

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 975590456</b>	Statement Date: 02/14/2021 Document ID: 1Q88 1PW P13Z	2020
	Akhileswar Kalari 24394 Chippewa Ct Farmington Hills, MI 48335  RECIPIENT'S TIN: XXX-XX-7132		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2020 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5)      671.03</p> <p>1b- Qualified dividends      553.97</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d)      3.05</p> <p>2b- Unrecaptured Section 1250 gain      0.32</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>3- Nondividend distributions      10.11</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      103.63</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession: See detail      1.02</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INCOME</b>      <b>2020 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      28.19</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2020 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	23,306.35	23,276.87	0.00	884.38	913.86
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	27.76	89.57	0.00	0.00	-61.81
	<b>Total Short-term</b>	<b>23,334.11</b>	<b>23,366.44</b>	<b>0.00</b>	<b>884.38</b>	<b>852.05</b>
Long	D (basis reported to the IRS)	170.20	123.70	0.00	0.00	46.50
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	9.55	10.48	0.00	0.00	-0.93
	<b>Total Long-term</b>	<b>179.75</b>	<b>134.18</b>	<b>0.00</b>	<b>0.00</b>	<b>45.57</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>23,513.86</b>	<b>23,500.62</b>	<b>0.00</b>	<b>884.38</b>	<b>897.62</b>

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 975590456

**Summary Information**

2020

(continued)

02/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.16
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	2,058.53
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BA 06/19/2020 CALL \$245.00 / CUSIP: / Symbol:							
06/15/20	1.000	114.98	06/12/20	79.00	...	35.98	Option sale to close-call <sup>25</sup>
DAL 06/19/2020 CALL \$34.00 / CUSIP: / Symbol:							
06/19/20	2.000	0.00	06/12/20	104.00	...	-104.00	Option expiration- long call
ERIC 11/20/2020 CALL \$12.00 / CUSIP: / Symbol:							
	2 transactions for 11/20/20. Total proceeds and cost reported to the IRS.						
	1.000	11.99	07/20/20	89.00	...	-77.01	1 of 2 - Option sale to close-call <sup>25</sup>
	1.000	11.99	07/20/20	89.00	...	-77.01	2 of 2 - Option sale to close-call <sup>25</sup>
11/20/20	2.000	23.98	Various	178.00	...	-154.02	Total of 2 transactions
TAP 07/10/2020 CALL \$38.50 / CUSIP: / Symbol:							
07/10/20	1.000	0.00	06/24/20	80.00	...	-80.00	Option expiration- long call

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BOMBARDIER INC. CLASS B SHARES (CANADA) / CUSIP: 097751200 / Symbol:							
8 transactions for 06/16/20. Total proceeds and cost reported to the IRS.							
	40.000	14.12	06/16/20	14.20	...	-0.08	1 of 8 - Sale <sup>25</sup>
	4.000	1.41	06/16/20	1.41	...	0.00	2 of 8 - Sale <sup>25</sup>
	150.000	52.95	06/16/20	52.95	...	0.00	3 of 8 - Sale <sup>25</sup>
	3.000	1.06	06/16/20	1.05	...	0.01	4 of 8 - Sale <sup>25</sup>
	50.000	17.65	06/16/20	17.58	...	0.07	5 of 8 - Sale <sup>25</sup>
	65.000	22.94	06/16/20	22.85	...	0.09	6 of 8 - Sale <sup>25</sup>
	100.000	35.30	06/16/20	35.16	...	0.14	7 of 8 - Sale <sup>25</sup>
	588.000	207.55	06/16/20	206.86	...	0.69	8 of 8 - Sale <sup>25</sup>
06/16/20	1,000.000	352.98	Various	352.06	...	0.92	Total of 8 transactions
CYPRESS SEMICONDUCTOR CORPORAT ION COMMON STOCK / CUSIP: 232806109 / Symbol:							
04/16/20	1.000	23.85	07/11/19	22.43	...	1.42	Merger
DAVE & BUSTER'S ENTERTAINMENT, INC. COMMON STOCK / CUSIP: 238337109 / Symbol:							
5 transactions for 04/02/20. Total proceeds and cost reported to the IRS.							
	7.000	76.30	03/25/20	119.00	42.70 W	0.00	1 of 5 - Sale <sup>25</sup>
	4.000	43.60	03/27/20	66.00	22.40 W	0.00	2 of 5 - Sale <sup>25</sup>
	20.000	217.99	03/27/20	330.00	112.01 W	0.00	3 of 5 - Sale <sup>25</sup>
	8.000	87.20	03/27/20	132.00	44.80 W	0.00	4 of 5 - Sale <sup>25</sup>
	61.000	664.87	03/27/20	1,006.50	341.63 W	0.00	5 of 5 - Sale <sup>25</sup>
04/02/20	100.000	1,089.96	Various	1,653.50	563.54 W	0.00	Total of 5 transactions
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
4 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	2.000	38.60	07/01/19	114.62	76.02 W	0.00	1 of 4 - Sale <sup>25</sup>
	8.000	154.40	05/06/20	175.76	21.36 W	0.00	2 of 4 - Sale <sup>25</sup>
	2.000	38.58	05/06/20	119.96	81.38 W	0.00	3 of 4 - Sale <sup>25</sup>
	53.000	1,022.33	05/06/20	1,164.41	142.08 W	0.00	4 of 4 - Sale <sup>25</sup>
05/15/20	65.000	1,253.91	Various	1,574.75	320.84 W	0.00	Total of 4 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ENERGOUS CORPORATION COMMON ST OCK / CUSIP: 29272C103 / Symbol:							
<i>5 transactions for 07/07/20. Total proceeds and cost reported to the IRS.</i>							
	15.000	53.25	06/09/20	51.52	...	1.73	1 of 5 - Sale <sup>25</sup>
	85.000	301.74	06/09/20	291.95	...	9.79	2 of 5 - Sale <sup>25</sup>
	100.000	354.99	06/09/20	343.47	...	11.52	3 of 5 - Sale <sup>25</sup>
	100.000	354.99	06/09/20	343.47	...	11.52	4 of 5 - Sale <sup>25</sup>
	125.000	443.74	06/09/20	429.34	...	14.40	5 of 5 - Sale <sup>25</sup>
07/07/20	425.000	1,508.71	Various	1,459.75	...	48.96	Total of 5 transactions
FORESCOUT TECHNOLOGIES, INC. C OMMON STOCK / CUSIP: 34553D101 / Symbol:							
08/17/20	4.000	116.00	10/10/19	101.02	...	14.98	Merger
GNC HLDGS INC COMMON STOCK / CUSIP: 36191G107 / Symbol:							
<i>2 transactions for 06/25/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	21.72	03/17/20	12.23	...	9.49	1 of 2 - Sale <sup>25</sup>
	25.000	21.73	03/17/20	12.23	...	9.50	2 of 2 - Sale <sup>25</sup>
06/25/20	50.000	43.45	Various	24.46	...	18.99	Total of 2 transactions
HERTZ GLOBAL HOLDINGS, INC. CO MMON STOCK / CUSIP: 42806J106 / Symbol:							
<i>2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	38.00	09/04/19	120.20	...	-82.20	1 of 2 - Sale <sup>25</sup>
	213.000	809.35	05/19/20	724.20	...	85.15	2 of 2 - Sale <sup>25</sup>
06/08/20	223.000	847.35	Various	844.40	...	2.95	Total of 2 transactions
PG&E CORPORATION / CUSIP: 69331C108 / Symbol:							
<i>3 transactions for 02/24/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	52.35	07/10/19	66.45	...	-14.10	1 of 3 - Sale <sup>25</sup>
	1.000	17.45	07/30/19	18.23	...	-0.78	2 of 3 - Sale <sup>25</sup>
	30.000	523.48	01/17/20	388.50	...	134.98	3 of 3 - Sale <sup>25</sup>
02/24/20	34.000	593.28	Various	473.18	...	120.10	Total of 3 transactions
PARTY CITY HOLDCO INC. / CUSIP: 702149105 / Symbol:							
06/16/20	100.000	201.99	06/16/20	201.00	...	0.99	Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PORTOLA PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 737010108 / Symbol:							
2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	10.000	180.00	01/13/20	147.40	...	32.60	1 of 2 - Merger
	5.000	90.00	01/28/20	66.40	...	23.60	2 of 2 - Merger
07/02/20	15.000	270.00	Various	213.80	...	56.20	Total of 2 transactions
STEMLINE THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 85858C107 / Symbol:							
06/10/20	10.000	115.00	01/14/20	64.70	...	50.30	Merger
T-MOBILE US, INC. SUBSCRIPTION RIGHTS EXPIRING 7/27/2020 / CUSIP: 872590112 / Symbol:							
06/30/20	5.000	0.92	01/27/20	0.00	...	0.92	Sale <sup>25</sup>
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
02/28/20	1.000	629.24	07/31/19	242.82	...	386.42	Sale <sup>25</sup>
03/25/20	17.000	9,383.79	03/25/20	9,078.00	...	305.79	Sale <sup>25</sup>
2 transactions for 03/31/20. Total proceeds and cost reported to the IRS.							
	4.000	2,154.78	03/27/20	2,020.00	...	134.78	1 of 2 - Sale <sup>25</sup>
	6.000	3,232.17	03/27/20	3,030.00	...	202.17	2 of 2 - Sale <sup>25</sup>
03/31/20	10.000	5,386.95	Various	5,050.00	...	336.95	Total of 2 transactions
	<b>Security total:</b>	<b>15,399.98</b>		<b>14,370.82</b>	...	<b>1,029.16</b>	
TITAN MEDICAL INC. ORDINARY SH ARES / CUSIP: 88830X819 / Symbol:							
2 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	800.000	1,080.03	06/09/20	1,184.00	...	-103.97	1 of 2 - Sale <sup>25</sup>
	200.000	269.98	06/09/20	296.00	...	-26.02	2 of 2 - Sale <sup>25</sup>
06/09/20	1,000.000	1,350.01	Various	1,480.00	...	-129.99	Total of 2 transactions
<b>Totals :</b>		<b>23,306.35</b>		<b>23,276.87</b>	<b>884.38 W</b>	<b>913.86</b>	

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part II with Box D checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>7- Loss not allowed (X) also not reported (Z)</b>	<b>Gain or loss(-) &amp; Additional information</b>
DELPHI TECHNOLOGIES PLC ORDINA RY SHARES / CUSIP: G2709G107 / Symbol:							
10/02/20	10.000	170.20	09/03/19	123.70	...	46.50	Merger
<b>Totals :</b>		<b>170.20</b>		<b>123.70</b>		<b>46.50</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 975590456

**Proceeds Not Reported to the IRS**

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked.

**Description of property**

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
AKORN INC COMMON STOCK / CUSIP: 009728106 / Symbol:							
	3 transactions for 11/12/20						
	6.000	0.00	12/18/19	14.07	...	-14.07	Gain or loss <sup>25</sup>
	30.000	0.00	01/06/20	36.75	...	-36.75	Gain or loss <sup>25</sup>
	10.000	0.00	01/27/20	12.85	...	-12.85	Gain or loss <sup>25</sup>
11/12/20	46.000	0.00	Various	63.67	...	-63.67	Total of 3 transactions
BORGWARNER INC. / CUSIP: 099724106 / Symbol:							
10/12/20	0.307	12.18	10/02/20	12.13	...	0.05	Gain or loss
CHESAPEAKE ENERGY CORP COMMON STOCK / CUSIP: 165167743 / Symbol:							
04/27/20	0.010	0.16	02/04/20	1.04	...	-0.88	Gain or loss
HALCON RESOURCES CORPORATION C OMMON STOCK NEW / CUSIP: 40537Q803 / Symbol:							
02/19/20	0.023	0.25	07/08/19	1.98	...	-1.73	Gain or loss
MCDERMOTT INTL INC COMMON STOC K / CUSIP: 580037703 / Symbol:							
07/06/20	1.000	0.00	10/04/19	1.56	...	-1.56	Gain or loss <sup>25</sup>
MORGAN STANLEY / CUSIP: 617446448 / Symbol:							
10/08/20	0.086	4.02	10/14/19	3.26	...	0.76	Gain or loss



Robinhood Securities LLC

Account 975590456

**Proceeds Not Reported to the IRS**

2020

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked.

**Description of property**

<b>Date sold or disposed</b>	<b>Quantity</b>	<b>Proceeds &amp; Reported (G)ross or (N)et</b>	<b>Date acquired</b>	<b>Cost or other basis</b>	<b>Accrued mkt disc (D) &amp; Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
T-MOBILE US, INC. COMMON STOCK / CUSIP: 872590104 / Symbol:							
04/06/20	0.128	11.15	01/27/20	5.93	...	5.22	Gain or loss
<b>Totals:</b>		<b>27.76</b>		<b>89.57</b>	...	<b>-61.81</b>	

**LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part II, with Box F checked.

**Description of property**

<b>Date sold or disposed</b>	<b>Quantity</b>	<b>Proceeds &amp; Reported (G)ross or (N)et</b>	<b>Date acquired</b>	<b>Cost or other basis</b>	<b>Accrued mkt disc (D) &amp; Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
VIATRIS INC. COMMON STOCK / CUSIP: 92556V106 / Symbol:							
11/23/20	0.620	9.55	07/31/19	10.48	...	-0.93	Gain or loss
<b>Totals:</b>		<b>9.55</b>		<b>10.48</b>	...	<b>-0.93</b>	

Robinhood Securities LLC

Account 975590456

## Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
ABBOTT LABORATORIES	002824100		02/14/20	1.80	Qualified dividend	
			05/15/20	1.80	Qualified dividend	
			08/17/20	1.80	Qualified dividend	
			11/16/20	1.80	Qualified dividend	
				<b>7.20</b>	<b>Total Dividends &amp; distributions</b>	
AMERICAN AIRLINES GROUP INC. COMMON STOCK	02376R102		02/19/20	0.20	Qualified dividend	
APPLE INC. COMMON STOCK	037833100		02/13/20	3.85	Qualified dividend	
			05/14/20	4.10	Qualified dividend	
			08/13/20	4.10	Qualified dividend	
			11/12/20	4.10	Qualified dividend	
				<b>16.15</b>	<b>Total Dividends &amp; distributions</b>	
AUTOLIV, INC.	052800109		03/05/20	2.48	Nonqualified dividend	
AUTOMATIC DATA PROCESSING, INC. COMMON STOCK	053015103		01/02/20	1.82	Qualified dividend	
			04/01/20	1.82	Qualified dividend	
			07/01/20	1.82	Qualified dividend	
			10/01/20	1.82	Qualified dividend	
				<b>7.28</b>	<b>Total Dividends &amp; distributions</b>	
BANK OF AMERICA CORPORATION	060505104		03/27/20	0.54	Qualified dividend	
			06/26/20	0.54	Qualified dividend	
			09/25/20	0.54	Qualified dividend	
			12/24/20	0.54	Qualified dividend	
				<b>2.16</b>	<b>Total Dividends &amp; distributions</b>	

Robinhood Securities LLC

Account 975590456

## Detail for Dividends and Distributions

2020

(continued)

02/14/2021

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
BEST BUY COMPANY, INC.	086516101		01/07/20	1.00	Qualified dividend	
			04/09/20	1.10	Qualified dividend	
			07/02/20	1.10	Qualified dividend	
			10/06/20	1.10	Qualified dividend	
				<b>4.30</b>	<b>Total Dividends &amp; distributions</b>	
BORGWARNER INC.	099724106		12/15/20	0.68	Qualified dividend	
BROADCOM INC. COMMON STOCK	11135F101		03/31/20	22.75	Qualified dividend	
			06/30/20	22.75	Qualified dividend	
			09/30/20	22.75	Qualified dividend	
			12/31/20	25.20	Qualified dividend	
				<b>93.45</b>	<b>Total Dividends &amp; distributions</b>	
CYPRESS SEMICONDUCTOR CORPORAT ION COMMON STOCK	232806109		01/16/20	0.11	Qualified dividend	
			04/16/20	0.11	Nondividend distribution	03
				<b>0.22</b>	<b>Total Dividends &amp; distributions</b>	
DELTA AIR LINES, INC.	247361702		03/12/20	0.81	Qualified dividend	
DEUTSCHE TELEKOM AG COMMON STO CK	251566105		06/30/20	4.07	Nonqualified dividend	
THE WALT DISNEY COMPANY	254687106		01/16/20	4.40	Qualified dividend	
E*TRADE FINANCIAL CORPORATION COMMON STOCK	269246401		03/02/20	0.28	Qualified dividend	
			05/19/20	0.28	Qualified dividend	
			08/25/20	0.28	Qualified dividend	
				<b>0.84</b>	<b>Total Dividends &amp; distributions</b>	
ERICSSON AMERICAN DEPOSITARY S HARES	294821608		04/22/20	-0.02	Foreign tax withheld-SW	
			10/15/20	0.08	Nonqualified dividend	
			10/15/20	-0.02	Foreign tax withheld-SW	
				<b>0.08</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.04</b>	<b>Total Foreign tax withheld</b>	
EXXON MOBIL CORPORATION	30231G102		12/10/20	17.40	Qualified dividend	
FORD MOTOR COMPANY	345370860		03/02/20	0.45	Qualified dividend	

Robinhood Securities LLC

Account 975590456

## Detail for Dividends and Distributions

2020

(continued)

02/14/2021

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
GILEAD SCIENCES, INC. COMMON S TOCK	375558103		06/29/20	1.36	Qualified dividend	
			09/29/20	1.36	Qualified dividend	
			12/30/20	1.36	Qualified dividend	
				<b>4.08</b>	<b>Total Dividends &amp; distributions</b>	
INFOSYS LIMITED AMERICAN DEPOS ITARY SHARES	456788108		07/07/20	0.13	Nonqualified dividend	
			07/07/20	-0.01	Foreign tax withheld-IN	
			11/16/20	-0.02	Foreign tax withheld-IN	
	<b>0.13</b>	<b>Total Dividends &amp; distributions</b>				
	<b>-0.03</b>	<b>Total Foreign tax withheld</b>				
INSPERITY, INC	45778Q107		03/19/20	6.40	Qualified dividend	
			09/25/20	6.40	Qualified dividend	
			12/21/20	6.40	Qualified dividend	
	<b>19.20</b>	<b>Total Dividends &amp; distributions</b>				
INTEL CORPORATION COMMON STOCK	458140100		03/02/20	0.33	Qualified dividend	
			06/01/20	0.33	Qualified dividend	
			09/01/20	0.33	Qualified dividend	
			12/01/20	0.33	Qualified dividend	
	<b>1.32</b>	<b>Total Dividends &amp; distributions</b>				
INTERNATIONAL BUSINESS MACHINE S CORPORATION	459200101		03/10/20	37.26	Qualified dividend	
			06/10/20	50.53	Qualified dividend	
			09/10/20	53.79	Qualified dividend	
			12/10/20	48.90	Qualified dividend	
	<b>190.48</b>	<b>Total Dividends &amp; distributions</b>				
JUNIPER NETWORKS INC	48203R104		03/23/20	0.40	Qualified dividend	
			06/22/20	0.40	Qualified dividend	
			09/22/20	0.40	Qualified dividend	
			12/22/20	0.40	Qualified dividend	
	<b>1.60</b>	<b>Total Dividends &amp; distributions</b>				
LINCOLN NATIONAL CORP.	534187109		02/03/20	0.40	Qualified dividend	
			08/03/20	0.40	Qualified dividend	
			11/02/20	0.40	Qualified dividend	
	<b>1.20</b>	<b>Total Dividends &amp; distributions</b>				

Robinhood Securities LLC

Account 975590456

## Detail for Dividends and Distributions

2020

(continued)

02/14/2021

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
MFA FINANCIAL, INC	55272X102		10/30/20	10.00	Nondividend distribution	03
			01/29/21	15.00	Qualified dividend	
				<b>25.00</b>	<b>Total Dividends &amp; distributions</b>	
MGM RESORTS INTERNATIONAL	552953101		12/15/20	0.07	Qualified dividend	
MGP INGREDIENTS, INC.	55303J106		03/27/20	0.84	Qualified dividend	
			06/05/20	0.72	Qualified dividend	
			12/04/20	0.84	Qualified dividend	
				<b>2.40</b>	<b>Total Dividends &amp; distributions</b>	
MAXAR TECHNOLOGIES INC. COMMON STOCK	57778K105		03/31/20	0.01	Qualified dividend	
			06/30/20	0.01	Qualified dividend	
			09/30/20	0.01	Qualified dividend	
			12/31/20	0.01	Qualified dividend	
	<b>0.04</b>	<b>Total Dividends &amp; distributions</b>				
MCDONALD'S CORPORATION	580135101		03/16/20	1.25	Qualified dividend	
			06/15/20	1.25	Qualified dividend	
			09/15/20	1.25	Qualified dividend	
			12/15/20	1.29	Qualified dividend	
	<b>5.04</b>	<b>Total Dividends &amp; distributions</b>				
MICROSOFT CORPORATION COMMON STOCK	594918104		03/12/20	2.55	Qualified dividend	
			06/11/20	2.55	Qualified dividend	
			09/10/20	2.55	Qualified dividend	
			12/10/20	2.80	Qualified dividend	
	<b>10.45</b>	<b>Total Dividends &amp; distributions</b>				
MORGAN STANLEY	617446448		11/13/20	0.35	Qualified dividend	
NASPERS LIMITED AMERICAN DEPOSITORY RECEIPTS - SPONSORED	631512209		12/10/20	1.50	Nonqualified dividend	
			12/10/20	-0.30	Foreign tax withheld-SF	
				<b>1.50</b>	<b>Total Dividends &amp; distributions</b>	
	<b>-0.30</b>	<b>Total Foreign tax withheld</b>				
NETAPP, INC. COMMON STOCK	64110D104		01/22/20	4.80	Qualified dividend	
			04/22/20	4.80	Qualified dividend	
			07/29/20	4.80	Qualified dividend	
			10/28/20	1.44	Qualified dividend	
	<b>15.84</b>	<b>Total Dividends &amp; distributions</b>				

Robinhood Securities LLC

Account 975590456

## Detail for Dividends and Distributions

2020

(continued)

02/14/2021

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
NORTONLIFELOCK INC. COMMON STO CK	668771108		02/03/20	12.00	Qualified dividend	
			03/18/20	0.13	Qualified dividend	
			06/24/20	0.13	Qualified dividend	
			09/16/20	0.13	Qualified dividend	
			12/16/20	0.13	Qualified dividend	
			<b>12.52</b>	<b>Total Dividends &amp; distributions</b>		
NUSKIN ENTERPRISES, INC.	67018T105		03/11/20	7.50	Qualified dividend	
			06/10/20	7.50	Qualified dividend	
			09/09/20	7.50	Qualified dividend	
			12/09/20	7.50	Qualified dividend	
			<b>30.00</b>	<b>Total Dividends &amp; distributions</b>		
NVIDIA CORPORATION COMMON STOC K	67066G104		03/20/20	2.40	Qualified dividend	
			06/26/20	2.40	Qualified dividend	
			09/24/20	2.40	Qualified dividend	
			12/29/20	2.40	Qualified dividend	
			<b>9.60</b>	<b>Total Dividends &amp; distributions</b>		
PFIZER INC.	717081103		03/06/20	1.90	Qualified dividend	
			06/05/20	1.90	Qualified dividend	
			09/01/20	1.90	Qualified dividend	
			12/01/20	1.90	Qualified dividend	
			<b>7.60</b>	<b>Total Dividends &amp; distributions</b>		
PLANTRONICS, INC.	727493108		03/10/20	3.75	Qualified dividend	
PROCTER & GAMBLE COMPANY	742718109		02/18/20	0.75	Qualified dividend	
			05/15/20	0.79	Qualified dividend	
			08/17/20	0.79	Qualified dividend	
			11/16/20	0.79	Qualified dividend	
			<b>3.12</b>	<b>Total Dividends &amp; distributions</b>		
PROSPECT CAPITAL CORPORATION C OMMON STOCK	74348T102		01/23/20	0.12	Qualified dividend	
			02/20/20	0.12	Qualified dividend	
			11/19/20	0.06	Qualified dividend	
			<b>0.30</b>	<b>Total Dividends &amp; distributions</b>		

Robinhood Securities LLC

Account 975590456

## Detail for Dividends and Distributions

2020

(continued)

02/14/2021

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
QUALCOMM INCORPORATED COMMON S TOCK	747525103		03/26/20	2.48	Qualified dividend	
			06/25/20	2.60	Qualified dividend	
			09/24/20	2.60	Qualified dividend	
			12/17/20	2.60	Qualified dividend	
				<b>10.28</b>	<b>Total Dividends &amp; distributions</b>	
ROYAL DUTCH SHELL PLC ADS CLAS S B	780259107		09/21/20	4.80	Qualified dividend	
			12/16/20	5.00	Qualified dividend	
				<b>9.80</b>	<b>Total Dividends &amp; distributions</b>	
SCHLUMBERGER LIMITED	806857108		07/09/20	2.37	Qualified dividend	
			10/08/20	2.50	Qualified dividend	
				<b>4.87</b>	<b>Total Dividends &amp; distributions</b>	
SIMON PROPERTY GROUP, INC.	828806109		02/28/20	5.65	Section 199A dividend	03
			02/28/20	0.48	Qualified dividend	03
			02/28/20	0.15	Long-term capital gain	03
			02/28/20	0.02	Unrecaptured section 1250 gain	03
			07/24/20	32.66	Section 199A dividend	03
			07/24/20	2.78	Qualified dividend	03
			07/24/20	0.86	Long-term capital gain	03
			07/24/20	0.10	Unrecaptured section 1250 gain	03
			10/23/20	32.66	Section 199A dividend	03
			10/23/20	2.78	Qualified dividend	03
			10/23/20	0.86	Long-term capital gain	03
			10/23/20	0.10	Unrecaptured section 1250 gain	03
			01/22/21	32.66	Section 199A dividend	03
			01/22/21	2.78	Qualified dividend	03
01/22/21	0.86	Long-term capital gain	03			
01/22/21	0.10	Unrecaptured section 1250 gain	03			
				<b>115.50</b>	<b>Total Dividends &amp; distributions</b>	
SIX FLAGS ENTERTAINMENT CORP.	83001A102		03/11/20	5.00	Qualified dividend	
SONY CORPORATION ADS	835699307		06/12/20	0.23	Nonqualified dividend	
			06/12/20	-0.04	Foreign tax withheld-JA	
			12/08/20	0.24	Nonqualified dividend	
			12/08/20	-0.04	Foreign tax withheld-JA	
				<b>0.47</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.08</b>	<b>Total Foreign tax withheld</b>	

Robinhood Securities LLC

Account 975590456

## Detail for Dividends and Distributions

2020

(continued)

02/14/2021

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
THOMSON REUTERS CORPORATION	884903709		06/15/20	0.76	Nonqualified dividend	
			06/15/20	-0.11	Foreign tax withheld-CA	
			09/15/20	0.76	Nonqualified dividend	
			09/15/20	-0.11	Foreign tax withheld-CA	
			12/15/20	0.76	Nonqualified dividend	
			12/15/20	-0.11	Foreign tax withheld-CA	
				<b>2.28</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.33</b>	<b>Total Foreign tax withheld</b>	
VERIZON COMMUNICATIONS	92343V104		02/03/20	0.62	Qualified dividend	
			05/01/20	0.62	Qualified dividend	
			08/03/20	0.62	Qualified dividend	
			11/02/20	0.63	Qualified dividend	
				<b>2.49</b>	<b>Total Dividends &amp; distributions</b>	
VIACOMCBS INC. CLASS B COMMON STOCK	92556H206		07/01/20	6.00	Qualified dividend	
			10/01/20	12.00	Qualified dividend	
				<b>18.00</b>	<b>Total Dividends &amp; distributions</b>	
VISA INC.	92826C839		03/03/20	1.50	Qualified dividend	
			09/01/20	1.50	Qualified dividend	
			12/01/20	0.32	Qualified dividend	
				<b>3.32</b>	<b>Total Dividends &amp; distributions</b>	
WELLS FARGO & CO.	949746101		12/01/20	1.00	Qualified dividend	
WESTERN DIGITAL CORPORATION COMMON STOCK	958102105		01/21/20	0.50	Qualified dividend	
			04/17/20	0.50	Qualified dividend	
				<b>1.00</b>	<b>Total Dividends &amp; distributions</b>	
MARVELL TECHNOLOGY GROUP LTD. COMMON STOCK	G5876H105		01/16/20	0.06	Nonqualified dividend	
			04/22/20	0.06	Nonqualified dividend	
			07/29/20	0.06	Nonqualified dividend	
			10/28/20	0.06	Nonqualified dividend	
				<b>0.24</b>	<b>Total Dividends &amp; distributions</b>	
APTIV PLC	G6095L109		02/19/20	0.66	Nonqualified dividend	



Robinhood Securities LLC

Account 975590456

## Detail for Dividends and Distributions

2020

(continued)

02/14/2021

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
NXP SEMICONDUCTORS N.V. COMMON STOCK	N6596X109		01/06/20	0.38	Nonqualified dividend	
			01/06/20	-0.06	Foreign tax withheld-NL	
			04/06/20	0.38	Nonqualified dividend	
			04/06/20	-0.06	Foreign tax withheld-NL	
			07/06/20	0.38	Nonqualified dividend	
			07/06/20	-0.06	Foreign tax withheld-NL	
			10/05/20	0.38	Nonqualified dividend	
			10/05/20	-0.06	Foreign tax withheld-NL	
			<b>1.52</b>	<b>Total Dividends &amp; distributions</b>		
			<b>-0.24</b>	<b>Total Foreign tax withheld</b>		
			<b>684.19</b>	<b>Total Dividends &amp; distributions</b>		
			<b>-1.02</b>	<b>Total Foreign tax withheld</b>		

Robinhood Securities LLC

Account 975590456

## Detail for Miscellaneous Income

2020

02/14/2021

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
AMC ENTERTAINMENT HOLDINGS, IN C.	00165C104	03/23/20	0.24	Substitute payment	
ALBERTSONS COMPANIES, INC.	013091103	11/10/20	3.50	Substitute payment	
CLEVELAND-CLIFFS INC.	185899101	04/15/20	0.48	Substitute payment	
ERICSSON AMERICAN DEPOSITARY S HARES	294821608	04/22/20	0.07	Substitute payment	
INFOSYS LIMITED AMERICAN DEPOSITARY SHARES	456788108	11/16/20	0.16	Substitute payment	
INSPERITY, INC	45778Q107	06/22/20	6.40	Substitute payment	
INTERNATIONAL BUSINESS MACHINE S CORPORATION	459200101	06/10/20	3.26	Substitute payment	
		12/10/20	4.89	Substitute payment	
			8.15	Total Miscellaneous income	
LINCOLN NATIONAL CORP.	534187109	05/01/20	0.40	Substitute payment	
MGM RESORTS INTERNATIONAL	552953101	09/15/20	0.07	Substitute payment	
MGP INGREDIENTS, INC.	55303J106	06/05/20	0.12	Substitute payment	
		09/04/20	0.84	Substitute payment	
			0.96	Total Miscellaneous income	
MORGAN STANLEY	617446448	11/13/20	0.35	Substitute payment	
NETAPP, INC. COMMON STOCK	64110D104	10/28/20	3.36	Substitute payment	
PROSPECT CAPITAL CORPORATION C OMMON STOCK	74348T102	03/19/20	0.12	Substitute payment	
		04/23/20	0.12	Substitute payment	
		05/21/20	0.12	Substitute payment	
		06/18/20	0.12	Substitute payment	
		07/23/20	0.12	Substitute payment	
		08/20/20	0.12	Substitute payment	
		09/17/20	0.12	Substitute payment	
		10/22/20	0.12	Substitute payment	
		11/19/20	0.06	Substitute payment	
		12/24/20	0.12	Substitute payment	
			1.14	Total Miscellaneous income	
SCHLUMBERGER LIMITED	806857108	07/09/20	0.13	Substitute payment	

Robinhood Securities LLC

Account 975590456

## Detail for Miscellaneous Income

2020

(continued)

02/14/2021

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
VISA INC.	92826C839	06/02/20	1.50	Substitute payment	
		12/01/20	1.28	Substitute payment	
			2.78	Total Miscellaneous income	
			<b>28.19</b>	<b>Total Miscellaneous income</b>	

Robinhood Securities LLC

Account 975590456

**Other Receipts and Reconciliations**

2020

02/14/2021

*This section of your tax information statement contains the detail of the year-to-year adjustments between cash distributions and reportable income for Unit Investment Trusts, reflecting the fact that income is recognized at the time it is received by the trust, rather than when a distribution is made.*

*Also shown are distributions whose tax impact will be defined by other documentation. In the case of partnership distributions, you will receive Schedule K-1 (Form 1065) directly from the partnership(s). For deferred income, the Form 1099-DIV issued in the following year will characterize the amounts received.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
BLUEKNIGHT ENERGY PARTNERS L.P . COMMON UNITS	09625U109	02/14/20	0.04	Partnership distribution	
		05/14/20	0.04	Partnership distribution	
		08/14/20	0.04	Partnership distribution	
		11/13/20	0.04	Partnership distribution	
			0.16	Total Partnership distribution	
			<b>0.16</b>	<b>Total Partnership distribution</b>	

Robinhood Securities LLC

Account 975590456

**Fees and Expenses**

2020

02/14/2021

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		03/25/20	-17.99	Margin interest paid	
		04/24/20	-137.69	Margin interest paid	
		05/26/20	-153.25	Margin interest paid	
		06/23/20	-5.00	Margin interest paid	
		06/23/20	-187.99	Margin interest paid	
		07/14/20	0.14	Adj- Margin interest paid	
		07/23/20	-5.00	Margin interest paid	
		07/23/20	-239.50	Margin interest paid	
		08/24/20	-5.00	Margin interest paid	
		08/24/20	-246.85	Margin interest paid	
		09/21/20	-5.00	Margin interest paid	
		09/21/20	-253.56	Margin interest paid	
		10/21/20	-5.00	Margin interest paid	
		10/21/20	-261.21	Margin interest paid	
		11/20/20	-5.00	Margin interest paid	
		11/20/20	-256.74	Margin interest paid	
		12/21/20	-5.00	Margin interest paid	
		12/21/20	-268.89	Margin interest paid	
			-2,058.53	Total Margin interest paid	
			<b>-2,058.53</b>	<b>Total Margin interest paid</b>	

Robinhood Securities LLC

Account 975590456

## Foreign Income and Taxes Summary

2020

02/14/2021

This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
BD	MARVELL TECHNOLOGY GROUP LTD. COMMON STOCK	G5876H105	0.24	0.00	0.00	0.24	0.00	
<b>Total BERMUDA</b>			<b>0.24</b>	<b>0.00</b>	<b>0.00</b>	<b>0.24</b>	<b>0.00</b>	
CA	THOMSON REUTERS CORPORATION	884903709	2.28	0.00	0.00	2.28	-0.33	
<b>Total CANADA</b>			<b>2.28</b>	<b>0.00</b>	<b>0.00</b>	<b>2.28</b>	<b>-0.33</b>	
EI	APTIV PLC	G6095L109	0.66	0.00	0.00	0.66	0.00	
<b>Total IRELAND</b>			<b>0.66</b>	<b>0.00</b>	<b>0.00</b>	<b>0.66</b>	<b>0.00</b>	
GM	DEUTSCHE TELEKOM AG COMMON STOCK	251566105	4.07	0.00	0.00	4.07	0.00	
<b>Total GERMANY</b>			<b>4.07</b>	<b>0.00</b>	<b>0.00</b>	<b>4.07</b>	<b>0.00</b>	
IN	INFOSYS LIMITED AMERICAN DEPOS ITARY SHARES	456788108	0.13	0.00	0.00	0.13	-0.03	
<b>Total INDIA</b>			<b>0.13</b>	<b>0.00</b>	<b>0.00</b>	<b>0.13</b>	<b>-0.03</b>	
JA	SONY CORPORATION ADS	835699307	0.47	0.00	0.00	0.47	-0.08	
<b>Total JAPAN</b>			<b>0.47</b>	<b>0.00</b>	<b>0.00</b>	<b>0.47</b>	<b>-0.08</b>	
NL	ROYAL DUTCH SHELL PLC ADS CLAS S B	780259107	0.00	9.80	0.00	9.80	0.00	
NL	NXP SEMICONDUCTORS N.V. COMMON STOCK	N6596X109	1.52	0.00	0.00	1.52	-0.24	
<b>Total NETHERLANDS</b>			<b>1.52</b>	<b>9.80</b>	<b>0.00</b>	<b>11.32</b>	<b>-0.24</b>	
SF	NASPERS LIMITED AMERICAN DEPOS ITARY RECEIPTS - SPONSORED	631512209	1.50	0.00	0.00	1.50	-0.30	
<b>Total SOUTH AFRICA</b>			<b>1.50</b>	<b>0.00</b>	<b>0.00</b>	<b>1.50</b>	<b>-0.30</b>	
SW	AUTOLIV, INC.	052800109	2.48	0.00	0.00	2.48	0.00	

Robinhood Securities LLC

Account 975590456

**Foreign Income and Taxes Summary**

2020

(continued)

02/14/2021

Country	Security Description	CUSIP	Dividends		Interest	Total Income	Tax
			Nonqualified	Qualified			
SW	ERICSSON AMERICAN DEPOSITARY S HARES	294821608	0.08	0.00	0.00	0.08	-0.04
<b>Total SWEDEN</b>			<b>2.56</b>	<b>0.00</b>	<b>0.00</b>	<b>2.56</b>	<b>-0.04</b>
<b>Grand Total</b>	<b>Total Foreign Source Income*</b>				<b>23.23</b>		
	<b>Qualified Foreign Source Income**</b>				<b>9.80</b>		
	<b>Foreign Tax</b>				<b>-1.02</b>		

\* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

\*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 975590456

## Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
AK STEEL HOLDING CORP.	001547108	03/16/20	-20.00	0.00	Merger	
AKORN INC COMMON STOCK	009728106	11/12/20	-46.00	0.00	Security deemed worthless	
BORGWARNER INC.	099724106	10/05/20	4.00	0.00	Merger	
CLEVELAND-CLIFFS INC.	185899101	03/16/20	8.00	0.00	Merger	
CYPRESS SEMICONDUCTOR CORPORAT ION COMMON STOCK	232806109	04/17/20	-1.00	0.00	Merger	
E*TRADE FINANCIAL CORPORATION COMMON STOCK	269246401	10/02/20	-2.00	0.00	Merger	
FISKER INC.	33813J106	10/30/20	80.00	0.00	Exchange	
FORESCOUT TECHNOLOGIES, INC. C OMMON STOCK	34553D101	08/19/20	-4.00	0.00	Merger	
MCDERMOTT INTL INC COMMON STOC K	580037703	07/06/20	-1.00	0.00	Security deemed worthless	
MORGAN STANLEY	617446448	10/02/20	2.00	0.00	Merger	
PORTOLA PHARMACEUTICALS, INC. COMMON STOCK	737010108	07/08/20	-15.00	0.00	Merger	
SPARTAN ENERGY ACQUISITION COR P.	846784106	10/30/20	-80.00	0.00	Exchange	
SPRINT CORPORATION	85207U105	04/01/20	-50.00	0.00	Merger	
STEMLINE THERAPEUTICS, INC. CO MMON STOCK	85858C107	06/12/20	-10.00	0.00	Merger	
STEMLINE THERAPEUTICS, INC. CV R	858CVR020	06/12/20	10.00	0.00	Merger	
T-MOBILE US, INC. COMMON STOCK	872590104	04/01/20	5.00	0.00	Merger	
T-MOBILE US, INC. SUBSCRIPTION RIGHTS EXPIRING 7/27/2020	872590112	06/26/20	10.00	0.00	Stock spinoff	
DELPHI TECHNOLOGIES PLC ORDINA RY SHARES	G2709G107	10/05/20	-10.00	0.00	Merger	



03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

**Line 1b.** Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 2c.** Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Instructions for Recipient**

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Line 8.** This line should be left blank if a RIC reported the foreign tax shown in line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

**Box 1f.** Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

**1099-MISC Instructions for Recipient**

**Line 2.** Report royalties from oil, gas, or mineral properties, copyrights, and patents on Schedule E (Form 1040). However, report payments for a working interest as explained in the box 7 instructions. For royalties on timber, coal, and iron ore, see Pub. 544.

**Line 3.** Generally, report this amount on the "Other income" line on Form 1040 (or Form 1040NR) and identify the payment. The amount shown may be payments received as the beneficiary of a deceased employee, prizes, awards, taxable damages, Indian gaming profits, or other taxable income. See Pub. 525. If it is trade or business income, report this amount on Schedule C or F (Form 1040).

**Line 4.** See "Backup Withholding" section.

**Line 8.** Shows substitute payments in lieu of dividends or tax-exempt interest received by your broker on your behalf as a result of a loan of your securities. Report on the "Other income" line of Schedule 1 (Form 1040) (or Form 1040NR).

**Lines 16-18.** Show state or local income tax withheld from the payments.