

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 122361637	Statement Date: 02/14/2021 Document ID: 5H3T 74P 412U	2020
	Nikhil Thangalla 3027 Woodtrails Drive Northwest Olympia, WA 98502 RECIPIENT'S TIN: XXX-XX-1466		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 0.00</p> <p>1b- Qualified dividends 0.00</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.00</p> <p>2b- Unrecaptured Section 1250 gain 0.00</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 0.00</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
--	---

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	2,505,181.81	2,557,830.68	0.00	61,632.09	8,983.22
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	2,505,181.81	2,557,830.68	0.00	61,632.09	8,983.22
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	2,505,181.81	2,557,830.68	0.00	61,632.09	8,983.22
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 122361637

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	5.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
07/28/20	1.000	4.05	07/28/20	4.05	...	0.00	Sale ²⁵
ARC DOCUMENT SOLUTIONS, INC. / CUSIP: 00191G103 / Symbol:							
10 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	300.000	350.96	07/15/20	357.00	...	-6.04	1 of 10 - Sale ²⁵
	168.000	196.53	07/15/20	199.92	...	-3.39	2 of 10 - Sale ²⁵
	100.000	116.98	07/15/20	119.00	...	-2.02	3 of 10 - Sale ²⁵
	34.000	39.78	07/15/20	41.14	...	-1.36	4 of 10 - Sale ²⁵
	1.000	1.17	07/15/20	1.15	...	0.02	5 of 10 - Sale ²⁵
	10.000	11.80	07/15/20	11.50	...	0.30	6 of 10 - Sale ²⁵
	22.000	25.74	07/15/20	25.30	...	0.44	7 of 10 - Sale ²⁵
	45.000	52.65	07/15/20	51.75	...	0.90	8 of 10 - Sale ²⁵
	100.000	116.99	07/15/20	115.00	...	1.99	9 of 10 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARC DOCUMENT SOLUTIONS, INC. / CUSIP: 00191G103 / Symbol: (cont'd)							
	220.000	259.57	07/15/20	253.00	...	6.57	10 of 10 - Sale ²⁵
07/15/20	1,000.000	1,172.17	Various	1,174.76	...	-2.59	Total of 10 transactions
07/15/20	100.000	116.99	07/15/20	119.00	0.68 W	-1.33	Sale ²⁵
	Security total:	1,289.16		1,293.76	0.68 W	-3.92	
ACHIEVE LIFE SCIENCES, INC. CO MMON SHARES / CUSIP: 004468203 / Symbol:							
7 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	200.000	153.18	07/29/20	166.00	12.82 W	0.00	1 of 7 - Sale ²⁵
	4.000	3.06	07/29/20	3.32	0.26 W	0.00	2 of 7 - Sale ²⁵
	200.000	153.17	07/29/20	178.82	25.65 W	0.00	3 of 7 - Sale ²⁵
	396.000	303.28	07/29/20	328.68	25.40 W	0.00	4 of 7 - Sale ²⁵
	4.000	3.06	07/29/20	3.58	0.52 W	0.00	5 of 7 - Sale ²⁵
	596.000	456.46	07/29/20	494.68	38.22 W	0.00	6 of 7 - Sale ²⁵
	600.000	459.52	07/29/20	498.00	38.48 W	0.00	7 of 7 - Sale ²⁵
07/29/20	2,000.000	1,531.73	Various	1,673.08	141.35 W	0.00	Total of 7 transactions
18 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	100.000	79.51	07/30/20	92.32	...	-12.81	1 of 18 - Sale ²⁵
	99.000	78.71	07/30/20	91.41	...	-12.70	2 of 18 - Sale ²⁵
	200.000	159.01	07/30/20	169.95	...	-10.94	3 of 18 - Sale ²⁵
	200.000	159.01	07/30/20	169.95	...	-10.94	4 of 18 - Sale ²⁵
	200.000	159.01	07/30/20	169.95	...	-10.94	5 of 18 - Sale ²⁵
	96.000	76.33	07/30/20	82.48	...	-6.15	6 of 18 - Sale ²⁵
	100.000	79.51	07/30/20	84.98	...	-5.47	7 of 18 - Sale ²⁵
	100.000	79.50	07/30/20	84.97	...	-5.47	8 of 18 - Sale ²⁵
	100.000	79.50	07/30/20	84.97	...	-5.47	9 of 18 - Sale ²⁵
	100.000	79.50	07/30/20	84.97	...	-5.47	10 of 18 - Sale ²⁵
	100.000	79.50	07/30/20	84.97	...	-5.47	11 of 18 - Sale ²⁵
	100.000	79.51	07/30/20	84.97	...	-5.46	12 of 18 - Sale ²⁵
	100.000	79.51	07/30/20	84.97	...	-5.46	13 of 18 - Sale ²⁵
	100.000	79.51	07/30/20	84.97	...	-5.46	14 of 18 - Sale ²⁵
	96.000	76.33	07/30/20	81.58	...	-5.25	15 of 18 - Sale ²⁵
	4.000	3.18	07/30/20	3.66	...	-0.48	16 of 18 - Sale ²⁵
	1.000	0.80	07/30/20	0.93	...	-0.13	17 of 18 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ACHIEVE LIFE SCIENCES, INC. CO MMON SHARES / CUSIP: 004468203 / Symbol: (cont'd)							
	500.000	397.53	07/30/20	392.80	...	4.73	18 of 18 - Sale ²⁵
07/30/20	2,296.000	1,825.46	Various	1,934.80	...	-109.34	Total of 18 transactions
	Security total:	3,357.19		3,607.88	141.35 W	-109.34	
ADIAL PHARMACEUTICALS, INC COM MON STOCK / CUSIP: 00688A106 / Symbol:							
2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	500.000	726.52	07/22/20	755.00	...	-28.48	1 of 2 - Sale ²⁵
	400.000	581.22	07/22/20	604.00	...	-22.78	2 of 2 - Sale ²⁵
07/22/20	900.000	1,307.74	Various	1,359.00	...	-51.26	Total of 2 transactions
AETHLON MEDICAL, INC. COMMON S TOCK / CUSIP: 00808Y307 / Symbol:							
06/18/20	2,000.000	5,699.63	06/18/20	5,360.00	...	339.63	Sale ²⁵
AGEAGLE AERIAL SYSTEMS, INC. / CUSIP: 00848K101 / Symbol:							
07/13/20	230.000	487.57	04/30/20	1,144.25	...	-656.68	Sale ²⁵
AIM IMMUNOTECH INC. / CUSIP: 00901B105 / Symbol:							
07/23/20	1,000.000	3,261.50	07/23/20	3,238.00	...	23.50	Sale ²⁵
AMERICAN VIRTUAL CLOUD TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 030382105 / Symbol:							
11 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	6.000	27.00	06/19/20	26.91	...	0.09	1 of 11 - Sale ²⁵
	20.000	90.00	06/19/20	89.70	...	0.30	2 of 11 - Sale ²⁵
	85.000	382.49	06/19/20	381.22	...	1.27	3 of 11 - Sale ²⁵
	89.000	400.49	06/19/20	399.17	...	1.32	4 of 11 - Sale ²⁵
	100.000	449.99	06/19/20	448.50	...	1.49	5 of 11 - Sale ²⁵
	100.000	449.99	06/19/20	448.50	...	1.49	6 of 11 - Sale ²⁵
	100.000	449.99	06/19/20	448.50	...	1.49	7 of 11 - Sale ²⁵
	100.000	449.99	06/19/20	448.50	...	1.49	8 of 11 - Sale ²⁵
	100.000	449.99	06/19/20	448.50	...	1.49	9 of 11 - Sale ²⁵
	200.000	899.96	06/19/20	897.00	...	2.96	10 of 11 - Sale ²⁵
	300.000	1,349.93	06/19/20	1,345.50	...	4.43	11 of 11 - Sale ²⁵
07/28/20	1,200.000	5,399.82	Various	5,382.00	...	17.82	Total of 11 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMPIO PHARMACEUTICALS, INC / CUSIP: 03209T109 / Symbol:							
07/02/20	2,000.000	1,333.80	07/02/20	1,427.60	...	-93.80	Sale ²⁵
APPLIED DNA SCIENCES, INC. COM MON STOCK / CUSIP: 03815U300 / Symbol:							
<i>5 transactions for 07/17/20. Total proceeds and cost reported to the IRS.</i>							
	271.000	3,875.17	07/17/20	3,880.72	...	-5.55	1 of 5 - Sale ²⁵
	121.000	1,730.24	07/17/20	1,735.19	...	-4.95	2 of 5 - Sale ²⁵
	100.000	1,429.96	07/17/20	1,434.05	...	-4.09	3 of 5 - Sale ²⁵
	179.000	2,559.62	07/17/20	2,563.28	...	-3.66	4 of 5 - Sale ²⁵
	29.000	414.69	07/17/20	415.87	...	-1.18	5 of 5 - Sale ²⁵
07/17/20	700.000	10,009.68	Various	10,029.11	...	-19.43	Total of 5 transactions
<i>2 transactions for 07/17/20. Total proceeds and cost reported to the IRS.</i>							
	221.000	3,160.20	07/17/20	3,164.72	4.52 W	0.00	1 of 2 - Sale ²⁵
	29.000	414.69	07/17/20	415.28	0.59 W	0.00	2 of 2 - Sale ²⁵
07/17/20	250.000	3,574.89	Various	3,580.00	5.11 W	0.00	Total of 2 transactions
12/17/20	1,000.000	6,200.94	12/17/20	6,167.60	...	33.34	Sale ²⁵
12/29/20	2,000.000	10,821.12	12/29/20	10,700.00	...	121.12	Sale ²⁵
	Security total:	30,606.63		30,476.71	5.11 W	135.03	
ARCIMOTO, INC. COMMON STOCK / CUSIP: 039587100 / Symbol:							
<i>2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.</i>							
	900.000	5,489.77	07/22/20	5,490.00	...	-0.23	1 of 2 - Sale ²⁵
	100.000	609.97	07/22/20	610.00	...	-0.03	2 of 2 - Sale ²⁵
07/22/20	1,000.000	6,099.74	Various	6,100.00	...	-0.26	Total of 2 transactions
ATHERSYS, INC. COMMON STOCK / CUSIP: 04744L106 / Symbol:							
<i>7 transactions for 07/29/20. Total proceeds and cost reported to the IRS.</i>							
	300.000	781.44	07/29/20	791.73	...	-10.29	1 of 7 - Sale ²⁵
	234.000	608.35	07/29/20	617.55	...	-9.20	2 of 7 - Sale ²⁵
	100.000	259.99	07/29/20	263.91	...	-3.92	3 of 7 - Sale ²⁵
	100.000	259.99	07/29/20	263.91	...	-3.92	4 of 7 - Sale ²⁵
	100.000	259.99	07/29/20	263.91	...	-3.92	5 of 7 - Sale ²⁵
	100.000	260.49	07/29/20	263.91	...	-3.42	6 of 7 - Sale ²⁵
	66.000	171.59	07/29/20	174.18	...	-2.59	7 of 7 - Sale ²⁵
07/29/20	1,000.000	2,601.84	Various	2,639.10	...	-37.26	Total of 7 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AVINGER, INC. COMMON STOCK / CUSIP: 053734604 / Symbol:							
<i>48 transactions for 12/24/20. Total proceeds and cost reported to the IRS.</i>							
2,927.000		1,499.12	12/24/20	1,531.68	...	-32.56	1 of 48 - Sale ²⁵
2,898.000		1,484.27	12/24/20	1,511.10	...	-26.83	2 of 48 - Sale ²⁵
1,800.000		921.91	12/24/20	938.57	...	-16.66	3 of 48 - Sale ²⁵
2,204.000		1,134.77	12/24/20	1,151.34	...	-16.57	4 of 48 - Sale ²⁵
1,398.000		716.01	12/24/20	731.57	...	-15.56	5 of 48 - Sale ²⁵
1,201.000		618.36	12/24/20	627.38	...	-9.02	6 of 48 - Sale ²⁵
797.000		410.36	12/24/20	416.27	...	-5.91	7 of 48 - Sale ²⁵
767.000		394.90	12/24/20	400.68	...	-5.78	8 of 48 - Sale ²⁵
583.000		300.17	12/24/20	304.56	...	-4.39	9 of 48 - Sale ²⁵
382.000		195.65	12/24/20	199.90	...	-4.25	10 of 48 - Sale ²⁵
605.000		312.77	12/24/20	315.98	...	-3.21	11 of 48 - Sale ²⁵
583.000		301.39	12/24/20	304.15	...	-2.76	12 of 48 - Sale ²⁵
512.000		264.68	12/24/20	267.41	...	-2.73	13 of 48 - Sale ²⁵
397.000		204.40	12/24/20	207.08	...	-2.68	14 of 48 - Sale ²⁵
218.000		111.65	12/24/20	114.08	...	-2.43	15 of 48 - Sale ²⁵
218.000		111.66	12/24/20	113.88	...	-2.22	16 of 48 - Sale ²⁵
209.000		107.04	12/24/20	109.15	...	-2.11	17 of 48 - Sale ²⁵
383.000		198.00	12/24/20	200.00	...	-2.00	18 of 48 - Sale ²⁵
300.000		155.09	12/24/20	156.69	...	-1.60	19 of 48 - Sale ²⁵
157.000		80.41	12/24/20	82.00	...	-1.59	20 of 48 - Sale ²⁵
198.000		101.94	12/24/20	103.43	...	-1.49	21 of 48 - Sale ²⁵
217.000		112.18	12/24/20	113.21	...	-1.03	22 of 48 - Sale ²⁵
109.000		55.83	12/24/20	56.84	...	-1.01	23 of 48 - Sale ²⁵
100.000		51.22	12/24/20	52.22	...	-1.00	24 of 48 - Sale ²⁵
200.000		103.39	12/24/20	104.33	...	-0.94	25 of 48 - Sale ²⁵
91.000		46.61	12/24/20	47.53	...	-0.92	26 of 48 - Sale ²⁵
103.000		53.03	12/24/20	53.72	...	-0.69	27 of 48 - Sale ²⁵
100.000		51.70	12/24/20	52.23	...	-0.53	28 of 48 - Sale ²⁵
100.000		51.70	12/24/20	52.22	...	-0.52	29 of 48 - Sale ²⁵
98.000		50.66	12/24/20	51.18	...	-0.52	30 of 48 - Sale ²⁵
43.000		22.02	12/24/20	22.46	...	-0.44	31 of 48 - Sale ²⁵
2.000		1.02	12/24/20	1.05	...	-0.03	32 of 48 - Sale ²⁵
1.000		0.50	12/24/20	0.50	...	0.00	33 of 48 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AVINGER, INC. COMMON STOCK / CUSIP: 053734604 / Symbol: (cont'd)							
	1.000	0.51	12/24/20	0.51	...	0.00	34 of 48 - Sale ²⁵
	1.000	0.51	12/24/20	0.51	...	0.00	35 of 48 - Sale ²⁵
	1.000	0.51	12/24/20	0.50	...	0.01	36 of 48 - Sale ²⁵
	100.000	50.49	12/24/20	50.48	...	0.01	37 of 48 - Sale ²⁵
	99.000	49.99	12/24/20	49.96	...	0.03	38 of 48 - Sale ²⁵
	488.000	246.38	12/24/20	246.35	...	0.03	39 of 48 - Sale ²⁵
	713.000	359.97	12/24/20	359.94	...	0.03	40 of 48 - Sale ²⁵
	200.000	100.97	12/24/20	100.93	...	0.04	41 of 48 - Sale ²⁵
	200.000	100.98	12/24/20	100.93	...	0.05	42 of 48 - Sale ²⁵
	300.000	151.46	12/24/20	151.39	...	0.07	43 of 48 - Sale ²⁵
	399.000	201.44	12/24/20	201.35	...	0.09	44 of 48 - Sale ²⁵
	400.000	201.95	12/24/20	201.86	...	0.09	45 of 48 - Sale ²⁵
	400.000	201.95	12/24/20	201.85	...	0.10	46 of 48 - Sale ²⁵
	400.000	201.95	12/24/20	201.85	...	0.10	47 of 48 - Sale ²⁵
	500.000	252.43	12/24/20	252.07	...	0.36	48 of 48 - Sale ²⁵
12/24/20	24,103.000	12,345.90	Various	12,514.87	...	-168.97	Total of 48 transactions
	49 transactions for 12/24/20. Total proceeds and cost reported to the IRS.						
	100.000	51.70	12/24/20	52.22	0.01 W	-0.51	1 of 49 - Sale ²⁵
	200.000	106.00	12/24/20	107.00	1.00 W	0.00	2 of 49 - Sale ²⁵
	300.000	158.99	12/24/20	160.50	1.51 W	0.00	3 of 49 - Sale ²⁵
	100.000	52.99	12/24/20	53.50	0.51 W	0.00	4 of 49 - Sale ²⁵
	3,488.000	1,848.18	12/24/20	1,866.08	17.90 W	0.00	5 of 49 - Sale ²⁵
	100.000	52.99	12/24/20	54.01	1.02 W	0.00	6 of 49 - Sale ²⁵
	200.000	105.97	12/24/20	108.00	2.03 W	0.00	7 of 49 - Sale ²⁵
	300.000	158.96	12/24/20	162.01	3.05 W	0.00	8 of 49 - Sale ²⁵
	9,400.000	4,980.77	12/24/20	5,029.00	48.23 W	0.00	9 of 49 - Sale ²⁵
	985.000	521.92	12/24/20	526.98	5.06 W	0.00	10 of 49 - Sale ²⁵
	600.000	317.92	12/24/20	321.54	3.62 W	0.00	11 of 49 - Sale ²⁵
	4,327.000	2,292.75	12/24/20	2,318.84	26.09 W	0.00	12 of 49 - Sale ²⁵
	100.000	50.49	12/24/20	50.99	0.50 W	0.00	13 of 49 - Sale ²⁵
	200.000	100.97	12/24/20	101.97	1.00 W	0.00	14 of 49 - Sale ²⁵
	300.000	151.46	12/24/20	152.96	1.50 W	0.00	15 of 49 - Sale ²⁵
	800.000	403.18	12/24/20	403.87	0.69 W	0.00	16 of 49 - Sale ²⁵
	1,499.000	755.45	12/24/20	756.74	1.29 W	0.00	17 of 49 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AVINGER, INC. COMMON STOCK / CUSIP: 053734604 / Symbol: (cont'd)							
	200.000	100.79	12/24/20	100.83	0.04 W	0.00	18 of 49 - Sale ²⁵
	1,500.000	755.96	12/24/20	757.25	1.29 W	0.00	19 of 49 - Sale ²⁵
	500.000	251.98	12/24/20	252.07	0.09 W	0.00	20 of 49 - Sale ²⁵
	1,201.000	605.27	12/24/20	606.42	1.15 W	0.00	21 of 49 - Sale ²⁵
	600.000	302.38	12/24/20	303.50	1.12 W	0.00	22 of 49 - Sale ²⁵
	985.000	496.41	12/24/20	497.36	0.95 W	0.00	23 of 49 - Sale ²⁵
	2,987.000	1,505.36	12/24/20	1,508.22	2.86 W	0.00	24 of 49 - Sale ²⁵
	2,927.000	1,475.12	12/24/20	1,480.57	5.45 W	0.00	25 of 49 - Sale ²⁵
	100.000	50.40	12/24/20	50.48	0.08 W	0.00	26 of 49 - Sale ²⁵
	200.000	100.79	12/24/20	100.96	0.17 W	0.00	27 of 49 - Sale ²⁵
	300.000	151.19	12/24/20	151.44	0.25 W	0.00	28 of 49 - Sale ²⁵
	1,400.000	705.55	12/24/20	708.16	2.61 W	0.00	29 of 49 - Sale ²⁵
	200.000	102.43	12/24/20	102.87	0.44 W	0.00	30 of 49 - Sale ²⁵
	383.000	196.16	12/24/20	197.00	0.84 W	0.00	31 of 49 - Sale ²⁵
	217.000	111.14	12/24/20	111.51	0.37 W	0.00	32 of 49 - Sale ²⁵
	583.000	298.59	12/24/20	299.58	0.99 W	0.00	33 of 49 - Sale ²⁵
	300.000	153.65	12/24/20	154.34	0.69 W	0.00	34 of 49 - Sale ²⁵
	100.000	51.22	12/24/20	51.45	0.23 W	0.00	35 of 49 - Sale ²⁵
	100.000	51.22	12/24/20	51.44	0.22 W	0.00	36 of 49 - Sale ²⁵
	200.000	102.43	12/24/20	102.76	0.33 W	0.00	37 of 49 - Sale ²⁵
	200.000	102.43	12/24/20	102.89	0.46 W	0.00	38 of 49 - Sale ²⁵
	300.000	153.65	12/24/20	154.33	0.68 W	0.00	39 of 49 - Sale ²⁵
	500.000	256.09	12/24/20	256.89	0.80 W	0.00	40 of 49 - Sale ²⁵
	512.000	262.23	12/24/20	263.40	1.17 W	0.00	41 of 49 - Sale ²⁵
	600.000	307.30	12/24/20	309.28	1.98 W	0.00	42 of 49 - Sale ²⁵
	985.000	504.49	12/24/20	506.85	2.36 W	0.00	43 of 49 - Sale ²⁵
	1,201.000	615.12	12/24/20	617.98	2.86 W	0.00	44 of 49 - Sale ²⁵
	1,398.000	716.01	12/24/20	720.62	4.61 W	0.00	45 of 49 - Sale ²⁵
	1,500.000	768.26	12/24/20	771.69	3.43 W	0.00	46 of 49 - Sale ²⁵
	2,927.000	1,499.12	12/24/20	1,508.76	9.64 W	0.00	47 of 49 - Sale ²⁵
	2,985.000	1,528.83	12/24/20	1,535.96	7.13 W	0.00	48 of 49 - Sale ²⁵
	4,807.000	2,462.00	12/24/20	2,468.87	6.87 W	0.00	49 of 49 - Sale ²⁵
12/24/20	55,897.000	28,854.26	Various	29,031.94	177.17 W	-0.51	Total of 49 transactions
	Security total:	41,200.16		41,546.81	177.17 W	-169.48	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AYRO, INC. COMMON STOCK / CUSIP: 054748108 / Symbol:							
2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	26.000	81.64	07/02/20	81.64	...	0.00	1 of 2 - Sale ²⁵
07/02/20	100.000	315.99	07/02/20	314.00	...	1.99	2 of 2 - Sale ²⁵
	126.000	397.63	Various	395.64	...	1.99	Total of 2 transactions
2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	100.000	313.99	07/02/20	314.00	0.01 W	0.00	1 of 2 - Sale ²⁵
07/02/20	774.000	2,430.21	07/02/20	2,430.36	0.15 W	0.00	2 of 2 - Sale ²⁵
	874.000	2,744.20	Various	2,744.36	0.16 W	0.00	Total of 2 transactions
6 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	425.000	1,942.15	07/15/20	1,946.58	...	-4.43	1 of 6 - Sale ²⁵
	349.000	1,594.85	07/15/20	1,598.49	...	-3.64	2 of 6 - Sale ²⁵
	326.000	1,489.75	07/15/20	1,493.08	...	-3.33	3 of 6 - Sale ²⁵
	77.000	351.87	07/15/20	352.67	...	-0.80	4 of 6 - Sale ²⁵
	22.000	100.54	07/15/20	100.76	...	-0.22	5 of 6 - Sale ²⁵
	1.000	4.57	07/15/20	4.58	...	-0.01	6 of 6 - Sale ²⁵
07/15/20	1,200.000	5,483.73	Various	5,496.16	...	-12.43	Total of 6 transactions
	Security total:	8,625.56		8,636.16	0.16 W	-10.44	
BIG 5 SPORTING GOODS CORPORATION COMMON STOCK / CUSIP: 08915P101 / Symbol:							
2 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	1.000	6.73	07/28/20	6.82	...	-0.09	1 of 2 - Sale ²⁵
07/28/20	1,000.000	5,899.74	07/28/20	5,750.00	...	149.74	2 of 2 - Sale ²⁵
	1,001.000	5,906.47	Various	5,756.82	...	149.65	Total of 2 transactions
BIO-KEY INTERNATIONAL, INC. COMMON STOCK / CUSIP: 09060C309 / Symbol:							
12 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	71.000	93.71	07/16/20	104.40	...	-10.69	1 of 12 - Sale ²⁵
	100.000	131.98	07/16/20	141.03	...	-9.05	2 of 12 - Sale ²⁵
	100.000	131.99	07/16/20	141.03	...	-9.04	3 of 12 - Sale ²⁵
	250.000	329.96	07/16/20	337.54	...	-7.58	4 of 12 - Sale ²⁵
	150.000	197.98	07/16/20	202.52	...	-4.54	5 of 12 - Sale ²⁵
	29.000	38.28	07/16/20	42.64	...	-4.36	6 of 12 - Sale ²⁵
	100.000	131.98	07/16/20	135.01	...	-3.03	7 of 12 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIO-KEY INTERNATIONAL, INC. CO MMON STOCK / CUSIP: 09060C309 / Symbol: (cont'd)							
	14.000	21.16	07/16/20	21.00	...	0.16	8 of 12 - Sale ²⁵
	100.000	151.17	07/16/20	150.00	...	1.17	9 of 12 - Sale ²⁵
	300.000	453.52	07/16/20	450.01	...	3.51	10 of 12 - Sale ²⁵
	300.000	395.96	07/16/20	387.00	...	8.96	11 of 12 - Sale ²⁵
	786.000	1,188.24	07/16/20	1,179.04	...	9.20	12 of 12 - Sale ²⁵
07/16/20	2,300.000	3,265.93	Various	3,291.22	...	-25.29	Total of 12 transactions
	13 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	100.000	98.99	07/16/20	106.00	7.01 W	0.00	1 of 13 - Sale ²⁵
	300.000	296.96	07/16/20	318.00	21.04 W	0.00	2 of 13 - Sale ²⁵
	786.000	778.02	07/16/20	833.16	55.14 W	0.00	3 of 13 - Sale ²⁵
	14.000	13.86	07/16/20	14.84	0.98 W	0.00	4 of 13 - Sale ²⁵
	200.000	245.98	07/16/20	258.00	12.02 W	0.00	5 of 13 - Sale ²⁵
	100.000	122.99	07/16/20	129.00	6.01 W	0.00	6 of 13 - Sale ²⁵
	100.000	122.99	07/16/20	135.01	12.02 W	0.00	7 of 13 - Sale ²⁵
	100.000	122.98	07/16/20	135.01	12.03 W	0.00	8 of 13 - Sale ²⁵
	100.000	122.98	07/16/20	135.01	12.03 W	0.00	9 of 13 - Sale ²⁵
	100.000	122.98	07/16/20	141.02	18.04 W	0.00	10 of 13 - Sale ²⁵
	150.000	184.48	07/16/20	193.50	9.02 W	0.00	11 of 13 - Sale ²⁵
	100.000	122.99	07/16/20	129.00	6.01 W	0.00	12 of 13 - Sale ²⁵
	250.000	307.46	07/16/20	322.50	15.04 W	0.00	13 of 13 - Sale ²⁵
07/16/20	2,400.000	2,663.66	Various	2,850.05	186.39 W	0.00	Total of 13 transactions
	Security total:	5,929.59		6,141.27	186.39 W	-25.29	
BIOCEPT, INC. COMMON STOCK / CUSIP: 09072V402 / Symbol:							
	3 transactions for 06/05/20. Total proceeds and cost reported to the IRS.						
	500.000	231.43	05/29/20	229.95	...	1.48	1 of 3 - Sale ²⁵
	1,500.000	694.30	05/29/20	689.85	...	4.45	2 of 3 - Sale ²⁵
	2,000.000	925.74	05/29/20	919.40	...	6.34	3 of 3 - Sale ²⁵
06/05/20	4,000.000	1,851.47	Various	1,839.20	...	12.27	Total of 3 transactions
	5 transactions for 07/07/20. Total proceeds and cost reported to the IRS.						
	30.000	20.61	07/07/20	20.70	0.09 W	0.00	1 of 5 - Sale ²⁵
	69.000	47.39	07/07/20	47.61	0.22 W	0.00	2 of 5 - Sale ²⁵
	4.000	2.75	07/07/20	2.76	0.01 W	0.00	3 of 5 - Sale ²⁵
	2,000.000	1,373.72	07/07/20	1,380.00	6.28 W	0.00	4 of 5 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIOCEPT, INC. COMMON STOCK / CUSIP: 09072V402 / Symbol: (cont'd)							
	1,897.000	1,302.98	07/07/20	1,308.93		0.00	5 of 5 - Sale ²⁵
07/07/20	4,000.000	2,747.45	Various	2,760.00	12.55 W	0.00	Total of 5 transactions
	6 transactions for 07/24/20. Total proceeds and cost reported to the IRS.						
	4.000	3.10	07/24/20	3.09	...	0.01	1 of 6 - Sale ²⁵
	27.000	20.92	07/24/20	20.84	...	0.08	2 of 6 - Sale ²⁵
	69.000	53.47	07/24/20	53.27	...	0.20	3 of 6 - Sale ²⁵
	73.000	56.57	07/24/20	56.35	...	0.22	4 of 6 - Sale ²⁵
	500.000	387.43	07/24/20	385.97	...	1.46	5 of 6 - Sale ²⁵
	1,427.000	1,105.72	07/24/20	1,101.55	...	4.17	6 of 6 - Sale ²⁵
07/24/20	2,100.000	1,627.21	Various	1,621.07	...	6.14	Total of 6 transactions
	4 transactions for 07/30/20. Total proceeds and cost reported to the IRS.						
	3.000	2.28	07/28/20	2.13	...	0.15	1 of 4 - Sale ²⁵
	197.000	149.69	07/28/20	139.90	...	9.79	2 of 4 - Sale ²⁵
	300.000	227.96	07/28/20	212.10	...	15.86	3 of 4 - Sale ²⁵
	1,700.000	1,291.77	07/28/20	1,207.23	...	84.54	4 of 4 - Sale ²⁵
07/30/20	2,200.000	1,671.70	Various	1,561.36	...	110.34	Total of 4 transactions
	Security total:	7,897.83		7,781.63	12.55 W	128.75	
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
	2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.						
	500.000	269.93	07/01/20	264.00	...	5.93	1 of 2 - Sale ²⁵
07/01/20	2,500.000	1,349.67	07/01/20	1,320.00	...	29.67	2 of 2 - Sale ²⁵
	3,000.000	1,619.60	Various	1,584.00	...	35.60	Total of 2 transactions
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol:							
	16 transactions for 07/02/20. Total proceeds and cost reported to the IRS.						
	100.000	559.97	07/02/20	584.01	...	-24.04	1 of 16 - Sale ²⁵
	79.000	442.38	07/02/20	461.37	...	-18.99	2 of 16 - Sale ²⁵
	79.000	442.38	07/02/20	461.37	...	-18.99	3 of 16 - Sale ²⁵
	79.000	442.38	07/02/20	461.36	...	-18.98	4 of 16 - Sale ²⁵
	21.000	117.59	07/02/20	122.64	...	-5.05	5 of 16 - Sale ²⁵
	21.000	117.59	07/02/20	122.64	...	-5.05	6 of 16 - Sale ²⁵
	11.000	61.60	07/02/20	64.24	...	-2.64	7 of 16 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
	11.000	61.60	07/02/20	64.24	...	-2.64	8 of 16 - Sale ²⁵
	10.000	56.00	07/02/20	58.40	...	-2.40	9 of 16 - Sale ²⁵
	18.000	100.62	07/02/20	98.28	...	2.34	10 of 16 - Sale ²⁵
	68.000	380.11	07/02/20	371.28	...	8.83	11 of 16 - Sale ²⁵
	132.000	737.84	07/02/20	720.72	...	17.12	12 of 16 - Sale ²⁵
	132.000	737.84	07/02/20	720.72	...	17.12	13 of 16 - Sale ²⁵
	200.000	1,117.95	07/02/20	1,092.00	...	25.95	14 of 16 - Sale ²⁵
	200.000	1,117.95	07/02/20	1,092.00	...	25.95	15 of 16 - Sale ²⁵
	200.000	1,117.95	07/02/20	1,092.00	...	25.95	16 of 16 - Sale ²⁵
07/02/20	1,361.000	7,611.75	Various	7,587.27	...	24.48	Total of 16 transactions
	4 transactions for 07/02/20. Total proceeds and cost reported to the IRS.						
	189.000	1,058.39	07/02/20	1,081.08	13.33 W	-9.36	1 of 4 - Sale ²⁵
	100.000	559.99	07/02/20	572.00	12.01 W	0.00	2 of 4 - Sale ²⁵
	100.000	559.99	07/02/20	572.00	12.01 W	0.00	3 of 4 - Sale ²⁵
	100.000	560.00	07/02/20	572.00	12.00 W	0.00	4 of 4 - Sale ²⁵
07/02/20	489.000	2,738.37	Various	2,797.08	49.35 W	-9.36	Total of 4 transactions
12/03/20	1,000.000	24,239.34	12/03/20	24,005.00	...	234.34	Sale ²⁵
12/08/20	1,000.000	28,669.24	12/08/20	28,529.80	...	139.44	Sale ²⁵
	15 transactions for 12/09/20. Total proceeds and cost reported to the IRS.						
	1.000	28.28	12/09/20	28.27	...	0.01	1 of 15 - Sale ²⁵
	3.000	84.84	12/09/20	84.81	...	0.03	2 of 15 - Sale ²⁵
	4.000	113.12	12/09/20	113.08	...	0.04	3 of 15 - Sale ²⁵
	17.000	480.76	12/09/20	480.59	...	0.17	4 of 15 - Sale ²⁵
	24.000	678.70	12/09/20	678.48	...	0.22	5 of 15 - Sale ²⁵
	24.000	678.70	12/09/20	678.48	...	0.22	6 of 15 - Sale ²⁵
	3.000	85.11	12/09/20	84.81	...	0.30	7 of 15 - Sale ²⁵
	100.000	2,827.92	12/09/20	2,827.00	...	0.92	8 of 15 - Sale ²⁵
	100.000	2,828.12	12/09/20	2,827.00	...	1.12	9 of 15 - Sale ²⁵
	148.000	4,185.62	12/09/20	4,183.96	...	1.66	10 of 15 - Sale ²⁵
	100.000	2,829.92	12/09/20	2,827.00	...	2.92	11 of 15 - Sale ²⁵
	100.000	2,837.92	12/09/20	2,827.00	...	10.92	12 of 15 - Sale ²⁵
	100.000	2,837.92	12/09/20	2,827.00	...	10.92	13 of 15 - Sale ²⁵
	276.000	7,829.91	12/09/20	7,802.52	...	27.39	14 of 15 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
12/09/20	1,000.000	28,510.14	12/09/20	28,000.00	...	510.14	15 of 15 - Sale ²⁵
12/09/20	2,000.000	56,836.98	Various	56,270.00	...	566.98	Total of 15 transactions
12/10/20	1,000.000	27,809.26	12/10/20	26,999.80	...	809.46	Sale ²⁵
12/15/20	700.000	19,537.18	12/15/20	18,858.00	...	679.18	Sale ²⁵
2 transactions for 12/16/20. Total proceeds and cost reported to the IRS.							
	1,000.000	29,780.22	12/16/20	28,710.00	...	1,070.22	1 of 2 - Sale ²⁵
	1,000.000	30,510.50	12/16/20	29,049.50	...	1,461.00	2 of 2 - Sale ²⁵
12/16/20	2,000.000	60,290.72	Various	57,759.50	...	2,531.22	Total of 2 transactions
2 transactions for 12/17/20. Total proceeds and cost reported to the IRS.							
	1,000.000	35,349.09	12/17/20	35,155.00	...	194.09	1 of 2 - Sale ²⁵
	1,000.000	32,469.16	12/17/20	32,000.00	...	469.16	2 of 2 - Sale ²⁵
12/17/20	2,000.000	67,818.25	Various	67,155.00	...	663.25	Total of 2 transactions
12/17/20	1,000.000	34,829.11	12/17/20	35,324.70	495.59 W	0.00	Sale ²⁵
3 transactions for 12/18/20. Total proceeds and cost reported to the IRS.							
	112.000	4,222.29	12/18/20	4,218.66	...	3.63	1 of 3 - Sale ²⁵
	120.000	4,523.89	12/18/20	4,519.98	...	3.91	2 of 3 - Sale ²⁵
	768.000	28,952.86	12/18/20	28,927.89	...	24.97	3 of 3 - Sale ²⁵
12/18/20	1,000.000	37,699.04	Various	37,666.53	...	32.51	Total of 3 transactions
3 transactions for 12/18/20. Total proceeds and cost reported to the IRS.							
	112.000	4,115.89	12/18/20	4,145.75	29.86 W	0.00	1 of 3 - Sale ²⁵
	120.000	4,409.89	12/18/20	4,441.87	31.98 W	0.00	2 of 3 - Sale ²⁵
	768.000	28,223.28	12/18/20	28,427.97	204.69 W	0.00	3 of 3 - Sale ²⁵
12/18/20	1,000.000	36,749.06	Various	37,015.59	266.53 W	0.00	Total of 3 transactions
40 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	113.000	5,110.86	12/23/20	5,307.57	...	-196.71	1 of 40 - Sale ²⁵
	100.000	4,524.89	12/23/20	4,703.37	...	-178.48	2 of 40 - Sale ²⁵
	100.000	4,522.89	12/23/20	4,696.97	...	-174.08	3 of 40 - Sale ²⁵
	94.000	4,253.39	12/23/20	4,418.90	...	-165.51	4 of 40 - Sale ²⁵
	68.000	3,076.92	12/23/20	3,193.87	...	-116.95	5 of 40 - Sale ²⁵
	50.000	2,261.44	12/23/20	2,347.44	...	-86.00	6 of 40 - Sale ²⁵
	50.000	2,261.45	12/23/20	2,347.43	...	-85.98	7 of 40 - Sale ²⁵
	37.000	1,673.47	12/23/20	1,737.89	...	-64.42	8 of 40 - Sale ²⁵
	33.000	1,493.21	12/23/20	1,550.63	...	-57.42	9 of 40 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
	25.000	1,131.23	12/23/20	1,174.73	...	-43.50	10 of 40 - Sale ²⁵
	18.000	814.48	12/23/20	845.26	...	-30.78	11 of 40 - Sale ²⁵
	17.000	768.89	12/23/20	798.12	...	-29.23	12 of 40 - Sale ²⁵
	13.000	588.23	12/23/20	611.42	...	-23.19	13 of 40 - Sale ²⁵
	13.000	588.23	12/23/20	610.86	...	-22.63	14 of 40 - Sale ²⁵
	7.000	316.75	12/23/20	328.92	...	-12.17	15 of 40 - Sale ²⁵
	3.000	135.75	12/23/20	140.93	...	-5.18	16 of 40 - Sale ²⁵
	3.000	135.75	12/23/20	140.85	...	-5.10	17 of 40 - Sale ²⁵
	2.000	90.50	12/23/20	93.97	...	-3.47	18 of 40 - Sale ²⁵
	2.000	90.50	12/23/20	93.97	...	-3.47	19 of 40 - Sale ²⁵
	1.000	45.25	12/23/20	47.01	...	-1.76	20 of 40 - Sale ²⁵
	1.000	45.25	12/23/20	46.96	...	-1.71	21 of 40 - Sale ²⁵
	21.000	950.44	12/23/20	947.65	...	2.79	22 of 40 - Sale ²⁵
	25.000	1,126.22	12/23/20	1,119.49	...	6.73	23 of 40 - Sale ²⁵
	100.000	4,525.89	12/23/20	4,517.10	...	8.79	24 of 40 - Sale ²⁵
	100.000	4,525.89	12/23/20	4,517.10	...	8.79	25 of 40 - Sale ²⁵
	100.000	4,525.89	12/23/20	4,516.10	...	9.79	26 of 40 - Sale ²⁵
	14.000	647.12	12/23/20	636.02	...	11.10	27 of 40 - Sale ²⁵
	50.000	2,250.95	12/23/20	2,238.97	...	11.98	28 of 40 - Sale ²⁵
	200.000	9,051.77	12/23/20	9,034.20	...	17.57	29 of 40 - Sale ²⁵
	229.000	10,364.27	12/23/20	10,346.46	...	17.81	30 of 40 - Sale ²⁵
	75.000	3,378.67	12/23/20	3,358.46	...	20.21	31 of 40 - Sale ²⁵
	100.000	4,507.89	12/23/20	4,477.95	...	29.94	32 of 40 - Sale ²⁵
	100.000	4,507.89	12/23/20	4,477.94	...	29.95	33 of 40 - Sale ²⁵
	100.000	4,507.89	12/23/20	4,477.94	...	29.95	34 of 40 - Sale ²⁵
	100.000	4,508.89	12/23/20	4,477.94	...	30.95	35 of 40 - Sale ²⁵
	750.000	33,788.24	12/23/20	33,748.28	...	39.96	36 of 40 - Sale ²⁵
	200.000	9,009.78	12/23/20	8,955.88	...	53.90	37 of 40 - Sale ²⁵
	750.000	33,749.16	12/23/20	33,682.58	...	66.58	38 of 40 - Sale ²⁵
	336.000	15,530.91	12/23/20	15,264.48	...	266.43	39 of 40 - Sale ²⁵
	400.000	18,489.18	12/23/20	18,172.00	...	317.18	40 of 40 - Sale ²⁵
12/23/20	4,500.000	203,876.27	Various	204,203.61	...	-327.34	Total of 40 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
<i>109 transactions for 12/23/20. Total proceeds and cost reported to the IRS.</i>							
750.000		33,751.56	12/23/20	34,342.58	591.02 W	0.00	1 of 109 - Sale ²⁵
750.000		32,743.50	12/23/20	33,036.02	292.52 W	0.00	2 of 109 - Sale ²⁵
425.000		18,743.13	12/23/20	18,864.10	120.97 W	0.00	3 of 109 - Sale ²⁵
325.000		14,332.99	12/23/20	14,425.49	92.50 W	0.00	4 of 109 - Sale ²⁵
750.000		33,711.74	12/23/20	33,772.50	60.76 W	0.00	5 of 109 - Sale ²⁵
750.000		34,506.64	12/23/20	34,800.00	293.36 W	0.00	6 of 109 - Sale ²⁵
750.000		34,281.65	12/23/20	34,917.11	635.46 W	0.00	7 of 109 - Sale ²⁵
750.000		34,289.15	12/23/20	35,058.96	769.81 W	0.00	8 of 109 - Sale ²⁵
750.000		34,195.40	12/23/20	35,055.24	859.84 W	0.00	9 of 109 - Sale ²⁵
213.000		9,730.30	12/23/20	9,914.39	184.09 W	0.00	10 of 109 - Sale ²⁵
500.000		22,841.08	12/23/20	23,235.73	394.65 W	0.00	11 of 109 - Sale ²⁵
37.000		1,690.24	12/23/20	1,719.45	29.21 W	0.00	12 of 109 - Sale ²⁵
37.000		1,700.29	12/23/20	1,736.02	35.73 W	0.00	13 of 109 - Sale ²⁵
213.000		9,788.17	12/23/20	10,009.78	221.61 W	0.00	14 of 109 - Sale ²⁵
500.000		22,976.93	12/23/20	23,459.65	482.72 W	0.00	15 of 109 - Sale ²⁵
37.000		1,703.44	12/23/20	1,739.40	35.96 W	0.00	16 of 109 - Sale ²⁵
213.000		9,806.28	12/23/20	10,029.19	222.91 W	0.00	17 of 109 - Sale ²⁵
500.000		23,019.42	12/23/20	23,505.22	485.80 W	0.00	18 of 109 - Sale ²⁵
100.000		4,632.38	12/23/20	4,734.40	102.02 W	0.00	19 of 109 - Sale ²⁵
100.000		4,629.98	12/23/20	4,734.41	104.43 W	0.00	20 of 109 - Sale ²⁵
13.000		601.90	12/23/20	615.47	13.57 W	0.00	21 of 109 - Sale ²⁵
237.000		10,973.06	12/23/20	11,202.78	229.72 W	0.00	22 of 109 - Sale ²⁵
50.000		2,314.99	12/23/20	2,363.45	48.46 W	0.00	23 of 109 - Sale ²⁵
37.000		1,713.06	12/23/20	1,748.97	35.91 W	0.00	24 of 109 - Sale ²⁵
213.000		9,861.65	12/23/20	10,068.32	206.67 W	0.00	25 of 109 - Sale ²⁵
13.000		596.70	12/23/20	611.24	14.54 W	0.00	26 of 109 - Sale ²⁵
37.000		1,698.30	12/23/20	1,736.99	38.69 W	0.00	27 of 109 - Sale ²⁵
50.000		2,294.99	12/23/20	2,347.21	52.22 W	0.00	28 of 109 - Sale ²⁵
100.000		4,589.99	12/23/20	4,699.52	109.53 W	0.00	29 of 109 - Sale ²⁵
100.000		4,589.98	12/23/20	4,701.93	111.95 W	0.00	30 of 109 - Sale ²⁵
213.000		9,776.67	12/23/20	9,999.34	222.67 W	0.00	31 of 109 - Sale ²⁵
237.000		10,878.26	12/23/20	11,125.80	247.54 W	0.00	32 of 109 - Sale ²⁵
100.000		4,534.88	12/23/20	4,668.40	133.52 W	0.00	33 of 109 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
100.000		4,534.88	12/23/20	4,670.82	135.94 W	0.00	34 of 109 - Sale ²⁵
13.000		589.53	12/23/20	607.19	17.66 W	0.00	35 of 109 - Sale ²⁵
87.000		3,945.35	12/23/20	4,057.09	111.74 W	0.00	36 of 109 - Sale ²⁵
100.000		4,532.88	12/23/20	4,663.32	130.44 W	0.00	37 of 109 - Sale ²⁵
50.000		2,266.44	12/23/20	2,331.65	65.21 W	0.00	38 of 109 - Sale ²⁵
50.000		2,266.44	12/23/20	2,331.66	65.22 W	0.00	39 of 109 - Sale ²⁵
100.000		4,530.88	12/23/20	4,663.41	132.53 W	0.00	40 of 109 - Sale ²⁵
37.000		1,676.43	12/23/20	1,725.47	49.04 W	0.00	41 of 109 - Sale ²⁵
113.000		5,119.91	12/23/20	5,269.66	149.75 W	0.00	42 of 109 - Sale ²⁵
13.000		587.33	12/23/20	606.43	19.10 W	0.00	43 of 109 - Sale ²⁵
37.000		1,671.62	12/23/20	1,724.77	53.15 W	0.00	44 of 109 - Sale ²⁵
50.000		2,258.94	12/23/20	2,329.72	70.78 W	0.00	45 of 109 - Sale ²⁵
50.000		2,258.94	12/23/20	2,329.71	70.77 W	0.00	46 of 109 - Sale ²⁵
87.000		3,930.56	12/23/20	4,051.97	121.41 W	0.00	47 of 109 - Sale ²⁵
100.000		4,517.89	12/23/20	4,662.52	144.63 W	0.00	48 of 109 - Sale ²⁵
100.000		4,517.89	12/23/20	4,664.94	147.05 W	0.00	49 of 109 - Sale ²⁵
100.000		4,517.89	12/23/20	4,659.44	141.55 W	0.00	50 of 109 - Sale ²⁵
100.000		4,517.89	12/23/20	4,661.53	143.64 W	0.00	51 of 109 - Sale ²⁵
113.000		5,105.21	12/23/20	5,267.52	162.31 W	0.00	52 of 109 - Sale ²⁵
3.000		137.37	12/23/20	140.54	3.17 W	0.00	53 of 109 - Sale ²⁵
2.000		91.56	12/23/20	93.69	2.13 W	0.00	54 of 109 - Sale ²⁵
1.000		45.76	12/23/20	46.85	1.09 W	0.00	55 of 109 - Sale ²⁵
13.000		594.86	12/23/20	609.30	14.44 W	0.00	56 of 109 - Sale ²⁵
18.000		823.66	12/23/20	842.32	18.66 W	0.00	57 of 109 - Sale ²⁵
94.000		4,301.33	12/23/20	4,403.55	102.22 W	0.00	58 of 109 - Sale ²⁵
100.000		4,575.89	12/23/20	4,687.05	111.16 W	0.00	59 of 109 - Sale ²⁵
1.000		45.76	12/23/20	46.80	1.04 W	0.00	60 of 109 - Sale ²⁵
68.000		3,110.92	12/23/20	3,182.09	71.17 W	0.00	61 of 109 - Sale ²⁵
33.000		1,509.71	12/23/20	1,544.91	35.20 W	0.00	62 of 109 - Sale ²⁵
25.000		1,143.72	12/23/20	1,170.39	26.67 W	0.00	63 of 109 - Sale ²⁵
2.000		91.50	12/23/20	93.63	2.13 W	0.00	64 of 109 - Sale ²⁵
20.000		914.97	12/23/20	936.31	21.34 W	0.00	65 of 109 - Sale ²⁵
37.000		1,694.19	12/23/20	1,732.95	38.76 W	0.00	66 of 109 - Sale ²⁵
50.000		2,289.44	12/23/20	2,340.78	51.34 W	0.00	67 of 109 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
	50.000	2,289.44	12/23/20	2,340.77	51.33 W	0.00	68 of 109 - Sale ²⁵
	20.000	915.78	12/23/20	936.31	20.53 W	0.00	69 of 109 - Sale ²⁵
	100.000	4,578.89	12/23/20	4,683.64	104.75 W	0.00	70 of 109 - Sale ²⁵
	113.000	5,174.14	12/23/20	5,292.51	118.37 W	0.00	71 of 109 - Sale ²⁵
	1.000	45.91	12/23/20	46.89	0.98 W	0.00	72 of 109 - Sale ²⁵
	1.000	45.91	12/23/20	46.84	0.93 W	0.00	73 of 109 - Sale ²⁵
	2.000	91.82	12/23/20	93.73	1.91 W	0.00	74 of 109 - Sale ²⁵
	2.000	91.82	12/23/20	93.73	1.91 W	0.00	75 of 109 - Sale ²⁵
	3.000	137.73	12/23/20	140.57	2.84 W	0.00	76 of 109 - Sale ²⁵
	13.000	596.82	12/23/20	609.84	13.02 W	0.00	77 of 109 - Sale ²⁵
	18.000	826.36	12/23/20	843.06	16.70 W	0.00	78 of 109 - Sale ²⁵
	20.000	918.18	12/23/20	937.34	19.16 W	0.00	79 of 109 - Sale ²⁵
	20.000	918.18	12/23/20	936.53	18.35 W	0.00	80 of 109 - Sale ²⁵
	25.000	1,147.72	12/23/20	1,171.67	23.95 W	0.00	81 of 109 - Sale ²⁵
	33.000	1,515.00	12/23/20	1,546.60	31.60 W	0.00	82 of 109 - Sale ²⁵
	37.000	1,698.62	12/23/20	1,733.36	34.74 W	0.00	83 of 109 - Sale ²⁵
	50.000	2,295.45	12/23/20	2,341.34	45.89 W	0.00	84 of 109 - Sale ²⁵
	50.000	2,295.45	12/23/20	2,341.33	45.88 W	0.00	85 of 109 - Sale ²⁵
	68.000	3,121.81	12/23/20	3,185.57	63.76 W	0.00	86 of 109 - Sale ²⁵
	94.000	4,315.44	12/23/20	4,407.42	91.98 W	0.00	87 of 109 - Sale ²⁵
	100.000	4,590.89	12/23/20	4,691.16	100.27 W	0.00	88 of 109 - Sale ²⁵
	100.000	4,590.89	12/23/20	4,684.75	93.86 W	0.00	89 of 109 - Sale ²⁵
	113.000	5,187.71	12/23/20	5,293.77	106.06 W	0.00	90 of 109 - Sale ²⁵
	1.000	45.83	12/23/20	46.95	1.12 W	0.00	91 of 109 - Sale ²⁵
	1.000	45.83	12/23/20	46.90	1.07 W	0.00	92 of 109 - Sale ²⁵
	2.000	91.66	12/23/20	93.85	2.19 W	0.00	93 of 109 - Sale ²⁵
	2.000	91.66	12/23/20	93.85	2.19 W	0.00	94 of 109 - Sale ²⁵
	3.000	137.49	12/23/20	140.75	3.26 W	0.00	95 of 109 - Sale ²⁵
	13.000	595.78	12/23/20	610.63	14.85 W	0.00	96 of 109 - Sale ²⁵
	18.000	824.92	12/23/20	844.16	19.24 W	0.00	97 of 109 - Sale ²⁵
	20.000	916.58	12/23/20	938.56	21.98 W	0.00	98 of 109 - Sale ²⁵
	20.000	916.58	12/23/20	937.75	21.17 W	0.00	99 of 109 - Sale ²⁵
	25.000	1,145.72	12/23/20	1,173.20	27.48 W	0.00	100 of 109 - Sale ²⁵
	33.000	1,512.35	12/23/20	1,548.61	36.26 W	0.00	101 of 109 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BLINK CHARGING CO. COMMON STOC K / CUSIP: 09354A100 / Symbol: (cont'd)							
	37.000	1,695.67	12/23/20	1,735.63	39.96 W	0.00	102 of 109 - Sale ²⁵
	50.000	2,291.44	12/23/20	2,344.38	52.94 W	0.00	103 of 109 - Sale ²⁵
	50.000	2,291.44	12/23/20	2,344.37	52.93 W	0.00	104 of 109 - Sale ²⁵
	68.000	3,116.36	12/23/20	3,189.71	73.35 W	0.00	105 of 109 - Sale ²⁵
	94.000	4,307.91	12/23/20	4,413.15	105.24 W	0.00	106 of 109 - Sale ²⁵
	100.000	4,582.89	12/23/20	4,697.26	114.37 W	0.00	107 of 109 - Sale ²⁵
	100.000	4,582.88	12/23/20	4,690.85	107.97 W	0.00	108 of 109 - Sale ²⁵
	113.000	5,178.66	12/23/20	5,300.66	122.00 W	0.00	109 of 109 - Sale ²⁵
12/23/20	13,500.000	613,977.85	Various	625,718.99	11,741.14 W	0.00	Total of 109 transactions
	Security total:	1,222,682.42		1,229,890.87	12,552.61 W	5,344.16	
BOXLIGHT CORPORATION CLASS A C OMMON STOCK / CUSIP: 103197109 / Symbol:							
5 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	200.000	749.96	07/16/20	750.00	0.04 W	0.00	1 of 5 - Sale ²⁵
	200.000	749.95	07/16/20	750.04	0.09 W	0.00	2 of 5 - Sale ²⁵
	100.000	374.98	07/16/20	375.00	0.02 W	0.00	3 of 5 - Sale ²⁵
	300.000	1,124.93	07/16/20	1,125.00	0.07 W	0.00	4 of 5 - Sale ²⁵
	300.000	1,124.93	07/16/20	1,125.00	0.07 W	0.00	5 of 5 - Sale ²⁵
07/16/20	1,100.000	4,124.75	Various	4,125.04	0.29 W	0.00	Total of 5 transactions
6 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	100.000	302.25	07/24/20	296.55	...	5.70	1 of 6 - Sale ²⁵
	100.000	302.25	07/24/20	296.34	...	5.91	2 of 6 - Sale ²⁵
	100.000	302.25	07/24/20	296.34	...	5.91	3 of 6 - Sale ²⁵
	200.000	604.50	07/24/20	593.15	...	11.35	4 of 6 - Sale ²⁵
	200.000	604.50	07/24/20	592.73	...	11.77	5 of 6 - Sale ²⁵
	300.000	906.76	07/24/20	889.72	...	17.04	6 of 6 - Sale ²⁵
07/24/20	1,000.000	3,022.51	Various	2,964.83	...	57.68	Total of 6 transactions
	Security total:	7,147.26		7,089.87	0.29 W	57.68	
BROADWAY FINANCIAL CORPORATION COMMON STOCK / CUSIP: 111444105 / Symbol:							
12 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	149.000	348.64	06/30/20	423.18	...	-74.54	1 of 12 - Sale ²⁵
	75.000	175.48	06/30/20	213.01	...	-37.53	2 of 12 - Sale ²⁵
	75.000	175.49	06/30/20	213.01	...	-37.52	3 of 12 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BROADWAY FINANCIAL CORPORATION COMMON STOCK / CUSIP: 111444105 / Symbol: (cont'd)							
	60.000	140.39	06/30/20	170.41	...	-30.02	4 of 12 - Sale ²⁵
	26.000	60.83	06/30/20	73.84	...	-13.01	5 of 12 - Sale ²⁵
	25.000	58.49	06/30/20	71.00	...	-12.51	6 of 12 - Sale ²⁵
	25.000	58.50	06/30/20	71.00	...	-12.50	7 of 12 - Sale ²⁵
	18.000	42.12	06/30/20	51.12	...	-9.00	8 of 12 - Sale ²⁵
	15.000	35.10	06/30/20	42.60	...	-7.50	9 of 12 - Sale ²⁵
	15.000	35.10	06/30/20	42.60	...	-7.50	10 of 12 - Sale ²⁵
	10.000	23.40	06/30/20	28.40	...	-5.00	11 of 12 - Sale ²⁵
	7.000	16.38	06/30/20	19.88	...	-3.50	12 of 12 - Sale ²⁵
06/30/20	500.000	1,169.92	Various	1,420.05	...	-250.13	Total of 12 transactions
	5 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	40.000	100.00	06/30/20	110.00	10.00 W	0.00	1 of 5 - Sale ²⁵
	200.000	499.98	06/30/20	550.00	50.02 W	0.00	2 of 5 - Sale ²⁵
	60.000	149.99	06/30/20	165.00	15.01 W	0.00	3 of 5 - Sale ²⁵
	100.000	249.99	06/30/20	275.00	25.01 W	0.00	4 of 5 - Sale ²⁵
	100.000	249.99	06/30/20	275.00	25.01 W	0.00	5 of 5 - Sale ²⁵
06/30/20	500.000	1,249.95	Various	1,375.00	125.05 W	0.00	Total of 5 transactions
	Security total:	2,419.87		2,795.05	125.05 W	-250.13	
CHF SOLUTIONS, INC. COMMON STO CK / CUSIP: 12542Q706 / Symbol:							
06/15/20	3,000.000	1,462.10	06/11/20	1,308.00	...	154.10	Sale ²⁵
	11 transactions for 07/09/20. Total proceeds and cost reported to the IRS.						
	400.000	279.95	07/09/20	288.00	8.05 W	0.00	1 of 11 - Sale ²⁵
	50.000	34.99	07/09/20	36.00	1.01 W	0.00	2 of 11 - Sale ²⁵
	400.000	279.95	07/09/20	296.05	16.10 W	0.00	3 of 11 - Sale ²⁵
	1,250.000	874.83	07/09/20	900.00	25.17 W	0.00	4 of 11 - Sale ²⁵
	50.000	34.99	07/09/20	37.01	2.02 W	0.00	5 of 11 - Sale ²⁵
	50.000	35.00	07/09/20	36.00	1.00 W	0.00	6 of 11 - Sale ²⁵
	255.000	178.47	07/09/20	183.60	5.13 W	0.00	7 of 11 - Sale ²⁵
	245.000	171.47	07/09/20	186.26	14.79 W	0.00	8 of 11 - Sale ²⁵
	100.000	69.99	07/09/20	76.03	6.04 W	0.00	9 of 11 - Sale ²⁵
	145.000	101.49	07/09/20	107.32	5.83 W	0.00	10 of 11 - Sale ²⁵
	55.000	38.49	07/09/20	41.81	3.32 W	0.00	11 of 11 - Sale ²⁵
07/09/20	3,000.000	2,099.62	Various	2,188.08	88.46 W	0.00	Total of 11 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHF SOLUTIONS, INC. COMMON STO CK / CUSIP: 12542Q706 / Symbol: (cont'd)							
8 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	56.000	42.85	07/21/20	43.69	0.84 W	0.00	1 of 8 - Sale ²⁵
	444.000	339.74	07/21/20	346.38	6.64 W	0.00	2 of 8 - Sale ²⁵
	200.000	153.00	07/21/20	156.03	3.03 W	0.00	3 of 8 - Sale ²⁵
	156.000	119.32	07/21/20	121.70	2.38 W	0.00	4 of 8 - Sale ²⁵
	50.000	38.24	07/21/20	40.02	1.78 W	0.00	5 of 8 - Sale ²⁵
	50.000	38.24	07/21/20	39.00	0.76 W	0.00	6 of 8 - Sale ²⁵
	95.000	72.67	07/21/20	74.11	1.44 W	0.00	7 of 8 - Sale ²⁵
	249.000	190.46	07/21/20	194.25	3.79 W	0.00	8 of 8 - Sale ²⁵
07/21/20	1,300.000	994.52	Various	1,015.18	20.66 W	0.00	Total of 8 transactions
10 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	95.000	63.17	07/24/20	63.17	...	0.00	1 of 10 - Sale ²⁵
	50.000	36.74	07/24/20	36.72	...	0.02	2 of 10 - Sale ²⁵
	56.000	41.15	07/24/20	41.11	...	0.04	3 of 10 - Sale ²⁵
	95.000	69.82	07/24/20	69.76	...	0.06	4 of 10 - Sale ²⁵
	110.000	80.83	07/24/20	80.76	...	0.07	5 of 10 - Sale ²⁵
	139.000	102.15	07/24/20	102.06	...	0.09	6 of 10 - Sale ²⁵
	156.000	114.64	07/24/20	114.55	...	0.09	7 of 10 - Sale ²⁵
	200.000	146.97	07/24/20	146.84	...	0.13	8 of 10 - Sale ²⁵
	444.000	326.28	07/24/20	325.89	...	0.39	9 of 10 - Sale ²⁵
	95.000	69.81	07/24/20	68.29	...	1.52	10 of 10 - Sale ²⁵
07/24/20	1,440.000	1,051.56	Various	1,049.15	...	2.41	Total of 10 transactions
21 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	55.000	36.57	07/24/20	39.89	3.32 W	0.00	1 of 21 - Sale ²⁵
	56.000	37.23	07/24/20	38.08	0.85 W	0.00	2 of 21 - Sale ²⁵
	100.000	66.49	07/24/20	72.54	6.05 W	0.00	3 of 21 - Sale ²⁵
	145.000	96.40	07/24/20	102.26	5.86 W	0.00	4 of 21 - Sale ²⁵
	156.000	103.72	07/24/20	106.12	2.40 W	0.00	5 of 21 - Sale ²⁵
	160.000	106.38	07/24/20	109.62	3.24 W	0.00	6 of 21 - Sale ²⁵
	200.000	132.97	07/24/20	136.03	3.06 W	0.00	7 of 21 - Sale ²⁵
	245.000	162.89	07/24/20	177.72	14.83 W	0.00	8 of 21 - Sale ²⁵
	139.000	92.42	07/24/20	94.55	2.13 W	0.00	9 of 21 - Sale ²⁵
	444.000	295.20	07/24/20	301.90	6.70 W	0.00	10 of 21 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHF SOLUTIONS, INC. COMMON STO CK / CUSIP: 12542Q706 / Symbol: (cont'd)							
	50.000	33.24	07/24/20	35.03	1.79 W	0.00	11 of 21 - Sale ²⁵
	50.000	33.24	07/24/20	34.01	0.77 W	0.00	12 of 21 - Sale ²⁵
	95.000	63.16	07/24/20	64.62	1.46 W	0.00	13 of 21 - Sale ²⁵
	110.000	73.14	07/24/20	74.82	1.68 W	0.00	14 of 21 - Sale ²⁵
	100.000	73.49	07/24/20	73.64	0.15 W	0.00	15 of 21 - Sale ²⁵
	50.000	36.74	07/24/20	37.73	0.99 W	0.00	16 of 21 - Sale ²⁵
	55.000	40.42	07/24/20	42.86	2.44 W	0.00	17 of 21 - Sale ²⁵
	60.000	44.09	07/24/20	44.34	0.25 W	0.00	18 of 21 - Sale ²⁵
	100.000	73.49	07/24/20	77.94	4.45 W	0.00	19 of 21 - Sale ²⁵
	145.000	106.55	07/24/20	110.10	3.55 W	0.00	20 of 21 - Sale ²⁵
	245.000	180.04	07/24/20	190.96	10.92 W	0.00	21 of 21 - Sale ²⁵
07/24/20	2,760.000	1,887.87	Various	1,964.76	76.89 W	0.00	Total of 21 transactions
07/29/20	2.000	1.54	07/28/20	1.62	0.08 W	0.00	Sale ²⁵
	13 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	1,140.000	765.92	08/11/20	786.03	...	-20.11	1 of 13 - Sale ²⁵
	245.000	164.61	08/11/20	179.85	...	-15.24	2 of 13 - Sale ²⁵
	100.000	67.19	08/11/20	73.40	...	-6.21	3 of 13 - Sale ²⁵
	145.000	97.42	08/11/20	103.53	...	-6.11	4 of 13 - Sale ²⁵
	55.000	36.95	08/11/20	40.36	...	-3.41	5 of 13 - Sale ²⁵
	98.000	65.84	08/11/20	67.72	...	-1.88	6 of 13 - Sale ²⁵
	50.000	33.59	08/11/20	35.46	...	-1.87	7 of 13 - Sale ²⁵
	100.000	67.19	08/11/20	68.95	...	-1.76	8 of 13 - Sale ²⁵
	100.000	67.19	08/11/20	68.95	...	-1.76	9 of 13 - Sale ²⁵
	100.000	67.19	08/11/20	68.95	...	-1.76	10 of 13 - Sale ²⁵
	60.000	40.31	08/11/20	41.62	...	-1.31	11 of 13 - Sale ²⁵
	2.000	1.34	08/11/20	1.46	...	-0.12	12 of 13 - Sale ²⁵
	5.000	3.36	08/11/20	3.45	...	-0.09	13 of 13 - Sale ²⁵
08/11/20	2,200.000	1,478.10	Various	1,539.73	...	-61.63	Total of 13 transactions
	Security total:	8,975.31		9,066.52	186.09 W	94.88	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CAPRICOR THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 14070B309 / Symbol:							
2 transactions for 04/29/20. Total proceeds and cost reported to the IRS.							
	40.000	344.80	04/29/20	285.20	...	59.60	1 of 2 - Sale ²⁵
	100.000	861.97	04/29/20	713.00	...	148.97	2 of 2 - Sale ²⁵
04/29/20	140.000	1,206.77	Various	998.20	...	208.57	Total of 2 transactions
CASTLIGHT HEALTH, INC. / CUSIP: 14862Q100 / Symbol:							
6 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	50.000	54.49	07/21/20	54.00	...	0.49	1 of 6 - Sale ²⁵
	59.000	64.30	07/21/20	63.72	...	0.58	2 of 6 - Sale ²⁵
	100.000	108.98	07/21/20	108.00	...	0.98	3 of 6 - Sale ²⁵
	141.000	153.67	07/21/20	152.28	...	1.39	4 of 6 - Sale ²⁵
	150.000	163.48	07/21/20	162.00	...	1.48	5 of 6 - Sale ²⁵
	200.000	217.98	07/21/20	216.00	...	1.98	6 of 6 - Sale ²⁵
07/21/20	700.000	762.90	Various	756.00	...	6.90	Total of 6 transactions
07/21/20	300.000	320.96	07/21/20	324.00	3.04 W	0.00	Sale ²⁵
07/22/20	700.000	958.90	07/22/20	985.81	...	-26.91	Sale ²⁵
2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	250.000	342.46	07/22/20	354.61	2.43 W	-9.72	1 of 2 - Sale ²⁵
	50.000	68.50	07/22/20	70.92	2.42 W	0.00	2 of 2 - Sale ²⁵
07/22/20	300.000	410.96	Various	425.53	4.85 W	-9.72	Total of 2 transactions
2 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	50.000	60.49	07/24/20	61.93	...	-1.44	1 of 2 - Sale ²⁵
	50.000	60.50	07/24/20	61.92	...	-1.42	2 of 2 - Sale ²⁵
07/24/20	100.000	120.99	Various	123.85	...	-2.86	Total of 2 transactions
	Security total:	2,574.71		2,615.19	7.89 W	-32.59	
CELLECT BIOTECHNOLOGY LTD. AME RICAN DEPOSITARY SHARES / CUSIP: 15116C201 / Symbol:							
24 transactions for 05/11/20. Total proceeds and cost reported to the IRS.							
	5.000	22.60	05/11/20	22.05	...	0.55	1 of 24 - Sale ²⁵
	5.000	22.65	05/11/20	22.05	...	0.60	2 of 24 - Sale ²⁵
	9.000	42.12	05/11/20	41.13	...	0.99	3 of 24 - Sale ²⁵
	9.000	42.21	05/11/20	41.04	...	1.17	4 of 24 - Sale ²⁵
	9.000	42.21	05/11/20	41.04	...	1.17	5 of 24 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CELLECT BIOTECHNOLOGY LTD. AMERICAN DEPOSITARY SHARES / CUSIP: 15116C201 / Symbol: (cont'd)							
	9.000	42.30	05/11/20	41.04	...	1.26	6 of 24 - Sale ²⁵
	95.000	429.39	05/11/20	418.95	...	10.44	7 of 24 - Sale ²⁵
	91.000	425.87	05/11/20	414.96	...	10.91	8 of 24 - Sale ²⁵
	95.000	430.34	05/11/20	418.95	...	11.39	9 of 24 - Sale ²⁵
	91.000	426.77	05/11/20	414.96	...	11.81	10 of 24 - Sale ²⁵
	91.000	426.78	05/11/20	414.96	...	11.82	11 of 24 - Sale ²⁵
	92.000	432.38	05/11/20	419.52	...	12.86	12 of 24 - Sale ²⁵
	99.000	465.28	05/11/20	451.44	...	13.84	13 of 24 - Sale ²⁵
	100.000	469.97	05/11/20	456.00	...	13.97	14 of 24 - Sale ²⁵
	100.000	469.97	05/11/20	456.00	...	13.97	15 of 24 - Sale ²⁵
	100.000	469.97	05/11/20	456.00	...	13.97	16 of 24 - Sale ²⁵
	100.000	469.97	05/11/20	456.00	...	13.97	17 of 24 - Sale ²⁵
	100.000	469.98	05/11/20	456.00	...	13.98	18 of 24 - Sale ²⁵
	100.000	469.98	05/11/20	456.00	...	13.98	19 of 24 - Sale ²⁵
	100.000	469.98	05/11/20	456.00	...	13.98	20 of 24 - Sale ²⁵
	100.000	469.99	05/11/20	456.00	...	13.99	21 of 24 - Sale ²⁵
	200.000	937.94	05/11/20	912.00	...	25.94	22 of 24 - Sale ²⁵
	300.000	1,352.93	05/11/20	1,323.00	...	29.93	23 of 24 - Sale ²⁵
	500.000	2,254.89	05/11/20	2,210.00	...	44.89	24 of 24 - Sale ²⁵
05/11/20	2,500.000	11,556.47	Various	11,255.09	...	301.38	Total of 24 transactions
CELLECTAR BIOSCIENCES, INC. COMMON STOCK / CUSIP: 15117F500 / Symbol:							
2 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	1,000.000	2,209.83	12/10/20	2,189.40	...	20.43	1 of 2 - Sale ²⁵
	1,000.000	2,231.33	12/10/20	2,200.00	...	31.33	2 of 2 - Sale ²⁵
12/10/20	2,000.000	4,441.16	Various	4,389.40	...	51.76	Total of 2 transactions
CERECOR INC. COMMON STOCK / CUSIP: 15671L109 / Symbol:							
07/31/20	1,200.000	3,395.78	07/30/20	3,401.88	...	-6.10	Sale ²⁵
CHEMBIO DIAGNOSTICS, INC. COMMON STOCK / CUSIP: 163572209 / Symbol:							
7 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	100.000	544.97	07/14/20	544.79	...	0.18	1 of 7 - Sale ²⁵
	200.000	1,089.95	07/14/20	1,089.58	...	0.37	2 of 7 - Sale ²⁵
	700.000	3,814.83	07/14/20	3,813.53	...	1.30	3 of 7 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHEMBIO DIAGNOSTICS, INC. COMM ON STOCK / CUSIP: 163572209 / Symbol: (cont'd)							
	100.000	504.98	07/14/20	495.00	...	9.98	4 of 7 - Sale ²⁵
	100.000	504.98	07/14/20	495.00	...	9.98	5 of 7 - Sale ²⁵
	200.000	1,010.79	07/14/20	990.00	...	20.79	6 of 7 - Sale ²⁵
	700.000	3,534.82	07/14/20	3,465.00	...	69.82	7 of 7 - Sale ²⁵
07/14/20	2,100.000	11,005.32	Various	10,892.90	...	112.42	Total of 7 transactions
	2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.						
	165.000	898.70	07/14/20	912.45	13.75 W	0.00	1 of 2 - Sale ²⁵
	835.000	4,547.95	07/14/20	4,625.90	77.95 W	0.00	2 of 2 - Sale ²⁵
07/14/20	1,000.000	5,446.65	Various	5,538.35	91.70 W	0.00	Total of 2 transactions
	10 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	165.000	854.86	07/15/20	884.95	30.09 W	0.00	1 of 10 - Sale ²⁵
	735.000	3,808.01	07/15/20	3,949.41	141.40 W	0.00	2 of 10 - Sale ²⁵
	100.000	518.10	07/15/20	537.34	19.24 W	0.00	3 of 10 - Sale ²⁵
	64.000	331.58	07/15/20	337.92	6.34 W	0.00	4 of 10 - Sale ²⁵
	236.000	1,222.71	07/15/20	1,246.08	23.37 W	0.00	5 of 10 - Sale ²⁵
	200.000	1,036.19	07/15/20	1,060.00	23.81 W	0.00	6 of 10 - Sale ²⁵
	200.000	1,036.19	07/15/20	1,060.00	23.81 W	0.00	7 of 10 - Sale ²⁵
	200.000	1,036.19	07/15/20	1,060.00	23.81 W	0.00	8 of 10 - Sale ²⁵
	100.000	518.10	07/15/20	530.00	11.90 W	0.00	9 of 10 - Sale ²⁵
	500.000	2,590.48	07/15/20	2,615.00	24.52 W	0.00	10 of 10 - Sale ²⁵
07/15/20	2,500.000	12,952.41	Various	13,280.70	328.29 W	0.00	Total of 10 transactions
	3 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	165.000	882.71	07/21/20	896.34	13.63 W	0.00	1 of 3 - Sale ²⁵
	100.000	534.98	07/21/20	544.24	9.26 W	0.00	2 of 3 - Sale ²⁵
	735.000	3,932.07	07/21/20	4,000.15	68.08 W	0.00	3 of 3 - Sale ²⁵
07/21/20	1,000.000	5,349.76	Various	5,440.73	90.97 W	0.00	Total of 3 transactions
	17 transactions for 08/03/20. Total proceeds and cost reported to the IRS.						
	2.000	12.20	07/21/20	11.58	...	0.62	1 of 17 - Sale ²⁵
	36.000	219.59	07/21/20	207.68	...	11.91	2 of 17 - Sale ²⁵
	64.000	390.38	07/21/20	369.22	...	21.16	3 of 17 - Sale ²⁵
	97.000	591.67	07/21/20	561.54	...	30.13	4 of 17 - Sale ²⁵
	98.000	597.77	07/21/20	567.32	...	30.45	5 of 17 - Sale ²⁵
	100.000	609.97	07/21/20	578.91	...	31.06	6 of 17 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHEMBIO DIAGNOSTICS, INC. COMM ON STOCK / CUSIP: 163572209 / Symbol: (cont'd)							
	100.000	609.97	07/21/20	578.90	...	31.07	7 of 17 - Sale ²⁵
	103.000	628.27	07/21/20	596.27	...	32.00	8 of 17 - Sale ²⁵
	100.000	609.97	07/21/20	576.91	...	33.06	9 of 17 - Sale ²⁵
	100.000	609.97	07/21/20	576.90	...	33.07	10 of 17 - Sale ²⁵
	200.000	1,219.94	07/21/20	1,157.81	...	62.13	11 of 17 - Sale ²⁵
	5.000	30.50	07/30/20	26.85	...	3.65	12 of 17 - Sale ²⁵
	93.000	567.28	07/30/20	499.32	...	67.96	13 of 17 - Sale ²⁵
	125.000	762.47	07/30/20	671.13	...	91.34	14 of 17 - Sale ²⁵
	165.000	1,006.46	07/30/20	891.43	...	115.03	15 of 17 - Sale ²⁵
	277.000	1,689.63	07/30/20	1,487.22	...	202.41	16 of 17 - Sale ²⁵
	335.000	2,043.41	07/30/20	1,813.23	...	230.18	17 of 17 - Sale ²⁵
08/03/20	2,000.000	12,199.45	Various	11,172.22	...	1,027.23	Total of 17 transactions
	9 transactions for 08/05/20. Total proceeds and cost reported to the IRS.						
	100.000	601.97	08/04/20	600.09	...	1.88	1 of 9 - Sale ²⁵
	200.000	1,203.95	08/04/20	1,200.19	...	3.76	2 of 9 - Sale ²⁵
	200.000	1,203.95	08/04/20	1,200.18	...	3.77	3 of 9 - Sale ²⁵
	100.000	601.97	08/04/20	590.83	...	11.14	4 of 9 - Sale ²⁵
	100.000	601.97	08/04/20	589.83	...	12.14	5 of 9 - Sale ²⁵
	100.000	601.97	08/04/20	589.83	...	12.14	6 of 9 - Sale ²⁵
	400.000	2,407.90	08/04/20	2,363.32	...	44.58	7 of 9 - Sale ²⁵
	400.000	2,407.89	08/04/20	2,359.32	...	48.57	8 of 9 - Sale ²⁵
	400.000	2,407.90	08/04/20	2,359.32	...	48.58	9 of 9 - Sale ²⁵
08/05/20	2,000.000	12,039.47	Various	11,852.91	...	186.56	Total of 9 transactions
	7 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	18.000	100.08	08/07/20	98.01	...	2.07	1 of 7 - Sale ²⁵
	90.000	500.37	08/07/20	490.05	...	10.32	2 of 7 - Sale ²⁵
	100.000	555.97	08/07/20	545.00	...	10.97	3 of 7 - Sale ²⁵
	100.000	555.98	08/07/20	545.00	...	10.98	4 of 7 - Sale ²⁵
	182.000	1,011.87	08/07/20	990.99	...	20.88	5 of 7 - Sale ²⁵
	210.000	1,167.55	08/07/20	1,143.45	...	24.10	6 of 7 - Sale ²⁵
	300.000	1,667.92	08/07/20	1,633.50	...	34.42	7 of 7 - Sale ²⁵
08/10/20	1,000.000	5,559.74	Various	5,446.00	...	113.74	Total of 7 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CHEMBIO DIAGNOSTICS, INC. COMM ON STOCK / CUSIP: 163572209 / Symbol: (cont'd)							
<i>17 transactions for 12/02/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	138.40	08/12/20	117.40	...	21.00	1 of 17 - Sale ²⁵
	50.000	345.49	08/12/20	273.00	...	72.49	2 of 17 - Sale ²⁵
	95.000	657.37	08/12/20	557.65	...	99.72	3 of 17 - Sale ²⁵
	100.000	691.97	08/12/20	587.00	...	104.97	4 of 17 - Sale ²⁵
	100.000	691.97	08/12/20	587.00	...	104.97	5 of 17 - Sale ²⁵
	100.000	691.97	08/12/20	587.00	...	104.97	6 of 17 - Sale ²⁵
	300.000	2,072.91	08/12/20	1,631.34	...	441.57	7 of 17 - Sale ²⁵
	450.000	3,109.37	08/12/20	2,493.00	...	616.37	8 of 17 - Sale ²⁵
	550.000	3,800.34	08/12/20	2,990.79	...	809.55	9 of 17 - Sale ²⁵
	785.000	5,424.14	08/12/20	4,607.95	...	816.19	10 of 17 - Sale ²⁵
	1,000.000	6,909.73	08/12/20	5,790.00	...	1,119.73	11 of 17 - Sale ²⁵
	950.000	6,564.24	08/12/20	5,187.00	...	1,377.24	12 of 17 - Sale ²⁵
	10.000	69.10	09/18/20	45.10	...	24.00	13 of 17 - Sale ²⁵
	100.000	690.97	09/18/20	450.95	...	240.02	14 of 17 - Sale ²⁵
	100.000	690.97	09/18/20	450.95	...	240.02	15 of 17 - Sale ²⁵
	190.000	1,312.85	09/18/20	856.80	...	456.05	16 of 17 - Sale ²⁵
	700.000	4,836.95	09/18/20	3,156.65	...	1,680.30	17 of 17 - Sale ²⁵
12/02/20	5,600.000	38,698.74	Various	30,369.58	...	8,329.16	Total of 17 transactions
	Security total:	103,251.54		93,993.39	510.96 W	9,769.11	
CHIMERIX, INC. COMMON STOCK / CUSIP: 16934W106 / Symbol:							
07/29/20	1,000.000	3,274.80	07/29/20	3,316.10	...	-41.30	Sale ²⁵
CHINA JO-JO DRUGSTORES, INC. C OMMON STOCK / CUSIP: 16949A206 / Symbol:							
<i>2 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	1.71	06/05/20	1.70	...	0.01	1 of 2 - Sale ²⁵
	1,000.000	1,649.84	06/05/20	1,561.00	...	88.84	2 of 2 - Sale ²⁵
06/05/20	1,001.000	1,651.55	Various	1,562.70	...	88.85	Total of 2 transactions
CIDARA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 171757107 / Symbol:							
<i>5 transactions for 12/09/20. Total proceeds and cost reported to the IRS.</i>							
	74.000	156.13	12/09/20	155.40	...	0.73	1 of 5 - Sale ²⁵
	100.000	210.99	12/09/20	210.00	...	0.99	2 of 5 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CIDARA THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 171757107 / Symbol: (cont'd)							
	132.000	278.50	12/09/20	277.20	...	1.30	3 of 5 - Sale ²⁵
	100.000	211.49	12/09/20	210.00	...	1.49	4 of 5 - Sale ²⁵
	378.000	797.52	12/09/20	793.80	...	3.72	5 of 5 - Sale ²⁵
12/09/20	784.000	1,654.63	Various	1,646.40	...	8.23	Total of 5 transactions
12/10/20	216.000	447.09	12/09/20	453.60	...	-6.51	Sale ²⁵
	Security total:	2,101.72		2,100.00	...	1.72	
CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol:							
7 transactions for 06/29/20. Total proceeds and cost reported to the IRS.							
	160.000	300.78	06/29/20	305.60	4.82 W	0.00	1 of 7 - Sale ²⁵
	200.000	375.98	06/29/20	382.00	6.02 W	0.00	2 of 7 - Sale ²⁵
	15.000	28.20	06/29/20	28.65	0.45 W	0.00	3 of 7 - Sale ²⁵
	100.000	187.99	06/29/20	191.00	3.01 W	0.00	4 of 7 - Sale ²⁵
	400.000	751.93	06/29/20	764.00	12.07 W	0.00	5 of 7 - Sale ²⁵
	100.000	187.99	06/29/20	191.00	3.01 W	0.00	6 of 7 - Sale ²⁵
	25.000	47.00	06/29/20	47.75	0.75 W	0.00	7 of 7 - Sale ²⁵
06/29/20	1,000.000	1,879.87	Various	1,910.00	30.13 W	0.00	Total of 7 transactions
11 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	15.000	30.75	07/01/20	31.20	0.45 W	0.00	1 of 11 - Sale ²⁵
	25.000	51.25	07/01/20	52.00	0.75 W	0.00	2 of 11 - Sale ²⁵
	160.000	327.97	07/01/20	332.82	4.85 W	0.00	3 of 11 - Sale ²⁵
	200.000	409.97	07/01/20	416.02	6.05 W	0.00	4 of 11 - Sale ²⁵
	75.000	153.74	07/01/20	156.01	2.27 W	0.00	5 of 11 - Sale ²⁵
	325.000	666.19	07/01/20	676.06	9.87 W	0.00	6 of 11 - Sale ²⁵
	25.000	51.25	07/01/20	52.00	0.75 W	0.00	7 of 11 - Sale ²⁵
	75.000	153.74	07/01/20	156.01	2.27 W	0.00	8 of 11 - Sale ²⁵
	100.000	204.98	07/01/20	208.01	3.03 W	0.00	9 of 11 - Sale ²⁵
	200.000	409.96	07/01/20	410.00	0.04 W	0.00	10 of 11 - Sale ²⁵
	300.000	614.95	07/01/20	615.00	0.05 W	0.00	11 of 11 - Sale ²⁵
07/01/20	1,500.000	3,074.75	Various	3,105.13	30.38 W	0.00	Total of 11 transactions
13 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	160.000	337.57	07/02/20	344.05	6.48 W	0.00	1 of 13 - Sale ²⁵
	140.000	295.37	07/02/20	301.03	5.66 W	0.00	2 of 13 - Sale ²⁵
	15.000	31.65	07/02/20	32.25	0.60 W	0.00	3 of 13 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol: (cont'd)							
	25.000	52.75	07/02/20	53.75	1.00 W	0.00	4 of 13 - Sale ²⁵
	75.000	158.24	07/02/20	161.27	3.03 W	0.00	5 of 13 - Sale ²⁵
	60.000	126.59	07/02/20	129.02	2.43 W	0.00	6 of 13 - Sale ²⁵
	25.000	52.75	07/02/20	53.76	1.01 W	0.00	7 of 13 - Sale ²⁵
	100.000	210.99	07/02/20	215.04	4.05 W	0.00	8 of 13 - Sale ²⁵
	100.000	210.99	07/02/20	215.04	4.05 W	0.00	9 of 13 - Sale ²⁵
	25.000	52.74	07/02/20	53.75	1.01 W	0.00	10 of 13 - Sale ²⁵
	75.000	158.24	07/02/20	161.27	3.03 W	0.00	11 of 13 - Sale ²⁵
	100.000	210.98	07/02/20	215.03	4.05 W	0.00	12 of 13 - Sale ²⁵
	100.000	210.98	07/02/20	215.03	4.05 W	0.00	13 of 13 - Sale ²⁵
07/02/20	1,000.000	2,109.84	Various	2,150.29	40.45 W	0.00	Total of 13 transactions
	17 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	15.000	29.87	07/13/20	32.70	2.83 W	0.00	1 of 17 - Sale ²⁵
	25.000	49.78	07/13/20	54.50	4.72 W	0.00	2 of 17 - Sale ²⁵
	25.000	49.78	07/13/20	54.51	4.73 W	0.00	3 of 17 - Sale ²⁵
	60.000	119.47	07/13/20	130.83	11.36 W	0.00	4 of 17 - Sale ²⁵
	75.000	149.34	07/13/20	163.53	14.19 W	0.00	5 of 17 - Sale ²⁵
	140.000	278.77	07/13/20	305.26	26.49 W	0.00	6 of 17 - Sale ²⁵
	160.000	318.60	07/13/20	348.88	30.28 W	0.00	7 of 17 - Sale ²⁵
	200.000	398.25	07/13/20	428.04	29.79 W	0.00	8 of 17 - Sale ²⁵
	300.000	597.37	07/13/20	642.05	44.68 W	0.00	9 of 17 - Sale ²⁵
	25.000	49.78	07/13/20	54.51	4.73 W	0.00	10 of 17 - Sale ²⁵
	75.000	149.34	07/13/20	163.53	14.19 W	0.00	11 of 17 - Sale ²⁵
	100.000	199.12	07/13/20	218.05	18.93 W	0.00	12 of 17 - Sale ²⁵
	100.000	199.12	07/13/20	218.05	18.93 W	0.00	13 of 17 - Sale ²⁵
	100.000	199.13	07/13/20	218.05	18.92 W	0.00	14 of 17 - Sale ²⁵
	100.000	199.12	07/13/20	218.05	18.93 W	0.00	15 of 17 - Sale ²⁵
	400.000	796.50	07/13/20	856.00	59.50 W	0.00	16 of 17 - Sale ²⁵
	100.000	199.13	07/13/20	214.00	14.87 W	0.00	17 of 17 - Sale ²⁵
07/13/20	2,000.000	3,982.47	Various	4,320.54	338.07 W	0.00	Total of 17 transactions
	9 transactions for 07/27/20. Total proceeds and cost reported to the IRS.						
	300.000	485.95	07/24/20	568.60	...	-82.65	1 of 9 - Sale ²⁵
	200.000	323.97	07/24/20	379.07	...	-55.10	2 of 9 - Sale ²⁵
	160.000	259.17	07/24/20	309.70	...	-50.53	3 of 9 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol: (cont'd)							
	140.000	226.78	07/24/20	270.99	...	-44.21	4 of 9 - Sale ²⁵
	75.000	121.48	07/24/20	145.17	...	-23.69	5 of 9 - Sale ²⁵
	60.000	97.19	07/24/20	116.14	...	-18.95	6 of 9 - Sale ²⁵
	25.000	40.50	07/24/20	48.39	...	-7.89	7 of 9 - Sale ²⁵
	25.000	40.50	07/24/20	48.38	...	-7.88	8 of 9 - Sale ²⁵
	15.000	24.30	07/24/20	29.03	...	-4.73	9 of 9 - Sale ²⁵
07/27/20	1,000.000	1,619.84	Various	1,915.47	...	-295.63	Total of 9 transactions
	8 transactions for 08/03/20. Total proceeds and cost reported to the IRS.						
	400.000	557.82	08/03/20	621.50	...	-63.68	1 of 8 - Sale ²⁵
	100.000	139.45	08/03/20	159.43	...	-19.98	2 of 8 - Sale ²⁵
	100.000	139.45	08/03/20	159.43	...	-19.98	3 of 8 - Sale ²⁵
	100.000	139.45	08/03/20	159.42	...	-19.97	4 of 8 - Sale ²⁵
	100.000	139.46	08/03/20	159.43	...	-19.97	5 of 8 - Sale ²⁵
	100.000	139.46	08/03/20	155.37	...	-15.91	6 of 8 - Sale ²⁵
	75.000	104.59	08/03/20	119.57	...	-14.98	7 of 8 - Sale ²⁵
	25.000	34.86	08/03/20	39.85	...	-4.99	8 of 8 - Sale ²⁵
08/03/20	1,000.000	1,394.54	Various	1,574.00	...	-179.46	Total of 8 transactions
	Security total:	14,061.31		14,975.43	439.03 W	-475.09	
CITIUS PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 17322U207 / Symbol:							
	4 transactions for 06/26/20. Total proceeds and cost reported to the IRS.						
	100.000	162.98	06/26/20	156.00	...	6.98	1 of 4 - Sale ²⁵
	100.000	162.99	06/26/20	156.00	...	6.99	2 of 4 - Sale ²⁵
	500.000	814.92	06/26/20	780.00	...	34.92	3 of 4 - Sale ²⁵
	800.000	1,303.87	06/26/20	1,248.00	...	55.87	4 of 4 - Sale ²⁵
06/26/20	1,500.000	2,444.76	Various	2,340.00	...	104.76	Total of 4 transactions
CLEANSPARK, INC. COMMON STOCK / CUSIP: 18452B209 / Symbol:							
07/28/20	1,000.000	5,869.75	07/28/20	5,970.00	100.25 W	0.00	Sale ²⁵
	4 transactions for 07/29/20. Total proceeds and cost reported to the IRS.						
	163.000	976.43	07/29/20	953.59	...	22.84	1 of 4 - Sale ²⁵
	200.000	1,198.07	07/29/20	1,170.05	...	28.02	2 of 4 - Sale ²⁵
	300.000	1,797.10	07/29/20	1,755.08	...	42.02	3 of 4 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CLEANSPARK, INC. COMMON STOCK / CUSIP: 18452B209 / Symbol: (cont'd)							
	337.000	2,018.74	07/29/20	1,971.53	...	47.21	4 of 4 - Sale ²⁵
07/29/20	1,000.000	5,990.34	Various	5,850.25	...	140.09	Total of 4 transactions
	Security total:	11,860.09		11,820.25	100.25 W	140.09	
CO-DIAGNOSTICS, INC. COMMON STOCK / CUSIP: 189763105 / Symbol:							
7 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	264.000	2,682.54	12/14/20	2,761.57	...	-79.03	1 of 7 - Sale ²⁵
	100.000	1,016.11	12/14/20	1,075.99	...	-59.88	2 of 7 - Sale ²⁵
	100.000	1,016.12	12/14/20	1,075.99	...	-59.87	3 of 7 - Sale ²⁵
	82.000	833.21	12/14/20	882.31	...	-49.10	4 of 7 - Sale ²⁵
	100.000	1,015.96	12/14/20	1,046.05	...	-30.09	5 of 7 - Sale ²⁵
	18.000	182.90	12/14/20	193.68	...	-10.78	6 of 7 - Sale ²⁵
	18.000	182.90	12/14/20	193.68	...	-10.78	7 of 7 - Sale ²⁵
12/14/20	682.000	6,929.74	Various	7,229.27	...	-299.53	Total of 7 transactions
4 transactions for 12/14/20. Total proceeds and cost reported to the IRS.							
	100.000	1,016.11	12/14/20	1,046.05	29.94 W	0.00	1 of 4 - Sale ²⁵
	100.000	1,016.11	12/14/20	1,046.05	29.94 W	0.00	2 of 4 - Sale ²⁵
	100.000	1,016.11	12/14/20	1,046.05	29.94 W	0.00	3 of 4 - Sale ²⁵
	18.000	182.90	12/14/20	188.29	5.39 W	0.00	4 of 4 - Sale ²⁵
12/14/20	318.000	3,231.23	Various	3,326.44	95.21 W	0.00	Total of 4 transactions
	Security total:	10,160.97		10,555.71	95.21 W	-299.53	
CONFORMIS, INC. COMMON STOCK / CUSIP: 20717E101 / Symbol:							
3 transactions for 12/28/20. Total proceeds and cost reported to the IRS.							
	2,500.000	2,057.15	12/28/20	2,212.50	...	-155.35	1 of 3 - Sale ²⁵
	2,400.000	1,974.87	12/28/20	2,124.00	...	-149.13	2 of 3 - Sale ²⁵
	100.000	82.29	12/28/20	88.50	...	-6.21	3 of 3 - Sale ²⁵
12/28/20	5,000.000	4,114.31	Various	4,425.00	...	-310.69	Total of 3 transactions
CREATIVE REALITIES, INC. COMMON STOCK / CUSIP: 22530J200 / Symbol:							
05/13/20	1,000.000	4,049.99	05/12/20	4,049.90	...	0.09	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIGITAL ALLY, INC. COMMON STOC K / CUSIP: 25382P208 / Symbol:							
06/05/20	1.000	2.56	06/05/20	2.55	...	0.01	Sale ²⁵
	4 transactions for 06/18/20. Total proceeds and cost reported to the IRS.						
	78.000	311.98	06/18/20	293.28	...	18.70	1 of 4 - Sale ²⁵
	200.000	799.96	06/18/20	752.00	...	47.96	2 of 4 - Sale ²⁵
	300.000	1,199.93	06/18/20	1,128.00	...	71.93	3 of 4 - Sale ²⁵
	422.000	1,687.91	06/18/20	1,586.72	...	101.19	4 of 4 - Sale ²⁵
06/18/20	1,000.000	3,999.78	Various	3,760.00	...	239.78	Total of 4 transactions
	12 transactions for 06/18/20. Total proceeds and cost reported to the IRS.						
	199.000	791.98	06/18/20	796.00	4.02 W	0.00	1 of 12 - Sale ²⁵
	101.000	401.96	06/18/20	404.00	2.04 W	0.00	2 of 12 - Sale ²⁵
	99.000	394.00	06/18/20	398.00	4.00 W	0.00	3 of 12 - Sale ²⁵
	100.000	397.99	06/18/20	402.02	4.03 W	0.00	4 of 12 - Sale ²⁵
	101.000	401.96	06/18/20	406.04	4.08 W	0.00	5 of 12 - Sale ²⁵
	97.000	386.04	06/18/20	391.92	5.88 W	0.00	6 of 12 - Sale ²⁵
	2.000	7.96	06/18/20	8.08	0.12 W	0.00	7 of 12 - Sale ²⁵
	100.000	397.99	06/18/20	404.03	6.04 W	0.00	8 of 12 - Sale ²⁵
	97.000	386.04	06/18/20	393.88	7.84 W	0.00	9 of 12 - Sale ²⁵
	101.000	401.96	06/18/20	408.08	6.12 W	0.00	10 of 12 - Sale ²⁵
	2.000	7.96	06/18/20	8.12	0.16 W	0.00	11 of 12 - Sale ²⁵
	1.000	3.98	06/18/20	4.06	0.08 W	0.00	12 of 12 - Sale ²⁵
06/18/20	1,000.000	3,979.82	Various	4,024.23	44.41 W	0.00	Total of 12 transactions
	8 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	1.000	3.65	07/16/20	3.16	...	0.49	1 of 8 - Sale ²⁵
	2.000	7.30	07/16/20	6.31	...	0.99	2 of 8 - Sale ²⁵
	97.000	354.03	07/16/20	306.25	...	47.78	3 of 8 - Sale ²⁵
	99.000	361.33	07/16/20	310.54	...	50.79	4 of 8 - Sale ²⁵
	101.000	368.62	07/16/20	316.84	...	51.78	5 of 8 - Sale ²⁵
	300.000	1,094.93	07/16/20	922.92	...	172.01	6 of 8 - Sale ²⁵
	300.000	1,094.93	07/16/20	922.92	...	172.01	7 of 8 - Sale ²⁵
	300.000	1,094.93	07/16/20	922.92	...	172.01	8 of 8 - Sale ²⁵
07/16/20	1,200.000	4,379.72	Various	3,711.86	...	667.86	Total of 8 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIGITAL ALLY, INC. COMMON STOC K / CUSIP: 25382P208 / Symbol: (cont'd)							
36 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
100.000		385.98	07/16/20	395.00	9.02 W	0.00	1 of 36 - Sale ²⁵
100.000		385.98	07/16/20	395.00	9.02 W	0.00	2 of 36 - Sale ²⁵
100.000		385.98	07/16/20	405.02	19.04 W	0.00	3 of 36 - Sale ²⁵
100.000		385.98	07/16/20	405.02	19.04 W	0.00	4 of 36 - Sale ²⁵
100.000		385.97	07/16/20	396.00	10.03 W	0.00	5 of 36 - Sale ²⁵
400.000		1,543.91	07/16/20	1,584.00	40.09 W	0.00	6 of 36 - Sale ²⁵
100.000		385.99	07/16/20	396.00	10.01 W	0.00	7 of 36 - Sale ²⁵
10.000		38.60	07/16/20	39.60	1.00 W	0.00	8 of 36 - Sale ²⁵
58.000		223.87	07/16/20	229.68	5.81 W	0.00	9 of 36 - Sale ²⁵
12.000		46.32	07/16/20	47.52	1.20 W	0.00	10 of 36 - Sale ²⁵
20.000		77.40	07/16/20	79.20	1.80 W	0.00	11 of 36 - Sale ²⁵
10.000		37.70	07/16/20	39.28	1.58 W	0.00	12 of 36 - Sale ²⁵
12.000		45.24	07/16/20	47.13	1.89 W	0.00	13 of 36 - Sale ²⁵
20.000		75.39	07/16/20	78.36	2.97 W	0.00	14 of 36 - Sale ²⁵
58.000		218.65	07/16/20	227.82	9.17 W	0.00	15 of 36 - Sale ²⁵
100.000		376.98	07/16/20	401.82	24.84 W	0.00	16 of 36 - Sale ²⁵
100.000		376.98	07/16/20	401.82	24.84 W	0.00	17 of 36 - Sale ²⁵
100.000		376.98	07/16/20	392.81	15.83 W	0.00	18 of 36 - Sale ²⁵
100.000		376.98	07/16/20	392.79	15.81 W	0.00	19 of 36 - Sale ²⁵
400.000		1,507.92	07/16/20	1,571.21	63.29 W	0.00	20 of 36 - Sale ²⁵
200.000		753.95	07/16/20	765.56	11.61 W	0.00	21 of 36 - Sale ²⁵
100.000		370.98	07/16/20	409.84	38.86 W	0.00	22 of 36 - Sale ²⁵
100.000		370.98	07/16/20	409.84	38.86 W	0.00	23 of 36 - Sale ²⁵
100.000		370.99	07/16/20	400.83	29.84 W	0.00	24 of 36 - Sale ²⁵
100.000		370.99	07/16/20	400.82	29.83 W	0.00	25 of 36 - Sale ²⁵
100.000		370.99	07/16/20	400.82	29.83 W	0.00	26 of 36 - Sale ²⁵
100.000		370.99	07/16/20	400.83	29.84 W	0.00	27 of 36 - Sale ²⁵
10.000		37.10	07/16/20	40.08	2.98 W	0.00	28 of 36 - Sale ²⁵
12.000		44.52	07/16/20	48.09	3.57 W	0.00	29 of 36 - Sale ²⁵
20.000		74.20	07/16/20	79.97	5.77 W	0.00	30 of 36 - Sale ²⁵
58.000		215.16	07/16/20	232.47	17.31 W	0.00	31 of 36 - Sale ²⁵
100.000		370.98	07/16/20	400.81	29.83 W	0.00	32 of 36 - Sale ²⁵
100.000		370.97	07/16/20	390.81	19.84 W	0.00	33 of 36 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIGITAL ALLY, INC. COMMON STOC K / CUSIP: 25382P208 / Symbol: (cont'd)							
	100.000	370.98	07/16/20	400.82	29.84 W	0.00	34 of 36 - Sale ²⁵
	100.000	370.98	07/16/20	390.80	19.82 W	0.00	35 of 36 - Sale ²⁵
	100.000	370.98	07/16/20	385.00	14.02 W	0.00	36 of 36 - Sale ²⁵
07/16/20	3,400.000	12,844.54	Various	13,482.47	637.93 W	0.00	Total of 36 transactions
	16 transactions for 07/27/20. Total proceeds and cost reported to the IRS.						
	50.000	163.99	07/27/20	182.93	18.94 W	0.00	1 of 16 - Sale ²⁵
	50.000	163.99	07/27/20	182.93	18.94 W	0.00	2 of 16 - Sale ²⁵
	10.000	32.80	07/27/20	35.78	2.98 W	0.00	3 of 16 - Sale ²⁵
	12.000	39.36	07/27/20	42.93	3.57 W	0.00	4 of 16 - Sale ²⁵
	20.000	65.60	07/27/20	71.37	5.77 W	0.00	5 of 16 - Sale ²⁵
	58.000	190.23	07/27/20	207.55	17.32 W	0.00	6 of 16 - Sale ²⁵
	100.000	327.98	07/27/20	366.86	38.88 W	0.00	7 of 16 - Sale ²⁵
	100.000	327.98	07/27/20	357.84	29.86 W	0.00	8 of 16 - Sale ²⁵
	100.000	327.98	07/27/20	357.83	29.85 W	0.00	9 of 16 - Sale ²⁵
	100.000	327.98	07/27/20	357.83	29.85 W	0.00	10 of 16 - Sale ²⁵
	100.000	327.98	07/27/20	357.84	29.86 W	0.00	11 of 16 - Sale ²⁵
	100.000	327.98	07/27/20	357.84	29.86 W	0.00	12 of 16 - Sale ²⁵
	100.000	327.98	07/27/20	357.83	29.85 W	0.00	13 of 16 - Sale ²⁵
	100.000	327.98	07/27/20	347.84	19.86 W	0.00	14 of 16 - Sale ²⁵
	100.000	327.98	07/27/20	347.82	19.84 W	0.00	15 of 16 - Sale ²⁵
	100.000	327.98	07/27/20	342.02	14.04 W	0.00	16 of 16 - Sale ²⁵
07/27/20	1,200.000	3,935.77	Various	4,275.04	339.27 W	0.00	Total of 16 transactions
07/29/20	100.000	317.64	07/29/20	346.42	...	-28.78	Sale ²⁵
	13 transactions for 07/29/20. Total proceeds and cost reported to the IRS.						
	50.000	158.49	07/29/20	182.22	23.73 W	0.00	1 of 13 - Sale ²⁵
	50.000	158.49	07/29/20	182.22	23.73 W	0.00	2 of 13 - Sale ²⁵
	100.000	316.98	07/29/20	365.44	48.46 W	0.00	3 of 13 - Sale ²⁵
	10.000	31.76	07/29/20	35.64	3.88 W	0.00	4 of 13 - Sale ²⁵
	12.000	38.12	07/29/20	42.76	4.64 W	0.00	5 of 13 - Sale ²⁵
	20.000	63.53	07/29/20	71.08	7.55 W	0.00	6 of 13 - Sale ²⁵
	58.000	184.23	07/29/20	206.72	22.49 W	0.00	7 of 13 - Sale ²⁵
	100.000	317.64	07/29/20	356.42	38.78 W	0.00	8 of 13 - Sale ²⁵
	100.000	317.64	07/29/20	356.41	38.77 W	0.00	9 of 13 - Sale ²⁵
	100.000	317.64	07/29/20	356.41	38.77 W	0.00	10 of 13 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
DIGITAL ALLY, INC. COMMON STOC K / CUSIP: 25382P208 / Symbol: (cont'd)							
	100.000	317.64	07/29/20	356.42	38.78 W	0.00	11 of 13 - Sale ²⁵
	100.000	317.64	07/29/20	356.42	38.78 W	0.00	12 of 13 - Sale ²⁵
	100.000	317.64	07/29/20	356.41	38.77 W	0.00	13 of 13 - Sale ²⁵
07/29/20	900.000	2,857.44	Various	3,224.57	367.13 W	0.00	Total of 13 transactions
	16 transactions for 08/04/20. Total proceeds and cost reported to the IRS.						
	100.000	337.99	08/04/20	391.46	...	-53.47	1 of 16 - Sale ²⁵
	100.000	337.97	08/04/20	381.78	...	-43.81	2 of 16 - Sale ²⁵
	100.000	337.99	08/04/20	381.78	...	-43.79	3 of 16 - Sale ²⁵
	100.000	337.98	08/04/20	381.77	...	-43.79	4 of 16 - Sale ²⁵
	100.000	337.98	08/04/20	381.77	...	-43.79	5 of 16 - Sale ²⁵
	100.000	337.98	08/04/20	381.77	...	-43.79	6 of 16 - Sale ²⁵
	79.000	267.00	08/04/20	301.61	...	-34.61	7 of 16 - Sale ²⁵
	50.000	169.24	08/04/20	195.23	...	-25.99	8 of 16 - Sale ²⁵
	50.000	169.25	08/04/20	195.23	...	-25.98	9 of 16 - Sale ²⁵
	58.000	196.03	08/04/20	221.43	...	-25.40	10 of 16 - Sale ²⁵
	100.000	338.49	08/04/20	362.84	...	-24.35	11 of 16 - Sale ²⁵
	100.000	338.49	08/04/20	357.04	...	-18.55	12 of 16 - Sale ²⁵
	21.000	70.98	08/04/20	80.17	...	-9.19	13 of 16 - Sale ²⁵
	20.000	67.60	08/04/20	76.15	...	-8.55	14 of 16 - Sale ²⁵
	12.000	40.56	08/04/20	45.80	...	-5.24	15 of 16 - Sale ²⁵
	10.000	33.80	08/04/20	38.18	...	-4.38	16 of 16 - Sale ²⁵
08/04/20	1,100.000	3,719.33	Various	4,174.01	...	-454.68	Total of 16 transactions
	2 transactions for 12/18/20. Total proceeds and cost reported to the IRS.						
	100.000	278.04	12/18/20	276.87	...	1.17	1 of 2 - Sale ²⁵
	900.000	2,502.28	12/18/20	2,491.83	...	10.45	2 of 2 - Sale ²⁵
12/18/20	1,000.000	2,780.32	Various	2,768.70	...	11.62	Total of 2 transactions
	7 transactions for 12/29/20. Total proceeds and cost reported to the IRS.						
	500.000	1,211.31	12/28/20	1,325.00	...	-113.69	1 of 7 - Sale ²⁵
	200.000	484.53	12/28/20	530.00	...	-45.47	2 of 7 - Sale ²⁵
	100.000	242.26	12/28/20	265.00	...	-22.74	3 of 7 - Sale ²⁵
	100.000	242.26	12/28/20	265.00	...	-22.74	4 of 7 - Sale ²⁵
	100.000	242.27	12/28/20	265.00	...	-22.73	5 of 7 - Sale ²⁵
	100.000	242.26	12/28/20	264.90	...	-22.64	6 of 7 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DIGITAL ALLY, INC. COMMON STOC K / CUSIP: 25382P208 / Symbol: (cont'd)							
	100.000	242.26	12/28/20	264.90	...	-22.64	7 of 7 - Sale ²⁵
12/29/20	1,200.000	2,907.15	Various	3,179.80	...	-272.65	Total of 7 transactions
	Security total:	41,724.07		42,949.65	1,388.74 W	163.16	
ELECTRAMECCANICA VEHICLES CORP . LTD. COMMON STOCK / CUSIP: 284849205 / Symbol:							
<i>7 transactions for 06/29/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	207.98	06/29/20	212.00	4.02 W	0.00	1 of 7 - Sale ²⁵
	200.000	415.96	06/29/20	424.00	8.04 W	0.00	2 of 7 - Sale ²⁵
	100.000	207.98	06/29/20	216.02	8.04 W	0.00	3 of 7 - Sale ²⁵
	200.000	415.96	06/29/20	432.04	16.08 W	0.00	4 of 7 - Sale ²⁵
	100.000	207.99	06/29/20	220.04	12.05 W	0.00	5 of 7 - Sale ²⁵
	100.000	207.98	06/29/20	224.05	16.07 W	0.00	6 of 7 - Sale ²⁵
	200.000	415.96	06/29/20	440.08	24.12 W	0.00	7 of 7 - Sale ²⁵
06/29/20	1,000.000	2,079.81	Various	2,168.23	88.42 W	0.00	Total of 7 transactions
<i>8 transactions for 07/21/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	339.98	07/21/20	355.07	...	-15.09	1 of 8 - Sale ²⁵
	50.000	170.00	07/21/20	175.53	...	-5.53	2 of 8 - Sale ²⁵
	50.000	170.00	07/21/20	175.53	...	-5.53	3 of 8 - Sale ²⁵
	46.000	156.40	07/21/20	161.49	...	-5.09	4 of 8 - Sale ²⁵
	29.000	98.60	07/21/20	101.81	...	-3.21	5 of 8 - Sale ²⁵
	20.000	68.00	07/21/20	70.21	...	-2.21	6 of 8 - Sale ²⁵
	5.000	17.00	07/21/20	17.55	...	-0.55	7 of 8 - Sale ²⁵
	700.000	2,379.85	07/21/20	2,373.00	...	6.85	8 of 8 - Sale ²⁵
07/21/20	1,000.000	3,399.83	Various	3,430.19	...	-30.36	Total of 8 transactions
	Security total:	5,479.64		5,598.42	88.42 W	-30.36	
ELECTROCORE, INC. COMMON STOCK / CUSIP: 28531P103 / Symbol:							
06/10/20	1,500.000	1,769.78	06/10/20	1,775.70	...	-5.92	Sale ²⁵
<i>4 transactions for 07/13/20. Total proceeds and cost reported to the IRS.</i>							
	500.000	1,209.91	07/13/20	1,360.04	...	-150.13	1 of 4 - Sale ²⁵
	299.000	723.53	07/13/20	813.31	...	-89.78	2 of 4 - Sale ²⁵
	200.000	483.96	07/13/20	544.00	...	-60.04	3 of 4 - Sale ²⁵
	1.000	2.42	07/13/20	2.72	...	-0.30	4 of 4 - Sale ²⁵
07/13/20	1,000.000	2,419.82	Various	2,720.07	...	-300.25	Total of 4 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ELECTROCORE, INC. COMMON STOCK / CUSIP: 28531P103 / Symbol: (cont'd)							
<i>4 transactions for 07/13/20. Total proceeds and cost reported to the IRS.</i>							
	399.000	997.42	07/13/20	1,077.30	59.86 W	-20.02	1 of 4 - Sale ²⁵
	500.000	1,249.91	07/13/20	1,350.00	100.09 W	0.00	2 of 4 - Sale ²⁵
	1.000	2.50	07/13/20	2.70	0.20 W	0.00	3 of 4 - Sale ²⁵
	200.000	499.98	07/13/20	540.00	40.02 W	0.00	4 of 4 - Sale ²⁵
07/13/20	1,100.000	2,749.81	Various	2,970.00	200.17 W	-20.02	Total of 4 transactions
	Security total:	6,939.41		7,465.77	200.17 W	-326.19	
ENDRA LIFE SCIENCES INC. COMMO N STOCK / CUSIP: 29273B104 / Symbol:							
07/02/20	2,000.000	2,179.71	07/02/20	2,180.00	...	-0.29	Sale ²⁵
EVER-GLORY INTERNATIONAL GROUP , INC. COMMON STOCK / CUSIP: 299766204 / Symbol:							
12/03/20	1.000	5.87	12/03/20	5.85	...	0.02	Sale ²⁵
EXELA TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 30162V102 / Symbol:							
<i>3 transactions for 07/28/20. Total proceeds and cost reported to the IRS.</i>							
	40.000	27.00	07/28/20	26.92	...	0.08	1 of 3 - Sale ²⁵
	600.000	404.98	07/28/20	403.80	...	1.18	2 of 3 - Sale ²⁵
	1,560.000	1,052.94	07/28/20	1,049.88	...	3.06	3 of 3 - Sale ²⁵
07/28/20	2,200.000	1,484.92	Various	1,480.60	...	4.32	Total of 3 transactions
FLUIDIGM CORPORATION COMMON ST OCK / CUSIP: 34385P108 / Symbol:							
05/04/20	1,000.000	4,045.09	05/04/20	3,929.40	...	115.69	Sale ²⁵
<i>4 transactions for 07/31/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	702.97	07/31/20	628.54	...	74.43	1 of 4 - Sale ²⁵
	253.000	1,778.52	07/31/20	1,590.21	...	188.31	2 of 4 - Sale ²⁵
	300.000	2,108.91	07/31/20	1,885.62	...	223.29	3 of 4 - Sale ²⁵
	547.000	3,845.25	07/31/20	3,438.11	...	407.14	4 of 4 - Sale ²⁵
07/31/20	1,200.000	8,435.65	Various	7,542.48	...	893.17	Total of 4 transactions
	Security total:	12,480.74		11,471.88	...	1,008.86	
GEE GROUP INC. / CUSIP: 36165A102 / Symbol:							
07/02/20	1,000.000	1,899.83	07/02/20	1,940.00	...	-40.17	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENETIC TECHNOLOGIES LTD SPON SORED ADR / CUSIP: 37185R307 / Symbol:							
20 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	118.000	920.36	07/15/20	1,043.16	...	-122.80	1 of 20 - Sale ²⁵
	73.000	569.38	07/15/20	683.33	...	-113.95	2 of 20 - Sale ²⁵
	109.000	850.16	07/15/20	963.59	...	-113.43	3 of 20 - Sale ²⁵
	100.000	779.96	07/15/20	884.03	...	-104.07	4 of 20 - Sale ²⁵
	10.000	78.00	07/15/20	88.41	...	-10.41	5 of 20 - Sale ²⁵
	9.000	70.20	07/15/20	79.56	...	-9.36	6 of 20 - Sale ²⁵
	8.000	62.40	07/15/20	70.72	...	-8.32	7 of 20 - Sale ²⁵
	1.000	2.82	07/15/20	2.78	...	0.04	8 of 20 - Sale ²⁵
	9.000	25.38	07/15/20	25.02	...	0.36	9 of 20 - Sale ²⁵
	15.000	42.30	07/15/20	41.70	...	0.60	10 of 20 - Sale ²⁵
	49.000	138.17	07/15/20	136.22	...	1.95	11 of 20 - Sale ²⁵
	50.000	141.00	07/15/20	139.00	...	2.00	12 of 20 - Sale ²⁵
	81.000	228.40	07/15/20	225.18	...	3.22	13 of 20 - Sale ²⁵
	100.000	281.99	07/15/20	278.00	...	3.99	14 of 20 - Sale ²⁵
	175.000	493.47	07/15/20	486.50	...	6.97	15 of 20 - Sale ²⁵
	200.000	563.96	07/15/20	556.00	...	7.96	16 of 20 - Sale ²⁵
	201.000	566.78	07/15/20	558.78	...	8.00	17 of 20 - Sale ²⁵
	270.000	761.35	07/15/20	750.60	...	10.75	18 of 20 - Sale ²⁵
	300.000	845.94	07/15/20	834.00	...	11.94	19 of 20 - Sale ²⁵
	549.000	1,548.07	07/15/20	1,526.22	...	21.85	20 of 20 - Sale ²⁵
07/15/20	2,427.000	8,970.09	Various	9,372.80	...	-402.71	Total of 20 transactions
5 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	182.000	1,419.55	07/15/20	1,514.24	56.71 W	-37.98	1 of 5 - Sale ²⁵
	73.000	569.37	07/15/20	607.36	37.99 W	0.00	2 of 5 - Sale ²⁵
	73.000	569.38	07/15/20	645.35	75.97 W	0.00	3 of 5 - Sale ²⁵
	18.000	140.39	07/15/20	149.76	9.37 W	0.00	4 of 5 - Sale ²⁵
	227.000	1,770.53	07/15/20	1,888.64	118.11 W	0.00	5 of 5 - Sale ²⁵
07/15/20	573.000	4,469.22	Various	4,805.35	298.15 W	-37.98	Total of 5 transactions
	Security total:	13,439.31		14,178.15	298.15 W	-440.69	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
06/05/20	1.000	5.81	06/05/20	5.85	0.04 W	0.00	Sale ²⁵
	5 transactions for 06/12/20. Total proceeds and cost reported to the IRS.						
	1.000	4.25	06/11/20	3.77	...	0.48	1 of 5 - Sale ²⁵
	182.000	773.46	06/11/20	678.86	...	94.60	2 of 5 - Sale ²⁵
	300.000	1,274.94	06/11/20	1,119.00	...	155.94	3 of 5 - Sale ²⁵
	200.000	849.96	06/11/20	687.98	...	161.98	4 of 5 - Sale ²⁵
	1,017.000	4,322.03	06/11/20	3,793.41	...	528.62	5 of 5 - Sale ²⁵
06/12/20	1,700.000	7,224.64	Various	6,283.02	...	941.62	Total of 5 transactions
	13 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	40.000	157.20	06/16/20	157.20	...	0.00	1 of 13 - Sale ²⁵
	70.000	275.09	06/16/20	275.09	...	0.00	2 of 13 - Sale ²⁵
	85.000	334.04	06/16/20	334.04	...	0.00	3 of 13 - Sale ²⁵
	40.000	157.20	06/16/20	157.20	...	0.00	4 of 13 - Sale ²⁵
	5.000	19.65	06/16/20	19.65	...	0.00	5 of 13 - Sale ²⁵
	7.000	27.09	06/16/20	26.64	...	0.45	6 of 13 - Sale ²⁵
	100.000	386.98	06/16/20	380.51	...	6.47	7 of 13 - Sale ²⁵
	70.000	273.68	06/16/20	266.36	...	7.32	8 of 13 - Sale ²⁵
	132.000	510.81	06/16/20	502.28	...	8.53	9 of 13 - Sale ²⁵
	153.000	592.08	06/16/20	582.19	...	9.89	10 of 13 - Sale ²⁵
	98.000	383.17	06/16/20	372.90	...	10.27	11 of 13 - Sale ²⁵
	240.000	928.74	06/16/20	913.20	...	15.54	12 of 13 - Sale ²⁵
	200.000	781.96	06/16/20	761.02	...	20.94	13 of 13 - Sale ²⁵
06/16/20	1,240.000	4,827.69	Various	4,748.28	...	79.41	Total of 13 transactions
	2 transactions for 06/16/20. Total proceeds and cost reported to the IRS.						
	500.000	1,964.89	06/16/20	1,964.95	0.06 W	0.00	1 of 2 - Sale ²⁵
	260.000	1,021.74	06/16/20	1,021.77	0.03 W	0.00	2 of 2 - Sale ²⁵
06/16/20	760.000	2,986.63	Various	2,986.72	0.09 W	0.00	Total of 2 transactions
07/02/20	1,000.000	2,559.92	07/02/20	2,520.00	...	39.92	Sale ²⁵
08/03/20	1,200.000	1,859.81	08/03/20	1,908.00	...	-48.19	Sale ²⁵
	Security total:	19,464.50		18,451.87	0.13 W	1,012.76	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GORDON POINTE ACQUISITION CORP . CLASS A COMMON STOCK / CUSIP: 382788107 / Symbol:							
<i>14 transactions for 06/16/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	46.80	06/12/20	46.40	...	0.40	1 of 14 - Sale ²⁵
	5.000	58.50	06/12/20	58.00	...	0.50	2 of 14 - Sale ²⁵
	6.000	70.20	06/12/20	69.60	...	0.60	3 of 14 - Sale ²⁵
	4.000	46.84	06/12/20	46.04	...	0.80	4 of 14 - Sale ²⁵
	25.000	292.50	06/12/20	290.00	...	2.50	5 of 14 - Sale ²⁵
	28.000	327.59	06/12/20	324.80	...	2.79	6 of 14 - Sale ²⁵
	8.000	93.60	06/12/20	88.80	...	4.80	7 of 14 - Sale ²⁵
	50.000	584.98	06/12/20	580.00	...	4.98	8 of 14 - Sale ²⁵
	21.000	245.70	06/12/20	233.10	...	12.60	9 of 14 - Sale ²⁵
	132.000	1,544.35	06/12/20	1,531.20	...	13.15	10 of 14 - Sale ²⁵
	150.000	1,754.94	06/12/20	1,740.00	...	14.94	11 of 14 - Sale ²⁵
	96.000	1,123.16	06/12/20	1,104.96	...	18.20	12 of 14 - Sale ²⁵
	68.000	795.57	06/12/20	754.80	...	40.77	13 of 14 - Sale ²⁵
	103.000	1,205.06	06/12/20	1,143.30	...	61.76	14 of 14 - Sale ²⁵
06/16/20	700.000	8,189.79	Various	8,011.00	...	178.79	Total of 14 transactions
GUARDION HEALTH SCIENCES, INC. COMMON STOCK / CUSIP: 40145Q203 / Symbol:							
06/03/20	2,000.000	1,023.95	06/03/20	1,068.80	...	-44.85	Sale ²⁵
HTG MOLECULAR DIAGNOSTICS, INC . COMMON STOCK / CUSIP: 40434H104 / Symbol:							
<i>10 transactions for 05/15/20. Total proceeds and cost reported to the IRS.</i>							
	193.000	87.81	05/15/20	101.23	...	-13.42	1 of 10 - Sale ²⁵
	200.000	90.99	05/15/20	96.00	...	-5.01	2 of 10 - Sale ²⁵
	200.000	90.99	05/15/20	96.00	...	-5.01	3 of 10 - Sale ²⁵
	200.000	90.99	05/15/20	96.00	...	-5.01	4 of 10 - Sale ²⁵
	200.000	90.99	05/15/20	96.00	...	-5.01	5 of 10 - Sale ²⁵
	200.000	91.00	05/15/20	96.00	...	-5.00	6 of 10 - Sale ²⁵
	200.000	91.00	05/15/20	96.00	...	-5.00	7 of 10 - Sale ²⁵
	186.000	84.63	05/15/20	89.28	...	-4.65	8 of 10 - Sale ²⁵
	7.000	3.18	05/15/20	3.67	...	-0.49	9 of 10 - Sale ²⁵
	7.000	3.18	05/15/20	3.52	...	-0.34	10 of 10 - Sale ²⁵
05/15/20	1,593.000	724.76	Various	773.70	...	-48.94	Total of 10 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HTG MOLECULAR DIAGNOSTICS, INC . COMMON STOCK / CUSIP: 40434H104 / Symbol: (cont'd)							
3 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	200.000	91.45	05/15/20	95.80	4.35 W	0.00	1 of 3 - Sale ²⁵
	200.000	91.45	05/15/20	100.35	8.90 W	0.00	2 of 3 - Sale ²⁵
	7.000	3.20	05/15/20	3.36	0.16 W	0.00	3 of 3 - Sale ²⁵
05/15/20	407.000	186.10	Various	199.51	13.41 W	0.00	Total of 3 transactions
	Security total:	910.86		973.21	13.41 W	-48.94	
HEAT BIOLOGICS, INC. COMMON STOCK / CUSIP: 42237K300 / Symbol:							
8 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	44.000	36.52	06/11/20	35.64	...	0.88	1 of 8 - Sale ²⁵
	100.000	82.99	06/11/20	80.99	...	2.00	2 of 8 - Sale ²⁵
	100.000	82.99	06/11/20	80.99	...	2.00	3 of 8 - Sale ²⁵
	100.000	82.99	06/11/20	80.73	...	2.26	4 of 8 - Sale ²⁵
	156.000	129.46	06/11/20	126.34	...	3.12	5 of 8 - Sale ²⁵
	156.000	129.46	06/11/20	126.34	...	3.12	6 of 8 - Sale ²⁵
	344.000	285.47	06/11/20	278.61	...	6.86	7 of 8 - Sale ²⁵
	500.000	414.93	06/11/20	404.95	...	9.98	8 of 8 - Sale ²⁵
06/26/20	1,500.000	1,244.81	Various	1,214.59	...	30.22	Total of 8 transactions
8 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	23.000	32.31	07/15/20	31.97	...	0.34	1 of 8 - Sale ²⁵
	77.000	108.56	07/15/20	107.03	...	1.53	2 of 8 - Sale ²⁵
	102.000	143.80	07/15/20	141.78	...	2.02	3 of 8 - Sale ²⁵
	200.000	281.96	07/15/20	278.00	...	3.96	4 of 8 - Sale ²⁵
	200.000	281.97	07/15/20	278.00	...	3.97	5 of 8 - Sale ²⁵
	300.000	421.47	07/15/20	417.00	...	4.47	6 of 8 - Sale ²⁵
	298.000	420.13	07/15/20	414.22	...	5.91	7 of 8 - Sale ²⁵
	300.000	422.96	07/15/20	417.00	...	5.96	8 of 8 - Sale ²⁵
07/15/20	1,500.000	2,113.16	Various	2,085.00	...	28.16	Total of 8 transactions
3 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	58.000	107.29	07/16/20	106.72	...	0.57	1 of 3 - Sale ²⁵
	1,042.000	1,927.53	07/16/20	1,917.28	...	10.25	2 of 3 - Sale ²⁵
	1,100.000	2,023.82	07/16/20	2,013.00	...	10.82	3 of 3 - Sale ²⁵
07/16/20	2,200.000	4,058.64	Various	4,037.00	...	21.64	Total of 3 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEAT BIOLOGICS, INC. COMMON STOCK / CUSIP: 42237K300 / Symbol: (cont'd)							
<i>8 transactions for 07/16/20. Total proceeds and cost reported to the IRS.</i>							
	1,000.000	1,839.84	07/16/20	1,900.00	60.16 W	0.00	1 of 8 - Sale ²⁵
	1,000.000	1,849.84	07/16/20	1,900.16	50.32 W	0.00	2 of 8 - Sale ²⁵
	1,000.000	1,849.84	07/16/20	1,940.32	90.48 W	0.00	3 of 8 - Sale ²⁵
	1,100.000	2,034.82	07/16/20	2,079.00	44.18 W	0.00	4 of 8 - Sale ²⁵
	579.000	1,146.32	07/16/20	1,221.97	75.65 W	0.00	5 of 8 - Sale ²⁵
	150.000	296.98	07/16/20	316.57	19.59 W	0.00	6 of 8 - Sale ²⁵
	200.000	395.97	07/16/20	412.03	16.06 W	0.00	7 of 8 - Sale ²⁵
	271.000	536.53	07/16/20	571.94	35.41 W	0.00	8 of 8 - Sale ²⁵
07/16/20	5,300.000	9,950.14	Various	10,341.99	391.85 W	0.00	Total of 8 transactions
<i>6 transactions for 07/28/20. Total proceeds and cost reported to the IRS.</i>							
	97.000	202.72	07/28/20	216.26	...	-13.54	1 of 6 - Sale ²⁵
	100.000	209.09	07/28/20	219.00	...	-9.91	2 of 6 - Sale ²⁵
	16.000	33.44	07/28/20	33.90	...	-0.46	3 of 6 - Sale ²⁵
	3.000	6.27	07/28/20	6.69	...	-0.42	4 of 6 - Sale ²⁵
	70.000	154.69	07/28/20	151.20	...	3.49	5 of 6 - Sale ²⁵
	830.000	1,834.16	07/28/20	1,792.86	...	41.30	6 of 6 - Sale ²⁵
07/28/20	1,116.000	2,440.37	Various	2,419.91	...	20.46	Total of 6 transactions
<i>15 transactions for 07/28/20. Total proceeds and cost reported to the IRS.</i>							
	300.000	626.94	07/28/20	635.70	6.31 W	-2.45	1 of 15 - Sale ²⁵
	100.000	220.98	07/28/20	225.06	4.08 W	0.00	2 of 15 - Sale ²⁵
	4.000	8.84	07/28/20	9.04	0.20 W	0.00	3 of 15 - Sale ²⁵
	100.000	220.98	07/28/20	221.03	0.05 W	0.00	4 of 15 - Sale ²⁵
	150.000	331.47	07/28/20	339.09	7.62 W	0.00	5 of 15 - Sale ²⁵
	271.000	598.87	07/28/20	612.64	13.77 W	0.00	6 of 15 - Sale ²⁵
	475.000	1,049.67	07/28/20	1,073.81	24.14 W	0.00	7 of 15 - Sale ²⁵
	100.000	208.98	07/28/20	219.93	10.95 W	0.00	8 of 15 - Sale ²⁵
	100.000	208.98	07/28/20	215.98	7.00 W	0.00	9 of 15 - Sale ²⁵
	4.000	8.36	07/28/20	8.68	0.32 W	0.00	10 of 15 - Sale ²⁵
	100.000	208.98	07/28/20	211.95	2.97 W	0.00	11 of 15 - Sale ²⁵
	150.000	313.48	07/28/20	325.47	11.99 W	0.00	12 of 15 - Sale ²⁵
	271.000	566.34	07/28/20	588.02	21.68 W	0.00	13 of 15 - Sale ²⁵
	475.000	992.67	07/28/20	1,030.66	37.99 W	0.00	14 of 15 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HEAT BIOLOGICS, INC. COMMON STOCK / CUSIP: 42237K300 / Symbol: (cont'd)							
	284.000	593.52	07/28/20	601.80	8.28 W	0.00	15 of 15 - Sale ²⁵
07/28/20	2,884.000	6,159.06	Various	6,318.86	157.35 W	-2.45	Total of 15 transactions
	8 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	475.000	968.92	08/10/20	1,035.49	...	-66.57	1 of 8 - Sale ²⁵
	271.000	552.80	08/10/20	590.78	...	-37.98	2 of 8 - Sale ²⁵
	284.000	579.31	08/10/20	604.68	...	-25.37	3 of 8 - Sale ²⁵
	150.000	305.97	08/10/20	326.99	...	-21.02	4 of 8 - Sale ²⁵
	216.000	440.60	08/10/20	459.91	...	-19.31	5 of 8 - Sale ²⁵
	68.000	138.71	08/10/20	144.82	...	-6.11	6 of 8 - Sale ²⁵
	32.000	65.27	08/10/20	68.15	...	-2.88	7 of 8 - Sale ²⁵
	4.000	8.16	08/10/20	8.72	...	-0.56	8 of 8 - Sale ²⁵
08/11/20	1,500.000	3,059.74	Various	3,239.54	...	-179.80	Total of 8 transactions
	Security total:	29,025.92		29,656.89	549.20 W	-81.77	
HERON THERAPEUTICS, INC. COMMON STOCK / CUSIP: 427746102 / Symbol:							
	4 transactions for 07/14/20. Total proceeds and cost reported to the IRS.						
	228.000	3,659.29	07/14/20	3,782.52	...	-123.23	1 of 4 - Sale ²⁵
	100.000	1,604.95	07/14/20	1,659.00	...	-54.05	2 of 4 - Sale ²⁵
	72.000	1,155.56	07/14/20	1,194.48	...	-38.92	3 of 4 - Sale ²⁵
	70.000	1,123.47	07/14/20	1,161.30	...	-37.83	4 of 4 - Sale ²⁵
07/14/20	470.000	7,543.27	Various	7,797.30	...	-254.03	Total of 4 transactions
HERTZ GLOBAL HOLDINGS, INC. COMMON STOCK / CUSIP: 42806J106 / Symbol:							
06/05/20	112.000	335.99	03/24/20	949.75	...	-613.76	Sale ²⁵
HOTH THERAPEUTICS, INC. COMMON STOCK / CUSIP: 44148G105 / Symbol:							
06/08/20	1.000	2.90	06/08/20	2.88	...	0.02	Sale ²⁵
07/17/20	1,200.000	3,961.33	07/17/20	4,416.00	454.67 W	0.00	Sale ²⁵
	18 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	95.000	308.27	07/31/20	317.19	8.92 W	0.00	1 of 18 - Sale ²⁵
	100.000	324.49	07/31/20	333.89	9.40 W	0.00	2 of 18 - Sale ²⁵
	35.000	113.40	07/31/20	116.86	3.46 W	0.00	3 of 18 - Sale ²⁵
	16.000	51.84	07/31/20	53.42	1.58 W	0.00	4 of 18 - Sale ²⁵
	750.000	2,429.85	07/31/20	2,504.17	74.32 W	0.00	5 of 18 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
HOTH THERAPEUTICS, INC. COMMON STOCK / CUSIP: 44148G105 / Symbol: (cont'd)							
	4.000	12.96	07/31/20	13.36	0.40 W	0.00	6 of 18 - Sale ²⁵
	50.000	161.50	08/10/20	164.69	3.19 W	0.00	7 of 18 - Sale ²⁵
	45.000	145.35	08/10/20	148.23	2.88 W	0.00	8 of 18 - Sale ²⁵
	55.000	177.64	08/10/20	181.17	3.53 W	0.00	9 of 18 - Sale ²⁵
	1.000	3.23	08/10/20	3.29	0.06 W	0.00	10 of 18 - Sale ²⁵
	16.000	51.52	08/10/20	52.78	1.26 W	0.00	11 of 18 - Sale ²⁵
	35.000	112.70	08/10/20	115.46	2.76 W	0.00	12 of 18 - Sale ²⁵
	44.000	141.68	08/10/20	144.94	3.26 W	0.00	13 of 18 - Sale ²⁵
	254.000	817.85	08/10/20	837.97	20.12 W	0.00	14 of 18 - Sale ²⁵
	200.000	643.98	08/10/20	715.78	71.80 W	0.00	15 of 18 - Sale ²⁵
	100.000	321.99	08/10/20	329.91	7.92 W	0.00	16 of 18 - Sale ²⁵
	1.000	3.22	08/10/20	3.30	0.08 W	0.00	17 of 18 - Sale ²⁵
	199.000	640.75	08/10/20	656.52	15.77 W	0.00	18 of 18 - Sale ²⁵
08/10/20	2,000.000	6,462.22	Various	6,692.93	230.71 W	0.00	Total of 18 transactions
	Security total:	10,426.45		11,111.81	685.38 W	0.02	
HOUGHTON MIFFLIN HARCOURT COMP ANY COMMON STOCK / CUSIP: 44157R109 / Symbol:							
07/28/20	1.000	2.62	07/28/20	2.63	...	-0.01	Sale ²⁵
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
06/09/20	2,000.000	1,829.73	06/09/20	1,819.60	...	10.13	Sale ²⁵
IMAX CORP / CUSIP: 45245E109 / Symbol:							
6 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	8.000	98.80	06/18/20	97.60	...	1.20	1 of 6 - Sale ²⁵
	92.000	1,136.16	06/18/20	1,122.40	...	13.76	2 of 6 - Sale ²⁵
	100.000	1,234.96	06/18/20	1,220.00	...	14.96	3 of 6 - Sale ²⁵
	100.000	1,234.96	06/18/20	1,220.00	...	14.96	4 of 6 - Sale ²⁵
	100.000	1,234.96	06/18/20	1,213.00	...	21.96	5 of 6 - Sale ²⁵
	100.000	1,234.96	06/18/20	1,213.00	...	21.96	6 of 6 - Sale ²⁵
07/15/20	500.000	6,174.80	Various	6,086.00	...	88.80	Total of 6 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IMMUTEP LIMITED AMERICAN DEPOS ITARY SHARES / CUSIP: 45257L108 / Symbol:							
12 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	200.000	1,230.07	12/10/20	1,508.17	...	-278.10	1 of 12 - Sale ²⁵
	150.000	922.55	12/10/20	1,131.13	...	-208.58	2 of 12 - Sale ²⁵
	150.000	922.55	12/10/20	1,131.12	...	-208.57	3 of 12 - Sale ²⁵
	100.000	615.03	12/10/20	754.10	...	-139.07	4 of 12 - Sale ²⁵
	100.000	615.04	12/10/20	754.10	...	-139.06	5 of 12 - Sale ²⁵
	88.000	541.23	12/10/20	663.60	...	-122.37	6 of 12 - Sale ²⁵
	50.000	307.52	12/10/20	377.54	...	-70.02	7 of 12 - Sale ²⁵
	50.000	307.52	12/10/20	377.05	...	-69.53	8 of 12 - Sale ²⁵
	50.000	307.52	12/10/20	377.04	...	-69.52	9 of 12 - Sale ²⁵
	45.000	276.76	12/10/20	339.33	...	-62.57	10 of 12 - Sale ²⁵
	12.000	73.80	12/10/20	90.48	...	-16.68	11 of 12 - Sale ²⁵
	5.000	30.75	12/10/20	37.70	...	-6.95	12 of 12 - Sale ²⁵
12/10/20	1,000.000	6,150.34	Various	7,541.36	...	-1,391.02	Total of 12 transactions
27 transactions for 12/10/20. Total proceeds and cost reported to the IRS.							
	100.000	595.97	12/10/20	679.00	83.03 W	0.00	1 of 27 - Sale ²⁵
	100.000	595.97	12/10/20	679.00	83.03 W	0.00	2 of 27 - Sale ²⁵
	800.000	4,767.80	12/10/20	5,432.00	664.20 W	0.00	3 of 27 - Sale ²⁵
	12.000	80.40	12/10/20	88.44	8.04 W	0.00	4 of 27 - Sale ²⁵
	88.000	589.58	12/10/20	648.59	59.01 W	0.00	5 of 27 - Sale ²⁵
	100.000	669.97	12/10/20	737.03	67.06 W	0.00	6 of 27 - Sale ²⁵
	605.000	4,053.34	12/10/20	4,459.00	405.66 W	0.00	7 of 27 - Sale ²⁵
	100.000	669.97	12/10/20	737.03	67.06 W	0.00	8 of 27 - Sale ²⁵
	95.000	636.47	12/10/20	700.17	63.70 W	0.00	9 of 27 - Sale ²⁵
	12.000	69.96	12/10/20	86.76	16.80 W	0.00	10 of 27 - Sale ²⁵
	88.000	513.03	12/10/20	636.29	123.26 W	0.00	11 of 27 - Sale ²⁵
	100.000	582.98	12/10/20	723.06	140.08 W	0.00	12 of 27 - Sale ²⁵
	200.000	1,165.97	12/10/20	1,446.10	280.13 W	0.00	13 of 27 - Sale ²⁵
	200.000	1,165.97	12/10/20	1,446.10	280.13 W	0.00	14 of 27 - Sale ²⁵
	200.000	1,165.97	12/10/20	1,446.11	280.14 W	0.00	15 of 27 - Sale ²⁵
	5.000	29.15	12/10/20	36.15	7.00 W	0.00	16 of 27 - Sale ²⁵
	95.000	553.84	12/10/20	686.90	133.06 W	0.00	17 of 27 - Sale ²⁵
	100.000	582.98	12/10/20	723.06	140.08 W	0.00	18 of 27 - Sale ²⁵
	5.000	34.95	12/10/20	42.25	7.30 W	0.00	19 of 27 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IMMUTEP LIMITED AMERICAN DEPOS ITARY SHARES / CUSIP: 45257L108 / Symbol: (cont'd)							
	12.000	83.88	12/10/20	101.40	17.52 W	0.00	20 of 27 - Sale ²⁵
	88.000	615.10	12/10/20	743.66	128.56 W	0.00	21 of 27 - Sale ²⁵
	95.000	664.04	12/10/20	802.81	138.77 W	0.00	22 of 27 - Sale ²⁵
	100.000	698.98	12/10/20	845.08	146.10 W	0.00	23 of 27 - Sale ²⁵
	100.000	698.98	12/10/20	845.08	146.10 W	0.00	24 of 27 - Sale ²⁵
	200.000	1,397.96	12/10/20	1,690.13	292.17 W	0.00	25 of 27 - Sale ²⁵
	200.000	1,397.97	12/10/20	1,690.13	292.16 W	0.00	26 of 27 - Sale ²⁵
	200.000	1,397.96	12/10/20	1,690.14	292.18 W	0.00	27 of 27 - Sale ²⁵
12/10/20	4,000.000	25,479.14	Various	29,841.47	4,362.33 W	0.00	Total of 27 transactions
	Security total:	31,629.48		37,382.83	4,362.33 W	-1,391.02	
INCYTE CORP. COMMON STOCK / CUSIP: 45337C102 / Symbol:							
07/30/20	100.000	9,987.76	07/30/20	9,953.57	...	34.19	Sale ²⁵
INFINITY PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 45665G303 / Symbol:							
11 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	1,029.000	916.91	06/09/20	1,131.80	...	-214.89	1 of 11 - Sale ²⁵
	100.000	90.99	06/09/20	109.99	...	-19.00	2 of 11 - Sale ²⁵
	100.000	90.99	06/09/20	109.99	...	-19.00	3 of 11 - Sale ²⁵
	100.000	90.99	06/09/20	109.99	...	-19.00	4 of 11 - Sale ²⁵
	100.000	90.99	06/09/20	109.99	...	-19.00	5 of 11 - Sale ²⁵
	100.000	90.99	06/09/20	109.99	...	-19.00	6 of 11 - Sale ²⁵
	100.000	90.99	06/09/20	109.99	...	-19.00	7 of 11 - Sale ²⁵
	100.000	92.49	06/09/20	109.99	...	-17.50	8 of 11 - Sale ²⁵
	100.000	92.49	06/09/20	109.99	...	-17.50	9 of 11 - Sale ²⁵
	100.000	92.49	06/09/20	109.99	...	-17.50	10 of 11 - Sale ²⁵
	71.000	65.67	06/09/20	78.09	...	-12.42	11 of 11 - Sale ²⁵
06/30/20	2,000.000	1,805.99	Various	2,199.80	...	-393.81	Total of 11 transactions
INPIXON COMMON STOCK / CUSIP: 45790J800 / Symbol:							
6 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	450.000	701.93	07/24/20	702.00	...	-0.07	1 of 6 - Sale ²⁵
	300.000	467.96	07/24/20	468.00	...	-0.04	2 of 6 - Sale ²⁵
	200.000	311.98	07/24/20	312.00	...	-0.02	3 of 6 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INPIXON COMMON STOCK / CUSIP: 45790J800 / Symbol: (cont'd)							
	11.000	17.16	07/24/20	17.16	...	0.00	4 of 6 - Sale ²⁵
	24.000	37.44	07/24/20	37.44	...	0.00	5 of 6 - Sale ²⁵
	15.000	23.40	07/24/20	23.40	...	0.00	6 of 6 - Sale ²⁵
07/24/20	1,000.000	1,559.87	Various	1,560.00	...	-0.13	Total of 6 transactions
INUVO, INC. / CUSIP: 46122W204 / Symbol:							
3 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	100.000	67.99	07/21/20	63.00	...	4.99	1 of 3 - Sale ²⁵
	150.000	101.98	07/21/20	94.50	...	7.48	2 of 3 - Sale ²⁵
	1,350.000	917.81	07/21/20	850.50	...	67.31	3 of 3 - Sale ²⁵
07/21/20	1,600.000	1,087.78	Various	1,008.00	...	79.78	Total of 3 transactions
5 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	45.000	30.15	07/22/20	29.02	...	1.13	1 of 5 - Sale ²⁵
	100.000	66.99	07/22/20	64.50	...	2.49	2 of 5 - Sale ²⁵
	100.000	67.99	07/22/20	64.50	...	3.49	3 of 5 - Sale ²⁵
	200.000	133.97	07/22/20	129.00	...	4.97	4 of 5 - Sale ²⁵
	1,155.000	773.69	07/22/20	744.98	...	28.71	5 of 5 - Sale ²⁵
07/22/20	1,600.000	1,072.79	Various	1,032.00	...	40.79	Total of 5 transactions
9 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	950.000	551.02	07/23/20	557.65	...	-6.63	1 of 9 - Sale ²⁵
	100.000	58.00	07/23/20	59.38	...	-1.38	2 of 9 - Sale ²⁵
	100.000	58.00	07/23/20	59.37	...	-1.37	3 of 9 - Sale ²⁵
	100.000	58.00	07/23/20	59.37	...	-1.37	4 of 9 - Sale ²⁵
	50.000	29.00	07/23/20	29.69	...	-0.69	5 of 9 - Sale ²⁵
	50.000	29.00	07/23/20	29.68	...	-0.68	6 of 9 - Sale ²⁵
	50.000	29.00	07/23/20	29.68	...	-0.68	7 of 9 - Sale ²⁵
	50.000	29.00	07/23/20	29.67	...	-0.67	8 of 9 - Sale ²⁵
	50.000	29.01	07/23/20	29.32	...	-0.31	9 of 9 - Sale ²⁵
07/23/20	1,500.000	870.03	Various	883.81	...	-13.78	Total of 9 transactions
5 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	100.000	57.99	07/23/20	58.66	0.67 W	0.00	1 of 5 - Sale ²⁵
	100.000	57.99	07/23/20	58.66	0.67 W	0.00	2 of 5 - Sale ²⁵
	100.000	57.99	07/23/20	58.66	0.67 W	0.00	3 of 5 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INUVO, INC. / CUSIP: 46122W204 / Symbol: (cont'd)							
	100.000	57.98	07/23/20	58.66	0.68 W	0.00	4 of 5 - Sale ²⁵
	100.000	57.99	07/23/20	58.66	0.67 W	0.00	5 of 5 - Sale ²⁵
07/23/20	500.000	289.94	Various	293.30	3.36 W	0.00	Total of 5 transactions
	Security total:	3,320.54		3,217.11	3.36 W	106.79	
IZEA WORLDWIDE, INC. COMMON STOCK / CUSIP: 46604H105 / Symbol:							
5 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1,686.000	3,742.63	06/09/20	3,759.60	...	-16.97	1 of 5 - Sale ²⁵
	25.000	55.50	06/10/20	51.25	...	4.25	2 of 5 - Sale ²⁵
	41.000	91.01	06/10/20	84.05	...	6.96	3 of 5 - Sale ²⁵
	248.000	550.52	06/10/20	508.43	...	42.09	4 of 5 - Sale ²⁵
	1,686.000	3,742.63	06/10/20	3,439.44	...	303.19	5 of 5 - Sale ²⁵
06/10/20	3,686.000	8,182.29	Various	7,842.77	...	339.52	Total of 5 transactions
3 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	248.000	550.51	06/09/20	553.02	2.51 W	0.00	1 of 3 - Sale ²⁵
	41.000	91.02	06/09/20	91.43	0.41 W	0.00	2 of 3 - Sale ²⁵
	25.000	55.50	06/09/20	55.75	0.25 W	0.00	3 of 3 - Sale ²⁵
06/10/20	314.000	697.03	Various	700.20	3.17 W	0.00	Total of 3 transactions
08/05/20	1,000.000	1,684.84	08/05/20	1,547.10	...	137.74	Sale ²⁵
08/06/20	1,500.000	2,571.51	08/06/20	2,667.00	...	-95.49	Sale ²⁵
12/16/20	2,000.000	2,005.91	12/16/20	2,100.00	94.09 W	0.00	Sale ²⁵
9 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	1,000.000	1,879.84	12/23/20	1,569.50	...	310.34	1 of 9 - Sale ²⁵
	2,000.000	3,759.68	12/23/20	3,233.09	...	526.59	2 of 9 - Sale ²⁵
	3,000.000	5,639.52	12/23/20	4,579.20	...	1,060.32	3 of 9 - Sale ²⁵
	3.000	5.72	12/24/20	5.67	...	0.05	4 of 9 - Sale ²⁵
	9.000	17.10	12/24/20	17.01	...	0.09	5 of 9 - Sale ²⁵
	116.000	220.39	12/24/20	219.24	...	1.15	6 of 9 - Sale ²⁵
	200.000	380.98	12/24/20	378.00	...	2.98	7 of 9 - Sale ²⁵
	1,000.000	1,899.83	12/24/20	1,890.00	...	9.83	8 of 9 - Sale ²⁵
	1,483.000	2,817.45	12/24/20	2,802.87	...	14.58	9 of 9 - Sale ²⁵
12/24/20	8,811.000	16,620.51	Various	14,694.58	...	1,925.93	Total of 9 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IZEA WORLDWIDE, INC. COMMON ST OCK / CUSIP: 46604H105 / Symbol: (cont'd)							
27 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	200.000	371.98	12/24/20	378.00	6.02 W	0.00	1 of 27 - Sale ²⁵
	100.000	185.99	12/24/20	189.00	3.01 W	0.00	2 of 27 - Sale ²⁵
	100.000	185.99	12/24/20	189.00	3.01 W	0.00	3 of 27 - Sale ²⁵
	100.000	185.99	12/24/20	189.00	3.01 W	0.00	4 of 27 - Sale ²⁵
	200.000	371.98	12/24/20	378.00	6.02 W	0.00	5 of 27 - Sale ²⁵
	100.000	185.99	12/24/20	189.00	3.01 W	0.00	6 of 27 - Sale ²⁵
	200.000	371.98	12/24/20	378.00	6.02 W	0.00	7 of 27 - Sale ²⁵
	100.000	185.99	12/24/20	189.00	3.01 W	0.00	8 of 27 - Sale ²⁵
	200.000	371.98	12/24/20	378.00	6.02 W	0.00	9 of 27 - Sale ²⁵
	200.000	371.98	12/24/20	378.00	6.02 W	0.00	10 of 27 - Sale ²⁵
	100.000	185.99	12/24/20	189.00	3.01 W	0.00	11 of 27 - Sale ²⁵
	100.000	185.99	12/24/20	189.00	3.01 W	0.00	12 of 27 - Sale ²⁵
	100.000	185.99	12/24/20	189.00	3.01 W	0.00	13 of 27 - Sale ²⁵
	100.000	185.99	12/24/20	189.00	3.01 W	0.00	14 of 27 - Sale ²⁵
	100.000	185.99	12/24/20	189.00	3.01 W	0.00	15 of 27 - Sale ²⁵
	100.000	185.99	12/24/20	189.00	3.01 W	0.00	16 of 27 - Sale ²⁵
	100.000	185.99	12/24/20	189.00	3.01 W	0.00	17 of 27 - Sale ²⁵
	100.000	185.99	12/24/20	189.00	3.01 W	0.00	18 of 27 - Sale ²⁵
	100.000	185.99	12/24/20	189.00	3.01 W	0.00	19 of 27 - Sale ²⁵
	200.000	371.98	12/24/20	378.00	6.02 W	0.00	20 of 27 - Sale ²⁵
	100.000	185.99	12/24/20	189.00	3.01 W	0.00	21 of 27 - Sale ²⁵
	100.000	185.99	12/24/20	189.00	3.01 W	0.00	22 of 27 - Sale ²⁵
	60.000	111.59	12/24/20	113.40	1.81 W	0.00	23 of 27 - Sale ²⁵
	40.000	74.40	12/24/20	75.60	1.20 W	0.00	24 of 27 - Sale ²⁵
	100.000	185.99	12/24/20	189.00	3.01 W	0.00	25 of 27 - Sale ²⁵
	100.000	185.99	12/24/20	189.00	3.01 W	0.00	26 of 27 - Sale ²⁵
	1,089.000	2,025.36	12/24/20	2,058.21	32.85 W	0.00	27 of 27 - Sale ²⁵
12/24/20	4,189.000	7,791.05	Various	7,917.21	126.16 W	0.00	Total of 27 transactions
40 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	5,200.000	8,475.19	12/28/20	10,140.00	...	-1,664.81	1 of 40 - Sale ²⁵
	3,000.000	4,889.54	12/28/20	5,550.00	...	-660.46	2 of 40 - Sale ²⁵
	1,089.000	1,774.91	12/28/20	2,417.93	...	-643.02	3 of 40 - Sale ²⁵
	611.000	995.84	12/28/20	1,338.18	...	-342.34	4 of 40 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IZEA WORLDWIDE, INC. COMMON ST OCK / CUSIP: 46604H105 / Symbol: (cont'd)							
	1,000.000	1,629.85	12/28/20	1,840.00	...	-210.15	5 of 40 - Sale ²⁵
	200.000	325.97	12/28/20	444.03	...	-118.06	6 of 40 - Sale ²⁵
	200.000	325.97	12/28/20	444.03	...	-118.06	7 of 40 - Sale ²⁵
	200.000	325.97	12/28/20	443.04	...	-117.07	8 of 40 - Sale ²⁵
	180.000	293.37	12/28/20	399.63	...	-106.26	9 of 40 - Sale ²⁵
	100.000	162.98	12/28/20	222.03	...	-59.05	10 of 40 - Sale ²⁵
	100.000	162.98	12/28/20	222.03	...	-59.05	11 of 40 - Sale ²⁵
	100.000	162.98	12/28/20	222.03	...	-59.05	12 of 40 - Sale ²⁵
	100.000	162.98	12/28/20	222.03	...	-59.05	13 of 40 - Sale ²⁵
	100.000	162.98	12/28/20	222.03	...	-59.05	14 of 40 - Sale ²⁵
	100.000	162.98	12/28/20	222.02	...	-59.04	15 of 40 - Sale ²⁵
	100.000	162.98	12/28/20	222.02	...	-59.04	16 of 40 - Sale ²⁵
	100.000	162.98	12/28/20	222.02	...	-59.04	17 of 40 - Sale ²⁵
	100.000	162.98	12/28/20	222.02	...	-59.04	18 of 40 - Sale ²⁵
	100.000	162.98	12/28/20	222.02	...	-59.04	19 of 40 - Sale ²⁵
	100.000	162.98	12/28/20	222.02	...	-59.04	20 of 40 - Sale ²⁵
	100.000	162.98	12/28/20	222.02	...	-59.04	21 of 40 - Sale ²⁵
	100.000	162.99	12/28/20	222.03	...	-59.04	22 of 40 - Sale ²⁵
	100.000	162.98	12/28/20	222.01	...	-59.03	23 of 40 - Sale ²⁵
	100.000	162.98	12/28/20	222.01	...	-59.03	24 of 40 - Sale ²⁵
	100.000	162.99	12/28/20	222.02	...	-59.03	25 of 40 - Sale ²⁵
	100.000	162.98	12/28/20	221.52	...	-58.54	26 of 40 - Sale ²⁵
	200.000	325.97	12/28/20	374.00	...	-48.03	27 of 40 - Sale ²⁵
	80.000	130.39	12/28/20	177.62	...	-47.23	28 of 40 - Sale ²⁵
	80.000	130.39	12/28/20	177.62	...	-47.23	29 of 40 - Sale ²⁵
	80.000	130.39	12/28/20	177.62	...	-47.23	30 of 40 - Sale ²⁵
	80.000	130.39	12/28/20	177.62	...	-47.23	31 of 40 - Sale ²⁵
	80.000	130.39	12/28/20	177.61	...	-47.22	32 of 40 - Sale ²⁵
	60.000	97.79	12/28/20	133.22	...	-35.43	33 of 40 - Sale ²⁵
	40.000	65.19	12/28/20	88.81	...	-23.62	34 of 40 - Sale ²⁵
	20.000	32.60	12/28/20	44.40	...	-11.80	35 of 40 - Sale ²⁵
	20.000	32.60	12/28/20	44.40	...	-11.80	36 of 40 - Sale ²⁵
	20.000	32.60	12/28/20	44.40	...	-11.80	37 of 40 - Sale ²⁵
	20.000	32.60	12/28/20	44.40	...	-11.80	38 of 40 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IZEA WORLDWIDE, INC. COMMON ST OCK / CUSIP: 46604H105 / Symbol: (cont'd)							
	20.000	32.60	12/28/20	44.40	...	-11.80	39 of 40 - Sale ²⁵
	20.000	32.60	12/28/20	44.30	...	-11.70	40 of 40 - Sale ²⁵
12/29/20	14,200.000	23,143.79	Various	28,541.14	...	-5,397.35	Total of 40 transactions
	36 transactions for 12/29/20. Total proceeds and cost reported to the IRS.						
	200.000	326.98	12/28/20	396.02	69.04 W	0.00	1 of 36 - Sale ²⁵
	100.000	163.49	12/28/20	198.01	34.52 W	0.00	2 of 36 - Sale ²⁵
	20.000	32.70	12/28/20	39.60	6.90 W	0.00	3 of 36 - Sale ²⁵
	80.000	130.40	12/28/20	158.41	28.01 W	0.00	4 of 36 - Sale ²⁵
	100.000	163.00	12/28/20	198.01	35.01 W	0.00	5 of 36 - Sale ²⁵
	20.000	32.60	12/28/20	39.60	7.00 W	0.00	6 of 36 - Sale ²⁵
	100.000	162.99	12/28/20	198.01	35.02 W	0.00	7 of 36 - Sale ²⁵
	100.000	162.99	12/28/20	198.01	35.02 W	0.00	8 of 36 - Sale ²⁵
	80.000	130.39	12/28/20	158.41	28.02 W	0.00	9 of 36 - Sale ²⁵
	20.000	32.60	12/28/20	39.60	7.00 W	0.00	10 of 36 - Sale ²⁵
	100.000	162.99	12/28/20	198.01	35.02 W	0.00	11 of 36 - Sale ²⁵
	100.000	162.99	12/28/20	198.01	35.02 W	0.00	12 of 36 - Sale ²⁵
	100.000	163.00	12/28/20	198.01	35.01 W	0.00	13 of 36 - Sale ²⁵
	100.000	162.99	12/28/20	198.01	35.02 W	0.00	14 of 36 - Sale ²⁵
	20.000	32.60	12/28/20	39.60	7.00 W	0.00	15 of 36 - Sale ²⁵
	180.000	293.39	12/28/20	356.42	63.03 W	0.00	16 of 36 - Sale ²⁵
	200.000	325.99	12/28/20	396.02	70.03 W	0.00	17 of 36 - Sale ²⁵
	200.000	325.99	12/28/20	396.02	70.03 W	0.00	18 of 36 - Sale ²⁵
	80.000	130.39	12/28/20	158.41	28.02 W	0.00	19 of 36 - Sale ²⁵
	100.000	162.98	12/28/20	198.01	35.03 W	0.00	20 of 36 - Sale ²⁵
	100.000	162.98	12/28/20	198.01	35.03 W	0.00	21 of 36 - Sale ²⁵
	100.000	162.99	12/28/20	198.01	35.02 W	0.00	22 of 36 - Sale ²⁵
	100.000	162.98	12/28/20	198.01	35.03 W	0.00	23 of 36 - Sale ²⁵
	20.000	32.60	12/28/20	39.60	7.00 W	0.00	24 of 36 - Sale ²⁵
	80.000	130.39	12/28/20	158.41	28.02 W	0.00	25 of 36 - Sale ²⁵
	20.000	32.60	12/28/20	39.60	7.00 W	0.00	26 of 36 - Sale ²⁵
	100.000	162.99	12/28/20	198.01	35.02 W	0.00	27 of 36 - Sale ²⁵
	40.000	65.19	12/28/20	79.20	14.01 W	0.00	28 of 36 - Sale ²⁵
	60.000	97.79	12/28/20	118.81	21.02 W	0.00	29 of 36 - Sale ²⁵
	100.000	162.98	12/28/20	198.01	35.03 W	0.00	30 of 36 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IZEA WORLDWIDE, INC. COMMON STOCK / CUSIP: 46604H105 / Symbol: (cont'd)							
	100.000	162.98	12/28/20	198.01	35.03 W	0.00	31 of 36 - Sale ²⁵
	100.000	162.98	12/28/20	198.01	35.03 W	0.00	32 of 36 - Sale ²⁵
	100.000	162.99	12/28/20	198.01	35.02 W	0.00	33 of 36 - Sale ²⁵
	80.000	130.39	12/28/20	158.41	28.02 W	0.00	34 of 36 - Sale ²⁵
	1,089.000	1,774.90	12/28/20	2,156.40	381.50 W	0.00	35 of 36 - Sale ²⁵
	611.000	995.84	12/28/20	1,191.45	195.61 W	0.00	36 of 36 - Sale ²⁵
12/29/20	4,800.000	7,825.02	Various	9,486.16	1,661.14 W	0.00	Total of 36 transactions
	Security total:	70,521.95		75,496.16	1,884.56 W	-3,089.65	
KANDI TECHNOLOGIES GROUP, INC COMMON STOCK / CUSIP: 483709101 / Symbol:							
12/09/20	1,200.000	11,075.61	12/09/20	10,872.00	...	203.61	Sale ²⁵
	9 transactions for 12/15/20. Total proceeds and cost reported to the IRS.						
	1.000	8.08	12/14/20	8.02	...	0.06	1 of 9 - Sale ²⁵
	1.000	8.08	12/14/20	8.01	...	0.07	2 of 9 - Sale ²⁵
	106.000	856.45	12/14/20	850.11	...	6.34	3 of 9 - Sale ²⁵
	100.000	807.97	12/14/20	801.49	...	6.48	4 of 9 - Sale ²⁵
	100.000	807.97	12/14/20	801.49	...	6.48	5 of 9 - Sale ²⁵
	100.000	807.97	12/14/20	801.49	...	6.48	6 of 9 - Sale ²⁵
	114.000	921.09	12/14/20	913.71	...	7.38	7 of 9 - Sale ²⁵
	200.000	1,615.94	12/14/20	1,602.98	...	12.96	8 of 9 - Sale ²⁵
	578.000	4,670.06	12/14/20	4,560.24	...	109.82	9 of 9 - Sale ²⁵
12/15/20	1,300.000	10,503.61	Various	10,347.54	...	156.07	Total of 9 transactions
	9 transactions for 12/15/20. Total proceeds and cost reported to the IRS.						
	100.000	808.47	12/14/20	820.99	12.52 W	0.00	1 of 9 - Sale ²⁵
	200.000	1,616.94	12/14/20	1,641.98	25.04 W	0.00	2 of 9 - Sale ²⁵
	100.000	808.47	12/14/20	820.99	12.52 W	0.00	3 of 9 - Sale ²⁵
	1.000	8.09	12/14/20	8.21	0.12 W	0.00	4 of 9 - Sale ²⁵
	100.000	808.47	12/14/20	820.99	12.52 W	0.00	5 of 9 - Sale ²⁵
	114.000	921.65	12/14/20	935.93	14.28 W	0.00	6 of 9 - Sale ²⁵
	106.000	856.45	12/14/20	870.25	13.80 W	0.00	7 of 9 - Sale ²⁵
	1.000	8.08	12/14/20	8.21	0.13 W	0.00	8 of 9 - Sale ²⁵
	478.000	3,862.10	12/14/20	3,924.33	62.23 W	0.00	9 of 9 - Sale ²⁵
12/15/20	1,200.000	9,698.72	Various	9,851.88	153.16 W	0.00	Total of 9 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
KANDI TECHNOLOGIES GROUP, INC COMMON STOCK / CUSIP: 483709101 / Symbol: (cont'd)							
2 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	800.000	6,087.77	12/21/20	6,288.00	...	-200.23	1 of 2 - Sale ²⁵
	400.000	3,043.88	12/21/20	3,144.00	...	-100.12	2 of 2 - Sale ²⁵
12/22/20	1,200.000	9,131.65	Various	9,432.00	...	-300.35	Total of 2 transactions
3 transactions for 12/22/20. Total proceeds and cost reported to the IRS.							
	3,522.000	26,801.41	12/18/20	29,954.61	1,362.63 W	-1,790.57	1 of 3 - Sale ²⁵
	275.000	2,092.67	12/18/20	2,374.68	282.01 W	0.00	2 of 3 - Sale ²⁵
	203.000	1,544.77	12/18/20	1,752.95	208.18 W	0.00	3 of 3 - Sale ²⁵
12/22/20	4,000.000	30,438.85	Various	34,082.24	1,852.82 W	-1,790.57	Total of 3 transactions
3 transactions for 12/29/20. Total proceeds and cost reported to the IRS.							
	1,522.000	10,790.56	12/29/20	12,655.87	...	-1,865.31	1 of 3 - Sale ²⁵
	275.000	1,949.67	12/29/20	2,322.51	...	-372.84	2 of 3 - Sale ²⁵
	203.000	1,439.21	12/29/20	1,714.44	...	-275.23	3 of 3 - Sale ²⁵
12/29/20	2,000.000	14,179.44	Various	16,692.82	...	-2,513.38	Total of 3 transactions
	Security total:	85,027.88		91,278.48	2,005.98 W	-4,244.62	
KRATOS DEFENSE & SECURITY SOLUTIONS, INC. COMMON STOCK / CUSIP: 50077B207 / Symbol:							
2 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	600.000	11,586.80	07/24/20	12,138.00	...	-551.20	1 of 2 - Sale ²⁵
	40.000	772.46	07/24/20	770.40	...	2.06	2 of 2 - Sale ²⁵
07/27/20	640.000	12,359.26	Various	12,908.40	...	-549.14	Total of 2 transactions
LANTRONIX, INC. COMMON STOCK / CUSIP: 516548203 / Symbol:							
3 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	700.000	2,535.81	07/16/20	2,667.00	...	-131.19	1 of 3 - Sale ²⁵
	400.000	1,449.79	07/16/20	1,524.00	...	-74.21	2 of 3 - Sale ²⁵
	100.000	362.26	07/16/20	398.55	...	-36.29	3 of 3 - Sale ²⁵
07/16/20	1,200.000	4,347.86	Various	4,589.55	...	-241.69	Total of 3 transactions
07/16/20	100.000	362.45	07/16/20	380.00	17.55 W	0.00	Sale ²⁵
	Security total:	4,710.31		4,969.55	17.55 W	-241.69	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LION GROUP HOLDING LTD. AMERIC AN DEPOSITARY SHARE / CUSIP: 53620U102 / Symbol:							
4 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	20.000	118.00	07/16/20	117.00	...	1.00	1 of 4 - Sale ²⁵
	30.000	177.00	07/16/20	175.50	...	1.50	2 of 4 - Sale ²⁵
	250.000	1,474.93	07/16/20	1,462.50	...	12.43	3 of 4 - Sale ²⁵
	300.000	1,769.92	07/16/20	1,755.00	...	14.92	4 of 4 - Sale ²⁵
07/16/20	600.000	3,539.85	Various	3,510.00	...	29.85	Total of 4 transactions
LIQUID MEDIA GROUP LTD. COMMON SHARES / CUSIP: 53634Q204 / Symbol:							
07/30/20	1,200.000	2,507.80	07/30/20	2,418.60	...	89.20	Sale ²⁵
LIXTE BIOTECHNOLOGY HOLDINGS, INC. COMMON STOCK / CUSIP: 539319202 / Symbol:							
7 transactions for 12/09/20. Total proceeds and cost reported to the IRS.							
	100.000	401.99	12/09/20	401.78	...	0.21	1 of 7 - Sale ²⁵
	100.000	401.99	12/09/20	401.78	...	0.21	2 of 7 - Sale ²⁵
	100.000	403.99	12/09/20	401.78	...	2.21	3 of 7 - Sale ²⁵
	100.000	404.99	12/09/20	401.78	...	3.21	4 of 7 - Sale ²⁵
	100.000	405.49	12/09/20	401.78	...	3.71	5 of 7 - Sale ²⁵
	300.000	1,212.38	12/09/20	1,205.34	...	7.04	6 of 7 - Sale ²⁵
	200.000	810.96	12/09/20	803.56	...	7.40	7 of 7 - Sale ²⁵
12/09/20	1,000.000	4,041.79	Various	4,017.80	...	23.99	Total of 7 transactions
MFA FINANCIAL, INC / CUSIP: 55272X102 / Symbol:							
4 transactions for 05/27/20. Total proceeds and cost reported to the IRS.							
	60.000	113.59	03/27/20	107.40	...	6.19	1 of 4 - Sale ²⁵
	200.000	378.63	03/27/20	358.00	...	20.63	2 of 4 - Sale ²⁵
	300.000	567.94	03/27/20	537.00	...	30.94	3 of 4 - Sale ²⁵
	540.000	1,022.29	03/27/20	966.60	...	55.69	4 of 4 - Sale ²⁵
05/27/20	1,100.000	2,082.45	Various	1,969.00	...	113.45	Total of 4 transactions
MEDIGUS LTD. AMERICAN DEPOSITA RY SHARES / CUSIP: 58471G300 / Symbol:							
10 transactions for 07/29/20. Total proceeds and cost reported to the IRS.							
	2.000	3.18	07/29/20	3.09	...	0.09	1 of 10 - Sale ²⁵
	48.000	76.31	07/29/20	74.25	...	2.06	2 of 10 - Sale ²⁵
	50.000	79.50	07/29/20	77.35	...	2.15	3 of 10 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MEDIGUS LTD. AMERICAN DEPOSITA RY SHARES / CUSIP: 58471G300 / Symbol: (cont'd)							
	100.000	158.99	07/29/20	154.69	...	4.30	4 of 10 - Sale ²⁵
	100.000	158.99	07/29/20	154.69	...	4.30	5 of 10 - Sale ²⁵
	100.000	159.49	07/29/20	154.69	...	4.80	6 of 10 - Sale ²⁵
	200.000	317.98	07/29/20	309.38	...	8.60	7 of 10 - Sale ²⁵
	200.000	317.98	07/29/20	309.38	...	8.60	8 of 10 - Sale ²⁵
	200.000	317.98	07/29/20	309.38	...	8.60	9 of 10 - Sale ²⁵
	200.000	317.98	07/29/20	309.00	...	8.98	10 of 10 - Sale ²⁵
07/29/20	1,200.000	1,908.38	Various	1,855.90	...	52.48	Total of 10 transactions
MICRO FOCUS INTERNATIONAL PLC. AMERICAN DEPOSITARY SHARES, E ACH REPRESENTING ONE ORDINARY / CUSIP: 594837403 / Symbol:							
5 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	10.000	66.10	12/03/20	62.50	...	3.60	1 of 5 - Sale ²⁵
	121.000	799.78	12/03/20	756.25	...	43.53	2 of 5 - Sale ²⁵
	369.000	2,438.99	12/03/20	2,306.25	...	132.74	3 of 5 - Sale ²⁵
	500.000	3,304.87	12/03/20	3,125.00	...	179.87	4 of 5 - Sale ²⁵
	1,000.000	6,609.72	12/03/20	6,250.00	...	359.72	5 of 5 - Sale ²⁵
12/07/20	2,000.000	13,219.46	Various	12,500.00	...	719.46	Total of 5 transactions
MIDATECH PHARMA PLC AMERICAN D EPOSITARY SHS / CUSIP: 59564R302 / Symbol:							
5 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	300.000	416.95	06/18/20	423.00	...	-6.05	1 of 5 - Sale ²⁵
	300.000	416.95	06/18/20	423.00	...	-6.05	2 of 5 - Sale ²⁵
	300.000	416.95	06/18/20	423.00	...	-6.05	3 of 5 - Sale ²⁵
	75.000	104.24	06/18/20	105.75	...	-1.51	4 of 5 - Sale ²⁵
	25.000	34.75	06/18/20	35.25	...	-0.50	5 of 5 - Sale ²⁵
06/22/20	1,000.000	1,389.84	Various	1,410.00	...	-20.16	Total of 5 transactions
MILESTONE PHARMACEUTICALS INC. COMMON SHARES / CUSIP: 59935V107 / Symbol:							
14 transactions for 06/09/20. Total proceeds and cost reported to the IRS.							
	1,000.000	6,099.74	06/09/20	6,096.90	...	2.84	1 of 14 - Sale ²⁵
	42.000	227.93	06/09/20	223.02	...	4.91	2 of 14 - Sale ²⁵
	44.000	238.79	06/09/20	233.20	...	5.59	3 of 14 - Sale ²⁵
	70.000	379.89	06/09/20	371.00	...	8.89	4 of 14 - Sale ²⁵
	136.000	738.07	06/09/20	727.60	...	10.47	5 of 14 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MILESTONE PHARMACEUTICALS INC. COMMON SHARES / CUSIP: 59935V107 / Symbol: (cont'd)							
	100.000	542.69	06/09/20	531.00	...	11.69	6 of 14 - Sale ²⁵
	100.000	542.69	06/09/20	531.00	...	11.69	7 of 14 - Sale ²⁵
	100.000	542.70	06/09/20	531.00	...	11.70	8 of 14 - Sale ²⁵
	158.000	857.46	06/09/20	838.98	...	18.48	9 of 14 - Sale ²⁵
	100.000	593.97	06/09/20	568.00	...	25.97	10 of 14 - Sale ²⁵
	250.000	1,356.74	06/09/20	1,325.00	...	31.74	11 of 14 - Sale ²⁵
	200.000	1,187.95	06/09/20	1,138.00	...	49.95	12 of 14 - Sale ²⁵
	200.000	1,187.95	06/09/20	1,138.00	...	49.95	13 of 14 - Sale ²⁵
	500.000	2,969.87	06/09/20	2,840.00	...	129.87	14 of 14 - Sale ²⁵
06/09/20	3,000.000	17,466.44	Various	17,092.70	...	373.74	Total of 14 transactions
	3 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	300.000	2,639.96	06/09/20	1,745.52	...	894.44	1 of 3 - Sale ²⁵
	300.000	2,640.11	06/09/20	1,745.52	...	894.59	2 of 3 - Sale ²⁵
	400.000	3,519.87	06/09/20	2,327.36	...	1,192.51	3 of 3 - Sale ²⁵
07/23/20	1,000.000	8,799.94	Various	5,818.40	...	2,981.54	Total of 3 transactions
	Security total:	26,266.38		22,911.10	...	3,355.28	
MOGO INC. COMMON SHARES / CUSIP: 60800C109 / Symbol:							
	21 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	100.000	169.98	07/15/20	389.14	...	-219.16	1 of 21 - Sale ²⁵
	96.000	163.19	07/15/20	372.58	...	-209.39	2 of 21 - Sale ²⁵
	96.000	163.18	07/15/20	372.57	...	-209.39	3 of 21 - Sale ²⁵
	94.000	159.79	07/15/20	365.77	...	-205.98	4 of 21 - Sale ²⁵
	95.000	161.49	07/15/20	366.83	...	-205.34	5 of 21 - Sale ²⁵
	198.000	336.57	07/15/20	368.33	...	-31.76	6 of 21 - Sale ²⁵
	98.000	166.59	07/15/20	183.28	...	-16.69	7 of 21 - Sale ²⁵
	102.000	173.38	07/15/20	189.76	...	-16.38	8 of 21 - Sale ²⁵
	100.000	169.98	07/15/20	186.03	...	-16.05	9 of 21 - Sale ²⁵
	100.000	169.99	07/15/20	186.03	...	-16.04	10 of 21 - Sale ²⁵
	100.000	169.98	07/15/20	186.02	...	-16.04	11 of 21 - Sale ²⁵
	5.000	8.50	07/15/20	19.25	...	-10.75	12 of 21 - Sale ²⁵
	100.000	169.98	07/15/20	180.02	...	-10.04	13 of 21 - Sale ²⁵
	4.000	6.80	07/15/20	15.56	...	-8.76	14 of 21 - Sale ²⁵
	4.000	6.80	07/15/20	15.56	...	-8.76	15 of 21 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MOGO INC. COMMON SHARES / CUSIP: 60800C109 / Symbol: (cont'd)							
	4.000	6.80	07/15/20	15.44	...	-8.64	16 of 21 - Sale ²⁵
	2.000	3.40	07/15/20	7.78	...	-4.38	17 of 21 - Sale ²⁵
	2.000	3.40	07/15/20	3.72	...	-0.32	18 of 21 - Sale ²⁵
	200.000	459.97	07/15/20	366.00	...	93.97	19 of 21 - Sale ²⁵
	300.000	689.95	07/15/20	549.00	...	140.95	20 of 21 - Sale ²⁵
	300.000	689.95	07/15/20	549.00	...	140.95	21 of 21 - Sale ²⁵
07/15/20	2,100.000	4,049.67	Various	4,887.67	...	-838.00	Total of 21 transactions
	54 transactions for 07/15/20. Total proceeds and cost reported to the IRS.						
	5.000	8.60	07/15/20	11.55	2.95 W	0.00	1 of 54 - Sale ²⁵
	99.000	170.26	07/15/20	229.68	59.42 W	0.00	2 of 54 - Sale ²⁵
	396.000	681.06	07/15/20	926.64	245.58 W	0.00	3 of 54 - Sale ²⁵
	5.000	8.60	07/15/20	14.65	6.05 W	0.00	4 of 54 - Sale ²⁵
	99.000	170.26	07/15/20	291.08	120.82 W	0.00	5 of 54 - Sale ²⁵
	292.000	502.20	07/15/20	864.36	362.16 W	0.00	6 of 54 - Sale ²⁵
	104.000	178.86	07/15/20	307.86	129.00 W	0.00	7 of 54 - Sale ²⁵
	5.000	8.60	07/15/20	17.75	9.15 W	0.00	8 of 54 - Sale ²⁵
	99.000	170.26	07/15/20	352.48	182.22 W	0.00	9 of 54 - Sale ²⁵
	192.000	330.21	07/15/20	687.41	357.20 W	0.00	10 of 54 - Sale ²⁵
	100.000	171.99	07/15/20	359.03	187.04 W	0.00	11 of 54 - Sale ²⁵
	104.000	178.86	07/15/20	373.40	194.54 W	0.00	12 of 54 - Sale ²⁵
	5.000	8.60	07/15/20	20.90	12.30 W	0.00	13 of 54 - Sale ²⁵
	99.000	170.26	07/15/20	414.87	244.61 W	0.00	14 of 54 - Sale ²⁵
	192.000	330.21	07/15/20	808.40	478.19 W	0.00	15 of 54 - Sale ²⁵
	100.000	171.99	07/15/20	422.04	250.05 W	0.00	16 of 54 - Sale ²⁵
	104.000	178.86	07/15/20	438.94	260.08 W	0.00	17 of 54 - Sale ²⁵
	4.000	9.20	07/15/20	17.32	8.12 W	0.00	18 of 54 - Sale ²⁵
	5.000	11.50	07/15/20	21.45	9.95 W	0.00	19 of 54 - Sale ²⁵
	99.000	227.68	07/15/20	425.78	198.10 W	0.00	20 of 54 - Sale ²⁵
	100.000	229.98	07/15/20	433.05	203.07 W	0.00	21 of 54 - Sale ²⁵
	192.000	441.57	07/15/20	829.55	387.98 W	0.00	22 of 54 - Sale ²⁵
	100.000	229.98	07/15/20	433.08	203.10 W	0.00	23 of 54 - Sale ²⁵
	5.000	10.00	07/15/20	20.15	10.15 W	0.00	24 of 54 - Sale ²⁵
	95.000	189.98	07/15/20	383.90	193.92 W	0.00	25 of 54 - Sale ²⁵
	4.000	8.00	07/15/20	16.16	8.16 W	0.00	26 of 54 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MOGO INC. COMMON SHARES / CUSIP: 60800C109 / Symbol: (cont'd)							
	96.000	191.98	07/15/20	389.83	197.85 W	0.00	27 of 54 - Sale ²⁵
	4.000	8.00	07/15/20	16.28	8.28 W	0.00	28 of 54 - Sale ²⁵
	96.000	191.99	07/15/20	389.83	197.84 W	0.00	29 of 54 - Sale ²⁵
	4.000	8.00	07/15/20	16.28	8.28 W	0.00	30 of 54 - Sale ²⁵
	96.000	191.98	07/15/20	390.79	198.81 W	0.00	31 of 54 - Sale ²⁵
	100.000	199.98	07/15/20	407.10	207.12 W	0.00	32 of 54 - Sale ²⁵
	100.000	199.99	07/15/20	204.00	4.01 W	0.00	33 of 54 - Sale ²⁵
	100.000	199.98	07/15/20	204.00	4.02 W	0.00	34 of 54 - Sale ²⁵
	300.000	599.95	07/15/20	612.00	12.05 W	0.00	35 of 54 - Sale ²⁵
	100.000	199.99	07/15/20	204.00	4.01 W	0.00	36 of 54 - Sale ²⁵
	200.000	399.97	07/15/20	408.00	8.03 W	0.00	37 of 54 - Sale ²⁵
	5.000	10.70	07/15/20	21.15	10.45 W	0.00	38 of 54 - Sale ²⁵
	95.000	203.29	07/15/20	402.92	199.63 W	0.00	39 of 54 - Sale ²⁵
	4.000	8.56	07/15/20	16.96	8.40 W	0.00	40 of 54 - Sale ²⁵
	96.000	205.43	07/15/20	409.05	203.62 W	0.00	41 of 54 - Sale ²⁵
	4.000	8.56	07/15/20	17.08	8.52 W	0.00	42 of 54 - Sale ²⁵
	96.000	205.43	07/15/20	409.04	203.61 W	0.00	43 of 54 - Sale ²⁵
	2.000	4.28	07/15/20	8.54	4.26 W	0.00	44 of 54 - Sale ²⁵
	4.000	8.56	07/15/20	17.08	8.52 W	0.00	45 of 54 - Sale ²⁵
	94.000	201.14	07/15/20	401.47	200.33 W	0.00	46 of 54 - Sale ²⁵
	100.000	213.98	07/15/20	427.12	213.14 W	0.00	47 of 54 - Sale ²⁵
	100.000	213.98	07/15/20	224.01	10.03 W	0.00	48 of 54 - Sale ²⁵
	100.000	213.99	07/15/20	224.02	10.03 W	0.00	49 of 54 - Sale ²⁵
	102.000	218.26	07/15/20	228.50	10.24 W	0.00	50 of 54 - Sale ²⁵
	2.000	4.28	07/15/20	4.48	0.20 W	0.00	51 of 54 - Sale ²⁵
	198.000	423.70	07/15/20	443.55	19.85 W	0.00	52 of 54 - Sale ²⁵
	100.000	213.99	07/15/20	224.01	10.02 W	0.00	53 of 54 - Sale ²⁵
	98.000	208.73	07/15/20	219.53	10.80 W	0.00	54 of 54 - Sale ²⁵
07/15/20	5,000.000	9,756.24	Various	16,062.10	6,305.86 W	0.00	Total of 54 transactions
	Security total:	13,805.91		20,949.77	6,305.86 W	-838.00	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
MY SIZE, INC. COMMON STOCK / CUSIP: 62844N208 / Symbol:							
8 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	328.000	442.75	07/16/20	459.20	...	-16.45	1 of 8 - Sale ²⁵
	145.000	195.73	07/16/20	210.27	...	-14.54	2 of 8 - Sale ²⁵
	100.000	134.98	07/16/20	145.01	...	-10.03	3 of 8 - Sale ²⁵
	174.000	234.88	07/16/20	243.60	...	-8.72	4 of 8 - Sale ²⁵
	76.000	102.59	07/16/20	110.21	...	-7.62	5 of 8 - Sale ²⁵
	46.000	62.09	07/16/20	64.40	...	-2.31	6 of 8 - Sale ²⁵
	4.000	5.40	07/16/20	5.80	...	-0.40	7 of 8 - Sale ²⁵
	1.000	1.35	07/16/20	1.45	...	-0.10	8 of 8 - Sale ²⁵
07/16/20	874.000	1,179.77	Various	1,239.94	...	-60.17	Total of 8 transactions
3 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	145.000	195.73	07/16/20	203.00	7.27 W	0.00	1 of 3 - Sale ²⁵
	100.000	134.99	07/16/20	140.00	5.01 W	0.00	2 of 3 - Sale ²⁵
	81.000	109.34	07/16/20	113.40	4.06 W	0.00	3 of 3 - Sale ²⁵
07/16/20	326.000	440.06	Various	456.40	16.34 W	0.00	Total of 3 transactions
	Security total:	1,619.83		1,696.34	16.34 W	-60.17	
NANO DIMENSION LTD. AMERICAN D EPOSITARY SHARES / CUSIP: 63008G203 / Symbol:							
5 transactions for 06/02/20. Total proceeds and cost reported to the IRS.							
	17.000	49.64	06/02/20	46.07	...	3.57	1 of 5 - Sale ²⁵
	110.000	321.18	06/02/20	298.10	...	23.08	2 of 5 - Sale ²⁵
	273.000	797.11	06/02/20	739.83	...	57.28	3 of 5 - Sale ²⁵
	300.000	875.94	06/02/20	813.00	...	62.94	4 of 5 - Sale ²⁵
	300.000	875.94	06/02/20	813.00	...	62.94	5 of 5 - Sale ²⁵
06/02/20	1,000.000	2,919.81	Various	2,710.00	...	209.81	Total of 5 transactions
NEOVASC INC. COMMON SHARES / CUSIP: 64065J304 / Symbol:							
8 transactions for 06/26/20. Total proceeds and cost reported to the IRS.							
	25.000	62.25	06/26/20	61.98	...	0.27	1 of 8 - Sale ²⁵
	175.000	435.73	06/26/20	433.90	...	1.83	2 of 8 - Sale ²⁵
	200.000	497.96	06/26/20	495.88	...	2.08	3 of 8 - Sale ²⁵
	600.000	1,493.89	06/26/20	1,487.70	...	6.19	4 of 8 - Sale ²⁵
	200.000	507.96	06/26/20	500.00	...	7.96	5 of 8 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NEOVASC INC. COMMON SHARES / CUSIP: 64065J304 / Symbol: (cont'd)							
	300.000	761.94	06/26/20	750.00	...	11.94	6 of 8 - Sale ²⁵
	300.000	761.94	06/26/20	750.00	...	11.94	7 of 8 - Sale ²⁵
	300.000	761.94	06/26/20	750.00	...	11.94	8 of 8 - Sale ²⁵
06/26/20	2,100.000	5,283.61	Various	5,229.46	...	54.15	Total of 8 transactions
	4 transactions for 06/30/20. Total proceeds and cost reported to the IRS.						
	300.000	716.94	06/29/20	750.00	...	-33.06	1 of 4 - Sale ²⁵
	300.000	716.94	06/29/20	750.00	...	-33.06	2 of 4 - Sale ²⁵
	300.000	716.94	06/29/20	750.00	...	-33.06	3 of 4 - Sale ²⁵
	100.000	238.99	06/29/20	250.00	...	-11.01	4 of 4 - Sale ²⁵
06/30/20	1,000.000	2,389.81	Various	2,500.00	...	-110.19	Total of 4 transactions
	Security total:	7,673.42		7,729.46	...	-56.04	
NORTHERN STAR ACQUISITION CORP. / CUSIP: 665742102 / Symbol:							
	10 transactions for 12/24/20. Total proceeds and cost reported to the IRS.						
	1,000.000	18,059.48	12/24/20	18,354.87	...	-295.39	1 of 10 - Sale ²⁵
	5.000	90.62	12/24/20	89.95	...	0.67	2 of 10 - Sale ²⁵
	2.000	38.19	12/24/20	36.65	...	1.54	3 of 10 - Sale ²⁵
	25.000	453.11	12/24/20	449.75	...	3.36	4 of 10 - Sale ²⁵
	64.000	1,159.97	12/24/20	1,150.72	...	9.25	5 of 10 - Sale ²⁵
	100.000	1,812.45	12/24/20	1,799.00	...	13.45	6 of 10 - Sale ²⁵
	200.000	3,624.89	12/24/20	3,598.00	...	26.89	7 of 10 - Sale ²⁵
	200.000	3,624.90	12/24/20	3,598.00	...	26.90	8 of 10 - Sale ²⁵
	404.000	7,322.28	12/24/20	7,267.96	...	54.32	9 of 10 - Sale ²⁵
	998.000	19,056.26	12/24/20	18,153.62	...	902.64	10 of 10 - Sale ²⁵
12/24/20	2,998.000	55,242.15	Various	54,498.52	...	743.63	Total of 10 transactions
	4 transactions for 12/24/20. Total proceeds and cost reported to the IRS.						
	2.000	38.04	12/24/20	38.60	0.56 W	0.00	1 of 4 - Sale ²⁵
	2.000	36.25	12/24/20	36.52	0.27 W	0.00	2 of 4 - Sale ²⁵
	1,000.000	18,812.86	12/24/20	19,145.00	332.14 W	0.00	3 of 4 - Sale ²⁵
	1,000.000	18,296.07	12/24/20	18,512.14	216.07 W	0.00	4 of 4 - Sale ²⁵
12/24/20	2,004.000	37,183.22	Various	37,732.26	549.04 W	0.00	Total of 4 transactions
	Security total:	92,425.37		92,230.78	549.04 W	743.63	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NOVAN, INC. COMMON STOCK / CUSIP: 66988N106 / Symbol:							
11 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	700.000	881.90	07/21/20	923.69	...	-41.79	1 of 11 - Sale ²⁵
	300.000	377.96	07/21/20	395.86	...	-17.90	2 of 11 - Sale ²⁵
	50.000	52.00	07/21/20	50.00	...	2.00	3 of 11 - Sale ²⁵
	100.000	103.99	07/21/20	100.00	...	3.99	4 of 11 - Sale ²⁵
	300.000	311.96	07/21/20	300.00	...	11.96	5 of 11 - Sale ²⁵
	200.000	195.17	07/21/20	180.00	...	15.17	6 of 11 - Sale ²⁵
	400.000	415.94	07/21/20	400.00	...	15.94	7 of 11 - Sale ²⁵
	650.000	675.91	07/21/20	650.00	...	25.91	8 of 11 - Sale ²⁵
	400.000	390.34	07/21/20	360.00	...	30.34	9 of 11 - Sale ²⁵
	400.000	390.34	07/21/20	360.00	...	30.34	10 of 11 - Sale ²⁵
	500.000	487.44	07/21/20	450.00	...	37.44	11 of 11 - Sale ²⁵
07/21/20	4,000.000	4,282.95	Various	4,169.55	...	113.40	Total of 11 transactions
07/21/20	1,500.000	1,485.68	07/21/20	1,575.00	59.55 W	-29.77	Sale ²⁵
	Security total:	5,768.63		5,744.55	59.55 W	83.63	
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol:							
2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	200.000	81.97	07/21/20	87.00	5.03 W	0.00	1 of 2 - Sale ²⁵
	2,300.000	942.70	07/21/20	1,000.50	57.80 W	0.00	2 of 2 - Sale ²⁵
07/21/20	2,500.000	1,024.67	Various	1,087.50	62.83 W	0.00	Total of 2 transactions
3 transactions for 07/24/20. Total proceeds and cost reported to the IRS.							
	2,300.000	873.70	07/24/20	954.80	...	-81.10	1 of 3 - Sale ²⁵
	200.000	75.97	07/24/20	83.03	...	-7.06	2 of 3 - Sale ²⁵
	500.000	189.94	07/24/20	195.00	...	-5.06	3 of 3 - Sale ²⁵
07/24/20	3,000.000	1,139.61	Various	1,232.83	...	-93.22	Total of 3 transactions
65 transactions for 12/23/20. Total proceeds and cost reported to the IRS.							
	3,000.000	7,410.97	12/23/20	7,755.00	344.03 W	0.00	1 of 65 - Sale ²⁵
	140.000	348.58	12/23/20	372.96	24.38 W	0.00	2 of 65 - Sale ²⁵
	60.000	149.39	12/23/20	159.84	10.45 W	0.00	3 of 65 - Sale ²⁵
	1,000.000	2,489.82	12/23/20	2,663.98	174.16 W	0.00	4 of 65 - Sale ²⁵
	1,000.000	2,489.82	12/23/20	2,663.97	174.15 W	0.00	5 of 65 - Sale ²⁵
	50.000	124.50	12/23/20	133.20	8.70 W	0.00	6 of 65 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	300.000	746.94	12/23/20	799.19	52.25 W	0.00	7 of 65 - Sale ²⁵
	300.000	746.94	12/23/20	799.19	52.25 W	0.00	8 of 65 - Sale ²⁵
	150.000	373.48	12/23/20	399.60	26.12 W	0.00	9 of 65 - Sale ²⁵
	50.000	120.99	12/23/20	135.70	14.71 W	0.00	10 of 65 - Sale ²⁵
	60.000	145.19	12/23/20	162.84	17.65 W	0.00	11 of 65 - Sale ²⁵
	140.000	338.78	12/23/20	379.97	41.19 W	0.00	12 of 65 - Sale ²⁵
	150.000	362.97	12/23/20	407.10	44.13 W	0.00	13 of 65 - Sale ²⁵
	300.000	725.95	12/23/20	814.22	88.27 W	0.00	14 of 65 - Sale ²⁵
	300.000	725.95	12/23/20	814.22	88.27 W	0.00	15 of 65 - Sale ²⁵
	1,000.000	2,419.82	12/23/20	2,714.06	294.24 W	0.00	16 of 65 - Sale ²⁵
	1,000.000	2,419.82	12/23/20	2,714.05	294.23 W	0.00	17 of 65 - Sale ²⁵
	50.000	122.49	12/23/20	136.46	13.97 W	0.00	18 of 65 - Sale ²⁵
	60.000	146.99	12/23/20	163.75	16.76 W	0.00	19 of 65 - Sale ²⁵
	140.000	342.98	12/23/20	382.09	39.11 W	0.00	20 of 65 - Sale ²⁵
	150.000	367.47	12/23/20	409.38	41.91 W	0.00	21 of 65 - Sale ²⁵
	300.000	734.95	12/23/20	818.77	83.82 W	0.00	22 of 65 - Sale ²⁵
	300.000	734.95	12/23/20	818.77	83.82 W	0.00	23 of 65 - Sale ²⁵
	1,000.000	2,449.82	12/23/20	2,729.24	279.42 W	0.00	24 of 65 - Sale ²⁵
	1,000.000	2,449.82	12/23/20	2,729.23	279.41 W	0.00	25 of 65 - Sale ²⁵
	60.000	143.99	12/23/20	163.76	19.77 W	0.00	26 of 65 - Sale ²⁵
	100.000	239.98	12/23/20	272.94	32.96 W	0.00	27 of 65 - Sale ²⁵
	140.000	335.98	12/23/20	382.11	46.13 W	0.00	28 of 65 - Sale ²⁵
	900.000	2,159.84	12/23/20	2,456.48	296.64 W	0.00	29 of 65 - Sale ²⁵
	200.000	479.97	12/23/20	545.88	65.91 W	0.00	30 of 65 - Sale ²⁵
	50.000	119.99	12/23/20	136.97	16.98 W	0.00	31 of 65 - Sale ²⁵
	150.000	359.97	12/23/20	410.91	50.94 W	0.00	32 of 65 - Sale ²⁵
	300.000	719.95	12/23/20	821.82	101.87 W	0.00	33 of 65 - Sale ²⁵
	300.000	719.95	12/23/20	821.82	101.87 W	0.00	34 of 65 - Sale ²⁵
	800.000	1,919.86	12/23/20	2,191.53	271.67 W	0.00	35 of 65 - Sale ²⁵
	50.000	124.24	12/23/20	141.48	17.24 W	0.00	36 of 65 - Sale ²⁵
	60.000	149.09	12/23/20	169.17	20.08 W	0.00	37 of 65 - Sale ²⁵
	100.000	248.48	12/23/20	281.96	33.48 W	0.00	38 of 65 - Sale ²⁵
	140.000	347.88	12/23/20	394.73	46.85 W	0.00	39 of 65 - Sale ²⁵
	150.000	372.72	12/23/20	424.44	51.72 W	0.00	40 of 65 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	200.000	496.96	12/23/20	563.91	66.95 W	0.00	41 of 65 - Sale ²⁵
	300.000	745.45	12/23/20	848.87	103.42 W	0.00	42 of 65 - Sale ²⁵
	300.000	745.45	12/23/20	848.87	103.42 W	0.00	43 of 65 - Sale ²⁵
	800.000	1,987.86	12/23/20	2,263.67	275.81 W	0.00	44 of 65 - Sale ²⁵
	900.000	2,236.34	12/23/20	2,537.64	301.30 W	0.00	45 of 65 - Sale ²⁵
	50.000	121.13	12/23/20	142.73	21.60 W	0.00	46 of 65 - Sale ²⁵
	60.000	145.35	12/23/20	170.67	25.32 W	0.00	47 of 65 - Sale ²⁵
	100.000	242.25	12/23/20	284.47	42.22 W	0.00	48 of 65 - Sale ²⁵
	140.000	339.15	12/23/20	398.24	59.09 W	0.00	49 of 65 - Sale ²⁵
	150.000	363.38	12/23/20	428.21	64.83 W	0.00	50 of 65 - Sale ²⁵
	200.000	484.51	12/23/20	568.93	84.42 W	0.00	51 of 65 - Sale ²⁵
	300.000	726.76	12/23/20	856.39	129.63 W	0.00	52 of 65 - Sale ²⁵
	300.000	726.75	12/23/20	856.39	129.64 W	0.00	53 of 65 - Sale ²⁵
	800.000	1,938.02	12/23/20	2,283.73	345.71 W	0.00	54 of 65 - Sale ²⁵
	900.000	2,180.27	12/23/20	2,560.21	379.94 W	0.00	55 of 65 - Sale ²⁵
	50.000	128.50	12/23/20	154.10	25.60 W	0.00	56 of 65 - Sale ²⁵
	60.000	154.20	12/23/20	184.31	30.11 W	0.00	57 of 65 - Sale ²⁵
	100.000	256.99	12/23/20	307.21	50.22 W	0.00	58 of 65 - Sale ²⁵
	140.000	359.79	12/23/20	430.08	70.29 W	0.00	59 of 65 - Sale ²⁵
	150.000	385.49	12/23/20	462.31	76.82 W	0.00	60 of 65 - Sale ²⁵
	200.000	513.98	12/23/20	614.40	100.42 W	0.00	61 of 65 - Sale ²⁵
	300.000	770.97	12/23/20	924.60	153.63 W	0.00	62 of 65 - Sale ²⁵
	300.000	770.97	12/23/20	924.61	153.64 W	0.00	63 of 65 - Sale ²⁵
	800.000	2,055.94	12/23/20	2,465.63	409.69 W	0.00	64 of 65 - Sale ²⁵
	900.000	2,312.93	12/23/20	2,764.85	451.92 W	0.00	65 of 65 - Sale ²⁵
12/23/20	24,000.000	59,120.66	Various	66,537.81	7,417.15 W	0.00	Total of 65 transactions
	11 transactions for 12/24/20. Total proceeds and cost reported to the IRS.						
	900.000	1,620.04	12/24/20	2,094.66	...	-474.62	1 of 11 - Sale ²⁵
	600.000	1,080.02	12/24/20	1,402.43	...	-322.41	2 of 11 - Sale ²⁵
	300.000	540.01	12/24/20	705.61	...	-165.60	3 of 11 - Sale ²⁵
	300.000	540.01	12/24/20	705.60	...	-165.59	4 of 11 - Sale ²⁵
	200.000	360.01	12/24/20	470.40	...	-110.39	5 of 11 - Sale ²⁵
	200.000	360.01	12/24/20	465.47	...	-105.46	6 of 11 - Sale ²⁵
	150.000	270.01	12/24/20	352.81	...	-82.80	7 of 11 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
OCUGEN, INC. COMMON STOCK / CUSIP: 67577C105 / Symbol: (cont'd)							
	140.000	252.01	12/24/20	325.83	...	-73.82	8 of 11 - Sale ²⁵
	100.000	180.00	12/24/20	232.75	...	-52.75	9 of 11 - Sale ²⁵
	60.000	108.00	12/24/20	139.62	...	-31.62	10 of 11 - Sale ²⁵
	50.000	90.00	12/24/20	117.59	...	-27.59	11 of 11 - Sale ²⁵
12/24/20	3,000.000	5,400.12	Various	7,012.77	...	-1,612.65	Total of 11 transactions
	6 transactions for 12/24/20. Total proceeds and cost reported to the IRS.						
	60.000	108.59	12/24/20	137.82	29.23 W	0.00	1 of 6 - Sale ²⁵
	100.000	180.98	12/24/20	229.74	48.76 W	0.00	2 of 6 - Sale ²⁵
	140.000	253.38	12/24/20	321.62	68.24 W	0.00	3 of 6 - Sale ²⁵
	200.000	361.97	12/24/20	459.46	97.49 W	0.00	4 of 6 - Sale ²⁵
	600.000	1,085.90	12/24/20	1,384.39	298.49 W	0.00	5 of 6 - Sale ²⁵
	900.000	1,628.85	12/24/20	2,067.60	438.75 W	0.00	6 of 6 - Sale ²⁵
12/24/20	2,000.000	3,619.67	Various	4,600.63	980.96 W	0.00	Total of 6 transactions
	Security total:	70,304.73		80,471.54	8,460.94 W	-1,705.87	
ORAGENICS INC. / CUSIP: 684023302 / Symbol:							
08/06/20	1,200.000	1,319.83	08/04/20	1,392.00	72.17 W	0.00	Sale ²⁵
	5 transactions for 08/07/20. Total proceeds and cost reported to the IRS.						
	300.000	320.96	08/07/20	343.55	...	-22.59	1 of 5 - Sale ²⁵
	300.000	320.96	08/07/20	343.54	...	-22.58	2 of 5 - Sale ²⁵
	300.000	320.96	08/07/20	343.54	...	-22.58	3 of 5 - Sale ²⁵
	300.000	320.96	08/07/20	343.54	...	-22.58	4 of 5 - Sale ²⁵
	300.000	320.96	08/07/20	325.50	...	-4.54	5 of 5 - Sale ²⁵
08/07/20	1,500.000	1,604.80	Various	1,699.67	...	-94.87	Total of 5 transactions
	Security total:	2,924.63		3,091.67	72.17 W	-94.87	
ORBCOMM INC. COMMON STOCK / CUSIP: 68555P100 / Symbol:							
	5 transactions for 12/11/20. Total proceeds and cost reported to the IRS.						
	1,300.000	8,554.97	12/11/20	8,697.00	...	-142.03	1 of 5 - Sale ²⁵
	100.000	658.07	12/11/20	659.93	...	-1.86	2 of 5 - Sale ²⁵
	100.000	658.07	12/11/20	659.93	...	-1.86	3 of 5 - Sale ²⁵
	100.000	658.07	12/11/20	659.93	...	-1.86	4 of 5 - Sale ²⁵
	100.000	658.07	12/11/20	659.00	...	-0.93	5 of 5 - Sale ²⁵
12/11/20	1,700.000	11,187.25	Various	11,335.79	...	-148.54	Total of 5 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ORBCOMM INC. COMMON STOCK / CUSIP: 68555P100 / Symbol: (cont'd)							
3 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	100.000	658.07	12/11/20	659.00	0.93 W	0.00	1 of 3 - Sale ²⁵
	100.000	658.07	12/11/20	659.00	0.93 W	0.00	2 of 3 - Sale ²⁵
	100.000	658.07	12/11/20	659.00	0.93 W	0.00	3 of 3 - Sale ²⁵
12/11/20	300.000	1,974.21	Various	1,977.00	2.79 W	0.00	Total of 3 transactions
	Security total:	13,161.46		13,312.79	2.79 W	-148.54	
PERCEPTRON, INC. COMMON STOCK / CUSIP: 71361F100 / Symbol:							
21 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	10.000	67.20	07/14/20	67.06	...	0.14	1 of 21 - Sale ²⁵
	10.000	67.20	07/14/20	66.95	...	0.25	2 of 21 - Sale ²⁵
	90.000	604.77	07/14/20	602.65	...	2.12	3 of 21 - Sale ²⁵
	8.000	46.08	07/14/20	43.60	...	2.48	4 of 21 - Sale ²⁵
	10.000	67.20	07/14/20	64.15	...	3.05	5 of 21 - Sale ²⁵
	22.000	126.72	07/14/20	119.90	...	6.82	6 of 21 - Sale ²⁵
	35.000	201.59	07/14/20	190.75	...	10.84	7 of 21 - Sale ²⁵
	57.000	328.30	07/14/20	310.65	...	17.65	8 of 21 - Sale ²⁵
	78.000	449.27	07/14/20	425.10	...	24.17	9 of 21 - Sale ²⁵
	90.000	604.77	07/14/20	579.23	...	25.54	10 of 21 - Sale ²⁵
	90.000	604.78	07/14/20	577.42	...	27.36	11 of 21 - Sale ²⁵
	92.000	529.90	07/14/20	501.40	...	28.50	12 of 21 - Sale ²⁵
	108.000	622.05	07/14/20	588.60	...	33.45	13 of 21 - Sale ²⁵
	108.000	622.05	07/14/20	588.60	...	33.45	14 of 21 - Sale ²⁵
	45.000	302.40	07/14/20	253.35	...	49.05	15 of 21 - Sale ²⁵
	192.000	1,105.87	07/14/20	1,046.40	...	59.47	16 of 21 - Sale ²⁵
	200.000	1,151.95	07/14/20	1,090.00	...	61.95	17 of 21 - Sale ²⁵
	300.000	1,727.92	07/14/20	1,635.00	...	92.92	18 of 21 - Sale ²⁵
	190.000	1,276.75	07/14/20	1,126.76	...	149.99	19 of 21 - Sale ²⁵
	255.000	1,713.53	07/14/20	1,435.65	...	277.88	20 of 21 - Sale ²⁵
	410.000	2,755.08	07/14/20	2,308.30	...	446.78	21 of 21 - Sale ²⁵
07/14/20	2,400.000	14,975.38	Various	13,621.52	...	1,353.86	Total of 21 transactions
16 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	300.000	1,772.92	07/14/20	1,846.50	73.58 W	0.00	1 of 16 - Sale ²⁵
	10.000	59.00	07/14/20	64.00	5.00 W	0.00	2 of 16 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PERCEPTRON, INC. COMMON STOCK / CUSIP: 71361F100 / Symbol: (cont'd)							
	90.000	530.98	07/14/20	576.03	45.05 W	0.00	3 of 16 - Sale ²⁵
	100.000	589.97	07/14/20	640.03	50.06 W	0.00	4 of 16 - Sale ²⁵
	10.000	59.00	07/14/20	64.00	5.00 W	0.00	5 of 16 - Sale ²⁵
	90.000	529.17	07/14/20	576.02	46.85 W	0.00	6 of 16 - Sale ²⁵
	10.000	58.80	07/14/20	66.55	7.75 W	0.00	7 of 16 - Sale ²⁵
	90.000	529.17	07/14/20	599.00	69.83 W	0.00	8 of 16 - Sale ²⁵
	10.000	58.80	07/14/20	66.56	7.76 W	0.00	9 of 16 - Sale ²⁵
	90.000	528.28	07/14/20	599.00	70.72 W	0.00	10 of 16 - Sale ²⁵
	10.000	58.70	07/14/20	66.55	7.85 W	0.00	11 of 16 - Sale ²⁵
	90.000	528.27	07/14/20	600.80	72.53 W	0.00	12 of 16 - Sale ²⁵
	10.000	58.70	07/14/20	69.35	10.65 W	0.00	13 of 16 - Sale ²⁵
	90.000	528.28	07/14/20	624.23	95.95 W	0.00	14 of 16 - Sale ²⁵
	10.000	58.70	07/14/20	69.46	10.76 W	0.00	15 of 16 - Sale ²⁵
	190.000	1,115.24	07/14/20	1,172.30	57.06 W	0.00	16 of 16 - Sale ²⁵
07/14/20	1,200.000	7,063.98	Various	7,700.38	636.40 W	0.00	Total of 16 transactions
	Security total:	22,039.36		21,321.90	636.40 W	1,353.86	
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
2 transactions for 07/22/20. Total proceeds and cost reported to the IRS.							
	345.000	3,122.97	07/21/20	3,117.87	...	5.10	1 of 2 - Sale ²⁵
	500.000	4,526.04	07/21/20	4,518.70	...	7.34	2 of 2 - Sale ²⁵
07/22/20	845.000	7,649.01	Various	7,636.57	...	12.44	Total of 2 transactions
POLAR POWER, INC. COMMON STOCK / CUSIP: 73102V105 / Symbol:							
4 transactions for 06/30/20. Total proceeds and cost reported to the IRS.							
	500.000	2,174.89	06/30/20	2,175.00	...	-0.11	1 of 4 - Sale ²⁵
	400.000	1,739.91	06/30/20	1,740.00	...	-0.09	2 of 4 - Sale ²⁵
	80.000	347.98	06/30/20	348.00	...	-0.02	3 of 4 - Sale ²⁵
	20.000	87.00	06/30/20	87.00	...	0.00	4 of 4 - Sale ²⁵
06/30/20	1,000.000	4,349.78	Various	4,350.00	...	-0.22	Total of 4 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
POLYMET MINING CORP. / CUSIP: 731916102 / Symbol:							
<i>2 transactions for 07/24/20. Total proceeds and cost reported to the IRS.</i>							
	2,100.000	1,146.95	07/24/20	1,186.50	...	-39.55	1 of 2 - Sale ²⁵
	2,100.000	1,146.95	07/24/20	1,155.00	...	-8.05	2 of 2 - Sale ²⁵
07/24/20	4,200.000	2,293.90	Various	2,341.50	...	-47.60	Total of 2 transactions
RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol:							
<i>2 transactions for 06/08/20. Total proceeds and cost reported to the IRS.</i>							
	2,000.000	1,279.73	06/03/20	1,024.00	...	255.73	1 of 2 - Sale ²⁵
	2,000.000	1,279.73	06/03/20	1,012.20	...	267.53	2 of 2 - Sale ²⁵
06/08/20	4,000.000	2,559.46	Various	2,036.20	...	523.26	Total of 2 transactions
<i>4 transactions for 06/08/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	64.50	06/08/20	66.00	1.50 W	0.00	1 of 4 - Sale ²⁵
	100.000	64.50	06/08/20	66.00	1.50 W	0.00	2 of 4 - Sale ²⁵
	100.000	64.50	06/08/20	66.00	1.50 W	0.00	3 of 4 - Sale ²⁵
	1,700.000	1,096.61	06/08/20	1,122.00	25.39 W	0.00	4 of 4 - Sale ²⁵
06/08/20	2,000.000	1,290.11	Various	1,320.00	29.89 W	0.00	Total of 4 transactions
	Security total:	3,849.57		3,356.20	29.89 W	523.26	
REALNETWORKS, INC. COMMON STOC K / CUSIP: 75605L708 / Symbol:							
05/27/20	1,500.000	2,099.92	05/15/20	1,949.85	...	150.07	Sale ²⁵
<i>5 transactions for 07/07/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	153.99	07/07/20	152.00	...	1.99	1 of 5 - Sale ²⁵
	300.000	461.96	07/07/20	456.00	...	5.96	2 of 5 - Sale ²⁵
	400.000	615.93	07/07/20	608.00	...	7.93	3 of 5 - Sale ²⁵
	600.000	923.90	07/07/20	912.00	...	11.90	4 of 5 - Sale ²⁵
	600.000	923.90	07/07/20	912.00	...	11.90	5 of 5 - Sale ²⁵
07/07/20	2,000.000	3,079.68	Various	3,040.00	...	39.68	Total of 5 transactions
	Security total:	5,179.60		4,989.85	...	189.75	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol:							
<i>2 transactions for 05/04/20. Total proceeds and cost reported to the IRS.</i>							
	256.000	178.44	05/04/20	168.01	...	10.43	1 of 2 - Sale ²⁵
05/04/20	2,144.000	1,494.39	05/04/20	1,407.11	...	87.28	2 of 2 - Sale ²⁵
	2,400.000	1,672.83	Various	1,575.12	...	97.71	Total of 2 transactions
<i>2 transactions for 05/06/20. Total proceeds and cost reported to the IRS.</i>							
	1,100.000	1,055.96	05/06/20	987.80	...	68.16	1 of 2 - Sale ²⁵
05/06/20	1,100.000	1,055.95	05/06/20	985.38	...	70.57	2 of 2 - Sale ²⁵
	2,200.000	2,111.91	Various	1,973.18	...	138.73	Total of 2 transactions
<i>3 transactions for 05/11/20. Total proceeds and cost reported to the IRS.</i>							
	1,123.000	1,976.30	05/11/20	1,976.48	0.18 W	0.00	1 of 3 - Sale ²⁵
05/11/20	168.000	295.66	05/11/20	295.68	0.02 W	0.00	2 of 3 - Sale ²⁵
	209.000	367.82	05/11/20	367.84	0.02 W	0.00	3 of 3 - Sale ²⁵
	1,500.000	2,639.78	Various	2,640.00	0.22 W	0.00	Total of 3 transactions
<i>28 transactions for 05/12/20. Total proceeds and cost reported to the IRS.</i>							
	104.000	195.51	05/12/20	282.57	...	-87.06	1 of 28 - Sale ²⁵
	100.000	187.99	05/12/20	270.24	...	-82.25	2 of 28 - Sale ²⁵
	100.000	188.00	05/12/20	270.22	...	-82.22	3 of 28 - Sale ²⁵
	100.000	187.99	05/12/20	270.10	...	-82.11	4 of 28 - Sale ²⁵
	100.000	187.99	05/12/20	270.09	...	-82.10	5 of 28 - Sale ²⁵
	100.000	187.99	05/12/20	270.09	...	-82.10	6 of 28 - Sale ²⁵
	97.000	182.35	05/12/20	262.12	...	-79.77	7 of 28 - Sale ²⁵
	75.000	140.99	05/12/20	202.55	...	-61.56	8 of 28 - Sale ²⁵
	74.000	139.12	05/12/20	199.94	...	-60.82	9 of 28 - Sale ²⁵
	73.000	137.24	05/12/20	197.17	...	-59.93	10 of 28 - Sale ²⁵
	71.000	133.47	05/12/20	192.90	...	-59.43	11 of 28 - Sale ²⁵
	72.000	135.36	05/12/20	194.55	...	-59.19	12 of 28 - Sale ²⁵
	68.000	127.84	05/12/20	182.97	...	-55.13	13 of 28 - Sale ²⁵
	58.000	109.03	05/12/20	157.00	...	-47.97	14 of 28 - Sale ²⁵
	28.000	52.64	05/12/20	75.94	...	-23.30	15 of 28 - Sale ²⁵
	28.000	52.64	05/12/20	75.67	...	-23.03	16 of 28 - Sale ²⁵
	28.000	52.64	05/12/20	75.66	...	-23.02	17 of 28 - Sale ²⁵
	27.000	50.76	05/12/20	72.92	...	-22.16	18 of 28 - Sale ²⁵
	26.000	48.88	05/12/20	70.25	...	-21.37	19 of 28 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol: (cont'd)							
	25.000	47.00	05/12/20	67.51	...	-20.51	20 of 28 - Sale ²⁵
	22.000	41.36	05/12/20	59.30	...	-17.94	21 of 28 - Sale ²⁵
	14.000	26.32	05/12/20	37.90	...	-11.58	22 of 28 - Sale ²⁵
	7.000	13.16	05/12/20	18.83	...	-5.67	23 of 28 - Sale ²⁵
	3.000	5.64	05/12/20	8.09	...	-2.45	24 of 28 - Sale ²⁵
	2.000	3.76	05/12/20	5.38	...	-1.62	25 of 28 - Sale ²⁵
	1.000	1.88	05/12/20	2.72	...	-0.84	26 of 28 - Sale ²⁵
	1.000	1.88	05/12/20	2.72	...	-0.84	27 of 28 - Sale ²⁵
	80.000	150.40	05/12/20	148.79	...	1.61	28 of 28 - Sale ²⁵
05/12/20	1,484.000	2,789.83	Various	3,944.19	...	-1,154.36	Total of 28 transactions
107 transactions for 05/12/20. Total proceeds and cost reported to the IRS.							
	96.000	180.47	05/12/20	258.76	0.82 W	-77.47	1 of 107 - Sale ²⁵
	100.000	228.00	05/12/20	257.52	29.52 W	0.00	2 of 107 - Sale ²⁵
	100.000	228.00	05/12/20	257.52	29.52 W	0.00	3 of 107 - Sale ²⁵
	100.000	228.00	05/12/20	257.52	29.52 W	0.00	4 of 107 - Sale ²⁵
	100.000	228.00	05/12/20	257.51	29.51 W	0.00	5 of 107 - Sale ²⁵
	100.000	228.00	05/12/20	257.52	29.52 W	0.00	6 of 107 - Sale ²⁵
	100.000	228.00	05/12/20	257.51	29.51 W	0.00	7 of 107 - Sale ²⁵
	197.000	449.17	05/12/20	507.31	58.14 W	0.00	8 of 107 - Sale ²⁵
	100.000	228.00	05/12/20	257.52	29.52 W	0.00	9 of 107 - Sale ²⁵
	100.000	228.00	05/12/20	257.51	29.51 W	0.00	10 of 107 - Sale ²⁵
	100.000	228.01	05/12/20	257.52	29.51 W	0.00	11 of 107 - Sale ²⁵
	26.000	59.28	05/12/20	66.95	7.67 W	0.00	12 of 107 - Sale ²⁵
	74.000	168.72	05/12/20	190.56	21.84 W	0.00	13 of 107 - Sale ²⁵
	42.000	95.76	05/12/20	108.16	12.40 W	0.00	14 of 107 - Sale ²⁵
	58.000	132.24	05/12/20	149.36	17.12 W	0.00	15 of 107 - Sale ²⁵
	99.000	225.73	05/12/20	255.42	29.69 W	0.00	16 of 107 - Sale ²⁵
	104.000	237.13	05/12/20	268.31	31.18 W	0.00	17 of 107 - Sale ²⁵
	100.000	227.99	05/12/20	256.52	28.53 W	0.00	18 of 107 - Sale ²⁵
	100.000	227.99	05/12/20	256.52	28.53 W	0.00	19 of 107 - Sale ²⁵
	26.000	59.28	05/12/20	66.95	7.67 W	0.00	20 of 107 - Sale ²⁵
	42.000	95.76	05/12/20	108.16	12.40 W	0.00	21 of 107 - Sale ²⁵
	58.000	132.24	05/12/20	149.36	17.12 W	0.00	22 of 107 - Sale ²⁵
	74.000	168.72	05/12/20	190.56	21.84 W	0.00	23 of 107 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol: (cont'd)							
99.000		225.71	05/12/20	255.41	29.70 W	0.00	24 of 107 - Sale ²⁵
100.000		227.99	05/12/20	257.52	29.53 W	0.00	25 of 107 - Sale ²⁵
100.000		227.99	05/12/20	257.51	29.52 W	0.00	26 of 107 - Sale ²⁵
100.000		227.99	05/12/20	257.52	29.53 W	0.00	27 of 107 - Sale ²⁵
100.000		227.99	05/12/20	257.51	29.52 W	0.00	28 of 107 - Sale ²⁵
100.000		227.99	05/12/20	257.52	29.53 W	0.00	29 of 107 - Sale ²⁵
100.000		228.00	05/12/20	257.51	29.51 W	0.00	30 of 107 - Sale ²⁵
100.000		227.99	05/12/20	257.51	29.52 W	0.00	31 of 107 - Sale ²⁵
104.000		237.11	05/12/20	268.30	31.19 W	0.00	32 of 107 - Sale ²⁵
197.000		449.15	05/12/20	507.30	58.15 W	0.00	33 of 107 - Sale ²⁵
100.000		224.48	05/12/20	241.52	17.04 W	0.00	34 of 107 - Sale ²⁵
32.000		71.84	05/12/20	77.29	5.45 W	0.00	35 of 107 - Sale ²⁵
68.000		152.62	05/12/20	164.23	11.61 W	0.00	36 of 107 - Sale ²⁵
100.000		224.44	05/12/20	242.52	18.08 W	0.00	37 of 107 - Sale ²⁵
100.000		224.44	05/12/20	242.51	18.07 W	0.00	38 of 107 - Sale ²⁵
100.000		224.44	05/12/20	242.52	18.08 W	0.00	39 of 107 - Sale ²⁵
100.000		224.45	05/12/20	242.51	18.06 W	0.00	40 of 107 - Sale ²⁵
100.000		224.44	05/12/20	242.51	18.07 W	0.00	41 of 107 - Sale ²⁵
26.000		58.32	05/12/20	63.05	4.73 W	0.00	42 of 107 - Sale ²⁵
42.000		94.21	05/12/20	101.86	7.65 W	0.00	43 of 107 - Sale ²⁵
58.000		130.10	05/12/20	140.65	10.55 W	0.00	44 of 107 - Sale ²⁵
74.000		165.99	05/12/20	179.45	13.46 W	0.00	45 of 107 - Sale ²⁵
99.000		222.06	05/12/20	240.56	18.50 W	0.00	46 of 107 - Sale ²⁵
100.000		224.30	05/12/20	242.52	18.22 W	0.00	47 of 107 - Sale ²⁵
100.000		224.30	05/12/20	242.50	18.20 W	0.00	48 of 107 - Sale ²⁵
100.000		224.30	05/12/20	242.51	18.21 W	0.00	49 of 107 - Sale ²⁵
104.000		233.27	05/12/20	252.70	19.43 W	0.00	50 of 107 - Sale ²⁵
97.000		217.57	05/12/20	235.23	17.66 W	0.00	51 of 107 - Sale ²⁵
2.000		4.84	05/12/20	5.16	0.32 W	0.00	52 of 107 - Sale ²⁵
1.000		2.38	05/12/20	2.57	0.19 W	0.00	53 of 107 - Sale ²⁵
3.000		5.98	05/12/20	7.68	1.70 W	0.00	54 of 107 - Sale ²⁵
97.000		193.51	05/12/20	248.35	54.84 W	0.00	55 of 107 - Sale ²⁵
22.000		43.89	05/12/20	56.33	12.44 W	0.00	56 of 107 - Sale ²⁵
7.000		14.00	05/12/20	17.92	3.92 W	0.00	57 of 107 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol: (cont'd)							
	68.000	135.99	05/12/20	174.12	38.13 W	0.00	58 of 107 - Sale ²⁵
	100.000	199.98	05/12/20	257.07	57.09 W	0.00	59 of 107 - Sale ²⁵
	100.000	199.98	05/12/20	257.06	57.08 W	0.00	60 of 107 - Sale ²⁵
	100.000	199.98	05/12/20	257.07	57.09 W	0.00	61 of 107 - Sale ²⁵
	25.000	50.00	05/12/20	64.26	14.26 W	0.00	62 of 107 - Sale ²⁵
	97.000	193.98	05/12/20	249.48	55.50 W	0.00	63 of 107 - Sale ²⁵
	75.000	149.99	05/12/20	192.79	42.80 W	0.00	64 of 107 - Sale ²⁵
	100.000	199.98	05/12/20	257.06	57.08 W	0.00	65 of 107 - Sale ²⁵
	100.000	199.98	05/12/20	257.21	57.23 W	0.00	66 of 107 - Sale ²⁵
	100.000	199.98	05/12/20	257.19	57.21 W	0.00	67 of 107 - Sale ²⁵
	28.000	56.00	05/12/20	72.02	16.02 W	0.00	68 of 107 - Sale ²⁵
	26.000	52.00	05/12/20	66.87	14.87 W	0.00	69 of 107 - Sale ²⁵
	28.000	56.00	05/12/20	72.02	16.02 W	0.00	70 of 107 - Sale ²⁵
	74.000	147.99	05/12/20	190.31	42.32 W	0.00	71 of 107 - Sale ²⁵
	72.000	143.99	05/12/20	185.18	41.19 W	0.00	72 of 107 - Sale ²⁵
	14.000	27.93	05/12/20	36.01	8.08 W	0.00	73 of 107 - Sale ²⁵
	58.000	115.70	05/12/20	149.16	33.46 W	0.00	74 of 107 - Sale ²⁵
	28.000	55.86	05/12/20	72.15	16.29 W	0.00	75 of 107 - Sale ²⁵
	1.000	1.99	05/12/20	2.58	0.59 W	0.00	76 of 107 - Sale ²⁵
	2.000	3.98	05/12/20	5.10	1.12 W	0.00	77 of 107 - Sale ²⁵
	71.000	141.28	05/12/20	182.95	41.67 W	0.00	78 of 107 - Sale ²⁵
	104.000	206.94	05/12/20	267.98	61.04 W	0.00	79 of 107 - Sale ²⁵
	3.000	5.97	05/12/20	8.48	2.51 W	0.00	80 of 107 - Sale ²⁵
	7.000	13.93	05/12/20	19.74	5.81 W	0.00	81 of 107 - Sale ²⁵
	22.000	43.78	05/12/20	62.16	18.38 W	0.00	82 of 107 - Sale ²⁵
	68.000	135.31	05/12/20	191.81	56.50 W	0.00	83 of 107 - Sale ²⁵
	97.000	193.01	05/12/20	274.06	81.05 W	0.00	84 of 107 - Sale ²⁵
	100.000	198.98	05/12/20	283.09	84.11 W	0.00	85 of 107 - Sale ²⁵
	100.000	198.98	05/12/20	283.08	84.10 W	0.00	86 of 107 - Sale ²⁵
	73.000	145.26	05/12/20	206.66	61.40 W	0.00	87 of 107 - Sale ²⁵
	1.000	1.99	05/12/20	2.85	0.86 W	0.00	88 of 107 - Sale ²⁵
	2.000	3.98	05/12/20	5.64	1.66 W	0.00	89 of 107 - Sale ²⁵
	14.000	27.86	05/12/20	39.72	11.86 W	0.00	90 of 107 - Sale ²⁵
	25.000	49.75	05/12/20	70.76	21.01 W	0.00	91 of 107 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
REMARK HOLDINGS, INC. COMMON S TOCK / CUSIP: 75955K102 / Symbol: (cont'd)							
	26.000	51.74	05/12/20	73.63	21.89 W	0.00	92 of 107 - Sale ²⁵
	28.000	55.72	05/12/20	79.30	23.58 W	0.00	93 of 107 - Sale ²⁵
	28.000	55.71	05/12/20	79.30	23.59 W	0.00	94 of 107 - Sale ²⁵
	28.000	55.71	05/12/20	79.57	23.86 W	0.00	95 of 107 - Sale ²⁵
	58.000	115.41	05/12/20	164.54	49.13 W	0.00	96 of 107 - Sale ²⁵
	71.000	141.28	05/12/20	202.13	60.85 W	0.00	97 of 107 - Sale ²⁵
	72.000	143.27	05/12/20	203.91	60.64 W	0.00	98 of 107 - Sale ²⁵
	74.000	147.25	05/12/20	209.56	62.31 W	0.00	99 of 107 - Sale ²⁵
	75.000	149.24	05/12/20	212.30	63.06 W	0.00	100 of 107 - Sale ²⁵
	97.000	193.01	05/12/20	274.72	81.71 W	0.00	101 of 107 - Sale ²⁵
	27.000	53.73	05/12/20	76.43	22.70 W	0.00	102 of 107 - Sale ²⁵
	100.000	198.98	05/12/20	283.08	84.10 W	0.00	103 of 107 - Sale ²⁵
	100.000	198.98	05/12/20	283.23	84.25 W	0.00	104 of 107 - Sale ²⁵
	100.000	198.98	05/12/20	283.21	84.23 W	0.00	105 of 107 - Sale ²⁵
	104.000	206.94	05/12/20	296.08	89.14 W	0.00	106 of 107 - Sale ²⁵
	1.000	1.98	05/12/20	2.84	0.86 W	0.00	107 of 107 - Sale ²⁵
05/12/20	7,600.000	16,376.80	Various	19,736.33	3,282.06 W	-77.47	Total of 107 transactions
06/09/20	1.000	2.54	06/09/20	3.38	...	-0.84	Sale ²⁵
	3 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	100.000	177.99	08/10/20	177.00	...	0.99	1 of 3 - Sale ²⁵
	300.000	533.94	08/10/20	531.00	...	2.94	2 of 3 - Sale ²⁵
	800.000	1,423.86	08/10/20	1,416.00	...	7.86	3 of 3 - Sale ²⁵
08/10/20	1,200.000	2,135.79	Various	2,124.00	...	11.79	Total of 3 transactions
	Security total:	27,729.48		31,996.20	3,282.28 W	-984.44	
RESTORBIO, INC. COMMON STOCK / CUSIP: 76133L103 / Symbol:							
	4 transactions for 07/02/20. Total proceeds and cost reported to the IRS.						
	845.000	1,858.86	07/02/20	1,935.05	...	-76.19	1 of 4 - Sale ²⁵
	282.000	620.35	07/02/20	645.78	...	-25.43	2 of 4 - Sale ²⁵
	55.000	120.99	07/02/20	125.95	...	-4.96	3 of 4 - Sale ²⁵
	18.000	39.60	07/02/20	41.22	...	-1.62	4 of 4 - Sale ²⁵
07/02/20	1,200.000	2,639.80	Various	2,748.00	...	-108.20	Total of 4 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RIGEL PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 766559603 / Symbol:							
2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	1,000.000	4,299.78	07/14/20	4,355.00	...	-55.22	1 of 2 - Sale ²⁵
	200.000	859.96	07/14/20	871.00	...	-11.04	2 of 2 - Sale ²⁵
07/14/20	1,200.000	5,159.74	Various	5,226.00	...	-66.26	Total of 2 transactions
RIGNET, INC. COMMON STOCK / CUSIP: 766582100 / Symbol:							
6 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	250.000	677.48	07/23/20	765.02	...	-87.54	1 of 6 - Sale ²⁵
	120.000	325.19	07/23/20	396.03	...	-70.84	2 of 6 - Sale ²⁵
	100.000	270.99	07/23/20	330.02	...	-59.03	3 of 6 - Sale ²⁵
	150.000	406.49	07/23/20	459.02	...	-52.53	4 of 6 - Sale ²⁵
	350.000	948.47	07/23/20	986.96	...	-38.49	5 of 6 - Sale ²⁵
	30.000	81.30	07/23/20	99.01	...	-17.71	6 of 6 - Sale ²⁵
07/23/20	1,000.000	2,709.92	Various	3,036.06	...	-326.14	Total of 6 transactions
7 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	30.000	82.80	07/23/20	90.00	7.20 W	0.00	1 of 7 - Sale ²⁵
	100.000	275.99	07/23/20	300.00	24.01 W	0.00	2 of 7 - Sale ²⁵
	270.000	745.15	07/23/20	810.00	64.85 W	0.00	3 of 7 - Sale ²⁵
	250.000	689.95	07/23/20	750.00	60.05 W	0.00	4 of 7 - Sale ²⁵
	30.000	82.79	07/23/20	97.20	14.41 W	0.00	5 of 7 - Sale ²⁵
	100.000	275.98	07/23/20	324.01	48.03 W	0.00	6 of 7 - Sale ²⁵
	120.000	331.18	07/23/20	388.82	57.64 W	0.00	7 of 7 - Sale ²⁵
07/23/20	900.000	2,483.84	Various	2,760.03	276.19 W	0.00	Total of 7 transactions
	Security total:	5,193.76		5,796.09	276.19 W	-326.14	
SG BLOCKS, INC. COMMON STOCK / CUSIP: 78418A505 / Symbol:							
2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	40.000	108.40	07/01/20	108.40	...	0.00	1 of 2 - Sale ²⁵
	16.000	43.36	07/01/20	43.36	...	0.00	2 of 2 - Sale ²⁵
07/01/20	56.000	151.76	Various	151.76	...	0.00	Total of 2 transactions
4 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	300.000	800.97	07/01/20	813.00	...	-12.03	1 of 4 - Sale ²⁵
	300.000	800.98	07/01/20	813.00	...	-12.02	2 of 4 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SG BLOCKS, INC. COMMON STOCK / CUSIP: 78418A505 / Symbol: (cont'd)							
	244.000	651.46	07/01/20	661.24	...	-9.78	3 of 4 - Sale ²⁵
	100.000	266.99	07/01/20	271.00	...	-4.01	4 of 4 - Sale ²⁵
07/02/20	944.000	2,520.40	Various	2,558.24	...	-37.84	Total of 4 transactions
	Security total:	2,672.16		2,710.00	...	-37.84	
SAFE-T GROUP LTD. AMERICAN DEP OSITARY SHARE / CUSIP: 78643B401 / Symbol:							
2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	100.000	164.99	07/21/20	163.88	...	1.11	1 of 2 - Sale ²⁵
	1,000.000	1,649.84	07/21/20	1,638.80	...	11.04	2 of 2 - Sale ²⁵
07/21/20	1,100.000	1,814.83	Various	1,802.68	...	12.15	Total of 2 transactions
SCHULTZE SPECIAL PURPOSE ACQUI SITION CORP. COMMON STOCK / CUSIP: 80821R109 / Symbol:							
2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	600.000	6,108.51	08/10/20	6,150.00	...	-41.49	1 of 2 - Sale ²⁵
	400.000	4,072.34	08/10/20	4,100.00	...	-27.66	2 of 2 - Sale ²⁵
08/12/20	1,000.000	10,180.85	Various	10,250.00	...	-69.15	Total of 2 transactions
SEELOS THERAPEUTICS, INC. COMM ON STOCK / CUSIP: 81577F109 / Symbol:							
08/10/20	1,200.000	1,391.82	08/10/20	1,452.00	...	-60.18	Sale ²⁵
SHINECO, INC. COMMON STOCK / CUSIP: 824567200 / Symbol:							
13 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	597.000	358.13	07/27/20	453.86	...	-95.73	1 of 13 - Sale ²⁵
	536.000	321.53	07/27/20	407.49	...	-85.96	2 of 13 - Sale ²⁵
	400.000	239.95	07/27/20	304.10	...	-64.15	3 of 13 - Sale ²⁵
	161.000	96.58	07/27/20	122.40	...	-25.82	4 of 13 - Sale ²⁵
	100.000	59.99	07/27/20	76.02	...	-16.03	5 of 13 - Sale ²⁵
	100.000	59.99	07/27/20	76.02	...	-16.03	6 of 13 - Sale ²⁵
	61.000	36.59	07/27/20	46.37	...	-9.78	7 of 13 - Sale ²⁵
	39.000	23.40	07/27/20	29.65	...	-6.25	8 of 13 - Sale ²⁵
	100.000	59.99	07/27/20	63.59	...	-3.60	9 of 13 - Sale ²⁵
	3.000	1.80	07/27/20	2.28	...	-0.48	10 of 13 - Sale ²⁵
	1.000	0.60	07/27/20	0.76	...	-0.16	11 of 13 - Sale ²⁵
	1.000	0.60	07/27/20	0.76	...	-0.16	12 of 13 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SHINECO, INC. COMMON STOCK / CUSIP: 824567200 / Symbol: (cont'd)							
	1.000	0.60	07/27/20	0.76	...	-0.16	13 of 13 - Sale ²⁵
07/27/20	2,100.000	1,259.75	Various	1,584.06	...	-324.31	Total of 13 transactions
	4 transactions for 07/27/20. Total proceeds and cost reported to the IRS.						
	600.000	359.92	07/27/20	434.52	74.60 W	0.00	1 of 4 - Sale ²⁵
	200.000	119.97	07/27/20	144.84	24.87 W	0.00	2 of 4 - Sale ²⁵
	100.000	59.99	07/27/20	72.42	12.43 W	0.00	3 of 4 - Sale ²⁵
	1,100.000	659.85	07/27/20	796.62	136.77 W	0.00	4 of 4 - Sale ²⁵
07/27/20	2,000.000	1,199.73	Various	1,448.40	248.67 W	0.00	Total of 4 transactions
	Security total:	2,459.48		3,032.46	248.67 W	-324.31	
SINTX TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 829392307 / Symbol:							
06/22/20	189.000	425.22	06/22/20	415.80	...	9.42	Sale ²⁵
	48 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	200.000	403.97	06/22/20	536.00	132.03 W	0.00	1 of 48 - Sale ²⁵
	200.000	403.96	06/22/20	536.00	132.04 W	0.00	2 of 48 - Sale ²⁵
	200.000	403.97	06/22/20	536.00	132.03 W	0.00	3 of 48 - Sale ²⁵
	200.000	403.96	06/22/20	536.00	132.04 W	0.00	4 of 48 - Sale ²⁵
	11.000	22.22	06/22/20	28.92	6.70 W	0.00	5 of 48 - Sale ²⁵
	89.000	179.76	06/22/20	292.77	113.01 W	0.00	6 of 48 - Sale ²⁵
	200.000	403.96	06/22/20	657.91	253.95 W	0.00	7 of 48 - Sale ²⁵
	200.000	403.97	06/22/20	657.92	253.95 W	0.00	8 of 48 - Sale ²⁵
	11.000	24.75	06/22/20	30.90	6.15 W	0.00	9 of 48 - Sale ²⁵
	89.000	200.23	06/22/20	308.81	108.58 W	0.00	10 of 48 - Sale ²⁵
	111.000	249.73	06/22/20	317.48	67.75 W	0.00	11 of 48 - Sale ²⁵
	200.000	449.97	06/22/20	572.04	122.07 W	0.00	12 of 48 - Sale ²⁵
	200.000	449.96	06/22/20	693.95	243.99 W	0.00	13 of 48 - Sale ²⁵
	200.000	449.97	06/22/20	693.95	243.98 W	0.00	14 of 48 - Sale ²⁵
	24.000	51.60	06/22/20	67.45	15.85 W	0.00	15 of 48 - Sale ²⁵
	76.000	163.39	06/22/20	213.59	50.20 W	0.00	16 of 48 - Sale ²⁵
	11.000	23.65	06/22/20	30.35	6.70 W	0.00	17 of 48 - Sale ²⁵
	11.000	23.65	06/22/20	30.91	7.26 W	0.00	18 of 48 - Sale ²⁵
	102.000	219.28	06/22/20	348.83	129.55 W	0.00	19 of 48 - Sale ²⁵
	200.000	429.96	06/22/20	562.07	132.11 W	0.00	20 of 48 - Sale ²⁵
	2.000	4.30	06/22/20	6.84	2.54 W	0.00	21 of 48 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SINTX TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 829392307 / Symbol: (cont'd)							
	98.000	210.68	06/22/20	335.16	124.48 W	0.00	22 of 48 - Sale ²⁵
	100.000	214.98	06/22/20	341.99	127.01 W	0.00	23 of 48 - Sale ²⁵
	2.000	4.30	06/22/20	6.84	2.54 W	0.00	24 of 48 - Sale ²⁵
	98.000	210.68	06/22/20	335.15	124.47 W	0.00	25 of 48 - Sale ²⁵
	24.000	51.60	06/22/20	68.65	17.05 W	0.00	26 of 48 - Sale ²⁵
	65.000	139.74	06/22/20	185.93	46.19 W	0.00	27 of 48 - Sale ²⁵
	87.000	187.04	06/22/20	297.54	110.50 W	0.00	28 of 48 - Sale ²⁵
	11.000	23.65	06/22/20	31.47	7.82 W	0.00	29 of 48 - Sale ²⁵
	89.000	191.33	06/22/20	195.80	4.47 W	0.00	30 of 48 - Sale ²⁵
	10.000	19.30	06/22/20	27.90	8.60 W	0.00	31 of 48 - Sale ²⁵
	1.000	1.93	06/22/20	2.79	0.86 W	0.00	32 of 48 - Sale ²⁵
	2.000	3.86	06/22/20	6.79	2.93 W	0.00	33 of 48 - Sale ²⁵
	11.000	21.23	06/22/20	30.07	8.84 W	0.00	34 of 48 - Sale ²⁵
	98.000	189.12	06/22/20	332.73	143.61 W	0.00	35 of 48 - Sale ²⁵
	32.000	61.76	06/22/20	108.64	46.88 W	0.00	36 of 48 - Sale ²⁵
	100.000	192.98	06/22/20	339.51	146.53 W	0.00	37 of 48 - Sale ²⁵
	102.000	196.84	06/22/20	346.30	149.46 W	0.00	38 of 48 - Sale ²⁵
	200.000	385.96	06/22/20	557.11	171.15 W	0.00	39 of 48 - Sale ²⁵
	2.000	3.86	06/22/20	6.79	2.93 W	0.00	40 of 48 - Sale ²⁵
	10.000	19.30	06/22/20	29.85	10.55 W	0.00	41 of 48 - Sale ²⁵
	11.000	21.23	06/22/20	31.20	9.97 W	0.00	42 of 48 - Sale ²⁵
	24.000	46.32	06/22/20	68.05	21.73 W	0.00	43 of 48 - Sale ²⁵
	65.000	125.44	06/22/20	184.31	58.87 W	0.00	44 of 48 - Sale ²⁵
	87.000	167.90	06/22/20	295.38	127.48 W	0.00	45 of 48 - Sale ²⁵
	89.000	171.75	06/22/20	193.59	21.84 W	0.00	46 of 48 - Sale ²⁵
	66.000	127.37	06/22/20	224.08	96.71 W	0.00	47 of 48 - Sale ²⁵
	90.000	173.68	06/22/20	191.25	17.57 W	0.00	48 of 48 - Sale ²⁵
06/22/20	4,111.000	8,530.04	Various	12,433.56	3,903.52 W	0.00	Total of 48 transactions
	10 transactions for 06/24/20. Total proceeds and cost reported to the IRS.						
	10.000	18.00	06/24/20	18.00	...	0.00	1 of 10 - Sale ²⁵
	1.000	1.93	06/24/20	1.92	...	0.01	2 of 10 - Sale ²⁵
	28.000	54.03	06/24/20	53.63	...	0.40	3 of 10 - Sale ²⁵
	61.000	117.72	06/24/20	116.86	...	0.86	4 of 10 - Sale ²⁵
	20.000	44.00	06/24/20	42.31	...	1.69	5 of 10 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SINTX TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 829392307 / Symbol: (cont'd)							
	29.000	63.79	06/24/20	61.35	...	2.44	6 of 10 - Sale ²⁵
	40.000	87.99	06/24/20	84.63	...	3.36	7 of 10 - Sale ²⁵
	189.000	453.56	06/24/20	444.15	...	9.41	8 of 10 - Sale ²⁵
	100.000	219.98	06/24/20	207.97	...	12.01	9 of 10 - Sale ²⁵
	100.000	219.98	06/24/20	207.94	...	12.04	10 of 10 - Sale ²⁵
06/24/20	578.000	1,280.98	Various	1,238.76	...	42.22	Total of 10 transactions
324 transactions for 06/24/20. Total proceeds and cost reported to the IRS.							
	1.000	1.80	06/24/20	2.48	0.68 W	0.00	1 of 324 - Sale ²⁵
	199.000	358.17	06/24/20	492.67	134.50 W	0.00	2 of 324 - Sale ²⁵
	1.000	1.80	06/24/20	2.48	0.68 W	0.00	3 of 324 - Sale ²⁵
	2.000	3.60	06/24/20	6.17	2.57 W	0.00	4 of 324 - Sale ²⁵
	11.000	19.80	06/24/20	26.66	6.86 W	0.00	5 of 324 - Sale ²⁵
	32.000	57.60	06/24/20	98.72	41.12 W	0.00	6 of 324 - Sale ²⁵
	35.000	62.99	06/24/20	107.99	45.00 W	0.00	7 of 324 - Sale ²⁵
	98.000	176.38	06/24/20	302.37	125.99 W	0.00	8 of 324 - Sale ²⁵
	100.000	179.98	06/24/20	308.53	128.55 W	0.00	9 of 324 - Sale ²⁵
	102.000	183.58	06/24/20	314.70	131.12 W	0.00	10 of 324 - Sale ²⁵
	19.000	34.20	06/24/20	58.62	24.42 W	0.00	11 of 324 - Sale ²⁵
	1.000	1.80	06/24/20	2.30	0.50 W	0.00	12 of 324 - Sale ²⁵
	2.000	3.60	06/24/20	6.17	2.57 W	0.00	13 of 324 - Sale ²⁵
	10.000	18.00	06/24/20	26.75	8.75 W	0.00	14 of 324 - Sale ²⁵
	11.000	19.80	06/24/20	27.79	7.99 W	0.00	15 of 324 - Sale ²⁵
	12.000	21.60	06/24/20	37.02	15.42 W	0.00	16 of 324 - Sale ²⁵
	24.000	43.20	06/24/20	60.61	17.41 W	0.00	17 of 324 - Sale ²⁵
	65.000	116.99	06/24/20	164.17	47.18 W	0.00	18 of 324 - Sale ²⁵
	87.000	156.59	06/24/20	268.42	111.83 W	0.00	19 of 324 - Sale ²⁵
	89.000	160.18	06/24/20	166.02	5.84 W	0.00	20 of 324 - Sale ²⁵
	90.000	161.98	06/24/20	163.37	1.39 W	0.00	21 of 324 - Sale ²⁵
	90.000	161.98	06/24/20	206.63	44.65 W	0.00	22 of 324 - Sale ²⁵
	19.000	34.20	06/24/20	43.62	9.42 W	0.00	23 of 324 - Sale ²⁵
	1.000	1.80	06/24/20	2.48	0.68 W	0.00	24 of 324 - Sale ²⁵
	2.000	3.60	06/24/20	6.17	2.57 W	0.00	25 of 324 - Sale ²⁵
	2.000	3.60	06/24/20	6.17	2.57 W	0.00	26 of 324 - Sale ²⁵
	11.000	19.80	06/24/20	26.66	6.86 W	0.00	27 of 324 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SINTX TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 829392307 / Symbol: (cont'd)							
11.000		19.80	06/24/20	27.79	7.99 W	0.00	28 of 324 - Sale ²⁵
12.000		21.60	06/24/20	37.02	15.42 W	0.00	29 of 324 - Sale ²⁵
19.000		34.20	06/24/20	58.62	24.42 W	0.00	30 of 324 - Sale ²⁵
24.000		43.20	06/24/20	60.61	17.41 W	0.00	31 of 324 - Sale ²⁵
32.000		57.59	06/24/20	98.72	41.13 W	0.00	32 of 324 - Sale ²⁵
35.000		62.99	06/24/20	108.00	45.01 W	0.00	33 of 324 - Sale ²⁵
65.000		116.99	06/24/20	164.18	47.19 W	0.00	34 of 324 - Sale ²⁵
87.000		156.59	06/24/20	268.43	111.84 W	0.00	35 of 324 - Sale ²⁵
20.000		35.99	06/24/20	37.31	1.32 W	0.00	36 of 324 - Sale ²⁵
90.000		161.99	06/24/20	222.83	60.84 W	0.00	37 of 324 - Sale ²⁵
98.000		176.38	06/24/20	302.39	126.01 W	0.00	38 of 324 - Sale ²⁵
100.000		179.99	06/24/20	308.55	128.56 W	0.00	39 of 324 - Sale ²⁵
102.000		183.58	06/24/20	314.72	131.14 W	0.00	40 of 324 - Sale ²⁵
1.000		1.80	06/24/20	2.30	0.50 W	0.00	41 of 324 - Sale ²⁵
10.000		18.00	06/24/20	26.75	8.75 W	0.00	42 of 324 - Sale ²⁵
19.000		34.20	06/24/20	43.62	9.42 W	0.00	43 of 324 - Sale ²⁵
69.000		124.19	06/24/20	128.73	4.54 W	0.00	44 of 324 - Sale ²⁵
90.000		161.98	06/24/20	163.39	1.41 W	0.00	45 of 324 - Sale ²⁵
90.000		161.98	06/24/20	206.65	44.67 W	0.00	46 of 324 - Sale ²⁵
1.000		1.94	06/24/20	2.58	0.64 W	0.00	47 of 324 - Sale ²⁵
2.000		3.88	06/24/20	6.37	2.49 W	0.00	48 of 324 - Sale ²⁵
11.000		21.34	06/24/20	27.76	6.42 W	0.00	49 of 324 - Sale ²⁵
90.000		174.58	06/24/20	231.84	57.26 W	0.00	50 of 324 - Sale ²⁵
2.000		3.88	06/24/20	6.37	2.49 W	0.00	51 of 324 - Sale ²⁵
98.000		190.10	06/24/20	312.21	122.11 W	0.00	52 of 324 - Sale ²⁵
100.000		193.98	06/24/20	318.57	124.59 W	0.00	53 of 324 - Sale ²⁵
96.000		186.23	06/24/20	305.82	119.59 W	0.00	54 of 324 - Sale ²⁵
2.000		3.86	06/24/20	6.37	2.51 W	0.00	55 of 324 - Sale ²⁵
11.000		21.23	06/24/20	28.89	7.66 W	0.00	56 of 324 - Sale ²⁵
12.000		23.16	06/24/20	38.22	15.06 W	0.00	57 of 324 - Sale ²⁵
19.000		36.67	06/24/20	60.52	23.85 W	0.00	58 of 324 - Sale ²⁵
20.000		38.60	06/24/20	39.32	0.72 W	0.00	59 of 324 - Sale ²⁵
24.000		46.32	06/24/20	63.01	16.69 W	0.00	60 of 324 - Sale ²⁵
32.000		61.75	06/24/20	101.93	40.18 W	0.00	61 of 324 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SINTX TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 829392307 / Symbol: (cont'd)							
35.000		67.54	06/24/20	111.51	43.97 W	0.00	62 of 324 - Sale ²⁵
40.000		77.19	06/24/20	78.63	1.44 W	0.00	63 of 324 - Sale ²⁵
65.000		125.44	06/24/20	170.69	45.25 W	0.00	64 of 324 - Sale ²⁵
87.000		167.90	06/24/20	277.14	109.24 W	0.00	65 of 324 - Sale ²⁵
4.000		7.72	06/24/20	12.74	5.02 W	0.00	66 of 324 - Sale ²⁵
10.000		19.30	06/24/20	27.75	8.45 W	0.00	67 of 324 - Sale ²⁵
29.000		55.97	06/24/20	57.01	1.04 W	0.00	68 of 324 - Sale ²⁵
1.000		1.93	06/24/20	2.40	0.47 W	0.00	69 of 324 - Sale ²⁵
1.000		1.93	06/24/20	2.54	0.61 W	0.00	70 of 324 - Sale ²⁵
2.000		3.86	06/24/20	6.29	2.43 W	0.00	71 of 324 - Sale ²⁵
6.000		11.58	06/24/20	18.88	7.30 W	0.00	72 of 324 - Sale ²⁵
11.000		21.23	06/24/20	27.32	6.09 W	0.00	73 of 324 - Sale ²⁵
19.000		36.67	06/24/20	45.52	8.85 W	0.00	74 of 324 - Sale ²⁵
90.000		173.68	06/24/20	215.67	41.99 W	0.00	75 of 324 - Sale ²⁵
90.000		173.68	06/24/20	228.26	54.58 W	0.00	76 of 324 - Sale ²⁵
1.000		2.20	06/24/20	2.55	0.35 W	0.00	77 of 324 - Sale ²⁵
1.000		2.20	06/24/20	2.69	0.49 W	0.00	78 of 324 - Sale ²⁵
2.000		4.40	06/24/20	6.65	2.25 W	0.00	79 of 324 - Sale ²⁵
2.000		4.40	06/24/20	6.67	2.27 W	0.00	80 of 324 - Sale ²⁵
2.000		4.40	06/24/20	6.59	2.19 W	0.00	81 of 324 - Sale ²⁵
4.000		8.80	06/24/20	13.34	4.54 W	0.00	82 of 324 - Sale ²⁵
6.000		13.20	06/24/20	19.78	6.58 W	0.00	83 of 324 - Sale ²⁵
10.000		22.00	06/24/20	29.25	7.25 W	0.00	84 of 324 - Sale ²⁵
11.000		24.20	06/24/20	30.54	6.34 W	0.00	85 of 324 - Sale ²⁵
11.000		24.20	06/24/20	28.97	4.77 W	0.00	86 of 324 - Sale ²⁵
12.000		26.40	06/24/20	40.02	13.62 W	0.00	87 of 324 - Sale ²⁵
19.000		41.80	06/24/20	63.36	21.56 W	0.00	88 of 324 - Sale ²⁵
19.000		41.80	06/24/20	48.37	6.57 W	0.00	89 of 324 - Sale ²⁵
24.000		52.80	06/24/20	66.60	13.80 W	0.00	90 of 324 - Sale ²⁵
32.000		70.39	06/24/20	106.73	36.34 W	0.00	91 of 324 - Sale ²⁵
35.000		76.99	06/24/20	116.76	39.77 W	0.00	92 of 324 - Sale ²⁵
65.000		142.99	06/24/20	180.43	37.44 W	0.00	93 of 324 - Sale ²⁵
87.000		191.39	06/24/20	290.17	98.78 W	0.00	94 of 324 - Sale ²⁵
90.000		197.98	06/24/20	229.16	31.18 W	0.00	95 of 324 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SINTX TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 829392307 / Symbol: (cont'd)							
90.000		197.99	06/24/20	241.75	43.76 W	0.00	96 of 324 - Sale ²⁵
94.000		206.78	06/24/20	312.60	105.82 W	0.00	97 of 324 - Sale ²⁵
96.000		211.18	06/24/20	319.24	108.06 W	0.00	98 of 324 - Sale ²⁵
98.000		215.58	06/24/20	325.92	110.34 W	0.00	99 of 324 - Sale ²⁵
2.000		4.70	06/24/20	6.95	2.25 W	0.00	100 of 324 - Sale ²⁵
4.000		9.40	06/24/20	13.94	4.54 W	0.00	101 of 324 - Sale ²⁵
6.000		14.10	06/24/20	20.91	6.81 W	0.00	102 of 324 - Sale ²⁵
94.000		220.88	06/24/20	326.72	105.84 W	0.00	103 of 324 - Sale ²⁵
96.000		225.58	06/24/20	333.66	108.08 W	0.00	104 of 324 - Sale ²⁵
98.000		230.28	06/24/20	340.64	110.36 W	0.00	105 of 324 - Sale ²⁵
2.000		4.70	06/24/20	6.97	2.27 W	0.00	106 of 324 - Sale ²⁵
12.000		28.20	06/24/20	41.82	13.62 W	0.00	107 of 324 - Sale ²⁵
19.000		44.65	06/24/20	66.21	21.56 W	0.00	108 of 324 - Sale ²⁵
19.000		44.65	06/24/20	55.58	10.93 W	0.00	109 of 324 - Sale ²⁵
26.000		61.09	06/24/20	90.63	29.54 W	0.00	110 of 324 - Sale ²⁵
35.000		82.24	06/24/20	122.02	39.78 W	0.00	111 of 324 - Sale ²⁵
87.000		204.43	06/24/20	303.23	98.80 W	0.00	112 of 324 - Sale ²⁵
1.000		2.35	06/24/20	2.70	0.35 W	0.00	113 of 324 - Sale ²⁵
5.000		11.75	06/24/20	14.62	2.87 W	0.00	114 of 324 - Sale ²⁵
10.000		23.49	06/24/20	30.75	7.26 W	0.00	115 of 324 - Sale ²⁵
11.000		25.85	06/24/20	32.19	6.34 W	0.00	116 of 324 - Sale ²⁵
65.000		152.74	06/24/20	190.19	37.45 W	0.00	117 of 324 - Sale ²⁵
8.000		18.80	06/24/20	21.57	2.77 W	0.00	118 of 324 - Sale ²⁵
1.000		2.35	06/24/20	2.84	0.49 W	0.00	119 of 324 - Sale ²⁵
2.000		4.70	06/24/20	6.89	2.19 W	0.00	120 of 324 - Sale ²⁵
6.000		14.10	06/24/20	20.68	6.58 W	0.00	121 of 324 - Sale ²⁵
11.000		25.85	06/24/20	30.62	4.77 W	0.00	122 of 324 - Sale ²⁵
19.000		44.65	06/24/20	51.22	6.57 W	0.00	123 of 324 - Sale ²⁵
82.000		192.68	06/24/20	221.11	28.43 W	0.00	124 of 324 - Sale ²⁵
90.000		211.48	06/24/20	255.26	43.78 W	0.00	125 of 324 - Sale ²⁵
89.000		209.13	06/24/20	309.36	100.23 W	0.00	126 of 324 - Sale ²⁵
5.000		11.75	06/24/20	17.38	5.63 W	0.00	127 of 324 - Sale ²⁵
95.000		223.24	06/24/20	330.23	106.99 W	0.00	128 of 324 - Sale ²⁵
2.000		4.70	06/24/20	6.95	2.25 W	0.00	129 of 324 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SINTX TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 829392307 / Symbol: (cont'd)							
	3.000	7.05	06/24/20	10.43		0.00	130 of 324 - Sale ²⁵
	95.000	223.24	06/24/20	330.20	106.96 W	0.00	131 of 324 - Sale ²⁵
	1.000	2.40	06/24/20	3.48	1.08 W	0.00	132 of 324 - Sale ²⁵
	4.000	9.60	06/24/20	13.94	4.34 W	0.00	133 of 324 - Sale ²⁵
	6.000	14.40	06/24/20	20.91	6.51 W	0.00	134 of 324 - Sale ²⁵
	9.000	21.60	06/24/20	31.37	9.77 W	0.00	135 of 324 - Sale ²⁵
	19.000	45.60	06/24/20	66.21	20.61 W	0.00	136 of 324 - Sale ²⁵
	26.000	62.40	06/24/20	90.64	28.24 W	0.00	137 of 324 - Sale ²⁵
	35.000	83.99	06/24/20	122.03	38.04 W	0.00	138 of 324 - Sale ²⁵
	1.000	2.40	06/24/20	2.70	0.30 W	0.00	139 of 324 - Sale ²⁵
	1.000	2.40	06/24/20	2.70	0.30 W	0.00	140 of 324 - Sale ²⁵
	2.000	4.80	06/24/20	6.97	2.17 W	0.00	141 of 324 - Sale ²⁵
	5.000	12.00	06/24/20	14.62	2.62 W	0.00	142 of 324 - Sale ²⁵
	8.000	19.20	06/24/20	21.57	2.37 W	0.00	143 of 324 - Sale ²⁵
	10.000	24.00	06/24/20	30.76	6.76 W	0.00	144 of 324 - Sale ²⁵
	11.000	26.40	06/24/20	32.19	5.79 W	0.00	145 of 324 - Sale ²⁵
	3.000	7.20	06/24/20	10.45	3.25 W	0.00	146 of 324 - Sale ²⁵
	19.000	45.60	06/24/20	55.58	9.98 W	0.00	147 of 324 - Sale ²⁵
	65.000	155.99	06/24/20	190.20	34.21 W	0.00	148 of 324 - Sale ²⁵
	82.000	196.78	06/24/20	221.13	24.35 W	0.00	149 of 324 - Sale ²⁵
	87.000	208.78	06/24/20	303.25	94.47 W	0.00	150 of 324 - Sale ²⁵
	7.000	16.80	06/24/20	19.86	3.06 W	0.00	151 of 324 - Sale ²⁵
	18.000	43.20	06/24/20	48.52	5.32 W	0.00	152 of 324 - Sale ²⁵
	1.000	2.40	06/24/20	2.84	0.44 W	0.00	153 of 324 - Sale ²⁵
	1.000	2.40	06/24/20	3.43	1.03 W	0.00	154 of 324 - Sale ²⁵
	2.000	4.80	06/24/20	6.89	2.09 W	0.00	155 of 324 - Sale ²⁵
	2.000	4.80	06/24/20	6.95	2.15 W	0.00	156 of 324 - Sale ²⁵
	3.000	7.20	06/24/20	10.43	3.23 W	0.00	157 of 324 - Sale ²⁵
	4.000	9.60	06/24/20	13.74	4.14 W	0.00	158 of 324 - Sale ²⁵
	5.000	12.00	06/24/20	17.38	5.38 W	0.00	159 of 324 - Sale ²⁵
	6.000	14.40	06/24/20	20.68	6.28 W	0.00	160 of 324 - Sale ²⁵
	6.000	14.40	06/24/20	20.61	6.21 W	0.00	161 of 324 - Sale ²⁵
	9.000	21.60	06/24/20	30.92	9.32 W	0.00	162 of 324 - Sale ²⁵
	11.000	26.40	06/24/20	30.62	4.22 W	0.00	163 of 324 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SINTX TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 829392307 / Symbol: (cont'd)							
	19.000	45.60	06/24/20	65.26	19.66 W	0.00	164 of 324 - Sale ²⁵
	26.000	62.40	06/24/20	89.34	26.94 W	0.00	165 of 324 - Sale ²⁵
	35.000	83.99	06/24/20	120.29	36.30 W	0.00	166 of 324 - Sale ²⁵
	83.000	199.18	06/24/20	235.42	36.24 W	0.00	167 of 324 - Sale ²⁵
	89.000	213.58	06/24/20	309.38	95.80 W	0.00	168 of 324 - Sale ²⁵
	95.000	227.98	06/24/20	330.24	102.26 W	0.00	169 of 324 - Sale ²⁵
	95.000	227.98	06/24/20	330.21	102.23 W	0.00	170 of 324 - Sale ²⁵
	1.000	2.41	06/24/20	2.65	0.24 W	0.00	171 of 324 - Sale ²⁵
	1.000	2.41	06/24/20	2.65	0.24 W	0.00	172 of 324 - Sale ²⁵
	2.000	4.82	06/24/20	6.87	2.05 W	0.00	173 of 324 - Sale ²⁵
	3.000	7.23	06/24/20	10.30	3.07 W	0.00	174 of 324 - Sale ²⁵
	5.000	12.05	06/24/20	14.37	2.32 W	0.00	175 of 324 - Sale ²⁵
	6.000	14.46	06/24/20	15.87	1.41 W	0.00	176 of 324 - Sale ²⁵
	8.000	19.28	06/24/20	21.17	1.89 W	0.00	177 of 324 - Sale ²⁵
	10.000	24.10	06/24/20	30.26	6.16 W	0.00	178 of 324 - Sale ²⁵
	11.000	26.51	06/24/20	31.64	5.13 W	0.00	179 of 324 - Sale ²⁵
	19.000	45.79	06/24/20	54.63	8.84 W	0.00	180 of 324 - Sale ²⁵
	65.000	156.64	06/24/20	186.96	30.32 W	0.00	181 of 324 - Sale ²⁵
	82.000	197.60	06/24/20	217.05	19.45 W	0.00	182 of 324 - Sale ²⁵
	87.000	209.65	06/24/20	298.92	89.27 W	0.00	183 of 324 - Sale ²⁵
	1.000	2.41	06/24/20	2.79	0.38 W	0.00	184 of 324 - Sale ²⁵
	2.000	4.82	06/24/20	6.79	1.97 W	0.00	185 of 324 - Sale ²⁵
	5.000	12.05	06/24/20	17.13	5.08 W	0.00	186 of 324 - Sale ²⁵
	6.000	14.46	06/24/20	20.38	5.92 W	0.00	187 of 324 - Sale ²⁵
	7.000	16.87	06/24/20	19.51	2.64 W	0.00	188 of 324 - Sale ²⁵
	11.000	26.51	06/24/20	30.07	3.56 W	0.00	189 of 324 - Sale ²⁵
	12.000	28.92	06/24/20	31.75	2.83 W	0.00	190 of 324 - Sale ²⁵
	83.000	200.01	06/24/20	231.29	31.28 W	0.00	191 of 324 - Sale ²⁵
	84.000	202.42	06/24/20	287.82	85.40 W	0.00	192 of 324 - Sale ²⁵
	89.000	214.47	06/24/20	304.95	90.48 W	0.00	193 of 324 - Sale ²⁵
	1.000	2.15	06/24/20	3.38	1.23 W	0.00	194 of 324 - Sale ²⁵
	2.000	4.30	06/24/20	6.85	2.55 W	0.00	195 of 324 - Sale ²⁵
	3.000	6.45	06/24/20	10.28	3.83 W	0.00	196 of 324 - Sale ²⁵
	4.000	8.60	06/24/20	13.54	4.94 W	0.00	197 of 324 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SINTX TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 829392307 / Symbol: (cont'd)							
	6.000	12.90	06/24/20	20.31	7.41 W	0.00	198 of 324 - Sale ²⁵
	11.000	23.65	06/24/20	37.69	14.04 W	0.00	199 of 324 - Sale ²⁵
	22.000	47.30	06/24/20	74.52	27.22 W	0.00	200 of 324 - Sale ²⁵
	26.000	55.90	06/24/20	88.04	32.14 W	0.00	201 of 324 - Sale ²⁵
	95.000	204.23	06/24/20	325.48	121.25 W	0.00	202 of 324 - Sale ²⁵
	1.000	2.15	06/24/20	2.59	0.44 W	0.00	203 of 324 - Sale ²⁵
	2.000	4.30	06/24/20	6.75	2.45 W	0.00	204 of 324 - Sale ²⁵
	3.000	6.45	06/24/20	10.12	3.67 W	0.00	205 of 324 - Sale ²⁵
	5.000	10.75	06/24/20	14.07	3.32 W	0.00	206 of 324 - Sale ²⁵
	8.000	17.20	06/24/20	20.69	3.49 W	0.00	207 of 324 - Sale ²⁵
	9.000	19.35	06/24/20	30.47	11.12 W	0.00	208 of 324 - Sale ²⁵
	10.000	21.50	06/24/20	29.66	8.16 W	0.00	209 of 324 - Sale ²⁵
	11.000	23.65	06/24/20	30.98	7.33 W	0.00	210 of 324 - Sale ²⁵
	13.000	27.95	06/24/20	44.03	16.08 W	0.00	211 of 324 - Sale ²⁵
	19.000	40.85	06/24/20	64.31	23.46 W	0.00	212 of 324 - Sale ²⁵
	19.000	40.85	06/24/20	53.49	12.64 W	0.00	213 of 324 - Sale ²⁵
	48.000	103.19	06/24/20	124.19	21.00 W	0.00	214 of 324 - Sale ²⁵
	65.000	139.74	06/24/20	183.07	43.33 W	0.00	215 of 324 - Sale ²⁵
	87.000	187.03	06/24/20	293.72	106.69 W	0.00	216 of 324 - Sale ²⁵
	1.000	2.15	06/24/20	2.59	0.44 W	0.00	217 of 324 - Sale ²⁵
	1.000	2.15	06/24/20	2.59	0.44 W	0.00	218 of 324 - Sale ²⁵
	6.000	12.90	06/24/20	15.51	2.61 W	0.00	219 of 324 - Sale ²⁵
	7.000	15.05	06/24/20	19.09	4.04 W	0.00	220 of 324 - Sale ²⁵
	12.000	25.80	06/24/20	31.03	5.23 W	0.00	221 of 324 - Sale ²⁵
	33.000	70.94	06/24/20	85.37	14.43 W	0.00	222 of 324 - Sale ²⁵
	70.000	150.49	06/24/20	190.88	40.39 W	0.00	223 of 324 - Sale ²⁵
	1.000	2.15	06/24/20	2.73	0.58 W	0.00	224 of 324 - Sale ²⁵
	2.000	4.30	06/24/20	6.67	2.37 W	0.00	225 of 324 - Sale ²⁵
	5.000	10.75	06/24/20	16.83	6.08 W	0.00	226 of 324 - Sale ²⁵
	6.000	12.90	06/24/20	20.02	7.12 W	0.00	227 of 324 - Sale ²⁵
	11.000	23.65	06/24/20	29.40	5.75 W	0.00	228 of 324 - Sale ²⁵
	13.000	27.95	06/24/20	35.44	7.49 W	0.00	229 of 324 - Sale ²⁵
	84.000	180.58	06/24/20	282.75	102.17 W	0.00	230 of 324 - Sale ²⁵
	89.000	191.33	06/24/20	299.58	108.25 W	0.00	231 of 324 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SINTX TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 829392307 / Symbol: (cont'd)							
89.000		191.33	06/24/20	209.09	17.76 W	0.00	232 of 324 - Sale ²⁵
300.000		644.94	06/24/20	704.82	59.88 W	0.00	233 of 324 - Sale ²⁵
75.000		163.48	06/24/20	176.22	12.74 W	0.00	234 of 324 - Sale ²⁵
100.000		217.97	06/24/20	234.96	16.99 W	0.00	235 of 324 - Sale ²⁵
1.000		2.18	06/24/20	3.38	1.20 W	0.00	236 of 324 - Sale ²⁵
2.000		4.36	06/24/20	6.85	2.49 W	0.00	237 of 324 - Sale ²⁵
2.000		4.36	06/24/20	6.75	2.39 W	0.00	238 of 324 - Sale ²⁵
3.000		6.54	06/24/20	10.28	3.74 W	0.00	239 of 324 - Sale ²⁵
3.000		6.54	06/24/20	10.12	3.58 W	0.00	240 of 324 - Sale ²⁵
4.000		8.72	06/24/20	13.54	4.82 W	0.00	241 of 324 - Sale ²⁵
6.000		13.08	06/24/20	20.31	7.23 W	0.00	242 of 324 - Sale ²⁵
9.000		19.62	06/24/20	30.47	10.85 W	0.00	243 of 324 - Sale ²⁵
11.000		23.98	06/24/20	37.69	13.71 W	0.00	244 of 324 - Sale ²⁵
13.000		28.34	06/24/20	44.03	15.69 W	0.00	245 of 324 - Sale ²⁵
19.000		41.42	06/24/20	64.31	22.89 W	0.00	246 of 324 - Sale ²⁵
22.000		47.96	06/24/20	74.52	26.56 W	0.00	247 of 324 - Sale ²⁵
26.000		56.68	06/24/20	88.04	31.36 W	0.00	248 of 324 - Sale ²⁵
84.000		183.10	06/24/20	283.61	100.51 W	0.00	249 of 324 - Sale ²⁵
95.000		207.08	06/24/20	325.50	118.42 W	0.00	250 of 324 - Sale ²⁵
1.000		2.18	06/24/20	2.59	0.41 W	0.00	251 of 324 - Sale ²⁵
1.000		2.18	06/24/20	2.59	0.41 W	0.00	252 of 324 - Sale ²⁵
1.000		2.18	06/24/20	2.59	0.41 W	0.00	253 of 324 - Sale ²⁵
3.000		6.54	06/24/20	10.13	3.59 W	0.00	254 of 324 - Sale ²⁵
5.000		10.90	06/24/20	14.07	3.17 W	0.00	255 of 324 - Sale ²⁵
6.000		13.08	06/24/20	15.51	2.43 W	0.00	256 of 324 - Sale ²⁵
7.000		15.26	06/24/20	19.09	3.83 W	0.00	257 of 324 - Sale ²⁵
8.000		17.44	06/24/20	20.69	3.25 W	0.00	258 of 324 - Sale ²⁵
10.000		21.80	06/24/20	29.66	7.86 W	0.00	259 of 324 - Sale ²⁵
11.000		23.98	06/24/20	30.98	7.00 W	0.00	260 of 324 - Sale ²⁵
12.000		26.16	06/24/20	31.03	4.87 W	0.00	261 of 324 - Sale ²⁵
19.000		41.42	06/24/20	53.49	12.07 W	0.00	262 of 324 - Sale ²⁵
33.000		71.93	06/24/20	85.38	13.45 W	0.00	263 of 324 - Sale ²⁵
48.000		104.63	06/24/20	124.20	19.57 W	0.00	264 of 324 - Sale ²⁵
65.000		141.69	06/24/20	183.08	41.39 W	0.00	265 of 324 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SINTX TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 829392307 / Symbol: (cont'd)							
70.000		152.59	06/24/20	190.89	38.30 W	0.00	266 of 324 - Sale ²⁵
1.000		2.18	06/24/20	2.73	0.55 W	0.00	267 of 324 - Sale ²⁵
2.000		4.36	06/24/20	6.67	2.31 W	0.00	268 of 324 - Sale ²⁵
5.000		10.90	06/24/20	16.83	5.93 W	0.00	269 of 324 - Sale ²⁵
6.000		13.08	06/24/20	20.02	6.94 W	0.00	270 of 324 - Sale ²⁵
11.000		23.98	06/24/20	29.40	5.42 W	0.00	271 of 324 - Sale ²⁵
13.000		28.34	06/24/20	35.44	7.10 W	0.00	272 of 324 - Sale ²⁵
14.000		30.52	06/24/20	32.89	2.37 W	0.00	273 of 324 - Sale ²⁵
84.000		183.10	06/24/20	282.77	99.67 W	0.00	274 of 324 - Sale ²⁵
89.000		194.00	06/24/20	299.60	105.60 W	0.00	275 of 324 - Sale ²⁵
25.000		52.76	06/24/20	58.74	5.98 W	0.00	276 of 324 - Sale ²⁵
75.000		158.29	06/24/20	176.22	17.93 W	0.00	277 of 324 - Sale ²⁵
25.000		51.50	06/24/20	56.24	4.74 W	0.00	278 of 324 - Sale ²⁵
50.000		103.00	06/24/20	112.48	9.48 W	0.00	279 of 324 - Sale ²⁵
2.000		4.12	06/24/20	6.59	2.47 W	0.00	280 of 324 - Sale ²⁵
3.000		6.18	06/24/20	9.89	3.71 W	0.00	281 of 324 - Sale ²⁵
11.000		22.66	06/24/20	36.26	13.60 W	0.00	282 of 324 - Sale ²⁵
9.000		18.54	06/24/20	29.67	11.13 W	0.00	283 of 324 - Sale ²⁵
25.000		51.50	06/24/20	56.24	4.74 W	0.00	284 of 324 - Sale ²⁵
10.000		20.60	06/24/20	32.97	12.37 W	0.00	285 of 324 - Sale ²⁵
1.000		2.06	06/24/20	3.25	1.19 W	0.00	286 of 324 - Sale ²⁵
1.000		2.06	06/24/20	2.46	0.40 W	0.00	287 of 324 - Sale ²⁵
1.000		2.06	06/24/20	2.46	0.40 W	0.00	288 of 324 - Sale ²⁵
1.000		2.06	06/24/20	2.46	0.40 W	0.00	289 of 324 - Sale ²⁵
1.000		2.06	06/24/20	2.60	0.54 W	0.00	290 of 324 - Sale ²⁵
2.000		4.12	06/24/20	6.49	2.37 W	0.00	291 of 324 - Sale ²⁵
2.000		4.12	06/24/20	6.41	2.29 W	0.00	292 of 324 - Sale ²⁵
3.000		6.18	06/24/20	9.73	3.55 W	0.00	293 of 324 - Sale ²⁵
3.000		6.18	06/24/20	9.74	3.56 W	0.00	294 of 324 - Sale ²⁵
4.000		8.24	06/24/20	13.02	4.78 W	0.00	295 of 324 - Sale ²⁵
5.000		10.30	06/24/20	13.42	3.12 W	0.00	296 of 324 - Sale ²⁵
5.000		10.30	06/24/20	16.18	5.88 W	0.00	297 of 324 - Sale ²⁵
6.000		12.36	06/24/20	19.53	7.17 W	0.00	298 of 324 - Sale ²⁵
6.000		12.36	06/24/20	14.73	2.37 W	0.00	299 of 324 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SINTX TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 829392307 / Symbol: (cont'd)							
	6.000	12.36	06/24/20	19.24	6.88 W	0.00	300 of 324 - Sale ²⁵
	7.000	14.42	06/24/20	18.18	3.76 W	0.00	301 of 324 - Sale ²⁵
	8.000	16.48	06/24/20	19.65	3.17 W	0.00	302 of 324 - Sale ²⁵
	9.000	18.54	06/24/20	29.30	10.76 W	0.00	303 of 324 - Sale ²⁵
	10.000	20.60	06/24/20	28.36	7.76 W	0.00	304 of 324 - Sale ²⁵
	11.000	22.66	06/24/20	29.55	6.89 W	0.00	305 of 324 - Sale ²⁵
	11.000	22.66	06/24/20	27.97	5.31 W	0.00	306 of 324 - Sale ²⁵
	12.000	24.72	06/24/20	29.47	4.75 W	0.00	307 of 324 - Sale ²⁵
	13.000	26.78	06/24/20	42.34	15.56 W	0.00	308 of 324 - Sale ²⁵
	13.000	26.78	06/24/20	33.75	6.97 W	0.00	309 of 324 - Sale ²⁵
	14.000	28.84	06/24/20	31.07	2.23 W	0.00	310 of 324 - Sale ²⁵
	19.000	39.14	06/24/20	61.84	22.70 W	0.00	311 of 324 - Sale ²⁵
	19.000	39.14	06/24/20	51.02	11.88 W	0.00	312 of 324 - Sale ²⁵
	22.000	45.32	06/24/20	71.66	26.34 W	0.00	313 of 324 - Sale ²⁵
	26.000	53.56	06/24/20	84.66	31.10 W	0.00	314 of 324 - Sale ²⁵
	33.000	67.97	06/24/20	81.10	13.13 W	0.00	315 of 324 - Sale ²⁵
	48.000	98.87	06/24/20	117.97	19.10 W	0.00	316 of 324 - Sale ²⁵
	65.000	133.89	06/24/20	174.64	40.75 W	0.00	317 of 324 - Sale ²⁵
	70.000	144.19	06/24/20	181.80	37.61 W	0.00	318 of 324 - Sale ²⁵
	75.000	154.48	06/24/20	166.49	12.01 W	0.00	319 of 324 - Sale ²⁵
	84.000	173.02	06/24/20	272.71	99.69 W	0.00	320 of 324 - Sale ²⁵
	84.000	173.02	06/24/20	271.87	98.85 W	0.00	321 of 324 - Sale ²⁵
	89.000	183.32	06/24/20	288.05	104.73 W	0.00	322 of 324 - Sale ²⁵
	76.000	156.55	06/24/20	250.53	93.98 W	0.00	323 of 324 - Sale ²⁵
	100.000	205.97	06/24/20	221.99	16.02 W	0.00	324 of 324 - Sale ²⁵
06/24/20	9,922.000	20,952.33	Various	28,969.13	8,016.80 W	0.00	Total of 324 transactions
	55 transactions for 07/01/20. Total proceeds and cost reported to the IRS.						
	1.000	1.81	06/30/20	3.06	1.25 W	0.00	1 of 55 - Sale ²⁵
	1.000	1.81	06/30/20	3.06	1.25 W	0.00	2 of 55 - Sale ²⁵
	2.000	3.62	06/30/20	6.21	2.59 W	0.00	3 of 55 - Sale ²⁵
	3.000	5.43	06/30/20	9.32	3.89 W	0.00	4 of 55 - Sale ²⁵
	3.000	5.43	06/30/20	9.16	3.73 W	0.00	5 of 55 - Sale ²⁵
	4.000	7.24	06/30/20	12.26	5.02 W	0.00	6 of 55 - Sale ²⁵
	6.000	10.86	06/30/20	18.39	7.53 W	0.00	7 of 55 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SINTX TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 829392307 / Symbol: (cont'd)							
	9.000	16.29	06/30/20	27.96	11.67 W	0.00	8 of 55 - Sale ²⁵
	9.000	16.29	06/30/20	27.59	11.30 W	0.00	9 of 55 - Sale ²⁵
	10.000	18.10	06/30/20	31.07	12.97 W	0.00	10 of 55 - Sale ²⁵
	11.000	19.91	06/30/20	34.17	14.26 W	0.00	11 of 55 - Sale ²⁵
	13.000	23.53	06/30/20	39.87	16.34 W	0.00	12 of 55 - Sale ²⁵
	19.000	34.39	06/30/20	58.23	23.84 W	0.00	13 of 55 - Sale ²⁵
	22.000	39.82	06/30/20	67.48	27.66 W	0.00	14 of 55 - Sale ²⁵
	25.000	45.25	06/30/20	52.73	7.48 W	0.00	15 of 55 - Sale ²⁵
	25.000	45.25	06/30/20	51.49	6.24 W	0.00	16 of 55 - Sale ²⁵
	25.000	45.25	06/30/20	51.49	6.24 W	0.00	17 of 55 - Sale ²⁵
	26.000	47.06	06/30/20	79.72	32.66 W	0.00	18 of 55 - Sale ²⁵
	50.000	90.49	06/30/20	102.98	12.49 W	0.00	19 of 55 - Sale ²⁵
	75.000	135.74	06/30/20	158.18	22.44 W	0.00	20 of 55 - Sale ²⁵
	76.000	137.55	06/30/20	236.10	98.55 W	0.00	21 of 55 - Sale ²⁵
	1.000	1.81	06/30/20	3.05	1.24 W	0.00	22 of 55 - Sale ²⁵
	1.000	1.81	06/30/20	2.27	0.46 W	0.00	23 of 55 - Sale ²⁵
	1.000	1.81	06/30/20	2.27	0.46 W	0.00	24 of 55 - Sale ²⁵
	1.000	1.81	06/30/20	2.27	0.46 W	0.00	25 of 55 - Sale ²⁵
	3.000	5.43	06/30/20	9.17	3.74 W	0.00	26 of 55 - Sale ²⁵
	4.000	7.24	06/30/20	9.06	1.82 W	0.00	27 of 55 - Sale ²⁵
	5.000	9.05	06/30/20	12.47	3.42 W	0.00	28 of 55 - Sale ²⁵
	6.000	10.86	06/30/20	13.59	2.73 W	0.00	29 of 55 - Sale ²⁵
	8.000	14.48	06/30/20	18.13	3.65 W	0.00	30 of 55 - Sale ²⁵
	10.000	18.10	06/30/20	26.46	8.36 W	0.00	31 of 55 - Sale ²⁵
	11.000	19.91	06/30/20	27.46	7.55 W	0.00	32 of 55 - Sale ²⁵
	19.000	34.39	06/30/20	47.41	13.02 W	0.00	33 of 55 - Sale ²⁵
	33.000	59.72	06/30/20	74.84	15.12 W	0.00	34 of 55 - Sale ²⁵
	48.000	86.87	06/30/20	108.86	21.99 W	0.00	35 of 55 - Sale ²⁵
	65.000	117.64	06/30/20	162.30	44.66 W	0.00	36 of 55 - Sale ²⁵
	84.000	152.02	06/30/20	256.77	104.75 W	0.00	37 of 55 - Sale ²⁵
	1.000	1.81	06/30/20	2.41	0.60 W	0.00	38 of 55 - Sale ²⁵
	2.000	3.62	06/30/20	6.03	2.41 W	0.00	39 of 55 - Sale ²⁵
	6.000	10.86	06/30/20	18.10	7.24 W	0.00	40 of 55 - Sale ²⁵
	7.000	12.67	06/30/20	16.85	4.18 W	0.00	41 of 55 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SINTX TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 829392307 / Symbol: (cont'd)							
	8.000	14.48	06/30/20	18.13	3.65 W	0.00	42 of 55 - Sale ²⁵
	11.000	19.91	06/30/20	25.88	5.97 W	0.00	43 of 55 - Sale ²⁵
	13.000	23.53	06/30/20	31.28	7.75 W	0.00	44 of 55 - Sale ²⁵
	70.000	126.68	06/30/20	168.51	41.83 W	0.00	45 of 55 - Sale ²⁵
	82.000	148.40	06/30/20	249.83	101.43 W	0.00	46 of 55 - Sale ²⁵
	5.000	9.05	06/30/20	15.23	6.18 W	0.00	47 of 55 - Sale ²⁵
	7.000	12.67	06/30/20	21.33	8.66 W	0.00	48 of 55 - Sale ²⁵
	36.000	65.15	06/30/20	109.68	44.53 W	0.00	49 of 55 - Sale ²⁵
	14.000	25.34	06/30/20	28.41	3.07 W	0.00	50 of 55 - Sale ²⁵
	48.000	86.87	06/30/20	146.25	59.38 W	0.00	51 of 55 - Sale ²⁵
	75.000	135.73	06/30/20	152.26	16.53 W	0.00	52 of 55 - Sale ²⁵
	100.000	180.98	06/30/20	203.02	22.04 W	0.00	53 of 55 - Sale ²⁵
	100.000	180.98	06/30/20	187.00	6.02 W	0.00	54 of 55 - Sale ²⁵
	200.000	361.95	06/30/20	374.00	12.05 W	0.00	55 of 55 - Sale ²⁵
07/01/20	1,500.000	2,714.75	Various	3,631.10	916.35 W	0.00	Total of 55 transactions
	56 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	1.000	1.98	07/13/20	3.30	1.32 W	0.00	1 of 56 - Sale ²⁵
	1.000	1.98	07/13/20	3.30	1.32 W	0.00	2 of 56 - Sale ²⁵
	1.000	1.98	07/13/20	3.29	1.31 W	0.00	3 of 56 - Sale ²⁵
	1.000	1.98	07/13/20	2.51	0.53 W	0.00	4 of 56 - Sale ²⁵
	1.000	1.98	07/13/20	2.51	0.53 W	0.00	5 of 56 - Sale ²⁵
	1.000	1.98	07/13/20	2.51	0.53 W	0.00	6 of 56 - Sale ²⁵
	1.000	1.98	07/13/20	2.65	0.67 W	0.00	7 of 56 - Sale ²⁵
	2.000	3.96	07/13/20	6.69	2.73 W	0.00	8 of 56 - Sale ²⁵
	2.000	3.96	07/13/20	6.51	2.55 W	0.00	9 of 56 - Sale ²⁵
	3.000	5.94	07/13/20	10.04	4.10 W	0.00	10 of 56 - Sale ²⁵
	3.000	5.94	07/13/20	9.88	3.94 W	0.00	11 of 56 - Sale ²⁵
	3.000	5.94	07/13/20	9.89	3.95 W	0.00	12 of 56 - Sale ²⁵
	4.000	7.92	07/13/20	13.22	5.30 W	0.00	13 of 56 - Sale ²⁵
	4.000	7.92	07/13/20	10.02	2.10 W	0.00	14 of 56 - Sale ²⁵
	5.000	9.90	07/13/20	13.67	3.77 W	0.00	15 of 56 - Sale ²⁵
	5.000	9.90	07/13/20	16.43	6.53 W	0.00	16 of 56 - Sale ²⁵
	6.000	11.88	07/13/20	19.83	7.95 W	0.00	17 of 56 - Sale ²⁵
	6.000	11.88	07/13/20	15.03	3.15 W	0.00	18 of 56 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SINTX TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 829392307 / Symbol: (cont'd)							
	6.000	11.88	07/13/20	19.54	7.66 W	0.00	19 of 56 - Sale ²⁵
	7.000	13.86	07/13/20	18.53	4.67 W	0.00	20 of 56 - Sale ²⁵
	7.000	13.86	07/13/20	23.01	9.15 W	0.00	21 of 56 - Sale ²⁵
	8.000	15.84	07/13/20	20.05	4.21 W	0.00	22 of 56 - Sale ²⁵
	8.000	15.84	07/13/20	20.05	4.21 W	0.00	23 of 56 - Sale ²⁵
	9.000	17.82	07/13/20	30.12	12.30 W	0.00	24 of 56 - Sale ²⁵
	9.000	17.82	07/13/20	29.75	11.93 W	0.00	25 of 56 - Sale ²⁵
	10.000	19.80	07/13/20	33.47	13.67 W	0.00	26 of 56 - Sale ²⁵
	10.000	19.80	07/13/20	28.86	9.06 W	0.00	27 of 56 - Sale ²⁵
	11.000	21.78	07/13/20	36.81	15.03 W	0.00	28 of 56 - Sale ²⁵
	11.000	21.78	07/13/20	30.10	8.32 W	0.00	29 of 56 - Sale ²⁵
	11.000	21.78	07/13/20	28.52	6.74 W	0.00	30 of 56 - Sale ²⁵
	13.000	25.74	07/13/20	42.99	17.25 W	0.00	31 of 56 - Sale ²⁵
	13.000	25.74	07/13/20	34.40	8.66 W	0.00	32 of 56 - Sale ²⁵
	14.000	27.72	07/13/20	31.77	4.05 W	0.00	33 of 56 - Sale ²⁵
	19.000	37.62	07/13/20	62.79	25.17 W	0.00	34 of 56 - Sale ²⁵
	19.000	37.62	07/13/20	51.97	14.35 W	0.00	35 of 56 - Sale ²⁵
	22.000	43.56	07/13/20	72.76	29.20 W	0.00	36 of 56 - Sale ²⁵
	25.000	49.50	07/13/20	58.73	9.23 W	0.00	37 of 56 - Sale ²⁵
	25.000	49.50	07/13/20	57.49	7.99 W	0.00	38 of 56 - Sale ²⁵
	25.000	49.50	07/13/20	57.49	7.99 W	0.00	39 of 56 - Sale ²⁵
	26.000	51.48	07/13/20	85.96	34.48 W	0.00	40 of 56 - Sale ²⁵
	33.000	65.34	07/13/20	82.77	17.43 W	0.00	41 of 56 - Sale ²⁵
	36.000	71.28	07/13/20	118.33	47.05 W	0.00	42 of 56 - Sale ²⁵
	48.000	95.04	07/13/20	120.39	25.35 W	0.00	43 of 56 - Sale ²⁵
	48.000	95.04	07/13/20	157.78	62.74 W	0.00	44 of 56 - Sale ²⁵
	50.000	99.00	07/13/20	114.99	15.99 W	0.00	45 of 56 - Sale ²⁵
	65.000	128.70	07/13/20	177.91	49.21 W	0.00	46 of 56 - Sale ²⁵
	70.000	138.59	07/13/20	185.33	46.74 W	0.00	47 of 56 - Sale ²⁵
	75.000	148.49	07/13/20	176.19	27.70 W	0.00	48 of 56 - Sale ²⁵
	75.000	148.49	07/13/20	170.28	21.79 W	0.00	49 of 56 - Sale ²⁵
	76.000	150.47	07/13/20	254.35	103.88 W	0.00	50 of 56 - Sale ²⁵
	82.000	162.35	07/13/20	269.53	107.18 W	0.00	51 of 56 - Sale ²⁵
	84.000	166.31	07/13/20	276.95	110.64 W	0.00	52 of 56 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
SINTX TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 829392307 / Symbol: (cont'd)							
	100.000	197.99	07/13/20	227.04	29.05 W	0.00	53 of 56 - Sale ²⁵
	100.000	197.99	07/13/20	211.02	13.03 W	0.00	54 of 56 - Sale ²⁵
	200.000	395.99	07/13/20	422.05	26.06 W	0.00	55 of 56 - Sale ²⁵
	1,500.000	2,969.89	07/13/20	3,075.00	105.11 W	0.00	56 of 56 - Sale ²⁵
07/13/20	3,000.000	5,939.80	Various	7,066.35	1,126.55 W	0.00	Total of 56 transactions
	2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.						
	500.000	1,019.89	07/14/20	1,065.04	...	-45.15	1 of 2 - Sale ²⁵
	200.000	407.96	07/14/20	438.06	...	-30.10	2 of 2 - Sale ²⁵
07/14/20	700.000	1,427.85	Various	1,503.10	...	-75.25	Total of 2 transactions
	54 transactions for 07/14/20. Total proceeds and cost reported to the IRS.						
	1.000	2.04	07/14/20	3.38	1.34 W	0.00	1 of 54 - Sale ²⁵
	1.000	2.04	07/14/20	3.38	1.34 W	0.00	2 of 54 - Sale ²⁵
	1.000	2.04	07/14/20	3.37	1.33 W	0.00	3 of 54 - Sale ²⁵
	1.000	2.04	07/14/20	2.59	0.55 W	0.00	4 of 54 - Sale ²⁵
	1.000	2.04	07/14/20	2.59	0.55 W	0.00	5 of 54 - Sale ²⁵
	1.000	2.04	07/14/20	2.59	0.55 W	0.00	6 of 54 - Sale ²⁵
	1.000	2.04	07/14/20	2.73	0.69 W	0.00	7 of 54 - Sale ²⁵
	2.000	4.08	07/14/20	6.85	2.77 W	0.00	8 of 54 - Sale ²⁵
	2.000	4.08	07/14/20	6.67	2.59 W	0.00	9 of 54 - Sale ²⁵
	3.000	6.12	07/14/20	10.28	4.16 W	0.00	10 of 54 - Sale ²⁵
	3.000	6.12	07/14/20	10.12	4.00 W	0.00	11 of 54 - Sale ²⁵
	3.000	6.12	07/14/20	10.13	4.01 W	0.00	12 of 54 - Sale ²⁵
	4.000	8.16	07/14/20	13.54	5.38 W	0.00	13 of 54 - Sale ²⁵
	4.000	8.16	07/14/20	10.34	2.18 W	0.00	14 of 54 - Sale ²⁵
	5.000	10.20	07/14/20	14.07	3.87 W	0.00	15 of 54 - Sale ²⁵
	5.000	10.20	07/14/20	16.83	6.63 W	0.00	16 of 54 - Sale ²⁵
	6.000	12.24	07/14/20	20.31	8.07 W	0.00	17 of 54 - Sale ²⁵
	6.000	12.24	07/14/20	15.51	3.27 W	0.00	18 of 54 - Sale ²⁵
	6.000	12.24	07/14/20	20.02	7.78 W	0.00	19 of 54 - Sale ²⁵
	7.000	14.28	07/14/20	19.09	4.81 W	0.00	20 of 54 - Sale ²⁵
	7.000	14.28	07/14/20	23.57	9.29 W	0.00	21 of 54 - Sale ²⁵
	8.000	16.32	07/14/20	20.69	4.37 W	0.00	22 of 54 - Sale ²⁵
	8.000	16.32	07/14/20	20.69	4.37 W	0.00	23 of 54 - Sale ²⁵
	9.000	18.36	07/14/20	30.84	12.48 W	0.00	24 of 54 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SINTX TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 829392307 / Symbol: (cont'd)							
	9.000	18.36	07/14/20	30.47	12.11 W	0.00	25 of 54 - Sale ²⁵
	10.000	20.40	07/14/20	34.27	13.87 W	0.00	26 of 54 - Sale ²⁵
	10.000	20.40	07/14/20	29.66	9.26 W	0.00	27 of 54 - Sale ²⁵
	11.000	22.44	07/14/20	37.69	15.25 W	0.00	28 of 54 - Sale ²⁵
	11.000	22.44	07/14/20	30.98	8.54 W	0.00	29 of 54 - Sale ²⁵
	11.000	22.44	07/14/20	29.40	6.96 W	0.00	30 of 54 - Sale ²⁵
	13.000	26.52	07/14/20	44.03	17.51 W	0.00	31 of 54 - Sale ²⁵
	13.000	26.52	07/14/20	35.44	8.92 W	0.00	32 of 54 - Sale ²⁵
	14.000	28.56	07/14/20	32.89	4.33 W	0.00	33 of 54 - Sale ²⁵
	19.000	38.76	07/14/20	64.31	25.55 W	0.00	34 of 54 - Sale ²⁵
	19.000	38.76	07/14/20	53.49	14.73 W	0.00	35 of 54 - Sale ²⁵
	22.000	44.88	07/14/20	74.52	29.64 W	0.00	36 of 54 - Sale ²⁵
	25.000	51.00	07/14/20	60.73	9.73 W	0.00	37 of 54 - Sale ²⁵
	25.000	51.00	07/14/20	59.49	8.49 W	0.00	38 of 54 - Sale ²⁵
	25.000	51.00	07/14/20	59.49	8.49 W	0.00	39 of 54 - Sale ²⁵
	26.000	53.04	07/14/20	88.04	35.00 W	0.00	40 of 54 - Sale ²⁵
	33.000	67.31	07/14/20	85.41	18.10 W	0.00	41 of 54 - Sale ²⁵
	36.000	73.43	07/14/20	121.21	47.78 W	0.00	42 of 54 - Sale ²⁵
	48.000	97.91	07/14/20	124.23	26.32 W	0.00	43 of 54 - Sale ²⁵
	48.000	97.91	07/14/20	161.62	63.71 W	0.00	44 of 54 - Sale ²⁵
	50.000	101.99	07/14/20	118.99	17.00 W	0.00	45 of 54 - Sale ²⁵
	65.000	132.59	07/14/20	183.11	50.52 W	0.00	46 of 54 - Sale ²⁵
	70.000	142.79	07/14/20	190.94	48.15 W	0.00	47 of 54 - Sale ²⁵
	75.000	152.99	07/14/20	182.20	29.21 W	0.00	48 of 54 - Sale ²⁵
	75.000	152.98	07/14/20	176.29	23.31 W	0.00	49 of 54 - Sale ²⁵
	76.000	155.03	07/14/20	260.44	105.41 W	0.00	50 of 54 - Sale ²⁵
	82.000	167.26	07/14/20	276.10	108.84 W	0.00	51 of 54 - Sale ²⁵
	84.000	171.34	07/14/20	283.68	112.34 W	0.00	52 of 54 - Sale ²⁵
	100.000	203.98	07/14/20	235.05	31.07 W	0.00	53 of 54 - Sale ²⁵
	100.000	203.98	07/14/20	219.03	15.05 W	0.00	54 of 54 - Sale ²⁵
07/14/20	1,300.000	2,651.81	Various	3,673.38	1,021.57 W	0.00	Total of 54 transactions
	6 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	75.000	173.24	07/16/20	191.96	...	-18.72	1 of 6 - Sale ²⁵
	25.000	57.75	07/16/20	63.98	...	-6.23	2 of 6 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SINTX TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 829392307 / Symbol: (cont'd)							
	100.000	230.99	07/16/20	224.01	...	6.98	3 of 6 - Sale ²⁵
	100.000	230.98	07/16/20	224.00	...	6.98	4 of 6 - Sale ²⁵
	100.000	231.49	07/16/20	223.01	...	8.48	5 of 6 - Sale ²⁵
	700.000	1,616.87	07/16/20	1,568.05	...	48.82	6 of 6 - Sale ²⁵
07/16/20	1,100.000	2,541.32	Various	2,495.01	...	46.31	Total of 6 transactions
	55 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	84.000	199.92	07/23/20	301.34	...	-101.42	1 of 55 - Sale ²⁵
	76.000	186.18	07/23/20	276.41	...	-90.23	2 of 55 - Sale ²⁵
	67.000	159.47	07/23/20	239.68	...	-80.21	3 of 55 - Sale ²⁵
	48.000	114.23	07/23/20	171.71	...	-57.48	4 of 55 - Sale ²⁵
	36.000	85.67	07/23/20	128.78	...	-43.11	5 of 55 - Sale ²⁵
	65.000	154.70	07/23/20	196.77	...	-42.07	6 of 55 - Sale ²⁵
	70.000	166.60	07/23/20	205.65	...	-39.05	7 of 55 - Sale ²⁵
	26.000	63.69	07/23/20	93.50	...	-29.81	8 of 55 - Sale ²⁵
	22.000	53.90	07/23/20	79.14	...	-25.24	9 of 55 - Sale ²⁵
	48.000	114.24	07/23/20	134.32	...	-20.08	10 of 55 - Sale ²⁵
	17.000	41.64	07/23/20	61.11	...	-19.47	11 of 55 - Sale ²⁵
	100.000	237.98	07/23/20	256.07	...	-18.09	12 of 55 - Sale ²⁵
	14.000	33.32	07/23/20	50.08	...	-16.76	13 of 55 - Sale ²⁵
	13.000	31.85	07/23/20	46.76	...	-14.91	14 of 55 - Sale ²⁵
	33.000	78.54	07/23/20	92.35	...	-13.81	15 of 55 - Sale ²⁵
	75.000	178.48	07/23/20	192.06	...	-13.58	16 of 55 - Sale ²⁵
	11.000	26.95	07/23/20	40.00	...	-13.05	17 of 55 - Sale ²⁵
	19.000	45.22	07/23/20	57.48	...	-12.26	18 of 55 - Sale ²⁵
	10.000	24.50	07/23/20	36.37	...	-11.87	19 of 55 - Sale ²⁵
	9.000	21.42	07/23/20	32.36	...	-10.94	20 of 55 - Sale ²⁵
	9.000	22.05	07/23/20	32.73	...	-10.68	21 of 55 - Sale ²⁵
	7.000	16.66	07/23/20	25.04	...	-8.38	22 of 55 - Sale ²⁵
	10.000	23.80	07/23/20	31.76	...	-7.96	23 of 55 - Sale ²⁵
	13.000	30.94	07/23/20	38.17	...	-7.23	24 of 55 - Sale ²⁵
	11.000	26.18	07/23/20	33.29	...	-7.11	25 of 55 - Sale ²⁵
	50.000	122.49	07/23/20	129.50	...	-7.01	26 of 55 - Sale ²⁵
	6.000	14.28	07/23/20	21.28	...	-7.00	27 of 55 - Sale ²⁵
	6.000	14.70	07/23/20	21.57	...	-6.87	28 of 55 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SINTX TECHNOLOGIES, INC. COMMO N STOCK / CUSIP: 829392307 / Symbol: (cont'd)							
	5.000	11.90	07/23/20	17.88	...	-5.98	29 of 55 - Sale ²⁵
	11.000	26.18	07/23/20	31.71	...	-5.53	30 of 55 - Sale ²⁵
	4.000	9.80	07/23/20	14.38	...	-4.58	31 of 55 - Sale ²⁵
	7.000	16.66	07/23/20	20.56	...	-3.90	32 of 55 - Sale ²⁵
	3.000	7.14	07/23/20	10.76	...	-3.62	33 of 55 - Sale ²⁵
	3.000	7.14	07/23/20	10.75	...	-3.61	34 of 55 - Sale ²⁵
	3.000	7.35	07/23/20	10.91	...	-3.56	35 of 55 - Sale ²⁵
	25.000	61.24	07/23/20	64.74	...	-3.50	36 of 55 - Sale ²⁵
	25.000	61.25	07/23/20	64.74	...	-3.49	37 of 55 - Sale ²⁵
	8.000	19.04	07/23/20	22.37	...	-3.33	38 of 55 - Sale ²⁵
	8.000	19.04	07/23/20	22.37	...	-3.33	39 of 55 - Sale ²⁵
	5.000	11.90	07/23/20	15.12	...	-3.22	40 of 55 - Sale ²⁵
	14.000	33.32	07/23/20	35.83	...	-2.51	41 of 55 - Sale ²⁵
	6.000	14.28	07/23/20	16.77	...	-2.49	42 of 55 - Sale ²⁵
	2.000	4.76	07/23/20	7.19	...	-2.43	43 of 55 - Sale ²⁵
	2.000	4.90	07/23/20	7.27	...	-2.37	44 of 55 - Sale ²⁵
	2.000	4.76	07/23/20	7.09	...	-2.33	45 of 55 - Sale ²⁵
	100.000	237.98	07/23/20	240.05	...	-2.07	46 of 55 - Sale ²⁵
	4.000	9.52	07/23/20	11.18	...	-1.66	47 of 55 - Sale ²⁵
	1.000	2.38	07/23/20	3.59	...	-1.21	48 of 55 - Sale ²⁵
	1.000	2.38	07/23/20	3.58	...	-1.20	49 of 55 - Sale ²⁵
	1.000	2.38	07/23/20	3.58	...	-1.20	50 of 55 - Sale ²⁵
	1.000	2.45	07/23/20	3.59	...	-1.14	51 of 55 - Sale ²⁵
	1.000	2.38	07/23/20	2.94	...	-0.56	52 of 55 - Sale ²⁵
	1.000	2.38	07/23/20	2.80	...	-0.42	53 of 55 - Sale ²⁵
	1.000	2.38	07/23/20	2.80	...	-0.42	54 of 55 - Sale ²⁵
	1.000	2.38	07/23/20	2.80	...	-0.42	55 of 55 - Sale ²⁵
07/23/20	1,200.000	2,876.87	Various	3,682.63	...	-805.76	Total of 55 transactions
	Security total:	49,340.97		65,108.82	14,984.79 W	-783.06	

SOCKET MOBILE, INC. COMMON STO CK / CUSIP: 83368E200 / Symbol:

7 transactions for 07/21/20. Total proceeds and cost reported to the IRS.

400.000	607.93	07/21/20	704.00	...	-96.07	1 of 7 - Sale ²⁵
115.000	177.08	07/21/20	202.40	...	-25.32	2 of 7 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOCKET MOBILE, INC. COMMON STO CK / CUSIP: 83368E200 / Symbol: (cont'd)							
	100.000	152.99	07/21/20	176.00	...	-23.01	3 of 7 - Sale ²⁵
	100.000	152.99	07/21/20	176.00	...	-23.01	4 of 7 - Sale ²⁵
	100.000	152.99	07/21/20	176.00	...	-23.01	5 of 7 - Sale ²⁵
	45.000	69.29	07/21/20	79.20	...	-9.91	6 of 7 - Sale ²⁵
	20.000	30.80	07/21/20	39.60	...	-8.80	7 of 7 - Sale ²⁵
07/21/20	880.000	1,344.07	Various	1,553.20	...	-209.13	Total of 7 transactions
07/21/20	20.000	30.80	07/21/20	35.20	4.40 W	0.00	Sale ²⁵
	Security total:	1,374.87		1,588.40	4.40 W	-209.13	
SPIRIT AIRLINES, INC. / CUSIP: 848577102 / Symbol:							
	2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	61.000	987.10	03/26/20	987.44	...	-0.34	1 of 2 - Sale ²⁵
	66.000	1,068.01	06/11/20	1,007.16	...	60.85	2 of 2 - Sale ²⁵
07/08/20	127.000	2,055.11	Various	1,994.60	...	60.51	Total of 2 transactions
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
07/10/20	2.000	1.29	07/10/20	1.29	...	0.00	Sale ²⁵
	4 transactions for 07/10/20. Total proceeds and cost reported to the IRS.						
	483.000	311.47	07/10/20	311.54	0.07 W	0.00	1 of 4 - Sale ²⁵
	869.000	560.39	07/10/20	560.51	0.12 W	0.00	2 of 4 - Sale ²⁵
	300.000	193.46	07/10/20	193.50	0.04 W	0.00	3 of 4 - Sale ²⁵
	1,346.000	867.98	07/10/20	868.17	0.19 W	0.00	4 of 4 - Sale ²⁵
07/10/20	2,998.000	1,933.30	Various	1,933.72	0.42 W	0.00	Total of 4 transactions
07/16/20	498.000	305.01	07/16/20	298.87	...	6.14	Sale ²⁵
	15 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	483.000	287.32	07/16/20	294.70	7.38 W	0.00	1 of 15 - Sale ²⁵
	517.000	307.54	07/16/20	315.44	7.90 W	0.00	2 of 15 - Sale ²⁵
	300.000	178.46	07/16/20	183.04	4.58 W	0.00	3 of 15 - Sale ²⁵
	848.000	504.45	07/16/20	517.40	12.95 W	0.00	4 of 15 - Sale ²⁵
	352.000	209.39	07/16/20	214.77	5.38 W	0.00	5 of 15 - Sale ²⁵
	139.000	85.13	07/16/20	85.52	0.39 W	0.00	6 of 15 - Sale ²⁵
	100.000	61.25	07/16/20	61.53	0.28 W	0.00	7 of 15 - Sale ²⁵
	100.000	61.25	07/16/20	61.53	0.28 W	0.00	8 of 15 - Sale ²⁵
	144.000	88.19	07/16/20	90.76	2.57 W	0.00	9 of 15 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol: (cont'd)							
	187.000	114.53	07/16/20	117.85	3.32 W	0.00	10 of 15 - Sale ²⁵
	352.000	215.59	07/16/20	221.86	6.27 W	0.00	11 of 15 - Sale ²⁵
	517.000	316.64	07/16/20	325.86	9.22 W	0.00	12 of 15 - Sale ²⁵
	113.000	69.21	07/16/20	71.23	2.02 W	0.00	13 of 15 - Sale ²⁵
	587.000	359.52	07/16/20	369.96	10.44 W	0.00	14 of 15 - Sale ²⁵
	100.000	61.27	07/16/20	63.03	1.76 W	0.00	15 of 15 - Sale ²⁵
07/16/20	4,839.000	2,919.74	Various	2,994.48	74.74 W	0.00	Total of 15 transactions
	20 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	100.000	78.49	07/17/20	79.78	1.29 W	0.00	1 of 20 - Sale ²⁵
	100.000	78.49	07/17/20	79.78	1.29 W	0.00	2 of 20 - Sale ²⁵
	113.000	88.69	07/17/20	91.86	3.17 W	0.00	3 of 20 - Sale ²⁵
	139.000	109.10	07/17/20	110.89	1.79 W	0.00	4 of 20 - Sale ²⁵
	144.000	113.02	07/17/20	117.05	4.03 W	0.00	5 of 20 - Sale ²⁵
	161.000	126.36	07/17/20	130.46	4.10 W	0.00	6 of 20 - Sale ²⁵
	187.000	146.77	07/17/20	151.98	5.21 W	0.00	7 of 20 - Sale ²⁵
	187.000	146.76	07/17/20	151.99	5.23 W	0.00	8 of 20 - Sale ²⁵
	352.000	276.27	07/17/20	286.11	9.84 W	0.00	9 of 20 - Sale ²⁵
	517.000	405.77	07/17/20	420.24	14.47 W	0.00	10 of 20 - Sale ²⁵
	100.000	75.99	07/17/20	80.06	4.07 W	0.00	11 of 20 - Sale ²⁵
	100.000	75.99	07/17/20	79.59	3.60 W	0.00	12 of 20 - Sale ²⁵
	100.000	75.99	07/17/20	79.59	3.60 W	0.00	13 of 20 - Sale ²⁵
	139.000	105.62	07/17/20	110.63	5.01 W	0.00	14 of 20 - Sale ²⁵
	144.000	109.42	07/17/20	116.78	7.36 W	0.00	15 of 20 - Sale ²⁵
	161.000	122.34	07/17/20	130.16	7.82 W	0.00	16 of 20 - Sale ²⁵
	400.000	303.95	07/17/20	320.31	16.36 W	0.00	17 of 20 - Sale ²⁵
	517.000	392.84	07/17/20	419.28	26.44 W	0.00	18 of 20 - Sale ²⁵
	187.000	142.09	07/17/20	151.63	9.54 W	0.00	19 of 20 - Sale ²⁵
	352.000	267.47	07/17/20	285.46	17.99 W	0.00	20 of 20 - Sale ²⁵
07/17/20	4,200.000	3,241.42	Various	3,393.63	152.21 W	0.00	Total of 20 transactions
	3 transactions for 07/21/20. Total proceeds and cost reported to the IRS.						
	100.000	192.98	07/21/20	199.86	...	-6.88	1 of 3 - Sale ²⁵
	100.000	192.98	07/21/20	199.09	...	-6.11	2 of 3 - Sale ²⁵
	100.000	192.98	07/21/20	199.07	...	-6.09	3 of 3 - Sale ²⁵
07/21/20	300.000	578.94	Various	598.02	...	-19.08	Total of 3 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol: (cont'd)							
5 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	200.000	385.96	07/21/20	398.18	6.11 W	-6.11	1 of 5 - Sale ²⁵
	87.000	167.89	07/21/20	172.08	4.19 W	0.00	2 of 5 - Sale ²⁵
	113.000	218.07	07/21/20	223.52	5.45 W	0.00	3 of 5 - Sale ²⁵
	100.000	192.98	07/21/20	197.80	4.82 W	0.00	4 of 5 - Sale ²⁵
	100.000	192.98	07/21/20	199.09	6.11 W	0.00	5 of 5 - Sale ²⁵
07/21/20	600.000	1,157.88	Various	1,190.67	26.68 W	-6.11	Total of 5 transactions
9 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	456.000	502.12	07/28/20	520.36	...	-18.24	1 of 9 - Sale ²⁵
	144.000	158.57	07/28/20	164.32	...	-5.75	2 of 9 - Sale ²⁵
	139.000	153.06	07/28/20	156.52	...	-3.46	3 of 9 - Sale ²⁵
	100.000	110.11	07/28/20	112.60	...	-2.49	4 of 9 - Sale ²⁵
	100.000	110.12	07/28/20	112.60	...	-2.48	5 of 9 - Sale ²⁵
	61.000	67.17	07/28/20	69.45	...	-2.28	6 of 9 - Sale ²⁵
	44.000	48.45	07/28/20	50.21	...	-1.76	7 of 9 - Sale ²⁵
	39.000	42.95	07/28/20	44.50	...	-1.55	8 of 9 - Sale ²⁵
	17.000	18.72	07/28/20	19.40	...	-0.68	9 of 9 - Sale ²⁵
07/28/20	1,100.000	1,211.27	Various	1,249.96	...	-38.69	Total of 9 transactions
7 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	313.000	416.31	08/06/20	419.77	...	-3.46	1 of 7 - Sale ²⁵
	100.000	133.00	08/06/20	135.11	...	-2.11	2 of 7 - Sale ²⁵
	100.000	133.01	08/06/20	135.11	...	-2.10	3 of 7 - Sale ²⁵
	187.000	248.72	08/06/20	250.77	...	-2.05	4 of 7 - Sale ²⁵
	113.000	150.29	08/06/20	151.22	...	-0.93	5 of 7 - Sale ²⁵
	100.000	133.01	08/06/20	133.82	...	-0.81	6 of 7 - Sale ²⁵
	87.000	115.71	08/06/20	116.42	...	-0.71	7 of 7 - Sale ²⁵
08/06/20	1,000.000	1,330.05	Various	1,342.22	...	-12.17	Total of 7 transactions
	Security total:	12,678.90		13,002.86	254.05 W	-69.91	
TRIVAGO N.V. AMERICAN DEPOSITA RY SHARES / CUSIP: 89686D105 / Symbol:							
07/27/20	1,100.000	1,814.93	07/24/20	1,892.00	...	-77.07	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TRXADE GROUP, INC. COMMON STOC K / CUSIP: 89846A207 / Symbol:							
5 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	30.000	228.89	04/01/20	214.20	...	14.69	1 of 5 - Sale ²⁵
	33.000	254.10	04/01/20	235.62	...	18.48	2 of 5 - Sale ²⁵
	43.000	328.07	04/01/20	307.02	...	21.05	3 of 5 - Sale ²⁵
	67.000	511.85	04/01/20	478.38	...	33.47	4 of 5 - Sale ²⁵
	127.000	977.85	04/01/20	906.78	...	71.07	5 of 5 - Sale ²⁵
07/28/20	300.000	2,300.76	Various	2,142.00	...	158.76	Total of 5 transactions
T2 BIOSYSTEMS, INC. COMMON STO CK / CUSIP: 89853L104 / Symbol:							
11 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	551.000	765.80	07/02/20	771.40	...	-5.60	1 of 11 - Sale ²⁵
	76.000	105.63	07/02/20	106.43	...	-0.80	2 of 11 - Sale ²⁵
	78.000	108.50	07/02/20	109.20	...	-0.70	3 of 11 - Sale ²⁵
	34.000	47.25	07/02/20	47.61	...	-0.36	4 of 11 - Sale ²⁵
	31.000	43.08	07/02/20	43.41	...	-0.33	5 of 11 - Sale ²⁵
	30.000	41.70	07/02/20	42.01	...	-0.31	6 of 11 - Sale ²⁵
	166.000	232.37	07/02/20	232.42	...	-0.05	7 of 11 - Sale ²⁵
	13.000	18.20	07/02/20	18.20	...	0.00	8 of 11 - Sale ²⁵
	13.000	18.20	07/02/20	18.20	...	0.00	9 of 11 - Sale ²⁵
	11.000	15.40	07/02/20	15.40	...	0.00	10 of 11 - Sale ²⁵
	13.000	18.20	07/02/20	18.20	...	0.00	11 of 11 - Sale ²⁵
07/02/20	1,016.000	1,414.33	Various	1,422.48	...	-8.15	Total of 11 transactions
6 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	100.000	139.98	07/02/20	140.00	0.02 W	0.00	1 of 6 - Sale ²⁵
	200.000	279.97	07/02/20	280.00	0.03 W	0.00	2 of 6 - Sale ²⁵
	44.000	61.59	07/02/20	61.60	0.01 W	0.00	3 of 6 - Sale ²⁵
	30.000	41.99	07/02/20	42.00	0.01 W	0.00	4 of 6 - Sale ²⁵
	34.000	47.60	07/02/20	47.61	0.01 W	0.00	5 of 6 - Sale ²⁵
	76.000	106.39	07/02/20	106.42	0.03 W	0.00	6 of 6 - Sale ²⁵
07/02/20	484.000	677.52	Various	677.63	0.11 W	0.00	Total of 6 transactions
	Security total:	2,091.85		2,100.11	0.11 W	-8.15	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
UNITED-GUARDIAN, INC. COMMON S TOCK / CUSIP: 910571108 / Symbol:							
4 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	48.000	686.85	07/15/20	714.74	...	-27.89	1 of 4 - Sale ²⁵
	48.000	686.86	07/15/20	709.94	...	-23.08	2 of 4 - Sale ²⁵
	29.000	414.97	07/15/20	428.93	...	-13.96	3 of 4 - Sale ²⁵
	4.000	57.24	07/15/20	60.32	...	-3.08	4 of 4 - Sale ²⁵
07/15/20	129.000	1,845.92	Various	1,913.93	...	-68.01	Total of 4 transactions
3 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	23.000	329.13	07/15/20	340.18	1.92 W	-9.13	1 of 3 - Sale ²⁵
	100.000	1,430.95	07/15/20	1,450.00	19.05 W	0.00	2 of 3 - Sale ²⁵
	48.000	686.86	07/15/20	700.80	13.94 W	0.00	3 of 3 - Sale ²⁵
07/15/20	171.000	2,446.94	Various	2,490.98	34.91 W	-9.13	Total of 3 transactions
	Security total:	4,292.86		4,404.91	34.91 W	-77.14	
VTV THERAPEUTICS INC. CLASS A COMMON STOCK / CUSIP: 918385105 / Symbol:							
07/29/20	1,200.000	3,647.77	07/29/20	3,846.00	...	-198.23	Sale ²⁵
VAXART, INC COMMON STOCK / CUSIP: 92243A200 / Symbol:							
3 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	80.000	1,016.76	07/13/20	852.00	...	164.76	1 of 3 - Sale ²⁵
	120.000	1,525.15	07/13/20	1,278.00	...	247.15	2 of 3 - Sale ²⁵
	370.000	4,702.55	07/13/20	3,940.50	...	762.05	3 of 3 - Sale ²⁵
07/13/20	570.000	7,244.46	Various	6,070.50	...	1,173.96	Total of 3 transactions
VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y201 / Symbol:							
06/02/20	2,000.000	689.56	06/02/20	679.80	...	9.76	Sale ²⁵
VUZIX CORPORATION COMMON STOCK / CUSIP: 92921W300 / Symbol:							
15 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	60.000	158.39	07/02/20	158.39	...	0.00	1 of 15 - Sale ²⁵
	100.000	263.99	07/02/20	263.99	...	0.00	2 of 15 - Sale ²⁵
	34.000	89.76	07/02/20	89.76	...	0.00	3 of 15 - Sale ²⁵
	107.000	282.47	07/02/20	282.47	...	0.00	4 of 15 - Sale ²⁵
	13.000	34.32	07/02/20	34.32	...	0.00	5 of 15 - Sale ²⁵
	10.000	26.40	07/02/20	26.40	...	0.00	6 of 15 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
VUZIX CORPORATION COMMON STOCK / CUSIP: 92921W300 / Symbol: (cont'd)							
	21.000	55.44	07/02/20	55.44	...	0.00	7 of 15 - Sale ²⁵
	54.000	142.55	07/02/20	142.55	...	0.00	8 of 15 - Sale ²⁵
	52.000	137.27	07/02/20	137.27	...	0.00	9 of 15 - Sale ²⁵
	7.000	18.48	07/02/20	18.48	...	0.00	10 of 15 - Sale ²⁵
	42.000	110.88	07/02/20	110.88	...	0.00	11 of 15 - Sale ²⁵
	69.000	182.15	07/02/20	182.15	...	0.00	12 of 15 - Sale ²⁵
	11.000	29.04	07/02/20	29.04	...	0.00	13 of 15 - Sale ²⁵
	9.000	23.76	07/02/20	23.76	...	0.00	14 of 15 - Sale ²⁵
	19.000	50.16	07/02/20	50.16	...	0.00	15 of 15 - Sale ²⁵
07/02/20	608.000	1,605.06	Various	1,605.06	...	0.00	Total of 15 transactions
	2 transactions for 07/02/20. Total proceeds and cost reported to the IRS.						
	142.000	374.86	07/02/20	374.87	0.01 W	0.00	1 of 2 - Sale ²⁵
	250.000	659.95	07/02/20	659.97	0.02 W	0.00	2 of 2 - Sale ²⁵
07/02/20	392.000	1,034.81	Various	1,034.84	0.03 W	0.00	Total of 2 transactions
	12 transactions for 07/09/20. Total proceeds and cost reported to the IRS.						
	20.000	76.20	07/09/20	72.37	...	3.83	1 of 12 - Sale ²⁵
	22.000	83.82	07/09/20	79.61	...	4.21	2 of 12 - Sale ²⁵
	42.000	160.02	07/09/20	151.95	...	8.07	3 of 12 - Sale ²⁵
	58.000	220.97	07/09/20	209.87	...	11.10	4 of 12 - Sale ²⁵
	58.000	220.97	07/09/20	209.83	...	11.14	5 of 12 - Sale ²⁵
	74.000	281.92	07/09/20	267.71	...	14.21	6 of 12 - Sale ²⁵
	92.000	350.50	07/09/20	332.84	...	17.66	7 of 12 - Sale ²⁵
	100.000	380.99	07/09/20	361.78	...	19.21	8 of 12 - Sale ²⁵
	100.000	380.99	07/09/20	361.77	...	19.22	9 of 12 - Sale ²⁵
	108.000	411.46	07/09/20	390.71	...	20.75	10 of 12 - Sale ²⁵
	226.000	861.01	07/09/20	817.78	...	43.23	11 of 12 - Sale ²⁵
	300.000	1,142.93	07/09/20	1,085.55	...	57.38	12 of 12 - Sale ²⁵
07/09/20	1,200.000	4,571.78	Various	4,341.77	...	230.01	Total of 12 transactions
	5 transactions for 07/13/20. Total proceeds and cost reported to the IRS.						
	300.000	1,175.93	07/13/20	1,196.37	20.44 W	0.00	1 of 5 - Sale ²⁵
	300.000	1,172.93	07/13/20	1,196.37	23.44 W	0.00	2 of 5 - Sale ²⁵
	265.000	1,036.09	07/13/20	1,056.79	20.70 W	0.00	3 of 5 - Sale ²⁵
	135.000	527.81	07/13/20	538.37	10.56 W	0.00	4 of 5 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VUZIX CORPORATION COMMON STOCK / CUSIP: 92921W300 / Symbol: (cont'd)							
	600.000	2,345.87	07/13/20	2,392.74	46.87 W	0.00	5 of 5 - Sale ²⁵
07/13/20	1,600.000	6,258.63	Various	6,380.64	122.01 W	0.00	Total of 5 transactions
	7 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	80.000	323.98	07/16/20	330.26	6.28 W	0.00	1 of 7 - Sale ²⁵
	265.000	1,073.20	07/16/20	1,093.95	20.75 W	0.00	2 of 7 - Sale ²⁵
	300.000	1,214.94	07/16/20	1,235.44	20.50 W	0.00	3 of 7 - Sale ²⁵
	300.000	1,214.93	07/16/20	1,238.44	23.51 W	0.00	4 of 7 - Sale ²⁵
	55.000	222.74	07/16/20	227.05	4.31 W	0.00	5 of 7 - Sale ²⁵
	600.000	2,429.87	07/16/20	2,476.87	47.00 W	0.00	6 of 7 - Sale ²⁵
	200.000	809.96	07/16/20	810.00	0.04 W	0.00	7 of 7 - Sale ²⁵
07/16/20	1,800.000	7,289.62	Various	7,412.01	122.39 W	0.00	Total of 7 transactions
	5 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	46.000	188.14	07/23/20	187.69	...	0.45	1 of 5 - Sale ²⁵
	59.000	241.30	07/23/20	240.73	...	0.57	2 of 5 - Sale ²⁵
	95.000	388.53	07/23/20	387.62	...	0.91	3 of 5 - Sale ²⁵
	145.000	593.02	07/23/20	591.60	...	1.42	4 of 5 - Sale ²⁵
	55.000	222.79	07/23/20	219.45	...	3.34	5 of 5 - Sale ²⁵
07/23/20	400.000	1,633.78	Various	1,627.09	...	6.69	Total of 5 transactions
	6 transactions for 07/23/20. Total proceeds and cost reported to the IRS.						
	600.000	2,453.87	07/23/20	2,495.00	13.71 W	-27.42	1 of 6 - Sale ²⁵
	80.000	324.06	07/23/20	325.47	1.41 W	0.00	2 of 6 - Sale ²⁵
	265.000	1,073.46	07/23/20	1,078.07	4.61 W	0.00	3 of 6 - Sale ²⁵
	300.000	1,215.24	07/23/20	1,217.47	2.23 W	0.00	4 of 6 - Sale ²⁵
	300.000	1,215.24	07/23/20	1,220.48	5.24 W	0.00	5 of 6 - Sale ²⁵
	55.000	224.94	07/23/20	228.71	3.77 W	0.00	6 of 6 - Sale ²⁵
07/23/20	1,600.000	6,506.81	Various	6,565.20	30.97 W	-27.42	Total of 6 transactions
	7 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	55.000	219.99	08/10/20	219.92	...	0.07	1 of 7 - Sale ²⁵
	200.000	799.96	08/10/20	799.71	...	0.25	2 of 7 - Sale ²⁵
	80.000	319.98	08/10/20	315.81	...	4.17	3 of 7 - Sale ²⁵
	100.000	399.98	08/10/20	394.75	...	5.23	4 of 7 - Sale ²⁵
	200.000	799.96	08/10/20	789.49	...	10.47	5 of 7 - Sale ²⁵
	265.000	1,059.94	08/10/20	1,046.06	...	13.88	6 of 7 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VUZIX CORPORATION COMMON STOCK / CUSIP: 92921W300 / Symbol: (cont'd)							
	300.000	1,199.93	08/10/20	1,181.23	...	18.70	7 of 7 - Sale ²⁵
08/10/20	1,200.000	4,799.74	Various	4,746.97	...	52.77	Total of 7 transactions
12/28/20	2,000.000	18,659.74	12/28/20	18,379.80	...	279.94	Sale ²⁵
	Security total:	52,359.97		52,093.38	275.40 W	541.99	
WIDEPOINT CORPORATION / CUSIP: 967590100 / Symbol:							
2 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	1,200.000	1,055.83	06/17/20	1,104.00	...	-48.17	1 of 2 - Sale ²⁵
	300.000	263.96	06/17/20	276.00	...	-12.04	2 of 2 - Sale ²⁵
06/17/20	1,500.000	1,319.79	Various	1,380.00	...	-60.21	Total of 2 transactions
WISEKEY INTERNATIONAL HOLDING AG AMERICAN DEPOSITARY SHARES / CUSIP: 97727L200 / Symbol:							
2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	281.000	2,093.42	07/21/20	2,079.40	...	14.02	1 of 2 - Sale ²⁵
	719.000	5,356.49	07/21/20	5,320.60	...	35.89	2 of 2 - Sale ²⁵
07/21/20	1,000.000	7,449.91	Various	7,400.00	...	49.91	Total of 2 transactions
WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
06/18/20	1,000.000	4,599.77	06/18/20	4,620.00	...	-20.23	Sale ²⁵
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U703 / Symbol:							
2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	144.000	469.41	07/21/20	455.04	...	14.37	1 of 2 - Sale ²⁵
	956.000	3,116.38	07/21/20	3,020.96	...	95.42	2 of 2 - Sale ²⁵
07/21/20	1,100.000	3,585.79	Various	3,476.00	...	109.79	Total of 2 transactions
3 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	200.000	654.44	07/23/20	622.98	...	31.46	1 of 3 - Sale ²⁵
	400.000	1,308.88	07/23/20	1,246.04	...	62.84	2 of 3 - Sale ²⁵
	400.000	1,309.36	07/23/20	1,245.95	...	63.41	3 of 3 - Sale ²⁵
07/23/20	1,000.000	3,272.68	Various	3,114.97	...	157.71	Total of 3 transactions
2 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	600.000	1,830.91	07/23/20	1,835.88	4.97 W	0.00	1 of 2 - Sale ²⁵
	400.000	1,220.60	07/23/20	1,224.00	3.40 W	0.00	2 of 2 - Sale ²⁵
07/23/20	1,000.000	3,051.51	Various	3,059.88	8.37 W	0.00	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U703 / Symbol: (cont'd)							
Security total:		9,909.98		9,650.85		8.37 W	267.50
ZYNGA INC. CLASS A COMMON STOC K / CUSIP: 98986T108 / Symbol:							
12/08/20	1,000.000	8,871.38	12/08/20	8,898.20	...	-26.82	Sale ²⁵
EROS STX GLOBAL CORPORATION / CUSIP: G3788M114 / Symbol:							
<i>3 transactions for 06/05/20. Total proceeds and cost reported to the IRS.</i>							
	200.000	763.96	06/05/20	759.80	...	4.16	1 of 3 - Sale ²⁵
	300.000	1,145.93	06/05/20	1,139.70	...	6.23	2 of 3 - Sale ²⁵
	500.000	1,909.89	06/05/20	1,899.50	...	10.39	3 of 3 - Sale ²⁵
06/05/20	1,000.000	3,819.78	Various	3,799.00	...	20.78	Total of 3 transactions
LUOKUNG TECHNOLOGY CORP ORDINA RY SHARES / CUSIP: G56981106 / Symbol:							
<i>5 transactions for 07/01/20. Total proceeds and cost reported to the IRS.</i>							
	400.000	339.94	07/01/20	346.00	...	-6.06	1 of 5 - Sale ²⁵
	200.000	169.97	07/01/20	176.03	...	-6.06	2 of 5 - Sale ²⁵
	100.000	84.99	07/01/20	88.02	...	-3.03	3 of 5 - Sale ²⁵
	100.000	84.99	07/01/20	88.01	...	-3.02	4 of 5 - Sale ²⁵
	100.000	84.99	07/01/20	88.01	...	-3.02	5 of 5 - Sale ²⁵
07/01/20	900.000	764.88	Various	786.07	...	-21.19	Total of 5 transactions
<i>2 transactions for 07/01/20. Total proceeds and cost reported to the IRS.</i>							
	500.000	424.92	07/01/20	432.50	6.06 W	-1.52	1 of 2 - Sale ²⁵
	100.000	84.99	07/01/20	86.50	1.51 W	0.00	2 of 2 - Sale ²⁵
07/01/20	600.000	509.91	Various	519.00	7.57 W	-1.52	Total of 2 transactions
Security total:		1,274.79		1,305.07	7.57 W	-22.71	
MALLINCKRODT PUB LTD CO ORDINA RY SHARES / CUSIP: G5785G107 / Symbol:							
<i>4 transactions for 07/29/20. Total proceeds and cost reported to the IRS.</i>							
	86.000	202.95	07/29/20	202.02	...	0.93	1 of 4 - Sale ²⁵
	430.000	1,014.72	07/29/20	1,010.11	...	4.61	2 of 4 - Sale ²⁵
	684.000	1,614.12	07/29/20	1,606.79	...	7.33	3 of 4 - Sale ²⁵
	1,000.000	2,404.52	07/29/20	2,165.00	...	239.52	4 of 4 - Sale ²⁵
07/29/20	2,200.000	5,236.31	Various	4,983.92	...	252.39	Total of 4 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NABRIVA THERAPEUTICS PLC ORDINARY SHARES IRELAND / CUSIP: G63637105 / Symbol:							
3 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	100.000	90.99	07/15/20	98.00	7.01 W	0.00	1 of 3 - Sale ²⁵
	90.000	81.89	07/15/20	88.20	6.31 W	0.00	2 of 3 - Sale ²⁵
	1,010.000	918.95	07/15/20	989.74	70.79 W	0.00	3 of 3 - Sale ²⁵
07/15/20	1,200.000	1,091.83	Various	1,175.94	84.11 W	0.00	Total of 3 transactions
4 transactions for 07/28/20. Total proceeds and cost reported to the IRS.							
	1,010.000	874.21	07/28/20	929.29	...	-55.08	1 of 4 - Sale ²⁵
	100.000	86.56	07/28/20	92.01	...	-5.45	2 of 4 - Sale ²⁵
	90.000	77.90	07/28/20	82.81	...	-4.91	3 of 4 - Sale ²⁵
	800.000	692.45	07/28/20	680.00	...	12.45	4 of 4 - Sale ²⁵
07/28/20	2,000.000	1,731.12	Various	1,784.11	...	-52.99	Total of 4 transactions
	Security total:	2,822.95		2,960.05	84.11 W	-52.99	
NORWEGIAN CRUISE LINE HOLDINGS LTD. ORDINARY SHARES / CUSIP: G66721104 / Symbol:							
05/21/20	120.000	1,681.16	04/07/20	1,426.70	...	254.46	Sale ²⁵
SUPERCOM, LTD. ORDINARY SHARES (ISRAEL) / CUSIP: M87095119 / Symbol:							
8 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	400.000	459.95	12/11/20	460.00	...	-0.05	1 of 8 - Sale ²⁵
	400.000	459.95	12/11/20	460.00	...	-0.05	2 of 8 - Sale ²⁵
	400.000	459.95	12/11/20	460.00	...	-0.05	3 of 8 - Sale ²⁵
	300.000	344.96	12/11/20	345.00	...	-0.04	4 of 8 - Sale ²⁵
	259.000	297.82	12/11/20	297.85	...	-0.03	5 of 8 - Sale ²⁵
	100.000	114.99	12/11/20	115.00	...	-0.01	6 of 8 - Sale ²⁵
	102.000	117.29	12/11/20	117.30	...	-0.01	7 of 8 - Sale ²⁵
	39.000	44.85	12/11/20	44.85	...	0.00	8 of 8 - Sale ²⁵
12/11/20	2,000.000	2,299.76	Various	2,300.00	...	-0.24	Total of 8 transactions
SEANERGY MARITIME HOLDINGS CORP COMMON STOCK / CUSIP: Y73760301 / Symbol:							
4 transactions for 06/10/20. Total proceeds and cost reported to the IRS.							
	1,200.000	214.65	06/10/20	216.00	...	-1.35	1 of 4 - Sale ²⁵
	100.000	17.89	06/10/20	18.03	...	-0.14	2 of 4 - Sale ²⁵
	100.000	17.89	06/10/20	18.02	...	-0.13	3 of 4 - Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SEANERGY MARITIME HOLDINGS COR P COMMON STOCK / CUSIP: Y73760301 / Symbol: (cont'd)							
	100.000	17.89	06/10/20	18.02	...	-0.13	4 of 4 - Sale ²⁵
06/10/20	1,500.000	268.32	Various	270.07	...	-1.75	Total of 4 transactions
	5 transactions for 06/10/20. Total proceeds and cost reported to the IRS.						
	100.000	17.99	06/10/20	18.00	0.01 W	0.00	1 of 5 - Sale ²⁵
	100.000	17.99	06/10/20	18.00	0.01 W	0.00	2 of 5 - Sale ²⁵
	100.000	17.99	06/10/20	18.01	0.02 W	0.00	3 of 5 - Sale ²⁵
	100.000	17.98	06/10/20	18.00	0.02 W	0.00	4 of 5 - Sale ²⁵
	100.000	17.98	06/10/20	18.01	0.03 W	0.00	5 of 5 - Sale ²⁵
06/10/20	500.000	89.93	Various	90.02	0.09 W	0.00	Total of 5 transactions
	Security total:	358.25		360.09	0.09 W	-1.75	
Totals :		2,505,181.81		2,557,830.68	61,632.09 W	8,983.22	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 122361637

Fees and Expenses

2020

02/14/2021

This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		04/27/20	-5.00	Margin interest paid	
			-5.00	Total Margin interest paid	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR)

Instructions for Recipient

(whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

This page intentionally left blank.