

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 484289756</b>	Statement Date: 02/14/2021 Document ID: 6513 586 CX01	2020
	Krishna chaitanya Manajigari 2250 Holly Hall St 149 Houston, TX 77054  RECIPIENT'S TIN: XXX-XX-9824		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2020 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5)      6.36</p> <p>1b- Qualified dividends      6.36</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession: See detail      0.55</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p style="text-align: center;"><b>MISCELLANEOUS INCOME</b>      <b>2020 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p style="text-align: center;"><b>SECTION 1256 CONTRACTS</b>      <b>2020 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	114,517.17	118,497.83	0.00	3,624.15	-356.51
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
<b>Total Short-term</b>		<b>114,517.17</b>	<b>118,497.83</b>	<b>0.00</b>	<b>3,624.15</b>	<b>-356.51</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
<b>Total Long-term</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
<b>Total Undetermined-term</b>		<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
<b>Grand total</b>		<b>114,517.17</b>	<b>118,497.83</b>	<b>0.00</b>	<b>3,624.15</b>	<b>-356.51</b>
<b>Withholding</b>		<b>Amount</b>				
Federal income tax withheld		0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

Account 484289756

**Summary Information**

2020

(continued)

02/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	5.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Robinhood Securities LLC

Account 484289756

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ADT INC. / CUSIP: 00090Q103 / Symbol:							
08/06/20	6.000	69.90	08/03/20	90.00	...	-20.10	Sale <sup>25</sup>
AMC ENTERTAINMENT HOLDINGS, IN C. / CUSIP: 00165C104 / Symbol:							
08/31/20	1.000	6.02	08/13/20	5.18	...	0.84	Sale <sup>25</sup>
ARK INNOVATION ETF / CUSIP: 00214Q104 / Symbol:							
2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	0.626	58.83	09/02/20	60.39	1.56 W	0.00	1 of 2 - Sale <sup>25</sup>
	3.000	282.09	09/02/20	289.61	7.52 W	0.00	2 of 2 - Sale <sup>25</sup>
09/03/20	3.626	340.92	Various	350.00	9.08 W	0.00	Total of 2 transactions
3 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	6.374	551.06	09/10/20	569.30	11.93 W	-6.31	1 of 3 - Sale <sup>25</sup>
	0.626	54.08	09/10/20	57.43	3.35 W	0.00	2 of 3 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARK INNOVATION ETF / CUSIP: 00214Q104 / Symbol: (cont'd)							
09/11/20	3.000	259.35	09/10/20	275.45	16.10 W	0.00	3 of 3 - Sale <sup>25</sup>
	10.000	864.49	Various	902.18	31.38 W	-6.31	Total of 3 transactions
	4 transactions for 09/22/20. Total proceeds and cost reported to the IRS.						
	1.566	139.98	09/17/20	149.08	...	-9.10	1 of 4 - Sale <sup>25</sup>
	0.434	38.75	09/17/20	41.27	...	-2.52	2 of 4 - Sale <sup>25</sup>
	0.192	17.17	09/17/20	18.27	...	-1.10	3 of 4 - Sale <sup>25</sup>
	0.001	0.10	09/17/20	0.11	...	-0.01	4 of 4 - Sale <sup>25</sup>
09/22/20	2.193	196.00	Various	208.73	...	-12.73	Total of 4 transactions
	3 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	4.168	378.63	09/17/20	386.28	...	-7.65	1 of 3 - Sale <sup>25</sup>
	1.432	130.13	09/17/20	136.34	...	-6.21	2 of 3 - Sale <sup>25</sup>
	0.000	0.03	09/17/20	0.03	...	0.00	3 of 3 - Sale <sup>25</sup>
09/23/20	5.601	508.79	Various	522.65	...	-13.86	Total of 3 transactions
	<b>Security total:</b>	<b>1,910.20</b>		<b>1,983.56</b>	<b>40.46 W</b>	<b>-32.90</b>	
ARK GENOMIC REVOLUTION ETF / CUSIP: 00214Q302 / Symbol:							
09/11/20	13.665	789.94	09/10/20	800.00	10.06 W	0.00	Sale <sup>25</sup>
09/17/20	10.000	608.48	09/14/20	606.66	...	1.82	Sale <sup>25</sup>
	6 transactions for 09/22/20. Total proceeds and cost reported to the IRS.						
	2.666	163.33	09/17/20	166.60	...	-3.27	1 of 6 - Sale <sup>25</sup>
	1.620	98.42	09/17/20	100.04	...	-1.62	2 of 6 - Sale <sup>25</sup>
	0.380	23.09	09/17/20	23.75	...	-0.66	3 of 6 - Sale <sup>25</sup>
	0.285	17.30	09/17/20	17.79	...	-0.49	4 of 6 - Sale <sup>25</sup>
	0.184	11.19	09/17/20	11.60	...	-0.41	5 of 6 - Sale <sup>25</sup>
	0.150	9.19	09/17/20	9.45	...	-0.26	6 of 6 - Sale <sup>25</sup>
09/22/20	5.285	322.52	Various	329.23	...	-6.71	Total of 6 transactions
	2 transactions for 09/22/20. Total proceeds and cost reported to the IRS.						
	0.150	9.19	09/17/20	9.38	0.19 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.184	11.29	09/17/20	11.51	0.22 W	0.00	2 of 2 - Sale <sup>25</sup>
09/22/20	0.334	20.48	Various	20.89	0.41 W	0.00	Total of 2 transactions
09/23/20	5.715	354.67	09/17/20	352.99	...	1.68	Sale <sup>25</sup>
	<b>Security total:</b>	<b>2,096.09</b>		<b>2,109.77</b>	<b>10.47 W</b>	<b>-3.21</b>	

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Account 484289756

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARK NEXT GENERATION INTERNET E TF / CUSIP: 00214Q401 / Symbol:							
3 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	0.000	0.03	09/22/20	0.03	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.368	39.13	09/22/20	38.80	...	0.33	2 of 3 - Sale <sup>25</sup>
	2.000	212.85	09/22/20	211.17	...	1.68	3 of 3 - Sale <sup>25</sup>
09/23/20	2.368	252.01	Various	250.00	...	2.01	Total of 3 transactions
ARK FINTECH INNOVATION ETF / CUSIP: 00214Q708 / Symbol:							
3 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	0.088	3.43	09/02/20	3.62	0.19 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.088	3.43	09/02/20	3.81	0.38 W	0.00	2 of 3 - Sale <sup>25</sup>
	11.912	466.91	09/02/20	492.76	25.85 W	0.00	3 of 3 - Sale <sup>25</sup>
09/03/20	12.088	473.77	Various	500.19	26.42 W	0.00	Total of 3 transactions
3 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	0.088	3.29	09/10/20	3.72	0.43 W	0.00	1 of 3 - Sale <sup>25</sup>
	11.912	448.32	09/10/20	480.90	32.58 W	0.00	2 of 3 - Sale <sup>25</sup>
	8.943	336.56	09/10/20	341.61	5.05 W	0.00	3 of 3 - Sale <sup>25</sup>
09/11/20	20.943	788.17	Various	826.23	38.06 W	0.00	Total of 3 transactions
3 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	0.088	3.26	09/14/20	3.76	0.50 W	0.00	1 of 3 - Sale <sup>25</sup>
	11.912	443.72	09/14/20	486.21	42.49 W	0.00	2 of 3 - Sale <sup>25</sup>
	3.000	111.75	09/14/20	115.93	4.18 W	0.00	3 of 3 - Sale <sup>25</sup>
09/17/20	15.000	558.73	Various	605.90	47.17 W	0.00	Total of 3 transactions
5 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	3.000	111.54	09/17/20	124.00	...	-12.46	1 of 5 - Sale <sup>25</sup>
	0.974	36.21	09/17/20	40.25	...	-4.04	2 of 5 - Sale <sup>25</sup>
	0.429	15.97	09/17/20	17.75	...	-1.78	3 of 5 - Sale <sup>25</sup>
	0.088	3.26	09/17/20	3.81	...	-0.55	4 of 5 - Sale <sup>25</sup>
	0.002	0.06	09/17/20	0.07	...	-0.01	5 of 5 - Sale <sup>25</sup>
09/21/20	4.492	167.04	Various	185.88	...	-18.84	Total of 5 transactions
09/21/20	0.483	17.96	09/17/20	18.51	0.55 W	0.00	Sale <sup>25</sup>
6 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	7.005	270.38	09/17/20	289.54	...	-19.16	1 of 6 - Sale <sup>25</sup>
	3.000	115.80	09/17/20	117.48	...	-1.68	2 of 6 - Sale <sup>25</sup>

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Account 484289756

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ARK FINTECH INNOVATION ETF / CUSIP: 00214Q708 / Symbol: (cont'd)							
	0.503	19.42	09/17/20	20.78	...	-1.36	3 of 6 - Sale <sup>25</sup>
	0.483	18.65	09/17/20	18.79	...	-0.14	4 of 6 - Sale <sup>25</sup>
	5.460	210.74	09/17/20	209.29	...	1.45	5 of 6 - Sale <sup>25</sup>
	5.052	195.01	09/17/20	190.81	...	4.20	6 of 6 - Sale <sup>25</sup>
09/23/20	21.503	830.00	Various	846.69	...	-16.69	Total of 6 transactions
	<b>Security total:</b>	<b>2,835.67</b>		<b>2,983.40</b>	<b>112.20 W</b>	<b>-35.53</b>	
ACORN INTERNATIONAL, INC / CUSIP: 004854204 / Symbol:							
08/07/20	2.000	30.60	07/22/20	36.50	...	-5.90	Sale <sup>25</sup>
ADOBE INC. COMMON STOCK / CUSIP: 00724F101 / Symbol:							
09/11/20	1.000	482.43	09/10/20	495.89	13.46 W	0.00	Sale <sup>25</sup>
09/17/20	1.000	463.51	09/14/20	496.66	33.15 W	0.00	Sale <sup>25</sup>
	3 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	0.476	230.22	09/21/20	236.93	...	-6.71	1 of 3 - Sale <sup>25</sup>
	0.488	235.90	09/22/20	248.87	...	-12.97	2 of 3 - Sale <sup>25</sup>
	0.036	17.54	09/22/20	17.81	...	-0.27	3 of 3 - Sale <sup>25</sup>
09/23/20	1.000	483.66	Various	503.61	...	-19.95	Total of 3 transactions
09/23/20	0.036	17.54	09/21/20	18.05	0.51 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,447.14</b>		<b>1,514.21</b>	<b>47.12 W</b>	<b>-19.95</b>	
ADVANCED MICRO DEVICES, INC. COMMON STOCK / CUSIP: 007903107 / Symbol:							
08/07/20	1.000	83.39	08/04/20	81.21	...	2.18	Sale <sup>25</sup>
AKEBIA THERAPEUTICS, INC. COMMON STOCK / CUSIP: 00972D105 / Symbol:							
	3 transactions for 09/22/20. Total proceeds and cost reported to the IRS.						
	44.497	124.60	09/21/20	126.37	...	-1.77	1 of 3 - Sale <sup>25</sup>
	0.425	1.19	09/21/20	1.22	...	-0.03	2 of 3 - Sale <sup>25</sup>
	0.077	0.22	09/21/20	0.22	...	0.00	3 of 3 - Sale <sup>25</sup>
09/22/20	45.000	126.01	Various	127.81	...	-1.80	Total of 3 transactions
09/22/20	0.425	1.19	09/21/20	1.20	0.01 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>127.20</b>		<b>129.01</b>	<b>0.01 W</b>	<b>-1.80</b>	

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Robinhood Securities LLC

Account 484289756

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
AMAZON.COM, INC. COMMON STOCK / CUSIP: 023135106 / Symbol:							
09/03/20	0.162	537.13	07/27/20	500.00	...	37.13	Sale <sup>25</sup>
09/11/20	0.000	0.02	09/10/20	0.02	...	0.00	Sale <sup>25</sup>
	4 transactions for 09/11/20. Total proceeds and cost reported to the IRS.						
	0.046	146.74	09/10/20	153.51	6.77 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.254	809.19	09/10/20	846.47	37.28 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.062	199.00	09/10/20	200.00	1.00 W	0.00	3 of 4 - Sale <sup>25</sup>
	0.351	1,120.47	09/10/20	1,125.00	4.53 W	0.00	4 of 4 - Sale <sup>25</sup>
09/11/20	0.713	2,275.40	Various	2,324.98	49.58 W	0.00	Total of 4 transactions
	2 transactions for 09/17/20. Total proceeds and cost reported to the IRS.						
	0.208	625.63	09/15/20	685.95	5.46 W	-54.86	1 of 2 - Sale <sup>25</sup>
	0.046	138.11	09/15/20	151.44	13.33 W	0.00	2 of 2 - Sale <sup>25</sup>
09/17/20	0.254	763.74	Various	837.39	18.79 W	-54.86	Total of 2 transactions
	4 transactions for 09/18/20. Total proceeds and cost reported to the IRS.						
	0.158	466.22	09/17/20	477.67	...	-11.45	1 of 4 - Sale <sup>25</sup>
	0.033	100.00	09/17/20	105.20	...	-5.20	2 of 4 - Sale <sup>25</sup>
	0.062	184.21	09/17/20	188.93	...	-4.72	3 of 4 - Sale <sup>25</sup>
	0.012	35.42	09/17/20	37.90	...	-2.48	4 of 4 - Sale <sup>25</sup>
09/18/20	0.265	785.85	Various	809.70	...	-23.85	Total of 4 transactions
	3 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	0.046	141.61	09/21/20	146.94	...	-5.33	1 of 3 - Sale <sup>25</sup>
	0.019	58.08	09/21/20	60.26	...	-2.18	2 of 3 - Sale <sup>25</sup>
	0.193	595.22	09/21/20	564.08	...	31.14	3 of 3 - Sale <sup>25</sup>
09/23/20	0.258	794.91	Various	771.28	...	23.63	Total of 3 transactions
	<b>Security total:</b>	<b>5,157.05</b>		<b>5,243.37</b>	<b>68.37 W</b>	<b>-17.95</b>	
AMGEN INC. COMMON STOCK / CUSIP: 031162100 / Symbol:							
07/30/20	1.000	246.07	07/20/20	260.08	...	-14.01	Sale <sup>25</sup>
ANAPLAN, INC. / CUSIP: 03272L108 / Symbol:							
09/03/20	1.000	58.02	08/27/20	59.73	...	-1.71	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 484289756

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	1.000	444.69	07/16/20	386.24	...	58.45	1 of 2 - Sale <sup>25</sup>
	1.000	444.68	07/24/20	368.29	...	76.39	2 of 2 - Sale <sup>25</sup>
08/07/20	2.000	889.37	Various	754.53	...	134.84	Total of 2 transactions
08/14/20	2.000	909.61	08/10/20	902.80	...	6.81	Sale <sup>25</sup>
2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	0.014	1.74	08/14/20	1.64	...	0.10	1 of 2 - Sale <sup>25</sup>
	8.000	973.89	08/14/20	918.25	...	55.64	2 of 2 - Sale <sup>25</sup>
09/03/20	8.014	975.63	Various	919.89	...	55.74	Total of 2 transactions
2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	3.944	480.12	08/31/20	500.00	19.88 W	0.00	1 of 2 - Sale <sup>25</sup>
	3.000	365.20	09/02/20	382.75	17.55 W	0.00	2 of 2 - Sale <sup>25</sup>
09/03/20	6.944	845.32	Various	882.75	37.43 W	0.00	Total of 2 transactions
3 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	3.944	450.34	09/10/20	486.33	35.99 W	0.00	1 of 3 - Sale <sup>25</sup>
	3.000	342.57	09/10/20	372.36	29.79 W	0.00	2 of 3 - Sale <sup>25</sup>
	3.056	348.97	09/10/20	361.44	12.47 W	0.00	3 of 3 - Sale <sup>25</sup>
09/11/20	10.000	1,141.88	Various	1,220.13	78.25 W	0.00	Total of 3 transactions
4 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	3.944	431.06	09/14/20	490.92	59.86 W	0.00	1 of 4 - Sale <sup>25</sup>
	3.000	327.89	09/14/20	375.84	47.95 W	0.00	2 of 4 - Sale <sup>25</sup>
	3.056	334.02	09/14/20	364.99	30.97 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	109.30	09/16/20	112.27	2.97 W	0.00	4 of 4 - Sale <sup>25</sup>
09/17/20	11.000	1,202.27	Various	1,344.02	141.75 W	0.00	Total of 4 transactions
09/18/20	0.000	0.02	09/17/20	0.02	...	0.00	Sale <sup>25</sup>
2 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	3.944	432.47	09/17/20	498.46	65.99 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.616	67.51	09/17/20	78.31	10.80 W	0.00	2 of 2 - Sale <sup>25</sup>
09/18/20	4.560	499.98	Various	576.77	76.79 W	0.00	Total of 2 transactions
9 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	1.839	198.19	09/17/20	223.10	...	-24.91	1 of 9 - Sale <sup>25</sup>
	1.000	107.79	09/17/20	127.77	...	-19.98	2 of 9 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 484289756

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
	1.217	135.12	09/17/20	147.64	...	-12.52	3 of 9 - Sale <sup>25</sup>
	1.000	107.79	09/17/20	114.01	...	-6.22	4 of 9 - Sale <sup>25</sup>
	0.001	0.09	09/17/20	0.10	...	-0.01	5 of 9 - Sale <sup>25</sup>
	2.000	215.59	09/21/20	246.95	...	-31.36	6 of 9 - Sale <sup>25</sup>
	2.042	220.07	09/23/20	259.71	...	-39.64	7 of 9 - Sale <sup>25</sup>
	0.944	101.74	09/23/20	120.63	...	-18.89	8 of 9 - Sale <sup>25</sup>
	0.616	66.37	09/23/20	79.18	...	-12.81	9 of 9 - Sale <sup>25</sup>
09/23/20	10.657	1,152.75	Various	1,319.09	...	-166.34	Total of 9 transactions
09/23/20	2.384	264.79	09/17/20	303.25	32.93 W	-5.53	Sale <sup>25</sup>
	<b>Security total:</b>	<b>7,881.62</b>		<b>8,223.25</b>	<b>367.15 W</b>	<b>25.52</b>	
BANK OF AMERICA CORPORATION / CUSIP: 060505104 / Symbol:							
08/20/20	3.798	95.45	08/13/20	100.00	...	-4.55	Sale <sup>25</sup>
BEST BUY COMPANY, INC. / CUSIP: 086516101 / Symbol:							
	2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	2.000	220.10	07/27/20	193.74	...	26.36	1 of 2 - Sale <sup>25</sup>
	1.000	110.05	07/30/20	98.22	...	11.83	2 of 2 - Sale <sup>25</sup>
08/25/20	3.000	330.15	Various	291.96	...	38.19	Total of 2 transactions
08/25/20	1.000	110.05	08/18/20	112.50	2.45 W	0.00	Sale <sup>25</sup>
	5 transactions for 09/04/20. Total proceeds and cost reported to the IRS.						
	0.779	82.76	08/25/20	88.61	...	-5.85	1 of 5 - Sale <sup>25</sup>
	1.000	106.18	08/25/20	111.24	...	-5.06	2 of 5 - Sale <sup>25</sup>
	0.220	23.36	08/25/20	26.11	...	-2.75	3 of 5 - Sale <sup>25</sup>
	0.001	0.06	08/25/20	0.06	...	0.00	4 of 5 - Sale <sup>25</sup>
	1.000	106.18	09/03/20	109.90	...	-3.72	5 of 5 - Sale <sup>25</sup>
09/04/20	3.000	318.54	Various	335.92	...	-17.38	Total of 5 transactions
09/04/20	0.220	23.38	08/25/20	25.01	1.63 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>782.12</b>		<b>765.39</b>	<b>4.08 W</b>	<b>20.81</b>	
BIGCOMMERCE HOLDINGS, INC. SER IES 1 COMMON STOCK / CUSIP: 08975P108 / Symbol:							
08/31/20	1.000	111.44	08/26/20	129.78	18.34 W	0.00	Sale <sup>25</sup>
	4 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	3.000	226.43	09/18/20	224.01	...	2.42	1 of 4 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 484289756

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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BIGCOMMERCE HOLDINGS, INC. SER IES 1 COMMON STOCK / CUSIP: 08975P108 / Symbol: (cont'd)							
	9.000	709.55	09/23/20	738.50	...	-28.95	2 of 4 - Sale <sup>25</sup>
	0.982	77.44	09/23/20	97.83	...	-20.39	3 of 4 - Sale <sup>25</sup>
	0.018	1.39	09/23/20	1.74	...	-0.35	4 of 4 - Sale <sup>25</sup>
09/23/20	13.000	1,014.81	Various	1,062.08	...	-47.27	Total of 4 transactions
	3 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	0.018	1.34	09/18/20	1.64	0.30 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.018	1.33	09/18/20	1.62	0.29 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.982	74.14	09/18/20	91.37	17.23 W	0.00	3 of 3 - Sale <sup>25</sup>
09/23/20	1.018	76.81	Various	94.63	17.82 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>1,203.06</b>		<b>1,286.49</b>	<b>36.16 W</b>	<b>-47.27</b>	
BIONTECH SE AMERICAN DEPOSITARY SHARE / CUSIP: 09075V102 / Symbol:							
07/30/20	1.000	82.30	07/24/20	84.19	...	-1.89	Sale <sup>25</sup>
07/30/20	3.000	246.90	07/22/20	291.21	29.54 W	-14.77	Sale <sup>25</sup>
07/31/20	1.000	82.60	07/24/20	98.96	...	-16.36	Sale <sup>25</sup>
08/24/20	1.000	71.83	08/21/20	88.27	...	-16.44	Sale <sup>25</sup>
	<b>Security total:</b>	<b>483.63</b>		<b>562.63</b>	<b>29.54 W</b>	<b>-49.46</b>	
BLINK CHARGING CO. COMMON STOCK / CUSIP: 09354A100 / Symbol:							
	2 transactions for 08/26/20. Total proceeds and cost reported to the IRS.						
	5.000	37.40	08/25/20	39.60	2.20 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.000	14.96	08/25/20	15.84	0.88 W	0.00	2 of 2 - Sale <sup>25</sup>
08/26/20	7.000	52.36	Various	55.44	3.08 W	0.00	Total of 2 transactions
08/27/20	5.000	36.50	08/25/20	39.60	3.10 W	0.00	Sale <sup>25</sup>
	4 transactions for 09/15/20. Total proceeds and cost reported to the IRS.						
	5.000	32.75	09/14/20	36.60	...	-3.85	1 of 4 - Sale <sup>25</sup>
	5.000	32.75	09/14/20	35.70	...	-2.95	2 of 4 - Sale <sup>25</sup>
	18.000	117.90	09/14/20	120.60	...	-2.70	3 of 4 - Sale <sup>25</sup>
	2.000	13.10	09/14/20	14.28	...	-1.18	4 of 4 - Sale <sup>25</sup>
09/15/20	30.000	196.50	Various	207.18	...	-10.68	Total of 4 transactions
	<b>Security total:</b>	<b>285.36</b>		<b>302.22</b>	<b>6.18 W</b>	<b>-10.68</b>	

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Account 484289756

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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BLOOM ENERGY CORPORATION / CUSIP: 093712107 / Symbol:							
5 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	0.126	1.80	09/09/20	1.77	...	0.03	1 of 5 - Sale <sup>25</sup>
	19.874	282.02	09/09/20	278.83	...	3.19	2 of 5 - Sale <sup>25</sup>
	20.000	283.81	09/09/20	280.60	...	3.21	3 of 5 - Sale <sup>25</sup>
	0.138	1.96	09/10/20	1.91	...	0.05	4 of 5 - Sale <sup>25</sup>
	22.988	326.21	09/10/20	318.09	...	8.12	5 of 5 - Sale <sup>25</sup>
09/16/20	63.126	895.80	Various	881.20	...	14.60	Total of 5 transactions
09/16/20	40.000	567.62	09/09/20	581.52	13.90 W	0.00	Sale <sup>25</sup>
3 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	0.223	3.22	09/16/20	3.43	0.21 W	0.00	1 of 3 - Sale <sup>25</sup>
	25.000	360.25	09/16/20	384.94	24.69 W	0.00	2 of 3 - Sale <sup>25</sup>
	8.000	115.28	09/16/20	123.18	7.90 W	0.00	3 of 3 - Sale <sup>25</sup>
09/17/20	33.223	478.75	Various	511.55	32.80 W	0.00	Total of 3 transactions
7 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	0.045	0.74	09/17/20	0.66	...	0.08	1 of 7 - Sale <sup>25</sup>
	0.223	3.75	09/17/20	3.54	...	0.21	2 of 7 - Sale <sup>25</sup>
	0.107	1.80	09/17/20	1.55	...	0.25	3 of 7 - Sale <sup>25</sup>
	1.402	23.63	09/17/20	22.36	...	1.27	4 of 7 - Sale <sup>25</sup>
	6.598	111.26	09/17/20	102.44	...	8.82	5 of 7 - Sale <sup>25</sup>
	6.777	114.27	09/17/20	103.80	...	10.47	6 of 7 - Sale <sup>25</sup>
	25.000	421.51	09/17/20	398.93	...	22.58	7 of 7 - Sale <sup>25</sup>
09/21/20	40.152	676.96	Various	633.28	...	43.68	Total of 7 transactions
2 transactions for 09/22/20. Total proceeds and cost reported to the IRS.							
	7.008	122.93	09/17/20	101.87	...	21.06	1 of 2 - Sale <sup>25</sup>
	28.776	504.79	09/18/20	480.00	...	24.79	2 of 2 - Sale <sup>25</sup>
09/22/20	35.784	627.72	Various	581.87	...	45.85	Total of 2 transactions
	<b>Security total:</b>	<b>3,246.85</b>		<b>3,189.42</b>	<b>46.70 W</b>	<b>104.13</b>	
BOEING COMPANY / CUSIP: 097023105 / Symbol:							
09/08/20	1.000	166.40	09/04/20	169.00	...	-2.60	Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
THE BUCKLE, INC. / CUSIP: 118440106 / Symbol:							
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	2.000	37.31	08/27/20	38.92	...	-1.61	1 of 2 - Sale <sup>25</sup>
	0.569	10.66	08/27/20	11.08	...	-0.42	2 of 2 - Sale <sup>25</sup>
08/31/20	2.569	47.97	Various	50.00	...	-2.03	Total of 2 transactions
CARVANA CO. / CUSIP: 146869102 / Symbol:							
09/11/20	1.000	179.91	09/10/20	180.72	0.81 W	0.00	Sale <sup>25</sup>
3 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	0.576	97.82	09/16/20	101.57	...	-3.75	1 of 3 - Sale <sup>25</sup>
	0.424	72.17	09/16/20	74.79	...	-2.62	2 of 3 - Sale <sup>25</sup>
	0.424	72.04	09/16/20	74.45	...	-2.41	3 of 3 - Sale <sup>25</sup>
09/17/20	1.424	242.03	Various	250.81	...	-8.78	Total of 3 transactions
	<b>Security total:</b>	<b>421.94</b>		<b>431.53</b>	<b>0.81 W</b>	<b>-8.78</b>	
CHEGG, INC. / CUSIP: 163092109 / Symbol:							
08/12/20	1.000	77.15	08/03/20	84.32	6.85 W	-0.32	Sale <sup>25</sup>
08/31/20	0.956	69.46	08/25/20	77.94	8.48 W	0.00	Sale <sup>25</sup>
7 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	0.686	48.24	09/21/20	51.68	...	-3.44	1 of 7 - Sale <sup>25</sup>
	0.270	19.01	09/21/20	19.31	...	-0.30	2 of 7 - Sale <sup>25</sup>
	0.044	3.11	09/21/20	2.94	...	0.17	3 of 7 - Sale <sup>25</sup>
	3.730	262.41	09/21/20	248.04	...	14.37	4 of 7 - Sale <sup>25</sup>
	0.271	19.09	09/22/20	17.92	...	1.17	5 of 7 - Sale <sup>25</sup>
	1.000	70.35	09/22/20	65.96	...	4.39	6 of 7 - Sale <sup>25</sup>
	1.999	140.62	09/22/20	132.08	...	8.54	7 of 7 - Sale <sup>25</sup>
09/23/20	8.000	562.83	Various	537.93	...	24.90	Total of 7 transactions
09/23/20	0.270	19.01	09/21/20	20.36	1.35 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>728.45</b>		<b>720.55</b>	<b>16.68 W</b>	<b>24.58</b>	
CINEMARK HOLDINGS, INC. / CUSIP: 17243V102 / Symbol:							
2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	0.404	4.84	08/10/20	4.50	...	0.34	1 of 2 - Sale <sup>25</sup>
	3.596	43.04	08/10/20	40.02	...	3.02	2 of 2 - Sale <sup>25</sup>
08/18/20	4.000	47.88	Various	44.52	...	3.36	Total of 2 transactions

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Robinhood Securities LLC

Account 484289756

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column]** (Lines 2 & 5)

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CINEMARK HOLDINGS, INC. / CUSIP: 17243V102 / Symbol: (cont'd)							
2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	0.403	6.22	08/10/20	4.48	...	1.74	1 of 2 - Sale <sup>25</sup>
	3.000	46.35	08/19/20	33.15	...	13.20	2 of 2 - Sale <sup>25</sup>
09/04/20	3.403	52.57	Various	37.63	...	14.94	Total of 2 transactions
	<b>Security total:</b>	<b>100.45</b>		<b>82.15</b>	...	<b>18.30</b>	
CROWDSTRIKE HOLDINGS, INC. CLA SS A COMMON STOCK / CUSIP: 22788C105 / Symbol:							
09/23/20	10.000	1,389.05	09/23/20	1,427.18	...	-38.13	Sale <sup>25</sup>
CRYOPORT, INC. COMMON STOCK / CUSIP: 229050307 / Symbol:							
09/03/20	1.000	54.19	08/27/20	52.33	...	1.86	Sale <sup>25</sup>
3 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	3.969	182.38	09/16/20	197.00	...	-14.62	1 of 3 - Sale <sup>25</sup>
	0.030	1.37	09/16/20	1.59	...	-0.22	2 of 3 - Sale <sup>25</sup>
	0.001	0.04	09/16/20	0.04	...	0.00	3 of 3 - Sale <sup>25</sup>
09/17/20	4.000	183.79	Various	198.63	...	-14.84	Total of 3 transactions
09/17/20	0.030	1.37	09/16/20	1.48	0.11 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>239.35</b>		<b>252.44</b>	<b>0.11 W</b>	<b>-12.98</b>	
CUMMINS INC. / CUSIP: 231021106 / Symbol:							
08/19/20	1.000	209.59	08/18/20	211.91	...	-2.32	Sale <sup>25</sup>
DATADOG, INC. CLASS A COMMON S TOCK / CUSIP: 23804L103 / Symbol:							
09/23/20	10.000	920.46	09/23/20	939.02	...	-18.56	Sale <sup>25</sup>
DELTA AIR LINES, INC. / CUSIP: 247361702 / Symbol:							
2 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	0.600	20.45	08/19/20	16.66	...	3.79	1 of 2 - Sale <sup>25</sup>
	2.996	102.15	08/19/20	83.34	...	18.81	2 of 2 - Sale <sup>25</sup>
09/17/20	3.595	122.60	Various	100.00	...	22.60	Total of 2 transactions

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Robinhood Securities LLC

Account 484289756

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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DIGITAL ALLY, INC. COMMON STOC K / CUSIP: 25382P208 / Symbol:							
<i>5 transactions for 09/23/20. Total proceeds and cost reported to the IRS.</i>							
	93.863	193.67	09/23/20	199.75	...	-6.08	1 of 5 - Sale <sup>25</sup>
	0.021	0.04	09/23/20	0.05	...	-0.01	2 of 5 - Sale <sup>25</sup>
	0.096	0.20	09/23/20	0.20	...	0.00	3 of 5 - Sale <sup>25</sup>
	0.623	1.29	09/23/20	1.28	...	0.01	4 of 5 - Sale <sup>25</sup>
	47.019	97.02	09/23/20	96.72	...	0.30	5 of 5 - Sale <sup>25</sup>
09/23/20	141.623	292.22	Various	298.00	...	-5.78	Total of 5 transactions
DOCUSIGN, INC. COMMON STOCK / CUSIP: 256163106 / Symbol:							
09/23/20	5.000	1,061.51	09/23/20	1,091.45	...	-29.94	Sale <sup>25</sup>
DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol:							
<i>3 transactions for 09/17/20. Total proceeds and cost reported to the IRS.</i>							
	0.000	0.01	09/15/20	0.01	...	0.00	1 of 3 - Sale <sup>25</sup>
	0.113	5.61	09/15/20	5.51	...	0.10	2 of 3 - Sale <sup>25</sup>
	5.000	249.05	09/15/20	244.48	...	4.57	3 of 3 - Sale <sup>25</sup>
09/17/20	5.113	254.67	Various	250.00	...	4.67	Total of 3 transactions
<i>2 transactions for 09/22/20. Total proceeds and cost reported to the IRS.</i>							
	0.001	0.05	09/17/20	0.05	...	0.00	1 of 2 - Sale <sup>25</sup>
	6.832	370.95	09/17/20	361.76	...	9.19	2 of 2 - Sale <sup>25</sup>
09/22/20	6.833	371.00	Various	361.81	...	9.19	Total of 2 transactions
<i>6 transactions for 09/23/20. Total proceeds and cost reported to the IRS.</i>							
	0.541	29.30	09/17/20	28.65	...	0.65	1 of 6 - Sale <sup>25</sup>
	2.069	111.96	09/17/20	109.54	...	2.42	2 of 6 - Sale <sup>25</sup>
	0.002	0.09	09/18/20	0.09	...	0.00	3 of 6 - Sale <sup>25</sup>
	0.412	21.97	09/21/20	21.70	...	0.27	4 of 6 - Sale <sup>25</sup>
	2.931	156.79	09/21/20	152.38	...	4.41	5 of 6 - Sale <sup>25</sup>
	1.598	85.48	09/21/20	80.85	...	4.63	6 of 6 - Sale <sup>25</sup>
09/23/20	7.553	405.59	Various	393.21	...	12.38	Total of 6 transactions
<i>2 transactions for 09/23/20. Total proceeds and cost reported to the IRS.</i>							
	2.471	132.14	09/18/20	137.17	0.84 W	-4.19	1 of 2 - Sale <sup>25</sup>
	2.931	158.65	09/18/20	162.74	4.09 W	0.00	2 of 2 - Sale <sup>25</sup>
09/23/20	5.402	290.79	Various	299.91	4.93 W	-4.19	Total of 2 transactions

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Robinhood Securities LLC

Account 484289756

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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DRAFTKINGS INC. CLASS A COMMON STOCK / CUSIP: 26142R104 / Symbol: (cont'd)							
<b>Security total:</b>		<b>1,322.05</b>		<b>1,304.93</b>		<b>4.93 W</b>	<b>22.05</b>
EASTMAN KODAK COMPANY / CUSIP: 277461406 / Symbol:							
3 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	0.071	2.92	07/29/20	1.35	...	1.57	1 of 3 - Sale <sup>25</sup>
	0.192	7.90	07/29/20	3.65	...	4.25	2 of 3 - Sale <sup>25</sup>
	5.000	204.70	07/29/20	95.00	...	109.70	3 of 3 - Sale <sup>25</sup>
07/30/20	5.263	215.52	Various	100.00	...	115.52	Total of 3 transactions
09/17/20	11.752	96.84	09/16/20	100.00	...	-3.16	Sale <sup>25</sup>
<b>Security total:</b>		<b>312.36</b>		<b>200.00</b>		<b>112.36</b>	
ENPHASE ENERGY, INC. COMMON STOCK / CUSIP: 29355A107 / Symbol:							
2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.							
	1.000	70.62	08/12/20	69.20	...	1.42	1 of 2 - Sale <sup>25</sup>
	4.000	282.46	08/12/20	272.76	...	9.70	2 of 2 - Sale <sup>25</sup>
08/12/20	5.000	353.08	Various	341.96	...	11.12	Total of 2 transactions
2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	1.000	73.86	08/12/20	68.19	...	5.67	1 of 2 - Sale <sup>25</sup>
	4.000	295.43	08/12/20	285.74	...	9.69	2 of 2 - Sale <sup>25</sup>
08/14/20	5.000	369.29	Various	353.93	...	15.36	Total of 2 transactions
2 transactions for 08/14/20. Total proceeds and cost reported to the IRS.							
	4.000	295.43	08/14/20	300.08	4.65 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	73.86	08/14/20	75.02	1.16 W	0.00	2 of 2 - Sale <sup>25</sup>
08/14/20	5.000	369.29	Various	375.10	5.81 W	0.00	Total of 2 transactions
08/20/20	5.000	365.33	08/14/20	375.10	9.77 W	0.00	Sale <sup>25</sup>
2 transactions for 08/24/20. Total proceeds and cost reported to the IRS.							
	3.330	240.51	08/14/20	249.80	9.29 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.999	72.17	08/20/20	74.50	2.33 W	0.00	2 of 2 - Sale <sup>25</sup>
08/24/20	4.329	312.68	Various	324.30	11.62 W	0.00	Total of 2 transactions
2 transactions for 08/25/20. Total proceeds and cost reported to the IRS.							
	1.001	72.97	08/20/20	74.61	1.64 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.999	72.85	08/21/20	75.67	2.82 W	0.00	2 of 2 - Sale <sup>25</sup>
08/25/20	2.000	145.82	Various	150.28	4.46 W	0.00	Total of 2 transactions

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Account 484289756

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ENPHASE ENERGY, INC. COMMON ST OCK / CUSIP: 29355A107 / Symbol: (cont'd)							
2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	0.999	73.50	08/21/20	75.68	2.18 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.001	73.61	08/21/20	75.80	2.19 W	0.00	2 of 2 - Sale <sup>25</sup>
08/27/20	2.000	147.11	Various	151.48	4.37 W	0.00	Total of 2 transactions
09/03/20	0.001	0.06	08/21/20	0.06	...	0.00	Sale <sup>25</sup>
09/03/20	2.000	140.02	08/27/20	151.31	11.29 W	0.00	Sale <sup>25</sup>
2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	3.000	201.41	09/09/20	207.76	4.05 W	-2.30	1 of 2 - Sale <sup>25</sup>
	2.000	134.27	09/09/20	140.18	5.91 W	0.00	2 of 2 - Sale <sup>25</sup>
09/11/20	5.000	335.68	Various	347.94	9.96 W	-2.30	Total of 2 transactions
7 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	0.895	60.47	09/17/20	63.57	...	-3.10	1 of 7 - Sale <sup>25</sup>
	0.999	67.52	09/17/20	70.53	...	-3.01	2 of 7 - Sale <sup>25</sup>
	1.001	67.62	09/17/20	69.94	...	-2.32	3 of 7 - Sale <sup>25</sup>
	0.671	45.34	09/17/20	47.27	...	-1.93	4 of 7 - Sale <sup>25</sup>
	0.434	29.33	09/17/20	30.85	...	-1.52	5 of 7 - Sale <sup>25</sup>
	0.435	29.42	09/17/20	30.90	...	-1.48	6 of 7 - Sale <sup>25</sup>
	0.004	0.30	09/17/20	0.32	...	-0.02	7 of 7 - Sale <sup>25</sup>
09/21/20	4.439	300.00	Various	313.38	...	-13.38	Total of 7 transactions
8 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	0.561	41.16	09/17/20	39.84	...	1.32	1 of 8 - Sale <sup>25</sup>
	1.557	114.30	09/18/20	116.69	...	-2.39	2 of 8 - Sale <sup>25</sup>
	0.443	32.52	09/18/20	32.43	...	0.09	3 of 8 - Sale <sup>25</sup>
	0.330	24.21	09/18/20	23.57	...	0.64	4 of 8 - Sale <sup>25</sup>
	0.999	73.35	09/18/20	71.43	...	1.92	5 of 8 - Sale <sup>25</sup>
	1.037	76.13	09/18/20	73.13	...	3.00	6 of 8 - Sale <sup>25</sup>
	0.963	70.69	09/21/20	69.85	...	0.84	7 of 8 - Sale <sup>25</sup>
	1.912	140.32	09/21/20	137.05	...	3.27	8 of 8 - Sale <sup>25</sup>
09/23/20	7.801	572.68	Various	563.99	...	8.69	Total of 8 transactions
	<b>Security total:</b>	<b>3,411.04</b>		<b>3,448.83</b>	<b>57.28 W</b>	<b>19.49</b>	

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Account 484289756

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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EXACT SCIENCES CORPORATION COM MON STOCK / CUSIP: 30063P105 / Symbol:							
2 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	1.000	76.01	09/14/20	77.65	1.64 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	76.01	09/14/20	77.75	1.74 W	0.00	2 of 2 - Sale <sup>25</sup>
09/17/20	2.000	152.02	Various	155.40	3.38 W	0.00	Total of 2 transactions
5 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	1.000	74.14	09/23/20	76.56	...	-2.42	1 of 5 - Sale <sup>25</sup>
	0.658	48.76	09/23/20	50.29	...	-1.53	2 of 5 - Sale <sup>25</sup>
	0.342	25.32	09/23/20	26.33	...	-1.01	3 of 5 - Sale <sup>25</sup>
	1.000	74.14	09/23/20	74.82	...	-0.68	4 of 5 - Sale <sup>25</sup>
	0.001	0.05	09/23/20	0.06	...	-0.01	5 of 5 - Sale <sup>25</sup>
09/23/20	3.000	222.41	Various	228.06	...	-5.65	Total of 5 transactions
09/23/20	0.342	25.32	09/23/20	26.10	0.78 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>399.75</b>		<b>409.56</b>	<b>4.16 W</b>	<b>-5.65</b>	
FACEBOOK, INC. CLASS A COMMON STOCK / CUSIP: 30303M102 / Symbol:							
09/03/20	1.000	285.61	07/31/20	252.60	...	33.01	Sale <sup>25</sup>
09/03/20	0.551	157.27	08/26/20	158.00	0.73 W	0.00	Sale <sup>25</sup>
3 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	0.551	150.19	09/04/20	159.86	9.67 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.169	46.19	09/04/20	48.94	2.75 W	0.00	2 of 3 - Sale <sup>25</sup>
	1.000	272.75	09/04/20	289.40	16.65 W	0.00	3 of 3 - Sale <sup>25</sup>
09/08/20	1.720	469.13	Various	498.20	29.07 W	0.00	Total of 3 transactions
4 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	1.000	269.04	09/10/20	292.56	...	-23.52	1 of 4 - Sale <sup>25</sup>
	0.551	148.14	09/10/20	161.60	...	-13.46	2 of 4 - Sale <sup>25</sup>
	0.169	45.56	09/10/20	49.48	...	-3.92	3 of 4 - Sale <sup>25</sup>
	0.280	75.33	09/10/20	77.25	...	-1.92	4 of 4 - Sale <sup>25</sup>
09/11/20	2.000	538.07	Various	580.89	...	-42.82	Total of 4 transactions
	<b>Security total:</b>	<b>1,450.08</b>		<b>1,489.69</b>	<b>29.80 W</b>	<b>-9.81</b>	
FARFETCH LIMITED CLASS A ORDIN ARY SHARES / CUSIP: 30744W107 / Symbol:							
09/03/20	2.000	53.39	08/26/20	56.03	...	-2.64	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 484289756

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
FASTLY, INC. / CUSIP: 31188V100 / Symbol:							
09/23/20	2.475	229.33	09/18/20	200.00	...	29.33	Sale <sup>25</sup>
FATE THERAPEUTICS, INC. COMMON STOCK / CUSIP: 31189P102 / Symbol:							
08/18/20	8.000	284.44	08/17/20	287.60	2.01 W	-1.15	Sale <sup>25</sup>
4 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	2.000	71.57	08/17/20	71.90	...	-0.33	1 of 4 - Sale <sup>25</sup>
	3.000	107.07	08/19/20	111.88	...	-4.81	2 of 4 - Sale <sup>25</sup>
	1.900	67.98	08/19/20	70.86	...	-2.88	3 of 4 - Sale <sup>25</sup>
	0.100	3.58	08/19/20	3.73	...	-0.15	4 of 4 - Sale <sup>25</sup>
08/20/20	7.000	250.20	Various	258.37	...	-8.17	Total of 4 transactions
08/21/20	0.096	3.47	08/19/20	3.58	...	-0.11	Sale <sup>25</sup>
	<b>Security total:</b>	<b>538.11</b>		<b>549.55</b>	<b>2.01 W</b>	<b>-9.43</b>	
FEDEX CORPORATION / CUSIP: 31428X106 / Symbol:							
4 transactions for 09/16/20. Total proceeds and cost reported to the IRS.							
	1.000	250.73	09/14/20	236.80	...	13.93	1 of 4 - Sale <sup>25</sup>
	1.000	254.24	09/14/20	235.80	...	18.44	2 of 4 - Sale <sup>25</sup>
	2.000	508.49	09/14/20	483.20	...	25.29	3 of 4 - Sale <sup>25</sup>
	1.000	250.73	09/15/20	233.08	...	17.65	4 of 4 - Sale <sup>25</sup>
09/16/20	5.000	1,264.19	Various	1,188.88	...	75.31	Total of 4 transactions
2 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	1.000	243.97	09/16/20	250.53	...	-6.56	1 of 2 - Sale <sup>25</sup>
	1.000	243.98	09/16/20	250.52	...	-6.54	2 of 2 - Sale <sup>25</sup>
09/17/20	2.000	487.95	Various	501.05	...	-13.10	Total of 2 transactions
	<b>Security total:</b>	<b>1,752.14</b>		<b>1,689.93</b>	...	<b>62.21</b>	
FORWARD INDUSTRIES, INC. COMMO N STOCK / CUSIP: 349862300 / Symbol:							
08/18/20	50.000	111.01	08/18/20	122.75	...	-11.74	Sale <sup>25</sup>
FULGENT GENETICS, INC. COMMON STOCK / CUSIP: 359664109 / Symbol:							
2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	1.998	66.87	09/03/20	69.45	...	-2.58	1 of 2 - Sale <sup>25</sup>
	0.880	29.45	09/03/20	30.55	...	-1.10	2 of 2 - Sale <sup>25</sup>
09/03/20	2.878	96.32	Various	100.00	...	-3.68	Total of 2 transactions

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Robinhood Securities LLC

Account 484289756

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GAMESTOP CORP. CLASS A / CUSIP: 36467W109 / Symbol:							
09/18/20	21.954	200.03	09/17/20	200.00	...	0.03	Sale <sup>25</sup>
GENERAL ELECTRIC COMPANY / CUSIP: 369604103 / Symbol:							
07/31/20	5.000	30.12	07/21/20	35.38	...	-5.26	Sale <sup>25</sup>
GENERAL MOTORS COMPANY / CUSIP: 37045V100 / Symbol:							
09/17/20	10.000	312.80	09/14/20	312.58	...	0.22	Sale <sup>25</sup>
GOGO INC. COMMON STOCK / CUSIP: 38046C109 / Symbol:							
2 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	0.464	2.29	08/26/20	2.20	...	0.09	1 of 2 - Sale <sup>25</sup>
	4.000	19.78	08/26/20	18.96	...	0.82	2 of 2 - Sale <sup>25</sup>
08/27/20	4.464	22.07	Various	21.16	...	0.91	Total of 2 transactions
09/03/20	10.979	100.24	09/02/20	100.00	...	0.24	Sale <sup>25</sup>
	<b>Security total:</b>	<b>122.31</b>		<b>121.16</b>	...	<b>1.15</b>	
GOLD FIELDS LTD ADR / CUSIP: 38059T106 / Symbol:							
2 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	0.610	8.25	09/15/20	8.96	0.71 W	0.00	1 of 2 - Sale <sup>25</sup>
	12.996	175.84	09/15/20	191.04	15.20 W	0.00	2 of 2 - Sale <sup>25</sup>
09/17/20	13.606	184.09	Various	200.00	15.91 W	0.00	Total of 2 transactions
4 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	12.996	177.27	09/17/20	191.55	...	-14.28	1 of 4 - Sale <sup>25</sup>
	0.430	5.86	09/17/20	6.33	...	-0.47	2 of 4 - Sale <sup>25</sup>
	0.180	2.46	09/17/20	2.66	...	-0.20	3 of 4 - Sale <sup>25</sup>
	4.817	65.71	09/17/20	65.37	...	0.34	4 of 4 - Sale <sup>25</sup>
09/18/20	18.423	251.30	Various	265.91	...	-14.61	Total of 4 transactions
	<b>Security total:</b>	<b>435.39</b>		<b>465.91</b>	<b>15.91 W</b>	<b>-14.61</b>	
GROUPON, INC. COMMON STOCK / CUSIP: 399473206 / Symbol:							
08/19/20	3.569	100.68	08/18/20	100.00	...	0.68	Sale <sup>25</sup>
09/03/20	3.000	94.68	08/24/20	95.25	...	-0.57	Sale <sup>25</sup>
	<b>Security total:</b>	<b>195.36</b>		<b>195.25</b>	...	<b>0.11</b>	

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Robinhood Securities LLC

Account 484289756

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GROWGENERATION CORP. COMMON STOCK / CUSIP: 39986L109 / Symbol:							
<i>3 transactions for 08/20/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	19.88	08/14/20	11.56	...	8.32	1 of 3 - Sale <sup>25</sup>
	7.000	139.16	08/17/20	97.76	...	41.40	2 of 3 - Sale <sup>25</sup>
	2.000	39.76	08/18/20	36.40	...	3.36	3 of 3 - Sale <sup>25</sup>
08/20/20	10.000	198.80	Various	145.72	...	53.08	Total of 3 transactions
08/26/20	1.000	14.37	08/25/20	15.96	1.59 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.</i>							
	2.000	31.46	08/27/20	30.40	...	1.06	1 of 2 - Sale <sup>25</sup>
	6.677	105.03	08/27/20	100.36	...	4.67	2 of 2 - Sale <sup>25</sup>
08/31/20	8.677	136.49	Various	130.76	...	5.73	Total of 2 transactions
<i>3 transactions for 08/31/20. Total proceeds and cost reported to the IRS.</i>							
	0.323	5.09	08/27/20	5.61	0.52 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.677	10.64	08/27/20	11.76	1.12 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.323	5.08	08/27/20	5.09	0.01 W	0.00	3 of 3 - Sale <sup>25</sup>
08/31/20	1.323	20.81	Various	22.46	1.65 W	0.00	Total of 3 transactions
09/02/20	0.001	0.01	08/31/20	0.01	...	0.00	Sale <sup>25</sup>
<i>6 transactions for 09/02/20. Total proceeds and cost reported to the IRS.</i>							
	0.062	0.97	08/27/20	1.03	0.06 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.261	4.10	08/27/20	4.34	0.24 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.677	10.63	08/31/20	11.95	1.32 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.323	5.07	08/31/20	5.17	0.10 W	0.00	4 of 6 - Sale <sup>25</sup>
	0.061	0.96	08/31/20	1.04	0.08 W	0.00	5 of 6 - Sale <sup>25</sup>
	17.678	277.72	08/31/20	283.02	5.30 W	0.00	6 of 6 - Sale <sup>25</sup>
09/02/20	19.061	299.45	Various	306.55	7.10 W	0.00	Total of 6 transactions
<i>6 transactions for 09/09/20. Total proceeds and cost reported to the IRS.</i>							
	0.061	0.85	09/08/20	0.96	0.11 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.261	3.66	09/08/20	4.00	0.34 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.677	9.47	09/08/20	11.06	1.59 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.323	4.52	09/08/20	4.75	0.23 W	0.00	4 of 6 - Sale <sup>25</sup>
	11.679	163.50	09/08/20	171.67	8.17 W	0.00	5 of 6 - Sale <sup>25</sup>
	0.889	12.52	09/08/20	13.07	0.55 W	0.00	6 of 6 - Sale <sup>25</sup>
09/09/20	13.889	194.52	Various	205.51	10.99 W	0.00	Total of 6 transactions

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Robinhood Securities LLC

Account 484289756

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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GROWGENERATION CORP. COMMON STOCK / CUSIP: 39986L109 / Symbol: (cont'd)							
7 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	0.143	2.26	09/17/20	2.33	0.07 W	0.00	1 of 7 - Sale <sup>25</sup>
	0.061	0.97	09/17/20	1.09	0.12 W	0.00	2 of 7 - Sale <sup>25</sup>
	0.261	4.14	09/17/20	4.53	0.39 W	0.00	3 of 7 - Sale <sup>25</sup>
	0.677	10.72	09/17/20	12.46	1.74 W	0.00	4 of 7 - Sale <sup>25</sup>
	0.323	5.11	09/17/20	5.41	0.30 W	0.00	5 of 7 - Sale <sup>25</sup>
	11.146	176.60	09/17/20	186.83	10.23 W	0.00	6 of 7 - Sale <sup>25</sup>
	0.013	0.20	09/17/20	0.21	0.01 W	0.00	7 of 7 - Sale <sup>25</sup>
09/21/20	12.623	200.00	Various	212.86	12.86 W	0.00	Total of 7 transactions
14 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	0.535	8.81	09/17/20	9.71	...	-0.90	1 of 14 - Sale <sup>25</sup>
	0.141	2.32	09/17/20	2.56	...	-0.24	2 of 14 - Sale <sup>25</sup>
	0.889	14.63	09/17/20	14.83	...	-0.20	3 of 14 - Sale <sup>25</sup>
	11.146	183.46	09/17/20	183.65	...	-0.19	4 of 14 - Sale <sup>25</sup>
	0.533	8.77	09/17/20	8.93	...	-0.16	5 of 14 - Sale <sup>25</sup>
	0.261	4.30	09/17/20	4.45	...	-0.15	6 of 14 - Sale <sup>25</sup>
	0.061	1.00	09/17/20	1.07	...	-0.07	7 of 14 - Sale <sup>25</sup>
	0.130	2.15	09/17/20	2.16	...	-0.01	8 of 14 - Sale <sup>25</sup>
	0.323	5.31	09/17/20	5.32	...	-0.01	9 of 14 - Sale <sup>25</sup>
	0.013	0.21	09/17/20	0.21	...	0.00	10 of 14 - Sale <sup>25</sup>
	0.375	6.17	09/17/20	5.82	...	0.35	11 of 14 - Sale <sup>25</sup>
	4.968	81.76	09/17/20	81.28	...	0.48	12 of 14 - Sale <sup>25</sup>
	11.987	197.30	09/17/20	192.53	...	4.77	13 of 14 - Sale <sup>25</sup>
	12.487	205.53	09/22/20	200.00	...	5.53	14 of 14 - Sale <sup>25</sup>
09/23/20	43.848	721.72	Various	712.52	...	9.20	Total of 14 transactions
	<b>Security total:</b>	<b>1,786.17</b>		<b>1,752.35</b>	<b>34.19 W</b>	<b>68.01</b>	
HOME DEPOT, INC. / CUSIP: 437076102 / Symbol:							
08/21/20	1.000	279.14	07/27/20	265.09	...	14.05	Sale <sup>25</sup>
2 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	0.276	78.53	08/26/20	80.00	...	-1.47	1 of 2 - Sale <sup>25</sup>
	0.520	147.78	08/27/20	150.00	...	-2.22	2 of 2 - Sale <sup>25</sup>
08/31/20	0.796	226.31	Various	230.00	...	-3.69	Total of 2 transactions

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Account 484289756

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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HOME DEPOT, INC. / CUSIP: 437076102 / Symbol: (cont'd)							
<b>Security total:</b>		<b>505.45</b>		<b>495.09</b>	...	<b>10.36</b>	
IRHYTHM TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 450056106 / Symbol:							
09/02/20	1.000	216.81	08/24/20	215.73	...	1.08	Sale <sup>25</sup>
	2 transactions for 09/21/20. Total proceeds and cost reported to the IRS.						
	0.573	125.06	09/18/20	136.33	9.22 W	-2.05	1 of 2 - Sale <sup>25</sup>
	0.104	22.73	09/18/20	24.74	2.01 W	0.00	2 of 2 - Sale <sup>25</sup>
09/21/20	0.677	147.79	Various	161.07	11.23 W	-2.05	Total of 2 transactions
	8 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	1.129	248.06	09/23/20	276.69	...	-28.63	1 of 8 - Sale <sup>25</sup>
	0.332	72.92	09/23/20	84.97	...	-12.05	2 of 8 - Sale <sup>25</sup>
	0.294	64.67	09/23/20	75.20	...	-10.53	3 of 8 - Sale <sup>25</sup>
	1.000	219.64	09/23/20	228.39	...	-8.75	4 of 8 - Sale <sup>25</sup>
	1.000	219.64	09/23/20	226.66	...	-7.02	5 of 8 - Sale <sup>25</sup>
	0.137	30.00	09/23/20	35.08	...	-5.08	6 of 8 - Sale <sup>25</sup>
	0.104	22.87	09/23/20	26.61	...	-3.74	7 of 8 - Sale <sup>25</sup>
	0.003	0.76	09/23/20	0.81	...	-0.05	8 of 8 - Sale <sup>25</sup>
09/23/20	4.000	878.56	Various	954.41	...	-75.85	Total of 8 transactions
	8 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	0.294	64.71	09/18/20	70.09	5.38 W	0.00	1 of 8 - Sale <sup>25</sup>
	1.129	248.15	09/18/20	268.84	20.69 W	0.00	2 of 8 - Sale <sup>25</sup>
	0.104	22.87	09/18/20	25.88	3.01 W	0.00	3 of 8 - Sale <sup>25</sup>
	0.332	72.95	09/18/20	82.66	9.71 W	0.00	4 of 8 - Sale <sup>25</sup>
	0.294	64.69	09/18/20	73.15	8.46 W	0.00	5 of 8 - Sale <sup>25</sup>
	0.137	30.01	09/18/20	34.13	4.12 W	0.00	6 of 8 - Sale <sup>25</sup>
	0.003	0.76	09/18/20	0.79	0.03 W	0.00	7 of 8 - Sale <sup>25</sup>
	1.000	219.72	09/22/20	221.45	1.73 W	0.00	8 of 8 - Sale <sup>25</sup>
09/23/20	3.294	723.86	Various	776.99	53.13 W	0.00	Total of 8 transactions
	<b>Security total:</b>						
		<b>1,967.02</b>		<b>2,108.20</b>	<b>64.36 W</b>	<b>-76.82</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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IBIO, INC. / CUSIP: 451033203 / Symbol:							
2 transactions for 07/31/20. Total proceeds and cost reported to the IRS.							
	10.000	44.30	07/20/20	57.70	...	-13.40	1 of 2 - Sale <sup>25</sup>
	21.858	96.83	07/28/20	100.00	...	-3.17	2 of 2 - Sale <sup>25</sup>
07/31/20	31.858	141.13	Various	157.70	...	-16.57	Total of 2 transactions
INPIXON COMMON STOCK / CUSIP: 45790J800 / Symbol:							
09/08/20	5.000	5.20	07/22/20	7.80	...	-2.60	Sale <sup>25</sup>
INVESCO QQQ TRUST, SERIES 1 / CUSIP: 46090E103 / Symbol:							
09/03/20	1.000	285.39	08/20/20	277.11	...	8.28	Sale <sup>25</sup>
09/08/20	1.000	273.75	09/04/20	287.50	...	-13.75	Sale <sup>25</sup>
	<b>Security total:</b>	<b>559.14</b>		<b>564.61</b>	...	<b>-5.47</b>	
ISHARES EXPANDED TECH-SOFTWARE SECTOR ETF / CUSIP: 464287515 / Symbol:							
3 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	0.258	80.75	09/02/20	90.38	...	-9.63	1 of 3 - Sale <sup>25</sup>
	0.500	156.27	09/02/20	165.41	...	-9.14	2 of 3 - Sale <sup>25</sup>
	0.242	75.52	09/02/20	80.10	...	-4.58	3 of 3 - Sale <sup>25</sup>
09/03/20	1.000	312.54	Various	335.89	...	-23.35	Total of 3 transactions
09/03/20	0.258	80.74	09/02/20	85.47	4.73 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>393.28</b>		<b>421.36</b>	<b>4.73 W</b>	<b>-23.35</b>	
JPMORGAN CHASE & CO. / CUSIP: 46625H100 / Symbol:							
08/14/20	1.000	101.50	08/13/20	102.15	...	-0.65	Sale <sup>25</sup>
JD.COM, INC. AMERICAN DEPOSITARY SHARES / CUSIP: 47215P106 / Symbol:							
08/14/20	1.000	62.04	08/13/20	62.25	0.21 W	0.00	Sale <sup>25</sup>
2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	1.000	72.43	08/17/20	65.38	...	7.05	1 of 2 - Sale <sup>25</sup>
	1.000	72.43	08/18/20	71.14	...	1.29	2 of 2 - Sale <sup>25</sup>
08/20/20	2.000	144.86	Various	136.52	...	8.34	Total of 2 transactions
09/03/20	1.000	76.65	08/18/20	71.14	...	5.51	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 484289756

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
JD.COM, INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 47215P106 / Symbol: (cont'd)							
2 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	0.355	26.61	09/18/20	26.45	...	0.16	1 of 2 - Sale <sup>25</sup>
	3.000	224.91	09/18/20	223.55	...	1.36	2 of 2 - Sale <sup>25</sup>
09/23/20	3.355	251.52	Various	250.00	...	1.52	Total of 2 transactions
	<b>Security total:</b>	<b>535.07</b>		<b>519.91</b>	<b>0.21 W</b>	<b>15.37</b>	
KELLY SERVICES, INC. CLASS B C OMMON STOCK / CUSIP: 488152307 / Symbol:							
08/27/20	2.000	62.92	08/27/20	67.99	...	-5.07	Sale <sup>25</sup>
KENSINGTON CAPITAL ACQUISITION CORP. / CUSIP: 490073103 / Symbol:							
2 transactions for 09/22/20. Total proceeds and cost reported to the IRS.							
	4.000	76.04	09/21/20	69.56	...	6.48	1 of 2 - Sale <sup>25</sup>
	10.000	190.10	09/21/20	174.00	...	16.10	2 of 2 - Sale <sup>25</sup>
09/22/20	14.000	266.14	Various	243.56	...	22.58	Total of 2 transactions
09/23/20	15.000	252.01	09/23/20	268.95	...	-16.94	Sale <sup>25</sup>
	<b>Security total:</b>	<b>518.15</b>		<b>512.51</b>	...	<b>5.64</b>	
LI AUTO INC. AMERICAN DEPOSITA RY SHARES / CUSIP: 50202M102 / Symbol:							
3 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	0.814	17.27	08/24/20	13.29	...	3.98	1 of 3 - Sale <sup>25</sup>
	0.814	16.82	08/25/20	14.75	...	2.07	2 of 3 - Sale <sup>25</sup>
	4.186	88.81	08/25/20	75.83	...	12.98	3 of 3 - Sale <sup>25</sup>
08/27/20	5.814	122.90	Various	103.87	...	19.03	Total of 3 transactions
LANDCADIA HOLDINGS II, INC. CL ASS A COMMON STOCK / CUSIP: 51476X105 / Symbol:							
09/22/20	13.613	243.34	09/21/20	250.00	...	-6.66	Sale <sup>25</sup>
LIVONGO HEALTH, INC. COMMON ST OCK / CUSIP: 539183103 / Symbol:							
09/03/20	1.000	131.26	08/24/20	135.72	4.46 W	0.00	Sale <sup>25</sup>
4 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	0.001	0.12	09/18/20	0.11	...	0.01	1 of 4 - Sale <sup>25</sup>
	2.840	382.21	09/18/20	369.70	...	12.51	2 of 4 - Sale <sup>25</sup>
	4.000	538.37	09/23/20	558.21	...	-19.84	3 of 4 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 484289756

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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LIVONGO HEALTH, INC. COMMON ST OCK / CUSIP: 539183103 / Symbol: (cont'd)							
	1.000	134.59	09/23/20	139.61	...	-5.02	4 of 4 - Sale <sup>25</sup>
09/23/20	7.841	1,055.29	Various	1,067.63	...	-12.34	Total of 4 transactions
09/23/20	1.000	134.59	09/18/20	134.65	0.06 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,321.14</b>		<b>1,338.00</b>	<b>4.52 W</b>	<b>-12.34</b>	
LOWE'S COMPANIES INC. / CUSIP: 548661107 / Symbol:							
2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	1.000	161.60	07/27/20	147.23	...	14.37	1 of 2 - Sale <sup>25</sup>
	1.000	161.59	08/10/20	153.97	...	7.62	2 of 2 - Sale <sup>25</sup>
09/03/20	2.000	323.19	Various	301.20	...	21.99	Total of 2 transactions
MASTERCARD INCORPORATED / CUSIP: 57636Q104 / Symbol:							
08/18/20	0.457	150.53	08/17/20	150.00	...	0.53	Sale <sup>25</sup>
MAXAR TECHNOLOGIES INC. COMMON STOCK / CUSIP: 57778K105 / Symbol:							
08/31/20	1.000	22.98	08/13/20	26.50	3.52 W	0.00	Sale <sup>25</sup>
09/17/20	0.762	20.18	09/16/20	19.63	...	0.55	Sale <sup>25</sup>
2 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	1.000	25.20	09/16/20	29.29	4.09 W	0.00	1 of 2 - Sale <sup>25</sup>
	6.000	151.20	09/16/20	154.60	3.40 W	0.00	2 of 2 - Sale <sup>25</sup>
09/17/20	7.000	176.40	Various	183.89	7.49 W	0.00	Total of 2 transactions
6 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	3.609	98.07	09/17/20	98.99	...	-0.92	1 of 6 - Sale <sup>25</sup>
	0.329	8.97	09/17/20	9.01	...	-0.04	2 of 6 - Sale <sup>25</sup>
	0.669	18.17	09/18/20	22.12	...	-3.95	3 of 6 - Sale <sup>25</sup>
	0.331	9.00	09/18/20	10.97	...	-1.97	4 of 6 - Sale <sup>25</sup>
	0.733	19.91	09/18/20	21.66	...	-1.75	5 of 6 - Sale <sup>25</sup>
	3.595	97.68	09/22/20	102.53	...	-4.85	6 of 6 - Sale <sup>25</sup>
09/23/20	9.265	251.80	Various	265.28	...	-13.48	Total of 6 transactions
3 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	6.000	163.74	09/17/20	167.97	3.05 W	-1.18	1 of 3 - Sale <sup>25</sup>
	0.331	9.04	09/17/20	10.45	1.41 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.669	18.25	09/17/20	21.07	2.82 W	0.00	3 of 3 - Sale <sup>25</sup>
09/23/20	7.000	191.03	Various	199.49	7.28 W	-1.18	Total of 3 transactions

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Robinhood Securities LLC

Account 484289756

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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MAXAR TECHNOLOGIES INC. COMMON STOCK / CUSIP: 57778K105 / Symbol: (cont'd)							
<b>Security total:</b>		<b>662.39</b>		<b>694.79</b>	<b>18.29 W</b>	<b>-14.11</b>	
MICROSOFT CORPORATION COMMON S TOCK / CUSIP: 594918104 / Symbol:							
08/06/20	1.000	212.64	08/03/20	213.50	0.86 W	0.00	Sale <sup>25</sup>
09/03/20	1.000	215.94	08/24/20	214.45	...	1.49	Sale <sup>25</sup>
09/17/20	3.000	601.51	09/14/20	625.86	20.34 W	-4.01	Sale <sup>25</sup>
4 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	1.000	204.86	09/21/20	207.29	...	-2.43	1 of 4 - Sale <sup>25</sup>
	0.506	103.70	09/21/20	104.92	...	-1.22	2 of 4 - Sale <sup>25</sup>
	0.000	0.02	09/21/20	0.02	...	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	204.88	09/22/20	211.20	...	-6.32	4 of 4 - Sale <sup>25</sup>
09/23/20	2.506	513.46	Various	523.43	...	-9.97	Total of 4 transactions
<b>Security total:</b>		<b>1,543.55</b>		<b>1,577.24</b>	<b>21.20 W</b>	<b>-12.49</b>	
MICROVISION, INC. COMMON STOCK / CUSIP: 594960304 / Symbol:							
08/19/20	34.000	48.96	08/18/20	49.98	...	-1.02	Sale <sup>25</sup>
MODERNA, INC. COMMON STOCK / CUSIP: 60770K107 / Symbol:							
07/31/20	2.000	149.33	07/22/20	162.73	...	-13.40	Sale <sup>25</sup>
NIO INC. AMERICAN DEPOSITARY S HARES, EACH REPRESENTING ONE C LASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol:							
08/31/20	3.000	52.34	08/25/20	50.91	...	1.43	Sale <sup>25</sup>
08/31/20	2.000	34.88	08/26/20	39.00	4.12 W	0.00	Sale <sup>25</sup>
2 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	2.000	36.42	09/14/20	41.66	5.24 W	0.00	1 of 2 - Sale <sup>25</sup>
	13.983	254.67	09/14/20	262.46	7.79 W	0.00	2 of 2 - Sale <sup>25</sup>
09/17/20	15.983	291.09	Various	304.12	13.03 W	0.00	Total of 2 transactions
09/22/20	0.004	0.08	09/17/20	0.08	...	0.00	Sale <sup>25</sup>
6 transactions for 09/22/20. Total proceeds and cost reported to the IRS.							
	0.199	3.69	09/17/20	4.31	0.62 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.014	0.27	09/17/20	0.31	0.04 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.199	3.69	09/17/20	4.41	0.72 W	0.00	3 of 6 - Sale <sup>25</sup>
	1.787	33.20	09/17/20	38.80	5.60 W	0.00	4 of 6 - Sale <sup>25</sup>
	13.983	259.83	09/17/20	274.71	14.88 W	0.00	5 of 6 - Sale <sup>25</sup>

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Account 484289756

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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NIO INC. AMERICAN DEPOSITORY S HARES, EACH REPRESENTING ONE CLASS A ORDINARY SHARE / CUSIP: 62914V106 / Symbol: (cont'd)							
	8.031	149.24	09/17/20	153.30	4.06 W	0.00	6 of 6 - Sale <sup>25</sup>
09/22/20	24.213	449.92	Various	475.84	25.92 W	0.00	Total of 6 transactions
	12 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	8.375	158.45	09/17/20	170.36	...	-11.91	1 of 12 - Sale <sup>25</sup>
	1.624	30.72	09/17/20	36.39	...	-5.67	2 of 12 - Sale <sup>25</sup>
	0.199	3.76	09/17/20	4.55	...	-0.79	3 of 12 - Sale <sup>25</sup>
	0.163	3.09	09/17/20	3.65	...	-0.56	4 of 12 - Sale <sup>25</sup>
	1.472	27.85	09/17/20	28.10	...	-0.25	5 of 12 - Sale <sup>25</sup>
	0.014	0.27	09/17/20	0.32	...	-0.05	6 of 12 - Sale <sup>25</sup>
	0.208	3.93	09/21/20	3.92	...	0.01	7 of 12 - Sale <sup>25</sup>
	5.401	102.18	09/21/20	102.15	...	0.03	8 of 12 - Sale <sup>25</sup>
	0.504	9.54	09/21/20	9.29	...	0.25	9 of 12 - Sale <sup>25</sup>
	2.434	46.05	09/21/20	45.70	...	0.35	10 of 12 - Sale <sup>25</sup>
	5.597	105.90	09/21/20	102.73	...	3.17	11 of 12 - Sale <sup>25</sup>
	8.009	151.53	09/21/20	146.32	...	5.21	12 of 12 - Sale <sup>25</sup>
09/23/20	34.000	643.27	Various	653.48	...	-10.21	Total of 12 transactions
09/23/20	0.504	9.55	09/17/20	9.63	0.08 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,481.13</b>		<b>1,533.06</b>	<b>43.15 W</b>	<b>-8.78</b>	
NTN BUZZTIME, INC / CUSIP: 629410606 / Symbol:							
09/22/20	36.000	65.88	09/21/20	92.88	...	-27.00	Sale <sup>25</sup>
NETFLIX, INC. COMMON STOCK / CUSIP: 64110L106 / Symbol:							
	3 transactions for 08/07/20. Total proceeds and cost reported to the IRS.						
	0.521	262.05	07/24/20	250.00	...	12.05	1 of 3 - Sale <sup>25</sup>
	0.105	52.61	07/30/20	50.75	...	1.86	2 of 3 - Sale <sup>25</sup>
	0.204	102.89	07/30/20	99.25	...	3.64	3 of 3 - Sale <sup>25</sup>
08/07/20	0.830	417.55	Various	400.00	...	17.55	Total of 3 transactions
08/24/20	0.400	196.91	08/18/20	195.30	...	1.61	Sale <sup>25</sup>
08/31/20	0.468	243.44	08/28/20	250.00	...	-6.56	Sale <sup>25</sup>
	<b>Security total:</b>	<b>857.90</b>		<b>845.30</b>	...	<b>12.60</b>	

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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NEW RESIDENTIAL INVESTMENT COR P / CUSIP: 64828T201 / Symbol:							
3 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	11.334	84.35	08/20/20	89.51	...	-5.16	1 of 3 - Sale <sup>25</sup>
	0.662	4.93	08/20/20	5.53	...	-0.60	2 of 3 - Sale <sup>25</sup>
	0.004	0.03	08/20/20	0.03	...	0.00	3 of 3 - Sale <sup>25</sup>
09/04/20	12.000	89.31	Various	95.07	...	-5.76	Total of 3 transactions
09/04/20	0.662	4.93	08/20/20	5.23	0.30 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>94.24</b>		<b>100.30</b>	<b>0.30 W</b>	<b>-5.76</b>	
NIKE, INC. / CUSIP: 654106103 / Symbol:							
08/20/20	1.000	107.74	08/18/20	106.80	...	0.94	Sale <sup>25</sup>
NIKOLA CORPORATION COMMON STOC K / CUSIP: 654110105 / Symbol:							
09/15/20	10.000	322.36	09/14/20	357.25	...	-34.89	Sale <sup>25</sup>
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol:							
2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	0.006	2.68	07/27/20	2.50	...	0.18	1 of 2 - Sale <sup>25</sup>
	0.596	265.27	07/27/20	247.50	...	17.77	2 of 2 - Sale <sup>25</sup>
08/07/20	0.602	267.95	Various	250.00	...	17.95	Total of 2 transactions
2 transactions for 08/07/20. Total proceeds and cost reported to the IRS.							
	0.224	99.47	08/05/20	101.28	1.81 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.180	80.06	08/07/20	81.82	1.76 W	0.00	2 of 2 - Sale <sup>25</sup>
08/07/20	0.404	179.53	Various	183.10	3.57 W	0.00	Total of 2 transactions
3 transactions for 08/10/20. Total proceeds and cost reported to the IRS.							
	0.224	98.21	08/10/20	103.15	4.94 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.180	79.05	08/10/20	83.33	4.28 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.596	261.92	08/10/20	270.27	8.35 W	0.00	3 of 3 - Sale <sup>25</sup>
08/10/20	1.000	439.18	Various	456.75	17.57 W	0.00	Total of 3 transactions
3 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	0.224	97.72	08/10/20	105.39	7.67 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.180	78.66	08/10/20	85.13	6.47 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.596	260.62	08/10/20	276.22	15.60 W	0.00	3 of 3 - Sale <sup>25</sup>
08/11/20	1.000	437.00	Various	466.74	29.74 W	0.00	Total of 3 transactions

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Robinhood Securities LLC

Account 484289756

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol: (cont'd)							
<i>3 transactions for 08/18/20. Total proceeds and cost reported to the IRS.</i>							
	0.224	108.98	08/17/20	115.56	6.58 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.115	55.83	08/17/20	58.27	2.44 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.180	87.73	08/17/20	93.31	5.58 W	0.00	3 of 3 - Sale <sup>25</sup>
08/18/20	0.518	252.54	Various	267.14	14.60 W	0.00	Total of 3 transactions
09/03/20	0.482	249.62	08/18/20	249.44	...	0.18	Sale <sup>25</sup>
<i>5 transactions for 09/03/20. Total proceeds and cost reported to the IRS.</i>							
	0.018	9.42	08/18/20	9.47	0.05 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.205	106.44	09/03/20	118.98	12.54 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.043	22.17	09/03/20	24.85	2.68 W	0.00	3 of 5 - Sale <sup>25</sup>
	0.115	59.35	09/03/20	65.41	6.06 W	0.00	4 of 5 - Sale <sup>25</sup>
	0.137	71.08	09/03/20	79.67	8.59 W	0.00	5 of 5 - Sale <sup>25</sup>
09/03/20	0.518	268.46	Various	298.38	29.92 W	0.00	Total of 5 transactions
<i>6 transactions for 09/08/20. Total proceeds and cost reported to the IRS.</i>							
	0.018	8.60	09/04/20	9.23	0.63 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.205	97.21	09/04/20	116.31	19.10 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.043	20.25	09/04/20	24.29	4.04 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.115	54.20	09/04/20	63.92	9.72 W	0.00	4 of 6 - Sale <sup>25</sup>
	0.137	64.92	09/04/20	77.90	12.98 W	0.00	5 of 6 - Sale <sup>25</sup>
	0.482	227.97	09/04/20	243.37	15.40 W	0.00	6 of 6 - Sale <sup>25</sup>
09/08/20	1.000	473.15	Various	535.02	61.87 W	0.00	Total of 6 transactions
<i>6 transactions for 09/11/20. Total proceeds and cost reported to the IRS.</i>							
	0.018	9.05	09/10/20	9.95	0.90 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.205	102.24	09/10/20	124.38	22.14 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.043	21.29	09/10/20	25.97	4.68 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.115	57.01	09/10/20	68.42	11.41 W	0.00	4 of 6 - Sale <sup>25</sup>
	0.137	68.29	09/10/20	83.29	15.00 W	0.00	5 of 6 - Sale <sup>25</sup>
	0.482	239.79	09/10/20	262.30	22.51 W	0.00	6 of 6 - Sale <sup>25</sup>
09/11/20	1.000	497.67	Various	574.31	76.64 W	0.00	Total of 6 transactions
<i>8 transactions for 09/18/20. Total proceeds and cost reported to the IRS.</i>							
	0.482	239.56	09/17/20	263.19	...	-23.63	1 of 8 - Sale <sup>25</sup>
	0.205	102.14	09/17/20	124.77	...	-22.63	2 of 8 - Sale <sup>25</sup>
	0.137	68.22	09/17/20	83.54	...	-15.32	3 of 8 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 484289756

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
NVIDIA CORPORATION COMMON STOC K / CUSIP: 67066G104 / Symbol: (cont'd)							
	0.115	56.95	09/17/20	68.63	...	-11.68	4 of 8 - Sale <sup>25</sup>
	0.043	21.27	09/17/20	26.05	...	-4.78	5 of 8 - Sale <sup>25</sup>
	0.017	8.46	09/17/20	9.34	...	-0.88	6 of 8 - Sale <sup>25</sup>
	0.001	0.48	09/17/20	0.53	...	-0.05	7 of 8 - Sale <sup>25</sup>
	0.000	0.10	09/17/20	0.11	...	-0.01	8 of 8 - Sale <sup>25</sup>
09/18/20	1.000	497.18	Various	576.16	...	-78.98	Total of 8 transactions
09/18/20	0.001	0.48	09/17/20	0.53	0.05 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>3,562.76</b>		<b>3,857.57</b>	<b>233.96 W</b>	<b>-60.85</b>	
OKTA, INC. CLASS A COMMON STOC K / CUSIP: 679295105 / Symbol:							
	3 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	0.522	109.70	09/18/20	102.94	...	6.76	1 of 3 - Sale <sup>25</sup>
	1.000	209.90	09/18/20	197.06	...	12.84	2 of 3 - Sale <sup>25</sup>
	5.000	1,041.26	09/23/20	1,068.77	...	-27.51	3 of 3 - Sale <sup>25</sup>
09/23/20	6.522	1,360.86	Various	1,368.77	...	-7.91	Total of 3 transactions
ONCONOVA THERAPEUTICS, INC. CO MMON STOCK / CUSIP: 68232V405 / Symbol:							
	2 transactions for 09/22/20. Total proceeds and cost reported to the IRS.						
	218.000	68.66	09/21/20	95.55	...	-26.89	1 of 2 - Sale <sup>25</sup>
	251.000	79.06	09/21/20	94.43	...	-15.37	2 of 2 - Sale <sup>25</sup>
09/22/20	469.000	147.72	Various	189.98	...	-42.26	Total of 2 transactions
ONTRAK, INC. COMMON STOCK / CUSIP: 683373104 / Symbol:							
08/11/20	1.000	52.26	08/10/20	63.22	...	-10.96	Sale <sup>25</sup>
ORACLE CORP / CUSIP: 68389X105 / Symbol:							
09/16/20	4.000	241.64	09/14/20	244.00	2.36 W	0.00	Sale <sup>25</sup>
09/17/20	5.000	292.00	09/14/20	305.00	11.19 W	-1.81	Sale <sup>25</sup>
	4 transactions for 09/18/20. Total proceeds and cost reported to the IRS.						
	4.304	257.78	09/17/20	270.35	...	-12.57	1 of 4 - Sale <sup>25</sup>
	3.695	221.30	09/17/20	224.66	...	-3.36	2 of 4 - Sale <sup>25</sup>
	0.304	18.23	09/17/20	18.50	...	-0.27	3 of 4 - Sale <sup>25</sup>
	0.001	0.04	09/17/20	0.04	...	0.00	4 of 4 - Sale <sup>25</sup>
09/18/20	8.304	497.35	Various	513.55	...	-16.20	Total of 4 transactions

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Robinhood Securities LLC

Account 484289756

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ORACLE CORP / CUSIP: 68389X105 / Symbol: (cont'd)							
<b>Security total:</b>		<b>1,030.99</b>		<b>1,062.55</b>	<b>13.55 W</b>	<b>-18.01</b>	
OVERSTOCK.COM, INC. COMMON STO CK / CUSIP: 690370101 / Symbol:							
07/31/20	1.000	72.90	07/31/20	76.31	3.41 W	0.00	Sale <sup>25</sup>
08/12/20	1.000	86.55	08/10/20	110.31	23.76 W	0.00	Sale <sup>25</sup>
08/24/20	1.000	114.44	08/21/20	149.50	35.06 W	0.00	Sale <sup>25</sup>
4 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	0.927	83.19	08/28/20	128.73	45.54 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.073	6.53	08/28/20	10.10	3.57 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.927	83.14	08/28/20	96.23	13.09 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	89.72	08/28/20	96.90	7.18 W	0.00	4 of 4 - Sale <sup>25</sup>
08/31/20	2.927	262.58	Various	331.96	69.38 W	0.00	Total of 4 transactions
5 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	5.944	440.47	09/03/20	475.76	10.11 W	-25.18	1 of 5 - Sale <sup>25</sup>
	0.073	5.39	09/03/20	9.39	4.00 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.927	68.71	09/03/20	119.75	51.04 W	0.00	3 of 5 - Sale <sup>25</sup>
	0.927	68.66	09/03/20	87.26	18.60 W	0.00	4 of 5 - Sale <sup>25</sup>
	1.000	74.10	09/03/20	87.22	13.12 W	0.00	5 of 5 - Sale <sup>25</sup>
09/03/20	8.871	657.33	Various	779.38	96.87 W	-25.18	Total of 5 transactions
6 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	0.630	44.61	09/16/20	82.33	...	-37.72	1 of 6 - Sale <sup>25</sup>
	0.927	65.48	09/16/20	88.65	...	-23.17	2 of 6 - Sale <sup>25</sup>
	1.704	120.38	09/16/20	138.88	...	-18.50	3 of 6 - Sale <sup>25</sup>
	1.000	70.67	09/16/20	88.71	...	-18.04	4 of 6 - Sale <sup>25</sup>
	0.297	20.99	09/16/20	38.80	...	-17.81	5 of 6 - Sale <sup>25</sup>
	0.073	5.14	09/16/20	9.50	...	-4.36	6 of 6 - Sale <sup>25</sup>
09/17/20	4.630	327.27	Various	446.87	...	-119.60	Total of 6 transactions
<b>Security total:</b>		<b>1,521.07</b>		<b>1,894.33</b>	<b>228.48 W</b>	<b>-144.78</b>	
PAYPAL HOLDINGS, INC. COMMON S TOCK / CUSIP: 70450Y103 / Symbol:							
08/07/20	1.000	197.67	07/20/20	175.38	...	22.29	Sale <sup>25</sup>
3 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	0.842	156.22	08/10/20	167.86	11.64 W	0.00	1 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 484289756

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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PAYPAL HOLDINGS, INC. COMMON S TOCK / CUSIP: 70450Y103 / Symbol: (cont'd)							
	0.158	29.31	08/10/20	31.52	2.21 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.842	156.09	08/11/20	171.64	15.55 W	0.00	3 of 3 - Sale <sup>25</sup>
09/04/20	1.842	341.62	Various	371.02	29.40 W	0.00	Total of 3 transactions
	4 transactions for 09/11/20. Total proceeds and cost reported to the IRS.						
	0.547	104.00	09/10/20	117.48	13.48 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.295	56.05	09/10/20	63.35	7.30 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.158	30.04	09/10/20	33.24	3.20 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.547	294.02	09/10/20	303.69	9.67 W	0.00	4 of 4 - Sale <sup>25</sup>
09/11/20	2.547	484.11	Various	517.76	33.65 W	0.00	Total of 4 transactions
	5 transactions for 09/17/20. Total proceeds and cost reported to the IRS.						
	0.295	51.98	09/14/20	62.67	10.69 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.547	96.40	09/14/20	116.16	19.76 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.158	27.86	09/14/20	32.87	5.01 W	0.00	3 of 5 - Sale <sup>25</sup>
	1.547	272.65	09/14/20	300.08	27.43 W	0.00	4 of 5 - Sale <sup>25</sup>
	0.453	79.84	09/14/20	85.04	5.20 W	0.00	5 of 5 - Sale <sup>25</sup>
09/17/20	3.000	528.73	Various	596.82	68.09 W	0.00	Total of 5 transactions
09/18/20	0.294	51.37	09/17/20	55.16	...	-3.79	Sale <sup>25</sup>
	4 transactions for 09/18/20. Total proceeds and cost reported to the IRS.						
	1.547	270.05	09/17/20	299.67	29.03 W	-0.59	1 of 4 - Sale <sup>25</sup>
	0.295	51.48	09/17/20	62.59	11.11 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.547	95.48	09/17/20	116.02	20.54 W	0.00	3 of 4 - Sale <sup>25</sup>
	0.158	27.59	09/17/20	32.83	5.24 W	0.00	4 of 4 - Sale <sup>25</sup>
09/18/20	2.547	444.60	Various	511.11	65.92 W	-0.59	Total of 4 transactions
	6 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	0.547	102.33	09/21/20	118.90	...	-16.57	1 of 6 - Sale <sup>25</sup>
	0.788	147.34	09/21/20	156.71	...	-9.37	2 of 6 - Sale <sup>25</sup>
	0.295	55.17	09/21/20	64.15	...	-8.98	3 of 6 - Sale <sup>25</sup>
	0.158	29.57	09/21/20	33.66	...	-4.09	4 of 6 - Sale <sup>25</sup>
	0.159	29.70	09/21/20	30.37	...	-0.67	5 of 6 - Sale <sup>25</sup>
	0.728	136.28	09/22/20	148.95	...	-12.67	6 of 6 - Sale <sup>25</sup>
09/23/20	2.675	500.39	Various	552.74	...	-52.35	Total of 6 transactions
	<b>Security total:</b>	<b>2,548.49</b>		<b>2,779.99</b>	<b>197.06 W</b>	<b>-34.44</b>	

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Robinhood Securities LLC

Account 484289756

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PDS BIOTECHNOLOGY CORPORATION COMMON STOCK / CUSIP: 70465T107 / Symbol:							
07/21/20	10.000	37.80	07/20/20	37.40	...	0.40	Sale <sup>25</sup>
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol:							
09/03/20	0.000	0.04	09/02/20	0.04	...	0.00	Sale <sup>25</sup>
	3 transactions for 09/03/20. Total proceeds and cost reported to the IRS.						
	0.115	9.50	09/02/20	10.34	0.84 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.115	9.50	09/02/20	11.19	1.69 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.884	72.72	09/02/20	79.27	6.55 W	0.00	3 of 3 - Sale <sup>25</sup>
09/03/20	1.115	91.72	Various	100.80	9.08 W	0.00	Total of 3 transactions
	2 transactions for 09/10/20. Total proceeds and cost reported to the IRS.						
	1.269	117.16	09/08/20	111.88	...	5.28	1 of 2 - Sale <sup>25</sup>
	1.732	159.90	09/09/20	158.50	...	1.40	2 of 2 - Sale <sup>25</sup>
09/10/20	3.000	277.06	Various	270.38	...	6.68	Total of 2 transactions
	8 transactions for 09/10/20. Total proceeds and cost reported to the IRS.						
	0.115	10.66	09/08/20	11.86	1.20 W	0.00	1 of 8 - Sale <sup>25</sup>
	0.154	14.25	09/08/20	14.74	0.49 W	0.00	2 of 8 - Sale <sup>25</sup>
	0.730	67.39	09/08/20	69.76	2.37 W	0.00	3 of 8 - Sale <sup>25</sup>
	0.001	0.13	09/09/20	0.14	0.01 W	0.00	4 of 8 - Sale <sup>25</sup>
	0.730	64.30	09/09/20	69.17	4.87 W	0.00	5 of 8 - Sale <sup>25</sup>
	1.269	111.79	09/09/20	116.12	4.33 W	0.00	6 of 8 - Sale <sup>25</sup>
	0.115	10.18	09/09/20	11.77	1.59 W	0.00	7 of 8 - Sale <sup>25</sup>
	0.153	13.48	09/09/20	14.48	1.00 W	0.00	8 of 8 - Sale <sup>25</sup>
09/10/20	3.268	292.18	Various	308.04	15.86 W	0.00	Total of 8 transactions
	6 transactions for 09/17/20. Total proceeds and cost reported to the IRS.						
	0.115	9.25	09/14/20	11.07	1.82 W	0.00	1 of 6 - Sale <sup>25</sup>
	0.001	0.12	09/14/20	0.13	0.01 W	0.00	2 of 6 - Sale <sup>25</sup>
	0.153	12.25	09/14/20	13.56	1.31 W	0.00	3 of 6 - Sale <sup>25</sup>
	0.730	58.49	09/14/20	64.82	6.33 W	0.00	4 of 6 - Sale <sup>25</sup>
	1.269	101.68	09/14/20	108.56	6.88 W	0.00	5 of 6 - Sale <sup>25</sup>
	4.732	379.21	09/14/20	388.71	9.50 W	0.00	6 of 6 - Sale <sup>25</sup>
09/17/20	7.000	561.00	Various	586.85	25.85 W	0.00	Total of 6 transactions
09/18/20	0.001	0.07	09/17/20	0.07	...	0.00	Sale <sup>25</sup>

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Account 484289756

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PELTON INTERACTIVE, INC. CLAS S A COMMON STOCK / CUSIP: 70614W100 / Symbol: (cont'd)							
7 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	0.045	3.79	09/17/20	4.42	0.63 W	0.00	1 of 7 - Sale <sup>25</sup>
	0.071	5.97	09/17/20	6.95	0.98 W	0.00	2 of 7 - Sale <sup>25</sup>
	0.068	5.71	09/17/20	6.18	0.47 W	0.00	3 of 7 - Sale <sup>25</sup>
	0.001	0.12	09/17/20	0.13	0.01 W	0.00	4 of 7 - Sale <sup>25</sup>
	0.085	7.20	09/17/20	7.78	0.58 W	0.00	5 of 7 - Sale <sup>25</sup>
	0.730	61.64	09/17/20	66.70	5.06 W	0.00	6 of 7 - Sale <sup>25</sup>
	0.184	15.50	09/17/20	16.18	0.68 W	0.00	7 of 7 - Sale <sup>25</sup>
09/18/20	1.183	99.93	Various	108.34	8.41 W	0.00	Total of 7 transactions
14 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	0.001	0.14	09/17/20	0.13	...	0.01	1 of 14 - Sale <sup>25</sup>
	0.045	4.38	09/17/20	4.34	...	0.04	2 of 14 - Sale <sup>25</sup>
	0.071	6.89	09/17/20	6.82	...	0.07	3 of 14 - Sale <sup>25</sup>
	0.068	6.61	09/17/20	6.07	...	0.54	4 of 14 - Sale <sup>25</sup>
	0.085	8.32	09/17/20	7.63	...	0.69	5 of 14 - Sale <sup>25</sup>
	0.184	17.93	09/17/20	15.87	...	2.06	6 of 14 - Sale <sup>25</sup>
	0.329	32.13	09/17/20	27.88	...	4.25	7 of 14 - Sale <sup>25</sup>
	0.486	47.44	09/17/20	42.81	...	4.63	8 of 14 - Sale <sup>25</sup>
	0.599	58.47	09/17/20	52.77	...	5.70	9 of 14 - Sale <sup>25</sup>
	0.730	71.28	09/17/20	65.46	...	5.82	10 of 14 - Sale <sup>25</sup>
	0.627	61.23	09/17/20	53.11	...	8.12	11 of 14 - Sale <sup>25</sup>
	1.487	145.20	09/17/20	123.02	...	22.18	12 of 14 - Sale <sup>25</sup>
	3.776	368.80	09/17/20	319.94	...	48.86	13 of 14 - Sale <sup>25</sup>
	20.000	1,923.99	09/23/20	1,978.80	...	-54.81	14 of 14 - Sale <sup>25</sup>
09/23/20	28.486	2,752.81	Various	2,704.65	...	48.16	Total of 14 transactions
	<b>Security total:</b>	<b>4,074.81</b>		<b>4,079.17</b>	<b>59.20 W</b>	<b>54.84</b>	
PENN NATIONAL GAMING, INC. COM MON STOCK / CUSIP: 707569109 / Symbol:							
09/17/20	2.946	201.12	09/16/20	200.00	...	1.12	Sale <sup>25</sup>
09/18/20	6.821	493.87	09/17/20	500.00	...	-6.13	Sale <sup>25</sup>
	<b>Security total:</b>	<b>694.99</b>		<b>700.00</b>	...	<b>-5.01</b>	

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Robinhood Securities LLC

Account 484289756

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PINTEREST, INC. CLASS A COMMON STOCK / CUSIP: 72352L106 / Symbol:							
08/20/20	2.000	68.45	08/18/20	72.14	3.69 W	0.00	Sale <sup>25</sup>
08/21/20	2.000	68.02	08/20/20	73.19	...	-5.17	Sale <sup>25</sup>
08/21/20	1.000	34.01	08/18/20	36.07	2.06 W	0.00	Sale <sup>25</sup>
08/24/20	1.000	33.11	08/20/20	36.81	3.70 W	0.00	Sale <sup>25</sup>
<i>4 transactions for 09/23/20. Total proceeds and cost reported to the IRS.</i>							
	9.702	388.66	09/23/20	412.21	...	-23.55	1 of 4 - Sale <sup>25</sup>
	6.298	252.30	09/23/20	258.89	...	-6.59	2 of 4 - Sale <sup>25</sup>
	0.702	28.12	09/23/20	31.45	...	-3.33	3 of 4 - Sale <sup>25</sup>
	0.298	11.94	09/23/20	14.09	...	-2.15	4 of 4 - Sale <sup>25</sup>
09/23/20	17.000	681.02	Various	716.64	...	-35.62	Total of 4 transactions
09/23/20	0.298	11.94	09/23/20	13.36	1.42 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>896.55</b>		<b>948.21</b>	<b>10.87 W</b>	<b>-40.79</b>	
PIVOTAL INVESTMENT CORPORATION II / CUSIP: 72582K100 / Symbol:							
<i>3 transactions for 09/23/20. Total proceeds and cost reported to the IRS.</i>							
	0.596	7.68	09/18/20	7.15	...	0.53	1 of 3 - Sale <sup>25</sup>
	20.242	260.52	09/18/20	242.85	...	17.67	2 of 3 - Sale <sup>25</sup>
	7.758	99.85	09/21/20	100.00	...	-0.15	3 of 3 - Sale <sup>25</sup>
09/23/20	28.596	368.05	Various	350.00	...	18.05	Total of 3 transactions
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol:							
<i>2 transactions for 08/12/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	10.25	12/04/19	3.92	...	6.33	1 of 2 - Sale <sup>25</sup>
	3.000	30.75	07/17/20	27.39	...	3.36	2 of 2 - Sale <sup>25</sup>
08/12/20	4.000	41.00	Various	31.31	...	9.69	Total of 2 transactions
08/12/20	10.000	102.50	08/07/20	110.00	7.50 W	0.00	Sale <sup>25</sup>
<i>2 transactions for 08/20/20. Total proceeds and cost reported to the IRS.</i>							
	5.000	66.35	08/12/20	52.10	...	14.25	1 of 2 - Sale <sup>25</sup>
	10.000	132.70	08/12/20	111.70	...	21.00	2 of 2 - Sale <sup>25</sup>
08/20/20	15.000	199.05	Various	163.80	...	35.25	Total of 2 transactions
08/21/20	10.000	128.95	08/12/20	103.18	...	25.77	Sale <sup>25</sup>
08/21/20	10.000	128.95	08/21/20	137.75	8.80 W	0.00	Sale <sup>25</sup>

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Robinhood Securities LLC

Account 484289756

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
PLUG POWER, INC. COMMON STOCK / CUSIP: 72919P202 / Symbol: (cont'd)							
<i>10 transactions for 09/08/20. Total proceeds and cost reported to the IRS.</i>							
	4.000	44.84	08/24/20	51.12	6.28 W	0.00	1 of 10 - Sale <sup>25</sup>
	3.842	43.07	08/26/20	53.38	10.31 W	0.00	2 of 10 - Sale <sup>25</sup>
	2.158	24.19	08/27/20	29.84	5.65 W	0.00	3 of 10 - Sale <sup>25</sup>
	1.703	19.10	08/27/20	22.06	2.96 W	0.00	4 of 10 - Sale <sup>25</sup>
	0.805	9.02	09/02/20	10.37	1.35 W	0.00	5 of 10 - Sale <sup>25</sup>
	37.986	425.83	09/02/20	489.63	63.80 W	0.00	6 of 10 - Sale <sup>25</sup>
	1.000	11.21	09/03/20	12.25	1.04 W	0.00	7 of 10 - Sale <sup>25</sup>
	15.000	168.15	09/03/20	183.75	15.60 W	0.00	8 of 10 - Sale <sup>25</sup>
	16.821	188.57	09/03/20	200.00	11.43 W	0.00	9 of 10 - Sale <sup>25</sup>
	17.000	190.58	09/04/20	198.39	2.44 W	-5.37	10 of 10 - Sale <sup>25</sup>
09/08/20	100.315	1,124.56	Various	1,250.79	120.86 W	-5.37	Total of 10 transactions
<i>10 transactions for 09/11/20. Total proceeds and cost reported to the IRS.</i>							
	37.986	458.96	09/10/20	527.98	...	-69.02	1 of 10 - Sale <sup>25</sup>
	13.744	166.06	09/10/20	182.24	...	-16.18	2 of 10 - Sale <sup>25</sup>
	3.842	46.42	09/10/20	57.26	...	-10.84	3 of 10 - Sale <sup>25</sup>
	3.762	45.46	09/10/20	51.88	...	-6.42	4 of 10 - Sale <sup>25</sup>
	2.158	26.08	09/10/20	32.02	...	-5.94	5 of 10 - Sale <sup>25</sup>
	1.703	20.58	09/10/20	23.78	...	-3.20	6 of 10 - Sale <sup>25</sup>
	0.805	9.72	09/10/20	11.18	...	-1.46	7 of 10 - Sale <sup>25</sup>
	1.000	12.08	09/10/20	13.26	...	-1.18	8 of 10 - Sale <sup>25</sup>
	0.238	2.87	09/10/20	3.28	...	-0.41	9 of 10 - Sale <sup>25</sup>
	0.229	2.77	09/10/20	3.04	...	-0.27	10 of 10 - Sale <sup>25</sup>
09/11/20	65.467	791.00	Various	905.92	...	-114.92	Total of 10 transactions
<i>5 transactions for 09/17/20. Total proceeds and cost reported to the IRS.</i>							
	16.821	210.26	09/15/20	229.24	...	-18.98	1 of 5 - Sale <sup>25</sup>
	5.321	66.51	09/15/20	71.34	...	-4.83	2 of 5 - Sale <sup>25</sup>
	0.852	10.65	09/15/20	11.92	...	-1.27	3 of 5 - Sale <sup>25</sup>
	0.169	2.11	09/15/20	2.35	...	-0.24	4 of 5 - Sale <sup>25</sup>
	0.006	0.08	09/15/20	0.09	...	-0.01	5 of 5 - Sale <sup>25</sup>
09/17/20	23.169	289.61	Various	314.94	...	-25.33	Total of 5 transactions
	<b>Security total:</b>	<b>2,805.62</b>		<b>3,017.69</b>	<b>137.16 W</b>	<b>-74.91</b>	

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Robinhood Securities LLC

Account 484289756

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ROCKET COMPANIES, INC. / CUSIP: 77311W101 / Symbol:							
08/26/20	3.000	80.57	08/25/20	86.37	5.80 W	0.00	Sale <sup>25</sup>
	4 transactions for 09/03/20. Total proceeds and cost reported to the IRS.						
	5.000	140.57	09/02/20	160.33	...	-19.76	1 of 4 - Sale <sup>25</sup>
	2.582	72.61	09/02/20	87.79	...	-15.18	2 of 4 - Sale <sup>25</sup>
	0.413	11.60	09/02/20	15.67	...	-4.07	3 of 4 - Sale <sup>25</sup>
	0.005	0.14	09/02/20	0.19	...	-0.05	4 of 4 - Sale <sup>25</sup>
09/03/20	8.000	224.92	Various	263.98	...	-39.06	Total of 4 transactions
	2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.						
	0.413	11.60	09/02/20	14.04	2.44 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.005	0.14	09/02/20	0.17	0.03 W	0.00	2 of 2 - Sale <sup>25</sup>
09/03/20	0.418	11.74	Various	14.21	2.47 W	0.00	Total of 2 transactions
	<b>Security total:</b>	<b>317.23</b>		<b>364.56</b>	<b>8.27 W</b>	<b>-39.06</b>	
ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol:							
	2 transactions for 09/11/20. Total proceeds and cost reported to the IRS.						
	5.963	948.86	09/10/20	1,000.00	51.14 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	159.14	09/10/20	161.23	2.09 W	0.00	2 of 2 - Sale <sup>25</sup>
09/11/20	6.963	1,108.00	Various	1,161.23	53.23 W	0.00	Total of 2 transactions
09/17/20	2.895	478.18	09/16/20	524.83	46.65 W	0.00	Sale <sup>25</sup>
	9 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	1.977	369.44	09/23/20	419.27	...	-49.83	1 of 9 - Sale <sup>25</sup>
	0.917	171.35	09/23/20	190.59	...	-19.24	2 of 9 - Sale <sup>25</sup>
	1.023	191.05	09/23/20	209.12	...	-18.07	3 of 9 - Sale <sup>25</sup>
	1.000	186.78	09/23/20	198.51	...	-11.73	4 of 9 - Sale <sup>25</sup>
	0.955	178.35	09/23/20	189.82	...	-11.47	5 of 9 - Sale <sup>25</sup>
	1.000	186.83	09/23/20	198.01	...	-11.18	6 of 9 - Sale <sup>25</sup>
	2.037	380.66	09/23/20	390.56	...	-9.90	7 of 9 - Sale <sup>25</sup>
	0.045	8.48	09/23/20	9.02	...	-0.54	8 of 9 - Sale <sup>25</sup>
	0.046	8.54	09/23/20	9.07	...	-0.53	9 of 9 - Sale <sup>25</sup>
09/23/20	9.000	1,681.48	Various	1,813.97	...	-132.49	Total of 9 transactions
	4 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	0.045	8.77	09/22/20	9.09	0.32 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.955	184.24	09/22/20	191.07	6.83 W	0.00	2 of 4 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 484289756

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ROKU, INC. CLASS A COMMON STOC K / CUSIP: 77543R102 / Symbol: (cont'd)							
	0.046	8.82	09/22/20	9.13		0.00	3 of 4 - Sale <sup>25</sup>
	1.000	192.95	09/22/20	199.83	6.88 W	0.00	4 of 4 - Sale <sup>25</sup>
09/23/20	2.045	394.78	Various	409.12	14.34 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>3,662.44</b>		<b>3,909.15</b>	<b>114.22 W</b>	<b>-132.49</b>	
SPDR S&P 500 ETF TRUST / CUSIP: 78462F103 / Symbol:							
3 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	0.009	3.25	07/27/20	2.95	...	0.30	1 of 3 - Sale <sup>25</sup>
	0.766	271.54	07/27/20	247.05	...	24.49	2 of 3 - Sale <sup>25</sup>
	0.234	82.95	07/31/20	76.38	...	6.57	3 of 3 - Sale <sup>25</sup>
09/02/20	1.009	357.74	Various	326.38	...	31.36	Total of 3 transactions
SPDR GOLD TRUST, SPDR GOLD SHA RES / CUSIP: 78463V107 / Symbol: / Note: CL							
08/07/20	1.000	192.31	08/06/20	194.08	...	-1.77	3- Proceeds from collectibles [X] Sale <sup>25</sup>
SAILPOINT TECHNOLOGIES HOLDING S, INC. COMMON STOCK / CUSIP: 78781P105 / Symbol:							
3 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	2.532	97.84	08/24/20	100.00	...	-2.16	1 of 3 - Sale <sup>25</sup>
	3.000	115.91	08/25/20	116.97	...	-1.06	2 of 3 - Sale <sup>25</sup>
	1.000	38.64	08/25/20	39.16	...	-0.52	3 of 3 - Sale <sup>25</sup>
09/03/20	6.532	252.39	Various	256.13	...	-3.74	Total of 3 transactions
SEMTECH CORPORATION COMMON STO CK / CUSIP: 816850101 / Symbol:							
08/18/20	1.000	62.41	08/17/20	63.39	...	-0.98	Sale <sup>25</sup>
08/19/20	1.000	62.04	08/17/20	63.38	...	-1.34	Sale <sup>25</sup>
	<b>Security total:</b>	<b>124.45</b>		<b>126.77</b>	...	<b>-2.32</b>	
SHOPIFY INC. CLASS A SUBORDINA TE VOTING SHARES / CUSIP: 82509L107 / Symbol:							
09/21/20	0.332	297.03	09/18/20	300.00	...	-2.97	Sale <sup>25</sup>

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Account 484289756

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SLACK TECHNOLOGIES, INC. / CUSIP: 83088V102 / Symbol:							
2 transactions for 09/22/20. Total proceeds and cost reported to the IRS.							
	0.716	18.86	09/21/20	18.43	...	0.43	1 of 2 - Sale <sup>25</sup>
	8.998	236.93	09/21/20	231.57	...	5.36	2 of 2 - Sale <sup>25</sup>
09/22/20	9.714	255.79	Various	250.00	...	5.79	Total of 2 transactions
09/23/20	20.000	528.80	09/23/20	541.98	...	-13.18	Sale <sup>25</sup>
	<b>Security total:</b>	<b>784.59</b>		<b>791.98</b>	...	<b>-7.39</b>	
SNAP INC. / CUSIP: 83304A106 / Symbol:							
2 transactions for 08/18/20. Total proceeds and cost reported to the IRS.							
	1.000	21.71	12/30/19	16.05	...	5.66	1 of 2 - Sale <sup>25</sup>
	2.000	43.40	07/16/20	48.46	...	-5.06	2 of 2 - Sale <sup>25</sup>
08/18/20	3.000	65.11	Various	64.51	...	0.60	Total of 2 transactions
SNOWFLAKE INC. / CUSIP: 833445109 / Symbol:							
09/18/20	1.000	224.20	09/17/20	236.25	12.05 W	0.00	Sale <sup>25</sup>
3 transactions for 09/21/20. Total proceeds and cost reported to the IRS.							
	0.981	226.84	09/18/20	252.91	...	-26.07	1 of 3 - Sale <sup>25</sup>
	0.018	4.10	09/18/20	4.79	...	-0.69	2 of 3 - Sale <sup>25</sup>
	0.001	0.21	09/18/20	0.24	...	-0.03	3 of 3 - Sale <sup>25</sup>
09/21/20	1.000	231.15	Various	257.94	...	-26.79	Total of 3 transactions
09/21/20	0.018	4.11	09/18/20	4.55	0.44 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>459.46</b>		<b>498.74</b>	<b>12.49 W</b>	<b>-26.79</b>	
SOUTHWEST AIRLINES CO. / CUSIP: 844741108 / Symbol:							
4 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	2.000	82.98	08/14/20	69.74	...	13.24	1 of 4 - Sale <sup>25</sup>
	4.000	165.96	08/18/20	136.68	...	29.28	2 of 4 - Sale <sup>25</sup>
	2.851	118.31	08/19/20	100.00	...	18.31	3 of 4 - Sale <sup>25</sup>
	3.000	124.47	08/20/20	101.70	...	22.77	4 of 4 - Sale <sup>25</sup>
09/17/20	11.851	491.72	Various	408.12	...	83.60	Total of 4 transactions
3 transactions for 09/18/20. Total proceeds and cost reported to the IRS.							
	11.975	475.80	09/17/20	498.04	...	-22.24	1 of 3 - Sale <sup>25</sup>
	0.022	0.88	09/17/20	0.96	...	-0.08	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 484289756

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SOUTHWEST AIRLINES CO. / CUSIP: 844741108 / Symbol: (cont'd)							
	0.003	0.11	09/17/20	0.12	...	-0.01	3 of 3 - Sale <sup>25</sup>
09/18/20	12.000	476.79	Various	499.12	...	-22.33	Total of 3 transactions
09/18/20	0.022	0.88	09/17/20	0.92	0.04 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>969.39</b>		<b>908.16</b>	<b>0.04 W</b>	<b>61.27</b>	
SPARTAN ENERGY ACQUISITION COR P. / CUSIP: 846784106 / Symbol:							
2 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	20.000	314.00	09/14/20	348.00	34.00 W	0.00	1 of 2 - Sale <sup>25</sup>
	2.963	46.52	09/16/20	50.00	3.48 W	0.00	2 of 2 - Sale <sup>25</sup>
09/17/20	22.963	360.52	Various	398.00	37.48 W	0.00	Total of 2 transactions
7 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	19.992	296.06	09/23/20	356.86	...	-60.80	1 of 7 - Sale <sup>25</sup>
	50.000	740.47	09/23/20	759.50	...	-19.03	2 of 7 - Sale <sup>25</sup>
	18.789	278.25	09/23/20	292.35	...	-14.10	3 of 7 - Sale <sup>25</sup>
	7.249	107.35	09/23/20	117.07	...	-9.72	4 of 7 - Sale <sup>25</sup>
	2.963	43.88	09/23/20	51.33	...	-7.45	5 of 7 - Sale <sup>25</sup>
	0.008	0.13	09/23/20	0.16	...	-0.03	6 of 7 - Sale <sup>25</sup>
	1.000	14.81	09/23/20	14.80	...	0.01	7 of 7 - Sale <sup>25</sup>
09/23/20	100.000	1,480.95	Various	1,592.07	...	-111.12	Total of 7 transactions
6 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	0.008	0.13	09/18/20	0.15	0.02 W	0.00	1 of 6 - Sale <sup>25</sup>
	19.992	303.86	09/18/20	364.85	60.99 W	0.00	2 of 6 - Sale <sup>25</sup>
	2.963	45.04	09/18/20	52.52	7.48 W	0.00	3 of 6 - Sale <sup>25</sup>
	7.249	110.17	09/18/20	119.96	9.79 W	0.00	4 of 6 - Sale <sup>25</sup>
	0.008	0.13	09/22/20	0.16	0.03 W	0.00	5 of 6 - Sale <sup>25</sup>
	18.789	285.58	09/22/20	299.86	14.28 W	0.00	6 of 6 - Sale <sup>25</sup>
09/23/20	49.008	744.91	Various	837.50	92.59 W	0.00	Total of 6 transactions
	<b>Security total:</b>	<b>2,586.38</b>		<b>2,827.57</b>	<b>130.07 W</b>	<b>-111.12</b>	
SQUARE, INC. / CUSIP: 852234103 / Symbol:							
5 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	0.017	2.61	08/20/20	2.66	0.05 W	0.00	1 of 5 - Sale <sup>25</sup>
	0.983	149.12	08/20/20	151.88	2.76 W	0.00	2 of 5 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 484289756

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
SQUARE, INC. / CUSIP: 852234103 / Symbol: (cont'd)							
	0.017	2.61	09/01/20	2.90	0.29 W	0.00	3 of 5 - Sale <sup>25</sup>
	0.000	0.04	09/01/20	0.05	0.01 W	0.00	4 of 5 - Sale <sup>25</sup>
	3.000	455.16	09/01/20	497.10	41.94 W	0.00	5 of 5 - Sale <sup>25</sup>
09/03/20	4.017	609.54	Various	654.59	45.05 W	0.00	Total of 5 transactions
	5 transactions for 09/08/20. Total proceeds and cost reported to the IRS.						
	2.000	273.96	09/04/20	328.72	...	-54.76	1 of 5 - Sale <sup>25</sup>
	1.000	136.60	09/04/20	164.38	...	-27.78	2 of 5 - Sale <sup>25</sup>
	0.983	134.64	09/04/20	150.57	...	-15.93	3 of 5 - Sale <sup>25</sup>
	0.017	2.36	09/04/20	2.88	...	-0.52	4 of 5 - Sale <sup>25</sup>
	0.000	0.04	09/04/20	0.05	...	-0.01	5 of 5 - Sale <sup>25</sup>
09/08/20	4.000	547.60	Various	646.60	...	-99.00	Total of 5 transactions
	<b>Security total:</b>	<b>1,157.14</b>		<b>1,301.19</b>	<b>45.05 W</b>	<b>-99.00</b>	
STITCH FIX, INC. CLASS A COMMO N STOCK / CUSIP: 860897107 / Symbol:							
08/31/20	1.000	24.08	08/24/20	24.92	...	-0.84	Sale <sup>25</sup>
SUNWORKS, INC. COMMON STOCK / CUSIP: 86803X204 / Symbol:							
	4 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	500.000	694.92	09/23/20	730.00	...	-35.08	1 of 4 - Sale <sup>25</sup>
	249.000	353.54	09/23/20	363.54	...	-10.00	2 of 4 - Sale <sup>25</sup>
	93.000	132.04	09/23/20	139.51	...	-7.47	3 of 4 - Sale <sup>25</sup>
	65.000	92.29	09/23/20	94.90	...	-2.61	4 of 4 - Sale <sup>25</sup>
09/23/20	907.000	1,272.79	Various	1,327.95	...	-55.16	Total of 4 transactions
09/23/20	93.000	132.05	09/23/20	135.78	3.73 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,404.84</b>		<b>1,463.73</b>	<b>3.73 W</b>	<b>-55.16</b>	
TAIWAN SEMICONDUCTOR MANUFACTU RING COMPANY LTD. / CUSIP: 874039100 / Symbol:							
09/04/20	6.203	485.86	09/02/20	500.00	14.14 W	0.00	Sale <sup>25</sup>
09/11/20	3.797	303.31	09/10/20	302.74	...	0.57	Sale <sup>25</sup>
09/11/20	6.203	495.60	09/10/20	508.80	12.83 W	-0.37	Sale <sup>25</sup>
	3 transactions for 09/17/20. Total proceeds and cost reported to the IRS.						
	6.000	486.27	09/15/20	510.26	...	-23.99	1 of 3 - Sale <sup>25</sup>
	0.030	2.43	09/15/20	2.54	...	-0.11	2 of 3 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 484289756

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY LTD. / CUSIP: 874039100 / Symbol: (cont'd)							
	0.000	0.03	09/15/20	0.03	...	0.00	3 of 3 - Sale <sup>25</sup>
09/17/20	6.030	488.73	Various	512.83	...	-24.10	Total of 3 transactions
	<b>Security total:</b>	<b>1,773.50</b>		<b>1,824.37</b>	<b>26.97 W</b>	<b>-23.90</b>	
TATA MOTORS LIMITED / CUSIP: 876568502 / Symbol:							
08/27/20	0.781	7.49	08/26/20	7.53	...	-0.04	Sale <sup>25</sup>
TELADOC HEALTH, INC. / CUSIP: 87918A105 / Symbol:							
09/23/20	1.968	413.33	09/18/20	400.00	...	13.33	Sale <sup>25</sup>
TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol:							
08/11/20	0.234	321.92	07/27/20	334.79	12.87 W	0.00	Sale <sup>25</sup>
	6 transactions for 09/01/20. Total proceeds and cost reported to the IRS.						
	0.009	4.18	08/11/20	2.57	...	1.61	1 of 6 - Sale <sup>25</sup>
	0.903	430.08	08/11/20	264.45	...	165.63	2 of 6 - Sale <sup>25</sup>
	0.008	3.81	08/19/20	2.99	...	0.82	3 of 6 - Sale <sup>25</sup>
	0.188	89.28	08/19/20	70.00	...	19.28	4 of 6 - Sale <sup>25</sup>
	0.259	123.27	08/19/20	99.86	...	23.41	5 of 6 - Sale <sup>25</sup>
	0.642	305.61	08/31/20	300.00	...	5.61	6 of 6 - Sale <sup>25</sup>
09/01/20	2.009	956.23	Various	739.87	...	216.36	Total of 6 transactions
	9 transactions for 09/03/20. Total proceeds and cost reported to the IRS.						
	0.039	16.55	09/01/20	19.33	2.78 W	0.00	1 of 9 - Sale <sup>25</sup>
	0.151	63.57	09/01/20	74.27	10.70 W	0.00	2 of 9 - Sale <sup>25</sup>
	0.039	16.55	09/01/20	22.12	5.57 W	0.00	3 of 9 - Sale <sup>25</sup>
	0.961	403.29	09/01/20	471.25	67.96 W	0.00	4 of 9 - Sale <sup>25</sup>
	0.000	0.04	09/01/20	0.05	0.01 W	0.00	5 of 9 - Sale <sup>25</sup>
	0.847	349.47	09/01/20	415.76	66.29 W	0.00	6 of 9 - Sale <sup>25</sup>
	0.039	16.26	09/02/20	23.36	7.10 W	0.00	7 of 9 - Sale <sup>25</sup>
	0.151	62.43	09/02/20	79.03	16.60 W	0.00	8 of 9 - Sale <sup>25</sup>
	0.809	333.68	09/02/20	422.48	88.80 W	0.00	9 of 9 - Sale <sup>25</sup>
09/03/20	3.038	1,261.84	Various	1,527.65	265.81 W	0.00	Total of 9 transactions
	7 transactions for 09/08/20. Total proceeds and cost reported to the IRS.						
	0.039	13.88	09/04/20	22.95	9.07 W	0.00	1 of 7 - Sale <sup>25</sup>
	0.151	53.29	09/04/20	77.46	24.17 W	0.00	2 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 484289756

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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TESLA, INC. COMMON STOCK / CUSIP: 88160R101 / Symbol: (cont'd)							
	0.809	284.81	09/04/20	414.09	129.28 W	0.00	3 of 7 - Sale <sup>25</sup>
	0.000	0.03	09/04/20	0.05	0.02 W	0.00	4 of 7 - Sale <sup>25</sup>
	0.151	53.29	09/04/20	71.57	18.28 W	0.00	5 of 7 - Sale <sup>25</sup>
	0.847	298.29	09/04/20	406.97	108.68 W	0.00	6 of 7 - Sale <sup>25</sup>
	0.001	0.36	09/04/20	0.42	0.06 W	0.00	7 of 7 - Sale <sup>25</sup>
09/08/20	2.000	703.95	Various	993.51	289.56 W	0.00	Total of 7 transactions
09/16/20	1.000	439.05	09/14/20	419.58	...	19.47	Sale <sup>25</sup>
	7 transactions for 09/16/20. Total proceeds and cost reported to the IRS.						
	0.039	17.31	09/14/20	25.61	8.30 W	0.00	1 of 7 - Sale <sup>25</sup>
	0.151	66.47	09/14/20	87.69	21.22 W	0.00	2 of 7 - Sale <sup>25</sup>
	0.809	355.27	09/14/20	468.80	113.53 W	0.00	3 of 7 - Sale <sup>25</sup>
	0.000	0.04	09/14/20	0.06	0.02 W	0.00	4 of 7 - Sale <sup>25</sup>
	0.151	66.47	09/14/20	81.80	15.33 W	0.00	5 of 7 - Sale <sup>25</sup>
	0.847	372.08	09/14/20	464.27	92.19 W	0.00	6 of 7 - Sale <sup>25</sup>
	0.001	0.46	09/14/20	0.50	0.04 W	0.00	7 of 7 - Sale <sup>25</sup>
09/16/20	2.000	878.10	Various	1,128.73	250.63 W	0.00	Total of 7 transactions
	4 transactions for 09/17/20. Total proceeds and cost reported to the IRS.						
	0.847	349.78	09/16/20	467.50	...	-117.72	1 of 4 - Sale <sup>25</sup>
	0.151	62.48	09/16/20	82.38	...	-19.90	2 of 4 - Sale <sup>25</sup>
	0.001	0.43	09/16/20	0.50	...	-0.07	3 of 4 - Sale <sup>25</sup>
	0.000	0.04	09/16/20	0.06	...	-0.02	4 of 4 - Sale <sup>25</sup>
09/17/20	1.000	412.73	Various	550.44	...	-137.71	Total of 4 transactions
	3 transactions for 09/17/20. Total proceeds and cost reported to the IRS.						
	0.039	16.27	09/16/20	25.76	9.49 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.151	62.48	09/16/20	88.27	25.79 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.809	333.98	09/16/20	471.89	137.91 W	0.00	3 of 3 - Sale <sup>25</sup>
09/17/20	1.000	412.73	Various	585.92	173.19 W	0.00	Total of 3 transactions
	3 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	0.809	308.61	09/23/20	455.49	...	-146.88	1 of 3 - Sale <sup>25</sup>
	0.151	57.74	09/23/20	85.21	...	-27.47	2 of 3 - Sale <sup>25</sup>
	0.039	15.04	09/23/20	24.96	...	-9.92	3 of 3 - Sale <sup>25</sup>
09/23/20	1.000	381.39	Various	565.66	...	-184.27	Total of 3 transactions
	<b>Security total:</b>	<b>5,767.94</b>		<b>6,846.15</b>	<b>992.06 W</b>	<b>-86.15</b>	

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Robinhood Securities LLC

Account 484289756

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TORTOISE ACQUISITION CORP. CLA SS A COMMON STOCK / CUSIP: 89154L100 / Symbol:							
5 transactions for 08/27/20. Total proceeds and cost reported to the IRS.							
	0.167	6.05	08/25/20	6.33	0.28 W	0.00	1 of 5 - Sale <sup>25</sup>
	1.412	51.26	08/25/20	53.63	2.37 W	0.00	2 of 5 - Sale <sup>25</sup>
	0.167	6.05	08/25/20	6.59	0.54 W	0.00	3 of 5 - Sale <sup>25</sup>
	0.428	15.54	08/25/20	16.23	0.69 W	0.00	4 of 5 - Sale <sup>25</sup>
	5.994	217.64	08/25/20	227.46	9.82 W	0.00	5 of 5 - Sale <sup>25</sup>
08/27/20	8.167	296.54	Various	310.24	13.70 W	0.00	Total of 5 transactions
4 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	0.167	8.35	09/02/20	9.08	0.73 W	0.00	1 of 4 - Sale <sup>25</sup>
	1.412	70.82	09/02/20	74.76	3.94 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.428	21.47	09/02/20	22.64	1.17 W	0.00	3 of 4 - Sale <sup>25</sup>
	2.994	150.18	09/02/20	158.40	8.22 W	0.00	4 of 4 - Sale <sup>25</sup>
09/03/20	5.000	250.82	Various	264.88	14.06 W	0.00	Total of 4 transactions
4 transactions for 09/08/20. Total proceeds and cost reported to the IRS.							
	0.167	8.19	09/04/20	9.04	0.85 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.422	20.73	09/04/20	22.18	1.45 W	0.00	2 of 4 - Sale <sup>25</sup>
	1.412	69.39	09/04/20	74.34	4.95 W	0.00	3 of 4 - Sale <sup>25</sup>
	3.000	147.45	09/04/20	154.52	7.07 W	0.00	4 of 4 - Sale <sup>25</sup>
09/08/20	5.000	245.76	Various	260.08	14.32 W	0.00	Total of 4 transactions
4 transactions for 09/10/20. Total proceeds and cost reported to the IRS.							
	0.167	8.13	09/08/20	9.86	1.73 W	0.00	1 of 4 - Sale <sup>25</sup>
	0.833	40.66	09/08/20	48.03	7.37 W	0.00	2 of 4 - Sale <sup>25</sup>
	0.006	0.31	09/08/20	0.36	0.05 W	0.00	3 of 4 - Sale <sup>25</sup>
	2.994	146.06	09/08/20	170.22	24.16 W	0.00	4 of 4 - Sale <sup>25</sup>
09/10/20	4.000	195.16	Various	228.47	33.31 W	0.00	Total of 4 transactions
7 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	0.167	7.47	09/14/20	8.97	1.50 W	0.00	1 of 7 - Sale <sup>25</sup>
	0.833	37.38	09/14/20	43.63	6.25 W	0.00	2 of 7 - Sale <sup>25</sup>
	0.422	18.91	09/14/20	19.79	0.88 W	0.00	3 of 7 - Sale <sup>25</sup>
	0.578	25.94	09/14/20	27.19	1.25 W	0.00	4 of 7 - Sale <sup>25</sup>
	3.000	134.55	09/14/20	137.57	3.02 W	0.00	5 of 7 - Sale <sup>25</sup>
	0.006	0.28	09/14/20	0.33	0.05 W	0.00	6 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 484289756

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
TORTOISE ACQUISITION CORP. CLA SS A COMMON STOCK / CUSIP: 89154L100 / Symbol: (cont'd)							
	2.994	134.27	09/14/20	154.38	20.11 W	0.00	7 of 7 - Sale <sup>25</sup>
09/17/20	8.000	358.80	Various	391.86	33.06 W	0.00	Total of 7 transactions
09/22/20	0.002	0.09	09/18/20	0.11	...	-0.02	Sale <sup>25</sup>
8 transactions for 09/22/20. Total proceeds and cost reported to the IRS.							
	1.000	48.74	09/18/20	49.89	0.17 W	-0.98	1 of 8 - Sale <sup>25</sup>
	0.076	3.69	09/18/20	4.37	0.68 W	0.00	2 of 8 - Sale <sup>25</sup>
	0.091	4.43	09/18/20	5.26	0.83 W	0.00	3 of 8 - Sale <sup>25</sup>
	0.071	3.46	09/18/20	4.00	0.54 W	0.00	4 of 8 - Sale <sup>25</sup>
	0.076	3.69	09/18/20	4.38	0.69 W	0.00	5 of 8 - Sale <sup>25</sup>
	0.762	37.16	09/18/20	42.99	5.83 W	0.00	6 of 8 - Sale <sup>25</sup>
	0.422	20.55	09/18/20	21.49	0.94 W	0.00	7 of 8 - Sale <sup>25</sup>
	0.578	28.19	09/18/20	29.52	1.33 W	0.00	8 of 8 - Sale <sup>25</sup>
09/22/20	3.076	149.91	Various	161.90	11.01 W	-0.98	Total of 8 transactions
11 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	2.994	143.86	09/18/20	166.44	...	-22.58	1 of 11 - Sale <sup>25</sup>
	0.762	36.64	09/18/20	43.14	...	-6.50	2 of 11 - Sale <sup>25</sup>
	2.000	96.11	09/18/20	99.77	...	-3.66	3 of 11 - Sale <sup>25</sup>
	0.578	27.79	09/18/20	29.63	...	-1.84	4 of 11 - Sale <sup>25</sup>
	0.422	20.26	09/18/20	21.57	...	-1.31	5 of 11 - Sale <sup>25</sup>
	0.089	4.29	09/18/20	5.16	...	-0.87	6 of 11 - Sale <sup>25</sup>
	0.076	3.64	09/18/20	4.39	...	-0.75	7 of 11 - Sale <sup>25</sup>
	0.061	2.92	09/18/20	3.43	...	-0.51	8 of 11 - Sale <sup>25</sup>
	0.152	7.29	09/18/20	7.59	...	-0.30	9 of 11 - Sale <sup>25</sup>
	0.010	0.49	09/18/20	0.58	...	-0.09	10 of 11 - Sale <sup>25</sup>
	0.006	0.30	09/18/20	0.36	...	-0.06	11 of 11 - Sale <sup>25</sup>
09/23/20	7.150	343.59	Various	382.06	...	-38.47	Total of 11 transactions
<b>Security total:</b>		<b>1,840.67</b>		<b>1,999.60</b>	<b>119.46 W</b>	<b>-39.47</b>	
TREVENA, INC. COMMON STOCK / CUSIP: 89532E109 / Symbol:							
3 transactions for 08/11/20. Total proceeds and cost reported to the IRS.							
	0.620	1.76	08/10/20	1.95	...	-0.19	1 of 3 - Sale <sup>25</sup>
	0.290	0.82	08/10/20	0.91	...	-0.09	2 of 3 - Sale <sup>25</sup>
	0.065	0.19	08/10/20	0.21	...	-0.02	3 of 3 - Sale <sup>25</sup>
08/11/20	0.976	2.77	Various	3.07	...	-0.30	Total of 3 transactions

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Account 484289756

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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TRINE ACQUISITION CORP. CLASS A COMMON STOCK / CUSIP: 89628U108 / Symbol:							
09/23/20	12.967	147.70	09/22/20	150.00	...	-2.30	Sale <sup>25</sup>
TWITTER, INC. / CUSIP: 90184L102 / Symbol:							
3 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	7.000	319.44	09/23/20	321.42	...	-1.98	1 of 3 - Sale <sup>25</sup>
	0.638	29.11	09/23/20	28.83	...	0.28	2 of 3 - Sale <sup>25</sup>
	5.999	273.77	09/23/20	271.17	...	2.60	3 of 3 - Sale <sup>25</sup>
09/23/20	13.637	622.32	Various	621.42	...	0.90	Total of 3 transactions
UNITED AIRLINES HOLDINGS, INC. COMMON STOCK / CUSIP: 910047109 / Symbol:							
2 transactions for 08/17/20. Total proceeds and cost reported to the IRS.							
	1.000	36.15	08/10/20	36.61	...	-0.46	1 of 2 - Sale <sup>25</sup>
	1.000	36.14	08/13/20	36.00	...	0.14	2 of 2 - Sale <sup>25</sup>
08/17/20	2.000	72.29	Various	72.61	...	-0.32	Total of 2 transactions
UNITED PARCEL SERVICE, INC. CLASS B / CUSIP: 911312106 / Symbol:							
09/04/20	1.000	155.48	07/30/20	139.48	...	16.00	Sale <sup>25</sup>
09/04/20	1.000	155.48	08/10/20	157.49	2.01 W	0.00	Sale <sup>25</sup>
2 transactions for 09/17/20. Total proceeds and cost reported to the IRS.							
	1.000	157.62	09/16/20	162.02	...	-4.40	1 of 2 - Sale <sup>25</sup>
	1.000	157.62	09/16/20	160.02	...	-2.40	2 of 2 - Sale <sup>25</sup>
09/17/20	2.000	315.24	Various	322.04	...	-6.80	Total of 2 transactions
	<b>Security total:</b>	<b>626.20</b>		<b>619.01</b>	<b>2.01 W</b>	<b>9.20</b>	
UPWORK INC. COMMON STOCK / CUSIP: 91688F104 / Symbol:							
4 transactions for 09/03/20. Total proceeds and cost reported to the IRS.							
	0.402	5.86	08/25/20	5.71	...	0.15	1 of 4 - Sale <sup>25</sup>
	2.598	37.86	08/25/20	36.95	...	0.91	2 of 4 - Sale <sup>25</sup>
	2.999	43.70	09/03/20	44.09	...	-0.39	3 of 4 - Sale <sup>25</sup>
	0.403	5.86	09/03/20	5.91	...	-0.05	4 of 4 - Sale <sup>25</sup>
09/03/20	6.402	93.28	Various	92.66	...	0.62	Total of 4 transactions
VANECK VECTORS SEMICONDUCTOR ETF / CUSIP: 92189F676 / Symbol:							
09/03/20	2.000	345.78	09/02/20	361.70	...	-15.92	Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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VANGUARD S&P 500 ETF / CUSIP: 922908363 / Symbol:							
09/02/20	1.000	325.79	07/24/20	295.74	...	30.05	Sale <sup>25</sup>
VANGUARD TOTAL STOCK MARKET ET F / CUSIP: 922908769 / Symbol:							
08/25/20	1.000	173.65	08/10/20	169.97	...	3.68	Sale <sup>25</sup>
VIRGIN GALACTIC HOLDINGS, INC. / CUSIP: 92766K106 / Symbol:							
2 transactions for 09/22/20. Total proceeds and cost reported to the IRS.							
	15.000	244.35	09/21/20	246.75	...	-2.40	1 of 2 - Sale <sup>25</sup>
	0.198	3.22	09/21/20	3.25	...	-0.03	2 of 2 - Sale <sup>25</sup>
09/22/20	15.198	247.57	Various	250.00	...	-2.43	Total of 2 transactions
VIRNETX HOLDING CORPORATION / CUSIP: 92823T108 / Symbol:							
09/03/20	17.575	95.86	09/02/20	100.00	...	-4.14	Sale <sup>25</sup>
WALMART INC. / CUSIP: 931142103 / Symbol:							
08/31/20	1.000	136.40	08/28/20	141.30	4.90 W	0.00	Sale <sup>25</sup>
2 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	1.000	140.89	09/01/20	147.38	...	-6.49	1 of 2 - Sale <sup>25</sup>
	2.509	353.51	09/01/20	357.52	...	-4.01	2 of 2 - Sale <sup>25</sup>
09/04/20	3.509	494.40	Various	504.90	...	-10.50	Total of 2 transactions
	<b>Security total:</b>	<b>630.80</b>		<b>646.20</b>	<b>4.90 W</b>	<b>-10.50</b>	
WAYFAIR INC. / CUSIP: 94419L101 / Symbol:							
08/31/20	0.596	179.17	08/24/20	200.00	...	-20.83	Sale <sup>25</sup>
WORKDAY, INC. CLASS A COMMON S TOCK / CUSIP: 98138H101 / Symbol:							
3 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	0.380	89.51	08/27/20	92.35	...	-2.84	1 of 3 - Sale <sup>25</sup>
	0.556	131.17	08/28/20	134.51	...	-3.34	2 of 3 - Sale <sup>25</sup>
	0.064	15.10	08/28/20	15.97	...	-0.87	3 of 3 - Sale <sup>25</sup>
09/02/20	1.000	235.78	Various	242.83	...	-7.05	Total of 3 transactions
09/02/20	0.064	15.10	08/27/20	15.58	0.48 W	0.00	Sale <sup>25</sup>
	<b>Security total:</b>	<b>250.88</b>		<b>258.41</b>	<b>0.48 W</b>	<b>-7.05</b>	

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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WORKHORSE GROUP, INC. COMMON S TOCK / CUSIP: 98138J206 / Symbol:							
09/09/20	9.000	200.88	09/08/20	195.21	...	5.67	Sale <sup>25</sup>
09/17/20	10.000	257.80	09/16/20	267.30	9.50 W	0.00	Sale <sup>25</sup>
	2 transactions for 09/22/20. Total proceeds and cost reported to the IRS.						
	10.000	276.09	09/17/20	289.17	13.08 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.727	20.07	09/17/20	20.33	0.26 W	0.00	2 of 2 - Sale <sup>25</sup>
09/22/20	10.727	296.16	Various	309.50	13.34 W	0.00	Total of 2 transactions
	4 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	10.000	250.16	09/22/20	287.86	...	-37.70	1 of 4 - Sale <sup>25</sup>
	1.819	45.51	09/22/20	50.00	...	-4.49	2 of 4 - Sale <sup>25</sup>
	0.727	18.19	09/22/20	20.24	...	-2.05	3 of 4 - Sale <sup>25</sup>
	0.191	4.77	09/22/20	5.24	...	-0.47	4 of 4 - Sale <sup>25</sup>
09/23/20	12.737	318.63	Various	363.34	...	-44.71	Total of 4 transactions
	<b>Security total:</b>	<b>1,073.47</b>		<b>1,135.35</b>	<b>22.84 W</b>	<b>-39.04</b>	
WRAP TECHNOLOGIES, INC. COMMON STOCK / CUSIP: 98212N107 / Symbol:							
08/27/20	6.000	48.96	08/26/20	52.56	...	-3.60	Sale <sup>25</sup>
08/31/20	4.000	34.34	08/26/20	35.04	...	-0.70	Sale <sup>25</sup>
	<b>Security total:</b>	<b>83.30</b>		<b>87.60</b>	...	<b>-4.30</b>	
ZILLOW GROUP, INC. CLASS C CAP ITAL STOCK / CUSIP: 98954M200 / Symbol:							
	3 transactions for 08/25/20. Total proceeds and cost reported to the IRS.						
	0.017	1.34	08/20/20	1.40	0.06 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.017	1.34	08/20/20	1.46	0.12 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.983	79.30	08/20/20	82.87	3.57 W	0.00	3 of 3 - Sale <sup>25</sup>
08/25/20	1.017	81.98	Various	85.73	3.75 W	0.00	Total of 3 transactions
	3 transactions for 09/03/20. Total proceeds and cost reported to the IRS.						
	1.849	153.16	08/28/20	159.00	3.16 W	-2.68	1 of 3 - Sale <sup>25</sup>
	0.017	1.38	08/28/20	1.55	0.17 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.983	81.46	08/28/20	88.14	6.68 W	0.00	3 of 3 - Sale <sup>25</sup>
09/03/20	2.849	236.00	Various	248.69	10.01 W	-2.68	Total of 3 transactions
	3 transactions for 09/08/20. Total proceeds and cost reported to the IRS.						
	0.983	79.65	09/04/20	90.27	...	-10.62	1 of 3 - Sale <sup>25</sup>
	1.000	81.00	09/04/20	88.16	...	-7.16	2 of 3 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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ZILLOW GROUP, INC. CLASS C CAP ITAL STOCK / CUSIP: 98954M200 / Symbol: (cont'd)							
	0.017	1.35	09/04/20	1.58	...	-0.23	3 of 3 - Sale <sup>25</sup>
09/08/20	2.000	162.00	Various	180.01	...	-18.01	Total of 3 transactions
	<b>Security total:</b>	<b>479.98</b>		<b>514.43</b>	<b>13.76 W</b>	<b>-20.69</b>	
ZOVIO INC. COMMON STOCK / CUSIP: 98979V102 / Symbol:							
08/31/20	10.718	45.77	08/25/20	50.00	...	-4.23	Sale <sup>25</sup>
ZOOM VIDEO COMMUNICATIONS, INC . CLASS A COMMON STOCK / CUSIP: 98980L101 / Symbol:							
08/24/20	1.000	278.14	08/18/20	274.75	...	3.39	Sale <sup>25</sup>
	2 transactions for 09/03/20. Total proceeds and cost reported to the IRS.						
	0.002	0.80	08/28/20	0.62	...	0.18	1 of 2 - Sale <sup>25</sup>
	0.500	191.84	08/28/20	149.38	...	42.46	2 of 2 - Sale <sup>25</sup>
09/03/20	0.502	192.64	Various	150.00	...	42.64	Total of 2 transactions
09/03/20	0.500	191.84	09/02/20	213.10	21.26 W	0.00	Sale <sup>25</sup>
	2 transactions for 09/08/20. Total proceeds and cost reported to the IRS.						
	0.500	175.50	09/04/20	212.01	36.51 W	0.00	1 of 2 - Sale <sup>25</sup>
	0.500	175.50	09/04/20	190.75	15.25 W	0.00	2 of 2 - Sale <sup>25</sup>
09/08/20	1.000	351.00	Various	402.76	51.76 W	0.00	Total of 2 transactions
09/14/20	0.254	100.43	09/11/20	101.41	...	-0.98	Sale <sup>25</sup>
	3 transactions for 09/14/20. Total proceeds and cost reported to the IRS.						
	0.254	100.47	09/11/20	119.99	19.52 W	0.00	1 of 3 - Sale <sup>25</sup>
	0.246	96.94	09/11/20	115.82	18.88 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.500	197.38	09/11/20	214.54	17.16 W	0.00	3 of 3 - Sale <sup>25</sup>
09/14/20	1.000	394.79	Various	450.35	55.56 W	0.00	Total of 3 transactions
	3 transactions for 09/23/20. Total proceeds and cost reported to the IRS.						
	0.500	248.68	09/23/20	276.53	...	-27.85	1 of 3 - Sale <sup>25</sup>
	0.254	126.54	09/23/20	151.49	...	-24.95	2 of 3 - Sale <sup>25</sup>
	0.246	122.15	09/23/20	146.27	...	-24.12	3 of 3 - Sale <sup>25</sup>
09/23/20	1.000	497.37	Various	574.29	...	-76.92	Total of 3 transactions
	<b>Security total:</b>	<b>2,006.21</b>		<b>2,166.66</b>	<b>128.58 W</b>	<b>-31.87</b>	

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(continued)

02/14/2021

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ZYNGA INC. CLASS A COMMON STOC K / CUSIP: 98986T108 / Symbol:							
08/27/20	3.000	27.00	08/20/20	28.35	...	-1.35	Sale <sup>25</sup>
08/27/20	2.000	18.01	08/20/20	18.90	0.89 W	0.00	Sale <sup>25</sup>
2 transactions for 09/02/20. Total proceeds and cost reported to the IRS.							
	2.000	17.95	08/20/20	19.79	...	-1.84	1 of 2 - Sale <sup>25</sup>
	3.000	26.91	08/20/20	28.35	...	-1.44	2 of 2 - Sale <sup>25</sup>
09/02/20	5.000	44.86	Various	48.14	...	-3.28	Total of 2 transactions
<b>Security total:</b>		<b>89.87</b>		<b>95.39</b>	<b>0.89 W</b>	<b>-4.63</b>	
EBANG INTERNATIONAL HOLDINGS I NC. CLASS A ORDINARY SHARES / CUSIP: G3R33A106 / Symbol:							
09/04/20	14.826	123.35	09/03/20	100.00	...	23.35	Sale <sup>25</sup>
SOCIAL CAPITAL HEDOSOPHIA HOLD INGS CORP. II / CUSIP: G8250T109 / Symbol:							
3 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	0.551	9.26	09/21/20	8.43	...	0.83	1 of 3 - Sale <sup>25</sup>
	10.211	171.34	09/21/20	150.00	...	21.34	2 of 3 - Sale <sup>25</sup>
	15.789	264.94	09/21/20	241.57	...	23.37	3 of 3 - Sale <sup>25</sup>
09/23/20	26.551	445.54	Various	400.00	...	45.54	Total of 3 transactions
SPI ENERGY CO., LTD. ORDINARY SHARES / CUSIP: G8651P110 / Symbol:							
4 transactions for 09/23/20. Total proceeds and cost reported to the IRS.							
	7.000	150.85	09/23/20	151.26	...	-0.41	1 of 4 - Sale <sup>25</sup>
	33.000	711.13	09/23/20	638.55	...	72.58	2 of 4 - Sale <sup>25</sup>
	25.000	637.73	09/23/20	366.40	...	271.33	3 of 4 - Sale <sup>25</sup>
	32.000	816.29	09/23/20	463.36	...	352.93	4 of 4 - Sale <sup>25</sup>
09/23/20	97.000	2,316.00	Various	1,619.57	...	696.43	Total of 4 transactions
09/23/20	7.000	175.91	09/23/20	191.72	15.81 W	0.00	Sale <sup>25</sup>
<b>Security total:</b>		<b>2,491.91</b>		<b>1,811.29</b>	<b>15.81 W</b>	<b>696.43</b>	
TAOPING INC. ORDINARY SHARES / CUSIP: G8675V119 / Symbol:							
3 transactions for 07/30/20. Total proceeds and cost reported to the IRS.							
	1.000	9.45	07/30/20	9.00	...	0.45	1 of 3 - Sale <sup>25</sup>
	47.000	521.68	07/30/20	474.70	...	46.98	2 of 3 - Sale <sup>25</sup>
	49.000	490.00	07/30/20	441.00	...	49.00	3 of 3 - Sale <sup>25</sup>
07/30/20	97.000	1,021.13	Various	924.70	...	96.43	Total of 3 transactions

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 484289756

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
CRISPR THERAPEUTICS AG COMMON SHARES / CUSIP: H17182108 / Symbol:							
09/03/20	5.000	432.72	09/02/20	456.25	10.98 W	-12.55	Sale <sup>25</sup>
	<i>3 transactions for 09/23/20. Total proceeds and cost reported to the IRS.</i>						
	2.000	173.71	09/18/20	180.84	...	-7.13	1 of 3 - Sale <sup>25</sup>
	0.333	28.93	09/18/20	30.10	...	-1.17	2 of 3 - Sale <sup>25</sup>
	0.000	0.03	09/18/20	0.04	...	-0.01	3 of 3 - Sale <sup>25</sup>
09/23/20	2.333	202.67	Various	210.98	...	-8.31	Total of 3 transactions
	<b>Security total:</b>	<b>635.39</b>		<b>667.23</b>	<b>10.98 W</b>	<b>-20.86</b>	
SPOTIFY TECHNOLOGY S.A. / CUSIP: L8681T102 / Symbol:							
09/21/20	1.688	391.87	09/18/20	400.00	...	-8.13	Sale <sup>25</sup>
FIVERR INTERNATIONAL LTD. / CUSIP: M4R82T106 / Symbol:							
	<i>3 transactions for 09/23/20. Total proceeds and cost reported to the IRS.</i>						
	0.563	75.84	09/22/20	72.03	...	3.81	1 of 3 - Sale <sup>25</sup>
	0.999	134.64	09/22/20	127.97	...	6.67	2 of 3 - Sale <sup>25</sup>
	4.000	538.93	09/23/20	565.34	...	-26.41	3 of 3 - Sale <sup>25</sup>
09/23/20	5.562	749.41	Various	765.34	...	-15.93	Total of 3 transactions
NANO-X IMAGING LTD ORDINARY SH ARES / CUSIP: M70700105 / Symbol:							
	<i>2 transactions for 09/22/20. Total proceeds and cost reported to the IRS.</i>						
	0.911	21.94	09/21/20	28.72	...	-6.78	1 of 2 - Sale <sup>25</sup>
	0.023	0.54	09/21/20	0.89	...	-0.35	2 of 2 - Sale <sup>25</sup>
09/22/20	0.934	22.48	Various	29.61	...	-7.13	Total of 2 transactions
09/22/20	7.000	163.87	09/21/20	220.57	0.18 W	-56.52	Sale <sup>25</sup>
	<b>Security total:</b>	<b>186.35</b>		<b>250.18</b>	<b>0.18 W</b>	<b>-63.65</b>	
ROYAL CARIBBEAN GROUP / CUSIP: V7780T103 / Symbol:							
09/08/20	4.000	281.44	09/04/20	281.20	...	0.24	Sale <sup>25</sup>
<b>Totals :</b>		<b>114,517.17</b>		<b>118,497.83</b>	<b>3,624.15 W</b>	<b>-356.51</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 484289756

## Proceeds Not Reported to the IRS

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked.

**Description of property**

<b>Date sold or disposed</b>	<b>Quantity</b>	<b>Proceeds &amp; Reported (G)ross or (N)et</b>	<b>Date acquired</b>	<b>Cost or other basis</b>	<b>Accrued mkt disc (D) &amp; Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
BNTX RIGHTS / CUSIP: 090RGT036 / Symbol: 09/01/20	3.000	0.00	07/22/20	0.00	...	0.00	Gain or loss <sup>25</sup>
<b>Totals:</b>		<b>0.00</b>		<b>0.00</b>	...	<b>0.00</b>	

Robinhood Securities LLC

Account 484289756

## Detail for Dividends and Distributions

2020

02/14/2021

This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.

Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.

Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.

Security description	CUSIP and/or symbol	State	Date	Amount	Transaction type	Notes
APPLE INC. COMMON STOCK	037833100		08/13/20	1.64	Qualified dividend	
NVIDIA CORPORATION COMMON STOC K	67066G104		09/24/20	0.08	Qualified dividend	
TAIWAN SEMICONDUCTOR MANUFACTU RING COMPANY LTD.	874039100		10/15/20	2.62	Qualified dividend	
			10/15/20	-0.55	Foreign tax withheld-TW	
				<b>2.62</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.55</b>	<b>Total Foreign tax withheld</b>	
UNITED PARCEL SERVICE, INC. CL ASS B	911312106		09/09/20	2.02	Qualified dividend	
				<b>6.36</b>	<b>Total Dividends &amp; distributions</b>	
				<b>-0.55</b>	<b>Total Foreign tax withheld</b>	

Robinhood Securities LLC

Account 484289756

**Fees and Expenses**

2020

02/14/2021

*This section of your tax information statement may contain the detail of fees and investment expenses that are not accounted for in amounts reportable to the IRS on the various Forms 1099. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.*

*These amounts are provided here to facilitate an accounting of all amounts received during the year and are totaled in the Reconciliations, Fees, Expenses and Expenditures found in the Summary Information at the beginning of the statement.*

Description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
		09/11/20	-5.00	Margin interest paid	
			<b>-5.00</b>	<b>Total Margin interest paid</b>	

Robinhood Securities LLC

Account 484289756

## Foreign Income and Taxes Summary

2020

02/14/2021

*This schedule lists all income and foreign tax by country. Mutual Funds and Unit Investment Trusts (UITs) structured as Regulated Investment Companies (RICs) are listed separately with a country designation of "RIC."*

Country	Security Description	CUSIP	Nonqualified	Dividends		Interest	Total Income	Tax
				Qualified				
TW	TAIWAN SEMICONDUCTOR MANUFACTURING COMPANY LTD.	874039100	0.00	2.62		0.00	2.62	-0.55
<b>Total TAIWAN</b>			<b>0.00</b>	<b>2.62</b>		<b>0.00</b>	<b>2.62</b>	<b>-0.55</b>
<b>Grand Total</b>	<b>Total Foreign Source Income*</b>			<b>2.62</b>				
	<b>Qualified Foreign Source Income**</b>			<b>2.62</b>				
	<b>Foreign Tax</b>							<b>-0.55</b>

\* Total Foreign Source Income utilizes the "Total" column for RICs which may not always be supplied.

\*\* Qualified Foreign Source Income utilizes the "Qualified" column for RICs which may not always be supplied.

Robinhood Securities LLC

Account 484289756

## Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
BNTX RIGHTS	090RGT036	07/27/20	3.00	0.00	Stock spinoff	
		09/01/20	-3.00	0.00	Security deemed worthless	

25 Tax lot closed on a first in first out basis.

CL This trust invests exclusively in "collectibles." Gains recognized from the sale of "collectibles" held for more than one year are taxed at a maximum federal income tax rate of 28%. This applies to gains recognized from the sale of an interest in the trust as well as gains attributable to you from the Trust's sale of "collectibles" which you - through ownership of shares in the trust - are treated as having held for more than one year.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

**Line 1b.** Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 2c.** Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Instructions for Recipient**

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Line 8.** This line should be left blank if a RIC reported the foreign tax shown in line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).