

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 138817788</b>	Statement Date: 02/14/2021 Document ID: C2S2 11M E017	2020
	Adithya Manajigari 8444 New Salem St 16 San Diego, CA 92126  RECIPIENT'S TIN: XXX-XX-4243		

**Summary Information**

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	0.87		2- Royalties	0.00	
1b- Qualified dividends	0.87		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		<b>4- Federal income tax withheld</b>	<b>0.00</b>	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		<b>SECTION 1256 CONTRACTS</b>	<b>2020 1099-B*</b>	OMB No. 1545-0715
3- Nondividend distributions	0.00		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
<b>4- Federal income tax withheld</b>	<b>0.00</b>		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession: See detail	0.18				
7- Foreign tax paid:	0.00		<i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>		
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	44,244.90	46,866.34	0.00	2,064.87	-556.57
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>44,244.90</b>	<b>46,866.34</b>	<b>0.00</b>	<b>2,064.87</b>	<b>-556.57</b>
Long	D (basis reported to the IRS)	29.10	39.60	0.00	0.00	-10.50
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	18.92	14.17	0.00	0.00	4.75
	<b>Total Long-term</b>	<b>48.02</b>	<b>53.77</b>	<b>0.00</b>	<b>0.00</b>	<b>-5.75</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>44,292.92</b>	<b>46,920.11</b>	<b>0.00</b>	<b>2,064.87</b>	<b>-562.32</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

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**Summary Information**

2020

(continued)

02/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARK INNOVATION ETF / CUSIP: 00214Q104 / Symbol:							
2 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	0.000	0.01	09/22/20	0.01	...	0.00	1 of 2 - Sale <sup>25</sup>
	2.785	253.24	09/22/20	249.99	...	3.25	2 of 2 - Sale <sup>25</sup>
09/23/20	2.785	253.25	Various	250.00	...	3.25	Total of 2 transactions
ARK GENOMIC REVOLUTION ETF / CUSIP: 00214Q302 / Symbol:							
09/17/20	4.000	243.40	09/16/20	250.04	6.64 W	0.00	Sale <sup>25</sup>
3 transactions for 09/22/20. Total proceeds and cost reported to the IRS.							
	1.000	60.82	09/18/20	64.14	1.01 W	-2.31	1 of 3 - Sale <sup>25</sup>
	0.242	14.73	09/18/20	15.52	0.79 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.073	4.45	09/18/20	4.70	0.25 W	0.00	3 of 3 - Sale <sup>25</sup>
09/22/20	1.315	80.00	Various	84.36	2.05 W	-2.31	Total of 3 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

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02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARK GENOMIC REVOLUTION ETF / CUSIP: 00214Q302 / Symbol: (cont'd)							
6 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	1.380	85.69	09/18/20	89.34	...	-3.65	1 of 6 - Sale <sup>25</sup>
	0.925	57.45	09/18/20	59.36	...	-1.91	2 of 6 - Sale <sup>25</sup>
	0.304	18.86	09/18/20	20.18	...	-1.32	3 of 6 - Sale <sup>25</sup>
	0.242	15.03	09/18/20	16.06	...	-1.03	4 of 6 - Sale <sup>25</sup>
	0.379	23.52	09/18/20	24.52	...	-1.00	5 of 6 - Sale <sup>25</sup>
	0.073	4.55	09/18/20	4.87	...	-0.32	6 of 6 - Sale <sup>25</sup>
09/23/20	3.304	205.10	Various	214.33	...	-9.23	Total of 6 transactions
	<b>Security total:</b>	<b>528.50</b>		<b>548.73</b>	<b>8.69 W</b>	<b>-11.54</b>	

ARK FINTECH INNOVATION ETF / CUSIP: 00214Q708 / Symbol:

6 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	0.036	1.39	09/18/20	1.36	...	0.03	1 of 6 - Sale <sup>25</sup>
	0.889	34.33	09/18/20	33.65	...	0.68	2 of 6 - Sale <sup>25</sup>
	6.999	270.32	09/18/20	264.99	...	5.33	3 of 6 - Sale <sup>25</sup>
	0.291	11.24	09/22/20	11.14	...	0.10	4 of 6 - Sale <sup>25</sup>
	1.821	70.31	09/22/20	70.00	...	0.31	5 of 6 - Sale <sup>25</sup>
	3.000	115.85	09/22/20	114.86	...	0.99	6 of 6 - Sale <sup>25</sup>
09/23/20	13.036	503.44	Various	496.00	...	7.44	Total of 6 transactions

APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:

09/11/20	3.000	334.95	08/31/20	384.63	49.68 W	0.00	Sale <sup>25</sup>
3 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	1.000	108.92	08/31/20	128.21	19.29 W	0.00	1 of 3 - Sale <sup>25</sup>
	3.000	326.75	09/14/20	396.93	70.18 W	0.00	2 of 3 - Sale <sup>25</sup>
	3.000	326.75	09/14/20	347.25	20.50 W	0.00	3 of 3 - Sale <sup>25</sup>
09/17/20	7.000	762.42	Various	872.39	109.97 W	0.00	Total of 3 transactions
09/18/20	0.000	0.01	09/17/20	0.01	...	0.00	Sale <sup>25</sup>
2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	109.68	09/17/20	130.52	20.84 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.823	90.31	09/17/20	110.85	20.54 W	0.00	2 of 2 - Sale <sup>25</sup>
09/18/20	1.823	199.99	Various	241.37	41.38 W	0.00	Total of 2 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
<i>9 transactions for 09/23/20. Total proceeds and cost reported to the IRS.</i>							
	0.177	19.44	09/17/20	23.76	4.32 W	0.00	1 of 9 - Sale <sup>25</sup>
	2.000	220.27	09/17/20	269.26	48.99 W	0.00	2 of 9 - Sale <sup>25</sup>
	1.000	110.14	09/17/20	118.06	7.92 W	0.00	3 of 9 - Sale <sup>25</sup>
	1.000	110.13	09/21/20	113.49	3.36 W	0.00	4 of 9 - Sale <sup>25</sup>
	0.177	19.41	09/23/20	23.91	4.50 W	0.00	5 of 9 - Sale <sup>25</sup>
	1.000	109.96	09/23/20	135.50	25.54 W	0.00	6 of 9 - Sale <sup>25</sup>
	1.000	109.95	09/23/20	131.83	21.88 W	0.00	7 of 9 - Sale <sup>25</sup>
	0.823	90.54	09/23/20	111.93	21.39 W	0.00	8 of 9 - Sale <sup>25</sup>
	1.000	109.95	09/23/20	117.82	7.87 W	0.00	9 of 9 - Sale <sup>25</sup>
09/23/20	8.177	899.79	Various	1,045.56	145.77 W	0.00	Total of 9 transactions
<i>11 transactions for 09/24/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	108.93	09/24/20	134.89	...	-25.96	1 of 11 - Sale <sup>25</sup>
	1.000	108.92	09/24/20	131.23	...	-22.31	2 of 11 - Sale <sup>25</sup>
	0.823	89.69	09/24/20	111.42	...	-21.73	3 of 11 - Sale <sup>25</sup>
	0.684	74.46	09/24/20	91.49	...	-17.03	4 of 11 - Sale <sup>25</sup>
	1.000	108.92	09/24/20	117.26	...	-8.34	5 of 11 - Sale <sup>25</sup>
	1.000	108.92	09/24/20	117.22	...	-8.30	6 of 11 - Sale <sup>25</sup>
	0.316	34.45	09/24/20	42.33	...	-7.88	7 of 11 - Sale <sup>25</sup>
	0.177	19.23	09/24/20	23.80	...	-4.57	8 of 11 - Sale <sup>25</sup>
	1.000	108.93	09/24/20	112.71	...	-3.78	9 of 11 - Sale <sup>25</sup>
	0.316	34.46	09/24/20	34.59	...	-0.13	10 of 11 - Sale <sup>25</sup>
	0.000	0.01	09/24/20	0.01	...	0.00	11 of 11 - Sale <sup>25</sup>
09/24/20	7.316	796.92	Various	916.95	...	-120.03	Total of 11 transactions
	<b>Security total:</b>	<b>2,994.08</b>		<b>3,460.91</b>	<b>346.80 W</b>	<b>-120.03</b>	
BIGCOMMERCE HOLDINGS, INC. SER IES 1 COMMON STOCK / CUSIP: 08975P108 / Symbol:							
09/23/20	4.000	306.32	09/23/20	335.64	...	-29.32	Sale <sup>25</sup>
BLOOM ENERGY CORPORATION / CUSIP: 093712107 / Symbol:							
09/16/20	35.000	498.59	09/09/20	497.35	...	1.24	Sale <sup>25</sup>
<i>5 transactions for 09/22/20. Total proceeds and cost reported to the IRS.</i>							
	0.013	0.23	09/17/20	0.20	...	0.03	1 of 5 - Sale <sup>25</sup>
	0.054	0.95	09/17/20	0.81	...	0.14	2 of 5 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLOOM ENERGY CORPORATION / CUSIP: 093712107 / Symbol: (cont'd)							
	19.937	348.50	09/17/20	298.99	...	49.51	3 of 5 - Sale <sup>25</sup>
	0.065	1.14	09/18/20	1.04	...	0.10	4 of 5 - Sale <sup>25</sup>
	1.998	34.93	09/18/20	31.96	...	2.97	5 of 5 - Sale <sup>25</sup>
09/22/20	22.067	385.75	Various	333.00	...	52.75	Total of 5 transactions
	<b>Security total:</b>	<b>884.34</b>		<b>830.35</b>	...	<b>53.99</b>	
CBAK ENERGY TECHNOLOGY, INC. C OMMON STOCK / CUSIP: 14986C102 / Symbol:							
09/25/20	400.000	1,471.91	09/24/20	832.00	...	639.91	Sale <sup>25</sup>
CROWDSTRIKE HOLDINGS, INC. CLA SS A COMMON STOCK / CUSIP: 22788C105 / Symbol:							
09/23/20	1.000	137.29	09/23/20	142.96	...	-5.67	Sale <sup>25</sup>
CRYOPORT, INC. COMMON STOCK / CUSIP: 229050307 / Symbol:							
09/17/20	4.000	193.88	09/16/20	202.29	...	-8.41	Sale <sup>25</sup>
DATADOG, INC. CLASS A COMMON S TOCK / CUSIP: 23804L103 / Symbol:							
09/23/20	1.000	90.85	09/23/20	94.02	...	-3.17	Sale <sup>25</sup>
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol:							
	4 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	0.002	0.11	09/17/20	0.11	...	0.00	1 of 4 - Sale <sup>25</sup>
	0.446	24.04	09/17/20	23.58	...	0.46	2 of 4 - Sale <sup>25</sup>
	5.732	305.30	09/17/20	303.66	...	1.64	3 of 4 - Sale <sup>25</sup>
	3.259	175.85	09/17/20	172.65	...	3.20	4 of 4 - Sale <sup>25</sup>
09/23/20	9.438	505.30	Various	500.00	...	5.30	Total of 4 transactions
	2 transactions for 09/24/20. Total proceeds and cost reported to the IRS.						
	5.998	306.39	09/24/20	308.19	...	-1.80	1 of 2 - Sale <sup>25</sup>
	0.814	41.58	09/24/20	41.81	...	-0.23	2 of 2 - Sale <sup>25</sup>
09/24/20	6.812	347.97	Various	350.00	...	-2.03	Total of 2 transactions
	<b>Security total:</b>	<b>853.27</b>		<b>850.00</b>	...	<b>3.27</b>	

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Robinhood Securities LLC

Account 138817788

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol:							
2 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	5.000	201.45	07/29/20	191.00	...	10.45	1 of 2 - Sale <sup>25</sup>
	5.000	201.50	07/29/20	191.00	...	10.50	2 of 2 - Sale <sup>25</sup>
07/30/20	10.000	402.95	Various	382.00	...	20.95	Total of 2 transactions
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
09/09/20	1.000	272.04	08/26/20	288.22	...	-16.18	Sale <sup>25</sup>
FEDEX CORPORATION / CUSIP: 31428X106 / Symbol:							
09/16/20	3.000	748.95	09/15/20	700.77	...	48.18	Sale <sup>25</sup>
GENERAL MOTORS COMPANY / CUSIP: 37045V100 / Symbol:							
09/10/20	2.000	60.73	09/04/20	59.86	...	0.87	Sale <sup>25</sup>
09/17/20	10.000	312.80	09/16/20	319.70	...	-6.90	Sale <sup>25</sup>
	<b>Security total:</b>	<b>373.53</b>		<b>379.56</b>	...	<b>-6.03</b>	
GROUPON, INC. COMMON STOCK / CUSIP: 399473206 / Symbol:							
08/27/20	3.000	94.08	08/26/20	101.67	...	-7.59	Sale <sup>25</sup>
GROWGENERATION CORP. COMMON STOCK / CUSIP: 39986L109 / Symbol:							
08/25/20	30.000	466.80	08/25/20	480.00	11.23 W	-1.97	Sale <sup>25</sup>
08/27/20	10.000	155.72	08/27/20	165.80	...	-10.08	Sale <sup>25</sup>
2 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	14.986	247.81	09/17/20	248.03	...	-0.22	1 of 2 - Sale <sup>25</sup>
	0.533	8.81	09/17/20	8.80	...	0.01	2 of 2 - Sale <sup>25</sup>
09/23/20	15.519	256.62	Various	256.83	...	-0.21	Total of 2 transactions
	<b>Security total:</b>	<b>879.14</b>		<b>902.63</b>	<b>11.23 W</b>	<b>-12.26</b>	
IRHYTHM TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 450056106 / Symbol:							
09/21/20	1.000	217.81	09/18/20	233.16	...	-15.35	Sale <sup>25</sup>
JD.COM, INC. AMERICAN DEPOSITARY SHARES / CUSIP: 47215P106 / Symbol:							
4 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	0.009	0.67	09/18/20	0.67	...	0.00	1 of 4 - Sale <sup>25</sup>
	0.000	0.01	09/18/20	0.01	...	0.00	2 of 4 - Sale <sup>25</sup>

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Account 138817788

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JD.COM, INC. AMERICAN DEPOSITARY SHARES / CUSIP: 47215P106 / Symbol: (cont'd)							
	0.000	0.01	09/18/20	0.01	...	0.00	3 of 4 - Sale <sup>25</sup>
	2.000	149.75	09/18/20	149.31	...	0.44	4 of 4 - Sale <sup>25</sup>
09/23/20	2.009	150.44	Various	150.00	...	0.44	Total of 4 transactions
JUST ENERGY GROUP INC. / CUSIP: 48213W101 / Symbol:							
15 transactions for 09/24/20. Total proceeds and cost reported to the IRS.							
	399.000	235.84	09/24/20	315.60	...	-79.76	1 of 15 - Sale <sup>25</sup>
	300.000	177.32	09/24/20	237.32	...	-60.00	2 of 15 - Sale <sup>25</sup>
	199.000	117.62	09/24/20	160.37	...	-42.75	3 of 15 - Sale <sup>25</sup>
	500.000	290.58	09/24/20	319.40	...	-28.82	4 of 15 - Sale <sup>25</sup>
	300.000	174.35	09/24/20	193.05	...	-18.70	5 of 15 - Sale <sup>25</sup>
	100.000	59.11	09/24/20	77.79	...	-18.68	6 of 15 - Sale <sup>25</sup>
	100.000	59.11	09/24/20	77.78	...	-18.67	7 of 15 - Sale <sup>25</sup>
	60.000	35.46	09/24/20	46.56	...	-11.10	8 of 15 - Sale <sup>25</sup>
	20.000	11.82	09/24/20	15.55	...	-3.73	9 of 15 - Sale <sup>25</sup>
	20.000	11.82	09/24/20	15.52	...	-3.70	10 of 15 - Sale <sup>25</sup>
	1.000	0.59	09/24/20	0.79	...	-0.20	11 of 15 - Sale <sup>25</sup>
	100.000	51.49	09/24/20	50.90	...	0.59	12 of 15 - Sale <sup>25</sup>
	400.000	205.95	09/24/20	203.60	...	2.35	13 of 15 - Sale <sup>25</sup>
	2,500.000	1,287.17	09/24/20	1,272.50	...	14.67	14 of 15 - Sale <sup>25</sup>
	2,000.000	1,297.93	09/24/20	1,187.60	...	110.33	15 of 15 - Sale <sup>25</sup>
09/24/20	6,999.000	4,016.16	Various	4,174.33	...	-158.17	Total of 15 transactions
16 transactions for 09/24/20. Total proceeds and cost reported to the IRS.							
	600.000	348.70	09/24/20	449.09	83.49 W	-16.90	1 of 16 - Sale <sup>25</sup>
	1,000.000	661.67	09/24/20	693.90	32.23 W	0.00	2 of 16 - Sale <sup>25</sup>
	60.000	39.70	09/24/20	43.88	4.18 W	0.00	3 of 16 - Sale <sup>25</sup>
	40.000	26.47	09/24/20	29.26	2.79 W	0.00	4 of 16 - Sale <sup>25</sup>
	100.000	66.17	09/24/20	73.14	6.97 W	0.00	5 of 16 - Sale <sup>25</sup>
	100.000	66.16	09/24/20	73.14	6.98 W	0.00	6 of 16 - Sale <sup>25</sup>
	1.000	0.62	09/24/20	0.70	0.08 W	0.00	7 of 16 - Sale <sup>25</sup>
	399.000	246.89	09/24/20	279.95	33.06 W	0.00	8 of 16 - Sale <sup>25</sup>
	600.000	371.25	09/24/20	420.98	49.73 W	0.00	9 of 16 - Sale <sup>25</sup>
	20.000	11.62	09/24/20	14.67	3.05 W	0.00	10 of 16 - Sale <sup>25</sup>
	60.000	34.87	09/24/20	44.01	9.14 W	0.00	11 of 16 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 138817788

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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JUST ENERGY GROUP INC. / CUSIP: 48213W101 / Symbol: (cont'd)							
	1.000	0.58	09/24/20	0.75	0.17 W	0.00	12 of 16 - Sale <sup>25</sup>
	20.000	11.62	09/24/20	14.70	3.08 W	0.00	13 of 16 - Sale <sup>25</sup>
	100.000	58.12	09/24/20	73.53	15.41 W	0.00	14 of 16 - Sale <sup>25</sup>
	100.000	58.12	09/24/20	73.54	15.42 W	0.00	15 of 16 - Sale <sup>25</sup>
	399.000	231.89	09/24/20	298.63	66.74 W	0.00	16 of 16 - Sale <sup>25</sup>
09/24/20	3,600.000	2,234.45	Various	2,583.87	332.52 W	-16.90	Total of 16 transactions
	<b>Security total:</b>	<b>6,250.61</b>		<b>6,758.20</b>	<b>332.52 W</b>	<b>-175.07</b>	
KENSINGTON CAPITAL ACQUISITION CORP. / CUSIP: 490073103 / Symbol:							
2 transactions for 09/22/20. Total proceeds and cost reported to the IRS.							
	1.000	19.02	09/21/20	18.28	...	0.74	1 of 2 - Sale <sup>25</sup>
	9.000	171.14	09/22/20	181.97	...	-10.83	2 of 2 - Sale <sup>25</sup>
09/22/20	10.000	190.16	Various	200.25	...	-10.09	Total of 2 transactions
LI AUTO INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 50202M102 / Symbol:							
08/27/20	20.000	424.10	08/25/20	360.00	...	64.10	Sale <sup>25</sup>
LANDCADIA HOLDINGS II, INC. CL ASS A COMMON STOCK / CUSIP: 51476X105 / Symbol:							
2 transactions for 09/22/20. Total proceeds and cost reported to the IRS.							
	10.000	177.70	09/21/20	183.30	...	-5.60	1 of 2 - Sale <sup>25</sup>
	0.911	16.19	09/21/20	16.70	...	-0.51	2 of 2 - Sale <sup>25</sup>
09/22/20	10.911	193.89	Various	200.00	...	-6.11	Total of 2 transactions
MAXAR TECHNOLOGIES INC. COMMON STOCK / CUSIP: 57778K105 / Symbol:							
09/17/20	6.000	151.26	09/16/20	158.54	5.31 W	-1.97	Sale <sup>25</sup>
3 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	2.000	54.41	09/18/20	60.97	...	-6.56	1 of 3 - Sale <sup>25</sup>
	0.562	15.30	09/18/20	17.14	...	-1.84	2 of 3 - Sale <sup>25</sup>
	1.814	49.38	09/22/20	52.20	...	-2.82	3 of 3 - Sale <sup>25</sup>
09/23/20	4.376	119.09	Various	130.31	...	-11.22	Total of 3 transactions
	<b>Security total:</b>	<b>270.35</b>		<b>288.85</b>	<b>5.31 W</b>	<b>-13.19</b>	

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Account 138817788

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
08/26/20	4.000	74.92	08/26/20	76.54	1.62 W	0.00	Sale <sup>25</sup>
09/10/20	2.000	35.38	08/26/20	38.27	2.89 W	0.00	Sale <sup>25</sup>
	3 transactions for 09/17/20. Total proceeds and cost reported to the IRS.						
	2.000	36.16	09/16/20	41.21	5.05 W	0.00	1 of 3 - Sale <sup>25</sup>
	4.000	72.32	09/16/20	78.25	5.93 W	0.00	2 of 3 - Sale <sup>25</sup>
	5.000	90.40	09/16/20	95.79	5.39 W	0.00	3 of 3 - Sale <sup>25</sup>
09/17/20	11.000	198.88	Various	215.25	16.37 W	0.00	Total of 3 transactions
	8 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	0.185	3.49	09/17/20	4.00	0.51 W	0.00	1 of 8 - Sale <sup>25</sup>
	0.408	7.68	09/17/20	8.82	1.14 W	0.00	2 of 8 - Sale <sup>25</sup>
	0.185	3.49	09/17/20	4.04	0.55 W	0.00	3 of 8 - Sale <sup>25</sup>
	1.407	26.54	09/17/20	30.43	3.89 W	0.00	4 of 8 - Sale <sup>25</sup>
	4.000	75.45	09/17/20	82.32	6.87 W	0.00	5 of 8 - Sale <sup>25</sup>
	5.000	94.31	09/17/20	100.88	6.57 W	0.00	6 of 8 - Sale <sup>25</sup>
	4.403	83.04	09/17/20	84.09	1.05 W	0.00	7 of 8 - Sale <sup>25</sup>
	10.592	200.22	09/17/20	202.30	2.08 W	0.00	8 of 8 - Sale <sup>25</sup>
09/23/20	26.180	494.22	Various	516.88	22.66 W	0.00	Total of 8 transactions
	15 transactions for 09/24/20. Total proceeds and cost reported to the IRS.						
	28.724	516.51	09/24/20	525.21	...	-8.70	1 of 15 - Sale <sup>25</sup>
	4.000	72.77	09/24/20	80.01	...	-7.24	2 of 15 - Sale <sup>25</sup>
	5.000	90.96	09/24/20	97.99	...	-7.03	3 of 15 - Sale <sup>25</sup>
	1.280	23.29	09/24/20	26.94	...	-3.65	4 of 15 - Sale <sup>25</sup>
	7.030	126.42	09/24/20	129.93	...	-3.51	5 of 15 - Sale <sup>25</sup>
	4.403	80.10	09/24/20	81.55	...	-1.45	6 of 15 - Sale <sup>25</sup>
	0.408	7.42	09/24/20	8.59	...	-1.17	7 of 15 - Sale <sup>25</sup>
	3.559	64.74	09/24/20	65.77	...	-1.03	8 of 15 - Sale <sup>25</sup>
	0.185	3.36	09/24/20	3.93	...	-0.57	9 of 15 - Sale <sup>25</sup>
	0.127	2.31	09/24/20	2.67	...	-0.36	10 of 15 - Sale <sup>25</sup>
	0.129	2.32	09/24/20	2.37	...	-0.05	11 of 15 - Sale <sup>25</sup>
	0.236	4.29	09/24/20	4.33	...	-0.04	12 of 15 - Sale <sup>25</sup>
	0.006	0.11	09/24/20	0.15	...	-0.04	13 of 15 - Sale <sup>25</sup>
	0.038	0.70	09/24/20	0.70	...	0.00	14 of 15 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	0.003	0.06	09/24/20	0.06	...	0.00	15 of 15 - Sale <sup>25</sup>
09/24/20	55.129	995.36	Various	1,030.20	...	-34.84	Total of 15 transactions
	11 transactions for 09/24/20. Total proceeds and cost reported to the IRS.						
	115.000	2,098.00	09/24/20	2,107.55	0.01 W	-9.54	1 of 11 - Sale <sup>25</sup>
	0.185	3.38	09/24/20	3.94	0.56 W	0.00	2 of 11 - Sale <sup>25</sup>
	0.408	7.45	09/24/20	8.61	1.16 W	0.00	3 of 11 - Sale <sup>25</sup>
	0.127	2.32	09/24/20	2.68	0.36 W	0.00	4 of 11 - Sale <sup>25</sup>
	1.280	23.40	09/24/20	27.00	3.60 W	0.00	5 of 11 - Sale <sup>25</sup>
	4.000	73.09	09/24/20	80.18	7.09 W	0.00	6 of 11 - Sale <sup>25</sup>
	5.000	91.36	09/24/20	98.20	6.84 W	0.00	7 of 11 - Sale <sup>25</sup>
	4.403	80.45	09/24/20	81.74	1.29 W	0.00	8 of 11 - Sale <sup>25</sup>
	10.592	193.54	09/24/20	196.20	2.66 W	0.00	9 of 11 - Sale <sup>25</sup>
	28.724	524.86	09/24/20	526.42	1.56 W	0.00	10 of 11 - Sale <sup>25</sup>
	0.006	0.07	09/24/20	0.11	0.04 W	0.00	11 of 11 - Sale <sup>25</sup>
09/24/20	169.726	3,097.92	Various	3,132.63	25.17 W	-9.54	Total of 11 transactions
	<b>Security total:</b>	<b>4,896.68</b>		<b>5,009.77</b>	<b>68.71 W</b>	<b>-44.38</b>	
NANO DIMENSION LTD. AMERICAN DEPOSITARY SHARES / CUSIP: 63008G203 / Symbol:							
	3 transactions for 09/24/20. Total proceeds and cost reported to the IRS.						
	174.000	272.25	09/24/20	349.74	...	-77.49	1 of 3 - Sale <sup>25</sup>
	126.000	197.14	09/24/20	253.26	...	-56.12	2 of 3 - Sale <sup>25</sup>
	50.000	78.23	09/24/20	102.00	...	-23.77	3 of 3 - Sale <sup>25</sup>
09/24/20	350.000	547.62	Various	705.00	...	-157.38	Total of 3 transactions
NET ELEMENT, INC. COMMON STOCK / CUSIP: 64111R300 / Symbol:							
	4 transactions for 09/24/20. Total proceeds and cost reported to the IRS.						
	27.000	287.87	09/24/20	483.97	...	-196.10	1 of 4 - Sale <sup>25</sup>
	20.000	213.24	09/24/20	311.19	...	-97.95	2 of 4 - Sale <sup>25</sup>
	3.000	31.99	09/24/20	53.68	...	-21.69	3 of 4 - Sale <sup>25</sup>
	10.000	106.62	09/24/20	116.30	...	-9.68	4 of 4 - Sale <sup>25</sup>
09/24/20	60.000	639.72	Various	965.14	...	-325.42	Total of 4 transactions

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Account 138817788

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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NET ELEMENT, INC. COMMON STOCK / CUSIP: 64111R300 / Symbol: (cont'd)							
<i>4 transactions for 09/24/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	37.44	09/24/20	49.14	11.70 W	0.00	1 of 4 - Sale <sup>25</sup>
	47.000	585.14	09/24/20	769.86	184.72 W	0.00	2 of 4 - Sale <sup>25</sup>
	3.000	37.91	09/24/20	56.70	18.79 W	0.00	3 of 4 - Sale <sup>25</sup>
	27.000	341.15	09/24/20	511.12	169.97 W	0.00	4 of 4 - Sale <sup>25</sup>
09/24/20	80.000	1,001.64	Various	1,386.82	385.18 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>1,641.36</b>		<b>2,351.96</b>	<b>385.18 W</b>	<b>-325.42</b>	
OVERSTOCK.COM, INC. COMMON STOCK / CUSIP: 690370101 / Symbol:							
09/09/20	5.000	335.13	09/09/20	338.95	2.29 W	-1.53	Sale <sup>25</sup>
09/17/20	3.000	207.36	09/16/20	228.75	...	-21.39	Sale <sup>25</sup>
	<b>Security total:</b>	<b>542.49</b>		<b>567.70</b>	<b>2.29 W</b>	<b>-22.92</b>	
PELTON INTERACTIVE, INC. CLASS A COMMON STOCK / CUSIP: 70614W100 / Symbol:							
09/17/20	3.000	237.15	09/16/20	252.33	15.18 W	0.00	Sale <sup>25</sup>
<i>3 transactions for 09/23/20. Total proceeds and cost reported to the IRS.</i>							
	0.629	61.46	09/17/20	55.20	...	6.26	1 of 3 - Sale <sup>25</sup>
	0.628	61.33	09/17/20	51.93	...	9.40	2 of 3 - Sale <sup>25</sup>
	2.371	231.53	09/17/20	208.05	...	23.48	3 of 3 - Sale <sup>25</sup>
09/23/20	3.628	354.32	Various	315.18	...	39.14	Total of 3 transactions
	<b>Security total:</b>	<b>591.47</b>		<b>567.51</b>	<b>15.18 W</b>	<b>39.14</b>	
PENN NATIONAL GAMING, INC. COMMON STOCK / CUSIP: 707569109 / Symbol:							
<i>2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.</i>							
	6.000	434.65	09/17/20	439.55	...	-4.90	1 of 2 - Sale <sup>25</sup>
	0.825	59.79	09/17/20	60.45	...	-0.66	2 of 2 - Sale <sup>25</sup>
09/18/20	6.825	494.44	Various	500.00	...	-5.56	Total of 2 transactions
PIVOTAL INVESTMENT CORPORATION II / CUSIP: 72582K100 / Symbol:							
<i>3 transactions for 09/23/20. Total proceeds and cost reported to the IRS.</i>							
	14.900	191.91	09/21/20	197.42	...	-5.51	1 of 3 - Sale <sup>25</sup>
	0.095	1.22	09/21/20	1.29	...	-0.07	2 of 3 - Sale <sup>25</sup>
	0.005	0.07	09/21/20	0.07	...	0.00	3 of 3 - Sale <sup>25</sup>
09/23/20	15.000	193.20	Various	198.78	...	-5.58	Total of 3 transactions

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Robinhood Securities LLC

Account 138817788

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PIVOTAL INVESTMENT CORPORATION II / CUSIP: 72582K100 / Symbol: (cont'd)							
09/23/20	0.095	1.22	09/21/20	1.25	0.03 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>194.42</b>		<b>200.03</b>	<b>0.03 W</b>	<b>-5.58</b>	
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
08/28/20	9.000	114.41	08/26/20	117.77	...	-3.36	Sale <sup>25</sup>
POLAR POWER, INC. COMMON STOCK / CUSIP: 73102V105 / Symbol:							
2 transactions for 09/24/20. Total proceeds and cost reported to the IRS.							
	100.000	473.24	09/24/20	595.42	...	-122.18	1 of 2 - Sale <sup>25</sup>
	100.000	473.23	09/24/20	518.33	...	-45.10	2 of 2 - Sale <sup>25</sup>
09/24/20	200.000	946.47	Various	1,113.75	...	-167.28	Total of 2 transactions
09/24/20	100.000	502.64	09/24/20	537.29	34.65 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,449.11</b>		<b>1,651.04</b>	<b>34.65 W</b>	<b>-167.28</b>	
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
09/24/20	4.132	743.12	09/24/20	748.00	...	-4.88	Sale <sup>25</sup>
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
09/22/20	5.830	153.75	09/21/20	150.00	...	3.75	Sale <sup>25</sup>
SNOWFLAKE INC. / CUSIP: 833445109 / Symbol:							
3 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	0.981	234.99	09/18/20	252.43	...	-17.44	1 of 3 - Sale <sup>25</sup>
	0.019	4.44	09/18/20	4.88	...	-0.44	2 of 3 - Sale <sup>25</sup>
	0.000	0.09	09/18/20	0.09	...	0.00	3 of 3 - Sale <sup>25</sup>
09/18/20	1.000	239.52	Various	257.40	...	-17.88	Total of 3 transactions
2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	224.15	09/17/20	236.00	11.85 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.019	4.45	09/18/20	4.77	0.32 W	0.00	2 of 2 - Sale <sup>25</sup>
09/18/20	1.019	228.60	Various	240.77	12.17 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>468.12</b>		<b>498.17</b>	<b>12.17 W</b>	<b>-17.88</b>	

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Account 138817788

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SORRENTO THERAPEUTICS, INC. C OMMON STOCK / CUSIP: 83587F202 / Symbol:							
3 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	8.715	86.65	09/22/20	94.03	...	-7.38	1 of 3 - Sale <sup>25</sup>
	0.269	2.67	09/22/20	3.12	...	-0.45	2 of 3 - Sale <sup>25</sup>
	0.017	0.16	09/22/20	0.18	...	-0.02	3 of 3 - Sale <sup>25</sup>
09/23/20	9.000	89.48	Various	97.33	...	-7.85	Total of 3 transactions
09/23/20	0.269	2.67	09/22/20	2.89	0.22 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>92.15</b>		<b>100.22</b>	<b>0.22 W</b>	<b>-7.85</b>	
SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol:							
09/17/20	11.000	174.79	09/16/20	188.43	13.64 W	0.00	Sale <sup>25</sup>
22 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	0.069	1.05	09/18/20	1.23	0.18 W	0.00	1 of 22 - Sale <sup>25</sup>
	0.011	0.17	09/18/20	0.19	0.02 W	0.00	2 of 22 - Sale <sup>25</sup>
	4.989	75.88	09/18/20	88.71	12.83 W	0.00	3 of 22 - Sale <sup>25</sup>
	4.000	60.80	09/18/20	71.12	10.32 W	0.00	4 of 22 - Sale <sup>25</sup>
	0.011	0.16	09/23/20	0.18	0.02 W	0.00	5 of 22 - Sale <sup>25</sup>
	0.069	1.01	09/23/20	1.22	0.21 W	0.00	6 of 22 - Sale <sup>25</sup>
	4.920	72.13	09/23/20	86.95	14.82 W	0.00	7 of 22 - Sale <sup>25</sup>
	4.000	58.64	09/23/20	70.72	12.08 W	0.00	8 of 22 - Sale <sup>25</sup>
	0.069	1.01	09/23/20	1.22	0.21 W	0.00	9 of 22 - Sale <sup>25</sup>
	0.931	13.65	09/23/20	15.21	1.56 W	0.00	10 of 22 - Sale <sup>25</sup>
	1.000	14.65	09/23/20	16.34	1.69 W	0.00	11 of 22 - Sale <sup>25</sup>
	9.000	131.85	09/23/20	135.90	4.05 W	0.00	12 of 22 - Sale <sup>25</sup>
	12.000	175.92	09/23/20	181.20	5.28 W	0.00	13 of 22 - Sale <sup>25</sup>
	0.011	0.16	09/23/20	0.18	0.02 W	0.00	14 of 22 - Sale <sup>25</sup>
	0.069	1.01	09/23/20	1.25	0.24 W	0.00	15 of 22 - Sale <sup>25</sup>
	2.920	42.81	09/23/20	52.89	10.08 W	0.00	16 of 22 - Sale <sup>25</sup>
	0.069	1.01	09/23/20	1.25	0.24 W	0.00	17 of 22 - Sale <sup>25</sup>
	4.000	58.60	09/23/20	72.48	13.88 W	0.00	18 of 22 - Sale <sup>25</sup>
	2.000	29.30	09/23/20	36.23	6.93 W	0.00	19 of 22 - Sale <sup>25</sup>
	0.931	13.64	09/23/20	15.62	1.98 W	0.00	20 of 22 - Sale <sup>25</sup>
	1.000	14.65	09/23/20	16.79	2.14 W	0.00	21 of 22 - Sale <sup>25</sup>
	2.000	29.30	09/23/20	31.10	1.80 W	0.00	22 of 22 - Sale <sup>25</sup>
09/23/20	54.069	797.40	Various	897.98	100.58 W	0.00	Total of 22 transactions

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Robinhood Securities LLC

Account 138817788

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol: (cont'd)							
17 transactions for 09/24/20. Total proceeds and cost reported to the IRS.							
	37.000	488.20	09/24/20	516.17	...	-27.97	1 of 17 - Sale <sup>25</sup>
	4.000	52.78	09/24/20	69.24	...	-16.46	2 of 17 - Sale <sup>25</sup>
	2.920	38.53	09/24/20	51.08	...	-12.55	3 of 17 - Sale <sup>25</sup>
	7.000	92.36	09/24/20	100.03	...	-7.67	4 of 17 - Sale <sup>25</sup>
	1.115	14.71	09/24/20	19.51	...	-4.80	5 of 17 - Sale <sup>25</sup>
	4.130	54.50	09/24/20	59.29	...	-4.79	6 of 17 - Sale <sup>25</sup>
	3.962	52.28	09/24/20	56.59	...	-4.31	7 of 17 - Sale <sup>25</sup>
	0.885	11.68	09/24/20	15.32	...	-3.64	8 of 17 - Sale <sup>25</sup>
	2.000	26.39	09/24/20	29.70	...	-3.31	9 of 17 - Sale <sup>25</sup>
	1.000	13.19	09/24/20	15.97	...	-2.78	10 of 17 - Sale <sup>25</sup>
	0.931	12.28	09/24/20	14.86	...	-2.58	11 of 17 - Sale <sup>25</sup>
	0.447	5.90	09/24/20	6.43	...	-0.53	12 of 17 - Sale <sup>25</sup>
	0.069	0.91	09/24/20	1.22	...	-0.31	13 of 17 - Sale <sup>25</sup>
	0.069	0.91	09/24/20	1.20	...	-0.29	14 of 17 - Sale <sup>25</sup>
	0.188	2.48	09/24/20	2.69	...	-0.21	15 of 17 - Sale <sup>25</sup>
	0.057	0.75	09/24/20	0.81	...	-0.06	16 of 17 - Sale <sup>25</sup>
	0.011	0.14	09/24/20	0.17	...	-0.03	17 of 17 - Sale <sup>25</sup>
09/24/20	65.784	867.99	Various	960.28	...	-92.29	Total of 17 transactions
25 transactions for 09/24/20. Total proceeds and cost reported to the IRS.							
	7.346	99.44	09/24/20	105.72	3.53 W	-2.75	1 of 25 - Sale <sup>25</sup>
	0.011	0.15	09/24/20	0.17	0.02 W	0.00	2 of 25 - Sale <sup>25</sup>
	0.069	0.92	09/24/20	1.18	0.26 W	0.00	3 of 25 - Sale <sup>25</sup>
	0.069	0.92	09/24/20	1.18	0.26 W	0.00	4 of 25 - Sale <sup>25</sup>
	2.000	26.70	09/24/20	34.13	7.43 W	0.00	5 of 25 - Sale <sup>25</sup>
	2.920	38.98	09/24/20	49.79	10.81 W	0.00	6 of 25 - Sale <sup>25</sup>
	4.000	53.40	09/24/20	68.28	14.88 W	0.00	7 of 25 - Sale <sup>25</sup>
	0.931	12.43	09/24/20	14.64	2.21 W	0.00	8 of 25 - Sale <sup>25</sup>
	1.000	13.35	09/24/20	15.74	2.39 W	0.00	9 of 25 - Sale <sup>25</sup>
	2.000	26.70	09/24/20	29.00	2.30 W	0.00	10 of 25 - Sale <sup>25</sup>
	7.000	93.45	09/24/20	98.35	4.90 W	0.00	11 of 25 - Sale <sup>25</sup>
	12.000	160.19	09/24/20	168.48	8.29 W	0.00	12 of 25 - Sale <sup>25</sup>
	37.000	493.92	09/24/20	503.20	9.28 W	0.00	13 of 25 - Sale <sup>25</sup>
	0.011	0.15	09/24/20	0.17	0.02 W	0.00	14 of 25 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol: (cont'd)							
	0.069	0.93	09/24/20	1.20	0.27 W	0.00	15 of 25 - Sale <sup>25</sup>
	0.069	0.93	09/24/20	1.20	0.27 W	0.00	16 of 25 - Sale <sup>25</sup>
	2.000	27.07	09/24/20	34.68	7.61 W	0.00	17 of 25 - Sale <sup>25</sup>
	2.920	39.52	09/24/20	50.60	11.08 W	0.00	18 of 25 - Sale <sup>25</sup>
	4.000	54.14	09/24/20	69.38	15.24 W	0.00	19 of 25 - Sale <sup>25</sup>
	0.931	12.60	09/24/20	14.89	2.29 W	0.00	20 of 25 - Sale <sup>25</sup>
	1.000	13.54	09/24/20	16.01	2.47 W	0.00	21 of 25 - Sale <sup>25</sup>
	3.962	53.62	09/24/20	56.72	3.10 W	0.00	22 of 25 - Sale <sup>25</sup>
	7.000	94.75	09/24/20	100.28	5.53 W	0.00	23 of 25 - Sale <sup>25</sup>
	0.057	0.76	09/24/20	0.81	0.05 W	0.00	24 of 25 - Sale <sup>25</sup>
	0.188	2.55	09/24/20	2.70	0.15 W	0.00	25 of 25 - Sale <sup>25</sup>
09/24/20	98.553	1,321.11	Various	1,438.50	114.64 W	-2.75	Total of 25 transactions
	<b>Security total:</b>	<b>3,161.29</b>		<b>3,485.19</b>	<b>228.86 W</b>	<b>-95.04</b>	
SUNWORKS, INC. COMMON STOCK / CUSIP: 86803X204 / Symbol:							
5 transactions for 09/24/20. Total proceeds and cost reported to the IRS.							
	84.000	481.39	09/24/20	551.22	...	-69.83	1 of 5 - Sale <sup>25</sup>
	16.000	91.69	09/24/20	104.99	...	-13.30	2 of 5 - Sale <sup>25</sup>
	45.000	349.65	09/24/20	340.20	...	9.45	3 of 5 - Sale <sup>25</sup>
	55.000	427.34	09/24/20	415.80	...	11.54	4 of 5 - Sale <sup>25</sup>
	100.000	713.98	09/24/20	691.61	...	22.37	5 of 5 - Sale <sup>25</sup>
09/24/20	300.000	2,064.05	Various	2,103.82	...	-39.77	Total of 5 transactions
09/24/20	100.000	743.97	09/24/20	834.18	90.21 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,808.02</b>		<b>2,938.00</b>	<b>90.21 W</b>	<b>-39.77</b>	
TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY LTD. / CUSIP: 874039100 / Symbol:							
09/17/20	2.000	162.28	09/16/20	168.88	...	-6.60	Sale <sup>25</sup>
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
09/01/20	1.000	479.48	08/31/20	466.21	...	13.27	Sale <sup>25</sup>
09/09/20	2.000	710.24	09/01/20	989.98	279.74 W	0.00	Sale <sup>25</sup>
2 transactions for 09/24/20. Total proceeds and cost reported to the IRS.							
	2.000	775.02	09/24/20	1,065.74	...	-290.72	1 of 2 - Sale <sup>25</sup>

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Account 138817788

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	1.000	387.51	09/24/20	393.00	...	-5.49	2 of 2 - Sale <sup>25</sup>
09/24/20	3.000	1,162.53	Various	1,458.74	...	-296.21	Total of 2 transactions
	<b>Security total:</b>	<b>2,352.25</b>		<b>2,914.93</b>	<b>279.74 W</b>	<b>-282.94</b>	
THE9 LIMITED AMERICAN DEPOSITO RY SHARES REPRESENTING THREE C LASS A ORDINARY SHARES / CUSIP: 88337K203 / Symbol:							
8 transactions for 09/24/20. Total proceeds and cost reported to the IRS.							
	200.000	152.53	09/24/20	189.04	...	-36.51	1 of 8 - Sale <sup>25</sup>
	250.000	190.66	09/24/20	225.26	...	-34.60	2 of 8 - Sale <sup>25</sup>
	108.000	82.37	09/24/20	102.09	...	-19.72	3 of 8 - Sale <sup>25</sup>
	100.000	76.27	09/24/20	94.53	...	-18.26	4 of 8 - Sale <sup>25</sup>
	100.000	76.27	09/24/20	90.10	...	-13.83	5 of 8 - Sale <sup>25</sup>
	92.000	70.16	09/24/20	82.97	...	-12.81	6 of 8 - Sale <sup>25</sup>
	50.000	38.13	09/24/20	47.26	...	-9.13	7 of 8 - Sale <sup>25</sup>
	34.000	25.94	09/24/20	32.13	...	-6.19	8 of 8 - Sale <sup>25</sup>
09/24/20	934.000	712.33	Various	863.38	...	-151.05	Total of 8 transactions
11 transactions for 09/24/20. Total proceeds and cost reported to the IRS.							
	100.000	90.52	09/24/20	107.70	5.84 W	-11.34	1 of 11 - Sale <sup>25</sup>
	100.000	90.60	09/24/20	96.30	5.70 W	0.00	2 of 11 - Sale <sup>25</sup>
	600.000	543.57	09/24/20	577.80	34.23 W	0.00	3 of 11 - Sale <sup>25</sup>
	200.000	181.20	09/24/20	192.60	11.40 W	0.00	4 of 11 - Sale <sup>25</sup>
	100.000	90.60	09/24/20	96.30	5.70 W	0.00	5 of 11 - Sale <sup>25</sup>
	100.000	90.60	09/24/20	107.70	17.10 W	0.00	6 of 11 - Sale <sup>25</sup>
	250.000	226.50	09/24/20	269.26	42.76 W	0.00	7 of 11 - Sale <sup>25</sup>
	200.000	181.04	09/24/20	215.41	34.37 W	0.00	8 of 11 - Sale <sup>25</sup>
	100.000	90.52	09/24/20	107.71	17.19 W	0.00	9 of 11 - Sale <sup>25</sup>
	200.000	181.04	09/24/20	215.40	34.36 W	0.00	10 of 11 - Sale <sup>25</sup>
	50.000	45.26	09/24/20	53.85	8.59 W	0.00	11 of 11 - Sale <sup>25</sup>
09/24/20	2,000.000	1,811.45	Various	2,040.03	217.24 W	-11.34	Total of 11 transactions
	<b>Security total:</b>	<b>2,523.78</b>		<b>2,903.41</b>	<b>217.24 W</b>	<b>-162.39</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 138817788

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TORTOISE ACQUISITION CORP. CLA SS A COMMON STOCK / CUSIP: 89154L100 / Symbol:							
08/27/20	6.000	218.16	08/25/20	229.44	11.28 W	0.00	Sale <sup>25</sup>
09/17/20	4.000	180.00	09/16/20	199.84	14.56 W	-5.28	Sale <sup>25</sup>
2 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	2.935	141.20	09/18/20	163.24	...	-22.04	1 of 2 - Sale <sup>25</sup>
	2.000	96.22	09/18/20	105.08	...	-8.86	2 of 2 - Sale <sup>25</sup>
09/23/20	4.935	237.42	Various	268.32	...	-30.90	Total of 2 transactions
<b>Security total:</b>		<b>635.58</b>		<b>697.60</b>	<b>25.84 W</b>	<b>-36.18</b>	
TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
3 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	2.648	119.80	09/23/20	120.00	...	-0.20	1 of 3 - Sale <sup>25</sup>
	1.000	45.24	09/23/20	45.32	...	-0.08	2 of 3 - Sale <sup>25</sup>
	0.103	4.67	09/23/20	4.68	...	-0.01	3 of 3 - Sale <sup>25</sup>
09/23/20	3.751	169.71	Various	170.00	...	-0.29	Total of 3 transactions
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
09/17/20	10.000	258.70	09/16/20	266.89	...	-8.19	Sale <sup>25</sup>
ZOOM VIDEO COMMUNICATIONS, INC. CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
09/23/20	1.000	496.57	09/23/20	521.29	...	-24.72	Sale <sup>25</sup>
SPI ENERGY CO., LTD. ORDINARY SHARES / CUSIP: G8651P110 / Symbol:							
2 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	10.000	322.99	09/23/20	181.89	...	141.10	1 of 2 - Sale <sup>25</sup>
	20.000	645.98	09/23/20	370.88	...	275.10	2 of 2 - Sale <sup>25</sup>
09/23/20	30.000	968.97	Various	552.77	...	416.20	Total of 2 transactions
FIVERR INTERNATIONAL LTD. / CUSIP: M4R82T106 / Symbol:							
09/23/20	0.704	93.43	09/22/20	92.00	...	1.43	Sale <sup>25</sup>
<b>Totals :</b>		<b>44,244.90</b>		<b>46,866.34</b>	<b>2,064.87 W</b>	<b>-556.57</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 138817788

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>7- Loss not allowed (X) also not reported (Z)</b>	<b>Gain or loss(-) &amp; Additional information</b>
PALATIN TECHNOLOGIES, INC. / CUSIP: 696077403 / Symbol:							
08/25/20	20.000	11.13	03/26/18	23.40	...	-12.27	Sale <sup>25</sup>
UNDER ARMOUR, INC. CLASS C COM MON STOCK, \$0.0003 1/3 PAR VAL UE / CUSIP: 904311206 / Symbol:							
09/10/20	1.000	9.45	01/16/18	12.48	...	-3.03	Sale <sup>25</sup>
ZYNGA INC. CLASS A COMMON STOC K / CUSIP: 98986T108 / Symbol:							
09/10/20	1.000	8.52	01/25/18	3.72	...	4.80	Sale <sup>25</sup>
<b>Totals :</b>		<b>29.10</b>		<b>39.60</b>		<b>-10.50</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 138817788

## Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

**LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part II, with Box F checked.

**Description of property**

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
GROUPON, INC. COMMON STOCK / CUSIP: 399473206 / Symbol:							
06/18/20	0.050	1.05	04/04/19	3.50	...	-2.45	Gain or loss
T-MOBILE US, INC. COMMON STOCK / CUSIP: 872590104 / Symbol:							
	2 transactions for 04/06/20						
	0.103	8.94	01/24/18	5.33	...	3.61	Gain or loss
	0.103	8.93	01/26/18	5.34	...	3.59	Gain or loss
04/06/20	0.205	17.87	Various	10.67	...	7.20	Total of 2 transactions
<b>Totals:</b>		<b>18.92</b>		<b>14.17</b>	...	<b>4.75</b>	

Robinhood Securities LLC

Account 138817788

**Detail for Dividends and Distributions**

2020

02/14/2021

*This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.*

*Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.*

*Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>State</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
TAIWAN SEMICONDUCTOR MANUFACTU	874039100		10/15/20	0.87	Qualified dividend	
RING COMPANY LTD.			10/15/20	-0.18	Foreign tax withheld-TW	
				<b>0.87</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.18</b>	<b>Total Foreign tax withheld</b>	
				<b>0.87</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.18</b>	<b>Total Foreign tax withheld</b>	

Robinhood Securities LLC

Account 138817788

## Foreign Income and Taxes Summary

2020

02/14/2021

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
TW	TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY LTD.	874039100	0.00	0.87		0.00	0.87	-0.18
<b>Total TAIWAN</b>			<b>0.00</b>	<b>0.87</b>		<b>0.00</b>	<b>0.87</b>	<b>-0.18</b>
<b>Grand Total</b>	<b>Total Foreign Source Income*</b>			<b>0.87</b>				
	<b>Qualified Foreign Source Income**</b>			<b>0.87</b>				
	<b>Foreign Tax</b>							<b>-0.18</b>

\* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

\*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 138817788

**Non-Reportable Actions**

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
SPRINT CORPORATION	85207U105	04/01/20	-2.00	0.00	Merger	

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

**Line 1b.** Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 2c.** Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Instructions for Recipient**

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Line 8.** This line should be left blank if a RIC reported the foreign tax shown in line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

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