

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 126681436	Statement Date: 02/14/2021 Document ID: 2X11 S86 1565	2020
	Bharathi devi Malempati 308 Vine St Irving, TX 75039 RECIPIENT'S TIN: XXX-XX-1693		

[] FATCA filing requirement (see instructions) **Summary Information**

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	0.04		2- Royalties	0.00	
1b- Qualified dividends	0.04		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0715
3- Nondividend distributions	0.00		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
4- Federal income tax withheld	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00				
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.

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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	209,624.17	190,904.92	0.00	4,210.31	22,929.56
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	209,624.17	190,904.92	0.00	4,210.31	22,929.56
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	209,624.17	190,904.92	0.00	4,210.31	22,929.56

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

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Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 126681436

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 05/15/2020 CALL \$60.00 / CUSIP: / Symbol:							
2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	5.000	44.98	05/11/20	65.00	...	-20.02	1 of 2 - Option sale to close-call ²⁵
	2.000	17.98	05/11/20	26.00	...	-8.02	2 of 2 - Option sale to close-call ²⁵
05/12/20	7.000	62.96	Various	91.00	...	-28.04	Total of 2 transactions
AMD 05/22/2020 PUT \$48.00 / CUSIP: / Symbol:							
05/15/20	10.000	309.97	05/14/20	450.00	...	-140.03	Option sale to close-put ²⁵
AMD 09/04/2020 CALL \$92.00 / CUSIP: / Symbol:							
5 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	1.000	211.98	08/31/20	170.00	...	41.98	1 of 5 - Option sale to close-call ²⁵
	1.000	211.98	08/31/20	170.00	...	41.98	2 of 5 - Option sale to close-call ²⁵
	2.000	423.98	08/31/20	326.00	...	97.98	3 of 5 - Option sale to close-call ²⁵

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Account 126681436

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 09/04/2020 CALL \$92.00 / CUSIP: / Symbol: (cont'd)							
	2.000	423.99	08/31/20	326.00	...	97.99	4 of 5 - Option sale to close-call ²⁵
	18.000	3,815.88	08/31/20	3,060.00	...	755.88	5 of 5 - Option sale to close-call ²⁵
09/01/20	24.000	5,087.81	Various	4,052.00	...	1,035.81	Total of 5 transactions
AMZN 05/08/2020 PUT \$2,160.00 / CUSIP: / Symbol:							
05/05/20	2.000	229.98	05/04/20	960.00	365.01 W	-365.01	Option sale to close-put ²⁵
05/06/20	1.000	69.98	05/05/20	565.01	...	-495.03	Option sale to close-put ²⁵
	Security total:	299.96		1,525.01	365.01 W	-860.04	
APT 05/22/2020 CALL \$19.00 / CUSIP: / Symbol:							
2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	5.000	424.98	05/14/20	600.00	...	-175.02	1 of 2 - Option sale to close-call ²⁵
	2.000	169.99	05/14/20	320.00	...	-150.01	2 of 2 - Option sale to close-call ²⁵
05/15/20	7.000	594.97	Various	920.00	...	-325.03	Total of 2 transactions
BA 05/15/2020 PUT \$120.00 / CUSIP: / Symbol:							
05/12/20	11.000	1,209.95	05/12/20	1,012.00	...	197.95	Option sale to close-put ²⁵
BA 05/22/2020 CALL \$140.00 / CUSIP: / Symbol:							
5 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	1.000	249.99	05/18/20	132.00	...	117.99	1 of 5 - Option sale to close-call ²⁵
	3.000	749.98	05/18/20	396.00	...	353.98	2 of 5 - Option sale to close-call ²⁵
	6.000	1,499.95	05/18/20	1,062.00	...	437.95	3 of 5 - Option sale to close-call ²⁵
	5.000	414.98	05/19/20	900.00	...	-485.02	4 of 5 - Option sale to close-call ²⁵
	5.000	414.98	05/19/20	900.00	...	-485.02	5 of 5 - Option sale to close-call ²⁵
05/19/20	20.000	3,329.88	Various	3,390.00	...	-60.12	Total of 5 transactions
BABA 08/28/2020 CALL \$290.00 / CUSIP: / Symbol:							
3 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	157.98	08/27/20	152.00	...	5.98	1 of 3 - Option sale to close-call ²⁵
	4.000	631.97	08/27/20	608.00	...	23.97	2 of 3 - Option sale to close-call ²⁵
	5.000	789.97	08/27/20	760.00	...	29.97	3 of 3 - Option sale to close-call ²⁵
08/27/20	10.000	1,579.92	Various	1,520.00	...	59.92	Total of 3 transactions

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Robinhood Securities LLC

Account 126681436

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BYND 05/01/2020 CALL \$110.00 / CUSIP: / Symbol:							
05/01/20	2.000	15.98	04/30/20	500.00	...	-484.02	Option sale to close-call ²⁵
BYND 05/15/2020 PUT \$130.00 / CUSIP: / Symbol:							
05/13/20	5.000	1,199.96	05/13/20	675.00	...	524.96	Option sale to close-put ²⁵
CCL 08/28/2020 CALL \$16.00 / CUSIP: / Symbol:							
<i>11 transactions for 08/24/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	36.00	08/20/20	20.00	...	16.00	1 of 11 - Option sale to close-call ²⁵
	1.000	36.00	08/20/20	20.00	...	16.00	2 of 11 - Option sale to close-call ²⁵
	1.000	36.00	08/20/20	20.00	...	16.00	3 of 11 - Option sale to close-call ²⁵
	1.000	36.00	08/20/20	20.00	...	16.00	4 of 11 - Option sale to close-call ²⁵
	1.000	36.00	08/20/20	20.00	...	16.00	5 of 11 - Option sale to close-call ²⁵
	1.000	36.00	08/20/20	20.00	...	16.00	6 of 11 - Option sale to close-call ²⁵
	3.000	107.99	08/20/20	60.00	...	47.99	7 of 11 - Option sale to close-call ²⁵
	5.000	179.99	08/20/20	100.00	...	79.99	8 of 11 - Option sale to close-call ²⁵
	7.000	251.98	08/20/20	140.00	...	111.98	9 of 11 - Option sale to close-call ²⁵
	9.000	323.97	08/20/20	180.00	...	143.97	10 of 11 - Option sale to close-call ²⁵
	20.000	719.93	08/20/20	400.00	...	319.93	11 of 11 - Option sale to close-call ²⁵
08/24/20	50.000	1,799.86	Various	1,000.00	...	799.86	Total of 11 transactions
CCL 08/28/2020 CALL \$17.00 / CUSIP: / Symbol:							
08/24/20	50.000	1,149.87	08/24/20	900.00	...	249.87	Option sale to close-call ²⁵
<i>9 transactions for 08/25/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	53.99	08/24/20	117.02	...	-63.03	1 of 9 - Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CCL 08/28/2020 CALL \$17.00 / CUSIP: / Symbol: (cont'd)							
	3.000	53.99	08/24/20	117.02	...	-63.03	2 of 9 - Option sale to close-call ²⁵
	3.000	53.99	08/24/20	117.02	...	-63.03	3 of 9 - Option sale to close-call ²⁵
	3.000	53.99	08/24/20	93.01	...	-39.02	4 of 9 - Option sale to close-call ²⁵
	1.000	18.00	08/24/20	47.01	...	-29.01	5 of 9 - Option sale to close-call ²⁵
	1.000	17.99	08/24/20	39.01	...	-21.02	6 of 9 - Option sale to close-call ²⁵
	1.000	18.00	08/24/20	39.00	...	-21.00	7 of 9 - Option sale to close-call ²⁵
	1.000	18.00	08/24/20	39.00	...	-21.00	8 of 9 - Option sale to close-call ²⁵
	2.000	35.99	08/24/20	46.00	...	-10.01	9 of 9 - Option sale to close-call ²⁵
08/25/20	18.000	323.94	Various	654.09	...	-330.15	Total of 9 transactions
	8 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	4.000	71.98	08/24/20	104.00	32.02 W	0.00	1 of 8 - Option sale to close-call ²⁵
	4.000	71.98	08/24/20	136.01	64.03 W	0.00	2 of 8 - Option sale to close-call ²⁵
	9.000	161.98	08/24/20	234.00	72.02 W	0.00	3 of 8 - Option sale to close-call ²⁵
	4.000	71.99	08/24/20	136.02	64.03 W	0.00	4 of 8 - Option sale to close-call ²⁵
	3.000	53.99	08/24/20	78.00	24.01 W	0.00	5 of 8 - Option sale to close-call ²⁵
	4.000	71.99	08/24/20	136.01	64.02 W	0.00	6 of 8 - Option sale to close-call ²⁵
	1.000	18.00	08/24/20	42.01	24.01 W	0.00	7 of 8 - Option sale to close-call ²⁵
	1.000	18.00	08/24/20	34.00	16.00 W	0.00	8 of 8 - Option sale to close-call ²⁵
08/25/20	30.000	539.91	Various	900.05	360.14 W	0.00	Total of 8 transactions
	Security total:	2,013.72		2,454.14	360.14 W	-80.28	

CCL 09/04/2020 CALL \$17.00 / CUSIP: / Symbol:

33 transactions for 09/02/20. Total proceeds and cost reported to the IRS.

	20.000	579.94	09/02/20	580.00	...	-0.06	1 of 33 - Option sale to close-call ²⁵
	5.000	144.98	09/02/20	145.00	...	-0.02	2 of 33 - Option sale to close-call ²⁵
	4.000	115.98	09/02/20	116.00	...	-0.02	3 of 33 - Option sale to close-call ²⁵
	1.000	28.98	09/02/20	29.00	...	-0.02	4 of 33 - Option sale to close-call ²⁵
	7.000	202.98	09/02/20	203.00	...	-0.02	5 of 33 - Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CCL 09/04/2020 CALL \$17.00 / CUSIP: / Symbol: (cont'd)							
	2.000	57.98	09/02/20	58.00	...	-0.02	6 of 33 - Option sale to close-call 25
	4.000	115.98	09/02/20	116.00	...	-0.02	7 of 33 - Option sale to close-call 25
	1.000	28.98	09/02/20	29.00	...	-0.02	8 of 33 - Option sale to close-call 25
	1.000	30.00	09/02/20	29.01	...	0.99	9 of 33 - Option sale to close-call 25
	1.000	29.98	09/02/20	28.00	...	1.98	10 of 33 - Option sale to close-call 25
	1.000	29.98	09/02/20	28.00	...	1.98	11 of 33 - Option sale to close-call 25
	1.000	30.00	09/02/20	28.00	...	2.00	12 of 33 - Option sale to close-call 25
	1.000	30.00	09/02/20	28.00	...	2.00	13 of 33 - Option sale to close-call 25
	3.000	89.99	09/02/20	87.01	...	2.98	14 of 33 - Option sale to close-call 25
	4.000	119.98	09/02/20	116.00	...	3.98	15 of 33 - Option sale to close-call 25
	3.000	89.98	09/02/20	84.00	...	5.98	16 of 33 - Option sale to close-call 25
	3.000	89.99	09/02/20	84.00	...	5.99	17 of 33 - Option sale to close-call 25
	8.000	231.98	09/02/20	224.00	...	7.98	18 of 33 - Option sale to close-call 25
	8.000	231.98	09/02/20	224.00	...	7.98	19 of 33 - Option sale to close-call 25
	4.000	119.98	09/02/20	112.00	...	7.98	20 of 33 - Option sale to close-call 25
	4.000	119.98	09/02/20	112.00	...	7.98	21 of 33 - Option sale to close-call 25
	4.000	119.99	09/02/20	112.00	...	7.99	22 of 33 - Option sale to close-call 25

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Robinhood Securities LLC

Account 126681436

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CCL 09/04/2020 CALL \$17.00 / CUSIP: / Symbol: (cont'd)							
	5.000	149.99	09/02/20	140.00	...	9.99	23 of 33 - Option sale to close-call ²⁵
	6.000	179.98	09/02/20	168.00	...	11.98	24 of 33 - Option sale to close-call ²⁵
	6.000	179.98	09/02/20	168.00	...	11.98	25 of 33 - Option sale to close-call ²⁵
	6.000	179.98	09/02/20	168.00	...	11.98	26 of 33 - Option sale to close-call ²⁵
	6.000	179.99	09/02/20	168.00	...	11.99	27 of 33 - Option sale to close-call ²⁵
	9.000	269.97	09/02/20	252.00	...	17.97	28 of 33 - Option sale to close-call ²⁵
	13.000	389.96	09/02/20	364.00	...	25.96	29 of 33 - Option sale to close-call ²⁵
	15.000	449.95	09/02/20	420.00	...	29.95	30 of 33 - Option sale to close-call ²⁵
	38.000	1,101.89	09/02/20	1,064.00	...	37.89	31 of 33 - Option sale to close-call ²⁵
	24.000	719.93	09/02/20	672.00	...	47.93	32 of 33 - Option sale to close-call ²⁵
	26.000	779.93	09/02/20	728.00	...	51.93	33 of 33 - Option sale to close-call ²⁵
09/02/20	244.000	7,221.16	Various	6,884.02	...	337.14	Total of 33 transactions
09/02/20	4.000	107.98	09/02/20	112.00	4.02 W	0.00	Option sale to close-call ²⁵
	Security total:	7,329.14		6,996.02	4.02 W	337.14	
CCL 09/04/2020 CALL \$20.00 / CUSIP: / Symbol:							
5 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	37.000	332.91	08/28/20	592.00	...	-259.09	1 of 5 - Option sale to close-call ²⁵
	6.000	53.98	08/28/20	96.00	...	-42.02	2 of 5 - Option sale to close-call ²⁵
	6.000	53.99	08/28/20	96.00	...	-42.01	3 of 5 - Option sale to close-call ²⁵
	6.000	53.99	08/28/20	96.00	...	-42.01	4 of 5 - Option sale to close-call ²⁵
	5.000	44.99	08/28/20	80.00	...	-35.01	5 of 5 - Option sale to close-call ²⁵
08/31/20	60.000	539.86	Various	960.00	...	-420.14	Total of 5 transactions

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Robinhood Securities LLC

Account 126681436

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
COST 05/08/2020 CALL \$322.50 / CUSIP: / Symbol:							
3 transactions for 05/05/20. Total proceeds and cost reported to the IRS.							
	1.000	46.00	05/04/20	36.02	...	9.98	1 of 3 - Option sale to close-call ²⁵
	3.000	137.98	05/05/20	171.00	...	-33.02	2 of 3 - Option sale to close-call ²⁵
	1.000	45.98	05/05/20	57.00	...	-11.02	3 of 3 - Option sale to close-call ²⁵
05/05/20	5.000	229.96	Various	264.02	...	-34.06	Total of 3 transactions
05/05/20	1.000	45.98	05/01/20	51.00	5.02 W	0.00	Option sale to close-call ²⁵
	Security total:	275.94		315.02	5.02 W	-34.06	
COST 09/04/2020 CALL \$355.00 / CUSIP: / Symbol:							
8 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	264.98	09/01/20	107.00	...	157.98	1 of 8 - Option sale to close-call ²⁵
	1.000	264.99	09/01/20	107.00	...	157.99	2 of 8 - Option sale to close-call ²⁵
	1.000	264.99	09/01/20	107.00	...	157.99	3 of 8 - Option sale to close-call ²⁵
	2.000	529.97	09/01/20	214.00	...	315.97	4 of 8 - Option sale to close-call ²⁵
	2.000	529.98	09/01/20	214.00	...	315.98	5 of 8 - Option sale to close-call ²⁵
	2.000	529.98	09/01/20	214.00	...	315.98	6 of 8 - Option sale to close-call ²⁵
	2.000	529.98	09/01/20	214.00	...	315.98	7 of 8 - Option sale to close-call ²⁵
	14.000	3,709.89	09/01/20	1,568.00	...	2,141.89	8 of 8 - Option sale to close-call ²⁵
09/02/20	25.000	6,624.76	Various	2,745.00	...	3,879.76	Total of 8 transactions
COST 09/04/2020 CALL \$360.00 / CUSIP: / Symbol:							
4 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	109.98	09/01/20	87.00	...	22.98	1 of 4 - Option sale to close-call ²⁵
	1.000	110.00	09/01/20	87.00	...	23.00	2 of 4 - Option sale to close-call ²⁵
	6.000	659.97	09/01/20	420.00	...	239.97	3 of 4 - Option sale to close-call ²⁵
	14.000	1,539.93	09/01/20	980.00	...	559.93	4 of 4 - Option sale to close-call ²⁵
09/02/20	22.000	2,419.88	Various	1,574.00	...	845.88	Total of 4 transactions
COST 09/18/2020 CALL \$380.00 / CUSIP: / Symbol:							
6 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	70.000	8,259.67	09/02/20	8,400.00	...	-140.33	1 of 6 - Option sale to close-call ²⁵
	1.000	117.98	09/02/20	120.00	...	-2.02	2 of 6 - Option sale to close-call ²⁵
	1.000	117.98	09/02/20	120.00	...	-2.02	3 of 6 - Option sale to close-call ²⁵
	1.000	117.98	09/02/20	120.00	...	-2.02	4 of 6 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 126681436

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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COST 09/18/2020 CALL \$380.00 / CUSIP: / Symbol: (cont'd)							
	1.000	117.98	09/02/20	120.00	...	-2.02	5 of 6 - Option sale to close-call ²⁵
	1.000	117.98	09/02/20	120.00	...	-2.02	6 of 6 - Option sale to close-call ²⁵
09/02/20	75.000	8,849.57	Various	9,000.00	...	-150.43	Total of 6 transactions
CRWD 09/04/2020 CALL \$150.00 / CUSIP: / Symbol:							
6 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	1,314.96	09/02/20	1,312.00	...	2.96	1 of 6 - Option sale to close-call ²⁵
	1.000	1,314.96	09/02/20	1,312.00	...	2.96	2 of 6 - Option sale to close-call ²⁵
	1.000	1,314.96	09/02/20	1,312.00	...	2.96	3 of 6 - Option sale to close-call ²⁵
	1.000	1,315.96	09/02/20	1,312.00	...	3.96	4 of 6 - Option sale to close-call ²⁵
	1.000	1,317.96	09/02/20	1,312.00	...	5.96	5 of 6 - Option sale to close-call ²⁵
	7.000	8,679.79	09/02/20	8,120.00	...	559.79	6 of 6 - Option sale to close-call ²⁵
09/02/20	12.000	15,258.59	Various	14,680.00	...	578.59	Total of 6 transactions
CSCO 05/15/2020 CALL \$45.00 / CUSIP: / Symbol:							
3 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	11.000	582.96	05/12/20	781.00	...	-198.04	1 of 3 - Option sale to close-call ²⁵
	11.000	582.96	05/12/20	781.00	...	-198.04	2 of 3 - Option sale to close-call ²⁵
	1.000	53.00	05/12/20	71.00	...	-18.00	3 of 3 - Option sale to close-call ²⁵
05/13/20	23.000	1,218.92	Various	1,633.00	...	-414.08	Total of 3 transactions
CSCO 05/22/2020 CALL \$44.50 / CUSIP: / Symbol:							
05/15/20	5.000	239.98	05/14/20	410.00	...	-170.02	Option sale to close-call ²⁵
DAL 05/01/2020 CALL \$29.00 / CUSIP: / Symbol:							
6 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	6.000	53.98	04/29/20	282.02	...	-228.04	1 of 6 - Option sale to close-call ²⁵
	2.000	19.98	04/29/20	94.01	...	-74.03	2 of 6 - Option sale to close-call ²⁵
	3.000	38.99	04/29/20	90.00	...	-51.01	3 of 6 - Option sale to close-call ²⁵
	2.000	17.99	04/29/20	60.00	...	-42.01	4 of 6 - Option sale to close-call ²⁵
	1.000	9.98	04/29/20	47.00	...	-37.02	5 of 6 - Option sale to close-call ²⁵
	1.000	11.98	04/29/20	47.00	...	-35.02	6 of 6 - Option sale to close-call ²⁵
04/30/20	15.000	152.90	Various	620.03	...	-467.13	Total of 6 transactions
04/30/20	10.000	129.97	04/29/20	300.00	170.03 W	0.00	Option sale to close-call ²⁵

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Account 126681436

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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DAL 05/01/2020 CALL \$29.00 / CUSIP: / Symbol: (cont'd)							
Security total:		282.87		920.03		170.03 W	-467.13
DAL 05/22/2020 CALL \$25.00 / CUSIP: / Symbol:							
2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	14.000	209.96	05/18/20	168.00	...	41.96	1 of 2 - Option sale to close-call ²⁵
	16.000	239.96	05/18/20	192.00	...	47.96	2 of 2 - Option sale to close-call ²⁵
05/19/20	30.000	449.92	Various	360.00	...	89.92	Total of 2 transactions
DIS 05/22/2020 CALL \$112.00 / CUSIP: / Symbol:							
3 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	2.000	1,589.95	05/15/20	180.00	...	1,409.95	1 of 3 - Option sale to close-call ²⁵
	3.000	2,384.93	05/15/20	270.00	...	2,114.93	2 of 3 - Option sale to close-call ²⁵
	4.000	3,179.91	05/15/20	360.00	...	2,819.91	3 of 3 - Option sale to close-call ²⁵
05/18/20	9.000	7,154.79	Various	810.00	...	6,344.79	Total of 3 transactions
DIS 09/04/2020 CALL \$138.00 / CUSIP: / Symbol:							
18 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	24.000	1,175.93	09/02/20	1,296.00	...	-120.07	1 of 18 - Option sale to close-call ²⁵
	10.000	489.97	09/02/20	540.00	...	-50.03	2 of 18 - Option sale to close-call ²⁵
	6.000	293.98	09/02/20	324.00	...	-30.02	3 of 18 - Option sale to close-call ²⁵
	6.000	293.98	09/02/20	324.00	...	-30.02	4 of 18 - Option sale to close-call ²⁵
	5.000	244.98	09/02/20	270.00	...	-25.02	5 of 18 - Option sale to close-call ²⁵
	4.000	195.99	09/02/20	216.00	...	-20.01	6 of 18 - Option sale to close-call ²⁵
	1.000	48.99	09/02/20	64.02	...	-15.03	7 of 18 - Option sale to close-call ²⁵
	3.000	146.99	09/02/20	162.00	...	-15.01	8 of 18 - Option sale to close-call ²⁵

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DIS 09/04/2020 CALL \$138.00 / CUSIP: / Symbol: (cont'd)							
	3.000	146.99	09/02/20	162.00	...	-15.01	9 of 18 - Option sale to close-call ²⁵
	1.000	48.98	09/02/20	59.01	...	-10.03	10 of 18 - Option sale to close-call ²⁵
	2.000	97.99	09/02/20	108.00	...	-10.01	11 of 18 - Option sale to close-call ²⁵
	2.000	97.99	09/02/20	108.00	...	-10.01	12 of 18 - Option sale to close-call ²⁵
	2.000	97.99	09/02/20	108.00	...	-10.01	13 of 18 - Option sale to close-call ²⁵
	2.000	97.99	09/02/20	108.00	...	-10.01	14 of 18 - Option sale to close-call ²⁵
	1.000	48.99	09/02/20	59.00	...	-10.01	15 of 18 - Option sale to close-call ²⁵
	1.000	49.00	09/02/20	59.00	...	-10.00	16 of 18 - Option sale to close-call ²⁵
	1.000	49.00	09/02/20	54.00	...	-5.00	17 of 18 - Option sale to close-call ²⁵
	1.000	49.00	09/02/20	54.00	...	-5.00	18 of 18 - Option sale to close-call ²⁵
09/02/20	75.000	3,674.73	Various	4,075.03	...	-400.30	Total of 18 transactions
	4 transactions for 09/02/20. Total proceeds and cost reported to the IRS.						
	1.000	48.98	09/02/20	54.00	5.02 W	0.00	1 of 4 - Option sale to close-call ²⁵
	2.000	97.99	09/02/20	108.00	10.01 W	0.00	2 of 4 - Option sale to close-call ²⁵
	1.000	49.00	09/02/20	59.02	10.02 W	0.00	3 of 4 - Option sale to close-call ²⁵
	1.000	49.00	09/02/20	54.00	5.00 W	0.00	4 of 4 - Option sale to close-call ²⁵
09/02/20	5.000	244.97	Various	275.02	30.05 W	0.00	Total of 4 transactions
	Security total:	3,919.70		4,350.05	30.05 W	-400.30	
DIS 09/11/2020 CALL \$138.00 / CUSIP: / Symbol:							
	2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.						
	4.000	611.97	08/28/20	1,236.02	...	-624.05	1 of 2 - Option sale to close-call ²⁵
	1.000	152.99	08/28/20	237.00	...	-84.01	2 of 2 - Option sale to close-call ²⁵
08/31/20	5.000	764.96	Various	1,473.02	...	-708.06	Total of 2 transactions

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(continued)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIS 09/11/2020 CALL \$138.00 / CUSIP: / Symbol: (cont'd)							
08/31/20	5.000	764.97	08/28/20	1,125.00	288.02 W	-72.01	Option sale to close-call ²⁵
	Security total:	1,529.93		2,598.02	288.02 W	-780.07	
DKNG 08/28/2020 CALL \$40.00 / CUSIP: / Symbol:							
	7 transactions for 08/27/20. Total proceeds and cost reported to the IRS.						
	7.000	454.96	08/27/20	700.00	...	-245.04	1 of 7 - Option sale to close-call ²⁵
	7.000	454.96	08/27/20	700.00	...	-245.04	2 of 7 - Option sale to close-call ²⁵
	7.000	454.97	08/27/20	700.00	...	-245.03	3 of 7 - Option sale to close-call ²⁵
	4.000	259.98	08/27/20	400.00	...	-140.02	4 of 7 - Option sale to close-call ²⁵
	2.000	129.98	08/27/20	200.00	...	-70.02	5 of 7 - Option sale to close-call ²⁵
	1.000	65.00	08/27/20	134.00	...	-69.00	6 of 7 - Option sale to close-call ²⁵
	1.000	64.98	08/27/20	100.00	...	-35.02	7 of 7 - Option sale to close-call ²⁵
08/27/20	29.000	1,884.83	Various	2,934.00	...	-1,049.17	Total of 7 transactions
08/27/20	1.000	65.00	08/27/20	99.00	34.00 W	0.00	Option sale to close-call ²⁵
	Security total:	1,949.83		3,033.00	34.00 W	-1,049.17	
FB 05/15/2020 PUT \$200.00 / CUSIP: / Symbol:							
05/13/20	1.000	29.98	05/13/20	53.00	...	-23.02	Option sale to close-put ²⁵
	7 transactions for 05/13/20. Total proceeds and cost reported to the IRS.						
	4.000	119.98	05/13/20	212.00	23.01 W	-69.01	1 of 7 - Option sale to close-put ²⁵
	3.000	89.98	05/13/20	144.00	54.02 W	0.00	2 of 7 - Option sale to close-put ²⁵
	2.000	59.98	05/13/20	96.00	36.02 W	0.00	3 of 7 - Option sale to close-put ²⁵
	3.000	89.98	05/13/20	213.02	123.04 W	0.00	4 of 7 - Option sale to close-put ²⁵
	3.000	89.98	05/13/20	159.00	69.02 W	0.00	5 of 7 - Option sale to close-put ²⁵
	2.000	59.98	05/13/20	142.02	82.04 W	0.00	6 of 7 - Option sale to close-put ²⁵
	5.000	149.98	05/13/20	265.00	115.02 W	0.00	7 of 7 - Option sale to close-put ²⁵
05/13/20	22.000	659.86	Various	1,231.04	502.17 W	-69.01	Total of 7 transactions
	8 transactions for 05/14/20. Total proceeds and cost reported to the IRS.						
	1.000	209.99	05/13/20	148.01	...	61.98	1 of 8 - Option sale to close-put ²⁵
	1.000	207.99	05/13/20	119.01	...	88.98	2 of 8 - Option sale to close-put ²⁵
	1.000	207.99	05/13/20	119.00	...	88.99	3 of 8 - Option sale to close-put ²⁵
	1.000	209.99	05/13/20	119.00	...	90.99	4 of 8 - Option sale to close-put ²⁵
	2.000	419.99	05/13/20	296.03	...	123.96	5 of 8 - Option sale to close-put ²⁵
	2.000	419.99	05/13/20	292.04	...	127.95	6 of 8 - Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 126681436

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FB 05/15/2020 PUT \$200.00 / CUSIP: / Symbol: (cont'd)							
	3.000	623.97	05/13/20	357.02	...	266.95	7 of 8 - Option sale to close-put ²⁵
	3.000	623.98	05/13/20	357.02	...	266.96	8 of 8 - Option sale to close-put ²⁵
05/14/20	14.000	2,923.89	Various	1,807.13	...	1,116.76	Total of 8 transactions
	Security total:	3,613.73		3,091.17	502.17 W	1,024.73	
FB 05/15/2020 PUT \$202.50 / CUSIP: / Symbol:							
6 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	1.000	224.98	05/13/20	216.00	...	8.98	1 of 6 - Option sale to close-put ²⁵
	1.000	224.98	05/13/20	216.00	...	8.98	2 of 6 - Option sale to close-put ²⁵
	1.000	224.98	05/13/20	216.00	...	8.98	3 of 6 - Option sale to close-put ²⁵
	1.000	224.99	05/13/20	216.00	...	8.99	4 of 6 - Option sale to close-put ²⁵
	1.000	224.99	05/13/20	216.00	...	8.99	5 of 6 - Option sale to close-put ²⁵
	1.000	171.98	05/13/20	60.00	...	111.98	6 of 6 - Option sale to close-put ²⁵
05/13/20	6.000	1,296.90	Various	1,140.00	...	156.90	Total of 6 transactions
FB 05/22/2020 CALL \$222.50 / CUSIP: / Symbol:							
2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.							
	4.000	519.98	05/19/20	700.00	...	-180.02	1 of 2 - Option sale to close-call ²⁵
	1.000	129.99	05/19/20	173.00	...	-43.01	2 of 2 - Option sale to close-call ²⁵
05/19/20	5.000	649.97	Various	873.00	...	-223.03	Total of 2 transactions
FB 08/28/2020 CALL \$280.00 / CUSIP: / Symbol:							
08/25/20	4.000	859.97	08/25/20	996.00	102.02 W	-34.01	Option sale to close-call ²⁵
08/26/20	3.000	2,849.92	08/25/20	1,302.02	...	1,547.90	Option sale to close-call ²⁵
	Security total:	3,709.89		2,298.02	102.02 W	1,513.89	
FB 08/28/2020 CALL \$300.00 / CUSIP: / Symbol:							
7 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	1.000	66.99	08/25/20	127.02	...	-60.03	1 of 7 - Option sale to close-call ²⁵
	1.000	66.99	08/25/20	124.01	...	-57.02	2 of 7 - Option sale to close-call ²⁵
	1.000	66.99	08/25/20	124.00	...	-57.01	3 of 7 - Option sale to close-call ²⁵
	1.000	67.00	08/25/20	124.01	...	-57.01	4 of 7 - Option sale to close-call ²⁵
	1.000	67.00	08/25/20	124.00	...	-57.00	5 of 7 - Option sale to close-call ²⁵
	1.000	67.00	08/25/20	107.00	...	-40.00	6 of 7 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 126681436

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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FB 08/28/2020 CALL \$300.00 / CUSIP: / Symbol: (cont'd)							
	1.000	67.00	08/25/20	107.00	...	-40.00	7 of 7 - Option sale to close-call ²⁵
08/25/20	7.000	468.97	Various	837.04	...	-368.07	Total of 7 transactions
9 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	1.000	67.00	08/25/20	84.00	17.00 W	0.00	1 of 9 - Option sale to close-call ²⁵
	1.000	67.00	08/25/20	84.00	17.00 W	0.00	2 of 9 - Option sale to close-call ²⁵
	2.000	133.99	08/25/20	168.00	34.01 W	0.00	3 of 9 - Option sale to close-call ²⁵
	2.000	133.99	08/25/20	174.00	40.01 W	0.00	4 of 9 - Option sale to close-call ²⁵
	1.000	67.00	08/25/20	104.00	37.00 W	0.00	5 of 9 - Option sale to close-call ²⁵
	1.000	67.00	08/25/20	104.00	37.00 W	0.00	6 of 9 - Option sale to close-call ²⁵
	2.000	133.99	08/25/20	208.01	74.02 W	0.00	7 of 9 - Option sale to close-call ²⁵
	1.000	67.00	08/25/20	87.00	20.00 W	0.00	8 of 9 - Option sale to close-call ²⁵
	1.000	66.99	08/25/20	107.01	40.02 W	0.00	9 of 9 - Option sale to close-call ²⁵
08/25/20	12.000	803.96	Various	1,120.02	316.06 W	0.00	Total of 9 transactions
	Security total:	1,272.93		1,957.06	316.06 W	-368.07	
FB 09/18/2020 CALL \$320.00 / CUSIP: / Symbol:							
6 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	2.000	1,159.97	09/02/20	1,210.03	...	-50.06	1 of 6 - Option sale to close-call ²⁵
	3.000	1,739.95	09/02/20	1,785.00	...	-45.05	2 of 6 - Option sale to close-call ²⁵
	2.000	1,169.96	09/02/20	1,210.03	...	-40.07	3 of 6 - Option sale to close-call ²⁵
	2.000	1,159.96	09/02/20	1,190.00	...	-30.04	4 of 6 - Option sale to close-call ²⁵
	1.000	584.97	09/02/20	605.02	...	-20.05	5 of 6 - Option sale to close-call ²⁵
	1.000	579.97	09/02/20	595.00	...	-15.03	6 of 6 - Option sale to close-call ²⁵
09/02/20	11.000	6,394.78	Various	6,595.08	...	-200.30	Total of 6 transactions
09/02/20	5.000	2,924.92	09/02/20	2,975.00	50.08 W	0.00	Option sale to close-call ²⁵
	Security total:	9,319.70		9,570.08	50.08 W	-200.30	
GILD 05/15/2020 CALL \$80.00 / CUSIP: / Symbol:							
2 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	10.000	479.96	05/13/20	520.00	...	-40.04	1 of 2 - Option sale to close-call ²⁵
	10.000	479.97	05/13/20	520.00	...	-40.03	2 of 2 - Option sale to close-call ²⁵
05/13/20	20.000	959.93	Various	1,040.00	...	-80.07	Total of 2 transactions

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Robinhood Securities LLC

Account 126681436

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INTC 09/18/2020 CALL \$60.00 / CUSIP: / Symbol:							
<i>21 transactions for 09/02/20. Total proceeds and cost reported to the IRS.</i>							
	7.000	195.98	09/02/20	308.04	...	-112.06	1 of 21 - Option sale to close-call 25
	3.000	83.98	09/02/20	138.05	...	-54.07	2 of 21 - Option sale to close-call 25
	3.000	83.99	09/02/20	138.05	...	-54.06	3 of 21 - Option sale to close-call 25
	2.000	55.99	09/02/20	90.02	...	-34.03	4 of 21 - Option sale to close-call 25
	2.000	56.00	09/02/20	90.02	...	-34.02	5 of 21 - Option sale to close-call 25
	1.000	27.99	09/02/20	47.01	...	-19.02	6 of 21 - Option sale to close-call 25
	1.000	27.98	09/02/20	44.00	...	-16.02	7 of 21 - Option sale to close-call 25
	1.000	28.00	09/02/20	44.00	...	-16.00	8 of 21 - Option sale to close-call 25
	3.000	83.99	09/02/20	96.04	...	-12.05	9 of 21 - Option sale to close-call 25
	2.000	55.99	09/02/20	64.04	...	-8.05	10 of 21 - Option sale to close-call 25
	2.000	55.99	09/02/20	64.03	...	-8.04	11 of 21 - Option sale to close-call 25
	3.000	83.98	09/02/20	90.03	...	-6.05	12 of 21 - Option sale to close-call 25
	1.000	28.00	09/02/20	33.01	...	-5.01	13 of 21 - Option sale to close-call 25
	2.000	55.99	09/02/20	60.02	...	-4.03	14 of 21 - Option sale to close-call 25
	2.000	55.99	09/02/20	60.01	...	-4.02	15 of 21 - Option sale to close-call 25
	2.000	56.00	09/02/20	60.01	...	-4.01	16 of 21 - Option sale to close-call 25
	1.000	28.00	09/02/20	32.01	...	-4.01	17 of 21 - Option sale to close-call 25

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Robinhood Securities LLC

Account 126681436

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INTC 09/18/2020 CALL \$60.00 / CUSIP: / Symbol: (cont'd)							
	1.000	28.00	09/02/20	30.01	...	-2.01	18 of 21 - Option sale to close-call ₂₅
	1.000	28.00	09/02/20	30.00	...	-2.00	19 of 21 - Option sale to close-call ₂₅
	1.000	28.00	09/02/20	30.00	...	-2.00	20 of 21 - Option sale to close-call ₂₅
	1.000	28.00	09/02/20	30.00	...	-2.00	21 of 21 - Option sale to close-call ₂₅
09/02/20	42.000	1,175.84	Various	1,578.40	...	-402.56	Total of 21 transactions
	46 transactions for 09/02/20. Total proceeds and cost reported to the IRS.						
	1.000	24.00	09/02/20	25.00	1.00 W	0.00	1 of 46 - Option sale to close-call ₂₅
	1.000	24.00	09/02/20	25.00	1.00 W	0.00	2 of 46 - Option sale to close-call ₂₅
	6.000	143.97	09/02/20	150.00	6.03 W	0.00	3 of 46 - Option sale to close-call ₂₅
	1.000	23.99	09/02/20	26.00	2.01 W	0.00	4 of 46 - Option sale to close-call ₂₅
	1.000	23.99	09/02/20	26.00	2.01 W	0.00	5 of 46 - Option sale to close-call ₂₅
	5.000	119.98	09/02/20	125.00	5.02 W	0.00	6 of 46 - Option sale to close-call ₂₅
	7.000	167.98	09/02/20	175.00	7.02 W	0.00	7 of 46 - Option sale to close-call ₂₅
	1.000	24.00	09/02/20	27.01	3.01 W	0.00	8 of 46 - Option sale to close-call ₂₅
	6.000	143.98	09/02/20	156.03	12.05 W	0.00	9 of 46 - Option sale to close-call ₂₅
	1.000	24.00	09/02/20	27.01	3.01 W	0.00	10 of 46 - Option sale to close-call ₂₅
	2.000	47.99	09/02/20	52.01	4.02 W	0.00	11 of 46 - Option sale to close-call ₂₅
	3.000	71.99	09/02/20	78.01	6.02 W	0.00	12 of 46 - Option sale to close-call ₂₅

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Account 126681436

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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INTC 09/18/2020 CALL \$60.00 / CUSIP: / Symbol: (cont'd)							
	3.000	71.99	09/02/20	78.01	6.02 W	0.00	13 of 46 - Option sale to close-call ₂₅
	1.000	24.00	09/02/20	28.01	4.01 W	0.00	14 of 46 - Option sale to close-call ₂₅
	4.000	95.99	09/02/20	104.01	8.02 W	0.00	15 of 46 - Option sale to close-call ₂₅
	6.000	143.99	09/02/20	162.05	18.06 W	0.00	16 of 46 - Option sale to close-call ₂₅
	9.000	215.98	09/02/20	225.00	9.02 W	0.00	17 of 46 - Option sale to close-call ₂₅
	4.000	95.99	09/02/20	100.00	4.01 W	0.00	18 of 46 - Option sale to close-call ₂₅
	2.000	47.99	09/02/20	50.00	2.01 W	0.00	19 of 46 - Option sale to close-call ₂₅
	3.000	71.99	09/02/20	75.00	3.01 W	0.00	20 of 46 - Option sale to close-call ₂₅
	4.000	95.99	09/02/20	100.00	4.01 W	0.00	21 of 46 - Option sale to close-call ₂₅
	1.000	24.00	09/02/20	28.01	4.01 W	0.00	22 of 46 - Option sale to close-call ₂₅
	2.000	47.99	09/02/20	54.02	6.03 W	0.00	23 of 46 - Option sale to close-call ₂₅
	2.000	47.99	09/02/20	54.01	6.02 W	0.00	24 of 46 - Option sale to close-call ₂₅
	3.000	71.99	09/02/20	81.02	9.03 W	0.00	25 of 46 - Option sale to close-call ₂₅
	1.000	24.00	09/02/20	27.01	3.01 W	0.00	26 of 46 - Option sale to close-call ₂₅
	1.000	24.00	09/02/20	42.01	18.01 W	0.00	27 of 46 - Option sale to close-call ₂₅
	4.000	95.99	09/02/20	160.02	64.03 W	0.00	28 of 46 - Option sale to close-call ₂₅
	6.000	143.98	09/02/20	246.06	102.08 W	0.00	29 of 46 - Option sale to close-call ₂₅

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INTC 09/18/2020 CALL \$60.00 / CUSIP: / Symbol: (cont'd)							
	9.000	215.98	09/02/20	351.02	135.04 W	0.00	30 of 46 - Option sale to close-call 25
	1.000	28.00	09/02/20	29.00	1.00 W	0.00	31 of 46 - Option sale to close-call 25
	1.000	28.00	09/02/20	29.00	1.00 W	0.00	32 of 46 - Option sale to close-call 25
	1.000	28.00	09/02/20	29.00	1.00 W	0.00	33 of 46 - Option sale to close-call 25
	1.000	28.00	09/02/20	29.01	1.01 W	0.00	34 of 46 - Option sale to close-call 25
	2.000	55.99	09/02/20	58.01	2.02 W	0.00	35 of 46 - Option sale to close-call 25
	3.000	83.98	09/02/20	87.01	3.03 W	0.00	36 of 46 - Option sale to close-call 25
	1.000	28.00	09/02/20	32.01	4.01 W	0.00	37 of 46 - Option sale to close-call 25
	2.000	55.99	09/02/20	62.03	6.04 W	0.00	38 of 46 - Option sale to close-call 25
	4.000	111.99	09/02/20	116.01	4.02 W	0.00	39 of 46 - Option sale to close-call 25
	1.000	28.00	09/02/20	46.01	18.01 W	0.00	40 of 46 - Option sale to close-call 25
	1.000	28.00	09/02/20	31.01	3.01 W	0.00	41 of 46 - Option sale to close-call 25
	2.000	55.99	09/02/20	62.02	6.03 W	0.00	42 of 46 - Option sale to close-call 25
	3.000	83.99	09/02/20	93.03	9.04 W	0.00	43 of 46 - Option sale to close-call 25
	4.000	111.99	09/02/20	176.03	64.04 W	0.00	44 of 46 - Option sale to close-call 25
	6.000	167.98	09/02/20	270.08	102.10 W	0.00	45 of 46 - Option sale to close-call 25
	1.000	28.00	09/02/20	43.00	15.00 W	0.00	46 of 46 - Option sale to close-call 25
09/02/20	134.000	3,351.60	Various	4,049.59	697.99 W	0.00	Total of 46 transactions

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Account 126681436

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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INTC 09/18/2020 CALL \$60.00 / CUSIP: / Symbol: (cont'd)							
Security total:		4,527.44		5,627.99		697.99 W	-402.56
JPM 09/18/2020 CALL \$110.00 / CUSIP: / Symbol:							
3 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	8.000	471.97	09/02/20	552.00	...	-80.03	1 of 3 - Option sale to close-call ²⁵
	8.000	471.97	09/02/20	552.00	...	-80.03	2 of 3 - Option sale to close-call ²⁵
	8.000	471.97	09/02/20	552.00	...	-80.03	3 of 3 - Option sale to close-call ²⁵
09/02/20	24.000	1,415.91	Various	1,656.00	...	-240.09	Total of 3 transactions
MDB 05/08/2020 CALL \$180.00 / CUSIP: / Symbol:							
05/05/20	4.000	179.98	05/05/20	260.00	...	-80.02	Option sale to close-call ²⁵
MGM 09/11/2020 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	40.000	199.91	08/28/20	800.00	...	-600.09	1 of 2 - Option sale to close-call ²⁵
	10.000	49.97	08/28/20	200.00	...	-150.03	2 of 2 - Option sale to close-call ²⁵
08/31/20	50.000	249.88	Various	1,000.00	...	-750.12	Total of 2 transactions
MRNA 08/28/2020 CALL \$80.00 / CUSIP: / Symbol:							
2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	08/26/20	128.00	...	-128.00	1 of 2 - Option expiration- long call
	1.000	0.00	08/26/20	64.00	...	-64.00	2 of 2 - Option expiration- long call
08/28/20	3.000	0.00	Various	192.00	...	-192.00	Total of 2 transactions
MRNA 09/04/2020 CALL \$66.00 / CUSIP: / Symbol:							
4 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	6.000	467.97	09/02/20	720.00	252.03 W	0.00	1 of 4 - Option sale to close-call ²⁵
	3.000	233.98	09/02/20	360.00	126.02 W	0.00	2 of 4 - Option sale to close-call ²⁵
	1.000	77.98	09/02/20	120.00	42.02 W	0.00	3 of 4 - Option sale to close-call ²⁵
	10.000	779.96	09/02/20	1,200.00	420.04 W	0.00	4 of 4 - Option sale to close-call ²⁵
09/02/20	20.000	1,559.89	Various	2,400.00	840.11 W	0.00	Total of 4 transactions
7 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	1.000	269.99	09/02/20	146.02	...	123.97	1 of 7 - Option sale to close-call ²⁵
	1.000	269.99	09/02/20	145.01	...	124.98	2 of 7 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 126681436

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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MRNA 09/04/2020 CALL \$66.00 / CUSIP: / Symbol: (cont'd)							
	3.000	809.98	09/02/20	438.02	...	371.96	3 of 7 - Option sale to close-call ²⁵
	5.000	1,349.96	09/02/20	730.02	...	619.94	4 of 7 - Option sale to close-call ²⁵
	10.000	2,699.92	09/02/20	1,460.04	...	1,239.88	5 of 7 - Option sale to close-call ²⁵
	16.000	3,519.89	09/02/20	1,664.00	...	1,855.89	6 of 7 - Option sale to close-call ²⁵
	15.000	4,049.88	09/02/20	1,560.00	...	2,489.88	7 of 7 - Option sale to close-call ²⁵
09/03/20	51.000	12,969.61	Various	6,143.11	...	6,826.50	Total of 7 transactions
	Security total:	14,529.50		8,543.11	840.11 W	6,826.50	
MRNA 09/04/2020 CALL \$70.00 / CUSIP: / Symbol:							
3 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	40.000	1,039.89	09/02/20	1,360.00	...	-320.11	1 of 3 - Option sale to close-call ²⁵
	34.000	883.91	09/02/20	1,156.00	...	-272.09	2 of 3 - Option sale to close-call ²⁵
	6.000	155.98	09/02/20	204.00	...	-48.02	3 of 3 - Option sale to close-call ²⁵
09/02/20	80.000	2,079.78	Various	2,720.00	...	-640.22	Total of 3 transactions
MSFT 05/22/2020 PUT \$170.00 / CUSIP: / Symbol:							
2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	2.000	225.98	05/14/20	210.00	...	15.98	1 of 2 - Option sale to close-put ²⁵
	3.000	356.98	05/14/20	315.00	...	41.98	2 of 2 - Option sale to close-put ²⁵
05/15/20	5.000	582.96	Various	525.00	...	57.96	Total of 2 transactions
MSFT 09/04/2020 CALL \$232.50 / CUSIP: / Symbol:							
08/27/20	8.000	4,799.87	08/27/20	2,880.00	...	1,919.87	Option sale to close-call ²⁵
MSFT 09/04/2020 CALL \$235.00 / CUSIP: / Symbol:							
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	7.000	846.97	09/02/20	938.00	...	-91.03	1 of 2 - Option sale to close-call ²⁵
	1.000	120.98	09/02/20	134.00	...	-13.02	2 of 2 - Option sale to close-call ²⁵
09/02/20	8.000	967.95	Various	1,072.00	...	-104.05	Total of 2 transactions
NFLX 05/01/2020 CALL \$435.00 / CUSIP: / Symbol:							
04/24/20	1.000	627.97	04/24/20	990.03	...	-362.06	Option sale to close-call ²⁵
04/24/20	1.000	764.97	04/24/20	950.00	185.03 W	0.00	Option sale to close-call ²⁵
	Security total:	1,392.94		1,940.03	185.03 W	-362.06	

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Robinhood Securities LLC

Account 126681436

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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NIO 05/01/2020 CALL \$4.00 / CUSIP: / Symbol:							
7 transactions for 04/30/20. Total proceeds and cost reported to the IRS.							
	19.000	56.95	04/29/20	285.00	...	-228.05	1 of 7 - Option sale to close-call ²⁵
	13.000	38.96	04/29/20	195.00	...	-156.04	2 of 7 - Option sale to close-call ²⁵
	10.000	29.97	04/29/20	150.00	...	-120.03	3 of 7 - Option sale to close-call ²⁵
	10.000	29.97	04/29/20	150.00	...	-120.03	4 of 7 - Option sale to close-call ²⁵
	9.000	26.97	04/29/20	135.00	...	-108.03	5 of 7 - Option sale to close-call ²⁵
	6.000	17.98	04/29/20	90.00	...	-72.02	6 of 7 - Option sale to close-call ²⁵
	3.000	8.98	04/29/20	45.00	...	-36.02	7 of 7 - Option sale to close-call ²⁵
04/30/20	70.000	209.78	Various	1,050.00	...	-840.22	Total of 7 transactions
NVDA 05/15/2020 CALL \$310.00 / CUSIP: / Symbol:							
2 transactions for 05/13/20. Total proceeds and cost reported to the IRS.							
	1.000	609.98	05/13/20	555.00	...	54.98	1 of 2 - Option sale to close-call ²⁵
	1.000	609.98	05/13/20	555.00	...	54.98	2 of 2 - Option sale to close-call ²⁵
05/13/20	2.000	1,219.96	Various	1,110.00	...	109.96	Total of 2 transactions
NVDA 09/04/2020 PUT \$525.00 / CUSIP: / Symbol:							
3 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	2.000	105.98	09/01/20	500.00	...	-394.02	1 of 3 - Option sale to close-put ²⁵
	1.000	63.98	09/01/20	250.00	...	-186.02	2 of 3 - Option sale to close-put ²⁵
	1.000	73.98	09/01/20	250.00	...	-176.02	3 of 3 - Option sale to close-put ²⁵
09/02/20	4.000	243.94	Various	1,000.00	...	-756.06	Total of 3 transactions
NVDA 09/04/2020 PUT \$550.00 / CUSIP: / Symbol:							
09/02/20	20.000	3,799.87	09/02/20	4,240.00	...	-440.13	Option sale to close-put ²⁵
OKTA 08/28/2020 CALL \$230.00 / CUSIP: / Symbol:							
08/28/20	2.000	47.98	08/27/20	810.00	...	-762.02	Option sale to close-call ²⁵
ORCL 09/04/2020 CALL \$60.00 / CUSIP: / Symbol:							
08/27/20	30.000	2,819.87	08/27/20	3,300.00	...	-480.13	Option sale to close-call ²⁵
PYPL 05/22/2020 PUT \$130.00 / CUSIP: / Symbol:							
05/13/20	19.000	1,158.93	05/13/20	1,444.00	...	-285.07	Option sale to close-put ²⁵

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Account 126681436

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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RCL 05/01/2020 CALL \$41.50 / CUSIP: / Symbol:							
04/28/20	5.000	1,074.96	04/27/20	500.00	...	574.96	Option sale to close-call ²⁵
ROKU 05/01/2020 PUT \$110.00 / CUSIP: / Symbol:							
04/29/20	20.000	1,839.91	04/28/20	1,100.00	...	739.91	Option sale to close-put ²⁵
SBUX 08/28/2020 CALL \$83.00 / CUSIP: / Symbol:							
08/26/20	3.000	140.98	08/25/20	120.00	...	20.98	Option sale to close-call ²⁵
SNAP 09/11/2020 CALL \$24.50 / CUSIP: / Symbol:							
8 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	20.000	759.94	09/02/20	780.00	...	-20.06	1 of 8 - Option sale to close-call ²⁵
	10.000	379.97	09/02/20	390.00	...	-10.03	2 of 8 - Option sale to close-call ²⁵
	10.000	379.97	09/02/20	390.00	...	-10.03	3 of 8 - Option sale to close-call ²⁵
	3.000	113.98	09/02/20	117.00	...	-3.02	4 of 8 - Option sale to close-call ²⁵
	2.000	75.98	09/02/20	78.00	...	-2.02	5 of 8 - Option sale to close-call ²⁵
	2.000	75.98	09/02/20	78.00	...	-2.02	6 of 8 - Option sale to close-call ²⁵
	2.000	75.98	09/02/20	78.00	...	-2.02	7 of 8 - Option sale to close-call ²⁵
	1.000	37.98	09/02/20	39.00	...	-1.02	8 of 8 - Option sale to close-call ²⁵
09/02/20	50.000	1,899.78	Various	1,950.00	...	-50.22	Total of 8 transactions
SPY 05/13/2020 PUT \$288.00 / CUSIP: / Symbol:							
2 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	10.000	869.96	05/12/20	700.00	...	169.96	1 of 2 - Option sale to close-put ²⁵
	9.000	782.96	05/12/20	558.00	...	224.96	2 of 2 - Option sale to close-put ²⁵
05/12/20	19.000	1,652.92	Various	1,258.00	...	394.92	Total of 2 transactions
SPY 05/20/2020 CALL \$290.00 / CUSIP: / Symbol:							
05/18/20	10.000	4,989.86	05/15/20	1,330.00	...	3,659.86	Option sale to close-call ²⁵
SPY 09/04/2020 PUT \$353.00 / CUSIP: / Symbol:							
5 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	10.000	759.96	09/02/20	840.04	...	-80.08	1 of 5 - Option sale to close-put ²⁵
	5.000	379.98	09/02/20	420.02	...	-40.04	2 of 5 - Option sale to close-put ²⁵
	3.000	227.99	09/02/20	252.01	...	-24.02	3 of 5 - Option sale to close-put ²⁵
	2.000	151.99	09/02/20	168.01	...	-16.02	4 of 5 - Option sale to close-put ²⁵

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Account 126681436

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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SPY 09/04/2020 PUT \$353.00 / CUSIP: / Symbol: (cont'd)							
	50.000	4,449.80	09/02/20	4,050.00	...	399.80	5 of 5 - Option sale to close-put ²⁵
09/02/20	70.000	5,969.72	Various	5,730.08	...	239.64	Total of 5 transactions
4 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	2.000	177.99	09/02/20	180.00	2.01 W	0.00	1 of 4 - Option sale to close-put ²⁵
	3.000	266.99	09/02/20	270.00	3.01 W	0.00	2 of 4 - Option sale to close-put ²⁵
	5.000	444.98	09/02/20	450.00	5.02 W	0.00	3 of 4 - Option sale to close-put ²⁵
	10.000	889.96	09/02/20	900.00	10.04 W	0.00	4 of 4 - Option sale to close-put ²⁵
09/02/20	20.000	1,779.92	Various	1,800.00	20.08 W	0.00	Total of 4 transactions
	Security total:	7,749.64		7,530.08	20.08 W	239.64	
SPY 09/04/2020 PUT \$355.00 / CUSIP: / Symbol:							
5 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	25.000	3,274.88	09/02/20	3,375.13	...	-100.25	1 of 5 - Option sale to close-put ²⁵
	3.000	392.98	09/02/20	405.02	...	-12.04	2 of 5 - Option sale to close-put ²⁵
	2.000	261.99	09/02/20	270.01	...	-8.02	3 of 5 - Option sale to close-put ²⁵
	1.000	130.99	09/02/20	135.02	...	-4.03	4 of 5 - Option sale to close-put ²⁵
	1.000	130.99	09/02/20	135.01	...	-4.02	5 of 5 - Option sale to close-put ²⁵
09/02/20	32.000	4,191.83	Various	4,320.19	...	-128.36	Total of 5 transactions
4 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	26.000	3,405.87	09/02/20	3,458.00	50.13 W	-2.00	1 of 4 - Option sale to close-put ²⁵
	1.000	130.98	09/02/20	133.00	2.02 W	0.00	2 of 4 - Option sale to close-put ²⁵
	3.000	392.98	09/02/20	399.00	6.02 W	0.00	3 of 4 - Option sale to close-put ²⁵
	3.000	392.98	09/02/20	399.00	6.02 W	0.00	4 of 4 - Option sale to close-put ²⁵
09/02/20	33.000	4,322.81	Various	4,389.00	64.19 W	-2.00	Total of 4 transactions
	Security total:	8,514.64		8,709.19	64.19 W	-130.36	
SQ 05/08/2020 CALL \$80.00 / CUSIP: / Symbol:							
3 transactions for 05/07/20. Total proceeds and cost reported to the IRS.							
	1.000	31.98	05/06/20	25.00	...	6.98	1 of 3 - Option sale to close-call ²⁵
	1.000	31.99	05/06/20	25.00	...	6.99	2 of 3 - Option sale to close-call ²⁵
	1.000	31.99	05/06/20	25.00	...	6.99	3 of 3 - Option sale to close-call ²⁵
05/07/20	3.000	95.96	Various	75.00	...	20.96	Total of 3 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

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TSLA 05/01/2020 CALL \$1,000.00 / CUSIP: / Symbol:							
04/27/20	1.000	509.97	04/27/20	620.00	...	-110.03	Option sale to close-call ²⁵
TSLA 09/04/2020 CALL \$2,500.00 / CUSIP: / Symbol:							
08/27/20	1.000	3,859.90	08/27/20	3,100.00	...	759.90	Option sale to close-call ²⁵
TSLA 09/04/2020 CALL \$3,000.00 / CUSIP: / Symbol:							
08/27/20	1.000	929.96	08/27/20	800.00	...	129.96	Option sale to close-call ²⁵
TSLA 09/04/2020 PUT \$400.00 / CUSIP: / Symbol:							
09/02/20	3.000	869.97	09/02/20	1,038.00	...	-168.03	Option sale to close-put ²⁵
TSLA 09/18/2020 CALL \$500.00 / CUSIP: / Symbol:							
09/02/20	1.000	2,684.93	09/02/20	1,890.00	...	794.93	Option sale to close-call ²⁵
UBER 05/15/2020 CALL \$33.00 / CUSIP: / Symbol:							
<i>3 transactions for 05/13/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	221.98	05/13/20	180.00	...	41.98	1 of 3 - Option sale to close-call ²⁵
	7.000	517.97	05/13/20	420.00	...	97.97	2 of 3 - Option sale to close-call ²⁵
	8.000	591.96	05/13/20	480.00	...	111.96	3 of 3 - Option sale to close-call ²⁵
05/13/20	18.000	1,331.91	Various	1,080.00	...	251.91	Total of 3 transactions
VXX 09/04/2020 CALL \$30.00 / CUSIP: / Symbol:							
<i>12 transactions for 09/02/20. Total proceeds and cost reported to the IRS.</i>							
	12.000	395.97	09/02/20	456.00	...	-60.03	1 of 12 - Option sale to close-call ²⁵
	10.000	329.97	09/02/20	380.00	...	-50.03	2 of 12 - Option sale to close-call ²⁵
	7.000	230.98	09/02/20	266.00	...	-35.02	3 of 12 - Option sale to close-call ²⁵
	7.000	230.98	09/02/20	266.00	...	-35.02	4 of 12 - Option sale to close-call ²⁵
	5.000	164.99	09/02/20	190.00	...	-25.01	5 of 12 - Option sale to close-call ²⁵
	2.000	65.99	09/02/20	76.00	...	-10.01	6 of 12 - Option sale to close-call ²⁵

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VXX 09/04/2020 CALL \$30.00 / CUSIP: / Symbol: (cont'd)							
	2.000	65.99	09/02/20	76.00	...	-10.01	7 of 12 - Option sale to close-call ²⁵
	1.000	32.99	09/02/20	38.00	...	-5.01	8 of 12 - Option sale to close-call ²⁵
	1.000	33.00	09/02/20	38.00	...	-5.00	9 of 12 - Option sale to close-call ²⁵
	1.000	33.00	09/02/20	38.00	...	-5.00	10 of 12 - Option sale to close-call ²⁵
	1.000	33.00	09/02/20	38.00	...	-5.00	11 of 12 - Option sale to close-call ²⁵
	1.000	33.00	09/02/20	38.00	...	-5.00	12 of 12 - Option sale to close-call ²⁵
09/02/20	50.000	1,649.86	Various	1,900.00	...	-250.14	Total of 12 transactions
W 05/22/2020 PUT \$150.00 / CUSIP: / Symbol:							
05/15/20	2.000	459.97	05/14/20	640.00	...	-180.03	Option sale to close-put ²⁵
WDAY 08/28/2020 CALL \$225.00 / CUSIP: / Symbol:							
08/27/20	7.000	2,904.92	08/26/20	2,800.00	...	104.92	Option sale to close-call ²⁵
WMT 08/28/2020 CALL \$135.00 / CUSIP: / Symbol:							
5 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	189.99	08/27/20	125.00	...	64.99	1 of 5 - Option sale to close-call ²⁵
	1.000	189.99	08/27/20	125.00	...	64.99	2 of 5 - Option sale to close-call ²⁵
	2.000	379.98	08/27/20	250.00	...	129.98	3 of 5 - Option sale to close-call ²⁵
	3.000	569.98	08/27/20	375.00	...	194.98	4 of 5 - Option sale to close-call ²⁵
	3.000	569.98	08/27/20	375.00	...	194.98	5 of 5 - Option sale to close-call ²⁵
08/27/20	10.000	1,899.92	Various	1,250.00	...	649.92	Total of 5 transactions
WMT 09/04/2020 CALL \$140.00 / CUSIP: / Symbol:							
18 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	1.000	199.00	08/27/20	193.00	...	6.00	1 of 18 - Option sale to close-call ²⁵
	1.000	161.99	08/27/20	154.03	...	7.96	2 of 18 - Option sale to close-call ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 126681436

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WMT 09/04/2020 CALL \$140.00 / CUSIP: / Symbol: (cont'd)							
	1.000	161.99	08/27/20	154.01	...	7.98	3 of 18 - Option sale to close-call ₂₅
	1.000	161.98	08/27/20	152.01	...	9.97	4 of 18 - Option sale to close-call ₂₅
	1.000	161.99	08/27/20	152.01	...	9.98	5 of 18 - Option sale to close-call ₂₅
	1.000	161.99	08/27/20	151.00	...	10.99	6 of 18 - Option sale to close-call ₂₅
	1.000	162.00	08/27/20	151.00	...	11.00	7 of 18 - Option sale to close-call ₂₅
	1.000	198.99	08/27/20	187.00	...	11.99	8 of 18 - Option sale to close-call ₂₅
	2.000	323.99	08/27/20	308.02	...	15.97	9 of 18 - Option sale to close-call ₂₅
	1.000	261.99	08/27/20	243.00	...	18.99	10 of 18 - Option sale to close-call ₂₅
	3.000	485.98	08/27/20	462.04	...	23.94	11 of 18 - Option sale to close-call ₂₅
	2.000	323.99	08/27/20	300.01	...	23.98	12 of 18 - Option sale to close-call ₂₅
	3.000	596.98	08/27/20	567.00	...	29.98	13 of 18 - Option sale to close-call ₂₅
	5.000	809.97	08/27/20	770.06	...	39.91	14 of 18 - Option sale to close-call ₂₅
	14.000	3,667.89	08/27/20	3,626.00	...	41.89	15 of 18 - Option sale to close-call ₂₅
	5.000	994.96	08/27/20	930.00	...	64.96	16 of 18 - Option sale to close-call ₂₅
	16.000	3,183.90	08/27/20	3,024.00	...	159.90	17 of 18 - Option sale to close-call ₂₅
	16.000	2,591.92	08/27/20	2,336.00	...	255.92	18 of 18 - Option sale to close-call ₂₅
08/27/20	75.000	14,611.50	Various	13,860.19	...	751.31	Total of 18 transactions

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Robinhood Securities LLC

Account 126681436

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WMT 09/04/2020 CALL \$140.00 / CUSIP: / Symbol: (cont'd)							
10 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	3.000	485.98	08/27/20	498.00	12.02 W	0.00	1 of 10 - Option sale to close-call ²⁵
	5.000	809.97	08/27/20	830.00	20.03 W	0.00	2 of 10 - Option sale to close-call ²⁵
	3.000	485.98	08/27/20	498.00	12.02 W	0.00	3 of 10 - Option sale to close-call ²⁵
	3.000	485.98	08/27/20	498.00	12.02 W	0.00	4 of 10 - Option sale to close-call ²⁵
	3.000	485.98	08/27/20	510.02	24.04 W	0.00	5 of 10 - Option sale to close-call ²⁵
	2.000	323.99	08/27/20	340.01	16.02 W	0.00	6 of 10 - Option sale to close-call ²⁵
	5.000	809.97	08/27/20	850.03	40.06 W	0.00	7 of 10 - Option sale to close-call ²⁵
	1.000	161.98	08/27/20	170.01	8.03 W	0.00	8 of 10 - Option sale to close-call ²⁵
	2.000	323.99	08/27/20	332.00	8.01 W	0.00	9 of 10 - Option sale to close-call ²⁵
	3.000	485.98	08/27/20	510.02	24.04 W	0.00	10 of 10 - Option sale to close-call ²⁵
08/27/20	30.000	4,859.80	Various	5,036.09	176.29 W	0.00	Total of 10 transactions
	Security total:	19,471.30		18,896.28	176.29 W	751.31	
WYNN 08/28/2020 CALL \$90.00 / CUSIP: / Symbol:							
2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	1.000	100.98	08/24/20	88.00	...	12.98	1 of 2 - Option sale to close-call ²⁵
	2.000	201.98	08/24/20	176.00	...	25.98	2 of 2 - Option sale to close-call ²⁵
08/25/20	3.000	302.96	Various	264.00	...	38.96	Total of 2 transactions
WYNN 09/04/2020 CALL \$100.00 / CUSIP: / Symbol:							
7 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	3.000	149.99	08/28/20	450.00	...	-300.01	1 of 7 - Option sale to close-call ²⁵
	2.000	99.99	08/28/20	300.00	...	-200.01	2 of 7 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 126681436

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WYNN 09/04/2020 CALL \$100.00 / CUSIP: / Symbol: (cont'd)							
	1.000	49.99	08/28/20	150.00	...	-100.01	3 of 7 - Option sale to close-call ²⁵
	1.000	49.99	08/28/20	150.00	...	-100.01	4 of 7 - Option sale to close-call ²⁵
	1.000	50.00	08/28/20	150.00	...	-100.00	5 of 7 - Option sale to close-call ²⁵
	1.000	50.00	08/28/20	150.00	...	-100.00	6 of 7 - Option sale to close-call ²⁵
	1.000	50.00	08/28/20	149.00	...	-99.00	7 of 7 - Option sale to close-call ²⁵
08/31/20	10.000	499.96	Various	1,499.00	...	-999.04	Total of 7 transactions
ZM 08/28/2020 CALL \$290.00 / CUSIP: / Symbol:							
08/25/20	1.000	640.97	08/24/20	580.00	...	60.97	Option sale to close-call ²⁵
JUST ENERGY GROUP INC. / CUSIP: 48213W101 / Symbol:							
12 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	100.000	58.24	08/27/20	38.73	...	19.51	1 of 12 - Sale ²⁵
	100.000	58.99	08/27/20	38.80	...	20.19	2 of 12 - Sale ²⁵
	500.000	294.93	08/27/20	194.00	...	100.93	3 of 12 - Sale ²⁵
	500.000	294.93	08/27/20	194.00	...	100.93	4 of 12 - Sale ²⁵
	500.000	294.94	08/27/20	194.00	...	100.94	5 of 12 - Sale ²⁵
	600.000	349.42	08/27/20	232.02	...	117.40	6 of 12 - Sale ²⁵
	800.000	471.90	08/27/20	309.36	...	162.54	7 of 12 - Sale ²⁵
	906.000	527.62	08/27/20	351.26	...	176.36	8 of 12 - Sale ²⁵
	1,100.000	648.85	08/27/20	425.37	...	223.48	9 of 12 - Sale ²⁵
	1,400.000	825.81	08/27/20	543.20	...	282.61	10 of 12 - Sale ²⁵
	2,494.000	1,452.43	08/27/20	964.43	...	488.00	11 of 12 - Sale ²⁵
	3,000.000	1,747.10	08/27/20	1,152.00	...	595.10	12 of 12 - Sale ²⁵
08/28/20	12,000.000	7,025.16	Various	4,637.17	...	2,387.99	Total of 12 transactions
NUTANIX, INC. CLASS A COMMON S TOCK / CUSIP: 67059N108 / Symbol:							
08/27/20	1.000	25.65	08/27/20	25.45	...	0.20	Sale ²⁵
Totals :		209,624.17		190,904.92	4,210.31 W	22,929.56	

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Robinhood Securities LLC

Account 126681436

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
SIRIUS XM HOLDINGS INC. COMMON STOCK	82968B103		02/28/20	0.01	Qualified dividend	
			05/29/20	0.01	Qualified dividend	
			08/31/20	0.01	Qualified dividend	
			11/30/20	0.01	Qualified dividend	
				0.04	Total Dividends & distributions	
				0.04	Total Dividends & distributions	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.