

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 101769347	Statement Date: 03/22/2021 AMENDED Document ID: 81RH 38P HHW9	2020
	James Gunja 4175 MIRAMOUNT OVERLOOK Cumming, GA 30040 RECIPIENT'S TIN: XXX-XX-6299		

Summary Information

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	3.03		2- Royalties	0.00	
1b- Qualified dividends	3.03		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0715
3- Nondividend distributions	329.10		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
4- Federal income tax withheld	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	900,427.85	877,263.22	0.00	8,863.87	32,028.50
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	900,427.85	877,263.22	0.00	8,863.87	32,028.50
Long	D (basis reported to the IRS)	6,330.12	8,984.11	0.00	200.05	-2,453.94
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	6,330.12	8,984.11	0.00	200.05	-2,453.94
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	906,757.97	886,247.33	0.00	9,063.92	29,574.56

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 101769347

Summary Information

2020

(continued)

03/22/2021 AMENDED

INTEREST INCOME**2020 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	28.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

03/22/2021 AMENDED

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADP 03/20/2020 PUT \$113.00 / CUSIP: / Symbol:							
03/20/20	1.000	99.98	03/19/20	120.00	...	-20.02	Option sale to close-put ²⁵
AXNX 01/15/2021 CALL \$47.50 / CUSIP: / Symbol:							
12/22/20	1.000	379.98	12/18/20	190.00	...	189.98	Option sale to close-call ²⁵
MRNA 03/20/2020 CALL \$28.50 / CUSIP: / Symbol:							
03/20/20	1.000	0.00	03/17/20	280.00	...	-280.00	Option expiration- long call
ORCL 10/02/2020 PUT \$61.00 / CUSIP: / Symbol:							
	2 transactions for 10/02/20. Total proceeds and cost reported to the IRS.						
	1.000	169.99	09/15/20	202.00	...	-32.01	1 of 2 - Option sale to close-put ²⁵
	1.000	169.99	09/17/20	254.00	...	-84.01	2 of 2 - Option sale to close-put ²⁵
10/02/20	2.000	339.98	Various	456.00	...	-116.02	Total of 2 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PFE 10/23/2020 CALL \$36.00 / CUSIP: / Symbol:							
10/23/20	1.000	196.98	09/21/20	106.00	...	90.98	Option sale to close-call ²⁵
PLTR 12/24/2020 CALL \$28.50 / CUSIP: / Symbol:							
12/22/20	2.000	303.98	12/21/20	202.00	...	101.98	Option sale to close-call ²⁵
SQ 03/20/2020 CALL \$43.00 / CUSIP: / Symbol:							
03/20/20	1.000	0.00	03/17/20	310.00	...	-310.00	Option expiration- long call
TWTR 03/20/2020 CALL \$24.00 / CUSIP: / Symbol:							
03/20/20	2.000	0.00	03/17/20	354.00	...	-354.00	Option expiration- long call
WKHS 10/16/2020 PUT \$14.00 / CUSIP: / Symbol:							
09/15/20	1.000	54.98	08/14/20	295.00	...	-240.02	Option sale to close-put ²⁵
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
03/11/20	1.000	198.63	02/26/20	211.43	12.80 W	0.00	Sale ²⁵
	3 transactions for 03/24/20. Total proceeds and cost reported to the IRS.						
	1.000	185.97	03/24/20	196.74	...	-10.77	1 of 3 - Sale ²⁵
	1.000	185.97	03/24/20	183.94	...	2.03	2 of 3 - Sale ²⁵
	98.000	18,224.64	03/24/20	18,026.11	...	198.53	3 of 3 - Sale ²⁵
03/24/20	100.000	18,596.58	Various	18,406.79	...	189.79	Total of 3 transactions
	Security total:	18,795.21		18,618.22	12.80 W	189.79	
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
	2 transactions for 03/23/20. Total proceeds and cost reported to the IRS.						
	1.000	1,836.95	03/23/20	1,825.00	...	11.95	1 of 2 - Sale ²⁵
	11.000	20,206.55	03/23/20	20,075.00	...	131.55	2 of 2 - Sale ²⁵
03/23/20	12.000	22,043.50	Various	21,900.00	...	143.50	Total of 2 transactions
03/24/20	10.000	19,263.72	03/24/20	19,374.00	66.17 W	-44.11	Sale ²⁵
	4 transactions for 03/26/20. Total proceeds and cost reported to the IRS.						
	1.000	1,908.96	03/25/20	1,937.61	...	-28.65	1 of 4 - Sale ²⁵
	3.000	5,726.87	03/25/20	5,740.19	...	-13.32	2 of 4 - Sale ²⁵
	1.000	1,908.95	03/25/20	1,914.92	...	-5.97	3 of 4 - Sale ²⁵
	1.000	1,908.95	03/25/20	1,913.40	...	-4.45	4 of 4 - Sale ²⁵
03/26/20	6.000	11,453.73	Various	11,506.12	...	-52.39	Total of 4 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
Security total:		52,760.95		52,780.12	66.17 W	47.00	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
3 transactions for 03/11/20. Total proceeds and cost reported to the IRS.							
	1.000	276.77	03/05/20	295.00	18.23 W	0.00	1 of 3 - Sale ²⁵
	4.000	1,107.07	03/05/20	1,179.92	72.85 W	0.00	2 of 3 - Sale ²⁵
	10.000	2,767.66	03/10/20	2,770.80	3.14 W	0.00	3 of 3 - Sale ²⁵
03/11/20	15.000	4,151.50	Various	4,245.72	94.22 W	0.00	Total of 3 transactions
4 transactions for 03/23/20. Total proceeds and cost reported to the IRS.							
	4.000	893.42	03/23/20	964.85	...	-71.43	1 of 4 - Sale ²⁵
	1.000	223.35	03/23/20	241.23	...	-17.88	2 of 4 - Sale ²⁵
	10.000	2,233.55	03/23/20	2,233.14	...	0.41	3 of 4 - Sale ²⁵
	85.000	18,985.17	03/23/20	18,955.00	...	30.17	4 of 4 - Sale ²⁵
03/23/20	100.000	22,335.49	Various	22,394.22	...	-58.73	Total of 4 transactions
Security total:		26,486.99		26,639.94	94.22 W	-58.73	
APTEVO THERAPEUTICS INC. COMMON STOCK / CUSIP: 03835L207 / Symbol:							
12/10/20	47.000	1,972.73	12/03/20	2,233.91	...	-261.18	Sale ²⁵
BEYOND MEAT, INC. COMMON STOCK / CUSIP: 08862E109 / Symbol:							
5 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	1.000	75.11	03/25/20	73.67	...	1.44	1 of 5 - Sale ²⁵
	1.000	75.11	03/25/20	73.65	...	1.46	2 of 5 - Sale ²⁵
	1.000	75.10	03/25/20	73.57	...	1.53	3 of 5 - Sale ²⁵
	2.000	150.22	03/25/20	147.38	...	2.84	4 of 5 - Sale ²⁵
	10.000	751.08	03/25/20	735.30	...	15.78	5 of 5 - Sale ²⁵
03/25/20	15.000	1,126.62	Various	1,103.57	...	23.05	Total of 5 transactions
CARPARTS.COM, INC. COMMON STOCK / CUSIP: 14427M107 / Symbol:							
12/07/20	100.000	1,597.45	12/03/20	1,660.00	...	-62.55	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CELSIUS HOLDINGS, INC. COMMON STOCK / CUSIP: 15118V207 / Symbol:							
2 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	9.710	384.68	12/07/20	366.00	...	18.68	1 of 2 - Sale ²⁵
	50.000	1,980.94	12/08/20	1,845.50	...	135.44	2 of 2 - Sale ²⁵
12/16/20	59.710	2,365.62	Various	2,211.50	...	154.12	Total of 2 transactions
CO-DIAGNOSTICS, INC. COMMON STOCK / CUSIP: 189763105 / Symbol:							
27 transactions for 03/11/20. Total proceeds and cost reported to the IRS.							
	3.000	41.13	03/11/20	40.19	...	0.94	1 of 27 - Sale ²⁵
	9.000	123.39	03/11/20	120.96	...	2.43	2 of 27 - Sale ²⁵
	20.000	272.99	03/11/20	268.81	...	4.18	3 of 27 - Sale ²⁵
	20.000	272.99	03/11/20	268.80	...	4.19	4 of 27 - Sale ²⁵
	10.000	124.20	03/11/20	115.40	...	8.80	5 of 27 - Sale ²⁵
	71.000	969.12	03/11/20	950.72	...	18.40	6 of 27 - Sale ²⁵
	37.000	457.68	03/11/20	426.98	...	30.70	7 of 27 - Sale ²⁵
	54.000	667.97	03/11/20	623.16	...	44.81	8 of 27 - Sale ²⁵
	90.000	1,117.76	03/11/20	1,038.60	...	79.16	9 of 27 - Sale ²⁵
	100.000	1,236.98	03/11/20	1,154.00	...	82.98	10 of 27 - Sale ²⁵
	100.000	1,236.98	03/11/20	1,154.00	...	82.98	11 of 27 - Sale ²⁵
	67.000	875.66	03/11/20	791.27	...	84.39	12 of 27 - Sale ²⁵
	109.000	1,348.31	03/11/20	1,257.86	...	90.45	13 of 27 - Sale ²⁵
	100.000	1,304.96	03/11/20	1,181.00	...	123.96	14 of 27 - Sale ²⁵
	100.000	1,304.96	03/11/20	1,181.00	...	123.96	15 of 27 - Sale ²⁵
	100.000	1,304.96	03/11/20	1,181.00	...	123.96	16 of 27 - Sale ²⁵
	100.000	1,304.96	03/11/20	1,181.00	...	123.96	17 of 27 - Sale ²⁵
	100.000	1,304.96	03/11/20	1,181.00	...	123.96	18 of 27 - Sale ²⁵
	100.000	1,305.96	03/11/20	1,181.00	...	124.96	19 of 27 - Sale ²⁵
	100.000	1,306.96	03/11/20	1,181.00	...	125.96	20 of 27 - Sale ²⁵
	200.000	2,473.96	03/11/20	2,308.00	...	165.96	21 of 27 - Sale ²⁵
	880.000	12,011.62	03/11/20	11,827.49	...	184.13	22 of 27 - Sale ²⁵
	166.000	2,166.23	03/11/20	1,960.46	...	205.77	23 of 27 - Sale ²⁵
	300.000	3,710.94	03/11/20	3,462.00	...	248.94	24 of 27 - Sale ²⁵
	200.000	2,613.92	03/11/20	2,362.00	...	251.92	25 of 27 - Sale ²⁵
	300.000	3,914.87	03/11/20	3,543.00	...	371.87	26 of 27 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CO-DIAGNOSTICS, INC. COMMON STOCK / CUSIP: 189763105 / Symbol: (cont'd)							
03/11/20	567.000	7,399.11	03/11/20	6,696.27	...	702.84	27 of 27 - Sale ²⁵
	4,003.000	52,173.53	Various	48,636.97	...	3,536.56	Total of 27 transactions
	4 transactions for 03/11/20. Total proceeds and cost reported to the IRS.						
	20.000	273.00	03/11/20	274.00	1.00 W	0.00	1 of 4 - Sale ²⁵
	900.000	12,284.61	03/11/20	12,329.91	45.30 W	0.00	2 of 4 - Sale ²⁵
	71.000	972.66	03/11/20	972.69	0.03 W	0.00	3 of 4 - Sale ²⁵
	9.000	122.85	03/11/20	123.30	0.45 W	0.00	4 of 4 - Sale ²⁵
03/11/20	1,000.000	13,653.12	Various	13,699.90	46.78 W	0.00	Total of 4 transactions
	33 transactions for 03/16/20. Total proceeds and cost reported to the IRS.						
	862.000	7,361.21	03/11/20	11,645.53	...	-4,284.32	1 of 33 - Sale ²⁵
	200.000	1,707.94	03/11/20	2,701.98	...	-994.04	2 of 33 - Sale ²⁵
	63.000	538.00	03/11/20	1,159.17	...	-621.17	3 of 33 - Sale ²⁵
	23.000	196.41	03/11/20	423.18	...	-226.77	4 of 33 - Sale ²⁵
	14.000	119.56	03/11/20	257.59	...	-138.03	5 of 33 - Sale ²⁵
	10.000	85.40	03/11/20	182.34	...	-96.94	6 of 33 - Sale ²⁵
	10.000	85.40	03/11/20	181.77	...	-96.37	7 of 33 - Sale ²⁵
	10.000	85.40	03/11/20	181.28	...	-95.88	8 of 33 - Sale ²⁵
	10.000	85.40	03/11/20	179.79	...	-94.39	9 of 33 - Sale ²⁵
	10.000	85.40	03/11/20	177.19	...	-91.79	10 of 33 - Sale ²⁵
	10.000	85.40	03/11/20	175.63	...	-90.23	11 of 33 - Sale ²⁵
	10.000	85.40	03/11/20	174.70	...	-89.30	12 of 33 - Sale ²⁵
	9.000	76.86	03/11/20	163.53	...	-86.67	13 of 33 - Sale ²⁵
	7.000	59.78	03/11/20	127.19	...	-67.41	14 of 33 - Sale ²⁵
	10.000	85.40	03/11/20	135.10	...	-49.70	15 of 33 - Sale ²⁵
	3.000	25.62	03/11/20	54.51	...	-28.89	16 of 33 - Sale ²⁵
	1.000	8.54	03/11/20	18.17	...	-9.63	17 of 33 - Sale ²⁵
	149.000	1,272.41	03/12/20	2,848.91	...	-1,576.50	18 of 33 - Sale ²⁵
	100.000	853.97	03/12/20	1,912.03	...	-1,058.06	19 of 33 - Sale ²⁵
	100.000	853.96	03/12/20	1,912.02	...	-1,058.06	20 of 33 - Sale ²⁵
	100.000	853.96	03/12/20	1,912.02	...	-1,058.06	21 of 33 - Sale ²⁵
	73.000	623.40	03/12/20	1,395.77	...	-772.37	22 of 33 - Sale ²⁵
	51.000	435.52	03/12/20	975.13	...	-539.61	23 of 33 - Sale ²⁵
	49.000	418.44	03/12/20	936.89	...	-518.45	24 of 33 - Sale ²⁵
	37.000	315.97	03/12/20	707.45	...	-391.48	25 of 33 - Sale ²⁵

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Robinhood Securities LLC

Account 101769347

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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CO-DIAGNOSTICS, INC. COMMON ST OCK / CUSIP: 189763105 / Symbol: (cont'd)							
	26.000	222.03	03/12/20	497.13	...	-275.10	26 of 33 - Sale ²⁵
	22.000	187.87	03/12/20	415.15	...	-227.28	27 of 33 - Sale ²⁵
	10.000	85.40	03/12/20	191.20	...	-105.80	28 of 33 - Sale ²⁵
	10.000	85.40	03/12/20	183.68	...	-98.28	29 of 33 - Sale ²⁵
	4.000	34.16	03/12/20	76.48	...	-42.32	30 of 33 - Sale ²⁵
	3.000	25.62	03/12/20	55.85	...	-30.23	31 of 33 - Sale ²⁵
	1.000	8.54	03/12/20	19.12	...	-10.58	32 of 33 - Sale ²⁵
	1.000	8.54	03/12/20	17.47	...	-8.93	33 of 33 - Sale ²⁵
03/16/20	1,998.000	17,062.31	Various	31,994.95	...	-14,932.64	Total of 33 transactions
	14 transactions for 03/16/20. Total proceeds and cost reported to the IRS.						
	100.000	853.97	03/11/20	1,350.99	472.17 W	-24.85	1 of 14 - Sale ²⁵
	3.000	25.62	03/11/20	40.53	14.91 W	0.00	2 of 14 - Sale ²⁵
	23.000	196.42	03/11/20	310.73	114.31 W	0.00	3 of 14 - Sale ²⁵
	14.000	119.56	03/11/20	189.14	69.58 W	0.00	4 of 14 - Sale ²⁵
	100.000	853.97	03/11/20	1,350.99	497.02 W	0.00	5 of 14 - Sale ²⁵
	1.000	8.54	03/11/20	13.51	4.97 W	0.00	6 of 14 - Sale ²⁵
	200.000	1,707.94	03/11/20	2,701.98	994.04 W	0.00	7 of 14 - Sale ²⁵
	10.000	85.40	03/11/20	135.10	49.70 W	0.00	8 of 14 - Sale ²⁵
	100.000	853.97	03/11/20	1,350.99	497.02 W	0.00	9 of 14 - Sale ²⁵
	4.000	34.16	03/11/20	54.04	19.88 W	0.00	10 of 14 - Sale ²⁵
	100.000	853.97	03/11/20	1,350.99	497.02 W	0.00	11 of 14 - Sale ²⁵
	175.000	1,494.44	03/11/20	2,364.23	869.79 W	0.00	12 of 14 - Sale ²⁵
	1.000	8.54	03/11/20	13.51	4.97 W	0.00	13 of 14 - Sale ²⁵
	100.000	853.97	03/11/20	1,350.99	497.02 W	0.00	14 of 14 - Sale ²⁵
03/16/20	931.000	7,950.47	Various	12,577.72	4,602.40 W	-24.85	Total of 14 transactions
	Security total:	90,839.43		106,909.54	4,649.18 W	-11,420.93	
COSTCO WHOLESALE CORPORATION C OMMON STOCK / CUSIP: 22160K105 / Symbol:							
	2 transactions for 03/04/20. Total proceeds and cost reported to the IRS.						
	1.000	320.70	02/26/20	308.00	...	12.70	1 of 2 - Sale ²⁵
	2.000	641.38	02/26/20	616.00	...	25.38	2 of 2 - Sale ²⁵
03/04/20	3.000	962.08	Various	924.00	...	38.08	Total of 2 transactions

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(continued)

03/22/2021 AMENDED

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CROCS, INC. COMMON STOCK / CUSIP: 227046109 / Symbol:							
2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	1.000	63.34	12/03/20	63.12	...	0.22	1 of 2 - Sale ²⁵
	9.000	570.63	12/03/20	568.11	...	2.52	2 of 2 - Sale ²⁵
12/10/20	10.000	633.97	Various	631.23	...	2.74	Total of 2 transactions
CRYOPORT, INC. COMMON STOCK / CUSIP: 229050307 / Symbol:							
12/16/20	200.000	10,199.75	10/07/20	10,083.98	...	115.77	Sale ²⁵
DARLING INGREDIENTS INC. / CUSIP: 237266101 / Symbol:							
3 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	20.000	976.97	12/04/20	977.60	...	-0.63	1 of 3 - Sale ²⁵
	23.000	1,123.52	12/07/20	1,141.72	...	-18.20	2 of 3 - Sale ²⁵
	2.000	97.70	12/07/20	99.28	...	-1.58	3 of 3 - Sale ²⁵
12/08/20	45.000	2,198.19	Various	2,218.60	...	-20.41	Total of 3 transactions
DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol:							
03/11/20	1.000	78.38	02/26/20	85.34	...	-6.96	Sale ²⁵
EXANTAS CAPITAL CORP. COMMON S TOCK / CUSIP: 30068N105 / Symbol:							
12/10/20	5.000	19.73	11/19/20	15.00	...	4.73	Sale ²⁵
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
2 transactions for 03/11/20. Total proceeds and cost reported to the IRS.							
	4.000	689.66	02/24/20	803.80	...	-114.14	1 of 2 - Sale ²⁵
	1.000	172.41	02/24/20	201.00	...	-28.59	2 of 2 - Sale ²⁵
03/11/20	5.000	862.07	Various	1,004.80	...	-142.73	Total of 2 transactions
FREEPORT-MCMORAN INC. / CUSIP: 35671D857 / Symbol:							
3 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	1.000	25.00	12/03/20	24.38	...	0.62	1 of 3 - Sale ²⁵
	49.000	1,226.93	12/03/20	1,194.87	...	32.06	2 of 3 - Sale ²⁵
	50.000	1,251.97	12/03/20	1,219.25	...	32.72	3 of 3 - Sale ²⁵
12/10/20	100.000	2,503.90	Various	2,438.50	...	65.40	Total of 3 transactions

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INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
2 transactions for 03/16/20. Total proceeds and cost reported to the IRS.							
	100.000	579.98	03/12/20	1,120.99	...	-541.01	1 of 2 - Sale ²⁵
	100.000	579.97	03/12/20	1,100.00	...	-520.03	2 of 2 - Sale ²⁵
03/16/20	200.000	1,159.95	Various	2,220.99	...	-1,061.04	Total of 2 transactions
INVESCO MORTGAGE CAPITAL INC. / CUSIP: 46131B100 / Symbol:							
2 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	130.000	353.96	06/08/20	816.40	...	-462.44	1 of 2 - Sale ²⁵
	0.778	2.12	07/29/20	2.60	...	-0.48	2 of 2 - Sale ²⁵
09/14/20	130.778	356.08	Various	819.00	...	-462.92	Total of 2 transactions
IRIDIUM COMMUNICATIONS INC COM MON STOCK / CUSIP: 46269C102 / Symbol:							
2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	4.000	136.98	12/03/20	135.34	...	1.64	1 of 2 - Sale ²⁵
	26.000	890.35	12/03/20	879.71	...	10.64	2 of 2 - Sale ²⁵
12/10/20	30.000	1,027.33	Various	1,015.05	...	12.28	Total of 2 transactions
LAM RESEARCH CORPORATION COMMO N STOCK / CUSIP: 512807108 / Symbol:							
12/10/20	5.000	2,462.59	12/03/20	2,430.70	...	31.89	Sale ²⁵
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
12 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	83.000	102.91	03/25/20	99.60	...	3.31	1 of 12 - Sale ²⁵
	30.000	37.20	03/25/20	33.30	...	3.90	2 of 12 - Sale ²⁵
	200.000	247.97	03/25/20	240.00	...	7.97	3 of 12 - Sale ²⁵
	270.000	334.76	03/25/20	325.81	...	8.95	4 of 12 - Sale ²⁵
	400.000	495.94	03/25/20	480.00	...	15.94	5 of 12 - Sale ²⁵
	500.000	619.92	03/25/20	600.00	...	19.92	6 of 12 - Sale ²⁵
	700.000	867.90	03/25/20	840.00	...	27.90	7 of 12 - Sale ²⁵
	959.000	1,189.02	03/25/20	1,150.80	...	38.22	8 of 12 - Sale ²⁵
	1,716.000	2,127.58	03/25/20	2,059.20	...	68.38	9 of 12 - Sale ²⁵
	2,433.000	3,016.56	03/25/20	2,919.60	...	96.96	10 of 12 - Sale ²⁵
	3,009.000	3,730.71	03/25/20	3,610.80	...	119.91	11 of 12 - Sale ²⁵

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2020 1099-B* OMB No. 1545-0715

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MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol: (cont'd)							
03/25/20	6,119.000	7,892.59	03/25/20	7,771.13	...	121.46	12 of 12 - Sale ²⁵
	16,419.000	20,663.06	Various	20,130.24	...	532.82	Total of 12 transactions
	135 transactions for 03/25/20. Total proceeds and cost reported to the IRS.						
	330.000	412.46	03/25/20	429.00	13.03 W	-3.51	1 of 135 - Sale ²⁵ C
	1,000.000	1,249.85	03/25/20	1,300.00	50.15 W	0.00	2 of 135 - Sale ²⁵ C
	2.000	2.50	03/25/20	2.60	0.10 W	0.00	3 of 135 - Sale ²⁵ C
	100.000	124.99	03/25/20	130.00	5.01 W	0.00	4 of 135 - Sale ²⁵ C
	400.000	499.95	03/25/20	520.00	20.05 W	0.00	5 of 135 - Sale ²⁵ C
	168.000	209.98	03/25/20	218.40	8.42 W	0.00	6 of 135 - Sale ²⁵ C
	32.000	40.00	03/25/20	43.20	3.20 W	0.00	7 of 135 - Sale ²⁵ C
	38.000	47.50	03/25/20	51.31	3.81 W	0.00	8 of 135 - Sale ²⁵ C
	230.000	287.47	03/25/20	310.53	23.06 W	0.00	9 of 135 - Sale ²⁵ C
	2.000	2.50	03/25/20	2.70	0.20 W	0.00	10 of 135 - Sale ²⁵ C
	30.000	37.50	03/25/20	40.50	3.00 W	0.00	11 of 135 - Sale ²⁵ C
	10.000	12.50	03/25/20	13.50	1.00 W	0.00	12 of 135 - Sale ²⁵ C
	80.000	99.99	03/25/20	108.01	8.02 W	0.00	13 of 135 - Sale ²⁵ C
	100.000	124.99	03/25/20	135.02	10.03 W	0.00	14 of 135 - Sale ²⁵ C
	179.000	223.73	03/25/20	241.68	17.95 W	0.00	15 of 135 - Sale ²⁵ C
	100.000	124.99	03/25/20	135.02	10.03 W	0.00	16 of 135 - Sale ²⁵ C
	100.000	124.99	03/25/20	135.01	10.02 W	0.00	17 of 135 - Sale ²⁵ C
	300.000	374.96	03/25/20	405.05	30.09 W	0.00	18 of 135 - Sale ²⁵ C
	2.000	2.50	03/25/20	2.70	0.20 W	0.00	19 of 135 - Sale ²⁵ C
	100.000	124.99	03/25/20	135.01	10.02 W	0.00	20 of 135 - Sale ²⁵ C
	198.000	247.47	03/25/20	267.32	19.85 W	0.00	21 of 135 - Sale ²⁵ C
	145.000	181.24	03/25/20	195.77	14.53 W	0.00	22 of 135 - Sale ²⁵ C
	76.000	98.03	03/25/20	104.13	6.10 W	0.00	23 of 135 - Sale ²⁵ C
	70.000	90.29	03/25/20	91.01	0.72 W	0.00	24 of 135 - Sale ²⁵ C
	70.000	87.49	03/25/20	91.00	3.51 W	0.00	25 of 135 - Sale ²⁵ C
	100.000	124.99	03/25/20	130.00	5.01 W	0.00	26 of 135 - Sale ²⁵ C
	30.000	37.50	03/25/20	40.50	3.00 W	0.00	27 of 135 - Sale ²⁵ C
	100.000	124.98	03/25/20	135.01	10.03 W	0.00	28 of 135 - Sale ²⁵ C
	2.000	2.50	03/25/20	2.70	0.20 W	0.00	29 of 135 - Sale ²⁵ C
	97.000	121.24	03/25/20	130.96	9.72 W	0.00	30 of 135 - Sale ²⁵ C
	20.000	25.00	03/25/20	27.00	2.00 W	0.00	31 of 135 - Sale ²⁵ C

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MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol: (cont'd)							
10.000		12.50	03/25/20	13.50	1.00 W	0.00	32 of 135 - Sale ²⁵
5.000		6.25	03/25/20	6.75	0.50 W	0.00	33 of 135 - Sale ²⁵
2.000		2.50	03/25/20	2.80	0.30 W	0.00	34 of 135 - Sale ²⁵
2.000		2.50	03/25/20	2.80	0.30 W	0.00	35 of 135 - Sale ²⁵
2.000		2.50	03/25/20	2.80	0.30 W	0.00	36 of 135 - Sale ²⁵
10.000		12.50	03/25/20	14.00	1.50 W	0.00	37 of 135 - Sale ²⁵
22.000		27.50	03/25/20	29.71	2.21 W	0.00	38 of 135 - Sale ²⁵
30.000		37.50	03/25/20	42.00	4.50 W	0.00	39 of 135 - Sale ²⁵
30.000		37.50	03/25/20	42.00	4.50 W	0.00	40 of 135 - Sale ²⁵
32.000		40.00	03/25/20	44.80	4.80 W	0.00	41 of 135 - Sale ²⁵
38.000		47.50	03/25/20	53.21	5.71 W	0.00	42 of 135 - Sale ²⁵
80.000		100.00	03/25/20	112.02	12.02 W	0.00	43 of 135 - Sale ²⁵
97.000		121.25	03/25/20	135.82	14.57 W	0.00	44 of 135 - Sale ²⁵
100.000		125.00	03/25/20	140.03	15.03 W	0.00	45 of 135 - Sale ²⁵
100.000		124.99	03/25/20	140.03	15.04 W	0.00	46 of 135 - Sale ²⁵
100.000		124.99	03/25/20	140.03	15.04 W	0.00	47 of 135 - Sale ²⁵
100.000		125.00	03/25/20	140.02	15.02 W	0.00	48 of 135 - Sale ²⁵
100.000		124.99	03/25/20	140.02	15.03 W	0.00	49 of 135 - Sale ²⁵
168.000		209.99	03/25/20	226.82	16.83 W	0.00	50 of 135 - Sale ²⁵
179.000		223.74	03/25/20	250.65	26.91 W	0.00	51 of 135 - Sale ²⁵
198.000		247.49	03/25/20	277.25	29.76 W	0.00	52 of 135 - Sale ²⁵
230.000		287.49	03/25/20	322.06	34.57 W	0.00	53 of 135 - Sale ²⁵
300.000		374.98	03/25/20	420.09	45.11 W	0.00	54 of 135 - Sale ²⁵
580.000		724.97	03/25/20	754.00	29.03 W	0.00	55 of 135 - Sale ²⁵
763.000		953.71	03/25/20	991.90	38.19 W	0.00	56 of 135 - Sale ²⁵
1,138.000		1,422.45	03/25/20	1,479.40	56.95 W	0.00	57 of 135 - Sale ²⁵
2.000		2.50	03/25/20	2.90	0.40 W	0.00	58 of 135 - Sale ²⁵
2.000		2.50	03/25/20	2.90	0.40 W	0.00	59 of 135 - Sale ²⁵
2.000		2.50	03/25/20	2.90	0.40 W	0.00	60 of 135 - Sale ²⁵
5.000		6.25	03/25/20	7.00	0.75 W	0.00	61 of 135 - Sale ²⁵
10.000		12.50	03/25/20	14.00	1.50 W	0.00	62 of 135 - Sale ²⁵
10.000		12.50	03/25/20	14.50	2.00 W	0.00	63 of 135 - Sale ²⁵
20.000		25.00	03/25/20	28.00	3.00 W	0.00	64 of 135 - Sale ²⁵
22.000		27.50	03/25/20	30.81	3.31 W	0.00	65 of 135 - Sale ²⁵

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Robinhood Securities LLC

Account 101769347

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol: (cont'd)							
	27.000	33.75	03/25/20	39.16	5.41 W	0.00	66 of 135 - Sale ²⁵
	30.000	37.50	03/25/20	43.50	6.00 W	0.00	67 of 135 - Sale ²⁵
	30.000	37.50	03/25/20	43.50	6.00 W	0.00	68 of 135 - Sale ²⁵
	32.000	40.00	03/25/20	46.40	6.40 W	0.00	69 of 135 - Sale ²⁵
	38.000	47.50	03/25/20	55.11	7.61 W	0.00	70 of 135 - Sale ²⁵
	80.000	100.00	03/25/20	116.02	16.02 W	0.00	71 of 135 - Sale ²⁵
	97.000	121.25	03/25/20	140.67	19.42 W	0.00	72 of 135 - Sale ²⁵
	100.000	125.00	03/25/20	145.03	20.03 W	0.00	73 of 135 - Sale ²⁵
	100.000	124.99	03/25/20	145.04	20.05 W	0.00	74 of 135 - Sale ²⁵
	100.000	124.99	03/25/20	145.04	20.05 W	0.00	75 of 135 - Sale ²⁵
	100.000	125.00	03/25/20	145.02	20.02 W	0.00	76 of 135 - Sale ²⁵
	145.000	181.24	03/25/20	203.03	21.79 W	0.00	77 of 135 - Sale ²⁵
	168.000	209.99	03/25/20	235.23	25.24 W	0.00	78 of 135 - Sale ²⁵
	179.000	223.74	03/25/20	259.61	35.87 W	0.00	79 of 135 - Sale ²⁵
	230.000	287.49	03/25/20	333.57	46.08 W	0.00	80 of 135 - Sale ²⁵
	300.000	374.98	03/25/20	435.11	60.13 W	0.00	81 of 135 - Sale ²⁵
	40.000	50.00	03/25/20	58.01	8.01 W	0.00	82 of 135 - Sale ²⁵
	1.000	1.25	03/25/20	1.45	0.20 W	0.00	83 of 135 - Sale ²⁵
	32.000	40.00	03/25/20	46.41	6.41 W	0.00	84 of 135 - Sale ²⁵
	198.000	247.49	03/25/20	287.16	39.67 W	0.00	85 of 135 - Sale ²⁵
	370.000	462.48	03/25/20	499.52	37.04 W	0.00	86 of 135 - Sale ²⁵
	11.000	13.75	03/25/20	14.85	1.10 W	0.00	87 of 135 - Sale ²⁵
	100.000	124.99	03/25/20	135.01	10.02 W	0.00	88 of 135 - Sale ²⁵
	76.000	94.99	03/25/20	102.60	7.61 W	0.00	89 of 135 - Sale ²⁵
	99.000	123.74	03/25/20	133.65	9.91 W	0.00	90 of 135 - Sale ²⁵
	763.000	953.72	03/25/20	1,030.09	76.37 W	0.00	91 of 135 - Sale ²⁵
	65.000	83.84	03/25/20	85.80	1.96 W	0.00	92 of 135 - Sale ²⁵
	100.000	128.99	03/25/20	132.01	3.02 W	0.00	93 of 135 - Sale ²⁵
	65.000	83.84	03/25/20	85.80	1.96 W	0.00	94 of 135 - Sale ²⁵
	500.000	644.93	03/25/20	660.02	15.09 W	0.00	95 of 135 - Sale ²⁵
	5.000	6.45	03/25/20	7.10	0.65 W	0.00	96 of 135 - Sale ²⁵
	10.000	12.90	03/25/20	14.20	1.30 W	0.00	97 of 135 - Sale ²⁵
	16.000	20.64	03/25/20	22.72	2.08 W	0.00	98 of 135 - Sale ²⁵
	20.000	25.80	03/25/20	28.40	2.60 W	0.00	99 of 135 - Sale ²⁵

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Robinhood Securities LLC

Account 101769347

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol: (cont'd)							
	74.000	96.93	03/25/20	96.96	0.03 W	0.00	134 of 135 - Sale ²⁵
	430.000	563.23	03/25/20	563.38	0.15 W	0.00	135 of 135 - Sale ²⁵
03/25/20	17,385.000	21,955.07	Various	23,712.44	1,753.86 W	-3.51	Total of 135 transactions
	179 transactions for 03/26/20. Total proceeds and cost reported to the IRS.						
	1.000	2.24	03/25/20	1.48	...	0.76	1 of 179 - Sale ²⁵
	2.000	4.48	03/25/20	2.96	...	1.52	2 of 179 - Sale ²⁵
	2.000	4.48	03/25/20	2.96	...	1.52	3 of 179 - Sale ²⁵
	2.000	4.48	03/25/20	2.96	...	1.52	4 of 179 - Sale ²⁵
	5.000	11.20	03/25/20	7.15	...	4.05	5 of 179 - Sale ²⁵
	4.000	8.96	03/25/20	4.76	...	4.20	6 of 179 - Sale ²⁵
	7.000	15.68	03/25/20	8.33	...	7.35	7 of 179 - Sale ²⁵
	10.000	22.40	03/25/20	14.80	...	7.60	8 of 179 - Sale ²⁵
	10.000	22.40	03/25/20	14.30	...	8.10	9 of 179 - Sale ²⁵
	11.000	24.64	03/25/20	15.18	...	9.46	10 of 179 - Sale ²⁵
	16.000	35.84	03/25/20	22.88	...	12.96	11 of 179 - Sale ²⁵
	20.000	44.80	03/25/20	28.60	...	16.20	12 of 179 - Sale ²⁵
	22.000	49.28	03/25/20	31.47	...	17.81	13 of 179 - Sale ²⁵
	27.000	60.48	03/25/20	39.97	...	20.51	14 of 179 - Sale ²⁵
	30.000	67.19	03/25/20	44.40	...	22.79	15 of 179 - Sale ²⁵
	30.000	67.19	03/25/20	44.40	...	22.79	16 of 179 - Sale ²⁵
	32.000	71.67	03/25/20	47.37	...	24.30	17 of 179 - Sale ²⁵
	32.000	71.67	03/25/20	47.36	...	24.31	18 of 179 - Sale ²⁵
	38.000	85.11	03/25/20	56.26	...	28.85	19 of 179 - Sale ²⁵
	40.000	89.59	03/25/20	59.22	...	30.37	20 of 179 - Sale ²⁵
	65.000	145.59	03/25/20	85.17	...	60.42	21 of 179 - Sale ²⁵
	65.000	145.59	03/25/20	85.17	...	60.42	22 of 179 - Sale ²⁵
	80.000	179.19	03/25/20	118.43	...	60.76	23 of 179 - Sale ²⁵
	70.000	156.79	03/25/20	91.72	...	65.07	24 of 179 - Sale ²⁵
	76.000	170.23	03/25/20	104.90	...	65.33	25 of 179 - Sale ²⁵
	74.000	165.75	03/25/20	96.23	...	69.52	26 of 179 - Sale ²⁵
	97.000	217.26	03/25/20	143.59	...	73.67	27 of 179 - Sale ²⁵
	100.000	223.98	03/25/20	148.07	...	75.91	28 of 179 - Sale ²⁵
	100.000	223.98	03/25/20	148.06	...	75.92	29 of 179 - Sale ²⁵
	100.000	223.98	03/25/20	148.05	...	75.93	30 of 179 - Sale ²⁵

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Robinhood Securities LLC

Account 101769347

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol: (cont'd)							
	100.000	223.98	03/25/20	148.04	...	75.94	31 of 179 - Sale ²⁵
	99.000	221.74	03/25/20	136.64	...	85.10	32 of 179 - Sale ²⁵
	100.000	223.98	03/25/20	138.04	...	85.94	33 of 179 - Sale ²⁵
	100.000	223.98	03/25/20	131.04	...	92.94	34 of 179 - Sale ²⁵
	145.000	324.78	03/25/20	207.41	...	117.37	35 of 179 - Sale ²⁵
	152.000	340.45	03/25/20	217.42	...	123.03	36 of 179 - Sale ²⁵
	179.000	400.93	03/25/20	265.02	...	135.91	37 of 179 - Sale ²⁵
	198.000	443.49	03/25/20	293.14	...	150.35	38 of 179 - Sale ²⁵
	230.000	515.16	03/25/20	340.51	...	174.65	39 of 179 - Sale ²⁵
	300.000	671.95	03/25/20	444.17	...	227.78	40 of 179 - Sale ²⁵
	258.000	577.88	03/25/20	343.19	...	234.69	41 of 179 - Sale ²⁵
	300.000	671.95	03/25/20	384.00	...	287.95	42 of 179 - Sale ²⁵
	365.000	817.54	03/25/20	503.79	...	313.75	43 of 179 - Sale ²⁵
	370.000	828.74	03/25/20	510.70	...	318.04	44 of 179 - Sale ²⁵
	398.000	891.46	03/25/20	549.34	...	342.12	45 of 179 - Sale ²⁵
	430.000	963.13	03/25/20	559.15	...	403.98	46 of 179 - Sale ²⁵
	6,419.000	14,377.46	03/25/20	8,344.70	...	6,032.76	47 of 179 - Sale ²⁵
	1.000	2.25	03/26/20	2.23	...	0.02	48 of 179 - Sale ²⁵
	1.000	2.40	03/26/20	2.35	...	0.05	49 of 179 - Sale ²⁵
	10.000	22.50	03/26/20	22.40	...	0.10	50 of 179 - Sale ²⁵
	2.000	5.36	03/26/20	5.26	...	0.10	51 of 179 - Sale ²⁵
	15.000	33.75	03/26/20	33.60	...	0.15	52 of 179 - Sale ²⁵
	2.000	4.74	03/26/20	4.50	...	0.24	53 of 179 - Sale ²⁵
	6.000	16.08	03/26/20	15.78	...	0.30	54 of 179 - Sale ²⁵
	20.000	45.00	03/26/20	44.60	...	0.40	55 of 179 - Sale ²⁵
	9.000	24.12	03/26/20	23.67	...	0.45	56 of 179 - Sale ²⁵
	24.000	54.00	03/26/20	53.54	...	0.46	57 of 179 - Sale ²⁵
	10.000	26.80	03/26/20	26.30	...	0.50	58 of 179 - Sale ²⁵
	2.000	5.60	03/26/20	5.10	...	0.50	59 of 179 - Sale ²⁵
	5.000	11.87	03/26/20	11.30	...	0.57	60 of 179 - Sale ²⁵
	13.000	34.84	03/26/20	34.19	...	0.65	61 of 179 - Sale ²⁵
	3.000	8.40	03/26/20	7.65	...	0.75	62 of 179 - Sale ²⁵
	19.000	50.92	03/26/20	49.97	...	0.95	63 of 179 - Sale ²⁵
	19.000	50.92	03/26/20	49.97	...	0.95	64 of 179 - Sale ²⁵

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Account 101769347

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol: (cont'd)							
	25.000	60.00	03/26/20	58.75	...	1.25	65 of 179 - Sale ²⁵
	35.000	93.80	03/26/20	92.05	...	1.75	66 of 179 - Sale ²⁵
	100.000	224.99	03/26/20	223.00	...	1.99	67 of 179 - Sale ²⁵
	100.000	224.99	03/26/20	223.00	...	1.99	68 of 179 - Sale ²⁵
	100.000	224.99	03/26/20	223.00	...	1.99	69 of 179 - Sale ²⁵
	40.000	107.20	03/26/20	105.20	...	2.00	70 of 179 - Sale ²⁵
	42.000	112.56	03/26/20	110.46	...	2.10	71 of 179 - Sale ²⁵
	45.000	120.60	03/26/20	118.35	...	2.25	72 of 179 - Sale ²⁵
	125.000	281.23	03/26/20	278.75	...	2.48	73 of 179 - Sale ²⁵
	100.000	225.49	03/26/20	223.00	...	2.49	74 of 179 - Sale ²⁵
	50.000	134.00	03/26/20	131.50	...	2.50	75 of 179 - Sale ²⁵
	100.000	225.99	03/26/20	223.00	...	2.99	76 of 179 - Sale ²⁵
	28.000	72.52	03/26/20	69.44	...	3.08	77 of 179 - Sale ²⁵
	100.000	239.98	03/26/20	236.53	...	3.45	78 of 179 - Sale ²⁵
	100.000	239.98	03/26/20	236.53	...	3.45	79 of 179 - Sale ²⁵
	176.000	395.98	03/26/20	392.48	...	3.50	80 of 179 - Sale ²⁵
	100.000	239.98	03/26/20	236.36	...	3.62	81 of 179 - Sale ²⁵
	200.000	449.96	03/26/20	446.00	...	3.96	82 of 179 - Sale ²⁵
	200.000	449.98	03/26/20	446.00	...	3.98	83 of 179 - Sale ²⁵
	75.000	176.99	03/26/20	172.20	...	4.79	84 of 179 - Sale ²⁵
	97.000	232.79	03/26/20	227.95	...	4.84	85 of 179 - Sale ²⁵
	200.000	450.98	03/26/20	446.00	...	4.98	86 of 179 - Sale ²⁵
	200.000	450.98	03/26/20	446.00	...	4.98	87 of 179 - Sale ²⁵
	200.000	450.98	03/26/20	446.00	...	4.98	88 of 179 - Sale ²⁵
	200.000	450.98	03/26/20	446.00	...	4.98	89 of 179 - Sale ²⁵
	100.000	267.99	03/26/20	263.00	...	4.99	90 of 179 - Sale ²⁵
	100.000	267.99	03/26/20	263.00	...	4.99	91 of 179 - Sale ²⁵
	100.000	267.99	03/26/20	263.00	...	4.99	92 of 179 - Sale ²⁵
	100.000	258.99	03/26/20	253.99	...	5.00	93 of 179 - Sale ²⁵
	40.000	97.59	03/26/20	92.00	...	5.59	94 of 179 - Sale ²⁵
	97.000	232.78	03/26/20	226.97	...	5.81	95 of 179 - Sale ²⁵
	300.000	674.95	03/26/20	669.00	...	5.95	96 of 179 - Sale ²⁵
	100.000	235.98	03/26/20	230.00	...	5.98	97 of 179 - Sale ²⁵
	100.000	235.98	03/26/20	230.00	...	5.98	98 of 179 - Sale ²⁵

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(continued)

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MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol: (cont'd)							
	100.000	235.98	03/26/20	230.00	...	5.98	99 of 179 - Sale ²⁵
	100.000	235.98	03/26/20	230.00	...	5.98	100 of 179 - Sale ²⁵
	100.000	235.98	03/26/20	230.00	...	5.98	101 of 179 - Sale ²⁵
	100.000	235.98	03/26/20	230.00	...	5.98	102 of 179 - Sale ²⁵
	100.000	235.98	03/26/20	230.00	...	5.98	103 of 179 - Sale ²⁵
	100.000	235.98	03/26/20	230.00	...	5.98	104 of 179 - Sale ²⁵
	100.000	235.98	03/26/20	230.00	...	5.98	105 of 179 - Sale ²⁵
	100.000	235.98	03/26/20	230.00	...	5.98	106 of 179 - Sale ²⁵
	100.000	235.98	03/26/20	230.00	...	5.98	107 of 179 - Sale ²⁵
	100.000	235.98	03/26/20	230.00	...	5.98	108 of 179 - Sale ²⁵
	100.000	235.98	03/26/20	230.00	...	5.98	109 of 179 - Sale ²⁵
	100.000	235.98	03/26/20	230.00	...	5.98	110 of 179 - Sale ²⁵
	100.000	235.98	03/26/20	230.00	...	5.98	111 of 179 - Sale ²⁵
	100.000	235.98	03/26/20	230.00	...	5.98	112 of 179 - Sale ²⁵
	100.000	235.98	03/26/20	230.00	...	5.98	113 of 179 - Sale ²⁵
	100.000	235.99	03/26/20	230.00	...	5.99	114 of 179 - Sale ²⁵
	103.000	247.19	03/26/20	241.01	...	6.18	115 of 179 - Sale ²⁵
	52.000	126.87	03/26/20	119.60	...	7.27	116 of 179 - Sale ²⁵
	369.000	830.19	03/26/20	822.87	...	7.32	117 of 179 - Sale ²⁵
	72.000	186.48	03/26/20	178.56	...	7.92	118 of 179 - Sale ²⁵
	400.000	899.93	03/26/20	892.00	...	7.93	119 of 179 - Sale ²⁵
	200.000	479.96	03/26/20	472.00	...	7.96	120 of 179 - Sale ²⁵
	125.000	294.98	03/26/20	286.87	...	8.11	121 of 179 - Sale ²⁵
	275.000	621.45	03/26/20	613.25	...	8.20	122 of 179 - Sale ²⁵
	400.000	959.93	03/26/20	951.60	...	8.33	123 of 179 - Sale ²⁵
	100.000	263.00	03/26/20	254.67	...	8.33	124 of 179 - Sale ²⁵
	62.000	151.27	03/26/20	142.60	...	8.67	125 of 179 - Sale ²⁵
	300.000	677.94	03/26/20	669.00	...	8.94	126 of 179 - Sale ²⁵
	485.000	1,091.16	03/26/20	1,081.55	...	9.61	127 of 179 - Sale ²⁵
	200.000	535.96	03/26/20	526.00	...	9.96	128 of 179 - Sale ²⁵
	42.000	117.60	03/26/20	107.10	...	10.50	129 of 179 - Sale ²⁵
	218.000	523.16	03/26/20	512.30	...	10.86	130 of 179 - Sale ²⁵
	100.000	258.99	03/26/20	248.00	...	10.99	131 of 179 - Sale ²⁵
	100.000	258.99	03/26/20	248.00	...	10.99	132 of 179 - Sale ²⁵

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Robinhood Securities LLC

Account 101769347

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol: (cont'd)							
	100.000	258.99	03/26/20	248.00	...	10.99	133 of 179 - Sale ²⁵
	100.000	258.99	03/26/20	248.00	...	10.99	134 of 179 - Sale ²⁵
	100.000	258.99	03/26/20	248.00	...	10.99	135 of 179 - Sale ²⁵
	100.000	258.99	03/26/20	248.00	...	10.99	136 of 179 - Sale ²⁵
	100.000	258.99	03/26/20	248.00	...	10.99	137 of 179 - Sale ²⁵
	600.000	1,349.90	03/26/20	1,338.00	...	11.90	138 of 179 - Sale ²⁵
	600.000	1,349.90	03/26/20	1,338.00	...	11.90	139 of 179 - Sale ²⁵
	600.000	1,349.90	03/26/20	1,338.00	...	11.90	140 of 179 - Sale ²⁵
	239.000	640.47	03/26/20	628.57	...	11.90	141 of 179 - Sale ²⁵
	200.000	471.97	03/26/20	460.00	...	11.97	142 of 179 - Sale ²⁵
	200.000	471.97	03/26/20	460.00	...	11.97	143 of 179 - Sale ²⁵
	190.000	448.37	03/26/20	436.05	...	12.32	144 of 179 - Sale ²⁵
	259.000	621.55	03/26/20	608.65	...	12.90	145 of 179 - Sale ²⁵
	200.000	471.97	03/26/20	459.00	...	12.97	146 of 179 - Sale ²⁵
	200.000	471.97	03/26/20	459.00	...	12.97	147 of 179 - Sale ²⁵
	200.000	471.97	03/26/20	459.00	...	12.97	148 of 179 - Sale ²⁵
	100.000	243.98	03/26/20	230.00	...	13.98	149 of 179 - Sale ²⁵
	100.000	269.99	03/26/20	255.00	...	14.99	150 of 179 - Sale ²⁵
	290.000	684.35	03/26/20	667.00	...	17.35	151 of 179 - Sale ²⁵
	500.000	1,199.91	03/26/20	1,180.00	...	19.91	152 of 179 - Sale ²⁵
	400.000	1,071.92	03/26/20	1,052.00	...	19.92	153 of 179 - Sale ²⁵
	400.000	959.93	03/26/20	940.00	...	19.93	154 of 179 - Sale ²⁵
	1,050.000	2,362.32	03/26/20	2,341.50	...	20.82	155 of 179 - Sale ²⁵
	200.000	517.99	03/26/20	496.00	...	21.99	156 of 179 - Sale ²⁵
	400.000	943.94	03/26/20	920.00	...	23.94	157 of 179 - Sale ²⁵
	100.000	279.98	03/26/20	255.00	...	24.98	158 of 179 - Sale ²⁵
	100.000	279.99	03/26/20	255.00	...	24.99	159 of 179 - Sale ²⁵
	571.000	1,530.17	03/26/20	1,501.73	...	28.44	160 of 179 - Sale ²⁵
	3,500.000	7,839.40	03/26/20	7,805.00	...	34.40	161 of 179 - Sale ²⁵
	600.000	1,415.89	03/26/20	1,380.00	...	35.89	162 of 179 - Sale ²⁵
	600.000	1,415.90	03/26/20	1,380.00	...	35.90	163 of 179 - Sale ²⁵
	600.000	1,415.90	03/26/20	1,380.00	...	35.90	164 of 179 - Sale ²⁵
	600.000	1,415.90	03/26/20	1,380.00	...	35.90	165 of 179 - Sale ²⁵
	600.000	1,415.90	03/26/20	1,380.00	...	35.90	166 of 179 - Sale ²⁵

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Robinhood Securities LLC

Account 101769347

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol: (cont'd)							
	300.000	731.95	03/26/20	696.00	...	35.95	167 of 179 - Sale ²⁵
	800.000	1,919.85	03/26/20	1,871.92	...	47.93	168 of 179 - Sale ²⁵
	820.000	1,935.06	03/26/20	1,886.00	...	49.06	169 of 179 - Sale ²⁵
	1,100.000	2,595.81	03/26/20	2,530.00	...	65.81	170 of 179 - Sale ²⁵
	1,200.000	2,831.79	03/26/20	2,760.00	...	71.79	171 of 179 - Sale ²⁵
	900.000	2,367.02	03/26/20	2,285.91	...	81.11	172 of 179 - Sale ²⁵
	700.000	1,707.87	03/26/20	1,624.00	...	83.87	173 of 179 - Sale ²⁵
	746.000	1,820.11	03/26/20	1,715.80	...	104.31	174 of 179 - Sale ²⁵
	1,000.000	2,439.83	03/26/20	2,315.00	...	124.83	175 of 179 - Sale ²⁵
	1,000.000	2,439.83	03/26/20	2,297.40	...	142.43	176 of 179 - Sale ²⁵
	1,000.000	2,439.82	03/26/20	2,297.10	...	142.72	177 of 179 - Sale ²⁵
	753.000	2,108.26	03/26/20	1,920.15	...	188.11	178 of 179 - Sale ²⁵
	1,000.000	2,799.81	03/26/20	2,550.00	...	249.81	179 of 179 - Sale ²⁵
03/26/20	46,468.000	109,728.43	Various	97,142.18	...	12,586.25	Total of 179 transactions
	15 transactions for 03/26/20. Total proceeds and cost reported to the IRS.						
	100.000	223.98	03/26/20	233.00	9.02 W	0.00	1 of 15 - Sale ²⁵
	25.000	56.00	03/26/20	57.50	1.50 W	0.00	2 of 15 - Sale ²⁵
	25.000	56.50	03/26/20	57.25	0.75 W	0.00	3 of 15 - Sale ²⁵
	100.000	225.98	03/26/20	232.02	6.04 W	0.00	4 of 15 - Sale ²⁵
	100.000	224.98	03/26/20	229.04	4.06 W	0.00	5 of 15 - Sale ²⁵
	25.000	56.25	03/26/20	56.50	0.25 W	0.00	6 of 15 - Sale ²⁵
	100.000	224.98	03/26/20	227.06	2.08 W	0.00	7 of 15 - Sale ²⁵
	25.000	56.25	03/26/20	56.27	0.02 W	0.00	8 of 15 - Sale ²⁵
	75.000	168.74	03/26/20	168.81	0.07 W	0.00	9 of 15 - Sale ²⁵
	100.000	235.32	03/26/20	237.90	2.58 W	0.00	10 of 15 - Sale ²⁵
	100.000	235.49	03/26/20	237.90	2.41 W	0.00	11 of 15 - Sale ²⁵
	100.000	235.32	03/26/20	237.90	2.58 W	0.00	12 of 15 - Sale ²⁵
	100.000	239.98	03/26/20	240.51	0.53 W	0.00	13 of 15 - Sale ²⁵
	100.000	239.98	03/26/20	240.34	0.36 W	0.00	14 of 15 - Sale ²⁵
	100.000	239.98	03/26/20	240.51	0.53 W	0.00	15 of 15 - Sale ²⁵
03/26/20	1,175.000	2,719.73	Various	2,752.51	32.78 W	0.00	Total of 15 transactions
	16 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	520.000	1,435.10	03/26/20	1,450.80	...	-15.70	1 of 16 - Sale ²⁵
	480.000	1,324.71	03/26/20	1,339.20	...	-14.49	2 of 16 - Sale ²⁵

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Robinhood Securities LLC

Account 101769347

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol: (cont'd)							
	211.000	582.32	03/26/20	588.69	...	-6.37	3 of 16 - Sale ²⁵
	200.000	551.97	03/26/20	558.00	...	-6.03	4 of 16 - Sale ²⁵
	180.000	496.77	03/26/20	502.20	...	-5.43	5 of 16 - Sale ²⁵
	100.000	275.98	03/26/20	279.00	...	-3.02	6 of 16 - Sale ²⁵
	99.000	273.22	03/26/20	276.21	...	-2.99	7 of 16 - Sale ²⁵
	90.000	248.38	03/26/20	251.10	...	-2.72	8 of 16 - Sale ²⁵
	10.000	27.60	03/26/20	25.40	...	2.20	9 of 16 - Sale ²⁵
	10.000	27.60	03/26/20	25.40	...	2.20	10 of 16 - Sale ²⁵
	78.000	215.27	03/26/20	198.90	...	16.37	11 of 16 - Sale ²⁵
	80.000	220.78	03/26/20	202.40	...	18.38	12 of 16 - Sale ²⁵
	200.000	551.96	03/26/20	510.00	...	41.96	13 of 16 - Sale ²⁵
	220.000	607.16	03/26/20	556.60	...	50.56	14 of 16 - Sale ²⁵
	400.000	1,103.93	03/26/20	1,016.00	...	87.93	15 of 16 - Sale ²⁵
	622.000	1,716.60	03/26/20	1,586.10	...	130.50	16 of 16 - Sale ²⁵
09/14/20	3,500.000	9,659.35	Various	9,366.00	...	293.35	Total of 16 transactions
	11 transactions for 09/15/20. Total proceeds and cost reported to the IRS.						
	50.000	144.49	03/26/20	137.50	...	6.99	1 of 11 - Sale ²⁵
	100.000	288.98	03/26/20	279.00	...	9.98	2 of 11 - Sale ²⁵
	100.000	288.98	03/26/20	279.00	...	9.98	3 of 11 - Sale ²⁵
	211.000	609.75	03/26/20	588.69	...	21.06	4 of 11 - Sale ²⁵
	289.000	835.16	03/26/20	806.31	...	28.85	5 of 11 - Sale ²⁵
	280.000	809.15	03/26/20	770.00	...	39.15	6 of 11 - Sale ²⁵
	420.000	1,213.72	03/26/20	1,171.80	...	41.92	7 of 11 - Sale ²⁵
	320.000	924.74	03/26/20	880.00	...	44.74	8 of 11 - Sale ²⁵
	380.000	1,098.13	03/26/20	1,029.80	...	68.33	9 of 11 - Sale ²⁵
	650.000	1,878.38	03/26/20	1,787.50	...	90.88	10 of 11 - Sale ²⁵
	700.000	2,022.87	03/26/20	1,925.00	...	97.87	11 of 11 - Sale ²⁵
09/15/20	3,500.000	10,114.35	Various	9,654.60	...	459.75	Total of 11 transactions
	24 transactions for 12/10/20. Total proceeds and cost reported to the IRS.						
	4.000	15.36	03/26/20	10.64	...	4.72	1 of 24 - Sale ²⁵
	10.000	38.40	03/26/20	26.50	...	11.90	2 of 24 - Sale ²⁵
	10.000	38.40	03/26/20	25.40	...	13.00	3 of 24 - Sale ²⁵
	79.000	303.34	03/26/20	209.35	...	93.99	4 of 24 - Sale ²⁵
	95.000	364.79	03/26/20	252.70	...	112.09	5 of 24 - Sale ²⁵

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(continued)

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MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol: (cont'd)							
	100.000	383.99	03/26/20	266.00	...	117.99	6 of 24 - Sale ²⁵ C
	100.000	383.99	03/26/20	266.00	...	117.99	7 of 24 - Sale ²⁵ C
	100.000	383.99	03/26/20	265.00	...	118.99	8 of 24 - Sale ²⁵ C
	100.000	383.99	03/26/20	265.00	...	118.99	9 of 24 - Sale ²⁵ C
	100.000	383.99	03/26/20	265.00	...	118.99	10 of 24 - Sale ²⁵ C
	100.000	383.99	03/26/20	265.00	...	118.99	11 of 24 - Sale ²⁵ C
	100.000	383.98	03/26/20	264.67	...	119.31	12 of 24 - Sale ²⁵ C
	100.000	383.99	03/26/20	258.50	...	125.49	13 of 24 - Sale ²⁵ C
	121.000	464.62	03/26/20	321.86	...	142.76	14 of 24 - Sale ²⁵ C
	119.000	456.93	03/26/20	307.62	...	149.31	15 of 24 - Sale ²⁵ C
	195.000	748.76	03/26/20	516.75	...	232.01	16 of 24 - Sale ²⁵ C
	200.000	767.96	03/26/20	532.00	...	235.96	17 of 24 - Sale ²⁵ C
	241.000	925.38	03/26/20	622.98	...	302.40	18 of 24 - Sale ²⁵ C
	273.000	1,048.26	03/26/20	707.07	...	341.19	19 of 24 - Sale ²⁵ C
	316.000	1,213.37	03/26/20	837.40	...	375.97	20 of 24 - Sale ²⁵ C
	527.000	2,023.57	03/26/20	1,364.93	...	658.64	21 of 24 - Sale ²⁵ C
	1,100.000	4,223.77	03/26/20	2,843.50	...	1,380.27	22 of 24 - Sale ²⁵ C
	2,440.000	9,369.10	03/26/20	6,307.40	...	3,061.70	23 of 24 - Sale ²⁵ C
	113.000	433.90	11/02/20	324.31	...	109.59	24 of 24 - Sale ²⁵ C
12/10/20	6,643.000	25,507.82	Various	17,325.58	...	8,182.24	Total of 24 transactions
	Security total:	200,347.81		180,083.55	1,786.64 W	22,050.90	
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
03/11/20	1.000	155.02	02/26/20	172.49	...	-17.47	Sale ²⁵
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
5 transactions for 03/20/20. Total proceeds and cost reported to the IRS.							
	100.000	2,909.92	03/19/20	2,944.58	...	-34.66	1 of 5 - Sale ²⁵
	54.000	1,571.36	03/19/20	1,600.92	...	-29.56	2 of 5 - Sale ²⁵
	23.000	669.28	03/19/20	681.87	...	-12.59	3 of 5 - Sale ²⁵
	7.000	203.69	03/19/20	207.53	...	-3.84	4 of 5 - Sale ²⁵
	7.000	203.69	03/19/20	207.53	...	-3.84	5 of 5 - Sale ²⁵
03/20/20	191.000	5,557.94	Various	5,642.43	...	-84.49	Total of 5 transactions

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(continued)

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MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol: (cont'd)							
<i>10 transactions for 03/20/20. Total proceeds and cost reported to the IRS.</i>							
	39.000	1,134.87	03/19/20	1,156.22	16.42 W	-4.93	1 of 10 - Sale ²⁵
	116.000	3,381.89	03/19/20	3,398.80	16.91 W	0.00	2 of 10 - Sale ²⁵
	184.000	5,364.37	03/19/20	5,391.20	26.83 W	0.00	3 of 10 - Sale ²⁵
	70.000	2,041.14	03/19/20	2,061.20	20.06 W	0.00	4 of 10 - Sale ²⁵
	39.000	1,134.87	03/19/20	1,148.39	13.52 W	0.00	5 of 10 - Sale ²⁵
	7.000	203.69	03/19/20	206.12	2.43 W	0.00	6 of 10 - Sale ²⁵
	54.000	1,571.36	03/19/20	1,590.08	18.72 W	0.00	7 of 10 - Sale ²⁵
	23.000	669.28	03/19/20	677.25	7.97 W	0.00	8 of 10 - Sale ²⁵
	7.000	203.69	03/19/20	206.12	2.43 W	0.00	9 of 10 - Sale ²⁵
	70.000	2,036.95	03/19/20	2,071.06	34.11 W	0.00	10 of 10 - Sale ²⁵
03/20/20	609.000	17,742.11	Various	17,906.44	159.40 W	-4.93	Total of 10 transactions
<i>2 transactions for 03/24/20. Total proceeds and cost reported to the IRS.</i>							
	70.000	1,889.95	03/21/20	2,169.11	...	-279.16	1 of 2 - Sale ²⁵
	30.000	809.98	03/21/20	931.42	...	-121.44	2 of 2 - Sale ²⁵
03/24/20	100.000	2,699.93	Various	3,100.53	...	-400.60	Total of 2 transactions
	Security total:	25,999.98		26,649.40	159.40 W	-490.02	
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
<i>2 transactions for 03/05/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	387.90	02/27/20	387.00	...	0.90	1 of 2 - Sale ²⁵
	81.000	31,419.80	02/27/20	31,347.00	...	72.80	2 of 2 - Sale ²⁵
03/05/20	82.000	31,807.70	Various	31,734.00	...	73.70	Total of 2 transactions
<i>2 transactions for 03/18/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	316.50	03/17/20	316.00	...	0.50	1 of 2 - Sale ²⁵
	9.000	2,848.43	03/17/20	2,844.00	...	4.43	2 of 2 - Sale ²⁵
03/18/20	10.000	3,164.93	Various	3,160.00	...	4.93	Total of 2 transactions
	Security total:	34,972.63		34,894.00	...	78.63	
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol:							
03/11/20	1.000	253.36	02/26/20	270.12	...	-16.76	Sale ²⁵

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Robinhood Securities LLC

Account 101769347

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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OKTA, INC. CLASS A COMMON STOC K / CUSIP: 679295105 / Symbol:							
03/11/20	1.000	115.66	02/26/20	127.84	12.18 W	0.00	Sale ²⁵
		2 transactions for 03/17/20. Total proceeds and cost reported to the IRS.					
	33.000	3,269.90	03/17/20	3,208.59	...	61.31	1 of 2 - Sale ²⁵
	66.000	6,539.79	03/17/20	6,417.18	...	122.61	2 of 2 - Sale ²⁵
03/17/20	99.000	9,809.69	Various	9,625.77	...	183.92	Total of 2 transactions
03/17/20	1.000	99.09	03/17/20	109.41	10.32 W	0.00	Sale ²⁵
		10 transactions for 10/06/20. Total proceeds and cost reported to the IRS.					
	0.102	23.69	03/25/20	13.75	...	9.94	1 of 10 - Sale ²⁵
	0.898	207.62	03/25/20	120.63	...	86.99	2 of 10 - Sale ²⁵
	7.000	1,619.07	03/25/20	868.42	...	750.65	3 of 10 - Sale ²⁵
	10.000	2,312.96	03/25/20	1,236.60	...	1,076.36	4 of 10 - Sale ²⁵
	8.000	1,850.37	03/26/20	973.43	...	876.94	5 of 10 - Sale ²⁵
	10.000	2,312.96	03/26/20	1,232.28	...	1,080.68	6 of 10 - Sale ²⁵
	0.005	1.20	05/29/20	1.01	...	0.19	7 of 10 - Sale ²⁵
	0.097	22.48	05/29/20	18.99	...	3.49	8 of 10 - Sale ²⁵
	1.000	231.30	09/16/20	193.50	...	37.80	9 of 10 - Sale ²⁵
	49.000	11,333.47	09/16/20	9,481.50	...	1,851.97	10 of 10 - Sale ²⁵
10/06/20	86.102	19,915.12	Various	14,140.11	...	5,775.01	Total of 10 transactions
	Security total:	29,939.56		24,003.13	22.50 W	5,958.93	
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
		13 transactions for 12/02/20. Total proceeds and cost reported to the IRS.					
	0.204	4.57	10/07/20	2.04	...	2.53	1 of 13 - Sale ²⁵
	27.000	605.07	10/07/20	278.10	...	326.97	2 of 13 - Sale ²⁵
	73.000	1,635.93	10/07/20	751.90	...	884.03	3 of 13 - Sale ²⁵
	100.000	2,241.00	10/07/20	1,035.00	...	1,206.00	4 of 13 - Sale ²⁵
	100.000	2,241.00	10/07/20	1,030.00	...	1,211.00	5 of 13 - Sale ²⁵
	100.000	2,241.00	10/07/20	1,030.00	...	1,211.00	6 of 13 - Sale ²⁵
	100.000	2,241.00	10/07/20	1,025.00	...	1,216.00	7 of 13 - Sale ²⁵
	100.000	2,241.00	10/07/20	1,025.00	...	1,216.00	8 of 13 - Sale ²⁵
	100.000	2,241.00	10/07/20	1,025.00	...	1,216.00	9 of 13 - Sale ²⁵
	100.000	2,241.00	10/07/20	1,020.00	...	1,221.00	10 of 13 - Sale ²⁵
	599.796	13,441.42	10/07/20	5,997.96	...	7,443.46	11 of 13 - Sale ²⁵
	0.315	7.06	11/24/20	6.68	...	0.38	12 of 13 - Sale ²⁵

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Account 101769347

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

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PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol: (cont'd)							
	140.889	3,157.30	11/24/20	2,991.32	...	165.98	13 of 13 - Sale ²⁵
12/02/20	1,541.204	34,538.35	Various	17,218.00	...	17,320.35	Total of 13 transactions
ROLLS ROYCE HOLDINGS PLC SPONS ORED AMERICAN DEPOSITARY SHARE S / CUSIP: 775781206 / Symbol:							
18 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	1.000	1.77	12/02/20	1.73	...	0.04	1 of 18 - Sale ²⁵
	2.000	3.54	12/02/20	3.46	...	0.08	2 of 18 - Sale ²⁵
	2.000	3.54	12/02/20	3.46	...	0.08	3 of 18 - Sale ²⁵
	2.000	3.54	12/02/20	3.46	...	0.08	4 of 18 - Sale ²⁵
	5.000	8.85	12/02/20	8.65	...	0.20	5 of 18 - Sale ²⁵
	6.000	10.62	12/02/20	10.38	...	0.24	6 of 18 - Sale ²⁵
	10.000	17.70	12/02/20	17.30	...	0.40	7 of 18 - Sale ²⁵
	10.000	17.70	12/02/20	17.30	...	0.40	8 of 18 - Sale ²⁵
	10.000	17.70	12/02/20	17.30	...	0.40	9 of 18 - Sale ²⁵
	20.000	35.40	12/02/20	34.60	...	0.80	10 of 18 - Sale ²⁵
	120.000	212.39	12/02/20	207.60	...	4.79	11 of 18 - Sale ²⁵
	200.000	353.98	12/02/20	346.00	...	7.98	12 of 18 - Sale ²⁵
	214.000	378.74	12/02/20	370.22	...	8.52	13 of 18 - Sale ²⁵
	300.000	530.94	12/02/20	519.00	...	11.94	14 of 18 - Sale ²⁵
	550.000	973.40	12/02/20	951.50	...	21.90	15 of 18 - Sale ²⁵
	1,000.000	1,769.84	12/02/20	1,730.00	...	39.84	16 of 18 - Sale ²⁵
	2,548.000	4,509.56	12/02/20	4,408.04	...	101.52	17 of 18 - Sale ²⁵
	183.067	324.00	12/08/20	345.98	...	-21.98	18 of 18 - Sale ²⁵
12/10/20	5,183.067	9,173.21	Various	8,995.98	...	177.23	Total of 18 transactions
12/10/20	5.933	10.50	12/08/20	11.12	0.05 W	-0.57	Sale ²⁵
	Security total:	9,183.71		9,007.10	0.05 W	176.66	
SPDR S&P 500 ETF TRUST / CUSIP: 78462F103 / Symbol:							
2 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	6.000	1,491.39	03/25/20	1,470.00	...	21.39	1 of 2 - Sale ²⁵
	25.000	6,214.10	03/25/20	6,137.00	...	77.10	2 of 2 - Sale ²⁵
03/25/20	31.000	7,705.49	Various	7,607.00	...	98.49	Total of 2 transactions

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Robinhood Securities LLC

Account 101769347

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SPDR DOW JONES INDUSTRIAL AVER AGE ETF TRUST / CUSIP: 78467X109 / Symbol:							
3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	1.000	274.36	03/26/20	219.14	...	55.22	1 of 3 - Sale ²⁵
	1.000	274.36	03/26/20	219.14	...	55.22	2 of 3 - Sale ²⁵
	1.000	274.37	03/26/20	219.14	...	55.23	3 of 3 - Sale ²⁵
06/08/20	3.000	823.09	Various	657.42	...	165.67	Total of 3 transactions
SP PLUS CORPORATION COMMON STO CK / CUSIP: 78469C103 / Symbol:							
10/06/20	8.000	151.12	05/13/20	136.00	...	15.12	Sale ²⁵
SALESFORCE.COM, INC. / CUSIP: 79466L302 / Symbol:							
7 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	11.000	1,720.29	03/24/20	1,672.00	...	48.29	1 of 7 - Sale ²⁵
	10.000	1,563.90	03/24/20	1,503.41	...	60.49	2 of 7 - Sale ²⁵
	14.000	2,191.09	03/24/20	2,128.00	...	63.09	3 of 7 - Sale ²⁵
	9.000	1,407.51	03/24/20	1,341.00	...	66.51	4 of 7 - Sale ²⁵
	20.000	3,127.80	03/24/20	3,003.01	...	124.79	5 of 7 - Sale ²⁵
	50.000	7,825.32	03/24/20	7,600.00	...	225.32	6 of 7 - Sale ²⁵
	50.000	7,825.32	03/24/20	7,600.00	...	225.32	7 of 7 - Sale ²⁵
03/25/20	164.000	25,661.23	Various	24,847.42	...	813.81	Total of 7 transactions
2 transactions for 03/26/20. Total proceeds and cost reported to the IRS.							
	6.000	912.67	03/26/20	900.00	...	12.67	1 of 2 - Sale ²⁵
	64.000	9,735.16	03/26/20	9,600.00	...	135.16	2 of 2 - Sale ²⁵
03/26/20	70.000	10,647.83	Various	10,500.00	...	147.83	Total of 2 transactions
	Security total:	36,309.06		35,347.42	...	961.64	
SHOPIFY INC. CLASS A SUBORDINA TE VOTING SHARES / CUSIP: 82509L107 / Symbol:							
03/05/20	1.000	498.22	02/26/20	481.35	...	16.87	Sale ²⁵
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
3 transactions for 03/11/20. Total proceeds and cost reported to the IRS.							
	90.000	2,100.55	06/20/19	3,735.00	1,634.45 W	0.00	1 of 3 - Sale ²⁵
	1.000	23.34	06/20/19	41.88	18.54 W	0.00	2 of 3 - Sale ²⁵
	9.000	210.05	02/28/20	235.73	25.68 W	0.00	3 of 3 - Sale ²⁵
03/11/20	100.000	2,333.94	Various	4,012.61	1,678.67 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 101769347

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol: (cont'd)							
<i>7 transactions for 03/12/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	21.36	02/28/20	44.35	...	-22.99	1 of 7 - Sale ²⁵
	401.000	8,565.15	03/05/20	12,005.90	...	-3,440.75	2 of 7 - Sale ²⁵
	89.000	1,901.00	03/05/20	4,280.94	...	-2,379.94	3 of 7 - Sale ²⁵
	100.000	2,135.95	03/05/20	2,902.54	...	-766.59	4 of 7 - Sale ²⁵
	9.000	192.24	03/05/20	295.14	...	-102.90	5 of 7 - Sale ²⁵
	1.000	21.36	03/05/20	48.48	...	-27.12	6 of 7 - Sale ²⁵
	1.000	21.36	03/06/20	25.48	...	-4.12	7 of 7 - Sale ²⁵
03/12/20	602.000	12,858.42	Various	19,602.83	...	-6,744.41	Total of 7 transactions
	Security total:	15,192.36		23,615.44	1,678.67 W	-6,744.41	
SQUARE, INC. / CUSIP: 852234103 / Symbol:							
<i>2 transactions for 03/19/20. Total proceeds and cost reported to the IRS.</i>							
	161.000	7,006.54	03/19/20	6,815.13	...	191.41	1 of 2 - Sale ²⁵
	339.000	14,752.91	03/19/20	14,349.87	...	403.04	2 of 2 - Sale ²⁵
03/19/20	500.000	21,759.45	Various	21,165.00	...	594.45	Total of 2 transactions
<i>14 transactions for 03/25/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	54.17	03/25/20	53.24	...	0.93	1 of 14 - Sale ²⁵
	1.000	54.17	03/25/20	53.08	...	1.09	2 of 14 - Sale ²⁵
	1.000	54.17	03/25/20	53.00	...	1.17	3 of 14 - Sale ²⁵
	1.000	54.17	03/25/20	52.70	...	1.47	4 of 14 - Sale ²⁵
	1.000	54.17	03/25/20	52.65	...	1.52	5 of 14 - Sale ²⁵
	1.000	54.17	03/25/20	52.60	...	1.57	6 of 14 - Sale ²⁵
	2.000	108.34	03/25/20	106.72	...	1.62	7 of 14 - Sale ²⁵
	1.000	54.17	03/25/20	52.00	...	2.17	8 of 14 - Sale ²⁵
	1.000	54.17	03/25/20	51.83	...	2.34	9 of 14 - Sale ²⁵
	1.000	54.17	03/25/20	51.62	...	2.55	10 of 14 - Sale ²⁵
	1.000	54.17	03/25/20	51.36	...	2.81	11 of 14 - Sale ²⁵
	10.000	541.69	03/25/20	525.60	...	16.09	12 of 14 - Sale ²⁵
	10.000	541.67	03/25/20	514.45	...	27.22	13 of 14 - Sale ²⁵
	100.000	5,417.87	03/25/20	5,347.97	...	69.90	14 of 14 - Sale ²⁵
03/25/20	132.000	7,151.27	Various	7,018.82	...	132.45	Total of 14 transactions
	Security total:	28,910.72		28,183.82	...	726.90	

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2020 1099-B* OMB No. 1545-0715

(continued)

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TPI COMPOSITES, INC. COMMON ST OCK / CUSIP: 87266J104 / Symbol:							
12/09/20	10.000	440.00	12/03/20	425.28	...	14.72	Sale ²⁵
TARGET CORPORATION / CUSIP: 87612E106 / Symbol:							
03/11/20	1.000	106.54	02/26/20	112.34	...	-5.80	Sale ²⁵
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
03/25/20	3.000	1,612.82	03/24/20	1,523.63	...	89.19	Sale ²⁵
<i>11 transactions for 10/06/20. Total proceeds and cost reported to the IRS.</i>							
	9.000	3,725.91	09/11/20	3,294.00	...	431.91	1 of 11 - Sale ²⁵
	10.000	4,139.90	09/11/20	3,660.00	...	479.90	2 of 11 - Sale ²⁵
	10.000	4,139.90	09/11/20	3,660.00	...	479.90	3 of 11 - Sale ²⁵
	16.000	6,623.85	09/11/20	5,856.00	...	767.85	4 of 11 - Sale ²⁵
	1.000	413.99	09/14/20	400.00	...	13.99	5 of 11 - Sale ²⁵
	1.000	414.00	09/14/20	399.01	...	14.99	6 of 11 - Sale ²⁵
	1.000	414.00	09/14/20	399.01	...	14.99	7 of 11 - Sale ²⁵
	2.000	827.98	09/14/20	798.02	...	29.96	8 of 11 - Sale ²⁵
	4.000	1,655.96	09/14/20	1,596.04	...	59.92	9 of 11 - Sale ²⁵
	7.000	2,897.93	09/14/20	2,793.07	...	104.86	10 of 11 - Sale ²⁵
	9.000	3,725.91	09/14/20	3,591.09	...	134.82	11 of 11 - Sale ²⁵
10/06/20	70.000	28,979.33	Various	26,446.24	...	2,533.09	Total of 11 transactions
	Security total:	30,592.15		27,969.87	...	2,622.28	
TIZIANA LIFE SCIENCES PLC AMER ICAN DEPOSITORY SHARE / CUSIP: 88875G101 / Symbol:							
<i>14 transactions for 03/12/20. Total proceeds and cost reported to the IRS.</i>							
	401.000	2,004.90	03/12/20	2,073.17	...	-68.27	1 of 14 - Sale ²⁵
	180.000	899.95	03/12/20	961.25	...	-61.30	2 of 14 - Sale ²⁵
	111.000	554.97	03/12/20	592.77	...	-37.80	3 of 14 - Sale ²⁵
	89.000	444.98	03/12/20	475.28	...	-30.30	4 of 14 - Sale ²⁵
	89.000	444.98	03/12/20	475.28	...	-30.30	5 of 14 - Sale ²⁵
	81.000	404.98	03/12/20	432.55	...	-27.57	6 of 14 - Sale ²⁵
	57.000	284.99	03/12/20	304.39	...	-19.40	7 of 14 - Sale ²⁵
	43.000	214.99	03/12/20	229.63	...	-14.64	8 of 14 - Sale ²⁵
	20.000	100.00	03/12/20	111.20	...	-11.20	9 of 14 - Sale ²⁵
	23.000	114.99	03/12/20	122.82	...	-7.83	10 of 14 - Sale ²⁵

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(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TIZIANA LIFE SCIENCES PLC AMER ICAN DEPOSITORY SHARE / CUSIP: 88875G101 / Symbol: (cont'd)							
	19.000	95.00	03/12/20	101.46	...	-6.46	11 of 14 - Sale ²⁵
	10.000	50.00	03/12/20	52.70	...	-2.70	12 of 14 - Sale ²⁵
	7.000	35.00	03/12/20	37.38	...	-2.38	13 of 14 - Sale ²⁵
	10.000	50.00	03/12/20	51.90	...	-1.90	14 of 14 - Sale ²⁵
03/12/20	1,140.000	5,699.73	Various	6,021.78	...	-322.05	Total of 14 transactions
	7 transactions for 03/12/20. Total proceeds and cost reported to the IRS.						
	357.000	1,784.92	03/12/20	1,845.69	36.76 W	-24.01	1 of 7 - Sale ²⁵
	20.000	100.00	03/12/20	104.40	4.40 W	0.00	2 of 7 - Sale ²⁵
	23.000	115.00	03/12/20	118.91	3.91 W	0.00	3 of 7 - Sale ²⁵
	20.000	100.00	03/12/20	107.80	7.80 W	0.00	4 of 7 - Sale ²⁵
	180.000	899.95	03/12/20	930.60	30.65 W	0.00	5 of 7 - Sale ²⁵
	100.000	499.99	03/12/20	517.00	17.01 W	0.00	6 of 7 - Sale ²⁵
	200.000	999.95	03/12/20	1,034.00	34.05 W	0.00	7 of 7 - Sale ²⁵
03/12/20	900.000	4,499.81	Various	4,658.40	134.58 W	-24.01	Total of 7 transactions
	Security total:	10,199.54		10,680.18	134.58 W	-346.06	
TWILIO INC. / CUSIP: 90138F102 / Symbol:							
03/11/20	1.000	90.74	02/26/20	115.03	...	-24.29	Sale ²⁵
TWIST BIOSCIENCE CORPORATION C OMMON STOCK / CUSIP: 90184D100 / Symbol:							
	2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.						
	1.000	143.44	12/03/20	123.45	...	19.99	1 of 2 - Sale ²⁵
	9.000	1,252.56	12/03/20	1,111.05	...	141.51	2 of 2 - Sale ²⁵
12/10/20	10.000	1,396.00	Various	1,234.50	...	161.50	Total of 2 transactions
TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
	8 transactions for 03/18/20. Total proceeds and cost reported to the IRS.						
	100.000	2,139.94	03/18/20	2,150.00	10.06 W	0.00	1 of 8 - Sale ²⁵
	100.000	2,139.94	03/18/20	2,150.00	10.06 W	0.00	2 of 8 - Sale ²⁵
	100.000	2,139.94	03/18/20	2,150.00	10.06 W	0.00	3 of 8 - Sale ²⁵
	100.000	2,139.95	03/18/20	2,150.00	10.05 W	0.00	4 of 8 - Sale ²⁵
	100.000	2,139.95	03/18/20	2,160.06	20.11 W	0.00	5 of 8 - Sale ²⁵
	100.000	2,139.95	03/18/20	2,160.06	20.11 W	0.00	6 of 8 - Sale ²⁵
	100.000	2,139.95	03/18/20	2,160.06	20.11 W	0.00	7 of 8 - Sale ²⁵

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Robinhood Securities LLC

Account 101769347

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TWITTER, INC. / CUSIP: 90184L102 / Symbol: (cont'd)							
	300.000	6,419.85	03/18/20	6,450.00	30.15 W	0.00	8 of 8 - Sale ²⁵
03/18/20	1,000.000	21,399.47	Various	21,530.18	130.71 W	0.00	Total of 8 transactions
	3 transactions for 03/23/20. Total proceeds and cost reported to the IRS.						
	100.000	2,454.93	03/23/20	2,458.05	3.12 W	0.00	1 of 3 - Sale ²⁵
	55.000	1,350.21	03/23/20	1,357.46	7.25 W	0.00	2 of 3 - Sale ²⁵
	45.000	1,104.72	03/23/20	1,110.65	5.93 W	0.00	3 of 3 - Sale ²⁵
03/23/20	200.000	4,909.86	Various	4,926.16	16.30 W	0.00	Total of 3 transactions
	11 transactions for 03/24/20. Total proceeds and cost reported to the IRS.						
	2.000	50.14	03/24/20	50.80	...	-0.66	1 of 11 - Sale ²⁵
	100.000	2,562.08	03/24/20	2,561.17	...	0.91	2 of 11 - Sale ²⁵
	3.000	75.21	03/24/20	72.87	...	2.34	3 of 11 - Sale ²⁵
	10.000	250.69	03/24/20	244.46	...	6.23	4 of 11 - Sale ²⁵
	45.000	1,128.12	03/24/20	1,108.43	...	19.69	5 of 11 - Sale ²⁵
	55.000	1,378.82	03/24/20	1,354.75	...	24.07	6 of 11 - Sale ²⁵
	98.000	2,456.80	03/24/20	2,420.71	...	36.09	7 of 11 - Sale ²⁵
	100.000	2,506.94	03/24/20	2,453.12	...	53.82	8 of 11 - Sale ²⁵
	97.000	2,431.74	03/24/20	2,356.13	...	75.61	9 of 11 - Sale ²⁵
	202.000	5,064.02	03/24/20	4,949.00	...	115.02	10 of 11 - Sale ²⁵
	300.000	7,520.83	03/24/20	7,380.15	...	140.68	11 of 11 - Sale ²⁵
03/24/20	1,012.000	25,425.39	Various	24,951.59	...	473.80	Total of 11 transactions
03/24/20	100.000	2,506.94	03/24/20	2,560.11	53.17 W	0.00	Sale ²⁵
	Security total:	54,241.66		53,968.04	200.18 W	473.80	
II-VI INCORPORATED COMMON STOC K / CUSIP: 902104108 / Symbol:							
	2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.						
	5.000	355.00	12/03/20	348.42	...	6.58	1 of 2 - Sale ²⁵
	15.000	1,064.97	12/03/20	1,045.24	...	19.73	2 of 2 - Sale ²⁵
12/10/20	20.000	1,419.97	Various	1,393.66	...	26.31	Total of 2 transactions
UNION PACIFIC CORP. / CUSIP: 907818108 / Symbol:							
	3 transactions for 03/06/20. Total proceeds and cost reported to the IRS.						
	10.000	1,565.16	03/05/20	1,561.00	...	4.16	1 of 3 - Sale ²⁵
	40.000	6,260.66	03/05/20	6,244.00	...	16.66	2 of 3 - Sale ²⁵

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Robinhood Securities LLC

Account 101769347

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UNION PACIFIC CORP. / CUSIP: 907818108 / Symbol: (cont'd)							
	50.000	7,825.83	03/05/20	7,805.00	...	20.83	3 of 3 - Sale ²⁵
03/06/20	100.000	15,651.65	Various	15,610.00	...	41.65	Total of 3 transactions
03/06/20	1.000	156.52	02/26/20	172.85	16.33 W	0.00	Sale ²⁵
	4 transactions for 03/17/20. Total proceeds and cost reported to the IRS.						
	3.000	385.30	03/17/20	378.90	...	6.40	1 of 4 - Sale ²⁵
	9.000	1,176.27	03/17/20	1,158.32	...	17.95	2 of 4 - Sale ²⁵
	99.000	12,716.25	03/17/20	12,617.37	...	98.88	3 of 4 - Sale ²⁵
	100.000	12,843.70	03/17/20	12,744.82	...	98.88	4 of 4 - Sale ²⁵
03/17/20	211.000	27,121.52	Various	26,899.41	...	222.11	Total of 4 transactions
	3 transactions for 03/17/20. Total proceeds and cost reported to the IRS.						
	1.000	130.70	03/17/20	145.03	14.33 W	0.00	1 of 3 - Sale ²⁵
	1.000	128.45	03/17/20	141.78	13.33 W	0.00	2 of 3 - Sale ²⁵
	1.000	128.44	03/17/20	139.63	11.19 W	0.00	3 of 3 - Sale ²⁵
03/17/20	3.000	387.59	Various	426.44	38.85 W	0.00	Total of 3 transactions
	3 transactions for 03/25/20. Total proceeds and cost reported to the IRS.						
	1.000	139.46	03/25/20	146.12	...	-6.66	1 of 3 - Sale ²⁵
	7.000	976.20	03/25/20	944.51	...	31.69	2 of 3 - Sale ²⁵
	100.000	13,945.67	03/25/20	13,760.00	...	185.67	3 of 3 - Sale ²⁵
03/25/20	108.000	15,061.33	Various	14,850.63	...	210.70	Total of 3 transactions
	Security total:	58,378.61		57,959.33	55.18 W	474.46	
VERASTEM, INC. COMMON STOCK / CUSIP: 92337C104 / Symbol:							
12/10/20	5,000.000	11,899.13	12/03/20	10,600.00	...	1,299.13	Sale ²⁵
WORKDAY, INC. CLASS A COMMON S TOCK / CUSIP: 98138H101 / Symbol:							
03/11/20	1.000	147.12	02/26/20	180.52	...	-33.40	Sale ²⁵
YETI HOLDINGS, INC. COMMON STO CK / CUSIP: 98585X104 / Symbol:							
12/10/20	10.000	696.25	12/03/20	647.87	...	48.38	Sale ²⁵
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
	4 transactions for 03/05/20. Total proceeds and cost reported to the IRS.						
	1.000	126.75	03/05/20	123.00	...	3.75	1 of 4 - Sale ²⁵
	5.000	633.74	03/05/20	628.25	...	5.49	2 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 101769347

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol: (cont'd)							
	2.000	253.49	03/05/20	246.00	...	7.49	3 of 4 - Sale ²⁵
	50.000	6,337.35	03/05/20	6,260.00	...	77.35	4 of 4 - Sale ²⁵
03/05/20	58.000	7,351.33	Various	7,257.25	...	94.08	Total of 4 transactions
03/05/20	100.000	12,674.70	03/05/20	12,679.00	4.30 W	0.00	Sale ²⁵
3 transactions for 03/11/20. Total proceeds and cost reported to the IRS.							
	15.000	1,708.46	03/11/20	1,705.69	...	2.77	1 of 3 - Sale ²⁵
	25.000	2,847.43	03/11/20	2,842.83	...	4.60	2 of 3 - Sale ²⁵
	60.000	6,833.83	03/11/20	6,822.78	...	11.05	3 of 3 - Sale ²⁵
03/11/20	100.000	11,389.72	Various	11,371.30	...	18.42	Total of 3 transactions
	Security total:	31,415.75		31,307.55	4.30 W	112.50	
ZYNGA INC. CLASS A COMMON STOC K / CUSIP: 98986T108 / Symbol:							
20 transactions for 03/23/20. Total proceeds and cost reported to the IRS.							
	1.000	6.24	03/23/20	6.17	...	0.07	1 of 20 - Sale ²⁵
	1.000	6.24	03/23/20	6.17	...	0.07	2 of 20 - Sale ²⁵
	1.000	6.24	03/23/20	6.17	...	0.07	3 of 20 - Sale ²⁵
	10.000	62.40	03/23/20	61.70	...	0.70	4 of 20 - Sale ²⁵
	39.000	243.36	03/23/20	240.63	...	2.73	5 of 20 - Sale ²⁵
	51.000	318.23	03/23/20	315.14	...	3.09	6 of 20 - Sale ²⁵
	100.000	628.97	03/23/20	622.98	...	5.99	7 of 20 - Sale ²⁵
	99.000	617.74	03/23/20	611.74	...	6.00	8 of 20 - Sale ²⁵
	101.000	630.21	03/23/20	623.17	...	7.04	9 of 20 - Sale ²⁵
	200.000	1,257.95	03/23/20	1,245.96	...	11.99	10 of 20 - Sale ²⁵
	200.000	1,247.95	03/23/20	1,235.84	...	12.11	11 of 20 - Sale ²⁵
	199.000	1,241.71	03/23/20	1,227.83	...	13.88	12 of 20 - Sale ²⁵
	200.000	1,247.95	03/23/20	1,234.00	...	13.95	13 of 20 - Sale ²⁵
	300.000	1,886.91	03/23/20	1,868.94	...	17.97	14 of 20 - Sale ²⁵
	400.000	2,495.89	03/23/20	2,476.00	...	19.89	15 of 20 - Sale ²⁵
	600.000	3,743.84	03/23/20	3,714.00	...	29.84	16 of 20 - Sale ²⁵
	700.000	4,402.82	03/23/20	4,360.86	...	41.96	17 of 20 - Sale ²⁵
	700.000	4,402.82	03/23/20	4,360.86	...	41.96	18 of 20 - Sale ²⁵
	1,200.000	7,487.69	03/23/20	7,416.00	...	71.69	19 of 20 - Sale ²⁵
	2,300.000	14,351.40	03/23/20	14,214.00	...	137.40	20 of 20 - Sale ²⁵
03/23/20	7,402.000	46,286.56	Various	45,848.16	...	438.40	Total of 20 transactions

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Robinhood Securities LLC

Account 101769347

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TRONOX LIMITED CL A ORDINARY S HARES / CUSIP: G9087Q102 / Symbol:							
2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	10.000	137.91	12/03/20	142.49	...	-4.58	1 of 2 - Sale ²⁵
	3.000	41.38	12/04/20	43.25	...	-1.87	2 of 2 - Sale ²⁵
12/10/20	13.000	179.29	Various	185.74	...	-6.45	Total of 2 transactions
SPOTIFY TECHNOLOGY S.A. / CUSIP: L8681T102 / Symbol:							
03/11/20	1.000	138.06	02/26/20	144.17	...	-6.11	Sale ²⁵
NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol:							
10/06/20	1.000	35.30	09/24/20	27.75	...	7.55	Sale ²⁵
10/07/20	200.000	7,999.80	10/07/20	7,780.00	...	219.80	Sale ²⁵
	Security total:	8,035.10		7,807.75	...	227.35	
Totals :		900,427.85		877,263.22	8,863.87 W	32,028.50	

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
03/05/20	3.000	1,163.70	10/10/18	1,001.58	...	162.12	Sale ²⁵
TASEKO MINES LIMITED / CUSIP: 876511106 / Symbol:							
20 transactions for 03/11/20. Total proceeds and cost reported to the IRS.							
	7.000	2.20	06/06/18	8.47	...	-6.27	1 of 20 - Sale ²⁵
	100.000	31.49	06/15/18	115.92	...	-84.43	2 of 20 - Sale ²⁵
	100.000	31.49	06/15/18	115.92	...	-84.43	3 of 20 - Sale ²⁵
	100.000	31.50	06/15/18	115.92	...	-84.42	4 of 20 - Sale ²⁵
	81.000	25.52	06/15/18	93.90	...	-68.38	5 of 20 - Sale ²⁵
	19.000	5.98	06/15/18	22.02	...	-16.04	6 of 20 - Sale ²⁵
	12.000	3.78	06/15/18	13.80	...	-10.02	7 of 20 - Sale ²⁵

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Account 101769347

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

03/22/2021 AMENDED

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TASEKO MINES LIMITED / CUSIP: 876511106 / Symbol: (cont'd)							
	4.000	1.26	06/15/18	4.60	...	-3.34	8 of 20 - Sale ²⁵
	100.000	31.25	07/03/18	104.00	...	-72.75	9 of 20 - Sale ²⁵
	100.000	31.49	07/03/18	104.00	...	-72.51	10 of 20 - Sale ²⁵
	97.000	30.56	07/03/18	100.88	...	-70.32	11 of 20 - Sale ²⁵
	94.000	29.37	07/03/18	97.76	...	-68.39	12 of 20 - Sale ²⁵
	77.000	24.25	07/03/18	79.31	...	-55.06	13 of 20 - Sale ²⁵
	61.000	19.21	07/03/18	63.44	...	-44.23	14 of 20 - Sale ²⁵
	39.000	12.19	07/03/18	40.56	...	-28.37	15 of 20 - Sale ²⁵
	6.000	1.89	07/03/18	6.24	...	-4.35	16 of 20 - Sale ²⁵
	3.000	0.94	07/03/18	3.12	...	-2.18	17 of 20 - Sale ²⁵
	3.000	0.94	07/03/18	3.09	...	-2.15	18 of 20 - Sale ²⁵
	30.000	9.38	10/10/18	22.32	...	-12.94	19 of 20 - Sale ²⁵
	2.000	0.62	10/10/18	1.49	...	-0.87	20 of 20 - Sale ²⁵
03/11/20	1,035.000	325.31	Various	1,116.76	...	-791.45	Total of 20 transactions
TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
03/05/20	13.000	460.98	09/14/18	395.07	...	65.91	Sale ²⁵
XPO LOGISTICS, INC. / CUSIP: 983793100 / Symbol:							
03/11/20	18.000	1,088.84	01/03/19	1,008.00	...	80.84	Sale ²⁵
03/11/20	50.000	3,024.54	07/03/18	5,025.00	200.05 W	-1,800.41	Sale ²⁵
03/25/20	5.000	266.75	03/24/20	437.70	...	-170.95	Sale ²⁵
	Security total:	4,380.13		6,470.70	200.05 W	-1,890.52	
Totals :		6,330.12		8,984.11	200.05 W	-2,453.94	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 101769347

Detail for Dividends and Distributions

2020

03/22/2021 AMENDED

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
INVESCO MORTGAGE CAPITAL INC.	46131B100		07/28/20	2.60	Nondividend distribution	03
MFA FINANCIAL, INC	55272X102		10/30/20	326.50	Nondividend distribution	03
			01/29/21	0.06	Qualified dividend	
				326.56	Total Dividends & distributions	
NVIDIA CORPORATION COMMON STOC K	67066G104		03/20/20	0.16	Qualified dividend	
SPDR DOW JONES INDUSTRIAL AVER AGE ETF TRUST	78467X109		05/11/20	0.39	Qualified dividend	
			06/15/20	1.39	Qualified dividend	
			07/13/20	0.02	Qualified dividend	
			09/14/20	0.01	Qualified dividend	
			10/13/20	0.01	Qualified dividend	
			12/14/20	0.01	Qualified dividend	
			01/11/21	0.01	Qualified dividend	
				1.84	Total Dividends & distributions	
UNION PACIFIC CORP.	907818108		03/31/20	0.97	Qualified dividend	
				332.13	Total Dividends & distributions	

Robinhood Securities LLC

Account 101769347

Fees and Expenses

2020

03/22/2021 AMENDED

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		03/12/20	-3.00	Margin interest paid	
		04/13/20	-5.00	Margin interest paid	
		09/14/20	-5.00	Margin interest paid	
		10/14/20	-5.00	Margin interest paid	
		11/13/20	-5.00	Margin interest paid	
		12/14/20	-5.00	Margin interest paid	
			-28.00	Total Margin interest paid	
			-28.00	Total Margin interest paid	

- 03 The tax character of the distribution has been allocated based on information provided by the security issuer.

 - 25 Tax lot closed on a first in first out basis.
-

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.