

Robinhood Securities LLC 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700 PAYER'S TIN: 38-4019216	Tax Information Account 140879586	Statement Date: 02/14/2021 Document ID: 0518 SFM 104T	2020
	Rama Pandey 303 Rayburn Street 633 Lafayette, LA 70506 RECIPIENT'S TIN: XXX-XX-4741		

Summary Information

DIVIDENDS AND DISTRIBUTIONS	2020 1099-DIV*	OMB No. 1545-0110	MISCELLANEOUS INCOME	2020 1099-MISC*	OMB No. 1545-0115
1a- Total ordinary dividends (includes lines 1b, 5)	48.00		2- Royalties	0.00	
1b- Qualified dividends	48.00		3- Other income	0.00	
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00		4- Federal income tax withheld	0.00	
2b- Unrecaptured Section 1250 gain	0.00		8- Substitute payments in lieu of dividends or interest	0.00	
2c- Section 1202 gain	0.00				
2d- Collectibles (28%) gain	0.00		SECTION 1256 CONTRACTS	2020 1099-B*	OMB No. 1545-0715
3- Nondividend distributions	0.00		8- Profit or (loss) realized in 2020 on closed contracts	0.00	
4- Federal income tax withheld	0.00		9- Unrealized profit or (loss) on open contracts-12/31/2019	0.00	
5- Section 199A dividends	0.00		10- Unrealized profit or (loss) on open contracts-12/31/2020	0.00	
6- Investment expenses	0.00		11- Aggregate profit or (loss) on contracts	0.00	
8- Foreign country or US possession:	0.00				
7- Foreign tax paid:	0.00		<i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i>		
9- Cash liquidation distributions	0.00				
10- Noncash liquidation distributions	0.00				
11- Exempt-interest dividends (includes line 12)	0.00				
12- Specified private activity bond interest dividends (AMT)	0.00				

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SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	688,033.83	657,589.73	0.00	1,166.44	31,610.54
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	688,033.83	657,589.73	0.00	1,166.44	31,610.54
Long	D (basis reported to the IRS)	18,653.98	42,566.03	0.00	9,303.77	-14,608.28
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	6.51	54.00	0.00	0.00	-47.49
	Total Long-term	18,660.49	42,620.03	0.00	9,303.77	-14,655.77
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	706,694.32	700,209.76	0.00	10,470.21	16,954.77

Withholding	Amount
Federal income tax withheld	0.00

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

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Account 140879586

Summary Information

2020

(continued)

02/14/2021

INTEREST INCOME

2020 1099-INT

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 140879586

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
07/20/20	2.000	6,079.86	07/17/20	5,997.76	...	82.10	Sale ²⁵
08/05/20	15.000	48,148.93	07/23/20	45,057.75	...	3,091.18	Sale ²⁵
	2 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	1.000	3,149.93	08/10/20	3,141.90	...	8.03	1 of 2 - Sale ²⁵
	9.000	28,349.37	08/10/20	28,278.00	...	71.37	2 of 2 - Sale ²⁵
08/10/20	10.000	31,499.30	Various	31,419.90	...	79.40	Total of 2 transactions
	4 transactions for 08/12/20. Total proceeds and cost reported to the IRS.						
	10.000	31,684.29	08/10/20	31,474.38	...	209.91	1 of 4 - Sale ²⁵
	1.000	3,168.43	08/11/20	3,104.79	...	63.64	2 of 4 - Sale ²⁵
	1.000	3,168.43	08/11/20	3,104.77	...	63.66	3 of 4 - Sale ²⁵
	2.000	6,334.50	08/11/20	6,209.58	...	124.92	4 of 4 - Sale ²⁵
08/12/20	14.000	44,355.65	Various	43,893.52	...	462.13	Total of 4 transactions
09/04/20	3.000	9,959.77	09/04/20	9,450.00	...	509.77	Sale ²⁵

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Robinhood Securities LLC

Account 140879586

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol: (cont'd)							
09/09/20	3.000	9,899.78	09/08/20	9,480.00	...	419.78	Sale ²⁵
	<i>3 transactions for 10/01/20. Total proceeds and cost reported to the IRS.</i>						
	3.000	9,629.79	09/10/20	9,540.00	...	89.79	1 of 3 - Sale ²⁵
	1.000	3,209.93	09/11/20	3,100.00	...	109.93	2 of 3 - Sale ²⁵
	2.000	6,419.85	09/17/20	5,986.00	...	433.85	3 of 3 - Sale ²⁵
10/01/20	6.000	19,259.57	Various	18,626.00	...	633.57	Total of 3 transactions
12/16/20	2.000	6,445.17	11/10/20	6,097.47	...	347.70	Sale ²⁵
	Security total:	175,648.03		170,022.40	...	5,625.63	
AMERICAN AIRLINES GROUP INC. C OMMON STOCK / CUSIP: 02376R102 / Symbol:							
	<i>4 transactions for 10/22/20. Total proceeds and cost reported to the IRS.</i>						
	100.000	1,319.96	10/12/20	1,297.78	...	22.18	1 of 4 - Sale ²⁵
	100.000	1,319.96	10/12/20	1,297.65	...	22.31	2 of 4 - Sale ²⁵
	200.000	2,639.92	10/12/20	2,595.56	...	44.36	3 of 4 - Sale ²⁵
	200.000	2,639.92	10/12/20	2,595.30	...	44.62	4 of 4 - Sale ²⁵
10/22/20	600.000	7,919.76	Various	7,786.29	...	133.47	Total of 4 transactions
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
04/07/20	100.000	2,263.93	04/03/20	1,980.99	...	282.94	Sale ²⁵
	<i>2 transactions for 04/28/20. Total proceeds and cost reported to the IRS.</i>						
	100.000	2,477.94	04/13/20	2,413.72	...	64.22	1 of 2 - Sale ²⁵
	8.000	198.23	04/14/20	185.80	...	12.43	2 of 2 - Sale ²⁵
04/28/20	108.000	2,676.17	Various	2,599.52	...	76.65	Total of 2 transactions
05/08/20	85.000	1,992.52	05/04/20	1,907.21	...	85.31	Sale ²⁵
05/18/20	200.000	4,599.87	05/12/20	4,352.00	...	247.87	Sale ²⁵
	<i>5 transactions for 07/13/20. Total proceeds and cost reported to the IRS.</i>						
	112.000	2,699.13	06/11/20	2,688.00	...	11.13	1 of 5 - Sale ²⁵
	135.000	3,253.41	06/11/20	3,240.00	...	13.41	2 of 5 - Sale ²⁵
	250.000	6,024.83	07/02/20	5,816.85	...	207.98	3 of 5 - Sale ²⁵
	100.000	2,409.93	07/07/20	2,300.00	...	109.93	4 of 5 - Sale ²⁵
	100.000	2,409.94	07/07/20	2,300.00	...	109.94	5 of 5 - Sale ²⁵
07/13/20	697.000	16,797.24	Various	16,344.85	...	452.39	Total of 5 transactions
08/24/20	500.000	12,810.70	08/20/20	12,559.95	...	250.75	Sale ²⁵

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Robinhood Securities LLC

Account 140879586

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol: (cont'd)							
2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	4.000	104.80	08/26/20	102.39	...	2.41	1 of 2 - Sale ²⁵
08/27/20	546.000	14,304.82	08/26/20	13,976.35	...	328.47	2 of 2 - Sale ²⁵
	550.000	14,409.62	Various	14,078.74	...	330.88	Total of 2 transactions
6 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	1.000	25.92	08/31/20	25.86	...	0.06	1 of 6 - Sale ²⁵
	99.000	2,566.01	08/31/20	2,559.91	...	6.10	2 of 6 - Sale ²⁵
	100.000	2,591.93	08/31/20	2,585.77	...	6.16	3 of 6 - Sale ²⁵
	100.000	2,591.93	08/31/20	2,585.77	...	6.16	4 of 6 - Sale ²⁵
	100.000	2,591.93	08/31/20	2,585.77	...	6.16	5 of 6 - Sale ²⁵
09/02/20	100.000	2,591.93	08/31/20	2,585.77	...	6.16	6 of 6 - Sale ²⁵
	500.000	12,959.65	Various	12,928.85	...	30.80	Total of 6 transactions
5 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	10.000	258.00	09/08/20	255.00	...	3.00	1 of 5 - Sale ²⁵
	90.000	2,321.93	09/08/20	2,295.00	...	26.93	2 of 5 - Sale ²⁵
	100.000	2,579.93	09/08/20	2,550.00	...	29.93	3 of 5 - Sale ²⁵
	100.000	2,579.93	09/08/20	2,550.00	...	29.93	4 of 5 - Sale ²⁵
09/10/20	100.000	2,579.93	09/08/20	2,550.00	...	29.93	5 of 5 - Sale ²⁵
	400.000	10,319.72	Various	10,200.00	...	119.72	Total of 5 transactions
3 transactions for 10/06/20. Total proceeds and cost reported to the IRS.							
	50.000	1,250.06	09/25/20	1,168.87	...	81.19	1 of 3 - Sale ²⁵
	20.000	500.03	09/29/20	476.00	...	24.03	2 of 3 - Sale ²⁵
10/06/20	100.000	2,500.13	10/02/20	2,360.00	...	140.13	3 of 3 - Sale ²⁵
	170.000	4,250.22	Various	4,004.87	...	245.35	Total of 3 transactions
	Security total:	83,079.64		80,956.98	...	2,122.66	
BIOCRYST PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 09058V103 / Symbol:							
4 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	100.000	406.26	08/06/20	396.50	...	9.76	1 of 4 - Sale ²⁵
	200.000	812.52	08/06/20	794.00	...	18.52	2 of 4 - Sale ²⁵
	300.000	1,218.77	08/06/20	1,189.50	...	29.27	3 of 4 - Sale ²⁵
	400.000	1,625.04	08/06/20	1,586.00	...	39.04	4 of 4 - Sale ²⁵
08/10/20	1,000.000	4,062.59	Various	3,966.00	...	96.59	Total of 4 transactions

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CGI INC. / CUSIP: 12532H104 / Symbol:							
04/07/20	40.000	2,322.74	04/03/20	2,142.50	...	180.24	Sale ²⁵
04/24/20	40.000	2,442.94	04/14/20	2,379.70	...	63.24	Sale ²⁵
05/22/20	45.000	2,793.95	05/13/20	2,768.48	...	25.47	Sale ²⁵
07/06/20	45.000	2,886.01	06/26/20	2,820.15	...	65.86	Sale ²⁵
09/04/20	100.000	6,990.83	09/04/20	6,886.48	...	104.35	Sale ²⁵
11/09/20	90.000	6,152.25	10/23/20	5,935.79	...	216.46	Sale ²⁵
	Security total:	23,588.72		22,933.10	...	655.62	
CATALYST PHARMACEUTICALS, INC. COMMON STOCK / CUSIP: 14888U101 / Symbol:							
07/31/20	140.000	574.66	07/29/20	636.30	61.64 W	0.00	Sale ²⁵
	<i>5 transactions for 08/14/20. Total proceeds and cost reported to the IRS.</i>						
	140.000	481.57	08/11/20	540.15	...	-58.58	1 of 5 - Sale ²⁵
	100.000	343.99	08/11/20	341.79	...	2.20	2 of 5 - Sale ²⁵
	160.000	550.36	08/11/20	546.86	...	3.50	3 of 5 - Sale ²⁵
	300.000	1,031.93	08/11/20	1,025.37	...	6.56	4 of 5 - Sale ²⁵
	300.000	1,031.93	08/11/20	1,025.37	...	6.56	5 of 5 - Sale ²⁵
08/14/20	1,000.000	3,439.78	Various	3,479.54	...	-39.76	Total of 5 transactions
	Security total:	4,014.44		4,115.84	61.64 W	-39.76	
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol:							
	<i>8 transactions for 09/01/20. Total proceeds and cost reported to the IRS.</i>						
	8.000	63.28	09/01/20	63.20	...	0.08	1 of 8 - Sale ²⁵
	10.000	79.10	09/01/20	79.00	...	0.10	2 of 8 - Sale ²⁵
	25.000	197.74	09/01/20	197.50	...	0.24	3 of 8 - Sale ²⁵
	25.000	197.74	09/01/20	197.50	...	0.24	4 of 8 - Sale ²⁵
	150.000	1,186.45	09/01/20	1,185.00	...	1.45	5 of 8 - Sale ²⁵
	175.000	1,384.20	09/01/20	1,382.50	...	1.70	6 of 8 - Sale ²⁵
	175.000	1,384.20	09/01/20	1,382.50	...	1.70	7 of 8 - Sale ²⁵
	182.000	1,439.56	09/01/20	1,437.80	...	1.76	8 of 8 - Sale ²⁵
09/01/20	750.000	5,932.27	Various	5,925.00	...	7.27	Total of 8 transactions
	<i>2 transactions for 10/07/20. Total proceeds and cost reported to the IRS.</i>						
	1.000	9.00	10/02/20	8.65	...	0.35	1 of 2 - Sale ²⁵
	99.000	890.97	10/02/20	856.35	...	34.62	2 of 2 - Sale ²⁵
10/07/20	100.000	899.97	Various	865.00	...	34.97	Total of 2 transactions

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Robinhood Securities LLC

Account 140879586

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol: (cont'd)							
Security total:		6,832.24		6,790.00	...	42.24	
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
2 transactions for 04/07/20. Total proceeds and cost reported to the IRS.							
	1.000	172.00	04/03/20	152.47	...	19.53	1 of 2 - Sale ²⁵
	2.000	344.00	04/03/20	304.94	...	39.06	2 of 2 - Sale ²⁵
04/07/20	3.000	516.00	Various	457.41	...	58.59	Total of 2 transactions
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
07/02/20	390.000	2,727.07	06/26/20	2,557.31	...	169.76	Sale ²⁵
07/14/20	91.000	621.78	07/09/20	604.87	...	16.91	Sale ²⁵
Security total:		3,348.85		3,162.18	...	186.67	
HEXO CORP. / CUSIP: 428304109 / Symbol:							
2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.							
	38.000	28.12	06/19/20	28.85	...	-0.73	1 of 2 - Sale ²⁵
	200.000	147.99	06/26/20	136.74	...	11.25	2 of 2 - Sale ²⁵
07/09/20	238.000	176.11	Various	165.59	...	10.52	Total of 2 transactions
INOVIO PHARMACEUTICALS, INC. C OMMON STOCK / CUSIP: 45773H201 / Symbol:							
07/02/20	400.000	8,799.75	07/01/20	7,771.52	...	1,028.23	Sale ²⁵
08/11/20	1,000.000	14,859.55	08/06/20	20,269.90	...	-5,410.35	Sale ²⁵
Security total:		23,659.30		28,041.42	...	-4,382.12	
LYFT, INC. CLASS A COMMON STOC K / CUSIP: 55087P104 / Symbol:							
04/07/20	5.000	145.00	04/03/20	105.00	...	40.00	Sale ²⁵
2 transactions for 05/07/20. Total proceeds and cost reported to the IRS.							
	100.000	3,299.91	05/01/20	2,948.96	...	350.95	1 of 2 - Sale ²⁵
	40.000	1,319.96	05/06/20	1,052.47	...	267.49	2 of 2 - Sale ²⁵
05/07/20	140.000	4,619.87	Various	4,001.43	...	618.44	Total of 2 transactions
2 transactions for 05/26/20. Total proceeds and cost reported to the IRS.							
	100.000	3,282.41	05/13/20	2,850.00	...	432.41	1 of 2 - Sale ²⁵
	44.000	1,444.26	05/14/20	1,188.00	...	256.26	2 of 2 - Sale ²⁵
05/26/20	144.000	4,726.67	Various	4,038.00	...	688.67	Total of 2 transactions

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Robinhood Securities LLC

Account 140879586

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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LYFT, INC. CLASS A COMMON STOC K / CUSIP: 55087P104 / Symbol: (cont'd)							
2 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	0.500	16.53	07/02/20	15.91	...	0.62	1 of 2 - Sale ²⁵
	33.000	1,091.28	07/02/20	1,049.93	...	41.35	2 of 2 - Sale ²⁵
07/06/20	33.500	1,107.81	Various	1,065.84	...	41.97	Total of 2 transactions
9 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	10.000	312.59	07/13/20	293.42	...	19.17	1 of 9 - Sale ²⁵
	14.000	437.63	07/13/20	403.20	...	34.43	2 of 9 - Sale ²⁵
	33.500	1,047.85	07/13/20	964.96	...	82.89	3 of 9 - Sale ²⁵
	66.500	2,078.74	07/13/20	1,951.24	...	127.50	4 of 9 - Sale ²⁵
	90.000	2,815.13	07/13/20	2,677.15	...	137.98	5 of 9 - Sale ²⁵
	286.000	8,940.12	07/13/20	8,236.80	...	703.32	6 of 9 - Sale ²⁵
	0.500	15.82	07/14/20	13.90	...	1.92	7 of 9 - Sale ²⁵
	80.000	2,500.73	07/14/20	2,250.25	...	250.48	8 of 9 - Sale ²⁵
	349.500	10,925.09	07/14/20	9,716.10	...	1,208.99	9 of 9 - Sale ²⁵
07/23/20	930.000	29,073.70	Various	26,507.02	...	2,566.68	Total of 9 transactions
6 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	166.500	5,209.65	07/02/20	5,297.38	87.73 W	0.00	1 of 6 - Sale ²⁵
	33.500	1,048.19	07/02/20	1,062.23	14.04 W	0.00	2 of 6 - Sale ²⁵
	166.500	5,209.65	07/02/20	5,367.18	157.53 W	0.00	3 of 6 - Sale ²⁵
	33.500	1,048.19	07/07/20	1,048.35	0.16 W	0.00	4 of 6 - Sale ²⁵
	66.500	2,080.07	07/07/20	2,116.11	36.04 W	0.00	5 of 6 - Sale ²⁵
	10.000	312.79	07/07/20	318.21	5.42 W	0.00	6 of 6 - Sale ²⁵
07/23/20	476.500	14,908.54	Various	15,209.46	300.92 W	0.00	Total of 6 transactions
07/29/20	20.000	593.88	07/29/20	589.60	...	4.28	Sale ²⁵
4 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	50.000	1,550.46	08/07/20	1,483.38	...	67.08	1 of 4 - Sale ²⁵
	100.000	3,100.92	08/07/20	2,967.00	...	133.92	2 of 4 - Sale ²⁵
	180.000	5,581.65	08/07/20	5,352.97	...	228.68	3 of 4 - Sale ²⁵
	600.000	18,605.52	08/10/20	17,886.00	...	719.52	4 of 4 - Sale ²⁵
08/10/20	930.000	28,838.55	Various	27,689.35	...	1,149.20	Total of 4 transactions
4 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	129.000	3,831.20	08/13/20	3,760.35	...	70.85	1 of 4 - Sale ²⁵
	371.000	11,018.41	08/13/20	10,814.65	...	203.76	2 of 4 - Sale ²⁵

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Robinhood Securities LLC

Account 140879586

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
LYFT, INC. CLASS A COMMON STOC K / CUSIP: 55087P104 / Symbol: (cont'd)							
	300.000	8,909.77	08/13/20	8,676.00	...	233.77	3 of 4 - Sale ²⁵
	650.000	19,304.49	08/14/20	18,490.68	...	813.81	4 of 4 - Sale ²⁵
08/20/20	1,450.000	43,063.87	Various	41,741.68	...	1,322.19	Total of 4 transactions
08/25/20	500.000	14,314.67	08/24/20	14,439.95	...	-125.28	Sale ²⁵
	Security total:	141,392.56		135,387.33	300.92 W	6,306.15	
SUNWORKS, INC. COMMON STOCK / CUSIP: 86803X204 / Symbol:							
10/07/20	50.000	227.50	09/24/20	296.50	...	-69.00	Sale ²⁵
TORTOISE ACQUISITION CORP. CLA SS A COMMON STOCK / CUSIP: 89154L100 / Symbol:							
4 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	49.000	1,861.95	08/24/20	1,860.04	...	1.91	1 of 4 - Sale ²⁵
	451.000	17,137.57	08/24/20	17,128.93	...	8.64	2 of 4 - Sale ²⁵
	100.000	3,899.90	08/24/20	3,790.00	...	109.90	3 of 4 - Sale ²⁵
	400.000	15,599.60	08/24/20	15,160.00	...	439.60	4 of 4 - Sale ²⁵
08/24/20	1,000.000	38,499.02	Various	37,938.97	...	560.05	Total of 4 transactions
5 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	2.000	81.40	08/25/20	75.80	...	5.60	1 of 5 - Sale ²⁵
	27.000	1,098.87	08/25/20	1,023.30	...	75.57	2 of 5 - Sale ²⁵
	71.000	2,889.63	08/25/20	2,690.90	...	198.73	3 of 5 - Sale ²⁵
	102.000	4,151.30	08/25/20	3,865.80	...	285.50	4 of 5 - Sale ²⁵
	298.000	12,128.29	08/25/20	11,294.20	...	834.09	5 of 5 - Sale ²⁵
08/25/20	500.000	20,349.49	Various	18,950.00	...	1,399.49	Total of 5 transactions
7 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	90.000	3,501.14	08/25/20	3,531.60	...	-30.46	1 of 7 - Sale ²⁵
	50.000	1,945.08	08/25/20	1,962.00	...	-16.92	2 of 7 - Sale ²⁵
	10.000	389.01	08/25/20	392.40	...	-3.39	3 of 7 - Sale ²⁵
	30.000	1,167.04	08/27/20	1,131.00	...	36.04	4 of 7 - Sale ²⁵
	100.000	3,890.15	08/27/20	3,770.00	...	120.15	5 of 7 - Sale ²⁵
	100.000	3,890.15	08/27/20	3,770.00	...	120.15	6 of 7 - Sale ²⁵
	100.000	3,890.15	08/27/20	3,770.00	...	120.15	7 of 7 - Sale ²⁵
08/28/20	480.000	18,672.72	Various	18,327.00	...	345.72	Total of 7 transactions
08/28/20	500.000	19,450.76	08/25/20	19,915.00	464.24 W	0.00	Sale ²⁵

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Robinhood Securities LLC

Account 140879586

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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TORTOISE ACQUISITION CORP. CLA SS A COMMON STOCK / CUSIP: 89154L100 / Symbol: (cont'd)							
5 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	6.000	317.99	09/04/20	269.57	...	48.42	1 of 5 - Sale ²⁵
	14.000	741.98	09/04/20	629.00	...	112.98	2 of 5 - Sale ²⁵
	30.000	1,589.97	09/04/20	1,347.85	...	242.12	3 of 5 - Sale ²⁵
	56.000	2,967.92	09/04/20	2,515.99	...	451.93	4 of 5 - Sale ²⁵
	94.000	4,981.87	09/04/20	4,223.28	...	758.59	5 of 5 - Sale ²⁵
09/08/20	200.000	10,599.73	Various	8,985.69	...	1,614.04	Total of 5 transactions
3 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	100.000	5,199.87	09/10/20	5,092.85	...	107.02	1 of 3 - Sale ²⁵
	100.000	5,199.87	09/10/20	5,025.85	...	174.02	2 of 3 - Sale ²⁵
	100.000	5,199.87	09/14/20	4,382.85	...	817.02	3 of 3 - Sale ²⁵
09/29/20	300.000	15,599.61	Various	14,501.55	...	1,098.06	Total of 3 transactions
	Security total:	123,171.33		118,618.21	464.24 W	5,017.36	
T2 BIOSYSTEMS, INC. COMMON STO CK / CUSIP: 89853L104 / Symbol:							
25 transactions for 07/01/20. Total proceeds and cost reported to the IRS.							
	45.000	89.99	07/31/19	36.90	...	53.09	1 of 25 - Sale ²⁵
	285.000	569.95	07/31/19	192.56	...	377.39	2 of 25 - Sale ²⁵
	955.000	1,909.84	07/31/19	783.10	...	1,126.74	3 of 25 - Sale ²⁵
	1.000	2.00	02/26/20	0.64	...	1.36	4 of 25 - Sale ²⁵
	100.000	199.98	02/26/20	63.50	...	136.48	5 of 25 - Sale ²⁵
	100.000	199.98	02/26/20	63.50	...	136.48	6 of 25 - Sale ²⁵
	100.000	199.98	02/26/20	63.50	...	136.48	7 of 25 - Sale ²⁵
	199.000	397.97	02/26/20	126.37	...	271.60	8 of 25 - Sale ²⁵
	100.000	199.98	04/02/20	51.97	...	148.01	9 of 25 - Sale ²⁵
	15.000	30.00	04/03/20	7.17	...	22.83	10 of 25 - Sale ²⁵
	500.000	999.92	04/03/20	255.40	...	744.52	11 of 25 - Sale ²⁵
	31.000	62.00	05/06/20	15.97	...	46.03	12 of 25 - Sale ²⁵
	57.000	113.99	05/06/20	29.36	...	84.63	13 of 25 - Sale ²⁵
	100.000	199.98	05/06/20	51.50	...	148.48	14 of 25 - Sale ²⁵
	197.000	393.97	05/06/20	101.46	...	292.51	15 of 25 - Sale ²⁵
	795.000	1,589.88	05/06/20	409.43	...	1,180.45	16 of 25 - Sale ²⁵
	1,000.000	1,999.84	05/06/20	515.00	...	1,484.84	17 of 25 - Sale ²⁵
	1,820.000	3,639.71	05/06/20	937.30	...	2,702.41	18 of 25 - Sale ²⁵

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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T2 BIOSYSTEMS, INC. COMMON STO CK / CUSIP: 89853L104 / Symbol: (cont'd)							
	100.000	199.98	05/07/20	50.97	...	149.01	19 of 25 - Sale ²⁵
	100.000	199.98	05/07/20	50.97	...	149.01	20 of 25 - Sale ²⁵
	200.000	399.97	05/07/20	101.94	...	298.03	21 of 25 - Sale ²⁵
	300.000	599.95	05/07/20	152.91	...	447.04	22 of 25 - Sale ²⁵
	300.000	599.95	05/07/20	152.88	...	447.07	23 of 25 - Sale ²⁵
	1,000.000	1,999.85	05/07/20	509.60	...	1,490.25	24 of 25 - Sale ²⁵
	1,000.000	1,999.85	05/08/20	495.00	...	1,504.85	25 of 25 - Sale ²⁵
07/01/20	9,400.000	18,798.49	Various	5,218.90	...	13,579.59	Total of 25 transactions
07/16/20	745.000	1,415.39	07/06/20	1,005.68	...	409.71	Sale ²⁵
08/10/20	745.000	1,475.82	07/27/20	1,162.05	...	313.77	Sale ²⁵
	3 transactions for 08/28/20. Total proceeds and cost reported to the IRS.						
	2,100.000	3,212.88	08/14/20	3,192.00	...	20.88	1 of 3 - Sale ²⁵
	1,000.000	1,529.94	08/21/20	1,488.70	...	41.24	2 of 3 - Sale ²⁵
	1,000.000	1,529.95	08/25/20	1,488.70	...	41.25	3 of 3 - Sale ²⁵
08/28/20	4,100.000	6,272.77	Various	6,169.40	...	103.37	Total of 3 transactions
	2 transactions for 08/28/20. Total proceeds and cost reported to the IRS.						
	240.000	367.19	08/11/20	424.80	57.61 W	0.00	1 of 2 - Sale ²⁵
	1,000.000	1,529.94	08/12/20	1,598.70	68.76 W	0.00	2 of 2 - Sale ²⁵
08/28/20	1,240.000	1,897.13	Various	2,023.50	126.37 W	0.00	Total of 2 transactions
	Security total:	29,859.60		15,579.53	126.37 W	14,406.44	
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
	4 transactions for 09/02/20. Total proceeds and cost reported to the IRS.						
	100.000	3,669.90	09/01/20	3,500.00	...	169.90	1 of 4 - Sale ²⁵
	100.000	3,669.90	09/01/20	3,500.00	...	169.90	2 of 4 - Sale ²⁵
	100.000	3,669.90	09/01/20	3,500.00	...	169.90	3 of 4 - Sale ²⁵
	100.000	3,669.90	09/01/20	3,500.00	...	169.90	4 of 4 - Sale ²⁵
09/02/20	400.000	14,679.60	Various	14,000.00	...	679.60	Total of 4 transactions
09/14/20	300.000	10,934.71	09/08/20	10,980.00	45.29 W	0.00	Sale ²⁵
10/06/20	200.000	7,399.81	10/02/20	6,730.19	...	669.62	Sale ²⁵
10/09/20	100.000	3,767.20	10/09/20	3,663.91	...	103.29	Sale ²⁵
	Security total:	36,781.32		35,374.10	45.29 W	1,452.51	

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Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

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VIACOMCBS INC. CLASS B COMMON STOCK / CUSIP: 92556H206 / Symbol:							
2 transactions for 07/14/20. Total proceeds and cost reported to the IRS.							
	200.000	4,841.87	06/09/20	5,241.70	...	-399.83	1 of 2 - Sale ²⁵
	200.000	4,841.86	06/26/20	4,442.00	...	399.86	2 of 2 - Sale ²⁵
07/14/20	400.000	9,683.73	Various	9,683.70	...	0.03	Total of 2 transactions
WELLS FARGO & CO. / CUSIP: 949746101 / Symbol:							
08/24/20	500.000	12,015.82	08/20/20	11,883.75	...	132.07	Sale ²⁵
SPI ENERGY CO., LTD. ORDINARY SHARES / CUSIP: G8651P110 / Symbol:							
3 transactions for 09/29/20. Total proceeds and cost reported to the IRS.							
	0.391	3.65	09/24/20	7.35	3.70 W	0.00	1 of 3 - Sale ²⁵
	1.000	9.31	09/24/20	18.80	9.49 W	0.00	2 of 3 - Sale ²⁵
	0.004	0.04	09/24/20	0.08	0.04 W	0.00	3 of 3 - Sale ²⁵
09/29/20	1.395	13.00	Various	26.23	13.23 W	0.00	Total of 3 transactions
10/15/20	28.000	378.00	09/24/20	526.40	148.40 W	0.00	Sale ²⁵
6 transactions for 11/19/20. Total proceeds and cost reported to the IRS.							
	28.000	232.44	10/22/20	377.64	...	-145.20	1 of 6 - Sale ²⁵
	1.000	8.30	10/22/20	17.68	...	-9.38	2 of 6 - Sale ²⁵
	0.605	5.01	10/22/20	11.30	...	-6.29	3 of 6 - Sale ²⁵
	0.391	3.25	10/22/20	6.90	...	-3.65	4 of 6 - Sale ²⁵
	0.004	0.04	10/22/20	0.08	...	-0.04	5 of 6 - Sale ²⁵
	170.000	1,411.23	10/22/20	1,391.80	...	19.43	6 of 6 - Sale ²⁵
11/19/20	200.000	1,660.27	Various	1,805.40	...	-145.13	Total of 6 transactions
11/19/20	0.605	5.02	09/24/20	11.37	6.35 W	0.00	Sale ²⁵
	Security total:	2,056.29		2,369.40	167.98 W	-145.13	
Totals :		688,033.83		657,589.73	1,166.44 W	31,610.54	

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Robinhood Securities LLC

Account 140879586

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

LONG TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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SIERRA ONCOLOGY, INC. COMMON S TOCK / CUSIP: 82640U404 / Symbol:							
<i>9 transactions for 04/28/20. Total proceeds and cost reported to the IRS.</i>							
	24.500	281.50	07/27/18	2,646.00	...	-2,364.50	1 of 9 - Sale ²⁵
	22.500	258.52	08/07/18	2,276.10	...	-2,017.58	2 of 9 - Sale ²⁵
	2.500	28.72	08/07/18	251.50	...	-222.78	3 of 9 - Sale ²⁵
	25.000	287.25	08/08/18	2,348.40	...	-2,061.15	4 of 9 - Sale ²⁵
	25.000	287.24	08/09/18	2,287.30	...	-2,000.06	5 of 9 - Sale ²⁵
	25.000	287.25	08/09/18	2,139.70	...	-1,852.45	6 of 9 - Sale ²⁵
	25.000	287.25	08/10/18	2,039.90	...	-1,752.65	7 of 9 - Sale ²⁵
	25.000	287.24	08/13/18	1,948.70	...	-1,661.46	8 of 9 - Sale ²⁵
	12.500	143.63	08/15/18	954.95	...	-811.32	9 of 9 - Sale ²⁵
04/28/20	187.000	2,148.60	Various	16,892.55	...	-14,743.95	Total of 9 transactions
T2 BIOSYSTEMS, INC. COMMON STO CK / CUSIP: 89853L104 / Symbol:							
<i>6 transactions for 02/26/20. Total proceeds and cost reported to the IRS.</i>							
	250.000	156.87	11/20/18	1,034.93	878.06 W	0.00	1 of 6 - Sale ²⁵
	3.000	1.87	12/21/18	9.39	7.52 W	0.00	2 of 6 - Sale ²⁵
	200.000	124.41	12/21/18	626.00	501.59 W	0.00	3 of 6 - Sale ²⁵
	100.000	62.21	12/21/18	313.00	250.79 W	0.00	4 of 6 - Sale ²⁵
	847.000	526.88	12/27/18	2,600.29	2,073.41 W	0.00	5 of 6 - Sale ²⁵
	100.000	62.21	12/27/18	307.00	244.79 W	0.00	6 of 6 - Sale ²⁵
02/26/20	1,500.000	934.45	Various	4,890.61	3,956.16 W	0.00	Total of 6 transactions
<i>26 transactions for 07/01/20. Total proceeds and cost reported to the IRS.</i>							
	10.000	20.00	12/27/18	30.70	10.70 W	0.00	1 of 26 - Sale ²⁵
	90.000	179.99	03/08/19	238.46	58.47 W	0.00	2 of 26 - Sale ²⁵
	285.000	569.95	03/08/19	755.14	185.19 W	0.00	3 of 26 - Sale ²⁵
	270.000	539.95	04/17/19	715.50	175.55 W	0.00	4 of 26 - Sale ²⁵
	100.000	199.99	04/17/19	265.00	65.01 W	0.00	5 of 26 - Sale ²⁵
	100.000	199.98	02/26/20	414.72	214.74 W	0.00	6 of 26 - Sale ²⁵
	60.000	119.99	02/26/20	248.84	128.85 W	0.00	7 of 26 - Sale ²⁵
	40.000	80.00	02/26/20	165.89	85.89 W	0.00	8 of 26 - Sale ²⁵
	50.000	99.99	02/26/20	207.36	107.37 W	0.00	9 of 26 - Sale ²⁵
	3.000	6.00	02/26/20	9.43	3.43 W	0.00	10 of 26 - Sale ²⁵
	7.000	14.00	02/26/20	22.00	8.00 W	0.00	11 of 26 - Sale ²⁵
	100.000	199.98	02/26/20	308.30	108.32 W	0.00	12 of 26 - Sale ²⁵

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Account 140879586

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2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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T2 BIOSYSTEMS, INC. COMMON STO CK / CUSIP: 89853L104 / Symbol: (cont'd)							
	40.000	79.99	02/26/20	125.71	45.72 W	0.00	13 of 26 - Sale ²⁵
	100.000	199.98	02/26/20	314.30	114.32 W	0.00	14 of 26 - Sale ²⁵
	47.000	93.99	02/26/20	147.71	53.72 W	0.00	15 of 26 - Sale ²⁵
	53.000	105.99	02/26/20	166.58	60.59 W	0.00	16 of 26 - Sale ²⁵
	53.000	105.99	02/26/20	166.58	60.59 W	0.00	17 of 26 - Sale ²⁵
	47.000	93.99	02/26/20	144.89	50.90 W	0.00	18 of 26 - Sale ²⁵
	47.000	93.99	02/26/20	144.89	50.90 W	0.00	19 of 26 - Sale ²⁵
	53.000	105.99	02/26/20	163.40	57.41 W	0.00	20 of 26 - Sale ²⁵
	100.000	199.98	02/26/20	308.30	108.32 W	0.00	21 of 26 - Sale ²⁵
	100.000	199.98	02/26/20	308.29	108.31 W	0.00	22 of 26 - Sale ²⁵
	100.000	199.98	02/26/20	308.29	108.31 W	0.00	23 of 26 - Sale ²⁵
	100.000	199.98	02/26/20	308.30	108.32 W	0.00	24 of 26 - Sale ²⁵
	100.000	199.98	02/26/20	308.29	108.31 W	0.00	25 of 26 - Sale ²⁵
	200.000	399.97	02/26/20	616.59	216.62 W	0.00	26 of 26 - Sale ²⁵
07/01/20	2,255.000	4,509.60	Various	6,913.46	2,403.86 W	0.00	Total of 26 transactions
28 transactions for 07/16/20. Total proceeds and cost reported to the IRS.							
	40.000	75.99	07/02/20	142.69	66.70 W	0.00	1 of 28 - Sale ²⁵
	45.000	85.49	07/02/20	160.53	75.04 W	0.00	2 of 28 - Sale ²⁵
	60.000	113.99	07/02/20	214.05	100.06 W	0.00	3 of 28 - Sale ²⁵
	100.000	189.98	07/02/20	356.74	166.76 W	0.00	4 of 28 - Sale ²⁵
	10.000	19.00	07/02/20	24.90	5.90 W	0.00	5 of 28 - Sale ²⁵
	90.000	170.99	07/02/20	186.27	15.28 W	0.00	6 of 28 - Sale ²⁵
	285.000	541.45	07/02/20	589.89	48.44 W	0.00	7 of 28 - Sale ²⁵
	100.000	189.98	07/02/20	207.01	17.03 W	0.00	8 of 28 - Sale ²⁵
	270.000	512.96	07/02/20	558.95	45.99 W	0.00	9 of 28 - Sale ²⁵
	5.000	9.50	07/02/20	17.74	8.24 W	0.00	10 of 28 - Sale ²⁵
	3.000	5.70	07/02/20	7.63	1.93 W	0.00	11 of 28 - Sale ²⁵
	7.000	13.30	07/02/20	17.80	4.50 W	0.00	12 of 28 - Sale ²⁵
	40.000	75.99	07/02/20	101.71	25.72 W	0.00	13 of 28 - Sale ²⁵
	47.000	89.29	07/02/20	119.51	30.22 W	0.00	14 of 28 - Sale ²⁵
	53.000	100.69	07/02/20	134.77	34.08 W	0.00	15 of 28 - Sale ²⁵
	53.000	100.69	07/02/20	134.77	34.08 W	0.00	16 of 28 - Sale ²⁵
	100.000	189.98	07/02/20	254.29	64.31 W	0.00	17 of 28 - Sale ²⁵
	45.000	85.49	07/02/20	111.72	26.23 W	0.00	18 of 28 - Sale ²⁵

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Robinhood Securities LLC

Account 140879586

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B* OMB No. 1545-0715

(continued)

02/14/2021

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T2 BIOSYSTEMS, INC. COMMON STO CK / CUSIP: 89853L104 / Symbol: (cont'd)							
	47.000	89.29	07/02/20	116.69	27.40 W	0.00	19 of 28 - Sale ²⁵
	47.000	89.29	07/02/20	116.69	27.40 W	0.00	20 of 28 - Sale ²⁵
	53.000	100.69	07/02/20	131.59	30.90 W	0.00	21 of 28 - Sale ²⁵
	100.000	189.99	07/02/20	248.29	58.30 W	0.00	22 of 28 - Sale ²⁵
	100.000	189.98	07/02/20	248.28	58.30 W	0.00	23 of 28 - Sale ²⁵
	100.000	189.99	07/02/20	248.29	58.30 W	0.00	24 of 28 - Sale ²⁵
	100.000	189.98	07/02/20	248.28	58.30 W	0.00	25 of 28 - Sale ²⁵
	100.000	189.99	07/02/20	248.29	58.30 W	0.00	26 of 28 - Sale ²⁵
	55.000	104.49	07/06/20	133.81	29.32 W	0.00	27 of 28 - Sale ²⁵
	200.000	379.97	07/06/20	486.60	106.63 W	0.00	28 of 28 - Sale ²⁵
07/16/20	2,255.000	4,284.12	Various	5,567.78	1,283.66 W	0.00	Total of 28 transactions
	4 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	90.000	178.28	07/23/20	161.86	...	16.42	1 of 4 - Sale ²⁵
	100.000	198.09	07/23/20	179.90	...	18.19	2 of 4 - Sale ²⁵
	270.000	534.85	07/23/20	485.74	...	49.11	3 of 4 - Sale ²⁵
	285.000	564.57	07/23/20	512.62	...	51.95	4 of 4 - Sale ²⁵
08/10/20	745.000	1,475.79	Various	1,340.12	...	135.67	Total of 4 transactions
	29 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	40.000	79.24	07/23/20	131.85	52.61 W	0.00	1 of 29 - Sale ²⁵
	45.000	89.14	07/23/20	148.33	59.19 W	0.00	2 of 29 - Sale ²⁵
	60.000	118.86	07/23/20	197.78	78.92 W	0.00	3 of 29 - Sale ²⁵
	100.000	198.09	07/23/20	329.63	131.54 W	0.00	4 of 29 - Sale ²⁵
	10.000	19.81	07/23/20	22.19	2.38 W	0.00	5 of 29 - Sale ²⁵
	5.000	9.90	07/23/20	16.24	6.34 W	0.00	6 of 29 - Sale ²⁵
	3.000	5.94	07/23/20	6.73	0.79 W	0.00	7 of 29 - Sale ²⁵
	7.000	13.87	07/23/20	15.70	1.83 W	0.00	8 of 29 - Sale ²⁵
	15.000	29.71	07/23/20	33.65	3.94 W	0.00	9 of 29 - Sale ²⁵
	22.000	43.58	07/23/20	49.35	5.77 W	0.00	10 of 29 - Sale ²⁵
	25.000	49.52	07/23/20	56.07	6.55 W	0.00	11 of 29 - Sale ²⁵
	53.000	104.99	07/23/20	118.88	13.89 W	0.00	12 of 29 - Sale ²⁵
	100.000	198.09	07/23/20	224.31	26.22 W	0.00	13 of 29 - Sale ²⁵
	25.000	49.52	07/23/20	56.07	6.55 W	0.00	14 of 29 - Sale ²⁵
	53.000	104.99	07/23/20	118.88	13.89 W	0.00	15 of 29 - Sale ²⁵
	25.000	49.52	07/23/20	54.58	5.06 W	0.00	16 of 29 - Sale ²⁵

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(continued)

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T2 BIOSYSTEMS, INC. COMMON STO CK / CUSIP: 89853L104 / Symbol: (cont'd)							
	47.000	93.10	07/23/20	102.60	9.50 W	0.00	17 of 29 - Sale ²⁵
	25.000	49.52	07/23/20	54.58	5.06 W	0.00	18 of 29 - Sale ²⁵
	28.000	55.47	07/23/20	61.12	5.65 W	0.00	19 of 29 - Sale ²⁵
	47.000	93.10	07/23/20	102.60	9.50 W	0.00	20 of 29 - Sale ²⁵
	100.000	198.10	07/23/20	218.30	20.20 W	0.00	21 of 29 - Sale ²⁵
	100.000	198.09	07/23/20	218.30	20.21 W	0.00	22 of 29 - Sale ²⁵
	100.000	198.10	07/23/20	218.30	20.20 W	0.00	23 of 29 - Sale ²⁵
	100.000	198.09	07/23/20	218.30	20.21 W	0.00	24 of 29 - Sale ²⁵
	45.000	89.14	07/23/20	98.23	9.09 W	0.00	25 of 29 - Sale ²⁵
	75.000	148.57	07/23/20	163.72	15.15 W	0.00	26 of 29 - Sale ²⁵
	19.000	37.64	07/27/20	39.77	2.13 W	0.00	27 of 29 - Sale ²⁵
	55.000	108.95	07/27/20	115.12	6.17 W	0.00	28 of 29 - Sale ²⁵
	181.000	358.55	07/27/20	378.82	20.27 W	0.00	29 of 29 - Sale ²⁵
08/10/20	1,510.000	2,991.19	Various	3,570.00	578.81 W	0.00	Total of 29 transactions
30 transactions for 08/28/20. Total proceeds and cost reported to the IRS.							
	5.000	7.65	08/11/20	15.89	8.24 W	0.00	1 of 30 - Sale ²⁵
	40.000	61.20	08/11/20	129.01	67.81 W	0.00	2 of 30 - Sale ²⁵
	45.000	68.85	08/11/20	145.14	76.29 W	0.00	3 of 30 - Sale ²⁵
	60.000	91.80	08/11/20	193.52	101.72 W	0.00	4 of 30 - Sale ²⁵
	100.000	152.99	08/11/20	322.54	169.55 W	0.00	5 of 30 - Sale ²⁵
	3.000	4.59	08/11/20	6.52	1.93 W	0.00	6 of 30 - Sale ²⁵
	7.000	10.71	08/11/20	15.20	4.49 W	0.00	7 of 30 - Sale ²⁵
	15.000	22.95	08/11/20	32.59	9.64 W	0.00	8 of 30 - Sale ²⁵
	22.000	33.66	08/11/20	47.79	14.13 W	0.00	9 of 30 - Sale ²⁵
	25.000	38.25	08/11/20	54.30	16.05 W	0.00	10 of 30 - Sale ²⁵
	25.000	38.25	08/11/20	54.30	16.05 W	0.00	11 of 30 - Sale ²⁵
	53.000	81.09	08/11/20	115.12	34.03 W	0.00	12 of 30 - Sale ²⁵
	53.000	81.09	08/11/20	115.12	34.03 W	0.00	13 of 30 - Sale ²⁵
	100.000	152.99	08/11/20	217.22	64.23 W	0.00	14 of 30 - Sale ²⁵
	10.000	15.30	08/11/20	21.48	6.18 W	0.00	15 of 30 - Sale ²⁵
	25.000	38.25	08/11/20	52.81	14.56 W	0.00	16 of 30 - Sale ²⁵
	28.000	42.84	08/11/20	59.13	16.29 W	0.00	17 of 30 - Sale ²⁵
	47.000	71.91	08/11/20	99.27	27.36 W	0.00	18 of 30 - Sale ²⁵
	47.000	71.91	08/11/20	99.27	27.36 W	0.00	19 of 30 - Sale ²⁵

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(continued)

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T2 BIOSYSTEMS, INC. COMMON STO CK / CUSIP: 89853L104 / Symbol: (cont'd)							
	90.000	137.69	08/11/20	190.08	52.39 W	0.00	20 of 30 - Sale ²⁵
	100.000	152.99	08/11/20	211.20	58.21 W	0.00	21 of 30 - Sale ²⁵
	100.000	152.99	08/11/20	211.21	58.22 W	0.00	22 of 30 - Sale ²⁵
	10.000	15.30	08/11/20	19.72	4.42 W	0.00	23 of 30 - Sale ²⁵
	25.000	38.25	08/11/20	49.31	11.06 W	0.00	24 of 30 - Sale ²⁵
	45.000	68.85	08/11/20	88.74	19.89 W	0.00	25 of 30 - Sale ²⁵
	75.000	114.75	08/11/20	147.90	33.15 W	0.00	26 of 30 - Sale ²⁵
	100.000	152.99	08/11/20	197.21	44.22 W	0.00	27 of 30 - Sale ²⁵
	19.000	29.07	08/11/20	35.76	6.69 W	0.00	28 of 30 - Sale ²⁵
	55.000	84.15	08/11/20	103.52	19.37 W	0.00	29 of 30 - Sale ²⁵
	181.000	276.92	08/11/20	340.64	63.72 W	0.00	30 of 30 - Sale ²⁵
08/28/20	1,510.000	2,310.23	Various	3,391.51	1,081.28 W	0.00	Total of 30 transactions
	Security total:	16,505.38		25,673.48	9,303.77 W	135.67	
Totals :		18,653.98		42,566.03	9,303.77 W	-14,608.28	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 140879586

Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

LONG TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]

Report on Form 8949, Part II, with Box F checked.

Description of property

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
SIERRA ONCOLOGY, INC. COMMON S TOCK / CUSIP: 82640U404 / Symbol:							
01/28/20	0.500	6.51	07/27/18	54.00	...	-47.49	Gain or loss
Totals:		6.51		54.00	...	-47.49	

Robinhood Securities LLC

Account 140879586

Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
VIACOMCBS INC. CLASS B COMMON STOCK	92556H206		07/01/20	48.00	Qualified dividend	
				48.00	Total Dividends & distributions	

Robinhood Securities LLC

Account 140879586

Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
HYLIION HOLDINGS CORP.	449109107	10/01/20	255.00	0.00	Merger	
TORTOISE ACQUISITION CORP. CLA SS A COMMON STOCK	89154L100	10/01/20	-255.00	0.00	Merger	

25 Tax lot closed on a first in first out basis.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup Withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nominees. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.**1099-DIV Instructions for Recipient**

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1a. Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

Line 1b. Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

Line 2a. Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

Line 2b. Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 2c. Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

Line 2d. Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 3. Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

Line 4. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

Instructions for Recipient

Line 6. Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

Line 7. Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

Line 8. This line should be left blank if a RIC reported the foreign tax shown in line 7.

Lines 9 and 10. Show cash and noncash liquidation distributions.

Line 11. Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

Line 12. Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

Lines 13-15. State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099DIV.

1099-B Instructions for Recipient

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

Line 2. The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.