

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 146236542	Statement Date: 02/14/2021 Document ID: 35Q5 B11 R5M8	2020
	Ritesh kumar Vangapalli 157 Stonebridge Blvd 2724 Edmond, OK 73013 RECIPIENT'S TIN: XXX-XX-7914		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 0.00</p> <p>1b- Qualified dividends 0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	330,599.87	408,012.76	0.00	61,863.59	-15,549.30
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.18	0.28	0.00	0.00	-0.10
	Total Short-term	330,600.05	408,013.04	0.00	61,863.59	-15,549.40
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	330,600.05	408,013.04	0.00	61,863.59	-15,549.40
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 146236542

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.77
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	39.93
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 146236542

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 03/20/2020 CALL \$15.00 / CUSIP: / Symbol:							
03/17/20	1.000	216.98	03/16/20	72.00	...	144.98	Option sale to close-call ²⁵
AAL 03/27/2020 CALL \$14.00 / CUSIP: / Symbol:							
03/23/20	1.000	38.98	03/18/20	118.00	...	-79.02	Option sale to close-call ²⁵
AAL 04/03/2020 CALL \$19.00 / CUSIP: / Symbol:							
04/03/20	1.000	0.00	03/25/20	118.00	...	-118.00	Option expiration- long call
AAL 06/26/2020 CALL \$18.00 / CUSIP: / Symbol:							
3 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	20.000	0.00	06/19/20	600.00	...	-600.00	1 of 3 - Option expiration- long call
	8.000	0.00	06/19/20	424.00	...	-424.00	2 of 3 - Option expiration- long call
	2.000	0.00	06/19/20	106.00	...	-106.00	3 of 3 - Option expiration- long call
06/26/20	30.000	0.00	Various	1,130.00	...	-1,130.00	Total of 3 transactions

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Robinhood Securities LLC

Account 146236542

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAL 06/26/2020 CALL \$20.00 / CUSIP: / Symbol:							
3 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	1.000	98.00	06/09/20	163.00	...	-65.00	1 of 3 - Option sale to close-call ²⁵
	5.000	489.98	06/10/20	615.00	...	-125.02	2 of 3 - Option sale to close-call ²⁵
	10.000	979.95	06/11/20	520.00	...	459.95	3 of 3 - Option sale to close-call ²⁵
06/12/20	16.000	1,567.93	Various	1,298.00	...	269.93	Total of 3 transactions
AAL 12/11/2020 PUT \$16.00 / CUSIP: / Symbol:							
12/04/20	2.000	99.98	12/03/20	126.00	...	-26.02	Option sale to close-put ²⁵
AAPL 01/15/2021 CALL \$145.00 / CUSIP: / Symbol:							
09/28/20	2.000	485.97	09/11/20	536.00	...	-50.03	Option sale to close-call ²⁵
AAPL 01/15/2021 CALL \$150.00 / CUSIP: / Symbol:							
09/29/20	2.000	45.98	09/28/20	0.00	...	45.98	Short sale closed- call ²⁵ Option written: 09/11/20
AAPL 03/20/2020 CALL \$270.00 / CUSIP: / Symbol:							
03/17/20	1.000	314.98	03/16/20	315.00	...	-0.02	Option sale to close-call ²⁵
AAPL 03/20/2020 CALL \$280.00 / CUSIP: / Symbol:							
03/13/20	1.000	474.97	03/12/20	360.00	...	114.97	Option sale to close-call ²⁵
AAPL 03/20/2020 CALL \$300.00 / CUSIP: / Symbol:							
7 transactions for 03/18/20. Total proceeds and cost reported to the IRS.							
	1.000	2.00	03/13/20	103.00	...	-101.00	1 of 7 - Option sale to close-call ²⁵
	1.000	2.00	03/13/20	27.00	...	-25.00	2 of 7 - Option sale to close-call ²⁵
	1.000	2.00	03/13/20	17.00	...	-15.00	3 of 7 - Option sale to close-call ²⁵
	5.000	9.99	03/16/20	135.00	...	-125.01	4 of 7 - Option sale to close-call ²⁵
	10.000	19.97	03/16/20	120.00	...	-100.03	5 of 7 - Option sale to close-call ²⁵
	1.000	2.00	03/16/20	20.00	...	-18.00	6 of 7 - Option sale to close-call ²⁵
	10.000	19.97	03/17/20	100.00	...	-80.03	7 of 7 - Option sale to close-call ²⁵
03/18/20	29.000	57.93	Various	522.00	...	-464.07	Total of 7 transactions
AAPL 03/20/2020 PUT \$225.00 / CUSIP: / Symbol:							
03/16/20	1.000	619.97	03/13/20	345.00	...	274.97	Option sale to close-put ²⁵

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Account 146236542

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 03/27/2020 CALL \$300.00 / CUSIP: / Symbol:							
03/10/20	1.000	450.98	03/06/20	835.00	...	-384.02	Option sale to close-call ²⁵
AAPL 04/09/2020 CALL \$272.50 / CUSIP: / Symbol:							
<i>5 transactions for 04/08/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	54.00	04/07/20	230.00	...	-176.00	1 of 5 - Option sale to close-call ²⁵
	1.000	54.00	04/07/20	192.00	...	-138.00	2 of 5 - Option sale to close-call ²⁵
	1.000	54.00	04/07/20	140.00	...	-86.00	3 of 5 - Option sale to close-call ²⁵
	2.000	107.99	04/07/20	100.00	...	7.99	4 of 5 - Option sale to close-call ²⁵
	2.000	107.99	04/07/20	92.00	...	15.99	5 of 5 - Option sale to close-call ²⁵
04/08/20	7.000	377.98	Various	754.00	...	-376.02	Total of 5 transactions
AAPL 06/12/2020 CALL \$330.00 / CUSIP: / Symbol:							
06/09/20	1.000	714.97	06/08/20	286.00	...	428.97	Option sale to close-call ²⁵
AAPL 06/19/2020 CALL \$335.00 / CUSIP: / Symbol:							
<i>2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	1,599.96	06/12/20	800.00	...	799.96	1 of 2 - Option sale to close-call ²⁵
	1.000	1,599.95	06/15/20	618.00	...	981.95	2 of 2 - Option sale to close-call ²⁵
06/16/20	2.000	3,199.91	Various	1,418.00	...	1,781.91	Total of 2 transactions
AAPL 06/26/2020 CALL \$345.00 / CUSIP: / Symbol:							
06/23/20	1.000	1,864.94	06/19/20	733.00	...	1,131.94	Option sale to close-call ²⁵
AAPL 07/24/2020 CALL \$395.00 / CUSIP: / Symbol:							
07/20/20	1.000	304.98	07/20/20	216.00	...	88.98	Option sale to close-call ²⁵
AAPL 07/31/2020 CALL \$365.00 / CUSIP: / Symbol:							
07/27/20	1.000	1,779.95	07/24/20	1,075.00	...	704.95	Option sale to close-call ²⁵
AAPL 08/07/2020 CALL \$450.00 / CUSIP: / Symbol:							
08/06/20	1.000	118.98	08/05/20	116.00	...	2.98	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 08/14/2020 CALL \$470.00 / CUSIP: / Symbol:							
2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	1.000	32.99	08/13/20	189.02	...	-156.03	1 of 2 - Option sale to close-call ²⁵
	1.000	32.99	08/13/20	91.00	...	-58.01	2 of 2 - Option sale to close-call ²⁵
08/14/20	2.000	65.98	Various	280.02	...	-214.04	Total of 2 transactions
08/14/20	1.000	32.98	08/13/20	157.00	124.02 W	0.00	Option sale to close-call ²⁵
	Security total:	98.96		437.02	124.02 W	-214.04	
AAPL 08/21/2020 CALL \$450.00 / CUSIP: / Symbol:							
08/13/20	1.000	1,609.95	08/11/20	965.00	...	644.95	Option sale to close-call ²⁵
AAPL 08/21/2020 CALL \$455.00 / CUSIP: / Symbol:							
08/14/20	1.000	829.97	08/14/20	1,020.00	...	-190.03	Option sale to close-call ²⁵
AAPL 08/28/2020 CALL \$510.00 / CUSIP: / Symbol:							
08/28/20	1.000	187.98	08/27/20	188.00	...	-0.02	Option sale to close-call ²⁵
AAPL 09/04/2020 CALL \$138.75 / CUSIP: / Symbol:							
09/03/20	1.000	4.98	09/02/20	125.00	120.02 W	0.00	Option sale to close-call ²⁵
09/04/20	1.000	0.00	09/02/20	190.02	...	-190.02	Option expiration- long call
	Security total:	4.98		315.02	120.02 W	-190.02	
AAPL 09/04/2020 CALL \$140.00 / CUSIP: / Symbol:							
2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	1.000	90.98	09/03/20	0.00	...	90.98	1 of 2 - Short sale closed- call ²⁵
	1.000	53.98	09/04/20	0.00	...	53.98	Option written: 09/02/20
							2 of 2 - Short sale closed- call expired
09/04/20	2.000	144.96	Various	0.00	...	144.96	Option written: 09/02/20
							Total of 2 transactions
AAPL 09/18/2020 CALL \$122.50 / CUSIP: / Symbol:							
2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.							
	1.000	72.99	09/10/20	253.00	...	-180.01	1 of 2 - Option sale to close-call ²⁵
	1.000	72.99	09/11/20	46.00	...	26.99	2 of 2 - Option sale to close-call ²⁵
09/15/20	2.000	145.98	Various	299.00	...	-153.02	Total of 2 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 09/18/2020 CALL \$125.00 / CUSIP: / Symbol:							
2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	1.000	-10.02	09/15/20	0.00	...	-10.02	1 of 2 - Short sale closed- call ²⁵ Option written: 09/11/20
	1.000	134.98	09/15/20	0.00	...	134.98	2 of 2 - Short sale closed- call ²⁵ Option written: 09/10/20
09/16/20	2.000	124.96	Various	0.00	...	124.96	Total of 2 transactions
AAPL 09/18/2020 CALL \$130.00 / CUSIP: / Symbol:							
2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	1.000	222.99	09/03/20	321.00	...	-98.01	1 of 2 - Option sale to close-call ²⁵
	1.000	222.99	09/04/20	279.00	...	-56.01	2 of 2 - Option sale to close-call ²⁵
09/04/20	2.000	445.98	Various	600.00	...	-154.02	Total of 2 transactions
AAPL 09/18/2020 CALL \$135.00 / CUSIP: / Symbol:							
2 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	1.000	31.98	09/04/20	0.00	...	31.98	1 of 2 - Short sale closed- call ²⁵ Option written: 09/04/20
	1.000	60.98	09/04/20	0.00	...	60.98	2 of 2 - Short sale closed- call ²⁵ Option written: 09/03/20
09/08/20	2.000	92.96	Various	0.00	...	92.96	Total of 2 transactions
AAPL 09/25/2020 CALL \$115.00 / CUSIP: / Symbol:							
09/23/20	1.000	23.98	09/22/20	158.00	...	-134.02	Option sale to close-call ²⁵
AAPL 09/25/2020 CALL \$120.00 / CUSIP: / Symbol:							
09/24/20	1.000	39.98	09/23/20	0.00	...	39.98	Short sale closed- call ²⁵ Option written: 09/22/20
AAPL 10/02/2020 CALL \$125.00 / CUSIP: / Symbol:							
4 transactions for 09/24/20. Total proceeds and cost reported to the IRS.							
	2.000	23.99	09/17/20	368.01	...	-344.02	1 of 4 - Option sale to close-call ²⁵
	2.000	23.98	09/18/20	1,246.02	...	-1,222.04	2 of 4 - Option sale to close-call ²⁵
	1.000	11.98	09/18/20	412.00	...	-400.02	3 of 4 - Option sale to close-call ²⁵
	1.000	12.00	09/18/20	36.00	...	-24.00	4 of 4 - Option sale to close-call ²⁵
09/24/20	6.000	71.95	Various	2,062.03	...	-1,990.08	Total of 4 transactions

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Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 10/02/2020 CALL \$125.00 / CUSIP: / Symbol: (cont'd)							
5 transactions for 09/24/20. Total proceeds and cost reported to the IRS.							
	2.000	23.99	09/04/20	1,100.00	1,076.01 W	0.00	1 of 5 - Option sale to close-call ²⁵
	1.000	12.00	09/04/20	339.00	327.00 W	0.00	2 of 5 - Option sale to close-call ²⁵
	2.000	23.99	09/11/20	264.00	240.01 W	0.00	3 of 5 - Option sale to close-call ²⁵
	2.000	23.99	09/17/20	1,198.01	1,174.02 W	0.00	4 of 5 - Option sale to close-call ²⁵
	1.000	12.00	09/17/20	388.00	376.00 W	0.00	5 of 5 - Option sale to close-call ²⁵
09/24/20	8.000	95.97	Various	3,289.01	3,193.04 W	0.00	Total of 5 transactions
	Security total:	167.92		5,351.04	3,193.04 W	-1,990.08	
AAPL 10/02/2020 CALL \$130.00 / CUSIP: / Symbol:							
8 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	1.000	13.99	09/24/20	0.00	...	13.99	1 of 8 - Short sale closed- call ²⁵ Option written: 09/18/20
	1.000	24.98	09/24/20	0.00	...	24.98	2 of 8 - Short sale closed- call ²⁵ Option written: 09/17/20
	3.000	41.99	09/24/20	0.00	...	41.99	3 of 8 - Short sale closed- call ²⁵ Option written: 09/18/20
	2.000	49.98	09/24/20	0.00	...	49.98	4 of 8 - Short sale closed- call ²⁵ Option written: 09/17/20
	2.000	55.98	09/24/20	0.00	...	55.98	5 of 8 - Short sale closed- call ²⁵ Option written: 09/17/20
	2.000	145.98	09/24/20	0.00	...	145.98	6 of 8 - Short sale closed- call ²⁵ Option written: 09/11/20
	1.000	228.98	09/24/20	0.00	...	228.98	7 of 8 - Short sale closed- call ²⁵ Option written: 09/04/20
	2.000	777.97	09/24/20	0.00	...	777.97	8 of 8 - Short sale closed- call ²⁵ Option written: 09/04/20
09/25/20	14.000	1,339.85	Various	0.00	...	1,339.85	Total of 8 transactions
AAPL 12/11/2020 CALL \$120.00 / CUSIP: / Symbol:							
12/01/20	1.000	349.98	11/30/20	171.00	...	178.98	Option sale to close-call ²⁵
AAPL 12/18/2020 CALL \$125.00 / CUSIP: / Symbol:							
12/08/20	1.000	194.98	12/04/20	166.00	...	28.98	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 12/31/2020 CALL \$129.00 / CUSIP: / Symbol:							
<i>12 transactions for 12/22/20. Total proceeds and cost reported to the IRS.</i>							
	8.000	3,711.90	12/03/20	1,656.00	...	2,055.90	1 of 12 - Option sale to close-call ²⁵
	1.000	463.98	12/04/20	159.00	...	304.98	2 of 12 - Option sale to close-call ²⁵
	1.000	463.99	12/04/20	159.00	...	304.99	3 of 12 - Option sale to close-call ²⁵
	1.000	463.99	12/04/20	159.00	...	304.99	4 of 12 - Option sale to close-call ²⁵
	2.000	927.97	12/04/20	318.00	...	609.97	5 of 12 - Option sale to close-call ²⁵
	1.000	463.99	12/09/20	158.00	...	305.99	6 of 12 - Option sale to close-call ²⁵
	1.000	524.99	12/09/20	147.00	...	377.99	7 of 12 - Option sale to close-call ²⁵
	1.000	524.99	12/09/20	146.00	...	378.99	8 of 12 - Option sale to close-call ²⁵
	1.000	524.99	12/09/20	145.00	...	379.99	9 of 12 - Option sale to close-call ²⁵
	5.000	2,624.92	12/10/20	685.00	...	1,939.92	10 of 12 - Option sale to close-call ²⁵
	1.000	524.99	12/11/20	124.00	...	400.99	11 of 12 - Option sale to close-call ²⁵
	1.000	524.98	12/11/20	117.00	...	407.98	12 of 12 - Option sale to close-call ²⁵
12/22/20	24.000	11,745.68	Various	3,973.00	...	7,772.68	Total of 12 transactions
<i>2 transactions for 12/28/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	669.98	12/11/20	117.00	...	552.98	1 of 2 - Option sale to close-call ²⁵
	2.000	1,339.96	12/18/20	428.00	...	911.96	2 of 2 - Option sale to close-call ²⁵
12/28/20	3.000	2,009.94	Various	545.00	...	1,464.94	Total of 2 transactions
	Security total:	13,755.62		4,518.00	...	9,237.62	

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AAPL 12/31/2020 CALL \$130.00 / CUSIP: / Symbol:							
6 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	5.000	-2,845.06	12/22/20	0.00	...	-2,845.06	1 of 6 - Short sale closed- call ²⁵ Option written: 12/10/20
	1.000	-630.02	12/22/20	0.00	...	-630.02	2 of 6 - Short sale closed- call ²⁵ Option written: 12/11/20
	1.000	-624.03	12/22/20	0.00	...	-624.03	3 of 6 - Short sale closed- call ²⁵ Option written: 12/11/20
	1.000	-562.03	12/22/20	0.00	...	-562.03	4 of 6 - Short sale closed- call ²⁵ Option written: 12/09/20
	1.000	-561.03	12/22/20	0.00	...	-561.03	5 of 6 - Short sale closed- call ²⁵ Option written: 12/09/20
	1.000	-560.03	12/22/20	0.00	...	-560.03	6 of 6 - Short sale closed- call ²⁵ Option written: 12/09/20
12/23/20	10.000	-5,782.20	Various	0.00	...	-5,782.20	Total of 6 transactions
6 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	8.000	-1,792.06	12/22/20	0.00	1,792.06 W	0.00	1 of 6 - Short sale closed- call ²⁵ Option written: 12/03/20
	2.000	-534.02	12/22/20	0.00	534.02 W	0.00	2 of 6 - Short sale closed- call ²⁵ Option written: 12/04/20
	1.000	-267.02	12/22/20	0.00	267.02 W	0.00	3 of 6 - Short sale closed- call ²⁵ Option written: 12/04/20
	1.000	-267.02	12/22/20	0.00	267.02 W	0.00	4 of 6 - Short sale closed- call ²⁵ Option written: 12/04/20
	1.000	-267.02	12/22/20	0.00	267.02 W	0.00	5 of 6 - Short sale closed- call ²⁵ Option written: 12/04/20
	1.000	-268.02	12/22/20	0.00	268.02 W	0.00	6 of 6 - Short sale closed- call ²⁵ Option written: 12/09/20
12/23/20	14.000	-3,395.16	Various	0.00	3,395.16 W	0.00	Total of 6 transactions
3 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	1.000	-747.03	12/28/20	0.00	...	-747.03	1 of 3 - Short sale closed- call ²⁵ Option written: 12/11/20
	1.000	-668.03	12/28/20	0.00	...	-668.03	2 of 3 - Short sale closed- call ²⁵ Option written: 12/18/20

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

02/14/2021

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AAPL 12/31/2020 CALL \$130.00 / CUSIP: / Symbol: (cont'd)							
	1.000	-668.03	12/28/20	0.00	...	-668.03	3 of 3 - Short sale closed- call ²⁵ Option written: 12/18/20
12/29/20	3.000	-2,083.09	Various	0.00	...	-2,083.09	Total of 3 transactions
	Security total:	-11,260.45		0.00	3,395.16 W	-7,865.29	
ACB 01/20/2023 CALL \$3.00 / CUSIP: / Symbol:							
12/01/20	1.000	849.97	09/23/20	370.00	...	479.97	Option sale to close-call ²⁵
ACB 09/25/2020 CALL \$6.00 / CUSIP: / Symbol:							
09/25/20	1.000	10.98	09/24/20	0.00	...	10.98	Short sale closed- call ²⁵ Option written: 09/23/20
ACB 10/09/2020 CALL \$6.00 / CUSIP: / Symbol:							
10/07/20	1.000	0.98	10/06/20	0.00	...	0.98	Short sale closed- call ²⁵ Option written: 10/05/20
ACB 10/09/2020 CALL \$7.00 / CUSIP: / Symbol:							
09/29/20	1.000	2.98	09/28/20	0.00	...	2.98	Short sale closed- call ²⁵ Option written: 09/25/20
ACB 10/16/2020 CALL \$6.00 / CUSIP: / Symbol:							
09/28/20	1.000	5.98	09/25/20	0.00	...	5.98	Short sale closed- call ²⁵ Option written: 09/24/20
10/14/20	1.000	2.98	10/13/20	0.00	...	2.98	Short sale closed- call ²⁵ Option written: 10/07/20
	Security total:	8.96		0.00	...	8.96	
ACB 10/30/2020 CALL \$4.50 / CUSIP: / Symbol:							
10/30/20	1.000	10.98	10/30/20	0.00	...	10.98	Short sale closed- call expired Option written: 10/27/20
ACB 11/13/2020 CALL \$8.00 / CUSIP: / Symbol:							
11/16/20	1.000	12.98	11/13/20	0.00	...	12.98	Short sale closed- call ²⁵ Option written: 11/12/20

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(continued)

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ACB 11/20/2020 CALL \$10.00 / CUSIP: / Symbol:							
11/20/20	1.000	1.98	11/20/20	0.00	...	1.98	Short sale closed- call expired Option written: 11/16/20
ACB 11/20/2020 CALL \$14.00 / CUSIP: / Symbol:							
11/09/20	1.000	16.98	11/06/20	0.00	...	16.98	Short sale closed- call ²⁵ Option written: 11/06/20
AMD 01/10/2020 CALL \$48.50 / CUSIP: / Symbol:							
01/10/20	1.000	82.98	01/09/20	71.00	...	11.98	Option sale to close-call ²⁵
AMD 01/17/2020 CALL \$49.00 / CUSIP: / Symbol:							
01/15/20	1.000	56.98	01/10/20	100.00	...	-43.02	Option sale to close-call ²⁵
AMD 01/21/2022 CALL \$140.00 / CUSIP: / Symbol:							
09/28/20	1.000	604.97	09/25/20	575.00	...	29.97	Option sale to close-call ²⁵
AMD 01/31/2020 CALL \$49.00 / CUSIP: / Symbol:							
01/16/20	1.000	324.98	01/10/20	213.00	...	111.98	Option sale to close-call ²⁵
AMD 01/31/2020 CALL \$55.00 / CUSIP: / Symbol:							
4 transactions for 01/30/20. Total proceeds and cost reported to the IRS.							
	1.000	1.00	01/24/20	139.00	...	-138.00	1 of 4 - Option sale to close-call ²⁵
	1.000	1.00	01/24/20	137.00	...	-136.00	2 of 4 - Option sale to close-call ²⁵
	1.000	0.99	01/24/20	120.00	...	-119.01	3 of 4 - Option sale to close-call ²⁵
	1.000	0.99	01/27/20	57.00	...	-56.01	4 of 4 - Option sale to close-call ²⁵
01/30/20	4.000	3.98	Various	453.00	...	-449.02	Total of 4 transactions
AMD 02/07/2020 CALL \$50.00 / CUSIP: / Symbol:							
02/05/20	3.000	146.98	01/27/20	624.00	...	-477.02	Option sale to close-call ²⁵
AMD 02/21/2020 CALL \$47.00 / CUSIP: / Symbol:							
01/30/20	3.000	656.97	01/29/20	606.00	...	50.97	Option sale to close-call ²⁵
AMD 02/28/2020 CALL \$58.00 / CUSIP: / Symbol:							
02/28/20	1.000	0.00	02/21/20	58.00	...	-58.00	Option expiration- long call

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 03/06/2020 PUT \$58.00 / CUSIP: / Symbol:							
02/20/20	1.000	241.98	02/19/20	217.00	...	24.98	Option sale to close-put ²⁵
AMD 03/13/2020 PUT \$45.00 / CUSIP: / Symbol:							
03/10/20	1.000	194.98	03/06/20	135.00	...	59.98	Option sale to close-put ²⁵
AMD 03/20/2020 CALL \$41.00 / CUSIP: / Symbol:							
03/17/20	1.000	264.98	03/16/20	251.00	...	13.98	Option sale to close-call ²⁵
AMD 03/20/2020 CALL \$45.00 / CUSIP: / Symbol:							
03/13/20	2.000	287.98	03/12/20	322.00	...	-34.02	Option sale to close-call ²⁵
AMD 03/20/2020 PUT \$39.00 / CUSIP: / Symbol:							
2 transactions for 03/13/20. Total proceeds and cost reported to the IRS.							
	1.000	162.99	03/13/20	173.00	...	-10.01	1 of 2 - Option sale to close-put ²⁵
	1.000	162.99	03/13/20	167.00	...	-4.01	2 of 2 - Option sale to close-put ²⁵
03/13/20	2.000	325.98	Various	340.00	...	-14.02	Total of 2 transactions
AMD 03/20/2020 PUT \$40.00 / CUSIP: / Symbol:							
2 transactions for 03/12/20. Total proceeds and cost reported to the IRS.							
	1.000	211.99	03/10/20	115.00	...	96.99	1 of 2 - Option sale to close-put ²⁵
	1.000	211.99	03/10/20	112.00	...	99.99	2 of 2 - Option sale to close-put ²⁵
03/12/20	2.000	423.98	Various	227.00	...	196.98	Total of 2 transactions
AMD 03/27/2020 PUT \$38.00 / CUSIP: / Symbol:							
03/18/20	1.000	259.98	03/17/20	158.00	...	101.98	Option sale to close-put ²⁵
03/19/20	1.000	304.98	03/18/20	206.00	...	98.98	Option sale to close-put ²⁵
2 transactions for 03/25/20. Total proceeds and cost reported to the IRS.							
	1.000	9.99	03/23/20	127.00	...	-117.01	1 of 2 - Option sale to close-put ²⁵
	1.000	9.99	03/23/20	104.00	...	-94.01	2 of 2 - Option sale to close-put ²⁵
03/25/20	2.000	19.98	Various	231.00	...	-211.02	Total of 2 transactions
Security total:		584.94		595.00	...	-10.06	
AMD 04/09/2020 CALL \$44.00 / CUSIP: / Symbol:							
04/06/20	1.000	135.98	04/03/20	86.00	...	49.98	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 06/19/2020 CALL \$55.00 / CUSIP: / Symbol:							
06/16/20	5.000	399.98	06/12/20	440.00	...	-40.02	Option sale to close-call ²⁵
AMD 06/19/2020 CALL \$60.00 / CUSIP: / Symbol:							
<i>5 transactions for 05/19/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	183.99	04/03/20	60.00	...	123.99	1 of 5 - Option sale to close-call ²⁵
	1.000	184.00	04/03/20	58.00	...	126.00	2 of 5 - Option sale to close-call ²⁵
	1.000	183.99	04/03/20	57.00	...	126.99	3 of 5 - Option sale to close-call ²⁵
	2.000	367.99	04/03/20	120.00	...	247.99	4 of 5 - Option sale to close-call ²⁵
	2.000	367.99	04/03/20	112.00	...	255.99	5 of 5 - Option sale to close-call ²⁵
05/19/20	7.000	1,287.96	Various	407.00	...	880.96	Total of 5 transactions
<i>4 transactions for 05/26/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	90.00	05/21/20	121.00	31.00 W	0.00	1 of 4 - Option sale to close-call ²⁵
	3.000	269.99	05/22/20	357.00	87.01 W	0.00	2 of 4 - Option sale to close-call ²⁵
	3.000	269.99	05/22/20	357.00	87.01 W	0.00	3 of 4 - Option sale to close-call ²⁵
	3.000	269.98	05/22/20	348.00	78.02 W	0.00	4 of 4 - Option sale to close-call ²⁵
05/26/20	10.000	899.96	Various	1,183.00	283.04 W	0.00	Total of 4 transactions
<i>16 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	36.00	05/27/20	30.00	...	6.00	1 of 16 - Option sale to close-call ²⁵
	1.000	36.00	05/27/20	30.00	...	6.00	2 of 16 - Option sale to close-call ²⁵
	9.000	323.97	05/27/20	315.00	...	8.97	3 of 16 - Option sale to close-call ²⁵
	1.000	36.00	05/27/20	26.00	...	10.00	4 of 16 - Option sale to close-call ²⁵
	3.000	107.98	05/27/20	90.00	...	17.98	5 of 16 - Option sale to close-call ²⁵
	2.000	71.99	05/27/20	52.00	...	19.99	6 of 16 - Option sale to close-call ²⁵
	10.000	359.97	05/27/20	330.00	...	29.97	7 of 16 - Option sale to close-call ²⁵
	10.000	359.97	05/27/20	220.00	...	139.97	8 of 16 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 146236542

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 06/19/2020 CALL \$60.00 / CUSIP: / Symbol: (cont'd)							
	2.000	71.99	06/02/20	64.00	...	7.99	9 of 16 - Option sale to close-call ₂₅
	2.000	65.99	06/04/20	376.06	...	-310.07	10 of 16 - Option sale to close-call ₂₅
	2.000	65.99	06/04/20	352.08	...	-286.09	11 of 16 - Option sale to close-call ₂₅
	2.000	65.99	06/04/20	328.07	...	-262.08	12 of 16 - Option sale to close-call ₂₅
	1.000	33.00	06/04/20	190.00	...	-157.00	13 of 16 - Option sale to close-call ₂₅
	1.000	33.00	06/04/20	188.02	...	-155.02	14 of 16 - Option sale to close-call ₂₅
	1.000	33.00	06/04/20	175.01	...	-142.01	15 of 16 - Option sale to close-call ₂₅
	1.000	33.00	06/04/20	30.00	...	3.00	16 of 16 - Option sale to close-call ₂₅
06/05/20	49.000	1,733.84	Various	2,796.24	...	-1,062.40	Total of 16 transactions
	97 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	1.000	36.00	05/22/20	147.00	111.00 W	0.00	1 of 97 - Option sale to close-call ₂₅
	2.000	71.99	05/22/20	290.01	218.02 W	0.00	2 of 97 - Option sale to close-call ₂₅
	3.000	107.99	05/22/20	435.01	327.02 W	0.00	3 of 97 - Option sale to close-call ₂₅
	1.000	36.00	05/22/20	137.00	101.00 W	0.00	4 of 97 - Option sale to close-call ₂₅
	1.000	36.00	05/22/20	134.01	98.01 W	0.00	5 of 97 - Option sale to close-call ₂₅
	2.000	71.99	05/26/20	248.01	176.02 W	0.00	6 of 97 - Option sale to close-call ₂₅
	1.000	36.00	05/26/20	209.00	173.00 W	0.00	7 of 97 - Option sale to close-call ₂₅
	3.000	107.99	05/26/20	621.02	513.03 W	0.00	8 of 97 - Option sale to close-call ₂₅

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Robinhood Securities LLC

Account 146236542

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 06/19/2020 CALL \$60.00 / CUSIP: / Symbol: (cont'd)							
	1.000	36.00	05/26/20	187.00	151.00 W	0.00	9 of 97 - Option sale to close-call ₂₅
	1.000	36.00	05/26/20	184.01	148.01 W	0.00	10 of 97 - Option sale to close-call ₂₅
	2.000	71.99	05/26/20	390.02	318.03 W	0.00	11 of 97 - Option sale to close-call ₂₅
	2.000	71.99	05/26/20	348.02	276.03 W	0.00	12 of 97 - Option sale to close-call ₂₅
	4.000	143.99	05/26/20	344.00	200.01 W	0.00	13 of 97 - Option sale to close-call ₂₅
	1.000	36.00	05/26/20	82.00	46.00 W	0.00	14 of 97 - Option sale to close-call ₂₅
	3.000	107.99	05/26/20	243.00	135.01 W	0.00	15 of 97 - Option sale to close-call ₂₅
	1.000	36.00	05/26/20	81.00	45.00 W	0.00	16 of 97 - Option sale to close-call ₂₅
	1.000	36.00	05/26/20	81.00	45.00 W	0.00	17 of 97 - Option sale to close-call ₂₅
	2.000	71.99	05/26/20	156.00	84.01 W	0.00	18 of 97 - Option sale to close-call ₂₅
	1.000	36.00	05/26/20	78.00	42.00 W	0.00	19 of 97 - Option sale to close-call ₂₅
	4.000	143.98	05/26/20	236.00	92.02 W	0.00	20 of 97 - Option sale to close-call ₂₅
	2.000	71.99	05/26/20	224.01	152.02 W	0.00	21 of 97 - Option sale to close-call ₂₅
	1.000	36.00	05/26/20	112.00	76.00 W	0.00	22 of 97 - Option sale to close-call ₂₅
	1.000	36.00	05/26/20	108.00	72.00 W	0.00	23 of 97 - Option sale to close-call ₂₅
	1.000	36.00	05/26/20	107.00	71.00 W	0.00	24 of 97 - Option sale to close-call ₂₅
	1.000	36.00	05/26/20	232.00	196.00 W	0.00	25 of 97 - Option sale to close-call ₂₅

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Robinhood Securities LLC

Account 146236542

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 06/19/2020 CALL \$60.00 / CUSIP: / Symbol: (cont'd)							
	2.000	71.99	05/26/20	436.03	364.04 W	0.00	26 of 97 - Option sale to close-call 25
	3.000	107.99	05/26/20	690.03	582.04 W	0.00	27 of 97 - Option sale to close-call 25
	1.000	36.00	05/26/20	211.00	175.00 W	0.00	28 of 97 - Option sale to close-call 25
	1.000	36.00	05/26/20	208.01	172.01 W	0.00	29 of 97 - Option sale to close-call 25
	2.000	71.99	05/26/20	396.03	324.04 W	0.00	30 of 97 - Option sale to close-call 25
	1.000	36.00	05/26/20	110.00	74.00 W	0.00	31 of 97 - Option sale to close-call 25
	1.000	36.00	05/27/20	84.00	48.00 W	0.00	32 of 97 - Option sale to close-call 25
	1.000	36.00	05/27/20	84.00	48.00 W	0.00	33 of 97 - Option sale to close-call 25
	1.000	36.00	05/27/20	81.00	45.00 W	0.00	34 of 97 - Option sale to close-call 25
	2.000	71.99	05/27/20	168.01	96.02 W	0.00	35 of 97 - Option sale to close-call 25
	2.000	71.99	05/27/20	162.01	90.02 W	0.00	36 of 97 - Option sale to close-call 25
	3.000	107.99	05/27/20	186.02	78.03 W	0.00	37 of 97 - Option sale to close-call 25
	1.000	36.00	05/27/20	58.00	22.00 W	0.00	38 of 97 - Option sale to close-call 25
	1.000	36.00	05/27/20	222.00	186.00 W	0.00	39 of 97 - Option sale to close-call 25
	1.000	36.00	05/27/20	201.00	165.00 W	0.00	40 of 97 - Option sale to close-call 25
	1.000	36.00	05/27/20	198.01	162.01 W	0.00	41 of 97 - Option sale to close-call 25
	2.000	71.99	05/27/20	416.04	344.05 W	0.00	42 of 97 - Option sale to close-call 25

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Robinhood Securities LLC

Account 146236542

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 06/19/2020 CALL \$60.00 / CUSIP: / Symbol: (cont'd)							
	3.000	107.99	05/27/20	660.04	552.05 W	0.00	43 of 97 - Option sale to close-call 25
	2.000	71.99	05/27/20	376.04	304.05 W	0.00	44 of 97 - Option sale to close-call 25
	1.000	36.00	05/27/20	100.00	64.00 W	0.00	45 of 97 - Option sale to close-call 25
	1.000	36.00	05/27/20	102.00	66.00 W	0.00	46 of 97 - Option sale to close-call 25
	1.000	36.00	05/27/20	98.00	62.00 W	0.00	47 of 97 - Option sale to close-call 25
	1.000	36.00	05/27/20	97.00	61.00 W	0.00	48 of 97 - Option sale to close-call 25
	2.000	71.99	05/27/20	204.02	132.03 W	0.00	49 of 97 - Option sale to close-call 25
	1.000	36.00	05/27/20	74.00	38.00 W	0.00	50 of 97 - Option sale to close-call 25
	1.000	36.00	05/27/20	74.00	38.00 W	0.00	51 of 97 - Option sale to close-call 25
	1.000	36.00	05/27/20	71.00	35.00 W	0.00	52 of 97 - Option sale to close-call 25
	1.000	36.00	05/27/20	48.00	12.00 W	0.00	53 of 97 - Option sale to close-call 25
	2.000	71.99	05/27/20	148.02	76.03 W	0.00	54 of 97 - Option sale to close-call 25
	2.000	71.99	05/27/20	142.02	70.03 W	0.00	55 of 97 - Option sale to close-call 25
	3.000	107.99	05/27/20	156.03	48.04 W	0.00	56 of 97 - Option sale to close-call 25
	1.000	35.00	06/02/20	218.00	183.00 W	0.00	57 of 97 - Option sale to close-call 25
	1.000	35.00	06/02/20	197.00	162.00 W	0.00	58 of 97 - Option sale to close-call 25
	1.000	35.00	06/02/20	194.01	159.01 W	0.00	59 of 97 - Option sale to close-call 25

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Robinhood Securities LLC

Account 146236542

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AMD 06/19/2020 CALL \$60.00 / CUSIP: / Symbol: (cont'd)							
	2.000	69.99	06/02/20	408.05	338.06 W	0.00	60 of 97 - Option sale to close-call 25
	3.000	104.99	06/02/20	648.05	543.06 W	0.00	61 of 97 - Option sale to close-call 25
	2.000	69.99	06/02/20	366.05	296.06 W	0.00	62 of 97 - Option sale to close-call 25
	1.000	33.00	06/02/20	95.00	62.00 W	0.00	63 of 97 - Option sale to close-call 25
	2.000	65.99	06/02/20	194.03	128.04 W	0.00	64 of 97 - Option sale to close-call 25
	1.000	33.00	06/03/20	94.00	61.00 W	0.00	65 of 97 - Option sale to close-call 25
	1.000	33.00	06/03/20	90.00	57.00 W	0.00	66 of 97 - Option sale to close-call 25
	1.000	33.00	06/03/20	89.00	56.00 W	0.00	67 of 97 - Option sale to close-call 25
	1.000	32.99	06/03/20	66.00	33.01 W	0.00	68 of 97 - Option sale to close-call 25
	2.000	65.99	06/03/20	132.03	66.04 W	0.00	69 of 97 - Option sale to close-call 25
	1.000	33.00	06/03/20	66.00	33.00 W	0.00	70 of 97 - Option sale to close-call 25
	1.000	33.00	06/03/20	63.00	30.00 W	0.00	71 of 97 - Option sale to close-call 25
	2.000	65.99	06/03/20	126.03	60.04 W	0.00	72 of 97 - Option sale to close-call 25
	1.000	33.00	06/03/20	212.00	179.00 W	0.00	73 of 97 - Option sale to close-call 25
	2.000	65.99	06/03/20	420.04	354.05 W	0.00	74 of 97 - Option sale to close-call 25
	2.000	65.99	06/03/20	396.06	330.07 W	0.00	75 of 97 - Option sale to close-call 25
	1.000	33.00	06/03/20	210.02	177.02 W	0.00	76 of 97 - Option sale to close-call 25

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Robinhood Securities LLC

Account 146236542

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 06/19/2020 CALL \$60.00 / CUSIP: / Symbol: (cont'd)							
	3.000	98.99	06/03/20	135.04	36.05 W	0.00	77 of 97 - Option sale to close-call 25
	1.000	33.00	06/03/20	41.00	8.00 W	0.00	78 of 97 - Option sale to close-call 25
	1.000	33.00	06/03/20	189.00	156.00 W	0.00	79 of 97 - Option sale to close-call 25
	1.000	33.00	06/03/20	186.01	153.01 W	0.00	80 of 97 - Option sale to close-call 25
	2.000	65.99	06/03/20	350.06	284.07 W	0.00	81 of 97 - Option sale to close-call 25
	1.000	33.00	06/03/20	89.00	56.00 W	0.00	82 of 97 - Option sale to close-call 25
	2.000	65.99	06/03/20	182.04	116.05 W	0.00	83 of 97 - Option sale to close-call 25
	1.000	33.00	06/03/20	88.00	55.00 W	0.00	84 of 97 - Option sale to close-call 25
	3.000	98.99	06/04/20	102.05	2.04 W	-1.02	85 of 97 - Option sale to close-call 25
	1.000	33.00	06/04/20	201.00	168.00 W	0.00	86 of 97 - Option sale to close-call 25
	1.000	33.00	06/04/20	199.02	166.02 W	0.00	87 of 97 - Option sale to close-call 25
	2.000	65.99	06/04/20	398.05	332.06 W	0.00	88 of 97 - Option sale to close-call 25
	2.000	65.99	06/04/20	374.07	308.08 W	0.00	89 of 97 - Option sale to close-call 25
	1.000	33.00	06/04/20	79.00	46.00 W	0.00	90 of 97 - Option sale to close-call 25
	1.000	32.99	06/04/20	78.00	45.01 W	0.00	91 of 97 - Option sale to close-call 25
	1.000	32.98	06/04/20	178.00	145.02 W	0.00	92 of 97 - Option sale to close-call 25
	1.000	33.00	06/04/20	55.01	22.01 W	0.00	93 of 97 - Option sale to close-call 25

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Robinhood Securities LLC

Account 146236542

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 06/19/2020 CALL \$60.00 / CUSIP: / Symbol: (cont'd)							
	1.000	33.00	06/04/20	55.00	22.00 W	0.00	94 of 97 - Option sale to close-call ₂₅
	1.000	33.00	06/04/20	52.00	19.00 W	0.00	95 of 97 - Option sale to close-call ₂₅
	2.000	65.99	06/04/20	110.04	44.05 W	0.00	96 of 97 - Option sale to close-call ₂₅
	2.000	65.99	06/04/20	104.04	38.05 W	0.00	97 of 97 - Option sale to close-call ₂₅
06/05/20	151.000	5,275.55	Various	19,205.28	13,928.71 W	-1.02	Total of 97 transactions
	12 transactions for 06/09/20. Total proceeds and cost reported to the IRS.						
	2.000	117.99	06/04/20	160.05	...	-42.06	1 of 12 - Option sale to close-call ₂₅
	1.000	56.98	06/04/20	78.00	...	-21.02	2 of 12 - Option sale to close-call ₂₅
	1.000	59.00	06/04/20	77.00	...	-18.00	3 of 12 - Option sale to close-call ₂₅
	1.000	59.00	06/04/20	68.00	...	-9.00	4 of 12 - Option sale to close-call ₂₅
	1.000	59.00	06/04/20	67.01	...	-8.01	5 of 12 - Option sale to close-call ₂₅
	1.000	59.00	06/05/20	164.02	...	-105.02	6 of 12 - Option sale to close-call ₂₅
	1.000	59.00	06/05/20	41.01	...	17.99	7 of 12 - Option sale to close-call ₂₅
	1.000	59.00	06/05/20	41.00	...	18.00	8 of 12 - Option sale to close-call ₂₅
	1.000	58.99	06/05/20	38.00	...	20.99	9 of 12 - Option sale to close-call ₂₅
	2.000	117.99	06/05/20	82.05	...	35.94	10 of 12 - Option sale to close-call ₂₅
	2.000	117.99	06/05/20	76.05	...	41.94	11 of 12 - Option sale to close-call ₂₅
	2.000	117.99	06/05/20	40.04	...	77.95	12 of 12 - Option sale to close-call ₂₅
06/09/20	16.000	941.93	Various	932.23	...	9.70	Total of 12 transactions

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Robinhood Securities LLC

Account 146236542

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AMD 06/19/2020 CALL \$60.00 / CUSIP: / Symbol: (cont'd)							
Security total:		10,139.24		24,523.75	14,211.75 W	-172.76	
AMD 08/14/2020 CALL \$85.00 / CUSIP: / Symbol:							
08/04/20	1.000	224.98	08/03/20	56.00	...	168.98	Option sale to close-call ²⁵
AMD 08/21/2020 CALL \$85.00 / CUSIP: / Symbol:							
6 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	1.000	150.00	08/11/20	139.00	...	11.00	1 of 6 - Option sale to close-call ²⁵
	1.000	149.99	08/11/20	117.00	...	32.99	2 of 6 - Option sale to close-call ²⁵
	1.000	150.00	08/11/20	110.00	...	40.00	3 of 6 - Option sale to close-call ²⁵
	1.000	149.99	08/11/20	92.00	...	57.99	4 of 6 - Option sale to close-call ²⁵
	1.000	149.99	08/11/20	59.00	...	90.99	5 of 6 - Option sale to close-call ²⁵
	1.000	150.00	08/11/20	59.00	...	91.00	6 of 6 - Option sale to close-call ²⁵
08/12/20	6.000	899.97	Various	576.00	...	323.97	Total of 6 transactions
08/12/20	1.000	149.99	08/07/20	268.00	118.01 W	0.00	Option sale to close-call ²⁵
21 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	1.000	8.00	08/19/20	238.00	...	-230.00	1 of 21 - Option sale to close-call ²⁵
	1.000	8.00	08/19/20	228.01	...	-220.01	2 of 21 - Option sale to close-call ²⁵
	1.000	8.00	08/19/20	221.00	...	-213.00	3 of 21 - Option sale to close-call ²⁵
	9.000	71.97	08/19/20	252.00	...	-180.03	4 of 21 - Option sale to close-call ²⁵
	1.000	7.99	08/19/20	183.00	...	-175.01	5 of 21 - Option sale to close-call ²⁵
	1.000	8.00	08/19/20	175.00	...	-167.00	6 of 21 - Option sale to close-call ²⁵
	1.000	7.99	08/19/20	148.00	...	-140.01	7 of 21 - Option sale to close-call ²⁵
	1.000	8.00	08/19/20	135.00	...	-127.00	8 of 21 - Option sale to close-call ²⁵
	2.000	15.99	08/19/20	140.01	...	-124.02	9 of 21 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 146236542

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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AMD 08/21/2020 CALL \$85.00 / CUSIP: / Symbol: (cont'd)							
	5.000	39.98	08/19/20	150.00	...	-110.02	10 of 21 - Option sale to close-call ₂₅
	1.000	8.00	08/19/20	107.00	...	-99.00	11 of 21 - Option sale to close-call ₂₅
	1.000	8.00	08/19/20	105.01	...	-97.01	12 of 21 - Option sale to close-call ₂₅
	1.000	8.00	08/19/20	105.00	...	-97.00	13 of 21 - Option sale to close-call ₂₅
	1.000	8.00	08/19/20	98.01	...	-90.01	14 of 21 - Option sale to close-call ₂₅
	4.000	31.98	08/19/20	112.00	...	-80.02	15 of 21 - Option sale to close-call ₂₅
	1.000	8.00	08/19/20	70.01	...	-62.01	16 of 21 - Option sale to close-call ₂₅
	1.000	8.00	08/19/20	70.01	...	-62.01	17 of 21 - Option sale to close-call ₂₅
	1.000	8.00	08/19/20	70.00	...	-62.00	18 of 21 - Option sale to close-call ₂₅
	1.000	8.00	08/19/20	70.00	...	-62.00	19 of 21 - Option sale to close-call ₂₅
	1.000	8.00	08/19/20	70.00	...	-62.00	20 of 21 - Option sale to close-call ₂₅
	3.000	23.99	08/19/20	57.00	...	-33.01	21 of 21 - Option sale to close-call ₂₅
08/20/20	39.000	311.89	Various	2,804.06	...	-2,492.17	Total of 21 transactions
	22 transactions for 08/20/20. Total proceeds and cost reported to the IRS.						
	1.000	8.00	08/11/20	177.01	169.01 W	0.00	1 of 22 - Option sale to close-call ₂₅
	1.000	8.00	08/13/20	187.00	179.00 W	0.00	2 of 22 - Option sale to close-call ₂₅
	1.000	8.00	08/13/20	170.00	162.00 W	0.00	3 of 22 - Option sale to close-call ₂₅
	1.000	8.00	08/13/20	150.00	142.00 W	0.00	4 of 22 - Option sale to close-call ₂₅

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Robinhood Securities LLC

Account 146236542

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMD 08/21/2020 CALL \$85.00 / CUSIP: / Symbol: (cont'd)							
	1.000	8.00	08/13/20	142.00	134.00 W	0.00	5 of 22 - Option sale to close-call 25
	1.000	8.00	08/14/20	115.00	107.00 W	0.00	6 of 22 - Option sale to close-call 25
	1.000	8.00	08/14/20	102.00	94.00 W	0.00	7 of 22 - Option sale to close-call 25
	1.000	8.00	08/14/20	85.00	77.00 W	0.00	8 of 22 - Option sale to close-call 25
	2.000	15.99	08/14/20	166.00	150.01 W	0.00	9 of 22 - Option sale to close-call 25
	1.000	7.99	08/17/20	76.00	68.01 W	0.00	10 of 22 - Option sale to close-call 25
	1.000	8.00	08/18/20	48.00	40.00 W	0.00	11 of 22 - Option sale to close-call 25
	1.000	7.99	08/18/20	48.00	40.01 W	0.00	12 of 22 - Option sale to close-call 25
	3.000	23.99	08/18/20	144.00	120.01 W	0.00	13 of 22 - Option sale to close-call 25
	1.000	7.99	08/18/20	48.00	40.01 W	0.00	14 of 22 - Option sale to close-call 25
	1.000	8.00	08/18/20	48.00	40.00 W	0.00	15 of 22 - Option sale to close-call 25
	1.000	8.00	08/18/20	217.01	209.01 W	0.00	16 of 22 - Option sale to close-call 25
	1.000	8.00	08/18/20	227.00	219.00 W	0.00	17 of 22 - Option sale to close-call 25
	1.000	8.00	08/18/20	210.00	202.00 W	0.00	18 of 22 - Option sale to close-call 25
	1.000	8.00	08/19/20	172.00	164.00 W	0.00	19 of 22 - Option sale to close-call 25
	1.000	8.00	08/19/20	164.00	156.00 W	0.00	20 of 22 - Option sale to close-call 25
	1.000	8.00	08/19/20	137.00	129.00 W	0.00	21 of 22 - Option sale to close-call 25

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Robinhood Securities LLC

Account 146236542

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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AMD 08/21/2020 CALL \$85.00 / CUSIP: / Symbol: (cont'd)							
	1.000	8.00	08/19/20	124.00	116.00 W	0.00	22 of 22 - Option sale to close-call ²⁵
08/20/20	25.000	199.95	Various	2,957.02	2,757.07 W	0.00	Total of 22 transactions
	Security total:	1,561.80		6,605.08	2,875.08 W	-2,168.20	
AMD 10/09/2020 CALL \$85.00 / CUSIP: / Symbol:							
2 transactions for 09/24/20. Total proceeds and cost reported to the IRS.							
	1.000	94.99	09/15/20	232.00	...	-137.01	1 of 2 - Option sale to close-call ²⁵
	1.000	94.99	09/21/20	157.00	...	-62.01	2 of 2 - Option sale to close-call ²⁵
09/24/20	2.000	189.98	Various	389.00	...	-199.02	Total of 2 transactions
AMD 10/09/2020 CALL \$87.00 / CUSIP: / Symbol:							
10/06/20	1.000	209.98	10/05/20	104.00	...	105.98	Option sale to close-call ²⁵
AMD 10/09/2020 CALL \$88.00 / CUSIP: / Symbol:							
10/07/20	1.000	-85.02	10/06/20	0.00	...	-85.02	Short sale closed- call ²⁵ Option written: 10/05/20
AMD 10/09/2020 CALL \$90.00 / CUSIP: / Symbol:							
2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.							
	1.000	35.98	09/24/20	0.00	...	35.98	1 of 2 - Short sale closed- call ²⁵ Option written: 09/21/20
	1.000	81.98	09/24/20	0.00	...	81.98	2 of 2 - Short sale closed- call ²⁵ Option written: 09/15/20
09/25/20	2.000	117.96	Various	0.00	...	117.96	Total of 2 transactions
AMD 12/04/2020 CALL \$80.00 / CUSIP: / Symbol:							
11/09/20	1.000	679.97	11/06/20	760.00	...	-80.03	Option sale to close-call ²⁵
AMZN 04/17/2020 PUT \$2,000.00 / CUSIP: / Symbol:							
04/14/20	1.000	73.98	04/13/20	330.00	...	-256.02	Option sale to close-put ²⁵
AMZN 07/17/2020 CALL \$3,280.00 / CUSIP: / Symbol:							
07/17/20	1.000	0.00	07/13/20	8,746.00	...	-8,746.00	Option expiration- long call

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Account 146236542

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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AMZN 07/17/2020 CALL \$3,300.00 / CUSIP: / Symbol:							
07/17/20	1.000	7,877.81	07/17/20	0.00	...	7,877.81	Short sale closed- call expired Option written: 07/13/20
AMZN 09/25/2020 CALL \$3,480.00 / CUSIP: / Symbol:							
8 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	180.99	09/04/20	7,806.00	...	-7,625.01	1 of 8 - Option sale to close-call ²⁵
	1.000	180.99	09/10/20	1,986.00	...	-1,805.01	2 of 8 - Option sale to close-call ²⁵
	1.000	180.99	09/11/20	1,332.00	...	-1,151.01	3 of 8 - Option sale to close-call ²⁵
	1.000	181.00	09/11/20	1,260.00	...	-1,079.00	4 of 8 - Option sale to close-call ²⁵
	1.000	180.99	09/11/20	868.00	...	-687.01	5 of 8 - Option sale to close-call ²⁵
	1.000	181.00	09/11/20	794.00	...	-613.00	6 of 8 - Option sale to close-call ²⁵
	2.000	361.99	09/15/20	1,284.00	...	-922.01	7 of 8 - Option sale to close-call ²⁵
	1.000	180.99	09/15/20	535.00	...	-354.01	8 of 8 - Option sale to close-call ²⁵
09/18/20	9.000	1,628.94	Various	15,865.00	...	-14,236.06	Total of 8 transactions
AMZN 09/25/2020 CALL \$3,500.00 / CUSIP: / Symbol:							
8 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	1.000	273.97	09/18/20	0.00	...	273.97	1 of 8 - Short sale closed- call ²⁵ Option written: 09/15/20
	1.000	504.97	09/18/20	0.00	...	504.97	2 of 8 - Short sale closed- call ²⁵ Option written: 09/11/20
	1.000	566.97	09/18/20	0.00	...	566.97	3 of 8 - Short sale closed- call ²⁵ Option written: 09/11/20
	2.000	731.96	09/18/20	0.00	...	731.96	4 of 8 - Short sale closed- call ²⁵ Option written: 09/15/20
	1.000	898.96	09/18/20	0.00	...	898.96	5 of 8 - Short sale closed- call ²⁵ Option written: 09/11/20
	1.000	960.96	09/18/20	0.00	...	960.96	6 of 8 - Short sale closed- call ²⁵ Option written: 09/11/20
	1.000	1,530.95	09/18/20	0.00	...	1,530.95	7 of 8 - Short sale closed- call ²⁵ Option written: 09/10/20
	1.000	7,033.83	09/18/20	0.00	...	7,033.83	8 of 8 - Short sale closed- call ²⁵ Option written: 09/04/20
09/21/20	9.000	12,502.57	Various	0.00	...	12,502.57	Total of 8 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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AYRO 12/18/2020 PUT \$5.00 / CUSIP: / Symbol:							
11/23/20	1.000	44.98	11/19/20	85.00	...	-40.02	Option sale to close-put ²⁵
BA 06/19/2020 CALL \$190.00 / CUSIP: / Symbol:							
2 transactions for 06/12/20. Total proceeds and cost reported to the IRS.							
	1.000	909.96	06/11/20	880.00	...	29.96	1 of 2 - Option sale to close-call ²⁵
	1.000	909.96	06/11/20	880.00	...	29.96	2 of 2 - Option sale to close-call ²⁵
06/12/20	2.000	1,819.92	Various	1,760.00	...	59.92	Total of 2 transactions
2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	1.000	1,314.97	06/12/20	880.00	...	434.97	1 of 2 - Option sale to close-call ²⁵
	1.000	1,314.96	06/15/20	645.00	...	669.96	2 of 2 - Option sale to close-call ²⁵
06/16/20	2.000	2,629.93	Various	1,525.00	...	1,104.93	Total of 2 transactions
	Security total:	4,449.85		3,285.00	...	1,164.85	
BA 06/26/2020 CALL \$190.00 / CUSIP: / Symbol:							
10 transactions for 06/25/20. Total proceeds and cost reported to the IRS.							
	1.000	31.00	06/19/20	730.00	...	-699.00	1 of 10 - Option sale to close-call ²⁵
	1.000	31.00	06/22/20	380.00	...	-349.00	2 of 10 - Option sale to close-call ²⁵
	2.000	61.99	06/23/20	1,110.00	...	-1,048.01	3 of 10 - Option sale to close-call ²⁵
	2.000	61.99	06/23/20	700.00	...	-638.01	4 of 10 - Option sale to close-call ²⁵
	1.000	31.00	06/23/20	440.00	...	-409.00	5 of 10 - Option sale to close-call ²⁵
	1.000	31.00	06/23/20	395.00	...	-364.00	6 of 10 - Option sale to close-call ²⁵
	1.000	30.99	06/23/20	273.00	...	-242.01	7 of 10 - Option sale to close-call ²⁵
	1.000	31.00	06/23/20	273.00	...	-242.00	8 of 10 - Option sale to close-call ²⁵
	3.000	92.99	06/24/20	549.00	...	-456.01	9 of 10 - Option sale to close-call ²⁵

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(continued)

02/14/2021

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BA 06/26/2020 CALL \$190.00 / CUSIP: / Symbol: (cont'd)							
	2.000	61.99	06/24/20	366.00	...	-304.01	10 of 10 - Option sale to close-call ²⁵
06/25/20	15.000	464.95	Various	5,216.00	...	-4,751.05	Total of 10 transactions
BA 11/20/2020 PUT \$210.00 / CUSIP: / Symbol:							
11/18/20	1.000	202.98	11/18/20	120.00	...	82.98	Option sale to close-put ²⁵
BA 11/27/2020 CALL \$210.00 / CUSIP: / Symbol:							
11/23/20	1.000	605.97	11/23/20	247.00	...	358.97	Option sale to close-call ²⁵
BA 12/11/2020 PUT \$230.00 / CUSIP: / Symbol:							
12/04/20	1.000	519.97	12/03/20	370.00	...	149.97	Option sale to close-put ²⁵
BA 12/24/2020 CALL \$215.00 / CUSIP: / Symbol:							
12/22/20	1.000	739.97	12/21/20	415.00	...	324.97	Option sale to close-call ²⁵
BABA 07/17/2020 CALL \$220.00 / CUSIP: / Symbol:							
05/26/20	1.000	544.97	05/22/20	440.00	...	104.97	Option sale to close-call ²⁵
BAC 04/17/2020 PUT \$23.00 / CUSIP: / Symbol:							
04/15/20	1.000	78.98	04/14/20	51.00	...	27.98	Option sale to close-put ²⁵
BAC 06/19/2020 CALL \$30.00 / CUSIP: / Symbol:							
06/08/20	1.000	58.98	06/05/20	40.00	...	18.98	Option sale to close-call ²⁵
BAC 06/26/2020 CALL \$24.00 / CUSIP: / Symbol:							
06/26/20	1.000	21.98	06/25/20	62.00	...	-40.02	Option sale to close-call ²⁵
BAC 07/02/2020 CALL \$24.00 / CUSIP: / Symbol:							
4 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	3.000	59.99	06/26/20	366.01	...	-306.02	1 of 4 - Option sale to close-call ²⁵
	1.000	20.00	06/26/20	170.04	...	-150.04	2 of 4 - Option sale to close-call ²⁵
	1.000	19.98	06/26/20	170.01	...	-150.03	3 of 4 - Option sale to close-call ²⁵
	2.000	39.99	06/26/20	72.00	...	-32.01	4 of 4 - Option sale to close-call ²⁵
06/30/20	7.000	139.96	Various	778.06	...	-638.10	Total of 4 transactions

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Robinhood Securities LLC

Account 146236542

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAC 07/02/2020 CALL \$24.00 / CUSIP: / Symbol: (cont'd)							
4 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	1.000	19.98	06/25/20	106.00	86.02 W	0.00	1 of 4 - Option sale to close-call ²⁵
	4.000	79.98	06/25/20	424.00	344.02 W	0.00	2 of 4 - Option sale to close-call ²⁵
	1.000	19.98	06/26/20	154.02	134.04 W	0.00	3 of 4 - Option sale to close-call ²⁵
	1.000	20.00	06/26/20	154.01	134.01 W	0.00	4 of 4 - Option sale to close-call ²⁵
06/30/20	7.000	139.94	Various	838.03	698.09 W	0.00	Total of 4 transactions
	Security total:	279.90		1,616.09	698.09 W	-638.10	
BBBY 04/17/2020 PUT \$4.00 / CUSIP: / Symbol:							
04/17/20	1.000	0.00	04/15/20	21.00	...	-21.00	Option expiration- long put
BLNK 01/15/2021 CALL \$10.00 / CUSIP: / Symbol:							
08/19/20	1.000	219.98	08/11/20	300.00	...	-80.02	Option sale to close-call ²⁵
BLNK 01/20/2023 CALL \$5.00 / CUSIP: / Symbol:							
11/10/20	1.000	469.97	10/12/20	480.00	...	-10.03	Option sale to close-call ²⁵
BLNK 08/21/2020 CALL \$12.50 / CUSIP: / Symbol:							
08/04/20	1.000	184.98	07/30/20	245.00	...	-60.02	Option sale to close-call ²⁵
BLNK 09/18/2020 CALL \$10.00 / CUSIP: / Symbol:							
08/11/20	1.000	229.98	08/07/20	272.00	...	-42.02	Option sale to close-call ²⁵
BLNK 11/20/2020 CALL \$10.00 / CUSIP: / Symbol:							
11/09/20	1.000	-37.02	11/06/20	0.00	...	-37.02	Short sale closed- call ²⁵ Option written: 10/27/20
BLNK 11/20/2020 CALL \$12.50 / CUSIP: / Symbol:							
10/21/20	1.000	14.98	10/20/20	0.00	...	14.98	Short sale closed- call ²⁵ Option written: 10/12/20
BYND 01/17/2020 CALL \$105.00 / CUSIP: / Symbol:							
01/14/20	1.000	3,019.92	01/13/20	685.00	...	2,334.92	Option sale to close-call ²⁵
BYND 01/24/2020 CALL \$121.00 / CUSIP: / Symbol:							
01/24/20	1.000	364.98	01/23/20	278.00	...	86.98	Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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02/14/2021

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BYND 01/24/2020 CALL \$135.00 / CUSIP: / Symbol:							
3 transactions for 01/22/20. Total proceeds and cost reported to the IRS.							
	1.000	289.99	01/21/20	485.00	...	-195.01	1 of 3 - Option sale to close-call ²⁵
	1.000	289.99	01/21/20	381.00	...	-91.01	2 of 3 - Option sale to close-call ²⁵
	2.000	579.98	01/22/20	770.00	...	-190.02	3 of 3 - Option sale to close-call ²⁵
01/22/20	4.000	1,159.96	Various	1,636.00	...	-476.04	Total of 3 transactions
BYND 01/31/2020 CALL \$124.00 / CUSIP: / Symbol:							
2 transactions for 01/29/20. Total proceeds and cost reported to the IRS.							
	2.000	431.98	01/28/20	580.00	...	-148.02	1 of 2 - Option sale to close-call ²⁵
	1.000	215.99	01/28/20	290.00	...	-74.01	2 of 2 - Option sale to close-call ²⁵
01/29/20	3.000	647.97	Various	870.00	...	-222.03	Total of 2 transactions
BYND 01/31/2020 CALL \$135.00 / CUSIP: / Symbol:							
01/22/20	1.000	724.97	01/22/20	890.00	165.03 W	0.00	Option sale to close-call ²⁵
5 transactions for 01/29/20. Total proceeds and cost reported to the IRS.							
	1.000	82.00	01/27/20	685.03	...	-603.03	1 of 5 - Option sale to close-call ²⁵
	1.000	81.99	01/27/20	570.00	...	-488.01	2 of 5 - Option sale to close-call ²⁵
	2.000	163.99	01/28/20	426.00	...	-262.01	3 of 5 - Option sale to close-call ²⁵
	1.000	82.00	01/28/20	160.00	...	-78.00	4 of 5 - Option sale to close-call ²⁵
	1.000	81.99	01/28/20	101.00	...	-19.01	5 of 5 - Option sale to close-call ²⁵
01/29/20	6.000	491.97	Various	1,942.03	...	-1,450.06	Total of 5 transactions
	Security total:	1,216.94		2,832.03	165.03 W	-1,450.06	
BYND 02/07/2020 CALL \$130.00 / CUSIP: / Symbol:							
3 transactions for 01/27/20. Total proceeds and cost reported to the IRS.							
	1.000	649.98	01/22/20	790.00	...	-140.02	1 of 3 - Option sale to close-call ²⁵
	1.000	649.98	01/22/20	745.00	...	-95.02	2 of 3 - Option sale to close-call ²⁵
	1.000	649.98	01/23/20	505.00	...	144.98	3 of 3 - Option sale to close-call ²⁵
01/27/20	3.000	1,949.94	Various	2,040.00	...	-90.06	Total of 3 transactions
BYND 02/07/2020 CALL \$135.00 / CUSIP: / Symbol:							
02/04/20	1.000	44.98	01/27/20	685.00	...	-640.02	Option sale to close-call ²⁵

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Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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BYND 02/07/2020 PUT \$119.00 / CUSIP: / Symbol:							
01/28/20	1.000	584.97	01/27/20	635.00	...	-50.03	Option sale to close-put ²⁵
BYND 02/21/2020 CALL \$125.00 / CUSIP: / Symbol:							
3 transactions for 01/21/20. Total proceeds and cost reported to the IRS.							
	1.000	1,349.97	01/15/20	790.00	...	559.97	1 of 3 - Option sale to close-call ²⁵
	1.000	1,349.96	01/15/20	570.00	...	779.96	2 of 3 - Option sale to close-call ²⁵
	1.000	1,349.97	01/17/20	530.00	...	819.97	3 of 3 - Option sale to close-call ²⁵
01/21/20	3.000	4,049.90	Various	1,890.00	...	2,159.90	Total of 3 transactions
01/21/20	1.000	1,349.97	01/14/20	1,375.00	25.03 W	0.00	Option sale to close-call ²⁵
2 transactions for 01/22/20. Total proceeds and cost reported to the IRS.							
	1.000	1,519.96	01/21/20	1,550.00	...	-30.04	1 of 2 - Option sale to close-call ²⁵
	1.000	1,519.96	01/21/20	1,475.03	...	44.93	2 of 2 - Option sale to close-call ²⁵
01/22/20	2.000	3,039.92	Various	3,025.03	...	14.89	Total of 2 transactions
	Security total:	8,439.79		6,290.03	25.03 W	2,174.79	
BYND 02/28/2020 CALL \$126.00 / CUSIP: / Symbol:							
02/28/20	1.000	0.98	02/21/20	630.00	...	-629.02	Option sale to close-call ²⁵
BYND 05/01/2020 CALL \$105.00 / CUSIP: / Symbol:							
04/30/20	1.000	479.97	04/27/20	365.00	...	114.97	Option sale to close-call ²⁵
BYND 05/08/2020 PUT \$90.00 / CUSIP: / Symbol:							
05/08/20	1.000	0.00	05/05/20	272.00	...	-272.00	Option expiration- long put
BYND 07/24/2020 CALL \$134.00 / CUSIP: / Symbol:							
07/16/20	1.000	489.97	07/10/20	780.00	...	-290.03	Option sale to close-call ²⁵
CCL 06/19/2020 CALL \$17.50 / CUSIP: / Symbol:							
06/04/20	1.000	154.98	04/30/20	150.00	...	4.98	Option sale to close-call ²⁵
CCL 06/26/2020 CALL \$23.50 / CUSIP: / Symbol:							
06/23/20	1.000	4.98	06/09/20	275.00	270.02 W	0.00	Option sale to close-call ²⁵
31 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	4.000	0.00	06/10/20	740.00	...	-740.00	1 of 31 - Option expiration- long call

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Account 146236542

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CCL 06/26/2020 CALL \$23.50 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	06/10/20	455.02	...	-455.02	2 of 31 - Option expiration- long call
	3.000	0.00	06/10/20	405.00	...	-405.00	3 of 31 - Option expiration- long call
	10.000	0.00	06/11/20	650.00	...	-650.00	4 of 31 - Option expiration- long call
	5.000	0.00	06/11/20	300.00	...	-300.00	5 of 31 - Option expiration- long call
	3.000	0.00	06/15/20	210.00	...	-210.00	6 of 31 - Option expiration- long call
	1.000	0.00	06/15/20	75.00	...	-75.00	7 of 31 - Option expiration- long call
	1.000	0.00	06/15/20	70.00	...	-70.00	8 of 31 - Option expiration- long call
	1.000	0.00	06/15/20	70.00	...	-70.00	9 of 31 - Option expiration- long call
	1.000	0.00	06/15/20	65.00	...	-65.00	10 of 31 - Option expiration- long call
	1.000	0.00	06/15/20	60.00	...	-60.00	11 of 31 - Option expiration- long call
	5.000	0.00	06/17/20	250.00	...	-250.00	12 of 31 - Option expiration- long call
	5.000	0.00	06/17/20	225.00	...	-225.00	13 of 31 - Option expiration- long call
	5.000	0.00	06/17/20	200.00	...	-200.00	14 of 31 - Option expiration- long call
	45.000	0.00	06/18/20	900.00	...	-900.00	15 of 31 - Option expiration- long call
	2.000	0.00	06/18/20	40.00	...	-40.00	16 of 31 - Option expiration- long call
	1.000	0.00	06/18/20	20.00	...	-20.00	17 of 31 - Option expiration- long call
	1.000	0.00	06/18/20	20.00	...	-20.00	18 of 31 - Option expiration- long call
	1.000	0.00	06/18/20	20.00	...	-20.00	19 of 31 - Option expiration- long call

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Account 146236542

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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CCL 06/26/2020 CALL \$23.50 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	06/18/20	20.00	...	-20.00	20 of 31 - Option expiration- long call
	1.000	0.00	06/18/20	20.00	...	-20.00	21 of 31 - Option expiration- long call
	1.000	0.00	06/18/20	20.00	...	-20.00	22 of 31 - Option expiration- long call
	49.000	0.00	06/19/20	490.00	...	-490.00	23 of 31 - Option expiration- long call
	20.000	0.00	06/19/20	300.00	...	-300.00	24 of 31 - Option expiration- long call
	10.000	0.00	06/19/20	150.00	...	-150.00	25 of 31 - Option expiration- long call
	9.000	0.00	06/19/20	135.00	...	-135.00	26 of 31 - Option expiration- long call
	10.000	0.00	06/19/20	100.00	...	-100.00	27 of 31 - Option expiration- long call
	10.000	0.00	06/19/20	100.00	...	-100.00	28 of 31 - Option expiration- long call
	1.000	0.00	06/19/20	15.00	...	-15.00	29 of 31 - Option expiration- long call
	1.000	0.00	06/19/20	10.00	...	-10.00	30 of 31 - Option expiration- long call
	1.000	0.00	06/22/20	5.00	...	-5.00	31 of 31 - Option expiration- long call
06/26/20	210.000	0.00	Various	6,140.02	...	-6,140.02	Total of 31 transactions
	Security total:	4.98		6,415.02	270.02 W	-6,140.02	
CCL 09/04/2020 CALL \$18.00 / CUSIP: / Symbol:							
08/31/20	1.000	14.98	08/28/20	31.00	...	-16.02	Option sale to close-call ²⁵
CCL 11/20/2020 PUT \$17.00 / CUSIP: / Symbol:							
11/17/20	1.000	46.98	11/16/20	51.02	...	-4.04	Option sale to close-put ²⁵
11/17/20	1.000	46.98	11/16/20	63.00	16.02 W	0.00	Option sale to close-put ²⁵
	Security total:	93.96		114.02	16.02 W	-4.04	

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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CCL 11/20/2020 PUT \$18.00 / CUSIP: / Symbol:							
11/18/20	1.000	68.98	11/17/20	41.00	...	27.98	Option sale to close-put ²⁵
CCL 12/04/2020 PUT \$22.00 / CUSIP: / Symbol:							
12/04/20	1.000	4.98	12/03/20	15.00	...	-10.02	Option sale to close-put ²⁵
CGC 05/01/2020 CALL \$15.50 / CUSIP: / Symbol:							
04/27/20	1.000	89.98	04/24/20	70.00	...	19.98	Option sale to close-call ²⁵
COST 03/06/2020 CALL \$330.00 / CUSIP: / Symbol:							
03/06/20	1.000	26.98	03/05/20	315.00	...	-288.02	Option sale to close-call ²⁵
COST 10/02/2020 CALL \$345.00 / CUSIP: / Symbol:							
09/25/20	1.000	319.98	09/24/20	785.00	...	-465.02	Option sale to close-call ²⁵
COST 10/02/2020 CALL \$350.00 / CUSIP: / Symbol:							
09/28/20	1.000	407.97	09/25/20	0.00	...	407.97	Short sale closed- call ²⁵ Option written: 09/24/20
CRWD 02/21/2020 CALL \$60.00 / CUSIP: / Symbol:							
	2 transactions for 01/15/20. Total proceeds and cost reported to the IRS.						
	1.000	499.98	01/14/20	410.00	...	89.98	1 of 2 - Option sale to close-call ²⁵
	1.000	499.98	01/14/20	390.00	...	109.98	2 of 2 - Option sale to close-call ²⁵
01/15/20	2.000	999.96	Various	800.00	...	199.96	Total of 2 transactions
CRWD 06/19/2020 CALL \$100.00 / CUSIP: / Symbol:							
06/03/20	1.000	739.97	06/02/20	490.00	...	249.97	Option sale to close-call ²⁵
CRWD 09/04/2020 CALL \$128.00 / CUSIP: / Symbol:							
09/01/20	1.000	1,072.96	08/31/20	611.00	...	461.96	Option sale to close-call ²⁵
CRWD 09/04/2020 CALL \$130.00 / CUSIP: / Symbol:							
09/02/20	1.000	-432.03	09/01/20	0.00	...	-432.03	Short sale closed- call ²⁵ Option written: 08/31/20
CRWD 09/11/2020 CALL \$140.00 / CUSIP: / Symbol:							
09/02/20	1.000	1,801.95	09/01/20	1,212.00	...	589.95	Option sale to close-call ²⁵

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CRWD 09/11/2020 CALL \$145.00 / CUSIP: / Symbol: 09/03/20	1.000	-569.04	09/02/20	0.00	...	-569.04	Short sale closed- call ²⁵ Option written: 09/01/20
CRWD 12/18/2020 PUT \$165.00 / CUSIP: / Symbol: 12/11/20	1.000	154.98	12/10/20	117.00	...	37.98	Option sale to close-put ²⁵
CRWD 12/24/2020 CALL \$180.00 / CUSIP: / Symbol: 12/16/20	1.000	459.97	12/11/20	415.00	...	44.97	Option sale to close-call ²⁵
DDOG 11/20/2020 CALL \$115.00 / CUSIP: / Symbol: 10/19/20	1.000	786.97	10/15/20	867.00	...	-80.03	Option sale to close-call ²⁵
DDOG 11/20/2020 CALL \$120.00 / CUSIP: / Symbol: 10/20/20	1.000	71.97	10/19/20	0.00	...	71.97	Short sale closed- call ²⁵ Option written: 10/15/20
DIS 07/17/2020 CALL \$120.00 / CUSIP: / Symbol: 05/18/20	1.000	519.97	04/20/20	270.00	...	249.97	Option sale to close-call ²⁵
DKNG 01/08/2021 CALL \$55.00 / CUSIP: / Symbol: 12/23/20	1.000	259.98	12/22/20	210.00	...	49.98	Option sale to close-call ²⁵
DKNG 09/18/2020 CALL \$50.00 / CUSIP: / Symbol: 09/15/20	1.000	120.98	09/14/20	88.00	...	32.98	Option sale to close-call ²⁵
DKNG 11/06/2020 CALL \$45.00 / CUSIP: / Symbol: 10/15/20	1.000	479.97	10/15/20	580.00	...	-100.03	Option sale to close-call ²⁵
DKNG 11/13/2020 CALL \$45.00 / CUSIP: / Symbol: 11/12/20	1.000	59.98	11/11/20	57.00	...	2.98	Option sale to close-call ²⁵
DKNG 12/11/2020 CALL \$50.00 / CUSIP: / Symbol: 11/25/20	1.000	329.98	11/24/20	230.00	...	99.98	Option sale to close-call ²⁵
12/01/20	1.000	299.98	11/30/20	310.00	...	-10.02	Option sale to close-call ²⁵
Security total:		629.96		540.00	...	89.96	

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Robinhood Securities LLC

Account 146236542

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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DQ 02/19/2021 CALL \$70.00 / CUSIP: / Symbol:							
12/28/20	1.000	599.97	12/24/20	350.00	...	249.97	Option sale to close-call ²⁵
ENPH 07/17/2020 CALL \$55.00 / CUSIP: / Symbol:							
<i>5 transactions for 06/17/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	144.99	06/10/20	495.01	...	-350.02	1 of 5 - Option sale to close-call ²⁵
	2.000	289.99	06/10/20	640.00	...	-350.01	2 of 5 - Option sale to close-call ²⁵
	2.000	289.99	06/11/20	890.01	...	-600.02	3 of 5 - Option sale to close-call ²⁵
	1.000	145.00	06/15/20	320.00	...	-175.00	4 of 5 - Option sale to close-call ²⁵
	1.000	144.99	06/17/20	255.00	...	-110.01	5 of 5 - Option sale to close-call ²⁵
06/17/20	7.000	1,014.96	Various	2,600.02	...	-1,585.06	Total of 5 transactions
<i>2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	144.99	06/10/20	320.00	175.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	2.000	289.99	06/10/20	640.00	350.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
06/17/20	3.000	434.98	Various	960.00	525.02 W	0.00	Total of 2 transactions
	Security total:	1,449.94		3,560.02	525.02 W	-1,585.06	
FB 02/21/2020 CALL \$217.50 / CUSIP: / Symbol:							
02/05/20	2.000	303.98	01/30/20	404.00	100.02 W	0.00	Option sale to close-call ²⁵
<i>4 transactions for 02/18/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	199.99	01/30/20	240.01	...	-40.02	1 of 4 - Option sale to close-call ²⁵
	1.000	199.99	01/30/20	202.00	...	-2.01	2 of 4 - Option sale to close-call ²⁵
	1.000	199.99	01/31/20	186.01	...	13.98	3 of 4 - Option sale to close-call ²⁵
	2.000	399.99	01/31/20	272.00	...	127.99	4 of 4 - Option sale to close-call ²⁵
02/18/20	5.000	999.96	Various	900.02	...	99.94	Total of 4 transactions
	Security total:	1,303.94		1,304.02	100.02 W	99.94	
FB 02/21/2020 CALL \$230.00 / CUSIP: / Symbol:							
02/18/20	1.000	4.98	01/29/20	340.00	335.02 W	0.00	Option sale to close-call ²⁵
02/21/20	1.000	0.00	01/29/20	675.02	...	-675.02	Option expiration- long call
	Security total:	4.98		1,015.02	335.02 W	-675.02	
FB 03/20/2020 PUT \$140.00 / CUSIP: / Symbol:							
03/16/20	1.000	449.98	03/13/20	168.00	...	281.98	Option sale to close-put ²⁵

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Robinhood Securities LLC

Account 146236542

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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FB 03/27/2020 PUT \$140.00 / CUSIP: / Symbol:							
03/23/20	1.000	364.98	03/19/20	230.00	...	134.98	Option sale to close-put ²⁵
FB 04/17/2020 PUT \$130.00 / CUSIP: / Symbol:							
04/03/20	1.000	116.98	04/01/20	98.00	...	18.98	Option sale to close-put ²⁵
FB 05/01/2020 CALL \$200.00 / CUSIP: / Symbol:							
04/30/20	1.000	879.97	04/29/20	370.00	...	509.97	Option sale to close-call ²⁵
FB 05/01/2020 CALL \$210.00 / CUSIP: / Symbol:							
2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.							
	1.000	49.99	04/30/20	128.00	...	-78.01	1 of 2 - Option sale to close-call ²⁵
	1.000	49.99	04/30/20	67.00	...	-17.01	2 of 2 - Option sale to close-call ²⁵
05/01/20	2.000	99.98	Various	195.00	...	-95.02	Total of 2 transactions
FB 05/08/2020 CALL \$215.00 / CUSIP: / Symbol:							
05/06/20	1.000	81.98	04/30/20	131.00	...	-49.02	Option sale to close-call ²⁵
FB 08/14/2020 CALL \$275.00 / CUSIP: / Symbol:							
08/07/20	1.000	579.97	08/07/20	395.00	...	184.97	Option sale to close-call ²⁵
FB 08/21/2020 CALL \$275.00 / CUSIP: / Symbol:							
3 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	239.99	08/11/20	185.00	...	54.99	1 of 3 - Option sale to close-call ²⁵
	1.000	239.99	08/12/20	170.00	...	69.99	2 of 3 - Option sale to close-call ²⁵
	1.000	239.99	08/12/20	151.00	...	88.99	3 of 3 - Option sale to close-call ²⁵
08/13/20	3.000	719.97	Various	506.00	...	213.97	Total of 3 transactions
2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	1.000	249.98	08/07/20	515.00	265.02 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	239.99	08/11/20	464.02	224.03 W	0.00	2 of 2 - Option sale to close-call ²⁵
08/13/20	2.000	489.97	Various	979.02	489.05 W	0.00	Total of 2 transactions
6 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	1.000	75.00	08/12/20	375.03	...	-300.03	1 of 6 - Option sale to close-call ²⁵
	1.000	75.00	08/13/20	154.00	...	-79.00	2 of 6 - Option sale to close-call ²⁵
	1.000	74.99	08/14/20	115.00	...	-40.01	3 of 6 - Option sale to close-call ²⁵
	1.000	75.00	08/14/20	100.00	...	-25.00	4 of 6 - Option sale to close-call ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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FB 08/21/2020 CALL \$275.00 / CUSIP: / Symbol: (cont'd)							
	2.000	149.99	08/14/20	156.00	...	-6.01	5 of 6 - Option sale to close-call ²⁵
	1.000	74.99	08/17/20	43.00	...	31.99	6 of 6 - Option sale to close-call ²⁵
08/18/20	7.000	524.97	Various	943.03	...	-418.06	Total of 6 transactions
	Security total:	1,734.91		2,428.05	489.05 W	-204.09	
FB 09/04/2020 PUT \$270.00 / CUSIP: / Symbol:							
08/27/20	1.000	112.98	08/26/20	102.00	...	10.98	Option sale to close-put ²⁵
FCEL 01/15/2021 CALL \$10.00 / CUSIP: / Symbol:							
12/15/20	1.000	93.98	12/11/20	79.00	...	14.98	Option sale to close-call ²⁵
FCEL 11/20/2020 PUT \$5.00 / CUSIP: / Symbol:							
11/19/20	1.000	24.98	11/18/20	41.00	...	-16.02	Option sale to close-put ²⁵
FDX 10/02/2020 CALL \$300.00 / CUSIP: / Symbol:							
09/14/20	1.000	189.98	09/11/20	120.00	...	69.98	Option sale to close-call ²⁵
FSLY 01/21/2022 CALL \$110.00 / CUSIP: / Symbol:							
10/26/20	1.000	1,761.95	10/15/20	2,630.00	...	-868.05	Option sale to close-call ²⁵
FSLY 01/21/2022 CALL \$130.00 / CUSIP: / Symbol:							
10/27/20	1.000	742.94	10/26/20	0.00	...	742.94	Short sale closed- call ²⁵ Option written: 10/15/20
FSLY 10/30/2020 CALL \$100.00 / CUSIP: / Symbol:							
10/19/20	1.000	327.98	10/15/20	598.00	...	-270.02	Option sale to close-call ²⁵
FSLY 10/30/2020 CALL \$105.00 / CUSIP: / Symbol:							
10/20/20	1.000	220.97	10/19/20	0.00	...	220.97	Short sale closed- call ²⁵ Option written: 10/15/20
FSLY 11/06/2020 CALL \$100.00 / CUSIP: / Symbol:							
4 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	3.000	959.97	10/15/20	2,562.00	...	-1,602.03	1 of 4 - Option sale to close-call ²⁵
	3.000	959.97	10/15/20	2,562.00	...	-1,602.03	2 of 4 - Option sale to close-call ²⁵

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(continued)

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FSLY 11/06/2020 CALL \$100.00 / CUSIP: / Symbol: (cont'd)							
	2.000	639.98	10/15/20	1,708.00	...	-1,068.02	3 of 4 - Option sale to close-call ²⁵
	1.000	319.99	10/15/20	741.00	...	-421.01	4 of 4 - Option sale to close-call ²⁵
10/19/20	9.000	2,879.91	Various	7,573.00	...	-4,693.09	Total of 4 transactions
FSLY 11/06/2020 CALL \$101.00 / CUSIP: / Symbol:							
4 transactions for 10/20/20. Total proceeds and cost reported to the IRS.							
	1.000	387.97	10/19/20	0.00	...	387.97	1 of 4 - Short sale closed- call ²⁵ Option written: 10/15/20
	2.000	993.95	10/19/20	0.00	...	993.95	2 of 4 - Short sale closed- call ²⁵ Option written: 10/15/20
	3.000	1,490.93	10/19/20	0.00	...	1,490.93	3 of 4 - Short sale closed- call ²⁵ Option written: 10/15/20
	3.000	1,490.93	10/19/20	0.00	...	1,490.93	4 of 4 - Short sale closed- call ²⁵ Option written: 10/15/20
10/20/20	9.000	4,363.78	Various	0.00	...	4,363.78	Total of 4 transactions
FSLY 12/11/2020 PUT \$90.00 / CUSIP: / Symbol:							
12/09/20	1.000	109.98	12/08/20	100.00	...	9.98	Option sale to close-put ²⁵
FTEK 12/18/2020 CALL \$5.00 / CUSIP: / Symbol:							
12/02/20	1.000	24.98	11/24/20	245.00	...	-220.02	Option sale to close-call ²⁵
FUBO 01/15/2021 PUT \$22.50 / CUSIP: / Symbol:							
12/21/20	1.000	34.98	12/17/20	97.00	...	-62.02	Option sale to close-put ²⁵
FUBO 11/20/2020 PUT \$17.50 / CUSIP: / Symbol:							
2 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	1.000	249.99	11/11/20	70.00	...	179.99	1 of 2 - Option sale to close-put ²⁵
	1.000	249.98	11/12/20	175.00	...	74.98	2 of 2 - Option sale to close-put ²⁵
11/13/20	2.000	499.97	Various	245.00	...	254.97	Total of 2 transactions
GME 10/30/2020 PUT \$12.00 / CUSIP: / Symbol:							
10/16/20	1.000	82.98	10/15/20	57.00	...	25.98	Option sale to close-put ²⁵

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HTZ 10/23/2020 CALL \$2.00 / CUSIP: / Symbol:							
10/23/20	1.000	14.98	10/23/20	0.00	...	14.98	Short sale closed- call expired Option written: 10/20/20
HTZ 10/23/2020 CALL \$2.50 / CUSIP: / Symbol:							
10/21/20	1.000	19.98	10/20/20	0.00	...	19.98	Short sale closed- call ²⁵ Option written: 10/16/20
HTZ 10/30/2020 CALL \$2.00 / CUSIP: / Symbol:							
10/30/20	1.000	0.00	10/27/20	5.00	...	-5.00	Option expiration- long call
IBM 07/31/2020 CALL \$135.00 / CUSIP: / Symbol:							
<i>11 transactions for 07/30/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.98	07/27/20	191.11	...	-190.13	1 of 11 - Option sale to close-call ²⁵
	1.000	0.98	07/27/20	24.04	...	-23.06	2 of 11 - Option sale to close-call ²⁵
	1.000	1.00	07/27/20	24.05	...	-23.05	3 of 11 - Option sale to close-call ²⁵
	1.000	1.00	07/27/20	21.04	...	-20.04	4 of 11 - Option sale to close-call ²⁵
	1.000	1.00	07/27/20	21.04	...	-20.04	5 of 11 - Option sale to close-call ²⁵
	1.000	1.00	07/27/20	21.04	...	-20.04	6 of 11 - Option sale to close-call ²⁵
	1.000	0.99	07/27/20	4.00	...	-3.01	7 of 11 - Option sale to close-call ²⁵
	1.000	0.99	07/27/20	4.00	...	-3.01	8 of 11 - Option sale to close-call ²⁵
	1.000	0.99	07/27/20	4.00	...	-3.01	9 of 11 - Option sale to close-call ²⁵
	1.000	1.00	07/27/20	4.00	...	-3.00	10 of 11 - Option sale to close-call ²⁵
	1.000	1.00	07/27/20	4.00	...	-3.00	11 of 11 - Option sale to close-call ²⁵
07/30/20	11.000	10.93	Various	322.32	...	-311.39	Total of 11 transactions

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IBM 07/31/2020 CALL \$135.00 / CUSIP: / Symbol: (cont'd)							
<i>19 transactions for 07/30/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.98	07/21/20	70.00	69.02 W	0.00	1 of 19 - Option sale to close-call 25
	1.000	0.98	07/21/20	108.02	107.04 W	0.00	2 of 19 - Option sale to close-call 25
	1.000	0.98	07/23/20	138.04	137.06 W	0.00	3 of 19 - Option sale to close-call 25
	1.000	0.99	07/23/20	168.06	167.07 W	0.00	4 of 19 - Option sale to close-call 25
	1.000	0.99	07/24/20	15.00	14.01 W	0.00	5 of 19 - Option sale to close-call 25
	1.000	0.98	07/24/20	182.07	181.09 W	0.00	6 of 19 - Option sale to close-call 25
	1.000	0.98	07/24/20	15.00	14.02 W	0.00	7 of 19 - Option sale to close-call 25
	1.000	0.98	07/24/20	15.00	14.02 W	0.00	8 of 19 - Option sale to close-call 25
	1.000	0.98	07/24/20	15.00	14.02 W	0.00	9 of 19 - Option sale to close-call 25
	1.000	0.98	07/24/20	15.00	14.02 W	0.00	10 of 19 - Option sale to close-call 25
	1.000	0.98	07/27/20	18.01	17.03 W	0.00	11 of 19 - Option sale to close-call 25
	1.000	0.98	07/27/20	185.09	184.11 W	0.00	12 of 19 - Option sale to close-call 25
	1.000	0.98	07/27/20	18.02	17.04 W	0.00	13 of 19 - Option sale to close-call 25
	1.000	0.98	07/27/20	18.02	17.04 W	0.00	14 of 19 - Option sale to close-call 25
	1.000	0.98	07/27/20	18.02	17.04 W	0.00	15 of 19 - Option sale to close-call 25
	1.000	0.98	07/27/20	18.02	17.04 W	0.00	16 of 19 - Option sale to close-call 25
	1.000	0.98	07/27/20	21.03	20.05 W	0.00	17 of 19 - Option sale to close-call 25

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IBM 07/31/2020 CALL \$135.00 / CUSIP: / Symbol: (cont'd)							
	1.000	1.00	07/27/20	188.11	187.11 W	0.00	18 of 19 - Option sale to close-call ²⁵
	1.000	1.00	07/27/20	21.04	20.04 W	0.00	19 of 19 - Option sale to close-call ²⁵
07/30/20	19.000	18.68	Various	1,246.55	1,227.87 W	0.00	Total of 19 transactions
	Security total:	29.61		1,568.87	1,227.87 W	-311.39	
IDEX 11/20/2020 CALL \$1.00 / CUSIP: / Symbol:							
11/23/20	1.000	-34.02	11/20/20	0.00	...	-34.02	Short sale closed- call ²⁵ Option written: 10/27/20
INO 09/18/2020 CALL \$17.50 / CUSIP: / Symbol:							
09/17/20	1.000	78.98	09/16/20	122.00	...	-43.02	Option sale to close-call ²⁵
INO 10/09/2020 CALL \$15.00 / CUSIP: / Symbol:							
09/16/20	1.000	389.98	09/16/20	390.00	...	-0.02	Option sale to close-call ²⁵
INTC 09/04/2020 CALL \$51.00 / CUSIP: / Symbol:							
08/31/20	1.000	75.98	08/28/20	72.02	...	3.96	Option sale to close-call ²⁵
08/31/20	1.000	75.98	08/28/20	89.00	13.02 W	0.00	Option sale to close-call ²⁵
	Security total:	151.96		161.02	13.02 W	3.96	
INTC 09/04/2020 CALL \$52.00 / CUSIP: / Symbol:							
09/02/20	3.000	293.98	09/02/20	234.00	...	59.98	Option sale to close-call ²⁵
INTC 09/04/2020 CALL \$53.00 / CUSIP: / Symbol:							
09/03/20	3.000	-24.02	09/02/20	0.00	...	-24.02	Short sale closed- call ²⁵ Option written: 09/02/20
KNNDI 08/21/2020 CALL \$10.00 / CUSIP: / Symbol:							
9 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	1.000	35.00	08/07/20	445.01	...	-410.01	1 of 9 - Option sale to close-call ²⁵
	1.000	35.00	08/07/20	335.00	...	-300.00	2 of 9 - Option sale to close-call ²⁵
	1.000	35.00	08/10/20	165.01	...	-130.01	3 of 9 - Option sale to close-call ²⁵
	1.000	35.00	08/10/20	70.00	...	-35.00	4 of 9 - Option sale to close-call ²⁵
	1.000	35.00	08/10/20	59.00	...	-24.00	5 of 9 - Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 146236542

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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KNDI 08/21/2020 CALL \$10.00 / CUSIP: / Symbol: (cont'd)							
	1.000	34.99	08/13/20	45.00	...	-10.01	6 of 9 - Option sale to close-call ²⁵
	1.000	35.00	08/13/20	45.00	...	-10.00	7 of 9 - Option sale to close-call ²⁵
	1.000	35.00	08/13/20	45.00	...	-10.00	8 of 9 - Option sale to close-call ²⁵
	3.000	104.98	08/14/20	90.00	...	14.98	9 of 9 - Option sale to close-call ²⁵
08/18/20	11.000	384.97	Various	1,299.02	...	-914.05	Total of 9 transactions
	3 transactions for 08/18/20. Total proceeds and cost reported to the IRS.						
	1.000	34.99	07/30/20	380.00	345.01 W	0.00	1 of 3 - Option sale to close-call ²⁵
	1.000	35.00	07/30/20	270.00	235.00 W	0.00	2 of 3 - Option sale to close-call ²⁵
	1.000	34.99	08/04/20	135.00	100.01 W	0.00	3 of 3 - Option sale to close-call ²⁵
08/18/20	3.000	104.98	Various	785.00	680.02 W	0.00	Total of 3 transactions
	Security total:	489.95		2,084.02	680.02 W	-914.05	
KODK 08/28/2020 CALL \$7.00 / CUSIP: / Symbol:							
08/27/20	3.000	29.98	08/26/20	54.00	...	-24.02	Option sale to close-call ²⁵
LAC 10/16/2020 CALL \$5.00 / CUSIP: / Symbol:							
09/23/20	1.000	289.98	09/18/20	580.00	...	-290.02	Option sale to close-call ²⁵
LAZR 01/15/2021 CALL \$22.50 / CUSIP: / Symbol:							
12/15/20	1.000	779.97	12/14/20	760.00	...	19.97	Option sale to close-call ²⁵
LB 06/19/2020 CALL \$12.50 / CUSIP: / Symbol:							
05/18/20	1.000	154.98	04/22/20	115.00	...	39.98	Option sale to close-call ²⁵
LEVI 04/17/2020 PUT \$11.00 / CUSIP: / Symbol:							
04/13/20	1.000	4.98	04/07/20	55.00	...	-50.02	Option sale to close-put ²⁵
LK 02/07/2020 CALL \$49.00 / CUSIP: / Symbol:							
	6 transactions for 02/07/20. Total proceeds and cost reported to the IRS.						
	3.000	0.00	01/21/20	1,170.00	...	-1,170.00	1 of 6 - Option expiration- long call
	4.000	0.00	01/22/20	880.00	...	-880.00	2 of 6 - Option expiration- long call
	2.000	0.00	01/22/20	370.00	...	-370.00	3 of 6 - Option expiration- long call
	4.000	0.00	01/24/20	280.00	...	-280.00	4 of 6 - Option expiration- long call
	1.000	0.00	01/24/20	125.00	...	-125.00	5 of 6 - Option expiration- long call

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Robinhood Securities LLC

Account 146236542

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LK 02/07/2020 CALL \$49.00 / CUSIP: / Symbol: (cont'd)							
	1.000	0.00	01/24/20	105.00	...	-105.00	6 of 6 - Option expiration- long call
02/07/20	15.000	0.00	Various	2,930.00	...	-2,930.00	Total of 6 transactions
LK 05/15/2020 CALL \$5.00 / CUSIP: / Symbol:							
2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	2.000	0.00	04/06/20	166.00	...	-166.00	1 of 2 - Option expiration- long call
	1.000	0.00	04/06/20	82.00	...	-82.00	2 of 2 - Option expiration- long call
05/15/20	3.000	0.00	Various	248.00	...	-248.00	Total of 2 transactions
LUV 06/19/2020 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	1.000	169.99	04/13/20	220.00	...	-50.01	1 of 2 - Option sale to close-call ²⁵
	1.000	169.99	04/16/20	110.00	...	59.99	2 of 2 - Option sale to close-call ²⁵
06/04/20	2.000	339.98	Various	330.00	...	9.98	Total of 2 transactions
MGM 06/19/2020 CALL \$17.00 / CUSIP: / Symbol:							
05/27/20	1.000	215.98	04/30/20	215.00	...	0.98	Option sale to close-call ²⁵
MRNA 07/24/2020 CALL \$90.00 / CUSIP: / Symbol:							
07/23/20	1.000	34.98	07/20/20	228.00	...	-193.02	Option sale to close-call ²⁵
MRNA 09/18/2020 CALL \$65.00 / CUSIP: / Symbol:							
09/15/20	1.000	329.98	09/14/20	122.00	...	207.98	Option sale to close-call ²⁵
MRNA 09/18/2020 CALL \$70.00 / CUSIP: / Symbol:							
09/17/20	1.000	121.98	09/15/20	99.00	...	22.98	Option sale to close-call ²⁵
MRNA 09/18/2020 CALL \$75.00 / CUSIP: / Symbol:							
09/17/20	1.000	22.98	09/16/20	45.00	...	-22.02	Option sale to close-call ²⁵
MRNA 09/18/2020 CALL \$80.00 / CUSIP: / Symbol:							
09/18/20	1.000	6.98	09/17/20	0.00	...	6.98	Short sale closed- call ²⁵ Option written: 09/16/20
MRNA 09/25/2020 CALL \$75.00 / CUSIP: / Symbol:							
09/21/20	1.000	39.98	09/17/20	234.00	...	-194.02	Option sale to close-call ²⁵

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Account 146236542

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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MSFT 01/15/2021 CALL \$230.00 / CUSIP: / Symbol:							
10/09/20	1.000	869.97	10/08/20	782.00	...	87.97	Option sale to close-call ²⁵
MSFT 01/15/2021 CALL \$235.00 / CUSIP: / Symbol:							
10/12/20	1.000	-75.03	10/09/20	0.00	...	-75.03	Short sale closed- call ²⁵ Option written: 10/08/20
MSFT 02/21/2020 CALL \$175.00 / CUSIP: / Symbol:							
01/30/20	1.000	229.98	01/29/20	123.00	...	106.98	Option sale to close-call ²⁵
MSFT 05/08/2020 CALL \$185.00 / CUSIP: / Symbol:							
05/05/20	1.000	152.98	04/30/20	127.00	...	25.98	Option sale to close-call ²⁵
MSFT 06/19/2020 CALL \$185.00 / CUSIP: / Symbol:							
05/06/20	1.000	674.97	04/03/20	189.00	...	485.97	Option sale to close-call ²⁵
MSFT 07/02/2020 CALL \$200.00 / CUSIP: / Symbol:							
06/30/20	2.000	669.97	06/25/20	552.00	...	117.97	Option sale to close-call ²⁵
MSFT 07/31/2020 CALL \$205.00 / CUSIP: / Symbol:							
		2 transactions for 07/29/20. Total proceeds and cost reported to the IRS.					
	1.000	156.99	07/27/20	206.02	...	-49.03	1 of 2 - Option sale to close-call ²⁵
	2.000	313.98	07/28/20	214.00	...	99.98	2 of 2 - Option sale to close-call ²⁵
07/29/20	3.000	470.97	Various	420.02	...	50.95	Total of 2 transactions
07/29/20	1.000	156.98	07/24/20	205.00	48.02 W	0.00	Option sale to close-call ²⁵
	Security total:	627.95		625.02	48.02 W	50.95	
MSFT 08/07/2020 CALL \$210.00 / CUSIP: / Symbol:							
		3 transactions for 08/03/20. Total proceeds and cost reported to the IRS.					
	1.000	764.98	07/27/20	213.00	...	551.98	1 of 3 - Option sale to close-call ²⁵
	1.000	764.97	07/30/20	83.00	...	681.97	2 of 3 - Option sale to close-call ²⁵
	1.000	764.98	07/30/20	82.00	...	682.98	3 of 3 - Option sale to close-call ²⁵
08/03/20	3.000	2,294.93	Various	378.00	...	1,916.93	Total of 3 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MSFT 08/14/2020 CALL \$230.00 / CUSIP: / Symbol:							
4 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	67.98	08/05/20	209.02	...	-141.04	1 of 4 - Option sale to close-call ²⁵
	1.000	67.99	08/05/20	85.01	...	-17.02	2 of 4 - Option sale to close-call ²⁵
	1.000	68.00	08/05/20	57.00	...	11.00	3 of 4 - Option sale to close-call ²⁵
	1.000	67.99	08/05/20	50.01	...	17.98	4 of 4 - Option sale to close-call ²⁵
08/06/20	4.000	271.96	Various	401.04	...	-129.08	Total of 4 transactions
5 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	1.000	67.98	08/03/20	180.00	112.02 W	0.00	1 of 5 - Option sale to close-call ²⁵
	1.000	68.00	08/04/20	219.02	151.02 W	0.00	2 of 5 - Option sale to close-call ²⁵
	1.000	67.99	08/04/20	95.00	27.01 W	0.00	3 of 5 - Option sale to close-call ²⁵
	1.000	68.00	08/04/20	75.00	7.00 W	0.00	4 of 5 - Option sale to close-call ²⁵
	1.000	67.99	08/05/20	68.00	0.01 W	0.00	5 of 5 - Option sale to close-call ²⁵
08/06/20	5.000	339.96	Various	637.02	297.06 W	0.00	Total of 5 transactions
	Security total:	611.92		1,038.06	297.06 W	-129.08	
MSFT 08/21/2020 CALL \$217.50 / CUSIP: / Symbol:							
6 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	1.000	9.00	08/14/20	65.00	...	-56.00	1 of 6 - Option sale to close-call ²⁵
	1.000	8.99	08/14/20	48.00	...	-39.01	2 of 6 - Option sale to close-call ²⁵
	1.000	9.00	08/14/20	48.00	...	-39.00	3 of 6 - Option sale to close-call ²⁵
	1.000	8.98	08/17/20	305.00	...	-296.02	4 of 6 - Option sale to close-call ²⁵
	1.000	8.98	08/17/20	117.00	...	-108.02	5 of 6 - Option sale to close-call ²⁵
	1.000	8.98	08/17/20	85.01	...	-76.03	6 of 6 - Option sale to close-call ²⁵
08/20/20	6.000	53.93	Various	668.01	...	-614.08	Total of 6 transactions
3 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	1.000	9.00	08/07/20	287.00	278.00 W	0.00	1 of 3 - Option sale to close-call ²⁵
	1.000	9.00	08/11/20	99.00	90.00 W	0.00	2 of 3 - Option sale to close-call ²⁵
	1.000	8.99	08/14/20	67.00	58.01 W	0.00	3 of 3 - Option sale to close-call ²⁵
08/20/20	3.000	26.99	Various	453.00	426.01 W	0.00	Total of 3 transactions
	Security total:	80.92		1,121.01	426.01 W	-614.08	
MSFT 09/04/2020 CALL \$240.00 / CUSIP: / Symbol:							
09/02/20	1.000	63.98	08/31/20	148.00	...	-84.02	Option sale to close-call ²⁵

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NET 01/15/2021 CALL \$50.00 / CUSIP: / Symbol:							
10/20/20	1.000	1,169.96	10/15/20	1,190.00	...	-20.04	Option sale to close-call ²⁵
NET 01/15/2021 CALL \$75.00 / CUSIP: / Symbol:							
10/15/20	1.000	349.98	10/13/20	340.00	...	9.98	Option sale to close-call ²⁵
NFLX 01/15/2021 CALL \$620.00 / CUSIP: / Symbol:							
12/02/20	1.000	284.98	10/19/20	2,728.00	...	-2,443.02	Option sale to close-call ²⁵
NFLX 01/15/2021 CALL \$630.00 / CUSIP: / Symbol:							
12/03/20	1.000	2,247.93	12/02/20	0.00	...	2,247.93	Short sale closed- call ²⁵ Option written: 10/19/20
NFLX 02/07/2020 CALL \$362.50 / CUSIP: / Symbol:							
01/22/20	1.000	95.98	01/15/20	750.00	...	-654.02	Option sale to close-call ²⁵
NFLX 03/20/2020 CALL \$350.00 / CUSIP: / Symbol:							
03/18/20	1.000	238.98	03/17/20	186.00	...	52.98	Option sale to close-call ²⁵
NFLX 03/20/2020 CALL \$370.00 / CUSIP: / Symbol:							
<i>11 transactions for 03/18/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	39.00	03/13/20	140.00	...	-101.00	1 of 11 - Option sale to close-call ²⁵
	1.000	39.00	03/16/20	61.00	...	-22.00	2 of 11 - Option sale to close-call ²⁵
	2.000	77.99	03/16/20	76.00	...	1.99	3 of 11 - Option sale to close-call ²⁵
	1.000	39.00	03/17/20	25.00	...	14.00	4 of 11 - Option sale to close-call ²⁵
	1.000	38.99	03/17/20	15.00	...	23.99	5 of 11 - Option sale to close-call ²⁵
	1.000	39.00	03/17/20	15.00	...	24.00	6 of 11 - Option sale to close-call ²⁵
	1.000	39.00	03/17/20	15.00	...	24.00	7 of 11 - Option sale to close-call ²⁵
	1.000	39.00	03/17/20	15.00	...	24.00	8 of 11 - Option sale to close-call ²⁵

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Account 146236542

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NFLX 03/20/2020 CALL \$370.00 / CUSIP: / Symbol: (cont'd)							
	1.000	39.00	03/17/20	15.00	...	24.00	9 of 11 - Option sale to close-call ²⁵
	2.000	77.99	03/17/20	30.00	...	47.99	10 of 11 - Option sale to close-call ²⁵
	3.000	116.98	03/17/20	45.00	...	71.98	11 of 11 - Option sale to close-call ²⁵
03/18/20	15.000	584.95	Various	452.00	...	132.95	Total of 11 transactions
NFLX 03/27/2020 PUT \$250.00 / CUSIP: / Symbol:							
03/23/20	1.000	40.98	03/18/20	200.00	159.02 W	0.00	Option sale to close-put ²⁵
2 transactions for 03/24/20. Total proceeds and cost reported to the IRS.							
	1.000	4.99	03/19/20	324.02	...	-319.03	1 of 2 - Option sale to close-put ²⁵
	1.000	4.99	03/19/20	150.00	...	-145.01	2 of 2 - Option sale to close-put ²⁵
03/24/20	2.000	9.98	Various	474.02	...	-464.04	Total of 2 transactions
	Security total:	50.96		674.02	159.02 W	-464.04	
NFLX 03/27/2020 PUT \$290.00 / CUSIP: / Symbol:							
03/24/20	1.000	38.98	03/19/20	490.00	...	-451.02	Option sale to close-put ²⁵
NFLX 03/27/2020 PUT \$300.00 / CUSIP: / Symbol:							
03/24/20	1.000	60.98	03/23/20	222.00	...	-161.02	Option sale to close-put ²⁵
NFLX 04/17/2020 PUT \$370.00 / CUSIP: / Symbol:							
04/14/20	1.000	138.98	04/13/20	270.00	...	-131.02	Option sale to close-put ²⁵
NFLX 04/24/2020 CALL \$500.00 / CUSIP: / Symbol:							
04/23/20	1.000	34.98	04/14/20	160.00	...	-125.02	Option sale to close-call ²⁵
NFLX 05/01/2020 CALL \$450.00 / CUSIP: / Symbol:							
2 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	1.000	17.99	04/27/20	836.02	...	-818.03	1 of 2 - Option sale to close-call ²⁵
	1.000	17.99	04/27/20	149.00	...	-131.01	2 of 2 - Option sale to close-call ²⁵
04/29/20	2.000	35.98	Various	985.02	...	-949.04	Total of 2 transactions
04/29/20	1.000	17.98	04/22/20	680.00	662.02 W	0.00	Option sale to close-call ²⁵
	Security total:	53.96		1,665.02	662.02 W	-949.04	

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Robinhood Securities LLC

Account 146236542

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NFLX 09/04/2020 PUT \$500.00 / CUSIP: / Symbol: 08/27/20	1.000	411.98	08/26/20	400.00	...	11.98	Option sale to close-put ²⁵
NFLX 09/11/2020 CALL \$540.00 / CUSIP: / Symbol: 09/01/20	1.000	1,939.94	08/31/20	1,444.00	...	495.94	Option sale to close-call ²⁵
NFLX 09/11/2020 CALL \$545.00 / CUSIP: / Symbol: 09/02/20	1.000	-456.04	09/01/20	0.00	...	-456.04	Short sale closed- call ²⁵ Option written: 08/31/20
NIO 01/15/2021 CALL \$30.00 / CUSIP: / Symbol: 11/02/20	1.000	774.97	10/20/20	470.00	...	304.97	Option sale to close-call ²⁵
NIO 01/15/2021 CALL \$35.00 / CUSIP: / Symbol: 11/03/20	1.000	514.97	11/02/20	590.00	...	-75.03	Option sale to close-call ²⁵
NIO 05/15/2020 PUT \$4.00 / CUSIP: / Symbol: 04/30/20	1.000	62.98	04/29/20	51.00	...	11.98	Option sale to close-put ²⁵
NIO 09/18/2020 CALL \$20.00 / CUSIP: / Symbol: 09/15/20	1.000	62.98	09/10/20	58.00	...	4.98	Option sale to close-call ²⁵
NIO 09/25/2020 CALL \$19.00 / CUSIP: / Symbol: 09/18/20	1.000	113.98	09/17/20	102.00	...	11.98	Option sale to close-call ²⁵
NIO 09/25/2020 CALL \$20.00 / CUSIP: / Symbol: 09/25/20	1.000	7.98	09/24/20	0.00	...	7.98	Short sale closed- call ²⁵ Option written: 09/23/20
NIO 09/25/2020 CALL \$21.00 / CUSIP: / Symbol: 09/24/20	1.000	10.98	09/23/20	0.00	...	10.98	Short sale closed- call ²⁵ Option written: 09/22/20
NIO 09/25/2020 CALL \$25.00 / CUSIP: / Symbol: 09/23/20	1.000	4.98	09/22/20	0.00	...	4.98	Short sale closed- call ²⁵ Option written: 09/21/20

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Robinhood Securities LLC

Account 146236542

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NIO 10/02/2020 CALL \$19.00 / CUSIP: / Symbol: 10/01/20	1.000	-276.02	09/30/20	0.00	...	-276.02	Short sale closed- call ²⁵ Option written: 09/24/20
NIO 10/02/2020 CALL \$23.00 / CUSIP: / Symbol: 10/01/20	1.000	-20.02	09/30/20	0.00	...	-20.02	Short sale closed- call ²⁵ Option written: 09/30/20
NIO 10/09/2020 CALL \$24.00 / CUSIP: / Symbol: 10/07/20	1.000	11.98	10/06/20	0.00	...	11.98	Short sale closed- call ²⁵ Option written: 10/05/20
NIO 10/23/2020 CALL \$30.00 / CUSIP: / Symbol: 10/23/20	1.000	25.98	10/23/20	0.00	...	25.98	Short sale closed- call expired Option written: 10/20/20
NIO 10/30/2020 CALL \$23.00 / CUSIP: / Symbol: 10/14/20	1.000	18.98	10/13/20	0.00	...	18.98	Short sale closed- call ²⁵ Option written: 10/07/20
NIO 10/30/2020 CALL \$30.00 / CUSIP: / Symbol: 11/02/20	1.000	-42.02	10/30/20	0.00	...	-42.02	Short sale closed- call ²⁵ Option written: 10/27/20
NIO 10/30/2020 PUT \$25.00 / CUSIP: / Symbol: 10/16/20	1.000	86.98	10/15/20	120.00	...	-33.02	Option sale to close-put ²⁵
NIO 11/06/2020 CALL \$38.00 / CUSIP: / Symbol: 11/04/20	1.000	89.98	11/03/20	0.00	...	89.98	Short sale closed- call ²⁵ Option written: 11/02/20
NIO 12/16/2022 CALL \$5.00 / CUSIP: / Symbol: 10/15/20	1.000	2,229.94	09/21/20	1,570.00	...	659.94	Option sale to close-call ²⁵
NKLA 08/07/2020 CALL \$40.00 / CUSIP: / Symbol: 08/04/20	1.000	312.98	08/03/20	30.00	...	282.98	Option sale to close-call ²⁵

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Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NKLA 08/14/2020 CALL \$50.00 / CUSIP: / Symbol:							
08/10/20	1.000	109.98	08/04/20	140.00	...	-30.02	Option sale to close-call ²⁵
NKLA 09/18/2020 CALL \$40.00 / CUSIP: / Symbol:							
09/15/20	1.000	29.98	09/14/20	105.00	...	-75.02	Option sale to close-call ²⁵
NVAX 09/18/2020 CALL \$140.00 / CUSIP: / Symbol:							
09/17/20	1.000	49.98	09/16/20	46.00	...	3.98	Option sale to close-call ²⁵
NVAX 09/18/2020 CALL \$150.00 / CUSIP: / Symbol:							
09/18/20	1.000	-4.02	09/17/20	0.00	...	-4.02	Short sale closed- call ²⁵ Option written: 09/16/20
NVDA 04/09/2020 PUT \$220.00 / CUSIP: / Symbol:							
04/03/20	1.000	230.98	04/01/20	283.00	...	-52.02	Option sale to close-put ²⁵
NVDA 05/15/2020 CALL \$330.00 / CUSIP: / Symbol:							
05/06/20	1.000	99.98	04/13/20	92.00	...	7.98	Option sale to close-call ²⁵
NVDA 08/28/2020 CALL \$550.00 / CUSIP: / Symbol:							
08/18/20	1.000	629.97	08/17/20	455.00	...	174.97	Option sale to close-call ²⁵
NVDA 08/28/2020 PUT \$490.00 / CUSIP: / Symbol:							
08/27/20	1.000	93.98	08/26/20	63.00	...	30.98	Option sale to close-put ²⁵
NVDA 09/11/2020 CALL \$545.00 / CUSIP: / Symbol:							
09/10/20	1.000	192.98	09/04/20	445.00	...	-252.02	Option sale to close-call ²⁵
NVDA 09/11/2020 CALL \$547.50 / CUSIP: / Symbol:							
<i>5 transactions for 09/10/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	281.98	09/04/20	984.00	...	-702.02	1 of 5 - Option sale to close-call ²⁵
	1.000	94.00	09/04/20	465.00	...	-371.00	2 of 5 - Option sale to close-call ²⁵
	1.000	94.00	09/04/20	328.00	...	-234.00	3 of 5 - Option sale to close-call ²⁵
	1.000	93.99	09/04/20	318.00	...	-224.01	4 of 5 - Option sale to close-call ²⁵
	1.000	94.00	09/04/20	318.00	...	-224.00	5 of 5 - Option sale to close-call ²⁵
09/10/20	7.000	657.97	Various	2,413.00	...	-1,755.03	Total of 5 transactions

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Robinhood Securities LLC

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVDA 09/11/2020 CALL \$550.00 / CUSIP: / Symbol:							
6 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	1.000	200.98	09/10/20	0.00	...	200.98	1 of 6 - Short sale closed- call ²⁵ Option written: 09/04/20
	1.000	200.98	09/10/20	0.00	...	200.98	2 of 6 - Short sale closed- call ²⁵ Option written: 09/04/20
	1.000	210.98	09/10/20	0.00	...	210.98	3 of 6 - Short sale closed- call ²⁵ Option written: 09/04/20
	1.000	281.98	09/10/20	0.00	...	281.98	4 of 6 - Short sale closed- call ²⁵ Option written: 09/04/20
	1.000	290.98	09/10/20	0.00	...	290.98	5 of 6 - Short sale closed- call ²⁵ Option written: 09/04/20
	3.000	632.96	09/10/20	0.00	...	632.96	6 of 6 - Short sale closed- call ²⁵ Option written: 09/04/20
09/11/20	8.000	1,818.86	Various	0.00	...	1,818.86	Total of 6 transactions
NVDA 09/18/2020 CALL \$560.00 / CUSIP: / Symbol:							
09/04/20	1.000	825.97	09/04/20	1,504.00	...	-678.03	Option sale to close-call ²⁵
NVDA 09/18/2020 CALL \$580.00 / CUSIP: / Symbol:							
09/08/20	1.000	457.96	09/04/20	0.00	...	457.96	Short sale closed- call ²⁵ Option written: 09/04/20
NVDA 09/25/2020 CALL \$530.00 / CUSIP: / Symbol:							
2 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	1.000	115.99	09/17/20	697.00	...	-581.01	1 of 2 - Option sale to close-call ²⁵
	1.000	115.99	09/17/20	449.00	...	-333.01	2 of 2 - Option sale to close-call ²⁵
09/23/20	2.000	231.98	Various	1,146.00	...	-914.02	Total of 2 transactions
NVDA 09/25/2020 CALL \$540.00 / CUSIP: / Symbol:							
2 transactions for 09/24/20. Total proceeds and cost reported to the IRS.							
	1.000	246.98	09/23/20	0.00	...	246.98	1 of 2 - Short sale closed- call ²⁵ Option written: 09/17/20
	1.000	430.97	09/23/20	0.00	...	430.97	2 of 2 - Short sale closed- call ²⁵ Option written: 09/17/20
09/24/20	2.000	677.95	Various	0.00	...	677.95	Total of 2 transactions

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(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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NVDA 11/27/2020 CALL \$700.00 / CUSIP: / Symbol:							
11/19/20	1.000	2.98	11/18/20	59.02	...	-56.04	Option sale to close-call ²⁵
11/19/20	1.000	2.98	11/18/20	37.00	34.02 W	0.00	Option sale to close-call ²⁵
	Security total:	5.96		96.02	34.02 W	-56.04	
OSTK 07/17/2020 PUT \$47.00 / CUSIP: / Symbol:							
07/13/20	1.000	399.98	07/10/20	370.00	...	29.98	Option sale to close-put ²⁵
OSTK 08/07/2020 CALL \$70.00 / CUSIP: / Symbol:							
07/30/20	1.000	859.97	07/30/20	450.00	...	409.97	Option sale to close-call ²⁵
PAYC 02/21/2020 CALL \$300.00 / CUSIP: / Symbol:							
01/22/20	1.000	1,639.95	01/15/20	1,140.00	...	499.95	Option sale to close-call ²⁵
PAYC 02/21/2020 CALL \$350.00 / CUSIP: / Symbol:							
02/21/20	1.000	0.00	02/05/20	460.00	...	-460.00	Option expiration- long call
PAYC 03/20/2020 CALL \$320.00 / CUSIP: / Symbol:							
03/04/20	1.000	339.98	02/21/20	570.00	...	-230.02	Option sale to close-call ²⁵
PAYC 04/17/2020 CALL \$220.00 / CUSIP: / Symbol:							
	3 transactions for 04/09/20. Total proceeds and cost reported to the IRS.						
	1.000	379.99	04/07/20	200.00	...	179.99	1 of 3 - Option sale to close-call ²⁵
	1.000	379.99	04/07/20	150.00	...	229.99	2 of 3 - Option sale to close-call ²⁵
	1.000	379.98	04/08/20	100.00	...	279.98	3 of 3 - Option sale to close-call ²⁵
04/09/20	3.000	1,139.96	Various	450.00	...	689.96	Total of 3 transactions
PAYC 04/17/2020 CALL \$240.00 / CUSIP: / Symbol:							
04/17/20	1.000	0.00	04/14/20	260.00	...	-260.00	Option expiration- long call
PAYC 04/17/2020 PUT \$200.00 / CUSIP: / Symbol:							
04/13/20	1.000	269.98	04/09/20	160.00	...	109.98	Option sale to close-put ²⁵
PAYC 05/15/2020 CALL \$230.00 / CUSIP: / Symbol:							
04/28/20	1.000	1,709.95	04/24/20	800.00	...	909.95	Option sale to close-call ²⁵
PAYC 05/15/2020 CALL \$250.00 / CUSIP: / Symbol:							
04/27/20	1.000	509.97	04/23/20	450.00	...	59.97	Option sale to close-call ²⁵

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PAYC 05/15/2020 CALL \$270.00 / CUSIP: / Symbol:							
2 transactions for 05/07/20. Total proceeds and cost reported to the IRS.							
	1.000	749.97	05/01/20	370.00	...	379.97	1 of 2 - Option sale to close-call ²⁵
	1.000	929.96	05/01/20	210.00	...	719.96	2 of 2 - Option sale to close-call ²⁵
05/07/20	2.000	1,679.93	Various	580.00	...	1,099.93	Total of 2 transactions
PAYC 05/15/2020 CALL \$280.00 / CUSIP: / Symbol:							
3 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	05/11/20	410.00	...	-410.00	1 of 3 - Option expiration- long call
	2.000	0.00	05/12/20	240.00	...	-240.00	2 of 3 - Option expiration- long call
	1.000	0.00	05/12/20	160.00	...	-160.00	3 of 3 - Option expiration- long call
05/15/20	4.000	0.00	Various	810.00	...	-810.00	Total of 3 transactions
PAYC 07/17/2020 CALL \$330.00 / CUSIP: / Symbol:							
3 transactions for 07/17/20. Total proceeds and cost reported to the IRS.							
	1.000	0.00	07/10/20	240.00	...	-240.00	1 of 3 - Option expiration- long call
	1.000	0.00	07/10/20	190.00	...	-190.00	2 of 3 - Option expiration- long call
	1.000	0.00	07/10/20	175.00	...	-175.00	3 of 3 - Option expiration- long call
07/17/20	3.000	0.00	Various	605.00	...	-605.00	Total of 3 transactions
PAYC 09/18/2020 CALL \$340.00 / CUSIP: / Symbol:							
08/14/20	1.000	449.98	08/04/20	360.00	...	89.98	Option sale to close-call ²⁵
2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	1.000	104.99	08/10/20	245.00	140.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	104.99	08/18/20	180.00	75.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
08/26/20	2.000	209.98	Various	425.00	215.02 W	0.00	Total of 2 transactions
3 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	1.000	134.99	08/24/20	200.01	...	-65.02	1 of 3 - Option sale to close-call ²⁵
	1.000	135.00	08/24/20	135.01	...	-0.01	2 of 3 - Option sale to close-call ²⁵
	1.000	134.99	08/24/20	54.00	...	80.99	3 of 3 - Option sale to close-call ²⁵
08/28/20	3.000	404.98	Various	389.02	...	15.96	Total of 3 transactions
	Security total:	1,064.94		1,174.02	215.02 W	105.94	
PINS 05/08/2020 CALL \$23.00 / CUSIP: / Symbol:							
05/08/20	1.000	0.00	05/05/20	55.00	...	-55.00	Option expiration- long call

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Account 146236542

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

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PLTR 01/08/2021 CALL \$30.00 / CUSIP: / Symbol:							
12/23/20	1.000	185.98	12/22/20	158.00	...	27.98	Option sale to close-call ²⁵
PLTR 12/11/2020 CALL \$30.00 / CUSIP: / Symbol:							
3 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	1.000	44.99	12/01/20	245.01	...	-200.02	1 of 3 - Option sale to close-call ²⁵
	1.000	44.99	12/01/20	240.01	...	-195.02	2 of 3 - Option sale to close-call ²⁵
	1.000	44.99	12/01/20	240.01	...	-195.02	3 of 3 - Option sale to close-call ²⁵
12/02/20	3.000	134.97	Various	725.03	...	-590.06	Total of 3 transactions
3 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	2.000	89.99	11/30/20	360.00	135.01 W	-135.00	1 of 3 - Option sale to close-call ²⁵
	2.000	89.99	11/30/20	360.00	135.01 W	-135.00	2 of 3 - Option sale to close-call ²⁵
	1.000	44.99	11/30/20	175.00	130.01 W	0.00	3 of 3 - Option sale to close-call ²⁵
12/02/20	5.000	224.97	Various	895.00	400.03 W	-270.00	Total of 3 transactions
	Security total:	359.94		1,620.03	400.03 W	-860.06	
PLTR 12/11/2020 PUT \$25.00 / CUSIP: / Symbol:							
12/08/20	1.000	27.98	12/07/20	52.00	...	-24.02	Option sale to close-put ²⁵
PLUG 12/04/2020 CALL \$30.00 / CUSIP: / Symbol:							
2 transactions for 11/27/20. Total proceeds and cost reported to the IRS.							
	1.000	55.99	11/25/20	87.02	...	-31.03	1 of 2 - Option sale to close-call ²⁵
	1.000	55.99	11/25/20	49.00	...	6.99	2 of 2 - Option sale to close-call ²⁵
11/27/20	2.000	111.98	Various	136.02	...	-24.04	Total of 2 transactions
11/27/20	1.000	55.98	11/23/20	94.00	38.02 W	0.00	Option sale to close-call ²⁵
	Security total:	167.96		230.02	38.02 W	-24.04	
PTON 09/04/2020 CALL \$75.00 / CUSIP: / Symbol:							
08/28/20	1.000	249.98	08/27/20	133.00	...	116.98	Option sale to close-call ²⁵
RAD 04/17/2020 CALL \$14.00 / CUSIP: / Symbol:							
04/17/20	1.000	0.00	04/14/20	188.00	...	-188.00	Option expiration- long call

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02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RKT 11/13/2020 CALL \$23.00 / CUSIP: / Symbol:							
2 transactions for 11/12/20. Total proceeds and cost reported to the IRS.							
	1.000	3.99	11/09/20	105.00	...	-101.01	1 of 2 - Option sale to close-call ²⁵
	1.000	3.99	11/11/20	11.00	...	-7.01	2 of 2 - Option sale to close-call ²⁵
11/12/20	2.000	7.98	Various	116.00	...	-108.02	Total of 2 transactions
RKT 11/13/2020 PUT \$20.00 / CUSIP: / Symbol:							
11/11/20	1.000	24.98	11/10/20	73.00	...	-48.02	Option sale to close-put ²⁵
ROKU 04/16/2021 CALL \$215.00 / CUSIP: / Symbol:							
11/06/20	4.000	21,639.51	11/03/20	13,624.00	...	8,015.51	Option sale to close-call ²⁵
ROKU 04/16/2021 CALL \$220.00 / CUSIP: / Symbol:							
11/09/20	4.000	-7,736.30	11/06/20	0.00	...	-7,736.30	Short sale closed- call ²⁵ Option written: 11/03/20
ROKU 04/17/2020 CALL \$100.00 / CUSIP: / Symbol:							
04/09/20	1.000	96.98	04/06/20	47.00	...	49.98	Option sale to close-call ²⁵
ROKU 05/15/2020 CALL \$135.00 / CUSIP: / Symbol:							
05/11/20	1.000	289.98	05/08/20	202.00	...	87.98	Option sale to close-call ²⁵
05/12/20	1.000	278.98	05/11/20	251.00	...	27.98	Option sale to close-call ²⁵
	Security total:	568.96		453.00	...	115.96	
ROKU 05/22/2020 CALL \$125.00 / CUSIP: / Symbol:							
05/22/20	1.000	0.00	05/13/20	295.00	...	-295.00	Option expiration- long call
ROKU 06/19/2020 CALL \$120.00 / CUSIP: / Symbol:							
06/17/20	1.000	484.97	06/16/20	485.00	...	-0.03	Option sale to close-call ²⁵
ROKU 08/14/2020 CALL \$200.00 / CUSIP: / Symbol:							
08/06/20	1.000	42.98	08/05/20	231.00	...	-188.02	Option sale to close-call ²⁵
ROKU 08/14/2020 CALL \$220.00 / CUSIP: / Symbol:							
08/07/20	1.000	82.98	08/06/20	0.00	...	82.98	Short sale closed- call ²⁵ Option written: 08/05/20

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROKU 10/02/2020 CALL \$190.00 / CUSIP: / Symbol:							
09/25/20	1.000	331.98	09/22/20	871.00	...	-539.02	Option sale to close-call ²⁵
ROKU 10/02/2020 CALL \$200.00 / CUSIP: / Symbol:							
09/28/20	1.000	377.97	09/25/20	0.00	...	377.97	Short sale closed- call ²⁵ Option written: 09/22/20
ROKU 11/27/2020 PUT \$220.00 / CUSIP: / Symbol:							
11/23/20	1.000	11.98	11/19/20	82.00	...	-70.02	Option sale to close-put ²⁵
ROKU 11/27/2020 PUT \$240.00 / CUSIP: / Symbol:							
11/23/20	1.000	39.98	11/20/20	104.00	...	-64.02	Option sale to close-put ²⁵
ROKU 12/04/2020 CALL \$290.00 / CUSIP: / Symbol:							
<i>3 transactions for 12/02/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	212.99	12/01/20	510.00	...	-297.01	1 of 3 - Option sale to close-call ²⁵
	1.000	212.99	12/02/20	224.00	...	-11.01	2 of 3 - Option sale to close-call ²⁵
	1.000	212.99	12/02/20	170.00	...	42.99	3 of 3 - Option sale to close-call ²⁵
12/02/20	3.000	638.97	Various	904.00	...	-265.03	Total of 3 transactions
SDC 04/03/2020 CALL \$7.00 / CUSIP: / Symbol:							
04/03/20	1.000	0.00	03/26/20	77.00	...	-77.00	Option expiration- long call
SDC 11/27/2020 PUT \$11.00 / CUSIP: / Symbol:							
11/19/20	5.000	189.98	11/18/20	185.00	...	4.98	Option sale to close-put ²⁵
SFIX 06/12/2020 CALL \$26.50 / CUSIP: / Symbol:							
06/09/20	1.000	14.98	06/08/20	215.00	...	-200.02	Option sale to close-call ²⁵
SFIX 06/12/2020 PUT \$23.00 / CUSIP: / Symbol:							
06/09/20	1.000	109.98	06/08/20	105.00	...	4.98	Option sale to close-put ²⁵
SFIX 12/18/2020 PUT \$45.00 / CUSIP: / Symbol:							
<i>4 transactions for 12/11/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	4.00	12/08/20	171.00	...	-167.00	1 of 4 - Option sale to close-put ²⁵
	1.000	4.00	12/08/20	80.00	...	-76.00	2 of 4 - Option sale to close-put ²⁵

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SFIX 12/18/2020 PUT \$45.00 / CUSIP: / Symbol: (cont'd)							
	5.000	19.99	12/09/20	150.00	...	-130.01	3 of 4 - Option sale to close-put ²⁵
	5.000	19.98	12/09/20	75.00	...	-55.02	4 of 4 - Option sale to close-put ²⁵
12/11/20	12.000	47.97	Various	476.00	...	-428.03	Total of 4 transactions
SHOP 04/17/2020 PUT \$360.00 / CUSIP: / Symbol:							
04/14/20	1.000	19.98	04/13/20	420.02	...	-400.04	Option sale to close-put ²⁵
04/14/20	1.000	19.98	04/08/20	370.00	350.02 W	0.00	Option sale to close-put ²⁵
	Security total:	39.96		790.02	350.02 W	-400.04	
SHOP 05/08/2020 PUT \$575.00 / CUSIP: / Symbol:							
05/06/20	1.000	94.98	05/05/20	320.00	...	-225.02	Option sale to close-put ²⁵
SHOP 05/15/2020 CALL \$780.00 / CUSIP: / Symbol:							
05/11/20	1.000	549.97	05/08/20	370.00	...	179.97	Option sale to close-call ²⁵
SHOP 07/17/2020 CALL \$1,000.00 / CUSIP: / Symbol:							
	4 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	1.000	45.00	07/13/20	2,500.00	...	-2,455.00	1 of 4 - Option sale to close-call ²⁵
	1.000	44.99	07/16/20	500.00	...	-455.01	2 of 4 - Option sale to close-call ²⁵
	1.000	44.99	07/16/20	220.00	...	-175.01	3 of 4 - Option sale to close-call ²⁵
	1.000	45.00	07/16/20	210.00	...	-165.00	4 of 4 - Option sale to close-call ²⁵
07/17/20	4.000	179.98	Various	3,430.00	...	-3,250.02	Total of 4 transactions
SHOP 08/14/2020 CALL \$1,010.00 / CUSIP: / Symbol:							
08/11/20	1.000	1,449.95	08/10/20	2,710.00	...	-1,260.05	Option sale to close-call ²⁵
SNAP 05/01/2020 PUT \$16.00 / CUSIP: / Symbol:							
04/24/20	1.000	71.98	04/22/20	111.00	...	-39.02	Option sale to close-put ²⁵
SNAP 07/24/2020 CALL \$22.00 / CUSIP: / Symbol:							
07/24/20	1.000	13.98	07/22/20	119.00	...	-105.02	Option sale to close-call ²⁵
SNAP 07/24/2020 CALL \$24.00 / CUSIP: / Symbol:							
07/20/20	1.000	206.98	07/17/20	179.00	...	27.98	Option sale to close-call ²⁵

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SNAP 07/24/2020 CALL \$30.00 / CUSIP: / Symbol:							
07/22/20	1.000	0.98	07/21/20	40.00	...	-39.02	Option sale to close-call ²⁵
SNAP 11/13/2020 CALL \$43.00 / CUSIP: / Symbol:							
2 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	1.000	26.99	11/09/20	98.00	...	-71.01	1 of 2 - Option sale to close-call ²⁵
	1.000	26.99	11/09/20	49.00	...	-22.01	2 of 2 - Option sale to close-call ²⁵
11/10/20	2.000	53.98	Various	147.00	...	-93.02	Total of 2 transactions
SNAP 12/18/2020 CALL \$50.00 / CUSIP: / Symbol:							
12/15/20	1.000	270.98	12/14/20	223.00	...	47.98	Option sale to close-call ²⁵
SOLO 11/20/2020 CALL \$3.50 / CUSIP: / Symbol:							
10/22/20	1.000	2.98	10/21/20	0.00	...	2.98	Short sale closed- call ²⁵
11/09/20	1.000	-30.02	11/06/20	0.00	...	-30.02	Option written: 10/12/20 Short sale closed- call ²⁵
							Option written: 10/27/20
	Security total:	-27.04		0.00	...	-27.04	
SOLO 11/20/2020 CALL \$4.50 / CUSIP: / Symbol:							
11/16/20	1.000	-494.04	11/13/20	0.00	...	-494.04	Short sale closed- call ²⁵
11/16/20	1.000	-199.02	11/13/20	0.00	199.02 W	0.00	Option written: 11/12/20 Short sale closed- call ²⁵
							Option written: 11/12/20
	Security total:	-693.06		0.00	199.02 W	-494.04	
SOLO 11/20/2020 CALL \$7.50 / CUSIP: / Symbol:							
2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.							
	1.000	-20.02	11/19/20	0.00	...	-20.02	1 of 2 - Short sale closed- call ²⁵
	1.000	-15.02	11/19/20	0.00	...	-15.02	Option written: 11/18/20 2 of 2 - Short sale closed- call ²⁵
11/20/20	2.000	-35.04	Various	0.00	...	-35.04	Option written: 11/18/20 Total of 2 transactions
SOLO 11/20/2020 PUT \$5.50 / CUSIP: / Symbol:							
11/20/20	1.000	0.00	11/13/20	75.00	...	-75.00	Option expiration- long put

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SOLO 12/18/2020 CALL \$15.00 / CUSIP: / Symbol:							
12/02/20	1.000	14.98	11/23/20	115.00	...	-100.02	Option sale to close-call ²⁵
SPCE 01/15/2021 CALL \$20.00 / CUSIP: / Symbol:							
11/25/20	1.000	759.97	10/20/20	625.00	...	134.97	Option sale to close-call ²⁵
SPCE 02/21/2020 CALL \$15.00 / CUSIP: / Symbol:							
2 transactions for 01/27/20. Total proceeds and cost reported to the IRS.							
	1.000	299.99	01/15/20	195.00	...	104.99	1 of 2 - Option sale to close-call ²⁵
	1.000	299.98	01/16/20	200.00	...	99.98	2 of 2 - Option sale to close-call ²⁵
01/27/20	2.000	599.97	Various	395.00	...	204.97	Total of 2 transactions
SPCE 07/10/2020 CALL \$16.50 / CUSIP: / Symbol:							
2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	1.000	93.99	06/30/20	82.00	...	11.99	1 of 2 - Option sale to close-call ²⁵
	2.000	187.99	06/30/20	172.00	...	15.99	2 of 2 - Option sale to close-call ²⁵
07/01/20	3.000	281.98	Various	254.00	...	27.98	Total of 2 transactions
SPCE 07/31/2020 CALL \$25.00 / CUSIP: / Symbol:							
07/21/20	1.000	299.98	07/20/20	167.00	...	132.98	Option sale to close-call ²⁵
SPCE 08/14/2020 CALL \$25.00 / CUSIP: / Symbol:							
4 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	1.000	2.00	08/05/20	162.01	...	-160.01	1 of 4 - Option sale to close-call ²⁵
	3.000	5.99	08/05/20	66.00	...	-60.01	2 of 4 - Option sale to close-call ²⁵
	1.000	2.00	08/05/20	61.01	...	-59.01	3 of 4 - Option sale to close-call ²⁵
	3.000	5.98	08/05/20	60.00	...	-54.02	4 of 4 - Option sale to close-call ²⁵
08/11/20	8.000	15.97	Various	349.02	...	-333.05	Total of 4 transactions
2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	1.000	1.99	08/03/20	142.00	140.01 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	1.99	08/04/20	41.00	39.01 W	0.00	2 of 2 - Option sale to close-call ²⁵
08/11/20	2.000	3.98	Various	183.00	179.02 W	0.00	Total of 2 transactions
Security total:		19.95		532.02	179.02 W	-333.05	
SPCE 10/30/2020 CALL \$23.00 / CUSIP: / Symbol:							
10/20/20	1.000	144.98	10/19/20	173.00	...	-28.02	Option sale to close-call ²⁵

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SPCE 11/06/2020 CALL \$25.00 / CUSIP: / Symbol:							
8 transactions for 10/20/20. Total proceeds and cost reported to the IRS.							
	1.000	153.98	10/15/20	119.00	...	34.98	1 of 8 - Option sale to close-call ²⁵
	1.000	158.98	10/15/20	119.00	...	39.98	2 of 8 - Option sale to close-call ²⁵
	2.000	309.98	10/15/20	238.00	...	71.98	3 of 8 - Option sale to close-call ²⁵
	2.000	309.98	10/15/20	238.00	...	71.98	4 of 8 - Option sale to close-call ²⁵
	1.000	196.98	10/15/20	119.00	...	77.98	5 of 8 - Option sale to close-call ²⁵
	8.000	1,367.95	10/15/20	952.00	...	415.95	6 of 8 - Option sale to close-call ²⁵
	2.000	341.99	10/16/20	292.00	...	49.99	7 of 8 - Option sale to close-call ²⁵
	2.000	341.99	10/16/20	292.00	...	49.99	8 of 8 - Option sale to close-call ²⁵
10/20/20	19.000	3,181.83	Various	2,369.00	...	812.83	Total of 8 transactions
SPCE 11/06/2020 CALL \$26.00 / CUSIP: / Symbol:							
8 transactions for 10/21/20. Total proceeds and cost reported to the IRS.							
	2.000	-156.02	10/20/20	0.00	...	-156.02	1 of 8 - Short sale closed- call ²⁵ Option written: 10/15/20
	2.000	-156.02	10/20/20	0.00	...	-156.02	2 of 8 - Short sale closed- call ²⁵ Option written: 10/15/20
	1.000	-113.01	10/20/20	0.00	...	-113.01	3 of 8 - Short sale closed- call ²⁵ Option written: 10/15/20
	1.000	-83.00	10/20/20	0.00	...	-83.00	4 of 8 - Short sale closed- call ²⁵ Option written: 10/15/20
	1.000	-78.01	10/20/20	0.00	...	-78.01	5 of 8 - Short sale closed- call ²⁵ Option written: 10/15/20
	1.000	-46.01	10/20/20	0.00	...	-46.01	6 of 8 - Short sale closed- call ²⁵ Option written: 10/15/20
	2.000	-44.02	10/20/20	0.00	...	-44.02	7 of 8 - Short sale closed- call ²⁵ Option written: 10/16/20
	2.000	-44.02	10/20/20	0.00	...	-44.02	8 of 8 - Short sale closed- call ²⁵ Option written: 10/16/20
10/21/20	12.000	-720.11	Various	0.00	...	-720.11	Total of 8 transactions
5 transactions for 10/21/20. Total proceeds and cost reported to the IRS.							
	1.000	-37.00	10/20/20	0.00	37.00 W	0.00	1 of 5 - Short sale closed- call ²⁵ Option written: 10/15/20
	2.000	-64.01	10/20/20	0.00	64.01 W	0.00	2 of 5 - Short sale closed- call ²⁵ Option written: 10/15/20

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Robinhood Securities LLC

Account 146236542

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SPCE 11/06/2020 CALL \$26.00 / CUSIP: / Symbol: (cont'd)							
	2.000	-64.01	10/20/20	0.00	64.01 W	0.00	3 of 5 - Short sale closed- call ²⁵ Option written: 10/15/20
	1.000	-32.00	10/20/20	0.00	32.00 W	0.00	4 of 5 - Short sale closed- call ²⁵ Option written: 10/15/20
	1.000	-67.01	10/20/20	0.00	67.01 W	0.00	5 of 5 - Short sale closed- call ²⁵ Option written: 10/15/20
10/21/20	7.000	-264.03	Various	0.00	264.03 W	0.00	Total of 5 transactions
	Security total:	-984.14		0.00	264.03 W	-720.11	
SPCE 11/20/2020 CALL \$25.50 / CUSIP: / Symbol:							
11/20/20	1.000	20.98	11/20/20	0.00	...	20.98	Short sale closed- call expired Option written: 11/19/20
SPCE 11/20/2020 CALL \$35.00 / CUSIP: / Symbol:							
10/20/20	1.000	69.98	10/20/20	72.00	...	-2.02	Option sale to close-call ²⁵
	6 transactions for 10/26/20. Total proceeds and cost reported to the IRS.						
	6.000	95.98	10/20/20	432.00	...	-336.02	1 of 6 - Option sale to close-call ²⁵
	1.000	15.98	10/20/20	72.00	...	-56.02	2 of 6 - Option sale to close-call ²⁵
	1.000	15.98	10/20/20	72.00	...	-56.02	3 of 6 - Option sale to close-call ²⁵
	1.000	15.98	10/20/20	72.00	...	-56.02	4 of 6 - Option sale to close-call ²⁵
	1.000	15.98	10/20/20	72.00	...	-56.02	5 of 6 - Option sale to close-call ²⁵
	1.000	15.98	10/20/20	72.00	...	-56.02	6 of 6 - Option sale to close-call ²⁵
10/26/20	11.000	175.88	Various	792.00	...	-616.12	Total of 6 transactions
11/20/20	8.000	0.00	10/20/20	576.00	...	-576.00	Option expiration- long call
	Security total:	245.86		1,440.00	...	-1,194.14	
SPCE 11/20/2020 CALL \$36.00 / CUSIP: / Symbol:							
	3 transactions for 10/21/20. Total proceeds and cost reported to the IRS.						
	1.000	8.00	10/20/20	0.00	...	8.00	1 of 3 - Short sale closed- call ²⁵ Option written: 10/20/20
	1.000	9.00	10/20/20	0.00	...	9.00	2 of 3 - Short sale closed- call ²⁵ Option written: 10/20/20
	10.000	89.96	10/20/20	0.00	...	89.96	3 of 3 - Short sale closed- call ²⁵ Option written: 10/20/20
10/21/20	12.000	106.96	Various	0.00	...	106.96	Total of 3 transactions

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(continued)

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SPCE 11/20/2020 CALL \$36.00 / CUSIP: / Symbol: (cont'd)							
11/20/20	8.000	503.97	11/20/20	0.00	...	503.97	Short sale closed- call expired Option written: 10/20/20
Security total:		610.93		0.00	...	610.93	
SPCE 12/24/2020 CALL \$30.00 / CUSIP: / Symbol:							
12/21/20	1.000	5.98	12/14/20	89.00	...	-83.02	Option sale to close-call ²⁵
SPOT 07/31/2020 CALL \$270.00 / CUSIP: / Symbol:							
07/31/20	1.000	39.98	07/30/20	145.00	...	-105.02	Option sale to close-call ²⁵
SQ 08/14/2020 CALL \$160.00 / CUSIP: / Symbol:							
08/04/20	1.000	193.98	08/03/20	141.00	...	52.98	Option sale to close-call ²⁵
SQ 09/04/2020 CALL \$165.00 / CUSIP: / Symbol:							
09/01/20	1.000	307.98	08/31/20	205.00	...	102.98	Option sale to close-call ²⁵
SQ 09/04/2020 CALL \$170.00 / CUSIP: / Symbol:							
09/02/20	1.000	-73.02	09/01/20	0.00	...	-73.02	Short sale closed- call ²⁵ Option written: 08/31/20
SQ 09/25/2020 CALL \$160.00 / CUSIP: / Symbol:							
09/16/20	1.000	509.97	09/15/20	308.00	...	201.97	Option sale to close-call ²⁵
SQ 09/25/2020 CALL \$165.00 / CUSIP: / Symbol:							
09/17/20	1.000	-147.02	09/16/20	0.00	...	-147.02	Short sale closed- call ²⁵ Option written: 09/15/20
SQ 12/24/2020 CALL \$220.00 / CUSIP: / Symbol:							
12/15/20	1.000	699.97	12/11/20	650.00	...	49.97	Option sale to close-call ²⁵
SRAC 12/18/2020 CALL \$20.00 / CUSIP: / Symbol:							
2 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	1.000	9.99	12/11/20	120.02	...	-110.03	1 of 2 - Option sale to close-call ²⁵
	1.000	9.99	12/11/20	20.00	...	-10.01	2 of 2 - Option sale to close-call ²⁵
12/14/20	2.000	19.98	Various	140.02	...	-120.04	Total of 2 transactions

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(continued)

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SRAC 12/18/2020 CALL \$20.00 / CUSIP: / Symbol: (cont'd)							
12/14/20	1.000	10.98	12/07/20	111.00	100.02 W	0.00	Option sale to close-call ²⁵
	Security total:	30.96		251.02	100.02 W	-120.04	
SRAC 12/18/2020 CALL \$25.00 / CUSIP: / Symbol:							
12/10/20	1.000	26.98	12/09/20	0.00	...	26.98	Short sale closed- call ²⁵ Option written: 12/07/20
TEVA 11/13/2020 CALL \$10.00 / CUSIP: / Symbol:							
11/09/20	1.000	16.98	11/06/20	14.00	...	2.98	Option sale to close-call ²⁵
TLRY 03/20/2020 CALL \$30.00 / CUSIP: / Symbol:							
	5 transactions for 01/29/20. Total proceeds and cost reported to the IRS.						
	1.000	44.99	01/22/20	116.00	71.01 W	0.00	1 of 5 - Option sale to close-call ²⁵
	1.000	44.99	01/22/20	123.00	78.01 W	0.00	2 of 5 - Option sale to close-call ²⁵
	1.000	44.99	01/22/20	194.01	149.02 W	0.00	3 of 5 - Option sale to close-call ²⁵
	1.000	44.99	01/22/20	187.01	142.02 W	0.00	4 of 5 - Option sale to close-call ²⁵
	1.000	45.00	01/22/20	116.00	71.00 W	0.00	5 of 5 - Option sale to close-call ²⁵
01/29/20	5.000	224.96	Various	736.02	511.06 W	0.00	Total of 5 transactions
	7 transactions for 01/31/20. Total proceeds and cost reported to the IRS.						
	1.000	28.98	01/22/20	266.02	...	-237.04	1 of 7 - Option sale to close-call ²⁵
	1.000	28.98	01/22/20	259.02	...	-230.04	2 of 7 - Option sale to close-call ²⁵
	1.000	28.98	01/22/20	204.02	...	-175.04	3 of 7 - Option sale to close-call ²⁵
	1.000	28.98	01/22/20	204.01	...	-175.03	4 of 7 - Option sale to close-call ²⁵
	1.000	28.98	01/22/20	188.00	...	-159.02	5 of 7 - Option sale to close-call ²⁵
	1.000	28.98	01/22/20	116.00	...	-87.02	6 of 7 - Option sale to close-call ²⁵
	1.000	28.98	01/22/20	116.00	...	-87.02	7 of 7 - Option sale to close-call ²⁵
01/31/20	7.000	202.86	Various	1,353.07	...	-1,150.21	Total of 7 transactions
	2 transactions for 01/31/20. Total proceeds and cost reported to the IRS.						
	2.000	57.98	01/22/20	232.00	87.01 W	-87.01	1 of 2 - Option sale to close-call ²⁵
	1.000	28.98	01/22/20	116.00	87.02 W	0.00	2 of 2 - Option sale to close-call ²⁵
01/31/20	3.000	86.96	Various	348.00	174.03 W	-87.01	Total of 2 transactions
	Security total:	514.78		2,437.09	685.09 W	-1,237.22	

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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TLRY 05/01/2020 CALL \$8.00 / CUSIP: / Symbol:							
04/27/20	1.000	96.98	04/24/20	79.00	...	17.98	Option sale to close-call ²⁵
TSLA 02/21/2020 PUT \$900.00 / CUSIP: / Symbol:							
02/20/20	1.000	1,014.96	02/19/20	835.00	...	179.96	Option sale to close-put ²⁵
TSLA 03/13/2020 PUT \$500.00 / CUSIP: / Symbol:							
2 transactions for 03/11/20. Total proceeds and cost reported to the IRS.							
	1.000	104.99	03/10/20	297.00	...	-192.01	1 of 2 - Option sale to close-put ²⁵
	1.000	104.99	03/10/20	166.00	...	-61.01	2 of 2 - Option sale to close-put ²⁵
03/11/20	2.000	209.98	Various	463.00	...	-253.02	Total of 2 transactions
TSLA 04/09/2020 CALL \$600.00 / CUSIP: / Symbol:							
04/07/20	1.000	216.98	04/06/20	103.00	...	113.98	Option sale to close-call ²⁵
TSLA 04/24/2020 CALL \$700.00 / CUSIP: / Symbol:							
2 transactions for 04/22/20. Total proceeds and cost reported to the IRS.							
	1.000	2,399.93	04/21/20	2,350.00	...	49.93	1 of 2 - Option sale to close-call ²⁵
	1.000	2,899.92	04/22/20	1,960.00	...	939.92	2 of 2 - Option sale to close-call ²⁵
04/22/20	2.000	5,299.85	Various	4,310.00	...	989.85	Total of 2 transactions
TSLA 04/24/2020 CALL \$740.00 / CUSIP: / Symbol:							
04/23/20	1.000	1,389.95	04/22/20	1,290.00	...	99.95	Option sale to close-call ²⁵
04/24/20	1.000	137.98	04/23/20	1,130.00	...	-992.02	Option sale to close-call ²⁵
	Security total:	1,527.93		2,420.00	...	-892.07	
TSLA 06/12/2020 CALL \$945.00 / CUSIP: / Symbol:							
2 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1.000	4,549.89	06/08/20	1,905.00	...	2,644.89	1 of 2 - Option sale to close-call ²⁵
	1.000	4,549.89	06/09/20	1,540.00	...	3,009.89	2 of 2 - Option sale to close-call ²⁵
06/10/20	2.000	9,099.78	Various	3,445.00	...	5,654.78	Total of 2 transactions
TSLA 06/12/2020 CALL \$950.00 / CUSIP: / Symbol:							
06/10/20	1.000	6,689.84	06/10/20	4,990.00	...	1,699.84	Option sale to close-call ²⁵

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TSLA 06/19/2020 CALL \$1,070.00 / CUSIP: / Symbol:							
06/12/20	1.000	849.97	06/11/20	1,045.00	...	-195.03	Option sale to close-call ²⁵
TSLA 07/10/2020 CALL \$1,395.00 / CUSIP: / Symbol:							
07/10/20	1.000	1,744.95	07/09/20	1,700.00	...	44.95	Option sale to close-call ²⁵
TSLA 07/17/2020 CALL \$1,730.00 / CUSIP: / Symbol:							
07/17/20	1.000	61.98	07/13/20	10,176.00	...	-10,114.02	Option sale to close-call ²⁵
TSLA 07/17/2020 CALL \$1,740.00 / CUSIP: / Symbol:							
07/20/20	1.000	9,713.77	07/17/20	0.00	...	9,713.77	Short sale closed- call ²⁵ Option written: 07/13/20
TSLA 09/25/2020 CALL \$495.00 / CUSIP: / Symbol:							
3 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	1.000	829.97	09/10/20	1,055.00	225.03 W	0.00	1 of 3 - Option sale to close-call ²⁵
	1.000	679.98	09/10/20	1,252.03	572.05 W	0.00	2 of 3 - Option sale to close-call ²⁵
	1.000	679.97	09/10/20	1,027.00	347.03 W	0.00	3 of 3 - Option sale to close-call ²⁵
09/11/20	3.000	2,189.92	Various	3,334.03	1,144.11 W	0.00	Total of 3 transactions
09/15/20	1.000	2,809.92	09/14/20	1,474.05	...	1,335.87	Option sale to close-call ²⁵
2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	1.000	2,000.94	09/16/20	2,956.03	955.09 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	2,000.94	09/16/20	3,805.09	1,804.15 W	0.00	2 of 2 - Option sale to close-call ²⁵
09/18/20	2.000	4,001.88	Various	6,761.12	2,759.24 W	0.00	Total of 2 transactions
8 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	1.000	2,514.94	09/17/20	2,084.07	...	430.87	1 of 8 - Option sale to close-call ²⁵
	1.000	2,479.94	09/17/20	1,715.00	...	764.94	2 of 8 - Option sale to close-call ²⁵
	1.000	2,514.94	09/17/20	1,749.00	...	765.94	3 of 8 - Option sale to close-call ²⁵
	1.000	2,479.94	09/18/20	2,265.27	...	214.67	4 of 8 - Option sale to close-call ²⁵
	1.000	2,479.94	09/18/20	2,005.00	...	474.94	5 of 8 - Option sale to close-call ²⁵
	2.000	4,959.89	09/18/20	4,050.00	...	909.89	6 of 8 - Option sale to close-call ²⁵
	3.000	7,439.83	09/18/20	6,015.00	...	1,424.83	7 of 8 - Option sale to close-call ²⁵
	3.000	7,439.83	09/18/20	5,430.00	...	2,009.83	8 of 8 - Option sale to close-call ²⁵
09/21/20	13.000	32,309.25	Various	25,313.34	...	6,995.91	Total of 8 transactions

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TSLA 09/25/2020 CALL \$495.00 / CUSIP: / Symbol: (cont'd)							
3 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	1.000	2,514.93	09/16/20	2,850.00	335.07 W	0.00	1 of 3 - Option sale to close-call ²⁵
	1.000	2,514.94	09/17/20	3,553.15	1,038.21 W	0.00	2 of 3 - Option sale to close-call ²⁵
	1.000	2,479.94	09/17/20	2,740.21	260.27 W	0.00	3 of 3 - Option sale to close-call ²⁵
09/21/20	3.000	7,509.81	Various	9,143.36	1,633.55 W	0.00	Total of 3 transactions
	Security total:	48,820.78		46,025.90	5,536.90 W	8,331.78	
TSLA 09/25/2020 CALL \$500.00 / CUSIP: / Symbol:							
2 transactions for 09/14/20. Total proceeds and cost reported to the IRS.							
	1.000	219.96	09/11/20	0.00	...	219.96	1 of 2 - Short sale closed- call ²⁵
	2.000	689.94	09/11/20	0.00	...	689.94	Option written: 09/10/20 2 of 2 - Short sale closed- call ²⁵
09/14/20	3.000	909.90	Various	0.00	...	909.90	Option written: 09/10/20 Total of 2 transactions
09/16/20	1.000	-1,825.03	09/15/20	0.00	1,825.03 W	0.00	Short sale closed- call ²⁵ Option written: 09/14/20
2 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	1.000	-1,214.10	09/18/20	0.00	1,214.10 W	0.00	1 of 2 - Short sale closed- call ²⁵
	1.000	-372.17	09/18/20	0.00	372.17 W	0.00	Option written: 09/16/20 2 of 2 - Short sale closed- call ²⁵
09/21/20	2.000	-1,586.27	Various	0.00	1,586.27 W	0.00	Option written: 09/16/20 Total of 2 transactions
5 transactions for 09/22/20. Total proceeds and cost reported to the IRS.							
	1.000	-2,975.34	09/21/20	0.00	...	-2,975.34	1 of 5 - Short sale closed- call ²⁵
	1.000	-2,590.17	09/21/20	0.00	...	-2,590.17	Option written: 09/18/20 2 of 5 - Short sale closed- call ²⁵
	1.000	-2,315.19	09/21/20	0.00	...	-2,315.19	Option written: 09/18/20 3 of 5 - Short sale closed- call ²⁵
	1.000	990.96	09/21/20	2,955.09	...	-1,964.13	Option written: 09/18/20 4 of 5 - Option sale to close-call ²⁵
	1.000	334.93	09/21/20	0.00	...	334.93	5 of 5 - Short sale closed- call ²⁵
09/22/20	5.000	-6,554.81	Various	2,955.09	...	-9,509.90	Option written: 09/16/20 Total of 5 transactions

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TSLA 09/25/2020 CALL \$500.00 / CUSIP: / Symbol: (cont'd)							
11 transactions for 09/22/20. Total proceeds and cost reported to the IRS.							
	1.000	-1,107.21	09/21/20	0.00	1,107.21 W	0.00	1 of 11 - Short sale closed- call ²⁵ Option written: 09/17/20
	2.000	-1,470.08	09/21/20	0.00	1,470.08 W	0.00	2 of 11 - Short sale closed- call ²⁵ Option written: 09/17/20
	1.000	-470.05	09/21/20	0.00	470.05 W	0.00	3 of 11 - Short sale closed- call ²⁵ Option written: 09/18/20
	1.000	-1,855.26	09/21/20	0.00	1,855.26 W	0.00	4 of 11 - Short sale closed- call ²⁵ Option written: 09/17/20
	1.000	-1,470.09	09/21/20	0.00	1,470.09 W	0.00	5 of 11 - Short sale closed- call ²⁵ Option written: 09/17/20
	1.000	-1,195.09	09/21/20	0.00	1,195.09 W	0.00	6 of 11 - Short sale closed- call ²⁵ Option written: 09/18/20
	1.000	-460.05	09/21/20	0.00	460.05 W	0.00	7 of 11 - Short sale closed- call ²⁵ Option written: 09/18/20
	1.000	-2,315.30	09/21/20	0.00	2,315.30 W	0.00	8 of 11 - Short sale closed- call ²⁵ Option written: 09/18/20
	1.000	-1,930.13	09/21/20	0.00	1,930.13 W	0.00	9 of 11 - Short sale closed- call ²⁵ Option written: 09/18/20
	1.000	-1,655.14	09/21/20	0.00	1,655.14 W	0.00	10 of 11 - Short sale closed- call ²⁵ Option written: 09/18/20
	1.000	-920.09	09/21/20	0.00	920.09 W	0.00	11 of 11 - Short sale closed- call ²⁵ Option written: 09/18/20
09/22/20	12.000	-14,848.49	Various	0.00	14,848.49 W	0.00	Total of 11 transactions
09/23/20	1.000	38.98	09/21/20	2,571.05	...	-2,532.07	Option sale to close-call ²⁵
	Security total:	-23,865.72		5,526.14	18,259.79 W	-11,132.07	
TSLA 09/25/2020 CALL \$505.00 / CUSIP: / Symbol:							
09/23/20	1.000	1,004.94	09/22/20	0.00	...	1,004.94	Short sale closed- call ²⁵ Option written: 09/21/20
09/24/20	1.000	1,936.94	09/23/20	0.00	...	1,936.94	Short sale closed- call ²⁵ Option written: 09/21/20
	Security total:	2,941.88		0.00	...	2,941.88	
TSLA 10/02/2020 CALL \$440.00 / CUSIP: / Symbol:							
09/15/20	1.000	5,834.86	09/02/20	6,130.00	...	-295.14	Option sale to close-call ²⁵

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Robinhood Securities LLC

Account 146236542

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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TSLA 10/02/2020 CALL \$450.00 / CUSIP: / Symbol:							
09/16/20	1.000	369.86	09/15/20	0.00	...	369.86	Short sale closed- call ²⁵ Option written: 09/02/20
TSLA 10/16/2020 CALL \$490.00 / CUSIP: / Symbol:							
09/21/20	1.000	4,859.88	09/16/20	4,639.00	...	220.88	Option sale to close-call ²⁵
TSLA 10/16/2020 CALL \$500.00 / CUSIP: / Symbol:							
09/22/20	1.000	-216.11	09/21/20	0.00	...	-216.11	Short sale closed- call ²⁵ Option written: 09/16/20
TSLA 10/16/2020 CALL \$540.00 / CUSIP: / Symbol:							
<i>2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	3,514.92	09/01/20	5,282.00	...	-1,767.08	1 of 2 - Option sale to close-call ²⁵
	1.000	3,514.91	09/04/20	2,145.00	...	1,369.91	2 of 2 - Option sale to close-call ²⁵
09/15/20	2.000	7,029.83	Various	7,427.00	...	-397.17	Total of 2 transactions
TSLA 10/16/2020 CALL \$560.00 / CUSIP: / Symbol:							
<i>2 transactions for 09/16/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	-1,200.06	09/15/20	0.00	...	-1,200.06	1 of 2 - Short sale closed- call ²⁵ Option written: 09/04/20
	1.000	1,639.88	09/15/20	0.00	...	1,639.88	2 of 2 - Short sale closed- call ²⁵ Option written: 09/01/20
09/16/20	2.000	439.82	Various	0.00	...	439.82	Total of 2 transactions
TSLA 12/11/2020 CALL \$590.00 / CUSIP: / Symbol:							
12/03/20	1.000	2,749.92	12/03/20	2,620.00	...	129.92	Option sale to close-call ²⁵
TSLA 12/11/2020 CALL \$625.00 / CUSIP: / Symbol:							
12/04/20	1.000	1,269.96	12/04/20	1,022.00	...	247.96	Option sale to close-call ²⁵
12/10/20	1.000	1,254.96	12/10/20	805.00	...	449.96	Option sale to close-call ²⁵
	Security total:	2,524.92		1,827.00	...	697.92	

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(continued)

02/14/2021

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TSLA 12/18/2020 CALL \$700.00 / CUSIP: / Symbol:							
12/14/20	1.000	1,319.96	12/14/20	1,045.00	...	274.96	Option sale to close-call ²⁵
<i>9 transactions for 12/16/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	212.98	12/15/20	915.00	702.02 W	0.00	1 of 9 - Option sale to close-call ²⁵
	1.000	212.99	12/15/20	1,242.02	1,029.03 W	0.00	2 of 9 - Option sale to close-call ²⁵
	1.000	212.99	12/15/20	495.00	282.01 W	0.00	3 of 9 - Option sale to close-call ²⁵
	1.000	212.99	12/16/20	345.00	132.01 W	0.00	4 of 9 - Option sale to close-call ²⁵
	1.000	213.00	12/16/20	352.00	139.00 W	0.00	5 of 9 - Option sale to close-call ²⁵
	1.000	212.99	12/16/20	325.00	112.01 W	0.00	6 of 9 - Option sale to close-call ²⁵
	1.000	212.99	12/16/20	1,324.03	1,111.04 W	0.00	7 of 9 - Option sale to close-call ²⁵
	1.000	212.99	12/16/20	539.01	326.02 W	0.00	8 of 9 - Option sale to close-call ²⁵
	1.000	212.99	12/16/20	347.01	134.02 W	0.00	9 of 9 - Option sale to close-call ²⁵
12/16/20	9.000	1,916.91	Various	5,884.07	3,967.16 W	0.00	Total of 9 transactions
	Security total:	3,236.87		6,929.07	3,967.16 W	274.96	
TTD 04/17/2020 CALL \$200.00 / CUSIP: / Symbol:							
04/07/20	1.000	1,849.94	04/06/20	140.00	...	1,709.94	Option sale to close-call ²⁵
TTD 04/17/2020 PUT \$200.00 / CUSIP: / Symbol:							
04/13/20	1.000	419.98	04/09/20	380.00	...	39.98	Option sale to close-put ²⁵
TTD 04/24/2020 PUT \$250.00 / CUSIP: / Symbol:							
04/24/20	1.000	339.98	04/23/20	270.00	...	69.98	Option sale to close-put ²⁵
TTD 05/01/2020 CALL \$310.00 / CUSIP: / Symbol:							
<i>2 transactions for 05/01/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.00	04/30/20	80.00	...	-80.00	1 of 2 - Option expiration- long call
	1.000	0.00	04/30/20	60.00	...	-60.00	2 of 2 - Option expiration- long call
05/01/20	2.000	0.00	Various	140.00	...	-140.00	Total of 2 transactions
TTD 05/15/2020 CALL \$300.00 / CUSIP: / Symbol:							
05/08/20	1.000	2,119.94	05/08/20	1,440.00	...	679.94	Option sale to close-call ²⁵
05/15/20	1.000	209.98	05/13/20	630.00	...	-420.02	Option sale to close-call ²⁵
	Security total:	2,329.92		2,070.00	...	259.92	

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Robinhood Securities LLC

Account 146236542

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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TTD 05/15/2020 CALL \$320.00 / CUSIP: / Symbol:							
05/12/20	1.000	849.97	05/11/20	530.00	...	319.97	Option sale to close-call ²⁵
TTD 05/15/2020 CALL \$330.00 / CUSIP: / Symbol:							
05/11/20	1.000	249.98	05/11/20	390.00	...	-140.02	Option sale to close-call ²⁵
TTD 05/22/2020 CALL \$315.00 / CUSIP: / Symbol:							
05/20/20	1.000	179.98	05/13/20	600.00	...	-420.02	Option sale to close-call ²⁵
TTD 08/28/2020 CALL \$497.50 / CUSIP: / Symbol:							
3 transactions for 08/26/20. Total proceeds and cost reported to the IRS.							
	1.000	149.99	08/11/20	750.00	...	-600.01	1 of 3 - Option sale to close-call ²⁵
	1.000	150.00	08/14/20	700.00	...	-550.00	2 of 3 - Option sale to close-call ²⁵
	1.000	149.99	08/20/20	400.00	...	-250.01	3 of 3 - Option sale to close-call ²⁵
08/26/20	3.000	449.98	Various	1,850.00	...	-1,400.02	Total of 3 transactions
TWLO 01/17/2020 CALL \$119.00 / CUSIP: / Symbol:							
01/15/20	1.000	329.98	01/13/20	185.00	...	144.98	Option sale to close-call ²⁵
TWLO 03/27/2020 PUT \$60.00 / CUSIP: / Symbol:							
03/24/20	1.000	4.98	03/18/20	110.00	...	-105.02	Option sale to close-put ²⁵
TWLO 05/08/2020 PUT \$160.00 / CUSIP: / Symbol:							
4 transactions for 05/08/20. Total proceeds and cost reported to the IRS.							
	1.000	109.99	05/07/20	183.01	...	-73.02	1 of 4 - Option sale to close-put ²⁵
	1.000	110.00	05/07/20	177.00	...	-67.00	2 of 4 - Option sale to close-put ²⁵
	1.000	104.99	05/07/20	93.00	...	11.99	3 of 4 - Option sale to close-put ²⁵
	1.000	109.99	05/07/20	81.00	...	28.99	4 of 4 - Option sale to close-put ²⁵
05/08/20	4.000	434.97	Various	534.01	...	-99.04	Total of 4 transactions
2 transactions for 05/08/20. Total proceeds and cost reported to the IRS.							
	1.000	105.00	05/07/20	190.00	85.00 W	0.00	1 of 2 - Option sale to close-put ²⁵
	1.000	104.99	05/07/20	195.00	90.01 W	0.00	2 of 2 - Option sale to close-put ²⁵
05/08/20	2.000	209.99	Various	385.00	175.01 W	0.00	Total of 2 transactions
Security total:		644.96		919.01	175.01 W	-99.04	

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(continued)

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TWTR 03/20/2020 CALL \$32.00 / CUSIP: / Symbol:							
03/13/20	1.000	22.98	03/11/20	101.00	...	-78.02	Option sale to close-call ²⁵
TWTR 03/20/2020 PUT \$28.00 / CUSIP: / Symbol:							
03/12/20	1.000	174.98	03/11/20	107.00	...	67.98	Option sale to close-put ²⁵
TWTR 06/19/2020 CALL \$30.00 / CUSIP: / Symbol:							
05/20/20	1.000	271.98	04/30/20	193.00	...	78.98	Option sale to close-call ²⁵
TWTR 09/25/2020 PUT \$46.00 / CUSIP: / Symbol:							
09/24/20	1.000	145.98	09/23/20	110.00	...	35.98	Option sale to close-put ²⁵
TWTR 10/16/2020 CALL \$48.00 / CUSIP: / Symbol:							
10/13/20	1.000	55.98	10/13/20	88.00	...	-32.02	Option sale to close-call ²⁵
TWTR 10/30/2020 CALL \$50.00 / CUSIP: / Symbol:							
09/23/20	1.000	218.98	09/23/20	206.00	...	12.98	Option sale to close-call ²⁵
TWTR 10/30/2020 CALL \$55.00 / CUSIP: / Symbol:							
09/24/20	1.000	-0.02	09/23/20	0.00	...	-0.02	Short sale closed- call ²⁵ Option written: 09/23/20
UAL 06/19/2020 CALL \$40.00 / CUSIP: / Symbol:							
2 transactions for 06/04/20. Total proceeds and cost reported to the IRS.							
	1.000	249.99	04/13/20	205.00	...	44.99	1 of 2 - Option sale to close-call ²⁵
	1.000	249.98	04/22/20	104.00	...	145.98	2 of 2 - Option sale to close-call ²⁵
06/04/20	2.000	499.97	Various	309.00	...	190.97	Total of 2 transactions
USO 10/16/2020 CALL \$3.00 / CUSIP: / Symbol:							
2 transactions for 04/24/20. Total proceeds and cost reported to the IRS.							
	4.000	243.98	04/22/20	240.00	...	3.98	1 of 2 - Option sale to close-call ²⁵
	6.000	365.98	04/22/20	360.00	...	5.98	2 of 2 - Option sale to close-call ²⁵
04/24/20	10.000	609.96	Various	600.00	...	9.96	Total of 2 transactions
WFC 04/17/2020 PUT \$32.00 / CUSIP: / Symbol:							
04/14/20	1.000	95.98	04/13/20	162.00	...	-66.02	Option sale to close-put ²⁵

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WKHS 07/17/2020 CALL \$15.00 / CUSIP: / Symbol:							
07/02/20	1.000	585.97	07/01/20	280.00	...	305.97	Option sale to close-call ²⁵
WKHS 10/16/2020 CALL \$35.00 / CUSIP: / Symbol:							
10/16/20	1.000	0.00	10/12/20	158.00	...	-158.00	Option expiration- long call
WKHS 10/16/2020 CALL \$40.00 / CUSIP: / Symbol:							
10/16/20	1.000	106.98	10/16/20	0.00	...	106.98	Short sale closed- call expired Option written: 10/12/20
XOM 04/17/2020 CALL \$45.00 / CUSIP: / Symbol:							
2 transactions for 04/09/20. Total proceeds and cost reported to the IRS.							
	1.000	202.99	04/07/20	103.00	...	99.99	1 of 2 - Option sale to close-call ²⁵
	1.000	202.99	04/07/20	85.00	...	117.99	2 of 2 - Option sale to close-call ²⁵
04/09/20	2.000	405.98	Various	188.00	...	217.98	Total of 2 transactions
XPEV 11/20/2020 PUT \$35.00 / CUSIP: / Symbol:							
11/13/20	1.000	62.98	11/12/20	135.00	...	-72.02	Option sale to close-put ²⁵
ZM 05/01/2020 CALL \$170.00 / CUSIP: / Symbol:							
05/01/20	1.000	0.00	04/27/20	510.00	...	-510.00	Option expiration- long call
ZM 09/11/2020 CALL \$400.00 / CUSIP: / Symbol:							
09/01/20	1.000	3,913.90	08/31/20	337.00	...	3,576.90	Option sale to close-call ²⁵
ZM 09/11/2020 CALL \$405.00 / CUSIP: / Symbol:							
09/02/20	1.000	-3,317.02	09/01/20	0.00	...	-3,317.02	Short sale closed- call ²⁵ Option written: 08/31/20
ZM 11/13/2020 PUT \$380.00 / CUSIP: / Symbol:							
11/12/20	1.000	34.98	11/11/20	246.00	...	-211.02	Option sale to close-put ²⁵
ZM 11/27/2020 CALL \$460.00 / CUSIP: / Symbol:							
11/25/20	1.000	409.98	11/23/20	410.00	...	-0.02	Option sale to close-call ²⁵

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ZM 11/27/2020 CALL \$470.00 / CUSIP: / Symbol:							
11/09/20	1.000	1,139.96	11/09/20	1,030.00	...	109.96	Option sale to close-call ²⁵
<i>2 transactions for 11/11/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	509.98	11/10/20	360.00	...	149.98	1 of 2 - Option sale to close-call ²⁵
	2.000	1,019.97	11/10/20	560.00	...	459.97	2 of 2 - Option sale to close-call ²⁵
11/11/20	3.000	1,529.95	Various	920.00	...	609.95	Total of 2 transactions
<i>2 transactions for 11/11/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	509.97	11/09/20	930.00	420.03 W	0.00	1 of 2 - Option sale to close-call ²⁵
	1.000	509.99	11/10/20	920.03	410.04 W	0.00	2 of 2 - Option sale to close-call ²⁵
11/11/20	2.000	1,019.96	Various	1,850.03	830.07 W	0.00	Total of 2 transactions
11/12/20	1.000	1,139.96	11/11/20	1,150.04	...	-10.08	Option sale to close-call ²⁵
	Security total:	4,829.83		4,950.07	830.07 W	709.83	
ZM 11/27/2020 CALL \$475.00 / CUSIP: / Symbol:							
11/09/20	1.000	1,069.96	11/09/20	1,200.00	...	-130.04	Option sale to close-call ²⁵
ZM 11/27/2020 CALL \$500.00 / CUSIP: / Symbol:							
<i>9 transactions for 11/18/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	214.99	11/12/20	754.00	...	-539.01	1 of 9 - Option sale to close-call ²⁵
	1.000	214.99	11/16/20	195.00	...	19.99	2 of 9 - Option sale to close-call ²⁵
	1.000	214.99	11/16/20	165.00	...	49.99	3 of 9 - Option sale to close-call ²⁵
	1.000	214.99	11/16/20	135.00	...	79.99	4 of 9 - Option sale to close-call ²⁵
	1.000	214.99	11/17/20	135.00	...	79.99	5 of 9 - Option sale to close-call ²⁵
	1.000	214.99	11/18/20	75.00	...	139.99	6 of 9 - Option sale to close-call ²⁵
	2.000	429.99	11/18/20	160.00	...	269.99	7 of 9 - Option sale to close-call ²⁵
	3.000	644.99	11/18/20	240.00	...	404.99	8 of 9 - Option sale to close-call ²⁵
	5.000	1,074.97	11/18/20	400.00	...	674.97	9 of 9 - Option sale to close-call ²⁵
11/18/20	16.000	3,439.89	Various	2,259.00	...	1,180.89	Total of 9 transactions
ZM 12/04/2020 CALL \$420.00 / CUSIP: / Symbol:							
12/03/20	1.000	701.97	12/02/20	1,009.03	...	-307.06	Option sale to close-call ²⁵
12/03/20	1.000	701.97	12/02/20	1,131.00	429.03 W	0.00	Option sale to close-call ²⁵
	Security total:	1,403.94		2,140.03	429.03 W	-307.06	

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Robinhood Securities LLC

Account 146236542

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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ZM 12/04/2020 CALL \$500.00 / CUSIP: / Symbol:							
11/30/20	1.000	1,789.95	11/18/20	1,240.00	...	549.95	Option sale to close-call ²⁵
ZM 12/04/2020 CALL \$600.00 / CUSIP: / Symbol:							
11/20/20	1.000	369.98	11/13/20	320.00	...	49.98	Option sale to close-call ²⁵
ZM 12/11/2020 CALL \$430.00 / CUSIP: / Symbol:							
12/03/20	1.000	1,178.96	12/02/20	1,080.00	...	98.96	Option sale to close-call ²⁵
ZM 12/31/2020 CALL \$400.00 / CUSIP: / Symbol:							
	2 transactions for 12/29/20. Total proceeds and cost reported to the IRS.						
	1.000	34.99	12/23/20	740.00	...	-705.01	1 of 2 - Option sale to close-call ²⁵
	1.000	34.99	12/28/20	160.00	...	-125.01	2 of 2 - Option sale to close-call ²⁵
12/29/20	2.000	69.98	Various	900.00	...	-830.02	Total of 2 transactions
AMCI ACQUISITION CORP. CLASS A COMMON STOCK / CUSIP: 00165R101 / Symbol:							
12/16/20	3.000	36.99	12/11/20	40.47	...	-3.48	Sale ²⁵
12/16/20	15.000	184.95	12/10/20	211.35	12.32 W	-14.08	Sale ²⁵
	2 transactions for 12/24/20. Total proceeds and cost reported to the IRS.						
	2.000	30.61	12/11/20	30.50	...	0.11	1 of 2 - Sale ²⁵
	5.000	76.53	12/14/20	74.80	...	1.73	2 of 2 - Sale ²⁵
12/24/20	7.000	107.14	Various	105.30	...	1.84	Total of 2 transactions
	Security total:	329.08		357.12	12.32 W	-15.72	
APHRIA INC. COMMON SHARES / CUSIP: 03765K104 / Symbol:							
	2 transactions for 01/14/20. Total proceeds and cost reported to the IRS.						
	60.000	299.09	01/13/20	327.00	...	-27.91	1 of 2 - Sale ²⁵
	40.000	199.40	01/13/20	218.00	...	-18.60	2 of 2 - Sale ²⁵
01/14/20	100.000	498.49	Various	545.00	...	-46.51	Total of 2 transactions
APEX TECHNOLOGY ACQUISITION CO RPORATION CLASS A COMMON STOCK / CUSIP: 03768F102 / Symbol:							
	3 transactions for 12/21/20. Total proceeds and cost reported to the IRS.						
	10.000	140.00	11/30/20	158.40	18.40 W	0.00	1 of 3 - Sale ²⁵
	10.000	140.00	12/10/20	144.96	4.96 W	0.00	2 of 3 - Sale ²⁵
	4.000	56.00	12/14/20	56.36	0.15 W	-0.21	3 of 3 - Sale ²⁵
12/21/20	24.000	336.00	Various	359.72	23.51 W	-0.21	Total of 3 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
12/31/20	100.000	12,821.66 N	12/31/20	13,012.00	...	-190.34	Sold via call assignment ²⁵ Proceeds or Cost adjusted for option premium of \$178.04
BLINK CHARGING CO. COMMON STOCK / CUSIP: 09354A100 / Symbol:							
12/09/20	10.000	283.60	11/27/20	281.30	...	2.30	Sale ²⁵
C3.AI, INC. / CUSIP: 12468P104 / Symbol:							
12/10/20	1.000	118.55	12/09/20	107.02	...	11.53	Sale ²⁵
CIIG MERGER CORP. CLASS A COMMON STOCK / CUSIP: 12559C103 / Symbol:							
12/15/20	1.000	27.86	11/20/20	16.99	...	10.87	Sale ²⁵
CAMBER ENERGY, INC / CUSIP: 13200M508 / Symbol:							
09/24/20	100.000	74.99	08/26/20	70.00	...	4.99	Sale ²⁵
CAN-FITE BIOPHARMA LTD. / CUSIP: 13471N201 / Symbol:							
03/10/20	1.000	1.41	12/26/19	3.35	...	-1.94	Sale ²⁵
CLEANSPARK, INC. COMMON STOCK / CUSIP: 18452B209 / Symbol:							
2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	1.000	10.91	11/19/20	10.20	...	0.71	1 of 2 - Sale ²⁵
	30.000	327.30	11/19/20	312.74	...	14.56	2 of 2 - Sale ²⁵
11/24/20	31.000	338.21	Various	322.94	...	15.27	Total of 2 transactions
FOLEY TRASIMENE ACQUISITION CO RP. II / CUSIP: 344328109 / Symbol:							
12/14/20	3.000	44.63	12/08/20	39.81	...	4.82	Sale ²⁵
12/15/20	7.000	98.04	12/08/20	92.89	...	5.15	Sale ²⁵
12/16/20	20.000	276.22	12/08/20	265.40	...	10.82	Sale ²⁵
12/21/20	19.000	262.49	12/17/20	261.35	...	1.14	Sale ²⁵
	Security total:	681.38		659.45	...	21.93	
GENETIC TECHNOLOGIES LTD SPONSORED ADR / CUSIP: 37185R307 / Symbol:							
01/09/20	25.000	116.25	01/07/20	105.75	...	10.50	Sale ²⁵
GREENWICH LIFESCIENCES, INC. COMMON STOCK / CUSIP: 396879108 / Symbol:							
12/24/20	10.000	410.00	12/23/20	410.00	...	0.00	Sale ²⁵

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(continued)

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GUARDION HEALTH SCIENCES, INC. COMMON STOCK / CUSIP: 40145Q203 / Symbol:							
<i>4 transactions for 01/09/20. Total proceeds and cost reported to the IRS.</i>							
	1,500.000	555.71	01/03/20	588.95	...	-33.24	1 of 4 - Sale ²⁵
	917.000	339.72	01/03/20	352.95	...	-13.23	2 of 4 - Sale ²⁵
	83.000	30.75	01/07/20	28.62	...	2.13	3 of 4 - Sale ²⁵
	2,917.000	1,080.67	01/07/20	962.90	...	117.77	4 of 4 - Sale ²⁵
01/09/20	5,417.000	2,006.85	Various	1,933.42	...	73.43	Total of 4 transactions
<i>2 transactions for 01/09/20. Total proceeds and cost reported to the IRS.</i>							
	83.000	30.73	01/03/20	31.95	1.22 W	0.00	1 of 2 - Sale ²⁵
	1,500.000	555.40	01/03/20	567.00	11.60 W	0.00	2 of 2 - Sale ²⁵
01/09/20	1,583.000	586.13	Various	598.95	12.82 W	0.00	Total of 2 transactions
	Security total:	2,592.98		2,532.37	12.82 W	73.43	
HENNESSY CAPITAL ACQUISITION C ORP. IV CLASS A COMMON STOCK / CUSIP: 42589C104 / Symbol:							
12/10/20	30.000	551.08	12/07/20	544.46	...	6.62	Sale ²⁵
<i>8 transactions for 12/16/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	90.01	12/07/20	86.65	...	3.36	1 of 8 - Sale ²⁵
	5.000	90.01	12/07/20	86.58	...	3.43	2 of 8 - Sale ²⁵
	5.000	97.75	12/11/20	111.72	...	-13.97	3 of 8 - Sale ²⁵
	2.000	39.10	12/11/20	48.39	...	-9.29	4 of 8 - Sale ²⁵
	1.000	19.55	12/11/20	23.79	...	-4.24	5 of 8 - Sale ²⁵
	4.000	78.20	12/14/20	89.70	...	-11.50	6 of 8 - Sale ²⁵
	1.000	19.55	12/14/20	19.23	...	0.32	7 of 8 - Sale ²⁵
	4.000	78.19	12/15/20	71.16	...	7.03	8 of 8 - Sale ²⁵
12/16/20	27.000	512.36	Various	537.22	...	-24.86	Total of 8 transactions
<i>3 transactions for 12/16/20. Total proceeds and cost reported to the IRS.</i>							
	28.000	547.39	12/10/20	605.08	2.06 W	-55.63	1 of 3 - Sale ²⁵
	2.000	36.01	12/10/20	43.22	7.21 W	0.00	2 of 3 - Sale ²⁵
	10.000	184.10	12/10/20	216.10	32.00 W	0.00	3 of 3 - Sale ²⁵
12/16/20	40.000	767.50	Various	864.40	41.27 W	-55.63	Total of 3 transactions
	Security total:	1,830.94		1,946.08	41.27 W	-73.87	

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(continued)

02/14/2021

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HOUSTON AMERICAN ENERGY CORP / CUSIP: 44183U100 / Symbol:							
<i>5 transactions for 01/07/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.25	01/06/20	0.23	...	0.02	1 of 5 - Sale ²⁵
	10.000	2.50	01/06/20	2.28	...	0.22	2 of 5 - Sale ²⁵
	190.000	47.47	01/06/20	43.32	...	4.15	3 of 5 - Sale ²⁵
	400.000	99.95	01/06/20	93.60	...	6.35	4 of 5 - Sale ²⁵
	3,599.000	899.30	01/06/20	842.17	...	57.13	5 of 5 - Sale ²⁵
01/07/20	4,200.000	1,049.47	Various	981.60	...	67.87	Total of 5 transactions
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
<i>7 transactions for 12/02/20. Total proceeds and cost reported to the IRS.</i>							
	0.575	1.34	11/18/20	0.67	...	0.67	1 of 7 - Sale ²⁵
	99.425	232.15	11/18/20	115.22	...	116.93	2 of 7 - Sale ²⁵
	10.000	23.35	11/19/20	12.20	...	11.15	3 of 7 - Sale ²⁵
	66.747	155.85	11/20/20	100.00	...	55.85	4 of 7 - Sale ²⁵
	36.797	85.92	11/25/20	100.00	...	-14.08	5 of 7 - Sale ²⁵
	0.650	1.52	12/01/20	1.42	...	0.10	6 of 7 - Sale ²⁵
	67.231	156.97	12/01/20	148.58	...	8.39	7 of 7 - Sale ²⁵
12/02/20	281.425	657.10	Various	478.09	...	179.01	Total of 7 transactions
INPIXON COMMON STOCK / CUSIP: 45790J800 / Symbol:							
01/14/20	1.000	5.49	01/03/20	0.61	...	4.88	Sale ²⁵
LILIS ENERGY INC COMMON STOCK / CUSIP: 532403201 / Symbol:							
01/03/20	900.000	431.89	01/03/20	481.41	...	-49.52	Sale ²⁵
MEREIO BIOPHARMA GROUP PLC AMER ICAN DEPOSITARY SHARES / CUSIP: 589492107 / Symbol:							
03/10/20	1.000	1.78	12/30/19	2.50	...	-0.72	Sale ²⁵
MICROBOT MEDICAL INC. COMMON S TOCK / CUSIP: 59503A204 / Symbol:							
<i>2 transactions for 01/03/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	23.00	12/30/19	22.20	...	0.80	1 of 2 - Sale ²⁵
	100.000	1,149.96	12/30/19	1,110.00	...	39.96	2 of 2 - Sale ²⁵
01/03/20	102.000	1,172.96	Various	1,132.20	...	40.76	Total of 2 transactions

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MICROBOT MEDICAL INC. COMMON S TOCK / CUSIP: 59503A204 / Symbol: (cont'd)							
4 transactions for 01/06/20. Total proceeds and cost reported to the IRS.							
	3.000	35.01	12/30/19	32.40	...	2.61	1 of 4 - Sale ²⁵
	6.000	70.14	12/30/19	66.60	...	3.54	2 of 4 - Sale ²⁵
	7.000	81.69	12/30/19	77.70	...	3.99	3 of 4 - Sale ²⁵
	85.000	994.46	12/30/19	943.50	...	50.96	4 of 4 - Sale ²⁵
01/06/20	101.000	1,181.30	Various	1,120.20	...	61.10	Total of 4 transactions
2 transactions for 01/13/20. Total proceeds and cost reported to the IRS.							
	1.000	13.92	12/30/19	10.80	...	3.12	1 of 2 - Sale ²⁵
	99.000	1,377.77	01/09/20	1,095.93	...	281.84	2 of 2 - Sale ²⁵
01/13/20	100.000	1,391.69	Various	1,106.73	...	284.96	Total of 2 transactions
03/10/20	1.000	6.66	01/09/20	11.07	...	-4.41	Sale ²⁵
	Security total:	3,752.61		3,370.20	...	382.41	
NORTHERN GENESIS ACQUISITION C ORP. / CUSIP: 66516T104 / Symbol:							
4 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	5.000	72.20	11/30/20	68.75	...	3.45	1 of 4 - Sale ²⁵
	25.000	354.56	11/30/20	343.75	...	10.81	2 of 4 - Sale ²⁵
	6.000	86.64	12/09/20	94.31	...	-7.67	3 of 4 - Sale ²⁵
	5.000	72.20	12/11/20	73.74	...	-1.54	4 of 4 - Sale ²⁵
12/16/20	41.000	585.60	Various	580.55	...	5.05	Total of 4 transactions
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
03/06/20	100.000	46.27	03/05/20	48.90	...	-2.63	Sale ²⁵
PALANTIR TECHNOLOGIES INC. CLA SS A COMMON STOCK / CUSIP: 69608A108 / Symbol:							
12/24/20	10.000	284.20	12/22/20	279.80	...	4.40	Sale ²⁵
12/28/20	10.000	275.29	12/24/20	278.05	...	-2.76	Sale ²⁵
12/28/20	40.000	1,101.17	12/22/20	1,119.20	9.02 W	-9.01	Sale ²⁵
	Security total:	1,660.66		1,677.05	9.02 W	-7.37	
PHIO PHARMACEUTICALS CORP. COM MON STOCK / CUSIP: 71880W204 / Symbol:							
4 transactions for 01/14/20. Total proceeds and cost reported to the IRS.							
	305.000	55.47	01/09/20	76.25	...	-20.78	1 of 4 - Sale ²⁵
	488.000	88.76	01/10/20	138.03	...	-49.27	2 of 4 - Sale ²⁵

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PHIO PHARMACEUTICALS CORP. COM MON STOCK / CUSIP: 71880W204 / Symbol: (cont'd)							
	10.000	1.82	01/10/20	2.83	...	-1.01	3 of 4 - Sale ²⁵
	1.000	0.18	01/10/20	0.28	...	-0.10	4 of 4 - Sale ²⁵
01/14/20	804.000	146.23	Various	217.39	...	-71.16	Total of 4 transactions
	3 transactions for 01/14/20. Total proceeds and cost reported to the IRS.						
	3,684.000	670.40	01/09/20	921.00	33.26 W	-217.34	1 of 3 - Sale ²⁵
	10.000	1.82	01/09/20	2.50	0.68 W	0.00	2 of 3 - Sale ²⁵
	1.000	0.18	01/09/20	0.25	0.07 W	0.00	3 of 3 - Sale ²⁵
01/14/20	3,695.000	672.40	Various	923.75	34.01 W	-217.34	Total of 3 transactions
	Security total:	818.63		1,141.14	34.01 W	-288.50	
PLUS THERAPEUTICS, INC. COMMON STOCK / CUSIP: 72941H400 / Symbol:							
03/10/20	1.000	1.75	11/15/19	3.23	...	-1.48	Sale ²⁵
SG BLOCKS, INC. COMMON STOCK / CUSIP: 78418A307 / Symbol:							
01/03/20	2,300.000	438.57	01/02/20	480.70	...	-42.13	Sale ²⁵
STABLE ROAD ACQUISITION CORP. CLASS A COMMON STOCK / CUSIP: 85236Q109 / Symbol:							
12/10/20	30.000	481.30	11/27/20	395.13	...	86.17	Sale ²⁵
12/15/20	5.000	73.07	11/27/20	65.86	...	7.21	Sale ²⁵
12/16/20	15.000	221.10	11/27/20	197.56	...	23.54	Sale ²⁵
12/16/20	5.000	73.70	12/11/20	80.27	6.57 W	0.00	Sale ²⁵
12/18/20	14.000	208.97	12/17/20	208.53	...	0.44	Sale ²⁵
12/18/20	5.000	74.63	12/17/20	81.04	6.41 W	0.00	Sale ²⁵
	Security total:	1,132.77		1,028.39	12.98 W	117.36	
SUNWORKS, INC. COMMON STOCK / CUSIP: 86803X204 / Symbol:							
	2 transactions for 12/24/20. Total proceeds and cost reported to the IRS.						
	1.576	7.88	12/07/20	7.66	...	0.22	1 of 2 - Sale ²⁵
	18.424	92.14	12/07/20	89.63	...	2.51	2 of 2 - Sale ²⁵
12/24/20	20.000	100.02	Various	97.29	...	2.73	Total of 2 transactions
TITAN PHARMACEUTICALS, INC. CO MMON STOCK / CUSIP: 888314507 / Symbol:							
01/17/20	1,800.000	534.01	01/09/20	564.30	...	-30.29	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 146236542

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
01/02/20	3.000	3.84	12/16/19	3.75	...	0.09	Sale ²⁵
VERASTEM, INC. COMMON STOCK / CUSIP: 92337C104 / Symbol:							
<i>2 transactions for 12/08/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	114.12	12/07/20	111.75	...	2.37	1 of 2 - Sale ²⁵
	100.000	228.24	12/07/20	223.50	...	4.74	2 of 2 - Sale ²⁵
12/08/20	150.000	342.36	Various	335.25	...	7.11	Total of 2 transactions
Totals :		330,599.87		408,012.76	61,863.59 W	-15,549.30	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 146236542

Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
PHIO PHARMACEUTICALS CORP. COM MON STOCK / CUSIP: 71880W303 / Symbol: 01/24/20	0.018	0.18	01/10/20	0.28	...	-0.10	Gain or loss
Totals:		0.18		0.28	...	-0.10	

Robinhood Securities LLC

Account 146236542

Detail for Interest Income

2020

02/14/2021

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		04/28/20	0.02	Interest	
		04/30/20	0.03	Interest	
		05/26/20	0.14	Interest	
		06/26/20	0.04	Interest	
		06/30/20	0.07	Interest	
		07/29/20	0.13	Interest	
		07/31/20	0.03	Interest	
		08/20/20	0.09	Interest	
		08/31/20	0.03	Interest	
		09/25/20	0.02	Interest	
		09/30/20	0.02	Interest	
		11/23/20	0.04	Interest	
		11/30/20	0.04	Interest	
		12/16/20	0.04	Interest	
		12/31/20	0.03	Interest	
			0.77	Total Interest	
			0.77	Total Interest	

Robinhood Securities LLC

Account 146236542

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		02/20/20	-5.00	Margin interest paid	
		05/28/20	0.06	Adj- Margin interest paid	
		06/19/20	-5.00	Margin interest paid	
		07/14/20	0.01	Adj- Margin interest paid	
		07/20/20	-5.00	Margin interest paid	
		08/18/20	-5.00	Margin interest paid	
		09/17/20	-5.00	Margin interest paid	
		10/19/20	-5.00	Margin interest paid	
		11/16/20	-5.00	Margin interest paid	
		12/16/20	-5.00	Margin interest paid	
			-39.93	Total Margin interest paid	
			-39.93	Total Margin interest paid	

Robinhood Securities LLC

Account 146236542

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
CANOO INC. CLASS A COMMON STOC K	13803R102	12/22/20	1.00	0.00	Exchange	
HENNESSY CAPITAL ACQUISITION C ORP. IV CLASS A COMMON STOCK	42589C104	12/22/20	-1.00	0.00	Exchange	
GOEV 08/20/2021 CALL \$10.00	GOEV 08/20/21 C 10.000	12/22/20	1.00	0.00	Merger	
HCAC 08/20/2021 CALL \$10.00	HCAC 08/20/21 C 10.000	12/22/20	-1.00	0.00	Merger	
HTZ 01/20/2023 CALL \$0.50	HTZ 01/20/23 C 0.500	11/02/20	-1.00	0.00	Merger	
HTZGQ 01/20/2023 CALL \$0.50	HTZGQ 01/20/23 C 0.500	11/02/20	1.00	0.00	Merger	
LRN 03/19/2021 CALL \$30.00	LRN 03/19/21 C 30.000	12/16/20	1.00	0.00	Merger	
		12/16/20	-1.00	0.00	Merger	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR)

Instructions for Recipient

(whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual

taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction.

Line 3. Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Instructions for Recipient

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.