

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 773251616	Statement Date: 02/14/2021 Document ID: 43R6 XJJ 4121	2020
	Raaja Koneri nagarajan 1218 Appian Way Murfreesboro, TN 37128 RECIPIENT'S TIN: XXX-XX-9196		

Summary Information

<p>DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5) 157.77</p> <p>1b- Qualified dividends 150.60</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d) 0.21</p> <p>2b- Unrecaptured Section 1250 gain 0.02</p> <p>2c- Section 1202 gain 0.00</p> <p>2d- Collectibles (28%) gain 0.00</p> <p>3- Nondividend distributions 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>5- Section 199A dividends 7.17</p> <p>6- Investment expenses 0.00</p> <p>8- Foreign country or US possession: 0.00</p> <p>7- Foreign tax paid: 0.00</p> <p>9- Cash liquidation distributions 0.00</p> <p>10- Noncash liquidation distributions 0.00</p> <p>11- Exempt-interest dividends (includes line 12) 0.00</p> <p>12- Specified private activity bond interest dividends (AMT) 0.00</p>	<p>MISCELLANEOUS INCOME 2020 1099-MISC* OMB No. 1545-0115</p> <p>2- Royalties 0.00</p> <p>3- Other income 0.00</p> <p>4- Federal income tax withheld 0.00</p> <p>8- Substitute payments in lieu of dividends or interest 0.00</p> <p>SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts 0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019 0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020 0.00</p> <p>11- Aggregate profit or (loss) on contracts 0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	76,681.09	69,890.29	0.00	295.18	7,085.98
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	14.37	0.00	0.00	0.00	14.37
	Total Short-term	76,695.46	69,890.29	0.00	295.18	7,100.35
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	76,695.46	69,890.29	0.00	295.18	7,100.35
Withholding		Amount				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

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Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	7.38
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	15.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ALASKA AIR GROUP, INC. / CUSIP: 011659109 / Symbol:							
4 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	10.000	339.99	04/22/20	272.80	...	67.19	1 of 4 - Sale ²⁵
	10.000	339.99	04/24/20	272.50	...	67.49	2 of 4 - Sale ²⁵
	10.000	345.70	05/12/20	276.09	...	69.61	3 of 4 - Sale ²⁵
	10.000	345.70	05/12/20	271.27	...	74.43	4 of 4 - Sale ²⁵
05/26/20	40.000	1,371.38	Various	1,092.66	...	278.72	Total of 4 transactions
07/15/20	15.000	570.88	06/19/20	538.15	...	32.73	Sale ²⁵
2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	5.000	182.60	06/19/20	179.38	...	3.22	1 of 2 - Sale ²⁵
	10.000	365.21	06/22/20	356.60	...	8.61	2 of 2 - Sale ²⁵
08/06/20	15.000	547.81	Various	535.98	...	11.83	Total of 2 transactions
	Security total:	2,490.07		2,166.79	...	323.28	

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Account 773251616

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Additional information
ALIBABA GROUP HOLDING LIMITED AMERICAN DEPOSITARY SHARES, EA CH REPRESENTS EIGHT ORDINARY S / CUSIP: 01609W102 / Symbol:							
06/03/20	1.000	218.41	12/26/19	214.75	...	3.66	Sale ²⁵
06/03/20	4.000	873.62	01/13/20	913.43	39.81 W	0.00	Sale ²⁵
	Security total:	1,092.03		1,128.18	39.81 W	3.66	
ALPHABET INC. CLASS A COMMON S TOCK / CUSIP: 02079K305 / Symbol:							
04/07/20	1.000	1,195.76	04/03/20	1,099.90	...	95.86	Sale ²⁵
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
04/16/20	2.000	575.98	03/06/20	566.99	...	8.99	Sale ²⁵
	2 transactions for 04/16/20. Total proceeds and cost reported to the IRS.						
	1.000	287.99	01/31/20	315.92	27.93 W	0.00	1 of 2 - Sale ²⁵
	2.000	575.99	02/03/20	612.43	36.44 W	0.00	2 of 2 - Sale ²⁵
04/16/20	3.000	863.98	Various	928.35	64.37 W	0.00	Total of 2 transactions
	6 transactions for 04/30/20. Total proceeds and cost reported to the IRS.						
	1.000	299.99	03/12/20	255.00	...	44.99	1 of 6 - Sale ²⁵
	1.000	300.00	03/19/20	272.68	...	27.32	2 of 6 - Sale ²⁵
	1.000	299.99	03/19/20	261.70	...	38.29	3 of 6 - Sale ²⁵
	1.000	299.99	03/20/20	261.41	...	38.58	4 of 6 - Sale ²⁵
	1.000	300.00	03/20/20	238.40	...	61.60	5 of 6 - Sale ²⁵
	1.000	299.99	03/23/20	221.07	...	78.92	6 of 6 - Sale ²⁵
04/30/20	6.000	1,799.96	Various	1,510.26	...	289.70	Total of 6 transactions
	Security total:	3,239.92		3,005.60	64.37 W	298.69	
APTOSE BIOSCIENCES, INC. COMMO N SHARES / CUSIP: 03835T200 / Symbol:							
	2 transactions for 01/02/20. Total proceeds and cost reported to the IRS.						
	1.000	6.01	12/20/19	5.28	...	0.73	1 of 2 - Sale ²⁵
	24.000	144.24	12/20/19	126.72	...	17.52	2 of 2 - Sale ²⁵
01/02/20	25.000	150.25	Various	132.00	...	18.25	Total of 2 transactions
	2 transactions for 01/09/20. Total proceeds and cost reported to the IRS.						
	20.000	118.69	01/07/20	105.52	...	13.17	1 of 2 - Sale ²⁵
	75.000	445.12	01/07/20	395.71	...	49.41	2 of 2 - Sale ²⁵
01/09/20	95.000	563.81	Various	501.23	...	62.58	Total of 2 transactions
	Security total:	714.06		633.23	...	80.83	

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BAIDU, INC. ADS / CUSIP: 056752108 / Symbol:							
2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	2.000	212.48	03/13/20	200.08	...	12.40	1 of 2 - Sale ²⁵
	1.000	106.23	03/20/20	88.00	...	18.23	2 of 2 - Sale ²⁵
04/07/20	3.000	318.71	Various	288.08	...	30.63	Total of 2 transactions
2 transactions for 04/16/20. Total proceeds and cost reported to the IRS.							
	3.000	304.89	04/08/20	289.73	...	15.16	1 of 2 - Sale ²⁵
	2.000	203.27	04/09/20	193.66	...	9.61	2 of 2 - Sale ²⁵
04/16/20	5.000	508.16	Various	483.39	...	24.77	Total of 2 transactions
3 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	3.000	352.49	04/23/20	297.89	...	54.60	1 of 3 - Sale ²⁵
	5.000	587.49	05/01/20	480.00	...	107.49	2 of 3 - Sale ²⁵
	2.000	234.99	05/04/20	187.19	...	47.80	3 of 3 - Sale ²⁵
05/18/20	10.000	1,174.97	Various	965.08	...	209.89	Total of 3 transactions
06/15/20	5.000	584.20	05/22/20	519.87	...	64.33	Sale ²⁵
08/20/20	3.000	369.77	08/14/20	355.02	...	14.75	Sale ²⁵
	Security total:	2,955.81		2,611.44	...	344.37	
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
07/21/20	10.000	243.75	04/16/20	213.80	...	29.95	Sale ²⁵
3 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	5.000	137.10	05/12/20	110.99	...	26.11	1 of 3 - Sale ²⁵
	10.000	274.19	05/12/20	220.00	...	54.19	2 of 3 - Sale ²⁵
	10.000	274.19	05/13/20	211.98	...	62.21	3 of 3 - Sale ²⁵
08/11/20	25.000	685.48	Various	542.97	...	142.51	Total of 3 transactions
2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	15.000	412.64	05/13/20	317.97	...	94.67	1 of 2 - Sale ²⁵
	10.000	275.09	06/19/20	245.28	...	29.81	2 of 2 - Sale ²⁵
11/09/20	25.000	687.73	Various	563.25	...	124.48	Total of 2 transactions
	Security total:	1,616.96		1,320.02	...	296.94	

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIOGEN INC. COMMON STOCK / CUSIP: 09062X103 / Symbol:							
4 transactions for 11/04/20. Total proceeds and cost reported to the IRS.							
	1.000	316.52	08/19/20	282.75	...	33.77	1 of 4 - Sale ²⁵
	1.000	316.52	09/03/20	275.02	...	41.50	2 of 4 - Sale ²⁵
	1.000	316.52	10/26/20	256.78	...	59.74	3 of 4 - Sale ²⁵
	1.000	316.53	11/03/20	243.84	...	72.69	4 of 4 - Sale ²⁵
11/04/20	4.000	1,266.09	Various	1,058.39	...	207.70	Total of 4 transactions
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.							
	2.000	337.70	03/13/20	335.16	...	2.54	1 of 2 - Sale ²⁵
	2.000	340.92	04/21/20	273.01	...	67.91	2 of 2 - Sale ²⁵
06/03/20	4.000	678.62	Various	608.17	...	70.45	Total of 2 transactions
BRIXMOR PROPERTY GROUP INC. / CUSIP: 11120U105 / Symbol:							
08/07/20	20.000	241.10	07/17/20	236.70	...	4.40	Sale ²⁵
09/01/20	20.000	243.00	08/26/20	234.30	...	8.70	Sale ²⁵
	Security total:	484.10		471.00	...	13.10	
CVS HEALTH CORPORATION / CUSIP: 126650100 / Symbol:							
04/09/20	10.000	609.80	03/25/20	553.80	...	56.00	Sale ²⁵
06/02/20	5.000	336.00	05/01/20	297.49	...	38.51	Sale ²⁵
07/14/20	10.000	626.68	06/15/20	619.99	...	6.69	Sale ²⁵
2 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	5.000	335.74	08/26/20	312.40	...	23.34	1 of 2 - Sale ²⁵
	1.000	67.15	09/01/20	60.18	...	6.97	2 of 2 - Sale ²⁵
11/09/20	6.000	402.89	Various	372.58	...	30.31	Total of 2 transactions
3 transactions for 11/13/20. Total proceeds and cost reported to the IRS.							
	4.000	282.87	09/01/20	240.72	...	42.15	1 of 3 - Sale ²⁵
	4.000	282.87	09/10/20	235.80	...	47.07	2 of 3 - Sale ²⁵
	2.000	141.44	09/15/20	114.82	...	26.62	3 of 3 - Sale ²⁵
11/13/20	10.000	707.18	Various	591.34	...	115.84	Total of 3 transactions
	Security total:	2,682.55		2,435.20	...	247.35	

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CARNIVAL CORPORATION / CUSIP: 143658300 / Symbol:							
2 transactions for 07/15/20. Total proceeds and cost reported to the IRS.							
	20.000	345.79	06/25/20	302.20	...	43.59	1 of 2 - Sale ²⁵
	10.000	172.90	07/07/20	147.78	...	25.12	2 of 2 - Sale ²⁵
07/15/20	30.000	518.69	Various	449.98	...	68.71	Total of 2 transactions
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	2.000	30.46	07/24/20	29.27	...	1.19	1 of 2 - Sale ²⁵
	13.000	197.99	07/24/20	190.26	...	7.73	2 of 2 - Sale ²⁵
08/10/20	15.000	228.45	Various	219.53	...	8.92	Total of 2 transactions
08/14/20	20.000	310.64	08/12/20	298.37	...	12.27	Sale ²⁵
3 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	10.000	158.80	08/17/20	149.75	...	9.05	1 of 3 - Sale ²⁵
	10.000	159.06	08/17/20	149.75	...	9.31	2 of 3 - Sale ²⁵
	10.000	159.05	08/17/20	147.70	...	11.35	3 of 3 - Sale ²⁵
08/24/20	30.000	476.91	Various	447.20	...	29.71	Total of 3 transactions
10/06/20	20.000	318.28	10/01/20	295.20	...	23.08	Sale ²⁵
10/22/20	10.000	151.01	10/13/20	147.40	...	3.61	Sale ²⁵
10/30/20	10.000	142.90	10/13/20	147.40	4.50 W	0.00	Sale ²⁵
3 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	10.000	191.27	10/13/20	148.19	...	43.08	1 of 3 - Sale ²⁵
	10.000	191.27	10/26/20	139.69	...	51.58	2 of 3 - Sale ²⁵
	10.000	191.27	10/28/20	128.90	...	62.37	3 of 3 - Sale ²⁵
11/09/20	30.000	573.81	Various	416.78	...	157.03	Total of 3 transactions
	Security total:	2,720.69		2,421.86	4.50 W	303.33	
CHEVRON CORPORATION / CUSIP: 166764100 / Symbol:							
2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	1.000	88.98	04/08/20	83.42	...	5.56	1 of 2 - Sale ²⁵
	2.000	177.96	04/15/20	161.82	...	16.14	2 of 2 - Sale ²⁵
08/10/20	3.000	266.94	Various	245.24	...	21.70	Total of 2 transactions
11/09/20	5.000	415.75	08/21/20	419.20	...	-3.45	Sale ²⁵
11/13/20	5.000	414.30	09/01/20	413.55	...	0.75	Sale ²⁵
	Security total:	1,096.99		1,077.99	...	19.00	

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Robinhood Securities LLC

Account 773251616

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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COTY INC / CUSIP: 222070203 / Symbol:							
06/03/20	100.000	446.00	05/29/20	373.00	...	73.00	Sale ²⁵
09/04/20	50.000	190.25	08/27/20	179.00	...	11.25	Sale ²⁵
	Security total:	636.25		552.00	...	84.25	
CROWDSTRIKE HOLDINGS, INC. CLA SS A COMMON STOCK / CUSIP: 22788C105 / Symbol:							
<i>3 transactions for 08/31/20. Total proceeds and cost reported to the IRS.</i>							
	0.184	22.94	07/13/20	20.18	...	2.76	1 of 3 - Sale ²⁵
	2.000	249.99	07/13/20	220.62	...	29.37	2 of 3 - Sale ²⁵
	4.816	602.05	07/13/20	550.00	...	52.05	3 of 3 - Sale ²⁵
08/31/20	7.000	874.98	Various	790.80	...	84.18	Total of 3 transactions
09/01/20	0.816	112.35	07/13/20	89.77	...	22.58	Sale ²⁵
	Security total:	987.33		880.57	...	106.76	
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
<i>7 transactions for 05/18/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	106.81	05/04/20	117.57	...	-10.76	1 of 7 - Sale ²⁵
	5.000	106.22	05/04/20	102.94	...	3.28	2 of 7 - Sale ²⁵
	10.000	212.43	05/04/20	207.48	...	4.95	3 of 7 - Sale ²⁵
	5.000	106.81	05/12/20	122.12	...	-15.31	4 of 7 - Sale ²⁵
	10.000	213.62	05/13/20	233.93	...	-20.31	5 of 7 - Sale ²⁵
	5.000	106.81	05/14/20	103.02	...	3.79	6 of 7 - Sale ²⁵
	15.000	320.43	05/14/20	265.16	...	55.27	7 of 7 - Sale ²⁵
05/18/20	55.000	1,173.13	Various	1,152.22	...	20.91	Total of 7 transactions
05/18/20	25.000	531.09	05/01/20	604.22	73.13 W	0.00	Sale ²⁵
07/15/20	10.000	283.30	06/24/20	279.36	...	3.94	Sale ²⁵
	Security total:	1,987.52		2,035.80	73.13 W	24.85	
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES / CUSIP: 25460G500 / Symbol:							
08/11/20	5.000	202.23	06/19/20	200.50	...	1.73	Sale ²⁵
12/04/20	5.000	203.65	06/19/20	200.50	...	3.15	Sale ²⁵
12/10/20	5.000	225.95	06/22/20	190.63	...	35.32	Sale ²⁵
	Security total:	631.83		591.63	...	40.20	

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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THE WALT DISNEY COMPANY / CUSIP: 254687106 / Symbol:							
2 transactions for 05/15/20. Total proceeds and cost reported to the IRS.							
	1.000	108.78	04/21/20	99.79	...	8.99	1 of 2 - Sale ²⁵
	4.000	435.04	04/21/20	399.16	...	35.88	2 of 2 - Sale ²⁵
05/15/20	5.000	543.82	Various	498.95	...	44.87	Total of 2 transactions
FORD MOTOR COMPANY / CUSIP: 345370860 / Symbol:							
3 transactions for 05/18/20. Total proceeds and cost reported to the IRS.							
	25.000	130.13	04/24/20	120.63	...	9.50	1 of 3 - Sale ²⁵
	25.000	130.13	04/24/20	120.62	...	9.51	2 of 3 - Sale ²⁵
	50.000	260.24	04/24/20	241.25	...	18.99	3 of 3 - Sale ²⁵
05/18/20	100.000	520.50	Various	482.50	...	38.00	Total of 3 transactions
FORTINET, INC. COMMON STOCK / CUSIP: 34959E109 / Symbol:							
3 transactions for 10/09/20. Total proceeds and cost reported to the IRS.							
	1.000	126.28	08/06/20	129.30	...	-3.02	1 of 3 - Sale ²⁵
	1.000	126.28	08/07/20	127.32	...	-1.04	2 of 3 - Sale ²⁵
	3.000	378.84	08/11/20	374.16	...	4.68	3 of 3 - Sale ²⁵
10/09/20	5.000	631.40	Various	630.78	...	0.62	Total of 3 transactions
10/15/20	5.000	660.20	09/03/20	627.50	...	32.70	Sale ²⁵
	Security total:	1,291.60		1,258.28	...	33.32	
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
07/15/20	50.000	355.16	05/06/20	299.25	...	55.91	Sale ²⁵
08/11/20	50.000	349.25	05/06/20	299.25	...	50.00	Sale ²⁵
2 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	25.000	175.82	05/13/20	145.67	...	30.15	1 of 2 - Sale ²⁵
	25.000	175.83	05/13/20	137.69	...	38.14	2 of 2 - Sale ²⁵
09/17/20	50.000	351.65	Various	283.36	...	68.29	Total of 2 transactions
	Security total:	1,056.06		881.86	...	174.20	
GENESIS ENERGY, L.P. / CUSIP: 371927104 / Symbol:							
12/04/20	50.000	370.00	08/19/20	300.00	...	70.00	Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

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GOPRO, INC. CLASS A COMMON STO CK / CUSIP: 38268T103 / Symbol:							
<i>2 transactions for 05/19/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	42.80	12/26/19	45.80	...	-3.00	1 of 2 - Sale ²⁵
	10.000	42.80	01/31/20	39.80	...	3.00	2 of 2 - Sale ²⁵
05/19/20	20.000	85.60	Various	85.60	...	0.00	Total of 2 transactions
HEAT BIOLOGICS, INC. COMMON ST OCK / CUSIP: 42237K300 / Symbol:							
06/26/20	100.000	92.78	06/08/20	88.49	...	4.29	Sale ²⁵
ILLUMINA, INC. COMMON STOCK / CUSIP: 452327109 / Symbol:							
<i>3 transactions for 04/09/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	568.57	03/03/20	546.70	...	21.87	1 of 3 - Sale ²⁵
	2.000	568.57	03/12/20	458.26	...	110.31	2 of 3 - Sale ²⁵
	2.000	568.56	03/13/20	430.13	...	138.43	3 of 3 - Sale ²⁵
04/09/20	6.000	1,705.70	Various	1,435.09	...	270.61	Total of 3 transactions
09/01/20	1.000	355.88	08/06/20	348.00	...	7.88	Sale ²⁵
10/05/20	2.000	634.43	09/17/20	597.00	...	37.43	Sale ²⁵
	Security total:	2,696.01		2,380.09	...	315.92	
IMMUNOGEN, INC. COMMON STOCK / CUSIP: 45253H101 / Symbol:							
01/23/20	1.000	4.91	12/23/19	3.39	...	1.52	Sale ²⁵
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
08/14/20	10.000	152.65	08/12/20	132.08	...	20.57	Sale ²⁵
MASTERCARD INCORPORATED / CUSIP: 57636Q104 / Symbol:							
<i>4 transactions for 04/22/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	511.09	04/16/20	504.43	...	6.66	1 of 4 - Sale ²⁵
	2.000	515.99	04/16/20	504.79	...	11.20	2 of 4 - Sale ²⁵
	2.000	515.99	04/21/20	494.21	...	21.78	3 of 4 - Sale ²⁵
	2.000	515.98	04/21/20	492.30	...	23.68	4 of 4 - Sale ²⁵
04/22/20	8.000	2,059.05	Various	1,995.73	...	63.32	Total of 4 transactions
04/22/20	4.000	1,022.17	04/15/20	1,025.99	3.82 W	0.00	Sale ²⁵
	Security total:	3,081.22		3,021.72	3.82 W	63.32	

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Proceeds from Broker and Barter Exchange Transactions

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(continued)

02/14/2021

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MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
<i>2 transactions for 10/12/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	447.33	12/26/19	315.12	...	132.21	1 of 2 - Sale ²⁵
	2.000	447.33	01/10/20	323.44	...	123.89	2 of 2 - Sale ²⁵
10/12/20	4.000	894.66	Various	638.56	...	256.10	Total of 2 transactions
MICRON TECHNOLOGY, INC. COMMON STOCK / CUSIP: 595112103 / Symbol:							
10/22/20	5.000	273.61	07/07/20	245.45	...	28.16	Sale ²⁵
11/03/20	5.000	255.50	07/07/20	245.45	...	10.05	Sale ²⁵
	Security total:	529.11		490.90	...	38.21	
MIRAGEN THERAPEUTICS, INC. COMMON STOCK / CUSIP: 60463E103 / Symbol:							
03/04/20	50.000	46.19	02/11/20	43.00	...	3.19	Sale ²⁵
03/25/20	100.000	50.99	03/12/20	47.81	...	3.18	Sale ²⁵
04/07/20	100.000	42.00	04/03/20	39.98	...	2.02	Sale ²⁵
	Security total:	139.18		130.79	...	8.39	
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
<i>2 transactions for 06/03/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	298.00	05/27/20	257.10	...	40.90	1 of 2 - Sale ²⁵
	5.000	300.00	05/27/20	257.10	...	42.90	2 of 2 - Sale ²⁵
06/03/20	10.000	598.00	Various	514.20	...	83.80	Total of 2 transactions
07/13/20	5.000	370.01	06/16/20	317.26	...	52.75	Sale ²⁵
<i>2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	430.64	06/16/20	317.25	...	113.39	1 of 2 - Sale ²⁵
	5.000	430.64	07/02/20	284.71	...	145.93	2 of 2 - Sale ²⁵
07/14/20	10.000	861.28	Various	601.96	...	259.32	Total of 2 transactions
09/03/20	5.000	340.00	08/31/20	324.38	...	15.62	Sale ²⁵
	Security total:	2,169.29		1,757.80	...	411.49	
MONGODB, INC. CLASS A COMMON S TOCK / CUSIP: 60937P106 / Symbol:							
09/01/20	2.000	506.98	08/10/20	387.72	...	119.26	Sale ²⁵
<i>2 transactions for 09/25/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	233.83	08/10/20	193.86	...	39.97	1 of 2 - Sale ²⁵

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(continued)

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MONGODB, INC. CLASS A COMMON S TOCK / CUSIP: 60937P106 / Symbol: (cont'd)							
	1.000	233.83	08/10/20	192.14	...	41.69	2 of 2 - Sale ²⁵
09/25/20	2.000	467.66	Various	386.00	...	81.66	Total of 2 transactions
	Security total:	974.64		773.72	...	200.92	
NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
2 transactions for 08/03/20. Total proceeds and cost reported to the IRS.							
	2.000	26.67	07/13/20	27.89	...	-1.22	1 of 2 - Sale ²⁵
	2.000	26.66	07/14/20	25.41	...	1.25	2 of 2 - Sale ²⁵
08/03/20	4.000	53.33	Various	53.30	...	0.03	Total of 2 transactions
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	1.000	556.34	07/29/20	486.66	...	69.68	1 of 2 - Sale ²⁵
	1.000	556.33	08/11/20	470.18	...	86.15	2 of 2 - Sale ²⁵
09/01/20	2.000	1,112.67	Various	956.84	...	155.83	Total of 2 transactions
10/07/20	1.000	531.78	09/04/20	500.00	...	31.78	Sale ²⁵
10/29/20	1.000	511.19	10/21/20	490.38	...	20.81	Sale ²⁵
12/16/20	1.000	520.38	11/09/20	476.32	...	44.06	Sale ²⁵
	Security total:	2,676.02		2,423.54	...	252.48	
NIKE, INC. / CUSIP: 654106103 / Symbol:							
07/13/20	3.000	295.65	06/26/20	290.87	...	4.78	Sale ²⁵
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
2 transactions for 06/15/20. Total proceeds and cost reported to the IRS.							
	5.000	331.99	06/09/20	285.60	...	46.39	1 of 2 - Sale ²⁵
	5.000	334.55	06/09/20	285.60	...	48.95	2 of 2 - Sale ²⁵
06/15/20	10.000	666.54	Various	571.20	...	95.34	Total of 2 transactions
06/18/20	5.000	332.93	06/16/20	310.00	...	22.93	Sale ²⁵
2 transactions for 07/13/20. Total proceeds and cost reported to the IRS.							
	5.000	280.77	07/02/20	279.75	...	1.02	1 of 2 - Sale ²⁵
	5.000	280.77	07/06/20	264.50	...	16.27	2 of 2 - Sale ²⁵
07/13/20	10.000	561.54	Various	544.25	...	17.29	Total of 2 transactions
08/10/20	5.000	227.65	07/17/20	245.50	17.85 W	0.00	Sale ²⁵

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NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol: (cont'd)							
2 transactions for 08/13/20. Total proceeds and cost reported to the IRS.							
	4.000	187.32	07/17/20	196.40	...	-9.08	1 of 2 - Sale ²⁵
	1.000	46.83	07/17/20	43.57	...	3.26	2 of 2 - Sale ²⁵
08/13/20	5.000	234.15	Various	239.97	...	-5.82	Total of 2 transactions
09/08/20	4.000	188.00	07/17/20	174.28	...	13.72	Sale ²⁵
	Security total:	2,210.81		2,085.20	17.85 W	143.46	
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol:							
2 transactions for 08/19/20. Total proceeds and cost reported to the IRS.							
	1.000	489.67	07/14/20	393.19	...	96.48	1 of 2 - Sale ²⁵
	2.000	979.33	07/16/20	801.20	...	178.13	2 of 2 - Sale ²⁵
08/19/20	3.000	1,469.00	Various	1,194.39	...	274.61	Total of 2 transactions
12/04/20	1.000	541.14	11/10/20	512.95	...	28.19	Sale ²⁵
	Security total:	2,010.14		1,707.34	...	302.80	
PEGASYSTEMS INC. COMMON STOCK / CUSIP: 705573103 / Symbol:							
07/29/20	5.000	570.03	07/15/20	493.10	...	76.93	Sale ²⁵
PHASEBIO PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 717224109 / Symbol:							
10 transactions for 01/10/20. Total proceeds and cost reported to the IRS.							
	4.000	28.42	12/26/19	26.50	...	1.92	1 of 10 - Sale ²⁵
	3.000	21.31	12/27/19	19.10	...	2.21	2 of 10 - Sale ²⁵
	76.000	539.96	12/27/19	481.48	...	58.48	3 of 10 - Sale ²⁵
	25.000	176.51	12/31/19	150.08	...	26.43	4 of 10 - Sale ²⁵
	50.000	355.23	12/31/19	300.17	...	55.06	5 of 10 - Sale ²⁵
	27.000	190.63	01/02/20	148.46	...	42.17	6 of 10 - Sale ²⁵
	59.000	416.58	01/02/20	348.10	...	68.48	7 of 10 - Sale ²⁵
	17.000	119.67	01/03/20	96.30	...	23.37	8 of 10 - Sale ²⁵
	33.000	232.30	01/03/20	180.51	...	51.79	9 of 10 - Sale ²⁵
	39.000	275.37	01/03/20	220.94	...	54.43	10 of 10 - Sale ²⁵
01/10/20	333.000	2,355.98	Various	1,971.64	...	384.34	Total of 10 transactions
16 transactions for 04/14/20. Total proceeds and cost reported to the IRS.							
	100.000	430.47	01/14/20	565.75	...	-135.28	1 of 16 - Sale ²⁵
	94.000	441.79	01/23/20	515.02	...	-73.23	2 of 16 - Sale ²⁵

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Robinhood Securities LLC

Account 773251616

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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PHASEBIO PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 717224109 / Symbol: (cont'd)							
	44.000	207.24	01/23/20	239.40	...	-32.16	3 of 16 - Sale ²⁵
	6.000	28.20	01/23/20	32.65	...	-4.45	4 of 16 - Sale ²⁵
	6.000	28.80	01/23/20	32.87	...	-4.07	5 of 16 - Sale ²⁵
	56.000	263.75	01/24/20	296.80	...	-33.05	6 of 16 - Sale ²⁵
	44.000	208.11	01/24/20	233.20	...	-25.09	7 of 16 - Sale ²⁵
	28.000	132.44	01/27/20	144.48	...	-12.04	8 of 16 - Sale ²⁵
	11.000	52.03	01/27/20	56.76	...	-4.73	9 of 16 - Sale ²⁵
	16.000	75.68	01/30/20	81.60	...	-5.92	10 of 16 - Sale ²⁵
	60.000	284.99	02/18/20	288.32	...	-3.33	11 of 16 - Sale ²⁵
	40.000	190.00	02/18/20	192.10	...	-2.10	12 of 16 - Sale ²⁵
	100.000	479.99	02/18/20	480.53	...	-0.54	13 of 16 - Sale ²⁵
	48.000	230.39	02/18/20	230.65	...	-0.26	14 of 16 - Sale ²⁵
	1.000	4.73	02/18/20	4.80	...	-0.07	15 of 16 - Sale ²⁵
	46.000	220.80	02/25/20	211.60	...	9.20	16 of 16 - Sale ²⁵
04/14/20	700.000	3,279.41	Various	3,606.53	...	-327.12	Total of 16 transactions
	7 transactions for 05/06/20. Total proceeds and cost reported to the IRS.						
	6.000	29.58	02/25/20	27.60	...	1.98	1 of 7 - Sale ²⁵
	18.000	88.74	02/25/20	82.80	...	5.94	2 of 7 - Sale ²⁵
	22.000	108.46	02/25/20	101.20	...	7.26	3 of 7 - Sale ²⁵
	54.000	266.21	02/25/20	248.40	...	17.81	4 of 7 - Sale ²⁵
	72.000	362.72	02/25/20	331.20	...	31.52	5 of 7 - Sale ²⁵
	100.000	503.79	03/03/20	414.13	...	89.66	6 of 7 - Sale ²⁵
	11.000	55.41	03/10/20	39.05	...	16.36	7 of 7 - Sale ²⁵
05/06/20	283.000	1,414.91	Various	1,244.38	...	170.53	Total of 7 transactions
	2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.						
	23.000	89.93	08/21/20	87.06	...	2.87	1 of 2 - Sale ²⁵
	27.000	105.57	08/21/20	102.21	...	3.36	2 of 2 - Sale ²⁵
08/26/20	50.000	195.50	Various	189.27	...	6.23	Total of 2 transactions
09/14/20	50.000	174.03	09/02/20	179.00	4.97 W	0.00	Sale ²⁵
	2 transactions for 09/15/20. Total proceeds and cost reported to the IRS.						
	2.000	6.98	09/03/20	6.90	...	0.08	1 of 2 - Sale ²⁵
	48.000	167.58	09/03/20	165.57	...	2.01	2 of 2 - Sale ²⁵
09/15/20	50.000	174.56	Various	172.47	...	2.09	Total of 2 transactions

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Robinhood Securities LLC

Account 773251616

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PHASEBIO PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 717224109 / Symbol: (cont'd)							
2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	22.000	92.52	12/09/20	83.93	...	8.59	1 of 2 - Sale ²⁵
	78.000	328.02	12/09/20	297.57	...	30.45	2 of 2 - Sale ²⁵
12/11/20	100.000	420.54	Various	381.50	...	39.04	Total of 2 transactions
	Security total:	8,014.93		7,744.79	4.97 W	275.11	
PROSHARES ULTRAPRO SHORT DOW 3 0 / CUSIP: 74347G309 / Symbol:							
6 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	1.000	24.01	06/16/20	22.09	...	1.92	1 of 6 - Sale ²⁵
	2.000	48.02	06/16/20	44.18	...	3.84	2 of 6 - Sale ²⁵
	5.000	120.05	06/16/20	110.45	...	9.60	3 of 6 - Sale ²⁵
	10.000	240.10	06/16/20	220.90	...	19.20	4 of 6 - Sale ²⁵
	12.000	288.11	06/16/20	265.08	...	23.03	5 of 6 - Sale ²⁵
	20.000	480.19	06/16/20	450.38	...	29.81	6 of 6 - Sale ²⁵
06/18/20	50.000	1,200.48	Various	1,113.08	...	87.40	Total of 6 transactions
07/17/20	15.000	320.10	07/14/20	315.30	...	4.80	Sale ²⁵
2 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	10.000	184.94	08/10/20	189.70	...	-4.76	1 of 2 - Sale ²⁵
	10.000	186.50	08/10/20	189.70	...	-3.20	2 of 2 - Sale ²⁵
09/08/20	20.000	371.44	Various	379.40	...	-7.96	Total of 2 transactions
	Security total:	1,892.02		1,807.78	...	84.24	
RAYTHEON TECHNOLOGIES CORPORAT ION / CUSIP: 75513E101 / Symbol:							
08/11/20	5.000	329.10	06/19/20	323.40	...	5.70	Sale ²⁵
ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol:							
08/20/20	10.000	227.20	08/06/20	180.00	...	47.20	Sale ²⁵
SCIENTIFIC GAMES CORP COMMON S TOCK / CUSIP: 80874P109 / Symbol:							
05/18/20	30.000	395.70	05/14/20	304.20	...	91.50	Sale ²⁵
3 transactions for 07/02/20. Total proceeds and cost reported to the IRS.							
	1.000	17.11	06/11/20	14.55	...	2.56	1 of 3 - Sale ²⁵
	10.000	171.10	06/11/20	143.46	...	27.64	2 of 3 - Sale ²⁵

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Account 773251616

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SCIENTIFIC GAMES CORP COMMON S TOCK / CUSIP: 80874P109 / Symbol: (cont'd)							
	19.000	325.09	06/11/20	276.45	...	48.64	3 of 3 - Sale ²⁵
07/02/20	30.000	513.30	Various	434.46	...	78.84	Total of 3 transactions
	Security total:	909.00		738.66	...	170.34	
SIMON PROPERTY GROUP, INC. / CUSIP: 828806109 / Symbol:							
<i>2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.</i>							
	5.999	386.63	07/08/20	390.39	...	-3.76	1 of 2 - Sale ²⁵
	0.148	9.52	07/08/20	9.61	...	-0.09	2 of 2 - Sale ²⁵
08/10/20	6.147	396.15	Various	400.00	...	-3.85	Total of 2 transactions
SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
08/26/20	3.000	92.31	07/16/20	93.47	...	-1.16	Sale ²⁵
08/26/20	7.000	215.39	07/14/20	218.33	2.10 W	-0.84	Sale ²⁵
<i>3 transactions for 09/01/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	67.58	07/16/20	62.31	...	5.27	1 of 3 - Sale ²⁵
	8.000	270.31	07/16/20	247.25	...	23.06	2 of 3 - Sale ²⁵
	5.000	168.94	08/10/20	141.15	...	27.79	3 of 3 - Sale ²⁵
09/01/20	15.000	506.83	Various	450.71	...	56.12	Total of 3 transactions
	Security total:	814.53		762.51	2.10 W	54.12	
SPIRIT AEROSYSTEMS HOLDINGS, I NC. / CUSIP: 848574109 / Symbol:							
<i>3 transactions for 11/09/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	133.75	06/22/20	130.43	...	3.32	1 of 3 - Sale ²⁵
	5.000	137.11	06/22/20	130.42	...	6.69	2 of 3 - Sale ²⁵
	10.000	274.21	06/23/20	239.80	...	34.41	3 of 3 - Sale ²⁵
11/09/20	20.000	545.07	Various	500.65	...	44.42	Total of 3 transactions
11/09/20	20.000	534.98	06/19/20	536.10	0.56 W	-0.56	Sale ²⁵
<i>3 transactions for 11/13/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	296.94	06/23/20	232.60	...	64.34	1 of 3 - Sale ²⁵
	6.000	178.17	07/20/20	129.39	...	48.78	2 of 3 - Sale ²⁵
	10.000	296.94	10/15/20	179.33	...	117.61	3 of 3 - Sale ²⁵
11/13/20	26.000	772.05	Various	541.32	...	230.73	Total of 3 transactions
	Security total:	1,852.10		1,578.07	0.56 W	274.59	

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Account 773251616

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
2 transactions for 07/08/20. Total proceeds and cost reported to the IRS.							
	1.000	33.44	05/13/20	27.88	...	5.56	1 of 2 - Sale ²⁵
	9.000	300.96	05/13/20	250.88	...	50.08	2 of 2 - Sale ²⁵
07/08/20	10.000	334.40	Various	278.76	...	55.64	Total of 2 transactions
UBER TECHNOLOGIES, INC. / CUSIP: 90353T100 / Symbol:							
3 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	2.000	53.64	03/12/20	46.01	...	7.63	1 of 3 - Sale ²⁵
	1.000	26.82	03/13/20	21.69	...	5.13	2 of 3 - Sale ²⁵
	3.000	80.46	04/03/20	67.59	...	12.87	3 of 3 - Sale ²⁵
04/07/20	6.000	160.92	Various	135.29	...	25.63	Total of 3 transactions
3 transactions for 05/07/20. Total proceeds and cost reported to the IRS.							
	5.000	168.50	04/14/20	138.65	...	29.85	1 of 3 - Sale ²⁵
	2.000	67.40	04/15/20	53.14	...	14.26	2 of 3 - Sale ²⁵
	3.000	101.10	04/15/20	80.34	...	20.76	3 of 3 - Sale ²⁵
05/07/20	10.000	337.00	Various	272.13	...	64.87	Total of 3 transactions
05/18/20	10.000	340.00	05/13/20	315.80	...	24.20	Sale ²⁵
08/26/20	10.000	316.70	06/11/20	328.29	11.59 W	0.00	Sale ²⁵
2 transactions for 09/01/20. Total proceeds and cost reported to the IRS.							
	10.000	342.19	06/11/20	317.38	...	24.81	1 of 2 - Sale ²⁵
	10.000	342.20	08/14/20	310.17	...	32.03	2 of 2 - Sale ²⁵
09/01/20	20.000	684.39	Various	627.55	...	56.84	Total of 2 transactions
11/04/20	10.000	397.30	10/27/20	343.05	...	54.25	Sale ²⁵
	Security total:	2,236.31		2,022.11	11.59 W	225.79	
VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y201 / Symbol:							
06/10/20	100.000	96.49	06/09/20	89.66	...	6.83	Sale ²⁵
2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	100.000	87.46	06/11/20	77.20	...	10.26	1 of 2 - Sale ²⁵
	100.000	87.46	06/17/20	67.00	...	20.46	2 of 2 - Sale ²⁵
06/18/20	200.000	174.92	Various	144.20	...	30.72	Total of 2 transactions
	Security total:	271.41		233.86	...	37.55	

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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WALGREENS BOOTS ALLIANCE, INC. COMMON STOCK / CUSIP: 931427108 / Symbol:							
08/04/20	1.000	40.61	07/09/20	40.20	...	0.41	Sale ²⁵
10/15/20	5.000	188.51	08/26/20	193.20	...	-4.69	Sale ²⁵
	2 transactions for 11/10/20. Total proceeds and cost reported to the IRS.						
	5.000	215.91	08/31/20	190.80	...	25.11	1 of 2 - Sale ²⁵
	5.000	215.90	09/10/20	174.25	...	41.65	2 of 2 - Sale ²⁵
11/10/20	10.000	431.81	Various	365.05	...	66.76	Total of 2 transactions
	Security total:	660.93		598.45	...	62.48	
WEX INC. / CUSIP: 96208T104 / Symbol:							
08/11/20	2.000	334.68	07/13/20	307.62	...	27.06	Sale ²⁵
	2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.						
	2.000	337.29	08/18/20	315.10	...	22.19	1 of 2 - Sale ²⁵
	2.000	337.29	08/21/20	304.81	...	32.48	2 of 2 - Sale ²⁵
09/03/20	4.000	674.58	Various	619.91	...	54.67	Total of 2 transactions
11/09/20	1.000	157.50	09/15/20	149.09	...	8.41	Sale ²⁵
	Security total:	1,166.76		1,076.62	...	90.14	
WYNN RESORTS, LIMITED COMMON S TOCK / CUSIP: 983134107 / Symbol:							
	2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	2.000	176.74	06/18/20	175.19	...	1.55	1 of 2 - Sale ²⁵
	3.000	265.12	06/19/20	260.91	...	4.21	2 of 2 - Sale ²⁵
08/11/20	5.000	441.86	Various	436.10	...	5.76	Total of 2 transactions
	2 transactions for 08/11/20. Total proceeds and cost reported to the IRS.						
	3.000	265.11	06/11/20	278.04	12.93 W	0.00	1 of 2 - Sale ²⁵
	2.000	176.74	06/11/20	180.70	3.96 W	0.00	2 of 2 - Sale ²⁵
08/11/20	5.000	441.85	Various	458.74	16.89 W	0.00	Total of 2 transactions
	4 transactions for 08/28/20. Total proceeds and cost reported to the IRS.						
	3.000	277.49	06/22/20	252.61	...	24.88	1 of 4 - Sale ²⁵
	2.000	184.99	06/24/20	154.05	...	30.94	2 of 4 - Sale ²⁵
	2.000	185.00	06/25/20	143.17	...	41.83	3 of 4 - Sale ²⁵
	3.000	277.49	06/26/20	206.50	...	70.99	4 of 4 - Sale ²⁵
08/28/20	10.000	924.97	Various	756.33	...	168.64	Total of 4 transactions

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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WYNN RESORTS, LIMITED COMMON S TOCK / CUSIP: 983134107 / Symbol: (cont'd)							
<i>2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.</i>							
	3.000	270.72	09/01/20	270.33	...	0.39	1 of 2 - Sale ²⁵
	2.000	180.48	09/01/20	175.56	...	4.92	2 of 2 - Sale ²⁵
09/03/20	5.000	451.20	Various	445.89	...	5.31	Total of 2 transactions
<i>2 transactions for 11/05/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	79.02	09/24/20	69.87	...	9.15	1 of 2 - Sale ²⁵
	5.000	395.11	10/13/20	351.56	...	43.55	2 of 2 - Sale ²⁵
11/05/20	6.000	474.13	Various	421.43	...	52.70	Total of 2 transactions
11/05/20	3.000	237.06	09/15/20	245.55	2.83 W	-5.66	Sale ²⁵
11/09/20	1.000	105.17	10/13/20	72.47	...	32.70	Sale ²⁵
	Security total:	3,076.24		2,836.51	19.72 W	259.45	
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U703 / Symbol:							
08/25/20	50.000	167.25	08/20/20	153.99	...	13.26	Sale ²⁵
08/25/20	50.000	161.75	08/13/20	173.75	12.00 W	0.00	Sale ²⁵
10/05/20	100.000	250.99	08/26/20	262.00	...	-11.01	Sale ²⁵
	Security total:	579.99		589.74	12.00 W	2.25	
BIOHAVEN PHARMACEUTICAL HOLDIN G COMPANY LTD. / CUSIP: G11196105 / Symbol:							
10/05/20	10.000	683.28	09/02/20	606.80	...	76.48	Sale ²⁵
INTERNATIONAL GAME TECHNOLOGY PLC / CUSIP: G4863A108 / Symbol:							
<i>3 transactions for 04/27/20. Total proceeds and cost reported to the IRS.</i>							
	20.000	140.00	04/14/20	125.36	...	14.64	1 of 3 - Sale ²⁵
	10.000	70.00	04/15/20	59.00	...	11.00	2 of 3 - Sale ²⁵
	10.000	70.00	04/15/20	58.09	...	11.91	3 of 3 - Sale ²⁵
04/27/20	40.000	280.00	Various	242.45	...	37.55	Total of 3 transactions
<i>2 transactions for 05/18/20. Total proceeds and cost reported to the IRS.</i>							
	40.000	306.34	05/06/20	261.15	...	45.19	1 of 2 - Sale ²⁵
	20.000	153.17	05/14/20	116.00	...	37.17	2 of 2 - Sale ²⁵
05/18/20	60.000	459.51	Various	377.15	...	82.36	Total of 2 transactions
07/02/20	50.000	514.99	06/11/20	444.50	...	70.49	Sale ²⁵
08/03/20	12.000	126.48	07/31/20	117.36	...	9.12	Sale ²⁵

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 773251616

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INTERNATIONAL GAME TECHNOLOGY PLC / CUSIP: G4863A108 / Symbol: (cont'd)							
2 transactions for 08/06/20. Total proceeds and cost reported to the IRS.							
	4.000	41.93	08/04/20	39.47	...	2.46	1 of 2 - Sale ²⁵
	18.000	188.66	08/04/20	180.16	...	8.50	2 of 2 - Sale ²⁵
08/06/20	22.000	230.59	Various	219.63	...	10.96	Total of 2 transactions
11/05/20	20.000	187.04	10/27/20	182.20	...	4.84	Sale ²⁵
3 transactions for 11/09/20. Total proceeds and cost reported to the IRS.							
	5.000	52.13	10/27/20	45.55	...	6.58	1 of 3 - Sale ²⁵
	10.000	104.25	10/27/20	86.90	...	17.35	2 of 3 - Sale ²⁵
	5.000	52.12	10/28/20	40.15	...	11.97	3 of 3 - Sale ²⁵
11/09/20	20.000	208.50	Various	172.60	...	35.90	Total of 3 transactions
11/11/20	10.000	111.60	10/28/20	80.30	...	31.30	Sale ²⁵
	Security total:	2,118.71		1,836.19	...	282.52	
PACIFIC DRILLING S.A. ORDINARY SHARES PAR \$0.01 (LUXEMBOURG) / CUSIP: L7257P205 / Symbol:							
06/15/20	100.000	55.24	06/08/20	96.00	40.76 W	0.00	Sale ²⁵
2 transactions for 11/03/20. Total proceeds and cost reported to the IRS.							
	100.000	6.99	06/11/20	86.31	...	-79.32	1 of 2 - Sale ²⁵
	100.000	6.99	06/25/20	49.00	...	-42.01	2 of 2 - Sale ²⁵
11/03/20	200.000	13.98	Various	135.31	...	-121.33	Total of 2 transactions
	Security total:	69.22		231.31	40.76 W	-121.33	
COMPUGEN LTD. ORDINARY SHARES / CUSIP: M25722105 / Symbol:							
2 transactions for 12/21/20. Total proceeds and cost reported to the IRS.							
	0.016	0.22	12/08/20	0.20	...	0.02	1 of 2 - Sale ²⁵
	13.984	188.82	12/08/20	174.97	...	13.85	2 of 2 - Sale ²⁵
12/21/20	14.000	189.04	Various	175.17	...	13.87	Total of 2 transactions
JFROG LTD. ORDINARY SHARES / CUSIP: M6191J100 / Symbol:							
2 transactions for 12/24/20. Total proceeds and cost reported to the IRS.							
	5.000	355.67	11/23/20	299.75	...	55.92	1 of 2 - Sale ²⁵
	5.000	355.66	11/23/20	291.93	...	63.73	2 of 2 - Sale ²⁵
12/24/20	10.000	711.33	Various	591.68	...	119.65	Total of 2 transactions

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 773251616

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ASML HOLDING N.V. NEW YORK REG ISTRY SHARES / CUSIP: N07059210 / Symbol:							
<i>3 transactions for 10/05/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	379.99	09/03/20	372.95	...	7.04	1 of 3 - Sale ²⁵
	1.000	379.99	09/04/20	363.74	...	16.25	2 of 3 - Sale ²⁵
	1.000	379.99	09/04/20	356.84	...	23.15	3 of 3 - Sale ²⁵
10/05/20	3.000	1,139.97	Various	1,093.53	...	46.44	Total of 3 transactions
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y164 / Symbol:							
07/17/20	1,000.000	113.88	07/07/20	108.50	...	5.38	Sale ²⁵
Totals :		76,681.09		69,890.29	295.18 W	7,085.98	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 773251616

Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part I, with Box C checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
VIATRIS INC. COMMON STOCK / CUSIP: 92556V106 / Symbol:							
	2 transactions for 11/23/20						
	0.073	1.12	06/24/20	0.00	...	1.12	Gain or loss
	0.861	13.25	06/24/20	0.00	...	13.25	Gain or loss
11/23/20	0.934	14.37	Various	0.00	...	14.37	Total of 2 transactions
Totals:		14.37		0.00	...	14.37	

Robinhood Securities LLC

Account 773251616

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		02/13/20	2.31	Qualified dividend	
			11/12/20	0.41	Qualified dividend	
				2.72	Total Dividends & distributions	
BANK OF AMERICA CORPORATION	060505104		06/26/20	9.00	Qualified dividend	
			09/25/20	4.50	Qualified dividend	
				13.50	Total Dividends & distributions	
CVS HEALTH CORPORATION	126650100		11/02/20	8.00	Qualified dividend	
CHEVRON CORPORATION	166764100		06/10/20	3.87	Qualified dividend	
			12/10/20	6.45	Qualified dividend	
				10.32	Total Dividends & distributions	
CONOCOPHILLIPS	20825C104		09/01/20	4.20	Qualified dividend	
			12/01/20	4.30	Qualified dividend	
				8.50	Total Dividends & distributions	
DIREXION DAILY S&P OIL & GAS E XP. & PROD. BULL 2X SHARES	25460G500		06/30/20	0.14	Qualified dividend	
			09/29/20	0.01	Qualified dividend	
				0.15	Total Dividends & distributions	
EXXON MOBIL CORPORATION	30231G102		06/10/20	13.05	Qualified dividend	
			09/10/20	17.40	Qualified dividend	
			12/10/20	26.10	Qualified dividend	
				56.55	Total Dividends & distributions	
GENERAL ELECTRIC COMPANY	369604103		07/27/20	1.50	Qualified dividend	
MICROSOFT CORPORATION COMMON STOCK	594918104		03/12/20	3.57	Qualified dividend	
			06/11/20	10.71	Qualified dividend	
			09/10/20	10.71	Qualified dividend	
			12/10/20	11.20	Qualified dividend	
				36.19	Total Dividends & distributions	

Robinhood Securities LLC

Account 773251616

Detail for Dividends and Distributions

2020

(continued)

02/14/2021

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
NVIDIA CORPORATION COMMON STOC K	67066G104		12/29/20	0.16	Qualified dividend	
PFIZER INC.	717081103		09/01/20	5.92	Qualified dividend	
			12/01/20	5.92	Qualified dividend	
				11.84	Total Dividends & distributions	
SIMON PROPERTY GROUP, INC.	828806109		07/24/20	7.17	Section 199A dividend	03
			07/24/20	0.61	Qualified dividend	03
			07/24/20	0.19	Long-term capital gain	03
			07/24/20	0.02	Unrecaptured section 1250 gain	03
				7.99	Total Dividends & distributions	
SPIRIT AEROSYSTEMS HOLDINGS, I NC.	848574109		10/05/20	0.56	Qualified dividend	
				157.98	Total Dividends & distributions	

Robinhood Securities LLC

Account 773251616

Detail for Interest Income

2020

02/14/2021

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled and presented beneath the 1099-INT for informational purposes and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		05/29/20	0.71	Interest	
		06/30/20	1.49	Interest	
		07/31/20	0.11	Interest	
		08/19/20	0.08	Interest	
		08/31/20	0.12	Interest	
		09/21/20	0.25	Interest	
		09/30/20	0.01	Interest	
		10/30/20	0.80	Interest	
		11/30/20	1.86	Interest	
		12/31/20	1.95	Interest	
			7.38	Total Interest	
			7.38	Total Interest	

Robinhood Securities LLC

Account 773251616

Other Receipts and Reconciliations

2020

02/14/2021

This section of your tax information statement contains the detail of the year-to-year adjustments between cash distributions and reportable income for Unit Investment Trusts, reflecting the fact that income is recognized at the time it is received by the trust, rather than when a distribution is made.

Also shown are distributions whose tax impact will be defined by other documentation. In the case of partnership distributions, you will receive Schedule K-1 (Form 1065) directly from the partnership(s). For deferred income, the Form 1099-DIV issued in the following year will characterize the amounts received.

These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
GENESIS ENERGY, L.P.	371927104	11/13/20	15.00	Partnership distribution	
			15.00	Total Partnership distribution	

Robinhood Securities LLC

Account 773251616

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
VIATRIS INC. COMMON STOCK	92556V106	11/17/20	1.00	0.00	Stock spinoff	

03 The tax character of the distribution has been allocated based on information provided by the security issuer.

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortization allocable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortization allocable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Instructions for Recipient

FATCA filing requirement. If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8912. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the Instructions for Forms 1040 and 1040-SR to see where to take the deduction. **Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury bonds, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9. Include this amount on your income tax return as tax withheld.

Line 5. Any amount shown is your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Line 7. Shows the country or U.S. possession to which the foreign tax was paid.

Line 8. Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the Instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1276(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 1. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(a)(4). **Line 12.** For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 3. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(a)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a

premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(a)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS. Furnish Copy B to each owner. List yourself as the "payer" and the other owner(s) as the "recipient." File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments. For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099INT.