

<b>Robinhood Securities LLC</b> 500 Colonial Center Parkway Suite 100 Lake Mary, FL 32746 Customer Service: 650-940-2700  PAYER'S TIN: 38-4019216	<b>Tax Information</b> <b>Account 880963962</b>	Statement Date: 02/14/2021 Document ID: 025E F4Y G2X0	2020
	Anirudh Kandimalla 4846 Bevendean Drive c9 Nashville, TN 37211  RECIPIENT'S TIN: XXX-XX-9418		

**Summary Information**

<p><b>DIVIDENDS AND DISTRIBUTIONS</b>      <b>2020 1099-DIV*</b>      OMB No. 1545-0110</p> <p>1a- Total ordinary dividends (includes lines 1b, 5)      3.96</p> <p>1b- Qualified dividends      3.96</p> <p>2a- Total capital gain distributions (includes lines 2b, 2c, 2d)      0.00</p> <p>2b- Unrecaptured Section 1250 gain      0.00</p> <p>2c- Section 1202 gain      0.00</p> <p>2d- Collectibles (28%) gain      0.00</p> <p>3- Nondividend distributions      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>5- Section 199A dividends      0.00</p> <p>6- Investment expenses      0.00</p> <p>8- Foreign country or US possession:      0.00</p> <p>7- Foreign tax paid:      0.00</p> <p>9- Cash liquidation distributions      0.00</p> <p>10- Noncash liquidation distributions      0.00</p> <p>11- Exempt-interest dividends (includes line 12)      0.00</p> <p>12- Specified private activity bond interest dividends (AMT)      0.00</p>	<p><b>MISCELLANEOUS INCOME</b>      <b>2020 1099-MISC*</b>      OMB No. 1545-0115</p> <p>2- Royalties      0.00</p> <p>3- Other income      0.00</p> <p><b>4- Federal income tax withheld</b>      <b>0.00</b></p> <p>8- Substitute payments in lieu of dividends or interest      0.00</p> <p><b>SECTION 1256 CONTRACTS</b>      <b>2020 1099-B*</b>      OMB No. 1545-0715</p> <p>8- Profit or (loss) realized in 2020 on closed contracts      0.00</p> <p>9- Unrealized profit or (loss) on open contracts-12/31/2019      0.00</p> <p>10- Unrealized profit or (loss) on open contracts-12/31/2020      0.00</p> <p>11- Aggregate profit or (loss) on contracts      0.00</p> <p style="text-align: center;"><i>If applicable, proceeds from sale transactions appear summarized below and are detailed in subsequent sections of this document.</i></p>
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**SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING**

*Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.*

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	20,881.28	26,447.71	0.00	5,015.19	-551.24
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	37.32	200.18	0.00	0.00	-162.86
	<b>Total Short-term</b>	<b>20,918.60</b>	<b>26,647.89</b>	<b>0.00</b>	<b>5,015.19</b>	<b>-714.10</b>
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>20,918.60</b>	<b>26,647.89</b>	<b>0.00</b>	<b>5,015.19</b>	<b>-714.10</b>
<b>Withholding</b>		<b>Amount</b>				
	Federal income tax withheld	0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

Robinhood Securities LLC

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**Summary Information**

2020

(continued)

02/14/2021

**INTEREST INCOME**

**2020 1099-INT**

OMB No. 1545-0112

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1- Interest income (not included in line 3)	0.00
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
<b>4- Federal income tax withheld</b>	<b>0.00</b>
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
<i>Tax-exempt obligations (covered lots)</i>	0.00
<i>Tax-exempt private activity obligations (AMT, covered lots)</i>	0.00
14- Tax-exempt and tax credit bond CUSIP number	

*The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).*

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

**STATE TAX WITHHELD**

*Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.*

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

**ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS**

*Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).*

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

**RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES**

*The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).*

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UIT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

02/14/2021

Sales transactions are organized into sections according to term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. The Box 12, basis is reported to the IRS indicator checkmark, is reflected as being checked in the title of the covered securities pages of Forms 1099-B, short-term and long-term. The title pages of the noncovered securities pages for Forms 1099-B reflect that Box 12 is not being checked, as these securities are not being reported to the IRS.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued market discount and wash sale loss disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used. The change in control condition is reported to the IRS for covered lots. Neither the disallowance of loss due to a corporate action nor the amount of gain or loss is reported to the IRS in any instance.

Some tax lots may have notations in the column of Additional Information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, lots noted as "3 - [X] Collectible" are handled distinctly under the tax code. These conditions are reported to the IRS. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of the amount received when the option was written and the cost to close the position.

FATCA filing requirement [ ]

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ABRAXAS PETROLEUM CORPORATION COMMON STOCK / CUSIP: 003830106 / Symbol:							
9 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	50.000	13.25	06/15/20	12.50	...	0.75	1 of 9 - Sale <sup>25</sup>
	150.000	39.73	06/15/20	37.88	...	1.85	2 of 9 - Sale <sup>25</sup>
	50.000	13.01	06/30/20	11.62	...	1.39	3 of 9 - Sale <sup>25</sup>
	100.000	26.03	06/30/20	23.90	...	2.13	4 of 9 - Sale <sup>25</sup>
	10.000	2.60	07/02/20	2.26	...	0.34	5 of 9 - Sale <sup>25</sup>
	40.000	10.41	07/06/20	9.20	...	1.21	6 of 9 - Sale <sup>25</sup>
	50.000	13.01	07/06/20	11.10	...	1.91	7 of 9 - Sale <sup>25</sup>
	50.000	13.02	07/09/20	10.34	...	2.68	8 of 9 - Sale <sup>25</sup>
	100.000	26.03	07/09/20	20.67	...	5.36	9 of 9 - Sale <sup>25</sup>
08/21/20	600.000	157.09	Various	139.47	...	17.62	Total of 9 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ABRAXAS PETROLEUM CORPORATION COMMON STOCK / CUSIP: 003830106 / Symbol: (cont'd)							
28 transactions for 08/21/20. Total proceeds and cost reported to the IRS.							
	11.000	2.86	06/08/20	5.39	2.53 W	0.00	1 of 28 - Sale <sup>25</sup>
	2.000	0.52	06/08/20	0.94	0.42 W	0.00	2 of 28 - Sale <sup>25</sup>
	37.000	9.62	06/08/20	17.21	7.59 W	0.00	3 of 28 - Sale <sup>25</sup>
	75.000	19.49	06/08/20	34.87	15.38 W	0.00	4 of 28 - Sale <sup>25</sup>
	25.000	6.50	06/08/20	11.52	5.02 W	0.00	5 of 28 - Sale <sup>25</sup>
	35.000	9.10	06/08/20	16.13	7.03 W	0.00	6 of 28 - Sale <sup>25</sup>
	5.000	1.30	06/08/20	2.30	1.00 W	0.00	7 of 28 - Sale <sup>25</sup>
	10.000	2.60	06/08/20	4.60	2.00 W	0.00	8 of 28 - Sale <sup>25</sup>
	15.000	3.90	06/09/20	5.74	1.84 W	0.00	9 of 28 - Sale <sup>25</sup>
	2.000	0.52	06/09/20	0.76	0.24 W	0.00	10 of 28 - Sale <sup>25</sup>
	13.000	3.38	06/09/20	4.90	1.52 W	0.00	11 of 28 - Sale <sup>25</sup>
	100.000	25.98	06/09/20	37.67	11.69 W	0.00	12 of 28 - Sale <sup>25</sup>
	10.000	2.60	06/09/20	3.75	1.15 W	0.00	13 of 28 - Sale <sup>25</sup>
	40.000	10.39	06/09/20	14.94	4.55 W	0.00	14 of 28 - Sale <sup>25</sup>
	20.000	5.20	06/09/20	7.16	1.96 W	0.00	15 of 28 - Sale <sup>25</sup>
	15.000	3.90	06/09/20	5.40	1.50 W	0.00	16 of 28 - Sale <sup>25</sup>
	5.000	1.30	06/09/20	1.82	0.52 W	0.00	17 of 28 - Sale <sup>25</sup>
	80.000	20.79	06/10/20	26.67	5.88 W	0.00	18 of 28 - Sale <sup>25</sup>
	100.000	25.98	06/10/20	30.00	4.02 W	0.00	19 of 28 - Sale <sup>25</sup>
	100.000	25.98	06/10/20	28.80	2.82 W	0.00	20 of 28 - Sale <sup>25</sup>
	100.000	25.99	06/11/20	28.55	2.56 W	0.00	21 of 28 - Sale <sup>25</sup>
	25.000	6.62	06/11/20	7.37	0.75 W	0.00	22 of 28 - Sale <sup>25</sup>
	5.000	1.32	06/11/20	1.47	0.15 W	0.00	23 of 28 - Sale <sup>25</sup>
	170.000	45.03	06/11/20	46.70	1.67 W	0.00	24 of 28 - Sale <sup>25</sup>
	50.000	13.25	06/24/20	13.76	0.51 W	0.00	25 of 28 - Sale <sup>25</sup>
	50.000	13.24	06/25/20	13.35	0.11 W	0.00	26 of 28 - Sale <sup>25</sup>
	100.000	26.03	06/25/20	26.61	0.58 W	0.00	27 of 28 - Sale <sup>25</sup>
	100.000	26.03	06/25/20	26.61	0.58 W	0.00	28 of 28 - Sale <sup>25</sup>
08/21/20	1,300.000	339.42	Various	424.99	85.57 W	0.00	Total of 28 transactions
3 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	70.000	10.23	08/21/20	19.59	...	-9.36	1 of 3 - Sale <sup>25</sup>
	25.000	3.65	08/21/20	7.50	...	-3.85	2 of 3 - Sale <sup>25</sup>

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Account 880963962

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ABRAXAS PETROLEUM CORPORATION COMMON STOCK / CUSIP: 003830106 / Symbol: (cont'd)							
10/07/20	5.000	0.73	08/21/20	1.50	...	-0.77	3 of 3 - Sale <sup>25</sup>
	100.000	14.61	Various	28.59	...	-13.98	Total of 3 transactions
	25 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	100.000	14.61	07/10/20	19.90	5.29 W	0.00	1 of 25 - Sale <sup>25</sup>
	2.000	0.29	07/29/20	0.84	0.55 W	0.00	2 of 25 - Sale <sup>25</sup>
	11.000	1.61	07/29/20	4.84	3.23 W	0.00	3 of 25 - Sale <sup>25</sup>
	37.000	5.40	07/29/20	15.36	9.96 W	0.00	4 of 25 - Sale <sup>25</sup>
	50.000	7.30	07/29/20	20.75	13.45 W	0.00	5 of 25 - Sale <sup>25</sup>
	25.000	3.65	07/29/20	10.37	6.72 W	0.00	6 of 25 - Sale <sup>25</sup>
	25.000	3.65	07/29/20	10.26	6.61 W	0.00	7 of 25 - Sale <sup>25</sup>
	10.000	1.46	07/30/20	4.03	2.57 W	0.00	8 of 25 - Sale <sup>25</sup>
	5.000	0.73	07/30/20	2.01	1.28 W	0.00	9 of 25 - Sale <sup>25</sup>
	10.000	1.46	07/30/20	4.02	2.56 W	0.00	10 of 25 - Sale <sup>25</sup>
	25.000	3.66	07/30/20	10.07	6.41 W	0.00	11 of 25 - Sale <sup>25</sup>
	2.000	0.29	08/12/20	0.64	0.35 W	0.00	12 of 25 - Sale <sup>25</sup>
	13.000	1.90	08/12/20	4.14	2.24 W	0.00	13 of 25 - Sale <sup>25</sup>
	15.000	2.19	08/12/20	4.86	2.67 W	0.00	14 of 25 - Sale <sup>25</sup>
	70.000	10.23	08/12/20	22.29	12.06 W	0.00	15 of 25 - Sale <sup>25</sup>
	10.000	1.46	08/12/20	3.12	1.66 W	0.00	16 of 25 - Sale <sup>25</sup>
	20.000	2.92	08/12/20	5.90	2.98 W	0.00	17 of 25 - Sale <sup>25</sup>
	30.000	4.38	08/12/20	9.42	5.04 W	0.00	18 of 25 - Sale <sup>25</sup>
	40.000	5.84	08/12/20	12.44	6.60 W	0.00	19 of 25 - Sale <sup>25</sup>
	5.000	0.73	08/12/20	1.48	0.75 W	0.00	20 of 25 - Sale <sup>25</sup>
	15.000	2.19	08/12/20	4.40	2.21 W	0.00	21 of 25 - Sale <sup>25</sup>
	80.000	11.69	08/12/20	21.32	9.63 W	0.00	22 of 25 - Sale <sup>25</sup>
	100.000	14.61	08/13/20	22.57	7.96 W	0.00	23 of 25 - Sale <sup>25</sup>
	100.000	14.60	08/17/20	20.39	4.63 W	-1.16	24 of 25 - Sale <sup>25</sup>
	100.000	14.61	08/17/20	20.65	6.04 W	0.00	25 of 25 - Sale <sup>25</sup>
10/07/20	900.000	131.46	Various	256.07	123.45 W	-1.16	Total of 25 transactions
	18 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	100.000	14.99	08/21/20	27.98	...	-12.99	1 of 18 - Sale <sup>25</sup>
	50.000	7.50	08/21/20	14.01	...	-6.51	2 of 18 - Sale <sup>25</sup>
	50.000	7.49	08/21/20	13.61	...	-6.12	3 of 18 - Sale <sup>25</sup>
	100.000	14.99	08/27/20	19.35	...	-4.36	4 of 18 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
ABRAXAS PETROLEUM CORPORATION COMMON STOCK / CUSIP: 003830106 / Symbol: (cont'd)							
	19.000	2.85	08/27/20	3.67	...	-0.82	5 of 18 - Sale <sup>25</sup>
	6.000	0.90	08/27/20	1.15	...	-0.25	6 of 18 - Sale <sup>25</sup>
	25.000	3.75	09/01/20	4.79	...	-1.04	7 of 18 - Sale <sup>25</sup>
	50.000	7.49	09/04/20	9.06	...	-1.57	8 of 18 - Sale <sup>25</sup>
	28.000	4.20	09/04/20	4.86	...	-0.66	9 of 18 - Sale <sup>25</sup>
	17.000	2.55	09/04/20	2.95	...	-0.40	10 of 18 - Sale <sup>25</sup>
	5.000	0.75	09/04/20	0.87	...	-0.12	11 of 18 - Sale <sup>25</sup>
	50.000	7.49	09/14/20	10.14	...	-2.65	12 of 18 - Sale <sup>25</sup>
	37.000	5.55	09/24/20	15.30	...	-9.75	13 of 18 - Sale <sup>25</sup>
	15.000	2.25	09/24/20	6.20	...	-3.95	14 of 18 - Sale <sup>25</sup>
	15.000	2.25	09/24/20	6.19	...	-3.94	15 of 18 - Sale <sup>25</sup>
	11.000	1.65	09/24/20	4.82	...	-3.17	16 of 18 - Sale <sup>25</sup>
	50.000	7.49	09/24/20	9.86	...	-2.37	17 of 18 - Sale <sup>25</sup>
	2.000	0.30	09/24/20	0.84	...	-0.54	18 of 18 - Sale <sup>25</sup>
10/08/20	630.000	94.44	Various	155.65	...	-61.21	Total of 18 transactions
	19 transactions for 10/09/20. Total proceeds and cost reported to the IRS.						
	70.000	18.19	10/09/20	27.04	...	-8.85	1 of 19 - Sale <sup>25</sup>
	80.000	20.79	10/09/20	26.75	...	-5.96	2 of 19 - Sale <sup>25</sup>
	25.000	6.50	10/09/20	12.07	...	-5.57	3 of 19 - Sale <sup>25</sup>
	25.000	6.50	10/09/20	11.96	...	-5.46	4 of 19 - Sale <sup>25</sup>
	25.000	6.50	10/09/20	11.76	...	-5.26	5 of 19 - Sale <sup>25</sup>
	40.000	10.39	10/09/20	15.16	...	-4.77	6 of 19 - Sale <sup>25</sup>
	20.000	5.20	10/09/20	9.66	...	-4.46	7 of 19 - Sale <sup>25</sup>
	30.000	7.79	10/09/20	11.46	...	-3.67	8 of 19 - Sale <sup>25</sup>
	80.000	20.78	10/09/20	23.49	...	-2.71	9 of 19 - Sale <sup>25</sup>
	10.000	2.60	10/09/20	4.71	...	-2.11	10 of 19 - Sale <sup>25</sup>
	10.000	2.60	10/09/20	4.70	...	-2.10	11 of 19 - Sale <sup>25</sup>
	20.000	5.20	10/09/20	7.26	...	-2.06	12 of 19 - Sale <sup>25</sup>
	15.000	3.90	10/09/20	5.88	...	-1.98	13 of 19 - Sale <sup>25</sup>
	13.000	3.38	10/09/20	5.02	...	-1.64	14 of 19 - Sale <sup>25</sup>
	15.000	3.90	10/09/20	5.42	...	-1.52	15 of 19 - Sale <sup>25</sup>
	10.000	2.60	10/09/20	3.80	...	-1.20	16 of 19 - Sale <sup>25</sup>
	5.000	1.30	10/09/20	2.35	...	-1.05	17 of 19 - Sale <sup>25</sup>
	5.000	1.30	10/09/20	1.82	...	-0.52	18 of 19 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 880963962

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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ABRAXAS PETROLEUM CORPORATION COMMON STOCK / CUSIP: 003830106 / Symbol: (cont'd)							
	2.000	0.52	10/09/20	0.78	...	-0.26	19 of 19 - Sale <sup>25</sup>
10/09/20	500.000	129.94	Various	191.09	...	-61.15	Total of 19 transactions
	<b>Security total:</b>	<b>866.96</b>		<b>1,195.86</b>	<b>209.02 W</b>	<b>-119.88</b>	
ABRAXAS PETROLEUM CORPORATION COMMON STOCK / CUSIP: 003830304 / Symbol:							
6 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	0.050	0.11	10/14/20	0.24	...	-0.13	1 of 6 - Sale <sup>25</sup>
	4.050	8.99	10/16/20	16.86	...	-7.87	2 of 6 - Sale <sup>25</sup>
	2.500	5.55	10/16/20	10.22	...	-4.67	3 of 6 - Sale <sup>25</sup>
	1.500	3.33	10/16/20	6.17	...	-2.84	4 of 6 - Sale <sup>25</sup>
	0.950	2.11	10/16/20	4.32	...	-2.21	5 of 6 - Sale <sup>25</sup>
	0.950	2.11	10/16/20	3.96	...	-1.85	6 of 6 - Sale <sup>25</sup>
10/19/20	10.000	22.20	Various	41.77	...	-19.57	Total of 6 transactions
ACTINIUM PHARMACEUTICALS, INC / CUSIP: 00507W107 / Symbol:							
3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	15.000	4.89	06/05/20	4.50	...	0.39	1 of 3 - Sale <sup>25</sup>
	35.000	11.49	06/05/20	10.50	...	0.99	2 of 3 - Sale <sup>25</sup>
	100.000	33.00	06/05/20	31.00	...	2.00	3 of 3 - Sale <sup>25</sup>
06/08/20	150.000	49.38	Various	46.00	...	3.38	Total of 3 transactions
2 transactions for 06/18/20. Total proceeds and cost reported to the IRS.							
	100.000	37.15	06/17/20	37.00	...	0.15	1 of 2 - Sale <sup>25</sup>
	50.000	18.57	06/17/20	18.40	...	0.17	2 of 2 - Sale <sup>25</sup>
06/18/20	150.000	55.72	Various	55.40	...	0.32	Total of 2 transactions
	<b>Security total:</b>	<b>105.10</b>		<b>101.40</b>	<b>...</b>	<b>3.70</b>	
APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol:							
11/12/20	1.000	119.65	10/13/20	125.18	5.53 W	0.00	Sale <sup>25</sup>
2 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	1.000	120.58	10/13/20	125.18	4.60 W	0.00	1 of 2 - Sale <sup>25</sup>
	1.000	120.86	10/13/20	125.18	4.32 W	0.00	2 of 2 - Sale <sup>25</sup>
11/30/20	2.000	241.44	Various	250.36	8.92 W	0.00	Total of 2 transactions

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Robinhood Securities LLC

Account 880963962

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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APPLE INC. COMMON STOCK / CUSIP: 037833100 / Symbol: (cont'd)							
5 transactions for 12/01/20. Total proceeds and cost reported to the IRS.							
	1.000	122.80	10/13/20	125.18	...	-2.38	1 of 5 - Sale <sup>25</sup>
	1.000	122.88	10/13/20	125.18	...	-2.30	2 of 5 - Sale <sup>25</sup>
	1.000	122.89	10/13/20	125.18	...	-2.29	3 of 5 - Sale <sup>25</sup>
	1.000	123.03	10/13/20	125.18	...	-2.15	4 of 5 - Sale <sup>25</sup>
	1.000	123.11	10/13/20	125.18	...	-2.07	5 of 5 - Sale <sup>25</sup>
12/01/20	5.000	614.71	Various	625.90	...	-11.19	Total of 5 transactions
2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.							
	1.000	123.05	10/13/20	125.18	...	-2.13	1 of 2 - Sale <sup>25</sup>
	1.000	123.07	10/13/20	125.18	...	-2.11	2 of 2 - Sale <sup>25</sup>
12/02/20	2.000	246.12	Various	250.36	...	-4.24	Total of 2 transactions
4 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	1.000	123.00	10/13/20	131.28	...	-8.28	1 of 4 - Sale <sup>25</sup>
	1.000	123.47	10/13/20	125.75	...	-2.28	2 of 4 - Sale <sup>25</sup>
	1.000	123.99	10/13/20	122.65	...	1.34	3 of 4 - Sale <sup>25</sup>
	1.000	124.40	10/13/20	122.65	...	1.75	4 of 4 - Sale <sup>25</sup>
12/07/20	4.000	494.86	Various	502.33	...	-7.47	Total of 4 transactions
12/14/20	1.000	122.85	10/13/20	122.50	...	0.35	Sale <sup>25</sup>
5 transactions for 12/15/20. Total proceeds and cost reported to the IRS.							
	1.000	124.07	10/13/20	121.27	...	2.80	1 of 5 - Sale <sup>25</sup>
	2.000	248.13	10/19/20	233.20	...	14.93	2 of 5 - Sale <sup>25</sup>
	1.000	124.07	10/22/20	115.71	...	8.36	3 of 5 - Sale <sup>25</sup>
	1.000	124.06	11/23/20	119.20	...	4.86	4 of 5 - Sale <sup>25</sup>
	1.000	124.07	12/09/20	126.15	...	-2.08	5 of 5 - Sale <sup>25</sup>
12/15/20	6.000	744.40	Various	715.53	...	28.87	Total of 5 transactions
	<b>Security total:</b>	<b>2,584.03</b>		<b>2,592.16</b>	<b>14.45 W</b>	<b>6.32</b>	
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol:							
2 transactions for 12/11/20. Total proceeds and cost reported to the IRS.							
	50.000	25.12	12/03/20	24.98	...	0.14	1 of 2 - Sale <sup>25</sup>
	100.000	50.40	12/03/20	49.95	...	0.45	2 of 2 - Sale <sup>25</sup>
12/11/20	150.000	75.52	Various	74.93	...	0.59	Total of 2 transactions

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Robinhood Securities LLC

Account 880963962

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
BIONANO GENOMICS, INC. COMMON STOCK / CUSIP: 09075F107 / Symbol: (cont'd)							
<i>4 transactions for 12/14/20. Total proceeds and cost reported to the IRS.</i>							
	300.000	149.96	12/03/20	149.85	...	0.11	1 of 4 - Sale <sup>25</sup>
	50.000	25.08	12/03/20	24.97	...	0.11	2 of 4 - Sale <sup>25</sup>
	22.000	11.01	12/04/20	11.00	...	0.01	3 of 4 - Sale <sup>25</sup>
	28.000	14.00	12/04/20	13.97	...	0.03	4 of 4 - Sale <sup>25</sup>
12/14/20	400.000	200.05	Various	199.79	...	0.26	Total of 4 transactions
<i>5 transactions for 12/23/20. Total proceeds and cost reported to the IRS.</i>							
	49.000	31.26	12/04/20	24.50	...	6.76	1 of 5 - Sale <sup>25</sup>
	50.000	31.90	12/04/20	24.85	...	7.05	2 of 5 - Sale <sup>25</sup>
	50.000	31.90	12/04/20	24.79	...	7.11	3 of 5 - Sale <sup>25</sup>
	78.000	49.76	12/04/20	38.99	...	10.77	4 of 5 - Sale <sup>25</sup>
	172.000	109.73	12/04/20	86.00	...	23.73	5 of 5 - Sale <sup>25</sup>
12/23/20	399.000	254.55	Various	199.13	...	55.42	Total of 5 transactions
	<b>Security total:</b>	<b>530.12</b>		<b>473.85</b>	...	<b>56.27</b>	
BOXLIGHT CORPORATION CLASS A COMMON STOCK / CUSIP: 103197109 / Symbol:							
<i>2 transactions for 07/09/20. Total proceeds and cost reported to the IRS.</i>							
	1.000	0.91	07/06/20	0.90	...	0.01	1 of 2 - Sale <sup>25</sup>
	199.000	181.07	07/06/20	177.11	...	3.96	2 of 2 - Sale <sup>25</sup>
07/09/20	200.000	181.98	Various	178.01	...	3.97	Total of 2 transactions
<i>2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	51.50	07/06/20	44.00	...	7.50	1 of 2 - Sale <sup>25</sup>
	50.000	53.00	07/09/20	48.30	...	4.70	2 of 2 - Sale <sup>25</sup>
07/10/20	100.000	104.50	Various	92.30	...	12.20	Total of 2 transactions
	<b>Security total:</b>	<b>286.48</b>		<b>270.31</b>	...	<b>16.17</b>	
CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol:							
<i>3 transactions for 06/03/20. Total proceeds and cost reported to the IRS.</i>							
	25.000	34.50	05/29/20	21.25	...	13.25	1 of 3 - Sale <sup>25</sup>
	125.000	157.48	05/29/20	106.25	...	51.23	2 of 3 - Sale <sup>25</sup>
	200.000	251.98	05/29/20	172.78	...	79.20	3 of 3 - Sale <sup>25</sup>
06/03/20	350.000	443.96	Various	300.28	...	143.68	Total of 3 transactions

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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CINEDIGM CORP., CLASS A COMMON STOCK / CUSIP: 172406209 / Symbol: (cont'd)							
4 transactions for 08/20/20. Total proceeds and cost reported to the IRS.							
	50.000	48.52	05/29/20	42.50	...	6.02	1 of 4 - Sale <sup>25</sup>
	100.000	97.05	06/01/20	78.00	...	19.05	2 of 4 - Sale <sup>25</sup>
	100.000	97.05	06/02/20	75.00	...	22.05	3 of 4 - Sale <sup>25</sup>
	100.000	97.05	06/02/20	72.00	...	25.05	4 of 4 - Sale <sup>25</sup>
08/20/20	350.000	339.67	Various	267.50	...	72.17	Total of 4 transactions
08/20/20	50.000	48.52	06/03/20	63.50	14.98 W	0.00	Sale <sup>25</sup>
08/31/20	50.000	43.57	08/27/20	42.92	...	0.65	Sale <sup>25</sup>
3 transactions for 08/31/20. Total proceeds and cost reported to the IRS.							
	1.000	0.87	08/25/20	1.22	0.35 W	0.00	1 of 3 - Sale <sup>25</sup>
	9.000	7.84	08/26/20	10.71	2.87 W	0.00	2 of 3 - Sale <sup>25</sup>
	40.000	34.85	08/27/20	46.32	11.47 W	0.00	3 of 3 - Sale <sup>25</sup>
08/31/20	50.000	43.56	Various	58.25	14.69 W	0.00	Total of 3 transactions
3 transactions for 09/11/20. Total proceeds and cost reported to the IRS.							
	1.000	0.91	09/01/20	1.20	0.29 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	0.91	09/01/20	1.17	0.26 W	0.00	2 of 3 - Sale <sup>25</sup>
	8.000	7.28	09/02/20	8.39	1.11 W	0.00	3 of 3 - Sale <sup>25</sup>
09/11/20	10.000	9.10	Various	10.76	1.66 W	0.00	Total of 3 transactions
	<b>Security total:</b>	<b>928.38</b>		<b>743.21</b>	<b>31.33 W</b>	<b>216.50</b>	
DIGITAL ALLY, INC. COMMON STOCK / CUSIP: 25382P208 / Symbol:							
4 transactions for 06/05/20. Total proceeds and cost reported to the IRS.							
	15.000	33.00	06/02/20	27.68	...	5.32	1 of 4 - Sale <sup>25</sup>
	10.000	22.00	06/03/20	14.40	...	7.60	2 of 4 - Sale <sup>25</sup>
	10.000	22.00	06/03/20	14.10	...	7.90	3 of 4 - Sale <sup>25</sup>
	15.000	33.00	06/03/20	21.42	...	11.58	4 of 4 - Sale <sup>25</sup>
06/05/20	50.000	110.00	Various	77.60	...	32.40	Total of 4 transactions
DOLPHIN ENTERTAINMENT, INC. COMMON STOCK / CUSIP: 25686H100 / Symbol:							
06/09/20	20.000	24.00	06/04/20	29.20	5.20 W	0.00	Sale <sup>25</sup>
5 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	6.000	6.18	06/05/20	6.12	...	0.06	1 of 5 - Sale <sup>25</sup>
	10.000	10.30	06/05/20	9.99	...	0.31	2 of 5 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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DOLPHIN ENTERTAINMENT, INC. CO MMON STOCK / CUSIP: 25686H100 / Symbol: (cont'd)							
	10.000	10.30	06/05/20	9.63	...	0.67	3 of 5 - Sale <sup>25</sup>
	60.000	61.79	06/05/20	58.20	...	3.59	4 of 5 - Sale <sup>25</sup>
	100.000	102.99	06/05/20	97.00	...	5.99	5 of 5 - Sale <sup>25</sup>
07/06/20	186.000	191.56	Various	180.94	...	10.62	Total of 5 transactions
	7 transactions for 07/06/20. Total proceeds and cost reported to the IRS.						
	50.000	51.00	06/04/20	72.99	21.99 W	0.00	1 of 7 - Sale <sup>25</sup>
	60.000	61.79	06/04/20	87.59	25.80 W	0.00	2 of 7 - Sale <sup>25</sup>
	20.000	20.80	06/04/20	29.19	8.39 W	0.00	3 of 7 - Sale <sup>25</sup>
	20.000	20.80	06/04/20	34.60	13.80 W	0.00	4 of 7 - Sale <sup>25</sup>
	10.000	10.40	06/04/20	14.70	4.30 W	0.00	5 of 7 - Sale <sup>25</sup>
	10.000	10.30	06/04/20	14.70	4.40 W	0.00	6 of 7 - Sale <sup>25</sup>
	4.000	4.12	06/04/20	5.92	1.80 W	0.00	7 of 7 - Sale <sup>25</sup>
07/06/20	174.000	179.21	Various	259.69	80.48 W	0.00	Total of 7 transactions
	25 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	20.000	21.40	06/05/20	19.40	...	2.00	1 of 25 - Sale <sup>25</sup>
	6.000	6.41	06/12/20	5.16	...	1.25	2 of 25 - Sale <sup>25</sup>
	10.000	10.70	06/15/20	10.70	...	0.00	3 of 25 - Sale <sup>25</sup>
	40.000	44.40	06/15/20	42.41	...	1.99	4 of 25 - Sale <sup>25</sup>
	1.000	1.11	06/25/20	1.00	...	0.11	5 of 25 - Sale <sup>25</sup>
	5.000	5.54	06/25/20	5.00	...	0.54	6 of 25 - Sale <sup>25</sup>
	1.000	1.12	06/26/20	0.93	...	0.19	7 of 25 - Sale <sup>25</sup>
	2.000	2.24	06/26/20	1.95	...	0.29	8 of 25 - Sale <sup>25</sup>
	4.000	4.48	06/26/20	3.90	...	0.58	9 of 25 - Sale <sup>25</sup>
	4.000	4.48	06/26/20	3.77	...	0.71	10 of 25 - Sale <sup>25</sup>
	4.000	4.48	06/26/20	3.74	...	0.74	11 of 25 - Sale <sup>25</sup>
	4.000	4.48	06/26/20	3.73	...	0.75	12 of 25 - Sale <sup>25</sup>
	5.000	5.60	06/26/20	4.72	...	0.88	13 of 25 - Sale <sup>25</sup>
	5.000	5.60	06/26/20	4.72	...	0.88	14 of 25 - Sale <sup>25</sup>
	5.000	5.60	06/26/20	4.67	...	0.93	15 of 25 - Sale <sup>25</sup>
	10.000	11.20	06/26/20	9.44	...	1.76	16 of 25 - Sale <sup>25</sup>
	1.000	1.12	06/30/20	0.98	...	0.14	17 of 25 - Sale <sup>25</sup>
	1.000	1.12	06/30/20	0.98	...	0.14	18 of 25 - Sale <sup>25</sup>
	1.000	1.12	06/30/20	0.96	...	0.16	19 of 25 - Sale <sup>25</sup>
	3.000	3.36	06/30/20	2.93	...	0.43	20 of 25 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 880963962

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DOLPHIN ENTERTAINMENT, INC. CO MMON STOCK / CUSIP: 25686H100 / Symbol: (cont'd)							
	4.000	4.48	07/01/20	3.87	...	0.61	21 of 25 - Sale <sup>25</sup>
	4.000	4.48	07/01/20	3.73	...	0.75	22 of 25 - Sale <sup>25</sup>
	6.000	6.71	07/01/20	5.60	...	1.11	23 of 25 - Sale <sup>25</sup>
	6.000	6.72	07/01/20	5.60	...	1.12	24 of 25 - Sale <sup>25</sup>
	10.000	11.20	07/01/20	9.29	...	1.91	25 of 25 - Sale <sup>25</sup>
07/08/20	162.000	179.15	Various	159.18	...	19.97	Total of 25 transactions
	32 transactions for 07/08/20. Total proceeds and cost reported to the IRS.						
	10.000	10.70	06/10/20	15.05	4.35 W	0.00	1 of 32 - Sale <sup>25</sup>
	10.000	10.70	06/10/20	15.05	4.35 W	0.00	2 of 32 - Sale <sup>25</sup>
	10.000	10.70	06/11/20	13.30	2.60 W	0.00	3 of 32 - Sale <sup>25</sup>
	30.000	32.10	06/11/20	40.49	8.39 W	0.00	4 of 32 - Sale <sup>25</sup>
	60.000	64.19	06/11/20	80.40	16.21 W	0.00	5 of 32 - Sale <sup>25</sup>
	15.000	16.05	06/11/20	24.00	7.95 W	0.00	6 of 32 - Sale <sup>25</sup>
	10.000	10.70	06/11/20	13.29	2.59 W	0.00	7 of 32 - Sale <sup>25</sup>
	5.000	5.35	06/12/20	7.75	2.40 W	0.00	8 of 32 - Sale <sup>25</sup>
	10.000	10.70	06/12/20	12.90	2.20 W	0.00	9 of 32 - Sale <sup>25</sup>
	10.000	10.70	06/12/20	13.00	2.30 W	0.00	10 of 32 - Sale <sup>25</sup>
	4.000	4.28	06/12/20	5.24	0.96 W	0.00	11 of 32 - Sale <sup>25</sup>
	19.000	20.33	06/12/20	21.65	1.32 W	0.00	12 of 32 - Sale <sup>25</sup>
	10.000	10.70	06/12/20	12.95	2.25 W	0.00	13 of 32 - Sale <sup>25</sup>
	10.000	10.70	06/12/20	12.95	2.25 W	0.00	14 of 32 - Sale <sup>25</sup>
	1.000	1.07	06/12/20	1.14	0.07 W	0.00	15 of 32 - Sale <sup>25</sup>
	4.000	4.44	06/18/20	4.68	0.24 W	0.00	16 of 32 - Sale <sup>25</sup>
	6.000	6.66	06/22/20	7.26	0.60 W	0.00	17 of 32 - Sale <sup>25</sup>
	10.000	11.10	06/22/20	11.80	0.70 W	0.00	18 of 32 - Sale <sup>25</sup>
	10.000	11.10	06/22/20	11.70	0.60 W	0.00	19 of 32 - Sale <sup>25</sup>
	15.000	16.64	06/24/20	22.17	5.53 W	0.00	20 of 32 - Sale <sup>25</sup>
	5.000	5.55	06/24/20	6.04	0.49 W	0.00	21 of 32 - Sale <sup>25</sup>
	5.000	5.55	06/25/20	7.05	1.50 W	0.00	22 of 32 - Sale <sup>25</sup>
	5.000	5.55	06/25/20	5.94	0.39 W	0.00	23 of 32 - Sale <sup>25</sup>
	10.000	11.10	06/25/20	11.50	0.40 W	0.00	24 of 32 - Sale <sup>25</sup>
	6.000	6.66	06/25/20	6.93	0.27 W	0.00	25 of 32 - Sale <sup>25</sup>
	10.000	11.10	06/25/20	11.55	0.45 W	0.00	26 of 32 - Sale <sup>25</sup>
	4.000	4.44	06/25/20	4.68	0.24 W	0.00	27 of 32 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 880963962

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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DOLPHIN ENTERTAINMENT, INC. CO MMON STOCK / CUSIP: 25686H100 / Symbol: (cont'd)							
	10.000	11.10	06/25/20	11.60	0.50 W	0.00	28 of 32 - Sale <sup>25</sup>
	4.000	4.44	06/25/20	4.62	0.18 W	0.00	29 of 32 - Sale <sup>25</sup>
	6.000	6.72	06/30/20	7.54	0.82 W	0.00	30 of 32 - Sale <sup>25</sup>
	9.000	10.08	06/30/20	11.24	1.16 W	0.00	31 of 32 - Sale <sup>25</sup>
	5.000	5.60	06/30/20	5.90	0.30 W	0.00	32 of 32 - Sale <sup>25</sup>
07/08/20	338.000	366.80	Various	441.36	74.56 W	0.00	Total of 32 transactions
	15 transactions for 08/10/20. Total proceeds and cost reported to the IRS.						
	6.000	6.30	07/29/20	6.08	...	0.22	1 of 15 - Sale <sup>25</sup>
	9.000	9.45	07/29/20	9.05	...	0.40	2 of 15 - Sale <sup>25</sup>
	4.000	4.20	07/29/20	3.75	...	0.45	3 of 15 - Sale <sup>25</sup>
	4.000	4.20	07/29/20	3.69	...	0.51	4 of 15 - Sale <sup>25</sup>
	5.000	5.25	07/29/20	4.69	...	0.56	5 of 15 - Sale <sup>25</sup>
	5.000	5.25	07/29/20	4.45	...	0.80	6 of 15 - Sale <sup>25</sup>
	10.000	10.50	07/29/20	9.27	...	1.23	7 of 15 - Sale <sup>25</sup>
	25.000	26.25	07/29/20	22.25	...	4.00	8 of 15 - Sale <sup>25</sup>
	25.000	26.25	07/29/20	22.25	...	4.00	9 of 15 - Sale <sup>25</sup>
	62.000	65.09	07/29/20	54.39	...	10.70	10 of 15 - Sale <sup>25</sup>
	100.000	104.99	07/29/20	87.72	...	17.27	11 of 15 - Sale <sup>25</sup>
	5.000	5.25	07/30/20	4.40	...	0.85	12 of 15 - Sale <sup>25</sup>
	20.000	21.00	07/31/20	17.20	...	3.80	13 of 15 - Sale <sup>25</sup>
	20.000	21.00	07/31/20	17.13	...	3.87	14 of 15 - Sale <sup>25</sup>
	25.000	26.24	07/31/20	21.50	...	4.74	15 of 15 - Sale <sup>25</sup>
08/10/20	325.000	341.22	Various	287.82	...	53.40	Total of 15 transactions
	10 transactions for 09/01/20. Total proceeds and cost reported to the IRS.						
	50.000	43.05	08/19/20	44.50	...	-1.45	1 of 10 - Sale <sup>25</sup>
	25.000	21.52	08/19/20	22.25	...	-0.73	2 of 10 - Sale <sup>25</sup>
	5.000	4.30	08/20/20	4.35	...	-0.05	3 of 10 - Sale <sup>25</sup>
	70.000	60.27	08/24/20	58.80	...	1.47	4 of 10 - Sale <sup>25</sup>
	50.000	43.04	08/26/20	40.24	...	2.80	5 of 10 - Sale <sup>25</sup>
	10.000	8.61	08/28/20	8.40	...	0.21	6 of 10 - Sale <sup>25</sup>
	15.000	12.91	08/28/20	12.60	...	0.31	7 of 10 - Sale <sup>25</sup>
	5.000	4.31	08/31/20	4.10	...	0.21	8 of 10 - Sale <sup>25</sup>
	10.000	8.61	08/31/20	8.24	...	0.37	9 of 10 - Sale <sup>25</sup>

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Account 880963962

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
DOLPHIN ENTERTAINMENT, INC. CO MMON STOCK / CUSIP: 25686H100 / Symbol: (cont'd)							
	10.000	8.61	08/31/20	8.24	...	0.37	10 of 10 - Sale <sup>25</sup>
09/01/20	250.000	215.23	Various	211.72	...	3.51	Total of 10 transactions
	4 transactions for 09/01/20. Total proceeds and cost reported to the IRS.						
	2.000	1.72	08/12/20	1.88	0.16 W	0.00	1 of 4 - Sale <sup>25</sup>
	5.000	4.30	08/12/20	4.65	0.35 W	0.00	2 of 4 - Sale <sup>25</sup>
	3.000	2.58	08/17/20	2.69	0.11 W	0.00	3 of 4 - Sale <sup>25</sup>
	90.000	77.48	08/19/20	80.10	1.61 W	-1.01	4 of 4 - Sale <sup>25</sup>
09/01/20	100.000	86.08	Various	89.32	2.23 W	-1.01	Total of 4 transactions
	<b>Security total:</b>	<b>1,583.25</b>		<b>1,659.23</b>	<b>162.47 W</b>	<b>86.49</b>	
DOLPHIN ENTERTAINMENT, INC. CO MMON STOCK / CUSIP: 25686H209 / Symbol:							
	4 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	4.000	14.56	09/02/20	17.61	...	-3.05	1 of 4 - Sale <sup>25</sup>
	2.000	7.28	09/14/20	7.69	...	-0.41	2 of 4 - Sale <sup>25</sup>
	1.000	3.64	09/14/20	3.85	...	-0.21	3 of 4 - Sale <sup>25</sup>
	1.000	3.64	09/14/20	3.77	...	-0.13	4 of 4 - Sale <sup>25</sup>
12/03/20	8.000	29.12	Various	32.92	...	-3.80	Total of 4 transactions
	3 transactions for 12/03/20. Total proceeds and cost reported to the IRS.						
	0.400	1.46	09/01/20	1.88	0.42 W	0.00	1 of 3 - Sale <sup>25</sup>
	1.000	3.64	09/02/20	4.61	0.97 W	0.00	2 of 3 - Sale <sup>25</sup>
	0.600	2.18	09/02/20	2.67	0.49 W	0.00	3 of 3 - Sale <sup>25</sup>
12/03/20	2.000	7.28	Various	9.16	1.88 W	0.00	Total of 3 transactions
	14 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	0.400	1.55	09/14/20	1.50	...	0.05	1 of 14 - Sale <sup>25</sup>
	0.800	3.10	09/14/20	2.99	...	0.11	2 of 14 - Sale <sup>25</sup>
	0.800	3.10	09/18/20	3.06	...	0.04	3 of 14 - Sale <sup>25</sup>
	1.000	3.87	09/24/20	3.34	...	0.53	4 of 14 - Sale <sup>25</sup>
	0.400	1.55	10/02/20	1.37	...	0.18	5 of 14 - Sale <sup>25</sup>
	0.600	2.32	10/02/20	2.05	...	0.27	6 of 14 - Sale <sup>25</sup>
	6.000	23.22	10/12/20	21.75	...	1.47	7 of 14 - Sale <sup>25</sup>
	0.200	0.77	10/21/20	0.69	...	0.08	8 of 14 - Sale <sup>25</sup>
	1.800	6.96	10/21/20	6.21	...	0.75	9 of 14 - Sale <sup>25</sup>
	2.000	7.74	10/21/20	6.85	...	0.89	10 of 14 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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DOLPHIN ENTERTAINMENT, INC. CO MMON STOCK / CUSIP: 25686H209 / Symbol: (cont'd)							
	2.000	7.74	11/02/20	6.23	...	1.51	11 of 14 - Sale <sup>25</sup>
	1.000	3.87	11/25/20	4.32	...	-0.45	12 of 14 - Sale <sup>25</sup>
	0.400	1.55	11/25/20	1.76	...	-0.21	13 of 14 - Sale <sup>25</sup>
	0.600	2.32	11/25/20	2.50	...	-0.18	14 of 14 - Sale <sup>25</sup>
12/07/20	18.000	69.66	Various	64.62	...	5.04	Total of 14 transactions
	<b>Security total:</b>	<b>106.06</b>		<b>106.70</b>	<b>1.88 W</b>	<b>1.24</b>	
FORESIGHT AUTONOMOUS HOLDINGS LTD. AMERICAN DEPOSITARY SHARE S / CUSIP: 345523104 / Symbol:							
06/04/20	200.000	175.98	06/03/20	168.00	...	7.98	Sale <sup>25</sup>
06/05/20	50.000	86.00	06/03/20	42.50	...	43.50	Sale <sup>25</sup>
06/08/20	5.000	5.50	06/03/20	4.25	...	1.25	Sale <sup>25</sup>
	2 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	45.000	63.00	06/03/20	38.25	...	24.75	1 of 2 - Sale <sup>25</sup>
	5.000	7.00	06/11/20	5.20	...	1.80	2 of 2 - Sale <sup>25</sup>
06/22/20	50.000	70.00	Various	43.45	...	26.55	Total of 2 transactions
	<b>Security total:</b>	<b>337.48</b>		<b>258.20</b>	...	<b>79.28</b>	
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol:							
	16 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	20.000	24.60	07/09/20	48.00	23.40 W	0.00	1 of 16 - Sale <sup>25</sup>
	10.000	12.30	07/09/20	23.90	11.60 W	0.00	2 of 16 - Sale <sup>25</sup>
	5.000	6.15	07/09/20	11.98	5.83 W	0.00	3 of 16 - Sale <sup>25</sup>
	5.000	6.15	07/09/20	11.95	5.80 W	0.00	4 of 16 - Sale <sup>25</sup>
	5.000	6.15	07/09/20	11.90	5.75 W	0.00	5 of 16 - Sale <sup>25</sup>
	5.000	6.15	07/09/20	11.68	5.53 W	0.00	6 of 16 - Sale <sup>25</sup>
	5.000	6.15	07/10/20	11.70	5.55 W	0.00	7 of 16 - Sale <sup>25</sup>
	5.000	6.15	07/13/20	11.43	5.28 W	0.00	8 of 16 - Sale <sup>25</sup>
	10.000	12.30	07/13/20	22.65	10.35 W	0.00	9 of 16 - Sale <sup>25</sup>
	2.000	2.46	07/13/20	4.50	2.04 W	0.00	10 of 16 - Sale <sup>25</sup>
	8.000	10.40	07/13/20	18.00	7.60 W	0.00	11 of 16 - Sale <sup>25</sup>
	5.000	6.50	07/17/20	11.10	4.60 W	0.00	12 of 16 - Sale <sup>25</sup>
	5.000	6.50	07/21/20	10.18	3.68 W	0.00	13 of 16 - Sale <sup>25</sup>
	10.000	13.00	07/21/20	19.65	6.65 W	0.00	14 of 16 - Sale <sup>25</sup>
	20.000	26.00	07/29/20	33.50	7.50 W	0.00	15 of 16 - Sale <sup>25</sup>

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(continued)

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GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
10/07/20	2.000	2.60	07/29/20	3.34	0.74 W	0.00	16 of 16 - Sale <sup>25</sup>
	122.000	153.56	Various	265.46	111.90 W	0.00	Total of 16 transactions
	2 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	20.000	26.80	08/25/20	22.90	...	3.90	1 of 2 - Sale <sup>25</sup>
	2.000	2.68	08/27/20	2.37	...	0.31	2 of 2 - Sale <sup>25</sup>
10/08/20	22.000	29.48	Various	25.27	...	4.21	Total of 2 transactions
	3 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	3.000	4.02	07/29/20	5.01	0.99 W	0.00	1 of 3 - Sale <sup>25</sup>
	5.000	6.70	08/17/20	7.78	1.08 W	0.00	2 of 3 - Sale <sup>25</sup>
	20.000	26.80	08/19/20	27.40	0.60 W	0.00	3 of 3 - Sale <sup>25</sup>
10/08/20	28.000	37.52	Various	40.19	2.67 W	0.00	Total of 3 transactions
	2 transactions for 10/09/20. Total proceeds and cost reported to the IRS.						
	8.000	10.80	08/27/20	9.48	...	1.32	1 of 2 - Sale <sup>25</sup>
	10.000	13.50	09/01/20	10.55	...	2.95	2 of 2 - Sale <sup>25</sup>
10/09/20	18.000	24.30	Various	20.03	...	4.27	Total of 2 transactions
	6 transactions for 10/09/20. Total proceeds and cost reported to the IRS.						
	6.000	8.10	09/08/20	12.90	4.80 W	0.00	1 of 6 - Sale <sup>25</sup>
	4.000	5.40	09/08/20	8.60	3.20 W	0.00	2 of 6 - Sale <sup>25</sup>
	10.000	13.50	09/14/20	21.30	7.80 W	0.00	3 of 6 - Sale <sup>25</sup>
	5.000	6.75	09/24/20	11.18	4.43 W	0.00	4 of 6 - Sale <sup>25</sup>
	5.000	6.75	10/02/20	11.99	5.24 W	0.00	5 of 6 - Sale <sup>25</sup>
	2.000	2.70	10/02/20	4.80	2.10 W	0.00	6 of 6 - Sale <sup>25</sup>
10/09/20	32.000	43.20	Various	70.77	27.57 W	0.00	Total of 6 transactions
	6 transactions for 10/22/20. Total proceeds and cost reported to the IRS.						
	7.000	9.87	10/19/20	16.45	...	-6.58	1 of 6 - Sale <sup>25</sup>
	5.000	7.05	10/19/20	11.86	...	-4.81	2 of 6 - Sale <sup>25</sup>
	8.000	11.28	10/21/20	18.12	...	-6.84	3 of 6 - Sale <sup>25</sup>
	3.000	4.23	10/21/20	7.05	...	-2.82	4 of 6 - Sale <sup>25</sup>
	2.000	2.82	10/21/20	4.67	...	-1.85	5 of 6 - Sale <sup>25</sup>
	2.000	2.82	10/21/20	4.47	...	-1.65	6 of 6 - Sale <sup>25</sup>
10/22/20	27.000	38.07	Various	62.62	...	-24.55	Total of 6 transactions

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Robinhood Securities LLC

Account 880963962

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
6 transactions for 10/22/20. Total proceeds and cost reported to the IRS.							
	1.000	1.41	10/14/20	2.61	1.20 W	0.00	1 of 6 - Sale <sup>25</sup>
	5.000	7.05	10/19/20	12.12	2.03 W	-3.04	2 of 6 - Sale <sup>25</sup>
	2.000	2.82	10/19/20	4.96	2.14 W	0.00	3 of 6 - Sale <sup>25</sup>
	5.000	7.05	10/19/20	12.37	5.32 W	0.00	4 of 6 - Sale <sup>25</sup>
	5.000	7.05	10/19/20	12.33	5.28 W	0.00	5 of 6 - Sale <sup>25</sup>
	5.000	7.05	10/19/20	12.11	5.06 W	0.00	6 of 6 - Sale <sup>25</sup>
10/22/20	23.000	32.43	Various	56.50	21.03 W	-3.04	Total of 6 transactions
10 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	2.000	2.96	11/02/20	2.81	...	0.15	1 of 10 - Sale <sup>25</sup>
	3.000	4.44	11/02/20	4.09	...	0.35	2 of 10 - Sale <sup>25</sup>
	2.000	2.96	11/02/20	2.13	...	0.83	3 of 10 - Sale <sup>25</sup>
	5.000	7.40	11/02/20	6.26	...	1.14	4 of 10 - Sale <sup>25</sup>
	20.000	29.60	11/02/20	28.20	...	1.40	5 of 10 - Sale <sup>25</sup>
	10.000	14.80	11/02/20	10.65	...	4.15	6 of 10 - Sale <sup>25</sup>
	8.000	11.84	11/03/20	8.64	...	3.20	7 of 10 - Sale <sup>25</sup>
	5.000	7.40	12/01/20	6.74	...	0.66	8 of 10 - Sale <sup>25</sup>
	5.000	7.39	12/01/20	6.60	...	0.79	9 of 10 - Sale <sup>25</sup>
	10.000	14.79	12/01/20	13.80	...	0.99	10 of 10 - Sale <sup>25</sup>
12/08/20	70.000	103.58	Various	89.92	...	13.66	Total of 10 transactions
27 transactions for 12/08/20. Total proceeds and cost reported to the IRS.							
	3.000	4.44	11/02/20	5.87	1.43 W	0.00	1 of 27 - Sale <sup>25</sup>
	5.000	7.40	11/02/20	8.85	1.45 W	0.00	2 of 27 - Sale <sup>25</sup>
	10.000	14.80	11/02/20	17.00	2.20 W	0.00	3 of 27 - Sale <sup>25</sup>
	2.000	2.96	11/03/20	3.70	0.74 W	0.00	4 of 27 - Sale <sup>25</sup>
	1.000	1.48	11/03/20	1.87	0.39 W	0.00	5 of 27 - Sale <sup>25</sup>
	4.000	5.92	11/03/20	7.46	1.54 W	0.00	6 of 27 - Sale <sup>25</sup>
	3.000	4.44	11/03/20	5.58	1.14 W	0.00	7 of 27 - Sale <sup>25</sup>
	2.000	2.96	11/03/20	3.68	0.72 W	0.00	8 of 27 - Sale <sup>25</sup>
	2.000	2.96	11/05/20	3.81	0.85 W	0.00	9 of 27 - Sale <sup>25</sup>
	3.000	4.44	11/05/20	5.60	1.16 W	0.00	10 of 27 - Sale <sup>25</sup>
	3.000	4.44	11/06/20	5.55	1.11 W	0.00	11 of 27 - Sale <sup>25</sup>
	5.000	7.40	11/06/20	9.76	2.36 W	0.00	12 of 27 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 880963962

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GENIUS BRANDS INTERNATIONAL, I NC. COMMON STOCK / CUSIP: 37229T301 / Symbol: (cont'd)							
	1.000	1.48	11/06/20	2.11	0.63 W	0.00	13 of 27 - Sale <sup>25</sup>
	1.000	1.48	11/06/20	2.26	0.78 W	0.00	14 of 27 - Sale <sup>25</sup>
	2.000	2.96	11/06/20	4.22	1.26 W	0.00	15 of 27 - Sale <sup>25</sup>
	4.000	5.92	11/06/20	8.43	2.51 W	0.00	16 of 27 - Sale <sup>25</sup>
	1.000	1.48	11/06/20	2.13	0.65 W	0.00	17 of 27 - Sale <sup>25</sup>
	1.000	1.48	11/10/20	2.11	0.63 W	0.00	18 of 27 - Sale <sup>25</sup>
	2.000	2.96	11/10/20	4.20	1.24 W	0.00	19 of 27 - Sale <sup>25</sup>
	1.000	1.48	11/10/20	2.10	0.62 W	0.00	20 of 27 - Sale <sup>25</sup>
	2.000	2.96	11/10/20	4.16	1.20 W	0.00	21 of 27 - Sale <sup>25</sup>
	4.000	5.92	11/10/20	8.28	2.36 W	0.00	22 of 27 - Sale <sup>25</sup>
	1.000	1.48	11/10/20	2.09	0.61 W	0.00	23 of 27 - Sale <sup>25</sup>
	1.000	1.48	11/10/20	2.05	0.57 W	0.00	24 of 27 - Sale <sup>25</sup>
	1.000	1.48	11/10/20	2.05	0.57 W	0.00	25 of 27 - Sale <sup>25</sup>
	3.000	4.44	11/12/20	6.37	1.93 W	0.00	26 of 27 - Sale <sup>25</sup>
	2.000	2.96	11/12/20	4.25	1.29 W	0.00	27 of 27 - Sale <sup>25</sup>
12/08/20	70.000	103.60	Various	135.54	31.94 W	0.00	Total of 27 transactions
	<b>Security total:</b>	<b>565.74</b>		<b>766.30</b>	<b>195.11 W</b>	<b>-5.45</b>	
GERON CORPORATION COMMON STOCK / CUSIP: 374163103 / Symbol:							
3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.							
	30.000	49.50	06/01/20	49.49	...	0.01	1 of 3 - Sale <sup>25</sup>
	50.000	83.15	06/01/20	82.49	...	0.66	2 of 3 - Sale <sup>25</sup>
	20.000	33.00	06/04/20	32.14	...	0.86	3 of 3 - Sale <sup>25</sup>
06/08/20	100.000	165.65	Various	164.12	...	1.53	Total of 3 transactions
06/08/20	50.000	81.15	06/01/20	82.49	0.54 W	-0.80	Sale <sup>25</sup>
	<b>Security total:</b>	<b>246.80</b>		<b>246.61</b>	<b>0.54 W</b>	<b>0.73</b>	
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol:							
06/09/20	25.000	23.50	06/08/20	15.12	...	8.38	Sale <sup>25</sup>
7 transactions for 06/17/20. Total proceeds and cost reported to the IRS.							
	5.000	6.75	06/08/20	2.95	...	3.80	1 of 7 - Sale <sup>25</sup>
	10.000	13.50	06/08/20	5.90	...	7.60	2 of 7 - Sale <sup>25</sup>
	15.000	20.25	06/08/20	8.99	...	11.26	3 of 7 - Sale <sup>25</sup>
	25.000	33.75	06/08/20	14.96	...	18.79	4 of 7 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 880963962

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
	45.000	60.74	06/08/20	26.09	...	34.65	5 of 7 - Sale <sup>25</sup>
	50.000	67.49	06/08/20	29.99	...	37.50	6 of 7 - Sale <sup>25</sup>
	175.000	236.23	06/08/20	105.80	...	130.43	7 of 7 - Sale <sup>25</sup>
06/17/20	325.000	438.71	Various	194.68	...	244.03	Total of 7 transactions
	13 transactions for 06/22/20. Total proceeds and cost reported to the IRS.						
	1.000	2.76	06/18/20	2.20	...	0.56	1 of 13 - Sale <sup>25</sup>
	2.000	5.52	06/18/20	4.34	...	1.18	2 of 13 - Sale <sup>25</sup>
	3.000	8.28	06/18/20	6.51	...	1.77	3 of 13 - Sale <sup>25</sup>
	3.000	8.28	06/18/20	6.42	...	1.86	4 of 13 - Sale <sup>25</sup>
	5.000	13.80	06/18/20	10.90	...	2.90	5 of 13 - Sale <sup>25</sup>
	5.000	13.80	06/18/20	10.85	...	2.95	6 of 13 - Sale <sup>25</sup>
	5.000	13.80	06/18/20	10.55	...	3.25	7 of 13 - Sale <sup>25</sup>
	10.000	27.60	06/18/20	22.40	...	5.20	8 of 13 - Sale <sup>25</sup>
	10.000	27.60	06/18/20	22.00	...	5.60	9 of 13 - Sale <sup>25</sup>
	10.000	27.60	06/18/20	21.70	...	5.90	10 of 13 - Sale <sup>25</sup>
	12.000	33.11	06/18/20	25.44	...	7.67	11 of 13 - Sale <sup>25</sup>
	14.000	38.64	06/18/20	30.80	...	7.84	12 of 13 - Sale <sup>25</sup>
	15.000	41.40	06/18/20	31.95	...	9.45	13 of 13 - Sale <sup>25</sup>
06/22/20	95.000	262.19	Various	206.06	...	56.13	Total of 13 transactions
10/13/20	300.000	297.02	10/12/20	297.00	...	0.02	Sale <sup>25</sup>
	4 transactions for 10/22/20. Total proceeds and cost reported to the IRS.						
	25.000	27.25	10/15/20	23.63	...	3.62	1 of 4 - Sale <sup>25</sup>
	50.000	54.49	10/15/20	47.03	...	7.46	2 of 4 - Sale <sup>25</sup>
	5.000	5.45	10/16/20	4.59	...	0.86	3 of 4 - Sale <sup>25</sup>
	20.000	21.80	10/19/20	17.99	...	3.81	4 of 4 - Sale <sup>25</sup>
10/22/20	100.000	108.99	Various	93.24	...	15.75	Total of 4 transactions
	5 transactions for 11/12/20. Total proceeds and cost reported to the IRS.						
	1.000	1.02	10/23/20	0.90	...	0.12	1 of 5 - Sale <sup>25</sup>
	1.000	1.02	10/23/20	0.90	...	0.12	2 of 5 - Sale <sup>25</sup>
	7.000	7.14	10/23/20	6.51	...	0.63	3 of 5 - Sale <sup>25</sup>
	21.000	21.42	10/23/20	19.53	...	1.89	4 of 5 - Sale <sup>25</sup>
	52.000	53.03	10/23/20	48.36	...	4.67	5 of 5 - Sale <sup>25</sup>
11/12/20	82.000	83.63	Various	76.20	...	7.43	Total of 5 transactions

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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IDEANOMICS, INC. COMMON STOCK / CUSIP: 45166V106 / Symbol: (cont'd)							
<i>9 transactions for 11/13/20. Total proceeds and cost reported to the IRS.</i>							
	18.000	18.90	10/23/20	16.20	...	2.70	1 of 9 - Sale <sup>25</sup>
	10.000	10.50	10/28/20	8.43	...	2.07	2 of 9 - Sale <sup>25</sup>
	20.000	21.00	10/28/20	16.89	...	4.11	3 of 9 - Sale <sup>25</sup>
	5.000	5.25	10/29/20	4.11	...	1.14	4 of 9 - Sale <sup>25</sup>
	5.000	5.25	10/29/20	4.11	...	1.14	5 of 9 - Sale <sup>25</sup>
	10.000	10.50	11/02/20	8.10	...	2.40	6 of 9 - Sale <sup>25</sup>
	4.000	4.20	11/10/20	3.61	...	0.59	7 of 9 - Sale <sup>25</sup>
	6.000	6.29	11/10/20	5.26	...	1.03	8 of 9 - Sale <sup>25</sup>
	2.000	2.10	11/11/20	1.79	...	0.31	9 of 9 - Sale <sup>25</sup>
11/13/20	80.000	83.99	Various	68.50	...	15.49	Total of 9 transactions
11/20/20	10.000	14.31	11/16/20	10.00	...	4.31	Sale <sup>25</sup>
	<b>Security total:</b>	<b>1,312.34</b>		<b>960.80</b>	...	<b>351.54</b>	
KITOV PHARMA LTD. AMERICAN DEP OSITARY SHARES / CUSIP: 49803V107 / Symbol:							
<i>3 transactions for 06/25/20. Total proceeds and cost reported to the IRS.</i>							
	50.000	59.49	06/22/20	34.00	...	25.49	1 of 3 - Sale <sup>25</sup>
	5.000	5.95	06/23/20	3.95	...	2.00	2 of 3 - Sale <sup>25</sup>
	25.000	29.75	06/23/20	20.11	...	9.64	3 of 3 - Sale <sup>25</sup>
06/25/20	80.000	95.19	Various	58.06	...	37.13	Total of 3 transactions
<i>8 transactions for 07/29/20. Total proceeds and cost reported to the IRS.</i>							
	100.000	81.99	07/09/20	83.00	...	-1.01	1 of 8 - Sale <sup>25</sup>
	10.000	8.20	07/09/20	8.62	...	-0.42	2 of 8 - Sale <sup>25</sup>
	5.000	4.10	07/10/20	4.06	...	0.04	3 of 8 - Sale <sup>25</sup>
	5.000	4.10	07/10/20	4.05	...	0.05	4 of 8 - Sale <sup>25</sup>
	20.000	16.40	07/13/20	15.69	...	0.71	5 of 8 - Sale <sup>25</sup>
	20.000	16.39	07/13/20	15.38	...	1.01	6 of 8 - Sale <sup>25</sup>
	20.000	16.40	07/13/20	15.38	...	1.02	7 of 8 - Sale <sup>25</sup>
	20.000	16.40	07/13/20	15.38	...	1.02	8 of 8 - Sale <sup>25</sup>
07/29/20	200.000	163.98	Various	161.56	...	2.42	Total of 8 transactions
	<b>Security total:</b>	<b>259.17</b>		<b>219.62</b>	...	<b>39.55</b>	

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(continued)

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MATINAS BIOPHARMA HOLDINGS, IN C. / CUSIP: 576810105 / Symbol:							
6 transactions for 12/07/20. Total proceeds and cost reported to the IRS.							
	1.000	1.28	11/13/20	0.81	...	0.47	1 of 6 - Sale <sup>25</sup>
	2.000	2.56	11/16/20	1.60	...	0.96	2 of 6 - Sale <sup>25</sup>
	7.000	8.96	11/19/20	5.46	...	3.50	3 of 6 - Sale <sup>25</sup>
	10.000	12.80	12/02/20	10.00	...	2.80	4 of 6 - Sale <sup>25</sup>
	10.000	12.80	12/02/20	9.77	...	3.03	5 of 6 - Sale <sup>25</sup>
	15.000	19.20	12/02/20	14.85	...	4.35	6 of 6 - Sale <sup>25</sup>
12/07/20	45.000	57.60	Various	42.49	...	15.11	Total of 6 transactions
NOVAN, INC. COMMON STOCK / CUSIP: 66988N106 / Symbol:							
4 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	50.000	27.49	06/26/20	26.16	...	1.33	1 of 4 - Sale <sup>25</sup>
	50.000	27.50	06/26/20	22.91	...	4.59	2 of 4 - Sale <sup>25</sup>
	10.000	5.50	06/30/20	4.82	...	0.68	3 of 4 - Sale <sup>25</sup>
	40.000	21.99	07/01/20	20.27	...	1.72	4 of 4 - Sale <sup>25</sup>
07/06/20	150.000	82.48	Various	74.16	...	8.32	Total of 4 transactions
3 transactions for 07/06/20. Total proceeds and cost reported to the IRS.							
	150.000	79.94	06/23/20	89.70	9.76 W	0.00	1 of 3 - Sale <sup>25</sup>
	50.000	27.49	06/24/20	29.85	2.36 W	0.00	2 of 3 - Sale <sup>25</sup>
	50.000	27.50	06/24/20	29.02	1.52 W	0.00	3 of 3 - Sale <sup>25</sup>
07/06/20	250.000	134.93	Various	148.57	13.64 W	0.00	Total of 3 transactions
2 transactions for 07/21/20. Total proceeds and cost reported to the IRS.							
	50.000	35.99	07/13/20	27.61	...	8.38	1 of 2 - Sale <sup>25</sup>
	50.000	36.00	07/13/20	26.76	...	9.24	2 of 2 - Sale <sup>25</sup>
07/21/20	100.000	71.99	Various	54.37	...	17.62	Total of 2 transactions
	<b>Security total:</b>	<b>289.40</b>		<b>277.10</b>	<b>13.64 W</b>	<b>25.94</b>	
OASIS PETROLEUM INC. COMMON STOCK / CUSIP: 674215108 / Symbol:							
6 transactions for 10/02/20. Total proceeds and cost reported to the IRS.							
	100.000	20.99	06/08/20	197.00	176.01 W	0.00	1 of 6 - Sale <sup>25</sup>
	3.000	0.63	06/09/20	5.10	4.47 W	0.00	2 of 6 - Sale <sup>25</sup>
	20.000	4.20	06/09/20	33.80	29.60 W	0.00	3 of 6 - Sale <sup>25</sup>
	50.000	10.49	06/09/20	82.00	71.51 W	0.00	4 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 880963962

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
OASIS PETROLEUM INC. COMMON STOCK / CUSIP: 674215108 / Symbol: (cont'd)							
	7.000	1.47	06/09/20	10.63		0.00	5 of 6 - Sale <sup>25</sup>
	20.000	4.20	06/10/20	25.40	9.16 W	0.00	6 of 6 - Sale <sup>25</sup>
10/02/20	200.000	41.98	Various	353.93	21.20 W	0.00	Total of 6 transactions
	23 transactions for 10/21/20. Total proceeds and cost reported to the IRS.						
	50.000	6.52	06/11/20	51.00	311.95 W	0.00	Total of 6 transactions
	20.000	2.61	06/15/20	21.80	...	-44.48	1 of 23 - Sale <sup>25</sup>
	30.000	3.90	06/22/20	30.60	...	-19.19	2 of 23 - Sale <sup>25</sup>
	10.000	1.33	06/23/20	9.73	...	-26.70	3 of 23 - Sale <sup>25</sup>
	50.000	6.64	06/24/20	39.45	...	-8.40	4 of 23 - Sale <sup>25</sup>
	27.000	3.58	06/24/20	24.03	...	-32.81	5 of 23 - Sale <sup>25</sup>
	20.000	2.66	06/24/20	15.19	...	-20.45	6 of 23 - Sale <sup>25</sup>
	10.000	1.33	06/24/20	8.90	...	-12.53	7 of 23 - Sale <sup>25</sup>
	10.000	1.33	06/24/20	7.90	...	-7.57	8 of 23 - Sale <sup>25</sup>
	10.000	1.33	06/24/20	7.88	...	-6.57	9 of 23 - Sale <sup>25</sup>
	10.000	1.33	06/24/20	7.88	...	-6.55	10 of 23 - Sale <sup>25</sup>
	10.000	1.33	06/24/20	7.88	...	-6.55	11 of 23 - Sale <sup>25</sup>
	10.000	1.33	06/24/20	7.66	...	-6.33	12 of 23 - Sale <sup>25</sup>
	3.000	0.40	06/24/20	2.70	...	-2.30	13 of 23 - Sale <sup>25</sup>
	25.000	3.32	06/25/20	19.14	...	-15.82	14 of 23 - Sale <sup>25</sup>
	10.000	1.33	06/25/20	7.65	...	-6.32	15 of 23 - Sale <sup>25</sup>
	5.000	0.66	06/25/20	3.74	...	-3.08	16 of 23 - Sale <sup>25</sup>
	20.000	2.65	06/30/20	15.12	...	-12.47	17 of 23 - Sale <sup>25</sup>
	10.000	1.33	06/30/20	7.74	...	-6.41	18 of 23 - Sale <sup>25</sup>
	20.000	2.65	07/01/20	15.98	...	-13.33	19 of 23 - Sale <sup>25</sup>
	25.000	3.32	07/06/20	18.39	...	-15.07	20 of 23 - Sale <sup>25</sup>
	25.000	3.32	07/06/20	18.23	...	-14.91	21 of 23 - Sale <sup>25</sup>
	30.000	3.98	07/09/20	21.29	...	-17.31	22 of 23 - Sale <sup>25</sup>
	20.000	2.66	07/09/20	14.02	...	-11.36	23 of 23 - Sale <sup>25</sup>
10/21/20	450.000	59.51	Various	376.02	...	-316.51	Total of 23 transactions
	6 transactions for 10/21/20. Total proceeds and cost reported to the IRS.						
	50.000	6.52	06/10/20	55.00	48.48 W	0.00	1 of 6 - Sale <sup>25</sup>
	2.000	0.26	06/10/20	2.62	2.36 W	0.00	2 of 6 - Sale <sup>25</sup>
	45.000	5.87	06/11/20	45.90	4.45 W	-35.58	3 of 6 - Sale <sup>25</sup>
	42.000	5.48	06/11/20	41.57	36.09 W	0.00	4 of 6 - Sale <sup>25</sup>
	6.000	0.78	06/11/20	6.60	5.82 W	0.00	5 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 880963962

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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OASIS PETROLEUM INC. COMMON STOCK / CUSIP: 674215108 / Symbol: (cont'd)							
10/21/20	5.000	0.65	06/11/20	5.80	5.15 W	0.00	6 of 6 - Sale <sup>25</sup>
	150.000	19.56	Various	157.49	102.35 W	-35.58	Total of 6 transactions
	10 transactions for 11/11/20. Total proceeds and cost reported to the IRS.						
	50.000	6.47	07/10/20	31.98	...	-25.51	1 of 10 - Sale <sup>25</sup>
	50.000	6.47	07/10/20	31.98	...	-25.51	2 of 10 - Sale <sup>25</sup>
	25.000	3.25	07/13/20	16.82	...	-13.57	3 of 10 - Sale <sup>25</sup>
	25.000	3.25	07/29/20	17.07	...	-13.82	4 of 10 - Sale <sup>25</sup>
	25.000	3.25	07/31/20	16.99	...	-13.74	5 of 10 - Sale <sup>25</sup>
	25.000	3.24	07/31/20	16.50	...	-13.26	6 of 10 - Sale <sup>25</sup>
	50.000	7.25	08/19/20	34.24	...	-26.99	7 of 10 - Sale <sup>25</sup>
	50.000	7.25	08/21/20	31.45	...	-24.20	8 of 10 - Sale <sup>25</sup>
	50.000	7.95	08/21/20	31.45	...	-23.50	9 of 10 - Sale <sup>25</sup>
	50.000	7.94	08/27/20	29.64	...	-21.70	10 of 10 - Sale <sup>25</sup>
11/11/20	400.000	56.32	Various	258.12	...	-201.80	Total of 10 transactions
	<b>Security total:</b>	<b>177.37</b>		<b>1,145.56</b>	<b>414.30 W</b>	<b>-553.89</b>	
PROSPECT CAPITAL CORPORATION COMMON STOCK / CUSIP: 74348T102 / Symbol:							
06/01/20	1.000	5.22	05/29/20	5.21	...	0.01	Sale <sup>25</sup>
RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol:							
06/18/20	20.000	12.52	06/08/20	12.60	0.08 W	0.00	Sale <sup>25</sup>
	47 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	20.000	5.70	06/25/20	10.36	...	-4.66	1 of 47 - Sale <sup>25</sup>
	15.000	4.27	06/25/20	7.78	...	-3.51	2 of 47 - Sale <sup>25</sup>
	10.000	2.85	06/25/20	5.15	...	-2.30	3 of 47 - Sale <sup>25</sup>
	10.000	2.85	06/25/20	5.11	...	-2.26	4 of 47 - Sale <sup>25</sup>
	5.000	1.43	06/25/20	2.58	...	-1.15	5 of 47 - Sale <sup>25</sup>
	12.000	3.42	06/26/20	5.76	...	-2.34	6 of 47 - Sale <sup>25</sup>
	8.000	2.32	06/26/20	3.84	...	-1.52	7 of 47 - Sale <sup>25</sup>
	4.000	1.16	06/26/20	1.92	...	-0.76	8 of 47 - Sale <sup>25</sup>
	10.000	2.90	06/30/20	4.90	...	-2.00	9 of 47 - Sale <sup>25</sup>
	6.000	1.74	06/30/20	2.95	...	-1.21	10 of 47 - Sale <sup>25</sup>
	100.000	28.99	07/01/20	47.60	...	-18.61	11 of 47 - Sale <sup>25</sup>
	50.000	14.49	07/01/20	23.74	...	-9.25	12 of 47 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 880963962

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	10.000	2.90	07/06/20	4.58	...	-1.68	13 of 47 - Sale <sup>25</sup>
	10.000	3.05	07/06/20	4.62	...	-1.57	14 of 47 - Sale <sup>25</sup>
	10.000	3.05	07/06/20	4.60	...	-1.55	15 of 47 - Sale <sup>25</sup>
	8.000	2.44	07/06/20	3.72	...	-1.28	16 of 47 - Sale <sup>25</sup>
	7.000	2.03	07/06/20	3.26	...	-1.23	17 of 47 - Sale <sup>25</sup>
	3.000	0.87	07/06/20	1.38	...	-0.51	18 of 47 - Sale <sup>25</sup>
	2.000	0.58	07/06/20	0.92	...	-0.34	19 of 47 - Sale <sup>25</sup>
	72.000	21.89	07/09/20	30.74	...	-8.85	20 of 47 - Sale <sup>25</sup>
	28.000	8.54	07/09/20	11.96	...	-3.42	21 of 47 - Sale <sup>25</sup>
	25.000	7.62	07/09/20	10.70	...	-3.08	22 of 47 - Sale <sup>25</sup>
	25.000	7.62	07/09/20	10.67	...	-3.05	23 of 47 - Sale <sup>25</sup>
	78.000	23.23	07/17/20	30.42	...	-7.19	24 of 47 - Sale <sup>25</sup>
	22.000	6.69	07/17/20	8.58	...	-1.89	25 of 47 - Sale <sup>25</sup>
	50.000	14.89	07/21/20	19.94	...	-5.05	26 of 47 - Sale <sup>25</sup>
	100.000	29.79	07/29/20	34.79	...	-5.00	27 of 47 - Sale <sup>25</sup>
	50.000	14.90	07/29/20	17.06	...	-2.16	28 of 47 - Sale <sup>25</sup>
	25.000	7.45	07/31/20	7.44	...	0.01	29 of 47 - Sale <sup>25</sup>
	22.000	6.55	07/31/20	6.45	...	0.10	30 of 47 - Sale <sup>25</sup>
	50.000	14.89	07/31/20	14.75	...	0.14	31 of 47 - Sale <sup>25</sup>
	25.000	7.45	07/31/20	7.19	...	0.26	32 of 47 - Sale <sup>25</sup>
	178.000	53.02	07/31/20	52.23	...	0.79	33 of 47 - Sale <sup>25</sup>
	200.000	59.58	07/31/20	58.68	...	0.90	34 of 47 - Sale <sup>25</sup>
	100.000	29.79	08/21/20	30.94	...	-1.15	35 of 47 - Sale <sup>25</sup>
	100.000	29.79	08/21/20	30.92	...	-1.13	36 of 47 - Sale <sup>25</sup>
	25.000	7.50	09/01/20	7.93	...	-0.43	37 of 47 - Sale <sup>25</sup>
	28.000	8.40	09/01/20	8.82	...	-0.42	38 of 47 - Sale <sup>25</sup>
	22.000	6.55	09/01/20	6.93	...	-0.38	39 of 47 - Sale <sup>25</sup>
	25.000	7.50	09/02/20	7.51	...	-0.01	40 of 47 - Sale <sup>25</sup>
	50.000	14.99	09/02/20	14.98	...	0.01	41 of 47 - Sale <sup>25</sup>
	50.000	14.99	09/03/20	13.80	...	1.19	42 of 47 - Sale <sup>25</sup>
	100.000	29.99	09/04/20	27.28	...	2.71	43 of 47 - Sale <sup>25</sup>
	50.000	14.99	09/18/20	30.69	...	-15.70	44 of 47 - Sale <sup>25</sup>
	50.000	14.99	09/18/20	30.68	...	-15.69	45 of 47 - Sale <sup>25</sup>
	12.000	3.60	09/18/20	7.32	...	-3.72	46 of 47 - Sale <sup>25</sup>

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Account 880963962

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
10/07/20	10.000	3.00	09/18/20	6.10	...	-3.10	47 of 47 - Sale <sup>25</sup>
	1,872.000	557.23	Various	690.27	...	-133.04	Total of 47 transactions
	32 transactions for 10/07/20. Total proceeds and cost reported to the IRS.						
	100.000	27.99	06/08/20	63.00	35.01 W	0.00	1 of 32 - Sale <sup>25</sup>
	30.000	8.40	06/08/20	18.90	10.50 W	0.00	2 of 32 - Sale <sup>25</sup>
	5.000	1.40	06/08/20	3.07	1.67 W	0.00	3 of 32 - Sale <sup>25</sup>
	15.000	4.20	06/08/20	9.21	5.01 W	0.00	4 of 32 - Sale <sup>25</sup>
	30.000	8.39	06/08/20	18.30	9.91 W	0.00	5 of 32 - Sale <sup>25</sup>
	20.000	5.60	06/08/20	12.11	6.51 W	0.00	6 of 32 - Sale <sup>25</sup>
	30.000	8.40	06/08/20	18.16	9.76 W	0.00	7 of 32 - Sale <sup>25</sup>
	10.000	2.80	06/08/20	5.88	3.08 W	0.00	8 of 32 - Sale <sup>25</sup>
	5.000	1.40	06/08/20	2.95	1.55 W	0.00	9 of 32 - Sale <sup>25</sup>
	3.000	0.84	06/08/20	1.77	0.93 W	0.00	10 of 32 - Sale <sup>25</sup>
	2.000	0.56	06/08/20	1.22	0.66 W	0.00	11 of 32 - Sale <sup>25</sup>
	5.000	1.40	06/08/20	3.00	1.60 W	0.00	12 of 32 - Sale <sup>25</sup>
	5.000	1.40	06/08/20	3.00	1.60 W	0.00	13 of 32 - Sale <sup>25</sup>
	10.000	2.80	06/08/20	5.98	3.18 W	0.00	14 of 32 - Sale <sup>25</sup>
	10.000	2.80	06/08/20	6.03	3.23 W	0.00	15 of 32 - Sale <sup>25</sup>
	10.000	2.79	06/08/20	5.90	3.11 W	0.00	16 of 32 - Sale <sup>25</sup>
	10.000	2.80	06/22/20	5.79	2.99 W	0.00	17 of 32 - Sale <sup>25</sup>
	22.000	6.16	06/22/20	12.73	6.57 W	0.00	18 of 32 - Sale <sup>25</sup>
	3.000	0.84	06/22/20	1.74	0.90 W	0.00	19 of 32 - Sale <sup>25</sup>
	5.000	1.40	06/22/20	2.89	1.49 W	0.00	20 of 32 - Sale <sup>25</sup>
	10.000	2.80	06/22/20	5.80	3.00 W	0.00	21 of 32 - Sale <sup>25</sup>
	10.000	2.80	06/22/20	5.80	3.00 W	0.00	22 of 32 - Sale <sup>25</sup>
	10.000	2.80	06/22/20	5.68	2.88 W	0.00	23 of 32 - Sale <sup>25</sup>
	30.000	8.40	06/24/20	16.68	8.28 W	0.00	24 of 32 - Sale <sup>25</sup>
	20.000	5.59	06/24/20	11.12	5.53 W	0.00	25 of 32 - Sale <sup>25</sup>
	10.000	2.80	06/24/20	5.41	2.61 W	0.00	26 of 32 - Sale <sup>25</sup>
	2.000	0.56	06/24/20	1.08	0.52 W	0.00	27 of 32 - Sale <sup>25</sup>
	3.000	0.85	06/24/20	1.63	0.78 W	0.00	28 of 32 - Sale <sup>25</sup>
	35.000	9.97	06/24/20	18.72	8.75 W	0.00	29 of 32 - Sale <sup>25</sup>
	25.000	7.12	06/24/20	13.25	6.13 W	0.00	30 of 32 - Sale <sup>25</sup>
	15.000	4.27	06/24/20	7.95	3.68 W	0.00	31 of 32 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
	50.000	14.25	06/25/20	25.76			
10/07/20	550.000	154.58	Various	320.51	5.06 W 159.48 W	-6.45 -6.45	32 of 32 - Sale <sup>25</sup> Total of 32 transactions
	32 transactions for 10/28/20. Total proceeds and cost reported to the IRS.						
	23.000	4.60	10/14/20	13.56	...	-8.96	1 of 32 - Sale <sup>25</sup>
	22.000	4.39	10/14/20	12.86	...	-8.47	2 of 32 - Sale <sup>25</sup>
	20.000	4.00	10/14/20	11.69	...	-7.69	3 of 32 - Sale <sup>25</sup>
	15.000	3.00	10/14/20	8.89	...	-5.89	4 of 32 - Sale <sup>25</sup>
	10.000	2.00	10/14/20	5.77	...	-3.77	5 of 32 - Sale <sup>25</sup>
	10.000	2.00	10/14/20	5.67	...	-3.67	6 of 32 - Sale <sup>25</sup>
	8.000	1.60	10/14/20	4.67	...	-3.07	7 of 32 - Sale <sup>25</sup>
	7.000	1.40	10/14/20	4.26	...	-2.86	8 of 32 - Sale <sup>25</sup>
	7.000	1.40	10/14/20	4.12	...	-2.72	9 of 32 - Sale <sup>25</sup>
	5.000	1.00	10/14/20	2.97	...	-1.97	10 of 32 - Sale <sup>25</sup>
	5.000	1.00	10/14/20	2.89	...	-1.89	11 of 32 - Sale <sup>25</sup>
	5.000	1.00	10/14/20	2.89	...	-1.89	12 of 32 - Sale <sup>25</sup>
	5.000	1.00	10/14/20	2.84	...	-1.84	13 of 32 - Sale <sup>25</sup>
	3.000	0.60	10/14/20	1.75	...	-1.15	14 of 32 - Sale <sup>25</sup>
	3.000	0.60	10/14/20	1.71	...	-1.11	15 of 32 - Sale <sup>25</sup>
	2.000	0.40	10/14/20	1.18	...	-0.78	16 of 32 - Sale <sup>25</sup>
	1.000	0.20	10/14/20	0.61	...	-0.41	17 of 32 - Sale <sup>25</sup>
	22.000	4.40	10/16/20	12.27	...	-7.87	18 of 32 - Sale <sup>25</sup>
	10.000	2.00	10/16/20	5.70	...	-3.70	19 of 32 - Sale <sup>25</sup>
	10.000	2.00	10/16/20	5.58	...	-3.58	20 of 32 - Sale <sup>25</sup>
	7.000	1.40	10/16/20	4.07	...	-2.67	21 of 32 - Sale <sup>25</sup>
	5.000	1.04	10/16/20	2.78	...	-1.74	22 of 32 - Sale <sup>25</sup>
	3.000	0.62	10/16/20	1.67	...	-1.05	23 of 32 - Sale <sup>25</sup>
	2.000	0.41	10/16/20	1.11	...	-0.70	24 of 32 - Sale <sup>25</sup>
	20.000	4.20	10/21/20	10.41	...	-6.21	25 of 32 - Sale <sup>25</sup>
	18.000	3.78	10/21/20	9.36	...	-5.58	26 of 32 - Sale <sup>25</sup>
	12.000	2.48	10/21/20	6.24	...	-3.76	27 of 32 - Sale <sup>25</sup>
	10.000	2.07	10/21/20	5.44	...	-3.37	28 of 32 - Sale <sup>25</sup>
	10.000	2.07	10/21/20	5.32	...	-3.25	29 of 32 - Sale <sup>25</sup>
	10.000	2.10	10/21/20	5.05	...	-2.95	30 of 32 - Sale <sup>25</sup>
	8.000	1.66	10/21/20	4.35	...	-2.69	31 of 32 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 880963962

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
RA MEDICAL SYSTEMS, INC / CUSIP: 74933X104 / Symbol: (cont'd)							
10/28/20	2.000	0.42	10/21/20	1.01	...	-0.59	32 of 32 - Sale <sup>25</sup>
	300.000	60.84	Various	168.69	...	-107.85	Total of 32 transactions
	5 transactions for 11/13/20. Total proceeds and cost reported to the IRS.						
	35.000	7.70	10/21/20	17.29	...	-9.59	1 of 5 - Sale <sup>25</sup>
	25.000	5.50	10/21/20	12.23	...	-6.73	2 of 5 - Sale <sup>25</sup>
	22.000	4.83	10/21/20	10.43	...	-5.60	3 of 5 - Sale <sup>25</sup>
	15.000	3.30	10/21/20	7.34	...	-4.04	4 of 5 - Sale <sup>25</sup>
	3.000	0.66	10/21/20	1.51	...	-0.85	5 of 5 - Sale <sup>25</sup>
11/13/20	100.000	21.99	Various	48.80	...	-26.81	Total of 5 transactions
	<b>Security total:</b>	<b>807.16</b>		<b>1,240.87</b>	<b>159.56 W</b>	<b>-274.15</b>	
SENSEONICS HOLDINGS, INC. / CUSIP: 81727U105 / Symbol:							
12/23/20	300.000	290.96	12/07/20	125.70	...	165.26	Sale <sup>25</sup>
	2 transactions for 12/24/20. Total proceeds and cost reported to the IRS.						
	50.000	56.49	12/07/20	20.95	...	35.54	1 of 2 - Sale <sup>25</sup>
	25.000	28.25	12/08/20	10.25	...	18.00	2 of 2 - Sale <sup>25</sup>
12/24/20	75.000	84.74	Various	31.20	...	53.54	Total of 2 transactions
	<b>Security total:</b>	<b>375.70</b>		<b>156.90</b>	...	<b>218.80</b>	
SEQUENTIAL BRANDS GROUP, INC. COMMON STOCK / CUSIP: 81734P206 / Symbol:							
	26 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	7.000	34.44	08/17/20	39.69	...	-5.25	1 of 26 - Sale <sup>25</sup>
	3.000	14.76	08/17/20	17.07	...	-2.31	2 of 26 - Sale <sup>25</sup>
	2.000	9.92	08/17/20	11.02	...	-1.10	3 of 26 - Sale <sup>25</sup>
	5.000	24.80	08/17/20	25.88	...	-1.08	4 of 26 - Sale <sup>25</sup>
	1.000	4.92	08/17/20	5.67	...	-0.75	5 of 26 - Sale <sup>25</sup>
	3.000	14.88	09/01/20	17.99	...	-3.11	6 of 26 - Sale <sup>25</sup>
	3.000	14.88	09/02/20	17.70	...	-2.82	7 of 26 - Sale <sup>25</sup>
	2.000	9.92	09/02/20	12.10	...	-2.18	8 of 26 - Sale <sup>25</sup>
	2.000	9.92	09/02/20	11.84	...	-1.92	9 of 26 - Sale <sup>25</sup>
	2.000	9.92	09/02/20	11.71	...	-1.79	10 of 26 - Sale <sup>25</sup>
	1.000	4.96	09/02/20	6.04	...	-1.08	11 of 26 - Sale <sup>25</sup>
	1.000	4.96	09/03/20	5.70	...	-0.74	12 of 26 - Sale <sup>25</sup>
	1.000	4.96	09/03/20	5.70	...	-0.74	13 of 26 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 880963962

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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SEQUENTIAL BRANDS GROUP, INC. COMMON STOCK / CUSIP: 81734P206 / Symbol: (cont'd)							
	2.000	9.92	09/04/20	11.06	...	-1.14	14 of 26 - Sale <sup>25</sup>
	1.000	4.96	09/15/20	10.37	...	-5.41	15 of 26 - Sale <sup>25</sup>
	2.750	13.94	09/22/20	26.85	...	-12.91	16 of 26 - Sale <sup>25</sup>
	1.000	5.06	09/22/20	10.82	...	-5.76	17 of 26 - Sale <sup>25</sup>
	1.000	5.06	09/22/20	9.44	...	-4.38	18 of 26 - Sale <sup>25</sup>
	1.000	5.06	09/22/20	9.44	...	-4.38	19 of 26 - Sale <sup>25</sup>
	0.250	1.27	09/22/20	2.71	...	-1.44	20 of 26 - Sale <sup>25</sup>
	2.500	12.65	09/24/20	21.75	...	-9.10	21 of 26 - Sale <sup>25</sup>
	1.250	6.32	09/24/20	10.97	...	-4.65	22 of 26 - Sale <sup>25</sup>
	1.250	6.33	09/24/20	10.80	...	-4.47	23 of 26 - Sale <sup>25</sup>
	1.250	6.33	09/24/20	10.12	...	-3.79	24 of 26 - Sale <sup>25</sup>
	0.500	2.53	09/24/20	4.51	...	-1.98	25 of 26 - Sale <sup>25</sup>
	0.250	1.26	09/24/20	2.01	...	-0.75	26 of 26 - Sale <sup>25</sup>
10/08/20	49.000	243.93	Various	328.96	...	-85.03	Total of 26 transactions
	15 transactions for 10/08/20. Total proceeds and cost reported to the IRS.						
	3.750	18.45	06/08/20	37.19	18.74 W	0.00	1 of 15 - Sale <sup>25</sup>
	1.250	6.15	06/11/20	13.72	7.57 W	0.00	2 of 15 - Sale <sup>25</sup>
	2.500	12.30	06/22/20	24.00	11.70 W	0.00	3 of 15 - Sale <sup>25</sup>
	1.250	6.15	06/24/20	11.52	5.37 W	0.00	4 of 15 - Sale <sup>25</sup>
	1.250	6.15	06/24/20	11.69	5.54 W	0.00	5 of 15 - Sale <sup>25</sup>
	2.500	12.30	06/25/20	23.19	10.89 W	0.00	6 of 15 - Sale <sup>25</sup>
	1.250	6.15	06/26/20	10.84	4.69 W	0.00	7 of 15 - Sale <sup>25</sup>
	1.250	6.15	07/01/20	10.75	4.60 W	0.00	8 of 15 - Sale <sup>25</sup>
	1.250	6.15	07/06/20	10.50	4.35 W	0.00	9 of 15 - Sale <sup>25</sup>
	1.250	6.15	07/06/20	10.50	4.35 W	0.00	10 of 15 - Sale <sup>25</sup>
	2.500	12.30	07/09/20	20.42	8.12 W	0.00	11 of 15 - Sale <sup>25</sup>
	8.000	39.36	07/27/20	41.60	2.24 W	0.00	12 of 15 - Sale <sup>25</sup>
	1.000	4.92	07/27/20	5.17	0.25 W	0.00	13 of 15 - Sale <sup>25</sup>
	4.000	19.68	07/27/20	20.68	1.00 W	0.00	14 of 15 - Sale <sup>25</sup>
	6.000	29.52	08/17/20	34.80	0.88 W	-4.40	15 of 15 - Sale <sup>25</sup>
10/08/20	39.000	191.88	Various	286.57	90.29 W	-4.40	Total of 15 transactions
	14 transactions for 10/09/20. Total proceeds and cost reported to the IRS.						
	2.500	12.57	09/24/20	18.97	...	-6.40	1 of 14 - Sale <sup>25</sup>
	1.250	6.29	09/24/20	9.78	...	-3.49	2 of 14 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 880963962

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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SEQUENTIAL BRANDS GROUP, INC. COMMON STOCK / CUSIP: 81734P206 / Symbol: (cont'd)							
	1.000	5.03	09/24/20	8.02	...	-2.99	3 of 14 - Sale <sup>25</sup>
	1.000	5.03	09/24/20	7.83	...	-2.80	4 of 14 - Sale <sup>25</sup>
	0.250	1.26	09/24/20	1.96	...	-0.70	5 of 14 - Sale <sup>25</sup>
	1.000	5.03	09/24/20	5.05	...	-0.02	6 of 14 - Sale <sup>25</sup>
	1.000	5.03	09/24/20	4.62	...	0.41	7 of 14 - Sale <sup>25</sup>
	1.000	5.03	09/24/20	4.43	...	0.60	8 of 14 - Sale <sup>25</sup>
	1.000	5.03	09/24/20	4.42	...	0.61	9 of 14 - Sale <sup>25</sup>
	1.000	5.03	09/24/20	4.41	...	0.62	10 of 14 - Sale <sup>25</sup>
	2.000	10.06	09/24/20	9.17	...	0.89	11 of 14 - Sale <sup>25</sup>
	2.000	10.06	09/24/20	8.91	...	1.15	12 of 14 - Sale <sup>25</sup>
	2.000	10.06	09/24/20	8.89	...	1.17	13 of 14 - Sale <sup>25</sup>
	3.000	15.09	09/24/20	13.76	...	1.33	14 of 14 - Sale <sup>25</sup>
10/09/20	20.000	100.60	Various	110.22	...	-9.62	Total of 14 transactions
	2 transactions for 12/02/20. Total proceeds and cost reported to the IRS.						
	1.000	12.23	12/01/20	9.42	...	2.81	1 of 2 - Sale <sup>25</sup>
	7.000	85.61	12/01/20	65.87	...	19.74	2 of 2 - Sale <sup>25</sup>
12/02/20	8.000	97.84	Various	75.29	...	22.55	Total of 2 transactions
	<b>Security total:</b>	<b>634.25</b>		<b>801.04</b>	<b>90.29 W</b>	<b>-76.50</b>	
TONIX PHARMACEUTICALS HOLDING CORP. COMMON STOCK / CUSIP: 890260706 / Symbol:							
	3 transactions for 07/17/20. Total proceeds and cost reported to the IRS.						
	50.000	41.23	07/13/20	27.45	...	13.78	1 of 3 - Sale <sup>25</sup>
	50.000	41.24	07/13/20	27.36	...	13.88	2 of 3 - Sale <sup>25</sup>
	200.000	163.98	07/13/20	109.44	...	54.54	3 of 3 - Sale <sup>25</sup>
07/17/20	300.000	246.45	Various	164.25	...	82.20	Total of 3 transactions
VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y201 / Symbol:							
	6 transactions for 07/10/20. Total proceeds and cost reported to the IRS.						
	50.000	30.99	06/02/20	16.90	...	14.09	1 of 6 - Sale <sup>25</sup>
	50.000	32.16	06/02/20	16.90	...	15.26	2 of 6 - Sale <sup>25</sup>
	50.000	32.16	06/02/20	16.00	...	16.16	3 of 6 - Sale <sup>25</sup>
	50.000	33.10	06/02/20	16.00	...	17.10	4 of 6 - Sale <sup>25</sup>
	100.000	61.99	06/02/20	35.00	...	26.99	5 of 6 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y201 / Symbol: (cont'd)							
07/10/20	100.000	61.99	06/02/20	34.00	...	27.99	6 of 6 - Sale <sup>25</sup>
	400.000	252.39	Various	134.80	...	117.59	Total of 6 transactions
2 transactions for 07/10/20. Total proceeds and cost reported to the IRS.							
	50.000	33.09	06/04/20	48.02	14.93 W	0.00	1 of 2 - Sale <sup>25</sup>
07/10/20	50.000	34.42	06/04/20	48.01	13.59 W	0.00	2 of 2 - Sale <sup>25</sup>
	100.000	67.51	Various	96.03	28.52 W	0.00	Total of 2 transactions
3 transactions for 07/23/20. Total proceeds and cost reported to the IRS.							
	5.000	3.51	07/13/20	4.61	1.10 W	0.00	1 of 3 - Sale <sup>25</sup>
	50.000	35.04	07/13/20	47.43	12.39 W	0.00	2 of 3 - Sale <sup>25</sup>
07/23/20	43.000	30.14	07/13/20	39.64	9.50 W	0.00	3 of 3 - Sale <sup>25</sup>
	98.000	68.69	Various	91.68	22.99 W	0.00	Total of 3 transactions
4 transactions for 07/27/20. Total proceeds and cost reported to the IRS.							
	5.000	3.56	07/24/20	4.35	0.79 W	0.00	1 of 4 - Sale <sup>25</sup>
	43.000	30.64	07/24/20	37.44	6.80 W	0.00	2 of 4 - Sale <sup>25</sup>
	50.000	35.62	07/24/20	44.88	9.26 W	0.00	3 of 4 - Sale <sup>25</sup>
07/27/20	2.000	1.42	07/24/20	1.84	0.42 W	0.00	4 of 4 - Sale <sup>25</sup>
	100.000	71.24	Various	88.51	17.27 W	0.00	Total of 4 transactions
	<b>Security total:</b>	<b>459.83</b>		<b>411.02</b>	<b>68.78 W</b>	<b>117.59</b>	
VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y300 / Symbol:							
9 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	0.838	1.30	07/29/20	4.04	2.74 W	0.00	1 of 9 - Sale <sup>25</sup>
	7.203	11.20	07/29/20	34.75	23.55 W	0.00	2 of 9 - Sale <sup>25</sup>
	8.375	13.02	07/29/20	41.76	28.74 W	0.00	3 of 9 - Sale <sup>25</sup>
	0.335	0.52	07/29/20	1.72	1.20 W	0.00	4 of 9 - Sale <sup>25</sup>
	4.188	6.51	07/29/20	16.25	9.74 W	0.00	5 of 9 - Sale <sup>25</sup>
	1.675	2.61	07/29/20	6.40	3.79 W	0.00	6 of 9 - Sale <sup>25</sup>
	0.838	1.30	07/29/20	3.20	1.90 W	0.00	7 of 9 - Sale <sup>25</sup>
	1.675	2.61	07/30/20	6.30	3.69 W	0.00	8 of 9 - Sale <sup>25</sup>
	4.875	7.58	07/31/20	17.91	10.33 W	0.00	9 of 9 - Sale <sup>25</sup>
10/07/20	30.000	46.65	Various	132.33	85.68 W	0.00	Total of 9 transactions

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(continued)

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VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y300 / Symbol: (cont'd)							
26 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	0.150	0.21	07/31/20	0.55	0.34 W	0.00	1 of 26 - Sale <sup>25</sup>
	3.350	4.64	07/31/20	12.30	7.66 W	0.00	2 of 26 - Sale <sup>25</sup>
	3.350	4.64	07/31/20	12.17	7.53 W	0.00	3 of 26 - Sale <sup>25</sup>
	4.188	5.80	07/31/20	14.74	8.94 W	0.00	4 of 26 - Sale <sup>25</sup>
	0.838	1.16	07/31/20	2.97	1.81 W	0.00	5 of 26 - Sale <sup>25</sup>
	3.350	4.64	07/31/20	11.70	7.06 W	0.00	6 of 26 - Sale <sup>25</sup>
	4.188	5.80	07/31/20	13.88	8.08 W	0.00	7 of 26 - Sale <sup>25</sup>
	0.838	1.16	07/31/20	2.75	1.59 W	0.00	8 of 26 - Sale <sup>25</sup>
	1.675	2.32	07/31/20	5.58	3.26 W	0.00	9 of 26 - Sale <sup>25</sup>
	6.700	9.28	07/31/20	21.40	12.12 W	0.00	10 of 26 - Sale <sup>25</sup>
	4.188	5.80	07/31/20	13.35	7.55 W	0.00	11 of 26 - Sale <sup>25</sup>
	4.188	5.80	07/31/20	13.35	7.55 W	0.00	12 of 26 - Sale <sup>25</sup>
	3.000	4.15	08/06/20	7.95	3.80 W	0.00	13 of 26 - Sale <sup>25</sup>
	10.000	13.85	08/06/20	26.40	12.55 W	0.00	14 of 26 - Sale <sup>25</sup>
	10.000	13.85	08/07/20	24.95	11.10 W	0.00	15 of 26 - Sale <sup>25</sup>
	5.000	6.92	08/07/20	12.43	5.51 W	0.00	16 of 26 - Sale <sup>25</sup>
	5.000	6.92	08/10/20	12.08	5.16 W	0.00	17 of 26 - Sale <sup>25</sup>
	10.000	13.85	08/11/20	23.70	9.85 W	0.00	18 of 26 - Sale <sup>25</sup>
	15.000	20.77	08/12/20	33.90	13.13 W	0.00	19 of 26 - Sale <sup>25</sup>
	5.000	6.92	08/12/20	11.30	4.38 W	0.00	20 of 26 - Sale <sup>25</sup>
	10.000	13.85	08/13/20	21.65	7.80 W	0.00	21 of 26 - Sale <sup>25</sup>
	20.000	27.70	08/14/20	43.80	16.10 W	0.00	22 of 26 - Sale <sup>25</sup>
	5.000	6.93	08/17/20	11.00	4.07 W	0.00	23 of 26 - Sale <sup>25</sup>
	30.000	41.55	08/17/20	62.25	20.70 W	0.00	24 of 26 - Sale <sup>25</sup>
	5.000	6.92	08/17/20	10.40	3.48 W	0.00	25 of 26 - Sale <sup>25</sup>
	10.000	13.85	08/17/20	20.90	7.05 W	0.00	26 of 26 - Sale <sup>25</sup>
10/13/20	180.000	249.28	Various	447.45	198.17 W	0.00	Total of 26 transactions
16 transactions for 10/19/20. Total proceeds and cost reported to the IRS.							
	10.000	13.20	09/02/20	15.20	...	-2.00	1 of 16 - Sale <sup>25</sup>
	8.375	11.06	09/14/20	40.21	...	-29.15	2 of 16 - Sale <sup>25</sup>
	0.838	1.11	09/14/20	3.89	...	-2.78	3 of 16 - Sale <sup>25</sup>
	0.788	1.04	09/14/20	3.65	...	-2.61	4 of 16 - Sale <sup>25</sup>
	6.415	8.47	09/24/20	28.90	...	-20.43	5 of 16 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 880963962

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y300 / Symbol: (cont'd)							
	3.250	4.29	09/24/20	11.58	...	-7.29	6 of 16 - Sale <sup>25</sup>
	0.335	0.44	09/24/20	1.61	...	-1.17	7 of 16 - Sale <sup>25</sup>
	4.875	6.44	10/08/20	16.94	...	-10.50	8 of 16 - Sale <sup>25</sup>
	3.350	4.42	10/08/20	12.20	...	-7.78	9 of 16 - Sale <sup>25</sup>
	3.350	4.42	10/08/20	12.07	...	-7.65	10 of 16 - Sale <sup>25</sup>
	3.150	4.16	10/08/20	11.00	...	-6.84	11 of 16 - Sale <sup>25</sup>
	1.675	2.21	10/08/20	6.06	...	-3.85	12 of 16 - Sale <sup>25</sup>
	1.675	2.21	10/08/20	5.96	...	-3.75	13 of 16 - Sale <sup>25</sup>
	0.938	1.24	10/08/20	3.45	...	-2.21	14 of 16 - Sale <sup>25</sup>
	0.838	1.10	10/08/20	3.03	...	-1.93	15 of 16 - Sale <sup>25</sup>
	0.150	0.20	10/08/20	0.54	...	-0.34	16 of 16 - Sale <sup>25</sup>
10/19/20	50.000	66.01	Various	176.29	...	-110.28	Total of 16 transactions
	7 transactions for 10/19/20. Total proceeds and cost reported to the IRS.						
	10.000	13.20	08/17/20	20.50	7.30 W	0.00	1 of 7 - Sale <sup>25</sup>
	10.000	13.20	08/20/20	19.30	6.10 W	0.00	2 of 7 - Sale <sup>25</sup>
	20.000	26.40	08/20/20	37.10	10.70 W	0.00	3 of 7 - Sale <sup>25</sup>
	20.000	26.40	08/25/20	33.40	7.00 W	0.00	4 of 7 - Sale <sup>25</sup>
	30.000	39.60	08/27/20	50.70	11.10 W	0.00	5 of 7 - Sale <sup>25</sup>
	25.000	33.01	09/01/20	40.25	1.45 W	-5.79	6 of 7 - Sale <sup>25</sup>
	15.000	19.80	09/01/20	24.15	4.35 W	0.00	7 of 7 - Sale <sup>25</sup>
10/19/20	130.000	171.61	Various	225.40	48.00 W	-5.79	Total of 7 transactions
	20 transactions for 11/02/20. Total proceeds and cost reported to the IRS.						
	0.838	1.19	10/19/20	2.92	1.73 W	0.00	1 of 20 - Sale <sup>25</sup>
	0.838	1.19	10/19/20	2.70	1.51 W	0.00	2 of 20 - Sale <sup>25</sup>
	1.038	1.47	10/19/20	3.58	2.11 W	0.00	3 of 20 - Sale <sup>25</sup>
	1.375	1.95	10/19/20	4.29	2.34 W	0.00	4 of 20 - Sale <sup>25</sup>
	1.675	2.38	10/19/20	5.47	3.09 W	0.00	5 of 20 - Sale <sup>25</sup>
	3.350	4.76	10/19/20	11.48	6.72 W	0.00	6 of 20 - Sale <sup>25</sup>
	4.188	5.95	10/19/20	13.61	7.66 W	0.00	7 of 20 - Sale <sup>25</sup>
	6.700	9.52	10/19/20	20.96	11.44 W	0.00	8 of 20 - Sale <sup>25</sup>
	2.813	4.00	10/21/20	8.75	4.75 W	0.00	9 of 20 - Sale <sup>25</sup>
	4.188	5.95	10/21/20	13.03	7.08 W	0.00	10 of 20 - Sale <sup>25</sup>
	3.000	4.26	10/21/20	7.72	3.46 W	0.00	11 of 20 - Sale <sup>25</sup>
	10.000	14.21	10/21/20	25.63	11.42 W	0.00	12 of 20 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 880963962

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y300 / Symbol: (cont'd)							
	10.000	14.21	10/21/20	24.17	9.96 W	0.00	13 of 20 - Sale <sup>25</sup>
	5.000	7.10	10/22/20	11.90	4.80 W	0.00	14 of 20 - Sale <sup>25</sup>
	5.000	7.10	10/22/20	11.55	4.45 W	0.00	15 of 20 - Sale <sup>25</sup>
	10.000	14.21	10/22/20	22.63	8.42 W	0.00	16 of 20 - Sale <sup>25</sup>
	5.000	7.10	10/22/20	10.77	3.67 W	0.00	17 of 20 - Sale <sup>25</sup>
	15.000	21.31	10/22/20	32.30	10.99 W	0.00	18 of 20 - Sale <sup>25</sup>
	10.000	14.20	10/22/20	20.59	6.39 W	0.00	19 of 20 - Sale <sup>25</sup>
	10.000	14.21	10/28/20	20.74	6.53 W	0.00	20 of 20 - Sale <sup>25</sup>
11/02/20	110.000	156.27	Various	274.79	118.52 W	0.00	Total of 20 transactions
	28 transactions for 11/23/20. Total proceeds and cost reported to the IRS.						
	2.000	2.70	11/10/20	3.94	1.24 W	0.00	1 of 28 - Sale <sup>25</sup>
	8.000	10.80	11/10/20	15.92	5.12 W	0.00	2 of 28 - Sale <sup>25</sup>
	5.000	6.75	11/11/20	9.91	3.16 W	0.00	3 of 28 - Sale <sup>25</sup>
	5.000	6.75	11/11/20	9.29	2.54 W	0.00	4 of 28 - Sale <sup>25</sup>
	20.000	27.00	11/11/20	37.20	10.20 W	0.00	5 of 28 - Sale <sup>25</sup>
	5.000	6.75	11/11/20	9.28	2.53 W	0.00	6 of 28 - Sale <sup>25</sup>
	5.000	6.75	11/11/20	9.30	2.55 W	0.00	7 of 28 - Sale <sup>25</sup>
	10.000	13.49	11/12/20	19.08	5.59 W	0.00	8 of 28 - Sale <sup>25</sup>
	7.000	9.45	11/12/20	12.52	3.07 W	0.00	9 of 28 - Sale <sup>25</sup>
	3.000	4.05	11/12/20	5.36	1.31 W	0.00	10 of 28 - Sale <sup>25</sup>
	20.000	27.00	11/12/20	34.27	7.27 W	0.00	11 of 28 - Sale <sup>25</sup>
	10.000	13.50	11/12/20	18.83	5.33 W	0.00	12 of 28 - Sale <sup>25</sup>
	10.000	13.50	11/12/20	15.28	1.78 W	0.00	13 of 28 - Sale <sup>25</sup>
	5.000	6.75	11/12/20	7.60	0.85 W	0.00	14 of 28 - Sale <sup>25</sup>
	5.000	6.75	11/13/20	7.45	0.70 W	0.00	15 of 28 - Sale <sup>25</sup>
	30.000	40.49	11/13/20	45.30	4.81 W	0.00	16 of 28 - Sale <sup>25</sup>
	7.000	9.45	11/13/20	9.94	0.49 W	0.00	17 of 28 - Sale <sup>25</sup>
	3.000	4.05	11/13/20	4.26	0.21 W	0.00	18 of 28 - Sale <sup>25</sup>
	5.000	6.75	11/18/20	7.08	0.33 W	0.00	19 of 28 - Sale <sup>25</sup>
	5.000	6.75	11/18/20	7.07	0.32 W	0.00	20 of 28 - Sale <sup>25</sup>
	0.838	1.13	11/20/20	2.77	1.64 W	0.00	21 of 28 - Sale <sup>25</sup>
	0.838	1.13	11/20/20	2.55	1.42 W	0.00	22 of 28 - Sale <sup>25</sup>
	1.038	1.40	11/20/20	3.40	2.00 W	0.00	23 of 28 - Sale <sup>25</sup>
	1.375	1.86	11/20/20	4.04	2.18 W	0.00	24 of 28 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 880963962

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

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VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y300 / Symbol: (cont'd)							
	1.675	2.26	11/20/20	5.17	2.91 W	0.00	25 of 28 - Sale <sup>25</sup>
	3.350	4.52	11/20/20	10.87	6.35 W	0.00	26 of 28 - Sale <sup>25</sup>
	4.188	5.65	11/20/20	12.85	7.20 W	0.00	27 of 28 - Sale <sup>25</sup>
	6.700	9.05	11/20/20	19.75	10.70 W	0.00	28 of 28 - Sale <sup>25</sup>
11/23/20	190.000	256.48	Various	350.28	93.80 W	0.00	Total of 28 transactions
	29 transactions for 12/02/20. Total proceeds and cost reported to the IRS.						
	2.813	3.88	12/01/20	8.37	4.49 W	0.00	1 of 29 - Sale <sup>25</sup>
	4.188	5.78	12/01/20	12.47	6.69 W	0.00	2 of 29 - Sale <sup>25</sup>
	3.000	4.14	12/01/20	7.33	3.19 W	0.00	3 of 29 - Sale <sup>25</sup>
	10.000	13.80	12/01/20	24.30	10.50 W	0.00	4 of 29 - Sale <sup>25</sup>
	10.000	13.80	12/01/20	22.84	9.04 W	0.00	5 of 29 - Sale <sup>25</sup>
	5.000	6.90	12/01/20	11.24	4.34 W	0.00	6 of 29 - Sale <sup>25</sup>
	5.000	6.90	12/01/20	10.89	3.99 W	0.00	7 of 29 - Sale <sup>25</sup>
	10.000	13.80	12/01/20	21.31	7.51 W	0.00	8 of 29 - Sale <sup>25</sup>
	10.000	13.80	12/01/20	20.22	6.42 W	0.00	9 of 29 - Sale <sup>25</sup>
	5.000	6.90	12/01/20	10.11	3.21 W	0.00	10 of 29 - Sale <sup>25</sup>
	5.000	6.90	12/01/20	10.11	3.21 W	0.00	11 of 29 - Sale <sup>25</sup>
	10.000	13.80	12/01/20	19.28	5.48 W	0.00	12 of 29 - Sale <sup>25</sup>
	10.000	13.80	12/01/20	18.39	4.59 W	0.00	13 of 29 - Sale <sup>25</sup>
	2.000	2.76	12/01/20	3.80	1.04 W	0.00	14 of 29 - Sale <sup>25</sup>
	8.000	11.04	12/01/20	15.36	4.32 W	0.00	15 of 29 - Sale <sup>25</sup>
	3.000	4.14	12/01/20	5.15	1.01 W	0.00	16 of 29 - Sale <sup>25</sup>
	7.000	9.66	12/01/20	12.03	2.37 W	0.00	17 of 29 - Sale <sup>25</sup>
	20.000	27.59	12/01/20	32.87	5.28 W	0.00	18 of 29 - Sale <sup>25</sup>
	5.000	6.90	12/01/20	9.56	2.66 W	0.00	19 of 29 - Sale <sup>25</sup>
	5.000	6.90	12/01/20	8.94	2.04 W	0.00	20 of 29 - Sale <sup>25</sup>
	5.000	6.90	12/01/20	8.93	2.03 W	0.00	21 of 29 - Sale <sup>25</sup>
	5.000	6.90	12/01/20	8.95	2.05 W	0.00	22 of 29 - Sale <sup>25</sup>
	20.000	27.60	12/01/20	35.80	8.20 W	0.00	23 of 29 - Sale <sup>25</sup>
	10.000	13.80	12/01/20	18.13	4.33 W	0.00	24 of 29 - Sale <sup>25</sup>
	10.000	13.80	12/01/20	14.58	0.78 W	0.00	25 of 29 - Sale <sup>25</sup>
	10.000	13.79	12/01/20	19.33	5.54 W	0.00	26 of 29 - Sale <sup>25</sup>
	5.000	6.90	12/01/20	7.25	0.35 W	0.00	27 of 29 - Sale <sup>25</sup>
	5.000	6.90	12/01/20	7.10	0.20 W	0.00	28 of 29 - Sale <sup>25</sup>

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**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y300 / Symbol: (cont'd)							
	15.000	20.69	12/01/20	21.60	0.91 W	0.00	29 of 29 - Sale <sup>25</sup>
12/02/20	225.000	310.47	Various	426.24	115.77 W	0.00	Total of 29 transactions
	4 transactions for 12/18/20. Total proceeds and cost reported to the IRS.						
	3.000	4.11	12/07/20	4.08	...	0.03	1 of 4 - Sale <sup>25</sup>
	7.000	9.59	12/07/20	9.52	...	0.07	2 of 4 - Sale <sup>25</sup>
	5.000	6.85	12/07/20	6.78	...	0.07	3 of 4 - Sale <sup>25</sup>
	5.000	6.85	12/07/20	6.77	...	0.08	4 of 4 - Sale <sup>25</sup>
12/18/20	20.000	27.40	Various	27.15	...	0.25	Total of 4 transactions
	18 transactions for 12/18/20. Total proceeds and cost reported to the IRS.						
	0.838	1.15	12/07/20	2.72	1.57 W	0.00	1 of 18 - Sale <sup>25</sup>
	0.838	1.15	12/07/20	2.50	1.35 W	0.00	2 of 18 - Sale <sup>25</sup>
	1.038	1.42	12/07/20	3.34	1.92 W	0.00	3 of 18 - Sale <sup>25</sup>
	1.675	2.29	12/07/20	5.07	2.78 W	0.00	4 of 18 - Sale <sup>25</sup>
	3.075	4.21	12/07/20	8.88	4.67 W	0.00	5 of 18 - Sale <sup>25</sup>
	3.350	4.59	12/07/20	10.67	6.08 W	0.00	6 of 18 - Sale <sup>25</sup>
	4.188	5.74	12/07/20	12.60	6.86 W	0.00	7 of 18 - Sale <sup>25</sup>
	15.000	20.55	12/07/20	21.76	1.21 W	0.00	8 of 18 - Sale <sup>25</sup>
	1.375	1.88	12/07/20	3.97	2.09 W	0.00	9 of 18 - Sale <sup>25</sup>
	3.625	4.97	12/07/20	10.50	5.53 W	0.00	10 of 18 - Sale <sup>25</sup>
	2.813	3.85	12/07/20	8.15	4.30 W	0.00	11 of 18 - Sale <sup>25</sup>
	4.188	5.74	12/07/20	12.13	6.39 W	0.00	12 of 18 - Sale <sup>25</sup>
	3.000	4.11	12/07/20	7.09	2.98 W	0.00	13 of 18 - Sale <sup>25</sup>
	10.000	13.70	12/07/20	23.50	9.80 W	0.00	14 of 18 - Sale <sup>25</sup>
	10.000	13.70	12/07/20	22.04	8.34 W	0.00	15 of 18 - Sale <sup>25</sup>
	5.000	6.85	12/07/20	10.84	3.99 W	0.00	16 of 18 - Sale <sup>25</sup>
	5.000	6.85	12/07/20	10.49	3.64 W	0.00	17 of 18 - Sale <sup>25</sup>
	5.000	6.84	12/07/20	10.26	3.42 W	0.00	18 of 18 - Sale <sup>25</sup>
12/18/20	80.000	109.59	Various	186.51	76.92 W	0.00	Total of 18 transactions
	33 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	5.000	6.80	12/08/20	6.63	...	0.17	1 of 33 - Sale <sup>25</sup>
	5.000	6.80	12/08/20	6.48	...	0.32	2 of 33 - Sale <sup>25</sup>
	15.000	20.40	12/08/20	20.04	...	0.36	3 of 33 - Sale <sup>25</sup>
	15.000	20.40	12/08/20	19.74	...	0.66	4 of 33 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y300 / Symbol: (cont'd)							
	14.000	19.04	12/08/20	17.63	...	1.41	5 of 33 - Sale <sup>25</sup>
	30.000	40.79	12/08/20	37.80	...	2.99	6 of 33 - Sale <sup>25</sup>
	36.000	48.95	12/08/20	45.34	...	3.61	7 of 33 - Sale <sup>25</sup>
	40.000	54.39	12/08/20	50.21	...	4.18	8 of 33 - Sale <sup>25</sup>
	15.000	20.40	12/09/20	19.05	...	1.35	9 of 33 - Sale <sup>25</sup>
	15.000	20.39	12/09/20	18.98	...	1.41	10 of 33 - Sale <sup>25</sup>
	25.000	33.99	12/09/20	32.00	...	1.99	11 of 33 - Sale <sup>25</sup>
	25.000	33.99	12/09/20	31.50	...	2.49	12 of 33 - Sale <sup>25</sup>
	40.000	54.39	12/09/20	49.80	...	4.59	13 of 33 - Sale <sup>25</sup>
	10.000	14.00	12/14/20	12.13	...	1.87	14 of 33 - Sale <sup>25</sup>
	3.000	4.20	12/15/20	3.86	...	0.34	15 of 33 - Sale <sup>25</sup>
	7.000	9.80	12/15/20	9.02	...	0.78	16 of 33 - Sale <sup>25</sup>
	5.000	7.00	12/15/20	6.07	...	0.93	17 of 33 - Sale <sup>25</sup>
	10.000	13.99	12/15/20	12.09	...	1.90	18 of 33 - Sale <sup>25</sup>
	1.000	1.40	12/21/20	1.39	...	0.01	19 of 33 - Sale <sup>25</sup>
	5.000	7.00	12/21/20	6.98	...	0.02	20 of 33 - Sale <sup>25</sup>
	4.000	5.60	12/21/20	5.57	...	0.03	21 of 33 - Sale <sup>25</sup>
	5.000	7.00	12/21/20	6.96	...	0.04	22 of 33 - Sale <sup>25</sup>
	5.000	7.23	12/21/20	7.09	...	0.14	23 of 33 - Sale <sup>25</sup>
	2.000	2.80	12/21/20	2.63	...	0.17	24 of 33 - Sale <sup>25</sup>
	8.000	11.20	12/21/20	10.68	...	0.52	25 of 33 - Sale <sup>25</sup>
	10.000	14.00	12/21/20	13.48	...	0.52	26 of 33 - Sale <sup>25</sup>
	5.000	7.00	12/21/20	6.40	...	0.60	27 of 33 - Sale <sup>25</sup>
	5.000	7.00	12/21/20	6.39	...	0.61	28 of 33 - Sale <sup>25</sup>
	5.000	7.00	12/21/20	6.37	...	0.63	29 of 33 - Sale <sup>25</sup>
	5.000	7.00	12/21/20	6.36	...	0.64	30 of 33 - Sale <sup>25</sup>
	5.000	7.00	12/21/20	6.34	...	0.66	31 of 33 - Sale <sup>25</sup>
	15.000	21.00	12/21/20	19.05	...	1.95	32 of 33 - Sale <sup>25</sup>
	19.000	27.45	12/21/20	23.75	...	3.70	33 of 33 - Sale <sup>25</sup>
12/22/20	414.000	569.40	Various	527.81	...	41.59	Total of 33 transactions
	118 transactions for 12/22/20. Total proceeds and cost reported to the IRS.						
	5.000	6.80	12/07/20	10.20	3.40 W	0.00	1 of 118 - Sale <sup>25</sup>
	10.000	13.60	12/07/20	17.49	3.89 W	0.00	2 of 118 - Sale <sup>25</sup>
	2.000	2.72	12/07/20	3.62	0.90 W	0.00	3 of 118 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 880963962

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y300 / Symbol: (cont'd)							
	5.000	6.80	12/07/20	9.66	2.86 W	0.00	4 of 118 - Sale <sup>25</sup>
	5.000	6.80	12/07/20	9.66	2.86 W	0.00	5 of 118 - Sale <sup>25</sup>
	8.000	10.88	12/07/20	14.64	3.76 W	0.00	6 of 118 - Sale <sup>25</sup>
	10.000	13.60	12/07/20	19.32	5.72 W	0.00	7 of 118 - Sale <sup>25</sup>
	10.000	13.60	12/07/20	18.38	4.78 W	0.00	8 of 118 - Sale <sup>25</sup>
	3.000	4.08	12/07/20	4.88	0.80 W	0.00	9 of 118 - Sale <sup>25</sup>
	7.000	9.52	12/07/20	11.40	1.88 W	0.00	10 of 118 - Sale <sup>25</sup>
	20.000	27.20	12/07/20	31.08	3.88 W	0.00	11 of 118 - Sale <sup>25</sup>
	5.000	6.80	12/07/20	9.11	2.31 W	0.00	12 of 118 - Sale <sup>25</sup>
	5.000	6.80	12/07/20	8.49	1.69 W	0.00	13 of 118 - Sale <sup>25</sup>
	5.000	6.80	12/07/20	8.50	1.70 W	0.00	14 of 118 - Sale <sup>25</sup>
	5.000	6.80	12/07/20	8.48	1.68 W	0.00	15 of 118 - Sale <sup>25</sup>
	5.000	6.80	12/07/20	8.50	1.70 W	0.00	16 of 118 - Sale <sup>25</sup>
	15.000	20.40	12/07/20	25.50	5.10 W	0.00	17 of 118 - Sale <sup>25</sup>
	10.000	13.60	12/07/20	17.23	3.63 W	0.00	18 of 118 - Sale <sup>25</sup>
	10.000	13.60	12/07/20	13.68	0.08 W	0.00	19 of 118 - Sale <sup>25</sup>
	5.000	6.80	12/07/20	9.22	2.42 W	0.00	20 of 118 - Sale <sup>25</sup>
	0.838	1.14	12/08/20	2.62	1.48 W	0.00	21 of 118 - Sale <sup>25</sup>
	0.838	1.14	12/08/20	2.40	1.26 W	0.00	22 of 118 - Sale <sup>25</sup>
	1.038	1.41	12/08/20	3.22	1.81 W	0.00	23 of 118 - Sale <sup>25</sup>
	1.375	1.87	12/08/20	3.82	1.95 W	0.00	24 of 118 - Sale <sup>25</sup>
	1.675	2.28	12/08/20	4.88	2.60 W	0.00	25 of 118 - Sale <sup>25</sup>
	3.075	4.18	12/08/20	8.53	4.35 W	0.00	26 of 118 - Sale <sup>25</sup>
	3.350	4.56	12/08/20	10.29	5.73 W	0.00	27 of 118 - Sale <sup>25</sup>
	3.625	4.93	12/08/20	10.08	5.15 W	0.00	28 of 118 - Sale <sup>25</sup>
	4.188	5.69	12/08/20	12.12	6.43 W	0.00	29 of 118 - Sale <sup>25</sup>
	2.813	3.82	12/08/20	7.83	4.01 W	0.00	30 of 118 - Sale <sup>25</sup>
	4.188	5.69	12/08/20	11.65	5.96 W	0.00	31 of 118 - Sale <sup>25</sup>
	3.000	4.08	12/08/20	6.75	2.67 W	0.00	32 of 118 - Sale <sup>25</sup>
	10.000	13.60	12/08/20	22.36	8.76 W	0.00	33 of 118 - Sale <sup>25</sup>
	10.000	13.60	12/08/20	20.90	7.30 W	0.00	34 of 118 - Sale <sup>25</sup>
	5.000	6.80	12/08/20	10.27	3.47 W	0.00	35 of 118 - Sale <sup>25</sup>
	5.000	6.80	12/08/20	9.92	3.12 W	0.00	36 of 118 - Sale <sup>25</sup>
	5.000	6.80	12/08/20	9.70	2.90 W	0.00	37 of 118 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 880963962

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y300 / Symbol: (cont'd)							
	5.000	6.80	12/08/20	9.05	2.25 W	0.00	38 of 118 - Sale <sup>25</sup>
	5.000	7.00	12/09/20	9.63	2.63 W	0.00	39 of 118 - Sale <sup>25</sup>
	10.000	14.00	12/10/20	16.39	2.39 W	0.00	40 of 118 - Sale <sup>25</sup>
	5.000	7.00	12/10/20	9.11	2.11 W	0.00	41 of 118 - Sale <sup>25</sup>
	5.000	7.00	12/10/20	9.06	2.06 W	0.00	42 of 118 - Sale <sup>25</sup>
	5.000	7.00	12/10/20	9.06	2.06 W	0.00	43 of 118 - Sale <sup>25</sup>
	2.000	2.80	12/11/20	3.37	0.57 W	0.00	44 of 118 - Sale <sup>25</sup>
	5.000	7.00	12/11/20	9.04	2.04 W	0.00	45 of 118 - Sale <sup>25</sup>
	8.000	11.20	12/11/20	13.64	2.44 W	0.00	46 of 118 - Sale <sup>25</sup>
	10.000	14.00	12/11/20	17.18	3.18 W	0.00	47 of 118 - Sale <sup>25</sup>
	3.000	4.20	12/11/20	4.49	0.29 W	0.00	48 of 118 - Sale <sup>25</sup>
	5.000	7.00	12/11/20	7.12	0.12 W	0.00	49 of 118 - Sale <sup>25</sup>
	7.000	9.80	12/11/20	10.49	0.69 W	0.00	50 of 118 - Sale <sup>25</sup>
	10.000	14.00	12/11/20	14.19	0.19 W	0.00	51 of 118 - Sale <sup>25</sup>
	5.000	7.00	12/11/20	7.07	0.07 W	0.00	52 of 118 - Sale <sup>25</sup>
	1.000	1.40	12/11/20	1.68	0.28 W	0.00	53 of 118 - Sale <sup>25</sup>
	4.000	5.60	12/11/20	6.73	1.13 W	0.00	54 of 118 - Sale <sup>25</sup>
	5.000	7.00	12/11/20	7.79	0.79 W	0.00	55 of 118 - Sale <sup>25</sup>
	5.000	6.99	12/11/20	7.80	0.81 W	0.00	56 of 118 - Sale <sup>25</sup>
	15.000	21.00	12/11/20	23.40	2.40 W	0.00	57 of 118 - Sale <sup>25</sup>
	5.000	7.00	12/14/20	7.71	0.71 W	0.00	58 of 118 - Sale <sup>25</sup>
	5.000	7.00	12/14/20	7.73	0.73 W	0.00	59 of 118 - Sale <sup>25</sup>
	10.000	14.00	12/14/20	15.68	1.68 W	0.00	60 of 118 - Sale <sup>25</sup>
	5.000	7.00	12/14/20	8.44	1.44 W	0.00	61 of 118 - Sale <sup>25</sup>
	0.838	1.17	12/14/20	2.48	1.31 W	0.00	62 of 118 - Sale <sup>25</sup>
	0.838	1.17	12/14/20	2.26	1.09 W	0.00	63 of 118 - Sale <sup>25</sup>
	1.038	1.45	12/14/20	3.05	1.60 W	0.00	64 of 118 - Sale <sup>25</sup>
	1.375	1.92	12/14/20	3.59	1.67 W	0.00	65 of 118 - Sale <sup>25</sup>
	1.675	2.35	12/14/20	4.60	2.25 W	0.00	66 of 118 - Sale <sup>25</sup>
	3.075	4.30	12/14/20	8.03	3.73 W	0.00	67 of 118 - Sale <sup>25</sup>
	3.350	4.69	12/14/20	9.73	5.04 W	0.00	68 of 118 - Sale <sup>25</sup>
	3.625	5.08	12/14/20	9.48	4.40 W	0.00	69 of 118 - Sale <sup>25</sup>
	4.188	5.86	12/14/20	11.43	5.57 W	0.00	70 of 118 - Sale <sup>25</sup>
	2.813	3.94	12/14/20	7.37	3.43 W	0.00	71 of 118 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 880963962

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y300 / Symbol: (cont'd)							
	4.188	5.86	12/14/20	10.97	5.11 W	0.00	72 of 118 - Sale <sup>25</sup>
	3.000	4.20	12/14/20	6.26	2.06 W	0.00	73 of 118 - Sale <sup>25</sup>
	10.000	14.00	12/14/20	20.71	6.71 W	0.00	74 of 118 - Sale <sup>25</sup>
	10.000	14.00	12/14/20	19.25	5.25 W	0.00	75 of 118 - Sale <sup>25</sup>
	5.000	7.00	12/14/20	9.37	2.37 W	0.00	76 of 118 - Sale <sup>25</sup>
	5.000	7.00	12/14/20	9.02	2.02 W	0.00	77 of 118 - Sale <sup>25</sup>
	5.000	7.00	12/14/20	8.58	1.58 W	0.00	78 of 118 - Sale <sup>25</sup>
	10.000	14.00	12/14/20	14.29	0.29 W	0.00	79 of 118 - Sale <sup>25</sup>
	5.000	7.00	12/14/20	8.85	1.85 W	0.00	80 of 118 - Sale <sup>25</sup>
	5.000	7.00	12/14/20	8.20	1.20 W	0.00	81 of 118 - Sale <sup>25</sup>
	5.000	7.00	12/14/20	8.06	1.06 W	0.00	82 of 118 - Sale <sup>25</sup>
	5.000	7.00	12/14/20	8.01	1.01 W	0.00	83 of 118 - Sale <sup>25</sup>
	5.000	7.00	12/14/20	8.01	1.01 W	0.00	84 of 118 - Sale <sup>25</sup>
	2.000	2.80	12/14/20	2.93	0.13 W	0.00	85 of 118 - Sale <sup>25</sup>
	5.000	7.00	12/14/20	7.94	0.94 W	0.00	86 of 118 - Sale <sup>25</sup>
	8.000	11.20	12/14/20	11.88	0.68 W	0.00	87 of 118 - Sale <sup>25</sup>
	10.000	14.00	12/14/20	14.98	0.98 W	0.00	88 of 118 - Sale <sup>25</sup>
	1.000	1.40	12/21/20	1.54	0.14 W	0.00	89 of 118 - Sale <sup>25</sup>
	4.000	5.60	12/21/20	6.17	0.57 W	0.00	90 of 118 - Sale <sup>25</sup>
	5.000	7.00	12/21/20	7.09	0.09 W	0.00	91 of 118 - Sale <sup>25</sup>
	5.000	7.00	12/21/20	7.11	0.11 W	0.00	92 of 118 - Sale <sup>25</sup>
	15.000	21.00	12/21/20	21.30	0.30 W	0.00	93 of 118 - Sale <sup>25</sup>
	0.838	1.17	12/21/20	2.36	1.19 W	0.00	94 of 118 - Sale <sup>25</sup>
	0.838	1.17	12/21/20	2.14	0.97 W	0.00	95 of 118 - Sale <sup>25</sup>
	1.038	1.45	12/21/20	2.90	1.45 W	0.00	96 of 118 - Sale <sup>25</sup>
	1.375	1.92	12/21/20	3.39	1.47 W	0.00	97 of 118 - Sale <sup>25</sup>
	1.675	2.35	12/21/20	4.34	1.99 W	0.00	98 of 118 - Sale <sup>25</sup>
	3.075	4.30	12/21/20	7.57	3.27 W	0.00	99 of 118 - Sale <sup>25</sup>
	3.350	4.69	12/21/20	9.23	4.54 W	0.00	100 of 118 - Sale <sup>25</sup>
	3.625	5.08	12/21/20	8.93	3.85 W	0.00	101 of 118 - Sale <sup>25</sup>
	4.188	5.86	12/21/20	10.80	4.94 W	0.00	102 of 118 - Sale <sup>25</sup>
	2.813	3.94	12/21/20	6.95	3.01 W	0.00	103 of 118 - Sale <sup>25</sup>
	4.188	5.86	12/21/20	10.34	4.48 W	0.00	104 of 118 - Sale <sup>25</sup>
	3.000	4.20	12/21/20	5.81	1.61 W	0.00	105 of 118 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 880963962

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
VISLINK TECHNOLOGIES, INC. COM MON STOCK / CUSIP: 92836Y300 / Symbol: (cont'd)							
	10.000	14.00	12/21/20	19.21	5.21 W	0.00	106 of 118 - Sale <sup>25</sup>
	10.000	14.00	12/21/20	17.75	3.75 W	0.00	107 of 118 - Sale <sup>25</sup>
	5.000	7.00	12/21/20	8.62	1.62 W	0.00	108 of 118 - Sale <sup>25</sup>
	5.000	7.00	12/21/20	7.83	0.83 W	0.00	109 of 118 - Sale <sup>25</sup>
	5.000	7.00	12/21/20	8.27	1.27 W	0.00	110 of 118 - Sale <sup>25</sup>
	5.000	7.00	12/21/20	7.31	0.31 W	0.00	111 of 118 - Sale <sup>25</sup>
	5.000	7.00	12/21/20	7.26	0.26 W	0.00	112 of 118 - Sale <sup>25</sup>
	5.000	7.00	12/21/20	7.26	0.26 W	0.00	113 of 118 - Sale <sup>25</sup>
	5.000	7.00	12/21/20	8.10	1.10 W	0.00	114 of 118 - Sale <sup>25</sup>
	5.000	7.00	12/21/20	7.19	0.19 W	0.00	115 of 118 - Sale <sup>25</sup>
	5.000	6.99	12/21/20	7.09	0.10 W	0.00	116 of 118 - Sale <sup>25</sup>
	5.000	7.22	12/21/20	7.69	0.47 W	0.00	117 of 118 - Sale <sup>25</sup>
	5.000	7.23	12/21/20	7.45	0.22 W	0.00	118 of 118 - Sale <sup>25</sup>
12/22/20	620.000	859.60	Various	1,130.56	270.96 W	0.00	Total of 118 transactions
	<b>Security total:</b>	<b>2,822.76</b>		<b>3,904.81</b>	<b>1,007.82 W</b>	<b>-74.23</b>	
WHITING PETROLEUM CORP SERIES A WARRANT EXP 09/01/2024 / CUSIP: 966387110 / Symbol:							
5 transactions for 09/04/20. Total proceeds and cost reported to the IRS.							
	0.030	0.13	06/08/20	0.00	...	0.13	1 of 5 - Sale <sup>25</sup>
	0.105	0.47	06/08/20	0.00	...	0.47	2 of 5 - Sale <sup>25</sup>
	0.262	1.18	06/08/20	0.00	...	1.18	3 of 5 - Sale <sup>25</sup>
	0.262	1.18	06/08/20	0.00	...	1.18	4 of 5 - Sale <sup>25</sup>
	0.341	1.54	06/08/20	0.00	...	1.54	5 of 5 - Sale <sup>25</sup>
09/04/20	1.000	4.50	Various	0.00	...	4.50	Total of 5 transactions
18 transactions for 10/13/20. Total proceeds and cost reported to the IRS.							
	0.022	0.07	06/08/20	0.00	...	0.07	1 of 18 - Sale <sup>25</sup>
	0.105	0.32	06/08/20	0.00	...	0.32	2 of 18 - Sale <sup>25</sup>
	0.524	1.62	06/08/20	0.00	...	1.62	3 of 18 - Sale <sup>25</sup>
	0.262	0.81	06/09/20	0.00	...	0.81	4 of 18 - Sale <sup>25</sup>
	0.262	0.81	06/09/20	0.00	...	0.81	5 of 18 - Sale <sup>25</sup>
	0.262	0.81	06/09/20	0.00	...	0.81	6 of 18 - Sale <sup>25</sup>
	0.367	1.14	06/09/20	0.00	...	1.14	7 of 18 - Sale <sup>25</sup>
	0.419	1.30	06/09/20	0.00	...	1.30	8 of 18 - Sale <sup>25</sup>
	1.048	3.25	06/09/20	0.00	...	3.25	9 of 18 - Sale <sup>25</sup>

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Account 880963962

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WHITING PETROLEUM CORP SERIES A WARRANT EXP 09/01/2024 / CUSIP: 966387110 / Symbol: (cont'd)							
	1.048	3.25	06/09/20	0.00	...	3.25	10 of 18 - Sale <sup>25</sup>
	1.048	3.25	06/09/20	0.00	...	3.25	11 of 18 - Sale <sup>25</sup>
	1.571	4.87	06/09/20	0.00	...	4.87	12 of 18 - Sale <sup>25</sup>
	1.571	4.87	06/09/20	0.00	...	4.87	13 of 18 - Sale <sup>25</sup>
	4.190	12.99	06/09/20	0.00	...	12.99	14 of 18 - Sale <sup>25</sup>
	0.524	1.62	06/10/20	0.00	...	1.62	15 of 18 - Sale <sup>25</sup>
	2.357	7.31	06/10/20	0.00	...	7.31	16 of 18 - Sale <sup>25</sup>
	1.018	3.16	06/11/20	0.00	...	3.16	17 of 18 - Sale <sup>25</sup>
	3.404	10.55	06/11/20	0.00	...	10.55	18 of 18 - Sale <sup>25</sup>
10/13/20	20.000	62.00	Various	0.00	...	62.00	Total of 18 transactions
	34 transactions for 10/15/20. Total proceeds and cost reported to the IRS.						
	0.030	0.10	06/11/20	0.00	...	0.10	1 of 34 - Sale <sup>25</sup>
	1.571	5.08	06/17/20	0.00	...	5.08	2 of 34 - Sale <sup>25</sup>
	0.262	0.85	06/22/20	0.00	...	0.85	3 of 34 - Sale <sup>25</sup>
	0.524	1.69	06/22/20	0.00	...	1.69	4 of 34 - Sale <sup>25</sup>
	1.309	4.23	06/22/20	0.00	...	4.23	5 of 34 - Sale <sup>25</sup>
	0.524	1.69	06/23/20	0.00	...	1.69	6 of 34 - Sale <sup>25</sup>
	0.524	1.69	06/24/20	0.00	...	1.69	7 of 34 - Sale <sup>25</sup>
	1.048	3.38	06/24/20	0.00	...	3.38	8 of 34 - Sale <sup>25</sup>
	1.571	5.07	06/24/20	0.00	...	5.07	9 of 34 - Sale <sup>25</sup>
	2.619	8.46	06/24/20	0.00	...	8.46	10 of 34 - Sale <sup>25</sup>
	0.786	2.54	06/25/20	0.00	...	2.54	11 of 34 - Sale <sup>25</sup>
	1.309	4.23	06/25/20	0.00	...	4.23	12 of 34 - Sale <sup>25</sup>
	1.048	3.38	06/30/20	0.00	...	3.38	13 of 34 - Sale <sup>25</sup>
	1.571	5.07	06/30/20	0.00	...	5.07	14 of 34 - Sale <sup>25</sup>
	1.048	3.38	07/01/20	0.00	...	3.38	15 of 34 - Sale <sup>25</sup>
	0.524	1.69	07/06/20	0.00	...	1.69	16 of 34 - Sale <sup>25</sup>
	0.524	1.69	07/06/20	0.00	...	1.69	17 of 34 - Sale <sup>25</sup>
	0.524	1.69	07/06/20	0.00	...	1.69	18 of 34 - Sale <sup>25</sup>
	1.571	5.08	07/09/20	0.00	...	5.08	19 of 34 - Sale <sup>25</sup>
	1.048	3.38	07/10/20	0.00	...	3.38	20 of 34 - Sale <sup>25</sup>
	2.619	8.46	07/29/20	0.00	...	8.46	21 of 34 - Sale <sup>25</sup>
	2.619	8.46	07/29/20	0.00	...	8.46	22 of 34 - Sale <sup>25</sup>
	0.524	1.69	07/31/20	0.00	...	1.69	23 of 34 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 880963962

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WHITING PETROLEUM CORP SERIES A WARRANT EXP 09/01/2024 / CUSIP: 966387110 / Symbol: (cont'd)							
	1.048	3.38	07/31/20	0.00	...	3.38	24 of 34 - Sale <sup>25</sup>
	1.309	4.23	07/31/20	0.00	...	4.23	25 of 34 - Sale <sup>25</sup>
	1.309	4.23	07/31/20	0.00	...	4.23	26 of 34 - Sale <sup>25</sup>
	1.048	3.38	08/07/20	0.00	...	3.38	27 of 34 - Sale <sup>25</sup>
	2.619	8.46	08/19/20	0.00	...	8.46	28 of 34 - Sale <sup>25</sup>
	1.309	4.23	08/27/20	0.00	...	4.23	29 of 34 - Sale <sup>25</sup>
	2.619	8.46	08/27/20	0.00	...	8.46	30 of 34 - Sale <sup>25</sup>
	1.309	4.24	08/28/20	0.00	...	4.24	31 of 34 - Sale <sup>25</sup>
	0.262	0.85	08/31/20	0.00	...	0.85	32 of 34 - Sale <sup>25</sup>
	0.786	2.54	08/31/20	0.00	...	2.54	33 of 34 - Sale <sup>25</sup>
	0.688	2.22	09/01/20	0.00	...	2.22	34 of 34 - Sale <sup>25</sup>
10/15/20	40.000	129.20	Various	0.00	...	129.20	Total of 34 transactions
10/16/20	8.000	27.84	09/01/20	0.00	...	27.84	Sale <sup>25</sup>
	2 transactions for 10/26/20. Total proceeds and cost reported to the IRS.						
	0.213	0.68	09/01/20	0.00	...	0.68	1 of 2 - Sale <sup>25</sup>
	1.787	5.72	09/01/20	0.00	...	5.72	2 of 2 - Sale <sup>25</sup>
10/26/20	2.000	6.40	Various	0.00	...	6.40	Total of 2 transactions
11/10/20	5.000	15.45	09/01/20	0.00	...	15.45	Sale <sup>25</sup>
	<b>Security total:</b>	<b>245.39</b>		<b>0.00</b>	...	<b>245.39</b>	

WHITING PETROLEUM CORP SERIES B WARRANT EXP 09/01/2024 / CUSIP: 966387128 / Symbol:

18 transactions for 10/13/20. Total proceeds and cost reported to the IRS.

	0.011	0.04	06/08/20	0.00	...	0.04	1 of 18 - Sale <sup>25</sup>
	0.052	0.17	06/08/20	0.00	...	0.17	2 of 18 - Sale <sup>25</sup>
	0.262	0.83	06/08/20	0.00	...	0.83	3 of 18 - Sale <sup>25</sup>
	0.131	0.41	06/09/20	0.00	...	0.41	4 of 18 - Sale <sup>25</sup>
	0.131	0.41	06/09/20	0.00	...	0.41	5 of 18 - Sale <sup>25</sup>
	0.131	0.41	06/09/20	0.00	...	0.41	6 of 18 - Sale <sup>25</sup>
	0.183	0.58	06/09/20	0.00	...	0.58	7 of 18 - Sale <sup>25</sup>
	0.210	0.66	06/09/20	0.00	...	0.66	8 of 18 - Sale <sup>25</sup>
	0.524	1.65	06/09/20	0.00	...	1.65	9 of 18 - Sale <sup>25</sup>
	0.524	1.66	06/09/20	0.00	...	1.66	10 of 18 - Sale <sup>25</sup>
	0.524	1.66	06/09/20	0.00	...	1.66	11 of 18 - Sale <sup>25</sup>
	0.786	2.48	06/09/20	0.00	...	2.48	12 of 18 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 880963962

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WHITING PETROLEUM CORP SERIES B WARRANT EXP 09/01/2024 / CUSIP: 966387128 / Symbol: (cont'd)							
	0.786	2.48	06/09/20	0.00	...	2.48	13 of 18 - Sale <sup>25</sup>
	2.095	6.62	06/09/20	0.00	...	6.62	14 of 18 - Sale <sup>25</sup>
	0.262	0.83	06/10/20	0.00	...	0.83	15 of 18 - Sale <sup>25</sup>
	1.178	3.72	06/10/20	0.00	...	3.72	16 of 18 - Sale <sup>25</sup>
	0.509	1.61	06/11/20	0.00	...	1.61	17 of 18 - Sale <sup>25</sup>
	1.702	5.38	06/11/20	0.00	...	5.38	18 of 18 - Sale <sup>25</sup>
10/13/20	10.000	31.60	Various	0.00	...	31.60	Total of 18 transactions
	21 transactions for 10/14/20. Total proceeds and cost reported to the IRS.						
	0.015	0.05	06/11/20	0.00	...	0.05	1 of 21 - Sale <sup>25</sup>
	0.786	2.47	06/17/20	0.00	...	2.47	2 of 21 - Sale <sup>25</sup>
	0.131	0.41	06/22/20	0.00	...	0.41	3 of 21 - Sale <sup>25</sup>
	0.262	0.82	06/22/20	0.00	...	0.82	4 of 21 - Sale <sup>25</sup>
	0.655	2.06	06/22/20	0.00	...	2.06	5 of 21 - Sale <sup>25</sup>
	0.262	0.82	06/23/20	0.00	...	0.82	6 of 21 - Sale <sup>25</sup>
	0.262	0.82	06/24/20	0.00	...	0.82	7 of 21 - Sale <sup>25</sup>
	0.524	1.64	06/24/20	0.00	...	1.64	8 of 21 - Sale <sup>25</sup>
	0.786	2.47	06/24/20	0.00	...	2.47	9 of 21 - Sale <sup>25</sup>
	1.309	4.11	06/24/20	0.00	...	4.11	10 of 21 - Sale <sup>25</sup>
	0.393	1.23	06/25/20	0.00	...	1.23	11 of 21 - Sale <sup>25</sup>
	0.655	2.06	06/25/20	0.00	...	2.06	12 of 21 - Sale <sup>25</sup>
	0.524	1.64	06/30/20	0.00	...	1.64	13 of 21 - Sale <sup>25</sup>
	0.786	2.47	06/30/20	0.00	...	2.47	14 of 21 - Sale <sup>25</sup>
	0.524	1.64	07/01/20	0.00	...	1.64	15 of 21 - Sale <sup>25</sup>
	0.262	0.82	07/06/20	0.00	...	0.82	16 of 21 - Sale <sup>25</sup>
	0.262	0.82	07/06/20	0.00	...	0.82	17 of 21 - Sale <sup>25</sup>
	0.262	0.82	07/06/20	0.00	...	0.82	18 of 21 - Sale <sup>25</sup>
	0.786	2.47	07/09/20	0.00	...	2.47	19 of 21 - Sale <sup>25</sup>
	0.524	1.65	07/10/20	0.00	...	1.65	20 of 21 - Sale <sup>25</sup>
	0.034	0.11	07/29/20	0.00	...	0.11	21 of 21 - Sale <sup>25</sup>
10/14/20	10.000	31.40	Various	0.00	...	31.40	Total of 21 transactions
	7 transactions for 10/16/20. Total proceeds and cost reported to the IRS.						
	1.276	4.34	07/29/20	0.00	...	4.34	1 of 7 - Sale <sup>25</sup>
	1.309	4.45	07/29/20	0.00	...	4.45	2 of 7 - Sale <sup>25</sup>
	0.262	0.89	07/31/20	0.00	...	0.89	3 of 7 - Sale <sup>25</sup>

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Account 880963962

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WHITING PETROLEUM CORP SERIES B WARRANT EXP 09/01/2024 / CUSIP: 966387128 / Symbol: (cont'd)							
	0.524	1.78	07/31/20	0.00	...	1.78	4 of 7 - Sale <sup>25</sup>
	0.655	2.22	07/31/20	0.00	...	2.22	5 of 7 - Sale <sup>25</sup>
	0.655	2.23	07/31/20	0.00	...	2.23	6 of 7 - Sale <sup>25</sup>
	0.320	1.09	08/07/20	0.00	...	1.09	7 of 7 - Sale <sup>25</sup>
10/16/20	5.000	17.00	Various	0.00	...	17.00	Total of 7 transactions
	12 transactions for 10/19/20. Total proceeds and cost reported to the IRS.						
	0.204	0.82	08/07/20	0.00	...	0.82	1 of 12 - Sale <sup>25</sup>
	1.309	5.24	08/19/20	0.00	...	5.24	2 of 12 - Sale <sup>25</sup>
	0.655	2.62	08/27/20	0.00	...	2.62	3 of 12 - Sale <sup>25</sup>
	1.309	5.24	08/27/20	0.00	...	5.24	4 of 12 - Sale <sup>25</sup>
	0.655	2.62	08/28/20	0.00	...	2.62	5 of 12 - Sale <sup>25</sup>
	0.131	0.52	08/31/20	0.00	...	0.52	6 of 12 - Sale <sup>25</sup>
	0.393	1.57	08/31/20	0.00	...	1.57	7 of 12 - Sale <sup>25</sup>
	0.393	1.57	09/01/20	0.00	...	1.57	8 of 12 - Sale <sup>25</sup>
	0.629	2.51	09/01/20	0.00	...	2.51	9 of 12 - Sale <sup>25</sup>
	0.681	2.73	09/01/20	0.00	...	2.73	10 of 12 - Sale <sup>25</sup>
	3.404	13.61	09/01/20	0.00	...	13.61	11 of 12 - Sale <sup>25</sup>
	5.238	20.95	09/01/20	0.00	...	20.95	12 of 12 - Sale <sup>25</sup>
10/19/20	15.000	60.00	Various	0.00	...	60.00	Total of 12 transactions
	<b>Security total:</b>	<b>140.00</b>		<b>0.00</b>	...	<b>140.00</b>	
WHITING PETROLEUM CORPORATION / CUSIP: 966387508 / Symbol:							
	13 transactions for 09/02/20. Total proceeds and cost reported to the IRS.						
	0.501	10.02	09/01/20	53.76	...	-43.74	1 of 13 - Sale <sup>25</sup>
	0.401	8.01	09/01/20	44.82	...	-36.81	2 of 13 - Sale <sup>25</sup>
	0.314	6.28	09/01/20	35.93	...	-29.65	3 of 13 - Sale <sup>25</sup>
	0.187	3.74	09/01/20	22.04	...	-18.30	4 of 13 - Sale <sup>25</sup>
	0.167	3.34	09/01/20	19.10	...	-15.76	5 of 13 - Sale <sup>25</sup>
	0.167	3.33	09/01/20	17.87	...	-14.54	6 of 13 - Sale <sup>25</sup>
	0.134	2.61	09/01/20	16.53	...	-13.92	7 of 13 - Sale <sup>25</sup>
	0.134	2.67	09/01/20	14.42	...	-11.75	8 of 13 - Sale <sup>25</sup>
	0.043	0.86	09/01/20	5.05	...	-4.19	9 of 13 - Sale <sup>25</sup>
	0.037	0.72	09/01/20	4.37	...	-3.65	10 of 13 - Sale <sup>25</sup>
	0.034	0.67	09/01/20	3.85	...	-3.18	11 of 13 - Sale <sup>25</sup>

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Account 880963962

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WHITING PETROLEUM CORPORATION / CUSIP: 966387508 / Symbol: (cont'd)							
	0.034	0.67	09/01/20	3.72	...	-3.05	12 of 13 - Sale <sup>25</sup>
	0.020	0.41	09/01/20	2.33	...	-1.92	13 of 13 - Sale <sup>25</sup>
09/02/20	2.171	43.33	Various	243.79	...	-200.46	Total of 13 transactions
	71 transactions for 09/02/20. Total proceeds and cost reported to the IRS.						
	0.327	5.88	06/09/20	61.65	55.77 W	0.00	1 of 71 - Sale <sup>25</sup>
	0.107	1.92	06/09/20	20.24	18.32 W	0.00	2 of 71 - Sale <sup>25</sup>
	0.067	1.20	06/09/20	12.35	11.15 W	0.00	3 of 71 - Sale <sup>25</sup>
	0.067	1.20	06/09/20	12.19	10.99 W	0.00	4 of 71 - Sale <sup>25</sup>
	1.068	19.24	06/09/20	185.59	166.35 W	0.00	5 of 71 - Sale <sup>25</sup>
	0.364	6.56	06/09/20	61.59	55.03 W	0.00	6 of 71 - Sale <sup>25</sup>
	0.036	0.67	06/09/20	6.17	5.50 W	0.00	7 of 71 - Sale <sup>25</sup>
	0.267	4.89	06/09/20	44.17	39.28 W	0.00	8 of 71 - Sale <sup>25</sup>
	0.267	4.89	06/09/20	44.37	39.48 W	0.00	9 of 71 - Sale <sup>25</sup>
	0.267	4.89	06/09/20	41.17	36.28 W	0.00	10 of 71 - Sale <sup>25</sup>
	0.134	2.44	06/10/20	17.39	14.95 W	0.00	11 of 71 - Sale <sup>25</sup>
	0.601	10.99	06/10/20	75.09	64.10 W	0.00	12 of 71 - Sale <sup>25</sup>
	0.868	15.88	06/11/20	81.24	65.36 W	0.00	13 of 71 - Sale <sup>25</sup>
	0.267	4.89	06/11/20	27.77	22.88 W	0.00	14 of 71 - Sale <sup>25</sup>
	0.401	7.33	06/17/20	50.06	42.73 W	0.00	15 of 71 - Sale <sup>25</sup>
	0.334	6.11	06/22/20	34.25	28.14 W	0.00	16 of 71 - Sale <sup>25</sup>
	0.067	1.22	06/22/20	6.84	5.62 W	0.00	17 of 71 - Sale <sup>25</sup>
	0.134	2.44	06/22/20	13.80	11.36 W	0.00	18 of 71 - Sale <sup>25</sup>
	0.134	2.44	06/23/20	13.28	10.84 W	0.00	19 of 71 - Sale <sup>25</sup>
	0.134	2.55	06/24/20	11.29	8.74 W	0.00	20 of 71 - Sale <sup>25</sup>
	0.224	4.10	06/24/20	20.96	16.86 W	0.00	21 of 71 - Sale <sup>25</sup>
	0.043	0.83	06/24/20	4.04	3.21 W	0.00	22 of 71 - Sale <sup>25</sup>
	0.401	7.66	06/24/20	35.40	27.74 W	0.00	23 of 71 - Sale <sup>25</sup>
	0.668	12.77	06/24/20	55.94	43.17 W	0.00	24 of 71 - Sale <sup>25</sup>
	0.334	6.38	06/25/20	30.41	24.03 W	0.00	25 of 71 - Sale <sup>25</sup>
	0.200	3.83	06/25/20	18.25	14.42 W	0.00	26 of 71 - Sale <sup>25</sup>
	0.221	4.22	06/30/20	19.15	14.93 W	0.00	27 of 71 - Sale <sup>25</sup>
	0.267	5.08	06/30/20	22.80	17.72 W	0.00	28 of 71 - Sale <sup>25</sup>
	0.180	3.42	06/30/20	15.65	12.23 W	0.00	29 of 71 - Sale <sup>25</sup>
	0.267	5.07	07/01/20	24.33	19.26 W	0.00	30 of 71 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 880963962

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WHITING PETROLEUM CORPORATION / CUSIP: 966387508 / Symbol: (cont'd)							
	0.134	2.54	07/06/20	11.40	8.86 W	0.00	31 of 71 - Sale <sup>25</sup>
	0.134	2.54	07/06/20	11.00	8.46 W	0.00	32 of 71 - Sale <sup>25</sup>
	0.134	2.54	07/06/20	11.10	8.56 W	0.00	33 of 71 - Sale <sup>25</sup>
	0.401	7.61	07/09/20	31.40	23.79 W	0.00	34 of 71 - Sale <sup>25</sup>
	0.267	5.07	07/10/20	19.98	14.91 W	0.00	35 of 71 - Sale <sup>25</sup>
	0.217	4.13	07/29/20	13.54	9.41 W	0.00	36 of 71 - Sale <sup>25</sup>
	0.451	8.59	07/29/20	28.10	19.51 W	0.00	37 of 71 - Sale <sup>25</sup>
	0.668	12.73	07/29/20	41.64	28.91 W	0.00	38 of 71 - Sale <sup>25</sup>
	0.334	6.36	07/31/20	20.49	14.13 W	0.00	39 of 71 - Sale <sup>25</sup>
	0.334	6.36	07/31/20	20.00	13.64 W	0.00	40 of 71 - Sale <sup>25</sup>
	0.214	4.08	07/31/20	12.63	8.55 W	0.00	41 of 71 - Sale <sup>25</sup>
	0.053	1.01	07/31/20	3.15	2.14 W	0.00	42 of 71 - Sale <sup>25</sup>
	0.134	2.54	07/31/20	7.75	5.21 W	0.00	43 of 71 - Sale <sup>25</sup>
	0.267	5.08	08/07/20	63.22	58.14 W	0.00	44 of 71 - Sale <sup>25</sup>
	0.060	1.14	08/19/20	14.23	13.09 W	0.00	45 of 71 - Sale <sup>25</sup>
	0.067	1.27	08/19/20	15.65	14.38 W	0.00	46 of 71 - Sale <sup>25</sup>
	0.067	1.27	08/19/20	15.49	14.22 W	0.00	47 of 71 - Sale <sup>25</sup>
	0.107	2.03	08/19/20	25.52	23.49 W	0.00	48 of 71 - Sale <sup>25</sup>
	0.368	6.98	08/19/20	82.00	75.02 W	0.00	49 of 71 - Sale <sup>25</sup>
	0.033	0.63	08/27/20	7.25	6.62 W	0.00	50 of 71 - Sale <sup>25</sup>
	0.177	3.37	08/27/20	38.07	34.70 W	0.00	51 of 71 - Sale <sup>25</sup>
	0.668	12.68	08/27/20	147.30	134.62 W	0.00	52 of 71 - Sale <sup>25</sup>
	0.123	2.35	08/27/20	26.51	24.16 W	0.00	53 of 71 - Sale <sup>25</sup>
	0.036	0.69	08/28/20	7.74	7.05 W	0.00	54 of 71 - Sale <sup>25</sup>
	0.063	1.20	08/28/20	13.47	12.27 W	0.00	55 of 71 - Sale <sup>25</sup>
	0.234	4.45	08/28/20	48.78	44.33 W	0.00	56 of 71 - Sale <sup>25</sup>
	0.167	3.18	08/31/20	34.74	31.56 W	0.00	57 of 71 - Sale <sup>25</sup>
	0.033	0.63	08/31/20	6.85	6.22 W	0.00	58 of 71 - Sale <sup>25</sup>
	0.067	1.27	08/31/20	13.85	12.58 W	0.00	59 of 71 - Sale <sup>25</sup>
	0.134	2.61	09/01/20	17.05	12.68 W	-1.76	60 of 71 - Sale <sup>25</sup>
	0.067	1.30	09/01/20	8.47	7.17 W	0.00	61 of 71 - Sale <sup>25</sup>
	0.100	1.96	09/01/20	12.76	10.80 W	0.00	62 of 71 - Sale <sup>25</sup>
	0.267	5.07	09/01/20	47.67	42.60 W	0.00	63 of 71 - Sale <sup>25</sup>
	0.134	2.54	09/01/20	20.65	18.11 W	0.00	64 of 71 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 880963962

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WHITING PETROLEUM CORPORATION / CUSIP: 966387508 / Symbol: (cont'd)							
	0.033	0.63	09/01/20	6.30	5.67 W	0.00	65 of 71 - Sale <sup>25</sup>
	0.601	11.42	09/01/20	89.74	78.32 W	0.00	66 of 71 - Sale <sup>25</sup>
	0.241	4.57	09/01/20	28.39	23.82 W	0.00	67 of 71 - Sale <sup>25</sup>
	0.267	5.21	09/01/20	34.27	29.06 W	0.00	68 of 71 - Sale <sup>25</sup>
	0.627	12.23	09/01/20	74.00	61.77 W	0.00	69 of 71 - Sale <sup>25</sup>
	0.401	7.81	09/01/20	59.82	52.01 W	0.00	70 of 71 - Sale <sup>25</sup>
	0.233	4.55	09/01/20	29.62	25.07 W	0.00	71 of 71 - Sale <sup>25</sup>
09/02/20	17.830	335.21	Various	2,289.02	1,952.05 W	-1.76	Total of 71 transactions
	25 transactions for 09/09/20. Total proceeds and cost reported to the IRS.						
	0.267	5.87	09/08/20	63.72	...	-57.85	1 of 25 - Sale <sup>25</sup>
	0.668	14.68	09/08/20	42.94	...	-28.26	2 of 25 - Sale <sup>25</sup>
	0.401	8.81	09/08/20	32.21	...	-23.40	3 of 25 - Sale <sup>25</sup>
	0.107	2.35	09/08/20	25.72	...	-23.37	4 of 25 - Sale <sup>25</sup>
	0.451	9.90	09/08/20	28.97	...	-19.07	5 of 25 - Sale <sup>25</sup>
	0.267	5.87	09/08/20	24.87	...	-19.00	6 of 25 - Sale <sup>25</sup>
	0.267	5.87	09/08/20	23.33	...	-17.46	7 of 25 - Sale <sup>25</sup>
	0.267	5.87	09/08/20	20.52	...	-14.65	8 of 25 - Sale <sup>25</sup>
	0.067	1.47	09/08/20	15.77	...	-14.30	9 of 25 - Sale <sup>25</sup>
	0.067	1.47	09/08/20	15.62	...	-14.15	10 of 25 - Sale <sup>25</sup>
	0.334	7.34	09/08/20	21.14	...	-13.80	11 of 25 - Sale <sup>25</sup>
	0.334	7.34	09/08/20	20.65	...	-13.31	12 of 25 - Sale <sup>25</sup>
	0.060	1.31	09/08/20	14.34	...	-13.03	13 of 25 - Sale <sup>25</sup>
	0.187	4.11	09/08/20	16.57	...	-12.46	14 of 25 - Sale <sup>25</sup>
	0.180	3.96	09/08/20	16.01	...	-12.05	15 of 25 - Sale <sup>25</sup>
	0.059	1.30	09/08/20	13.26	...	-11.96	16 of 25 - Sale <sup>25</sup>
	0.217	4.77	09/08/20	13.97	...	-9.20	17 of 25 - Sale <sup>25</sup>
	0.134	2.94	09/08/20	11.36	...	-8.42	18 of 25 - Sale <sup>25</sup>
	0.134	2.94	09/08/20	11.26	...	-8.32	19 of 25 - Sale <sup>25</sup>
	0.187	4.10	09/08/20	11.37	...	-7.27	20 of 25 - Sale <sup>25</sup>
	0.099	2.17	09/08/20	8.63	...	-6.46	21 of 25 - Sale <sup>25</sup>
	0.134	2.94	09/08/20	8.00	...	-5.06	22 of 25 - Sale <sup>25</sup>
	0.035	0.76	09/08/20	3.04	...	-2.28	23 of 25 - Sale <sup>25</sup>
	0.053	1.17	09/08/20	3.25	...	-2.08	24 of 25 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 880963962

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
WHITING PETROLEUM CORPORATION / CUSIP: 966387508 / Symbol: (cont'd)							
09/09/20	0.027	0.59	09/08/20	1.65	...	-1.06	25 of 25 - Sale <sup>25</sup>
	5.000	109.90	Various	468.17	...	-358.27	Total of 25 transactions
	24 transactions for 09/18/20. Total proceeds and cost reported to the IRS.						
	0.668	13.94	09/11/20	148.54	...	-134.60	1 of 24 - Sale <sup>25</sup>
	0.601	12.53	09/11/20	90.84	...	-78.31	2 of 24 - Sale <sup>25</sup>
	0.309	6.44	09/11/20	69.42	...	-62.98	3 of 24 - Sale <sup>25</sup>
	0.627	13.08	09/11/20	74.84	...	-61.76	4 of 24 - Sale <sup>25</sup>
	0.401	8.36	09/11/20	60.36	...	-52.00	5 of 24 - Sale <sup>25</sup>
	0.234	4.88	09/11/20	49.21	...	-44.33	6 of 24 - Sale <sup>25</sup>
	0.177	3.70	09/11/20	38.40	...	-34.70	7 of 24 - Sale <sup>25</sup>
	0.212	4.41	09/11/20	38.15	...	-33.74	8 of 24 - Sale <sup>25</sup>
	0.167	3.49	09/11/20	35.05	...	-31.56	9 of 24 - Sale <sup>25</sup>
	0.267	5.57	09/11/20	34.63	...	-29.06	10 of 24 - Sale <sup>25</sup>
	0.233	4.87	09/11/20	29.93	...	-25.06	11 of 24 - Sale <sup>25</sup>
	0.123	2.58	09/11/20	26.73	...	-24.15	12 of 24 - Sale <sup>25</sup>
	0.241	5.02	09/11/20	28.84	...	-23.82	13 of 24 - Sale <sup>25</sup>
	0.134	2.78	09/11/20	20.89	...	-18.11	14 of 24 - Sale <sup>25</sup>
	0.117	2.45	09/11/20	15.13	...	-12.68	15 of 24 - Sale <sup>25</sup>
	0.067	1.40	09/11/20	13.97	...	-12.57	16 of 24 - Sale <sup>25</sup>
	0.063	1.32	09/11/20	13.59	...	-12.27	17 of 24 - Sale <sup>25</sup>
	0.100	2.09	09/11/20	12.89	...	-10.80	18 of 24 - Sale <sup>25</sup>
	0.056	1.16	09/11/20	10.02	...	-8.86	19 of 24 - Sale <sup>25</sup>
	0.067	1.39	09/11/20	8.56	...	-7.17	20 of 24 - Sale <sup>25</sup>
	0.036	0.76	09/11/20	7.81	...	-7.05	21 of 24 - Sale <sup>25</sup>
	0.033	0.69	09/11/20	7.31	...	-6.62	22 of 24 - Sale <sup>25</sup>
	0.033	0.69	09/11/20	6.91	...	-6.22	23 of 24 - Sale <sup>25</sup>
	0.033	0.69	09/11/20	6.36	...	-5.67	24 of 24 - Sale <sup>25</sup>
09/18/20	5.000	104.29	Various	848.38	...	-744.09	Total of 24 transactions
	<b>Security total:</b>	<b>592.73</b>		<b>3,849.36</b>	<b>1,952.05 W</b>	<b>-1,304.58</b>	
XCEL BRANDS, INC. COMMON STOCK / CUSIP: 98400M101 / Symbol:							
	35 transactions for 08/19/20. Total proceeds and cost reported to the IRS.						
	50.000	48.99	06/23/20	56.75	...	-7.76	1 of 35 - Sale <sup>25</sup>
	20.000	19.60	06/23/20	21.50	...	-1.90	2 of 35 - Sale <sup>25</sup>

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## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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XCEL BRANDS, INC. COMMON STOCK / CUSIP: 98400M101 / Symbol: (cont'd)							
	10.000	9.80	06/23/20	11.10	...	-1.30	3 of 35 - Sale <sup>25</sup>
	10.000	9.80	06/23/20	10.80	...	-1.00	4 of 35 - Sale <sup>25</sup>
	7.000	6.86	06/23/20	7.77	...	-0.91	5 of 35 - Sale <sup>25</sup>
	8.000	7.84	06/23/20	8.56	...	-0.72	6 of 35 - Sale <sup>25</sup>
	5.000	4.90	06/23/20	5.50	...	-0.60	7 of 35 - Sale <sup>25</sup>
	3.000	2.94	06/23/20	3.36	...	-0.42	8 of 35 - Sale <sup>25</sup>
	3.000	2.94	06/23/20	3.27	...	-0.33	9 of 35 - Sale <sup>25</sup>
	2.000	1.96	06/23/20	2.19	...	-0.23	10 of 35 - Sale <sup>25</sup>
	2.000	1.96	06/23/20	2.14	...	-0.18	11 of 35 - Sale <sup>25</sup>
	30.000	29.40	06/24/20	28.27	...	1.13	12 of 35 - Sale <sup>25</sup>
	30.000	29.40	06/24/20	27.23	...	2.17	13 of 35 - Sale <sup>25</sup>
	20.000	19.60	06/25/20	18.89	...	0.71	14 of 35 - Sale <sup>25</sup>
	20.000	19.60	06/26/20	18.77	...	0.83	15 of 35 - Sale <sup>25</sup>
	10.000	9.80	06/30/20	9.00	...	0.80	16 of 35 - Sale <sup>25</sup>
	10.000	9.80	06/30/20	8.95	...	0.85	17 of 35 - Sale <sup>25</sup>
	35.000	34.29	07/01/20	31.15	...	3.14	18 of 35 - Sale <sup>25</sup>
	2.000	1.96	07/02/20	1.76	...	0.20	19 of 35 - Sale <sup>25</sup>
	5.000	4.90	07/06/20	4.29	...	0.61	20 of 35 - Sale <sup>25</sup>
	10.000	9.80	07/06/20	8.90	...	0.90	21 of 35 - Sale <sup>25</sup>
	13.000	12.74	07/06/20	11.57	...	1.17	22 of 35 - Sale <sup>25</sup>
	10.000	9.80	07/06/20	8.60	...	1.20	23 of 35 - Sale <sup>25</sup>
	25.000	24.49	07/06/20	22.20	...	2.29	24 of 35 - Sale <sup>25</sup>
	5.000	4.90	07/09/20	4.20	...	0.70	25 of 35 - Sale <sup>25</sup>
	25.000	24.49	07/09/20	21.00	...	3.49	26 of 35 - Sale <sup>25</sup>
	10.000	9.80	07/10/20	7.90	...	1.90	27 of 35 - Sale <sup>25</sup>
	20.000	19.60	07/10/20	16.00	...	3.60	28 of 35 - Sale <sup>25</sup>
	20.000	19.60	07/13/20	15.60	...	4.00	29 of 35 - Sale <sup>25</sup>
	20.000	19.59	07/29/20	16.39	...	3.20	30 of 35 - Sale <sup>25</sup>
	10.000	9.80	08/12/20	8.24	...	1.56	31 of 35 - Sale <sup>25</sup>
	5.000	4.90	08/13/20	4.11	...	0.79	32 of 35 - Sale <sup>25</sup>
	5.000	4.90	08/13/20	4.11	...	0.79	33 of 35 - Sale <sup>25</sup>
	25.000	24.49	08/13/20	20.54	...	3.95	34 of 35 - Sale <sup>25</sup>
	5.000	4.90	08/14/20	4.20	...	0.70	35 of 35 - Sale <sup>25</sup>
08/19/20	490.000	480.14	Various	454.81	...	25.33	Total of 35 transactions

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(continued)

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XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U604 / Symbol:							
06/05/20	50.000	142.50	06/04/20	75.00	...	67.50	Sale <sup>25</sup>
XPRESSPA GROUP, INC. COMMON ST OCK / CUSIP: 98420U703 / Symbol:							
6 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	2.000	4.82	08/31/20	4.63	...	0.19	1 of 6 - Sale <sup>25</sup>
	3.000	7.23	08/31/20	6.95	...	0.28	2 of 6 - Sale <sup>25</sup>
	8.000	19.28	08/31/20	18.36	...	0.92	3 of 6 - Sale <sup>25</sup>
	14.000	33.74	09/01/20	28.81	...	4.93	4 of 6 - Sale <sup>25</sup>
	33.000	79.52	09/01/20	64.85	...	14.67	5 of 6 - Sale <sup>25</sup>
	10.000	24.10	09/02/20	19.35	...	4.75	6 of 6 - Sale <sup>25</sup>
10/07/20	70.000	168.69	Various	142.95	...	25.74	Total of 6 transactions
34 transactions for 10/07/20. Total proceeds and cost reported to the IRS.							
	16.000	39.23	06/04/20	72.00	32.77 W	0.00	1 of 34 - Sale <sup>25</sup>
	4.000	9.81	06/17/20	18.40	8.59 W	0.00	2 of 34 - Sale <sup>25</sup>
	30.000	73.55	06/17/20	142.50	68.95 W	0.00	3 of 34 - Sale <sup>25</sup>
	10.000	24.52	06/25/20	46.54	22.02 W	0.00	4 of 34 - Sale <sup>25</sup>
	5.000	12.26	06/25/20	23.23	10.97 W	0.00	5 of 34 - Sale <sup>25</sup>
	5.000	12.26	06/25/20	23.15	10.89 W	0.00	6 of 34 - Sale <sup>25</sup>
	10.000	24.52	06/26/20	41.65	17.13 W	0.00	7 of 34 - Sale <sup>25</sup>
	5.000	12.26	06/30/20	20.80	8.54 W	0.00	8 of 34 - Sale <sup>25</sup>
	15.000	36.77	07/01/20	61.03	24.26 W	0.00	9 of 34 - Sale <sup>25</sup>
	5.000	12.26	07/06/20	19.38	7.12 W	0.00	10 of 34 - Sale <sup>25</sup>
	5.000	12.26	07/06/20	19.18	6.92 W	0.00	11 of 34 - Sale <sup>25</sup>
	5.000	12.26	07/06/20	18.93	6.67 W	0.00	12 of 34 - Sale <sup>25</sup>
	3.000	7.35	07/06/20	11.33	3.98 W	0.00	13 of 34 - Sale <sup>25</sup>
	10.000	24.52	07/06/20	36.85	12.33 W	0.00	14 of 34 - Sale <sup>25</sup>
	2.000	4.90	07/06/20	7.39	2.49 W	0.00	15 of 34 - Sale <sup>25</sup>
	10.000	24.52	07/09/20	37.40	12.88 W	0.00	16 of 34 - Sale <sup>25</sup>
	5.000	12.26	07/09/20	18.60	6.34 W	0.00	17 of 34 - Sale <sup>25</sup>
	5.000	12.25	07/13/20	17.73	5.48 W	0.00	18 of 34 - Sale <sup>25</sup>
	10.000	24.10	07/13/20	35.40	11.30 W	0.00	19 of 34 - Sale <sup>25</sup>
	5.000	12.05	07/16/20	14.33	2.28 W	0.00	20 of 34 - Sale <sup>25</sup>
	5.000	12.05	07/17/20	14.15	2.10 W	0.00	21 of 34 - Sale <sup>25</sup>
	25.000	60.24	08/12/20	86.75	26.51 W	0.00	22 of 34 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 880963962

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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XPRESSPA GROUP, INC. COMMON STOCK / CUSIP: 98420U703 / Symbol: (cont'd)							
	5.000	12.05	08/13/20	16.60	4.55 W	0.00	23 of 34 - Sale <sup>25</sup>
	10.000	24.10	08/17/20	30.80	6.70 W	0.00	24 of 34 - Sale <sup>25</sup>
	10.000	24.10	08/19/20	30.00	5.90 W	0.00	25 of 34 - Sale <sup>25</sup>
	5.000	12.05	08/19/20	14.95	2.90 W	0.00	26 of 34 - Sale <sup>25</sup>
	5.000	12.05	08/20/20	14.15	2.10 W	0.00	27 of 34 - Sale <sup>25</sup>
	20.000	48.19	08/21/20	54.50	6.31 W	0.00	28 of 34 - Sale <sup>25</sup>
	25.000	60.24	08/27/20	66.25	6.01 W	0.00	29 of 34 - Sale <sup>25</sup>
	5.000	12.05	08/27/20	12.83	0.78 W	0.00	30 of 34 - Sale <sup>25</sup>
	5.000	12.05	09/22/20	19.64	7.59 W	0.00	31 of 34 - Sale <sup>25</sup>
	5.000	12.04	09/24/20	19.18	7.14 W	0.00	32 of 34 - Sale <sup>25</sup>
	2.000	4.82	09/24/20	7.70	2.88 W	0.00	33 of 34 - Sale <sup>25</sup>
	2.000	4.82	09/24/20	7.72	2.90 W	0.00	34 of 34 - Sale <sup>25</sup>
10/07/20	294.000	714.76	Various	1,081.04	366.28 W	0.00	Total of 34 transactions
	<b>Security total:</b>	<b>883.45</b>		<b>1,223.99</b>	<b>366.28 W</b>	<b>25.74</b>	
BLUE HAT INTERACTIVE ENTERTAINMENT TECHNOLOGY ORDINARY SHARE S / CUSIP: G1329V106 / Symbol:							
4 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	10.000	9.80	08/17/20	9.42	...	0.38	1 of 4 - Sale <sup>25</sup>
	70.000	68.59	08/17/20	65.28	...	3.31	2 of 4 - Sale <sup>25</sup>
	10.000	9.80	08/19/20	9.60	...	0.20	3 of 4 - Sale <sup>25</sup>
	50.000	48.99	08/19/20	48.00	...	0.99	4 of 4 - Sale <sup>25</sup>
10/12/20	140.000	137.18	Various	132.30	...	4.88	Total of 4 transactions
31 transactions for 10/12/20. Total proceeds and cost reported to the IRS.							
	67.000	62.97	07/17/20	98.48	35.51 W	0.00	1 of 31 - Sale <sup>25</sup>
	33.000	31.02	07/17/20	48.58	17.56 W	0.00	2 of 31 - Sale <sup>25</sup>
	10.000	9.70	07/17/20	14.72	5.02 W	0.00	3 of 31 - Sale <sup>25</sup>
	5.000	4.85	07/24/20	6.70	1.85 W	0.00	4 of 31 - Sale <sup>25</sup>
	5.000	4.85	07/24/20	6.70	1.85 W	0.00	5 of 31 - Sale <sup>25</sup>
	10.000	9.70	07/29/20	13.35	3.65 W	0.00	6 of 31 - Sale <sup>25</sup>
	45.000	43.64	07/29/20	58.95	15.31 W	0.00	7 of 31 - Sale <sup>25</sup>
	15.000	14.55	07/31/20	18.90	4.35 W	0.00	8 of 31 - Sale <sup>25</sup>
	10.000	9.70	07/31/20	12.35	2.65 W	0.00	9 of 31 - Sale <sup>25</sup>
	20.000	19.60	08/17/20	22.00	2.40 W	0.00	10 of 31 - Sale <sup>25</sup>
	10.000	9.80	08/17/20	11.00	1.20 W	0.00	11 of 31 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 880963962

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2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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BLUE HAT INTERACTIVE ENTERTAINMENT TECHNOLOGY ORDINARY SHARE S / CUSIP: G1329V106 / Symbol: (cont'd)							
	30.000	29.40	09/03/20	31.14	1.74 W	0.00	12 of 31 - Sale <sup>25</sup>
	10.000	9.90	09/10/20	10.30	0.40 W	0.00	13 of 31 - Sale <sup>25</sup>
	2.000	1.98	09/18/20	3.24	1.26 W	0.00	14 of 31 - Sale <sup>25</sup>
	2.000	1.98	09/18/20	3.24	1.26 W	0.00	15 of 31 - Sale <sup>25</sup>
	20.000	19.80	09/22/20	31.80	12.00 W	0.00	16 of 31 - Sale <sup>25</sup>
	2.000	1.98	09/22/20	3.17	1.19 W	0.00	17 of 31 - Sale <sup>25</sup>
	13.000	13.18	09/22/20	20.64	7.46 W	0.00	18 of 31 - Sale <sup>25</sup>
	9.000	9.13	09/22/20	14.21	5.08 W	0.00	19 of 31 - Sale <sup>25</sup>
	2.000	2.03	09/22/20	3.16	1.13 W	0.00	20 of 31 - Sale <sup>25</sup>
	17.000	17.23	09/24/20	26.18	8.95 W	0.00	21 of 31 - Sale <sup>25</sup>
	23.000	23.32	09/24/20	35.47	12.15 W	0.00	22 of 31 - Sale <sup>25</sup>
	2.000	2.03	09/24/20	3.00	0.97 W	0.00	23 of 31 - Sale <sup>25</sup>
	8.000	8.11	09/24/20	11.86	3.75 W	0.00	24 of 31 - Sale <sup>25</sup>
	10.000	10.14	09/24/20	14.51	4.37 W	0.00	25 of 31 - Sale <sup>25</sup>
	2.000	2.03	09/24/20	2.64	0.61 W	0.00	26 of 31 - Sale <sup>25</sup>
	2.000	2.03	09/24/20	2.61	0.58 W	0.00	27 of 31 - Sale <sup>25</sup>
	1.000	1.01	09/24/20	1.30	0.29 W	0.00	28 of 31 - Sale <sup>25</sup>
	5.000	5.07	10/02/20	6.22	1.15 W	0.00	29 of 31 - Sale <sup>25</sup>
	5.000	5.07	10/02/20	6.20	1.13 W	0.00	30 of 31 - Sale <sup>25</sup>
	1.000	1.01	10/02/20	1.23	0.22 W	0.00	31 of 31 - Sale <sup>25</sup>
10/12/20	396.000	386.81	Various	543.85	157.04 W	0.00	Total of 31 transactions
	4 transactions for 10/19/20. Total proceeds and cost reported to the IRS.						
	4.000	4.00	10/13/20	5.06	1.06 W	0.00	1 of 4 - Sale <sup>25</sup>
	7.000	7.00	10/13/20	8.68	1.68 W	0.00	2 of 4 - Sale <sup>25</sup>
	38.000	38.00	10/13/20	47.13	9.13 W	0.00	3 of 4 - Sale <sup>25</sup>
	1.000	1.00	10/13/20	1.19	0.19 W	0.00	4 of 4 - Sale <sup>25</sup>
10/19/20	50.000	50.00	Various	62.06	12.06 W	0.00	Total of 4 transactions
	44 transactions for 11/19/20. Total proceeds and cost reported to the IRS.						
	10.000	11.70	10/13/20	11.65	...	0.05	1 of 44 - Sale <sup>25</sup>
	1.000	1.23	10/13/20	1.18	...	0.05	2 of 44 - Sale <sup>25</sup>
	2.000	2.46	10/13/20	2.39	...	0.07	3 of 44 - Sale <sup>25</sup>
	2.000	2.46	10/13/20	2.36	...	0.10	4 of 44 - Sale <sup>25</sup>
	1.000	1.23	10/13/20	1.11	...	0.12	5 of 44 - Sale <sup>25</sup>
	1.000	1.23	10/13/20	1.07	...	0.16	6 of 44 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 880963962

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

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BLUE HAT INTERACTIVE ENTERTAIN MENT TECHNOLOGY ORDINARY SHARE S / CUSIP: G1329V106 / Symbol: (cont'd)							
	4.000	4.92	10/13/20	4.62	...	0.30	7 of 44 - Sale <sup>25</sup>
	5.000	6.15	10/13/20	5.60	...	0.55	8 of 44 - Sale <sup>25</sup>
	5.000	6.15	10/13/20	5.58	...	0.57	9 of 44 - Sale <sup>25</sup>
	4.000	4.68	10/13/20	4.08	...	0.60	10 of 44 - Sale <sup>25</sup>
	7.000	8.61	10/13/20	7.91	...	0.70	11 of 44 - Sale <sup>25</sup>
	6.000	7.02	10/13/20	6.12	...	0.90	12 of 44 - Sale <sup>25</sup>
	19.000	23.37	10/13/20	21.49	...	1.88	13 of 44 - Sale <sup>25</sup>
	6.000	7.32	10/13/20	5.28	...	2.04	14 of 44 - Sale <sup>25</sup>
	19.000	23.36	10/13/20	21.27	...	2.09	15 of 44 - Sale <sup>25</sup>
	10.000	11.70	10/13/20	9.40	...	2.30	16 of 44 - Sale <sup>25</sup>
	20.000	23.40	10/13/20	20.40	...	3.00	17 of 44 - Sale <sup>25</sup>
	10.000	12.20	10/13/20	8.79	...	3.41	18 of 44 - Sale <sup>25</sup>
	30.000	35.09	10/13/20	28.74	...	6.35	19 of 44 - Sale <sup>25</sup>
	4.000	4.88	10/16/20	3.49	...	1.39	20 of 44 - Sale <sup>25</sup>
	10.000	12.30	10/16/20	8.71	...	3.59	21 of 44 - Sale <sup>25</sup>
	1.000	1.23	10/26/20	0.90	...	0.33	22 of 44 - Sale <sup>25</sup>
	5.000	6.15	10/26/20	4.58	...	1.57	23 of 44 - Sale <sup>25</sup>
	4.000	4.92	10/27/20	3.57	...	1.35	24 of 44 - Sale <sup>25</sup>
	2.000	2.46	10/28/20	2.40	...	0.06	25 of 44 - Sale <sup>25</sup>
	2.000	2.46	10/28/20	2.40	...	0.06	26 of 44 - Sale <sup>25</sup>
	1.000	1.26	10/28/20	1.17	...	0.09	27 of 44 - Sale <sup>25</sup>
	6.000	7.38	10/28/20	7.02	...	0.36	28 of 44 - Sale <sup>25</sup>
	5.000	6.30	10/28/20	5.86	...	0.44	29 of 44 - Sale <sup>25</sup>
	5.000	6.30	10/28/20	5.85	...	0.45	30 of 44 - Sale <sup>25</sup>
	4.000	5.04	10/28/20	3.44	...	1.60	31 of 44 - Sale <sup>25</sup>
	2.000	2.52	10/29/20	2.29	...	0.23	32 of 44 - Sale <sup>25</sup>
	3.000	3.78	10/29/20	3.45	...	0.33	33 of 44 - Sale <sup>25</sup>
	5.000	6.30	10/29/20	5.62	...	0.68	34 of 44 - Sale <sup>25</sup>
	2.000	2.60	11/02/20	2.19	...	0.41	35 of 44 - Sale <sup>25</sup>
	2.000	2.60	11/02/20	2.19	...	0.41	36 of 44 - Sale <sup>25</sup>
	3.000	3.90	11/02/20	3.31	...	0.59	37 of 44 - Sale <sup>25</sup>
	5.000	6.30	11/02/20	5.52	...	0.78	38 of 44 - Sale <sup>25</sup>
	7.000	9.10	11/02/20	7.66	...	1.44	39 of 44 - Sale <sup>25</sup>
	6.000	7.80	11/02/20	6.33	...	1.47	40 of 44 - Sale <sup>25</sup>

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2020 1099-B\* OMB No. 1545-0715

(continued)

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BLUE HAT INTERACTIVE ENTERTAINMENT TECHNOLOGY ORDINARY SHARE S / CUSIP: G1329V106 / Symbol: (cont'd)							
	10.000	13.20	11/02/20	10.43	...	2.77	41 of 44 - Sale <sup>25</sup>
	1.000	1.32	11/04/20	1.04	...	0.28	42 of 44 - Sale <sup>25</sup>
	4.000	5.28	11/04/20	4.17	...	1.11	43 of 44 - Sale <sup>25</sup>
	5.000	6.60	11/06/20	5.27	...	1.33	44 of 44 - Sale <sup>25</sup>
11/19/20	266.000	326.26	Various	277.90	...	48.36	Total of 44 transactions
	15 transactions for 11/19/20. Total proceeds and cost reported to the IRS.						
	14.000	16.38	10/13/20	16.66	0.28 W	0.00	1 of 15 - Sale <sup>25</sup>
	2.000	2.34	10/13/20	3.06	0.72 W	0.00	2 of 15 - Sale <sup>25</sup>
	2.000	2.34	10/13/20	3.06	0.72 W	0.00	3 of 15 - Sale <sup>25</sup>
	2.000	2.34	10/13/20	2.99	0.65 W	0.00	4 of 15 - Sale <sup>25</sup>
	2.000	2.34	10/13/20	2.93	0.59 W	0.00	5 of 15 - Sale <sup>25</sup>
	9.000	10.53	10/13/20	13.18	2.65 W	0.00	6 of 15 - Sale <sup>25</sup>
	13.000	15.21	10/13/20	19.16	3.95 W	0.00	7 of 15 - Sale <sup>25</sup>
	20.000	23.40	10/13/20	30.00	6.60 W	0.00	8 of 15 - Sale <sup>25</sup>
	6.000	7.02	10/13/20	8.56	1.54 W	0.00	9 of 15 - Sale <sup>25</sup>
	2.000	2.34	10/13/20	2.75	0.41 W	0.00	10 of 15 - Sale <sup>25</sup>
	8.000	9.36	10/13/20	10.87	1.51 W	0.00	11 of 15 - Sale <sup>25</sup>
	6.000	7.02	10/13/20	7.96	0.94 W	0.00	12 of 15 - Sale <sup>25</sup>
	11.000	12.87	10/13/20	15.58	2.71 W	0.00	13 of 15 - Sale <sup>25</sup>
	23.000	26.90	10/13/20	32.62	5.72 W	0.00	14 of 15 - Sale <sup>25</sup>
	4.000	4.92	10/13/20	5.31	0.39 W	0.00	15 of 15 - Sale <sup>25</sup>
11/19/20	124.000	145.31	Various	174.69	29.38 W	0.00	Total of 15 transactions
12/29/20	6.000	5.27	11/16/20	5.27	...	0.00	Sale <sup>25</sup>
	18 transactions for 12/29/20. Total proceeds and cost reported to the IRS.						
	1.000	0.88	11/06/20	1.05	0.17 W	0.00	1 of 18 - Sale <sup>25</sup>
	3.000	2.64	11/06/20	3.15	0.51 W	0.00	2 of 18 - Sale <sup>25</sup>
	1.000	0.88	11/06/20	1.05	0.17 W	0.00	3 of 18 - Sale <sup>25</sup>
	5.000	4.40	11/10/20	5.44	1.04 W	0.00	4 of 18 - Sale <sup>25</sup>
	2.000	1.76	11/11/20	2.14	0.38 W	0.00	5 of 18 - Sale <sup>25</sup>
	2.000	1.76	11/12/20	2.22	0.46 W	0.00	6 of 18 - Sale <sup>25</sup>
	2.000	1.76	11/12/20	2.13	0.37 W	0.00	7 of 18 - Sale <sup>25</sup>
	6.000	5.28	11/12/20	6.29	1.01 W	0.00	8 of 18 - Sale <sup>25</sup>
	2.000	1.76	11/16/20	2.14	0.38 W	0.00	9 of 18 - Sale <sup>25</sup>
	4.000	3.52	11/16/20	3.90	0.38 W	0.00	10 of 18 - Sale <sup>25</sup>

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(continued)

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BLUE HAT INTERACTIVE ENTERTAINMENT TECHNOLOGY ORDINARY SHARE S / CUSIP: G1329V106 / Symbol: (cont'd)							
	6.000	5.28	11/16/20	6.21	0.93 W	0.00	11 of 18 - Sale <sup>25</sup>
	10.000	8.80	11/19/20	9.30	0.50 W	0.00	12 of 18 - Sale <sup>25</sup>
	1.000	0.87	11/19/20	0.91	0.04 W	0.00	13 of 18 - Sale <sup>25</sup>
	24.000	21.12	11/19/20	21.96	0.84 W	0.00	14 of 18 - Sale <sup>25</sup>
	5.000	4.40	11/19/20	4.55	0.15 W	0.00	15 of 18 - Sale <sup>25</sup>
	20.000	17.60	11/19/20	18.20	0.60 W	0.00	16 of 18 - Sale <sup>25</sup>
	25.000	21.99	11/20/20	22.50	0.51 W	0.00	17 of 18 - Sale <sup>25</sup>
	25.000	22.00	11/20/20	22.25	0.25 W	0.00	18 of 18 - Sale <sup>25</sup>
12/29/20	144.000	126.70	Various	135.39	8.69 W	0.00	Total of 18 transactions
	3 transactions for 12/31/20. Total proceeds and cost reported to the IRS.						
	10.000	8.85	11/20/20	8.80	...	0.05	1 of 3 - Sale <sup>25</sup>
	30.000	26.55	11/20/20	26.43	...	0.12	2 of 3 - Sale <sup>25</sup>
	10.000	8.86	11/20/20	8.70	...	0.16	3 of 3 - Sale <sup>25</sup>
12/31/20	50.000	44.26	Various	43.93	...	0.33	Total of 3 transactions
	<b>Security total:</b>	<b>1,221.79</b>		<b>1,375.39</b>	<b>207.17 W</b>	<b>53.57</b>	
COLOR STAR TECHNOLOGY CO. LTD. ORDINARY SHARES / CUSIP: G2287A100 / Symbol:							
	7 transactions for 12/04/20. Total proceeds and cost reported to the IRS.						
	2.000	1.21	11/24/20	1.00	...	0.21	1 of 7 - Sale <sup>25</sup>
	2.000	1.21	11/24/20	1.00	...	0.21	2 of 7 - Sale <sup>25</sup>
	50.000	30.24	11/24/20	25.00	...	5.24	3 of 7 - Sale <sup>25</sup>
	98.000	59.28	11/24/20	49.00	...	10.28	4 of 7 - Sale <sup>25</sup>
	98.000	59.28	11/24/20	49.00	...	10.28	5 of 7 - Sale <sup>25</sup>
	102.000	61.70	11/24/20	50.75	...	10.95	6 of 7 - Sale <sup>25</sup>
	148.000	89.53	11/24/20	73.64	...	15.89	7 of 7 - Sale <sup>25</sup>
12/04/20	500.000	302.45	Various	249.39	...	53.06	Total of 7 transactions
	2 transactions for 12/07/20. Total proceeds and cost reported to the IRS.						
	3.000	1.82	11/24/20	1.49	...	0.33	1 of 2 - Sale <sup>25</sup>
	15.000	9.07	11/24/20	7.44	...	1.63	2 of 2 - Sale <sup>25</sup>
12/07/20	18.000	10.89	Various	8.93	...	1.96	Total of 2 transactions
	14 transactions for 12/24/20. Total proceeds and cost reported to the IRS.						
	2.000	1.52	12/02/20	1.08	...	0.44	1 of 14 - Sale <sup>25</sup>
	2.000	1.68	12/08/20	1.12	...	0.56	2 of 14 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 880963962

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
COLOR STAR TECHNOLOGY CO. LTD. ORDINARY SHARES / CUSIP: G2287A100 / Symbol: (cont'd)							
	10.000	8.40	12/08/20	5.59	...	2.81	3 of 14 - Sale <sup>25</sup>
	20.000	15.20	12/08/20	11.20	...	4.00	4 of 14 - Sale <sup>25</sup>
	18.000	15.12	12/08/20	10.08	...	5.04	5 of 14 - Sale <sup>25</sup>
	10.000	8.40	12/09/20	5.23	...	3.17	6 of 14 - Sale <sup>25</sup>
	10.000	8.40	12/09/20	5.19	...	3.21	7 of 14 - Sale <sup>25</sup>
	10.000	8.40	12/09/20	5.19	...	3.21	8 of 14 - Sale <sup>25</sup>
	18.000	15.12	12/09/20	9.63	...	5.49	9 of 14 - Sale <sup>25</sup>
	25.000	20.99	12/10/20	12.67	...	8.32	10 of 14 - Sale <sup>25</sup>
	15.000	12.60	12/11/20	7.63	...	4.97	11 of 14 - Sale <sup>25</sup>
	20.000	16.79	12/14/20	10.20	...	6.59	12 of 14 - Sale <sup>25</sup>
	12.000	10.08	12/22/20	6.13	...	3.95	13 of 14 - Sale <sup>25</sup>
	13.000	11.57	12/22/20	6.64	...	4.93	14 of 14 - Sale <sup>25</sup>
12/24/20	185.000	154.27	Various	97.58	...	56.69	Total of 14 transactions
	<b>Security total:</b>	<b>467.61</b>		<b>355.90</b>	...	<b>111.71</b>	
INTEC PHARMA LTD. ORDINARY SHA RES / CUSIP: M53644106 / Symbol:							
06/04/20	200.000	79.98	05/29/20	55.25	...	24.73	Sale <sup>25</sup>
	3 transactions for 06/08/20. Total proceeds and cost reported to the IRS.						
	100.000	38.34	06/04/20	37.00	...	1.34	1 of 3 - Sale <sup>25</sup>
	200.000	75.98	06/04/20	74.00	...	1.98	2 of 3 - Sale <sup>25</sup>
	100.000	39.99	06/04/20	37.00	...	2.99	3 of 3 - Sale <sup>25</sup>
06/08/20	400.000	154.31	Various	148.00	...	6.31	Total of 3 transactions
	3 transactions for 06/23/20. Total proceeds and cost reported to the IRS.						
	100.000	31.00	06/22/20	30.99	...	0.01	1 of 3 - Sale <sup>25</sup>
	25.000	7.77	06/22/20	7.75	...	0.02	2 of 3 - Sale <sup>25</sup>
	50.000	15.51	06/22/20	15.49	...	0.02	3 of 3 - Sale <sup>25</sup>
06/23/20	175.000	54.28	Various	54.23	...	0.05	Total of 3 transactions
06/23/20	25.000	7.69	06/22/20	7.75	0.06 W	0.00	Sale <sup>25</sup>
	2 transactions for 07/16/20. Total proceeds and cost reported to the IRS.						
	25.000	8.34	07/13/20	6.81	...	1.53	1 of 2 - Sale <sup>25</sup>
	25.000	8.34	07/13/20	6.75	...	1.59	2 of 2 - Sale <sup>25</sup>
07/16/20	50.000	16.68	Various	13.56	...	3.12	Total of 2 transactions

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Robinhood Securities LLC

Account 880963962

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
INTEC PHARMA LTD. ORDINARY SHA RES / CUSIP: M53644106 / Symbol: (cont'd)							
2 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	100.000	22.07	10/09/20	26.91	...	-4.84	1 of 2 - Sale <sup>25</sup>
	100.000	22.69	10/09/20	26.91	...	-4.22	2 of 2 - Sale <sup>25</sup>
10/23/20	200.000	44.76	Various	53.82	...	-9.06	Total of 2 transactions
3 transactions for 10/23/20. Total proceeds and cost reported to the IRS.							
	50.000	11.40	10/09/20	13.45	2.05 W	0.00	1 of 3 - Sale <sup>25</sup>
	50.000	11.40	10/09/20	13.46	2.06 W	0.00	2 of 3 - Sale <sup>25</sup>
	50.000	11.01	10/09/20	13.46	2.45 W	0.00	3 of 3 - Sale <sup>25</sup>
10/23/20	150.000	33.81	Various	40.37	6.56 W	0.00	Total of 3 transactions
4 transactions for 10/29/20. Total proceeds and cost reported to the IRS.							
	50.000	7.93	10/09/20	13.46	...	-5.53	1 of 4 - Sale <sup>25</sup>
	49.000	7.77	10/09/20	13.18	...	-5.41	2 of 4 - Sale <sup>25</sup>
	20.000	3.20	10/09/20	5.38	...	-2.18	3 of 4 - Sale <sup>25</sup>
	1.000	0.16	10/09/20	0.27	...	-0.11	4 of 4 - Sale <sup>25</sup>
10/29/20	120.000	19.06	Various	32.29	...	-13.23	Total of 4 transactions
	<b>Security total:</b>	<b>410.57</b>		<b>405.27</b>	<b>6.62 W</b>	<b>11.92</b>	
INTEC PHARMA LTD. ORDINARY SHA RES / CUSIP: M53644148 / Symbol:							
3 transactions for 11/05/20. Total proceeds and cost reported to the IRS.							
	1.000	2.73	10/09/20	5.38	...	-2.65	1 of 3 - Sale <sup>25</sup>
	0.500	1.40	10/09/20	2.69	...	-1.29	2 of 3 - Sale <sup>25</sup>
	0.500	1.39	10/15/20	2.81	...	-1.42	3 of 3 - Sale <sup>25</sup>
11/05/20	2.000	5.52	Various	10.88	...	-5.36	Total of 3 transactions
3 transactions for 11/06/20. Total proceeds and cost reported to the IRS.							
	2.500	7.87	10/15/20	14.45	...	-6.58	1 of 3 - Sale <sup>25</sup>
	2.500	7.88	10/15/20	14.05	...	-6.17	2 of 3 - Sale <sup>25</sup>
	2.000	5.98	10/15/20	11.25	...	-5.27	3 of 3 - Sale <sup>25</sup>
11/06/20	7.000	21.73	Various	39.75	...	-18.02	Total of 3 transactions
	<b>Security total:</b>	<b>27.25</b>		<b>50.63</b>	...	<b>-23.38</b>	

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Robinhood Securities LLC

Account 880963962

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GLOBUS MARITIME LIMITED COMMON STOCK / CUSIP: Y27265126 / Symbol:							
3 transactions for 10/22/20. Total proceeds and cost reported to the IRS.							
	2.000	21.22	08/19/20	24.58	...	-3.36	1 of 3 - Sale <sup>25</sup>
	1.000	10.90	08/19/20	12.43	...	-1.53	2 of 3 - Sale <sup>25</sup>
	1.000	10.90	08/19/20	12.43	...	-1.53	3 of 3 - Sale <sup>25</sup>
10/22/20	4.000	43.02	Various	49.44	...	-6.42	Total of 3 transactions
7 transactions for 11/10/20. Total proceeds and cost reported to the IRS.							
	1.000	7.96	08/19/20	12.43	...	-4.47	1 of 7 - Sale <sup>25</sup>
	1.000	8.13	09/04/20	11.53	...	-3.40	2 of 7 - Sale <sup>25</sup>
	1.000	8.13	09/24/20	34.11	...	-25.98	3 of 7 - Sale <sup>25</sup>
	0.500	4.07	09/24/20	17.06	...	-12.99	4 of 7 - Sale <sup>25</sup>
	0.150	1.22	09/24/20	4.99	...	-3.77	5 of 7 - Sale <sup>25</sup>
	0.150	1.22	09/24/20	4.96	...	-3.74	6 of 7 - Sale <sup>25</sup>
	0.200	1.62	09/24/20	4.85	...	-3.23	7 of 7 - Sale <sup>25</sup>
11/10/20	4.000	32.35	Various	89.93	...	-57.58	Total of 7 transactions
2 transactions for 11/24/20. Total proceeds and cost reported to the IRS.							
	0.200	1.81	09/24/20	4.75	...	-2.94	1 of 2 - Sale <sup>25</sup>
	0.800	7.25	10/12/20	21.44	...	-14.19	2 of 2 - Sale <sup>25</sup>
11/24/20	1.000	9.06	Various	26.19	...	-17.13	Total of 2 transactions
5 transactions for 11/30/20. Total proceeds and cost reported to the IRS.							
	0.400	3.69	10/12/20	9.79	...	-6.10	1 of 5 - Sale <sup>25</sup>
	0.200	1.85	10/12/20	5.36	...	-3.51	2 of 5 - Sale <sup>25</sup>
	0.200	1.85	10/12/20	5.12	...	-3.27	3 of 5 - Sale <sup>25</sup>
	0.100	0.92	10/12/20	2.62	...	-1.70	4 of 5 - Sale <sup>25</sup>
	0.100	0.92	10/12/20	2.56	...	-1.64	5 of 5 - Sale <sup>25</sup>
11/30/20	1.000	9.23	Various	25.45	...	-16.22	Total of 5 transactions
12/01/20	1.000	9.25	10/12/20	24.43	...	-15.18	Sale <sup>25</sup>
6 transactions for 12/04/20. Total proceeds and cost reported to the IRS.							
	0.500	5.15	10/12/20	12.36	...	-7.21	1 of 6 - Sale <sup>25</sup>
	0.500	5.15	10/12/20	12.32	...	-7.17	2 of 6 - Sale <sup>25</sup>
	0.250	2.57	10/12/20	5.96	...	-3.39	3 of 6 - Sale <sup>25</sup>
	0.250	2.57	10/12/20	5.96	...	-3.39	4 of 6 - Sale <sup>25</sup>
	0.250	2.57	10/12/20	5.92	...	-3.35	5 of 6 - Sale <sup>25</sup>

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Robinhood Securities LLC

Account 880963962

Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)

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GLOBUS MARITIME LIMITED COMMON STOCK / CUSIP: Y27265126 / Symbol: (cont'd)							
	0.250	2.57	10/12/20	5.87	...	-3.30	6 of 6 - Sale <sup>25</sup>
12/04/20	2.000	20.58	Various	48.39	...	-27.81	Total of 6 transactions
	<b>Security total:</b>	<b>123.49</b>		<b>263.83</b>	...	<b>-140.34</b>	
GLOBUS MARITIME LIMITED COMMON STOCK / CUSIP: Y27265407 / Symbol:							
	3 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	100.000	14.02	08/12/20	14.78	...	-0.76	1 of 3 - Sale <sup>25</sup>
	100.000	14.02	08/17/20	13.59	...	0.43	2 of 3 - Sale <sup>25</sup>
	100.000	14.03	08/19/20	12.29	...	1.74	3 of 3 - Sale <sup>25</sup>
10/12/20	300.000	42.07	Various	40.66	...	1.41	Total of 3 transactions
	25 transactions for 10/12/20. Total proceeds and cost reported to the IRS.						
	100.000	14.02	06/22/20	37.50	23.48 W	0.00	1 of 25 - Sale <sup>25</sup>
	50.000	7.01	06/22/20	18.75	11.74 W	0.00	2 of 25 - Sale <sup>25</sup>
	15.000	2.10	06/24/20	5.46	3.36 W	0.00	3 of 25 - Sale <sup>25</sup>
	15.000	2.10	06/24/20	5.50	3.40 W	0.00	4 of 25 - Sale <sup>25</sup>
	20.000	2.80	06/26/20	5.52	2.72 W	0.00	5 of 25 - Sale <sup>25</sup>
	100.000	14.02	06/26/20	27.00	12.98 W	0.00	6 of 25 - Sale <sup>25</sup>
	20.000	2.80	06/26/20	5.40	2.60 W	0.00	7 of 25 - Sale <sup>25</sup>
	10.000	1.40	06/30/20	2.58	1.18 W	0.00	8 of 25 - Sale <sup>25</sup>
	20.000	2.80	06/30/20	5.16	2.36 W	0.00	9 of 25 - Sale <sup>25</sup>
	10.000	1.40	06/30/20	2.64	1.24 W	0.00	10 of 25 - Sale <sup>25</sup>
	40.000	5.61	07/01/20	9.87	4.26 W	0.00	11 of 25 - Sale <sup>25</sup>
	100.000	14.02	07/01/20	24.63	10.61 W	0.00	12 of 25 - Sale <sup>25</sup>
	25.000	3.50	07/06/20	5.91	2.41 W	0.00	13 of 25 - Sale <sup>25</sup>
	25.000	3.50	07/06/20	5.96	2.46 W	0.00	14 of 25 - Sale <sup>25</sup>
	25.000	3.50	07/06/20	6.01	2.51 W	0.00	15 of 25 - Sale <sup>25</sup>
	25.000	3.51	07/06/20	6.01	2.50 W	0.00	16 of 25 - Sale <sup>25</sup>
	50.000	7.01	07/09/20	12.46	5.45 W	0.00	17 of 25 - Sale <sup>25</sup>
	50.000	7.01	07/10/20	12.42	5.41 W	0.00	18 of 25 - Sale <sup>25</sup>
	15.000	2.10	07/17/20	2.44	0.34 W	0.00	19 of 25 - Sale <sup>25</sup>
	100.000	14.02	07/17/20	16.40	2.38 W	0.00	20 of 25 - Sale <sup>25</sup>
	15.000	2.10	07/17/20	2.47	0.37 W	0.00	21 of 25 - Sale <sup>25</sup>
	70.000	9.81	07/21/20	11.20	1.39 W	0.00	22 of 25 - Sale <sup>25</sup>
	20.000	2.80	07/28/20	3.06	0.26 W	0.00	23 of 25 - Sale <sup>25</sup>

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Account 880963962

**Proceeds from Broker and Barter Exchange Transactions**

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

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**1a- Description of property/CUSIP/Symbol**

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	Gain or loss(-) & 7- Loss not allowed (X) also not reported (Z)	Additional information
GLOBUS MARITIME LIMITED COMMON STOCK / CUSIP: Y27265407 / Symbol: (cont'd)							
	80.000	11.22	07/29/20	12.39	1.17 W	0.00	24 of 25 - Sale <sup>25</sup>
	200.000	28.04	07/31/20	30.60	1.28 W	-1.28	25 of 25 - Sale <sup>25</sup>
10/12/20	1,200.000	168.20	Various	277.34	107.86 W	-1.28	Total of 25 transactions
	<b>Security total:</b>	<b>210.27</b>		<b>318.00</b>	<b>107.86 W</b>	<b>0.13</b>	
SEANERGY MARITIME HOLDINGS COR P COMMON STOCK / CUSIP: Y73760301 / Symbol:							
6 transactions for 06/22/20. Total proceeds and cost reported to the IRS.							
	100.000	19.68	06/08/20	21.00	...	-1.32	1 of 6 - Sale <sup>25</sup>
	6.000	1.18	06/08/20	1.23	...	-0.05	2 of 6 - Sale <sup>25</sup>
	4.000	0.79	06/08/20	0.82	...	-0.03	3 of 6 - Sale <sup>25</sup>
	40.000	7.87	06/08/20	7.89	...	-0.02	4 of 6 - Sale <sup>25</sup>
	50.000	9.84	06/10/20	8.48	...	1.36	5 of 6 - Sale <sup>25</sup>
	200.000	39.37	06/17/20	35.45	...	3.92	6 of 6 - Sale <sup>25</sup>
06/22/20	400.000	78.73	Various	74.87	...	3.86	Total of 6 transactions
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y164 / Symbol:							
11 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	20.000	3.45	06/11/20	3.20	...	0.25	1 of 11 - Sale <sup>25</sup>
	30.000	5.17	06/11/20	4.74	...	0.43	2 of 11 - Sale <sup>25</sup>
	30.000	5.17	06/11/20	4.53	...	0.64	3 of 11 - Sale <sup>25</sup>
	70.000	12.06	06/11/20	10.59	...	1.47	4 of 11 - Sale <sup>25</sup>
	30.000	5.17	06/17/20	6.34	...	-1.17	5 of 11 - Sale <sup>25</sup>
	20.000	3.45	06/17/20	4.24	...	-0.79	6 of 11 - Sale <sup>25</sup>
	30.000	5.17	06/17/20	5.04	...	0.13	7 of 11 - Sale <sup>25</sup>
	15.000	2.58	06/17/20	2.36	...	0.22	8 of 11 - Sale <sup>25</sup>
	25.000	4.30	06/17/20	3.94	...	0.36	9 of 11 - Sale <sup>25</sup>
	80.000	13.78	06/17/20	11.98	...	1.80	10 of 11 - Sale <sup>25</sup>
	100.000	17.23	06/22/20	14.83	...	2.40	11 of 11 - Sale <sup>25</sup>
06/23/20	450.000	77.53	Various	71.79	...	5.74	Total of 11 transactions
5 transactions for 06/23/20. Total proceeds and cost reported to the IRS.							
	100.000	16.98	06/08/20	18.00	1.02 W	0.00	1 of 5 - Sale <sup>25</sup>
	100.000	17.18	06/08/20	17.99	0.81 W	0.00	2 of 5 - Sale <sup>25</sup>
	70.000	12.05	06/08/20	12.60	0.55 W	0.00	3 of 5 - Sale <sup>25</sup>
	30.000	5.16	06/08/20	5.71	0.55 W	0.00	4 of 5 - Sale <sup>25</sup>

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 880963962

## Proceeds from Broker and Barter Exchange Transactions

2020 1099-B\* OMB No. 1545-0715

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)**

Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)

"Gain or loss (-)" is NOT reported to the IRS.

**1a- Description of property/CUSIP/Symbol**

<b>1c- Date sold or disposed</b>	<b>Quantity</b>	<b>1d- Proceeds &amp; 6- Reported (G)ross or (N)et</b>	<b>1b- Date acquired</b>	<b>1e- Cost or other basis</b>	<b>1f- Accrued mkt disc (D) &amp; 1g- Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; 7- Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
TOP SHIPS, INC. COMMON STOCK / CUSIP: Y8897Y164 / Symbol: (cont'd)							
	50.000	8.61	06/08/20	11.70	3.09 W	0.00	5 of 5 - Sale <sup>25</sup>
06/23/20	350.000	59.98	Various	66.00	6.02 W	0.00	Total of 5 transactions
	<b>Security total:</b>	<b>137.51</b>		<b>137.79</b>	<b>6.02 W</b>	<b>5.74</b>	
<b>Totals :</b>		<b>20,881.28</b>		<b>26,447.71</b>	<b>5,015.19 W</b>	<b>-551.24</b>	

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

Robinhood Securities LLC

Account 880963962

**Proceeds Not Reported to the IRS**

2020

02/14/2021

This section of your tax information statement provides proceeds transactions that are not reported to the IRS, but may have a tax impact. They are grouped by term (long, short or undetermined). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase.

Several columns include both an amount and a qualifying notation to its right. Where proceeds are the result of an option exercise or assignment, there is indication of whether the amount is N (net of option premium) or G (Gross). Accrued Market Discount and Wash Sale Loss Disallowed appear in the same column, identified by the letters D or W, respectively. Where you are not permitted to recognize a loss, an indication of X (change in control or capital structure) or Z (other corporate action) is used.

Some tax lots may have notations in the column of additional information because they require special treatment on your tax returns. Sales of securities such as Contingent Payment Debt Instruments (CPDI) are marked as "Ordinary" because gains and losses on these instruments generally do not qualify as short- or long-term capital transactions. Similarly, sales of collectibles, noted as "3 - Proceeds from Collectibles [X]" are handled distinctly under the tax code. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment for these scenarios. With further regard to Box 3, there is also a checkmark to indicate the proceeds of sale are from a Qualified Opportunity Zone Fund investment - a QOF. If the proceeds are from a QOF the Additional Information column will reflect the following - "3 - [X] Proceeds from QOF." The tax treatment for QOF investments can be complex and you may wish to consult your tax advisor about such sales.

**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked.

**Description of property**

Date sold or disposed	Quantity	Proceeds & Reported (G)ross or (N)et	Date acquired	Cost or other basis	Accrued mkt disc (D) & Wash sale loss disallowed (W)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional information
WHITING PETROLEUM CORP SERIES A WARRANT EXP 09/01/2024 / CUSIP: 966387110 / Symbol:							
09/14/20	0.968	4.40	06/08/20	0.00	...	4.40	Gain or loss
WHITING PETROLEUM CORP SERIES B WARRANT EXP 09/01/2024 / CUSIP: 966387128 / Symbol:							
	5 transactions for 09/14/20						
	0.015	0.07	06/08/20	0.00	...	0.07	Gain or loss
	0.052	0.24	06/08/20	0.00	...	0.24	Gain or loss
	0.131	0.59	06/08/20	0.00	...	0.59	Gain or loss
	0.131	0.59	06/08/20	0.00	...	0.59	Gain or loss
	0.655	2.95	06/08/20	0.00	...	2.95	Gain or loss
09/14/20	0.984	4.44	Various	0.00	...	4.44	Total of 5 transactions
WHITING PETROLEUM CORPORATION / CUSIP: 966387508 / Symbol:							
	10 transactions for 09/14/20						
	0.334	9.35	06/08/20	76.50	...	-67.15	Gain or loss
	0.134	3.74	06/08/20	30.47	...	-26.73	Gain or loss
	0.067	1.87	06/08/20	14.94	...	-13.07	Gain or loss
	0.067	1.87	06/08/20	14.85	...	-12.98	Gain or loss
	0.027	0.75	06/08/20	6.06	...	-5.31	Gain or loss
	0.027	0.75	06/08/20	5.92	...	-5.17	Gain or loss

Robinhood Securities LLC

Account 880963962

**Proceeds Not Reported to the IRS**

2020

(continued)

02/14/2021

**SHORT TERM TRANSACTIONS [Ordinary gains or losses are identified in the Additional information column]**

Report on Form 8949, Part I, with Box C checked.

**Description of property**

<b>Date sold or disposed</b>	<b>Quantity</b>	<b>Proceeds &amp; Reported (G)ross or (N)et</b>	<b>Date acquired</b>	<b>Cost or other basis</b>	<b>Accrued mkt disc (D) &amp; Wash sale loss disallowed (W)</b>	<b>Gain or loss(-) &amp; Loss not allowed (X) also not reported (Z)</b>	<b>Additional information</b>
WHITING PETROLEUM CORPORATION / CUSIP: 966387508 / Symbol: (cont'd)							
	0.013	0.37	06/08/20	3.05	...	-2.68	Gain or loss
	0.093	2.61	06/09/20	18.74	...	-16.13	Gain or loss
	0.074	2.06	06/09/20	13.91	...	-11.85	Gain or loss
	0.067	1.87	06/09/20	12.74	...	-10.87	Gain or loss
09/14/20	0.902	25.24	Various	197.18	...	-171.94	Total of 10 transactions
XPRESSPA GROUP, INC. COMMON STOCK / CUSIP: 98420U703 / Symbol:							
06/22/20	0.667	3.24	06/04/20	3.00	...	0.24	Gain or loss
	<b>Totals:</b>	<b>37.32</b>		<b>200.18</b>	...	<b>-162.86</b>	

Robinhood Securities LLC

Account 880963962

**Detail for Dividends and Distributions**

2020

02/14/2021

*This section of your tax information statement contains the payment level detail of your taxable dividends, capital gains distributions, Section 199A dividends, exempt-interest dividends, nondividend distributions and liquidation distributions. Also shown are the fair market values of any taxable stock dividends or noncash liquidation distributions.*

*Federal, state and foreign tax withheld and investment expenses are presented as negative amounts but do not net against the reportable income totals. All amounts are grouped by security, with the transactions listed in chronological order. Subtotals for each security are provided. For situations in which the tax character of a distribution (or part thereof) is different than at the time it was paid, endnotes are provided for further explanation.*

*Note that a payment characterized as a "Qualified dividend" is only issuer-qualified.*

<b>Security description</b>	<b>CUSIP and/or symbol</b>	<b>State</b>	<b>Date</b>	<b>Amount</b>	<b>Transaction type</b>	<b>Notes</b>
APPLE INC. COMMON STOCK	037833100		11/12/20	3.90	Qualified dividend	
PROSPECT CAPITAL CORPORATION C OMMON STOCK	74348T102		06/18/20	0.06	Qualified dividend	
				<b>3.96</b>	<b>Total Dividends &amp; distributions</b>	



Robinhood Securities LLC

Account 880963962

## Non-Reportable Actions

2020

02/14/2021

Security Description	CUSIP and/or symbol	Date	Quantity	Amount	Transaction type	Notes
OASIS PETROLEUM INC. COMMON STOCK	674215108	11/20/20	-600.00	0.00	Merger	
OASIS PETROLEUM INC. WARRANTS	674215116	11/20/20	3.00	0.00	Merger	
WHITING PETROLEUM CORP SERIES A WARRANT EXP 09/01/2024	966387110	09/01/20	81.00	0.00	Merger	
WHITING PETROLEUM CORP SERIES B WARRANT EXP 09/01/2024	966387128	09/01/20	40.00	0.00	Merger	
WHITING PETROLEUM CORPORATION	966387409	09/02/20	-1,565.00	0.00	Merger	
WHITING PETROLEUM CORPORATION	966387508	09/02/20	20.00	0.00	Merger	

25 Tax lot closed on a first in first out basis.

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**Common Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Backup Withholding.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

**Nominees.** If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the General Instructions for Certain Information Returns.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Keep tax documents for your records.****1099-DIV Instructions for Recipient**

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Line 1a.** Shows total ordinary dividends that are taxable. Include this amount on the "Ordinary dividends" line of Form 1040 or 1040-SR. Also, report it on Schedule B (Form 1040 or 1040-SR), if required.

**Line 1b.** Shows the portion of the amount in line 1a that may be eligible for reduced capital gains rates. See the Instructions for Forms 1040 and 1040-SR for how to determine this amount and where to report. The amount shown may be dividends a corporation paid directly to you as a participant (or beneficiary of a participant) in an employee stock ownership plan (ESOP). Report it as a dividend on your Form 1040 or 1040-SR but treat it as a plan distribution, not as investment income, for any other purpose.

**Line 2a.** Shows total capital gain distributions from a regulated investment company (RIC) or real estate investment trust (REIT). See How To Report in the Instructions for Schedule D (Form 1040 or 1040-SR). But, if no amount is shown in lines 2c and 2d and your only capital gains and losses are capital gain distributions, you may be able to report the amounts shown in line 2a on your Form 1040 or 1040-SR rather than Schedule D. See the Instructions for Forms 1040 and 1040-SR.

**Line 2b.** Shows the portion of the amount in line 2a that is unrecaptured section 1250 gain from certain depreciable real property. See the Unrecaptured Section 1250 Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 2c.** Shows the portion of the amount in line 2a that is section 1202 gain from certain small business stock that may be subject to an exclusion. See the Schedule D (Form 1040 or 1040-SR) instructions.

**Line 2d.** Shows 28% rate gain from sales or exchanges of collectibles. If required, use this amount when completing the 28% Rate Gain Worksheet in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 3.** Shows a return of capital. To the extent of your cost (or other basis) in the stock, the distribution reduces your basis and is not taxable. Any amount received in excess of your basis is taxable to you as capital gain. See Pub. 550.

**Line 4.** Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** Shows the portion of the amount in line 1a that may be eligible for the 20% qualified business income deduction under section 199A. See the instructions for Form 8995 and Form 8995-A.

**Instructions for Recipient**

**Line 6.** Shows your share of expenses of a nonpublicly offered RIC, generally a nonpublicly offered mutual fund. This amount is included in line 1a.

**Line 7.** Shows the foreign tax that you may be able to claim as a deduction or a credit on Form 1040 or 1040-SR. See the Instructions for Forms 1040 and 1040-SR.

**Line 8.** This line should be left blank if a RIC reported the foreign tax shown in line 7.

**Lines 9 and 10.** Show cash and noncash liquidation distributions.

**Line 11.** Shows exempt-interest dividends from a mutual fund or other RIC paid to you during the calendar year. See the Instructions for Forms 1040 and 1040-SR for where to report. This amount may be subject to backup withholding. See line 4 above.

**Line 12.** Shows exempt-interest dividends subject to the alternative minimum tax. This amount is included in line 11. See the Instructions for Form 6251.

**Lines 13-15.** State income tax withheld reporting lines. Nominees. If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file Form 1099-DIV (with a Form 1096) with the IRS for each of the other owners to show their share of the income, and you must furnish a Form 1099-DIV to each. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns. Future developments. For the latest information about developments related to Form 1099-DIV and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099DIV](http://www.irs.gov/Form1099DIV).

**1099-B Instructions for Recipient**

Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

**Recipient's taxpayer identification number (TIN).** For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**CUSIP number.** Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

**FATCA filing requirement.** If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

**Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

**Line 1a.** Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

**Line 1b.** This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

**Line 1c.** Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

**Line 1d.** Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

**Line 1e.** Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the

securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

**Line 1g.** Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.

**Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

**Line 3.** If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

**Line 4.** Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

**Line 5.** If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

**Line 6.** If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

**Line 7.** If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

**Line 12.** If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

**Line 13.** Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

**Lines 14-16.** Show state(s)/local income tax information.

**Future developments.** For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to [www.irs.gov/Form1099B](http://www.irs.gov/Form1099B).

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