup>
	110.000	2,474.93	10/09/20	2,326.48	...	148.45	32 of 44 - Sale <sup>25</sup>
	94.358	2,264.52	10/09/20	2,112.67	...	151.85	33 of 44 - Sale <sup>25</sup>
	143.974	3,239.32	10/09/20	3,045.04	...	194.28	34 of 44 - Sale <sup>25</sup>
	100.000	2,399.93	10/09/20	2,186.02	...	213.91	35 of 44 - Sale <sup>25</sup>
	8.764	210.32	10/12/20	201.56	...	8.76	36 of 44 - Sale <sup>25</sup>
	22.236	555.90	10/12/20	511.44	...	44.46	37 of 44 - Sale <sup>25</sup>
	77.764	1,866.27	10/12/20	1,788.56	...	77.71	38 of 44 - Sale <sup>25</sup>
	100.000	2,399.94	10/12/20	2,300.00	...	99.94	39 of 44 - Sale <sup>25</sup>
	191.236	4,589.55	10/12/20	4,398.44	...	191.11	40 of 44 - Sale <sup>25</sup>
	100.000	2,499.93	10/12/20	2,300.00	...	199.93	41 of 44 - Sale <sup>25</sup>
	100.000	2,499.93	10/12/20	2,300.00	...	199.93	42 of 44 - Sale <sup>25</sup>
	100.000	2,499.93	10/12/20	2,289.98	...	209.95	43 of 44 - Sale <sup>25</sup>
	277.764	6,943.90	10/13/20	6,388.56	...	555.34	44 of 44 - Sale <sup>25</sup>
10/13/20	2,600.000	61,498.34	Various	56,497.04	...	5,001.30	Total of 44 transactions
	7 transactions for 10/14/20. Total proceeds and cost reported to the IRS.						
	100.000	2,599.93	10/13/20	2,300.00	...	299.93	1 of 7 - Sale <sup>25</sup>
	100.000	2,599.93	10/13/20	2,300.00	...	299.93	2 of 7 - Sale <sup>25</sup>
	100.000	2,599.93	10/13/20	2,300.00	...	299.93	3 of 7 - Sale <sup>25</sup>
	100.000	2,599.93	10/13/20	2,300.00	...	299.93	4 of 7 - Sale <sup>25</sup>
	122.236	3,159.02	10/13/20	2,811.44	...	347.58	5 of 7 - Sale <sup>25</sup>
	200.000	5,199.86	10/13/20	4,600.00	...	599.86	6 of 7 - Sale <sup>25</sup>
	600.000	15,506.10	10/14/20	15,282.00	...	224.10	7 of 7 - Sale <sup>25</sup>
10/14/20	1,322.236	34,264.70	Various	31,893.44	...	2,371.26	Total of 7 transactions
10/14/20	27.764	717.51	10/14/20	731.71	14.20 W	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 161787155

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOCIAL CAPITAL HEDOSOPHIA HOLD INGS CORP. II / CUSIP: G8250T109 / Symbol: (cont'd)							
12 transactions for 10/15/20. Total proceeds and cost reported to the IRS.							
	7.000	176.33	10/14/20	184.48	8.15 W	0.00	1 of 12 - Sale <sup>25</sup>
	65.236	1,643.26	10/14/20	1,719.31	76.05 W	0.00	2 of 12 - Sale <sup>25</sup>
	7.000	176.33	10/14/20	192.88	16.55 W	0.00	3 of 12 - Sale <sup>25</sup>
	27.764	699.34	10/14/20	746.88	47.54 W	0.00	4 of 12 - Sale <sup>25</sup>
	13.236	333.42	10/14/20	349.31	15.89 W	0.00	5 of 12 - Sale <sup>25</sup>
	46.000	1,158.71	10/14/20	1,213.94	55.23 W	0.00	6 of 12 - Sale <sup>25</sup>
	1.000	25.19	10/14/20	26.39	1.20 W	0.00	7 of 12 - Sale <sup>25</sup>
	5.000	125.95	10/14/20	131.95	6.00 W	0.00	8 of 12 - Sale <sup>25</sup>
	41.000	1,032.76	10/14/20	1,081.99	49.23 W	0.00	9 of 12 - Sale <sup>25</sup>
	36.764	926.04	10/14/20	970.19	44.15 W	0.00	10 of 12 - Sale <sup>25</sup>
	0.672	16.37	10/14/20	18.52	2.15 W	0.00	11 of 12 - Sale <sup>25</sup>
	1.000	24.25	10/14/20	27.56	3.31 W	0.00	12 of 12 - Sale <sup>25</sup>
10/15/20	251.672	6,337.95	Various	6,663.40	325.45 W	0.00	Total of 12 transactions
4 transactions for 11/16/20. Total proceeds and cost reported to the IRS.							
	190.436	3,583.90	10/14/20	4,665.67	...	-1,081.77	1 of 4 - Sale <sup>25</sup>
	76.000	1,430.27	10/14/20	1,862.00	...	-431.73	2 of 4 - Sale <sup>25</sup>
	55.000	1,035.06	10/14/20	1,347.50	...	-312.44	3 of 4 - Sale <sup>25</sup>
	24.000	451.68	10/14/20	588.00	...	-136.32	4 of 4 - Sale <sup>25</sup>
11/16/20	345.436	6,500.91	Various	8,463.17	...	-1,962.26	Total of 4 transactions
11/16/20	154.564	2,908.81	10/14/20	3,864.11	1.89 W	-953.41	Sale <sup>25</sup>
7 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	154.564	3,879.46	10/14/20	3,786.83	...	92.63	1 of 7 - Sale <sup>25</sup>
	60.000	1,499.96	10/15/20	1,522.80	...	-22.84	2 of 7 - Sale <sup>25</sup>
	55.436	1,385.85	10/15/20	1,406.40	...	-20.55	3 of 7 - Sale <sup>25</sup>
	44.564	1,114.08	10/15/20	1,130.60	...	-16.52	4 of 7 - Sale <sup>25</sup>
	44.564	1,114.08	10/15/20	1,130.15	...	-16.07	5 of 7 - Sale <sup>25</sup>
	45.436	1,140.40	10/15/20	1,152.25	...	-11.85	6 of 7 - Sale <sup>25</sup>
	95.436	2,385.82	10/15/20	2,338.17	...	47.65	7 of 7 - Sale <sup>25</sup>
12/16/20	500.000	12,519.65	Various	12,467.20	...	52.45	Total of 7 transactions
	<b>Security total:</b>	<b>165,691.05</b>		<b>154,251.82</b>	<b>341.58 W</b>	<b>11,780.81</b>	
<b>Totals :</b>		<b>2,907,417.73</b>		<b>2,905,128.02</b>	<b>43,310.93 W</b>	<b>45,600.64</b>	

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Robinhood Securities LLC

Account 161787155

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
<i>2 transactions for 02/19/20. Total proceeds and cost reported to the IRS.</i>							
	54.000	310.49	02/07/19	72.90	...	237.59	1 of 2 - Sale <sup>25</sup>
	93.000	534.72	02/07/19	125.55	...	409.17	2 of 2 - Sale <sup>25</sup>
02/19/20	147.000	845.21	Various	198.45	...	646.76	Total of 2 transactions
SPRINT CORPORATION / CUSIP: 85207U105 / Symbol:							
02/19/20	1.000	9.45	08/07/18	6.20	...	3.25	Sale <sup>25</sup>
<b>Totals :</b>		<b>854.66</b>		<b>204.65</b>		<b>650.01</b>	

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Robinhood Securities LLC

Account 161787155

## Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked.

**Description of property**

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
CHF SOLUTIONS, INC. COMMON STO CK / CUSIP: 12542Q870 / Symbol:							
	2 transactions for 10/23/20						
	0.133	0.98	07/09/20	2.65	...	-1.67	Gain or loss
	0.600	4.42	07/24/20	14.01	...	-9.59	Gain or loss
10/23/20	0.733	5.40	Various	16.66	...	-11.26	Total of 2 transactions
<b>Totals:</b>		<b>5.40</b>		<b>16.66</b>	...	<b>-11.26</b>	

Robinhood Securities LLC

Account 161787155

**Detail for Dividends and Distributions**

2020

02/14/2021

*This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.*

*Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.*

*Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>State</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
THE WALT DISNEY COMPANY	254687106		01/16/20	7.04	Qualified dividend	
MICROSOFT CORPORATION COMMON S TOCK	594918104		03/12/20	5.10	Qualified dividend	
WALMART INC.	931142103		01/02/20	5.30	Qualified dividend	
				<b>17.44</b>	<b>Total Dividends &amp; distributions</b>	

Robinhood Securities LLC

Account 161787155

## Detail for Interest Income

2020

02/14/2021

*This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.*

*To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.*

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		04/30/20	0.03	Interest	
		05/29/20	0.12	Interest	
		06/30/20	0.06	Interest	
		07/27/20	0.09	Interest	
		07/31/20	0.01	Interest	
		08/31/20	0.33	Interest	
			0.64	Total Interest	
			<b>0.64</b>	<b>Total Interest</b>	

Robinhood Securities LLC

Account 161787155

**Fees and Expenses**

2020

02/14/2021

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/04/20	-5.00	Margin interest paid	
		09/08/20	-5.00	Margin interest paid	
		10/06/20	-5.00	Margin interest paid	
		12/28/20	-5.00	Margin interest paid	
			-20.00	Total Margin interest paid	
			<b>-20.00</b>	<b>Total Margin interest paid</b>	

Robinhood Securities LLC

Account 161787155

## Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
FIKER INC.	33813J106	10/30/20	0.73	0.00	Exchange	
OPENDOOR TECHNOLOGIES INC COMM ON STOCK	683712103	12/21/20	265.31	0.00	Exchange	
SPARTAN ENERGY ACQUISITION COR P.	846784106	10/30/20	-0.73	0.00	Exchange	
SUNRUN INC. COMMON STOCK	86771W105	10/08/20	327.00	0.00	Merger	
VIVINT SOLAR, INC.	92854Q106	10/08/20	-595.18	0.00	Merger	
SOCIAL CAPITAL HEDOSOPHIA HOLD INGS CORP. II	G8250T109	12/21/20	-265.31	0.00	Exchange	

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

**Line 1b.** Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 2c.** Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Instructions for Recipient**

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Line 8.** This line should be left blank if a RIC reported the foreign tax shown in line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**1099-INT Instructions for Recipient**

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.



#### Instructions for Recipient

**FATCA filing requirement.** If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1.** Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

**Line 2.** Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction. **Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

**Line 5.** Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

**Line 6.** Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

**Line 7.** Shows the country or U.S. possession to which the foreign tax was paid.

**Line 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 9.** Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

**Line 10.** For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

**Line 11.** For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). **Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

**Line 13.** For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a

premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

**Line 14.** Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099INT](http://www.irs.gov/Form1099INT).

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